

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 143648111</b>	Statement Date: 02/14/2021 Document ID: DUE3 371 1EB8	2020
	Pradeep reddy Vangala 92 Meadow Brook Rd Edison, NJ 08837  RECIPIENT'S TIN: XXX-XX-6035		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	41.09		2- Royalties	0.00	
1b- Qualified dividends	41.09		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	4,852,582.20	5,768,591.86	0.00	873,530.82	-42,478.84
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>4,852,582.20</b>	<b>5,768,591.86</b>	<b>0.00</b>	<b>873,530.82</b>	<b>-42,478.84</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>4,852,582.20</b>	<b>5,768,591.86</b>	<b>0.00</b>	<b>873,530.82</b>	<b>-42,478.84</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.02
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	62.53
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAMIS PHARMACEUTICALS CORPORA TION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
05/28/20	100.000	48.85	05/27/20	51.00	2.15 W	0.00	Sale <sup>25</sup>
	10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	100.000	62.87	06/09/20	65.15	...	-2.28	1 of 10 - Sale <sup>25</sup>
	728.000	457.67	06/09/20	458.64	...	-0.97	2 of 10 - Sale <sup>25</sup>
	172.000	108.13	06/09/20	108.36	...	-0.23	3 of 10 - Sale <sup>25</sup>
	100.000	62.87	06/09/20	62.98	...	-0.11	4 of 10 - Sale <sup>25</sup>
	50.000	31.43	06/09/20	31.00	...	0.43	5 of 10 - Sale <sup>25</sup>
	100.000	62.87	06/09/20	62.00	...	0.87	6 of 10 - Sale <sup>25</sup>
	100.000	62.87	06/09/20	61.80	...	1.07	7 of 10 - Sale <sup>25</sup>
	200.000	125.73	06/09/20	121.98	...	3.75	8 of 10 - Sale <sup>25</sup>
	800.000	502.93	06/09/20	487.92	...	15.01	9 of 10 - Sale <sup>25</sup>
	1,000.000	628.66	06/09/20	608.70	...	19.96	10 of 10 - Sale <sup>25</sup>
06/09/20	3,350.000	2,106.03	Various	2,068.53	...	37.50	Total of 10 transactions

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,154.88</b>		<b>2,119.53</b>	<b>2.15 W</b>	<b>37.50</b>	
ADAPT IMMUNE THERAPEUTICS PLC AMERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
11 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	5.000	51.25	06/01/20	51.20	...	0.05	1 of 11 - Sale <sup>25</sup>
	10.000	102.50	06/01/20	101.00	...	1.50	2 of 11 - Sale <sup>25</sup>
	37.300	435.65	06/01/20	433.64	...	2.01	3 of 11 - Sale <sup>25</sup>
	54.000	630.69	06/01/20	624.00	...	6.69	4 of 11 - Sale <sup>25</sup>
	24.000	245.99	06/01/20	235.44	...	10.55	5 of 11 - Sale <sup>25</sup>
	75.000	768.72	06/01/20	757.50	...	11.22	6 of 11 - Sale <sup>25</sup>
	26.000	266.49	06/01/20	255.06	...	11.43	7 of 11 - Sale <sup>25</sup>
	20.000	204.99	06/01/20	192.00	...	12.99	8 of 11 - Sale <sup>25</sup>
	115.000	1,177.56	06/01/20	1,161.50	...	16.06	9 of 11 - Sale <sup>25</sup>
	25.000	256.25	06/01/20	240.00	...	16.25	10 of 11 - Sale <sup>25</sup>
	100.000	1,023.96	06/01/20	998.00	...	25.96	11 of 11 - Sale <sup>25</sup>
06/01/20	491.300	5,164.05	Various	5,049.34	...	114.71	Total of 11 transactions
1523 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	5.000	51.25	05/29/20	54.45	3.20 W	0.00	1 of 1523 - Sale <sup>25</sup>
	100.000	1,023.96	06/01/20	1,075.99	52.03 W	0.00	2 of 1523 - Sale <sup>25</sup>
	10.000	102.40	06/01/20	103.50	1.10 W	0.00	3 of 1523 - Sale <sup>25</sup>
	100.000	1,171.22	06/01/20	1,300.03	128.81 W	0.00	4 of 1523 - Sale <sup>25</sup>
	1.000	11.77	06/01/20	12.59	0.82 W	0.00	5 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	12.59	0.78 W	0.00	6 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	12.59	0.74 W	0.00	7 of 1523 - Sale <sup>25</sup>
	2.000	23.46	06/01/20	25.18	1.72 W	0.00	8 of 1523 - Sale <sup>25</sup>
	1.000	11.73	06/01/20	12.59	0.86 W	0.00	9 of 1523 - Sale <sup>25</sup>
	1.000	11.73	06/01/20	12.59	0.86 W	0.00	10 of 1523 - Sale <sup>25</sup>
	3.000	35.14	06/01/20	37.77	2.63 W	0.00	11 of 1523 - Sale <sup>25</sup>
	23.000	269.44	06/01/20	287.04	17.60 W	0.00	12 of 1523 - Sale <sup>25</sup>
	67.000	784.88	06/01/20	922.46	137.58 W	0.00	13 of 1523 - Sale <sup>25</sup>
	7.000	82.00	06/01/20	96.38	14.38 W	0.00	14 of 1523 - Sale <sup>25</sup>
	1.000	11.76	06/01/20	13.77	2.01 W	0.00	15 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	27.54	3.84 W	0.00	16 of 1523 - Sale <sup>25</sup>
	1.000	11.90	06/01/20	13.77	1.87 W	0.00	17 of 1523 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.78	06/01/20	13.30	1.52 W	0.00	18 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	13.26	1.48 W	0.00	19 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	13.22	1.44 W	0.00	20 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	13.34	1.56 W	0.00	21 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	13.34	1.56 W	0.00	22 of 1523 - Sale <sup>25</sup>
	2.000	23.56	06/01/20	26.68	3.12 W	0.00	23 of 1523 - Sale <sup>25</sup>
	3.000	35.34	06/01/20	40.07	4.73 W	0.00	24 of 1523 - Sale <sup>25</sup>
	23.000	270.93	06/01/20	304.64	33.71 W	0.00	25 of 1523 - Sale <sup>25</sup>
	22.000	259.15	06/01/20	302.89	43.74 W	0.00	26 of 1523 - Sale <sup>25</sup>
	34.000	400.50	06/01/20	494.14	93.64 W	0.00	27 of 1523 - Sale <sup>25</sup>
	11.000	129.58	06/01/20	159.87	30.29 W	0.00	28 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.49	2.71 W	0.00	29 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.35	2.57 W	0.00	30 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.00	2.22 W	0.00	31 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	13.96	2.18 W	0.00	32 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	13.92	2.14 W	0.00	33 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.04	2.26 W	0.00	34 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.04	2.26 W	0.00	35 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	15.23	3.45 W	0.00	36 of 1523 - Sale <sup>25</sup>
	2.000	23.56	06/01/20	28.80	5.24 W	0.00	37 of 1523 - Sale <sup>25</sup>
	2.000	23.56	06/01/20	28.08	4.52 W	0.00	38 of 1523 - Sale <sup>25</sup>
	3.000	35.34	06/01/20	42.17	6.83 W	0.00	39 of 1523 - Sale <sup>25</sup>
	7.000	82.46	06/01/20	101.74	19.28 W	0.00	40 of 1523 - Sale <sup>25</sup>
	22.000	259.15	06/01/20	318.30	59.15 W	0.00	41 of 1523 - Sale <sup>25</sup>
	23.000	270.93	06/01/20	320.75	49.82 W	0.00	42 of 1523 - Sale <sup>25</sup>
	22.000	259.15	06/01/20	319.73	60.58 W	0.00	43 of 1523 - Sale <sup>25</sup>
	11.000	129.57	06/01/20	167.57	38.00 W	0.00	44 of 1523 - Sale <sup>25</sup>
	33.000	388.73	06/01/20	502.73	114.00 W	0.00	45 of 1523 - Sale <sup>25</sup>
	56.000	659.65	06/01/20	698.88	39.23 W	0.00	46 of 1523 - Sale <sup>25</sup>
	11.000	129.58	06/01/20	135.85	6.27 W	0.00	47 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	15.06	3.28 W	0.00	48 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.92	3.14 W	0.00	49 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.57	2.79 W	0.00	50 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.53	2.75 W	0.00	51 of 1523 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.78	06/01/20	14.49	2.71 W	0.00	52 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.61	2.83 W	0.00	53 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	14.61	2.83 W	0.00	54 of 1523 - Sale <sup>25</sup>
	1.000	11.78	06/01/20	15.80	4.02 W	0.00	55 of 1523 - Sale <sup>25</sup>
	2.000	23.56	06/01/20	29.94	6.38 W	0.00	56 of 1523 - Sale <sup>25</sup>
	2.000	23.56	06/01/20	29.22	5.66 W	0.00	57 of 1523 - Sale <sup>25</sup>
	3.000	35.34	06/01/20	43.88	8.54 W	0.00	58 of 1523 - Sale <sup>25</sup>
	7.000	82.46	06/01/20	105.73	23.27 W	0.00	59 of 1523 - Sale <sup>25</sup>
	22.000	259.15	06/01/20	332.28	73.13 W	0.00	60 of 1523 - Sale <sup>25</sup>
	22.000	259.15	06/01/20	330.85	71.70 W	0.00	61 of 1523 - Sale <sup>25</sup>
	23.000	270.93	06/01/20	333.87	62.94 W	0.00	62 of 1523 - Sale <sup>25</sup>
	11.000	129.57	06/01/20	173.85	44.28 W	0.00	63 of 1523 - Sale <sup>25</sup>
	11.000	129.58	06/01/20	173.85	44.27 W	0.00	64 of 1523 - Sale <sup>25</sup>
	22.000	259.15	06/01/20	347.70	88.55 W	0.00	65 of 1523 - Sale <sup>25</sup>
	56.000	659.65	06/01/20	730.84	71.19 W	0.00	66 of 1523 - Sale <sup>25</sup>
	11.000	129.58	06/01/20	135.85	6.27 W	0.00	67 of 1523 - Sale <sup>25</sup>
	26.000	306.80	06/01/20	321.10	14.30 W	0.00	68 of 1523 - Sale <sup>25</sup>
	74.000	873.17	06/01/20	913.91	40.74 W	0.00	69 of 1523 - Sale <sup>25</sup>
	100.000	1,189.96	06/01/20	1,235.02	45.06 W	0.00	70 of 1523 - Sale <sup>25</sup>
	60.000	713.97	06/01/20	741.01	27.04 W	0.00	71 of 1523 - Sale <sup>25</sup>
	20.000	238.20	06/01/20	247.00	8.80 W	0.00	72 of 1523 - Sale <sup>25</sup>
	9.000	105.75	06/01/20	111.15	5.40 W	0.00	73 of 1523 - Sale <sup>25</sup>
	1.300	15.27	06/01/20	20.41	5.14 W	0.00	74 of 1523 - Sale <sup>25</sup>
	11.000	129.25	06/01/20	142.39	13.14 W	0.00	75 of 1523 - Sale <sup>25</sup>
	20.000	234.99	06/01/20	315.08	80.09 W	0.00	76 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	10.95	2.73 W	0.00	77 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	15.59	3.84 W	0.00	78 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	15.45	3.70 W	0.00	79 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	15.10	3.35 W	0.00	80 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	15.06	3.31 W	0.00	81 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	15.02	3.27 W	0.00	82 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	15.14	3.39 W	0.00	83 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	15.14	3.39 W	0.00	84 of 1523 - Sale <sup>25</sup>
	2.000	23.50	06/01/20	31.00	7.50 W	0.00	85 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	23.50	06/01/20	30.28	6.78 W	0.00	86 of 1523 - Sale <sup>25</sup>
	3.000	35.25	06/01/20	45.47	10.22 W	0.00	87 of 1523 - Sale <sup>25</sup>
	7.000	82.25	06/01/20	109.44	27.19 W	0.00	88 of 1523 - Sale <sup>25</sup>
	22.000	258.49	06/01/20	342.52	84.03 W	0.00	89 of 1523 - Sale <sup>25</sup>
	15.000	176.24	06/01/20	225.70	49.46 W	0.00	90 of 1523 - Sale <sup>25</sup>
	0.700	8.35	06/01/20	11.42	3.07 W	0.00	91 of 1523 - Sale <sup>25</sup>
	11.000	131.22	06/01/20	179.35	48.13 W	0.00	92 of 1523 - Sale <sup>25</sup>
	38.300	456.90	06/01/20	519.01	62.11 W	0.00	93 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	16.33	4.40 W	0.00	94 of 1523 - Sale <sup>25</sup>
	11.000	131.23	06/01/20	179.69	48.46 W	0.00	95 of 1523 - Sale <sup>25</sup>
	21.300	254.10	06/01/20	347.93	93.83 W	0.00	96 of 1523 - Sale <sup>25</sup>
	8.000	95.44	06/01/20	120.37	24.93 W	0.00	97 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.52	1.59 W	0.00	98 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.51	1.58 W	0.00	99 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.49	1.56 W	0.00	100 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.29	1.36 W	0.00	101 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.22	1.29 W	0.00	102 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.24	1.31 W	0.00	103 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.29	1.36 W	0.00	104 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	13.24	1.31 W	0.00	105 of 1523 - Sale <sup>25</sup>
	0.700	8.35	06/01/20	9.26	0.91 W	0.00	106 of 1523 - Sale <sup>25</sup>
	0.300	3.58	06/01/20	3.97	0.39 W	0.00	107 of 1523 - Sale <sup>25</sup>
	1.300	15.51	06/01/20	16.20	0.69 W	0.00	108 of 1523 - Sale <sup>25</sup>
	8.700	103.79	06/01/20	114.51	10.72 W	0.00	109 of 1523 - Sale <sup>25</sup>
	5.000	59.65	06/01/20	61.32	1.67 W	0.00	110 of 1523 - Sale <sup>25</sup>
	1.000	11.93	06/01/20	12.31	0.38 W	0.00	111 of 1523 - Sale <sup>25</sup>
	3.700	44.14	06/01/20	45.18	1.04 W	0.00	112 of 1523 - Sale <sup>25</sup>
	26.000	310.17	06/01/20	316.94	6.77 W	0.00	113 of 1523 - Sale <sup>25</sup>
	54.000	644.19	06/01/20	658.29	14.10 W	0.00	114 of 1523 - Sale <sup>25</sup>
	16.300	193.31	06/01/20	198.70	5.39 W	0.00	115 of 1523 - Sale <sup>25</sup>
	3.700	43.88	06/01/20	45.07	1.19 W	0.00	116 of 1523 - Sale <sup>25</sup>
	80.000	948.77	06/01/20	966.45	17.68 W	0.00	117 of 1523 - Sale <sup>25</sup>
	16.300	192.90	06/01/20	196.91	4.01 W	0.00	118 of 1523 - Sale <sup>25</sup>
	3.700	43.79	06/01/20	45.18	1.39 W	0.00	119 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	30.000	355.04	06/01/20	366.32	11.28 W	0.00	120 of 1523 - Sale <sup>25</sup>
	20.000	236.39	06/01/20	244.00	7.61 W	0.00	121 of 1523 - Sale <sup>25</sup>
	30.000	354.59	06/01/20	366.32	11.73 W	0.00	122 of 1523 - Sale <sup>25</sup>
	7.300	86.14	06/01/20	94.57	8.43 W	0.00	123 of 1523 - Sale <sup>25</sup>
	9.000	106.20	06/01/20	111.24	5.04 W	0.00	124 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	10.98	2.72 W	0.00	125 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.63	3.83 W	0.00	126 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.49	3.69 W	0.00	127 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.14	3.34 W	0.00	128 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.10	3.30 W	0.00	129 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.06	3.26 W	0.00	130 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.18	3.38 W	0.00	131 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.18	3.38 W	0.00	132 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	16.19	4.39 W	0.00	133 of 1523 - Sale <sup>25</sup>
	1.300	15.34	06/01/20	20.46	5.12 W	0.00	134 of 1523 - Sale <sup>25</sup>
	2.000	23.60	06/01/20	31.08	7.48 W	0.00	135 of 1523 - Sale <sup>25</sup>
	2.000	23.60	06/01/20	30.36	6.76 W	0.00	136 of 1523 - Sale <sup>25</sup>
	3.000	35.40	06/01/20	45.58	10.18 W	0.00	137 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	48.04	4.38 W	0.00	138 of 1523 - Sale <sup>25</sup>
	7.000	82.60	06/01/20	109.71	27.11 W	0.00	139 of 1523 - Sale <sup>25</sup>
	7.300	86.14	06/01/20	118.21	32.07 W	0.00	140 of 1523 - Sale <sup>25</sup>
	8.000	94.40	06/01/20	119.23	24.83 W	0.00	141 of 1523 - Sale <sup>25</sup>
	15.000	176.99	06/01/20	226.28	49.29 W	0.00	142 of 1523 - Sale <sup>25</sup>
	20.000	235.99	06/01/20	315.86	79.87 W	0.00	143 of 1523 - Sale <sup>25</sup>
	22.000	259.59	06/01/20	343.37	83.78 W	0.00	144 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	11.36	3.10 W	0.00	145 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	9.20	0.94 W	0.00	146 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.44	1.64 W	0.00	147 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.43	1.63 W	0.00	148 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.41	1.61 W	0.00	149 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.21	1.41 W	0.00	150 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.14	1.34 W	0.00	151 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.16	1.36 W	0.00	152 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.21	1.41 W	0.00	153 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.79	06/01/20	13.16	1.37 W	0.00	154 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	60.14	16.48 W	0.00	155 of 1523 - Sale <sup>25</sup>
	11.000	129.79	06/01/20	178.47	48.68 W	0.00	156 of 1523 - Sale <sup>25</sup>
	21.300	251.33	06/01/20	346.22	94.89 W	0.00	157 of 1523 - Sale <sup>25</sup>
	38.300	451.92	06/01/20	515.93	64.01 W	0.00	158 of 1523 - Sale <sup>25</sup>
	0.300	3.54	06/01/20	3.94	0.40 W	0.00	159 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	12.23	0.43 W	0.00	160 of 1523 - Sale <sup>25</sup>
	1.300	15.34	06/01/20	16.09	0.75 W	0.00	161 of 1523 - Sale <sup>25</sup>
	5.000	59.00	06/01/20	60.92	1.92 W	0.00	162 of 1523 - Sale <sup>25</sup>
	8.700	102.66	06/01/20	113.81	11.15 W	0.00	163 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	44.72	1.06 W	0.00	164 of 1523 - Sale <sup>25</sup>
	26.000	306.79	06/01/20	313.75	6.96 W	0.00	165 of 1523 - Sale <sup>25</sup>
	54.000	637.17	06/01/20	651.66	14.49 W	0.00	166 of 1523 - Sale <sup>25</sup>
	16.300	192.33	06/01/20	197.84	5.51 W	0.00	167 of 1523 - Sale <sup>25</sup>
	1.300	15.34	06/01/20	15.82	0.48 W	0.00	168 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	45.41	1.75 W	0.00	169 of 1523 - Sale <sup>25</sup>
	5.000	59.00	06/01/20	60.95	1.95 W	0.00	170 of 1523 - Sale <sup>25</sup>
	5.000	59.00	06/01/20	60.39	1.39 W	0.00	171 of 1523 - Sale <sup>25</sup>
	12.000	141.59	06/01/20	145.39	3.80 W	0.00	172 of 1523 - Sale <sup>25</sup>
	56.700	669.04	06/01/20	685.99	16.95 W	0.00	173 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	45.34	1.68 W	0.00	174 of 1523 - Sale <sup>25</sup>
	16.300	192.33	06/01/20	197.62	5.29 W	0.00	175 of 1523 - Sale <sup>25</sup>
	20.000	235.99	06/01/20	245.16	9.17 W	0.00	176 of 1523 - Sale <sup>25</sup>
	30.000	353.99	06/01/20	367.61	13.62 W	0.00	177 of 1523 - Sale <sup>25</sup>
	30.000	353.99	06/01/20	368.06	14.07 W	0.00	178 of 1523 - Sale <sup>25</sup>
	1.300	15.34	06/01/20	20.56	5.22 W	0.00	179 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	48.33	4.67 W	0.00	180 of 1523 - Sale <sup>25</sup>
	7.300	86.14	06/01/20	95.14	9.00 W	0.00	181 of 1523 - Sale <sup>25</sup>
	9.000	106.20	06/01/20	111.94	5.74 W	0.00	182 of 1523 - Sale <sup>25</sup>
	4.700	55.46	06/01/20	74.59	19.13 W	0.00	183 of 1523 - Sale <sup>25</sup>
	5.000	59.00	06/01/20	79.36	20.36 W	0.00	184 of 1523 - Sale <sup>25</sup>
	2.300	27.14	06/01/20	36.50	9.36 W	0.00	185 of 1523 - Sale <sup>25</sup>
	0.300	3.54	06/01/20	4.69	1.15 W	0.00	186 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	11.02	2.76 W	0.00	187 of 1523 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.80	06/01/20	15.68	3.88 W	0.00	188 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.54	3.74 W	0.00	189 of 1523 - Sale <sup>25</sup>
	2.000	23.60	06/01/20	31.18	7.58 W	0.00	190 of 1523 - Sale <sup>25</sup>
	7.000	82.60	06/01/20	110.06	27.46 W	0.00	191 of 1523 - Sale <sup>25</sup>
	8.000	94.40	06/01/20	126.75	32.35 W	0.00	192 of 1523 - Sale <sup>25</sup>
	2.700	31.86	06/01/20	42.12	10.26 W	0.00	193 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.13	3.33 W	0.00	194 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.09	3.29 W	0.00	195 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.05	3.25 W	0.00	196 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.17	3.37 W	0.00	197 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.17	3.37 W	0.00	198 of 1523 - Sale <sup>25</sup>
	2.000	23.60	06/01/20	30.34	6.74 W	0.00	199 of 1523 - Sale <sup>25</sup>
	3.000	35.40	06/01/20	45.55	10.15 W	0.00	200 of 1523 - Sale <sup>25</sup>
	4.000	47.20	06/01/20	59.58	12.38 W	0.00	201 of 1523 - Sale <sup>25</sup>
	15.000	176.99	06/01/20	226.14	49.15 W	0.00	202 of 1523 - Sale <sup>25</sup>
	19.000	224.19	06/01/20	296.36	72.17 W	0.00	203 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	11.35	3.09 W	0.00	204 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	16.18	4.38 W	0.00	205 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	60.10	16.44 W	0.00	206 of 1523 - Sale <sup>25</sup>
	7.300	86.14	06/01/20	118.14	32.00 W	0.00	207 of 1523 - Sale <sup>25</sup>
	4.000	47.20	06/01/20	59.57	12.37 W	0.00	208 of 1523 - Sale <sup>25</sup>
	10.000	117.99	06/01/20	162.15	44.16 W	0.00	209 of 1523 - Sale <sup>25</sup>
	21.300	251.33	06/01/20	346.02	94.69 W	0.00	210 of 1523 - Sale <sup>25</sup>
	0.300	3.54	06/01/20	3.94	0.40 W	0.00	211 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	9.19	0.93 W	0.00	212 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.43	1.63 W	0.00	213 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.42	1.62 W	0.00	214 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.40	1.60 W	0.00	215 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.20	1.40 W	0.00	216 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.13	1.33 W	0.00	217 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.15	1.35 W	0.00	218 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.20	1.40 W	0.00	219 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.16	1.36 W	0.00	220 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	12.22	0.42 W	0.00	221 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.300	15.34	06/01/20	16.08	0.74 W	0.00	222 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	44.68	1.02 W	0.00	223 of 1523 - Sale <sup>25</sup>
	5.000	59.00	06/01/20	60.87	1.87 W	0.00	224 of 1523 - Sale <sup>25</sup>
	2.000	23.60	06/01/20	24.12	0.52 W	0.00	225 of 1523 - Sale <sup>25</sup>
	8.700	102.66	06/01/20	113.72	11.06 W	0.00	226 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	16.22	4.42 W	0.00	227 of 1523 - Sale <sup>25</sup>
	26.000	306.78	06/01/20	313.50	6.72 W	0.00	228 of 1523 - Sale <sup>25</sup>
	38.300	451.92	06/01/20	515.57	63.65 W	0.00	229 of 1523 - Sale <sup>25</sup>
	5.300	62.54	06/01/20	63.91	1.37 W	0.00	230 of 1523 - Sale <sup>25</sup>
	1.300	15.34	06/01/20	15.66	0.32 W	0.00	231 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	44.97	1.31 W	0.00	232 of 1523 - Sale <sup>25</sup>
	5.000	59.00	06/01/20	60.35	1.35 W	0.00	233 of 1523 - Sale <sup>25</sup>
	5.000	59.00	06/01/20	59.79	0.79 W	0.00	234 of 1523 - Sale <sup>25</sup>
	10.000	118.00	06/01/20	119.79	1.79 W	0.00	235 of 1523 - Sale <sup>25</sup>
	12.000	141.60	06/01/20	143.96	2.36 W	0.00	236 of 1523 - Sale <sup>25</sup>
	16.300	192.33	06/01/20	195.89	3.56 W	0.00	237 of 1523 - Sale <sup>25</sup>
	46.700	551.04	06/01/20	557.99	6.95 W	0.00	238 of 1523 - Sale <sup>25</sup>
	3.300	38.94	06/01/20	40.21	1.27 W	0.00	239 of 1523 - Sale <sup>25</sup>
	3.700	43.66	06/01/20	45.03	1.37 W	0.00	240 of 1523 - Sale <sup>25</sup>
	16.300	192.33	06/01/20	196.24	3.91 W	0.00	241 of 1523 - Sale <sup>25</sup>
	30.000	353.99	06/01/20	365.07	11.08 W	0.00	242 of 1523 - Sale <sup>25</sup>
	46.700	551.04	06/01/20	561.05	10.01 W	0.00	243 of 1523 - Sale <sup>25</sup>
	20.000	235.99	06/01/20	242.27	6.28 W	0.00	244 of 1523 - Sale <sup>25</sup>
	26.700	315.04	06/01/20	323.71	8.67 W	0.00	245 of 1523 - Sale <sup>25</sup>
	0.300	3.54	06/01/20	4.65	1.11 W	0.00	246 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	10.92	2.66 W	0.00	247 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.54	3.74 W	0.00	248 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.39	3.59 W	0.00	249 of 1523 - Sale <sup>25</sup>
	1.300	15.35	06/01/20	20.37	5.02 W	0.00	250 of 1523 - Sale <sup>25</sup>
	2.000	23.61	06/01/20	30.89	7.28 W	0.00	251 of 1523 - Sale <sup>25</sup>
	2.300	27.15	06/01/20	36.17	9.02 W	0.00	252 of 1523 - Sale <sup>25</sup>
	3.700	43.68	06/01/20	47.79	4.11 W	0.00	253 of 1523 - Sale <sup>25</sup>
	4.700	55.48	06/01/20	73.91	18.43 W	0.00	254 of 1523 - Sale <sup>25</sup>
	5.000	59.02	06/01/20	78.64	19.62 W	0.00	255 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	7.000	82.63	06/01/20	109.04	26.41 W	0.00	256 of 1523 - Sale <sup>25</sup>
	7.300	86.17	06/01/20	94.08	7.91 W	0.00	257 of 1523 - Sale <sup>25</sup>
	8.000	94.44	06/01/20	125.59	31.15 W	0.00	258 of 1523 - Sale <sup>25</sup>
	9.000	106.24	06/01/20	110.63	4.39 W	0.00	259 of 1523 - Sale <sup>25</sup>
	2.300	27.15	06/01/20	35.60	8.45 W	0.00	260 of 1523 - Sale <sup>25</sup>
	2.700	31.87	06/01/20	41.80	9.93 W	0.00	261 of 1523 - Sale <sup>25</sup>
	5.000	59.02	06/01/20	78.24	19.22 W	0.00	262 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	11.29	3.03 W	0.00	263 of 1523 - Sale <sup>25</sup>
	0.700	8.26	06/01/20	9.13	0.87 W	0.00	264 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.04	3.24 W	0.00	265 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.00	3.20 W	0.00	266 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	14.96	3.16 W	0.00	267 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.08	3.28 W	0.00	268 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	15.08	3.28 W	0.00	269 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	16.09	4.28 W	0.00	270 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	16.13	4.32 W	0.00	271 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.34	1.54 W	0.00	272 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	13.33	1.52 W	0.00	273 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.31	1.51 W	0.00	274 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	13.11	1.30 W	0.00	275 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.04	1.24 W	0.00	276 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	13.06	1.25 W	0.00	277 of 1523 - Sale <sup>25</sup>
	1.000	11.80	06/01/20	13.11	1.31 W	0.00	278 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	13.07	1.26 W	0.00	279 of 1523 - Sale <sup>25</sup>
	2.000	23.61	06/01/20	30.16	6.55 W	0.00	280 of 1523 - Sale <sup>25</sup>
	3.000	35.41	06/01/20	45.28	9.87 W	0.00	281 of 1523 - Sale <sup>25</sup>
	3.700	43.68	06/01/20	59.77	16.09 W	0.00	282 of 1523 - Sale <sup>25</sup>
	4.000	47.22	06/01/20	59.22	12.00 W	0.00	283 of 1523 - Sale <sup>25</sup>
	4.000	47.22	06/01/20	59.21	11.99 W	0.00	284 of 1523 - Sale <sup>25</sup>
	7.300	86.18	06/01/20	117.48	31.30 W	0.00	285 of 1523 - Sale <sup>25</sup>
	10.000	118.05	06/01/20	161.26	43.21 W	0.00	286 of 1523 - Sale <sup>25</sup>
	11.700	138.12	06/01/20	181.45	43.33 W	0.00	287 of 1523 - Sale <sup>25</sup>
	15.000	177.07	06/01/20	224.80	47.73 W	0.00	288 of 1523 - Sale <sup>25</sup>
	21.300	251.44	06/01/20	344.11	92.67 W	0.00	289 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	38.300	452.13	06/01/20	512.14	60.01 W	0.00	290 of 1523 - Sale <sup>25</sup>
	0.300	3.54	06/01/20	3.91	0.37 W	0.00	291 of 1523 - Sale <sup>25</sup>
	1.000	11.81	06/01/20	12.13	0.32 W	0.00	292 of 1523 - Sale <sup>25</sup>
	1.300	15.36	06/01/20	15.96	0.60 W	0.00	293 of 1523 - Sale <sup>25</sup>
	1.300	15.36	06/01/20	15.54	0.18 W	0.00	294 of 1523 - Sale <sup>25</sup>
	2.000	23.63	06/01/20	23.94	0.31 W	0.00	295 of 1523 - Sale <sup>25</sup>
	3.700	43.71	06/01/20	44.35	0.64 W	0.00	296 of 1523 - Sale <sup>25</sup>
	3.700	43.71	06/01/20	44.64	0.93 W	0.00	297 of 1523 - Sale <sup>25</sup>
	5.000	59.07	06/01/20	60.42	1.35 W	0.00	298 of 1523 - Sale <sup>25</sup>
	5.000	59.07	06/01/20	59.90	0.83 W	0.00	299 of 1523 - Sale <sup>25</sup>
	5.000	59.07	06/01/20	59.34	0.27 W	0.00	300 of 1523 - Sale <sup>25</sup>
	5.300	62.62	06/01/20	63.43	0.81 W	0.00	301 of 1523 - Sale <sup>25</sup>
	8.700	102.79	06/01/20	112.94	10.15 W	0.00	302 of 1523 - Sale <sup>25</sup>
	10.000	118.15	06/01/20	118.89	0.74 W	0.00	303 of 1523 - Sale <sup>25</sup>
	12.000	141.78	06/01/20	142.88	1.10 W	0.00	304 of 1523 - Sale <sup>25</sup>
	16.300	192.58	06/01/20	194.43	1.85 W	0.00	305 of 1523 - Sale <sup>25</sup>
	26.000	307.18	06/01/20	311.18	4.00 W	0.00	306 of 1523 - Sale <sup>25</sup>
	46.700	551.74	06/01/20	553.81	2.07 W	0.00	307 of 1523 - Sale <sup>25</sup>
	46.700	551.75	06/01/20	556.87	5.12 W	0.00	308 of 1523 - Sale <sup>25</sup>
	3.300	38.44	06/01/20	39.91	1.47 W	0.00	309 of 1523 - Sale <sup>25</sup>
	3.700	43.10	06/01/20	44.70	1.60 W	0.00	310 of 1523 - Sale <sup>25</sup>
	10.000	116.50	06/01/20	120.35	3.85 W	0.00	311 of 1523 - Sale <sup>25</sup>
	16.300	189.89	06/01/20	194.78	4.89 W	0.00	312 of 1523 - Sale <sup>25</sup>
	30.000	349.49	06/01/20	362.38	12.89 W	0.00	313 of 1523 - Sale <sup>25</sup>
	1.300	15.14	06/01/20	20.23	5.09 W	0.00	314 of 1523 - Sale <sup>25</sup>
	2.300	26.79	06/01/20	35.93	9.14 W	0.00	315 of 1523 - Sale <sup>25</sup>
	3.700	43.10	06/01/20	47.40	4.30 W	0.00	316 of 1523 - Sale <sup>25</sup>
	4.700	54.75	06/01/20	73.42	18.67 W	0.00	317 of 1523 - Sale <sup>25</sup>
	5.000	58.25	06/01/20	78.12	19.87 W	0.00	318 of 1523 - Sale <sup>25</sup>
	6.000	69.90	06/01/20	93.56	23.66 W	0.00	319 of 1523 - Sale <sup>25</sup>
	7.300	85.04	06/01/20	93.32	8.28 W	0.00	320 of 1523 - Sale <sup>25</sup>
	9.000	104.85	06/01/20	109.69	4.84 W	0.00	321 of 1523 - Sale <sup>25</sup>
	16.700	194.55	06/01/20	200.81	6.26 W	0.00	322 of 1523 - Sale <sup>25</sup>
	20.000	232.99	06/01/20	240.28	7.29 W	0.00	323 of 1523 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.49	06/01/20	4.62	1.13 W	0.00	324 of 1523 - Sale <sup>25</sup>
	0.700	8.15	06/01/20	10.85	2.70 W	0.00	325 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	15.44	3.79 W	0.00	326 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	15.29	3.64 W	0.00	327 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	14.94	3.29 W	0.00	328 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	14.90	3.25 W	0.00	329 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	14.86	3.21 W	0.00	330 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	14.98	3.33 W	0.00	331 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	14.98	3.33 W	0.00	332 of 1523 - Sale <sup>25</sup>
	2.000	23.30	06/01/20	31.19	7.89 W	0.00	333 of 1523 - Sale <sup>25</sup>
	2.000	23.30	06/01/20	30.68	7.38 W	0.00	334 of 1523 - Sale <sup>25</sup>
	2.000	23.30	06/01/20	29.95	6.65 W	0.00	335 of 1523 - Sale <sup>25</sup>
	2.300	26.80	06/01/20	35.36	8.56 W	0.00	336 of 1523 - Sale <sup>25</sup>
	2.700	31.45	06/01/20	41.52	10.07 W	0.00	337 of 1523 - Sale <sup>25</sup>
	3.000	34.95	06/01/20	44.97	10.02 W	0.00	338 of 1523 - Sale <sup>25</sup>
	5.000	58.25	06/01/20	77.72	19.47 W	0.00	339 of 1523 - Sale <sup>25</sup>
	7.000	81.55	06/01/20	108.31	26.76 W	0.00	340 of 1523 - Sale <sup>25</sup>
	11.700	136.30	06/01/20	180.22	43.92 W	0.00	341 of 1523 - Sale <sup>25</sup>
	15.000	174.75	06/01/20	223.23	48.48 W	0.00	342 of 1523 - Sale <sup>25</sup>
	0.700	8.15	06/01/20	11.22	3.07 W	0.00	343 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	15.98	4.33 W	0.00	344 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	16.02	4.37 W	0.00	345 of 1523 - Sale <sup>25</sup>
	3.700	43.10	06/01/20	59.38	16.28 W	0.00	346 of 1523 - Sale <sup>25</sup>
	4.000	46.60	06/01/20	58.80	12.20 W	0.00	347 of 1523 - Sale <sup>25</sup>
	4.000	46.60	06/01/20	58.79	12.19 W	0.00	348 of 1523 - Sale <sup>25</sup>
	7.300	85.04	06/01/20	116.71	31.67 W	0.00	349 of 1523 - Sale <sup>25</sup>
	10.000	116.50	06/01/20	160.21	43.71 W	0.00	350 of 1523 - Sale <sup>25</sup>
	10.300	119.99	06/01/20	136.65	16.66 W	0.00	351 of 1523 - Sale <sup>25</sup>
	21.300	248.14	06/01/20	341.88	93.74 W	0.00	352 of 1523 - Sale <sup>25</sup>
	0.700	8.15	06/01/20	9.16	1.01 W	0.00	353 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	13.39	1.74 W	0.00	354 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	13.37	1.72 W	0.00	355 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	13.36	1.71 W	0.00	356 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	13.15	1.50 W	0.00	357 of 1523 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.65	06/01/20	13.09	1.44 W	0.00	358 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	13.10	1.45 W	0.00	359 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	13.16	1.51 W	0.00	360 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	13.11	1.46 W	0.00	361 of 1523 - Sale <sup>25</sup>
	28.000	326.19	06/01/20	375.67	49.48 W	0.00	362 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	3.93	0.44 W	0.00	363 of 1523 - Sale <sup>25</sup>
	1.000	11.65	06/01/20	12.17	0.52 W	0.00	364 of 1523 - Sale <sup>25</sup>
	1.300	15.14	06/01/20	16.00	0.86 W	0.00	365 of 1523 - Sale <sup>25</sup>
	2.000	23.30	06/01/20	24.01	0.71 W	0.00	366 of 1523 - Sale <sup>25</sup>
	3.700	43.10	06/01/20	44.49	1.39 W	0.00	367 of 1523 - Sale <sup>25</sup>
	5.000	58.25	06/01/20	60.60	2.35 W	0.00	368 of 1523 - Sale <sup>25</sup>
	5.300	61.74	06/01/20	63.61	1.87 W	0.00	369 of 1523 - Sale <sup>25</sup>
	8.700	101.35	06/01/20	113.25	11.90 W	0.00	370 of 1523 - Sale <sup>25</sup>
	20.000	233.00	06/01/20	237.89	4.89 W	0.00	371 of 1523 - Sale <sup>25</sup>
	26.000	302.89	06/01/20	312.10	9.21 W	0.00	372 of 1523 - Sale <sup>25</sup>
	26.700	311.05	06/01/20	317.58	6.53 W	0.00	373 of 1523 - Sale <sup>25</sup>
	1.300	15.14	06/01/20	15.58	0.44 W	0.00	374 of 1523 - Sale <sup>25</sup>
	3.700	43.10	06/01/20	44.78	1.68 W	0.00	375 of 1523 - Sale <sup>25</sup>
	5.000	58.25	06/01/20	60.08	1.83 W	0.00	376 of 1523 - Sale <sup>25</sup>
	5.000	58.25	06/01/20	59.52	1.27 W	0.00	377 of 1523 - Sale <sup>25</sup>
	10.000	116.50	06/01/20	119.24	2.74 W	0.00	378 of 1523 - Sale <sup>25</sup>
	12.000	139.80	06/01/20	143.30	3.50 W	0.00	379 of 1523 - Sale <sup>25</sup>
	16.300	189.89	06/01/20	195.00	5.11 W	0.00	380 of 1523 - Sale <sup>25</sup>
	20.000	232.99	06/01/20	239.19	6.20 W	0.00	381 of 1523 - Sale <sup>25</sup>
	26.700	311.04	06/01/20	317.72	6.68 W	0.00	382 of 1523 - Sale <sup>25</sup>
	0.300	3.50	06/01/20	4.67	1.17 W	0.00	383 of 1523 - Sale <sup>25</sup>
	0.300	3.50	06/01/20	3.98	0.48 W	0.00	384 of 1523 - Sale <sup>25</sup>
	0.700	8.18	06/01/20	10.95	2.77 W	0.00	385 of 1523 - Sale <sup>25</sup>
	0.700	8.18	06/01/20	11.32	3.14 W	0.00	386 of 1523 - Sale <sup>25</sup>
	0.700	8.18	06/01/20	9.26	1.08 W	0.00	387 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	15.58	3.90 W	0.00	388 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	15.43	3.75 W	0.00	389 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	15.08	3.40 W	0.00	390 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	15.04	3.36 W	0.00	391 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.68	06/01/20	15.00	3.32 W	0.00	392 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	15.12	3.44 W	0.00	393 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	15.12	3.44 W	0.00	394 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	16.12	4.44 W	0.00	395 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	16.16	4.48 W	0.00	396 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.53	1.85 W	0.00	397 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.51	1.83 W	0.00	398 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.50	1.82 W	0.00	399 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.29	1.61 W	0.00	400 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.23	1.55 W	0.00	401 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.24	1.56 W	0.00	402 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.30	1.62 W	0.00	403 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	13.25	1.57 W	0.00	404 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	12.31	0.63 W	0.00	405 of 1523 - Sale <sup>25</sup>
	1.300	15.18	06/01/20	20.42	5.24 W	0.00	406 of 1523 - Sale <sup>25</sup>
	1.300	15.18	06/01/20	16.19	1.01 W	0.00	407 of 1523 - Sale <sup>25</sup>
	1.300	15.18	06/01/20	15.77	0.59 W	0.00	408 of 1523 - Sale <sup>25</sup>
	2.000	23.36	06/01/20	31.47	8.11 W	0.00	409 of 1523 - Sale <sup>25</sup>
	2.000	23.36	06/01/20	30.96	7.60 W	0.00	410 of 1523 - Sale <sup>25</sup>
	2.000	23.36	06/01/20	30.23	6.87 W	0.00	411 of 1523 - Sale <sup>25</sup>
	2.000	23.36	06/01/20	24.29	0.93 W	0.00	412 of 1523 - Sale <sup>25</sup>
	2.300	26.86	06/01/20	36.26	9.40 W	0.00	413 of 1523 - Sale <sup>25</sup>
	2.300	26.86	06/01/20	35.68	8.82 W	0.00	414 of 1523 - Sale <sup>25</sup>
	2.700	31.54	06/01/20	41.90	10.36 W	0.00	415 of 1523 - Sale <sup>25</sup>
	3.000	35.04	06/01/20	45.39	10.35 W	0.00	416 of 1523 - Sale <sup>25</sup>
	3.300	38.54	06/01/20	40.38	1.84 W	0.00	417 of 1523 - Sale <sup>25</sup>
	3.700	43.21	06/01/20	45.22	2.01 W	0.00	418 of 1523 - Sale <sup>25</sup>
	3.700	43.21	06/01/20	47.92	4.71 W	0.00	419 of 1523 - Sale <sup>25</sup>
	3.700	43.21	06/01/20	59.90	16.69 W	0.00	420 of 1523 - Sale <sup>25</sup>
	3.700	43.21	06/01/20	45.01	1.80 W	0.00	421 of 1523 - Sale <sup>25</sup>
	3.700	43.21	06/01/20	45.30	2.09 W	0.00	422 of 1523 - Sale <sup>25</sup>
	4.000	46.72	06/01/20	59.36	12.64 W	0.00	423 of 1523 - Sale <sup>25</sup>
	4.000	46.72	06/01/20	59.35	12.63 W	0.00	424 of 1523 - Sale <sup>25</sup>
	4.700	54.89	06/01/20	74.08	19.19 W	0.00	425 of 1523 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.000	58.40	06/01/20	78.82	20.42 W	0.00	426 of 1523 - Sale <sup>25</sup>
	5.000	58.40	06/01/20	78.42	20.02 W	0.00	427 of 1523 - Sale <sup>25</sup>
	5.000	58.40	06/01/20	61.30	2.90 W	0.00	428 of 1523 - Sale <sup>25</sup>
	5.000	58.40	06/01/20	60.78	2.38 W	0.00	429 of 1523 - Sale <sup>25</sup>
	5.000	58.40	06/01/20	60.22	1.82 W	0.00	430 of 1523 - Sale <sup>25</sup>
	5.300	61.90	06/01/20	64.36	2.46 W	0.00	431 of 1523 - Sale <sup>25</sup>
	6.000	70.08	06/01/20	94.40	24.32 W	0.00	432 of 1523 - Sale <sup>25</sup>
	7.000	81.76	06/01/20	109.29	27.53 W	0.00	433 of 1523 - Sale <sup>25</sup>
	7.300	85.26	06/01/20	94.35	9.09 W	0.00	434 of 1523 - Sale <sup>25</sup>
	7.300	85.26	06/01/20	117.74	32.48 W	0.00	435 of 1523 - Sale <sup>25</sup>
	8.700	101.61	06/01/20	114.47	12.86 W	0.00	436 of 1523 - Sale <sup>25</sup>
	9.000	105.12	06/01/20	110.95	5.83 W	0.00	437 of 1523 - Sale <sup>25</sup>
	10.000	116.80	06/01/20	121.75	4.95 W	0.00	438 of 1523 - Sale <sup>25</sup>
	10.000	116.80	06/01/20	161.61	44.81 W	0.00	439 of 1523 - Sale <sup>25</sup>
	10.300	120.30	06/01/20	138.10	17.80 W	0.00	440 of 1523 - Sale <sup>25</sup>
	11.700	136.65	06/01/20	181.86	45.21 W	0.00	441 of 1523 - Sale <sup>25</sup>
	12.000	140.16	06/01/20	144.98	4.82 W	0.00	442 of 1523 - Sale <sup>25</sup>
	15.000	175.19	06/01/20	225.33	50.14 W	0.00	443 of 1523 - Sale <sup>25</sup>
	16.300	190.38	06/01/20	197.07	6.69 W	0.00	444 of 1523 - Sale <sup>25</sup>
	16.300	190.38	06/01/20	197.29	6.91 W	0.00	445 of 1523 - Sale <sup>25</sup>
	16.700	195.05	06/01/20	203.15	8.10 W	0.00	446 of 1523 - Sale <sup>25</sup>
	20.000	233.59	06/01/20	243.09	9.50 W	0.00	447 of 1523 - Sale <sup>25</sup>
	20.000	233.59	06/01/20	240.69	7.10 W	0.00	448 of 1523 - Sale <sup>25</sup>
	21.300	248.78	06/01/20	344.87	96.09 W	0.00	449 of 1523 - Sale <sup>25</sup>
	26.000	303.67	06/01/20	315.75	12.08 W	0.00	450 of 1523 - Sale <sup>25</sup>
	26.700	311.85	06/01/20	321.32	9.47 W	0.00	451 of 1523 - Sale <sup>25</sup>
	28.000	327.03	06/01/20	379.60	52.57 W	0.00	452 of 1523 - Sale <sup>25</sup>
	30.000	350.39	06/01/20	366.59	16.20 W	0.00	453 of 1523 - Sale <sup>25</sup>
	10.000	116.80	06/01/20	120.64	3.84 W	0.00	454 of 1523 - Sale <sup>25</sup>
	19.000	221.91	06/01/20	229.90	7.99 W	0.00	455 of 1523 - Sale <sup>25</sup>
	1.000	11.68	06/01/20	12.10	0.42 W	0.00	456 of 1523 - Sale <sup>25</sup>
	26.700	311.84	06/01/20	319.07	7.23 W	0.00	457 of 1523 - Sale <sup>25</sup>
	3.300	37.95	06/01/20	40.45	2.50 W	0.00	458 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	45.30	2.75 W	0.00	459 of 1523 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	10.000	115.00	06/01/20	121.95	6.95 W	0.00	460 of 1523 - Sale <sup>25</sup>
	16.300	187.44	06/01/20	197.40	9.96 W	0.00	461 of 1523 - Sale <sup>25</sup>
	16.700	192.04	06/01/20	203.49	11.45 W	0.00	462 of 1523 - Sale <sup>25</sup>
	20.000	229.99	06/01/20	243.50	13.51 W	0.00	463 of 1523 - Sale <sup>25</sup>
	30.000	344.99	06/01/20	367.20	22.21 W	0.00	464 of 1523 - Sale <sup>25</sup>
	0.300	3.45	06/01/20	4.68	1.23 W	0.00	465 of 1523 - Sale <sup>25</sup>
	0.700	8.05	06/01/20	10.96	2.91 W	0.00	466 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.60	4.10 W	0.00	467 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.45	3.95 W	0.00	468 of 1523 - Sale <sup>25</sup>
	1.300	14.95	06/01/20	20.45	5.50 W	0.00	469 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	31.51	8.51 W	0.00	470 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	31.00	8.00 W	0.00	471 of 1523 - Sale <sup>25</sup>
	2.300	26.45	06/01/20	36.31	9.86 W	0.00	472 of 1523 - Sale <sup>25</sup>
	2.300	26.45	06/01/20	35.73	9.28 W	0.00	473 of 1523 - Sale <sup>25</sup>
	2.700	31.05	06/01/20	41.95	10.90 W	0.00	474 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	48.00	5.45 W	0.00	475 of 1523 - Sale <sup>25</sup>
	4.700	54.05	06/01/20	74.18	20.13 W	0.00	476 of 1523 - Sale <sup>25</sup>
	5.000	57.50	06/01/20	78.92	21.42 W	0.00	477 of 1523 - Sale <sup>25</sup>
	5.000	57.50	06/01/20	78.52	21.02 W	0.00	478 of 1523 - Sale <sup>25</sup>
	6.000	69.00	06/01/20	94.52	25.52 W	0.00	479 of 1523 - Sale <sup>25</sup>
	7.000	80.50	06/01/20	109.43	28.93 W	0.00	480 of 1523 - Sale <sup>25</sup>
	7.300	83.95	06/01/20	94.50	10.55 W	0.00	481 of 1523 - Sale <sup>25</sup>
	9.000	103.50	06/01/20	111.13	7.63 W	0.00	482 of 1523 - Sale <sup>25</sup>
	10.000	114.99	06/01/20	155.64	40.65 W	0.00	483 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.10	3.60 W	0.00	484 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.06	3.56 W	0.00	485 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.02	3.52 W	0.00	486 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.14	3.64 W	0.00	487 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.14	3.64 W	0.00	488 of 1523 - Sale <sup>25</sup>
	1.700	19.55	06/01/20	26.46	6.91 W	0.00	489 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	30.27	7.27 W	0.00	490 of 1523 - Sale <sup>25</sup>
	3.000	34.49	06/01/20	45.45	10.96 W	0.00	491 of 1523 - Sale <sup>25</sup>
	15.000	172.48	06/01/20	225.64	53.16 W	0.00	492 of 1523 - Sale <sup>25</sup>
	0.700	8.05	06/01/20	11.33	3.28 W	0.00	493 of 1523 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.50	06/01/20	16.14	4.64 W	0.00	494 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	59.98	17.43 W	0.00	495 of 1523 - Sale <sup>25</sup>
	4.000	46.00	06/01/20	59.44	13.44 W	0.00	496 of 1523 - Sale <sup>25</sup>
	4.000	46.00	06/01/20	59.43	13.43 W	0.00	497 of 1523 - Sale <sup>25</sup>
	7.300	83.95	06/01/20	117.89	33.94 W	0.00	498 of 1523 - Sale <sup>25</sup>
	3.000	34.50	06/01/20	48.54	14.04 W	0.00	499 of 1523 - Sale <sup>25</sup>
	21.300	244.93	06/01/20	345.30	100.37 W	0.00	500 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	16.18	4.68 W	0.00	501 of 1523 - Sale <sup>25</sup>
	7.000	80.50	06/01/20	113.27	32.77 W	0.00	502 of 1523 - Sale <sup>25</sup>
	10.000	115.00	06/01/20	135.78	20.78 W	0.00	503 of 1523 - Sale <sup>25</sup>
	10.300	118.45	06/01/20	138.31	19.86 W	0.00	504 of 1523 - Sale <sup>25</sup>
	2.700	31.05	06/01/20	36.66	5.61 W	0.00	505 of 1523 - Sale <sup>25</sup>
	8.300	95.45	06/01/20	112.69	17.24 W	0.00	506 of 1523 - Sale <sup>25</sup>
	0.300	3.45	06/01/20	3.98	0.53 W	0.00	507 of 1523 - Sale <sup>25</sup>
	0.700	8.05	06/01/20	9.23	1.18 W	0.00	508 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.50	2.00 W	0.00	509 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.48	1.98 W	0.00	510 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.47	1.97 W	0.00	511 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.26	1.76 W	0.00	512 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.20	1.70 W	0.00	513 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.21	1.71 W	0.00	514 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.27	1.77 W	0.00	515 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.22	1.72 W	0.00	516 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	12.28	0.78 W	0.00	517 of 1523 - Sale <sup>25</sup>
	1.300	14.95	06/01/20	16.16	1.21 W	0.00	518 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	44.90	2.35 W	0.00	519 of 1523 - Sale <sup>25</sup>
	5.000	57.49	06/01/20	61.15	3.66 W	0.00	520 of 1523 - Sale <sup>25</sup>
	7.000	80.50	06/01/20	94.69	14.19 W	0.00	521 of 1523 - Sale <sup>25</sup>
	8.700	100.04	06/01/20	114.21	14.17 W	0.00	522 of 1523 - Sale <sup>25</sup>
	9.000	103.49	06/01/20	109.03	5.54 W	0.00	523 of 1523 - Sale <sup>25</sup>
	17.000	195.50	06/01/20	205.95	10.45 W	0.00	524 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	24.23	1.23 W	0.00	525 of 1523 - Sale <sup>25</sup>
	5.300	60.95	06/01/20	64.21	3.26 W	0.00	526 of 1523 - Sale <sup>25</sup>
	10.700	123.05	06/01/20	128.45	5.40 W	0.00	527 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	9.300	106.95	06/01/20	111.65	4.70 W	0.00	528 of 1523 - Sale <sup>25</sup>
	22.000	252.99	06/01/20	264.10	11.11 W	0.00	529 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	12.03	0.53 W	0.00	530 of 1523 - Sale <sup>25</sup>
	1.300	14.95	06/01/20	15.68	0.73 W	0.00	531 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	45.03	2.48 W	0.00	532 of 1523 - Sale <sup>25</sup>
	4.700	54.05	06/01/20	56.22	2.17 W	0.00	533 of 1523 - Sale <sup>25</sup>
	5.000	57.50	06/01/20	60.41	2.91 W	0.00	534 of 1523 - Sale <sup>25</sup>
	5.000	57.50	06/01/20	59.85	2.35 W	0.00	535 of 1523 - Sale <sup>25</sup>
	10.000	115.00	06/01/20	119.90	4.90 W	0.00	536 of 1523 - Sale <sup>25</sup>
	12.000	137.99	06/01/20	144.09	6.10 W	0.00	537 of 1523 - Sale <sup>25</sup>
	16.300	187.44	06/01/20	196.09	8.65 W	0.00	538 of 1523 - Sale <sup>25</sup>
	19.000	218.49	06/01/20	228.51	10.02 W	0.00	539 of 1523 - Sale <sup>25</sup>
	2.700	31.05	06/01/20	32.07	1.02 W	0.00	540 of 1523 - Sale <sup>25</sup>
	19.300	221.94	06/01/20	229.22	7.28 W	0.00	541 of 1523 - Sale <sup>25</sup>
	0.700	8.05	06/01/20	11.00	2.95 W	0.00	542 of 1523 - Sale <sup>25</sup>
	1.300	14.95	06/01/20	20.53	5.58 W	0.00	543 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	31.63	8.63 W	0.00	544 of 1523 - Sale <sup>25</sup>
	2.300	26.45	06/01/20	36.45	10.00 W	0.00	545 of 1523 - Sale <sup>25</sup>
	3.300	37.95	06/01/20	40.65	2.70 W	0.00	546 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	45.52	2.97 W	0.00	547 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	48.22	5.67 W	0.00	548 of 1523 - Sale <sup>25</sup>
	4.700	54.05	06/01/20	55.60	1.55 W	0.00	549 of 1523 - Sale <sup>25</sup>
	4.700	54.05	06/01/20	74.46	20.41 W	0.00	550 of 1523 - Sale <sup>25</sup>
	5.000	57.50	06/01/20	79.22	21.72 W	0.00	551 of 1523 - Sale <sup>25</sup>
	6.000	68.99	06/01/20	94.88	25.89 W	0.00	552 of 1523 - Sale <sup>25</sup>
	4.000	45.99	06/01/20	62.77	16.78 W	0.00	553 of 1523 - Sale <sup>25</sup>
	7.300	83.95	06/01/20	94.94	10.99 W	0.00	554 of 1523 - Sale <sup>25</sup>
	9.000	103.50	06/01/20	111.67	8.17 W	0.00	555 of 1523 - Sale <sup>25</sup>
	10.000	115.00	06/01/20	122.55	7.55 W	0.00	556 of 1523 - Sale <sup>25</sup>
	16.300	187.44	06/01/20	198.39	10.95 W	0.00	557 of 1523 - Sale <sup>25</sup>
	16.700	192.04	06/01/20	204.50	12.46 W	0.00	558 of 1523 - Sale <sup>25</sup>
	20.000	229.99	06/01/20	244.71	14.72 W	0.00	559 of 1523 - Sale <sup>25</sup>
	30.000	344.99	06/01/20	369.01	24.02 W	0.00	560 of 1523 - Sale <sup>25</sup>
	0.300	3.45	06/01/20	4.70	1.25 W	0.00	561 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.50	06/01/20	15.66	4.16 W	0.00	562 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.51	4.01 W	0.00	563 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	31.12	8.12 W	0.00	564 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	31.25	8.25 W	0.00	565 of 1523 - Sale <sup>25</sup>
	2.300	26.45	06/01/20	35.87	9.42 W	0.00	566 of 1523 - Sale <sup>25</sup>
	2.700	31.05	06/01/20	42.11	11.06 W	0.00	567 of 1523 - Sale <sup>25</sup>
	5.000	57.50	06/01/20	78.82	21.32 W	0.00	568 of 1523 - Sale <sup>25</sup>
	3.000	34.50	06/01/20	47.08	12.58 W	0.00	569 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.16	3.66 W	0.00	570 of 1523 - Sale <sup>25</sup>
	1.700	19.55	06/01/20	26.56	7.01 W	0.00	571 of 1523 - Sale <sup>25</sup>
	8.000	92.00	06/01/20	125.00	33.00 W	0.00	572 of 1523 - Sale <sup>25</sup>
	4.700	54.05	06/01/20	71.37	17.32 W	0.00	573 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.12	3.62 W	0.00	574 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.08	3.58 W	0.00	575 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.20	3.70 W	0.00	576 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	15.20	3.70 W	0.00	577 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	30.39	7.39 W	0.00	578 of 1523 - Sale <sup>25</sup>
	3.000	34.50	06/01/20	45.64	11.14 W	0.00	579 of 1523 - Sale <sup>25</sup>
	10.300	118.45	06/01/20	155.57	37.12 W	0.00	580 of 1523 - Sale <sup>25</sup>
	0.700	8.05	06/01/20	11.43	3.38 W	0.00	581 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	16.28	4.78 W	0.00	582 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	16.32	4.82 W	0.00	583 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.64	2.14 W	0.00	584 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.62	2.12 W	0.00	585 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.61	2.11 W	0.00	586 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.40	1.90 W	0.00	587 of 1523 - Sale <sup>25</sup>
	2.700	31.05	06/01/20	37.04	5.99 W	0.00	588 of 1523 - Sale <sup>25</sup>
	3.000	34.50	06/01/20	48.96	14.46 W	0.00	589 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	60.50	17.95 W	0.00	590 of 1523 - Sale <sup>25</sup>
	4.000	46.00	06/01/20	60.00	14.00 W	0.00	591 of 1523 - Sale <sup>25</sup>
	4.000	46.00	06/01/20	59.99	13.99 W	0.00	592 of 1523 - Sale <sup>25</sup>
	7.000	80.50	06/01/20	114.25	33.75 W	0.00	593 of 1523 - Sale <sup>25</sup>
	7.000	80.50	06/01/20	95.67	15.17 W	0.00	594 of 1523 - Sale <sup>25</sup>
	7.300	83.95	06/01/20	118.91	34.96 W	0.00	595 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	8.300	95.45	06/01/20	113.85	18.40 W	0.00	596 of 1523 - Sale <sup>25</sup>
	10.000	114.99	06/01/20	137.18	22.19 W	0.00	597 of 1523 - Sale <sup>25</sup>
	10.300	118.44	06/01/20	139.75	21.31 W	0.00	598 of 1523 - Sale <sup>25</sup>
	21.300	244.94	06/01/20	348.30	103.36 W	0.00	599 of 1523 - Sale <sup>25</sup>
	0.700	8.05	06/01/20	9.32	1.27 W	0.00	600 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.33	1.83 W	0.00	601 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.33	1.83 W	0.00	602 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	13.40	1.90 W	0.00	603 of 1523 - Sale <sup>25</sup>
	1.000	11.49	06/01/20	13.34	1.85 W	0.00	604 of 1523 - Sale <sup>25</sup>
	0.300	3.45	06/01/20	4.02	0.57 W	0.00	605 of 1523 - Sale <sup>25</sup>
	1.700	19.55	06/01/20	22.53	2.98 W	0.00	606 of 1523 - Sale <sup>25</sup>
	1.000	11.50	06/01/20	12.41	0.91 W	0.00	607 of 1523 - Sale <sup>25</sup>
	1.300	14.95	06/01/20	16.32	1.37 W	0.00	608 of 1523 - Sale <sup>25</sup>
	2.000	23.00	06/01/20	24.48	1.48 W	0.00	609 of 1523 - Sale <sup>25</sup>
	3.700	42.55	06/01/20	45.36	2.81 W	0.00	610 of 1523 - Sale <sup>25</sup>
	4.700	54.05	06/01/20	56.81	2.76 W	0.00	611 of 1523 - Sale <sup>25</sup>
	5.000	57.50	06/01/20	61.78	4.28 W	0.00	612 of 1523 - Sale <sup>25</sup>
	5.300	60.95	06/01/20	64.87	3.92 W	0.00	613 of 1523 - Sale <sup>25</sup>
	7.000	80.50	06/01/20	92.78	12.28 W	0.00	614 of 1523 - Sale <sup>25</sup>
	9.000	103.50	06/01/20	110.16	6.66 W	0.00	615 of 1523 - Sale <sup>25</sup>
	9.300	106.94	06/01/20	112.81	5.87 W	0.00	616 of 1523 - Sale <sup>25</sup>
	10.700	123.04	06/01/20	129.79	6.75 W	0.00	617 of 1523 - Sale <sup>25</sup>
	17.000	195.49	06/01/20	208.08	12.59 W	0.00	618 of 1523 - Sale <sup>25</sup>
	22.000	252.99	06/01/20	266.86	13.87 W	0.00	619 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	12.16	0.53 W	0.00	620 of 1523 - Sale <sup>25</sup>
	1.300	15.12	06/01/20	15.84	0.72 W	0.00	621 of 1523 - Sale <sup>25</sup>
	2.700	31.40	06/01/20	32.41	1.01 W	0.00	622 of 1523 - Sale <sup>25</sup>
	3.700	43.03	06/01/20	45.49	2.46 W	0.00	623 of 1523 - Sale <sup>25</sup>
	5.000	58.15	06/01/20	61.03	2.88 W	0.00	624 of 1523 - Sale <sup>25</sup>
	5.000	58.15	06/01/20	60.48	2.33 W	0.00	625 of 1523 - Sale <sup>25</sup>
	10.000	116.30	06/01/20	121.15	4.85 W	0.00	626 of 1523 - Sale <sup>25</sup>
	12.000	139.55	06/01/20	145.60	6.05 W	0.00	627 of 1523 - Sale <sup>25</sup>
	16.300	189.56	06/01/20	198.14	8.58 W	0.00	628 of 1523 - Sale <sup>25</sup>
	19.000	220.96	06/01/20	230.89	9.93 W	0.00	629 of 1523 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	19.300	224.45	06/01/20	231.64	7.19 W	0.00	630 of 1523 - Sale <sup>25</sup>
	3.700	43.03	06/01/20	45.85	2.82 W	0.00	631 of 1523 - Sale <sup>25</sup>
	4.700	54.66	06/01/20	56.02	1.36 W	0.00	632 of 1523 - Sale <sup>25</sup>
	16.300	189.56	06/01/20	199.87	10.31 W	0.00	633 of 1523 - Sale <sup>25</sup>
	30.000	348.89	06/01/20	371.72	22.83 W	0.00	634 of 1523 - Sale <sup>25</sup>
	0.700	8.14	06/01/20	11.06	2.92 W	0.00	635 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	15.75	4.12 W	0.00	636 of 1523 - Sale <sup>25</sup>
	1.300	15.12	06/01/20	20.65	5.53 W	0.00	637 of 1523 - Sale <sup>25</sup>
	2.000	23.26	06/01/20	31.81	8.55 W	0.00	638 of 1523 - Sale <sup>25</sup>
	2.300	26.75	06/01/20	36.66	9.91 W	0.00	639 of 1523 - Sale <sup>25</sup>
	3.000	34.89	06/01/20	47.35	12.46 W	0.00	640 of 1523 - Sale <sup>25</sup>
	3.300	38.38	06/01/20	40.95	2.57 W	0.00	641 of 1523 - Sale <sup>25</sup>
	3.700	43.03	06/01/20	48.55	5.52 W	0.00	642 of 1523 - Sale <sup>25</sup>
	4.000	46.51	06/01/20	63.14	16.63 W	0.00	643 of 1523 - Sale <sup>25</sup>
	4.700	54.66	06/01/20	74.88	20.22 W	0.00	644 of 1523 - Sale <sup>25</sup>
	5.000	58.15	06/01/20	79.67	21.52 W	0.00	645 of 1523 - Sale <sup>25</sup>
	6.000	69.77	06/01/20	95.43	25.66 W	0.00	646 of 1523 - Sale <sup>25</sup>
	7.300	84.90	06/01/20	95.60	10.70 W	0.00	647 of 1523 - Sale <sup>25</sup>
	9.000	104.67	06/01/20	112.48	7.81 W	0.00	648 of 1523 - Sale <sup>25</sup>
	10.000	116.30	06/01/20	123.45	7.15 W	0.00	649 of 1523 - Sale <sup>25</sup>
	16.700	194.21	06/01/20	206.01	11.80 W	0.00	650 of 1523 - Sale <sup>25</sup>
	20.000	232.59	06/01/20	246.52	13.93 W	0.00	651 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	4.73	1.24 W	0.00	652 of 1523 - Sale <sup>25</sup>
	0.700	8.14	06/01/20	11.49	3.35 W	0.00	653 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	15.60	3.97 W	0.00	654 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	15.25	3.62 W	0.00	655 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	15.21	3.58 W	0.00	656 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	15.17	3.54 W	0.00	657 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	15.29	3.66 W	0.00	658 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	15.29	3.66 W	0.00	659 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	16.37	4.74 W	0.00	660 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	16.41	4.78 W	0.00	661 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	13.73	2.10 W	0.00	662 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	13.71	2.08 W	0.00	663 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.63	06/01/20	13.70	2.07 W	0.00	664 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	13.49	1.86 W	0.00	665 of 1523 - Sale <sup>25</sup>
	1.700	19.77	06/01/20	26.71	6.94 W	0.00	666 of 1523 - Sale <sup>25</sup>
	2.000	23.26	06/01/20	31.30	8.04 W	0.00	667 of 1523 - Sale <sup>25</sup>
	2.000	23.26	06/01/20	31.43	8.17 W	0.00	668 of 1523 - Sale <sup>25</sup>
	2.000	23.26	06/01/20	30.57	7.31 W	0.00	669 of 1523 - Sale <sup>25</sup>
	2.300	26.75	06/01/20	36.08	9.33 W	0.00	670 of 1523 - Sale <sup>25</sup>
	2.700	31.40	06/01/20	42.35	10.95 W	0.00	671 of 1523 - Sale <sup>25</sup>
	2.700	31.40	06/01/20	37.28	5.88 W	0.00	672 of 1523 - Sale <sup>25</sup>
	3.000	34.89	06/01/20	45.91	11.02 W	0.00	673 of 1523 - Sale <sup>25</sup>
	3.000	34.89	06/01/20	49.23	14.34 W	0.00	674 of 1523 - Sale <sup>25</sup>
	3.700	43.03	06/01/20	60.83	17.80 W	0.00	675 of 1523 - Sale <sup>25</sup>
	4.000	46.52	06/01/20	60.36	13.84 W	0.00	676 of 1523 - Sale <sup>25</sup>
	4.000	46.52	06/01/20	60.35	13.83 W	0.00	677 of 1523 - Sale <sup>25</sup>
	4.700	54.66	06/01/20	71.79	17.13 W	0.00	678 of 1523 - Sale <sup>25</sup>
	5.000	58.15	06/01/20	79.27	21.12 W	0.00	679 of 1523 - Sale <sup>25</sup>
	7.000	81.41	06/01/20	114.88	33.47 W	0.00	680 of 1523 - Sale <sup>25</sup>
	7.000	81.41	06/01/20	96.30	14.89 W	0.00	681 of 1523 - Sale <sup>25</sup>
	7.300	84.90	06/01/20	119.57	34.67 W	0.00	682 of 1523 - Sale <sup>25</sup>
	8.000	93.04	06/01/20	125.72	32.68 W	0.00	683 of 1523 - Sale <sup>25</sup>
	8.300	96.53	06/01/20	114.60	18.07 W	0.00	684 of 1523 - Sale <sup>25</sup>
	10.000	116.30	06/01/20	138.09	21.79 W	0.00	685 of 1523 - Sale <sup>25</sup>
	10.300	119.78	06/01/20	156.50	36.72 W	0.00	686 of 1523 - Sale <sup>25</sup>
	10.300	119.78	06/01/20	140.69	20.91 W	0.00	687 of 1523 - Sale <sup>25</sup>
	21.300	247.71	06/01/20	350.23	102.52 W	0.00	688 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	4.05	0.56 W	0.00	689 of 1523 - Sale <sup>25</sup>
	0.700	8.14	06/01/20	9.38	1.24 W	0.00	690 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	13.42	1.79 W	0.00	691 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	13.42	1.79 W	0.00	692 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	13.49	1.86 W	0.00	693 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	13.44	1.81 W	0.00	694 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	12.50	0.87 W	0.00	695 of 1523 - Sale <sup>25</sup>
	1.300	15.12	06/01/20	16.44	1.32 W	0.00	696 of 1523 - Sale <sup>25</sup>
	1.700	19.77	06/01/20	22.68	2.91 W	0.00	697 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.700	43.03	06/01/20	45.69	2.66 W	0.00	698 of 1523 - Sale <sup>25</sup>
	5.000	58.15	06/01/20	62.23	4.08 W	0.00	699 of 1523 - Sale <sup>25</sup>
	7.000	81.41	06/01/20	93.41	12.00 W	0.00	700 of 1523 - Sale <sup>25</sup>
	7.300	84.89	06/01/20	90.02	5.13 W	0.00	701 of 1523 - Sale <sup>25</sup>
	9.000	104.66	06/01/20	110.97	6.31 W	0.00	702 of 1523 - Sale <sup>25</sup>
	1.000	11.63	06/01/20	12.13	0.50 W	0.00	703 of 1523 - Sale <sup>25</sup>
	1.300	15.12	06/01/20	15.80	0.68 W	0.00	704 of 1523 - Sale <sup>25</sup>
	2.000	23.26	06/01/20	24.68	1.42 W	0.00	705 of 1523 - Sale <sup>25</sup>
	2.700	31.40	06/01/20	32.33	0.93 W	0.00	706 of 1523 - Sale <sup>25</sup>
	3.700	43.03	06/01/20	45.38	2.35 W	0.00	707 of 1523 - Sale <sup>25</sup>
	4.700	54.66	06/01/20	57.28	2.62 W	0.00	708 of 1523 - Sale <sup>25</sup>
	5.000	58.15	06/01/20	60.88	2.73 W	0.00	709 of 1523 - Sale <sup>25</sup>
	5.000	58.15	06/01/20	60.33	2.18 W	0.00	710 of 1523 - Sale <sup>25</sup>
	5.300	61.64	06/01/20	65.40	3.76 W	0.00	711 of 1523 - Sale <sup>25</sup>
	9.300	108.15	06/01/20	113.75	5.60 W	0.00	712 of 1523 - Sale <sup>25</sup>
	9.700	112.81	06/01/20	119.70	6.89 W	0.00	713 of 1523 - Sale <sup>25</sup>
	10.000	116.29	06/01/20	120.85	4.56 W	0.00	714 of 1523 - Sale <sup>25</sup>
	10.700	124.43	06/01/20	130.87	6.44 W	0.00	715 of 1523 - Sale <sup>25</sup>
	12.000	139.55	06/01/20	145.25	5.70 W	0.00	716 of 1523 - Sale <sup>25</sup>
	16.300	189.56	06/01/20	197.66	8.10 W	0.00	717 of 1523 - Sale <sup>25</sup>
	19.000	220.96	06/01/20	230.33	9.37 W	0.00	718 of 1523 - Sale <sup>25</sup>
	17.000	197.70	06/01/20	203.53	5.83 W	0.00	719 of 1523 - Sale <sup>25</sup>
	22.000	255.85	06/01/20	269.07	13.22 W	0.00	720 of 1523 - Sale <sup>25</sup>
	1.000	11.36	06/01/20	11.97	0.61 W	0.00	721 of 1523 - Sale <sup>25</sup>
	1.000	11.36	06/01/20	11.98	0.62 W	0.00	722 of 1523 - Sale <sup>25</sup>
	0.300	3.41	06/01/20	3.59	0.18 W	0.00	723 of 1523 - Sale <sup>25</sup>
	4.700	53.39	06/01/20	56.59	3.20 W	0.00	724 of 1523 - Sale <sup>25</sup>
	5.000	56.80	06/01/20	61.91	5.11 W	0.00	725 of 1523 - Sale <sup>25</sup>
	10.000	113.60	06/01/20	123.82	10.22 W	0.00	726 of 1523 - Sale <sup>25</sup>
	3.700	42.22	06/01/20	46.30	4.08 W	0.00	727 of 1523 - Sale <sup>25</sup>
	1.300	14.83	06/01/20	16.10	1.27 W	0.00	728 of 1523 - Sale <sup>25</sup>
	15.000	171.15	06/01/20	187.67	16.52 W	0.00	729 of 1523 - Sale <sup>25</sup>
	1.000	11.41	06/01/20	12.51	1.10 W	0.00	730 of 1523 - Sale <sup>25</sup>
	1.000	11.42	06/01/20	12.47	1.05 W	0.00	731 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.300	37.78	06/01/20	41.34	3.56 W	0.00	732 of 1523 - Sale <sup>25</sup>
	2.700	30.92	06/01/20	33.66	2.74 W	0.00	733 of 1523 - Sale <sup>25</sup>
	14.000	160.30	06/01/20	175.15	14.85 W	0.00	734 of 1523 - Sale <sup>25</sup>
	1.000	11.46	06/01/20	12.46	1.00 W	0.00	735 of 1523 - Sale <sup>25</sup>
	1.000	11.37	06/01/20	12.46	1.09 W	0.00	736 of 1523 - Sale <sup>25</sup>
	1.000	11.35	06/01/20	12.46	1.11 W	0.00	737 of 1523 - Sale <sup>25</sup>
	1.000	11.35	06/01/20	12.47	1.12 W	0.00	738 of 1523 - Sale <sup>25</sup>
	1.000	11.32	06/01/20	12.47	1.15 W	0.00	739 of 1523 - Sale <sup>25</sup>
	1.300	14.62	06/01/20	16.20	1.58 W	0.00	740 of 1523 - Sale <sup>25</sup>
	15.300	172.12	06/01/20	190.43	18.31 W	0.00	741 of 1523 - Sale <sup>25</sup>
	16.700	187.87	06/01/20	208.03	20.16 W	0.00	742 of 1523 - Sale <sup>25</sup>
	4.700	52.87	06/01/20	58.35	5.48 W	0.00	743 of 1523 - Sale <sup>25</sup>
	3.000	33.75	06/01/20	39.56	5.81 W	0.00	744 of 1523 - Sale <sup>25</sup>
	9.000	101.25	06/01/20	113.29	12.04 W	0.00	745 of 1523 - Sale <sup>25</sup>
	0.300	3.37	06/01/20	4.76	1.39 W	0.00	746 of 1523 - Sale <sup>25</sup>
	0.700	7.87	06/01/20	11.12	3.25 W	0.00	747 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	15.84	4.59 W	0.00	748 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	15.69	4.44 W	0.00	749 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	15.34	4.09 W	0.00	750 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	15.30	4.05 W	0.00	751 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	15.26	4.01 W	0.00	752 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	15.38	4.13 W	0.00	753 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	15.38	4.13 W	0.00	754 of 1523 - Sale <sup>25</sup>
	1.300	14.62	06/01/20	20.77	6.15 W	0.00	755 of 1523 - Sale <sup>25</sup>
	1.700	19.12	06/01/20	26.86	7.74 W	0.00	756 of 1523 - Sale <sup>25</sup>
	2.000	22.50	06/01/20	31.99	9.49 W	0.00	757 of 1523 - Sale <sup>25</sup>
	2.000	22.50	06/01/20	31.48	8.98 W	0.00	758 of 1523 - Sale <sup>25</sup>
	2.000	22.50	06/01/20	31.61	9.11 W	0.00	759 of 1523 - Sale <sup>25</sup>
	2.000	22.50	06/01/20	30.75	8.25 W	0.00	760 of 1523 - Sale <sup>25</sup>
	2.300	25.87	06/01/20	36.87	11.00 W	0.00	761 of 1523 - Sale <sup>25</sup>
	2.300	25.87	06/01/20	36.29	10.42 W	0.00	762 of 1523 - Sale <sup>25</sup>
	2.700	30.37	06/01/20	42.59	12.22 W	0.00	763 of 1523 - Sale <sup>25</sup>
	3.000	33.75	06/01/20	47.62	13.87 W	0.00	764 of 1523 - Sale <sup>25</sup>
	3.000	33.75	06/01/20	46.18	12.43 W	0.00	765 of 1523 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.300	37.13	06/01/20	50.10	12.97 W	0.00	766 of 1523 - Sale <sup>25</sup>
	3.700	41.62	06/01/20	48.88	7.26 W	0.00	767 of 1523 - Sale <sup>25</sup>
	4.000	45.00	06/01/20	63.51	18.51 W	0.00	768 of 1523 - Sale <sup>25</sup>
	4.700	52.87	06/01/20	75.30	22.43 W	0.00	769 of 1523 - Sale <sup>25</sup>
	4.700	52.87	06/01/20	72.21	19.34 W	0.00	770 of 1523 - Sale <sup>25</sup>
	5.000	56.25	06/01/20	80.12	23.87 W	0.00	771 of 1523 - Sale <sup>25</sup>
	5.000	56.25	06/01/20	79.72	23.47 W	0.00	772 of 1523 - Sale <sup>25</sup>
	6.000	67.50	06/01/20	95.98	28.48 W	0.00	773 of 1523 - Sale <sup>25</sup>
	4.300	48.37	06/01/20	56.70	8.33 W	0.00	774 of 1523 - Sale <sup>25</sup>
	8.000	90.00	06/01/20	126.44	36.44 W	0.00	775 of 1523 - Sale <sup>25</sup>
	10.300	115.87	06/01/20	157.44	41.57 W	0.00	776 of 1523 - Sale <sup>25</sup>
	0.300	3.38	06/01/20	4.13	0.75 W	0.00	777 of 1523 - Sale <sup>25</sup>
	0.700	7.87	06/01/20	10.62	2.75 W	0.00	778 of 1523 - Sale <sup>25</sup>
	0.700	7.87	06/01/20	11.55	3.68 W	0.00	779 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	16.46	5.21 W	0.00	780 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	16.50	5.25 W	0.00	781 of 1523 - Sale <sup>25</sup>
	3.000	33.75	06/01/20	49.50	15.75 W	0.00	782 of 1523 - Sale <sup>25</sup>
	3.700	41.62	06/01/20	61.16	19.54 W	0.00	783 of 1523 - Sale <sup>25</sup>
	4.000	45.00	06/01/20	60.71	15.71 W	0.00	784 of 1523 - Sale <sup>25</sup>
	7.000	78.75	06/01/20	115.51	36.76 W	0.00	785 of 1523 - Sale <sup>25</sup>
	7.300	82.13	06/01/20	120.23	38.10 W	0.00	786 of 1523 - Sale <sup>25</sup>
	21.300	239.63	06/01/20	352.16	112.53 W	0.00	787 of 1523 - Sale <sup>25</sup>
	6.700	75.37	06/01/20	91.99	16.62 W	0.00	788 of 1523 - Sale <sup>25</sup>
	0.300	3.38	06/01/20	4.07	0.69 W	0.00	789 of 1523 - Sale <sup>25</sup>
	0.700	7.87	06/01/20	9.43	1.56 W	0.00	790 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.80	2.55 W	0.00	791 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.78	2.53 W	0.00	792 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.77	2.52 W	0.00	793 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.56	2.31 W	0.00	794 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.49	2.24 W	0.00	795 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.49	2.24 W	0.00	796 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.56	2.31 W	0.00	797 of 1523 - Sale <sup>25</sup>
	1.000	11.25	06/01/20	13.51	2.26 W	0.00	798 of 1523 - Sale <sup>25</sup>
	1.300	14.63	06/01/20	17.44	2.81 W	0.00	799 of 1523 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.700	19.12	06/01/20	22.80	3.68 W	0.00	800 of 1523 - Sale <sup>25</sup>
	2.700	30.37	06/01/20	37.47	7.10 W	0.00	801 of 1523 - Sale <sup>25</sup>
	7.000	78.75	06/01/20	96.79	18.04 W	0.00	802 of 1523 - Sale <sup>25</sup>
	8.300	93.37	06/01/20	115.18	21.81 W	0.00	803 of 1523 - Sale <sup>25</sup>
	3.300	37.12	06/01/20	45.31	8.19 W	0.00	804 of 1523 - Sale <sup>25</sup>
	10.000	112.49	06/01/20	138.79	26.30 W	0.00	805 of 1523 - Sale <sup>25</sup>
	1.300	14.63	06/01/20	16.53	1.90 W	0.00	806 of 1523 - Sale <sup>25</sup>
	1.700	19.12	06/01/20	21.28	2.16 W	0.00	807 of 1523 - Sale <sup>25</sup>
	5.700	64.12	06/01/20	76.46	12.34 W	0.00	808 of 1523 - Sale <sup>25</sup>
	2.000	22.72	06/01/20	25.03	2.31 W	0.00	809 of 1523 - Sale <sup>25</sup>
	1.000	11.39	06/01/20	12.57	1.18 W	0.00	810 of 1523 - Sale <sup>25</sup>
	2.000	22.78	06/01/20	24.82	2.04 W	0.00	811 of 1523 - Sale <sup>25</sup>
	3.700	42.14	06/01/20	45.95	3.81 W	0.00	812 of 1523 - Sale <sup>25</sup>
	1.300	14.81	06/01/20	16.27	1.46 W	0.00	813 of 1523 - Sale <sup>25</sup>
	5.300	60.36	06/01/20	65.77	5.41 W	0.00	814 of 1523 - Sale <sup>25</sup>
	7.300	83.14	06/01/20	90.54	7.40 W	0.00	815 of 1523 - Sale <sup>25</sup>
	9.000	102.51	06/01/20	111.61	9.10 W	0.00	816 of 1523 - Sale <sup>25</sup>
	9.700	110.48	06/01/20	120.38	9.90 W	0.00	817 of 1523 - Sale <sup>25</sup>
	1.300	14.81	06/01/20	15.88	1.07 W	0.00	818 of 1523 - Sale <sup>25</sup>
	3.700	42.14	06/01/20	45.60	3.46 W	0.00	819 of 1523 - Sale <sup>25</sup>
	4.700	53.53	06/01/20	57.56	4.03 W	0.00	820 of 1523 - Sale <sup>25</sup>
	5.000	56.95	06/01/20	61.18	4.23 W	0.00	821 of 1523 - Sale <sup>25</sup>
	5.000	56.95	06/01/20	60.63	3.68 W	0.00	822 of 1523 - Sale <sup>25</sup>
	9.300	105.92	06/01/20	114.32	8.40 W	0.00	823 of 1523 - Sale <sup>25</sup>
	10.000	113.90	06/01/20	121.46	7.56 W	0.00	824 of 1523 - Sale <sup>25</sup>
	10.700	121.87	06/01/20	131.52	9.65 W	0.00	825 of 1523 - Sale <sup>25</sup>
	12.000	136.68	06/01/20	145.98	9.30 W	0.00	826 of 1523 - Sale <sup>25</sup>
	16.300	185.65	06/01/20	198.65	13.00 W	0.00	827 of 1523 - Sale <sup>25</sup>
	22.000	250.57	06/01/20	270.40	19.83 W	0.00	828 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	3.66	0.24 W	0.00	829 of 1523 - Sale <sup>25</sup>
	1.000	11.39	06/01/20	12.11	0.72 W	0.00	830 of 1523 - Sale <sup>25</sup>
	1.000	11.39	06/01/20	12.22	0.83 W	0.00	831 of 1523 - Sale <sup>25</sup>
	1.000	11.39	06/01/20	12.23	0.84 W	0.00	832 of 1523 - Sale <sup>25</sup>
	2.700	30.75	06/01/20	32.27	1.52 W	0.00	833 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.700	53.53	06/01/20	57.75	4.22 W	0.00	834 of 1523 - Sale <sup>25</sup>
	5.000	56.95	06/01/20	63.14	6.19 W	0.00	835 of 1523 - Sale <sup>25</sup>
	9.000	102.50	06/01/20	113.66	11.16 W	0.00	836 of 1523 - Sale <sup>25</sup>
	17.000	193.62	06/01/20	203.15	9.53 W	0.00	837 of 1523 - Sale <sup>25</sup>
	19.000	216.40	06/01/20	229.90	13.50 W	0.00	838 of 1523 - Sale <sup>25</sup>
	1.300	14.79	06/01/20	16.36	1.57 W	0.00	839 of 1523 - Sale <sup>25</sup>
	2.700	30.73	06/01/20	34.32	3.59 W	0.00	840 of 1523 - Sale <sup>25</sup>
	1.000	11.38	06/01/20	12.63	1.25 W	0.00	841 of 1523 - Sale <sup>25</sup>
	1.000	11.38	06/01/20	12.71	1.33 W	0.00	842 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	63.54	6.54 W	0.00	843 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.71	1.31 W	0.00	844 of 1523 - Sale <sup>25</sup>
	3.300	37.62	06/01/20	41.86	4.24 W	0.00	845 of 1523 - Sale <sup>25</sup>
	14.000	159.59	06/01/20	177.35	17.76 W	0.00	846 of 1523 - Sale <sup>25</sup>
	10.000	114.00	06/01/20	127.08	13.08 W	0.00	847 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.58	1.18 W	0.00	848 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.63	1.23 W	0.00	849 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.67	1.27 W	0.00	850 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.70	1.30 W	0.00	851 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.69	1.29 W	0.00	852 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.73	1.33 W	0.00	853 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.64	1.82 W	0.00	854 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	34.01	3.23 W	0.00	855 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	40.56	6.36 W	0.00	856 of 1523 - Sale <sup>25</sup>
	4.000	45.60	06/01/20	54.08	8.48 W	0.00	857 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	59.92	6.34 W	0.00	858 of 1523 - Sale <sup>25</sup>
	9.000	102.59	06/01/20	116.29	13.70 W	0.00	859 of 1523 - Sale <sup>25</sup>
	15.300	174.41	06/01/20	195.53	21.12 W	0.00	860 of 1523 - Sale <sup>25</sup>
	16.700	190.37	06/01/20	213.60	23.23 W	0.00	861 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.86	1.44 W	0.00	862 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.22	0.80 W	0.00	863 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.17	0.75 W	0.00	864 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	3.72	0.30 W	0.00	865 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	11.36	3.38 W	0.00	866 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	10.86	2.88 W	0.00	867 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	7.98	06/01/20	11.79	3.81 W	0.00	868 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	9.67	1.69 W	0.00	869 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	16.17	4.77 W	0.00	870 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	16.02	4.62 W	0.00	871 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.67	4.27 W	0.00	872 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.63	4.23 W	0.00	873 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.59	4.19 W	0.00	874 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.71	4.31 W	0.00	875 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.71	4.31 W	0.00	876 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	16.79	5.39 W	0.00	877 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	16.83	5.43 W	0.00	878 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	14.13	2.73 W	0.00	879 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	14.11	2.71 W	0.00	880 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	14.10	2.70 W	0.00	881 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.89	2.49 W	0.00	882 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.82	2.42 W	0.00	883 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.82	2.42 W	0.00	884 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.89	2.49 W	0.00	885 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.84	2.44 W	0.00	886 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.76	1.36 W	0.00	887 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.30	0.90 W	0.00	888 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.41	1.01 W	0.00	889 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.42	1.02 W	0.00	890 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.83	1.43 W	0.00	891 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.91	1.51 W	0.00	892 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.89	1.49 W	0.00	893 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	21.21	6.39 W	0.00	894 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	17.87	3.05 W	0.00	895 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.96	2.14 W	0.00	896 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.52	1.70 W	0.00	897 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.13	1.31 W	0.00	898 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.63	1.81 W	0.00	899 of 1523 - Sale <sup>25</sup>
	1.700	19.38	06/01/20	27.43	8.05 W	0.00	900 of 1523 - Sale <sup>25</sup>
	1.700	19.38	06/01/20	23.37	3.99 W	0.00	901 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.700	19.38	06/01/20	21.85	2.47 W	0.00	902 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	32.66	9.86 W	0.00	903 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	32.15	9.35 W	0.00	904 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	32.28	9.48 W	0.00	905 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	31.42	8.62 W	0.00	906 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	25.48	2.68 W	0.00	907 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	25.21	2.41 W	0.00	908 of 1523 - Sale <sup>25</sup>
	2.300	26.22	06/01/20	37.64	11.42 W	0.00	909 of 1523 - Sale <sup>25</sup>
	2.300	26.22	06/01/20	37.06	10.84 W	0.00	910 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	43.49	12.71 W	0.00	911 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	38.37	7.59 W	0.00	912 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	32.80	2.02 W	0.00	913 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	34.86	4.08 W	0.00	914 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	48.62	14.42 W	0.00	915 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	47.18	12.98 W	0.00	916 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	50.50	16.30 W	0.00	917 of 1523 - Sale <sup>25</sup>
	3.300	37.62	06/01/20	51.19	13.57 W	0.00	918 of 1523 - Sale <sup>25</sup>
	3.300	37.62	06/01/20	46.41	8.79 W	0.00	919 of 1523 - Sale <sup>25</sup>
	3.300	37.62	06/01/20	42.47	4.85 W	0.00	920 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	50.12	7.94 W	0.00	921 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	62.40	20.22 W	0.00	922 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	46.67	4.49 W	0.00	923 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	46.32	4.14 W	0.00	924 of 1523 - Sale <sup>25</sup>
	4.000	45.60	06/01/20	64.84	19.24 W	0.00	925 of 1523 - Sale <sup>25</sup>
	4.000	45.60	06/01/20	62.04	16.44 W	0.00	926 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.06	0.64 W	0.00	927 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	76.87	23.29 W	0.00	928 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	73.78	20.20 W	0.00	929 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	58.47	4.89 W	0.00	930 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	58.66	5.08 W	0.00	931 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	81.79	24.79 W	0.00	932 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	81.39	24.39 W	0.00	933 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	62.15	5.15 W	0.00	934 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	61.60	4.60 W	0.00	935 of 1523 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.000	57.00	06/01/20	64.11	7.11 W	0.00	936 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	64.46	7.46 W	0.00	937 of 1523 - Sale <sup>25</sup>
	5.300	60.42	06/01/20	66.80	6.38 W	0.00	938 of 1523 - Sale <sup>25</sup>
	5.700	64.98	06/01/20	78.36	13.38 W	0.00	939 of 1523 - Sale <sup>25</sup>
	6.000	68.40	06/01/20	97.98	29.58 W	0.00	940 of 1523 - Sale <sup>25</sup>
	6.700	76.38	06/01/20	94.23	17.85 W	0.00	941 of 1523 - Sale <sup>25</sup>
	7.000	79.80	06/01/20	117.84	38.04 W	0.00	942 of 1523 - Sale <sup>25</sup>
	7.000	79.80	06/01/20	99.12	19.32 W	0.00	943 of 1523 - Sale <sup>25</sup>
	7.300	83.22	06/01/20	122.66	39.44 W	0.00	944 of 1523 - Sale <sup>25</sup>
	7.300	83.22	06/01/20	91.96	8.74 W	0.00	945 of 1523 - Sale <sup>25</sup>
	8.000	91.20	06/01/20	129.10	37.90 W	0.00	946 of 1523 - Sale <sup>25</sup>
	8.300	94.62	06/01/20	117.95	23.33 W	0.00	947 of 1523 - Sale <sup>25</sup>
	9.000	102.60	06/01/20	113.35	10.75 W	0.00	948 of 1523 - Sale <sup>25</sup>
	9.000	102.59	06/01/20	115.41	12.82 W	0.00	949 of 1523 - Sale <sup>25</sup>
	9.300	106.01	06/01/20	116.12	10.11 W	0.00	950 of 1523 - Sale <sup>25</sup>
	9.700	110.57	06/01/20	122.26	11.69 W	0.00	951 of 1523 - Sale <sup>25</sup>
	10.000	114.00	06/01/20	142.13	28.13 W	0.00	952 of 1523 - Sale <sup>25</sup>
	10.000	113.99	06/01/20	123.39	9.40 W	0.00	953 of 1523 - Sale <sup>25</sup>
	10.000	113.99	06/01/20	128.91	14.92 W	0.00	954 of 1523 - Sale <sup>25</sup>
	10.300	117.42	06/01/20	160.88	43.46 W	0.00	955 of 1523 - Sale <sup>25</sup>
	10.700	121.97	06/01/20	133.59	11.62 W	0.00	956 of 1523 - Sale <sup>25</sup>
	12.000	136.79	06/01/20	148.30	11.51 W	0.00	957 of 1523 - Sale <sup>25</sup>
	14.000	159.59	06/01/20	179.93	20.34 W	0.00	958 of 1523 - Sale <sup>25</sup>
	16.300	185.81	06/01/20	201.81	16.00 W	0.00	959 of 1523 - Sale <sup>25</sup>
	17.000	193.79	06/01/20	206.45	12.66 W	0.00	960 of 1523 - Sale <sup>25</sup>
	19.000	216.59	06/01/20	233.58	16.99 W	0.00	961 of 1523 - Sale <sup>25</sup>
	21.300	242.81	06/01/20	359.25	116.44 W	0.00	962 of 1523 - Sale <sup>25</sup>
	22.000	250.79	06/01/20	274.66	23.87 W	0.00	963 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.65	1.25 W	0.00	964 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.70	1.30 W	0.00	965 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.74	1.34 W	0.00	966 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.77	1.37 W	0.00	967 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.76	1.36 W	0.00	968 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.80	1.40 W	0.00	969 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.300	14.82	06/01/20	16.73	1.91 W	0.00	970 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	34.20	3.42 W	0.00	971 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	40.77	6.57 W	0.00	972 of 1523 - Sale <sup>25</sup>
	4.000	45.60	06/01/20	54.36	8.76 W	0.00	973 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	60.25	6.67 W	0.00	974 of 1523 - Sale <sup>25</sup>
	9.000	102.59	06/01/20	116.93	14.34 W	0.00	975 of 1523 - Sale <sup>25</sup>
	15.300	174.41	06/01/20	196.61	22.20 W	0.00	976 of 1523 - Sale <sup>25</sup>
	16.700	190.37	06/01/20	214.78	24.41 W	0.00	977 of 1523 - Sale <sup>25</sup>
	37.300	425.19	06/01/20	427.83	2.64 W	0.00	978 of 1523 - Sale <sup>25</sup>
	62.700	714.74	06/01/20	714.77	0.03 W	0.00	979 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.06	0.64 W	0.00	980 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.86	1.44 W	0.00	981 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	11.36	3.38 W	0.00	982 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	16.17	4.77 W	0.00	983 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	16.02	4.62 W	0.00	984 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	21.21	6.39 W	0.00	985 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	32.66	9.86 W	0.00	986 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	32.15	9.35 W	0.00	987 of 1523 - Sale <sup>25</sup>
	2.300	26.22	06/01/20	37.64	11.42 W	0.00	988 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	48.62	14.42 W	0.00	989 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	50.12	7.94 W	0.00	990 of 1523 - Sale <sup>25</sup>
	4.000	45.60	06/01/20	64.84	19.24 W	0.00	991 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	76.87	23.29 W	0.00	992 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	81.79	24.79 W	0.00	993 of 1523 - Sale <sup>25</sup>
	6.000	68.40	06/01/20	97.98	29.58 W	0.00	994 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.21	0.79 W	0.00	995 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	10.84	2.86 W	0.00	996 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	11.77	3.79 W	0.00	997 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.64	4.24 W	0.00	998 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.59	4.19 W	0.00	999 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.56	4.16 W	0.00	1000 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.67	4.27 W	0.00	1001 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	15.68	4.28 W	0.00	1002 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	16.75	5.35 W	0.00	1003 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.40	06/01/20	16.79	5.39 W	0.00	1004 of 1523 - Sale <sup>25</sup>
	1.700	19.38	06/01/20	27.37	7.99 W	0.00	1005 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	32.21	9.41 W	0.00	1006 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	31.35	8.55 W	0.00	1007 of 1523 - Sale <sup>25</sup>
	2.300	26.22	06/01/20	36.98	10.76 W	0.00	1008 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	43.40	12.62 W	0.00	1009 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	47.07	12.87 W	0.00	1010 of 1523 - Sale <sup>25</sup>
	3.000	34.20	06/01/20	50.39	16.19 W	0.00	1011 of 1523 - Sale <sup>25</sup>
	3.300	37.62	06/01/20	51.07	13.45 W	0.00	1012 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	62.27	20.09 W	0.00	1013 of 1523 - Sale <sup>25</sup>
	4.000	45.60	06/01/20	61.90	16.30 W	0.00	1014 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	73.62	20.04 W	0.00	1015 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	81.21	24.21 W	0.00	1016 of 1523 - Sale <sup>25</sup>
	7.000	79.80	06/01/20	117.60	37.80 W	0.00	1017 of 1523 - Sale <sup>25</sup>
	7.300	83.22	06/01/20	122.40	39.18 W	0.00	1018 of 1523 - Sale <sup>25</sup>
	8.000	91.20	06/01/20	128.82	37.62 W	0.00	1019 of 1523 - Sale <sup>25</sup>
	10.300	117.41	06/01/20	160.52	43.11 W	0.00	1020 of 1523 - Sale <sup>25</sup>
	21.300	242.81	06/01/20	358.52	115.71 W	0.00	1021 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	14.11	2.71 W	0.00	1022 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	4.18	0.76 W	0.00	1023 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	9.68	1.70 W	0.00	1024 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	14.15	2.75 W	0.00	1025 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	14.13	2.73 W	0.00	1026 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	14.12	2.72 W	0.00	1027 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.91	2.51 W	0.00	1028 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.84	2.44 W	0.00	1029 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.84	2.44 W	0.00	1030 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.91	2.51 W	0.00	1031 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.86	2.46 W	0.00	1032 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.77	2.37 W	0.00	1033 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	17.90	3.08 W	0.00	1034 of 1523 - Sale <sup>25</sup>
	1.700	19.38	06/01/20	23.40	4.02 W	0.00	1035 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	38.42	7.64 W	0.00	1036 of 1523 - Sale <sup>25</sup>
	3.300	37.62	06/01/20	46.48	8.86 W	0.00	1037 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.700	64.98	06/01/20	80.28	15.30 W	0.00	1038 of 1523 - Sale <sup>25</sup>
	7.000	79.80	06/01/20	99.26	19.46 W	0.00	1039 of 1523 - Sale <sup>25</sup>
	8.300	94.61	06/01/20	118.12	23.51 W	0.00	1040 of 1523 - Sale <sup>25</sup>
	10.000	113.99	06/01/20	142.33	28.34 W	0.00	1041 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	3.88	0.46 W	0.00	1042 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	64.09	10.51 W	0.00	1043 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.95	1.55 W	0.00	1044 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.66	1.26 W	0.00	1045 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.39	1.57 W	0.00	1046 of 1523 - Sale <sup>25</sup>
	1.700	19.38	06/01/20	21.68	2.30 W	0.00	1047 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	25.28	2.48 W	0.00	1048 of 1523 - Sale <sup>25</sup>
	2.000	22.80	06/01/20	25.01	2.21 W	0.00	1049 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	46.30	4.12 W	0.00	1050 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	58.00	4.42 W	0.00	1051 of 1523 - Sale <sup>25</sup>
	5.300	60.42	06/01/20	66.27	5.85 W	0.00	1052 of 1523 - Sale <sup>25</sup>
	7.300	83.21	06/01/20	91.23	8.02 W	0.00	1053 of 1523 - Sale <sup>25</sup>
	9.000	102.59	06/01/20	112.45	9.86 W	0.00	1054 of 1523 - Sale <sup>25</sup>
	9.300	106.01	06/01/20	115.20	9.19 W	0.00	1055 of 1523 - Sale <sup>25</sup>
	9.700	110.57	06/01/20	121.30	10.73 W	0.00	1056 of 1523 - Sale <sup>25</sup>
	10.700	121.97	06/01/20	132.53	10.56 W	0.00	1057 of 1523 - Sale <sup>25</sup>
	16.000	182.39	06/01/20	196.51	14.12 W	0.00	1058 of 1523 - Sale <sup>25</sup>
	22.000	250.78	06/01/20	272.47	21.69 W	0.00	1059 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	3.69	0.27 W	0.00	1060 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.20	0.80 W	0.00	1061 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.31	0.91 W	0.00	1062 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.32	0.92 W	0.00	1063 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.00	1.18 W	0.00	1064 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	32.53	1.75 W	0.00	1065 of 1523 - Sale <sup>25</sup>
	3.700	42.18	06/01/20	45.95	3.77 W	0.00	1066 of 1523 - Sale <sup>25</sup>
	4.300	49.02	06/01/20	54.70	5.68 W	0.00	1067 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	58.19	4.61 W	0.00	1068 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	61.65	4.65 W	0.00	1069 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	61.10	4.10 W	0.00	1070 of 1523 - Sale <sup>25</sup>
	10.000	114.00	06/01/20	122.40	8.40 W	0.00	1071 of 1523 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	12.000	136.79	06/01/20	147.11	10.32 W	0.00	1072 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	3.68	0.26 W	0.00	1073 of 1523 - Sale <sup>25</sup>
	17.000	193.79	06/01/20	204.76	10.97 W	0.00	1074 of 1523 - Sale <sup>25</sup>
	19.000	216.59	06/01/20	231.69	15.10 W	0.00	1075 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	8.92	0.94 W	0.00	1076 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.74	1.34 W	0.00	1077 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.70	1.30 W	0.00	1078 of 1523 - Sale <sup>25</sup>
	9.000	102.59	06/01/20	114.61	12.02 W	0.00	1079 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.82	1.42 W	0.00	1080 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.80	1.40 W	0.00	1081 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.56	1.16 W	0.00	1082 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.61	1.21 W	0.00	1083 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.65	1.25 W	0.00	1084 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.68	1.28 W	0.00	1085 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.67	1.27 W	0.00	1086 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.71	1.31 W	0.00	1087 of 1523 - Sale <sup>25</sup>
	0.300	3.42	06/01/20	3.81	0.39 W	0.00	1088 of 1523 - Sale <sup>25</sup>
	1.300	14.82	06/01/20	16.61	1.79 W	0.00	1089 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	34.62	3.84 W	0.00	1090 of 1523 - Sale <sup>25</sup>
	2.700	30.78	06/01/20	33.96	3.18 W	0.00	1091 of 1523 - Sale <sup>25</sup>
	3.300	37.62	06/01/20	42.17	4.55 W	0.00	1092 of 1523 - Sale <sup>25</sup>
	4.300	49.01	06/01/20	55.48	6.47 W	0.00	1093 of 1523 - Sale <sup>25</sup>
	4.700	53.58	06/01/20	59.83	6.25 W	0.00	1094 of 1523 - Sale <sup>25</sup>
	5.000	57.00	06/01/20	64.01	7.01 W	0.00	1095 of 1523 - Sale <sup>25</sup>
	10.000	114.00	06/01/20	128.02	14.02 W	0.00	1096 of 1523 - Sale <sup>25</sup>
	14.000	159.59	06/01/20	178.68	19.09 W	0.00	1097 of 1523 - Sale <sup>25</sup>
	15.300	174.41	06/01/20	195.24	20.83 W	0.00	1098 of 1523 - Sale <sup>25</sup>
	16.700	190.37	06/01/20	213.29	22.92 W	0.00	1099 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	12.97	1.57 W	0.00	1100 of 1523 - Sale <sup>25</sup>
	1.000	11.40	06/01/20	13.00	1.60 W	0.00	1101 of 1523 - Sale <sup>25</sup>
	0.700	7.98	06/01/20	9.15	1.17 W	0.00	1102 of 1523 - Sale <sup>25</sup>
	0.300	3.51	06/01/20	3.92	0.41 W	0.00	1103 of 1523 - Sale <sup>25</sup>
	1.700	19.86	06/01/20	22.35	2.49 W	0.00	1104 of 1523 - Sale <sup>25</sup>
	3.000	35.04	06/01/20	41.24	6.20 W	0.00	1105 of 1523 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.000	46.72	06/01/20	54.98	8.26 W	0.00	1106 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	4.22	0.70 W	0.00	1107 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	5.02	1.50 W	0.00	1108 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	11.73	3.51 W	0.00	1109 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	11.21	2.99 W	0.00	1110 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	16.69	4.94 W	0.00	1111 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	16.55	4.80 W	0.00	1112 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	16.16	4.41 W	0.00	1113 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	16.12	4.37 W	0.00	1114 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	16.08	4.33 W	0.00	1115 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	16.20	4.45 W	0.00	1116 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	16.20	4.45 W	0.00	1117 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	17.27	5.52 W	0.00	1118 of 1523 - Sale <sup>25</sup>
	1.300	15.27	06/01/20	21.89	6.62 W	0.00	1119 of 1523 - Sale <sup>25</sup>
	1.300	15.27	06/01/20	21.94	6.67 W	0.00	1120 of 1523 - Sale <sup>25</sup>
	1.700	19.97	06/01/20	28.26	8.29 W	0.00	1121 of 1523 - Sale <sup>25</sup>
	2.000	23.50	06/01/20	33.71	10.21 W	0.00	1122 of 1523 - Sale <sup>25</sup>
	2.000	23.50	06/01/20	33.20	9.70 W	0.00	1123 of 1523 - Sale <sup>25</sup>
	2.000	23.50	06/01/20	33.26	9.76 W	0.00	1124 of 1523 - Sale <sup>25</sup>
	2.000	23.50	06/01/20	32.40	8.90 W	0.00	1125 of 1523 - Sale <sup>25</sup>
	2.300	27.02	06/01/20	38.85	11.83 W	0.00	1126 of 1523 - Sale <sup>25</sup>
	2.300	27.02	06/01/20	38.19	11.17 W	0.00	1127 of 1523 - Sale <sup>25</sup>
	2.700	31.72	06/01/20	44.82	13.10 W	0.00	1128 of 1523 - Sale <sup>25</sup>
	3.000	35.25	06/01/20	50.19	14.94 W	0.00	1129 of 1523 - Sale <sup>25</sup>
	3.000	35.25	06/01/20	48.65	13.40 W	0.00	1130 of 1523 - Sale <sup>25</sup>
	3.300	38.77	06/01/20	52.80	14.03 W	0.00	1131 of 1523 - Sale <sup>25</sup>
	3.400	39.95	06/01/20	57.40	17.45 W	0.00	1132 of 1523 - Sale <sup>25</sup>
	3.700	43.47	06/01/20	52.06	8.59 W	0.00	1133 of 1523 - Sale <sup>25</sup>
	4.000	47.00	06/01/20	66.94	19.94 W	0.00	1134 of 1523 - Sale <sup>25</sup>
	4.000	47.00	06/01/20	64.00	17.00 W	0.00	1135 of 1523 - Sale <sup>25</sup>
	4.700	55.22	06/01/20	76.09	20.87 W	0.00	1136 of 1523 - Sale <sup>25</sup>
	5.000	58.75	06/01/20	84.42	25.67 W	0.00	1137 of 1523 - Sale <sup>25</sup>
	5.000	58.75	06/01/20	83.83	25.08 W	0.00	1138 of 1523 - Sale <sup>25</sup>
	6.000	70.50	06/01/20	101.13	30.63 W	0.00	1139 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	7.000	82.25	06/01/20	121.04	38.79 W	0.00	1140 of 1523 - Sale <sup>25</sup>
	8.000	94.00	06/01/20	133.02	39.02 W	0.00	1141 of 1523 - Sale <sup>25</sup>
	10.300	121.02	06/01/20	165.94	44.92 W	0.00	1142 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	5.19	1.67 W	0.00	1143 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	4.37	0.85 W	0.00	1144 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	4.34	0.82 W	0.00	1145 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	4.04	0.52 W	0.00	1146 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	12.14	3.92 W	0.00	1147 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	10.05	1.83 W	0.00	1148 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	9.23	1.01 W	0.00	1149 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	17.32	5.57 W	0.00	1150 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.63	2.88 W	0.00	1151 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.68	2.93 W	0.00	1152 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.65	2.90 W	0.00	1153 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.65	2.90 W	0.00	1154 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.43	2.68 W	0.00	1155 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.37	2.62 W	0.00	1156 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.36	2.61 W	0.00	1157 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.44	2.69 W	0.00	1158 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.38	2.63 W	0.00	1159 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	14.30	2.55 W	0.00	1160 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.47	1.72 W	0.00	1161 of 1523 - Sale <sup>25</sup>
	1.300	15.27	06/01/20	18.58	3.31 W	0.00	1162 of 1523 - Sale <sup>25</sup>
	1.300	15.27	06/01/20	17.07	1.80 W	0.00	1163 of 1523 - Sale <sup>25</sup>
	1.700	19.97	06/01/20	24.29	4.32 W	0.00	1164 of 1523 - Sale <sup>25</sup>
	1.700	19.97	06/01/20	22.57	2.60 W	0.00	1165 of 1523 - Sale <sup>25</sup>
	2.000	23.50	06/01/20	26.33	2.83 W	0.00	1166 of 1523 - Sale <sup>25</sup>
	2.700	31.72	06/01/20	39.84	8.12 W	0.00	1167 of 1523 - Sale <sup>25</sup>
	3.000	35.25	06/01/20	51.97	16.72 W	0.00	1168 of 1523 - Sale <sup>25</sup>
	3.300	38.77	06/01/20	48.21	9.44 W	0.00	1169 of 1523 - Sale <sup>25</sup>
	3.700	43.47	06/01/20	64.21	20.74 W	0.00	1170 of 1523 - Sale <sup>25</sup>
	4.700	55.22	06/01/20	66.56	11.34 W	0.00	1171 of 1523 - Sale <sup>25</sup>
	5.700	66.97	06/01/20	83.27	16.30 W	0.00	1172 of 1523 - Sale <sup>25</sup>
	7.000	82.25	06/01/20	121.27	39.02 W	0.00	1173 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	7.000	82.25	06/01/20	102.93	20.68 W	0.00	1174 of 1523 - Sale <sup>25</sup>
	8.300	97.52	06/01/20	122.49	24.97 W	0.00	1175 of 1523 - Sale <sup>25</sup>
	10.000	117.50	06/01/20	147.59	30.09 W	0.00	1176 of 1523 - Sale <sup>25</sup>
	21.300	250.27	06/01/20	369.71	119.44 W	0.00	1177 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	3.96	0.44 W	0.00	1178 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.03	1.28 W	0.00	1179 of 1523 - Sale <sup>25</sup>
	3.700	43.47	06/01/20	48.24	4.77 W	0.00	1180 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	3.82	0.30 W	0.00	1181 of 1523 - Sale <sup>25</sup>
	0.300	3.52	06/01/20	3.83	0.31 W	0.00	1182 of 1523 - Sale <sup>25</sup>
	0.300	3.53	06/01/20	3.95	0.42 W	0.00	1183 of 1523 - Sale <sup>25</sup>
	0.300	3.53	06/01/20	3.97	0.44 W	0.00	1184 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	9.23	1.01 W	0.00	1185 of 1523 - Sale <sup>25</sup>
	0.700	8.22	06/01/20	9.46	1.24 W	0.00	1186 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	12.65	0.90 W	0.00	1187 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	12.76	1.01 W	0.00	1188 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	12.77	1.02 W	0.00	1189 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.19	1.44 W	0.00	1190 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.15	1.40 W	0.00	1191 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.27	1.52 W	0.00	1192 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.25	1.50 W	0.00	1193 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.01	1.26 W	0.00	1194 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.06	1.31 W	0.00	1195 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.10	1.35 W	0.00	1196 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.13	1.38 W	0.00	1197 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.12	1.37 W	0.00	1198 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.16	1.41 W	0.00	1199 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.42	1.67 W	0.00	1200 of 1523 - Sale <sup>25</sup>
	1.000	11.75	06/01/20	13.45	1.70 W	0.00	1201 of 1523 - Sale <sup>25</sup>
	1.300	15.27	06/01/20	16.59	1.32 W	0.00	1202 of 1523 - Sale <sup>25</sup>
	1.300	15.28	06/01/20	17.20	1.92 W	0.00	1203 of 1523 - Sale <sup>25</sup>
	1.700	19.97	06/01/20	22.63	2.66 W	0.00	1204 of 1523 - Sale <sup>25</sup>
	2.000	23.50	06/01/20	25.91	2.41 W	0.00	1205 of 1523 - Sale <sup>25</sup>
	2.700	31.72	06/01/20	33.74	2.02 W	0.00	1206 of 1523 - Sale <sup>25</sup>
	2.700	31.72	06/01/20	35.83	4.11 W	0.00	1207 of 1523 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.700	31.72	06/01/20	35.18	3.46 W	0.00	1208 of 1523 - Sale <sup>25</sup>
	3.000	35.25	06/01/20	41.75	6.50 W	0.00	1209 of 1523 - Sale <sup>25</sup>
	3.300	38.78	06/01/20	43.65	4.87 W	0.00	1210 of 1523 - Sale <sup>25</sup>
	3.700	43.47	06/01/20	47.61	4.14 W	0.00	1211 of 1523 - Sale <sup>25</sup>
	4.000	47.00	06/01/20	55.66	8.66 W	0.00	1212 of 1523 - Sale <sup>25</sup>
	4.300	50.52	06/01/20	56.64	6.12 W	0.00	1213 of 1523 - Sale <sup>25</sup>
	4.300	50.53	06/01/20	57.43	6.90 W	0.00	1214 of 1523 - Sale <sup>25</sup>
	4.700	55.22	06/01/20	60.11	4.89 W	0.00	1215 of 1523 - Sale <sup>25</sup>
	4.700	55.22	06/01/20	60.30	5.08 W	0.00	1216 of 1523 - Sale <sup>25</sup>
	4.700	55.22	06/01/20	61.94	6.72 W	0.00	1217 of 1523 - Sale <sup>25</sup>
	5.000	58.75	06/01/20	63.90	5.15 W	0.00	1218 of 1523 - Sale <sup>25</sup>
	5.000	58.75	06/01/20	63.35	4.60 W	0.00	1219 of 1523 - Sale <sup>25</sup>
	5.000	58.75	06/01/20	66.26	7.51 W	0.00	1220 of 1523 - Sale <sup>25</sup>
	5.300	62.27	06/01/20	68.66	6.39 W	0.00	1221 of 1523 - Sale <sup>25</sup>
	7.300	85.77	06/01/20	94.53	8.76 W	0.00	1222 of 1523 - Sale <sup>25</sup>
	8.000	94.00	06/01/20	103.56	9.56 W	0.00	1223 of 1523 - Sale <sup>25</sup>
	9.000	105.75	06/01/20	118.67	12.92 W	0.00	1224 of 1523 - Sale <sup>25</sup>
	9.300	109.27	06/01/20	119.40	10.13 W	0.00	1225 of 1523 - Sale <sup>25</sup>
	9.700	113.97	06/01/20	125.67	11.70 W	0.00	1226 of 1523 - Sale <sup>25</sup>
	10.000	117.50	06/01/20	126.90	9.40 W	0.00	1227 of 1523 - Sale <sup>25</sup>
	10.000	117.50	06/01/20	132.52	15.02 W	0.00	1228 of 1523 - Sale <sup>25</sup>
	10.700	125.72	06/01/20	137.35	11.63 W	0.00	1229 of 1523 - Sale <sup>25</sup>
	12.000	141.00	06/01/20	152.52	11.52 W	0.00	1230 of 1523 - Sale <sup>25</sup>
	14.000	164.50	06/01/20	184.99	20.49 W	0.00	1231 of 1523 - Sale <sup>25</sup>
	15.300	179.78	06/01/20	202.14	22.36 W	0.00	1232 of 1523 - Sale <sup>25</sup>
	16.000	188.00	06/01/20	203.72	15.72 W	0.00	1233 of 1523 - Sale <sup>25</sup>
	16.700	196.22	06/01/20	220.81	24.59 W	0.00	1234 of 1523 - Sale <sup>25</sup>
	17.000	199.75	06/01/20	212.42	12.67 W	0.00	1235 of 1523 - Sale <sup>25</sup>
	19.000	223.25	06/01/20	240.25	17.00 W	0.00	1236 of 1523 - Sale <sup>25</sup>
	22.000	258.50	06/01/20	282.39	23.89 W	0.00	1237 of 1523 - Sale <sup>25</sup>
	155.000	1,821.25	06/01/20	1,836.75	15.50 W	0.00	1238 of 1523 - Sale <sup>25</sup>
	150.000	1,762.50	06/01/20	1,777.50	15.00 W	0.00	1239 of 1523 - Sale <sup>25</sup>
	20.000	235.00	06/01/20	236.20	1.20 W	0.00	1240 of 1523 - Sale <sup>25</sup>
	0.300	3.56	06/01/20	4.25	0.69 W	0.00	1241 of 1523 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.700	31.99	06/01/20	38.24	6.25 W	0.00	1242 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	5.22	1.67 W	0.00	1243 of 1523 - Sale <sup>25</sup>
	2.700	32.00	06/01/20	47.07	15.07 W	0.00	1244 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	5.05	1.50 W	0.00	1245 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	11.80	3.51 W	0.00	1246 of 1523 - Sale <sup>25</sup>
	0.700	8.30	06/01/20	11.28	2.98 W	0.00	1247 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.78	4.93 W	0.00	1248 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.64	4.79 W	0.00	1249 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.25	4.40 W	0.00	1250 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.21	4.36 W	0.00	1251 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.17	4.32 W	0.00	1252 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.29	4.44 W	0.00	1253 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.29	4.44 W	0.00	1254 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	17.36	5.51 W	0.00	1255 of 1523 - Sale <sup>25</sup>
	1.300	15.40	06/01/20	22.01	6.61 W	0.00	1256 of 1523 - Sale <sup>25</sup>
	1.300	15.40	06/01/20	22.06	6.66 W	0.00	1257 of 1523 - Sale <sup>25</sup>
	1.700	20.14	06/01/20	28.42	8.28 W	0.00	1258 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	33.89	10.19 W	0.00	1259 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	33.38	9.68 W	0.00	1260 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	33.44	9.74 W	0.00	1261 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	32.58	8.88 W	0.00	1262 of 1523 - Sale <sup>25</sup>
	2.300	27.25	06/01/20	39.06	11.81 W	0.00	1263 of 1523 - Sale <sup>25</sup>
	2.300	27.25	06/01/20	38.40	11.15 W	0.00	1264 of 1523 - Sale <sup>25</sup>
	2.700	31.99	06/01/20	45.07	13.08 W	0.00	1265 of 1523 - Sale <sup>25</sup>
	3.000	35.55	06/01/20	50.46	14.91 W	0.00	1266 of 1523 - Sale <sup>25</sup>
	3.000	35.55	06/01/20	48.92	13.37 W	0.00	1267 of 1523 - Sale <sup>25</sup>
	3.300	39.10	06/01/20	53.10	14.00 W	0.00	1268 of 1523 - Sale <sup>25</sup>
	3.400	40.29	06/01/20	57.71	17.42 W	0.00	1269 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.16	2.31 W	0.00	1270 of 1523 - Sale <sup>25</sup>
	4.000	47.40	06/01/20	67.30	19.90 W	0.00	1271 of 1523 - Sale <sup>25</sup>
	4.000	47.40	06/01/20	64.36	16.96 W	0.00	1272 of 1523 - Sale <sup>25</sup>
	4.700	55.70	06/01/20	76.52	20.82 W	0.00	1273 of 1523 - Sale <sup>25</sup>
	5.000	59.25	06/01/20	84.87	25.62 W	0.00	1274 of 1523 - Sale <sup>25</sup>
	5.000	59.25	06/01/20	84.28	25.03 W	0.00	1275 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	6.000	71.10	06/01/20	101.67	30.57 W	0.00	1276 of 1523 - Sale <sup>25</sup>
	7.000	82.95	06/01/20	121.67	38.72 W	0.00	1277 of 1523 - Sale <sup>25</sup>
	8.000	94.80	06/01/20	133.74	38.94 W	0.00	1278 of 1523 - Sale <sup>25</sup>
	10.300	122.05	06/01/20	166.87	44.82 W	0.00	1279 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	4.40	0.85 W	0.00	1280 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	4.37	0.82 W	0.00	1281 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	4.07	0.52 W	0.00	1282 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	12.20	3.91 W	0.00	1283 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	10.11	1.82 W	0.00	1284 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	9.29	1.00 W	0.00	1285 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	17.40	5.55 W	0.00	1286 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.71	2.86 W	0.00	1287 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.76	2.91 W	0.00	1288 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.73	2.88 W	0.00	1289 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.73	2.88 W	0.00	1290 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.51	2.66 W	0.00	1291 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.45	2.60 W	0.00	1292 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.44	2.59 W	0.00	1293 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.52	2.67 W	0.00	1294 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.46	2.61 W	0.00	1295 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.38	2.53 W	0.00	1296 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.55	1.70 W	0.00	1297 of 1523 - Sale <sup>25</sup>
	1.300	15.40	06/01/20	18.69	3.29 W	0.00	1298 of 1523 - Sale <sup>25</sup>
	1.300	15.40	06/01/20	17.18	1.78 W	0.00	1299 of 1523 - Sale <sup>25</sup>
	1.700	20.14	06/01/20	24.43	4.29 W	0.00	1300 of 1523 - Sale <sup>25</sup>
	1.700	20.14	06/01/20	22.71	2.57 W	0.00	1301 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	26.49	2.79 W	0.00	1302 of 1523 - Sale <sup>25</sup>
	2.700	31.99	06/01/20	40.06	8.07 W	0.00	1303 of 1523 - Sale <sup>25</sup>
	3.000	35.55	06/01/20	52.21	16.66 W	0.00	1304 of 1523 - Sale <sup>25</sup>
	3.300	39.10	06/01/20	48.48	9.38 W	0.00	1305 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	17.44	5.59 W	0.00	1306 of 1523 - Sale <sup>25</sup>
	4.700	55.69	06/01/20	66.94	11.25 W	0.00	1307 of 1523 - Sale <sup>25</sup>
	5.700	67.54	06/01/20	83.73	16.19 W	0.00	1308 of 1523 - Sale <sup>25</sup>
	7.000	82.95	06/01/20	121.83	38.88 W	0.00	1309 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	7.000	82.95	06/01/20	103.49	20.54 W	0.00	1310 of 1523 - Sale <sup>25</sup>
	8.300	98.35	06/01/20	123.16	24.81 W	0.00	1311 of 1523 - Sale <sup>25</sup>
	10.000	118.50	06/01/20	148.39	29.89 W	0.00	1312 of 1523 - Sale <sup>25</sup>
	21.300	252.40	06/01/20	371.42	119.02 W	0.00	1313 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	3.99	0.44 W	0.00	1314 of 1523 - Sale <sup>25</sup>
	0.300	3.56	06/01/20	3.85	0.29 W	0.00	1315 of 1523 - Sale <sup>25</sup>
	0.300	3.56	06/01/20	3.86	0.30 W	0.00	1316 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	9.30	1.01 W	0.00	1317 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.12	1.27 W	0.00	1318 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	12.74	0.89 W	0.00	1319 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	12.85	1.00 W	0.00	1320 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	12.86	1.01 W	0.00	1321 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.28	1.43 W	0.00	1322 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.24	1.39 W	0.00	1323 of 1523 - Sale <sup>25</sup>
	1.300	15.41	06/01/20	16.71	1.30 W	0.00	1324 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	26.09	2.39 W	0.00	1325 of 1523 - Sale <sup>25</sup>
	2.700	31.99	06/01/20	33.99	2.00 W	0.00	1326 of 1523 - Sale <sup>25</sup>
	3.700	43.84	06/01/20	48.58	4.74 W	0.00	1327 of 1523 - Sale <sup>25</sup>
	3.700	43.84	06/01/20	47.95	4.11 W	0.00	1328 of 1523 - Sale <sup>25</sup>
	4.300	50.96	06/01/20	57.03	6.07 W	0.00	1329 of 1523 - Sale <sup>25</sup>
	4.700	55.69	06/01/20	60.54	4.85 W	0.00	1330 of 1523 - Sale <sup>25</sup>
	4.700	55.69	06/01/20	60.73	5.04 W	0.00	1331 of 1523 - Sale <sup>25</sup>
	5.000	59.25	06/01/20	64.35	5.10 W	0.00	1332 of 1523 - Sale <sup>25</sup>
	5.000	59.25	06/01/20	63.80	4.55 W	0.00	1333 of 1523 - Sale <sup>25</sup>
	5.300	62.80	06/01/20	69.14	6.34 W	0.00	1334 of 1523 - Sale <sup>25</sup>
	7.300	86.50	06/01/20	95.19	8.69 W	0.00	1335 of 1523 - Sale <sup>25</sup>
	8.000	94.80	06/01/20	104.28	9.48 W	0.00	1336 of 1523 - Sale <sup>25</sup>
	9.000	106.65	06/01/20	119.48	12.83 W	0.00	1337 of 1523 - Sale <sup>25</sup>
	9.300	110.20	06/01/20	120.24	10.04 W	0.00	1338 of 1523 - Sale <sup>25</sup>
	9.700	114.94	06/01/20	126.55	11.61 W	0.00	1339 of 1523 - Sale <sup>25</sup>
	10.000	118.50	06/01/20	127.80	9.30 W	0.00	1340 of 1523 - Sale <sup>25</sup>
	10.700	126.79	06/01/20	138.32	11.53 W	0.00	1341 of 1523 - Sale <sup>25</sup>
	12.000	142.20	06/01/20	153.60	11.40 W	0.00	1342 of 1523 - Sale <sup>25</sup>
	16.000	189.60	06/01/20	205.16	15.56 W	0.00	1343 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	17.000	201.45	06/01/20	213.95	12.50 W	0.00	1344 of 1523 - Sale <sup>25</sup>
	19.000	225.15	06/01/20	241.96	16.81 W	0.00	1345 of 1523 - Sale <sup>25</sup>
	22.000	260.70	06/01/20	284.37	23.67 W	0.00	1346 of 1523 - Sale <sup>25</sup>
	0.300	3.56	06/01/20	3.97	0.41 W	0.00	1347 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	9.35	1.06 W	0.00	1348 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.37	1.52 W	0.00	1349 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	3.99	0.44 W	0.00	1350 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	9.53	1.24 W	0.00	1351 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.36	1.51 W	0.00	1352 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.34	1.49 W	0.00	1353 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.10	1.25 W	0.00	1354 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.15	1.30 W	0.00	1355 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.19	1.34 W	0.00	1356 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.22	1.37 W	0.00	1357 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.21	1.36 W	0.00	1358 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.25	1.40 W	0.00	1359 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.51	1.66 W	0.00	1360 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.54	1.69 W	0.00	1361 of 1523 - Sale <sup>25</sup>
	1.300	15.40	06/01/20	17.31	1.91 W	0.00	1362 of 1523 - Sale <sup>25</sup>
	1.700	20.14	06/01/20	22.79	2.65 W	0.00	1363 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	13.36	1.51 W	0.00	1364 of 1523 - Sale <sup>25</sup>
	2.700	31.99	06/01/20	35.43	3.44 W	0.00	1365 of 1523 - Sale <sup>25</sup>
	3.000	35.55	06/01/20	42.02	6.47 W	0.00	1366 of 1523 - Sale <sup>25</sup>
	3.300	39.10	06/01/20	43.94	4.84 W	0.00	1367 of 1523 - Sale <sup>25</sup>
	4.000	47.40	06/01/20	56.02	8.62 W	0.00	1368 of 1523 - Sale <sup>25</sup>
	4.300	50.95	06/01/20	57.81	6.86 W	0.00	1369 of 1523 - Sale <sup>25</sup>
	4.700	55.69	06/01/20	62.37	6.68 W	0.00	1370 of 1523 - Sale <sup>25</sup>
	5.000	59.25	06/01/20	66.71	7.46 W	0.00	1371 of 1523 - Sale <sup>25</sup>
	10.000	118.50	06/01/20	133.42	14.92 W	0.00	1372 of 1523 - Sale <sup>25</sup>
	14.000	165.89	06/01/20	186.25	20.36 W	0.00	1373 of 1523 - Sale <sup>25</sup>
	15.300	181.30	06/01/20	203.51	22.21 W	0.00	1374 of 1523 - Sale <sup>25</sup>
	16.700	197.89	06/01/20	222.32	24.43 W	0.00	1375 of 1523 - Sale <sup>25</sup>
	100.000	1,184.97	06/01/20	1,194.00	9.03 W	0.00	1376 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	4.24	0.69 W	0.00	1377 of 1523 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.55	06/01/20	5.05	1.50 W	0.00	1378 of 1523 - Sale <sup>25</sup>
	0.700	8.29	06/01/20	11.80	3.51 W	0.00	1379 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.15	2.30 W	0.00	1380 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.77	4.92 W	0.00	1381 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.63	4.78 W	0.00	1382 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.24	4.39 W	0.00	1383 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.20	4.35 W	0.00	1384 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.16	4.31 W	0.00	1385 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.28	4.43 W	0.00	1386 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	16.28	4.43 W	0.00	1387 of 1523 - Sale <sup>25</sup>
	1.300	15.40	06/01/20	22.00	6.60 W	0.00	1388 of 1523 - Sale <sup>25</sup>
	1.300	15.40	06/01/20	22.05	6.65 W	0.00	1389 of 1523 - Sale <sup>25</sup>
	1.700	20.14	06/01/20	28.41	8.27 W	0.00	1390 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	33.87	10.17 W	0.00	1391 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	33.36	9.66 W	0.00	1392 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	33.42	9.72 W	0.00	1393 of 1523 - Sale <sup>25</sup>
	2.000	23.70	06/01/20	32.56	8.86 W	0.00	1394 of 1523 - Sale <sup>25</sup>
	2.300	27.25	06/01/20	39.04	11.79 W	0.00	1395 of 1523 - Sale <sup>25</sup>
	2.300	27.25	06/01/20	38.38	11.13 W	0.00	1396 of 1523 - Sale <sup>25</sup>
	2.700	31.99	06/01/20	38.22	6.23 W	0.00	1397 of 1523 - Sale <sup>25</sup>
	2.700	31.99	06/01/20	45.05	13.06 W	0.00	1398 of 1523 - Sale <sup>25</sup>
	3.000	35.55	06/01/20	50.43	14.88 W	0.00	1399 of 1523 - Sale <sup>25</sup>
	3.000	35.55	06/01/20	48.89	13.34 W	0.00	1400 of 1523 - Sale <sup>25</sup>
	3.400	40.29	06/01/20	57.68	17.39 W	0.00	1401 of 1523 - Sale <sup>25</sup>
	4.000	47.40	06/01/20	67.26	19.86 W	0.00	1402 of 1523 - Sale <sup>25</sup>
	5.000	59.25	06/01/20	84.82	25.57 W	0.00	1403 of 1523 - Sale <sup>25</sup>
	5.000	59.25	06/01/20	84.23	24.98 W	0.00	1404 of 1523 - Sale <sup>25</sup>
	6.000	71.10	06/01/20	101.61	30.51 W	0.00	1405 of 1523 - Sale <sup>25</sup>
	6.000	71.10	06/01/20	97.15	26.05 W	0.00	1406 of 1523 - Sale <sup>25</sup>
	8.000	94.80	06/01/20	133.66	38.86 W	0.00	1407 of 1523 - Sale <sup>25</sup>
	20.000	236.99	06/01/20	238.00	1.01 W	0.00	1408 of 1523 - Sale <sup>25</sup>
	55.000	651.73	06/01/20	656.70	4.97 W	0.00	1409 of 1523 - Sale <sup>25</sup>
	150.000	1,777.45	06/01/20	1,791.00	13.55 W	0.00	1410 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	5.22	1.67 W	0.00	1411 of 1523 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.55	06/01/20	4.40	0.85 W	0.00	1412 of 1523 - Sale <sup>25</sup>
	0.700	8.30	06/01/20	11.27	2.97 W	0.00	1413 of 1523 - Sale <sup>25</sup>
	0.700	8.30	06/01/20	12.20	3.90 W	0.00	1414 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	17.35	5.50 W	0.00	1415 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	17.43	5.58 W	0.00	1416 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	17.39	5.54 W	0.00	1417 of 1523 - Sale <sup>25</sup>
	1.000	11.85	06/01/20	14.70	2.85 W	0.00	1418 of 1523 - Sale <sup>25</sup>
	2.700	32.00	06/01/20	47.04	15.04 W	0.00	1419 of 1523 - Sale <sup>25</sup>
	2.700	32.00	06/01/20	40.04	8.04 W	0.00	1420 of 1523 - Sale <sup>25</sup>
	3.000	35.55	06/01/20	52.18	16.63 W	0.00	1421 of 1523 - Sale <sup>25</sup>
	3.300	39.10	06/01/20	53.07	13.97 W	0.00	1422 of 1523 - Sale <sup>25</sup>
	3.300	39.10	06/01/20	48.45	9.35 W	0.00	1423 of 1523 - Sale <sup>25</sup>
	4.000	47.40	06/01/20	64.32	16.92 W	0.00	1424 of 1523 - Sale <sup>25</sup>
	4.300	50.95	06/01/20	69.62	18.67 W	0.00	1425 of 1523 - Sale <sup>25</sup>
	4.700	55.69	06/01/20	76.47	20.78 W	0.00	1426 of 1523 - Sale <sup>25</sup>
	5.700	67.55	06/01/20	83.68	16.13 W	0.00	1427 of 1523 - Sale <sup>25</sup>
	6.700	79.40	06/01/20	98.99	19.59 W	0.00	1428 of 1523 - Sale <sup>25</sup>
	7.000	82.95	06/01/20	121.60	38.65 W	0.00	1429 of 1523 - Sale <sup>25</sup>
	7.000	82.95	06/01/20	121.76	38.81 W	0.00	1430 of 1523 - Sale <sup>25</sup>
	8.300	98.35	06/01/20	123.08	24.73 W	0.00	1431 of 1523 - Sale <sup>25</sup>
	10.000	118.50	06/01/20	148.29	29.79 W	0.00	1432 of 1523 - Sale <sup>25</sup>
	21.300	252.40	06/01/20	371.21	118.81 W	0.00	1433 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	4.44	0.89 W	0.00	1434 of 1523 - Sale <sup>25</sup>
	0.700	8.30	06/01/20	10.35	2.05 W	0.00	1435 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	4.43	0.88 W	0.00	1436 of 1523 - Sale <sup>25</sup>
	0.700	8.30	06/01/20	10.33	2.03 W	0.00	1437 of 1523 - Sale <sup>25</sup>
	0.300	3.55	06/01/20	4.42	0.87 W	0.00	1438 of 1523 - Sale <sup>25</sup>
	0.700	8.30	06/01/20	10.33	2.03 W	0.00	1439 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	4.36	0.87 W	0.00	1440 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	4.32	0.83 W	0.00	1441 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	4.02	0.53 W	0.00	1442 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	3.94	0.45 W	0.00	1443 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	3.79	0.30 W	0.00	1444 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	3.80	0.31 W	0.00	1445 of 1523 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.49	06/01/20	3.91	0.42 W	0.00	1446 of 1523 - Sale <sup>25</sup>
	0.300	3.49	06/01/20	3.94	0.45 W	0.00	1447 of 1523 - Sale <sup>25</sup>
	0.700	8.13	06/01/20	10.00	1.87 W	0.00	1448 of 1523 - Sale <sup>25</sup>
	0.700	8.13	06/01/20	9.18	1.05 W	0.00	1449 of 1523 - Sale <sup>25</sup>
	0.700	8.13	06/01/20	9.19	1.06 W	0.00	1450 of 1523 - Sale <sup>25</sup>
	0.700	8.13	06/01/20	9.24	1.11 W	0.00	1451 of 1523 - Sale <sup>25</sup>
	0.700	8.13	06/01/20	9.42	1.29 W	0.00	1452 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	14.34	2.72 W	0.00	1453 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	14.28	2.66 W	0.00	1454 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	14.27	2.65 W	0.00	1455 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	14.35	2.73 W	0.00	1456 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	14.29	2.67 W	0.00	1457 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	14.21	2.59 W	0.00	1458 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.38	1.76 W	0.00	1459 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	12.95	1.33 W	0.00	1460 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	12.57	0.95 W	0.00	1461 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	12.68	1.06 W	0.00	1462 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	12.69	1.07 W	0.00	1463 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.11	1.49 W	0.00	1464 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.07	1.45 W	0.00	1465 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.20	1.58 W	0.00	1466 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.19	1.57 W	0.00	1467 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.19	1.57 W	0.00	1468 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.17	1.55 W	0.00	1469 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	12.93	1.31 W	0.00	1470 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	12.98	1.36 W	0.00	1471 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.02	1.40 W	0.00	1472 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.05	1.43 W	0.00	1473 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.04	1.42 W	0.00	1474 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.08	1.46 W	0.00	1475 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.34	1.72 W	0.00	1476 of 1523 - Sale <sup>25</sup>
	1.000	11.62	06/01/20	13.37	1.75 W	0.00	1477 of 1523 - Sale <sup>25</sup>
	1.300	15.11	06/01/20	18.47	3.36 W	0.00	1478 of 1523 - Sale <sup>25</sup>
	1.300	15.11	06/01/20	16.96	1.85 W	0.00	1479 of 1523 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.300	15.11	06/01/20	16.48	1.37 W	0.00	1480 of 1523 - Sale <sup>25</sup>
	1.300	15.11	06/01/20	17.09	1.98 W	0.00	1481 of 1523 - Sale <sup>25</sup>
	1.700	19.75	06/01/20	24.15	4.40 W	0.00	1482 of 1523 - Sale <sup>25</sup>
	1.700	19.75	06/01/20	22.43	2.68 W	0.00	1483 of 1523 - Sale <sup>25</sup>
	1.700	19.75	06/01/20	22.51	2.76 W	0.00	1484 of 1523 - Sale <sup>25</sup>
	2.000	23.24	06/01/20	26.15	2.91 W	0.00	1485 of 1523 - Sale <sup>25</sup>
	2.000	23.24	06/01/20	25.75	2.51 W	0.00	1486 of 1523 - Sale <sup>25</sup>
	2.700	31.37	06/01/20	33.54	2.17 W	0.00	1487 of 1523 - Sale <sup>25</sup>
	2.700	31.37	06/01/20	34.98	3.61 W	0.00	1488 of 1523 - Sale <sup>25</sup>
	3.000	34.86	06/01/20	41.51	6.65 W	0.00	1489 of 1523 - Sale <sup>25</sup>
	3.300	38.34	06/01/20	43.38	5.04 W	0.00	1490 of 1523 - Sale <sup>25</sup>
	3.700	42.99	06/01/20	47.96	4.97 W	0.00	1491 of 1523 - Sale <sup>25</sup>
	3.700	42.99	06/01/20	47.33	4.34 W	0.00	1492 of 1523 - Sale <sup>25</sup>
	4.000	46.48	06/01/20	55.34	8.86 W	0.00	1493 of 1523 - Sale <sup>25</sup>
	4.300	49.96	06/01/20	56.29	6.33 W	0.00	1494 of 1523 - Sale <sup>25</sup>
	4.300	49.96	06/01/20	57.08	7.12 W	0.00	1495 of 1523 - Sale <sup>25</sup>
	4.700	54.61	06/01/20	66.15	11.54 W	0.00	1496 of 1523 - Sale <sup>25</sup>
	4.700	54.61	06/01/20	59.75	5.14 W	0.00	1497 of 1523 - Sale <sup>25</sup>
	4.700	54.61	06/01/20	59.93	5.32 W	0.00	1498 of 1523 - Sale <sup>25</sup>
	4.700	54.61	06/01/20	61.57	6.96 W	0.00	1499 of 1523 - Sale <sup>25</sup>
	5.000	58.10	06/01/20	63.50	5.40 W	0.00	1500 of 1523 - Sale <sup>25</sup>
	5.000	58.10	06/01/20	62.95	4.85 W	0.00	1501 of 1523 - Sale <sup>25</sup>
	5.000	58.10	06/01/20	65.86	7.76 W	0.00	1502 of 1523 - Sale <sup>25</sup>
	5.300	61.58	06/01/20	68.24	6.66 W	0.00	1503 of 1523 - Sale <sup>25</sup>
	7.300	84.82	06/01/20	93.95	9.13 W	0.00	1504 of 1523 - Sale <sup>25</sup>
	8.000	92.96	06/01/20	102.92	9.96 W	0.00	1505 of 1523 - Sale <sup>25</sup>
	9.000	104.58	06/01/20	117.95	13.37 W	0.00	1506 of 1523 - Sale <sup>25</sup>
	9.300	108.06	06/01/20	118.66	10.60 W	0.00	1507 of 1523 - Sale <sup>25</sup>
	9.700	112.71	06/01/20	124.90	12.19 W	0.00	1508 of 1523 - Sale <sup>25</sup>
	10.000	116.20	06/01/20	126.10	9.90 W	0.00	1509 of 1523 - Sale <sup>25</sup>
	10.000	116.20	06/01/20	131.72	15.52 W	0.00	1510 of 1523 - Sale <sup>25</sup>
	10.700	124.33	06/01/20	136.50	12.17 W	0.00	1511 of 1523 - Sale <sup>25</sup>
	12.000	139.44	06/01/20	151.56	12.12 W	0.00	1512 of 1523 - Sale <sup>25</sup>
	14.000	162.67	06/01/20	183.88	21.21 W	0.00	1513 of 1523 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	15.300	177.78	06/01/20	200.91	23.13 W	0.00	1514 of 1523 - Sale <sup>25</sup>
	16.000	185.91	06/01/20	202.44	16.53 W	0.00	1515 of 1523 - Sale <sup>25</sup>
	16.700	194.05	06/01/20	219.48	25.43 W	0.00	1516 of 1523 - Sale <sup>25</sup>
	17.000	197.53	06/01/20	211.06	13.53 W	0.00	1517 of 1523 - Sale <sup>25</sup>
	19.000	220.77	06/01/20	238.73	17.96 W	0.00	1518 of 1523 - Sale <sup>25</sup>
	22.000	255.63	06/01/20	280.63	25.00 W	0.00	1519 of 1523 - Sale <sup>25</sup>
	18.000	209.15	06/01/20	211.86	2.71 W	0.00	1520 of 1523 - Sale <sup>25</sup>
	55.000	639.08	06/01/20	647.36	8.28 W	0.00	1521 of 1523 - Sale <sup>25</sup>
	100.000	1,161.96	06/01/20	1,177.00	15.04 W	0.00	1522 of 1523 - Sale <sup>25</sup>
	5.000	58.10	06/01/20	58.85	0.75 W	0.00	1523 of 1523 - Sale <sup>25</sup>
06/01/20	9,550.000	111,218.61	Various	127,084.57	15,865.96 W	0.00	Total of 1523 transactions
	7 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	12.18	06/02/20	11.69	...	0.49	1 of 7 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	11.69	...	0.49	2 of 7 - Sale <sup>25</sup>
	4.000	48.74	06/02/20	47.69	...	1.05	3 of 7 - Sale <sup>25</sup>
	6.010	73.23	06/02/20	71.38	...	1.85	4 of 7 - Sale <sup>25</sup>
	23.000	280.25	06/02/20	275.46	...	4.79	5 of 7 - Sale <sup>25</sup>
	16.000	194.96	06/02/20	190.03	...	4.93	6 of 7 - Sale <sup>25</sup>
	27.990	341.05	06/02/20	332.43	...	8.62	7 of 7 - Sale <sup>25</sup>
06/02/20	79.000	962.59	Various	940.37	...	22.22	Total of 7 transactions
	869 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.010	12.13	06/02/20	12.43	0.30 W	0.00	1 of 869 - Sale <sup>25</sup>
	8.990	107.88	06/02/20	110.67	2.79 W	0.00	2 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	12.29	0.29 W	0.00	3 of 869 - Sale <sup>25</sup>
	1.010	12.12	06/02/20	12.37	0.25 W	0.00	4 of 869 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.25	0.50 W	0.00	5 of 869 - Sale <sup>25</sup>
	29.000	340.75	06/02/20	355.26	14.51 W	0.00	6 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.34	0.73 W	0.00	7 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	5.15	1.54 W	0.00	8 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	12.02	3.59 W	0.00	9 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.46	2.42 W	0.00	10 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	17.08	5.04 W	0.00	11 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.94	4.90 W	0.00	12 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.55	4.51 W	0.00	13 of 869 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	12.04	06/02/20	16.51	4.47 W	0.00	14 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.47	4.43 W	0.00	15 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	22.41	6.76 W	0.00	16 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	22.46	6.81 W	0.00	17 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	28.94	8.47 W	0.00	18 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	34.49	10.41 W	0.00	19 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	33.98	9.90 W	0.00	20 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	34.04	9.96 W	0.00	21 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	33.18	9.10 W	0.00	22 of 869 - Sale <sup>25</sup>
	2.300	27.69	06/02/20	39.76	12.07 W	0.00	23 of 869 - Sale <sup>25</sup>
	2.300	27.69	06/02/20	39.10	11.41 W	0.00	24 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	39.06	6.55 W	0.00	25 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	45.89	13.38 W	0.00	26 of 869 - Sale <sup>25</sup>
	3.000	36.12	06/02/20	51.36	15.24 W	0.00	27 of 869 - Sale <sup>25</sup>
	3.400	40.93	06/02/20	58.73	17.80 W	0.00	28 of 869 - Sale <sup>25</sup>
	4.000	48.16	06/02/20	68.50	20.34 W	0.00	29 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	86.37	26.17 W	0.00	30 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	85.78	25.58 W	0.00	31 of 869 - Sale <sup>25</sup>
	6.000	72.24	06/02/20	103.47	31.23 W	0.00	32 of 869 - Sale <sup>25</sup>
	8.000	96.32	06/02/20	136.14	39.82 W	0.00	33 of 869 - Sale <sup>25</sup>
	20.000	240.79	06/02/20	244.21	3.42 W	0.00	34 of 869 - Sale <sup>25</sup>
	84.990	1,023.25	06/02/20	1,041.16	17.91 W	0.00	35 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	5.31	1.70 W	0.00	36 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.49	0.88 W	0.00	37 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.53	0.92 W	0.00	38 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.52	0.91 W	0.00	39 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.51	0.90 W	0.00	40 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.51	0.90 W	0.00	41 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.47	0.86 W	0.00	42 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.17	0.56 W	0.00	43 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.09	0.48 W	0.00	44 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	11.46	3.03 W	0.00	45 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	12.39	3.96 W	0.00	46 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.54	2.11 W	0.00	47 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	8.43	06/02/20	10.52	2.09 W	0.00	48 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.52	2.09 W	0.00	49 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.36	1.93 W	0.00	50 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.54	1.11 W	0.00	51 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.55	4.51 W	0.00	52 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.55	4.51 W	0.00	53 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	17.62	5.58 W	0.00	54 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	17.70	5.66 W	0.00	55 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	17.66	5.62 W	0.00	56 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.97	2.93 W	0.00	57 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.84	2.80 W	0.00	58 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.78	2.74 W	0.00	59 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.77	2.73 W	0.00	60 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.85	2.81 W	0.00	61 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.79	2.75 W	0.00	62 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.71	2.67 W	0.00	63 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.88	1.84 W	0.00	64 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.45	1.41 W	0.00	65 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	19.12	3.47 W	0.00	66 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	17.61	1.96 W	0.00	67 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	25.01	4.54 W	0.00	68 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	23.29	2.82 W	0.00	69 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	27.15	3.07 W	0.00	70 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	26.76	2.68 W	0.00	71 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	47.77	15.26 W	0.00	72 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	40.77	8.26 W	0.00	73 of 869 - Sale <sup>25</sup>
	3.000	36.12	06/02/20	49.71	13.59 W	0.00	74 of 869 - Sale <sup>25</sup>
	3.000	36.12	06/02/20	53.00	16.88 W	0.00	75 of 869 - Sale <sup>25</sup>
	3.300	39.73	06/02/20	53.98	14.25 W	0.00	76 of 869 - Sale <sup>25</sup>
	3.300	39.73	06/02/20	49.36	9.63 W	0.00	77 of 869 - Sale <sup>25</sup>
	3.700	44.55	06/02/20	49.82	5.27 W	0.00	78 of 869 - Sale <sup>25</sup>
	4.000	48.16	06/02/20	65.41	17.25 W	0.00	79 of 869 - Sale <sup>25</sup>
	4.300	51.77	06/02/20	70.80	19.03 W	0.00	80 of 869 - Sale <sup>25</sup>
	4.700	56.59	06/02/20	77.76	21.17 W	0.00	81 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.700	56.59	06/02/20	68.52	11.93 W	0.00	82 of 869 - Sale <sup>25</sup>
	5.300	63.81	06/02/20	70.91	7.10 W	0.00	83 of 869 - Sale <sup>25</sup>
	5.700	68.62	06/02/20	85.23	16.61 W	0.00	84 of 869 - Sale <sup>25</sup>
	6.000	72.24	06/02/20	98.79	26.55 W	0.00	85 of 869 - Sale <sup>25</sup>
	6.700	80.66	06/02/20	100.81	20.15 W	0.00	86 of 869 - Sale <sup>25</sup>
	6.700	80.66	06/02/20	88.83	8.17 W	0.00	87 of 869 - Sale <sup>25</sup>
	7.000	84.28	06/02/20	123.51	39.23 W	0.00	88 of 869 - Sale <sup>25</sup>
	7.000	84.28	06/02/20	123.67	39.39 W	0.00	89 of 869 - Sale <sup>25</sup>
	7.300	87.89	06/02/20	97.62	9.73 W	0.00	90 of 869 - Sale <sup>25</sup>
	8.000	96.32	06/02/20	106.94	10.62 W	0.00	91 of 869 - Sale <sup>25</sup>
	8.300	99.93	06/02/20	125.35	25.42 W	0.00	92 of 869 - Sale <sup>25</sup>
	9.300	111.97	06/02/20	123.34	11.37 W	0.00	93 of 869 - Sale <sup>25</sup>
	9.700	116.78	06/02/20	129.78	13.00 W	0.00	94 of 869 - Sale <sup>25</sup>
	10.000	120.39	06/02/20	151.02	30.63 W	0.00	95 of 869 - Sale <sup>25</sup>
	10.700	128.82	06/02/20	141.88	13.06 W	0.00	96 of 869 - Sale <sup>25</sup>
	21.300	256.44	06/02/20	377.03	120.59 W	0.00	97 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	3.93	0.32 W	0.00	98 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	3.94	0.33 W	0.00	99 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.53	1.10 W	0.00	100 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.05	1.01 W	0.00	101 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.16	1.12 W	0.00	102 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.17	1.13 W	0.00	103 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	17.10	1.45 W	0.00	104 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	34.84	2.33 W	0.00	105 of 869 - Sale <sup>25</sup>
	3.700	44.55	06/02/20	49.11	4.56 W	0.00	106 of 869 - Sale <sup>25</sup>
	4.300	51.77	06/02/20	58.36	6.59 W	0.00	107 of 869 - Sale <sup>25</sup>
	4.700	56.59	06/02/20	62.01	5.42 W	0.00	108 of 869 - Sale <sup>25</sup>
	4.700	56.58	06/02/20	62.19	5.61 W	0.00	109 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	65.90	5.70 W	0.00	110 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	65.35	5.15 W	0.00	111 of 869 - Sale <sup>25</sup>
	6.010	72.35	06/02/20	81.65	9.30 W	0.00	112 of 869 - Sale <sup>25</sup>
	10.000	120.39	06/02/20	130.90	10.51 W	0.00	113 of 869 - Sale <sup>25</sup>
	12.000	144.47	06/02/20	157.32	12.85 W	0.00	114 of 869 - Sale <sup>25</sup>
	15.300	184.20	06/02/20	202.52	18.32 W	0.00	115 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	16.000	192.63	06/02/20	210.13	17.50 W	0.00	116 of 869 - Sale <sup>25</sup>
	17.000	204.67	06/02/20	219.23	14.56 W	0.00	117 of 869 - Sale <sup>25</sup>
	19.000	228.75	06/02/20	247.86	19.11 W	0.00	118 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.05	0.44 W	0.00	119 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.58	1.15 W	0.00	120 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.59	1.55 W	0.00	121 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.55	1.51 W	0.00	122 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.68	1.64 W	0.00	123 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.67	1.63 W	0.00	124 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.67	1.63 W	0.00	125 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.65	1.61 W	0.00	126 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.41	1.37 W	0.00	127 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.46	1.42 W	0.00	128 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.50	1.46 W	0.00	129 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.53	1.49 W	0.00	130 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.52	1.48 W	0.00	131 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.56	1.52 W	0.00	132 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	17.71	2.06 W	0.00	133 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	36.28	3.77 W	0.00	134 of 869 - Sale <sup>25</sup>
	3.300	39.73	06/02/20	44.97	5.24 W	0.00	135 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	68.26	8.06 W	0.00	136 of 869 - Sale <sup>25</sup>
	2.990	36.00	06/02/20	40.62	4.62 W	0.00	137 of 869 - Sale <sup>25</sup>
	10.000	120.40	06/02/20	136.52	16.12 W	0.00	138 of 869 - Sale <sup>25</sup>
	14.000	168.55	06/02/20	190.60	22.05 W	0.00	139 of 869 - Sale <sup>25</sup>
	16.700	201.06	06/02/20	227.49	26.43 W	0.00	140 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.06	0.45 W	0.00	141 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.71	1.28 W	0.00	142 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.75	1.71 W	0.00	143 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.78	1.74 W	0.00	144 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	23.21	2.74 W	0.00	145 of 869 - Sale <sup>25</sup>
	3.000	36.12	06/02/20	42.74	6.62 W	0.00	146 of 869 - Sale <sup>25</sup>
	4.000	48.16	06/02/20	56.98	8.82 W	0.00	147 of 869 - Sale <sup>25</sup>
	4.300	51.77	06/02/20	58.85	7.08 W	0.00	148 of 869 - Sale <sup>25</sup>
	4.700	56.59	06/02/20	63.50	6.91 W	0.00	149 of 869 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	15.300	184.20	06/02/20	207.19	22.99 W	0.00	150 of 869 - Sale <sup>25</sup>
	95.010	1,143.87	06/02/20	1,157.26	13.39 W	0.00	151 of 869 - Sale <sup>25</sup>
	9.000	108.36	06/02/20	109.63	1.27 W	0.00	152 of 869 - Sale <sup>25</sup>
	55.000	662.18	06/02/20	669.93	7.75 W	0.00	153 of 869 - Sale <sup>25</sup>
	4.990	60.08	06/02/20	60.78	0.70 W	0.00	154 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.34	0.73 W	0.00	155 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	5.15	1.54 W	0.00	156 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	12.00	3.57 W	0.00	157 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.31	0.27 W	0.00	158 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.52	0.48 W	0.00	159 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.44	2.40 W	0.00	160 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	17.06	5.02 W	0.00	161 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.92	4.88 W	0.00	162 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.53	4.49 W	0.00	163 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.49	4.45 W	0.00	164 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.45	4.41 W	0.00	165 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.53	4.49 W	0.00	166 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.53	4.49 W	0.00	167 of 869 - Sale <sup>25</sup>
	1.010	12.16	06/02/20	12.44	0.28 W	0.00	168 of 869 - Sale <sup>25</sup>
	1.010	12.16	06/02/20	12.39	0.23 W	0.00	169 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	22.38	6.73 W	0.00	170 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	22.43	6.78 W	0.00	171 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	28.90	8.43 W	0.00	172 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	34.44	10.36 W	0.00	173 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	33.93	9.85 W	0.00	174 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	33.99	9.91 W	0.00	175 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	33.13	9.05 W	0.00	176 of 869 - Sale <sup>25</sup>
	2.300	27.69	06/02/20	39.71	12.02 W	0.00	177 of 869 - Sale <sup>25</sup>
	2.300	27.69	06/02/20	39.05	11.36 W	0.00	178 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	39.00	6.49 W	0.00	179 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	45.83	13.32 W	0.00	180 of 869 - Sale <sup>25</sup>
	3.000	36.12	06/02/20	51.29	15.17 W	0.00	181 of 869 - Sale <sup>25</sup>
	3.000	36.11	06/02/20	49.64	13.53 W	0.00	182 of 869 - Sale <sup>25</sup>
	3.400	40.93	06/02/20	58.66	17.73 W	0.00	183 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.000	48.16	06/02/20	68.41	20.25 W	0.00	184 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	60.84	0.64 W	0.00	185 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	86.25	26.05 W	0.00	186 of 869 - Sale <sup>25</sup>
	5.000	60.19	06/02/20	85.66	25.47 W	0.00	187 of 869 - Sale <sup>25</sup>
	6.000	72.24	06/02/20	103.33	31.09 W	0.00	188 of 869 - Sale <sup>25</sup>
	1.010	12.16	06/02/20	16.61	4.45 W	0.00	189 of 869 - Sale <sup>25</sup>
	8.000	96.31	06/02/20	135.96	39.65 W	0.00	190 of 869 - Sale <sup>25</sup>
	8.990	108.24	06/02/20	110.82	2.58 W	0.00	191 of 869 - Sale <sup>25</sup>
	9.000	108.36	06/02/20	109.50	1.14 W	0.00	192 of 869 - Sale <sup>25</sup>
	20.000	240.79	06/02/20	243.76	2.97 W	0.00	193 of 869 - Sale <sup>25</sup>
	29.000	349.15	06/02/20	363.00	13.85 W	0.00	194 of 869 - Sale <sup>25</sup>
	84.990	1,023.24	06/02/20	1,039.23	15.99 W	0.00	195 of 869 - Sale <sup>25</sup>
	0.300	3.57	06/02/20	5.31	1.74 W	0.00	196 of 869 - Sale <sup>25</sup>
	0.700	8.32	06/02/20	11.44	3.12 W	0.00	197 of 869 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	17.60	5.71 W	0.00	198 of 869 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	17.68	5.79 W	0.00	199 of 869 - Sale <sup>25</sup>
	2.700	32.10	06/02/20	47.71	15.61 W	0.00	200 of 869 - Sale <sup>25</sup>
	3.300	39.24	06/02/20	53.91	14.67 W	0.00	201 of 869 - Sale <sup>25</sup>
	4.000	47.56	06/02/20	65.32	17.76 W	0.00	202 of 869 - Sale <sup>25</sup>
	4.300	51.13	06/02/20	70.70	19.57 W	0.00	203 of 869 - Sale <sup>25</sup>
	4.700	55.88	06/02/20	77.65	21.77 W	0.00	204 of 869 - Sale <sup>25</sup>
	4.990	59.33	06/02/20	82.04	22.71 W	0.00	205 of 869 - Sale <sup>25</sup>
	7.000	83.23	06/02/20	123.35	40.12 W	0.00	206 of 869 - Sale <sup>25</sup>
	16.010	190.34	06/02/20	283.03	92.69 W	0.00	207 of 869 - Sale <sup>25</sup>
	0.300	3.59	06/02/20	4.49	0.90 W	0.00	208 of 869 - Sale <sup>25</sup>
	0.700	8.36	06/02/20	12.37	4.01 W	0.00	209 of 869 - Sale <sup>25</sup>
	1.000	11.95	06/02/20	17.64	5.69 W	0.00	210 of 869 - Sale <sup>25</sup>
	1.000	11.95	06/02/20	14.95	3.00 W	0.00	211 of 869 - Sale <sup>25</sup>
	3.000	35.85	06/02/20	52.93	17.08 W	0.00	212 of 869 - Sale <sup>25</sup>
	1.010	12.07	06/02/20	15.09	3.02 W	0.00	213 of 869 - Sale <sup>25</sup>
	5.700	68.11	06/02/20	85.11	17.00 W	0.00	214 of 869 - Sale <sup>25</sup>
	7.000	83.65	06/02/20	123.51	39.86 W	0.00	215 of 869 - Sale <sup>25</sup>
	5.290	63.22	06/02/20	93.52	30.30 W	0.00	216 of 869 - Sale <sup>25</sup>
	2.700	32.29	06/02/20	40.71	8.42 W	0.00	217 of 869 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.290	27.39	06/02/20	34.20	6.81 W	0.00	218 of 869 - Sale <sup>25</sup>
	1.710	20.45	06/02/20	25.69	5.24 W	0.00	219 of 869 - Sale <sup>25</sup>
	8.300	99.27	06/02/20	125.16	25.89 W	0.00	220 of 869 - Sale <sup>25</sup>
	10.000	119.60	06/02/20	150.80	31.20 W	0.00	221 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	4.53	0.93 W	0.00	222 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	4.52	0.92 W	0.00	223 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	4.51	0.91 W	0.00	224 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	4.50	0.90 W	0.00	225 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	4.46	0.86 W	0.00	226 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	4.16	0.56 W	0.00	227 of 869 - Sale <sup>25</sup>
	0.700	8.39	06/02/20	10.52	2.13 W	0.00	228 of 869 - Sale <sup>25</sup>
	0.700	8.39	06/02/20	10.50	2.11 W	0.00	229 of 869 - Sale <sup>25</sup>
	0.700	8.39	06/02/20	10.50	2.11 W	0.00	230 of 869 - Sale <sup>25</sup>
	0.700	8.39	06/02/20	10.34	1.95 W	0.00	231 of 869 - Sale <sup>25</sup>
	1.000	11.99	06/02/20	14.82	2.83 W	0.00	232 of 869 - Sale <sup>25</sup>
	1.000	11.99	06/02/20	14.76	2.77 W	0.00	233 of 869 - Sale <sup>25</sup>
	1.000	11.99	06/02/20	14.75	2.76 W	0.00	234 of 869 - Sale <sup>25</sup>
	1.000	11.99	06/02/20	14.83	2.84 W	0.00	235 of 869 - Sale <sup>25</sup>
	1.000	11.99	06/02/20	14.77	2.78 W	0.00	236 of 869 - Sale <sup>25</sup>
	1.000	11.99	06/02/20	14.69	2.70 W	0.00	237 of 869 - Sale <sup>25</sup>
	1.000	11.99	06/02/20	13.86	1.87 W	0.00	238 of 869 - Sale <sup>25</sup>
	1.300	15.59	06/02/20	19.09	3.50 W	0.00	239 of 869 - Sale <sup>25</sup>
	1.700	20.38	06/02/20	24.97	4.59 W	0.00	240 of 869 - Sale <sup>25</sup>
	0.710	8.51	06/02/20	9.71	1.20 W	0.00	241 of 869 - Sale <sup>25</sup>
	4.700	56.35	06/02/20	68.41	12.06 W	0.00	242 of 869 - Sale <sup>25</sup>
	4.990	59.83	06/02/20	74.97	15.14 W	0.00	243 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	3.93	0.33 W	0.00	244 of 869 - Sale <sup>25</sup>
	0.700	8.41	06/02/20	9.50	1.09 W	0.00	245 of 869 - Sale <sup>25</sup>
	1.000	12.01	06/02/20	13.01	1.00 W	0.00	246 of 869 - Sale <sup>25</sup>
	1.000	12.01	06/02/20	13.13	1.12 W	0.00	247 of 869 - Sale <sup>25</sup>
	1.000	12.01	06/02/20	13.13	1.12 W	0.00	248 of 869 - Sale <sup>25</sup>
	2.700	32.43	06/02/20	34.74	2.31 W	0.00	249 of 869 - Sale <sup>25</sup>
	4.300	51.64	06/02/20	58.21	6.57 W	0.00	250 of 869 - Sale <sup>25</sup>
	4.700	56.44	06/02/20	62.03	5.59 W	0.00	251 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	6.010	72.18	06/02/20	81.45	9.27 W	0.00	252 of 869 - Sale <sup>25</sup>
	17.000	204.16	06/02/20	218.65	14.49 W	0.00	253 of 869 - Sale <sup>25</sup>
	11.290	135.59	06/02/20	146.89	11.30 W	0.00	254 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	4.08	0.48 W	0.00	255 of 869 - Sale <sup>25</sup>
	0.700	8.40	06/02/20	9.52	1.12 W	0.00	256 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.43	1.43 W	0.00	257 of 869 - Sale <sup>25</sup>
	1.300	15.60	06/02/20	17.58	1.98 W	0.00	258 of 869 - Sale <sup>25</sup>
	0.990	11.88	06/02/20	13.54	1.66 W	0.00	259 of 869 - Sale <sup>25</sup>
	2.000	24.00	06/02/20	27.10	3.10 W	0.00	260 of 869 - Sale <sup>25</sup>
	2.000	24.00	06/02/20	26.71	2.71 W	0.00	261 of 869 - Sale <sup>25</sup>
	3.700	44.40	06/02/20	49.73	5.33 W	0.00	262 of 869 - Sale <sup>25</sup>
	5.300	63.60	06/02/20	70.79	7.19 W	0.00	263 of 869 - Sale <sup>25</sup>
	7.300	87.60	06/02/20	97.45	9.85 W	0.00	264 of 869 - Sale <sup>25</sup>
	8.000	96.00	06/02/20	106.75	10.75 W	0.00	265 of 869 - Sale <sup>25</sup>
	9.700	116.39	06/02/20	129.56	13.17 W	0.00	266 of 869 - Sale <sup>25</sup>
	7.710	92.51	06/02/20	102.06	9.55 W	0.00	267 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	3.93	0.33 W	0.00	268 of 869 - Sale <sup>25</sup>
	1.300	15.61	06/02/20	17.07	1.46 W	0.00	269 of 869 - Sale <sup>25</sup>
	1.700	20.42	06/02/20	22.14	1.72 W	0.00	270 of 869 - Sale <sup>25</sup>
	3.700	44.44	06/02/20	49.02	4.58 W	0.00	271 of 869 - Sale <sup>25</sup>
	4.700	56.45	06/02/20	61.90	5.45 W	0.00	272 of 869 - Sale <sup>25</sup>
	5.000	60.05	06/02/20	65.78	5.73 W	0.00	273 of 869 - Sale <sup>25</sup>
	5.000	60.05	06/02/20	65.23	5.18 W	0.00	274 of 869 - Sale <sup>25</sup>
	6.700	80.46	06/02/20	88.68	8.22 W	0.00	275 of 869 - Sale <sup>25</sup>
	9.300	111.69	06/02/20	123.13	11.44 W	0.00	276 of 869 - Sale <sup>25</sup>
	10.000	120.10	06/02/20	130.68	10.58 W	0.00	277 of 869 - Sale <sup>25</sup>
	2.990	35.91	06/02/20	39.58	3.67 W	0.00	278 of 869 - Sale <sup>25</sup>
	12.000	144.11	06/02/20	157.05	12.94 W	0.00	279 of 869 - Sale <sup>25</sup>
	15.300	183.75	06/02/20	202.18	18.43 W	0.00	280 of 869 - Sale <sup>25</sup>
	16.000	192.15	06/02/20	209.76	17.61 W	0.00	281 of 869 - Sale <sup>25</sup>
	6.010	72.17	06/02/20	78.20	6.03 W	0.00	282 of 869 - Sale <sup>25</sup>
	0.300	3.63	06/02/20	4.04	0.41 W	0.00	283 of 869 - Sale <sup>25</sup>
	0.700	8.48	06/02/20	9.55	1.07 W	0.00	284 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.56	1.45 W	0.00	285 of 869 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	12.11	06/02/20	13.52	1.41 W	0.00	286 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.65	1.54 W	0.00	287 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.63	1.52 W	0.00	288 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.64	1.53 W	0.00	289 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.62	1.51 W	0.00	290 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.37	1.26 W	0.00	291 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.43	1.32 W	0.00	292 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.46	1.35 W	0.00	293 of 869 - Sale <sup>25</sup>
	1.000	12.11	06/02/20	13.50	1.39 W	0.00	294 of 869 - Sale <sup>25</sup>
	1.000	12.10	06/02/20	13.48	1.38 W	0.00	295 of 869 - Sale <sup>25</sup>
	0.010	0.12	06/02/20	0.14	0.02 W	0.00	296 of 869 - Sale <sup>25</sup>
	2.700	32.70	06/02/20	36.18	3.48 W	0.00	297 of 869 - Sale <sup>25</sup>
	2.990	36.21	06/02/20	40.52	4.31 W	0.00	298 of 869 - Sale <sup>25</sup>
	3.300	39.96	06/02/20	44.86	4.90 W	0.00	299 of 869 - Sale <sup>25</sup>
	5.000	60.55	06/02/20	68.08	7.53 W	0.00	300 of 869 - Sale <sup>25</sup>
	10.000	121.10	06/02/20	136.17	15.07 W	0.00	301 of 869 - Sale <sup>25</sup>
	14.000	169.53	06/02/20	190.12	20.59 W	0.00	302 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.05	0.44 W	0.00	303 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.68	1.25 W	0.00	304 of 869 - Sale <sup>25</sup>
	0.990	11.92	06/02/20	13.39	1.47 W	0.00	305 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.71	1.67 W	0.00	306 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.75	1.71 W	0.00	307 of 869 - Sale <sup>25</sup>
	1.300	15.66	06/02/20	17.67	2.01 W	0.00	308 of 869 - Sale <sup>25</sup>
	1.700	20.48	06/02/20	23.15	2.67 W	0.00	309 of 869 - Sale <sup>25</sup>
	3.000	36.13	06/02/20	42.64	6.51 W	0.00	310 of 869 - Sale <sup>25</sup>
	4.000	48.18	06/02/20	56.84	8.66 W	0.00	311 of 869 - Sale <sup>25</sup>
	4.300	51.79	06/02/20	58.70	6.91 W	0.00	312 of 869 - Sale <sup>25</sup>
	4.700	56.61	06/02/20	63.33	6.72 W	0.00	313 of 869 - Sale <sup>25</sup>
	15.300	184.28	06/02/20	206.67	22.39 W	0.00	314 of 869 - Sale <sup>25</sup>
	16.700	201.15	06/02/20	226.91	25.76 W	0.00	315 of 869 - Sale <sup>25</sup>
	39.000	469.75	06/02/20	473.69	3.94 W	0.00	316 of 869 - Sale <sup>25</sup>
	6.010	72.39	06/02/20	73.00	0.61 W	0.00	317 of 869 - Sale <sup>25</sup>
	4.990	60.10	06/02/20	60.60	0.50 W	0.00	318 of 869 - Sale <sup>25</sup>
	39.000	469.74	06/02/20	473.70	3.96 W	0.00	319 of 869 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	50.000	602.23	06/02/20	607.29	5.06 W	0.00	320 of 869 - Sale <sup>25</sup>
	6.010	72.39	06/02/20	73.00	0.61 W	0.00	321 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.27	0.23 W	0.00	322 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.48	0.44 W	0.00	323 of 869 - Sale <sup>25</sup>
	1.010	12.17	06/02/20	12.41	0.24 W	0.00	324 of 869 - Sale <sup>25</sup>
	1.010	12.17	06/02/20	12.36	0.19 W	0.00	325 of 869 - Sale <sup>25</sup>
	5.000	60.22	06/02/20	60.67	0.45 W	0.00	326 of 869 - Sale <sup>25</sup>
	8.990	108.28	06/02/20	110.50	2.22 W	0.00	327 of 869 - Sale <sup>25</sup>
	9.000	108.40	06/02/20	109.31	0.91 W	0.00	328 of 869 - Sale <sup>25</sup>
	9.000	108.40	06/02/20	109.19	0.79 W	0.00	329 of 869 - Sale <sup>25</sup>
	9.990	120.33	06/02/20	121.33	1.00 W	0.00	330 of 869 - Sale <sup>25</sup>
	29.000	349.29	06/02/20	362.00	12.71 W	0.00	331 of 869 - Sale <sup>25</sup>
	25.000	301.12	06/02/20	304.83	3.71 W	0.00	332 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.33	0.72 W	0.00	333 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.41	2.37 W	0.00	334 of 869 - Sale <sup>25</sup>
	1.300	15.66	06/02/20	22.34	6.68 W	0.00	335 of 869 - Sale <sup>25</sup>
	1.300	15.66	06/02/20	22.38	6.72 W	0.00	336 of 869 - Sale <sup>25</sup>
	2.700	32.52	06/02/20	38.90	6.38 W	0.00	337 of 869 - Sale <sup>25</sup>
	3.400	40.95	06/02/20	58.55	17.60 W	0.00	338 of 869 - Sale <sup>25</sup>
	4.000	48.18	06/02/20	68.86	20.68 W	0.00	339 of 869 - Sale <sup>25</sup>
	20.000	240.89	06/02/20	243.07	2.18 W	0.00	340 of 869 - Sale <sup>25</sup>
	59.990	722.56	06/02/20	731.46	8.90 W	0.00	341 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	17.08	5.04 W	0.00	342 of 869 - Sale <sup>25</sup>
	2.300	27.71	06/02/20	39.32	11.61 W	0.00	343 of 869 - Sale <sup>25</sup>
	2.710	32.64	06/02/20	46.21	13.57 W	0.00	344 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	5.10	1.49 W	0.00	345 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	11.88	3.45 W	0.00	346 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.89	4.85 W	0.00	347 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.75	4.71 W	0.00	348 of 869 - Sale <sup>25</sup>
	1.700	20.48	06/02/20	28.57	8.09 W	0.00	349 of 869 - Sale <sup>25</sup>
	2.000	24.09	06/02/20	34.10	10.01 W	0.00	350 of 869 - Sale <sup>25</sup>
	2.000	24.09	06/02/20	33.59	9.50 W	0.00	351 of 869 - Sale <sup>25</sup>
	3.000	36.13	06/02/20	50.78	14.65 W	0.00	352 of 869 - Sale <sup>25</sup>
	4.000	48.18	06/02/20	67.73	19.55 W	0.00	353 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.290	39.63	06/02/20	56.10	16.47 W	0.00	354 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	5.28	1.67 W	0.00	355 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	11.39	2.96 W	0.00	356 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.74	4.70 W	0.00	357 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.30	4.26 W	0.00	358 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.26	4.22 W	0.00	359 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.22	4.18 W	0.00	360 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	16.30	4.26 W	0.00	361 of 869 - Sale <sup>25</sup>
	1.000	12.05	06/02/20	16.30	4.25 W	0.00	362 of 869 - Sale <sup>25</sup>
	1.000	12.05	06/02/20	17.52	5.47 W	0.00	363 of 869 - Sale <sup>25</sup>
	1.000	12.05	06/02/20	17.60	5.55 W	0.00	364 of 869 - Sale <sup>25</sup>
	1.010	12.17	06/02/20	16.38	4.21 W	0.00	365 of 869 - Sale <sup>25</sup>
	1.700	20.48	06/02/20	28.51	8.03 W	0.00	366 of 869 - Sale <sup>25</sup>
	2.000	24.09	06/02/20	33.53	9.44 W	0.00	367 of 869 - Sale <sup>25</sup>
	2.000	24.09	06/02/20	32.67	8.58 W	0.00	368 of 869 - Sale <sup>25</sup>
	2.300	27.70	06/02/20	38.52	10.82 W	0.00	369 of 869 - Sale <sup>25</sup>
	2.700	32.52	06/02/20	47.50	14.98 W	0.00	370 of 869 - Sale <sup>25</sup>
	3.000	36.13	06/02/20	48.96	12.83 W	0.00	371 of 869 - Sale <sup>25</sup>
	3.300	39.75	06/02/20	53.64	13.89 W	0.00	372 of 869 - Sale <sup>25</sup>
	4.000	48.18	06/02/20	65.00	16.82 W	0.00	373 of 869 - Sale <sup>25</sup>
	4.300	51.79	06/02/20	70.35	18.56 W	0.00	374 of 869 - Sale <sup>25</sup>
	4.700	56.61	06/02/20	77.28	20.67 W	0.00	375 of 869 - Sale <sup>25</sup>
	4.990	60.10	06/02/20	81.64	21.54 W	0.00	376 of 869 - Sale <sup>25</sup>
	5.000	60.22	06/02/20	84.52	24.30 W	0.00	377 of 869 - Sale <sup>25</sup>
	7.000	84.32	06/02/20	122.79	38.47 W	0.00	378 of 869 - Sale <sup>25</sup>
	8.000	96.36	06/02/20	134.13	37.77 W	0.00	379 of 869 - Sale <sup>25</sup>
	16.010	192.84	06/02/20	281.77	88.93 W	0.00	380 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.44	0.83 W	0.00	381 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	12.28	3.85 W	0.00	382 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.36	1.93 W	0.00	383 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	17.50	5.46 W	0.00	384 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.81	2.77 W	0.00	385 of 869 - Sale <sup>25</sup>
	3.000	36.12	06/02/20	52.51	16.39 W	0.00	386 of 869 - Sale <sup>25</sup>
	5.290	63.69	06/02/20	92.77	29.08 W	0.00	387 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	7.000	84.28	06/02/20	122.53	38.25 W	0.00	388 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.44	0.83 W	0.00	389 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.43	0.82 W	0.00	390 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.42	0.81 W	0.00	391 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.41	0.80 W	0.00	392 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.37	0.76 W	0.00	393 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.07	0.46 W	0.00	394 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	3.99	0.38 W	0.00	395 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.32	1.89 W	0.00	396 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.30	1.87 W	0.00	397 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.30	1.87 W	0.00	398 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	10.14	1.71 W	0.00	399 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.31	0.88 W	0.00	400 of 869 - Sale <sup>25</sup>
	0.710	8.55	06/02/20	9.51	0.96 W	0.00	401 of 869 - Sale <sup>25</sup>
	0.990	11.92	06/02/20	13.24	1.32 W	0.00	402 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.53	2.49 W	0.00	403 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.47	2.43 W	0.00	404 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.46	2.42 W	0.00	405 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.54	2.50 W	0.00	406 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.48	2.44 W	0.00	407 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	14.40	2.36 W	0.00	408 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.57	1.53 W	0.00	409 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.13	1.09 W	0.00	410 of 869 - Sale <sup>25</sup>
	1.010	12.16	06/02/20	14.84	2.68 W	0.00	411 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	18.71	3.06 W	0.00	412 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	17.19	1.54 W	0.00	413 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	24.48	4.01 W	0.00	414 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	22.00	1.53 W	0.00	415 of 869 - Sale <sup>25</sup>
	1.710	20.59	06/02/20	25.25	4.66 W	0.00	416 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	26.50	2.42 W	0.00	417 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	26.11	2.03 W	0.00	418 of 869 - Sale <sup>25</sup>
	2.290	27.57	06/02/20	33.60	6.03 W	0.00	419 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	40.01	7.50 W	0.00	420 of 869 - Sale <sup>25</sup>
	3.700	44.55	06/02/20	48.62	4.07 W	0.00	421 of 869 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.700	56.59	06/02/20	67.05	10.46 W	0.00	422 of 869 - Sale <sup>25</sup>
	4.990	60.08	06/02/20	73.52	13.44 W	0.00	423 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	73.41	13.21 W	0.00	424 of 869 - Sale <sup>25</sup>
	5.300	63.81	06/02/20	69.20	5.39 W	0.00	425 of 869 - Sale <sup>25</sup>
	7.300	87.89	06/02/20	95.26	7.37 W	0.00	426 of 869 - Sale <sup>25</sup>
	8.000	96.32	06/02/20	104.35	8.03 W	0.00	427 of 869 - Sale <sup>25</sup>
	8.300	99.93	06/02/20	123.00	23.07 W	0.00	428 of 869 - Sale <sup>25</sup>
	9.700	116.78	06/02/20	126.66	9.88 W	0.00	429 of 869 - Sale <sup>25</sup>
	10.000	120.40	06/02/20	148.20	27.80 W	0.00	430 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	3.84	0.23 W	0.00	431 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	16.66	1.01 W	0.00	432 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	21.59	1.12 W	0.00	433 of 869 - Sale <sup>25</sup>
	2.990	36.00	06/02/20	38.62	2.62 W	0.00	434 of 869 - Sale <sup>25</sup>
	3.700	44.55	06/02/20	47.83	3.28 W	0.00	435 of 869 - Sale <sup>25</sup>
	4.700	56.59	06/02/20	60.39	3.80 W	0.00	436 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	64.18	3.98 W	0.00	437 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	63.63	3.43 W	0.00	438 of 869 - Sale <sup>25</sup>
	6.010	72.36	06/02/20	77.70	5.34 W	0.00	439 of 869 - Sale <sup>25</sup>
	6.700	80.66	06/02/20	86.54	5.88 W	0.00	440 of 869 - Sale <sup>25</sup>
	9.300	111.97	06/02/20	120.16	8.19 W	0.00	441 of 869 - Sale <sup>25</sup>
	10.000	120.39	06/02/20	127.48	7.09 W	0.00	442 of 869 - Sale <sup>25</sup>
	12.000	144.47	06/02/20	153.22	8.75 W	0.00	443 of 869 - Sale <sup>25</sup>
	15.300	184.20	06/02/20	197.29	13.09 W	0.00	444 of 869 - Sale <sup>25</sup>
	16.000	192.63	06/02/20	204.65	12.02 W	0.00	445 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	25.33	1.25 W	0.00	446 of 869 - Sale <sup>25</sup>
	2.000	24.08	06/02/20	25.32	1.24 W	0.00	447 of 869 - Sale <sup>25</sup>
	2.010	24.20	06/02/20	25.46	1.26 W	0.00	448 of 869 - Sale <sup>25</sup>
	7.990	96.20	06/02/20	101.16	4.96 W	0.00	449 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.66	0.62 W	0.00	450 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	33.79	1.28 W	0.00	451 of 869 - Sale <sup>25</sup>
	3.300	39.73	06/02/20	41.78	2.05 W	0.00	452 of 869 - Sale <sup>25</sup>
	8.000	96.32	06/02/20	100.10	3.78 W	0.00	453 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.51	0.47 W	0.00	454 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	3.83	0.22 W	0.00	455 of 869 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	8.43	06/02/20	9.25	0.82 W	0.00	456 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.78	0.74 W	0.00	457 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	12.78	0.74 W	0.00	458 of 869 - Sale <sup>25</sup>
	2.990	36.00	06/02/20	39.17	3.17 W	0.00	459 of 869 - Sale <sup>25</sup>
	4.300	51.77	06/02/20	56.71	4.94 W	0.00	460 of 869 - Sale <sup>25</sup>
	4.700	56.59	06/02/20	60.39	3.80 W	0.00	461 of 869 - Sale <sup>25</sup>
	6.010	72.36	06/02/20	79.35	6.99 W	0.00	462 of 869 - Sale <sup>25</sup>
	8.000	96.32	06/02/20	100.10	3.78 W	0.00	463 of 869 - Sale <sup>25</sup>
	0.010	0.12	06/02/20	0.14	0.02 W	0.00	464 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.02	0.41 W	0.00	465 of 869 - Sale <sup>25</sup>
	0.300	3.61	06/02/20	4.05	0.44 W	0.00	466 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.49	1.06 W	0.00	467 of 869 - Sale <sup>25</sup>
	0.700	8.43	06/02/20	9.67	1.24 W	0.00	468 of 869 - Sale <sup>25</sup>
	0.990	11.92	06/02/20	13.38	1.46 W	0.00	469 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.48	1.44 W	0.00	470 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.44	1.40 W	0.00	471 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.57	1.53 W	0.00	472 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.55	1.51 W	0.00	473 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.56	1.52 W	0.00	474 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.54	1.50 W	0.00	475 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.29	1.25 W	0.00	476 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.35	1.31 W	0.00	477 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.38	1.34 W	0.00	478 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.42	1.38 W	0.00	479 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.41	1.37 W	0.00	480 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.70	1.66 W	0.00	481 of 869 - Sale <sup>25</sup>
	1.000	12.04	06/02/20	13.74	1.70 W	0.00	482 of 869 - Sale <sup>25</sup>
	1.300	15.65	06/02/20	17.65	2.00 W	0.00	483 of 869 - Sale <sup>25</sup>
	1.700	20.47	06/02/20	23.12	2.65 W	0.00	484 of 869 - Sale <sup>25</sup>
	2.700	32.51	06/02/20	35.96	3.45 W	0.00	485 of 869 - Sale <sup>25</sup>
	3.000	36.12	06/02/20	42.60	6.48 W	0.00	486 of 869 - Sale <sup>25</sup>
	3.300	39.73	06/02/20	44.60	4.87 W	0.00	487 of 869 - Sale <sup>25</sup>
	4.000	48.16	06/02/20	56.78	8.62 W	0.00	488 of 869 - Sale <sup>25</sup>
	4.300	51.77	06/02/20	58.64	6.87 W	0.00	489 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.700	56.58	06/02/20	63.26	6.68 W	0.00	490 of 869 - Sale <sup>25</sup>
	4.990	60.08	06/02/20	60.53	0.45 W	0.00	491 of 869 - Sale <sup>25</sup>
	5.000	60.20	06/02/20	67.68	7.48 W	0.00	492 of 869 - Sale <sup>25</sup>
	6.010	72.36	06/02/20	72.91	0.55 W	0.00	493 of 869 - Sale <sup>25</sup>
	10.000	120.39	06/02/20	135.37	14.98 W	0.00	494 of 869 - Sale <sup>25</sup>
	14.000	168.55	06/02/20	189.01	20.46 W	0.00	495 of 869 - Sale <sup>25</sup>
	15.300	184.20	06/02/20	206.45	22.25 W	0.00	496 of 869 - Sale <sup>25</sup>
	16.700	201.06	06/02/20	226.66	25.60 W	0.00	497 of 869 - Sale <sup>25</sup>
	39.000	469.53	06/02/20	473.11	3.58 W	0.00	498 of 869 - Sale <sup>25</sup>
	20.010	240.90	06/02/20	242.75	1.85 W	0.00	499 of 869 - Sale <sup>25</sup>
	50.000	601.96	06/02/20	606.55	4.59 W	0.00	500 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	12.26	0.20 W	0.00	501 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	12.47	0.41 W	0.00	502 of 869 - Sale <sup>25</sup>
	1.010	12.18	06/02/20	12.39	0.21 W	0.00	503 of 869 - Sale <sup>25</sup>
	1.010	12.18	06/02/20	12.34	0.16 W	0.00	504 of 869 - Sale <sup>25</sup>
	5.000	60.30	06/02/20	60.60	0.30 W	0.00	505 of 869 - Sale <sup>25</sup>
	6.010	72.48	06/02/20	72.91	0.43 W	0.00	506 of 869 - Sale <sup>25</sup>
	8.990	108.41	06/02/20	110.37	1.96 W	0.00	507 of 869 - Sale <sup>25</sup>
	9.000	108.54	06/02/20	109.18	0.64 W	0.00	508 of 869 - Sale <sup>25</sup>
	9.000	108.54	06/02/20	109.06	0.52 W	0.00	509 of 869 - Sale <sup>25</sup>
	9.990	120.48	06/02/20	121.18	0.70 W	0.00	510 of 869 - Sale <sup>25</sup>
	29.000	349.72	06/02/20	361.58	11.86 W	0.00	511 of 869 - Sale <sup>25</sup>
	18.990	229.01	06/02/20	230.38	1.37 W	0.00	512 of 869 - Sale <sup>25</sup>
	15.010	181.01	06/02/20	182.21	1.20 W	0.00	513 of 869 - Sale <sup>25</sup>
	25.000	301.49	06/02/20	304.46	2.97 W	0.00	514 of 869 - Sale <sup>25</sup>
	59.990	723.46	06/02/20	730.57	7.11 W	0.00	515 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.33	0.71 W	0.00	516 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	5.10	1.48 W	0.00	517 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	11.87	3.43 W	0.00	518 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	11.38	2.94 W	0.00	519 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.40	2.34 W	0.00	520 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	17.07	5.01 W	0.00	521 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	16.88	4.82 W	0.00	522 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	16.74	4.68 W	0.00	523 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	12.06	06/02/20	16.73	4.67 W	0.00	524 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	16.29	4.23 W	0.00	525 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	16.25	4.19 W	0.00	526 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	16.21	4.15 W	0.00	527 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	16.29	4.23 W	0.00	528 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	16.28	4.22 W	0.00	529 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	17.50	5.44 W	0.00	530 of 869 - Sale <sup>25</sup>
	1.010	12.18	06/02/20	16.36	4.18 W	0.00	531 of 869 - Sale <sup>25</sup>
	1.300	15.68	06/02/20	22.32	6.64 W	0.00	532 of 869 - Sale <sup>25</sup>
	1.300	15.68	06/02/20	22.36	6.68 W	0.00	533 of 869 - Sale <sup>25</sup>
	1.700	20.50	06/02/20	28.54	8.04 W	0.00	534 of 869 - Sale <sup>25</sup>
	1.700	20.50	06/02/20	28.48	7.98 W	0.00	535 of 869 - Sale <sup>25</sup>
	2.000	24.12	06/02/20	34.07	9.95 W	0.00	536 of 869 - Sale <sup>25</sup>
	2.000	24.12	06/02/20	33.56	9.44 W	0.00	537 of 869 - Sale <sup>25</sup>
	2.000	24.12	06/02/20	33.50	9.38 W	0.00	538 of 869 - Sale <sup>25</sup>
	2.000	24.12	06/02/20	32.64	8.52 W	0.00	539 of 869 - Sale <sup>25</sup>
	2.300	27.74	06/02/20	39.28	11.54 W	0.00	540 of 869 - Sale <sup>25</sup>
	2.300	27.74	06/02/20	38.49	10.75 W	0.00	541 of 869 - Sale <sup>25</sup>
	2.700	32.56	06/02/20	38.86	6.30 W	0.00	542 of 869 - Sale <sup>25</sup>
	2.710	32.68	06/02/20	46.17	13.49 W	0.00	543 of 869 - Sale <sup>25</sup>
	3.000	36.18	06/02/20	50.74	14.56 W	0.00	544 of 869 - Sale <sup>25</sup>
	3.000	36.18	06/02/20	48.92	12.74 W	0.00	545 of 869 - Sale <sup>25</sup>
	3.290	39.68	06/02/20	56.05	16.37 W	0.00	546 of 869 - Sale <sup>25</sup>
	3.300	39.79	06/02/20	53.59	13.80 W	0.00	547 of 869 - Sale <sup>25</sup>
	3.400	41.00	06/02/20	58.50	17.50 W	0.00	548 of 869 - Sale <sup>25</sup>
	4.000	48.24	06/02/20	68.80	20.56 W	0.00	549 of 869 - Sale <sup>25</sup>
	4.000	48.24	06/02/20	67.67	19.43 W	0.00	550 of 869 - Sale <sup>25</sup>
	4.000	48.24	06/02/20	64.94	16.70 W	0.00	551 of 869 - Sale <sup>25</sup>
	4.300	51.85	06/02/20	70.29	18.44 W	0.00	552 of 869 - Sale <sup>25</sup>
	4.700	56.68	06/02/20	77.21	20.53 W	0.00	553 of 869 - Sale <sup>25</sup>
	4.990	60.17	06/02/20	81.57	21.40 W	0.00	554 of 869 - Sale <sup>25</sup>
	5.000	60.30	06/02/20	84.45	24.15 W	0.00	555 of 869 - Sale <sup>25</sup>
	2.010	24.24	06/02/20	35.23	10.99 W	0.00	556 of 869 - Sale <sup>25</sup>
	8.000	96.47	06/02/20	134.01	37.54 W	0.00	557 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.990	60.18	06/02/20	60.57	0.39 W	0.00	558 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	5.28	1.66 W	0.00	559 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.44	0.82 W	0.00	560 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.44	0.82 W	0.00	561 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.43	0.81 W	0.00	562 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.42	0.80 W	0.00	563 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.41	0.79 W	0.00	564 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.37	0.75 W	0.00	565 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	4.07	0.45 W	0.00	566 of 869 - Sale <sup>25</sup>
	0.300	3.62	06/02/20	3.99	0.37 W	0.00	567 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	12.27	3.83 W	0.00	568 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	10.35	1.91 W	0.00	569 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	10.31	1.87 W	0.00	570 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	10.29	1.85 W	0.00	571 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	10.29	1.85 W	0.00	572 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	10.13	1.69 W	0.00	573 of 869 - Sale <sup>25</sup>
	0.700	8.44	06/02/20	9.30	0.86 W	0.00	574 of 869 - Sale <sup>25</sup>
	0.710	8.56	06/02/20	9.50	0.94 W	0.00	575 of 869 - Sale <sup>25</sup>
	0.990	11.94	06/02/20	13.23	1.29 W	0.00	576 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	17.58	5.52 W	0.00	577 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	17.49	5.43 W	0.00	578 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.80	2.74 W	0.00	579 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.52	2.46 W	0.00	580 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.46	2.40 W	0.00	581 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.45	2.39 W	0.00	582 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.53	2.47 W	0.00	583 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.47	2.41 W	0.00	584 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	14.39	2.33 W	0.00	585 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	13.56	1.50 W	0.00	586 of 869 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	13.12	1.06 W	0.00	587 of 869 - Sale <sup>25</sup>
	1.010	12.18	06/02/20	14.83	2.65 W	0.00	588 of 869 - Sale <sup>25</sup>
	1.300	15.68	06/02/20	18.70	3.02 W	0.00	589 of 869 - Sale <sup>25</sup>
	1.300	15.68	06/02/20	17.18	1.50 W	0.00	590 of 869 - Sale <sup>25</sup>
	1.700	20.50	06/02/20	24.46	3.96 W	0.00	591 of 869 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.700	20.50	06/02/20	21.98	1.48 W	0.00	592 of 869 - Sale <sup>25</sup>
	1.710	20.62	06/02/20	25.23	4.61 W	0.00	593 of 869 - Sale <sup>25</sup>
	2.000	24.12	06/02/20	26.48	2.36 W	0.00	594 of 869 - Sale <sup>25</sup>
	2.000	24.12	06/02/20	26.09	1.97 W	0.00	595 of 869 - Sale <sup>25</sup>
	2.290	27.62	06/02/20	33.58	5.96 W	0.00	596 of 869 - Sale <sup>25</sup>
	2.700	32.56	06/02/20	47.46	14.90 W	0.00	597 of 869 - Sale <sup>25</sup>
	2.700	32.56	06/02/20	39.98	7.42 W	0.00	598 of 869 - Sale <sup>25</sup>
	2.990	36.06	06/02/20	38.59	2.53 W	0.00	599 of 869 - Sale <sup>25</sup>
	3.000	36.18	06/02/20	52.48	16.30 W	0.00	600 of 869 - Sale <sup>25</sup>
	3.700	44.62	06/02/20	48.58	3.96 W	0.00	601 of 869 - Sale <sup>25</sup>
	4.700	56.68	06/02/20	67.00	10.32 W	0.00	602 of 869 - Sale <sup>25</sup>
	4.700	56.68	06/02/20	60.34	3.66 W	0.00	603 of 869 - Sale <sup>25</sup>
	4.990	60.18	06/02/20	73.47	13.29 W	0.00	604 of 869 - Sale <sup>25</sup>
	5.000	60.30	06/02/20	73.36	13.06 W	0.00	605 of 869 - Sale <sup>25</sup>
	5.290	63.80	06/02/20	92.72	28.92 W	0.00	606 of 869 - Sale <sup>25</sup>
	5.300	63.91	06/02/20	69.15	5.24 W	0.00	607 of 869 - Sale <sup>25</sup>
	6.010	72.48	06/02/20	77.64	5.16 W	0.00	608 of 869 - Sale <sup>25</sup>
	6.700	80.80	06/02/20	86.48	5.68 W	0.00	609 of 869 - Sale <sup>25</sup>
	4.990	60.18	06/02/20	87.45	27.27 W	0.00	610 of 869 - Sale <sup>25</sup>
	7.000	84.42	06/02/20	122.46	38.04 W	0.00	611 of 869 - Sale <sup>25</sup>
	7.300	88.03	06/02/20	95.19	7.16 W	0.00	612 of 869 - Sale <sup>25</sup>
	8.000	96.47	06/02/20	104.27	7.80 W	0.00	613 of 869 - Sale <sup>25</sup>
	8.300	100.09	06/02/20	122.92	22.83 W	0.00	614 of 869 - Sale <sup>25</sup>
	9.300	112.15	06/02/20	120.06	7.91 W	0.00	615 of 869 - Sale <sup>25</sup>
	9.700	116.98	06/02/20	126.57	9.59 W	0.00	616 of 869 - Sale <sup>25</sup>
	10.000	120.60	06/02/20	148.10	27.50 W	0.00	617 of 869 - Sale <sup>25</sup>
	15.300	184.51	06/02/20	197.14	12.63 W	0.00	618 of 869 - Sale <sup>25</sup>
	11.010	132.77	06/02/20	140.71	7.94 W	0.00	619 of 869 - Sale <sup>25</sup>
	16.010	193.07	06/02/20	281.53	88.46 W	0.00	620 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	3.84	0.18 W	0.00	621 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	12.65	0.47 W	0.00	622 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	12.50	0.32 W	0.00	623 of 869 - Sale <sup>25</sup>
	1.300	15.84	06/02/20	16.65	0.81 W	0.00	624 of 869 - Sale <sup>25</sup>
	1.700	20.71	06/02/20	21.57	0.86 W	0.00	625 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	24.37	06/02/20	25.31	0.94 W	0.00	626 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	25.30	0.93 W	0.00	627 of 869 - Sale <sup>25</sup>
	2.010	24.49	06/02/20	25.44	0.95 W	0.00	628 of 869 - Sale <sup>25</sup>
	2.700	32.90	06/02/20	33.76	0.86 W	0.00	629 of 869 - Sale <sup>25</sup>
	3.300	40.21	06/02/20	41.75	1.54 W	0.00	630 of 869 - Sale <sup>25</sup>
	3.700	45.08	06/02/20	47.79	2.71 W	0.00	631 of 869 - Sale <sup>25</sup>
	4.000	48.74	06/02/20	50.01	1.27 W	0.00	632 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	64.13	3.21 W	0.00	633 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	63.58	2.66 W	0.00	634 of 869 - Sale <sup>25</sup>
	7.990	97.35	06/02/20	101.07	3.72 W	0.00	635 of 869 - Sale <sup>25</sup>
	8.000	97.48	06/02/20	100.01	2.53 W	0.00	636 of 869 - Sale <sup>25</sup>
	10.000	121.85	06/02/20	127.39	5.54 W	0.00	637 of 869 - Sale <sup>25</sup>
	12.000	146.22	06/02/20	153.10	6.88 W	0.00	638 of 869 - Sale <sup>25</sup>
	4.990	60.80	06/02/20	63.78	2.98 W	0.00	639 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	3.80	0.14 W	0.00	640 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	9.17	0.64 W	0.00	641 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	12.67	0.49 W	0.00	642 of 869 - Sale <sup>25</sup>
	1.000	12.19	06/02/20	12.66	0.47 W	0.00	643 of 869 - Sale <sup>25</sup>
	4.000	48.74	06/02/20	49.59	0.85 W	0.00	644 of 869 - Sale <sup>25</sup>
	4.300	52.39	06/02/20	56.22	3.83 W	0.00	645 of 869 - Sale <sup>25</sup>
	4.700	57.27	06/02/20	59.85	2.58 W	0.00	646 of 869 - Sale <sup>25</sup>
	6.010	73.23	06/02/20	78.66	5.43 W	0.00	647 of 869 - Sale <sup>25</sup>
	0.010	0.12	06/02/20	0.14	0.02 W	0.00	648 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	3.99	0.33 W	0.00	649 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	9.41	0.88 W	0.00	650 of 869 - Sale <sup>25</sup>
	0.990	12.06	06/02/20	13.27	1.21 W	0.00	651 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.36	1.18 W	0.00	652 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.32	1.14 W	0.00	653 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.45	1.27 W	0.00	654 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.43	1.25 W	0.00	655 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.45	1.27 W	0.00	656 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.43	1.25 W	0.00	657 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.17	0.99 W	0.00	658 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.24	1.06 W	0.00	659 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	12.18	06/02/20	13.26	1.08 W	0.00	660 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.31	1.13 W	0.00	661 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.29	1.11 W	0.00	662 of 869 - Sale <sup>25</sup>
	1.300	15.84	06/02/20	17.50	1.66 W	0.00	663 of 869 - Sale <sup>25</sup>
	2.700	32.90	06/02/20	35.65	2.75 W	0.00	664 of 869 - Sale <sup>25</sup>
	2.990	36.43	06/02/20	38.83	2.40 W	0.00	665 of 869 - Sale <sup>25</sup>
	3.300	40.21	06/02/20	44.22	4.01 W	0.00	666 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	67.10	6.18 W	0.00	667 of 869 - Sale <sup>25</sup>
	9.000	109.66	06/02/20	120.41	10.75 W	0.00	668 of 869 - Sale <sup>25</sup>
	10.000	121.85	06/02/20	134.23	12.38 W	0.00	669 of 869 - Sale <sup>25</sup>
	14.000	170.59	06/02/20	187.41	16.82 W	0.00	670 of 869 - Sale <sup>25</sup>
	16.700	203.48	06/02/20	224.75	21.27 W	0.00	671 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.01	0.35 W	0.00	672 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	9.56	1.03 W	0.00	673 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.55	1.37 W	0.00	674 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.58	1.40 W	0.00	675 of 869 - Sale <sup>25</sup>
	1.700	20.71	06/02/20	22.85	2.14 W	0.00	676 of 869 - Sale <sup>25</sup>
	3.000	36.55	06/02/20	42.14	5.59 W	0.00	677 of 869 - Sale <sup>25</sup>
	4.000	48.74	06/02/20	56.16	7.42 W	0.00	678 of 869 - Sale <sup>25</sup>
	4.300	52.39	06/02/20	57.97	5.58 W	0.00	679 of 869 - Sale <sup>25</sup>
	4.700	57.27	06/02/20	62.54	5.27 W	0.00	680 of 869 - Sale <sup>25</sup>
	6.300	76.76	06/02/20	84.04	7.28 W	0.00	681 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	12.40	0.22 W	0.00	682 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	12.40	0.22 W	0.00	683 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	24.58	0.21 W	0.00	684 of 869 - Sale <sup>25</sup>
	1.010	12.31	06/02/20	12.52	0.21 W	0.00	685 of 869 - Sale <sup>25</sup>
	3.990	48.62	06/02/20	49.51	0.89 W	0.00	686 of 869 - Sale <sup>25</sup>
	4.990	60.80	06/02/20	61.28	0.48 W	0.00	687 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	61.25	0.33 W	0.00	688 of 869 - Sale <sup>25</sup>
	6.010	73.23	06/02/20	73.69	0.46 W	0.00	689 of 869 - Sale <sup>25</sup>
	9.000	109.66	06/02/20	110.35	0.69 W	0.00	690 of 869 - Sale <sup>25</sup>
	9.000	109.66	06/02/20	110.23	0.57 W	0.00	691 of 869 - Sale <sup>25</sup>
	9.990	121.73	06/02/20	122.48	0.75 W	0.00	692 of 869 - Sale <sup>25</sup>
	12.010	146.34	06/02/20	147.51	1.17 W	0.00	693 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	18.990	231.39	06/02/20	232.86	1.47 W	0.00	694 of 869 - Sale <sup>25</sup>
	20.010	243.82	06/02/20	245.77	1.95 W	0.00	695 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	12.38	0.20 W	0.00	696 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	12.59	0.41 W	0.00	697 of 869 - Sale <sup>25</sup>
	1.010	12.31	06/02/20	12.46	0.15 W	0.00	698 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	61.98	1.06 W	0.00	699 of 869 - Sale <sup>25</sup>
	25.000	304.62	06/02/20	307.43	2.81 W	0.00	700 of 869 - Sale <sup>25</sup>
	29.000	353.36	06/02/20	365.03	11.67 W	0.00	701 of 869 - Sale <sup>25</sup>
	37.990	462.91	06/02/20	467.16	4.25 W	0.00	702 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.36	0.70 W	0.00	703 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.52	2.34 W	0.00	704 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	17.18	5.00 W	0.00	705 of 869 - Sale <sup>25</sup>
	1.300	15.84	06/02/20	22.47	6.63 W	0.00	706 of 869 - Sale <sup>25</sup>
	1.300	15.84	06/02/20	22.51	6.67 W	0.00	707 of 869 - Sale <sup>25</sup>
	2.300	28.03	06/02/20	39.54	11.51 W	0.00	708 of 869 - Sale <sup>25</sup>
	2.700	32.90	06/02/20	39.17	6.27 W	0.00	709 of 869 - Sale <sup>25</sup>
	0.710	8.65	06/02/20	12.18	3.53 W	0.00	710 of 869 - Sale <sup>25</sup>
	3.400	41.43	06/02/20	58.89	17.46 W	0.00	711 of 869 - Sale <sup>25</sup>
	4.000	48.74	06/02/20	69.26	20.52 W	0.00	712 of 869 - Sale <sup>25</sup>
	4.990	60.80	06/02/20	61.14	0.34 W	0.00	713 of 869 - Sale <sup>25</sup>
	15.010	182.90	06/02/20	183.95	1.05 W	0.00	714 of 869 - Sale <sup>25</sup>
	22.000	268.07	06/02/20	270.46	2.39 W	0.00	715 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	5.13	1.47 W	0.00	716 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	11.95	3.42 W	0.00	717 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	11.81	3.28 W	0.00	718 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	17.00	4.82 W	0.00	719 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.85	4.67 W	0.00	720 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.85	4.67 W	0.00	721 of 869 - Sale <sup>25</sup>
	1.700	20.71	06/02/20	28.74	8.03 W	0.00	722 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	34.30	9.93 W	0.00	723 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	33.79	9.42 W	0.00	724 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	33.73	9.36 W	0.00	725 of 869 - Sale <sup>25</sup>
	2.300	28.02	06/02/20	38.75	10.73 W	0.00	726 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	34.30	9.93 W	0.00	727 of 869 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.000	36.55	06/02/20	51.09	14.54 W	0.00	728 of 869 - Sale <sup>25</sup>
	3.290	40.09	06/02/20	56.43	16.34 W	0.00	729 of 869 - Sale <sup>25</sup>
	4.000	48.74	06/02/20	68.13	19.39 W	0.00	730 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	85.03	24.11 W	0.00	731 of 869 - Sale <sup>25</sup>
	8.000	97.48	06/02/20	134.94	37.46 W	0.00	732 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.83	4.65 W	0.00	733 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.37	4.19 W	0.00	734 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.33	4.15 W	0.00	735 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.29	4.11 W	0.00	736 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.40	4.22 W	0.00	737 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.41	4.23 W	0.00	738 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.38	4.20 W	0.00	739 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.37	4.19 W	0.00	740 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	32.79	8.42 W	0.00	741 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	5.31	1.65 W	0.00	742 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	11.45	2.92 W	0.00	743 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	16.40	4.22 W	0.00	744 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	17.60	5.42 W	0.00	745 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	17.67	5.49 W	0.00	746 of 869 - Sale <sup>25</sup>
	1.010	12.31	06/02/20	16.46	4.15 W	0.00	747 of 869 - Sale <sup>25</sup>
	2.010	24.49	06/02/20	35.42	10.93 W	0.00	748 of 869 - Sale <sup>25</sup>
	2.700	32.90	06/02/20	47.72	14.82 W	0.00	749 of 869 - Sale <sup>25</sup>
	3.300	40.21	06/02/20	53.91	13.70 W	0.00	750 of 869 - Sale <sup>25</sup>
	4.000	48.74	06/02/20	65.32	16.58 W	0.00	751 of 869 - Sale <sup>25</sup>
	4.300	52.39	06/02/20	70.71	18.32 W	0.00	752 of 869 - Sale <sup>25</sup>
	4.700	57.27	06/02/20	77.66	20.39 W	0.00	753 of 869 - Sale <sup>25</sup>
	4.990	60.80	06/02/20	82.05	21.25 W	0.00	754 of 869 - Sale <sup>25</sup>
	4.990	60.80	06/02/20	87.92	27.12 W	0.00	755 of 869 - Sale <sup>25</sup>
	14.000	170.59	06/02/20	247.52	76.93 W	0.00	756 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.45	0.79 W	0.00	757 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.45	0.79 W	0.00	758 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.44	0.78 W	0.00	759 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.43	0.77 W	0.00	760 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.42	0.76 W	0.00	761 of 869 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.66	06/02/20	4.38	0.72 W	0.00	762 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.08	0.42 W	0.00	763 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	4.00	0.34 W	0.00	764 of 869 - Sale <sup>25</sup>
	0.300	3.66	06/02/20	3.81	0.15 W	0.00	765 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	12.29	3.76 W	0.00	766 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	10.37	1.84 W	0.00	767 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	10.33	1.80 W	0.00	768 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	10.31	1.78 W	0.00	769 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	10.31	1.78 W	0.00	770 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	10.15	1.62 W	0.00	771 of 869 - Sale <sup>25</sup>
	0.700	8.53	06/02/20	9.32	0.79 W	0.00	772 of 869 - Sale <sup>25</sup>
	0.710	8.65	06/02/20	9.52	0.87 W	0.00	773 of 869 - Sale <sup>25</sup>
	0.990	12.06	06/02/20	13.26	1.20 W	0.00	774 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	17.52	5.34 W	0.00	775 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.83	2.65 W	0.00	776 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.55	2.37 W	0.00	777 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.49	2.31 W	0.00	778 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.48	2.30 W	0.00	779 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.56	2.38 W	0.00	780 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.50	2.32 W	0.00	781 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	14.42	2.24 W	0.00	782 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.59	1.41 W	0.00	783 of 869 - Sale <sup>25</sup>
	1.000	12.18	06/02/20	13.15	0.97 W	0.00	784 of 869 - Sale <sup>25</sup>
	1.010	12.31	06/02/20	14.86	2.55 W	0.00	785 of 869 - Sale <sup>25</sup>
	1.300	15.84	06/02/20	18.74	2.90 W	0.00	786 of 869 - Sale <sup>25</sup>
	1.300	15.84	06/02/20	17.22	1.38 W	0.00	787 of 869 - Sale <sup>25</sup>
	1.300	15.84	06/02/20	16.53	0.69 W	0.00	788 of 869 - Sale <sup>25</sup>
	1.700	20.71	06/02/20	24.51	3.80 W	0.00	789 of 869 - Sale <sup>25</sup>
	1.700	20.71	06/02/20	22.03	1.32 W	0.00	790 of 869 - Sale <sup>25</sup>
	1.710	20.84	06/02/20	25.28	4.44 W	0.00	791 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	26.54	2.17 W	0.00	792 of 869 - Sale <sup>25</sup>
	2.000	24.37	06/02/20	26.15	1.78 W	0.00	793 of 869 - Sale <sup>25</sup>
	2.010	24.49	06/02/20	35.41	10.92 W	0.00	794 of 869 - Sale <sup>25</sup>
	2.290	27.90	06/02/20	33.65	5.75 W	0.00	795 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.700	32.90	06/02/20	40.06	7.16 W	0.00	796 of 869 - Sale <sup>25</sup>
	2.700	32.90	06/02/20	34.19	1.29 W	0.00	797 of 869 - Sale <sup>25</sup>
	2.990	36.43	06/02/20	38.68	2.25 W	0.00	798 of 869 - Sale <sup>25</sup>
	3.000	36.55	06/02/20	52.57	16.02 W	0.00	799 of 869 - Sale <sup>25</sup>
	3.700	45.08	06/02/20	48.69	3.61 W	0.00	800 of 869 - Sale <sup>25</sup>
	3.700	45.09	06/02/20	47.44	2.35 W	0.00	801 of 869 - Sale <sup>25</sup>
	4.700	57.27	06/02/20	67.14	9.87 W	0.00	802 of 869 - Sale <sup>25</sup>
	4.700	57.27	06/02/20	60.48	3.21 W	0.00	803 of 869 - Sale <sup>25</sup>
	4.990	60.80	06/02/20	73.62	12.82 W	0.00	804 of 869 - Sale <sup>25</sup>
	4.990	60.80	06/02/20	63.31	2.51 W	0.00	805 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	73.51	12.59 W	0.00	806 of 869 - Sale <sup>25</sup>
	5.000	60.92	06/02/20	63.66	2.74 W	0.00	807 of 869 - Sale <sup>25</sup>
	5.000	60.93	06/02/20	63.11	2.18 W	0.00	808 of 869 - Sale <sup>25</sup>
	5.290	64.46	06/02/20	92.88	28.42 W	0.00	809 of 869 - Sale <sup>25</sup>
	5.300	64.58	06/02/20	69.32	4.74 W	0.00	810 of 869 - Sale <sup>25</sup>
	6.010	73.23	06/02/20	77.82	4.59 W	0.00	811 of 869 - Sale <sup>25</sup>
	6.700	81.64	06/02/20	86.68	5.04 W	0.00	812 of 869 - Sale <sup>25</sup>
	7.000	85.29	06/02/20	122.67	37.38 W	0.00	813 of 869 - Sale <sup>25</sup>
	7.300	88.95	06/02/20	95.42	6.47 W	0.00	814 of 869 - Sale <sup>25</sup>
	8.000	97.48	06/02/20	104.52	7.04 W	0.00	815 of 869 - Sale <sup>25</sup>
	8.300	101.13	06/02/20	123.18	22.05 W	0.00	816 of 869 - Sale <sup>25</sup>
	9.300	113.32	06/02/20	120.35	7.03 W	0.00	817 of 869 - Sale <sup>25</sup>
	9.700	118.19	06/02/20	126.86	8.67 W	0.00	818 of 869 - Sale <sup>25</sup>
	10.000	121.85	06/02/20	148.40	26.55 W	0.00	819 of 869 - Sale <sup>25</sup>
	11.010	134.16	06/02/20	141.05	6.89 W	0.00	820 of 869 - Sale <sup>25</sup>
	15.300	186.44	06/02/20	197.61	11.17 W	0.00	821 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	12.70	0.70 W	0.00	822 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	3.78	0.18 W	0.00	823 of 869 - Sale <sup>25</sup>
	0.300	3.60	06/02/20	3.97	0.37 W	0.00	824 of 869 - Sale <sup>25</sup>
	0.700	8.40	06/02/20	9.13	0.73 W	0.00	825 of 869 - Sale <sup>25</sup>
	0.700	8.40	06/02/20	9.37	0.97 W	0.00	826 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	12.60	0.60 W	0.00	827 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	12.45	0.45 W	0.00	828 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	12.62	0.62 W	0.00	829 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	12.00	06/02/20	12.60	0.60 W	0.00	830 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.31	1.31 W	0.00	831 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.27	1.27 W	0.00	832 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.40	1.40 W	0.00	833 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.38	1.38 W	0.00	834 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.40	1.40 W	0.00	835 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.38	1.38 W	0.00	836 of 869 - Sale <sup>25</sup>
	1.700	20.40	06/02/20	21.48	1.08 W	0.00	837 of 869 - Sale <sup>25</sup>
	2.000	24.00	06/02/20	25.20	1.20 W	0.00	838 of 869 - Sale <sup>25</sup>
	2.000	24.00	06/02/20	25.19	1.19 W	0.00	839 of 869 - Sale <sup>25</sup>
	2.010	24.12	06/02/20	25.33	1.21 W	0.00	840 of 869 - Sale <sup>25</sup>
	2.700	32.40	06/02/20	33.61	1.21 W	0.00	841 of 869 - Sale <sup>25</sup>
	2.990	35.88	06/02/20	38.66	2.78 W	0.00	842 of 869 - Sale <sup>25</sup>
	3.300	39.60	06/02/20	41.56	1.96 W	0.00	843 of 869 - Sale <sup>25</sup>
	4.000	48.00	06/02/20	49.78	1.78 W	0.00	844 of 869 - Sale <sup>25</sup>
	4.000	48.00	06/02/20	49.36	1.36 W	0.00	845 of 869 - Sale <sup>25</sup>
	4.300	51.60	06/02/20	55.98	4.38 W	0.00	846 of 869 - Sale <sup>25</sup>
	4.700	56.40	06/02/20	59.58	3.18 W	0.00	847 of 869 - Sale <sup>25</sup>
	5.000	59.99	06/02/20	66.82	6.83 W	0.00	848 of 869 - Sale <sup>25</sup>
	6.010	72.11	06/02/20	78.32	6.21 W	0.00	849 of 869 - Sale <sup>25</sup>
	7.990	95.88	06/02/20	100.63	4.75 W	0.00	850 of 869 - Sale <sup>25</sup>
	8.000	95.99	06/02/20	99.56	3.57 W	0.00	851 of 869 - Sale <sup>25</sup>
	8.300	99.60	06/02/20	105.42	5.82 W	0.00	852 of 869 - Sale <sup>25</sup>
	10.000	120.00	06/02/20	126.82	6.82 W	0.00	853 of 869 - Sale <sup>25</sup>
	10.000	119.99	06/02/20	133.66	13.67 W	0.00	854 of 869 - Sale <sup>25</sup>
	3.000	36.00	06/02/20	39.99	3.99 W	0.00	855 of 869 - Sale <sup>25</sup>
	2.000	24.00	06/02/20	26.66	2.66 W	0.00	856 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.34	1.34 W	0.00	857 of 869 - Sale <sup>25</sup>
	9.000	108.00	06/02/20	119.97	11.97 W	0.00	858 of 869 - Sale <sup>25</sup>
	0.010	0.12	06/02/20	0.14	0.02 W	0.00	859 of 869 - Sale <sup>25</sup>
	0.990	11.88	06/02/20	13.22	1.34 W	0.00	860 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.12	1.12 W	0.00	861 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.19	1.19 W	0.00	862 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.21	1.21 W	0.00	863 of 869 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	12.00	06/02/20	13.26	1.26 W	0.00	864 of 869 - Sale <sup>25</sup>
	1.000	12.00	06/02/20	13.24	1.24 W	0.00	865 of 869 - Sale <sup>25</sup>
	1.300	15.60	06/02/20	17.43	1.83 W	0.00	866 of 869 - Sale <sup>25</sup>
	2.700	32.40	06/02/20	35.50	3.10 W	0.00	867 of 869 - Sale <sup>25</sup>
	2.300	27.60	06/02/20	30.69	3.09 W	0.00	868 of 869 - Sale <sup>25</sup>
	6.700	80.40	06/02/20	89.79	9.39 W	0.00	869 of 869 - Sale <sup>25</sup>
06/02/20	3,822.000	46,108.79	Various	52,112.34	6,003.55 W	0.00	Total of 869 transactions
	97 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	0.300	3.57	06/02/20	3.99	0.42 W	0.00	1 of 97 - Sale <sup>25</sup>
	0.700	8.32	06/02/20	9.52	1.20 W	0.00	2 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	13.50	1.61 W	0.00	3 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	13.53	1.64 W	0.00	4 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	12.35	0.46 W	0.00	5 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	12.35	0.46 W	0.00	6 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	12.23	0.34 W	0.00	7 of 97 - Sale <sup>25</sup>
	1.700	20.21	06/02/20	22.76	2.55 W	0.00	8 of 97 - Sale <sup>25</sup>
	2.000	23.78	06/02/20	24.46	0.68 W	0.00	9 of 97 - Sale <sup>25</sup>
	3.000	35.67	06/02/20	41.97	6.30 W	0.00	10 of 97 - Sale <sup>25</sup>
	4.000	47.56	06/02/20	55.93	8.37 W	0.00	11 of 97 - Sale <sup>25</sup>
	4.300	51.13	06/02/20	57.73	6.60 W	0.00	12 of 97 - Sale <sup>25</sup>
	4.700	55.88	06/02/20	62.27	6.39 W	0.00	13 of 97 - Sale <sup>25</sup>
	6.300	74.90	06/02/20	83.69	8.79 W	0.00	14 of 97 - Sale <sup>25</sup>
	9.000	107.01	06/02/20	119.90	12.89 W	0.00	15 of 97 - Sale <sup>25</sup>
	10.000	118.90	06/02/20	134.02	15.12 W	0.00	16 of 97 - Sale <sup>25</sup>
	0.300	3.57	06/02/20	4.34	0.77 W	0.00	17 of 97 - Sale <sup>25</sup>
	0.300	3.57	06/02/20	5.11	1.54 W	0.00	18 of 97 - Sale <sup>25</sup>
	0.700	8.32	06/02/20	11.91	3.59 W	0.00	19 of 97 - Sale <sup>25</sup>
	0.700	8.32	06/02/20	11.77	3.45 W	0.00	20 of 97 - Sale <sup>25</sup>
	0.710	8.44	06/02/20	12.14	3.70 W	0.00	21 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	12.33	0.44 W	0.00	22 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	12.54	0.65 W	0.00	23 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	14.47	2.58 W	0.00	24 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	17.13	5.24 W	0.00	25 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.95	5.06 W	0.00	26 of 97 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.89	06/02/20	16.80	4.91 W	0.00	27 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.80	4.91 W	0.00	28 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.78	4.89 W	0.00	29 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.32	4.43 W	0.00	30 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.28	4.39 W	0.00	31 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.24	4.35 W	0.00	32 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.35	4.46 W	0.00	33 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.36	4.47 W	0.00	34 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.33	4.44 W	0.00	35 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.32	4.43 W	0.00	36 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	16.35	4.46 W	0.00	37 of 97 - Sale <sup>25</sup>
	1.010	12.01	06/02/20	12.46	0.45 W	0.00	38 of 97 - Sale <sup>25</sup>
	1.010	12.01	06/02/20	12.40	0.39 W	0.00	39 of 97 - Sale <sup>25</sup>
	1.010	12.01	06/02/20	16.39	4.38 W	0.00	40 of 97 - Sale <sup>25</sup>
	1.300	15.46	06/02/20	22.40	6.94 W	0.00	41 of 97 - Sale <sup>25</sup>
	1.300	15.46	06/02/20	22.44	6.98 W	0.00	42 of 97 - Sale <sup>25</sup>
	1.700	20.21	06/02/20	28.65	8.44 W	0.00	43 of 97 - Sale <sup>25</sup>
	1.990	23.66	06/02/20	32.60	8.94 W	0.00	44 of 97 - Sale <sup>25</sup>
	2.000	23.78	06/02/20	34.19	10.41 W	0.00	45 of 97 - Sale <sup>25</sup>
	2.000	23.78	06/02/20	34.19	10.41 W	0.00	46 of 97 - Sale <sup>25</sup>
	2.000	23.78	06/02/20	33.68	9.90 W	0.00	47 of 97 - Sale <sup>25</sup>
	2.000	23.78	06/02/20	33.62	9.84 W	0.00	48 of 97 - Sale <sup>25</sup>
	2.000	23.78	06/02/20	32.67	8.89 W	0.00	49 of 97 - Sale <sup>25</sup>
	2.300	27.35	06/02/20	39.40	12.05 W	0.00	50 of 97 - Sale <sup>25</sup>
	2.300	27.35	06/02/20	38.62	11.27 W	0.00	51 of 97 - Sale <sup>25</sup>
	2.700	32.10	06/02/20	39.02	6.92 W	0.00	52 of 97 - Sale <sup>25</sup>
	3.000	35.67	06/02/20	50.92	15.25 W	0.00	53 of 97 - Sale <sup>25</sup>
	3.290	39.12	06/02/20	56.24	17.12 W	0.00	54 of 97 - Sale <sup>25</sup>
	3.400	40.42	06/02/20	58.70	18.28 W	0.00	55 of 97 - Sale <sup>25</sup>
	3.990	47.44	06/02/20	49.28	1.84 W	0.00	56 of 97 - Sale <sup>25</sup>
	4.000	47.56	06/02/20	69.03	21.47 W	0.00	57 of 97 - Sale <sup>25</sup>
	4.000	47.56	06/02/20	67.90	20.34 W	0.00	58 of 97 - Sale <sup>25</sup>
	4.990	59.33	06/02/20	61.00	1.67 W	0.00	59 of 97 - Sale <sup>25</sup>
	4.990	59.33	06/02/20	60.86	1.53 W	0.00	60 of 97 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.000	59.45	06/02/20	60.97	1.52 W	0.00	61 of 97 - Sale <sup>25</sup>
	5.000	59.45	06/02/20	61.70	2.25 W	0.00	62 of 97 - Sale <sup>25</sup>
	5.000	59.45	06/02/20	84.75	25.30 W	0.00	63 of 97 - Sale <sup>25</sup>
	6.010	71.46	06/02/20	73.35	1.89 W	0.00	64 of 97 - Sale <sup>25</sup>
	8.000	95.11	06/02/20	134.48	39.37 W	0.00	65 of 97 - Sale <sup>25</sup>
	9.000	107.01	06/02/20	109.85	2.84 W	0.00	66 of 97 - Sale <sup>25</sup>
	9.000	107.01	06/02/20	109.73	2.72 W	0.00	67 of 97 - Sale <sup>25</sup>
	9.990	118.78	06/02/20	121.91	3.13 W	0.00	68 of 97 - Sale <sup>25</sup>
	11.010	130.90	06/02/20	134.60	3.70 W	0.00	69 of 97 - Sale <sup>25</sup>
	15.010	178.46	06/02/20	183.10	4.64 W	0.00	70 of 97 - Sale <sup>25</sup>
	18.990	225.78	06/02/20	231.79	6.01 W	0.00	71 of 97 - Sale <sup>25</sup>
	20.010	237.91	06/02/20	244.64	6.73 W	0.00	72 of 97 - Sale <sup>25</sup>
	22.000	261.57	06/02/20	269.22	7.65 W	0.00	73 of 97 - Sale <sup>25</sup>
	25.000	297.24	06/02/20	306.02	8.78 W	0.00	74 of 97 - Sale <sup>25</sup>
	29.000	344.80	06/02/20	363.39	18.59 W	0.00	75 of 97 - Sale <sup>25</sup>
	37.990	451.68	06/02/20	465.01	13.33 W	0.00	76 of 97 - Sale <sup>25</sup>
	0.300	3.57	06/02/20	5.29	1.72 W	0.00	77 of 97 - Sale <sup>25</sup>
	0.300	3.57	06/02/20	4.43	0.86 W	0.00	78 of 97 - Sale <sup>25</sup>
	0.700	8.32	06/02/20	11.40	3.08 W	0.00	79 of 97 - Sale <sup>25</sup>
	0.700	8.32	06/02/20	12.24	3.92 W	0.00	80 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	17.54	5.65 W	0.00	81 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	17.61	5.72 W	0.00	82 of 97 - Sale <sup>25</sup>
	1.000	11.89	06/02/20	17.46	5.57 W	0.00	83 of 97 - Sale <sup>25</sup>
	0.700	8.32	06/02/20	10.34	2.02 W	0.00	84 of 97 - Sale <sup>25</sup>
	2.010	23.90	06/02/20	35.29	11.39 W	0.00	85 of 97 - Sale <sup>25</sup>
	2.010	23.90	06/02/20	35.28	11.38 W	0.00	86 of 97 - Sale <sup>25</sup>
	2.700	32.10	06/02/20	47.54	15.44 W	0.00	87 of 97 - Sale <sup>25</sup>
	3.000	35.67	06/02/20	49.14	13.47 W	0.00	88 of 97 - Sale <sup>25</sup>
	3.000	35.67	06/02/20	52.38	16.71 W	0.00	89 of 97 - Sale <sup>25</sup>
	3.300	39.23	06/02/20	53.70	14.47 W	0.00	90 of 97 - Sale <sup>25</sup>
	4.000	47.56	06/02/20	65.06	17.50 W	0.00	91 of 97 - Sale <sup>25</sup>
	4.300	51.12	06/02/20	70.44	19.32 W	0.00	92 of 97 - Sale <sup>25</sup>
	4.700	55.88	06/02/20	77.35	21.47 W	0.00	93 of 97 - Sale <sup>25</sup>
	4.990	59.33	06/02/20	87.60	28.27 W	0.00	94 of 97 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPT IMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.290	62.89	06/02/20	92.53	29.64 W	0.00	95 of 97 - Sale <sup>25</sup>
	7.000	83.22	06/02/20	122.22	39.00 W	0.00	96 of 97 - Sale <sup>25</sup>
	14.000	166.44	06/02/20	246.61	80.17 W	0.00	97 of 97 - Sale <sup>25</sup>
06/03/20	430.000	5,112.53	Various	5,972.44	859.91 W	0.00	Total of 97 transactions
	431 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	0.700	8.23	06/02/20	10.32	2.09 W	0.00	1 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.43	0.90 W	0.00	2 of 431 - Sale <sup>25</sup>
	1.010	11.88	06/02/20	14.79	2.91 W	0.00	3 of 431 - Sale <sup>25</sup>
	1.710	20.11	06/02/20	25.16	5.05 W	0.00	4 of 431 - Sale <sup>25</sup>
	1.990	23.40	06/02/20	29.23	5.83 W	0.00	5 of 431 - Sale <sup>25</sup>
	2.290	26.93	06/02/20	33.51	6.58 W	0.00	6 of 431 - Sale <sup>25</sup>
	2.700	31.75	06/02/20	39.89	8.14 W	0.00	7 of 431 - Sale <sup>25</sup>
	5.000	58.80	06/02/20	73.19	14.39 W	0.00	8 of 431 - Sale <sup>25</sup>
	8.300	97.60	06/02/20	122.65	25.05 W	0.00	9 of 431 - Sale <sup>25</sup>
	10.000	117.60	06/02/20	147.75	30.15 W	0.00	10 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.42	0.89 W	0.00	11 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.41	0.88 W	0.00	12 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.40	0.87 W	0.00	13 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.39	0.86 W	0.00	14 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.35	0.82 W	0.00	15 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.05	0.52 W	0.00	16 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	3.97	0.44 W	0.00	17 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	10.28	2.05 W	0.00	18 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	10.26	2.03 W	0.00	19 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	10.26	2.03 W	0.00	20 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	10.10	1.87 W	0.00	21 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	9.27	1.04 W	0.00	22 of 431 - Sale <sup>25</sup>
	0.710	8.35	06/02/20	9.47	1.12 W	0.00	23 of 431 - Sale <sup>25</sup>
	0.990	11.64	06/02/20	13.19	1.55 W	0.00	24 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	14.49	2.73 W	0.00	25 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	14.43	2.67 W	0.00	26 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	14.42	2.66 W	0.00	27 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	14.49	2.73 W	0.00	28 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	14.44	2.68 W	0.00	29 of 431 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.76	06/02/20	14.35	2.59 W	0.00	30 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	13.53	1.77 W	0.00	31 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	13.09	1.33 W	0.00	32 of 431 - Sale <sup>25</sup>
	1.300	15.29	06/02/20	18.65	3.36 W	0.00	33 of 431 - Sale <sup>25</sup>
	1.300	15.29	06/02/20	17.13	1.84 W	0.00	34 of 431 - Sale <sup>25</sup>
	1.700	19.99	06/02/20	24.40	4.41 W	0.00	35 of 431 - Sale <sup>25</sup>
	1.700	19.99	06/02/20	21.92	1.93 W	0.00	36 of 431 - Sale <sup>25</sup>
	2.000	23.52	06/02/20	26.40	2.88 W	0.00	37 of 431 - Sale <sup>25</sup>
	2.000	23.52	06/02/20	26.01	2.49 W	0.00	38 of 431 - Sale <sup>25</sup>
	2.990	35.16	06/02/20	38.47	3.31 W	0.00	39 of 431 - Sale <sup>25</sup>
	3.000	35.28	06/02/20	44.06	8.78 W	0.00	40 of 431 - Sale <sup>25</sup>
	3.700	43.51	06/02/20	48.44	4.93 W	0.00	41 of 431 - Sale <sup>25</sup>
	4.700	55.27	06/02/20	66.81	11.54 W	0.00	42 of 431 - Sale <sup>25</sup>
	5.300	62.33	06/02/20	68.95	6.62 W	0.00	43 of 431 - Sale <sup>25</sup>
	6.010	70.67	06/02/20	77.40	6.73 W	0.00	44 of 431 - Sale <sup>25</sup>
	6.700	78.79	06/02/20	86.21	7.42 W	0.00	45 of 431 - Sale <sup>25</sup>
	7.300	85.84	06/02/20	94.91	9.07 W	0.00	46 of 431 - Sale <sup>25</sup>
	8.000	94.08	06/02/20	103.96	9.88 W	0.00	47 of 431 - Sale <sup>25</sup>
	8.000	94.08	06/02/20	102.76	8.68 W	0.00	48 of 431 - Sale <sup>25</sup>
	9.300	109.36	06/02/20	119.70	10.34 W	0.00	49 of 431 - Sale <sup>25</sup>
	9.700	114.07	06/02/20	126.19	12.12 W	0.00	50 of 431 - Sale <sup>25</sup>
	8.000	94.08	06/02/20	108.38	14.30 W	0.00	51 of 431 - Sale <sup>25</sup>
	10.000	117.60	06/02/20	136.28	18.68 W	0.00	52 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	3.78	0.25 W	0.00	53 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	3.81	0.28 W	0.00	54 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.00	0.47 W	0.00	55 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	9.21	0.98 W	0.00	56 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	9.45	1.22 W	0.00	57 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	12.82	1.06 W	0.00	58 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	12.71	0.95 W	0.00	59 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	12.57	0.81 W	0.00	60 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	12.73	0.97 W	0.00	61 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	12.72	0.96 W	0.00	62 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	13.43	1.67 W	0.00	63 of 431 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.76	06/02/20	13.39	1.63 W	0.00	64 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	13.52	1.76 W	0.00	65 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	13.50	1.74 W	0.00	66 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	13.51	1.75 W	0.00	67 of 431 - Sale <sup>25</sup>
	1.000	11.76	06/02/20	13.50	1.74 W	0.00	68 of 431 - Sale <sup>25</sup>
	1.300	15.29	06/02/20	16.44	1.15 W	0.00	69 of 431 - Sale <sup>25</sup>
	1.700	19.99	06/02/20	21.68	1.69 W	0.00	70 of 431 - Sale <sup>25</sup>
	2.000	23.52	06/02/20	25.43	1.91 W	0.00	71 of 431 - Sale <sup>25</sup>
	2.000	23.52	06/02/20	25.42	1.90 W	0.00	72 of 431 - Sale <sup>25</sup>
	2.010	23.64	06/02/20	25.56	1.92 W	0.00	73 of 431 - Sale <sup>25</sup>
	2.700	31.75	06/02/20	34.00	2.25 W	0.00	74 of 431 - Sale <sup>25</sup>
	2.700	31.75	06/02/20	33.92	2.17 W	0.00	75 of 431 - Sale <sup>25</sup>
	2.990	35.16	06/02/20	39.00	3.84 W	0.00	76 of 431 - Sale <sup>25</sup>
	3.300	38.81	06/02/20	41.94	3.13 W	0.00	77 of 431 - Sale <sup>25</sup>
	3.700	43.51	06/02/20	47.18	3.67 W	0.00	78 of 431 - Sale <sup>25</sup>
	4.000	47.04	06/02/20	50.24	3.20 W	0.00	79 of 431 - Sale <sup>25</sup>
	4.000	47.04	06/02/20	49.82	2.78 W	0.00	80 of 431 - Sale <sup>25</sup>
	4.300	50.56	06/02/20	56.47	5.91 W	0.00	81 of 431 - Sale <sup>25</sup>
	4.700	55.27	06/02/20	60.15	4.88 W	0.00	82 of 431 - Sale <sup>25</sup>
	4.700	55.27	06/02/20	60.12	4.85 W	0.00	83 of 431 - Sale <sup>25</sup>
	4.990	58.68	06/02/20	62.96	4.28 W	0.00	84 of 431 - Sale <sup>25</sup>
	5.000	58.80	06/02/20	63.31	4.51 W	0.00	85 of 431 - Sale <sup>25</sup>
	5.000	58.80	06/02/20	62.76	3.96 W	0.00	86 of 431 - Sale <sup>25</sup>
	5.000	58.80	06/02/20	67.40	8.60 W	0.00	87 of 431 - Sale <sup>25</sup>
	6.010	70.67	06/02/20	79.02	8.35 W	0.00	88 of 431 - Sale <sup>25</sup>
	7.300	85.84	06/02/20	93.77	7.93 W	0.00	89 of 431 - Sale <sup>25</sup>
	7.990	93.96	06/02/20	101.55	7.59 W	0.00	90 of 431 - Sale <sup>25</sup>
	8.000	94.07	06/02/20	100.49	6.42 W	0.00	91 of 431 - Sale <sup>25</sup>
	8.300	97.60	06/02/20	106.37	8.77 W	0.00	92 of 431 - Sale <sup>25</sup>
	10.000	117.59	06/02/20	127.97	10.38 W	0.00	93 of 431 - Sale <sup>25</sup>
	10.000	117.59	06/02/20	134.82	17.23 W	0.00	94 of 431 - Sale <sup>25</sup>
	11.010	129.47	06/02/20	140.28	10.81 W	0.00	95 of 431 - Sale <sup>25</sup>
	0.010	0.12	06/02/20	0.14	0.02 W	0.00	96 of 431 - Sale <sup>25</sup>
	0.990	11.63	06/02/20	13.33	1.70 W	0.00	97 of 431 - Sale <sup>25</sup>

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(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.75	06/02/20	13.45	1.70 W	0.00	98 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.24	1.49 W	0.00	99 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.31	1.56 W	0.00	100 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.33	1.58 W	0.00	101 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.37	1.62 W	0.00	102 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.35	1.60 W	0.00	103 of 431 - Sale <sup>25</sup>
	1.300	15.27	06/02/20	17.58	2.31 W	0.00	104 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	26.89	3.39 W	0.00	105 of 431 - Sale <sup>25</sup>
	2.300	27.02	06/02/20	30.95	3.93 W	0.00	106 of 431 - Sale <sup>25</sup>
	2.700	31.72	06/02/20	35.81	4.09 W	0.00	107 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	40.33	5.08 W	0.00	108 of 431 - Sale <sup>25</sup>
	6.700	78.72	06/02/20	90.56	11.84 W	0.00	109 of 431 - Sale <sup>25</sup>
	9.000	105.75	06/02/20	121.01	15.26 W	0.00	110 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.06	0.54 W	0.00	111 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	9.68	1.46 W	0.00	112 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.55	1.80 W	0.00	113 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.73	1.98 W	0.00	114 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.76	2.01 W	0.00	115 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.58	0.83 W	0.00	116 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.58	0.83 W	0.00	117 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.46	0.71 W	0.00	118 of 431 - Sale <sup>25</sup>
	1.700	19.97	06/02/20	23.15	3.18 W	0.00	119 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	24.92	1.42 W	0.00	120 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	42.66	7.41 W	0.00	121 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/02/20	56.85	9.85 W	0.00	122 of 431 - Sale <sup>25</sup>
	4.300	50.52	06/02/20	58.72	8.20 W	0.00	123 of 431 - Sale <sup>25</sup>
	4.700	55.22	06/02/20	63.35	8.13 W	0.00	124 of 431 - Sale <sup>25</sup>
	4.990	58.63	06/02/20	62.15	3.52 W	0.00	125 of 431 - Sale <sup>25</sup>
	5.990	70.38	06/02/20	74.48	4.10 W	0.00	126 of 431 - Sale <sup>25</sup>
	6.010	70.62	06/02/20	74.73	4.11 W	0.00	127 of 431 - Sale <sup>25</sup>
	6.300	74.02	06/02/20	85.15	11.13 W	0.00	128 of 431 - Sale <sup>25</sup>
	11.010	129.36	06/02/20	137.14	7.78 W	0.00	129 of 431 - Sale <sup>25</sup>
	18.990	223.13	06/02/20	236.17	13.04 W	0.00	130 of 431 - Sale <sup>25</sup>
	20.010	235.11	06/02/20	249.25	14.14 W	0.00	131 of 431 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.52	06/02/20	4.40	0.88 W	0.00	132 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	5.17	1.65 W	0.00	133 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	12.06	3.84 W	0.00	134 of 431 - Sale <sup>25</sup>
	0.710	8.34	06/02/20	12.29	3.95 W	0.00	135 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.54	0.79 W	0.00	136 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.75	1.00 W	0.00	137 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.68	2.93 W	0.00	138 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	17.34	5.59 W	0.00	139 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	17.16	5.41 W	0.00	140 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	17.01	5.26 W	0.00	141 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	17.01	5.26 W	0.00	142 of 431 - Sale <sup>25</sup>
	1.010	11.87	06/02/20	12.67	0.80 W	0.00	143 of 431 - Sale <sup>25</sup>
	1.010	11.87	06/02/20	12.61	0.74 W	0.00	144 of 431 - Sale <sup>25</sup>
	1.300	15.27	06/02/20	22.67	7.40 W	0.00	145 of 431 - Sale <sup>25</sup>
	1.300	15.27	06/02/20	22.71	7.44 W	0.00	146 of 431 - Sale <sup>25</sup>
	1.700	19.97	06/02/20	29.01	9.04 W	0.00	147 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	34.61	11.11 W	0.00	148 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	34.61	11.11 W	0.00	149 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	34.10	10.60 W	0.00	150 of 431 - Sale <sup>25</sup>
	2.300	27.02	06/02/20	39.88	12.86 W	0.00	151 of 431 - Sale <sup>25</sup>
	2.700	31.72	06/02/20	39.59	7.87 W	0.00	152 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	51.55	16.30 W	0.00	153 of 431 - Sale <sup>25</sup>
	3.290	38.66	06/02/20	56.93	18.27 W	0.00	154 of 431 - Sale <sup>25</sup>
	3.400	39.95	06/02/20	59.42	19.47 W	0.00	155 of 431 - Sale <sup>25</sup>
	3.990	46.88	06/02/20	50.12	3.24 W	0.00	156 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/02/20	49.65	2.65 W	0.00	157 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/02/20	69.87	22.87 W	0.00	158 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/02/20	68.74	21.74 W	0.00	159 of 431 - Sale <sup>25</sup>
	4.990	58.63	06/02/20	61.91	3.28 W	0.00	160 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	62.02	3.27 W	0.00	161 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	62.75	4.00 W	0.00	162 of 431 - Sale <sup>25</sup>
	9.000	105.75	06/02/20	111.74	5.99 W	0.00	163 of 431 - Sale <sup>25</sup>
	9.000	105.75	06/02/20	111.62	5.87 W	0.00	164 of 431 - Sale <sup>25</sup>
	15.010	176.36	06/02/20	186.26	9.90 W	0.00	165 of 431 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	22.000	258.49	06/02/20	273.85	15.36 W	0.00	166 of 431 - Sale <sup>25</sup>
	25.000	293.74	06/02/20	311.28	17.54 W	0.00	167 of 431 - Sale <sup>25</sup>
	29.000	340.74	06/02/20	369.49	28.75 W	0.00	168 of 431 - Sale <sup>25</sup>
	37.990	446.37	06/02/20	473.01	26.64 W	0.00	169 of 431 - Sale <sup>25</sup>
	8.000	94.00	06/02/20	111.10	17.10 W	0.00	170 of 431 - Sale <sup>25</sup>
	10.000	117.50	06/02/20	139.68	22.18 W	0.00	171 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.53	1.01 W	0.00	172 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.52	1.00 W	0.00	173 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.51	0.99 W	0.00	174 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.50	0.98 W	0.00	175 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.49	0.97 W	0.00	176 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.45	0.93 W	0.00	177 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.15	0.63 W	0.00	178 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.07	0.55 W	0.00	179 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	3.88	0.36 W	0.00	180 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	10.56	2.34 W	0.00	181 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	10.52	2.30 W	0.00	182 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	10.50	2.28 W	0.00	183 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	10.50	2.28 W	0.00	184 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	10.34	2.12 W	0.00	185 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	9.51	1.29 W	0.00	186 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	9.03	0.80 W	0.00	187 of 431 - Sale <sup>25</sup>
	0.710	8.34	06/02/20	9.71	1.37 W	0.00	188 of 431 - Sale <sup>25</sup>
	0.990	11.63	06/02/20	13.53	1.90 W	0.00	189 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.83	3.08 W	0.00	190 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.77	3.02 W	0.00	191 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.76	3.01 W	0.00	192 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.83	3.08 W	0.00	193 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.78	3.03 W	0.00	194 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.69	2.94 W	0.00	195 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.87	2.12 W	0.00	196 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.43	1.68 W	0.00	197 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.16	1.41 W	0.00	198 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.05	1.30 W	0.00	199 of 431 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.010	11.87	06/02/20	15.13	3.26 W	0.00	200 of 431 - Sale <sup>25</sup>
	1.300	15.27	06/02/20	19.09	3.82 W	0.00	201 of 431 - Sale <sup>25</sup>
	1.300	15.27	06/02/20	17.57	2.30 W	0.00	202 of 431 - Sale <sup>25</sup>
	1.300	15.27	06/02/20	16.88	1.61 W	0.00	203 of 431 - Sale <sup>25</sup>
	1.700	19.97	06/02/20	24.98	5.01 W	0.00	204 of 431 - Sale <sup>25</sup>
	1.700	19.98	06/02/20	22.50	2.52 W	0.00	205 of 431 - Sale <sup>25</sup>
	1.700	19.98	06/02/20	22.26	2.28 W	0.00	206 of 431 - Sale <sup>25</sup>
	1.710	20.09	06/02/20	25.74	5.65 W	0.00	207 of 431 - Sale <sup>25</sup>
	1.990	23.38	06/02/20	29.91	6.53 W	0.00	208 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	27.08	3.58 W	0.00	209 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	26.69	3.19 W	0.00	210 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	26.11	2.61 W	0.00	211 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	26.10	2.60 W	0.00	212 of 431 - Sale <sup>25</sup>
	2.010	23.62	06/02/20	26.24	2.62 W	0.00	213 of 431 - Sale <sup>25</sup>
	2.290	26.91	06/02/20	34.29	7.38 W	0.00	214 of 431 - Sale <sup>25</sup>
	2.700	31.72	06/02/20	40.81	9.09 W	0.00	215 of 431 - Sale <sup>25</sup>
	2.700	31.73	06/02/20	34.92	3.19 W	0.00	216 of 431 - Sale <sup>25</sup>
	2.990	35.13	06/02/20	39.49	4.36 W	0.00	217 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	45.08	9.83 W	0.00	218 of 431 - Sale <sup>25</sup>
	3.300	38.77	06/02/20	43.06	4.29 W	0.00	219 of 431 - Sale <sup>25</sup>
	3.700	43.47	06/02/20	49.70	6.23 W	0.00	220 of 431 - Sale <sup>25</sup>
	3.700	43.48	06/02/20	48.44	4.96 W	0.00	221 of 431 - Sale <sup>25</sup>
	4.700	55.22	06/02/20	68.41	13.19 W	0.00	222 of 431 - Sale <sup>25</sup>
	4.700	55.23	06/02/20	61.75	6.52 W	0.00	223 of 431 - Sale <sup>25</sup>
	4.990	58.63	06/02/20	64.66	6.03 W	0.00	224 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	74.89	16.14 W	0.00	225 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	65.01	6.26 W	0.00	226 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	64.46	5.71 W	0.00	227 of 431 - Sale <sup>25</sup>
	5.300	62.27	06/02/20	70.75	8.48 W	0.00	228 of 431 - Sale <sup>25</sup>
	6.010	70.62	06/02/20	79.45	8.83 W	0.00	229 of 431 - Sale <sup>25</sup>
	6.700	78.73	06/02/20	88.49	9.76 W	0.00	230 of 431 - Sale <sup>25</sup>
	7.300	85.77	06/02/20	97.40	11.63 W	0.00	231 of 431 - Sale <sup>25</sup>
	7.300	85.77	06/02/20	96.26	10.49 W	0.00	232 of 431 - Sale <sup>25</sup>
	7.990	93.88	06/02/20	104.27	10.39 W	0.00	233 of 431 - Sale <sup>25</sup>

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(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	8.000	94.00	06/02/20	106.68	12.68 W	0.00	234 of 431 - Sale <sup>25</sup>
	8.000	94.00	06/02/20	105.48	11.48 W	0.00	235 of 431 - Sale <sup>25</sup>
	8.300	97.52	06/02/20	125.48	27.96 W	0.00	236 of 431 - Sale <sup>25</sup>
	8.300	97.52	06/02/20	109.20	11.68 W	0.00	237 of 431 - Sale <sup>25</sup>
	9.300	109.27	06/02/20	122.87	13.60 W	0.00	238 of 431 - Sale <sup>25</sup>
	9.700	113.98	06/02/20	129.49	15.51 W	0.00	239 of 431 - Sale <sup>25</sup>
	10.000	117.50	06/02/20	151.15	33.65 W	0.00	240 of 431 - Sale <sup>25</sup>
	10.000	117.50	06/02/20	131.38	13.88 W	0.00	241 of 431 - Sale <sup>25</sup>
	11.010	129.37	06/02/20	144.03	14.66 W	0.00	242 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	5.35	1.83 W	0.00	243 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.49	0.97 W	0.00	244 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	11.92	3.69 W	0.00	245 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	11.55	3.32 W	0.00	246 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	12.39	4.17 W	0.00	247 of 431 - Sale <sup>25</sup>
	0.700	8.23	06/02/20	10.49	2.26 W	0.00	248 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.99	5.24 W	0.00	249 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.53	4.78 W	0.00	250 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.49	4.74 W	0.00	251 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.45	4.70 W	0.00	252 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.56	4.81 W	0.00	253 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.57	4.82 W	0.00	254 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.54	4.79 W	0.00	255 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.53	4.78 W	0.00	256 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	16.56	4.81 W	0.00	257 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	17.75	6.00 W	0.00	258 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	17.82	6.07 W	0.00	259 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	17.67	5.92 W	0.00	260 of 431 - Sale <sup>25</sup>
	1.010	11.87	06/02/20	16.60	4.73 W	0.00	261 of 431 - Sale <sup>25</sup>
	1.990	23.38	06/02/20	33.02	9.64 W	0.00	262 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	34.04	10.54 W	0.00	263 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	33.09	9.59 W	0.00	264 of 431 - Sale <sup>25</sup>
	2.010	23.62	06/02/20	35.71	12.09 W	0.00	265 of 431 - Sale <sup>25</sup>
	2.010	23.62	06/02/20	35.70	12.08 W	0.00	266 of 431 - Sale <sup>25</sup>
	2.300	27.02	06/02/20	39.10	12.08 W	0.00	267 of 431 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.700	31.73	06/02/20	48.11	16.38 W	0.00	268 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	49.77	14.52 W	0.00	269 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	53.01	17.76 W	0.00	270 of 431 - Sale <sup>25</sup>
	3.300	38.77	06/02/20	54.40	15.63 W	0.00	271 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/02/20	65.90	18.90 W	0.00	272 of 431 - Sale <sup>25</sup>
	4.300	50.52	06/02/20	71.35	20.83 W	0.00	273 of 431 - Sale <sup>25</sup>
	4.700	55.23	06/02/20	78.34	23.11 W	0.00	274 of 431 - Sale <sup>25</sup>
	4.990	58.63	06/02/20	88.65	30.02 W	0.00	275 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	85.80	27.05 W	0.00	276 of 431 - Sale <sup>25</sup>
	5.290	62.16	06/02/20	93.65	31.49 W	0.00	277 of 431 - Sale <sup>25</sup>
	7.000	82.25	06/02/20	123.70	41.45 W	0.00	278 of 431 - Sale <sup>25</sup>
	8.000	94.00	06/02/20	136.17	42.17 W	0.00	279 of 431 - Sale <sup>25</sup>
	14.000	164.50	06/02/20	249.57	85.07 W	0.00	280 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.88	1.13 W	0.00	281 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	25.74	2.24 W	0.00	282 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/02/20	51.46	4.46 W	0.00	283 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.76	1.01 W	0.00	284 of 431 - Sale <sup>25</sup>
	8.000	94.00	06/02/20	102.94	8.94 W	0.00	285 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/02/20	4.16	0.63 W	0.00	286 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	9.90	1.68 W	0.00	287 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.03	2.28 W	0.00	288 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	14.07	2.32 W	0.00	289 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	9.75	1.53 W	0.00	290 of 431 - Sale <sup>25</sup>
	3.300	38.78	06/02/20	45.61	6.83 W	0.00	291 of 431 - Sale <sup>25</sup>
	4.300	50.53	06/02/20	60.04	9.51 W	0.00	292 of 431 - Sale <sup>25</sup>
	4.700	55.22	06/02/20	64.79	9.57 W	0.00	293 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.87	2.12 W	0.00	294 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	41.49	6.24 W	0.00	295 of 431 - Sale <sup>25</sup>
	0.010	0.12	06/02/20	0.14	0.02 W	0.00	296 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	3.90	0.38 W	0.00	297 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/02/20	4.09	0.57 W	0.00	298 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	9.43	1.21 W	0.00	299 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/02/20	9.67	1.45 W	0.00	300 of 431 - Sale <sup>25</sup>
	0.990	11.63	06/02/20	13.64	2.01 W	0.00	301 of 431 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.75	06/02/20	13.03	1.28 W	0.00	302 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.03	1.28 W	0.00	303 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.73	1.98 W	0.00	304 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.69	1.94 W	0.00	305 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.82	2.07 W	0.00	306 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.81	2.06 W	0.00	307 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.81	2.06 W	0.00	308 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.80	2.05 W	0.00	309 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.77	2.02 W	0.00	310 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.56	1.81 W	0.00	311 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.62	1.87 W	0.00	312 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.65	1.90 W	0.00	313 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.68	1.93 W	0.00	314 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.67	1.92 W	0.00	315 of 431 - Sale <sup>25</sup>
	1.300	15.28	06/02/20	17.99	2.71 W	0.00	316 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	27.52	4.02 W	0.00	317 of 431 - Sale <sup>25</sup>
	2.300	27.02	06/02/20	31.68	4.66 W	0.00	318 of 431 - Sale <sup>25</sup>
	2.700	31.72	06/02/20	36.66	4.94 W	0.00	319 of 431 - Sale <sup>25</sup>
	2.990	35.13	06/02/20	39.91	4.78 W	0.00	320 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	41.28	6.03 W	0.00	321 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	38.28	3.03 W	0.00	322 of 431 - Sale <sup>25</sup>
	4.300	50.52	06/02/20	57.79	7.27 W	0.00	323 of 431 - Sale <sup>25</sup>
	4.700	55.22	06/02/20	61.56	6.34 W	0.00	324 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	68.93	10.18 W	0.00	325 of 431 - Sale <sup>25</sup>
	6.010	70.62	06/02/20	80.86	10.24 W	0.00	326 of 431 - Sale <sup>25</sup>
	6.700	78.72	06/02/20	92.68	13.96 W	0.00	327 of 431 - Sale <sup>25</sup>
	9.000	105.75	06/02/20	123.84	18.09 W	0.00	328 of 431 - Sale <sup>25</sup>
	10.000	117.50	06/02/20	137.88	20.38 W	0.00	329 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.89	1.14 W	0.00	330 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.88	1.13 W	0.00	331 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.77	1.02 W	0.00	332 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.92	2.17 W	0.00	333 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	25.53	2.03 W	0.00	334 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/02/20	43.57	8.32 W	0.00	335 of 431 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.000	47.00	06/02/20	58.07	11.07 W	0.00	336 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/02/20	50.87	3.87 W	0.00	337 of 431 - Sale <sup>25</sup>
	4.990	58.63	06/02/20	63.67	5.04 W	0.00	338 of 431 - Sale <sup>25</sup>
	5.990	70.38	06/02/20	76.31	5.93 W	0.00	339 of 431 - Sale <sup>25</sup>
	6.010	70.62	06/02/20	76.56	5.94 W	0.00	340 of 431 - Sale <sup>25</sup>
	11.010	129.36	06/02/20	140.50	11.14 W	0.00	341 of 431 - Sale <sup>25</sup>
	18.990	223.12	06/02/20	241.97	18.85 W	0.00	342 of 431 - Sale <sup>25</sup>
	20.010	235.11	06/02/20	255.36	20.25 W	0.00	343 of 431 - Sale <sup>25</sup>
	6.000	70.50	06/02/20	76.09	5.59 W	0.00	344 of 431 - Sale <sup>25</sup>
	9.000	105.74	06/02/20	114.26	8.52 W	0.00	345 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.68	0.93 W	0.00	346 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	12.82	1.07 W	0.00	347 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/02/20	13.03	1.28 W	0.00	348 of 431 - Sale <sup>25</sup>
	1.010	11.87	06/02/20	12.95	1.08 W	0.00	349 of 431 - Sale <sup>25</sup>
	1.010	11.87	06/02/20	12.89	1.02 W	0.00	350 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/02/20	25.37	1.87 W	0.00	351 of 431 - Sale <sup>25</sup>
	3.990	46.88	06/02/20	51.24	4.36 W	0.00	352 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	63.42	4.67 W	0.00	353 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/02/20	64.15	5.40 W	0.00	354 of 431 - Sale <sup>25</sup>
	24.990	293.62	06/02/20	318.15	24.53 W	0.00	355 of 431 - Sale <sup>25</sup>
	25.000	293.74	06/02/20	318.29	24.55 W	0.00	356 of 431 - Sale <sup>25</sup>
	29.000	340.73	06/02/20	377.62	36.89 W	0.00	357 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	12.28	0.53 W	0.00	358 of 431 - Sale <sup>25</sup>
	10.000	117.50	06/03/20	122.82	5.32 W	0.00	359 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/03/20	24.22	0.72 W	0.00	360 of 431 - Sale <sup>25</sup>
	13.000	152.74	06/03/20	157.41	4.67 W	0.00	361 of 431 - Sale <sup>25</sup>
	8.000	94.00	06/03/20	108.30	14.30 W	0.00	362 of 431 - Sale <sup>25</sup>
	10.000	117.50	06/03/20	136.18	18.68 W	0.00	363 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/03/20	4.43	0.91 W	0.00	364 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/03/20	10.32	2.10 W	0.00	365 of 431 - Sale <sup>25</sup>
	1.010	11.87	06/03/20	14.77	2.90 W	0.00	366 of 431 - Sale <sup>25</sup>
	2.290	26.91	06/03/20	33.49	6.58 W	0.00	367 of 431 - Sale <sup>25</sup>
	3.700	43.47	06/03/20	54.63	11.16 W	0.00	368 of 431 - Sale <sup>25</sup>
	5.000	58.75	06/03/20	73.14	14.39 W	0.00	369 of 431 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.52	06/03/20	4.30	0.78 W	0.00	370 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	14.33	2.58 W	0.00	371 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	16.99	5.24 W	0.00	372 of 431 - Sale <sup>25</sup>
	1.300	15.28	06/03/20	22.22	6.94 W	0.00	373 of 431 - Sale <sup>25</sup>
	1.300	15.27	06/03/20	22.26	6.99 W	0.00	374 of 431 - Sale <sup>25</sup>
	2.700	31.72	06/03/20	38.65	6.93 W	0.00	375 of 431 - Sale <sup>25</sup>
	3.400	39.95	06/03/20	58.23	18.28 W	0.00	376 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/03/20	68.47	21.47 W	0.00	377 of 431 - Sale <sup>25</sup>
	4.990	58.63	06/03/20	60.17	1.54 W	0.00	378 of 431 - Sale <sup>25</sup>
	9.000	105.75	06/03/20	108.88	3.13 W	0.00	379 of 431 - Sale <sup>25</sup>
	15.010	176.36	06/03/20	181.01	4.65 W	0.00	380 of 431 - Sale <sup>25</sup>
	0.300	3.52	06/03/20	5.07	1.55 W	0.00	381 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/03/20	11.82	3.60 W	0.00	382 of 431 - Sale <sup>25</sup>
	0.710	8.34	06/03/20	12.04	3.70 W	0.00	383 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	16.81	5.06 W	0.00	384 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	16.66	4.91 W	0.00	385 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	16.66	4.91 W	0.00	386 of 431 - Sale <sup>25</sup>
	1.700	19.97	06/03/20	28.42	8.45 W	0.00	387 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/03/20	33.91	10.41 W	0.00	388 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/03/20	33.91	10.41 W	0.00	389 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/03/20	33.40	9.90 W	0.00	390 of 431 - Sale <sup>25</sup>
	2.300	27.02	06/03/20	39.08	12.06 W	0.00	391 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/03/20	50.50	15.25 W	0.00	392 of 431 - Sale <sup>25</sup>
	3.290	38.66	06/03/20	55.78	17.12 W	0.00	393 of 431 - Sale <sup>25</sup>
	4.000	47.00	06/03/20	67.34	20.34 W	0.00	394 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/03/20	4.40	0.87 W	0.00	395 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/03/20	4.39	0.86 W	0.00	396 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/03/20	4.38	0.85 W	0.00	397 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/03/20	4.37	0.84 W	0.00	398 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/03/20	4.33	0.80 W	0.00	399 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/03/20	4.03	0.50 W	0.00	400 of 431 - Sale <sup>25</sup>
	0.300	3.53	06/03/20	3.95	0.42 W	0.00	401 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/03/20	10.24	2.02 W	0.00	402 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/03/20	10.22	2.00 W	0.00	403 of 431 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	8.22	06/03/20	10.22	2.00 W	0.00	404 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/03/20	10.06	1.84 W	0.00	405 of 431 - Sale <sup>25</sup>
	0.700	8.22	06/03/20	9.23	1.01 W	0.00	406 of 431 - Sale <sup>25</sup>
	0.710	8.34	06/03/20	9.42	1.08 W	0.00	407 of 431 - Sale <sup>25</sup>
	0.990	11.63	06/03/20	13.13	1.50 W	0.00	408 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	14.43	2.68 W	0.00	409 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	14.37	2.62 W	0.00	410 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	14.36	2.61 W	0.00	411 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	14.43	2.68 W	0.00	412 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	14.37	2.62 W	0.00	413 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	14.29	2.54 W	0.00	414 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	13.47	1.72 W	0.00	415 of 431 - Sale <sup>25</sup>
	1.000	11.75	06/03/20	13.03	1.28 W	0.00	416 of 431 - Sale <sup>25</sup>
	1.300	15.28	06/03/20	18.57	3.29 W	0.00	417 of 431 - Sale <sup>25</sup>
	1.300	15.28	06/03/20	17.05	1.77 W	0.00	418 of 431 - Sale <sup>25</sup>
	1.700	19.97	06/03/20	24.30	4.33 W	0.00	419 of 431 - Sale <sup>25</sup>
	1.710	20.09	06/03/20	25.05	4.96 W	0.00	420 of 431 - Sale <sup>25</sup>
	1.990	23.38	06/03/20	29.11	5.73 W	0.00	421 of 431 - Sale <sup>25</sup>
	2.000	23.50	06/03/20	26.27	2.77 W	0.00	422 of 431 - Sale <sup>25</sup>
	2.700	31.72	06/03/20	39.72	8.00 W	0.00	423 of 431 - Sale <sup>25</sup>
	3.000	35.25	06/03/20	43.87	8.62 W	0.00	424 of 431 - Sale <sup>25</sup>
	3.700	43.47	06/03/20	48.21	4.74 W	0.00	425 of 431 - Sale <sup>25</sup>
	4.700	55.22	06/03/20	66.51	11.29 W	0.00	426 of 431 - Sale <sup>25</sup>
	6.300	74.02	06/03/20	92.67	18.65 W	0.00	427 of 431 - Sale <sup>25</sup>
	7.300	85.78	06/03/20	94.45	8.67 W	0.00	428 of 431 - Sale <sup>25</sup>
	8.000	94.00	06/03/20	103.44	9.44 W	0.00	429 of 431 - Sale <sup>25</sup>
	8.300	97.52	06/03/20	122.12	24.60 W	0.00	430 of 431 - Sale <sup>25</sup>
	5.700	66.97	06/03/20	73.78	6.81 W	0.00	431 of 431 - Sale <sup>25</sup>
06/04/20	1,500.000	17,627.41	Various	20,442.65	2,815.24 W	0.00	Total of 431 transactions
	13 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	0.010	0.12	06/04/20	0.12	...	0.00	1 of 13 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	46.76	...	0.04	2 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.53	...	0.17	3 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.53	...	0.17	4 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	11.53	...	0.17	5 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.53	...	0.17	6 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.53	...	0.17	7 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.53	...	0.17	8 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.52	...	0.18	9 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.52	...	0.18	10 of 13 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.51	...	0.19	11 of 13 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	57.48	...	0.90	12 of 13 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	69.25	...	1.06	13 of 13 - Sale <sup>25</sup>
06/05/20	24.010	280.91	Various	277.34	...	3.57	Total of 13 transactions
	2832 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.700	19.89	06/03/20	21.81	1.92 W	0.00	1 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/03/20	25.88	2.48 W	0.00	2 of 2832 - Sale <sup>25</sup>
	2.990	34.98	06/03/20	38.28	3.30 W	0.00	3 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/03/20	38.42	3.32 W	0.00	4 of 2832 - Sale <sup>25</sup>
	5.300	62.01	06/03/20	68.61	6.60 W	0.00	5 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/03/20	77.01	6.70 W	0.00	6 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/03/20	51.78	4.98 W	0.00	7 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/03/20	3.76	0.25 W	0.00	8 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	12.76	1.06 W	0.00	9 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/03/20	16.36	1.15 W	0.00	10 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/03/20	33.84	2.25 W	0.00	11 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/03/20	46.96	3.67 W	0.00	12 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/03/20	59.87	4.88 W	0.00	13 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/03/20	62.67	4.29 W	0.00	14 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/03/20	63.01	4.51 W	0.00	15 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/03/20	62.46	3.96 W	0.00	16 of 2832 - Sale <sup>25</sup>
	6.300	73.71	06/03/20	80.71	7.00 W	0.00	17 of 2832 - Sale <sup>25</sup>
	6.700	78.39	06/03/20	85.81	7.42 W	0.00	18 of 2832 - Sale <sup>25</sup>
	7.300	85.41	06/03/20	93.34	7.93 W	0.00	19 of 2832 - Sale <sup>25</sup>
	8.000	93.60	06/03/20	102.28	8.68 W	0.00	20 of 2832 - Sale <sup>25</sup>
	8.300	97.11	06/03/20	105.88	8.77 W	0.00	21 of 2832 - Sale <sup>25</sup>
	8.700	101.79	06/03/20	110.83	9.04 W	0.00	22 of 2832 - Sale <sup>25</sup>
	11.010	128.81	06/03/20	139.62	10.81 W	0.00	23 of 2832 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.300	15.21	06/03/20	16.55	1.34 W	0.00	24 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/03/20	21.58	1.69 W	0.00	25 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/03/20	25.31	1.91 W	0.00	26 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/03/20	25.30	1.90 W	0.00	27 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/03/20	25.43	1.91 W	0.00	28 of 2832 - Sale <sup>25</sup>
	5.990	70.08	06/03/20	75.78	5.70 W	0.00	29 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/03/20	8.77	0.58 W	0.00	30 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	12.69	0.99 W	0.00	31 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/03/20	25.38	1.98 W	0.00	32 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/03/20	41.88	3.27 W	0.00	33 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/03/20	11.76	3.57 W	0.00	34 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/03/20	38.28	11.37 W	0.00	35 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/03/20	33.34	9.94 W	0.00	36 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/03/20	61.68	18.39 W	0.00	37 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/03/20	72.28	21.97 W	0.00	38 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/03/20	11.61	3.42 W	0.00	39 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.56	4.86 W	0.00	40 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.10	4.40 W	0.00	41 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.06	4.36 W	0.00	42 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.02	4.32 W	0.00	43 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.13	4.43 W	0.00	44 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/03/20	71.35	21.04 W	0.00	45 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.14	4.44 W	0.00	46 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.11	4.41 W	0.00	47 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.10	4.40 W	0.00	48 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.13	4.43 W	0.00	49 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.16	4.46 W	0.00	50 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/03/20	16.16	4.34 W	0.00	51 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/03/20	32.17	8.89 W	0.00	52 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/03/20	32.23	8.83 W	0.00	53 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/03/20	32.44	9.04 W	0.00	54 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/03/20	60.30	17.01 W	0.00	55 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/03/20	69.76	19.45 W	0.00	56 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/03/20	5.34	1.83 W	0.00	57 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	8.19	06/03/20	11.50	3.31 W	0.00	58 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/03/20	12.35	4.16 W	0.00	59 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	16.61	4.91 W	0.00	60 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	17.69	5.99 W	0.00	61 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	17.76	6.06 W	0.00	62 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/03/20	35.59	12.07 W	0.00	63 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/03/20	35.58	12.06 W	0.00	64 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/03/20	47.94	16.35 W	0.00	65 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/03/20	52.83	17.73 W	0.00	66 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/03/20	54.21	15.60 W	0.00	67 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/03/20	65.66	18.86 W	0.00	68 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/03/20	70.45	23.65 W	0.00	69 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/03/20	88.35	29.97 W	0.00	70 of 2832 - Sale <sup>25</sup>
	5.290	61.89	06/03/20	93.33	31.44 W	0.00	71 of 2832 - Sale <sup>25</sup>
	14.000	163.79	06/03/20	248.73	84.94 W	0.00	72 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/03/20	17.42	5.72 W	0.00	73 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/03/20	52.26	17.16 W	0.00	74 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.44	0.93 W	0.00	75 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.34	2.15 W	0.00	76 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.67	0.97 W	0.00	77 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.67	1.97 W	0.00	78 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	40.89	5.79 W	0.00	79 of 2832 - Sale <sup>25</sup>
	0.010	0.12	06/04/20	0.14	0.02 W	0.00	80 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	3.85	0.34 W	0.00	81 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.04	0.53 W	0.00	82 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.29	1.10 W	0.00	83 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.53	1.34 W	0.00	84 of 2832 - Sale <sup>25</sup>
	0.990	11.58	06/04/20	13.44	1.86 W	0.00	85 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.67	0.97 W	0.00	86 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.68	0.98 W	0.00	87 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.56	0.86 W	0.00	88 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.83	1.13 W	0.00	89 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.83	1.13 W	0.00	90 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.53	1.83 W	0.00	91 of 2832 - Sale <sup>25</sup>

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(continued)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	13.49	1.79 W	0.00	92 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.62	1.92 W	0.00	93 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.61	1.91 W	0.00	94 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.61	1.91 W	0.00	95 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.60	1.90 W	0.00	96 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.57	1.87 W	0.00	97 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.36	1.66 W	0.00	98 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.42	1.72 W	0.00	99 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.45	1.75 W	0.00	100 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.48	1.78 W	0.00	101 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.47	1.77 W	0.00	102 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	17.73	2.52 W	0.00	103 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	27.12	3.72 W	0.00	104 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	31.23	4.32 W	0.00	105 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	36.12	4.53 W	0.00	106 of 2832 - Sale <sup>25</sup>
	2.990	34.98	06/04/20	39.31	4.33 W	0.00	107 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.68	2.58 W	0.00	108 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	40.68	5.58 W	0.00	109 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	50.66	3.86 W	0.00	110 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	56.94	6.63 W	0.00	111 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	60.62	5.63 W	0.00	112 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	67.93	9.43 W	0.00	113 of 2832 - Sale <sup>25</sup>
	5.700	66.69	06/04/20	77.71	11.02 W	0.00	114 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	79.66	9.35 W	0.00	115 of 2832 - Sale <sup>25</sup>
	8.000	93.60	06/04/20	101.34	7.74 W	0.00	116 of 2832 - Sale <sup>25</sup>
	9.000	105.30	06/04/20	122.04	16.74 W	0.00	117 of 2832 - Sale <sup>25</sup>
	10.000	116.99	06/04/20	135.88	18.89 W	0.00	118 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.09	0.58 W	0.00	119 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.76	1.57 W	0.00	120 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.61	1.42 W	0.00	121 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.82	2.12 W	0.00	122 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.86	2.16 W	0.00	123 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.71	2.01 W	0.00	124 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.68	0.98 W	0.00	125 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	12.67	0.97 W	0.00	126 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.56	0.86 W	0.00	127 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.11	1.71 W	0.00	128 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	42.94	7.84 W	0.00	129 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.52	2.42 W	0.00	130 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	44.91	6.30 W	0.00	131 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	57.23	10.43 W	0.00	132 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	59.13	8.82 W	0.00	133 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	63.81	8.82 W	0.00	134 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	62.62	4.24 W	0.00	135 of 2832 - Sale <sup>25</sup>
	5.990	70.08	06/04/20	75.05	4.97 W	0.00	136 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	75.30	4.99 W	0.00	137 of 2832 - Sale <sup>25</sup>
	11.010	128.81	06/04/20	138.20	9.39 W	0.00	138 of 2832 - Sale <sup>25</sup>
	18.990	222.17	06/04/20	237.99	15.82 W	0.00	139 of 2832 - Sale <sup>25</sup>
	20.010	234.10	06/04/20	251.17	17.07 W	0.00	140 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.62	1.92 W	0.00	141 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.48	0.78 W	0.00	142 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.44	0.74 W	0.00	143 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.58	0.88 W	0.00	144 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.79	1.09 W	0.00	145 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	12.71	0.89 W	0.00	146 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	12.65	0.83 W	0.00	147 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	24.89	1.49 W	0.00	148 of 2832 - Sale <sup>25</sup>
	3.990	46.68	06/04/20	50.28	3.60 W	0.00	149 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	62.22	3.72 W	0.00	150 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	62.95	4.45 W	0.00	151 of 2832 - Sale <sup>25</sup>
	6.000	70.20	06/04/20	74.65	4.45 W	0.00	152 of 2832 - Sale <sup>25</sup>
	9.000	105.29	06/04/20	112.11	6.82 W	0.00	153 of 2832 - Sale <sup>25</sup>
	12.990	151.97	06/04/20	166.03	14.06 W	0.00	154 of 2832 - Sale <sup>25</sup>
	8.990	105.18	06/04/20	112.12	6.94 W	0.00	155 of 2832 - Sale <sup>25</sup>
	16.010	187.31	06/04/20	204.32	17.01 W	0.00	156 of 2832 - Sale <sup>25</sup>
	25.000	292.48	06/04/20	311.80	19.32 W	0.00	157 of 2832 - Sale <sup>25</sup>
	10.000	116.99	06/04/20	128.32	11.33 W	0.00	158 of 2832 - Sale <sup>25</sup>
	8.000	93.59	06/04/20	108.06	14.47 W	0.00	159 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	10.000	116.99	06/04/20	135.88	18.89 W	0.00	160 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.43	0.92 W	0.00	161 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.30	2.11 W	0.00	162 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	14.74	2.92 W	0.00	163 of 2832 - Sale <sup>25</sup>
	2.290	26.79	06/04/20	33.42	6.63 W	0.00	164 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	54.52	11.23 W	0.00	165 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	72.99	14.49 W	0.00	166 of 2832 - Sale <sup>25</sup>
	6.000	70.20	06/04/20	76.21	6.01 W	0.00	167 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.30	0.79 W	0.00	168 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.25	0.55 W	0.00	169 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.30	2.60 W	0.00	170 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	22.18	6.97 W	0.00	171 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	24.16	0.76 W	0.00	172 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	38.57	6.98 W	0.00	173 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	46.16	14.57 W	0.00	174 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	60.02	1.64 W	0.00	175 of 2832 - Sale <sup>25</sup>
	9.000	105.29	06/04/20	108.61	3.32 W	0.00	176 of 2832 - Sale <sup>25</sup>
	10.000	116.99	06/04/20	122.52	5.53 W	0.00	177 of 2832 - Sale <sup>25</sup>
	13.000	152.09	06/04/20	157.03	4.94 W	0.00	178 of 2832 - Sale <sup>25</sup>
	15.010	175.61	06/04/20	180.57	4.96 W	0.00	179 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.19	2.00 W	0.00	180 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	39.48	7.89 W	0.00	181 of 2832 - Sale <sup>25</sup>
	6.300	73.71	06/04/20	92.11	18.40 W	0.00	182 of 2832 - Sale <sup>25</sup>
	8.300	97.10	06/04/20	121.38	24.28 W	0.00	183 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	5.05	1.54 W	0.00	184 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.92	3.73 W	0.00	185 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.76	3.57 W	0.00	186 of 2832 - Sale <sup>25</sup>
	0.710	8.31	06/04/20	11.98	3.67 W	0.00	187 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.90	5.20 W	0.00	188 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.72	5.02 W	0.00	189 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.57	4.87 W	0.00	190 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.57	4.87 W	0.00	191 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	22.15	6.94 W	0.00	192 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	28.27	8.38 W	0.00	193 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	23.40	06/04/20	33.73	10.33 W	0.00	194 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	33.73	10.33 W	0.00	195 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	33.22	9.82 W	0.00	196 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	38.88	11.97 W	0.00	197 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	50.23	15.13 W	0.00	198 of 2832 - Sale <sup>25</sup>
	3.290	38.49	06/04/20	55.48	16.99 W	0.00	199 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	68.11	21.31 W	0.00	200 of 2832 - Sale <sup>25</sup>
	4.000	46.79	06/04/20	66.98	20.19 W	0.00	201 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.37	0.86 W	0.00	202 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.36	0.85 W	0.00	203 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.35	0.84 W	0.00	204 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.34	0.83 W	0.00	205 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.30	0.79 W	0.00	206 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.18	1.99 W	0.00	207 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.16	1.97 W	0.00	208 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.16	1.97 W	0.00	209 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.00	1.81 W	0.00	210 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.34	2.64 W	0.00	211 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.28	2.58 W	0.00	212 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.27	2.57 W	0.00	213 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.34	2.64 W	0.00	214 of 2832 - Sale <sup>25</sup>
	1.000	11.69	06/04/20	14.28	2.59 W	0.00	215 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	14.71	2.89 W	0.00	216 of 2832 - Sale <sup>25</sup>
	1.700	19.88	06/04/20	24.15	4.27 W	0.00	217 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/04/20	28.93	5.65 W	0.00	218 of 2832 - Sale <sup>25</sup>
	3.000	35.09	06/04/20	43.60	8.51 W	0.00	219 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	3.75	0.24 W	0.00	220 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.72	1.02 W	0.00	221 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	16.31	1.10 W	0.00	222 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	21.74	1.85 W	0.00	223 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.80	2.40 W	0.00	224 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	33.73	2.14 W	0.00	225 of 2832 - Sale <sup>25</sup>
	2.990	34.98	06/04/20	38.16	3.18 W	0.00	226 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	38.30	3.20 W	0.00	227 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.700	43.29	06/04/20	46.81	3.52 W	0.00	228 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	51.62	4.82 W	0.00	229 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	59.68	4.69 W	0.00	230 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	62.47	4.09 W	0.00	231 of 2832 - Sale <sup>25</sup>
	5.000	58.49	06/04/20	62.81	4.32 W	0.00	232 of 2832 - Sale <sup>25</sup>
	5.000	58.49	06/04/20	62.26	3.77 W	0.00	233 of 2832 - Sale <sup>25</sup>
	5.300	62.01	06/04/20	68.40	6.39 W	0.00	234 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	76.78	6.47 W	0.00	235 of 2832 - Sale <sup>25</sup>
	6.300	73.71	06/04/20	80.46	6.75 W	0.00	236 of 2832 - Sale <sup>25</sup>
	6.700	78.39	06/04/20	85.54	7.15 W	0.00	237 of 2832 - Sale <sup>25</sup>
	7.300	85.41	06/04/20	93.05	7.64 W	0.00	238 of 2832 - Sale <sup>25</sup>
	8.000	93.60	06/04/20	101.96	8.36 W	0.00	239 of 2832 - Sale <sup>25</sup>
	11.010	128.81	06/04/20	139.19	10.38 W	0.00	240 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	8.74	0.55 W	0.00	241 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.65	0.95 W	0.00	242 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.63	1.93 W	0.00	243 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	16.50	1.29 W	0.00	244 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	21.51	1.62 W	0.00	245 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.23	1.83 W	0.00	246 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.22	1.82 W	0.00	247 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.30	1.90 W	0.00	248 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/04/20	25.35	1.83 W	0.00	249 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	40.77	5.67 W	0.00	250 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	41.75	3.14 W	0.00	251 of 2832 - Sale <sup>25</sup>
	5.990	70.08	06/04/20	75.54	5.46 W	0.00	252 of 2832 - Sale <sup>25</sup>
	8.300	97.11	06/04/20	105.55	8.44 W	0.00	253 of 2832 - Sale <sup>25</sup>
	8.700	101.79	06/04/20	110.48	8.69 W	0.00	254 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.00	0.49 W	0.00	255 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	3.92	0.41 W	0.00	256 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.17	0.98 W	0.00	257 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.73	3.54 W	0.00	258 of 2832 - Sale <sup>25</sup>
	0.710	8.31	06/04/20	9.36	1.05 W	0.00	259 of 2832 - Sale <sup>25</sup>
	0.990	11.58	06/04/20	13.04	1.46 W	0.00	260 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.20	2.50 W	0.00	261 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	13.38	1.68 W	0.00	262 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.94	1.24 W	0.00	263 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	18.45	3.24 W	0.00	264 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	16.93	1.72 W	0.00	265 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	26.09	2.69 W	0.00	266 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	33.26	9.86 W	0.00	267 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	38.19	11.28 W	0.00	268 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	47.88	4.59 W	0.00	269 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	61.53	18.24 W	0.00	270 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	72.11	21.80 W	0.00	271 of 2832 - Sale <sup>25</sup>
	4.000	46.79	06/04/20	66.21	19.42 W	0.00	272 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	66.09	11.10 W	0.00	273 of 2832 - Sale <sup>25</sup>
	5.700	66.68	06/04/20	73.27	6.59 W	0.00	274 of 2832 - Sale <sup>25</sup>
	7.300	85.40	06/04/20	93.79	8.39 W	0.00	275 of 2832 - Sale <sup>25</sup>
	8.000	93.59	06/04/20	102.72	9.13 W	0.00	276 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	5.33	1.82 W	0.00	277 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.58	3.39 W	0.00	278 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.47	3.28 W	0.00	279 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	12.32	4.13 W	0.00	280 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.52	4.82 W	0.00	281 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.06	4.36 W	0.00	282 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.02	4.32 W	0.00	283 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	15.98	4.28 W	0.00	284 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.09	4.39 W	0.00	285 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.10	4.40 W	0.00	286 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.07	4.37 W	0.00	287 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.06	4.36 W	0.00	288 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.09	4.39 W	0.00	289 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.12	4.42 W	0.00	290 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.57	4.87 W	0.00	291 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	17.65	5.95 W	0.00	292 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	17.72	6.02 W	0.00	293 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	17.38	5.68 W	0.00	294 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.63	0.93 W	0.00	295 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	12.63	0.93 W	0.00	296 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.63	0.93 W	0.00	297 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	16.12	4.30 W	0.00	298 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/04/20	32.09	8.81 W	0.00	299 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	32.15	8.75 W	0.00	300 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	32.36	8.96 W	0.00	301 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/04/20	35.51	11.99 W	0.00	302 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/04/20	35.50	11.98 W	0.00	303 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	47.83	16.24 W	0.00	304 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	52.71	17.61 W	0.00	305 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	52.14	17.04 W	0.00	306 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	54.08	15.47 W	0.00	307 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	60.15	16.86 W	0.00	308 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	65.50	18.70 W	0.00	309 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	70.29	23.49 W	0.00	310 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.97	1.46 W	0.00	311 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	69.59	19.28 W	0.00	312 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	88.15	29.77 W	0.00	313 of 2832 - Sale <sup>25</sup>
	5.290	61.89	06/04/20	93.12	31.23 W	0.00	314 of 2832 - Sale <sup>25</sup>
	14.000	163.79	06/04/20	248.18	84.39 W	0.00	315 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.43	0.92 W	0.00	316 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.31	2.12 W	0.00	317 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	3.84	0.33 W	0.00	318 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.03	0.52 W	0.00	319 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.26	1.07 W	0.00	320 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.50	1.31 W	0.00	321 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.64	0.94 W	0.00	322 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.52	0.82 W	0.00	323 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.79	1.09 W	0.00	324 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.79	1.09 W	0.00	325 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.49	1.79 W	0.00	326 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.45	1.75 W	0.00	327 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.58	1.88 W	0.00	328 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.57	1.87 W	0.00	329 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	13.57	1.87 W	0.00	330 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	27.10	3.70 W	0.00	331 of 2832 - Sale <sup>25</sup>
	2.990	34.98	06/04/20	39.19	4.21 W	0.00	332 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.56	2.46 W	0.00	333 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	50.50	3.70 W	0.00	334 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	56.77	6.46 W	0.00	335 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	60.43	5.44 W	0.00	336 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	67.73	9.23 W	0.00	337 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	79.43	9.12 W	0.00	338 of 2832 - Sale <sup>25</sup>
	7.000	81.90	06/04/20	88.39	6.49 W	0.00	339 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	44.78	6.17 W	0.00	340 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	63.62	8.63 W	0.00	341 of 2832 - Sale <sup>25</sup>
	0.010	0.12	06/04/20	0.14	0.02 W	0.00	342 of 2832 - Sale <sup>25</sup>
	0.990	11.58	06/04/20	13.40	1.82 W	0.00	343 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.56	1.86 W	0.00	344 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.53	1.83 W	0.00	345 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.32	1.62 W	0.00	346 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.38	1.68 W	0.00	347 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.41	1.71 W	0.00	348 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.44	1.74 W	0.00	349 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.43	1.73 W	0.00	350 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	17.68	2.47 W	0.00	351 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	27.04	3.64 W	0.00	352 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	31.14	4.23 W	0.00	353 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	36.01	4.42 W	0.00	354 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	40.56	5.46 W	0.00	355 of 2832 - Sale <sup>25</sup>
	5.700	66.69	06/04/20	77.48	10.79 W	0.00	356 of 2832 - Sale <sup>25</sup>
	8.000	93.59	06/04/20	108.39	14.80 W	0.00	357 of 2832 - Sale <sup>25</sup>
	9.000	105.29	06/04/20	121.68	16.39 W	0.00	358 of 2832 - Sale <sup>25</sup>
	8.000	93.59	06/04/20	108.39	14.80 W	0.00	359 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.08	0.57 W	0.00	360 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.73	1.54 W	0.00	361 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.58	1.39 W	0.00	362 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.78	2.08 W	0.00	363 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	13.82	2.12 W	0.00	364 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.67	1.97 W	0.00	365 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.64	0.94 W	0.00	366 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.63	0.93 W	0.00	367 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.52	0.82 W	0.00	368 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.44	0.74 W	0.00	369 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.40	0.70 W	0.00	370 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.03	1.63 W	0.00	371 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	42.82	7.72 W	0.00	372 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.40	2.30 W	0.00	373 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	57.07	10.27 W	0.00	374 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	58.96	8.65 W	0.00	375 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	62.42	4.04 W	0.00	376 of 2832 - Sale <sup>25</sup>
	5.990	70.08	06/04/20	74.81	4.73 W	0.00	377 of 2832 - Sale <sup>25</sup>
	6.000	70.19	06/04/20	74.41	4.22 W	0.00	378 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	75.07	4.76 W	0.00	379 of 2832 - Sale <sup>25</sup>
	9.000	105.29	06/04/20	111.76	6.47 W	0.00	380 of 2832 - Sale <sup>25</sup>
	11.010	128.81	06/04/20	137.77	8.96 W	0.00	381 of 2832 - Sale <sup>25</sup>
	18.990	222.17	06/04/20	237.24	15.07 W	0.00	382 of 2832 - Sale <sup>25</sup>
	20.010	234.10	06/04/20	250.39	16.29 W	0.00	383 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.54	0.84 W	0.00	384 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.75	1.05 W	0.00	385 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	12.67	0.85 W	0.00	386 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	12.61	0.79 W	0.00	387 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	24.81	1.41 W	0.00	388 of 2832 - Sale <sup>25</sup>
	3.990	46.68	06/04/20	50.12	3.44 W	0.00	389 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	62.02	3.52 W	0.00	390 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	62.75	4.25 W	0.00	391 of 2832 - Sale <sup>25</sup>
	8.990	105.18	06/04/20	111.76	6.58 W	0.00	392 of 2832 - Sale <sup>25</sup>
	10.000	116.99	06/04/20	127.93	10.94 W	0.00	393 of 2832 - Sale <sup>25</sup>
	12.990	151.98	06/04/20	165.52	13.54 W	0.00	394 of 2832 - Sale <sup>25</sup>
	16.010	187.31	06/04/20	203.69	16.38 W	0.00	395 of 2832 - Sale <sup>25</sup>
	25.000	292.48	06/04/20	310.82	18.34 W	0.00	396 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.58	1.88 W	0.00	397 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	23.40	06/04/20	27.10	3.70 W	0.00	398 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	53.88	7.08 W	0.00	399 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	21.67	1.78 W	0.00	400 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.72	2.32 W	0.00	401 of 2832 - Sale <sup>25</sup>
	2.990	34.98	06/04/20	38.04	3.06 W	0.00	402 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	38.18	3.08 W	0.00	403 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	51.46	4.66 W	0.00	404 of 2832 - Sale <sup>25</sup>
	5.300	62.01	06/04/20	68.19	6.18 W	0.00	405 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	76.55	6.24 W	0.00	406 of 2832 - Sale <sup>25</sup>
	6.300	73.71	06/04/20	80.21	6.50 W	0.00	407 of 2832 - Sale <sup>25</sup>
	6.700	78.39	06/04/20	85.27	6.88 W	0.00	408 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	50.83	4.03 W	0.00	409 of 2832 - Sale <sup>25</sup>
	8.000	93.59	06/04/20	101.64	8.05 W	0.00	410 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	53.87	7.07 W	0.00	411 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.42	0.91 W	0.00	412 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.27	2.08 W	0.00	413 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	14.70	2.88 W	0.00	414 of 2832 - Sale <sup>25</sup>
	2.290	26.79	06/04/20	33.33	6.54 W	0.00	415 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	54.37	11.08 W	0.00	416 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	72.79	14.29 W	0.00	417 of 2832 - Sale <sup>25</sup>
	6.000	70.20	06/04/20	75.97	5.77 W	0.00	418 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.21	0.51 W	0.00	419 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.03	0.33 W	0.00	420 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	24.08	0.68 W	0.00	421 of 2832 - Sale <sup>25</sup>
	10.000	116.99	06/04/20	122.13	5.14 W	0.00	422 of 2832 - Sale <sup>25</sup>
	13.000	152.09	06/04/20	156.52	4.43 W	0.00	423 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	59.49	4.50 W	0.00	424 of 2832 - Sale <sup>25</sup>
	7.000	81.90	06/04/20	88.22	6.32 W	0.00	425 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	41.93	3.32 W	0.00	426 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.36	0.85 W	0.00	427 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.35	0.84 W	0.00	428 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.34	0.83 W	0.00	429 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.33	0.82 W	0.00	430 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.29	0.78 W	0.00	431 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	8.19	06/04/20	10.16	1.97 W	0.00	432 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.15	1.96 W	0.00	433 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.13	1.94 W	0.00	434 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.13	1.94 W	0.00	435 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.97	1.78 W	0.00	436 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.30	2.60 W	0.00	437 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.24	2.54 W	0.00	438 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.23	2.53 W	0.00	439 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.30	2.60 W	0.00	440 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.25	2.55 W	0.00	441 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	14.67	2.85 W	0.00	442 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	24.09	4.20 W	0.00	443 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/04/20	28.85	5.57 W	0.00	444 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	39.37	7.78 W	0.00	445 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	43.49	8.39 W	0.00	446 of 2832 - Sale <sup>25</sup>
	6.300	73.71	06/04/20	91.86	18.15 W	0.00	447 of 2832 - Sale <sup>25</sup>
	8.300	97.11	06/04/20	121.06	23.95 W	0.00	448 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.29	0.78 W	0.00	449 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.89	3.70 W	0.00	450 of 2832 - Sale <sup>25</sup>
	0.710	8.31	06/04/20	11.95	3.64 W	0.00	451 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.26	2.56 W	0.00	452 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.86	5.16 W	0.00	453 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	22.13	6.92 W	0.00	454 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	22.10	6.89 W	0.00	455 of 2832 - Sale <sup>25</sup>
	2.000	23.39	06/04/20	33.65	10.26 W	0.00	456 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	38.79	11.88 W	0.00	457 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	38.46	6.87 W	0.00	458 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	46.05	14.46 W	0.00	459 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/04/20	33.48	10.20 W	0.00	460 of 2832 - Sale <sup>25</sup>
	4.000	46.79	06/04/20	67.95	21.16 W	0.00	461 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	59.82	1.44 W	0.00	462 of 2832 - Sale <sup>25</sup>
	8.000	93.59	06/04/20	96.23	2.64 W	0.00	463 of 2832 - Sale <sup>25</sup>
	15.010	175.61	06/04/20	179.98	4.37 W	0.00	464 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	5.04	1.53 W	0.00	465 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	8.19	06/04/20	11.73	3.54 W	0.00	466 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.68	4.98 W	0.00	467 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.53	4.83 W	0.00	468 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.53	4.83 W	0.00	469 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	28.20	8.31 W	0.00	470 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	33.65	10.25 W	0.00	471 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	33.14	9.74 W	0.00	472 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	50.11	15.01 W	0.00	473 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	21.87	6.66 W	0.00	474 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	66.83	20.03 W	0.00	475 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	3.74	0.23 W	0.00	476 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.68	0.98 W	0.00	477 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	16.26	1.05 W	0.00	478 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	16.45	1.24 W	0.00	479 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	21.44	1.55 W	0.00	480 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.15	1.75 W	0.00	481 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	33.62	2.03 W	0.00	482 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	46.66	3.37 W	0.00	483 of 2832 - Sale <sup>25</sup>
	4.010	46.92	06/04/20	50.54	3.62 W	0.00	484 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	62.27	3.89 W	0.00	485 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	62.62	4.12 W	0.00	486 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	62.07	3.57 W	0.00	487 of 2832 - Sale <sup>25</sup>
	8.300	97.10	06/04/20	105.22	8.12 W	0.00	488 of 2832 - Sale <sup>25</sup>
	8.700	101.78	06/04/20	110.13	8.35 W	0.00	489 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	8.71	0.52 W	0.00	490 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.61	0.91 W	0.00	491 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.59	1.89 W	0.00	492 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.14	1.74 W	0.00	493 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.22	1.82 W	0.00	494 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/04/20	25.27	1.75 W	0.00	495 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	40.65	5.55 W	0.00	496 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	41.62	3.01 W	0.00	497 of 2832 - Sale <sup>25</sup>
	5.990	70.08	06/04/20	75.30	5.22 W	0.00	498 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	3.99	0.48 W	0.00	499 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.710	8.30	06/04/20	9.33	1.03 W	0.00	500 of 2832 - Sale <sup>25</sup>
	0.990	11.58	06/04/20	13.00	1.42 W	0.00	501 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	14.16	2.46 W	0.00	502 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.34	1.64 W	0.00	503 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	18.40	3.19 W	0.00	504 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.01	1.31 W	0.00	505 of 2832 - Sale <sup>25</sup>
	4.700	54.98	06/04/20	65.90	10.92 W	0.00	506 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	3.91	0.40 W	0.00	507 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.14	0.95 W	0.00	508 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.90	1.20 W	0.00	509 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	16.88	1.67 W	0.00	510 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.00	1.30 W	0.00	511 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.62	2.22 W	0.00	512 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	47.73	4.44 W	0.00	513 of 2832 - Sale <sup>25</sup>
	8.000	93.60	06/04/20	102.41	8.81 W	0.00	514 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.70	3.51 W	0.00	515 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	28.20	8.31 W	0.00	516 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	33.18	9.78 W	0.00	517 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	38.10	11.19 W	0.00	518 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	71.94	21.63 W	0.00	519 of 2832 - Sale <sup>25</sup>
	5.300	62.01	06/04/20	67.89	5.88 W	0.00	520 of 2832 - Sale <sup>25</sup>
	5.700	66.69	06/04/20	73.05	6.36 W	0.00	521 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	33.20	9.80 W	0.00	522 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	49.57	14.47 W	0.00	523 of 2832 - Sale <sup>25</sup>
	8.000	93.60	06/04/20	107.91	14.31 W	0.00	524 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.69	1.50 W	0.00	525 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.72	2.02 W	0.00	526 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.76	2.06 W	0.00	527 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	44.58	5.97 W	0.00	528 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	58.70	8.39 W	0.00	529 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	63.34	8.35 W	0.00	530 of 2832 - Sale <sup>25</sup>
	0.010	0.12	06/04/20	0.14	0.02 W	0.00	531 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.95	1.44 W	0.00	532 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	5.31	1.80 W	0.00	533 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.51	06/04/20	3.82	0.31 W	0.00	534 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.01	0.50 W	0.00	535 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.54	3.35 W	0.00	536 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.43	3.24 W	0.00	537 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	12.28	4.09 W	0.00	538 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.22	1.03 W	0.00	539 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.46	1.27 W	0.00	540 of 2832 - Sale <sup>25</sup>
	0.990	11.58	06/04/20	13.34	1.76 W	0.00	541 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.50	4.80 W	0.00	542 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.46	4.76 W	0.00	543 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.00	4.30 W	0.00	544 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	15.96	4.26 W	0.00	545 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	15.92	4.22 W	0.00	546 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.03	4.33 W	0.00	547 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.04	4.34 W	0.00	548 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.01	4.31 W	0.00	549 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.00	4.30 W	0.00	550 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.03	4.33 W	0.00	551 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.06	4.36 W	0.00	552 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.51	4.81 W	0.00	553 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	17.59	5.89 W	0.00	554 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	17.66	5.96 W	0.00	555 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	17.32	5.62 W	0.00	556 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.57	0.87 W	0.00	557 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.57	0.87 W	0.00	558 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.57	0.87 W	0.00	559 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.58	0.88 W	0.00	560 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.46	0.76 W	0.00	561 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.73	1.03 W	0.00	562 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.73	1.03 W	0.00	563 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.43	1.73 W	0.00	564 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.39	1.69 W	0.00	565 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.52	1.82 W	0.00	566 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.51	1.81 W	0.00	567 of 2832 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.70	06/04/20	13.51	1.81 W	0.00	568 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.50	1.80 W	0.00	569 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.47	1.77 W	0.00	570 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.26	1.56 W	0.00	571 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.32	1.62 W	0.00	572 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.35	1.65 W	0.00	573 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.38	1.68 W	0.00	574 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.37	1.67 W	0.00	575 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	16.06	4.24 W	0.00	576 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	17.60	2.39 W	0.00	577 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/04/20	31.97	8.69 W	0.00	578 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	32.03	8.63 W	0.00	579 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	32.24	8.84 W	0.00	580 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	26.98	3.58 W	0.00	581 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	26.92	3.52 W	0.00	582 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/04/20	35.39	11.87 W	0.00	583 of 2832 - Sale <sup>25</sup>
	2.010	23.52	06/04/20	35.38	11.86 W	0.00	584 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	31.00	4.09 W	0.00	585 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	47.67	16.08 W	0.00	586 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	35.85	4.26 W	0.00	587 of 2832 - Sale <sup>25</sup>
	2.990	34.98	06/04/20	39.01	4.03 W	0.00	588 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	52.53	17.43 W	0.00	589 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	51.96	16.86 W	0.00	590 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.38	2.28 W	0.00	591 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	40.38	5.28 W	0.00	592 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	53.88	15.27 W	0.00	593 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	59.93	16.64 W	0.00	594 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	65.26	18.46 W	0.00	595 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	70.05	23.25 W	0.00	596 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	50.26	3.46 W	0.00	597 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	69.33	19.02 W	0.00	598 of 2832 - Sale <sup>25</sup>
	4.300	50.31	06/04/20	56.51	6.20 W	0.00	599 of 2832 - Sale <sup>25</sup>
	4.700	54.99	06/04/20	60.15	5.16 W	0.00	600 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	87.85	29.47 W	0.00	601 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.000	58.50	06/04/20	67.43	8.93 W	0.00	602 of 2832 - Sale <sup>25</sup>
	5.290	61.89	06/04/20	92.81	30.92 W	0.00	603 of 2832 - Sale <sup>25</sup>
	5.700	66.68	06/04/20	77.14	10.46 W	0.00	604 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	79.08	8.77 W	0.00	605 of 2832 - Sale <sup>25</sup>
	7.000	81.89	06/04/20	87.97	6.08 W	0.00	606 of 2832 - Sale <sup>25</sup>
	8.000	93.59	06/04/20	107.92	14.33 W	0.00	607 of 2832 - Sale <sup>25</sup>
	9.000	105.29	06/04/20	121.15	15.86 W	0.00	608 of 2832 - Sale <sup>25</sup>
	14.000	163.79	06/04/20	247.35	83.56 W	0.00	609 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.41	0.90 W	0.00	610 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.27	2.08 W	0.00	611 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.06	0.55 W	0.00	612 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.54	1.35 W	0.00	613 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.61	1.91 W	0.00	614 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.58	0.88 W	0.00	615 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.57	0.87 W	0.00	616 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.46	0.76 W	0.00	617 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	24.91	1.51 W	0.00	618 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	42.64	7.54 W	0.00	619 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	56.83	10.03 W	0.00	620 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	62.12	3.74 W	0.00	621 of 2832 - Sale <sup>25</sup>
	11.010	128.80	06/04/20	137.12	8.32 W	0.00	622 of 2832 - Sale <sup>25</sup>
	4.990	58.38	06/04/20	62.04	3.66 W	0.00	623 of 2832 - Sale <sup>25</sup>
	20.010	234.08	06/04/20	249.21	15.13 W	0.00	624 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.38	0.68 W	0.00	625 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.22	2.12 W	0.00	626 of 2832 - Sale <sup>25</sup>
	5.990	70.08	06/04/20	74.45	4.37 W	0.00	627 of 2832 - Sale <sup>25</sup>
	6.000	70.20	06/04/20	74.06	3.86 W	0.00	628 of 2832 - Sale <sup>25</sup>
	6.010	70.31	06/04/20	74.72	4.41 W	0.00	629 of 2832 - Sale <sup>25</sup>
	9.000	105.30	06/04/20	111.23	5.93 W	0.00	630 of 2832 - Sale <sup>25</sup>
	14.000	163.79	06/04/20	174.07	10.28 W	0.00	631 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.27	0.57 W	0.00	632 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	24.55	1.15 W	0.00	633 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	24.55	1.15 W	0.00	634 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	26.84	3.44 W	0.00	635 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.000	35.10	06/04/20	40.02	4.92 W	0.00	636 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.41	0.71 W	0.00	637 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.62	0.92 W	0.00	638 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	12.54	0.72 W	0.00	639 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	12.48	0.66 W	0.00	640 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	36.82	1.72 W	0.00	641 of 2832 - Sale <sup>25</sup>
	3.990	46.68	06/04/20	49.60	2.92 W	0.00	642 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	62.10	3.60 W	0.00	643 of 2832 - Sale <sup>25</sup>
	8.990	105.18	06/04/20	110.59	5.41 W	0.00	644 of 2832 - Sale <sup>25</sup>
	10.000	117.00	06/04/20	126.64	9.64 W	0.00	645 of 2832 - Sale <sup>25</sup>
	12.990	151.98	06/04/20	163.83	11.85 W	0.00	646 of 2832 - Sale <sup>25</sup>
	16.010	187.31	06/04/20	201.62	14.31 W	0.00	647 of 2832 - Sale <sup>25</sup>
	25.000	292.49	06/04/20	307.59	15.10 W	0.00	648 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.45	1.75 W	0.00	649 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.32	1.62 W	0.00	650 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	53.27	6.47 W	0.00	651 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.38	0.87 W	0.00	652 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	10.16	1.97 W	0.00	653 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	14.55	2.73 W	0.00	654 of 2832 - Sale <sup>25</sup>
	2.290	26.79	06/04/20	32.99	6.20 W	0.00	655 of 2832 - Sale <sup>25</sup>
	3.700	43.29	06/04/20	53.81	10.52 W	0.00	656 of 2832 - Sale <sup>25</sup>
	5.000	58.50	06/04/20	72.04	13.54 W	0.00	657 of 2832 - Sale <sup>25</sup>
	6.000	70.20	06/04/20	75.07	4.87 W	0.00	658 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.06	0.36 W	0.00	659 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	23.78	0.38 W	0.00	660 of 2832 - Sale <sup>25</sup>
	10.000	116.99	06/04/20	120.64	3.65 W	0.00	661 of 2832 - Sale <sup>25</sup>
	13.000	152.09	06/04/20	154.58	2.49 W	0.00	662 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	25.38	1.98 W	0.00	663 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	38.08	2.98 W	0.00	664 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	50.78	3.98 W	0.00	665 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	11.86	0.16 W	0.00	666 of 2832 - Sale <sup>25</sup>
	2.990	34.98	06/04/20	37.30	2.32 W	0.00	667 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.43	2.33 W	0.00	668 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	49.93	3.13 W	0.00	669 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.700	19.89	06/04/20	21.25	1.36 W	0.00	670 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	29.01	2.10 W	0.00	671 of 2832 - Sale <sup>25</sup>
	6.000	70.20	06/04/20	74.93	4.73 W	0.00	672 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.51	0.81 W	0.00	673 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	28.82	1.91 W	0.00	674 of 2832 - Sale <sup>25</sup>
	6.700	78.39	06/04/20	83.93	5.54 W	0.00	675 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	37.51	2.41 W	0.00	676 of 2832 - Sale <sup>25</sup>
	7.000	81.90	06/04/20	87.52	5.62 W	0.00	677 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	8.74	0.55 W	0.00	678 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	12.54	0.84 W	0.00	679 of 2832 - Sale <sup>25</sup>
	3.300	38.61	06/04/20	41.37	2.76 W	0.00	680 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	49.35	2.55 W	0.00	681 of 2832 - Sale <sup>25</sup>
	7.000	81.89	06/04/20	85.98	4.09 W	0.00	682 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.26	0.75 W	0.00	683 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.25	0.74 W	0.00	684 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.24	0.73 W	0.00	685 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.23	0.72 W	0.00	686 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.19	0.68 W	0.00	687 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.94	1.75 W	0.00	688 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.93	1.74 W	0.00	689 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.91	1.72 W	0.00	690 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.91	1.72 W	0.00	691 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	9.75	1.56 W	0.00	692 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.98	2.28 W	0.00	693 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.92	2.22 W	0.00	694 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.91	2.21 W	0.00	695 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.98	2.28 W	0.00	696 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.93	2.23 W	0.00	697 of 2832 - Sale <sup>25</sup>
	1.010	11.82	06/04/20	14.34	2.52 W	0.00	698 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	23.55	3.66 W	0.00	699 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/04/20	28.22	4.94 W	0.00	700 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	38.51	6.92 W	0.00	701 of 2832 - Sale <sup>25</sup>
	3.000	35.10	06/04/20	42.53	7.43 W	0.00	702 of 2832 - Sale <sup>25</sup>
	6.300	73.71	06/04/20	89.84	16.13 W	0.00	703 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	8.300	97.10	06/04/20	118.40	21.30 W	0.00	704 of 2832 - Sale <sup>25</sup>
	4.000	46.80	06/04/20	46.84	0.04 W	0.00	705 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.15	0.64 W	0.00	706 of 2832 - Sale <sup>25</sup>
	0.300	3.51	06/04/20	4.90	1.39 W	0.00	707 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.56	3.37 W	0.00	708 of 2832 - Sale <sup>25</sup>
	0.700	8.19	06/04/20	11.40	3.21 W	0.00	709 of 2832 - Sale <sup>25</sup>
	0.710	8.31	06/04/20	11.61	3.30 W	0.00	710 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	13.79	2.09 W	0.00	711 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.39	4.69 W	0.00	712 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.21	4.51 W	0.00	713 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	16.06	4.36 W	0.00	714 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	21.52	6.31 W	0.00	715 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	21.49	6.28 W	0.00	716 of 2832 - Sale <sup>25</sup>
	1.300	15.21	06/04/20	21.26	6.05 W	0.00	717 of 2832 - Sale <sup>25</sup>
	1.700	19.89	06/04/20	27.40	7.51 W	0.00	718 of 2832 - Sale <sup>25</sup>
	1.990	23.28	06/04/20	32.55	9.27 W	0.00	719 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	32.72	9.32 W	0.00	720 of 2832 - Sale <sup>25</sup>
	2.000	23.40	06/04/20	32.71	9.31 W	0.00	721 of 2832 - Sale <sup>25</sup>
	2.000	23.39	06/04/20	32.20	8.81 W	0.00	722 of 2832 - Sale <sup>25</sup>
	2.300	26.91	06/04/20	37.71	10.80 W	0.00	723 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	37.19	5.60 W	0.00	724 of 2832 - Sale <sup>25</sup>
	2.700	31.59	06/04/20	44.78	13.19 W	0.00	725 of 2832 - Sale <sup>25</sup>
	3.000	35.09	06/04/20	48.70	13.61 W	0.00	726 of 2832 - Sale <sup>25</sup>
	4.000	46.79	06/04/20	66.08	19.29 W	0.00	727 of 2832 - Sale <sup>25</sup>
	4.000	46.79	06/04/20	64.95	18.16 W	0.00	728 of 2832 - Sale <sup>25</sup>
	1.000	11.70	06/04/20	15.78	4.08 W	0.00	729 of 2832 - Sale <sup>25</sup>
	1.000	11.69	06/04/20	11.85	0.16 W	0.00	730 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	3.76	0.22 W	0.00	731 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.74	0.93 W	0.00	732 of 2832 - Sale <sup>25</sup>
	1.300	15.35	06/05/20	16.34	0.99 W	0.00	733 of 2832 - Sale <sup>25</sup>
	2.700	31.89	06/05/20	33.78	1.89 W	0.00	734 of 2832 - Sale <sup>25</sup>
	3.010	35.55	06/05/20	38.12	2.57 W	0.00	735 of 2832 - Sale <sup>25</sup>
	3.700	43.70	06/05/20	46.88	3.18 W	0.00	736 of 2832 - Sale <sup>25</sup>
	4.990	58.93	06/05/20	62.57	3.64 W	0.00	737 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.000	59.05	06/05/20	62.92	3.87 W	0.00	738 of 2832 - Sale <sup>25</sup>
	5.000	59.05	06/05/20	62.37	3.32 W	0.00	739 of 2832 - Sale <sup>25</sup>
	8.300	98.02	06/05/20	105.73	7.71 W	0.00	740 of 2832 - Sale <sup>25</sup>
	2.700	31.89	06/05/20	34.34	2.45 W	0.00	741 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	8.75	0.48 W	0.00	742 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.67	0.86 W	0.00	743 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.65	1.84 W	0.00	744 of 2832 - Sale <sup>25</sup>
	1.300	15.35	06/05/20	16.53	1.18 W	0.00	745 of 2832 - Sale <sup>25</sup>
	1.700	20.08	06/05/20	21.54	1.46 W	0.00	746 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	25.27	1.65 W	0.00	747 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	25.26	1.64 W	0.00	748 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	25.34	1.72 W	0.00	749 of 2832 - Sale <sup>25</sup>
	2.010	23.74	06/05/20	25.39	1.65 W	0.00	750 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	40.83	5.40 W	0.00	751 of 2832 - Sale <sup>25</sup>
	3.300	38.97	06/05/20	41.82	2.85 W	0.00	752 of 2832 - Sale <sup>25</sup>
	5.990	70.74	06/05/20	75.66	4.92 W	0.00	753 of 2832 - Sale <sup>25</sup>
	6.000	70.86	06/05/20	76.32	5.46 W	0.00	754 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	4.01	0.47 W	0.00	755 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	3.93	0.39 W	0.00	756 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	9.18	0.91 W	0.00	757 of 2832 - Sale <sup>25</sup>
	0.710	8.38	06/05/20	9.38	1.00 W	0.00	758 of 2832 - Sale <sup>25</sup>
	0.990	11.69	06/05/20	13.06	1.37 W	0.00	759 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	14.22	2.41 W	0.00	760 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.40	1.59 W	0.00	761 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.07	1.26 W	0.00	762 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.06	1.25 W	0.00	763 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.96	1.15 W	0.00	764 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.87	1.06 W	0.00	765 of 2832 - Sale <sup>25</sup>
	1.300	15.35	06/05/20	18.48	3.13 W	0.00	766 of 2832 - Sale <sup>25</sup>
	1.300	15.35	06/05/20	16.96	1.61 W	0.00	767 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	25.74	2.12 W	0.00	768 of 2832 - Sale <sup>25</sup>
	3.700	43.70	06/05/20	47.95	4.25 W	0.00	769 of 2832 - Sale <sup>25</sup>
	4.700	55.50	06/05/20	66.19	10.69 W	0.00	770 of 2832 - Sale <sup>25</sup>
	8.000	94.48	06/05/20	102.89	8.41 W	0.00	771 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	8.000	94.48	06/05/20	107.91	13.43 W	0.00	772 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	9.69	1.42 W	0.00	773 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.72	1.91 W	0.00	774 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.76	1.95 W	0.00	775 of 2832 - Sale <sup>25</sup>
	3.300	38.97	06/05/20	44.58	5.61 W	0.00	776 of 2832 - Sale <sup>25</sup>
	4.300	50.78	06/05/20	58.70	7.92 W	0.00	777 of 2832 - Sale <sup>25</sup>
	4.700	55.50	06/05/20	63.34	7.84 W	0.00	778 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	4.95	1.41 W	0.00	779 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	11.70	3.43 W	0.00	780 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	11.54	3.27 W	0.00	781 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.50	4.69 W	0.00	782 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.46	4.65 W	0.00	783 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.00	4.19 W	0.00	784 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	15.96	4.15 W	0.00	785 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	15.92	4.11 W	0.00	786 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.03	4.22 W	0.00	787 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.04	4.23 W	0.00	788 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.01	4.20 W	0.00	789 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.00	4.19 W	0.00	790 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.03	4.22 W	0.00	791 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.06	4.25 W	0.00	792 of 2832 - Sale <sup>25</sup>
	1.010	11.93	06/05/20	16.06	4.13 W	0.00	793 of 2832 - Sale <sup>25</sup>
	1.700	20.08	06/05/20	28.20	8.12 W	0.00	794 of 2832 - Sale <sup>25</sup>
	1.990	23.50	06/05/20	31.97	8.47 W	0.00	795 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	33.18	9.56 W	0.00	796 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	33.20	9.58 W	0.00	797 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	32.03	8.41 W	0.00	798 of 2832 - Sale <sup>25</sup>
	2.000	23.61	06/05/20	32.24	8.63 W	0.00	799 of 2832 - Sale <sup>25</sup>
	2.300	27.16	06/05/20	38.10	10.94 W	0.00	800 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	49.57	14.14 W	0.00	801 of 2832 - Sale <sup>25</sup>
	4.300	50.78	06/05/20	55.08	4.30 W	0.00	802 of 2832 - Sale <sup>25</sup>
	4.300	50.78	06/05/20	71.94	21.16 W	0.00	803 of 2832 - Sale <sup>25</sup>
	2.000	23.61	06/05/20	32.25	8.64 W	0.00	804 of 2832 - Sale <sup>25</sup>
	5.700	67.31	06/05/20	73.05	5.74 W	0.00	805 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.54	06/05/20	3.82	0.28 W	0.00	806 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	4.01	0.47 W	0.00	807 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	12.28	4.01 W	0.00	808 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	9.22	0.95 W	0.00	809 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	9.46	1.19 W	0.00	810 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	17.32	5.51 W	0.00	811 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.57	0.76 W	0.00	812 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.57	0.76 W	0.00	813 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.57	0.76 W	0.00	814 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.58	0.77 W	0.00	815 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.46	0.65 W	0.00	816 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.73	0.92 W	0.00	817 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.73	0.92 W	0.00	818 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.43	1.62 W	0.00	819 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.39	1.58 W	0.00	820 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.52	1.71 W	0.00	821 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.51	1.70 W	0.00	822 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.51	1.70 W	0.00	823 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.50	1.69 W	0.00	824 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	26.98	3.36 W	0.00	825 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	26.92	3.30 W	0.00	826 of 2832 - Sale <sup>25</sup>
	2.010	23.74	06/05/20	35.38	11.64 W	0.00	827 of 2832 - Sale <sup>25</sup>
	2.990	35.31	06/05/20	39.01	3.70 W	0.00	828 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	52.53	17.10 W	0.00	829 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	51.96	16.53 W	0.00	830 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	37.38	1.95 W	0.00	831 of 2832 - Sale <sup>25</sup>
	4.000	47.24	06/05/20	70.05	22.81 W	0.00	832 of 2832 - Sale <sup>25</sup>
	4.000	47.24	06/05/20	50.26	3.02 W	0.00	833 of 2832 - Sale <sup>25</sup>
	4.300	50.78	06/05/20	56.51	5.73 W	0.00	834 of 2832 - Sale <sup>25</sup>
	4.700	55.50	06/05/20	60.15	4.65 W	0.00	835 of 2832 - Sale <sup>25</sup>
	5.000	59.05	06/05/20	67.43	8.38 W	0.00	836 of 2832 - Sale <sup>25</sup>
	5.290	62.47	06/05/20	92.81	30.34 W	0.00	837 of 2832 - Sale <sup>25</sup>
	6.010	70.97	06/05/20	79.09	8.12 W	0.00	838 of 2832 - Sale <sup>25</sup>
	7.000	82.67	06/05/20	87.98	5.31 W	0.00	839 of 2832 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	8.000	94.47	06/05/20	107.93	13.46 W	0.00	840 of 2832 - Sale <sup>25</sup>
	11.000	129.90	06/05/20	194.35	64.45 W	0.00	841 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.46	1.65 W	0.00	842 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	26.92	3.30 W	0.00	843 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	40.39	4.96 W	0.00	844 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	4.05	0.51 W	0.00	845 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	9.51	1.24 W	0.00	846 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.57	1.76 W	0.00	847 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.54	0.73 W	0.00	848 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.53	0.72 W	0.00	849 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	12.42	0.61 W	0.00	850 of 2832 - Sale <sup>25</sup>
	2.000	23.62	06/05/20	24.83	1.21 W	0.00	851 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	42.52	7.09 W	0.00	852 of 2832 - Sale <sup>25</sup>
	4.000	47.24	06/05/20	56.67	9.43 W	0.00	853 of 2832 - Sale <sup>25</sup>
	4.990	58.93	06/05/20	61.92	2.99 W	0.00	854 of 2832 - Sale <sup>25</sup>
	11.010	130.01	06/05/20	136.70	6.69 W	0.00	855 of 2832 - Sale <sup>25</sup>
	8.000	94.47	06/05/20	99.33	4.86 W	0.00	856 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	5.31	1.77 W	0.00	857 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	11.43	3.16 W	0.00	858 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	16.51	4.70 W	0.00	859 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	17.59	5.78 W	0.00	860 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	17.66	5.85 W	0.00	861 of 2832 - Sale <sup>25</sup>
	2.010	23.74	06/05/20	35.39	11.65 W	0.00	862 of 2832 - Sale <sup>25</sup>
	2.700	31.89	06/05/20	47.67	15.78 W	0.00	863 of 2832 - Sale <sup>25</sup>
	3.000	35.43	06/05/20	53.01	17.58 W	0.00	864 of 2832 - Sale <sup>25</sup>
	3.300	38.97	06/05/20	53.88	14.91 W	0.00	865 of 2832 - Sale <sup>25</sup>
	3.700	43.70	06/05/20	59.93	16.23 W	0.00	866 of 2832 - Sale <sup>25</sup>
	4.000	47.24	06/05/20	65.26	18.02 W	0.00	867 of 2832 - Sale <sup>25</sup>
	2.300	27.16	06/05/20	37.08	9.92 W	0.00	868 of 2832 - Sale <sup>25</sup>
	4.990	58.93	06/05/20	87.85	28.92 W	0.00	869 of 2832 - Sale <sup>25</sup>
	4.990	58.93	06/05/20	61.84	2.91 W	0.00	870 of 2832 - Sale <sup>25</sup>
	13.000	153.52	06/05/20	161.13	7.61 W	0.00	871 of 2832 - Sale <sup>25</sup>
	12.010	141.83	06/05/20	149.12	7.29 W	0.00	872 of 2832 - Sale <sup>25</sup>
	0.010	0.12	06/05/20	0.14	0.02 W	0.00	873 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.990	11.69	06/05/20	13.30	1.61 W	0.00	874 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.43	1.62 W	0.00	875 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.22	1.41 W	0.00	876 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.28	1.47 W	0.00	877 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.31	1.50 W	0.00	878 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.34	1.53 W	0.00	879 of 2832 - Sale <sup>25</sup>
	1.000	11.81	06/05/20	13.33	1.52 W	0.00	880 of 2832 - Sale <sup>25</sup>
	1.300	15.35	06/05/20	17.55	2.20 W	0.00	881 of 2832 - Sale <sup>25</sup>
	2.300	27.16	06/05/20	30.91	3.75 W	0.00	882 of 2832 - Sale <sup>25</sup>
	2.700	31.89	06/05/20	35.74	3.85 W	0.00	883 of 2832 - Sale <sup>25</sup>
	5.700	67.31	06/05/20	76.92	9.61 W	0.00	884 of 2832 - Sale <sup>25</sup>
	6.000	70.86	06/05/20	80.53	9.67 W	0.00	885 of 2832 - Sale <sup>25</sup>
	0.300	3.54	06/05/20	4.40	0.86 W	0.00	886 of 2832 - Sale <sup>25</sup>
	0.700	8.27	06/05/20	10.24	1.97 W	0.00	887 of 2832 - Sale <sup>25</sup>
	1.000	11.73	06/05/20	13.46	1.73 W	0.00	888 of 2832 - Sale <sup>25</sup>
	1.000	11.65	06/05/20	13.46	1.81 W	0.00	889 of 2832 - Sale <sup>25</sup>
	3.000	34.95	06/05/20	40.14	5.19 W	0.00	890 of 2832 - Sale <sup>25</sup>
	1.000	11.65	06/05/20	12.47	0.82 W	0.00	891 of 2832 - Sale <sup>25</sup>
	1.000	11.65	06/05/20	12.42	0.77 W	0.00	892 of 2832 - Sale <sup>25</sup>
	1.000	11.65	06/05/20	12.31	0.66 W	0.00	893 of 2832 - Sale <sup>25</sup>
	1.000	11.65	06/05/20	12.45	0.80 W	0.00	894 of 2832 - Sale <sup>25</sup>
	1.000	11.65	06/05/20	12.66	1.01 W	0.00	895 of 2832 - Sale <sup>25</sup>
	1.010	11.77	06/05/20	12.58	0.81 W	0.00	896 of 2832 - Sale <sup>25</sup>
	1.010	11.77	06/05/20	12.52	0.75 W	0.00	897 of 2832 - Sale <sup>25</sup>
	2.000	23.30	06/05/20	24.63	1.33 W	0.00	898 of 2832 - Sale <sup>25</sup>
	2.000	23.30	06/05/20	24.63	1.33 W	0.00	899 of 2832 - Sale <sup>25</sup>
	3.000	34.95	06/05/20	37.34	2.39 W	0.00	900 of 2832 - Sale <sup>25</sup>
	3.000	34.95	06/05/20	36.94	1.99 W	0.00	901 of 2832 - Sale <sup>25</sup>
	3.990	46.48	06/05/20	49.76	3.28 W	0.00	902 of 2832 - Sale <sup>25</sup>
	5.000	58.25	06/05/20	62.30	4.05 W	0.00	903 of 2832 - Sale <sup>25</sup>
	5.990	69.78	06/05/20	74.69	4.91 W	0.00	904 of 2832 - Sale <sup>25</sup>
	6.000	69.90	06/05/20	74.30	4.40 W	0.00	905 of 2832 - Sale <sup>25</sup>
	6.010	70.01	06/05/20	74.97	4.96 W	0.00	906 of 2832 - Sale <sup>25</sup>
	9.000	104.85	06/05/20	111.59	6.74 W	0.00	907 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
12.990		151.32	06/05/20	164.35	13.03 W	0.00	908 of 2832 - Sale <sup>25</sup>
12.990		151.32	06/05/20	160.35	9.03 W	0.00	909 of 2832 - Sale <sup>25</sup>
16.010		186.51	06/05/20	202.27	15.76 W	0.00	910 of 2832 - Sale <sup>25</sup>
1.000		11.65	06/05/20	13.30	1.65 W	0.00	911 of 2832 - Sale <sup>25</sup>
4.000		46.10	06/05/20	53.19	7.09 W	0.00	912 of 2832 - Sale <sup>25</sup>
0.300		3.46	06/05/20	4.37	0.91 W	0.00	913 of 2832 - Sale <sup>25</sup>
0.700		8.07	06/05/20	10.15	2.08 W	0.00	914 of 2832 - Sale <sup>25</sup>
1.010		11.64	06/05/20	14.53	2.89 W	0.00	915 of 2832 - Sale <sup>25</sup>
2.290		26.39	06/05/20	32.95	6.56 W	0.00	916 of 2832 - Sale <sup>25</sup>
3.700		42.64	06/05/20	53.74	11.10 W	0.00	917 of 2832 - Sale <sup>25</sup>
5.000		57.62	06/05/20	71.94	14.32 W	0.00	918 of 2832 - Sale <sup>25</sup>
6.000		69.15	06/05/20	74.95	5.80 W	0.00	919 of 2832 - Sale <sup>25</sup>
8.990		103.61	06/05/20	110.41	6.80 W	0.00	920 of 2832 - Sale <sup>25</sup>
10.000		115.25	06/05/20	126.44	11.19 W	0.00	921 of 2832 - Sale <sup>25</sup>
12.010		138.41	06/05/20	147.53	9.12 W	0.00	922 of 2832 - Sale <sup>25</sup>
1.000		11.52	06/05/20	13.43	1.91 W	0.00	923 of 2832 - Sale <sup>25</sup>
1.000		11.52	06/05/20	12.04	0.52 W	0.00	924 of 2832 - Sale <sup>25</sup>
2.000		23.05	06/05/20	23.74	0.69 W	0.00	925 of 2832 - Sale <sup>25</sup>
10.000		115.25	06/05/20	120.45	5.20 W	0.00	926 of 2832 - Sale <sup>25</sup>
11.000		126.77	06/05/20	130.58	3.81 W	0.00	927 of 2832 - Sale <sup>25</sup>
1.700		19.59	06/05/20	21.22	1.63 W	0.00	928 of 2832 - Sale <sup>25</sup>
2.000		23.05	06/05/20	25.34	2.29 W	0.00	929 of 2832 - Sale <sup>25</sup>
2.300		26.51	06/05/20	28.96	2.45 W	0.00	930 of 2832 - Sale <sup>25</sup>
3.000		34.57	06/05/20	38.02	3.45 W	0.00	931 of 2832 - Sale <sup>25</sup>
4.000		46.10	06/05/20	50.70	4.60 W	0.00	932 of 2832 - Sale <sup>25</sup>
4.000		46.10	06/05/20	49.87	3.77 W	0.00	933 of 2832 - Sale <sup>25</sup>
1.000		11.52	06/05/20	11.84	0.32 W	0.00	934 of 2832 - Sale <sup>25</sup>
2.000		23.05	06/05/20	23.74	0.69 W	0.00	935 of 2832 - Sale <sup>25</sup>
1.000		11.52	06/05/20	12.45	0.93 W	0.00	936 of 2832 - Sale <sup>25</sup>
0.700		8.00	06/05/20	8.71	0.71 W	0.00	937 of 2832 - Sale <sup>25</sup>
1.000		11.43	06/05/20	12.47	1.04 W	0.00	938 of 2832 - Sale <sup>25</sup>
1.000		11.43	06/05/20	12.50	1.07 W	0.00	939 of 2832 - Sale <sup>25</sup>
1.000		11.43	06/05/20	12.45	1.02 W	0.00	940 of 2832 - Sale <sup>25</sup>
2.300		26.30	06/05/20	28.73	2.43 W	0.00	941 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.990	34.19	06/05/20	37.18	2.99 W	0.00	942 of 2832 - Sale <sup>25</sup>
	3.000	34.30	06/05/20	37.31	3.01 W	0.00	943 of 2832 - Sale <sup>25</sup>
	3.000	34.30	06/05/20	37.39	3.09 W	0.00	944 of 2832 - Sale <sup>25</sup>
	3.300	37.74	06/05/20	41.24	3.50 W	0.00	945 of 2832 - Sale <sup>25</sup>
	4.000	45.74	06/05/20	49.77	4.03 W	0.00	946 of 2832 - Sale <sup>25</sup>
	4.000	45.74	06/05/20	49.19	3.45 W	0.00	947 of 2832 - Sale <sup>25</sup>
	6.700	76.61	06/05/20	83.66	7.05 W	0.00	948 of 2832 - Sale <sup>25</sup>
	7.000	80.04	06/05/20	87.24	7.20 W	0.00	949 of 2832 - Sale <sup>25</sup>
	7.000	80.04	06/05/20	85.71	5.67 W	0.00	950 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	4.25	0.82 W	0.00	951 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	4.24	0.81 W	0.00	952 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	4.23	0.80 W	0.00	953 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	4.22	0.79 W	0.00	954 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	4.18	0.75 W	0.00	955 of 2832 - Sale <sup>25</sup>
	0.700	8.00	06/05/20	9.91	1.91 W	0.00	956 of 2832 - Sale <sup>25</sup>
	0.700	8.00	06/05/20	9.90	1.90 W	0.00	957 of 2832 - Sale <sup>25</sup>
	0.700	8.00	06/05/20	9.88	1.88 W	0.00	958 of 2832 - Sale <sup>25</sup>
	0.700	8.00	06/05/20	9.88	1.88 W	0.00	959 of 2832 - Sale <sup>25</sup>
	0.700	8.00	06/05/20	9.72	1.72 W	0.00	960 of 2832 - Sale <sup>25</sup>
	1.000	11.44	06/05/20	13.94	2.50 W	0.00	961 of 2832 - Sale <sup>25</sup>
	1.000	11.44	06/05/20	13.88	2.44 W	0.00	962 of 2832 - Sale <sup>25</sup>
	1.000	11.44	06/05/20	13.87	2.43 W	0.00	963 of 2832 - Sale <sup>25</sup>
	1.000	11.44	06/05/20	13.94	2.50 W	0.00	964 of 2832 - Sale <sup>25</sup>
	1.000	11.43	06/05/20	13.89	2.46 W	0.00	965 of 2832 - Sale <sup>25</sup>
	1.010	11.55	06/05/20	14.30	2.75 W	0.00	966 of 2832 - Sale <sup>25</sup>
	1.700	19.44	06/05/20	23.48	4.04 W	0.00	967 of 2832 - Sale <sup>25</sup>
	1.990	22.76	06/05/20	28.14	5.38 W	0.00	968 of 2832 - Sale <sup>25</sup>
	2.700	30.87	06/05/20	38.40	7.53 W	0.00	969 of 2832 - Sale <sup>25</sup>
	3.000	34.31	06/05/20	42.41	8.10 W	0.00	970 of 2832 - Sale <sup>25</sup>
	6.300	72.04	06/05/20	89.59	17.55 W	0.00	971 of 2832 - Sale <sup>25</sup>
	8.300	94.91	06/05/20	118.08	23.17 W	0.00	972 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	4.14	0.71 W	0.00	973 of 2832 - Sale <sup>25</sup>
	1.000	11.44	06/05/20	13.75	2.31 W	0.00	974 of 2832 - Sale <sup>25</sup>
	1.300	14.86	06/05/20	21.47	6.61 W	0.00	975 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.710	19.55	06/05/20	28.29	8.74 W	0.00	976 of 2832 - Sale <sup>25</sup>
	2.700	30.88	06/05/20	37.08	6.20 W	0.00	977 of 2832 - Sale <sup>25</sup>
	4.000	45.74	06/05/20	46.68	0.94 W	0.00	978 of 2832 - Sale <sup>25</sup>
	0.700	8.01	06/05/20	11.53	3.52 W	0.00	979 of 2832 - Sale <sup>25</sup>
	0.990	11.32	06/05/20	16.38	5.06 W	0.00	980 of 2832 - Sale <sup>25</sup>
	1.300	14.87	06/05/20	21.44	6.57 W	0.00	981 of 2832 - Sale <sup>25</sup>
	3.010	34.42	06/05/20	49.62	15.20 W	0.00	982 of 2832 - Sale <sup>25</sup>
	0.990	11.32	06/05/20	16.31	4.99 W	0.00	983 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	984 of 2832 - Sale <sup>25</sup>
	0.710	8.11	06/05/20	11.58	3.47 W	0.00	985 of 2832 - Sale <sup>25</sup>
	0.990	11.31	06/05/20	16.19	4.88 W	0.00	986 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	16.32	4.90 W	0.00	987 of 2832 - Sale <sup>25</sup>
	2.300	26.27	06/05/20	37.62	11.35 W	0.00	988 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	11.82	0.40 W	0.00	989 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	3.72	0.29 W	0.00	990 of 2832 - Sale <sup>25</sup>
	0.700	7.99	06/05/20	8.64	0.65 W	0.00	991 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	12.59	1.17 W	0.00	992 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	12.52	1.10 W	0.00	993 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	13.50	2.08 W	0.00	994 of 2832 - Sale <sup>25</sup>
	1.300	14.85	06/05/20	16.15	1.30 W	0.00	995 of 2832 - Sale <sup>25</sup>
	1.300	14.85	06/05/20	16.34	1.49 W	0.00	996 of 2832 - Sale <sup>25</sup>
	1.700	19.41	06/05/20	21.28	1.87 W	0.00	997 of 2832 - Sale <sup>25</sup>
	2.000	22.84	06/05/20	24.97	2.13 W	0.00	998 of 2832 - Sale <sup>25</sup>
	2.000	22.84	06/05/20	24.96	2.12 W	0.00	999 of 2832 - Sale <sup>25</sup>
	2.000	22.84	06/05/20	25.04	2.20 W	0.00	1000 of 2832 - Sale <sup>25</sup>
	2.010	22.95	06/05/20	25.09	2.14 W	0.00	1001 of 2832 - Sale <sup>25</sup>
	2.700	30.83	06/05/20	33.37	2.54 W	0.00	1002 of 2832 - Sale <sup>25</sup>
	2.700	30.83	06/05/20	33.93	3.10 W	0.00	1003 of 2832 - Sale <sup>25</sup>
	3.000	34.26	06/05/20	40.38	6.12 W	0.00	1004 of 2832 - Sale <sup>25</sup>
	3.010	34.37	06/05/20	37.67	3.30 W	0.00	1005 of 2832 - Sale <sup>25</sup>
	3.300	37.69	06/05/20	41.33	3.64 W	0.00	1006 of 2832 - Sale <sup>25</sup>
	3.700	42.25	06/05/20	46.32	4.07 W	0.00	1007 of 2832 - Sale <sup>25</sup>
	4.990	56.98	06/05/20	61.82	4.84 W	0.00	1008 of 2832 - Sale <sup>25</sup>
	5.000	57.10	06/05/20	62.17	5.07 W	0.00	1009 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.000	57.10	06/05/20	61.62	4.52 W	0.00	1010 of 2832 - Sale <sup>25</sup>
	5.990	68.40	06/05/20	74.76	6.36 W	0.00	1011 of 2832 - Sale <sup>25</sup>
	6.000	68.52	06/05/20	75.42	6.90 W	0.00	1012 of 2832 - Sale <sup>25</sup>
	8.300	94.78	06/05/20	104.49	9.71 W	0.00	1013 of 2832 - Sale <sup>25</sup>
	0.300	3.43	06/05/20	4.89	1.46 W	0.00	1014 of 2832 - Sale <sup>25</sup>
	0.700	7.99	06/05/20	11.37	3.38 W	0.00	1015 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	16.32	4.90 W	0.00	1016 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	16.17	4.75 W	0.00	1017 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	16.02	4.60 W	0.00	1018 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	15.74	4.32 W	0.00	1019 of 2832 - Sale <sup>25</sup>
	1.000	11.42	06/05/20	14.07	2.65 W	0.00	1020 of 2832 - Sale <sup>25</sup>
	1.300	14.85	06/05/20	21.21	6.36 W	0.00	1021 of 2832 - Sale <sup>25</sup>
	1.300	14.84	06/05/20	18.29	3.45 W	0.00	1022 of 2832 - Sale <sup>25</sup>
	1.700	19.41	06/05/20	27.33	7.92 W	0.00	1023 of 2832 - Sale <sup>25</sup>
	1.990	22.73	06/05/20	32.47	9.74 W	0.00	1024 of 2832 - Sale <sup>25</sup>
	2.000	22.84	06/05/20	32.63	9.79 W	0.00	1025 of 2832 - Sale <sup>25</sup>
	2.000	22.84	06/05/20	32.13	9.29 W	0.00	1026 of 2832 - Sale <sup>25</sup>
	3.000	34.26	06/05/20	48.59	14.33 W	0.00	1027 of 2832 - Sale <sup>25</sup>
	4.000	45.68	06/05/20	64.80	19.12 W	0.00	1028 of 2832 - Sale <sup>25</sup>
	0.710	8.11	06/05/20	9.89	1.78 W	0.00	1029 of 2832 - Sale <sup>25</sup>
	8.000	91.03	06/05/20	106.71	15.68 W	0.00	1030 of 2832 - Sale <sup>25</sup>
	0.710	8.08	06/05/20	9.66	1.58 W	0.00	1031 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.57	2.19 W	0.00	1032 of 2832 - Sale <sup>25</sup>
	3.300	37.55	06/05/20	44.09	6.54 W	0.00	1033 of 2832 - Sale <sup>25</sup>
	4.300	48.93	06/05/20	58.06	9.13 W	0.00	1034 of 2832 - Sale <sup>25</sup>
	4.700	53.48	06/05/20	62.64	9.16 W	0.00	1035 of 2832 - Sale <sup>25</sup>
	0.290	3.30	06/05/20	3.84	0.54 W	0.00	1036 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	3.89	0.48 W	0.00	1037 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.07	1.10 W	0.00	1038 of 2832 - Sale <sup>25</sup>
	0.710	8.08	06/05/20	9.28	1.20 W	0.00	1039 of 2832 - Sale <sup>25</sup>
	0.990	11.27	06/05/20	12.91	1.64 W	0.00	1040 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.92	1.54 W	0.00	1041 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.91	1.53 W	0.00	1042 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.81	1.43 W	0.00	1043 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.38	06/05/20	12.72	1.34 W	0.00	1044 of 2832 - Sale <sup>25</sup>
	1.300	14.79	06/05/20	16.77	1.98 W	0.00	1045 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	25.44	2.68 W	0.00	1046 of 2832 - Sale <sup>25</sup>
	3.700	42.10	06/05/20	47.39	5.29 W	0.00	1047 of 2832 - Sale <sup>25</sup>
	8.000	91.03	06/05/20	101.69	10.66 W	0.00	1048 of 2832 - Sale <sup>25</sup>
	0.290	3.30	06/05/20	3.95	0.65 W	0.00	1049 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.58	1.61 W	0.00	1050 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.91	1.50 W	0.00	1051 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.59	3.62 W	0.00	1052 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.43	3.46 W	0.00	1053 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.35	4.97 W	0.00	1054 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.31	4.93 W	0.00	1055 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.85	4.47 W	0.00	1056 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.81	4.43 W	0.00	1057 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.77	4.39 W	0.00	1058 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.88	4.50 W	0.00	1059 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.89	4.51 W	0.00	1060 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.86	4.48 W	0.00	1061 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.85	4.47 W	0.00	1062 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.88	4.50 W	0.00	1063 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.91	4.53 W	0.00	1064 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.36	4.98 W	0.00	1065 of 2832 - Sale <sup>25</sup>
	1.010	11.49	06/05/20	15.91	4.42 W	0.00	1066 of 2832 - Sale <sup>25</sup>
	1.010	11.49	06/05/20	16.34	4.85 W	0.00	1067 of 2832 - Sale <sup>25</sup>
	1.700	19.34	06/05/20	27.94	8.60 W	0.00	1068 of 2832 - Sale <sup>25</sup>
	1.990	22.64	06/05/20	31.67	9.03 W	0.00	1069 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	32.88	10.12 W	0.00	1070 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	32.90	10.14 W	0.00	1071 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	31.73	8.97 W	0.00	1072 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	31.95	9.19 W	0.00	1073 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	31.96	9.20 W	0.00	1074 of 2832 - Sale <sup>25</sup>
	2.300	26.17	06/05/20	37.76	11.59 W	0.00	1075 of 2832 - Sale <sup>25</sup>
	2.300	26.17	06/05/20	36.74	10.57 W	0.00	1076 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	49.12	14.98 W	0.00	1077 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.700	42.10	06/05/20	59.37	17.27 W	0.00	1078 of 2832 - Sale <sup>25</sup>
	4.300	48.93	06/05/20	54.44	5.51 W	0.00	1079 of 2832 - Sale <sup>25</sup>
	4.300	48.93	06/05/20	71.30	22.37 W	0.00	1080 of 2832 - Sale <sup>25</sup>
	5.700	64.86	06/05/20	72.20	7.34 W	0.00	1081 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	3.97	0.56 W	0.00	1082 of 2832 - Sale <sup>25</sup>
	0.710	8.08	06/05/20	9.41	1.33 W	0.00	1083 of 2832 - Sale <sup>25</sup>
	3.990	45.40	06/05/20	55.60	10.20 W	0.00	1084 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	5.26	1.85 W	0.00	1085 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.31	3.34 W	0.00	1086 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	12.16	4.19 W	0.00	1087 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	17.42	6.04 W	0.00	1088 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	17.49	6.11 W	0.00	1089 of 2832 - Sale <sup>25</sup>
	2.010	22.87	06/05/20	35.05	12.18 W	0.00	1090 of 2832 - Sale <sup>25</sup>
	2.010	22.87	06/05/20	35.04	12.17 W	0.00	1091 of 2832 - Sale <sup>25</sup>
	2.010	22.87	06/05/20	34.47	11.60 W	0.00	1092 of 2832 - Sale <sup>25</sup>
	2.290	26.06	06/05/20	37.01	10.95 W	0.00	1093 of 2832 - Sale <sup>25</sup>
	2.700	30.72	06/05/20	47.21	16.49 W	0.00	1094 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	52.50	18.36 W	0.00	1095 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	52.02	17.88 W	0.00	1096 of 2832 - Sale <sup>25</sup>
	4.000	45.52	06/05/20	64.58	19.06 W	0.00	1097 of 2832 - Sale <sup>25</sup>
	4.000	45.52	06/05/20	69.37	23.85 W	0.00	1098 of 2832 - Sale <sup>25</sup>
	4.990	56.78	06/05/20	87.00	30.22 W	0.00	1099 of 2832 - Sale <sup>25</sup>
	5.290	60.20	06/05/20	91.91	31.71 W	0.00	1100 of 2832 - Sale <sup>25</sup>
	11.000	125.17	06/05/20	192.49	67.32 W	0.00	1101 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.02	0.61 W	0.00	1102 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.42	1.45 W	0.00	1103 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.45	2.07 W	0.00	1104 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.42	1.04 W	0.00	1105 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.41	1.03 W	0.00	1106 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.30	0.92 W	0.00	1107 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	24.59	1.83 W	0.00	1108 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	42.16	8.02 W	0.00	1109 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	36.87	2.73 W	0.00	1110 of 2832 - Sale <sup>25</sup>
	4.000	45.52	06/05/20	56.19	10.67 W	0.00	1111 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	11.010	125.28	06/05/20	135.40	10.12 W	0.00	1112 of 2832 - Sale <sup>25</sup>
	0.990	11.26	06/05/20	17.02	5.76 W	0.00	1113 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	17.20	5.82 W	0.00	1114 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.45	1.07 W	0.00	1115 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.45	1.07 W	0.00	1116 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.45	1.07 W	0.00	1117 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.46	1.08 W	0.00	1118 of 2832 - Sale <sup>25</sup>
	4.000	45.51	06/05/20	49.78	4.27 W	0.00	1119 of 2832 - Sale <sup>25</sup>
	7.000	79.65	06/05/20	87.14	7.49 W	0.00	1120 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	3.79	0.38 W	0.00	1121 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	3.98	0.57 W	0.00	1122 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.13	1.16 W	0.00	1123 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.37	1.40 W	0.00	1124 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.34	0.96 W	0.00	1125 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.61	1.23 W	0.00	1126 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.61	1.23 W	0.00	1127 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.31	1.93 W	0.00	1128 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.27	1.89 W	0.00	1129 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.40	2.02 W	0.00	1130 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.39	2.01 W	0.00	1131 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.39	2.01 W	0.00	1132 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.38	2.00 W	0.00	1133 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.34	1.96 W	0.00	1134 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	26.74	3.98 W	0.00	1135 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	26.68	3.92 W	0.00	1136 of 2832 - Sale <sup>25</sup>
	2.990	34.03	06/05/20	38.65	4.62 W	0.00	1137 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	37.02	2.88 W	0.00	1138 of 2832 - Sale <sup>25</sup>
	4.300	48.93	06/05/20	56.00	7.07 W	0.00	1139 of 2832 - Sale <sup>25</sup>
	4.700	53.48	06/05/20	59.59	6.11 W	0.00	1140 of 2832 - Sale <sup>25</sup>
	5.000	56.90	06/05/20	66.83	9.93 W	0.00	1141 of 2832 - Sale <sup>25</sup>
	6.010	68.39	06/05/20	78.38	9.99 W	0.00	1142 of 2832 - Sale <sup>25</sup>
	8.000	91.03	06/05/20	106.98	15.95 W	0.00	1143 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	26.68	3.92 W	0.00	1144 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	40.03	5.89 W	0.00	1145 of 2832 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.38	06/05/20	13.42	2.04 W	0.00	1146 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.50	2.12 W	0.00	1147 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	40.26	6.12 W	0.00	1148 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	1149 of 2832 - Sale <sup>25</sup>
	1.990	22.65	06/05/20	24.45	1.80 W	0.00	1150 of 2832 - Sale <sup>25</sup>
	4.990	56.78	06/05/20	61.24	4.46 W	0.00	1151 of 2832 - Sale <sup>25</sup>
	8.000	91.03	06/05/20	98.38	7.35 W	0.00	1152 of 2832 - Sale <sup>25</sup>
	12.010	136.67	06/05/20	147.69	11.02 W	0.00	1153 of 2832 - Sale <sup>25</sup>
	13.000	147.94	06/05/20	159.58	11.64 W	0.00	1154 of 2832 - Sale <sup>25</sup>
	0.990	11.25	06/05/20	12.38	1.13 W	0.00	1155 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.46	1.10 W	0.00	1156 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	37.46	3.38 W	0.00	1157 of 2832 - Sale <sup>25</sup>
	3.010	34.19	06/05/20	37.40	3.21 W	0.00	1158 of 2832 - Sale <sup>25</sup>
	5.990	68.04	06/05/20	74.93	6.89 W	0.00	1159 of 2832 - Sale <sup>25</sup>
	6.010	68.27	06/05/20	75.22	6.95 W	0.00	1160 of 2832 - Sale <sup>25</sup>
	9.000	102.24	06/05/20	111.95	9.71 W	0.00	1161 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1162 of 2832 - Sale <sup>25</sup>
	0.990	11.25	06/05/20	13.18	1.93 W	0.00	1163 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.31	1.95 W	0.00	1164 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.10	1.74 W	0.00	1165 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.16	1.80 W	0.00	1166 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.19	1.83 W	0.00	1167 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.22	1.86 W	0.00	1168 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.21	1.85 W	0.00	1169 of 2832 - Sale <sup>25</sup>
	1.300	14.77	06/05/20	17.40	2.63 W	0.00	1170 of 2832 - Sale <sup>25</sup>
	2.300	26.13	06/05/20	30.64	4.51 W	0.00	1171 of 2832 - Sale <sup>25</sup>
	2.700	30.67	06/05/20	35.41	4.74 W	0.00	1172 of 2832 - Sale <sup>25</sup>
	5.700	64.75	06/05/20	76.24	11.49 W	0.00	1173 of 2832 - Sale <sup>25</sup>
	6.000	68.16	06/05/20	79.81	11.65 W	0.00	1174 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.37	0.96 W	0.00	1175 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	10.15	2.20 W	0.00	1176 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.32	0.96 W	0.00	1177 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.46	1.10 W	0.00	1178 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.67	1.31 W	0.00	1179 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.010	11.47	06/05/20	12.59	1.12 W	0.00	1180 of 2832 - Sale <sup>25</sup>
	1.010	11.47	06/05/20	12.53	1.06 W	0.00	1181 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	24.65	1.93 W	0.00	1182 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	24.65	1.93 W	0.00	1183 of 2832 - Sale <sup>25</sup>
	2.990	33.97	06/05/20	37.05	3.08 W	0.00	1184 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	36.97	2.89 W	0.00	1185 of 2832 - Sale <sup>25</sup>
	3.990	45.32	06/05/20	49.80	4.48 W	0.00	1186 of 2832 - Sale <sup>25</sup>
	5.000	56.80	06/05/20	62.35	5.55 W	0.00	1187 of 2832 - Sale <sup>25</sup>
	12.990	147.56	06/05/20	164.49	16.93 W	0.00	1188 of 2832 - Sale <sup>25</sup>
	13.010	147.79	06/05/20	164.51	16.72 W	0.00	1189 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	8.86	0.91 W	0.00	1190 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.29	1.93 W	0.00	1191 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.57	1.21 W	0.00	1192 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.66	1.30 W	0.00	1193 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.68	1.32 W	0.00	1194 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.71	1.35 W	0.00	1195 of 2832 - Sale <sup>25</sup>
	1.700	19.31	06/05/20	21.42	2.11 W	0.00	1196 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	25.57	2.85 W	0.00	1197 of 2832 - Sale <sup>25</sup>
	2.300	26.13	06/05/20	29.22	3.09 W	0.00	1198 of 2832 - Sale <sup>25</sup>
	2.300	26.13	06/05/20	29.20	3.07 W	0.00	1199 of 2832 - Sale <sup>25</sup>
	2.990	33.96	06/05/20	37.79	3.83 W	0.00	1200 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	38.37	4.29 W	0.00	1201 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	37.93	3.85 W	0.00	1202 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	38.01	3.93 W	0.00	1203 of 2832 - Sale <sup>25</sup>
	3.020	34.31	06/05/20	37.75	3.44 W	0.00	1204 of 2832 - Sale <sup>25</sup>
	3.300	37.49	06/05/20	41.91	4.42 W	0.00	1205 of 2832 - Sale <sup>25</sup>
	4.000	45.44	06/05/20	53.65	8.21 W	0.00	1206 of 2832 - Sale <sup>25</sup>
	4.000	45.44	06/05/20	51.16	5.72 W	0.00	1207 of 2832 - Sale <sup>25</sup>
	4.000	45.44	06/05/20	50.33	4.89 W	0.00	1208 of 2832 - Sale <sup>25</sup>
	4.000	45.44	06/05/20	50.59	5.15 W	0.00	1209 of 2832 - Sale <sup>25</sup>
	6.700	76.11	06/05/20	85.04	8.93 W	0.00	1210 of 2832 - Sale <sup>25</sup>
	7.000	79.52	06/05/20	88.68	9.16 W	0.00	1211 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.40	0.99 W	0.00	1212 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	10.23	2.28 W	0.00	1213 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.010	11.47	06/05/20	14.65	3.18 W	0.00	1214 of 2832 - Sale <sup>25</sup>
	2.290	26.01	06/05/20	33.22	7.21 W	0.00	1215 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	37.87	3.79 W	0.00	1216 of 2832 - Sale <sup>25</sup>
	3.700	42.03	06/05/20	54.17	12.14 W	0.00	1217 of 2832 - Sale <sup>25</sup>
	5.000	56.80	06/05/20	72.52	15.72 W	0.00	1218 of 2832 - Sale <sup>25</sup>
	6.000	68.16	06/05/20	75.64	7.48 W	0.00	1219 of 2832 - Sale <sup>25</sup>
	8.990	102.12	06/05/20	111.44	9.32 W	0.00	1220 of 2832 - Sale <sup>25</sup>
	10.000	113.59	06/05/20	127.59	14.00 W	0.00	1221 of 2832 - Sale <sup>25</sup>
	12.010	136.43	06/05/20	148.92	12.49 W	0.00	1222 of 2832 - Sale <sup>25</sup>
	12.990	147.56	06/05/20	160.23	12.67 W	0.00	1223 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.55	2.19 W	0.00	1224 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.16	0.80 W	0.00	1225 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	11.96	0.60 W	0.00	1226 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	23.97	1.25 W	0.00	1227 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	23.97	1.25 W	0.00	1228 of 2832 - Sale <sup>25</sup>
	10.000	113.59	06/05/20	121.60	8.01 W	0.00	1229 of 2832 - Sale <sup>25</sup>
	11.000	124.95	06/05/20	131.85	6.90 W	0.00	1230 of 2832 - Sale <sup>25</sup>
	0.980	11.13	06/05/20	12.26	1.13 W	0.00	1231 of 2832 - Sale <sup>25</sup>
	7.000	79.52	06/05/20	87.15	7.63 W	0.00	1232 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.31	0.90 W	0.00	1233 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.30	0.89 W	0.00	1234 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.29	0.88 W	0.00	1235 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.28	0.87 W	0.00	1236 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.24	0.83 W	0.00	1237 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	10.06	2.11 W	0.00	1238 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	10.05	2.10 W	0.00	1239 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	10.03	2.08 W	0.00	1240 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	10.03	2.08 W	0.00	1241 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	9.87	1.92 W	0.00	1242 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	14.14	2.78 W	0.00	1243 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	14.08	2.72 W	0.00	1244 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	14.07	2.71 W	0.00	1245 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	14.14	2.78 W	0.00	1246 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	14.10	2.74 W	0.00	1247 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.010	11.47	06/05/20	14.51	3.04 W	0.00	1248 of 2832 - Sale <sup>25</sup>
	1.700	19.31	06/05/20	23.83	4.52 W	0.00	1249 of 2832 - Sale <sup>25</sup>
	1.990	22.61	06/05/20	28.54	5.93 W	0.00	1250 of 2832 - Sale <sup>25</sup>
	2.700	30.67	06/05/20	38.96	8.29 W	0.00	1251 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	43.02	8.94 W	0.00	1252 of 2832 - Sale <sup>25</sup>
	6.300	71.56	06/05/20	90.88	19.32 W	0.00	1253 of 2832 - Sale <sup>25</sup>
	8.300	94.28	06/05/20	119.78	25.50 W	0.00	1254 of 2832 - Sale <sup>25</sup>
	1.020	11.59	06/05/20	12.11	0.52 W	0.00	1255 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.02	0.66 W	0.00	1256 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	1257 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	3.78	0.37 W	0.00	1258 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.79	1.43 W	0.00	1259 of 2832 - Sale <sup>25</sup>
	1.300	14.77	06/05/20	16.41	1.64 W	0.00	1260 of 2832 - Sale <sup>25</sup>
	2.700	30.67	06/05/20	33.91	3.24 W	0.00	1261 of 2832 - Sale <sup>25</sup>
	3.010	34.19	06/05/20	38.28	4.09 W	0.00	1262 of 2832 - Sale <sup>25</sup>
	3.700	42.03	06/05/20	47.06	5.03 W	0.00	1263 of 2832 - Sale <sup>25</sup>
	4.990	56.68	06/05/20	62.82	6.14 W	0.00	1264 of 2832 - Sale <sup>25</sup>
	5.000	56.80	06/05/20	63.17	6.37 W	0.00	1265 of 2832 - Sale <sup>25</sup>
	5.000	56.80	06/05/20	62.61	5.81 W	0.00	1266 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.17	0.06 W	0.00	1267 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.20	0.79 W	0.00	1268 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	11.65	3.70 W	0.00	1269 of 2832 - Sale <sup>25</sup>
	0.710	8.07	06/05/20	11.72	3.65 W	0.00	1270 of 2832 - Sale <sup>25</sup>
	0.990	11.25	06/05/20	16.56	5.31 W	0.00	1271 of 2832 - Sale <sup>25</sup>
	0.990	11.25	06/05/20	16.49	5.24 W	0.00	1272 of 2832 - Sale <sup>25</sup>
	0.990	11.25	06/05/20	16.38	5.13 W	0.00	1273 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.93	2.57 W	0.00	1274 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	16.52	5.16 W	0.00	1275 of 2832 - Sale <sup>25</sup>
	1.300	14.77	06/05/20	21.72	6.95 W	0.00	1276 of 2832 - Sale <sup>25</sup>
	1.300	14.77	06/05/20	21.68	6.91 W	0.00	1277 of 2832 - Sale <sup>25</sup>
	1.710	19.42	06/05/20	28.61	9.19 W	0.00	1278 of 2832 - Sale <sup>25</sup>
	2.300	26.13	06/05/20	38.08	11.95 W	0.00	1279 of 2832 - Sale <sup>25</sup>
	2.700	30.67	06/05/20	37.57	6.90 W	0.00	1280 of 2832 - Sale <sup>25</sup>
	2.980	33.85	06/05/20	35.33	1.48 W	0.00	1281 of 2832 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.010	34.19	06/05/20	50.18	15.99 W	0.00	1282 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	38.31	4.23 W	0.00	1283 of 2832 - Sale <sup>25</sup>
	0.700	7.95	06/05/20	8.79	0.84 W	0.00	1284 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	12.73	1.37 W	0.00	1285 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	13.70	2.34 W	0.00	1286 of 2832 - Sale <sup>25</sup>
	1.300	14.77	06/05/20	16.60	1.83 W	0.00	1287 of 2832 - Sale <sup>25</sup>
	1.700	19.31	06/05/20	21.63	2.32 W	0.00	1288 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	25.38	2.66 W	0.00	1289 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	25.37	2.65 W	0.00	1290 of 2832 - Sale <sup>25</sup>
	2.000	22.72	06/05/20	25.45	2.73 W	0.00	1291 of 2832 - Sale <sup>25</sup>
	2.010	22.83	06/05/20	25.51	2.68 W	0.00	1292 of 2832 - Sale <sup>25</sup>
	2.700	30.67	06/05/20	34.49	3.82 W	0.00	1293 of 2832 - Sale <sup>25</sup>
	3.000	34.08	06/05/20	40.99	6.91 W	0.00	1294 of 2832 - Sale <sup>25</sup>
	3.300	37.48	06/05/20	42.00	4.52 W	0.00	1295 of 2832 - Sale <sup>25</sup>
	5.290	60.09	06/05/20	67.69	7.60 W	0.00	1296 of 2832 - Sale <sup>25</sup>
	5.990	68.04	06/05/20	75.99	7.95 W	0.00	1297 of 2832 - Sale <sup>25</sup>
	6.000	68.15	06/05/20	76.65	8.50 W	0.00	1298 of 2832 - Sale <sup>25</sup>
	1.000	11.36	06/05/20	16.53	5.17 W	0.00	1299 of 2832 - Sale <sup>25</sup>
	1.010	11.47	06/05/20	16.68	5.21 W	0.00	1300 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.52	3.55 W	0.00	1301 of 2832 - Sale <sup>25</sup>
	1.300	14.79	06/05/20	21.47	6.68 W	0.00	1302 of 2832 - Sale <sup>25</sup>
	0.980	11.15	06/05/20	16.19	5.04 W	0.00	1303 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	33.04	10.28 W	0.00	1304 of 2832 - Sale <sup>25</sup>
	3.020	34.37	06/05/20	49.55	15.18 W	0.00	1305 of 2832 - Sale <sup>25</sup>
	0.980	11.15	06/05/20	16.08	4.93 W	0.00	1306 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.38	5.00 W	0.00	1307 of 2832 - Sale <sup>25</sup>
	1.020	11.61	06/05/20	16.60	4.99 W	0.00	1308 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	49.22	15.08 W	0.00	1309 of 2832 - Sale <sup>25</sup>
	8.000	91.04	06/05/20	108.72	17.68 W	0.00	1310 of 2832 - Sale <sup>25</sup>
	0.710	8.08	06/05/20	9.84	1.76 W	0.00	1311 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.82	2.44 W	0.00	1312 of 2832 - Sale <sup>25</sup>
	3.300	37.55	06/05/20	44.92	7.37 W	0.00	1313 of 2832 - Sale <sup>25</sup>
	4.300	48.93	06/05/20	59.14	10.21 W	0.00	1314 of 2832 - Sale <sup>25</sup>
	4.700	53.48	06/05/20	63.82	10.34 W	0.00	1315 of 2832 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.290	3.30	06/05/20	3.91	0.61 W	0.00	1316 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.95	1.54 W	0.00	1317 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.05	0.64 W	0.00	1318 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	3.97	0.56 W	0.00	1319 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.24	1.27 W	0.00	1320 of 2832 - Sale <sup>25</sup>
	0.710	8.08	06/05/20	10.04	1.96 W	0.00	1321 of 2832 - Sale <sup>25</sup>
	0.710	8.08	06/05/20	9.59	1.51 W	0.00	1322 of 2832 - Sale <sup>25</sup>
	0.710	8.08	06/05/20	9.46	1.38 W	0.00	1323 of 2832 - Sale <sup>25</sup>
	0.980	11.15	06/05/20	15.95	4.80 W	0.00	1324 of 2832 - Sale <sup>25</sup>
	0.990	11.27	06/05/20	13.15	1.88 W	0.00	1325 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.23	4.85 W	0.00	1326 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	15.95	4.57 W	0.00	1327 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	14.28	2.90 W	0.00	1328 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.17	1.79 W	0.00	1329 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.16	1.78 W	0.00	1330 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.06	1.68 W	0.00	1331 of 2832 - Sale <sup>25</sup>
	1.300	14.79	06/05/20	18.57	3.78 W	0.00	1332 of 2832 - Sale <sup>25</sup>
	1.300	14.79	06/05/20	17.10	2.31 W	0.00	1333 of 2832 - Sale <sup>25</sup>
	1.700	19.35	06/05/20	27.69	8.34 W	0.00	1334 of 2832 - Sale <sup>25</sup>
	3.700	42.10	06/05/20	48.32	6.22 W	0.00	1335 of 2832 - Sale <sup>25</sup>
	3.990	45.40	06/05/20	56.60	11.20 W	0.00	1336 of 2832 - Sale <sup>25</sup>
	4.010	45.63	06/05/20	51.97	6.34 W	0.00	1337 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.09	0.68 W	0.00	1338 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.57	1.60 W	0.00	1339 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	13.67	2.29 W	0.00	1340 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.64	1.26 W	0.00	1341 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.63	1.25 W	0.00	1342 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.52	1.14 W	0.00	1343 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	25.03	2.27 W	0.00	1344 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	42.82	8.68 W	0.00	1345 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	37.53	3.39 W	0.00	1346 of 2832 - Sale <sup>25</sup>
	4.000	45.52	06/05/20	57.07	11.55 W	0.00	1347 of 2832 - Sale <sup>25</sup>
	11.010	125.29	06/05/20	137.84	12.55 W	0.00	1348 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	12.31	4.34 W	0.00	1349 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.980	22.53	06/05/20	35.09	12.56 W	0.00	1350 of 2832 - Sale <sup>25</sup>
	2.010	22.87	06/05/20	35.49	12.62 W	0.00	1351 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	52.68	18.54 W	0.00	1352 of 2832 - Sale <sup>25</sup>
	1.010	11.49	06/05/20	17.74	6.25 W	0.00	1353 of 2832 - Sale <sup>25</sup>
	5.290	60.20	06/05/20	93.07	32.87 W	0.00	1354 of 2832 - Sale <sup>25</sup>
	0.290	3.30	06/05/20	4.02	0.72 W	0.00	1355 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.75	1.78 W	0.00	1356 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.99	1.58 W	0.00	1357 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	5.34	1.93 W	0.00	1358 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.76	3.79 W	0.00	1359 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.60	3.63 W	0.00	1360 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.48	3.51 W	0.00	1361 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	12.97	1.59 W	0.00	1362 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.60	5.22 W	0.00	1363 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.56	5.18 W	0.00	1364 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.10	4.72 W	0.00	1365 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.06	4.68 W	0.00	1366 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.02	4.64 W	0.00	1367 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.13	4.75 W	0.00	1368 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.14	4.76 W	0.00	1369 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.11	4.73 W	0.00	1370 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.10	4.72 W	0.00	1371 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.13	4.75 W	0.00	1372 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.16	4.78 W	0.00	1373 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	16.61	5.23 W	0.00	1374 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	17.67	6.29 W	0.00	1375 of 2832 - Sale <sup>25</sup>
	1.000	11.38	06/05/20	17.74	6.36 W	0.00	1376 of 2832 - Sale <sup>25</sup>
	1.010	11.49	06/05/20	16.17	4.68 W	0.00	1377 of 2832 - Sale <sup>25</sup>
	1.010	11.49	06/05/20	16.60	5.11 W	0.00	1378 of 2832 - Sale <sup>25</sup>
	1.700	19.35	06/05/20	28.37	9.02 W	0.00	1379 of 2832 - Sale <sup>25</sup>
	1.990	22.65	06/05/20	32.17	9.52 W	0.00	1380 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	25.94	3.18 W	0.00	1381 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	33.38	10.62 W	0.00	1382 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	33.40	10.64 W	0.00	1383 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.76	06/05/20	32.23	9.47 W	0.00	1384 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	32.45	9.69 W	0.00	1385 of 2832 - Sale <sup>25</sup>
	2.000	22.76	06/05/20	32.46	9.70 W	0.00	1386 of 2832 - Sale <sup>25</sup>
	2.010	22.87	06/05/20	35.56	12.69 W	0.00	1387 of 2832 - Sale <sup>25</sup>
	2.290	26.06	06/05/20	37.58	11.52 W	0.00	1388 of 2832 - Sale <sup>25</sup>
	2.300	26.17	06/05/20	38.34	12.17 W	0.00	1389 of 2832 - Sale <sup>25</sup>
	2.300	26.17	06/05/20	37.32	11.15 W	0.00	1390 of 2832 - Sale <sup>25</sup>
	2.700	30.73	06/05/20	47.89	17.16 W	0.00	1391 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	49.87	15.73 W	0.00	1392 of 2832 - Sale <sup>25</sup>
	3.000	34.14	06/05/20	53.25	19.11 W	0.00	1393 of 2832 - Sale <sup>25</sup>
	3.700	42.10	06/05/20	60.30	18.20 W	0.00	1394 of 2832 - Sale <sup>25</sup>
	3.990	45.40	06/05/20	51.72	6.32 W	0.00	1395 of 2832 - Sale <sup>25</sup>
	4.000	45.52	06/05/20	65.58	20.06 W	0.00	1396 of 2832 - Sale <sup>25</sup>
	4.300	48.93	06/05/20	55.52	6.59 W	0.00	1397 of 2832 - Sale <sup>25</sup>
	4.300	48.93	06/05/20	72.38	23.45 W	0.00	1398 of 2832 - Sale <sup>25</sup>
	4.990	56.79	06/05/20	88.25	31.46 W	0.00	1399 of 2832 - Sale <sup>25</sup>
	5.700	64.86	06/05/20	73.63	8.77 W	0.00	1400 of 2832 - Sale <sup>25</sup>
	9.020	102.64	06/05/20	160.11	57.47 W	0.00	1401 of 2832 - Sale <sup>25</sup>
	0.990	11.12	06/05/20	17.24	6.12 W	0.00	1402 of 2832 - Sale <sup>25</sup>
	1.000	11.23	06/05/20	17.42	6.19 W	0.00	1403 of 2832 - Sale <sup>25</sup>
	1.000	11.23	06/05/20	12.67	1.44 W	0.00	1404 of 2832 - Sale <sup>25</sup>
	1.000	11.23	06/05/20	12.67	1.44 W	0.00	1405 of 2832 - Sale <sup>25</sup>
	1.000	11.22	06/05/20	12.67	1.45 W	0.00	1406 of 2832 - Sale <sup>25</sup>
	2.010	22.57	06/05/20	34.92	12.35 W	0.00	1407 of 2832 - Sale <sup>25</sup>
	2.990	33.58	06/05/20	52.51	18.93 W	0.00	1408 of 2832 - Sale <sup>25</sup>
	0.010	0.12	06/05/20	0.13	0.01 W	0.00	1409 of 2832 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	3.86	0.49 W	0.00	1410 of 2832 - Sale <sup>25</sup>
	1.000	11.22	06/05/20	12.56	1.34 W	0.00	1411 of 2832 - Sale <sup>25</sup>
	1.000	11.22	06/05/20	12.83	1.61 W	0.00	1412 of 2832 - Sale <sup>25</sup>
	1.000	11.22	06/05/20	12.83	1.61 W	0.00	1413 of 2832 - Sale <sup>25</sup>
	3.000	33.66	06/05/20	37.68	4.02 W	0.00	1414 of 2832 - Sale <sup>25</sup>
	1.990	22.33	06/05/20	25.21	2.88 W	0.00	1415 of 2832 - Sale <sup>25</sup>
	1.710	19.18	06/05/20	22.06	2.88 W	0.00	1416 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.68	1.43 W	0.00	1417 of 2832 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.010	22.60	06/05/20	25.46	2.86 W	0.00	1418 of 2832 - Sale <sup>25</sup>
	6.990	78.60	06/05/20	88.56	9.96 W	0.00	1419 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1420 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.05	0.67 W	0.00	1421 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.28	1.39 W	0.00	1422 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.52	1.63 W	0.00	1423 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.53	2.26 W	0.00	1424 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.49	2.22 W	0.00	1425 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.62	2.35 W	0.00	1426 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.61	2.34 W	0.00	1427 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.61	2.34 W	0.00	1428 of 2832 - Sale <sup>25</sup>
	2.990	33.70	06/05/20	39.30	5.60 W	0.00	1429 of 2832 - Sale <sup>25</sup>
	4.300	48.46	06/05/20	56.95	8.49 W	0.00	1430 of 2832 - Sale <sup>25</sup>
	2.990	33.70	06/05/20	38.57	4.87 W	0.00	1431 of 2832 - Sale <sup>25</sup>
	5.000	56.35	06/05/20	67.93	11.58 W	0.00	1432 of 2832 - Sale <sup>25</sup>
	6.010	67.73	06/05/20	79.70	11.97 W	0.00	1433 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.64	2.37 W	0.00	1434 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.72	2.45 W	0.00	1435 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	40.92	7.11 W	0.00	1436 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	12.62	1.24 W	0.00	1437 of 2832 - Sale <sup>25</sup>
	1.990	22.43	06/05/20	24.88	2.45 W	0.00	1438 of 2832 - Sale <sup>25</sup>
	8.000	90.15	06/05/20	100.15	10.00 W	0.00	1439 of 2832 - Sale <sup>25</sup>
	12.010	135.35	06/05/20	150.34	14.99 W	0.00	1440 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.60	2.33 W	0.00	1441 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.56	2.29 W	0.00	1442 of 2832 - Sale <sup>25</sup>
	1.990	22.43	06/05/20	27.04	4.61 W	0.00	1443 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	27.12	4.58 W	0.00	1444 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	27.12	4.58 W	0.00	1445 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	40.69	6.88 W	0.00	1446 of 2832 - Sale <sup>25</sup>
	8.000	90.15	06/05/20	108.75	18.60 W	0.00	1447 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1448 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	12.61	1.45 W	0.00	1449 of 2832 - Sale <sup>25</sup>
	3.980	44.85	06/05/20	49.73	4.88 W	0.00	1450 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	12.88	1.50 W	0.00	1451 of 2832 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	6.010	67.73	06/05/20	76.67	8.94 W	0.00	1452 of 2832 - Sale <sup>25</sup>
	13.000	146.50	06/05/20	162.44	15.94 W	0.00	1453 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.70	1.43 W	0.00	1454 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.56	1.29 W	0.00	1455 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.70	1.43 W	0.00	1456 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.91	1.64 W	0.00	1457 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	12.84	1.46 W	0.00	1458 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	12.78	1.40 W	0.00	1459 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	25.13	2.59 W	0.00	1460 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	25.13	2.59 W	0.00	1461 of 2832 - Sale <sup>25</sup>
	2.990	33.70	06/05/20	37.76	4.06 W	0.00	1462 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	38.18	4.37 W	0.00	1463 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	37.69	3.88 W	0.00	1464 of 2832 - Sale <sup>25</sup>
	3.010	33.92	06/05/20	38.13	4.21 W	0.00	1465 of 2832 - Sale <sup>25</sup>
	3.990	44.97	06/05/20	50.76	5.79 W	0.00	1466 of 2832 - Sale <sup>25</sup>
	4.010	45.19	06/05/20	51.74	6.55 W	0.00	1467 of 2832 - Sale <sup>25</sup>
	5.000	56.35	06/05/20	63.55	7.20 W	0.00	1468 of 2832 - Sale <sup>25</sup>
	4.980	56.12	06/05/20	63.49	7.37 W	0.00	1469 of 2832 - Sale <sup>25</sup>
	9.000	101.43	06/05/20	114.11	12.68 W	0.00	1470 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	1471 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	13.41	2.25 W	0.00	1472 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.55	2.28 W	0.00	1473 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.34	2.07 W	0.00	1474 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.40	2.13 W	0.00	1475 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.43	2.16 W	0.00	1476 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.46	2.19 W	0.00	1477 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.45	2.18 W	0.00	1478 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	17.71	3.06 W	0.00	1479 of 2832 - Sale <sup>25</sup>
	2.300	25.92	06/05/20	31.19	5.27 W	0.00	1480 of 2832 - Sale <sup>25</sup>
	2.700	30.43	06/05/20	36.06	5.63 W	0.00	1481 of 2832 - Sale <sup>25</sup>
	5.700	64.23	06/05/20	77.61	13.38 W	0.00	1482 of 2832 - Sale <sup>25</sup>
	6.000	67.62	06/05/20	81.25	13.63 W	0.00	1483 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.44	1.06 W	0.00	1484 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	10.32	2.43 W	0.00	1485 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.27	06/05/20	13.53	2.26 W	0.00	1486 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.81	1.54 W	0.00	1487 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.90	1.63 W	0.00	1488 of 2832 - Sale <sup>25</sup>
	1.700	19.16	06/05/20	21.82	2.66 W	0.00	1489 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	26.04	3.50 W	0.00	1490 of 2832 - Sale <sup>25</sup>
	2.300	25.92	06/05/20	29.76	3.84 W	0.00	1491 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	12.88	1.62 W	0.00	1492 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	39.08	5.27 W	0.00	1493 of 2832 - Sale <sup>25</sup>
	4.000	45.08	06/05/20	54.59	9.51 W	0.00	1494 of 2832 - Sale <sup>25</sup>
	4.000	45.08	06/05/20	52.10	7.02 W	0.00	1495 of 2832 - Sale <sup>25</sup>
	4.000	45.07	06/05/20	51.27	6.20 W	0.00	1496 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.03	1.14 W	0.00	1497 of 2832 - Sale <sup>25</sup>
	0.980	11.04	06/05/20	12.49	1.45 W	0.00	1498 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.92	1.65 W	0.00	1499 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.95	1.68 W	0.00	1500 of 2832 - Sale <sup>25</sup>
	2.300	25.92	06/05/20	29.74	3.82 W	0.00	1501 of 2832 - Sale <sup>25</sup>
	1.990	22.43	06/05/20	25.62	3.19 W	0.00	1502 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	38.64	4.83 W	0.00	1503 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	38.72	4.91 W	0.00	1504 of 2832 - Sale <sup>25</sup>
	3.020	34.03	06/05/20	38.46	4.43 W	0.00	1505 of 2832 - Sale <sup>25</sup>
	3.300	37.19	06/05/20	42.68	5.49 W	0.00	1506 of 2832 - Sale <sup>25</sup>
	4.000	45.08	06/05/20	51.53	6.45 W	0.00	1507 of 2832 - Sale <sup>25</sup>
	6.700	75.51	06/05/20	86.62	11.11 W	0.00	1508 of 2832 - Sale <sup>25</sup>
	7.000	78.89	06/05/20	90.33	11.44 W	0.00	1509 of 2832 - Sale <sup>25</sup>
	7.000	78.89	06/05/20	88.80	9.91 W	0.00	1510 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	38.57	4.76 W	0.00	1511 of 2832 - Sale <sup>25</sup>
	8.980	101.20	06/05/20	115.83	14.63 W	0.00	1512 of 2832 - Sale <sup>25</sup>
	5.020	56.57	06/05/20	63.41	6.84 W	0.00	1513 of 2832 - Sale <sup>25</sup>
	12.010	135.35	06/05/20	151.75	16.40 W	0.00	1514 of 2832 - Sale <sup>25</sup>
	12.990	146.39	06/05/20	163.29	16.90 W	0.00	1515 of 2832 - Sale <sup>25</sup>
	13.010	146.61	06/05/20	167.57	20.96 W	0.00	1516 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.47	1.09 W	0.00	1517 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	10.40	2.51 W	0.00	1518 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	14.89	3.51 W	0.00	1519 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.290	25.81	06/05/20	33.76	7.95 W	0.00	1520 of 2832 - Sale <sup>25</sup>
	2.700	30.43	06/05/20	39.60	9.17 W	0.00	1521 of 2832 - Sale <sup>25</sup>
	3.700	41.70	06/05/20	55.04	13.34 W	0.00	1522 of 2832 - Sale <sup>25</sup>
	5.000	56.35	06/05/20	73.69	17.34 W	0.00	1523 of 2832 - Sale <sup>25</sup>
	5.030	56.68	06/05/20	73.77	17.09 W	0.00	1524 of 2832 - Sale <sup>25</sup>
	6.000	67.62	06/05/20	77.05	9.43 W	0.00	1525 of 2832 - Sale <sup>25</sup>
	6.300	71.00	06/05/20	92.37	21.37 W	0.00	1526 of 2832 - Sale <sup>25</sup>
	3.970	44.74	06/05/20	50.15	5.41 W	0.00	1527 of 2832 - Sale <sup>25</sup>
	10.000	112.70	06/05/20	129.95	17.25 W	0.00	1528 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.79	2.52 W	0.00	1529 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.39	1.12 W	0.00	1530 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.19	0.92 W	0.00	1531 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	24.44	1.90 W	0.00	1532 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	24.44	1.90 W	0.00	1533 of 2832 - Sale <sup>25</sup>
	10.000	112.69	06/05/20	123.96	11.27 W	0.00	1534 of 2832 - Sale <sup>25</sup>
	11.000	123.96	06/05/20	134.45	10.49 W	0.00	1535 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.25	0.98 W	0.00	1536 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.38	1.00 W	0.00	1537 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.37	0.99 W	0.00	1538 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.36	0.98 W	0.00	1539 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.35	0.97 W	0.00	1540 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.31	0.93 W	0.00	1541 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	10.22	2.33 W	0.00	1542 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	10.21	2.32 W	0.00	1543 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	10.19	2.30 W	0.00	1544 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	10.19	2.30 W	0.00	1545 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	10.03	2.14 W	0.00	1546 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.37	3.10 W	0.00	1547 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.31	3.04 W	0.00	1548 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.30	3.03 W	0.00	1549 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.37	3.10 W	0.00	1550 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.33	3.06 W	0.00	1551 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	14.75	3.37 W	0.00	1552 of 2832 - Sale <sup>25</sup>
	1.700	19.16	06/05/20	24.22	5.06 W	0.00	1553 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.990	22.43	06/05/20	28.99	6.56 W	0.00	1554 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	43.71	9.90 W	0.00	1555 of 2832 - Sale <sup>25</sup>
	3.010	33.91	06/05/20	38.98	5.07 W	0.00	1556 of 2832 - Sale <sup>25</sup>
	3.270	36.85	06/05/20	47.95	11.10 W	0.00	1557 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.26	0.03 W	0.00	1558 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1559 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	3.85	0.47 W	0.00	1560 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.02	1.75 W	0.00	1561 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	16.71	2.06 W	0.00	1562 of 2832 - Sale <sup>25</sup>
	1.720	19.38	06/05/20	22.36	2.98 W	0.00	1563 of 2832 - Sale <sup>25</sup>
	2.700	30.43	06/05/20	34.53	4.10 W	0.00	1564 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	39.00	5.19 W	0.00	1565 of 2832 - Sale <sup>25</sup>
	3.700	41.70	06/05/20	47.91	6.21 W	0.00	1566 of 2832 - Sale <sup>25</sup>
	4.970	56.01	06/05/20	63.71	7.70 W	0.00	1567 of 2832 - Sale <sup>25</sup>
	5.000	56.35	06/05/20	64.32	7.97 W	0.00	1568 of 2832 - Sale <sup>25</sup>
	5.000	56.35	06/05/20	63.76	7.41 W	0.00	1569 of 2832 - Sale <sup>25</sup>
	5.290	59.62	06/05/20	68.91	9.29 W	0.00	1570 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.18	0.07 W	0.00	1571 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.27	0.89 W	0.00	1572 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	11.81	3.92 W	0.00	1573 of 2832 - Sale <sup>25</sup>
	0.710	8.00	06/05/20	11.88	3.88 W	0.00	1574 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	16.78	5.62 W	0.00	1575 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	16.71	5.55 W	0.00	1576 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	16.60	5.44 W	0.00	1577 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.16	2.89 W	0.00	1578 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.75	5.48 W	0.00	1579 of 2832 - Sale <sup>25</sup>
	1.020	11.49	06/05/20	12.34	0.85 W	0.00	1580 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	22.02	7.37 W	0.00	1581 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	21.98	7.33 W	0.00	1582 of 2832 - Sale <sup>25</sup>
	1.710	19.27	06/05/20	29.01	9.74 W	0.00	1583 of 2832 - Sale <sup>25</sup>
	2.300	25.92	06/05/20	38.61	12.69 W	0.00	1584 of 2832 - Sale <sup>25</sup>
	2.700	30.43	06/05/20	38.19	7.76 W	0.00	1585 of 2832 - Sale <sup>25</sup>
	2.980	33.58	06/05/20	36.02	2.44 W	0.00	1586 of 2832 - Sale <sup>25</sup>
	3.010	33.92	06/05/20	50.88	16.96 W	0.00	1587 of 2832 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1588 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	8.95	1.06 W	0.00	1589 of 2832 - Sale <sup>25</sup>
	0.980	11.04	06/05/20	12.75	1.71 W	0.00	1590 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.96	1.69 W	0.00	1591 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.93	2.66 W	0.00	1592 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	16.90	2.25 W	0.00	1593 of 2832 - Sale <sup>25</sup>
	1.700	19.16	06/05/20	22.02	2.86 W	0.00	1594 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	25.84	3.30 W	0.00	1595 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	25.83	3.29 W	0.00	1596 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	25.91	3.37 W	0.00	1597 of 2832 - Sale <sup>25</sup>
	2.010	22.65	06/05/20	25.98	3.33 W	0.00	1598 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	41.68	7.87 W	0.00	1599 of 2832 - Sale <sup>25</sup>
	3.300	37.19	06/05/20	42.77	5.58 W	0.00	1600 of 2832 - Sale <sup>25</sup>
	5.990	67.51	06/05/20	77.37	9.86 W	0.00	1601 of 2832 - Sale <sup>25</sup>
	6.000	67.62	06/05/20	78.04	10.42 W	0.00	1602 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	11.66	3.77 W	0.00	1603 of 2832 - Sale <sup>25</sup>
	0.980	11.04	06/05/20	16.40	5.36 W	0.00	1604 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.76	5.49 W	0.00	1605 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	16.92	5.54 W	0.00	1606 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	21.75	7.10 W	0.00	1607 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	33.46	10.92 W	0.00	1608 of 2832 - Sale <sup>25</sup>
	3.020	34.03	06/05/20	50.18	16.15 W	0.00	1609 of 2832 - Sale <sup>25</sup>
	0.980	11.04	06/05/20	16.29	5.25 W	0.00	1610 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.59	5.32 W	0.00	1611 of 2832 - Sale <sup>25</sup>
	1.020	11.50	06/05/20	16.80	5.30 W	0.00	1612 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	49.85	16.04 W	0.00	1613 of 2832 - Sale <sup>25</sup>
	7.990	90.04	06/05/20	110.26	20.22 W	0.00	1614 of 2832 - Sale <sup>25</sup>
	0.290	3.27	06/05/20	4.08	0.81 W	0.00	1615 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.89	2.00 W	0.00	1616 of 2832 - Sale <sup>25</sup>
	0.710	8.00	06/05/20	9.99	1.99 W	0.00	1617 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.03	2.76 W	0.00	1618 of 2832 - Sale <sup>25</sup>
	3.300	37.19	06/05/20	45.62	8.43 W	0.00	1619 of 2832 - Sale <sup>25</sup>
	4.300	48.46	06/05/20	60.05	11.59 W	0.00	1620 of 2832 - Sale <sup>25</sup>
	4.700	52.97	06/05/20	64.81	11.84 W	0.00	1621 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.290	3.27	06/05/20	3.97	0.70 W	0.00	1622 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	5.02	1.64 W	0.00	1623 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.12	0.74 W	0.00	1624 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.04	0.66 W	0.00	1625 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.38	1.49 W	0.00	1626 of 2832 - Sale <sup>25</sup>
	0.710	8.00	06/05/20	10.19	2.19 W	0.00	1627 of 2832 - Sale <sup>25</sup>
	0.710	8.00	06/05/20	9.74	1.74 W	0.00	1628 of 2832 - Sale <sup>25</sup>
	0.710	8.00	06/05/20	9.61	1.61 W	0.00	1629 of 2832 - Sale <sup>25</sup>
	0.980	11.04	06/05/20	16.16	5.12 W	0.00	1630 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	13.35	2.19 W	0.00	1631 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.44	5.17 W	0.00	1632 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.16	4.89 W	0.00	1633 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	14.49	3.22 W	0.00	1634 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.38	2.11 W	0.00	1635 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.37	2.10 W	0.00	1636 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.27	2.00 W	0.00	1637 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.18	1.91 W	0.00	1638 of 2832 - Sale <sup>25</sup>
	1.030	11.61	06/05/20	17.39	5.78 W	0.00	1639 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	18.85	4.20 W	0.00	1640 of 2832 - Sale <sup>25</sup>
	1.300	14.65	06/05/20	17.38	2.73 W	0.00	1641 of 2832 - Sale <sup>25</sup>
	1.700	19.16	06/05/20	28.04	8.88 W	0.00	1642 of 2832 - Sale <sup>25</sup>
	2.000	22.54	06/05/20	26.36	3.82 W	0.00	1643 of 2832 - Sale <sup>25</sup>
	3.700	41.70	06/05/20	49.10	7.40 W	0.00	1644 of 2832 - Sale <sup>25</sup>
	3.990	44.97	06/05/20	57.44	12.47 W	0.00	1645 of 2832 - Sale <sup>25</sup>
	3.990	44.96	06/05/20	52.56	7.60 W	0.00	1646 of 2832 - Sale <sup>25</sup>
	4.010	45.19	06/05/20	52.82	7.63 W	0.00	1647 of 2832 - Sale <sup>25</sup>
	4.300	48.46	06/05/20	56.43	7.97 W	0.00	1648 of 2832 - Sale <sup>25</sup>
	5.700	64.24	06/05/20	74.83	10.59 W	0.00	1649 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.17	0.79 W	0.00	1650 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.73	1.84 W	0.00	1651 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.91	2.64 W	0.00	1652 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.88	1.61 W	0.00	1653 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.87	1.60 W	0.00	1654 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	12.76	1.49 W	0.00	1655 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.54	06/05/20	25.51	2.97 W	0.00	1656 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	43.54	9.73 W	0.00	1657 of 2832 - Sale <sup>25</sup>
	4.000	45.08	06/05/20	58.03	12.95 W	0.00	1658 of 2832 - Sale <sup>25</sup>
	11.000	123.95	06/05/20	140.36	16.41 W	0.00	1659 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	1660 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	5.07	1.69 W	0.00	1661 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	5.42	2.04 W	0.00	1662 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	11.92	4.03 W	0.00	1663 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	11.76	3.87 W	0.00	1664 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	11.64	3.75 W	0.00	1665 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.84	5.57 W	0.00	1666 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.80	5.53 W	0.00	1667 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.34	5.07 W	0.00	1668 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.30	5.03 W	0.00	1669 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.26	4.99 W	0.00	1670 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.37	5.10 W	0.00	1671 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.38	5.10 W	0.00	1672 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.35	5.08 W	0.00	1673 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.34	5.06 W	0.00	1674 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.37	5.10 W	0.00	1675 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	16.40	5.13 W	0.00	1676 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.85	5.57 W	0.00	1677 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	17.91	6.64 W	0.00	1678 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	17.98	6.70 W	0.00	1679 of 2832 - Sale <sup>25</sup>
	1.010	11.39	06/05/20	16.42	5.03 W	0.00	1680 of 2832 - Sale <sup>25</sup>
	1.010	11.39	06/05/20	16.85	5.46 W	0.00	1681 of 2832 - Sale <sup>25</sup>
	1.270	14.32	06/05/20	21.48	7.16 W	0.00	1682 of 2832 - Sale <sup>25</sup>
	1.700	19.17	06/05/20	28.77	9.60 W	0.00	1683 of 2832 - Sale <sup>25</sup>
	1.990	22.44	06/05/20	32.64	10.20 W	0.00	1684 of 2832 - Sale <sup>25</sup>
	2.000	22.55	06/05/20	33.86	11.31 W	0.00	1685 of 2832 - Sale <sup>25</sup>
	2.000	22.55	06/05/20	33.88	11.33 W	0.00	1686 of 2832 - Sale <sup>25</sup>
	2.000	22.55	06/05/20	32.71	10.16 W	0.00	1687 of 2832 - Sale <sup>25</sup>
	2.000	22.55	06/05/20	32.93	10.38 W	0.00	1688 of 2832 - Sale <sup>25</sup>
	2.000	22.55	06/05/20	32.94	10.39 W	0.00	1689 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.010	22.66	06/05/20	36.05	13.39 W	0.00	1690 of 2832 - Sale <sup>25</sup>
	2.290	25.82	06/05/20	38.13	12.31 W	0.00	1691 of 2832 - Sale <sup>25</sup>
	2.300	25.93	06/05/20	37.88	11.95 W	0.00	1692 of 2832 - Sale <sup>25</sup>
	2.700	30.44	06/05/20	48.53	18.09 W	0.00	1693 of 2832 - Sale <sup>25</sup>
	3.000	33.82	06/05/20	50.59	16.77 W	0.00	1694 of 2832 - Sale <sup>25</sup>
	3.000	33.83	06/05/20	53.97	20.14 W	0.00	1695 of 2832 - Sale <sup>25</sup>
	3.700	41.72	06/05/20	61.19	19.47 W	0.00	1696 of 2832 - Sale <sup>25</sup>
	4.000	45.10	06/05/20	66.54	21.44 W	0.00	1697 of 2832 - Sale <sup>25</sup>
	4.300	48.48	06/05/20	73.42	24.94 W	0.00	1698 of 2832 - Sale <sup>25</sup>
	4.990	56.26	06/05/20	89.44	33.18 W	0.00	1699 of 2832 - Sale <sup>25</sup>
	9.020	101.70	06/05/20	162.28	60.58 W	0.00	1700 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.99	2.71 W	0.00	1701 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	14.07	2.79 W	0.00	1702 of 2832 - Sale <sup>25</sup>
	3.000	33.82	06/05/20	41.97	8.15 W	0.00	1703 of 2832 - Sale <sup>25</sup>
	1.990	22.44	06/05/20	25.57	3.13 W	0.00	1704 of 2832 - Sale <sup>25</sup>
	3.000	33.83	06/05/20	38.25	4.42 W	0.00	1705 of 2832 - Sale <sup>25</sup>
	3.020	34.05	06/05/20	38.86	4.81 W	0.00	1706 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	12.47	4.58 W	0.00	1707 of 2832 - Sale <sup>25</sup>
	1.980	22.32	06/05/20	35.57	13.25 W	0.00	1708 of 2832 - Sale <sup>25</sup>
	2.010	22.66	06/05/20	35.98	13.32 W	0.00	1709 of 2832 - Sale <sup>25</sup>
	2.010	22.67	06/05/20	35.78	13.11 W	0.00	1710 of 2832 - Sale <sup>25</sup>
	5.290	59.64	06/05/20	94.34	34.70 W	0.00	1711 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	1712 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	1713 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	3.98	0.60 W	0.00	1714 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.16	0.78 W	0.00	1715 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.52	1.63 W	0.00	1716 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	9.76	1.87 W	0.00	1717 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	17.62	6.46 W	0.00	1718 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	17.81	6.54 W	0.00	1719 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.06	1.79 W	0.00	1720 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.06	1.79 W	0.00	1721 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.07	1.80 W	0.00	1722 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.05	1.78 W	0.00	1723 of 2832 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.27	06/05/20	12.96	1.69 W	0.00	1724 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.23	1.96 W	0.00	1725 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.23	1.96 W	0.00	1726 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.88	2.61 W	0.00	1727 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.84	2.57 W	0.00	1728 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.97	2.70 W	0.00	1729 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.96	2.69 W	0.00	1730 of 2832 - Sale <sup>25</sup>
	1.000	11.27	06/05/20	13.96	2.69 W	0.00	1731 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	17.99	6.61 W	0.00	1732 of 2832 - Sale <sup>25</sup>
	1.710	19.27	06/05/20	22.75	3.48 W	0.00	1733 of 2832 - Sale <sup>25</sup>
	1.990	22.43	06/05/20	26.00	3.57 W	0.00	1734 of 2832 - Sale <sup>25</sup>
	2.010	22.65	06/05/20	35.71	13.06 W	0.00	1735 of 2832 - Sale <sup>25</sup>
	2.010	22.65	06/05/20	26.22	3.57 W	0.00	1736 of 2832 - Sale <sup>25</sup>
	2.990	33.70	06/05/20	53.67	19.97 W	0.00	1737 of 2832 - Sale <sup>25</sup>
	2.990	33.70	06/05/20	39.61	5.91 W	0.00	1738 of 2832 - Sale <sup>25</sup>
	2.990	33.70	06/05/20	40.34	6.64 W	0.00	1739 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	17.62	6.46 W	0.00	1740 of 2832 - Sale <sup>25</sup>
	3.000	33.81	06/05/20	38.88	5.07 W	0.00	1741 of 2832 - Sale <sup>25</sup>
	4.300	48.46	06/05/20	58.46	10.00 W	0.00	1742 of 2832 - Sale <sup>25</sup>
	5.000	56.35	06/05/20	69.68	13.33 W	0.00	1743 of 2832 - Sale <sup>25</sup>
	6.010	67.73	06/05/20	81.81	14.08 W	0.00	1744 of 2832 - Sale <sup>25</sup>
	6.990	78.77	06/05/20	91.18	12.41 W	0.00	1745 of 2832 - Sale <sup>25</sup>
	4.980	56.12	06/05/20	64.10	7.98 W	0.00	1746 of 2832 - Sale <sup>25</sup>
	10.020	112.92	06/05/20	128.94	16.02 W	0.00	1747 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	1748 of 2832 - Sale <sup>25</sup>
	0.990	11.16	06/05/20	12.93	1.77 W	0.00	1749 of 2832 - Sale <sup>25</sup>
	1.010	11.38	06/05/20	12.96	1.58 W	0.00	1750 of 2832 - Sale <sup>25</sup>
	1.990	22.43	06/05/20	25.56	3.13 W	0.00	1751 of 2832 - Sale <sup>25</sup>
	3.980	44.85	06/05/20	51.05	6.20 W	0.00	1752 of 2832 - Sale <sup>25</sup>
	4.020	45.30	06/05/20	52.61	7.31 W	0.00	1753 of 2832 - Sale <sup>25</sup>
	13.000	146.50	06/05/20	166.74	20.24 W	0.00	1754 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.03	1.77 W	0.00	1755 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	12.89	1.63 W	0.00	1756 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.03	1.77 W	0.00	1757 of 2832 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.26	06/05/20	13.24	1.98 W	0.00	1758 of 2832 - Sale <sup>25</sup>
	1.010	11.37	06/05/20	13.22	1.85 W	0.00	1759 of 2832 - Sale <sup>25</sup>
	1.010	11.37	06/05/20	13.18	1.81 W	0.00	1760 of 2832 - Sale <sup>25</sup>
	1.010	11.37	06/05/20	13.12	1.75 W	0.00	1761 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	25.79	3.27 W	0.00	1762 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	25.79	3.27 W	0.00	1763 of 2832 - Sale <sup>25</sup>
	2.990	33.67	06/05/20	38.74	5.07 W	0.00	1764 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	39.17	5.39 W	0.00	1765 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	38.68	4.90 W	0.00	1766 of 2832 - Sale <sup>25</sup>
	3.010	33.89	06/05/20	39.13	5.24 W	0.00	1767 of 2832 - Sale <sup>25</sup>
	3.990	44.93	06/05/20	52.07	7.14 W	0.00	1768 of 2832 - Sale <sup>25</sup>
	4.010	45.15	06/05/20	53.06	7.91 W	0.00	1769 of 2832 - Sale <sup>25</sup>
	4.980	56.07	06/05/20	65.14	9.07 W	0.00	1770 of 2832 - Sale <sup>25</sup>
	5.000	56.30	06/05/20	65.20	8.90 W	0.00	1771 of 2832 - Sale <sup>25</sup>
	1.990	22.41	06/05/20	26.05	3.64 W	0.00	1772 of 2832 - Sale <sup>25</sup>
	9.000	101.34	06/05/20	117.08	15.74 W	0.00	1773 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1774 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.93	2.67 W	0.00	1775 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.89	2.63 W	0.00	1776 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.88	2.62 W	0.00	1777 of 2832 - Sale <sup>25</sup>
	1.990	22.41	06/05/20	27.69	5.28 W	0.00	1778 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	27.78	5.26 W	0.00	1779 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	27.78	5.26 W	0.00	1780 of 2832 - Sale <sup>25</sup>
	2.300	25.90	06/05/20	31.95	6.05 W	0.00	1781 of 2832 - Sale <sup>25</sup>
	2.700	30.40	06/05/20	36.94	6.54 W	0.00	1782 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	41.68	7.90 W	0.00	1783 of 2832 - Sale <sup>25</sup>
	6.000	67.56	06/05/20	83.23	15.67 W	0.00	1784 of 2832 - Sale <sup>25</sup>
	8.000	90.08	06/05/20	111.40	21.32 W	0.00	1785 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	9.26	1.38 W	0.00	1786 of 2832 - Sale <sup>25</sup>
	0.980	11.03	06/05/20	12.82	1.79 W	0.00	1787 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.86	2.60 W	0.00	1788 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.14	1.88 W	0.00	1789 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.23	1.97 W	0.00	1790 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.22	1.96 W	0.00	1791 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.26	06/05/20	13.25	1.99 W	0.00	1792 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.28	2.02 W	0.00	1793 of 2832 - Sale <sup>25</sup>
	1.700	19.14	06/05/20	22.38	3.24 W	0.00	1794 of 2832 - Sale <sup>25</sup>
	1.990	22.41	06/05/20	26.27	3.86 W	0.00	1795 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	26.70	4.18 W	0.00	1796 of 2832 - Sale <sup>25</sup>
	2.300	25.90	06/05/20	30.52	4.62 W	0.00	1797 of 2832 - Sale <sup>25</sup>
	2.300	25.90	06/05/20	30.50	4.60 W	0.00	1798 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	40.07	6.29 W	0.00	1799 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	39.63	5.85 W	0.00	1800 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	39.71	5.93 W	0.00	1801 of 2832 - Sale <sup>25</sup>
	3.020	34.00	06/05/20	39.46	5.46 W	0.00	1802 of 2832 - Sale <sup>25</sup>
	3.300	37.16	06/05/20	43.77	6.61 W	0.00	1803 of 2832 - Sale <sup>25</sup>
	4.000	45.04	06/05/20	55.91	10.87 W	0.00	1804 of 2832 - Sale <sup>25</sup>
	4.000	45.04	06/05/20	53.42	8.38 W	0.00	1805 of 2832 - Sale <sup>25</sup>
	4.000	45.04	06/05/20	52.60	7.56 W	0.00	1806 of 2832 - Sale <sup>25</sup>
	4.000	45.04	06/05/20	52.85	7.81 W	0.00	1807 of 2832 - Sale <sup>25</sup>
	6.700	75.44	06/05/20	88.83	13.39 W	0.00	1808 of 2832 - Sale <sup>25</sup>
	7.000	78.81	06/05/20	92.64	13.83 W	0.00	1809 of 2832 - Sale <sup>25</sup>
	7.000	78.81	06/05/20	91.11	12.30 W	0.00	1810 of 2832 - Sale <sup>25</sup>
	8.020	90.30	06/05/20	106.11	15.81 W	0.00	1811 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	1812 of 2832 - Sale <sup>25</sup>
	0.990	11.15	06/05/20	13.53	2.38 W	0.00	1813 of 2832 - Sale <sup>25</sup>
	0.990	11.15	06/05/20	13.73	2.58 W	0.00	1814 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.73	2.47 W	0.00	1815 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.76	2.50 W	0.00	1816 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.79	2.53 W	0.00	1817 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.78	2.52 W	0.00	1818 of 2832 - Sale <sup>25</sup>
	1.300	14.64	06/05/20	18.14	3.50 W	0.00	1819 of 2832 - Sale <sup>25</sup>
	5.700	64.18	06/05/20	79.50	15.32 W	0.00	1820 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.54	1.16 W	0.00	1821 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.55	2.67 W	0.00	1822 of 2832 - Sale <sup>25</sup>
	0.960	10.81	06/05/20	12.67	1.86 W	0.00	1823 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	39.47	5.69 W	0.00	1824 of 2832 - Sale <sup>25</sup>
	8.030	90.40	06/05/20	103.36	12.96 W	0.00	1825 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	13.010	146.48	06/05/20	171.48	25.00 W	0.00	1826 of 2832 - Sale <sup>25</sup>
	3.010	33.89	06/05/20	38.93	5.04 W	0.00	1827 of 2832 - Sale <sup>25</sup>
	5.020	56.52	06/05/20	64.92	8.40 W	0.00	1828 of 2832 - Sale <sup>25</sup>
	12.010	135.23	06/05/20	155.35	20.12 W	0.00	1829 of 2832 - Sale <sup>25</sup>
	4.960	55.85	06/05/20	63.84	7.99 W	0.00	1830 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.56	1.18 W	0.00	1831 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.60	2.72 W	0.00	1832 of 2832 - Sale <sup>25</sup>
	0.960	10.81	06/05/20	12.41	1.60 W	0.00	1833 of 2832 - Sale <sup>25</sup>
	1.010	11.37	06/05/20	15.18	3.81 W	0.00	1834 of 2832 - Sale <sup>25</sup>
	1.030	11.60	06/05/20	15.49	3.89 W	0.00	1835 of 2832 - Sale <sup>25</sup>
	5.000	56.30	06/05/20	75.14	18.84 W	0.00	1836 of 2832 - Sale <sup>25</sup>
	6.000	67.56	06/05/20	78.79	11.23 W	0.00	1837 of 2832 - Sale <sup>25</sup>
	10.000	112.60	06/05/20	132.85	20.25 W	0.00	1838 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.97	3.71 W	0.00	1839 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1840 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	12.41	1.15 W	0.00	1841 of 2832 - Sale <sup>25</sup>
	7.990	89.96	06/05/20	111.59	21.63 W	0.00	1842 of 2832 - Sale <sup>25</sup>
	0.260	2.93	06/05/20	3.87	0.94 W	0.00	1843 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.43	1.05 W	0.00	1844 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.42	1.04 W	0.00	1845 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.41	1.03 W	0.00	1846 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.40	1.02 W	0.00	1847 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.36	0.98 W	0.00	1848 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.33	2.45 W	0.00	1849 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.32	2.44 W	0.00	1850 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.30	2.42 W	0.00	1851 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.30	2.42 W	0.00	1852 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.14	2.26 W	0.00	1853 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.54	3.28 W	0.00	1854 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.48	3.22 W	0.00	1855 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.47	3.21 W	0.00	1856 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.53	3.27 W	0.00	1857 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.50	3.24 W	0.00	1858 of 2832 - Sale <sup>25</sup>
	1.010	11.37	06/05/20	14.92	3.55 W	0.00	1859 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.700	19.13	06/05/20	24.50	5.37 W	0.00	1860 of 2832 - Sale <sup>25</sup>
	1.990	22.41	06/05/20	29.32	6.91 W	0.00	1861 of 2832 - Sale <sup>25</sup>
	2.700	30.40	06/05/20	40.04	9.64 W	0.00	1862 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	44.21	10.43 W	0.00	1863 of 2832 - Sale <sup>25</sup>
	1.040	11.71	06/05/20	13.64	1.93 W	0.00	1864 of 2832 - Sale <sup>25</sup>
	3.270	36.82	06/05/20	48.49	11.67 W	0.00	1865 of 2832 - Sale <sup>25</sup>
	3.700	41.66	06/05/20	55.65	13.99 W	0.00	1866 of 2832 - Sale <sup>25</sup>
	5.030	56.64	06/05/20	74.61	17.97 W	0.00	1867 of 2832 - Sale <sup>25</sup>
	6.300	70.93	06/05/20	93.41	22.48 W	0.00	1868 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	1869 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.26	0.03 W	0.00	1870 of 2832 - Sale <sup>25</sup>
	0.290	3.27	06/05/20	4.13	0.86 W	0.00	1871 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	3.90	0.52 W	0.00	1872 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	9.06	1.18 W	0.00	1873 of 2832 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.00	2.12 W	0.00	1874 of 2832 - Sale <sup>25</sup>
	0.710	7.99	06/05/20	10.11	2.12 W	0.00	1875 of 2832 - Sale <sup>25</sup>
	0.980	11.03	06/05/20	12.92	1.89 W	0.00	1876 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.19	1.93 W	0.00	1877 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.12	1.86 W	0.00	1878 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.10	2.84 W	0.00	1879 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	14.19	2.93 W	0.00	1880 of 2832 - Sale <sup>25</sup>
	1.300	14.64	06/05/20	16.93	2.29 W	0.00	1881 of 2832 - Sale <sup>25</sup>
	1.300	14.64	06/05/20	17.12	2.48 W	0.00	1882 of 2832 - Sale <sup>25</sup>
	1.700	19.14	06/05/20	22.30	3.16 W	0.00	1883 of 2832 - Sale <sup>25</sup>
	1.720	19.37	06/05/20	22.65	3.28 W	0.00	1884 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	26.17	3.65 W	0.00	1885 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	26.16	3.64 W	0.00	1886 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	26.24	3.72 W	0.00	1887 of 2832 - Sale <sup>25</sup>
	2.010	22.63	06/05/20	26.31	3.68 W	0.00	1888 of 2832 - Sale <sup>25</sup>
	2.700	30.40	06/05/20	34.97	4.57 W	0.00	1889 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	39.50	5.72 W	0.00	1890 of 2832 - Sale <sup>25</sup>
	3.000	33.78	06/05/20	42.18	8.40 W	0.00	1891 of 2832 - Sale <sup>25</sup>
	1.970	22.18	06/05/20	25.85	3.67 W	0.00	1892 of 2832 - Sale <sup>25</sup>
	3.300	37.16	06/05/20	43.31	6.15 W	0.00	1893 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.300	37.16	06/05/20	46.16	9.00 W	0.00	1894 of 2832 - Sale <sup>25</sup>
	3.700	41.66	06/05/20	48.52	6.86 W	0.00	1895 of 2832 - Sale <sup>25</sup>
	4.300	48.41	06/05/20	60.76	12.35 W	0.00	1896 of 2832 - Sale <sup>25</sup>
	4.700	52.92	06/05/20	65.59	12.67 W	0.00	1897 of 2832 - Sale <sup>25</sup>
	4.970	55.96	06/05/20	64.53	8.57 W	0.00	1898 of 2832 - Sale <sup>25</sup>
	5.000	56.30	06/05/20	65.14	8.84 W	0.00	1899 of 2832 - Sale <sup>25</sup>
	5.000	56.30	06/05/20	64.59	8.29 W	0.00	1900 of 2832 - Sale <sup>25</sup>
	5.290	59.56	06/05/20	69.78	10.22 W	0.00	1901 of 2832 - Sale <sup>25</sup>
	5.990	67.44	06/05/20	78.36	10.92 W	0.00	1902 of 2832 - Sale <sup>25</sup>
	6.000	67.56	06/05/20	79.03	11.47 W	0.00	1903 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	13.96	2.70 W	0.00	1904 of 2832 - Sale <sup>25</sup>
	1.000	11.26	06/05/20	12.56	1.30 W	0.00	1905 of 2832 - Sale <sup>25</sup>
	2.000	22.52	06/05/20	24.77	2.25 W	0.00	1906 of 2832 - Sale <sup>25</sup>
	10.000	112.59	06/05/20	125.62	13.03 W	0.00	1907 of 2832 - Sale <sup>25</sup>
	2.040	22.97	06/05/20	25.27	2.30 W	0.00	1908 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.18	0.07 W	0.00	1909 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.33	0.10 W	0.00	1910 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.32	0.94 W	0.00	1911 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	11.92	4.02 W	0.00	1912 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	11.77	3.87 W	0.00	1913 of 2832 - Sale <sup>25</sup>
	0.710	8.01	06/05/20	12.00	3.99 W	0.00	1914 of 2832 - Sale <sup>25</sup>
	0.980	11.05	06/05/20	16.57	5.52 W	0.00	1915 of 2832 - Sale <sup>25</sup>
	0.980	11.05	06/05/20	16.46	5.41 W	0.00	1916 of 2832 - Sale <sup>25</sup>
	0.990	11.17	06/05/20	16.94	5.77 W	0.00	1917 of 2832 - Sale <sup>25</sup>
	0.990	11.17	06/05/20	16.87	5.70 W	0.00	1918 of 2832 - Sale <sup>25</sup>
	0.990	11.17	06/05/20	16.76	5.59 W	0.00	1919 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.36	1.08 W	0.00	1920 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	14.33	3.05 W	0.00	1921 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.92	5.64 W	0.00	1922 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.92	5.64 W	0.00	1923 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.76	5.48 W	0.00	1924 of 2832 - Sale <sup>25</sup>
	1.010	11.39	06/05/20	17.09	5.70 W	0.00	1925 of 2832 - Sale <sup>25</sup>
	1.020	11.51	06/05/20	12.51	1.00 W	0.00	1926 of 2832 - Sale <sup>25</sup>
	1.020	11.51	06/05/20	16.96	5.45 W	0.00	1927 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.300	14.66	06/05/20	22.24	7.58 W	0.00	1928 of 2832 - Sale <sup>25</sup>
	1.300	14.66	06/05/20	22.20	7.54 W	0.00	1929 of 2832 - Sale <sup>25</sup>
	1.300	14.66	06/05/20	21.97	7.31 W	0.00	1930 of 2832 - Sale <sup>25</sup>
	1.710	19.29	06/05/20	29.29	10.00 W	0.00	1931 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	24.77	2.21 W	0.00	1932 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	33.79	11.23 W	0.00	1933 of 2832 - Sale <sup>25</sup>
	2.300	25.94	06/05/20	38.99	13.05 W	0.00	1934 of 2832 - Sale <sup>25</sup>
	2.700	30.45	06/05/20	38.63	8.18 W	0.00	1935 of 2832 - Sale <sup>25</sup>
	2.980	33.61	06/05/20	36.52	2.91 W	0.00	1936 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	50.34	16.50 W	0.00	1937 of 2832 - Sale <sup>25</sup>
	3.010	33.95	06/05/20	51.38	17.43 W	0.00	1938 of 2832 - Sale <sup>25</sup>
	3.020	34.06	06/05/20	50.68	16.62 W	0.00	1939 of 2832 - Sale <sup>25</sup>
	8.960	101.07	06/05/20	111.00	9.93 W	0.00	1940 of 2832 - Sale <sup>25</sup>
	0.040	0.45	06/05/20	0.67	0.22 W	0.00	1941 of 2832 - Sale <sup>25</sup>
	0.960	10.83	06/05/20	15.88	5.05 W	0.00	1942 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.48	5.20 W	0.00	1943 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	1944 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.18	0.80 W	0.00	1945 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	9.76	1.86 W	0.00	1946 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.95	2.67 W	0.00	1947 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.92	1.64 W	0.00	1948 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.91	1.63 W	0.00	1949 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.81	1.53 W	0.00	1950 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	25.60	3.04 W	0.00	1951 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	43.67	9.83 W	0.00	1952 of 2832 - Sale <sup>25</sup>
	4.000	45.12	06/05/20	58.21	13.09 W	0.00	1953 of 2832 - Sale <sup>25</sup>
	11.000	124.07	06/05/20	140.87	16.80 W	0.00	1954 of 2832 - Sale <sup>25</sup>
	0.260	2.93	06/05/20	4.36	1.43 W	0.00	1955 of 2832 - Sale <sup>25</sup>
	0.290	3.27	06/05/20	3.98	0.71 W	0.00	1956 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.13	0.75 W	0.00	1957 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.05	0.67 W	0.00	1958 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	9.41	1.51 W	0.00	1959 of 2832 - Sale <sup>25</sup>
	0.710	8.01	06/05/20	10.22	2.21 W	0.00	1960 of 2832 - Sale <sup>25</sup>
	0.710	8.01	06/05/20	9.77	1.76 W	0.00	1961 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.710	8.01	06/05/20	9.64	1.63 W	0.00	1962 of 2832 - Sale <sup>25</sup>
	0.990	11.17	06/05/20	13.39	2.22 W	0.00	1963 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.20	4.92 W	0.00	1964 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	14.53	3.25 W	0.00	1965 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.42	2.14 W	0.00	1966 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.41	2.13 W	0.00	1967 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.31	2.03 W	0.00	1968 of 2832 - Sale <sup>25</sup>
	1.300	14.66	06/05/20	18.91	4.25 W	0.00	1969 of 2832 - Sale <sup>25</sup>
	1.300	14.66	06/05/20	17.44	2.78 W	0.00	1970 of 2832 - Sale <sup>25</sup>
	1.700	19.18	06/05/20	28.11	8.93 W	0.00	1971 of 2832 - Sale <sup>25</sup>
	3.700	41.73	06/05/20	49.26	7.53 W	0.00	1972 of 2832 - Sale <sup>25</sup>
	3.990	45.01	06/05/20	57.61	12.60 W	0.00	1973 of 2832 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.40	0.06 W	0.00	1974 of 2832 - Sale <sup>25</sup>
	0.700	7.89	06/05/20	11.95	4.06 W	0.00	1975 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.22	1.94 W	0.00	1976 of 2832 - Sale <sup>25</sup>
	1.030	11.62	06/05/20	17.43	5.81 W	0.00	1977 of 2832 - Sale <sup>25</sup>
	1.270	14.33	06/05/20	21.53	7.20 W	0.00	1978 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	26.45	3.89 W	0.00	1979 of 2832 - Sale <sup>25</sup>
	3.990	45.01	06/05/20	52.74	7.73 W	0.00	1980 of 2832 - Sale <sup>25</sup>
	3.980	44.89	06/05/20	52.60	7.71 W	0.00	1981 of 2832 - Sale <sup>25</sup>
	4.300	48.50	06/05/20	56.62	8.12 W	0.00	1982 of 2832 - Sale <sup>25</sup>
	2.030	22.90	06/05/20	34.75	11.85 W	0.00	1983 of 2832 - Sale <sup>25</sup>
	5.700	64.30	06/05/20	75.08	10.78 W	0.00	1984 of 2832 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.49	0.15 W	0.00	1985 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	5.08	1.70 W	0.00	1986 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	11.79	3.89 W	0.00	1987 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.89	5.61 W	0.00	1988 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.85	5.57 W	0.00	1989 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.38	5.10 W	0.00	1990 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.35	5.07 W	0.00	1991 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.30	5.02 W	0.00	1992 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.42	5.14 W	0.00	1993 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.41	5.13 W	0.00	1994 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.40	5.12 W	0.00	1995 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.28	06/05/20	16.37	5.09 W	0.00	1996 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.42	5.14 W	0.00	1997 of 2832 - Sale <sup>25</sup>
	1.010	11.39	06/05/20	16.46	5.07 W	0.00	1998 of 2832 - Sale <sup>25</sup>
	1.700	19.18	06/05/20	28.84	9.66 W	0.00	1999 of 2832 - Sale <sup>25</sup>
	1.990	22.45	06/05/20	32.71	10.26 W	0.00	2000 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	33.94	11.38 W	0.00	2001 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	33.96	11.40 W	0.00	2002 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	32.79	10.23 W	0.00	2003 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	50.71	16.87 W	0.00	2004 of 2832 - Sale <sup>25</sup>
	2.270	25.60	06/05/20	38.85	13.25 W	0.00	2005 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	14.00	2.72 W	0.00	2006 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	14.08	2.80 W	0.00	2007 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.89	2.61 W	0.00	2008 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.17	1.89 W	0.00	2009 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.26	1.98 W	0.00	2010 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.25	1.97 W	0.00	2011 of 2832 - Sale <sup>25</sup>
	1.700	19.17	06/05/20	22.43	3.26 W	0.00	2012 of 2832 - Sale <sup>25</sup>
	1.990	22.44	06/05/20	26.33	3.89 W	0.00	2013 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	26.76	4.20 W	0.00	2014 of 2832 - Sale <sup>25</sup>
	2.300	25.94	06/05/20	30.59	4.65 W	0.00	2015 of 2832 - Sale <sup>25</sup>
	2.300	25.94	06/05/20	30.57	4.63 W	0.00	2016 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	42.02	8.18 W	0.00	2017 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	40.16	6.32 W	0.00	2018 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	39.72	5.88 W	0.00	2019 of 2832 - Sale <sup>25</sup>
	4.000	45.12	06/05/20	56.03	10.91 W	0.00	2020 of 2832 - Sale <sup>25</sup>
	4.000	45.12	06/05/20	53.54	8.42 W	0.00	2021 of 2832 - Sale <sup>25</sup>
	4.000	45.12	06/05/20	52.72	7.60 W	0.00	2022 of 2832 - Sale <sup>25</sup>
	4.000	45.12	06/05/20	52.97	7.85 W	0.00	2023 of 2832 - Sale <sup>25</sup>
	5.710	64.40	06/05/20	75.87	11.47 W	0.00	2024 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	2025 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	9.28	1.34 W	0.00	2026 of 2832 - Sale <sup>25</sup>
	0.980	11.12	06/05/20	12.85	1.73 W	0.00	2027 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	13.28	1.94 W	0.00	2028 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	13.31	1.97 W	0.00	2029 of 2832 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.34	06/05/20	12.44	1.10 W	0.00	2030 of 2832 - Sale <sup>25</sup>
	3.000	34.03	06/05/20	39.80	5.77 W	0.00	2031 of 2832 - Sale <sup>25</sup>
	3.020	34.26	06/05/20	39.56	5.30 W	0.00	2032 of 2832 - Sale <sup>25</sup>
	3.300	37.44	06/05/20	43.87	6.43 W	0.00	2033 of 2832 - Sale <sup>25</sup>
	0.990	11.23	06/05/20	13.16	1.93 W	0.00	2034 of 2832 - Sale <sup>25</sup>
	7.000	79.41	06/05/20	92.86	13.45 W	0.00	2035 of 2832 - Sale <sup>25</sup>
	7.000	79.41	06/05/20	91.33	11.92 W	0.00	2036 of 2832 - Sale <sup>25</sup>
	7.990	90.65	06/05/20	111.84	21.19 W	0.00	2037 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	2038 of 2832 - Sale <sup>25</sup>
	0.990	11.23	06/05/20	12.95	1.72 W	0.00	2039 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.06	1.71 W	0.00	2040 of 2832 - Sale <sup>25</sup>
	1.010	11.46	06/05/20	12.98	1.52 W	0.00	2041 of 2832 - Sale <sup>25</sup>
	1.010	11.46	06/05/20	13.25	1.79 W	0.00	2042 of 2832 - Sale <sup>25</sup>
	1.990	22.58	06/05/20	25.60	3.02 W	0.00	2043 of 2832 - Sale <sup>25</sup>
	1.990	22.58	06/05/20	25.60	3.02 W	0.00	2044 of 2832 - Sale <sup>25</sup>
	1.990	22.58	06/05/20	26.11	3.53 W	0.00	2045 of 2832 - Sale <sup>25</sup>
	3.000	34.03	06/05/20	38.29	4.26 W	0.00	2046 of 2832 - Sale <sup>25</sup>
	3.000	34.03	06/05/20	39.26	5.23 W	0.00	2047 of 2832 - Sale <sup>25</sup>
	3.020	34.26	06/05/20	38.91	4.65 W	0.00	2048 of 2832 - Sale <sup>25</sup>
	3.980	45.15	06/05/20	51.13	5.98 W	0.00	2049 of 2832 - Sale <sup>25</sup>
	4.020	45.61	06/05/20	52.70	7.09 W	0.00	2050 of 2832 - Sale <sup>25</sup>
	4.980	56.50	06/05/20	64.20	7.70 W	0.00	2051 of 2832 - Sale <sup>25</sup>
	4.980	56.49	06/05/20	65.29	8.80 W	0.00	2052 of 2832 - Sale <sup>25</sup>
	3.020	34.26	06/05/20	39.38	5.12 W	0.00	2053 of 2832 - Sale <sup>25</sup>
	10.020	113.68	06/05/20	129.15	15.47 W	0.00	2054 of 2832 - Sale <sup>25</sup>
	13.000	147.48	06/05/20	167.01	19.53 W	0.00	2055 of 2832 - Sale <sup>25</sup>
	0.960	10.89	06/05/20	12.70	1.81 W	0.00	2056 of 2832 - Sale <sup>25</sup>
	0.960	10.88	06/05/20	12.44	1.56 W	0.00	2057 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	12.92	1.58 W	0.00	2058 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	13.06	1.72 W	0.00	2059 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	13.27	1.93 W	0.00	2060 of 2832 - Sale <sup>25</sup>
	1.010	11.45	06/05/20	13.21	1.76 W	0.00	2061 of 2832 - Sale <sup>25</sup>
	1.010	11.45	06/05/20	13.15	1.70 W	0.00	2062 of 2832 - Sale <sup>25</sup>
	2.000	22.68	06/05/20	25.85	3.17 W	0.00	2063 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.68	06/05/20	25.85	3.17 W	0.00	2064 of 2832 - Sale <sup>25</sup>
	2.990	33.91	06/05/20	38.83	4.92 W	0.00	2065 of 2832 - Sale <sup>25</sup>
	3.000	34.02	06/05/20	38.77	4.75 W	0.00	2066 of 2832 - Sale <sup>25</sup>
	3.000	34.02	06/05/20	39.56	5.54 W	0.00	2067 of 2832 - Sale <sup>25</sup>
	3.010	34.13	06/05/20	39.22	5.09 W	0.00	2068 of 2832 - Sale <sup>25</sup>
	3.010	34.13	06/05/20	39.02	4.89 W	0.00	2069 of 2832 - Sale <sup>25</sup>
	3.990	45.24	06/05/20	52.19	6.95 W	0.00	2070 of 2832 - Sale <sup>25</sup>
	4.010	45.47	06/05/20	53.18	7.71 W	0.00	2071 of 2832 - Sale <sup>25</sup>
	4.960	56.24	06/05/20	63.99	7.75 W	0.00	2072 of 2832 - Sale <sup>25</sup>
	5.000	56.70	06/05/20	65.35	8.65 W	0.00	2073 of 2832 - Sale <sup>25</sup>
	5.020	56.93	06/05/20	65.08	8.15 W	0.00	2074 of 2832 - Sale <sup>25</sup>
	8.020	90.94	06/05/20	106.36	15.42 W	0.00	2075 of 2832 - Sale <sup>25</sup>
	8.030	91.06	06/05/20	103.62	12.56 W	0.00	2076 of 2832 - Sale <sup>25</sup>
	5.980	67.81	06/05/20	77.97	10.16 W	0.00	2077 of 2832 - Sale <sup>25</sup>
	1.020	11.57	06/05/20	13.58	2.01 W	0.00	2078 of 2832 - Sale <sup>25</sup>
	12.010	136.19	06/05/20	155.71	19.52 W	0.00	2079 of 2832 - Sale <sup>25</sup>
	13.010	147.53	06/05/20	171.88	24.35 W	0.00	2080 of 2832 - Sale <sup>25</sup>
	3.000	34.02	06/05/20	39.95	5.93 W	0.00	2081 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	2082 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.26	0.03 W	0.00	2083 of 2832 - Sale <sup>25</sup>
	0.260	2.95	06/05/20	3.88	0.93 W	0.00	2084 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.57	1.17 W	0.00	2085 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.44	1.04 W	0.00	2086 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.43	1.03 W	0.00	2087 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.42	1.02 W	0.00	2088 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.41	1.01 W	0.00	2089 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.37	0.97 W	0.00	2090 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	3.91	0.51 W	0.00	2091 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	10.62	2.68 W	0.00	2092 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	10.35	2.41 W	0.00	2093 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	10.34	2.40 W	0.00	2094 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	10.32	2.38 W	0.00	2095 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	10.32	2.38 W	0.00	2096 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	10.16	2.22 W	0.00	2097 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.34	06/05/20	15.00	3.66 W	0.00	2098 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	14.57	3.23 W	0.00	2099 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	14.51	3.17 W	0.00	2100 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	14.50	3.16 W	0.00	2101 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	14.56	3.22 W	0.00	2102 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	14.53	3.19 W	0.00	2103 of 2832 - Sale <sup>25</sup>
	1.000	11.34	06/05/20	13.22	1.88 W	0.00	2104 of 2832 - Sale <sup>25</sup>
	1.010	11.45	06/05/20	15.21	3.76 W	0.00	2105 of 2832 - Sale <sup>25</sup>
	1.010	11.45	06/05/20	14.95	3.50 W	0.00	2106 of 2832 - Sale <sup>25</sup>
	1.030	11.68	06/05/20	15.52	3.84 W	0.00	2107 of 2832 - Sale <sup>25</sup>
	1.040	11.79	06/05/20	13.67	1.88 W	0.00	2108 of 2832 - Sale <sup>25</sup>
	1.300	14.74	06/05/20	16.97	2.23 W	0.00	2109 of 2832 - Sale <sup>25</sup>
	1.700	19.28	06/05/20	24.56	5.28 W	0.00	2110 of 2832 - Sale <sup>25</sup>
	1.970	22.34	06/05/20	25.91	3.57 W	0.00	2111 of 2832 - Sale <sup>25</sup>
	1.990	22.57	06/05/20	29.38	6.81 W	0.00	2112 of 2832 - Sale <sup>25</sup>
	2.040	23.13	06/05/20	26.98	3.85 W	0.00	2113 of 2832 - Sale <sup>25</sup>
	2.700	30.62	06/05/20	40.12	9.50 W	0.00	2114 of 2832 - Sale <sup>25</sup>
	2.700	30.62	06/05/20	35.05	4.43 W	0.00	2115 of 2832 - Sale <sup>25</sup>
	3.000	34.02	06/05/20	44.30	10.28 W	0.00	2116 of 2832 - Sale <sup>25</sup>
	3.000	34.02	06/05/20	39.59	5.57 W	0.00	2117 of 2832 - Sale <sup>25</sup>
	3.270	37.08	06/05/20	48.59	11.51 W	0.00	2118 of 2832 - Sale <sup>25</sup>
	3.700	41.96	06/05/20	55.76	13.80 W	0.00	2119 of 2832 - Sale <sup>25</sup>
	3.700	41.96	06/05/20	48.63	6.67 W	0.00	2120 of 2832 - Sale <sup>25</sup>
	4.970	56.35	06/05/20	64.68	8.33 W	0.00	2121 of 2832 - Sale <sup>25</sup>
	5.000	56.70	06/05/20	75.29	18.59 W	0.00	2122 of 2832 - Sale <sup>25</sup>
	5.000	56.70	06/05/20	65.29	8.59 W	0.00	2123 of 2832 - Sale <sup>25</sup>
	5.000	56.69	06/05/20	64.74	8.05 W	0.00	2124 of 2832 - Sale <sup>25</sup>
	5.030	57.04	06/05/20	74.76	17.72 W	0.00	2125 of 2832 - Sale <sup>25</sup>
	6.000	68.04	06/05/20	78.97	10.93 W	0.00	2126 of 2832 - Sale <sup>25</sup>
	6.300	71.44	06/05/20	93.61	22.17 W	0.00	2127 of 2832 - Sale <sup>25</sup>
	5.980	67.81	06/05/20	79.62	11.81 W	0.00	2128 of 2832 - Sale <sup>25</sup>
	0.970	11.00	06/05/20	15.93	4.93 W	0.00	2129 of 2832 - Sale <sup>25</sup>
	2.000	22.68	06/05/20	32.96	10.28 W	0.00	2130 of 2832 - Sale <sup>25</sup>
	2.000	22.68	06/05/20	32.97	10.29 W	0.00	2131 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.300	26.07	06/05/20	37.92	11.85 W	0.00	2132 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	11.59	3.65 W	0.00	2133 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	2134 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	5.43	2.03 W	0.00	2135 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	3.99	0.58 W	0.00	2136 of 2832 - Sale <sup>25</sup>
	0.300	3.41	06/05/20	4.17	0.76 W	0.00	2137 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	11.65	3.71 W	0.00	2138 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	12.48	4.54 W	0.00	2139 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	9.53	1.59 W	0.00	2140 of 2832 - Sale <sup>25</sup>
	0.700	7.94	06/05/20	9.77	1.83 W	0.00	2141 of 2832 - Sale <sup>25</sup>
	0.990	11.24	06/05/20	17.64	6.40 W	0.00	2142 of 2832 - Sale <sup>25</sup>
	0.990	11.24	06/05/20	17.64	6.40 W	0.00	2143 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	16.86	5.51 W	0.00	2144 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	17.93	6.58 W	0.00	2145 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	17.99	6.64 W	0.00	2146 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	17.83	6.48 W	0.00	2147 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.08	1.73 W	0.00	2148 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.08	1.73 W	0.00	2149 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.09	1.74 W	0.00	2150 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.07	1.72 W	0.00	2151 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	12.98	1.63 W	0.00	2152 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.25	1.90 W	0.00	2153 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.25	1.90 W	0.00	2154 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.90	2.55 W	0.00	2155 of 2832 - Sale <sup>25</sup>
	1.000	11.35	06/05/20	13.86	2.51 W	0.00	2156 of 2832 - Sale <sup>25</sup>
	1.010	11.46	06/05/20	16.86	5.40 W	0.00	2157 of 2832 - Sale <sup>25</sup>
	1.010	11.46	06/05/20	18.01	6.55 W	0.00	2158 of 2832 - Sale <sup>25</sup>
	1.710	19.41	06/05/20	22.79	3.38 W	0.00	2159 of 2832 - Sale <sup>25</sup>
	1.980	22.47	06/05/20	35.60	13.13 W	0.00	2160 of 2832 - Sale <sup>25</sup>
	1.990	22.59	06/05/20	26.04	3.45 W	0.00	2161 of 2832 - Sale <sup>25</sup>
	2.010	22.81	06/05/20	36.08	13.27 W	0.00	2162 of 2832 - Sale <sup>25</sup>
	2.010	22.81	06/05/20	36.01	13.20 W	0.00	2163 of 2832 - Sale <sup>25</sup>
	2.010	22.81	06/05/20	35.80	12.99 W	0.00	2164 of 2832 - Sale <sup>25</sup>
	2.010	22.81	06/05/20	35.75	12.94 W	0.00	2165 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.010	22.81	06/05/20	26.26	3.45 W	0.00	2166 of 2832 - Sale <sup>25</sup>
	2.290	25.99	06/05/20	38.16	12.17 W	0.00	2167 of 2832 - Sale <sup>25</sup>
	2.700	30.64	06/05/20	48.57	17.93 W	0.00	2168 of 2832 - Sale <sup>25</sup>
	2.990	33.94	06/05/20	53.73	19.79 W	0.00	2169 of 2832 - Sale <sup>25</sup>
	2.990	33.94	06/05/20	39.67	5.73 W	0.00	2170 of 2832 - Sale <sup>25</sup>
	2.990	33.94	06/05/20	40.40	6.46 W	0.00	2171 of 2832 - Sale <sup>25</sup>
	3.000	34.05	06/05/20	54.01	19.96 W	0.00	2172 of 2832 - Sale <sup>25</sup>
	3.000	34.05	06/05/20	38.94	4.89 W	0.00	2173 of 2832 - Sale <sup>25</sup>
	3.000	34.05	06/05/20	49.65	15.60 W	0.00	2174 of 2832 - Sale <sup>25</sup>
	4.000	45.40	06/05/20	66.60	21.20 W	0.00	2175 of 2832 - Sale <sup>25</sup>
	4.300	48.80	06/05/20	58.55	9.75 W	0.00	2176 of 2832 - Sale <sup>25</sup>
	4.990	56.63	06/05/20	89.52	32.89 W	0.00	2177 of 2832 - Sale <sup>25</sup>
	5.290	60.04	06/05/20	94.42	34.38 W	0.00	2178 of 2832 - Sale <sup>25</sup>
	6.010	68.21	06/05/20	81.93	13.72 W	0.00	2179 of 2832 - Sale <sup>25</sup>
	6.990	79.34	06/05/20	91.33	11.99 W	0.00	2180 of 2832 - Sale <sup>25</sup>
	9.020	102.38	06/05/20	162.42	60.04 W	0.00	2181 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	2182 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	2183 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	2184 of 2832 - Sale <sup>25</sup>
	0.990	11.21	06/05/20	13.56	2.35 W	0.00	2185 of 2832 - Sale <sup>25</sup>
	0.990	11.21	06/05/20	13.76	2.55 W	0.00	2186 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.99	2.67 W	0.00	2187 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.98	2.66 W	0.00	2188 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.98	2.66 W	0.00	2189 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.96	2.64 W	0.00	2190 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.92	2.60 W	0.00	2191 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.91	2.59 W	0.00	2192 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.76	2.44 W	0.00	2193 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.79	2.47 W	0.00	2194 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.82	2.50 W	0.00	2195 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.81	2.49 W	0.00	2196 of 2832 - Sale <sup>25</sup>
	1.300	14.72	06/05/20	18.18	3.46 W	0.00	2197 of 2832 - Sale <sup>25</sup>
	1.990	22.53	06/05/20	27.75	5.22 W	0.00	2198 of 2832 - Sale <sup>25</sup>
	2.000	22.64	06/05/20	27.84	5.20 W	0.00	2199 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.64	06/05/20	27.84	5.20 W	0.00	2200 of 2832 - Sale <sup>25</sup>
	2.300	26.03	06/05/20	32.02	5.99 W	0.00	2201 of 2832 - Sale <sup>25</sup>
	2.700	30.56	06/05/20	37.02	6.46 W	0.00	2202 of 2832 - Sale <sup>25</sup>
	3.000	33.96	06/05/20	41.77	7.81 W	0.00	2203 of 2832 - Sale <sup>25</sup>
	5.000	56.60	06/05/20	69.78	13.18 W	0.00	2204 of 2832 - Sale <sup>25</sup>
	5.700	64.52	06/05/20	79.67	15.15 W	0.00	2205 of 2832 - Sale <sup>25</sup>
	6.000	67.92	06/05/20	83.41	15.49 W	0.00	2206 of 2832 - Sale <sup>25</sup>
	8.000	90.56	06/05/20	111.64	21.08 W	0.00	2207 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.55	1.15 W	0.00	2208 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	10.57	2.65 W	0.00	2209 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.22	1.90 W	0.00	2210 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.20	1.88 W	0.00	2211 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.18	1.86 W	0.00	2212 of 2832 - Sale <sup>25</sup>
	0.250	2.83	06/05/20	3.29	0.46 W	0.00	2213 of 2832 - Sale <sup>25</sup>
	0.980	11.09	06/05/20	12.89	1.80 W	0.00	2214 of 2832 - Sale <sup>25</sup>
	1.050	11.89	06/05/20	13.79	1.90 W	0.00	2215 of 2832 - Sale <sup>25</sup>
	1.720	19.47	06/05/20	22.59	3.12 W	0.00	2216 of 2832 - Sale <sup>25</sup>
	6.000	67.92	06/05/20	78.81	10.89 W	0.00	2217 of 2832 - Sale <sup>25</sup>
	0.250	2.83	06/05/20	3.27	0.44 W	0.00	2218 of 2832 - Sale <sup>25</sup>
	1.700	19.24	06/05/20	22.11	2.87 W	0.00	2219 of 2832 - Sale <sup>25</sup>
	2.000	22.64	06/05/20	25.95	3.31 W	0.00	2220 of 2832 - Sale <sup>25</sup>
	2.000	22.64	06/05/20	25.94	3.30 W	0.00	2221 of 2832 - Sale <sup>25</sup>
	2.010	22.75	06/05/20	26.09	3.34 W	0.00	2222 of 2832 - Sale <sup>25</sup>
	2.040	23.09	06/05/20	26.47	3.38 W	0.00	2223 of 2832 - Sale <sup>25</sup>
	0.750	8.49	06/05/20	9.76	1.27 W	0.00	2224 of 2832 - Sale <sup>25</sup>
	2.000	22.64	06/05/20	26.02	3.38 W	0.00	2225 of 2832 - Sale <sup>25</sup>
	3.300	37.35	06/05/20	42.95	5.60 W	0.00	2226 of 2832 - Sale <sup>25</sup>
	3.950	44.71	06/05/20	51.24	6.53 W	0.00	2227 of 2832 - Sale <sup>25</sup>
	0.050	0.57	06/05/20	0.70	0.13 W	0.00	2228 of 2832 - Sale <sup>25</sup>
	0.250	2.83	06/05/20	3.24	0.41 W	0.00	2229 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	8.97	1.05 W	0.00	2230 of 2832 - Sale <sup>25</sup>
	0.950	10.75	06/05/20	13.29	2.54 W	0.00	2231 of 2832 - Sale <sup>25</sup>
	1.050	11.89	06/05/20	14.57	2.68 W	0.00	2232 of 2832 - Sale <sup>25</sup>
	3.000	33.96	06/05/20	41.85	7.89 W	0.00	2233 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.64	06/05/20	27.70	5.06 W	0.00	2234 of 2832 - Sale <sup>25</sup>
	0.250	2.83	06/05/20	3.46	0.63 W	0.00	2235 of 2832 - Sale <sup>25</sup>
	1.750	19.81	06/05/20	24.17	4.36 W	0.00	2236 of 2832 - Sale <sup>25</sup>
	2.000	22.64	06/05/20	27.61	4.97 W	0.00	2237 of 2832 - Sale <sup>25</sup>
	0.050	0.57	06/05/20	0.69	0.12 W	0.00	2238 of 2832 - Sale <sup>25</sup>
	0.950	10.75	06/05/20	13.08	2.33 W	0.00	2239 of 2832 - Sale <sup>25</sup>
	1.000	11.31	06/05/20	13.96	2.65 W	0.00	2240 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.95	2.67 W	0.00	2241 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.95	2.67 W	0.00	2242 of 2832 - Sale <sup>25</sup>
	0.290	3.27	06/05/20	4.07	0.80 W	0.00	2243 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	9.87	1.97 W	0.00	2244 of 2832 - Sale <sup>25</sup>
	0.710	8.01	06/05/20	9.98	1.97 W	0.00	2245 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	14.01	2.73 W	0.00	2246 of 2832 - Sale <sup>25</sup>
	1.250	14.10	06/05/20	17.43	3.33 W	0.00	2247 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.78	2.50 W	0.00	2248 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.38	1.10 W	0.00	2249 of 2832 - Sale <sup>25</sup>
	2.050	23.12	06/05/20	25.37	2.25 W	0.00	2250 of 2832 - Sale <sup>25</sup>
	5.000	56.40	06/05/20	61.82	5.42 W	0.00	2251 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	24.55	1.99 W	0.00	2252 of 2832 - Sale <sup>25</sup>
	2.040	23.01	06/05/20	25.05	2.04 W	0.00	2253 of 2832 - Sale <sup>25</sup>
	2.950	33.27	06/05/20	36.73	3.46 W	0.00	2254 of 2832 - Sale <sup>25</sup>
	3.010	33.95	06/05/20	36.90	2.95 W	0.00	2255 of 2832 - Sale <sup>25</sup>
	5.000	56.40	06/05/20	61.14	4.74 W	0.00	2256 of 2832 - Sale <sup>25</sup>
	0.050	0.56	06/05/20	0.61	0.05 W	0.00	2257 of 2832 - Sale <sup>25</sup>
	0.100	1.13	06/05/20	1.42	0.29 W	0.00	2258 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.27	0.89 W	0.00	2259 of 2832 - Sale <sup>25</sup>
	0.900	10.15	06/05/20	10.97	0.82 W	0.00	2260 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.17	0.89 W	0.00	2261 of 2832 - Sale <sup>25</sup>
	1.020	11.51	06/05/20	12.31	0.80 W	0.00	2262 of 2832 - Sale <sup>25</sup>
	2.000	22.56	06/05/20	24.38	1.82 W	0.00	2263 of 2832 - Sale <sup>25</sup>
	2.700	30.46	06/05/20	38.10	7.64 W	0.00	2264 of 2832 - Sale <sup>25</sup>
	2.980	33.61	06/05/20	35.94	2.33 W	0.00	2265 of 2832 - Sale <sup>25</sup>
	0.100	1.13	06/05/20	1.41	0.28 W	0.00	2266 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.18	0.07 W	0.00	2267 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.710	8.01	06/05/20	11.94	3.93 W	0.00	2268 of 2832 - Sale <sup>25</sup>
	0.800	9.02	06/05/20	13.57	4.55 W	0.00	2269 of 2832 - Sale <sup>25</sup>
	0.900	10.15	06/05/20	15.13	4.98 W	0.00	2270 of 2832 - Sale <sup>25</sup>
	0.980	11.05	06/05/20	16.50	5.45 W	0.00	2271 of 2832 - Sale <sup>25</sup>
	0.990	11.17	06/05/20	16.68	5.51 W	0.00	2272 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.84	5.56 W	0.00	2273 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.84	5.56 W	0.00	2274 of 2832 - Sale <sup>25</sup>
	1.010	11.39	06/05/20	17.01	5.62 W	0.00	2275 of 2832 - Sale <sup>25</sup>
	1.300	14.66	06/05/20	21.87	7.21 W	0.00	2276 of 2832 - Sale <sup>25</sup>
	2.300	25.94	06/05/20	38.81	12.87 W	0.00	2277 of 2832 - Sale <sup>25</sup>
	0.190	2.14	06/05/20	3.20	1.06 W	0.00	2278 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	11.79	3.89 W	0.00	2279 of 2832 - Sale <sup>25</sup>
	0.800	9.02	06/05/20	11.32	2.30 W	0.00	2280 of 2832 - Sale <sup>25</sup>
	0.990	11.17	06/05/20	16.76	5.59 W	0.00	2281 of 2832 - Sale <sup>25</sup>
	1.300	14.66	06/05/20	22.01	7.35 W	0.00	2282 of 2832 - Sale <sup>25</sup>
	1.300	14.66	06/05/20	21.97	7.31 W	0.00	2283 of 2832 - Sale <sup>25</sup>
	1.710	19.29	06/05/20	28.98	9.69 W	0.00	2284 of 2832 - Sale <sup>25</sup>
	3.010	33.95	06/05/20	50.84	16.89 W	0.00	2285 of 2832 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.12	0.74 W	0.00	2286 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	9.61	1.71 W	0.00	2287 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.74	2.46 W	0.00	2288 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.71	1.43 W	0.00	2289 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.70	1.42 W	0.00	2290 of 2832 - Sale <sup>25</sup>
	1.850	20.87	06/05/20	23.29	2.42 W	0.00	2291 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	43.04	9.20 W	0.00	2292 of 2832 - Sale <sup>25</sup>
	4.000	45.13	06/05/20	57.37	12.24 W	0.00	2293 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	2294 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	12.60	1.32 W	0.00	2295 of 2832 - Sale <sup>25</sup>
	0.150	1.69	06/05/20	1.89	0.20 W	0.00	2296 of 2832 - Sale <sup>25</sup>
	11.000	124.08	06/05/20	138.57	14.49 W	0.00	2297 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.32	0.09 W	0.00	2298 of 2832 - Sale <sup>25</sup>
	0.040	0.45	06/05/20	0.66	0.21 W	0.00	2299 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	11.62	3.72 W	0.00	2300 of 2832 - Sale <sup>25</sup>
	0.960	10.82	06/05/20	15.68	4.86 W	0.00	2301 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.980	11.05	06/05/20	16.26	5.21 W	0.00	2302 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.55	5.27 W	0.00	2303 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	16.27	4.99 W	0.00	2304 of 2832 - Sale <sup>25</sup>
	1.020	11.51	06/05/20	16.74	5.23 W	0.00	2305 of 2832 - Sale <sup>25</sup>
	1.100	12.41	06/05/20	18.36	5.95 W	0.00	2306 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	49.71	15.87 W	0.00	2307 of 2832 - Sale <sup>25</sup>
	3.020	34.07	06/05/20	50.05	15.98 W	0.00	2308 of 2832 - Sale <sup>25</sup>
	0.180	2.03	06/05/20	2.39	0.36 W	0.00	2309 of 2832 - Sale <sup>25</sup>
	0.260	2.94	06/05/20	4.31	1.37 W	0.00	2310 of 2832 - Sale <sup>25</sup>
	0.290	3.27	06/05/20	3.92	0.65 W	0.00	2311 of 2832 - Sale <sup>25</sup>
	0.300	3.39	06/05/20	4.07	0.68 W	0.00	2312 of 2832 - Sale <sup>25</sup>
	0.710	8.02	06/05/20	10.07	2.05 W	0.00	2313 of 2832 - Sale <sup>25</sup>
	0.710	8.02	06/05/20	9.62	1.60 W	0.00	2314 of 2832 - Sale <sup>25</sup>
	0.710	8.02	06/05/20	9.49	1.47 W	0.00	2315 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	15.99	4.70 W	0.00	2316 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	14.32	3.03 W	0.00	2317 of 2832 - Sale <sup>25</sup>
	1.300	14.68	06/05/20	18.64	3.96 W	0.00	2318 of 2832 - Sale <sup>25</sup>
	1.700	19.19	06/05/20	27.75	8.56 W	0.00	2319 of 2832 - Sale <sup>25</sup>
	3.990	45.05	06/05/20	56.77	11.72 W	0.00	2320 of 2832 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.39	0.05 W	0.00	2321 of 2832 - Sale <sup>25</sup>
	0.300	3.39	06/05/20	3.99	0.60 W	0.00	2322 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	9.25	1.35 W	0.00	2323 of 2832 - Sale <sup>25</sup>
	0.810	9.14	06/05/20	10.78	1.64 W	0.00	2324 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	13.20	1.91 W	0.00	2325 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	13.19	1.90 W	0.00	2326 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	13.09	1.80 W	0.00	2327 of 2832 - Sale <sup>25</sup>
	1.160	13.10	06/05/20	15.08	1.98 W	0.00	2328 of 2832 - Sale <sup>25</sup>
	1.300	14.68	06/05/20	17.16	2.48 W	0.00	2329 of 2832 - Sale <sup>25</sup>
	3.700	41.77	06/05/20	48.45	6.68 W	0.00	2330 of 2832 - Sale <sup>25</sup>
	0.190	2.14	06/05/20	2.46	0.32 W	0.00	2331 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	12.99	1.70 W	0.00	2332 of 2832 - Sale <sup>25</sup>
	2.000	22.58	06/05/20	25.99	3.41 W	0.00	2333 of 2832 - Sale <sup>25</sup>
	2.820	31.84	06/05/20	36.62	4.78 W	0.00	2334 of 2832 - Sale <sup>25</sup>
	3.990	45.04	06/05/20	51.82	6.78 W	0.00	2335 of 2832 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.29	06/05/20	13.77	2.48 W	0.00	2336 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	13.85	2.56 W	0.00	2337 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	13.66	2.37 W	0.00	2338 of 2832 - Sale <sup>25</sup>
	2.160	24.38	06/05/20	29.76	5.38 W	0.00	2339 of 2832 - Sale <sup>25</sup>
	3.000	33.87	06/05/20	41.33	7.46 W	0.00	2340 of 2832 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.48	0.14 W	0.00	2341 of 2832 - Sale <sup>25</sup>
	0.300	3.39	06/05/20	5.02	1.63 W	0.00	2342 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	11.80	3.90 W	0.00	2343 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	11.62	3.72 W	0.00	2344 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.66	5.37 W	0.00	2345 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.62	5.33 W	0.00	2346 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.15	4.86 W	0.00	2347 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.12	4.83 W	0.00	2348 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.07	4.78 W	0.00	2349 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.19	4.90 W	0.00	2350 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.18	4.89 W	0.00	2351 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.17	4.88 W	0.00	2352 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.14	4.85 W	0.00	2353 of 2832 - Sale <sup>25</sup>
	1.000	11.29	06/05/20	16.19	4.90 W	0.00	2354 of 2832 - Sale <sup>25</sup>
	1.010	11.40	06/05/20	16.23	4.83 W	0.00	2355 of 2832 - Sale <sup>25</sup>
	1.030	11.63	06/05/20	17.19	5.56 W	0.00	2356 of 2832 - Sale <sup>25</sup>
	1.270	14.34	06/05/20	21.23	6.89 W	0.00	2357 of 2832 - Sale <sup>25</sup>
	1.700	19.19	06/05/20	28.45	9.26 W	0.00	2358 of 2832 - Sale <sup>25</sup>
	1.990	22.46	06/05/20	32.25	9.79 W	0.00	2359 of 2832 - Sale <sup>25</sup>
	2.000	22.58	06/05/20	33.48	10.90 W	0.00	2360 of 2832 - Sale <sup>25</sup>
	2.000	22.58	06/05/20	33.50	10.92 W	0.00	2361 of 2832 - Sale <sup>25</sup>
	2.000	22.58	06/05/20	32.33	9.75 W	0.00	2362 of 2832 - Sale <sup>25</sup>
	2.030	22.92	06/05/20	34.28	11.36 W	0.00	2363 of 2832 - Sale <sup>25</sup>
	2.270	25.63	06/05/20	38.33	12.70 W	0.00	2364 of 2832 - Sale <sup>25</sup>
	3.000	33.87	06/05/20	50.02	16.15 W	0.00	2365 of 2832 - Sale <sup>25</sup>
	4.110	46.40	06/05/20	53.18	6.78 W	0.00	2366 of 2832 - Sale <sup>25</sup>
	5.700	64.35	06/05/20	73.76	9.41 W	0.00	2367 of 2832 - Sale <sup>25</sup>
	1.700	19.19	06/05/20	22.42	3.23 W	0.00	2368 of 2832 - Sale <sup>25</sup>
	1.840	20.77	06/05/20	25.76	4.99 W	0.00	2369 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.58	06/05/20	26.74	4.16 W	0.00	2370 of 2832 - Sale <sup>25</sup>
	2.300	25.96	06/05/20	30.57	4.61 W	0.00	2371 of 2832 - Sale <sup>25</sup>
	3.000	33.87	06/05/20	40.13	6.26 W	0.00	2372 of 2832 - Sale <sup>25</sup>
	4.000	45.15	06/05/20	53.50	8.35 W	0.00	2373 of 2832 - Sale <sup>25</sup>
	2.010	22.69	06/05/20	26.47	3.78 W	0.00	2374 of 2832 - Sale <sup>25</sup>
	0.990	11.17	06/05/20	13.09	1.92 W	0.00	2375 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.16	1.88 W	0.00	2376 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.25	1.97 W	0.00	2377 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.24	1.96 W	0.00	2378 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.21	1.93 W	0.00	2379 of 2832 - Sale <sup>25</sup>
	1.990	22.45	06/05/20	26.32	3.87 W	0.00	2380 of 2832 - Sale <sup>25</sup>
	2.170	24.48	06/05/20	28.62	4.14 W	0.00	2381 of 2832 - Sale <sup>25</sup>
	2.300	25.94	06/05/20	30.55	4.61 W	0.00	2382 of 2832 - Sale <sup>25</sup>
	3.000	33.84	06/05/20	39.69	5.85 W	0.00	2383 of 2832 - Sale <sup>25</sup>
	1.990	22.45	06/05/20	26.21	3.76 W	0.00	2384 of 2832 - Sale <sup>25</sup>
	4.000	45.12	06/05/20	52.93	7.81 W	0.00	2385 of 2832 - Sale <sup>25</sup>
	5.710	64.41	06/05/20	75.82	11.41 W	0.00	2386 of 2832 - Sale <sup>25</sup>
	7.000	78.95	06/05/20	92.34	13.39 W	0.00	2387 of 2832 - Sale <sup>25</sup>
	0.700	7.90	06/05/20	9.23	1.33 W	0.00	2388 of 2832 - Sale <sup>25</sup>
	0.830	9.36	06/05/20	10.95	1.59 W	0.00	2389 of 2832 - Sale <sup>25</sup>
	0.980	11.05	06/05/20	12.77	1.72 W	0.00	2390 of 2832 - Sale <sup>25</sup>
	1.000	11.28	06/05/20	13.24	1.96 W	0.00	2391 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.25	0.02 W	0.00	2392 of 2832 - Sale <sup>25</sup>
	3.020	34.06	06/05/20	39.34	5.28 W	0.00	2393 of 2832 - Sale <sup>25</sup>
	3.300	37.22	06/05/20	43.62	6.40 W	0.00	2394 of 2832 - Sale <sup>25</sup>
	7.000	78.95	06/05/20	90.81	11.86 W	0.00	2395 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	2396 of 2832 - Sale <sup>25</sup>
	0.980	11.07	06/05/20	12.12	1.05 W	0.00	2397 of 2832 - Sale <sup>25</sup>
	7.990	90.28	06/05/20	111.24	20.96 W	0.00	2398 of 2832 - Sale <sup>25</sup>
	1.160	13.11	06/05/20	14.83	1.72 W	0.00	2399 of 2832 - Sale <sup>25</sup>
	1.990	22.49	06/05/20	25.45	2.96 W	0.00	2400 of 2832 - Sale <sup>25</sup>
	3.000	33.90	06/05/20	38.07	4.17 W	0.00	2401 of 2832 - Sale <sup>25</sup>
	3.020	34.12	06/05/20	38.69	4.57 W	0.00	2402 of 2832 - Sale <sup>25</sup>
	4.980	56.27	06/05/20	63.82	7.55 W	0.00	2403 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	10.020	113.22	06/05/20	128.40	15.18 W	0.00	2404 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	2405 of 2832 - Sale <sup>25</sup>
	0.180	2.03	06/05/20	2.35	0.32 W	0.00	2406 of 2832 - Sale <sup>25</sup>
	0.830	9.38	06/05/20	10.61	1.23 W	0.00	2407 of 2832 - Sale <sup>25</sup>
	0.990	11.19	06/05/20	12.88	1.69 W	0.00	2408 of 2832 - Sale <sup>25</sup>
	1.010	11.41	06/05/20	12.90	1.49 W	0.00	2409 of 2832 - Sale <sup>25</sup>
	3.980	44.97	06/05/20	50.84	5.87 W	0.00	2410 of 2832 - Sale <sup>25</sup>
	13.000	146.90	06/05/20	166.04	19.14 W	0.00	2411 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	13.02	1.72 W	0.00	2412 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	13.01	1.71 W	0.00	2413 of 2832 - Sale <sup>25</sup>
	0.180	2.03	06/05/20	2.31	0.28 W	0.00	2414 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	12.93	1.63 W	0.00	2415 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	12.80	1.50 W	0.00	2416 of 2832 - Sale <sup>25</sup>
	1.010	11.41	06/05/20	13.12	1.71 W	0.00	2417 of 2832 - Sale <sup>25</sup>
	1.840	20.79	06/05/20	23.89	3.10 W	0.00	2418 of 2832 - Sale <sup>25</sup>
	1.990	22.49	06/05/20	25.86	3.37 W	0.00	2419 of 2832 - Sale <sup>25</sup>
	2.990	33.79	06/05/20	38.47	4.68 W	0.00	2420 of 2832 - Sale <sup>25</sup>
	3.000	33.90	06/05/20	38.89	4.99 W	0.00	2421 of 2832 - Sale <sup>25</sup>
	3.010	34.01	06/05/20	38.86	4.85 W	0.00	2422 of 2832 - Sale <sup>25</sup>
	3.020	34.13	06/05/20	39.00	4.87 W	0.00	2423 of 2832 - Sale <sup>25</sup>
	4.980	56.27	06/05/20	64.68	8.41 W	0.00	2424 of 2832 - Sale <sup>25</sup>
	5.980	67.57	06/05/20	77.26	9.69 W	0.00	2425 of 2832 - Sale <sup>25</sup>
	1.010	11.41	06/05/20	13.06	1.65 W	0.00	2426 of 2832 - Sale <sup>25</sup>
	1.820	20.57	06/05/20	23.25	2.68 W	0.00	2427 of 2832 - Sale <sup>25</sup>
	2.000	22.60	06/05/20	25.55	2.95 W	0.00	2428 of 2832 - Sale <sup>25</sup>
	3.000	33.90	06/05/20	38.32	4.42 W	0.00	2429 of 2832 - Sale <sup>25</sup>
	3.990	45.09	06/05/20	51.60	6.51 W	0.00	2430 of 2832 - Sale <sup>25</sup>
	3.030	34.24	06/05/20	39.15	4.91 W	0.00	2431 of 2832 - Sale <sup>25</sup>
	0.960	10.85	06/05/20	12.55	1.70 W	0.00	2432 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	12.91	1.61 W	0.00	2433 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	13.12	1.82 W	0.00	2434 of 2832 - Sale <sup>25</sup>
	1.010	11.41	06/05/20	13.00	1.59 W	0.00	2435 of 2832 - Sale <sup>25</sup>
	2.180	24.63	06/05/20	28.48	3.85 W	0.00	2436 of 2832 - Sale <sup>25</sup>
	4.010	45.31	06/05/20	52.58	7.27 W	0.00	2437 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.970	22.26	06/05/20	25.45	3.19 W	0.00	2438 of 2832 - Sale <sup>25</sup>
	8.020	90.62	06/05/20	105.16	14.54 W	0.00	2439 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	13.03	1.73 W	0.00	2440 of 2832 - Sale <sup>25</sup>
	1.170	13.22	06/05/20	14.89	1.67 W	0.00	2441 of 2832 - Sale <sup>25</sup>
	3.000	33.90	06/05/20	39.02	5.12 W	0.00	2442 of 2832 - Sale <sup>25</sup>
	9.830	111.08	06/05/20	128.10	17.02 W	0.00	2443 of 2832 - Sale <sup>25</sup>
	0.260	2.94	06/05/20	3.85	0.91 W	0.00	2444 of 2832 - Sale <sup>25</sup>
	0.300	3.39	06/05/20	4.54	1.15 W	0.00	2445 of 2832 - Sale <sup>25</sup>
	0.700	7.91	06/05/20	10.53	2.62 W	0.00	2446 of 2832 - Sale <sup>25</sup>
	0.960	10.85	06/05/20	12.33	1.48 W	0.00	2447 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	14.88	3.58 W	0.00	2448 of 2832 - Sale <sup>25</sup>
	1.010	11.41	06/05/20	15.09	3.68 W	0.00	2449 of 2832 - Sale <sup>25</sup>
	1.020	11.53	06/05/20	13.45	1.92 W	0.00	2450 of 2832 - Sale <sup>25</sup>
	1.030	11.64	06/05/20	15.40	3.76 W	0.00	2451 of 2832 - Sale <sup>25</sup>
	3.000	33.90	06/05/20	39.59	5.69 W	0.00	2452 of 2832 - Sale <sup>25</sup>
	3.010	34.01	06/05/20	38.66	4.65 W	0.00	2453 of 2832 - Sale <sup>25</sup>
	3.700	41.80	06/05/20	55.31	13.51 W	0.00	2454 of 2832 - Sale <sup>25</sup>
	4.960	56.05	06/05/20	63.40	7.35 W	0.00	2455 of 2832 - Sale <sup>25</sup>
	5.000	56.50	06/05/20	74.69	18.19 W	0.00	2456 of 2832 - Sale <sup>25</sup>
	5.020	56.72	06/05/20	64.47	7.75 W	0.00	2457 of 2832 - Sale <sup>25</sup>
	5.980	67.57	06/05/20	78.91	11.34 W	0.00	2458 of 2832 - Sale <sup>25</sup>
	6.000	67.79	06/05/20	78.25	10.46 W	0.00	2459 of 2832 - Sale <sup>25</sup>
	2.030	22.94	06/05/20	29.92	6.98 W	0.00	2460 of 2832 - Sale <sup>25</sup>
	6.860	77.52	06/05/20	87.70	10.18 W	0.00	2461 of 2832 - Sale <sup>25</sup>
	12.010	135.71	06/05/20	154.27	18.56 W	0.00	2462 of 2832 - Sale <sup>25</sup>
	1.000	11.30	06/05/20	14.74	3.44 W	0.00	2463 of 2832 - Sale <sup>25</sup>
	1.000	11.31	06/05/20	14.74	3.43 W	0.00	2464 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	2465 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.25	0.02 W	0.00	2466 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.41	1.01 W	0.00	2467 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.40	1.00 W	0.00	2468 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.39	0.99 W	0.00	2469 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.38	0.98 W	0.00	2470 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.34	0.94 W	0.00	2471 of 2832 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.40	06/05/20	3.88	0.48 W	0.00	2472 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	10.26	2.34 W	0.00	2473 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	10.25	2.33 W	0.00	2474 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	10.23	2.31 W	0.00	2475 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	10.23	2.31 W	0.00	2476 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	10.07	2.15 W	0.00	2477 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	14.45	3.13 W	0.00	2478 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	14.39	3.07 W	0.00	2479 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	14.38	3.06 W	0.00	2480 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	14.44	3.12 W	0.00	2481 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	14.41	3.09 W	0.00	2482 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.10	1.78 W	0.00	2483 of 2832 - Sale <sup>25</sup>
	1.010	11.43	06/05/20	14.83	3.40 W	0.00	2484 of 2832 - Sale <sup>25</sup>
	1.040	11.77	06/05/20	13.55	1.78 W	0.00	2485 of 2832 - Sale <sup>25</sup>
	1.300	14.71	06/05/20	16.82	2.11 W	0.00	2486 of 2832 - Sale <sup>25</sup>
	1.700	19.24	06/05/20	24.35	5.11 W	0.00	2487 of 2832 - Sale <sup>25</sup>
	1.970	22.30	06/05/20	25.67	3.37 W	0.00	2488 of 2832 - Sale <sup>25</sup>
	1.990	22.53	06/05/20	29.14	6.61 W	0.00	2489 of 2832 - Sale <sup>25</sup>
	2.040	23.09	06/05/20	26.74	3.65 W	0.00	2490 of 2832 - Sale <sup>25</sup>
	2.700	30.56	06/05/20	39.79	9.23 W	0.00	2491 of 2832 - Sale <sup>25</sup>
	2.700	30.56	06/05/20	34.72	4.16 W	0.00	2492 of 2832 - Sale <sup>25</sup>
	3.000	33.96	06/05/20	43.94	9.98 W	0.00	2493 of 2832 - Sale <sup>25</sup>
	3.000	33.96	06/05/20	39.23	5.27 W	0.00	2494 of 2832 - Sale <sup>25</sup>
	3.270	37.01	06/05/20	48.20	11.19 W	0.00	2495 of 2832 - Sale <sup>25</sup>
	3.700	41.88	06/05/20	48.18	6.30 W	0.00	2496 of 2832 - Sale <sup>25</sup>
	4.970	56.26	06/05/20	64.09	7.83 W	0.00	2497 of 2832 - Sale <sup>25</sup>
	5.000	56.60	06/05/20	64.69	8.09 W	0.00	2498 of 2832 - Sale <sup>25</sup>
	5.000	56.60	06/05/20	64.15	7.55 W	0.00	2499 of 2832 - Sale <sup>25</sup>
	5.030	56.94	06/05/20	74.16	17.22 W	0.00	2500 of 2832 - Sale <sup>25</sup>
	2.270	25.70	06/05/20	33.46	7.76 W	0.00	2501 of 2832 - Sale <sup>25</sup>
	0.970	10.98	06/05/20	15.81	4.83 W	0.00	2502 of 2832 - Sale <sup>25</sup>
	2.000	22.64	06/05/20	32.72	10.08 W	0.00	2503 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	2504 of 2832 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	5.40	2.03 W	0.00	2505 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	7.87	06/05/20	11.50	3.63 W	0.00	2506 of 2832 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	11.56	3.69 W	0.00	2507 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.73	5.48 W	0.00	2508 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	17.80	6.55 W	0.00	2509 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	17.86	6.61 W	0.00	2510 of 2832 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	16.73	5.37 W	0.00	2511 of 2832 - Sale <sup>25</sup>
	1.980	22.28	06/05/20	35.35	13.07 W	0.00	2512 of 2832 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	32.57	10.18 W	0.00	2513 of 2832 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	35.82	13.21 W	0.00	2514 of 2832 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	35.75	13.14 W	0.00	2515 of 2832 - Sale <sup>25</sup>
	2.290	25.76	06/05/20	37.86	12.10 W	0.00	2516 of 2832 - Sale <sup>25</sup>
	2.300	25.87	06/05/20	37.66	11.79 W	0.00	2517 of 2832 - Sale <sup>25</sup>
	2.700	30.38	06/05/20	48.23	17.85 W	0.00	2518 of 2832 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	49.26	15.51 W	0.00	2519 of 2832 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	53.62	19.87 W	0.00	2520 of 2832 - Sale <sup>25</sup>
	4.000	45.00	06/05/20	66.08	21.08 W	0.00	2521 of 2832 - Sale <sup>25</sup>
	4.990	56.14	06/05/20	88.88	32.74 W	0.00	2522 of 2832 - Sale <sup>25</sup>
	5.000	56.25	06/05/20	88.60	32.35 W	0.00	2523 of 2832 - Sale <sup>25</sup>
	9.020	101.47	06/05/20	161.25	59.78 W	0.00	2524 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	2525 of 2832 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	12.39	4.52 W	0.00	2526 of 2832 - Sale <sup>25</sup>
	0.990	11.14	06/05/20	17.51	6.37 W	0.00	2527 of 2832 - Sale <sup>25</sup>
	0.990	11.14	06/05/20	17.51	6.37 W	0.00	2528 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	17.70	6.45 W	0.00	2529 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.95	1.70 W	0.00	2530 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.95	1.70 W	0.00	2531 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.96	1.71 W	0.00	2532 of 2832 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	17.88	6.52 W	0.00	2533 of 2832 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	35.54	12.93 W	0.00	2534 of 2832 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	35.50	12.89 W	0.00	2535 of 2832 - Sale <sup>25</sup>
	2.990	33.64	06/05/20	53.34	19.70 W	0.00	2536 of 2832 - Sale <sup>25</sup>
	3.150	35.44	06/05/20	40.75	5.31 W	0.00	2537 of 2832 - Sale <sup>25</sup>
	0.290	3.26	06/05/20	5.14	1.88 W	0.00	2538 of 2832 - Sale <sup>25</sup>
	0.250	2.81	06/05/20	3.27	0.46 W	0.00	2539 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.980	11.02	06/05/20	12.83	1.81 W	0.00	2540 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.16	1.91 W	0.00	2541 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.14	1.89 W	0.00	2542 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.12	1.87 W	0.00	2543 of 2832 - Sale <sup>25</sup>
	1.720	19.35	06/05/20	22.49	3.14 W	0.00	2544 of 2832 - Sale <sup>25</sup>
	4.210	47.36	06/05/20	55.05	7.69 W	0.00	2545 of 2832 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	3.96	0.59 W	0.00	2546 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.98	1.73 W	0.00	2547 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.89	1.64 W	0.00	2548 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.16	1.91 W	0.00	2549 of 2832 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.16	1.91 W	0.00	2550 of 2832 - Sale <sup>25</sup>
	1.710	19.24	06/05/20	22.63	3.39 W	0.00	2551 of 2832 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	25.86	3.47 W	0.00	2552 of 2832 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	26.08	3.47 W	0.00	2553 of 2832 - Sale <sup>25</sup>
	2.990	33.64	06/05/20	39.40	5.76 W	0.00	2554 of 2832 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	38.67	4.92 W	0.00	2555 of 2832 - Sale <sup>25</sup>
	3.840	43.20	06/05/20	49.83	6.63 W	0.00	2556 of 2832 - Sale <sup>25</sup>
	1.850	20.81	06/05/20	25.03	4.22 W	0.00	2557 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	2558 of 2832 - Sale <sup>25</sup>
	0.300	3.40	06/05/20	4.14	0.74 W	0.00	2559 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	9.47	1.55 W	0.00	2560 of 2832 - Sale <sup>25</sup>
	0.700	7.92	06/05/20	9.71	1.79 W	0.00	2561 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.81	2.49 W	0.00	2562 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.77	2.45 W	0.00	2563 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.93	2.61 W	0.00	2564 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.92	2.60 W	0.00	2565 of 2832 - Sale <sup>25</sup>
	1.000	11.32	06/05/20	13.92	2.60 W	0.00	2566 of 2832 - Sale <sup>25</sup>
	0.850	9.62	06/05/20	11.82	2.20 W	0.00	2567 of 2832 - Sale <sup>25</sup>
	1.990	22.53	06/05/20	27.63	5.10 W	0.00	2568 of 2832 - Sale <sup>25</sup>
	2.990	33.85	06/05/20	40.13	6.28 W	0.00	2569 of 2832 - Sale <sup>25</sup>
	2.450	27.73	06/05/20	33.14	5.41 W	0.00	2570 of 2832 - Sale <sup>25</sup>
	5.000	56.60	06/05/20	69.48	12.88 W	0.00	2571 of 2832 - Sale <sup>25</sup>
	6.010	68.03	06/05/20	81.39	13.36 W	0.00	2572 of 2832 - Sale <sup>25</sup>
	8.000	90.57	06/05/20	111.16	20.59 W	0.00	2573 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	2574 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	2575 of 2832 - Sale <sup>25</sup>
	0.990	11.28	06/05/20	13.50	2.22 W	0.00	2576 of 2832 - Sale <sup>25</sup>
	0.990	11.28	06/05/20	13.70	2.42 W	0.00	2577 of 2832 - Sale <sup>25</sup>
	0.150	1.71	06/05/20	2.08	0.37 W	0.00	2578 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.86	2.47 W	0.00	2579 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.85	2.46 W	0.00	2580 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.70	2.31 W	0.00	2581 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.73	2.34 W	0.00	2582 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.76	2.37 W	0.00	2583 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.75	2.36 W	0.00	2584 of 2832 - Sale <sup>25</sup>
	1.300	14.81	06/05/20	18.10	3.29 W	0.00	2585 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	27.72	4.94 W	0.00	2586 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	27.72	4.94 W	0.00	2587 of 2832 - Sale <sup>25</sup>
	2.300	26.20	06/05/20	31.89	5.69 W	0.00	2588 of 2832 - Sale <sup>25</sup>
	2.700	30.75	06/05/20	36.86	6.11 W	0.00	2589 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	41.59	7.42 W	0.00	2590 of 2832 - Sale <sup>25</sup>
	5.700	64.92	06/05/20	79.33	14.41 W	0.00	2591 of 2832 - Sale <sup>25</sup>
	6.000	68.34	06/05/20	83.05	14.71 W	0.00	2592 of 2832 - Sale <sup>25</sup>
	0.300	3.42	06/05/20	4.53	1.11 W	0.00	2593 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	10.53	2.56 W	0.00	2594 of 2832 - Sale <sup>25</sup>
	0.250	2.85	06/05/20	3.25	0.40 W	0.00	2595 of 2832 - Sale <sup>25</sup>
	1.050	11.96	06/05/20	13.71	1.75 W	0.00	2596 of 2832 - Sale <sup>25</sup>
	1.700	19.36	06/05/20	22.00	2.64 W	0.00	2597 of 2832 - Sale <sup>25</sup>
	1.790	20.39	06/05/20	23.39	3.00 W	0.00	2598 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	25.81	3.03 W	0.00	2599 of 2832 - Sale <sup>25</sup>
	2.000	22.77	06/05/20	25.80	3.03 W	0.00	2600 of 2832 - Sale <sup>25</sup>
	2.010	22.89	06/05/20	25.95	3.06 W	0.00	2601 of 2832 - Sale <sup>25</sup>
	2.040	23.23	06/05/20	26.33	3.10 W	0.00	2602 of 2832 - Sale <sup>25</sup>
	3.010	34.28	06/05/20	38.84	4.56 W	0.00	2603 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	2604 of 2832 - Sale <sup>25</sup>
	0.050	0.57	06/05/20	0.69	0.12 W	0.00	2605 of 2832 - Sale <sup>25</sup>
	0.050	0.57	06/05/20	0.68	0.11 W	0.00	2606 of 2832 - Sale <sup>25</sup>
	0.250	2.85	06/05/20	3.22	0.37 W	0.00	2607 of 2832 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.250	2.85	06/05/20	3.44	0.59 W	0.00	2608 of 2832 - Sale <sup>25</sup>
	0.290	3.30	06/05/20	4.06	0.76 W	0.00	2609 of 2832 - Sale <sup>25</sup>
	0.300	3.42	06/05/20	4.11	0.69 W	0.00	2610 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	8.93	0.96 W	0.00	2611 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.85	1.88 W	0.00	2612 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.59	1.62 W	0.00	2613 of 2832 - Sale <sup>25</sup>
	0.710	8.09	06/05/20	9.96	1.87 W	0.00	2614 of 2832 - Sale <sup>25</sup>
	0.750	8.54	06/05/20	9.71	1.17 W	0.00	2615 of 2832 - Sale <sup>25</sup>
	0.950	10.82	06/05/20	13.23	2.41 W	0.00	2616 of 2832 - Sale <sup>25</sup>
	0.950	10.82	06/05/20	13.02	2.20 W	0.00	2617 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.90	2.51 W	0.00	2618 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.92	2.53 W	0.00	2619 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.92	2.53 W	0.00	2620 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.98	2.59 W	0.00	2621 of 2832 - Sale <sup>25</sup>
	1.050	11.96	06/05/20	14.49	2.53 W	0.00	2622 of 2832 - Sale <sup>25</sup>
	1.250	14.24	06/05/20	17.39	3.15 W	0.00	2623 of 2832 - Sale <sup>25</sup>
	1.750	19.93	06/05/20	24.05	4.12 W	0.00	2624 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	25.88	3.10 W	0.00	2625 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	27.56	4.78 W	0.00	2626 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	27.47	4.69 W	0.00	2627 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	41.64	7.47 W	0.00	2628 of 2832 - Sale <sup>25</sup>
	3.300	37.59	06/05/20	42.72	5.13 W	0.00	2629 of 2832 - Sale <sup>25</sup>
	0.940	10.71	06/05/20	12.13	1.42 W	0.00	2630 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.18	0.07 W	0.00	2631 of 2832 - Sale <sup>25</sup>
	0.050	0.57	06/05/20	0.61	0.04 W	0.00	2632 of 2832 - Sale <sup>25</sup>
	0.100	1.14	06/05/20	1.41	0.27 W	0.00	2633 of 2832 - Sale <sup>25</sup>
	0.100	1.14	06/05/20	1.41	0.27 W	0.00	2634 of 2832 - Sale <sup>25</sup>
	0.190	2.16	06/05/20	3.20	1.04 W	0.00	2635 of 2832 - Sale <sup>25</sup>
	0.300	3.42	06/05/20	4.26	0.84 W	0.00	2636 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.76	3.79 W	0.00	2637 of 2832 - Sale <sup>25</sup>
	0.710	8.09	06/05/20	11.92	3.83 W	0.00	2638 of 2832 - Sale <sup>25</sup>
	0.800	9.11	06/05/20	11.30	2.19 W	0.00	2639 of 2832 - Sale <sup>25</sup>
	0.800	9.11	06/05/20	13.55	4.44 W	0.00	2640 of 2832 - Sale <sup>25</sup>
	0.900	10.25	06/05/20	10.95	0.70 W	0.00	2641 of 2832 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.900	10.25	06/05/20	15.11	4.86 W	0.00	2642 of 2832 - Sale <sup>25</sup>
	0.980	11.16	06/05/20	16.48	5.32 W	0.00	2643 of 2832 - Sale <sup>25</sup>
	0.990	11.28	06/05/20	16.73	5.45 W	0.00	2644 of 2832 - Sale <sup>25</sup>
	0.990	11.28	06/05/20	16.65	5.37 W	0.00	2645 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.75	2.36 W	0.00	2646 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.35	0.96 W	0.00	2647 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.14	0.75 W	0.00	2648 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.81	5.42 W	0.00	2649 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.81	5.42 W	0.00	2650 of 2832 - Sale <sup>25</sup>
	1.010	11.50	06/05/20	16.98	5.48 W	0.00	2651 of 2832 - Sale <sup>25</sup>
	1.020	11.62	06/05/20	12.27	0.65 W	0.00	2652 of 2832 - Sale <sup>25</sup>
	1.300	14.81	06/05/20	21.97	7.16 W	0.00	2653 of 2832 - Sale <sup>25</sup>
	1.300	14.81	06/05/20	21.94	7.13 W	0.00	2654 of 2832 - Sale <sup>25</sup>
	1.300	14.81	06/05/20	21.83	7.02 W	0.00	2655 of 2832 - Sale <sup>25</sup>
	1.710	19.48	06/05/20	28.93	9.45 W	0.00	2656 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	24.49	1.71 W	0.00	2657 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	24.32	1.54 W	0.00	2658 of 2832 - Sale <sup>25</sup>
	2.040	23.23	06/05/20	24.99	1.76 W	0.00	2659 of 2832 - Sale <sup>25</sup>
	2.050	23.35	06/05/20	25.31	1.96 W	0.00	2660 of 2832 - Sale <sup>25</sup>
	2.300	26.20	06/05/20	38.74	12.54 W	0.00	2661 of 2832 - Sale <sup>25</sup>
	2.700	30.75	06/05/20	38.02	7.27 W	0.00	2662 of 2832 - Sale <sup>25</sup>
	2.950	33.60	06/05/20	36.65	3.05 W	0.00	2663 of 2832 - Sale <sup>25</sup>
	2.980	33.94	06/05/20	35.86	1.92 W	0.00	2664 of 2832 - Sale <sup>25</sup>
	3.010	34.28	06/05/20	36.81	2.53 W	0.00	2665 of 2832 - Sale <sup>25</sup>
	3.010	34.28	06/05/20	50.75	16.47 W	0.00	2666 of 2832 - Sale <sup>25</sup>
	5.000	56.95	06/05/20	61.67	4.72 W	0.00	2667 of 2832 - Sale <sup>25</sup>
	5.000	56.95	06/05/20	60.99	4.04 W	0.00	2668 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.73	2.34 W	0.00	2669 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.81	2.42 W	0.00	2670 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.62	2.23 W	0.00	2671 of 2832 - Sale <sup>25</sup>
	2.160	24.60	06/05/20	29.68	5.08 W	0.00	2672 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	41.21	7.04 W	0.00	2673 of 2832 - Sale <sup>25</sup>
	0.150	1.71	06/05/20	1.89	0.18 W	0.00	2674 of 2832 - Sale <sup>25</sup>
	0.990	11.27	06/05/20	13.58	2.31 W	0.00	2675 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.39	06/05/20	12.68	1.29 W	0.00	2676 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.67	1.28 W	0.00	2677 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.57	1.18 W	0.00	2678 of 2832 - Sale <sup>25</sup>
	1.850	21.07	06/05/20	23.23	2.16 W	0.00	2679 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	42.95	8.78 W	0.00	2680 of 2832 - Sale <sup>25</sup>
	4.000	45.55	06/05/20	57.24	11.69 W	0.00	2681 of 2832 - Sale <sup>25</sup>
	4.700	53.52	06/05/20	59.07	5.55 W	0.00	2682 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	2683 of 2832 - Sale <sup>25</sup>
	6.300	71.75	06/05/20	79.17	7.42 W	0.00	2684 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.31	0.08 W	0.00	2685 of 2832 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.39	0.05 W	0.00	2686 of 2832 - Sale <sup>25</sup>
	0.040	0.46	06/05/20	0.66	0.20 W	0.00	2687 of 2832 - Sale <sup>25</sup>
	0.180	2.05	06/05/20	2.38	0.33 W	0.00	2688 of 2832 - Sale <sup>25</sup>
	0.190	2.16	06/05/20	2.46	0.30 W	0.00	2689 of 2832 - Sale <sup>25</sup>
	0.260	2.96	06/05/20	4.30	1.34 W	0.00	2690 of 2832 - Sale <sup>25</sup>
	0.290	3.30	06/05/20	3.91	0.61 W	0.00	2691 of 2832 - Sale <sup>25</sup>
	0.300	3.42	06/05/20	4.05	0.63 W	0.00	2692 of 2832 - Sale <sup>25</sup>
	0.300	3.42	06/05/20	3.98	0.56 W	0.00	2693 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.59	3.62 W	0.00	2694 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.22	1.25 W	0.00	2695 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.77	3.80 W	0.00	2696 of 2832 - Sale <sup>25</sup>
	0.710	8.09	06/05/20	10.04	1.95 W	0.00	2697 of 2832 - Sale <sup>25</sup>
	0.710	8.09	06/05/20	9.59	1.50 W	0.00	2698 of 2832 - Sale <sup>25</sup>
	0.710	8.09	06/05/20	9.46	1.37 W	0.00	2699 of 2832 - Sale <sup>25</sup>
	0.810	9.23	06/05/20	10.75	1.52 W	0.00	2700 of 2832 - Sale <sup>25</sup>
	0.960	10.93	06/05/20	15.66	4.73 W	0.00	2701 of 2832 - Sale <sup>25</sup>
	0.980	11.16	06/05/20	16.23	5.07 W	0.00	2702 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.52	5.13 W	0.00	2703 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.24	4.85 W	0.00	2704 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	15.95	4.56 W	0.00	2705 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	14.28	2.89 W	0.00	2706 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.16	1.77 W	0.00	2707 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.15	1.76 W	0.00	2708 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.05	1.66 W	0.00	2709 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.39	06/05/20	12.95	1.56 W	0.00	2710 of 2832 - Sale <sup>25</sup>
	1.020	11.62	06/05/20	16.71	5.09 W	0.00	2711 of 2832 - Sale <sup>25</sup>
	1.030	11.73	06/05/20	17.15	5.42 W	0.00	2712 of 2832 - Sale <sup>25</sup>
	1.040	11.85	06/05/20	17.38	5.53 W	0.00	2713 of 2832 - Sale <sup>25</sup>
	1.100	12.53	06/05/20	18.33	5.80 W	0.00	2714 of 2832 - Sale <sup>25</sup>
	1.160	13.21	06/05/20	15.03	1.82 W	0.00	2715 of 2832 - Sale <sup>25</sup>
	1.270	14.46	06/05/20	21.18	6.72 W	0.00	2716 of 2832 - Sale <sup>25</sup>
	1.300	14.81	06/05/20	18.59	3.78 W	0.00	2717 of 2832 - Sale <sup>25</sup>
	1.300	14.81	06/05/20	17.11	2.30 W	0.00	2718 of 2832 - Sale <sup>25</sup>
	1.700	19.36	06/05/20	27.68	8.32 W	0.00	2719 of 2832 - Sale <sup>25</sup>
	1.700	19.36	06/05/20	28.38	9.02 W	0.00	2720 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	25.91	3.13 W	0.00	2721 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	33.40	10.62 W	0.00	2722 of 2832 - Sale <sup>25</sup>
	2.030	23.12	06/05/20	34.20	11.08 W	0.00	2723 of 2832 - Sale <sup>25</sup>
	2.270	25.85	06/05/20	38.24	12.39 W	0.00	2724 of 2832 - Sale <sup>25</sup>
	2.820	32.12	06/05/20	36.50	4.38 W	0.00	2725 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	49.62	15.45 W	0.00	2726 of 2832 - Sale <sup>25</sup>
	3.020	34.40	06/05/20	49.96	15.56 W	0.00	2727 of 2832 - Sale <sup>25</sup>
	3.700	42.14	06/05/20	48.30	6.16 W	0.00	2728 of 2832 - Sale <sup>25</sup>
	3.990	45.44	06/05/20	56.61	11.17 W	0.00	2729 of 2832 - Sale <sup>25</sup>
	3.990	45.44	06/05/20	51.67	6.23 W	0.00	2730 of 2832 - Sale <sup>25</sup>
	4.110	46.81	06/05/20	53.02	6.21 W	0.00	2731 of 2832 - Sale <sup>25</sup>
	5.700	64.92	06/05/20	73.53	8.61 W	0.00	2732 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.16	1.77 W	0.00	2733 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.25	1.86 W	0.00	2734 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.24	1.85 W	0.00	2735 of 2832 - Sale <sup>25</sup>
	1.700	19.36	06/05/20	22.41	3.05 W	0.00	2736 of 2832 - Sale <sup>25</sup>
	1.840	20.96	06/05/20	25.75	4.79 W	0.00	2737 of 2832 - Sale <sup>25</sup>
	1.990	22.67	06/05/20	26.21	3.54 W	0.00	2738 of 2832 - Sale <sup>25</sup>
	1.990	22.67	06/05/20	26.32	3.65 W	0.00	2739 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	26.72	3.94 W	0.00	2740 of 2832 - Sale <sup>25</sup>
	2.010	22.89	06/05/20	26.45	3.56 W	0.00	2741 of 2832 - Sale <sup>25</sup>
	2.300	26.20	06/05/20	30.55	4.35 W	0.00	2742 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	40.10	5.93 W	0.00	2743 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.000	34.17	06/05/20	39.68	5.51 W	0.00	2744 of 2832 - Sale <sup>25</sup>
	3.180	36.22	06/05/20	42.08	5.86 W	0.00	2745 of 2832 - Sale <sup>25</sup>
	4.000	45.56	06/05/20	53.47	7.91 W	0.00	2746 of 2832 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.48	0.14 W	0.00	2747 of 2832 - Sale <sup>25</sup>
	0.300	3.42	06/05/20	5.01	1.59 W	0.00	2748 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	11.62	3.65 W	0.00	2749 of 2832 - Sale <sup>25</sup>
	0.960	10.93	06/05/20	16.07	5.14 W	0.00	2750 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.65	5.26 W	0.00	2751 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.61	5.22 W	0.00	2752 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.14	4.75 W	0.00	2753 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.11	4.72 W	0.00	2754 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.06	4.67 W	0.00	2755 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.18	4.79 W	0.00	2756 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.17	4.78 W	0.00	2757 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.16	4.77 W	0.00	2758 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.13	4.74 W	0.00	2759 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	16.18	4.79 W	0.00	2760 of 2832 - Sale <sup>25</sup>
	1.010	11.50	06/05/20	16.22	4.72 W	0.00	2761 of 2832 - Sale <sup>25</sup>
	1.990	22.67	06/05/20	32.24	9.57 W	0.00	2762 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	32.31	9.53 W	0.00	2763 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	49.99	15.82 W	0.00	2764 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	2765 of 2832 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.25	0.02 W	0.00	2766 of 2832 - Sale <sup>25</sup>
	0.700	7.97	06/05/20	9.21	1.24 W	0.00	2767 of 2832 - Sale <sup>25</sup>
	0.820	9.34	06/05/20	10.83	1.49 W	0.00	2768 of 2832 - Sale <sup>25</sup>
	0.830	9.45	06/05/20	10.94	1.49 W	0.00	2769 of 2832 - Sale <sup>25</sup>
	0.980	11.16	06/05/20	12.75	1.59 W	0.00	2770 of 2832 - Sale <sup>25</sup>
	0.980	11.16	06/05/20	12.08	0.92 W	0.00	2771 of 2832 - Sale <sup>25</sup>
	0.990	11.28	06/05/20	13.07	1.79 W	0.00	2772 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.19	1.80 W	0.00	2773 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.22	1.83 W	0.00	2774 of 2832 - Sale <sup>25</sup>
	2.170	24.72	06/05/20	28.57	3.85 W	0.00	2775 of 2832 - Sale <sup>25</sup>
	2.300	26.20	06/05/20	30.51	4.31 W	0.00	2776 of 2832 - Sale <sup>25</sup>
	3.020	34.40	06/05/20	39.29	4.89 W	0.00	2777 of 2832 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.300	37.59	06/05/20	43.56	5.97 W	0.00	2778 of 2832 - Sale <sup>25</sup>
	5.710	65.03	06/05/20	75.70	10.67 W	0.00	2779 of 2832 - Sale <sup>25</sup>
	7.000	79.73	06/05/20	92.21	12.48 W	0.00	2780 of 2832 - Sale <sup>25</sup>
	7.000	79.73	06/05/20	90.68	10.95 W	0.00	2781 of 2832 - Sale <sup>25</sup>
	7.990	91.00	06/05/20	110.93	19.93 W	0.00	2782 of 2832 - Sale <sup>25</sup>
	1.180	13.44	06/05/20	15.05	1.61 W	0.00	2783 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	37.95	3.78 W	0.00	2784 of 2832 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	2785 of 2832 - Sale <sup>25</sup>
	0.180	2.05	06/05/20	2.34	0.29 W	0.00	2786 of 2832 - Sale <sup>25</sup>
	0.810	9.23	06/05/20	10.30	1.07 W	0.00	2787 of 2832 - Sale <sup>25</sup>
	0.830	9.45	06/05/20	10.55	1.10 W	0.00	2788 of 2832 - Sale <sup>25</sup>
	0.990	11.28	06/05/20	12.81	1.53 W	0.00	2789 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.95	1.56 W	0.00	2790 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.94	1.55 W	0.00	2791 of 2832 - Sale <sup>25</sup>
	1.010	11.50	06/05/20	12.83	1.33 W	0.00	2792 of 2832 - Sale <sup>25</sup>
	1.010	11.50	06/05/20	13.05	1.55 W	0.00	2793 of 2832 - Sale <sup>25</sup>
	1.160	13.21	06/05/20	14.75	1.54 W	0.00	2794 of 2832 - Sale <sup>25</sup>
	1.840	20.96	06/05/20	23.76	2.80 W	0.00	2795 of 2832 - Sale <sup>25</sup>
	1.990	22.67	06/05/20	25.72	3.05 W	0.00	2796 of 2832 - Sale <sup>25</sup>
	3.020	34.40	06/05/20	38.48	4.08 W	0.00	2797 of 2832 - Sale <sup>25</sup>
	3.170	36.10	06/05/20	40.95	4.85 W	0.00	2798 of 2832 - Sale <sup>25</sup>
	3.980	45.33	06/05/20	50.57	5.24 W	0.00	2799 of 2832 - Sale <sup>25</sup>
	4.980	56.72	06/05/20	63.48	6.76 W	0.00	2800 of 2832 - Sale <sup>25</sup>
	10.020	114.12	06/05/20	127.70	13.58 W	0.00	2801 of 2832 - Sale <sup>25</sup>
	13.000	148.06	06/05/20	165.13	17.07 W	0.00	2802 of 2832 - Sale <sup>25</sup>
	0.190	2.16	06/05/20	2.41	0.25 W	0.00	2803 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.83	1.44 W	0.00	2804 of 2832 - Sale <sup>25</sup>
	1.810	20.61	06/05/20	23.33	2.72 W	0.00	2805 of 2832 - Sale <sup>25</sup>
	2.990	34.05	06/05/20	38.17	4.12 W	0.00	2806 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	38.59	4.42 W	0.00	2807 of 2832 - Sale <sup>25</sup>
	3.010	34.28	06/05/20	38.56	4.28 W	0.00	2808 of 2832 - Sale <sup>25</sup>
	3.020	34.40	06/05/20	38.69	4.29 W	0.00	2809 of 2832 - Sale <sup>25</sup>
	5.980	68.11	06/05/20	76.67	8.56 W	0.00	2810 of 2832 - Sale <sup>25</sup>
	0.180	2.05	06/05/20	2.30	0.25 W	0.00	2811 of 2832 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.810	9.23	06/05/20	10.29	1.06 W	0.00	2812 of 2832 - Sale <sup>25</sup>
	0.960	10.93	06/05/20	12.45	1.52 W	0.00	2813 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.81	1.42 W	0.00	2814 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	13.02	1.63 W	0.00	2815 of 2832 - Sale <sup>25</sup>
	1.000	11.39	06/05/20	12.93	1.54 W	0.00	2816 of 2832 - Sale <sup>25</sup>
	1.010	11.50	06/05/20	12.96	1.46 W	0.00	2817 of 2832 - Sale <sup>25</sup>
	1.010	11.50	06/05/20	12.90	1.40 W	0.00	2818 of 2832 - Sale <sup>25</sup>
	1.170	13.33	06/05/20	14.77	1.44 W	0.00	2819 of 2832 - Sale <sup>25</sup>
	1.820	20.73	06/05/20	23.06	2.33 W	0.00	2820 of 2832 - Sale <sup>25</sup>
	1.970	22.44	06/05/20	25.25	2.81 W	0.00	2821 of 2832 - Sale <sup>25</sup>
	2.000	22.78	06/05/20	25.35	2.57 W	0.00	2822 of 2832 - Sale <sup>25</sup>
	2.180	24.83	06/05/20	28.27	3.44 W	0.00	2823 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	38.02	3.85 W	0.00	2824 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	38.72	4.55 W	0.00	2825 of 2832 - Sale <sup>25</sup>
	3.030	34.51	06/05/20	38.85	4.34 W	0.00	2826 of 2832 - Sale <sup>25</sup>
	3.990	45.44	06/05/20	51.20	5.76 W	0.00	2827 of 2832 - Sale <sup>25</sup>
	4.010	45.67	06/05/20	52.18	6.51 W	0.00	2828 of 2832 - Sale <sup>25</sup>
	3.000	34.17	06/05/20	38.04	3.87 W	0.00	2829 of 2832 - Sale <sup>25</sup>
	6.860	78.13	06/05/20	87.01	8.88 W	0.00	2830 of 2832 - Sale <sup>25</sup>
	8.020	91.35	06/05/20	104.36	13.01 W	0.00	2831 of 2832 - Sale <sup>25</sup>
	9.830	111.96	06/05/20	127.12	15.16 W	0.00	2832 of 2832 - Sale <sup>25</sup>
06/05/20	6,981.990	80,146.97	Various	95,881.65	15,734.68 W	0.00	Total of 2832 transactions
	207 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	4.180	46.48	06/05/20	53.27	6.79 W	0.00	1 of 207 - Sale <sup>25</sup>
	1.960	21.79	06/05/20	24.86	3.07 W	0.00	2 of 207 - Sale <sup>25</sup>
	12.010	133.55	06/05/20	153.08	19.53 W	0.00	3 of 207 - Sale <sup>25</sup>
	0.260	2.89	06/05/20	3.82	0.93 W	0.00	4 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.51	1.17 W	0.00	5 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	10.46	2.68 W	0.00	6 of 207 - Sale <sup>25</sup>
	0.840	9.34	06/05/20	10.71	1.37 W	0.00	7 of 207 - Sale <sup>25</sup>
	0.960	10.67	06/05/20	12.23	1.56 W	0.00	8 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.78	3.66 W	0.00	9 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.64	3.52 W	0.00	10 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.63	3.51 W	0.00	11 of 207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPT IMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.010	11.23	06/05/20	14.99	3.76 W	0.00	12 of 207 - Sale <sup>25</sup>
	1.020	11.34	06/05/20	13.34	2.00 W	0.00	13 of 207 - Sale <sup>25</sup>
	1.030	11.45	06/05/20	15.30	3.85 W	0.00	14 of 207 - Sale <sup>25</sup>
	2.030	22.57	06/05/20	29.72	7.15 W	0.00	15 of 207 - Sale <sup>25</sup>
	2.160	24.02	06/05/20	31.58	7.56 W	0.00	16 of 207 - Sale <sup>25</sup>
	2.270	25.24	06/05/20	33.18	7.94 W	0.00	17 of 207 - Sale <sup>25</sup>
	2.700	30.02	06/05/20	39.47	9.45 W	0.00	18 of 207 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	39.29	5.93 W	0.00	19 of 207 - Sale <sup>25</sup>
	3.010	33.47	06/05/20	38.36	4.89 W	0.00	20 of 207 - Sale <sup>25</sup>
	3.700	41.14	06/05/20	54.95	13.81 W	0.00	21 of 207 - Sale <sup>25</sup>
	5.000	55.60	06/05/20	74.19	18.59 W	0.00	22 of 207 - Sale <sup>25</sup>
	5.030	55.93	06/05/20	73.56	17.63 W	0.00	23 of 207 - Sale <sup>25</sup>
	5.980	66.50	06/05/20	78.32	11.82 W	0.00	24 of 207 - Sale <sup>25</sup>
	6.000	66.72	06/05/20	77.66	10.94 W	0.00	25 of 207 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.24	0.02 W	0.00	26 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.38	1.04 W	0.00	27 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.37	1.03 W	0.00	28 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.36	1.02 W	0.00	29 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.35	1.01 W	0.00	30 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.31	0.97 W	0.00	31 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	3.85	0.51 W	0.00	32 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	10.21	2.43 W	0.00	33 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	10.20	2.42 W	0.00	34 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	10.18	2.40 W	0.00	35 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	10.18	2.40 W	0.00	36 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	10.02	2.24 W	0.00	37 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.37	3.25 W	0.00	38 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.31	3.19 W	0.00	39 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.30	3.18 W	0.00	40 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.36	3.24 W	0.00	41 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.33	3.21 W	0.00	42 of 207 - Sale <sup>25</sup>
	1.010	11.23	06/05/20	14.75	3.52 W	0.00	43 of 207 - Sale <sup>25</sup>
	1.040	11.57	06/05/20	13.47	1.90 W	0.00	44 of 207 - Sale <sup>25</sup>
	1.110	12.34	06/05/20	16.28	3.94 W	0.00	45 of 207 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.040	11.56	06/05/20	13.38	1.82 W	0.00	46 of 207 - Sale <sup>25</sup>
	1.700	18.90	06/05/20	24.22	5.32 W	0.00	47 of 207 - Sale <sup>25</sup>
	1.970	21.91	06/05/20	25.51	3.60 W	0.00	48 of 207 - Sale <sup>25</sup>
	1.990	22.13	06/05/20	28.98	6.85 W	0.00	49 of 207 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	43.70	10.34 W	0.00	50 of 207 - Sale <sup>25</sup>
	3.700	41.14	06/05/20	47.89	6.75 W	0.00	51 of 207 - Sale <sup>25</sup>
	4.970	55.27	06/05/20	63.69	8.42 W	0.00	52 of 207 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	53 of 207 - Sale <sup>25</sup>
	1.000	10.98	06/05/20	13.02	2.04 W	0.00	54 of 207 - Sale <sup>25</sup>
	0.260	2.85	06/05/20	3.34	0.49 W	0.00	55 of 207 - Sale <sup>25</sup>
	2.040	22.40	06/05/20	26.58	4.18 W	0.00	56 of 207 - Sale <sup>25</sup>
	2.700	29.65	06/05/20	34.51	4.86 W	0.00	57 of 207 - Sale <sup>25</sup>
	3.000	32.94	06/05/20	38.99	6.05 W	0.00	58 of 207 - Sale <sup>25</sup>
	5.000	54.90	06/05/20	64.29	9.39 W	0.00	59 of 207 - Sale <sup>25</sup>
	5.000	54.90	06/05/20	63.75	8.85 W	0.00	60 of 207 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	61 of 207 - Sale <sup>25</sup>
	0.700	7.69	06/05/20	11.50	3.81 W	0.00	62 of 207 - Sale <sup>25</sup>
	0.700	7.69	06/05/20	11.56	3.87 W	0.00	63 of 207 - Sale <sup>25</sup>
	0.970	10.65	06/05/20	15.73	5.08 W	0.00	64 of 207 - Sale <sup>25</sup>
	1.000	10.98	06/05/20	16.72	5.74 W	0.00	65 of 207 - Sale <sup>25</sup>
	1.000	10.98	06/05/20	17.79	6.81 W	0.00	66 of 207 - Sale <sup>25</sup>
	1.010	11.09	06/05/20	16.72	5.63 W	0.00	67 of 207 - Sale <sup>25</sup>
	1.990	21.85	06/05/20	32.55	10.70 W	0.00	68 of 207 - Sale <sup>25</sup>
	2.000	21.96	06/05/20	32.56	10.60 W	0.00	69 of 207 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.36	0.14 W	0.00	70 of 207 - Sale <sup>25</sup>
	2.290	25.14	06/05/20	37.84	12.70 W	0.00	71 of 207 - Sale <sup>25</sup>
	2.300	25.25	06/05/20	37.64	12.39 W	0.00	72 of 207 - Sale <sup>25</sup>
	3.000	32.94	06/05/20	49.23	16.29 W	0.00	73 of 207 - Sale <sup>25</sup>
	4.000	43.92	06/05/20	66.04	22.12 W	0.00	74 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	5.40	2.06 W	0.00	75 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	17.85	6.73 W	0.00	76 of 207 - Sale <sup>25</sup>
	1.160	12.90	06/05/20	20.55	7.65 W	0.00	77 of 207 - Sale <sup>25</sup>
	1.980	22.02	06/05/20	35.33	13.31 W	0.00	78 of 207 - Sale <sup>25</sup>
	1.990	22.13	06/05/20	35.44	13.31 W	0.00	79 of 207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.010	22.35	06/05/20	35.73	13.38 W	0.00	80 of 207 - Sale <sup>25</sup>
	2.700	30.02	06/05/20	48.20	18.18 W	0.00	81 of 207 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	53.59	20.23 W	0.00	82 of 207 - Sale <sup>25</sup>
	4.990	55.49	06/05/20	88.83	33.34 W	0.00	83 of 207 - Sale <sup>25</sup>
	9.020	100.30	06/05/20	161.17	60.87 W	0.00	84 of 207 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	53.39	20.03 W	0.00	85 of 207 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	86 of 207 - Sale <sup>25</sup>
	0.050	0.56	06/05/20	0.69	0.13 W	0.00	87 of 207 - Sale <sup>25</sup>
	0.050	0.56	06/05/20	0.68	0.12 W	0.00	88 of 207 - Sale <sup>25</sup>
	0.250	2.78	06/05/20	3.29	0.51 W	0.00	89 of 207 - Sale <sup>25</sup>
	0.250	2.78	06/05/20	3.23	0.45 W	0.00	90 of 207 - Sale <sup>25</sup>
	0.250	2.78	06/05/20	3.20	0.42 W	0.00	91 of 207 - Sale <sup>25</sup>
	0.250	2.78	06/05/20	3.42	0.64 W	0.00	92 of 207 - Sale <sup>25</sup>
	0.290	3.22	06/05/20	4.04	0.82 W	0.00	93 of 207 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.09	0.75 W	0.00	94 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	8.89	1.11 W	0.00	95 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	9.81	2.03 W	0.00	96 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	9.55	1.77 W	0.00	97 of 207 - Sale <sup>25</sup>
	0.710	7.89	06/05/20	9.91	2.02 W	0.00	98 of 207 - Sale <sup>25</sup>
	0.750	8.34	06/05/20	9.66	1.32 W	0.00	99 of 207 - Sale <sup>25</sup>
	0.940	10.45	06/05/20	12.07	1.62 W	0.00	100 of 207 - Sale <sup>25</sup>
	0.950	10.56	06/05/20	13.17	2.61 W	0.00	101 of 207 - Sale <sup>25</sup>
	0.950	10.56	06/05/20	12.96	2.40 W	0.00	102 of 207 - Sale <sup>25</sup>
	0.980	10.90	06/05/20	12.91	2.01 W	0.00	103 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.24	2.12 W	0.00	104 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.22	2.10 W	0.00	105 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.19	2.07 W	0.00	106 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.84	2.72 W	0.00	107 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.85	2.73 W	0.00	108 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.86	2.74 W	0.00	109 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.91	2.79 W	0.00	110 of 207 - Sale <sup>25</sup>
	1.050	11.68	06/05/20	13.64	1.96 W	0.00	111 of 207 - Sale <sup>25</sup>
	1.050	11.68	06/05/20	14.42	2.74 W	0.00	112 of 207 - Sale <sup>25</sup>
	1.250	13.90	06/05/20	17.31	3.41 W	0.00	113 of 207 - Sale <sup>25</sup>

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(continued)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.700	18.90	06/05/20	21.89	2.99 W	0.00	114 of 207 - Sale <sup>25</sup>
	1.720	19.13	06/05/20	22.62	3.49 W	0.00	115 of 207 - Sale <sup>25</sup>
	1.750	19.46	06/05/20	23.94	4.48 W	0.00	116 of 207 - Sale <sup>25</sup>
	1.790	19.90	06/05/20	23.27	3.37 W	0.00	117 of 207 - Sale <sup>25</sup>
	2.000	22.24	06/05/20	25.68	3.44 W	0.00	118 of 207 - Sale <sup>25</sup>
	2.000	22.24	06/05/20	25.68	3.44 W	0.00	119 of 207 - Sale <sup>25</sup>
	2.000	22.24	06/05/20	25.75	3.51 W	0.00	120 of 207 - Sale <sup>25</sup>
	2.000	22.24	06/05/20	27.43	5.19 W	0.00	121 of 207 - Sale <sup>25</sup>
	2.000	22.24	06/05/20	27.34	5.10 W	0.00	122 of 207 - Sale <sup>25</sup>
	2.010	22.35	06/05/20	25.82	3.47 W	0.00	123 of 207 - Sale <sup>25</sup>
	2.040	22.68	06/05/20	26.20	3.52 W	0.00	124 of 207 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	41.45	8.09 W	0.00	125 of 207 - Sale <sup>25</sup>
	3.010	33.47	06/05/20	38.65	5.18 W	0.00	126 of 207 - Sale <sup>25</sup>
	3.300	36.69	06/05/20	42.50	5.81 W	0.00	127 of 207 - Sale <sup>25</sup>
	4.210	46.81	06/05/20	55.37	8.56 W	0.00	128 of 207 - Sale <sup>25</sup>
	0.290	3.22	06/05/20	5.16	1.94 W	0.00	129 of 207 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	12.45	4.67 W	0.00	130 of 207 - Sale <sup>25</sup>
	0.840	9.34	06/05/20	14.94	5.60 W	0.00	131 of 207 - Sale <sup>25</sup>
	0.990	11.01	06/05/20	17.58	6.57 W	0.00	132 of 207 - Sale <sup>25</sup>
	0.990	11.01	06/05/20	17.58	6.57 W	0.00	133 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	17.78	6.66 W	0.00	134 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.03	1.91 W	0.00	135 of 207 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.03	1.91 W	0.00	136 of 207 - Sale <sup>25</sup>
	0.060	0.67	06/05/20	0.78	0.11 W	0.00	137 of 207 - Sale <sup>25</sup>
	1.010	11.23	06/05/20	17.96	6.73 W	0.00	138 of 207 - Sale <sup>25</sup>
	2.010	22.35	06/05/20	35.69	13.34 W	0.00	139 of 207 - Sale <sup>25</sup>
	2.010	22.35	06/05/20	35.65	13.30 W	0.00	140 of 207 - Sale <sup>25</sup>
	2.990	33.25	06/05/20	53.56	20.31 W	0.00	141 of 207 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	142 of 207 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	143 of 207 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	144 of 207 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	145 of 207 - Sale <sup>25</sup>
	0.150	1.68	06/05/20	2.07	0.39 W	0.00	146 of 207 - Sale <sup>25</sup>
	0.300	3.35	06/05/20	3.99	0.64 W	0.00	147 of 207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.35	06/05/20	4.14	0.79 W	0.00	148 of 207 - Sale <sup>25</sup>
	0.700	7.82	06/05/20	9.48	1.66 W	0.00	149 of 207 - Sale <sup>25</sup>
	0.700	7.82	06/05/20	9.72	1.90 W	0.00	150 of 207 - Sale <sup>25</sup>
	0.850	9.49	06/05/20	11.83	2.34 W	0.00	151 of 207 - Sale <sup>25</sup>
	0.990	11.06	06/05/20	13.43	2.37 W	0.00	152 of 207 - Sale <sup>25</sup>
	0.990	11.06	06/05/20	13.63	2.57 W	0.00	153 of 207 - Sale <sup>25</sup>
	0.940	10.50	06/05/20	12.26	1.76 W	0.00	154 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.05	1.88 W	0.00	155 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	12.97	1.80 W	0.00	156 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.24	2.07 W	0.00	157 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.23	2.06 W	0.00	158 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.81	2.64 W	0.00	159 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.78	2.61 W	0.00	160 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.93	2.76 W	0.00	161 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.92	2.75 W	0.00	162 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.93	2.76 W	0.00	163 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.79	2.62 W	0.00	164 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.78	2.61 W	0.00	165 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.64	2.47 W	0.00	166 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.66	2.49 W	0.00	167 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.70	2.53 W	0.00	168 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.68	2.51 W	0.00	169 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	13.69	2.52 W	0.00	170 of 207 - Sale <sup>25</sup>
	1.000	11.17	06/05/20	12.28	1.11 W	0.00	171 of 207 - Sale <sup>25</sup>
	1.300	14.52	06/05/20	18.01	3.49 W	0.00	172 of 207 - Sale <sup>25</sup>
	1.710	19.10	06/05/20	22.76	3.66 W	0.00	173 of 207 - Sale <sup>25</sup>
	1.850	20.66	06/05/20	25.17	4.51 W	0.00	174 of 207 - Sale <sup>25</sup>
	1.990	22.23	06/05/20	26.01	3.78 W	0.00	175 of 207 - Sale <sup>25</sup>
	1.990	22.23	06/05/20	27.64	5.41 W	0.00	176 of 207 - Sale <sup>25</sup>
	2.000	22.34	06/05/20	27.59	5.25 W	0.00	177 of 207 - Sale <sup>25</sup>
	2.000	22.34	06/05/20	27.59	5.25 W	0.00	178 of 207 - Sale <sup>25</sup>
	2.010	22.45	06/05/20	26.23	3.78 W	0.00	179 of 207 - Sale <sup>25</sup>
	2.050	22.89	06/05/20	25.18	2.29 W	0.00	180 of 207 - Sale <sup>25</sup>
	2.300	25.69	06/05/20	31.74	6.05 W	0.00	181 of 207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.450	27.37	06/05/20	33.16	5.79 W	0.00	182 of 207 - Sale <sup>25</sup>
	2.700	30.16	06/05/20	36.69	6.53 W	0.00	183 of 207 - Sale <sup>25</sup>
	2.990	33.40	06/05/20	39.62	6.22 W	0.00	184 of 207 - Sale <sup>25</sup>
	2.990	33.40	06/05/20	40.14	6.74 W	0.00	185 of 207 - Sale <sup>25</sup>
	3.000	33.51	06/05/20	38.89	5.38 W	0.00	186 of 207 - Sale <sup>25</sup>
	3.000	33.51	06/05/20	41.40	7.89 W	0.00	187 of 207 - Sale <sup>25</sup>
	3.150	35.18	06/05/20	40.98	5.80 W	0.00	188 of 207 - Sale <sup>25</sup>
	3.840	42.89	06/05/20	50.12	7.23 W	0.00	189 of 207 - Sale <sup>25</sup>
	5.000	55.85	06/05/20	69.50	13.65 W	0.00	190 of 207 - Sale <sup>25</sup>
	3.010	33.62	06/05/20	36.93	3.31 W	0.00	191 of 207 - Sale <sup>25</sup>
	5.700	63.66	06/05/20	78.96	15.30 W	0.00	192 of 207 - Sale <sup>25</sup>
	6.000	67.01	06/05/20	82.66	15.65 W	0.00	193 of 207 - Sale <sup>25</sup>
	6.010	67.13	06/05/20	81.42	14.29 W	0.00	194 of 207 - Sale <sup>25</sup>
	8.000	89.36	06/05/20	111.19	21.83 W	0.00	195 of 207 - Sale <sup>25</sup>
	2.000	22.24	06/05/20	24.36	2.12 W	0.00	196 of 207 - Sale <sup>25</sup>
	2.040	22.69	06/05/20	24.86	2.17 W	0.00	197 of 207 - Sale <sup>25</sup>
	2.950	32.80	06/05/20	36.46	3.66 W	0.00	198 of 207 - Sale <sup>25</sup>
	2.020	22.46	06/05/20	24.58	2.12 W	0.00	199 of 207 - Sale <sup>25</sup>
	1.990	22.13	06/05/20	24.41	2.28 W	0.00	200 of 207 - Sale <sup>25</sup>
	0.050	0.56	06/05/20	0.61	0.05 W	0.00	201 of 207 - Sale <sup>25</sup>
	0.900	10.07	06/05/20	10.89	0.82 W	0.00	202 of 207 - Sale <sup>25</sup>
	1.000	11.19	06/05/20	12.07	0.88 W	0.00	203 of 207 - Sale <sup>25</sup>
	0.060	0.67	06/05/20	0.72	0.05 W	0.00	204 of 207 - Sale <sup>25</sup>
	2.000	22.38	06/05/20	24.19	1.81 W	0.00	205 of 207 - Sale <sup>25</sup>
	0.990	11.08	06/05/20	12.04	0.96 W	0.00	206 of 207 - Sale <sup>25</sup>
	5.000	55.95	06/05/20	60.67	4.72 W	0.00	207 of 207 - Sale <sup>25</sup>
06/08/20	361.000	4,014.30	Various	5,095.20	1,080.90 W	0.00	Total of 207 transactions
	83 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	11.25	06/08/20	10.94	...	0.31	1 of 83 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	10.94	...	0.31	2 of 83 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	10.82	...	0.43	3 of 83 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	10.81	...	0.44	4 of 83 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	10.81	...	0.44	5 of 83 - Sale <sup>25</sup>
	10.000	112.50	06/08/20	111.30	...	1.20	6 of 83 - Sale <sup>25</sup>

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	10.000	112.50	06/08/20	110.55	...	1.95	7 of 83 - Sale <sup>25</sup>
	10.000	112.50	06/08/20	109.53	...	2.97	8 of 83 - Sale <sup>25</sup>
	10.000	112.50	06/08/20	109.50	...	3.00	9 of 83 - Sale <sup>25</sup>
	10.000	112.50	06/08/20	109.50	...	3.00	10 of 83 - Sale <sup>25</sup>
	10.000	112.50	06/08/20	108.80	...	3.70	11 of 83 - Sale <sup>25</sup>
	10.000	112.50	06/08/20	108.80	...	3.70	12 of 83 - Sale <sup>25</sup>
	10.000	112.50	06/08/20	108.60	...	3.90	13 of 83 - Sale <sup>25</sup>
	42.000	472.50	06/08/20	465.78	...	6.72	14 of 83 - Sale <sup>25</sup>
	50.000	562.50	06/08/20	552.45	...	10.05	15 of 83 - Sale <sup>25</sup>
	50.000	562.50	06/08/20	550.50	...	12.00	16 of 83 - Sale <sup>25</sup>
	9.020	100.84	06/09/20	166.98	...	-66.14	17 of 83 - Sale <sup>25</sup>
	4.170	46.62	06/09/20	77.15	...	-30.53	18 of 83 - Sale <sup>25</sup>
	4.000	44.72	06/09/20	69.50	...	-24.78	19 of 83 - Sale <sup>25</sup>
	12.010	134.27	06/09/20	157.82	...	-23.55	20 of 83 - Sale <sup>25</sup>
	2.990	33.43	06/09/20	55.48	...	-22.05	21 of 83 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	55.51	...	-21.97	22 of 83 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	55.31	...	-21.77	23 of 83 - Sale <sup>25</sup>
	2.700	30.18	06/09/20	49.91	...	-19.73	24 of 83 - Sale <sup>25</sup>
	2.010	22.47	06/09/20	37.01	...	-14.54	25 of 83 - Sale <sup>25</sup>
	2.010	22.47	06/09/20	36.97	...	-14.50	26 of 83 - Sale <sup>25</sup>
	1.980	22.14	06/09/20	36.60	...	-14.46	27 of 83 - Sale <sup>25</sup>
	2.010	22.47	06/09/20	36.93	...	-14.46	28 of 83 - Sale <sup>25</sup>
	2.290	25.60	06/09/20	39.83	...	-14.23	29 of 83 - Sale <sup>25</sup>
	1.890	21.13	06/09/20	35.03	...	-13.90	30 of 83 - Sale <sup>25</sup>
	2.300	25.71	06/09/20	39.17	...	-13.46	31 of 83 - Sale <sup>25</sup>
	1.990	22.25	06/09/20	33.85	...	-11.60	32 of 83 - Sale <sup>25</sup>
	1.910	21.35	06/09/20	32.60	...	-11.25	33 of 83 - Sale <sup>25</sup>
	1.160	12.97	06/09/20	21.29	...	-8.32	34 of 83 - Sale <sup>25</sup>
	4.180	46.73	06/09/20	54.93	...	-8.20	35 of 83 - Sale <sup>25</sup>
	3.150	35.21	06/09/20	42.84	...	-7.63	36 of 83 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	18.65	...	-7.47	37 of 83 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	18.49	...	-7.31	38 of 83 - Sale <sup>25</sup>
	1.010	11.29	06/09/20	18.60	...	-7.31	39 of 83 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	18.42	...	-7.24	40 of 83 - Sale <sup>25</sup>

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.990	11.07	06/09/20	18.22	...	-7.15	41 of 83 - Sale <sup>25</sup>
	0.990	11.07	06/09/20	18.22	...	-7.15	42 of 83 - Sale <sup>25</sup>
	3.020	33.76	06/09/20	40.75	...	-6.99	43 of 83 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	40.47	...	-6.93	44 of 83 - Sale <sup>25</sup>
	1.090	12.19	06/09/20	18.61	...	-6.42	45 of 83 - Sale <sup>25</sup>
	1.090	12.19	06/09/20	18.46	...	-6.27	46 of 83 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	17.39	...	-6.21	47 of 83 - Sale <sup>25</sup>
	0.840	9.39	06/09/20	15.48	...	-6.09	48 of 83 - Sale <sup>25</sup>
	3.010	33.65	06/09/20	39.54	...	-5.89	49 of 83 - Sale <sup>25</sup>
	39.000	436.00	06/09/20	441.76	...	-5.76	50 of 83 - Sale <sup>25</sup>
	0.910	10.17	06/09/20	15.71	...	-5.54	51 of 83 - Sale <sup>25</sup>
	0.730	8.16	06/09/20	13.46	...	-5.30	52 of 83 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	12.89	...	-5.06	53 of 83 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	12.14	...	-4.31	54 of 83 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	11.94	...	-4.11	55 of 83 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.67	...	-2.49	56 of 83 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.67	...	-2.49	57 of 83 - Sale <sup>25</sup>
	1.020	11.40	06/09/20	13.75	...	-2.35	58 of 83 - Sale <sup>25</sup>
	0.940	10.51	06/09/20	12.83	...	-2.32	59 of 83 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	5.61	...	-2.26	60 of 83 - Sale <sup>25</sup>
	0.290	3.24	06/09/20	5.35	...	-2.11	61 of 83 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.12	...	-1.94	62 of 83 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	12.61	...	-1.88	63 of 83 - Sale <sup>25</sup>
	0.750	8.38	06/09/20	10.24	...	-1.86	64 of 83 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	12.56	...	-1.83	65 of 83 - Sale <sup>25</sup>
	7.000	78.26	06/09/20	80.04	...	-1.78	66 of 83 - Sale <sup>25</sup>
	0.840	9.39	06/09/20	11.04	...	-1.65	67 of 83 - Sale <sup>25</sup>
	8.000	89.44	06/09/20	90.61	...	-1.17	68 of 83 - Sale <sup>25</sup>
	0.100	1.12	06/09/20	1.86	...	-0.74	69 of 83 - Sale <sup>25</sup>
	0.090	1.01	06/09/20	1.67	...	-0.66	70 of 83 - Sale <sup>25</sup>
	0.100	1.12	06/09/20	1.75	...	-0.63	71 of 83 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.37	...	-0.15	72 of 83 - Sale <sup>25</sup>
	0.060	0.67	06/09/20	0.81	...	-0.14	73 of 83 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	...	-0.05	74 of 83 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.010	0.11	06/09/20	0.13	...	-0.02	75 of 83 - Sale <sup>25</sup>
	8.000	90.72	06/09/20	90.45	...	0.27	76 of 83 - Sale <sup>25</sup>
	23.000	259.25	06/09/20	258.29	...	0.96	77 of 83 - Sale <sup>25</sup>
	27.000	306.17	06/09/20	303.22	...	2.95	78 of 83 - Sale <sup>25</sup>
	30.000	340.19	06/09/20	336.31	...	3.88	79 of 83 - Sale <sup>25</sup>
	104.000	1,172.02	06/09/20	1,167.93	...	4.09	80 of 83 - Sale <sup>25</sup>
	70.000	793.78	06/09/20	784.72	...	9.06	81 of 83 - Sale <sup>25</sup>
	70.000	793.78	06/09/20	783.32	...	10.46	82 of 83 - Sale <sup>25</sup>
	100.000	1,133.98	06/09/20	1,121.04	...	12.94	83 of 83 - Sale <sup>25</sup>
06/09/20	813.000	9,165.30	Various	9,590.67	...	-425.37	Total of 83 transactions
	3456 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	0.150	1.66	06/05/20	1.79	0.13 W	0.00	1 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.24	0.93 W	0.00	2 of 3456 - Sale <sup>25</sup>
	0.810	8.95	06/05/20	9.69	0.74 W	0.00	3 of 3456 - Sale <sup>25</sup>
	1.910	21.10	06/05/20	26.77	5.67 W	0.00	4 of 3456 - Sale <sup>25</sup>
	2.980	32.93	06/05/20	35.67	2.74 W	0.00	5 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.51	1.20 W	0.00	6 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	10.49	2.76 W	0.00	7 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.64	2.59 W	0.00	8 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.72	2.67 W	0.00	9 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.53	2.48 W	0.00	10 of 3456 - Sale <sup>25</sup>
	2.160	23.87	06/05/20	29.49	5.62 W	0.00	11 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	40.94	7.79 W	0.00	12 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	13 of 3456 - Sale <sup>25</sup>
	0.150	1.66	06/05/20	1.87	0.21 W	0.00	14 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	13.50	2.56 W	0.00	15 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.59	1.54 W	0.00	16 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.58	1.53 W	0.00	17 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.48	1.43 W	0.00	18 of 3456 - Sale <sup>25</sup>
	1.850	20.44	06/05/20	23.07	2.63 W	0.00	19 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	42.68	9.53 W	0.00	20 of 3456 - Sale <sup>25</sup>
	4.000	44.20	06/05/20	56.89	12.69 W	0.00	21 of 3456 - Sale <sup>25</sup>
	4.700	51.93	06/05/20	58.66	6.73 W	0.00	22 of 3456 - Sale <sup>25</sup>
	6.300	69.61	06/05/20	78.61	9.00 W	0.00	23 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.010	0.11	06/05/20	0.18	0.07 W	0.00	24 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.31	0.09 W	0.00	25 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/05/20	0.39	0.06 W	0.00	26 of 3456 - Sale <sup>25</sup>
	0.040	0.44	06/05/20	0.65	0.21 W	0.00	27 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/05/20	0.64	0.09 W	0.00	28 of 3456 - Sale <sup>25</sup>
	0.100	1.10	06/05/20	1.40	0.30 W	0.00	29 of 3456 - Sale <sup>25</sup>
	0.100	1.10	06/05/20	1.40	0.30 W	0.00	30 of 3456 - Sale <sup>25</sup>
	0.180	1.99	06/05/20	2.36	0.37 W	0.00	31 of 3456 - Sale <sup>25</sup>
	0.190	2.10	06/05/20	3.19	1.09 W	0.00	32 of 3456 - Sale <sup>25</sup>
	0.260	2.87	06/05/20	4.28	1.41 W	0.00	33 of 3456 - Sale <sup>25</sup>
	0.290	3.20	06/05/20	3.89	0.69 W	0.00	34 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.02	0.71 W	0.00	35 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	3.95	0.64 W	0.00	36 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	11.70	3.97 W	0.00	37 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	11.53	3.80 W	0.00	38 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	9.16	1.43 W	0.00	39 of 3456 - Sale <sup>25</sup>
	0.710	7.85	06/05/20	11.85	4.00 W	0.00	40 of 3456 - Sale <sup>25</sup>
	0.710	7.85	06/05/20	9.97	2.12 W	0.00	41 of 3456 - Sale <sup>25</sup>
	0.710	7.85	06/05/20	9.52	1.67 W	0.00	42 of 3456 - Sale <sup>25</sup>
	0.710	7.85	06/05/20	9.39	1.54 W	0.00	43 of 3456 - Sale <sup>25</sup>
	0.790	8.73	06/05/20	11.06	2.33 W	0.00	44 of 3456 - Sale <sup>25</sup>
	0.800	8.84	06/05/20	11.23	2.39 W	0.00	45 of 3456 - Sale <sup>25</sup>
	0.800	8.84	06/05/20	13.48	4.64 W	0.00	46 of 3456 - Sale <sup>25</sup>
	0.810	8.95	06/05/20	10.67	1.72 W	0.00	47 of 3456 - Sale <sup>25</sup>
	0.900	9.94	06/05/20	15.03	5.09 W	0.00	48 of 3456 - Sale <sup>25</sup>
	0.960	10.61	06/05/20	15.58	4.97 W	0.00	49 of 3456 - Sale <sup>25</sup>
	0.980	10.83	06/05/20	16.39	5.56 W	0.00	50 of 3456 - Sale <sup>25</sup>
	0.980	10.83	06/05/20	16.14	5.31 W	0.00	51 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	16.64	5.70 W	0.00	52 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	16.56	5.62 W	0.00	53 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.72	5.67 W	0.00	54 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.72	5.67 W	0.00	55 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.43	5.38 W	0.00	56 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.15	5.10 W	0.00	57 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.05	06/05/20	15.86	4.81 W	0.00	58 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.19	3.14 W	0.00	59 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.07	2.02 W	0.00	60 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.06	2.01 W	0.00	61 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.96	1.91 W	0.00	62 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.86	1.81 W	0.00	63 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	16.89	5.73 W	0.00	64 of 3456 - Sale <sup>25</sup>
	1.020	11.27	06/05/20	16.62	5.35 W	0.00	65 of 3456 - Sale <sup>25</sup>
	1.100	12.15	06/05/20	18.23	6.08 W	0.00	66 of 3456 - Sale <sup>25</sup>
	1.160	12.82	06/05/20	14.93	2.11 W	0.00	67 of 3456 - Sale <sup>25</sup>
	1.300	14.36	06/05/20	21.85	7.49 W	0.00	68 of 3456 - Sale <sup>25</sup>
	1.300	14.36	06/05/20	21.82	7.46 W	0.00	69 of 3456 - Sale <sup>25</sup>
	1.300	14.36	06/05/20	21.71	7.35 W	0.00	70 of 3456 - Sale <sup>25</sup>
	1.300	14.36	06/05/20	18.47	4.11 W	0.00	71 of 3456 - Sale <sup>25</sup>
	1.300	14.36	06/05/20	16.99	2.63 W	0.00	72 of 3456 - Sale <sup>25</sup>
	1.700	18.78	06/05/20	27.53	8.75 W	0.00	73 of 3456 - Sale <sup>25</sup>
	1.710	18.89	06/05/20	28.77	9.88 W	0.00	74 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	25.73	3.63 W	0.00	75 of 3456 - Sale <sup>25</sup>
	2.300	25.41	06/05/20	38.53	13.12 W	0.00	76 of 3456 - Sale <sup>25</sup>
	2.820	31.16	06/05/20	36.25	5.09 W	0.00	77 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	49.35	16.20 W	0.00	78 of 3456 - Sale <sup>25</sup>
	3.010	33.26	06/05/20	50.48	17.22 W	0.00	79 of 3456 - Sale <sup>25</sup>
	3.020	33.37	06/05/20	49.69	16.32 W	0.00	80 of 3456 - Sale <sup>25</sup>
	3.700	40.88	06/05/20	47.97	7.09 W	0.00	81 of 3456 - Sale <sup>25</sup>
	3.990	44.09	06/05/20	56.26	12.17 W	0.00	82 of 3456 - Sale <sup>25</sup>
	3.990	44.09	06/05/20	51.32	7.23 W	0.00	83 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	9.16	1.43 W	0.00	84 of 3456 - Sale <sup>25</sup>
	0.820	9.06	06/05/20	10.76	1.70 W	0.00	85 of 3456 - Sale <sup>25</sup>
	0.830	9.17	06/05/20	10.88	1.71 W	0.00	86 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	12.99	2.05 W	0.00	87 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.08	2.03 W	0.00	88 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.17	2.12 W	0.00	89 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.16	2.11 W	0.00	90 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.11	2.06 W	0.00	91 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.05	06/05/20	13.14	2.09 W	0.00	92 of 3456 - Sale <sup>25</sup>
	1.700	18.78	06/05/20	22.28	3.50 W	0.00	93 of 3456 - Sale <sup>25</sup>
	1.840	20.33	06/05/20	25.60	5.27 W	0.00	94 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	26.05	4.06 W	0.00	95 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	26.16	4.17 W	0.00	96 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	26.56	4.46 W	0.00	97 of 3456 - Sale <sup>25</sup>
	2.010	22.21	06/05/20	26.29	4.08 W	0.00	98 of 3456 - Sale <sup>25</sup>
	2.170	23.98	06/05/20	28.39	4.41 W	0.00	99 of 3456 - Sale <sup>25</sup>
	2.190	24.20	06/05/20	28.32	4.12 W	0.00	100 of 3456 - Sale <sup>25</sup>
	2.300	25.41	06/05/20	30.36	4.95 W	0.00	101 of 3456 - Sale <sup>25</sup>
	2.300	25.41	06/05/20	30.32	4.91 W	0.00	102 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	39.86	6.71 W	0.00	103 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	39.44	6.29 W	0.00	104 of 3456 - Sale <sup>25</sup>
	3.180	35.14	06/05/20	41.83	6.69 W	0.00	105 of 3456 - Sale <sup>25</sup>
	3.300	36.46	06/05/20	43.29	6.83 W	0.00	106 of 3456 - Sale <sup>25</sup>
	4.000	44.20	06/05/20	53.15	8.95 W	0.00	107 of 3456 - Sale <sup>25</sup>
	5.710	63.09	06/05/20	75.25	12.16 W	0.00	108 of 3456 - Sale <sup>25</sup>
	7.000	77.35	06/05/20	91.65	14.30 W	0.00	109 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/05/20	0.48	0.15 W	0.00	110 of 3456 - Sale <sup>25</sup>
	0.140	1.55	06/05/20	1.80	0.25 W	0.00	111 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.98	1.67 W	0.00	112 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	11.72	3.99 W	0.00	113 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	11.57	3.84 W	0.00	114 of 3456 - Sale <sup>25</sup>
	0.960	10.61	06/05/20	16.00	5.39 W	0.00	115 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.57	5.52 W	0.00	116 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.53	5.48 W	0.00	117 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.06	5.01 W	0.00	118 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.03	4.98 W	0.00	119 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	15.98	4.93 W	0.00	120 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.10	5.05 W	0.00	121 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.09	5.04 W	0.00	122 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.08	5.03 W	0.00	123 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.05	5.00 W	0.00	124 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	16.10	5.05 W	0.00	125 of 3456 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.010	11.16	06/05/20	16.14	4.98 W	0.00	126 of 3456 - Sale <sup>25</sup>
	1.030	11.38	06/05/20	17.07	5.69 W	0.00	127 of 3456 - Sale <sup>25</sup>
	1.040	11.49	06/05/20	17.29	5.80 W	0.00	128 of 3456 - Sale <sup>25</sup>
	1.270	14.03	06/05/20	21.08	7.05 W	0.00	129 of 3456 - Sale <sup>25</sup>
	1.700	18.78	06/05/20	28.25	9.47 W	0.00	130 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	32.08	10.09 W	0.00	131 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	33.24	11.14 W	0.00	132 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	32.15	10.05 W	0.00	133 of 3456 - Sale <sup>25</sup>
	2.030	22.43	06/05/20	34.04	11.61 W	0.00	134 of 3456 - Sale <sup>25</sup>
	2.270	25.08	06/05/20	38.06	12.98 W	0.00	135 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	49.75	16.60 W	0.00	136 of 3456 - Sale <sup>25</sup>
	4.110	45.41	06/05/20	52.69	7.28 W	0.00	137 of 3456 - Sale <sup>25</sup>
	5.700	62.98	06/05/20	73.08	10.10 W	0.00	138 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	139 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.25	0.03 W	0.00	140 of 3456 - Sale <sup>25</sup>
	0.830	9.17	06/05/20	10.73	1.56 W	0.00	141 of 3456 - Sale <sup>25</sup>
	0.980	10.83	06/05/20	12.67	1.84 W	0.00	142 of 3456 - Sale <sup>25</sup>
	0.980	10.83	06/05/20	12.00	1.17 W	0.00	143 of 3456 - Sale <sup>25</sup>
	7.000	77.35	06/05/20	90.12	12.77 W	0.00	144 of 3456 - Sale <sup>25</sup>
	7.990	88.29	06/05/20	110.30	22.01 W	0.00	145 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	146 of 3456 - Sale <sup>25</sup>
	0.180	1.99	06/05/20	2.33	0.34 W	0.00	147 of 3456 - Sale <sup>25</sup>
	0.180	1.99	06/05/20	2.29	0.30 W	0.00	148 of 3456 - Sale <sup>25</sup>
	0.190	2.10	06/05/20	2.40	0.30 W	0.00	149 of 3456 - Sale <sup>25</sup>
	0.810	8.95	06/05/20	10.23	1.28 W	0.00	150 of 3456 - Sale <sup>25</sup>
	0.810	8.95	06/05/20	10.22	1.27 W	0.00	151 of 3456 - Sale <sup>25</sup>
	0.830	9.17	06/05/20	10.49	1.32 W	0.00	152 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	12.73	1.79 W	0.00	153 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.87	1.82 W	0.00	154 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.86	1.81 W	0.00	155 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.75	1.70 W	0.00	156 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	12.75	1.59 W	0.00	157 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	12.97	1.81 W	0.00	158 of 3456 - Sale <sup>25</sup>
	1.160	12.82	06/05/20	14.66	1.84 W	0.00	159 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
1.180		13.04	06/05/20	14.96	1.92 W	0.00	160 of 3456 - Sale <sup>25</sup>
1.810		20.00	06/05/20	23.19	3.19 W	0.00	161 of 3456 - Sale <sup>25</sup>
1.820		20.11	06/05/20	22.91	2.80 W	0.00	162 of 3456 - Sale <sup>25</sup>
1.840		20.33	06/05/20	23.61	3.28 W	0.00	163 of 3456 - Sale <sup>25</sup>
1.990		21.99	06/05/20	25.56	3.57 W	0.00	164 of 3456 - Sale <sup>25</sup>
2.000		22.10	06/05/20	25.19	3.09 W	0.00	165 of 3456 - Sale <sup>25</sup>
2.200		24.31	06/05/20	27.70	3.39 W	0.00	166 of 3456 - Sale <sup>25</sup>
2.990		33.04	06/05/20	37.94	4.90 W	0.00	167 of 3456 - Sale <sup>25</sup>
3.000		33.15	06/05/20	37.71	4.56 W	0.00	168 of 3456 - Sale <sup>25</sup>
3.000		33.15	06/05/20	38.35	5.20 W	0.00	169 of 3456 - Sale <sup>25</sup>
3.010		33.26	06/05/20	38.32	5.06 W	0.00	170 of 3456 - Sale <sup>25</sup>
3.020		33.37	06/05/20	38.24	4.87 W	0.00	171 of 3456 - Sale <sup>25</sup>
3.020		33.37	06/05/20	38.45	5.08 W	0.00	172 of 3456 - Sale <sup>25</sup>
3.170		35.03	06/05/20	40.70	5.67 W	0.00	173 of 3456 - Sale <sup>25</sup>
3.980		43.98	06/05/20	50.25	6.27 W	0.00	174 of 3456 - Sale <sup>25</sup>
4.980		55.03	06/05/20	63.08	8.05 W	0.00	175 of 3456 - Sale <sup>25</sup>
5.980		66.08	06/05/20	76.19	10.11 W	0.00	176 of 3456 - Sale <sup>25</sup>
10.020		110.72	06/05/20	126.90	16.18 W	0.00	177 of 3456 - Sale <sup>25</sup>
13.000		143.65	06/05/20	164.10	20.45 W	0.00	178 of 3456 - Sale <sup>25</sup>
0.190		2.10	06/05/20	2.86	0.76 W	0.00	179 of 3456 - Sale <sup>25</sup>
0.300		3.31	06/05/20	4.56	1.25 W	0.00	180 of 3456 - Sale <sup>25</sup>
0.700		7.73	06/05/20	10.59	2.86 W	0.00	181 of 3456 - Sale <sup>25</sup>
0.840		9.28	06/05/20	10.87	1.59 W	0.00	182 of 3456 - Sale <sup>25</sup>
0.960		10.61	06/05/20	12.41	1.80 W	0.00	183 of 3456 - Sale <sup>25</sup>
1.010		11.16	06/05/20	15.18	4.02 W	0.00	184 of 3456 - Sale <sup>25</sup>
1.020		11.27	06/05/20	13.53	2.26 W	0.00	185 of 3456 - Sale <sup>25</sup>
1.960		21.66	06/05/20	25.23	3.57 W	0.00	186 of 3456 - Sale <sup>25</sup>
3.000		33.15	06/05/20	39.84	6.69 W	0.00	187 of 3456 - Sale <sup>25</sup>
3.010		33.26	06/05/20	38.92	5.66 W	0.00	188 of 3456 - Sale <sup>25</sup>
4.180		46.19	06/05/20	54.04	7.85 W	0.00	189 of 3456 - Sale <sup>25</sup>
5.000		55.25	06/05/20	75.12	19.87 W	0.00	190 of 3456 - Sale <sup>25</sup>
5.980		66.08	06/05/20	79.42	13.34 W	0.00	191 of 3456 - Sale <sup>25</sup>
6.000		66.30	06/05/20	78.77	12.47 W	0.00	192 of 3456 - Sale <sup>25</sup>
12.010		132.71	06/05/20	155.30	22.59 W	0.00	193 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.800	8.84	06/05/20	10.07	1.23 W	0.00	194 of 3456 - Sale <sup>25</sup>
	0.960	10.61	06/05/20	12.37	1.76 W	0.00	195 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.72	1.67 W	0.00	196 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.93	1.88 W	0.00	197 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.84	1.79 W	0.00	198 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	12.88	1.72 W	0.00	199 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	12.82	1.66 W	0.00	200 of 3456 - Sale <sup>25</sup>
	1.170	12.93	06/05/20	14.67	1.74 W	0.00	201 of 3456 - Sale <sup>25</sup>
	1.970	21.77	06/05/20	25.08	3.31 W	0.00	202 of 3456 - Sale <sup>25</sup>
	2.180	24.09	06/05/20	28.08	3.99 W	0.00	203 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	38.46	5.31 W	0.00	204 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	37.78	4.63 W	0.00	205 of 3456 - Sale <sup>25</sup>
	3.030	33.48	06/05/20	38.59	5.11 W	0.00	206 of 3456 - Sale <sup>25</sup>
	3.990	44.09	06/05/20	50.87	6.78 W	0.00	207 of 3456 - Sale <sup>25</sup>
	4.010	44.31	06/05/20	51.84	7.53 W	0.00	208 of 3456 - Sale <sup>25</sup>
	6.860	75.80	06/05/20	86.43	10.63 W	0.00	209 of 3456 - Sale <sup>25</sup>
	8.020	88.62	06/05/20	103.67	15.05 W	0.00	210 of 3456 - Sale <sup>25</sup>
	9.830	108.62	06/05/20	126.29	17.67 W	0.00	211 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	212 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.25	0.03 W	0.00	213 of 3456 - Sale <sup>25</sup>
	0.260	2.87	06/05/20	3.87	1.00 W	0.00	214 of 3456 - Sale <sup>25</sup>
	0.260	2.87	06/05/20	3.43	0.56 W	0.00	215 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.43	1.12 W	0.00	216 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.42	1.11 W	0.00	217 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.41	1.10 W	0.00	218 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.40	1.09 W	0.00	219 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.36	1.05 W	0.00	220 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	3.90	0.59 W	0.00	221 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	10.34	2.61 W	0.00	222 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	10.33	2.60 W	0.00	223 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	10.31	2.58 W	0.00	224 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	10.31	2.58 W	0.00	225 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	10.15	2.42 W	0.00	226 of 3456 - Sale <sup>25</sup>
	0.840	9.28	06/05/20	12.63	3.35 W	0.00	227 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.05	06/05/20	14.96	3.91 W	0.00	228 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.82	3.77 W	0.00	229 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.81	3.76 W	0.00	230 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.55	3.50 W	0.00	231 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.49	3.44 W	0.00	232 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.48	3.43 W	0.00	233 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.54	3.49 W	0.00	234 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.51	3.46 W	0.00	235 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.34	2.29 W	0.00	236 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	14.94	3.78 W	0.00	237 of 3456 - Sale <sup>25</sup>
	1.040	11.49	06/05/20	13.65	2.16 W	0.00	238 of 3456 - Sale <sup>25</sup>
	1.040	11.49	06/05/20	13.57	2.08 W	0.00	239 of 3456 - Sale <sup>25</sup>
	1.110	12.27	06/05/20	16.49	4.22 W	0.00	240 of 3456 - Sale <sup>25</sup>
	1.700	18.78	06/05/20	24.53	5.75 W	0.00	241 of 3456 - Sale <sup>25</sup>
	1.970	21.77	06/05/20	25.87	4.10 W	0.00	242 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	29.34	7.35 W	0.00	243 of 3456 - Sale <sup>25</sup>
	2.030	22.43	06/05/20	30.09	7.66 W	0.00	244 of 3456 - Sale <sup>25</sup>
	2.040	22.54	06/05/20	27.24	4.70 W	0.00	245 of 3456 - Sale <sup>25</sup>
	2.160	23.87	06/05/20	31.97	8.10 W	0.00	246 of 3456 - Sale <sup>25</sup>
	2.270	25.08	06/05/20	33.60	8.52 W	0.00	247 of 3456 - Sale <sup>25</sup>
	2.700	29.83	06/05/20	39.97	10.14 W	0.00	248 of 3456 - Sale <sup>25</sup>
	2.700	29.83	06/05/20	35.38	5.55 W	0.00	249 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	44.25	11.10 W	0.00	250 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	39.96	6.81 W	0.00	251 of 3456 - Sale <sup>25</sup>
	3.700	40.88	06/05/20	55.63	14.75 W	0.00	252 of 3456 - Sale <sup>25</sup>
	3.700	40.88	06/05/20	48.57	7.69 W	0.00	253 of 3456 - Sale <sup>25</sup>
	4.970	54.92	06/05/20	64.60	9.68 W	0.00	254 of 3456 - Sale <sup>25</sup>
	5.000	55.25	06/05/20	65.90	10.65 W	0.00	255 of 3456 - Sale <sup>25</sup>
	5.000	55.25	06/05/20	65.36	10.11 W	0.00	256 of 3456 - Sale <sup>25</sup>
	5.030	55.58	06/05/20	74.48	18.90 W	0.00	257 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	258 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.37	0.15 W	0.00	259 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	11.72	3.99 W	0.00	260 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	11.78	4.05 W	0.00	261 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.970	10.72	06/05/20	16.04	5.32 W	0.00	262 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	17.04	5.99 W	0.00	263 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	18.11	7.06 W	0.00	264 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	17.05	5.89 W	0.00	265 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	33.19	11.20 W	0.00	266 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	35.80	13.81 W	0.00	267 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	33.21	11.11 W	0.00	268 of 3456 - Sale <sup>25</sup>
	2.290	25.30	06/05/20	38.58	13.28 W	0.00	269 of 3456 - Sale <sup>25</sup>
	2.300	25.41	06/05/20	38.39	12.98 W	0.00	270 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	50.20	17.05 W	0.00	271 of 3456 - Sale <sup>25</sup>
	4.000	44.20	06/05/20	67.34	23.14 W	0.00	272 of 3456 - Sale <sup>25</sup>
	4.170	46.08	06/05/20	75.00	28.92 W	0.00	273 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	274 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/05/20	0.69	0.14 W	0.00	275 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/05/20	0.68	0.13 W	0.00	276 of 3456 - Sale <sup>25</sup>
	0.250	2.76	06/05/20	3.33	0.57 W	0.00	277 of 3456 - Sale <sup>25</sup>
	0.250	2.76	06/05/20	3.27	0.51 W	0.00	278 of 3456 - Sale <sup>25</sup>
	0.250	2.76	06/05/20	3.24	0.48 W	0.00	279 of 3456 - Sale <sup>25</sup>
	0.250	2.76	06/05/20	3.46	0.70 W	0.00	280 of 3456 - Sale <sup>25</sup>
	0.290	3.20	06/05/20	4.09	0.89 W	0.00	281 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.13	0.82 W	0.00	282 of 3456 - Sale <sup>25</sup>
	0.700	7.73	06/05/20	8.99	1.26 W	0.00	283 of 3456 - Sale <sup>25</sup>
	0.700	7.74	06/05/20	9.91	2.17 W	0.00	284 of 3456 - Sale <sup>25</sup>
	0.700	7.74	06/05/20	9.65	1.91 W	0.00	285 of 3456 - Sale <sup>25</sup>
	0.710	7.85	06/05/20	10.01	2.16 W	0.00	286 of 3456 - Sale <sup>25</sup>
	0.750	8.29	06/05/20	9.77	1.48 W	0.00	287 of 3456 - Sale <sup>25</sup>
	0.940	10.39	06/05/20	12.20	1.81 W	0.00	288 of 3456 - Sale <sup>25</sup>
	0.950	10.50	06/05/20	13.31	2.81 W	0.00	289 of 3456 - Sale <sup>25</sup>
	0.950	10.50	06/05/20	13.10	2.60 W	0.00	290 of 3456 - Sale <sup>25</sup>
	0.980	10.83	06/05/20	13.04	2.21 W	0.00	291 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.38	2.33 W	0.00	292 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.36	2.31 W	0.00	293 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.33	2.28 W	0.00	294 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.98	2.93 W	0.00	295 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.05	06/05/20	13.99	2.94 W	0.00	296 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.00	2.95 W	0.00	297 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.05	3.00 W	0.00	298 of 3456 - Sale <sup>25</sup>
	1.050	11.60	06/05/20	13.78	2.18 W	0.00	299 of 3456 - Sale <sup>25</sup>
	1.050	11.60	06/05/20	14.56	2.96 W	0.00	300 of 3456 - Sale <sup>25</sup>
	1.250	13.81	06/05/20	17.48	3.67 W	0.00	301 of 3456 - Sale <sup>25</sup>
	1.700	18.78	06/05/20	22.13	3.35 W	0.00	302 of 3456 - Sale <sup>25</sup>
	1.720	19.01	06/05/20	22.86	3.85 W	0.00	303 of 3456 - Sale <sup>25</sup>
	1.750	19.34	06/05/20	24.19	4.85 W	0.00	304 of 3456 - Sale <sup>25</sup>
	1.790	19.78	06/05/20	23.53	3.75 W	0.00	305 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	25.96	3.86 W	0.00	306 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	25.96	3.86 W	0.00	307 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	26.03	3.93 W	0.00	308 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	27.71	5.61 W	0.00	309 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	27.62	5.52 W	0.00	310 of 3456 - Sale <sup>25</sup>
	2.010	22.21	06/05/20	26.10	3.89 W	0.00	311 of 3456 - Sale <sup>25</sup>
	2.040	22.54	06/05/20	26.49	3.95 W	0.00	312 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	41.87	8.72 W	0.00	313 of 3456 - Sale <sup>25</sup>
	3.010	33.26	06/05/20	39.07	5.81 W	0.00	314 of 3456 - Sale <sup>25</sup>
	3.300	36.46	06/05/20	42.97	6.51 W	0.00	315 of 3456 - Sale <sup>25</sup>
	4.210	46.52	06/05/20	55.96	9.44 W	0.00	316 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	317 of 3456 - Sale <sup>25</sup>
	0.060	0.66	06/05/20	0.79	0.13 W	0.00	318 of 3456 - Sale <sup>25</sup>
	0.290	3.20	06/05/20	5.21	2.01 W	0.00	319 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	5.44	2.13 W	0.00	320 of 3456 - Sale <sup>25</sup>
	0.700	7.74	06/05/20	12.55	4.81 W	0.00	321 of 3456 - Sale <sup>25</sup>
	0.820	9.06	06/05/20	14.71	5.65 W	0.00	322 of 3456 - Sale <sup>25</sup>
	0.840	9.28	06/05/20	15.06	5.78 W	0.00	323 of 3456 - Sale <sup>25</sup>
	0.940	10.39	06/05/20	12.34	1.95 W	0.00	324 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	17.72	6.78 W	0.00	325 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	17.72	6.78 W	0.00	326 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	17.99	6.94 W	0.00	327 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	17.92	6.87 W	0.00	328 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.17	2.12 W	0.00	329 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.05	06/05/20	13.17	2.12 W	0.00	330 of 3456 - Sale <sup>25</sup>
	1.010	11.16	06/05/20	18.10	6.94 W	0.00	331 of 3456 - Sale <sup>25</sup>
	1.160	12.82	06/05/20	20.71	7.89 W	0.00	332 of 3456 - Sale <sup>25</sup>
	1.980	21.88	06/05/20	35.60	13.72 W	0.00	333 of 3456 - Sale <sup>25</sup>
	2.010	22.21	06/05/20	36.01	13.80 W	0.00	334 of 3456 - Sale <sup>25</sup>
	2.010	22.21	06/05/20	35.97	13.76 W	0.00	335 of 3456 - Sale <sup>25</sup>
	2.010	22.21	06/05/20	35.93	13.72 W	0.00	336 of 3456 - Sale <sup>25</sup>
	2.060	22.76	06/05/20	27.08	4.32 W	0.00	337 of 3456 - Sale <sup>25</sup>
	2.700	29.84	06/05/20	48.58	18.74 W	0.00	338 of 3456 - Sale <sup>25</sup>
	2.990	33.04	06/05/20	53.98	20.94 W	0.00	339 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	54.01	20.86 W	0.00	340 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	53.81	20.66 W	0.00	341 of 3456 - Sale <sup>25</sup>
	3.150	34.81	06/05/20	41.27	6.46 W	0.00	342 of 3456 - Sale <sup>25</sup>
	9.020	99.67	06/05/20	162.44	62.77 W	0.00	343 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	344 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.02	0.71 W	0.00	345 of 3456 - Sale <sup>25</sup>
	0.300	3.31	06/05/20	4.17	0.86 W	0.00	346 of 3456 - Sale <sup>25</sup>
	0.700	7.74	06/05/20	9.55	1.81 W	0.00	347 of 3456 - Sale <sup>25</sup>
	0.700	7.74	06/05/20	9.79	2.05 W	0.00	348 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.15	2.10 W	0.00	349 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.07	2.02 W	0.00	350 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.34	2.29 W	0.00	351 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.33	2.28 W	0.00	352 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.91	2.86 W	0.00	353 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.88	2.83 W	0.00	354 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.03	2.98 W	0.00	355 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.02	2.97 W	0.00	356 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	14.03	2.98 W	0.00	357 of 3456 - Sale <sup>25</sup>
	1.710	18.90	06/05/20	22.93	4.03 W	0.00	358 of 3456 - Sale <sup>25</sup>
	1.780	19.67	06/05/20	23.41	3.74 W	0.00	359 of 3456 - Sale <sup>25</sup>
	1.850	20.44	06/05/20	25.36	4.92 W	0.00	360 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	26.21	4.22 W	0.00	361 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	27.84	5.85 W	0.00	362 of 3456 - Sale <sup>25</sup>
	2.010	22.21	06/05/20	26.43	4.22 W	0.00	363 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.450	27.07	06/05/20	33.40	6.33 W	0.00	364 of 3456 - Sale <sup>25</sup>
	2.990	33.04	06/05/20	39.92	6.88 W	0.00	365 of 3456 - Sale <sup>25</sup>
	2.990	33.04	06/05/20	40.44	7.40 W	0.00	366 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	39.19	6.04 W	0.00	367 of 3456 - Sale <sup>25</sup>
	5.000	55.25	06/05/20	70.00	14.75 W	0.00	368 of 3456 - Sale <sup>25</sup>
	5.220	57.68	06/05/20	73.07	15.39 W	0.00	369 of 3456 - Sale <sup>25</sup>
	6.010	66.41	06/05/20	82.02	15.61 W	0.00	370 of 3456 - Sale <sup>25</sup>
	0.150	1.66	06/05/20	1.82	0.16 W	0.00	371 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	372 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	373 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/05/20	0.61	0.06 W	0.00	374 of 3456 - Sale <sup>25</sup>
	0.060	0.66	06/05/20	0.73	0.07 W	0.00	375 of 3456 - Sale <sup>25</sup>
	0.150	1.66	06/05/20	2.08	0.42 W	0.00	376 of 3456 - Sale <sup>25</sup>
	0.850	9.39	06/05/20	11.92	2.53 W	0.00	377 of 3456 - Sale <sup>25</sup>
	0.900	9.95	06/05/20	10.96	1.01 W	0.00	378 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	13.52	2.58 W	0.00	379 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	13.72	2.78 W	0.00	380 of 3456 - Sale <sup>25</sup>
	0.990	10.94	06/05/20	12.11	1.17 W	0.00	381 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.88	2.83 W	0.00	382 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.88	2.83 W	0.00	383 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.73	2.68 W	0.00	384 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.76	2.71 W	0.00	385 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.79	2.74 W	0.00	386 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.78	2.73 W	0.00	387 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	13.79	2.74 W	0.00	388 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.38	1.33 W	0.00	389 of 3456 - Sale <sup>25</sup>
	1.000	11.05	06/05/20	12.15	1.10 W	0.00	390 of 3456 - Sale <sup>25</sup>
	1.300	14.36	06/05/20	18.13	3.77 W	0.00	391 of 3456 - Sale <sup>25</sup>
	1.990	21.99	06/05/20	24.70	2.71 W	0.00	392 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	27.78	5.68 W	0.00	393 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	27.78	5.68 W	0.00	394 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	24.65	2.55 W	0.00	395 of 3456 - Sale <sup>25</sup>
	2.000	22.10	06/05/20	24.34	2.24 W	0.00	396 of 3456 - Sale <sup>25</sup>
	2.020	22.32	06/05/20	24.88	2.56 W	0.00	397 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.040	22.54	06/05/20	25.15	2.61 W	0.00	398 of 3456 - Sale <sup>25</sup>
	2.050	22.65	06/05/20	25.38	2.73 W	0.00	399 of 3456 - Sale <sup>25</sup>
	2.300	25.41	06/05/20	31.96	6.55 W	0.00	400 of 3456 - Sale <sup>25</sup>
	2.700	29.84	06/05/20	36.95	7.11 W	0.00	401 of 3456 - Sale <sup>25</sup>
	2.780	30.72	06/05/20	38.91	8.19 W	0.00	402 of 3456 - Sale <sup>25</sup>
	2.950	32.60	06/05/20	36.89	4.29 W	0.00	403 of 3456 - Sale <sup>25</sup>
	3.000	33.15	06/05/20	41.68	8.53 W	0.00	404 of 3456 - Sale <sup>25</sup>
	3.010	33.26	06/05/20	37.22	3.96 W	0.00	405 of 3456 - Sale <sup>25</sup>
	5.000	55.25	06/05/20	61.04	5.79 W	0.00	406 of 3456 - Sale <sup>25</sup>
	5.700	62.99	06/05/20	79.51	16.52 W	0.00	407 of 3456 - Sale <sup>25</sup>
	6.000	66.30	06/05/20	83.24	16.94 W	0.00	408 of 3456 - Sale <sup>25</sup>
	35.010	386.86	06/05/20	394.38	7.52 W	0.00	409 of 3456 - Sale <sup>25</sup>
	100.000	1,105.00	06/05/20	1,126.00	21.00 W	0.00	410 of 3456 - Sale <sup>25</sup>
	16.000	176.80	06/05/20	180.16	3.36 W	0.00	411 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.85	2.73 W	0.00	412 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.93	2.81 W	0.00	413 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	13.74	2.62 W	0.00	414 of 3456 - Sale <sup>25</sup>
	2.160	24.02	06/05/20	29.94	5.92 W	0.00	415 of 3456 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	41.57	8.21 W	0.00	416 of 3456 - Sale <sup>25</sup>
	0.150	1.67	06/05/20	1.90	0.23 W	0.00	417 of 3456 - Sale <sup>25</sup>
	0.990	11.01	06/05/20	13.71	2.70 W	0.00	418 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	12.80	1.68 W	0.00	419 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	12.79	1.67 W	0.00	420 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	12.69	1.57 W	0.00	421 of 3456 - Sale <sup>25</sup>
	1.850	20.57	06/05/20	23.46	2.89 W	0.00	422 of 3456 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	43.31	9.95 W	0.00	423 of 3456 - Sale <sup>25</sup>
	4.000	44.48	06/05/20	57.73	13.25 W	0.00	424 of 3456 - Sale <sup>25</sup>
	3.850	42.81	06/05/20	48.86	6.05 W	0.00	425 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	426 of 3456 - Sale <sup>25</sup>
	0.850	9.45	06/05/20	10.79	1.34 W	0.00	427 of 3456 - Sale <sup>25</sup>
	6.300	70.05	06/05/20	79.94	9.89 W	0.00	428 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.18	0.07 W	0.00	429 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.32	0.10 W	0.00	430 of 3456 - Sale <sup>25</sup>
	0.040	0.44	06/05/20	0.66	0.22 W	0.00	431 of 3456 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.050	0.56	06/05/20	0.68	0.12 W	0.00	432 of 3456 - Sale <sup>25</sup>
	0.100	1.11	06/05/20	1.43	0.32 W	0.00	433 of 3456 - Sale <sup>25</sup>
	0.100	1.11	06/05/20	1.43	0.32 W	0.00	434 of 3456 - Sale <sup>25</sup>
	0.190	2.11	06/05/20	3.23	1.12 W	0.00	435 of 3456 - Sale <sup>25</sup>
	0.260	2.89	06/05/20	4.34	1.45 W	0.00	436 of 3456 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.31	0.97 W	0.00	437 of 3456 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	11.85	4.07 W	0.00	438 of 3456 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	11.68	3.90 W	0.00	439 of 3456 - Sale <sup>25</sup>
	0.710	7.89	06/05/20	11.99	4.10 W	0.00	440 of 3456 - Sale <sup>25</sup>
	0.710	7.90	06/05/20	10.11	2.21 W	0.00	441 of 3456 - Sale <sup>25</sup>
	0.790	8.78	06/05/20	11.23	2.45 W	0.00	442 of 3456 - Sale <sup>25</sup>
	0.800	8.90	06/05/20	11.40	2.50 W	0.00	443 of 3456 - Sale <sup>25</sup>
	0.800	8.90	06/05/20	13.65	4.75 W	0.00	444 of 3456 - Sale <sup>25</sup>
	0.810	9.01	06/05/20	9.86	0.85 W	0.00	445 of 3456 - Sale <sup>25</sup>
	0.900	10.01	06/05/20	15.22	5.21 W	0.00	446 of 3456 - Sale <sup>25</sup>
	0.960	10.67	06/05/20	15.78	5.11 W	0.00	447 of 3456 - Sale <sup>25</sup>
	0.980	10.90	06/05/20	16.59	5.69 W	0.00	448 of 3456 - Sale <sup>25</sup>
	0.980	10.90	06/05/20	16.34	5.44 W	0.00	449 of 3456 - Sale <sup>25</sup>
	0.990	11.01	06/05/20	16.85	5.84 W	0.00	450 of 3456 - Sale <sup>25</sup>
	0.990	11.01	06/05/20	16.77	5.76 W	0.00	451 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	16.93	5.81 W	0.00	452 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	16.93	5.81 W	0.00	453 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	16.64	5.52 W	0.00	454 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	16.36	5.24 W	0.00	455 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	16.07	4.95 W	0.00	456 of 3456 - Sale <sup>25</sup>
	1.000	11.12	06/05/20	14.40	3.28 W	0.00	457 of 3456 - Sale <sup>25</sup>
	1.010	11.23	06/05/20	17.10	5.87 W	0.00	458 of 3456 - Sale <sup>25</sup>
	1.020	11.34	06/05/20	16.84	5.50 W	0.00	459 of 3456 - Sale <sup>25</sup>
	1.100	12.23	06/05/20	18.47	6.24 W	0.00	460 of 3456 - Sale <sup>25</sup>
	1.300	14.46	06/05/20	22.13	7.67 W	0.00	461 of 3456 - Sale <sup>25</sup>
	1.300	14.46	06/05/20	22.10	7.64 W	0.00	462 of 3456 - Sale <sup>25</sup>
	1.300	14.46	06/05/20	21.99	7.53 W	0.00	463 of 3456 - Sale <sup>25</sup>
	1.300	14.46	06/05/20	18.75	4.29 W	0.00	464 of 3456 - Sale <sup>25</sup>
	1.700	18.90	06/05/20	27.89	8.99 W	0.00	465 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.710	19.01	06/05/20	29.13	10.12 W	0.00	466 of 3456 - Sale <sup>25</sup>
	1.910	21.24	06/05/20	27.18	5.94 W	0.00	467 of 3456 - Sale <sup>25</sup>
	2.300	25.57	06/05/20	39.02	13.45 W	0.00	468 of 3456 - Sale <sup>25</sup>
	2.980	33.14	06/05/20	36.29	3.15 W	0.00	469 of 3456 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	49.98	16.62 W	0.00	470 of 3456 - Sale <sup>25</sup>
	3.010	33.47	06/05/20	51.11	17.64 W	0.00	471 of 3456 - Sale <sup>25</sup>
	3.020	33.58	06/05/20	50.32	16.74 W	0.00	472 of 3456 - Sale <sup>25</sup>
	3.990	44.37	06/05/20	57.10	12.73 W	0.00	473 of 3456 - Sale <sup>25</sup>
	0.300	3.34	06/05/20	4.58	1.24 W	0.00	474 of 3456 - Sale <sup>25</sup>
	0.700	7.78	06/05/20	10.64	2.86 W	0.00	475 of 3456 - Sale <sup>25</sup>
	1.700	18.90	06/05/20	22.69	3.79 W	0.00	476 of 3456 - Sale <sup>25</sup>
	1.840	20.46	06/05/20	26.04	5.58 W	0.00	477 of 3456 - Sale <sup>25</sup>
	2.000	22.24	06/05/20	27.04	4.80 W	0.00	478 of 3456 - Sale <sup>25</sup>
	1.160	12.90	06/05/20	15.45	2.55 W	0.00	479 of 3456 - Sale <sup>25</sup>
	2.300	25.57	06/05/20	30.92	5.35 W	0.00	480 of 3456 - Sale <sup>25</sup>
	3.000	33.36	06/05/20	40.58	7.22 W	0.00	481 of 3456 - Sale <sup>25</sup>
	4.000	44.48	06/05/20	54.11	9.63 W	0.00	482 of 3456 - Sale <sup>25</sup>
	0.170	1.91	06/05/20	2.28	0.37 W	0.00	483 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.32	2.07 W	0.00	484 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.41	2.16 W	0.00	485 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.40	2.15 W	0.00	486 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	26.53	4.14 W	0.00	487 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	26.64	4.25 W	0.00	488 of 3456 - Sale <sup>25</sup>
	0.850	9.56	06/05/20	11.32	1.76 W	0.00	489 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	40.16	6.41 W	0.00	490 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.40	0.06 W	0.00	491 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/05/20	0.65	0.09 W	0.00	492 of 3456 - Sale <sup>25</sup>
	0.180	2.02	06/05/20	2.40	0.38 W	0.00	493 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/05/20	3.41	0.60 W	0.00	494 of 3456 - Sale <sup>25</sup>
	0.290	3.26	06/05/20	3.96	0.70 W	0.00	495 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.03	0.66 W	0.00	496 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	9.33	1.46 W	0.00	497 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/05/20	9.69	1.70 W	0.00	498 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/05/20	9.56	1.57 W	0.00	499 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.810	9.11	06/05/20	10.86	1.75 W	0.00	500 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.31	2.06 W	0.00	501 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.30	2.05 W	0.00	502 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.20	1.95 W	0.00	503 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.10	1.85 W	0.00	504 of 3456 - Sale <sup>25</sup>
	1.160	13.05	06/05/20	15.21	2.16 W	0.00	505 of 3456 - Sale <sup>25</sup>
	1.300	14.62	06/05/20	17.31	2.69 W	0.00	506 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	26.21	3.71 W	0.00	507 of 3456 - Sale <sup>25</sup>
	2.820	31.72	06/05/20	36.93	5.21 W	0.00	508 of 3456 - Sale <sup>25</sup>
	3.700	41.62	06/05/20	48.86	7.24 W	0.00	509 of 3456 - Sale <sup>25</sup>
	3.990	44.89	06/05/20	52.28	7.39 W	0.00	510 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	511 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/05/20	0.26	0.04 W	0.00	512 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	9.35	1.48 W	0.00	513 of 3456 - Sale <sup>25</sup>
	0.820	9.22	06/05/20	10.98	1.76 W	0.00	514 of 3456 - Sale <sup>25</sup>
	0.830	9.34	06/05/20	11.10	1.76 W	0.00	515 of 3456 - Sale <sup>25</sup>
	0.830	9.34	06/05/20	10.95	1.61 W	0.00	516 of 3456 - Sale <sup>25</sup>
	0.980	11.02	06/05/20	12.93	1.91 W	0.00	517 of 3456 - Sale <sup>25</sup>
	0.980	11.02	06/05/20	12.26	1.24 W	0.00	518 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/05/20	13.25	2.11 W	0.00	519 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.38	2.13 W	0.00	520 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.41	2.16 W	0.00	521 of 3456 - Sale <sup>25</sup>
	2.170	24.41	06/05/20	28.96	4.55 W	0.00	522 of 3456 - Sale <sup>25</sup>
	2.190	24.64	06/05/20	28.90	4.26 W	0.00	523 of 3456 - Sale <sup>25</sup>
	2.300	25.87	06/05/20	30.93	5.06 W	0.00	524 of 3456 - Sale <sup>25</sup>
	3.010	33.86	06/05/20	40.39	6.53 W	0.00	525 of 3456 - Sale <sup>25</sup>
	3.300	37.12	06/05/20	44.17	7.05 W	0.00	526 of 3456 - Sale <sup>25</sup>
	5.710	64.24	06/05/20	76.77	12.53 W	0.00	527 of 3456 - Sale <sup>25</sup>
	7.000	78.75	06/05/20	93.50	14.75 W	0.00	528 of 3456 - Sale <sup>25</sup>
	7.000	78.75	06/05/20	91.97	13.22 W	0.00	529 of 3456 - Sale <sup>25</sup>
	7.990	89.89	06/05/20	112.42	22.53 W	0.00	530 of 3456 - Sale <sup>25</sup>
	0.810	9.11	06/05/20	10.45	1.34 W	0.00	531 of 3456 - Sale <sup>25</sup>
	1.180	13.27	06/05/20	15.27	2.00 W	0.00	532 of 3456 - Sale <sup>25</sup>
	1.180	13.27	06/05/20	15.26	1.99 W	0.00	533 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.000	33.75	06/05/20	38.50	4.75 W	0.00	534 of 3456 - Sale <sup>25</sup>
	3.020	33.97	06/05/20	39.04	5.07 W	0.00	535 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/05/20	0.49	0.15 W	0.00	536 of 3456 - Sale <sup>25</sup>
	0.140	1.57	06/05/20	1.83	0.26 W	0.00	537 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	5.06	1.69 W	0.00	538 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	11.91	4.04 W	0.00	539 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	11.76	3.89 W	0.00	540 of 3456 - Sale <sup>25</sup>
	0.960	10.80	06/05/20	16.25	5.45 W	0.00	541 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.83	5.58 W	0.00	542 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.80	5.55 W	0.00	543 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.33	5.08 W	0.00	544 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.29	5.04 W	0.00	545 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.25	5.00 W	0.00	546 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.36	5.11 W	0.00	547 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.36	5.11 W	0.00	548 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.34	5.09 W	0.00	549 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.32	5.07 W	0.00	550 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	16.36	5.11 W	0.00	551 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	16.41	5.05 W	0.00	552 of 3456 - Sale <sup>25</sup>
	1.030	11.59	06/05/20	17.34	5.75 W	0.00	553 of 3456 - Sale <sup>25</sup>
	1.040	11.70	06/05/20	17.57	5.87 W	0.00	554 of 3456 - Sale <sup>25</sup>
	1.270	14.29	06/05/20	21.42	7.13 W	0.00	555 of 3456 - Sale <sup>25</sup>
	1.700	19.12	06/05/20	28.71	9.59 W	0.00	556 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	32.61	10.22 W	0.00	557 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	33.77	11.27 W	0.00	558 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	32.68	10.18 W	0.00	559 of 3456 - Sale <sup>25</sup>
	2.030	22.84	06/05/20	34.58	11.74 W	0.00	560 of 3456 - Sale <sup>25</sup>
	2.270	25.54	06/05/20	38.67	13.13 W	0.00	561 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	50.55	16.80 W	0.00	562 of 3456 - Sale <sup>25</sup>
	4.110	46.24	06/05/20	53.78	7.54 W	0.00	563 of 3456 - Sale <sup>25</sup>
	5.700	64.12	06/05/20	74.60	10.48 W	0.00	564 of 3456 - Sale <sup>25</sup>
	3.800	42.75	06/05/20	49.08	6.33 W	0.00	565 of 3456 - Sale <sup>25</sup>
	6.200	69.75	06/05/20	80.07	10.32 W	0.00	566 of 3456 - Sale <sup>25</sup>
	0.830	9.34	06/05/20	10.69	1.35 W	0.00	567 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.010	11.36	06/05/20	12.99	1.63 W	0.00	568 of 3456 - Sale <sup>25</sup>
	1.160	13.05	06/05/20	14.94	1.89 W	0.00	569 of 3456 - Sale <sup>25</sup>
	3.180	35.77	06/05/20	40.91	5.14 W	0.00	570 of 3456 - Sale <sup>25</sup>
	3.820	42.97	06/05/20	49.30	6.33 W	0.00	571 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	572 of 3456 - Sale <sup>25</sup>
	0.180	2.02	06/05/20	2.36	0.34 W	0.00	573 of 3456 - Sale <sup>25</sup>
	0.180	2.02	06/05/20	2.32	0.30 W	0.00	574 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/05/20	2.44	0.30 W	0.00	575 of 3456 - Sale <sup>25</sup>
	0.800	9.00	06/05/20	10.25	1.25 W	0.00	576 of 3456 - Sale <sup>25</sup>
	0.810	9.11	06/05/20	10.37	1.26 W	0.00	577 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/05/20	12.92	1.78 W	0.00	578 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.06	1.81 W	0.00	579 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.05	1.80 W	0.00	580 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.94	1.69 W	0.00	581 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	13.16	1.80 W	0.00	582 of 3456 - Sale <sup>25</sup>
	1.200	13.50	06/05/20	15.34	1.84 W	0.00	583 of 3456 - Sale <sup>25</sup>
	1.810	20.36	06/05/20	23.53	3.17 W	0.00	584 of 3456 - Sale <sup>25</sup>
	1.820	20.47	06/05/20	23.26	2.79 W	0.00	585 of 3456 - Sale <sup>25</sup>
	1.840	20.70	06/05/20	23.96	3.26 W	0.00	586 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	25.94	3.55 W	0.00	587 of 3456 - Sale <sup>25</sup>
	2.990	33.64	06/05/20	38.51	4.87 W	0.00	588 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	38.92	5.17 W	0.00	589 of 3456 - Sale <sup>25</sup>
	3.010	33.86	06/05/20	38.89	5.03 W	0.00	590 of 3456 - Sale <sup>25</sup>
	3.020	33.97	06/05/20	39.03	5.06 W	0.00	591 of 3456 - Sale <sup>25</sup>
	3.170	35.66	06/05/20	41.30	5.64 W	0.00	592 of 3456 - Sale <sup>25</sup>
	5.980	67.27	06/05/20	77.33	10.06 W	0.00	593 of 3456 - Sale <sup>25</sup>
	13.000	146.25	06/05/20	166.57	20.32 W	0.00	594 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/05/20	2.90	0.76 W	0.00	595 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.62	1.25 W	0.00	596 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	10.74	2.87 W	0.00	597 of 3456 - Sale <sup>25</sup>
	0.840	9.45	06/05/20	11.04	1.59 W	0.00	598 of 3456 - Sale <sup>25</sup>
	0.960	10.80	06/05/20	12.60	1.80 W	0.00	599 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	15.38	4.02 W	0.00	600 of 3456 - Sale <sup>25</sup>
	1.020	11.47	06/05/20	13.73	2.26 W	0.00	601 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.960	22.05	06/05/20	25.62	3.57 W	0.00	602 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	40.44	6.69 W	0.00	603 of 3456 - Sale <sup>25</sup>
	3.010	33.86	06/05/20	39.52	5.66 W	0.00	604 of 3456 - Sale <sup>25</sup>
	4.180	47.02	06/05/20	54.88	7.86 W	0.00	605 of 3456 - Sale <sup>25</sup>
	5.000	56.25	06/05/20	76.12	19.87 W	0.00	606 of 3456 - Sale <sup>25</sup>
	5.980	67.27	06/05/20	80.62	13.35 W	0.00	607 of 3456 - Sale <sup>25</sup>
	6.000	67.50	06/05/20	79.97	12.47 W	0.00	608 of 3456 - Sale <sup>25</sup>
	12.010	135.11	06/05/20	157.70	22.59 W	0.00	609 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/05/20	0.52	0.07 W	0.00	610 of 3456 - Sale <sup>25</sup>
	0.800	9.00	06/05/20	10.24	1.24 W	0.00	611 of 3456 - Sale <sup>25</sup>
	0.800	9.00	06/05/20	10.23	1.23 W	0.00	612 of 3456 - Sale <sup>25</sup>
	2.200	24.75	06/05/20	28.14	3.39 W	0.00	613 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.25	0.02 W	0.00	614 of 3456 - Sale <sup>25</sup>
	0.260	2.92	06/05/20	3.92	1.00 W	0.00	615 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.48	1.11 W	0.00	616 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.47	1.10 W	0.00	617 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.46	1.09 W	0.00	618 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.45	1.08 W	0.00	619 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.41	1.04 W	0.00	620 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	10.46	2.59 W	0.00	621 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	10.45	2.58 W	0.00	622 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	10.43	2.56 W	0.00	623 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.43	2.55 W	0.00	624 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.27	2.39 W	0.00	625 of 3456 - Sale <sup>25</sup>
	0.840	9.45	06/05/20	12.77	3.32 W	0.00	626 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	15.12	3.87 W	0.00	627 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.98	3.73 W	0.00	628 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.97	3.72 W	0.00	629 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.72	3.47 W	0.00	630 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.65	3.40 W	0.00	631 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.65	3.40 W	0.00	632 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.70	3.45 W	0.00	633 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.68	3.43 W	0.00	634 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	15.11	3.75 W	0.00	635 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.040	11.70	06/05/20	13.82	2.12 W	0.00	636 of 3456 - Sale <sup>25</sup>
	1.110	12.49	06/05/20	16.67	4.18 W	0.00	637 of 3456 - Sale <sup>25</sup>
	1.700	19.13	06/05/20	24.82	5.69 W	0.00	638 of 3456 - Sale <sup>25</sup>
	1.970	22.16	06/05/20	26.19	4.03 W	0.00	639 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	29.67	7.28 W	0.00	640 of 3456 - Sale <sup>25</sup>
	2.030	22.84	06/05/20	30.43	7.59 W	0.00	641 of 3456 - Sale <sup>25</sup>
	2.160	24.30	06/05/20	32.32	8.02 W	0.00	642 of 3456 - Sale <sup>25</sup>
	2.270	25.54	06/05/20	33.98	8.44 W	0.00	643 of 3456 - Sale <sup>25</sup>
	2.700	30.37	06/05/20	40.42	10.05 W	0.00	644 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	44.74	10.99 W	0.00	645 of 3456 - Sale <sup>25</sup>
	3.170	35.66	06/05/20	41.73	6.07 W	0.00	646 of 3456 - Sale <sup>25</sup>
	3.700	41.62	06/05/20	56.24	14.62 W	0.00	647 of 3456 - Sale <sup>25</sup>
	5.030	56.59	06/05/20	75.31	18.72 W	0.00	648 of 3456 - Sale <sup>25</sup>
	0.960	10.80	06/05/20	12.53	1.73 W	0.00	649 of 3456 - Sale <sup>25</sup>
	0.970	10.91	06/05/20	12.53	1.62 W	0.00	650 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	12.88	1.63 W	0.00	651 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.09	1.84 W	0.00	652 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.00	1.75 W	0.00	653 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	12.99	1.63 W	0.00	654 of 3456 - Sale <sup>25</sup>
	1.170	13.16	06/05/20	14.86	1.70 W	0.00	655 of 3456 - Sale <sup>25</sup>
	1.970	22.16	06/05/20	25.40	3.24 W	0.00	656 of 3456 - Sale <sup>25</sup>
	2.180	24.52	06/05/20	28.44	3.92 W	0.00	657 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	38.95	5.20 W	0.00	658 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	38.27	4.52 W	0.00	659 of 3456 - Sale <sup>25</sup>
	3.030	34.09	06/05/20	39.09	5.00 W	0.00	660 of 3456 - Sale <sup>25</sup>
	3.990	44.89	06/05/20	51.52	6.63 W	0.00	661 of 3456 - Sale <sup>25</sup>
	4.010	45.11	06/05/20	52.50	7.39 W	0.00	662 of 3456 - Sale <sup>25</sup>
	6.860	77.18	06/05/20	87.56	10.38 W	0.00	663 of 3456 - Sale <sup>25</sup>
	8.020	90.23	06/05/20	104.99	14.76 W	0.00	664 of 3456 - Sale <sup>25</sup>
	9.830	110.59	06/05/20	127.91	17.32 W	0.00	665 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	3.95	0.58 W	0.00	666 of 3456 - Sale <sup>25</sup>
	1.800	20.25	06/05/20	23.69	3.44 W	0.00	667 of 3456 - Sale <sup>25</sup>
	2.900	32.63	06/05/20	38.54	5.91 W	0.00	668 of 3456 - Sale <sup>25</sup>
	0.200	2.25	06/05/20	2.68	0.43 W	0.00	669 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.800	9.00	06/05/20	10.77	1.77 W	0.00	670 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.14	0.03 W	0.00	671 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	672 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/05/20	0.71	0.15 W	0.00	673 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/05/20	0.70	0.14 W	0.00	674 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/05/20	1.87	0.18 W	0.00	675 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/05/20	3.42	0.61 W	0.00	676 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/05/20	3.36	0.55 W	0.00	677 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/05/20	3.33	0.52 W	0.00	678 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/05/20	3.55	0.74 W	0.00	679 of 3456 - Sale <sup>25</sup>
	0.260	2.92	06/05/20	3.52	0.60 W	0.00	680 of 3456 - Sale <sup>25</sup>
	0.290	3.26	06/05/20	4.19	0.93 W	0.00	681 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/05/20	4.24	0.87 W	0.00	682 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	9.23	1.35 W	0.00	683 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	10.14	2.26 W	0.00	684 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	9.88	2.00 W	0.00	685 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/05/20	10.25	2.26 W	0.00	686 of 3456 - Sale <sup>25</sup>
	0.750	8.44	06/05/20	10.02	1.58 W	0.00	687 of 3456 - Sale <sup>25</sup>
	0.840	9.45	06/05/20	11.25	1.80 W	0.00	688 of 3456 - Sale <sup>25</sup>
	0.940	10.58	06/05/20	12.52	1.94 W	0.00	689 of 3456 - Sale <sup>25</sup>
	0.950	10.69	06/05/20	13.63	2.94 W	0.00	690 of 3456 - Sale <sup>25</sup>
	0.950	10.69	06/05/20	13.42	2.73 W	0.00	691 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/05/20	13.37	2.34 W	0.00	692 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.68	2.43 W	0.00	693 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.72	2.47 W	0.00	694 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.70	2.45 W	0.00	695 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.67	2.42 W	0.00	696 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.32	3.07 W	0.00	697 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.33	3.08 W	0.00	698 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.34	3.09 W	0.00	699 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.39	3.14 W	0.00	700 of 3456 - Sale <sup>25</sup>
	1.050	11.81	06/05/20	14.14	2.33 W	0.00	701 of 3456 - Sale <sup>25</sup>
	1.050	11.81	06/05/20	14.92	3.11 W	0.00	702 of 3456 - Sale <sup>25</sup>
	1.250	14.06	06/05/20	17.91	3.85 W	0.00	703 of 3456 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.700	19.13	06/05/20	22.71	3.58 W	0.00	704 of 3456 - Sale <sup>25</sup>
	1.720	19.35	06/05/20	23.44	4.09 W	0.00	705 of 3456 - Sale <sup>25</sup>
	1.750	19.69	06/05/20	24.78	5.09 W	0.00	706 of 3456 - Sale <sup>25</sup>
	1.790	20.14	06/05/20	24.14	4.00 W	0.00	707 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	26.64	4.14 W	0.00	708 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	26.64	4.14 W	0.00	709 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	26.71	4.21 W	0.00	710 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	28.39	5.89 W	0.00	711 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	28.30	5.80 W	0.00	712 of 3456 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	26.78	4.17 W	0.00	713 of 3456 - Sale <sup>25</sup>
	2.040	22.95	06/05/20	27.94	4.99 W	0.00	714 of 3456 - Sale <sup>25</sup>
	2.040	22.95	06/05/20	27.19	4.24 W	0.00	715 of 3456 - Sale <sup>25</sup>
	2.700	30.38	06/05/20	36.30	5.92 W	0.00	716 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	40.98	7.23 W	0.00	717 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	42.89	9.14 W	0.00	718 of 3456 - Sale <sup>25</sup>
	3.010	33.86	06/05/20	40.09	6.23 W	0.00	719 of 3456 - Sale <sup>25</sup>
	3.300	37.12	06/05/20	44.10	6.98 W	0.00	720 of 3456 - Sale <sup>25</sup>
	4.210	47.36	06/05/20	57.39	10.03 W	0.00	721 of 3456 - Sale <sup>25</sup>
	5.000	56.25	06/05/20	67.60	11.35 W	0.00	722 of 3456 - Sale <sup>25</sup>
	5.000	56.25	06/05/20	67.06	10.81 W	0.00	723 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.16	0.05 W	0.00	724 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.13	0.02 W	0.00	725 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/05/20	0.15	0.04 W	0.00	726 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/05/20	0.38	0.15 W	0.00	727 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/05/20	0.81	0.13 W	0.00	728 of 3456 - Sale <sup>25</sup>
	0.290	3.26	06/05/20	5.31	2.05 W	0.00	729 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	5.55	2.17 W	0.00	730 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.13	0.75 W	0.00	731 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/05/20	4.28	0.90 W	0.00	732 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	11.96	4.09 W	0.00	733 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	12.02	4.14 W	0.00	734 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/05/20	12.78	4.90 W	0.00	735 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	9.78	1.91 W	0.00	736 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/05/20	10.02	2.15 W	0.00	737 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.820	9.22	06/05/20	14.99	5.77 W	0.00	738 of 3456 - Sale <sup>25</sup>
	0.840	9.45	06/05/20	15.35	5.90 W	0.00	739 of 3456 - Sale <sup>25</sup>
	0.940	10.57	06/05/20	12.66	2.09 W	0.00	740 of 3456 - Sale <sup>25</sup>
	0.970	10.91	06/05/20	16.37	5.46 W	0.00	741 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/05/20	18.06	6.92 W	0.00	742 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/05/20	18.06	6.92 W	0.00	743 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	17.38	6.13 W	0.00	744 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	18.45	7.20 W	0.00	745 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	18.33	7.08 W	0.00	746 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	18.26	7.01 W	0.00	747 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.51	2.26 W	0.00	748 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.51	2.26 W	0.00	749 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.49	2.24 W	0.00	750 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.41	2.16 W	0.00	751 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.68	2.43 W	0.00	752 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	13.67	2.42 W	0.00	753 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.25	3.00 W	0.00	754 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.22	2.97 W	0.00	755 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.37	3.12 W	0.00	756 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.36	3.11 W	0.00	757 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/05/20	14.37	3.12 W	0.00	758 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	17.39	6.03 W	0.00	759 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/05/20	18.44	7.08 W	0.00	760 of 3456 - Sale <sup>25</sup>
	1.160	13.05	06/05/20	21.10	8.05 W	0.00	761 of 3456 - Sale <sup>25</sup>
	1.710	19.24	06/05/20	23.51	4.27 W	0.00	762 of 3456 - Sale <sup>25</sup>
	1.780	20.02	06/05/20	24.01	3.99 W	0.00	763 of 3456 - Sale <sup>25</sup>
	1.850	20.81	06/05/20	25.99	5.18 W	0.00	764 of 3456 - Sale <sup>25</sup>
	1.850	20.81	06/05/20	26.52	5.71 W	0.00	765 of 3456 - Sale <sup>25</sup>
	1.980	22.27	06/05/20	36.27	14.00 W	0.00	766 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	33.87	11.48 W	0.00	767 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	36.48	14.09 W	0.00	768 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	26.89	4.50 W	0.00	769 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/05/20	28.52	6.13 W	0.00	770 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/05/20	33.89	11.39 W	0.00	771 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.010	22.61	06/05/20	36.69	14.08 W	0.00	772 of 3456 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	36.65	14.04 W	0.00	773 of 3456 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	36.61	14.00 W	0.00	774 of 3456 - Sale <sup>25</sup>
	2.010	22.61	06/05/20	27.11	4.50 W	0.00	775 of 3456 - Sale <sup>25</sup>
	2.060	23.18	06/05/20	27.78	4.60 W	0.00	776 of 3456 - Sale <sup>25</sup>
	2.290	25.76	06/05/20	39.36	13.60 W	0.00	777 of 3456 - Sale <sup>25</sup>
	2.300	25.88	06/05/20	39.18	13.30 W	0.00	778 of 3456 - Sale <sup>25</sup>
	2.450	27.56	06/05/20	34.24	6.68 W	0.00	779 of 3456 - Sale <sup>25</sup>
	2.700	30.37	06/05/20	49.49	19.12 W	0.00	780 of 3456 - Sale <sup>25</sup>
	2.990	33.64	06/05/20	55.00	21.36 W	0.00	781 of 3456 - Sale <sup>25</sup>
	2.990	33.64	06/05/20	40.94	7.30 W	0.00	782 of 3456 - Sale <sup>25</sup>
	2.990	33.64	06/05/20	41.46	7.82 W	0.00	783 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	51.22	17.47 W	0.00	784 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	55.03	21.28 W	0.00	785 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	54.83	21.08 W	0.00	786 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/05/20	40.21	6.46 W	0.00	787 of 3456 - Sale <sup>25</sup>
	3.150	35.44	06/05/20	42.34	6.90 W	0.00	788 of 3456 - Sale <sup>25</sup>
	4.000	45.00	06/05/20	68.70	23.70 W	0.00	789 of 3456 - Sale <sup>25</sup>
	4.170	46.91	06/05/20	76.42	29.51 W	0.00	790 of 3456 - Sale <sup>25</sup>
	5.000	56.25	06/05/20	71.70	15.45 W	0.00	791 of 3456 - Sale <sup>25</sup>
	5.220	58.73	06/05/20	74.85	16.12 W	0.00	792 of 3456 - Sale <sup>25</sup>
	6.010	67.61	06/05/20	84.07	16.46 W	0.00	793 of 3456 - Sale <sup>25</sup>
	9.020	101.48	06/05/20	165.51	64.03 W	0.00	794 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/08/20	0.14	0.03 W	0.00	795 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/08/20	0.16	0.05 W	0.00	796 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/08/20	0.62	0.06 W	0.00	797 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/08/20	0.74	0.06 W	0.00	798 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/08/20	2.10	0.41 W	0.00	799 of 3456 - Sale <sup>25</sup>
	0.850	9.56	06/08/20	12.04	2.48 W	0.00	800 of 3456 - Sale <sup>25</sup>
	0.900	10.12	06/08/20	11.08	0.96 W	0.00	801 of 3456 - Sale <sup>25</sup>
	0.930	10.46	06/08/20	13.14	2.68 W	0.00	802 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/08/20	13.65	2.51 W	0.00	803 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/08/20	13.85	2.71 W	0.00	804 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/08/20	12.24	1.10 W	0.00	805 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.25	06/08/20	14.01	2.76 W	0.00	806 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	14.01	2.76 W	0.00	807 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	13.87	2.62 W	0.00	808 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	13.89	2.64 W	0.00	809 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	13.93	2.68 W	0.00	810 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	13.91	2.66 W	0.00	811 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	13.93	2.68 W	0.00	812 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	12.51	1.26 W	0.00	813 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	12.28	1.03 W	0.00	814 of 3456 - Sale <sup>25</sup>
	1.300	14.63	06/08/20	18.31	3.68 W	0.00	815 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/08/20	24.97	2.58 W	0.00	816 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/08/20	28.05	5.55 W	0.00	817 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/08/20	28.05	5.55 W	0.00	818 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/08/20	24.92	2.42 W	0.00	819 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/08/20	24.61	2.11 W	0.00	820 of 3456 - Sale <sup>25</sup>
	2.020	22.73	06/08/20	25.15	2.42 W	0.00	821 of 3456 - Sale <sup>25</sup>
	2.040	22.95	06/08/20	25.43	2.48 W	0.00	822 of 3456 - Sale <sup>25</sup>
	2.050	23.06	06/08/20	25.66	2.60 W	0.00	823 of 3456 - Sale <sup>25</sup>
	2.300	25.88	06/08/20	32.28	6.40 W	0.00	824 of 3456 - Sale <sup>25</sup>
	2.700	30.37	06/08/20	37.31	6.94 W	0.00	825 of 3456 - Sale <sup>25</sup>
	2.950	33.19	06/08/20	37.29	4.10 W	0.00	826 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/08/20	42.09	8.34 W	0.00	827 of 3456 - Sale <sup>25</sup>
	3.010	33.86	06/08/20	37.63	3.77 W	0.00	828 of 3456 - Sale <sup>25</sup>
	5.000	56.25	06/08/20	61.71	5.46 W	0.00	829 of 3456 - Sale <sup>25</sup>
	5.700	64.12	06/08/20	80.28	16.16 W	0.00	830 of 3456 - Sale <sup>25</sup>
	6.000	67.50	06/08/20	84.05	16.55 W	0.00	831 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/08/20	22.79	0.29 W	0.00	832 of 3456 - Sale <sup>25</sup>
	35.010	393.86	06/08/20	399.11	5.25 W	0.00	833 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	11.40	0.15 W	0.00	834 of 3456 - Sale <sup>25</sup>
	5.000	56.25	06/08/20	57.10	0.85 W	0.00	835 of 3456 - Sale <sup>25</sup>
	8.000	90.00	06/08/20	90.96	0.96 W	0.00	836 of 3456 - Sale <sup>25</sup>
	92.000	1,035.00	06/08/20	1,046.04	11.04 W	0.00	837 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	13.82	2.57 W	0.00	838 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	13.90	2.65 W	0.00	839 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.25	06/08/20	13.71	2.46 W	0.00	840 of 3456 - Sale <sup>25</sup>
	2.160	24.30	06/08/20	29.87	5.57 W	0.00	841 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/08/20	41.48	7.73 W	0.00	842 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/08/20	1.89	0.20 W	0.00	843 of 3456 - Sale <sup>25</sup>
	0.850	9.56	06/08/20	10.77	1.21 W	0.00	844 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/08/20	13.68	2.54 W	0.00	845 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	12.77	1.52 W	0.00	846 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	12.76	1.51 W	0.00	847 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	12.66	1.41 W	0.00	848 of 3456 - Sale <sup>25</sup>
	1.850	20.81	06/08/20	23.41	2.60 W	0.00	849 of 3456 - Sale <sup>25</sup>
	2.150	24.19	06/08/20	27.22	3.03 W	0.00	850 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/08/20	43.22	9.47 W	0.00	851 of 3456 - Sale <sup>25</sup>
	3.850	43.31	06/08/20	48.75	5.44 W	0.00	852 of 3456 - Sale <sup>25</sup>
	4.000	45.00	06/08/20	57.61	12.61 W	0.00	853 of 3456 - Sale <sup>25</sup>
	8.000	90.00	06/08/20	90.40	0.40 W	0.00	854 of 3456 - Sale <sup>25</sup>
	0.160	1.80	06/08/20	2.16	0.36 W	0.00	855 of 3456 - Sale <sup>25</sup>
	1.840	20.70	06/08/20	25.99	5.29 W	0.00	856 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/08/20	26.99	4.49 W	0.00	857 of 3456 - Sale <sup>25</sup>
	4.000	45.00	06/08/20	54.00	9.00 W	0.00	858 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/08/20	0.13	0.02 W	0.00	859 of 3456 - Sale <sup>25</sup>
	4.150	46.69	06/08/20	52.53	5.84 W	0.00	860 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/08/20	0.18	0.07 W	0.00	861 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/08/20	0.32	0.09 W	0.00	862 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/08/20	0.66	0.21 W	0.00	863 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/08/20	0.67	0.11 W	0.00	864 of 3456 - Sale <sup>25</sup>
	0.100	1.12	06/08/20	1.43	0.31 W	0.00	865 of 3456 - Sale <sup>25</sup>
	0.100	1.13	06/08/20	1.43	0.30 W	0.00	866 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/08/20	3.23	1.09 W	0.00	867 of 3456 - Sale <sup>25</sup>
	0.260	2.92	06/08/20	4.33	1.41 W	0.00	868 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/08/20	4.30	0.93 W	0.00	869 of 3456 - Sale <sup>25</sup>
	0.700	7.87	06/08/20	11.83	3.96 W	0.00	870 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/08/20	11.66	3.78 W	0.00	871 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/08/20	11.97	3.98 W	0.00	872 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/08/20	10.08	2.09 W	0.00	873 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.790	8.89	06/08/20	11.21	2.32 W	0.00	874 of 3456 - Sale <sup>25</sup>
	0.800	9.00	06/08/20	11.37	2.37 W	0.00	875 of 3456 - Sale <sup>25</sup>
	0.800	9.00	06/08/20	13.62	4.62 W	0.00	876 of 3456 - Sale <sup>25</sup>
	0.810	9.11	06/08/20	9.83	0.72 W	0.00	877 of 3456 - Sale <sup>25</sup>
	0.900	10.13	06/08/20	15.19	5.06 W	0.00	878 of 3456 - Sale <sup>25</sup>
	0.960	10.80	06/08/20	15.76	4.96 W	0.00	879 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/08/20	16.56	5.53 W	0.00	880 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/08/20	16.31	5.28 W	0.00	881 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/08/20	16.82	5.68 W	0.00	882 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/08/20	16.74	5.60 W	0.00	883 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	16.90	5.65 W	0.00	884 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	16.90	5.65 W	0.00	885 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	16.61	5.36 W	0.00	886 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	16.33	5.08 W	0.00	887 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	16.04	4.79 W	0.00	888 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/08/20	14.37	3.12 W	0.00	889 of 3456 - Sale <sup>25</sup>
	1.010	11.36	06/08/20	17.07	5.71 W	0.00	890 of 3456 - Sale <sup>25</sup>
	1.020	11.47	06/08/20	16.81	5.34 W	0.00	891 of 3456 - Sale <sup>25</sup>
	1.100	12.37	06/08/20	18.44	6.07 W	0.00	892 of 3456 - Sale <sup>25</sup>
	1.300	14.62	06/08/20	22.09	7.47 W	0.00	893 of 3456 - Sale <sup>25</sup>
	1.300	14.63	06/08/20	22.06	7.43 W	0.00	894 of 3456 - Sale <sup>25</sup>
	1.300	14.62	06/08/20	21.95	7.33 W	0.00	895 of 3456 - Sale <sup>25</sup>
	1.300	14.62	06/08/20	18.71	4.09 W	0.00	896 of 3456 - Sale <sup>25</sup>
	1.700	19.13	06/08/20	27.84	8.71 W	0.00	897 of 3456 - Sale <sup>25</sup>
	1.710	19.24	06/08/20	29.08	9.84 W	0.00	898 of 3456 - Sale <sup>25</sup>
	1.910	21.49	06/08/20	27.12	5.63 W	0.00	899 of 3456 - Sale <sup>25</sup>
	2.300	25.87	06/08/20	38.96	13.09 W	0.00	900 of 3456 - Sale <sup>25</sup>
	2.980	33.53	06/08/20	36.20	2.67 W	0.00	901 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/08/20	49.89	16.14 W	0.00	902 of 3456 - Sale <sup>25</sup>
	3.010	33.86	06/08/20	51.02	17.16 W	0.00	903 of 3456 - Sale <sup>25</sup>
	3.020	33.97	06/08/20	50.23	16.26 W	0.00	904 of 3456 - Sale <sup>25</sup>
	3.990	44.89	06/08/20	56.98	12.09 W	0.00	905 of 3456 - Sale <sup>25</sup>
	0.300	3.37	06/08/20	4.57	1.20 W	0.00	906 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/08/20	10.62	2.74 W	0.00	907 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.160	13.05	06/08/20	15.41	2.36 W	0.00	908 of 3456 - Sale <sup>25</sup>
	1.700	19.13	06/08/20	22.64	3.51 W	0.00	909 of 3456 - Sale <sup>25</sup>
	2.300	25.87	06/08/20	30.86	4.99 W	0.00	910 of 3456 - Sale <sup>25</sup>
	2.840	31.95	06/08/20	38.33	6.38 W	0.00	911 of 3456 - Sale <sup>25</sup>
	39.000	436.00	06/09/20	444.77	6.52 W	-2.25	912 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	913 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.26	0.03 W	0.00	914 of 3456 - Sale <sup>25</sup>
	0.170	1.91	06/09/20	2.27	0.36 W	0.00	915 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.32	1.44 W	0.00	916 of 3456 - Sale <sup>25</sup>
	0.820	9.23	06/09/20	10.94	1.71 W	0.00	917 of 3456 - Sale <sup>25</sup>
	0.830	9.34	06/09/20	11.06	1.72 W	0.00	918 of 3456 - Sale <sup>25</sup>
	0.830	9.34	06/09/20	10.91	1.57 W	0.00	919 of 3456 - Sale <sup>25</sup>
	0.850	9.56	06/09/20	11.28	1.72 W	0.00	920 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	12.89	1.86 W	0.00	921 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	12.22	1.19 W	0.00	922 of 3456 - Sale <sup>25</sup>
	0.990	11.14	06/09/20	13.20	2.06 W	0.00	923 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.27	2.02 W	0.00	924 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.36	2.11 W	0.00	925 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.35	2.10 W	0.00	926 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.33	2.08 W	0.00	927 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.36	2.11 W	0.00	928 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/09/20	26.43	4.04 W	0.00	929 of 3456 - Sale <sup>25</sup>
	1.990	22.39	06/09/20	26.54	4.15 W	0.00	930 of 3456 - Sale <sup>25</sup>
	2.170	24.41	06/09/20	28.85	4.44 W	0.00	931 of 3456 - Sale <sup>25</sup>
	2.190	24.64	06/09/20	28.79	4.15 W	0.00	932 of 3456 - Sale <sup>25</sup>
	2.300	25.88	06/09/20	30.82	4.94 W	0.00	933 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/09/20	40.01	6.26 W	0.00	934 of 3456 - Sale <sup>25</sup>
	3.010	33.87	06/09/20	40.24	6.37 W	0.00	935 of 3456 - Sale <sup>25</sup>
	3.300	37.13	06/09/20	44.01	6.88 W	0.00	936 of 3456 - Sale <sup>25</sup>
	5.710	64.24	06/09/20	76.48	12.24 W	0.00	937 of 3456 - Sale <sup>25</sup>
	7.000	78.76	06/09/20	93.15	14.39 W	0.00	938 of 3456 - Sale <sup>25</sup>
	7.000	78.76	06/09/20	91.62	12.86 W	0.00	939 of 3456 - Sale <sup>25</sup>
	7.990	89.89	06/09/20	112.02	22.13 W	0.00	940 of 3456 - Sale <sup>25</sup>
	0.810	9.11	06/09/20	10.41	1.30 W	0.00	941 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.180	13.28	06/09/20	15.22	1.94 W	0.00	942 of 3456 - Sale <sup>25</sup>
	1.180	13.28	06/09/20	15.21	1.93 W	0.00	943 of 3456 - Sale <sup>25</sup>
	3.000	33.75	06/09/20	38.35	4.60 W	0.00	944 of 3456 - Sale <sup>25</sup>
	3.020	33.98	06/09/20	38.89	4.91 W	0.00	945 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.40	0.06 W	0.00	946 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.65	0.09 W	0.00	947 of 3456 - Sale <sup>25</sup>
	0.140	1.58	06/09/20	1.83	0.25 W	0.00	948 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.40	0.37 W	0.00	949 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/09/20	3.40	0.59 W	0.00	950 of 3456 - Sale <sup>25</sup>
	0.290	3.26	06/09/20	3.95	0.69 W	0.00	951 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.02	0.64 W	0.00	952 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.30	1.42 W	0.00	953 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/09/20	9.65	1.66 W	0.00	954 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/09/20	9.52	1.53 W	0.00	955 of 3456 - Sale <sup>25</sup>
	0.810	9.11	06/09/20	10.82	1.71 W	0.00	956 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.26	2.01 W	0.00	957 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.25	2.00 W	0.00	958 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.15	1.90 W	0.00	959 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	13.05	1.80 W	0.00	960 of 3456 - Sale <sup>25</sup>
	1.160	13.05	06/09/20	15.15	2.10 W	0.00	961 of 3456 - Sale <sup>25</sup>
	1.300	14.63	06/09/20	17.25	2.62 W	0.00	962 of 3456 - Sale <sup>25</sup>
	2.000	22.50	06/09/20	26.11	3.61 W	0.00	963 of 3456 - Sale <sup>25</sup>
	2.820	31.72	06/09/20	36.79	5.07 W	0.00	964 of 3456 - Sale <sup>25</sup>
	3.700	41.63	06/09/20	48.68	7.05 W	0.00	965 of 3456 - Sale <sup>25</sup>
	3.730	41.96	06/09/20	48.63	6.67 W	0.00	966 of 3456 - Sale <sup>25</sup>
	3.990	44.89	06/09/20	52.08	7.19 W	0.00	967 of 3456 - Sale <sup>25</sup>
	4.110	46.24	06/09/20	53.57	7.33 W	0.00	968 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.15	1.88 W	0.00	969 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.51	0.17 W	0.00	970 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	12.69	1.76 W	0.00	971 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	11.91	4.02 W	0.00	972 of 3456 - Sale <sup>25</sup>
	0.730	8.23	06/09/20	12.33	4.10 W	0.00	973 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.83	5.56 W	0.00	974 of 3456 - Sale <sup>25</sup>
	1.270	14.31	06/09/20	21.42	7.11 W	0.00	975 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.54	06/09/20	33.77	11.23 W	0.00	976 of 3456 - Sale <sup>25</sup>
	2.030	22.88	06/09/20	34.58	11.70 W	0.00	977 of 3456 - Sale <sup>25</sup>
	2.270	25.58	06/09/20	38.67	13.09 W	0.00	978 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	979 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	5.06	1.68 W	0.00	980 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	11.75	3.86 W	0.00	981 of 3456 - Sale <sup>25</sup>
	0.960	10.82	06/09/20	16.23	5.41 W	0.00	982 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	16.36	5.43 W	0.00	983 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.81	5.54 W	0.00	984 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.78	5.51 W	0.00	985 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.31	5.04 W	0.00	986 of 3456 - Sale <sup>25</sup>
	1.040	11.72	06/09/20	17.55	5.83 W	0.00	987 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	50.49	16.68 W	0.00	988 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	989 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	15.78	4.85 W	0.00	990 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.62	1.24 W	0.00	991 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.73	2.84 W	0.00	992 of 3456 - Sale <sup>25</sup>
	0.840	9.47	06/09/20	11.02	1.55 W	0.00	993 of 3456 - Sale <sup>25</sup>
	0.960	10.82	06/09/20	12.58	1.76 W	0.00	994 of 3456 - Sale <sup>25</sup>
	1.020	11.49	06/09/20	13.71	2.22 W	0.00	995 of 3456 - Sale <sup>25</sup>
	1.960	22.09	06/09/20	25.58	3.49 W	0.00	996 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	40.38	6.57 W	0.00	997 of 3456 - Sale <sup>25</sup>
	3.010	33.92	06/09/20	39.46	5.54 W	0.00	998 of 3456 - Sale <sup>25</sup>
	4.180	47.11	06/09/20	54.80	7.69 W	0.00	999 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.61	0.16 W	0.00	1000 of 3456 - Sale <sup>25</sup>
	5.980	67.39	06/09/20	80.51	13.12 W	0.00	1001 of 3456 - Sale <sup>25</sup>
	6.000	67.61	06/09/20	79.85	12.24 W	0.00	1002 of 3456 - Sale <sup>25</sup>
	12.010	135.34	06/09/20	157.46	22.12 W	0.00	1003 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/09/20	2.89	0.75 W	0.00	1004 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	3.92	0.99 W	0.00	1005 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.48	1.10 W	0.00	1006 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.47	1.09 W	0.00	1007 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.46	1.08 W	0.00	1008 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.45	1.07 W	0.00	1009 of 3456 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	7.89	06/09/20	10.45	2.56 W	0.00	1010 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.44	2.55 W	0.00	1011 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.42	2.53 W	0.00	1012 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.41	2.52 W	0.00	1013 of 3456 - Sale <sup>25</sup>
	0.840	9.47	06/09/20	12.75	3.28 W	0.00	1014 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	15.10	3.83 W	0.00	1015 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.96	3.69 W	0.00	1016 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.95	3.68 W	0.00	1017 of 3456 - Sale <sup>25</sup>
	0.740	8.34	06/09/20	10.88	2.54 W	0.00	1018 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	15.36	3.98 W	0.00	1019 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	15.09	3.71 W	0.00	1020 of 3456 - Sale <sup>25</sup>
	1.110	12.51	06/09/20	16.65	4.14 W	0.00	1021 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	29.63	7.20 W	0.00	1022 of 3456 - Sale <sup>25</sup>
	2.030	22.88	06/09/20	30.39	7.51 W	0.00	1023 of 3456 - Sale <sup>25</sup>
	2.160	24.34	06/09/20	32.28	7.94 W	0.00	1024 of 3456 - Sale <sup>25</sup>
	2.270	25.58	06/09/20	33.93	8.35 W	0.00	1025 of 3456 - Sale <sup>25</sup>
	2.700	30.43	06/09/20	40.37	9.94 W	0.00	1026 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	44.68	10.87 W	0.00	1027 of 3456 - Sale <sup>25</sup>
	3.700	41.70	06/09/20	56.17	14.47 W	0.00	1028 of 3456 - Sale <sup>25</sup>
	4.960	55.90	06/09/20	75.41	19.51 W	0.00	1029 of 3456 - Sale <sup>25</sup>
	5.030	56.69	06/09/20	75.21	18.52 W	0.00	1030 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1031 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	1032 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.24	0.01 W	0.00	1033 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.71	0.15 W	0.00	1034 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.70	0.14 W	0.00	1035 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	1.86	0.17 W	0.00	1036 of 3456 - Sale <sup>25</sup>
	0.200	2.25	06/09/20	2.68	0.43 W	0.00	1037 of 3456 - Sale <sup>25</sup>
	0.250	2.82	06/09/20	3.42	0.60 W	0.00	1038 of 3456 - Sale <sup>25</sup>
	0.250	2.82	06/09/20	3.36	0.54 W	0.00	1039 of 3456 - Sale <sup>25</sup>
	0.250	2.82	06/09/20	3.33	0.51 W	0.00	1040 of 3456 - Sale <sup>25</sup>
	0.250	2.82	06/09/20	3.55	0.73 W	0.00	1041 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	3.52	0.59 W	0.00	1042 of 3456 - Sale <sup>25</sup>
	0.290	3.27	06/09/20	4.19	0.92 W	0.00	1043 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.38	06/09/20	4.41	1.03 W	0.00	1044 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	3.95	0.57 W	0.00	1045 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.24	0.86 W	0.00	1046 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.25	2.36 W	0.00	1047 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	9.21	1.32 W	0.00	1048 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.12	2.23 W	0.00	1049 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	9.86	1.97 W	0.00	1050 of 3456 - Sale <sup>25</sup>
	0.710	8.00	06/09/20	10.23	2.23 W	0.00	1051 of 3456 - Sale <sup>25</sup>
	0.750	8.45	06/09/20	10.00	1.55 W	0.00	1052 of 3456 - Sale <sup>25</sup>
	0.800	9.02	06/09/20	10.75	1.73 W	0.00	1053 of 3456 - Sale <sup>25</sup>
	0.840	9.47	06/09/20	11.23	1.76 W	0.00	1054 of 3456 - Sale <sup>25</sup>
	0.940	10.59	06/09/20	12.50	1.91 W	0.00	1055 of 3456 - Sale <sup>25</sup>
	0.950	10.71	06/09/20	13.61	2.90 W	0.00	1056 of 3456 - Sale <sup>25</sup>
	0.950	10.71	06/09/20	13.40	2.69 W	0.00	1057 of 3456 - Sale <sup>25</sup>
	0.980	11.04	06/09/20	13.35	2.31 W	0.00	1058 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	3.82	0.89 W	0.00	1059 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.63	3.36 W	0.00	1060 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.63	3.36 W	0.00	1061 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.68	3.41 W	0.00	1062 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.66	3.39 W	0.00	1063 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.66	2.39 W	0.00	1064 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.70	2.43 W	0.00	1065 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.68	2.41 W	0.00	1066 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.65	2.38 W	0.00	1067 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.30	3.03 W	0.00	1068 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.31	3.04 W	0.00	1069 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.32	3.05 W	0.00	1070 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.37	3.10 W	0.00	1071 of 3456 - Sale <sup>25</sup>
	1.040	11.72	06/09/20	13.80	2.08 W	0.00	1072 of 3456 - Sale <sup>25</sup>
	1.050	11.83	06/09/20	14.12	2.29 W	0.00	1073 of 3456 - Sale <sup>25</sup>
	1.050	11.83	06/09/20	14.90	3.07 W	0.00	1074 of 3456 - Sale <sup>25</sup>
	1.250	14.09	06/09/20	17.89	3.80 W	0.00	1075 of 3456 - Sale <sup>25</sup>
	1.700	19.16	06/09/20	24.78	5.62 W	0.00	1076 of 3456 - Sale <sup>25</sup>
	1.700	19.16	06/09/20	22.67	3.51 W	0.00	1077 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.720	19.38	06/09/20	23.41	4.03 W	0.00	1078 of 3456 - Sale <sup>25</sup>
	1.750	19.72	06/09/20	24.74	5.02 W	0.00	1079 of 3456 - Sale <sup>25</sup>
	1.790	20.17	06/09/20	24.10	3.93 W	0.00	1080 of 3456 - Sale <sup>25</sup>
	1.800	20.29	06/09/20	23.65	3.36 W	0.00	1081 of 3456 - Sale <sup>25</sup>
	1.970	22.20	06/09/20	26.15	3.95 W	0.00	1082 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	26.60	4.06 W	0.00	1083 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	26.60	4.06 W	0.00	1084 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	26.67	4.13 W	0.00	1085 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	28.35	5.81 W	0.00	1086 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	28.26	5.72 W	0.00	1087 of 3456 - Sale <sup>25</sup>
	2.010	22.65	06/09/20	26.74	4.09 W	0.00	1088 of 3456 - Sale <sup>25</sup>
	2.040	22.99	06/09/20	27.90	4.91 W	0.00	1089 of 3456 - Sale <sup>25</sup>
	2.040	22.99	06/09/20	27.15	4.16 W	0.00	1090 of 3456 - Sale <sup>25</sup>
	2.700	30.43	06/09/20	36.24	5.81 W	0.00	1091 of 3456 - Sale <sup>25</sup>
	2.900	32.68	06/09/20	38.48	5.80 W	0.00	1092 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	40.92	7.11 W	0.00	1093 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	42.83	9.02 W	0.00	1094 of 3456 - Sale <sup>25</sup>
	3.010	33.92	06/09/20	40.03	6.11 W	0.00	1095 of 3456 - Sale <sup>25</sup>
	3.170	35.72	06/09/20	41.67	5.95 W	0.00	1096 of 3456 - Sale <sup>25</sup>
	3.300	37.19	06/09/20	44.04	6.85 W	0.00	1097 of 3456 - Sale <sup>25</sup>
	4.210	47.45	06/09/20	57.31	9.86 W	0.00	1098 of 3456 - Sale <sup>25</sup>
	5.000	56.35	06/09/20	67.50	11.15 W	0.00	1099 of 3456 - Sale <sup>25</sup>
	5.000	56.35	06/09/20	66.96	10.61 W	0.00	1100 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	1101 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	1102 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.37	0.14 W	0.00	1103 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/09/20	0.80	0.12 W	0.00	1104 of 3456 - Sale <sup>25</sup>
	0.290	3.27	06/09/20	5.31	2.04 W	0.00	1105 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	5.54	2.16 W	0.00	1106 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.12	0.74 W	0.00	1107 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	11.95	4.06 W	0.00	1108 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	12.00	4.11 W	0.00	1109 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	12.76	4.87 W	0.00	1110 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	9.77	1.88 W	0.00	1111 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.820	9.24	06/09/20	14.98	5.74 W	0.00	1112 of 3456 - Sale <sup>25</sup>
	0.840	9.47	06/09/20	15.33	5.86 W	0.00	1113 of 3456 - Sale <sup>25</sup>
	0.940	10.59	06/09/20	12.65	2.06 W	0.00	1114 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	16.35	5.42 W	0.00	1115 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	18.04	6.88 W	0.00	1116 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	18.04	6.88 W	0.00	1117 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	17.36	6.09 W	0.00	1118 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	18.43	7.16 W	0.00	1119 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	18.31	7.04 W	0.00	1120 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	18.24	6.97 W	0.00	1121 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.49	2.22 W	0.00	1122 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.49	2.22 W	0.00	1123 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.47	2.20 W	0.00	1124 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.39	2.12 W	0.00	1125 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.66	2.39 W	0.00	1126 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.65	2.38 W	0.00	1127 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.23	2.96 W	0.00	1128 of 3456 - Sale <sup>25</sup>
	0.910	10.25	06/09/20	12.92	2.67 W	0.00	1129 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	17.37	5.99 W	0.00	1130 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	18.42	7.04 W	0.00	1131 of 3456 - Sale <sup>25</sup>
	1.160	13.07	06/09/20	21.08	8.01 W	0.00	1132 of 3456 - Sale <sup>25</sup>
	1.710	19.27	06/09/20	23.47	4.20 W	0.00	1133 of 3456 - Sale <sup>25</sup>
	1.780	20.06	06/09/20	23.98	3.92 W	0.00	1134 of 3456 - Sale <sup>25</sup>
	1.850	20.85	06/09/20	25.96	5.11 W	0.00	1135 of 3456 - Sale <sup>25</sup>
	1.980	22.31	06/09/20	36.24	13.93 W	0.00	1136 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	33.83	11.40 W	0.00	1137 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	36.44	14.01 W	0.00	1138 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	26.85	4.42 W	0.00	1139 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	33.85	11.31 W	0.00	1140 of 3456 - Sale <sup>25</sup>
	2.010	22.65	06/09/20	36.65	14.00 W	0.00	1141 of 3456 - Sale <sup>25</sup>
	2.010	22.65	06/09/20	36.61	13.96 W	0.00	1142 of 3456 - Sale <sup>25</sup>
	2.010	22.65	06/09/20	36.57	13.92 W	0.00	1143 of 3456 - Sale <sup>25</sup>
	2.010	22.65	06/09/20	27.07	4.42 W	0.00	1144 of 3456 - Sale <sup>25</sup>
	2.060	23.21	06/09/20	27.73	4.52 W	0.00	1145 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.290	25.81	06/09/20	39.32	13.51 W	0.00	1146 of 3456 - Sale <sup>25</sup>
	2.300	25.92	06/09/20	39.13	13.21 W	0.00	1147 of 3456 - Sale <sup>25</sup>
	2.450	27.61	06/09/20	34.19	6.58 W	0.00	1148 of 3456 - Sale <sup>25</sup>
	2.700	30.43	06/09/20	49.44	19.01 W	0.00	1149 of 3456 - Sale <sup>25</sup>
	2.990	33.70	06/09/20	54.94	21.24 W	0.00	1150 of 3456 - Sale <sup>25</sup>
	2.990	33.69	06/09/20	40.88	7.19 W	0.00	1151 of 3456 - Sale <sup>25</sup>
	2.990	33.70	06/09/20	41.40	7.70 W	0.00	1152 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	51.16	17.35 W	0.00	1153 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	54.97	21.16 W	0.00	1154 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	54.77	20.96 W	0.00	1155 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	40.15	6.34 W	0.00	1156 of 3456 - Sale <sup>25</sup>
	3.150	35.50	06/09/20	42.27	6.77 W	0.00	1157 of 3456 - Sale <sup>25</sup>
	4.000	45.08	06/09/20	68.62	23.54 W	0.00	1158 of 3456 - Sale <sup>25</sup>
	4.170	46.99	06/09/20	76.34	29.35 W	0.00	1159 of 3456 - Sale <sup>25</sup>
	6.010	67.72	06/09/20	83.95	16.23 W	0.00	1160 of 3456 - Sale <sup>25</sup>
	9.020	101.65	06/09/20	165.33	63.68 W	0.00	1161 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	1162 of 3456 - Sale <sup>25</sup>
	0.160	1.80	06/09/20	2.16	0.36 W	0.00	1163 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.27	0.89 W	0.00	1164 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.01	2.12 W	0.00	1165 of 3456 - Sale <sup>25</sup>
	0.090	1.01	06/09/20	1.28	0.27 W	0.00	1166 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.35	3.08 W	0.00	1167 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.34	3.07 W	0.00	1168 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.35	3.08 W	0.00	1169 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.80	2.53 W	0.00	1170 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.88	2.61 W	0.00	1171 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.69	2.42 W	0.00	1172 of 3456 - Sale <sup>25</sup>
	1.160	13.07	06/09/20	15.39	2.32 W	0.00	1173 of 3456 - Sale <sup>25</sup>
	1.700	19.16	06/09/20	22.60	3.44 W	0.00	1174 of 3456 - Sale <sup>25</sup>
	1.840	20.74	06/09/20	25.95	5.21 W	0.00	1175 of 3456 - Sale <sup>25</sup>
	1.850	20.85	06/09/20	26.49	5.64 W	0.00	1176 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	28.48	6.05 W	0.00	1177 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	26.95	4.41 W	0.00	1178 of 3456 - Sale <sup>25</sup>
	2.160	24.34	06/09/20	29.83	5.49 W	0.00	1179 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.300	25.92	06/09/20	30.82	4.90 W	0.00	1180 of 3456 - Sale <sup>25</sup>
	2.840	32.01	06/09/20	38.27	6.26 W	0.00	1181 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	41.42	7.61 W	0.00	1182 of 3456 - Sale <sup>25</sup>
	4.000	45.08	06/09/20	53.92	8.84 W	0.00	1183 of 3456 - Sale <sup>25</sup>
	5.000	56.35	06/09/20	71.60	15.25 W	0.00	1184 of 3456 - Sale <sup>25</sup>
	5.220	58.83	06/09/20	74.74	15.91 W	0.00	1185 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	1186 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1187 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.25	0.02 W	0.00	1188 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	1.88	0.19 W	0.00	1189 of 3456 - Sale <sup>25</sup>
	0.170	1.92	06/09/20	2.27	0.35 W	0.00	1190 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	9.30	1.41 W	0.00	1191 of 3456 - Sale <sup>25</sup>
	0.820	9.24	06/09/20	10.92	1.68 W	0.00	1192 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	11.04	1.69 W	0.00	1193 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	10.89	1.54 W	0.00	1194 of 3456 - Sale <sup>25</sup>
	0.850	9.58	06/09/20	10.76	1.18 W	0.00	1195 of 3456 - Sale <sup>25</sup>
	0.850	9.58	06/09/20	11.27	1.69 W	0.00	1196 of 3456 - Sale <sup>25</sup>
	0.980	11.04	06/09/20	12.87	1.83 W	0.00	1197 of 3456 - Sale <sup>25</sup>
	0.980	11.04	06/09/20	12.20	1.16 W	0.00	1198 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	13.66	2.50 W	0.00	1199 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	13.18	2.02 W	0.00	1200 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.75	1.48 W	0.00	1201 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.74	1.47 W	0.00	1202 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.64	1.37 W	0.00	1203 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.25	1.98 W	0.00	1204 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.34	2.07 W	0.00	1205 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.33	2.06 W	0.00	1206 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.31	2.04 W	0.00	1207 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.34	2.07 W	0.00	1208 of 3456 - Sale <sup>25</sup>
	1.850	20.85	06/09/20	23.38	2.53 W	0.00	1209 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	26.39	3.96 W	0.00	1210 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	26.50	4.07 W	0.00	1211 of 3456 - Sale <sup>25</sup>
	2.150	24.23	06/09/20	27.17	2.94 W	0.00	1212 of 3456 - Sale <sup>25</sup>
	2.170	24.45	06/09/20	28.81	4.36 W	0.00	1213 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.190	24.68	06/09/20	28.74	4.06 W	0.00	1214 of 3456 - Sale <sup>25</sup>
	2.300	25.92	06/09/20	30.77	4.85 W	0.00	1215 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	43.16	9.35 W	0.00	1216 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	39.95	6.14 W	0.00	1217 of 3456 - Sale <sup>25</sup>
	3.010	33.92	06/09/20	40.17	6.25 W	0.00	1218 of 3456 - Sale <sup>25</sup>
	3.300	37.19	06/09/20	43.94	6.75 W	0.00	1219 of 3456 - Sale <sup>25</sup>
	3.850	43.39	06/09/20	48.68	5.29 W	0.00	1220 of 3456 - Sale <sup>25</sup>
	4.000	45.08	06/09/20	57.53	12.45 W	0.00	1221 of 3456 - Sale <sup>25</sup>
	4.150	46.77	06/09/20	52.44	5.67 W	0.00	1222 of 3456 - Sale <sup>25</sup>
	5.710	64.35	06/09/20	76.36	12.01 W	0.00	1223 of 3456 - Sale <sup>25</sup>
	7.000	78.89	06/09/20	93.00	14.11 W	0.00	1224 of 3456 - Sale <sup>25</sup>
	7.000	78.89	06/09/20	91.47	12.58 W	0.00	1225 of 3456 - Sale <sup>25</sup>
	7.990	90.04	06/09/20	111.86	21.82 W	0.00	1226 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	1227 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.18	0.07 W	0.00	1228 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.31	0.08 W	0.00	1229 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.52	0.07 W	0.00	1230 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.66	0.21 W	0.00	1231 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.67	0.11 W	0.00	1232 of 3456 - Sale <sup>25</sup>
	0.100	1.13	06/09/20	1.43	0.30 W	0.00	1233 of 3456 - Sale <sup>25</sup>
	0.100	1.13	06/09/20	1.42	0.29 W	0.00	1234 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.36	0.33 W	0.00	1235 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.32	0.29 W	0.00	1236 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/09/20	2.43	0.29 W	0.00	1237 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/09/20	3.22	1.08 W	0.00	1238 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	4.33	1.40 W	0.00	1239 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.30	0.92 W	0.00	1240 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	11.82	3.93 W	0.00	1241 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	11.64	3.75 W	0.00	1242 of 3456 - Sale <sup>25</sup>
	0.710	8.00	06/09/20	11.95	3.95 W	0.00	1243 of 3456 - Sale <sup>25</sup>
	0.710	8.00	06/09/20	10.06	2.06 W	0.00	1244 of 3456 - Sale <sup>25</sup>
	0.790	8.90	06/09/20	11.19	2.29 W	0.00	1245 of 3456 - Sale <sup>25</sup>
	0.800	9.02	06/09/20	10.23	1.21 W	0.00	1246 of 3456 - Sale <sup>25</sup>
	0.800	9.02	06/09/20	10.22	1.20 W	0.00	1247 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.800	9.02	06/09/20	10.21	1.19 W	0.00	1248 of 3456 - Sale <sup>25</sup>
	0.800	9.02	06/09/20	11.35	2.33 W	0.00	1249 of 3456 - Sale <sup>25</sup>
	0.800	9.02	06/09/20	13.60	4.58 W	0.00	1250 of 3456 - Sale <sup>25</sup>
	0.810	9.13	06/09/20	10.36	1.23 W	0.00	1251 of 3456 - Sale <sup>25</sup>
	0.810	9.13	06/09/20	9.82	0.69 W	0.00	1252 of 3456 - Sale <sup>25</sup>
	0.810	9.13	06/09/20	10.40	1.27 W	0.00	1253 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	10.67	1.32 W	0.00	1254 of 3456 - Sale <sup>25</sup>
	0.900	10.14	06/09/20	15.17	5.03 W	0.00	1255 of 3456 - Sale <sup>25</sup>
	0.960	10.82	06/09/20	12.51	1.69 W	0.00	1256 of 3456 - Sale <sup>25</sup>
	0.960	10.82	06/09/20	15.74	4.92 W	0.00	1257 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	12.51	1.58 W	0.00	1258 of 3456 - Sale <sup>25</sup>
	0.980	11.04	06/09/20	16.54	5.50 W	0.00	1259 of 3456 - Sale <sup>25</sup>
	0.980	11.04	06/09/20	16.29	5.25 W	0.00	1260 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	12.90	1.74 W	0.00	1261 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	16.80	5.64 W	0.00	1262 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	16.72	5.56 W	0.00	1263 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.04	1.77 W	0.00	1264 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.03	1.76 W	0.00	1265 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.92	1.65 W	0.00	1266 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.86	1.59 W	0.00	1267 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.07	1.80 W	0.00	1268 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.98	1.71 W	0.00	1269 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.88	5.61 W	0.00	1270 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.88	5.61 W	0.00	1271 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.59	5.32 W	0.00	1272 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.31	5.04 W	0.00	1273 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.02	4.75 W	0.00	1274 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	14.35	3.08 W	0.00	1275 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	12.97	1.59 W	0.00	1276 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	13.14	1.76 W	0.00	1277 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	12.97	1.59 W	0.00	1278 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	17.05	5.67 W	0.00	1279 of 3456 - Sale <sup>25</sup>
	1.020	11.49	06/09/20	16.79	5.30 W	0.00	1280 of 3456 - Sale <sup>25</sup>
	1.100	12.40	06/09/20	18.42	6.02 W	0.00	1281 of 3456 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.160	13.07	06/09/20	14.92	1.85 W	0.00	1282 of 3456 - Sale <sup>25</sup>
	1.170	13.19	06/09/20	14.84	1.65 W	0.00	1283 of 3456 - Sale <sup>25</sup>
	1.180	13.30	06/09/20	15.19	1.89 W	0.00	1284 of 3456 - Sale <sup>25</sup>
	1.180	13.30	06/09/20	15.18	1.88 W	0.00	1285 of 3456 - Sale <sup>25</sup>
	1.200	13.52	06/09/20	15.32	1.80 W	0.00	1286 of 3456 - Sale <sup>25</sup>
	1.300	14.65	06/09/20	22.07	7.42 W	0.00	1287 of 3456 - Sale <sup>25</sup>
	1.300	14.65	06/09/20	22.03	7.38 W	0.00	1288 of 3456 - Sale <sup>25</sup>
	1.300	14.65	06/09/20	21.93	7.28 W	0.00	1289 of 3456 - Sale <sup>25</sup>
	1.300	14.65	06/09/20	18.69	4.04 W	0.00	1290 of 3456 - Sale <sup>25</sup>
	1.700	19.16	06/09/20	27.80	8.64 W	0.00	1291 of 3456 - Sale <sup>25</sup>
	1.710	19.27	06/09/20	29.04	9.77 W	0.00	1292 of 3456 - Sale <sup>25</sup>
	1.810	20.40	06/09/20	23.50	3.10 W	0.00	1293 of 3456 - Sale <sup>25</sup>
	1.820	20.51	06/09/20	23.23	2.72 W	0.00	1294 of 3456 - Sale <sup>25</sup>
	1.840	20.74	06/09/20	23.92	3.18 W	0.00	1295 of 3456 - Sale <sup>25</sup>
	1.910	21.52	06/09/20	27.08	5.56 W	0.00	1296 of 3456 - Sale <sup>25</sup>
	1.970	22.20	06/09/20	25.36	3.16 W	0.00	1297 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	25.90	3.47 W	0.00	1298 of 3456 - Sale <sup>25</sup>
	2.180	24.57	06/09/20	28.40	3.83 W	0.00	1299 of 3456 - Sale <sup>25</sup>
	2.200	24.79	06/09/20	28.10	3.31 W	0.00	1300 of 3456 - Sale <sup>25</sup>
	2.300	25.92	06/09/20	38.92	13.00 W	0.00	1301 of 3456 - Sale <sup>25</sup>
	2.980	33.58	06/09/20	36.14	2.56 W	0.00	1302 of 3456 - Sale <sup>25</sup>
	2.990	33.70	06/09/20	38.45	4.75 W	0.00	1303 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	38.86	5.05 W	0.00	1304 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	38.89	5.08 W	0.00	1305 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	38.21	4.40 W	0.00	1306 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	49.83	16.02 W	0.00	1307 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	38.29	4.48 W	0.00	1308 of 3456 - Sale <sup>25</sup>
	3.010	33.92	06/09/20	38.83	4.91 W	0.00	1309 of 3456 - Sale <sup>25</sup>
	3.010	33.92	06/09/20	50.96	17.04 W	0.00	1310 of 3456 - Sale <sup>25</sup>
	3.020	34.03	06/09/20	38.97	4.94 W	0.00	1311 of 3456 - Sale <sup>25</sup>
	3.020	34.03	06/09/20	50.18	16.15 W	0.00	1312 of 3456 - Sale <sup>25</sup>
	3.020	34.03	06/09/20	38.83	4.80 W	0.00	1313 of 3456 - Sale <sup>25</sup>
	3.030	34.15	06/09/20	39.03	4.88 W	0.00	1314 of 3456 - Sale <sup>25</sup>
	3.170	35.72	06/09/20	41.24	5.52 W	0.00	1315 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.180	35.84	06/09/20	40.85	5.01 W	0.00	1316 of 3456 - Sale <sup>25</sup>
	3.800	42.82	06/09/20	49.00	6.18 W	0.00	1317 of 3456 - Sale <sup>25</sup>
	3.820	43.05	06/09/20	49.23	6.18 W	0.00	1318 of 3456 - Sale <sup>25</sup>
	3.990	44.97	06/09/20	51.44	6.47 W	0.00	1319 of 3456 - Sale <sup>25</sup>
	3.990	44.97	06/09/20	56.90	11.93 W	0.00	1320 of 3456 - Sale <sup>25</sup>
	4.010	45.19	06/09/20	52.42	7.23 W	0.00	1321 of 3456 - Sale <sup>25</sup>
	5.980	67.39	06/09/20	77.22	9.83 W	0.00	1322 of 3456 - Sale <sup>25</sup>
	6.200	69.87	06/09/20	79.95	10.08 W	0.00	1323 of 3456 - Sale <sup>25</sup>
	6.860	77.31	06/09/20	87.42	10.11 W	0.00	1324 of 3456 - Sale <sup>25</sup>
	8.020	90.38	06/09/20	104.82	14.44 W	0.00	1325 of 3456 - Sale <sup>25</sup>
	9.830	110.78	06/09/20	127.71	16.93 W	0.00	1326 of 3456 - Sale <sup>25</sup>
	13.000	146.50	06/09/20	166.31	19.81 W	0.00	1327 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1328 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	1329 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	1330 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.40	0.06 W	0.00	1331 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.51	0.17 W	0.00	1332 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	1333 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	1334 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.62	0.06 W	0.00	1335 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.65	0.09 W	0.00	1336 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/09/20	0.73	0.05 W	0.00	1337 of 3456 - Sale <sup>25</sup>
	0.140	1.58	06/09/20	1.82	0.24 W	0.00	1338 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	2.09	0.40 W	0.00	1339 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.39	0.36 W	0.00	1340 of 3456 - Sale <sup>25</sup>
	0.250	2.82	06/09/20	3.40	0.58 W	0.00	1341 of 3456 - Sale <sup>25</sup>
	0.290	3.27	06/09/20	3.95	0.68 W	0.00	1342 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.57	1.19 W	0.00	1343 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.01	0.63 W	0.00	1344 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	5.05	1.67 W	0.00	1345 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.60	2.71 W	0.00	1346 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	9.28	1.39 W	0.00	1347 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	11.88	3.99 W	0.00	1348 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	11.72	3.83 W	0.00	1349 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.710	8.00	06/09/20	9.63	1.63 W	0.00	1350 of 3456 - Sale <sup>25</sup>
	0.710	8.00	06/09/20	9.50	1.50 W	0.00	1351 of 3456 - Sale <sup>25</sup>
	0.730	8.23	06/09/20	12.30	4.07 W	0.00	1352 of 3456 - Sale <sup>25</sup>
	0.810	9.13	06/09/20	10.81	1.68 W	0.00	1353 of 3456 - Sale <sup>25</sup>
	0.850	9.58	06/09/20	12.03	2.45 W	0.00	1354 of 3456 - Sale <sup>25</sup>
	0.900	10.14	06/09/20	11.07	0.93 W	0.00	1355 of 3456 - Sale <sup>25</sup>
	0.930	10.48	06/09/20	13.12	2.64 W	0.00	1356 of 3456 - Sale <sup>25</sup>
	0.960	10.82	06/09/20	16.19	5.37 W	0.00	1357 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	15.74	4.81 W	0.00	1358 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	12.65	1.72 W	0.00	1359 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	16.32	5.39 W	0.00	1360 of 3456 - Sale <sup>25</sup>
	0.970	10.93	06/09/20	15.74	4.81 W	0.00	1361 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	13.63	2.47 W	0.00	1362 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	13.83	2.67 W	0.00	1363 of 3456 - Sale <sup>25</sup>
	0.990	11.16	06/09/20	12.22	1.06 W	0.00	1364 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.34	5.07 W	0.00	1365 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.34	5.07 W	0.00	1366 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.32	5.05 W	0.00	1367 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.30	5.03 W	0.00	1368 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.34	5.07 W	0.00	1369 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.99	2.72 W	0.00	1370 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.99	2.72 W	0.00	1371 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.85	2.58 W	0.00	1372 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.87	2.60 W	0.00	1373 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.91	2.64 W	0.00	1374 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.89	2.62 W	0.00	1375 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.91	2.64 W	0.00	1376 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.49	1.22 W	0.00	1377 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	12.26	0.99 W	0.00	1378 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.24	1.97 W	0.00	1379 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.23	1.96 W	0.00	1380 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.13	1.86 W	0.00	1381 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.03	1.76 W	0.00	1382 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.11	1.84 W	0.00	1383 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.27	06/09/20	16.79	5.52 W	0.00	1384 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.77	5.50 W	0.00	1385 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.74	5.47 W	0.00	1386 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	16.27	5.00 W	0.00	1387 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	16.39	5.01 W	0.00	1388 of 3456 - Sale <sup>25</sup>
	1.040	11.72	06/09/20	17.51	5.79 W	0.00	1389 of 3456 - Sale <sup>25</sup>
	1.160	13.07	06/09/20	15.13	2.06 W	0.00	1390 of 3456 - Sale <sup>25</sup>
	1.270	14.31	06/09/20	21.37	7.06 W	0.00	1391 of 3456 - Sale <sup>25</sup>
	1.300	14.65	06/09/20	18.28	3.63 W	0.00	1392 of 3456 - Sale <sup>25</sup>
	1.300	14.65	06/09/20	17.22	2.57 W	0.00	1393 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	32.57	10.14 W	0.00	1394 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	24.93	2.50 W	0.00	1395 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	32.64	10.10 W	0.00	1396 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	28.01	5.47 W	0.00	1397 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	28.01	5.47 W	0.00	1398 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	24.88	2.34 W	0.00	1399 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	24.57	2.03 W	0.00	1400 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	26.07	3.53 W	0.00	1401 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	33.69	11.15 W	0.00	1402 of 3456 - Sale <sup>25</sup>
	2.020	22.76	06/09/20	25.10	2.34 W	0.00	1403 of 3456 - Sale <sup>25</sup>
	2.030	22.88	06/09/20	34.50	11.62 W	0.00	1404 of 3456 - Sale <sup>25</sup>
	2.040	22.99	06/09/20	25.39	2.40 W	0.00	1405 of 3456 - Sale <sup>25</sup>
	2.050	23.10	06/09/20	25.62	2.52 W	0.00	1406 of 3456 - Sale <sup>25</sup>
	2.270	25.58	06/09/20	38.58	13.00 W	0.00	1407 of 3456 - Sale <sup>25</sup>
	2.300	25.92	06/09/20	32.23	6.31 W	0.00	1408 of 3456 - Sale <sup>25</sup>
	2.700	30.43	06/09/20	37.26	6.83 W	0.00	1409 of 3456 - Sale <sup>25</sup>
	2.820	31.78	06/09/20	36.74	4.96 W	0.00	1410 of 3456 - Sale <sup>25</sup>
	2.950	33.25	06/09/20	37.23	3.98 W	0.00	1411 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	42.03	8.22 W	0.00	1412 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	50.37	16.56 W	0.00	1413 of 3456 - Sale <sup>25</sup>
	3.010	33.92	06/09/20	37.57	3.65 W	0.00	1414 of 3456 - Sale <sup>25</sup>
	3.700	41.70	06/09/20	48.60	6.90 W	0.00	1415 of 3456 - Sale <sup>25</sup>
	3.730	42.04	06/09/20	48.56	6.52 W	0.00	1416 of 3456 - Sale <sup>25</sup>
	3.990	44.97	06/09/20	52.00	7.03 W	0.00	1417 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.110	46.32	06/09/20	53.49	7.17 W	0.00	1418 of 3456 - Sale <sup>25</sup>
	5.000	56.35	06/09/20	61.61	5.26 W	0.00	1419 of 3456 - Sale <sup>25</sup>
	5.700	64.24	06/09/20	80.17	15.93 W	0.00	1420 of 3456 - Sale <sup>25</sup>
	6.000	67.62	06/09/20	83.93	16.31 W	0.00	1421 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	11.38	0.11 W	0.00	1422 of 3456 - Sale <sup>25</sup>
	2.000	22.54	06/09/20	22.75	0.21 W	0.00	1423 of 3456 - Sale <sup>25</sup>
	5.000	56.35	06/09/20	57.00	0.65 W	0.00	1424 of 3456 - Sale <sup>25</sup>
	8.000	90.16	06/09/20	90.80	0.64 W	0.00	1425 of 3456 - Sale <sup>25</sup>
	8.000	90.15	06/09/20	90.24	0.09 W	0.00	1426 of 3456 - Sale <sup>25</sup>
	35.010	394.54	06/09/20	398.41	3.87 W	0.00	1427 of 3456 - Sale <sup>25</sup>
	92.000	1,036.78	06/09/20	1,044.21	7.43 W	0.00	1428 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	13.25	1.98 W	0.00	1429 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.62	0.17 W	0.00	1430 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/09/20	2.92	0.78 W	0.00	1431 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	3.96	1.03 W	0.00	1432 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.67	1.29 W	0.00	1433 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.53	1.15 W	0.00	1434 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.60	0.15 W	0.00	1435 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.84	2.95 W	0.00	1436 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.56	2.67 W	0.00	1437 of 3456 - Sale <sup>25</sup>
	0.700	7.89	06/09/20	10.55	2.66 W	0.00	1438 of 3456 - Sale <sup>25</sup>
	0.840	9.47	06/09/20	11.15	1.68 W	0.00	1439 of 3456 - Sale <sup>25</sup>
	0.840	9.47	06/09/20	12.88	3.41 W	0.00	1440 of 3456 - Sale <sup>25</sup>
	0.960	10.82	06/09/20	12.68	1.86 W	0.00	1441 of 3456 - Sale <sup>25</sup>
	0.960	10.82	06/09/20	12.73	1.91 W	0.00	1442 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	15.26	3.99 W	0.00	1443 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	15.12	3.85 W	0.00	1444 of 3456 - Sale <sup>25</sup>
	1.000	11.27	06/09/20	15.11	3.84 W	0.00	1445 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	15.52	4.14 W	0.00	1446 of 3456 - Sale <sup>25</sup>
	1.010	11.38	06/09/20	15.25	3.87 W	0.00	1447 of 3456 - Sale <sup>25</sup>
	1.020	11.50	06/09/20	13.88	2.38 W	0.00	1448 of 3456 - Sale <sup>25</sup>
	1.110	12.51	06/09/20	16.83	4.32 W	0.00	1449 of 3456 - Sale <sup>25</sup>
	1.990	22.43	06/09/20	29.95	7.52 W	0.00	1450 of 3456 - Sale <sup>25</sup>
	2.030	22.88	06/09/20	30.71	7.83 W	0.00	1451 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.160	24.35	06/09/20	32.63	8.28 W	0.00	1452 of 3456 - Sale <sup>25</sup>
	2.270	25.59	06/09/20	34.30	8.71 W	0.00	1453 of 3456 - Sale <sup>25</sup>
	2.700	30.43	06/09/20	40.80	10.37 W	0.00	1454 of 3456 - Sale <sup>25</sup>
	3.000	33.81	06/09/20	40.86	7.05 W	0.00	1455 of 3456 - Sale <sup>25</sup>
	3.000	33.82	06/09/20	45.16	11.34 W	0.00	1456 of 3456 - Sale <sup>25</sup>
	3.010	33.93	06/09/20	39.94	6.01 W	0.00	1457 of 3456 - Sale <sup>25</sup>
	3.700	41.71	06/09/20	56.76	15.05 W	0.00	1458 of 3456 - Sale <sup>25</sup>
	4.180	47.12	06/09/20	55.47	8.35 W	0.00	1459 of 3456 - Sale <sup>25</sup>
	4.960	55.91	06/09/20	76.20	20.29 W	0.00	1460 of 3456 - Sale <sup>25</sup>
	5.030	56.70	06/09/20	76.01	19.31 W	0.00	1461 of 3456 - Sale <sup>25</sup>
	5.980	67.40	06/09/20	81.47	14.07 W	0.00	1462 of 3456 - Sale <sup>25</sup>
	6.000	67.63	06/09/20	80.82	13.19 W	0.00	1463 of 3456 - Sale <sup>25</sup>
	12.010	135.37	06/09/20	159.39	24.02 W	0.00	1464 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1465 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	1466 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.24	0.01 W	0.00	1467 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.72	0.16 W	0.00	1468 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.71	0.15 W	0.00	1469 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	1.88	0.19 W	0.00	1470 of 3456 - Sale <sup>25</sup>
	0.200	2.25	06/09/20	2.72	0.47 W	0.00	1471 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/09/20	3.46	0.65 W	0.00	1472 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/09/20	3.40	0.59 W	0.00	1473 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/09/20	3.37	0.56 W	0.00	1474 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/09/20	3.59	0.78 W	0.00	1475 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	3.86	0.93 W	0.00	1476 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	3.56	0.63 W	0.00	1477 of 3456 - Sale <sup>25</sup>
	0.290	3.27	06/09/20	4.23	0.96 W	0.00	1478 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	3.92	0.99 W	0.00	1479 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.51	1.13 W	0.00	1480 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.50	1.12 W	0.00	1481 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.46	1.08 W	0.00	1482 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.00	0.62 W	0.00	1483 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.29	0.91 W	0.00	1484 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	10.53	2.65 W	0.00	1485 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	7.88	06/09/20	10.52	2.64 W	0.00	1486 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	10.36	2.48 W	0.00	1487 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.32	1.44 W	0.00	1488 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	10.23	2.35 W	0.00	1489 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.97	2.09 W	0.00	1490 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/09/20	10.35	2.36 W	0.00	1491 of 3456 - Sale <sup>25</sup>
	0.740	8.33	06/09/20	11.00	2.67 W	0.00	1492 of 3456 - Sale <sup>25</sup>
	0.750	8.44	06/09/20	10.12	1.68 W	0.00	1493 of 3456 - Sale <sup>25</sup>
	0.800	9.01	06/09/20	10.87	1.86 W	0.00	1494 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	11.36	1.90 W	0.00	1495 of 3456 - Sale <sup>25</sup>
	0.940	10.58	06/09/20	12.65	2.07 W	0.00	1496 of 3456 - Sale <sup>25</sup>
	0.950	10.70	06/09/20	13.76	3.06 W	0.00	1497 of 3456 - Sale <sup>25</sup>
	0.950	10.70	06/09/20	13.55	2.85 W	0.00	1498 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	13.51	2.48 W	0.00	1499 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.79	3.53 W	0.00	1500 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.79	3.53 W	0.00	1501 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.84	3.58 W	0.00	1502 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.82	3.56 W	0.00	1503 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.82	2.56 W	0.00	1504 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.86	2.60 W	0.00	1505 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.84	2.58 W	0.00	1506 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.81	2.55 W	0.00	1507 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.46	3.20 W	0.00	1508 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.47	3.21 W	0.00	1509 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.48	3.22 W	0.00	1510 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.53	3.27 W	0.00	1511 of 3456 - Sale <sup>25</sup>
	1.040	11.71	06/09/20	13.97	2.26 W	0.00	1512 of 3456 - Sale <sup>25</sup>
	1.050	11.82	06/09/20	14.29	2.47 W	0.00	1513 of 3456 - Sale <sup>25</sup>
	1.050	11.82	06/09/20	15.07	3.25 W	0.00	1514 of 3456 - Sale <sup>25</sup>
	1.250	14.07	06/09/20	18.09	4.02 W	0.00	1515 of 3456 - Sale <sup>25</sup>
	1.700	19.14	06/09/20	25.05	5.91 W	0.00	1516 of 3456 - Sale <sup>25</sup>
	1.700	19.14	06/09/20	22.94	3.80 W	0.00	1517 of 3456 - Sale <sup>25</sup>
	1.720	19.37	06/09/20	23.69	4.32 W	0.00	1518 of 3456 - Sale <sup>25</sup>
	1.750	19.70	06/09/20	25.02	5.32 W	0.00	1519 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.790	20.15	06/09/20	24.39	4.24 W	0.00	1520 of 3456 - Sale <sup>25</sup>
	1.800	20.27	06/09/20	23.93	3.66 W	0.00	1521 of 3456 - Sale <sup>25</sup>
	1.970	22.18	06/09/20	26.47	4.29 W	0.00	1522 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	26.92	4.40 W	0.00	1523 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	26.92	4.40 W	0.00	1524 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	26.99	4.47 W	0.00	1525 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	28.67	6.15 W	0.00	1526 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	28.58	6.06 W	0.00	1527 of 3456 - Sale <sup>25</sup>
	2.010	22.63	06/09/20	27.07	4.44 W	0.00	1528 of 3456 - Sale <sup>25</sup>
	2.040	22.97	06/09/20	28.23	5.26 W	0.00	1529 of 3456 - Sale <sup>25</sup>
	2.040	22.97	06/09/20	27.48	4.51 W	0.00	1530 of 3456 - Sale <sup>25</sup>
	2.700	30.40	06/09/20	36.67	6.27 W	0.00	1531 of 3456 - Sale <sup>25</sup>
	2.900	32.65	06/09/20	38.95	6.30 W	0.00	1532 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	41.40	7.62 W	0.00	1533 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	43.31	9.53 W	0.00	1534 of 3456 - Sale <sup>25</sup>
	3.010	33.89	06/09/20	40.52	6.63 W	0.00	1535 of 3456 - Sale <sup>25</sup>
	3.170	35.69	06/09/20	42.18	6.49 W	0.00	1536 of 3456 - Sale <sup>25</sup>
	3.300	37.16	06/09/20	44.57	7.41 W	0.00	1537 of 3456 - Sale <sup>25</sup>
	4.210	47.40	06/09/20	57.98	10.58 W	0.00	1538 of 3456 - Sale <sup>25</sup>
	5.000	56.30	06/09/20	68.30	12.00 W	0.00	1539 of 3456 - Sale <sup>25</sup>
	5.000	56.30	06/09/20	67.76	11.46 W	0.00	1540 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	1541 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.37	0.14 W	0.00	1542 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	12.06	4.18 W	0.00	1543 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	12.11	4.23 W	0.00	1544 of 3456 - Sale <sup>25</sup>
	0.730	8.22	06/09/20	13.45	5.23 W	0.00	1545 of 3456 - Sale <sup>25</sup>
	0.970	10.92	06/09/20	16.51	5.59 W	0.00	1546 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	17.52	6.26 W	0.00	1547 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	18.59	7.33 W	0.00	1548 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	17.54	6.17 W	0.00	1549 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	34.15	11.74 W	0.00	1550 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	36.76	14.35 W	0.00	1551 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	34.17	11.65 W	0.00	1552 of 3456 - Sale <sup>25</sup>
	2.290	25.78	06/09/20	39.69	13.91 W	0.00	1553 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.300	25.90	06/09/20	39.50	13.60 W	0.00	1554 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	51.64	17.86 W	0.00	1555 of 3456 - Sale <sup>25</sup>
	4.000	45.04	06/09/20	69.26	24.22 W	0.00	1556 of 3456 - Sale <sup>25</sup>
	4.170	46.95	06/09/20	77.02	30.07 W	0.00	1557 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	1558 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	1559 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/09/20	0.81	0.13 W	0.00	1560 of 3456 - Sale <sup>25</sup>
	0.090	1.01	06/09/20	1.66	0.65 W	0.00	1561 of 3456 - Sale <sup>25</sup>
	0.090	1.01	06/09/20	1.30	0.29 W	0.00	1562 of 3456 - Sale <sup>25</sup>
	0.290	3.27	06/09/20	5.35	2.08 W	0.00	1563 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	5.59	2.21 W	0.00	1564 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.17	0.79 W	0.00	1565 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.32	0.94 W	0.00	1566 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	12.86	4.98 W	0.00	1567 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.87	1.99 W	0.00	1568 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	10.11	2.23 W	0.00	1569 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	15.45	5.99 W	0.00	1570 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	11.72	2.26 W	0.00	1571 of 3456 - Sale <sup>25</sup>
	0.910	10.25	06/09/20	13.06	2.81 W	0.00	1572 of 3456 - Sale <sup>25</sup>
	0.940	10.58	06/09/20	12.79	2.21 W	0.00	1573 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	18.19	7.04 W	0.00	1574 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	18.19	7.04 W	0.00	1575 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	18.46	7.20 W	0.00	1576 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	18.39	7.13 W	0.00	1577 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.64	2.38 W	0.00	1578 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.64	2.38 W	0.00	1579 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.62	2.36 W	0.00	1580 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.54	2.28 W	0.00	1581 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.81	2.55 W	0.00	1582 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.80	2.54 W	0.00	1583 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.38	3.12 W	0.00	1584 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.50	3.24 W	0.00	1585 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.49	3.23 W	0.00	1586 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.50	3.24 W	0.00	1587 of 3456 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.26	06/09/20	13.95	2.69 W	0.00	1588 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.03	2.77 W	0.00	1589 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	18.57	7.20 W	0.00	1590 of 3456 - Sale <sup>25</sup>
	1.160	13.06	06/09/20	21.26	8.20 W	0.00	1591 of 3456 - Sale <sup>25</sup>
	1.710	19.25	06/09/20	23.73	4.48 W	0.00	1592 of 3456 - Sale <sup>25</sup>
	1.780	20.04	06/09/20	24.25	4.21 W	0.00	1593 of 3456 - Sale <sup>25</sup>
	1.850	20.83	06/09/20	26.24	5.41 W	0.00	1594 of 3456 - Sale <sup>25</sup>
	1.850	20.83	06/09/20	26.77	5.94 W	0.00	1595 of 3456 - Sale <sup>25</sup>
	1.980	22.29	06/09/20	36.54	14.25 W	0.00	1596 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	27.15	4.74 W	0.00	1597 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	28.78	6.37 W	0.00	1598 of 3456 - Sale <sup>25</sup>
	2.010	22.63	06/09/20	36.95	14.32 W	0.00	1599 of 3456 - Sale <sup>25</sup>
	2.010	22.63	06/09/20	36.91	14.28 W	0.00	1600 of 3456 - Sale <sup>25</sup>
	2.010	22.63	06/09/20	36.87	14.24 W	0.00	1601 of 3456 - Sale <sup>25</sup>
	2.010	22.63	06/09/20	27.37	4.74 W	0.00	1602 of 3456 - Sale <sup>25</sup>
	2.060	23.20	06/09/20	28.05	4.85 W	0.00	1603 of 3456 - Sale <sup>25</sup>
	2.450	27.59	06/09/20	34.56	6.97 W	0.00	1604 of 3456 - Sale <sup>25</sup>
	2.700	30.40	06/09/20	49.84	19.44 W	0.00	1605 of 3456 - Sale <sup>25</sup>
	2.990	33.67	06/09/20	55.39	21.72 W	0.00	1606 of 3456 - Sale <sup>25</sup>
	2.990	33.67	06/09/20	41.34	7.67 W	0.00	1607 of 3456 - Sale <sup>25</sup>
	2.990	33.67	06/09/20	41.85	8.18 W	0.00	1608 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	55.42	21.64 W	0.00	1609 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	55.22	21.44 W	0.00	1610 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	40.60	6.82 W	0.00	1611 of 3456 - Sale <sup>25</sup>
	3.150	35.47	06/09/20	42.74	7.27 W	0.00	1612 of 3456 - Sale <sup>25</sup>
	5.000	56.30	06/09/20	72.35	16.05 W	0.00	1613 of 3456 - Sale <sup>25</sup>
	5.220	58.78	06/09/20	75.52	16.74 W	0.00	1614 of 3456 - Sale <sup>25</sup>
	6.010	67.67	06/09/20	84.86	17.19 W	0.00	1615 of 3456 - Sale <sup>25</sup>
	9.020	101.56	06/09/20	166.69	65.13 W	0.00	1616 of 3456 - Sale <sup>25</sup>
	0.160	1.80	06/09/20	2.19	0.39 W	0.00	1617 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.84	2.58 W	0.00	1618 of 3456 - Sale <sup>25</sup>
	1.160	13.06	06/09/20	15.57	2.51 W	0.00	1619 of 3456 - Sale <sup>25</sup>
	1.700	19.14	06/09/20	22.85	3.71 W	0.00	1620 of 3456 - Sale <sup>25</sup>
	1.840	20.72	06/09/20	26.22	5.50 W	0.00	1621 of 3456 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.52	06/09/20	27.25	4.73 W	0.00	1622 of 3456 - Sale <sup>25</sup>
	2.160	24.32	06/09/20	30.15	5.83 W	0.00	1623 of 3456 - Sale <sup>25</sup>
	2.160	24.32	06/09/20	30.16	5.84 W	0.00	1624 of 3456 - Sale <sup>25</sup>
	2.300	25.90	06/09/20	31.17	5.27 W	0.00	1625 of 3456 - Sale <sup>25</sup>
	2.840	31.98	06/09/20	38.69	6.71 W	0.00	1626 of 3456 - Sale <sup>25</sup>
	4.000	45.04	06/09/20	54.52	9.48 W	0.00	1627 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	1628 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	1.90	0.21 W	0.00	1629 of 3456 - Sale <sup>25</sup>
	0.170	1.91	06/09/20	2.29	0.38 W	0.00	1630 of 3456 - Sale <sup>25</sup>
	0.820	9.23	06/09/20	11.04	1.81 W	0.00	1631 of 3456 - Sale <sup>25</sup>
	0.850	9.57	06/09/20	10.89	1.32 W	0.00	1632 of 3456 - Sale <sup>25</sup>
	0.850	9.57	06/09/20	11.40	1.83 W	0.00	1633 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.81	2.66 W	0.00	1634 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.33	2.18 W	0.00	1635 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.90	1.64 W	0.00	1636 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.89	1.63 W	0.00	1637 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.79	1.53 W	0.00	1638 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.40	2.14 W	0.00	1639 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.49	2.23 W	0.00	1640 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.48	2.22 W	0.00	1641 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.46	2.20 W	0.00	1642 of 3456 - Sale <sup>25</sup>
	1.850	20.83	06/09/20	23.66	2.83 W	0.00	1643 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	26.69	4.28 W	0.00	1644 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	26.80	4.39 W	0.00	1645 of 3456 - Sale <sup>25</sup>
	2.150	24.21	06/09/20	27.49	3.28 W	0.00	1646 of 3456 - Sale <sup>25</sup>
	2.300	25.89	06/09/20	31.12	5.23 W	0.00	1647 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	43.61	9.83 W	0.00	1648 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	40.40	6.62 W	0.00	1649 of 3456 - Sale <sup>25</sup>
	3.010	33.89	06/09/20	40.62	6.73 W	0.00	1650 of 3456 - Sale <sup>25</sup>
	3.850	43.35	06/09/20	49.26	5.91 W	0.00	1651 of 3456 - Sale <sup>25</sup>
	4.000	45.04	06/09/20	58.13	13.09 W	0.00	1652 of 3456 - Sale <sup>25</sup>
	4.150	46.73	06/09/20	53.06	6.33 W	0.00	1653 of 3456 - Sale <sup>25</sup>
	5.710	64.29	06/09/20	77.22	12.93 W	0.00	1654 of 3456 - Sale <sup>25</sup>
	5.850	65.86	06/09/20	78.60	12.74 W	0.00	1655 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1656 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.25	0.02 W	0.00	1657 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.40	1.52 W	0.00	1658 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	11.17	1.82 W	0.00	1659 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	11.02	1.67 W	0.00	1660 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	13.02	1.99 W	0.00	1661 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	12.35	1.32 W	0.00	1662 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.49	2.23 W	0.00	1663 of 3456 - Sale <sup>25</sup>
	2.170	24.43	06/09/20	29.14	4.71 W	0.00	1664 of 3456 - Sale <sup>25</sup>
	2.190	24.66	06/09/20	29.07	4.41 W	0.00	1665 of 3456 - Sale <sup>25</sup>
	2.840	31.98	06/09/20	40.19	8.21 W	0.00	1666 of 3456 - Sale <sup>25</sup>
	3.300	37.16	06/09/20	44.43	7.27 W	0.00	1667 of 3456 - Sale <sup>25</sup>
	1.150	12.95	06/09/20	15.45	2.50 W	0.00	1668 of 3456 - Sale <sup>25</sup>
	7.000	78.82	06/09/20	92.52	13.70 W	0.00	1669 of 3456 - Sale <sup>25</sup>
	5.150	57.99	06/09/20	72.82	14.83 W	0.00	1670 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	1671 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.38	0.35 W	0.00	1672 of 3456 - Sale <sup>25</sup>
	0.800	9.01	06/09/20	10.34	1.33 W	0.00	1673 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	10.79	1.44 W	0.00	1674 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.04	1.89 W	0.00	1675 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.18	1.92 W	0.00	1676 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.17	1.91 W	0.00	1677 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	13.11	1.74 W	0.00	1678 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	13.28	1.91 W	0.00	1679 of 3456 - Sale <sup>25</sup>
	1.160	13.06	06/09/20	15.09	2.03 W	0.00	1680 of 3456 - Sale <sup>25</sup>
	1.840	20.71	06/09/20	24.18	3.47 W	0.00	1681 of 3456 - Sale <sup>25</sup>
	1.990	22.40	06/09/20	26.18	3.78 W	0.00	1682 of 3456 - Sale <sup>25</sup>
	3.030	34.12	06/09/20	39.85	5.73 W	0.00	1683 of 3456 - Sale <sup>25</sup>
	3.180	35.80	06/09/20	41.29	5.49 W	0.00	1684 of 3456 - Sale <sup>25</sup>
	3.800	42.79	06/09/20	49.54	6.75 W	0.00	1685 of 3456 - Sale <sup>25</sup>
	3.820	43.01	06/09/20	49.77	6.76 W	0.00	1686 of 3456 - Sale <sup>25</sup>
	6.200	69.81	06/09/20	80.82	11.01 W	0.00	1687 of 3456 - Sale <sup>25</sup>
	13.000	146.37	06/09/20	168.14	21.77 W	0.00	1688 of 3456 - Sale <sup>25</sup>
	0.140	1.61	06/09/20	1.82	0.21 W	0.00	1689 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.50	06/09/20	12.91	1.41 W	0.00	1690 of 3456 - Sale <sup>25</sup>
	1.030	11.84	06/09/20	13.29	1.45 W	0.00	1691 of 3456 - Sale <sup>25</sup>
	1.810	20.81	06/09/20	23.48	2.67 W	0.00	1692 of 3456 - Sale <sup>25</sup>
	3.000	34.50	06/09/20	38.83	4.33 W	0.00	1693 of 3456 - Sale <sup>25</sup>
	3.020	34.73	06/09/20	38.94	4.21 W	0.00	1694 of 3456 - Sale <sup>25</sup>
	0.010	0.12	06/09/20	0.18	0.06 W	0.00	1695 of 3456 - Sale <sup>25</sup>
	0.040	0.46	06/09/20	0.52	0.06 W	0.00	1696 of 3456 - Sale <sup>25</sup>
	0.100	1.15	06/09/20	1.42	0.27 W	0.00	1697 of 3456 - Sale <sup>25</sup>
	0.100	1.15	06/09/20	1.41	0.26 W	0.00	1698 of 3456 - Sale <sup>25</sup>
	0.180	2.07	06/09/20	2.31	0.24 W	0.00	1699 of 3456 - Sale <sup>25</sup>
	0.190	2.18	06/09/20	2.42	0.24 W	0.00	1700 of 3456 - Sale <sup>25</sup>
	0.190	2.18	06/09/20	3.21	1.03 W	0.00	1701 of 3456 - Sale <sup>25</sup>
	0.300	3.45	06/09/20	4.29	0.84 W	0.00	1702 of 3456 - Sale <sup>25</sup>
	0.700	8.05	06/09/20	11.79	3.74 W	0.00	1703 of 3456 - Sale <sup>25</sup>
	0.790	9.08	06/09/20	11.16	2.08 W	0.00	1704 of 3456 - Sale <sup>25</sup>
	0.800	9.20	06/09/20	10.18	0.98 W	0.00	1705 of 3456 - Sale <sup>25</sup>
	0.800	9.20	06/09/20	10.17	0.97 W	0.00	1706 of 3456 - Sale <sup>25</sup>
	0.800	9.20	06/09/20	11.31	2.11 W	0.00	1707 of 3456 - Sale <sup>25</sup>
	0.800	9.20	06/09/20	13.57	4.37 W	0.00	1708 of 3456 - Sale <sup>25</sup>
	0.810	9.31	06/09/20	10.33	1.02 W	0.00	1709 of 3456 - Sale <sup>25</sup>
	0.810	9.31	06/09/20	9.79	0.48 W	0.00	1710 of 3456 - Sale <sup>25</sup>
	0.960	11.04	06/09/20	12.47	1.43 W	0.00	1711 of 3456 - Sale <sup>25</sup>
	0.970	11.15	06/09/20	12.47	1.32 W	0.00	1712 of 3456 - Sale <sup>25</sup>
	0.990	11.38	06/09/20	16.76	5.38 W	0.00	1713 of 3456 - Sale <sup>25</sup>
	0.210	2.41	06/09/20	3.54	1.13 W	0.00	1714 of 3456 - Sale <sup>25</sup>
	1.000	11.50	06/09/20	12.82	1.32 W	0.00	1715 of 3456 - Sale <sup>25</sup>
	1.000	11.50	06/09/20	13.03	1.53 W	0.00	1716 of 3456 - Sale <sup>25</sup>
	1.000	11.50	06/09/20	12.94	1.44 W	0.00	1717 of 3456 - Sale <sup>25</sup>
	1.010	11.61	06/09/20	12.93	1.32 W	0.00	1718 of 3456 - Sale <sup>25</sup>
	1.170	13.46	06/09/20	14.79	1.33 W	0.00	1719 of 3456 - Sale <sup>25</sup>
	1.200	13.80	06/09/20	15.28	1.48 W	0.00	1720 of 3456 - Sale <sup>25</sup>
	1.300	14.95	06/09/20	22.02	7.07 W	0.00	1721 of 3456 - Sale <sup>25</sup>
	1.300	14.95	06/09/20	21.98	7.03 W	0.00	1722 of 3456 - Sale <sup>25</sup>
	1.710	19.67	06/09/20	28.97	9.30 W	0.00	1723 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.820	20.93	06/09/20	23.16	2.23 W	0.00	1724 of 3456 - Sale <sup>25</sup>
	1.910	21.97	06/09/20	27.01	5.04 W	0.00	1725 of 3456 - Sale <sup>25</sup>
	1.970	22.66	06/09/20	25.28	2.62 W	0.00	1726 of 3456 - Sale <sup>25</sup>
	2.180	25.07	06/09/20	28.31	3.24 W	0.00	1727 of 3456 - Sale <sup>25</sup>
	2.200	25.30	06/09/20	28.02	2.72 W	0.00	1728 of 3456 - Sale <sup>25</sup>
	2.980	34.27	06/09/20	36.03	1.76 W	0.00	1729 of 3456 - Sale <sup>25</sup>
	2.990	34.38	06/09/20	38.33	3.95 W	0.00	1730 of 3456 - Sale <sup>25</sup>
	3.000	34.50	06/09/20	38.77	4.27 W	0.00	1731 of 3456 - Sale <sup>25</sup>
	3.000	34.50	06/09/20	38.09	3.59 W	0.00	1732 of 3456 - Sale <sup>25</sup>
	3.010	34.61	06/09/20	38.71	4.10 W	0.00	1733 of 3456 - Sale <sup>25</sup>
	3.010	34.62	06/09/20	50.84	16.22 W	0.00	1734 of 3456 - Sale <sup>25</sup>
	3.030	34.84	06/09/20	38.91	4.07 W	0.00	1735 of 3456 - Sale <sup>25</sup>
	3.990	45.89	06/09/20	51.28	5.39 W	0.00	1736 of 3456 - Sale <sup>25</sup>
	4.010	46.12	06/09/20	52.26	6.14 W	0.00	1737 of 3456 - Sale <sup>25</sup>
	4.950	56.92	06/09/20	63.73	6.81 W	0.00	1738 of 3456 - Sale <sup>25</sup>
	6.860	78.89	06/09/20	87.15	8.26 W	0.00	1739 of 3456 - Sale <sup>25</sup>
	8.020	92.23	06/09/20	104.50	12.27 W	0.00	1740 of 3456 - Sale <sup>25</sup>
	9.830	113.04	06/09/20	127.32	14.28 W	0.00	1741 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.33	0.10 W	0.00	1742 of 3456 - Sale <sup>25</sup>
	0.710	8.14	06/09/20	11.92	3.78 W	0.00	1743 of 3456 - Sale <sup>25</sup>
	0.900	10.31	06/09/20	15.14	4.83 W	0.00	1744 of 3456 - Sale <sup>25</sup>
	0.980	11.23	06/09/20	16.51	5.28 W	0.00	1745 of 3456 - Sale <sup>25</sup>
	0.780	8.94	06/09/20	13.14	4.20 W	0.00	1746 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.84	5.38 W	0.00	1747 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.84	5.38 W	0.00	1748 of 3456 - Sale <sup>25</sup>
	1.010	11.57	06/09/20	17.02	5.45 W	0.00	1749 of 3456 - Sale <sup>25</sup>
	1.300	14.90	06/09/20	21.88	6.98 W	0.00	1750 of 3456 - Sale <sup>25</sup>
	2.300	26.36	06/09/20	38.83	12.47 W	0.00	1751 of 3456 - Sale <sup>25</sup>
	0.700	8.02	06/09/20	11.65	3.63 W	0.00	1752 of 3456 - Sale <sup>25</sup>
	1.080	12.38	06/09/20	18.09	5.71 W	0.00	1753 of 3456 - Sale <sup>25</sup>
	1.220	13.98	06/09/20	20.28	6.30 W	0.00	1754 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.31	0.08 W	0.00	1755 of 3456 - Sale <sup>25</sup>
	0.040	0.46	06/09/20	0.66	0.20 W	0.00	1756 of 3456 - Sale <sup>25</sup>
	0.050	0.57	06/09/20	0.67	0.10 W	0.00	1757 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.260	2.98	06/09/20	4.33	1.35 W	0.00	1758 of 3456 - Sale <sup>25</sup>
	0.710	8.14	06/09/20	10.07	1.93 W	0.00	1759 of 3456 - Sale <sup>25</sup>
	0.810	9.28	06/09/20	10.41	1.13 W	0.00	1760 of 3456 - Sale <sup>25</sup>
	0.960	11.00	06/09/20	15.75	4.75 W	0.00	1761 of 3456 - Sale <sup>25</sup>
	0.980	11.23	06/09/20	16.30	5.07 W	0.00	1762 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.60	5.14 W	0.00	1763 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.32	4.86 W	0.00	1764 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.03	4.57 W	0.00	1765 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	14.36	2.90 W	0.00	1766 of 3456 - Sale <sup>25</sup>
	1.020	11.69	06/09/20	16.81	5.12 W	0.00	1767 of 3456 - Sale <sup>25</sup>
	1.180	13.52	06/09/20	15.20	1.68 W	0.00	1768 of 3456 - Sale <sup>25</sup>
	1.180	13.52	06/09/20	15.19	1.67 W	0.00	1769 of 3456 - Sale <sup>25</sup>
	1.300	14.90	06/09/20	18.70	3.80 W	0.00	1770 of 3456 - Sale <sup>25</sup>
	1.700	19.48	06/09/20	27.82	8.34 W	0.00	1771 of 3456 - Sale <sup>25</sup>
	1.800	20.63	06/09/20	29.93	9.30 W	0.00	1772 of 3456 - Sale <sup>25</sup>
	3.000	34.38	06/09/20	49.86	15.48 W	0.00	1773 of 3456 - Sale <sup>25</sup>
	3.000	34.38	06/09/20	38.32	3.94 W	0.00	1774 of 3456 - Sale <sup>25</sup>
	3.020	34.61	06/09/20	38.87	4.26 W	0.00	1775 of 3456 - Sale <sup>25</sup>
	3.990	45.72	06/09/20	56.94	11.22 W	0.00	1776 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	1777 of 3456 - Sale <sup>25</sup>
	0.050	0.57	06/09/20	0.70	0.13 W	0.00	1778 of 3456 - Sale <sup>25</sup>
	0.150	1.72	06/09/20	2.09	0.37 W	0.00	1779 of 3456 - Sale <sup>25</sup>
	0.850	9.74	06/09/20	12.04	2.30 W	0.00	1780 of 3456 - Sale <sup>25</sup>
	0.930	10.66	06/09/20	13.13	2.47 W	0.00	1781 of 3456 - Sale <sup>25</sup>
	0.970	11.12	06/09/20	15.75	4.63 W	0.00	1782 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.35	4.89 W	0.00	1783 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.35	4.89 W	0.00	1784 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.33	4.87 W	0.00	1785 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.31	4.85 W	0.00	1786 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.35	4.89 W	0.00	1787 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	14.00	2.54 W	0.00	1788 of 3456 - Sale <sup>25</sup>
	1.010	11.57	06/09/20	16.40	4.83 W	0.00	1789 of 3456 - Sale <sup>25</sup>
	1.990	22.80	06/09/20	32.59	9.79 W	0.00	1790 of 3456 - Sale <sup>25</sup>
	2.000	22.92	06/09/20	32.66	9.74 W	0.00	1791 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.92	06/09/20	28.03	5.11 W	0.00	1792 of 3456 - Sale <sup>25</sup>
	2.000	22.92	06/09/20	28.03	5.11 W	0.00	1793 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1794 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	1795 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.17	0.06 W	0.00	1796 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.40	0.06 W	0.00	1797 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.51	0.17 W	0.00	1798 of 3456 - Sale <sup>25</sup>
	0.050	0.57	06/09/20	0.62	0.05 W	0.00	1799 of 3456 - Sale <sup>25</sup>
	0.050	0.57	06/09/20	0.65	0.08 W	0.00	1800 of 3456 - Sale <sup>25</sup>
	0.060	0.69	06/09/20	0.72	0.03 W	0.00	1801 of 3456 - Sale <sup>25</sup>
	0.140	1.60	06/09/20	1.81	0.21 W	0.00	1802 of 3456 - Sale <sup>25</sup>
	0.180	2.06	06/09/20	2.38	0.32 W	0.00	1803 of 3456 - Sale <sup>25</sup>
	0.250	2.86	06/09/20	3.39	0.53 W	0.00	1804 of 3456 - Sale <sup>25</sup>
	0.290	3.32	06/09/20	3.94	0.62 W	0.00	1805 of 3456 - Sale <sup>25</sup>
	0.300	3.44	06/09/20	4.56	1.12 W	0.00	1806 of 3456 - Sale <sup>25</sup>
	0.300	3.44	06/09/20	4.00	0.56 W	0.00	1807 of 3456 - Sale <sup>25</sup>
	0.700	8.02	06/09/20	10.57	2.55 W	0.00	1808 of 3456 - Sale <sup>25</sup>
	0.700	8.02	06/09/20	9.25	1.23 W	0.00	1809 of 3456 - Sale <sup>25</sup>
	0.700	8.02	06/09/20	11.85	3.83 W	0.00	1810 of 3456 - Sale <sup>25</sup>
	0.710	8.14	06/09/20	9.60	1.46 W	0.00	1811 of 3456 - Sale <sup>25</sup>
	0.710	8.14	06/09/20	9.47	1.33 W	0.00	1812 of 3456 - Sale <sup>25</sup>
	0.730	8.37	06/09/20	12.27	3.90 W	0.00	1813 of 3456 - Sale <sup>25</sup>
	0.810	9.28	06/09/20	10.78	1.50 W	0.00	1814 of 3456 - Sale <sup>25</sup>
	0.900	10.31	06/09/20	11.04	0.73 W	0.00	1815 of 3456 - Sale <sup>25</sup>
	0.970	11.12	06/09/20	12.61	1.49 W	0.00	1816 of 3456 - Sale <sup>25</sup>
	0.990	11.34	06/09/20	13.59	2.25 W	0.00	1817 of 3456 - Sale <sup>25</sup>
	0.990	11.35	06/09/20	13.79	2.44 W	0.00	1818 of 3456 - Sale <sup>25</sup>
	0.990	11.34	06/09/20	12.18	0.84 W	0.00	1819 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.95	2.49 W	0.00	1820 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.81	2.35 W	0.00	1821 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.83	2.37 W	0.00	1822 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.87	2.41 W	0.00	1823 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.85	2.39 W	0.00	1824 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.87	2.41 W	0.00	1825 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.46	06/09/20	12.45	0.99 W	0.00	1826 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	12.22	0.76 W	0.00	1827 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.20	1.74 W	0.00	1828 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.19	1.73 W	0.00	1829 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.09	1.63 W	0.00	1830 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	12.99	1.53 W	0.00	1831 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	13.07	1.61 W	0.00	1832 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.75	5.29 W	0.00	1833 of 3456 - Sale <sup>25</sup>
	1.160	13.29	06/09/20	15.09	1.80 W	0.00	1834 of 3456 - Sale <sup>25</sup>
	1.270	14.55	06/09/20	21.32	6.77 W	0.00	1835 of 3456 - Sale <sup>25</sup>
	1.300	14.90	06/09/20	18.23	3.33 W	0.00	1836 of 3456 - Sale <sup>25</sup>
	1.300	14.90	06/09/20	17.17	2.27 W	0.00	1837 of 3456 - Sale <sup>25</sup>
	1.990	22.80	06/09/20	24.85	2.05 W	0.00	1838 of 3456 - Sale <sup>25</sup>
	2.000	22.92	06/09/20	24.80	1.88 W	0.00	1839 of 3456 - Sale <sup>25</sup>
	2.000	22.92	06/09/20	24.49	1.57 W	0.00	1840 of 3456 - Sale <sup>25</sup>
	2.000	22.92	06/09/20	25.99	3.07 W	0.00	1841 of 3456 - Sale <sup>25</sup>
	2.000	22.92	06/09/20	33.61	10.69 W	0.00	1842 of 3456 - Sale <sup>25</sup>
	2.020	23.15	06/09/20	25.02	1.87 W	0.00	1843 of 3456 - Sale <sup>25</sup>
	2.030	23.26	06/09/20	34.42	11.16 W	0.00	1844 of 3456 - Sale <sup>25</sup>
	2.040	23.38	06/09/20	25.31	1.93 W	0.00	1845 of 3456 - Sale <sup>25</sup>
	2.050	23.49	06/09/20	25.54	2.05 W	0.00	1846 of 3456 - Sale <sup>25</sup>
	2.270	26.01	06/09/20	38.49	12.48 W	0.00	1847 of 3456 - Sale <sup>25</sup>
	2.300	26.36	06/09/20	32.14	5.78 W	0.00	1848 of 3456 - Sale <sup>25</sup>
	2.700	30.94	06/09/20	37.15	6.21 W	0.00	1849 of 3456 - Sale <sup>25</sup>
	2.820	32.32	06/09/20	36.63	4.31 W	0.00	1850 of 3456 - Sale <sup>25</sup>
	2.950	33.81	06/09/20	41.21	7.40 W	0.00	1851 of 3456 - Sale <sup>25</sup>
	2.950	33.81	06/09/20	37.11	3.30 W	0.00	1852 of 3456 - Sale <sup>25</sup>
	3.010	34.49	06/09/20	37.45	2.96 W	0.00	1853 of 3456 - Sale <sup>25</sup>
	3.700	42.40	06/09/20	48.45	6.05 W	0.00	1854 of 3456 - Sale <sup>25</sup>
	3.730	42.74	06/09/20	48.41	5.67 W	0.00	1855 of 3456 - Sale <sup>25</sup>
	3.990	45.72	06/09/20	51.84	6.12 W	0.00	1856 of 3456 - Sale <sup>25</sup>
	4.110	47.10	06/09/20	53.32	6.22 W	0.00	1857 of 3456 - Sale <sup>25</sup>
	5.000	57.30	06/09/20	61.41	4.11 W	0.00	1858 of 3456 - Sale <sup>25</sup>
	5.700	65.32	06/09/20	79.94	14.62 W	0.00	1859 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	6.000	68.76	06/09/20	83.69	14.93 W	0.00	1860 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	1861 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	1862 of 3456 - Sale <sup>25</sup>
	0.300	3.44	06/09/20	5.12	1.68 W	0.00	1863 of 3456 - Sale <sup>25</sup>
	0.700	8.02	06/09/20	11.87	3.85 W	0.00	1864 of 3456 - Sale <sup>25</sup>
	0.960	11.00	06/09/20	16.36	5.36 W	0.00	1865 of 3456 - Sale <sup>25</sup>
	0.960	11.00	06/09/20	16.40	5.40 W	0.00	1866 of 3456 - Sale <sup>25</sup>
	0.970	11.12	06/09/20	15.96	4.84 W	0.00	1867 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.99	5.53 W	0.00	1868 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.96	5.50 W	0.00	1869 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	16.49	5.03 W	0.00	1870 of 3456 - Sale <sup>25</sup>
	1.040	11.92	06/09/20	17.74	5.82 W	0.00	1871 of 3456 - Sale <sup>25</sup>
	3.000	34.38	06/09/20	51.03	16.65 W	0.00	1872 of 3456 - Sale <sup>25</sup>
	1.000	11.46	06/09/20	11.60	0.14 W	0.00	1873 of 3456 - Sale <sup>25</sup>
	2.000	22.92	06/09/20	23.19	0.27 W	0.00	1874 of 3456 - Sale <sup>25</sup>
	5.000	57.30	06/09/20	58.10	0.80 W	0.00	1875 of 3456 - Sale <sup>25</sup>
	35.010	401.21	06/09/20	406.14	4.93 W	0.00	1876 of 3456 - Sale <sup>25</sup>
	46.000	527.16	06/09/20	532.26	5.10 W	0.00	1877 of 3456 - Sale <sup>25</sup>
	0.840	9.39	06/09/20	11.12	1.73 W	0.00	1878 of 3456 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	12.65	1.92 W	0.00	1879 of 3456 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	12.70	1.97 W	0.00	1880 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.22	2.04 W	0.00	1881 of 3456 - Sale <sup>25</sup>
	1.020	11.40	06/09/20	13.85	2.45 W	0.00	1882 of 3456 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	40.77	7.23 W	0.00	1883 of 3456 - Sale <sup>25</sup>
	3.010	33.65	06/09/20	39.84	6.19 W	0.00	1884 of 3456 - Sale <sup>25</sup>
	4.180	46.73	06/09/20	55.33	8.60 W	0.00	1885 of 3456 - Sale <sup>25</sup>
	5.040	56.35	06/09/20	67.73	11.38 W	0.00	1886 of 3456 - Sale <sup>25</sup>
	5.980	66.85	06/09/20	81.29	14.44 W	0.00	1887 of 3456 - Sale <sup>25</sup>
	12.010	134.27	06/09/20	159.01	24.74 W	0.00	1888 of 3456 - Sale <sup>25</sup>
	8.000	89.44	06/09/20	90.56	1.12 W	0.00	1889 of 3456 - Sale <sup>25</sup>
	8.000	89.44	06/09/20	90.01	0.57 W	0.00	1890 of 3456 - Sale <sup>25</sup>
	46.000	514.26	06/09/20	520.75	6.49 W	0.00	1891 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1892 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.24	0.02 W	0.00	1893 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.040	0.45	06/09/20	0.62	0.17 W	0.00	1894 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.60	0.15 W	0.00	1895 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.54	0.09 W	0.00	1896 of 3456 - Sale <sup>25</sup>
	0.190	2.12	06/09/20	2.93	0.81 W	0.00	1897 of 3456 - Sale <sup>25</sup>
	0.200	2.24	06/09/20	2.73	0.49 W	0.00	1898 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.47	0.68 W	0.00	1899 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.41	0.62 W	0.00	1900 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.97	1.06 W	0.00	1901 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.93	1.02 W	0.00	1902 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.87	0.96 W	0.00	1903 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.57	0.66 W	0.00	1904 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.68	1.33 W	0.00	1905 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.54	1.19 W	0.00	1906 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.52	1.17 W	0.00	1907 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.51	1.16 W	0.00	1908 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.47	1.12 W	0.00	1909 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.01	0.66 W	0.00	1910 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.85	3.02 W	0.00	1911 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.57	2.74 W	0.00	1912 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.56	2.73 W	0.00	1913 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.55	2.72 W	0.00	1914 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.54	2.71 W	0.00	1915 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.38	2.55 W	0.00	1916 of 3456 - Sale <sup>25</sup>
	0.740	8.27	06/09/20	11.02	2.75 W	0.00	1917 of 3456 - Sale <sup>25</sup>
	0.800	8.94	06/09/20	10.89	1.95 W	0.00	1918 of 3456 - Sale <sup>25</sup>
	0.840	9.39	06/09/20	12.89	3.50 W	0.00	1919 of 3456 - Sale <sup>25</sup>
	0.840	9.39	06/09/20	11.38	1.99 W	0.00	1920 of 3456 - Sale <sup>25</sup>
	0.940	10.51	06/09/20	12.68	2.17 W	0.00	1921 of 3456 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	12.95	2.22 W	0.00	1922 of 3456 - Sale <sup>25</sup>
	0.980	10.96	06/09/20	13.54	2.58 W	0.00	1923 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	15.28	4.10 W	0.00	1924 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	15.14	3.96 W	0.00	1925 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	15.13	3.95 W	0.00	1926 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.82	3.64 W	0.00	1927 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.18	06/09/20	14.82	3.64 W	0.00	1928 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.87	3.69 W	0.00	1929 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.85	3.67 W	0.00	1930 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.85	2.67 W	0.00	1931 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.89	2.71 W	0.00	1932 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.87	2.69 W	0.00	1933 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.84	2.66 W	0.00	1934 of 3456 - Sale <sup>25</sup>
	1.010	11.29	06/09/20	15.54	4.25 W	0.00	1935 of 3456 - Sale <sup>25</sup>
	1.010	11.29	06/09/20	15.27	3.98 W	0.00	1936 of 3456 - Sale <sup>25</sup>
	1.040	11.63	06/09/20	14.00	2.37 W	0.00	1937 of 3456 - Sale <sup>25</sup>
	1.050	11.74	06/09/20	14.32	2.58 W	0.00	1938 of 3456 - Sale <sup>25</sup>
	1.110	12.41	06/09/20	16.85	4.44 W	0.00	1939 of 3456 - Sale <sup>25</sup>
	1.700	19.01	06/09/20	25.10	6.09 W	0.00	1940 of 3456 - Sale <sup>25</sup>
	1.700	19.00	06/09/20	22.99	3.99 W	0.00	1941 of 3456 - Sale <sup>25</sup>
	1.720	19.23	06/09/20	23.74	4.51 W	0.00	1942 of 3456 - Sale <sup>25</sup>
	1.790	20.01	06/09/20	24.45	4.44 W	0.00	1943 of 3456 - Sale <sup>25</sup>
	1.800	20.12	06/09/20	23.98	3.86 W	0.00	1944 of 3456 - Sale <sup>25</sup>
	1.970	22.02	06/09/20	26.53	4.51 W	0.00	1945 of 3456 - Sale <sup>25</sup>
	1.990	22.25	06/09/20	29.99	7.74 W	0.00	1946 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	26.98	4.62 W	0.00	1947 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	26.98	4.62 W	0.00	1948 of 3456 - Sale <sup>25</sup>
	2.010	22.47	06/09/20	27.13	4.66 W	0.00	1949 of 3456 - Sale <sup>25</sup>
	2.030	22.69	06/09/20	30.75	8.06 W	0.00	1950 of 3456 - Sale <sup>25</sup>
	2.040	22.81	06/09/20	28.29	5.48 W	0.00	1951 of 3456 - Sale <sup>25</sup>
	2.040	22.81	06/09/20	27.54	4.73 W	0.00	1952 of 3456 - Sale <sup>25</sup>
	2.160	24.15	06/09/20	32.67	8.52 W	0.00	1953 of 3456 - Sale <sup>25</sup>
	2.270	25.38	06/09/20	34.34	8.96 W	0.00	1954 of 3456 - Sale <sup>25</sup>
	2.700	30.19	06/09/20	40.85	10.66 W	0.00	1955 of 3456 - Sale <sup>25</sup>
	2.700	30.18	06/09/20	36.75	6.57 W	0.00	1956 of 3456 - Sale <sup>25</sup>
	2.900	32.42	06/09/20	39.04	6.62 W	0.00	1957 of 3456 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	45.21	11.67 W	0.00	1958 of 3456 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	41.49	7.95 W	0.00	1959 of 3456 - Sale <sup>25</sup>
	3.010	33.65	06/09/20	40.62	6.97 W	0.00	1960 of 3456 - Sale <sup>25</sup>
	3.170	35.44	06/09/20	42.28	6.84 W	0.00	1961 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	3.700	41.36	06/09/20	56.82	15.46 W	0.00	1962 of 3456 - Sale <sup>25</sup>
	4.210	47.07	06/09/20	58.11	11.04 W	0.00	1963 of 3456 - Sale <sup>25</sup>
	4.960	55.45	06/09/20	76.29	20.84 W	0.00	1964 of 3456 - Sale <sup>25</sup>
	5.000	55.90	06/09/20	68.45	12.55 W	0.00	1965 of 3456 - Sale <sup>25</sup>
	5.000	55.90	06/09/20	67.91	12.01 W	0.00	1966 of 3456 - Sale <sup>25</sup>
	5.030	56.23	06/09/20	76.10	19.87 W	0.00	1967 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.71	0.15 W	0.00	1968 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.34	0.55 W	0.00	1969 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	9.21	1.38 W	0.00	1970 of 3456 - Sale <sup>25</sup>
	0.750	8.38	06/09/20	10.00	1.62 W	0.00	1971 of 3456 - Sale <sup>25</sup>
	0.950	10.62	06/09/20	13.61	2.99 W	0.00	1972 of 3456 - Sale <sup>25</sup>
	1.960	21.91	06/09/20	26.14	4.23 W	0.00	1973 of 3456 - Sale <sup>25</sup>
	2.040	22.81	06/09/20	29.12	6.31 W	0.00	1974 of 3456 - Sale <sup>25</sup>
	3.300	36.89	06/09/20	44.04	7.15 W	0.00	1975 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.71	0.15 W	0.00	1976 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.58	0.79 W	0.00	1977 of 3456 - Sale <sup>25</sup>
	0.950	10.62	06/09/20	13.50	2.88 W	0.00	1978 of 3456 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	13.81	3.08 W	0.00	1979 of 3456 - Sale <sup>25</sup>
	0.990	11.07	06/09/20	14.26	3.19 W	0.00	1980 of 3456 - Sale <sup>25</sup>
	1.050	11.74	06/09/20	15.01	3.27 W	0.00	1981 of 3456 - Sale <sup>25</sup>
	1.750	19.56	06/09/20	24.93	5.37 W	0.00	1982 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	28.56	6.20 W	0.00	1983 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	28.47	6.11 W	0.00	1984 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	1985 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	1986 of 3456 - Sale <sup>25</sup>
	0.150	1.68	06/09/20	1.87	0.19 W	0.00	1987 of 3456 - Sale <sup>25</sup>
	0.290	3.24	06/09/20	4.20	0.96 W	0.00	1988 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.26	0.91 W	0.00	1989 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.17	2.34 W	0.00	1990 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	9.91	2.08 W	0.00	1991 of 3456 - Sale <sup>25</sup>
	0.710	7.94	06/09/20	10.29	2.35 W	0.00	1992 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.38	3.20 W	0.00	1993 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.39	3.21 W	0.00	1994 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.44	3.26 W	0.00	1995 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.250	13.97	06/09/20	17.98	4.01 W	0.00	1996 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	1997 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	12.00	4.17 W	0.00	1998 of 3456 - Sale <sup>25</sup>
	0.910	10.17	06/09/20	15.73	5.56 W	0.00	1999 of 3456 - Sale <sup>25</sup>
	0.970	10.84	06/09/20	16.42	5.58 W	0.00	2000 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	17.43	6.25 W	0.00	2001 of 3456 - Sale <sup>25</sup>
	1.990	22.25	06/09/20	33.97	11.72 W	0.00	2002 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	33.99	11.63 W	0.00	2003 of 3456 - Sale <sup>25</sup>
	2.300	25.71	06/09/20	39.29	13.58 W	0.00	2004 of 3456 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	51.37	17.83 W	0.00	2005 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.36	0.14 W	0.00	2006 of 3456 - Sale <sup>25</sup>
	0.100	1.11	06/09/20	1.72	0.61 W	0.00	2007 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	12.00	4.24 W	0.00	2008 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	18.43	7.35 W	0.00	2009 of 3456 - Sale <sup>25</sup>
	1.890	20.94	06/09/20	34.61	13.67 W	0.00	2010 of 3456 - Sale <sup>25</sup>
	2.290	25.37	06/09/20	39.33	13.96 W	0.00	2011 of 3456 - Sale <sup>25</sup>
	4.000	44.32	06/09/20	68.62	24.30 W	0.00	2012 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	2013 of 3456 - Sale <sup>25</sup>
	0.060	0.66	06/09/20	0.79	0.13 W	0.00	2014 of 3456 - Sale <sup>25</sup>
	0.090	1.00	06/09/20	1.65	0.65 W	0.00	2015 of 3456 - Sale <sup>25</sup>
	0.100	1.11	06/09/20	1.83	0.72 W	0.00	2016 of 3456 - Sale <sup>25</sup>
	0.290	3.21	06/09/20	5.29	2.08 W	0.00	2017 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	5.53	2.21 W	0.00	2018 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	12.73	4.97 W	0.00	2019 of 3456 - Sale <sup>25</sup>
	0.730	8.09	06/09/20	13.31	5.22 W	0.00	2020 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	15.29	5.98 W	0.00	2021 of 3456 - Sale <sup>25</sup>
	0.940	10.41	06/09/20	12.62	2.21 W	0.00	2022 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	18.00	7.03 W	0.00	2023 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	18.00	7.03 W	0.00	2024 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	18.27	7.19 W	0.00	2025 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	18.20	7.12 W	0.00	2026 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.45	2.37 W	0.00	2027 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.45	2.37 W	0.00	2028 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	18.38	7.19 W	0.00	2029 of 3456 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.160	12.85	06/09/20	21.04	8.19 W	0.00	2030 of 3456 - Sale <sup>25</sup>
	1.750	19.39	06/09/20	23.49	4.10 W	0.00	2031 of 3456 - Sale <sup>25</sup>
	1.980	21.94	06/09/20	36.17	14.23 W	0.00	2032 of 3456 - Sale <sup>25</sup>
	2.010	22.27	06/09/20	36.57	14.30 W	0.00	2033 of 3456 - Sale <sup>25</sup>
	2.010	22.27	06/09/20	36.53	14.26 W	0.00	2034 of 3456 - Sale <sup>25</sup>
	2.010	22.27	06/09/20	36.49	14.22 W	0.00	2035 of 3456 - Sale <sup>25</sup>
	2.700	29.92	06/09/20	49.33	19.41 W	0.00	2036 of 3456 - Sale <sup>25</sup>
	2.990	33.13	06/09/20	54.82	21.69 W	0.00	2037 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	54.85	21.61 W	0.00	2038 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	54.65	21.41 W	0.00	2039 of 3456 - Sale <sup>25</sup>
	3.150	34.90	06/09/20	42.14	7.24 W	0.00	2040 of 3456 - Sale <sup>25</sup>
	4.170	46.20	06/09/20	76.23	30.03 W	0.00	2041 of 3456 - Sale <sup>25</sup>
	9.020	99.94	06/09/20	164.98	65.04 W	0.00	2042 of 3456 - Sale <sup>25</sup>
	0.310	3.43	06/09/20	4.15	0.72 W	0.00	2043 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.39	2.31 W	0.00	2044 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.31	2.23 W	0.00	2045 of 3456 - Sale <sup>25</sup>
	1.780	19.72	06/09/20	23.84	4.12 W	0.00	2046 of 3456 - Sale <sup>25</sup>
	1.910	21.16	06/09/20	25.41	4.25 W	0.00	2047 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	26.69	4.64 W	0.00	2048 of 3456 - Sale <sup>25</sup>
	2.010	22.27	06/09/20	26.91	4.64 W	0.00	2049 of 3456 - Sale <sup>25</sup>
	0.060	0.66	06/09/20	0.83	0.17 W	0.00	2050 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.11	0.79 W	0.00	2051 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.60	2.52 W	0.00	2052 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.59	2.51 W	0.00	2053 of 3456 - Sale <sup>25</sup>
	1.090	12.08	06/09/20	14.52	2.44 W	0.00	2054 of 3456 - Sale <sup>25</sup>
	1.710	18.95	06/09/20	23.38	4.43 W	0.00	2055 of 3456 - Sale <sup>25</sup>
	1.850	20.50	06/09/20	25.85	5.35 W	0.00	2056 of 3456 - Sale <sup>25</sup>
	2.990	33.13	06/09/20	40.71	7.58 W	0.00	2057 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	9.72	1.96 W	0.00	2058 of 3456 - Sale <sup>25</sup>
	1.910	21.16	06/09/20	26.57	5.41 W	0.00	2059 of 3456 - Sale <sup>25</sup>
	2.390	26.48	06/09/20	33.21	6.73 W	0.00	2060 of 3456 - Sale <sup>25</sup>
	0.090	1.00	06/09/20	1.28	0.28 W	0.00	2061 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.25	0.93 W	0.00	2062 of 3456 - Sale <sup>25</sup>
	0.610	6.76	06/09/20	8.67	1.91 W	0.00	2063 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.910	10.08	06/09/20	12.84	2.76 W	0.00	2064 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.14	3.06 W	0.00	2065 of 3456 - Sale <sup>25</sup>
	2.990	33.13	06/09/20	41.15	8.02 W	0.00	2066 of 3456 - Sale <sup>25</sup>
	4.100	45.43	06/09/20	56.93	11.50 W	0.00	2067 of 3456 - Sale <sup>25</sup>
	0.090	1.00	06/09/20	1.28	0.28 W	0.00	2068 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.26	3.18 W	0.00	2069 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.25	3.17 W	0.00	2070 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.26	3.18 W	0.00	2071 of 3456 - Sale <sup>25</sup>
	1.910	21.16	06/09/20	27.18	6.02 W	0.00	2072 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	2073 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	28.30	6.25 W	0.00	2074 of 3456 - Sale <sup>25</sup>
	3.090	34.24	06/09/20	43.97	9.73 W	0.00	2075 of 3456 - Sale <sup>25</sup>
	4.910	54.40	06/09/20	69.86	15.46 W	0.00	2076 of 3456 - Sale <sup>25</sup>
	0.310	3.43	06/09/20	4.42	0.99 W	0.00	2077 of 3456 - Sale <sup>25</sup>
	0.690	7.65	06/09/20	9.85	2.20 W	0.00	2078 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.27	3.19 W	0.00	2079 of 3456 - Sale <sup>25</sup>
	0.160	1.77	06/09/20	2.28	0.51 W	0.00	2080 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	11.55	2.24 W	0.00	2081 of 3456 - Sale <sup>25</sup>
	0.160	1.77	06/09/20	2.20	0.43 W	0.00	2082 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	11.61	2.30 W	0.00	2083 of 3456 - Sale <sup>25</sup>
	0.160	1.77	06/09/20	2.21	0.44 W	0.00	2084 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	11.54	2.23 W	0.00	2085 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	11.55	2.24 W	0.00	2086 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.63	2.55 W	0.00	2087 of 3456 - Sale <sup>25</sup>
	2.160	23.93	06/09/20	29.70	5.77 W	0.00	2088 of 3456 - Sale <sup>25</sup>
	0.160	1.77	06/09/20	2.16	0.39 W	0.00	2089 of 3456 - Sale <sup>25</sup>
	0.680	7.53	06/09/20	9.12	1.59 W	0.00	2090 of 3456 - Sale <sup>25</sup>
	1.320	14.63	06/09/20	18.16	3.53 W	0.00	2091 of 3456 - Sale <sup>25</sup>
	1.840	20.39	06/09/20	25.83	5.44 W	0.00	2092 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	26.83	4.67 W	0.00	2093 of 3456 - Sale <sup>25</sup>
	4.000	44.32	06/09/20	53.68	9.36 W	0.00	2094 of 3456 - Sale <sup>25</sup>
	1.160	12.85	06/09/20	15.33	2.48 W	0.00	2095 of 3456 - Sale <sup>25</sup>
	1.700	18.84	06/09/20	22.50	3.66 W	0.00	2096 of 3456 - Sale <sup>25</sup>
	2.160	23.93	06/09/20	28.97	5.04 W	0.00	2097 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.300	25.48	06/09/20	30.68	5.20 W	0.00	2098 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	13.60	2.63 W	0.00	2099 of 3456 - Sale <sup>25</sup>
	1.690	18.72	06/09/20	24.21	5.49 W	0.00	2100 of 3456 - Sale <sup>25</sup>
	0.150	1.66	06/09/20	1.87	0.21 W	0.00	2101 of 3456 - Sale <sup>25</sup>
	0.690	7.65	06/09/20	8.68	1.03 W	0.00	2102 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.69	1.61 W	0.00	2103 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.68	1.60 W	0.00	2104 of 3456 - Sale <sup>25</sup>
	1.310	14.51	06/09/20	18.77	4.26 W	0.00	2105 of 3456 - Sale <sup>25</sup>
	1.850	20.50	06/09/20	23.27	2.77 W	0.00	2106 of 3456 - Sale <sup>25</sup>
	4.000	44.32	06/09/20	57.29	12.97 W	0.00	2107 of 3456 - Sale <sup>25</sup>
	0.310	3.43	06/09/20	3.90	0.47 W	0.00	2108 of 3456 - Sale <sup>25</sup>
	0.850	9.42	06/09/20	10.71	1.29 W	0.00	2109 of 3456 - Sale <sup>25</sup>
	2.150	23.82	06/09/20	27.04	3.22 W	0.00	2110 of 3456 - Sale <sup>25</sup>
	2.840	31.47	06/09/20	35.71	4.24 W	0.00	2111 of 3456 - Sale <sup>25</sup>
	3.850	42.66	06/09/20	48.45	5.79 W	0.00	2112 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	2113 of 3456 - Sale <sup>25</sup>
	0.850	9.42	06/09/20	11.22	1.80 W	0.00	2114 of 3456 - Sale <sup>25</sup>
	0.850	9.42	06/09/20	11.27	1.85 W	0.00	2115 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.19	2.11 W	0.00	2116 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.28	2.20 W	0.00	2117 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.27	2.19 W	0.00	2118 of 3456 - Sale <sup>25</sup>
	1.310	14.51	06/09/20	16.48	1.97 W	0.00	2119 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	26.27	4.22 W	0.00	2120 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	26.38	4.33 W	0.00	2121 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.28	2.20 W	0.00	2122 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	11.09	1.78 W	0.00	2123 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	12.62	1.98 W	0.00	2124 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	12.67	2.03 W	0.00	2125 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.18	2.10 W	0.00	2126 of 3456 - Sale <sup>25</sup>
	1.020	11.30	06/09/20	13.81	2.51 W	0.00	2127 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	40.65	7.41 W	0.00	2128 of 3456 - Sale <sup>25</sup>
	3.010	33.35	06/09/20	39.72	6.37 W	0.00	2129 of 3456 - Sale <sup>25</sup>
	4.180	46.31	06/09/20	55.17	8.86 W	0.00	2130 of 3456 - Sale <sup>25</sup>
	5.040	55.84	06/09/20	67.53	11.69 W	0.00	2131 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.980	66.26	06/09/20	81.07	14.81 W	0.00	2132 of 3456 - Sale <sup>25</sup>
	12.010	133.07	06/09/20	158.54	25.47 W	0.00	2133 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2134 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.24	0.02 W	0.00	2135 of 3456 - Sale <sup>25</sup>
	0.170	1.88	06/09/20	2.27	0.39 W	0.00	2136 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	9.32	1.56 W	0.00	2137 of 3456 - Sale <sup>25</sup>
	0.820	9.09	06/09/20	10.95	1.86 W	0.00	2138 of 3456 - Sale <sup>25</sup>
	0.830	9.20	06/09/20	11.07	1.87 W	0.00	2139 of 3456 - Sale <sup>25</sup>
	0.830	9.20	06/09/20	10.92	1.72 W	0.00	2140 of 3456 - Sale <sup>25</sup>
	0.980	10.86	06/09/20	12.91	2.05 W	0.00	2141 of 3456 - Sale <sup>25</sup>
	0.980	10.86	06/09/20	12.24	1.38 W	0.00	2142 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	13.21	2.24 W	0.00	2143 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.34	2.26 W	0.00	2144 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.37	2.29 W	0.00	2145 of 3456 - Sale <sup>25</sup>
	1.150	12.74	06/09/20	15.35	2.61 W	0.00	2146 of 3456 - Sale <sup>25</sup>
	1.150	12.74	06/09/20	15.31	2.57 W	0.00	2147 of 3456 - Sale <sup>25</sup>
	2.170	24.04	06/09/20	28.89	4.85 W	0.00	2148 of 3456 - Sale <sup>25</sup>
	2.190	24.26	06/09/20	28.81	4.55 W	0.00	2149 of 3456 - Sale <sup>25</sup>
	2.300	25.48	06/09/20	30.85	5.37 W	0.00	2150 of 3456 - Sale <sup>25</sup>
	2.840	31.47	06/09/20	39.85	8.38 W	0.00	2151 of 3456 - Sale <sup>25</sup>
	3.010	33.35	06/09/20	40.26	6.91 W	0.00	2152 of 3456 - Sale <sup>25</sup>
	3.300	36.56	06/09/20	44.04	7.48 W	0.00	2153 of 3456 - Sale <sup>25</sup>
	5.150	57.06	06/09/20	72.21	15.15 W	0.00	2154 of 3456 - Sale <sup>25</sup>
	5.710	63.27	06/09/20	76.54	13.27 W	0.00	2155 of 3456 - Sale <sup>25</sup>
	5.850	64.82	06/09/20	77.91	13.09 W	0.00	2156 of 3456 - Sale <sup>25</sup>
	7.000	77.56	06/09/20	91.69	14.13 W	0.00	2157 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	2158 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.17	0.06 W	0.00	2159 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.32	0.10 W	0.00	2160 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.30	0.08 W	0.00	2161 of 3456 - Sale <sup>25</sup>
	0.040	0.44	06/09/20	0.51	0.07 W	0.00	2162 of 3456 - Sale <sup>25</sup>
	0.040	0.44	06/09/20	0.65	0.21 W	0.00	2163 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/09/20	0.66	0.11 W	0.00	2164 of 3456 - Sale <sup>25</sup>
	0.100	1.11	06/09/20	1.38	0.27 W	0.00	2165 of 3456 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.100	1.11	06/09/20	1.37	0.26 W	0.00	2166 of 3456 - Sale <sup>25</sup>
	0.140	1.55	06/09/20	1.77	0.22 W	0.00	2167 of 3456 - Sale <sup>25</sup>
	0.180	1.99	06/09/20	2.36	0.37 W	0.00	2168 of 3456 - Sale <sup>25</sup>
	0.180	1.99	06/09/20	2.25	0.26 W	0.00	2169 of 3456 - Sale <sup>25</sup>
	0.190	2.11	06/09/20	2.36	0.25 W	0.00	2170 of 3456 - Sale <sup>25</sup>
	0.190	2.11	06/09/20	3.15	1.04 W	0.00	2171 of 3456 - Sale <sup>25</sup>
	0.210	2.33	06/09/20	3.47	1.14 W	0.00	2172 of 3456 - Sale <sup>25</sup>
	0.260	2.88	06/09/20	4.25	1.37 W	0.00	2173 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.18	0.86 W	0.00	2174 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	11.54	3.78 W	0.00	2175 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	11.43	3.67 W	0.00	2176 of 3456 - Sale <sup>25</sup>
	0.710	7.87	06/09/20	11.69	3.82 W	0.00	2177 of 3456 - Sale <sup>25</sup>
	0.710	7.87	06/09/20	9.84	1.97 W	0.00	2178 of 3456 - Sale <sup>25</sup>
	0.780	8.64	06/09/20	12.89	4.25 W	0.00	2179 of 3456 - Sale <sup>25</sup>
	0.790	8.75	06/09/20	10.88	2.13 W	0.00	2180 of 3456 - Sale <sup>25</sup>
	0.800	8.86	06/09/20	10.24	1.38 W	0.00	2181 of 3456 - Sale <sup>25</sup>
	0.800	8.86	06/09/20	9.89	1.03 W	0.00	2182 of 3456 - Sale <sup>25</sup>
	0.800	8.86	06/09/20	9.88	1.02 W	0.00	2183 of 3456 - Sale <sup>25</sup>
	0.800	8.86	06/09/20	11.02	2.16 W	0.00	2184 of 3456 - Sale <sup>25</sup>
	0.800	8.86	06/09/20	13.28	4.42 W	0.00	2185 of 3456 - Sale <sup>25</sup>
	0.810	8.97	06/09/20	10.04	1.07 W	0.00	2186 of 3456 - Sale <sup>25</sup>
	0.810	8.97	06/09/20	9.50	0.53 W	0.00	2187 of 3456 - Sale <sup>25</sup>
	0.810	8.97	06/09/20	10.15	1.18 W	0.00	2188 of 3456 - Sale <sup>25</sup>
	0.830	9.20	06/09/20	10.69	1.49 W	0.00	2189 of 3456 - Sale <sup>25</sup>
	0.900	9.97	06/09/20	14.86	4.89 W	0.00	2190 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	12.13	1.49 W	0.00	2191 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	15.45	4.81 W	0.00	2192 of 3456 - Sale <sup>25</sup>
	0.970	10.75	06/09/20	12.13	1.38 W	0.00	2193 of 3456 - Sale <sup>25</sup>
	0.980	10.86	06/09/20	16.20	5.34 W	0.00	2194 of 3456 - Sale <sup>25</sup>
	0.980	10.86	06/09/20	15.99	5.13 W	0.00	2195 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	12.92	1.95 W	0.00	2196 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	16.41	5.44 W	0.00	2197 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.06	1.98 W	0.00	2198 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.05	1.97 W	0.00	2199 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.08	06/09/20	12.55	1.47 W	0.00	2200 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.46	1.38 W	0.00	2201 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.67	1.59 W	0.00	2202 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.58	1.50 W	0.00	2203 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.52	5.44 W	0.00	2204 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.52	5.44 W	0.00	2205 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.28	5.20 W	0.00	2206 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.00	4.92 W	0.00	2207 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	15.71	4.63 W	0.00	2208 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.04	2.96 W	0.00	2209 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	12.99	1.80 W	0.00	2210 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	13.16	1.97 W	0.00	2211 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	12.57	1.38 W	0.00	2212 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	16.70	5.51 W	0.00	2213 of 3456 - Sale <sup>25</sup>
	1.020	11.30	06/09/20	16.48	5.18 W	0.00	2214 of 3456 - Sale <sup>25</sup>
	1.030	11.41	06/09/20	12.93	1.52 W	0.00	2215 of 3456 - Sale <sup>25</sup>
	1.080	11.97	06/09/20	17.74	5.77 W	0.00	2216 of 3456 - Sale <sup>25</sup>
	1.160	12.85	06/09/20	14.95	2.10 W	0.00	2217 of 3456 - Sale <sup>25</sup>
	1.170	12.96	06/09/20	14.36	1.40 W	0.00	2218 of 3456 - Sale <sup>25</sup>
	1.180	13.07	06/09/20	14.83	1.76 W	0.00	2219 of 3456 - Sale <sup>25</sup>
	1.180	13.07	06/09/20	14.82	1.75 W	0.00	2220 of 3456 - Sale <sup>25</sup>
	1.200	13.30	06/09/20	14.85	1.55 W	0.00	2221 of 3456 - Sale <sup>25</sup>
	1.220	13.52	06/09/20	19.89	6.37 W	0.00	2222 of 3456 - Sale <sup>25</sup>
	1.300	14.40	06/09/20	21.55	7.15 W	0.00	2223 of 3456 - Sale <sup>25</sup>
	1.300	14.40	06/09/20	21.51	7.11 W	0.00	2224 of 3456 - Sale <sup>25</sup>
	1.300	14.40	06/09/20	21.46	7.06 W	0.00	2225 of 3456 - Sale <sup>25</sup>
	1.300	14.40	06/09/20	18.28	3.88 W	0.00	2226 of 3456 - Sale <sup>25</sup>
	1.700	18.84	06/09/20	27.28	8.44 W	0.00	2227 of 3456 - Sale <sup>25</sup>
	1.710	18.95	06/09/20	28.35	9.40 W	0.00	2228 of 3456 - Sale <sup>25</sup>
	1.800	19.94	06/09/20	29.35	9.41 W	0.00	2229 of 3456 - Sale <sup>25</sup>
	1.810	20.05	06/09/20	22.84	2.79 W	0.00	2230 of 3456 - Sale <sup>25</sup>
	1.820	20.17	06/09/20	22.51	2.34 W	0.00	2231 of 3456 - Sale <sup>25</sup>
	1.840	20.39	06/09/20	23.97	3.58 W	0.00	2232 of 3456 - Sale <sup>25</sup>
	1.910	21.16	06/09/20	26.32	5.16 W	0.00	2233 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.970	21.83	06/09/20	24.57	2.74 W	0.00	2234 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	25.95	3.90 W	0.00	2235 of 3456 - Sale <sup>25</sup>
	2.180	24.15	06/09/20	27.53	3.38 W	0.00	2236 of 3456 - Sale <sup>25</sup>
	2.200	24.38	06/09/20	27.23	2.85 W	0.00	2237 of 3456 - Sale <sup>25</sup>
	2.300	25.48	06/09/20	38.09	12.61 W	0.00	2238 of 3456 - Sale <sup>25</sup>
	2.980	33.02	06/09/20	34.96	1.94 W	0.00	2239 of 3456 - Sale <sup>25</sup>
	2.990	33.13	06/09/20	37.26	4.13 W	0.00	2240 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	37.75	4.51 W	0.00	2241 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	37.69	4.45 W	0.00	2242 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	37.01	3.77 W	0.00	2243 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	48.90	15.66 W	0.00	2244 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	37.36	4.12 W	0.00	2245 of 3456 - Sale <sup>25</sup>
	3.010	33.35	06/09/20	37.63	4.28 W	0.00	2246 of 3456 - Sale <sup>25</sup>
	3.010	33.35	06/09/20	49.75	16.40 W	0.00	2247 of 3456 - Sale <sup>25</sup>
	3.020	33.46	06/09/20	37.86	4.40 W	0.00	2248 of 3456 - Sale <sup>25</sup>
	3.020	33.46	06/09/20	37.91	4.45 W	0.00	2249 of 3456 - Sale <sup>25</sup>
	3.030	33.57	06/09/20	39.49	5.92 W	0.00	2250 of 3456 - Sale <sup>25</sup>
	3.030	33.57	06/09/20	37.83	4.26 W	0.00	2251 of 3456 - Sale <sup>25</sup>
	3.180	35.23	06/09/20	40.92	5.69 W	0.00	2252 of 3456 - Sale <sup>25</sup>
	3.800	42.10	06/09/20	49.09	6.99 W	0.00	2253 of 3456 - Sale <sup>25</sup>
	3.820	42.32	06/09/20	49.32	7.00 W	0.00	2254 of 3456 - Sale <sup>25</sup>
	3.990	44.21	06/09/20	49.84	5.63 W	0.00	2255 of 3456 - Sale <sup>25</sup>
	3.990	44.21	06/09/20	55.67	11.46 W	0.00	2256 of 3456 - Sale <sup>25</sup>
	4.010	44.43	06/09/20	50.81	6.38 W	0.00	2257 of 3456 - Sale <sup>25</sup>
	4.950	54.84	06/09/20	61.96	7.12 W	0.00	2258 of 3456 - Sale <sup>25</sup>
	6.200	68.69	06/09/20	80.08	11.39 W	0.00	2259 of 3456 - Sale <sup>25</sup>
	6.860	76.01	06/09/20	84.69	8.68 W	0.00	2260 of 3456 - Sale <sup>25</sup>
	8.020	88.86	06/09/20	101.62	12.76 W	0.00	2261 of 3456 - Sale <sup>25</sup>
	9.830	108.91	06/09/20	123.79	14.88 W	0.00	2262 of 3456 - Sale <sup>25</sup>
	13.000	144.04	06/09/20	166.60	22.56 W	0.00	2263 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2264 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	2265 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.17	0.06 W	0.00	2266 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.48	0.15 W	0.00	2267 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.030	0.33	06/09/20	0.39	0.06 W	0.00	2268 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.50	0.17 W	0.00	2269 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.48	0.15 W	0.00	2270 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.48	0.15 W	0.00	2271 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/09/20	0.69	0.14 W	0.00	2272 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/09/20	0.61	0.06 W	0.00	2273 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/09/20	0.64	0.09 W	0.00	2274 of 3456 - Sale <sup>25</sup>
	0.060	0.66	06/09/20	0.70	0.04 W	0.00	2275 of 3456 - Sale <sup>25</sup>
	0.140	1.55	06/09/20	1.77	0.22 W	0.00	2276 of 3456 - Sale <sup>25</sup>
	0.150	1.66	06/09/20	2.04	0.38 W	0.00	2277 of 3456 - Sale <sup>25</sup>
	0.180	1.99	06/09/20	2.33	0.34 W	0.00	2278 of 3456 - Sale <sup>25</sup>
	0.250	2.77	06/09/20	3.32	0.55 W	0.00	2279 of 3456 - Sale <sup>25</sup>
	0.290	3.21	06/09/20	3.85	0.64 W	0.00	2280 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.46	1.14 W	0.00	2281 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	3.90	0.58 W	0.00	2282 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	5.02	1.70 W	0.00	2283 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.35	2.59 W	0.00	2284 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	9.03	1.27 W	0.00	2285 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	11.63	3.87 W	0.00	2286 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	11.65	3.89 W	0.00	2287 of 3456 - Sale <sup>25</sup>
	0.710	7.87	06/09/20	9.37	1.50 W	0.00	2288 of 3456 - Sale <sup>25</sup>
	0.710	7.87	06/09/20	9.24	1.37 W	0.00	2289 of 3456 - Sale <sup>25</sup>
	0.730	8.09	06/09/20	12.03	3.94 W	0.00	2290 of 3456 - Sale <sup>25</sup>
	0.810	8.97	06/09/20	10.52	1.55 W	0.00	2291 of 3456 - Sale <sup>25</sup>
	0.850	9.42	06/09/20	11.77	2.35 W	0.00	2292 of 3456 - Sale <sup>25</sup>
	0.900	9.97	06/09/20	10.76	0.79 W	0.00	2293 of 3456 - Sale <sup>25</sup>
	0.930	10.30	06/09/20	12.83	2.53 W	0.00	2294 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	16.06	5.42 W	0.00	2295 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	16.10	5.46 W	0.00	2296 of 3456 - Sale <sup>25</sup>
	0.970	10.75	06/09/20	15.44	4.69 W	0.00	2297 of 3456 - Sale <sup>25</sup>
	0.970	10.75	06/09/20	12.30	1.55 W	0.00	2298 of 3456 - Sale <sup>25</sup>
	0.970	10.75	06/09/20	15.65	4.90 W	0.00	2299 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	13.28	2.31 W	0.00	2300 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	13.47	2.50 W	0.00	2301 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.990	10.97	06/09/20	11.87	0.90 W	0.00	2302 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.03	4.95 W	0.00	2303 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.03	4.95 W	0.00	2304 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.01	4.93 W	0.00	2305 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	15.99	4.91 W	0.00	2306 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.03	4.95 W	0.00	2307 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.68	2.60 W	0.00	2308 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.63	2.55 W	0.00	2309 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.49	2.41 W	0.00	2310 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.51	2.43 W	0.00	2311 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.55	2.47 W	0.00	2312 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.53	2.45 W	0.00	2313 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.55	2.47 W	0.00	2314 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.13	1.05 W	0.00	2315 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	11.90	0.82 W	0.00	2316 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.88	1.80 W	0.00	2317 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.87	1.79 W	0.00	2318 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.77	1.69 W	0.00	2319 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.67	1.59 W	0.00	2320 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	12.75	1.67 W	0.00	2321 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.43	5.35 W	0.00	2322 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.67	5.59 W	0.00	2323 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.64	5.56 W	0.00	2324 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	16.17	5.09 W	0.00	2325 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	16.08	4.89 W	0.00	2326 of 3456 - Sale <sup>25</sup>
	1.040	11.52	06/09/20	17.41	5.89 W	0.00	2327 of 3456 - Sale <sup>25</sup>
	1.160	12.85	06/09/20	14.72	1.87 W	0.00	2328 of 3456 - Sale <sup>25</sup>
	1.270	14.07	06/09/20	20.92	6.85 W	0.00	2329 of 3456 - Sale <sup>25</sup>
	1.300	14.40	06/09/20	17.81	3.41 W	0.00	2330 of 3456 - Sale <sup>25</sup>
	1.300	14.40	06/09/20	16.75	2.35 W	0.00	2331 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	31.96	9.91 W	0.00	2332 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	24.22	2.17 W	0.00	2333 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	32.02	9.86 W	0.00	2334 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	27.39	5.23 W	0.00	2335 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.16	06/09/20	27.39	5.23 W	0.00	2336 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	24.16	2.00 W	0.00	2337 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	23.85	1.69 W	0.00	2338 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	25.35	3.19 W	0.00	2339 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	32.97	10.81 W	0.00	2340 of 3456 - Sale <sup>25</sup>
	2.020	22.38	06/09/20	24.38	2.00 W	0.00	2341 of 3456 - Sale <sup>25</sup>
	2.030	22.49	06/09/20	33.78	11.29 W	0.00	2342 of 3456 - Sale <sup>25</sup>
	2.040	22.60	06/09/20	24.66	2.06 W	0.00	2343 of 3456 - Sale <sup>25</sup>
	2.050	22.71	06/09/20	24.89	2.18 W	0.00	2344 of 3456 - Sale <sup>25</sup>
	2.270	25.15	06/09/20	37.77	12.62 W	0.00	2345 of 3456 - Sale <sup>25</sup>
	2.300	25.48	06/09/20	31.40	5.92 W	0.00	2346 of 3456 - Sale <sup>25</sup>
	2.700	29.92	06/09/20	36.29	6.37 W	0.00	2347 of 3456 - Sale <sup>25</sup>
	2.820	31.24	06/09/20	35.73	4.49 W	0.00	2348 of 3456 - Sale <sup>25</sup>
	2.950	32.69	06/09/20	40.27	7.58 W	0.00	2349 of 3456 - Sale <sup>25</sup>
	2.950	32.69	06/09/20	36.17	3.48 W	0.00	2350 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	50.07	16.83 W	0.00	2351 of 3456 - Sale <sup>25</sup>
	3.010	33.35	06/09/20	36.49	3.14 W	0.00	2352 of 3456 - Sale <sup>25</sup>
	3.700	41.00	06/09/20	47.27	6.27 W	0.00	2353 of 3456 - Sale <sup>25</sup>
	3.730	41.33	06/09/20	47.23	5.90 W	0.00	2354 of 3456 - Sale <sup>25</sup>
	3.990	44.21	06/09/20	50.57	6.36 W	0.00	2355 of 3456 - Sale <sup>25</sup>
	4.110	45.54	06/09/20	52.01	6.47 W	0.00	2356 of 3456 - Sale <sup>25</sup>
	5.000	55.40	06/09/20	59.82	4.42 W	0.00	2357 of 3456 - Sale <sup>25</sup>
	5.700	63.15	06/09/20	78.12	14.97 W	0.00	2358 of 3456 - Sale <sup>25</sup>
	6.000	66.48	06/09/20	81.78	15.30 W	0.00	2359 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	11.28	0.20 W	0.00	2360 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	22.55	0.39 W	0.00	2361 of 3456 - Sale <sup>25</sup>
	5.000	55.40	06/09/20	56.51	1.11 W	0.00	2362 of 3456 - Sale <sup>25</sup>
	7.000	77.56	06/09/20	78.98	1.42 W	0.00	2363 of 3456 - Sale <sup>25</sup>
	35.010	387.90	06/09/20	394.98	7.08 W	0.00	2364 of 3456 - Sale <sup>25</sup>
	46.000	509.67	06/09/20	517.60	7.93 W	0.00	2365 of 3456 - Sale <sup>25</sup>
	0.040	0.44	06/09/20	0.62	0.18 W	0.00	2366 of 3456 - Sale <sup>25</sup>
	0.040	0.44	06/09/20	0.60	0.16 W	0.00	2367 of 3456 - Sale <sup>25</sup>
	0.190	2.11	06/09/20	2.92	0.81 W	0.00	2368 of 3456 - Sale <sup>25</sup>
	0.260	2.88	06/09/20	3.95	1.07 W	0.00	2369 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.260	2.88	06/09/20	3.91	1.03 W	0.00	2370 of 3456 - Sale <sup>25</sup>
	0.260	2.88	06/09/20	3.85	0.97 W	0.00	2371 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.67	1.35 W	0.00	2372 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.53	1.21 W	0.00	2373 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.51	1.19 W	0.00	2374 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.50	1.18 W	0.00	2375 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.81	3.05 W	0.00	2376 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.53	2.77 W	0.00	2377 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.52	2.76 W	0.00	2378 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.51	2.75 W	0.00	2379 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.50	2.74 W	0.00	2380 of 3456 - Sale <sup>25</sup>
	0.740	8.20	06/09/20	10.99	2.79 W	0.00	2381 of 3456 - Sale <sup>25</sup>
	0.740	8.20	06/09/20	10.97	2.77 W	0.00	2382 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	12.85	3.54 W	0.00	2383 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	12.90	2.26 W	0.00	2384 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	15.23	4.15 W	0.00	2385 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	15.09	4.01 W	0.00	2386 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	15.08	4.00 W	0.00	2387 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.77	3.69 W	0.00	2388 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.77	3.69 W	0.00	2389 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	15.49	4.30 W	0.00	2390 of 3456 - Sale <sup>25</sup>
	1.010	11.19	06/09/20	15.22	4.03 W	0.00	2391 of 3456 - Sale <sup>25</sup>
	1.110	12.30	06/09/20	16.80	4.50 W	0.00	2392 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	29.89	7.84 W	0.00	2393 of 3456 - Sale <sup>25</sup>
	2.030	22.49	06/09/20	30.65	8.16 W	0.00	2394 of 3456 - Sale <sup>25</sup>
	2.160	23.93	06/09/20	32.56	8.63 W	0.00	2395 of 3456 - Sale <sup>25</sup>
	2.270	25.15	06/09/20	34.23	9.08 W	0.00	2396 of 3456 - Sale <sup>25</sup>
	2.700	29.92	06/09/20	40.71	10.79 W	0.00	2397 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	45.06	11.82 W	0.00	2398 of 3456 - Sale <sup>25</sup>
	3.700	41.00	06/09/20	56.64	15.64 W	0.00	2399 of 3456 - Sale <sup>25</sup>
	4.960	54.96	06/09/20	76.04	21.08 W	0.00	2400 of 3456 - Sale <sup>25</sup>
	5.030	55.73	06/09/20	75.86	20.13 W	0.00	2401 of 3456 - Sale <sup>25</sup>
	8.000	88.64	06/09/20	90.16	1.52 W	0.00	2402 of 3456 - Sale <sup>25</sup>
	8.000	88.64	06/09/20	89.61	0.97 W	0.00	2403 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	39.000	432.11	06/09/20	439.57	7.46 W	0.00	2404 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2405 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2406 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	2407 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.24	0.02 W	0.00	2408 of 3456 - Sale <sup>25</sup>
	0.040	0.44	06/09/20	0.54	0.10 W	0.00	2409 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/09/20	0.71	0.16 W	0.00	2410 of 3456 - Sale <sup>25</sup>
	0.050	0.55	06/09/20	0.71	0.16 W	0.00	2411 of 3456 - Sale <sup>25</sup>
	0.150	1.66	06/09/20	1.86	0.20 W	0.00	2412 of 3456 - Sale <sup>25</sup>
	0.200	2.22	06/09/20	2.72	0.50 W	0.00	2413 of 3456 - Sale <sup>25</sup>
	0.250	2.77	06/09/20	3.46	0.69 W	0.00	2414 of 3456 - Sale <sup>25</sup>
	0.250	2.77	06/09/20	3.40	0.63 W	0.00	2415 of 3456 - Sale <sup>25</sup>
	0.250	2.77	06/09/20	3.33	0.56 W	0.00	2416 of 3456 - Sale <sup>25</sup>
	0.250	2.77	06/09/20	3.57	0.80 W	0.00	2417 of 3456 - Sale <sup>25</sup>
	0.260	2.88	06/09/20	3.85	0.97 W	0.00	2418 of 3456 - Sale <sup>25</sup>
	0.260	2.88	06/09/20	3.55	0.67 W	0.00	2419 of 3456 - Sale <sup>25</sup>
	0.290	3.21	06/09/20	4.19	0.98 W	0.00	2420 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.46	1.14 W	0.00	2421 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.00	0.68 W	0.00	2422 of 3456 - Sale <sup>25</sup>
	0.300	3.32	06/09/20	4.25	0.93 W	0.00	2423 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.34	2.58 W	0.00	2424 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	9.17	1.41 W	0.00	2425 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	10.13	2.37 W	0.00	2426 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	9.87	2.11 W	0.00	2427 of 3456 - Sale <sup>25</sup>
	0.710	7.87	06/09/20	10.25	2.38 W	0.00	2428 of 3456 - Sale <sup>25</sup>
	0.750	8.31	06/09/20	9.97	1.66 W	0.00	2429 of 3456 - Sale <sup>25</sup>
	0.800	8.86	06/09/20	10.85	1.99 W	0.00	2430 of 3456 - Sale <sup>25</sup>
	0.840	9.31	06/09/20	11.34	2.03 W	0.00	2431 of 3456 - Sale <sup>25</sup>
	0.940	10.41	06/09/20	12.63	2.22 W	0.00	2432 of 3456 - Sale <sup>25</sup>
	0.950	10.53	06/09/20	13.56	3.03 W	0.00	2433 of 3456 - Sale <sup>25</sup>
	0.950	10.53	06/09/20	13.45	2.92 W	0.00	2434 of 3456 - Sale <sup>25</sup>
	0.960	10.64	06/09/20	13.76	3.12 W	0.00	2435 of 3456 - Sale <sup>25</sup>
	0.980	10.86	06/09/20	13.49	2.63 W	0.00	2436 of 3456 - Sale <sup>25</sup>
	0.990	10.97	06/09/20	14.21	3.24 W	0.00	2437 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.08	06/09/20	14.80	3.72 W	0.00	2438 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.80	2.72 W	0.00	2439 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.84	2.76 W	0.00	2440 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.82	2.74 W	0.00	2441 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	13.79	2.71 W	0.00	2442 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.33	3.25 W	0.00	2443 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.34	3.26 W	0.00	2444 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	14.39	3.31 W	0.00	2445 of 3456 - Sale <sup>25</sup>
	1.040	11.52	06/09/20	13.95	2.43 W	0.00	2446 of 3456 - Sale <sup>25</sup>
	1.050	11.63	06/09/20	14.27	2.64 W	0.00	2447 of 3456 - Sale <sup>25</sup>
	1.050	11.63	06/09/20	14.96	3.33 W	0.00	2448 of 3456 - Sale <sup>25</sup>
	1.250	13.85	06/09/20	17.92	4.07 W	0.00	2449 of 3456 - Sale <sup>25</sup>
	1.700	18.84	06/09/20	25.01	6.17 W	0.00	2450 of 3456 - Sale <sup>25</sup>
	1.700	18.84	06/09/20	22.91	4.07 W	0.00	2451 of 3456 - Sale <sup>25</sup>
	1.720	19.06	06/09/20	23.65	4.59 W	0.00	2452 of 3456 - Sale <sup>25</sup>
	1.750	19.39	06/09/20	24.85	5.46 W	0.00	2453 of 3456 - Sale <sup>25</sup>
	1.790	19.83	06/09/20	24.36	4.53 W	0.00	2454 of 3456 - Sale <sup>25</sup>
	1.800	19.94	06/09/20	23.89	3.95 W	0.00	2455 of 3456 - Sale <sup>25</sup>
	1.960	21.72	06/09/20	26.04	4.32 W	0.00	2456 of 3456 - Sale <sup>25</sup>
	1.970	21.83	06/09/20	26.44	4.61 W	0.00	2457 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	26.88	4.72 W	0.00	2458 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	26.88	4.72 W	0.00	2459 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	28.46	6.30 W	0.00	2460 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	28.37	6.21 W	0.00	2461 of 3456 - Sale <sup>25</sup>
	2.010	22.27	06/09/20	27.03	4.76 W	0.00	2462 of 3456 - Sale <sup>25</sup>
	2.040	22.60	06/09/20	28.19	5.59 W	0.00	2463 of 3456 - Sale <sup>25</sup>
	2.040	22.60	06/09/20	27.44	4.84 W	0.00	2464 of 3456 - Sale <sup>25</sup>
	2.040	22.60	06/09/20	29.02	6.42 W	0.00	2465 of 3456 - Sale <sup>25</sup>
	2.700	29.92	06/09/20	36.62	6.70 W	0.00	2466 of 3456 - Sale <sup>25</sup>
	2.900	32.13	06/09/20	38.90	6.77 W	0.00	2467 of 3456 - Sale <sup>25</sup>
	3.000	33.24	06/09/20	41.34	8.10 W	0.00	2468 of 3456 - Sale <sup>25</sup>
	3.010	33.35	06/09/20	40.47	7.12 W	0.00	2469 of 3456 - Sale <sup>25</sup>
	3.170	35.12	06/09/20	42.12	7.00 W	0.00	2470 of 3456 - Sale <sup>25</sup>
	3.300	36.56	06/09/20	43.88	7.32 W	0.00	2471 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.210	46.65	06/09/20	57.90	11.25 W	0.00	2472 of 3456 - Sale <sup>25</sup>
	5.000	55.40	06/09/20	68.20	12.80 W	0.00	2473 of 3456 - Sale <sup>25</sup>
	5.000	55.40	06/09/20	67.66	12.26 W	0.00	2474 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	2475 of 3456 - Sale <sup>25</sup>
	0.700	7.76	06/09/20	11.96	4.20 W	0.00	2476 of 3456 - Sale <sup>25</sup>
	0.970	10.75	06/09/20	16.38	5.63 W	0.00	2477 of 3456 - Sale <sup>25</sup>
	1.910	21.16	06/09/20	32.61	11.45 W	0.00	2478 of 3456 - Sale <sup>25</sup>
	1.990	22.05	06/09/20	33.87	11.82 W	0.00	2479 of 3456 - Sale <sup>25</sup>
	2.000	22.16	06/09/20	33.89	11.73 W	0.00	2480 of 3456 - Sale <sup>25</sup>
	2.300	25.48	06/09/20	39.18	13.70 W	0.00	2481 of 3456 - Sale <sup>25</sup>
	0.910	10.08	06/09/20	15.71	5.63 W	0.00	2482 of 3456 - Sale <sup>25</sup>
	1.000	11.08	06/09/20	17.40	6.32 W	0.00	2483 of 3456 - Sale <sup>25</sup>
	1.090	12.08	06/09/20	18.63	6.55 W	0.00	2484 of 3456 - Sale <sup>25</sup>
	197.000	2,182.69	06/09/20	2,196.55	13.86 W	0.00	2485 of 3456 - Sale <sup>25</sup>
	100.000	1,107.97	06/09/20	1,115.00	7.03 W	0.00	2486 of 3456 - Sale <sup>25</sup>
	0.840	9.37	06/09/20	11.15	1.78 W	0.00	2487 of 3456 - Sale <sup>25</sup>
	0.960	10.71	06/09/20	12.68	1.97 W	0.00	2488 of 3456 - Sale <sup>25</sup>
	0.960	10.71	06/09/20	12.73	2.02 W	0.00	2489 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	13.25	2.09 W	0.00	2490 of 3456 - Sale <sup>25</sup>
	1.020	11.38	06/09/20	13.88	2.50 W	0.00	2491 of 3456 - Sale <sup>25</sup>
	3.000	33.48	06/09/20	40.86	7.38 W	0.00	2492 of 3456 - Sale <sup>25</sup>
	3.010	33.59	06/09/20	39.93	6.34 W	0.00	2493 of 3456 - Sale <sup>25</sup>
	3.020	33.70	06/09/20	41.15	7.45 W	0.00	2494 of 3456 - Sale <sup>25</sup>
	4.180	46.65	06/09/20	55.47	8.82 W	0.00	2495 of 3456 - Sale <sup>25</sup>
	12.010	134.03	06/09/20	159.38	25.35 W	0.00	2496 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	2497 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	2498 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.36	0.14 W	0.00	2499 of 3456 - Sale <sup>25</sup>
	0.060	0.67	06/09/20	0.80	0.13 W	0.00	2500 of 3456 - Sale <sup>25</sup>
	0.060	0.67	06/09/20	0.84	0.17 W	0.00	2501 of 3456 - Sale <sup>25</sup>
	0.090	1.00	06/09/20	1.65	0.65 W	0.00	2502 of 3456 - Sale <sup>25</sup>
	0.090	1.00	06/09/20	1.28	0.28 W	0.00	2503 of 3456 - Sale <sup>25</sup>
	0.090	1.00	06/09/20	1.28	0.28 W	0.00	2504 of 3456 - Sale <sup>25</sup>
	0.100	1.12	06/09/20	1.73	0.61 W	0.00	2505 of 3456 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.100	1.12	06/09/20	1.84	0.72 W	0.00	2506 of 3456 - Sale <sup>25</sup>
	0.160	1.79	06/09/20	2.29	0.50 W	0.00	2507 of 3456 - Sale <sup>25</sup>
	0.160	1.79	06/09/20	2.21	0.42 W	0.00	2508 of 3456 - Sale <sup>25</sup>
	0.160	1.79	06/09/20	2.22	0.43 W	0.00	2509 of 3456 - Sale <sup>25</sup>
	0.160	1.79	06/09/20	2.17	0.38 W	0.00	2510 of 3456 - Sale <sup>25</sup>
	0.290	3.24	06/09/20	5.31	2.07 W	0.00	2511 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	5.56	2.21 W	0.00	2512 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.14	0.79 W	0.00	2513 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.27	0.92 W	0.00	2514 of 3456 - Sale <sup>25</sup>
	0.310	3.46	06/09/20	4.18	0.72 W	0.00	2515 of 3456 - Sale <sup>25</sup>
	0.310	3.46	06/09/20	4.45	0.99 W	0.00	2516 of 3456 - Sale <sup>25</sup>
	0.610	6.81	06/09/20	8.71	1.90 W	0.00	2517 of 3456 - Sale <sup>25</sup>
	0.680	7.59	06/09/20	9.17	1.58 W	0.00	2518 of 3456 - Sale <sup>25</sup>
	0.690	7.70	06/09/20	9.89	2.19 W	0.00	2519 of 3456 - Sale <sup>25</sup>
	0.700	7.81	06/09/20	12.04	4.23 W	0.00	2520 of 3456 - Sale <sup>25</sup>
	0.700	7.81	06/09/20	12.78	4.97 W	0.00	2521 of 3456 - Sale <sup>25</sup>
	0.700	7.81	06/09/20	9.76	1.95 W	0.00	2522 of 3456 - Sale <sup>25</sup>
	0.730	8.15	06/09/20	13.36	5.21 W	0.00	2523 of 3456 - Sale <sup>25</sup>
	0.840	9.37	06/09/20	15.35	5.98 W	0.00	2524 of 3456 - Sale <sup>25</sup>
	0.840	9.37	06/09/20	11.61	2.24 W	0.00	2525 of 3456 - Sale <sup>25</sup>
	0.840	9.37	06/09/20	11.67	2.30 W	0.00	2526 of 3456 - Sale <sup>25</sup>
	0.840	9.37	06/09/20	11.60	2.23 W	0.00	2527 of 3456 - Sale <sup>25</sup>
	0.840	9.37	06/09/20	11.61	2.24 W	0.00	2528 of 3456 - Sale <sup>25</sup>
	0.910	10.16	06/09/20	12.91	2.75 W	0.00	2529 of 3456 - Sale <sup>25</sup>
	0.940	10.49	06/09/20	12.69	2.20 W	0.00	2530 of 3456 - Sale <sup>25</sup>
	0.990	11.05	06/09/20	18.07	7.02 W	0.00	2531 of 3456 - Sale <sup>25</sup>
	0.990	11.05	06/09/20	18.07	7.02 W	0.00	2532 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	18.50	7.34 W	0.00	2533 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	18.34	7.18 W	0.00	2534 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	18.27	7.11 W	0.00	2535 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	13.52	2.36 W	0.00	2536 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	13.52	2.36 W	0.00	2537 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	13.46	2.30 W	0.00	2538 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	13.38	2.22 W	0.00	2539 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.16	06/09/20	13.67	2.51 W	0.00	2540 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	13.66	2.50 W	0.00	2541 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	14.21	3.05 W	0.00	2542 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	14.33	3.17 W	0.00	2543 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	14.32	3.16 W	0.00	2544 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	14.33	3.17 W	0.00	2545 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	14.34	3.18 W	0.00	2546 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	13.70	2.54 W	0.00	2547 of 3456 - Sale <sup>25</sup>
	1.010	11.27	06/09/20	18.45	7.18 W	0.00	2548 of 3456 - Sale <sup>25</sup>
	1.090	12.16	06/09/20	14.59	2.43 W	0.00	2549 of 3456 - Sale <sup>25</sup>
	1.160	12.95	06/09/20	21.12	8.17 W	0.00	2550 of 3456 - Sale <sup>25</sup>
	1.160	12.94	06/09/20	15.41	2.47 W	0.00	2551 of 3456 - Sale <sup>25</sup>
	1.320	14.73	06/09/20	18.25	3.52 W	0.00	2552 of 3456 - Sale <sup>25</sup>
	1.700	18.97	06/09/20	22.61	3.64 W	0.00	2553 of 3456 - Sale <sup>25</sup>
	1.710	19.08	06/09/20	23.50	4.42 W	0.00	2554 of 3456 - Sale <sup>25</sup>
	1.750	19.53	06/09/20	23.61	4.08 W	0.00	2555 of 3456 - Sale <sup>25</sup>
	1.780	19.86	06/09/20	23.97	4.11 W	0.00	2556 of 3456 - Sale <sup>25</sup>
	1.840	20.53	06/09/20	25.96	5.43 W	0.00	2557 of 3456 - Sale <sup>25</sup>
	1.850	20.64	06/09/20	25.98	5.34 W	0.00	2558 of 3456 - Sale <sup>25</sup>
	1.890	21.09	06/09/20	34.74	13.65 W	0.00	2559 of 3456 - Sale <sup>25</sup>
	1.910	21.31	06/09/20	25.55	4.24 W	0.00	2560 of 3456 - Sale <sup>25</sup>
	1.910	21.31	06/09/20	26.71	5.40 W	0.00	2561 of 3456 - Sale <sup>25</sup>
	1.910	21.31	06/09/20	27.32	6.01 W	0.00	2562 of 3456 - Sale <sup>25</sup>
	1.980	22.10	06/09/20	36.31	14.21 W	0.00	2563 of 3456 - Sale <sup>25</sup>
	1.990	22.21	06/09/20	26.83	4.62 W	0.00	2564 of 3456 - Sale <sup>25</sup>
	1.990	22.21	06/09/20	28.44	6.23 W	0.00	2565 of 3456 - Sale <sup>25</sup>
	2.000	22.32	06/09/20	26.97	4.65 W	0.00	2566 of 3456 - Sale <sup>25</sup>
	2.010	22.43	06/09/20	36.71	14.28 W	0.00	2567 of 3456 - Sale <sup>25</sup>
	2.010	22.43	06/09/20	36.67	14.24 W	0.00	2568 of 3456 - Sale <sup>25</sup>
	2.010	22.43	06/09/20	36.63	14.20 W	0.00	2569 of 3456 - Sale <sup>25</sup>
	2.010	22.43	06/09/20	27.05	4.62 W	0.00	2570 of 3456 - Sale <sup>25</sup>
	2.160	24.11	06/09/20	29.85	5.74 W	0.00	2571 of 3456 - Sale <sup>25</sup>
	2.160	24.11	06/09/20	29.12	5.01 W	0.00	2572 of 3456 - Sale <sup>25</sup>
	2.290	25.56	06/09/20	39.49	13.93 W	0.00	2573 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.300	25.67	06/09/20	30.85	5.18 W	0.00	2574 of 3456 - Sale <sup>25</sup>
	2.390	26.67	06/09/20	33.38	6.71 W	0.00	2575 of 3456 - Sale <sup>25</sup>
	2.700	30.13	06/09/20	49.51	19.38 W	0.00	2576 of 3456 - Sale <sup>25</sup>
	2.990	33.37	06/09/20	55.03	21.66 W	0.00	2577 of 3456 - Sale <sup>25</sup>
	2.990	33.37	06/09/20	40.92	7.55 W	0.00	2578 of 3456 - Sale <sup>25</sup>
	2.990	33.37	06/09/20	41.36	7.99 W	0.00	2579 of 3456 - Sale <sup>25</sup>
	3.000	33.48	06/09/20	55.06	21.58 W	0.00	2580 of 3456 - Sale <sup>25</sup>
	3.000	33.48	06/09/20	54.86	21.38 W	0.00	2581 of 3456 - Sale <sup>25</sup>
	3.090	34.48	06/09/20	44.18	9.70 W	0.00	2582 of 3456 - Sale <sup>25</sup>
	3.150	35.15	06/09/20	42.36	7.21 W	0.00	2583 of 3456 - Sale <sup>25</sup>
	4.000	44.64	06/09/20	68.90	24.26 W	0.00	2584 of 3456 - Sale <sup>25</sup>
	4.000	44.64	06/09/20	53.96	9.32 W	0.00	2585 of 3456 - Sale <sup>25</sup>
	4.100	45.75	06/09/20	57.21	11.46 W	0.00	2586 of 3456 - Sale <sup>25</sup>
	4.170	46.54	06/09/20	76.53	29.99 W	0.00	2587 of 3456 - Sale <sup>25</sup>
	4.910	54.79	06/09/20	70.21	15.42 W	0.00	2588 of 3456 - Sale <sup>25</sup>
	9.020	100.66	06/09/20	165.61	64.95 W	0.00	2589 of 3456 - Sale <sup>25</sup>
	0.990	11.05	06/09/20	13.67	2.62 W	0.00	2590 of 3456 - Sale <sup>25</sup>
	1.000	11.16	06/09/20	14.40	3.24 W	0.00	2591 of 3456 - Sale <sup>25</sup>
	1.690	18.86	06/09/20	24.33	5.47 W	0.00	2592 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	2593 of 3456 - Sale <sup>25</sup>
	0.150	1.67	06/09/20	1.88	0.21 W	0.00	2594 of 3456 - Sale <sup>25</sup>
	0.310	3.45	06/09/20	3.93	0.48 W	0.00	2595 of 3456 - Sale <sup>25</sup>
	0.690	7.69	06/09/20	8.72	1.03 W	0.00	2596 of 3456 - Sale <sup>25</sup>
	0.850	9.47	06/09/20	10.77	1.30 W	0.00	2597 of 3456 - Sale <sup>25</sup>
	0.850	9.47	06/09/20	11.28	1.81 W	0.00	2598 of 3456 - Sale <sup>25</sup>
	0.850	9.47	06/09/20	11.33	1.86 W	0.00	2599 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.76	1.62 W	0.00	2600 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.75	1.61 W	0.00	2601 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.26	2.12 W	0.00	2602 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.35	2.21 W	0.00	2603 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.34	2.20 W	0.00	2604 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.35	2.21 W	0.00	2605 of 3456 - Sale <sup>25</sup>
	0.310	3.45	06/09/20	4.47	1.02 W	0.00	2606 of 3456 - Sale <sup>25</sup>
	1.310	14.59	06/09/20	16.58	1.99 W	0.00	2607 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.850	20.61	06/09/20	23.40	2.79 W	0.00	2608 of 3456 - Sale <sup>25</sup>
	1.990	22.17	06/09/20	26.41	4.24 W	0.00	2609 of 3456 - Sale <sup>25</sup>
	1.990	22.17	06/09/20	26.52	4.35 W	0.00	2610 of 3456 - Sale <sup>25</sup>
	2.150	23.95	06/09/20	27.19	3.24 W	0.00	2611 of 3456 - Sale <sup>25</sup>
	2.840	31.64	06/09/20	35.91	4.27 W	0.00	2612 of 3456 - Sale <sup>25</sup>
	3.850	42.89	06/09/20	48.72	5.83 W	0.00	2613 of 3456 - Sale <sup>25</sup>
	4.000	44.56	06/09/20	57.57	13.01 W	0.00	2614 of 3456 - Sale <sup>25</sup>
	2.960	32.97	06/09/20	40.33	7.36 W	0.00	2615 of 3456 - Sale <sup>25</sup>
	5.040	56.14	06/09/20	67.89	11.75 W	0.00	2616 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2617 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.24	0.02 W	0.00	2618 of 3456 - Sale <sup>25</sup>
	0.170	1.89	06/09/20	2.29	0.40 W	0.00	2619 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	9.36	1.56 W	0.00	2620 of 3456 - Sale <sup>25</sup>
	0.820	9.13	06/09/20	11.00	1.87 W	0.00	2621 of 3456 - Sale <sup>25</sup>
	0.830	9.25	06/09/20	11.12	1.87 W	0.00	2622 of 3456 - Sale <sup>25</sup>
	0.830	9.25	06/09/20	10.97	1.72 W	0.00	2623 of 3456 - Sale <sup>25</sup>
	0.980	10.92	06/09/20	12.98	2.06 W	0.00	2624 of 3456 - Sale <sup>25</sup>
	0.980	10.92	06/09/20	12.31	1.39 W	0.00	2625 of 3456 - Sale <sup>25</sup>
	0.990	11.03	06/09/20	13.28	2.25 W	0.00	2626 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.41	2.27 W	0.00	2627 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.44	2.30 W	0.00	2628 of 3456 - Sale <sup>25</sup>
	1.150	12.81	06/09/20	15.43	2.62 W	0.00	2629 of 3456 - Sale <sup>25</sup>
	1.150	12.81	06/09/20	15.39	2.58 W	0.00	2630 of 3456 - Sale <sup>25</sup>
	2.170	24.17	06/09/20	29.05	4.88 W	0.00	2631 of 3456 - Sale <sup>25</sup>
	2.190	24.40	06/09/20	28.97	4.57 W	0.00	2632 of 3456 - Sale <sup>25</sup>
	2.300	25.62	06/09/20	31.02	5.40 W	0.00	2633 of 3456 - Sale <sup>25</sup>
	2.840	31.64	06/09/20	40.05	8.41 W	0.00	2634 of 3456 - Sale <sup>25</sup>
	3.010	33.53	06/09/20	40.47	6.94 W	0.00	2635 of 3456 - Sale <sup>25</sup>
	3.300	36.76	06/09/20	44.27	7.51 W	0.00	2636 of 3456 - Sale <sup>25</sup>
	5.150	57.37	06/09/20	72.57	15.20 W	0.00	2637 of 3456 - Sale <sup>25</sup>
	5.710	63.61	06/09/20	76.94	13.33 W	0.00	2638 of 3456 - Sale <sup>25</sup>
	5.850	65.17	06/09/20	78.32	13.15 W	0.00	2639 of 3456 - Sale <sup>25</sup>
	7.000	77.98	06/09/20	92.18	14.20 W	0.00	2640 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	2641 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.030	0.33	06/09/20	0.39	0.06 W	0.00	2642 of 3456 - Sale <sup>25</sup>
	0.180	2.01	06/09/20	2.38	0.37 W	0.00	2643 of 3456 - Sale <sup>25</sup>
	0.800	8.91	06/09/20	10.30	1.39 W	0.00	2644 of 3456 - Sale <sup>25</sup>
	0.830	9.25	06/09/20	10.74	1.49 W	0.00	2645 of 3456 - Sale <sup>25</sup>
	0.990	11.03	06/09/20	12.99	1.96 W	0.00	2646 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.13	1.99 W	0.00	2647 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.12	1.98 W	0.00	2648 of 3456 - Sale <sup>25</sup>
	1.010	11.25	06/09/20	13.06	1.81 W	0.00	2649 of 3456 - Sale <sup>25</sup>
	1.010	11.25	06/09/20	13.23	1.98 W	0.00	2650 of 3456 - Sale <sup>25</sup>
	1.160	12.92	06/09/20	15.03	2.11 W	0.00	2651 of 3456 - Sale <sup>25</sup>
	1.840	20.50	06/09/20	24.10	3.60 W	0.00	2652 of 3456 - Sale <sup>25</sup>
	1.990	22.17	06/09/20	26.09	3.92 W	0.00	2653 of 3456 - Sale <sup>25</sup>
	3.180	35.42	06/09/20	41.15	5.73 W	0.00	2654 of 3456 - Sale <sup>25</sup>
	3.800	42.33	06/09/20	49.36	7.03 W	0.00	2655 of 3456 - Sale <sup>25</sup>
	3.820	42.55	06/09/20	49.59	7.04 W	0.00	2656 of 3456 - Sale <sup>25</sup>
	6.200	69.07	06/09/20	80.52	11.45 W	0.00	2657 of 3456 - Sale <sup>25</sup>
	13.000	144.81	06/09/20	167.52	22.71 W	0.00	2658 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.52	0.07 W	0.00	2659 of 3456 - Sale <sup>25</sup>
	0.100	1.11	06/09/20	1.38	0.27 W	0.00	2660 of 3456 - Sale <sup>25</sup>
	0.100	1.11	06/09/20	1.38	0.27 W	0.00	2661 of 3456 - Sale <sup>25</sup>
	0.140	1.56	06/09/20	1.78	0.22 W	0.00	2662 of 3456 - Sale <sup>25</sup>
	0.180	2.01	06/09/20	2.27	0.26 W	0.00	2663 of 3456 - Sale <sup>25</sup>
	0.190	2.12	06/09/20	2.37	0.25 W	0.00	2664 of 3456 - Sale <sup>25</sup>
	0.300	3.34	06/09/20	4.20	0.86 W	0.00	2665 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	11.58	3.78 W	0.00	2666 of 3456 - Sale <sup>25</sup>
	0.790	8.80	06/09/20	10.94	2.14 W	0.00	2667 of 3456 - Sale <sup>25</sup>
	0.800	8.91	06/09/20	9.95	1.04 W	0.00	2668 of 3456 - Sale <sup>25</sup>
	0.800	8.91	06/09/20	9.94	1.03 W	0.00	2669 of 3456 - Sale <sup>25</sup>
	0.800	8.91	06/09/20	11.08	2.17 W	0.00	2670 of 3456 - Sale <sup>25</sup>
	0.810	9.02	06/09/20	10.10	1.08 W	0.00	2671 of 3456 - Sale <sup>25</sup>
	0.810	9.02	06/09/20	9.56	0.54 W	0.00	2672 of 3456 - Sale <sup>25</sup>
	0.960	10.69	06/09/20	12.19	1.50 W	0.00	2673 of 3456 - Sale <sup>25</sup>
	0.970	10.81	06/09/20	12.20	1.39 W	0.00	2674 of 3456 - Sale <sup>25</sup>
	0.990	11.03	06/09/20	16.48	5.45 W	0.00	2675 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.14	06/09/20	12.62	1.48 W	0.00	2676 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.53	1.39 W	0.00	2677 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.74	1.60 W	0.00	2678 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.65	1.51 W	0.00	2679 of 3456 - Sale <sup>25</sup>
	1.010	11.25	06/09/20	12.64	1.39 W	0.00	2680 of 3456 - Sale <sup>25</sup>
	1.030	11.47	06/09/20	13.00	1.53 W	0.00	2681 of 3456 - Sale <sup>25</sup>
	1.170	13.03	06/09/20	14.45	1.42 W	0.00	2682 of 3456 - Sale <sup>25</sup>
	1.200	13.37	06/09/20	14.93	1.56 W	0.00	2683 of 3456 - Sale <sup>25</sup>
	1.220	13.59	06/09/20	20.25	6.66 W	0.00	2684 of 3456 - Sale <sup>25</sup>
	1.300	14.48	06/09/20	21.64	7.16 W	0.00	2685 of 3456 - Sale <sup>25</sup>
	1.300	14.48	06/09/20	21.61	7.13 W	0.00	2686 of 3456 - Sale <sup>25</sup>
	1.710	19.05	06/09/20	28.47	9.42 W	0.00	2687 of 3456 - Sale <sup>25</sup>
	1.810	20.16	06/09/20	22.97	2.81 W	0.00	2688 of 3456 - Sale <sup>25</sup>
	1.820	20.27	06/09/20	22.63	2.36 W	0.00	2689 of 3456 - Sale <sup>25</sup>
	1.910	21.28	06/09/20	26.46	5.18 W	0.00	2690 of 3456 - Sale <sup>25</sup>
	1.970	21.94	06/09/20	24.71	2.77 W	0.00	2691 of 3456 - Sale <sup>25</sup>
	2.180	24.28	06/09/20	27.69	3.41 W	0.00	2692 of 3456 - Sale <sup>25</sup>
	2.200	24.51	06/09/20	27.38	2.87 W	0.00	2693 of 3456 - Sale <sup>25</sup>
	2.980	33.20	06/09/20	35.17	1.97 W	0.00	2694 of 3456 - Sale <sup>25</sup>
	2.990	33.31	06/09/20	37.47	4.16 W	0.00	2695 of 3456 - Sale <sup>25</sup>
	3.000	33.42	06/09/20	39.31	5.89 W	0.00	2696 of 3456 - Sale <sup>25</sup>
	3.000	33.42	06/09/20	37.96	4.54 W	0.00	2697 of 3456 - Sale <sup>25</sup>
	3.000	33.42	06/09/20	37.90	4.48 W	0.00	2698 of 3456 - Sale <sup>25</sup>
	3.000	33.42	06/09/20	37.22	3.80 W	0.00	2699 of 3456 - Sale <sup>25</sup>
	3.010	33.53	06/09/20	37.84	4.31 W	0.00	2700 of 3456 - Sale <sup>25</sup>
	3.020	33.64	06/09/20	38.07	4.43 W	0.00	2701 of 3456 - Sale <sup>25</sup>
	3.030	33.75	06/09/20	38.04	4.29 W	0.00	2702 of 3456 - Sale <sup>25</sup>
	3.990	44.45	06/09/20	50.12	5.67 W	0.00	2703 of 3456 - Sale <sup>25</sup>
	4.010	44.67	06/09/20	51.09	6.42 W	0.00	2704 of 3456 - Sale <sup>25</sup>
	4.950	55.14	06/09/20	62.31	7.17 W	0.00	2705 of 3456 - Sale <sup>25</sup>
	6.860	76.42	06/09/20	85.17	8.75 W	0.00	2706 of 3456 - Sale <sup>25</sup>
	8.020	89.34	06/09/20	102.18	12.84 W	0.00	2707 of 3456 - Sale <sup>25</sup>
	9.830	109.50	06/09/20	124.49	14.99 W	0.00	2708 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.17	0.06 W	0.00	2709 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.020	0.22	06/09/20	0.32	0.10 W	0.00	2710 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.30	0.08 W	0.00	2711 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.66	0.21 W	0.00	2712 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.67	0.11 W	0.00	2713 of 3456 - Sale <sup>25</sup>
	0.190	2.12	06/09/20	3.16	1.04 W	0.00	2714 of 3456 - Sale <sup>25</sup>
	0.210	2.34	06/09/20	3.48	1.14 W	0.00	2715 of 3456 - Sale <sup>25</sup>
	0.260	2.90	06/09/20	4.27	1.37 W	0.00	2716 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	11.48	3.68 W	0.00	2717 of 3456 - Sale <sup>25</sup>
	0.710	7.91	06/09/20	11.74	3.83 W	0.00	2718 of 3456 - Sale <sup>25</sup>
	0.710	7.91	06/09/20	9.89	1.98 W	0.00	2719 of 3456 - Sale <sup>25</sup>
	0.780	8.69	06/09/20	12.95	4.26 W	0.00	2720 of 3456 - Sale <sup>25</sup>
	0.800	8.91	06/09/20	13.34	4.43 W	0.00	2721 of 3456 - Sale <sup>25</sup>
	0.810	9.02	06/09/20	10.21	1.19 W	0.00	2722 of 3456 - Sale <sup>25</sup>
	0.900	10.03	06/09/20	14.93	4.90 W	0.00	2723 of 3456 - Sale <sup>25</sup>
	0.960	10.69	06/09/20	15.51	4.82 W	0.00	2724 of 3456 - Sale <sup>25</sup>
	0.980	10.92	06/09/20	16.27	5.35 W	0.00	2725 of 3456 - Sale <sup>25</sup>
	0.980	10.92	06/09/20	16.06	5.14 W	0.00	2726 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.59	5.45 W	0.00	2727 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.59	5.45 W	0.00	2728 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.35	5.21 W	0.00	2729 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.07	4.93 W	0.00	2730 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	15.78	4.64 W	0.00	2731 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	14.11	2.97 W	0.00	2732 of 3456 - Sale <sup>25</sup>
	1.010	11.25	06/09/20	16.77	5.52 W	0.00	2733 of 3456 - Sale <sup>25</sup>
	1.020	11.36	06/09/20	16.55	5.19 W	0.00	2734 of 3456 - Sale <sup>25</sup>
	1.080	12.03	06/09/20	17.81	5.78 W	0.00	2735 of 3456 - Sale <sup>25</sup>
	1.180	13.14	06/09/20	14.92	1.78 W	0.00	2736 of 3456 - Sale <sup>25</sup>
	1.180	13.14	06/09/20	14.91	1.77 W	0.00	2737 of 3456 - Sale <sup>25</sup>
	1.220	13.59	06/09/20	19.97	6.38 W	0.00	2738 of 3456 - Sale <sup>25</sup>
	1.300	14.48	06/09/20	21.55	7.07 W	0.00	2739 of 3456 - Sale <sup>25</sup>
	1.300	14.48	06/09/20	18.37	3.89 W	0.00	2740 of 3456 - Sale <sup>25</sup>
	1.700	18.94	06/09/20	27.40	8.46 W	0.00	2741 of 3456 - Sale <sup>25</sup>
	1.790	19.94	06/09/20	29.71	9.77 W	0.00	2742 of 3456 - Sale <sup>25</sup>
	1.800	20.05	06/09/20	29.48	9.43 W	0.00	2743 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.300	25.62	06/09/20	38.25	12.63 W	0.00	2744 of 3456 - Sale <sup>25</sup>
	3.000	33.42	06/09/20	49.11	15.69 W	0.00	2745 of 3456 - Sale <sup>25</sup>
	3.000	33.42	06/09/20	37.57	4.15 W	0.00	2746 of 3456 - Sale <sup>25</sup>
	3.020	33.64	06/09/20	38.12	4.48 W	0.00	2747 of 3456 - Sale <sup>25</sup>
	3.990	44.45	06/09/20	55.95	11.50 W	0.00	2748 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2749 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	2750 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.48	0.15 W	0.00	2751 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.70	0.14 W	0.00	2752 of 3456 - Sale <sup>25</sup>
	0.150	1.67	06/09/20	2.05	0.38 W	0.00	2753 of 3456 - Sale <sup>25</sup>
	0.850	9.47	06/09/20	11.83	2.36 W	0.00	2754 of 3456 - Sale <sup>25</sup>
	0.930	10.36	06/09/20	12.90	2.54 W	0.00	2755 of 3456 - Sale <sup>25</sup>
	0.970	10.81	06/09/20	15.51	4.70 W	0.00	2756 of 3456 - Sale <sup>25</sup>
	0.990	11.03	06/09/20	13.35	2.32 W	0.00	2757 of 3456 - Sale <sup>25</sup>
	0.990	11.03	06/09/20	13.54	2.51 W	0.00	2758 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.10	4.96 W	0.00	2759 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.10	4.96 W	0.00	2760 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.08	4.94 W	0.00	2761 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.06	4.92 W	0.00	2762 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.10	4.96 W	0.00	2763 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.75	2.61 W	0.00	2764 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.70	2.56 W	0.00	2765 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.56	2.42 W	0.00	2766 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.58	2.44 W	0.00	2767 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.62	2.48 W	0.00	2768 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.60	2.46 W	0.00	2769 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	13.62	2.48 W	0.00	2770 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.20	1.06 W	0.00	2771 of 3456 - Sale <sup>25</sup>
	1.010	11.25	06/09/20	16.15	4.90 W	0.00	2772 of 3456 - Sale <sup>25</sup>
	1.300	14.48	06/09/20	17.91	3.43 W	0.00	2773 of 3456 - Sale <sup>25</sup>
	1.990	22.17	06/09/20	32.10	9.93 W	0.00	2774 of 3456 - Sale <sup>25</sup>
	1.990	22.17	06/09/20	24.36	2.19 W	0.00	2775 of 3456 - Sale <sup>25</sup>
	2.000	22.28	06/09/20	32.16	9.88 W	0.00	2776 of 3456 - Sale <sup>25</sup>
	2.000	22.28	06/09/20	27.53	5.25 W	0.00	2777 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	2.000	22.28	06/09/20	27.53	5.25 W	0.00	2778 of 3456 - Sale <sup>25</sup>
	2.050	22.84	06/09/20	25.04	2.20 W	0.00	2779 of 3456 - Sale <sup>25</sup>
	2.300	25.62	06/09/20	31.56	5.94 W	0.00	2780 of 3456 - Sale <sup>25</sup>
	2.700	30.08	06/09/20	36.47	6.39 W	0.00	2781 of 3456 - Sale <sup>25</sup>
	2.950	32.86	06/09/20	40.47	7.61 W	0.00	2782 of 3456 - Sale <sup>25</sup>
	3.010	33.53	06/09/20	36.70	3.17 W	0.00	2783 of 3456 - Sale <sup>25</sup>
	5.700	63.50	06/09/20	78.52	15.02 W	0.00	2784 of 3456 - Sale <sup>25</sup>
	6.000	66.84	06/09/20	82.20	15.36 W	0.00	2785 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.17	0.06 W	0.00	2786 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.39	0.06 W	0.00	2787 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.50	0.17 W	0.00	2788 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.48	0.15 W	0.00	2789 of 3456 - Sale <sup>25</sup>
	0.030	0.33	06/09/20	0.48	0.15 W	0.00	2790 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.61	0.05 W	0.00	2791 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.64	0.08 W	0.00	2792 of 3456 - Sale <sup>25</sup>
	0.060	0.67	06/09/20	0.71	0.04 W	0.00	2793 of 3456 - Sale <sup>25</sup>
	0.140	1.56	06/09/20	1.77	0.21 W	0.00	2794 of 3456 - Sale <sup>25</sup>
	0.180	2.01	06/09/20	2.34	0.33 W	0.00	2795 of 3456 - Sale <sup>25</sup>
	0.250	2.78	06/09/20	3.32	0.54 W	0.00	2796 of 3456 - Sale <sup>25</sup>
	0.290	3.23	06/09/20	3.86	0.63 W	0.00	2797 of 3456 - Sale <sup>25</sup>
	0.300	3.34	06/09/20	4.47	1.13 W	0.00	2798 of 3456 - Sale <sup>25</sup>
	0.300	3.34	06/09/20	3.91	0.57 W	0.00	2799 of 3456 - Sale <sup>25</sup>
	0.300	3.34	06/09/20	5.03	1.69 W	0.00	2800 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	10.35	2.55 W	0.00	2801 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	9.03	1.23 W	0.00	2802 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	11.63	3.83 W	0.00	2803 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	11.65	3.85 W	0.00	2804 of 3456 - Sale <sup>25</sup>
	0.710	7.91	06/09/20	9.37	1.46 W	0.00	2805 of 3456 - Sale <sup>25</sup>
	0.710	7.91	06/09/20	9.24	1.33 W	0.00	2806 of 3456 - Sale <sup>25</sup>
	0.730	8.13	06/09/20	12.04	3.91 W	0.00	2807 of 3456 - Sale <sup>25</sup>
	0.810	9.02	06/09/20	10.53	1.51 W	0.00	2808 of 3456 - Sale <sup>25</sup>
	0.900	10.03	06/09/20	10.77	0.74 W	0.00	2809 of 3456 - Sale <sup>25</sup>
	0.960	10.69	06/09/20	16.07	5.38 W	0.00	2810 of 3456 - Sale <sup>25</sup>
	0.960	10.69	06/09/20	16.11	5.42 W	0.00	2811 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.970	10.81	06/09/20	12.31	1.50 W	0.00	2812 of 3456 - Sale <sup>25</sup>
	0.970	10.81	06/09/20	15.66	4.85 W	0.00	2813 of 3456 - Sale <sup>25</sup>
	0.990	11.03	06/09/20	11.88	0.85 W	0.00	2814 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	11.91	0.77 W	0.00	2815 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.89	1.75 W	0.00	2816 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.88	1.74 W	0.00	2817 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.78	1.64 W	0.00	2818 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.68	1.54 W	0.00	2819 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	12.76	1.62 W	0.00	2820 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.44	5.30 W	0.00	2821 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.68	5.54 W	0.00	2822 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.65	5.51 W	0.00	2823 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	16.18	5.04 W	0.00	2824 of 3456 - Sale <sup>25</sup>
	1.040	11.58	06/09/20	17.42	5.84 W	0.00	2825 of 3456 - Sale <sup>25</sup>
	1.160	12.92	06/09/20	14.73	1.81 W	0.00	2826 of 3456 - Sale <sup>25</sup>
	1.270	14.15	06/09/20	20.93	6.78 W	0.00	2827 of 3456 - Sale <sup>25</sup>
	1.300	14.48	06/09/20	16.77	2.29 W	0.00	2828 of 3456 - Sale <sup>25</sup>
	2.000	22.28	06/09/20	24.18	1.90 W	0.00	2829 of 3456 - Sale <sup>25</sup>
	2.000	22.28	06/09/20	23.87	1.59 W	0.00	2830 of 3456 - Sale <sup>25</sup>
	2.000	22.28	06/09/20	25.37	3.09 W	0.00	2831 of 3456 - Sale <sup>25</sup>
	2.000	22.28	06/09/20	32.99	10.71 W	0.00	2832 of 3456 - Sale <sup>25</sup>
	2.020	22.50	06/09/20	24.40	1.90 W	0.00	2833 of 3456 - Sale <sup>25</sup>
	2.030	22.61	06/09/20	33.80	11.19 W	0.00	2834 of 3456 - Sale <sup>25</sup>
	2.040	22.72	06/09/20	24.68	1.96 W	0.00	2835 of 3456 - Sale <sup>25</sup>
	2.270	25.29	06/09/20	37.80	12.51 W	0.00	2836 of 3456 - Sale <sup>25</sup>
	2.820	31.41	06/09/20	35.76	4.35 W	0.00	2837 of 3456 - Sale <sup>25</sup>
	2.950	32.86	06/09/20	36.20	3.34 W	0.00	2838 of 3456 - Sale <sup>25</sup>
	3.000	33.42	06/09/20	50.10	16.68 W	0.00	2839 of 3456 - Sale <sup>25</sup>
	3.700	41.22	06/09/20	47.30	6.08 W	0.00	2840 of 3456 - Sale <sup>25</sup>
	3.730	41.55	06/09/20	47.27	5.72 W	0.00	2841 of 3456 - Sale <sup>25</sup>
	3.990	44.45	06/09/20	50.61	6.16 W	0.00	2842 of 3456 - Sale <sup>25</sup>
	4.110	45.78	06/09/20	52.05	6.27 W	0.00	2843 of 3456 - Sale <sup>25</sup>
	5.000	55.70	06/09/20	59.87	4.17 W	0.00	2844 of 3456 - Sale <sup>25</sup>
	26.010	289.74	06/09/20	293.73	3.99 W	0.00	2845 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.040	0.45	06/09/20	0.62	0.17 W	0.00	2846 of 3456 - Sale <sup>25</sup>
	0.190	2.12	06/09/20	2.92	0.80 W	0.00	2847 of 3456 - Sale <sup>25</sup>
	0.260	2.90	06/09/20	3.95	1.05 W	0.00	2848 of 3456 - Sale <sup>25</sup>
	0.300	3.34	06/09/20	4.67	1.33 W	0.00	2849 of 3456 - Sale <sup>25</sup>
	0.700	7.80	06/09/20	10.81	3.01 W	0.00	2850 of 3456 - Sale <sup>25</sup>
	0.840	9.36	06/09/20	12.85	3.49 W	0.00	2851 of 3456 - Sale <sup>25</sup>
	0.960	10.69	06/09/20	12.90	2.21 W	0.00	2852 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	15.23	4.09 W	0.00	2853 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	15.09	3.95 W	0.00	2854 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	15.08	3.94 W	0.00	2855 of 3456 - Sale <sup>25</sup>
	1.010	11.25	06/09/20	15.49	4.24 W	0.00	2856 of 3456 - Sale <sup>25</sup>
	2.010	22.39	06/09/20	30.30	7.91 W	0.00	2857 of 3456 - Sale <sup>25</sup>
	2.030	22.61	06/09/20	30.65	8.04 W	0.00	2858 of 3456 - Sale <sup>25</sup>
	2.270	25.29	06/09/20	34.23	8.94 W	0.00	2859 of 3456 - Sale <sup>25</sup>
	2.700	30.08	06/09/20	40.71	10.63 W	0.00	2860 of 3456 - Sale <sup>25</sup>
	3.700	41.22	06/09/20	56.63	15.41 W	0.00	2861 of 3456 - Sale <sup>25</sup>
	4.960	55.25	06/09/20	76.04	20.79 W	0.00	2862 of 3456 - Sale <sup>25</sup>
	5.030	56.03	06/09/20	75.86	19.83 W	0.00	2863 of 3456 - Sale <sup>25</sup>
	1.000	11.14	06/09/20	11.28	0.14 W	0.00	2864 of 3456 - Sale <sup>25</sup>
	2.000	22.28	06/09/20	22.55	0.27 W	0.00	2865 of 3456 - Sale <sup>25</sup>
	5.000	55.70	06/09/20	56.51	0.81 W	0.00	2866 of 3456 - Sale <sup>25</sup>
	7.000	77.97	06/09/20	78.98	1.01 W	0.00	2867 of 3456 - Sale <sup>25</sup>
	9.000	100.25	06/09/20	101.54	1.29 W	0.00	2868 of 3456 - Sale <sup>25</sup>
	46.000	512.40	06/09/20	517.61	5.21 W	0.00	2869 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.24	0.01 W	0.00	2870 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.61	0.16 W	0.00	2871 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.56	0.11 W	0.00	2872 of 3456 - Sale <sup>25</sup>
	0.150	1.70	06/09/20	2.28	0.58 W	0.00	2873 of 3456 - Sale <sup>25</sup>
	0.200	2.27	06/09/20	2.74	0.47 W	0.00	2874 of 3456 - Sale <sup>25</sup>
	0.260	2.95	06/09/20	3.94	0.99 W	0.00	2875 of 3456 - Sale <sup>25</sup>
	0.260	2.95	06/09/20	3.88	0.93 W	0.00	2876 of 3456 - Sale <sup>25</sup>
	0.260	2.95	06/09/20	3.88	0.93 W	0.00	2877 of 3456 - Sale <sup>25</sup>
	0.260	2.95	06/09/20	3.58	0.63 W	0.00	2878 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	4.57	1.17 W	0.00	2879 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.40	06/09/20	4.55	1.15 W	0.00	2880 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	4.54	1.14 W	0.00	2881 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	4.50	1.10 W	0.00	2882 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	4.04	0.64 W	0.00	2883 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	10.60	2.66 W	0.00	2884 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	10.59	2.65 W	0.00	2885 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	10.58	2.64 W	0.00	2886 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	10.57	2.63 W	0.00	2887 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	10.41	2.47 W	0.00	2888 of 3456 - Sale <sup>25</sup>
	0.740	8.39	06/09/20	11.07	2.68 W	0.00	2889 of 3456 - Sale <sup>25</sup>
	0.740	8.39	06/09/20	11.05	2.66 W	0.00	2890 of 3456 - Sale <sup>25</sup>
	0.800	9.07	06/09/20	10.94	1.87 W	0.00	2891 of 3456 - Sale <sup>25</sup>
	0.840	9.53	06/09/20	11.43	1.90 W	0.00	2892 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.87	3.53 W	0.00	2893 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.87	3.53 W	0.00	2894 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.90	3.56 W	0.00	2895 of 3456 - Sale <sup>25</sup>
	1.010	11.45	06/09/20	15.33	3.88 W	0.00	2896 of 3456 - Sale <sup>25</sup>
	1.040	11.79	06/09/20	14.06	2.27 W	0.00	2897 of 3456 - Sale <sup>25</sup>
	1.110	12.59	06/09/20	16.92	4.33 W	0.00	2898 of 3456 - Sale <sup>25</sup>
	1.700	19.28	06/09/20	25.18	5.90 W	0.00	2899 of 3456 - Sale <sup>25</sup>
	1.800	20.41	06/09/20	24.08	3.67 W	0.00	2900 of 3456 - Sale <sup>25</sup>
	1.970	22.34	06/09/20	26.64	4.30 W	0.00	2901 of 3456 - Sale <sup>25</sup>
	1.990	22.57	06/09/20	30.10	7.53 W	0.00	2902 of 3456 - Sale <sup>25</sup>
	2.700	30.62	06/09/20	36.90	6.28 W	0.00	2903 of 3456 - Sale <sup>25</sup>
	2.900	32.89	06/09/20	39.21	6.32 W	0.00	2904 of 3456 - Sale <sup>25</sup>
	3.000	34.02	06/09/20	45.38	11.36 W	0.00	2905 of 3456 - Sale <sup>25</sup>
	3.170	35.95	06/09/20	42.46	6.51 W	0.00	2906 of 3456 - Sale <sup>25</sup>
	5.000	56.70	06/09/20	68.72	12.02 W	0.00	2907 of 3456 - Sale <sup>25</sup>
	5.000	56.70	06/09/20	68.18	11.48 W	0.00	2908 of 3456 - Sale <sup>25</sup>
	8.000	90.72	06/09/20	91.00	0.28 W	0.00	2909 of 3456 - Sale <sup>25</sup>
	39.000	442.25	06/09/20	443.67	1.42 W	0.00	2910 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2911 of 3456 - Sale <sup>25</sup>
	0.250	2.83	06/09/20	3.48	0.65 W	0.00	2912 of 3456 - Sale <sup>25</sup>
	0.960	10.89	06/09/20	13.32	2.43 W	0.00	2913 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.980	11.11	06/09/20	13.57	2.46 W	0.00	2914 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.92	2.58 W	0.00	2915 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.90	2.56 W	0.00	2916 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.87	2.53 W	0.00	2917 of 3456 - Sale <sup>25</sup>
	1.040	11.79	06/09/20	14.22	2.43 W	0.00	2918 of 3456 - Sale <sup>25</sup>
	1.720	19.50	06/09/20	23.79	4.29 W	0.00	2919 of 3456 - Sale <sup>25</sup>
	1.790	20.30	06/09/20	24.50	4.20 W	0.00	2920 of 3456 - Sale <sup>25</sup>
	2.040	23.13	06/09/20	28.36	5.23 W	0.00	2921 of 3456 - Sale <sup>25</sup>
	3.000	34.02	06/09/20	41.58	7.56 W	0.00	2922 of 3456 - Sale <sup>25</sup>
	4.210	47.74	06/09/20	58.23	10.49 W	0.00	2923 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2924 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	2925 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	2926 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.55	0.10 W	0.00	2927 of 3456 - Sale <sup>25</sup>
	0.050	0.57	06/09/20	0.72	0.15 W	0.00	2928 of 3456 - Sale <sup>25</sup>
	0.050	0.57	06/09/20	0.72	0.15 W	0.00	2929 of 3456 - Sale <sup>25</sup>
	0.150	1.70	06/09/20	1.87	0.17 W	0.00	2930 of 3456 - Sale <sup>25</sup>
	0.250	2.83	06/09/20	3.42	0.59 W	0.00	2931 of 3456 - Sale <sup>25</sup>
	0.250	2.83	06/09/20	3.35	0.52 W	0.00	2932 of 3456 - Sale <sup>25</sup>
	0.250	2.83	06/09/20	3.59	0.76 W	0.00	2933 of 3456 - Sale <sup>25</sup>
	0.290	3.29	06/09/20	4.22	0.93 W	0.00	2934 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	4.28	0.88 W	0.00	2935 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	9.22	1.28 W	0.00	2936 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	10.18	2.24 W	0.00	2937 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	9.92	1.98 W	0.00	2938 of 3456 - Sale <sup>25</sup>
	0.710	8.05	06/09/20	10.30	2.25 W	0.00	2939 of 3456 - Sale <sup>25</sup>
	0.750	8.50	06/09/20	10.03	1.53 W	0.00	2940 of 3456 - Sale <sup>25</sup>
	0.940	10.66	06/09/20	12.71	2.05 W	0.00	2941 of 3456 - Sale <sup>25</sup>
	0.950	10.77	06/09/20	13.63	2.86 W	0.00	2942 of 3456 - Sale <sup>25</sup>
	0.950	10.77	06/09/20	13.52	2.75 W	0.00	2943 of 3456 - Sale <sup>25</sup>
	0.960	10.89	06/09/20	13.83	2.94 W	0.00	2944 of 3456 - Sale <sup>25</sup>
	0.990	11.23	06/09/20	14.29	3.06 W	0.00	2945 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.41	3.07 W	0.00	2946 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.42	3.08 W	0.00	2947 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.34	06/09/20	14.47	3.13 W	0.00	2948 of 3456 - Sale <sup>25</sup>
	1.050	11.91	06/09/20	15.05	3.14 W	0.00	2949 of 3456 - Sale <sup>25</sup>
	1.250	14.17	06/09/20	18.02	3.85 W	0.00	2950 of 3456 - Sale <sup>25</sup>
	1.700	19.28	06/09/20	23.04	3.76 W	0.00	2951 of 3456 - Sale <sup>25</sup>
	1.750	19.84	06/09/20	24.99	5.15 W	0.00	2952 of 3456 - Sale <sup>25</sup>
	1.960	22.23	06/09/20	26.19	3.96 W	0.00	2953 of 3456 - Sale <sup>25</sup>
	2.000	22.68	06/09/20	27.04	4.36 W	0.00	2954 of 3456 - Sale <sup>25</sup>
	2.000	22.68	06/09/20	27.04	4.36 W	0.00	2955 of 3456 - Sale <sup>25</sup>
	2.000	22.68	06/09/20	28.62	5.94 W	0.00	2956 of 3456 - Sale <sup>25</sup>
	2.000	22.68	06/09/20	28.53	5.85 W	0.00	2957 of 3456 - Sale <sup>25</sup>
	2.010	22.79	06/09/20	27.19	4.40 W	0.00	2958 of 3456 - Sale <sup>25</sup>
	2.040	23.13	06/09/20	27.61	4.48 W	0.00	2959 of 3456 - Sale <sup>25</sup>
	2.040	23.13	06/09/20	29.19	6.06 W	0.00	2960 of 3456 - Sale <sup>25</sup>
	3.010	34.13	06/09/20	40.71	6.58 W	0.00	2961 of 3456 - Sale <sup>25</sup>
	3.300	37.42	06/09/20	44.15	6.73 W	0.00	2962 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	2963 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	12.01	4.07 W	0.00	2964 of 3456 - Sale <sup>25</sup>
	0.910	10.32	06/09/20	15.79	5.47 W	0.00	2965 of 3456 - Sale <sup>25</sup>
	0.970	11.00	06/09/20	16.46	5.46 W	0.00	2966 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	17.48	6.14 W	0.00	2967 of 3456 - Sale <sup>25</sup>
	1.090	12.36	06/09/20	18.71	6.35 W	0.00	2968 of 3456 - Sale <sup>25</sup>
	1.910	21.66	06/09/20	32.77	11.11 W	0.00	2969 of 3456 - Sale <sup>25</sup>
	1.990	22.57	06/09/20	34.03	11.46 W	0.00	2970 of 3456 - Sale <sup>25</sup>
	2.000	22.68	06/09/20	34.05	11.37 W	0.00	2971 of 3456 - Sale <sup>25</sup>
	2.300	26.08	06/09/20	39.37	13.29 W	0.00	2972 of 3456 - Sale <sup>25</sup>
	0.840	9.53	06/09/20	11.12	1.59 W	0.00	2973 of 3456 - Sale <sup>25</sup>
	0.960	10.89	06/09/20	12.65	1.76 W	0.00	2974 of 3456 - Sale <sup>25</sup>
	0.960	10.89	06/09/20	12.70	1.81 W	0.00	2975 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.21	1.87 W	0.00	2976 of 3456 - Sale <sup>25</sup>
	1.020	11.57	06/09/20	13.84	2.27 W	0.00	2977 of 3456 - Sale <sup>25</sup>
	3.000	34.02	06/09/20	40.74	6.72 W	0.00	2978 of 3456 - Sale <sup>25</sup>
	3.010	34.13	06/09/20	39.81	5.68 W	0.00	2979 of 3456 - Sale <sup>25</sup>
	3.020	34.25	06/09/20	41.03	6.78 W	0.00	2980 of 3456 - Sale <sup>25</sup>
	4.180	47.40	06/09/20	55.30	7.90 W	0.00	2981 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	12.010	136.19	06/09/20	158.90	22.71 W	0.00	2982 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	2983 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.37	0.14 W	0.00	2984 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/09/20	0.81	0.13 W	0.00	2985 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/09/20	0.85	0.17 W	0.00	2986 of 3456 - Sale <sup>25</sup>
	0.090	1.02	06/09/20	1.68	0.66 W	0.00	2987 of 3456 - Sale <sup>25</sup>
	0.090	1.02	06/09/20	1.31	0.29 W	0.00	2988 of 3456 - Sale <sup>25</sup>
	0.090	1.02	06/09/20	1.31	0.29 W	0.00	2989 of 3456 - Sale <sup>25</sup>
	0.100	1.13	06/09/20	1.75	0.62 W	0.00	2990 of 3456 - Sale <sup>25</sup>
	0.100	1.13	06/09/20	1.86	0.73 W	0.00	2991 of 3456 - Sale <sup>25</sup>
	0.290	3.29	06/09/20	5.38	2.09 W	0.00	2992 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	5.63	2.23 W	0.00	2993 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	4.21	0.81 W	0.00	2994 of 3456 - Sale <sup>25</sup>
	0.300	3.40	06/09/20	4.34	0.94 W	0.00	2995 of 3456 - Sale <sup>25</sup>
	0.310	3.52	06/09/20	4.25	0.73 W	0.00	2996 of 3456 - Sale <sup>25</sup>
	0.610	6.92	06/09/20	8.85	1.93 W	0.00	2997 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	12.21	4.27 W	0.00	2998 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	12.95	5.01 W	0.00	2999 of 3456 - Sale <sup>25</sup>
	0.700	7.94	06/09/20	9.93	1.99 W	0.00	3000 of 3456 - Sale <sup>25</sup>
	0.730	8.28	06/09/20	13.53	5.25 W	0.00	3001 of 3456 - Sale <sup>25</sup>
	0.840	9.53	06/09/20	15.56	6.03 W	0.00	3002 of 3456 - Sale <sup>25</sup>
	0.910	10.32	06/09/20	13.12	2.80 W	0.00	3003 of 3456 - Sale <sup>25</sup>
	0.940	10.66	06/09/20	12.92	2.26 W	0.00	3004 of 3456 - Sale <sup>25</sup>
	0.990	11.23	06/09/20	18.31	7.08 W	0.00	3005 of 3456 - Sale <sup>25</sup>
	0.990	11.23	06/09/20	18.31	7.08 W	0.00	3006 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	18.74	7.40 W	0.00	3007 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	18.58	7.24 W	0.00	3008 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	18.51	7.17 W	0.00	3009 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.76	2.42 W	0.00	3010 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.76	2.42 W	0.00	3011 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.70	2.36 W	0.00	3012 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.62	2.28 W	0.00	3013 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.91	2.57 W	0.00	3014 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	13.90	2.56 W	0.00	3015 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.34	06/09/20	14.45	3.11 W	0.00	3016 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.57	3.23 W	0.00	3017 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.56	3.22 W	0.00	3018 of 3456 - Sale <sup>25</sup>
	1.000	11.34	06/09/20	14.57	3.23 W	0.00	3019 of 3456 - Sale <sup>25</sup>
	1.010	11.45	06/09/20	18.69	7.24 W	0.00	3020 of 3456 - Sale <sup>25</sup>
	1.090	12.36	06/09/20	14.86	2.50 W	0.00	3021 of 3456 - Sale <sup>25</sup>
	1.160	13.15	06/09/20	21.39	8.24 W	0.00	3022 of 3456 - Sale <sup>25</sup>
	1.710	19.39	06/09/20	23.91	4.52 W	0.00	3023 of 3456 - Sale <sup>25</sup>
	1.750	19.84	06/09/20	24.03	4.19 W	0.00	3024 of 3456 - Sale <sup>25</sup>
	1.780	20.18	06/09/20	24.40	4.22 W	0.00	3025 of 3456 - Sale <sup>25</sup>
	1.850	20.98	06/09/20	26.43	5.45 W	0.00	3026 of 3456 - Sale <sup>25</sup>
	1.890	21.43	06/09/20	35.20	13.77 W	0.00	3027 of 3456 - Sale <sup>25</sup>
	1.910	21.66	06/09/20	26.01	4.35 W	0.00	3028 of 3456 - Sale <sup>25</sup>
	1.910	21.66	06/09/20	27.17	5.51 W	0.00	3029 of 3456 - Sale <sup>25</sup>
	1.910	21.66	06/09/20	27.78	6.12 W	0.00	3030 of 3456 - Sale <sup>25</sup>
	1.980	22.45	06/09/20	36.78	14.33 W	0.00	3031 of 3456 - Sale <sup>25</sup>
	1.990	22.57	06/09/20	27.31	4.74 W	0.00	3032 of 3456 - Sale <sup>25</sup>
	2.010	22.79	06/09/20	37.19	14.40 W	0.00	3033 of 3456 - Sale <sup>25</sup>
	2.010	22.79	06/09/20	37.15	14.36 W	0.00	3034 of 3456 - Sale <sup>25</sup>
	2.010	22.79	06/09/20	37.11	14.32 W	0.00	3035 of 3456 - Sale <sup>25</sup>
	2.010	22.79	06/09/20	27.53	4.74 W	0.00	3036 of 3456 - Sale <sup>25</sup>
	2.290	25.97	06/09/20	40.04	14.07 W	0.00	3037 of 3456 - Sale <sup>25</sup>
	2.390	27.10	06/09/20	33.96	6.86 W	0.00	3038 of 3456 - Sale <sup>25</sup>
	2.700	30.62	06/09/20	50.16	19.54 W	0.00	3039 of 3456 - Sale <sup>25</sup>
	2.990	33.91	06/09/20	55.75	21.84 W	0.00	3040 of 3456 - Sale <sup>25</sup>
	2.990	33.90	06/09/20	41.64	7.74 W	0.00	3041 of 3456 - Sale <sup>25</sup>
	2.990	33.90	06/09/20	42.08	8.18 W	0.00	3042 of 3456 - Sale <sup>25</sup>
	3.000	34.02	06/09/20	55.78	21.76 W	0.00	3043 of 3456 - Sale <sup>25</sup>
	3.000	34.02	06/09/20	55.58	21.56 W	0.00	3044 of 3456 - Sale <sup>25</sup>
	3.150	35.72	06/09/20	43.12	7.40 W	0.00	3045 of 3456 - Sale <sup>25</sup>
	4.000	45.36	06/09/20	69.86	24.50 W	0.00	3046 of 3456 - Sale <sup>25</sup>
	4.100	46.49	06/09/20	58.20	11.71 W	0.00	3047 of 3456 - Sale <sup>25</sup>
	4.170	47.29	06/09/20	77.53	30.24 W	0.00	3048 of 3456 - Sale <sup>25</sup>
	9.020	102.28	06/09/20	167.78	65.50 W	0.00	3049 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	3050 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	28.80	6.39 W	0.00	3051 of 3456 - Sale <sup>25</sup>
	3.090	34.79	06/09/20	44.74	9.95 W	0.00	3052 of 3456 - Sale <sup>25</sup>
	4.910	55.29	06/09/20	71.10	15.81 W	0.00	3053 of 3456 - Sale <sup>25</sup>
	0.160	1.80	06/09/20	2.31	0.51 W	0.00	3054 of 3456 - Sale <sup>25</sup>
	0.160	1.80	06/09/20	2.23	0.43 W	0.00	3055 of 3456 - Sale <sup>25</sup>
	0.160	1.80	06/09/20	2.24	0.44 W	0.00	3056 of 3456 - Sale <sup>25</sup>
	0.310	3.49	06/09/20	4.50	1.01 W	0.00	3057 of 3456 - Sale <sup>25</sup>
	0.690	7.77	06/09/20	10.00	2.23 W	0.00	3058 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	11.75	2.29 W	0.00	3059 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	11.81	2.35 W	0.00	3060 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	11.74	2.28 W	0.00	3061 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	11.75	2.29 W	0.00	3062 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.50	3.24 W	0.00	3063 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.86	2.60 W	0.00	3064 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.99	2.73 W	0.00	3065 of 3456 - Sale <sup>25</sup>
	2.160	24.32	06/09/20	30.19	5.87 W	0.00	3066 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.62	0.17 W	0.00	3067 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/09/20	2.96	0.82 W	0.00	3068 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	4.01	1.08 W	0.00	3069 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.74	1.36 W	0.00	3070 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	10.97	3.09 W	0.00	3071 of 3456 - Sale <sup>25</sup>
	0.840	9.46	06/09/20	13.04	3.58 W	0.00	3072 of 3456 - Sale <sup>25</sup>
	0.960	10.81	06/09/20	13.13	2.32 W	0.00	3073 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	15.46	4.20 W	0.00	3074 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	15.32	4.06 W	0.00	3075 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	15.31	4.05 W	0.00	3076 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	15.72	4.35 W	0.00	3077 of 3456 - Sale <sup>25</sup>
	2.010	22.63	06/09/20	30.76	8.13 W	0.00	3078 of 3456 - Sale <sup>25</sup>
	2.030	22.86	06/09/20	31.12	8.26 W	0.00	3079 of 3456 - Sale <sup>25</sup>
	2.270	25.56	06/09/20	34.75	9.19 W	0.00	3080 of 3456 - Sale <sup>25</sup>
	2.700	30.40	06/09/20	41.33	10.93 W	0.00	3081 of 3456 - Sale <sup>25</sup>
	3.700	41.66	06/09/20	57.48	15.82 W	0.00	3082 of 3456 - Sale <sup>25</sup>
	4.960	55.85	06/09/20	77.19	21.34 W	0.00	3083 of 3456 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.030	56.63	06/09/20	77.02	20.39 W	0.00	3084 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.17	0.06 W	0.00	3085 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.51	0.17 W	0.00	3086 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	3087 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	3088 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	5.10	1.72 W	0.00	3089 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	11.79	3.91 W	0.00	3090 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	11.81	3.93 W	0.00	3091 of 3456 - Sale <sup>25</sup>
	0.730	8.22	06/09/20	12.21	3.99 W	0.00	3092 of 3456 - Sale <sup>25</sup>
	0.960	10.81	06/09/20	16.30	5.49 W	0.00	3093 of 3456 - Sale <sup>25</sup>
	0.960	10.81	06/09/20	16.34	5.53 W	0.00	3094 of 3456 - Sale <sup>25</sup>
	0.970	10.92	06/09/20	12.53	1.61 W	0.00	3095 of 3456 - Sale <sup>25</sup>
	0.970	10.92	06/09/20	15.88	4.96 W	0.00	3096 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	12.78	1.63 W	0.00	3097 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.99	1.73 W	0.00	3098 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.67	5.41 W	0.00	3099 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.91	5.65 W	0.00	3100 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.88	5.62 W	0.00	3101 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.41	5.15 W	0.00	3102 of 3456 - Sale <sup>25</sup>
	1.040	11.71	06/09/20	17.66	5.95 W	0.00	3103 of 3456 - Sale <sup>25</sup>
	1.270	14.30	06/09/20	21.22	6.92 W	0.00	3104 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	33.45	10.93 W	0.00	3105 of 3456 - Sale <sup>25</sup>
	2.030	22.86	06/09/20	34.27	11.41 W	0.00	3106 of 3456 - Sale <sup>25</sup>
	2.270	25.56	06/09/20	38.32	12.76 W	0.00	3107 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	50.79	17.01 W	0.00	3108 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	11.51	0.25 W	0.00	3109 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	23.01	0.49 W	0.00	3110 of 3456 - Sale <sup>25</sup>
	3.000	33.77	06/09/20	34.45	0.68 W	0.00	3111 of 3456 - Sale <sup>25</sup>
	5.000	56.29	06/09/20	57.66	1.37 W	0.00	3112 of 3456 - Sale <sup>25</sup>
	9.000	101.33	06/09/20	103.62	2.29 W	0.00	3113 of 3456 - Sale <sup>25</sup>
	26.010	292.84	06/09/20	299.72	6.88 W	0.00	3114 of 3456 - Sale <sup>25</sup>
	2.960	33.33	06/09/20	41.07	7.74 W	0.00	3115 of 3456 - Sale <sup>25</sup>
	5.040	56.75	06/09/20	69.16	12.41 W	0.00	3116 of 3456 - Sale <sup>25</sup>
	0.160	1.80	06/09/20	2.20	0.40 W	0.00	3117 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.320	3.60	06/09/20	4.49	0.89 W	0.00	3118 of 3456 - Sale <sup>25</sup>
	0.680	7.66	06/09/20	9.33	1.67 W	0.00	3119 of 3456 - Sale <sup>25</sup>
	1.160	13.06	06/09/20	15.68	2.62 W	0.00	3120 of 3456 - Sale <sup>25</sup>
	1.700	19.14	06/09/20	23.00	3.86 W	0.00	3121 of 3456 - Sale <sup>25</sup>
	1.840	20.72	06/09/20	26.39	5.67 W	0.00	3122 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	27.43	4.91 W	0.00	3123 of 3456 - Sale <sup>25</sup>
	2.160	24.32	06/09/20	29.61	5.29 W	0.00	3124 of 3456 - Sale <sup>25</sup>
	2.300	25.90	06/09/20	31.38	5.48 W	0.00	3125 of 3456 - Sale <sup>25</sup>
	4.000	45.04	06/09/20	54.88	9.84 W	0.00	3126 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	3127 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.25	0.02 W	0.00	3128 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	1.92	0.23 W	0.00	3129 of 3456 - Sale <sup>25</sup>
	0.170	1.91	06/09/20	2.34	0.43 W	0.00	3130 of 3456 - Sale <sup>25</sup>
	0.310	3.49	06/09/20	4.55	1.06 W	0.00	3131 of 3456 - Sale <sup>25</sup>
	0.310	3.49	06/09/20	4.01	0.52 W	0.00	3132 of 3456 - Sale <sup>25</sup>
	0.690	7.77	06/09/20	8.89	1.12 W	0.00	3133 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.53	1.65 W	0.00	3134 of 3456 - Sale <sup>25</sup>
	0.820	9.23	06/09/20	11.21	1.98 W	0.00	3135 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	11.32	1.97 W	0.00	3136 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	11.17	1.82 W	0.00	3137 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	10.63	1.28 W	0.00	3138 of 3456 - Sale <sup>25</sup>
	0.850	9.57	06/09/20	10.98	1.41 W	0.00	3139 of 3456 - Sale <sup>25</sup>
	0.850	9.57	06/09/20	11.49	1.92 W	0.00	3140 of 3456 - Sale <sup>25</sup>
	0.850	9.57	06/09/20	11.54	1.97 W	0.00	3141 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	13.22	2.19 W	0.00	3142 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.90	2.75 W	0.00	3143 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.53	2.38 W	0.00	3144 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.63	3.37 W	0.00	3145 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.01	1.75 W	0.00	3146 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.00	1.74 W	0.00	3147 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.51	2.25 W	0.00	3148 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.60	2.34 W	0.00	3149 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.59	2.33 W	0.00	3150 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.60	2.34 W	0.00	3151 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.26	06/09/20	13.66	2.40 W	0.00	3152 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.69	2.43 W	0.00	3153 of 3456 - Sale <sup>25</sup>
	1.150	12.95	06/09/20	15.72	2.77 W	0.00	3154 of 3456 - Sale <sup>25</sup>
	1.150	12.95	06/09/20	15.68	2.73 W	0.00	3155 of 3456 - Sale <sup>25</sup>
	1.310	14.75	06/09/20	16.91	2.16 W	0.00	3156 of 3456 - Sale <sup>25</sup>
	1.690	19.03	06/09/20	24.72	5.69 W	0.00	3157 of 3456 - Sale <sup>25</sup>
	1.850	20.83	06/09/20	23.86	3.03 W	0.00	3158 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	26.91	4.50 W	0.00	3159 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	27.02	4.61 W	0.00	3160 of 3456 - Sale <sup>25</sup>
	2.150	24.21	06/09/20	27.73	3.52 W	0.00	3161 of 3456 - Sale <sup>25</sup>
	2.170	24.43	06/09/20	29.60	5.17 W	0.00	3162 of 3456 - Sale <sup>25</sup>
	2.190	24.66	06/09/20	29.51	4.85 W	0.00	3163 of 3456 - Sale <sup>25</sup>
	2.300	25.90	06/09/20	31.60	5.70 W	0.00	3164 of 3456 - Sale <sup>25</sup>
	2.840	31.98	06/09/20	36.62	4.64 W	0.00	3165 of 3456 - Sale <sup>25</sup>
	3.010	33.89	06/09/20	41.22	7.33 W	0.00	3166 of 3456 - Sale <sup>25</sup>
	3.300	37.16	06/09/20	45.09	7.93 W	0.00	3167 of 3456 - Sale <sup>25</sup>
	3.850	43.35	06/09/20	49.68	6.33 W	0.00	3168 of 3456 - Sale <sup>25</sup>
	4.000	45.04	06/09/20	58.57	13.53 W	0.00	3169 of 3456 - Sale <sup>25</sup>
	5.710	64.29	06/09/20	78.37	14.08 W	0.00	3170 of 3456 - Sale <sup>25</sup>
	5.850	65.87	06/09/20	79.78	13.91 W	0.00	3171 of 3456 - Sale <sup>25</sup>
	7.000	78.82	06/09/20	93.94	15.12 W	0.00	3172 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	3173 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	1.92	0.23 W	0.00	3174 of 3456 - Sale <sup>25</sup>
	2.840	31.98	06/09/20	40.76	8.78 W	0.00	3175 of 3456 - Sale <sup>25</sup>
	5.150	57.99	06/09/20	73.86	15.87 W	0.00	3176 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.15	0.04 W	0.00	3177 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.40	0.06 W	0.00	3178 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.53	0.08 W	0.00	3179 of 3456 - Sale <sup>25</sup>
	0.140	1.58	06/09/20	1.81	0.23 W	0.00	3180 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.42	0.39 W	0.00	3181 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.31	0.28 W	0.00	3182 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/09/20	2.41	0.27 W	0.00	3183 of 3456 - Sale <sup>25</sup>
	0.800	9.01	06/09/20	10.50	1.49 W	0.00	3184 of 3456 - Sale <sup>25</sup>
	0.800	9.01	06/09/20	10.15	1.14 W	0.00	3185 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.800	9.01	06/09/20	10.14	1.13 W	0.00	3186 of 3456 - Sale <sup>25</sup>
	0.810	9.12	06/09/20	10.31	1.19 W	0.00	3187 of 3456 - Sale <sup>25</sup>
	0.830	9.35	06/09/20	10.94	1.59 W	0.00	3188 of 3456 - Sale <sup>25</sup>
	0.970	10.92	06/09/20	12.44	1.52 W	0.00	3189 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.24	2.09 W	0.00	3190 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.38	2.12 W	0.00	3191 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.37	2.11 W	0.00	3192 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.87	1.61 W	0.00	3193 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.78	1.52 W	0.00	3194 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.99	1.73 W	0.00	3195 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	13.31	1.94 W	0.00	3196 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	13.48	2.11 W	0.00	3197 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	12.89	1.52 W	0.00	3198 of 3456 - Sale <sup>25</sup>
	1.030	11.60	06/09/20	13.26	1.66 W	0.00	3199 of 3456 - Sale <sup>25</sup>
	1.030	11.60	06/09/20	13.38	1.78 W	0.00	3200 of 3456 - Sale <sup>25</sup>
	1.160	13.06	06/09/20	15.32	2.26 W	0.00	3201 of 3456 - Sale <sup>25</sup>
	1.200	13.51	06/09/20	15.23	1.72 W	0.00	3202 of 3456 - Sale <sup>25</sup>
	1.810	20.38	06/09/20	23.43	3.05 W	0.00	3203 of 3456 - Sale <sup>25</sup>
	1.820	20.49	06/09/20	23.09	2.60 W	0.00	3204 of 3456 - Sale <sup>25</sup>
	1.840	20.72	06/09/20	24.56	3.84 W	0.00	3205 of 3456 - Sale <sup>25</sup>
	1.970	22.18	06/09/20	25.21	3.03 W	0.00	3206 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	26.59	4.18 W	0.00	3207 of 3456 - Sale <sup>25</sup>
	2.200	24.77	06/09/20	27.93	3.16 W	0.00	3208 of 3456 - Sale <sup>25</sup>
	2.990	33.67	06/09/20	38.22	4.55 W	0.00	3209 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	40.06	6.28 W	0.00	3210 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	38.71	4.93 W	0.00	3211 of 3456 - Sale <sup>25</sup>
	3.010	33.89	06/09/20	38.59	4.70 W	0.00	3212 of 3456 - Sale <sup>25</sup>
	3.020	34.00	06/09/20	38.83	4.83 W	0.00	3213 of 3456 - Sale <sup>25</sup>
	3.030	34.12	06/09/20	38.80	4.68 W	0.00	3214 of 3456 - Sale <sup>25</sup>
	3.180	35.81	06/09/20	41.95	6.14 W	0.00	3215 of 3456 - Sale <sup>25</sup>
	3.800	42.79	06/09/20	50.31	7.52 W	0.00	3216 of 3456 - Sale <sup>25</sup>
	3.820	43.01	06/09/20	50.55	7.54 W	0.00	3217 of 3456 - Sale <sup>25</sup>
	3.990	44.93	06/09/20	51.12	6.19 W	0.00	3218 of 3456 - Sale <sup>25</sup>
	4.010	45.15	06/09/20	52.10	6.95 W	0.00	3219 of 3456 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.950	55.73	06/09/20	63.55	7.82 W	0.00	3220 of 3456 - Sale <sup>25</sup>
	6.200	69.81	06/09/20	82.07	12.26 W	0.00	3221 of 3456 - Sale <sup>25</sup>
	13.000	146.37	06/09/20	170.78	24.41 W	0.00	3222 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.17	0.06 W	0.00	3223 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.33	0.10 W	0.00	3224 of 3456 - Sale <sup>25</sup>
	0.020	0.23	06/09/20	0.31	0.08 W	0.00	3225 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.67	0.22 W	0.00	3226 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.68	0.12 W	0.00	3227 of 3456 - Sale <sup>25</sup>
	0.100	1.13	06/09/20	1.41	0.28 W	0.00	3228 of 3456 - Sale <sup>25</sup>
	0.100	1.13	06/09/20	1.41	0.28 W	0.00	3229 of 3456 - Sale <sup>25</sup>
	0.190	2.14	06/09/20	3.20	1.06 W	0.00	3230 of 3456 - Sale <sup>25</sup>
	0.210	2.36	06/09/20	3.53	1.17 W	0.00	3231 of 3456 - Sale <sup>25</sup>
	0.260	2.93	06/09/20	4.33	1.40 W	0.00	3232 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.27	0.89 W	0.00	3233 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	11.75	3.87 W	0.00	3234 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	11.65	3.77 W	0.00	3235 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/09/20	11.91	3.92 W	0.00	3236 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/09/20	10.06	2.07 W	0.00	3237 of 3456 - Sale <sup>25</sup>
	0.780	8.78	06/09/20	13.14	4.36 W	0.00	3238 of 3456 - Sale <sup>25</sup>
	0.790	8.90	06/09/20	11.13	2.23 W	0.00	3239 of 3456 - Sale <sup>25</sup>
	0.800	9.01	06/09/20	11.27	2.26 W	0.00	3240 of 3456 - Sale <sup>25</sup>
	0.800	9.01	06/09/20	13.53	4.52 W	0.00	3241 of 3456 - Sale <sup>25</sup>
	0.810	9.12	06/09/20	9.76	0.64 W	0.00	3242 of 3456 - Sale <sup>25</sup>
	0.810	9.12	06/09/20	10.41	1.29 W	0.00	3243 of 3456 - Sale <sup>25</sup>
	0.900	10.13	06/09/20	15.14	5.01 W	0.00	3244 of 3456 - Sale <sup>25</sup>
	0.960	10.81	06/09/20	12.42	1.61 W	0.00	3245 of 3456 - Sale <sup>25</sup>
	0.960	10.81	06/09/20	15.75	4.94 W	0.00	3246 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	16.50	5.47 W	0.00	3247 of 3456 - Sale <sup>25</sup>
	0.980	11.03	06/09/20	16.29	5.26 W	0.00	3248 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	16.72	5.57 W	0.00	3249 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.89	1.63 W	0.00	3250 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.83	5.57 W	0.00	3251 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.83	5.57 W	0.00	3252 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.59	5.33 W	0.00	3253 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.26	06/09/20	16.31	5.05 W	0.00	3254 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.02	4.76 W	0.00	3255 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	14.35	3.09 W	0.00	3256 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	17.01	5.64 W	0.00	3257 of 3456 - Sale <sup>25</sup>
	1.020	11.48	06/09/20	16.80	5.32 W	0.00	3258 of 3456 - Sale <sup>25</sup>
	1.080	12.16	06/09/20	18.07	5.91 W	0.00	3259 of 3456 - Sale <sup>25</sup>
	1.170	13.17	06/09/20	14.73	1.56 W	0.00	3260 of 3456 - Sale <sup>25</sup>
	1.180	13.29	06/09/20	15.21	1.92 W	0.00	3261 of 3456 - Sale <sup>25</sup>
	1.180	13.29	06/09/20	15.20	1.91 W	0.00	3262 of 3456 - Sale <sup>25</sup>
	1.220	13.74	06/09/20	20.54	6.80 W	0.00	3263 of 3456 - Sale <sup>25</sup>
	1.220	13.74	06/09/20	20.26	6.52 W	0.00	3264 of 3456 - Sale <sup>25</sup>
	1.300	14.64	06/09/20	21.95	7.31 W	0.00	3265 of 3456 - Sale <sup>25</sup>
	1.300	14.64	06/09/20	21.92	7.28 W	0.00	3266 of 3456 - Sale <sup>25</sup>
	1.300	14.64	06/09/20	21.86	7.22 W	0.00	3267 of 3456 - Sale <sup>25</sup>
	1.300	14.64	06/09/20	18.68	4.04 W	0.00	3268 of 3456 - Sale <sup>25</sup>
	1.700	19.14	06/09/20	27.81	8.67 W	0.00	3269 of 3456 - Sale <sup>25</sup>
	1.710	19.25	06/09/20	28.88	9.63 W	0.00	3270 of 3456 - Sale <sup>25</sup>
	1.790	20.15	06/09/20	30.14	9.99 W	0.00	3271 of 3456 - Sale <sup>25</sup>
	1.800	20.27	06/09/20	29.92	9.65 W	0.00	3272 of 3456 - Sale <sup>25</sup>
	1.910	21.51	06/09/20	26.92	5.41 W	0.00	3273 of 3456 - Sale <sup>25</sup>
	2.180	24.55	06/09/20	28.22	3.67 W	0.00	3274 of 3456 - Sale <sup>25</sup>
	2.300	25.90	06/09/20	38.80	12.90 W	0.00	3275 of 3456 - Sale <sup>25</sup>
	2.980	33.55	06/09/20	35.88	2.33 W	0.00	3276 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	38.62	4.84 W	0.00	3277 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	37.94	4.16 W	0.00	3278 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	49.83	16.05 W	0.00	3279 of 3456 - Sale <sup>25</sup>
	3.000	33.78	06/09/20	38.29	4.51 W	0.00	3280 of 3456 - Sale <sup>25</sup>
	3.020	34.00	06/09/20	38.84	4.84 W	0.00	3281 of 3456 - Sale <sup>25</sup>
	3.990	44.93	06/09/20	56.91	11.98 W	0.00	3282 of 3456 - Sale <sup>25</sup>
	6.860	77.24	06/09/20	86.82	9.58 W	0.00	3283 of 3456 - Sale <sup>25</sup>
	6.990	78.70	06/09/20	90.74	12.04 W	0.00	3284 of 3456 - Sale <sup>25</sup>
	9.830	110.68	06/09/20	126.86	16.18 W	0.00	3285 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	3286 of 3456 - Sale <sup>25</sup>
	0.970	10.92	06/09/20	15.74	4.82 W	0.00	3287 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.26	06/09/20	16.34	5.08 W	0.00	3288 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.34	5.08 W	0.00	3289 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.32	5.06 W	0.00	3290 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.30	5.04 W	0.00	3291 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	3292 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.16	0.05 W	0.00	3293 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.49	0.15 W	0.00	3294 of 3456 - Sale <sup>25</sup>
	0.030	0.34	06/09/20	0.40	0.06 W	0.00	3295 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.71	0.15 W	0.00	3296 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.62	0.06 W	0.00	3297 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.65	0.09 W	0.00	3298 of 3456 - Sale <sup>25</sup>
	0.060	0.68	06/09/20	0.72	0.04 W	0.00	3299 of 3456 - Sale <sup>25</sup>
	0.140	1.58	06/09/20	1.80	0.22 W	0.00	3300 of 3456 - Sale <sup>25</sup>
	0.150	1.69	06/09/20	2.09	0.40 W	0.00	3301 of 3456 - Sale <sup>25</sup>
	0.180	2.03	06/09/20	2.38	0.35 W	0.00	3302 of 3456 - Sale <sup>25</sup>
	0.250	2.81	06/09/20	3.38	0.57 W	0.00	3303 of 3456 - Sale <sup>25</sup>
	0.290	3.27	06/09/20	3.93	0.66 W	0.00	3304 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	4.54	1.16 W	0.00	3305 of 3456 - Sale <sup>25</sup>
	0.300	3.38	06/09/20	3.98	0.60 W	0.00	3306 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	10.52	2.64 W	0.00	3307 of 3456 - Sale <sup>25</sup>
	0.700	7.88	06/09/20	9.20	1.32 W	0.00	3308 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/09/20	9.54	1.55 W	0.00	3309 of 3456 - Sale <sup>25</sup>
	0.710	7.99	06/09/20	9.41	1.42 W	0.00	3310 of 3456 - Sale <sup>25</sup>
	0.810	9.12	06/09/20	10.73	1.61 W	0.00	3311 of 3456 - Sale <sup>25</sup>
	0.850	9.57	06/09/20	12.03	2.46 W	0.00	3312 of 3456 - Sale <sup>25</sup>
	0.900	10.13	06/09/20	10.98	0.85 W	0.00	3313 of 3456 - Sale <sup>25</sup>
	0.930	10.47	06/09/20	13.12	2.65 W	0.00	3314 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.59	2.44 W	0.00	3315 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	13.78	2.63 W	0.00	3316 of 3456 - Sale <sup>25</sup>
	0.990	11.15	06/09/20	12.12	0.97 W	0.00	3317 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	16.34	5.08 W	0.00	3318 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.99	2.73 W	0.00	3319 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.94	2.68 W	0.00	3320 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.80	2.54 W	0.00	3321 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.26	06/09/20	13.82	2.56 W	0.00	3322 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.86	2.60 W	0.00	3323 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.84	2.58 W	0.00	3324 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.86	2.60 W	0.00	3325 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.44	1.18 W	0.00	3326 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.15	0.89 W	0.00	3327 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.13	1.87 W	0.00	3328 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.12	1.86 W	0.00	3329 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	13.02	1.76 W	0.00	3330 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	12.92	1.66 W	0.00	3331 of 3456 - Sale <sup>25</sup>
	1.010	11.37	06/09/20	16.39	5.02 W	0.00	3332 of 3456 - Sale <sup>25</sup>
	1.160	13.06	06/09/20	15.01	1.95 W	0.00	3333 of 3456 - Sale <sup>25</sup>
	1.300	14.64	06/09/20	18.22	3.58 W	0.00	3334 of 3456 - Sale <sup>25</sup>
	1.300	14.64	06/09/20	17.08	2.44 W	0.00	3335 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	32.48	10.07 W	0.00	3336 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	32.58	10.17 W	0.00	3337 of 3456 - Sale <sup>25</sup>
	1.990	22.41	06/09/20	24.84	2.43 W	0.00	3338 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	28.01	5.49 W	0.00	3339 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	28.01	5.49 W	0.00	3340 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	24.66	2.14 W	0.00	3341 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	24.35	1.83 W	0.00	3342 of 3456 - Sale <sup>25</sup>
	2.000	22.52	06/09/20	25.85	3.33 W	0.00	3343 of 3456 - Sale <sup>25</sup>
	2.020	22.74	06/09/20	24.89	2.15 W	0.00	3344 of 3456 - Sale <sup>25</sup>
	2.040	22.97	06/09/20	25.18	2.21 W	0.00	3345 of 3456 - Sale <sup>25</sup>
	2.050	23.08	06/09/20	25.53	2.45 W	0.00	3346 of 3456 - Sale <sup>25</sup>
	2.300	25.90	06/09/20	32.11	6.21 W	0.00	3347 of 3456 - Sale <sup>25</sup>
	2.700	30.40	06/09/20	37.12	6.72 W	0.00	3348 of 3456 - Sale <sup>25</sup>
	2.740	30.85	06/09/20	35.38	4.53 W	0.00	3349 of 3456 - Sale <sup>25</sup>
	2.820	31.75	06/09/20	36.44	4.69 W	0.00	3350 of 3456 - Sale <sup>25</sup>
	2.950	33.22	06/09/20	41.18	7.96 W	0.00	3351 of 3456 - Sale <sup>25</sup>
	2.950	33.21	06/09/20	36.91	3.70 W	0.00	3352 of 3456 - Sale <sup>25</sup>
	3.010	33.89	06/09/20	37.42	3.53 W	0.00	3353 of 3456 - Sale <sup>25</sup>
	3.700	41.66	06/09/20	48.19	6.53 W	0.00	3354 of 3456 - Sale <sup>25</sup>
	3.990	44.92	06/09/20	51.57	6.65 W	0.00	3355 of 3456 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	4.110	46.28	06/09/20	53.04	6.76 W	0.00	3356 of 3456 - Sale <sup>25</sup>
	5.000	56.30	06/09/20	61.07	4.77 W	0.00	3357 of 3456 - Sale <sup>25</sup>
	5.700	64.18	06/09/20	79.89	15.71 W	0.00	3358 of 3456 - Sale <sup>25</sup>
	6.000	67.56	06/09/20	83.64	16.08 W	0.00	3359 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	11.45	0.19 W	0.00	3360 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	11.44	0.18 W	0.00	3361 of 3456 - Sale <sup>25</sup>
	1.000	11.26	06/09/20	11.44	0.18 W	0.00	3362 of 3456 - Sale <sup>25</sup>
	1.000	11.25	06/09/20	11.44	0.19 W	0.00	3363 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	3364 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	3365 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.14	0.03 W	0.00	3366 of 3456 - Sale <sup>25</sup>
	0.010	0.11	06/09/20	0.13	0.02 W	0.00	3367 of 3456 - Sale <sup>25</sup>
	0.020	0.22	06/09/20	0.24	0.02 W	0.00	3368 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.61	0.16 W	0.00	3369 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.56	0.11 W	0.00	3370 of 3456 - Sale <sup>25</sup>
	0.040	0.45	06/09/20	0.55	0.10 W	0.00	3371 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.71	0.15 W	0.00	3372 of 3456 - Sale <sup>25</sup>
	0.050	0.56	06/09/20	0.71	0.15 W	0.00	3373 of 3456 - Sale <sup>25</sup>
	0.150	1.68	06/09/20	2.27	0.59 W	0.00	3374 of 3456 - Sale <sup>25</sup>
	0.150	1.68	06/09/20	1.86	0.18 W	0.00	3375 of 3456 - Sale <sup>25</sup>
	0.200	2.24	06/09/20	2.73	0.49 W	0.00	3376 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.47	0.68 W	0.00	3377 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.41	0.62 W	0.00	3378 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.34	0.55 W	0.00	3379 of 3456 - Sale <sup>25</sup>
	0.250	2.79	06/09/20	3.58	0.79 W	0.00	3380 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.93	1.02 W	0.00	3381 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.87	0.96 W	0.00	3382 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.87	0.96 W	0.00	3383 of 3456 - Sale <sup>25</sup>
	0.260	2.91	06/09/20	3.57	0.66 W	0.00	3384 of 3456 - Sale <sup>25</sup>
	0.290	3.24	06/09/20	4.20	0.96 W	0.00	3385 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.56	1.21 W	0.00	3386 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.54	1.19 W	0.00	3387 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.53	1.18 W	0.00	3388 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.49	1.14 W	0.00	3389 of 3456 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.300	3.35	06/09/20	4.03	0.68 W	0.00	3390 of 3456 - Sale <sup>25</sup>
	0.300	3.35	06/09/20	4.27	0.92 W	0.00	3391 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.56	2.73 W	0.00	3392 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.55	2.72 W	0.00	3393 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.54	2.71 W	0.00	3394 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.53	2.70 W	0.00	3395 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.37	2.54 W	0.00	3396 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	9.18	1.35 W	0.00	3397 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	10.15	2.32 W	0.00	3398 of 3456 - Sale <sup>25</sup>
	0.700	7.83	06/09/20	9.88	2.05 W	0.00	3399 of 3456 - Sale <sup>25</sup>
	0.710	7.94	06/09/20	10.27	2.33 W	0.00	3400 of 3456 - Sale <sup>25</sup>
	0.740	8.27	06/09/20	11.04	2.77 W	0.00	3401 of 3456 - Sale <sup>25</sup>
	0.740	8.27	06/09/20	11.02	2.75 W	0.00	3402 of 3456 - Sale <sup>25</sup>
	0.750	8.38	06/09/20	10.00	1.62 W	0.00	3403 of 3456 - Sale <sup>25</sup>
	0.800	8.94	06/09/20	10.90	1.96 W	0.00	3404 of 3456 - Sale <sup>25</sup>
	0.840	9.39	06/09/20	11.38	1.99 W	0.00	3405 of 3456 - Sale <sup>25</sup>
	0.940	10.51	06/09/20	12.66	2.15 W	0.00	3406 of 3456 - Sale <sup>25</sup>
	0.950	10.62	06/09/20	13.59	2.97 W	0.00	3407 of 3456 - Sale <sup>25</sup>
	0.950	10.62	06/09/20	13.48	2.86 W	0.00	3408 of 3456 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	13.27	2.54 W	0.00	3409 of 3456 - Sale <sup>25</sup>
	0.960	10.73	06/09/20	13.78	3.05 W	0.00	3410 of 3456 - Sale <sup>25</sup>
	0.980	10.96	06/09/20	13.53	2.57 W	0.00	3411 of 3456 - Sale <sup>25</sup>
	0.990	11.07	06/09/20	14.24	3.17 W	0.00	3412 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.82	3.64 W	0.00	3413 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.82	3.64 W	0.00	3414 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.85	3.67 W	0.00	3415 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.87	2.69 W	0.00	3416 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.85	2.67 W	0.00	3417 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	13.82	2.64 W	0.00	3418 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.36	3.18 W	0.00	3419 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.37	3.19 W	0.00	3420 of 3456 - Sale <sup>25</sup>
	1.000	11.18	06/09/20	14.42	3.24 W	0.00	3421 of 3456 - Sale <sup>25</sup>
	1.010	11.29	06/09/20	15.28	3.99 W	0.00	3422 of 3456 - Sale <sup>25</sup>
	1.040	11.63	06/09/20	14.01	2.38 W	0.00	3423 of 3456 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.040	11.63	06/09/20	14.17	2.54 W	0.00	3424 of 3456 - Sale <sup>25</sup>
	1.050	11.74	06/09/20	15.00	3.26 W	0.00	3425 of 3456 - Sale <sup>25</sup>
	1.110	12.41	06/09/20	16.86	4.45 W	0.00	3426 of 3456 - Sale <sup>25</sup>
	1.250	13.97	06/09/20	17.97	4.00 W	0.00	3427 of 3456 - Sale <sup>25</sup>
	1.700	19.01	06/09/20	25.09	6.08 W	0.00	3428 of 3456 - Sale <sup>25</sup>
	1.700	19.01	06/09/20	22.96	3.95 W	0.00	3429 of 3456 - Sale <sup>25</sup>
	1.720	19.23	06/09/20	23.71	4.48 W	0.00	3430 of 3456 - Sale <sup>25</sup>
	1.750	19.56	06/09/20	24.91	5.35 W	0.00	3431 of 3456 - Sale <sup>25</sup>
	1.790	20.01	06/09/20	24.41	4.40 W	0.00	3432 of 3456 - Sale <sup>25</sup>
	1.800	20.12	06/09/20	23.99	3.87 W	0.00	3433 of 3456 - Sale <sup>25</sup>
	1.960	21.91	06/09/20	26.09	4.18 W	0.00	3434 of 3456 - Sale <sup>25</sup>
	1.970	22.02	06/09/20	26.54	4.52 W	0.00	3435 of 3456 - Sale <sup>25</sup>
	1.990	22.25	06/09/20	30.00	7.75 W	0.00	3436 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	26.94	4.58 W	0.00	3437 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	26.94	4.58 W	0.00	3438 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	28.52	6.16 W	0.00	3439 of 3456 - Sale <sup>25</sup>
	2.000	22.36	06/09/20	28.43	6.07 W	0.00	3440 of 3456 - Sale <sup>25</sup>
	2.010	22.47	06/09/20	27.10	4.63 W	0.00	3441 of 3456 - Sale <sup>25</sup>
	2.040	22.81	06/09/20	28.26	5.45 W	0.00	3442 of 3456 - Sale <sup>25</sup>
	2.040	22.81	06/09/20	27.51	4.70 W	0.00	3443 of 3456 - Sale <sup>25</sup>
	2.040	22.81	06/09/20	29.10	6.29 W	0.00	3444 of 3456 - Sale <sup>25</sup>
	2.700	30.18	06/09/20	36.77	6.59 W	0.00	3445 of 3456 - Sale <sup>25</sup>
	2.900	32.42	06/09/20	39.06	6.64 W	0.00	3446 of 3456 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	45.23	11.69 W	0.00	3447 of 3456 - Sale <sup>25</sup>
	3.000	33.54	06/09/20	41.43	7.89 W	0.00	3448 of 3456 - Sale <sup>25</sup>
	3.010	33.65	06/09/20	40.57	6.92 W	0.00	3449 of 3456 - Sale <sup>25</sup>
	3.170	35.44	06/09/20	42.30	6.86 W	0.00	3450 of 3456 - Sale <sup>25</sup>
	3.300	36.89	06/09/20	43.99	7.10 W	0.00	3451 of 3456 - Sale <sup>25</sup>
	4.210	47.07	06/09/20	58.02	10.95 W	0.00	3452 of 3456 - Sale <sup>25</sup>
	5.000	55.90	06/09/20	68.47	12.57 W	0.00	3453 of 3456 - Sale <sup>25</sup>
	5.000	55.90	06/09/20	67.93	12.03 W	0.00	3454 of 3456 - Sale <sup>25</sup>
	0.910	10.17	06/09/20	15.45	5.28 W	0.00	3455 of 3456 - Sale <sup>25</sup>
	0.970	10.84	06/09/20	16.42	5.58 W	0.00	3456 of 3456 - Sale <sup>25</sup>
06/09/20	6,736.150	75,426.70	Various	91,653.44	16,224.49 W	-2.25	Total of 3456 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
387 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
4.960		53.67	06/10/20	75.55	...	-21.88	1 of 387 - Sale <sup>25</sup>
5.030		54.42	06/10/20	75.37	...	-20.95	2 of 387 - Sale <sup>25</sup>
10.050		108.34	06/10/20	129.23	...	-20.89	3 of 387 - Sale <sup>25</sup>
3.000		32.46	06/10/20	49.80	...	-17.34	4 of 387 - Sale <sup>25</sup>
5.150		55.52	06/10/20	72.42	...	-16.90	5 of 387 - Sale <sup>25</sup>
4.910		52.93	06/10/20	69.72	...	-16.79	6 of 387 - Sale <sup>25</sup>
7.000		75.47	06/10/20	91.98	...	-16.51	7 of 387 - Sale <sup>25</sup>
3.700		40.03	06/10/20	56.26	...	-16.23	8 of 387 - Sale <sup>25</sup>
6.000		64.92	06/10/20	81.06	...	-16.14	9 of 387 - Sale <sup>25</sup>
5.700		61.67	06/10/20	77.44	...	-15.77	10 of 387 - Sale <sup>25</sup>
3.000		33.08	06/10/20	48.63	...	-15.55	11 of 387 - Sale <sup>25</sup>
9.830		108.38	06/10/20	123.72	...	-15.34	12 of 387 - Sale <sup>25</sup>
5.710		61.56	06/10/20	76.78	...	-15.22	13 of 387 - Sale <sup>25</sup>
5.850		63.07	06/10/20	78.14	...	-15.07	14 of 387 - Sale <sup>25</sup>
4.000		43.12	06/10/20	57.45	...	-14.33	15 of 387 - Sale <sup>25</sup>
6.200		66.84	06/10/20	80.34	...	-13.50	16 of 387 - Sale <sup>25</sup>
5.040		54.33	06/10/20	67.75	...	-13.42	17 of 387 - Sale <sup>25</sup>
2.270		24.56	06/10/20	37.57	...	-13.01	18 of 387 - Sale <sup>25</sup>
4.100		44.20	06/10/20	56.73	...	-12.53	19 of 387 - Sale <sup>25</sup>
3.990		43.17	06/10/20	55.19	...	-12.02	20 of 387 - Sale <sup>25</sup>
3.000		32.46	06/10/20	44.48	...	-12.02	21 of 387 - Sale <sup>25</sup>
2.030		21.96	06/10/20	33.60	...	-11.64	22 of 387 - Sale <sup>25</sup>
6.990		77.06	06/10/20	88.51	...	-11.45	23 of 387 - Sale <sup>25</sup>
2.080		22.93	06/10/20	34.30	...	-11.37	24 of 387 - Sale <sup>25</sup>
2.700		29.21	06/10/20	40.44	...	-11.23	25 of 387 - Sale <sup>25</sup>
2.000		21.64	06/10/20	32.79	...	-11.15	26 of 387 - Sale <sup>25</sup>
4.000		43.12	06/10/20	53.76	...	-10.64	27 of 387 - Sale <sup>25</sup>
3.090		33.31	06/10/20	43.88	...	-10.57	28 of 387 - Sale <sup>25</sup>
1.990		21.53	06/10/20	31.72	...	-10.19	29 of 387 - Sale <sup>25</sup>
1.990		21.53	06/10/20	31.62	...	-10.09	30 of 387 - Sale <sup>25</sup>
26.010		281.42	06/10/20	291.16	...	-9.74	31 of 387 - Sale <sup>25</sup>
1.790		19.74	06/10/20	29.47	...	-9.73	32 of 387 - Sale <sup>25</sup>
2.270		24.56	06/10/20	34.00	...	-9.44	33 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.710	18.85	06/10/20	28.23	...	-9.38	34 of 387 - Sale <sup>25</sup>
	1.800	19.85	06/10/20	29.20	...	-9.35	35 of 387 - Sale <sup>25</sup>
	2.840	30.62	06/10/20	39.96	...	-9.34	36 of 387 - Sale <sup>25</sup>
	6.860	75.63	06/10/20	84.63	...	-9.00	37 of 387 - Sale <sup>25</sup>
	2.990	32.23	06/10/20	41.01	...	-8.78	38 of 387 - Sale <sup>25</sup>
	1.700	18.39	06/10/20	27.08	...	-8.69	39 of 387 - Sale <sup>25</sup>
	3.300	35.58	06/10/20	44.17	...	-8.59	40 of 387 - Sale <sup>25</sup>
	2.030	21.96	06/10/20	30.45	...	-8.49	41 of 387 - Sale <sup>25</sup>
	2.010	21.75	06/10/20	30.10	...	-8.35	42 of 387 - Sale <sup>25</sup>
	2.990	32.23	06/10/20	40.57	...	-8.34	43 of 387 - Sale <sup>25</sup>
	2.960	31.91	06/10/20	40.24	...	-8.33	44 of 387 - Sale <sup>25</sup>
	3.820	41.18	06/10/20	49.48	...	-8.30	45 of 387 - Sale <sup>25</sup>
	3.800	40.96	06/10/20	49.24	...	-8.28	46 of 387 - Sale <sup>25</sup>
	2.950	31.92	06/10/20	39.91	...	-7.99	47 of 387 - Sale <sup>25</sup>
	1.990	21.53	06/10/20	29.50	...	-7.97	48 of 387 - Sale <sup>25</sup>
	3.010	32.45	06/10/20	40.38	...	-7.93	49 of 387 - Sale <sup>25</sup>
	4.950	54.57	06/10/20	61.97	...	-7.40	50 of 387 - Sale <sup>25</sup>
	2.390	25.77	06/10/20	33.10	...	-7.33	51 of 387 - Sale <sup>25</sup>
	4.110	44.47	06/10/20	51.68	...	-7.21	52 of 387 - Sale <sup>25</sup>
	3.170	34.30	06/10/20	41.50	...	-7.20	53 of 387 - Sale <sup>25</sup>
	1.300	14.33	06/10/20	21.45	...	-7.12	54 of 387 - Sale <sup>25</sup>
	3.850	41.51	06/10/20	48.60	...	-7.09	55 of 387 - Sale <sup>25</sup>
	1.300	14.33	06/10/20	21.42	...	-7.09	56 of 387 - Sale <sup>25</sup>
	3.990	43.17	06/10/20	50.26	...	-7.09	57 of 387 - Sale <sup>25</sup>
	1.270	13.74	06/10/20	20.80	...	-7.06	58 of 387 - Sale <sup>25</sup>
	1.300	14.33	06/10/20	21.37	...	-7.04	59 of 387 - Sale <sup>25</sup>
	2.900	31.38	06/10/20	38.33	...	-6.95	60 of 387 - Sale <sup>25</sup>
	3.700	40.03	06/10/20	46.97	...	-6.94	61 of 387 - Sale <sup>25</sup>
	1.990	21.45	06/10/20	28.24	...	-6.79	62 of 387 - Sale <sup>25</sup>
	3.180	34.28	06/10/20	41.06	...	-6.78	63 of 387 - Sale <sup>25</sup>
	2.700	29.21	06/10/20	35.96	...	-6.75	64 of 387 - Sale <sup>25</sup>
	1.220	13.45	06/10/20	20.08	...	-6.63	65 of 387 - Sale <sup>25</sup>
	4.010	44.21	06/10/20	50.82	...	-6.61	66 of 387 - Sale <sup>25</sup>
	1.910	20.59	06/10/20	27.09	...	-6.50	67 of 387 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.220	13.45	06/10/20	19.77	...	-6.32	68 of 387 - Sale <sup>25</sup>
	2.160	23.29	06/10/20	29.59	...	-6.30	69 of 387 - Sale <sup>25</sup>
	1.700	18.39	06/10/20	24.66	...	-6.27	70 of 387 - Sale <sup>25</sup>
	2.300	24.89	06/10/20	31.12	...	-6.23	71 of 387 - Sale <sup>25</sup>
	2.300	24.80	06/10/20	30.95	...	-6.15	72 of 387 - Sale <sup>25</sup>
	1.040	11.25	06/10/20	17.32	...	-6.07	73 of 387 - Sale <sup>25</sup>
	1.840	19.84	06/10/20	25.87	...	-6.03	74 of 387 - Sale <sup>25</sup>
	1.690	18.22	06/10/20	24.25	...	-6.03	75 of 387 - Sale <sup>25</sup>
	3.000	33.07	06/10/20	39.10	...	-6.03	76 of 387 - Sale <sup>25</sup>
	2.300	24.80	06/10/20	30.73	...	-5.93	77 of 387 - Sale <sup>25</sup>
	1.910	20.59	06/10/20	26.48	...	-5.89	78 of 387 - Sale <sup>25</sup>
	3.990	43.99	06/10/20	49.84	...	-5.85	79 of 387 - Sale <sup>25</sup>
	1.850	19.94	06/10/20	25.76	...	-5.82	80 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	16.58	...	-5.76	81 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	16.55	...	-5.73	82 of 387 - Sale <sup>25</sup>
	2.160	23.29	06/10/20	29.01	...	-5.72	83 of 387 - Sale <sup>25</sup>
	0.960	10.39	06/10/20	16.02	...	-5.63	84 of 387 - Sale <sup>25</sup>
	2.170	23.40	06/10/20	29.00	...	-5.60	85 of 387 - Sale <sup>25</sup>
	0.960	10.39	06/10/20	15.98	...	-5.59	86 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	16.34	...	-5.52	87 of 387 - Sale <sup>25</sup>
	2.000	21.64	06/10/20	27.15	...	-5.51	88 of 387 - Sale <sup>25</sup>
	2.000	21.64	06/10/20	27.15	...	-5.51	89 of 387 - Sale <sup>25</sup>
	1.010	11.14	06/10/20	16.63	...	-5.49	90 of 387 - Sale <sup>25</sup>
	1.000	11.02	06/10/20	16.45	...	-5.43	91 of 387 - Sale <sup>25</sup>
	1.000	11.02	06/10/20	16.45	...	-5.43	92 of 387 - Sale <sup>25</sup>
	0.990	10.92	06/10/20	16.34	...	-5.42	93 of 387 - Sale <sup>25</sup>
	0.980	10.80	06/10/20	16.13	...	-5.33	94 of 387 - Sale <sup>25</sup>
	1.000	11.03	06/10/20	16.35	...	-5.32	95 of 387 - Sale <sup>25</sup>
	5.000	54.10	06/10/20	59.42	...	-5.32	96 of 387 - Sale <sup>25</sup>
	2.000	21.56	06/10/20	26.87	...	-5.31	97 of 387 - Sale <sup>25</sup>
	2.190	23.61	06/10/20	28.90	...	-5.29	98 of 387 - Sale <sup>25</sup>
	2.950	32.52	06/10/20	37.81	...	-5.29	99 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	16.08	...	-5.26	100 of 387 - Sale <sup>25</sup>
	2.840	30.62	06/10/20	35.82	...	-5.20	101 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	11.02	06/10/20	16.19	...	-5.17	102 of 387 - Sale <sup>25</sup>
	1.020	11.25	06/10/20	16.40	...	-5.15	103 of 387 - Sale <sup>25</sup>
	1.990	21.45	06/10/20	26.59	...	-5.14	104 of 387 - Sale <sup>25</sup>
	2.010	21.67	06/10/20	26.81	...	-5.14	105 of 387 - Sale <sup>25</sup>
	1.910	21.06	06/10/20	26.19	...	-5.13	106 of 387 - Sale <sup>25</sup>
	0.980	10.80	06/10/20	15.90	...	-5.10	107 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	15.91	...	-5.09	108 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	15.91	...	-5.09	109 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	15.91	...	-5.09	110 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	15.89	...	-5.07	111 of 387 - Sale <sup>25</sup>
	0.970	10.50	06/10/20	15.56	...	-5.06	112 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	15.87	...	-5.05	113 of 387 - Sale <sup>25</sup>
	1.010	10.93	06/10/20	15.96	...	-5.03	114 of 387 - Sale <sup>25</sup>
	1.990	21.45	06/10/20	26.46	...	-5.01	115 of 387 - Sale <sup>25</sup>
	2.820	30.51	06/10/20	35.51	...	-5.00	116 of 387 - Sale <sup>25</sup>
	1.990	21.45	06/10/20	26.35	...	-4.90	117 of 387 - Sale <sup>25</sup>
	0.900	9.92	06/10/20	14.80	...	-4.88	118 of 387 - Sale <sup>25</sup>
	3.020	32.68	06/10/20	37.55	...	-4.87	119 of 387 - Sale <sup>25</sup>
	1.710	18.44	06/10/20	23.30	...	-4.86	120 of 387 - Sale <sup>25</sup>
	0.970	10.50	06/10/20	15.33	...	-4.83	121 of 387 - Sale <sup>25</sup>
	2.740	29.65	06/10/20	34.48	...	-4.83	122 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	15.59	...	-4.77	123 of 387 - Sale <sup>25</sup>
	1.970	21.31	06/10/20	26.05	...	-4.74	124 of 387 - Sale <sup>25</sup>
	1.910	20.59	06/10/20	25.32	...	-4.73	125 of 387 - Sale <sup>25</sup>
	3.000	33.07	06/10/20	37.75	...	-4.68	126 of 387 - Sale <sup>25</sup>
	3.000	33.07	06/10/20	37.66	...	-4.59	127 of 387 - Sale <sup>25</sup>
	1.780	19.19	06/10/20	23.76	...	-4.57	128 of 387 - Sale <sup>25</sup>
	3.020	33.30	06/10/20	37.87	...	-4.57	129 of 387 - Sale <sup>25</sup>
	1.110	12.01	06/10/20	16.58	...	-4.57	130 of 387 - Sale <sup>25</sup>
	3.000	32.46	06/10/20	37.00	...	-4.54	131 of 387 - Sale <sup>25</sup>
	1.010	10.93	06/10/20	15.39	...	-4.46	132 of 387 - Sale <sup>25</sup>
	3.010	33.18	06/10/20	37.63	...	-4.45	133 of 387 - Sale <sup>25</sup>
	3.030	33.41	06/10/20	37.83	...	-4.42	134 of 387 - Sale <sup>25</sup>
	0.800	8.82	06/10/20	13.22	...	-4.40	135 of 387 - Sale <sup>25</sup>

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(continued)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	10.82	06/10/20	15.13	...	-4.31	136 of 387 - Sale <sup>25</sup>
	2.990	32.96	06/10/20	37.26	...	-4.30	137 of 387 - Sale <sup>25</sup>
	0.780	8.60	06/10/20	12.85	...	-4.25	138 of 387 - Sale <sup>25</sup>
	0.780	8.44	06/10/20	12.65	...	-4.21	139 of 387 - Sale <sup>25</sup>
	1.700	18.33	06/10/20	22.53	...	-4.20	140 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	14.99	...	-4.17	141 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	14.98	...	-4.16	142 of 387 - Sale <sup>25</sup>
	1.010	10.93	06/10/20	15.03	...	-4.10	143 of 387 - Sale <sup>25</sup>
	0.730	7.90	06/10/20	11.97	...	-4.07	144 of 387 - Sale <sup>25</sup>
	1.800	19.48	06/10/20	23.54	...	-4.06	145 of 387 - Sale <sup>25</sup>
	1.300	14.07	06/10/20	18.12	...	-4.05	146 of 387 - Sale <sup>25</sup>
	1.990	21.94	06/10/20	25.95	...	-4.01	147 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	11.58	...	-4.01	148 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	11.56	...	-3.99	149 of 387 - Sale <sup>25</sup>
	2.150	23.18	06/10/20	27.13	...	-3.95	150 of 387 - Sale <sup>25</sup>
	3.000	33.08	06/10/20	36.98	...	-3.90	151 of 387 - Sale <sup>25</sup>
	0.780	8.44	06/10/20	12.28	...	-3.84	152 of 387 - Sale <sup>25</sup>
	0.710	7.83	06/10/20	11.64	...	-3.81	153 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	14.60	...	-3.78	154 of 387 - Sale <sup>25</sup>
	0.700	7.72	06/10/20	11.49	...	-3.77	155 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	14.57	...	-3.75	156 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	14.57	...	-3.75	157 of 387 - Sale <sup>25</sup>
	2.950	31.92	06/10/20	35.65	...	-3.73	158 of 387 - Sale <sup>25</sup>
	1.840	20.29	06/10/20	23.97	...	-3.68	159 of 387 - Sale <sup>25</sup>
	0.840	9.09	06/10/20	12.76	...	-3.67	160 of 387 - Sale <sup>25</sup>
	0.700	7.72	06/10/20	11.37	...	-3.65	161 of 387 - Sale <sup>25</sup>
	1.300	14.07	06/10/20	17.66	...	-3.59	162 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	14.35	...	-3.57	163 of 387 - Sale <sup>25</sup>
	3.010	32.57	06/10/20	36.13	...	-3.56	164 of 387 - Sale <sup>25</sup>
	2.000	21.64	06/10/20	25.19	...	-3.55	165 of 387 - Sale <sup>25</sup>
	2.180	24.03	06/10/20	27.52	...	-3.49	166 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	14.22	...	-3.44	167 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	14.21	...	-3.43	168 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	14.21	...	-3.43	169 of 387 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	10.78	06/10/20	14.20	...	-3.42	170 of 387 - Sale <sup>25</sup>
	1.850	19.94	06/10/20	23.34	...	-3.40	171 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	14.09	...	-3.31	172 of 387 - Sale <sup>25</sup>
	9.000	97.38	06/10/20	100.66	...	-3.28	173 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	10.74	...	-3.17	174 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.92	...	-3.10	175 of 387 - Sale <sup>25</sup>
	1.150	12.40	06/10/20	15.40	...	-3.00	176 of 387 - Sale <sup>25</sup>
	0.910	9.81	06/10/20	12.79	...	-2.98	177 of 387 - Sale <sup>25</sup>
	2.200	24.25	06/10/20	27.23	...	-2.98	178 of 387 - Sale <sup>25</sup>
	1.150	12.40	06/10/20	15.36	...	-2.96	179 of 387 - Sale <sup>25</sup>
	0.990	10.67	06/10/20	13.62	...	-2.95	180 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.71	...	-2.93	181 of 387 - Sale <sup>25</sup>
	1.810	19.95	06/10/20	22.85	...	-2.90	182 of 387 - Sale <sup>25</sup>
	1.970	21.72	06/10/20	24.58	...	-2.86	183 of 387 - Sale <sup>25</sup>
	1.160	12.51	06/10/20	15.36	...	-2.85	184 of 387 - Sale <sup>25</sup>
	0.740	8.01	06/10/20	10.86	...	-2.85	185 of 387 - Sale <sup>25</sup>
	0.740	8.01	06/10/20	10.84	...	-2.83	186 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	10.38	...	-2.81	187 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.58	...	-2.80	188 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	10.37	...	-2.80	189 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	10.36	...	-2.79	190 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	10.35	...	-2.78	191 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.55	...	-2.77	192 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.54	...	-2.76	193 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.56	...	-2.74	194 of 387 - Sale <sup>25</sup>
	1.090	11.75	06/10/20	14.47	...	-2.72	195 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	10.29	...	-2.72	196 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.51	...	-2.69	197 of 387 - Sale <sup>25</sup>
	0.930	10.06	06/10/20	12.72	...	-2.66	198 of 387 - Sale <sup>25</sup>
	0.990	10.71	06/10/20	13.35	...	-2.64	199 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.41	...	-2.63	200 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	10.19	...	-2.62	201 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.43	...	-2.61	202 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.43	...	-2.61	203 of 387 - Sale <sup>25</sup>

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.000	10.78	06/10/20	13.38	...	-2.60	204 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.37	...	-2.59	205 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.41	...	-2.59	206 of 387 - Sale <sup>25</sup>
	0.990	10.67	06/10/20	13.25	...	-2.58	207 of 387 - Sale <sup>25</sup>
	1.300	14.07	06/10/20	16.65	...	-2.58	208 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.39	...	-2.57	209 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.34	...	-2.56	210 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	13.37	...	-2.55	211 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.32	...	-2.54	212 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.32	...	-2.54	213 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.31	...	-2.53	214 of 387 - Sale <sup>25</sup>
	0.840	9.06	06/10/20	11.57	...	-2.51	215 of 387 - Sale <sup>25</sup>
	1.040	11.25	06/10/20	13.75	...	-2.50	216 of 387 - Sale <sup>25</sup>
	1.160	12.51	06/10/20	15.00	...	-2.49	217 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.26	...	-2.48	218 of 387 - Sale <sup>25</sup>
	0.850	9.20	06/10/20	11.67	...	-2.47	219 of 387 - Sale <sup>25</sup>
	2.050	22.18	06/10/20	24.65	...	-2.47	220 of 387 - Sale <sup>25</sup>
	0.840	9.06	06/10/20	11.51	...	-2.45	221 of 387 - Sale <sup>25</sup>
	0.840	9.06	06/10/20	11.51	...	-2.45	222 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	13.23	...	-2.45	223 of 387 - Sale <sup>25</sup>
	0.990	10.71	06/10/20	13.16	...	-2.45	224 of 387 - Sale <sup>25</sup>
	1.990	21.53	06/10/20	23.98	...	-2.45	225 of 387 - Sale <sup>25</sup>
	0.840	9.06	06/10/20	11.50	...	-2.44	226 of 387 - Sale <sup>25</sup>
	1.820	20.07	06/10/20	22.51	...	-2.44	227 of 387 - Sale <sup>25</sup>
	1.310	14.12	06/10/20	16.54	...	-2.42	228 of 387 - Sale <sup>25</sup>
	0.960	10.39	06/10/20	12.81	...	-2.42	229 of 387 - Sale <sup>25</sup>
	0.980	10.57	06/10/20	12.95	...	-2.38	230 of 387 - Sale <sup>25</sup>
	0.690	7.44	06/10/20	9.81	...	-2.37	231 of 387 - Sale <sup>25</sup>
	2.020	21.86	06/10/20	24.23	...	-2.37	232 of 387 - Sale <sup>25</sup>
	2.000	21.64	06/10/20	23.80	...	-2.16	233 of 387 - Sale <sup>25</sup>
	0.820	8.84	06/10/20	10.98	...	-2.14	234 of 387 - Sale <sup>25</sup>
	0.850	9.16	06/10/20	11.30	...	-2.14	235 of 387 - Sale <sup>25</sup>
	1.010	10.89	06/10/20	13.03	...	-2.14	236 of 387 - Sale <sup>25</sup>
	0.800	8.82	06/10/20	10.96	...	-2.14	237 of 387 - Sale <sup>25</sup>

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.700	7.55	06/10/20	9.68	...	-2.13	238 of 387 - Sale <sup>25</sup>
	0.830	8.95	06/10/20	11.08	...	-2.13	239 of 387 - Sale <sup>25</sup>
	0.790	8.71	06/10/20	10.83	...	-2.12	240 of 387 - Sale <sup>25</sup>
	0.850	9.16	06/10/20	11.25	...	-2.09	241 of 387 - Sale <sup>25</sup>
	2.980	32.85	06/10/20	34.93	...	-2.08	242 of 387 - Sale <sup>25</sup>
	0.710	7.68	06/10/20	9.76	...	-2.08	243 of 387 - Sale <sup>25</sup>
	0.840	9.09	06/10/20	11.17	...	-2.08	244 of 387 - Sale <sup>25</sup>
	1.160	12.55	06/10/20	14.63	...	-2.08	245 of 387 - Sale <sup>25</sup>
	0.610	6.58	06/10/20	8.63	...	-2.05	246 of 387 - Sale <sup>25</sup>
	2.000	21.64	06/10/20	23.69	...	-2.05	247 of 387 - Sale <sup>25</sup>
	1.000	11.02	06/10/20	13.06	...	-2.04	248 of 387 - Sale <sup>25</sup>
	0.800	8.66	06/10/20	10.70	...	-2.04	249 of 387 - Sale <sup>25</sup>
	1.000	11.02	06/10/20	13.05	...	-2.03	250 of 387 - Sale <sup>25</sup>
	1.010	11.13	06/10/20	13.16	...	-2.03	251 of 387 - Sale <sup>25</sup>
	0.990	10.91	06/10/20	12.92	...	-2.01	252 of 387 - Sale <sup>25</sup>
	0.830	8.95	06/10/20	10.93	...	-1.98	253 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	12.80	...	-1.98	254 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	12.79	...	-1.97	255 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	12.73	...	-1.95	256 of 387 - Sale <sup>25</sup>
	1.000	10.78	06/10/20	12.72	...	-1.94	257 of 387 - Sale <sup>25</sup>
	1.180	12.77	06/10/20	14.70	...	-1.93	258 of 387 - Sale <sup>25</sup>
	1.180	12.77	06/10/20	14.69	...	-1.92	259 of 387 - Sale <sup>25</sup>
	5.000	54.10	06/10/20	56.02	...	-1.92	260 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	12.69	...	-1.87	261 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	12.66	...	-1.84	262 of 387 - Sale <sup>25</sup>
	0.680	7.33	06/10/20	9.14	...	-1.81	263 of 387 - Sale <sup>25</sup>
	0.700	7.55	06/10/20	9.34	...	-1.79	264 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	12.59	...	-1.77	265 of 387 - Sale <sup>25</sup>
	0.830	8.95	06/10/20	10.70	...	-1.75	266 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	5.00	...	-1.75	267 of 387 - Sale <sup>25</sup>
	0.990	10.71	06/10/20	12.45	...	-1.74	268 of 387 - Sale <sup>25</sup>
	0.970	10.49	06/10/20	12.21	...	-1.72	269 of 387 - Sale <sup>25</sup>
	0.810	8.76	06/10/20	10.46	...	-1.70	270 of 387 - Sale <sup>25</sup>
	1.030	11.36	06/10/20	13.05	...	-1.69	271 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.800	8.62	06/10/20	10.27	...	-1.65	272 of 387 - Sale <sup>25</sup>
	1.000	11.02	06/10/20	12.67	...	-1.65	273 of 387 - Sale <sup>25</sup>
	0.710	7.68	06/10/20	9.31	...	-1.63	274 of 387 - Sale <sup>25</sup>
	1.200	13.23	06/10/20	14.85	...	-1.62	275 of 387 - Sale <sup>25</sup>
	0.850	9.16	06/10/20	10.74	...	-1.58	276 of 387 - Sale <sup>25</sup>
	1.030	11.36	06/10/20	12.93	...	-1.57	277 of 387 - Sale <sup>25</sup>
	1.000	11.03	06/10/20	12.57	...	-1.54	278 of 387 - Sale <sup>25</sup>
	0.960	10.58	06/10/20	12.11	...	-1.53	279 of 387 - Sale <sup>25</sup>
	1.000	11.02	06/10/20	12.55	...	-1.53	280 of 387 - Sale <sup>25</sup>
	0.710	7.68	06/10/20	9.18	...	-1.50	281 of 387 - Sale <sup>25</sup>
	1.170	12.90	06/10/20	14.36	...	-1.46	282 of 387 - Sale <sup>25</sup>
	0.830	8.95	06/10/20	10.39	...	-1.44	283 of 387 - Sale <sup>25</sup>
	0.970	10.69	06/10/20	12.13	...	-1.44	284 of 387 - Sale <sup>25</sup>
	1.000	11.03	06/10/20	12.46	...	-1.43	285 of 387 - Sale <sup>25</sup>
	1.010	11.14	06/10/20	12.57	...	-1.43	286 of 387 - Sale <sup>25</sup>
	0.700	7.57	06/10/20	8.97	...	-1.40	287 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	4.64	...	-1.39	288 of 387 - Sale <sup>25</sup>
	0.810	8.76	06/10/20	10.06	...	-1.30	289 of 387 - Sale <sup>25</sup>
	0.690	7.44	06/10/20	8.70	...	-1.26	290 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	4.49	...	-1.24	291 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	4.47	...	-1.22	292 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	4.46	...	-1.21	293 of 387 - Sale <sup>25</sup>
	0.220	2.43	06/10/20	3.62	...	-1.19	294 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	12.01	...	-1.19	295 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	4.44	...	-1.19	296 of 387 - Sale <sup>25</sup>
	0.990	10.71	06/10/20	11.89	...	-1.18	297 of 387 - Sale <sup>25</sup>
	0.220	2.38	06/10/20	3.55	...	-1.17	298 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	4.42	...	-1.17	299 of 387 - Sale <sup>25</sup>
	1.050	11.36	06/10/20	12.51	...	-1.15	300 of 387 - Sale <sup>25</sup>
	0.210	2.32	06/10/20	3.45	...	-1.13	301 of 387 - Sale <sup>25</sup>
	0.310	3.34	06/10/20	4.46	...	-1.12	302 of 387 - Sale <sup>25</sup>
	0.810	8.93	06/10/20	10.05	...	-1.12	303 of 387 - Sale <sup>25</sup>
	0.260	2.81	06/10/20	3.92	...	-1.11	304 of 387 - Sale <sup>25</sup>
	0.990	10.71	06/10/20	11.79	...	-1.08	305 of 387 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.310	3.34	06/10/20	4.41	...	-1.07	306 of 387 - Sale <sup>25</sup>
	0.800	8.82	06/10/20	9.89	...	-1.07	307 of 387 - Sale <sup>25</sup>
	0.800	8.82	06/10/20	9.88	...	-1.06	308 of 387 - Sale <sup>25</sup>
	0.260	2.81	06/10/20	3.86	...	-1.05	309 of 387 - Sale <sup>25</sup>
	0.190	2.09	06/10/20	3.13	...	-1.04	310 of 387 - Sale <sup>25</sup>
	3.000	32.46	06/10/20	33.47	...	-1.01	311 of 387 - Sale <sup>25</sup>
	0.300	3.23	06/10/20	4.23	...	-1.00	312 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	11.82	...	-1.00	313 of 387 - Sale <sup>25</sup>
	0.260	2.81	06/10/20	3.80	...	-0.99	314 of 387 - Sale <sup>25</sup>
	0.260	2.81	06/10/20	3.80	...	-0.99	315 of 387 - Sale <sup>25</sup>
	0.320	3.45	06/10/20	4.40	...	-0.95	316 of 387 - Sale <sup>25</sup>
	0.900	9.74	06/10/20	10.69	...	-0.95	317 of 387 - Sale <sup>25</sup>
	0.180	1.95	06/10/20	2.89	...	-0.94	318 of 387 - Sale <sup>25</sup>
	0.180	1.99	06/10/20	2.88	...	-0.89	319 of 387 - Sale <sup>25</sup>
	0.300	3.23	06/10/20	4.10	...	-0.87	320 of 387 - Sale <sup>25</sup>
	0.190	2.06	06/10/20	2.90	...	-0.84	321 of 387 - Sale <sup>25</sup>
	0.310	3.34	06/10/20	4.13	...	-0.79	322 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	3.96	...	-0.71	323 of 387 - Sale <sup>25</sup>
	2.000	21.64	06/10/20	22.35	...	-0.71	324 of 387 - Sale <sup>25</sup>
	0.290	3.14	06/10/20	3.83	...	-0.69	325 of 387 - Sale <sup>25</sup>
	0.220	2.43	06/10/20	3.06	...	-0.63	326 of 387 - Sale <sup>25</sup>
	0.300	3.25	06/10/20	3.88	...	-0.63	327 of 387 - Sale <sup>25</sup>
	0.150	1.62	06/10/20	2.23	...	-0.61	328 of 387 - Sale <sup>25</sup>
	0.250	2.70	06/10/20	3.30	...	-0.60	329 of 387 - Sale <sup>25</sup>
	0.310	3.34	06/10/20	3.92	...	-0.58	330 of 387 - Sale <sup>25</sup>
	0.810	8.93	06/10/20	9.50	...	-0.57	331 of 387 - Sale <sup>25</sup>
	0.160	1.72	06/10/20	2.27	...	-0.55	332 of 387 - Sale <sup>25</sup>
	0.200	2.16	06/10/20	2.68	...	-0.52	333 of 387 - Sale <sup>25</sup>
	0.160	1.72	06/10/20	2.20	...	-0.48	334 of 387 - Sale <sup>25</sup>
	0.160	1.72	06/10/20	2.19	...	-0.47	335 of 387 - Sale <sup>25</sup>
	0.170	1.83	06/10/20	2.30	...	-0.47	336 of 387 - Sale <sup>25</sup>
	0.160	1.72	06/10/20	2.16	...	-0.44	337 of 387 - Sale <sup>25</sup>
	0.080	0.88	06/10/20	1.31	...	-0.43	338 of 387 - Sale <sup>25</sup>
	0.080	0.87	06/10/20	1.30	...	-0.43	339 of 387 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.150	1.62	06/10/20	2.02	...	-0.40	340 of 387 - Sale <sup>25</sup>
	0.180	1.98	06/10/20	2.36	...	-0.38	341 of 387 - Sale <sup>25</sup>
	0.180	1.95	06/10/20	2.32	...	-0.37	342 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	11.18	...	-0.36	343 of 387 - Sale <sup>25</sup>
	0.090	0.97	06/10/20	1.28	...	-0.31	344 of 387 - Sale <sup>25</sup>
	0.090	0.97	06/10/20	1.28	...	-0.31	345 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	11.12	...	-0.30	346 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	11.12	...	-0.30	347 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	11.11	...	-0.29	348 of 387 - Sale <sup>25</sup>
	1.000	10.82	06/10/20	11.11	...	-0.29	349 of 387 - Sale <sup>25</sup>
	0.180	1.98	06/10/20	2.25	...	-0.27	350 of 387 - Sale <sup>25</sup>
	0.100	1.10	06/10/20	1.37	...	-0.27	351 of 387 - Sale <sup>25</sup>
	0.100	1.10	06/10/20	1.37	...	-0.27	352 of 387 - Sale <sup>25</sup>
	0.150	1.62	06/10/20	1.88	...	-0.26	353 of 387 - Sale <sup>25</sup>
	0.150	1.62	06/10/20	1.88	...	-0.26	354 of 387 - Sale <sup>25</sup>
	0.190	2.09	06/10/20	2.35	...	-0.26	355 of 387 - Sale <sup>25</sup>
	0.140	1.51	06/10/20	1.75	...	-0.24	356 of 387 - Sale <sup>25</sup>
	0.080	0.88	06/10/20	1.11	...	-0.23	357 of 387 - Sale <sup>25</sup>
	0.140	1.54	06/10/20	1.76	...	-0.22	358 of 387 - Sale <sup>25</sup>
	0.040	0.43	06/10/20	0.65	...	-0.22	359 of 387 - Sale <sup>25</sup>
	0.060	0.65	06/10/20	0.83	...	-0.18	360 of 387 - Sale <sup>25</sup>
	0.040	0.43	06/10/20	0.61	...	-0.18	361 of 387 - Sale <sup>25</sup>
	0.030	0.32	06/10/20	0.50	...	-0.18	362 of 387 - Sale <sup>25</sup>
	0.040	0.43	06/10/20	0.60	...	-0.17	363 of 387 - Sale <sup>25</sup>
	0.030	0.32	06/10/20	0.48	...	-0.16	364 of 387 - Sale <sup>25</sup>
	0.030	0.32	06/10/20	0.48	...	-0.16	365 of 387 - Sale <sup>25</sup>
	0.030	0.32	06/10/20	0.47	...	-0.15	366 of 387 - Sale <sup>25</sup>
	0.050	0.54	06/10/20	0.69	...	-0.15	367 of 387 - Sale <sup>25</sup>
	0.050	0.54	06/10/20	0.66	...	-0.12	368 of 387 - Sale <sup>25</sup>
	0.020	0.22	06/10/20	0.32	...	-0.10	369 of 387 - Sale <sup>25</sup>
	0.050	0.54	06/10/20	0.64	...	-0.10	370 of 387 - Sale <sup>25</sup>
	0.040	0.44	06/10/20	0.52	...	-0.08	371 of 387 - Sale <sup>25</sup>
	0.020	0.22	06/10/20	0.30	...	-0.08	372 of 387 - Sale <sup>25</sup>
	0.030	0.32	06/10/20	0.39	...	-0.07	373 of 387 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.050	0.54	06/10/20	0.61	...	-0.07	374 of 387 - Sale <sup>25</sup>
	0.030	0.33	06/10/20	0.39	...	-0.06	375 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.17	...	-0.06	376 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.17	...	-0.06	377 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.16	...	-0.05	378 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.16	...	-0.05	379 of 387 - Sale <sup>25</sup>
	0.060	0.65	06/10/20	0.70	...	-0.05	380 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.15	...	-0.04	381 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.15	...	-0.04	382 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.14	...	-0.03	383 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.14	...	-0.03	384 of 387 - Sale <sup>25</sup>
	0.010	0.11	06/10/20	0.13	...	-0.02	385 of 387 - Sale <sup>25</sup>
	0.020	0.22	06/10/20	0.24	...	-0.02	386 of 387 - Sale <sup>25</sup>
	0.020	0.22	06/10/20	0.24	...	-0.02	387 of 387 - Sale <sup>25</sup>
06/10/20	597.000	6,479.22	Various	8,017.43	...	-1,538.21	Total of 387 transactions
	64 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	0.740	7.71	06/11/20	9.27	...	-1.56	1 of 64 - Sale <sup>25</sup>
	0.260	2.71	06/11/20	3.26	...	-0.55	2 of 64 - Sale <sup>25</sup>
	5.000	52.10	06/12/20	61.92	...	-9.82	3 of 64 - Sale <sup>25</sup>
	4.210	43.87	06/12/20	52.96	...	-9.09	4 of 64 - Sale <sup>25</sup>
	3.000	31.26	06/12/20	37.82	...	-6.56	5 of 64 - Sale <sup>25</sup>
	3.300	34.39	06/12/20	40.03	...	-5.64	6 of 64 - Sale <sup>25</sup>
	3.010	31.36	06/12/20	36.95	...	-5.59	7 of 64 - Sale <sup>25</sup>
	2.700	28.13	06/12/20	33.53	...	-5.40	8 of 64 - Sale <sup>25</sup>
	2.040	21.26	06/12/20	26.64	...	-5.38	9 of 64 - Sale <sup>25</sup>
	2.000	20.84	06/12/20	26.12	...	-5.28	10 of 64 - Sale <sup>25</sup>
	2.000	20.84	06/12/20	26.07	...	-5.23	11 of 64 - Sale <sup>25</sup>
	2.000	20.84	06/12/20	26.03	...	-5.19	12 of 64 - Sale <sup>25</sup>
	0.970	10.11	06/12/20	15.26	...	-5.15	13 of 64 - Sale <sup>25</sup>
	0.910	9.48	06/12/20	14.36	...	-4.88	14 of 64 - Sale <sup>25</sup>
	1.750	18.23	06/12/20	22.81	...	-4.58	15 of 64 - Sale <sup>25</sup>
	2.040	21.26	06/12/20	25.80	...	-4.54	16 of 64 - Sale <sup>25</sup>
	2.040	21.26	06/12/20	25.05	...	-3.79	17 of 64 - Sale <sup>25</sup>
	2.010	20.94	06/12/20	24.68	...	-3.74	18 of 64 - Sale <sup>25</sup>

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	1.720	17.92	06/12/20	21.64	...	-3.72	19 of 64 - Sale <sup>25</sup>
	2.000	20.84	06/12/20	24.54	...	-3.70	20 of 64 - Sale <sup>25</sup>
	2.000	20.84	06/12/20	24.54	...	-3.70	21 of 64 - Sale <sup>25</sup>
	1.790	18.65	06/12/20	22.26	...	-3.61	22 of 64 - Sale <sup>25</sup>
	1.250	13.03	06/12/20	16.47	...	-3.44	23 of 64 - Sale <sup>25</sup>
	1.960	20.42	06/12/20	23.74	...	-3.32	24 of 64 - Sale <sup>25</sup>
	1.700	17.71	06/12/20	20.91	...	-3.20	25 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	13.22	...	-2.80	26 of 64 - Sale <sup>25</sup>
	1.050	10.94	06/12/20	13.74	...	-2.80	27 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	13.17	...	-2.75	28 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	13.16	...	-2.74	29 of 64 - Sale <sup>25</sup>
	0.990	10.32	06/12/20	13.05	...	-2.73	30 of 64 - Sale <sup>25</sup>
	0.960	10.00	06/12/20	12.63	...	-2.63	31 of 64 - Sale <sup>25</sup>
	0.950	9.90	06/12/20	12.45	...	-2.55	32 of 64 - Sale <sup>25</sup>
	0.950	9.90	06/12/20	12.34	...	-2.44	33 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	12.67	...	-2.25	34 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	12.65	...	-2.23	35 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	12.62	...	-2.20	36 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	12.61	...	-2.19	37 of 64 - Sale <sup>25</sup>
	0.980	10.21	06/12/20	12.35	...	-2.14	38 of 64 - Sale <sup>25</sup>
	0.960	10.00	06/12/20	12.12	...	-2.12	39 of 64 - Sale <sup>25</sup>
	1.000	10.42	06/12/20	12.50	...	-2.08	40 of 64 - Sale <sup>25</sup>
	1.040	10.84	06/12/20	12.92	...	-2.08	41 of 64 - Sale <sup>25</sup>
	0.700	7.29	06/12/20	9.30	...	-2.01	42 of 64 - Sale <sup>25</sup>
	0.710	7.40	06/12/20	9.41	...	-2.01	43 of 64 - Sale <sup>25</sup>
	0.700	7.29	06/12/20	9.03	...	-1.74	44 of 64 - Sale <sup>25</sup>
	0.940	9.79	06/12/20	11.53	...	-1.74	45 of 64 - Sale <sup>25</sup>
	0.750	7.81	06/12/20	9.10	...	-1.29	46 of 64 - Sale <sup>25</sup>
	0.700	7.29	06/12/20	8.33	...	-1.04	47 of 64 - Sale <sup>25</sup>
	0.290	3.02	06/12/20	3.85	...	-0.83	48 of 64 - Sale <sup>25</sup>
	0.300	3.13	06/12/20	3.91	...	-0.78	49 of 64 - Sale <sup>25</sup>
	0.250	2.61	06/12/20	3.28	...	-0.67	50 of 64 - Sale <sup>25</sup>
	0.250	2.60	06/12/20	3.17	...	-0.57	51 of 64 - Sale <sup>25</sup>
	0.260	2.71	06/12/20	3.24	...	-0.53	52 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADAPT IMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	0.250	2.60	06/12/20	3.11	...	-0.51	53 of 64 - Sale <sup>25</sup>
	0.250	2.60	06/12/20	3.04	...	-0.44	54 of 64 - Sale <sup>25</sup>
	0.050	0.52	06/12/20	0.65	...	-0.13	55 of 64 - Sale <sup>25</sup>
	0.050	0.52	06/12/20	0.65	...	-0.13	56 of 64 - Sale <sup>25</sup>
	0.150	1.56	06/12/20	1.68	...	-0.12	57 of 64 - Sale <sup>25</sup>
	0.040	0.42	06/12/20	0.51	...	-0.09	58 of 64 - Sale <sup>25</sup>
	0.040	0.42	06/12/20	0.50	...	-0.08	59 of 64 - Sale <sup>25</sup>
	0.010	0.10	06/12/20	0.13	...	-0.03	60 of 64 - Sale <sup>25</sup>
	0.010	0.10	06/12/20	0.13	...	-0.03	61 of 64 - Sale <sup>25</sup>
	0.010	0.10	06/12/20	0.13	...	-0.03	62 of 64 - Sale <sup>25</sup>
	0.010	0.10	06/12/20	0.12	...	-0.02	63 of 64 - Sale <sup>25</sup>
	29.000	302.19	06/12/20	295.88	...	6.31	64 of 64 - Sale <sup>25</sup>
06/15/20	104.000	1,083.64	Various	1,247.54	...	-163.90	Total of 64 transactions
	<b>Security total:</b>	<b>362,791.02</b>		<b>423,364.98</b>	<b>58,584.73 W</b>	<b>-1,989.23</b>	
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
	9 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	5.000	30.42	12/18/20	20.05	...	10.37	1 of 9 - Sale <sup>25</sup>
	19.000	115.61	12/18/20	76.19	...	39.42	2 of 9 - Sale <sup>25</sup>
	68.000	413.76	12/18/20	272.68	...	141.08	3 of 9 - Sale <sup>25</sup>
	81.000	492.87	12/18/20	324.81	...	168.06	4 of 9 - Sale <sup>25</sup>
	100.000	608.47	12/18/20	401.00	...	207.47	5 of 9 - Sale <sup>25</sup>
	131.000	797.10	12/18/20	529.24	...	267.86	6 of 9 - Sale <sup>25</sup>
	827.000	5,032.09	12/18/20	3,316.27	...	1,715.82	7 of 9 - Sale <sup>25</sup>
	869.000	5,287.65	12/18/20	3,510.76	...	1,776.89	8 of 9 - Sale <sup>25</sup>
	99.100	625.29	12/24/20	639.19	...	-13.90	9 of 9 - Sale <sup>25</sup>
12/24/20	2,199.100	13,403.26	Various	9,090.19	...	4,313.07	Total of 9 transactions
	44 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	25.000	152.12	12/22/20	160.00	7.88 W	0.00	1 of 44 - Sale <sup>25</sup>
	1.000	6.08	12/23/20	7.57	1.49 W	0.00	2 of 44 - Sale <sup>25</sup>
	264.900	1,671.45	12/24/20	1,708.60	8.85 W	-28.30	3 of 44 - Sale <sup>25</sup>
	10.000	60.85	12/24/20	67.05	6.20 W	0.00	4 of 44 - Sale <sup>25</sup>
	0.100	0.63	12/24/20	0.64	0.01 W	0.00	5 of 44 - Sale <sup>25</sup>
	0.900	5.68	12/24/20	5.75	0.07 W	0.00	6 of 44 - Sale <sup>25</sup>

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Account 143648111

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(continued)

02/14/2021

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	0.100	0.63	12/24/20	0.66	0.03 W	0.00	7 of 44 - Sale <sup>25</sup>
	24.000	151.43	12/24/20	162.36	10.93 W	0.00	8 of 44 - Sale <sup>25</sup>
	1.000	6.31	12/24/20	7.94	1.63 W	0.00	9 of 44 - Sale <sup>25</sup>
	10.000	63.10	12/24/20	70.70	7.60 W	0.00	10 of 44 - Sale <sup>25</sup>
	100.000	630.97	12/24/20	645.00	14.03 W	0.00	11 of 44 - Sale <sup>25</sup>
	190.000	1,198.85	12/24/20	1,225.50	26.65 W	0.00	12 of 44 - Sale <sup>25</sup>
	10.000	63.00	12/24/20	64.50	1.50 W	0.00	13 of 44 - Sale <sup>25</sup>
	25.000	157.50	12/24/20	161.25	3.75 W	0.00	14 of 44 - Sale <sup>25</sup>
	5.000	31.50	12/24/20	32.25	0.75 W	0.00	15 of 44 - Sale <sup>25</sup>
	89.900	566.35	12/24/20	579.86	13.51 W	0.00	16 of 44 - Sale <sup>25</sup>
	0.100	0.63	12/24/20	0.67	0.04 W	0.00	17 of 44 - Sale <sup>25</sup>
	0.900	5.67	12/24/20	5.88	0.21 W	0.00	18 of 44 - Sale <sup>25</sup>
	24.000	151.19	12/24/20	165.73	14.54 W	0.00	19 of 44 - Sale <sup>25</sup>
	1.000	6.30	12/24/20	8.08	1.78 W	0.00	20 of 44 - Sale <sup>25</sup>
	10.000	63.00	12/24/20	72.10	9.10 W	0.00	21 of 44 - Sale <sup>25</sup>
	63.100	397.51	12/24/20	415.85	18.34 W	0.00	22 of 44 - Sale <sup>25</sup>
	100.000	629.98	12/24/20	659.03	29.05 W	0.00	23 of 44 - Sale <sup>25</sup>
	100.900	635.64	12/24/20	664.95	29.31 W	0.00	24 of 44 - Sale <sup>25</sup>
	5.000	31.50	12/24/20	33.00	1.50 W	0.00	25 of 44 - Sale <sup>25</sup>
	10.000	63.00	12/24/20	66.00	3.00 W	0.00	26 of 44 - Sale <sup>25</sup>
	25.000	157.49	12/24/20	165.00	7.51 W	0.00	27 of 44 - Sale <sup>25</sup>
	89.100	561.31	12/24/20	587.20	25.89 W	0.00	28 of 44 - Sale <sup>25</sup>
	170.900	1,076.63	12/24/20	1,102.30	25.67 W	0.00	29 of 44 - Sale <sup>25</sup>
	100.100	630.60	12/24/20	645.65	15.05 W	0.00	30 of 44 - Sale <sup>25</sup>
	0.100	0.63	12/24/20	0.68	0.05 W	0.00	31 of 44 - Sale <sup>25</sup>
	0.900	5.67	12/24/20	6.02	0.35 W	0.00	32 of 44 - Sale <sup>25</sup>
	24.000	151.19	12/24/20	169.34	18.15 W	0.00	33 of 44 - Sale <sup>25</sup>
	1.000	6.30	12/24/20	8.23	1.93 W	0.00	34 of 44 - Sale <sup>25</sup>
	10.000	63.00	12/24/20	73.60	10.60 W	0.00	35 of 44 - Sale <sup>25</sup>
	10.900	68.67	12/24/20	73.48	4.81 W	0.00	36 of 44 - Sale <sup>25</sup>
	63.100	397.51	12/24/20	425.33	27.82 W	0.00	37 of 44 - Sale <sup>25</sup>
	89.900	566.35	12/24/20	593.36	27.01 W	0.00	38 of 44 - Sale <sup>25</sup>
	10.000	63.00	12/24/20	67.50	4.50 W	0.00	39 of 44 - Sale <sup>25</sup>
	10.900	68.66	12/24/20	73.58	4.92 W	0.00	40 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	89.100	561.31	12/24/20	600.58	39.27 W	0.00	41 of 44 - Sale <sup>25</sup>
	89.100	561.31	12/24/20	600.58	39.27 W	0.00	42 of 44 - Sale <sup>25</sup>
	100.900	635.64	12/24/20	680.11	44.47 W	0.00	43 of 44 - Sale <sup>25</sup>
	0.100	0.63	12/24/20	0.67	0.04 W	0.00	44 of 44 - Sale <sup>25</sup>
12/24/20	1,957.000	12,326.77	Various	12,864.13	509.06 W	-28.30	Total of 44 transactions
	<b>Security total:</b>	<b>25,730.03</b>		<b>21,954.32</b>	<b>509.06 W</b>	<b>4,284.77</b>	
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
17 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	396.000	1,100.81	05/29/20	1,104.84	...	-4.03	1 of 17 - Sale <sup>25</sup>
	426.000	1,184.20	05/29/20	1,187.77	...	-3.57	2 of 17 - Sale <sup>25</sup>
	50.000	138.99	05/29/20	140.92	...	-1.93	3 of 17 - Sale <sup>25</sup>
	50.000	138.99	05/29/20	140.92	...	-1.93	4 of 17 - Sale <sup>25</sup>
	36.000	100.07	05/29/20	101.64	...	-1.57	5 of 17 - Sale <sup>25</sup>
	95.000	264.08	05/29/20	265.05	...	-0.97	6 of 17 - Sale <sup>25</sup>
	100.000	277.98	05/29/20	278.82	...	-0.84	7 of 17 - Sale <sup>25</sup>
	14.000	38.92	05/29/20	39.45	...	-0.53	8 of 17 - Sale <sup>25</sup>
	64.000	177.91	05/29/20	178.44	...	-0.53	9 of 17 - Sale <sup>25</sup>
	9.000	25.02	05/29/20	25.11	...	-0.09	10 of 17 - Sale <sup>25</sup>
	1.000	2.78	05/29/20	2.83	...	-0.05	11 of 17 - Sale <sup>25</sup>
	22.000	61.16	05/29/20	60.72	...	0.44	12 of 17 - Sale <sup>25</sup>
	50.000	140.99	05/29/20	139.80	...	1.19	13 of 17 - Sale <sup>25</sup>
	78.000	216.82	05/29/20	215.30	...	1.52	14 of 17 - Sale <sup>25</sup>
	150.000	422.97	05/29/20	415.50	...	7.47	15 of 17 - Sale <sup>25</sup>
	200.000	563.96	05/29/20	552.00	...	11.96	16 of 17 - Sale <sup>25</sup>
	750.000	2,114.86	05/29/20	2,100.74	...	14.12	17 of 17 - Sale <sup>25</sup>
05/29/20	2,491.000	6,970.51	Various	6,949.85	...	20.66	Total of 17 transactions
10 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	836.000	2,323.92	05/29/20	2,357.52	21.18 W	-12.42	1 of 10 - Sale <sup>25</sup>
	800.000	2,171.13	05/29/20	2,191.92	20.79 W	0.00	2 of 10 - Sale <sup>25</sup>
	64.000	177.91	05/29/20	180.16	2.25 W	0.00	3 of 10 - Sale <sup>25</sup>
	86.000	239.07	05/29/20	242.09	3.02 W	0.00	4 of 10 - Sale <sup>25</sup>
	14.000	38.92	05/29/20	39.90	0.98 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	138.99	05/29/20	142.51	3.52 W	0.00	6 of 10 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
	50.000	138.99	05/29/20	142.51	3.52 W	0.00	7 of 10 - Sale <sup>25</sup>
	36.000	100.07	05/29/20	102.78	2.71 W	0.00	8 of 10 - Sale <sup>25</sup>
	64.000	177.91	05/29/20	180.48	2.57 W	0.00	9 of 10 - Sale <sup>25</sup>
	100.000	277.98	05/29/20	282.00	4.02 W	0.00	10 of 10 - Sale <sup>25</sup>
05/29/20	2,100.000	5,784.89	Various	5,861.87	64.56 W	-12.42	Total of 10 transactions
	<b>Security total:</b>	<b>12,755.40</b>		<b>12,811.72</b>	<b>64.56 W</b>	<b>8.24</b>	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
10 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	4.30	05/29/20	4.25	...	0.05	1 of 10 - Sale <sup>25</sup>
	4.000	17.21	05/29/20	17.00	...	0.21	2 of 10 - Sale <sup>25</sup>
	96.000	412.95	05/29/20	408.00	...	4.95	3 of 10 - Sale <sup>25</sup>
	0.460	2.04	06/01/20	2.01	...	0.03	4 of 10 - Sale <sup>25</sup>
	0.540	2.39	06/01/20	2.32	...	0.07	5 of 10 - Sale <sup>25</sup>
	1.000	4.30	06/01/20	4.18	...	0.12	6 of 10 - Sale <sup>25</sup>
	99.540	439.94	06/01/20	435.83	...	4.11	7 of 10 - Sale <sup>25</sup>
	65.000	287.29	06/01/20	279.50	...	7.79	8 of 10 - Sale <sup>25</sup>
	99.000	425.85	06/01/20	413.82	...	12.03	9 of 10 - Sale <sup>25</sup>
	334.460	1,478.24	06/01/20	1,438.18	...	40.06	10 of 10 - Sale <sup>25</sup>
06/01/20	701.000	3,074.51	Various	3,005.09	...	69.42	Total of 10 transactions
31 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	100.000	430.16	06/01/20	438.00	7.84 W	0.00	1 of 31 - Sale <sup>25</sup>
	0.460	2.03	06/01/20	2.05	0.02 W	0.00	2 of 31 - Sale <sup>25</sup>
	4.000	17.68	06/01/20	17.84	0.16 W	0.00	3 of 31 - Sale <sup>25</sup>
	500.000	2,209.89	06/01/20	2,229.10	19.21 W	0.00	4 of 31 - Sale <sup>25</sup>
	200.000	883.96	06/01/20	890.00	6.04 W	0.00	5 of 31 - Sale <sup>25</sup>
	300.000	1,325.93	06/01/20	1,335.00	9.07 W	0.00	6 of 31 - Sale <sup>25</sup>
	0.460	2.05	06/01/20	2.07	0.02 W	0.00	7 of 31 - Sale <sup>25</sup>
	4.000	17.80	06/01/20	18.00	0.20 W	0.00	8 of 31 - Sale <sup>25</sup>
	40.540	180.40	06/01/20	182.37	1.97 W	0.00	9 of 31 - Sale <sup>25</sup>
	100.000	445.49	06/01/20	449.84	4.35 W	0.00	10 of 31 - Sale <sup>25</sup>
	100.000	445.49	06/01/20	449.84	4.35 W	0.00	11 of 31 - Sale <sup>25</sup>
	100.000	445.49	06/01/20	449.84	4.35 W	0.00	12 of 31 - Sale <sup>25</sup>
	40.540	180.60	06/01/20	182.03	1.43 W	0.00	13 of 31 - Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	159.460	710.36	06/01/20	717.32	6.96 W	0.00	14 of 31 - Sale <sup>25</sup>
	100.000	445.49	06/01/20	449.02	3.53 W	0.00	15 of 31 - Sale <sup>25</sup>
	59.460	264.89	06/01/20	266.99	2.10 W	0.00	16 of 31 - Sale <sup>25</sup>
	40.540	180.60	06/01/20	182.03	1.43 W	0.00	17 of 31 - Sale <sup>25</sup>
	100.000	445.49	06/01/20	449.03	3.54 W	0.00	18 of 31 - Sale <sup>25</sup>
	155.000	690.49	06/01/20	695.99	5.50 W	0.00	19 of 31 - Sale <sup>25</sup>
	0.460	2.05	06/01/20	2.07	0.02 W	0.00	20 of 31 - Sale <sup>25</sup>
	4.000	17.82	06/01/20	18.03	0.21 W	0.00	21 of 31 - Sale <sup>25</sup>
	4.460	19.87	06/01/20	20.01	0.14 W	0.00	22 of 31 - Sale <sup>25</sup>
	40.540	180.60	06/01/20	182.71	2.11 W	0.00	23 of 31 - Sale <sup>25</sup>
	50.540	225.15	06/01/20	227.52	2.37 W	0.00	24 of 31 - Sale <sup>25</sup>
	49.460	220.34	06/01/20	222.65	2.31 W	0.00	25 of 31 - Sale <sup>25</sup>
	50.540	225.15	06/01/20	227.52	2.37 W	0.00	26 of 31 - Sale <sup>25</sup>
	49.460	220.34	06/01/20	222.65	2.31 W	0.00	27 of 31 - Sale <sup>25</sup>
	50.540	225.15	06/01/20	227.52	2.37 W	0.00	28 of 31 - Sale <sup>25</sup>
	49.460	220.34	06/01/20	222.65	2.31 W	0.00	29 of 31 - Sale <sup>25</sup>
	50.540	225.15	06/01/20	227.52	2.37 W	0.00	30 of 31 - Sale <sup>25</sup>
	100.000	445.49	06/01/20	450.19	4.70 W	0.00	31 of 31 - Sale <sup>25</sup>
06/01/20	2,604.460	11,551.74	Various	11,657.40	105.66 W	0.00	Total of 31 transactions
	8 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	49.460	226.27	06/02/20	223.33	...	2.94	1 of 8 - Sale <sup>25</sup>
	50.540	231.21	06/02/20	228.20	...	3.01	2 of 8 - Sale <sup>25</sup>
	1.000	4.57	06/03/20	4.55	...	0.02	3 of 8 - Sale <sup>25</sup>
	1.000	4.57	06/03/20	4.53	...	0.04	4 of 8 - Sale <sup>25</sup>
	1.000	4.57	06/03/20	4.52	...	0.05	5 of 8 - Sale <sup>25</sup>
	8.920	40.81	06/03/20	40.64	...	0.17	6 of 8 - Sale <sup>25</sup>
	40.540	185.46	06/03/20	184.67	...	0.79	7 of 8 - Sale <sup>25</sup>
	50.540	231.20	06/03/20	230.23	...	0.97	8 of 8 - Sale <sup>25</sup>
06/03/20	203.000	928.66	Various	920.67	...	7.99	Total of 8 transactions
	5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	8.920	40.27	06/02/20	40.60	0.33 W	0.00	1 of 5 - Sale <sup>25</sup>
	40.540	183.04	06/02/20	184.17	1.13 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.540	228.18	06/02/20	229.59	1.41 W	0.00	3 of 5 - Sale <sup>25</sup>
	46.460	212.32	06/03/20	215.35	3.03 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	53.540	244.67	06/03/20	248.18	3.51 W	0.00	5 of 5 - Sale <sup>25</sup>
06/03/20	200.000	908.48	Various	917.89	9.41 W	0.00	Total of 5 transactions
	87 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	30.000	155.70	06/09/20	157.01	1.31 W	0.00	1 of 87 - Sale <sup>25</sup>
	70.000	363.40	06/09/20	366.36	2.96 W	0.00	2 of 87 - Sale <sup>25</sup>
	0.460	2.39	06/09/20	2.41	0.02 W	0.00	3 of 87 - Sale <sup>25</sup>
	1.460	7.58	06/09/20	7.64	0.06 W	0.00	4 of 87 - Sale <sup>25</sup>
	4.000	20.77	06/09/20	21.00	0.23 W	0.00	5 of 87 - Sale <sup>25</sup>
	4.460	23.15	06/09/20	23.32	0.17 W	0.00	6 of 87 - Sale <sup>25</sup>
	40.540	210.46	06/09/20	212.85	2.39 W	0.00	7 of 87 - Sale <sup>25</sup>
	49.080	254.80	06/09/20	257.43	2.63 W	0.00	8 of 87 - Sale <sup>25</sup>
	1.460	7.58	06/09/20	7.66	0.08 W	0.00	9 of 87 - Sale <sup>25</sup>
	49.080	254.79	06/09/20	257.43	2.64 W	0.00	10 of 87 - Sale <sup>25</sup>
	49.460	256.77	06/09/20	259.41	2.64 W	0.00	11 of 87 - Sale <sup>25</sup>
	1.460	7.58	06/09/20	7.66	0.08 W	0.00	12 of 87 - Sale <sup>25</sup>
	8.540	44.34	06/09/20	44.79	0.45 W	0.00	13 of 87 - Sale <sup>25</sup>
	9.080	47.03	06/09/20	47.46	0.43 W	0.00	14 of 87 - Sale <sup>25</sup>
	40.920	211.96	06/09/20	213.88	1.92 W	0.00	15 of 87 - Sale <sup>25</sup>
	8.540	44.24	06/09/20	44.55	0.31 W	0.00	16 of 87 - Sale <sup>25</sup>
	41.460	214.75	06/09/20	216.29	1.54 W	0.00	17 of 87 - Sale <sup>25</sup>
	8.540	44.24	06/09/20	44.72	0.48 W	0.00	18 of 87 - Sale <sup>25</sup>
	40.920	211.95	06/09/20	214.28	2.33 W	0.00	19 of 87 - Sale <sup>25</sup>
	50.540	261.78	06/09/20	264.68	2.90 W	0.00	20 of 87 - Sale <sup>25</sup>
	8.920	46.22	06/09/20	46.45	0.23 W	0.00	21 of 87 - Sale <sup>25</sup>
	40.540	210.06	06/09/20	210.72	0.66 W	0.00	22 of 87 - Sale <sup>25</sup>
	50.540	261.88	06/09/20	262.70	0.82 W	0.00	23 of 87 - Sale <sup>25</sup>
	8.540	44.25	06/09/20	44.71	0.46 W	0.00	24 of 87 - Sale <sup>25</sup>
	91.460	473.90	06/09/20	477.15	3.25 W	0.00	25 of 87 - Sale <sup>25</sup>
	0.460	2.36	06/09/20	2.40	0.04 W	0.00	26 of 87 - Sale <sup>25</sup>
	1.460	7.50	06/09/20	7.61	0.11 W	0.00	27 of 87 - Sale <sup>25</sup>
	1.460	7.50	06/09/20	7.63	0.13 W	0.00	28 of 87 - Sale <sup>25</sup>
	4.000	20.54	06/09/20	20.91	0.37 W	0.00	29 of 87 - Sale <sup>25</sup>
	4.460	22.90	06/09/20	23.23	0.33 W	0.00	30 of 87 - Sale <sup>25</sup>
	7.080	36.35	06/09/20	36.98	0.63 W	0.00	31 of 87 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
30.000		154.04	06/09/20	156.41	2.37 W	0.00	32 of 87 - Sale <sup>25</sup>
40.540		208.17	06/09/20	211.98	3.81 W	0.00	33 of 87 - Sale <sup>25</sup>
37.920		194.71	06/09/20	198.52	3.81 W	0.00	34 of 87 - Sale <sup>25</sup>
49.080		252.01	06/09/20	256.37	4.36 W	0.00	35 of 87 - Sale <sup>25</sup>
53.540		274.91	06/09/20	280.31	5.40 W	0.00	36 of 87 - Sale <sup>25</sup>
70.000		359.43	06/09/20	364.86	5.43 W	0.00	37 of 87 - Sale <sup>25</sup>
10.000		51.35	06/09/20	52.13	0.78 W	0.00	38 of 87 - Sale <sup>25</sup>
10.000		51.35	06/09/20	52.13	0.78 W	0.00	39 of 87 - Sale <sup>25</sup>
1.460		7.50	06/09/20	7.60	0.10 W	0.00	40 of 87 - Sale <sup>25</sup>
7.080		36.35	06/09/20	36.83	0.48 W	0.00	41 of 87 - Sale <sup>25</sup>
22.380		114.92	06/09/20	116.46	1.54 W	0.00	42 of 87 - Sale <sup>25</sup>
49.080		252.02	06/09/20	255.40	3.38 W	0.00	43 of 87 - Sale <sup>25</sup>
1.460		7.49	06/09/20	7.60	0.11 W	0.00	44 of 87 - Sale <sup>25</sup>
18.540		95.11	06/09/20	96.35	1.24 W	0.00	45 of 87 - Sale <sup>25</sup>
8.540		43.81	06/09/20	44.21	0.40 W	0.00	46 of 87 - Sale <sup>25</sup>
9.080		46.58	06/09/20	47.10	0.52 W	0.00	47 of 87 - Sale <sup>25</sup>
18.540		95.10	06/09/20	96.35	1.25 W	0.00	48 of 87 - Sale <sup>25</sup>
22.380		114.80	06/09/20	116.08	1.28 W	0.00	49 of 87 - Sale <sup>25</sup>
41.460		212.68	06/09/20	214.65	1.97 W	0.00	50 of 87 - Sale <sup>25</sup>
8.920		45.76	06/09/20	45.99	0.23 W	0.00	51 of 87 - Sale <sup>25</sup>
9.620		49.35	06/09/20	49.51	0.16 W	0.00	52 of 87 - Sale <sup>25</sup>
8.540		43.81	06/09/20	44.29	0.48 W	0.00	53 of 87 - Sale <sup>25</sup>
22.380		114.80	06/09/20	116.08	1.28 W	0.00	54 of 87 - Sale <sup>25</sup>
50.540		259.25	06/09/20	262.17	2.92 W	0.00	55 of 87 - Sale <sup>25</sup>
30.920		157.53	06/09/20	159.74	2.21 W	0.00	56 of 87 - Sale <sup>25</sup>
50.540		257.49	06/09/20	261.10	3.61 W	0.00	57 of 87 - Sale <sup>25</sup>
8.540		43.51	06/09/20	44.44	0.93 W	0.00	58 of 87 - Sale <sup>25</sup>
18.540		94.46	06/09/20	97.34	2.88 W	0.00	59 of 87 - Sale <sup>25</sup>
91.460		465.96	06/09/20	474.27	8.31 W	0.00	60 of 87 - Sale <sup>25</sup>
0.460		2.34	06/09/20	2.41	0.07 W	0.00	61 of 87 - Sale <sup>25</sup>
1.460		7.44	06/09/20	7.63	0.19 W	0.00	62 of 87 - Sale <sup>25</sup>
4.000		20.38	06/09/20	20.97	0.59 W	0.00	63 of 87 - Sale <sup>25</sup>
4.460		22.72	06/09/20	23.30	0.58 W	0.00	64 of 87 - Sale <sup>25</sup>
16.700		85.09	06/09/20	87.57	2.48 W	0.00	65 of 87 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	30.000	152.84	06/09/20	156.87	4.03 W	0.00	66 of 87 - Sale <sup>25</sup>
	19.380	98.74	06/09/20	101.76	3.02 W	0.00	67 of 87 - Sale <sup>25</sup>
	53.540	272.77	06/09/20	281.13	8.36 W	0.00	68 of 87 - Sale <sup>25</sup>
	70.000	356.63	06/09/20	365.93	9.30 W	0.00	69 of 87 - Sale <sup>25</sup>
	1.460	7.44	06/09/20	7.63	0.19 W	0.00	70 of 87 - Sale <sup>25</sup>
	7.080	36.07	06/09/20	37.01	0.94 W	0.00	71 of 87 - Sale <sup>25</sup>
	8.540	43.51	06/09/20	44.55	1.04 W	0.00	72 of 87 - Sale <sup>25</sup>
	10.000	50.95	06/09/20	52.16	1.21 W	0.00	73 of 87 - Sale <sup>25</sup>
	23.840	121.46	06/09/20	124.74	3.28 W	0.00	74 of 87 - Sale <sup>25</sup>
	49.080	250.05	06/09/20	256.55	6.50 W	0.00	75 of 87 - Sale <sup>25</sup>
	1.460	7.44	06/09/20	7.59	0.15 W	0.00	76 of 87 - Sale <sup>25</sup>
	1.460	7.44	06/09/20	7.58	0.14 W	0.00	77 of 87 - Sale <sup>25</sup>
	1.460	7.44	06/09/20	7.58	0.14 W	0.00	78 of 87 - Sale <sup>25</sup>
	7.080	36.07	06/09/20	36.73	0.66 W	0.00	79 of 87 - Sale <sup>25</sup>
	17.080	87.01	06/09/20	88.59	1.58 W	0.00	80 of 87 - Sale <sup>25</sup>
	22.380	114.02	06/09/20	116.12	2.10 W	0.00	81 of 87 - Sale <sup>25</sup>
	49.080	250.05	06/09/20	254.67	4.62 W	0.00	82 of 87 - Sale <sup>25</sup>
	1.460	7.44	06/09/20	7.58	0.14 W	0.00	83 of 87 - Sale <sup>25</sup>
	9.080	46.26	06/09/20	47.01	0.75 W	0.00	84 of 87 - Sale <sup>25</sup>
	17.080	87.02	06/09/20	88.26	1.24 W	0.00	85 of 87 - Sale <sup>25</sup>
	22.380	114.02	06/09/20	115.86	1.84 W	0.00	86 of 87 - Sale <sup>25</sup>
	10.000	50.95	06/09/20	51.56	0.61 W	0.00	87 of 87 - Sale <sup>25</sup>
06/09/20	1,990.000	10,226.56	Various	10,376.93	150.37 W	0.00	Total of 87 transactions
	9 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	50.000	248.00	06/10/20	262.15	...	-14.15	1 of 9 - Sale <sup>25</sup>
	39.160	194.22	06/10/20	207.73	...	-13.51	2 of 9 - Sale <sup>25</sup>
	30.000	148.79	06/10/20	158.83	...	-10.04	3 of 9 - Sale <sup>25</sup>
	19.380	96.12	06/10/20	102.79	...	-6.67	4 of 9 - Sale <sup>25</sup>
	18.540	91.95	06/10/20	98.33	...	-6.38	5 of 9 - Sale <sup>25</sup>
	14.380	71.32	06/10/20	76.45	...	-5.13	6 of 9 - Sale <sup>25</sup>
	14.380	71.32	06/10/20	75.34	...	-4.02	7 of 9 - Sale <sup>25</sup>
	8.540	42.36	06/10/20	44.89	...	-2.53	8 of 9 - Sale <sup>25</sup>
	5.620	27.87	06/10/20	29.75	...	-1.88	9 of 9 - Sale <sup>25</sup>
06/10/20	200.000	991.95	Various	1,056.26	...	-64.31	Total of 9 transactions

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
74 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
8.920		43.44	06/09/20	46.70	3.26 W	0.00	1 of 74 - Sale <sup>25</sup>
9.620		46.85	06/09/20	50.28	3.43 W	0.00	2 of 74 - Sale <sup>25</sup>
8.540		41.59	06/09/20	44.89	3.30 W	0.00	3 of 74 - Sale <sup>25</sup>
14.380		70.03	06/09/20	75.60	5.57 W	0.00	4 of 74 - Sale <sup>25</sup>
17.620		85.81	06/09/20	92.82	7.01 W	0.00	5 of 74 - Sale <sup>25</sup>
18.540		90.29	06/09/20	97.84	7.55 W	0.00	6 of 74 - Sale <sup>25</sup>
22.380		108.99	06/09/20	117.89	8.90 W	0.00	7 of 74 - Sale <sup>25</sup>
27.620		134.51	06/09/20	141.45	6.94 W	0.00	8 of 74 - Sale <sup>25</sup>
30.920		150.58	06/09/20	158.35	7.77 W	0.00	9 of 74 - Sale <sup>25</sup>
8.540		41.59	06/09/20	43.61	2.02 W	0.00	10 of 74 - Sale <sup>25</sup>
32.920		160.32	06/09/20	168.15	7.83 W	0.00	11 of 74 - Sale <sup>25</sup>
22.920		111.62	06/09/20	117.84	6.22 W	0.00	12 of 74 - Sale <sup>25</sup>
77.080		375.37	06/09/20	397.80	22.43 W	0.00	13 of 74 - Sale <sup>25</sup>
8.540		41.59	06/09/20	44.21	2.62 W	0.00	14 of 74 - Sale <sup>25</sup>
14.380		70.03	06/09/20	74.19	4.16 W	0.00	15 of 74 - Sale <sup>25</sup>
18.540		90.29	06/09/20	96.85	6.56 W	0.00	16 of 74 - Sale <sup>25</sup>
19.380		94.38	06/09/20	101.24	6.86 W	0.00	17 of 74 - Sale <sup>25</sup>
39.160		190.70	06/09/20	204.59	13.89 W	0.00	18 of 74 - Sale <sup>25</sup>
5.620		27.37	06/09/20	29.30	1.93 W	0.00	19 of 74 - Sale <sup>25</sup>
14.380		70.03	06/09/20	75.30	5.27 W	0.00	20 of 74 - Sale <sup>25</sup>
30.000		146.10	06/09/20	156.43	10.33 W	0.00	21 of 74 - Sale <sup>25</sup>
0.460		2.24	06/09/20	2.38	0.14 W	0.00	22 of 74 - Sale <sup>25</sup>
1.460		7.11	06/09/20	7.53	0.42 W	0.00	23 of 74 - Sale <sup>25</sup>
1.460		7.11	06/09/20	7.53	0.42 W	0.00	24 of 74 - Sale <sup>25</sup>
2.080		10.13	06/09/20	10.71	0.58 W	0.00	25 of 74 - Sale <sup>25</sup>
4.000		19.48	06/09/20	20.71	1.23 W	0.00	26 of 74 - Sale <sup>25</sup>
4.460		21.72	06/09/20	23.01	1.29 W	0.00	27 of 74 - Sale <sup>25</sup>
7.080		34.48	06/09/20	36.55	2.07 W	0.00	28 of 74 - Sale <sup>25</sup>
10.000		48.70	06/09/20	51.52	2.82 W	0.00	29 of 74 - Sale <sup>25</sup>
16.700		81.33	06/09/20	86.48	5.15 W	0.00	30 of 74 - Sale <sup>25</sup>
23.840		116.10	06/09/20	123.20	7.10 W	0.00	31 of 74 - Sale <sup>25</sup>
49.080		239.01	06/09/20	253.38	14.37 W	0.00	32 of 74 - Sale <sup>25</sup>
64.380		313.52	06/09/20	332.38	18.86 W	0.00	33 of 74 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	1.460	7.11	06/09/20	7.49	0.38 W	0.00	34 of 74 - Sale <sup>25</sup>
	6.460	31.46	06/09/20	33.28	1.82 W	0.00	35 of 74 - Sale <sup>25</sup>
	7.080	34.48	06/09/20	36.28	1.80 W	0.00	36 of 74 - Sale <sup>25</sup>
	1.460	7.11	06/09/20	7.48	0.37 W	0.00	37 of 74 - Sale <sup>25</sup>
	1.460	7.11	06/09/20	7.48	0.37 W	0.00	38 of 74 - Sale <sup>25</sup>
	1.460	7.11	06/09/20	7.48	0.37 W	0.00	39 of 74 - Sale <sup>25</sup>
	7.080	34.48	06/09/20	36.27	1.79 W	0.00	40 of 74 - Sale <sup>25</sup>
	7.080	34.48	06/09/20	36.20	1.72 W	0.00	41 of 74 - Sale <sup>25</sup>
	15.300	74.51	06/09/20	78.40	3.89 W	0.00	42 of 74 - Sale <sup>25</sup>
	17.080	83.18	06/09/20	87.50	4.32 W	0.00	43 of 74 - Sale <sup>25</sup>
	49.080	239.01	06/09/20	251.49	12.48 W	0.00	44 of 74 - Sale <sup>25</sup>
	9.080	44.22	06/09/20	46.42	2.20 W	0.00	45 of 74 - Sale <sup>25</sup>
	10.000	48.70	06/09/20	50.91	2.21 W	0.00	46 of 74 - Sale <sup>25</sup>
	15.300	74.51	06/09/20	78.22	3.71 W	0.00	47 of 74 - Sale <sup>25</sup>
	17.080	83.18	06/09/20	87.15	3.97 W	0.00	48 of 74 - Sale <sup>25</sup>
	48.540	236.38	06/09/20	244.16	7.78 W	0.00	49 of 74 - Sale <sup>25</sup>
	100.000	486.98	06/09/20	503.00	16.02 W	0.00	50 of 74 - Sale <sup>25</sup>
	1.000	4.87	06/09/20	4.96	0.09 W	0.00	51 of 74 - Sale <sup>25</sup>
	1.000	4.87	06/09/20	4.97	0.10 W	0.00	52 of 74 - Sale <sup>25</sup>
	10.000	48.70	06/09/20	49.70	1.00 W	0.00	53 of 74 - Sale <sup>25</sup>
	100.000	486.98	06/09/20	496.83	9.85 W	0.00	54 of 74 - Sale <sup>25</sup>
	100.000	486.98	06/09/20	498.50	11.52 W	0.00	55 of 74 - Sale <sup>25</sup>
	100.000	486.98	06/09/20	499.00	12.02 W	0.00	56 of 74 - Sale <sup>25</sup>
	100.000	486.98	06/09/20	498.82	11.84 W	0.00	57 of 74 - Sale <sup>25</sup>
	100.000	486.98	06/09/20	497.83	10.85 W	0.00	58 of 74 - Sale <sup>25</sup>
	100.000	486.98	06/09/20	497.83	10.85 W	0.00	59 of 74 - Sale <sup>25</sup>
	77.080	380.88	06/10/20	403.98	4.52 W	-18.58	60 of 74 - Sale <sup>25</sup>
	10.000	48.70	06/10/20	50.20	1.50 W	0.00	61 of 74 - Sale <sup>25</sup>
	1.000	4.94	06/10/20	5.26	0.32 W	0.00	62 of 74 - Sale <sup>25</sup>
	7.920	39.14	06/10/20	42.09	2.95 W	0.00	63 of 74 - Sale <sup>25</sup>
	9.620	47.54	06/10/20	51.05	3.51 W	0.00	64 of 74 - Sale <sup>25</sup>
	22.920	113.26	06/10/20	119.67	6.41 W	0.00	65 of 74 - Sale <sup>25</sup>
	27.620	136.48	06/10/20	143.66	7.18 W	0.00	66 of 74 - Sale <sup>25</sup>
	30.920	152.79	06/10/20	160.82	8.03 W	0.00	67 of 74 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	8.540	42.20	06/10/20	45.57	3.37 W	0.00	68 of 74 - Sale <sup>25</sup>
	8.540	42.20	06/10/20	44.29	2.09 W	0.00	69 of 74 - Sale <sup>25</sup>
	14.380	71.06	06/10/20	76.75	5.69 W	0.00	70 of 74 - Sale <sup>25</sup>
	17.620	87.07	06/10/20	94.23	7.16 W	0.00	71 of 74 - Sale <sup>25</sup>
	18.540	91.61	06/10/20	99.32	7.71 W	0.00	72 of 74 - Sale <sup>25</sup>
	22.380	110.59	06/10/20	119.68	9.09 W	0.00	73 of 74 - Sale <sup>25</sup>
	32.920	162.67	06/10/20	170.78	8.11 W	0.00	74 of 74 - Sale <sup>25</sup>
06/10/20	1,872.000	9,137.87	Various	9,565.71	409.26 W	-18.58	Total of 74 transactions
	46 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	100.000	443.17	06/10/20	511.02	...	-67.85	1 of 46 - Sale <sup>25</sup>
	100.000	443.16	06/10/20	507.01	...	-63.85	2 of 46 - Sale <sup>25</sup>
	100.000	443.17	06/10/20	506.84	...	-63.67	3 of 46 - Sale <sup>25</sup>
	100.000	443.16	06/10/20	506.52	...	-63.36	4 of 46 - Sale <sup>25</sup>
	100.000	443.16	06/10/20	505.84	...	-62.68	5 of 46 - Sale <sup>25</sup>
	100.000	443.17	06/10/20	504.84	...	-61.67	6 of 46 - Sale <sup>25</sup>
	49.080	217.51	06/10/20	257.32	...	-39.81	7 of 46 - Sale <sup>25</sup>
	49.080	217.51	06/10/20	255.43	...	-37.92	8 of 46 - Sale <sup>25</sup>
	61.000	270.33	06/10/20	305.52	...	-35.19	9 of 46 - Sale <sup>25</sup>
	48.540	215.11	06/10/20	248.05	...	-32.94	10 of 46 - Sale <sup>25</sup>
	39.000	172.84	06/10/20	197.28	...	-24.44	11 of 46 - Sale <sup>25</sup>
	23.840	105.65	06/10/20	125.11	...	-19.46	12 of 46 - Sale <sup>25</sup>
	16.700	74.01	06/10/20	87.82	...	-13.81	13 of 46 - Sale <sup>25</sup>
	17.080	75.69	06/10/20	88.87	...	-13.18	14 of 46 - Sale <sup>25</sup>
	17.080	75.69	06/10/20	88.52	...	-12.83	15 of 46 - Sale <sup>25</sup>
	15.300	67.80	06/10/20	79.62	...	-11.82	16 of 46 - Sale <sup>25</sup>
	14.380	63.73	06/10/20	75.39	...	-11.66	17 of 46 - Sale <sup>25</sup>
	15.300	67.80	06/10/20	79.44	...	-11.64	18 of 46 - Sale <sup>25</sup>
	9.620	42.63	06/10/20	50.65	...	-8.02	19 of 46 - Sale <sup>25</sup>
	10.000	44.32	06/10/20	52.32	...	-8.00	20 of 46 - Sale <sup>25</sup>
	10.460	46.36	06/10/20	53.97	...	-7.61	21 of 46 - Sale <sup>25</sup>
	10.000	44.32	06/10/20	51.71	...	-7.39	22 of 46 - Sale <sup>25</sup>
	9.080	40.24	06/10/20	47.15	...	-6.91	23 of 46 - Sale <sup>25</sup>
	7.920	35.10	06/10/20	41.76	...	-6.66	24 of 46 - Sale <sup>25</sup>
	10.000	44.32	06/10/20	50.50	...	-6.18	25 of 46 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	10.000	44.32	06/10/20	50.50	...	-6.18	26 of 46 - Sale <sup>25</sup>
	7.080	31.38	06/10/20	37.12	...	-5.74	27 of 46 - Sale <sup>25</sup>
	7.080	31.38	06/10/20	36.85	...	-5.47	28 of 46 - Sale <sup>25</sup>
	7.080	31.38	06/10/20	36.84	...	-5.46	29 of 46 - Sale <sup>25</sup>
	7.080	31.38	06/10/20	36.77	...	-5.39	30 of 46 - Sale <sup>25</sup>
	6.460	28.63	06/10/20	33.80	...	-5.17	31 of 46 - Sale <sup>25</sup>
	4.460	19.77	06/10/20	23.37	...	-3.60	32 of 46 - Sale <sup>25</sup>
	4.000	17.73	06/10/20	21.03	...	-3.30	33 of 46 - Sale <sup>25</sup>
	2.080	9.22	06/10/20	10.88	...	-1.66	34 of 46 - Sale <sup>25</sup>
	1.460	6.47	06/10/20	7.65	...	-1.18	35 of 46 - Sale <sup>25</sup>
	1.460	6.47	06/10/20	7.65	...	-1.18	36 of 46 - Sale <sup>25</sup>
	1.460	6.47	06/10/20	7.61	...	-1.14	37 of 46 - Sale <sup>25</sup>
	1.460	6.47	06/10/20	7.60	...	-1.13	38 of 46 - Sale <sup>25</sup>
	1.460	6.47	06/10/20	7.60	...	-1.13	39 of 46 - Sale <sup>25</sup>
	1.460	6.47	06/10/20	7.60	...	-1.13	40 of 46 - Sale <sup>25</sup>
	1.000	4.43	06/10/20	5.22	...	-0.79	41 of 46 - Sale <sup>25</sup>
	1.000	4.43	06/10/20	5.05	...	-0.62	42 of 46 - Sale <sup>25</sup>
	1.000	4.43	06/10/20	5.04	...	-0.61	43 of 46 - Sale <sup>25</sup>
	0.460	2.04	06/10/20	2.42	...	-0.38	44 of 46 - Sale <sup>25</sup>
	5.000	22.16	06/11/20	23.99	...	-1.83	45 of 46 - Sale <sup>25</sup>
	4.000	17.72	06/11/20	19.20	...	-1.48	46 of 46 - Sale <sup>25</sup>
06/11/20	1,110.000	4,919.17	Various	5,672.29	...	-753.12	Total of 46 transactions
	12 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	22.380	98.74	06/19/20	109.13	...	-10.39	1 of 12 - Sale <sup>25</sup>
	32.920	145.25	06/19/20	155.26	...	-10.01	2 of 12 - Sale <sup>25</sup>
	27.620	121.87	06/19/20	131.47	...	-9.60	3 of 12 - Sale <sup>25</sup>
	22.920	101.13	06/19/20	109.55	...	-8.42	4 of 12 - Sale <sup>25</sup>
	17.620	77.74	06/19/20	85.92	...	-8.18	5 of 12 - Sale <sup>25</sup>
	15.080	66.54	06/19/20	74.13	...	-7.59	6 of 12 - Sale <sup>25</sup>
	14.380	63.45	06/19/20	70.40	...	-6.95	7 of 12 - Sale <sup>25</sup>
	15.080	66.53	06/19/20	71.93	...	-5.40	8 of 12 - Sale <sup>25</sup>
	8.540	37.68	06/19/20	41.80	...	-4.12	9 of 12 - Sale <sup>25</sup>
	11.460	50.56	06/19/20	54.54	...	-3.98	10 of 12 - Sale <sup>25</sup>
	8.540	37.68	06/19/20	40.26	...	-2.58	11 of 12 - Sale <sup>25</sup>

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(continued)

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	3.460	15.27	06/19/20	16.91	...	-1.64	12 of 12 - Sale <sup>25</sup>
06/19/20	200.000	882.44	Various	961.30	...	-78.86	Total of 12 transactions
	<b>Security total:</b>	<b>42,621.38</b>		<b>44,133.54</b>	<b>674.70 W</b>	<b>-837.46</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	1.000	321.70	12/06/19	267.58	...	54.12	1 of 2 - Sale <sup>25</sup>
	1.000	321.70	12/06/19	267.58	...	54.12	2 of 2 - Sale <sup>25</sup>
02/05/20	2.000	643.40	Various	535.16	...	108.24	Total of 2 transactions
02/18/20	1.000	318.83	12/23/19	283.57	...	35.26	Sale <sup>25</sup>
5 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	1.000	324.30	12/23/19	283.57	...	40.73	1 of 5 - Sale <sup>25</sup>
	2.000	648.60	01/21/20	634.50	...	14.10	2 of 5 - Sale <sup>25</sup>
	1.000	319.17	01/28/20	321.79	...	-2.62	3 of 5 - Sale <sup>25</sup>
	1.000	324.30	01/28/20	321.79	...	2.51	4 of 5 - Sale <sup>25</sup>
	1.000	319.16	02/06/20	325.34	...	-6.18	5 of 5 - Sale <sup>25</sup>
02/20/20	6.000	1,935.53	Various	1,886.99	...	48.54	Total of 5 transactions
	<b>Security total:</b>	<b>2,897.76</b>		<b>2,705.72</b>	...	<b>192.04</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	70.000	965.96	06/01/20	992.60	...	-26.64	1 of 3 - Sale <sup>25</sup>
	29.000	400.19	06/01/20	411.80	...	-11.61	2 of 3 - Sale <sup>25</sup>
	1.000	13.80	06/01/20	14.66	...	-0.86	3 of 3 - Sale <sup>25</sup>
06/01/20	100.000	1,379.95	Various	1,419.06	...	-39.11	Total of 3 transactions
06/01/20	1.000	13.80	06/01/20	14.26	0.46 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,393.75</b>		<b>1,433.32</b>	<b>0.46 W</b>	<b>-39.11</b>	
AVINO SILVER & GOLD MINES LTD. (CANADA) / CUSIP: 053906103 / Symbol:							
6 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	400.000	219.94	07/10/19	232.80	...	-12.86	1 of 6 - Sale <sup>25</sup>
	100.000	54.99	07/12/19	54.50	...	0.49	2 of 6 - Sale <sup>25</sup>
	159.000	87.43	07/25/19	116.87	...	-29.44	3 of 6 - Sale <sup>25</sup>

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AVINO SILVER & GOLD MINES LTD. (CANADA) / CUSIP: 053906103 / Symbol: (cont'd)							
	41.000	22.54	07/25/19	30.14	...	-7.60	4 of 6 - Sale <sup>25</sup>
	100.000	54.99	10/28/19	58.00	...	-3.01	5 of 6 - Sale <sup>25</sup>
	200.000	109.97	12/23/19	104.43	...	5.54	6 of 6 - Sale <sup>25</sup>
01/13/20	1,000.000	549.86	Various	596.74	...	-46.88	Total of 6 transactions
BARCLAYS PLC / CUSIP: 06738E204 / Symbol:							
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	100.000	480.99	04/28/20	488.00	7.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	240.49	04/28/20	245.41	4.92 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	120.25	04/28/20	122.70	2.45 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	120.25	04/28/20	122.70	2.45 W	0.00	4 of 4 - Sale <sup>25</sup>
04/28/20	200.000	961.98	Various	978.81	16.83 W	0.00	Total of 4 transactions
13 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	25.000	147.30	05/26/20	138.90	...	8.40	1 of 13 - Sale <sup>25</sup>
	25.000	147.30	05/26/20	138.89	...	8.41	2 of 13 - Sale <sup>25</sup>
	50.000	294.60	05/26/20	277.81	...	16.79	3 of 13 - Sale <sup>25</sup>
	40.000	235.68	05/26/20	218.00	...	17.68	4 of 13 - Sale <sup>25</sup>
	60.000	353.52	05/26/20	327.00	...	26.52	5 of 13 - Sale <sup>25</sup>
	100.000	589.19	05/26/20	545.00	...	44.19	6 of 13 - Sale <sup>25</sup>
	100.000	589.19	05/26/20	545.00	...	44.19	7 of 13 - Sale <sup>25</sup>
	100.000	589.19	05/26/20	545.00	...	44.19	8 of 13 - Sale <sup>25</sup>
	100.000	589.20	05/26/20	545.00	...	44.20	9 of 13 - Sale <sup>25</sup>
	100.000	589.20	05/26/20	544.72	...	44.48	10 of 13 - Sale <sup>25</sup>
	100.000	589.19	05/26/20	544.00	...	45.19	11 of 13 - Sale <sup>25</sup>
	200.000	1,178.38	05/26/20	1,090.00	...	88.38	12 of 13 - Sale <sup>25</sup>
	400.000	2,356.78	05/26/20	2,183.12	...	173.66	13 of 13 - Sale <sup>25</sup>
05/27/20	1,400.000	8,248.72	Various	7,642.44	...	606.28	Total of 13 transactions
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	100.000	597.98	05/28/20	600.00	2.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	89.70	05/28/20	89.85	0.15 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	597.98	05/28/20	600.00	2.02 W	0.00	3 of 3 - Sale <sup>25</sup>
05/28/20	215.000	1,285.66	Various	1,289.85	4.19 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARCLAYS PLC / CUSIP: 06738E204 / Symbol: (cont'd)							
<i>11 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	89.58	05/29/20	85.50	...	4.08	1 of 11 - Sale <sup>25</sup>
	15.000	89.58	05/29/20	85.35	...	4.23	2 of 11 - Sale <sup>25</sup>
	85.000	507.62	05/29/20	484.52	...	23.10	3 of 11 - Sale <sup>25</sup>
	85.000	507.61	05/29/20	482.80	...	24.81	4 of 11 - Sale <sup>25</sup>
	100.000	597.19	05/29/20	570.02	...	27.17	5 of 11 - Sale <sup>25</sup>
	5.900	35.23	06/01/20	34.81	...	0.42	6 of 11 - Sale <sup>25</sup>
	100.000	597.20	06/01/20	594.99	...	2.21	7 of 11 - Sale <sup>25</sup>
	100.000	597.19	06/01/20	594.00	...	3.19	8 of 11 - Sale <sup>25</sup>
	100.000	597.20	06/01/20	594.00	...	3.20	9 of 11 - Sale <sup>25</sup>
	100.000	597.20	06/01/20	589.78	...	7.42	10 of 11 - Sale <sup>25</sup>
	100.000	597.19	06/01/20	588.78	...	8.41	11 of 11 - Sale <sup>25</sup>
06/01/20	805.900	4,812.79	Various	4,704.55	...	108.24	Total of 11 transactions
<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	609.07	06/04/20	618.00	8.93 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	304.53	06/04/20	305.89	1.36 W	0.00	2 of 2 - Sale <sup>25</sup>
06/04/20	150.000	913.60	Various	923.89	10.29 W	0.00	Total of 2 transactions
<i>10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	63.70	06/09/20	63.69	...	0.01	1 of 10 - Sale <sup>25</sup>
	5.000	31.85	06/09/20	31.80	...	0.05	2 of 10 - Sale <sup>25</sup>
	10.000	63.70	06/09/20	63.57	...	0.13	3 of 10 - Sale <sup>25</sup>
	15.000	95.55	06/09/20	95.40	...	0.15	4 of 10 - Sale <sup>25</sup>
	20.000	127.40	06/09/20	127.15	...	0.25	5 of 10 - Sale <sup>25</sup>
	20.000	127.39	06/09/20	127.14	...	0.25	6 of 10 - Sale <sup>25</sup>
	25.000	159.24	06/09/20	158.25	...	0.99	7 of 10 - Sale <sup>25</sup>
	20.000	127.39	06/09/20	126.20	...	1.19	8 of 10 - Sale <sup>25</sup>
	100.000	636.98	06/09/20	635.50	...	1.48	9 of 10 - Sale <sup>25</sup>
	1,000.000	6,369.74	06/09/20	6,360.00	...	9.74	10 of 10 - Sale <sup>25</sup>
06/09/20	1,225.000	7,802.94	Various	7,788.70	...	14.24	Total of 10 transactions
<i>6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	63.80	06/09/20	64.19	0.39 W	0.00	1 of 6 - Sale <sup>25</sup>
	90.000	573.27	06/09/20	577.74	4.47 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	318.49	06/09/20	318.98	0.49 W	0.00	3 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARCLAYS PLC / CUSIP: 06738E204 / Symbol: (cont'd)							
	25.000	159.24	06/09/20	159.49	0.25 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	63.70	06/09/20	63.80	0.10 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	636.97	06/09/20	638.00	1.03 W	0.00	6 of 6 - Sale <sup>25</sup>
06/09/20	285.000	1,815.47	Various	1,822.20	6.73 W	0.00	Total of 6 transactions
	18 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	500.000	3,129.92	06/09/20	3,185.00	...	-55.08	1 of 18 - Sale <sup>25</sup>
	280.000	1,752.76	06/09/20	1,769.57	...	-16.81	2 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/09/20	638.03	...	-12.05	3 of 18 - Sale <sup>25</sup>
	200.000	1,251.97	06/09/20	1,263.98	...	-12.01	4 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/09/20	635.99	...	-10.01	5 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/09/20	635.99	...	-10.01	6 of 18 - Sale <sup>25</sup>
	100.000	625.99	06/09/20	635.99	...	-10.00	7 of 18 - Sale <sup>25</sup>
	100.000	625.99	06/09/20	635.99	...	-10.00	8 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/09/20	633.99	...	-8.01	9 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/09/20	633.00	...	-7.02	10 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/09/20	631.99	...	-6.01	11 of 18 - Sale <sup>25</sup>
	25.000	156.50	06/09/20	159.25	...	-2.75	12 of 18 - Sale <sup>25</sup>
	10.000	62.60	06/09/20	63.70	...	-1.10	13 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/10/20	632.99	...	-7.01	14 of 18 - Sale <sup>25</sup>
	100.000	625.99	06/10/20	632.99	...	-7.00	15 of 18 - Sale <sup>25</sup>
	100.000	625.98	06/10/20	631.00	...	-5.02	16 of 18 - Sale <sup>25</sup>
	10.000	62.60	06/10/20	63.00	...	-0.40	17 of 18 - Sale <sup>25</sup>
	10.000	62.60	06/10/20	62.97	...	-0.37	18 of 18 - Sale <sup>25</sup>
06/10/20	2,135.000	13,364.76	Various	13,545.42	...	-180.66	Total of 18 transactions
06/10/20	50.000	312.99	06/09/20	318.49	1.21 W	-4.29	Sale <sup>25</sup>
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	5.72	06/10/20	6.40	...	-0.68	1 of 3 - Sale <sup>25</sup>
	5.000	28.62	06/11/20	29.84	...	-1.22	2 of 3 - Sale <sup>25</sup>
	5.000	28.61	06/11/20	29.74	...	-1.13	3 of 3 - Sale <sup>25</sup>
06/11/20	11.000	62.95	Various	65.98	...	-3.03	Total of 3 transactions
	<b>Security total:</b>	<b>39,581.86</b>		<b>39,080.33</b>	<b>39.25 W</b>	<b>540.78</b>	

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG LOTS, INC. / CUSIP: 089302103 / Symbol:							
<i>49 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
1.000		39.28	05/29/20	39.23	...	0.05	1 of 49 - Sale <sup>25</sup>
5.000		196.39	05/29/20	196.12	...	0.27	2 of 49 - Sale <sup>25</sup>
1.000		39.28	05/29/20	39.00	...	0.28	3 of 49 - Sale <sup>25</sup>
1.000		39.28	05/29/20	38.76	...	0.52	4 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	38.42	...	0.58	5 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	38.41	...	0.59	6 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	38.41	...	0.59	7 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	38.40	...	0.60	8 of 49 - Sale <sup>25</sup>
5.000		194.99	05/29/20	194.25	...	0.74	9 of 49 - Sale <sup>25</sup>
5.000		196.39	05/29/20	195.37	...	1.02	10 of 49 - Sale <sup>25</sup>
2.000		78.00	05/29/20	76.72	...	1.28	11 of 49 - Sale <sup>25</sup>
5.000		196.39	05/29/20	194.98	...	1.41	12 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	37.58	...	1.42	13 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	37.55	...	1.45	14 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	37.40	...	1.60	15 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	37.25	...	1.75	16 of 49 - Sale <sup>25</sup>
4.000		157.12	05/29/20	155.34	...	1.78	17 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.83	...	2.17	18 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.80	...	2.20	19 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.77	...	2.23	20 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.76	...	2.24	21 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.73	...	2.27	22 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.73	...	2.27	23 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.70	...	2.30	24 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.69	...	2.31	25 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.69	...	2.31	26 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.61	...	2.39	27 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.58	...	2.42	28 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.55	...	2.45	29 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.49	...	2.51	30 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.48	...	2.52	31 of 49 - Sale <sup>25</sup>
1.000		39.00	05/29/20	36.45	...	2.55	32 of 49 - Sale <sup>25</sup>
21.000		818.47	05/29/20	815.85	...	2.62	33 of 49 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
	10.000	392.79	05/29/20	389.97	...	2.82	34 of 49 - Sale <sup>25</sup>
	10.000	389.99	05/29/20	386.50	...	3.49	35 of 49 - Sale <sup>25</sup>
	10.000	389.98	05/29/20	385.95	...	4.03	36 of 49 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	190.00	...	4.99	37 of 49 - Sale <sup>25</sup>
	5.000	195.00	05/29/20	190.00	...	5.00	38 of 49 - Sale <sup>25</sup>
	15.000	584.99	05/29/20	579.75	...	5.24	39 of 49 - Sale <sup>25</sup>
	45.000	1,753.93	05/29/20	1,748.25	...	5.68	40 of 49 - Sale <sup>25</sup>
	10.000	389.99	05/29/20	384.00	...	5.99	41 of 49 - Sale <sup>25</sup>
	20.000	785.58	05/29/20	778.33	...	7.25	42 of 49 - Sale <sup>25</sup>
	10.000	389.99	05/29/20	382.42	...	7.57	43 of 49 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	187.25	...	7.74	44 of 49 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	187.19	...	7.80	45 of 49 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	187.10	...	7.89	46 of 49 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	186.95	...	8.04	47 of 49 - Sale <sup>25</sup>
	25.000	981.97	05/29/20	963.25	...	18.72	48 of 49 - Sale <sup>25</sup>
	51.000	2,003.22	05/29/20	1,980.60	...	22.62	49 of 49 - Sale <sup>25</sup>
05/29/20	309.000	12,084.97	Various	11,906.41	...	178.56	Total of 49 transactions
	111 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	39.86	05/29/20	42.15	2.29 W	0.00	1 of 111 - Sale <sup>25</sup>
	1.000	39.86	05/29/20	42.14	2.28 W	0.00	2 of 111 - Sale <sup>25</sup>
	1.000	39.86	05/29/20	42.10	2.24 W	0.00	3 of 111 - Sale <sup>25</sup>
	10.000	398.56	05/29/20	421.50	22.94 W	0.00	4 of 111 - Sale <sup>25</sup>
	10.000	398.55	05/29/20	421.50	22.95 W	0.00	5 of 111 - Sale <sup>25</sup>
	1.000	37.55	05/29/20	41.89	4.34 W	0.00	6 of 111 - Sale <sup>25</sup>
	1.000	37.55	05/29/20	41.78	4.23 W	0.00	7 of 111 - Sale <sup>25</sup>
	1.000	37.55	05/29/20	41.74	4.19 W	0.00	8 of 111 - Sale <sup>25</sup>
	5.000	187.74	05/29/20	212.97	25.23 W	0.00	9 of 111 - Sale <sup>25</sup>
	5.000	187.74	05/29/20	210.47	22.73 W	0.00	10 of 111 - Sale <sup>25</sup>
	2.000	75.10	05/29/20	84.55	9.45 W	0.00	11 of 111 - Sale <sup>25</sup>
	1.000	38.00	05/29/20	42.27	4.27 W	0.00	12 of 111 - Sale <sup>25</sup>
	2.000	76.10	05/29/20	84.55	8.45 W	0.00	13 of 111 - Sale <sup>25</sup>
	1.000	38.05	05/29/20	42.13	4.08 W	0.00	14 of 111 - Sale <sup>25</sup>
	1.000	38.05	05/29/20	41.94	3.89 W	0.00	15 of 111 - Sale <sup>25</sup>
	1.000	38.05	05/29/20	41.79	3.74 W	0.00	16 of 111 - Sale <sup>25</sup>

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(continued)

02/14/2021

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BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
	1.000	38.45	05/29/20	43.84	5.39 W	0.00	17 of 111 - Sale <sup>25</sup>
	1.000	38.45	05/29/20	43.73	5.28 W	0.00	18 of 111 - Sale <sup>25</sup>
	2.000	76.90	05/29/20	83.59	6.69 W	0.00	19 of 111 - Sale <sup>25</sup>
	1.000	38.45	05/29/20	43.66	5.21 W	0.00	20 of 111 - Sale <sup>25</sup>
	4.000	153.80	05/29/20	178.06	24.26 W	0.00	21 of 111 - Sale <sup>25</sup>
	1.000	38.45	05/29/20	44.25	5.80 W	0.00	22 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	43.47	4.47 W	0.00	23 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	43.28	4.28 W	0.00	24 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	43.09	4.09 W	0.00	25 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	42.94	3.94 W	0.00	26 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	42.55	3.55 W	0.00	27 of 111 - Sale <sup>25</sup>
	2.000	78.00	05/29/20	87.85	9.85 W	0.00	28 of 111 - Sale <sup>25</sup>
	2.000	78.00	05/29/20	86.85	8.85 W	0.00	29 of 111 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	218.73	23.74 W	0.00	30 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	42.69	3.69 W	0.00	31 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	44.74	5.74 W	0.00	32 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	44.63	5.63 W	0.00	33 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	44.56	5.56 W	0.00	34 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	45.15	6.15 W	0.00	35 of 111 - Sale <sup>25</sup>
	4.000	156.00	05/29/20	181.66	25.66 W	0.00	36 of 111 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	196.75	1.76 W	0.00	37 of 111 - Sale <sup>25</sup>
	1.000	39.00	05/29/20	39.35	0.35 W	0.00	38 of 111 - Sale <sup>25</sup>
	5.000	194.99	05/29/20	196.00	1.01 W	0.00	39 of 111 - Sale <sup>25</sup>
	1.000	38.98	05/29/20	43.32	4.34 W	0.00	40 of 111 - Sale <sup>25</sup>
	1.000	38.98	05/29/20	43.13	4.15 W	0.00	41 of 111 - Sale <sup>25</sup>
	1.000	38.98	05/29/20	42.94	3.96 W	0.00	42 of 111 - Sale <sup>25</sup>
	1.000	38.98	05/29/20	42.79	3.81 W	0.00	43 of 111 - Sale <sup>25</sup>
	1.000	38.98	05/29/20	42.40	3.42 W	0.00	44 of 111 - Sale <sup>25</sup>
	1.000	38.97	05/29/20	42.54	3.57 W	0.00	45 of 111 - Sale <sup>25</sup>
	1.000	38.98	05/29/20	44.59	5.61 W	0.00	46 of 111 - Sale <sup>25</sup>
	1.000	38.97	05/29/20	44.48	5.51 W	0.00	47 of 111 - Sale <sup>25</sup>
	1.000	38.98	05/29/20	44.41	5.43 W	0.00	48 of 111 - Sale <sup>25</sup>
	1.000	38.97	05/29/20	45.00	6.03 W	0.00	49 of 111 - Sale <sup>25</sup>
	1.000	38.97	05/29/20	39.20	0.23 W	0.00	50 of 111 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
	2.000	77.95	05/29/20	87.55	9.60 W	0.00	51 of 111 - Sale <sup>25</sup>
	2.000	77.95	05/29/20	86.55	8.60 W	0.00	52 of 111 - Sale <sup>25</sup>
	4.000	155.90	05/29/20	181.06	25.16 W	0.00	53 of 111 - Sale <sup>25</sup>
	5.000	194.88	05/29/20	217.99	23.11 W	0.00	54 of 111 - Sale <sup>25</sup>
	5.000	194.88	05/29/20	196.01	1.13 W	0.00	55 of 111 - Sale <sup>25</sup>
	5.000	194.88	05/29/20	195.26	0.38 W	0.00	56 of 111 - Sale <sup>25</sup>
	5.000	193.57	05/29/20	218.86	25.29 W	0.00	57 of 111 - Sale <sup>25</sup>
	2.000	77.43	05/29/20	87.64	10.21 W	0.00	58 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	43.36	4.65 W	0.00	59 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	43.32	4.61 W	0.00	60 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	43.32	4.61 W	0.00	61 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	43.17	4.46 W	0.00	62 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	42.98	4.27 W	0.00	63 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	42.83	4.12 W	0.00	64 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	42.44	3.73 W	0.00	65 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	42.59	3.88 W	0.00	66 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	44.63	5.92 W	0.00	67 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	44.53	5.82 W	0.00	68 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	44.45	5.74 W	0.00	69 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	45.05	6.34 W	0.00	70 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	39.25	0.54 W	0.00	71 of 111 - Sale <sup>25</sup>
	4.000	154.86	05/29/20	181.24	26.38 W	0.00	72 of 111 - Sale <sup>25</sup>
	5.000	193.57	05/29/20	196.23	2.66 W	0.00	73 of 111 - Sale <sup>25</sup>
	5.000	193.57	05/29/20	195.48	1.91 W	0.00	74 of 111 - Sale <sup>25</sup>
	91.000	3,523.03	05/29/20	3,550.82	27.79 W	0.00	75 of 111 - Sale <sup>25</sup>
	1.000	38.71	05/29/20	38.94	0.23 W	0.00	76 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	43.34	4.81 W	0.00	77 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	43.30	4.77 W	0.00	78 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	43.30	4.77 W	0.00	79 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	43.15	4.62 W	0.00	80 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	42.96	4.43 W	0.00	81 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	42.81	4.28 W	0.00	82 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	42.42	3.89 W	0.00	83 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	42.57	4.04 W	0.00	84 of 111 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
	1.000	38.53	05/29/20	44.61	6.08 W	0.00	85 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	44.51	5.98 W	0.00	86 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	44.43	5.90 W	0.00	87 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	45.03	6.50 W	0.00	88 of 111 - Sale <sup>25</sup>
	1.000	38.53	05/29/20	39.23	0.70 W	0.00	89 of 111 - Sale <sup>25</sup>
	2.000	77.06	05/29/20	87.59	10.53 W	0.00	90 of 111 - Sale <sup>25</sup>
	4.000	154.11	05/29/20	181.14	27.03 W	0.00	91 of 111 - Sale <sup>25</sup>
	5.000	192.65	05/29/20	218.74	26.09 W	0.00	92 of 111 - Sale <sup>25</sup>
	5.000	192.64	05/29/20	196.11	3.47 W	0.00	93 of 111 - Sale <sup>25</sup>
	5.000	192.64	05/29/20	195.36	2.72 W	0.00	94 of 111 - Sale <sup>25</sup>
	16.000	616.46	05/29/20	623.93	7.47 W	0.00	95 of 111 - Sale <sup>25</sup>
	20.000	770.58	05/29/20	778.31	7.73 W	0.00	96 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	43.34	4.06 W	0.00	97 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	43.30	4.02 W	0.00	98 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	43.30	4.02 W	0.00	99 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	43.15	3.87 W	0.00	100 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	42.96	3.68 W	0.00	101 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	42.81	3.53 W	0.00	102 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	42.42	3.14 W	0.00	103 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	42.57	3.29 W	0.00	104 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	44.61	5.33 W	0.00	105 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	44.51	5.23 W	0.00	106 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	44.43	5.15 W	0.00	107 of 111 - Sale <sup>25</sup>
	1.000	39.28	05/29/20	45.03	5.75 W	0.00	108 of 111 - Sale <sup>25</sup>
	2.000	78.56	05/29/20	87.59	9.03 W	0.00	109 of 111 - Sale <sup>25</sup>
	4.000	157.12	05/29/20	181.15	24.03 W	0.00	110 of 111 - Sale <sup>25</sup>
	5.000	196.39	05/29/20	218.74	22.35 W	0.00	111 of 111 - Sale <sup>25</sup>
05/29/20	341.000	13,222.25	Various	14,059.99	837.74 W	0.00	Total of 111 transactions
	48 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	1.000	37.96	05/29/20	43.40	5.44 W	0.00	1 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	43.36	5.40 W	0.00	2 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	43.36	5.40 W	0.00	3 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	43.21	5.25 W	0.00	4 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	43.02	5.06 W	0.00	5 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
	1.000	37.96	05/29/20	42.87	4.91 W	0.00	6 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	42.48	4.52 W	0.00	7 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	42.63	4.67 W	0.00	8 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	44.67	6.71 W	0.00	9 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	44.57	6.61 W	0.00	10 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	44.49	6.53 W	0.00	11 of 48 - Sale <sup>25</sup>
	2.000	75.92	05/29/20	87.71	11.79 W	0.00	12 of 48 - Sale <sup>25</sup>
	2.000	75.92	05/29/20	90.69	14.77 W	0.00	13 of 48 - Sale <sup>25</sup>
	5.000	189.80	05/29/20	219.05	29.25 W	0.00	14 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	45.00	7.04 W	0.00	15 of 48 - Sale <sup>25</sup>
	2.000	75.92	05/29/20	90.51	14.59 W	0.00	16 of 48 - Sale <sup>25</sup>
	17.000	645.30	05/29/20	667.25	21.95 W	0.00	17 of 48 - Sale <sup>25</sup>
	25.000	948.97	05/29/20	968.75	19.78 W	0.00	18 of 48 - Sale <sup>25</sup>
	1.000	37.96	05/29/20	38.75	0.79 W	0.00	19 of 48 - Sale <sup>25</sup>
	5.000	189.79	05/29/20	193.75	3.96 W	0.00	20 of 48 - Sale <sup>25</sup>
	5.000	189.79	05/29/20	193.75	3.96 W	0.00	21 of 48 - Sale <sup>25</sup>
	5.000	189.79	05/29/20	193.75	3.96 W	0.00	22 of 48 - Sale <sup>25</sup>
	19.000	721.22	06/01/20	730.55	9.33 W	0.00	23 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	44.30	6.33 W	0.00	24 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	43.82	5.85 W	0.00	25 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	43.78	5.81 W	0.00	26 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	43.78	5.81 W	0.00	27 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	43.63	5.66 W	0.00	28 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	43.44	5.47 W	0.00	29 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	43.29	5.32 W	0.00	30 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	42.90	4.93 W	0.00	31 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	43.05	5.08 W	0.00	32 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	45.09	7.12 W	0.00	33 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	44.99	7.02 W	0.00	34 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	44.91	6.94 W	0.00	35 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	45.42	7.45 W	0.00	36 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	39.17	1.20 W	0.00	37 of 48 - Sale <sup>25</sup>
	2.000	75.94	06/01/20	88.55	12.61 W	0.00	38 of 48 - Sale <sup>25</sup>
	2.000	75.94	06/01/20	91.53	15.59 W	0.00	39 of 48 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
	2.000	75.94	06/01/20	91.35	15.41 W	0.00	40 of 48 - Sale <sup>25</sup>
	4.000	151.89	06/01/20	176.92	25.03 W	0.00	41 of 48 - Sale <sup>25</sup>
	5.000	189.86	06/01/20	195.86	6.00 W	0.00	42 of 48 - Sale <sup>25</sup>
	5.000	189.86	06/01/20	195.86	6.00 W	0.00	43 of 48 - Sale <sup>25</sup>
	5.000	189.86	06/01/20	195.86	6.00 W	0.00	44 of 48 - Sale <sup>25</sup>
	17.000	645.52	06/01/20	674.41	28.89 W	0.00	45 of 48 - Sale <sup>25</sup>
	25.000	949.30	06/01/20	979.28	29.98 W	0.00	46 of 48 - Sale <sup>25</sup>
	19.000	721.46	06/01/20	738.55	17.09 W	0.00	47 of 48 - Sale <sup>25</sup>
	1.000	37.97	06/01/20	38.38	0.41 W	0.00	48 of 48 - Sale <sup>25</sup>
06/01/20	201.000	7,631.02	Various	8,075.69	444.67 W	0.00	Total of 48 transactions
34 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	17.000	589.44	06/16/20	629.26	...	-39.82	1 of 34 - Sale <sup>25</sup>
	4.000	138.69	06/16/20	165.96	...	-27.27	2 of 34 - Sale <sup>25</sup>
	19.000	655.97	06/16/20	680.96	...	-24.99	3 of 34 - Sale <sup>25</sup>
	13.000	450.74	06/16/20	474.70	...	-23.96	4 of 34 - Sale <sup>25</sup>
	47.486	1,639.42	06/16/20	1,657.24	...	-17.82	5 of 34 - Sale <sup>25</sup>
	2.000	69.35	06/16/20	86.22	...	-16.87	6 of 34 - Sale <sup>25</sup>
	2.000	69.35	06/16/20	86.04	...	-16.69	7 of 34 - Sale <sup>25</sup>
	2.000	69.34	06/16/20	83.24	...	-13.90	8 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	42.77	...	-8.10	9 of 34 - Sale <sup>25</sup>
	5.000	172.62	06/16/20	180.70	...	-8.08	10 of 34 - Sale <sup>25</sup>
	5.000	172.62	06/16/20	180.70	...	-8.08	11 of 34 - Sale <sup>25</sup>
	5.000	172.62	06/16/20	180.70	...	-8.08	12 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	42.44	...	-7.77	13 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	42.34	...	-7.67	14 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	42.26	...	-7.59	15 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	41.17	...	-6.50	16 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	41.13	...	-6.46	17 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	41.13	...	-6.46	18 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	40.98	...	-6.31	19 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	40.79	...	-6.12	20 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	40.64	...	-5.97	21 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	40.40	...	-5.73	22 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	40.25	...	-5.58	23 of 34 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
	0.514	17.85	06/16/20	21.39	...	-3.54	24 of 34 - Sale <sup>25</sup>
	0.486	16.76	06/16/20	20.19	...	-3.43	25 of 34 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	36.14	...	-1.62	26 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	36.21	...	-1.54	27 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	36.20	...	-1.53	28 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	36.19	...	-1.52	29 of 34 - Sale <sup>25</sup>
	1.000	34.68	06/16/20	36.18	...	-1.50	30 of 34 - Sale <sup>25</sup>
	1.000	34.68	06/16/20	36.17	...	-1.49	31 of 34 - Sale <sup>25</sup>
	1.000	34.67	06/16/20	36.15	...	-1.48	32 of 34 - Sale <sup>25</sup>
	3.514	121.34	06/16/20	122.80	...	-1.46	33 of 34 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	35.35	...	-0.83	34 of 34 - Sale <sup>25</sup>
06/16/20	146.000	5,049.23	Various	5,354.99	...	-305.76	Total of 34 transactions
	20 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	25.000	863.11	06/16/20	903.49	30.69 W	-9.69	1 of 20 - Sale <sup>25</sup>
	0.486	16.77	06/16/20	20.00	3.23 W	0.00	2 of 20 - Sale <sup>25</sup>
	0.514	17.76	06/16/20	21.19	3.43 W	0.00	3 of 20 - Sale <sup>25</sup>
	4.000	138.10	06/16/20	164.46	26.36 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	40.79	6.27 W	0.00	5 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	40.75	6.23 W	0.00	6 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	40.75	6.23 W	0.00	7 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	40.60	6.08 W	0.00	8 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	40.41	5.89 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	40.26	5.74 W	0.00	10 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	39.87	5.35 W	0.00	11 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	40.02	5.50 W	0.00	12 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	42.06	7.54 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	41.96	7.44 W	0.00	14 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	41.88	7.36 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	34.52	06/16/20	42.39	7.87 W	0.00	16 of 20 - Sale <sup>25</sup>
	2.000	69.05	06/16/20	82.49	13.44 W	0.00	17 of 20 - Sale <sup>25</sup>
	2.000	69.05	06/16/20	85.47	16.42 W	0.00	18 of 20 - Sale <sup>25</sup>
	2.000	69.05	06/16/20	85.29	16.24 W	0.00	19 of 20 - Sale <sup>25</sup>
	17.000	586.91	06/16/20	622.87	35.96 W	0.00	20 of 20 - Sale <sup>25</sup>
06/16/20	65.000	2,244.04	Various	2,477.00	223.27 W	-9.69	Total of 20 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG LOTS, INC. / CUSIP: 089302103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>40,231.51</b>		<b>41,874.08</b>	<b>1,505.68 W</b>	<b>-136.89</b>	
CHINA HGS REAL ESTATE, INC. CO MMON STOCK / CUSIP: 16948W209 / Symbol:							
12/14/20	8.000	15.40	12/04/20	40.87	...	-25.47	Sale <sup>25</sup>
CITIZENS FINANCIAL GROUP, INC. / CUSIP: 174610105 / Symbol:							
01/21/20	10.000	403.35	01/21/20	406.20	...	-2.85	Sale <sup>25</sup>
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
05/05/20	5.000	1,046.47	05/05/20	1,049.05	2.58 W	0.00	Sale <sup>25</sup>
	12 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	228.90	05/20/20	227.37	...	1.53	1 of 12 - Sale <sup>25</sup>
	1.000	228.90	05/20/20	227.34	...	1.56	2 of 12 - Sale <sup>25</sup>
	1.000	228.90	05/20/20	227.03	...	1.87	3 of 12 - Sale <sup>25</sup>
	1.000	228.90	05/20/20	227.00	...	1.90	4 of 12 - Sale <sup>25</sup>
	2.000	457.79	05/20/20	454.80	...	2.99	5 of 12 - Sale <sup>25</sup>
	2.000	457.79	05/20/20	454.10	...	3.69	6 of 12 - Sale <sup>25</sup>
	2.000	457.79	05/20/20	453.80	...	3.99	7 of 12 - Sale <sup>25</sup>
	2.000	457.79	05/20/20	453.68	...	4.11	8 of 12 - Sale <sup>25</sup>
	5.000	1,144.48	05/20/20	1,140.04	...	4.44	9 of 12 - Sale <sup>25</sup>
	5.000	1,148.37	05/20/20	1,143.78	...	4.59	10 of 12 - Sale <sup>25</sup>
	5.000	1,144.48	05/20/20	1,138.00	...	6.48	11 of 12 - Sale <sup>25</sup>
	5.000	1,144.48	05/20/20	1,137.47	...	7.01	12 of 12 - Sale <sup>25</sup>
05/20/20	32.000	7,328.57	Various	7,284.41	...	44.16	Total of 12 transactions
	3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	20.000	4,577.91	05/20/20	4,578.00	0.09 W	0.00	1 of 3 - Sale <sup>25</sup>
	58.000	13,275.95	05/20/20	13,310.82	34.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	2,288.95	05/20/20	2,303.49	14.54 W	0.00	3 of 3 - Sale <sup>25</sup>
05/20/20	88.000	20,142.81	Various	20,192.31	49.50 W	0.00	Total of 3 transactions
	6 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	5.000	1,168.83	05/20/20	1,155.04	...	13.79	1 of 6 - Sale <sup>25</sup>
	5.000	1,168.82	05/20/20	1,153.83	...	14.99	2 of 6 - Sale <sup>25</sup>
	5.000	1,168.82	05/20/20	1,143.77	...	25.05	3 of 6 - Sale <sup>25</sup>
	10.000	2,337.65	05/20/20	2,292.51	...	45.14	4 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
	10.000	2,337.65	05/20/20	2,286.54	...	51.11	5 of 6 - Sale <sup>25</sup>
	20.000	4,675.29	05/20/20	4,582.03	...	93.26	6 of 6 - Sale <sup>25</sup>
05/21/20	55.000	12,857.06	Various	12,613.72	...	243.34	Total of 6 transactions
	7 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	233.76	05/21/20	234.80	1.04 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	233.77	05/21/20	235.00	1.23 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	233.76	05/21/20	234.90	1.14 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	233.77	05/21/20	234.77	1.00 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	233.76	05/21/20	234.60	0.84 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	232.80	05/21/20	234.91	2.11 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	232.85	05/21/20	234.91	2.06 W	0.00	7 of 7 - Sale <sup>25</sup>
05/21/20	7.000	1,634.47	Various	1,643.89	9.42 W	0.00	Total of 7 transactions
	35 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	0.010	2.43	05/21/20	2.42	...	0.01	1 of 35 - Sale <sup>25</sup>
	0.205	47.81	05/21/20	47.58	...	0.23	2 of 35 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	232.25	...	0.48	3 of 35 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	232.12	...	0.61	4 of 35 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	231.56	...	1.17	5 of 35 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	231.53	...	1.20	6 of 35 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	231.30	...	1.43	7 of 35 - Sale <sup>25</sup>
	5.000	1,163.65	05/21/20	1,162.05	...	1.60	8 of 35 - Sale <sup>25</sup>
	5.000	1,163.64	05/21/20	1,161.30	...	2.34	9 of 35 - Sale <sup>25</sup>
	5.000	1,163.65	05/21/20	1,161.25	...	2.40	10 of 35 - Sale <sup>25</sup>
	5.000	1,163.65	05/21/20	1,160.86	...	2.79	11 of 35 - Sale <sup>25</sup>
	5.000	1,163.65	05/21/20	1,160.82	...	2.83	12 of 35 - Sale <sup>25</sup>
	5.000	1,163.65	05/21/20	1,160.28	...	3.37	13 of 35 - Sale <sup>25</sup>
	5.000	1,171.11	05/22/20	1,190.17	...	-19.06	14 of 35 - Sale <sup>25</sup>
	5.000	1,171.11	05/22/20	1,187.37	...	-16.26	15 of 35 - Sale <sup>25</sup>
	4.216	987.45	05/22/20	1,002.22	...	-14.77	16 of 35 - Sale <sup>25</sup>
	4.216	987.45	05/22/20	999.07	...	-11.62	17 of 35 - Sale <sup>25</sup>
	5.784	1,354.77	05/22/20	1,365.69	...	-10.92	18 of 35 - Sale <sup>25</sup>
	3.000	702.66	05/22/20	710.61	...	-7.95	19 of 35 - Sale <sup>25</sup>
	2.000	468.44	05/22/20	476.06	...	-7.62	20 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	237.73	...	-3.51	21 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
	1.000	234.22	05/22/20	237.68	...	-3.46	22 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	237.52	...	-3.30	23 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	236.85	...	-2.63	24 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	236.76	...	-2.54	25 of 35 - Sale <sup>25</sup>
	2.000	468.44	05/22/20	470.94	...	-2.50	26 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	236.66	...	-2.44	27 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	236.62	...	-2.40	28 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	236.46	...	-2.24	29 of 35 - Sale <sup>25</sup>
	0.784	183.66	05/22/20	185.89	...	-2.23	30 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	235.94	...	-1.72	31 of 35 - Sale <sup>25</sup>
	1.000	234.22	05/22/20	235.87	...	-1.65	32 of 35 - Sale <sup>25</sup>
	0.216	50.56	05/22/20	51.31	...	-0.75	33 of 35 - Sale <sup>25</sup>
	1.000	232.73	05/22/20	229.68	...	3.05	34 of 35 - Sale <sup>25</sup>
	1.000	232.72	05/22/20	229.60	...	3.12	35 of 35 - Sale <sup>25</sup>
05/22/20	79.432	18,549.08	Various	18,642.02	...	-92.94	Total of 35 transactions
	28 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	0.216	50.24	05/21/20	50.71	0.47 W	0.00	1 of 28 - Sale <sup>25</sup>
	7.784	1,811.60	05/21/20	1,828.59	16.99 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	235.79	3.06 W	0.00	3 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	235.98	3.25 W	0.00	4 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	235.89	3.16 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	235.75	3.02 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	235.59	2.86 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	236.86	4.13 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	236.81	4.08 W	0.00	9 of 28 - Sale <sup>25</sup>
	3.000	698.19	05/21/20	706.05	7.86 W	0.00	10 of 28 - Sale <sup>25</sup>
	10.000	2,327.30	05/21/20	2,362.04	34.74 W	0.00	11 of 28 - Sale <sup>25</sup>
	0.216	50.24	05/21/20	51.12	0.88 W	0.00	12 of 28 - Sale <sup>25</sup>
	9.784	2,277.05	05/21/20	2,295.35	18.30 W	0.00	13 of 28 - Sale <sup>25</sup>
	15.000	3,490.95	05/21/20	3,532.02	41.07 W	0.00	14 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	235.00	2.27 W	0.00	15 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	234.49	1.76 W	0.00	16 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	234.62	1.89 W	0.00	17 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	234.78	2.05 W	0.00	18 of 28 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
	1.000	232.73	05/21/20	234.46	1.73 W	0.00	19 of 28 - Sale <sup>25</sup>
	5.000	1,163.65	05/21/20	1,172.63	8.98 W	0.00	20 of 28 - Sale <sup>25</sup>
	5.000	1,163.65	05/21/20	1,171.27	7.62 W	0.00	21 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	233.60	0.87 W	0.00	22 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	233.89	1.16 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	233.75	1.02 W	0.00	24 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	233.48	0.75 W	0.00	25 of 28 - Sale <sup>25</sup>
	1.000	232.73	05/21/20	233.45	0.72 W	0.00	26 of 28 - Sale <sup>25</sup>
	2.784	652.10	05/22/20	658.26	4.90 W	-1.26	27 of 28 - Sale <sup>25</sup>
	5.000	1,171.10	05/22/20	1,179.66	8.56 W	0.00	28 of 28 - Sale <sup>25</sup>
05/22/20	80.784	18,812.48	Various	19,001.89	188.15 W	-1.26	Total of 28 transactions
20 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	1,178.67	05/26/20	1,190.78	...	-12.11	1 of 20 - Sale <sup>25</sup>
	5.000	1,178.68	05/26/20	1,189.43	...	-10.75	2 of 20 - Sale <sup>25</sup>
	2.784	656.31	05/26/20	665.68	...	-9.37	3 of 20 - Sale <sup>25</sup>
	2.216	522.36	05/26/20	527.04	...	-4.68	4 of 20 - Sale <sup>25</sup>
	1.000	235.73	05/26/20	238.63	...	-2.90	5 of 20 - Sale <sup>25</sup>
	1.000	235.74	05/26/20	238.59	...	-2.85	6 of 20 - Sale <sup>25</sup>
	1.000	235.74	05/26/20	238.41	...	-2.67	7 of 20 - Sale <sup>25</sup>
	1.000	235.73	05/26/20	238.25	...	-2.52	8 of 20 - Sale <sup>25</sup>
	1.000	235.73	05/26/20	238.12	...	-2.39	9 of 20 - Sale <sup>25</sup>
	1.000	235.73	05/26/20	238.09	...	-2.36	10 of 20 - Sale <sup>25</sup>
	1.000	235.73	05/26/20	237.90	...	-2.17	11 of 20 - Sale <sup>25</sup>
	1.000	235.74	05/26/20	237.82	...	-2.08	12 of 20 - Sale <sup>25</sup>
	1.000	235.73	05/26/20	237.52	...	-1.79	13 of 20 - Sale <sup>25</sup>
	0.784	184.84	05/26/20	186.60	...	-1.76	14 of 20 - Sale <sup>25</sup>
	1.000	235.74	05/26/20	237.23	...	-1.49	15 of 20 - Sale <sup>25</sup>
	1.000	235.73	05/26/20	236.89	...	-1.16	16 of 20 - Sale <sup>25</sup>
	1.000	235.74	05/26/20	236.86	...	-1.12	17 of 20 - Sale <sup>25</sup>
	0.784	184.85	05/26/20	185.96	...	-1.11	18 of 20 - Sale <sup>25</sup>
	0.216	50.89	05/26/20	51.49	...	-0.60	19 of 20 - Sale <sup>25</sup>
	0.216	50.89	05/26/20	51.25	...	-0.36	20 of 20 - Sale <sup>25</sup>
05/26/20	29.000	6,836.30	Various	6,902.54	...	-66.24	Total of 20 transactions
05/28/20	1.000	229.24	05/28/20	231.21	...	-1.97	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
<b>Security total:</b>		<b>87,436.48</b>		<b>87,561.04</b>	<b>249.65 W</b>	<b>125.09</b>	
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	3.000	103.64	01/16/20	106.68	...	-3.04	1 of 2 - Sale <sup>25</sup>
	1.000	34.54	01/22/20	35.03	...	-0.49	2 of 2 - Sale <sup>25</sup>
01/24/20	4.000	138.18	Various	141.71	...	-3.53	Total of 2 transactions
01/24/20	10.000	345.45	01/10/20	347.00	0.16 W	-1.39	Sale <sup>25</sup>
01/28/20	1.000	33.57	01/22/20	35.19	...	-1.62	Sale <sup>25</sup>
<b>Security total:</b>		<b>517.20</b>		<b>523.90</b>	<b>0.16 W</b>	<b>-6.54</b>	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
31 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	500.000	1,894.94	12/24/20	2,038.75	...	-143.81	1 of 31 - Sale <sup>25</sup>
	500.000	1,894.95	12/24/20	2,024.30	...	-129.35	2 of 31 - Sale <sup>25</sup>
	200.000	757.98	12/24/20	844.00	...	-86.02	3 of 31 - Sale <sup>25</sup>
	200.000	757.98	12/24/20	844.00	...	-86.02	4 of 31 - Sale <sup>25</sup>
	200.000	757.98	12/24/20	844.00	...	-86.02	5 of 31 - Sale <sup>25</sup>
	200.000	757.98	12/24/20	844.00	...	-86.02	6 of 31 - Sale <sup>25</sup>
	200.000	757.98	12/24/20	838.00	...	-80.02	7 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	418.00	...	-39.01	8 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	418.00	...	-39.01	9 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	408.70	...	-29.71	10 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	408.50	...	-29.51	11 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	408.00	...	-29.01	12 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	408.00	...	-29.01	13 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	407.50	...	-28.51	14 of 31 - Sale <sup>25</sup>
	100.000	378.99	12/24/20	388.00	...	-9.01	15 of 31 - Sale <sup>25</sup>
	50.000	189.49	12/24/20	194.25	...	-4.76	16 of 31 - Sale <sup>25</sup>
	10.000	37.90	12/24/20	41.10	...	-3.20	17 of 31 - Sale <sup>25</sup>
	5.000	18.95	12/24/20	20.38	...	-1.43	18 of 31 - Sale <sup>25</sup>
	8.000	30.32	12/24/20	31.52	...	-1.20	19 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	4.08	...	-0.29	20 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	4.07	...	-0.28	21 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
	1.000	3.79	12/24/20	3.92	...	-0.13	22 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.92	...	-0.13	23 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.91	...	-0.12	24 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.88	...	-0.09	25 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.88	...	-0.09	26 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.86	...	-0.07	27 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.86	...	-0.07	28 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.85	...	-0.06	29 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.85	...	-0.06	30 of 31 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	3.85	...	-0.06	31 of 31 - Sale <sup>25</sup>
12/24/20	2,885.000	10,933.85	Various	11,875.93	...	-942.08	Total of 31 transactions
	2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	200.000	757.98	12/24/20	844.00	0.04 W	-85.98	1 of 2 - Sale <sup>25</sup>
	1.000	3.79	12/24/20	4.09	0.30 W	0.00	2 of 2 - Sale <sup>25</sup>
12/24/20	201.000	761.77	Various	848.09	0.34 W	-85.98	Total of 2 transactions
	<b>Security total:</b>	<b>11,695.62</b>		<b>12,724.02</b>	<b>0.34 W</b>	<b>-1,028.06</b>	
HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol:							
	3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	200.000	739.98	05/19/20	816.00	76.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	369.99	05/19/20	402.00	32.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	369.98	05/19/20	402.00	32.02 W	0.00	3 of 3 - Sale <sup>25</sup>
05/19/20	400.000	1,479.95	Various	1,620.00	140.05 W	0.00	Total of 3 transactions
	12 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	100.000	336.48	05/21/20	382.01	...	-45.53	1 of 12 - Sale <sup>25</sup>
	57.000	191.79	05/21/20	217.75	...	-25.96	2 of 12 - Sale <sup>25</sup>
	57.000	191.79	05/21/20	214.33	...	-22.54	3 of 12 - Sale <sup>25</sup>
	57.000	191.79	05/21/20	212.62	...	-20.83	4 of 12 - Sale <sup>25</sup>
	43.000	144.69	05/21/20	161.69	...	-17.00	5 of 12 - Sale <sup>25</sup>
	43.000	144.69	05/21/20	161.68	...	-16.99	6 of 12 - Sale <sup>25</sup>
	20.000	67.30	05/21/20	76.00	...	-8.70	7 of 12 - Sale <sup>25</sup>
	20.000	67.30	05/21/20	75.80	...	-8.50	8 of 12 - Sale <sup>25</sup>
	23.000	77.39	05/21/20	78.43	...	-1.04	9 of 12 - Sale <sup>25</sup>
	20.000	67.30	05/21/20	68.20	...	-0.90	10 of 12 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol: (cont'd)							
	2.000	6.73	05/21/20	7.60	...	-0.87	11 of 12 - Sale <sup>25</sup>
	1.000	3.36	05/21/20	3.78	...	-0.42	12 of 12 - Sale <sup>25</sup>
05/21/20	443.000	1,490.61	Various	1,659.89	...	-169.28	Total of 12 transactions
	<b>Security total:</b>	<b>2,970.56</b>		<b>3,279.89</b>	<b>140.05 W</b>	<b>-169.28</b>	
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
7 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	5.000	643.60	03/31/20	655.00	...	-11.40	1 of 7 - Sale <sup>25</sup>
	3.000	386.17	03/31/20	393.00	...	-6.83	2 of 7 - Sale <sup>25</sup>
	2.000	257.44	03/31/20	261.85	...	-4.41	3 of 7 - Sale <sup>25</sup>
	1.000	128.72	04/01/20	128.35	...	0.37	4 of 7 - Sale <sup>25</sup>
	1.000	128.72	04/01/20	128.30	...	0.42	5 of 7 - Sale <sup>25</sup>
	1.000	128.72	04/01/20	128.00	...	0.72	6 of 7 - Sale <sup>25</sup>
	1.000	128.72	04/01/20	128.00	...	0.72	7 of 7 - Sale <sup>25</sup>
04/02/20	14.000	1,802.09	Various	1,822.50	...	-20.41	Total of 7 transactions
KELLOGG COMPANY / CUSIP: 487836108 / Symbol:							
06/01/20	100.000	6,624.98	06/01/20	6,629.63	...	-4.65	Sale <sup>25</sup>
LIZHI INC. AMERICAN DEPOSITARY SHARES / CUSIP: 53933L104 / Symbol:							
13 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	4.74	12/03/20	4.70	...	0.04	1 of 13 - Sale <sup>25</sup>
	2.000	9.47	12/03/20	8.62	...	0.85	2 of 13 - Sale <sup>25</sup>
	20.000	94.69	12/03/20	87.00	...	7.69	3 of 13 - Sale <sup>25</sup>
	20.000	94.70	12/03/20	87.00	...	7.70	4 of 13 - Sale <sup>25</sup>
	20.000	94.70	12/03/20	87.00	...	7.70	5 of 13 - Sale <sup>25</sup>
	80.000	378.79	12/03/20	348.00	...	30.79	6 of 13 - Sale <sup>25</sup>
	100.000	473.48	12/03/20	435.00	...	38.48	7 of 13 - Sale <sup>25</sup>
	100.000	473.98	12/03/20	435.00	...	38.98	8 of 13 - Sale <sup>25</sup>
	118.000	558.70	12/03/20	508.58	...	50.12	9 of 13 - Sale <sup>25</sup>
	100.000	473.97	12/03/20	420.00	...	53.97	10 of 13 - Sale <sup>25</sup>
	100.000	473.98	12/03/20	420.00	...	53.98	11 of 13 - Sale <sup>25</sup>
	160.000	758.37	12/03/20	696.00	...	62.37	12 of 13 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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LIZHI INC. AMERICAN DEPOSITARY SHARES / CUSIP: 53933L104 / Symbol: (cont'd)							
12/03/20	1,880.000	8,901.38	12/03/20	8,102.80	...	798.58	13 of 13 - Sale <sup>25</sup>
12/03/20	2,701.000	12,790.95	Various	11,639.70	...	1,151.25	Total of 13 transactions
	11 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	500.000	2,069.99	12/03/20	2,650.00	580.01 W	0.00	1 of 11 - Sale <sup>25</sup>
	500.000	2,069.99	12/03/20	2,650.00	580.01 W	0.00	2 of 11 - Sale <sup>25</sup>
	546.000	2,260.43	12/03/20	2,893.80	633.37 W	0.00	3 of 11 - Sale <sup>25</sup>
	50.000	207.00	12/03/20	265.00	58.00 W	0.00	4 of 11 - Sale <sup>25</sup>
	107.000	442.98	12/03/20	567.10	124.12 W	0.00	5 of 11 - Sale <sup>25</sup>
	697.000	2,885.58	12/03/20	3,694.10	808.52 W	0.00	6 of 11 - Sale <sup>25</sup>
	30.000	124.20	12/03/20	137.40	13.20 W	0.00	7 of 11 - Sale <sup>25</sup>
	100.000	402.99	12/04/20	526.00	123.01 W	0.00	8 of 11 - Sale <sup>25</sup>
	100.000	402.98	12/04/20	526.00	123.02 W	0.00	9 of 11 - Sale <sup>25</sup>
	100.000	402.98	12/04/20	526.00	123.02 W	0.00	10 of 11 - Sale <sup>25</sup>
	200.000	806.04	12/04/20	1,052.01	245.97 W	0.00	11 of 11 - Sale <sup>25</sup>
12/04/20	2,930.000	12,075.16	Various	15,487.41	3,412.25 W	0.00	Total of 11 transactions
	27 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	400.000	1,347.96	12/04/20	2,052.01	...	-704.05	1 of 27 - Sale <sup>25</sup>
	390.000	1,314.26	12/04/20	1,992.90	...	-678.64	2 of 27 - Sale <sup>25</sup>
	107.000	360.58	12/04/20	547.84	...	-187.26	3 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	516.02	...	-179.03	4 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	515.98	...	-178.99	5 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	513.00	...	-176.01	6 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	512.01	...	-175.02	7 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	512.00	...	-175.01	8 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	512.00	...	-175.01	9 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	512.00	...	-175.01	10 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	512.00	...	-175.01	11 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	512.00	...	-175.01	12 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	512.00	...	-175.01	13 of 27 - Sale <sup>25</sup>
	93.000	313.40	12/04/20	476.16	...	-162.76	14 of 27 - Sale <sup>25</sup>
	80.000	269.59	12/04/20	414.41	...	-144.82	15 of 27 - Sale <sup>25</sup>
	80.000	269.59	12/04/20	412.82	...	-143.23	16 of 27 - Sale <sup>25</sup>
	80.000	269.59	12/04/20	412.79	...	-143.20	17 of 27 - Sale <sup>25</sup>
	50.000	168.50	12/04/20	256.00	...	-87.50	18 of 27 - Sale <sup>25</sup>

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(continued)

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LIZHI INC. AMERICAN DEPOSITORY SHARES / CUSIP: 53933L104 / Symbol: (cont'd)							
	36.000	121.32	12/04/20	184.32	...	-63.00	19 of 27 - Sale <sup>25</sup>
	100.000	336.99	12/04/20	393.00	...	-56.01	20 of 27 - Sale <sup>25</sup>
	20.000	67.40	12/04/20	103.20	...	-35.80	21 of 27 - Sale <sup>25</sup>
	20.000	67.40	12/04/20	103.20	...	-35.80	22 of 27 - Sale <sup>25</sup>
	20.000	67.40	12/04/20	103.20	...	-35.80	23 of 27 - Sale <sup>25</sup>
	30.000	101.10	12/04/20	131.70	...	-30.60	24 of 27 - Sale <sup>25</sup>
	14.000	47.18	12/04/20	71.68	...	-24.50	25 of 27 - Sale <sup>25</sup>
	10.000	33.70	12/04/20	51.67	...	-17.97	26 of 27 - Sale <sup>25</sup>
	4.000	13.48	12/14/20	13.95	...	-0.47	27 of 27 - Sale <sup>25</sup>
12/16/20	2,534.000	8,539.34	Various	12,849.86	...	-4,310.52	Total of 27 transactions
	<b>Security total:</b>	<b>33,405.45</b>		<b>39,976.97</b>	<b>3,412.25 W</b>	<b>-3,159.27</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/29/20	4.000	671.98	04/11/19	482.00	...	189.98	Sale <sup>25</sup>
	6 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	4.000	642.11	04/29/19	520.00	...	122.11	1 of 6 - Sale <sup>25</sup>
	2.000	321.05	05/07/19	250.78	...	70.27	2 of 6 - Sale <sup>25</sup>
	2.000	321.05	05/13/19	247.45	...	73.60	3 of 6 - Sale <sup>25</sup>
	2.000	321.05	05/13/19	247.40	...	73.65	4 of 6 - Sale <sup>25</sup>
	2.000	321.05	05/14/19	247.78	...	73.27	5 of 6 - Sale <sup>25</sup>
	4.000	642.11	12/31/19	631.28	...	10.83	6 of 6 - Sale <sup>25</sup>
02/27/20	16.000	2,568.42	Various	2,144.69	...	423.73	Total of 6 transactions
	11 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	20.000	3,210.53	01/17/20	3,339.50	128.97 W	0.00	1 of 11 - Sale <sup>25</sup>
	10.000	1,605.26	01/21/20	1,667.80	62.54 W	0.00	2 of 11 - Sale <sup>25</sup>
	20.000	3,210.53	01/21/20	3,339.00	128.47 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	160.53	01/23/20	166.19	5.66 W	0.00	4 of 11 - Sale <sup>25</sup>
	2.000	321.05	01/24/20	329.66	8.61 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	160.53	01/27/20	162.12	1.59 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	160.53	02/24/20	168.84	8.31 W	0.00	7 of 11 - Sale <sup>25</sup>
	10.000	1,605.26	02/24/20	1,720.85	115.59 W	0.00	8 of 11 - Sale <sup>25</sup>
	29.000	4,655.26	02/26/20	4,974.01	318.75 W	0.00	9 of 11 - Sale <sup>25</sup>
	10.000	1,605.26	02/26/20	1,692.60	87.34 W	0.00	10 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	8.000	1,284.21	02/27/20	1,290.78	6.57 W	0.00	11 of 11 - Sale <sup>25</sup>
02/27/20	112.000	17,978.95	Various	18,851.35	872.40 W	0.00	Total of 11 transactions
	21 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	20.000	3,228.93	02/28/20	3,368.67	139.74 W	0.00	1 of 21 - Sale <sup>25</sup>
	10.000	1,614.46	02/28/20	1,682.39	67.93 W	0.00	2 of 21 - Sale <sup>25</sup>
	10.000	1,614.46	02/28/20	1,684.09	69.63 W	0.00	3 of 21 - Sale <sup>25</sup>
	10.000	1,614.47	02/28/20	1,677.23	62.76 W	0.00	4 of 21 - Sale <sup>25</sup>
	1.000	157.28	02/28/20	166.66	9.38 W	0.00	5 of 21 - Sale <sup>25</sup>
	2.000	314.57	02/28/20	330.61	16.04 W	0.00	6 of 21 - Sale <sup>25</sup>
	1.000	157.28	02/28/20	162.59	5.31 W	0.00	7 of 21 - Sale <sup>25</sup>
	1.000	157.28	02/28/20	169.31	12.03 W	0.00	8 of 21 - Sale <sup>25</sup>
	5.000	786.41	02/28/20	862.80	76.39 W	0.00	9 of 21 - Sale <sup>25</sup>
	5.000	786.41	02/28/20	862.29	75.88 W	0.00	10 of 21 - Sale <sup>25</sup>
	10.000	1,572.83	02/28/20	1,696.34	123.51 W	0.00	11 of 21 - Sale <sup>25</sup>
	29.000	4,561.20	02/28/20	4,984.85	423.65 W	0.00	12 of 21 - Sale <sup>25</sup>
	6.000	943.69	02/28/20	970.33	26.64 W	0.00	13 of 21 - Sale <sup>25</sup>
	8.000	1,258.26	02/28/20	1,340.97	82.71 W	0.00	14 of 21 - Sale <sup>25</sup>
	2.000	314.57	02/28/20	322.91	8.34 W	0.00	15 of 21 - Sale <sup>25</sup>
	10.000	1,572.82	02/28/20	1,672.87	100.05 W	0.00	16 of 21 - Sale <sup>25</sup>
	2.000	314.57	02/28/20	335.97	21.40 W	0.00	17 of 21 - Sale <sup>25</sup>
	3.000	471.85	02/28/20	503.38	31.53 W	0.00	18 of 21 - Sale <sup>25</sup>
	1.000	157.28	02/28/20	167.47	10.19 W	0.00	19 of 21 - Sale <sup>25</sup>
	3.000	471.85	02/28/20	502.93	31.08 W	0.00	20 of 21 - Sale <sup>25</sup>
	6.000	943.69	02/28/20	1,004.84	61.15 W	0.00	21 of 21 - Sale <sup>25</sup>
02/28/20	145.000	23,014.16	Various	24,469.50	1,455.34 W	0.00	Total of 21 transactions
	50 transactions for 03/03/20. Total proceeds and cost reported to the IRS.						
	7.000	1,174.30	03/02/20	1,219.00	44.70 W	0.00	1 of 50 - Sale <sup>25</sup>
	10.000	1,677.57	03/02/20	1,734.56	56.99 W	0.00	2 of 50 - Sale <sup>25</sup>
	1.000	167.76	03/02/20	176.56	8.80 W	0.00	3 of 50 - Sale <sup>25</sup>
	2.000	335.51	03/02/20	350.40	14.89 W	0.00	4 of 50 - Sale <sup>25</sup>
	1.000	167.76	03/02/20	172.49	4.73 W	0.00	5 of 50 - Sale <sup>25</sup>
	1.000	167.76	03/02/20	179.21	11.45 W	0.00	6 of 50 - Sale <sup>25</sup>
	5.000	838.78	03/02/20	912.29	73.51 W	0.00	7 of 50 - Sale <sup>25</sup>
	5.000	838.79	03/02/20	911.78	72.99 W	0.00	8 of 50 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	8.000	1,342.05	03/02/20	1,454.31	112.26 W	0.00	9 of 50 - Sale <sup>25</sup>
	10.000	1,640.96	03/02/20	1,817.89	176.93 W	0.00	10 of 50 - Sale <sup>25</sup>
	3.000	492.29	03/02/20	531.50	39.21 W	0.00	11 of 50 - Sale <sup>25</sup>
	8.000	1,312.77	03/02/20	1,420.02	107.25 W	0.00	12 of 50 - Sale <sup>25</sup>
	10.000	1,640.96	03/02/20	1,795.14	154.18 W	0.00	13 of 50 - Sale <sup>25</sup>
	11.000	1,805.06	03/02/20	1,999.49	194.43 W	0.00	14 of 50 - Sale <sup>25</sup>
	2.000	328.19	03/02/20	342.67	14.48 W	0.00	15 of 50 - Sale <sup>25</sup>
	6.000	984.57	03/02/20	1,029.62	45.05 W	0.00	16 of 50 - Sale <sup>25</sup>
	2.000	328.08	03/03/20	358.84	30.76 W	0.00	17 of 50 - Sale <sup>25</sup>
	3.000	492.13	03/03/20	545.03	52.90 W	0.00	18 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	178.01	13.91 W	0.00	19 of 50 - Sale <sup>25</sup>
	2.000	328.19	03/03/20	357.34	29.15 W	0.00	20 of 50 - Sale <sup>25</sup>
	6.000	984.58	03/03/20	1,067.85	83.27 W	0.00	21 of 50 - Sale <sup>25</sup>
	2.000	328.19	03/03/20	348.71	20.52 W	0.00	22 of 50 - Sale <sup>25</sup>
	5.000	820.48	03/03/20	871.78	51.30 W	0.00	23 of 50 - Sale <sup>25</sup>
	7.000	1,148.67	03/03/20	1,215.68	67.01 W	0.00	24 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	173.67	9.57 W	0.00	25 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	173.67	9.57 W	0.00	26 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	173.67	9.57 W	0.00	27 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	178.16	14.06 W	0.00	28 of 50 - Sale <sup>25</sup>
	3.000	492.29	03/03/20	535.44	43.15 W	0.00	29 of 50 - Sale <sup>25</sup>
	3.000	492.29	03/03/20	534.99	42.70 W	0.00	30 of 50 - Sale <sup>25</sup>
	6.000	984.58	03/03/20	1,068.97	84.39 W	0.00	31 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	176.77	12.67 W	0.00	32 of 50 - Sale <sup>25</sup>
	2.000	328.19	03/03/20	350.83	22.64 W	0.00	33 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	172.70	8.60 W	0.00	34 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	179.42	15.32 W	0.00	35 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	182.57	18.47 W	0.00	36 of 50 - Sale <sup>25</sup>
	5.000	820.48	03/03/20	913.36	92.88 W	0.00	37 of 50 - Sale <sup>25</sup>
	4.000	656.38	03/03/20	729.31	72.93 W	0.00	38 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	181.76	17.66 W	0.00	39 of 50 - Sale <sup>25</sup>
	2.000	328.19	03/03/20	360.71	32.52 W	0.00	40 of 50 - Sale <sup>25</sup>
	5.000	820.48	03/03/20	901.00	80.52 W	0.00	41 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	183.69	19.59 W	0.00	42 of 50 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	164.10	03/03/20	183.69	19.59 W	0.00	43 of 50 - Sale <sup>25</sup>
	2.000	328.19	03/03/20	366.39	38.20 W	0.00	44 of 50 - Sale <sup>25</sup>
	3.000	492.29	03/03/20	548.08	55.79 W	0.00	45 of 50 - Sale <sup>25</sup>
	2.000	328.19	03/03/20	365.14	36.95 W	0.00	46 of 50 - Sale <sup>25</sup>
	3.000	492.28	03/03/20	533.91	41.63 W	0.00	47 of 50 - Sale <sup>25</sup>
	8.000	1,312.76	03/03/20	1,426.45	113.69 W	0.00	48 of 50 - Sale <sup>25</sup>
	1.000	164.10	03/03/20	182.59	18.49 W	0.00	49 of 50 - Sale <sup>25</sup>
	8.000	1,312.76	03/03/20	1,460.60	147.84 W	0.00	50 of 50 - Sale <sup>25</sup>
03/03/20	186.000	30,668.05	Various	33,227.71	2,559.66 W	0.00	Total of 50 transactions
03/06/20	5.000	798.72	03/06/20	798.00	...	0.72	Sale <sup>25</sup>
	771 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	5.000	805.66	03/03/20	900.21	94.55 W	0.00	1 of 771 - Sale <sup>25</sup>
	2.000	322.79	03/03/20	359.41	36.62 W	0.00	2 of 771 - Sale <sup>25</sup>
	3.000	484.17	03/03/20	540.12	55.95 W	0.00	3 of 771 - Sale <sup>25</sup>
	1.000	161.43	03/03/20	179.71	18.28 W	0.00	4 of 771 - Sale <sup>25</sup>
	1.000	161.43	03/03/20	179.74	18.31 W	0.00	5 of 771 - Sale <sup>25</sup>
	3.000	484.30	03/03/20	539.13	54.83 W	0.00	6 of 771 - Sale <sup>25</sup>
	2.000	320.41	03/03/20	360.81	40.40 W	0.00	7 of 771 - Sale <sup>25</sup>
	3.000	480.60	03/03/20	539.12	58.52 W	0.00	8 of 771 - Sale <sup>25</sup>
	2.000	320.62	03/03/20	352.18	31.56 W	0.00	9 of 771 - Sale <sup>25</sup>
	3.000	480.94	03/03/20	528.27	47.33 W	0.00	10 of 771 - Sale <sup>25</sup>
	2.000	321.05	03/03/20	352.18	31.13 W	0.00	11 of 771 - Sale <sup>25</sup>
	3.000	481.57	03/03/20	526.21	44.64 W	0.00	12 of 771 - Sale <sup>25</sup>
	1.000	160.58	03/03/20	175.40	14.82 W	0.00	13 of 771 - Sale <sup>25</sup>
	4.000	642.30	03/03/20	701.61	59.31 W	0.00	14 of 771 - Sale <sup>25</sup>
	1.000	160.39	03/03/20	175.40	15.01 W	0.00	15 of 771 - Sale <sup>25</sup>
	1.000	160.38	03/03/20	175.40	15.02 W	0.00	16 of 771 - Sale <sup>25</sup>
	1.000	160.33	03/03/20	179.89	19.56 W	0.00	17 of 771 - Sale <sup>25</sup>
	3.000	480.99	03/03/20	540.64	59.65 W	0.00	18 of 771 - Sale <sup>25</sup>
	1.000	160.32	03/03/20	179.90	19.58 W	0.00	19 of 771 - Sale <sup>25</sup>
	2.000	320.79	03/03/20	359.79	39.00 W	0.00	20 of 771 - Sale <sup>25</sup>
	2.000	318.89	03/03/20	360.13	41.24 W	0.00	21 of 771 - Sale <sup>25</sup>
	3.000	478.33	03/03/20	539.69	61.36 W	0.00	22 of 771 - Sale <sup>25</sup>
	1.000	160.11	03/03/20	180.06	19.95 W	0.00	23 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	160.11	03/03/20	178.50	18.39 W	0.00	24 of 771 - Sale <sup>25</sup>
	2.000	320.22	03/03/20	354.30	34.08 W	0.00	25 of 771 - Sale <sup>25</sup>
	1.000	160.11	03/03/20	174.43	14.32 W	0.00	26 of 771 - Sale <sup>25</sup>
	1.000	160.11	03/03/20	181.15	21.04 W	0.00	27 of 771 - Sale <sup>25</sup>
	1.000	160.11	03/03/20	184.30	24.19 W	0.00	28 of 771 - Sale <sup>25</sup>
	4.000	640.45	03/03/20	736.25	95.80 W	0.00	29 of 771 - Sale <sup>25</sup>
	5.000	800.56	03/03/20	922.03	121.47 W	0.00	30 of 771 - Sale <sup>25</sup>
	1.000	160.11	03/03/20	183.49	23.38 W	0.00	31 of 771 - Sale <sup>25</sup>
	2.000	320.23	03/03/20	364.18	43.95 W	0.00	32 of 771 - Sale <sup>25</sup>
	3.000	480.34	03/03/20	545.80	65.46 W	0.00	33 of 771 - Sale <sup>25</sup>
	8.000	1,280.89	03/03/20	1,449.98	169.09 W	0.00	34 of 771 - Sale <sup>25</sup>
	1.000	160.04	03/03/20	185.42	25.38 W	0.00	35 of 771 - Sale <sup>25</sup>
	1.000	160.04	03/03/20	185.42	25.38 W	0.00	36 of 771 - Sale <sup>25</sup>
	1.000	160.05	03/03/20	184.93	24.88 W	0.00	37 of 771 - Sale <sup>25</sup>
	2.000	320.08	03/03/20	363.87	43.79 W	0.00	38 of 771 - Sale <sup>25</sup>
	1.000	160.17	03/03/20	184.93	24.76 W	0.00	39 of 771 - Sale <sup>25</sup>
	2.000	320.35	03/03/20	368.85	48.50 W	0.00	40 of 771 - Sale <sup>25</sup>
	1.000	161.07	03/03/20	184.32	23.25 W	0.00	41 of 771 - Sale <sup>25</sup>
	1.000	161.08	03/03/20	184.31	23.23 W	0.00	42 of 771 - Sale <sup>25</sup>
	2.000	322.15	03/03/20	368.61	46.46 W	0.00	43 of 771 - Sale <sup>25</sup>
	1.000	161.07	03/03/20	184.43	23.36 W	0.00	44 of 771 - Sale <sup>25</sup>
	2.000	322.15	03/03/20	346.14	23.99 W	0.00	45 of 771 - Sale <sup>25</sup>
	6.000	966.45	03/03/20	1,040.03	73.58 W	0.00	46 of 771 - Sale <sup>25</sup>
	1.000	161.07	03/06/20	178.66	17.59 W	0.00	47 of 771 - Sale <sup>25</sup>
	1.000	161.08	03/06/20	178.88	17.80 W	0.00	48 of 771 - Sale <sup>25</sup>
	1.000	161.07	03/06/20	179.17	18.10 W	0.00	49 of 771 - Sale <sup>25</sup>
	1.000	161.08	03/06/20	179.18	18.10 W	0.00	50 of 771 - Sale <sup>25</sup>
	1.000	161.07	03/06/20	179.18	18.11 W	0.00	51 of 771 - Sale <sup>25</sup>
	2.000	322.15	03/06/20	361.90	39.75 W	0.00	52 of 771 - Sale <sup>25</sup>
	3.000	483.23	03/06/20	540.31	57.08 W	0.00	53 of 771 - Sale <sup>25</sup>
	3.000	483.22	03/06/20	544.59	61.37 W	0.00	54 of 771 - Sale <sup>25</sup>
	2.000	322.15	03/06/20	356.00	33.85 W	0.00	55 of 771 - Sale <sup>25</sup>
	2.000	322.15	03/06/20	363.36	41.21 W	0.00	56 of 771 - Sale <sup>25</sup>
	3.000	483.23	03/06/20	544.26	61.03 W	0.00	57 of 771 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	161.07	03/06/20	180.41	19.34 W	0.00	58 of 771 - Sale <sup>25</sup>
	2.000	322.15	03/06/20	360.89	38.74 W	0.00	59 of 771 - Sale <sup>25</sup>
	1.000	161.08	03/06/20	180.45	19.37 W	0.00	60 of 771 - Sale <sup>25</sup>
	1.000	161.07	03/06/20	180.41	19.34 W	0.00	61 of 771 - Sale <sup>25</sup>
	2.000	322.15	03/06/20	360.46	38.31 W	0.00	62 of 771 - Sale <sup>25</sup>
	2.000	321.80	03/06/20	362.12	40.32 W	0.00	63 of 771 - Sale <sup>25</sup>
	1.000	160.90	03/06/20	181.68	20.78 W	0.00	64 of 771 - Sale <sup>25</sup>
	1.000	160.90	03/06/20	182.37	21.47 W	0.00	65 of 771 - Sale <sup>25</sup>
	1.000	160.90	03/06/20	182.00	21.10 W	0.00	66 of 771 - Sale <sup>25</sup>
	1.000	160.90	03/06/20	177.58	16.68 W	0.00	67 of 771 - Sale <sup>25</sup>
	1.000	160.90	03/06/20	176.28	15.38 W	0.00	68 of 771 - Sale <sup>25</sup>
	1.000	160.90	03/06/20	175.89	14.99 W	0.00	69 of 771 - Sale <sup>25</sup>
	1.000	160.90	03/06/20	175.88	14.98 W	0.00	70 of 771 - Sale <sup>25</sup>
	1.000	160.89	03/06/20	175.73	14.84 W	0.00	71 of 771 - Sale <sup>25</sup>
	2.000	321.89	03/06/20	351.67	29.78 W	0.00	72 of 771 - Sale <sup>25</sup>
	3.000	482.84	03/06/20	525.44	42.60 W	0.00	73 of 771 - Sale <sup>25</sup>
	1.000	160.95	03/06/20	174.81	13.86 W	0.00	74 of 771 - Sale <sup>25</sup>
	4.000	643.79	03/06/20	699.29	55.50 W	0.00	75 of 771 - Sale <sup>25</sup>
	1.000	160.95	03/06/20	174.86	13.91 W	0.00	76 of 771 - Sale <sup>25</sup>
	1.000	160.95	03/06/20	174.88	13.93 W	0.00	77 of 771 - Sale <sup>25</sup>
	1.000	160.95	03/06/20	179.41	18.46 W	0.00	78 of 771 - Sale <sup>25</sup>
	1.000	160.95	03/06/20	179.43	18.48 W	0.00	79 of 771 - Sale <sup>25</sup>
	1.000	160.95	03/06/20	179.80	18.85 W	0.00	80 of 771 - Sale <sup>25</sup>
	2.000	321.89	03/06/20	358.71	36.82 W	0.00	81 of 771 - Sale <sup>25</sup>
	2.000	321.89	03/06/20	360.95	39.06 W	0.00	82 of 771 - Sale <sup>25</sup>
	3.000	482.84	03/06/20	539.22	56.38 W	0.00	83 of 771 - Sale <sup>25</sup>
	3.000	482.84	03/06/20	540.93	58.09 W	0.00	84 of 771 - Sale <sup>25</sup>
	1.000	160.94	03/06/20	178.25	17.31 W	0.00	85 of 771 - Sale <sup>25</sup>
	2.000	321.89	03/06/20	353.79	31.90 W	0.00	86 of 771 - Sale <sup>25</sup>
	1.000	160.95	03/06/20	174.17	13.22 W	0.00	87 of 771 - Sale <sup>25</sup>
	1.000	160.94	03/06/20	180.90	19.96 W	0.00	88 of 771 - Sale <sup>25</sup>
	1.000	160.94	03/06/20	184.05	23.11 W	0.00	89 of 771 - Sale <sup>25</sup>
	4.000	643.78	03/06/20	735.22	91.44 W	0.00	90 of 771 - Sale <sup>25</sup>
	5.000	804.73	03/06/20	920.74	116.01 W	0.00	91 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	5.000	807.46	03/06/20	903.67	96.21 W	0.00	92 of 771 - Sale <sup>25</sup>
	1.000	161.49	03/06/20	182.83	21.34 W	0.00	93 of 771 - Sale <sup>25</sup>
	1.000	161.49	03/06/20	181.42	19.93 W	0.00	94 of 771 - Sale <sup>25</sup>
	3.000	484.47	03/06/20	541.75	57.28 W	0.00	95 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	182.92	21.12 W	0.00	96 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	186.33	24.53 W	0.00	97 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	186.33	24.53 W	0.00	98 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	185.83	24.03 W	0.00	99 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	185.71	23.91 W	0.00	100 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	184.31	22.51 W	0.00	101 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	184.20	22.40 W	0.00	102 of 771 - Sale <sup>25</sup>
	1.000	161.79	03/06/20	184.18	22.39 W	0.00	103 of 771 - Sale <sup>25</sup>
	2.000	323.59	03/06/20	365.69	42.10 W	0.00	104 of 771 - Sale <sup>25</sup>
	2.000	323.59	03/06/20	370.40	46.81 W	0.00	105 of 771 - Sale <sup>25</sup>
	2.000	323.59	03/06/20	368.36	44.77 W	0.00	106 of 771 - Sale <sup>25</sup>
	3.000	485.39	03/06/20	548.31	62.92 W	0.00	107 of 771 - Sale <sup>25</sup>
	3.000	485.39	03/06/20	519.64	34.25 W	0.00	108 of 771 - Sale <sup>25</sup>
	3.000	485.38	03/06/20	543.94	58.56 W	0.00	109 of 771 - Sale <sup>25</sup>
	2.000	323.59	03/06/20	345.70	22.11 W	0.00	110 of 771 - Sale <sup>25</sup>
	3.000	485.39	03/06/20	519.35	33.96 W	0.00	111 of 771 - Sale <sup>25</sup>
	1.000	161.79	03/06/20	178.45	16.66 W	0.00	112 of 771 - Sale <sup>25</sup>
	1.000	161.80	03/06/20	178.65	16.85 W	0.00	113 of 771 - Sale <sup>25</sup>
	1.000	161.95	03/06/20	178.96	17.01 W	0.00	114 of 771 - Sale <sup>25</sup>
	1.000	161.95	03/06/20	178.95	17.00 W	0.00	115 of 771 - Sale <sup>25</sup>
	1.000	161.95	03/06/20	178.97	17.02 W	0.00	116 of 771 - Sale <sup>25</sup>
	2.000	323.89	03/06/20	361.46	37.57 W	0.00	117 of 771 - Sale <sup>25</sup>
	2.000	323.89	03/06/20	355.56	31.67 W	0.00	118 of 771 - Sale <sup>25</sup>
	3.000	485.84	03/06/20	539.64	53.80 W	0.00	119 of 771 - Sale <sup>25</sup>
	2.000	323.89	03/06/20	363.00	39.11 W	0.00	120 of 771 - Sale <sup>25</sup>
	3.000	485.83	03/06/20	543.71	57.88 W	0.00	121 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	179.50	19.77 W	0.00	122 of 771 - Sale <sup>25</sup>
	2.000	319.46	03/06/20	360.36	40.90 W	0.00	123 of 771 - Sale <sup>25</sup>
	3.000	479.19	03/06/20	540.04	60.85 W	0.00	124 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	177.96	18.23 W	0.00	125 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	319.46	03/06/20	353.21	33.75 W	0.00	126 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	174.52	14.79 W	0.00	127 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	181.26	21.53 W	0.00	128 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	184.41	24.68 W	0.00	129 of 771 - Sale <sup>25</sup>
	2.000	319.46	03/06/20	368.33	48.87 W	0.00	130 of 771 - Sale <sup>25</sup>
	5.000	798.65	03/06/20	922.53	123.88 W	0.00	131 of 771 - Sale <sup>25</sup>
	2.000	319.46	03/06/20	368.71	49.25 W	0.00	132 of 771 - Sale <sup>25</sup>
	3.000	479.19	03/06/20	541.77	62.58 W	0.00	133 of 771 - Sale <sup>25</sup>
	5.000	798.65	03/06/20	903.70	105.05 W	0.00	134 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	182.72	22.99 W	0.00	135 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	181.30	21.57 W	0.00	136 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	182.50	22.77 W	0.00	137 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	185.90	26.17 W	0.00	138 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	185.91	26.18 W	0.00	139 of 771 - Sale <sup>25</sup>
	2.000	319.46	03/06/20	364.85	45.39 W	0.00	140 of 771 - Sale <sup>25</sup>
	3.000	479.19	03/06/20	547.04	67.85 W	0.00	141 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	185.12	25.39 W	0.00	142 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	185.00	25.27 W	0.00	143 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	183.60	23.87 W	0.00	144 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	183.49	23.76 W	0.00	145 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	183.48	23.75 W	0.00	146 of 771 - Sale <sup>25</sup>
	2.000	319.46	03/06/20	368.99	49.53 W	0.00	147 of 771 - Sale <sup>25</sup>
	2.000	319.46	03/06/20	366.95	47.49 W	0.00	148 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	172.52	12.79 W	0.00	149 of 771 - Sale <sup>25</sup>
	1.000	159.73	03/06/20	181.10	21.37 W	0.00	150 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	180.23	20.38 W	0.00	151 of 771 - Sale <sup>25</sup>
	2.000	319.71	03/06/20	360.53	40.82 W	0.00	152 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	180.26	20.40 W	0.00	153 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	180.24	20.39 W	0.00	154 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	181.68	21.82 W	0.00	155 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	182.36	22.51 W	0.00	156 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	182.00	22.14 W	0.00	157 of 771 - Sale <sup>25</sup>
	2.000	319.71	03/06/20	360.10	40.39 W	0.00	158 of 771 - Sale <sup>25</sup>
	2.000	319.71	03/06/20	362.11	42.40 W	0.00	159 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.85	03/06/20	177.57	17.72 W	0.00	160 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	176.28	16.42 W	0.00	161 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	175.88	16.03 W	0.00	162 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	175.88	16.02 W	0.00	163 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	175.73	15.88 W	0.00	164 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	174.75	14.90 W	0.00	165 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	174.81	14.95 W	0.00	166 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	174.82	14.97 W	0.00	167 of 771 - Sale <sup>25</sup>
	2.000	319.71	03/06/20	351.57	31.86 W	0.00	168 of 771 - Sale <sup>25</sup>
	3.000	479.57	03/06/20	525.29	45.72 W	0.00	169 of 771 - Sale <sup>25</sup>
	4.000	639.42	03/06/20	699.08	59.66 W	0.00	170 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	179.35	19.50 W	0.00	171 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	179.38	19.52 W	0.00	172 of 771 - Sale <sup>25</sup>
	1.000	159.85	03/06/20	179.30	19.45 W	0.00	173 of 771 - Sale <sup>25</sup>
	3.000	479.57	03/06/20	539.07	59.50 W	0.00	174 of 771 - Sale <sup>25</sup>
	1.000	159.86	03/06/20	179.06	19.20 W	0.00	175 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	178.19	18.40 W	0.00	176 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	178.38	18.59 W	0.00	177 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	178.54	18.75 W	0.00	178 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	178.53	18.74 W	0.00	179 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	178.55	18.76 W	0.00	180 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	360.63	41.05 W	0.00	181 of 771 - Sale <sup>25</sup>
	3.000	479.38	03/06/20	538.39	59.01 W	0.00	182 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	362.20	42.62 W	0.00	183 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	345.99	26.41 W	0.00	184 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	345.27	25.69 W	0.00	185 of 771 - Sale <sup>25</sup>
	3.000	479.37	03/06/20	518.70	39.33 W	0.00	186 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	181.85	22.06 W	0.00	187 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	362.04	42.46 W	0.00	188 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	363.75	44.17 W	0.00	189 of 771 - Sale <sup>25</sup>
	3.000	479.38	03/06/20	542.28	62.90 W	0.00	190 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	354.60	35.02 W	0.00	191 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	181.90	22.11 W	0.00	192 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	181.89	22.10 W	0.00	193 of 771 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.79	03/06/20	183.32	23.53 W	0.00	194 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	184.01	24.22 W	0.00	195 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	183.64	23.85 W	0.00	196 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	363.39	43.81 W	0.00	197 of 771 - Sale <sup>25</sup>
	2.000	319.58	03/06/20	365.40	45.82 W	0.00	198 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	179.22	19.43 W	0.00	199 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	177.92	18.13 W	0.00	200 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	177.53	17.74 W	0.00	201 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	177.52	17.73 W	0.00	202 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	177.38	17.59 W	0.00	203 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	177.43	17.64 W	0.00	204 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	177.40	17.61 W	0.00	205 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	176.37	16.58 W	0.00	206 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	176.42	16.63 W	0.00	207 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	176.44	16.65 W	0.00	208 of 771 - Sale <sup>25</sup>
	3.000	479.38	03/06/20	530.13	50.75 W	0.00	209 of 771 - Sale <sup>25</sup>
	4.000	639.17	03/06/20	705.54	66.37 W	0.00	210 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	180.97	21.18 W	0.00	211 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	180.99	21.20 W	0.00	212 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	180.92	21.13 W	0.00	213 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	180.67	20.88 W	0.00	214 of 771 - Sale <sup>25</sup>
	2.000	319.59	03/06/20	363.50	43.91 W	0.00	215 of 771 - Sale <sup>25</sup>
	3.000	479.38	03/06/20	543.90	64.52 W	0.00	216 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	181.73	21.94 W	0.00	217 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	179.33	19.54 W	0.00	218 of 771 - Sale <sup>25</sup>
	2.000	319.59	03/06/20	360.02	40.43 W	0.00	219 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	177.79	18.00 W	0.00	220 of 771 - Sale <sup>25</sup>
	2.000	319.59	03/06/20	352.87	33.28 W	0.00	221 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	174.35	14.56 W	0.00	222 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	181.09	21.30 W	0.00	223 of 771 - Sale <sup>25</sup>
	1.000	159.79	03/06/20	184.24	24.45 W	0.00	224 of 771 - Sale <sup>25</sup>
	1.000	159.80	03/06/20	184.00	24.20 W	0.00	225 of 771 - Sale <sup>25</sup>
	5.000	798.98	03/06/20	921.67	122.69 W	0.00	226 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	183.99	24.21 W	0.00	227 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	319.55	03/06/20	368.37	48.82 W	0.00	228 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	361.13	41.58 W	0.00	229 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	180.95	21.17 W	0.00	230 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	361.77	42.22 W	0.00	231 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	361.61	42.06 W	0.00	232 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	361.08	41.53 W	0.00	233 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	182.57	22.79 W	0.00	234 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	181.15	21.37 W	0.00	235 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	182.35	22.57 W	0.00	236 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	185.75	25.97 W	0.00	237 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	185.76	25.98 W	0.00	238 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	184.97	25.19 W	0.00	239 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	184.85	25.07 W	0.00	240 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	183.45	23.67 W	0.00	241 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	183.34	23.56 W	0.00	242 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	183.33	23.55 W	0.00	243 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	364.54	44.99 W	0.00	244 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	368.68	49.13 W	0.00	245 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	366.64	47.09 W	0.00	246 of 771 - Sale <sup>25</sup>
	3.000	479.33	03/06/20	541.76	62.43 W	0.00	247 of 771 - Sale <sup>25</sup>
	3.000	479.33	03/06/20	541.31	61.98 W	0.00	248 of 771 - Sale <sup>25</sup>
	3.000	479.33	03/06/20	546.58	67.25 W	0.00	249 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	172.37	12.59 W	0.00	250 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	345.56	26.01 W	0.00	251 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	344.84	25.29 W	0.00	252 of 771 - Sale <sup>25</sup>
	3.000	479.33	03/06/20	518.06	38.73 W	0.00	253 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	177.98	18.20 W	0.00	254 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	178.17	18.39 W	0.00	255 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	178.33	18.55 W	0.00	256 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	178.32	18.54 W	0.00	257 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	178.33	18.56 W	0.00	258 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	360.20	40.65 W	0.00	259 of 771 - Sale <sup>25</sup>
	3.000	479.33	03/06/20	537.74	58.41 W	0.00	260 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	180.64	20.87 W	0.00	261 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.78	03/06/20	181.73	21.95 W	0.00	262 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	363.51	43.96 W	0.00	263 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	181.78	22.01 W	0.00	264 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	181.77	21.99 W	0.00	265 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	183.20	23.43 W	0.00	266 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	183.89	24.11 W	0.00	267 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	183.52	23.75 W	0.00	268 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	363.15	43.60 W	0.00	269 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	365.16	45.61 W	0.00	270 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	179.10	19.32 W	0.00	271 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	177.80	18.03 W	0.00	272 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	177.41	17.63 W	0.00	273 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	177.40	17.63 W	0.00	274 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	177.26	17.48 W	0.00	275 of 771 - Sale <sup>25</sup>
	1.000	159.77	03/06/20	177.31	17.54 W	0.00	276 of 771 - Sale <sup>25</sup>
	1.000	159.78	03/06/20	177.28	17.50 W	0.00	277 of 771 - Sale <sup>25</sup>
	3.000	479.32	03/06/20	529.76	50.44 W	0.00	278 of 771 - Sale <sup>25</sup>
	2.000	319.55	03/06/20	352.53	32.98 W	0.00	279 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	180.84	21.22 W	0.00	280 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	361.56	42.32 W	0.00	281 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	361.40	42.16 W	0.00	282 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	360.87	41.63 W	0.00	283 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	176.25	16.63 W	0.00	284 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	176.30	16.68 W	0.00	285 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	176.32	16.70 W	0.00	286 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	352.52	33.28 W	0.00	287 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	180.85	21.23 W	0.00	288 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	180.87	21.25 W	0.00	289 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	180.80	21.18 W	0.00	290 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	180.55	20.93 W	0.00	291 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	181.61	21.99 W	0.00	292 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	179.21	19.59 W	0.00	293 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	363.25	44.01 W	0.00	294 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	359.77	40.53 W	0.00	295 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	3.000	478.87	03/06/20	543.53	64.66 W	0.00	296 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	177.67	18.05 W	0.00	297 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	352.62	33.38 W	0.00	298 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	174.23	14.61 W	0.00	299 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	180.97	21.35 W	0.00	300 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	184.12	24.50 W	0.00	301 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	183.87	24.25 W	0.00	302 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	183.88	24.26 W	0.00	303 of 771 - Sale <sup>25</sup>
	2.000	319.25	03/06/20	368.16	48.91 W	0.00	304 of 771 - Sale <sup>25</sup>
	5.000	798.11	03/06/20	921.04	122.93 W	0.00	305 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	182.46	22.84 W	0.00	306 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	181.04	21.42 W	0.00	307 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	182.24	22.62 W	0.00	308 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	360.92	41.68 W	0.00	309 of 771 - Sale <sup>25</sup>
	2.000	319.25	03/06/20	364.17	44.92 W	0.00	310 of 771 - Sale <sup>25</sup>
	3.000	478.87	03/06/20	541.44	62.57 W	0.00	311 of 771 - Sale <sup>25</sup>
	3.000	478.87	03/06/20	540.99	62.12 W	0.00	312 of 771 - Sale <sup>25</sup>
	2.000	319.25	03/06/20	354.36	35.11 W	0.00	313 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	180.77	21.15 W	0.00	314 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	181.85	22.23 W	0.00	315 of 771 - Sale <sup>25</sup>
	2.000	319.24	03/06/20	363.76	44.52 W	0.00	316 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	181.91	22.29 W	0.00	317 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	181.89	22.27 W	0.00	318 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	183.33	23.71 W	0.00	319 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	184.01	24.39 W	0.00	320 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	183.65	24.03 W	0.00	321 of 771 - Sale <sup>25</sup>
	2.000	319.25	03/06/20	363.40	44.15 W	0.00	322 of 771 - Sale <sup>25</sup>
	2.000	319.25	03/06/20	365.41	46.16 W	0.00	323 of 771 - Sale <sup>25</sup>
	1.000	159.63	03/06/20	179.22	19.59 W	0.00	324 of 771 - Sale <sup>25</sup>
	1.000	159.62	03/06/20	177.93	18.31 W	0.00	325 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	181.12	21.23 W	0.00	326 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	362.12	42.34 W	0.00	327 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	361.96	42.18 W	0.00	328 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	361.43	41.65 W	0.00	329 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.89	03/06/20	177.53	17.64 W	0.00	330 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	177.53	17.64 W	0.00	331 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	177.38	17.49 W	0.00	332 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	177.44	17.55 W	0.00	333 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	177.40	17.51 W	0.00	334 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	176.53	16.64 W	0.00	335 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	176.58	16.69 W	0.00	336 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	176.60	16.71 W	0.00	337 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	352.78	33.00 W	0.00	338 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	353.08	33.30 W	0.00	339 of 771 - Sale <sup>25</sup>
	3.000	479.67	03/06/20	530.14	50.47 W	0.00	340 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	181.13	21.24 W	0.00	341 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	181.15	21.26 W	0.00	342 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	181.08	21.19 W	0.00	343 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	180.83	20.94 W	0.00	344 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	181.89	22.00 W	0.00	345 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	179.49	19.60 W	0.00	346 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	363.81	44.03 W	0.00	347 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	360.33	40.55 W	0.00	348 of 771 - Sale <sup>25</sup>
	3.000	479.67	03/06/20	544.36	64.69 W	0.00	349 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	177.95	18.06 W	0.00	350 of 771 - Sale <sup>25</sup>
	2.000	319.78	03/06/20	353.18	33.40 W	0.00	351 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	174.51	14.62 W	0.00	352 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	181.25	21.36 W	0.00	353 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	184.40	24.51 W	0.00	354 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	184.15	24.26 W	0.00	355 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	184.16	24.27 W	0.00	356 of 771 - Sale <sup>25</sup>
	1.000	159.89	03/06/20	184.36	24.47 W	0.00	357 of 771 - Sale <sup>25</sup>
	5.000	799.45	03/06/20	922.43	122.98 W	0.00	358 of 771 - Sale <sup>25</sup>
	1.000	159.88	03/06/20	182.32	22.44 W	0.00	359 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	185.87	26.22 W	0.00	360 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	185.88	26.23 W	0.00	361 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	185.09	25.44 W	0.00	362 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	184.97	25.32 W	0.00	363 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.65	03/06/20	183.57	23.92 W	0.00	364 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	183.46	23.81 W	0.00	365 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	183.45	23.80 W	0.00	366 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	364.79	45.50 W	0.00	367 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	368.93	49.64 W	0.00	368 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	366.89	47.60 W	0.00	369 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	172.49	12.84 W	0.00	370 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	345.81	26.52 W	0.00	371 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	345.09	25.80 W	0.00	372 of 771 - Sale <sup>25</sup>
	3.000	478.94	03/06/20	518.43	39.49 W	0.00	373 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	178.10	18.45 W	0.00	374 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	178.29	18.64 W	0.00	375 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	178.45	18.80 W	0.00	376 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	178.44	18.79 W	0.00	377 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	178.46	18.81 W	0.00	378 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	360.45	41.16 W	0.00	379 of 771 - Sale <sup>25</sup>
	3.000	478.94	03/06/20	538.11	59.17 W	0.00	380 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	184.15	24.50 W	0.00	381 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	180.56	20.91 W	0.00	382 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	361.07	41.78 W	0.00	383 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	181.02	21.37 W	0.00	384 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	182.10	22.45 W	0.00	385 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	181.10	21.45 W	0.00	386 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	364.26	44.97 W	0.00	387 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	362.07	42.78 W	0.00	388 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	361.91	42.62 W	0.00	389 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	361.38	42.09 W	0.00	390 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	182.16	22.51 W	0.00	391 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	182.14	22.49 W	0.00	392 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	183.58	23.93 W	0.00	393 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	184.26	24.61 W	0.00	394 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	183.90	24.25 W	0.00	395 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	363.89	44.60 W	0.00	396 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	365.90	46.61 W	0.00	397 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.65	03/06/20	179.46	19.81 W	0.00	398 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	178.18	18.54 W	0.00	399 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	177.51	17.86 W	0.00	400 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	177.51	17.87 W	0.00	401 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	177.36	17.71 W	0.00	402 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	177.42	17.78 W	0.00	403 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	177.38	17.73 W	0.00	404 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	176.51	16.86 W	0.00	405 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	176.56	16.92 W	0.00	406 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	176.58	16.93 W	0.00	407 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	352.73	33.44 W	0.00	408 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	353.03	33.74 W	0.00	409 of 771 - Sale <sup>25</sup>
	3.000	478.93	03/06/20	530.07	51.14 W	0.00	410 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	181.11	21.46 W	0.00	411 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	181.13	21.49 W	0.00	412 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	181.06	21.41 W	0.00	413 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	180.81	21.17 W	0.00	414 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	181.87	22.22 W	0.00	415 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	179.47	19.83 W	0.00	416 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	363.76	44.47 W	0.00	417 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	360.28	40.99 W	0.00	418 of 771 - Sale <sup>25</sup>
	3.000	478.93	03/06/20	544.29	65.36 W	0.00	419 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	177.93	18.28 W	0.00	420 of 771 - Sale <sup>25</sup>
	2.000	319.29	03/06/20	353.13	33.84 W	0.00	421 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	174.49	14.85 W	0.00	422 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	181.23	21.58 W	0.00	423 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	184.38	24.73 W	0.00	424 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	184.13	24.49 W	0.00	425 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	184.14	24.49 W	0.00	426 of 771 - Sale <sup>25</sup>
	1.000	159.64	03/06/20	184.34	24.70 W	0.00	427 of 771 - Sale <sup>25</sup>
	5.000	798.22	03/06/20	922.32	124.10 W	0.00	428 of 771 - Sale <sup>25</sup>
	1.000	159.65	03/06/20	180.73	21.08 W	0.00	429 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	180.99	21.24 W	0.00	430 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	182.07	22.32 W	0.00	431 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.75	03/06/20	181.06	21.31 W	0.00	432 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	364.20	44.71 W	0.00	433 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	184.36	24.61 W	0.00	434 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	182.71	22.96 W	0.00	435 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	181.29	21.54 W	0.00	436 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	182.49	22.74 W	0.00	437 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	182.31	22.56 W	0.00	438 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	186.09	26.34 W	0.00	439 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	186.10	26.35 W	0.00	440 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	185.31	25.56 W	0.00	441 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	185.19	25.44 W	0.00	442 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	183.79	24.04 W	0.00	443 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	183.68	23.93 W	0.00	444 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	183.67	23.92 W	0.00	445 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	180.78	21.03 W	0.00	446 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	180.72	20.97 W	0.00	447 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	364.66	45.17 W	0.00	448 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	365.23	45.74 W	0.00	449 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	369.37	49.88 W	0.00	450 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	367.33	47.84 W	0.00	451 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	361.51	42.02 W	0.00	452 of 771 - Sale <sup>25</sup>
	3.000	479.24	03/06/20	541.72	62.48 W	0.00	453 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	172.71	12.96 W	0.00	454 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	346.25	26.76 W	0.00	455 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	345.53	26.04 W	0.00	456 of 771 - Sale <sup>25</sup>
	3.000	479.24	03/06/20	519.09	39.85 W	0.00	457 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	178.32	18.57 W	0.00	458 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	178.51	18.76 W	0.00	459 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	178.67	18.92 W	0.00	460 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	178.66	18.91 W	0.00	461 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	178.68	18.93 W	0.00	462 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	354.85	35.36 W	0.00	463 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	360.89	41.40 W	0.00	464 of 771 - Sale <sup>25</sup>
	3.000	479.24	03/06/20	538.77	59.53 W	0.00	465 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	3.000	479.24	03/06/20	479.59	0.35 W	0.00	466 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	361.98	42.49 W	0.00	467 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	361.82	42.33 W	0.00	468 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	361.29	41.80 W	0.00	469 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	182.11	22.36 W	0.00	470 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	182.09	22.34 W	0.00	471 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	183.53	23.78 W	0.00	472 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	184.21	24.46 W	0.00	473 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	183.85	24.10 W	0.00	474 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	363.80	44.31 W	0.00	475 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	365.81	46.32 W	0.00	476 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	179.41	19.66 W	0.00	477 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	178.14	18.40 W	0.00	478 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	177.46	17.71 W	0.00	479 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	177.47	17.73 W	0.00	480 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	177.31	17.56 W	0.00	481 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	177.38	17.64 W	0.00	482 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	177.33	17.58 W	0.00	483 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	176.46	16.71 W	0.00	484 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	352.64	33.15 W	0.00	485 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	352.94	33.45 W	0.00	486 of 771 - Sale <sup>25</sup>
	3.000	479.23	03/06/20	529.94	50.71 W	0.00	487 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	176.52	16.78 W	0.00	488 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	176.53	16.78 W	0.00	489 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	181.06	21.31 W	0.00	490 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	181.09	21.35 W	0.00	491 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	181.01	21.26 W	0.00	492 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	180.77	21.03 W	0.00	493 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	181.82	22.07 W	0.00	494 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	179.43	19.69 W	0.00	495 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	363.67	44.18 W	0.00	496 of 771 - Sale <sup>25</sup>
	2.000	319.49	03/06/20	360.19	40.70 W	0.00	497 of 771 - Sale <sup>25</sup>
	3.000	479.23	03/06/20	544.16	64.93 W	0.00	498 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	177.88	18.13 W	0.00	499 of 771 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	319.49	03/06/20	353.04	33.55 W	0.00	500 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	174.45	14.71 W	0.00	501 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	181.18	21.43 W	0.00	502 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	184.33	24.58 W	0.00	503 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	184.09	24.35 W	0.00	504 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	184.09	24.34 W	0.00	505 of 771 - Sale <sup>25</sup>
	1.000	159.74	03/06/20	184.30	24.56 W	0.00	506 of 771 - Sale <sup>25</sup>
	5.000	798.72	03/06/20	922.10	123.38 W	0.00	507 of 771 - Sale <sup>25</sup>
	1.000	159.75	03/06/20	180.68	20.93 W	0.00	508 of 771 - Sale <sup>25</sup>
	1.000	160.01	03/06/20	184.21	24.20 W	0.00	509 of 771 - Sale <sup>25</sup>
	1.000	160.01	03/06/20	180.57	20.56 W	0.00	510 of 771 - Sale <sup>25</sup>
	1.000	160.01	03/06/20	182.56	22.55 W	0.00	511 of 771 - Sale <sup>25</sup>
	1.000	160.01	03/06/20	181.14	21.13 W	0.00	512 of 771 - Sale <sup>25</sup>
	1.000	160.00	03/06/20	182.34	22.34 W	0.00	513 of 771 - Sale <sup>25</sup>
	1.000	160.01	03/06/20	182.16	22.15 W	0.00	514 of 771 - Sale <sup>25</sup>
	1.000	160.00	03/06/20	185.94	25.94 W	0.00	515 of 771 - Sale <sup>25</sup>
	1.000	160.01	03/06/20	185.95	25.94 W	0.00	516 of 771 - Sale <sup>25</sup>
	1.000	160.00	03/06/20	185.16	25.16 W	0.00	517 of 771 - Sale <sup>25</sup>
	1.000	160.01	03/06/20	185.04	25.03 W	0.00	518 of 771 - Sale <sup>25</sup>
	1.000	160.00	03/06/20	183.64	23.64 W	0.00	519 of 771 - Sale <sup>25</sup>
	2.000	320.01	03/06/20	364.37	44.36 W	0.00	520 of 771 - Sale <sup>25</sup>
	2.000	320.01	03/06/20	364.94	44.93 W	0.00	521 of 771 - Sale <sup>25</sup>
	2.000	320.01	03/06/20	369.08	49.07 W	0.00	522 of 771 - Sale <sup>25</sup>
	3.000	480.02	03/06/20	541.28	61.26 W	0.00	523 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	183.53	23.22 W	0.00	524 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	183.52	23.21 W	0.00	525 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	180.63	20.32 W	0.00	526 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	367.04	46.43 W	0.00	527 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	361.22	40.61 W	0.00	528 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	172.56	12.25 W	0.00	529 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	345.96	25.35 W	0.00	530 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	345.24	24.63 W	0.00	531 of 771 - Sale <sup>25</sup>
	3.000	480.92	03/06/20	518.65	37.73 W	0.00	532 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	181.81	21.50 W	0.00	533 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	160.31	03/06/20	182.89	22.58 W	0.00	534 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	181.88	21.57 W	0.00	535 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	181.47	21.16 W	0.00	536 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	365.85	45.24 W	0.00	537 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	363.62	43.01 W	0.00	538 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	363.46	42.85 W	0.00	539 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	179.14	18.83 W	0.00	540 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	179.33	19.02 W	0.00	541 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	179.49	19.18 W	0.00	542 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	179.48	19.17 W	0.00	543 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	179.50	19.19 W	0.00	544 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	356.50	35.89 W	0.00	545 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	362.54	41.93 W	0.00	546 of 771 - Sale <sup>25</sup>
	3.000	480.92	03/06/20	541.23	60.31 W	0.00	547 of 771 - Sale <sup>25</sup>
	3.000	480.92	03/06/20	482.06	1.14 W	0.00	548 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	181.55	21.24 W	0.00	549 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	183.01	22.70 W	0.00	550 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	182.99	22.68 W	0.00	551 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	184.43	24.12 W	0.00	552 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	185.11	24.81 W	0.00	553 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	184.75	24.44 W	0.00	554 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	365.62	45.01 W	0.00	555 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	367.63	47.02 W	0.00	556 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	180.31	20.01 W	0.00	557 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	179.05	18.74 W	0.00	558 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	178.36	18.06 W	0.00	559 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	178.38	18.07 W	0.00	560 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	178.21	17.91 W	0.00	561 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	178.29	17.98 W	0.00	562 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	178.23	17.93 W	0.00	563 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	177.36	17.06 W	0.00	564 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	177.43	17.12 W	0.00	565 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	177.43	17.13 W	0.00	566 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	354.46	33.85 W	0.00	567 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	320.61	03/06/20	354.76	34.15 W	0.00	568 of 771 - Sale <sup>25</sup>
	3.000	480.92	03/06/20	532.67	51.75 W	0.00	569 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	181.96	21.66 W	0.00	570 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	182.00	21.69 W	0.00	571 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	181.92	21.62 W	0.00	572 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	181.68	21.37 W	0.00	573 of 771 - Sale <sup>25</sup>
	1.000	160.30	03/06/20	182.73	22.43 W	0.00	574 of 771 - Sale <sup>25</sup>
	1.000	160.31	03/06/20	180.34	20.03 W	0.00	575 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	365.49	44.88 W	0.00	576 of 771 - Sale <sup>25</sup>
	2.000	320.61	03/06/20	362.01	41.40 W	0.00	577 of 771 - Sale <sup>25</sup>
	3.000	480.92	03/06/20	546.89	65.97 W	0.00	578 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	177.74	18.21 W	0.00	579 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	352.77	33.72 W	0.00	580 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	174.32	14.79 W	0.00	581 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.04	21.51 W	0.00	582 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	184.19	24.66 W	0.00	583 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	183.96	24.43 W	0.00	584 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	183.95	24.42 W	0.00	585 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	184.17	24.64 W	0.00	586 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	183.81	24.28 W	0.00	587 of 771 - Sale <sup>25</sup>
	5.000	797.63	03/06/20	921.43	123.80 W	0.00	588 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	180.54	21.01 W	0.00	589 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	180.17	20.64 W	0.00	590 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	182.16	22.63 W	0.00	591 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	180.74	21.22 W	0.00	592 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.95	22.42 W	0.00	593 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	181.76	22.24 W	0.00	594 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	185.55	26.02 W	0.00	595 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	185.55	26.03 W	0.00	596 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	184.77	25.24 W	0.00	597 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	184.64	25.12 W	0.00	598 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	183.25	23.72 W	0.00	599 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	182.83	23.31 W	0.00	600 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	182.82	23.29 W	0.00	601 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	319.05	03/06/20	363.58	44.53 W	0.00	602 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	364.15	45.10 W	0.00	603 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	368.29	49.24 W	0.00	604 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	365.65	46.60 W	0.00	605 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	179.92	20.40 W	0.00	606 of 771 - Sale <sup>25</sup>
	3.000	478.57	03/06/20	540.09	61.52 W	0.00	607 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	179.93	20.40 W	0.00	608 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	179.91	20.38 W	0.00	609 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	171.86	12.33 W	0.00	610 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	344.57	25.52 W	0.00	611 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	343.85	24.80 W	0.00	612 of 771 - Sale <sup>25</sup>
	3.000	478.58	03/06/20	516.56	37.98 W	0.00	613 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.03	21.50 W	0.00	614 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	182.11	22.58 W	0.00	615 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.10	21.57 W	0.00	616 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	180.69	21.16 W	0.00	617 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	180.77	21.24 W	0.00	618 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	364.29	45.24 W	0.00	619 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	362.06	43.01 W	0.00	620 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	361.91	42.86 W	0.00	621 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	182.23	22.70 W	0.00	622 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	182.21	22.68 W	0.00	623 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	183.65	24.12 W	0.00	624 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	184.34	24.81 W	0.00	625 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	183.97	24.44 W	0.00	626 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	364.06	45.01 W	0.00	627 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	366.07	47.02 W	0.00	628 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	179.54	20.01 W	0.00	629 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	178.27	18.74 W	0.00	630 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	177.58	18.05 W	0.00	631 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	177.60	18.07 W	0.00	632 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	177.43	17.90 W	0.00	633 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	178.36	18.83 W	0.00	634 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	178.55	19.02 W	0.00	635 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	159.52	03/06/20	178.71	19.19 W	0.00	636 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	178.70	19.17 W	0.00	637 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	178.72	19.20 W	0.00	638 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	354.94	35.89 W	0.00	639 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	360.98	41.93 W	0.00	640 of 771 - Sale <sup>25</sup>
	3.000	478.57	03/06/20	538.89	60.32 W	0.00	641 of 771 - Sale <sup>25</sup>
	3.000	478.58	03/06/20	479.72	1.14 W	0.00	642 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	181.83	22.31 W	0.00	643 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.82	22.29 W	0.00	644 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	179.42	19.90 W	0.00	645 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	360.18	41.13 W	0.00	646 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	177.60	18.07 W	0.00	647 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	352.50	33.45 W	0.00	648 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	174.18	14.66 W	0.00	649 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	180.90	21.37 W	0.00	650 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	177.44	17.91 W	0.00	651 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	177.39	17.87 W	0.00	652 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	176.52	17.00 W	0.00	653 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	352.76	33.71 W	0.00	654 of 771 - Sale <sup>25</sup>
	2.000	319.05	03/06/20	353.06	34.01 W	0.00	655 of 771 - Sale <sup>25</sup>
	3.000	478.58	03/06/20	530.12	51.54 W	0.00	656 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	176.50	16.98 W	0.00	657 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	176.51	16.98 W	0.00	658 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.04	21.51 W	0.00	659 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	181.06	21.54 W	0.00	660 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.00	21.47 W	0.00	661 of 771 - Sale <sup>25</sup>
	1.000	159.52	03/06/20	180.74	21.22 W	0.00	662 of 771 - Sale <sup>25</sup>
	1.000	159.53	03/06/20	181.82	22.29 W	0.00	663 of 771 - Sale <sup>25</sup>
	3.000	478.57	03/06/20	544.10	65.53 W	0.00	664 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	181.24	22.22 W	0.00	665 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	182.33	23.31 W	0.00	666 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	181.31	22.29 W	0.00	667 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	180.91	21.89 W	0.00	668 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	180.98	21.96 W	0.00	669 of 771 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	318.04	03/06/20	364.73	46.69 W	0.00	670 of 771 - Sale <sup>25</sup>
	2.000	318.04	03/06/20	362.50	44.46 W	0.00	671 of 771 - Sale <sup>25</sup>
	2.000	318.04	03/06/20	362.35	44.31 W	0.00	672 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	182.45	23.43 W	0.00	673 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	182.42	23.40 W	0.00	674 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	183.87	24.85 W	0.00	675 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	184.55	25.53 W	0.00	676 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	184.19	25.17 W	0.00	677 of 771 - Sale <sup>25</sup>
	2.000	318.04	03/06/20	364.50	46.46 W	0.00	678 of 771 - Sale <sup>25</sup>
	2.000	318.04	03/06/20	366.51	48.47 W	0.00	679 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	179.75	20.73 W	0.00	680 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	178.49	19.47 W	0.00	681 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	177.79	18.77 W	0.00	682 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	177.82	18.80 W	0.00	683 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	177.64	18.62 W	0.00	684 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	177.65	18.63 W	0.00	685 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	177.62	18.60 W	0.00	686 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	176.75	17.73 W	0.00	687 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	176.72	17.70 W	0.00	688 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	176.73	17.71 W	0.00	689 of 771 - Sale <sup>25</sup>
	2.000	318.04	03/06/20	353.20	35.16 W	0.00	690 of 771 - Sale <sup>25</sup>
	2.000	318.04	03/06/20	353.50	35.46 W	0.00	691 of 771 - Sale <sup>25</sup>
	3.000	477.06	03/06/20	530.77	53.71 W	0.00	692 of 771 - Sale <sup>25</sup>
	3.000	477.06	03/06/20	544.76	67.70 W	0.00	693 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	184.41	25.39 W	0.00	694 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	184.18	25.16 W	0.00	695 of 771 - Sale <sup>25</sup>
	1.000	159.02	03/06/20	184.17	25.15 W	0.00	696 of 771 - Sale <sup>25</sup>
	1.000	159.01	03/06/20	184.39	25.38 W	0.00	697 of 771 - Sale <sup>25</sup>
	5.000	795.10	03/06/20	922.53	127.43 W	0.00	698 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	184.03	25.11 W	0.00	699 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.76	21.84 W	0.00	700 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.39	21.47 W	0.00	701 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	182.38	23.46 W	0.00	702 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.97	22.05 W	0.00	703 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	158.92	03/06/20	182.17	23.25 W	0.00	704 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	181.99	23.07 W	0.00	705 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	185.77	26.85 W	0.00	706 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	185.78	26.86 W	0.00	707 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	184.99	26.07 W	0.00	708 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	184.87	25.95 W	0.00	709 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	183.46	24.54 W	0.00	710 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	183.06	24.14 W	0.00	711 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	183.03	24.11 W	0.00	712 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.15	21.23 W	0.00	713 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.12	21.20 W	0.00	714 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.15	21.23 W	0.00	715 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	364.02	46.19 W	0.00	716 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	364.59	46.76 W	0.00	717 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	368.73	50.90 W	0.00	718 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	366.09	48.26 W	0.00	719 of 771 - Sale <sup>25</sup>
	3.000	476.75	03/06/20	540.76	64.01 W	0.00	720 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	172.07	13.15 W	0.00	721 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	345.01	27.18 W	0.00	722 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	344.29	26.46 W	0.00	723 of 771 - Sale <sup>25</sup>
	3.000	476.75	03/06/20	517.22	40.47 W	0.00	724 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	178.58	19.66 W	0.00	725 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	178.76	19.84 W	0.00	726 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	178.94	20.02 W	0.00	727 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	178.91	19.99 W	0.00	728 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	178.95	20.03 W	0.00	729 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	355.38	37.55 W	0.00	730 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	361.42	43.59 W	0.00	731 of 771 - Sale <sup>25</sup>
	3.000	476.75	03/06/20	539.55	62.80 W	0.00	732 of 771 - Sale <sup>25</sup>
	3.000	476.74	03/06/20	480.38	3.64 W	0.00	733 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	181.47	22.55 W	0.00	734 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	182.56	23.65 W	0.00	735 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	181.54	22.62 W	0.00	736 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	181.14	22.23 W	0.00	737 of 771 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	158.92	03/06/20	181.21	22.29 W	0.00	738 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	365.19	47.36 W	0.00	739 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	362.96	45.13 W	0.00	740 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	362.81	44.98 W	0.00	741 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	182.68	23.77 W	0.00	742 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	182.65	23.73 W	0.00	743 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	184.10	25.19 W	0.00	744 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	184.78	25.86 W	0.00	745 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	184.42	25.51 W	0.00	746 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	364.96	47.13 W	0.00	747 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	366.97	49.14 W	0.00	748 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	179.98	21.06 W	0.00	749 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	178.72	19.81 W	0.00	750 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	178.02	19.10 W	0.00	751 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	178.05	19.14 W	0.00	752 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	177.87	18.95 W	0.00	753 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	180.76	21.85 W	0.00	754 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.79	21.87 W	0.00	755 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	180.72	21.81 W	0.00	756 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	180.47	21.55 W	0.00	757 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	181.54	22.63 W	0.00	758 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	181.56	22.64 W	0.00	759 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	181.54	22.63 W	0.00	760 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	179.15	20.23 W	0.00	761 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	359.63	41.80 W	0.00	762 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	177.32	18.41 W	0.00	763 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	351.95	34.12 W	0.00	764 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	173.91	14.99 W	0.00	765 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	180.62	21.71 W	0.00	766 of 771 - Sale <sup>25</sup>
	1.000	158.92	03/06/20	177.76	18.84 W	0.00	767 of 771 - Sale <sup>25</sup>
	1.000	158.91	03/06/20	177.73	18.82 W	0.00	768 of 771 - Sale <sup>25</sup>
	3.000	476.75	03/06/20	531.11	54.36 W	0.00	769 of 771 - Sale <sup>25</sup>
	2.000	317.83	03/06/20	353.28	35.45 W	0.00	770 of 771 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
03/06/20	2.000	317.83	03/06/20	353.58	35.75 W	0.00	771 of 771 - Sale <sup>25</sup>
	1,182.000	189,001.70	Various	212,702.45	23,700.75 W	0.00	Total of 771 transactions
	13 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	2.000	310.09	03/11/20	363.69	...	-53.60	1 of 13 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	355.61	...	-45.52	2 of 13 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	183.58	...	-28.54	3 of 13 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	183.59	...	-28.54	4 of 13 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	183.58	...	-28.54	5 of 13 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.82	...	-27.77	6 of 13 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	182.80	...	-27.76	7 of 13 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	182.76	...	-27.72	8 of 13 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.50	...	-27.45	9 of 13 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	180.98	...	-25.93	10 of 13 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	179.16	...	-24.12	11 of 13 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	178.88	...	-23.84	12 of 13 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	175.49	...	-20.44	13 of 13 - Sale <sup>25</sup>
03/11/20	15.000	2,325.67	Various	2,715.44	...	-389.77	Total of 13 transactions
	152 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	1.000	155.95	03/10/20	177.84	21.89 W	0.00	1 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	177.81	21.86 W	0.00	2 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	177.82	21.87 W	0.00	3 of 152 - Sale <sup>25</sup>
	3.000	467.84	03/10/20	548.03	80.19 W	0.00	4 of 152 - Sale <sup>25</sup>
	4.000	623.78	03/10/20	742.38	118.60 W	0.00	5 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	182.72	26.77 W	0.00	6 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	183.82	27.87 W	0.00	7 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	182.79	26.84 W	0.00	8 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	182.40	26.45 W	0.00	9 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	182.46	26.51 W	0.00	10 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	367.70	55.81 W	0.00	11 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	365.47	53.58 W	0.00	12 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	365.32	53.43 W	0.00	13 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	183.94	27.99 W	0.00	14 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	183.90	27.95 W	0.00	15 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	183.74	27.79 W	0.00	16 of 152 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	155.95	03/10/20	185.66	29.71 W	0.00	17 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	185.56	29.61 W	0.00	18 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	185.33	29.38 W	0.00	19 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	185.32	29.37 W	0.00	20 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	185.55	29.60 W	0.00	21 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	185.28	29.34 W	0.00	22 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	182.01	26.06 W	0.00	23 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	181.64	25.70 W	0.00	24 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	183.63	27.69 W	0.00	25 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	182.22	26.27 W	0.00	26 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	183.42	27.48 W	0.00	27 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	183.24	27.29 W	0.00	28 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	187.02	31.08 W	0.00	29 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	187.03	31.08 W	0.00	30 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	186.24	30.30 W	0.00	31 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	186.12	30.17 W	0.00	32 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	184.71	28.77 W	0.00	33 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	184.31	28.36 W	0.00	34 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	184.28	28.34 W	0.00	35 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	181.40	25.45 W	0.00	36 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	181.37	25.43 W	0.00	37 of 152 - Sale <sup>25</sup>
	1.000	155.95	03/10/20	181.40	25.45 W	0.00	38 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	366.53	54.64 W	0.00	39 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	367.10	55.21 W	0.00	40 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	371.24	59.35 W	0.00	41 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	368.60	56.71 W	0.00	42 of 152 - Sale <sup>25</sup>
	3.000	467.84	03/10/20	544.52	76.68 W	0.00	43 of 152 - Sale <sup>25</sup>
	1.000	155.94	03/10/20	173.32	17.38 W	0.00	44 of 152 - Sale <sup>25</sup>
	2.000	311.89	03/10/20	347.52	35.63 W	0.00	45 of 152 - Sale <sup>25</sup>
	2.000	310.48	03/10/20	346.80	36.32 W	0.00	46 of 152 - Sale <sup>25</sup>
	3.000	465.72	03/10/20	520.98	55.26 W	0.00	47 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	179.83	24.59 W	0.00	48 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	180.01	24.77 W	0.00	49 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	180.19	24.95 W	0.00	50 of 152 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	155.24	03/10/20	180.16	24.92 W	0.00	51 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	180.20	24.96 W	0.00	52 of 152 - Sale <sup>25</sup>
	2.000	310.48	03/10/20	357.89	47.41 W	0.00	53 of 152 - Sale <sup>25</sup>
	2.000	310.48	03/10/20	363.93	53.45 W	0.00	54 of 152 - Sale <sup>25</sup>
	3.000	465.73	03/10/20	543.31	77.58 W	0.00	55 of 152 - Sale <sup>25</sup>
	3.000	465.73	03/10/20	484.15	18.42 W	0.00	56 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	183.95	28.71 W	0.00	57 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	185.58	30.34 W	0.00	58 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	186.25	31.01 W	0.00	59 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	185.90	30.66 W	0.00	60 of 152 - Sale <sup>25</sup>
	2.000	310.48	03/10/20	369.92	59.44 W	0.00	61 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	181.45	26.21 W	0.00	62 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	180.20	24.96 W	0.00	63 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	179.49	24.25 W	0.00	64 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	179.53	24.29 W	0.00	65 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	179.34	24.10 W	0.00	66 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	182.24	27.00 W	0.00	67 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	182.26	27.02 W	0.00	68 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	182.20	26.96 W	0.00	69 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	181.94	26.70 W	0.00	70 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	183.02	27.78 W	0.00	71 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	183.03	27.79 W	0.00	72 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	183.02	27.78 W	0.00	73 of 152 - Sale <sup>25</sup>
	2.000	310.49	03/10/20	362.58	52.09 W	0.00	74 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	180.42	25.18 W	0.00	75 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	178.60	23.36 W	0.00	76 of 152 - Sale <sup>25</sup>
	2.000	310.49	03/10/20	354.50	44.01 W	0.00	77 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/10/20	175.18	19.94 W	0.00	78 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/11/20	178.57	23.33 W	0.00	79 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/11/20	176.02	20.78 W	0.00	80 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/11/20	176.00	20.76 W	0.00	81 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/11/20	175.08	19.84 W	0.00	82 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/11/20	183.11	27.87 W	0.00	83 of 152 - Sale <sup>25</sup>
	1.000	155.25	03/11/20	184.21	28.96 W	0.00	84 of 152 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	310.49	03/11/20	368.49	58.00 W	0.00	85 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/11/20	178.23	22.99 W	0.00	86 of 152 - Sale <sup>25</sup>
	1.000	155.25	03/11/20	178.20	22.95 W	0.00	87 of 152 - Sale <sup>25</sup>
	1.000	155.24	03/11/20	178.21	22.97 W	0.00	88 of 152 - Sale <sup>25</sup>
	2.000	310.49	03/11/20	348.92	38.43 W	0.00	89 of 152 - Sale <sup>25</sup>
	2.000	310.49	03/11/20	348.13	37.64 W	0.00	90 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	348.43	38.34 W	0.00	91 of 152 - Sale <sup>25</sup>
	3.000	465.14	03/11/20	549.21	84.07 W	0.00	92 of 152 - Sale <sup>25</sup>
	4.000	620.19	03/11/20	743.96	123.77 W	0.00	93 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	183.58	28.53 W	0.00	94 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	183.19	28.14 W	0.00	95 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	183.25	28.20 W	0.00	96 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	367.05	56.96 W	0.00	97 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	366.90	56.81 W	0.00	98 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	184.73	29.68 W	0.00	99 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	184.69	29.64 W	0.00	100 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	184.53	29.48 W	0.00	101 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.45	31.40 W	0.00	102 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.35	31.30 W	0.00	103 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.12	31.07 W	0.00	104 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.11	31.06 W	0.00	105 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.34	31.29 W	0.00	106 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.08	31.03 W	0.00	107 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.80	27.75 W	0.00	108 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.44	27.39 W	0.00	109 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	184.43	29.38 W	0.00	110 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	183.01	27.96 W	0.00	111 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	184.21	29.16 W	0.00	112 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	184.03	28.98 W	0.00	113 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	187.81	32.76 W	0.00	114 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	187.82	32.77 W	0.00	115 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	187.03	31.98 W	0.00	116 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.91	31.86 W	0.00	117 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	185.50	30.45 W	0.00	118 of 152 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	155.05	03/11/20	185.10	30.05 W	0.00	119 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	185.07	30.02 W	0.00	120 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.19	27.14 W	0.00	121 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.16	27.11 W	0.00	122 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.19	27.14 W	0.00	123 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	368.11	58.02 W	0.00	124 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	368.68	58.59 W	0.00	125 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	372.82	62.73 W	0.00	126 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	370.18	60.09 W	0.00	127 of 152 - Sale <sup>25</sup>
	3.000	465.14	03/11/20	546.89	81.75 W	0.00	128 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	174.11	19.07 W	0.00	129 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	174.89	19.85 W	0.00	130 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	349.10	39.01 W	0.00	131 of 152 - Sale <sup>25</sup>
	3.000	465.14	03/11/20	525.47	60.33 W	0.00	132 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	185.21	30.16 W	0.00	133 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	186.84	31.80 W	0.00	134 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	372.44	62.35 W	0.00	135 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	174.66	19.61 W	0.00	136 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	181.09	26.05 W	0.00	137 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	181.27	26.22 W	0.00	138 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	181.45	26.41 W	0.00	139 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	181.42	26.37 W	0.00	140 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	181.46	26.42 W	0.00	141 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	360.41	50.32 W	0.00	142 of 152 - Sale <sup>25</sup>
	2.000	310.09	03/11/20	366.45	56.36 W	0.00	143 of 152 - Sale <sup>25</sup>
	3.000	465.14	03/11/20	547.08	81.94 W	0.00	144 of 152 - Sale <sup>25</sup>
	3.000	465.13	03/11/20	487.92	22.79 W	0.00	145 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	186.81	31.76 W	0.00	146 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	186.46	31.42 W	0.00	147 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	182.01	26.96 W	0.00	148 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	180.76	25.72 W	0.00	149 of 152 - Sale <sup>25</sup>
	1.000	155.05	03/11/20	180.05	25.00 W	0.00	150 of 152 - Sale <sup>25</sup>
	1.000	155.04	03/11/20	180.09	25.05 W	0.00	151 of 152 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	155.05	03/11/20	179.90	24.85 W	0.00	152 of 152 - Sale <sup>25</sup>
03/11/20	206.000	32,005.30	Various	37,374.63	5,369.33 W	0.00	Total of 152 transactions
	46 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1.000	145.05	03/20/20	170.55	25.50 W	0.00	1 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	171.64	26.59 W	0.00	2 of 46 - Sale <sup>25</sup>
	2.000	290.09	03/20/20	343.36	53.27 W	0.00	3 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.67	20.62 W	0.00	4 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.63	20.58 W	0.00	5 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.65	20.60 W	0.00	6 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	163.46	18.41 W	0.00	7 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	163.44	18.39 W	0.00	8 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	162.52	17.47 W	0.00	9 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	160.72	15.67 W	0.00	10 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	160.41	15.36 W	0.00	11 of 46 - Sale <sup>25</sup>
	2.000	290.09	03/20/20	318.74	28.65 W	0.00	12 of 46 - Sale <sup>25</sup>
	2.000	290.09	03/20/20	319.50	29.41 W	0.00	13 of 46 - Sale <sup>25</sup>
	3.000	435.14	03/20/20	493.57	58.43 W	0.00	14 of 46 - Sale <sup>25</sup>
	2.000	290.09	03/20/20	334.89	44.80 W	0.00	15 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	168.43	23.38 W	0.00	16 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	168.68	23.63 W	0.00	17 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.94	20.89 W	0.00	18 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.73	20.68 W	0.00	19 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.78	20.73 W	0.00	20 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.90	20.85 W	0.00	21 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.89	20.84 W	0.00	22 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.39	20.34 W	0.00	23 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	165.45	20.40 W	0.00	24 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/20/20	166.91	21.87 W	0.00	25 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/20/20	166.84	21.79 W	0.00	26 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/20/20	166.48	21.44 W	0.00	27 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/23/20	170.00	24.95 W	0.00	28 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	168.84	23.80 W	0.00	29 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/23/20	166.47	21.42 W	0.00	30 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	166.46	21.42 W	0.00	31 of 46 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	145.05	03/23/20	166.69	21.64 W	0.00	32 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	166.43	21.39 W	0.00	33 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/23/20	163.15	18.10 W	0.00	34 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	162.79	17.75 W	0.00	35 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	164.78	19.74 W	0.00	36 of 46 - Sale <sup>25</sup>
	3.000	435.14	03/23/20	487.95	52.81 W	0.00	37 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/23/20	163.26	18.21 W	0.00	38 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	164.46	19.42 W	0.00	39 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/23/20	164.28	19.23 W	0.00	40 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	168.06	23.02 W	0.00	41 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/23/20	168.07	23.02 W	0.00	42 of 46 - Sale <sup>25</sup>
	1.000	145.04	03/23/20	167.28	22.24 W	0.00	43 of 46 - Sale <sup>25</sup>
	2.000	290.09	03/23/20	328.62	38.53 W	0.00	44 of 46 - Sale <sup>25</sup>
	2.000	290.09	03/23/20	329.19	39.10 W	0.00	45 of 46 - Sale <sup>25</sup>
	1.000	145.05	03/23/20	167.44	22.39 W	0.00	46 of 46 - Sale <sup>25</sup>
03/25/20	56.000	8,122.62	Various	9,261.39	1,138.77 W	0.00	Total of 46 transactions
	3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	166.81	03/25/20	154.44	...	12.37	1 of 3 - Sale <sup>25</sup>
	1.000	166.81	03/25/20	154.40	...	12.41	2 of 3 - Sale <sup>25</sup>
	1.000	166.69	03/25/20	153.80	...	12.89	3 of 3 - Sale <sup>25</sup>
04/21/20	3.000	500.31	Various	462.64	...	37.67	Total of 3 transactions
	49 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	2.000	340.32	03/25/20	367.53	27.21 W	0.00	1 of 49 - Sale <sup>25</sup>
	1.000	170.16	03/25/20	178.95	8.79 W	0.00	2 of 49 - Sale <sup>25</sup>
	1.000	170.16	03/25/20	178.55	8.39 W	0.00	3 of 49 - Sale <sup>25</sup>
	1.000	170.16	03/25/20	178.52	8.36 W	0.00	4 of 49 - Sale <sup>25</sup>
	1.000	170.16	03/25/20	175.64	5.48 W	0.00	5 of 49 - Sale <sup>25</sup>
	1.000	170.15	03/25/20	175.61	5.46 W	0.00	6 of 49 - Sale <sup>25</sup>
	1.000	170.16	03/25/20	175.64	5.48 W	0.00	7 of 49 - Sale <sup>25</sup>
	2.000	340.31	03/25/20	357.09	16.78 W	0.00	8 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	167.57	0.87 W	0.00	9 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	168.35	1.65 W	0.00	10 of 49 - Sale <sup>25</sup>
	2.000	333.39	03/25/20	336.01	2.62 W	0.00	11 of 49 - Sale <sup>25</sup>
	3.000	500.09	03/25/20	505.83	5.74 W	0.00	12 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	166.70	03/25/20	178.36	11.66 W	0.00	13 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	180.00	13.30 W	0.00	14 of 49 - Sale <sup>25</sup>
	2.000	333.39	03/25/20	358.75	25.36 W	0.00	15 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	167.81	1.11 W	0.00	16 of 49 - Sale <sup>25</sup>
	1.000	166.69	03/25/20	174.25	7.56 W	0.00	17 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	174.42	7.72 W	0.00	18 of 49 - Sale <sup>25</sup>
	1.000	166.69	03/25/20	174.61	7.92 W	0.00	19 of 49 - Sale <sup>25</sup>
	2.000	333.39	03/25/20	346.72	13.33 W	0.00	20 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	173.13	6.43 W	0.00	21 of 49 - Sale <sup>25</sup>
	1.000	166.69	03/25/20	173.18	6.49 W	0.00	22 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	174.68	7.98 W	0.00	23 of 49 - Sale <sup>25</sup>
	1.000	166.69	03/25/20	174.68	7.99 W	0.00	24 of 49 - Sale <sup>25</sup>
	2.000	333.39	03/25/20	347.63	14.24 W	0.00	25 of 49 - Sale <sup>25</sup>
	1.000	166.70	03/25/20	173.81	7.11 W	0.00	26 of 49 - Sale <sup>25</sup>
	1.000	166.81	03/25/20	178.46	11.65 W	0.00	27 of 49 - Sale <sup>25</sup>
	1.000	166.81	03/25/20	178.07	11.26 W	0.00	28 of 49 - Sale <sup>25</sup>
	1.000	166.81	03/25/20	173.86	7.05 W	0.00	29 of 49 - Sale <sup>25</sup>
	1.000	166.81	03/25/20	172.72	5.91 W	0.00	30 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/02/20	178.00	11.20 W	0.00	31 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/07/20	188.01	21.21 W	0.00	32 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/07/20	187.81	21.00 W	0.00	33 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/14/20	197.80	31.00 W	0.00	34 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/14/20	198.94	32.13 W	0.00	35 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/14/20	199.63	32.83 W	0.00	36 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/14/20	199.59	32.78 W	0.00	37 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/15/20	192.62	25.82 W	0.00	38 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/15/20	192.58	25.77 W	0.00	39 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/17/20	198.85	32.05 W	0.00	40 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/17/20	196.66	29.85 W	0.00	41 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/17/20	196.64	29.84 W	0.00	42 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/17/20	195.72	28.91 W	0.00	43 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/17/20	193.92	27.12 W	0.00	44 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/17/20	191.11	24.31 W	0.00	45 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/17/20	192.16	25.35 W	0.00	46 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	166.81	04/20/20	190.59	23.78 W	0.00	47 of 49 - Sale <sup>25</sup>
	1.000	166.80	04/20/20	190.98	24.18 W	0.00	48 of 49 - Sale <sup>25</sup>
	1.000	166.81	04/20/20	189.60	22.79 W	0.00	49 of 49 - Sale <sup>25</sup>
04/21/20	57.000	9,538.82	Various	10,311.64	772.82 W	0.00	Total of 49 transactions
	8 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	176.56	04/23/20	173.76	...	2.80	1 of 8 - Sale <sup>25</sup>
	1.000	176.56	04/23/20	173.06	...	3.50	2 of 8 - Sale <sup>25</sup>
	1.000	176.55	04/23/20	172.81	...	3.74	3 of 8 - Sale <sup>25</sup>
	1.000	176.56	04/23/20	172.78	...	3.78	4 of 8 - Sale <sup>25</sup>
	1.000	176.55	04/23/20	172.52	...	4.03	5 of 8 - Sale <sup>25</sup>
	1.000	176.55	04/23/20	172.46	...	4.09	6 of 8 - Sale <sup>25</sup>
	1.000	176.55	04/23/20	172.37	...	4.18	7 of 8 - Sale <sup>25</sup>
	1.000	176.56	04/28/20	173.24	...	3.32	8 of 8 - Sale <sup>25</sup>
05/01/20	8.000	1,412.44	Various	1,383.00	...	29.44	Total of 8 transactions
	166 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	176.56	04/22/20	193.09	16.53 W	0.00	1 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	192.88	16.32 W	0.00	2 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	192.93	16.37 W	0.00	3 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	193.05	16.49 W	0.00	4 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	193.04	16.48 W	0.00	5 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	192.54	15.98 W	0.00	6 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	192.60	16.04 W	0.00	7 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	194.07	17.51 W	0.00	8 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	193.99	17.43 W	0.00	9 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	193.64	17.08 W	0.00	10 of 166 - Sale <sup>25</sup>
	3.000	529.67	04/22/20	575.03	45.36 W	0.00	11 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	195.58	19.02 W	0.00	12 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	195.83	19.27 W	0.00	13 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/22/20	389.20	36.09 W	0.00	14 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	197.15	20.59 W	0.00	15 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/22/20	196.00	19.45 W	0.00	16 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	193.62	17.06 W	0.00	17 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/22/20	194.82	18.27 W	0.00	18 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	195.04	18.48 W	0.00	19 of 166 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	176.55	04/22/20	194.79	18.24 W	0.00	20 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	191.50	14.94 W	0.00	21 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/22/20	191.15	14.60 W	0.00	22 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/22/20	193.14	16.59 W	0.00	23 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	191.61	15.05 W	0.00	24 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/22/20	192.82	16.27 W	0.00	25 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	192.63	16.07 W	0.00	26 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/22/20	196.42	19.87 W	0.00	27 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	196.42	19.86 W	0.00	28 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/22/20	195.64	19.09 W	0.00	29 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/22/20	195.79	19.23 W	0.00	30 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/22/20	385.33	32.22 W	0.00	31 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/22/20	385.90	32.79 W	0.00	32 of 166 - Sale <sup>25</sup>
	3.000	529.67	04/22/20	573.01	43.34 W	0.00	33 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/23/20	180.84	4.29 W	0.00	34 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/23/20	180.44	3.88 W	0.00	35 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/23/20	180.41	3.86 W	0.00	36 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/23/20	177.53	0.97 W	0.00	37 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/23/20	177.51	0.96 W	0.00	38 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/23/20	177.53	0.97 W	0.00	39 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/23/20	371.31	18.20 W	0.00	40 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/23/20	360.88	7.77 W	0.00	41 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/23/20	182.53	5.97 W	0.00	42 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/24/20	367.46	14.35 W	0.00	43 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/28/20	185.43	8.88 W	0.00	44 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/28/20	179.69	3.14 W	0.00	45 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/28/20	357.59	4.48 W	0.00	46 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/28/20	178.96	2.40 W	0.00	47 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/28/20	179.28	2.73 W	0.00	48 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/28/20	177.75	1.19 W	0.00	49 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/28/20	177.72	1.17 W	0.00	50 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/28/20	178.87	2.31 W	0.00	51 of 166 - Sale <sup>25</sup>
	1.000	176.55	04/28/20	182.74	6.19 W	0.00	52 of 166 - Sale <sup>25</sup>
	1.000	176.56	04/28/20	179.08	2.52 W	0.00	53 of 166 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	176.55	04/28/20	178.20	1.65 W	0.00	54 of 166 - Sale <sup>25</sup>
	2.000	353.11	04/28/20	356.42	3.31 W	0.00	55 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	187.26	12.83 W	0.00	56 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	183.15	8.72 W	0.00	57 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	182.01	7.58 W	0.00	58 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	187.30	12.87 W	0.00	59 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	207.10	32.67 W	0.00	60 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	208.23	33.80 W	0.00	61 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	208.93	34.50 W	0.00	62 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	208.88	34.45 W	0.00	63 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	197.31	22.88 W	0.00	64 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	197.10	22.67 W	0.00	65 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	201.92	27.50 W	0.00	66 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	201.77	27.34 W	0.00	67 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	208.05	33.63 W	0.00	68 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	205.85	31.42 W	0.00	69 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	205.84	31.42 W	0.00	70 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	204.91	30.48 W	0.00	71 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	203.12	28.70 W	0.00	72 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	201.35	26.92 W	0.00	73 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	200.31	25.89 W	0.00	74 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	199.78	25.35 W	0.00	75 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	200.18	25.76 W	0.00	76 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	192.43	18.00 W	0.00	77 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	192.22	17.80 W	0.00	78 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	192.27	17.84 W	0.00	79 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	198.69	24.27 W	0.00	80 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	192.39	17.96 W	0.00	81 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	192.38	17.96 W	0.00	82 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	191.88	17.45 W	0.00	83 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	191.94	17.52 W	0.00	84 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	193.41	18.98 W	0.00	85 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	193.33	18.91 W	0.00	86 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	192.98	18.55 W	0.00	87 of 166 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	3.000	523.27	05/01/20	573.06	49.79 W	0.00	88 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	194.92	20.49 W	0.00	89 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	195.17	20.75 W	0.00	90 of 166 - Sale <sup>25</sup>
	2.000	348.85	05/01/20	387.89	39.04 W	0.00	91 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	196.49	22.06 W	0.00	92 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	195.35	20.93 W	0.00	93 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	192.76	18.33 W	0.00	94 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	193.97	19.55 W	0.00	95 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	194.18	19.75 W	0.00	96 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	193.94	19.52 W	0.00	97 of 166 - Sale <sup>25</sup>
	1.000	174.43	05/01/20	190.64	16.21 W	0.00	98 of 166 - Sale <sup>25</sup>
	1.000	174.42	05/01/20	190.30	15.88 W	0.00	99 of 166 - Sale <sup>25</sup>
	3.000	523.28	05/01/20	570.44	47.16 W	0.00	100 of 166 - Sale <sup>25</sup>
	1.000	174.26	05/01/20	192.29	18.03 W	0.00	101 of 166 - Sale <sup>25</sup>
	1.000	174.26	05/01/20	190.75	16.49 W	0.00	102 of 166 - Sale <sup>25</sup>
	1.000	174.26	05/01/20	191.97	17.71 W	0.00	103 of 166 - Sale <sup>25</sup>
	1.000	174.25	05/01/20	191.77	17.52 W	0.00	104 of 166 - Sale <sup>25</sup>
	1.000	174.26	05/01/20	195.57	21.31 W	0.00	105 of 166 - Sale <sup>25</sup>
	1.000	174.25	05/01/20	195.56	21.31 W	0.00	106 of 166 - Sale <sup>25</sup>
	2.000	348.51	05/01/20	383.62	35.11 W	0.00	107 of 166 - Sale <sup>25</sup>
	2.000	348.51	05/01/20	384.19	35.68 W	0.00	108 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	194.79	20.59 W	0.00	109 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	194.73	20.53 W	0.00	110 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	184.55	10.35 W	0.00	111 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	184.27	10.07 W	0.00	112 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	179.46	5.26 W	0.00	113 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	179.10	4.90 W	0.00	114 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	178.96	4.76 W	0.00	115 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	178.96	4.76 W	0.00	116 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	178.94	4.74 W	0.00	117 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	176.26	2.07 W	0.00	118 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	176.24	2.04 W	0.00	119 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	176.18	1.99 W	0.00	120 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	181.12	6.92 W	0.00	121 of 166 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	2.000	348.39	05/01/20	364.65	16.26 W	0.00	122 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	184.03	9.84 W	0.00	123 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	178.29	4.09 W	0.00	124 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	177.55	3.36 W	0.00	125 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	177.88	3.68 W	0.00	126 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	176.34	2.15 W	0.00	127 of 166 - Sale <sup>25</sup>
	2.000	348.39	05/01/20	354.78	6.39 W	0.00	128 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	181.41	7.21 W	0.00	129 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	176.39	2.20 W	0.00	130 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	177.53	3.33 W	0.00	131 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	177.74	3.55 W	0.00	132 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	176.88	2.68 W	0.00	133 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	176.70	2.51 W	0.00	134 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	176.70	2.50 W	0.00	135 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	187.46	13.27 W	0.00	136 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	183.35	9.15 W	0.00	137 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	182.21	8.02 W	0.00	138 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	187.50	13.30 W	0.00	139 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	207.30	33.11 W	0.00	140 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	208.43	34.23 W	0.00	141 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	209.13	34.94 W	0.00	142 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	209.08	34.88 W	0.00	143 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	197.51	23.32 W	0.00	144 of 166 - Sale <sup>25</sup>
	1.000	174.20	05/01/20	197.30	23.10 W	0.00	145 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	202.13	27.94 W	0.00	146 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	201.97	27.78 W	0.00	147 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	208.26	34.08 W	0.00	148 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	206.05	31.87 W	0.00	149 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	206.05	31.86 W	0.00	150 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	205.11	30.93 W	0.00	151 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	203.33	29.14 W	0.00	152 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	201.55	27.37 W	0.00	153 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	200.52	26.33 W	0.00	154 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	199.98	25.79 W	0.00	155 of 166 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	174.19	05/01/20	192.60	18.41 W	0.00	156 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	192.40	18.21 W	0.00	157 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	192.44	18.26 W	0.00	158 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	200.36	26.18 W	0.00	159 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	198.87	24.68 W	0.00	160 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	192.34	18.16 W	0.00	161 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	192.34	18.15 W	0.00	162 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	191.83	17.65 W	0.00	163 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	191.90	17.71 W	0.00	164 of 166 - Sale <sup>25</sup>
	1.000	174.18	05/01/20	193.36	19.18 W	0.00	165 of 166 - Sale <sup>25</sup>
	1.000	174.19	05/01/20	193.29	19.10 W	0.00	166 of 166 - Sale <sup>25</sup>
05/01/20	187.000	32,744.66	Various	35,683.90	2,939.24 W	0.00	Total of 166 transactions
	20 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	180.58	05/01/20	180.01	...	0.57	1 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/01/20	179.65	...	0.94	2 of 20 - Sale <sup>25</sup>
	1.000	180.58	05/01/20	179.51	...	1.07	3 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/01/20	179.51	...	1.08	4 of 20 - Sale <sup>25</sup>
	1.000	180.58	05/01/20	179.49	...	1.09	5 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/01/20	176.82	...	3.77	6 of 20 - Sale <sup>25</sup>
	1.000	180.58	05/01/20	176.79	...	3.79	7 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	180.22	...	0.37	8 of 20 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	178.61	...	1.97	9 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	178.39	...	2.20	10 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	177.74	...	2.85	11 of 20 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	177.57	...	3.01	12 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	177.56	...	3.03	13 of 20 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	177.39	...	3.19	14 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	177.28	...	3.31	15 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	177.06	...	3.53	16 of 20 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	176.98	...	3.60	17 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	176.66	...	3.93	18 of 20 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	175.29	...	5.30	19 of 20 - Sale <sup>25</sup>
	2.000	361.17	05/04/20	352.99	...	8.18	20 of 20 - Sale <sup>25</sup>
05/05/20	21.000	3,792.30	Various	3,735.52	...	56.78	Total of 20 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
44 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
1.000		180.59	05/01/20	193.05	12.46 W	0.00	1 of 44 - Sale <sup>25</sup>
3.000		541.76	05/01/20	573.29	31.53 W	0.00	2 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	194.99	14.40 W	0.00	3 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	195.25	14.66 W	0.00	4 of 44 - Sale <sup>25</sup>
2.000		361.17	05/01/20	388.04	26.87 W	0.00	5 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	196.56	15.97 W	0.00	6 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	195.43	14.84 W	0.00	7 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	192.83	12.24 W	0.00	8 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	194.05	13.46 W	0.00	9 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	194.25	13.66 W	0.00	10 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	194.02	13.43 W	0.00	11 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	190.71	10.12 W	0.00	12 of 44 - Sale <sup>25</sup>
1.000		180.58	05/01/20	190.38	9.80 W	0.00	13 of 44 - Sale <sup>25</sup>
1.000		180.58	05/01/20	192.53	11.95 W	0.00	14 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	190.99	10.40 W	0.00	15 of 44 - Sale <sup>25</sup>
1.000		180.58	05/01/20	192.21	11.63 W	0.00	16 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	192.02	11.43 W	0.00	17 of 44 - Sale <sup>25</sup>
2.000		361.17	05/01/20	384.11	22.94 W	0.00	18 of 44 - Sale <sup>25</sup>
3.000		541.76	05/01/20	570.66	28.90 W	0.00	19 of 44 - Sale <sup>25</sup>
1.000		180.58	05/01/20	185.10	4.52 W	0.00	20 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	184.82	4.23 W	0.00	21 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	196.06	15.47 W	0.00	22 of 44 - Sale <sup>25</sup>
1.000		180.58	05/01/20	196.06	15.48 W	0.00	23 of 44 - Sale <sup>25</sup>
1.000		180.59	05/01/20	195.34	14.75 W	0.00	24 of 44 - Sale <sup>25</sup>
1.000		180.58	05/01/20	195.28	14.70 W	0.00	25 of 44 - Sale <sup>25</sup>
2.000		361.17	05/01/20	385.18	24.01 W	0.00	26 of 44 - Sale <sup>25</sup>
2.000		361.17	05/04/20	362.86	1.69 W	0.00	27 of 44 - Sale <sup>25</sup>
1.000		180.58	05/04/20	183.14	2.56 W	0.00	28 of 44 - Sale <sup>25</sup>
1.000		180.58	05/04/20	182.29	1.71 W	0.00	29 of 44 - Sale <sup>25</sup>
1.000		180.58	05/04/20	188.33	7.75 W	0.00	30 of 44 - Sale <sup>25</sup>
1.000		180.59	05/04/20	184.21	3.62 W	0.00	31 of 44 - Sale <sup>25</sup>
1.000		180.58	05/04/20	183.08	2.50 W	0.00	32 of 44 - Sale <sup>25</sup>
1.000		180.59	05/04/20	188.36	7.77 W	0.00	33 of 44 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	180.58	05/04/20	208.17	27.59 W	0.00	34 of 44 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	209.29	28.70 W	0.00	35 of 44 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	210.00	29.42 W	0.00	36 of 44 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	209.94	29.35 W	0.00	37 of 44 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	198.38	17.80 W	0.00	38 of 44 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	198.16	17.57 W	0.00	39 of 44 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	203.00	22.42 W	0.00	40 of 44 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	202.84	22.25 W	0.00	41 of 44 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	209.14	28.56 W	0.00	42 of 44 - Sale <sup>25</sup>
	1.000	180.59	05/04/20	206.93	26.34 W	0.00	43 of 44 - Sale <sup>25</sup>
	1.000	180.58	05/04/20	206.91	26.33 W	0.00	44 of 44 - Sale <sup>25</sup>
05/05/20	52.000	9,390.46	Various	10,088.24	697.78 W	0.00	Total of 44 transactions
	17 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	178.67	05/14/20	177.48	...	1.19	1 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	177.12	...	1.55	2 of 17 - Sale <sup>25</sup>
	1.000	178.68	05/14/20	177.12	...	1.56	3 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	177.05	...	1.62	4 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	177.01	...	1.66	5 of 17 - Sale <sup>25</sup>
	1.000	178.68	05/14/20	177.00	...	1.68	6 of 17 - Sale <sup>25</sup>
	1.000	178.68	05/14/20	176.98	...	1.70	7 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	176.85	...	1.82	8 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	176.85	...	1.82	9 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	176.85	...	1.82	10 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	176.85	...	1.82	11 of 17 - Sale <sup>25</sup>
	1.000	178.68	05/14/20	176.85	...	1.83	12 of 17 - Sale <sup>25</sup>
	1.000	178.68	05/14/20	176.80	...	1.88	13 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	176.55	...	2.12	14 of 17 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	176.51	...	2.16	15 of 17 - Sale <sup>25</sup>
	1.000	178.68	05/14/20	176.50	...	2.18	16 of 17 - Sale <sup>25</sup>
	2.000	357.35	05/14/20	353.58	...	3.77	17 of 17 - Sale <sup>25</sup>
05/14/20	18.000	3,216.13	Various	3,183.95	...	32.18	Total of 17 transactions
	63 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	178.67	05/06/20	212.91	34.24 W	0.00	1 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	211.12	32.45 W	0.00	2 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	178.67	05/06/20	209.49	30.82 W	0.00	3 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	208.45	29.78 W	0.00	4 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	200.55	21.88 W	0.00	5 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	200.35	21.68 W	0.00	6 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	200.40	21.73 W	0.00	7 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	207.93	29.26 W	0.00	8 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	208.32	29.65 W	0.00	9 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	200.24	21.57 W	0.00	10 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	200.24	21.57 W	0.00	11 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	206.77	28.10 W	0.00	12 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	199.74	21.07 W	0.00	13 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	199.80	21.13 W	0.00	14 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	197.97	19.30 W	0.00	15 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	196.84	18.17 W	0.00	16 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	194.24	15.57 W	0.00	17 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	195.46	16.79 W	0.00	18 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	195.66	16.99 W	0.00	19 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	194.46	15.79 W	0.00	20 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	201.18	22.51 W	0.00	21 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	201.10	22.43 W	0.00	22 of 63 - Sale <sup>25</sup>
	3.000	536.01	05/06/20	577.53	41.52 W	0.00	23 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	196.40	17.73 W	0.00	24 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	196.66	17.99 W	0.00	25 of 63 - Sale <sup>25</sup>
	2.000	357.34	05/06/20	390.87	33.53 W	0.00	26 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	195.38	16.71 W	0.00	27 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	191.98	13.31 W	0.00	28 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	191.66	12.99 W	0.00	29 of 63 - Sale <sup>25</sup>
	3.000	536.01	05/06/20	574.48	38.47 W	0.00	30 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	193.79	15.12 W	0.00	31 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	192.24	13.57 W	0.00	32 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	193.47	14.80 W	0.00	33 of 63 - Sale <sup>25</sup>
	2.000	357.34	05/06/20	386.62	29.28 W	0.00	34 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	188.18	9.51 W	0.00	35 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	187.89	9.22 W	0.00	36 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	178.67	05/06/20	195.09	16.42 W	0.00	37 of 63 - Sale <sup>25</sup>
	2.000	357.34	05/06/20	391.33	33.99 W	0.00	38 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	184.46	5.79 W	0.00	39 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	199.08	20.41 W	0.00	40 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	199.09	20.42 W	0.00	41 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	198.36	19.69 W	0.00	42 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	198.30	19.63 W	0.00	43 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	183.79	5.12 W	0.00	44 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	185.51	6.84 W	0.00	45 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	184.59	5.92 W	0.00	46 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/06/20	190.63	11.96 W	0.00	47 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/12/20	184.74	6.07 W	0.00	48 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/12/20	183.62	4.95 W	0.00	49 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/12/20	188.89	10.22 W	0.00	50 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/12/20	208.71	30.04 W	0.00	51 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/12/20	209.82	31.15 W	0.00	52 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/12/20	210.42	31.75 W	0.00	53 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/12/20	210.35	31.68 W	0.00	54 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/13/20	195.40	16.73 W	0.00	55 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/13/20	195.57	16.90 W	0.00	56 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/13/20	200.42	21.75 W	0.00	57 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/13/20	200.25	21.58 W	0.00	58 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/13/20	206.56	27.89 W	0.00	59 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/13/20	204.34	25.67 W	0.00	60 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	203.93	25.26 W	0.00	61 of 63 - Sale <sup>25</sup>
	1.000	178.67	05/14/20	178.98	0.31 W	0.00	62 of 63 - Sale <sup>25</sup>
	1.000	178.68	05/14/20	178.98	0.30 W	0.00	63 of 63 - Sale <sup>25</sup>
05/14/20	70.000	12,506.91	Various	13,771.58	1,264.67 W	0.00	Total of 63 transactions
							74 transactions for 05/15/20. Total proceeds and cost reported to the IRS.
	1.000	177.51	05/14/20	200.73	23.22 W	0.00	1 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	200.53	23.02 W	0.00	2 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	200.58	23.07 W	0.00	3 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	200.42	22.91 W	0.00	4 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	213.09	35.58 W	0.00	5 of 74 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	177.50	05/14/20	211.30	33.80 W	0.00	6 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	209.67	32.16 W	0.00	7 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	208.63	31.13 W	0.00	8 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	208.11	30.60 W	0.00	9 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	208.50	31.00 W	0.00	10 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	194.54	17.03 W	0.00	11 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	200.32	22.82 W	0.00	12 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	199.82	22.31 W	0.00	13 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	199.88	22.38 W	0.00	14 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	201.26	23.75 W	0.00	15 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	201.18	23.68 W	0.00	16 of 74 - Sale <sup>25</sup>
	3.000	532.52	05/14/20	577.77	45.25 W	0.00	17 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	206.85	29.35 W	0.00	18 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	196.41	18.90 W	0.00	19 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	196.67	19.17 W	0.00	20 of 74 - Sale <sup>25</sup>
	2.000	355.01	05/14/20	390.89	35.88 W	0.00	21 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	197.98	20.47 W	0.00	22 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	196.77	19.27 W	0.00	23 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	194.17	16.66 W	0.00	24 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	195.39	17.89 W	0.00	25 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	195.59	18.08 W	0.00	26 of 74 - Sale <sup>25</sup>
	1.000	177.50	05/14/20	195.31	17.81 W	0.00	27 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	191.91	14.40 W	0.00	28 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	188.11	10.59 W	0.00	29 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	187.82	10.30 W	0.00	30 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	193.72	16.20 W	0.00	31 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	192.17	14.65 W	0.00	32 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	193.40	15.88 W	0.00	33 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	195.02	17.50 W	0.00	34 of 74 - Sale <sup>25</sup>
	2.000	355.03	05/14/20	386.48	31.45 W	0.00	35 of 74 - Sale <sup>25</sup>
	2.000	355.03	05/14/20	391.19	36.16 W	0.00	36 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	191.59	14.07 W	0.00	37 of 74 - Sale <sup>25</sup>
	3.000	532.55	05/14/20	574.27	41.72 W	0.00	38 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	185.14	7.62 W	0.00	39 of 74 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	177.52	05/14/20	199.76	22.24 W	0.00	40 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	199.77	22.25 W	0.00	41 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	199.04	21.52 W	0.00	42 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	198.98	21.46 W	0.00	43 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	185.85	8.33 W	0.00	44 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	187.57	10.05 W	0.00	45 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	186.65	9.13 W	0.00	46 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	192.69	15.17 W	0.00	47 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	186.80	9.28 W	0.00	48 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	185.68	8.16 W	0.00	49 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	190.95	13.43 W	0.00	50 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	210.77	33.26 W	0.00	51 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	211.88	34.36 W	0.00	52 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	212.48	34.97 W	0.00	53 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	212.41	34.89 W	0.00	54 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	197.46	19.95 W	0.00	55 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	197.63	20.11 W	0.00	56 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	202.48	24.97 W	0.00	57 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	202.31	24.79 W	0.00	58 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	208.62	31.11 W	0.00	59 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	206.40	28.88 W	0.00	60 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	205.99	28.48 W	0.00	61 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/14/20	181.04	3.52 W	0.00	62 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/14/20	181.03	3.52 W	0.00	63 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/15/20	202.22	24.70 W	0.00	64 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/15/20	201.82	24.31 W	0.00	65 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/15/20	201.75	24.23 W	0.00	66 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/15/20	201.41	23.90 W	0.00	67 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/15/20	214.58	37.06 W	0.00	68 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/15/20	212.79	35.28 W	0.00	69 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/15/20	211.06	33.54 W	0.00	70 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/15/20	210.03	32.52 W	0.00	71 of 74 - Sale <sup>25</sup>
	1.000	177.52	05/15/20	208.85	31.33 W	0.00	72 of 74 - Sale <sup>25</sup>
	1.000	177.51	05/15/20	209.25	31.74 W	0.00	73 of 74 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	177.52	05/15/20	195.03		0.00	74 of 74 - Sale <sup>25</sup>
05/15/20	81.000	14,378.53	Various	16,086.21	17.51 W 1,707.68 W	0.00	Total of 74 transactions
	49 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	185.03	05/18/20	193.53	8.50 W	0.00	1 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	194.24	9.21 W	0.00	2 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	195.96	10.93 W	0.00	3 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	195.04	10.01 W	0.00	4 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	201.08	16.05 W	0.00	5 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	195.19	10.16 W	0.00	6 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	194.07	9.04 W	0.00	7 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	208.73	23.70 W	0.00	8 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	208.22	23.20 W	0.00	9 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	208.29	23.26 W	0.00	10 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	209.66	24.64 W	0.00	11 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	209.59	24.56 W	0.00	12 of 49 - Sale <sup>25</sup>
	3.000	555.07	05/18/20	602.98	47.91 W	0.00	13 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	199.34	14.31 W	0.00	14 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	219.17	34.15 W	0.00	15 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	220.27	35.24 W	0.00	16 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	220.88	35.86 W	0.00	17 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	220.80	35.77 W	0.00	18 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	215.26	30.24 W	0.00	19 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	196.50	11.47 W	0.00	20 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	196.21	11.19 W	0.00	21 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	204.81	19.78 W	0.00	22 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	205.08	20.06 W	0.00	23 of 49 - Sale <sup>25</sup>
	2.000	370.05	05/18/20	407.70	37.65 W	0.00	24 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	206.38	21.35 W	0.00	25 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	205.18	20.16 W	0.00	26 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	202.57	17.54 W	0.00	27 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	203.80	18.78 W	0.00	28 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	203.99	18.96 W	0.00	29 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	203.72	18.70 W	0.00	30 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	200.31	15.28 W	0.00	31 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.02	05/18/20	199.98	14.96 W	0.00	32 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	202.11	17.09 W	0.00	33 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	200.56	15.53 W	0.00	34 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	201.79	16.77 W	0.00	35 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	203.41	18.38 W	0.00	36 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	208.15	23.13 W	0.00	37 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	208.16	23.13 W	0.00	38 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	207.43	22.41 W	0.00	39 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	207.37	22.34 W	0.00	40 of 49 - Sale <sup>25</sup>
	2.000	370.05	05/18/20	403.27	33.22 W	0.00	41 of 49 - Sale <sup>25</sup>
	2.000	370.05	05/18/20	407.98	37.93 W	0.00	42 of 49 - Sale <sup>25</sup>
	3.000	555.08	05/18/20	599.45	44.37 W	0.00	43 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	205.49	20.47 W	0.00	44 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	205.64	20.61 W	0.00	45 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	210.65	25.63 W	0.00	46 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	210.43	25.40 W	0.00	47 of 49 - Sale <sup>25</sup>
	1.000	185.02	05/18/20	216.74	31.72 W	0.00	48 of 49 - Sale <sup>25</sup>
	1.000	185.03	05/18/20	214.18	29.15 W	0.00	49 of 49 - Sale <sup>25</sup>
05/18/20	56.000	10,361.44	Various	11,461.34	1,099.90 W	0.00	Total of 49 transactions
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	1.000	185.63	05/19/20	185.56	...	0.07	1 of 2 - Sale <sup>25</sup>
	42.000	7,796.26	05/19/20	7,794.66	...	1.60	2 of 2 - Sale <sup>25</sup>
05/19/20	43.000	7,981.89	Various	7,980.22	...	1.67	Total of 2 transactions
	225 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	1.000	185.27	05/19/20	193.60	8.33 W	0.00	1 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	194.31	9.05 W	0.00	2 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	196.03	10.76 W	0.00	3 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	195.11	9.85 W	0.00	4 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	201.15	15.88 W	0.00	5 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	195.26	10.00 W	0.00	6 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	202.61	17.34 W	0.00	7 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	217.62	32.36 W	0.00	8 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	216.43	31.16 W	0.00	9 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	216.84	31.58 W	0.00	10 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.27	05/19/20	209.95	24.68 W	0.00	11 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	209.56	24.29 W	0.00	12 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	209.48	24.21 W	0.00	13 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	209.15	23.88 W	0.00	14 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	222.31	37.04 W	0.00	15 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	220.53	35.26 W	0.00	16 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	218.79	33.52 W	0.00	17 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	213.73	28.46 W	0.00	18 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	188.77	3.50 W	0.00	19 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	188.77	3.50 W	0.00	20 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	194.02	8.75 W	0.00	21 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	208.68	23.42 W	0.00	22 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	208.18	22.91 W	0.00	23 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	208.24	22.98 W	0.00	24 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	209.62	24.35 W	0.00	25 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	210.56	25.30 W	0.00	26 of 225 - Sale <sup>25</sup>
	3.000	555.80	05/19/20	605.91	50.11 W	0.00	27 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	200.31	15.05 W	0.00	28 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	220.15	34.88 W	0.00	29 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	221.24	35.98 W	0.00	30 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	221.86	36.59 W	0.00	31 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	221.77	36.51 W	0.00	32 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	206.47	21.20 W	0.00	33 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	206.61	21.35 W	0.00	34 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	216.24	30.97 W	0.00	35 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	211.63	26.37 W	0.00	36 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	211.40	26.13 W	0.00	37 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	197.47	12.21 W	0.00	38 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	197.19	11.92 W	0.00	39 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	217.72	32.46 W	0.00	40 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	215.15	29.88 W	0.00	41 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	205.78	20.52 W	0.00	42 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	206.06	20.79 W	0.00	43 of 225 - Sale <sup>25</sup>
	2.000	370.53	05/19/20	409.65	39.12 W	0.00	44 of 225 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.26	05/19/20	207.35	22.09 W	0.00	45 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	206.16	20.89 W	0.00	46 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	203.54	18.28 W	0.00	47 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	204.78	19.51 W	0.00	48 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	204.96	19.70 W	0.00	49 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	204.70	19.43 W	0.00	50 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	201.28	16.02 W	0.00	51 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	200.96	15.69 W	0.00	52 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	203.09	17.82 W	0.00	53 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	201.53	16.27 W	0.00	54 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	202.77	17.50 W	0.00	55 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	204.38	19.12 W	0.00	56 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	209.13	23.86 W	0.00	57 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	209.13	23.87 W	0.00	58 of 225 - Sale <sup>25</sup>
	1.000	185.27	05/19/20	208.41	23.14 W	0.00	59 of 225 - Sale <sup>25</sup>
	1.000	185.26	05/19/20	208.34	23.08 W	0.00	60 of 225 - Sale <sup>25</sup>
	2.000	370.53	05/19/20	405.22	34.69 W	0.00	61 of 225 - Sale <sup>25</sup>
	2.000	370.53	05/19/20	409.93	39.40 W	0.00	62 of 225 - Sale <sup>25</sup>
	3.000	555.79	05/19/20	602.37	46.58 W	0.00	63 of 225 - Sale <sup>25</sup>
	5.000	926.33	05/19/20	930.00	3.67 W	0.00	64 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	210.85	25.04 W	0.00	65 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	210.46	24.65 W	0.00	66 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	210.38	24.57 W	0.00	67 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	210.05	24.24 W	0.00	68 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	223.21	37.40 W	0.00	69 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	221.43	35.62 W	0.00	70 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	219.69	33.88 W	0.00	71 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	214.63	28.82 W	0.00	72 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	189.67	3.86 W	0.00	73 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	189.67	3.86 W	0.00	74 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	194.33	8.52 W	0.00	75 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	195.05	9.24 W	0.00	76 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	196.76	10.95 W	0.00	77 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	195.85	10.04 W	0.00	78 of 225 - Sale <sup>25</sup>

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(continued)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.81	05/19/20	201.88	16.07 W	0.00	79 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	196.00	10.19 W	0.00	80 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	203.34	17.53 W	0.00	81 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	218.36	32.55 W	0.00	82 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	217.16	31.35 W	0.00	83 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	217.58	31.77 W	0.00	84 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	194.57	8.76 W	0.00	85 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	209.24	23.43 W	0.00	86 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	208.73	22.92 W	0.00	87 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	208.80	22.99 W	0.00	88 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	210.17	24.36 W	0.00	89 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	211.20	25.39 W	0.00	90 of 225 - Sale <sup>25</sup>
	3.000	557.43	05/19/20	607.81	50.38 W	0.00	91 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	200.95	15.14 W	0.00	92 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	220.82	35.01 W	0.00	93 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	221.92	36.11 W	0.00	94 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	222.53	36.72 W	0.00	95 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	222.45	36.64 W	0.00	96 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	207.14	21.33 W	0.00	97 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	207.35	21.54 W	0.00	98 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	216.97	31.16 W	0.00	99 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	212.37	26.56 W	0.00	100 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	212.13	26.32 W	0.00	101 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	198.21	12.40 W	0.00	102 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	197.92	12.11 W	0.00	103 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	218.46	32.65 W	0.00	104 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	215.88	30.07 W	0.00	105 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	206.52	20.71 W	0.00	106 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	206.79	20.98 W	0.00	107 of 225 - Sale <sup>25</sup>
	2.000	371.62	05/19/20	411.12	39.50 W	0.00	108 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	208.09	22.28 W	0.00	109 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	206.89	21.08 W	0.00	110 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	204.28	18.47 W	0.00	111 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	205.51	19.70 W	0.00	112 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.81	05/19/20	205.70	19.89 W	0.00	113 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	205.43	19.62 W	0.00	114 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	202.02	16.21 W	0.00	115 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	201.69	15.88 W	0.00	116 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	203.82	18.01 W	0.00	117 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	202.27	16.46 W	0.00	118 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	203.50	17.69 W	0.00	119 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	205.12	19.31 W	0.00	120 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	209.86	24.05 W	0.00	121 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	209.87	24.06 W	0.00	122 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	209.14	23.33 W	0.00	123 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	209.08	23.27 W	0.00	124 of 225 - Sale <sup>25</sup>
	2.000	371.62	05/19/20	406.69	35.07 W	0.00	125 of 225 - Sale <sup>25</sup>
	2.000	371.62	05/19/20	411.40	39.78 W	0.00	126 of 225 - Sale <sup>25</sup>
	3.000	557.43	05/19/20	604.58	47.15 W	0.00	127 of 225 - Sale <sup>25</sup>
	5.000	929.06	05/19/20	933.67	4.61 W	0.00	128 of 225 - Sale <sup>25</sup>
	60.000	11,148.69	05/19/20	11,159.99	11.30 W	0.00	129 of 225 - Sale <sup>25</sup>
	1.000	185.81	05/19/20	185.97	0.16 W	0.00	130 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	210.74	25.21 W	0.00	131 of 225 - Sale <sup>25</sup>
	1.000	185.52	05/19/20	210.35	24.83 W	0.00	132 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	210.27	24.74 W	0.00	133 of 225 - Sale <sup>25</sup>
	1.000	185.52	05/19/20	209.94	24.42 W	0.00	134 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	223.10	37.57 W	0.00	135 of 225 - Sale <sup>25</sup>
	1.000	185.52	05/19/20	194.17	8.65 W	0.00	136 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	194.89	9.36 W	0.00	137 of 225 - Sale <sup>25</sup>
	1.000	185.52	05/19/20	196.60	11.08 W	0.00	138 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	195.69	10.16 W	0.00	139 of 225 - Sale <sup>25</sup>
	1.000	185.52	05/19/20	201.72	16.20 W	0.00	140 of 225 - Sale <sup>25</sup>
	1.000	185.32	05/19/20	221.27	35.95 W	0.00	141 of 225 - Sale <sup>25</sup>
	1.000	185.41	05/19/20	219.53	34.12 W	0.00	142 of 225 - Sale <sup>25</sup>
	1.000	185.42	05/19/20	214.47	29.05 W	0.00	143 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	195.79	10.25 W	0.00	144 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	203.13	17.60 W	0.00	145 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	218.15	32.61 W	0.00	146 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.53	05/19/20	216.95	31.42 W	0.00	147 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	217.37	31.83 W	0.00	148 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	189.51	3.97 W	0.00	149 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	189.51	3.97 W	0.00	150 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	194.28	8.75 W	0.00	151 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	208.97	23.44 W	0.00	152 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	208.46	22.92 W	0.00	153 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	208.47	22.94 W	0.00	154 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	209.84	24.30 W	0.00	155 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	210.87	25.34 W	0.00	156 of 225 - Sale <sup>25</sup>
	3.000	556.61	05/19/20	606.82	50.21 W	0.00	157 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	200.62	15.09 W	0.00	158 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	220.49	34.95 W	0.00	159 of 225 - Sale <sup>25</sup>
	1.000	185.53	05/19/20	221.59	36.06 W	0.00	160 of 225 - Sale <sup>25</sup>
	1.000	185.54	05/19/20	222.20	36.66 W	0.00	161 of 225 - Sale <sup>25</sup>
	1.000	185.55	05/19/20	222.10	36.55 W	0.00	162 of 225 - Sale <sup>25</sup>
	1.000	185.70	05/19/20	206.79	21.09 W	0.00	163 of 225 - Sale <sup>25</sup>
	1.000	185.75	05/19/20	207.00	21.25 W	0.00	164 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	216.62	30.99 W	0.00	165 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	212.02	26.39 W	0.00	166 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	211.78	26.15 W	0.00	167 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	197.86	12.23 W	0.00	168 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	197.57	11.94 W	0.00	169 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	218.11	32.49 W	0.00	170 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	215.53	29.90 W	0.00	171 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	206.16	20.54 W	0.00	172 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	206.43	20.80 W	0.00	173 of 225 - Sale <sup>25</sup>
	2.000	371.25	05/19/20	410.40	39.15 W	0.00	174 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	207.73	22.11 W	0.00	175 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	206.53	20.90 W	0.00	176 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	203.92	18.30 W	0.00	177 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	205.15	19.52 W	0.00	178 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	205.34	19.72 W	0.00	179 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	205.07	19.44 W	0.00	180 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.62	05/19/20	201.66	16.04 W	0.00	181 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	201.33	15.70 W	0.00	182 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	203.46	17.83 W	0.00	183 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	201.91	16.29 W	0.00	184 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	203.14	17.51 W	0.00	185 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	204.76	19.14 W	0.00	186 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	209.50	23.87 W	0.00	187 of 225 - Sale <sup>25</sup>
	2.000	371.25	05/19/20	405.97	34.72 W	0.00	188 of 225 - Sale <sup>25</sup>
	2.000	371.25	05/19/20	410.68	39.43 W	0.00	189 of 225 - Sale <sup>25</sup>
	3.000	556.87	05/19/20	603.50	46.63 W	0.00	190 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	209.51	23.88 W	0.00	191 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	208.78	23.15 W	0.00	192 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	208.72	23.09 W	0.00	193 of 225 - Sale <sup>25</sup>
	5.000	928.13	05/19/20	931.86	3.73 W	0.00	194 of 225 - Sale <sup>25</sup>
	18.000	3,341.26	05/19/20	3,341.49	0.23 W	0.00	195 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	194.05	8.42 W	0.00	196 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	194.75	9.12 W	0.00	197 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	210.61	24.98 W	0.00	198 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	210.23	24.60 W	0.00	199 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	210.14	24.51 W	0.00	200 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	209.82	24.19 W	0.00	201 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	222.97	37.34 W	0.00	202 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	196.38	10.76 W	0.00	203 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	195.46	9.83 W	0.00	204 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	201.50	15.88 W	0.00	205 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	195.55	9.92 W	0.00	206 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	194.05	8.43 W	0.00	207 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	202.90	17.27 W	0.00	208 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	208.74	23.12 W	0.00	209 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	208.21	22.58 W	0.00	210 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	208.24	22.62 W	0.00	211 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	209.59	23.96 W	0.00	212 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	210.64	25.02 W	0.00	213 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	202.03	16.40 W	0.00	214 of 225 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.62	05/19/20	221.25	35.63 W	0.00	215 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	219.42	33.79 W	0.00	216 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	217.91	32.29 W	0.00	217 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	216.72	31.09 W	0.00	218 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	217.13	31.51 W	0.00	219 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	214.35	28.72 W	0.00	220 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	189.27	3.65 W	0.00	221 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	189.27	3.64 W	0.00	222 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	202.03	16.41 W	0.00	223 of 225 - Sale <sup>25</sup>
	1.000	185.63	05/19/20	202.12	16.49 W	0.00	224 of 225 - Sale <sup>25</sup>
	1.000	185.62	05/19/20	200.47	14.85 W	0.00	225 of 225 - Sale <sup>25</sup>
05/19/20	334.000	61,993.76	Various	67,090.85	5,097.09 W	0.00	Total of 225 transactions
	4 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	184.97	05/20/20	220.24	35.27 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	184.96	05/20/20	220.91	35.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	184.97	05/20/20	221.26	36.29 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	184.96	05/20/20	221.39	36.43 W	0.00	4 of 4 - Sale <sup>25</sup>
05/20/20	4.000	739.86	Various	883.80	143.94 W	0.00	Total of 4 transactions
	2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	4.000	732.30	05/22/20	731.69	...	0.61	1 of 2 - Sale <sup>25</sup>
	5.000	915.38	05/22/20	914.36	...	1.02	2 of 2 - Sale <sup>25</sup>
05/22/20	9.000	1,647.68	Various	1,646.05	...	1.63	Total of 2 transactions
	39 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	183.67	05/22/20	204.67	21.00 W	0.00	1 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	204.83	21.16 W	0.00	2 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	214.57	30.90 W	0.00	3 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	209.97	26.30 W	0.00	4 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	209.73	26.06 W	0.00	5 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	195.81	12.14 W	0.00	6 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	195.52	11.85 W	0.00	7 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	216.07	32.40 W	0.00	8 of 39 - Sale <sup>25</sup>
	1.000	183.66	05/22/20	213.48	29.82 W	0.00	9 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	203.16	19.49 W	0.00	10 of 39 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	183.66	05/22/20	203.43	19.77 W	0.00	11 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	204.40	20.73 W	0.00	12 of 39 - Sale <sup>25</sup>
	1.000	183.66	05/22/20	204.66	21.00 W	0.00	13 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	205.97	22.30 W	0.00	14 of 39 - Sale <sup>25</sup>
	1.000	183.66	05/22/20	204.76	21.10 W	0.00	15 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	202.16	18.49 W	0.00	16 of 39 - Sale <sup>25</sup>
	1.000	183.66	05/22/20	203.38	19.72 W	0.00	17 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	203.58	19.91 W	0.00	18 of 39 - Sale <sup>25</sup>
	1.000	183.66	05/22/20	203.30	19.64 W	0.00	19 of 39 - Sale <sup>25</sup>
	1.000	183.67	05/22/20	199.90	16.23 W	0.00	20 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	199.34	16.26 W	0.00	21 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	201.47	18.39 W	0.00	22 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	199.93	16.85 W	0.00	23 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	201.15	18.07 W	0.00	24 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	202.78	19.70 W	0.00	25 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	207.51	24.43 W	0.00	26 of 39 - Sale <sup>25</sup>
	1.000	183.07	05/22/20	207.52	24.45 W	0.00	27 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	206.79	23.71 W	0.00	28 of 39 - Sale <sup>25</sup>
	1.000	183.07	05/22/20	206.73	23.66 W	0.00	29 of 39 - Sale <sup>25</sup>
	2.000	366.15	05/22/20	402.00	35.85 W	0.00	30 of 39 - Sale <sup>25</sup>
	2.000	366.15	05/22/20	406.71	40.56 W	0.00	31 of 39 - Sale <sup>25</sup>
	3.000	549.23	05/22/20	597.55	48.32 W	0.00	32 of 39 - Sale <sup>25</sup>
	4.000	732.30	05/22/20	737.54	5.24 W	0.00	33 of 39 - Sale <sup>25</sup>
	1.000	183.08	05/22/20	184.27	1.19 W	0.00	34 of 39 - Sale <sup>25</sup>
	1.000	183.07	05/22/20	183.52	0.45 W	0.00	35 of 39 - Sale <sup>25</sup>
	5.000	915.38	05/22/20	917.96	2.58 W	0.00	36 of 39 - Sale <sup>25</sup>
	1.000	183.07	05/22/20	183.55	0.48 W	0.00	37 of 39 - Sale <sup>25</sup>
	2.000	366.15	05/22/20	367.15	1.00 W	0.00	38 of 39 - Sale <sup>25</sup>
	1.000	183.07	05/22/20	191.33	8.26 W	0.00	39 of 39 - Sale <sup>25</sup>
05/22/20	51.000	9,348.69	Various	10,108.15	759.46 W	0.00	Total of 39 transactions
	40 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	185.91	05/26/20	195.15	9.24 W	0.00	1 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	196.81	10.90 W	0.00	2 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	195.88	9.97 W	0.00	3 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	185.90	05/26/20	201.93	16.03 W	0.00	4 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	195.97	10.06 W	0.00	5 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	211.03	25.13 W	0.00	6 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	210.65	24.74 W	0.00	7 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	210.56	24.66 W	0.00	8 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	210.24	24.33 W	0.00	9 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	223.39	37.49 W	0.00	10 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	194.50	8.59 W	0.00	11 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	203.34	17.44 W	0.00	12 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	209.19	23.28 W	0.00	13 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	208.65	22.75 W	0.00	14 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	208.69	22.78 W	0.00	15 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	210.03	24.13 W	0.00	16 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	211.09	25.18 W	0.00	17 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	202.47	16.57 W	0.00	18 of 40 - Sale <sup>25</sup>
	1.000	185.91	05/26/20	221.70	35.79 W	0.00	19 of 40 - Sale <sup>25</sup>
	1.000	185.90	05/26/20	219.86	33.96 W	0.00	20 of 40 - Sale <sup>25</sup>
	1.000	183.45	05/26/20	200.00	16.55 W	0.00	21 of 40 - Sale <sup>25</sup>
	1.000	183.45	05/26/20	200.08	16.63 W	0.00	22 of 40 - Sale <sup>25</sup>
	1.000	183.45	05/26/20	198.44	14.99 W	0.00	23 of 40 - Sale <sup>25</sup>
	1.000	183.44	05/26/20	218.85	35.41 W	0.00	24 of 40 - Sale <sup>25</sup>
	1.000	183.45	05/26/20	215.88	32.43 W	0.00	25 of 40 - Sale <sup>25</sup>
	1.000	183.44	05/26/20	214.68	31.24 W	0.00	26 of 40 - Sale <sup>25</sup>
	1.000	183.45	05/26/20	215.10	31.65 W	0.00	27 of 40 - Sale <sup>25</sup>
	1.000	183.44	05/26/20	212.31	28.87 W	0.00	28 of 40 - Sale <sup>25</sup>
	1.000	183.45	05/26/20	187.24	3.79 W	0.00	29 of 40 - Sale <sup>25</sup>
	1.000	183.44	05/26/20	187.23	3.79 W	0.00	30 of 40 - Sale <sup>25</sup>
	1.000	181.73	05/26/20	218.88	37.15 W	0.00	31 of 40 - Sale <sup>25</sup>
	1.000	181.72	05/26/20	219.36	37.64 W	0.00	32 of 40 - Sale <sup>25</sup>
	1.000	181.73	05/26/20	219.22	37.49 W	0.00	33 of 40 - Sale <sup>25</sup>
	1.000	181.72	05/26/20	203.93	22.21 W	0.00	34 of 40 - Sale <sup>25</sup>
	1.000	181.73	05/26/20	204.09	22.36 W	0.00	35 of 40 - Sale <sup>25</sup>
	1.000	181.72	05/26/20	213.83	32.11 W	0.00	36 of 40 - Sale <sup>25</sup>
	1.000	181.72	05/26/20	209.23	27.51 W	0.00	37 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	181.73	05/26/20	208.99	27.26 W	0.00	38 of 40 - Sale <sup>25</sup>
	1.000	181.72	05/26/20	195.07	13.35 W	0.00	39 of 40 - Sale <sup>25</sup>
	1.000	181.73	05/26/20	194.78	13.05 W	0.00	40 of 40 - Sale <sup>25</sup>
05/26/20	40.000	7,369.82	Various	8,278.32	908.50 W	0.00	Total of 40 transactions
	4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	183.64	05/28/20	183.13	...	0.51	1 of 4 - Sale <sup>25</sup>
	1.000	183.63	05/28/20	183.06	...	0.57	2 of 4 - Sale <sup>25</sup>
	1.000	183.63	05/28/20	182.97	...	0.66	3 of 4 - Sale <sup>25</sup>
	4.000	734.54	05/28/20	732.02	...	2.52	4 of 4 - Sale <sup>25</sup>
05/28/20	7.000	1,285.44	Various	1,281.18	...	4.26	Total of 4 transactions
	42 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	183.64	05/28/20	214.25	30.61 W	0.00	1 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	211.67	28.03 W	0.00	2 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	201.34	17.70 W	0.00	3 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	201.62	17.98 W	0.00	4 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	202.58	18.94 W	0.00	5 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	202.75	19.11 W	0.00	6 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	204.05	20.41 W	0.00	7 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	202.85	19.21 W	0.00	8 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	200.24	16.60 W	0.00	9 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	201.47	17.83 W	0.00	10 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	201.47	17.83 W	0.00	11 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	201.65	18.01 W	0.00	12 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	198.24	14.60 W	0.00	13 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	198.27	14.63 W	0.00	14 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	200.40	16.76 W	0.00	15 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	198.86	15.22 W	0.00	16 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	200.08	16.44 W	0.00	17 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	199.94	16.30 W	0.00	18 of 42 - Sale <sup>25</sup>
	3.000	550.91	05/28/20	594.35	43.44 W	0.00	19 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	200.51	16.87 W	0.00	20 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	202.29	18.65 W	0.00	21 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	207.02	23.38 W	0.00	22 of 42 - Sale <sup>25</sup>
	2.000	367.27	05/28/20	405.74	38.47 W	0.00	23 of 42 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	183.64	05/28/20	207.06	23.42 W	0.00	24 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	206.32	22.68 W	0.00	25 of 42 - Sale <sup>25</sup>
	1.000	183.63	05/28/20	206.27	22.64 W	0.00	26 of 42 - Sale <sup>25</sup>
	4.000	734.54	05/28/20	735.68	1.14 W	0.00	27 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	183.80	0.16 W	0.00	28 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	192.01	8.37 W	0.00	29 of 42 - Sale <sup>25</sup>
	1.000	183.63	05/28/20	192.99	9.36 W	0.00	30 of 42 - Sale <sup>25</sup>
	1.000	183.64	05/28/20	194.65	11.01 W	0.00	31 of 42 - Sale <sup>25</sup>
	2.000	367.27	05/28/20	368.50	1.23 W	0.00	32 of 42 - Sale <sup>25</sup>
	1.000	183.56	05/28/20	193.53	9.97 W	0.00	33 of 42 - Sale <sup>25</sup>
	1.000	183.56	05/28/20	199.59	16.03 W	0.00	34 of 42 - Sale <sup>25</sup>
	1.000	183.55	05/28/20	193.62	10.07 W	0.00	35 of 42 - Sale <sup>25</sup>
	1.000	183.56	05/28/20	192.15	8.59 W	0.00	36 of 42 - Sale <sup>25</sup>
	1.000	183.55	05/28/20	201.00	17.45 W	0.00	37 of 42 - Sale <sup>25</sup>
	1.000	183.56	05/28/20	208.69	25.13 W	0.00	38 of 42 - Sale <sup>25</sup>
	1.000	183.55	05/28/20	208.30	24.75 W	0.00	39 of 42 - Sale <sup>25</sup>
	1.000	183.55	05/28/20	208.22	24.67 W	0.00	40 of 42 - Sale <sup>25</sup>
	1.000	183.55	05/28/20	207.89	24.34 W	0.00	41 of 42 - Sale <sup>25</sup>
	1.000	183.56	05/28/20	221.05	37.49 W	0.00	42 of 42 - Sale <sup>25</sup>
05/28/20	49.000	8,997.44	Various	9,772.96	775.52 W	0.00	Total of 42 transactions
73 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	181.65	05/29/20	205.69	24.04 W	0.00	1 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	204.75	23.10 W	0.00	2 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	205.88	24.23 W	0.00	3 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	207.23	25.58 W	0.00	4 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	208.28	26.63 W	0.00	5 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	199.67	18.02 W	0.00	6 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	218.89	37.24 W	0.00	7 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	199.75	18.10 W	0.00	8 of 73 - Sale <sup>25</sup>
	1.000	181.64	05/29/20	199.83	18.19 W	0.00	9 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	198.19	16.54 W	0.00	10 of 73 - Sale <sup>25</sup>
	1.000	181.64	05/29/20	218.61	36.97 W	0.00	11 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	217.16	35.51 W	0.00	12 of 73 - Sale <sup>25</sup>
	1.000	181.64	05/29/20	215.63	33.99 W	0.00	13 of 73 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	181.65	05/29/20	214.44	32.79 W	0.00	14 of 73 - Sale <sup>25</sup>
	1.000	181.64	05/29/20	214.85	33.21 W	0.00	15 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	212.07	30.42 W	0.00	16 of 73 - Sale <sup>25</sup>
	1.000	181.64	05/29/20	186.99	5.35 W	0.00	17 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	220.05	38.40 W	0.00	18 of 73 - Sale <sup>25</sup>
	1.000	181.64	05/29/20	220.54	38.90 W	0.00	19 of 73 - Sale <sup>25</sup>
	1.000	181.65	05/29/20	220.39	38.74 W	0.00	20 of 73 - Sale <sup>25</sup>
	1.000	181.24	05/29/20	205.11	23.87 W	0.00	21 of 73 - Sale <sup>25</sup>
	1.000	181.25	05/29/20	186.69	5.44 W	0.00	22 of 73 - Sale <sup>25</sup>
	1.000	181.24	05/29/20	204.58	23.34 W	0.00	23 of 73 - Sale <sup>25</sup>
	1.000	181.25	05/29/20	214.33	33.08 W	0.00	24 of 73 - Sale <sup>25</sup>
	1.000	181.24	05/29/20	208.71	27.47 W	0.00	25 of 73 - Sale <sup>25</sup>
	1.000	181.29	05/29/20	208.46	27.17 W	0.00	26 of 73 - Sale <sup>25</sup>
	1.000	181.30	05/29/20	194.55	13.25 W	0.00	27 of 73 - Sale <sup>25</sup>
	1.000	181.29	05/29/20	194.25	12.96 W	0.00	28 of 73 - Sale <sup>25</sup>
	1.000	181.30	05/29/20	211.81	30.51 W	0.00	29 of 73 - Sale <sup>25</sup>
	1.000	181.29	05/29/20	209.23	27.94 W	0.00	30 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	198.90	18.30 W	0.00	31 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	199.18	18.58 W	0.00	32 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	200.14	19.54 W	0.00	33 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	200.31	19.71 W	0.00	34 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	201.86	21.26 W	0.00	35 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	200.66	20.06 W	0.00	36 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	198.05	17.45 W	0.00	37 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	199.28	18.69 W	0.00	38 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	199.28	18.68 W	0.00	39 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	199.46	18.87 W	0.00	40 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	196.05	15.45 W	0.00	41 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	196.08	15.49 W	0.00	42 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	198.21	17.62 W	0.00	43 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	196.67	16.07 W	0.00	44 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	197.89	17.30 W	0.00	45 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	197.75	17.15 W	0.00	46 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	198.32	17.73 W	0.00	47 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	180.60	05/29/20	200.10	19.50 W	0.00	48 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	204.83	24.24 W	0.00	49 of 73 - Sale <sup>25</sup>
	2.000	361.19	05/29/20	401.37	40.18 W	0.00	50 of 73 - Sale <sup>25</sup>
	3.000	541.79	05/29/20	587.79	46.00 W	0.00	51 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	204.77	24.17 W	0.00	52 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	204.03	23.44 W	0.00	53 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	203.99	23.39 W	0.00	54 of 73 - Sale <sup>25</sup>
	2.000	361.19	05/29/20	363.27	2.08 W	0.00	55 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	189.71	9.12 W	0.00	56 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	190.71	10.11 W	0.00	57 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	181.51	0.92 W	0.00	58 of 73 - Sale <sup>25</sup>
	2.000	361.19	05/29/20	363.27	2.08 W	0.00	59 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	192.16	11.56 W	0.00	60 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	191.12	10.53 W	0.00	61 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	197.18	16.58 W	0.00	62 of 73 - Sale <sup>25</sup>
	1.000	180.59	05/29/20	191.22	10.63 W	0.00	63 of 73 - Sale <sup>25</sup>
	1.000	180.60	05/29/20	189.74	9.14 W	0.00	64 of 73 - Sale <sup>25</sup>
	1.000	180.56	05/29/20	198.60	18.04 W	0.00	65 of 73 - Sale <sup>25</sup>
	1.000	180.56	05/29/20	206.28	25.72 W	0.00	66 of 73 - Sale <sup>25</sup>
	1.000	180.56	05/29/20	205.90	25.34 W	0.00	67 of 73 - Sale <sup>25</sup>
	2.000	361.11	05/29/20	363.53	2.42 W	0.00	68 of 73 - Sale <sup>25</sup>
	1.000	180.55	05/29/20	205.47	24.92 W	0.00	69 of 73 - Sale <sup>25</sup>
	1.000	180.56	05/29/20	205.14	24.58 W	0.00	70 of 73 - Sale <sup>25</sup>
	1.000	180.55	05/29/20	204.84	24.29 W	0.00	71 of 73 - Sale <sup>25</sup>
	1.000	180.56	05/29/20	203.90	23.34 W	0.00	72 of 73 - Sale <sup>25</sup>
	1.000	180.55	05/29/20	218.29	37.74 W	0.00	73 of 73 - Sale <sup>25</sup>
05/29/20	79.000	14,294.43	Various	15,873.42	1,578.99 W	0.00	Total of 73 transactions
	10 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1.000	198.03	06/10/20	222.68	24.65 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	198.03	06/10/20	223.98	25.95 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	198.03	06/10/20	225.03	27.00 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	198.02	06/10/20	216.42	18.40 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	198.03	06/10/20	235.36	37.33 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	198.02	06/10/20	216.10	18.08 W	0.00	6 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	198.03	06/10/20	216.19	18.16 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	198.02	06/10/20	214.54	16.52 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	198.03	06/10/20	234.97	36.94 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	198.02	06/10/20	233.51	35.49 W	0.00	10 of 10 - Sale <sup>25</sup>
06/10/20	10.000	1,980.26	Various	2,238.78	258.52 W	0.00	Total of 10 transactions
	720 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	190.25	06/10/20	231.22	40.97 W	0.00	1 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	230.02	39.77 W	0.00	2 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	230.44	40.19 W	0.00	3 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	227.64	37.39 W	0.00	4 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	202.58	12.33 W	0.00	5 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	235.77	45.52 W	0.00	6 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	235.21	44.96 W	0.00	7 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	235.05	44.80 W	0.00	8 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	220.18	29.93 W	0.00	9 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	219.65	29.40 W	0.00	10 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	229.39	39.14 W	0.00	11 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	223.78	33.53 W	0.00	12 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	223.48	33.23 W	0.00	13 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	209.56	19.31 W	0.00	14 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	209.27	19.02 W	0.00	15 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	201.75	11.50 W	0.00	16 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	226.82	36.57 W	0.00	17 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	224.25	34.00 W	0.00	18 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	214.61	24.36 W	0.00	19 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	214.89	24.64 W	0.00	20 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	215.85	25.60 W	0.00	21 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	216.02	25.77 W	0.00	22 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	217.57	27.32 W	0.00	23 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	216.37	26.12 W	0.00	24 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	213.76	23.51 W	0.00	25 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	215.00	24.75 W	0.00	26 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	214.99	24.74 W	0.00	27 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	215.18	24.93 W	0.00	28 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	190.25	06/10/20	211.76	21.51 W	0.00	29 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	211.80	21.55 W	0.00	30 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	213.93	23.68 W	0.00	31 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	212.38	22.13 W	0.00	32 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	213.61	23.36 W	0.00	33 of 720 - Sale <sup>25</sup>
	3.000	570.74	06/10/20	634.93	64.19 W	0.00	34 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	213.46	23.21 W	0.00	35 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	214.04	23.79 W	0.00	36 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	215.81	25.56 W	0.00	37 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	220.55	30.30 W	0.00	38 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	220.48	30.23 W	0.00	39 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	219.75	29.50 W	0.00	40 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	219.70	29.45 W	0.00	41 of 720 - Sale <sup>25</sup>
	2.000	380.49	06/10/20	432.80	52.31 W	0.00	42 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/10/20	197.35	7.10 W	0.00	43 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	204.20	13.95 W	0.00	44 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	205.19	14.94 W	0.00	45 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	206.64	16.39 W	0.00	46 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	205.61	15.36 W	0.00	47 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	211.66	21.41 W	0.00	48 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	205.71	15.46 W	0.00	49 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	204.21	13.96 W	0.00	50 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	213.12	22.87 W	0.00	51 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	220.79	30.54 W	0.00	52 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	220.41	30.16 W	0.00	53 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	196.12	5.87 W	0.00	54 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	196.00	5.75 W	0.00	55 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	196.29	6.04 W	0.00	56 of 720 - Sale <sup>25</sup>
	2.000	380.49	06/11/20	392.23	11.74 W	0.00	57 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	217.96	27.71 W	0.00	58 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	217.62	27.37 W	0.00	59 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	217.33	27.08 W	0.00	60 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	216.38	26.13 W	0.00	61 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	217.69	27.44 W	0.00	62 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	190.25	06/11/20	218.99	28.74 W	0.00	63 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	220.04	29.79 W	0.00	64 of 720 - Sale <sup>25</sup>
	1.000	190.24	06/11/20	211.44	21.20 W	0.00	65 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	230.78	40.53 W	0.00	66 of 720 - Sale <sup>25</sup>
	1.000	190.24	06/11/20	194.25	4.01 W	0.00	67 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	230.55	40.30 W	0.00	68 of 720 - Sale <sup>25</sup>
	1.000	190.24	06/11/20	211.31	21.07 W	0.00	69 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	211.52	21.27 W	0.00	70 of 720 - Sale <sup>25</sup>
	1.000	190.24	06/11/20	209.88	19.64 W	0.00	71 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	230.30	40.05 W	0.00	72 of 720 - Sale <sup>25</sup>
	1.000	190.24	06/11/20	228.85	38.61 W	0.00	73 of 720 - Sale <sup>25</sup>
	6.000	1,141.47	06/11/20	1,160.16	18.69 W	0.00	74 of 720 - Sale <sup>25</sup>
	2.000	380.49	06/11/20	386.27	5.78 W	0.00	75 of 720 - Sale <sup>25</sup>
	1.000	190.25	06/11/20	193.26	3.01 W	0.00	76 of 720 - Sale <sup>25</sup>
	10.000	1,902.45	06/11/20	1,910.38	7.93 W	0.00	77 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	204.12	14.06 W	0.00	78 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	205.11	15.05 W	0.00	79 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	206.56	16.50 W	0.00	80 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	205.53	15.47 W	0.00	81 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	211.58	21.52 W	0.00	82 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	205.63	15.57 W	0.00	83 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	204.13	14.07 W	0.00	84 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	213.04	22.98 W	0.00	85 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	220.71	30.65 W	0.00	86 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	220.33	30.27 W	0.00	87 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	217.88	27.82 W	0.00	88 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	217.54	27.48 W	0.00	89 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	217.25	27.19 W	0.00	90 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	216.30	26.24 W	0.00	91 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	217.61	27.56 W	0.00	92 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	218.91	28.85 W	0.00	93 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	219.96	29.91 W	0.00	94 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	211.37	21.31 W	0.00	95 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	211.24	21.19 W	0.00	96 of 720 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	190.06	06/11/20	211.44	21.38 W	0.00	97 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	209.81	19.76 W	0.00	98 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	235.69	45.63 W	0.00	99 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	235.13	45.08 W	0.00	100 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	234.97	44.91 W	0.00	101 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	230.22	40.17 W	0.00	102 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	231.14	41.08 W	0.00	103 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	220.10	30.05 W	0.00	104 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	219.57	29.51 W	0.00	105 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	230.70	40.65 W	0.00	106 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	230.47	40.41 W	0.00	107 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	228.78	38.73 W	0.00	108 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	229.94	39.88 W	0.00	109 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	230.36	40.31 W	0.00	110 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	229.31	39.25 W	0.00	111 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	223.70	33.65 W	0.00	112 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	223.40	33.34 W	0.00	113 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	209.48	19.43 W	0.00	114 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	209.19	19.13 W	0.00	115 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	226.74	36.69 W	0.00	116 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	224.17	34.11 W	0.00	117 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	227.56	37.51 W	0.00	118 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	214.53	24.47 W	0.00	119 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	214.81	24.76 W	0.00	120 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	215.77	25.71 W	0.00	121 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	215.94	25.89 W	0.00	122 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	217.49	27.43 W	0.00	123 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	216.29	26.24 W	0.00	124 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	213.68	23.62 W	0.00	125 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	214.92	24.87 W	0.00	126 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	214.91	24.85 W	0.00	127 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	215.10	25.05 W	0.00	128 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	211.68	21.62 W	0.00	129 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	211.72	21.67 W	0.00	130 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	190.05	06/11/20	213.85	23.80 W	0.00	131 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	212.30	22.24 W	0.00	132 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	213.53	23.48 W	0.00	133 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	213.38	23.32 W	0.00	134 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	213.96	23.91 W	0.00	135 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	215.73	25.67 W	0.00	136 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	220.47	30.42 W	0.00	137 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	220.40	30.34 W	0.00	138 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	219.67	29.62 W	0.00	139 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	219.62	29.56 W	0.00	140 of 720 - Sale <sup>25</sup>
	2.000	380.11	06/11/20	432.65	52.54 W	0.00	141 of 720 - Sale <sup>25</sup>
	3.000	570.17	06/11/20	634.70	64.53 W	0.00	142 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	202.50	12.45 W	0.00	143 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	201.67	11.61 W	0.00	144 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	197.27	7.22 W	0.00	145 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	196.04	5.98 W	0.00	146 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	195.92	5.87 W	0.00	147 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	196.21	6.15 W	0.00	148 of 720 - Sale <sup>25</sup>
	1.000	190.05	06/11/20	194.18	4.13 W	0.00	149 of 720 - Sale <sup>25</sup>
	1.000	190.06	06/11/20	193.18	3.12 W	0.00	150 of 720 - Sale <sup>25</sup>
	2.000	380.11	06/11/20	392.07	11.96 W	0.00	151 of 720 - Sale <sup>25</sup>
	2.000	380.11	06/11/20	386.11	6.00 W	0.00	152 of 720 - Sale <sup>25</sup>
	6.000	1,140.33	06/11/20	1,159.68	19.35 W	0.00	153 of 720 - Sale <sup>25</sup>
	10.000	1,900.55	06/11/20	1,909.58	9.03 W	0.00	154 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	204.45	15.89 W	0.00	155 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	205.44	16.88 W	0.00	156 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	206.89	18.33 W	0.00	157 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	205.86	17.30 W	0.00	158 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	211.91	23.35 W	0.00	159 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	205.96	17.40 W	0.00	160 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	204.46	15.90 W	0.00	161 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	213.37	24.81 W	0.00	162 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	221.04	32.48 W	0.00	163 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	220.66	32.10 W	0.00	164 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.56	06/11/20	218.21	29.65 W	0.00	165 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	217.87	29.31 W	0.00	166 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	217.58	29.02 W	0.00	167 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	216.63	28.07 W	0.00	168 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	217.95	29.40 W	0.00	169 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	219.24	30.68 W	0.00	170 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	220.30	31.75 W	0.00	171 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	211.70	23.14 W	0.00	172 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	211.58	23.03 W	0.00	173 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	211.77	23.21 W	0.00	174 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	210.15	21.60 W	0.00	175 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	236.02	47.46 W	0.00	176 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	235.47	46.92 W	0.00	177 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	235.30	46.74 W	0.00	178 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	230.56	42.01 W	0.00	179 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	231.47	42.91 W	0.00	180 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	220.44	31.89 W	0.00	181 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	219.90	31.34 W	0.00	182 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	231.04	42.49 W	0.00	183 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	230.80	42.24 W	0.00	184 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	229.12	40.57 W	0.00	185 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	230.27	41.71 W	0.00	186 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	230.70	42.15 W	0.00	187 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	229.64	41.08 W	0.00	188 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	224.04	35.49 W	0.00	189 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	223.73	35.17 W	0.00	190 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	209.82	21.26 W	0.00	191 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	209.52	20.97 W	0.00	192 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	227.08	38.52 W	0.00	193 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	224.50	35.95 W	0.00	194 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	227.90	39.34 W	0.00	195 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	214.86	26.31 W	0.00	196 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	215.15	26.59 W	0.00	197 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	216.10	27.55 W	0.00	198 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.56	06/11/20	216.28	27.72 W	0.00	199 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	217.82	29.27 W	0.00	200 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	216.63	28.07 W	0.00	201 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	214.01	25.46 W	0.00	202 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	215.26	26.70 W	0.00	203 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	215.23	26.68 W	0.00	204 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	215.44	26.88 W	0.00	205 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	212.00	23.45 W	0.00	206 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	212.06	23.50 W	0.00	207 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	214.19	25.63 W	0.00	208 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	212.62	24.07 W	0.00	209 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	213.87	25.31 W	0.00	210 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	213.70	25.15 W	0.00	211 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	214.30	25.74 W	0.00	212 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	216.05	27.50 W	0.00	213 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	220.81	32.25 W	0.00	214 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	220.72	32.17 W	0.00	215 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	220.01	31.45 W	0.00	216 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	219.94	31.39 W	0.00	217 of 720 - Sale <sup>25</sup>
	2.000	377.11	06/11/20	433.31	56.20 W	0.00	218 of 720 - Sale <sup>25</sup>
	3.000	565.66	06/11/20	635.68	70.02 W	0.00	219 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	202.84	14.28 W	0.00	220 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	201.99	13.44 W	0.00	221 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	197.61	9.05 W	0.00	222 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	196.36	7.81 W	0.00	223 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	196.26	7.70 W	0.00	224 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	196.53	7.98 W	0.00	225 of 720 - Sale <sup>25</sup>
	1.000	188.56	06/11/20	194.52	5.96 W	0.00	226 of 720 - Sale <sup>25</sup>
	1.000	188.55	06/11/20	193.50	4.95 W	0.00	227 of 720 - Sale <sup>25</sup>
	2.000	377.11	06/11/20	392.73	15.62 W	0.00	228 of 720 - Sale <sup>25</sup>
	2.000	377.11	06/11/20	386.77	9.66 W	0.00	229 of 720 - Sale <sup>25</sup>
	6.000	1,131.33	06/11/20	1,161.66	30.33 W	0.00	230 of 720 - Sale <sup>25</sup>
	10.000	1,885.55	06/11/20	1,912.88	27.33 W	0.00	231 of 720 - Sale <sup>25</sup>
	2.000	377.11	06/11/20	380.77	3.66 W	0.00	232 of 720 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.00	06/11/20	205.36	17.36 W	0.00	233 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	206.35	18.35 W	0.00	234 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	207.80	19.80 W	0.00	235 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	206.77	18.77 W	0.00	236 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	212.82	24.82 W	0.00	237 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	206.87	18.87 W	0.00	238 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	205.37	17.37 W	0.00	239 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	214.28	26.28 W	0.00	240 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	221.95	33.95 W	0.00	241 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	221.57	33.57 W	0.00	242 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	219.12	31.13 W	0.00	243 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	218.78	30.78 W	0.00	244 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	218.49	30.50 W	0.00	245 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	217.54	29.54 W	0.00	246 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	218.87	30.88 W	0.00	247 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	220.15	32.15 W	0.00	248 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	221.22	33.23 W	0.00	249 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	212.61	24.61 W	0.00	250 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	212.50	24.51 W	0.00	251 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	212.68	24.68 W	0.00	252 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	211.07	23.08 W	0.00	253 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	236.93	48.93 W	0.00	254 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	236.39	48.40 W	0.00	255 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	236.21	48.21 W	0.00	256 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	231.48	43.49 W	0.00	257 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	232.38	44.38 W	0.00	258 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	221.36	33.37 W	0.00	259 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	220.81	32.81 W	0.00	260 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	231.96	43.97 W	0.00	261 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	231.71	43.71 W	0.00	262 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	230.04	42.05 W	0.00	263 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	231.18	43.18 W	0.00	264 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	231.62	43.63 W	0.00	265 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	230.55	42.55 W	0.00	266 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	187.99	06/11/20	224.96	36.97 W	0.00	267 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	224.64	36.64 W	0.00	268 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	210.73	22.74 W	0.00	269 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	210.44	22.44 W	0.00	270 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	227.99	40.00 W	0.00	271 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	225.42	37.42 W	0.00	272 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	228.81	40.82 W	0.00	273 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	215.78	27.78 W	0.00	274 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	216.06	28.07 W	0.00	275 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	217.02	29.02 W	0.00	276 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	217.19	29.20 W	0.00	277 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	218.74	30.74 W	0.00	278 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	217.54	29.55 W	0.00	279 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	214.92	26.92 W	0.00	280 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	216.17	28.18 W	0.00	281 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	216.14	28.14 W	0.00	282 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	216.35	28.36 W	0.00	283 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	212.91	24.91 W	0.00	284 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	212.97	24.98 W	0.00	285 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	215.10	27.11 W	0.00	286 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	213.53	25.53 W	0.00	287 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	214.78	26.79 W	0.00	288 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	214.61	26.61 W	0.00	289 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	215.21	27.22 W	0.00	290 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	216.96	28.96 W	0.00	291 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	221.72	33.73 W	0.00	292 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	221.63	33.63 W	0.00	293 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	220.92	32.93 W	0.00	294 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	220.85	32.85 W	0.00	295 of 720 - Sale <sup>25</sup>
	2.000	375.99	06/11/20	435.13	59.14 W	0.00	296 of 720 - Sale <sup>25</sup>
	3.000	563.99	06/11/20	638.41	74.42 W	0.00	297 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	203.75	15.76 W	0.00	298 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	202.90	14.90 W	0.00	299 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	198.52	10.53 W	0.00	300 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.00	06/11/20	197.27	9.27 W	0.00	301 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	197.28	9.29 W	0.00	302 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	197.17	9.17 W	0.00	303 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	197.44	9.45 W	0.00	304 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	195.43	7.43 W	0.00	305 of 720 - Sale <sup>25</sup>
	1.000	187.99	06/11/20	194.41	6.42 W	0.00	306 of 720 - Sale <sup>25</sup>
	1.000	188.00	06/11/20	197.27	9.27 W	0.00	307 of 720 - Sale <sup>25</sup>
	2.000	375.99	06/11/20	388.59	12.60 W	0.00	308 of 720 - Sale <sup>25</sup>
	6.000	1,127.97	06/11/20	1,167.12	39.15 W	0.00	309 of 720 - Sale <sup>25</sup>
	9.000	1,691.96	06/11/20	1,729.78	37.82 W	0.00	310 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	192.20	4.10 W	0.00	311 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	191.79	3.69 W	0.00	312 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	207.43	19.33 W	0.00	313 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	191.88	3.78 W	0.00	314 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	206.62	18.52 W	0.00	315 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	208.07	19.97 W	0.00	316 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	207.04	18.94 W	0.00	317 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	213.09	24.99 W	0.00	318 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	207.13	19.03 W	0.00	319 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	205.76	17.66 W	0.00	320 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	214.67	26.57 W	0.00	321 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	222.34	34.24 W	0.00	322 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	221.96	33.86 W	0.00	323 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	219.52	31.42 W	0.00	324 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	219.17	31.07 W	0.00	325 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	218.89	30.79 W	0.00	326 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	217.93	29.83 W	0.00	327 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	219.27	31.17 W	0.00	328 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	220.54	32.44 W	0.00	329 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	221.62	33.52 W	0.00	330 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	213.00	24.90 W	0.00	331 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	212.91	24.81 W	0.00	332 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	213.07	24.97 W	0.00	333 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	211.48	23.38 W	0.00	334 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.10	06/11/20	237.32	49.22 W	0.00	335 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	236.80	48.70 W	0.00	336 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	236.60	48.50 W	0.00	337 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	231.89	43.79 W	0.00	338 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	232.77	44.67 W	0.00	339 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	221.77	33.67 W	0.00	340 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	221.20	33.10 W	0.00	341 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	232.37	44.27 W	0.00	342 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	232.10	44.00 W	0.00	343 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	230.45	42.35 W	0.00	344 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	231.57	43.47 W	0.00	345 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	232.03	43.93 W	0.00	346 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	230.94	42.84 W	0.00	347 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	225.37	37.28 W	0.00	348 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	225.03	36.93 W	0.00	349 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	211.14	23.05 W	0.00	350 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	210.83	22.73 W	0.00	351 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	228.40	40.31 W	0.00	352 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	225.81	37.71 W	0.00	353 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	229.22	41.13 W	0.00	354 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	216.17	28.07 W	0.00	355 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	216.47	28.38 W	0.00	356 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	217.41	29.31 W	0.00	357 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	217.60	29.51 W	0.00	358 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	219.13	31.03 W	0.00	359 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	217.95	29.86 W	0.00	360 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	215.31	27.21 W	0.00	361 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	216.58	28.49 W	0.00	362 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	216.53	28.43 W	0.00	363 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	216.76	28.67 W	0.00	364 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	213.30	25.20 W	0.00	365 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	213.38	25.29 W	0.00	366 of 720 - Sale <sup>25</sup>
	3.000	564.29	06/11/20	639.60	75.31 W	0.00	367 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	215.48	27.39 W	0.00	368 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.10	06/11/20	213.90	25.80 W	0.00	369 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	215.16	27.07 W	0.00	370 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	214.98	26.88 W	0.00	371 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	215.59	27.50 W	0.00	372 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	217.33	29.23 W	0.00	373 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	222.10	34.01 W	0.00	374 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	221.99	33.89 W	0.00	375 of 720 - Sale <sup>25</sup>
	2.000	376.19	06/11/20	435.88	59.69 W	0.00	376 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	221.25	33.16 W	0.00	377 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	221.17	33.07 W	0.00	378 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	204.08	15.99 W	0.00	379 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	203.22	15.12 W	0.00	380 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	198.85	10.76 W	0.00	381 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	197.59	9.49 W	0.00	382 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	197.61	9.52 W	0.00	383 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	197.59	9.49 W	0.00	384 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	197.49	9.40 W	0.00	385 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	197.77	9.67 W	0.00	386 of 720 - Sale <sup>25</sup>
	1.000	188.09	06/11/20	195.75	7.66 W	0.00	387 of 720 - Sale <sup>25</sup>
	1.000	188.10	06/11/20	194.74	6.64 W	0.00	388 of 720 - Sale <sup>25</sup>
	2.000	376.19	06/11/20	389.24	13.05 W	0.00	389 of 720 - Sale <sup>25</sup>
	6.000	1,128.57	06/11/20	1,169.07	40.50 W	0.00	390 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	208.17	19.73 W	0.00	391 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	207.36	18.92 W	0.00	392 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	208.81	20.37 W	0.00	393 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	207.78	19.34 W	0.00	394 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	213.83	25.39 W	0.00	395 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	207.87	19.43 W	0.00	396 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	206.50	18.06 W	0.00	397 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	215.41	26.97 W	0.00	398 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	223.08	34.64 W	0.00	399 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	222.70	34.26 W	0.00	400 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	220.26	31.82 W	0.00	401 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	219.91	31.47 W	0.00	402 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.44	06/11/20	219.63	31.19 W	0.00	403 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	218.67	30.23 W	0.00	404 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	220.01	31.57 W	0.00	405 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	221.28	32.84 W	0.00	406 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	222.36	33.93 W	0.00	407 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	213.74	25.30 W	0.00	408 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	213.65	25.22 W	0.00	409 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	213.81	25.37 W	0.00	410 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	212.22	23.79 W	0.00	411 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	238.06	49.62 W	0.00	412 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	237.54	49.11 W	0.00	413 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	237.34	48.90 W	0.00	414 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	232.63	44.20 W	0.00	415 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	233.51	45.07 W	0.00	416 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	222.51	34.08 W	0.00	417 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	221.94	33.50 W	0.00	418 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	233.11	44.68 W	0.00	419 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	232.84	44.40 W	0.00	420 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	231.19	42.76 W	0.00	421 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	232.31	43.87 W	0.00	422 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	232.77	44.34 W	0.00	423 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	231.68	43.24 W	0.00	424 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	226.12	37.69 W	0.00	425 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	225.77	37.33 W	0.00	426 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	211.89	23.46 W	0.00	427 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	211.57	23.13 W	0.00	428 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	229.15	40.72 W	0.00	429 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	226.55	38.11 W	0.00	430 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	229.97	41.54 W	0.00	431 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	216.91	28.47 W	0.00	432 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	217.22	28.79 W	0.00	433 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	218.15	29.71 W	0.00	434 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	218.35	29.92 W	0.00	435 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	219.87	31.43 W	0.00	436 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.43	06/11/20	218.70	30.27 W	0.00	437 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	216.05	27.61 W	0.00	438 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	217.33	28.90 W	0.00	439 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	217.27	28.83 W	0.00	440 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	217.51	29.08 W	0.00	441 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	214.04	25.60 W	0.00	442 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	214.13	25.70 W	0.00	443 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	216.23	27.80 W	0.00	444 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	214.64	26.20 W	0.00	445 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	215.91	27.48 W	0.00	446 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	215.72	27.28 W	0.00	447 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	216.34	27.91 W	0.00	448 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	218.07	29.63 W	0.00	449 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	222.85	34.42 W	0.00	450 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	222.73	34.29 W	0.00	451 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	222.00	33.57 W	0.00	452 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	221.91	33.47 W	0.00	453 of 720 - Sale <sup>25</sup>
	2.000	376.87	06/11/20	437.37	60.50 W	0.00	454 of 720 - Sale <sup>25</sup>
	3.000	565.31	06/11/20	641.83	76.52 W	0.00	455 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	204.83	16.40 W	0.00	456 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	203.96	15.52 W	0.00	457 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	199.60	11.17 W	0.00	458 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	192.94	4.51 W	0.00	459 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	192.53	4.09 W	0.00	460 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	192.62	4.19 W	0.00	461 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	198.33	9.89 W	0.00	462 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	198.36	9.93 W	0.00	463 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	198.33	9.89 W	0.00	464 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	198.24	9.81 W	0.00	465 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	198.51	10.07 W	0.00	466 of 720 - Sale <sup>25</sup>
	1.000	188.43	06/11/20	196.50	8.07 W	0.00	467 of 720 - Sale <sup>25</sup>
	1.000	188.44	06/11/20	195.37	6.93 W	0.00	468 of 720 - Sale <sup>25</sup>
	6.000	1,130.61	06/11/20	1,173.52	42.91 W	0.00	469 of 720 - Sale <sup>25</sup>
	9.000	1,695.92	06/11/20	1,737.38	41.46 W	0.00	470 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	186.59	06/11/20	208.33	21.74 W	0.00	471 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	207.52	20.93 W	0.00	472 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	208.97	22.38 W	0.00	473 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	207.94	21.35 W	0.00	474 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	213.99	27.40 W	0.00	475 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	208.03	21.44 W	0.00	476 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	206.66	20.07 W	0.00	477 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	215.57	28.98 W	0.00	478 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	223.24	36.65 W	0.00	479 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	222.86	36.27 W	0.00	480 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	220.42	33.83 W	0.00	481 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	220.07	33.48 W	0.00	482 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	219.79	33.20 W	0.00	483 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	218.83	32.24 W	0.00	484 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	220.17	33.58 W	0.00	485 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	221.44	34.85 W	0.00	486 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	222.53	35.94 W	0.00	487 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	213.90	27.31 W	0.00	488 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	213.82	27.23 W	0.00	489 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	213.97	27.38 W	0.00	490 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	212.39	25.80 W	0.00	491 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	238.22	51.63 W	0.00	492 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	237.71	51.12 W	0.00	493 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	237.50	50.91 W	0.00	494 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	232.80	46.21 W	0.00	495 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	233.67	47.08 W	0.00	496 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	222.68	36.09 W	0.00	497 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	222.10	35.51 W	0.00	498 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	233.28	46.69 W	0.00	499 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	233.00	46.41 W	0.00	500 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	231.36	44.77 W	0.00	501 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	232.47	45.88 W	0.00	502 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	232.94	46.35 W	0.00	503 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	231.84	45.25 W	0.00	504 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	186.59	06/11/20	226.29	39.70 W	0.00	505 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	225.93	39.34 W	0.00	506 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	212.06	25.47 W	0.00	507 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	211.73	25.14 W	0.00	508 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	229.32	42.73 W	0.00	509 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	226.71	40.12 W	0.00	510 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	230.14	43.55 W	0.00	511 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	217.07	30.48 W	0.00	512 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	217.39	30.80 W	0.00	513 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	218.31	31.72 W	0.00	514 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	218.52	31.93 W	0.00	515 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	220.03	33.44 W	0.00	516 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	218.87	32.28 W	0.00	517 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	216.21	29.62 W	0.00	518 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	217.50	30.91 W	0.00	519 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	217.43	30.84 W	0.00	520 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	217.68	31.09 W	0.00	521 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	214.20	27.61 W	0.00	522 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	214.30	27.71 W	0.00	523 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	216.40	29.81 W	0.00	524 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	214.80	28.21 W	0.00	525 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	216.08	29.49 W	0.00	526 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	215.88	29.29 W	0.00	527 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	216.51	29.92 W	0.00	528 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	218.23	31.64 W	0.00	529 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	223.02	36.43 W	0.00	530 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	222.89	36.30 W	0.00	531 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	222.17	35.58 W	0.00	532 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	222.07	35.48 W	0.00	533 of 720 - Sale <sup>25</sup>
	2.000	373.17	06/11/20	437.70	64.53 W	0.00	534 of 720 - Sale <sup>25</sup>
	3.000	559.76	06/11/20	642.32	82.56 W	0.00	535 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	205.00	18.41 W	0.00	536 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	204.12	17.53 W	0.00	537 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	199.77	13.18 W	0.00	538 of 720 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	186.59	06/11/20	195.12	8.53 W	0.00	539 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	195.24	8.65 W	0.00	540 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	193.11	6.52 W	0.00	541 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	192.69	6.10 W	0.00	542 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	192.79	6.20 W	0.00	543 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	198.49	11.90 W	0.00	544 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	198.53	11.94 W	0.00	545 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	198.49	11.90 W	0.00	546 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	198.41	11.82 W	0.00	547 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	198.67	12.08 W	0.00	548 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	196.67	10.08 W	0.00	549 of 720 - Sale <sup>25</sup>
	5.000	932.93	06/11/20	978.76	45.83 W	0.00	550 of 720 - Sale <sup>25</sup>
	9.000	1,679.28	06/11/20	1,738.86	59.58 W	0.00	551 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	195.56	8.97 W	0.00	552 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	195.31	8.72 W	0.00	553 of 720 - Sale <sup>25</sup>
	1.000	186.58	06/11/20	188.40	1.82 W	0.00	554 of 720 - Sale <sup>25</sup>
	1.000	186.59	06/11/20	188.43	1.84 W	0.00	555 of 720 - Sale <sup>25</sup>
	15.000	2,798.77	06/11/20	2,815.50	16.73 W	0.00	556 of 720 - Sale <sup>25</sup>
	10.000	1,865.85	06/11/20	1,878.30	12.45 W	0.00	557 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	209.34	22.77 W	0.00	558 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	208.53	21.96 W	0.00	559 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	209.98	23.41 W	0.00	560 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	208.95	22.38 W	0.00	561 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	215.00	28.43 W	0.00	562 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	209.04	22.47 W	0.00	563 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	207.67	21.10 W	0.00	564 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	216.58	30.01 W	0.00	565 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	224.25	37.68 W	0.00	566 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	223.87	37.30 W	0.00	567 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	221.43	34.86 W	0.00	568 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	221.08	34.51 W	0.00	569 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	220.80	34.23 W	0.00	570 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	219.84	33.27 W	0.00	571 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	221.18	34.61 W	0.00	572 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	186.57	06/11/20	222.45	35.88 W	0.00	573 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	223.54	36.97 W	0.00	574 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	214.91	28.34 W	0.00	575 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	214.83	28.26 W	0.00	576 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	214.98	28.41 W	0.00	577 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	213.40	26.83 W	0.00	578 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	239.23	52.67 W	0.00	579 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	238.72	52.15 W	0.00	580 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	238.51	51.95 W	0.00	581 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	233.81	47.24 W	0.00	582 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	234.68	48.12 W	0.00	583 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	223.69	37.12 W	0.00	584 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	223.11	36.55 W	0.00	585 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	234.29	47.72 W	0.00	586 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	234.01	47.45 W	0.00	587 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	232.37	45.80 W	0.00	588 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	233.48	46.92 W	0.00	589 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	233.95	47.38 W	0.00	590 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	232.85	46.29 W	0.00	591 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	227.30	40.73 W	0.00	592 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	226.94	40.38 W	0.00	593 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	213.07	26.50 W	0.00	594 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	212.74	26.18 W	0.00	595 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	230.33	43.76 W	0.00	596 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	227.72	41.16 W	0.00	597 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	231.15	44.58 W	0.00	598 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	218.08	31.52 W	0.00	599 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	218.40	31.83 W	0.00	600 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	219.32	32.76 W	0.00	601 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	219.53	32.96 W	0.00	602 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	221.04	34.48 W	0.00	603 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	219.88	33.31 W	0.00	604 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	217.22	30.66 W	0.00	605 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	218.51	31.94 W	0.00	606 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	186.56	06/11/20	218.44	31.88 W	0.00	607 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	218.69	32.12 W	0.00	608 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	215.21	28.65 W	0.00	609 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	215.31	28.74 W	0.00	610 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	217.41	30.84 W	0.00	611 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	215.81	29.25 W	0.00	612 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	217.09	30.52 W	0.00	613 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	216.89	30.33 W	0.00	614 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	217.52	30.95 W	0.00	615 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	219.24	32.68 W	0.00	616 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	224.03	37.46 W	0.00	617 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	223.90	37.34 W	0.00	618 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	223.18	36.61 W	0.00	619 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	223.08	36.52 W	0.00	620 of 720 - Sale <sup>25</sup>
	2.000	373.13	06/11/20	439.72	66.59 W	0.00	621 of 720 - Sale <sup>25</sup>
	3.000	559.69	06/11/20	645.35	85.66 W	0.00	622 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	206.01	19.44 W	0.00	623 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	205.13	18.57 W	0.00	624 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	200.78	14.21 W	0.00	625 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	196.13	9.57 W	0.00	626 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	196.25	9.68 W	0.00	627 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	194.12	7.55 W	0.00	628 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	193.70	7.14 W	0.00	629 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	193.80	7.23 W	0.00	630 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	199.50	12.94 W	0.00	631 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	199.53	12.96 W	0.00	632 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	199.50	12.94 W	0.00	633 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	199.41	12.84 W	0.00	634 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	199.68	13.12 W	0.00	635 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	197.67	11.10 W	0.00	636 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	196.56	9.99 W	0.00	637 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	196.32	9.76 W	0.00	638 of 720 - Sale <sup>25</sup>
	1.000	186.57	06/11/20	189.41	2.84 W	0.00	639 of 720 - Sale <sup>25</sup>
	1.000	186.56	06/11/20	189.44	2.88 W	0.00	640 of 720 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	5.000	932.82	06/11/20	983.81	50.99 W	0.00	641 of 720 - Sale <sup>25</sup>
	9.000	1,679.08	06/11/20	1,747.94	68.86 W	0.00	642 of 720 - Sale <sup>25</sup>
	10.000	1,865.65	06/11/20	1,880.54	14.89 W	0.00	643 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	209.27	23.07 W	0.00	644 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	208.46	22.26 W	0.00	645 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	209.91	23.71 W	0.00	646 of 720 - Sale <sup>25</sup>
	5.000	930.98	06/11/20	938.08	7.10 W	0.00	647 of 720 - Sale <sup>25</sup>
	10.000	1,861.96	06/11/20	1,877.45	15.49 W	0.00	648 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	208.88	22.68 W	0.00	649 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	214.93	28.73 W	0.00	650 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	208.97	22.77 W	0.00	651 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	207.60	21.40 W	0.00	652 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	216.51	30.31 W	0.00	653 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	224.18	37.98 W	0.00	654 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	223.80	37.61 W	0.00	655 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	221.36	35.16 W	0.00	656 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	221.01	34.82 W	0.00	657 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	220.73	34.53 W	0.00	658 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	219.77	33.58 W	0.00	659 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	221.11	34.91 W	0.00	660 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	222.38	36.19 W	0.00	661 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	223.47	37.27 W	0.00	662 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	214.84	28.65 W	0.00	663 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	214.76	28.56 W	0.00	664 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	214.91	28.72 W	0.00	665 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	213.33	27.13 W	0.00	666 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	239.17	52.98 W	0.00	667 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	238.65	52.45 W	0.00	668 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	238.45	52.26 W	0.00	669 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	233.74	47.54 W	0.00	670 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	234.62	48.43 W	0.00	671 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	223.62	37.42 W	0.00	672 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	223.05	36.86 W	0.00	673 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	234.22	48.02 W	0.00	674 of 720 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	186.19	06/11/20	233.95	47.76 W	0.00	675 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	232.30	46.10 W	0.00	676 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	233.42	47.23 W	0.00	677 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	233.88	47.68 W	0.00	678 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	232.79	46.60 W	0.00	679 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	227.23	41.03 W	0.00	680 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	226.88	40.69 W	0.00	681 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	213.00	26.80 W	0.00	682 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	212.68	26.49 W	0.00	683 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	230.26	44.06 W	0.00	684 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	227.66	41.47 W	0.00	685 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	231.08	44.88 W	0.00	686 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	218.02	31.83 W	0.00	687 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	218.33	32.13 W	0.00	688 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	219.26	33.07 W	0.00	689 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	219.46	33.26 W	0.00	690 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	220.98	34.79 W	0.00	691 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	219.81	33.61 W	0.00	692 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	217.16	30.97 W	0.00	693 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	218.44	32.24 W	0.00	694 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	218.38	32.19 W	0.00	695 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	218.62	32.42 W	0.00	696 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	215.15	28.96 W	0.00	697 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	215.24	29.04 W	0.00	698 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	217.34	31.14 W	0.00	699 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	215.75	29.56 W	0.00	700 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	217.02	30.82 W	0.00	701 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	216.83	30.64 W	0.00	702 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	217.45	31.25 W	0.00	703 of 720 - Sale <sup>25</sup>
	1.000	186.19	06/11/20	219.18	32.99 W	0.00	704 of 720 - Sale <sup>25</sup>
	1.000	186.20	06/11/20	223.96	37.76 W	0.00	705 of 720 - Sale <sup>25</sup>
	2.000	372.39	06/11/20	439.59	67.20 W	0.00	706 of 720 - Sale <sup>25</sup>
	3.000	558.58	06/11/20	645.16	86.58 W	0.00	707 of 720 - Sale <sup>25</sup>
	1.000	186.25	06/11/20	194.05	7.80 W	0.00	708 of 720 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	186.24	06/11/20	193.64	7.40 W	0.00	709 of 720 - Sale <sup>25</sup>
	4.000	744.98	06/11/20	776.60	31.62 W	0.00	710 of 720 - Sale <sup>25</sup>
	1.000	186.25	06/11/20	223.84	37.59 W	0.00	711 of 720 - Sale <sup>25</sup>
	1.000	186.25	06/11/20	223.11	36.86 W	0.00	712 of 720 - Sale <sup>25</sup>
	1.000	186.25	06/11/20	223.02	36.77 W	0.00	713 of 720 - Sale <sup>25</sup>
	1.000	186.25	06/11/20	205.94	19.69 W	0.00	714 of 720 - Sale <sup>25</sup>
	1.000	186.24	06/11/20	205.07	18.83 W	0.00	715 of 720 - Sale <sup>25</sup>
	1.000	186.25	06/11/20	200.71	14.46 W	0.00	716 of 720 - Sale <sup>25</sup>
	1.000	186.24	06/11/20	196.07	9.83 W	0.00	717 of 720 - Sale <sup>25</sup>
	1.000	186.25	06/11/20	196.18	9.93 W	0.00	718 of 720 - Sale <sup>25</sup>
	5.000	931.22	06/11/20	970.76	39.54 W	0.00	719 of 720 - Sale <sup>25</sup>
	1.000	186.10	06/11/20	193.73	7.63 W	0.00	720 of 720 - Sale <sup>25</sup>
06/11/20	905.000	170,143.92	Various	191,068.66	20,924.74 W	0.00	Total of 720 transactions
	77 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	3.000	566.60	06/11/20	646.35	...	-79.75	1 of 77 - Sale <sup>25</sup>
	2.000	377.71	06/11/20	440.36	...	-62.65	2 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	239.49	...	-50.63	3 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	238.96	...	-50.10	4 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	238.85	...	-49.99	5 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	235.02	...	-46.16	6 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	234.61	...	-45.75	7 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	234.35	...	-45.49	8 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	234.27	...	-45.41	9 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	234.13	...	-45.27	10 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	233.82	...	-44.96	11 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	233.19	...	-44.33	12 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	232.69	...	-43.83	13 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	231.47	...	-42.61	14 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	230.65	...	-41.79	15 of 77 - Sale <sup>25</sup>
	5.000	944.30	06/11/20	983.54	...	-39.24	16 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	228.06	...	-39.20	17 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	227.62	...	-38.76	18 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	227.28	...	-38.42	19 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	224.49	...	-35.63	20 of 77 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.85	06/11/20	224.34	...	-35.49	21 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	224.34	...	-35.48	22 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	224.12	...	-35.26	23 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	224.01	...	-35.15	24 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	223.78	...	-34.92	25 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	223.45	...	-34.59	26 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	222.70	...	-33.84	27 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	221.67	...	-32.81	28 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	221.42	...	-32.56	29 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	221.38	...	-32.52	30 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	221.33	...	-32.47	31 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	221.04	...	-32.18	32 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	220.20	...	-31.34	33 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	220.09	...	-31.23	34 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	219.85	...	-30.99	35 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	219.66	...	-30.80	36 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	219.57	...	-30.71	37 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	219.01	...	-30.15	38 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	218.83	...	-29.97	39 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	218.78	...	-29.92	40 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	218.72	...	-29.86	41 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	218.42	...	-29.56	42 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	217.83	...	-28.97	43 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	217.73	...	-28.87	44 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	217.56	...	-28.70	45 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	217.41	...	-28.55	46 of 77 - Sale <sup>25</sup>
	1.000	188.87	06/11/20	217.23	...	-28.36	47 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	216.82	...	-27.96	48 of 77 - Sale <sup>25</sup>
	1.000	188.87	06/11/20	216.15	...	-27.28	49 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	215.63	...	-26.77	50 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	215.55	...	-26.69	51 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	215.24	...	-26.38	52 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	215.23	...	-26.37	53 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	215.16	...	-26.30	54 of 77 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	188.86	06/11/20	215.07	...	-26.21	55 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	213.64	...	-24.78	56 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	213.39	...	-24.53	57 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	213.08	...	-24.22	58 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	210.22	...	-21.36	59 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	209.28	...	-20.42	60 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	209.19	...	-20.33	61 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	208.77	...	-19.91	62 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	207.91	...	-19.05	63 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	199.63	...	-10.77	64 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	199.47	...	-10.61	65 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	199.45	...	-10.59	66 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	199.45	...	-10.59	67 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	199.35	...	-10.49	68 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	197.61	...	-8.75	69 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	196.50	...	-7.64	70 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	196.27	...	-7.41	71 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	189.39	...	-0.53	72 of 77 - Sale <sup>25</sup>
	1.000	188.86	06/11/20	189.35	...	-0.49	73 of 77 - Sale <sup>25</sup>
	5.000	944.31	06/11/20	939.65	...	4.66	74 of 77 - Sale <sup>25</sup>
	10.000	1,888.61	06/11/20	1,880.59	...	8.02	75 of 77 - Sale <sup>25</sup>
	10.000	1,888.61	06/11/20	1,879.99	...	8.62	76 of 77 - Sale <sup>25</sup>
	1.000	188.85	06/12/20	228.01	...	-39.16	77 of 77 - Sale <sup>25</sup>
06/15/20	106.000	20,019.20	Various	22,288.71	...	-2,269.51	Total of 77 transactions
06/15/20	1.000	188.86	06/11/20	209.58	5.85 W	-14.87	Sale <sup>25</sup>
	19 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	193.13	06/16/20	229.82	...	-36.69	1 of 19 - Sale <sup>25</sup>
	4.000	772.50	06/16/20	803.83	...	-31.33	2 of 19 - Sale <sup>25</sup>
	1.000	193.12	06/16/20	212.74	...	-19.62	3 of 19 - Sale <sup>25</sup>
	1.000	193.13	06/16/20	211.88	...	-18.75	4 of 19 - Sale <sup>25</sup>
	2.000	389.57	06/16/20	406.13	...	-16.56	5 of 19 - Sale <sup>25</sup>
	1.000	193.12	06/16/20	207.51	...	-14.39	6 of 19 - Sale <sup>25</sup>
	1.718	334.58	06/16/20	348.95	...	-14.37	7 of 19 - Sale <sup>25</sup>
	1.000	193.12	06/16/20	202.98	...	-9.86	8 of 19 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	193.13	06/16/20	202.88	...	-9.75	9 of 19 - Sale <sup>25</sup>
	1.000	194.79	06/16/20	203.05	...	-8.26	10 of 19 - Sale <sup>25</sup>
	1.000	194.78	06/16/20	202.87	...	-8.09	11 of 19 - Sale <sup>25</sup>
	1.000	194.79	06/16/20	202.65	...	-7.86	12 of 19 - Sale <sup>25</sup>
	0.282	55.00	06/16/20	60.98	...	-5.98	13 of 19 - Sale <sup>25</sup>
	0.718	139.79	06/16/20	145.61	...	-5.82	14 of 19 - Sale <sup>25</sup>
	0.244	47.63	06/16/20	49.55	...	-1.92	15 of 19 - Sale <sup>25</sup>
	0.234	45.53	06/16/20	47.43	...	-1.90	16 of 19 - Sale <sup>25</sup>
	0.049	9.47	06/16/20	9.86	...	-0.39	17 of 19 - Sale <sup>25</sup>
	0.026	5.00	06/16/20	5.21	...	-0.21	18 of 19 - Sale <sup>25</sup>
	0.012	2.38	06/16/20	2.48	...	-0.10	19 of 19 - Sale <sup>25</sup>
06/16/20	18.282	3,544.56	Various	3,756.41	...	-211.85	Total of 19 transactions
	<b>Security total:</b>	<b>714,533.38</b>		<b>790,672.27</b>	<b>74,030.95 W</b>	<b>-2,107.94</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	77.90	05/18/20	78.20	...	-0.30	1 of 3 - Sale <sup>25</sup>
	10.000	833.51	05/18/20	816.90	...	16.61	2 of 3 - Sale <sup>25</sup>
	20.000	1,667.02	05/18/20	1,634.60	...	32.42	3 of 3 - Sale <sup>25</sup>
05/18/20	31.000	2,578.43	Various	2,529.70	...	48.73	Total of 3 transactions
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	49.000	3,818.97	05/18/20	3,831.80	9.17 W	-3.66	1 of 3 - Sale <sup>25</sup>
	50.000	4,167.55	05/18/20	4,179.81	12.26 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	3,896.90	05/18/20	3,922.26	25.36 W	0.00	3 of 3 - Sale <sup>25</sup>
05/18/20	149.000	11,883.42	Various	11,933.87	46.79 W	-3.66	Total of 3 transactions
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	5.000	376.49	05/19/20	395.94	...	-19.45	1 of 3 - Sale <sup>25</sup>
	5.000	376.49	05/19/20	392.54	...	-16.05	2 of 3 - Sale <sup>25</sup>
	5.000	376.49	05/19/20	391.34	...	-14.85	3 of 3 - Sale <sup>25</sup>
05/19/20	15.000	1,129.47	Various	1,179.82	...	-50.35	Total of 3 transactions
14 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	10.000	576.99	05/29/20	585.77	...	-8.78	1 of 14 - Sale <sup>25</sup>
	5.000	288.50	05/29/20	292.88	...	-4.38	2 of 14 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	5.000	288.50	05/29/20	292.72	...	-4.22	3 of 14 - Sale <sup>25</sup>
	5.000	288.75	05/29/20	291.78	...	-3.03	4 of 14 - Sale <sup>25</sup>
	5.000	289.74	05/29/20	291.79	...	-2.05	5 of 14 - Sale <sup>25</sup>
	3.000	173.13	05/29/20	175.07	...	-1.94	6 of 14 - Sale <sup>25</sup>
	2.000	115.42	05/29/20	116.71	...	-1.29	7 of 14 - Sale <sup>25</sup>
	5.000	289.74	05/29/20	290.91	...	-1.17	8 of 14 - Sale <sup>25</sup>
	5.000	289.74	05/29/20	290.56	...	-0.82	9 of 14 - Sale <sup>25</sup>
	1.000	57.95	05/29/20	58.20	...	-0.25	10 of 14 - Sale <sup>25</sup>
	9.000	521.54	05/29/20	521.57	...	-0.03	11 of 14 - Sale <sup>25</sup>
	5.000	289.74	05/29/20	289.76	...	-0.02	12 of 14 - Sale <sup>25</sup>
	5.000	289.74	05/29/20	289.56	...	0.18	13 of 14 - Sale <sup>25</sup>
	5.000	289.75	05/29/20	289.41	...	0.34	14 of 14 - Sale <sup>25</sup>
05/29/20	70.000	4,049.23	Various	4,076.69	...	-27.46	Total of 14 transactions
	<b>Security total:</b>	<b>19,640.55</b>		<b>19,720.08</b>	<b>46.79 W</b>	<b>-32.74</b>	
MORGAN STANLEY / CUSIP: 617446448 / Symbol:							
	2 transactions for 01/27/20. Total proceeds and cost reported to the IRS.						
	5.000	264.76	09/11/19	218.20	...	46.56	1 of 2 - Sale <sup>25</sup>
	2.000	105.90	01/17/20	115.04	...	-9.14	2 of 2 - Sale <sup>25</sup>
01/27/20	7.000	370.66	Various	333.24	...	37.42	Total of 2 transactions
04/28/20	10.000	402.63	04/28/20	400.90	...	1.73	Sale <sup>25</sup>
05/20/20	10.000	409.43	05/20/20	408.70	...	0.73	Sale <sup>25</sup>
	9 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	46.96	06/12/20	46.68	...	0.28	1 of 9 - Sale <sup>25</sup>
	1.000	46.96	06/12/20	46.41	...	0.55	2 of 9 - Sale <sup>25</sup>
	1.000	46.96	06/12/20	46.24	...	0.72	3 of 9 - Sale <sup>25</sup>
	1.000	46.96	06/12/20	46.23	...	0.73	4 of 9 - Sale <sup>25</sup>
	1.000	46.96	06/12/20	46.20	...	0.76	5 of 9 - Sale <sup>25</sup>
	4.000	187.85	06/12/20	186.72	...	1.13	6 of 9 - Sale <sup>25</sup>
	20.000	939.23	06/12/20	937.60	...	1.63	7 of 9 - Sale <sup>25</sup>
	10.000	469.61	06/12/20	466.00	...	3.61	8 of 9 - Sale <sup>25</sup>
	10.000	469.62	06/12/20	456.80	...	12.82	9 of 9 - Sale <sup>25</sup>
06/15/20	49.000	2,301.11	Various	2,278.88	...	22.23	Total of 9 transactions
06/18/20	21.000	1,004.40	06/17/20	1,002.96	...	1.44	Sale <sup>25</sup>

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(continued)

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MORGAN STANLEY / CUSIP: 617446448 / Symbol: (cont'd)							
<b>Security total:</b>		<b>4,488.23</b>		<b>4,424.68</b>	...		<b>63.55</b>
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	426.01	04/14/20	413.95	...	12.06	1 of 2 - Sale <sup>25</sup>
	1.000	426.02	04/14/20	413.55	...	12.47	2 of 2 - Sale <sup>25</sup>
04/21/20	2.000	852.03	Various	827.50	...	24.53	Total of 2 transactions
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	25.000	144.50	05/22/20	146.25	1.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	5.78	05/22/20	5.79	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
05/22/20	26.000	150.28	Various	152.04	1.76 W	0.00	Total of 2 transactions
25 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	8.000	56.00	05/26/20	55.79	...	0.21	1 of 25 - Sale <sup>25</sup>
	11.000	77.00	05/26/20	76.71	...	0.29	2 of 25 - Sale <sup>25</sup>
	42.000	294.00	05/26/20	293.58	...	0.42	3 of 25 - Sale <sup>25</sup>
	55.000	384.99	05/26/20	384.45	...	0.54	4 of 25 - Sale <sup>25</sup>
	22.000	153.99	05/26/20	153.42	...	0.57	5 of 25 - Sale <sup>25</sup>
	22.000	153.99	05/26/20	153.42	...	0.57	6 of 25 - Sale <sup>25</sup>
	1.000	6.84	05/26/20	6.26	...	0.58	7 of 25 - Sale <sup>25</sup>
	29.000	202.99	05/26/20	202.23	...	0.76	8 of 25 - Sale <sup>25</sup>
	30.000	209.99	05/26/20	209.21	...	0.78	9 of 25 - Sale <sup>25</sup>
	111.000	776.97	05/26/20	775.89	...	1.08	10 of 25 - Sale <sup>25</sup>
	61.000	426.98	05/26/20	425.40	...	1.58	11 of 25 - Sale <sup>25</sup>
	200.000	1,399.94	05/26/20	1,398.00	...	1.94	12 of 25 - Sale <sup>25</sup>
	203.000	1,420.95	05/26/20	1,418.97	...	1.98	13 of 25 - Sale <sup>25</sup>
	100.000	699.97	05/26/20	697.39	...	2.58	14 of 25 - Sale <sup>25</sup>
	389.000	2,722.89	05/26/20	2,719.11	...	3.78	15 of 25 - Sale <sup>25</sup>
	25.000	170.99	05/26/20	158.00	...	12.99	16 of 25 - Sale <sup>25</sup>
	217.000	1,518.95	05/26/20	1,495.91	...	23.04	17 of 25 - Sale <sup>25</sup>
	41.000	286.99	05/26/20	262.81	...	24.18	18 of 25 - Sale <sup>25</sup>
	59.000	412.98	05/26/20	378.19	...	34.79	19 of 25 - Sale <sup>25</sup>
	100.000	699.97	05/26/20	642.00	...	57.97	20 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	100.000	699.97	05/26/20	642.00	...	57.97	21 of 25 - Sale <sup>25</sup>
	100.000	699.96	05/26/20	641.00	...	58.96	22 of 25 - Sale <sup>25</sup>
	100.000	699.97	05/26/20	641.00	...	58.97	23 of 25 - Sale <sup>25</sup>
	174.000	1,190.11	05/26/20	1,087.50	...	102.61	24 of 25 - Sale <sup>25</sup>
	200.000	1,399.94	05/26/20	1,260.82	...	139.12	25 of 25 - Sale <sup>25</sup>
05/26/20	2,400.000	16,767.32	Various	16,179.06	...	588.26	Total of 25 transactions
632 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	500.000	3,499.86	05/26/20	3,544.85	44.99 W	0.00	1 of 632 - Sale <sup>25</sup>
	61.000	426.99	05/26/20	431.88	4.89 W	0.00	2 of 632 - Sale <sup>25</sup>
	22.000	154.00	05/26/20	155.76	1.76 W	0.00	3 of 632 - Sale <sup>25</sup>
	8.000	56.00	05/26/20	56.64	0.64 W	0.00	4 of 632 - Sale <sup>25</sup>
	29.000	203.00	05/26/20	205.32	2.32 W	0.00	5 of 632 - Sale <sup>25</sup>
	22.000	154.00	05/26/20	155.76	1.76 W	0.00	6 of 632 - Sale <sup>25</sup>
	100.000	699.97	05/26/20	708.00	8.03 W	0.00	7 of 632 - Sale <sup>25</sup>
	30.000	210.00	05/26/20	212.40	2.40 W	0.00	8 of 632 - Sale <sup>25</sup>
	11.000	77.00	05/26/20	77.88	0.88 W	0.00	9 of 632 - Sale <sup>25</sup>
	217.000	1,518.94	05/26/20	1,536.35	17.41 W	0.00	10 of 632 - Sale <sup>25</sup>
	200.000	1,399.95	05/26/20	1,412.00	12.05 W	0.00	11 of 632 - Sale <sup>25</sup>
	100.000	699.97	05/26/20	710.00	10.03 W	0.00	12 of 632 - Sale <sup>25</sup>
	100.000	701.97	05/26/20	718.02	16.05 W	0.00	13 of 632 - Sale <sup>25</sup>
	100.000	701.97	05/26/20	707.38	5.41 W	0.00	14 of 632 - Sale <sup>25</sup>
	12.000	83.16	05/26/20	85.80	2.64 W	0.00	15 of 632 - Sale <sup>25</sup>
	5.000	34.53	05/26/20	35.75	1.22 W	0.00	16 of 632 - Sale <sup>25</sup>
	7.000	48.33	05/26/20	49.91	1.58 W	0.00	17 of 632 - Sale <sup>25</sup>
	100.000	688.07	05/26/20	713.03	24.96 W	0.00	18 of 632 - Sale <sup>25</sup>
	7.000	48.16	05/26/20	50.19	2.03 W	0.00	19 of 632 - Sale <sup>25</sup>
	93.000	639.91	05/26/20	663.11	23.20 W	0.00	20 of 632 - Sale <sup>25</sup>
	93.000	639.91	05/26/20	666.84	26.93 W	0.00	21 of 632 - Sale <sup>25</sup>
	100.000	688.07	05/26/20	723.05	34.98 W	0.00	22 of 632 - Sale <sup>25</sup>
	100.000	688.07	05/26/20	712.41	24.34 W	0.00	23 of 632 - Sale <sup>25</sup>
	307.000	2,112.38	05/26/20	2,170.49	58.11 W	0.00	24 of 632 - Sale <sup>25</sup>
	130.000	894.36	05/26/20	919.10	24.74 W	0.00	25 of 632 - Sale <sup>25</sup>
	70.000	480.19	05/26/20	494.90	14.71 W	0.00	26 of 632 - Sale <sup>25</sup>
	100.000	683.97	05/26/20	707.00	23.03 W	0.00	27 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	200.000	1,365.94	05/26/20	1,414.00	48.06 W	0.00	28 of 632 - Sale <sup>25</sup>
	100.000	682.97	05/26/20	707.00	24.03 W	0.00	29 of 632 - Sale <sup>25</sup>
	100.000	681.97	05/26/20	707.00	25.03 W	0.00	30 of 632 - Sale <sup>25</sup>
	200.000	1,363.94	05/26/20	1,414.00	50.06 W	0.00	31 of 632 - Sale <sup>25</sup>
	100.000	681.97	05/26/20	707.00	25.03 W	0.00	32 of 632 - Sale <sup>25</sup>
	176.000	1,198.51	05/26/20	1,244.32	45.81 W	0.00	33 of 632 - Sale <sup>25</sup>
	5.000	34.05	05/26/20	36.22	2.17 W	0.00	34 of 632 - Sale <sup>25</sup>
	7.000	47.67	05/26/20	50.58	2.91 W	0.00	35 of 632 - Sale <sup>25</sup>
	12.000	81.72	05/26/20	86.64	4.92 W	0.00	36 of 632 - Sale <sup>25</sup>
	67.000	456.24	05/26/20	485.72	29.48 W	0.00	37 of 632 - Sale <sup>25</sup>
	33.000	224.72	05/26/20	239.24	14.52 W	0.00	38 of 632 - Sale <sup>25</sup>
	7.000	47.67	05/26/20	51.03	3.36 W	0.00	39 of 632 - Sale <sup>25</sup>
	74.000	503.92	05/26/20	536.01	32.09 W	0.00	40 of 632 - Sale <sup>25</sup>
	93.000	633.30	05/26/20	674.20	40.90 W	0.00	41 of 632 - Sale <sup>25</sup>
	93.000	633.30	05/26/20	677.93	44.63 W	0.00	42 of 632 - Sale <sup>25</sup>
	100.000	680.97	05/26/20	734.98	54.01 W	0.00	43 of 632 - Sale <sup>25</sup>
	26.000	177.05	05/26/20	188.33	11.28 W	0.00	44 of 632 - Sale <sup>25</sup>
	67.000	456.25	05/26/20	481.75	25.50 W	0.00	45 of 632 - Sale <sup>25</sup>
	307.000	2,090.59	05/26/20	2,207.11	116.52 W	0.00	46 of 632 - Sale <sup>25</sup>
	46.000	313.25	05/26/20	331.67	18.42 W	0.00	47 of 632 - Sale <sup>25</sup>
	63.000	429.01	05/26/20	452.99	23.98 W	0.00	48 of 632 - Sale <sup>25</sup>
	24.000	163.43	05/26/20	173.52	10.09 W	0.00	49 of 632 - Sale <sup>25</sup>
	76.000	517.54	05/26/20	551.02	33.48 W	0.00	50 of 632 - Sale <sup>25</sup>
	24.000	163.43	05/26/20	173.69	10.26 W	0.00	51 of 632 - Sale <sup>25</sup>
	76.000	517.54	05/26/20	550.76	33.22 W	0.00	52 of 632 - Sale <sup>25</sup>
	100.000	680.98	05/26/20	724.03	43.05 W	0.00	53 of 632 - Sale <sup>25</sup>
	24.000	163.43	05/26/20	173.77	10.34 W	0.00	54 of 632 - Sale <sup>25</sup>
	76.000	517.54	05/26/20	550.26	32.72 W	0.00	55 of 632 - Sale <sup>25</sup>
	24.000	163.43	05/26/20	172.57	9.14 W	0.00	56 of 632 - Sale <sup>25</sup>
	76.000	517.54	05/26/20	547.22	29.68 W	0.00	57 of 632 - Sale <sup>25</sup>
	24.000	163.43	05/26/20	172.57	9.14 W	0.00	58 of 632 - Sale <sup>25</sup>
	76.000	517.54	05/26/20	546.46	28.92 W	0.00	59 of 632 - Sale <sup>25</sup>
	100.000	680.98	05/26/20	723.03	42.05 W	0.00	60 of 632 - Sale <sup>25</sup>
	24.000	163.44	05/26/20	173.75	10.31 W	0.00	61 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	76.000	517.54	05/26/20	550.18	32.64 W	0.00	62 of 632 - Sale <sup>25</sup>
	1.000	6.81	05/26/20	7.24	0.43 W	0.00	63 of 632 - Sale <sup>25</sup>
	1.000	6.81	05/26/20	7.27	0.46 W	0.00	64 of 632 - Sale <sup>25</sup>
	2.000	13.46	05/26/20	14.58	1.12 W	0.00	65 of 632 - Sale <sup>25</sup>
	22.000	148.05	05/26/20	156.87	8.82 W	0.00	66 of 632 - Sale <sup>25</sup>
	176.000	1,184.44	05/26/20	1,256.69	72.25 W	0.00	67 of 632 - Sale <sup>25</sup>
	5.000	33.65	05/26/20	36.57	2.92 W	0.00	68 of 632 - Sale <sup>25</sup>
	7.000	47.11	05/26/20	51.07	3.96 W	0.00	69 of 632 - Sale <sup>25</sup>
	10.000	67.30	05/26/20	72.90	5.60 W	0.00	70 of 632 - Sale <sup>25</sup>
	11.000	74.03	05/26/20	80.52	6.49 W	0.00	71 of 632 - Sale <sup>25</sup>
	67.000	450.88	05/26/20	490.44	39.56 W	0.00	72 of 632 - Sale <sup>25</sup>
	22.000	148.05	05/26/20	161.04	12.99 W	0.00	73 of 632 - Sale <sup>25</sup>
	78.000	524.92	05/26/20	570.94	46.02 W	0.00	74 of 632 - Sale <sup>25</sup>
	7.000	47.11	05/26/20	51.52	4.41 W	0.00	75 of 632 - Sale <sup>25</sup>
	78.000	524.91	05/26/20	574.07	49.16 W	0.00	76 of 632 - Sale <sup>25</sup>
	15.000	100.95	05/26/20	109.80	8.85 W	0.00	77 of 632 - Sale <sup>25</sup>
	11.000	73.92	05/26/20	80.56	6.64 W	0.00	78 of 632 - Sale <sup>25</sup>
	15.000	100.80	05/26/20	110.55	9.75 W	0.00	79 of 632 - Sale <sup>25</sup>
	74.000	497.26	05/26/20	541.95	44.69 W	0.00	80 of 632 - Sale <sup>25</sup>
	100.000	671.97	05/26/20	743.01	71.04 W	0.00	81 of 632 - Sale <sup>25</sup>
	15.000	100.80	05/26/20	109.94	9.14 W	0.00	82 of 632 - Sale <sup>25</sup>
	85.000	571.17	05/26/20	618.33	47.16 W	0.00	83 of 632 - Sale <sup>25</sup>
	27.000	181.43	05/26/20	195.74	14.31 W	0.00	84 of 632 - Sale <sup>25</sup>
	3.000	20.16	05/26/20	21.75	1.59 W	0.00	85 of 632 - Sale <sup>25</sup>
	9.000	60.48	05/26/20	65.25	4.77 W	0.00	86 of 632 - Sale <sup>25</sup>
	3.000	20.16	05/26/20	21.75	1.59 W	0.00	87 of 632 - Sale <sup>25</sup>
	7.000	47.04	05/26/20	50.75	3.71 W	0.00	88 of 632 - Sale <sup>25</sup>
	151.000	1,014.67	05/26/20	1,094.67	80.00 W	0.00	89 of 632 - Sale <sup>25</sup>
	20.000	131.20	05/26/20	144.99	13.79 W	0.00	90 of 632 - Sale <sup>25</sup>
	11.000	71.99	05/26/20	79.76	7.77 W	0.00	91 of 632 - Sale <sup>25</sup>
	67.000	438.50	05/26/20	485.79	47.29 W	0.00	92 of 632 - Sale <sup>25</sup>
	2.000	13.09	05/26/20	14.50	1.41 W	0.00	93 of 632 - Sale <sup>25</sup>
	22.000	143.98	05/26/20	160.39	16.41 W	0.00	94 of 632 - Sale <sup>25</sup>
	46.000	301.06	05/26/20	334.44	33.38 W	0.00	95 of 632 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	52.000	340.33	05/26/20	377.03	36.70 W	0.00	96 of 632 - Sale <sup>25</sup>
	2.000	13.08	05/26/20	14.60	1.52 W	0.00	97 of 632 - Sale <sup>25</sup>
	2.000	13.08	05/26/20	14.58	1.50 W	0.00	98 of 632 - Sale <sup>25</sup>
	76.000	497.02	05/26/20	555.60	58.58 W	0.00	99 of 632 - Sale <sup>25</sup>
	2.000	13.08	05/26/20	14.54	1.46 W	0.00	100 of 632 - Sale <sup>25</sup>
	22.000	143.87	05/26/20	159.88	16.01 W	0.00	101 of 632 - Sale <sup>25</sup>
	76.000	497.02	05/26/20	553.06	56.04 W	0.00	102 of 632 - Sale <sup>25</sup>
	20.000	130.80	05/26/20	145.81	15.01 W	0.00	103 of 632 - Sale <sup>25</sup>
	2.000	13.14	05/26/20	14.58	1.44 W	0.00	104 of 632 - Sale <sup>25</sup>
	78.000	512.44	05/26/20	568.66	56.22 W	0.00	105 of 632 - Sale <sup>25</sup>
	20.000	131.39	05/26/20	145.42	14.03 W	0.00	106 of 632 - Sale <sup>25</sup>
	2.000	13.12	05/26/20	14.54	1.42 W	0.00	107 of 632 - Sale <sup>25</sup>
	22.000	144.32	05/26/20	158.86	14.54 W	0.00	108 of 632 - Sale <sup>25</sup>
	76.000	498.54	05/26/20	552.56	54.02 W	0.00	109 of 632 - Sale <sup>25</sup>
	1.000	6.57	05/26/20	7.27	0.70 W	0.00	110 of 632 - Sale <sup>25</sup>
	1.000	6.57	05/26/20	7.30	0.73 W	0.00	111 of 632 - Sale <sup>25</sup>
	2.000	13.14	05/26/20	14.80	1.66 W	0.00	112 of 632 - Sale <sup>25</sup>
	5.000	32.85	05/26/20	37.12	4.27 W	0.00	113 of 632 - Sale <sup>25</sup>
	7.000	45.99	05/26/20	51.84	5.85 W	0.00	114 of 632 - Sale <sup>25</sup>
	7.000	45.99	05/26/20	52.29	6.30 W	0.00	115 of 632 - Sale <sup>25</sup>
	10.000	65.70	05/26/20	74.00	8.30 W	0.00	116 of 632 - Sale <sup>25</sup>
	11.000	72.27	05/26/20	81.73	9.46 W	0.00	117 of 632 - Sale <sup>25</sup>
	15.000	98.55	05/26/20	111.45	12.90 W	0.00	118 of 632 - Sale <sup>25</sup>
	22.000	144.53	05/26/20	159.30	14.77 W	0.00	119 of 632 - Sale <sup>25</sup>
	22.000	144.53	05/26/20	163.47	18.94 W	0.00	120 of 632 - Sale <sup>25</sup>
	2.000	13.14	05/26/20	14.44	1.30 W	0.00	121 of 632 - Sale <sup>25</sup>
	24.000	157.67	05/26/20	173.30	15.63 W	0.00	122 of 632 - Sale <sup>25</sup>
	24.000	157.67	05/26/20	174.47	16.80 W	0.00	123 of 632 - Sale <sup>25</sup>
	67.000	440.17	05/26/20	497.84	57.67 W	0.00	124 of 632 - Sale <sup>25</sup>
	76.000	499.30	05/26/20	549.52	50.22 W	0.00	125 of 632 - Sale <sup>25</sup>
	76.000	499.30	05/26/20	548.76	49.46 W	0.00	126 of 632 - Sale <sup>25</sup>
	76.000	499.30	05/26/20	552.48	53.18 W	0.00	127 of 632 - Sale <sup>25</sup>
	78.000	512.44	05/26/20	579.54	67.10 W	0.00	128 of 632 - Sale <sup>25</sup>
	78.000	512.44	05/26/20	582.68	70.24 W	0.00	129 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
100.000		656.97	05/26/20	726.05	69.08 W	0.00	130 of 632 - Sale <sup>25</sup>
176.000		1,156.28	05/26/20	1,276.09	119.81 W	0.00	131 of 632 - Sale <sup>25</sup>
15.000		98.54	05/26/20	111.75	13.21 W	0.00	132 of 632 - Sale <sup>25</sup>
5.000		32.85	05/26/20	37.02	4.17 W	0.00	133 of 632 - Sale <sup>25</sup>
100.000		656.97	05/26/20	751.03	94.06 W	0.00	134 of 632 - Sale <sup>25</sup>
2.000		13.16	05/26/20	15.01	1.85 W	0.00	135 of 632 - Sale <sup>25</sup>
3.000		19.74	05/26/20	21.99	2.25 W	0.00	136 of 632 - Sale <sup>25</sup>
3.000		19.74	05/26/20	21.99	2.25 W	0.00	137 of 632 - Sale <sup>25</sup>
7.000		46.06	05/26/20	51.31	5.25 W	0.00	138 of 632 - Sale <sup>25</sup>
9.000		59.22	05/26/20	65.97	6.75 W	0.00	139 of 632 - Sale <sup>25</sup>
11.000		72.38	05/26/20	81.44	9.06 W	0.00	140 of 632 - Sale <sup>25</sup>
11.000		72.38	05/26/20	82.57	10.19 W	0.00	141 of 632 - Sale <sup>25</sup>
15.000		98.70	05/26/20	111.14	12.44 W	0.00	142 of 632 - Sale <sup>25</sup>
20.000		131.59	05/26/20	149.79	18.20 W	0.00	143 of 632 - Sale <sup>25</sup>
27.000		177.65	05/26/20	197.91	20.26 W	0.00	144 of 632 - Sale <sup>25</sup>
20.000		131.59	05/26/20	150.11	18.52 W	0.00	145 of 632 - Sale <sup>25</sup>
67.000		440.84	05/26/20	502.88	62.04 W	0.00	146 of 632 - Sale <sup>25</sup>
69.000		454.00	05/26/20	510.86	56.86 W	0.00	147 of 632 - Sale <sup>25</sup>
85.000		559.27	05/26/20	625.15	65.88 W	0.00	148 of 632 - Sale <sup>25</sup>
151.000		993.54	05/26/20	1,106.78	113.24 W	0.00	149 of 632 - Sale <sup>25</sup>
2.000		13.00	05/26/20	15.10	2.10 W	0.00	150 of 632 - Sale <sup>25</sup>
2.000		13.00	05/26/20	15.12	2.12 W	0.00	151 of 632 - Sale <sup>25</sup>
22.000		142.99	05/26/20	166.01	23.02 W	0.00	152 of 632 - Sale <sup>25</sup>
20.000		130.00	05/26/20	150.55	20.55 W	0.00	153 of 632 - Sale <sup>25</sup>
46.000		298.99	05/26/20	346.18	47.19 W	0.00	154 of 632 - Sale <sup>25</sup>
32.000		207.99	05/26/20	240.18	32.19 W	0.00	155 of 632 - Sale <sup>25</sup>
76.000		493.98	05/26/20	575.37	81.39 W	0.00	156 of 632 - Sale <sup>25</sup>
2.000		13.00	05/26/20	15.06	2.06 W	0.00	157 of 632 - Sale <sup>25</sup>
20.000		129.99	05/26/20	151.01	21.02 W	0.00	158 of 632 - Sale <sup>25</sup>
2.000		13.00	05/26/20	15.06	2.06 W	0.00	159 of 632 - Sale <sup>25</sup>
76.000		493.98	05/26/20	572.83	78.85 W	0.00	160 of 632 - Sale <sup>25</sup>
2.000		13.00	05/26/20	15.04	2.04 W	0.00	161 of 632 - Sale <sup>25</sup>
78.000		506.98	05/26/20	586.61	79.63 W	0.00	162 of 632 - Sale <sup>25</sup>
2.000		13.00	05/26/20	14.78	1.78 W	0.00	163 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.00	05/26/20	14.66	1.66 W	0.00	164 of 632 - Sale <sup>25</sup>
	20.000	129.99	05/26/20	147.63	17.64 W	0.00	165 of 632 - Sale <sup>25</sup>
	22.000	142.99	05/26/20	161.50	18.51 W	0.00	166 of 632 - Sale <sup>25</sup>
	24.000	155.99	05/26/20	175.95	19.96 W	0.00	167 of 632 - Sale <sup>25</sup>
	76.000	493.98	05/26/20	561.70	67.72 W	0.00	168 of 632 - Sale <sup>25</sup>
	76.000	493.98	05/26/20	557.90	63.92 W	0.00	169 of 632 - Sale <sup>25</sup>
	76.000	493.98	05/26/20	557.14	63.16 W	0.00	170 of 632 - Sale <sup>25</sup>
	22.000	143.00	05/26/20	162.16	19.16 W	0.00	171 of 632 - Sale <sup>25</sup>
	2.000	12.98	05/26/20	14.76	1.78 W	0.00	172 of 632 - Sale <sup>25</sup>
	78.000	506.20	05/26/20	574.92	68.72 W	0.00	173 of 632 - Sale <sup>25</sup>
	20.000	129.79	05/26/20	145.40	15.61 W	0.00	174 of 632 - Sale <sup>25</sup>
	1.000	6.50	05/26/20	7.29	0.79 W	0.00	175 of 632 - Sale <sup>25</sup>
	1.000	6.50	05/26/20	7.32	0.82 W	0.00	176 of 632 - Sale <sup>25</sup>
	2.000	13.00	05/26/20	14.58	1.58 W	0.00	177 of 632 - Sale <sup>25</sup>
	3.000	19.50	05/26/20	21.78	2.28 W	0.00	178 of 632 - Sale <sup>25</sup>
	76.000	493.98	05/26/20	554.02	60.04 W	0.00	179 of 632 - Sale <sup>25</sup>
	17.000	110.50	05/26/20	123.45	12.95 W	0.00	180 of 632 - Sale <sup>25</sup>
	2.000	13.00	05/26/20	14.46	1.46 W	0.00	181 of 632 - Sale <sup>25</sup>
	98.000	636.97	05/26/20	709.57	72.60 W	0.00	182 of 632 - Sale <sup>25</sup>
	20.000	129.99	05/26/20	144.62	14.63 W	0.00	183 of 632 - Sale <sup>25</sup>
	20.000	129.99	05/26/20	144.61	14.62 W	0.00	184 of 632 - Sale <sup>25</sup>
	20.000	129.99	05/26/20	144.33	14.34 W	0.00	185 of 632 - Sale <sup>25</sup>
	2.000	13.00	05/26/20	14.76	1.76 W	0.00	186 of 632 - Sale <sup>25</sup>
	18.000	117.00	05/26/20	130.15	13.15 W	0.00	187 of 632 - Sale <sup>25</sup>
	5.000	32.50	05/26/20	37.26	4.76 W	0.00	188 of 632 - Sale <sup>25</sup>
	5.000	32.50	05/26/20	37.16	4.66 W	0.00	189 of 632 - Sale <sup>25</sup>
	10.000	65.00	05/26/20	74.27	9.27 W	0.00	190 of 632 - Sale <sup>25</sup>
	2.000	13.00	05/26/20	14.87	1.87 W	0.00	191 of 632 - Sale <sup>25</sup>
	18.000	116.99	05/26/20	134.29	17.30 W	0.00	192 of 632 - Sale <sup>25</sup>
	34.000	220.99	05/26/20	254.35	33.36 W	0.00	193 of 632 - Sale <sup>25</sup>
	11.000	71.50	05/26/20	82.28	10.78 W	0.00	194 of 632 - Sale <sup>25</sup>
	15.000	97.50	05/26/20	112.21	14.71 W	0.00	195 of 632 - Sale <sup>25</sup>
	20.000	129.99	05/26/20	149.62	19.63 W	0.00	196 of 632 - Sale <sup>25</sup>
	2.000	12.98	05/26/20	14.96	1.98 W	0.00	197 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	52.000	337.47	05/26/20	388.97	51.50 W	0.00	198 of 632 - Sale <sup>25</sup>
	7.000	45.43	05/26/20	52.64	7.21 W	0.00	199 of 632 - Sale <sup>25</sup>
	15.000	97.35	05/26/20	112.20	14.85 W	0.00	200 of 632 - Sale <sup>25</sup>
	18.000	116.81	05/26/20	135.37	18.56 W	0.00	201 of 632 - Sale <sup>25</sup>
	26.000	168.73	05/26/20	194.49	25.76 W	0.00	202 of 632 - Sale <sup>25</sup>
	15.000	97.34	05/26/20	112.66	15.32 W	0.00	203 of 632 - Sale <sup>25</sup>
	60.000	389.39	05/26/20	451.83	62.44 W	0.00	204 of 632 - Sale <sup>25</sup>
	5.000	32.45	05/26/20	37.85	5.40 W	0.00	205 of 632 - Sale <sup>25</sup>
	3.000	19.44	05/26/20	22.14	2.70 W	0.00	206 of 632 - Sale <sup>25</sup>
	3.000	19.44	05/26/20	22.14	2.70 W	0.00	207 of 632 - Sale <sup>25</sup>
	5.000	32.40	05/26/20	37.32	4.92 W	0.00	208 of 632 - Sale <sup>25</sup>
	7.000	45.36	05/26/20	51.66	6.30 W	0.00	209 of 632 - Sale <sup>25</sup>
	9.000	58.32	05/26/20	66.42	8.10 W	0.00	210 of 632 - Sale <sup>25</sup>
	11.000	71.28	05/26/20	81.99	10.71 W	0.00	211 of 632 - Sale <sup>25</sup>
	15.000	97.20	05/26/20	111.89	14.69 W	0.00	212 of 632 - Sale <sup>25</sup>
	27.000	174.95	05/26/20	199.27	24.32 W	0.00	213 of 632 - Sale <sup>25</sup>
	69.000	447.10	05/26/20	514.33	67.23 W	0.00	214 of 632 - Sale <sup>25</sup>
	85.000	550.78	05/26/20	629.43	78.65 W	0.00	215 of 632 - Sale <sup>25</sup>
	91.000	589.65	05/26/20	671.57	81.92 W	0.00	216 of 632 - Sale <sup>25</sup>
	95.000	615.57	05/26/20	719.21	103.64 W	0.00	217 of 632 - Sale <sup>25</sup>
	2.000	12.96	05/26/20	15.10	2.14 W	0.00	218 of 632 - Sale <sup>25</sup>
	11.000	71.28	05/26/20	83.07	11.79 W	0.00	219 of 632 - Sale <sup>25</sup>
	20.000	129.59	05/26/20	150.70	21.11 W	0.00	220 of 632 - Sale <sup>25</sup>
	20.000	129.60	05/26/20	151.02	21.42 W	0.00	221 of 632 - Sale <sup>25</sup>
	60.000	388.78	05/26/20	442.51	53.73 W	0.00	222 of 632 - Sale <sup>25</sup>
	67.000	434.14	05/26/20	505.92	71.78 W	0.00	223 of 632 - Sale <sup>25</sup>
	18.000	116.64	05/26/20	137.36	20.72 W	0.00	224 of 632 - Sale <sup>25</sup>
	2.000	12.96	05/26/20	15.27	2.31 W	0.00	225 of 632 - Sale <sup>25</sup>
	2.000	12.96	05/26/20	15.30	2.34 W	0.00	226 of 632 - Sale <sup>25</sup>
	12.000	77.76	05/26/20	91.27	13.51 W	0.00	227 of 632 - Sale <sup>25</sup>
	18.000	116.63	05/26/20	138.08	21.45 W	0.00	228 of 632 - Sale <sup>25</sup>
	22.000	142.55	05/26/20	168.22	25.67 W	0.00	229 of 632 - Sale <sup>25</sup>
	46.000	298.07	05/26/20	350.79	52.72 W	0.00	230 of 632 - Sale <sup>25</sup>
	10.000	64.80	05/26/20	76.71	11.91 W	0.00	231 of 632 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	12.96	05/26/20	15.32	2.36 W	0.00	232 of 632 - Sale <sup>25</sup>
	2.000	12.96	05/26/20	15.26	2.30 W	0.00	233 of 632 - Sale <sup>25</sup>
	18.000	116.63	05/26/20	137.48	20.85 W	0.00	234 of 632 - Sale <sup>25</sup>
	20.000	129.60	05/26/20	152.55	22.95 W	0.00	235 of 632 - Sale <sup>25</sup>
	48.000	311.02	05/26/20	368.20	57.18 W	0.00	236 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	15.04	2.12 W	0.00	237 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	15.02	2.10 W	0.00	238 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.76	1.84 W	0.00	239 of 632 - Sale <sup>25</sup>
	18.000	116.28	05/26/20	132.86	16.58 W	0.00	240 of 632 - Sale <sup>25</sup>
	20.000	129.20	05/26/20	150.82	21.62 W	0.00	241 of 632 - Sale <sup>25</sup>
	20.000	129.20	05/26/20	147.44	18.24 W	0.00	242 of 632 - Sale <sup>25</sup>
	58.000	374.67	05/26/20	436.59	61.92 W	0.00	243 of 632 - Sale <sup>25</sup>
	78.000	503.87	05/26/20	585.85	81.98 W	0.00	244 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.64	1.72 W	0.00	245 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.76	1.84 W	0.00	246 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.56	1.64 W	0.00	247 of 632 - Sale <sup>25</sup>
	20.000	129.19	05/26/20	145.41	16.22 W	0.00	248 of 632 - Sale <sup>25</sup>
	22.000	142.12	05/26/20	161.29	19.17 W	0.00	249 of 632 - Sale <sup>25</sup>
	22.000	142.12	05/26/20	161.94	19.82 W	0.00	250 of 632 - Sale <sup>25</sup>
	24.000	155.04	05/26/20	175.72	20.68 W	0.00	251 of 632 - Sale <sup>25</sup>
	58.000	374.67	05/26/20	428.10	53.43 W	0.00	252 of 632 - Sale <sup>25</sup>
	76.000	490.94	05/26/20	557.16	66.22 W	0.00	253 of 632 - Sale <sup>25</sup>
	76.000	490.94	05/26/20	556.40	65.46 W	0.00	254 of 632 - Sale <sup>25</sup>
	18.000	116.28	05/26/20	131.04	14.76 W	0.00	255 of 632 - Sale <sup>25</sup>
	78.000	503.86	05/26/20	574.94	71.08 W	0.00	256 of 632 - Sale <sup>25</sup>
	1.000	6.47	05/26/20	7.28	0.81 W	0.00	257 of 632 - Sale <sup>25</sup>
	4.000	25.48	05/26/20	28.94	3.46 W	0.00	258 of 632 - Sale <sup>25</sup>
	1.000	6.35	05/26/20	7.28	0.93 W	0.00	259 of 632 - Sale <sup>25</sup>
	1.000	6.35	05/26/20	7.31	0.96 W	0.00	260 of 632 - Sale <sup>25</sup>
	2.000	12.70	05/26/20	14.44	1.74 W	0.00	261 of 632 - Sale <sup>25</sup>
	3.000	19.05	05/26/20	21.75	2.70 W	0.00	262 of 632 - Sale <sup>25</sup>
	17.000	107.95	05/26/20	123.28	15.33 W	0.00	263 of 632 - Sale <sup>25</sup>
	18.000	114.29	05/26/20	130.15	15.86 W	0.00	264 of 632 - Sale <sup>25</sup>
	57.000	361.93	05/26/20	414.96	53.03 W	0.00	265 of 632 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.35	05/26/20	7.24	0.89 W	0.00	266 of 632 - Sale <sup>25</sup>
	20.000	126.99	05/26/20	144.53	17.54 W	0.00	267 of 632 - Sale <sup>25</sup>
	75.000	476.23	05/26/20	542.69	66.46 W	0.00	268 of 632 - Sale <sup>25</sup>
	5.000	31.75	05/26/20	35.91	4.16 W	0.00	269 of 632 - Sale <sup>25</sup>
	2.000	12.74	05/26/20	14.66	1.92 W	0.00	270 of 632 - Sale <sup>25</sup>
	2.000	12.74	05/26/20	14.77	2.03 W	0.00	271 of 632 - Sale <sup>25</sup>
	5.000	31.85	05/26/20	37.01	5.16 W	0.00	272 of 632 - Sale <sup>25</sup>
	5.000	31.85	05/26/20	36.91	5.06 W	0.00	273 of 632 - Sale <sup>25</sup>
	10.000	63.70	05/26/20	73.77	10.07 W	0.00	274 of 632 - Sale <sup>25</sup>
	18.000	114.65	05/26/20	129.25	14.60 W	0.00	275 of 632 - Sale <sup>25</sup>
	18.000	114.65	05/26/20	133.40	18.75 W	0.00	276 of 632 - Sale <sup>25</sup>
	15.000	95.55	05/26/20	107.71	12.16 W	0.00	277 of 632 - Sale <sup>25</sup>
	20.000	127.39	05/26/20	143.34	15.95 W	0.00	278 of 632 - Sale <sup>25</sup>
	1.000	6.37	05/26/20	7.43	1.06 W	0.00	279 of 632 - Sale <sup>25</sup>
	4.000	25.48	05/26/20	29.72	4.24 W	0.00	280 of 632 - Sale <sup>25</sup>
	2.000	12.74	05/26/20	14.88	2.14 W	0.00	281 of 632 - Sale <sup>25</sup>
	11.000	70.07	05/26/20	81.73	11.66 W	0.00	282 of 632 - Sale <sup>25</sup>
	15.000	95.55	05/26/20	111.46	15.91 W	0.00	283 of 632 - Sale <sup>25</sup>
	18.000	114.65	05/26/20	133.93	19.28 W	0.00	284 of 632 - Sale <sup>25</sup>
	20.000	127.39	05/26/20	148.63	21.24 W	0.00	285 of 632 - Sale <sup>25</sup>
	29.000	184.72	05/26/20	215.51	30.79 W	0.00	286 of 632 - Sale <sup>25</sup>
	1.000	6.37	05/26/20	7.44	1.07 W	0.00	287 of 632 - Sale <sup>25</sup>
	2.000	12.80	05/26/20	14.88	2.08 W	0.00	288 of 632 - Sale <sup>25</sup>
	21.000	134.82	05/26/20	156.26	21.44 W	0.00	289 of 632 - Sale <sup>25</sup>
	31.000	199.01	05/26/20	230.65	31.64 W	0.00	290 of 632 - Sale <sup>25</sup>
	15.000	96.30	05/26/20	111.60	15.30 W	0.00	291 of 632 - Sale <sup>25</sup>
	5.000	32.10	05/26/20	37.20	5.10 W	0.00	292 of 632 - Sale <sup>25</sup>
	7.000	44.94	05/26/20	52.36	7.42 W	0.00	293 of 632 - Sale <sup>25</sup>
	15.000	96.30	05/26/20	112.07	15.77 W	0.00	294 of 632 - Sale <sup>25</sup>
	18.000	115.55	05/26/20	134.66	19.11 W	0.00	295 of 632 - Sale <sup>25</sup>
	60.000	385.18	05/26/20	449.44	64.26 W	0.00	296 of 632 - Sale <sup>25</sup>
	5.000	32.10	05/26/20	37.65	5.55 W	0.00	297 of 632 - Sale <sup>25</sup>
	20.000	128.39	05/26/20	150.82	22.43 W	0.00	298 of 632 - Sale <sup>25</sup>
	75.000	481.48	05/26/20	564.82	83.34 W	0.00	299 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	32.10	05/26/20	37.12	5.02 W	0.00	300 of 632 - Sale <sup>25</sup>
	20.000	128.40	05/26/20	148.29	19.89 W	0.00	301 of 632 - Sale <sup>25</sup>
	10.000	64.20	05/26/20	73.84	9.64 W	0.00	302 of 632 - Sale <sup>25</sup>
	13.000	83.46	05/26/20	95.74	12.28 W	0.00	303 of 632 - Sale <sup>25</sup>
	20.000	128.60	05/26/20	147.28	18.68 W	0.00	304 of 632 - Sale <sup>25</sup>
	11.000	70.51	05/26/20	81.00	10.49 W	0.00	305 of 632 - Sale <sup>25</sup>
	3.000	19.23	05/26/20	22.11	2.88 W	0.00	306 of 632 - Sale <sup>25</sup>
	6.000	38.46	05/26/20	44.19	5.73 W	0.00	307 of 632 - Sale <sup>25</sup>
	12.000	76.80	05/26/20	88.43	11.63 W	0.00	308 of 632 - Sale <sup>25</sup>
	8.000	51.20	05/26/20	58.52	7.32 W	0.00	309 of 632 - Sale <sup>25</sup>
	20.000	128.80	05/26/20	146.31	17.51 W	0.00	310 of 632 - Sale <sup>25</sup>
	3.000	19.27	05/26/20	21.87	2.60 W	0.00	311 of 632 - Sale <sup>25</sup>
	3.000	19.27	05/26/20	21.87	2.60 W	0.00	312 of 632 - Sale <sup>25</sup>
	7.000	44.97	05/26/20	51.03	6.06 W	0.00	313 of 632 - Sale <sup>25</sup>
	9.000	57.81	05/26/20	65.61	7.80 W	0.00	314 of 632 - Sale <sup>25</sup>
	27.000	173.44	05/26/20	196.85	23.41 W	0.00	315 of 632 - Sale <sup>25</sup>
	57.000	366.16	05/26/20	416.97	50.81 W	0.00	316 of 632 - Sale <sup>25</sup>
	32.000	205.57	05/26/20	233.29	27.72 W	0.00	317 of 632 - Sale <sup>25</sup>
	2.000	12.84	05/26/20	14.92	2.08 W	0.00	318 of 632 - Sale <sup>25</sup>
	20.000	128.40	05/26/20	148.91	20.51 W	0.00	319 of 632 - Sale <sup>25</sup>
	60.000	385.18	05/26/20	437.13	51.95 W	0.00	320 of 632 - Sale <sup>25</sup>
	59.000	378.76	05/26/20	440.22	61.46 W	0.00	321 of 632 - Sale <sup>25</sup>
	59.000	378.77	05/26/20	430.12	51.35 W	0.00	322 of 632 - Sale <sup>25</sup>
	2.000	12.84	05/26/20	15.09	2.25 W	0.00	323 of 632 - Sale <sup>25</sup>
	10.000	64.20	05/26/20	75.16	10.96 W	0.00	324 of 632 - Sale <sup>25</sup>
	11.000	70.62	05/26/20	82.08	11.46 W	0.00	325 of 632 - Sale <sup>25</sup>
	18.000	115.55	05/26/20	135.74	20.19 W	0.00	326 of 632 - Sale <sup>25</sup>
	20.000	128.39	05/26/20	149.22	20.83 W	0.00	327 of 632 - Sale <sup>25</sup>
	8.000	51.36	05/26/20	59.69	8.33 W	0.00	328 of 632 - Sale <sup>25</sup>
	2.000	12.84	05/26/20	14.99	2.15 W	0.00	329 of 632 - Sale <sup>25</sup>
	29.000	186.17	05/26/20	217.97	31.80 W	0.00	330 of 632 - Sale <sup>25</sup>
	16.000	102.72	05/26/20	120.25	17.53 W	0.00	331 of 632 - Sale <sup>25</sup>
	2.000	12.80	05/26/20	15.08	2.28 W	0.00	332 of 632 - Sale <sup>25</sup>
	10.000	64.00	05/26/20	75.61	11.61 W	0.00	333 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	18.000	115.20	05/26/20	136.11	20.91 W	0.00	334 of 632 - Sale <sup>25</sup>
	22.000	140.79	05/26/20	165.81	25.02 W	0.00	335 of 632 - Sale <sup>25</sup>
	1.000	6.40	05/26/20	7.52	1.12 W	0.00	336 of 632 - Sale <sup>25</sup>
	5.000	32.00	05/26/20	37.76	5.76 W	0.00	337 of 632 - Sale <sup>25</sup>
	5.000	32.00	05/26/20	37.76	5.76 W	0.00	338 of 632 - Sale <sup>25</sup>
	2.000	12.80	05/26/20	15.06	2.26 W	0.00	339 of 632 - Sale <sup>25</sup>
	2.000	12.80	05/26/20	15.00	2.20 W	0.00	340 of 632 - Sale <sup>25</sup>
	18.000	115.20	05/26/20	135.15	19.95 W	0.00	341 of 632 - Sale <sup>25</sup>
	20.000	127.99	05/26/20	149.95	21.96 W	0.00	342 of 632 - Sale <sup>25</sup>
	20.000	127.99	05/26/20	148.35	20.36 W	0.00	343 of 632 - Sale <sup>25</sup>
	38.000	243.19	05/26/20	286.56	43.37 W	0.00	344 of 632 - Sale <sup>25</sup>
	2.000	12.80	05/26/20	14.78	1.98 W	0.00	345 of 632 - Sale <sup>25</sup>
	2.000	12.80	05/26/20	14.76	1.96 W	0.00	346 of 632 - Sale <sup>25</sup>
	2.000	12.80	05/26/20	14.50	1.70 W	0.00	347 of 632 - Sale <sup>25</sup>
	12.000	76.80	05/26/20	86.42	9.62 W	0.00	348 of 632 - Sale <sup>25</sup>
	18.000	115.20	05/26/20	130.52	15.32 W	0.00	349 of 632 - Sale <sup>25</sup>
	20.000	127.99	05/26/20	148.22	20.23 W	0.00	350 of 632 - Sale <sup>25</sup>
	20.000	127.99	05/26/20	144.84	16.85 W	0.00	351 of 632 - Sale <sup>25</sup>
	38.000	243.19	05/26/20	281.11	37.92 W	0.00	352 of 632 - Sale <sup>25</sup>
	58.000	371.18	05/26/20	420.57	49.39 W	0.00	353 of 632 - Sale <sup>25</sup>
	78.000	499.18	05/26/20	575.72	76.54 W	0.00	354 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.62	1.70 W	0.00	355 of 632 - Sale <sup>25</sup>
	10.000	64.60	05/26/20	73.21	8.61 W	0.00	356 of 632 - Sale <sup>25</sup>
	12.000	77.52	05/26/20	87.74	10.22 W	0.00	357 of 632 - Sale <sup>25</sup>
	76.000	490.93	05/26/20	556.42	65.49 W	0.00	358 of 632 - Sale <sup>25</sup>
	12.000	77.52	05/26/20	87.74	10.22 W	0.00	359 of 632 - Sale <sup>25</sup>
	12.000	77.52	05/26/20	88.21	10.69 W	0.00	360 of 632 - Sale <sup>25</sup>
	76.000	490.94	05/26/20	555.66	64.72 W	0.00	361 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.78	1.86 W	0.00	362 of 632 - Sale <sup>25</sup>
	10.000	64.60	05/26/20	73.71	9.11 W	0.00	363 of 632 - Sale <sup>25</sup>
	10.000	64.60	05/26/20	72.81	8.21 W	0.00	364 of 632 - Sale <sup>25</sup>
	78.000	503.86	05/26/20	575.74	71.88 W	0.00	365 of 632 - Sale <sup>25</sup>
	1.000	6.46	05/26/20	7.29	0.83 W	0.00	366 of 632 - Sale <sup>25</sup>
	1.000	6.46	05/26/20	7.41	0.95 W	0.00	367 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.46	05/26/20	7.44	0.98 W	0.00	368 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.60	1.68 W	0.00	369 of 632 - Sale <sup>25</sup>
	3.000	19.38	05/26/20	22.14	2.76 W	0.00	370 of 632 - Sale <sup>25</sup>
	7.000	45.22	05/26/20	51.67	6.45 W	0.00	371 of 632 - Sale <sup>25</sup>
	10.000	64.60	05/26/20	72.91	8.31 W	0.00	372 of 632 - Sale <sup>25</sup>
	18.000	116.27	05/26/20	131.40	15.13 W	0.00	373 of 632 - Sale <sup>25</sup>
	57.000	368.20	05/26/20	422.39	54.19 W	0.00	374 of 632 - Sale <sup>25</sup>
	1.000	6.46	05/26/20	7.37	0.91 W	0.00	375 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.70	1.78 W	0.00	376 of 632 - Sale <sup>25</sup>
	4.000	25.84	05/26/20	29.38	3.54 W	0.00	377 of 632 - Sale <sup>25</sup>
	10.000	64.60	05/26/20	73.82	9.22 W	0.00	378 of 632 - Sale <sup>25</sup>
	18.000	116.27	05/26/20	132.50	16.23 W	0.00	379 of 632 - Sale <sup>25</sup>
	65.000	419.88	05/26/20	478.80	58.92 W	0.00	380 of 632 - Sale <sup>25</sup>
	2.000	12.92	05/26/20	14.86	1.94 W	0.00	381 of 632 - Sale <sup>25</sup>
	5.000	32.30	05/26/20	36.51	4.21 W	0.00	382 of 632 - Sale <sup>25</sup>
	10.000	64.60	05/26/20	73.56	8.96 W	0.00	383 of 632 - Sale <sup>25</sup>
	10.000	64.60	05/26/20	74.77	10.17 W	0.00	384 of 632 - Sale <sup>25</sup>
	15.000	96.89	05/26/20	109.21	12.32 W	0.00	385 of 632 - Sale <sup>25</sup>
	18.000	116.27	05/26/20	131.06	14.79 W	0.00	386 of 632 - Sale <sup>25</sup>
	20.000	129.19	05/26/20	146.94	17.75 W	0.00	387 of 632 - Sale <sup>25</sup>
	20.000	129.19	05/26/20	145.35	16.16 W	0.00	388 of 632 - Sale <sup>25</sup>
	1.000	6.56	05/26/20	7.50	0.94 W	0.00	389 of 632 - Sale <sup>25</sup>
	2.000	13.10	05/26/20	15.01	1.91 W	0.00	390 of 632 - Sale <sup>25</sup>
	2.000	13.10	05/26/20	14.97	1.87 W	0.00	391 of 632 - Sale <sup>25</sup>
	2.000	13.10	05/26/20	15.00	1.90 W	0.00	392 of 632 - Sale <sup>25</sup>
	5.000	32.75	05/26/20	37.41	4.66 W	0.00	393 of 632 - Sale <sup>25</sup>
	11.000	72.05	05/26/20	82.63	10.58 W	0.00	394 of 632 - Sale <sup>25</sup>
	1.000	6.56	05/26/20	7.53	0.97 W	0.00	395 of 632 - Sale <sup>25</sup>
	4.000	26.24	05/26/20	30.12	3.88 W	0.00	396 of 632 - Sale <sup>25</sup>
	2.000	13.12	05/26/20	15.06	1.94 W	0.00	397 of 632 - Sale <sup>25</sup>
	15.000	98.40	05/26/20	112.96	14.56 W	0.00	398 of 632 - Sale <sup>25</sup>
	7.000	45.92	05/26/20	52.58	6.66 W	0.00	399 of 632 - Sale <sup>25</sup>
	29.000	190.23	05/26/20	218.42	28.19 W	0.00	400 of 632 - Sale <sup>25</sup>
	10.000	65.60	05/26/20	75.32	9.72 W	0.00	401 of 632 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	59.04	05/26/20	67.77	8.73 W	0.00	402 of 632 - Sale <sup>25</sup>
	1.000	6.56	05/26/20	7.53	0.97 W	0.00	403 of 632 - Sale <sup>25</sup>
	2.000	13.12	05/26/20	15.06	1.94 W	0.00	404 of 632 - Sale <sup>25</sup>
	2.000	13.12	05/26/20	15.00	1.88 W	0.00	405 of 632 - Sale <sup>25</sup>
	10.000	65.60	05/26/20	75.22	9.62 W	0.00	406 of 632 - Sale <sup>25</sup>
	18.000	118.07	05/26/20	135.56	17.49 W	0.00	407 of 632 - Sale <sup>25</sup>
	7.000	45.92	05/26/20	52.36	6.44 W	0.00	408 of 632 - Sale <sup>25</sup>
	5.000	32.80	05/26/20	37.40	4.60 W	0.00	409 of 632 - Sale <sup>25</sup>
	10.000	65.60	05/26/20	74.80	9.20 W	0.00	410 of 632 - Sale <sup>25</sup>
	21.000	137.75	05/26/20	157.10	19.35 W	0.00	411 of 632 - Sale <sup>25</sup>
	24.000	157.43	05/26/20	179.54	22.11 W	0.00	412 of 632 - Sale <sup>25</sup>
	5.000	32.80	05/26/20	37.35	4.55 W	0.00	413 of 632 - Sale <sup>25</sup>
	7.000	45.92	05/26/20	52.57	6.65 W	0.00	414 of 632 - Sale <sup>25</sup>
	11.000	72.15	05/26/20	82.63	10.48 W	0.00	415 of 632 - Sale <sup>25</sup>
	5.000	33.20	05/26/20	37.56	4.36 W	0.00	416 of 632 - Sale <sup>25</sup>
	5.000	33.20	05/26/20	37.80	4.60 W	0.00	417 of 632 - Sale <sup>25</sup>
	15.000	99.60	05/26/20	112.52	12.92 W	0.00	418 of 632 - Sale <sup>25</sup>
	2.000	13.28	05/26/20	15.02	1.74 W	0.00	419 of 632 - Sale <sup>25</sup>
	18.000	119.51	05/26/20	136.29	16.78 W	0.00	420 of 632 - Sale <sup>25</sup>
	60.000	398.38	05/26/20	451.26	52.88 W	0.00	421 of 632 - Sale <sup>25</sup>
	2.000	13.28	05/26/20	15.14	1.86 W	0.00	422 of 632 - Sale <sup>25</sup>
	70.000	464.78	05/26/20	529.28	64.50 W	0.00	423 of 632 - Sale <sup>25</sup>
	5.000	33.20	05/26/20	37.81	4.61 W	0.00	424 of 632 - Sale <sup>25</sup>
	5.000	33.20	05/26/20	37.27	4.07 W	0.00	425 of 632 - Sale <sup>25</sup>
	13.000	86.31	05/26/20	96.78	10.47 W	0.00	426 of 632 - Sale <sup>25</sup>
	3.000	19.95	05/26/20	22.23	2.28 W	0.00	427 of 632 - Sale <sup>25</sup>
	6.000	39.90	05/26/20	44.43	4.53 W	0.00	428 of 632 - Sale <sup>25</sup>
	7.000	46.55	05/26/20	51.93	5.38 W	0.00	429 of 632 - Sale <sup>25</sup>
	10.000	66.50	05/26/20	74.14	7.64 W	0.00	430 of 632 - Sale <sup>25</sup>
	11.000	73.15	05/26/20	81.44	8.29 W	0.00	431 of 632 - Sale <sup>25</sup>
	13.000	86.45	05/26/20	96.13	9.68 W	0.00	432 of 632 - Sale <sup>25</sup>
	7.000	46.55	05/26/20	52.11	5.56 W	0.00	433 of 632 - Sale <sup>25</sup>
	20.000	132.99	05/26/20	147.68	14.69 W	0.00	434 of 632 - Sale <sup>25</sup>
	5.000	33.25	05/26/20	37.10	3.85 W	0.00	435 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	53.19	05/26/20	58.92	5.73 W	0.00	436 of 632 - Sale <sup>25</sup>
	10.000	66.49	05/26/20	73.26	6.77 W	0.00	437 of 632 - Sale <sup>25</sup>
	10.000	66.40	05/26/20	73.25	6.85 W	0.00	438 of 632 - Sale <sup>25</sup>
	30.000	199.20	05/26/20	220.24	21.04 W	0.00	439 of 632 - Sale <sup>25</sup>
	10.000	66.40	05/26/20	73.17	6.77 W	0.00	440 of 632 - Sale <sup>25</sup>
	27.000	179.28	05/26/20	198.22	18.94 W	0.00	441 of 632 - Sale <sup>25</sup>
	13.000	86.32	05/26/20	95.12	8.80 W	0.00	442 of 632 - Sale <sup>25</sup>
	3.000	19.92	05/26/20	21.95	2.03 W	0.00	443 of 632 - Sale <sup>25</sup>
	3.000	19.92	05/26/20	21.95	2.03 W	0.00	444 of 632 - Sale <sup>25</sup>
	4.000	26.56	05/26/20	29.27	2.71 W	0.00	445 of 632 - Sale <sup>25</sup>
	3.000	19.95	05/26/20	21.95	2.00 W	0.00	446 of 632 - Sale <sup>25</sup>
	7.000	46.55	05/26/20	51.21	4.66 W	0.00	447 of 632 - Sale <sup>25</sup>
	6.000	39.90	05/26/20	43.90	4.00 W	0.00	448 of 632 - Sale <sup>25</sup>
	29.000	192.84	05/26/20	212.29	19.45 W	0.00	449 of 632 - Sale <sup>25</sup>
	32.000	212.79	05/26/20	234.12	21.33 W	0.00	450 of 632 - Sale <sup>25</sup>
	23.000	152.94	05/26/20	170.90	17.96 W	0.00	451 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.68	1.01 W	0.00	452 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	15.37	2.03 W	0.00	453 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	15.27	1.93 W	0.00	454 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	15.40	2.06 W	0.00	455 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	76.56	9.86 W	0.00	456 of 632 - Sale <sup>25</sup>
	16.000	106.71	05/26/20	122.49	15.78 W	0.00	457 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	38.41	5.06 W	0.00	458 of 632 - Sale <sup>25</sup>
	11.000	73.37	05/26/20	84.94	11.57 W	0.00	459 of 632 - Sale <sup>25</sup>
	22.000	146.73	05/26/20	169.34	22.61 W	0.00	460 of 632 - Sale <sup>25</sup>
	29.000	193.42	05/26/20	222.04	28.62 W	0.00	461 of 632 - Sale <sup>25</sup>
	2.000	13.32	05/26/20	15.20	1.88 W	0.00	462 of 632 - Sale <sup>25</sup>
	8.000	53.28	05/26/20	60.81	7.53 W	0.00	463 of 632 - Sale <sup>25</sup>
	11.000	73.26	05/26/20	83.62	10.36 W	0.00	464 of 632 - Sale <sup>25</sup>
	13.000	86.58	05/26/20	99.86	13.28 W	0.00	465 of 632 - Sale <sup>25</sup>
	20.000	133.20	05/26/20	151.71	18.51 W	0.00	466 of 632 - Sale <sup>25</sup>
	20.000	133.19	05/26/20	152.03	18.84 W	0.00	467 of 632 - Sale <sup>25</sup>
	7.000	46.62	05/26/20	52.01	5.39 W	0.00	468 of 632 - Sale <sup>25</sup>
	59.000	392.92	05/26/20	448.50	55.58 W	0.00	469 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	60.000	399.58	05/26/20	445.55	45.97 W	0.00	470 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	15.38	2.04 W	0.00	471 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	15.32	1.98 W	0.00	472 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	15.10	1.76 W	0.00	473 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	38.56	5.21 W	0.00	474 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	38.56	5.21 W	0.00	475 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	77.21	10.51 W	0.00	476 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	75.72	9.02 W	0.00	477 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	54.05	7.36 W	0.00	478 of 632 - Sale <sup>25</sup>
	18.000	120.06	05/26/20	138.03	17.97 W	0.00	479 of 632 - Sale <sup>25</sup>
	20.000	133.39	05/26/20	153.16	19.77 W	0.00	480 of 632 - Sale <sup>25</sup>
	20.000	133.39	05/26/20	151.56	18.17 W	0.00	481 of 632 - Sale <sup>25</sup>
	38.000	253.45	05/26/20	292.65	39.20 W	0.00	482 of 632 - Sale <sup>25</sup>
	38.000	253.45	05/26/20	287.20	33.75 W	0.00	483 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	75.61	8.91 W	0.00	484 of 632 - Sale <sup>25</sup>
	13.000	86.70	05/26/20	97.91	11.21 W	0.00	485 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	15.06	1.72 W	0.00	486 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.80	1.46 W	0.00	487 of 632 - Sale <sup>25</sup>
	11.000	73.37	05/26/20	81.41	8.04 W	0.00	488 of 632 - Sale <sup>25</sup>
	20.000	133.39	05/26/20	147.85	14.46 W	0.00	489 of 632 - Sale <sup>25</sup>
	65.000	433.53	05/26/20	489.53	56.00 W	0.00	490 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.80	1.46 W	0.00	491 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	74.11	7.41 W	0.00	492 of 632 - Sale <sup>25</sup>
	12.000	80.04	05/26/20	88.22	8.18 W	0.00	493 of 632 - Sale <sup>25</sup>
	12.000	80.04	05/26/20	88.82	8.78 W	0.00	494 of 632 - Sale <sup>25</sup>
	12.000	80.03	05/26/20	88.82	8.79 W	0.00	495 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	51.81	5.12 W	0.00	496 of 632 - Sale <sup>25</sup>
	58.000	386.84	05/26/20	429.29	42.45 W	0.00	497 of 632 - Sale <sup>25</sup>
	76.000	506.90	05/26/20	563.29	56.39 W	0.00	498 of 632 - Sale <sup>25</sup>
	11.000	73.37	05/26/20	81.42	8.05 W	0.00	499 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.96	1.62 W	0.00	500 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	74.61	7.91 W	0.00	501 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	73.71	7.01 W	0.00	502 of 632 - Sale <sup>25</sup>
	12.000	80.04	05/26/20	89.29	9.25 W	0.00	503 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	65.000	433.53	05/26/20	481.10	47.57 W	0.00	504 of 632 - Sale <sup>25</sup>
	78.000	520.23	05/26/20	582.78	62.55 W	0.00	505 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.37	0.70 W	0.00	506 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.49	0.82 W	0.00	507 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.52	0.85 W	0.00	508 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.76	1.42 W	0.00	509 of 632 - Sale <sup>25</sup>
	3.000	20.01	05/26/20	22.38	2.37 W	0.00	510 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	52.23	5.54 W	0.00	511 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	73.71	7.01 W	0.00	512 of 632 - Sale <sup>25</sup>
	18.000	120.05	05/26/20	132.85	12.80 W	0.00	513 of 632 - Sale <sup>25</sup>
	57.000	380.17	05/26/20	426.97	46.80 W	0.00	514 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.86	1.52 W	0.00	515 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	74.62	7.92 W	0.00	516 of 632 - Sale <sup>25</sup>
	11.000	73.36	05/26/20	81.86	8.50 W	0.00	517 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.45	0.78 W	0.00	518 of 632 - Sale <sup>25</sup>
	4.000	26.68	05/26/20	29.70	3.02 W	0.00	519 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	52.09	5.40 W	0.00	520 of 632 - Sale <sup>25</sup>
	65.000	433.53	05/26/20	484.02	50.49 W	0.00	521 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.88	1.54 W	0.00	522 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	74.32	7.62 W	0.00	523 of 632 - Sale <sup>25</sup>
	11.000	73.36	05/26/20	81.86	8.50 W	0.00	524 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.87	1.53 W	0.00	525 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.54	3.19 W	0.00	526 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	73.62	6.92 W	0.00	527 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	74.84	8.14 W	0.00	528 of 632 - Sale <sup>25</sup>
	15.000	100.05	05/26/20	109.31	9.26 W	0.00	529 of 632 - Sale <sup>25</sup>
	18.000	120.05	05/26/20	131.18	11.13 W	0.00	530 of 632 - Sale <sup>25</sup>
	20.000	133.39	05/26/20	147.07	13.68 W	0.00	531 of 632 - Sale <sup>25</sup>
	20.000	133.39	05/26/20	145.48	12.09 W	0.00	532 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.41	0.74 W	0.00	533 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.44	0.77 W	0.00	534 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.85	1.51 W	0.00	535 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.84	1.50 W	0.00	536 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.81	1.47 W	0.00	537 of 632 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.34	05/26/20	14.88	1.54 W	0.00	538 of 632 - Sale <sup>25</sup>
	4.000	26.68	05/26/20	29.76	3.08 W	0.00	539 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	37.01	3.66 W	0.00	540 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	51.95	5.26 W	0.00	541 of 632 - Sale <sup>25</sup>
	9.000	60.03	05/26/20	66.96	6.93 W	0.00	542 of 632 - Sale <sup>25</sup>
	10.000	66.69	05/26/20	74.42	7.73 W	0.00	543 of 632 - Sale <sup>25</sup>
	11.000	73.37	05/26/20	81.75	8.38 W	0.00	544 of 632 - Sale <sup>25</sup>
	15.000	100.04	05/26/20	111.61	11.57 W	0.00	545 of 632 - Sale <sup>25</sup>
	29.000	193.42	05/26/20	215.82	22.40 W	0.00	546 of 632 - Sale <sup>25</sup>
	1.000	6.67	05/26/20	7.44	0.77 W	0.00	547 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.82	1.48 W	0.00	548 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.95	3.60 W	0.00	549 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	51.73	5.04 W	0.00	550 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	73.90	7.20 W	0.00	551 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	52.09	5.40 W	0.00	552 of 632 - Sale <sup>25</sup>
	21.000	140.06	05/26/20	155.22	15.16 W	0.00	553 of 632 - Sale <sup>25</sup>
	24.000	160.07	05/26/20	177.39	17.32 W	0.00	554 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.85	3.50 W	0.00	555 of 632 - Sale <sup>25</sup>
	7.000	46.69	05/26/20	51.87	5.18 W	0.00	556 of 632 - Sale <sup>25</sup>
	8.000	53.36	05/26/20	59.30	5.94 W	0.00	557 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.64	1.30 W	0.00	558 of 632 - Sale <sup>25</sup>
	3.000	20.01	05/26/20	22.21	2.20 W	0.00	559 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.61	3.26 W	0.00	560 of 632 - Sale <sup>25</sup>
	10.000	66.70	05/26/20	73.31	6.61 W	0.00	561 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.75	3.40 W	0.00	562 of 632 - Sale <sup>25</sup>
	15.000	100.05	05/26/20	109.37	9.32 W	0.00	563 of 632 - Sale <sup>25</sup>
	13.000	86.70	05/26/20	95.71	9.01 W	0.00	564 of 632 - Sale <sup>25</sup>
	50.000	333.48	05/26/20	365.57	32.09 W	0.00	565 of 632 - Sale <sup>25</sup>
	2.000	13.34	05/26/20	14.72	1.38 W	0.00	566 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.81	3.46 W	0.00	567 of 632 - Sale <sup>25</sup>
	60.000	400.18	05/26/20	441.09	40.91 W	0.00	568 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.76	3.41 W	0.00	569 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.22	2.87 W	0.00	570 of 632 - Sale <sup>25</sup>
	5.000	33.35	05/26/20	36.18	2.83 W	0.00	571 of 632 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		66.70	05/26/20	73.51	6.81 W	0.00	572 of 632 - Sale <sup>25</sup>
3.000		20.01	05/26/20	21.69	1.68 W	0.00	573 of 632 - Sale <sup>25</sup>
7.000		46.69	05/26/20	50.97	4.28 W	0.00	574 of 632 - Sale <sup>25</sup>
8.000		53.36	05/26/20	58.33	4.97 W	0.00	575 of 632 - Sale <sup>25</sup>
10.000		66.70	05/26/20	72.50	5.80 W	0.00	576 of 632 - Sale <sup>25</sup>
3.000		20.01	05/26/20	21.54	1.53 W	0.00	577 of 632 - Sale <sup>25</sup>
5.000		33.35	05/26/20	35.95	2.60 W	0.00	578 of 632 - Sale <sup>25</sup>
6.000		40.02	05/26/20	43.05	3.03 W	0.00	579 of 632 - Sale <sup>25</sup>
7.000		46.69	05/26/20	50.32	3.63 W	0.00	580 of 632 - Sale <sup>25</sup>
8.000		53.36	05/26/20	57.09	3.73 W	0.00	581 of 632 - Sale <sup>25</sup>
10.000		66.70	05/26/20	71.65	4.95 W	0.00	582 of 632 - Sale <sup>25</sup>
10.000		66.69	05/26/20	70.97	4.28 W	0.00	583 of 632 - Sale <sup>25</sup>
11.000		73.36	05/26/20	78.91	5.55 W	0.00	584 of 632 - Sale <sup>25</sup>
20.000		133.39	05/26/20	143.09	9.70 W	0.00	585 of 632 - Sale <sup>25</sup>
10.000		66.70	05/26/20	71.05	4.35 W	0.00	586 of 632 - Sale <sup>25</sup>
10.000		66.70	05/26/20	71.21	4.51 W	0.00	587 of 632 - Sale <sup>25</sup>
3.000		20.01	05/26/20	21.29	1.28 W	0.00	588 of 632 - Sale <sup>25</sup>
3.000		20.01	05/26/20	21.29	1.28 W	0.00	589 of 632 - Sale <sup>25</sup>
4.000		26.68	05/26/20	28.39	1.71 W	0.00	590 of 632 - Sale <sup>25</sup>
10.000		66.70	05/26/20	70.97	4.27 W	0.00	591 of 632 - Sale <sup>25</sup>
13.000		86.70	05/26/20	92.26	5.56 W	0.00	592 of 632 - Sale <sup>25</sup>
20.000		133.39	05/26/20	142.43	9.04 W	0.00	593 of 632 - Sale <sup>25</sup>
27.000		180.08	05/26/20	192.28	12.20 W	0.00	594 of 632 - Sale <sup>25</sup>
3.000		19.98	05/26/20	21.26	1.28 W	0.00	595 of 632 - Sale <sup>25</sup>
4.000		26.64	05/26/20	28.35	1.71 W	0.00	596 of 632 - Sale <sup>25</sup>
6.000		39.96	05/26/20	42.52	2.56 W	0.00	597 of 632 - Sale <sup>25</sup>
7.000		46.62	05/26/20	49.60	2.98 W	0.00	598 of 632 - Sale <sup>25</sup>
2.000		13.32	05/26/20	15.12	1.80 W	0.00	599 of 632 - Sale <sup>25</sup>
7.000		46.62	05/26/20	51.73	5.11 W	0.00	600 of 632 - Sale <sup>25</sup>
20.000		133.19	05/26/20	150.91	17.72 W	0.00	601 of 632 - Sale <sup>25</sup>
23.000		153.17	05/26/20	170.22	17.05 W	0.00	602 of 632 - Sale <sup>25</sup>
28.000		186.47	05/26/20	204.02	17.55 W	0.00	603 of 632 - Sale <sup>25</sup>
29.000		193.13	05/26/20	211.43	18.30 W	0.00	604 of 632 - Sale <sup>25</sup>
31.000		206.45	05/26/20	234.42	27.97 W	0.00	605 of 632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	60.000	399.58	05/26/20	443.17	43.59 W	0.00	606 of 632 - Sale <sup>25</sup>
	1.000	6.66	05/26/20	7.72	1.06 W	0.00	607 of 632 - Sale <sup>25</sup>
	2.000	13.32	05/26/20	15.49	2.17 W	0.00	608 of 632 - Sale <sup>25</sup>
	2.000	13.32	05/26/20	15.39	2.07 W	0.00	609 of 632 - Sale <sup>25</sup>
	5.000	33.30	05/26/20	38.71	5.41 W	0.00	610 of 632 - Sale <sup>25</sup>
	8.000	53.28	05/26/20	61.37	8.09 W	0.00	611 of 632 - Sale <sup>25</sup>
	10.000	66.60	05/26/20	77.16	10.56 W	0.00	612 of 632 - Sale <sup>25</sup>
	11.000	73.26	05/26/20	84.39	11.13 W	0.00	613 of 632 - Sale <sup>25</sup>
	13.000	86.58	05/26/20	100.77	14.19 W	0.00	614 of 632 - Sale <sup>25</sup>
	20.000	133.20	05/26/20	153.44	20.24 W	0.00	615 of 632 - Sale <sup>25</sup>
	28.000	186.47	05/26/20	214.82	28.35 W	0.00	616 of 632 - Sale <sup>25</sup>
	1.000	6.66	05/26/20	7.73	1.07 W	0.00	617 of 632 - Sale <sup>25</sup>
	2.000	13.32	05/26/20	15.50	2.18 W	0.00	618 of 632 - Sale <sup>25</sup>
	2.000	13.32	05/26/20	15.48	2.16 W	0.00	619 of 632 - Sale <sup>25</sup>
	2.000	13.32	05/26/20	15.42	2.10 W	0.00	620 of 632 - Sale <sup>25</sup>
	5.000	33.30	05/26/20	38.81	5.51 W	0.00	621 of 632 - Sale <sup>25</sup>
	5.000	33.30	05/26/20	38.81	5.51 W	0.00	622 of 632 - Sale <sup>25</sup>
	7.000	46.62	05/26/20	54.40	7.78 W	0.00	623 of 632 - Sale <sup>25</sup>
	10.000	66.60	05/26/20	77.71	11.11 W	0.00	624 of 632 - Sale <sup>25</sup>
	11.000	73.26	05/26/20	85.49	12.23 W	0.00	625 of 632 - Sale <sup>25</sup>
	13.000	86.58	05/26/20	99.17	12.59 W	0.00	626 of 632 - Sale <sup>25</sup>
	16.000	106.56	05/26/20	123.30	16.74 W	0.00	627 of 632 - Sale <sup>25</sup>
	18.000	119.87	05/26/20	138.93	19.06 W	0.00	628 of 632 - Sale <sup>25</sup>
	20.000	133.19	05/26/20	154.17	20.98 W	0.00	629 of 632 - Sale <sup>25</sup>
	22.000	146.51	05/26/20	170.45	23.94 W	0.00	630 of 632 - Sale <sup>25</sup>
	28.000	186.47	05/26/20	215.79	29.32 W	0.00	631 of 632 - Sale <sup>25</sup>
	38.000	253.06	05/26/20	294.56	41.50 W	0.00	632 of 632 - Sale <sup>25</sup>
05/26/20	16,655.000	110,893.57	Various	121,824.13	10,930.56 W	0.00	Total of 632 transactions
	373 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	7.000	42.98	05/26/20	53.10	10.12 W	0.00	1 of 373 - Sale <sup>25</sup>
	13.000	79.82	05/26/20	98.36	18.54 W	0.00	2 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	15.08	2.80 W	0.00	3 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	75.62	14.22 W	0.00	4 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	75.51	14.11 W	0.00	5 of 373 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	13.000	79.81	05/26/20	97.79	17.98 W	0.00	6 of 373 - Sale <sup>25</sup>
	25.000	153.49	05/26/20	188.70	35.21 W	0.00	7 of 373 - Sale <sup>25</sup>
	20.000	122.79	05/26/20	150.43	27.64 W	0.00	8 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	15.04	2.76 W	0.00	9 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.78	2.50 W	0.00	10 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.78	2.50 W	0.00	11 of 373 - Sale <sup>25</sup>
	7.000	42.98	05/26/20	51.74	8.76 W	0.00	12 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	74.01	12.61 W	0.00	13 of 373 - Sale <sup>25</sup>
	11.000	67.54	05/26/20	81.30	13.76 W	0.00	14 of 373 - Sale <sup>25</sup>
	11.000	67.54	05/26/20	81.31	13.77 W	0.00	15 of 373 - Sale <sup>25</sup>
	12.000	73.68	05/26/20	88.10	14.42 W	0.00	16 of 373 - Sale <sup>25</sup>
	12.000	73.68	05/26/20	88.70	15.02 W	0.00	17 of 373 - Sale <sup>25</sup>
	12.000	73.68	05/26/20	88.71	15.03 W	0.00	18 of 373 - Sale <sup>25</sup>
	20.000	122.79	05/26/20	147.66	24.87 W	0.00	19 of 373 - Sale <sup>25</sup>
	58.000	356.10	05/26/20	428.73	72.63 W	0.00	20 of 373 - Sale <sup>25</sup>
	45.000	276.29	05/26/20	338.47	62.18 W	0.00	21 of 373 - Sale <sup>25</sup>
	55.000	337.67	05/26/20	406.55	68.88 W	0.00	22 of 373 - Sale <sup>25</sup>
	76.000	466.62	05/26/20	562.55	95.93 W	0.00	23 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	74.51	13.11 W	0.00	24 of 373 - Sale <sup>25</sup>
	12.000	73.68	05/26/20	89.17	15.49 W	0.00	25 of 373 - Sale <sup>25</sup>
	53.000	325.41	05/26/20	395.48	70.07 W	0.00	26 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	73.92	12.52 W	0.00	27 of 373 - Sale <sup>25</sup>
	1.000	6.14	05/26/20	7.36	1.22 W	0.00	28 of 373 - Sale <sup>25</sup>
	1.000	6.14	05/26/20	7.48	1.34 W	0.00	29 of 373 - Sale <sup>25</sup>
	1.000	6.14	05/26/20	7.51	1.37 W	0.00	30 of 373 - Sale <sup>25</sup>
	1.000	6.14	05/26/20	7.44	1.30 W	0.00	31 of 373 - Sale <sup>25</sup>
	1.000	6.14	05/26/20	7.40	1.26 W	0.00	32 of 373 - Sale <sup>25</sup>
	1.000	6.14	05/26/20	7.43	1.29 W	0.00	33 of 373 - Sale <sup>25</sup>
	1.000	6.14	05/26/20	7.43	1.29 W	0.00	34 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.94	2.66 W	0.00	35 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.74	2.46 W	0.00	36 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.84	2.56 W	0.00	37 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.85	2.57 W	0.00	38 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.83	2.55 W	0.00	39 of 373 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	12.28	05/26/20	14.82	2.54 W	0.00	40 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.79	2.51 W	0.00	41 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.86	2.58 W	0.00	42 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.86	2.58 W	0.00	43 of 373 - Sale <sup>25</sup>
	2.000	12.28	05/26/20	14.80	2.52 W	0.00	44 of 373 - Sale <sup>25</sup>
	3.000	18.42	05/26/20	22.35	3.93 W	0.00	45 of 373 - Sale <sup>25</sup>
	4.000	24.56	05/26/20	29.66	5.10 W	0.00	46 of 373 - Sale <sup>25</sup>
	4.000	24.56	05/26/20	29.72	5.16 W	0.00	47 of 373 - Sale <sup>25</sup>
	5.000	30.70	05/26/20	36.49	5.79 W	0.00	48 of 373 - Sale <sup>25</sup>
	5.000	30.70	05/26/20	36.96	6.26 W	0.00	49 of 373 - Sale <sup>25</sup>
	7.000	42.98	05/26/20	52.16	9.18 W	0.00	50 of 373 - Sale <sup>25</sup>
	7.000	42.98	05/26/20	52.02	9.04 W	0.00	51 of 373 - Sale <sup>25</sup>
	7.000	42.98	05/26/20	51.88	8.90 W	0.00	52 of 373 - Sale <sup>25</sup>
	7.000	42.98	05/26/20	52.02	9.04 W	0.00	53 of 373 - Sale <sup>25</sup>
	7.000	42.98	05/26/20	51.66	8.68 W	0.00	54 of 373 - Sale <sup>25</sup>
	9.000	55.26	05/26/20	66.87	11.61 W	0.00	55 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	73.61	12.21 W	0.00	56 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	73.61	12.21 W	0.00	57 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	74.52	13.12 W	0.00	58 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	73.52	12.12 W	0.00	59 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	74.74	13.34 W	0.00	60 of 373 - Sale <sup>25</sup>
	10.000	61.40	05/26/20	74.33	12.93 W	0.00	61 of 373 - Sale <sup>25</sup>
	10.000	61.39	05/26/20	74.22	12.83 W	0.00	62 of 373 - Sale <sup>25</sup>
	11.000	67.54	05/26/20	81.76	14.22 W	0.00	63 of 373 - Sale <sup>25</sup>
	11.000	67.54	05/26/20	81.64	14.10 W	0.00	64 of 373 - Sale <sup>25</sup>
	11.000	67.53	05/26/20	81.76	14.23 W	0.00	65 of 373 - Sale <sup>25</sup>
	15.000	92.10	05/26/20	109.16	17.06 W	0.00	66 of 373 - Sale <sup>25</sup>
	15.000	92.09	05/26/20	111.47	19.38 W	0.00	67 of 373 - Sale <sup>25</sup>
	18.000	110.51	05/26/20	132.68	22.17 W	0.00	68 of 373 - Sale <sup>25</sup>
	18.000	110.51	05/26/20	131.01	20.50 W	0.00	69 of 373 - Sale <sup>25</sup>
	20.000	122.79	05/26/20	146.88	24.09 W	0.00	70 of 373 - Sale <sup>25</sup>
	20.000	122.79	05/26/20	145.29	22.50 W	0.00	71 of 373 - Sale <sup>25</sup>
	23.000	141.21	05/26/20	169.78	28.57 W	0.00	72 of 373 - Sale <sup>25</sup>
	25.000	153.49	05/26/20	186.55	33.06 W	0.00	73 of 373 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
29.000		178.05	05/26/20	215.54	37.49 W	0.00	74 of 373 - Sale <sup>25</sup>
57.000		349.96	05/26/20	426.42	76.46 W	0.00	75 of 373 - Sale <sup>25</sup>
65.000		399.08	05/26/20	483.39	84.31 W	0.00	76 of 373 - Sale <sup>25</sup>
1.000		6.14	05/26/20	7.41	1.27 W	0.00	77 of 373 - Sale <sup>25</sup>
14.000		85.95	05/26/20	103.77	17.82 W	0.00	78 of 373 - Sale <sup>25</sup>
1.000		5.63	05/27/20	6.26	0.63 W	0.00	79 of 373 - Sale <sup>25</sup>
2.000		11.26	05/27/20	12.46	1.20 W	0.00	80 of 373 - Sale <sup>25</sup>
3.000		16.88	05/27/20	18.94	2.06 W	0.00	81 of 373 - Sale <sup>25</sup>
5.000		28.14	05/27/20	31.50	3.36 W	0.00	82 of 373 - Sale <sup>25</sup>
5.000		28.14	05/27/20	31.40	3.26 W	0.00	83 of 373 - Sale <sup>25</sup>
5.000		28.14	05/27/20	31.16	3.02 W	0.00	84 of 373 - Sale <sup>25</sup>
6.000		33.77	05/27/20	37.81	4.04 W	0.00	85 of 373 - Sale <sup>25</sup>
7.000		39.39	05/27/20	44.24	4.85 W	0.00	86 of 373 - Sale <sup>25</sup>
8.000		45.02	05/27/20	50.58	5.56 W	0.00	87 of 373 - Sale <sup>25</sup>
10.000		56.28	05/27/20	63.00	6.72 W	0.00	88 of 373 - Sale <sup>25</sup>
10.000		56.28	05/27/20	62.41	6.13 W	0.00	89 of 373 - Sale <sup>25</sup>
38.000		213.85	05/27/20	236.44	22.59 W	0.00	90 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.22	0.87 W	0.00	91 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	12.82	2.12 W	0.00	92 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	32.01	5.26 W	0.00	93 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	32.07	5.32 W	0.00	94 of 373 - Sale <sup>25</sup>
11.000		58.86	05/27/20	70.00	11.14 W	0.00	95 of 373 - Sale <sup>25</sup>
13.000		69.56	05/27/20	83.40	13.84 W	0.00	96 of 373 - Sale <sup>25</sup>
15.000		80.26	05/27/20	95.15	14.89 W	0.00	97 of 373 - Sale <sup>25</sup>
49.000		262.19	05/27/20	313.80	51.61 W	0.00	98 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	18.92	2.87 W	0.00	99 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	32.14	5.39 W	0.00	100 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	31.60	4.85 W	0.00	101 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	31.56	4.81 W	0.00	102 of 373 - Sale <sup>25</sup>
6.000		32.10	05/27/20	37.50	5.40 W	0.00	103 of 373 - Sale <sup>25</sup>
7.000		37.46	05/27/20	44.50	7.04 W	0.00	104 of 373 - Sale <sup>25</sup>
8.000		42.81	05/27/20	50.93	8.12 W	0.00	105 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	64.27	10.76 W	0.00	106 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	63.26	9.75 W	0.00	107 of 373 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		53.51	05/27/20	62.40	8.89 W	0.00	108 of 373 - Sale <sup>25</sup>
11.000		58.86	05/27/20	70.70	11.84 W	0.00	109 of 373 - Sale <sup>25</sup>
20.000		107.02	05/27/20	124.61	17.59 W	0.00	110 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	18.81	2.76 W	0.00	111 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	18.56	2.51 W	0.00	112 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	18.56	2.51 W	0.00	113 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	18.56	2.51 W	0.00	114 of 373 - Sale <sup>25</sup>
4.000		21.40	05/27/20	24.74	3.34 W	0.00	115 of 373 - Sale <sup>25</sup>
4.000		21.40	05/27/20	24.74	3.34 W	0.00	116 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	31.39	4.64 W	0.00	117 of 373 - Sale <sup>25</sup>
6.000		32.10	05/27/20	37.11	5.01 W	0.00	118 of 373 - Sale <sup>25</sup>
7.000		37.46	05/27/20	43.94	6.48 W	0.00	119 of 373 - Sale <sup>25</sup>
7.000		37.46	05/27/20	43.29	5.83 W	0.00	120 of 373 - Sale <sup>25</sup>
7.000		37.46	05/27/20	45.42	7.96 W	0.00	121 of 373 - Sale <sup>25</sup>
8.000		42.81	05/27/20	49.80	6.99 W	0.00	122 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	61.87	8.36 W	0.00	123 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	61.94	8.43 W	0.00	124 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	62.10	8.59 W	0.00	125 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	61.86	8.35 W	0.00	126 of 373 - Sale <sup>25</sup>
11.000		58.86	05/27/20	68.90	10.04 W	0.00	127 of 373 - Sale <sup>25</sup>
13.000		69.56	05/27/20	80.42	10.86 W	0.00	128 of 373 - Sale <sup>25</sup>
20.000		107.02	05/27/20	124.21	17.19 W	0.00	129 of 373 - Sale <sup>25</sup>
23.000		123.07	05/27/20	149.50	26.43 W	0.00	130 of 373 - Sale <sup>25</sup>
27.000		144.47	05/27/20	167.68	23.21 W	0.00	131 of 373 - Sale <sup>25</sup>
28.000		149.82	05/27/20	178.79	28.97 W	0.00	132 of 373 - Sale <sup>25</sup>
29.000		155.17	05/27/20	185.30	30.13 W	0.00	133 of 373 - Sale <sup>25</sup>
49.000		262.19	05/27/20	317.78	55.59 W	0.00	134 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.24	2.54 W	0.00	135 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	20.19	4.14 W	0.00	136 of 373 - Sale <sup>25</sup>
11.000		58.86	05/27/20	70.91	12.05 W	0.00	137 of 373 - Sale <sup>25</sup>
20.000		107.01	05/27/20	132.12	25.11 W	0.00	138 of 373 - Sale <sup>25</sup>
28.000		149.82	05/27/20	188.51	38.69 W	0.00	139 of 373 - Sale <sup>25</sup>
31.000		165.87	05/27/20	205.29	39.42 W	0.00	140 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	33.66	6.91 W	0.00	141 of 373 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	5.35	05/27/20	6.76	1.41 W	0.00	142 of 373 - Sale <sup>25</sup>
	1.000	5.35	05/27/20	6.77	1.42 W	0.00	143 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.57	2.87 W	0.00	144 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.47	2.77 W	0.00	145 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.58	2.88 W	0.00	146 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	33.91	7.16 W	0.00	147 of 373 - Sale <sup>25</sup>
	8.000	42.81	05/27/20	54.49	11.68 W	0.00	148 of 373 - Sale <sup>25</sup>
	10.000	53.51	05/27/20	67.56	14.05 W	0.00	149 of 373 - Sale <sup>25</sup>
	11.000	58.86	05/27/20	73.83	14.97 W	0.00	150 of 373 - Sale <sup>25</sup>
	13.000	69.56	05/27/20	88.29	18.73 W	0.00	151 of 373 - Sale <sup>25</sup>
	16.000	85.61	05/27/20	107.94	22.33 W	0.00	152 of 373 - Sale <sup>25</sup>
	20.000	107.02	05/27/20	134.24	27.22 W	0.00	153 of 373 - Sale <sup>25</sup>
	22.000	117.72	05/27/20	149.34	31.62 W	0.00	154 of 373 - Sale <sup>25</sup>
	28.000	149.82	05/27/20	188.92	39.10 W	0.00	155 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	20.44	4.39 W	0.00	156 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	34.01	7.26 W	0.00	157 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	34.01	7.26 W	0.00	158 of 373 - Sale <sup>25</sup>
	7.000	37.46	05/27/20	47.68	10.22 W	0.00	159 of 373 - Sale <sup>25</sup>
	10.000	53.51	05/27/20	68.11	14.60 W	0.00	160 of 373 - Sale <sup>25</sup>
	29.000	155.17	05/27/20	196.97	41.80 W	0.00	161 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	14.10	3.40 W	0.00	162 of 373 - Sale <sup>25</sup>
	7.000	37.46	05/27/20	49.67	12.21 W	0.00	163 of 373 - Sale <sup>25</sup>
	10.000	53.51	05/27/20	70.72	17.21 W	0.00	164 of 373 - Sale <sup>25</sup>
	10.000	53.51	05/27/20	70.61	17.10 W	0.00	165 of 373 - Sale <sup>25</sup>
	13.000	69.56	05/27/20	91.99	22.43 W	0.00	166 of 373 - Sale <sup>25</sup>
	13.000	69.56	05/27/20	91.43	21.87 W	0.00	167 of 373 - Sale <sup>25</sup>
	20.000	107.02	05/27/20	140.64	33.62 W	0.00	168 of 373 - Sale <sup>25</sup>
	25.000	133.77	05/27/20	176.46	42.69 W	0.00	169 of 373 - Sale <sup>25</sup>
	36.000	192.63	05/27/20	253.14	60.51 W	0.00	170 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.46	2.76 W	0.00	171 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.40	2.70 W	0.00	172 of 373 - Sale <sup>25</sup>
	9.000	48.16	05/27/20	60.68	12.52 W	0.00	173 of 373 - Sale <sup>25</sup>
	13.000	69.56	05/27/20	86.04	16.48 W	0.00	174 of 373 - Sale <sup>25</sup>
	18.000	96.31	05/27/20	120.76	24.45 W	0.00	175 of 373 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
20.000		107.01	05/27/20	133.98	26.97 W	0.00	176 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	14.06	3.36 W	0.00	177 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.80	3.10 W	0.00	178 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.80	3.10 W	0.00	179 of 373 - Sale <sup>25</sup>
7.000		37.46	05/27/20	48.31	10.85 W	0.00	180 of 373 - Sale <sup>25</sup>
9.000		48.16	05/27/20	63.29	15.13 W	0.00	181 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	69.11	15.60 W	0.00	182 of 373 - Sale <sup>25</sup>
11.000		58.86	05/27/20	75.91	17.05 W	0.00	183 of 373 - Sale <sup>25</sup>
12.000		64.21	05/27/20	82.22	18.01 W	0.00	184 of 373 - Sale <sup>25</sup>
20.000		107.02	05/27/20	137.87	30.85 W	0.00	185 of 373 - Sale <sup>25</sup>
58.000		310.34	05/27/20	400.33	89.99 W	0.00	186 of 373 - Sale <sup>25</sup>
67.000		358.50	05/27/20	463.12	104.62 W	0.00	187 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.90	1.55 W	0.00	188 of 373 - Sale <sup>25</sup>
9.000		48.16	05/27/20	62.21	14.05 W	0.00	189 of 373 - Sale <sup>25</sup>
11.000		58.86	05/27/20	75.92	17.06 W	0.00	190 of 373 - Sale <sup>25</sup>
12.000		64.21	05/27/20	82.82	18.61 W	0.00	191 of 373 - Sale <sup>25</sup>
12.000		64.21	05/27/20	82.83	18.62 W	0.00	192 of 373 - Sale <sup>25</sup>
55.000		294.29	05/27/20	379.63	85.34 W	0.00	193 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.83	1.48 W	0.00	194 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.95	1.60 W	0.00	195 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.98	1.63 W	0.00	196 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.91	1.56 W	0.00	197 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.88	3.18 W	0.00	198 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.68	2.98 W	0.00	199 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.78	3.08 W	0.00	200 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	20.76	4.71 W	0.00	201 of 373 - Sale <sup>25</sup>
4.000		21.40	05/27/20	27.54	6.14 W	0.00	202 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	33.84	7.09 W	0.00	203 of 373 - Sale <sup>25</sup>
7.000		37.45	05/27/20	48.45	11.00 W	0.00	204 of 373 - Sale <sup>25</sup>
7.000		37.45	05/27/20	48.31	10.86 W	0.00	205 of 373 - Sale <sup>25</sup>
9.000		48.16	05/27/20	61.76	13.60 W	0.00	206 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	69.21	15.70 W	0.00	207 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	68.31	14.80 W	0.00	208 of 373 - Sale <sup>25</sup>
10.000		53.51	05/27/20	68.31	14.80 W	0.00	209 of 373 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	53.51	05/27/20	69.22	15.71 W	0.00	210 of 373 - Sale <sup>25</sup>
	10.000	53.51	05/27/20	68.22	14.71 W	0.00	211 of 373 - Sale <sup>25</sup>
	11.000	58.86	05/27/20	75.93	17.07 W	0.00	212 of 373 - Sale <sup>25</sup>
	12.000	64.21	05/27/20	82.81	18.60 W	0.00	213 of 373 - Sale <sup>25</sup>
	15.000	80.26	05/27/20	101.21	20.95 W	0.00	214 of 373 - Sale <sup>25</sup>
	18.000	96.31	05/27/20	123.15	26.84 W	0.00	215 of 373 - Sale <sup>25</sup>
	8.000	42.81	05/27/20	53.99	11.18 W	0.00	216 of 373 - Sale <sup>25</sup>
	20.000	107.01	05/27/20	136.29	29.28 W	0.00	217 of 373 - Sale <sup>25</sup>
	20.000	107.02	05/27/20	134.70	27.68 W	0.00	218 of 373 - Sale <sup>25</sup>
	25.000	133.77	05/27/20	173.31	39.54 W	0.00	219 of 373 - Sale <sup>25</sup>
	53.000	283.59	05/27/20	367.40	83.81 W	0.00	220 of 373 - Sale <sup>25</sup>
	57.000	304.99	05/27/20	396.23	91.24 W	0.00	221 of 373 - Sale <sup>25</sup>
	65.000	347.80	05/27/20	448.96	101.16 W	0.00	222 of 373 - Sale <sup>25</sup>
	1.000	5.35	05/27/20	6.87	1.52 W	0.00	223 of 373 - Sale <sup>25</sup>
	1.000	5.35	05/27/20	6.90	1.55 W	0.00	224 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.79	3.09 W	0.00	225 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.77	3.07 W	0.00	226 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.76	3.06 W	0.00	227 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.73	3.03 W	0.00	228 of 373 - Sale <sup>25</sup>
	4.000	21.40	05/27/20	27.60	6.20 W	0.00	229 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	34.31	7.56 W	0.00	230 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	48.17	10.72 W	0.00	231 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	69.44	15.94 W	0.00	232 of 373 - Sale <sup>25</sup>
	11.000	58.85	05/27/20	75.81	16.96 W	0.00	233 of 373 - Sale <sup>25</sup>
	15.000	80.25	05/27/20	103.53	23.28 W	0.00	234 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	67.49	13.99 W	0.00	235 of 373 - Sale <sup>25</sup>
	29.000	155.15	05/27/20	200.18	45.03 W	0.00	236 of 373 - Sale <sup>25</sup>
	1.000	5.35	05/27/20	6.91	1.56 W	0.00	237 of 373 - Sale <sup>25</sup>
	1.000	5.35	05/27/20	6.89	1.54 W	0.00	238 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.82	3.12 W	0.00	239 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.82	3.12 W	0.00	240 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.76	3.06 W	0.00	241 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	48.38	10.93 W	0.00	242 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	48.02	10.57 W	0.00	243 of 373 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	48.15	05/27/20	62.19	14.04 W	0.00	244 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	69.13	15.63 W	0.00	245 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	69.03	15.53 W	0.00	246 of 373 - Sale <sup>25</sup>
	11.000	58.85	05/27/20	76.05	17.20 W	0.00	247 of 373 - Sale <sup>25</sup>
	14.000	74.90	05/27/20	96.50	21.60 W	0.00	248 of 373 - Sale <sup>25</sup>
	23.000	123.05	05/27/20	157.83	34.78 W	0.00	249 of 373 - Sale <sup>25</sup>
	1.000	5.35	05/27/20	6.25	0.90 W	0.00	250 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	12.36	1.66 W	0.00	251 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	18.80	2.75 W	0.00	252 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	31.26	4.51 W	0.00	253 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	31.16	4.41 W	0.00	254 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	30.92	4.17 W	0.00	255 of 373 - Sale <sup>25</sup>
	6.000	32.10	05/27/20	37.52	5.42 W	0.00	256 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	43.91	6.46 W	0.00	257 of 373 - Sale <sup>25</sup>
	8.000	42.80	05/27/20	50.20	7.40 W	0.00	258 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	62.52	9.02 W	0.00	259 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	61.93	8.43 W	0.00	260 of 373 - Sale <sup>25</sup>
	33.000	176.54	05/27/20	203.76	27.22 W	0.00	261 of 373 - Sale <sup>25</sup>
	1.000	5.35	05/27/20	6.43	1.08 W	0.00	262 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.24	2.54 W	0.00	263 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	19.55	3.50 W	0.00	264 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	19.44	3.39 W	0.00	265 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	19.19	3.14 W	0.00	266 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	19.19	3.14 W	0.00	267 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	19.19	3.14 W	0.00	268 of 373 - Sale <sup>25</sup>
	4.000	21.40	05/27/20	25.58	4.18 W	0.00	269 of 373 - Sale <sup>25</sup>
	4.000	21.40	05/27/20	25.58	4.18 W	0.00	270 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	30.77	4.02 W	0.00	271 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	33.06	6.31 W	0.00	272 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	33.12	6.37 W	0.00	273 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	33.19	6.44 W	0.00	274 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	32.65	5.90 W	0.00	275 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	32.61	5.86 W	0.00	276 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	32.44	5.69 W	0.00	277 of 373 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	32.10	05/27/20	38.76	6.66 W	0.00	278 of 373 - Sale <sup>25</sup>
	6.000	32.10	05/27/20	38.37	6.27 W	0.00	279 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	45.96	8.51 W	0.00	280 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	45.40	7.95 W	0.00	281 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	44.75	7.30 W	0.00	282 of 373 - Sale <sup>25</sup>
	8.000	42.80	05/27/20	52.60	9.80 W	0.00	283 of 373 - Sale <sup>25</sup>
	8.000	42.80	05/27/20	51.47	8.67 W	0.00	284 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	66.36	12.86 W	0.00	285 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	65.35	11.85 W	0.00	286 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	64.49	10.99 W	0.00	287 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	63.96	10.46 W	0.00	288 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	64.03	10.53 W	0.00	289 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	64.19	10.69 W	0.00	290 of 373 - Sale <sup>25</sup>
	10.000	53.50	05/27/20	63.95	10.45 W	0.00	291 of 373 - Sale <sup>25</sup>
	11.000	58.85	05/27/20	72.30	13.45 W	0.00	292 of 373 - Sale <sup>25</sup>
	11.000	58.85	05/27/20	73.00	14.15 W	0.00	293 of 373 - Sale <sup>25</sup>
	11.000	58.85	05/27/20	71.20	12.35 W	0.00	294 of 373 - Sale <sup>25</sup>
	13.000	69.55	05/27/20	86.12	16.57 W	0.00	295 of 373 - Sale <sup>25</sup>
	13.000	69.55	05/27/20	83.14	13.59 W	0.00	296 of 373 - Sale <sup>25</sup>
	15.000	80.25	05/27/20	98.29	18.04 W	0.00	297 of 373 - Sale <sup>25</sup>
	20.000	107.00	05/27/20	128.79	21.79 W	0.00	298 of 373 - Sale <sup>25</sup>
	20.000	106.99	05/27/20	128.39	21.40 W	0.00	299 of 373 - Sale <sup>25</sup>
	27.000	144.44	05/27/20	173.33	28.89 W	0.00	300 of 373 - Sale <sup>25</sup>
	28.000	149.79	05/27/20	184.65	34.86 W	0.00	301 of 373 - Sale <sup>25</sup>
	49.000	262.14	05/27/20	324.05	61.91 W	0.00	302 of 373 - Sale <sup>25</sup>
	7.000	37.45	05/27/20	46.88	9.43 W	0.00	303 of 373 - Sale <sup>25</sup>
	23.000	123.04	05/27/20	154.31	31.27 W	0.00	304 of 373 - Sale <sup>25</sup>
	29.000	155.14	05/27/20	191.37	36.23 W	0.00	305 of 373 - Sale <sup>25</sup>
	43.000	230.04	05/27/20	287.86	57.82 W	0.00	306 of 373 - Sale <sup>25</sup>
	6.000	32.10	05/27/20	40.29	8.19 W	0.00	307 of 373 - Sale <sup>25</sup>
	2.000	10.70	05/27/20	13.67	2.97 W	0.00	308 of 373 - Sale <sup>25</sup>
	3.000	16.05	05/27/20	20.84	4.79 W	0.00	309 of 373 - Sale <sup>25</sup>
	5.000	26.75	05/27/20	34.75	8.00 W	0.00	310 of 373 - Sale <sup>25</sup>
	11.000	58.85	05/27/20	73.29	14.44 W	0.00	311 of 373 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
20.000		106.99	05/27/20	136.46	29.47 W	0.00	312 of 373 - Sale <sup>25</sup>
28.000		149.79	05/27/20	194.58	44.79 W	0.00	313 of 373 - Sale <sup>25</sup>
31.000		165.84	05/27/20	212.01	46.17 W	0.00	314 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.98	1.63 W	0.00	315 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	14.01	3.31 W	0.00	316 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.91	3.21 W	0.00	317 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	35.01	8.26 W	0.00	318 of 373 - Sale <sup>25</sup>
8.000		42.80	05/27/20	55.73	12.93 W	0.00	319 of 373 - Sale <sup>25</sup>
10.000		53.50	05/27/20	69.75	16.25 W	0.00	320 of 373 - Sale <sup>25</sup>
11.000		58.85	05/27/20	76.24	17.39 W	0.00	321 of 373 - Sale <sup>25</sup>
13.000		69.55	05/27/20	91.14	21.59 W	0.00	322 of 373 - Sale <sup>25</sup>
20.000		106.99	05/27/20	138.62	31.63 W	0.00	323 of 373 - Sale <sup>25</sup>
28.000		149.79	05/27/20	195.06	45.27 W	0.00	324 of 373 - Sale <sup>25</sup>
1.000		5.35	05/27/20	6.97	1.62 W	0.00	325 of 373 - Sale <sup>25</sup>
8.000		42.80	05/27/20	55.56	12.76 W	0.00	326 of 373 - Sale <sup>25</sup>
10.000		53.50	05/27/20	69.87	16.37 W	0.00	327 of 373 - Sale <sup>25</sup>
2.000		10.70	05/27/20	13.98	3.28 W	0.00	328 of 373 - Sale <sup>25</sup>
3.000		16.05	05/27/20	21.04	4.99 W	0.00	329 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	35.01	8.26 W	0.00	330 of 373 - Sale <sup>25</sup>
5.000		26.75	05/27/20	35.01	8.26 W	0.00	331 of 373 - Sale <sup>25</sup>
7.000		37.45	05/27/20	49.07	11.62 W	0.00	332 of 373 - Sale <sup>25</sup>
8.000		42.80	05/27/20	56.08	13.28 W	0.00	333 of 373 - Sale <sup>25</sup>
10.000		53.49	05/27/20	70.10	16.61 W	0.00	334 of 373 - Sale <sup>25</sup>
12.000		64.20	05/27/20	83.85	19.65 W	0.00	335 of 373 - Sale <sup>25</sup>
29.000		155.13	05/27/20	202.75	47.62 W	0.00	336 of 373 - Sale <sup>25</sup>
1.000		5.17	05/27/20	6.71	1.54 W	0.00	337 of 373 - Sale <sup>25</sup>
2.000		10.34	05/27/20	13.71	3.37 W	0.00	338 of 373 - Sale <sup>25</sup>
2.000		10.34	05/27/20	13.67	3.33 W	0.00	339 of 373 - Sale <sup>25</sup>
2.000		10.34	05/27/20	13.41	3.07 W	0.00	340 of 373 - Sale <sup>25</sup>
2.000		10.34	05/27/20	13.41	3.07 W	0.00	341 of 373 - Sale <sup>25</sup>
7.000		36.19	05/27/20	48.30	12.11 W	0.00	342 of 373 - Sale <sup>25</sup>
7.000		36.19	05/27/20	46.94	10.75 W	0.00	343 of 373 - Sale <sup>25</sup>
9.000		46.53	05/27/20	61.53	15.00 W	0.00	344 of 373 - Sale <sup>25</sup>
9.000		46.53	05/27/20	60.45	13.92 W	0.00	345 of 373 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	51.70	05/27/20	68.77	17.07 W	0.00	346 of 373 - Sale <sup>25</sup>
	10.000	51.70	05/27/20	68.66	16.96 W	0.00	347 of 373 - Sale <sup>25</sup>
	10.000	51.70	05/27/20	67.16	15.46 W	0.00	348 of 373 - Sale <sup>25</sup>
	11.000	56.87	05/27/20	73.76	16.89 W	0.00	349 of 373 - Sale <sup>25</sup>
	11.000	56.87	05/27/20	73.77	16.90 W	0.00	350 of 373 - Sale <sup>25</sup>
	12.000	62.04	05/27/20	79.88	17.84 W	0.00	351 of 373 - Sale <sup>25</sup>
	12.000	62.04	05/27/20	80.48	18.44 W	0.00	352 of 373 - Sale <sup>25</sup>
	12.000	62.04	05/27/20	80.49	18.45 W	0.00	353 of 373 - Sale <sup>25</sup>
	13.000	67.21	05/27/20	89.45	22.24 W	0.00	354 of 373 - Sale <sup>25</sup>
	13.000	67.21	05/27/20	88.89	21.68 W	0.00	355 of 373 - Sale <sup>25</sup>
	20.000	103.40	05/27/20	136.73	33.33 W	0.00	356 of 373 - Sale <sup>25</sup>
	20.000	103.40	05/27/20	133.97	30.57 W	0.00	357 of 373 - Sale <sup>25</sup>
	25.000	129.25	05/27/20	171.58	42.33 W	0.00	358 of 373 - Sale <sup>25</sup>
	36.000	186.11	05/27/20	246.12	60.01 W	0.00	359 of 373 - Sale <sup>25</sup>
	55.000	284.34	05/27/20	368.90	84.56 W	0.00	360 of 373 - Sale <sup>25</sup>
	58.000	299.85	05/27/20	389.03	89.18 W	0.00	361 of 373 - Sale <sup>25</sup>
	67.000	346.38	05/27/20	450.06	103.68 W	0.00	362 of 373 - Sale <sup>25</sup>
	2.000	10.34	05/27/20	13.07	2.73 W	0.00	363 of 373 - Sale <sup>25</sup>
	2.000	10.34	05/27/20	13.01	2.67 W	0.00	364 of 373 - Sale <sup>25</sup>
	9.000	46.53	05/27/20	58.92	12.39 W	0.00	365 of 373 - Sale <sup>25</sup>
	13.000	67.21	05/27/20	83.50	16.29 W	0.00	366 of 373 - Sale <sup>25</sup>
	18.000	93.06	05/27/20	117.25	24.19 W	0.00	367 of 373 - Sale <sup>25</sup>
	20.000	103.39	05/27/20	130.08	26.69 W	0.00	368 of 373 - Sale <sup>25</sup>
	9.000	46.53	05/27/20	60.01	13.48 W	0.00	369 of 373 - Sale <sup>25</sup>
	10.000	51.70	05/27/20	67.26	15.56 W	0.00	370 of 373 - Sale <sup>25</sup>
	12.000	62.04	05/27/20	80.48	18.44 W	0.00	371 of 373 - Sale <sup>25</sup>
	16.000	82.71	05/27/20	107.81	25.10 W	0.00	372 of 373 - Sale <sup>25</sup>
	53.000	273.99	05/27/20	357.09	83.10 W	0.00	373 of 373 - Sale <sup>25</sup>
05/27/20	4,536.000	25,005.80	Various	31,101.36	6,095.56 W	0.00	Total of 373 transactions
116 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	11.44	05/28/20	15.08	3.64 W	0.00	1 of 116 - Sale <sup>25</sup>
	2.000	11.44	05/28/20	14.88	3.44 W	0.00	2 of 116 - Sale <sup>25</sup>
	9.000	51.49	05/28/20	67.78	16.29 W	0.00	3 of 116 - Sale <sup>25</sup>
	9.000	51.49	05/28/20	66.97	15.48 W	0.00	4 of 116 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		57.22	05/28/20	74.30	17.08 W	0.00	5 of 116 - Sale <sup>25</sup>
10.000		57.22	05/28/20	74.30	17.08 W	0.00	6 of 116 - Sale <sup>25</sup>
1.000		5.72	05/28/20	7.44	1.72 W	0.00	7 of 116 - Sale <sup>25</sup>
9.000		51.50	05/28/20	67.06	15.56 W	0.00	8 of 116 - Sale <sup>25</sup>
48.000		274.64	05/28/20	362.91	88.27 W	0.00	9 of 116 - Sale <sup>25</sup>
1.000		5.91	05/28/20	7.47	1.56 W	0.00	10 of 116 - Sale <sup>25</sup>
1.000		5.91	05/28/20	7.50	1.59 W	0.00	11 of 116 - Sale <sup>25</sup>
1.000		5.91	05/28/20	7.43	1.52 W	0.00	12 of 116 - Sale <sup>25</sup>
2.000		11.82	05/28/20	14.82	3.00 W	0.00	13 of 116 - Sale <sup>25</sup>
3.000		17.73	05/28/20	22.33	4.60 W	0.00	14 of 116 - Sale <sup>25</sup>
4.000		23.64	05/28/20	29.63	5.99 W	0.00	15 of 116 - Sale <sup>25</sup>
7.000		41.38	05/28/20	52.10	10.72 W	0.00	16 of 116 - Sale <sup>25</sup>
7.000		41.38	05/28/20	51.96	10.58 W	0.00	17 of 116 - Sale <sup>25</sup>
9.000		53.20	05/28/20	67.26	14.06 W	0.00	18 of 116 - Sale <sup>25</sup>
10.000		59.11	05/28/20	74.43	15.32 W	0.00	19 of 116 - Sale <sup>25</sup>
11.000		65.02	05/28/20	81.66	16.64 W	0.00	20 of 116 - Sale <sup>25</sup>
44.000		260.07	05/28/20	326.83	66.76 W	0.00	21 of 116 - Sale <sup>25</sup>
1.000		5.91	05/28/20	7.23	1.32 W	0.00	22 of 116 - Sale <sup>25</sup>
5.000		29.55	05/28/20	36.25	6.70 W	0.00	23 of 116 - Sale <sup>25</sup>
8.000		47.29	05/28/20	57.84	10.55 W	0.00	24 of 116 - Sale <sup>25</sup>
10.000		59.11	05/28/20	73.03	13.92 W	0.00	25 of 116 - Sale <sup>25</sup>
15.000		88.66	05/28/20	108.43	19.77 W	0.00	26 of 116 - Sale <sup>25</sup>
20.000		118.21	05/28/20	145.92	27.71 W	0.00	27 of 116 - Sale <sup>25</sup>
20.000		118.21	05/28/20	144.33	26.12 W	0.00	28 of 116 - Sale <sup>25</sup>
21.000		124.13	05/28/20	155.16	31.03 W	0.00	29 of 116 - Sale <sup>25</sup>
1.000		5.91	05/28/20	7.46	1.55 W	0.00	30 of 116 - Sale <sup>25</sup>
1.000		5.91	05/28/20	7.49	1.58 W	0.00	31 of 116 - Sale <sup>25</sup>
2.000		11.82	05/28/20	14.97	3.15 W	0.00	32 of 116 - Sale <sup>25</sup>
2.000		11.82	05/28/20	14.95	3.13 W	0.00	33 of 116 - Sale <sup>25</sup>
2.000		11.82	05/28/20	14.94	3.12 W	0.00	34 of 116 - Sale <sup>25</sup>
2.000		11.82	05/28/20	14.91	3.09 W	0.00	35 of 116 - Sale <sup>25</sup>
4.000		23.64	05/28/20	29.96	6.32 W	0.00	36 of 116 - Sale <sup>25</sup>
5.000		29.55	05/28/20	37.26	7.71 W	0.00	37 of 116 - Sale <sup>25</sup>
7.000		41.38	05/28/20	52.30	10.92 W	0.00	38 of 116 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	53.20	05/28/20	66.05	12.85 W	0.00	39 of 116 - Sale <sup>25</sup>
	10.000	59.11	05/28/20	75.34	16.23 W	0.00	40 of 116 - Sale <sup>25</sup>
	11.000	65.02	05/28/20	82.30	17.28 W	0.00	41 of 116 - Sale <sup>25</sup>
	15.000	88.66	05/28/20	112.38	23.72 W	0.00	42 of 116 - Sale <sup>25</sup>
	29.000	171.41	05/28/20	217.29	45.88 W	0.00	43 of 116 - Sale <sup>25</sup>
	1.000	5.91	05/28/20	7.51	1.60 W	0.00	44 of 116 - Sale <sup>25</sup>
	1.000	5.91	05/28/20	7.49	1.58 W	0.00	45 of 116 - Sale <sup>25</sup>
	2.000	11.82	05/28/20	15.02	3.20 W	0.00	46 of 116 - Sale <sup>25</sup>
	2.000	11.82	05/28/20	15.02	3.20 W	0.00	47 of 116 - Sale <sup>25</sup>
	2.000	11.82	05/28/20	14.96	3.14 W	0.00	48 of 116 - Sale <sup>25</sup>
	7.000	41.38	05/28/20	52.57	11.19 W	0.00	49 of 116 - Sale <sup>25</sup>
	7.000	41.38	05/28/20	52.21	10.83 W	0.00	50 of 116 - Sale <sup>25</sup>
	9.000	53.20	05/28/20	67.58	14.38 W	0.00	51 of 116 - Sale <sup>25</sup>
	10.000	59.11	05/28/20	75.12	16.01 W	0.00	52 of 116 - Sale <sup>25</sup>
	10.000	59.11	05/28/20	75.02	15.91 W	0.00	53 of 116 - Sale <sup>25</sup>
	11.000	65.02	05/28/20	82.64	17.62 W	0.00	54 of 116 - Sale <sup>25</sup>
	14.000	82.75	05/28/20	104.88	22.13 W	0.00	55 of 116 - Sale <sup>25</sup>
	23.000	135.95	05/28/20	171.61	35.66 W	0.00	56 of 116 - Sale <sup>25</sup>
	1.000	5.91	05/28/20	6.85	0.94 W	0.00	57 of 116 - Sale <sup>25</sup>
	1.000	5.91	05/28/20	7.02	1.11 W	0.00	58 of 116 - Sale <sup>25</sup>
	2.000	11.82	05/28/20	13.54	1.72 W	0.00	59 of 116 - Sale <sup>25</sup>
	2.000	11.82	05/28/20	14.42	2.60 W	0.00	60 of 116 - Sale <sup>25</sup>
	3.000	17.73	05/28/20	20.57	2.84 W	0.00	61 of 116 - Sale <sup>25</sup>
	5.000	29.55	05/28/20	34.21	4.66 W	0.00	62 of 116 - Sale <sup>25</sup>
	5.000	29.55	05/28/20	34.10	4.55 W	0.00	63 of 116 - Sale <sup>25</sup>
	5.000	29.55	05/28/20	33.87	4.32 W	0.00	64 of 116 - Sale <sup>25</sup>
	5.000	29.55	05/28/20	33.71	4.16 W	0.00	65 of 116 - Sale <sup>25</sup>
	5.000	29.55	05/28/20	36.01	6.46 W	0.00	66 of 116 - Sale <sup>25</sup>
	5.000	29.55	05/28/20	36.06	6.51 W	0.00	67 of 116 - Sale <sup>25</sup>
	6.000	35.46	05/28/20	41.05	5.59 W	0.00	68 of 116 - Sale <sup>25</sup>
	7.000	41.38	05/28/20	48.03	6.65 W	0.00	69 of 116 - Sale <sup>25</sup>
	8.000	47.29	05/28/20	54.91	7.62 W	0.00	70 of 116 - Sale <sup>25</sup>
	10.000	59.11	05/28/20	68.41	9.30 W	0.00	71 of 116 - Sale <sup>25</sup>
	10.000	59.11	05/28/20	67.82	8.71 W	0.00	72 of 116 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
11.000		65.02	05/28/20	78.78	13.76 W	0.00	73 of 116 - Sale <sup>25</sup>
13.000		76.84	05/28/20	93.78	16.94 W	0.00	74 of 116 - Sale <sup>25</sup>
15.000		88.66	05/28/20	107.12	18.46 W	0.00	75 of 116 - Sale <sup>25</sup>
33.000		195.05	05/28/20	223.21	28.16 W	0.00	76 of 116 - Sale <sup>25</sup>
49.000		289.64	05/28/20	352.92	63.28 W	0.00	77 of 116 - Sale <sup>25</sup>
1.000		5.53	05/28/20	7.08	1.55 W	0.00	78 of 116 - Sale <sup>25</sup>
1.000		5.53	05/28/20	7.07	1.54 W	0.00	79 of 116 - Sale <sup>25</sup>
1.000		5.53	05/28/20	7.03	1.50 W	0.00	80 of 116 - Sale <sup>25</sup>
5.000		27.65	05/28/20	34.99	7.34 W	0.00	81 of 116 - Sale <sup>25</sup>
5.000		27.65	05/28/20	34.45	6.80 W	0.00	82 of 116 - Sale <sup>25</sup>
5.000		27.65	05/28/20	34.41	6.76 W	0.00	83 of 116 - Sale <sup>25</sup>
7.000		38.72	05/28/20	48.48	9.76 W	0.00	84 of 116 - Sale <sup>25</sup>
8.000		44.25	05/28/20	55.96	11.71 W	0.00	85 of 116 - Sale <sup>25</sup>
8.000		44.25	05/28/20	55.48	11.23 W	0.00	86 of 116 - Sale <sup>25</sup>
10.000		55.31	05/28/20	69.96	14.65 W	0.00	87 of 116 - Sale <sup>25</sup>
10.000		55.31	05/28/20	68.95	13.64 W	0.00	88 of 116 - Sale <sup>25</sup>
3.000		16.59	05/28/20	20.63	4.04 W	0.00	89 of 116 - Sale <sup>25</sup>
6.000		33.18	05/28/20	40.92	7.74 W	0.00	90 of 116 - Sale <sup>25</sup>
10.000		55.31	05/28/20	68.09	12.78 W	0.00	91 of 116 - Sale <sup>25</sup>
20.000		110.61	05/28/20	135.99	25.38 W	0.00	92 of 116 - Sale <sup>25</sup>
3.000		16.59	05/28/20	20.50	3.91 W	0.00	93 of 116 - Sale <sup>25</sup>
3.000		16.59	05/28/20	20.07	3.48 W	0.00	94 of 116 - Sale <sup>25</sup>
5.000		27.65	05/28/20	33.49	5.84 W	0.00	95 of 116 - Sale <sup>25</sup>
7.000		38.72	05/28/20	46.87	8.15 W	0.00	96 of 116 - Sale <sup>25</sup>
8.000		44.25	05/28/20	53.46	9.21 W	0.00	97 of 116 - Sale <sup>25</sup>
8.000		44.25	05/28/20	53.15	8.90 W	0.00	98 of 116 - Sale <sup>25</sup>
10.000		55.31	05/28/20	66.06	10.75 W	0.00	99 of 116 - Sale <sup>25</sup>
10.000		55.31	05/28/20	66.13	10.82 W	0.00	100 of 116 - Sale <sup>25</sup>
10.000		55.31	05/28/20	66.29	10.98 W	0.00	101 of 116 - Sale <sup>25</sup>
19.000		105.08	05/28/20	125.97	20.89 W	0.00	102 of 116 - Sale <sup>25</sup>
20.000		110.61	05/28/20	132.59	21.98 W	0.00	103 of 116 - Sale <sup>25</sup>
3.000		16.59	05/28/20	19.79	3.20 W	0.00	104 of 116 - Sale <sup>25</sup>
3.000		16.59	05/28/20	19.79	3.20 W	0.00	105 of 116 - Sale <sup>25</sup>
3.000		16.59	05/28/20	19.79	3.20 W	0.00	106 of 116 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	22.12	05/28/20	26.38	4.26 W	0.00	107 of 116 - Sale <sup>25</sup>
	4.000	22.12	05/28/20	26.38	4.26 W	0.00	108 of 116 - Sale <sup>25</sup>
	6.000	33.18	05/28/20	39.57	6.39 W	0.00	109 of 116 - Sale <sup>25</sup>
	7.000	38.72	05/28/20	46.15	7.43 W	0.00	110 of 116 - Sale <sup>25</sup>
	8.000	44.25	05/28/20	52.96	8.71 W	0.00	111 of 116 - Sale <sup>25</sup>
	10.000	55.31	05/28/20	65.95	10.64 W	0.00	112 of 116 - Sale <sup>25</sup>
	13.000	71.90	05/28/20	85.74	13.84 W	0.00	113 of 116 - Sale <sup>25</sup>
	17.000	94.02	05/28/20	115.51	21.49 W	0.00	114 of 116 - Sale <sup>25</sup>
	11.000	60.94	05/28/20	74.79	13.85 W	0.00	115 of 116 - Sale <sup>25</sup>
	11.000	60.94	05/28/20	74.75	13.81 W	0.00	116 of 116 - Sale <sup>25</sup>
05/28/20	1,003.000	5,794.62	Various	7,159.57	1,364.95 W	0.00	Total of 116 transactions
9 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	10.000	56.80	06/15/20	68.19	11.39 W	0.00	1 of 9 - Sale <sup>25</sup>
	8.000	45.44	06/16/20	56.08	10.64 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	5.68	06/16/20	7.12	1.44 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	11.36	06/16/20	14.47	3.11 W	0.00	4 of 9 - Sale <sup>25</sup>
	6.000	34.08	06/16/20	42.75	8.67 W	0.00	5 of 9 - Sale <sup>25</sup>
	7.000	39.76	06/16/20	49.75	9.99 W	0.00	6 of 9 - Sale <sup>25</sup>
	11.000	62.47	06/16/20	77.80	15.33 W	0.00	7 of 9 - Sale <sup>25</sup>
	22.000	124.95	06/16/20	156.63	31.68 W	0.00	8 of 9 - Sale <sup>25</sup>
	43.000	244.23	06/16/20	305.50	61.27 W	0.00	9 of 9 - Sale <sup>25</sup>
06/16/20	110.000	624.77	Various	778.29	153.52 W	0.00	Total of 9 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	201.000	1,467.22	06/18/20	1,463.22	...	4.00	1 of 2 - Sale <sup>25</sup>
	500.000	3,649.80	06/18/20	3,644.95	...	4.85	2 of 2 - Sale <sup>25</sup>
06/18/20	701.000	5,117.02	Various	5,108.17	...	8.85	Total of 2 transactions
1804 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	7.40	06/18/20	9.11	1.71 W	0.00	1 of 1804 - Sale <sup>25</sup>
	7.000	51.80	06/18/20	65.66	13.86 W	0.00	2 of 1804 - Sale <sup>25</sup>
	7.000	51.80	06/18/20	65.53	13.73 W	0.00	3 of 1804 - Sale <sup>25</sup>
	1.000	7.40	06/18/20	9.28	1.88 W	0.00	4 of 1804 - Sale <sup>25</sup>
	1.000	7.40	06/18/20	9.27	1.87 W	0.00	5 of 1804 - Sale <sup>25</sup>
	2.000	14.80	06/18/20	18.27	3.47 W	0.00	6 of 1804 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.80	06/18/20	18.61	3.81 W	0.00	7 of 1804 - Sale <sup>25</sup>
	2.000	14.80	06/18/20	18.51	3.71 W	0.00	8 of 1804 - Sale <sup>25</sup>
	2.000	14.80	06/18/20	18.58	3.78 W	0.00	9 of 1804 - Sale <sup>25</sup>
	3.000	22.20	06/18/20	27.74	5.54 W	0.00	10 of 1804 - Sale <sup>25</sup>
	3.000	22.20	06/18/20	27.94	5.74 W	0.00	11 of 1804 - Sale <sup>25</sup>
	5.000	37.00	06/18/20	46.25	9.25 W	0.00	12 of 1804 - Sale <sup>25</sup>
	5.000	37.00	06/18/20	46.51	9.51 W	0.00	13 of 1804 - Sale <sup>25</sup>
	5.000	37.00	06/18/20	46.51	9.51 W	0.00	14 of 1804 - Sale <sup>25</sup>
	5.000	37.00	06/18/20	46.51	9.51 W	0.00	15 of 1804 - Sale <sup>25</sup>
	7.000	51.80	06/18/20	65.17	13.37 W	0.00	16 of 1804 - Sale <sup>25</sup>
	8.000	59.20	06/18/20	74.13	14.93 W	0.00	17 of 1804 - Sale <sup>25</sup>
	8.000	59.20	06/18/20	73.96	14.76 W	0.00	18 of 1804 - Sale <sup>25</sup>
	8.000	59.20	06/18/20	74.48	15.28 W	0.00	19 of 1804 - Sale <sup>25</sup>
	10.000	74.00	06/18/20	92.75	18.75 W	0.00	20 of 1804 - Sale <sup>25</sup>
	10.000	74.00	06/18/20	92.87	18.87 W	0.00	21 of 1804 - Sale <sup>25</sup>
	10.000	74.00	06/18/20	93.11	19.11 W	0.00	22 of 1804 - Sale <sup>25</sup>
	11.000	81.40	06/18/20	101.54	20.14 W	0.00	23 of 1804 - Sale <sup>25</sup>
	12.000	88.80	06/18/20	111.45	22.65 W	0.00	24 of 1804 - Sale <sup>25</sup>
	13.000	96.20	06/18/20	121.04	24.84 W	0.00	25 of 1804 - Sale <sup>25</sup>
	17.000	125.79	06/18/20	155.10	29.31 W	0.00	26 of 1804 - Sale <sup>25</sup>
	20.000	147.99	06/18/20	184.63	36.64 W	0.00	27 of 1804 - Sale <sup>25</sup>
	28.000	207.19	06/18/20	258.99	51.80 W	0.00	28 of 1804 - Sale <sup>25</sup>
	28.000	207.19	06/18/20	259.47	52.28 W	0.00	29 of 1804 - Sale <sup>25</sup>
	29.000	214.59	06/18/20	269.47	54.88 W	0.00	30 of 1804 - Sale <sup>25</sup>
	31.000	229.39	06/18/20	283.32	53.93 W	0.00	31 of 1804 - Sale <sup>25</sup>
	2.000	14.80	06/18/20	18.67	3.87 W	0.00	32 of 1804 - Sale <sup>25</sup>
	6.000	44.40	06/18/20	56.16	11.76 W	0.00	33 of 1804 - Sale <sup>25</sup>
	10.000	74.00	06/18/20	93.57	19.57 W	0.00	34 of 1804 - Sale <sup>25</sup>
	10.000	73.99	06/18/20	93.46	19.47 W	0.00	35 of 1804 - Sale <sup>25</sup>
	13.000	96.19	06/18/20	121.13	24.94 W	0.00	36 of 1804 - Sale <sup>25</sup>
	14.000	103.59	06/18/20	130.44	26.85 W	0.00	37 of 1804 - Sale <sup>25</sup>
	20.000	147.99	06/18/20	186.33	38.34 W	0.00	38 of 1804 - Sale <sup>25</sup>
	25.000	184.99	06/18/20	233.58	48.59 W	0.00	39 of 1804 - Sale <sup>25</sup>
	2.000	14.80	06/18/20	18.63	3.83 W	0.00	40 of 1804 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.80	06/18/20	18.37	3.57 W	0.00	41 of 1804 - Sale <sup>25</sup>
	7.000	51.80	06/18/20	64.30	12.50 W	0.00	42 of 1804 - Sale <sup>25</sup>
	9.000	66.60	06/18/20	83.85	17.25 W	0.00	43 of 1804 - Sale <sup>25</sup>
	11.000	81.39	06/18/20	101.04	19.65 W	0.00	44 of 1804 - Sale <sup>25</sup>
	20.000	147.99	06/18/20	183.57	35.58 W	0.00	45 of 1804 - Sale <sup>25</sup>
	22.000	162.79	06/18/20	204.97	42.18 W	0.00	46 of 1804 - Sale <sup>25</sup>
	26.000	192.39	06/18/20	238.87	46.48 W	0.00	47 of 1804 - Sale <sup>25</sup>
	1.000	7.27	06/18/20	9.19	1.92 W	0.00	48 of 1804 - Sale <sup>25</sup>
	10.000	72.70	06/18/20	91.58	18.88 W	0.00	49 of 1804 - Sale <sup>25</sup>
	15.000	109.05	06/18/20	136.76	27.71 W	0.00	50 of 1804 - Sale <sup>25</sup>
	5.000	36.35	06/18/20	45.59	9.24 W	0.00	51 of 1804 - Sale <sup>25</sup>
	1.000	7.27	06/18/20	9.10	1.83 W	0.00	52 of 1804 - Sale <sup>25</sup>
	6.000	43.62	06/18/20	54.64	11.02 W	0.00	53 of 1804 - Sale <sup>25</sup>
	12.000	87.24	06/18/20	108.56	21.32 W	0.00	54 of 1804 - Sale <sup>25</sup>
	2.000	14.54	06/18/20	18.19	3.65 W	0.00	55 of 1804 - Sale <sup>25</sup>
	8.000	58.16	06/18/20	72.85	14.69 W	0.00	56 of 1804 - Sale <sup>25</sup>
	4.000	29.08	06/18/20	36.42	7.34 W	0.00	57 of 1804 - Sale <sup>25</sup>
	67.000	487.07	06/18/20	610.20	123.13 W	0.00	58 of 1804 - Sale <sup>25</sup>
	1.000	7.27	06/18/20	9.15	1.88 W	0.00	59 of 1804 - Sale <sup>25</sup>
	11.000	79.97	06/18/20	100.50	20.53 W	0.00	60 of 1804 - Sale <sup>25</sup>
	12.000	87.23	06/18/20	109.64	22.41 W	0.00	61 of 1804 - Sale <sup>25</sup>
	12.000	87.24	06/18/20	109.65	22.41 W	0.00	62 of 1804 - Sale <sup>25</sup>
	33.000	239.89	06/18/20	301.53	61.64 W	0.00	63 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.27	3.87 W	0.00	64 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.14	1.94 W	0.00	65 of 1804 - Sale <sup>25</sup>
	20.000	143.99	06/18/20	182.75	38.76 W	0.00	66 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	71.81	14.21 W	0.00	67 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.95	1.75 W	0.00	68 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.87	3.47 W	0.00	69 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.81	3.41 W	0.00	70 of 1804 - Sale <sup>25</sup>
	15.000	108.00	06/18/20	133.71	25.71 W	0.00	71 of 1804 - Sale <sup>25</sup>
	20.000	143.99	06/18/20	178.09	34.10 W	0.00	72 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.76	1.56 W	0.00	73 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.57	3.17 W	0.00	74 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.00	06/18/20	43.62	7.62 W	0.00	75 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	72.23	14.63 W	0.00	76 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	80.62	15.82 W	0.00	77 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	90.16	18.16 W	0.00	78 of 1804 - Sale <sup>25</sup>
	12.000	86.40	06/18/20	107.96	21.56 W	0.00	79 of 1804 - Sale <sup>25</sup>
	53.000	381.57	06/18/20	478.48	96.91 W	0.00	80 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.71	1.51 W	0.00	81 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	60.99	10.59 W	0.00	82 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.05	1.85 W	0.00	83 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.05	1.85 W	0.00	84 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.01	1.81 W	0.00	85 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.01	1.81 W	0.00	86 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.06	1.86 W	0.00	87 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.02	1.82 W	0.00	88 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.63	3.23 W	0.00	89 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.14	3.74 W	0.00	90 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	81.54	16.74 W	0.00	91 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.92	3.52 W	0.00	92 of 1804 - Sale <sup>25</sup>
	1.000	7.21	06/18/20	8.74	1.53 W	0.00	93 of 1804 - Sale <sup>25</sup>
	1.000	7.21	06/18/20	8.97	1.76 W	0.00	94 of 1804 - Sale <sup>25</sup>
	2.000	14.42	06/18/20	17.94	3.52 W	0.00	95 of 1804 - Sale <sup>25</sup>
	9.000	64.89	06/18/20	80.73	15.84 W	0.00	96 of 1804 - Sale <sup>25</sup>
	9.000	64.89	06/18/20	80.81	15.92 W	0.00	97 of 1804 - Sale <sup>25</sup>
	8.000	57.68	06/18/20	71.66	13.98 W	0.00	98 of 1804 - Sale <sup>25</sup>
	10.000	72.10	06/18/20	89.58	17.48 W	0.00	99 of 1804 - Sale <sup>25</sup>
	46.000	331.64	06/18/20	418.09	86.45 W	0.00	100 of 1804 - Sale <sup>25</sup>
	1.000	7.21	06/18/20	8.76	1.55 W	0.00	101 of 1804 - Sale <sup>25</sup>
	1.000	7.21	06/18/20	8.79	1.58 W	0.00	102 of 1804 - Sale <sup>25</sup>
	2.000	14.42	06/18/20	18.08	3.66 W	0.00	103 of 1804 - Sale <sup>25</sup>
	1.000	7.21	06/18/20	8.73	1.52 W	0.00	104 of 1804 - Sale <sup>25</sup>
	9.000	64.88	06/18/20	78.86	13.98 W	0.00	105 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.72	1.57 W	0.00	106 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.40	3.10 W	0.00	107 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.73	1.58 W	0.00	108 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.60	06/18/20	34.79	6.19 W	0.00	109 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	61.12	11.07 W	0.00	110 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	60.98	10.93 W	0.00	111 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	87.32	15.82 W	0.00	112 of 1804 - Sale <sup>25</sup>
	11.000	78.65	06/18/20	95.84	17.19 W	0.00	113 of 1804 - Sale <sup>25</sup>
	42.000	300.29	06/18/20	366.13	65.84 W	0.00	114 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.33	3.03 W	0.00	115 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	42.45	6.70 W	0.00	116 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	59.28	9.23 W	0.00	117 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	85.42	13.92 W	0.00	118 of 1804 - Sale <sup>25</sup>
	15.000	107.25	06/18/20	127.02	19.77 W	0.00	119 of 1804 - Sale <sup>25</sup>
	20.000	142.99	06/18/20	170.71	27.72 W	0.00	120 of 1804 - Sale <sup>25</sup>
	20.000	142.99	06/18/20	169.12	26.13 W	0.00	121 of 1804 - Sale <sup>25</sup>
	21.000	150.14	06/18/20	181.18	31.04 W	0.00	122 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.46	1.31 W	0.00	123 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.46	1.31 W	0.00	124 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.69	1.54 W	0.00	125 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.72	1.57 W	0.00	126 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.43	3.13 W	0.00	127 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.41	3.11 W	0.00	128 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.40	3.10 W	0.00	129 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.37	3.07 W	0.00	130 of 1804 - Sale <sup>25</sup>
	4.000	28.60	06/18/20	34.88	6.28 W	0.00	131 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	43.41	7.66 W	0.00	132 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	60.90	10.85 W	0.00	133 of 1804 - Sale <sup>25</sup>
	9.000	64.35	06/18/20	77.11	12.76 W	0.00	134 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	87.63	16.13 W	0.00	135 of 1804 - Sale <sup>25</sup>
	11.000	78.65	06/18/20	95.82	17.17 W	0.00	136 of 1804 - Sale <sup>25</sup>
	13.000	92.94	06/18/20	113.38	20.44 W	0.00	137 of 1804 - Sale <sup>25</sup>
	29.000	207.34	06/18/20	252.93	45.59 W	0.00	138 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.83	1.68 W	0.00	139 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.81	1.66 W	0.00	140 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.62	3.32 W	0.00	141 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.66	3.36 W	0.00	142 of 1804 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.30	06/18/20	17.66	3.36 W	0.00	143 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.60	3.30 W	0.00	144 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	61.79	11.74 W	0.00	145 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	61.43	11.38 W	0.00	146 of 1804 - Sale <sup>25</sup>
	9.000	64.35	06/18/20	79.44	15.09 W	0.00	147 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	88.30	16.80 W	0.00	148 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	88.20	16.70 W	0.00	149 of 1804 - Sale <sup>25</sup>
	11.000	78.65	06/18/20	97.13	18.48 W	0.00	150 of 1804 - Sale <sup>25</sup>
	13.000	92.94	06/18/20	114.52	21.58 W	0.00	151 of 1804 - Sale <sup>25</sup>
	23.000	164.44	06/18/20	201.91	37.47 W	0.00	152 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.95	1.80 W	0.00	153 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.31	1.16 W	0.00	154 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.48	1.33 W	0.00	155 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	16.46	2.16 W	0.00	156 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	24.95	3.50 W	0.00	157 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	41.51	5.76 W	0.00	158 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	41.40	5.65 W	0.00	159 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	41.17	5.42 W	0.00	160 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	41.01	5.26 W	0.00	161 of 1804 - Sale <sup>25</sup>
	6.000	42.90	06/18/20	49.81	6.91 W	0.00	162 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	58.24	8.19 W	0.00	163 of 1804 - Sale <sup>25</sup>
	8.000	57.19	06/18/20	66.58	9.39 W	0.00	164 of 1804 - Sale <sup>25</sup>
	10.000	71.49	06/18/20	83.00	11.51 W	0.00	165 of 1804 - Sale <sup>25</sup>
	10.000	71.49	06/18/20	82.41	10.92 W	0.00	166 of 1804 - Sale <sup>25</sup>
	11.000	78.64	06/18/20	94.83	16.19 W	0.00	167 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.20	2.90 W	0.00	168 of 1804 - Sale <sup>25</sup>
	33.000	235.93	06/18/20	271.37	35.44 W	0.00	169 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.92	1.77 W	0.00	170 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.91	1.76 W	0.00	171 of 1804 - Sale <sup>25</sup>
	1.000	7.15	06/18/20	8.87	1.72 W	0.00	172 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	17.34	3.04 W	0.00	173 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	26.15	4.70 W	0.00	174 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	26.02	4.57 W	0.00	175 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	25.59	4.14 W	0.00	176 of 1804 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.45	06/18/20	25.31	3.86 W	0.00	177 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	25.31	3.86 W	0.00	178 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	25.31	3.86 W	0.00	179 of 1804 - Sale <sup>25</sup>
	4.000	28.60	06/18/20	33.74	5.14 W	0.00	180 of 1804 - Sale <sup>25</sup>
	4.000	28.60	06/18/20	33.74	5.14 W	0.00	181 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	43.31	7.56 W	0.00	182 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	43.36	7.61 W	0.00	183 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	44.19	8.44 W	0.00	184 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	43.65	7.90 W	0.00	185 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	43.61	7.86 W	0.00	186 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	42.69	6.94 W	0.00	187 of 1804 - Sale <sup>25</sup>
	6.000	42.90	06/18/20	51.96	9.06 W	0.00	188 of 1804 - Sale <sup>25</sup>
	6.000	42.90	06/18/20	50.61	7.71 W	0.00	189 of 1804 - Sale <sup>25</sup>
	6.000	42.90	06/18/20	51.77	8.87 W	0.00	190 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	61.35	11.30 W	0.00	191 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	59.74	9.69 W	0.00	192 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	59.02	8.97 W	0.00	193 of 1804 - Sale <sup>25</sup>
	8.000	57.20	06/18/20	70.67	13.47 W	0.00	194 of 1804 - Sale <sup>25</sup>
	8.000	57.20	06/18/20	70.19	12.99 W	0.00	195 of 1804 - Sale <sup>25</sup>
	8.000	57.20	06/18/20	68.17	10.97 W	0.00	196 of 1804 - Sale <sup>25</sup>
	8.000	57.20	06/18/20	67.86	10.66 W	0.00	197 of 1804 - Sale <sup>25</sup>
	8.000	57.20	06/18/20	67.67	10.47 W	0.00	198 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	88.35	16.85 W	0.00	199 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	87.34	15.84 W	0.00	200 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	86.48	14.98 W	0.00	201 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	84.45	12.95 W	0.00	202 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	84.52	13.02 W	0.00	203 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	84.68	13.18 W	0.00	204 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	84.34	12.84 W	0.00	205 of 1804 - Sale <sup>25</sup>
	11.000	78.64	06/18/20	94.88	16.24 W	0.00	206 of 1804 - Sale <sup>25</sup>
	13.000	92.95	06/18/20	112.75	19.80 W	0.00	207 of 1804 - Sale <sup>25</sup>
	13.000	92.94	06/18/20	109.65	16.71 W	0.00	208 of 1804 - Sale <sup>25</sup>
	13.000	92.95	06/18/20	111.81	18.86 W	0.00	209 of 1804 - Sale <sup>25</sup>
	17.000	121.54	06/18/20	146.78	25.24 W	0.00	210 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
19.000		135.84	06/18/20	160.92	25.08 W	0.00	211 of 1804 - Sale <sup>25</sup>
20.000		142.99	06/18/20	172.78	29.79 W	0.00	212 of 1804 - Sale <sup>25</sup>
20.000		142.99	06/18/20	169.38	26.39 W	0.00	213 of 1804 - Sale <sup>25</sup>
49.000		350.34	06/18/20	424.41	74.07 W	0.00	214 of 1804 - Sale <sup>25</sup>
10.000		71.49	06/18/20	84.99	13.50 W	0.00	215 of 1804 - Sale <sup>25</sup>
1.000		7.15	06/18/20	8.80	1.65 W	0.00	216 of 1804 - Sale <sup>25</sup>
4.000		28.60	06/18/20	35.15	6.55 W	0.00	217 of 1804 - Sale <sup>25</sup>
5.000		35.75	06/18/20	43.10	7.35 W	0.00	218 of 1804 - Sale <sup>25</sup>
8.000		57.20	06/18/20	69.52	12.32 W	0.00	219 of 1804 - Sale <sup>25</sup>
22.000		157.29	06/18/20	193.60	36.31 W	0.00	220 of 1804 - Sale <sup>25</sup>
7.000		50.04	06/18/20	65.24	15.20 W	0.00	221 of 1804 - Sale <sup>25</sup>
7.000		50.05	06/18/20	65.11	15.06 W	0.00	222 of 1804 - Sale <sup>25</sup>
3.000		21.45	06/18/20	26.30	4.85 W	0.00	223 of 1804 - Sale <sup>25</sup>
5.000		35.75	06/18/20	43.93	8.18 W	0.00	224 of 1804 - Sale <sup>25</sup>
43.000		307.41	06/18/20	376.89	69.48 W	0.00	225 of 1804 - Sale <sup>25</sup>
1.000		7.15	06/18/20	8.78	1.63 W	0.00	226 of 1804 - Sale <sup>25</sup>
9.000		64.35	06/18/20	78.60	14.25 W	0.00	227 of 1804 - Sale <sup>25</sup>
1.000		7.15	06/18/20	9.05	1.90 W	0.00	228 of 1804 - Sale <sup>25</sup>
1.000		7.15	06/18/20	9.08	1.93 W	0.00	229 of 1804 - Sale <sup>25</sup>
2.000		14.30	06/18/20	17.79	3.49 W	0.00	230 of 1804 - Sale <sup>25</sup>
2.000		14.30	06/18/20	18.15	3.85 W	0.00	231 of 1804 - Sale <sup>25</sup>
2.000		14.30	06/18/20	17.47	3.17 W	0.00	232 of 1804 - Sale <sup>25</sup>
17.000		121.55	06/18/20	154.09	32.54 W	0.00	233 of 1804 - Sale <sup>25</sup>
3.000		21.45	06/18/20	27.45	6.00 W	0.00	234 of 1804 - Sale <sup>25</sup>
3.000		21.45	06/18/20	27.64	6.19 W	0.00	235 of 1804 - Sale <sup>25</sup>
5.000		35.75	06/18/20	45.77	10.02 W	0.00	236 of 1804 - Sale <sup>25</sup>
11.000		78.65	06/18/20	100.49	21.84 W	0.00	237 of 1804 - Sale <sup>25</sup>
20.000		142.99	06/18/20	182.72	39.73 W	0.00	238 of 1804 - Sale <sup>25</sup>
28.000		200.19	06/18/20	256.31	56.12 W	0.00	239 of 1804 - Sale <sup>25</sup>
30.000		214.49	06/18/20	271.31	56.82 W	0.00	240 of 1804 - Sale <sup>25</sup>
1.000		7.15	06/18/20	9.10	1.95 W	0.00	241 of 1804 - Sale <sup>25</sup>
1.000		7.15	06/18/20	9.09	1.94 W	0.00	242 of 1804 - Sale <sup>25</sup>
1.000		7.15	06/18/20	9.13	1.98 W	0.00	243 of 1804 - Sale <sup>25</sup>
2.000		14.30	06/18/20	18.25	3.95 W	0.00	244 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.30	06/18/20	18.15	3.85 W	0.00	245 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	18.22	3.92 W	0.00	246 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	45.61	9.86 W	0.00	247 of 1804 - Sale <sup>25</sup>
	8.000	57.20	06/18/20	72.69	15.49 W	0.00	248 of 1804 - Sale <sup>25</sup>
	8.000	57.20	06/18/20	72.52	15.32 W	0.00	249 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	91.31	19.81 W	0.00	250 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	90.95	19.45 W	0.00	251 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	91.07	19.57 W	0.00	252 of 1804 - Sale <sup>25</sup>
	12.000	85.80	06/18/20	109.29	23.49 W	0.00	253 of 1804 - Sale <sup>25</sup>
	28.000	200.19	06/18/20	254.44	54.25 W	0.00	254 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	27.28	5.83 W	0.00	255 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	45.41	9.66 W	0.00	256 of 1804 - Sale <sup>25</sup>
	5.000	35.75	06/18/20	45.41	9.66 W	0.00	257 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	63.63	13.58 W	0.00	258 of 1804 - Sale <sup>25</sup>
	7.000	50.05	06/18/20	63.63	13.58 W	0.00	259 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	90.91	19.41 W	0.00	260 of 1804 - Sale <sup>25</sup>
	13.000	92.94	06/18/20	117.94	25.00 W	0.00	261 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	18.33	4.03 W	0.00	262 of 1804 - Sale <sup>25</sup>
	6.000	42.90	06/18/20	55.13	12.23 W	0.00	263 of 1804 - Sale <sup>25</sup>
	10.000	71.50	06/18/20	91.86	20.36 W	0.00	264 of 1804 - Sale <sup>25</sup>
	10.000	71.49	06/18/20	91.76	20.27 W	0.00	265 of 1804 - Sale <sup>25</sup>
	13.000	92.94	06/18/20	118.91	25.97 W	0.00	266 of 1804 - Sale <sup>25</sup>
	18.000	128.69	06/18/20	164.62	35.93 W	0.00	267 of 1804 - Sale <sup>25</sup>
	25.000	178.74	06/18/20	229.31	50.57 W	0.00	268 of 1804 - Sale <sup>25</sup>
	16.000	114.39	06/18/20	145.94	31.55 W	0.00	269 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	18.27	3.97 W	0.00	270 of 1804 - Sale <sup>25</sup>
	3.000	21.45	06/18/20	27.41	5.96 W	0.00	271 of 1804 - Sale <sup>25</sup>
	2.000	14.30	06/18/20	18.27	3.97 W	0.00	272 of 1804 - Sale <sup>25</sup>
	9.000	64.34	06/18/20	82.23	17.89 W	0.00	273 of 1804 - Sale <sup>25</sup>
	11.000	78.65	06/18/20	100.52	21.87 W	0.00	274 of 1804 - Sale <sup>25</sup>
	6.000	42.90	06/18/20	53.99	11.09 W	0.00	275 of 1804 - Sale <sup>25</sup>
	22.000	157.29	06/18/20	201.02	43.73 W	0.00	276 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.14	1.97 W	0.00	277 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.05	1.88 W	0.00	278 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.34	06/18/20	18.01	3.67 W	0.00	279 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.09	3.75 W	0.00	280 of 1804 - Sale <sup>25</sup>
	4.000	28.68	06/18/20	36.22	7.54 W	0.00	281 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	45.34	9.49 W	0.00	282 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	54.34	11.32 W	0.00	283 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	63.04	12.85 W	0.00	284 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	91.08	19.38 W	0.00	285 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	99.07	20.20 W	0.00	286 of 1804 - Sale <sup>25</sup>
	12.000	86.04	06/18/20	107.96	21.92 W	0.00	287 of 1804 - Sale <sup>25</sup>
	15.000	107.55	06/18/20	136.01	28.46 W	0.00	288 of 1804 - Sale <sup>25</sup>
	14.000	100.38	06/18/20	125.99	25.61 W	0.00	289 of 1804 - Sale <sup>25</sup>
	26.000	186.42	06/18/20	234.20	47.78 W	0.00	290 of 1804 - Sale <sup>25</sup>
	29.000	207.92	06/18/20	262.68	54.76 W	0.00	291 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.08	1.91 W	0.00	292 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	27.20	5.69 W	0.00	293 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	72.29	14.93 W	0.00	294 of 1804 - Sale <sup>25</sup>
	38.000	272.45	06/18/20	343.43	70.98 W	0.00	295 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.12	1.95 W	0.00	296 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.23	3.89 W	0.00	297 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	81.43	16.90 W	0.00	298 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	99.51	20.64 W	0.00	299 of 1804 - Sale <sup>25</sup>
	12.000	86.04	06/18/20	108.57	22.53 W	0.00	300 of 1804 - Sale <sup>25</sup>
	20.000	143.40	06/18/20	182.36	38.96 W	0.00	301 of 1804 - Sale <sup>25</sup>
	33.000	236.60	06/18/20	298.58	61.98 W	0.00	302 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.93	1.76 W	0.00	303 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.89	1.72 W	0.00	304 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.83	3.49 W	0.00	305 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	71.65	14.29 W	0.00	306 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	80.17	15.64 W	0.00	307 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	89.66	17.96 W	0.00	308 of 1804 - Sale <sup>25</sup>
	12.000	86.04	06/18/20	107.36	21.32 W	0.00	309 of 1804 - Sale <sup>25</sup>
	25.000	179.25	06/18/20	224.46	45.21 W	0.00	310 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.71	1.54 W	0.00	311 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.71	3.37 W	0.00	312 of 1804 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.34	06/18/20	17.47	3.13 W	0.00	313 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	43.37	7.52 W	0.00	314 of 1804 - Sale <sup>25</sup>
	15.000	107.55	06/18/20	132.96	25.41 W	0.00	315 of 1804 - Sale <sup>25</sup>
	19.000	136.23	06/18/20	168.24	32.01 W	0.00	316 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.05	1.88 W	0.00	317 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.05	1.88 W	0.00	318 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.01	1.84 W	0.00	319 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.01	1.84 W	0.00	320 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.06	1.89 W	0.00	321 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.02	1.85 W	0.00	322 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	72.23	14.87 W	0.00	323 of 1804 - Sale <sup>25</sup>
	28.000	200.75	06/18/20	252.80	52.05 W	0.00	324 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.71	1.54 W	0.00	325 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	60.99	10.80 W	0.00	326 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.61	3.27 W	0.00	327 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.12	3.78 W	0.00	328 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.90	3.56 W	0.00	329 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.90	3.56 W	0.00	330 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	53.74	10.72 W	0.00	331 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	71.49	14.13 W	0.00	332 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	81.44	16.91 W	0.00	333 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	80.53	16.00 W	0.00	334 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	89.37	17.67 W	0.00	335 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.95	1.78 W	0.00	336 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	26.88	5.37 W	0.00	337 of 1804 - Sale <sup>25</sup>
	46.000	329.81	06/18/20	417.13	87.32 W	0.00	338 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.74	1.57 W	0.00	339 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.77	1.60 W	0.00	340 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.71	1.54 W	0.00	341 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.72	1.55 W	0.00	342 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.77	1.60 W	0.00	343 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.04	3.70 W	0.00	344 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.48	3.14 W	0.00	345 of 1804 - Sale <sup>25</sup>
	4.000	28.68	06/18/20	35.00	6.32 W	0.00	346 of 1804 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	50.19	06/18/20	61.39	11.20 W	0.00	347 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	78.68	14.15 W	0.00	348 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	87.71	16.01 W	0.00	349 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	96.26	17.39 W	0.00	350 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.76	1.59 W	0.00	351 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.74	1.57 W	0.00	352 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	26.24	4.73 W	0.00	353 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.43	3.09 W	0.00	354 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	26.24	4.73 W	0.00	355 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	26.03	4.52 W	0.00	356 of 1804 - Sale <sup>25</sup>
	42.000	301.13	06/18/20	368.24	67.11 W	0.00	357 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.08	2.74 W	0.00	358 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	85.92	14.22 W	0.00	359 of 1804 - Sale <sup>25</sup>
	18.000	129.06	06/18/20	156.21	27.15 W	0.00	360 of 1804 - Sale <sup>25</sup>
	20.000	143.40	06/18/20	171.72	28.32 W	0.00	361 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.48	1.31 W	0.00	362 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.48	1.31 W	0.00	363 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.71	1.54 W	0.00	364 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.74	1.57 W	0.00	365 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.85	1.68 W	0.00	366 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.47	3.13 W	0.00	367 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.45	3.11 W	0.00	368 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.44	3.10 W	0.00	369 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.41	3.07 W	0.00	370 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.66	3.32 W	0.00	371 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.70	3.36 W	0.00	372 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.70	3.36 W	0.00	373 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.64	3.30 W	0.00	374 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	25.53	4.02 W	0.00	375 of 1804 - Sale <sup>25</sup>
	4.000	28.68	06/18/20	34.96	6.28 W	0.00	376 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	43.51	7.66 W	0.00	377 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	59.42	9.23 W	0.00	378 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	61.04	10.85 W	0.00	379 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	61.93	11.74 W	0.00	380 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	50.19	06/18/20	61.57	11.38 W	0.00	381 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	77.29	12.76 W	0.00	382 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	79.62	15.09 W	0.00	383 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	87.83	16.13 W	0.00	384 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	88.50	16.80 W	0.00	385 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	88.40	16.70 W	0.00	386 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	96.04	17.17 W	0.00	387 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	97.35	18.48 W	0.00	388 of 1804 - Sale <sup>25</sup>
	13.000	93.21	06/18/20	113.65	20.44 W	0.00	389 of 1804 - Sale <sup>25</sup>
	15.000	107.55	06/18/20	127.32	19.77 W	0.00	390 of 1804 - Sale <sup>25</sup>
	20.000	143.40	06/18/20	169.53	26.13 W	0.00	391 of 1804 - Sale <sup>25</sup>
	23.000	164.90	06/18/20	202.38	37.48 W	0.00	392 of 1804 - Sale <sup>25</sup>
	29.000	207.92	06/18/20	253.52	45.60 W	0.00	393 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.83	1.66 W	0.00	394 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.97	1.80 W	0.00	395 of 1804 - Sale <sup>25</sup>
	13.000	93.21	06/18/20	114.79	21.58 W	0.00	396 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.33	1.16 W	0.00	397 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.50	1.33 W	0.00	398 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.94	1.77 W	0.00	399 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.93	1.76 W	0.00	400 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.89	1.72 W	0.00	401 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	16.50	2.16 W	0.00	402 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.24	2.90 W	0.00	403 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.38	3.04 W	0.00	404 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.51	3.17 W	0.00	405 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	25.01	3.50 W	0.00	406 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	41.61	5.76 W	0.00	407 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	41.50	5.65 W	0.00	408 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	41.27	5.42 W	0.00	409 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	41.11	5.26 W	0.00	410 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	43.41	7.56 W	0.00	411 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	43.46	7.61 W	0.00	412 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	44.29	8.44 W	0.00	413 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	43.75	7.90 W	0.00	414 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	35.85	06/18/20	43.71	7.86 W	0.00	415 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	49.93	6.91 W	0.00	416 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	58.38	8.19 W	0.00	417 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	61.49	11.30 W	0.00	418 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	66.75	9.39 W	0.00	419 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	70.83	13.47 W	0.00	420 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	70.35	12.99 W	0.00	421 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	83.21	11.51 W	0.00	422 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	82.62	10.92 W	0.00	423 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	88.55	16.85 W	0.00	424 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	95.06	16.19 W	0.00	425 of 1804 - Sale <sup>25</sup>
	13.000	93.21	06/18/20	112.07	18.86 W	0.00	426 of 1804 - Sale <sup>25</sup>
	13.000	93.21	06/18/20	113.01	19.80 W	0.00	427 of 1804 - Sale <sup>25</sup>
	33.000	236.60	06/18/20	272.05	35.45 W	0.00	428 of 1804 - Sale <sup>25</sup>
	49.000	351.32	06/18/20	425.40	74.08 W	0.00	429 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	46.36	10.51 W	0.00	430 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	84.50	12.80 W	0.00	431 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.75	1.58 W	0.00	432 of 1804 - Sale <sup>25</sup>
	4.000	28.68	06/18/20	33.54	4.86 W	0.00	433 of 1804 - Sale <sup>25</sup>
	4.000	28.68	06/18/20	34.95	6.27 W	0.00	434 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	42.85	7.00 W	0.00	435 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	51.47	8.45 W	0.00	436 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	58.67	8.48 W	0.00	437 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	69.12	11.76 W	0.00	438 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	94.34	15.47 W	0.00	439 of 1804 - Sale <sup>25</sup>
	17.000	121.88	06/18/20	145.94	24.06 W	0.00	440 of 1804 - Sale <sup>25</sup>
	22.000	157.73	06/18/20	192.51	34.78 W	0.00	441 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	26.15	4.64 W	0.00	442 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	26.02	4.51 W	0.00	443 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	25.59	4.08 W	0.00	444 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	42.69	6.84 W	0.00	445 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	51.96	8.94 W	0.00	446 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	59.74	9.55 W	0.00	447 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	69.87	12.51 W	0.00	448 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	57.36	06/18/20	68.17	10.81 W	0.00	449 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	67.86	10.50 W	0.00	450 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	76.07	11.54 W	0.00	451 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	86.48	14.78 W	0.00	452 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	84.45	12.75 W	0.00	453 of 1804 - Sale <sup>25</sup>
	20.000	143.39	06/18/20	172.79	29.40 W	0.00	454 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.45	1.28 W	0.00	455 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	25.31	3.80 W	0.00	456 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	25.31	3.80 W	0.00	457 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	25.31	3.80 W	0.00	458 of 1804 - Sale <sup>25</sup>
	4.000	28.68	06/18/20	33.74	5.06 W	0.00	459 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	50.61	7.59 W	0.00	460 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	67.67	10.31 W	0.00	461 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	84.68	12.98 W	0.00	462 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	84.34	12.64 W	0.00	463 of 1804 - Sale <sup>25</sup>
	13.000	93.21	06/18/20	109.66	16.45 W	0.00	464 of 1804 - Sale <sup>25</sup>
	19.000	136.22	06/18/20	160.93	24.71 W	0.00	465 of 1804 - Sale <sup>25</sup>
	20.000	143.39	06/18/20	169.39	26.00 W	0.00	466 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.50	4.16 W	0.00	467 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.19	3.85 W	0.00	468 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.13	3.79 W	0.00	469 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.13	3.79 W	0.00	470 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	27.20	5.69 W	0.00	471 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	54.71	11.69 W	0.00	472 of 1804 - Sale <sup>25</sup>
	6.000	43.02	06/18/20	53.57	10.55 W	0.00	473 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	64.62	14.43 W	0.00	474 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	81.61	17.08 W	0.00	475 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	91.16	19.46 W	0.00	476 of 1804 - Sale <sup>25</sup>
	10.000	71.70	06/18/20	91.07	19.37 W	0.00	477 of 1804 - Sale <sup>25</sup>
	11.000	78.87	06/18/20	99.75	20.88 W	0.00	478 of 1804 - Sale <sup>25</sup>
	13.000	93.20	06/18/20	118.01	24.81 W	0.00	479 of 1804 - Sale <sup>25</sup>
	18.000	129.05	06/18/20	163.37	34.32 W	0.00	480 of 1804 - Sale <sup>25</sup>
	22.000	157.73	06/18/20	199.49	41.76 W	0.00	481 of 1804 - Sale <sup>25</sup>
	25.000	179.24	06/18/20	227.57	48.33 W	0.00	482 of 1804 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.17	06/18/20	8.71	1.54 W	0.00	483 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	8.98	1.81 W	0.00	484 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.01	1.84 W	0.00	485 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.03	1.86 W	0.00	486 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.02	1.85 W	0.00	487 of 1804 - Sale <sup>25</sup>
	1.000	7.17	06/18/20	9.06	1.89 W	0.00	488 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.33	2.99 W	0.00	489 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	17.65	3.31 W	0.00	490 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.01	3.67 W	0.00	491 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.11	3.77 W	0.00	492 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.01	3.67 W	0.00	493 of 1804 - Sale <sup>25</sup>
	2.000	14.34	06/18/20	18.08	3.74 W	0.00	494 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	26.09	4.58 W	0.00	495 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	27.24	5.73 W	0.00	496 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	27.43	5.92 W	0.00	497 of 1804 - Sale <sup>25</sup>
	3.000	21.51	06/18/20	27.07	5.56 W	0.00	498 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	43.58	7.73 W	0.00	499 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	45.42	9.57 W	0.00	500 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	45.26	9.41 W	0.00	501 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	45.06	9.21 W	0.00	502 of 1804 - Sale <sup>25</sup>
	5.000	35.85	06/18/20	45.06	9.21 W	0.00	503 of 1804 - Sale <sup>25</sup>
	7.000	50.19	06/18/20	63.14	12.95 W	0.00	504 of 1804 - Sale <sup>25</sup>
	7.000	50.18	06/18/20	63.14	12.96 W	0.00	505 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	72.13	14.77 W	0.00	506 of 1804 - Sale <sup>25</sup>
	8.000	57.36	06/18/20	71.96	14.60 W	0.00	507 of 1804 - Sale <sup>25</sup>
	9.000	64.53	06/18/20	77.97	13.44 W	0.00	508 of 1804 - Sale <sup>25</sup>
	10.000	71.69	06/18/20	90.61	18.92 W	0.00	509 of 1804 - Sale <sup>25</sup>
	10.000	71.69	06/18/20	90.25	18.56 W	0.00	510 of 1804 - Sale <sup>25</sup>
	10.000	71.69	06/18/20	90.37	18.68 W	0.00	511 of 1804 - Sale <sup>25</sup>
	10.000	71.69	06/18/20	90.21	18.52 W	0.00	512 of 1804 - Sale <sup>25</sup>
	11.000	78.86	06/18/20	99.72	20.86 W	0.00	513 of 1804 - Sale <sup>25</sup>
	12.000	86.03	06/18/20	108.45	22.42 W	0.00	514 of 1804 - Sale <sup>25</sup>
	13.000	93.20	06/18/20	117.04	23.84 W	0.00	515 of 1804 - Sale <sup>25</sup>
	16.000	114.71	06/18/20	144.83	30.12 W	0.00	516 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	17.000	121.88	06/18/20	152.90	31.02 W	0.00	517 of 1804 - Sale <sup>25</sup>
	20.000	143.39	06/18/20	181.33	37.94 W	0.00	518 of 1804 - Sale <sup>25</sup>
	28.000	200.75	06/18/20	254.36	53.61 W	0.00	519 of 1804 - Sale <sup>25</sup>
	28.000	200.75	06/18/20	252.49	51.74 W	0.00	520 of 1804 - Sale <sup>25</sup>
	30.000	215.08	06/18/20	269.22	54.14 W	0.00	521 of 1804 - Sale <sup>25</sup>
	43.000	308.29	06/18/20	373.92	65.63 W	0.00	522 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.91	1.71 W	0.00	523 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.12	1.92 W	0.00	524 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.03	1.83 W	0.00	525 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.97	3.57 W	0.00	526 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	45.24	9.24 W	0.00	527 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	62.90	12.50 W	0.00	528 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	80.78	15.98 W	0.00	529 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	90.88	18.88 W	0.00	530 of 1804 - Sale <sup>25</sup>
	11.000	79.20	06/18/20	98.85	19.65 W	0.00	531 of 1804 - Sale <sup>25</sup>
	13.000	93.60	06/18/20	116.73	23.13 W	0.00	532 of 1804 - Sale <sup>25</sup>
	15.000	107.99	06/18/20	135.71	27.72 W	0.00	533 of 1804 - Sale <sup>25</sup>
	26.000	187.19	06/18/20	233.68	46.49 W	0.00	534 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.09	1.89 W	0.00	535 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.13	1.93 W	0.00	536 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.06	1.86 W	0.00	537 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.06	1.86 W	0.00	538 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.02	1.82 W	0.00	539 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.02	1.82 W	0.00	540 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.07	1.87 W	0.00	541 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.03	1.83 W	0.00	542 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.11	3.71 W	0.00	543 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.25	3.85 W	0.00	544 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.63	3.23 W	0.00	545 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.14	3.74 W	0.00	546 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.92	3.52 W	0.00	547 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	27.02	5.42 W	0.00	548 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	27.23	5.63 W	0.00	549 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	36.26	7.46 W	0.00	550 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.20	06/18/20	54.40	11.20 W	0.00	551 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	72.37	14.77 W	0.00	552 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	72.31	14.71 W	0.00	553 of 1804 - Sale <sup>25</sup>
	4.000	28.79	06/18/20	35.78	6.99 W	0.00	554 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	81.52	16.72 W	0.00	555 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	80.26	15.46 W	0.00	556 of 1804 - Sale <sup>25</sup>
	9.000	64.79	06/18/20	81.53	16.74 W	0.00	557 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	89.76	17.76 W	0.00	558 of 1804 - Sale <sup>25</sup>
	11.000	79.20	06/18/20	99.62	20.42 W	0.00	559 of 1804 - Sale <sup>25</sup>
	12.000	86.40	06/18/20	108.69	22.29 W	0.00	560 of 1804 - Sale <sup>25</sup>
	12.000	86.39	06/18/20	107.48	21.09 W	0.00	561 of 1804 - Sale <sup>25</sup>
	20.000	143.99	06/18/20	182.56	38.57 W	0.00	562 of 1804 - Sale <sup>25</sup>
	25.000	179.99	06/18/20	224.71	44.72 W	0.00	563 of 1804 - Sale <sup>25</sup>
	28.000	201.59	06/18/20	253.09	51.50 W	0.00	564 of 1804 - Sale <sup>25</sup>
	29.000	208.79	06/18/20	262.98	54.19 W	0.00	565 of 1804 - Sale <sup>25</sup>
	33.000	237.58	06/18/20	298.92	61.34 W	0.00	566 of 1804 - Sale <sup>25</sup>
	38.000	273.59	06/18/20	343.82	70.23 W	0.00	567 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.96	1.76 W	0.00	568 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.75	1.55 W	0.00	569 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.78	1.58 W	0.00	570 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.72	1.52 W	0.00	571 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.73	1.53 W	0.00	572 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.78	1.58 W	0.00	573 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.77	1.57 W	0.00	574 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.75	1.55 W	0.00	575 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.49	1.29 W	0.00	576 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.49	1.29 W	0.00	577 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.72	1.52 W	0.00	578 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.75	1.55 W	0.00	579 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.86	1.66 W	0.00	580 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.84	1.64 W	0.00	581 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.98	1.78 W	0.00	582 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.92	3.52 W	0.00	583 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.06	3.66 W	0.00	584 of 1804 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.40	06/18/20	17.50	3.10 W	0.00	585 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.45	3.05 W	0.00	586 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.10	2.70 W	0.00	587 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.49	3.09 W	0.00	588 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.47	3.07 W	0.00	589 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.46	3.06 W	0.00	590 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.43	3.03 W	0.00	591 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.68	3.28 W	0.00	592 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.72	3.32 W	0.00	593 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.72	3.32 W	0.00	594 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.66	3.26 W	0.00	595 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.91	5.31 W	0.00	596 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.27	4.67 W	0.00	597 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.27	4.67 W	0.00	598 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.06	4.46 W	0.00	599 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	25.56	3.96 W	0.00	600 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	35.04	6.24 W	0.00	601 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	35.00	6.20 W	0.00	602 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	43.56	7.56 W	0.00	603 of 1804 - Sale <sup>25</sup>
	6.000	43.20	06/18/20	53.80	10.60 W	0.00	604 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	61.46	11.06 W	0.00	605 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	59.49	9.09 W	0.00	606 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	61.11	10.71 W	0.00	607 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	62.00	11.60 W	0.00	608 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	61.64	11.24 W	0.00	609 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	35.78	6.98 W	0.00	610 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	80.62	15.82 W	0.00	611 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	78.77	13.97 W	0.00	612 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	77.38	12.58 W	0.00	613 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	79.71	14.91 W	0.00	614 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	89.47	17.47 W	0.00	615 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	87.81	15.81 W	0.00	616 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	86.02	14.02 W	0.00	617 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	87.93	15.93 W	0.00	618 of 1804 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	72.00	06/18/20	88.60	16.60 W	0.00	619 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	88.50	16.50 W	0.00	620 of 1804 - Sale <sup>25</sup>
	11.000	79.20	06/18/20	96.37	17.17 W	0.00	621 of 1804 - Sale <sup>25</sup>
	11.000	79.20	06/18/20	96.15	16.95 W	0.00	622 of 1804 - Sale <sup>25</sup>
	11.000	79.20	06/18/20	97.46	18.26 W	0.00	623 of 1804 - Sale <sup>25</sup>
	13.000	93.60	06/18/20	113.78	20.18 W	0.00	624 of 1804 - Sale <sup>25</sup>
	13.000	93.60	06/18/20	114.92	21.32 W	0.00	625 of 1804 - Sale <sup>25</sup>
	15.000	108.00	06/18/20	127.47	19.47 W	0.00	626 of 1804 - Sale <sup>25</sup>
	18.000	129.59	06/18/20	156.39	26.80 W	0.00	627 of 1804 - Sale <sup>25</sup>
	20.000	143.99	06/18/20	171.92	27.93 W	0.00	628 of 1804 - Sale <sup>25</sup>
	20.000	143.99	06/18/20	169.73	25.74 W	0.00	629 of 1804 - Sale <sup>25</sup>
	23.000	165.59	06/18/20	202.61	37.02 W	0.00	630 of 1804 - Sale <sup>25</sup>
	29.000	208.79	06/18/20	253.81	45.02 W	0.00	631 of 1804 - Sale <sup>25</sup>
	42.000	302.39	06/18/20	368.66	66.27 W	0.00	632 of 1804 - Sale <sup>25</sup>
	46.000	331.19	06/18/20	417.59	86.40 W	0.00	633 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.94	1.74 W	0.00	634 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.90	1.70 W	0.00	635 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.72	1.52 W	0.00	636 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.72	1.52 W	0.00	637 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.34	1.14 W	0.00	638 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.51	1.31 W	0.00	639 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.85	3.45 W	0.00	640 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.73	3.33 W	0.00	641 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.49	3.09 W	0.00	642 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	16.52	2.12 W	0.00	643 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.26	2.86 W	0.00	644 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.40	3.00 W	0.00	645 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	25.04	3.44 W	0.00	646 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.08	4.48 W	0.00	647 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	43.42	7.42 W	0.00	648 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	41.66	5.66 W	0.00	649 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	41.55	5.55 W	0.00	650 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	41.32	5.32 W	0.00	651 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	41.16	5.16 W	0.00	652 of 1804 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.00	06/18/20	43.46	7.46 W	0.00	653 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	43.51	7.51 W	0.00	654 of 1804 - Sale <sup>25</sup>
	6.000	43.20	06/18/20	49.99	6.79 W	0.00	655 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	61.06	10.66 W	0.00	656 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	58.45	8.05 W	0.00	657 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	71.73	14.13 W	0.00	658 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	66.83	9.23 W	0.00	659 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	83.31	11.31 W	0.00	660 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	82.71	10.71 W	0.00	661 of 1804 - Sale <sup>25</sup>
	11.000	79.20	06/18/20	95.16	15.96 W	0.00	662 of 1804 - Sale <sup>25</sup>
	13.000	93.60	06/18/20	112.19	18.59 W	0.00	663 of 1804 - Sale <sup>25</sup>
	13.000	93.60	06/18/20	113.13	19.53 W	0.00	664 of 1804 - Sale <sup>25</sup>
	15.000	107.99	06/18/20	133.11	25.12 W	0.00	665 of 1804 - Sale <sup>25</sup>
	19.000	136.79	06/18/20	168.43	31.64 W	0.00	666 of 1804 - Sale <sup>25</sup>
	33.000	237.59	06/18/20	272.37	34.78 W	0.00	667 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.94	1.74 W	0.00	668 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.93	1.73 W	0.00	669 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.89	1.69 W	0.00	670 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.45	1.25 W	0.00	671 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.51	3.11 W	0.00	672 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.15	4.55 W	0.00	673 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.02	4.42 W	0.00	674 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	25.59	3.99 W	0.00	675 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	44.29	8.29 W	0.00	676 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	43.75	7.75 W	0.00	677 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	43.71	7.71 W	0.00	678 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	42.69	6.69 W	0.00	679 of 1804 - Sale <sup>25</sup>
	6.000	43.20	06/18/20	51.96	8.76 W	0.00	680 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	61.49	11.09 W	0.00	681 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	59.74	9.34 W	0.00	682 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	70.83	13.23 W	0.00	683 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	70.35	12.75 W	0.00	684 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	69.87	12.27 W	0.00	685 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	68.17	10.57 W	0.00	686 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	57.60	06/18/20	67.86	10.26 W	0.00	687 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	76.07	11.27 W	0.00	688 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	88.55	16.55 W	0.00	689 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	86.48	14.48 W	0.00	690 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	84.45	12.45 W	0.00	691 of 1804 - Sale <sup>25</sup>
	20.000	143.99	06/18/20	172.80	28.81 W	0.00	692 of 1804 - Sale <sup>25</sup>
	46.000	331.18	06/18/20	399.36	68.18 W	0.00	693 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	42.35	6.35 W	0.00	694 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	84.68	12.68 W	0.00	695 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.47	1.27 W	0.00	696 of 1804 - Sale <sup>25</sup>
	15.000	107.99	06/18/20	127.05	19.06 W	0.00	697 of 1804 - Sale <sup>25</sup>
	14.000	100.79	06/18/20	118.59	17.80 W	0.00	698 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.44	1.24 W	0.00	699 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	33.88	5.08 W	0.00	700 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	67.67	10.07 W	0.00	701 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	84.34	12.34 W	0.00	702 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	46.36	10.36 W	0.00	703 of 1804 - Sale <sup>25</sup>
	10.000	72.00	06/18/20	84.50	12.50 W	0.00	704 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	25.31	3.71 W	0.00	705 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	25.31	3.71 W	0.00	706 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	25.31	3.71 W	0.00	707 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	33.74	4.94 W	0.00	708 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	33.53	4.73 W	0.00	709 of 1804 - Sale <sup>25</sup>
	6.000	43.20	06/18/20	50.61	7.41 W	0.00	710 of 1804 - Sale <sup>25</sup>
	12.000	86.39	06/18/20	101.22	14.83 W	0.00	711 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	25.14	3.54 W	0.00	712 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	33.54	4.74 W	0.00	713 of 1804 - Sale <sup>25</sup>
	15.000	107.99	06/18/20	128.78	20.79 W	0.00	714 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.07	1.87 W	0.00	715 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.50	4.10 W	0.00	716 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.19	3.79 W	0.00	717 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.13	3.73 W	0.00	718 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	27.20	5.60 W	0.00	719 of 1804 - Sale <sup>25</sup>
	6.000	43.20	06/18/20	54.71	11.51 W	0.00	720 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	50.40	06/18/20	64.62	14.22 W	0.00	721 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	81.61	16.81 W	0.00	722 of 1804 - Sale <sup>25</sup>
	10.000	71.99	06/18/20	91.16	19.17 W	0.00	723 of 1804 - Sale <sup>25</sup>
	10.000	71.99	06/18/20	91.07	19.08 W	0.00	724 of 1804 - Sale <sup>25</sup>
	11.000	79.19	06/18/20	99.75	20.56 W	0.00	725 of 1804 - Sale <sup>25</sup>
	13.000	93.59	06/18/20	118.02	24.43 W	0.00	726 of 1804 - Sale <sup>25</sup>
	18.000	129.59	06/18/20	163.38	33.79 W	0.00	727 of 1804 - Sale <sup>25</sup>
	22.000	158.39	06/18/20	199.50	41.11 W	0.00	728 of 1804 - Sale <sup>25</sup>
	25.000	179.99	06/18/20	227.58	47.59 W	0.00	729 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.75	1.55 W	0.00	730 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.17	2.77 W	0.00	731 of 1804 - Sale <sup>25</sup>
	4.000	28.80	06/18/20	34.95	6.15 W	0.00	732 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	42.85	6.85 W	0.00	733 of 1804 - Sale <sup>25</sup>
	6.000	43.20	06/18/20	51.47	8.27 W	0.00	734 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	69.12	11.52 W	0.00	735 of 1804 - Sale <sup>25</sup>
	11.000	79.19	06/18/20	94.34	15.15 W	0.00	736 of 1804 - Sale <sup>25</sup>
	22.000	158.39	06/18/20	192.52	34.13 W	0.00	737 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.06	1.86 W	0.00	738 of 1804 - Sale <sup>25</sup>
	6.000	43.20	06/18/20	53.57	10.37 W	0.00	739 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.71	1.51 W	0.00	740 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.98	1.78 W	0.00	741 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.33	2.93 W	0.00	742 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.65	3.25 W	0.00	743 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	26.09	4.49 W	0.00	744 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	43.58	7.58 W	0.00	745 of 1804 - Sale <sup>25</sup>
	9.000	64.79	06/18/20	77.97	13.18 W	0.00	746 of 1804 - Sale <sup>25</sup>
	43.000	309.58	06/18/20	373.94	64.36 W	0.00	747 of 1804 - Sale <sup>25</sup>
	8.000	57.60	06/18/20	71.96	14.36 W	0.00	748 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.01	1.81 W	0.00	749 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.01	3.61 W	0.00	750 of 1804 - Sale <sup>25</sup>
	9.000	64.79	06/18/20	80.95	16.16 W	0.00	751 of 1804 - Sale <sup>25</sup>
	23.000	165.59	06/18/20	206.42	40.83 W	0.00	752 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	27.24	5.64 W	0.00	753 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	62.82	12.42 W	0.00	754 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	28.000	201.58	06/18/20	254.37	52.79 W	0.00	755 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	8.88	1.68 W	0.00	756 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.09	1.89 W	0.00	757 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.00	1.80 W	0.00	758 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	17.91	3.51 W	0.00	759 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	45.09	9.09 W	0.00	760 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	62.69	12.29 W	0.00	761 of 1804 - Sale <sup>25</sup>
	9.000	64.80	06/18/20	80.51	15.71 W	0.00	762 of 1804 - Sale <sup>25</sup>
	10.000	71.99	06/18/20	90.58	18.59 W	0.00	763 of 1804 - Sale <sup>25</sup>
	11.000	79.19	06/18/20	98.52	19.33 W	0.00	764 of 1804 - Sale <sup>25</sup>
	13.000	93.59	06/18/20	116.34	22.75 W	0.00	765 of 1804 - Sale <sup>25</sup>
	15.000	107.99	06/18/20	135.27	27.28 W	0.00	766 of 1804 - Sale <sup>25</sup>
	26.000	187.18	06/18/20	232.91	45.73 W	0.00	767 of 1804 - Sale <sup>25</sup>
	1.000	7.20	06/18/20	9.03	1.83 W	0.00	768 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.11	3.71 W	0.00	769 of 1804 - Sale <sup>25</sup>
	2.000	14.40	06/18/20	18.01	3.61 W	0.00	770 of 1804 - Sale <sup>25</sup>
	3.000	21.60	06/18/20	27.43	5.83 W	0.00	771 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	45.42	9.42 W	0.00	772 of 1804 - Sale <sup>25</sup>
	5.000	36.00	06/18/20	45.26	9.26 W	0.00	773 of 1804 - Sale <sup>25</sup>
	7.000	50.40	06/18/20	63.11	12.71 W	0.00	774 of 1804 - Sale <sup>25</sup>
	10.000	71.99	06/18/20	90.62	18.63 W	0.00	775 of 1804 - Sale <sup>25</sup>
	10.000	71.99	06/18/20	90.26	18.27 W	0.00	776 of 1804 - Sale <sup>25</sup>
	11.000	79.19	06/18/20	99.73	20.54 W	0.00	777 of 1804 - Sale <sup>25</sup>
	20.000	143.99	06/18/20	181.34	37.35 W	0.00	778 of 1804 - Sale <sup>25</sup>
	28.000	201.58	06/18/20	252.50	50.92 W	0.00	779 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.02	1.72 W	0.00	780 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.06	1.76 W	0.00	781 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.08	3.48 W	0.00	782 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.07	5.17 W	0.00	783 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.06	8.56 W	0.00	784 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.06	8.56 W	0.00	785 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.14	12.04 W	0.00	786 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.15	12.05 W	0.00	787 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.02	1.72 W	0.00	788 of 1804 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	58.40	06/18/20	71.96	13.56 W	0.00	789 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	90.38	17.38 W	0.00	790 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	90.22	17.22 W	0.00	791 of 1804 - Sale <sup>25</sup>
	12.000	87.60	06/18/20	108.46	20.86 W	0.00	792 of 1804 - Sale <sup>25</sup>
	13.000	94.90	06/18/20	117.05	22.15 W	0.00	793 of 1804 - Sale <sup>25</sup>
	16.000	116.80	06/18/20	144.84	28.04 W	0.00	794 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.03	1.73 W	0.00	795 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.07	1.77 W	0.00	796 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.00	1.70 W	0.00	797 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.00	1.70 W	0.00	798 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.96	1.66 W	0.00	799 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.96	1.66 W	0.00	800 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.01	1.71 W	0.00	801 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.97	1.67 W	0.00	802 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.90	1.60 W	0.00	803 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.69	1.39 W	0.00	804 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.72	1.42 W	0.00	805 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.66	1.36 W	0.00	806 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.67	1.37 W	0.00	807 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.72	1.42 W	0.00	808 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.71	1.41 W	0.00	809 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.69	1.39 W	0.00	810 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.99	3.39 W	0.00	811 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.13	3.53 W	0.00	812 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.51	2.91 W	0.00	813 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.02	3.42 W	0.00	814 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.80	3.20 W	0.00	815 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.80	3.20 W	0.00	816 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.94	3.34 W	0.00	817 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.38	2.78 W	0.00	818 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.33	2.73 W	0.00	819 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.84	4.94 W	0.00	820 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.05	5.15 W	0.00	821 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.73	4.83 W	0.00	822 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.90	06/18/20	26.09	4.19 W	0.00	823 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.09	4.19 W	0.00	824 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.88	3.98 W	0.00	825 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	36.02	6.82 W	0.00	826 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.55	6.35 W	0.00	827 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.54	6.34 W	0.00	828 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.80	5.60 W	0.00	829 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	54.04	10.24 W	0.00	830 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	53.44	9.64 W	0.00	831 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.04	9.94 W	0.00	832 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	71.89	13.49 W	0.00	833 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	71.83	13.43 W	0.00	834 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	80.98	15.28 W	0.00	835 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	79.72	14.02 W	0.00	836 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	81.00	15.30 W	0.00	837 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	80.08	14.38 W	0.00	838 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	78.23	12.53 W	0.00	839 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	89.16	16.16 W	0.00	840 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	88.87	15.87 W	0.00	841 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	87.21	14.21 W	0.00	842 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	98.96	18.66 W	0.00	843 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	95.71	15.41 W	0.00	844 of 1804 - Sale <sup>25</sup>
	12.000	87.60	06/18/20	107.97	20.37 W	0.00	845 of 1804 - Sale <sup>25</sup>
	12.000	87.60	06/18/20	106.77	19.17 W	0.00	846 of 1804 - Sale <sup>25</sup>
	15.000	109.50	06/18/20	129.43	19.93 W	0.00	847 of 1804 - Sale <sup>25</sup>
	20.000	146.00	06/18/20	181.37	35.37 W	0.00	848 of 1804 - Sale <sup>25</sup>
	25.000	182.50	06/18/20	223.22	40.72 W	0.00	849 of 1804 - Sale <sup>25</sup>
	28.000	204.39	06/18/20	251.42	47.03 W	0.00	850 of 1804 - Sale <sup>25</sup>
	29.000	211.69	06/18/20	261.25	49.56 W	0.00	851 of 1804 - Sale <sup>25</sup>
	33.000	240.89	06/18/20	296.96	56.07 W	0.00	852 of 1804 - Sale <sup>25</sup>
	38.000	277.39	06/18/20	341.55	64.16 W	0.00	853 of 1804 - Sale <sup>25</sup>
	42.000	306.59	06/18/20	366.15	59.56 W	0.00	854 of 1804 - Sale <sup>25</sup>
	46.000	335.79	06/18/20	414.84	79.05 W	0.00	855 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.43	1.13 W	0.00	856 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.30	06/18/20	8.43	1.13 W	0.00	857 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.66	1.36 W	0.00	858 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.69	1.39 W	0.00	859 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.80	1.50 W	0.00	860 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.78	1.48 W	0.00	861 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.92	1.62 W	0.00	862 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	16.98	2.38 W	0.00	863 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.37	2.77 W	0.00	864 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.35	2.75 W	0.00	865 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.34	2.74 W	0.00	866 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.31	2.71 W	0.00	867 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.56	2.96 W	0.00	868 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.60	3.00 W	0.00	869 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.60	3.00 W	0.00	870 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.54	2.94 W	0.00	871 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.89	3.99 W	0.00	872 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.38	3.48 W	0.00	873 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.76	5.56 W	0.00	874 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.26	6.76 W	0.00	875 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	59.07	7.97 W	0.00	876 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	60.69	9.59 W	0.00	877 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.58	10.48 W	0.00	878 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.22	10.12 W	0.00	879 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	76.84	11.14 W	0.00	880 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	79.17	13.47 W	0.00	881 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.42	12.42 W	0.00	882 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	87.33	14.33 W	0.00	883 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	88.00	15.00 W	0.00	884 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	87.90	14.90 W	0.00	885 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	95.49	15.19 W	0.00	886 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	96.80	16.50 W	0.00	887 of 1804 - Sale <sup>25</sup>
	13.000	94.90	06/18/20	113.00	18.10 W	0.00	888 of 1804 - Sale <sup>25</sup>
	13.000	94.90	06/18/20	114.14	19.24 W	0.00	889 of 1804 - Sale <sup>25</sup>
	15.000	109.50	06/18/20	126.57	17.07 W	0.00	890 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
20.000		146.00	06/18/20	170.73	24.73 W	0.00	891 of 1804 - Sale <sup>25</sup>
20.000		146.00	06/18/20	168.54	22.54 W	0.00	892 of 1804 - Sale <sup>25</sup>
23.000		167.89	06/18/20	201.24	33.35 W	0.00	893 of 1804 - Sale <sup>25</sup>
29.000		211.69	06/18/20	252.08	40.39 W	0.00	894 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.88	1.58 W	0.00	895 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.84	1.54 W	0.00	896 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.66	1.36 W	0.00	897 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.66	1.36 W	0.00	898 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.28	0.98 W	0.00	899 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.45	1.15 W	0.00	900 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.73	3.13 W	0.00	901 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.61	3.01 W	0.00	902 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.37	2.77 W	0.00	903 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	16.40	1.80 W	0.00	904 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.14	2.54 W	0.00	905 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.28	2.68 W	0.00	906 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	24.86	2.96 W	0.00	907 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	25.90	4.00 W	0.00	908 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	43.12	6.62 W	0.00	909 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	41.36	4.86 W	0.00	910 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	41.25	4.75 W	0.00	911 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	41.02	4.52 W	0.00	912 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	40.86	4.36 W	0.00	913 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	43.16	6.66 W	0.00	914 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	43.21	6.71 W	0.00	915 of 1804 - Sale <sup>25</sup>
6.000		43.80	06/18/20	49.63	5.83 W	0.00	916 of 1804 - Sale <sup>25</sup>
7.000		51.10	06/18/20	60.64	9.54 W	0.00	917 of 1804 - Sale <sup>25</sup>
7.000		51.10	06/18/20	58.03	6.93 W	0.00	918 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	71.25	12.85 W	0.00	919 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	66.35	7.95 W	0.00	920 of 1804 - Sale <sup>25</sup>
10.000		73.00	06/18/20	82.71	9.71 W	0.00	921 of 1804 - Sale <sup>25</sup>
10.000		73.00	06/18/20	82.11	9.11 W	0.00	922 of 1804 - Sale <sup>25</sup>
11.000		80.30	06/18/20	94.50	14.20 W	0.00	923 of 1804 - Sale <sup>25</sup>
13.000		94.90	06/18/20	111.41	16.51 W	0.00	924 of 1804 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
13.000		94.90	06/18/20	112.35	17.45 W	0.00	925 of 1804 - Sale <sup>25</sup>
15.000		109.50	06/18/20	132.22	22.72 W	0.00	926 of 1804 - Sale <sup>25</sup>
19.000		138.70	06/18/20	167.30	28.60 W	0.00	927 of 1804 - Sale <sup>25</sup>
33.000		240.89	06/18/20	270.40	29.51 W	0.00	928 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.86	1.56 W	0.00	929 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.85	1.55 W	0.00	930 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.81	1.51 W	0.00	931 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.65	1.35 W	0.00	932 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.35	2.75 W	0.00	933 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	43.89	7.39 W	0.00	934 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	43.35	6.85 W	0.00	935 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	43.31	6.81 W	0.00	936 of 1804 - Sale <sup>25</sup>
7.000		51.10	06/18/20	60.93	9.83 W	0.00	937 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	70.19	11.79 W	0.00	938 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	69.71	11.31 W	0.00	939 of 1804 - Sale <sup>25</sup>
10.000		73.00	06/18/20	87.75	14.75 W	0.00	940 of 1804 - Sale <sup>25</sup>
46.000		335.79	06/18/20	395.70	59.91 W	0.00	941 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.15	1.85 W	0.00	942 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.14	1.84 W	0.00	943 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.96	1.66 W	0.00	944 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.17	1.87 W	0.00	945 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.08	1.78 W	0.00	946 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.66	4.06 W	0.00	947 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.35	3.75 W	0.00	948 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.29	3.69 W	0.00	949 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.07	3.47 W	0.00	950 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.44	5.54 W	0.00	951 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	46.76	10.26 W	0.00	952 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	45.49	8.99 W	0.00	953 of 1804 - Sale <sup>25</sup>
6.000		43.80	06/18/20	55.19	11.39 W	0.00	954 of 1804 - Sale <sup>25</sup>
6.000		43.80	06/18/20	54.05	10.25 W	0.00	955 of 1804 - Sale <sup>25</sup>
7.000		51.10	06/18/20	65.18	14.08 W	0.00	956 of 1804 - Sale <sup>25</sup>
7.000		51.10	06/18/20	63.25	12.15 W	0.00	957 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	82.33	16.63 W	0.00	958 of 1804 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	65.70	06/18/20	81.23	15.53 W	0.00	959 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.30	12.30 W	0.00	960 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	91.97	18.97 W	0.00	961 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	91.88	18.88 W	0.00	962 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	91.39	18.39 W	0.00	963 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	100.64	20.34 W	0.00	964 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	99.41	19.11 W	0.00	965 of 1804 - Sale <sup>25</sup>
	13.000	94.90	06/18/20	119.07	24.17 W	0.00	966 of 1804 - Sale <sup>25</sup>
	13.000	94.90	06/18/20	117.39	22.49 W	0.00	967 of 1804 - Sale <sup>25</sup>
	15.000	109.50	06/18/20	136.48	26.98 W	0.00	968 of 1804 - Sale <sup>25</sup>
	18.000	131.40	06/18/20	164.83	33.43 W	0.00	969 of 1804 - Sale <sup>25</sup>
	22.000	160.59	06/18/20	201.27	40.68 W	0.00	970 of 1804 - Sale <sup>25</sup>
	25.000	182.49	06/18/20	229.59	47.10 W	0.00	971 of 1804 - Sale <sup>25</sup>
	26.000	189.79	06/18/20	235.00	45.21 W	0.00	972 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.53	1.23 W	0.00	973 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.55	1.25 W	0.00	974 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.52	1.22 W	0.00	975 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.83	1.53 W	0.00	976 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.79	1.49 W	0.00	977 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.06	1.76 W	0.00	978 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.09	1.79 W	0.00	979 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.11	1.81 W	0.00	980 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.33	2.73 W	0.00	981 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.49	2.89 W	0.00	982 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.81	3.21 W	0.00	983 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.17	3.57 W	0.00	984 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.27	3.67 W	0.00	985 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.17	3.57 W	0.00	986 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.39	4.49 W	0.00	987 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.26	4.36 W	0.00	988 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.83	3.93 W	0.00	989 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.55	3.65 W	0.00	990 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.55	3.65 W	0.00	991 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.55	3.65 W	0.00	992 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.90	06/18/20	25.38	3.48 W	0.00	993 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.33	4.43 W	0.00	994 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.48	5.58 W	0.00	995 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.67	5.77 W	0.00	996 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.20	5.00 W	0.00	997 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.06	4.86 W	0.00	998 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	33.85	4.65 W	0.00	999 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	33.86	4.66 W	0.00	1000 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.27	6.07 W	0.00	1001 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.09	6.59 W	0.00	1002 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	42.75	6.25 W	0.00	1003 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.25	6.75 W	0.00	1004 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.98	7.48 W	0.00	1005 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.82	9.32 W	0.00	1006 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.66	9.16 W	0.00	1007 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	52.44	8.64 W	0.00	1008 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	51.09	7.29 W	0.00	1009 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	51.95	8.15 W	0.00	1010 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.70	10.60 W	0.00	1011 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	60.30	9.20 W	0.00	1012 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.38	12.28 W	0.00	1013 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.67	12.57 W	0.00	1014 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	68.81	10.41 W	0.00	1015 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	68.50	10.10 W	0.00	1016 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	68.31	9.91 W	0.00	1017 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	69.76	11.36 W	0.00	1018 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	72.60	14.20 W	0.00	1019 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	76.79	11.09 W	0.00	1020 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	78.70	13.00 W	0.00	1021 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	81.68	15.98 W	0.00	1022 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	87.28	14.28 W	0.00	1023 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.25	12.25 W	0.00	1024 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.48	12.48 W	0.00	1025 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.14	12.14 W	0.00	1026 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		73.00	06/18/20	91.43	18.43 W	0.00	1027 of 1804 - Sale <sup>25</sup>
10.000		73.00	06/18/20	91.07	18.07 W	0.00	1028 of 1804 - Sale <sup>25</sup>
11.000		80.30	06/18/20	95.23	14.93 W	0.00	1029 of 1804 - Sale <sup>25</sup>
11.000		80.30	06/18/20	100.62	20.32 W	0.00	1030 of 1804 - Sale <sup>25</sup>
12.000		87.60	06/18/20	102.19	14.59 W	0.00	1031 of 1804 - Sale <sup>25</sup>
14.000		102.20	06/18/20	119.72	17.52 W	0.00	1032 of 1804 - Sale <sup>25</sup>
15.000		109.50	06/18/20	128.26	18.76 W	0.00	1033 of 1804 - Sale <sup>25</sup>
15.000		109.49	06/18/20	129.99	20.50 W	0.00	1034 of 1804 - Sale <sup>25</sup>
20.000		145.99	06/18/20	174.41	28.42 W	0.00	1035 of 1804 - Sale <sup>25</sup>
20.000		145.99	06/18/20	182.95	36.96 W	0.00	1036 of 1804 - Sale <sup>25</sup>
22.000		160.59	06/18/20	194.29	33.70 W	0.00	1037 of 1804 - Sale <sup>25</sup>
23.000		167.89	06/18/20	208.27	40.38 W	0.00	1038 of 1804 - Sale <sup>25</sup>
28.000		204.39	06/18/20	256.62	52.23 W	0.00	1039 of 1804 - Sale <sup>25</sup>
28.000		204.39	06/18/20	254.75	50.36 W	0.00	1040 of 1804 - Sale <sup>25</sup>
43.000		313.89	06/18/20	377.39	63.50 W	0.00	1041 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.12	1.82 W	0.00	1042 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.16	1.86 W	0.00	1043 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.17	3.57 W	0.00	1044 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.31	3.71 W	0.00	1045 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.11	5.21 W	0.00	1046 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.32	5.42 W	0.00	1047 of 1804 - Sale <sup>25</sup>
4.000		29.20	06/18/20	36.38	7.18 W	0.00	1048 of 1804 - Sale <sup>25</sup>
6.000		43.80	06/18/20	54.58	10.78 W	0.00	1049 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	72.61	14.21 W	0.00	1050 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	81.79	16.09 W	0.00	1051 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	80.53	14.83 W	0.00	1052 of 1804 - Sale <sup>25</sup>
11.000		80.29	06/18/20	99.95	19.66 W	0.00	1053 of 1804 - Sale <sup>25</sup>
12.000		87.60	06/18/20	109.05	21.45 W	0.00	1054 of 1804 - Sale <sup>25</sup>
9.000		65.69	06/18/20	80.89	15.20 W	0.00	1055 of 1804 - Sale <sup>25</sup>
20.000		145.99	06/18/20	183.17	37.18 W	0.00	1056 of 1804 - Sale <sup>25</sup>
29.000		211.69	06/18/20	263.87	52.18 W	0.00	1057 of 1804 - Sale <sup>25</sup>
33.000		240.89	06/18/20	299.94	59.05 W	0.00	1058 of 1804 - Sale <sup>25</sup>
38.000		277.39	06/18/20	344.98	67.59 W	0.00	1059 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.09	1.79 W	0.00	1060 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.30	06/18/20	9.09	1.79 W	0.00	1061 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.05	1.75 W	0.00	1062 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.05	1.75 W	0.00	1063 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.10	1.80 W	0.00	1064 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.06	1.76 W	0.00	1065 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.99	1.69 W	0.00	1066 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.78	1.48 W	0.00	1067 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.81	1.51 W	0.00	1068 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.75	1.45 W	0.00	1069 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.76	1.46 W	0.00	1070 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.81	1.51 W	0.00	1071 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.69	3.09 W	0.00	1072 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.20	3.60 W	0.00	1073 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.98	3.38 W	0.00	1074 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.98	3.38 W	0.00	1075 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.12	3.52 W	0.00	1076 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.00	5.10 W	0.00	1077 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.43	4.53 W	0.00	1078 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.91	6.71 W	0.00	1079 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.90	6.70 W	0.00	1080 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	53.98	10.18 W	0.00	1081 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	72.55	14.15 W	0.00	1082 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	81.81	16.11 W	0.00	1083 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	80.89	15.19 W	0.00	1084 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	79.04	13.34 W	0.00	1085 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	90.06	17.06 W	0.00	1086 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	89.77	16.77 W	0.00	1087 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.96	5.06 W	0.00	1088 of 1804 - Sale <sup>25</sup>
	25.000	182.49	06/18/20	225.47	42.98 W	0.00	1089 of 1804 - Sale <sup>25</sup>
	28.000	204.39	06/18/20	253.95	49.56 W	0.00	1090 of 1804 - Sale <sup>25</sup>
	46.000	335.78	06/18/20	418.99	83.21 W	0.00	1091 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.11	1.81 W	0.00	1092 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.11	1.81 W	0.00	1093 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.15	1.85 W	0.00	1094 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.60	06/18/20	18.26	3.66 W	0.00	1095 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.34	5.44 W	0.00	1096 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.51	9.01 W	0.00	1097 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.51	9.01 W	0.00	1098 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.77	12.67 W	0.00	1099 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.78	12.68 W	0.00	1100 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	72.68	14.28 W	0.00	1101 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	91.28	18.28 W	0.00	1102 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	91.12	18.13 W	0.00	1103 of 1804 - Sale <sup>25</sup>
	12.000	87.59	06/18/20	109.54	21.95 W	0.00	1104 of 1804 - Sale <sup>25</sup>
	13.000	94.89	06/18/20	118.22	23.33 W	0.00	1105 of 1804 - Sale <sup>25</sup>
	16.000	116.79	06/18/20	146.28	29.49 W	0.00	1106 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.76	1.46 W	0.00	1107 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.74	1.44 W	0.00	1108 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.48	2.88 W	0.00	1109 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.24	4.34 W	0.00	1110 of 1804 - Sale <sup>25</sup>
	3.000	21.89	06/18/20	26.24	4.35 W	0.00	1111 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.08	5.88 W	0.00	1112 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.00	5.80 W	0.00	1113 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	87.70	14.71 W	0.00	1114 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	96.25	15.95 W	0.00	1115 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.77	1.47 W	0.00	1116 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.43	2.83 W	0.00	1117 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.03	4.13 W	0.00	1118 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	52.06	8.26 W	0.00	1119 of 1804 - Sale <sup>25</sup>
	41.000	299.29	06/18/20	359.43	60.14 W	0.00	1120 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.03	4.13 W	0.00	1121 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	36.87	7.67 W	0.00	1122 of 1804 - Sale <sup>25</sup>
	13.000	94.90	06/18/20	119.46	24.56 W	0.00	1123 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	36.75	7.55 W	0.00	1124 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.35	3.75 W	0.00	1125 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.35	3.75 W	0.00	1126 of 1804 - Sale <sup>25</sup>
	14.000	102.20	06/18/20	128.62	26.42 W	0.00	1127 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.18	1.88 W	0.00	1128 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.30	06/18/20	9.17	1.87 W	0.00	1129 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.99	1.69 W	0.00	1130 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.18	1.88 W	0.00	1131 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	54.23	10.43 W	0.00	1132 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	82.60	16.90 W	0.00	1133 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	100.97	20.67 W	0.00	1134 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.18	5.28 W	0.00	1135 of 1804 - Sale <sup>25</sup>
	22.000	160.59	06/18/20	201.93	41.34 W	0.00	1136 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.13	3.53 W	0.00	1137 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.34	8.84 W	0.00	1138 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	90.60	17.60 W	0.00	1139 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.46	12.36 W	0.00	1140 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	54.40	10.60 W	0.00	1141 of 1804 - Sale <sup>25</sup>
	17.000	124.10	06/18/20	154.16	30.06 W	0.00	1142 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.20	1.90 W	0.00	1143 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.11	1.81 W	0.00	1144 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.64	9.14 W	0.00	1145 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	81.50	15.80 W	0.00	1146 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	91.69	18.69 W	0.00	1147 of 1804 - Sale <sup>25</sup>
	15.000	109.49	06/18/20	136.93	27.44 W	0.00	1148 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	81.62	15.92 W	0.00	1149 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.91	1.61 W	0.00	1150 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.87	1.57 W	0.00	1151 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.69	1.39 W	0.00	1152 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.69	1.39 W	0.00	1153 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.31	1.01 W	0.00	1154 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.79	3.19 W	0.00	1155 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.67	3.07 W	0.00	1156 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.43	2.83 W	0.00	1157 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.27	6.77 W	0.00	1158 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	41.51	5.01 W	0.00	1159 of 1804 - Sale <sup>25</sup>
	5.000	36.49	06/18/20	41.40	4.91 W	0.00	1160 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	49.81	6.01 W	0.00	1161 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	60.85	9.75 W	0.00	1162 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.60	06/18/20	16.64	2.04 W	0.00	1163 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	71.49	13.09 W	0.00	1164 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	83.01	10.02 W	0.00	1165 of 1804 - Sale <sup>25</sup>
	15.000	109.49	06/18/20	132.67	23.18 W	0.00	1166 of 1804 - Sale <sup>25</sup>
	19.000	138.69	06/18/20	167.87	29.18 W	0.00	1167 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.68	1.38 W	0.00	1168 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.71	5.51 W	0.00	1169 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.66	1.36 W	0.00	1170 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.04	2.44 W	0.00	1171 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.98	4.08 W	0.00	1172 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.47	3.57 W	0.00	1173 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.72	12.72 W	0.00	1174 of 1804 - Sale <sup>25</sup>
	15.000	109.49	06/18/20	127.02	17.53 W	0.00	1175 of 1804 - Sale <sup>25</sup>
	20.000	146.00	06/18/20	171.33	25.33 W	0.00	1176 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	42.29	5.79 W	0.00	1177 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.46	1.16 W	0.00	1178 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.46	1.16 W	0.00	1179 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.69	1.39 W	0.00	1180 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.72	1.42 W	0.00	1181 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.83	1.53 W	0.00	1182 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.43	2.83 W	0.00	1183 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.41	2.81 W	0.00	1184 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.40	2.80 W	0.00	1185 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.37	2.77 W	0.00	1186 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.62	3.02 W	0.00	1187 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.66	3.06 W	0.00	1188 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.66	3.06 W	0.00	1189 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.60	3.00 W	0.00	1190 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.88	5.68 W	0.00	1191 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.41	6.91 W	0.00	1192 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	59.28	8.18 W	0.00	1193 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	60.90	9.80 W	0.00	1194 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.79	10.69 W	0.00	1195 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.43	10.33 W	0.00	1196 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	65.70	06/18/20	77.11	11.41 W	0.00	1197 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	79.44	13.74 W	0.00	1198 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	87.63	14.63 W	0.00	1199 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	88.30	15.31 W	0.00	1200 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	88.20	15.21 W	0.00	1201 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	95.82	15.52 W	0.00	1202 of 1804 - Sale <sup>25</sup>
	11.000	80.29	06/18/20	97.13	16.84 W	0.00	1203 of 1804 - Sale <sup>25</sup>
	13.000	94.89	06/18/20	113.39	18.50 W	0.00	1204 of 1804 - Sale <sup>25</sup>
	15.000	109.50	06/18/20	126.85	17.35 W	0.00	1205 of 1804 - Sale <sup>25</sup>
	13.000	94.89	06/18/20	114.14	19.25 W	0.00	1206 of 1804 - Sale <sup>25</sup>
	29.000	211.69	06/18/20	252.96	41.27 W	0.00	1207 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.81	1.51 W	0.00	1208 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.95	1.65 W	0.00	1209 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.72	4.12 W	0.00	1210 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.41	3.81 W	0.00	1211 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	46.91	10.41 W	0.00	1212 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	55.37	11.57 W	0.00	1213 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	65.39	14.29 W	0.00	1214 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.60	12.60 W	0.00	1215 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	92.27	19.28 W	0.00	1216 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	55.31	11.51 W	0.00	1217 of 1804 - Sale <sup>25</sup>
	13.000	94.90	06/18/20	114.53	19.63 W	0.00	1218 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	87.80	14.80 W	0.00	1219 of 1804 - Sale <sup>25</sup>
	25.000	182.48	06/18/20	230.34	47.86 W	0.00	1220 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	41.60	5.10 W	0.00	1221 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	49.94	6.14 W	0.00	1222 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	24.95	3.05 W	0.00	1223 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	16.47	1.87 W	0.00	1224 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	16.65	2.05 W	0.00	1225 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	16.46	1.86 W	0.00	1226 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	24.70	2.80 W	0.00	1227 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	16.48	1.88 W	0.00	1228 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.48	1.18 W	0.00	1229 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.20	2.60 W	0.00	1230 of 1804 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.50	06/18/20	41.01	4.51 W	0.00	1231 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	65.93	7.53 W	0.00	1232 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	94.83	14.53 W	0.00	1233 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	51.60	7.80 W	0.00	1234 of 1804 - Sale <sup>25</sup>
	33.000	240.89	06/18/20	271.39	30.50 W	0.00	1235 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.99	4.09 W	0.00	1236 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	60.20	9.10 W	0.00	1237 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.32	2.72 W	0.00	1238 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.67	1.37 W	0.00	1239 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.02	4.12 W	0.00	1240 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.89	1.59 W	0.00	1241 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.88	1.58 W	0.00	1242 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.84	1.54 W	0.00	1243 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.68	1.38 W	0.00	1244 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.56	1.26 W	0.00	1245 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.58	1.28 W	0.00	1246 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.34	2.74 W	0.00	1247 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.41	2.81 W	0.00	1248 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.99	4.09 W	0.00	1249 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.48	4.58 W	0.00	1250 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.35	4.45 W	0.00	1251 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.92	4.02 W	0.00	1252 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.33	5.13 W	0.00	1253 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.36	6.86 W	0.00	1254 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	44.04	7.54 W	0.00	1255 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.50	7.00 W	0.00	1256 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.46	6.96 W	0.00	1257 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.24	6.74 W	0.00	1258 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	42.90	6.40 W	0.00	1259 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	52.62	8.82 W	0.00	1260 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.14	10.04 W	0.00	1261 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	61.91	10.81 W	0.00	1262 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	60.51	9.41 W	0.00	1263 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	70.43	12.03 W	0.00	1264 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	58.40	06/18/20	69.95	11.55 W	0.00	1265 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	69.05	10.65 W	0.00	1266 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	68.73	10.33 W	0.00	1267 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	77.06	11.36 W	0.00	1268 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	88.05	15.05 W	0.00	1269 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	87.58	14.58 W	0.00	1270 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.54	12.54 W	0.00	1271 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.77	12.77 W	0.00	1272 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	78.05	12.35 W	0.00	1273 of 1804 - Sale <sup>25</sup>
	15.000	109.49	06/18/20	128.70	19.21 W	0.00	1274 of 1804 - Sale <sup>25</sup>
	20.000	145.99	06/18/20	175.01	29.02 W	0.00	1275 of 1804 - Sale <sup>25</sup>
	46.000	335.79	06/18/20	397.08	61.29 W	0.00	1276 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.57	1.27 W	0.00	1277 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.88	1.58 W	0.00	1278 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.43	2.83 W	0.00	1279 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.70	3.80 W	0.00	1280 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.70	3.80 W	0.00	1281 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.70	3.80 W	0.00	1282 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	25.53	3.63 W	0.00	1283 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.48	4.58 W	0.00	1284 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.40	5.20 W	0.00	1285 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.26	5.06 W	0.00	1286 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.05	4.85 W	0.00	1287 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	34.06	4.86 W	0.00	1288 of 1804 - Sale <sup>25</sup>
	4.000	29.20	06/18/20	35.47	6.27 W	0.00	1289 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	43.50	7.00 W	0.00	1290 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	44.23	7.73 W	0.00	1291 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	51.39	7.59 W	0.00	1292 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	52.25	8.45 W	0.00	1293 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	68.71	10.31 W	0.00	1294 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	70.16	11.76 W	0.00	1295 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	86.01	13.01 W	0.00	1296 of 1804 - Sale <sup>25</sup>
	10.000	73.00	06/18/20	85.64	12.64 W	0.00	1297 of 1804 - Sale <sup>25</sup>
	11.000	80.30	06/18/20	95.78	15.48 W	0.00	1298 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
12.000		87.60	06/18/20	102.79	15.19 W	0.00	1299 of 1804 - Sale <sup>25</sup>
15.000		109.49	06/18/20	130.75	21.26 W	0.00	1300 of 1804 - Sale <sup>25</sup>
22.000		160.59	06/18/20	195.40	34.81 W	0.00	1301 of 1804 - Sale <sup>25</sup>
43.000		313.88	06/18/20	379.55	65.67 W	0.00	1302 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.82	1.52 W	0.00	1303 of 1804 - Sale <sup>25</sup>
4.000		29.20	06/18/20	35.10	5.90 W	0.00	1304 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.15	1.85 W	0.00	1305 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.19	1.89 W	0.00	1306 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.12	1.82 W	0.00	1307 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.12	1.82 W	0.00	1308 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.08	1.78 W	0.00	1309 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.08	1.78 W	0.00	1310 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.13	1.83 W	0.00	1311 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.09	1.79 W	0.00	1312 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.01	1.71 W	0.00	1313 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.23	3.63 W	0.00	1314 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.37	3.77 W	0.00	1315 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.75	3.15 W	0.00	1316 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.26	3.66 W	0.00	1317 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.04	3.44 W	0.00	1318 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.20	5.30 W	0.00	1319 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.41	5.51 W	0.00	1320 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.05	5.15 W	0.00	1321 of 1804 - Sale <sup>25</sup>
4.000		29.20	06/18/20	36.50	7.30 W	0.00	1322 of 1804 - Sale <sup>25</sup>
4.000		29.20	06/18/20	36.03	6.83 W	0.00	1323 of 1804 - Sale <sup>25</sup>
6.000		43.80	06/18/20	54.76	10.96 W	0.00	1324 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	72.85	14.45 W	0.00	1325 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	72.79	14.39 W	0.00	1326 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	82.06	16.36 W	0.00	1327 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	80.80	15.10 W	0.00	1328 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	81.17	15.47 W	0.00	1329 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	82.08	16.38 W	0.00	1330 of 1804 - Sale <sup>25</sup>
10.000		73.00	06/18/20	90.36	17.36 W	0.00	1331 of 1804 - Sale <sup>25</sup>
11.000		80.30	06/18/20	100.29	19.99 W	0.00	1332 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
12.000		87.60	06/18/20	109.41	21.81 W	0.00	1333 of 1804 - Sale <sup>25</sup>
20.000		145.99	06/18/20	183.78	37.79 W	0.00	1334 of 1804 - Sale <sup>25</sup>
25.000		182.49	06/18/20	226.23	43.74 W	0.00	1335 of 1804 - Sale <sup>25</sup>
28.000		204.39	06/18/20	254.80	50.41 W	0.00	1336 of 1804 - Sale <sup>25</sup>
29.000		211.69	06/18/20	264.75	53.06 W	0.00	1337 of 1804 - Sale <sup>25</sup>
33.000		240.89	06/18/20	300.94	60.05 W	0.00	1338 of 1804 - Sale <sup>25</sup>
38.000		277.39	06/18/20	346.13	68.74 W	0.00	1339 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.09	1.79 W	0.00	1340 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.12	1.82 W	0.00	1341 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	9.14	1.84 W	0.00	1342 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.55	2.95 W	0.00	1343 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	17.87	3.27 W	0.00	1344 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.23	3.63 W	0.00	1345 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.33	3.73 W	0.00	1346 of 1804 - Sale <sup>25</sup>
2.000		14.60	06/18/20	18.23	3.63 W	0.00	1347 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.57	5.67 W	0.00	1348 of 1804 - Sale <sup>25</sup>
3.000		21.90	06/18/20	27.76	5.86 W	0.00	1349 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	43.87	7.37 W	0.00	1350 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	45.97	9.47 W	0.00	1351 of 1804 - Sale <sup>25</sup>
5.000		36.50	06/18/20	45.81	9.31 W	0.00	1352 of 1804 - Sale <sup>25</sup>
7.000		51.10	06/18/20	63.59	12.49 W	0.00	1353 of 1804 - Sale <sup>25</sup>
7.000		51.10	06/18/20	63.88	12.78 W	0.00	1354 of 1804 - Sale <sup>25</sup>
8.000		58.40	06/18/20	72.84	14.44 W	0.00	1355 of 1804 - Sale <sup>25</sup>
9.000		65.70	06/18/20	81.95	16.25 W	0.00	1356 of 1804 - Sale <sup>25</sup>
10.000		72.99	06/18/20	91.73	18.74 W	0.00	1357 of 1804 - Sale <sup>25</sup>
10.000		72.99	06/18/20	91.37	18.38 W	0.00	1358 of 1804 - Sale <sup>25</sup>
11.000		80.29	06/18/20	100.95	20.66 W	0.00	1359 of 1804 - Sale <sup>25</sup>
20.000		145.99	06/18/20	183.56	37.57 W	0.00	1360 of 1804 - Sale <sup>25</sup>
23.000		167.89	06/18/20	208.97	41.08 W	0.00	1361 of 1804 - Sale <sup>25</sup>
28.000		204.38	06/18/20	257.47	53.09 W	0.00	1362 of 1804 - Sale <sup>25</sup>
28.000		204.38	06/18/20	255.60	51.22 W	0.00	1363 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.93	1.63 W	0.00	1364 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.72	1.42 W	0.00	1365 of 1804 - Sale <sup>25</sup>
1.000		7.30	06/18/20	8.75	1.45 W	0.00	1366 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.30	06/18/20	8.69	1.39 W	0.00	1367 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.70	1.40 W	0.00	1368 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	8.75	1.45 W	0.00	1369 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	17.86	3.26 W	0.00	1370 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.00	3.40 W	0.00	1371 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.74	4.84 W	0.00	1372 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.82	4.92 W	0.00	1373 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	26.25	4.35 W	0.00	1374 of 1804 - Sale <sup>25</sup>
	6.000	43.80	06/18/20	53.62	9.82 W	0.00	1375 of 1804 - Sale <sup>25</sup>
	9.000	65.70	06/18/20	80.35	14.65 W	0.00	1376 of 1804 - Sale <sup>25</sup>
	9.000	65.69	06/18/20	78.50	12.81 W	0.00	1377 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	89.17	16.18 W	0.00	1378 of 1804 - Sale <sup>25</sup>
	46.000	335.77	06/18/20	416.25	80.48 W	0.00	1379 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.05	1.75 W	0.00	1380 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.04	1.74 W	0.00	1381 of 1804 - Sale <sup>25</sup>
	1.000	7.30	06/18/20	9.08	1.78 W	0.00	1382 of 1804 - Sale <sup>25</sup>
	2.000	14.60	06/18/20	18.12	3.52 W	0.00	1383 of 1804 - Sale <sup>25</sup>
	3.000	21.90	06/18/20	27.12	5.22 W	0.00	1384 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.15	8.65 W	0.00	1385 of 1804 - Sale <sup>25</sup>
	5.000	36.50	06/18/20	45.15	8.65 W	0.00	1386 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.27	12.17 W	0.00	1387 of 1804 - Sale <sup>25</sup>
	7.000	51.10	06/18/20	63.27	12.17 W	0.00	1388 of 1804 - Sale <sup>25</sup>
	8.000	58.40	06/18/20	72.10	13.70 W	0.00	1389 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	90.56	17.57 W	0.00	1390 of 1804 - Sale <sup>25</sup>
	10.000	72.99	06/18/20	90.41	17.42 W	0.00	1391 of 1804 - Sale <sup>25</sup>
	12.000	87.59	06/18/20	108.69	21.10 W	0.00	1392 of 1804 - Sale <sup>25</sup>
	13.000	94.89	06/18/20	117.29	22.40 W	0.00	1393 of 1804 - Sale <sup>25</sup>
	16.000	116.78	06/18/20	145.14	28.36 W	0.00	1394 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.69	1.38 W	0.00	1395 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.67	1.36 W	0.00	1396 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.70	1.39 W	0.00	1397 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.61	1.30 W	0.00	1398 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.34	2.71 W	0.00	1399 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.29	2.66 W	0.00	1400 of 1804 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.94	06/18/20	26.03	4.09 W	0.00	1401 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	26.04	4.10 W	0.00	1402 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	25.82	3.88 W	0.00	1403 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	25.82	3.88 W	0.00	1404 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	34.80	5.54 W	0.00	1405 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	34.72	5.46 W	0.00	1406 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	34.43	5.17 W	0.00	1407 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	51.64	7.75 W	0.00	1408 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	87.01	13.86 W	0.00	1409 of 1804 - Sale <sup>25</sup>
	11.000	80.46	06/18/20	95.48	15.02 W	0.00	1410 of 1804 - Sale <sup>25</sup>
	41.000	299.90	06/18/20	356.57	56.67 W	0.00	1411 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.60	1.29 W	0.00	1412 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.40	1.09 W	0.00	1413 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.40	1.09 W	0.00	1414 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	16.92	2.29 W	0.00	1415 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.31	2.68 W	0.00	1416 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	25.80	3.86 W	0.00	1417 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	25.29	3.35 W	0.00	1418 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	41.99	5.42 W	0.00	1419 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	52.22	8.33 W	0.00	1420 of 1804 - Sale <sup>25</sup>
	7.000	51.20	06/18/20	58.86	7.66 W	0.00	1421 of 1804 - Sale <sup>25</sup>
	9.000	65.83	06/18/20	76.57	10.74 W	0.00	1422 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	85.12	11.97 W	0.00	1423 of 1804 - Sale <sup>25</sup>
	15.000	109.72	06/18/20	126.13	16.41 W	0.00	1424 of 1804 - Sale <sup>25</sup>
	15.000	109.72	06/18/20	125.95	16.23 W	0.00	1425 of 1804 - Sale <sup>25</sup>
	20.000	146.30	06/18/20	170.13	23.83 W	0.00	1426 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.65	1.34 W	0.00	1427 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.68	1.37 W	0.00	1428 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.33	2.70 W	0.00	1429 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.32	2.69 W	0.00	1430 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.29	2.66 W	0.00	1431 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.54	2.91 W	0.00	1432 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.58	2.95 W	0.00	1433 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	34.89	5.63 W	0.00	1434 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.26	06/18/20	34.72	5.46 W	0.00	1435 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	43.21	6.64 W	0.00	1436 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	52.75	8.86 W	0.00	1437 of 1804 - Sale <sup>25</sup>
	7.000	51.20	06/18/20	60.62	9.42 W	0.00	1438 of 1804 - Sale <sup>25</sup>
	9.000	65.83	06/18/20	79.08	13.25 W	0.00	1439 of 1804 - Sale <sup>25</sup>
	11.000	80.46	06/18/20	95.38	14.92 W	0.00	1440 of 1804 - Sale <sup>25</sup>
	13.000	95.09	06/18/20	112.88	17.79 W	0.00	1441 of 1804 - Sale <sup>25</sup>
	29.000	212.13	06/18/20	251.81	39.68 W	0.00	1442 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.79	1.48 W	0.00	1443 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.77	1.46 W	0.00	1444 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.91	1.60 W	0.00	1445 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	9.30	1.99 W	0.00	1446 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.58	2.95 W	0.00	1447 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.52	2.89 W	0.00	1448 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	18.64	4.01 W	0.00	1449 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	35.16	5.90 W	0.00	1450 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	46.71	10.14 W	0.00	1451 of 1804 - Sale <sup>25</sup>
	7.000	51.20	06/18/20	61.51	10.31 W	0.00	1452 of 1804 - Sale <sup>25</sup>
	7.000	51.20	06/18/20	61.15	9.95 W	0.00	1453 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	87.81	14.66 W	0.00	1454 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	87.40	14.25 W	0.00	1455 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	85.20	12.05 W	0.00	1456 of 1804 - Sale <sup>25</sup>
	11.000	80.46	06/18/20	96.70	16.24 W	0.00	1457 of 1804 - Sale <sup>25</sup>
	13.000	95.09	06/18/20	113.63	18.54 W	0.00	1458 of 1804 - Sale <sup>25</sup>
	13.000	95.09	06/18/20	114.01	18.92 W	0.00	1459 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	9.15	1.84 W	0.00	1460 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	18.35	3.72 W	0.00	1461 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	18.29	3.66 W	0.00	1462 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	18.29	3.66 W	0.00	1463 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	36.75	7.49 W	0.00	1464 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	36.63	7.37 W	0.00	1465 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	45.75	9.18 W	0.00	1466 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	55.87	11.98 W	0.00	1467 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	55.19	11.30 W	0.00	1468 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.89	06/18/20	55.13	11.24 W	0.00	1469 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	91.98	18.83 W	0.00	1470 of 1804 - Sale <sup>25</sup>
	13.000	95.09	06/18/20	119.07	23.98 W	0.00	1471 of 1804 - Sale <sup>25</sup>
	14.000	102.41	06/18/20	128.20	25.79 W	0.00	1472 of 1804 - Sale <sup>25</sup>
	25.000	182.87	06/18/20	229.61	46.74 W	0.00	1473 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	9.20	1.89 W	0.00	1474 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	9.19	1.88 W	0.00	1475 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	9.01	1.70 W	0.00	1476 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	18.17	3.54 W	0.00	1477 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	27.24	5.30 W	0.00	1478 of 1804 - Sale <sup>25</sup>
	4.000	29.26	06/18/20	36.36	7.10 W	0.00	1479 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	45.44	8.87 W	0.00	1480 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	55.19	11.30 W	0.00	1481 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	54.35	10.46 W	0.00	1482 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	54.52	10.63 W	0.00	1483 of 1804 - Sale <sup>25</sup>
	7.000	51.20	06/18/20	63.60	12.40 W	0.00	1484 of 1804 - Sale <sup>25</sup>
	9.000	65.83	06/18/20	82.78	16.95 W	0.00	1485 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	90.80	17.65 W	0.00	1486 of 1804 - Sale <sup>25</sup>
	17.000	124.35	06/18/20	154.50	30.15 W	0.00	1487 of 1804 - Sale <sup>25</sup>
	22.000	160.93	06/18/20	202.38	41.45 W	0.00	1488 of 1804 - Sale <sup>25</sup>
	1.000	7.32	06/18/20	9.14	1.82 W	0.00	1489 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	9.05	1.74 W	0.00	1490 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	45.04	8.47 W	0.00	1491 of 1804 - Sale <sup>25</sup>
	5.000	36.58	06/18/20	45.34	8.76 W	0.00	1492 of 1804 - Sale <sup>25</sup>
	9.000	65.84	06/18/20	80.96	15.12 W	0.00	1493 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	91.09	17.94 W	0.00	1494 of 1804 - Sale <sup>25</sup>
	15.000	109.72	06/18/20	136.04	26.32 W	0.00	1495 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.85	1.54 W	0.00	1496 of 1804 - Sale <sup>25</sup>
	1.000	7.32	06/18/20	8.81	1.49 W	0.00	1497 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.63	1.32 W	0.00	1498 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.63	1.32 W	0.00	1499 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.25	0.94 W	0.00	1500 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.67	3.04 W	0.00	1501 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.55	2.92 W	0.00	1502 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.63	06/18/20	17.31	2.68 W	0.00	1503 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	16.52	1.89 W	0.00	1504 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	16.53	1.90 W	0.00	1505 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	16.35	1.72 W	0.00	1506 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	16.34	1.71 W	0.00	1507 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	16.36	1.73 W	0.00	1508 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	24.77	2.83 W	0.00	1509 of 1804 - Sale <sup>25</sup>
	3.000	21.95	06/18/20	24.52	2.57 W	0.00	1510 of 1804 - Sale <sup>25</sup>
	5.000	36.58	06/18/20	42.97	6.39 W	0.00	1511 of 1804 - Sale <sup>25</sup>
	5.000	36.58	06/18/20	41.21	4.63 W	0.00	1512 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	41.11	4.54 W	0.00	1513 of 1804 - Sale <sup>25</sup>
	5.000	36.58	06/18/20	41.30	4.72 W	0.00	1514 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	49.45	5.56 W	0.00	1515 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	49.58	5.69 W	0.00	1516 of 1804 - Sale <sup>25</sup>
	7.000	51.21	06/18/20	60.43	9.22 W	0.00	1517 of 1804 - Sale <sup>25</sup>
	8.000	58.52	06/18/20	71.01	12.49 W	0.00	1518 of 1804 - Sale <sup>25</sup>
	8.000	58.52	06/18/20	65.45	6.93 W	0.00	1519 of 1804 - Sale <sup>25</sup>
	10.000	73.15	06/18/20	82.42	9.27 W	0.00	1520 of 1804 - Sale <sup>25</sup>
	15.000	109.73	06/18/20	131.78	22.05 W	0.00	1521 of 1804 - Sale <sup>25</sup>
	19.000	138.98	06/18/20	166.74	27.76 W	0.00	1522 of 1804 - Sale <sup>25</sup>
	28.000	204.82	06/18/20	228.58	23.76 W	0.00	1523 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.44	1.13 W	0.00	1524 of 1804 - Sale <sup>25</sup>
	1.000	7.31	06/18/20	8.63	1.32 W	0.00	1525 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.13	2.50 W	0.00	1526 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.25	2.62 W	0.00	1527 of 1804 - Sale <sup>25</sup>
	2.000	14.63	06/18/20	17.27	2.64 W	0.00	1528 of 1804 - Sale <sup>25</sup>
	3.000	21.95	06/18/20	25.88	3.93 W	0.00	1529 of 1804 - Sale <sup>25</sup>
	3.000	21.95	06/18/20	25.91	3.96 W	0.00	1530 of 1804 - Sale <sup>25</sup>
	3.000	21.94	06/18/20	25.88	3.94 W	0.00	1531 of 1804 - Sale <sup>25</sup>
	5.000	36.57	06/18/20	40.93	4.36 W	0.00	1532 of 1804 - Sale <sup>25</sup>
	5.000	36.58	06/18/20	40.82	4.24 W	0.00	1533 of 1804 - Sale <sup>25</sup>
	5.000	36.58	06/18/20	43.17	6.59 W	0.00	1534 of 1804 - Sale <sup>25</sup>
	6.000	43.89	06/18/20	51.38	7.49 W	0.00	1535 of 1804 - Sale <sup>25</sup>
	7.000	51.20	06/18/20	59.94	8.74 W	0.00	1536 of 1804 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	65.83	06/18/20	77.72	11.89 W	0.00	1537 of 1804 - Sale <sup>25</sup>
	11.000	80.47	06/18/20	94.42	13.95 W	0.00	1538 of 1804 - Sale <sup>25</sup>
	35.000	256.03	06/18/20	300.83	44.80 W	0.00	1539 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.12	1.75 W	0.00	1540 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.09	1.72 W	0.00	1541 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.17	3.43 W	0.00	1542 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.11	5.00 W	0.00	1543 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.32	5.21 W	0.00	1544 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	36.38	6.90 W	0.00	1545 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	54.58	10.36 W	0.00	1546 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	72.61	13.65 W	0.00	1547 of 1804 - Sale <sup>25</sup>
	29.000	213.72	06/18/20	263.89	50.17 W	0.00	1548 of 1804 - Sale <sup>25</sup>
	38.000	280.05	06/18/20	345.00	64.95 W	0.00	1549 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.86	1.49 W	0.00	1550 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.85	1.48 W	0.00	1551 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.81	1.44 W	0.00	1552 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.65	1.28 W	0.00	1553 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.53	1.16 W	0.00	1554 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.55	1.18 W	0.00	1555 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.54	1.17 W	0.00	1556 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.85	1.48 W	0.00	1557 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.79	1.42 W	0.00	1558 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.35	2.61 W	0.00	1559 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.37	2.63 W	0.00	1560 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.39	4.28 W	0.00	1561 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.26	4.15 W	0.00	1562 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.83	3.72 W	0.00	1563 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.61	3.50 W	0.00	1564 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.61	3.50 W	0.00	1565 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.61	3.50 W	0.00	1566 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.44	3.33 W	0.00	1567 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.39	4.28 W	0.00	1568 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.21	4.73 W	0.00	1569 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.28	4.80 W	0.00	1570 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.48	06/18/20	34.14	4.66 W	0.00	1571 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	33.93	4.45 W	0.00	1572 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	33.94	4.46 W	0.00	1573 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	35.35	5.87 W	0.00	1574 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.98	5.50 W	0.00	1575 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	43.89	7.04 W	0.00	1576 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	43.35	6.50 W	0.00	1577 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	43.31	6.46 W	0.00	1578 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	43.09	6.24 W	0.00	1579 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	42.75	5.90 W	0.00	1580 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	43.35	6.50 W	0.00	1581 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	44.08	7.23 W	0.00	1582 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	52.44	8.22 W	0.00	1583 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	51.21	6.99 W	0.00	1584 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	52.07	7.85 W	0.00	1585 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	60.93	9.34 W	0.00	1586 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	61.70	10.11 W	0.00	1587 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	60.30	8.71 W	0.00	1588 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	70.19	11.23 W	0.00	1589 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	69.71	10.75 W	0.00	1590 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	68.81	9.85 W	0.00	1591 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	68.49	9.53 W	0.00	1592 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	68.47	9.51 W	0.00	1593 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	69.92	10.96 W	0.00	1594 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	76.79	10.46 W	0.00	1595 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	87.75	14.05 W	0.00	1596 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	87.28	13.58 W	0.00	1597 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	85.24	11.54 W	0.00	1598 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	85.47	11.77 W	0.00	1599 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	85.71	12.01 W	0.00	1600 of 1804 - Sale <sup>25</sup>
	10.000	73.69	06/18/20	85.34	11.65 W	0.00	1601 of 1804 - Sale <sup>25</sup>
	11.000	81.07	06/18/20	94.63	13.56 W	0.00	1602 of 1804 - Sale <sup>25</sup>
	11.000	81.06	06/18/20	95.45	14.39 W	0.00	1603 of 1804 - Sale <sup>25</sup>
	12.000	88.43	06/18/20	102.43	14.00 W	0.00	1604 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	15.000	110.54	06/18/20	128.26	17.72 W	0.00	1605 of 1804 - Sale <sup>25</sup>
	15.000	110.54	06/18/20	130.31	19.77 W	0.00	1606 of 1804 - Sale <sup>25</sup>
	20.000	147.39	06/18/20	174.42	27.03 W	0.00	1607 of 1804 - Sale <sup>25</sup>
	22.000	162.13	06/18/20	194.75	32.62 W	0.00	1608 of 1804 - Sale <sup>25</sup>
	43.000	316.88	06/18/20	378.28	61.40 W	0.00	1609 of 1804 - Sale <sup>25</sup>
	8.000	58.95	06/18/20	73.10	14.15 W	0.00	1610 of 1804 - Sale <sup>25</sup>
	11.000	81.06	06/18/20	100.51	19.45 W	0.00	1611 of 1804 - Sale <sup>25</sup>
	12.000	88.43	06/18/20	109.65	21.22 W	0.00	1612 of 1804 - Sale <sup>25</sup>
	30.000	221.08	06/18/20	274.19	53.11 W	0.00	1613 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.21	1.84 W	0.00	1614 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.41	3.67 W	0.00	1615 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.11	5.00 W	0.00	1616 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	80.98	14.65 W	0.00	1617 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	81.35	15.02 W	0.00	1618 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	90.56	16.86 W	0.00	1619 of 1804 - Sale <sup>25</sup>
	20.000	147.39	06/18/20	184.19	36.80 W	0.00	1620 of 1804 - Sale <sup>25</sup>
	25.000	184.24	06/18/20	226.74	42.50 W	0.00	1621 of 1804 - Sale <sup>25</sup>
	18.000	132.65	06/18/20	164.17	31.52 W	0.00	1622 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.42	5.31 W	0.00	1623 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.14	1.77 W	0.00	1624 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.14	1.77 W	0.00	1625 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.10	1.73 W	0.00	1626 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.10	1.73 W	0.00	1627 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.15	1.78 W	0.00	1628 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.11	1.74 W	0.00	1629 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.03	1.66 W	0.00	1630 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.95	1.58 W	0.00	1631 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.74	1.37 W	0.00	1632 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.77	1.40 W	0.00	1633 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.71	1.34 W	0.00	1634 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.72	1.35 W	0.00	1635 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.77	1.40 W	0.00	1636 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.70	1.33 W	0.00	1637 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.68	1.31 W	0.00	1638 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.37	06/18/20	8.71	1.34 W	0.00	1639 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.62	1.25 W	0.00	1640 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.61	1.24 W	0.00	1641 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.41	1.04 W	0.00	1642 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.41	1.04 W	0.00	1643 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.66	1.29 W	0.00	1644 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.69	1.32 W	0.00	1645 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.79	3.05 W	0.00	1646 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.30	3.56 W	0.00	1647 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.08	3.34 W	0.00	1648 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.90	3.16 W	0.00	1649 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.04	3.30 W	0.00	1650 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.35	2.61 W	0.00	1651 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.30	2.56 W	0.00	1652 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	16.93	2.19 W	0.00	1653 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.32	2.58 W	0.00	1654 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.34	2.60 W	0.00	1655 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.33	2.59 W	0.00	1656 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.30	2.56 W	0.00	1657 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.80	4.69 W	0.00	1658 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.88	4.77 W	0.00	1659 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.31	4.20 W	0.00	1660 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.05	3.94 W	0.00	1661 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	26.06	3.95 W	0.00	1662 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.84	3.73 W	0.00	1663 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.84	3.73 W	0.00	1664 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.82	3.71 W	0.00	1665 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	25.31	3.20 W	0.00	1666 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	36.11	6.63 W	0.00	1667 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.82	5.34 W	0.00	1668 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.74	5.26 W	0.00	1669 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.45	4.97 W	0.00	1670 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.91	5.43 W	0.00	1671 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	34.74	5.26 W	0.00	1672 of 1804 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.85	06/18/20	42.02	5.17 W	0.00	1673 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	43.24	6.39 W	0.00	1674 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	53.74	9.52 W	0.00	1675 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	51.67	7.45 W	0.00	1676 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	52.25	8.03 W	0.00	1677 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	58.90	7.31 W	0.00	1678 of 1804 - Sale <sup>25</sup>
	7.000	51.58	06/18/20	60.66	9.08 W	0.00	1679 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	72.95	13.99 W	0.00	1680 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	82.26	15.93 W	0.00	1681 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	80.53	14.20 W	0.00	1682 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	78.69	12.36 W	0.00	1683 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	76.62	10.29 W	0.00	1684 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	89.38	15.68 W	0.00	1685 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	87.06	13.36 W	0.00	1686 of 1804 - Sale <sup>25</sup>
	10.000	73.69	06/18/20	85.17	11.48 W	0.00	1687 of 1804 - Sale <sup>25</sup>
	11.000	81.07	06/18/20	95.54	14.47 W	0.00	1688 of 1804 - Sale <sup>25</sup>
	11.000	81.06	06/18/20	95.44	14.38 W	0.00	1689 of 1804 - Sale <sup>25</sup>
	15.000	110.54	06/18/20	126.21	15.67 W	0.00	1690 of 1804 - Sale <sup>25</sup>
	15.000	110.54	06/18/20	126.03	15.49 W	0.00	1691 of 1804 - Sale <sup>25</sup>
	20.000	147.39	06/18/20	170.22	22.83 W	0.00	1692 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	91.20	17.50 W	0.00	1693 of 1804 - Sale <sup>25</sup>
	18.000	132.64	06/18/20	156.38	23.74 W	0.00	1694 of 1804 - Sale <sup>25</sup>
	41.000	302.15	06/18/20	356.78	54.63 W	0.00	1695 of 1804 - Sale <sup>25</sup>
	46.000	339.00	06/18/20	417.19	78.19 W	0.00	1696 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.80	1.43 W	0.00	1697 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.78	1.41 W	0.00	1698 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.92	1.55 W	0.00	1699 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.31	1.94 W	0.00	1700 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.55	2.81 W	0.00	1701 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.59	2.85 W	0.00	1702 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.59	2.85 W	0.00	1703 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.53	2.79 W	0.00	1704 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.65	3.91 W	0.00	1705 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	35.18	5.70 W	0.00	1706 of 1804 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.85	06/18/20	46.74	9.89 W	0.00	1707 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	52.78	8.56 W	0.00	1708 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	61.55	9.96 W	0.00	1709 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	61.19	9.60 W	0.00	1710 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	79.13	12.80 W	0.00	1711 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	87.85	14.15 W	0.00	1712 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	87.45	13.75 W	0.00	1713 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	85.24	11.54 W	0.00	1714 of 1804 - Sale <sup>25</sup>
	11.000	81.07	06/18/20	96.75	15.68 W	0.00	1715 of 1804 - Sale <sup>25</sup>
	13.000	95.81	06/18/20	112.94	17.13 W	0.00	1716 of 1804 - Sale <sup>25</sup>
	13.000	95.81	06/18/20	113.69	17.88 W	0.00	1717 of 1804 - Sale <sup>25</sup>
	13.000	95.81	06/18/20	114.07	18.26 W	0.00	1718 of 1804 - Sale <sup>25</sup>
	11.000	81.07	06/18/20	95.57	14.50 W	0.00	1719 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.11	1.74 W	0.00	1720 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.14	1.77 W	0.00	1721 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.16	1.79 W	0.00	1722 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.07	1.70 W	0.00	1723 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.06	1.69 W	0.00	1724 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.10	1.73 W	0.00	1725 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.59	2.85 W	0.00	1726 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.91	3.17 W	0.00	1727 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.27	3.53 W	0.00	1728 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.37	3.63 W	0.00	1729 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.27	3.53 W	0.00	1730 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.16	3.42 W	0.00	1731 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.63	5.52 W	0.00	1732 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.82	5.71 W	0.00	1733 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.18	5.07 W	0.00	1734 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	43.97	7.12 W	0.00	1735 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	46.07	9.22 W	0.00	1736 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	45.91	9.06 W	0.00	1737 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	45.25	8.40 W	0.00	1738 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	45.25	8.40 W	0.00	1739 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	63.73	12.14 W	0.00	1740 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	51.59	06/18/20	64.02	12.43 W	0.00	1741 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	63.41	11.82 W	0.00	1742 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	63.41	11.82 W	0.00	1743 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	73.00	14.04 W	0.00	1744 of 1804 - Sale <sup>25</sup>
	8.000	58.96	06/18/20	72.26	13.30 W	0.00	1745 of 1804 - Sale <sup>25</sup>
	9.000	66.33	06/18/20	82.13	15.80 W	0.00	1746 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	91.94	18.24 W	0.00	1747 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	91.58	17.88 W	0.00	1748 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	90.77	17.07 W	0.00	1749 of 1804 - Sale <sup>25</sup>
	10.000	73.70	06/18/20	90.62	16.92 W	0.00	1750 of 1804 - Sale <sup>25</sup>
	11.000	81.07	06/18/20	101.18	20.11 W	0.00	1751 of 1804 - Sale <sup>25</sup>
	12.000	88.43	06/18/20	108.94	20.51 W	0.00	1752 of 1804 - Sale <sup>25</sup>
	13.000	95.80	06/18/20	117.56	21.76 W	0.00	1753 of 1804 - Sale <sup>25</sup>
	16.000	117.91	06/18/20	145.48	27.57 W	0.00	1754 of 1804 - Sale <sup>25</sup>
	20.000	147.39	06/18/20	183.97	36.58 W	0.00	1755 of 1804 - Sale <sup>25</sup>
	23.000	169.50	06/18/20	209.44	39.94 W	0.00	1756 of 1804 - Sale <sup>25</sup>
	28.000	206.35	06/18/20	258.05	51.70 W	0.00	1757 of 1804 - Sale <sup>25</sup>
	28.000	206.35	06/18/20	256.18	49.83 W	0.00	1758 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.20	1.83 W	0.00	1759 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.44	3.70 W	0.00	1760 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.38	3.64 W	0.00	1761 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.38	3.64 W	0.00	1762 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	36.93	7.45 W	0.00	1763 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	36.81	7.33 W	0.00	1764 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	45.98	9.13 W	0.00	1765 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	56.14	11.92 W	0.00	1766 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	55.46	11.24 W	0.00	1767 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	55.40	11.18 W	0.00	1768 of 1804 - Sale <sup>25</sup>
	10.000	73.69	06/18/20	92.43	18.74 W	0.00	1769 of 1804 - Sale <sup>25</sup>
	13.000	95.80	06/18/20	119.66	23.86 W	0.00	1770 of 1804 - Sale <sup>25</sup>
	14.000	103.17	06/18/20	128.82	25.65 W	0.00	1771 of 1804 - Sale <sup>25</sup>
	25.000	184.24	06/18/20	230.74	46.50 W	0.00	1772 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.25	1.88 W	0.00	1773 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.24	1.87 W	0.00	1774 of 1804 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.37	06/18/20	9.06	1.69 W	0.00	1775 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	18.26	3.52 W	0.00	1776 of 1804 - Sale <sup>25</sup>
	3.000	22.11	06/18/20	27.38	5.27 W	0.00	1777 of 1804 - Sale <sup>25</sup>
	4.000	29.48	06/18/20	36.54	7.06 W	0.00	1778 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	45.67	8.82 W	0.00	1779 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	55.46	11.24 W	0.00	1780 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	54.62	10.40 W	0.00	1781 of 1804 - Sale <sup>25</sup>
	6.000	44.22	06/18/20	54.79	10.57 W	0.00	1782 of 1804 - Sale <sup>25</sup>
	7.000	51.59	06/18/20	63.92	12.33 W	0.00	1783 of 1804 - Sale <sup>25</sup>
	9.000	66.32	06/18/20	83.19	16.87 W	0.00	1784 of 1804 - Sale <sup>25</sup>
	10.000	73.69	06/18/20	91.25	17.56 W	0.00	1785 of 1804 - Sale <sup>25</sup>
	17.000	125.28	06/18/20	155.27	29.99 W	0.00	1786 of 1804 - Sale <sup>25</sup>
	22.000	162.13	06/18/20	203.37	41.24 W	0.00	1787 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.17	1.80 W	0.00	1788 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	9.09	1.72 W	0.00	1789 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	45.22	8.37 W	0.00	1790 of 1804 - Sale <sup>25</sup>
	5.000	36.85	06/18/20	45.51	8.66 W	0.00	1791 of 1804 - Sale <sup>25</sup>
	9.000	66.32	06/18/20	81.27	14.95 W	0.00	1792 of 1804 - Sale <sup>25</sup>
	10.000	73.69	06/18/20	91.44	17.75 W	0.00	1793 of 1804 - Sale <sup>25</sup>
	15.000	110.54	06/18/20	136.57	26.03 W	0.00	1794 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.89	1.52 W	0.00	1795 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.84	1.47 W	0.00	1796 of 1804 - Sale <sup>25</sup>
	1.000	7.37	06/18/20	8.67	1.30 W	0.00	1797 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.74	3.00 W	0.00	1798 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.62	2.88 W	0.00	1799 of 1804 - Sale <sup>25</sup>
	2.000	14.74	06/18/20	17.38	2.64 W	0.00	1800 of 1804 - Sale <sup>25</sup>
	3.000	22.10	06/18/20	25.88	3.78 W	0.00	1801 of 1804 - Sale <sup>25</sup>
	8.000	58.95	06/18/20	71.29	12.34 W	0.00	1802 of 1804 - Sale <sup>25</sup>
	15.000	110.54	06/18/20	132.30	21.76 W	0.00	1803 of 1804 - Sale <sup>25</sup>
	19.000	140.02	06/18/20	167.41	27.39 W	0.00	1804 of 1804 - Sale <sup>25</sup>
06/18/20	13,640.000	99,047.21	Various	120,884.31	21,837.10 W	0.00	Total of 1804 transactions
		6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.					
	1.000	7.67	06/19/20	7.65	...	0.02	1 of 6 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	7.66	...	0.02	2 of 6 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.68	06/19/20	7.66	...	0.02	3 of 6 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	7.66	...	0.02	4 of 6 - Sale <sup>25</sup>
	100.000	766.92	06/19/20	761.63	...	5.29	5 of 6 - Sale <sup>25</sup>
	100.000	766.91	06/19/20	761.60	...	5.31	6 of 6 - Sale <sup>25</sup>
06/19/20	204.000	1,564.54	Various	1,553.86	...	10.68	Total of 6 transactions
	7072 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	8.10	06/19/20	9.49	1.39 W	0.00	1 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.46	1.36 W	0.00	2 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.50	1.40 W	0.00	3 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.12	1.02 W	0.00	4 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.25	2.05 W	0.00	5 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.26	2.06 W	0.00	6 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.08	1.88 W	0.00	7 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.07	1.87 W	0.00	8 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.09	1.89 W	0.00	9 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	27.37	3.07 W	0.00	10 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	27.11	2.81 W	0.00	11 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	45.53	5.03 W	0.00	12 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	45.44	4.94 W	0.00	13 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	45.62	5.12 W	0.00	14 of 7072 - Sale <sup>25</sup>
	6.000	48.60	06/19/20	54.64	6.04 W	0.00	15 of 7072 - Sale <sup>25</sup>
	6.000	48.60	06/19/20	54.77	6.17 W	0.00	16 of 7072 - Sale <sup>25</sup>
	7.000	56.70	06/19/20	66.48	9.78 W	0.00	17 of 7072 - Sale <sup>25</sup>
	8.000	64.79	06/19/20	72.37	7.58 W	0.00	18 of 7072 - Sale <sup>25</sup>
	10.000	81.00	06/19/20	91.07	10.07 W	0.00	19 of 7072 - Sale <sup>25</sup>
	28.000	226.78	06/19/20	252.80	26.02 W	0.00	20 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.05	0.95 W	0.00	21 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.02	0.92 W	0.00	22 of 7072 - Sale <sup>25</sup>
	4.000	32.40	06/19/20	36.17	3.77 W	0.00	23 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.29	1.19 W	0.00	24 of 7072 - Sale <sup>25</sup>
	4.000	32.40	06/19/20	36.03	3.63 W	0.00	25 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.82	1.72 W	0.00	26 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	29.30	5.00 W	0.00	27 of 7072 - Sale <sup>25</sup>
	4.000	32.40	06/19/20	39.30	6.90 W	0.00	28 of 7072 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	48.60	06/19/20	58.96	10.36 W	0.00	29 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.42	1.32 W	0.00	30 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.70	2.50 W	0.00	31 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.82	2.62 W	0.00	32 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.84	2.64 W	0.00	33 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	28.23	3.93 W	0.00	34 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	28.26	3.96 W	0.00	35 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	28.24	3.94 W	0.00	36 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	47.09	6.59 W	0.00	37 of 7072 - Sale <sup>25</sup>
	6.000	48.60	06/19/20	56.09	7.49 W	0.00	38 of 7072 - Sale <sup>25</sup>
	7.000	56.70	06/19/20	65.44	8.74 W	0.00	39 of 7072 - Sale <sup>25</sup>
	9.000	72.89	06/19/20	84.79	11.90 W	0.00	40 of 7072 - Sale <sup>25</sup>
	11.000	89.10	06/19/20	103.05	13.95 W	0.00	41 of 7072 - Sale <sup>25</sup>
	21.000	170.08	06/19/20	196.98	26.90 W	0.00	42 of 7072 - Sale <sup>25</sup>
	14.000	113.40	06/19/20	131.32	17.92 W	0.00	43 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.81	1.71 W	0.00	44 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.85	1.75 W	0.00	45 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.82	1.72 W	0.00	46 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	29.51	5.21 W	0.00	47 of 7072 - Sale <sup>25</sup>
	8.000	64.80	06/19/20	78.45	13.65 W	0.00	48 of 7072 - Sale <sup>25</sup>
	29.000	234.89	06/19/20	285.07	50.18 W	0.00	49 of 7072 - Sale <sup>25</sup>
	38.000	307.79	06/19/20	372.75	64.96 W	0.00	50 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.59	1.49 W	0.00	51 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.58	1.48 W	0.00	52 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.54	1.44 W	0.00	53 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.38	1.28 W	0.00	54 of 7072 - Sale <sup>25</sup>
	2.000	16.20	06/19/20	18.81	2.61 W	0.00	55 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	28.58	4.28 W	0.00	56 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	47.54	7.04 W	0.00	57 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	47.00	6.50 W	0.00	58 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	46.96	6.46 W	0.00	59 of 7072 - Sale <sup>25</sup>
	7.000	56.70	06/19/20	66.04	9.34 W	0.00	60 of 7072 - Sale <sup>25</sup>
	7.000	56.69	06/19/20	66.81	10.12 W	0.00	61 of 7072 - Sale <sup>25</sup>
	8.000	64.80	06/19/20	76.03	11.23 W	0.00	62 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	64.79	06/19/20	75.55	10.76 W	0.00	63 of 7072 - Sale <sup>25</sup>
	10.000	81.00	06/19/20	95.05	14.05 W	0.00	64 of 7072 - Sale <sup>25</sup>
	10.000	80.99	06/19/20	94.58	13.59 W	0.00	65 of 7072 - Sale <sup>25</sup>
	11.000	89.10	06/19/20	102.66	13.56 W	0.00	66 of 7072 - Sale <sup>25</sup>
	20.000	161.99	06/19/20	189.03	27.04 W	0.00	67 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.26	1.16 W	0.00	68 of 7072 - Sale <sup>25</sup>
	1.000	8.10	06/19/20	9.28	1.18 W	0.00	69 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	28.45	4.15 W	0.00	70 of 7072 - Sale <sup>25</sup>
	3.000	24.30	06/19/20	28.02	3.72 W	0.00	71 of 7072 - Sale <sup>25</sup>
	4.000	32.40	06/19/20	37.13	4.73 W	0.00	72 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	46.74	6.24 W	0.00	73 of 7072 - Sale <sup>25</sup>
	5.000	40.50	06/19/20	46.40	5.90 W	0.00	74 of 7072 - Sale <sup>25</sup>
	6.000	48.60	06/19/20	56.82	8.22 W	0.00	75 of 7072 - Sale <sup>25</sup>
	7.000	56.70	06/19/20	65.41	8.71 W	0.00	76 of 7072 - Sale <sup>25</sup>
	8.000	64.80	06/19/20	74.65	9.85 W	0.00	77 of 7072 - Sale <sup>25</sup>
	8.000	64.80	06/19/20	74.33	9.53 W	0.00	78 of 7072 - Sale <sup>25</sup>
	9.000	72.89	06/19/20	83.36	10.47 W	0.00	79 of 7072 - Sale <sup>25</sup>
	10.000	81.00	06/19/20	92.54	11.54 W	0.00	80 of 7072 - Sale <sup>25</sup>
	10.000	81.00	06/19/20	92.77	11.77 W	0.00	81 of 7072 - Sale <sup>25</sup>
	5.000	40.49	06/19/20	46.51	6.02 W	0.00	82 of 7072 - Sale <sup>25</sup>
	15.000	121.49	06/19/20	139.22	17.73 W	0.00	83 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.27	1.16 W	0.00	84 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	18.83	2.61 W	0.00	85 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	18.94	2.72 W	0.00	86 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	27.80	3.47 W	0.00	87 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	27.80	3.47 W	0.00	88 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	27.80	3.47 W	0.00	89 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	27.63	3.30 W	0.00	90 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	37.20	4.76 W	0.00	91 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	37.06	4.62 W	0.00	92 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	36.85	4.41 W	0.00	93 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	36.86	4.42 W	0.00	94 of 7072 - Sale <sup>25</sup>
	5.000	40.55	06/19/20	47.00	6.45 W	0.00	95 of 7072 - Sale <sup>25</sup>
	6.000	48.66	06/19/20	55.59	6.93 W	0.00	96 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	48.66	06/19/20	56.45	7.79 W	0.00	97 of 7072 - Sale <sup>25</sup>
	8.000	64.88	06/19/20	74.31	9.43 W	0.00	98 of 7072 - Sale <sup>25</sup>
	5.000	40.55	06/19/20	46.50	5.95 W	0.00	99 of 7072 - Sale <sup>25</sup>
	10.000	81.10	06/19/20	92.65	11.55 W	0.00	100 of 7072 - Sale <sup>25</sup>
	11.000	89.21	06/19/20	103.49	14.28 W	0.00	101 of 7072 - Sale <sup>25</sup>
	12.000	97.32	06/19/20	111.20	13.88 W	0.00	102 of 7072 - Sale <sup>25</sup>
	15.000	121.64	06/19/20	141.27	19.63 W	0.00	103 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.92	1.81 W	0.00	104 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.85	1.74 W	0.00	105 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.85	1.74 W	0.00	106 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.81	1.70 W	0.00	107 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.81	1.70 W	0.00	108 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.86	1.75 W	0.00	109 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.82	1.71 W	0.00	110 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.74	1.63 W	0.00	111 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.66	1.55 W	0.00	112 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.45	1.34 W	0.00	113 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.48	1.37 W	0.00	114 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.42	1.31 W	0.00	115 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.43	1.32 W	0.00	116 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.48	1.37 W	0.00	117 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	19.82	3.60 W	0.00	118 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	19.20	2.98 W	0.00	119 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	19.71	3.49 W	0.00	120 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	19.49	3.27 W	0.00	121 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	19.31	3.09 W	0.00	122 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	19.45	3.23 W	0.00	123 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	29.54	5.21 W	0.00	124 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	29.23	4.90 W	0.00	125 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	28.92	4.59 W	0.00	126 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	29.00	4.67 W	0.00	127 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	28.43	4.10 W	0.00	128 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	28.24	3.91 W	0.00	129 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	38.94	6.50 W	0.00	130 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	32.44	06/19/20	37.64	5.20 W	0.00	131 of 7072 - Sale <sup>25</sup>
	6.000	48.66	06/19/20	57.98	9.32 W	0.00	132 of 7072 - Sale <sup>25</sup>
	8.000	64.88	06/19/20	78.77	13.89 W	0.00	133 of 7072 - Sale <sup>25</sup>
	8.000	64.88	06/19/20	78.61	13.73 W	0.00	134 of 7072 - Sale <sup>25</sup>
	9.000	72.99	06/19/20	87.35	14.36 W	0.00	135 of 7072 - Sale <sup>25</sup>
	9.000	72.99	06/19/20	87.72	14.73 W	0.00	136 of 7072 - Sale <sup>25</sup>
	9.000	72.99	06/19/20	88.63	15.64 W	0.00	137 of 7072 - Sale <sup>25</sup>
	9.000	72.98	06/19/20	86.90	13.92 W	0.00	138 of 7072 - Sale <sup>25</sup>
	9.000	72.99	06/19/20	85.06	12.07 W	0.00	139 of 7072 - Sale <sup>25</sup>
	10.000	81.10	06/19/20	97.63	16.53 W	0.00	140 of 7072 - Sale <sup>25</sup>
	10.000	81.10	06/19/20	98.27	17.17 W	0.00	141 of 7072 - Sale <sup>25</sup>
	10.000	81.09	06/19/20	96.45	15.36 W	0.00	142 of 7072 - Sale <sup>25</sup>
	11.000	89.21	06/19/20	108.30	19.09 W	0.00	143 of 7072 - Sale <sup>25</sup>
	12.000	97.32	06/19/20	118.15	20.83 W	0.00	144 of 7072 - Sale <sup>25</sup>
	18.000	145.97	06/19/20	176.91	30.94 W	0.00	145 of 7072 - Sale <sup>25</sup>
	20.000	162.19	06/19/20	198.35	36.16 W	0.00	146 of 7072 - Sale <sup>25</sup>
	25.000	202.74	06/19/20	244.44	41.70 W	0.00	147 of 7072 - Sale <sup>25</sup>
	30.000	243.29	06/19/20	295.43	52.14 W	0.00	148 of 7072 - Sale <sup>25</sup>
	46.000	373.03	06/19/20	449.76	76.73 W	0.00	149 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.56	1.45 W	0.00	150 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.50	1.39 W	0.00	151 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	28.51	4.18 W	0.00	152 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	38.18	5.74 W	0.00	153 of 7072 - Sale <sup>25</sup>
	5.000	40.55	06/19/20	47.62	7.07 W	0.00	154 of 7072 - Sale <sup>25</sup>
	6.000	48.66	06/19/20	56.68	8.02 W	0.00	155 of 7072 - Sale <sup>25</sup>
	22.000	178.40	06/19/20	210.32	31.92 W	0.00	156 of 7072 - Sale <sup>25</sup>
	43.000	348.69	06/19/20	408.73	60.04 W	0.00	157 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	37.81	5.37 W	0.00	158 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.40	1.29 W	0.00	159 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.38	1.27 W	0.00	160 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.41	1.30 W	0.00	161 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.32	1.21 W	0.00	162 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.31	1.20 W	0.00	163 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	18.76	2.54 W	0.00	164 of 7072 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	16.22	06/19/20	18.71	2.49 W	0.00	165 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	28.16	3.83 W	0.00	166 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	28.17	3.84 W	0.00	167 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	27.95	3.62 W	0.00	168 of 7072 - Sale <sup>25</sup>
	3.000	24.33	06/19/20	27.95	3.62 W	0.00	169 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	18.62	2.40 W	0.00	170 of 7072 - Sale <sup>25</sup>
	4.000	32.44	06/19/20	37.55	5.11 W	0.00	171 of 7072 - Sale <sup>25</sup>
	4.000	32.43	06/19/20	37.27	4.84 W	0.00	172 of 7072 - Sale <sup>25</sup>
	6.000	48.66	06/19/20	55.89	7.23 W	0.00	173 of 7072 - Sale <sup>25</sup>
	7.000	56.77	06/19/20	65.87	9.10 W	0.00	174 of 7072 - Sale <sup>25</sup>
	11.000	89.21	06/19/20	103.28	14.07 W	0.00	175 of 7072 - Sale <sup>25</sup>
	41.000	332.49	06/19/20	385.66	53.17 W	0.00	176 of 7072 - Sale <sup>25</sup>
	1.000	8.11	06/19/20	9.31	1.20 W	0.00	177 of 7072 - Sale <sup>25</sup>
	2.000	16.22	06/19/20	18.45	2.23 W	0.00	178 of 7072 - Sale <sup>25</sup>
	1.000	8.12	06/19/20	9.22	1.10 W	0.00	179 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.30	1.58 W	0.00	180 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.09	1.37 W	0.00	181 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.09	1.37 W	0.00	182 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.34	1.62 W	0.00	183 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.37	1.65 W	0.00	184 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.48	1.76 W	0.00	185 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.46	1.74 W	0.00	186 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.60	1.88 W	0.00	187 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.99	2.27 W	0.00	188 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.29	2.85 W	0.00	189 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.68	3.24 W	0.00	190 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.70	3.26 W	0.00	191 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.69	3.25 W	0.00	192 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.66	3.22 W	0.00	193 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.91	3.47 W	0.00	194 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.95	3.51 W	0.00	195 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.95	3.51 W	0.00	196 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.89	3.45 W	0.00	197 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	20.01	4.57 W	0.00	198 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.16	06/19/20	27.35	4.19 W	0.00	199 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	37.63	6.75 W	0.00	200 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	37.46	6.58 W	0.00	201 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	37.90	7.02 W	0.00	202 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	45.42	6.82 W	0.00	203 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	46.64	8.04 W	0.00	204 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	50.14	11.54 W	0.00	205 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	55.19	8.87 W	0.00	206 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	56.33	10.01 W	0.00	207 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	56.86	10.54 W	0.00	208 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	63.66	9.62 W	0.00	209 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	65.43	11.39 W	0.00	210 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	66.31	12.27 W	0.00	211 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	65.95	11.91 W	0.00	212 of 7072 - Sale <sup>25</sup>
	9.000	69.48	06/19/20	82.74	13.26 W	0.00	213 of 7072 - Sale <sup>25</sup>
	9.000	69.48	06/19/20	85.25	15.77 W	0.00	214 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	94.65	17.45 W	0.00	215 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	94.25	17.05 W	0.00	216 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	92.04	14.84 W	0.00	217 of 7072 - Sale <sup>25</sup>
	11.000	84.92	06/19/20	102.93	18.01 W	0.00	218 of 7072 - Sale <sup>25</sup>
	11.000	84.92	06/19/20	103.05	18.13 W	0.00	219 of 7072 - Sale <sup>25</sup>
	11.000	84.92	06/19/20	104.23	19.31 W	0.00	220 of 7072 - Sale <sup>25</sup>
	13.000	100.36	06/19/20	121.78	21.42 W	0.00	221 of 7072 - Sale <sup>25</sup>
	13.000	100.36	06/19/20	122.53	22.17 W	0.00	222 of 7072 - Sale <sup>25</sup>
	13.000	100.36	06/19/20	122.91	22.55 W	0.00	223 of 7072 - Sale <sup>25</sup>
	15.000	115.80	06/19/20	136.42	20.62 W	0.00	224 of 7072 - Sale <sup>25</sup>
	15.000	115.80	06/19/20	136.24	20.44 W	0.00	225 of 7072 - Sale <sup>25</sup>
	18.000	138.95	06/19/20	168.64	29.69 W	0.00	226 of 7072 - Sale <sup>25</sup>
	20.000	154.39	06/19/20	183.83	29.44 W	0.00	227 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.79	2.07 W	0.00	228 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.82	2.10 W	0.00	229 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.84	2.12 W	0.00	230 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.75	2.03 W	0.00	231 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.74	2.02 W	0.00	232 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.44	06/19/20	18.95	3.51 W	0.00	233 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.27	3.83 W	0.00	234 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.63	4.19 W	0.00	235 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.73	4.29 W	0.00	236 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.63	4.19 W	0.00	237 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	29.67	6.51 W	0.00	238 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	29.86	6.70 W	0.00	239 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	29.27	6.11 W	0.00	240 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	47.37	8.77 W	0.00	241 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	49.47	10.87 W	0.00	242 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	49.31	10.71 W	0.00	243 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	68.49	14.45 W	0.00	244 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	68.78	14.74 W	0.00	245 of 7072 - Sale <sup>25</sup>
	8.000	61.76	06/19/20	78.44	16.68 W	0.00	246 of 7072 - Sale <sup>25</sup>
	8.000	61.76	06/19/20	77.70	15.94 W	0.00	247 of 7072 - Sale <sup>25</sup>
	9.000	69.48	06/19/20	88.25	18.77 W	0.00	248 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	98.74	21.54 W	0.00	249 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	98.38	21.18 W	0.00	250 of 7072 - Sale <sup>25</sup>
	11.000	84.92	06/19/20	108.66	23.74 W	0.00	251 of 7072 - Sale <sup>25</sup>
	20.000	154.39	06/19/20	197.58	43.19 W	0.00	252 of 7072 - Sale <sup>25</sup>
	23.000	177.55	06/19/20	225.09	47.54 W	0.00	253 of 7072 - Sale <sup>25</sup>
	28.000	216.15	06/19/20	277.10	60.95 W	0.00	254 of 7072 - Sale <sup>25</sup>
	28.000	216.15	06/19/20	275.23	59.08 W	0.00	255 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.88	2.16 W	0.00	256 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.80	4.36 W	0.00	257 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.74	4.30 W	0.00	258 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.74	4.30 W	0.00	259 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	39.65	8.77 W	0.00	260 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	39.53	8.65 W	0.00	261 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	49.38	10.78 W	0.00	262 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	60.22	13.90 W	0.00	263 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	59.54	13.22 W	0.00	264 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	59.48	13.16 W	0.00	265 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	59.54	13.22 W	0.00	266 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	46.32	06/19/20	59.55	13.23 W	0.00	267 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	99.24	22.04 W	0.00	268 of 7072 - Sale <sup>25</sup>
	13.000	100.35	06/19/20	128.51	28.16 W	0.00	269 of 7072 - Sale <sup>25</sup>
	14.000	108.07	06/19/20	138.35	30.28 W	0.00	270 of 7072 - Sale <sup>25</sup>
	25.000	192.99	06/19/20	247.75	54.76 W	0.00	271 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.78	2.06 W	0.00	272 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.52	4.08 W	0.00	273 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	29.22	6.06 W	0.00	274 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	48.65	10.05 W	0.00	275 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	48.65	10.05 W	0.00	276 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	68.30	14.26 W	0.00	277 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	68.17	14.13 W	0.00	278 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	68.17	14.13 W	0.00	279 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	97.42	20.22 W	0.00	280 of 7072 - Sale <sup>25</sup>
	12.000	92.63	06/19/20	117.11	24.48 W	0.00	281 of 7072 - Sale <sup>25</sup>
	13.000	100.35	06/19/20	126.41	26.06 W	0.00	282 of 7072 - Sale <sup>25</sup>
	16.000	123.51	06/19/20	156.37	32.86 W	0.00	283 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.70	1.98 W	0.00	284 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	8.98	1.26 W	0.00	285 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	8.95	1.23 W	0.00	286 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.22	1.50 W	0.00	287 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.11	2.67 W	0.00	288 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.12	2.68 W	0.00	289 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	17.94	2.50 W	0.00	290 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	17.93	2.49 W	0.00	291 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	17.95	2.51 W	0.00	292 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	27.16	4.00 W	0.00	293 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	26.90	3.74 W	0.00	294 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	35.89	5.01 W	0.00	295 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	35.75	4.87 W	0.00	296 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	45.18	6.58 W	0.00	297 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	45.18	6.58 W	0.00	298 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	45.09	6.49 W	0.00	299 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	45.27	6.67 W	0.00	300 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	46.32	06/19/20	54.35	8.03 W	0.00	301 of 7072 - Sale <sup>25</sup>
	8.000	61.76	06/19/20	71.82	10.06 W	0.00	302 of 7072 - Sale <sup>25</sup>
	10.000	77.19	06/19/20	90.37	13.18 W	0.00	303 of 7072 - Sale <sup>25</sup>
	28.000	216.14	06/19/20	250.86	34.72 W	0.00	304 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.93	2.21 W	0.00	305 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.92	2.20 W	0.00	306 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.74	2.02 W	0.00	307 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.85	2.13 W	0.00	308 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.77	2.05 W	0.00	309 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.62	4.18 W	0.00	310 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	29.42	6.26 W	0.00	311 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	39.26	8.38 W	0.00	312 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	49.07	10.47 W	0.00	313 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	48.62	10.02 W	0.00	314 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	48.91	10.31 W	0.00	315 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	58.70	12.38 W	0.00	316 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	58.87	12.55 W	0.00	317 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	68.68	14.64 W	0.00	318 of 7072 - Sale <sup>25</sup>
	9.000	69.48	06/19/20	89.32	19.84 W	0.00	319 of 7072 - Sale <sup>25</sup>
	9.000	69.48	06/19/20	87.40	17.92 W	0.00	320 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	98.06	20.86 W	0.00	321 of 7072 - Sale <sup>25</sup>
	10.000	77.20	06/19/20	98.25	21.05 W	0.00	322 of 7072 - Sale <sup>25</sup>
	15.000	115.79	06/19/20	146.78	30.99 W	0.00	323 of 7072 - Sale <sup>25</sup>
	16.000	123.51	06/19/20	158.79	35.28 W	0.00	324 of 7072 - Sale <sup>25</sup>
	17.000	131.23	06/19/20	166.84	35.61 W	0.00	325 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.57	1.85 W	0.00	326 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.52	1.80 W	0.00	327 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.35	1.63 W	0.00	328 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.44	1.72 W	0.00	329 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.41	1.69 W	0.00	330 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.45	1.73 W	0.00	331 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.07	1.35 W	0.00	332 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.06	1.34 W	0.00	333 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.10	3.66 W	0.00	334 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.44	06/19/20	18.98	3.54 W	0.00	335 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.74	3.30 W	0.00	336 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	27.93	4.77 W	0.00	337 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	66.13	12.09 W	0.00	338 of 7072 - Sale <sup>25</sup>
	8.000	61.76	06/19/20	76.74	14.98 W	0.00	339 of 7072 - Sale <sup>25</sup>
	15.000	115.79	06/19/20	142.51	26.72 W	0.00	340 of 7072 - Sale <sup>25</sup>
	19.000	146.67	06/19/20	180.34	33.67 W	0.00	341 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.75	2.03 W	0.00	342 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	19.39	3.95 W	0.00	343 of 7072 - Sale <sup>25</sup>
	4.000	30.88	06/19/20	39.02	8.14 W	0.00	344 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	58.54	12.22 W	0.00	345 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.35	1.63 W	0.00	346 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.56	3.12 W	0.00	347 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.68	3.24 W	0.00	348 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.70	3.26 W	0.00	349 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	28.02	4.86 W	0.00	350 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	28.05	4.89 W	0.00	351 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	28.03	4.87 W	0.00	352 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	46.74	8.14 W	0.00	353 of 7072 - Sale <sup>25</sup>
	6.000	46.32	06/19/20	55.67	9.35 W	0.00	354 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	64.95	10.91 W	0.00	355 of 7072 - Sale <sup>25</sup>
	9.000	69.47	06/19/20	84.17	14.70 W	0.00	356 of 7072 - Sale <sup>25</sup>
	11.000	84.91	06/19/20	102.28	17.37 W	0.00	357 of 7072 - Sale <sup>25</sup>
	12.000	92.63	06/19/20	111.72	19.09 W	0.00	358 of 7072 - Sale <sup>25</sup>
	21.000	162.10	06/19/20	195.53	33.43 W	0.00	359 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.63	1.91 W	0.00	360 of 7072 - Sale <sup>25</sup>
	23.000	177.54	06/19/20	221.48	43.94 W	0.00	361 of 7072 - Sale <sup>25</sup>
	29.000	223.86	06/19/20	279.86	56.00 W	0.00	362 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.40	2.96 W	0.00	363 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.70	1.98 W	0.00	364 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.67	1.95 W	0.00	365 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	29.06	5.90 W	0.00	366 of 7072 - Sale <sup>25</sup>
	8.000	61.75	06/19/20	77.25	15.50 W	0.00	367 of 7072 - Sale <sup>25</sup>
	15.000	115.79	06/19/20	144.89	29.10 W	0.00	368 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.72	06/19/20	9.44	1.72 W	0.00	369 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.43	1.71 W	0.00	370 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.39	1.67 W	0.00	371 of 7072 - Sale <sup>25</sup>
	8.000	61.75	06/19/20	74.83	13.08 W	0.00	372 of 7072 - Sale <sup>25</sup>
	11.000	84.91	06/19/20	101.01	16.10 W	0.00	373 of 7072 - Sale <sup>25</sup>
	1.000	7.72	06/19/20	9.20	1.48 W	0.00	374 of 7072 - Sale <sup>25</sup>
	2.000	15.44	06/19/20	18.45	3.01 W	0.00	375 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	46.64	8.04 W	0.00	376 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	46.10	7.50 W	0.00	377 of 7072 - Sale <sup>25</sup>
	5.000	38.60	06/19/20	46.06	7.46 W	0.00	378 of 7072 - Sale <sup>25</sup>
	7.000	54.03	06/19/20	64.78	10.75 W	0.00	379 of 7072 - Sale <sup>25</sup>
	7.000	54.04	06/19/20	65.56	11.52 W	0.00	380 of 7072 - Sale <sup>25</sup>
	8.000	61.75	06/19/20	74.12	12.37 W	0.00	381 of 7072 - Sale <sup>25</sup>
	10.000	77.19	06/19/20	93.25	16.06 W	0.00	382 of 7072 - Sale <sup>25</sup>
	3.000	23.16	06/19/20	28.01	4.85 W	0.00	383 of 7072 - Sale <sup>25</sup>
	6.000	46.31	06/19/20	55.61	9.30 W	0.00	384 of 7072 - Sale <sup>25</sup>
	1.000	7.75	06/19/20	9.27	1.52 W	0.00	385 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	27.33	4.08 W	0.00	386 of 7072 - Sale <sup>25</sup>
	8.000	62.00	06/19/20	72.82	10.82 W	0.00	387 of 7072 - Sale <sup>25</sup>
	5.000	38.75	06/19/20	45.51	6.76 W	0.00	388 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	27.40	4.15 W	0.00	389 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	26.97	3.72 W	0.00	390 of 7072 - Sale <sup>25</sup>
	5.000	38.75	06/19/20	44.99	6.24 W	0.00	391 of 7072 - Sale <sup>25</sup>
	6.000	46.50	06/19/20	54.72	8.22 W	0.00	392 of 7072 - Sale <sup>25</sup>
	7.000	54.25	06/19/20	63.71	9.46 W	0.00	393 of 7072 - Sale <sup>25</sup>
	7.000	54.25	06/19/20	62.96	8.71 W	0.00	394 of 7072 - Sale <sup>25</sup>
	8.000	62.00	06/19/20	71.85	9.85 W	0.00	395 of 7072 - Sale <sup>25</sup>
	8.000	62.00	06/19/20	71.53	9.53 W	0.00	396 of 7072 - Sale <sup>25</sup>
	9.000	69.75	06/19/20	80.22	10.47 W	0.00	397 of 7072 - Sale <sup>25</sup>
	10.000	77.50	06/19/20	89.04	11.54 W	0.00	398 of 7072 - Sale <sup>25</sup>
	1.000	7.75	06/19/20	8.91	1.16 W	0.00	399 of 7072 - Sale <sup>25</sup>
	9.000	69.75	06/19/20	80.34	10.59 W	0.00	400 of 7072 - Sale <sup>25</sup>
	1.000	7.75	06/19/20	8.93	1.18 W	0.00	401 of 7072 - Sale <sup>25</sup>
	2.000	15.50	06/19/20	17.86	2.36 W	0.00	402 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	38.75	06/19/20	44.65	5.90 W	0.00	403 of 7072 - Sale <sup>25</sup>
	1.000	7.75	06/19/20	8.89	1.14 W	0.00	404 of 7072 - Sale <sup>25</sup>
	1.000	7.75	06/19/20	8.87	1.12 W	0.00	405 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	26.60	3.35 W	0.00	406 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	26.60	3.35 W	0.00	407 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	26.60	3.35 W	0.00	408 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	26.43	3.18 W	0.00	409 of 7072 - Sale <sup>25</sup>
	4.000	31.00	06/19/20	35.57	4.57 W	0.00	410 of 7072 - Sale <sup>25</sup>
	4.000	31.00	06/19/20	35.60	4.60 W	0.00	411 of 7072 - Sale <sup>25</sup>
	4.000	31.00	06/19/20	35.46	4.46 W	0.00	412 of 7072 - Sale <sup>25</sup>
	4.000	31.00	06/19/20	35.25	4.25 W	0.00	413 of 7072 - Sale <sup>25</sup>
	4.000	30.99	06/19/20	35.26	4.27 W	0.00	414 of 7072 - Sale <sup>25</sup>
	5.000	38.75	06/19/20	44.57	5.82 W	0.00	415 of 7072 - Sale <sup>25</sup>
	5.000	38.75	06/19/20	44.50	5.75 W	0.00	416 of 7072 - Sale <sup>25</sup>
	6.000	46.50	06/19/20	53.19	6.69 W	0.00	417 of 7072 - Sale <sup>25</sup>
	6.000	46.49	06/19/20	54.11	7.62 W	0.00	418 of 7072 - Sale <sup>25</sup>
	8.000	61.99	06/19/20	71.11	9.12 W	0.00	419 of 7072 - Sale <sup>25</sup>
	10.000	77.49	06/19/20	88.65	11.16 W	0.00	420 of 7072 - Sale <sup>25</sup>
	12.000	92.99	06/19/20	106.40	13.41 W	0.00	421 of 7072 - Sale <sup>25</sup>
	13.000	100.74	06/19/20	115.60	14.86 W	0.00	422 of 7072 - Sale <sup>25</sup>
	1.000	7.75	06/19/20	9.02	1.27 W	0.00	423 of 7072 - Sale <sup>25</sup>
	5.000	38.75	06/19/20	44.94	6.19 W	0.00	424 of 7072 - Sale <sup>25</sup>
	2.000	15.50	06/19/20	18.01	2.51 W	0.00	425 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	27.03	3.78 W	0.00	426 of 7072 - Sale <sup>25</sup>
	4.000	31.00	06/19/20	35.99	4.99 W	0.00	427 of 7072 - Sale <sup>25</sup>
	11.000	85.25	06/19/20	98.98	13.73 W	0.00	428 of 7072 - Sale <sup>25</sup>
	2.000	15.50	06/19/20	19.05	3.55 W	0.00	429 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	28.38	5.13 W	0.00	430 of 7072 - Sale <sup>25</sup>
	8.000	62.00	06/19/20	75.69	13.69 W	0.00	431 of 7072 - Sale <sup>25</sup>
	11.000	85.25	06/19/20	104.06	18.81 W	0.00	432 of 7072 - Sale <sup>25</sup>
	12.000	92.99	06/19/20	113.52	20.53 W	0.00	433 of 7072 - Sale <sup>25</sup>
	8.000	61.99	06/19/20	76.26	14.27 W	0.00	434 of 7072 - Sale <sup>25</sup>
	30.000	232.49	06/19/20	283.88	51.39 W	0.00	435 of 7072 - Sale <sup>25</sup>
	1.000	7.75	06/19/20	9.53	1.78 W	0.00	436 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	31.00	06/19/20	37.28	6.28 W	0.00	437 of 7072 - Sale <sup>25</sup>
	12.000	93.00	06/19/20	114.40	21.40 W	0.00	438 of 7072 - Sale <sup>25</sup>
	2.000	15.50	06/19/20	18.05	2.55 W	0.00	439 of 7072 - Sale <sup>25</sup>
	2.000	15.50	06/19/20	18.17	2.67 W	0.00	440 of 7072 - Sale <sup>25</sup>
	5.000	38.75	06/19/20	45.07	6.32 W	0.00	441 of 7072 - Sale <sup>25</sup>
	3.000	23.25	06/19/20	28.09	4.84 W	0.00	442 of 7072 - Sale <sup>25</sup>
	5.000	38.75	06/19/20	46.63	7.88 W	0.00	443 of 7072 - Sale <sup>25</sup>
	9.000	69.75	06/19/20	84.30	14.55 W	0.00	444 of 7072 - Sale <sup>25</sup>
	10.000	77.49	06/19/20	93.83	16.34 W	0.00	445 of 7072 - Sale <sup>25</sup>
	4.000	31.00	06/19/20	37.79	6.79 W	0.00	446 of 7072 - Sale <sup>25</sup>
	18.000	139.49	06/19/20	170.08	30.59 W	0.00	447 of 7072 - Sale <sup>25</sup>
	25.000	193.74	06/19/20	234.95	41.21 W	0.00	448 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.47	1.73 W	0.00	449 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.47	1.73 W	0.00	450 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.43	1.69 W	0.00	451 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.43	1.69 W	0.00	452 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.48	1.74 W	0.00	453 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.44	1.70 W	0.00	454 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.44	2.96 W	0.00	455 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	28.40	5.18 W	0.00	456 of 7072 - Sale <sup>25</sup>
	8.000	61.92	06/19/20	75.57	13.65 W	0.00	457 of 7072 - Sale <sup>25</sup>
	6.000	46.44	06/19/20	56.68	10.24 W	0.00	458 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.46	1.90 W	0.00	459 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.33	1.77 W	0.00	460 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.25	1.69 W	0.00	461 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.89	3.77 W	0.00	462 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.67	3.55 W	0.00	463 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.49	3.37 W	0.00	464 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.63	3.51 W	0.00	465 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.69	5.01 W	0.00	466 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.77	5.09 W	0.00	467 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.30	7.06 W	0.00	468 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	47.19	9.39 W	0.00	469 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.52	10.16 W	0.00	470 of 7072 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	68.04	06/19/20	83.22	15.18 W	0.00	471 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.12	4.44 W	0.00	472 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	92.36	16.76 W	0.00	473 of 7072 - Sale <sup>25</sup>
	46.000	347.73	06/19/20	430.93	83.20 W	0.00	474 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.04	1.49 W	0.00	475 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.07	1.52 W	0.00	476 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.01	1.46 W	0.00	477 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.02	1.47 W	0.00	478 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.07	1.52 W	0.00	479 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.99	1.44 W	0.00	480 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.97	1.42 W	0.00	481 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.00	1.45 W	0.00	482 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.91	1.36 W	0.00	483 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.94	2.84 W	0.00	484 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.89	2.79 W	0.00	485 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.82	2.72 W	0.00	486 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.20	4.55 W	0.00	487 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.01	4.36 W	0.00	488 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.93	4.28 W	0.00	489 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.94	4.29 W	0.00	490 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.72	4.07 W	0.00	491 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.72	4.07 W	0.00	492 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	36.00	5.80 W	0.00	493 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	35.91	5.71 W	0.00	494 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	53.43	8.13 W	0.00	495 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	63.00	10.15 W	0.00	496 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	54.25	8.95 W	0.00	497 of 7072 - Sale <sup>25</sup>
	11.000	83.05	06/19/20	98.77	15.72 W	0.00	498 of 7072 - Sale <sup>25</sup>
	41.000	309.53	06/19/20	368.87	59.34 W	0.00	499 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.15	1.60 W	0.00	500 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.09	1.54 W	0.00	501 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.28	4.63 W	0.00	502 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	36.54	6.34 W	0.00	503 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.13	4.48 W	0.00	504 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.74	06/19/20	45.57	7.83 W	0.00	505 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	54.22	8.92 W	0.00	506 of 7072 - Sale <sup>25</sup>
	22.000	166.09	06/19/20	201.32	35.23 W	0.00	507 of 7072 - Sale <sup>25</sup>
	43.000	324.63	06/19/20	391.14	66.51 W	0.00	508 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.04	1.49 W	0.00	509 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.90	1.35 W	0.00	510 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.90	1.35 W	0.00	511 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.80	1.25 W	0.00	512 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.28	1.73 W	0.00	513 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.07	1.52 W	0.00	514 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.07	1.52 W	0.00	515 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.32	1.77 W	0.00	516 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.35	1.80 W	0.00	517 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.82	2.72 W	0.00	518 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.80	2.70 W	0.00	519 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.63	2.53 W	0.00	520 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.25	3.15 W	0.00	521 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.64	3.54 W	0.00	522 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.66	3.56 W	0.00	523 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.65	3.55 W	0.00	524 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.62	3.52 W	0.00	525 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.87	3.77 W	0.00	526 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.91	3.81 W	0.00	527 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.29	4.64 W	0.00	528 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	37.55	7.35 W	0.00	529 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	37.38	7.18 W	0.00	530 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	45.32	7.57 W	0.00	531 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	46.54	8.79 W	0.00	532 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	55.07	9.77 W	0.00	533 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	56.21	10.91 W	0.00	534 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	28.37	5.72 W	0.00	535 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	63.52	10.67 W	0.00	536 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	65.29	12.44 W	0.00	537 of 7072 - Sale <sup>25</sup>
	9.000	67.95	06/19/20	82.56	14.61 W	0.00	538 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	67.94	06/19/20	85.07	17.13 W	0.00	539 of 7072 - Sale <sup>25</sup>
	11.000	83.04	06/19/20	102.71	19.67 W	0.00	540 of 7072 - Sale <sup>25</sup>
	11.000	83.04	06/19/20	102.83	19.79 W	0.00	541 of 7072 - Sale <sup>25</sup>
	13.000	98.15	06/19/20	121.52	23.37 W	0.00	542 of 7072 - Sale <sup>25</sup>
	15.000	113.25	06/19/20	136.12	22.87 W	0.00	543 of 7072 - Sale <sup>25</sup>
	15.000	113.24	06/19/20	135.94	22.70 W	0.00	544 of 7072 - Sale <sup>25</sup>
	18.000	135.89	06/19/20	168.29	32.40 W	0.00	545 of 7072 - Sale <sup>25</sup>
	20.000	150.99	06/19/20	183.44	32.45 W	0.00	546 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.91	3.73 W	0.00	547 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	37.82	7.46 W	0.00	548 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.37	5.60 W	0.00	549 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	94.45	18.55 W	0.00	550 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.46	1.87 W	0.00	551 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.46	1.87 W	0.00	552 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.44	1.85 W	0.00	553 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.58	1.99 W	0.00	554 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.99	2.40 W	0.00	555 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.85	3.67 W	0.00	556 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	50.04	12.09 W	0.00	557 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	66.17	13.04 W	0.00	558 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	65.81	12.68 W	0.00	559 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	94.05	18.15 W	0.00	560 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	91.84	15.94 W	0.00	561 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	94.55	18.65 W	0.00	562 of 7072 - Sale <sup>25</sup>
	13.000	98.67	06/19/20	122.27	23.60 W	0.00	563 of 7072 - Sale <sup>25</sup>
	13.000	98.66	06/19/20	122.65	23.99 W	0.00	564 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.98	2.39 W	0.00	565 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.97	2.38 W	0.00	566 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.77	2.18 W	0.00	567 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.80	2.21 W	0.00	568 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.91	3.73 W	0.00	569 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.23	4.05 W	0.00	570 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.59	4.41 W	0.00	571 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.61	6.84 W	0.00	572 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.95	06/19/20	47.27	9.32 W	0.00	573 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	49.37	11.42 W	0.00	574 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	68.35	15.22 W	0.00	575 of 7072 - Sale <sup>25</sup>
	8.000	60.72	06/19/20	78.28	17.56 W	0.00	576 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	88.07	19.76 W	0.00	577 of 7072 - Sale <sup>25</sup>
	9.000	68.30	06/19/20	88.72	20.42 W	0.00	578 of 7072 - Sale <sup>25</sup>
	23.000	174.56	06/19/20	224.64	50.08 W	0.00	579 of 7072 - Sale <sup>25</sup>
	28.000	212.50	06/19/20	276.55	64.05 W	0.00	580 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.72	4.54 W	0.00	581 of 7072 - Sale <sup>25</sup>
	10.000	75.89	06/19/20	98.60	22.71 W	0.00	582 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.82	2.24 W	0.00	583 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.69	4.53 W	0.00	584 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.59	4.43 W	0.00	585 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	29.80	7.06 W	0.00	586 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	49.21	11.31 W	0.00	587 of 7072 - Sale <sup>25</sup>
	10.000	75.80	06/19/20	98.54	22.74 W	0.00	588 of 7072 - Sale <sup>25</sup>
	10.000	75.80	06/19/20	98.18	22.38 W	0.00	589 of 7072 - Sale <sup>25</sup>
	10.000	75.80	06/19/20	98.59	22.79 W	0.00	590 of 7072 - Sale <sup>25</sup>
	23.000	174.33	06/19/20	225.63	51.30 W	0.00	591 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.73	2.15 W	0.00	592 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	38.77	8.45 W	0.00	593 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	49.05	11.15 W	0.00	594 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	68.64	15.58 W	0.00	595 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	60.10	14.62 W	0.00	596 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	59.42	13.94 W	0.00	597 of 7072 - Sale <sup>25</sup>
	22.000	166.75	06/19/20	217.59	50.84 W	0.00	598 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.72	2.14 W	0.00	599 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	29.21	6.47 W	0.00	600 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	38.77	8.45 W	0.00	601 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.76	4.60 W	0.00	602 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	29.67	6.93 W	0.00	603 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	39.57	9.25 W	0.00	604 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	39.45	9.13 W	0.00	605 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	59.36	13.88 W	0.00	606 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	75.80	06/19/20	99.04	23.24 W	0.00	607 of 7072 - Sale <sup>25</sup>
	13.000	98.54	06/19/20	128.26	29.72 W	0.00	608 of 7072 - Sale <sup>25</sup>
	14.000	106.11	06/19/20	138.08	31.97 W	0.00	609 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.86	2.28 W	0.00	610 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.70	4.54 W	0.00	611 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.70	4.54 W	0.00	612 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	49.28	11.38 W	0.00	613 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	59.42	13.94 W	0.00	614 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	59.43	13.95 W	0.00	615 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.76	2.18 W	0.00	616 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.48	4.32 W	0.00	617 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	29.16	6.42 W	0.00	618 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	48.55	10.65 W	0.00	619 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	48.55	10.65 W	0.00	620 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	58.52	13.04 W	0.00	621 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	68.16	15.10 W	0.00	622 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	68.03	14.97 W	0.00	623 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	68.03	14.97 W	0.00	624 of 7072 - Sale <sup>25</sup>
	10.000	75.80	06/19/20	97.22	21.42 W	0.00	625 of 7072 - Sale <sup>25</sup>
	12.000	90.96	06/19/20	116.88	25.92 W	0.00	626 of 7072 - Sale <sup>25</sup>
	13.000	98.53	06/19/20	126.16	27.63 W	0.00	627 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.82	2.24 W	0.00	628 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.81	2.23 W	0.00	629 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.63	2.05 W	0.00	630 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.40	4.24 W	0.00	631 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.23	4.07 W	0.00	632 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	29.09	6.35 W	0.00	633 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	38.82	8.50 W	0.00	634 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	48.52	10.62 W	0.00	635 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	58.04	12.56 W	0.00	636 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	58.21	12.73 W	0.00	637 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	67.91	14.85 W	0.00	638 of 7072 - Sale <sup>25</sup>
	9.000	68.22	06/19/20	88.33	20.11 W	0.00	639 of 7072 - Sale <sup>25</sup>
	10.000	75.79	06/19/20	96.96	21.17 W	0.00	640 of 7072 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
16.000		121.27	06/19/20	157.04	35.77 W	0.00	641 of 7072 - Sale <sup>25</sup>
17.000		128.85	06/19/20	164.98	36.13 W	0.00	642 of 7072 - Sale <sup>25</sup>
10.000		75.79	06/19/20	96.64	20.85 W	0.00	643 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.70	2.12 W	0.00	644 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.62	2.04 W	0.00	645 of 7072 - Sale <sup>25</sup>
3.000		22.74	06/19/20	28.72	5.98 W	0.00	646 of 7072 - Sale <sup>25</sup>
5.000		37.90	06/19/20	48.16	10.26 W	0.00	647 of 7072 - Sale <sup>25</sup>
9.000		68.22	06/19/20	86.05	17.83 W	0.00	648 of 7072 - Sale <sup>25</sup>
10.000		75.79	06/19/20	96.75	20.96 W	0.00	649 of 7072 - Sale <sup>25</sup>
15.000		113.69	06/19/20	144.54	30.85 W	0.00	650 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.42	1.84 W	0.00	651 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.37	1.79 W	0.00	652 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.20	1.62 W	0.00	653 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.29	1.71 W	0.00	654 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.26	1.68 W	0.00	655 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	18.80	3.64 W	0.00	656 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	18.68	3.52 W	0.00	657 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	18.44	3.28 W	0.00	658 of 7072 - Sale <sup>25</sup>
3.000		22.74	06/19/20	27.48	4.74 W	0.00	659 of 7072 - Sale <sup>25</sup>
8.000		60.64	06/19/20	75.54	14.90 W	0.00	660 of 7072 - Sale <sup>25</sup>
15.000		113.69	06/19/20	140.27	26.58 W	0.00	661 of 7072 - Sale <sup>25</sup>
19.000		144.01	06/19/20	177.50	33.49 W	0.00	662 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.55	1.97 W	0.00	663 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	9.30	1.72 W	0.00	664 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	8.92	1.34 W	0.00	665 of 7072 - Sale <sup>25</sup>
1.000		7.58	06/19/20	8.91	1.33 W	0.00	666 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	17.81	2.65 W	0.00	667 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	17.82	2.66 W	0.00	668 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	17.64	2.48 W	0.00	669 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	17.63	2.47 W	0.00	670 of 7072 - Sale <sup>25</sup>
2.000		15.16	06/19/20	17.65	2.49 W	0.00	671 of 7072 - Sale <sup>25</sup>
3.000		22.74	06/19/20	26.71	3.97 W	0.00	672 of 7072 - Sale <sup>25</sup>
3.000		22.74	06/19/20	26.45	3.71 W	0.00	673 of 7072 - Sale <sup>25</sup>
5.000		37.90	06/19/20	44.43	6.53 W	0.00	674 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.90	06/19/20	44.43	6.53 W	0.00	675 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	44.34	6.44 W	0.00	676 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	44.52	6.62 W	0.00	677 of 7072 - Sale <sup>25</sup>
	6.000	45.47	06/19/20	53.45	7.98 W	0.00	678 of 7072 - Sale <sup>25</sup>
	7.000	53.05	06/19/20	65.08	12.03 W	0.00	679 of 7072 - Sale <sup>25</sup>
	8.000	60.62	06/19/20	70.62	10.00 W	0.00	680 of 7072 - Sale <sup>25</sup>
	10.000	75.79	06/19/20	88.88	13.09 W	0.00	681 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.83	1.25 W	0.00	682 of 7072 - Sale <sup>25</sup>
	28.000	212.23	06/19/20	246.68	34.45 W	0.00	683 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.60	2.02 W	0.00	684 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	19.09	3.93 W	0.00	685 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	38.42	8.10 W	0.00	686 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	57.64	12.16 W	0.00	687 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.80	1.22 W	0.00	688 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.07	1.49 W	0.00	689 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.20	1.62 W	0.00	690 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.26	3.10 W	0.00	691 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.38	3.22 W	0.00	692 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.40	3.24 W	0.00	693 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.32	3.16 W	0.00	694 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.57	4.83 W	0.00	695 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.60	4.86 W	0.00	696 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.58	4.84 W	0.00	697 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	35.29	4.97 W	0.00	698 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	35.15	4.83 W	0.00	699 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	45.99	8.09 W	0.00	700 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	54.77	9.29 W	0.00	701 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	63.90	10.84 W	0.00	702 of 7072 - Sale <sup>25</sup>
	9.000	68.22	06/19/20	82.83	14.61 W	0.00	703 of 7072 - Sale <sup>25</sup>
	11.000	83.38	06/19/20	100.64	17.26 W	0.00	704 of 7072 - Sale <sup>25</sup>
	21.000	159.17	06/19/20	192.40	33.23 W	0.00	705 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.48	1.90 W	0.00	706 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.55	1.97 W	0.00	707 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.52	1.94 W	0.00	708 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.74	06/19/20	28.61	5.87 W	0.00	709 of 7072 - Sale <sup>25</sup>
	8.000	60.64	06/19/20	76.06	15.42 W	0.00	710 of 7072 - Sale <sup>25</sup>
	15.000	113.70	06/19/20	142.65	28.95 W	0.00	711 of 7072 - Sale <sup>25</sup>
	23.000	174.33	06/19/20	218.05	43.72 W	0.00	712 of 7072 - Sale <sup>25</sup>
	29.000	219.81	06/19/20	275.53	55.72 W	0.00	713 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.10	2.94 W	0.00	714 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	63.24	10.18 W	0.00	715 of 7072 - Sale <sup>25</sup>
	10.000	75.80	06/19/20	91.61	15.81 W	0.00	716 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.27	1.69 W	0.00	717 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.26	1.68 W	0.00	718 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.22	1.64 W	0.00	719 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.37	3.21 W	0.00	720 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	36.05	5.73 W	0.00	721 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.03	1.45 W	0.00	722 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.07	1.49 W	0.00	723 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.11	2.95 W	0.00	724 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.84	2.68 W	0.00	725 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.50	4.76 W	0.00	726 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.73	3.99 W	0.00	727 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	45.79	7.89 W	0.00	728 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	45.25	7.35 W	0.00	729 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	45.21	7.31 W	0.00	730 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	44.51	6.61 W	0.00	731 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	55.11	9.63 W	0.00	732 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	54.60	9.12 W	0.00	733 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	63.60	10.54 W	0.00	734 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	64.37	11.31 W	0.00	735 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	62.31	9.25 W	0.00	736 of 7072 - Sale <sup>25</sup>
	8.000	60.64	06/19/20	72.77	12.13 W	0.00	737 of 7072 - Sale <sup>25</sup>
	8.000	60.64	06/19/20	71.22	10.58 W	0.00	738 of 7072 - Sale <sup>25</sup>
	10.000	75.80	06/19/20	91.56	15.76 W	0.00	739 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.47	3.73 W	0.00	740 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	35.24	4.92 W	0.00	741 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	60.70	7.64 W	0.00	742 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.58	06/19/20	8.70	1.12 W	0.00	743 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.63	1.05 W	0.00	744 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.65	1.07 W	0.00	745 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.61	1.03 W	0.00	746 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.31	2.15 W	0.00	747 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.14	3.40 W	0.00	748 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	34.46	4.14 W	0.00	749 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	34.49	4.17 W	0.00	750 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	43.60	5.70 W	0.00	751 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	43.27	5.37 W	0.00	752 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	43.18	5.28 W	0.00	753 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	43.12	5.22 W	0.00	754 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	61.02	7.96 W	0.00	755 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	60.29	7.23 W	0.00	756 of 7072 - Sale <sup>25</sup>
	8.000	60.64	06/19/20	69.31	8.67 W	0.00	757 of 7072 - Sale <sup>25</sup>
	9.000	68.22	06/19/20	77.73	9.51 W	0.00	758 of 7072 - Sale <sup>25</sup>
	9.000	68.22	06/19/20	77.85	9.63 W	0.00	759 of 7072 - Sale <sup>25</sup>
	10.000	75.80	06/19/20	86.27	10.47 W	0.00	760 of 7072 - Sale <sup>25</sup>
	13.000	98.53	06/19/20	112.01	13.48 W	0.00	761 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.36	3.20 W	0.00	762 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.61	1.03 W	0.00	763 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.59	1.01 W	0.00	764 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.74	1.16 W	0.00	765 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.46	2.30 W	0.00	766 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	25.77	3.03 W	0.00	767 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	25.77	3.03 W	0.00	768 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	25.77	3.03 W	0.00	769 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	25.60	2.86 W	0.00	770 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.20	3.46 W	0.00	771 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	34.35	4.03 W	0.00	772 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	34.14	3.82 W	0.00	773 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	34.16	3.84 W	0.00	774 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	34.88	4.56 W	0.00	775 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	43.55	5.65 W	0.00	776 of 7072 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.48	06/19/20	51.52	6.04 W	0.00	777 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	52.45	6.97 W	0.00	778 of 7072 - Sale <sup>25</sup>
	10.000	75.79	06/19/20	85.89	10.10 W	0.00	779 of 7072 - Sale <sup>25</sup>
	11.000	83.37	06/19/20	95.93	12.56 W	0.00	780 of 7072 - Sale <sup>25</sup>
	12.000	90.95	06/19/20	103.08	12.13 W	0.00	781 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.25	1.67 W	0.00	782 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.50	3.34 W	0.00	783 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.09	2.93 W	0.00	784 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.55	4.81 W	0.00	785 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	36.17	5.85 W	0.00	786 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	55.11	9.63 W	0.00	787 of 7072 - Sale <sup>25</sup>
	8.000	60.64	06/19/20	74.05	13.41 W	0.00	788 of 7072 - Sale <sup>25</sup>
	11.000	83.37	06/19/20	101.01	17.64 W	0.00	789 of 7072 - Sale <sup>25</sup>
	12.000	90.95	06/19/20	110.20	19.25 W	0.00	790 of 7072 - Sale <sup>25</sup>
	12.000	90.95	06/19/20	111.07	20.12 W	0.00	791 of 7072 - Sale <sup>25</sup>
	30.000	227.38	06/19/20	275.58	48.20 W	0.00	792 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.50	2.34 W	0.00	793 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.62	2.46 W	0.00	794 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	43.69	5.79 W	0.00	795 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.23	1.65 W	0.00	796 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.23	1.65 W	0.00	797 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.19	1.61 W	0.00	798 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.19	1.61 W	0.00	799 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.24	1.66 W	0.00	800 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.19	1.61 W	0.00	801 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.39	1.81 W	0.00	802 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.95	2.79 W	0.00	803 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.75	3.59 W	0.00	804 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.22	4.48 W	0.00	805 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.33	4.59 W	0.00	806 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.67	4.93 W	0.00	807 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	36.77	6.45 W	0.00	808 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	55.22	9.74 W	0.00	809 of 7072 - Sale <sup>25</sup>
	8.000	60.63	06/19/20	73.62	12.99 W	0.00	810 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	68.21	06/19/20	82.01	13.80 W	0.00	811 of 7072 - Sale <sup>25</sup>
	10.000	75.79	06/19/20	91.30	15.51 W	0.00	812 of 7072 - Sale <sup>25</sup>
	18.000	136.43	06/19/20	165.52	29.09 W	0.00	813 of 7072 - Sale <sup>25</sup>
	25.000	189.48	06/19/20	228.61	39.13 W	0.00	814 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.32	1.74 W	0.00	815 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.24	1.66 W	0.00	816 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.87	3.71 W	0.00	817 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.65	3.49 W	0.00	818 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.47	3.31 W	0.00	819 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	28.28	5.54 W	0.00	820 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.66	4.92 W	0.00	821 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.74	5.00 W	0.00	822 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	37.26	6.94 W	0.00	823 of 7072 - Sale <sup>25</sup>
	6.000	45.47	06/19/20	55.46	9.99 W	0.00	824 of 7072 - Sale <sup>25</sup>
	9.000	68.21	06/19/20	83.13	14.92 W	0.00	825 of 7072 - Sale <sup>25</sup>
	10.000	75.79	06/19/20	92.26	16.47 W	0.00	826 of 7072 - Sale <sup>25</sup>
	23.000	174.32	06/19/20	215.25	40.93 W	0.00	827 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	18.61	3.45 W	0.00	828 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.09	4.35 W	0.00	829 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.12	4.38 W	0.00	830 of 7072 - Sale <sup>25</sup>
	23.000	174.34	06/19/20	215.25	40.91 W	0.00	831 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.05	1.47 W	0.00	832 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.08	1.50 W	0.00	833 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.02	1.44 W	0.00	834 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.03	1.45 W	0.00	835 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.08	1.50 W	0.00	836 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.00	1.42 W	0.00	837 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.98	1.40 W	0.00	838 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.01	1.43 W	0.00	839 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.96	2.80 W	0.00	840 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.91	2.75 W	0.00	841 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.16	4.42 W	0.00	842 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.23	4.49 W	0.00	843 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.04	4.30 W	0.00	844 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.74	06/19/20	26.96	4.22 W	0.00	845 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.97	4.23 W	0.00	846 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.75	4.01 W	0.00	847 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.75	4.01 W	0.00	848 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	36.04	5.72 W	0.00	849 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	35.95	5.63 W	0.00	850 of 7072 - Sale <sup>25</sup>
	7.000	53.06	06/19/20	63.07	10.01 W	0.00	851 of 7072 - Sale <sup>25</sup>
	11.000	83.38	06/19/20	98.88	15.50 W	0.00	852 of 7072 - Sale <sup>25</sup>
	41.000	310.77	06/19/20	369.30	58.53 W	0.00	853 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	8.91	1.33 W	0.00	854 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.83	2.67 W	0.00	855 of 7072 - Sale <sup>25</sup>
	2.000	15.16	06/19/20	17.83	2.67 W	0.00	856 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.72	3.98 W	0.00	857 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	26.73	3.99 W	0.00	858 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.15	1.57 W	0.00	859 of 7072 - Sale <sup>25</sup>
	1.000	7.58	06/19/20	9.09	1.51 W	0.00	860 of 7072 - Sale <sup>25</sup>
	3.000	22.74	06/19/20	27.29	4.55 W	0.00	861 of 7072 - Sale <sup>25</sup>
	3.000	22.73	06/19/20	27.15	4.42 W	0.00	862 of 7072 - Sale <sup>25</sup>
	4.000	30.32	06/19/20	36.56	6.24 W	0.00	863 of 7072 - Sale <sup>25</sup>
	5.000	37.90	06/19/20	45.60	7.70 W	0.00	864 of 7072 - Sale <sup>25</sup>
	6.000	45.48	06/19/20	54.25	8.77 W	0.00	865 of 7072 - Sale <sup>25</sup>
	22.000	166.75	06/19/20	201.42	34.67 W	0.00	866 of 7072 - Sale <sup>25</sup>
	43.000	325.92	06/19/20	391.35	65.43 W	0.00	867 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.04	1.45 W	0.00	868 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	8.90	1.31 W	0.00	869 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	8.90	1.31 W	0.00	870 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	8.80	1.21 W	0.00	871 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.28	1.69 W	0.00	872 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.07	1.48 W	0.00	873 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.07	1.48 W	0.00	874 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.32	1.73 W	0.00	875 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.35	1.76 W	0.00	876 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.42	1.83 W	0.00	877 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.42	1.83 W	0.00	878 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.59	06/19/20	9.40	1.81 W	0.00	879 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.54	1.95 W	0.00	880 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.95	2.36 W	0.00	881 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.94	2.35 W	0.00	882 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.93	2.34 W	0.00	883 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.83	2.24 W	0.00	884 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.79	2.20 W	0.00	885 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.78	2.19 W	0.00	886 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.60	2.01 W	0.00	887 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.67	2.08 W	0.00	888 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.59	2.00 W	0.00	889 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.52	1.93 W	0.00	890 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.80	2.62 W	0.00	891 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.63	2.45 W	0.00	892 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.25	3.07 W	0.00	893 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.64	3.46 W	0.00	894 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.66	3.48 W	0.00	895 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.65	3.47 W	0.00	896 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.62	3.44 W	0.00	897 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.87	3.69 W	0.00	898 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.91	3.73 W	0.00	899 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.83	3.65 W	0.00	900 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.77	3.59 W	0.00	901 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.70	4.52 W	0.00	902 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.64	4.46 W	0.00	903 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.64	4.46 W	0.00	904 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.34	4.16 W	0.00	905 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.17	3.99 W	0.00	906 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.03	3.85 W	0.00	907 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	27.29	4.52 W	0.00	908 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.37	5.60 W	0.00	909 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.25	5.48 W	0.00	910 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.58	6.81 W	0.00	911 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.00	6.23 W	0.00	912 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.77	06/19/20	28.63	5.86 W	0.00	913 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	37.55	7.19 W	0.00	914 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	37.38	7.02 W	0.00	915 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	37.66	7.30 W	0.00	916 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	39.45	9.09 W	0.00	917 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	39.33	8.97 W	0.00	918 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	38.70	8.34 W	0.00	919 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	45.32	7.37 W	0.00	920 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	46.54	8.59 W	0.00	921 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	49.84	11.89 W	0.00	922 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	49.13	11.18 W	0.00	923 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	48.37	10.42 W	0.00	924 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	48.01	10.06 W	0.00	925 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	55.07	9.53 W	0.00	926 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	56.21	10.67 W	0.00	927 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	59.92	14.38 W	0.00	928 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	59.24	13.70 W	0.00	929 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	59.18	13.64 W	0.00	930 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	59.24	13.70 W	0.00	931 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	59.25	13.71 W	0.00	932 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	57.86	12.32 W	0.00	933 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	58.03	12.49 W	0.00	934 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	63.52	10.39 W	0.00	935 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	65.29	12.16 W	0.00	936 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	65.89	12.76 W	0.00	937 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	65.53	12.40 W	0.00	938 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	67.70	14.57 W	0.00	939 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	82.56	14.25 W	0.00	940 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	85.08	16.77 W	0.00	941 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	88.06	19.75 W	0.00	942 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	85.78	17.47 W	0.00	943 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	94.05	18.15 W	0.00	944 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	94.15	18.25 W	0.00	945 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	93.65	17.75 W	0.00	946 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		75.90	06/19/20	91.44	15.54 W	0.00	947 of 7072 - Sale <sup>25</sup>
10.000		75.90	06/19/20	98.74	22.84 W	0.00	948 of 7072 - Sale <sup>25</sup>
10.000		75.90	06/19/20	96.67	20.77 W	0.00	949 of 7072 - Sale <sup>25</sup>
10.000		75.90	06/19/20	96.46	20.56 W	0.00	950 of 7072 - Sale <sup>25</sup>
11.000		83.49	06/19/20	102.72	19.23 W	0.00	951 of 7072 - Sale <sup>25</sup>
11.000		83.49	06/19/20	102.84	19.35 W	0.00	952 of 7072 - Sale <sup>25</sup>
13.000		98.67	06/19/20	121.52	22.85 W	0.00	953 of 7072 - Sale <sup>25</sup>
13.000		98.67	06/19/20	121.75	23.08 W	0.00	954 of 7072 - Sale <sup>25</sup>
13.000		98.67	06/19/20	122.14	23.47 W	0.00	955 of 7072 - Sale <sup>25</sup>
13.000		98.67	06/19/20	127.87	29.20 W	0.00	956 of 7072 - Sale <sup>25</sup>
14.000		106.26	06/19/20	137.67	31.41 W	0.00	957 of 7072 - Sale <sup>25</sup>
15.000		113.85	06/19/20	136.12	22.27 W	0.00	958 of 7072 - Sale <sup>25</sup>
15.000		113.85	06/19/20	135.95	22.10 W	0.00	959 of 7072 - Sale <sup>25</sup>
15.000		113.84	06/19/20	144.10	30.26 W	0.00	960 of 7072 - Sale <sup>25</sup>
16.000		121.44	06/19/20	156.57	35.13 W	0.00	961 of 7072 - Sale <sup>25</sup>
17.000		129.02	06/19/20	164.48	35.46 W	0.00	962 of 7072 - Sale <sup>25</sup>
18.000		136.62	06/19/20	168.30	31.68 W	0.00	963 of 7072 - Sale <sup>25</sup>
20.000		151.80	06/19/20	183.45	31.65 W	0.00	964 of 7072 - Sale <sup>25</sup>
22.000		166.97	06/19/20	216.94	49.97 W	0.00	965 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.73	2.14 W	0.00	966 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.76	2.17 W	0.00	967 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.79	2.20 W	0.00	968 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.70	2.11 W	0.00	969 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.69	2.10 W	0.00	970 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.73	2.14 W	0.00	971 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.39	1.80 W	0.00	972 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.34	1.75 W	0.00	973 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.17	1.58 W	0.00	974 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.26	1.67 W	0.00	975 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.23	1.64 W	0.00	976 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	9.27	1.68 W	0.00	977 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	8.89	1.30 W	0.00	978 of 7072 - Sale <sup>25</sup>
1.000		7.59	06/19/20	8.88	1.29 W	0.00	979 of 7072 - Sale <sup>25</sup>
2.000		15.18	06/19/20	18.83	3.65 W	0.00	980 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.18	06/19/20	19.15	3.97 W	0.00	981 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.51	4.33 W	0.00	982 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.64	4.46 W	0.00	983 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.63	4.45 W	0.00	984 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.53	4.35 W	0.00	985 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.42	4.24 W	0.00	986 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.74	3.56 W	0.00	987 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.62	3.44 W	0.00	988 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.38	3.20 W	0.00	989 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.75	2.57 W	0.00	990 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.76	2.58 W	0.00	991 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.58	2.40 W	0.00	992 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.57	2.39 W	0.00	993 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.59	2.41 W	0.00	994 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.49	6.72 W	0.00	995 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.71	6.94 W	0.00	996 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.12	6.35 W	0.00	997 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.07	6.30 W	0.00	998 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	27.39	4.62 W	0.00	999 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	26.62	3.85 W	0.00	1000 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	26.36	3.59 W	0.00	1001 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	38.65	8.29 W	0.00	1002 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	38.65	8.29 W	0.00	1003 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	47.07	9.12 W	0.00	1004 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	49.17	11.22 W	0.00	1005 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	49.06	11.11 W	0.00	1006 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	48.90	10.95 W	0.00	1007 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	48.40	10.45 W	0.00	1008 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	48.40	10.45 W	0.00	1009 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	44.28	6.33 W	0.00	1010 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	44.28	6.33 W	0.00	1011 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	44.19	6.24 W	0.00	1012 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	44.37	6.42 W	0.00	1013 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	58.34	12.80 W	0.00	1014 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.53	06/19/20	53.28	7.75 W	0.00	1015 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	68.07	14.94 W	0.00	1016 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	68.43	15.30 W	0.00	1017 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	67.95	14.82 W	0.00	1018 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	67.82	14.69 W	0.00	1019 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	67.82	14.69 W	0.00	1020 of 7072 - Sale <sup>25</sup>
	7.000	53.12	06/19/20	64.88	11.76 W	0.00	1021 of 7072 - Sale <sup>25</sup>
	8.000	60.72	06/19/20	77.96	17.24 W	0.00	1022 of 7072 - Sale <sup>25</sup>
	8.000	60.72	06/19/20	75.30	14.58 W	0.00	1023 of 7072 - Sale <sup>25</sup>
	8.000	60.71	06/19/20	70.40	9.69 W	0.00	1024 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	87.71	19.40 W	0.00	1025 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	88.37	20.06 W	0.00	1026 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	98.21	22.31 W	0.00	1027 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	98.29	22.39 W	0.00	1028 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	98.24	22.34 W	0.00	1029 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	97.88	21.98 W	0.00	1030 of 7072 - Sale <sup>25</sup>
	10.000	75.89	06/19/20	96.92	21.03 W	0.00	1031 of 7072 - Sale <sup>25</sup>
	10.000	75.89	06/19/20	96.35	20.46 W	0.00	1032 of 7072 - Sale <sup>25</sup>
	10.000	75.89	06/19/20	88.59	12.70 W	0.00	1033 of 7072 - Sale <sup>25</sup>
	12.000	91.07	06/19/20	116.52	25.45 W	0.00	1034 of 7072 - Sale <sup>25</sup>
	13.000	98.66	06/19/20	125.78	27.12 W	0.00	1035 of 7072 - Sale <sup>25</sup>
	15.000	113.84	06/19/20	139.83	25.99 W	0.00	1036 of 7072 - Sale <sup>25</sup>
	19.000	144.20	06/19/20	176.94	32.74 W	0.00	1037 of 7072 - Sale <sup>25</sup>
	23.000	174.56	06/19/20	223.73	49.17 W	0.00	1038 of 7072 - Sale <sup>25</sup>
	23.000	174.56	06/19/20	224.95	50.39 W	0.00	1039 of 7072 - Sale <sup>25</sup>
	28.000	212.51	06/19/20	275.45	62.94 W	0.00	1040 of 7072 - Sale <sup>25</sup>
	28.000	212.49	06/19/20	245.85	33.36 W	0.00	1041 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.80	1.30 W	0.00	1042 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.66	2.16 W	0.00	1043 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.54	2.04 W	0.00	1044 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.55	2.05 W	0.00	1045 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	38.65	8.65 W	0.00	1046 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	57.98	12.98 W	0.00	1047 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.86	1.36 W	0.00	1048 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.50	06/19/20	9.13	1.63 W	0.00	1049 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.26	1.76 W	0.00	1050 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.37	3.37 W	0.00	1051 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.49	3.49 W	0.00	1052 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.51	3.51 W	0.00	1053 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.43	3.43 W	0.00	1054 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.74	5.24 W	0.00	1055 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.77	5.27 W	0.00	1056 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.75	5.25 W	0.00	1057 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	35.52	5.52 W	0.00	1058 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	35.37	5.37 W	0.00	1059 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	46.27	8.77 W	0.00	1060 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	55.11	10.11 W	0.00	1061 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	64.29	11.79 W	0.00	1062 of 7072 - Sale <sup>25</sup>
	9.000	67.50	06/19/20	83.34	15.84 W	0.00	1063 of 7072 - Sale <sup>25</sup>
	11.000	82.50	06/19/20	101.26	18.76 W	0.00	1064 of 7072 - Sale <sup>25</sup>
	21.000	157.49	06/19/20	193.59	36.10 W	0.00	1065 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.58	2.08 W	0.00	1066 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.55	2.05 W	0.00	1067 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	28.70	6.20 W	0.00	1068 of 7072 - Sale <sup>25</sup>
	8.000	60.00	06/19/20	76.30	16.30 W	0.00	1069 of 7072 - Sale <sup>25</sup>
	15.000	112.50	06/19/20	143.10	30.60 W	0.00	1070 of 7072 - Sale <sup>25</sup>
	23.000	172.49	06/19/20	218.75	46.26 W	0.00	1071 of 7072 - Sale <sup>25</sup>
	28.000	209.99	06/19/20	266.88	56.89 W	0.00	1072 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.16	3.16 W	0.00	1073 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.09	3.09 W	0.00	1074 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	63.44	10.94 W	0.00	1075 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	91.91	16.91 W	0.00	1076 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.29	1.79 W	0.00	1077 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.28	1.78 W	0.00	1078 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.24	1.74 W	0.00	1079 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.06	3.06 W	0.00	1080 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.41	3.41 W	0.00	1081 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	36.42	6.42 W	0.00	1082 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.50	06/19/20	45.89	8.39 W	0.00	1083 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	45.35	7.85 W	0.00	1084 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	45.31	7.81 W	0.00	1085 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	55.23	10.23 W	0.00	1086 of 7072 - Sale <sup>25</sup>
	8.000	60.00	06/19/20	72.93	12.93 W	0.00	1087 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	91.76	16.76 W	0.00	1088 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.04	1.54 W	0.00	1089 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.08	1.58 W	0.00	1090 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.83	1.33 W	0.00	1091 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.13	3.13 W	0.00	1092 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.86	2.86 W	0.00	1093 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.29	4.79 W	0.00	1094 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.53	5.03 W	0.00	1095 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.76	4.26 W	0.00	1096 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	35.28	5.28 W	0.00	1097 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	44.56	7.06 W	0.00	1098 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	54.66	9.66 W	0.00	1099 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	64.44	11.94 W	0.00	1100 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	62.38	9.88 W	0.00	1101 of 7072 - Sale <sup>25</sup>
	8.000	60.00	06/19/20	71.30	11.30 W	0.00	1102 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.71	1.21 W	0.00	1103 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.67	2.67 W	0.00	1104 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.17	3.67 W	0.00	1105 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	34.59	4.59 W	0.00	1106 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	43.65	6.15 W	0.00	1107 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	60.77	8.27 W	0.00	1108 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	61.09	8.59 W	0.00	1109 of 7072 - Sale <sup>25</sup>
	8.000	60.00	06/19/20	69.39	9.39 W	0.00	1110 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	86.37	11.37 W	0.00	1111 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.22	1.72 W	0.00	1112 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.20	1.70 W	0.00	1113 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.20	1.70 W	0.00	1114 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.16	1.66 W	0.00	1115 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.16	1.66 W	0.00	1116 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.50	06/19/20	9.21	1.71 W	0.00	1117 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.16	1.66 W	0.00	1118 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.36	1.86 W	0.00	1119 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.29	1.79 W	0.00	1120 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.21	1.71 W	0.00	1121 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.30	3.30 W	0.00	1122 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.44	3.44 W	0.00	1123 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.03	3.03 W	0.00	1124 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.89	2.89 W	0.00	1125 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.69	3.69 W	0.00	1126 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.81	3.81 W	0.00	1127 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.59	3.59 W	0.00	1128 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.41	3.41 W	0.00	1129 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.46	4.96 W	0.00	1130 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.13	4.63 W	0.00	1131 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.24	4.74 W	0.00	1132 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.58	5.08 W	0.00	1133 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	28.19	5.69 W	0.00	1134 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.57	5.07 W	0.00	1135 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.65	5.15 W	0.00	1136 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	36.05	6.05 W	0.00	1137 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	36.65	6.65 W	0.00	1138 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	37.14	7.14 W	0.00	1139 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	37.32	7.32 W	0.00	1140 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	54.93	9.93 W	0.00	1141 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	55.04	10.04 W	0.00	1142 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	55.29	10.29 W	0.00	1143 of 7072 - Sale <sup>25</sup>
	8.000	60.00	06/19/20	73.81	13.81 W	0.00	1144 of 7072 - Sale <sup>25</sup>
	8.000	60.00	06/19/20	73.39	13.39 W	0.00	1145 of 7072 - Sale <sup>25</sup>
	9.000	67.50	06/19/20	81.75	14.25 W	0.00	1146 of 7072 - Sale <sup>25</sup>
	9.000	67.50	06/19/20	82.87	15.37 W	0.00	1147 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	91.01	16.01 W	0.00	1148 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	91.97	16.97 W	0.00	1149 of 7072 - Sale <sup>25</sup>
	11.000	82.50	06/19/20	100.69	18.19 W	0.00	1150 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
12.000		90.00	06/19/20	109.85	19.85 W	0.00	1151 of 7072 - Sale <sup>25</sup>
12.000		90.00	06/19/20	110.72	20.72 W	0.00	1152 of 7072 - Sale <sup>25</sup>
18.000		134.99	06/19/20	164.99	30.00 W	0.00	1153 of 7072 - Sale <sup>25</sup>
25.000		187.49	06/19/20	227.88	40.39 W	0.00	1154 of 7072 - Sale <sup>25</sup>
30.000		224.99	06/19/20	274.70	49.71 W	0.00	1155 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	8.60	1.10 W	0.00	1156 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	8.62	1.12 W	0.00	1157 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	8.58	1.08 W	0.00	1158 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	8.58	1.08 W	0.00	1159 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	8.56	1.06 W	0.00	1160 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	8.71	1.21 W	0.00	1161 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	17.25	2.25 W	0.00	1162 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	17.40	2.40 W	0.00	1163 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	17.44	2.44 W	0.00	1164 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	17.56	2.56 W	0.00	1165 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	25.68	3.18 W	0.00	1166 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	25.68	3.18 W	0.00	1167 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	25.68	3.18 W	0.00	1168 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	25.51	3.01 W	0.00	1169 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	26.11	3.61 W	0.00	1170 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	34.34	4.34 W	0.00	1171 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	34.37	4.37 W	0.00	1172 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	34.23	4.23 W	0.00	1173 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	34.02	4.02 W	0.00	1174 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	34.04	4.04 W	0.00	1175 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	34.76	4.76 W	0.00	1176 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	43.03	5.53 W	0.00	1177 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	43.12	5.62 W	0.00	1178 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	43.03	5.53 W	0.00	1179 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	42.97	5.47 W	0.00	1180 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	43.40	5.90 W	0.00	1181 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	43.54	6.04 W	0.00	1182 of 7072 - Sale <sup>25</sup>
6.000		45.00	06/19/20	51.34	6.34 W	0.00	1183 of 7072 - Sale <sup>25</sup>
6.000		45.00	06/19/20	52.27	7.27 W	0.00	1184 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	52.50	06/19/20	60.08	7.58 W	0.00	1185 of 7072 - Sale <sup>25</sup>
	9.000	67.50	06/19/20	77.58	10.08 W	0.00	1186 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	85.60	10.60 W	0.00	1187 of 7072 - Sale <sup>25</sup>
	11.000	82.49	06/19/20	95.61	13.12 W	0.00	1188 of 7072 - Sale <sup>25</sup>
	12.000	89.99	06/19/20	102.73	12.74 W	0.00	1189 of 7072 - Sale <sup>25</sup>
	13.000	97.49	06/19/20	111.63	14.14 W	0.00	1190 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.02	1.52 W	0.00	1191 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.05	1.55 W	0.00	1192 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.99	1.49 W	0.00	1193 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.00	1.50 W	0.00	1194 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.05	1.55 W	0.00	1195 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.97	1.47 W	0.00	1196 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.95	1.45 W	0.00	1197 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.98	1.48 W	0.00	1198 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.88	1.38 W	0.00	1199 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.86	1.36 W	0.00	1200 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.86	1.36 W	0.00	1201 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.76	1.26 W	0.00	1202 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.24	1.74 W	0.00	1203 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.03	1.53 W	0.00	1204 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.03	1.53 W	0.00	1205 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.28	1.78 W	0.00	1206 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.31	1.81 W	0.00	1207 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.55	3.55 W	0.00	1208 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.90	2.90 W	0.00	1209 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.85	2.85 W	0.00	1210 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.77	2.77 W	0.00	1211 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.77	2.77 W	0.00	1212 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.72	2.72 W	0.00	1213 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.55	2.55 W	0.00	1214 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.17	3.17 W	0.00	1215 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.56	3.56 W	0.00	1216 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.58	3.58 W	0.00	1217 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.57	3.57 W	0.00	1218 of 7072 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.00	06/19/20	18.54	3.54 W	0.00	1219 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.00	4.50 W	0.00	1220 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.03	4.53 W	0.00	1221 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.07	4.57 W	0.00	1222 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.14	4.64 W	0.00	1223 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.95	4.45 W	0.00	1224 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.87	4.37 W	0.00	1225 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.88	4.38 W	0.00	1226 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.66	4.16 W	0.00	1227 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.66	4.16 W	0.00	1228 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.63	4.13 W	0.00	1229 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.64	4.14 W	0.00	1230 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.17	4.67 W	0.00	1231 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	35.92	5.92 W	0.00	1232 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	35.83	5.83 W	0.00	1233 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	37.39	7.39 W	0.00	1234 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	37.22	7.22 W	0.00	1235 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	45.12	7.62 W	0.00	1236 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	46.34	8.84 W	0.00	1237 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	54.83	9.83 W	0.00	1238 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	55.97	10.97 W	0.00	1239 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	62.86	10.36 W	0.00	1240 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	63.24	10.74 W	0.00	1241 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	65.01	12.51 W	0.00	1242 of 7072 - Sale <sup>25</sup>
	9.000	67.49	06/19/20	82.20	14.71 W	0.00	1243 of 7072 - Sale <sup>25</sup>
	11.000	82.49	06/19/20	98.55	16.06 W	0.00	1244 of 7072 - Sale <sup>25</sup>
	11.000	82.49	06/19/20	102.28	19.79 W	0.00	1245 of 7072 - Sale <sup>25</sup>
	11.000	82.49	06/19/20	102.41	19.92 W	0.00	1246 of 7072 - Sale <sup>25</sup>
	15.000	112.49	06/19/20	135.52	23.03 W	0.00	1247 of 7072 - Sale <sup>25</sup>
	15.000	112.49	06/19/20	135.35	22.86 W	0.00	1248 of 7072 - Sale <sup>25</sup>
	19.000	142.49	06/19/20	177.26	34.77 W	0.00	1249 of 7072 - Sale <sup>25</sup>
	20.000	149.99	06/19/20	182.65	32.66 W	0.00	1250 of 7072 - Sale <sup>25</sup>
	23.000	172.49	06/19/20	214.56	42.07 W	0.00	1251 of 7072 - Sale <sup>25</sup>
	41.000	307.48	06/19/20	368.08	60.60 W	0.00	1252 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.50	06/19/20	9.12	1.62 W	0.00	1253 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.06	1.56 W	0.00	1254 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.00	1.50 W	0.00	1255 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.20	4.70 W	0.00	1256 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.07	4.57 W	0.00	1257 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	36.44	6.44 W	0.00	1258 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	45.45	7.95 W	0.00	1259 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	54.07	9.07 W	0.00	1260 of 7072 - Sale <sup>25</sup>
	22.000	164.98	06/19/20	200.77	35.79 W	0.00	1261 of 7072 - Sale <sup>25</sup>
	43.000	322.47	06/19/20	390.08	67.61 W	0.00	1262 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.38	1.88 W	0.00	1263 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.38	1.88 W	0.00	1264 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.36	1.86 W	0.00	1265 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.50	2.00 W	0.00	1266 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.91	2.41 W	0.00	1267 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.90	2.40 W	0.00	1268 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.89	2.39 W	0.00	1269 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.79	3.79 W	0.00	1270 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.83	3.83 W	0.00	1271 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.75	3.75 W	0.00	1272 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.69	3.69 W	0.00	1273 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	28.25	5.75 W	0.00	1274 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	28.13	5.63 W	0.00	1275 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	37.50	7.50 W	0.00	1276 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	49.64	12.14 W	0.00	1277 of 7072 - Sale <sup>25</sup>
	6.000	44.99	06/19/20	59.68	14.69 W	0.00	1278 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	39.33	9.33 W	0.00	1279 of 7072 - Sale <sup>25</sup>
	7.000	52.49	06/19/20	65.17	12.68 W	0.00	1280 of 7072 - Sale <sup>25</sup>
	7.000	52.49	06/19/20	65.61	13.12 W	0.00	1281 of 7072 - Sale <sup>25</sup>
	7.000	52.49	06/19/20	65.25	12.76 W	0.00	1282 of 7072 - Sale <sup>25</sup>
	9.000	67.49	06/19/20	84.72	17.23 W	0.00	1283 of 7072 - Sale <sup>25</sup>
	10.000	74.99	06/19/20	93.65	18.66 W	0.00	1284 of 7072 - Sale <sup>25</sup>
	10.000	74.99	06/19/20	93.75	18.76 W	0.00	1285 of 7072 - Sale <sup>25</sup>
	10.000	74.99	06/19/20	93.25	18.26 W	0.00	1286 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		74.99	06/19/20	91.04	16.05 W	0.00	1287 of 7072 - Sale <sup>25</sup>
11.000		82.49	06/19/20	102.40	19.91 W	0.00	1288 of 7072 - Sale <sup>25</sup>
13.000		97.49	06/19/20	121.00	23.51 W	0.00	1289 of 7072 - Sale <sup>25</sup>
13.000		97.49	06/19/20	121.23	23.74 W	0.00	1290 of 7072 - Sale <sup>25</sup>
13.000		97.49	06/19/20	121.62	24.13 W	0.00	1291 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	9.79	2.29 W	0.00	1292 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	9.75	2.25 W	0.00	1293 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	9.74	2.24 W	0.00	1294 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	9.56	2.06 W	0.00	1295 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	9.63	2.13 W	0.00	1296 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	9.55	2.05 W	0.00	1297 of 7072 - Sale <sup>25</sup>
1.000		7.50	06/19/20	9.48	1.98 W	0.00	1298 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	19.62	4.62 W	0.00	1299 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	19.56	4.56 W	0.00	1300 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	19.56	4.56 W	0.00	1301 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	19.26	4.26 W	0.00	1302 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	19.09	4.09 W	0.00	1303 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	18.95	3.95 W	0.00	1304 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	29.46	6.96 W	0.00	1305 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	28.88	6.38 W	0.00	1306 of 7072 - Sale <sup>25</sup>
3.000		22.50	06/19/20	28.51	6.01 W	0.00	1307 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	39.29	9.29 W	0.00	1308 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	39.17	9.17 W	0.00	1309 of 7072 - Sale <sup>25</sup>
4.000		30.00	06/19/20	38.54	8.54 W	0.00	1310 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	48.93	11.43 W	0.00	1311 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	48.17	10.67 W	0.00	1312 of 7072 - Sale <sup>25</sup>
5.000		37.50	06/19/20	47.81	10.31 W	0.00	1313 of 7072 - Sale <sup>25</sup>
2.000		15.00	06/19/20	19.67	4.67 W	0.00	1314 of 7072 - Sale <sup>25</sup>
6.000		45.00	06/19/20	58.94	13.94 W	0.00	1315 of 7072 - Sale <sup>25</sup>
6.000		45.00	06/19/20	59.00	14.00 W	0.00	1316 of 7072 - Sale <sup>25</sup>
6.000		45.00	06/19/20	59.01	14.01 W	0.00	1317 of 7072 - Sale <sup>25</sup>
6.000		45.00	06/19/20	57.62	12.62 W	0.00	1318 of 7072 - Sale <sup>25</sup>
6.000		45.00	06/19/20	57.79	12.79 W	0.00	1319 of 7072 - Sale <sup>25</sup>
7.000		52.50	06/19/20	67.42	14.92 W	0.00	1320 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	67.50	06/19/20	87.70	20.20 W	0.00	1321 of 7072 - Sale <sup>25</sup>
	9.000	67.50	06/19/20	85.42	17.92 W	0.00	1322 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	98.34	23.34 W	0.00	1323 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	96.27	21.27 W	0.00	1324 of 7072 - Sale <sup>25</sup>
	10.000	75.00	06/19/20	96.06	21.06 W	0.00	1325 of 7072 - Sale <sup>25</sup>
	13.000	97.51	06/19/20	127.35	29.84 W	0.00	1326 of 7072 - Sale <sup>25</sup>
	14.000	105.01	06/19/20	137.11	32.10 W	0.00	1327 of 7072 - Sale <sup>25</sup>
	15.000	112.51	06/19/20	143.51	31.00 W	0.00	1328 of 7072 - Sale <sup>25</sup>
	16.000	120.01	06/19/20	155.93	35.92 W	0.00	1329 of 7072 - Sale <sup>25</sup>
	17.000	127.51	06/19/20	163.81	36.30 W	0.00	1330 of 7072 - Sale <sup>25</sup>
	22.000	165.01	06/19/20	216.07	51.06 W	0.00	1331 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.37	1.87 W	0.00	1332 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.69	2.19 W	0.00	1333 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.72	2.22 W	0.00	1334 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.75	2.25 W	0.00	1335 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.66	2.16 W	0.00	1336 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.65	2.15 W	0.00	1337 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.69	2.19 W	0.00	1338 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.75	3.75 W	0.00	1339 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	19.07	4.07 W	0.00	1340 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	19.43	4.43 W	0.00	1341 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	19.56	4.56 W	0.00	1342 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	19.55	4.55 W	0.00	1343 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	19.45	4.45 W	0.00	1344 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	19.34	4.34 W	0.00	1345 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	29.37	6.87 W	0.00	1346 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	29.59	7.09 W	0.00	1347 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	29.00	6.50 W	0.00	1348 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	28.95	6.45 W	0.00	1349 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	37.50	7.50 W	0.00	1350 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	38.49	8.49 W	0.00	1351 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	38.49	8.49 W	0.00	1352 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	48.97	11.47 W	0.00	1353 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	48.86	11.36 W	0.00	1354 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.50	06/19/20	48.70	11.20 W	0.00	1355 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	48.20	10.70 W	0.00	1356 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	48.20	10.70 W	0.00	1357 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	46.86	9.36 W	0.00	1358 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	58.10	13.10 W	0.00	1359 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	67.79	15.29 W	0.00	1360 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	68.15	15.65 W	0.00	1361 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	67.67	15.17 W	0.00	1362 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	67.54	15.04 W	0.00	1363 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	67.54	15.04 W	0.00	1364 of 7072 - Sale <sup>25</sup>
	8.000	60.00	06/19/20	77.64	17.64 W	0.00	1365 of 7072 - Sale <sup>25</sup>
	9.000	67.50	06/19/20	87.35	19.85 W	0.00	1366 of 7072 - Sale <sup>25</sup>
	9.000	67.50	06/19/20	88.01	20.51 W	0.00	1367 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	97.81	22.80 W	0.00	1368 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	97.89	22.88 W	0.00	1369 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	97.84	22.83 W	0.00	1370 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	97.48	22.47 W	0.00	1371 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	96.53	21.52 W	0.00	1372 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	95.96	20.95 W	0.00	1373 of 7072 - Sale <sup>25</sup>
	12.000	90.01	06/19/20	116.05	26.04 W	0.00	1374 of 7072 - Sale <sup>25</sup>
	13.000	97.51	06/19/20	125.27	27.76 W	0.00	1375 of 7072 - Sale <sup>25</sup>
	23.000	172.51	06/19/20	222.82	50.31 W	0.00	1376 of 7072 - Sale <sup>25</sup>
	23.000	172.51	06/19/20	224.04	51.53 W	0.00	1377 of 7072 - Sale <sup>25</sup>
	28.000	210.01	06/19/20	274.34	64.33 W	0.00	1378 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.35	1.85 W	0.00	1379 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.30	1.80 W	0.00	1380 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.13	1.63 W	0.00	1381 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.22	1.72 W	0.00	1382 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.19	1.69 W	0.00	1383 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.23	1.73 W	0.00	1384 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.85	1.35 W	0.00	1385 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.84	1.34 W	0.00	1386 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.84	1.34 W	0.00	1387 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.65	3.65 W	0.00	1388 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.00	06/19/20	18.53	3.53 W	0.00	1389 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.30	3.30 W	0.00	1390 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.66	2.66 W	0.00	1391 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	28.11	5.61 W	0.00	1392 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.26	4.76 W	0.00	1393 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	44.07	6.57 W	0.00	1394 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	44.07	6.57 W	0.00	1395 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	43.97	6.47 W	0.00	1396 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	44.16	6.66 W	0.00	1397 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	53.03	8.03 W	0.00	1398 of 7072 - Sale <sup>25</sup>
	7.000	52.50	06/19/20	64.59	12.09 W	0.00	1399 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	88.17	13.16 W	0.00	1400 of 7072 - Sale <sup>25</sup>
	15.000	112.51	06/19/20	139.20	26.69 W	0.00	1401 of 7072 - Sale <sup>25</sup>
	19.000	142.51	06/19/20	176.14	33.63 W	0.00	1402 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.69	2.19 W	0.00	1403 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.57	2.07 W	0.00	1404 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.58	2.08 W	0.00	1405 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	38.77	8.77 W	0.00	1406 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	58.16	13.16 W	0.00	1407 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.82	1.32 W	0.00	1408 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.83	1.33 W	0.00	1409 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	8.89	1.39 W	0.00	1410 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.16	1.66 W	0.00	1411 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.28	1.78 W	0.00	1412 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.46	2.46 W	0.00	1413 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.45	2.45 W	0.00	1414 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.47	2.47 W	0.00	1415 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.43	3.43 W	0.00	1416 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.44	3.94 W	0.00	1417 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	26.18	3.68 W	0.00	1418 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	35.64	5.64 W	0.00	1419 of 7072 - Sale <sup>25</sup>
	4.000	30.00	06/19/20	35.49	5.49 W	0.00	1420 of 7072 - Sale <sup>25</sup>
	6.000	45.00	06/19/20	55.29	10.29 W	0.00	1421 of 7072 - Sale <sup>25</sup>
	7.000	52.51	06/19/20	64.50	11.99 W	0.00	1422 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	60.01	06/19/20	69.93	9.92 W	0.00	1423 of 7072 - Sale <sup>25</sup>
	11.000	82.51	06/19/20	101.59	19.08 W	0.00	1424 of 7072 - Sale <sup>25</sup>
	28.000	210.02	06/19/20	244.20	34.18 W	0.00	1425 of 7072 - Sale <sup>25</sup>
	22.000	165.02	06/19/20	208.81	43.79 W	0.00	1426 of 7072 - Sale <sup>25</sup>
	28.000	210.02	06/19/20	266.33	56.31 W	0.00	1427 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.24	1.74 W	0.00	1428 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.45	3.45 W	0.00	1429 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.45	3.45 W	0.00	1430 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.47	3.47 W	0.00	1431 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.39	3.39 W	0.00	1432 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.71	5.21 W	0.00	1433 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	27.69	5.19 W	0.00	1434 of 7072 - Sale <sup>25</sup>
	5.000	37.50	06/19/20	46.17	8.67 W	0.00	1435 of 7072 - Sale <sup>25</sup>
	9.000	67.51	06/19/20	83.16	15.65 W	0.00	1436 of 7072 - Sale <sup>25</sup>
	21.000	157.52	06/19/20	193.18	35.66 W	0.00	1437 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.47	1.97 W	0.00	1438 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.54	2.04 W	0.00	1439 of 7072 - Sale <sup>25</sup>
	8.000	60.01	06/19/20	75.98	15.97 W	0.00	1440 of 7072 - Sale <sup>25</sup>
	15.000	112.51	06/19/20	142.50	29.99 W	0.00	1441 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.51	2.01 W	0.00	1442 of 7072 - Sale <sup>25</sup>
	3.000	22.50	06/19/20	28.58	6.08 W	0.00	1443 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.08	3.08 W	0.00	1444 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.01	3.01 W	0.00	1445 of 7072 - Sale <sup>25</sup>
	7.000	52.51	06/19/20	63.16	10.65 W	0.00	1446 of 7072 - Sale <sup>25</sup>
	10.000	75.01	06/19/20	91.51	16.50 W	0.00	1447 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.24	1.74 W	0.00	1448 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.23	1.73 W	0.00	1449 of 7072 - Sale <sup>25</sup>
	1.000	7.50	06/19/20	9.19	1.69 W	0.00	1450 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	17.97	2.97 W	0.00	1451 of 7072 - Sale <sup>25</sup>
	2.000	15.00	06/19/20	18.32	3.32 W	0.00	1452 of 7072 - Sale <sup>25</sup>
	3.000	22.51	06/19/20	27.48	4.97 W	0.00	1453 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.99	1.51 W	0.00	1454 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.04	1.56 W	0.00	1455 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	18.04	3.08 W	0.00	1456 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.96	06/19/20	17.77	2.81 W	0.00	1457 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	17.55	2.59 W	0.00	1458 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	27.15	4.71 W	0.00	1459 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	27.39	4.95 W	0.00	1460 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	26.62	4.18 W	0.00	1461 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	36.24	6.32 W	0.00	1462 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	45.66	8.26 W	0.00	1463 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	45.12	7.72 W	0.00	1464 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	45.08	7.68 W	0.00	1465 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	44.34	6.94 W	0.00	1466 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	27.48	5.04 W	0.00	1467 of 7072 - Sale <sup>25</sup>
	6.000	44.88	06/19/20	54.39	9.51 W	0.00	1468 of 7072 - Sale <sup>25</sup>
	7.000	52.36	06/19/20	64.12	11.76 W	0.00	1469 of 7072 - Sale <sup>25</sup>
	7.000	52.36	06/19/20	62.06	9.70 W	0.00	1470 of 7072 - Sale <sup>25</sup>
	8.000	59.84	06/19/20	72.57	12.73 W	0.00	1471 of 7072 - Sale <sup>25</sup>
	8.000	59.84	06/19/20	70.94	11.10 W	0.00	1472 of 7072 - Sale <sup>25</sup>
	10.000	74.80	06/19/20	91.30	16.50 W	0.00	1473 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.81	1.33 W	0.00	1474 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	17.59	2.63 W	0.00	1475 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	17.62	2.66 W	0.00	1476 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	43.28	5.88 W	0.00	1477 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	18.04	3.08 W	0.00	1478 of 7072 - Sale <sup>25</sup>
	6.000	44.88	06/19/20	54.15	9.27 W	0.00	1479 of 7072 - Sale <sup>25</sup>
	11.000	82.28	06/19/20	99.26	16.98 W	0.00	1480 of 7072 - Sale <sup>25</sup>
	12.000	89.76	06/19/20	108.29	18.53 W	0.00	1481 of 7072 - Sale <sup>25</sup>
	29.000	216.91	06/19/20	261.78	44.87 W	0.00	1482 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.58	1.10 W	0.00	1483 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	17.10	2.14 W	0.00	1484 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	25.78	3.34 W	0.00	1485 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	34.07	4.15 W	0.00	1486 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	43.00	5.60 W	0.00	1487 of 7072 - Sale <sup>25</sup>
	7.000	52.36	06/19/20	60.18	7.82 W	0.00	1488 of 7072 - Sale <sup>25</sup>
	8.000	59.84	06/19/20	68.35	8.51 W	0.00	1489 of 7072 - Sale <sup>25</sup>
	10.000	74.80	06/19/20	85.07	10.27 W	0.00	1490 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.48	06/19/20	9.05	1.57 W	0.00	1491 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.11	1.63 W	0.00	1492 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	18.21	3.25 W	0.00	1493 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	17.80	2.84 W	0.00	1494 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	27.12	4.68 W	0.00	1495 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	26.79	4.35 W	0.00	1496 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	26.90	4.46 W	0.00	1497 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	35.60	5.68 W	0.00	1498 of 7072 - Sale <sup>25</sup>
	8.000	59.84	06/19/20	72.91	13.07 W	0.00	1499 of 7072 - Sale <sup>25</sup>
	9.000	67.32	06/19/20	80.74	13.42 W	0.00	1500 of 7072 - Sale <sup>25</sup>
	10.000	74.80	06/19/20	89.89	15.09 W	0.00	1501 of 7072 - Sale <sup>25</sup>
	12.000	89.76	06/19/20	109.37	19.61 W	0.00	1502 of 7072 - Sale <sup>25</sup>
	17.000	127.15	06/19/20	153.92	26.77 W	0.00	1503 of 7072 - Sale <sup>25</sup>
	25.000	186.99	06/19/20	225.07	38.08 W	0.00	1504 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.17	1.69 W	0.00	1505 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.20	1.72 W	0.00	1506 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.20	1.72 W	0.00	1507 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.16	1.68 W	0.00	1508 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.16	1.68 W	0.00	1509 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.21	1.73 W	0.00	1510 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.16	1.68 W	0.00	1511 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.36	1.88 W	0.00	1512 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.29	1.81 W	0.00	1513 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	9.21	1.73 W	0.00	1514 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	17.89	2.93 W	0.00	1515 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	18.69	3.73 W	0.00	1516 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	18.81	3.85 W	0.00	1517 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	18.59	3.63 W	0.00	1518 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	18.41	3.45 W	0.00	1519 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	27.58	5.14 W	0.00	1520 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	28.19	5.75 W	0.00	1521 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	27.57	5.13 W	0.00	1522 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	27.65	5.21 W	0.00	1523 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	36.65	6.73 W	0.00	1524 of 7072 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.92	06/19/20	37.14	7.22 W	0.00	1525 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	37.32	7.40 W	0.00	1526 of 7072 - Sale <sup>25</sup>
	6.000	44.88	06/19/20	55.04	10.16 W	0.00	1527 of 7072 - Sale <sup>25</sup>
	6.000	44.88	06/19/20	55.29	10.41 W	0.00	1528 of 7072 - Sale <sup>25</sup>
	8.000	59.84	06/19/20	73.39	13.55 W	0.00	1529 of 7072 - Sale <sup>25</sup>
	9.000	67.32	06/19/20	82.87	15.55 W	0.00	1530 of 7072 - Sale <sup>25</sup>
	10.000	74.80	06/19/20	91.97	17.17 W	0.00	1531 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.60	1.12 W	0.00	1532 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.62	1.14 W	0.00	1533 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.62	1.14 W	0.00	1534 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	43.03	5.63 W	0.00	1535 of 7072 - Sale <sup>25</sup>
	9.000	67.31	06/19/20	77.58	10.27 W	0.00	1536 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.57	1.09 W	0.00	1537 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.57	1.09 W	0.00	1538 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.55	1.07 W	0.00	1539 of 7072 - Sale <sup>25</sup>
	1.000	7.48	06/19/20	8.70	1.22 W	0.00	1540 of 7072 - Sale <sup>25</sup>
	2.000	14.96	06/19/20	17.23	2.27 W	0.00	1541 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	25.65	3.21 W	0.00	1542 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	25.65	3.21 W	0.00	1543 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	25.65	3.21 W	0.00	1544 of 7072 - Sale <sup>25</sup>
	3.000	22.44	06/19/20	25.48	3.04 W	0.00	1545 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	34.46	4.54 W	0.00	1546 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	34.30	4.38 W	0.00	1547 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	34.33	4.41 W	0.00	1548 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	34.19	4.27 W	0.00	1549 of 7072 - Sale <sup>25</sup>
	4.000	29.92	06/19/20	33.98	4.06 W	0.00	1550 of 7072 - Sale <sup>25</sup>
	4.000	29.91	06/19/20	33.99	4.08 W	0.00	1551 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	42.98	5.58 W	0.00	1552 of 7072 - Sale <sup>25</sup>
	5.000	37.40	06/19/20	42.92	5.52 W	0.00	1553 of 7072 - Sale <sup>25</sup>
	6.000	44.87	06/19/20	51.28	6.41 W	0.00	1554 of 7072 - Sale <sup>25</sup>
	7.000	52.35	06/19/20	60.01	7.66 W	0.00	1555 of 7072 - Sale <sup>25</sup>
	10.000	74.79	06/19/20	85.50	10.71 W	0.00	1556 of 7072 - Sale <sup>25</sup>
	12.000	89.75	06/19/20	102.62	12.87 W	0.00	1557 of 7072 - Sale <sup>25</sup>
	13.000	97.23	06/19/20	111.51	14.28 W	0.00	1558 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.52	06/19/20	8.74	1.22 W	0.00	1559 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.47	2.43 W	0.00	1560 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	43.71	6.11 W	0.00	1561 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	43.55	5.95 W	0.00	1562 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.38	1.86 W	0.00	1563 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.38	1.86 W	0.00	1564 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.36	1.84 W	0.00	1565 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.50	1.98 W	0.00	1566 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.91	2.39 W	0.00	1567 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.90	2.38 W	0.00	1568 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.89	2.37 W	0.00	1569 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.75	3.71 W	0.00	1570 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.69	3.65 W	0.00	1571 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.67	4.63 W	0.00	1572 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	37.46	7.38 W	0.00	1573 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	39.33	9.25 W	0.00	1574 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	49.64	12.04 W	0.00	1575 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	59.69	14.57 W	0.00	1576 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	65.62	12.98 W	0.00	1577 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	65.26	12.62 W	0.00	1578 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	93.76	18.56 W	0.00	1579 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	93.26	18.06 W	0.00	1580 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	91.05	15.85 W	0.00	1581 of 7072 - Sale <sup>25</sup>
	13.000	97.76	06/19/20	121.24	23.48 W	0.00	1582 of 7072 - Sale <sup>25</sup>
	13.000	97.76	06/19/20	121.63	23.87 W	0.00	1583 of 7072 - Sale <sup>25</sup>
	18.000	135.35	06/19/20	176.78	41.43 W	0.00	1584 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.05	1.53 W	0.00	1585 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.08	1.56 W	0.00	1586 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.02	1.50 W	0.00	1587 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.03	1.51 W	0.00	1588 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.08	1.56 W	0.00	1589 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.00	1.48 W	0.00	1590 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.98	1.46 W	0.00	1591 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.01	1.49 W	0.00	1592 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.52	06/19/20	8.91	1.39 W	0.00	1593 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.89	1.37 W	0.00	1594 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.89	1.37 W	0.00	1595 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.79	1.27 W	0.00	1596 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.27	1.75 W	0.00	1597 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.06	1.54 W	0.00	1598 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.06	1.54 W	0.00	1599 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.31	1.79 W	0.00	1600 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.34	1.82 W	0.00	1601 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.61	3.57 W	0.00	1602 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.96	2.92 W	0.00	1603 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.91	2.87 W	0.00	1604 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.83	2.79 W	0.00	1605 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.83	2.79 W	0.00	1606 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.78	2.74 W	0.00	1607 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.61	2.57 W	0.00	1608 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.23	3.19 W	0.00	1609 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.62	3.58 W	0.00	1610 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.64	3.60 W	0.00	1611 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.63	3.59 W	0.00	1612 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.60	3.56 W	0.00	1613 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.85	3.81 W	0.00	1614 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.89	3.85 W	0.00	1615 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.09	4.53 W	0.00	1616 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.12	4.56 W	0.00	1617 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.16	4.60 W	0.00	1618 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.23	4.67 W	0.00	1619 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.04	4.48 W	0.00	1620 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.96	4.40 W	0.00	1621 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.97	4.41 W	0.00	1622 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.75	4.19 W	0.00	1623 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.75	4.19 W	0.00	1624 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.72	4.16 W	0.00	1625 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.73	4.17 W	0.00	1626 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.56	06/19/20	27.26	4.70 W	0.00	1627 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.34	5.78 W	0.00	1628 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.22	5.66 W	0.00	1629 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	36.04	5.96 W	0.00	1630 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	35.95	5.87 W	0.00	1631 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	37.51	7.43 W	0.00	1632 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	37.34	7.26 W	0.00	1633 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	37.62	7.54 W	0.00	1634 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	45.27	7.67 W	0.00	1635 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	46.49	8.89 W	0.00	1636 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	55.01	9.89 W	0.00	1637 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	56.15	11.03 W	0.00	1638 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	56.38	11.26 W	0.00	1639 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	63.07	10.43 W	0.00	1640 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	63.45	10.81 W	0.00	1641 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	65.22	12.58 W	0.00	1642 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	65.39	12.75 W	0.00	1643 of 7072 - Sale <sup>25</sup>
	9.000	67.68	06/19/20	82.48	14.80 W	0.00	1644 of 7072 - Sale <sup>25</sup>
	9.000	67.68	06/19/20	85.00	17.32 W	0.00	1645 of 7072 - Sale <sup>25</sup>
	11.000	82.72	06/19/20	98.89	16.17 W	0.00	1646 of 7072 - Sale <sup>25</sup>
	11.000	82.72	06/19/20	102.62	19.90 W	0.00	1647 of 7072 - Sale <sup>25</sup>
	11.000	82.72	06/19/20	102.75	20.03 W	0.00	1648 of 7072 - Sale <sup>25</sup>
	11.000	82.72	06/19/20	102.74	20.02 W	0.00	1649 of 7072 - Sale <sup>25</sup>
	13.000	97.76	06/19/20	121.40	23.64 W	0.00	1650 of 7072 - Sale <sup>25</sup>
	15.000	112.80	06/19/20	135.98	23.18 W	0.00	1651 of 7072 - Sale <sup>25</sup>
	15.000	112.80	06/19/20	135.81	23.01 W	0.00	1652 of 7072 - Sale <sup>25</sup>
	19.000	142.87	06/19/20	177.84	34.97 W	0.00	1653 of 7072 - Sale <sup>25</sup>
	20.000	150.39	06/19/20	183.26	32.87 W	0.00	1654 of 7072 - Sale <sup>25</sup>
	23.000	172.95	06/19/20	215.26	42.31 W	0.00	1655 of 7072 - Sale <sup>25</sup>
	41.000	308.31	06/19/20	369.33	61.02 W	0.00	1656 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.73	1.21 W	0.00	1657 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.15	1.63 W	0.00	1658 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.09	1.57 W	0.00	1659 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.03	1.51 W	0.00	1660 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.04	06/19/20	17.46	2.42 W	0.00	1661 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.50	2.46 W	0.00	1662 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.62	2.58 W	0.00	1663 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.29	4.73 W	0.00	1664 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.16	4.60 W	0.00	1665 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	34.88	4.80 W	0.00	1666 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	36.56	6.48 W	0.00	1667 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	43.69	6.09 W	0.00	1668 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	45.60	8.00 W	0.00	1669 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	54.25	9.13 W	0.00	1670 of 7072 - Sale <sup>25</sup>
	11.000	82.72	06/19/20	95.95	13.23 W	0.00	1671 of 7072 - Sale <sup>25</sup>
	22.000	165.43	06/19/20	201.45	36.02 W	0.00	1672 of 7072 - Sale <sup>25</sup>
	43.000	323.34	06/19/20	391.40	68.06 W	0.00	1673 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.79	2.27 W	0.00	1674 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.75	2.23 W	0.00	1675 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.74	2.22 W	0.00	1676 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.56	2.04 W	0.00	1677 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.63	2.11 W	0.00	1678 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.62	4.58 W	0.00	1679 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.56	4.52 W	0.00	1680 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.56	4.52 W	0.00	1681 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.26	4.22 W	0.00	1682 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.09	4.05 W	0.00	1683 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	29.46	6.90 W	0.00	1684 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.88	6.32 W	0.00	1685 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.51	5.95 W	0.00	1686 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	39.28	9.20 W	0.00	1687 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	39.29	9.21 W	0.00	1688 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	39.17	9.09 W	0.00	1689 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	38.54	8.46 W	0.00	1690 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	48.93	11.33 W	0.00	1691 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	48.17	10.57 W	0.00	1692 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	58.94	13.82 W	0.00	1693 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	59.00	13.88 W	0.00	1694 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.12	06/19/20	59.01	13.89 W	0.00	1695 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	57.62	12.50 W	0.00	1696 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	57.79	12.67 W	0.00	1697 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	67.42	14.78 W	0.00	1698 of 7072 - Sale <sup>25</sup>
	9.000	67.68	06/19/20	87.70	20.02 W	0.00	1699 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	98.34	23.14 W	0.00	1700 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	96.27	21.07 W	0.00	1701 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	96.06	20.86 W	0.00	1702 of 7072 - Sale <sup>25</sup>
	13.000	97.75	06/19/20	127.34	29.59 W	0.00	1703 of 7072 - Sale <sup>25</sup>
	14.000	105.27	06/19/20	137.10	31.83 W	0.00	1704 of 7072 - Sale <sup>25</sup>
	15.000	112.79	06/19/20	143.50	30.71 W	0.00	1705 of 7072 - Sale <sup>25</sup>
	16.000	120.31	06/19/20	155.92	35.61 W	0.00	1706 of 7072 - Sale <sup>25</sup>
	17.000	127.83	06/19/20	163.80	35.97 W	0.00	1707 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.55	2.03 W	0.00	1708 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.48	1.96 W	0.00	1709 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.95	3.91 W	0.00	1710 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	47.81	10.21 W	0.00	1711 of 7072 - Sale <sup>25</sup>
	9.000	67.68	06/19/20	85.42	17.74 W	0.00	1712 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.37	1.85 W	0.00	1713 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.69	2.17 W	0.00	1714 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.72	2.20 W	0.00	1715 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.75	2.23 W	0.00	1716 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.74	2.22 W	0.00	1717 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.75	3.71 W	0.00	1718 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.07	4.03 W	0.00	1719 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.43	4.39 W	0.00	1720 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.56	4.52 W	0.00	1721 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.55	4.51 W	0.00	1722 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.45	4.41 W	0.00	1723 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	29.37	6.81 W	0.00	1724 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	29.59	7.03 W	0.00	1725 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	37.50	7.42 W	0.00	1726 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	48.97	11.37 W	0.00	1727 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	48.86	11.26 W	0.00	1728 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	52.64	06/19/20	67.79	15.15 W	0.00	1729 of 7072 - Sale <sup>25</sup>
	8.000	60.16	06/19/20	77.64	17.48 W	0.00	1730 of 7072 - Sale <sup>25</sup>
	9.000	67.68	06/19/20	87.35	19.67 W	0.00	1731 of 7072 - Sale <sup>25</sup>
	9.000	67.68	06/19/20	88.01	20.33 W	0.00	1732 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	97.80	22.60 W	0.00	1733 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	97.88	22.68 W	0.00	1734 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	97.83	22.63 W	0.00	1735 of 7072 - Sale <sup>25</sup>
	10.000	75.20	06/19/20	97.47	22.27 W	0.00	1736 of 7072 - Sale <sup>25</sup>
	23.000	172.95	06/19/20	222.81	49.86 W	0.00	1737 of 7072 - Sale <sup>25</sup>
	28.000	210.55	06/19/20	274.33	63.78 W	0.00	1738 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.63	2.11 W	0.00	1739 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.62	2.10 W	0.00	1740 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.66	2.14 W	0.00	1741 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.62	2.10 W	0.00	1742 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	19.28	4.24 W	0.00	1743 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.91	6.35 W	0.00	1744 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.86	6.30 W	0.00	1745 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	38.37	8.29 W	0.00	1746 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	38.37	8.29 W	0.00	1747 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	48.55	10.95 W	0.00	1748 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	67.94	15.30 W	0.00	1749 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	67.46	14.82 W	0.00	1750 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	67.33	14.69 W	0.00	1751 of 7072 - Sale <sup>25</sup>
	12.000	90.23	06/19/20	115.68	25.45 W	0.00	1752 of 7072 - Sale <sup>25</sup>
	22.000	165.43	06/19/20	213.63	48.20 W	0.00	1753 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.66	2.14 W	0.00	1754 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.54	2.02 W	0.00	1755 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.55	2.03 W	0.00	1756 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	38.65	8.57 W	0.00	1757 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	57.98	12.86 W	0.00	1758 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.32	1.80 W	0.00	1759 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.27	1.75 W	0.00	1760 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.10	1.58 W	0.00	1761 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.19	1.67 W	0.00	1762 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.52	06/19/20	9.16	1.64 W	0.00	1763 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.20	1.68 W	0.00	1764 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.82	1.30 W	0.00	1765 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.81	1.29 W	0.00	1766 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.81	1.29 W	0.00	1767 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.79	1.27 W	0.00	1768 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.59	3.55 W	0.00	1769 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.47	3.43 W	0.00	1770 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.24	3.20 W	0.00	1771 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.60	2.56 W	0.00	1772 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.40	2.36 W	0.00	1773 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.39	2.35 W	0.00	1774 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.41	2.37 W	0.00	1775 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.02	5.46 W	0.00	1776 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.17	4.61 W	0.00	1777 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.35	3.79 W	0.00	1778 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.09	3.53 W	0.00	1779 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	48.05	10.45 W	0.00	1780 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	48.05	10.45 W	0.00	1781 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	46.71	9.11 W	0.00	1782 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	43.92	6.32 W	0.00	1783 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	43.92	6.32 W	0.00	1784 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	43.82	6.22 W	0.00	1785 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	44.01	6.41 W	0.00	1786 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	57.71	12.59 W	0.00	1787 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	57.92	12.80 W	0.00	1788 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	52.85	7.73 W	0.00	1789 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	52.14	7.02 W	0.00	1790 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	64.38	11.74 W	0.00	1791 of 7072 - Sale <sup>25</sup>
	8.000	60.15	06/19/20	69.68	9.53 W	0.00	1792 of 7072 - Sale <sup>25</sup>
	10.000	75.19	06/19/20	96.22	21.03 W	0.00	1793 of 7072 - Sale <sup>25</sup>
	10.000	75.19	06/19/20	95.65	20.46 W	0.00	1794 of 7072 - Sale <sup>25</sup>
	10.000	75.19	06/19/20	87.86	12.67 W	0.00	1795 of 7072 - Sale <sup>25</sup>
	13.000	97.75	06/19/20	124.87	27.12 W	0.00	1796 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	15.000	112.79	06/19/20	138.74	25.95 W	0.00	1797 of 7072 - Sale <sup>25</sup>
	19.000	142.87	06/19/20	175.56	32.69 W	0.00	1798 of 7072 - Sale <sup>25</sup>
	12.000	90.23	06/19/20	113.41	23.18 W	0.00	1799 of 7072 - Sale <sup>25</sup>
	28.000	210.54	06/19/20	265.19	54.65 W	0.00	1800 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.79	1.27 W	0.00	1801 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.85	1.33 W	0.00	1802 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.12	1.60 W	0.00	1803 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.24	1.72 W	0.00	1804 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.35	3.31 W	0.00	1805 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	35.48	5.40 W	0.00	1806 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	35.33	5.25 W	0.00	1807 of 7072 - Sale <sup>25</sup>
	6.000	45.12	06/19/20	55.05	9.93 W	0.00	1808 of 7072 - Sale <sup>25</sup>
	7.000	52.63	06/19/20	64.21	11.58 W	0.00	1809 of 7072 - Sale <sup>25</sup>
	11.000	82.71	06/19/20	101.14	18.43 W	0.00	1810 of 7072 - Sale <sup>25</sup>
	22.000	165.43	06/19/20	190.98	25.55 W	0.00	1811 of 7072 - Sale <sup>25</sup>
	10.000	75.19	06/19/20	94.30	19.11 W	0.00	1812 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.18	1.66 W	0.00	1813 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.17	1.65 W	0.00	1814 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.33	3.29 W	0.00	1815 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.33	3.29 W	0.00	1816 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.35	3.31 W	0.00	1817 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.53	4.97 W	0.00	1818 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	45.87	8.27 W	0.00	1819 of 7072 - Sale <sup>25</sup>
	9.000	67.67	06/19/20	82.61	14.94 W	0.00	1820 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.41	1.89 W	0.00	1821 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.48	1.96 W	0.00	1822 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.45	1.93 W	0.00	1823 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	28.40	5.84 W	0.00	1824 of 7072 - Sale <sup>25</sup>
	8.000	60.15	06/19/20	75.49	15.34 W	0.00	1825 of 7072 - Sale <sup>25</sup>
	15.000	112.79	06/19/20	141.59	28.80 W	0.00	1826 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.98	1.46 W	0.00	1827 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.34	3.30 W	0.00	1828 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.27	3.23 W	0.00	1829 of 7072 - Sale <sup>25</sup>
	10.000	75.19	06/19/20	90.90	15.71 W	0.00	1830 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	21.000	157.90	06/19/20	191.90	34.00 W	0.00	1831 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.00	1.48 W	0.00	1832 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.20	1.68 W	0.00	1833 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.19	1.67 W	0.00	1834 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.15	1.63 W	0.00	1835 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.97	1.45 W	0.00	1836 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	9.02	1.50 W	0.00	1837 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.85	1.33 W	0.00	1838 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.93	2.89 W	0.00	1839 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.89	2.85 W	0.00	1840 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.24	3.20 W	0.00	1841 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	18.00	2.96 W	0.00	1842 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.35	4.79 W	0.00	1843 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.42	4.86 W	0.00	1844 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.09	4.53 W	0.00	1845 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	27.33	4.77 W	0.00	1846 of 7072 - Sale <sup>25</sup>
	3.000	22.56	06/19/20	26.56	4.00 W	0.00	1847 of 7072 - Sale <sup>25</sup>
	4.000	30.08	06/19/20	36.16	6.08 W	0.00	1848 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	45.56	7.96 W	0.00	1849 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	45.02	7.42 W	0.00	1850 of 7072 - Sale <sup>25</sup>
	5.000	37.60	06/19/20	44.98	7.38 W	0.00	1851 of 7072 - Sale <sup>25</sup>
	5.000	37.59	06/19/20	44.24	6.65 W	0.00	1852 of 7072 - Sale <sup>25</sup>
	6.000	45.11	06/19/20	54.27	9.16 W	0.00	1853 of 7072 - Sale <sup>25</sup>
	7.000	52.64	06/19/20	62.87	10.23 W	0.00	1854 of 7072 - Sale <sup>25</sup>
	7.000	52.63	06/19/20	63.98	11.35 W	0.00	1855 of 7072 - Sale <sup>25</sup>
	8.000	60.15	06/19/20	72.41	12.26 W	0.00	1856 of 7072 - Sale <sup>25</sup>
	8.000	60.15	06/19/20	70.78	10.63 W	0.00	1857 of 7072 - Sale <sup>25</sup>
	10.000	75.19	06/19/20	91.10	15.91 W	0.00	1858 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.96	2.92 W	0.00	1859 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.97	2.93 W	0.00	1860 of 7072 - Sale <sup>25</sup>
	6.000	45.11	06/19/20	53.91	8.80 W	0.00	1861 of 7072 - Sale <sup>25</sup>
	1.000	7.52	06/19/20	8.77	1.25 W	0.00	1862 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.69	2.65 W	0.00	1863 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.47	2.43 W	0.00	1864 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.04	06/19/20	17.51	2.47 W	0.00	1865 of 7072 - Sale <sup>25</sup>
	2.000	15.04	06/19/20	17.54	2.50 W	0.00	1866 of 7072 - Sale <sup>25</sup>
	5.000	37.59	06/19/20	43.08	5.49 W	0.00	1867 of 7072 - Sale <sup>25</sup>
	6.000	45.11	06/19/20	52.95	7.84 W	0.00	1868 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	36.09	5.97 W	0.00	1869 of 7072 - Sale <sup>25</sup>
	6.000	45.18	06/19/20	54.14	8.96 W	0.00	1870 of 7072 - Sale <sup>25</sup>
	8.000	60.24	06/19/20	72.18	11.94 W	0.00	1871 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.07	4.48 W	0.00	1872 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	36.10	5.98 W	0.00	1873 of 7072 - Sale <sup>25</sup>
	19.000	143.07	06/19/20	171.49	28.42 W	0.00	1874 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.70	1.17 W	0.00	1875 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	26.10	3.51 W	0.00	1876 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	34.67	4.55 W	0.00	1877 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	17.39	2.33 W	0.00	1878 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.67	1.14 W	0.00	1879 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.05	1.52 W	0.00	1880 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.11	1.58 W	0.00	1881 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.17	1.64 W	0.00	1882 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.20	1.67 W	0.00	1883 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.20	1.67 W	0.00	1884 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.16	1.63 W	0.00	1885 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.16	1.63 W	0.00	1886 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.21	1.68 W	0.00	1887 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.16	1.63 W	0.00	1888 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.36	1.83 W	0.00	1889 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.29	1.76 W	0.00	1890 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.21	1.68 W	0.00	1891 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.01	1.48 W	0.00	1892 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.04	1.51 W	0.00	1893 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.98	1.45 W	0.00	1894 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.99	1.46 W	0.00	1895 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	9.04	1.51 W	0.00	1896 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.96	1.43 W	0.00	1897 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.94	1.41 W	0.00	1898 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.06	06/19/20	18.21	3.15 W	0.00	1899 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	17.80	2.74 W	0.00	1900 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	17.89	2.83 W	0.00	1901 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	18.69	3.63 W	0.00	1902 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	18.81	3.75 W	0.00	1903 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	18.59	3.53 W	0.00	1904 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	18.41	3.35 W	0.00	1905 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	18.53	3.47 W	0.00	1906 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	17.88	2.82 W	0.00	1907 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.12	4.53 W	0.00	1908 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	26.79	4.20 W	0.00	1909 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	26.90	4.31 W	0.00	1910 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.58	4.99 W	0.00	1911 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	28.19	5.60 W	0.00	1912 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.57	4.98 W	0.00	1913 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.65	5.06 W	0.00	1914 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	26.97	4.38 W	0.00	1915 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.00	4.41 W	0.00	1916 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.03	4.44 W	0.00	1917 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	27.10	4.51 W	0.00	1918 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	26.92	4.33 W	0.00	1919 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	26.83	4.24 W	0.00	1920 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	35.59	5.47 W	0.00	1921 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	36.64	6.52 W	0.00	1922 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	37.13	7.01 W	0.00	1923 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	37.31	7.19 W	0.00	1924 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	35.87	5.75 W	0.00	1925 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	35.78	5.66 W	0.00	1926 of 7072 - Sale <sup>25</sup>
	6.000	45.18	06/19/20	55.03	9.85 W	0.00	1927 of 7072 - Sale <sup>25</sup>
	6.000	45.18	06/19/20	55.28	10.10 W	0.00	1928 of 7072 - Sale <sup>25</sup>
	7.000	52.71	06/19/20	62.78	10.07 W	0.00	1929 of 7072 - Sale <sup>25</sup>
	8.000	60.24	06/19/20	72.90	12.66 W	0.00	1930 of 7072 - Sale <sup>25</sup>
	8.000	60.24	06/19/20	73.38	13.14 W	0.00	1931 of 7072 - Sale <sup>25</sup>
	9.000	67.77	06/19/20	80.73	12.96 W	0.00	1932 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	67.77	06/19/20	82.86	15.09 W	0.00	1933 of 7072 - Sale <sup>25</sup>
	10.000	75.30	06/19/20	89.88	14.58 W	0.00	1934 of 7072 - Sale <sup>25</sup>
	10.000	75.30	06/19/20	91.96	16.66 W	0.00	1935 of 7072 - Sale <sup>25</sup>
	11.000	82.82	06/19/20	98.43	15.61 W	0.00	1936 of 7072 - Sale <sup>25</sup>
	12.000	90.36	06/19/20	109.35	18.99 W	0.00	1937 of 7072 - Sale <sup>25</sup>
	17.000	128.00	06/19/20	153.91	25.91 W	0.00	1938 of 7072 - Sale <sup>25</sup>
	19.000	143.06	06/19/20	177.06	34.00 W	0.00	1939 of 7072 - Sale <sup>25</sup>
	23.000	173.18	06/19/20	214.31	41.13 W	0.00	1940 of 7072 - Sale <sup>25</sup>
	25.000	188.24	06/19/20	225.05	36.81 W	0.00	1941 of 7072 - Sale <sup>25</sup>
	6.000	45.18	06/19/20	54.16	8.98 W	0.00	1942 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.58	1.05 W	0.00	1943 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.60	1.07 W	0.00	1944 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.62	1.09 W	0.00	1945 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.62	1.09 W	0.00	1946 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.57	1.04 W	0.00	1947 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.57	1.04 W	0.00	1948 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.55	1.02 W	0.00	1949 of 7072 - Sale <sup>25</sup>
	1.000	7.53	06/19/20	8.70	1.17 W	0.00	1950 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	17.10	2.04 W	0.00	1951 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	17.23	2.17 W	0.00	1952 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	25.78	3.19 W	0.00	1953 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	25.65	3.06 W	0.00	1954 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	25.65	3.06 W	0.00	1955 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	25.65	3.06 W	0.00	1956 of 7072 - Sale <sup>25</sup>
	3.000	22.59	06/19/20	25.48	2.89 W	0.00	1957 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	34.06	3.94 W	0.00	1958 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	34.45	4.33 W	0.00	1959 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	34.29	4.17 W	0.00	1960 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	34.32	4.20 W	0.00	1961 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	34.18	4.06 W	0.00	1962 of 7072 - Sale <sup>25</sup>
	4.000	30.12	06/19/20	33.97	3.85 W	0.00	1963 of 7072 - Sale <sup>25</sup>
	4.000	30.11	06/19/20	33.99	3.88 W	0.00	1964 of 7072 - Sale <sup>25</sup>
	5.000	37.65	06/19/20	42.99	5.34 W	0.00	1965 of 7072 - Sale <sup>25</sup>
	5.000	37.65	06/19/20	43.02	5.37 W	0.00	1966 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.65	06/19/20	42.97	5.32 W	0.00	1967 of 7072 - Sale <sup>25</sup>
	5.000	37.65	06/19/20	42.91	5.26 W	0.00	1968 of 7072 - Sale <sup>25</sup>
	2.000	15.06	06/19/20	17.40	2.34 W	0.00	1969 of 7072 - Sale <sup>25</sup>
	6.000	45.17	06/19/20	51.28	6.11 W	0.00	1970 of 7072 - Sale <sup>25</sup>
	7.000	52.71	06/19/20	60.17	7.46 W	0.00	1971 of 7072 - Sale <sup>25</sup>
	7.000	52.70	06/19/20	60.01	7.31 W	0.00	1972 of 7072 - Sale <sup>25</sup>
	8.000	60.24	06/19/20	68.34	8.10 W	0.00	1973 of 7072 - Sale <sup>25</sup>
	9.000	67.76	06/19/20	77.58	9.82 W	0.00	1974 of 7072 - Sale <sup>25</sup>
	10.000	75.29	06/19/20	85.06	9.77 W	0.00	1975 of 7072 - Sale <sup>25</sup>
	10.000	75.29	06/19/20	85.49	10.20 W	0.00	1976 of 7072 - Sale <sup>25</sup>
	12.000	90.35	06/19/20	102.61	12.26 W	0.00	1977 of 7072 - Sale <sup>25</sup>
	13.000	97.88	06/19/20	111.50	13.62 W	0.00	1978 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.02	1.48 W	0.00	1979 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.02	1.48 W	0.00	1980 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.27	1.73 W	0.00	1981 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.30	1.76 W	0.00	1982 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.30	1.76 W	0.00	1983 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.15	3.07 W	0.00	1984 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.54	3.46 W	0.00	1985 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.56	3.48 W	0.00	1986 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.55	3.47 W	0.00	1987 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.52	3.44 W	0.00	1988 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.14	4.52 W	0.00	1989 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	37.35	7.19 W	0.00	1990 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	45.07	7.37 W	0.00	1991 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	46.29	8.59 W	0.00	1992 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	55.91	10.67 W	0.00	1993 of 7072 - Sale <sup>25</sup>
	7.000	52.78	06/19/20	63.17	10.39 W	0.00	1994 of 7072 - Sale <sup>25</sup>
	7.000	52.78	06/19/20	64.94	12.16 W	0.00	1995 of 7072 - Sale <sup>25</sup>
	8.000	60.32	06/19/20	72.99	12.67 W	0.00	1996 of 7072 - Sale <sup>25</sup>
	9.000	67.86	06/19/20	82.12	14.26 W	0.00	1997 of 7072 - Sale <sup>25</sup>
	11.000	82.94	06/19/20	102.18	19.24 W	0.00	1998 of 7072 - Sale <sup>25</sup>
	15.000	113.11	06/19/20	135.38	22.27 W	0.00	1999 of 7072 - Sale <sup>25</sup>
	15.000	113.11	06/19/20	135.21	22.10 W	0.00	2000 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.54	06/19/20	9.30	1.76 W	0.00	2001 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.88	5.26 W	0.00	2002 of 7072 - Sale <sup>25</sup>
	7.000	52.78	06/19/20	65.11	12.33 W	0.00	2003 of 7072 - Sale <sup>25</sup>
	11.000	82.94	06/19/20	102.31	19.37 W	0.00	2004 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	8.97	1.43 W	0.00	2005 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	26.85	4.23 W	0.00	2006 of 7072 - Sale <sup>25</sup>
	18.000	135.73	06/19/20	161.43	25.70 W	0.00	2007 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	8.87	1.33 W	0.00	2008 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	8.85	1.31 W	0.00	2009 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	8.85	1.31 W	0.00	2010 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	8.75	1.21 W	0.00	2011 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.23	1.69 W	0.00	2012 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.83	2.75 W	0.00	2013 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.75	2.67 W	0.00	2014 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.75	2.67 W	0.00	2015 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.70	2.62 W	0.00	2016 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.53	2.45 W	0.00	2017 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	26.63	4.01 W	0.00	2018 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	26.63	4.01 W	0.00	2019 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	26.60	3.98 W	0.00	2020 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	26.61	3.99 W	0.00	2021 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	54.77	9.53 W	0.00	2022 of 7072 - Sale <sup>25</sup>
	12.000	90.49	06/19/20	109.48	18.99 W	0.00	2023 of 7072 - Sale <sup>25</sup>
	23.000	173.43	06/19/20	206.27	32.84 W	0.00	2024 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.77	3.69 W	0.00	2025 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.81	3.73 W	0.00	2026 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	47.02	9.32 W	0.00	2027 of 7072 - Sale <sup>25</sup>
	10.000	75.40	06/19/20	93.00	17.60 W	0.00	2028 of 7072 - Sale <sup>25</sup>
	13.000	98.03	06/19/20	120.88	22.85 W	0.00	2029 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	28.22	5.60 W	0.00	2030 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	28.10	5.48 W	0.00	2031 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	28.10	5.48 W	0.00	2032 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	37.62	7.46 W	0.00	2033 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.36	1.82 W	0.00	2034 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.24	06/19/20	56.14	10.90 W	0.00	2035 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	8.69	1.15 W	0.00	2036 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.38	2.30 W	0.00	2037 of 7072 - Sale <sup>25</sup>
	11.000	82.95	06/19/20	95.51	12.56 W	0.00	2038 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	36.47	6.31 W	0.00	2039 of 7072 - Sale <sup>25</sup>
	8.000	60.32	06/19/20	72.94	12.62 W	0.00	2040 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.35	4.73 W	0.00	2041 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.11	1.57 W	0.00	2042 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.42	2.34 W	0.00	2043 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	17.54	2.46 W	0.00	2044 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.35	4.73 W	0.00	2045 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	34.72	4.56 W	0.00	2046 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	43.49	5.79 W	0.00	2047 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	54.01	8.77 W	0.00	2048 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.10	1.56 W	0.00	2049 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	36.47	6.31 W	0.00	2050 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.30	4.68 W	0.00	2051 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.17	4.55 W	0.00	2052 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.19	4.57 W	0.00	2053 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.19	4.57 W	0.00	2054 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	54.38	9.14 W	0.00	2055 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.34	1.80 W	0.00	2056 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.67	3.59 W	0.00	2057 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.67	3.59 W	0.00	2058 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	37.30	7.14 W	0.00	2059 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	93.36	17.95 W	0.00	2060 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.05	1.51 W	0.00	2061 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	8.99	1.45 W	0.00	2062 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	27.04	4.42 W	0.00	2063 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	45.40	7.70 W	0.00	2064 of 7072 - Sale <sup>25</sup>
	25.000	188.51	06/19/20	226.56	38.05 W	0.00	2065 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.34	1.80 W	0.00	2066 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.61	3.53 W	0.00	2067 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	46.67	8.97 W	0.00	2068 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.70	06/19/20	46.43	8.73 W	0.00	2069 of 7072 - Sale <sup>25</sup>
	7.000	52.78	06/19/20	64.98	12.20 W	0.00	2070 of 7072 - Sale <sup>25</sup>
	13.000	98.03	06/19/20	120.72	22.69 W	0.00	2071 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.32	1.78 W	0.00	2072 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	46.43	8.73 W	0.00	2073 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	54.38	9.14 W	0.00	2074 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	37.26	7.10 W	0.00	2075 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.46	1.92 W	0.00	2076 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.87	2.33 W	0.00	2077 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.86	2.32 W	0.00	2078 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.85	2.31 W	0.00	2079 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.59	4.51 W	0.00	2080 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.54	4.46 W	0.00	2081 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	29.34	6.72 W	0.00	2082 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	39.17	9.01 W	0.00	2083 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	39.12	8.96 W	0.00	2084 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	39.13	8.97 W	0.00	2085 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	49.44	11.74 W	0.00	2086 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	59.45	14.21 W	0.00	2087 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	58.70	13.46 W	0.00	2088 of 7072 - Sale <sup>25</sup>
	9.000	67.87	06/19/20	83.85	15.98 W	0.00	2089 of 7072 - Sale <sup>25</sup>
	9.000	67.87	06/19/20	87.81	19.94 W	0.00	2090 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	90.65	15.24 W	0.00	2091 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	97.94	22.53 W	0.00	2092 of 7072 - Sale <sup>25</sup>
	18.000	135.73	06/19/20	176.07	40.34 W	0.00	2093 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	39.02	8.86 W	0.00	2094 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.74	2.20 W	0.00	2095 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	39.01	8.85 W	0.00	2096 of 7072 - Sale <sup>25</sup>
	14.000	105.57	06/19/20	136.55	30.98 W	0.00	2097 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.74	2.20 W	0.00	2098 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.75	2.21 W	0.00	2099 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.71	2.17 W	0.00	2100 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.70	2.16 W	0.00	2101 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.52	1.98 W	0.00	2102 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.54	06/19/20	9.59	2.05 W	0.00	2103 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.51	1.97 W	0.00	2104 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.44	1.90 W	0.00	2105 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.62	2.08 W	0.00	2106 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.48	4.40 W	0.00	2107 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.18	4.10 W	0.00	2108 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.01	3.93 W	0.00	2109 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.87	3.79 W	0.00	2110 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	28.76	6.14 W	0.00	2111 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	28.39	5.77 W	0.00	2112 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	38.38	8.22 W	0.00	2113 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	48.73	11.03 W	0.00	2114 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	47.97	10.27 W	0.00	2115 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	47.61	9.91 W	0.00	2116 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	58.76	13.52 W	0.00	2117 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	58.77	13.53 W	0.00	2118 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	57.38	12.14 W	0.00	2119 of 7072 - Sale <sup>25</sup>
	6.000	45.24	06/19/20	57.55	12.31 W	0.00	2120 of 7072 - Sale <sup>25</sup>
	7.000	52.78	06/19/20	67.14	14.36 W	0.00	2121 of 7072 - Sale <sup>25</sup>
	9.000	67.87	06/19/20	87.34	19.47 W	0.00	2122 of 7072 - Sale <sup>25</sup>
	9.000	67.87	06/19/20	85.06	17.19 W	0.00	2123 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	95.87	20.46 W	0.00	2124 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	95.66	20.25 W	0.00	2125 of 7072 - Sale <sup>25</sup>
	15.000	113.11	06/19/20	142.91	29.80 W	0.00	2126 of 7072 - Sale <sup>25</sup>
	16.000	120.65	06/19/20	155.29	34.64 W	0.00	2127 of 7072 - Sale <sup>25</sup>
	17.000	128.19	06/19/20	163.13	34.94 W	0.00	2128 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.33	1.79 W	0.00	2129 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.65	2.11 W	0.00	2130 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.68	2.14 W	0.00	2131 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.71	2.17 W	0.00	2132 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.70	2.16 W	0.00	2133 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.59	2.05 W	0.00	2134 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.58	2.04 W	0.00	2135 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.62	2.08 W	0.00	2136 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.54	06/19/20	9.58	2.04 W	0.00	2137 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.67	3.59 W	0.00	2138 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	18.99	3.91 W	0.00	2139 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.35	4.27 W	0.00	2140 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.48	4.40 W	0.00	2141 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.47	4.39 W	0.00	2142 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.37	4.29 W	0.00	2143 of 7072 - Sale <sup>25</sup>
	2.000	15.08	06/19/20	19.20	4.12 W	0.00	2144 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	29.25	6.63 W	0.00	2145 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	29.47	6.85 W	0.00	2146 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	28.79	6.17 W	0.00	2147 of 7072 - Sale <sup>25</sup>
	3.000	22.62	06/19/20	28.74	6.12 W	0.00	2148 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	37.34	7.18 W	0.00	2149 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	38.21	8.05 W	0.00	2150 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	38.21	8.05 W	0.00	2151 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	48.77	11.07 W	0.00	2152 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	48.66	10.96 W	0.00	2153 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	48.35	10.65 W	0.00	2154 of 7072 - Sale <sup>25</sup>
	7.000	52.78	06/19/20	67.51	14.73 W	0.00	2155 of 7072 - Sale <sup>25</sup>
	7.000	52.79	06/19/20	67.66	14.87 W	0.00	2156 of 7072 - Sale <sup>25</sup>
	7.000	52.79	06/19/20	67.18	14.39 W	0.00	2157 of 7072 - Sale <sup>25</sup>
	7.000	52.79	06/19/20	67.05	14.26 W	0.00	2158 of 7072 - Sale <sup>25</sup>
	8.000	60.33	06/19/20	77.32	16.99 W	0.00	2159 of 7072 - Sale <sup>25</sup>
	9.000	67.87	06/19/20	86.99	19.12 W	0.00	2160 of 7072 - Sale <sup>25</sup>
	9.000	67.87	06/19/20	87.65	19.78 W	0.00	2161 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	97.40	21.99 W	0.00	2162 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	97.48	22.07 W	0.00	2163 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	97.43	22.02 W	0.00	2164 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	97.07	21.66 W	0.00	2165 of 7072 - Sale <sup>25</sup>
	12.000	90.49	06/19/20	115.21	24.72 W	0.00	2166 of 7072 - Sale <sup>25</sup>
	22.000	165.89	06/19/20	212.76	46.87 W	0.00	2167 of 7072 - Sale <sup>25</sup>
	23.000	173.44	06/19/20	221.90	48.46 W	0.00	2168 of 7072 - Sale <sup>25</sup>
	28.000	211.14	06/19/20	273.22	62.08 W	0.00	2169 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.62	2.08 W	0.00	2170 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.54	06/19/20	9.50	1.96 W	0.00	2171 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.51	1.97 W	0.00	2172 of 7072 - Sale <sup>25</sup>
	4.000	30.16	06/19/20	38.49	8.33 W	0.00	2173 of 7072 - Sale <sup>25</sup>
	5.000	37.70	06/19/20	48.12	10.42 W	0.00	2174 of 7072 - Sale <sup>25</sup>
	1.000	7.54	06/19/20	9.57	2.03 W	0.00	2175 of 7072 - Sale <sup>25</sup>
	6.000	45.25	06/19/20	57.47	12.22 W	0.00	2176 of 7072 - Sale <sup>25</sup>
	10.000	75.41	06/19/20	95.83	20.42 W	0.00	2177 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	38.28	8.08 W	0.00	2178 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	47.85	10.10 W	0.00	2179 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	57.68	12.38 W	0.00	2180 of 7072 - Sale <sup>25</sup>
	8.000	60.40	06/19/20	76.21	15.81 W	0.00	2181 of 7072 - Sale <sup>25</sup>
	13.000	98.15	06/19/20	124.36	26.21 W	0.00	2182 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.28	1.73 W	0.00	2183 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.23	1.68 W	0.00	2184 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	19.05	3.95 W	0.00	2185 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.51	3.41 W	0.00	2186 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.39	3.29 W	0.00	2187 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.90	5.25 W	0.00	2188 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	46.51	8.76 W	0.00	2189 of 7072 - Sale <sup>25</sup>
	15.000	113.25	06/19/20	138.15	24.90 W	0.00	2190 of 7072 - Sale <sup>25</sup>
	19.000	143.45	06/19/20	174.81	31.36 W	0.00	2191 of 7072 - Sale <sup>25</sup>
	27.000	203.84	06/19/20	254.66	50.82 W	0.00	2192 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.06	1.51 W	0.00	2193 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.15	1.60 W	0.00	2194 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.12	1.57 W	0.00	2195 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.16	1.61 W	0.00	2196 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.78	1.23 W	0.00	2197 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.77	1.22 W	0.00	2198 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.77	1.22 W	0.00	2199 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.75	1.20 W	0.00	2200 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.16	3.06 W	0.00	2201 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.52	2.42 W	0.00	2202 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.32	2.22 W	0.00	2203 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.31	2.21 W	0.00	2204 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.10	06/19/20	17.33	2.23 W	0.00	2205 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.05	4.40 W	0.00	2206 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.23	3.58 W	0.00	2207 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	25.97	3.32 W	0.00	2208 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	43.72	5.97 W	0.00	2209 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	43.72	5.97 W	0.00	2210 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	43.62	5.87 W	0.00	2211 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	43.81	6.06 W	0.00	2212 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	52.61	7.31 W	0.00	2213 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	51.90	6.60 W	0.00	2214 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	64.10	11.25 W	0.00	2215 of 7072 - Sale <sup>25</sup>
	8.000	60.40	06/19/20	69.37	8.97 W	0.00	2216 of 7072 - Sale <sup>25</sup>
	10.000	75.50	06/19/20	87.47	11.97 W	0.00	2217 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.43	1.88 W	0.00	2218 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.37	1.82 W	0.00	2219 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.44	1.89 W	0.00	2220 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.41	1.86 W	0.00	2221 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.00	1.45 W	0.00	2222 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.06	1.51 W	0.00	2223 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.88	2.78 W	0.00	2224 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.89	2.79 W	0.00	2225 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.11	3.01 W	0.00	2226 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.70	2.60 W	0.00	2227 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.83	2.73 W	0.00	2228 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	28.28	5.63 W	0.00	2229 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.92	4.27 W	0.00	2230 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.97	4.32 W	0.00	2231 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.64	3.99 W	0.00	2232 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	35.89	5.69 W	0.00	2233 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	35.90	5.70 W	0.00	2234 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	35.39	5.19 W	0.00	2235 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	53.68	8.38 W	0.00	2236 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	53.84	8.54 W	0.00	2237 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	53.86	8.56 W	0.00	2238 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	60.40	06/19/20	75.18	14.78 W	0.00	2239 of 7072 - Sale <sup>25</sup>
	8.000	60.40	06/19/20	71.78	11.38 W	0.00	2240 of 7072 - Sale <sup>25</sup>
	8.000	60.40	06/19/20	72.50	12.10 W	0.00	2241 of 7072 - Sale <sup>25</sup>
	9.000	67.95	06/19/20	80.28	12.33 W	0.00	2242 of 7072 - Sale <sup>25</sup>
	10.000	75.50	06/19/20	93.91	18.41 W	0.00	2243 of 7072 - Sale <sup>25</sup>
	12.000	90.60	06/19/20	112.94	22.34 W	0.00	2244 of 7072 - Sale <sup>25</sup>
	12.000	90.60	06/19/20	108.75	18.15 W	0.00	2245 of 7072 - Sale <sup>25</sup>
	15.000	113.25	06/19/20	141.00	27.75 W	0.00	2246 of 7072 - Sale <sup>25</sup>
	19.000	143.45	06/19/20	170.54	27.09 W	0.00	2247 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.75	1.20 W	0.00	2248 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.81	1.26 W	0.00	2249 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.08	1.53 W	0.00	2250 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.20	1.65 W	0.00	2251 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.14	1.59 W	0.00	2252 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.13	1.58 W	0.00	2253 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.94	1.39 W	0.00	2254 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.96	1.41 W	0.00	2255 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.16	1.61 W	0.00	2256 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.15	1.60 W	0.00	2257 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.11	1.56 W	0.00	2258 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.93	1.38 W	0.00	2259 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.98	1.43 W	0.00	2260 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.81	1.26 W	0.00	2261 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.73	1.18 W	0.00	2262 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.27	3.17 W	0.00	2263 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.25	3.15 W	0.00	2264 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.25	3.15 W	0.00	2265 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.27	3.17 W	0.00	2266 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.26	3.16 W	0.00	2267 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.19	3.09 W	0.00	2268 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.85	2.75 W	0.00	2269 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.81	2.71 W	0.00	2270 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.16	3.06 W	0.00	2271 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.92	2.82 W	0.00	2272 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.10	06/19/20	17.61	2.51 W	0.00	2273 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.39	2.29 W	0.00	2274 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.43	2.33 W	0.00	2275 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.46	2.36 W	0.00	2276 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.41	4.76 W	0.00	2277 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.23	4.58 W	0.00	2278 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.30	4.65 W	0.00	2279 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.97	4.32 W	0.00	2280 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	27.21	4.56 W	0.00	2281 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.44	3.79 W	0.00	2282 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	35.32	5.12 W	0.00	2283 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	35.17	4.97 W	0.00	2284 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	36.00	5.80 W	0.00	2285 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	45.67	7.92 W	0.00	2286 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	45.36	7.61 W	0.00	2287 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	44.82	7.07 W	0.00	2288 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	44.78	7.03 W	0.00	2289 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	44.05	6.30 W	0.00	2290 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	42.89	5.14 W	0.00	2291 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	54.81	9.51 W	0.00	2292 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	54.04	8.74 W	0.00	2293 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	52.72	7.42 W	0.00	2294 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	63.94	11.09 W	0.00	2295 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	62.59	9.74 W	0.00	2296 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	63.71	10.86 W	0.00	2297 of 7072 - Sale <sup>25</sup>
	8.000	60.40	06/19/20	72.10	11.70 W	0.00	2298 of 7072 - Sale <sup>25</sup>
	8.000	60.40	06/19/20	70.47	10.07 W	0.00	2299 of 7072 - Sale <sup>25</sup>
	9.000	67.95	06/19/20	82.26	14.31 W	0.00	2300 of 7072 - Sale <sup>25</sup>
	10.000	75.50	06/19/20	90.51	15.01 W	0.00	2301 of 7072 - Sale <sup>25</sup>
	10.000	75.50	06/19/20	90.71	15.21 W	0.00	2302 of 7072 - Sale <sup>25</sup>
	11.000	83.05	06/19/20	100.71	17.66 W	0.00	2303 of 7072 - Sale <sup>25</sup>
	21.000	158.54	06/19/20	191.08	32.54 W	0.00	2304 of 7072 - Sale <sup>25</sup>
	22.000	166.09	06/19/20	190.11	24.02 W	0.00	2305 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.92	1.37 W	0.00	2306 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.55	06/19/20	8.91	1.36 W	0.00	2307 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.89	1.34 W	0.00	2308 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.78	2.68 W	0.00	2309 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.77	4.12 W	0.00	2310 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.68	4.03 W	0.00	2311 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	35.58	5.38 W	0.00	2312 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	62.43	9.58 W	0.00	2313 of 7072 - Sale <sup>25</sup>
	11.000	83.04	06/19/20	97.89	14.85 W	0.00	2314 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.53	0.98 W	0.00	2315 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.00	1.90 W	0.00	2316 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	25.63	2.98 W	0.00	2317 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	42.74	4.99 W	0.00	2318 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	59.82	6.97 W	0.00	2319 of 7072 - Sale <sup>25</sup>
	8.000	60.40	06/19/20	67.94	7.54 W	0.00	2320 of 7072 - Sale <sup>25</sup>
	10.000	75.49	06/19/20	84.57	9.08 W	0.00	2321 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.92	1.37 W	0.00	2322 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.12	1.57 W	0.00	2323 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.15	1.60 W	0.00	2324 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.15	1.60 W	0.00	2325 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.11	1.56 W	0.00	2326 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.11	1.56 W	0.00	2327 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.16	1.61 W	0.00	2328 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.11	1.56 W	0.00	2329 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.31	1.76 W	0.00	2330 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.24	1.69 W	0.00	2331 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.16	1.61 W	0.00	2332 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.96	1.41 W	0.00	2333 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.99	1.44 W	0.00	2334 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.93	1.38 W	0.00	2335 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.94	1.39 W	0.00	2336 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.99	1.44 W	0.00	2337 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.79	2.69 W	0.00	2338 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.59	3.49 W	0.00	2339 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	18.71	3.61 W	0.00	2340 of 7072 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2.000		15.10	06/19/20	18.49	3.39 W	0.00	2341 of 7072 - Sale <sup>25</sup>
2.000		15.10	06/19/20	18.31	3.21 W	0.00	2342 of 7072 - Sale <sup>25</sup>
2.000		15.10	06/19/20	18.43	3.33 W	0.00	2343 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	27.43	4.78 W	0.00	2344 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	28.04	5.39 W	0.00	2345 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	27.42	4.77 W	0.00	2346 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	27.50	4.85 W	0.00	2347 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	26.82	4.17 W	0.00	2348 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	26.85	4.20 W	0.00	2349 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	26.88	4.23 W	0.00	2350 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	26.95	4.30 W	0.00	2351 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	26.75	4.10 W	0.00	2352 of 7072 - Sale <sup>25</sup>
4.000		30.20	06/19/20	36.44	6.24 W	0.00	2353 of 7072 - Sale <sup>25</sup>
4.000		30.20	06/19/20	36.93	6.73 W	0.00	2354 of 7072 - Sale <sup>25</sup>
4.000		30.20	06/19/20	37.11	6.91 W	0.00	2355 of 7072 - Sale <sup>25</sup>
6.000		45.30	06/19/20	54.73	9.43 W	0.00	2356 of 7072 - Sale <sup>25</sup>
6.000		45.30	06/19/20	54.98	9.68 W	0.00	2357 of 7072 - Sale <sup>25</sup>
8.000		60.40	06/19/20	72.98	12.58 W	0.00	2358 of 7072 - Sale <sup>25</sup>
9.000		67.95	06/19/20	82.41	14.46 W	0.00	2359 of 7072 - Sale <sup>25</sup>
10.000		75.50	06/19/20	89.38	13.88 W	0.00	2360 of 7072 - Sale <sup>25</sup>
10.000		75.50	06/19/20	91.46	15.96 W	0.00	2361 of 7072 - Sale <sup>25</sup>
17.000		128.34	06/19/20	153.07	24.73 W	0.00	2362 of 7072 - Sale <sup>25</sup>
19.000		143.44	06/19/20	176.12	32.68 W	0.00	2363 of 7072 - Sale <sup>25</sup>
23.000		173.64	06/19/20	213.17	39.53 W	0.00	2364 of 7072 - Sale <sup>25</sup>
25.000		188.74	06/19/20	223.81	35.07 W	0.00	2365 of 7072 - Sale <sup>25</sup>
1.000		7.55	06/19/20	8.55	1.00 W	0.00	2366 of 7072 - Sale <sup>25</sup>
1.000		7.55	06/19/20	8.57	1.02 W	0.00	2367 of 7072 - Sale <sup>25</sup>
1.000		7.55	06/19/20	8.57	1.02 W	0.00	2368 of 7072 - Sale <sup>25</sup>
4.000		30.20	06/19/20	33.86	3.66 W	0.00	2369 of 7072 - Sale <sup>25</sup>
5.000		37.75	06/19/20	42.77	5.02 W	0.00	2370 of 7072 - Sale <sup>25</sup>
9.000		67.95	06/19/20	77.14	9.19 W	0.00	2371 of 7072 - Sale <sup>25</sup>
3.000		22.65	06/19/20	25.69	3.04 W	0.00	2372 of 7072 - Sale <sup>25</sup>
1.000		7.55	06/19/20	8.56	1.01 W	0.00	2373 of 7072 - Sale <sup>25</sup>
1.000		7.55	06/19/20	8.52	0.97 W	0.00	2374 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.55	06/19/20	8.52	0.97 W	0.00	2375 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.50	0.95 W	0.00	2376 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.65	1.10 W	0.00	2377 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.65	1.10 W	0.00	2378 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.13	2.03 W	0.00	2379 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.30	2.20 W	0.00	2380 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	25.50	2.85 W	0.00	2381 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	25.50	2.85 W	0.00	2382 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	25.50	2.85 W	0.00	2383 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	25.33	2.68 W	0.00	2384 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	34.09	3.89 W	0.00	2385 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	34.12	3.92 W	0.00	2386 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	33.98	3.78 W	0.00	2387 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	33.77	3.57 W	0.00	2388 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	33.80	3.60 W	0.00	2389 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	42.72	4.97 W	0.00	2390 of 7072 - Sale <sup>25</sup>
	5.000	37.75	06/19/20	42.66	4.91 W	0.00	2391 of 7072 - Sale <sup>25</sup>
	6.000	45.30	06/19/20	50.99	5.69 W	0.00	2392 of 7072 - Sale <sup>25</sup>
	7.000	52.85	06/19/20	59.67	6.82 W	0.00	2393 of 7072 - Sale <sup>25</sup>
	10.000	75.49	06/19/20	85.00	9.51 W	0.00	2394 of 7072 - Sale <sup>25</sup>
	12.000	90.59	06/19/20	102.02	11.43 W	0.00	2395 of 7072 - Sale <sup>25</sup>
	13.000	98.14	06/19/20	110.86	12.72 W	0.00	2396 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.65	1.10 W	0.00	2397 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.62	1.07 W	0.00	2398 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.30	2.20 W	0.00	2399 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.29	2.19 W	0.00	2400 of 7072 - Sale <sup>25</sup>
	4.000	30.20	06/19/20	34.47	4.27 W	0.00	2401 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.89	1.34 W	0.00	2402 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.79	1.24 W	0.00	2403 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.77	1.22 W	0.00	2404 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.77	1.22 W	0.00	2405 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	8.67	1.12 W	0.00	2406 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.15	1.60 W	0.00	2407 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.67	2.57 W	0.00	2408 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.10	06/19/20	17.59	2.49 W	0.00	2409 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.59	2.49 W	0.00	2410 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.54	2.44 W	0.00	2411 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.37	2.27 W	0.00	2412 of 7072 - Sale <sup>25</sup>
	2.000	15.10	06/19/20	17.99	2.89 W	0.00	2413 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.61	3.96 W	0.00	2414 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.39	3.74 W	0.00	2415 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.39	3.74 W	0.00	2416 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.36	3.71 W	0.00	2417 of 7072 - Sale <sup>25</sup>
	3.000	22.65	06/19/20	26.37	3.72 W	0.00	2418 of 7072 - Sale <sup>25</sup>
	6.000	45.29	06/19/20	54.29	9.00 W	0.00	2419 of 7072 - Sale <sup>25</sup>
	8.000	60.38	06/19/20	72.35	11.97 W	0.00	2420 of 7072 - Sale <sup>25</sup>
	12.000	90.58	06/19/20	108.51	17.93 W	0.00	2421 of 7072 - Sale <sup>25</sup>
	18.000	135.88	06/19/20	159.98	24.10 W	0.00	2422 of 7072 - Sale <sup>25</sup>
	23.000	173.63	06/19/20	204.42	30.79 W	0.00	2423 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.94	1.45 W	0.00	2424 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.95	1.46 W	0.00	2425 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.20	1.71 W	0.00	2426 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.23	1.74 W	0.00	2427 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.22	1.73 W	0.00	2428 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.22	1.73 W	0.00	2429 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.28	1.79 W	0.00	2430 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.26	1.77 W	0.00	2431 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.27	1.78 W	0.00	2432 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.24	1.75 W	0.00	2433 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.38	1.89 W	0.00	2434 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.79	2.30 W	0.00	2435 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.79	2.30 W	0.00	2436 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.77	2.28 W	0.00	2437 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.39	3.41 W	0.00	2438 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.41	3.43 W	0.00	2439 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.40	3.42 W	0.00	2440 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.37	3.39 W	0.00	2441 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.62	3.64 W	0.00	2442 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.98	06/19/20	18.66	3.68 W	0.00	2443 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.52	3.54 W	0.00	2444 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.52	3.54 W	0.00	2445 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.46	3.48 W	0.00	2446 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.44	4.46 W	0.00	2447 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.92	4.45 W	0.00	2448 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.66	5.19 W	0.00	2449 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	28.00	5.53 W	0.00	2450 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.87	5.40 W	0.00	2451 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.88	5.41 W	0.00	2452 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	37.05	7.09 W	0.00	2453 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	37.32	7.36 W	0.00	2454 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	37.00	7.04 W	0.00	2455 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	36.96	7.00 W	0.00	2456 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	38.87	8.91 W	0.00	2457 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	44.70	7.25 W	0.00	2458 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	45.91	8.46 W	0.00	2459 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	46.64	9.19 W	0.00	2460 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	46.29	8.84 W	0.00	2461 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	46.05	8.60 W	0.00	2462 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	46.06	8.61 W	0.00	2463 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	49.07	11.62 W	0.00	2464 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	55.46	10.52 W	0.00	2465 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	55.69	10.75 W	0.00	2466 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	59.00	14.06 W	0.00	2467 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	62.65	10.22 W	0.00	2468 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	64.41	11.98 W	0.00	2469 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	64.59	12.16 W	0.00	2470 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	64.45	12.02 W	0.00	2471 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	77.65	17.73 W	0.00	2472 of 7072 - Sale <sup>25</sup>
	9.000	67.41	06/19/20	81.44	14.03 W	0.00	2473 of 7072 - Sale <sup>25</sup>
	9.000	67.41	06/19/20	83.17	15.76 W	0.00	2474 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	92.25	17.35 W	0.00	2475 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	92.60	17.70 W	0.00	2476 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		74.89	06/19/20	89.89	15.00 W	0.00	2477 of 7072 - Sale <sup>25</sup>
11.000		82.39	06/19/20	101.36	18.97 W	0.00	2478 of 7072 - Sale <sup>25</sup>
11.000		82.39	06/19/20	101.48	19.09 W	0.00	2479 of 7072 - Sale <sup>25</sup>
13.000		97.36	06/19/20	119.90	22.54 W	0.00	2480 of 7072 - Sale <sup>25</sup>
13.000		97.36	06/19/20	119.74	22.38 W	0.00	2481 of 7072 - Sale <sup>25</sup>
15.000		112.35	06/19/20	134.24	21.89 W	0.00	2482 of 7072 - Sale <sup>25</sup>
15.000		112.35	06/19/20	134.07	21.72 W	0.00	2483 of 7072 - Sale <sup>25</sup>
1.000		7.49	06/19/20	8.62	1.13 W	0.00	2484 of 7072 - Sale <sup>25</sup>
1.000		7.49	06/19/20	9.03	1.54 W	0.00	2485 of 7072 - Sale <sup>25</sup>
1.000		7.49	06/19/20	9.03	1.54 W	0.00	2486 of 7072 - Sale <sup>25</sup>
1.000		7.49	06/19/20	8.98	1.49 W	0.00	2487 of 7072 - Sale <sup>25</sup>
1.000		7.49	06/19/20	8.92	1.43 W	0.00	2488 of 7072 - Sale <sup>25</sup>
2.000		14.98	06/19/20	17.23	2.25 W	0.00	2489 of 7072 - Sale <sup>25</sup>
2.000		14.98	06/19/20	17.27	2.29 W	0.00	2490 of 7072 - Sale <sup>25</sup>
2.000		14.98	06/19/20	17.39	2.41 W	0.00	2491 of 7072 - Sale <sup>25</sup>
3.000		22.47	06/19/20	27.13	4.66 W	0.00	2492 of 7072 - Sale <sup>25</sup>
3.000		22.47	06/19/20	27.12	4.65 W	0.00	2493 of 7072 - Sale <sup>25</sup>
3.000		22.47	06/19/20	27.07	4.60 W	0.00	2494 of 7072 - Sale <sup>25</sup>
3.000		22.47	06/19/20	26.95	4.48 W	0.00	2495 of 7072 - Sale <sup>25</sup>
3.000		22.47	06/19/20	26.96	4.49 W	0.00	2496 of 7072 - Sale <sup>25</sup>
3.000		22.47	06/19/20	26.97	4.50 W	0.00	2497 of 7072 - Sale <sup>25</sup>
3.000		22.47	06/19/20	26.81	4.34 W	0.00	2498 of 7072 - Sale <sup>25</sup>
4.000		29.96	06/19/20	34.42	4.46 W	0.00	2499 of 7072 - Sale <sup>25</sup>
4.000		29.96	06/19/20	36.17	6.21 W	0.00	2500 of 7072 - Sale <sup>25</sup>
4.000		29.96	06/19/20	36.17	6.21 W	0.00	2501 of 7072 - Sale <sup>25</sup>
5.000		37.45	06/19/20	43.12	5.67 W	0.00	2502 of 7072 - Sale <sup>25</sup>
5.000		37.45	06/19/20	45.02	7.57 W	0.00	2503 of 7072 - Sale <sup>25</sup>
6.000		44.94	06/19/20	53.56	8.62 W	0.00	2504 of 7072 - Sale <sup>25</sup>
6.000		44.94	06/19/20	53.93	8.99 W	0.00	2505 of 7072 - Sale <sup>25</sup>
6.000		44.94	06/19/20	53.93	8.99 W	0.00	2506 of 7072 - Sale <sup>25</sup>
8.000		59.91	06/19/20	72.34	12.43 W	0.00	2507 of 7072 - Sale <sup>25</sup>
11.000		82.38	06/19/20	94.67	12.29 W	0.00	2508 of 7072 - Sale <sup>25</sup>
25.000		187.23	06/19/20	224.68	37.45 W	0.00	2509 of 7072 - Sale <sup>25</sup>
1.000		7.49	06/19/20	9.67	2.18 W	0.00	2510 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.49	06/19/20	9.66	2.17 W	0.00	2511 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.68	2.19 W	0.00	2512 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.39	4.41 W	0.00	2513 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.33	4.35 W	0.00	2514 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	29.12	6.65 W	0.00	2515 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	38.82	8.86 W	0.00	2516 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	38.83	8.87 W	0.00	2517 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	38.72	8.76 W	0.00	2518 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	38.71	8.75 W	0.00	2519 of 7072 - Sale <sup>25</sup>
	5.000	37.44	06/19/20	48.35	10.91 W	0.00	2520 of 7072 - Sale <sup>25</sup>
	6.000	44.93	06/19/20	58.25	13.32 W	0.00	2521 of 7072 - Sale <sup>25</sup>
	6.000	44.93	06/19/20	58.31	13.38 W	0.00	2522 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	58.32	13.38 W	0.00	2523 of 7072 - Sale <sup>25</sup>
	8.000	59.91	06/19/20	77.04	17.13 W	0.00	2524 of 7072 - Sale <sup>25</sup>
	9.000	67.40	06/19/20	87.12	19.72 W	0.00	2525 of 7072 - Sale <sup>25</sup>
	10.000	74.89	06/19/20	97.06	22.17 W	0.00	2526 of 7072 - Sale <sup>25</sup>
	10.000	74.89	06/19/20	97.18	22.29 W	0.00	2527 of 7072 - Sale <sup>25</sup>
	14.000	104.85	06/19/20	135.49	30.64 W	0.00	2528 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.63	2.14 W	0.00	2529 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.62	2.13 W	0.00	2530 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.44	1.95 W	0.00	2531 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.51	2.02 W	0.00	2532 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	28.52	6.05 W	0.00	2533 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	56.90	11.96 W	0.00	2534 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	77.00	17.08 W	0.00	2535 of 7072 - Sale <sup>25</sup>
	9.000	67.41	06/19/20	86.61	19.20 W	0.00	2536 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.51	2.02 W	0.00	2537 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.43	1.94 W	0.00	2538 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.36	1.87 W	0.00	2539 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.54	2.05 W	0.00	2540 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.54	2.05 W	0.00	2541 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.42	1.93 W	0.00	2542 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.43	1.94 W	0.00	2543 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.02	4.04 W	0.00	2544 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.98	06/19/20	18.85	3.87 W	0.00	2545 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.71	3.73 W	0.00	2546 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	28.15	5.68 W	0.00	2547 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	38.06	8.10 W	0.00	2548 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	38.17	8.21 W	0.00	2549 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	47.57	10.12 W	0.00	2550 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	47.21	9.76 W	0.00	2551 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	47.72	10.27 W	0.00	2552 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	57.07	12.13 W	0.00	2553 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	66.58	14.15 W	0.00	2554 of 7072 - Sale <sup>25</sup>
	9.000	67.41	06/19/20	85.55	18.14 W	0.00	2555 of 7072 - Sale <sup>25</sup>
	9.000	67.41	06/19/20	84.33	16.92 W	0.00	2556 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	94.85	19.95 W	0.00	2557 of 7072 - Sale <sup>25</sup>
	14.000	104.85	06/19/20	130.79	25.94 W	0.00	2558 of 7072 - Sale <sup>25</sup>
	15.000	112.34	06/19/20	141.70	29.36 W	0.00	2559 of 7072 - Sale <sup>25</sup>
	17.000	127.32	06/19/20	161.76	34.44 W	0.00	2560 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.25	1.76 W	0.00	2561 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.57	2.08 W	0.00	2562 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.60	2.11 W	0.00	2563 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.63	2.14 W	0.00	2564 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.62	2.13 W	0.00	2565 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.51	2.02 W	0.00	2566 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.50	2.01 W	0.00	2567 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.54	2.05 W	0.00	2568 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.50	2.01 W	0.00	2569 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.51	3.53 W	0.00	2570 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.83	3.85 W	0.00	2571 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.19	4.21 W	0.00	2572 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.32	4.34 W	0.00	2573 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.31	4.33 W	0.00	2574 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.21	4.23 W	0.00	2575 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	19.04	4.06 W	0.00	2576 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	29.01	6.54 W	0.00	2577 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	29.23	6.76 W	0.00	2578 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.47	06/19/20	28.55	6.08 W	0.00	2579 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	28.50	6.03 W	0.00	2580 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	37.02	7.06 W	0.00	2581 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	37.89	7.93 W	0.00	2582 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	37.89	7.93 W	0.00	2583 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	48.37	10.92 W	0.00	2584 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	48.26	10.81 W	0.00	2585 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	47.95	10.50 W	0.00	2586 of 7072 - Sale <sup>25</sup>
	3.000	22.46	06/19/20	28.49	6.03 W	0.00	2587 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	66.95	14.52 W	0.00	2588 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	67.09	14.66 W	0.00	2589 of 7072 - Sale <sup>25</sup>
	7.000	52.42	06/19/20	66.61	14.19 W	0.00	2590 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	66.48	14.05 W	0.00	2591 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	76.67	16.75 W	0.00	2592 of 7072 - Sale <sup>25</sup>
	9.000	67.41	06/19/20	86.26	18.85 W	0.00	2593 of 7072 - Sale <sup>25</sup>
	9.000	67.41	06/19/20	86.92	19.51 W	0.00	2594 of 7072 - Sale <sup>25</sup>
	10.000	74.89	06/19/20	96.59	21.70 W	0.00	2595 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	96.67	21.77 W	0.00	2596 of 7072 - Sale <sup>25</sup>
	10.000	74.89	06/19/20	96.62	21.73 W	0.00	2597 of 7072 - Sale <sup>25</sup>
	10.000	74.89	06/19/20	96.26	21.37 W	0.00	2598 of 7072 - Sale <sup>25</sup>
	12.000	89.87	06/19/20	114.24	24.37 W	0.00	2599 of 7072 - Sale <sup>25</sup>
	22.000	164.77	06/19/20	210.99	46.22 W	0.00	2600 of 7072 - Sale <sup>25</sup>
	23.000	172.26	06/19/20	220.04	47.78 W	0.00	2601 of 7072 - Sale <sup>25</sup>
	28.000	209.71	06/19/20	270.96	61.25 W	0.00	2602 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.49	2.00 W	0.00	2603 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.19	1.70 W	0.00	2604 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.14	1.65 W	0.00	2605 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.87	3.89 W	0.00	2606 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.33	3.35 W	0.00	2607 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.21	3.23 W	0.00	2608 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.63	5.16 W	0.00	2609 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	37.92	7.96 W	0.00	2610 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	47.40	9.95 W	0.00	2611 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	46.06	8.61 W	0.00	2612 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.47	06/19/20	28.49	6.02 W	0.00	2613 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	57.14	12.20 W	0.00	2614 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	75.49	15.57 W	0.00	2615 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	95.02	20.12 W	0.00	2616 of 7072 - Sale <sup>25</sup>
	13.000	97.36	06/19/20	123.19	25.83 W	0.00	2617 of 7072 - Sale <sup>25</sup>
	15.000	112.34	06/19/20	136.80	24.46 W	0.00	2618 of 7072 - Sale <sup>25</sup>
	19.000	142.30	06/19/20	173.10	30.80 W	0.00	2619 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	93.32	18.42 W	0.00	2620 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.34	1.85 W	0.00	2621 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.28	1.79 W	0.00	2622 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.35	1.86 W	0.00	2623 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.32	1.83 W	0.00	2624 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.91	1.42 W	0.00	2625 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.70	2.72 W	0.00	2626 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.71	2.73 W	0.00	2627 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	28.03	5.56 W	0.00	2628 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	28.01	5.54 W	0.00	2629 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.65	4.18 W	0.00	2630 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	35.53	5.57 W	0.00	2631 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	35.54	5.58 W	0.00	2632 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	53.14	8.20 W	0.00	2633 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	53.30	8.36 W	0.00	2634 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	53.32	8.38 W	0.00	2635 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	74.46	14.54 W	0.00	2636 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	71.06	11.14 W	0.00	2637 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	93.01	18.11 W	0.00	2638 of 7072 - Sale <sup>25</sup>
	12.000	89.88	06/19/20	111.86	21.98 W	0.00	2639 of 7072 - Sale <sup>25</sup>
	15.000	112.35	06/19/20	139.65	27.30 W	0.00	2640 of 7072 - Sale <sup>25</sup>
	19.000	142.30	06/19/20	168.83	26.53 W	0.00	2641 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.97	1.48 W	0.00	2642 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.06	1.57 W	0.00	2643 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.03	1.54 W	0.00	2644 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.07	1.58 W	0.00	2645 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.69	1.20 W	0.00	2646 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.49	06/19/20	8.68	1.19 W	0.00	2647 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.68	1.19 W	0.00	2648 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.66	1.17 W	0.00	2649 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.98	3.00 W	0.00	2650 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.34	2.36 W	0.00	2651 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.14	2.16 W	0.00	2652 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.13	2.15 W	0.00	2653 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.15	2.17 W	0.00	2654 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.78	4.31 W	0.00	2655 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	25.96	3.49 W	0.00	2656 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	25.70	3.23 W	0.00	2657 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	43.27	5.82 W	0.00	2658 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	43.27	5.82 W	0.00	2659 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	43.17	5.72 W	0.00	2660 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	43.36	5.91 W	0.00	2661 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	52.07	7.13 W	0.00	2662 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	51.36	6.42 W	0.00	2663 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	63.47	11.04 W	0.00	2664 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	68.65	8.73 W	0.00	2665 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	86.57	11.67 W	0.00	2666 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.97	1.48 W	0.00	2667 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.93	2.95 W	0.00	2668 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.52	2.54 W	0.00	2669 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.65	2.67 W	0.00	2670 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.70	4.23 W	0.00	2671 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.37	3.90 W	0.00	2672 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	35.03	5.07 W	0.00	2673 of 7072 - Sale <sup>25</sup>
	8.000	59.91	06/19/20	71.78	11.87 W	0.00	2674 of 7072 - Sale <sup>25</sup>
	9.000	67.40	06/19/20	79.47	12.07 W	0.00	2675 of 7072 - Sale <sup>25</sup>
	12.000	89.87	06/19/20	107.67	17.80 W	0.00	2676 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.66	1.17 W	0.00	2677 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.72	1.23 W	0.00	2678 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.99	1.50 W	0.00	2679 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.09	3.11 W	0.00	2680 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.98	06/19/20	18.09	3.11 W	0.00	2681 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	34.96	5.00 W	0.00	2682 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	34.81	4.85 W	0.00	2683 of 7072 - Sale <sup>25</sup>
	6.000	44.93	06/19/20	54.27	9.34 W	0.00	2684 of 7072 - Sale <sup>25</sup>
	11.000	82.38	06/19/20	99.72	17.34 W	0.00	2685 of 7072 - Sale <sup>25</sup>
	22.000	164.77	06/19/20	188.14	23.37 W	0.00	2686 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.11	1.62 W	0.00	2687 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.05	1.56 W	0.00	2688 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.04	1.55 W	0.00	2689 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.07	3.09 W	0.00	2690 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.07	3.09 W	0.00	2691 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.09	3.11 W	0.00	2692 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.08	3.10 W	0.00	2693 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.14	4.67 W	0.00	2694 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	45.22	7.77 W	0.00	2695 of 7072 - Sale <sup>25</sup>
	5.000	37.44	06/19/20	45.22	7.78 W	0.00	2696 of 7072 - Sale <sup>25</sup>
	9.000	67.40	06/19/20	81.45	14.05 W	0.00	2697 of 7072 - Sale <sup>25</sup>
	5.000	37.44	06/19/20	45.05	7.61 W	0.00	2698 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.85	1.36 W	0.00	2699 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.87	1.38 W	0.00	2700 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.07	1.58 W	0.00	2701 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.06	1.57 W	0.00	2702 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.02	1.53 W	0.00	2703 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.01	3.03 W	0.00	2704 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.67	2.69 W	0.00	2705 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.63	2.65 W	0.00	2706 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.98	3.00 W	0.00	2707 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.96	4.49 W	0.00	2708 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.03	4.56 W	0.00	2709 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	61.96	9.53 W	0.00	2710 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	89.61	14.71 W	0.00	2711 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	89.81	14.91 W	0.00	2712 of 7072 - Sale <sup>25</sup>
	16.000	119.83	06/19/20	144.15	24.32 W	0.00	2713 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.83	1.34 W	0.00	2714 of 7072 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.98	06/19/20	17.73	2.75 W	0.00	2715 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	88.48	13.58 W	0.00	2716 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.84	1.35 W	0.00	2717 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.89	1.40 W	0.00	2718 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.72	1.23 W	0.00	2719 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.74	2.76 W	0.00	2720 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.43	2.45 W	0.00	2721 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.21	2.23 W	0.00	2722 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.70	4.23 W	0.00	2723 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.94	4.47 W	0.00	2724 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.17	3.70 W	0.00	2725 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	35.64	5.68 W	0.00	2726 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	44.91	7.46 W	0.00	2727 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	44.37	6.92 W	0.00	2728 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	44.33	6.88 W	0.00	2729 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	43.60	6.15 W	0.00	2730 of 7072 - Sale <sup>25</sup>
	6.000	44.93	06/19/20	53.50	8.57 W	0.00	2731 of 7072 - Sale <sup>25</sup>
	6.000	44.93	06/19/20	52.18	7.25 W	0.00	2732 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	63.08	10.65 W	0.00	2733 of 7072 - Sale <sup>25</sup>
	8.000	59.91	06/19/20	71.38	11.47 W	0.00	2734 of 7072 - Sale <sup>25</sup>
	8.000	59.91	06/19/20	69.75	9.84 W	0.00	2735 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.64	1.15 W	0.00	2736 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.25	2.27 W	0.00	2737 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.28	2.30 W	0.00	2738 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	42.44	4.99 W	0.00	2739 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.02	1.53 W	0.00	2740 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.05	1.56 W	0.00	2741 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.05	1.56 W	0.00	2742 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.01	1.52 W	0.00	2743 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.01	1.52 W	0.00	2744 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.06	1.57 W	0.00	2745 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.01	1.52 W	0.00	2746 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.21	1.72 W	0.00	2747 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.14	1.65 W	0.00	2748 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.98	06/19/20	17.59	2.61 W	0.00	2749 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.39	3.41 W	0.00	2750 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.51	3.53 W	0.00	2751 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.29	3.31 W	0.00	2752 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.11	3.13 W	0.00	2753 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.13	4.66 W	0.00	2754 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.74	5.27 W	0.00	2755 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.12	4.65 W	0.00	2756 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	36.04	6.08 W	0.00	2757 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	36.53	6.57 W	0.00	2758 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	54.13	9.19 W	0.00	2759 of 7072 - Sale <sup>25</sup>
	8.000	59.92	06/19/20	72.18	12.26 W	0.00	2760 of 7072 - Sale <sup>25</sup>
	10.000	74.90	06/19/20	90.44	15.54 W	0.00	2761 of 7072 - Sale <sup>25</sup>
	17.000	127.32	06/19/20	151.38	24.06 W	0.00	2762 of 7072 - Sale <sup>25</sup>
	23.000	172.26	06/19/20	203.61	31.35 W	0.00	2763 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	9.06	1.57 W	0.00	2764 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.86	1.37 W	0.00	2765 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.89	1.40 W	0.00	2766 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.83	1.34 W	0.00	2767 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.84	1.35 W	0.00	2768 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.89	1.40 W	0.00	2769 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.82	1.33 W	0.00	2770 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	18.23	3.25 W	0.00	2771 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	17.58	2.60 W	0.00	2772 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	27.20	4.73 W	0.00	2773 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.52	4.05 W	0.00	2774 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.55	4.08 W	0.00	2775 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.58	4.11 W	0.00	2776 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.65	4.18 W	0.00	2777 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.45	3.98 W	0.00	2778 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.47	4.00 W	0.00	2779 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	36.71	6.75 W	0.00	2780 of 7072 - Sale <sup>25</sup>
	6.000	44.94	06/19/20	54.38	9.44 W	0.00	2781 of 7072 - Sale <sup>25</sup>
	7.000	52.43	06/19/20	61.73	9.30 W	0.00	2782 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	67.41	06/19/20	81.51	14.10 W	0.00	2783 of 7072 - Sale <sup>25</sup>
	19.000	142.30	06/19/20	174.23	31.93 W	0.00	2784 of 7072 - Sale <sup>25</sup>
	23.000	172.26	06/19/20	210.88	38.62 W	0.00	2785 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.47	0.98 W	0.00	2786 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.49	1.00 W	0.00	2787 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.49	1.00 W	0.00	2788 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.48	0.99 W	0.00	2789 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.44	0.95 W	0.00	2790 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	16.97	1.99 W	0.00	2791 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	25.45	2.98 W	0.00	2792 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	25.33	2.86 W	0.00	2793 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	33.86	3.90 W	0.00	2794 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	33.54	3.58 W	0.00	2795 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	42.34	4.89 W	0.00	2796 of 7072 - Sale <sup>25</sup>
	5.000	37.45	06/19/20	42.37	4.92 W	0.00	2797 of 7072 - Sale <sup>25</sup>
	8.000	59.91	06/19/20	67.30	7.39 W	0.00	2798 of 7072 - Sale <sup>25</sup>
	9.000	67.40	06/19/20	76.42	9.02 W	0.00	2799 of 7072 - Sale <sup>25</sup>
	10.000	74.89	06/19/20	83.78	8.89 W	0.00	2800 of 7072 - Sale <sup>25</sup>
	13.000	97.35	06/19/20	109.83	12.48 W	0.00	2801 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.83	1.34 W	0.00	2802 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.81	1.32 W	0.00	2803 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	26.44	3.97 W	0.00	2804 of 7072 - Sale <sup>25</sup>
	4.000	29.96	06/19/20	35.26	5.30 W	0.00	2805 of 7072 - Sale <sup>25</sup>
	11.000	82.38	06/19/20	97.02	14.64 W	0.00	2806 of 7072 - Sale <sup>25</sup>
	1.000	7.49	06/19/20	8.45	0.96 W	0.00	2807 of 7072 - Sale <sup>25</sup>
	2.000	14.98	06/19/20	16.84	1.86 W	0.00	2808 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	25.39	2.92 W	0.00	2809 of 7072 - Sale <sup>25</sup>
	3.000	22.47	06/19/20	25.40	2.93 W	0.00	2810 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.56	0.89 W	0.00	2811 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.56	0.89 W	0.00	2812 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.54	0.87 W	0.00	2813 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.08	1.74 W	0.00	2814 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.62	2.61 W	0.00	2815 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.62	2.61 W	0.00	2816 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.68	06/19/20	34.28	3.60 W	0.00	2817 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	34.14	3.46 W	0.00	2818 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	42.92	4.57 W	0.00	2819 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	42.86	4.51 W	0.00	2820 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	59.95	6.26 W	0.00	2821 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	85.41	8.71 W	0.00	2822 of 7072 - Sale <sup>25</sup>
	12.000	92.04	06/19/20	102.51	10.47 W	0.00	2823 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.94	1.27 W	0.00	2824 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.84	1.17 W	0.00	2825 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.82	1.15 W	0.00	2826 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.82	1.15 W	0.00	2827 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.72	1.05 W	0.00	2828 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.20	1.53 W	0.00	2829 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.05	1.38 W	0.00	2830 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.06	1.39 W	0.00	2831 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.31	1.64 W	0.00	2832 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.34	1.67 W	0.00	2833 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.33	1.66 W	0.00	2834 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.33	1.66 W	0.00	2835 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.39	1.72 W	0.00	2836 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.37	1.70 W	0.00	2837 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.38	1.71 W	0.00	2838 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.35	1.68 W	0.00	2839 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.49	1.82 W	0.00	2840 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.90	2.23 W	0.00	2841 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.90	2.23 W	0.00	2842 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.88	2.21 W	0.00	2843 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.78	2.11 W	0.00	2844 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.77	2.10 W	0.00	2845 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.79	2.12 W	0.00	2846 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.74	2.07 W	0.00	2847 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.73	2.06 W	0.00	2848 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.55	1.88 W	0.00	2849 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.62	1.95 W	0.00	2850 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.67	06/19/20	9.62	1.95 W	0.00	2851 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.54	1.87 W	0.00	2852 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.47	1.80 W	0.00	2853 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.65	1.98 W	0.00	2854 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.65	1.98 W	0.00	2855 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.53	1.86 W	0.00	2856 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.54	1.87 W	0.00	2857 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.45	1.78 W	0.00	2858 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.39	1.72 W	0.00	2859 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.46	1.79 W	0.00	2860 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.43	1.76 W	0.00	2861 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.77	2.43 W	0.00	2862 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.69	2.35 W	0.00	2863 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.69	2.35 W	0.00	2864 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.64	2.30 W	0.00	2865 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.47	2.13 W	0.00	2866 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.09	2.75 W	0.00	2867 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.61	3.27 W	0.00	2868 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.63	3.29 W	0.00	2869 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.62	3.28 W	0.00	2870 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.59	3.25 W	0.00	2871 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.84	3.50 W	0.00	2872 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.88	3.54 W	0.00	2873 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.74	3.40 W	0.00	2874 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.74	3.40 W	0.00	2875 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.68	3.34 W	0.00	2876 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.66	4.32 W	0.00	2877 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.61	4.27 W	0.00	2878 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.55	4.21 W	0.00	2879 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.24	3.90 W	0.00	2880 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.07	3.73 W	0.00	2881 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.93	3.59 W	0.00	2882 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.92	2.58 W	0.00	2883 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.93	2.59 W	0.00	2884 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.01	06/19/20	26.76	3.75 W	0.00	2885 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.54	3.53 W	0.00	2886 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.54	3.53 W	0.00	2887 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.51	3.50 W	0.00	2888 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.52	3.51 W	0.00	2889 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.25	4.24 W	0.00	2890 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.99	4.98 W	0.00	2891 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.33	5.32 W	0.00	2892 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.20	5.19 W	0.00	2893 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.21	5.20 W	0.00	2894 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	29.45	6.44 W	0.00	2895 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.85	5.84 W	0.00	2896 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.48	5.47 W	0.00	2897 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.36	5.35 W	0.00	2898 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.34	5.33 W	0.00	2899 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.98	3.97 W	0.00	2900 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	37.49	6.81 W	0.00	2901 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	37.76	7.08 W	0.00	2902 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	37.44	6.76 W	0.00	2903 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	37.40	6.72 W	0.00	2904 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	39.31	8.63 W	0.00	2905 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	39.26	8.58 W	0.00	2906 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	39.27	8.59 W	0.00	2907 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	39.16	8.48 W	0.00	2908 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	39.15	8.47 W	0.00	2909 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	38.50	7.82 W	0.00	2910 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	38.61	7.93 W	0.00	2911 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	35.97	5.29 W	0.00	2912 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	35.98	5.30 W	0.00	2913 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	45.25	6.90 W	0.00	2914 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	46.46	8.11 W	0.00	2915 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	47.19	8.84 W	0.00	2916 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	46.84	8.49 W	0.00	2917 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	46.60	8.25 W	0.00	2918 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	38.35	06/19/20	46.61	8.26 W	0.00	2919 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	49.62	11.27 W	0.00	2920 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	48.91	10.56 W	0.00	2921 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	48.12	9.77 W	0.00	2922 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	47.76	9.41 W	0.00	2923 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	48.27	9.92 W	0.00	2924 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	54.60	8.58 W	0.00	2925 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	56.12	10.10 W	0.00	2926 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	56.35	10.33 W	0.00	2927 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	59.66	13.64 W	0.00	2928 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	58.92	12.90 W	0.00	2929 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	58.98	12.96 W	0.00	2930 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	58.98	12.96 W	0.00	2931 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	57.56	11.54 W	0.00	2932 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	57.73	11.71 W	0.00	2933 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	53.80	7.78 W	0.00	2934 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	53.96	7.94 W	0.00	2935 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	63.42	9.73 W	0.00	2936 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	65.18	11.49 W	0.00	2937 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	65.36	11.67 W	0.00	2938 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	65.22	11.53 W	0.00	2939 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	67.35	13.66 W	0.00	2940 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	72.77	11.41 W	0.00	2941 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	78.53	17.17 W	0.00	2942 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	77.93	16.57 W	0.00	2943 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	77.88	16.52 W	0.00	2944 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	75.34	13.98 W	0.00	2945 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	71.94	10.58 W	0.00	2946 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	82.43	13.40 W	0.00	2947 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	84.16	15.13 W	0.00	2948 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	88.12	19.09 W	0.00	2949 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	87.60	18.57 W	0.00	2950 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	86.54	17.51 W	0.00	2951 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	85.32	16.29 W	0.00	2952 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	76.70	06/19/20	93.35	16.65 W	0.00	2953 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	93.70	17.00 W	0.00	2954 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	91.00	14.30 W	0.00	2955 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	98.17	21.47 W	0.00	2956 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	98.29	21.59 W	0.00	2957 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	95.95	19.25 W	0.00	2958 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	94.42	17.72 W	0.00	2959 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	94.11	17.41 W	0.00	2960 of 7072 - Sale <sup>25</sup>
	11.000	84.37	06/19/20	102.57	18.20 W	0.00	2961 of 7072 - Sale <sup>25</sup>
	11.000	84.37	06/19/20	102.69	18.32 W	0.00	2962 of 7072 - Sale <sup>25</sup>
	12.000	92.04	06/19/20	109.13	17.09 W	0.00	2963 of 7072 - Sale <sup>25</sup>
	12.000	92.04	06/19/20	113.18	21.14 W	0.00	2964 of 7072 - Sale <sup>25</sup>
	13.000	99.71	06/19/20	121.34	21.63 W	0.00	2965 of 7072 - Sale <sup>25</sup>
	13.000	99.71	06/19/20	121.18	21.47 W	0.00	2966 of 7072 - Sale <sup>25</sup>
	13.000	99.71	06/19/20	116.95	17.24 W	0.00	2967 of 7072 - Sale <sup>25</sup>
	14.000	107.38	06/19/20	137.04	29.66 W	0.00	2968 of 7072 - Sale <sup>25</sup>
	14.000	107.38	06/19/20	132.34	24.96 W	0.00	2969 of 7072 - Sale <sup>25</sup>
	15.000	115.05	06/19/20	135.89	20.84 W	0.00	2970 of 7072 - Sale <sup>25</sup>
	15.000	115.05	06/19/20	135.72	20.67 W	0.00	2971 of 7072 - Sale <sup>25</sup>
	15.000	115.05	06/19/20	143.36	28.31 W	0.00	2972 of 7072 - Sale <sup>25</sup>
	15.000	115.05	06/19/20	141.30	26.25 W	0.00	2973 of 7072 - Sale <sup>25</sup>
	17.000	130.39	06/19/20	163.64	33.25 W	0.00	2974 of 7072 - Sale <sup>25</sup>
	18.000	138.06	06/19/20	160.90	22.84 W	0.00	2975 of 7072 - Sale <sup>25</sup>
	23.000	176.41	06/19/20	205.59	29.18 W	0.00	2976 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.70	1.03 W	0.00	2977 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.70	1.03 W	0.00	2978 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.70	1.03 W	0.00	2979 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.67	1.00 W	0.00	2980 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.73	1.06 W	0.00	2981 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.14	1.47 W	0.00	2982 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.14	1.47 W	0.00	2983 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.09	1.42 W	0.00	2984 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.03	1.36 W	0.00	2985 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.36	1.69 W	0.00	2986 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.67	06/19/20	9.68	2.01 W	0.00	2987 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.71	2.04 W	0.00	2988 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.74	2.07 W	0.00	2989 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.73	2.06 W	0.00	2990 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.62	1.95 W	0.00	2991 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.61	1.94 W	0.00	2992 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.65	1.98 W	0.00	2993 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.61	1.94 W	0.00	2994 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.60	1.93 W	0.00	2995 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.30	1.63 W	0.00	2996 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.25	1.58 W	0.00	2997 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.40	2.06 W	0.00	2998 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.40	2.06 W	0.00	2999 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.39	2.05 W	0.00	3000 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.45	2.11 W	0.00	3001 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.49	2.15 W	0.00	3002 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.61	2.27 W	0.00	3003 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.73	3.39 W	0.00	3004 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.05	3.71 W	0.00	3005 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.41	4.07 W	0.00	3006 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.54	4.20 W	0.00	3007 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.53	4.19 W	0.00	3008 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.43	4.09 W	0.00	3009 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.26	3.92 W	0.00	3010 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	19.09	3.75 W	0.00	3011 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.55	3.21 W	0.00	3012 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.43	3.09 W	0.00	3013 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.65	2.64 W	0.00	3014 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.48	2.47 W	0.00	3015 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.46	4.45 W	0.00	3016 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.45	4.44 W	0.00	3017 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.40	4.39 W	0.00	3018 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.28	4.27 W	0.00	3019 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.29	4.28 W	0.00	3020 of 7072 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.01	06/19/20	27.30	4.29 W	0.00	3021 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.14	4.13 W	0.00	3022 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	29.34	6.33 W	0.00	3023 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	29.56	6.55 W	0.00	3024 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.88	5.87 W	0.00	3025 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.83	5.82 W	0.00	3026 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.83	5.82 W	0.00	3027 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.82	5.81 W	0.00	3028 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.96	4.95 W	0.00	3029 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	34.19	3.51 W	0.00	3030 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	33.97	3.29 W	0.00	3031 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	34.00	3.32 W	0.00	3032 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	34.67	3.99 W	0.00	3033 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	34.86	4.18 W	0.00	3034 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	36.61	5.93 W	0.00	3035 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	36.61	5.93 W	0.00	3036 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	37.46	6.78 W	0.00	3037 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	38.33	7.65 W	0.00	3038 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	38.33	7.65 W	0.00	3039 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	38.36	7.68 W	0.00	3040 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	43.67	5.32 W	0.00	3041 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	45.57	7.22 W	0.00	3042 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	48.92	10.57 W	0.00	3043 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	48.81	10.46 W	0.00	3044 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	48.50	10.15 W	0.00	3045 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	47.95	9.60 W	0.00	3046 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	46.61	8.26 W	0.00	3047 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	54.22	8.20 W	0.00	3048 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	54.59	8.57 W	0.00	3049 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	54.59	8.57 W	0.00	3050 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	57.80	11.78 W	0.00	3051 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	67.72	14.03 W	0.00	3052 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	67.86	14.17 W	0.00	3053 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	67.39	13.70 W	0.00	3054 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	53.69	06/19/20	67.25	13.56 W	0.00	3055 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	73.23	11.87 W	0.00	3056 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	77.55	16.19 W	0.00	3057 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	76.37	15.01 W	0.00	3058 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	87.25	18.22 W	0.00	3059 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	87.91	18.88 W	0.00	3060 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	97.70	21.00 W	0.00	3061 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	97.77	21.07 W	0.00	3062 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	97.73	21.03 W	0.00	3063 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	97.37	20.67 W	0.00	3064 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	96.12	19.42 W	0.00	3065 of 7072 - Sale <sup>25</sup>
	11.000	84.37	06/19/20	95.89	11.52 W	0.00	3066 of 7072 - Sale <sup>25</sup>
	12.000	92.04	06/19/20	115.57	23.53 W	0.00	3067 of 7072 - Sale <sup>25</sup>
	13.000	99.70	06/19/20	124.63	24.93 W	0.00	3068 of 7072 - Sale <sup>25</sup>
	15.000	115.04	06/19/20	138.46	23.42 W	0.00	3069 of 7072 - Sale <sup>25</sup>
	19.000	145.72	06/19/20	175.20	29.48 W	0.00	3070 of 7072 - Sale <sup>25</sup>
	22.000	168.73	06/19/20	213.42	44.69 W	0.00	3071 of 7072 - Sale <sup>25</sup>
	23.000	176.40	06/19/20	222.58	46.18 W	0.00	3072 of 7072 - Sale <sup>25</sup>
	25.000	191.74	06/19/20	227.45	35.71 W	0.00	3073 of 7072 - Sale <sup>25</sup>
	28.000	214.75	06/19/20	274.05	59.30 W	0.00	3074 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.02	1.35 W	0.00	3075 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.08	1.41 W	0.00	3076 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.94	1.27 W	0.00	3077 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.13	1.46 W	0.00	3078 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.16	1.49 W	0.00	3079 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.16	1.49 W	0.00	3080 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.12	1.45 W	0.00	3081 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.12	1.45 W	0.00	3082 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.17	1.50 W	0.00	3083 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.12	1.45 W	0.00	3084 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.32	1.65 W	0.00	3085 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.25	1.58 W	0.00	3086 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.17	1.50 W	0.00	3087 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.97	1.30 W	0.00	3088 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.67	06/19/20	9.00	1.33 W	0.00	3089 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.94	1.27 W	0.00	3090 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.95	1.28 W	0.00	3091 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.00	1.33 W	0.00	3092 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.93	1.26 W	0.00	3093 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.94	1.27 W	0.00	3094 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.92	1.25 W	0.00	3095 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.15	2.81 W	0.00	3096 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.74	2.40 W	0.00	3097 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.87	2.53 W	0.00	3098 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.95	2.61 W	0.00	3099 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.81	2.47 W	0.00	3100 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.61	3.27 W	0.00	3101 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.73	3.39 W	0.00	3102 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.51	3.17 W	0.00	3103 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.33	2.99 W	0.00	3104 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.45	3.11 W	0.00	3105 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.80	2.46 W	0.00	3106 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.03	4.02 W	0.00	3107 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.70	3.69 W	0.00	3108 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.46	4.45 W	0.00	3109 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	28.07	5.06 W	0.00	3110 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.45	4.44 W	0.00	3111 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.53	4.52 W	0.00	3112 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.85	3.84 W	0.00	3113 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.88	3.87 W	0.00	3114 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.91	3.90 W	0.00	3115 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.98	3.97 W	0.00	3116 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.78	3.77 W	0.00	3117 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.80	3.79 W	0.00	3118 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.77	3.76 W	0.00	3119 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	35.47	4.79 W	0.00	3120 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	36.48	5.80 W	0.00	3121 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	36.97	6.29 W	0.00	3122 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.68	06/19/20	37.15	6.47 W	0.00	3123 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	35.70	5.02 W	0.00	3124 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	53.98	7.96 W	0.00	3125 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	53.98	7.96 W	0.00	3126 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	54.79	8.77 W	0.00	3127 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	55.04	9.02 W	0.00	3128 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	62.50	8.81 W	0.00	3129 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	72.67	11.31 W	0.00	3130 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	73.06	11.70 W	0.00	3131 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	80.47	11.44 W	0.00	3132 of 7072 - Sale <sup>25</sup>
	9.000	69.03	06/19/20	82.50	13.47 W	0.00	3133 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	89.58	12.88 W	0.00	3134 of 7072 - Sale <sup>25</sup>
	10.000	76.70	06/19/20	91.54	14.84 W	0.00	3135 of 7072 - Sale <sup>25</sup>
	11.000	84.36	06/19/20	98.24	13.88 W	0.00	3136 of 7072 - Sale <sup>25</sup>
	12.000	92.04	06/19/20	109.00	16.96 W	0.00	3137 of 7072 - Sale <sup>25</sup>
	17.000	130.38	06/19/20	153.26	22.88 W	0.00	3138 of 7072 - Sale <sup>25</sup>
	19.000	145.72	06/19/20	176.33	30.61 W	0.00	3139 of 7072 - Sale <sup>25</sup>
	23.000	176.40	06/19/20	206.15	29.75 W	0.00	3140 of 7072 - Sale <sup>25</sup>
	23.000	176.40	06/19/20	213.42	37.02 W	0.00	3141 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.08	1.41 W	0.00	3142 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.17	1.50 W	0.00	3143 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.14	1.47 W	0.00	3144 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.18	1.51 W	0.00	3145 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.80	1.13 W	0.00	3146 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.79	1.12 W	0.00	3147 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.79	1.12 W	0.00	3148 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.77	1.10 W	0.00	3149 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.77	1.10 W	0.00	3150 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.83	1.16 W	0.00	3151 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.10	1.43 W	0.00	3152 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.22	1.55 W	0.00	3153 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.16	1.49 W	0.00	3154 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.15	1.48 W	0.00	3155 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.96	1.29 W	0.00	3156 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.67	06/19/20	8.98	1.31 W	0.00	3157 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.18	1.51 W	0.00	3158 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.17	1.50 W	0.00	3159 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.13	1.46 W	0.00	3160 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.95	1.28 W	0.00	3161 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	9.00	1.33 W	0.00	3162 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.83	1.16 W	0.00	3163 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.75	1.08 W	0.00	3164 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.56	0.89 W	0.00	3165 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.58	0.91 W	0.00	3166 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.60	0.93 W	0.00	3167 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.60	0.93 W	0.00	3168 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.59	0.92 W	0.00	3169 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	8.55	0.88 W	0.00	3170 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.20	2.86 W	0.00	3171 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.56	2.22 W	0.00	3172 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.36	2.02 W	0.00	3173 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.35	2.01 W	0.00	3174 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.37	2.03 W	0.00	3175 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.31	2.97 W	0.00	3176 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.31	2.97 W	0.00	3177 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.29	2.95 W	0.00	3178 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.29	2.95 W	0.00	3179 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.31	2.97 W	0.00	3180 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.30	2.96 W	0.00	3181 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.23	2.89 W	0.00	3182 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.89	2.55 W	0.00	3183 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.85	2.51 W	0.00	3184 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	18.20	2.86 W	0.00	3185 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.96	2.62 W	0.00	3186 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.65	2.31 W	0.00	3187 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.43	2.09 W	0.00	3188 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.47	2.13 W	0.00	3189 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.50	2.16 W	0.00	3190 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.34	06/19/20	17.06	1.72 W	0.00	3191 of 7072 - Sale <sup>25</sup>
	2.000	15.34	06/19/20	17.19	1.85 W	0.00	3192 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.11	4.10 W	0.00	3193 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.29	3.28 W	0.00	3194 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.03	3.02 W	0.00	3195 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.47	4.46 W	0.00	3196 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.29	4.28 W	0.00	3197 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.36	4.35 W	0.00	3198 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.03	4.02 W	0.00	3199 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	27.27	4.26 W	0.00	3200 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	26.50	3.49 W	0.00	3201 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.72	2.71 W	0.00	3202 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.73	2.72 W	0.00	3203 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.78	2.77 W	0.00	3204 of 7072 - Sale <sup>25</sup>
	3.000	23.01	06/19/20	25.66	2.65 W	0.00	3205 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	35.40	4.72 W	0.00	3206 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	35.25	4.57 W	0.00	3207 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	36.08	5.40 W	0.00	3208 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	34.30	3.62 W	0.00	3209 of 7072 - Sale <sup>25</sup>
	4.000	30.68	06/19/20	33.98	3.30 W	0.00	3210 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	43.82	5.47 W	0.00	3211 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	43.82	5.47 W	0.00	3212 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	43.72	5.37 W	0.00	3213 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	43.91	5.56 W	0.00	3214 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	45.77	7.42 W	0.00	3215 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	45.78	7.43 W	0.00	3216 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	45.61	7.26 W	0.00	3217 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	45.46	7.11 W	0.00	3218 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	44.92	6.57 W	0.00	3219 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	44.88	6.53 W	0.00	3220 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	44.15	5.80 W	0.00	3221 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	42.99	4.64 W	0.00	3222 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	42.89	4.54 W	0.00	3223 of 7072 - Sale <sup>25</sup>
	5.000	38.35	06/19/20	42.92	4.57 W	0.00	3224 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	46.02	06/19/20	52.73	6.71 W	0.00	3225 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	52.02	6.00 W	0.00	3226 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	54.94	8.92 W	0.00	3227 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	54.17	8.15 W	0.00	3228 of 7072 - Sale <sup>25</sup>
	6.000	46.02	06/19/20	52.85	6.83 W	0.00	3229 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	64.24	10.55 W	0.00	3230 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	62.73	9.04 W	0.00	3231 of 7072 - Sale <sup>25</sup>
	7.000	53.69	06/19/20	63.85	10.16 W	0.00	3232 of 7072 - Sale <sup>25</sup>
	8.000	61.36	06/19/20	69.53	8.17 W	0.00	3233 of 7072 - Sale <sup>25</sup>
	8.000	61.35	06/19/20	72.27	10.92 W	0.00	3234 of 7072 - Sale <sup>25</sup>
	8.000	61.35	06/19/20	70.64	9.29 W	0.00	3235 of 7072 - Sale <sup>25</sup>
	8.000	61.35	06/19/20	68.19	6.84 W	0.00	3236 of 7072 - Sale <sup>25</sup>
	9.000	69.02	06/19/20	82.45	13.43 W	0.00	3237 of 7072 - Sale <sup>25</sup>
	9.000	69.02	06/19/20	77.42	8.40 W	0.00	3238 of 7072 - Sale <sup>25</sup>
	10.000	76.69	06/19/20	87.67	10.98 W	0.00	3239 of 7072 - Sale <sup>25</sup>
	10.000	76.69	06/19/20	90.71	14.02 W	0.00	3240 of 7072 - Sale <sup>25</sup>
	10.000	76.69	06/19/20	90.91	14.22 W	0.00	3241 of 7072 - Sale <sup>25</sup>
	10.000	76.69	06/19/20	84.89	8.20 W	0.00	3242 of 7072 - Sale <sup>25</sup>
	11.000	84.36	06/19/20	100.94	16.58 W	0.00	3243 of 7072 - Sale <sup>25</sup>
	13.000	99.70	06/19/20	111.28	11.58 W	0.00	3244 of 7072 - Sale <sup>25</sup>
	16.000	122.71	06/19/20	145.92	23.21 W	0.00	3245 of 7072 - Sale <sup>25</sup>
	22.000	168.73	06/19/20	190.57	21.84 W	0.00	3246 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	7.68	0.01 W	0.00	3247 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	7.68	0.01 W	0.00	3248 of 7072 - Sale <sup>25</sup>
	1.000	7.67	06/19/20	7.68	0.01 W	0.00	3249 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.94	1.26 W	0.00	3250 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.76	3.72 W	0.00	3251 of 7072 - Sale <sup>25</sup>
	18.000	138.23	06/19/20	160.90	22.67 W	0.00	3252 of 7072 - Sale <sup>25</sup>
	20.000	153.59	06/19/20	178.77	25.18 W	0.00	3253 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.56	0.88 W	0.00	3254 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.56	0.88 W	0.00	3255 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.54	0.86 W	0.00	3256 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.08	1.72 W	0.00	3257 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.62	2.58 W	0.00	3258 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.04	06/19/20	25.62	2.58 W	0.00	3259 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.28	3.56 W	0.00	3260 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.14	3.42 W	0.00	3261 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	42.92	4.52 W	0.00	3262 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	42.86	4.46 W	0.00	3263 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	59.95	6.19 W	0.00	3264 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	85.41	8.61 W	0.00	3265 of 7072 - Sale <sup>25</sup>
	12.000	92.16	06/19/20	102.51	10.35 W	0.00	3266 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.84	1.16 W	0.00	3267 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.82	1.14 W	0.00	3268 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.82	1.14 W	0.00	3269 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.72	1.04 W	0.00	3270 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.20	1.52 W	0.00	3271 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.77	2.41 W	0.00	3272 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.69	2.33 W	0.00	3273 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.69	2.33 W	0.00	3274 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.64	2.28 W	0.00	3275 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.47	2.11 W	0.00	3276 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.09	2.73 W	0.00	3277 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.82	3.78 W	0.00	3278 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.54	3.50 W	0.00	3279 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.54	3.50 W	0.00	3280 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.51	3.47 W	0.00	3281 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.52	3.48 W	0.00	3282 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.25	4.21 W	0.00	3283 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	45.25	6.85 W	0.00	3284 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	54.60	8.52 W	0.00	3285 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	36.24	5.52 W	0.00	3286 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	72.77	11.33 W	0.00	3287 of 7072 - Sale <sup>25</sup>
	12.000	92.15	06/19/20	109.13	16.98 W	0.00	3288 of 7072 - Sale <sup>25</sup>
	15.000	115.19	06/19/20	135.89	20.70 W	0.00	3289 of 7072 - Sale <sup>25</sup>
	15.000	115.18	06/19/20	135.72	20.54 W	0.00	3290 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.05	1.37 W	0.00	3291 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.06	1.38 W	0.00	3292 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.68	06/19/20	9.31	1.63 W	0.00	3293 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.34	1.66 W	0.00	3294 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.33	1.65 W	0.00	3295 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.33	1.65 W	0.00	3296 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.61	3.25 W	0.00	3297 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.63	3.27 W	0.00	3298 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.62	3.26 W	0.00	3299 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.59	3.23 W	0.00	3300 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.99	4.95 W	0.00	3301 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	37.49	6.77 W	0.00	3302 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	46.46	8.06 W	0.00	3303 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	56.12	10.04 W	0.00	3304 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.18	4.14 W	0.00	3305 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	65.18	11.42 W	0.00	3306 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	65.36	11.60 W	0.00	3307 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	82.43	13.31 W	0.00	3308 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	93.35	16.55 W	0.00	3309 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	93.34	16.54 W	0.00	3310 of 7072 - Sale <sup>25</sup>
	11.000	84.48	06/19/20	102.57	18.09 W	0.00	3311 of 7072 - Sale <sup>25</sup>
	11.000	84.48	06/19/20	102.69	18.21 W	0.00	3312 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.39	1.71 W	0.00	3313 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.37	1.69 W	0.00	3314 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.38	1.70 W	0.00	3315 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.35	1.67 W	0.00	3316 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.49	1.81 W	0.00	3317 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.90	2.22 W	0.00	3318 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.90	2.22 W	0.00	3319 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.88	2.20 W	0.00	3320 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.78	2.10 W	0.00	3321 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.77	2.09 W	0.00	3322 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.79	2.11 W	0.00	3323 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.74	2.06 W	0.00	3324 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.73	2.05 W	0.00	3325 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.55	1.87 W	0.00	3326 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.68	06/19/20	9.62	1.94 W	0.00	3327 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.62	1.94 W	0.00	3328 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.54	1.86 W	0.00	3329 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.47	1.79 W	0.00	3330 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.65	1.97 W	0.00	3331 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.65	1.97 W	0.00	3332 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.53	1.85 W	0.00	3333 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.54	1.86 W	0.00	3334 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.84	3.48 W	0.00	3335 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.88	3.52 W	0.00	3336 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.74	3.38 W	0.00	3337 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.74	3.38 W	0.00	3338 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.68	3.32 W	0.00	3339 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.66	4.30 W	0.00	3340 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.61	4.25 W	0.00	3341 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.55	4.19 W	0.00	3342 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.24	3.88 W	0.00	3343 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.07	3.71 W	0.00	3344 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.93	3.57 W	0.00	3345 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.88	3.52 W	0.00	3346 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.00	4.96 W	0.00	3347 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.33	5.29 W	0.00	3348 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.20	5.16 W	0.00	3349 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.21	5.17 W	0.00	3350 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	29.45	6.41 W	0.00	3351 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.85	5.81 W	0.00	3352 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.48	5.44 W	0.00	3353 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	37.76	7.04 W	0.00	3354 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	37.44	6.72 W	0.00	3355 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	37.40	6.68 W	0.00	3356 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	39.31	8.59 W	0.00	3357 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	39.26	8.54 W	0.00	3358 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	39.27	8.55 W	0.00	3359 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	39.16	8.44 W	0.00	3360 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.72	06/19/20	39.15	8.43 W	0.00	3361 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	38.50	7.78 W	0.00	3362 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	38.61	7.89 W	0.00	3363 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	47.19	8.79 W	0.00	3364 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	46.84	8.44 W	0.00	3365 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	46.60	8.20 W	0.00	3366 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	46.61	8.21 W	0.00	3367 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	49.62	11.22 W	0.00	3368 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	48.91	10.51 W	0.00	3369 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	48.12	9.72 W	0.00	3370 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	47.76	9.36 W	0.00	3371 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	48.26	9.86 W	0.00	3372 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	56.35	10.27 W	0.00	3373 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	59.66	13.58 W	0.00	3374 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	58.92	12.84 W	0.00	3375 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	58.98	12.90 W	0.00	3376 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	58.98	12.90 W	0.00	3377 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	57.56	11.48 W	0.00	3378 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	57.73	11.65 W	0.00	3379 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	65.22	11.46 W	0.00	3380 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	67.35	13.59 W	0.00	3381 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	78.53	17.09 W	0.00	3382 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	77.93	16.49 W	0.00	3383 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	77.88	16.44 W	0.00	3384 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	84.16	15.04 W	0.00	3385 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	88.12	19.00 W	0.00	3386 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	87.60	18.48 W	0.00	3387 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	86.54	17.42 W	0.00	3388 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	85.31	16.19 W	0.00	3389 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	93.70	16.90 W	0.00	3390 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	91.00	14.20 W	0.00	3391 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	98.17	21.37 W	0.00	3392 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	98.29	21.49 W	0.00	3393 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	95.94	19.14 W	0.00	3394 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	13.000	99.84	06/19/20	121.18	21.34 W	0.00	3395 of 7072 - Sale <sup>25</sup>
	14.000	107.52	06/19/20	137.03	29.51 W	0.00	3396 of 7072 - Sale <sup>25</sup>
	14.000	107.52	06/19/20	132.32	24.80 W	0.00	3397 of 7072 - Sale <sup>25</sup>
	15.000	115.20	06/19/20	143.35	28.15 W	0.00	3398 of 7072 - Sale <sup>25</sup>
	17.000	130.56	06/19/20	163.63	33.07 W	0.00	3399 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.45	1.77 W	0.00	3400 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.39	1.71 W	0.00	3401 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.46	1.78 W	0.00	3402 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.43	1.75 W	0.00	3403 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.92	2.56 W	0.00	3404 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.93	2.57 W	0.00	3405 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.36	5.32 W	0.00	3406 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.34	5.30 W	0.00	3407 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.98	3.94 W	0.00	3408 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	35.97	5.25 W	0.00	3409 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	35.98	5.26 W	0.00	3410 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	53.80	7.72 W	0.00	3411 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	53.96	7.88 W	0.00	3412 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	75.54	14.10 W	0.00	3413 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	75.34	13.90 W	0.00	3414 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	71.94	10.50 W	0.00	3415 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	94.11	17.31 W	0.00	3416 of 7072 - Sale <sup>25</sup>
	12.000	92.16	06/19/20	113.18	21.02 W	0.00	3417 of 7072 - Sale <sup>25</sup>
	13.000	99.84	06/19/20	116.95	17.11 W	0.00	3418 of 7072 - Sale <sup>25</sup>
	15.000	115.20	06/19/20	141.30	26.10 W	0.00	3419 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.70	1.02 W	0.00	3420 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.70	1.02 W	0.00	3421 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.70	1.02 W	0.00	3422 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.67	0.99 W	0.00	3423 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.73	1.05 W	0.00	3424 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.14	1.46 W	0.00	3425 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.14	1.46 W	0.00	3426 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.09	1.41 W	0.00	3427 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.03	1.35 W	0.00	3428 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.68	06/19/20	9.36	1.68 W	0.00	3429 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.68	2.00 W	0.00	3430 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.71	2.03 W	0.00	3431 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.40	2.04 W	0.00	3432 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.40	2.04 W	0.00	3433 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.39	2.03 W	0.00	3434 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.45	2.09 W	0.00	3435 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.49	2.13 W	0.00	3436 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.61	2.25 W	0.00	3437 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.73	3.37 W	0.00	3438 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.05	3.69 W	0.00	3439 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.41	4.05 W	0.00	3440 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.65	2.61 W	0.00	3441 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.48	2.44 W	0.00	3442 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.46	4.42 W	0.00	3443 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.45	4.41 W	0.00	3444 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.40	4.36 W	0.00	3445 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.28	4.24 W	0.00	3446 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.29	4.25 W	0.00	3447 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.30	4.26 W	0.00	3448 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.14	4.10 W	0.00	3449 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.19	3.47 W	0.00	3450 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	33.97	3.25 W	0.00	3451 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.00	3.28 W	0.00	3452 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.67	3.95 W	0.00	3453 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.86	4.14 W	0.00	3454 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	36.61	5.89 W	0.00	3455 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	36.61	5.89 W	0.00	3456 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	37.46	6.74 W	0.00	3457 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	43.67	5.27 W	0.00	3458 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	45.57	7.17 W	0.00	3459 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	54.22	8.14 W	0.00	3460 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	54.59	8.51 W	0.00	3461 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	54.59	8.51 W	0.00	3462 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	61.44	06/19/20	73.23	11.79 W	0.00	3463 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	77.55	16.11 W	0.00	3464 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	87.25	18.13 W	0.00	3465 of 7072 - Sale <sup>25</sup>
	11.000	84.48	06/19/20	95.89	11.41 W	0.00	3466 of 7072 - Sale <sup>25</sup>
	11.000	84.48	06/19/20	106.46	21.98 W	0.00	3467 of 7072 - Sale <sup>25</sup>
	25.000	191.99	06/19/20	227.46	35.47 W	0.00	3468 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.74	2.06 W	0.00	3469 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.50	4.14 W	0.00	3470 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	29.28	6.24 W	0.00	3471 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	29.50	6.46 W	0.00	3472 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	48.82	10.42 W	0.00	3473 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	67.58	13.82 W	0.00	3474 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	87.73	18.61 W	0.00	3475 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	97.50	20.70 W	0.00	3476 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	97.57	20.77 W	0.00	3477 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	97.53	20.73 W	0.00	3478 of 7072 - Sale <sup>25</sup>
	12.000	92.16	06/19/20	115.89	23.73 W	0.00	3479 of 7072 - Sale <sup>25</sup>
	28.000	215.03	06/19/20	273.50	58.47 W	0.00	3480 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.72	2.04 W	0.00	3481 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.71	2.03 W	0.00	3482 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.60	1.92 W	0.00	3483 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.59	1.91 W	0.00	3484 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.63	1.95 W	0.00	3485 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.59	1.91 W	0.00	3486 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.58	1.90 W	0.00	3487 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.28	1.60 W	0.00	3488 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.23	1.55 W	0.00	3489 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.49	4.13 W	0.00	3490 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.39	4.03 W	0.00	3491 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.22	3.86 W	0.00	3492 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	19.05	3.69 W	0.00	3493 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.51	3.15 W	0.00	3494 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.39	3.03 W	0.00	3495 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.82	5.78 W	0.00	3496 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.04	06/19/20	28.77	5.73 W	0.00	3497 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.77	5.73 W	0.00	3498 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.76	5.72 W	0.00	3499 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.90	4.86 W	0.00	3500 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	38.97	8.25 W	0.00	3501 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	38.25	7.53 W	0.00	3502 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	38.25	7.53 W	0.00	3503 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	38.28	7.56 W	0.00	3504 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	48.40	10.00 W	0.00	3505 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	47.85	9.45 W	0.00	3506 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	46.51	8.11 W	0.00	3507 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	57.68	11.60 W	0.00	3508 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	67.72	13.96 W	0.00	3509 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	67.25	13.49 W	0.00	3510 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	67.11	13.35 W	0.00	3511 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	76.21	14.77 W	0.00	3512 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	97.17	20.37 W	0.00	3513 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	95.92	19.12 W	0.00	3514 of 7072 - Sale <sup>25</sup>
	12.000	92.16	06/19/20	115.33	23.17 W	0.00	3515 of 7072 - Sale <sup>25</sup>
	12.000	92.16	06/19/20	110.54	18.38 W	0.00	3516 of 7072 - Sale <sup>25</sup>
	13.000	99.83	06/19/20	124.38	24.55 W	0.00	3517 of 7072 - Sale <sup>25</sup>
	19.000	145.91	06/19/20	174.83	28.92 W	0.00	3518 of 7072 - Sale <sup>25</sup>
	22.000	168.95	06/19/20	212.99	44.04 W	0.00	3519 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.00	1.32 W	0.00	3520 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.06	1.38 W	0.00	3521 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.11	2.75 W	0.00	3522 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.70	2.34 W	0.00	3523 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.97	3.93 W	0.00	3524 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.64	3.60 W	0.00	3525 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	35.39	4.67 W	0.00	3526 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	44.61	6.21 W	0.00	3527 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	53.86	7.78 W	0.00	3528 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	53.86	7.78 W	0.00	3529 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	72.51	11.07 W	0.00	3530 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	12.000	92.16	06/19/20	108.76	16.60 W	0.00	3531 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.63	4.59 W	0.00	3532 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.92	1.24 W	0.00	3533 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.83	2.47 W	0.00	3534 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	35.68	4.96 W	0.00	3535 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	44.69	6.29 W	0.00	3536 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.91	2.55 W	0.00	3537 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	44.69	6.29 W	0.00	3538 of 7072 - Sale <sup>25</sup>
	11.000	84.48	06/19/20	98.38	13.90 W	0.00	3539 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.11	1.43 W	0.00	3540 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.14	1.46 W	0.00	3541 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.14	1.46 W	0.00	3542 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.10	1.42 W	0.00	3543 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.10	1.42 W	0.00	3544 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.15	1.47 W	0.00	3545 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.10	1.42 W	0.00	3546 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.77	2.41 W	0.00	3547 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.40	4.36 W	0.00	3548 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	36.40	5.68 W	0.00	3549 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	54.67	8.59 W	0.00	3550 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	72.90	11.46 W	0.00	3551 of 7072 - Sale <sup>25</sup>
	12.000	92.15	06/19/20	107.32	15.17 W	0.00	3552 of 7072 - Sale <sup>25</sup>
	17.000	130.55	06/19/20	152.93	22.38 W	0.00	3553 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.30	1.62 W	0.00	3554 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.23	1.55 W	0.00	3555 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.15	1.47 W	0.00	3556 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.95	1.27 W	0.00	3557 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.98	1.30 W	0.00	3558 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.92	1.24 W	0.00	3559 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.93	1.25 W	0.00	3560 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.98	1.30 W	0.00	3561 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.91	1.23 W	0.00	3562 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.92	1.24 W	0.00	3563 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.90	1.22 W	0.00	3564 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.36	06/19/20	18.57	3.21 W	0.00	3565 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.69	3.33 W	0.00	3566 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.47	3.11 W	0.00	3567 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.29	2.93 W	0.00	3568 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.41	3.05 W	0.00	3569 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.76	2.40 W	0.00	3570 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	28.01	4.97 W	0.00	3571 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.39	4.35 W	0.00	3572 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.47	4.43 W	0.00	3573 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.79	3.75 W	0.00	3574 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.82	3.78 W	0.00	3575 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.85	3.81 W	0.00	3576 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.92	3.88 W	0.00	3577 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.72	3.68 W	0.00	3578 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.74	3.70 W	0.00	3579 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.71	3.67 W	0.00	3580 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	36.89	6.17 W	0.00	3581 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	37.07	6.35 W	0.00	3582 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	35.62	4.90 W	0.00	3583 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	54.92	8.84 W	0.00	3584 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	62.36	8.60 W	0.00	3585 of 7072 - Sale <sup>25</sup>
	9.000	69.12	06/19/20	82.32	13.20 W	0.00	3586 of 7072 - Sale <sup>25</sup>
	10.000	76.80	06/19/20	91.34	14.54 W	0.00	3587 of 7072 - Sale <sup>25</sup>
	11.000	84.47	06/19/20	98.03	13.56 W	0.00	3588 of 7072 - Sale <sup>25</sup>
	19.000	145.91	06/19/20	175.96	30.05 W	0.00	3589 of 7072 - Sale <sup>25</sup>
	23.000	176.63	06/19/20	212.97	36.34 W	0.00	3590 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.06	1.38 W	0.00	3591 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.15	1.47 W	0.00	3592 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.12	1.44 W	0.00	3593 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.16	1.48 W	0.00	3594 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.78	1.10 W	0.00	3595 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.77	1.09 W	0.00	3596 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.77	1.09 W	0.00	3597 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.75	1.07 W	0.00	3598 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.68	06/19/20	8.75	1.07 W	0.00	3599 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.81	1.13 W	0.00	3600 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.08	1.40 W	0.00	3601 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.20	1.52 W	0.00	3602 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.14	1.46 W	0.00	3603 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.13	1.45 W	0.00	3604 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.94	1.26 W	0.00	3605 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.96	1.28 W	0.00	3606 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.16	2.80 W	0.00	3607 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.52	2.16 W	0.00	3608 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.32	1.96 W	0.00	3609 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.31	1.95 W	0.00	3610 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.33	1.97 W	0.00	3611 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.27	2.91 W	0.00	3612 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.27	2.91 W	0.00	3613 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.25	2.89 W	0.00	3614 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.25	2.89 W	0.00	3615 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.27	2.91 W	0.00	3616 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.26	2.90 W	0.00	3617 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.19	2.83 W	0.00	3618 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.88	2.52 W	0.00	3619 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.05	4.01 W	0.00	3620 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.23	3.19 W	0.00	3621 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.97	2.93 W	0.00	3622 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.41	4.37 W	0.00	3623 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	35.32	4.60 W	0.00	3624 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	35.17	4.45 W	0.00	3625 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	43.72	5.32 W	0.00	3626 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	43.72	5.32 W	0.00	3627 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	43.62	5.22 W	0.00	3628 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	43.81	5.41 W	0.00	3629 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	45.67	7.27 W	0.00	3630 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	45.68	7.28 W	0.00	3631 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	45.51	7.11 W	0.00	3632 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	46.08	06/19/20	52.61	6.53 W	0.00	3633 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	51.90	5.82 W	0.00	3634 of 7072 - Sale <sup>25</sup>
	6.000	46.08	06/19/20	54.82	8.74 W	0.00	3635 of 7072 - Sale <sup>25</sup>
	7.000	53.76	06/19/20	64.10	10.34 W	0.00	3636 of 7072 - Sale <sup>25</sup>
	8.000	61.44	06/19/20	69.37	7.93 W	0.00	3637 of 7072 - Sale <sup>25</sup>
	9.000	69.11	06/19/20	82.28	13.17 W	0.00	3638 of 7072 - Sale <sup>25</sup>
	10.000	76.79	06/19/20	87.48	10.69 W	0.00	3639 of 7072 - Sale <sup>25</sup>
	10.000	76.79	06/19/20	90.52	13.73 W	0.00	3640 of 7072 - Sale <sup>25</sup>
	11.000	84.47	06/19/20	100.73	16.26 W	0.00	3641 of 7072 - Sale <sup>25</sup>
	16.000	122.87	06/19/20	145.61	22.74 W	0.00	3642 of 7072 - Sale <sup>25</sup>
	22.000	168.95	06/19/20	190.14	21.19 W	0.00	3643 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.91	1.23 W	0.00	3644 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.67	3.63 W	0.00	3645 of 7072 - Sale <sup>25</sup>
	18.000	138.23	06/19/20	160.37	22.14 W	0.00	3646 of 7072 - Sale <sup>25</sup>
	20.000	153.59	06/19/20	178.18	24.59 W	0.00	3647 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.16	1.48 W	0.00	3648 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.15	1.47 W	0.00	3649 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	9.11	1.43 W	0.00	3650 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.93	1.25 W	0.00	3651 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.98	1.30 W	0.00	3652 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.81	1.13 W	0.00	3653 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.73	1.05 W	0.00	3654 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.54	0.86 W	0.00	3655 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.56	0.88 W	0.00	3656 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.58	0.90 W	0.00	3657 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.58	0.90 W	0.00	3658 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.57	0.89 W	0.00	3659 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.53	0.85 W	0.00	3660 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.53	0.85 W	0.00	3661 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.53	0.85 W	0.00	3662 of 7072 - Sale <sup>25</sup>
	1.000	7.68	06/19/20	8.51	0.83 W	0.00	3663 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.85	2.49 W	0.00	3664 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.81	2.45 W	0.00	3665 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	18.16	2.80 W	0.00	3666 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.36	06/19/20	17.92	2.56 W	0.00	3667 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.61	2.25 W	0.00	3668 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.39	2.03 W	0.00	3669 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.43	2.07 W	0.00	3670 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.46	2.10 W	0.00	3671 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.02	1.66 W	0.00	3672 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.15	1.79 W	0.00	3673 of 7072 - Sale <sup>25</sup>
	2.000	15.36	06/19/20	17.02	1.66 W	0.00	3674 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.23	4.19 W	0.00	3675 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.30	4.26 W	0.00	3676 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.97	3.93 W	0.00	3677 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	27.21	4.17 W	0.00	3678 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	26.44	3.40 W	0.00	3679 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.66	2.62 W	0.00	3680 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.67	2.63 W	0.00	3681 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.72	2.68 W	0.00	3682 of 7072 - Sale <sup>25</sup>
	3.000	23.04	06/19/20	25.60	2.56 W	0.00	3683 of 7072 - Sale <sup>25</sup>
	3.000	23.03	06/19/20	25.53	2.50 W	0.00	3684 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	36.00	5.28 W	0.00	3685 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.22	3.50 W	0.00	3686 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	33.90	3.18 W	0.00	3687 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.16	3.44 W	0.00	3688 of 7072 - Sale <sup>25</sup>
	4.000	30.72	06/19/20	34.02	3.30 W	0.00	3689 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	44.71	6.31 W	0.00	3690 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	45.36	6.96 W	0.00	3691 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	44.82	6.42 W	0.00	3692 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	44.78	6.38 W	0.00	3693 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	44.05	5.65 W	0.00	3694 of 7072 - Sale <sup>25</sup>
	5.000	38.40	06/19/20	42.89	4.49 W	0.00	3695 of 7072 - Sale <sup>25</sup>
	5.000	38.39	06/19/20	42.79	4.40 W	0.00	3696 of 7072 - Sale <sup>25</sup>
	5.000	38.39	06/19/20	42.82	4.43 W	0.00	3697 of 7072 - Sale <sup>25</sup>
	5.000	38.39	06/19/20	42.77	4.38 W	0.00	3698 of 7072 - Sale <sup>25</sup>
	5.000	38.39	06/19/20	42.71	4.32 W	0.00	3699 of 7072 - Sale <sup>25</sup>
	6.000	46.07	06/19/20	54.05	7.98 W	0.00	3700 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	46.07	06/19/20	52.73	6.66 W	0.00	3701 of 7072 - Sale <sup>25</sup>
	7.000	53.75	06/19/20	63.71	9.96 W	0.00	3702 of 7072 - Sale <sup>25</sup>
	7.000	53.75	06/19/20	59.74	5.99 W	0.00	3703 of 7072 - Sale <sup>25</sup>
	8.000	61.43	06/19/20	72.12	10.69 W	0.00	3704 of 7072 - Sale <sup>25</sup>
	8.000	61.43	06/19/20	70.49	9.06 W	0.00	3705 of 7072 - Sale <sup>25</sup>
	8.000	61.43	06/19/20	68.04	6.61 W	0.00	3706 of 7072 - Sale <sup>25</sup>
	9.000	69.11	06/19/20	77.25	8.14 W	0.00	3707 of 7072 - Sale <sup>25</sup>
	10.000	76.79	06/19/20	90.72	13.93 W	0.00	3708 of 7072 - Sale <sup>25</sup>
	10.000	76.79	06/19/20	84.70	7.91 W	0.00	3709 of 7072 - Sale <sup>25</sup>
	10.000	76.79	06/19/20	85.11	8.32 W	0.00	3710 of 7072 - Sale <sup>25</sup>
	12.000	92.14	06/19/20	102.15	10.01 W	0.00	3711 of 7072 - Sale <sup>25</sup>
	13.000	99.83	06/19/20	111.03	11.20 W	0.00	3712 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	8.84	1.10 W	0.00	3713 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	8.82	1.08 W	0.00	3714 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	8.82	1.08 W	0.00	3715 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	8.72	0.98 W	0.00	3716 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.20	1.46 W	0.00	3717 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.05	1.31 W	0.00	3718 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.06	1.32 W	0.00	3719 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.31	1.57 W	0.00	3720 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.34	1.60 W	0.00	3721 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.33	1.59 W	0.00	3722 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.33	1.59 W	0.00	3723 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	17.77	2.29 W	0.00	3724 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	17.69	2.21 W	0.00	3725 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	17.69	2.21 W	0.00	3726 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	17.64	2.16 W	0.00	3727 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	17.47	1.99 W	0.00	3728 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.09	2.61 W	0.00	3729 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.61	3.13 W	0.00	3730 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.63	3.15 W	0.00	3731 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.62	3.14 W	0.00	3732 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.59	3.11 W	0.00	3733 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	26.82	3.60 W	0.00	3734 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.22	06/19/20	26.54	3.32 W	0.00	3735 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	26.54	3.32 W	0.00	3736 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	26.51	3.29 W	0.00	3737 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	26.52	3.30 W	0.00	3738 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	27.25	4.03 W	0.00	3739 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	27.18	3.96 W	0.00	3740 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	27.99	4.77 W	0.00	3741 of 7072 - Sale <sup>25</sup>
	4.000	30.96	06/19/20	36.24	5.28 W	0.00	3742 of 7072 - Sale <sup>25</sup>
	4.000	30.96	06/19/20	37.49	6.53 W	0.00	3743 of 7072 - Sale <sup>25</sup>
	5.000	38.70	06/19/20	45.25	6.55 W	0.00	3744 of 7072 - Sale <sup>25</sup>
	5.000	38.70	06/19/20	46.46	7.76 W	0.00	3745 of 7072 - Sale <sup>25</sup>
	6.000	46.44	06/19/20	54.60	8.16 W	0.00	3746 of 7072 - Sale <sup>25</sup>
	6.000	46.44	06/19/20	56.12	9.68 W	0.00	3747 of 7072 - Sale <sup>25</sup>
	7.000	54.18	06/19/20	65.18	11.00 W	0.00	3748 of 7072 - Sale <sup>25</sup>
	7.000	54.17	06/19/20	65.36	11.19 W	0.00	3749 of 7072 - Sale <sup>25</sup>
	8.000	61.92	06/19/20	72.77	10.85 W	0.00	3750 of 7072 - Sale <sup>25</sup>
	9.000	69.66	06/19/20	82.43	12.77 W	0.00	3751 of 7072 - Sale <sup>25</sup>
	10.000	77.39	06/19/20	93.35	15.96 W	0.00	3752 of 7072 - Sale <sup>25</sup>
	10.000	77.40	06/19/20	93.34	15.94 W	0.00	3753 of 7072 - Sale <sup>25</sup>
	11.000	85.13	06/19/20	102.57	17.44 W	0.00	3754 of 7072 - Sale <sup>25</sup>
	11.000	85.13	06/19/20	102.69	17.56 W	0.00	3755 of 7072 - Sale <sup>25</sup>
	12.000	92.88	06/19/20	109.14	16.26 W	0.00	3756 of 7072 - Sale <sup>25</sup>
	15.000	116.09	06/19/20	135.90	19.81 W	0.00	3757 of 7072 - Sale <sup>25</sup>
	15.000	116.09	06/19/20	135.74	19.65 W	0.00	3758 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.39	1.65 W	0.00	3759 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.37	1.63 W	0.00	3760 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.38	1.64 W	0.00	3761 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	9.35	1.61 W	0.00	3762 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.84	3.36 W	0.00	3763 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.88	3.40 W	0.00	3764 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.74	3.26 W	0.00	3765 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.74	3.26 W	0.00	3766 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	18.68	3.20 W	0.00	3767 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	28.00	4.78 W	0.00	3768 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.22	06/19/20	28.33	5.11 W	0.00	3769 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	28.20	4.98 W	0.00	3770 of 7072 - Sale <sup>25</sup>
	3.000	23.22	06/19/20	28.21	4.99 W	0.00	3771 of 7072 - Sale <sup>25</sup>
	3.000	23.21	06/19/20	28.05	4.84 W	0.00	3772 of 7072 - Sale <sup>25</sup>
	4.000	30.96	06/19/20	37.76	6.80 W	0.00	3773 of 7072 - Sale <sup>25</sup>
	4.000	30.96	06/19/20	37.44	6.48 W	0.00	3774 of 7072 - Sale <sup>25</sup>
	4.000	30.96	06/19/20	37.40	6.44 W	0.00	3775 of 7072 - Sale <sup>25</sup>
	5.000	38.70	06/19/20	47.19	8.49 W	0.00	3776 of 7072 - Sale <sup>25</sup>
	5.000	38.70	06/19/20	46.84	8.14 W	0.00	3777 of 7072 - Sale <sup>25</sup>
	5.000	38.70	06/19/20	46.60	7.90 W	0.00	3778 of 7072 - Sale <sup>25</sup>
	5.000	38.70	06/19/20	46.61	7.91 W	0.00	3779 of 7072 - Sale <sup>25</sup>
	6.000	46.44	06/19/20	56.35	9.91 W	0.00	3780 of 7072 - Sale <sup>25</sup>
	7.000	54.17	06/19/20	65.22	11.05 W	0.00	3781 of 7072 - Sale <sup>25</sup>
	10.000	77.40	06/19/20	93.70	16.30 W	0.00	3782 of 7072 - Sale <sup>25</sup>
	13.000	100.61	06/19/20	121.18	20.57 W	0.00	3783 of 7072 - Sale <sup>25</sup>
	1.000	7.74	06/19/20	8.54	0.80 W	0.00	3784 of 7072 - Sale <sup>25</sup>
	2.000	15.48	06/19/20	17.08	1.60 W	0.00	3785 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.49	1.93 W	0.00	3786 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.10	1.54 W	0.00	3787 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	56.11	10.75 W	0.00	3788 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.90	2.34 W	0.00	3789 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.90	2.34 W	0.00	3790 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.88	2.32 W	0.00	3791 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.78	2.22 W	0.00	3792 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.77	2.21 W	0.00	3793 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.79	2.23 W	0.00	3794 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.66	4.54 W	0.00	3795 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.61	4.49 W	0.00	3796 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.55	4.43 W	0.00	3797 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.45	6.77 W	0.00	3798 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.31	9.07 W	0.00	3799 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.26	9.02 W	0.00	3800 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.27	9.03 W	0.00	3801 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.16	8.92 W	0.00	3802 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.24	06/19/20	39.15	8.91 W	0.00	3803 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.32	9.08 W	0.00	3804 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	49.62	11.82 W	0.00	3805 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.91	11.11 W	0.00	3806 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	59.66	14.30 W	0.00	3807 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	58.92	13.56 W	0.00	3808 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	58.98	13.62 W	0.00	3809 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	78.53	18.05 W	0.00	3810 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	81.90	13.86 W	0.00	3811 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	88.12	20.08 W	0.00	3812 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	98.17	22.57 W	0.00	3813 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	98.29	22.69 W	0.00	3814 of 7072 - Sale <sup>25</sup>
	14.000	105.84	06/19/20	137.03	31.19 W	0.00	3815 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.74	2.18 W	0.00	3816 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.73	2.17 W	0.00	3817 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.55	1.99 W	0.00	3818 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.62	2.06 W	0.00	3819 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.66	4.54 W	0.00	3820 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.24	4.12 W	0.00	3821 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.85	6.17 W	0.00	3822 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.86	6.18 W	0.00	3823 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.12	10.32 W	0.00	3824 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	57.56	12.20 W	0.00	3825 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	57.73	12.37 W	0.00	3826 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	77.93	17.45 W	0.00	3827 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	77.88	17.40 W	0.00	3828 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	87.60	19.56 W	0.00	3829 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	86.54	18.50 W	0.00	3830 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	38.77	8.53 W	0.00	3831 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	58.17	12.81 W	0.00	3832 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.69	2.13 W	0.00	3833 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.61	2.05 W	0.00	3834 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.54	1.98 W	0.00	3835 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.72	2.16 W	0.00	3836 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.56	06/19/20	9.72	2.16 W	0.00	3837 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.60	2.04 W	0.00	3838 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.61	2.05 W	0.00	3839 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.52	1.96 W	0.00	3840 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.46	1.90 W	0.00	3841 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.53	1.97 W	0.00	3842 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.50	1.94 W	0.00	3843 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.21	4.09 W	0.00	3844 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.07	3.95 W	0.00	3845 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.02	3.90 W	0.00	3846 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.06	2.94 W	0.00	3847 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.07	2.95 W	0.00	3848 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.69	6.01 W	0.00	3849 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.57	5.89 W	0.00	3850 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.55	5.87 W	0.00	3851 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.19	4.51 W	0.00	3852 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	38.78	8.54 W	0.00	3853 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	38.89	8.65 W	0.00	3854 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.25	6.01 W	0.00	3855 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.26	6.02 W	0.00	3856 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.11	10.31 W	0.00	3857 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.61	10.81 W	0.00	3858 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.22	8.86 W	0.00	3859 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.38	9.02 W	0.00	3860 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	76.10	15.62 W	0.00	3861 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	75.90	15.42 W	0.00	3862 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	72.50	12.02 W	0.00	3863 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	85.94	17.90 W	0.00	3864 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	96.64	21.04 W	0.00	3865 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	94.81	19.21 W	0.00	3866 of 7072 - Sale <sup>25</sup>
	11.000	83.16	06/19/20	106.65	23.49 W	0.00	3867 of 7072 - Sale <sup>25</sup>
	12.000	90.72	06/19/20	114.02	23.30 W	0.00	3868 of 7072 - Sale <sup>25</sup>
	13.000	98.27	06/19/20	117.86	19.59 W	0.00	3869 of 7072 - Sale <sup>25</sup>
	14.000	105.83	06/19/20	133.30	27.47 W	0.00	3870 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	15.000	113.39	06/19/20	144.40	31.01 W	0.00	3871 of 7072 - Sale <sup>25</sup>
	15.000	113.39	06/19/20	142.35	28.96 W	0.00	3872 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.77	1.21 W	0.00	3873 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.77	1.21 W	0.00	3874 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.77	1.21 W	0.00	3875 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.74	1.18 W	0.00	3876 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.80	1.24 W	0.00	3877 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.21	1.65 W	0.00	3878 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.21	1.65 W	0.00	3879 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.16	1.60 W	0.00	3880 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.10	1.54 W	0.00	3881 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.43	1.87 W	0.00	3882 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.75	2.19 W	0.00	3883 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.78	2.22 W	0.00	3884 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.54	2.42 W	0.00	3885 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.54	2.42 W	0.00	3886 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.53	2.41 W	0.00	3887 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.59	2.47 W	0.00	3888 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.63	2.51 W	0.00	3889 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.75	2.63 W	0.00	3890 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.87	3.75 W	0.00	3891 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.19	4.07 W	0.00	3892 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.55	4.43 W	0.00	3893 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.64	4.52 W	0.00	3894 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	25.86	3.18 W	0.00	3895 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	25.69	3.01 W	0.00	3896 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.67	4.99 W	0.00	3897 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.66	4.98 W	0.00	3898 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.61	4.93 W	0.00	3899 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.49	4.81 W	0.00	3900 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.50	4.82 W	0.00	3901 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.51	4.83 W	0.00	3902 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.35	4.67 W	0.00	3903 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.49	6.81 W	0.00	3904 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.68	06/19/20	29.71	7.03 W	0.00	3905 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.47	4.23 W	0.00	3906 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.25	4.01 W	0.00	3907 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.28	4.04 W	0.00	3908 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.95	4.71 W	0.00	3909 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.14	4.90 W	0.00	3910 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.89	6.65 W	0.00	3911 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.89	6.65 W	0.00	3912 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.74	7.50 W	0.00	3913 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.02	6.22 W	0.00	3914 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.92	8.12 W	0.00	3915 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	49.17	11.37 W	0.00	3916 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.64	9.28 W	0.00	3917 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.01	9.65 W	0.00	3918 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.01	9.65 W	0.00	3919 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	68.07	15.15 W	0.00	3920 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	73.79	13.31 W	0.00	3921 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	78.11	17.63 W	0.00	3922 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	78.57	18.09 W	0.00	3923 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	87.88	19.84 W	0.00	3924 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	88.35	20.31 W	0.00	3925 of 7072 - Sale <sup>25</sup>
	10.000	75.59	06/19/20	98.19	22.60 W	0.00	3926 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	98.27	22.67 W	0.00	3927 of 7072 - Sale <sup>25</sup>
	11.000	83.16	06/19/20	96.66	13.50 W	0.00	3928 of 7072 - Sale <sup>25</sup>
	11.000	83.15	06/19/20	107.23	24.08 W	0.00	3929 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	116.73	26.02 W	0.00	3930 of 7072 - Sale <sup>25</sup>
	25.000	188.99	06/19/20	229.21	40.22 W	0.00	3931 of 7072 - Sale <sup>25</sup>
	28.000	211.67	06/19/20	275.46	63.79 W	0.00	3932 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.77	2.21 W	0.00	3933 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.75	2.19 W	0.00	3934 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.74	2.18 W	0.00	3935 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.63	2.07 W	0.00	3936 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.62	2.06 W	0.00	3937 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.66	2.10 W	0.00	3938 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.56	06/19/20	9.62	2.06 W	0.00	3939 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.61	2.05 W	0.00	3940 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.31	1.75 W	0.00	3941 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.26	1.70 W	0.00	3942 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.57	4.45 W	0.00	3943 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.55	4.43 W	0.00	3944 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.45	4.33 W	0.00	3945 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.28	4.16 W	0.00	3946 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.11	3.99 W	0.00	3947 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.57	3.45 W	0.00	3948 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.45	3.33 W	0.00	3949 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.91	6.23 W	0.00	3950 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.86	6.18 W	0.00	3951 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.86	6.18 W	0.00	3952 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.85	6.17 W	0.00	3953 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.99	5.31 W	0.00	3954 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.09	8.85 W	0.00	3955 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	38.37	8.13 W	0.00	3956 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	38.37	8.13 W	0.00	3957 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	38.40	8.16 W	0.00	3958 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.55	10.75 W	0.00	3959 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.00	10.20 W	0.00	3960 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	46.66	8.86 W	0.00	3961 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	57.86	12.50 W	0.00	3962 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	67.93	15.01 W	0.00	3963 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	67.46	14.54 W	0.00	3964 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	67.32	14.40 W	0.00	3965 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	76.45	15.97 W	0.00	3966 of 7072 - Sale <sup>25</sup>
	9.000	68.03	06/19/20	83.17	15.14 W	0.00	3967 of 7072 - Sale <sup>25</sup>
	10.000	75.59	06/19/20	97.47	21.88 W	0.00	3968 of 7072 - Sale <sup>25</sup>
	10.000	75.59	06/19/20	96.22	20.63 W	0.00	3969 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	115.69	24.98 W	0.00	3970 of 7072 - Sale <sup>25</sup>
	13.000	98.27	06/19/20	124.78	26.51 W	0.00	3971 of 7072 - Sale <sup>25</sup>
	19.000	143.63	06/19/20	175.41	31.78 W	0.00	3972 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	22.000	166.31	06/19/20	213.66	47.35 W	0.00	3973 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.04	1.48 W	0.00	3974 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.10	1.54 W	0.00	3975 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	3976 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.15	1.59 W	0.00	3977 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.18	1.62 W	0.00	3978 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.18	1.62 W	0.00	3979 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.14	1.58 W	0.00	3980 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.14	1.58 W	0.00	3981 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.19	1.63 W	0.00	3982 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.14	1.58 W	0.00	3983 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.34	1.78 W	0.00	3984 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.27	1.71 W	0.00	3985 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.19	1.63 W	0.00	3986 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.99	1.43 W	0.00	3987 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.02	1.46 W	0.00	3988 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	3989 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.97	1.41 W	0.00	3990 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.02	1.46 W	0.00	3991 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.95	1.39 W	0.00	3992 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	3993 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.94	1.38 W	0.00	3994 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.19	3.07 W	0.00	3995 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.78	2.66 W	0.00	3996 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.91	2.79 W	0.00	3997 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.99	2.87 W	0.00	3998 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.85	2.73 W	0.00	3999 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.65	3.53 W	0.00	4000 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.77	3.65 W	0.00	4001 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.55	3.43 W	0.00	4002 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.37	3.25 W	0.00	4003 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.49	3.37 W	0.00	4004 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.84	2.72 W	0.00	4005 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.09	4.41 W	0.00	4006 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.68	06/19/20	26.76	4.08 W	0.00	4007 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.52	4.84 W	0.00	4008 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.13	5.45 W	0.00	4009 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.51	4.83 W	0.00	4010 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.59	4.91 W	0.00	4011 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.91	4.23 W	0.00	4012 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.94	4.26 W	0.00	4013 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.97	4.29 W	0.00	4014 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.04	4.36 W	0.00	4015 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.84	4.16 W	0.00	4016 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.86	4.18 W	0.00	4017 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.83	4.15 W	0.00	4018 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.55	5.31 W	0.00	4019 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.84	5.60 W	0.00	4020 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.56	6.32 W	0.00	4021 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.05	6.81 W	0.00	4022 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.23	6.99 W	0.00	4023 of 7072 - Sale <sup>25</sup>
	4.000	30.23	06/19/20	35.78	5.55 W	0.00	4024 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.81	7.01 W	0.00	4025 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.89	7.09 W	0.00	4026 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.89	7.09 W	0.00	4027 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.10	8.74 W	0.00	4028 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.10	8.74 W	0.00	4029 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.91	9.55 W	0.00	4030 of 7072 - Sale <sup>25</sup>
	6.000	45.35	06/19/20	55.16	9.81 W	0.00	4031 of 7072 - Sale <sup>25</sup>
	7.000	52.91	06/19/20	62.64	9.73 W	0.00	4032 of 7072 - Sale <sup>25</sup>
	8.000	60.47	06/19/20	72.83	12.36 W	0.00	4033 of 7072 - Sale <sup>25</sup>
	8.000	60.47	06/19/20	73.22	12.75 W	0.00	4034 of 7072 - Sale <sup>25</sup>
	9.000	68.03	06/19/20	82.68	14.65 W	0.00	4035 of 7072 - Sale <sup>25</sup>
	10.000	75.59	06/19/20	91.74	16.15 W	0.00	4036 of 7072 - Sale <sup>25</sup>
	11.000	83.15	06/19/20	98.82	15.67 W	0.00	4037 of 7072 - Sale <sup>25</sup>
	11.000	83.14	06/19/20	98.48	15.34 W	0.00	4038 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	109.24	18.53 W	0.00	4039 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	107.81	17.10 W	0.00	4040 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	17.000	128.51	06/19/20	153.62	25.11 W	0.00	4041 of 7072 - Sale <sup>25</sup>
	19.000	143.62	06/19/20	176.73	33.11 W	0.00	4042 of 7072 - Sale <sup>25</sup>
	23.000	173.86	06/19/20	213.90	40.04 W	0.00	4043 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.10	1.54 W	0.00	4044 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.12	1.56 W	0.00	4045 of 7072 - Sale <sup>25</sup>
	3.000	22.67	06/19/20	27.76	5.09 W	0.00	4046 of 7072 - Sale <sup>25</sup>
	3.000	22.67	06/19/20	27.75	5.08 W	0.00	4047 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.19	1.63 W	0.00	4048 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.16	1.60 W	0.00	4049 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.20	1.64 W	0.00	4050 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.82	1.26 W	0.00	4051 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.81	1.25 W	0.00	4052 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.81	1.25 W	0.00	4053 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.79	1.23 W	0.00	4054 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.79	1.23 W	0.00	4055 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.85	1.29 W	0.00	4056 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.12	1.56 W	0.00	4057 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.24	1.68 W	0.00	4058 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.18	1.62 W	0.00	4059 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.17	1.61 W	0.00	4060 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.12	1.56 W	0.00	4061 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.60	2.48 W	0.00	4062 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.40	2.28 W	0.00	4063 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.39	2.27 W	0.00	4064 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.41	2.29 W	0.00	4065 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.35	3.23 W	0.00	4066 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.35	3.23 W	0.00	4067 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.33	3.21 W	0.00	4068 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.33	3.21 W	0.00	4069 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.35	3.23 W	0.00	4070 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.34	3.22 W	0.00	4071 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.27	3.15 W	0.00	4072 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.17	4.49 W	0.00	4073 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.35	3.67 W	0.00	4074 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.68	06/19/20	26.09	3.41 W	0.00	4075 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.53	4.85 W	0.00	4076 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.48	5.24 W	0.00	4077 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.33	5.09 W	0.00	4078 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	43.92	6.12 W	0.00	4079 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	43.92	6.12 W	0.00	4080 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	43.82	6.02 W	0.00	4081 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.01	6.21 W	0.00	4082 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.87	8.07 W	0.00	4083 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.88	8.08 W	0.00	4084 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.71	7.91 W	0.00	4085 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	52.85	7.49 W	0.00	4086 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	52.14	6.78 W	0.00	4087 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.06	9.70 W	0.00	4088 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	64.38	11.46 W	0.00	4089 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	69.69	9.21 W	0.00	4090 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	72.73	12.25 W	0.00	4091 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	82.64	14.60 W	0.00	4092 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	87.89	12.29 W	0.00	4093 of 7072 - Sale <sup>25</sup>
	11.000	83.16	06/19/20	101.17	18.01 W	0.00	4094 of 7072 - Sale <sup>25</sup>
	16.000	120.95	06/19/20	146.25	25.30 W	0.00	4095 of 7072 - Sale <sup>25</sup>
	22.000	166.32	06/19/20	191.02	24.70 W	0.00	4096 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	4097 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.82	4.14 W	0.00	4098 of 7072 - Sale <sup>25</sup>
	5.000	37.79	06/19/20	44.80	7.01 W	0.00	4099 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.83	1.27 W	0.00	4100 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.81	1.25 W	0.00	4101 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.81	1.25 W	0.00	4102 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.71	1.15 W	0.00	4103 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.19	1.63 W	0.00	4104 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.04	1.48 W	0.00	4105 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.05	1.49 W	0.00	4106 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.30	1.74 W	0.00	4107 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.33	1.77 W	0.00	4108 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.56	06/19/20	9.32	1.76 W	0.00	4109 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.32	1.76 W	0.00	4110 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.75	2.63 W	0.00	4111 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.67	2.55 W	0.00	4112 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.67	2.55 W	0.00	4113 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.62	2.50 W	0.00	4114 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.45	2.33 W	0.00	4115 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.07	2.95 W	0.00	4116 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.59	3.47 W	0.00	4117 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.61	3.49 W	0.00	4118 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.60	3.48 W	0.00	4119 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.57	3.45 W	0.00	4120 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.79	4.11 W	0.00	4121 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.51	3.83 W	0.00	4122 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.51	3.83 W	0.00	4123 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.48	3.80 W	0.00	4124 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.49	3.81 W	0.00	4125 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.22	4.54 W	0.00	4126 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.15	4.47 W	0.00	4127 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.96	5.28 W	0.00	4128 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.20	5.96 W	0.00	4129 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.45	7.21 W	0.00	4130 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.20	7.40 W	0.00	4131 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	46.41	8.61 W	0.00	4132 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.54	9.18 W	0.00	4133 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	56.06	10.70 W	0.00	4134 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	65.11	12.19 W	0.00	4135 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	65.30	12.38 W	0.00	4136 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	72.69	12.21 W	0.00	4137 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	82.34	14.30 W	0.00	4138 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	93.25	17.65 W	0.00	4139 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	93.24	17.64 W	0.00	4140 of 7072 - Sale <sup>25</sup>
	11.000	83.16	06/19/20	102.46	19.30 W	0.00	4141 of 7072 - Sale <sup>25</sup>
	11.000	83.16	06/19/20	102.58	19.42 W	0.00	4142 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	12.000	90.72	06/19/20	109.02	18.30 W	0.00	4143 of 7072 - Sale <sup>25</sup>
	15.000	113.40	06/19/20	135.75	22.35 W	0.00	4144 of 7072 - Sale <sup>25</sup>
	15.000	113.40	06/19/20	135.59	22.19 W	0.00	4145 of 7072 - Sale <sup>25</sup>
	13.000	98.28	06/19/20	116.48	18.20 W	0.00	4146 of 7072 - Sale <sup>25</sup>
	20.000	151.20	06/19/20	179.19	27.99 W	0.00	4147 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.99	1.43 W	0.00	4148 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.01	1.45 W	0.00	4149 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.21	1.65 W	0.00	4150 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.20	1.64 W	0.00	4151 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.16	1.60 W	0.00	4152 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.98	1.42 W	0.00	4153 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.03	1.47 W	0.00	4154 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.86	1.30 W	0.00	4155 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.78	1.22 W	0.00	4156 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.59	1.03 W	0.00	4157 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.61	1.05 W	0.00	4158 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.63	1.07 W	0.00	4159 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.63	1.07 W	0.00	4160 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.62	1.06 W	0.00	4161 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.58	1.02 W	0.00	4162 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.58	1.02 W	0.00	4163 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.58	1.02 W	0.00	4164 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.56	1.00 W	0.00	4165 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.21	3.09 W	0.00	4166 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.98	2.86 W	0.00	4167 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.95	2.83 W	0.00	4168 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.91	2.79 W	0.00	4169 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.26	3.14 W	0.00	4170 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.02	2.90 W	0.00	4171 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.71	2.59 W	0.00	4172 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.49	2.37 W	0.00	4173 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.53	2.41 W	0.00	4174 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.56	2.44 W	0.00	4175 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.12	2.00 W	0.00	4176 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.12	06/19/20	17.25	2.13 W	0.00	4177 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.12	2.00 W	0.00	4178 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.38	4.70 W	0.00	4179 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.45	4.77 W	0.00	4180 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.12	4.44 W	0.00	4181 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.36	4.68 W	0.00	4182 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.59	3.91 W	0.00	4183 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	25.81	3.13 W	0.00	4184 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	25.82	3.14 W	0.00	4185 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	25.87	3.19 W	0.00	4186 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	25.75	3.07 W	0.00	4187 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	25.69	3.01 W	0.00	4188 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.20	5.96 W	0.00	4189 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.42	4.18 W	0.00	4190 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.10	3.86 W	0.00	4191 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.36	4.12 W	0.00	4192 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	34.22	3.98 W	0.00	4193 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.96	7.16 W	0.00	4194 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.61	7.81 W	0.00	4195 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.07	7.27 W	0.00	4196 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.03	7.23 W	0.00	4197 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.30	6.50 W	0.00	4198 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	43.14	5.34 W	0.00	4199 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	43.05	5.25 W	0.00	4200 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	43.08	5.28 W	0.00	4201 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	43.03	5.23 W	0.00	4202 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	42.97	5.17 W	0.00	4203 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	54.36	9.00 W	0.00	4204 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	53.04	7.68 W	0.00	4205 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	64.07	11.15 W	0.00	4206 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	60.10	7.18 W	0.00	4207 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	72.53	12.05 W	0.00	4208 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	70.90	10.42 W	0.00	4209 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	68.45	7.97 W	0.00	4210 of 7072 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	68.04	06/19/20	77.71	9.67 W	0.00	4211 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	91.23	15.63 W	0.00	4212 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	85.21	9.61 W	0.00	4213 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	85.62	10.02 W	0.00	4214 of 7072 - Sale <sup>25</sup>
	12.000	90.72	06/19/20	102.77	12.05 W	0.00	4215 of 7072 - Sale <sup>25</sup>
	13.000	98.28	06/19/20	111.69	13.41 W	0.00	4216 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.38	1.82 W	0.00	4217 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.36	1.80 W	0.00	4218 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.37	1.81 W	0.00	4219 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.34	1.78 W	0.00	4220 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.66	2.10 W	0.00	4221 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.27	1.71 W	0.00	4222 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	10.07	2.51 W	0.00	4223 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	10.07	2.51 W	0.00	4224 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	10.05	2.49 W	0.00	4225 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.82	3.70 W	0.00	4226 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.86	3.74 W	0.00	4227 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.72	3.60 W	0.00	4228 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.72	3.60 W	0.00	4229 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.66	3.54 W	0.00	4230 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	20.00	4.88 W	0.00	4231 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.95	4.83 W	0.00	4232 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.97	5.29 W	0.00	4233 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.30	5.62 W	0.00	4234 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.17	5.49 W	0.00	4235 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.18	5.50 W	0.00	4236 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.03	5.35 W	0.00	4237 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.96	7.28 W	0.00	4238 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.87	7.19 W	0.00	4239 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.72	7.48 W	0.00	4240 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.40	7.16 W	0.00	4241 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.36	7.12 W	0.00	4242 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.99	9.75 W	0.00	4243 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.94	9.70 W	0.00	4244 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.24	06/19/20	39.95	9.71 W	0.00	4245 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.84	9.60 W	0.00	4246 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	47.14	9.34 W	0.00	4247 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	46.79	8.99 W	0.00	4248 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	46.55	8.75 W	0.00	4249 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	46.56	8.76 W	0.00	4250 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	50.47	12.67 W	0.00	4251 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	56.29	10.93 W	0.00	4252 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	57.13	11.77 W	0.00	4253 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	60.68	15.32 W	0.00	4254 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	59.94	14.58 W	0.00	4255 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	65.16	12.24 W	0.00	4256 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	79.89	19.41 W	0.00	4257 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	83.43	15.39 W	0.00	4258 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	89.64	21.60 W	0.00	4259 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	93.60	18.00 W	0.00	4260 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	99.87	24.27 W	0.00	4261 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	99.98	24.38 W	0.00	4262 of 7072 - Sale <sup>25</sup>
	13.000	98.28	06/19/20	121.06	22.78 W	0.00	4263 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.53	0.97 W	0.00	4264 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.06	1.94 W	0.00	4265 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.97	2.41 W	0.00	4266 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.96	2.40 W	0.00	4267 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.95	2.39 W	0.00	4268 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.97	2.41 W	0.00	4269 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.92	2.36 W	0.00	4270 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.91	2.35 W	0.00	4271 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.73	2.17 W	0.00	4272 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.80	2.24 W	0.00	4273 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.90	4.78 W	0.00	4274 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	20.01	4.89 W	0.00	4275 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.59	4.47 W	0.00	4276 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.38	6.70 W	0.00	4277 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.39	6.71 W	0.00	4278 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.24	06/19/20	40.03	9.79 W	0.00	4279 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	49.80	12.00 W	0.00	4280 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	49.01	11.21 W	0.00	4281 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	60.04	14.68 W	0.00	4282 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	58.62	13.26 W	0.00	4283 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	58.80	13.44 W	0.00	4284 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	79.35	18.87 W	0.00	4285 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	79.30	18.82 W	0.00	4286 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	89.20	21.16 W	0.00	4287 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	88.13	20.09 W	0.00	4288 of 7072 - Sale <sup>25</sup>
	14.000	105.84	06/19/20	139.51	33.67 W	0.00	4289 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.87	2.31 W	0.00	4290 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.79	2.23 W	0.00	4291 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.72	2.16 W	0.00	4292 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.90	2.34 W	0.00	4293 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.90	2.34 W	0.00	4294 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.78	2.22 W	0.00	4295 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.79	2.23 W	0.00	4296 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.56	4.44 W	0.00	4297 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.42	4.30 W	0.00	4298 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.22	6.54 W	0.00	4299 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.48	9.24 W	0.00	4300 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.48	9.24 W	0.00	4301 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.59	9.35 W	0.00	4302 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.99	11.19 W	0.00	4303 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	49.49	11.69 W	0.00	4304 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	59.23	13.87 W	0.00	4305 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	87.53	19.49 W	0.00	4306 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	98.40	22.80 W	0.00	4307 of 7072 - Sale <sup>25</sup>
	11.000	83.16	06/19/20	108.59	25.43 W	0.00	4308 of 7072 - Sale <sup>25</sup>
	13.000	98.28	06/19/20	126.07	27.79 W	0.00	4309 of 7072 - Sale <sup>25</sup>
	15.000	113.40	06/19/20	147.05	33.65 W	0.00	4310 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.69	2.13 W	0.00	4311 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.69	2.13 W	0.00	4312 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.56	06/19/20	9.63	2.07 W	0.00	4313 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.70	2.14 W	0.00	4314 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.67	2.11 W	0.00	4315 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.36	4.24 W	0.00	4316 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.40	3.28 W	0.00	4317 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.41	3.29 W	0.00	4318 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.08	6.40 W	0.00	4319 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.06	6.38 W	0.00	4320 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.70	5.02 W	0.00	4321 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.70	5.02 W	0.00	4322 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.93	6.69 W	0.00	4323 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.24	9.88 W	0.00	4324 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.40	10.04 W	0.00	4325 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	77.46	16.98 W	0.00	4326 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	77.26	16.78 W	0.00	4327 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	73.85	13.37 W	0.00	4328 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	96.51	20.91 W	0.00	4329 of 7072 - Sale <sup>25</sup>
	12.000	90.72	06/19/20	116.06	25.34 W	0.00	4330 of 7072 - Sale <sup>25</sup>
	15.000	113.39	06/19/20	144.91	31.52 W	0.00	4331 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.26	1.70 W	0.00	4332 of 7072 - Sale <sup>25</sup>
	13.000	98.28	06/19/20	120.34	22.06 W	0.00	4333 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	4334 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	4335 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	4336 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.93	1.37 W	0.00	4337 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.99	1.43 W	0.00	4338 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.40	1.84 W	0.00	4339 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.92	2.80 W	0.00	4340 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.92	2.80 W	0.00	4341 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.91	2.79 W	0.00	4342 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.97	2.85 W	0.00	4343 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.01	2.89 W	0.00	4344 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.13	3.01 W	0.00	4345 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.83	3.71 W	0.00	4346 of 7072 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.68	06/19/20	26.43	3.75 W	0.00	4347 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.26	3.58 W	0.00	4348 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.24	5.56 W	0.00	4349 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.23	5.55 W	0.00	4350 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.23	4.99 W	0.00	4351 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.01	4.77 W	0.00	4352 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.04	4.80 W	0.00	4353 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.71	5.47 W	0.00	4354 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.90	5.66 W	0.00	4355 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.65	7.41 W	0.00	4356 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.97	7.17 W	0.00	4357 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.78	10.42 W	0.00	4358 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	75.31	14.83 W	0.00	4359 of 7072 - Sale <sup>25</sup>
	11.000	83.16	06/19/20	98.75	15.59 W	0.00	4360 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.40	1.84 W	0.00	4361 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.35	1.79 W	0.00	4362 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.29	1.73 W	0.00	4363 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.62	2.06 W	0.00	4364 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.94	2.38 W	0.00	4365 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.97	2.41 W	0.00	4366 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.81	3.69 W	0.00	4367 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.24	4.12 W	0.00	4368 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.56	4.44 W	0.00	4369 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.92	4.80 W	0.00	4370 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.17	5.49 W	0.00	4371 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.05	5.37 W	0.00	4372 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.06	5.38 W	0.00	4373 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.07	5.39 W	0.00	4374 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.91	5.23 W	0.00	4375 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	38.49	8.25 W	0.00	4376 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	46.85	9.05 W	0.00	4377 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	56.13	10.77 W	0.00	4378 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	56.13	10.77 W	0.00	4379 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	79.60	19.12 W	0.00	4380 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	60.48	06/19/20	79.48	19.00 W	0.00	4381 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	89.56	21.52 W	0.00	4382 of 7072 - Sale <sup>25</sup>
	25.000	188.99	06/19/20	233.89	44.90 W	0.00	4383 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	20.02	4.90 W	0.00	4384 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.82	7.14 W	0.00	4385 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	30.06	7.38 W	0.00	4386 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	30.28	7.60 W	0.00	4387 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	50.12	12.32 W	0.00	4388 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	69.40	16.48 W	0.00	4389 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	80.08	19.60 W	0.00	4390 of 7072 - Sale <sup>25</sup>
	9.000	68.04	06/19/20	90.06	22.02 W	0.00	4391 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	100.10	24.50 W	0.00	4392 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	100.17	24.57 W	0.00	4393 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	119.02	28.31 W	0.00	4394 of 7072 - Sale <sup>25</sup>
	28.000	211.67	06/19/20	280.79	69.12 W	0.00	4395 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.95	2.39 W	0.00	4396 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.93	2.37 W	0.00	4397 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.92	2.36 W	0.00	4398 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.81	2.25 W	0.00	4399 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.80	2.24 W	0.00	4400 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.93	4.81 W	0.00	4401 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.91	4.79 W	0.00	4402 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.81	4.69 W	0.00	4403 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.45	6.77 W	0.00	4404 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.81	9.57 W	0.00	4405 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.09	8.85 W	0.00	4406 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.09	8.85 W	0.00	4407 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	49.45	11.65 W	0.00	4408 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	69.19	16.27 W	0.00	4409 of 7072 - Sale <sup>25</sup>
	10.000	75.60	06/19/20	99.28	23.68 W	0.00	4410 of 7072 - Sale <sup>25</sup>
	22.000	166.31	06/19/20	217.63	51.32 W	0.00	4411 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.84	2.28 W	0.00	4412 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.80	2.24 W	0.00	4413 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.64	4.52 W	0.00	4414 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	52.92	06/19/20	68.72	15.80 W	0.00	4415 of 7072 - Sale <sup>25</sup>
	7.000	52.92	06/19/20	68.58	15.66 W	0.00	4416 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	117.86	27.15 W	0.00	4417 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.80	2.24 W	0.00	4418 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.79	2.23 W	0.00	4419 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.49	1.93 W	0.00	4420 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.44	1.88 W	0.00	4421 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.60	4.48 W	0.00	4422 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.47	4.35 W	0.00	4423 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.93	3.81 W	0.00	4424 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.81	3.69 W	0.00	4425 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.40	6.72 W	0.00	4426 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	29.39	6.71 W	0.00	4427 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.53	5.85 W	0.00	4428 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	39.12	8.88 W	0.00	4429 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	48.90	11.10 W	0.00	4430 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	47.56	9.76 W	0.00	4431 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	58.94	13.58 W	0.00	4432 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	77.89	17.41 W	0.00	4433 of 7072 - Sale <sup>25</sup>
	9.000	68.03	06/19/20	84.80	16.77 W	0.00	4434 of 7072 - Sale <sup>25</sup>
	10.000	75.59	06/19/20	98.03	22.44 W	0.00	4435 of 7072 - Sale <sup>25</sup>
	13.000	98.27	06/19/20	127.13	28.86 W	0.00	4436 of 7072 - Sale <sup>25</sup>
	19.000	143.63	06/19/20	178.84	35.21 W	0.00	4437 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.21	1.65 W	0.00	4438 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.27	1.71 W	0.00	4439 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.13	1.57 W	0.00	4440 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.53	3.41 W	0.00	4441 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.12	3.00 W	0.00	4442 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.25	3.13 W	0.00	4443 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.33	3.21 W	0.00	4444 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.60	4.92 W	0.00	4445 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.27	4.59 W	0.00	4446 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.22	5.98 W	0.00	4447 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.51	6.27 W	0.00	4448 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.80	06/19/20	45.65	7.85 W	0.00	4449 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.73	7.93 W	0.00	4450 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	45.73	7.93 W	0.00	4451 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	46.03	8.23 W	0.00	4452 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.11	9.75 W	0.00	4453 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.11	9.75 W	0.00	4454 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	74.19	13.71 W	0.00	4455 of 7072 - Sale <sup>25</sup>
	11.000	83.15	06/19/20	100.69	17.54 W	0.00	4456 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	111.27	20.56 W	0.00	4457 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	109.85	19.14 W	0.00	4458 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.30	1.74 W	0.00	4459 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.33	1.77 W	0.00	4460 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.33	1.77 W	0.00	4461 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.29	1.73 W	0.00	4462 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.29	1.73 W	0.00	4463 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.34	1.78 W	0.00	4464 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.29	1.73 W	0.00	4465 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.49	1.93 W	0.00	4466 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.42	1.86 W	0.00	4467 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.34	1.78 W	0.00	4468 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.15	3.03 W	0.00	4469 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.95	3.83 W	0.00	4470 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	19.07	3.95 W	0.00	4471 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.85	3.73 W	0.00	4472 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.67	3.55 W	0.00	4473 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.97	5.29 W	0.00	4474 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.58	5.90 W	0.00	4475 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.96	5.28 W	0.00	4476 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.04	5.36 W	0.00	4477 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.16	6.92 W	0.00	4478 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.65	7.41 W	0.00	4479 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	37.83	7.59 W	0.00	4480 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	47.25	9.45 W	0.00	4481 of 7072 - Sale <sup>25</sup>
	6.000	45.36	06/19/20	55.81	10.45 W	0.00	4482 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.36	06/19/20	56.07	10.71 W	0.00	4483 of 7072 - Sale <sup>25</sup>
	8.000	60.48	06/19/20	74.43	13.95 W	0.00	4484 of 7072 - Sale <sup>25</sup>
	9.000	68.03	06/19/20	84.04	16.01 W	0.00	4485 of 7072 - Sale <sup>25</sup>
	10.000	75.59	06/19/20	93.25	17.66 W	0.00	4486 of 7072 - Sale <sup>25</sup>
	12.000	90.71	06/19/20	110.24	19.53 W	0.00	4487 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.14	1.58 W	0.00	4488 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.17	1.61 W	0.00	4489 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.11	1.55 W	0.00	4490 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.12	1.56 W	0.00	4491 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.17	1.61 W	0.00	4492 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.10	1.54 W	0.00	4493 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.11	1.55 W	0.00	4494 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.09	1.53 W	0.00	4495 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.79	3.67 W	0.00	4496 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.14	3.02 W	0.00	4497 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.36	4.68 W	0.00	4498 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.39	4.71 W	0.00	4499 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.42	4.74 W	0.00	4500 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.49	4.81 W	0.00	4501 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.29	4.61 W	0.00	4502 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.31	4.63 W	0.00	4503 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.28	4.60 W	0.00	4504 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.39	6.15 W	0.00	4505 of 7072 - Sale <sup>25</sup>
	7.000	52.91	06/19/20	63.70	10.79 W	0.00	4506 of 7072 - Sale <sup>25</sup>
	11.000	83.15	06/19/20	100.15	17.00 W	0.00	4507 of 7072 - Sale <sup>25</sup>
	14.000	105.83	06/19/20	132.34	26.51 W	0.00	4508 of 7072 - Sale <sup>25</sup>
	23.000	173.86	06/19/20	217.37	43.51 W	0.00	4509 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.25	1.69 W	0.00	4510 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.27	1.71 W	0.00	4511 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.22	5.54 W	0.00	4512 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	28.21	5.53 W	0.00	4513 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.27	1.71 W	0.00	4514 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.34	1.78 W	0.00	4515 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.31	1.75 W	0.00	4516 of 7072 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.56	06/19/20	9.35	1.79 W	0.00	4517 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.97	1.41 W	0.00	4518 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	4519 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.96	1.40 W	0.00	4520 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.94	1.38 W	0.00	4521 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.90	2.78 W	0.00	4522 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.70	2.58 W	0.00	4523 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.69	2.57 W	0.00	4524 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	17.71	2.59 W	0.00	4525 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.62	4.94 W	0.00	4526 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.80	4.12 W	0.00	4527 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	26.54	3.86 W	0.00	4528 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.67	6.87 W	0.00	4529 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.67	6.87 W	0.00	4530 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.57	6.77 W	0.00	4531 of 7072 - Sale <sup>25</sup>
	5.000	37.80	06/19/20	44.76	6.96 W	0.00	4532 of 7072 - Sale <sup>25</sup>
	6.000	45.35	06/19/20	53.75	8.40 W	0.00	4533 of 7072 - Sale <sup>25</sup>
	6.000	45.35	06/19/20	53.04	7.69 W	0.00	4534 of 7072 - Sale <sup>25</sup>
	7.000	52.91	06/19/20	65.43	12.52 W	0.00	4535 of 7072 - Sale <sup>25</sup>
	8.000	60.47	06/19/20	70.89	10.42 W	0.00	4536 of 7072 - Sale <sup>25</sup>
	10.000	75.59	06/19/20	89.39	13.80 W	0.00	4537 of 7072 - Sale <sup>25</sup>
	18.000	136.06	06/19/20	158.99	22.93 W	0.00	4538 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	8.94	1.38 W	0.00	4539 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.00	1.44 W	0.00	4540 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.27	1.71 W	0.00	4541 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.39	1.83 W	0.00	4542 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.33	1.77 W	0.00	4543 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.32	1.76 W	0.00	4544 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.65	3.53 W	0.00	4545 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.65	3.53 W	0.00	4546 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.63	3.51 W	0.00	4547 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.63	3.51 W	0.00	4548 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.65	3.53 W	0.00	4549 of 7072 - Sale <sup>25</sup>
	2.000	15.12	06/19/20	18.64	3.52 W	0.00	4550 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.12	06/19/20	18.57	3.45 W	0.00	4551 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.98	5.30 W	0.00	4552 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.33	5.09 W	0.00	4553 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	36.08	5.84 W	0.00	4554 of 7072 - Sale <sup>25</sup>
	4.000	30.24	06/19/20	35.93	5.69 W	0.00	4555 of 7072 - Sale <sup>25</sup>
	5.000	37.79	06/19/20	46.62	8.83 W	0.00	4556 of 7072 - Sale <sup>25</sup>
	5.000	37.79	06/19/20	46.63	8.84 W	0.00	4557 of 7072 - Sale <sup>25</sup>
	5.000	37.79	06/19/20	46.46	8.67 W	0.00	4558 of 7072 - Sale <sup>25</sup>
	6.000	45.35	06/19/20	55.96	10.61 W	0.00	4559 of 7072 - Sale <sup>25</sup>
	8.000	60.47	06/19/20	73.93	13.46 W	0.00	4560 of 7072 - Sale <sup>25</sup>
	9.000	68.03	06/19/20	83.99	15.96 W	0.00	4561 of 7072 - Sale <sup>25</sup>
	11.000	83.15	06/19/20	102.82	19.67 W	0.00	4562 of 7072 - Sale <sup>25</sup>
	16.000	120.94	06/19/20	148.66	27.72 W	0.00	4563 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.09	1.53 W	0.00	4564 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.08	1.52 W	0.00	4565 of 7072 - Sale <sup>25</sup>
	3.000	22.68	06/19/20	27.20	4.52 W	0.00	4566 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.05	1.49 W	0.00	4567 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.06	1.50 W	0.00	4568 of 7072 - Sale <sup>25</sup>
	1.000	7.55	06/19/20	9.06	1.51 W	0.00	4569 of 7072 - Sale <sup>25</sup>
	1.000	7.56	06/19/20	9.07	1.51 W	0.00	4570 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	45.25	7.30 W	0.00	4571 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	8.92	1.33 W	0.00	4572 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	8.90	1.31 W	0.00	4573 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	8.90	1.31 W	0.00	4574 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	8.80	1.21 W	0.00	4575 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.28	1.69 W	0.00	4576 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.93	2.75 W	0.00	4577 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.85	2.67 W	0.00	4578 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.85	2.67 W	0.00	4579 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.80	2.62 W	0.00	4580 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	17.63	2.45 W	0.00	4581 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.25	3.07 W	0.00	4582 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	27.06	4.29 W	0.00	4583 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	26.78	4.01 W	0.00	4584 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.77	06/19/20	26.78	4.01 W	0.00	4585 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	26.75	3.98 W	0.00	4586 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	26.76	3.99 W	0.00	4587 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	27.49	4.72 W	0.00	4588 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	55.08	9.54 W	0.00	4589 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	54.83	9.29 W	0.00	4590 of 7072 - Sale <sup>25</sup>
	8.000	60.72	06/19/20	73.41	12.69 W	0.00	4591 of 7072 - Sale <sup>25</sup>
	12.000	91.08	06/19/20	110.10	19.02 W	0.00	4592 of 7072 - Sale <sup>25</sup>
	8.000	60.72	06/19/20	72.40	11.68 W	0.00	4593 of 7072 - Sale <sup>25</sup>
	20.000	151.79	06/19/20	180.99	29.20 W	0.00	4594 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.15	1.56 W	0.00	4595 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.16	1.57 W	0.00	4596 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.41	1.82 W	0.00	4597 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.44	1.85 W	0.00	4598 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.43	1.84 W	0.00	4599 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.81	3.63 W	0.00	4600 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.83	3.65 W	0.00	4601 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.82	3.64 W	0.00	4602 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.79	3.61 W	0.00	4603 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	27.47	4.70 W	0.00	4604 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.28	5.51 W	0.00	4605 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	36.63	6.27 W	0.00	4606 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	37.88	7.52 W	0.00	4607 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	45.74	7.79 W	0.00	4608 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	46.95	9.00 W	0.00	4609 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	56.71	11.17 W	0.00	4610 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	56.60	11.06 W	0.00	4611 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	65.86	12.73 W	0.00	4612 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	82.42	14.11 W	0.00	4613 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	83.31	15.00 W	0.00	4614 of 7072 - Sale <sup>25</sup>
	11.000	83.49	06/19/20	103.65	20.16 W	0.00	4615 of 7072 - Sale <sup>25</sup>
	15.000	113.84	06/19/20	137.21	23.37 W	0.00	4616 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.43	1.84 W	0.00	4617 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.49	1.90 W	0.00	4618 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.59	06/19/20	9.47	1.88 W	0.00	4619 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.48	1.89 W	0.00	4620 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.45	1.86 W	0.00	4621 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.77	2.18 W	0.00	4622 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.38	1.79 W	0.00	4623 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.18	2.59 W	0.00	4624 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.18	2.59 W	0.00	4625 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.16	2.57 W	0.00	4626 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.04	3.86 W	0.00	4627 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.08	3.90 W	0.00	4628 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.94	3.76 W	0.00	4629 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.94	3.76 W	0.00	4630 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	18.88	3.70 W	0.00	4631 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	20.22	5.04 W	0.00	4632 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	20.17	4.99 W	0.00	4633 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.30	5.53 W	0.00	4634 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.63	5.86 W	0.00	4635 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.50	5.73 W	0.00	4636 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.51	5.74 W	0.00	4637 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	28.36	5.59 W	0.00	4638 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	30.29	7.52 W	0.00	4639 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	38.15	7.79 W	0.00	4640 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	37.83	7.47 W	0.00	4641 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	37.79	7.43 W	0.00	4642 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	40.42	10.06 W	0.00	4643 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	40.37	10.01 W	0.00	4644 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	47.17	9.22 W	0.00	4645 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	47.68	9.73 W	0.00	4646 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	47.33	9.38 W	0.00	4647 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	47.09	9.14 W	0.00	4648 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	47.10	9.15 W	0.00	4649 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	51.01	13.06 W	0.00	4650 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	56.94	11.40 W	0.00	4651 of 7072 - Sale <sup>25</sup>
	6.000	45.53	06/19/20	57.78	12.25 W	0.00	4652 of 7072 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.53	06/19/20	61.33	15.80 W	0.00	4653 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.10	2.51 W	0.00	4654 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	66.06	12.93 W	0.00	4655 of 7072 - Sale <sup>25</sup>
	7.000	53.13	06/19/20	65.92	12.79 W	0.00	4656 of 7072 - Sale <sup>25</sup>
	8.000	60.71	06/19/20	80.76	20.05 W	0.00	4657 of 7072 - Sale <sup>25</sup>
	9.000	68.30	06/19/20	84.41	16.11 W	0.00	4658 of 7072 - Sale <sup>25</sup>
	10.000	75.89	06/19/20	94.34	18.45 W	0.00	4659 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	94.33	18.43 W	0.00	4660 of 7072 - Sale <sup>25</sup>
	10.000	75.89	06/19/20	94.69	18.80 W	0.00	4661 of 7072 - Sale <sup>25</sup>
	10.000	75.89	06/19/20	100.96	25.07 W	0.00	4662 of 7072 - Sale <sup>25</sup>
	10.000	75.88	06/19/20	101.07	25.19 W	0.00	4663 of 7072 - Sale <sup>25</sup>
	13.000	98.66	06/19/20	122.47	23.81 W	0.00	4664 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.08	2.49 W	0.00	4665 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.07	2.48 W	0.00	4666 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.06	2.47 W	0.00	4667 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.08	2.49 W	0.00	4668 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.03	2.44 W	0.00	4669 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	10.02	2.43 W	0.00	4670 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.84	2.25 W	0.00	4671 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.91	2.32 W	0.00	4672 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	20.12	4.94 W	0.00	4673 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	20.23	5.05 W	0.00	4674 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.81	4.63 W	0.00	4675 of 7072 - Sale <sup>25</sup>
	2.000	15.18	06/19/20	19.78	4.60 W	0.00	4676 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	30.20	7.43 W	0.00	4677 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.71	6.94 W	0.00	4678 of 7072 - Sale <sup>25</sup>
	3.000	22.77	06/19/20	29.72	6.95 W	0.00	4679 of 7072 - Sale <sup>25</sup>
	3.000	22.76	06/19/20	29.55	6.79 W	0.00	4680 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	40.38	10.02 W	0.00	4681 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	40.27	9.91 W	0.00	4682 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	40.46	10.10 W	0.00	4683 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	39.91	9.55 W	0.00	4684 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	39.91	9.55 W	0.00	4685 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	50.34	12.39 W	0.00	4686 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.95	06/19/20	49.55	11.60 W	0.00	4687 of 7072 - Sale <sup>25</sup>
	5.000	37.95	06/19/20	50.49	12.54 W	0.00	4688 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	60.69	15.15 W	0.00	4689 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	59.27	13.73 W	0.00	4690 of 7072 - Sale <sup>25</sup>
	6.000	45.54	06/19/20	59.45	13.91 W	0.00	4691 of 7072 - Sale <sup>25</sup>
	6.000	45.53	06/19/20	59.88	14.35 W	0.00	4692 of 7072 - Sale <sup>25</sup>
	8.000	60.72	06/19/20	80.22	19.50 W	0.00	4693 of 7072 - Sale <sup>25</sup>
	8.000	60.72	06/19/20	80.17	19.45 W	0.00	4694 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	90.62	22.31 W	0.00	4695 of 7072 - Sale <sup>25</sup>
	9.000	68.31	06/19/20	90.18	21.87 W	0.00	4696 of 7072 - Sale <sup>25</sup>
	9.000	68.30	06/19/20	89.11	20.81 W	0.00	4697 of 7072 - Sale <sup>25</sup>
	11.000	83.48	06/19/20	109.79	26.31 W	0.00	4698 of 7072 - Sale <sup>25</sup>
	14.000	106.25	06/19/20	141.03	34.78 W	0.00	4699 of 7072 - Sale <sup>25</sup>
	1.000	7.59	06/19/20	9.98	2.39 W	0.00	4700 of 7072 - Sale <sup>25</sup>
	10.000	75.90	06/19/20	99.49	23.59 W	0.00	4701 of 7072 - Sale <sup>25</sup>
	4.000	30.36	06/19/20	39.65	9.29 W	0.00	4702 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.90	2.30 W	0.00	4703 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.83	2.23 W	0.00	4704 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	10.01	2.41 W	0.00	4705 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	10.01	2.41 W	0.00	4706 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.89	2.29 W	0.00	4707 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.90	2.30 W	0.00	4708 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.80	2.20 W	0.00	4709 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.80	2.20 W	0.00	4710 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.74	2.14 W	0.00	4711 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.81	2.21 W	0.00	4712 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.78	2.18 W	0.00	4713 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.37	1.77 W	0.00	4714 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	19.64	4.44 W	0.00	4715 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	19.58	4.38 W	0.00	4716 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.62	3.42 W	0.00	4717 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.63	3.43 W	0.00	4718 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	29.41	6.61 W	0.00	4719 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	29.39	6.59 W	0.00	4720 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.80	06/19/20	28.03	5.23 W	0.00	4721 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.03	5.23 W	0.00	4722 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	40.02	9.62 W	0.00	4723 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	37.36	6.96 W	0.00	4724 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	49.53	11.53 W	0.00	4725 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	50.03	12.03 W	0.00	4726 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	55.89	10.29 W	0.00	4727 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	56.05	10.45 W	0.00	4728 of 7072 - Sale <sup>25</sup>
	8.000	60.80	06/19/20	78.33	17.53 W	0.00	4729 of 7072 - Sale <sup>25</sup>
	8.000	60.80	06/19/20	78.13	17.33 W	0.00	4730 of 7072 - Sale <sup>25</sup>
	8.000	60.79	06/19/20	74.72	13.93 W	0.00	4731 of 7072 - Sale <sup>25</sup>
	9.000	68.40	06/19/20	88.51	20.11 W	0.00	4732 of 7072 - Sale <sup>25</sup>
	10.000	76.00	06/19/20	97.60	21.60 W	0.00	4733 of 7072 - Sale <sup>25</sup>
	12.000	91.20	06/19/20	117.36	26.16 W	0.00	4734 of 7072 - Sale <sup>25</sup>
	13.000	98.79	06/19/20	127.48	28.69 W	0.00	4735 of 7072 - Sale <sup>25</sup>
	13.000	98.79	06/19/20	121.75	22.96 W	0.00	4736 of 7072 - Sale <sup>25</sup>
	11.000	83.60	06/19/20	109.03	25.43 W	0.00	4737 of 7072 - Sale <sup>25</sup>
	15.000	113.99	06/19/20	146.55	32.56 W	0.00	4738 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.10	1.50 W	0.00	4739 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.12	1.52 W	0.00	4740 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.32	1.72 W	0.00	4741 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.31	1.71 W	0.00	4742 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.27	1.67 W	0.00	4743 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.43	3.23 W	0.00	4744 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.20	3.00 W	0.00	4745 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.17	2.97 W	0.00	4746 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.13	2.93 W	0.00	4747 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.48	3.28 W	0.00	4748 of 7072 - Sale <sup>25</sup>
	3.000	22.79	06/19/20	27.71	4.92 W	0.00	4749 of 7072 - Sale <sup>25</sup>
	3.000	22.79	06/19/20	27.78	4.99 W	0.00	4750 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	45.50	7.50 W	0.00	4751 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.09	1.49 W	0.00	4752 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.14	1.54 W	0.00	4753 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.97	1.37 W	0.00	4754 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	06/19/20	8.89	1.29 W	0.00	4755 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.70	1.10 W	0.00	4756 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.24	3.04 W	0.00	4757 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.93	2.73 W	0.00	4758 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.71	2.51 W	0.00	4759 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.75	2.55 W	0.00	4760 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.78	2.58 W	0.00	4761 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.34	2.14 W	0.00	4762 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	27.45	4.65 W	0.00	4763 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	27.69	4.89 W	0.00	4764 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	26.92	4.12 W	0.00	4765 of 7072 - Sale <sup>25</sup>
	3.000	22.79	06/19/20	26.14	3.35 W	0.00	4766 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.43	2.23 W	0.00	4767 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	36.64	6.24 W	0.00	4768 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	46.15	8.15 W	0.00	4769 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	45.61	7.61 W	0.00	4770 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	45.57	7.57 W	0.00	4771 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	44.84	6.84 W	0.00	4772 of 7072 - Sale <sup>25</sup>
	5.000	37.99	06/19/20	43.68	5.69 W	0.00	4773 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	55.01	9.41 W	0.00	4774 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	53.69	8.09 W	0.00	4775 of 7072 - Sale <sup>25</sup>
	7.000	53.20	06/19/20	64.83	11.63 W	0.00	4776 of 7072 - Sale <sup>25</sup>
	8.000	60.80	06/19/20	73.40	12.60 W	0.00	4777 of 7072 - Sale <sup>25</sup>
	8.000	60.79	06/19/20	71.77	10.98 W	0.00	4778 of 7072 - Sale <sup>25</sup>
	10.000	76.00	06/19/20	92.32	16.32 W	0.00	4779 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.72	1.12 W	0.00	4780 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	34.86	4.46 W	0.00	4781 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	43.59	5.59 W	0.00	4782 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	51.99	6.39 W	0.00	4783 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	34.53	4.13 W	0.00	4784 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	26.17	3.37 W	0.00	4785 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.33	2.13 W	0.00	4786 of 7072 - Sale <sup>25</sup>
	10.000	76.00	06/19/20	86.30	10.30 W	0.00	4787 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.72	1.12 W	0.00	4788 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	06/19/20	8.74	1.14 W	0.00	4789 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.74	1.14 W	0.00	4790 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.73	1.13 W	0.00	4791 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.69	1.09 W	0.00	4792 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.69	1.09 W	0.00	4793 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.47	2.27 W	0.00	4794 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	26.20	3.40 W	0.00	4795 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	26.08	3.28 W	0.00	4796 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.40	2.20 W	0.00	4797 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.45	2.25 W	0.00	4798 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	43.57	5.57 W	0.00	4799 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	43.51	5.51 W	0.00	4800 of 7072 - Sale <sup>25</sup>
	9.000	68.40	06/19/20	78.69	10.29 W	0.00	4801 of 7072 - Sale <sup>25</sup>
	13.000	98.80	06/19/20	113.10	14.30 W	0.00	4802 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.69	1.09 W	0.00	4803 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.67	1.07 W	0.00	4804 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.64	1.04 W	0.00	4805 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.34	2.14 W	0.00	4806 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.28	2.08 W	0.00	4807 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	26.02	3.22 W	0.00	4808 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	8.92	1.32 W	0.00	4809 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.40	2.20 W	0.00	4810 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	34.65	4.25 W	0.00	4811 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	35.66	5.26 W	0.00	4812 of 7072 - Sale <sup>25</sup>
	7.000	53.20	06/19/20	60.86	7.66 W	0.00	4813 of 7072 - Sale <sup>25</sup>
	10.000	76.00	06/19/20	86.71	10.71 W	0.00	4814 of 7072 - Sale <sup>25</sup>
	12.000	91.20	06/19/20	104.07	12.87 W	0.00	4815 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.07	1.47 W	0.00	4816 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.07	1.47 W	0.00	4817 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	17.84	2.64 W	0.00	4818 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	26.59	3.79 W	0.00	4819 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	35.44	5.04 W	0.00	4820 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	35.47	5.07 W	0.00	4821 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.07	1.47 W	0.00	4822 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	06/19/20	9.07	1.47 W	0.00	4823 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.04	1.44 W	0.00	4824 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.10	1.50 W	0.00	4825 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.51	1.91 W	0.00	4826 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.51	1.91 W	0.00	4827 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.07	1.47 W	0.00	4828 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.14	2.94 W	0.00	4829 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.13	2.93 W	0.00	4830 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.19	2.99 W	0.00	4831 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.23	3.03 W	0.00	4832 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.35	3.15 W	0.00	4833 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	19.05	3.85 W	0.00	4834 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	19.03	3.83 W	0.00	4835 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.57	5.77 W	0.00	4836 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.56	5.76 W	0.00	4837 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.50	5.70 W	0.00	4838 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.38	5.58 W	0.00	4839 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.39	5.59 W	0.00	4840 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.40	5.60 W	0.00	4841 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	36.14	5.74 W	0.00	4842 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	36.33	5.93 W	0.00	4843 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	38.08	7.68 W	0.00	4844 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	45.51	7.51 W	0.00	4845 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	56.43	10.83 W	0.00	4846 of 7072 - Sale <sup>25</sup>
	6.000	45.59	06/19/20	56.78	11.19 W	0.00	4847 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	56.78	11.18 W	0.00	4848 of 7072 - Sale <sup>25</sup>
	8.000	60.79	06/19/20	76.18	15.39 W	0.00	4849 of 7072 - Sale <sup>25</sup>
	11.000	83.60	06/19/20	99.94	16.34 W	0.00	4850 of 7072 - Sale <sup>25</sup>
	7.000	53.19	06/19/20	66.25	13.06 W	0.00	4851 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.46	1.86 W	0.00	4852 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.40	1.80 W	0.00	4853 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.73	2.13 W	0.00	4854 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	10.05	2.45 W	0.00	4855 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	19.46	4.26 W	0.00	4856 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.20	06/19/20	19.78	4.58 W	0.00	4857 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	28.24	5.44 W	0.00	4858 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	38.92	8.52 W	0.00	4859 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	47.39	9.39 W	0.00	4860 of 7072 - Sale <sup>25</sup>
	8.000	60.80	06/19/20	80.46	19.66 W	0.00	4861 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	40.24	9.84 W	0.00	4862 of 7072 - Sale <sup>25</sup>
	18.000	136.80	06/19/20	170.36	33.56 W	0.00	4863 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	10.08	2.48 W	0.00	4864 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	20.14	4.94 W	0.00	4865 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	30.14	7.34 W	0.00	4866 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	60.17	14.57 W	0.00	4867 of 7072 - Sale <sup>25</sup>
	8.000	60.80	06/19/20	80.35	19.55 W	0.00	4868 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	50.29	12.29 W	0.00	4869 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	60.15	14.55 W	0.00	4870 of 7072 - Sale <sup>25</sup>
	7.000	53.20	06/19/20	70.14	16.94 W	0.00	4871 of 7072 - Sale <sup>25</sup>
	12.000	91.20	06/19/20	121.61	30.41 W	0.00	4872 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	20.23	5.03 W	0.00	4873 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	30.38	7.58 W	0.00	4874 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	50.65	12.65 W	0.00	4875 of 7072 - Sale <sup>25</sup>
	9.000	68.40	06/19/20	91.01	22.61 W	0.00	4876 of 7072 - Sale <sup>25</sup>
	10.000	76.00	06/19/20	101.16	25.16 W	0.00	4877 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	50.62	12.62 W	0.00	4878 of 7072 - Sale <sup>25</sup>
	16.000	121.60	06/19/20	162.15	40.55 W	0.00	4879 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	10.06	2.46 W	0.00	4880 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	20.14	4.94 W	0.00	4881 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	20.12	4.92 W	0.00	4882 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	30.60	7.80 W	0.00	4883 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	40.23	9.83 W	0.00	4884 of 7072 - Sale <sup>25</sup>
	8.000	60.80	06/19/20	80.93	20.13 W	0.00	4885 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	50.61	12.61 W	0.00	4886 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.32	1.72 W	0.00	4887 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.38	1.78 W	0.00	4888 of 7072 - Sale <sup>25</sup>
	1.000	7.60	06/19/20	9.24	1.64 W	0.00	4889 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.75	3.55 W	0.00	4890 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.20	06/19/20	18.34	3.14 W	0.00	4891 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.47	3.27 W	0.00	4892 of 7072 - Sale <sup>25</sup>
	2.000	15.20	06/19/20	18.55	3.35 W	0.00	4893 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	27.93	5.13 W	0.00	4894 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	27.60	4.80 W	0.00	4895 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	36.66	6.26 W	0.00	4896 of 7072 - Sale <sup>25</sup>
	4.000	30.40	06/19/20	36.95	6.55 W	0.00	4897 of 7072 - Sale <sup>25</sup>
	5.000	38.00	06/19/20	46.20	8.20 W	0.00	4898 of 7072 - Sale <sup>25</sup>
	5.000	37.99	06/19/20	46.28	8.29 W	0.00	4899 of 7072 - Sale <sup>25</sup>
	5.000	37.99	06/19/20	46.28	8.29 W	0.00	4900 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	55.77	10.17 W	0.00	4901 of 7072 - Sale <sup>25</sup>
	6.000	45.60	06/19/20	55.77	10.17 W	0.00	4902 of 7072 - Sale <sup>25</sup>
	8.000	60.80	06/19/20	75.07	14.27 W	0.00	4903 of 7072 - Sale <sup>25</sup>
	3.000	22.80	06/19/20	27.79	4.99 W	0.00	4904 of 7072 - Sale <sup>25</sup>
	12.000	91.19	06/19/20	112.60	21.41 W	0.00	4905 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.41	1.79 W	0.00	4906 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.44	1.82 W	0.00	4907 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.44	1.82 W	0.00	4908 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.40	1.78 W	0.00	4909 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.40	1.78 W	0.00	4910 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.45	1.83 W	0.00	4911 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.40	1.78 W	0.00	4912 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.60	1.98 W	0.00	4913 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.53	1.91 W	0.00	4914 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.45	1.83 W	0.00	4915 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.25	1.63 W	0.00	4916 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.28	1.66 W	0.00	4917 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.22	1.60 W	0.00	4918 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.23	1.61 W	0.00	4919 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.28	1.66 W	0.00	4920 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.21	1.59 W	0.00	4921 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.22	1.60 W	0.00	4922 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.20	1.58 W	0.00	4923 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.20	1.58 W	0.00	4924 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.62	06/19/20	9.19	1.57 W	0.00	4925 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.16	1.54 W	0.00	4926 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.17	1.55 W	0.00	4927 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.18	1.56 W	0.00	4928 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.18	1.56 W	0.00	4929 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.00	1.38 W	0.00	4930 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.98	1.36 W	0.00	4931 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.98	1.36 W	0.00	4932 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.88	1.26 W	0.00	4933 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.36	1.74 W	0.00	4934 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.37	3.13 W	0.00	4935 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.17	3.93 W	0.00	4936 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.29	4.05 W	0.00	4937 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.07	3.83 W	0.00	4938 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.89	3.65 W	0.00	4939 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.01	3.77 W	0.00	4940 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.36	3.12 W	0.00	4941 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.09	2.85 W	0.00	4942 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.01	2.77 W	0.00	4943 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.01	2.77 W	0.00	4944 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.96	2.72 W	0.00	4945 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.79	2.55 W	0.00	4946 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.30	5.44 W	0.00	4947 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.91	6.05 W	0.00	4948 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.29	5.43 W	0.00	4949 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.37	5.51 W	0.00	4950 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.69	4.83 W	0.00	4951 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.72	4.86 W	0.00	4952 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.75	4.89 W	0.00	4953 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.82	4.96 W	0.00	4954 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.62	4.76 W	0.00	4955 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.64	4.78 W	0.00	4956 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.61	4.75 W	0.00	4957 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.53	4.67 W	0.00	4958 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.86	06/19/20	27.30	4.44 W	0.00	4959 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.02	4.16 W	0.00	4960 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.02	4.16 W	0.00	4961 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.99	4.13 W	0.00	4962 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.00	4.14 W	0.00	4963 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	37.60	7.12 W	0.00	4964 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	38.09	7.61 W	0.00	4965 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	38.27	7.79 W	0.00	4966 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.83	6.35 W	0.00	4967 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	46.58	8.48 W	0.00	4968 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.80	9.70 W	0.00	4969 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.65	7.55 W	0.00	4970 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	56.47	10.75 W	0.00	4971 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	56.73	11.01 W	0.00	4972 of 7072 - Sale <sup>25</sup>
	6.000	45.70	06/19/20	55.56	9.86 W	0.00	4973 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	64.48	11.14 W	0.00	4974 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	75.31	14.35 W	0.00	4975 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	73.04	12.08 W	0.00	4976 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	85.04	16.46 W	0.00	4977 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	94.36	18.16 W	0.00	4978 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	74.12	13.16 W	0.00	4979 of 7072 - Sale <sup>25</sup>
	11.000	83.81	06/19/20	101.37	17.56 W	0.00	4980 of 7072 - Sale <sup>25</sup>
	12.000	91.44	06/19/20	111.18	19.74 W	0.00	4981 of 7072 - Sale <sup>25</sup>
	12.000	91.44	06/19/20	111.57	20.13 W	0.00	4982 of 7072 - Sale <sup>25</sup>
	5.000	38.09	06/19/20	46.28	8.19 W	0.00	4983 of 7072 - Sale <sup>25</sup>
	14.000	106.67	06/19/20	133.89	27.22 W	0.00	4984 of 7072 - Sale <sup>25</sup>
	20.000	152.39	06/19/20	182.60	30.21 W	0.00	4985 of 7072 - Sale <sup>25</sup>
	23.000	175.25	06/19/20	219.92	44.67 W	0.00	4986 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.23	1.61 W	0.00	4987 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.24	1.62 W	0.00	4988 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.49	1.87 W	0.00	4989 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.52	1.90 W	0.00	4990 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.51	1.89 W	0.00	4991 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.51	1.89 W	0.00	4992 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.62	06/19/20	9.57	1.95 W	0.00	4993 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.55	1.93 W	0.00	4994 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.56	1.94 W	0.00	4995 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.50	1.88 W	0.00	4996 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.41	3.17 W	0.00	4997 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.97	3.73 W	0.00	4998 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.99	3.75 W	0.00	4999 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.98	3.74 W	0.00	5000 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.95	3.71 W	0.00	5001 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.20	3.96 W	0.00	5002 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.24	4.00 W	0.00	5003 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.10	3.86 W	0.00	5004 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.10	3.86 W	0.00	5005 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.04	3.80 W	0.00	5006 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.73	4.87 W	0.00	5007 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.71	4.85 W	0.00	5008 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.52	5.66 W	0.00	5009 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.54	5.68 W	0.00	5010 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.87	6.01 W	0.00	5011 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.74	5.88 W	0.00	5012 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.75	5.89 W	0.00	5013 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.95	6.47 W	0.00	5014 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	38.20	7.72 W	0.00	5015 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	38.47	7.99 W	0.00	5016 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	38.15	7.67 W	0.00	5017 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	46.14	8.04 W	0.00	5018 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.35	9.25 W	0.00	5019 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.57	9.47 W	0.00	5020 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	48.08	9.98 W	0.00	5021 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.73	9.63 W	0.00	5022 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	55.31	9.59 W	0.00	5023 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	57.19	11.47 W	0.00	5024 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	57.08	11.36 W	0.00	5025 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	57.42	11.70 W	0.00	5026 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	53.34	06/19/20	66.42	13.08 W	0.00	5027 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	66.62	13.28 W	0.00	5028 of 7072 - Sale <sup>25</sup>
	7.000	53.33	06/19/20	66.48	13.15 W	0.00	5029 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	74.05	13.09 W	0.00	5030 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	83.14	14.56 W	0.00	5031 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	84.03	15.45 W	0.00	5032 of 7072 - Sale <sup>25</sup>
	10.000	76.19	06/19/20	95.15	18.96 W	0.00	5033 of 7072 - Sale <sup>25</sup>
	10.000	76.19	06/19/20	95.13	18.94 W	0.00	5034 of 7072 - Sale <sup>25</sup>
	10.000	76.19	06/19/20	95.50	19.31 W	0.00	5035 of 7072 - Sale <sup>25</sup>
	11.000	83.82	06/19/20	104.53	20.71 W	0.00	5036 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	64.78	11.44 W	0.00	5037 of 7072 - Sale <sup>25</sup>
	15.000	114.29	06/19/20	138.42	24.13 W	0.00	5038 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.04	2.42 W	0.00	5039 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.03	2.41 W	0.00	5040 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.92	2.30 W	0.00	5041 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.91	2.29 W	0.00	5042 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.03	4.79 W	0.00	5043 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.78	6.92 W	0.00	5044 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	39.53	9.05 W	0.00	5045 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	39.53	9.05 W	0.00	5046 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	50.00	11.90 W	0.00	5047 of 7072 - Sale <sup>25</sup>
	7.000	53.33	06/19/20	69.96	16.63 W	0.00	5048 of 7072 - Sale <sup>25</sup>
	7.000	53.33	06/19/20	69.49	16.16 W	0.00	5049 of 7072 - Sale <sup>25</sup>
	10.000	76.19	06/19/20	100.38	24.19 W	0.00	5050 of 7072 - Sale <sup>25</sup>
	6.000	45.71	06/19/20	59.60	13.89 W	0.00	5051 of 7072 - Sale <sup>25</sup>
	22.000	167.63	06/19/20	220.06	52.43 W	0.00	5052 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.95	2.33 W	0.00	5053 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.91	2.29 W	0.00	5054 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.91	2.29 W	0.00	5055 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.90	2.28 W	0.00	5056 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.60	1.98 W	0.00	5057 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.55	1.93 W	0.00	5058 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.36	1.74 W	0.00	5059 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.38	1.76 W	0.00	5060 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.62	06/19/20	9.38	1.76 W	0.00	5061 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.45	1.83 W	0.00	5062 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.42	1.80 W	0.00	5063 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.46	1.84 W	0.00	5064 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.08	1.46 W	0.00	5065 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.07	1.45 W	0.00	5066 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.07	1.45 W	0.00	5067 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.05	1.43 W	0.00	5068 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.05	1.43 W	0.00	5069 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.11	1.49 W	0.00	5070 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.38	1.76 W	0.00	5071 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.50	1.88 W	0.00	5072 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.44	1.82 W	0.00	5073 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.43	1.81 W	0.00	5074 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.86	4.62 W	0.00	5075 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.82	4.58 W	0.00	5076 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.69	4.45 W	0.00	5077 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.15	3.91 W	0.00	5078 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.03	3.79 W	0.00	5079 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.12	2.88 W	0.00	5080 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.92	2.68 W	0.00	5081 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.91	2.67 W	0.00	5082 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.93	2.69 W	0.00	5083 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.87	3.63 W	0.00	5084 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.87	3.63 W	0.00	5085 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.85	3.61 W	0.00	5086 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.85	3.61 W	0.00	5087 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.87	3.63 W	0.00	5088 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.86	3.62 W	0.00	5089 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.79	3.55 W	0.00	5090 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.73	6.87 W	0.00	5091 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.72	6.86 W	0.00	5092 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.86	6.00 W	0.00	5093 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.55	5.69 W	0.00	5094 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.86	06/19/20	28.54	5.68 W	0.00	5095 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.95	5.09 W	0.00	5096 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.13	4.27 W	0.00	5097 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.87	4.01 W	0.00	5098 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.31	5.45 W	0.00	5099 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	39.56	9.08 W	0.00	5100 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	35.77	5.29 W	0.00	5101 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.52	6.04 W	0.00	5102 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.37	5.89 W	0.00	5103 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	49.45	11.35 W	0.00	5104 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	48.11	10.01 W	0.00	5105 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.22	7.12 W	0.00	5106 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.22	7.12 W	0.00	5107 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.12	7.02 W	0.00	5108 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.31	7.21 W	0.00	5109 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.18	9.08 W	0.00	5110 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.19	9.09 W	0.00	5111 of 7072 - Sale <sup>25</sup>
	5.000	38.09	06/19/20	47.02	8.93 W	0.00	5112 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	59.60	13.88 W	0.00	5113 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	54.42	8.70 W	0.00	5114 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	53.71	7.99 W	0.00	5115 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	56.63	10.91 W	0.00	5116 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	69.35	16.01 W	0.00	5117 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	66.21	12.87 W	0.00	5118 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	78.77	17.81 W	0.00	5119 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	71.78	10.82 W	0.00	5120 of 7072 - Sale <sup>25</sup>
	8.000	60.95	06/19/20	74.82	13.87 W	0.00	5121 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	85.80	17.22 W	0.00	5122 of 7072 - Sale <sup>25</sup>
	9.000	68.57	06/19/20	84.99	16.42 W	0.00	5123 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	99.14	22.94 W	0.00	5124 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	90.50	14.30 W	0.00	5125 of 7072 - Sale <sup>25</sup>
	11.000	83.81	06/19/20	104.04	20.23 W	0.00	5126 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	59.59	13.87 W	0.00	5127 of 7072 - Sale <sup>25</sup>
	13.000	99.06	06/19/20	128.57	29.51 W	0.00	5128 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	16.000	121.90	06/19/20	150.44	28.54 W	0.00	5129 of 7072 - Sale <sup>25</sup>
	18.000	137.15	06/19/20	160.99	23.84 W	0.00	5130 of 7072 - Sale <sup>25</sup>
	19.000	144.77	06/19/20	180.94	36.17 W	0.00	5131 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.51	1.89 W	0.00	5132 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.02	3.78 W	0.00	5133 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.39	9.29 W	0.00	5134 of 7072 - Sale <sup>25</sup>
	5.000	38.09	06/19/20	47.40	9.31 W	0.00	5135 of 7072 - Sale <sup>25</sup>
	12.000	91.43	06/19/20	113.78	22.35 W	0.00	5136 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.83	2.21 W	0.00	5137 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.44	1.82 W	0.00	5138 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.24	2.62 W	0.00	5139 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.24	2.62 W	0.00	5140 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.22	2.60 W	0.00	5141 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.34	5.10 W	0.00	5142 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.29	5.05 W	0.00	5143 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.54	5.68 W	0.00	5144 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	30.47	7.61 W	0.00	5145 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.01	3.77 W	0.00	5146 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.66	10.18 W	0.00	5147 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.61	10.13 W	0.00	5148 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	51.31	13.21 W	0.00	5149 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	58.15	12.43 W	0.00	5150 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	61.70	15.98 W	0.00	5151 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	61.01	15.29 W	0.00	5152 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	81.25	20.29 W	0.00	5153 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	84.96	16.38 W	0.00	5154 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	101.57	25.37 W	0.00	5155 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.15	2.53 W	0.00	5156 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.64	10.16 W	0.00	5157 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.59	10.11 W	0.00	5158 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.15	2.53 W	0.00	5159 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.13	2.51 W	0.00	5160 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.12	2.50 W	0.00	5161 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.11	2.49 W	0.00	5162 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.62	06/19/20	10.13	2.51 W	0.00	5163 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.08	2.46 W	0.00	5164 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.07	2.45 W	0.00	5165 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.22	4.98 W	0.00	5166 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.33	5.09 W	0.00	5167 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	30.35	7.49 W	0.00	5168 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.58	10.10 W	0.00	5169 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.47	9.99 W	0.00	5170 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.66	10.18 W	0.00	5171 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	50.59	12.49 W	0.00	5172 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	60.99	15.27 W	0.00	5173 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	59.57	13.85 W	0.00	5174 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	80.62	19.66 W	0.00	5175 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	80.57	19.61 W	0.00	5176 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	91.07	22.49 W	0.00	5177 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	90.63	22.05 W	0.00	5178 of 7072 - Sale <sup>25</sup>
	14.000	106.68	06/19/20	141.74	35.06 W	0.00	5179 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.88	2.26 W	0.00	5180 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.95	2.33 W	0.00	5181 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.02	2.40 W	0.00	5182 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.93	2.31 W	0.00	5183 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.88	4.64 W	0.00	5184 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.86	4.62 W	0.00	5185 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.82	6.96 W	0.00	5186 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.83	6.97 W	0.00	5187 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.67	6.81 W	0.00	5188 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.06	9.58 W	0.00	5189 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.06	9.58 W	0.00	5190 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	39.80	9.32 W	0.00	5191 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	49.74	11.64 W	0.00	5192 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	49.67	11.57 W	0.00	5193 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	59.67	13.95 W	0.00	5194 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	60.11	14.39 W	0.00	5195 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	78.90	17.94 W	0.00	5196 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	68.58	06/19/20	89.46	20.88 W	0.00	5197 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	99.86	23.66 W	0.00	5198 of 7072 - Sale <sup>25</sup>
	11.000	83.82	06/19/20	110.21	26.39 W	0.00	5199 of 7072 - Sale <sup>25</sup>
	11.000	83.82	06/19/20	109.33	25.51 W	0.00	5200 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.86	2.24 W	0.00	5201 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.86	2.24 W	0.00	5202 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.04	2.42 W	0.00	5203 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.04	2.42 W	0.00	5204 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.92	2.30 W	0.00	5205 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.93	2.31 W	0.00	5206 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.83	2.21 W	0.00	5207 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.83	2.21 W	0.00	5208 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.77	2.15 W	0.00	5209 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.84	2.22 W	0.00	5210 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.81	2.19 W	0.00	5211 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.69	4.45 W	0.00	5212 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.63	4.39 W	0.00	5213 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.67	3.43 W	0.00	5214 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.68	3.44 W	0.00	5215 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.49	6.63 W	0.00	5216 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	29.47	6.61 W	0.00	5217 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.13	9.65 W	0.00	5218 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	50.17	12.07 W	0.00	5219 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	78.55	17.59 W	0.00	5220 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	78.35	17.39 W	0.00	5221 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	97.87	21.67 W	0.00	5222 of 7072 - Sale <sup>25</sup>
	12.000	91.44	06/19/20	117.69	26.25 W	0.00	5223 of 7072 - Sale <sup>25</sup>
	13.000	99.06	06/19/20	127.84	28.78 W	0.00	5224 of 7072 - Sale <sup>25</sup>
	15.000	114.30	06/19/20	146.97	32.67 W	0.00	5225 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.42	1.80 W	0.00	5226 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.73	3.49 W	0.00	5227 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.18	5.32 W	0.00	5228 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.18	5.32 W	0.00	5229 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	37.46	6.98 W	0.00	5230 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.48	06/19/20	37.56	7.08 W	0.00	5231 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	56.34	10.62 W	0.00	5232 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	75.12	14.16 W	0.00	5233 of 7072 - Sale <sup>25</sup>
	13.000	99.06	06/19/20	122.39	23.33 W	0.00	5234 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.15	1.53 W	0.00	5235 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.17	1.55 W	0.00	5236 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.37	1.75 W	0.00	5237 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.36	1.74 W	0.00	5238 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.32	1.70 W	0.00	5239 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.53	3.29 W	0.00	5240 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.30	3.06 W	0.00	5241 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.27	3.03 W	0.00	5242 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.23	2.99 W	0.00	5243 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.58	3.34 W	0.00	5244 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.87	5.01 W	0.00	5245 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.94	5.08 W	0.00	5246 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.74	7.64 W	0.00	5247 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	46.39	8.29 W	0.00	5248 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.85	7.75 W	0.00	5249 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.81	7.71 W	0.00	5250 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	46.13	8.03 W	0.00	5251 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	92.80	16.60 W	0.00	5252 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.14	1.52 W	0.00	5253 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.19	1.57 W	0.00	5254 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.02	1.40 W	0.00	5255 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.34	3.10 W	0.00	5256 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.67	4.81 W	0.00	5257 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.60	4.74 W	0.00	5258 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.84	4.98 W	0.00	5259 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.06	4.20 W	0.00	5260 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.99	4.13 W	0.00	5261 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.83	6.35 W	0.00	5262 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.08	6.98 W	0.00	5263 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	55.30	9.58 W	0.00	5264 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	53.34	06/19/20	65.17	11.83 W	0.00	5265 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	72.17	11.21 W	0.00	5266 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.87	1.25 W	0.00	5267 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.86	1.24 W	0.00	5268 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.89	2.65 W	0.00	5269 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.67	2.43 W	0.00	5270 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.71	2.47 W	0.00	5271 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.78	3.92 W	0.00	5272 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.85	1.23 W	0.00	5273 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.66	1.04 W	0.00	5274 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.68	1.06 W	0.00	5275 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.68	1.06 W	0.00	5276 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.70	1.08 W	0.00	5277 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.70	1.08 W	0.00	5278 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.69	1.07 W	0.00	5279 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.65	1.03 W	0.00	5280 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.26	2.02 W	0.00	5281 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.35	2.11 W	0.00	5282 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.25	2.01 W	0.00	5283 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.37	2.13 W	0.00	5284 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.39	2.15 W	0.00	5285 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.31	2.07 W	0.00	5286 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.03	3.17 W	0.00	5287 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.05	3.19 W	0.00	5288 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.08	3.22 W	0.00	5289 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	34.70	4.22 W	0.00	5290 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	34.37	3.89 W	0.00	5291 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	43.49	5.39 W	0.00	5292 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	43.39	5.29 W	0.00	5293 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	51.75	6.03 W	0.00	5294 of 7072 - Sale <sup>25</sup>
	9.000	68.58	06/19/20	78.33	9.75 W	0.00	5295 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	85.90	9.70 W	0.00	5296 of 7072 - Sale <sup>25</sup>
	13.000	99.06	06/19/20	112.58	13.52 W	0.00	5297 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.68	1.06 W	0.00	5298 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.62	06/19/20	8.68	1.06 W	0.00	5299 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.11	3.25 W	0.00	5300 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.65	1.03 W	0.00	5301 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.63	1.01 W	0.00	5302 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.60	0.98 W	0.00	5303 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	8.88	1.26 W	0.00	5304 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.03	1.41 W	0.00	5305 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.03	1.41 W	0.00	5306 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.03	1.41 W	0.00	5307 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.03	1.41 W	0.00	5308 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.03	1.41 W	0.00	5309 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.00	1.38 W	0.00	5310 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.06	1.44 W	0.00	5311 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.47	1.85 W	0.00	5312 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.47	1.85 W	0.00	5313 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.42	1.80 W	0.00	5314 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.35	2.11 W	0.00	5315 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.32	2.08 W	0.00	5316 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.32	2.08 W	0.00	5317 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.26	2.02 W	0.00	5318 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.20	1.96 W	0.00	5319 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	17.76	2.52 W	0.00	5320 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.06	2.82 W	0.00	5321 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.05	2.81 W	0.00	5322 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.11	2.87 W	0.00	5323 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.15	2.91 W	0.00	5324 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.27	3.03 W	0.00	5325 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.97	3.73 W	0.00	5326 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.95	3.71 W	0.00	5327 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.75	3.51 W	0.00	5328 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	25.90	3.04 W	0.00	5329 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	26.47	3.61 W	0.00	5330 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.45	5.59 W	0.00	5331 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.44	5.58 W	0.00	5332 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.86	06/19/20	28.38	5.52 W	0.00	5333 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.26	5.40 W	0.00	5334 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.27	5.41 W	0.00	5335 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.28	5.42 W	0.00	5336 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	34.49	4.01 W	0.00	5337 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	35.50	5.02 W	0.00	5338 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	35.28	4.80 W	0.00	5339 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	35.31	4.83 W	0.00	5340 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	35.98	5.50 W	0.00	5341 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.17	5.69 W	0.00	5342 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	37.92	7.44 W	0.00	5343 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	43.31	5.21 W	0.00	5344 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	45.31	7.21 W	0.00	5345 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.19	9.09 W	0.00	5346 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	56.19	10.47 W	0.00	5347 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	56.55	10.83 W	0.00	5348 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	56.54	10.82 W	0.00	5349 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	60.58	7.24 W	0.00	5350 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	65.98	12.64 W	0.00	5351 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	75.87	14.91 W	0.00	5352 of 7072 - Sale <sup>25</sup>
	10.000	76.20	06/19/20	86.31	10.11 W	0.00	5353 of 7072 - Sale <sup>25</sup>
	11.000	83.82	06/19/20	99.50	15.68 W	0.00	5354 of 7072 - Sale <sup>25</sup>
	12.000	91.44	06/19/20	103.59	12.15 W	0.00	5355 of 7072 - Sale <sup>25</sup>
	18.000	137.15	06/19/20	169.64	32.49 W	0.00	5356 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.37	1.75 W	0.00	5357 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.36	1.74 W	0.00	5358 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.69	2.07 W	0.00	5359 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.01	2.39 W	0.00	5360 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.04	2.42 W	0.00	5361 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.38	4.14 W	0.00	5362 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.70	4.46 W	0.00	5363 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.06	4.82 W	0.00	5364 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.14	4.90 W	0.00	5365 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	30.02	7.16 W	0.00	5366 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.86	06/19/20	30.26	7.40 W	0.00	5367 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	38.76	8.28 W	0.00	5368 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.08	9.60 W	0.00	5369 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	50.09	11.99 W	0.00	5370 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	50.45	12.35 W	0.00	5371 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	59.93	14.21 W	0.00	5372 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	59.91	14.19 W	0.00	5373 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	69.86	16.52 W	0.00	5374 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	80.14	19.18 W	0.00	5375 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	80.03	19.07 W	0.00	5376 of 7072 - Sale <sup>25</sup>
	12.000	91.43	06/19/20	121.13	29.70 W	0.00	5377 of 7072 - Sale <sup>25</sup>
	16.000	121.91	06/19/20	161.51	39.60 W	0.00	5378 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.15	4.91 W	0.00	5379 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	60.46	14.74 W	0.00	5380 of 7072 - Sale <sup>25</sup>
	7.000	53.34	06/19/20	70.51	17.17 W	0.00	5381 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.28	1.66 W	0.00	5382 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.34	1.72 W	0.00	5383 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.20	1.58 W	0.00	5384 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.22	1.60 W	0.00	5385 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.67	3.43 W	0.00	5386 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.26	3.02 W	0.00	5387 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.39	3.15 W	0.00	5388 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.81	4.95 W	0.00	5389 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.48	4.62 W	0.00	5390 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.50	6.02 W	0.00	5391 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	36.79	6.31 W	0.00	5392 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	46.00	7.90 W	0.00	5393 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	46.09	7.99 W	0.00	5394 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	55.53	9.81 W	0.00	5395 of 7072 - Sale <sup>25</sup>
	6.000	45.72	06/19/20	55.53	9.81 W	0.00	5396 of 7072 - Sale <sup>25</sup>
	8.000	60.96	06/19/20	74.75	13.79 W	0.00	5397 of 7072 - Sale <sup>25</sup>
	12.000	91.43	06/19/20	112.13	20.70 W	0.00	5398 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	10.02	2.40 W	0.00	5399 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	20.06	4.82 W	0.00	5400 of 7072 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.24	06/19/20	20.04	4.80 W	0.00	5401 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	30.48	7.62 W	0.00	5402 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.30	9.82 W	0.00	5403 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	40.07	9.59 W	0.00	5404 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	50.42	12.32 W	0.00	5405 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	50.41	12.31 W	0.00	5406 of 7072 - Sale <sup>25</sup>
	8.000	60.95	06/19/20	80.61	19.66 W	0.00	5407 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.25	1.63 W	0.00	5408 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.23	1.61 W	0.00	5409 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.22	1.60 W	0.00	5410 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.25	1.63 W	0.00	5411 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.47	3.23 W	0.00	5412 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.67	4.81 W	0.00	5413 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.32	1.70 W	0.00	5414 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.35	1.73 W	0.00	5415 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.35	1.73 W	0.00	5416 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.31	1.69 W	0.00	5417 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.31	1.69 W	0.00	5418 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.36	1.74 W	0.00	5419 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.31	1.69 W	0.00	5420 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.51	1.89 W	0.00	5421 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.44	1.82 W	0.00	5422 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.19	2.95 W	0.00	5423 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.99	3.75 W	0.00	5424 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	19.11	3.87 W	0.00	5425 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.89	3.65 W	0.00	5426 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.71	3.47 W	0.00	5427 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.03	5.17 W	0.00	5428 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.64	5.78 W	0.00	5429 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.01	5.15 W	0.00	5430 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.07	5.21 W	0.00	5431 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	37.23	6.75 W	0.00	5432 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	37.72	7.24 W	0.00	5433 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	46.12	8.02 W	0.00	5434 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.72	06/19/20	55.92	10.20 W	0.00	5435 of 7072 - Sale <sup>25</sup>
	8.000	60.95	06/19/20	73.39	12.44 W	0.00	5436 of 7072 - Sale <sup>25</sup>
	8.000	60.95	06/19/20	74.58	13.63 W	0.00	5437 of 7072 - Sale <sup>25</sup>
	10.000	76.19	06/19/20	93.44	17.25 W	0.00	5438 of 7072 - Sale <sup>25</sup>
	12.000	91.43	06/19/20	110.08	18.65 W	0.00	5439 of 7072 - Sale <sup>25</sup>
	12.000	91.43	06/19/20	110.48	19.05 W	0.00	5440 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.36	1.74 W	0.00	5441 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.16	1.54 W	0.00	5442 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.19	1.57 W	0.00	5443 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.13	1.51 W	0.00	5444 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.14	1.52 W	0.00	5445 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.19	1.57 W	0.00	5446 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.12	1.50 W	0.00	5447 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.83	3.59 W	0.00	5448 of 7072 - Sale <sup>25</sup>
	2.000	15.24	06/19/20	18.18	2.94 W	0.00	5449 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	28.10	5.24 W	0.00	5450 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.42	4.56 W	0.00	5451 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.45	4.59 W	0.00	5452 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.48	4.62 W	0.00	5453 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.54	4.68 W	0.00	5454 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.35	4.49 W	0.00	5455 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.36	4.50 W	0.00	5456 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.37	4.51 W	0.00	5457 of 7072 - Sale <sup>25</sup>
	4.000	30.48	06/19/20	37.90	7.42 W	0.00	5458 of 7072 - Sale <sup>25</sup>
	5.000	38.10	06/19/20	47.34	9.24 W	0.00	5459 of 7072 - Sale <sup>25</sup>
	6.000	45.71	06/19/20	56.14	10.43 W	0.00	5460 of 7072 - Sale <sup>25</sup>
	6.000	45.71	06/19/20	56.18	10.47 W	0.00	5461 of 7072 - Sale <sup>25</sup>
	7.000	53.33	06/19/20	63.84	10.51 W	0.00	5462 of 7072 - Sale <sup>25</sup>
	14.000	106.67	06/19/20	132.62	25.95 W	0.00	5463 of 7072 - Sale <sup>25</sup>
	23.000	175.24	06/19/20	217.83	42.59 W	0.00	5464 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.17	1.55 W	0.00	5465 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.15	1.53 W	0.00	5466 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.15	1.53 W	0.00	5467 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.14	1.52 W	0.00	5468 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.62	06/19/20	9.11	1.49 W	0.00	5469 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.12	1.50 W	0.00	5470 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.13	1.51 W	0.00	5471 of 7072 - Sale <sup>25</sup>
	1.000	7.62	06/19/20	9.13	1.51 W	0.00	5472 of 7072 - Sale <sup>25</sup>
	3.000	22.86	06/19/20	27.46	4.60 W	0.00	5473 of 7072 - Sale <sup>25</sup>
	3.000	22.85	06/19/20	27.38	4.53 W	0.00	5474 of 7072 - Sale <sup>25</sup>
	4.000	30.47	06/19/20	36.63	6.16 W	0.00	5475 of 7072 - Sale <sup>25</sup>
	5.000	38.09	06/19/20	45.40	7.31 W	0.00	5476 of 7072 - Sale <sup>25</sup>
	8.000	60.95	06/19/20	73.33	12.38 W	0.00	5477 of 7072 - Sale <sup>25</sup>
	8.000	60.94	06/19/20	72.64	11.70 W	0.00	5478 of 7072 - Sale <sup>25</sup>
	11.000	83.80	06/19/20	99.89	16.09 W	0.00	5479 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.05	1.82 W	0.00	5480 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.03	1.80 W	0.00	5481 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.03	1.80 W	0.00	5482 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.93	1.70 W	0.00	5483 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	5484 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.19	3.73 W	0.00	5485 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.11	3.65 W	0.00	5486 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.11	3.65 W	0.00	5487 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.06	3.60 W	0.00	5488 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.89	3.43 W	0.00	5489 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.51	4.05 W	0.00	5490 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.45	5.76 W	0.00	5491 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.17	5.48 W	0.00	5492 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.17	5.48 W	0.00	5493 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.14	5.45 W	0.00	5494 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.15	5.46 W	0.00	5495 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.88	6.19 W	0.00	5496 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.54	10.39 W	0.00	5497 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.39	10.24 W	0.00	5498 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	55.88	12.50 W	0.00	5499 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	55.61	12.23 W	0.00	5500 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	65.13	14.52 W	0.00	5501 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	74.45	16.62 W	0.00	5502 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	65.07	06/19/20	82.62	17.55 W	0.00	5503 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	83.59	18.53 W	0.00	5504 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	92.79	20.50 W	0.00	5505 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.28	2.05 W	0.00	5506 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.29	2.06 W	0.00	5507 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.54	2.31 W	0.00	5508 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.57	2.34 W	0.00	5509 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.56	2.33 W	0.00	5510 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.56	2.33 W	0.00	5511 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.62	2.39 W	0.00	5512 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.60	2.37 W	0.00	5513 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.61	2.38 W	0.00	5514 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.55	2.32 W	0.00	5515 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.56	2.33 W	0.00	5516 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.88	2.65 W	0.00	5517 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.49	2.26 W	0.00	5518 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.29	3.06 W	0.00	5519 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.29	3.06 W	0.00	5520 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.27	3.04 W	0.00	5521 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.20	2.97 W	0.00	5522 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.20	2.97 W	0.00	5523 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.18	2.95 W	0.00	5524 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.17	2.94 W	0.00	5525 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.16	2.93 W	0.00	5526 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.18	2.95 W	0.00	5527 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.13	2.90 W	0.00	5528 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.12	2.89 W	0.00	5529 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.93	2.70 W	0.00	5530 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.00	2.77 W	0.00	5531 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.07	2.84 W	0.00	5532 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.98	2.75 W	0.00	5533 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.91	2.68 W	0.00	5534 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.91	2.68 W	0.00	5535 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.09	2.86 W	0.00	5536 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.23	06/19/20	10.09	2.86 W	0.00	5537 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.97	2.74 W	0.00	5538 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.98	2.75 W	0.00	5539 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.88	2.65 W	0.00	5540 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.88	2.65 W	0.00	5541 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.82	2.59 W	0.00	5542 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.07	4.61 W	0.00	5543 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.09	4.63 W	0.00	5544 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.08	4.62 W	0.00	5545 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.05	4.59 W	0.00	5546 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.30	4.84 W	0.00	5547 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.34	4.88 W	0.00	5548 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.20	4.74 W	0.00	5549 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.20	4.74 W	0.00	5550 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.14	4.68 W	0.00	5551 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.12	4.66 W	0.00	5552 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.11	4.65 W	0.00	5553 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.44	5.98 W	0.00	5554 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.39	5.93 W	0.00	5555 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.32	5.86 W	0.00	5556 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.43	5.97 W	0.00	5557 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.98	5.52 W	0.00	5558 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.96	5.50 W	0.00	5559 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.79	5.33 W	0.00	5560 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.73	5.27 W	0.00	5561 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.86	6.17 W	0.00	5562 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.67	6.98 W	0.00	5563 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.69	7.00 W	0.00	5564 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.02	7.33 W	0.00	5565 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.89	7.20 W	0.00	5566 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.90	7.21 W	0.00	5567 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.69	7.00 W	0.00	5568 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.62	8.93 W	0.00	5569 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.50	8.81 W	0.00	5570 of 7072 - Sale <sup>25</sup>

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(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.69	06/19/20	29.97	8.28 W	0.00	5571 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.98	8.29 W	0.00	5572 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.82	8.13 W	0.00	5573 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.64	7.95 W	0.00	5574 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	37.15	8.23 W	0.00	5575 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.40	9.48 W	0.00	5576 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.67	9.75 W	0.00	5577 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.35	9.43 W	0.00	5578 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.86	11.94 W	0.00	5579 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.81	11.89 W	0.00	5580 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.84	11.92 W	0.00	5581 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.79	11.87 W	0.00	5582 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.78	11.86 W	0.00	5583 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.67	11.75 W	0.00	5584 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.86	11.94 W	0.00	5585 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.26	11.34 W	0.00	5586 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.26	11.34 W	0.00	5587 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.00	11.08 W	0.00	5588 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.33	11.41 W	0.00	5589 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.60	11.45 W	0.00	5590 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.82	11.67 W	0.00	5591 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.33	12.18 W	0.00	5592 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.98	11.83 W	0.00	5593 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.64	11.49 W	0.00	5594 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.66	11.51 W	0.00	5595 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	51.56	15.41 W	0.00	5596 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.84	14.69 W	0.00	5597 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.99	13.84 W	0.00	5598 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.92	13.77 W	0.00	5599 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.42	14.27 W	0.00	5600 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	57.49	14.11 W	0.00	5601 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	57.38	14.00 W	0.00	5602 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	57.72	14.34 W	0.00	5603 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	58.45	15.07 W	0.00	5604 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.38	06/19/20	62.00	18.62 W	0.00	5605 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	61.31	17.93 W	0.00	5606 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	61.29	17.91 W	0.00	5607 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	59.87	16.49 W	0.00	5608 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	59.97	16.59 W	0.00	5609 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	60.41	17.03 W	0.00	5610 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	66.77	16.16 W	0.00	5611 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	66.97	16.36 W	0.00	5612 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	66.84	16.23 W	0.00	5613 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	81.65	23.81 W	0.00	5614 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	81.02	23.19 W	0.00	5615 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	80.97	23.13 W	0.00	5616 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	79.30	21.47 W	0.00	5617 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	78.95	21.12 W	0.00	5618 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	78.75	20.92 W	0.00	5619 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	84.48	19.41 W	0.00	5620 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	85.41	20.34 W	0.00	5621 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	91.52	26.45 W	0.00	5622 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	91.08	26.02 W	0.00	5623 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	89.91	24.85 W	0.00	5624 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	95.66	23.36 W	0.00	5625 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	95.64	23.34 W	0.00	5626 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	96.01	23.71 W	0.00	5627 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	102.07	29.78 W	0.00	5628 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	100.36	28.07 W	0.00	5629 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	98.37	26.08 W	0.00	5630 of 7072 - Sale <sup>25</sup>
	11.000	79.53	06/19/20	105.08	25.55 W	0.00	5631 of 7072 - Sale <sup>25</sup>
	11.000	79.52	06/19/20	110.76	31.24 W	0.00	5632 of 7072 - Sale <sup>25</sup>
	11.000	79.52	06/19/20	109.88	30.36 W	0.00	5633 of 7072 - Sale <sup>25</sup>
	12.000	86.75	06/19/20	114.39	27.64 W	0.00	5634 of 7072 - Sale <sup>25</sup>
	12.000	86.75	06/19/20	118.29	31.54 W	0.00	5635 of 7072 - Sale <sup>25</sup>
	13.000	93.98	06/19/20	128.49	34.51 W	0.00	5636 of 7072 - Sale <sup>25</sup>
	14.000	101.21	06/19/20	142.44	41.23 W	0.00	5637 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.39	10.24 W	0.00	5638 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	15.000	108.43	06/19/20	147.72	39.29 W	0.00	5639 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.89	2.66 W	0.00	5640 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.86	2.63 W	0.00	5641 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.47	2.24 W	0.00	5642 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.33	2.10 W	0.00	5643 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.39	2.16 W	0.00	5644 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.25	2.02 W	0.00	5645 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.27	2.04 W	0.00	5646 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.30	2.07 W	0.00	5647 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.28	2.05 W	0.00	5648 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.27	2.04 W	0.00	5649 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.30	2.07 W	0.00	5650 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.37	2.14 W	0.00	5651 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.40	2.17 W	0.00	5652 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.40	2.17 W	0.00	5653 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.36	2.13 W	0.00	5654 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.36	2.13 W	0.00	5655 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	5656 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.36	2.13 W	0.00	5657 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.56	2.33 W	0.00	5658 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.49	2.26 W	0.00	5659 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	5660 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.21	1.98 W	0.00	5661 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.24	2.01 W	0.00	5662 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.18	1.95 W	0.00	5663 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.19	1.96 W	0.00	5664 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.24	2.01 W	0.00	5665 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.17	1.94 W	0.00	5666 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.22	1.99 W	0.00	5667 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.20	1.97 W	0.00	5668 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.20	1.97 W	0.00	5669 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.19	1.96 W	0.00	5670 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.16	1.93 W	0.00	5671 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.17	1.94 W	0.00	5672 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.23	06/19/20	9.18	1.95 W	0.00	5673 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.18	1.95 W	0.00	5674 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.77	4.31 W	0.00	5675 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.78	4.32 W	0.00	5676 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.83	4.37 W	0.00	5677 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.77	4.31 W	0.00	5678 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.36	3.90 W	0.00	5679 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.49	4.03 W	0.00	5680 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.57	4.11 W	0.00	5681 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.29	3.83 W	0.00	5682 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.09	4.63 W	0.00	5683 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.21	4.75 W	0.00	5684 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.99	4.53 W	0.00	5685 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.81	4.35 W	0.00	5686 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.93	4.47 W	0.00	5687 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.28	3.82 W	0.00	5688 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.62	7.93 W	0.00	5689 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.33	6.64 W	0.00	5690 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.33	6.64 W	0.00	5691 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.96	6.27 W	0.00	5692 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.63	5.94 W	0.00	5693 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.82	6.13 W	0.00	5694 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.18	6.49 W	0.00	5695 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.79	7.10 W	0.00	5696 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.16	6.47 W	0.00	5697 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.22	6.53 W	0.00	5698 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.25	6.56 W	0.00	5699 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.57	5.88 W	0.00	5700 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.60	5.91 W	0.00	5701 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.63	5.94 W	0.00	5702 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.69	6.00 W	0.00	5703 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.50	5.81 W	0.00	5704 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.51	5.82 W	0.00	5705 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.52	5.83 W	0.00	5706 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.69	06/19/20	27.61	5.92 W	0.00	5707 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.54	5.85 W	0.00	5708 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	37.66	8.74 W	0.00	5709 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	37.76	8.84 W	0.00	5710 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	36.70	7.78 W	0.00	5711 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	36.99	8.07 W	0.00	5712 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	37.43	8.51 W	0.00	5713 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	37.92	9.00 W	0.00	5714 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.10	9.18 W	0.00	5715 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	36.83	7.91 W	0.00	5716 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.25	10.10 W	0.00	5717 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.34	10.19 W	0.00	5718 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.37	10.22 W	0.00	5719 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.59	11.44 W	0.00	5720 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	45.65	9.50 W	0.00	5721 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	56.64	13.26 W	0.00	5722 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	55.83	12.45 W	0.00	5723 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	55.83	12.45 W	0.00	5724 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	56.22	12.84 W	0.00	5725 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	56.45	13.07 W	0.00	5726 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	56.49	13.11 W	0.00	5727 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	64.19	13.58 W	0.00	5728 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	75.52	17.68 W	0.00	5729 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	75.15	17.31 W	0.00	5730 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	73.79	15.95 W	0.00	5731 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	74.98	17.14 W	0.00	5732 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	73.73	15.89 W	0.00	5733 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	73.04	15.20 W	0.00	5734 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	93.94	21.64 W	0.00	5735 of 7072 - Sale <sup>25</sup>
	11.000	79.53	06/19/20	100.44	20.91 W	0.00	5736 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	112.73	25.97 W	0.00	5737 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	110.68	23.92 W	0.00	5738 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	111.08	24.32 W	0.00	5739 of 7072 - Sale <sup>25</sup>
	13.000	93.99	06/19/20	123.04	29.05 W	0.00	5740 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	14.000	101.22	06/19/20	133.32	32.10 W	0.00	5741 of 7072 - Sale <sup>25</sup>
	23.000	166.28	06/19/20	218.98	52.70 W	0.00	5742 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.09	2.86 W	0.00	5743 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.08	2.85 W	0.00	5744 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.97	2.74 W	0.00	5745 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	5746 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.00	2.77 W	0.00	5747 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	5748 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	5749 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.95	2.72 W	0.00	5750 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.65	2.42 W	0.00	5751 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.60	2.37 W	0.00	5752 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	5753 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	5754 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	5755 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.50	2.27 W	0.00	5756 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.47	2.24 W	0.00	5757 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.51	2.28 W	0.00	5758 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.13	1.90 W	0.00	5759 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.12	1.89 W	0.00	5760 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.12	1.89 W	0.00	5761 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.10	1.87 W	0.00	5762 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.10	1.87 W	0.00	5763 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.16	1.93 W	0.00	5764 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	5765 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.55	2.32 W	0.00	5766 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.49	2.26 W	0.00	5767 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.48	2.25 W	0.00	5768 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.20	1.97 W	0.00	5769 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.22	1.99 W	0.00	5770 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.42	2.19 W	0.00	5771 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	5772 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.37	2.14 W	0.00	5773 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.19	1.96 W	0.00	5774 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.23	06/19/20	9.24	2.01 W	0.00	5775 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.07	1.84 W	0.00	5776 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.92	1.69 W	0.00	5777 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.91	1.68 W	0.00	5778 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.90	1.67 W	0.00	5779 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.71	1.48 W	0.00	5780 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.73	1.50 W	0.00	5781 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.73	1.50 W	0.00	5782 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.75	1.52 W	0.00	5783 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.75	1.52 W	0.00	5784 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.74	1.51 W	0.00	5785 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.70	1.47 W	0.00	5786 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.73	1.50 W	0.00	5787 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.73	1.50 W	0.00	5788 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.70	1.47 W	0.00	5789 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.68	1.45 W	0.00	5790 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.65	1.42 W	0.00	5791 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	8.93	1.70 W	0.00	5792 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.08	1.85 W	0.00	5793 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.08	1.85 W	0.00	5794 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.08	1.85 W	0.00	5795 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.08	1.85 W	0.00	5796 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.08	1.85 W	0.00	5797 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.05	1.82 W	0.00	5798 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.11	1.88 W	0.00	5799 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.52	2.29 W	0.00	5800 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.52	2.29 W	0.00	5801 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.47	2.24 W	0.00	5802 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.42	2.19 W	0.00	5803 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	5804 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.74	2.51 W	0.00	5805 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.06	2.83 W	0.00	5806 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.13	5.67 W	0.00	5807 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.96	5.50 W	0.00	5808 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.46	06/19/20	19.92	5.46 W	0.00	5809 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.79	5.33 W	0.00	5810 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.25	4.79 W	0.00	5811 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.13	4.67 W	0.00	5812 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.22	3.76 W	0.00	5813 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.02	3.56 W	0.00	5814 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.01	3.55 W	0.00	5815 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.03	3.57 W	0.00	5816 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.97	4.51 W	0.00	5817 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.97	4.51 W	0.00	5818 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.95	4.49 W	0.00	5819 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.95	4.49 W	0.00	5820 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.97	4.51 W	0.00	5821 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.96	4.50 W	0.00	5822 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.89	4.43 W	0.00	5823 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.63	4.17 W	0.00	5824 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.40	3.94 W	0.00	5825 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.37	3.91 W	0.00	5826 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.33	3.87 W	0.00	5827 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.68	4.22 W	0.00	5828 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.44	3.98 W	0.00	5829 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.99	3.53 W	0.00	5830 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.77	3.31 W	0.00	5831 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.81	3.35 W	0.00	5832 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.36	2.90 W	0.00	5833 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.45	2.99 W	0.00	5834 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.35	2.89 W	0.00	5835 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.47	3.01 W	0.00	5836 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.49	3.03 W	0.00	5837 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.41	2.95 W	0.00	5838 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.45	2.99 W	0.00	5839 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.42	2.96 W	0.00	5840 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.42	2.96 W	0.00	5841 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.36	2.90 W	0.00	5842 of 7072 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.46	06/19/20	17.30	2.84 W	0.00	5843 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	17.86	3.40 W	0.00	5844 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.16	3.70 W	0.00	5845 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.15	3.69 W	0.00	5846 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.21	3.75 W	0.00	5847 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.25	3.79 W	0.00	5848 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.37	3.91 W	0.00	5849 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.07	4.61 W	0.00	5850 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.05	4.59 W	0.00	5851 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.85	4.39 W	0.00	5852 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.48	5.02 W	0.00	5853 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.80	5.34 W	0.00	5854 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.93	8.24 W	0.00	5855 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.88	8.19 W	0.00	5856 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.87	8.18 W	0.00	5857 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.01	7.32 W	0.00	5858 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.70	7.01 W	0.00	5859 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.69	7.00 W	0.00	5860 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.10	6.41 W	0.00	5861 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.28	5.59 W	0.00	5862 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.02	5.33 W	0.00	5863 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.46	6.77 W	0.00	5864 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.02	6.33 W	0.00	5865 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.09	6.40 W	0.00	5866 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.82	6.13 W	0.00	5867 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.75	6.06 W	0.00	5868 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.99	6.30 W	0.00	5869 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.21	5.52 W	0.00	5870 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.14	5.45 W	0.00	5871 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	26.93	5.24 W	0.00	5872 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	26.18	4.49 W	0.00	5873 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	26.20	4.51 W	0.00	5874 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	26.23	4.54 W	0.00	5875 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	26.26	4.57 W	0.00	5876 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.69	06/19/20	26.05	4.36 W	0.00	5877 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	26.62	4.93 W	0.00	5878 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.60	6.91 W	0.00	5879 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.59	6.90 W	0.00	5880 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.53	6.84 W	0.00	5881 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.41	6.72 W	0.00	5882 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.42	6.73 W	0.00	5883 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.43	6.74 W	0.00	5884 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.73	10.81 W	0.00	5885 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.73	10.81 W	0.00	5886 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.76	10.84 W	0.00	5887 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	35.97	7.05 W	0.00	5888 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	36.72	7.80 W	0.00	5889 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	36.57	7.65 W	0.00	5890 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	37.03	8.11 W	0.00	5891 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	34.90	5.98 W	0.00	5892 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	34.57	5.65 W	0.00	5893 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	34.69	5.77 W	0.00	5894 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	35.70	6.78 W	0.00	5895 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	35.48	6.56 W	0.00	5896 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	35.51	6.59 W	0.00	5897 of 7072 - Sale <sup>25</sup>
	4.000	28.91	06/19/20	36.18	7.27 W	0.00	5898 of 7072 - Sale <sup>25</sup>
	4.000	28.91	06/19/20	36.37	7.46 W	0.00	5899 of 7072 - Sale <sup>25</sup>
	4.000	28.91	06/19/20	38.12	9.21 W	0.00	5900 of 7072 - Sale <sup>25</sup>
	4.000	28.91	06/19/20	38.96	10.05 W	0.00	5901 of 7072 - Sale <sup>25</sup>
	2.000	14.45	06/19/20	20.14	5.69 W	0.00	5902 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.25	14.10 W	0.00	5903 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.70	13.55 W	0.00	5904 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.36	12.21 W	0.00	5905 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	45.47	9.32 W	0.00	5906 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	45.47	9.32 W	0.00	5907 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	45.37	9.22 W	0.00	5908 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	45.56	9.41 W	0.00	5909 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.43	11.28 W	0.00	5910 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.15	06/19/20	47.44	11.29 W	0.00	5911 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.28	11.13 W	0.00	5912 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	45.99	9.84 W	0.00	5913 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.64	10.49 W	0.00	5914 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.10	9.95 W	0.00	5915 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.06	9.91 W	0.00	5916 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.38	10.23 W	0.00	5917 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	45.33	9.18 W	0.00	5918 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	43.74	7.59 W	0.00	5919 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	43.64	7.49 W	0.00	5920 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	43.56	7.41 W	0.00	5921 of 7072 - Sale <sup>25</sup>
	5.000	36.14	06/19/20	45.56	9.42 W	0.00	5922 of 7072 - Sale <sup>25</sup>
	5.000	36.14	06/19/20	47.44	11.30 W	0.00	5923 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	59.91	16.53 W	0.00	5924 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	59.89	16.51 W	0.00	5925 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	59.90	16.52 W	0.00	5926 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	54.72	11.34 W	0.00	5927 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	54.01	10.63 W	0.00	5928 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	56.93	13.55 W	0.00	5929 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	55.60	12.22 W	0.00	5930 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	52.05	8.67 W	0.00	5931 of 7072 - Sale <sup>25</sup>
	6.000	43.37	06/19/20	56.49	13.12 W	0.00	5932 of 7072 - Sale <sup>25</sup>
	6.000	43.37	06/19/20	56.85	13.48 W	0.00	5933 of 7072 - Sale <sup>25</sup>
	6.000	43.37	06/19/20	56.84	13.47 W	0.00	5934 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	70.32	19.71 W	0.00	5935 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	69.85	19.24 W	0.00	5936 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	69.70	19.09 W	0.00	5937 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	66.56	15.95 W	0.00	5938 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	65.52	14.91 W	0.00	5939 of 7072 - Sale <sup>25</sup>
	7.000	50.60	06/19/20	60.93	10.33 W	0.00	5940 of 7072 - Sale <sup>25</sup>
	7.000	50.60	06/19/20	66.33	15.73 W	0.00	5941 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	79.17	21.33 W	0.00	5942 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	72.18	14.34 W	0.00	5943 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	75.23	17.39 W	0.00	5944 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	57.83	06/19/20	72.57	14.74 W	0.00	5945 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	76.27	18.44 W	0.00	5946 of 7072 - Sale <sup>25</sup>
	8.000	57.82	06/19/20	80.54	22.72 W	0.00	5947 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	86.25	21.18 W	0.00	5948 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	85.45	20.39 W	0.00	5949 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	78.78	13.72 W	0.00	5950 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	100.89	28.59 W	0.00	5951 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	99.64	27.34 W	0.00	5952 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	91.00	18.70 W	0.00	5953 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	93.30	21.01 W	0.00	5954 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	86.40	14.11 W	0.00	5955 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	86.81	14.52 W	0.00	5956 of 7072 - Sale <sup>25</sup>
	11.000	79.52	06/19/20	104.60	25.08 W	0.00	5957 of 7072 - Sale <sup>25</sup>
	11.000	79.52	06/19/20	100.05	20.53 W	0.00	5958 of 7072 - Sale <sup>25</sup>
	12.000	86.75	06/19/20	104.19	17.44 W	0.00	5959 of 7072 - Sale <sup>25</sup>
	13.000	93.98	06/19/20	129.22	35.24 W	0.00	5960 of 7072 - Sale <sup>25</sup>
	13.000	93.98	06/19/20	113.23	19.25 W	0.00	5961 of 7072 - Sale <sup>25</sup>
	16.000	115.67	06/19/20	151.26	35.59 W	0.00	5962 of 7072 - Sale <sup>25</sup>
	18.000	130.13	06/19/20	161.90	31.77 W	0.00	5963 of 7072 - Sale <sup>25</sup>
	18.000	130.11	06/19/20	170.54	40.43 W	0.00	5964 of 7072 - Sale <sup>25</sup>
	19.000	137.36	06/19/20	181.90	44.54 W	0.00	5965 of 7072 - Sale <sup>25</sup>
	22.000	159.05	06/19/20	221.17	62.12 W	0.00	5966 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.09	2.86 W	0.00	5967 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.07	2.84 W	0.00	5968 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.16	5.70 W	0.00	5969 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.24	5.78 W	0.00	5970 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.25	5.79 W	0.00	5971 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.16	5.70 W	0.00	5972 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.14	5.68 W	0.00	5973 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.17	8.48 W	0.00	5974 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.41	8.72 W	0.00	5975 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.63	8.94 W	0.00	5976 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.14	5.68 W	0.00	5977 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.50	11.58 W	0.00	5978 of 7072 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.92	06/19/20	40.27	11.35 W	0.00	5979 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.34	14.19 W	0.00	5980 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.70	14.55 W	0.00	5981 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.67	14.52 W	0.00	5982 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.66	14.51 W	0.00	5983 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	60.23	16.85 W	0.00	5984 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	60.21	16.83 W	0.00	5985 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	60.76	17.38 W	0.00	5986 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	70.21	19.60 W	0.00	5987 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	70.86	20.25 W	0.00	5988 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	80.43	22.59 W	0.00	5989 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	81.02	23.18 W	0.00	5990 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	121.73	34.97 W	0.00	5991 of 7072 - Sale <sup>25</sup>
	16.000	115.67	06/19/20	162.31	46.64 W	0.00	5992 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.45	2.22 W	0.00	5993 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	5994 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	5995 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.33	2.10 W	0.00	5996 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.81	2.58 W	0.00	5997 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.99	4.53 W	0.00	5998 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.91	4.45 W	0.00	5999 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.91	4.45 W	0.00	6000 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.86	4.40 W	0.00	6001 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.69	4.23 W	0.00	6002 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.31	4.85 W	0.00	6003 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.65	6.96 W	0.00	6004 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.37	6.68 W	0.00	6005 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.37	6.68 W	0.00	6006 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.34	6.65 W	0.00	6007 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.35	6.66 W	0.00	6008 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.08	7.39 W	0.00	6009 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.54	12.39 W	0.00	6010 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.39	12.24 W	0.00	6011 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	58.28	14.90 W	0.00	6012 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.38	06/19/20	58.01	14.63 W	0.00	6013 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	67.93	17.32 W	0.00	6014 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	77.66	19.83 W	0.00	6015 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	86.22	21.15 W	0.00	6016 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	87.20	22.14 W	0.00	6017 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	96.80	24.51 W	0.00	6018 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.67	2.44 W	0.00	6019 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.68	2.45 W	0.00	6020 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.93	2.70 W	0.00	6021 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	6022 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	6023 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.86	5.40 W	0.00	6024 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.88	5.42 W	0.00	6025 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.87	5.41 W	0.00	6026 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.84	5.38 W	0.00	6027 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.04	7.35 W	0.00	6028 of 7072 - Sale <sup>25</sup>
	3.000	21.68	06/19/20	29.85	8.17 W	0.00	6029 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.73	9.81 W	0.00	6030 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.98	11.06 W	0.00	6031 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.36	12.21 W	0.00	6032 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.58	13.43 W	0.00	6033 of 7072 - Sale <sup>25</sup>
	6.000	43.37	06/19/20	59.86	16.49 W	0.00	6034 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.88	8.19 W	0.00	6035 of 7072 - Sale <sup>25</sup>
	7.000	50.60	06/19/20	69.54	18.94 W	0.00	6036 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	88.03	22.97 W	0.00	6037 of 7072 - Sale <sup>25</sup>
	11.000	79.52	06/19/20	109.42	29.90 W	0.00	6038 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	6039 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.95	2.72 W	0.00	6040 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.80	13.65 W	0.00	6041 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.87	8.18 W	0.00	6042 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	69.73	19.12 W	0.00	6043 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	99.61	27.31 W	0.00	6044 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.02	2.79 W	0.00	6045 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.00	2.77 W	0.00	6046 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.23	06/19/20	10.01	2.78 W	0.00	6047 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.95	2.72 W	0.00	6048 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	6049 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.96	2.73 W	0.00	6050 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.10	5.64 W	0.00	6051 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.14	5.68 W	0.00	6052 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.00	5.54 W	0.00	6053 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.00	5.54 W	0.00	6054 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.94	5.48 W	0.00	6055 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.89	8.20 W	0.00	6056 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.22	8.53 W	0.00	6057 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.09	8.40 W	0.00	6058 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.10	8.41 W	0.00	6059 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.27	11.35 W	0.00	6060 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.95	11.03 W	0.00	6061 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	50.33	14.18 W	0.00	6062 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.98	13.83 W	0.00	6063 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.64	13.49 W	0.00	6064 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.65	13.50 W	0.00	6065 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	60.12	16.74 W	0.00	6066 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	69.64	19.03 W	0.00	6067 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	89.68	24.61 W	0.00	6068 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	100.01	27.71 W	0.00	6069 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	119.20	32.44 W	0.00	6070 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.91	2.68 W	0.00	6071 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.23	3.00 W	0.00	6072 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.84	2.61 W	0.00	6073 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.64	3.41 W	0.00	6074 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.64	3.41 W	0.00	6075 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.62	3.39 W	0.00	6076 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.55	3.32 W	0.00	6077 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.55	3.32 W	0.00	6078 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.53	3.30 W	0.00	6079 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.82	5.36 W	0.00	6080 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.46	06/19/20	21.15	6.69 W	0.00	6081 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	21.10	6.64 W	0.00	6082 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.75	8.06 W	0.00	6083 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	31.68	9.99 W	0.00	6084 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	42.28	13.36 W	0.00	6085 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	42.23	13.31 W	0.00	6086 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	42.26	13.34 W	0.00	6087 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	42.21	13.29 W	0.00	6088 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	42.20	13.28 W	0.00	6089 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	53.33	17.18 W	0.00	6090 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	60.58	17.20 W	0.00	6091 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	64.13	20.75 W	0.00	6092 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	63.44	20.06 W	0.00	6093 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	84.49	26.65 W	0.00	6094 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	88.60	23.53 W	0.00	6095 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	94.71	29.64 W	0.00	6096 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	105.63	33.33 W	0.00	6097 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.56	3.33 W	0.00	6098 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	31.64	9.95 W	0.00	6099 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	31.64	9.95 W	0.00	6100 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	31.66	9.97 W	0.00	6101 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	105.46	33.16 W	0.00	6102 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.56	3.33 W	0.00	6103 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.55	3.32 W	0.00	6104 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.54	3.31 W	0.00	6105 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.56	3.33 W	0.00	6106 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.51	3.28 W	0.00	6107 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.50	3.27 W	0.00	6108 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.31	3.08 W	0.00	6109 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.37	3.14 W	0.00	6110 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	21.07	6.61 W	0.00	6111 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	21.18	6.72 W	0.00	6112 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.73	6.27 W	0.00	6113 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	31.10	9.41 W	0.00	6114 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.69	06/19/20	31.11	9.42 W	0.00	6115 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	42.36	13.44 W	0.00	6116 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	41.76	12.84 W	0.00	6117 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	41.78	12.86 W	0.00	6118 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	52.72	16.57 W	0.00	6119 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	51.86	15.71 W	0.00	6120 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	63.54	20.16 W	0.00	6121 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	62.12	18.74 W	0.00	6122 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	62.22	18.84 W	0.00	6123 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	62.66	19.28 W	0.00	6124 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	84.03	26.19 W	0.00	6125 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	83.97	26.13 W	0.00	6126 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	94.47	29.40 W	0.00	6127 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	93.30	28.24 W	0.00	6128 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.43	3.20 W	0.00	6129 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.34	3.11 W	0.00	6130 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.27	3.04 W	0.00	6131 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.27	3.04 W	0.00	6132 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.45	3.22 W	0.00	6133 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.45	3.22 W	0.00	6134 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.33	3.10 W	0.00	6135 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.34	3.11 W	0.00	6136 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.24	3.01 W	0.00	6137 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.68	6.22 W	0.00	6138 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.51	6.05 W	0.00	6139 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.45	5.99 W	0.00	6140 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.90	9.21 W	0.00	6141 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.72	9.03 W	0.00	6142 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	41.70	12.78 W	0.00	6143 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	41.44	12.52 W	0.00	6144 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	41.77	12.85 W	0.00	6145 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	51.72	15.57 W	0.00	6146 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	52.22	16.07 W	0.00	6147 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	73.01	22.40 W	0.00	6148 of 7072 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	57.83	06/19/20	82.19	24.36 W	0.00	6149 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	81.84	24.01 W	0.00	6150 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	103.97	31.68 W	0.00	6151 of 7072 - Sale <sup>25</sup>
	11.000	79.52	06/19/20	113.85	34.33 W	0.00	6152 of 7072 - Sale <sup>25</sup>
	13.000	93.98	06/19/20	133.18	39.20 W	0.00	6153 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.24	3.01 W	0.00	6154 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.18	2.95 W	0.00	6155 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.25	3.02 W	0.00	6156 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.22	2.99 W	0.00	6157 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.83	2.60 W	0.00	6158 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.49	5.03 W	0.00	6159 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.50	5.04 W	0.00	6160 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.55	5.09 W	0.00	6161 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.70	9.01 W	0.00	6162 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.41	7.72 W	0.00	6163 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.41	7.72 W	0.00	6164 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.10	10.18 W	0.00	6165 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.20	10.28 W	0.00	6166 of 7072 - Sale <sup>25</sup>
	6.000	43.37	06/19/20	58.80	15.43 W	0.00	6167 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	81.64	23.81 W	0.00	6168 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	78.40	20.57 W	0.00	6169 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	101.98	29.69 W	0.00	6170 of 7072 - Sale <sup>25</sup>
	12.000	86.75	06/19/20	122.62	35.87 W	0.00	6171 of 7072 - Sale <sup>25</sup>
	13.000	93.98	06/19/20	127.72	33.74 W	0.00	6172 of 7072 - Sale <sup>25</sup>
	15.000	108.44	06/19/20	153.14	44.70 W	0.00	6173 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.69	2.46 W	0.00	6174 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.75	2.52 W	0.00	6175 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.49	5.03 W	0.00	6176 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.08	4.62 W	0.00	6177 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.04	7.35 W	0.00	6178 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.14	4.68 W	0.00	6179 of 7072 - Sale <sup>25</sup>
	4.000	28.91	06/19/20	38.14	9.23 W	0.00	6180 of 7072 - Sale <sup>25</sup>
	6.000	43.37	06/19/20	57.99	14.62 W	0.00	6181 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	57.99	14.61 W	0.00	6182 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	57.83	06/19/20	78.03	20.20 W	0.00	6183 of 7072 - Sale <sup>25</sup>
	12.000	86.75	06/19/20	117.05	30.30 W	0.00	6184 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.61	2.38 W	0.00	6185 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.63	2.40 W	0.00	6186 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.66	2.43 W	0.00	6187 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.64	2.41 W	0.00	6188 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.63	2.40 W	0.00	6189 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.66	2.43 W	0.00	6190 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.21	4.75 W	0.00	6191 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.29	4.83 W	0.00	6192 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.57	2.34 W	0.00	6193 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.90	7.21 W	0.00	6194 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.43	9.51 W	0.00	6195 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.05	11.90 W	0.00	6196 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.14	11.99 W	0.00	6197 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.17	12.02 W	0.00	6198 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	76.67	18.83 W	0.00	6199 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	115.00	28.24 W	0.00	6200 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.76	2.53 W	0.00	6201 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.79	2.56 W	0.00	6202 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.79	2.56 W	0.00	6203 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.75	2.52 W	0.00	6204 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.75	2.52 W	0.00	6205 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.80	2.57 W	0.00	6206 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.75	2.52 W	0.00	6207 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.95	2.72 W	0.00	6208 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.88	2.65 W	0.00	6209 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.80	2.57 W	0.00	6210 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.06	4.60 W	0.00	6211 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.86	5.40 W	0.00	6212 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.98	5.52 W	0.00	6213 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.76	5.30 W	0.00	6214 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.58	5.12 W	0.00	6215 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.34	7.65 W	0.00	6216 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.69	06/19/20	29.95	8.26 W	0.00	6217 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.32	7.63 W	0.00	6218 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.38	7.69 W	0.00	6219 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.41	7.72 W	0.00	6220 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.97	10.05 W	0.00	6221 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.46	10.54 W	0.00	6222 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	39.64	10.72 W	0.00	6223 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.52	13.37 W	0.00	6224 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	58.54	15.16 W	0.00	6225 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	58.76	15.38 W	0.00	6226 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	58.80	15.42 W	0.00	6227 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	78.07	20.23 W	0.00	6228 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	97.79	25.49 W	0.00	6229 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	115.71	28.95 W	0.00	6230 of 7072 - Sale <sup>25</sup>
	11.000	79.53	06/19/20	109.02	29.49 W	0.00	6231 of 7072 - Sale <sup>25</sup>
	14.000	101.22	06/19/20	138.78	37.56 W	0.00	6232 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.57	2.34 W	0.00	6233 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.60	2.37 W	0.00	6234 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.54	2.31 W	0.00	6235 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.55	2.32 W	0.00	6236 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.60	2.37 W	0.00	6237 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.53	2.30 W	0.00	6238 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.58	2.35 W	0.00	6239 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.56	2.33 W	0.00	6240 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.56	2.33 W	0.00	6241 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.55	2.32 W	0.00	6242 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.52	2.29 W	0.00	6243 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.53	2.30 W	0.00	6244 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.54	2.31 W	0.00	6245 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.54	2.31 W	0.00	6246 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.65	5.19 W	0.00	6247 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.00	4.54 W	0.00	6248 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.65	6.96 W	0.00	6249 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.68	6.99 W	0.00	6250 of 7072 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.69	06/19/20	28.71	7.02 W	0.00	6251 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.77	7.08 W	0.00	6252 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.58	6.89 W	0.00	6253 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.59	6.90 W	0.00	6254 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.60	6.91 W	0.00	6255 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.69	7.00 W	0.00	6256 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.62	6.93 W	0.00	6257 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	38.27	9.35 W	0.00	6258 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.45	11.30 W	0.00	6259 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	66.71	16.10 W	0.00	6260 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	76.61	18.77 W	0.00	6261 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	75.92	18.08 W	0.00	6262 of 7072 - Sale <sup>25</sup>
	11.000	79.53	06/19/20	104.40	24.87 W	0.00	6263 of 7072 - Sale <sup>25</sup>
	12.000	86.76	06/19/20	118.58	31.82 W	0.00	6264 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.39	3.16 W	0.00	6265 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.38	3.15 W	0.00	6266 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.27	3.04 W	0.00	6267 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.26	3.03 W	0.00	6268 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.30	3.07 W	0.00	6269 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.26	3.03 W	0.00	6270 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.26	3.03 W	0.00	6271 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.73	6.27 W	0.00	6272 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.56	6.10 W	0.00	6273 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.52	6.06 W	0.00	6274 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.83	9.14 W	0.00	6275 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.78	9.09 W	0.00	6276 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	30.77	9.08 W	0.00	6277 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.93	12.01 W	0.00	6278 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.93	12.01 W	0.00	6279 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	51.75	15.60 W	0.00	6280 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	61.71	18.33 W	0.00	6281 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	61.69	18.31 W	0.00	6282 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	72.42	21.81 W	0.00	6283 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	71.95	21.34 W	0.00	6284 of 7072 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	50.61	06/19/20	71.80	21.19 W	0.00	6285 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	103.89	31.59 W	0.00	6286 of 7072 - Sale <sup>25</sup>
	22.000	159.05	06/19/20	227.78	68.73 W	0.00	6287 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	10.25	3.02 W	0.00	6288 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.95	2.72 W	0.00	6289 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.90	2.67 W	0.00	6290 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.71	2.48 W	0.00	6291 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.73	2.50 W	0.00	6292 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.73	2.50 W	0.00	6293 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	20.39	5.93 W	0.00	6294 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.85	5.39 W	0.00	6295 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.73	5.27 W	0.00	6296 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.91	8.22 W	0.00	6297 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.60	7.91 W	0.00	6298 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.59	7.90 W	0.00	6299 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	40.96	12.04 W	0.00	6300 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	51.20	15.05 W	0.00	6301 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	49.86	13.71 W	0.00	6302 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	61.70	18.32 W	0.00	6303 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	81.57	23.73 W	0.00	6304 of 7072 - Sale <sup>25</sup>
	9.000	65.07	06/19/20	88.95	23.88 W	0.00	6305 of 7072 - Sale <sup>25</sup>
	10.000	72.30	06/19/20	102.64	30.34 W	0.00	6306 of 7072 - Sale <sup>25</sup>
	13.000	93.98	06/19/20	133.13	39.15 W	0.00	6307 of 7072 - Sale <sup>25</sup>
	19.000	137.36	06/19/20	187.61	50.25 W	0.00	6308 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.79	2.56 W	0.00	6309 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.76	2.53 W	0.00	6310 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.80	2.57 W	0.00	6311 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.42	2.19 W	0.00	6312 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	6313 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.41	2.18 W	0.00	6314 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.39	2.16 W	0.00	6315 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.28	2.05 W	0.00	6316 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.80	4.34 W	0.00	6317 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.60	4.14 W	0.00	6318 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.46	06/19/20	18.59	4.13 W	0.00	6319 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	18.61	4.15 W	0.00	6320 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.97	7.28 W	0.00	6321 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	28.15	6.46 W	0.00	6322 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.89	6.20 W	0.00	6323 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.92	10.77 W	0.00	6324 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.92	10.77 W	0.00	6325 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	46.82	10.67 W	0.00	6326 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	47.01	10.86 W	0.00	6327 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	56.46	13.08 W	0.00	6328 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	55.75	12.37 W	0.00	6329 of 7072 - Sale <sup>25</sup>
	7.000	50.61	06/19/20	68.59	17.98 W	0.00	6330 of 7072 - Sale <sup>25</sup>
	8.000	57.84	06/19/20	74.50	16.66 W	0.00	6331 of 7072 - Sale <sup>25</sup>
	10.000	72.29	06/19/20	93.90	21.61 W	0.00	6332 of 7072 - Sale <sup>25</sup>
	18.000	130.13	06/19/20	167.13	37.00 W	0.00	6333 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.38	2.15 W	0.00	6334 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	6335 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.42	2.19 W	0.00	6336 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	27.80	6.11 W	0.00	6337 of 7072 - Sale <sup>25</sup>
	4.000	28.92	06/19/20	37.82	8.90 W	0.00	6338 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	6339 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.39	2.16 W	0.00	6340 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.43	2.20 W	0.00	6341 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.72	2.49 W	0.00	6342 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.84	2.61 W	0.00	6343 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.78	2.55 W	0.00	6344 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.77	2.54 W	0.00	6345 of 7072 - Sale <sup>25</sup>
	1.000	7.23	06/19/20	9.49	2.26 W	0.00	6346 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.54	5.08 W	0.00	6347 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.54	5.08 W	0.00	6348 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.52	5.06 W	0.00	6349 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.52	5.06 W	0.00	6350 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.54	5.08 W	0.00	6351 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.53	5.07 W	0.00	6352 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.46	06/19/20	19.46	5.00 W	0.00	6353 of 7072 - Sale <sup>25</sup>
	2.000	14.46	06/19/20	19.20	4.74 W	0.00	6354 of 7072 - Sale <sup>25</sup>
	3.000	21.69	06/19/20	29.32	7.63 W	0.00	6355 of 7072 - Sale <sup>25</sup>
	5.000	36.15	06/19/20	48.86	12.71 W	0.00	6356 of 7072 - Sale <sup>25</sup>
	5.000	36.14	06/19/20	48.87	12.73 W	0.00	6357 of 7072 - Sale <sup>25</sup>
	5.000	36.14	06/19/20	48.72	12.58 W	0.00	6358 of 7072 - Sale <sup>25</sup>
	6.000	43.38	06/19/20	58.65	15.27 W	0.00	6359 of 7072 - Sale <sup>25</sup>
	8.000	57.83	06/19/20	77.53	19.70 W	0.00	6360 of 7072 - Sale <sup>25</sup>
	9.000	65.06	06/19/20	88.04	22.98 W	0.00	6361 of 7072 - Sale <sup>25</sup>
	11.000	79.52	06/19/20	107.76	28.24 W	0.00	6362 of 7072 - Sale <sup>25</sup>
	16.000	115.66	06/19/20	155.86	40.20 W	0.00	6363 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.18	1.94 W	0.00	6364 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.32	3.85 W	0.00	6365 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.29	3.82 W	0.00	6366 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	45.79	9.61 W	0.00	6367 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.36	2.12 W	0.00	6368 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.35	2.11 W	0.00	6369 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.31	2.07 W	0.00	6370 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.21	3.74 W	0.00	6371 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.56	4.09 W	0.00	6372 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	27.84	6.13 W	0.00	6373 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.06	1.82 W	0.00	6374 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.11	1.87 W	0.00	6375 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.94	1.70 W	0.00	6376 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.79	1.55 W	0.00	6377 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.78	1.54 W	0.00	6378 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.77	1.53 W	0.00	6379 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.58	1.34 W	0.00	6380 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.60	1.36 W	0.00	6381 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.60	1.36 W	0.00	6382 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.62	1.38 W	0.00	6383 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.62	1.38 W	0.00	6384 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.61	1.37 W	0.00	6385 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.57	1.33 W	0.00	6386 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.24	06/19/20	8.60	1.36 W	0.00	6387 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.60	1.36 W	0.00	6388 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.18	3.71 W	0.00	6389 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.73	3.26 W	0.00	6390 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.51	3.04 W	0.00	6391 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.55	3.08 W	0.00	6392 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.10	2.63 W	0.00	6393 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.19	2.72 W	0.00	6394 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.09	2.62 W	0.00	6395 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.21	2.74 W	0.00	6396 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.23	2.76 W	0.00	6397 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.15	2.68 W	0.00	6398 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.19	2.72 W	0.00	6399 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	27.60	5.89 W	0.00	6400 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	27.43	5.72 W	0.00	6401 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	27.36	5.65 W	0.00	6402 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	27.60	5.89 W	0.00	6403 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	26.82	5.11 W	0.00	6404 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	26.75	5.04 W	0.00	6405 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	26.54	4.83 W	0.00	6406 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	25.79	4.08 W	0.00	6407 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	25.81	4.10 W	0.00	6408 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	25.84	4.13 W	0.00	6409 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	25.87	4.16 W	0.00	6410 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	36.51	7.57 W	0.00	6411 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	34.38	5.44 W	0.00	6412 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	34.05	5.11 W	0.00	6413 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	34.33	5.39 W	0.00	6414 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	45.99	9.81 W	0.00	6415 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	45.45	9.27 W	0.00	6416 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	45.41	9.23 W	0.00	6417 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	45.73	9.55 W	0.00	6418 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	44.68	8.50 W	0.00	6419 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	43.09	6.91 W	0.00	6420 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.18	06/19/20	42.99	6.81 W	0.00	6421 of 7072 - Sale <sup>25</sup>
	6.000	43.42	06/19/20	54.82	11.40 W	0.00	6422 of 7072 - Sale <sup>25</sup>
	6.000	43.42	06/19/20	51.27	7.85 W	0.00	6423 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	64.61	13.96 W	0.00	6424 of 7072 - Sale <sup>25</sup>
	8.000	57.89	06/19/20	71.54	13.65 W	0.00	6425 of 7072 - Sale <sup>25</sup>
	9.000	65.12	06/19/20	77.62	12.50 W	0.00	6426 of 7072 - Sale <sup>25</sup>
	10.000	72.36	06/19/20	85.11	12.75 W	0.00	6427 of 7072 - Sale <sup>25</sup>
	13.000	94.07	06/19/20	111.55	17.48 W	0.00	6428 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	27.83	6.12 W	0.00	6429 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	64.71	14.06 W	0.00	6430 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.63	1.39 W	0.00	6431 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.25	2.78 W	0.00	6432 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.25	2.78 W	0.00	6433 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	43.10	6.92 W	0.00	6434 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.71	1.47 W	0.00	6435 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.69	1.45 W	0.00	6436 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.66	1.42 W	0.00	6437 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	8.94	1.70 W	0.00	6438 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.09	1.85 W	0.00	6439 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.09	1.85 W	0.00	6440 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.09	1.85 W	0.00	6441 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.09	1.85 W	0.00	6442 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.09	1.85 W	0.00	6443 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.06	1.82 W	0.00	6444 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.12	1.88 W	0.00	6445 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.43	2.96 W	0.00	6446 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.38	2.91 W	0.00	6447 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.32	2.85 W	0.00	6448 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	17.88	3.41 W	0.00	6449 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.18	3.71 W	0.00	6450 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.17	3.70 W	0.00	6451 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.23	3.76 W	0.00	6452 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.27	3.80 W	0.00	6453 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.39	3.92 W	0.00	6454 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.71	06/19/20	26.08	4.37 W	0.00	6455 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	26.65	4.94 W	0.00	6456 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.28	6.57 W	0.00	6457 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	34.73	5.79 W	0.00	6458 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	35.74	6.80 W	0.00	6459 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	35.52	6.58 W	0.00	6460 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	35.55	6.61 W	0.00	6461 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	36.23	7.29 W	0.00	6462 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	36.42	7.48 W	0.00	6463 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	45.61	9.43 W	0.00	6464 of 7072 - Sale <sup>25</sup>
	10.000	72.36	06/19/20	86.92	14.56 W	0.00	6465 of 7072 - Sale <sup>25</sup>
	11.000	79.59	06/19/20	100.17	20.58 W	0.00	6466 of 7072 - Sale <sup>25</sup>
	12.000	86.83	06/19/20	104.32	17.49 W	0.00	6467 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.47	2.23 W	0.00	6468 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.45	2.21 W	0.00	6469 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.45	2.21 W	0.00	6470 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.35	2.11 W	0.00	6471 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.83	2.59 W	0.00	6472 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.69	2.45 W	0.00	6473 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.70	2.46 W	0.00	6474 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.95	2.71 W	0.00	6475 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.98	2.74 W	0.00	6476 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.98	2.74 W	0.00	6477 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.98	2.74 W	0.00	6478 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.97	2.73 W	0.00	6479 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	10.04	2.80 W	0.00	6480 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	10.02	2.78 W	0.00	6481 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	10.03	2.79 W	0.00	6482 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.99	2.75 W	0.00	6483 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.03	4.56 W	0.00	6484 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.95	4.48 W	0.00	6485 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.95	4.48 W	0.00	6486 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.90	4.43 W	0.00	6487 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.73	4.26 W	0.00	6488 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.47	06/19/20	19.35	4.88 W	0.00	6489 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.90	5.43 W	0.00	6490 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.92	5.45 W	0.00	6491 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.91	5.44 W	0.00	6492 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.88	5.41 W	0.00	6493 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.14	5.67 W	0.00	6494 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.18	5.71 W	0.00	6495 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.04	5.57 W	0.00	6496 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.04	5.57 W	0.00	6497 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.71	7.00 W	0.00	6498 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.43	6.72 W	0.00	6499 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.43	6.72 W	0.00	6500 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.40	6.69 W	0.00	6501 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.41	6.70 W	0.00	6502 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	29.14	7.43 W	0.00	6503 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	29.10	7.39 W	0.00	6504 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	29.92	8.21 W	0.00	6505 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	29.94	8.23 W	0.00	6506 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	29.93	8.22 W	0.00	6507 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	29.95	8.24 W	0.00	6508 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	30.28	8.57 W	0.00	6509 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	30.15	8.44 W	0.00	6510 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	30.16	8.45 W	0.00	6511 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	38.81	9.87 W	0.00	6512 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	40.06	11.12 W	0.00	6513 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	40.35	11.41 W	0.00	6514 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	40.03	11.09 W	0.00	6515 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	48.64	12.46 W	0.00	6516 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	48.49	12.31 W	0.00	6517 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	48.46	12.28 W	0.00	6518 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	49.68	13.50 W	0.00	6519 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	49.90	13.72 W	0.00	6520 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	50.43	14.25 W	0.00	6521 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	50.07	13.89 W	0.00	6522 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.42	06/19/20	58.40	14.98 W	0.00	6523 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	58.13	14.72 W	0.00	6524 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	59.99	16.58 W	0.00	6525 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	60.23	16.82 W	0.00	6526 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	68.07	17.42 W	0.00	6527 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	69.69	19.04 W	0.00	6528 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	69.87	19.22 W	0.00	6529 of 7072 - Sale <sup>25</sup>
	8.000	57.89	06/19/20	77.83	19.94 W	0.00	6530 of 7072 - Sale <sup>25</sup>
	9.000	65.12	06/19/20	86.40	21.28 W	0.00	6531 of 7072 - Sale <sup>25</sup>
	9.000	65.12	06/19/20	87.39	22.27 W	0.00	6532 of 7072 - Sale <sup>25</sup>
	9.000	65.12	06/19/20	88.22	23.10 W	0.00	6533 of 7072 - Sale <sup>25</sup>
	9.000	65.12	06/19/20	89.85	24.73 W	0.00	6534 of 7072 - Sale <sup>25</sup>
	10.000	72.36	06/19/20	97.01	24.65 W	0.00	6535 of 7072 - Sale <sup>25</sup>
	10.000	72.36	06/19/20	99.80	27.44 W	0.00	6536 of 7072 - Sale <sup>25</sup>
	10.000	72.36	06/19/20	100.20	27.84 W	0.00	6537 of 7072 - Sale <sup>25</sup>
	11.000	79.59	06/19/20	109.65	30.06 W	0.00	6538 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.54	2.30 W	0.00	6539 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.54	2.30 W	0.00	6540 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.49	2.25 W	0.00	6541 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.44	2.20 W	0.00	6542 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.43	2.19 W	0.00	6543 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	9.76	2.52 W	0.00	6544 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	10.08	2.84 W	0.00	6545 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	10.11	2.87 W	0.00	6546 of 7072 - Sale <sup>25</sup>
	1.000	7.24	06/19/20	10.09	2.85 W	0.00	6547 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.11	4.64 W	0.00	6548 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.09	4.62 W	0.00	6549 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	18.89	4.42 W	0.00	6550 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.52	5.05 W	0.00	6551 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	19.84	5.37 W	0.00	6552 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.19	5.72 W	0.00	6553 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.18	5.71 W	0.00	6554 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.20	5.73 W	0.00	6555 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.28	5.81 W	0.00	6556 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.47	06/19/20	20.29	5.82 W	0.00	6557 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.20	5.73 W	0.00	6558 of 7072 - Sale <sup>25</sup>
	2.000	14.47	06/19/20	20.18	5.71 W	0.00	6559 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.31	6.60 W	0.00	6560 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.66	6.95 W	0.00	6561 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.65	6.94 W	0.00	6562 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.59	6.88 W	0.00	6563 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.47	6.76 W	0.00	6564 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.48	6.77 W	0.00	6565 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	28.49	6.78 W	0.00	6566 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	30.23	8.52 W	0.00	6567 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	30.47	8.76 W	0.00	6568 of 7072 - Sale <sup>25</sup>
	3.000	21.71	06/19/20	30.69	8.98 W	0.00	6569 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	38.21	9.27 W	0.00	6570 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	39.05	10.11 W	0.00	6571 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	40.58	11.64 W	0.00	6572 of 7072 - Sale <sup>25</sup>
	4.000	28.94	06/19/20	40.35	11.41 W	0.00	6573 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	47.55	11.37 W	0.00	6574 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	50.44	14.26 W	0.00	6575 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	50.80	14.62 W	0.00	6576 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	50.77	14.59 W	0.00	6577 of 7072 - Sale <sup>25</sup>
	5.000	36.18	06/19/20	50.76	14.58 W	0.00	6578 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	56.98	13.57 W	0.00	6579 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	56.97	13.56 W	0.00	6580 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	60.35	16.94 W	0.00	6581 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	60.33	16.92 W	0.00	6582 of 7072 - Sale <sup>25</sup>
	6.000	43.41	06/19/20	60.88	17.47 W	0.00	6583 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	66.48	15.83 W	0.00	6584 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	70.35	19.70 W	0.00	6585 of 7072 - Sale <sup>25</sup>
	7.000	50.65	06/19/20	71.00	20.35 W	0.00	6586 of 7072 - Sale <sup>25</sup>
	8.000	57.89	06/19/20	76.44	18.55 W	0.00	6587 of 7072 - Sale <sup>25</sup>
	8.000	57.88	06/19/20	80.72	22.84 W	0.00	6588 of 7072 - Sale <sup>25</sup>
	8.000	57.88	06/19/20	80.59	22.71 W	0.00	6589 of 7072 - Sale <sup>25</sup>
	8.000	57.88	06/19/20	81.18	23.30 W	0.00	6590 of 7072 - Sale <sup>25</sup>

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(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
12.000		86.83	06/19/20	121.97	35.14 W	0.00	6591 of 7072 - Sale <sup>25</sup>
16.000		115.77	06/19/20	162.64	46.87 W	0.00	6592 of 7072 - Sale <sup>25</sup>
18.000		130.24	06/19/20	170.93	40.69 W	0.00	6593 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	9.96	2.72 W	0.00	6594 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	9.94	2.70 W	0.00	6595 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	9.95	2.71 W	0.00	6596 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	9.95	2.71 W	0.00	6597 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	9.90	2.66 W	0.00	6598 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	10.22	2.98 W	0.00	6599 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	9.83	2.59 W	0.00	6600 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	10.63	3.39 W	0.00	6601 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	10.63	3.39 W	0.00	6602 of 7072 - Sale <sup>25</sup>
1.000		7.24	06/19/20	10.61	3.37 W	0.00	6603 of 7072 - Sale <sup>25</sup>
2.000		14.48	06/19/20	19.80	5.32 W	0.00	6604 of 7072 - Sale <sup>25</sup>
2.000		14.48	06/19/20	21.13	6.65 W	0.00	6605 of 7072 - Sale <sup>25</sup>
2.000		14.48	06/19/20	21.10	6.62 W	0.00	6606 of 7072 - Sale <sup>25</sup>
3.000		21.72	06/19/20	29.72	8.00 W	0.00	6607 of 7072 - Sale <sup>25</sup>
4.000		28.96	06/19/20	42.24	13.28 W	0.00	6608 of 7072 - Sale <sup>25</sup>
4.000		28.96	06/19/20	42.19	13.23 W	0.00	6609 of 7072 - Sale <sup>25</sup>
5.000		36.20	06/19/20	49.59	13.39 W	0.00	6610 of 7072 - Sale <sup>25</sup>
5.000		36.20	06/19/20	49.60	13.40 W	0.00	6611 of 7072 - Sale <sup>25</sup>
5.000		36.20	06/19/20	53.28	17.08 W	0.00	6612 of 7072 - Sale <sup>25</sup>
6.000		43.44	06/19/20	60.52	17.08 W	0.00	6613 of 7072 - Sale <sup>25</sup>
6.000		43.44	06/19/20	64.07	20.63 W	0.00	6614 of 7072 - Sale <sup>25</sup>
7.000		50.68	06/19/20	69.57	18.89 W	0.00	6615 of 7072 - Sale <sup>25</sup>
8.000		57.91	06/19/20	84.41	26.50 W	0.00	6616 of 7072 - Sale <sup>25</sup>
9.000		65.16	06/19/20	88.51	23.35 W	0.00	6617 of 7072 - Sale <sup>25</sup>
10.000		72.39	06/19/20	105.53	33.14 W	0.00	6618 of 7072 - Sale <sup>25</sup>
12.000		86.88	06/19/20	119.08	32.20 W	0.00	6619 of 7072 - Sale <sup>25</sup>
1.000		7.27	06/19/20	10.64	3.37 W	0.00	6620 of 7072 - Sale <sup>25</sup>
1.000		7.27	06/19/20	10.63	3.36 W	0.00	6621 of 7072 - Sale <sup>25</sup>
1.000		7.27	06/19/20	10.63	3.36 W	0.00	6622 of 7072 - Sale <sup>25</sup>
1.000		7.27	06/19/20	10.61	3.34 W	0.00	6623 of 7072 - Sale <sup>25</sup>
1.000		7.27	06/19/20	10.64	3.37 W	0.00	6624 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.54	06/19/20	21.25	6.71 W	0.00	6625 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.87	10.06 W	0.00	6626 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.87	10.06 W	0.00	6627 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.89	10.08 W	0.00	6628 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	42.57	13.49 W	0.00	6629 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	42.52	13.44 W	0.00	6630 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	42.51	13.43 W	0.00	6631 of 7072 - Sale <sup>25</sup>
	6.000	43.62	06/19/20	63.90	20.28 W	0.00	6632 of 7072 - Sale <sup>25</sup>
	7.000	50.89	06/19/20	74.36	23.47 W	0.00	6633 of 7072 - Sale <sup>25</sup>
	9.000	65.43	06/19/20	95.41	29.98 W	0.00	6634 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.64	3.37 W	0.00	6635 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.63	3.36 W	0.00	6636 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.62	3.35 W	0.00	6637 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.64	3.37 W	0.00	6638 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	21.23	6.69 W	0.00	6639 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	21.34	6.80 W	0.00	6640 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.88	10.07 W	0.00	6641 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	42.68	13.60 W	0.00	6642 of 7072 - Sale <sup>25</sup>
	5.000	36.35	06/19/20	53.12	16.77 W	0.00	6643 of 7072 - Sale <sup>25</sup>
	6.000	43.62	06/19/20	64.02	20.40 W	0.00	6644 of 7072 - Sale <sup>25</sup>
	8.000	58.16	06/19/20	84.67	26.51 W	0.00	6645 of 7072 - Sale <sup>25</sup>
	8.000	58.16	06/19/20	84.61	26.45 W	0.00	6646 of 7072 - Sale <sup>25</sup>
	8.000	58.16	06/19/20	84.61	26.45 W	0.00	6647 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.56	3.29 W	0.00	6648 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.57	3.30 W	0.00	6649 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.56	3.29 W	0.00	6650 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.37	3.10 W	0.00	6651 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.43	3.16 W	0.00	6652 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.51	3.24 W	0.00	6653 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	20.85	6.31 W	0.00	6654 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.27	9.46 W	0.00	6655 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.29	9.48 W	0.00	6656 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	41.99	12.91 W	0.00	6657 of 7072 - Sale <sup>25</sup>
	5.000	36.35	06/19/20	52.15	15.80 W	0.00	6658 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.62	06/19/20	62.47	18.85 W	0.00	6659 of 7072 - Sale <sup>25</sup>
	6.000	43.62	06/19/20	62.57	18.95 W	0.00	6660 of 7072 - Sale <sup>25</sup>
	6.000	43.61	06/19/20	63.01	19.40 W	0.00	6661 of 7072 - Sale <sup>25</sup>
	9.000	65.42	06/19/20	93.83	28.41 W	0.00	6662 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.49	3.22 W	0.00	6663 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	20.80	6.26 W	0.00	6664 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.51	9.70 W	0.00	6665 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.08	9.27 W	0.00	6666 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	41.94	12.86 W	0.00	6667 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.42	3.15 W	0.00	6668 of 7072 - Sale <sup>25</sup>
	7.000	50.88	06/19/20	73.43	22.55 W	0.00	6669 of 7072 - Sale <sup>25</sup>
	10.000	72.68	06/19/20	104.58	31.90 W	0.00	6670 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	31.26	9.45 W	0.00	6671 of 7072 - Sale <sup>25</sup>
	5.000	36.35	06/19/20	52.02	15.67 W	0.00	6672 of 7072 - Sale <sup>25</sup>
	11.000	79.97	06/19/20	114.52	34.55 W	0.00	6673 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.40	3.13 W	0.00	6674 of 7072 - Sale <sup>25</sup>
	7.000	50.89	06/19/20	72.34	21.45 W	0.00	6675 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.32	3.05 W	0.00	6676 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.32	3.05 W	0.00	6677 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.32	3.05 W	0.00	6678 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.50	3.23 W	0.00	6679 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.50	3.23 W	0.00	6680 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.38	3.11 W	0.00	6681 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.39	3.12 W	0.00	6682 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.29	3.02 W	0.00	6683 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.29	3.02 W	0.00	6684 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.23	2.96 W	0.00	6685 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.30	3.03 W	0.00	6686 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	10.27	3.00 W	0.00	6687 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.80	2.53 W	0.00	6688 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	20.61	6.07 W	0.00	6689 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	20.55	6.01 W	0.00	6690 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.59	5.05 W	0.00	6691 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	30.87	9.06 W	0.00	6692 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.81	06/19/20	30.85	9.04 W	0.00	6693 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	41.97	12.89 W	0.00	6694 of 7072 - Sale <sup>25</sup>
	5.000	36.35	06/19/20	52.47	16.12 W	0.00	6695 of 7072 - Sale <sup>25</sup>
	8.000	58.16	06/19/20	82.25	24.09 W	0.00	6696 of 7072 - Sale <sup>25</sup>
	8.000	58.16	06/19/20	82.05	23.89 W	0.00	6697 of 7072 - Sale <sup>25</sup>
	10.000	72.70	06/19/20	102.49	29.79 W	0.00	6698 of 7072 - Sale <sup>25</sup>
	12.000	87.24	06/19/20	123.23	35.99 W	0.00	6699 of 7072 - Sale <sup>25</sup>
	13.000	94.51	06/19/20	133.84	39.33 W	0.00	6700 of 7072 - Sale <sup>25</sup>
	15.000	109.04	06/19/20	153.90	44.86 W	0.00	6701 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.80	2.53 W	0.00	6702 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.88	2.61 W	0.00	6703 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.74	2.47 W	0.00	6704 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.80	2.53 W	0.00	6705 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.65	5.11 W	0.00	6706 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.59	5.05 W	0.00	6707 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.18	4.64 W	0.00	6708 of 7072 - Sale <sup>25</sup>
	2.000	14.53	06/19/20	19.24	4.71 W	0.00	6709 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	29.56	7.75 W	0.00	6710 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	29.56	7.75 W	0.00	6711 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	29.19	7.38 W	0.00	6712 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	39.30	10.22 W	0.00	6713 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	39.40	10.32 W	0.00	6714 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	38.35	9.27 W	0.00	6715 of 7072 - Sale <sup>25</sup>
	6.000	43.62	06/19/20	59.11	15.49 W	0.00	6716 of 7072 - Sale <sup>25</sup>
	6.000	43.62	06/19/20	58.30	14.68 W	0.00	6717 of 7072 - Sale <sup>25</sup>
	6.000	43.62	06/19/20	58.29	14.67 W	0.00	6718 of 7072 - Sale <sup>25</sup>
	8.000	58.15	06/19/20	78.81	20.66 W	0.00	6719 of 7072 - Sale <sup>25</sup>
	8.000	58.15	06/19/20	78.44	20.29 W	0.00	6720 of 7072 - Sale <sup>25</sup>
	12.000	87.23	06/19/20	117.66	30.43 W	0.00	6721 of 7072 - Sale <sup>25</sup>
	13.000	94.50	06/19/20	128.38	33.88 W	0.00	6722 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.62	2.35 W	0.00	6723 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.32	4.78 W	0.00	6724 of 7072 - Sale <sup>25</sup>
	5.000	36.35	06/19/20	48.30	11.95 W	0.00	6725 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.64	2.37 W	0.00	6726 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.27	06/19/20	9.66	2.39 W	0.00	6727 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.69	2.42 W	0.00	6728 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.67	2.40 W	0.00	6729 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.66	2.39 W	0.00	6730 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.69	2.42 W	0.00	6731 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.79	2.52 W	0.00	6732 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.82	2.55 W	0.00	6733 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.82	2.55 W	0.00	6734 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.78	2.51 W	0.00	6735 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.78	2.51 W	0.00	6736 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.83	2.56 W	0.00	6737 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.78	2.51 W	0.00	6738 of 7072 - Sale <sup>25</sup>
	1.000	7.27	06/19/20	9.98	2.71 W	0.00	6739 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.27	4.73 W	0.00	6740 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.27	4.73 W	0.00	6741 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.35	4.81 W	0.00	6742 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.12	4.58 W	0.00	6743 of 7072 - Sale <sup>25</sup>
	2.000	14.54	06/19/20	19.92	5.38 W	0.00	6744 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	28.99	7.18 W	0.00	6745 of 7072 - Sale <sup>25</sup>
	3.000	21.81	06/19/20	29.43	7.62 W	0.00	6746 of 7072 - Sale <sup>25</sup>
	2.000	14.53	06/19/20	20.03	5.50 W	0.00	6747 of 7072 - Sale <sup>25</sup>
	4.000	29.08	06/19/20	39.09	10.01 W	0.00	6748 of 7072 - Sale <sup>25</sup>
	5.000	36.35	06/19/20	48.29	11.94 W	0.00	6749 of 7072 - Sale <sup>25</sup>
	5.000	36.35	06/19/20	48.32	11.97 W	0.00	6750 of 7072 - Sale <sup>25</sup>
	6.000	43.62	06/19/20	58.72	15.10 W	0.00	6751 of 7072 - Sale <sup>25</sup>
	8.000	58.16	06/19/20	76.91	18.75 W	0.00	6752 of 7072 - Sale <sup>25</sup>
	8.000	58.16	06/19/20	78.31	20.15 W	0.00	6753 of 7072 - Sale <sup>25</sup>
	12.000	87.23	06/19/20	115.36	28.13 W	0.00	6754 of 7072 - Sale <sup>25</sup>
	12.000	87.23	06/19/20	116.07	28.84 W	0.00	6755 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	20.04	5.42 W	0.00	6756 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	19.82	5.20 W	0.00	6757 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.01	2.70 W	0.00	6758 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	29.68	7.75 W	0.00	6759 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.89	2.58 W	0.00	6760 of 7072 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.31	06/19/20	9.90	2.59 W	0.00	6761 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.82	2.51 W	0.00	6762 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.59	2.28 W	0.00	6763 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.62	2.31 W	0.00	6764 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.56	2.25 W	0.00	6765 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.57	2.26 W	0.00	6766 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.62	2.31 W	0.00	6767 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	19.62	5.00 W	0.00	6768 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	19.69	5.07 W	0.00	6769 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	19.22	4.60 W	0.00	6770 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	29.38	7.45 W	0.00	6771 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	29.44	7.51 W	0.00	6772 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	29.47	7.54 W	0.00	6773 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.71	6.78 W	0.00	6774 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.74	6.81 W	0.00	6775 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.77	6.84 W	0.00	6776 of 7072 - Sale <sup>25</sup>
	4.000	29.24	06/19/20	39.72	10.48 W	0.00	6777 of 7072 - Sale <sup>25</sup>
	5.000	36.55	06/19/20	49.62	13.07 W	0.00	6778 of 7072 - Sale <sup>25</sup>
	6.000	43.86	06/19/20	58.88	15.02 W	0.00	6779 of 7072 - Sale <sup>25</sup>
	6.000	43.86	06/19/20	58.92	15.06 W	0.00	6780 of 7072 - Sale <sup>25</sup>
	10.000	73.10	06/19/20	97.99	24.89 W	0.00	6781 of 7072 - Sale <sup>25</sup>
	11.000	80.41	06/19/20	109.24	28.83 W	0.00	6782 of 7072 - Sale <sup>25</sup>
	12.000	87.72	06/19/20	118.82	31.10 W	0.00	6783 of 7072 - Sale <sup>25</sup>
	14.000	102.34	06/19/20	139.06	36.72 W	0.00	6784 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.57	2.26 W	0.00	6785 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.51	2.20 W	0.00	6786 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.56	2.25 W	0.00	6787 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.54	2.23 W	0.00	6788 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.54	2.23 W	0.00	6789 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.53	2.22 W	0.00	6790 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.50	2.19 W	0.00	6791 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.51	2.20 W	0.00	6792 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.52	2.21 W	0.00	6793 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.52	2.21 W	0.00	6794 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.62	06/19/20	18.96	4.34 W	0.00	6795 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.52	6.59 W	0.00	6796 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.53	6.60 W	0.00	6797 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.54	6.61 W	0.00	6798 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.63	6.70 W	0.00	6799 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	28.56	6.63 W	0.00	6800 of 7072 - Sale <sup>25</sup>
	4.000	29.24	06/19/20	38.19	8.95 W	0.00	6801 of 7072 - Sale <sup>25</sup>
	5.000	36.55	06/19/20	47.35	10.80 W	0.00	6802 of 7072 - Sale <sup>25</sup>
	7.000	51.17	06/19/20	66.57	15.40 W	0.00	6803 of 7072 - Sale <sup>25</sup>
	8.000	58.48	06/19/20	76.45	17.97 W	0.00	6804 of 7072 - Sale <sup>25</sup>
	8.000	58.48	06/19/20	75.76	17.28 W	0.00	6805 of 7072 - Sale <sup>25</sup>
	11.000	80.40	06/19/20	104.18	23.78 W	0.00	6806 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.37	3.06 W	0.00	6807 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.36	3.05 W	0.00	6808 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	20.69	6.07 W	0.00	6809 of 7072 - Sale <sup>25</sup>
	10.000	73.10	06/19/20	103.69	30.59 W	0.00	6810 of 7072 - Sale <sup>25</sup>
	12.000	87.71	06/19/20	124.01	36.30 W	0.00	6811 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.29	2.98 W	0.00	6812 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.28	2.97 W	0.00	6813 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.32	3.01 W	0.00	6814 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.28	2.97 W	0.00	6815 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.28	2.97 W	0.00	6816 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	10.27	2.96 W	0.00	6817 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	20.60	5.98 W	0.00	6818 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	20.56	5.94 W	0.00	6819 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	30.89	8.96 W	0.00	6820 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	30.84	8.91 W	0.00	6821 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	30.83	8.90 W	0.00	6822 of 7072 - Sale <sup>25</sup>
	4.000	29.24	06/19/20	41.01	11.77 W	0.00	6823 of 7072 - Sale <sup>25</sup>
	4.000	29.24	06/19/20	41.01	11.77 W	0.00	6824 of 7072 - Sale <sup>25</sup>
	4.000	29.24	06/19/20	41.04	11.80 W	0.00	6825 of 7072 - Sale <sup>25</sup>
	5.000	36.55	06/19/20	51.85	15.30 W	0.00	6826 of 7072 - Sale <sup>25</sup>
	5.000	36.55	06/19/20	51.30	14.75 W	0.00	6827 of 7072 - Sale <sup>25</sup>
	6.000	43.86	06/19/20	61.83	17.97 W	0.00	6828 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.86	06/19/20	61.81	17.95 W	0.00	6829 of 7072 - Sale <sup>25</sup>
	6.000	43.86	06/19/20	61.56	17.70 W	0.00	6830 of 7072 - Sale <sup>25</sup>
	7.000	51.17	06/19/20	72.56	21.39 W	0.00	6831 of 7072 - Sale <sup>25</sup>
	7.000	51.17	06/19/20	72.09	20.92 W	0.00	6832 of 7072 - Sale <sup>25</sup>
	7.000	51.17	06/19/20	71.94	20.77 W	0.00	6833 of 7072 - Sale <sup>25</sup>
	10.000	73.10	06/19/20	103.74	30.64 W	0.00	6834 of 7072 - Sale <sup>25</sup>
	10.000	73.09	06/19/20	102.84	29.75 W	0.00	6835 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.99	2.68 W	0.00	6836 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.94	2.63 W	0.00	6837 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.75	2.44 W	0.00	6838 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.77	2.46 W	0.00	6839 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.77	2.46 W	0.00	6840 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.83	2.52 W	0.00	6841 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.80	2.49 W	0.00	6842 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.84	2.53 W	0.00	6843 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.46	2.15 W	0.00	6844 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.45	2.14 W	0.00	6845 of 7072 - Sale <sup>25</sup>
	1.000	7.31	06/19/20	9.43	2.12 W	0.00	6846 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	20.47	5.85 W	0.00	6847 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	19.93	5.31 W	0.00	6848 of 7072 - Sale <sup>25</sup>
	2.000	14.62	06/19/20	19.81	5.19 W	0.00	6849 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	30.03	8.10 W	0.00	6850 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	29.72	7.79 W	0.00	6851 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	29.71	7.78 W	0.00	6852 of 7072 - Sale <sup>25</sup>
	3.000	21.93	06/19/20	29.09	7.16 W	0.00	6853 of 7072 - Sale <sup>25</sup>
	5.000	36.55	06/19/20	50.06	13.51 W	0.00	6854 of 7072 - Sale <sup>25</sup>
	5.000	36.54	06/19/20	47.12	10.58 W	0.00	6855 of 7072 - Sale <sup>25</sup>
	5.000	36.54	06/19/20	47.11	10.57 W	0.00	6856 of 7072 - Sale <sup>25</sup>
	6.000	43.86	06/19/20	61.94	18.08 W	0.00	6857 of 7072 - Sale <sup>25</sup>
	7.000	51.16	06/19/20	71.97	20.81 W	0.00	6858 of 7072 - Sale <sup>25</sup>
	7.000	51.16	06/19/20	68.86	17.70 W	0.00	6859 of 7072 - Sale <sup>25</sup>
	8.000	58.47	06/19/20	81.89	23.42 W	0.00	6860 of 7072 - Sale <sup>25</sup>
	9.000	65.78	06/19/20	89.30	23.52 W	0.00	6861 of 7072 - Sale <sup>25</sup>
	19.000	138.87	06/19/20	188.37	49.50 W	0.00	6862 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.23	06/19/20	37.68	8.45 W	0.00	6863 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.44	2.12 W	0.00	6864 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.42	2.10 W	0.00	6865 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.31	1.99 W	0.00	6866 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.41	2.09 W	0.00	6867 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.46	2.14 W	0.00	6868 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.45	2.13 W	0.00	6869 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.46	2.14 W	0.00	6870 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.42	2.10 W	0.00	6871 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.46	2.14 W	0.00	6872 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.75	2.43 W	0.00	6873 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.80	2.48 W	0.00	6874 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.86	4.22 W	0.00	6875 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.66	4.02 W	0.00	6876 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.65	4.01 W	0.00	6877 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.67	4.03 W	0.00	6878 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.24	6.28 W	0.00	6879 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.98	6.02 W	0.00	6880 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.89	5.93 W	0.00	6881 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	37.93	8.65 W	0.00	6882 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	46.96	10.36 W	0.00	6883 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	47.15	10.55 W	0.00	6884 of 7072 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	56.63	12.71 W	0.00	6885 of 7072 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	55.92	12.00 W	0.00	6886 of 7072 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	74.73	16.17 W	0.00	6887 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	47.10	10.50 W	0.00	6888 of 7072 - Sale <sup>25</sup>
	11.000	80.52	06/19/20	108.07	27.55 W	0.00	6889 of 7072 - Sale <sup>25</sup>
	18.000	131.75	06/19/20	167.65	35.90 W	0.00	6890 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.79	2.47 W	0.00	6891 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.86	2.54 W	0.00	6892 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.80	2.48 W	0.00	6893 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.79	2.47 W	0.00	6894 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.51	2.19 W	0.00	6895 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.19	1.87 W	0.00	6896 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.32	06/19/20	9.37	2.05 W	0.00	6897 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.36	2.04 W	0.00	6898 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.32	2.00 W	0.00	6899 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.26	1.94 W	0.00	6900 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.57	4.93 W	0.00	6901 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.55	4.91 W	0.00	6902 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.55	4.91 W	0.00	6903 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.57	4.93 W	0.00	6904 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.56	4.92 W	0.00	6905 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.50	4.86 W	0.00	6906 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.23	4.59 W	0.00	6907 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.34	3.70 W	0.00	6908 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.31	3.67 W	0.00	6909 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.24	3.60 W	0.00	6910 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.58	3.94 W	0.00	6911 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.37	7.41 W	0.00	6912 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.87	5.91 W	0.00	6913 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.86	5.90 W	0.00	6914 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	48.95	12.35 W	0.00	6915 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	48.97	12.37 W	0.00	6916 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	48.82	12.22 W	0.00	6917 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	45.85	9.25 W	0.00	6918 of 7072 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	58.75	14.83 W	0.00	6919 of 7072 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	77.68	19.12 W	0.00	6920 of 7072 - Sale <sup>25</sup>
	9.000	65.88	06/19/20	88.21	22.33 W	0.00	6921 of 7072 - Sale <sup>25</sup>
	16.000	117.11	06/19/20	156.16	39.05 W	0.00	6922 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.07	1.75 W	0.00	6923 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.12	1.80 W	0.00	6924 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.95	1.63 W	0.00	6925 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.80	1.48 W	0.00	6926 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.79	1.47 W	0.00	6927 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.78	1.46 W	0.00	6928 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.59	1.27 W	0.00	6929 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.61	1.29 W	0.00	6930 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.64	06/19/20	18.21	3.57 W	0.00	6931 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.76	3.12 W	0.00	6932 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.54	2.90 W	0.00	6933 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.58	2.94 W	0.00	6934 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.12	2.48 W	0.00	6935 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.64	5.68 W	0.00	6936 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.47	5.51 W	0.00	6937 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.40	5.44 W	0.00	6938 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	27.64	5.68 W	0.00	6939 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	26.86	4.90 W	0.00	6940 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	26.79	4.83 W	0.00	6941 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	26.58	4.62 W	0.00	6942 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	36.57	7.29 W	0.00	6943 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	46.05	9.45 W	0.00	6944 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	45.51	8.91 W	0.00	6945 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	45.47	8.87 W	0.00	6946 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	45.79	9.19 W	0.00	6947 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	44.74	8.14 W	0.00	6948 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	43.14	6.54 W	0.00	6949 of 7072 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	55.54	11.62 W	0.00	6950 of 7072 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	54.89	10.97 W	0.00	6951 of 7072 - Sale <sup>25</sup>
	7.000	51.24	06/19/20	64.70	13.46 W	0.00	6952 of 7072 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	71.64	13.08 W	0.00	6953 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.61	1.29 W	0.00	6954 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.61	1.29 W	0.00	6955 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.63	1.31 W	0.00	6956 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.63	1.31 W	0.00	6957 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.62	1.30 W	0.00	6958 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.58	1.26 W	0.00	6959 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.61	1.29 W	0.00	6960 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.61	1.29 W	0.00	6961 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.64	1.32 W	0.00	6962 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.72	1.40 W	0.00	6963 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.71	1.39 W	0.00	6964 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.64	06/19/20	17.22	2.58 W	0.00	6965 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.22	2.58 W	0.00	6966 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.12	2.48 W	0.00	6967 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.24	2.60 W	0.00	6968 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.26	2.62 W	0.00	6969 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.18	2.54 W	0.00	6970 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.22	2.58 W	0.00	6971 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.28	2.64 W	0.00	6972 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.28	2.64 W	0.00	6973 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.46	2.82 W	0.00	6974 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	25.85	3.89 W	0.00	6975 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	25.88	3.92 W	0.00	6976 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	25.91	3.95 W	0.00	6977 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	34.44	5.16 W	0.00	6978 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	34.11	4.83 W	0.00	6979 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	34.39	5.11 W	0.00	6980 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	43.06	6.46 W	0.00	6981 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	43.16	6.56 W	0.00	6982 of 7072 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	51.35	7.43 W	0.00	6983 of 7072 - Sale <sup>25</sup>
	9.000	65.88	06/19/20	77.75	11.87 W	0.00	6984 of 7072 - Sale <sup>25</sup>
	10.000	73.20	06/19/20	85.25	12.05 W	0.00	6985 of 7072 - Sale <sup>25</sup>
	13.000	95.15	06/19/20	111.73	16.58 W	0.00	6986 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	38.41	9.13 W	0.00	6987 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.69	1.37 W	0.00	6988 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.66	1.34 W	0.00	6989 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	8.94	1.62 W	0.00	6990 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.09	1.77 W	0.00	6991 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.09	1.77 W	0.00	6992 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.09	1.77 W	0.00	6993 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.09	1.77 W	0.00	6994 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.09	1.77 W	0.00	6995 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.06	1.74 W	0.00	6996 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.12	1.80 W	0.00	6997 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.39	2.75 W	0.00	6998 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.64	06/19/20	17.33	2.69 W	0.00	6999 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	17.89	3.25 W	0.00	7000 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.19	3.55 W	0.00	7001 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.18	3.54 W	0.00	7002 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.24	3.60 W	0.00	7003 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.28	3.64 W	0.00	7004 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.40	3.76 W	0.00	7005 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	26.09	4.13 W	0.00	7006 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	26.66	4.70 W	0.00	7007 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.29	6.33 W	0.00	7008 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	34.75	5.47 W	0.00	7009 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	35.76	6.48 W	0.00	7010 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	35.54	6.26 W	0.00	7011 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	35.57	6.29 W	0.00	7012 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	36.25	6.97 W	0.00	7013 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	36.44	7.16 W	0.00	7014 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	45.63	9.03 W	0.00	7015 of 7072 - Sale <sup>25</sup>
	9.000	65.87	06/19/20	78.26	12.39 W	0.00	7016 of 7072 - Sale <sup>25</sup>
	11.000	80.51	06/19/20	100.22	19.71 W	0.00	7017 of 7072 - Sale <sup>25</sup>
	12.000	87.83	06/19/20	104.37	16.54 W	0.00	7018 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.46	2.14 W	0.00	7019 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.44	2.12 W	0.00	7020 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.44	2.12 W	0.00	7021 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.34	2.02 W	0.00	7022 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.82	2.50 W	0.00	7023 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.02	4.38 W	0.00	7024 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.94	4.30 W	0.00	7025 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.94	4.30 W	0.00	7026 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.89	4.25 W	0.00	7027 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.72	4.08 W	0.00	7028 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.34	4.70 W	0.00	7029 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.69	6.73 W	0.00	7030 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.41	6.45 W	0.00	7031 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.41	6.45 W	0.00	7032 of 7072 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.96	06/19/20	28.38	6.42 W	0.00	7033 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.39	6.43 W	0.00	7034 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.12	7.16 W	0.00	7035 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	38.74	9.46 W	0.00	7036 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	47.97	11.37 W	0.00	7037 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	48.61	12.01 W	0.00	7038 of 7072 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	48.46	11.86 W	0.00	7039 of 7072 - Sale <sup>25</sup>
	6.000	43.91	06/19/20	58.36	14.45 W	0.00	7040 of 7072 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	58.10	14.18 W	0.00	7041 of 7072 - Sale <sup>25</sup>
	7.000	51.23	06/19/20	68.03	16.80 W	0.00	7042 of 7072 - Sale <sup>25</sup>
	8.000	58.55	06/19/20	77.78	19.23 W	0.00	7043 of 7072 - Sale <sup>25</sup>
	9.000	65.87	06/19/20	87.34	21.47 W	0.00	7044 of 7072 - Sale <sup>25</sup>
	10.000	73.19	06/19/20	96.95	23.76 W	0.00	7045 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.71	2.39 W	0.00	7046 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.70	2.38 W	0.00	7047 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.71	2.39 W	0.00	7048 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.96	2.64 W	0.00	7049 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.99	2.67 W	0.00	7050 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.99	2.67 W	0.00	7051 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.99	2.67 W	0.00	7052 of 7072 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.98	2.66 W	0.00	7053 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.93	5.29 W	0.00	7054 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.95	5.31 W	0.00	7055 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.94	5.30 W	0.00	7056 of 7072 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.91	5.27 W	0.00	7057 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.14	7.18 W	0.00	7058 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.96	8.00 W	0.00	7059 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.98	8.02 W	0.00	7060 of 7072 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.97	8.01 W	0.00	7061 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	38.86	9.58 W	0.00	7062 of 7072 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	40.11	10.83 W	0.00	7063 of 7072 - Sale <sup>25</sup>
	4.000	29.27	06/19/20	39.98	10.71 W	0.00	7064 of 7072 - Sale <sup>25</sup>
	5.000	36.59	06/19/20	49.74	13.15 W	0.00	7065 of 7072 - Sale <sup>25</sup>
	5.000	36.59	06/19/20	49.96	13.37 W	0.00	7066 of 7072 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.91	06/19/20	60.07	16.16 W	0.00	7067 of 7072 - Sale <sup>25</sup>
	7.000	51.23	06/19/20	69.78	18.55 W	0.00	7068 of 7072 - Sale <sup>25</sup>
	7.000	51.23	06/19/20	69.96	18.73 W	0.00	7069 of 7072 - Sale <sup>25</sup>
	9.000	65.87	06/19/20	88.34	22.47 W	0.00	7070 of 7072 - Sale <sup>25</sup>
	10.000	73.19	06/19/20	99.92	26.73 W	0.00	7071 of 7072 - Sale <sup>25</sup>
	11.000	80.51	06/19/20	109.79	29.28 W	0.00	7072 of 7072 - Sale <sup>25</sup>
06/19/20	32,239.000	243,124.79	Various	302,234.92	59,110.13 W	0.00	Total of 7072 transactions
	7291 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	7.28	06/19/20	10.12	2.84 W	0.00	1 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.10	2.82 W	0.00	2 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.11	2.83 W	0.00	3 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.07	2.79 W	0.00	4 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.31	5.75 W	0.00	5 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.35	5.79 W	0.00	6 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.21	5.65 W	0.00	7 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.21	5.65 W	0.00	8 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	30.20	8.36 W	0.00	9 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	30.53	8.69 W	0.00	10 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	30.40	8.56 W	0.00	11 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	30.41	8.57 W	0.00	12 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	40.68	11.56 W	0.00	13 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	40.36	11.24 W	0.00	14 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	50.33	13.93 W	0.00	15 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	50.84	14.44 W	0.00	16 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	50.48	14.08 W	0.00	17 of 7291 - Sale <sup>25</sup>
	6.000	43.68	06/19/20	60.73	17.05 W	0.00	18 of 7291 - Sale <sup>25</sup>
	10.000	72.79	06/19/20	101.03	28.24 W	0.00	19 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.62	2.34 W	0.00	20 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.62	2.34 W	0.00	21 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	19.28	4.72 W	0.00	22 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	19.26	4.70 W	0.00	23 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	28.56	6.72 W	0.00	24 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	28.90	7.06 W	0.00	25 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	28.89	7.05 W	0.00	26 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.83	06/19/20	28.83	7.00 W	0.00	27 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.57	2.29 W	0.00	28 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	38.54	9.42 W	0.00	29 of 7291 - Sale <sup>25</sup>
	8.000	58.23	06/19/20	77.10	18.87 W	0.00	30 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.58	2.30 W	0.00	31 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	19.15	4.59 W	0.00	32 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	28.72	6.88 W	0.00	33 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	19.26	4.70 W	0.00	34 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	19.26	4.70 W	0.00	35 of 7291 - Sale <sup>25</sup>
	6.000	43.68	06/19/20	57.79	14.11 W	0.00	36 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.62	2.34 W	0.00	37 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.57	2.29 W	0.00	38 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.56	2.28 W	0.00	39 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.89	2.61 W	0.00	40 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.21	2.93 W	0.00	41 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.24	2.96 W	0.00	42 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	19.16	4.60 W	0.00	43 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	19.79	5.23 W	0.00	44 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.11	5.55 W	0.00	45 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.46	5.90 W	0.00	46 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.45	5.89 W	0.00	47 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.47	5.91 W	0.00	48 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	30.63	8.79 W	0.00	49 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	38.52	9.40 W	0.00	50 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	39.59	10.47 W	0.00	51 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	48.22	11.82 W	0.00	52 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	51.11	14.71 W	0.00	53 of 7291 - Sale <sup>25</sup>
	4.000	29.11	06/19/20	40.77	11.66 W	0.00	54 of 7291 - Sale <sup>25</sup>
	7.000	50.96	06/19/20	67.42	16.46 W	0.00	55 of 7291 - Sale <sup>25</sup>
	8.000	58.24	06/19/20	81.80	23.56 W	0.00	56 of 7291 - Sale <sup>25</sup>
	8.000	58.23	06/19/20	81.67	23.44 W	0.00	57 of 7291 - Sale <sup>25</sup>
	18.000	131.03	06/19/20	173.35	42.32 W	0.00	58 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.30	3.02 W	0.00	59 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.39	5.83 W	0.00	60 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.68	06/19/20	61.14	17.46 W	0.00	61 of 7291 - Sale <sup>25</sup>
	7.000	50.96	06/19/20	71.28	20.32 W	0.00	62 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.08	2.80 W	0.00	63 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.06	2.78 W	0.00	64 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	50.21	13.81 W	0.00	65 of 7291 - Sale <sup>25</sup>
	7.000	50.96	06/19/20	70.39	19.43 W	0.00	66 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.21	2.93 W	0.00	67 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.52	5.96 W	0.00	68 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.53	5.97 W	0.00	69 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.44	5.88 W	0.00	70 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.42	5.86 W	0.00	71 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	30.83	8.99 W	0.00	72 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	31.05	9.21 W	0.00	73 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	41.07	11.95 W	0.00	74 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	40.84	11.72 W	0.00	75 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	51.41	15.01 W	0.00	76 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	51.38	14.98 W	0.00	77 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	51.37	14.97 W	0.00	78 of 7291 - Sale <sup>25</sup>
	6.000	43.68	06/19/20	61.62	17.94 W	0.00	79 of 7291 - Sale <sup>25</sup>
	7.000	50.96	06/19/20	71.85	20.89 W	0.00	80 of 7291 - Sale <sup>25</sup>
	8.000	58.24	06/19/20	82.16	23.92 W	0.00	81 of 7291 - Sale <sup>25</sup>
	11.000	80.08	06/19/20	113.14	33.06 W	0.00	82 of 7291 - Sale <sup>25</sup>
	16.000	116.47	06/19/20	164.59	48.12 W	0.00	83 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.10	2.82 W	0.00	84 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.11	2.83 W	0.00	85 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.05	2.77 W	0.00	86 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.37	3.09 W	0.00	87 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	9.99	2.71 W	0.00	88 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.78	3.50 W	0.00	89 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.79	3.51 W	0.00	90 of 7291 - Sale <sup>25</sup>
	1.000	7.28	06/19/20	10.76	3.48 W	0.00	91 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	20.11	5.55 W	0.00	92 of 7291 - Sale <sup>25</sup>
	2.000	14.56	06/19/20	21.44	6.88 W	0.00	93 of 7291 - Sale <sup>25</sup>
	3.000	21.84	06/19/20	30.19	8.35 W	0.00	94 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.12	06/19/20	42.86	13.74 W	0.00	95 of 7291 - Sale <sup>25</sup>
	4.000	29.12	06/19/20	42.81	13.69 W	0.00	96 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	50.36	13.96 W	0.00	97 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	50.38	13.98 W	0.00	98 of 7291 - Sale <sup>25</sup>
	5.000	36.40	06/19/20	54.06	17.66 W	0.00	99 of 7291 - Sale <sup>25</sup>
	6.000	43.67	06/19/20	61.45	17.78 W	0.00	100 of 7291 - Sale <sup>25</sup>
	6.000	43.67	06/19/20	65.00	21.33 W	0.00	101 of 7291 - Sale <sup>25</sup>
	7.000	50.96	06/19/20	70.55	19.59 W	0.00	102 of 7291 - Sale <sup>25</sup>
	8.000	58.23	06/19/20	85.66	27.43 W	0.00	103 of 7291 - Sale <sup>25</sup>
	9.000	65.51	06/19/20	89.90	24.39 W	0.00	104 of 7291 - Sale <sup>25</sup>
	10.000	72.79	06/19/20	107.09	34.30 W	0.00	105 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.77	3.45 W	0.00	106 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.75	3.43 W	0.00	107 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	21.41	6.77 W	0.00	108 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	21.50	6.86 W	0.00	109 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	43.07	13.79 W	0.00	110 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	64.65	20.73 W	0.00	111 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.76	3.44 W	0.00	112 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.74	3.42 W	0.00	113 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.76	3.44 W	0.00	114 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.76	3.44 W	0.00	115 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.76	3.44 W	0.00	116 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.74	3.42 W	0.00	117 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.77	3.45 W	0.00	118 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.69	3.37 W	0.00	119 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.69	3.37 W	0.00	120 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.69	3.37 W	0.00	121 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.49	3.17 W	0.00	122 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.56	3.24 W	0.00	123 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	21.48	6.84 W	0.00	124 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	21.59	6.95 W	0.00	125 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	32.24	10.28 W	0.00	126 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	32.25	10.29 W	0.00	127 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	32.27	10.31 W	0.00	128 of 7291 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.96	06/19/20	32.26	10.30 W	0.00	129 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.64	9.68 W	0.00	130 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	43.02	13.74 W	0.00	131 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	43.01	13.73 W	0.00	132 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	43.18	13.90 W	0.00	133 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	53.74	17.14 W	0.00	134 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	64.77	20.85 W	0.00	135 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	63.22	19.30 W	0.00	136 of 7291 - Sale <sup>25</sup>
	7.000	51.24	06/19/20	75.23	23.99 W	0.00	137 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	85.67	27.11 W	0.00	138 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	85.61	27.05 W	0.00	139 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	85.61	27.05 W	0.00	140 of 7291 - Sale <sup>25</sup>
	9.000	65.88	06/19/20	96.53	30.65 W	0.00	141 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.64	3.32 W	0.00	142 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.62	3.30 W	0.00	143 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.55	3.23 W	0.00	144 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.53	3.21 W	0.00	145 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.45	3.13 W	0.00	146 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.45	3.13 W	0.00	147 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.45	3.13 W	0.00	148 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.63	3.31 W	0.00	149 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	21.11	6.47 W	0.00	150 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	21.06	6.42 W	0.00	151 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.87	6.23 W	0.00	152 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.68	9.72 W	0.00	153 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.90	9.94 W	0.00	154 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.47	9.51 W	0.00	155 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.65	9.69 W	0.00	156 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	42.51	13.23 W	0.00	157 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	42.46	13.18 W	0.00	158 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	52.80	16.20 W	0.00	159 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	52.67	16.07 W	0.00	160 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	63.35	19.43 W	0.00	161 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	63.80	19.88 W	0.00	162 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	51.24	06/19/20	74.35	23.11 W	0.00	163 of 7291 - Sale <sup>25</sup>
	7.000	51.23	06/19/20	73.25	22.02 W	0.00	164 of 7291 - Sale <sup>25</sup>
	9.000	65.88	06/19/20	95.01	29.13 W	0.00	165 of 7291 - Sale <sup>25</sup>
	10.000	73.19	06/19/20	105.90	32.71 W	0.00	166 of 7291 - Sale <sup>25</sup>
	11.000	80.51	06/19/20	115.95	35.44 W	0.00	167 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.63	3.31 W	0.00	168 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.51	3.19 W	0.00	169 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.52	3.20 W	0.00	170 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.42	3.10 W	0.00	171 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.42	3.10 W	0.00	172 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.36	3.04 W	0.00	173 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.81	6.17 W	0.00	174 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.26	9.30 W	0.00	175 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	42.49	13.21 W	0.00	176 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	53.12	16.52 W	0.00	177 of 7291 - Sale <sup>25</sup>
	8.000	58.55	06/19/20	83.29	24.74 W	0.00	178 of 7291 - Sale <sup>25</sup>
	6.000	43.91	06/19/20	62.32	18.41 W	0.00	179 of 7291 - Sale <sup>25</sup>
	10.000	73.19	06/19/20	103.79	30.60 W	0.00	180 of 7291 - Sale <sup>25</sup>
	12.000	87.83	06/19/20	124.79	36.96 W	0.00	181 of 7291 - Sale <sup>25</sup>
	13.000	95.15	06/19/20	135.53	40.38 W	0.00	182 of 7291 - Sale <sup>25</sup>
	15.000	109.79	06/19/20	155.86	46.07 W	0.00	183 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.43	3.11 W	0.00	184 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.40	3.08 W	0.00	185 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.93	2.61 W	0.00	186 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.93	2.61 W	0.00	187 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.96	2.64 W	0.00	188 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.85	5.21 W	0.00	189 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.24	9.28 W	0.00	190 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	39.82	10.54 W	0.00	191 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.77	6.13 W	0.00	192 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.95	2.63 W	0.00	193 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.01	2.69 W	0.00	194 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.87	2.55 W	0.00	195 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.93	2.61 W	0.00	196 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.32	06/19/20	9.75	2.43 W	0.00	197 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.77	2.45 W	0.00	198 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.79	2.47 W	0.00	199 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.85	5.21 W	0.00	200 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.44	4.80 W	0.00	201 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.51	4.87 W	0.00	202 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.58	4.94 W	0.00	203 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.53	4.89 W	0.00	204 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.53	4.89 W	0.00	205 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.95	7.99 W	0.00	206 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.95	7.99 W	0.00	207 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.58	7.62 W	0.00	208 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	39.92	10.64 W	0.00	209 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	38.87	9.59 W	0.00	210 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	48.95	12.35 W	0.00	211 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	59.89	15.97 W	0.00	212 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	59.08	15.16 W	0.00	213 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	59.07	15.15 W	0.00	214 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	79.86	21.30 W	0.00	215 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	79.49	20.93 W	0.00	216 of 7291 - Sale <sup>25</sup>
	12.000	87.84	06/19/20	119.23	31.39 W	0.00	217 of 7291 - Sale <sup>25</sup>
	13.000	95.16	06/19/20	130.08	34.92 W	0.00	218 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.78	2.46 W	0.00	219 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.81	2.49 W	0.00	220 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.79	2.47 W	0.00	221 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.78	2.46 W	0.00	222 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.81	2.49 W	0.00	223 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.91	2.59 W	0.00	224 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.94	2.62 W	0.00	225 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.94	2.62 W	0.00	226 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.90	2.58 W	0.00	227 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.90	2.58 W	0.00	228 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.95	2.63 W	0.00	229 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.90	2.58 W	0.00	230 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.32	06/19/20	10.10	2.78 W	0.00	231 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.09	2.77 W	0.00	232 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.97	2.65 W	0.00	233 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.98	2.66 W	0.00	234 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.90	2.58 W	0.00	235 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.59	4.95 W	0.00	236 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.36	4.72 W	0.00	237 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.16	5.52 W	0.00	238 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.28	5.64 W	0.00	239 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.20	5.56 W	0.00	240 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.98	5.34 W	0.00	241 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.78	5.14 W	0.00	242 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.85	5.21 W	0.00	243 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.35	7.39 W	0.00	244 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.79	7.83 W	0.00	245 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.92	7.96 W	0.00	246 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.62	7.66 W	0.00	247 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.68	7.72 W	0.00	248 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.71	7.75 W	0.00	249 of 7291 - Sale <sup>25</sup>
	3.000	21.95	06/19/20	28.95	7.00 W	0.00	250 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.98	7.02 W	0.00	251 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	39.11	9.83 W	0.00	252 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	39.57	10.29 W	0.00	253 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	40.04	10.76 W	0.00	254 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	48.92	12.32 W	0.00	255 of 7291 - Sale <sup>25</sup>
	5.000	36.59	06/19/20	50.02	13.43 W	0.00	256 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	59.44	15.52 W	0.00	257 of 7291 - Sale <sup>25</sup>
	6.000	43.91	06/19/20	59.36	15.45 W	0.00	258 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	59.40	15.48 W	0.00	259 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	77.87	19.31 W	0.00	260 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	79.27	20.71 W	0.00	261 of 7291 - Sale <sup>25</sup>
	10.000	73.19	06/19/20	98.79	25.60 W	0.00	262 of 7291 - Sale <sup>25</sup>
	11.000	80.51	06/19/20	110.12	29.61 W	0.00	263 of 7291 - Sale <sup>25</sup>
	12.000	87.83	06/19/20	116.81	28.98 W	0.00	264 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
12.000		87.83	06/19/20	117.52	29.69 W	0.00	265 of 7291 - Sale <sup>25</sup>
12.000		87.83	06/19/20	119.78	31.95 W	0.00	266 of 7291 - Sale <sup>25</sup>
14.000		102.47	06/19/20	140.18	37.71 W	0.00	267 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.67	2.35 W	0.00	268 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.70	2.38 W	0.00	269 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.64	2.32 W	0.00	270 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.65	2.33 W	0.00	271 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.70	2.38 W	0.00	272 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.65	2.33 W	0.00	273 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.59	2.27 W	0.00	274 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.64	2.32 W	0.00	275 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.62	2.30 W	0.00	276 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.62	2.30 W	0.00	277 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.61	2.29 W	0.00	278 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.58	2.26 W	0.00	279 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.59	2.27 W	0.00	280 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.60	2.28 W	0.00	281 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	9.60	2.28 W	0.00	282 of 7291 - Sale <sup>25</sup>
2.000		14.64	06/19/20	19.38	4.74 W	0.00	283 of 7291 - Sale <sup>25</sup>
2.000		14.64	06/19/20	19.12	4.48 W	0.00	284 of 7291 - Sale <sup>25</sup>
3.000		21.96	06/19/20	29.01	7.05 W	0.00	285 of 7291 - Sale <sup>25</sup>
3.000		21.96	06/19/20	28.76	6.80 W	0.00	286 of 7291 - Sale <sup>25</sup>
3.000		21.96	06/19/20	28.77	6.81 W	0.00	287 of 7291 - Sale <sup>25</sup>
3.000		21.96	06/19/20	28.78	6.82 W	0.00	288 of 7291 - Sale <sup>25</sup>
3.000		21.96	06/19/20	28.87	6.91 W	0.00	289 of 7291 - Sale <sup>25</sup>
3.000		21.96	06/19/20	28.80	6.84 W	0.00	290 of 7291 - Sale <sup>25</sup>
4.000		29.28	06/19/20	38.51	9.23 W	0.00	291 of 7291 - Sale <sup>25</sup>
5.000		36.60	06/19/20	47.75	11.15 W	0.00	292 of 7291 - Sale <sup>25</sup>
7.000		51.24	06/19/20	67.13	15.89 W	0.00	293 of 7291 - Sale <sup>25</sup>
8.000		58.56	06/19/20	77.09	18.53 W	0.00	294 of 7291 - Sale <sup>25</sup>
8.000		58.55	06/19/20	76.40	17.85 W	0.00	295 of 7291 - Sale <sup>25</sup>
11.000		80.51	06/19/20	105.07	24.56 W	0.00	296 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	10.45	3.13 W	0.00	297 of 7291 - Sale <sup>25</sup>
1.000		7.32	06/19/20	10.44	3.12 W	0.00	298 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.64	06/19/20	20.85	6.21 W	0.00	299 of 7291 - Sale <sup>25</sup>
	10.000	73.19	06/19/20	104.49	31.30 W	0.00	300 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	62.49	18.57 W	0.00	301 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.37	3.05 W	0.00	302 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.36	3.04 W	0.00	303 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.40	3.08 W	0.00	304 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.36	3.04 W	0.00	305 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.36	3.04 W	0.00	306 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.35	3.03 W	0.00	307 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.07	2.75 W	0.00	308 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	10.02	2.70 W	0.00	309 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.83	2.51 W	0.00	310 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.85	2.53 W	0.00	311 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.85	2.53 W	0.00	312 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.91	2.59 W	0.00	313 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.88	2.56 W	0.00	314 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.92	2.60 W	0.00	315 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.54	2.22 W	0.00	316 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.53	2.21 W	0.00	317 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.51	2.19 W	0.00	318 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.46	2.14 W	0.00	319 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.76	6.12 W	0.00	320 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.72	6.08 W	0.00	321 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.63	5.99 W	0.00	322 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	20.09	5.45 W	0.00	323 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.97	5.33 W	0.00	324 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.13	9.17 W	0.00	325 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.08	9.12 W	0.00	326 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	31.07	9.11 W	0.00	327 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	30.27	8.31 W	0.00	328 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.96	8.00 W	0.00	329 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.95	7.99 W	0.00	330 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	29.33	7.37 W	0.00	331 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	41.33	12.05 W	0.00	332 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.28	06/19/20	41.33	12.05 W	0.00	333 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	41.36	12.08 W	0.00	334 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	38.01	8.73 W	0.00	335 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	52.25	15.65 W	0.00	336 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	51.70	15.10 W	0.00	337 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	50.46	13.86 W	0.00	338 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	47.53	10.93 W	0.00	339 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	47.52	10.92 W	0.00	340 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	47.45	10.85 W	0.00	341 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	62.31	18.39 W	0.00	342 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	62.29	18.37 W	0.00	343 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	62.04	18.12 W	0.00	344 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	62.42	18.50 W	0.00	345 of 7291 - Sale <sup>25</sup>
	7.000	51.24	06/19/20	73.12	21.88 W	0.00	346 of 7291 - Sale <sup>25</sup>
	7.000	51.24	06/19/20	72.65	21.41 W	0.00	347 of 7291 - Sale <sup>25</sup>
	7.000	51.24	06/19/20	72.50	21.26 W	0.00	348 of 7291 - Sale <sup>25</sup>
	7.000	51.24	06/19/20	72.54	21.30 W	0.00	349 of 7291 - Sale <sup>25</sup>
	7.000	51.24	06/19/20	69.43	18.19 W	0.00	350 of 7291 - Sale <sup>25</sup>
	8.000	58.56	06/19/20	82.54	23.98 W	0.00	351 of 7291 - Sale <sup>25</sup>
	9.000	65.87	06/19/20	90.03	24.16 W	0.00	352 of 7291 - Sale <sup>25</sup>
	10.000	73.20	06/19/20	104.54	31.34 W	0.00	353 of 7291 - Sale <sup>25</sup>
	10.000	73.20	06/19/20	103.65	30.45 W	0.00	354 of 7291 - Sale <sup>25</sup>
	6.000	43.92	06/19/20	62.49	18.57 W	0.00	355 of 7291 - Sale <sup>25</sup>
	19.000	139.07	06/19/20	189.91	50.84 W	0.00	356 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	38.77	9.49 W	0.00	357 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.53	2.21 W	0.00	358 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.51	2.19 W	0.00	359 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.40	2.08 W	0.00	360 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.50	2.18 W	0.00	361 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.55	2.23 W	0.00	362 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.54	2.22 W	0.00	363 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.55	2.23 W	0.00	364 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.51	2.19 W	0.00	365 of 7291 - Sale <sup>25</sup>
	1.000	7.32	06/19/20	9.55	2.23 W	0.00	366 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.32	06/19/20	9.84	2.52 W	0.00	367 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	19.04	4.40 W	0.00	368 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.84	4.20 W	0.00	369 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.83	4.19 W	0.00	370 of 7291 - Sale <sup>25</sup>
	2.000	14.64	06/19/20	18.85	4.21 W	0.00	371 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.51	6.55 W	0.00	372 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.25	6.29 W	0.00	373 of 7291 - Sale <sup>25</sup>
	3.000	21.96	06/19/20	28.16	6.20 W	0.00	374 of 7291 - Sale <sup>25</sup>
	4.000	29.28	06/19/20	37.93	8.65 W	0.00	375 of 7291 - Sale <sup>25</sup>
	4.000	29.27	06/19/20	38.29	9.02 W	0.00	376 of 7291 - Sale <sup>25</sup>
	5.000	36.60	06/19/20	47.60	11.00 W	0.00	377 of 7291 - Sale <sup>25</sup>
	6.000	43.91	06/19/20	57.17	13.26 W	0.00	378 of 7291 - Sale <sup>25</sup>
	6.000	43.91	06/19/20	56.46	12.55 W	0.00	379 of 7291 - Sale <sup>25</sup>
	8.000	58.55	06/19/20	75.45	16.90 W	0.00	380 of 7291 - Sale <sup>25</sup>
	2.000	14.63	06/19/20	19.83	5.20 W	0.00	381 of 7291 - Sale <sup>25</sup>
	18.000	131.74	06/19/20	169.28	37.54 W	0.00	382 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.89	2.55 W	0.00	383 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.88	2.54 W	0.00	384 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.95	2.61 W	0.00	385 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.89	2.55 W	0.00	386 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.88	2.54 W	0.00	387 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.60	2.26 W	0.00	388 of 7291 - Sale <sup>25</sup>
	1.000	7.33	06/19/20	9.28	1.95 W	0.00	389 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.46	2.12 W	0.00	390 of 7291 - Sale <sup>25</sup>
	1.000	7.33	06/19/20	9.45	2.12 W	0.00	391 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.41	2.07 W	0.00	392 of 7291 - Sale <sup>25</sup>
	1.000	7.33	06/19/20	9.35	2.02 W	0.00	393 of 7291 - Sale <sup>25</sup>
	1.000	7.33	06/19/20	9.16	1.83 W	0.00	394 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	9.21	1.87 W	0.00	395 of 7291 - Sale <sup>25</sup>
	1.000	7.33	06/19/20	9.04	1.71 W	0.00	396 of 7291 - Sale <sup>25</sup>
	1.000	7.34	06/19/20	8.89	1.55 W	0.00	397 of 7291 - Sale <sup>25</sup>
	1.000	7.33	06/19/20	8.88	1.55 W	0.00	398 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	19.75	5.08 W	0.00	399 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	19.73	5.06 W	0.00	400 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.67	06/19/20	19.73	5.06 W	0.00	401 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	19.75	5.08 W	0.00	402 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	19.74	5.07 W	0.00	403 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	19.68	5.01 W	0.00	404 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	19.41	4.74 W	0.00	405 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	18.52	3.85 W	0.00	406 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	18.49	3.82 W	0.00	407 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	18.42	3.75 W	0.00	408 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	18.76	4.09 W	0.00	409 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	18.39	3.72 W	0.00	410 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	17.94	3.27 W	0.00	411 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	17.72	3.05 W	0.00	412 of 7291 - Sale <sup>25</sup>
	2.000	14.67	06/19/20	17.76	3.09 W	0.00	413 of 7291 - Sale <sup>25</sup>
	3.000	22.01	06/19/20	29.64	7.63 W	0.00	414 of 7291 - Sale <sup>25</sup>
	3.000	22.00	06/19/20	28.14	6.14 W	0.00	415 of 7291 - Sale <sup>25</sup>
	3.000	22.01	06/19/20	28.13	6.12 W	0.00	416 of 7291 - Sale <sup>25</sup>
	3.000	22.01	06/19/20	27.91	5.90 W	0.00	417 of 7291 - Sale <sup>25</sup>
	3.000	22.00	06/19/20	27.74	5.74 W	0.00	418 of 7291 - Sale <sup>25</sup>
	3.000	22.01	06/19/20	27.67	5.66 W	0.00	419 of 7291 - Sale <sup>25</sup>
	3.000	22.00	06/19/20	27.91	5.91 W	0.00	420 of 7291 - Sale <sup>25</sup>
	3.000	22.00	06/19/20	27.13	5.13 W	0.00	421 of 7291 - Sale <sup>25</sup>
	3.000	22.01	06/19/20	27.06	5.05 W	0.00	422 of 7291 - Sale <sup>25</sup>
	3.000	22.00	06/19/20	26.85	4.85 W	0.00	423 of 7291 - Sale <sup>25</sup>
	4.000	29.34	06/19/20	36.93	7.59 W	0.00	424 of 7291 - Sale <sup>25</sup>
	5.000	36.68	06/19/20	49.40	12.72 W	0.00	425 of 7291 - Sale <sup>25</sup>
	5.000	36.68	06/19/20	49.42	12.74 W	0.00	426 of 7291 - Sale <sup>25</sup>
	5.000	36.68	06/19/20	49.27	12.59 W	0.00	427 of 7291 - Sale <sup>25</sup>
	5.000	36.68	06/19/20	46.30	9.62 W	0.00	428 of 7291 - Sale <sup>25</sup>
	5.000	36.67	06/19/20	46.50	9.83 W	0.00	429 of 7291 - Sale <sup>25</sup>
	5.000	36.68	06/19/20	45.96	9.28 W	0.00	430 of 7291 - Sale <sup>25</sup>
	5.000	36.67	06/19/20	45.92	9.25 W	0.00	431 of 7291 - Sale <sup>25</sup>
	5.000	36.68	06/19/20	46.24	9.56 W	0.00	432 of 7291 - Sale <sup>25</sup>
	5.000	36.68	06/19/20	45.19	8.51 W	0.00	433 of 7291 - Sale <sup>25</sup>
	6.000	44.01	06/19/20	59.29	15.28 W	0.00	434 of 7291 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	44.01	06/19/20	56.08	12.07 W	0.00	435 of 7291 - Sale <sup>25</sup>
	6.000	44.01	06/19/20	55.43	11.42 W	0.00	436 of 7291 - Sale <sup>25</sup>
	7.000	51.35	06/19/20	65.33	13.98 W	0.00	437 of 7291 - Sale <sup>25</sup>
	8.000	58.68	06/19/20	78.40	19.72 W	0.00	438 of 7291 - Sale <sup>25</sup>
	8.000	58.68	06/19/20	72.36	13.68 W	0.00	439 of 7291 - Sale <sup>25</sup>
	9.000	66.02	06/19/20	89.02	23.00 W	0.00	440 of 7291 - Sale <sup>25</sup>
	9.000	66.02	06/19/20	89.23	23.21 W	0.00	441 of 7291 - Sale <sup>25</sup>
	16.000	117.36	06/19/20	157.61	40.25 W	0.00	442 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.87	1.49 W	0.00	443 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.68	1.30 W	0.00	444 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.70	1.32 W	0.00	445 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.70	1.32 W	0.00	446 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.70	1.32 W	0.00	447 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.72	1.34 W	0.00	448 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.72	1.34 W	0.00	449 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.71	1.33 W	0.00	450 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.67	1.29 W	0.00	451 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.70	1.32 W	0.00	452 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.70	1.32 W	0.00	453 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.73	1.35 W	0.00	454 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.81	1.43 W	0.00	455 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.80	1.42 W	0.00	456 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.30	2.54 W	0.00	457 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.40	2.64 W	0.00	458 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.40	2.64 W	0.00	459 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.30	2.54 W	0.00	460 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.42	2.66 W	0.00	461 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.44	2.68 W	0.00	462 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.36	2.60 W	0.00	463 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.40	2.64 W	0.00	464 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.46	2.70 W	0.00	465 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.46	2.70 W	0.00	466 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.64	2.88 W	0.00	467 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	26.12	3.98 W	0.00	468 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.14	06/19/20	26.15	4.01 W	0.00	469 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	26.18	4.04 W	0.00	470 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	34.80	5.28 W	0.00	471 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	34.47	4.95 W	0.00	472 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	34.75	5.23 W	0.00	473 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	43.59	6.69 W	0.00	474 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	43.51	6.61 W	0.00	475 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	43.61	6.71 W	0.00	476 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	51.89	7.61 W	0.00	477 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	52.72	8.44 W	0.00	478 of 7291 - Sale <sup>25</sup>
	9.000	66.42	06/19/20	78.56	12.14 W	0.00	479 of 7291 - Sale <sup>25</sup>
	10.000	73.80	06/19/20	86.15	12.35 W	0.00	480 of 7291 - Sale <sup>25</sup>
	13.000	95.93	06/19/20	112.91	16.98 W	0.00	481 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.20	4.44 W	0.00	482 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	28.96	6.82 W	0.00	483 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	48.42	11.52 W	0.00	484 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.78	1.40 W	0.00	485 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	8.75	1.37 W	0.00	486 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.03	1.65 W	0.00	487 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.18	1.80 W	0.00	488 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.18	1.80 W	0.00	489 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.18	1.80 W	0.00	490 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.18	1.80 W	0.00	491 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.18	1.80 W	0.00	492 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.15	1.77 W	0.00	493 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.21	1.83 W	0.00	494 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.57	2.81 W	0.00	495 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	17.51	2.75 W	0.00	496 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.07	3.31 W	0.00	497 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.37	3.61 W	0.00	498 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.36	3.60 W	0.00	499 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.42	3.66 W	0.00	500 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	26.36	4.22 W	0.00	501 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	26.36	4.22 W	0.00	502 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.14	06/19/20	26.93	4.79 W	0.00	503 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	35.11	5.59 W	0.00	504 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	36.12	6.60 W	0.00	505 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	35.90	6.38 W	0.00	506 of 7291 - Sale <sup>25</sup>
	4.000	29.51	06/19/20	35.93	6.42 W	0.00	507 of 7291 - Sale <sup>25</sup>
	4.000	29.51	06/19/20	36.61	7.10 W	0.00	508 of 7291 - Sale <sup>25</sup>
	11.000	81.16	06/19/20	101.22	20.06 W	0.00	509 of 7291 - Sale <sup>25</sup>
	12.000	88.55	06/19/20	105.46	16.91 W	0.00	510 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	18.46	3.68 W	0.00	511 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	18.58	3.80 W	0.00	512 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	28.56	6.39 W	0.00	513 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	36.80	7.24 W	0.00	514 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	46.08	9.13 W	0.00	515 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.25	2.86 W	0.00	516 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.23	2.84 W	0.00	517 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.24	2.85 W	0.00	518 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.20	2.81 W	0.00	519 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.21	2.82 W	0.00	520 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.19	2.80 W	0.00	521 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.57	5.79 W	0.00	522 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.61	5.83 W	0.00	523 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.47	5.69 W	0.00	524 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.47	5.69 W	0.00	525 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.59	8.42 W	0.00	526 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.92	8.75 W	0.00	527 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.79	8.62 W	0.00	528 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.80	8.63 W	0.00	529 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	41.20	11.64 W	0.00	530 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	40.88	11.32 W	0.00	531 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	50.98	14.03 W	0.00	532 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	51.49	14.54 W	0.00	533 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	51.13	14.18 W	0.00	534 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	50.86	13.91 W	0.00	535 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	61.51	17.17 W	0.00	536 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	51.73	06/19/20	71.30	19.57 W	0.00	537 of 7291 - Sale <sup>25</sup>
	10.000	73.90	06/19/20	102.34	28.44 W	0.00	538 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.75	2.36 W	0.00	539 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.75	2.36 W	0.00	540 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.70	2.31 W	0.00	541 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.71	2.32 W	0.00	542 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.75	2.36 W	0.00	543 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.70	2.31 W	0.00	544 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.69	2.30 W	0.00	545 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.02	2.63 W	0.00	546 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.34	2.95 W	0.00	547 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.37	2.98 W	0.00	548 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.43	3.04 W	0.00	549 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.54	4.76 W	0.00	550 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.52	4.74 W	0.00	551 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.41	4.63 W	0.00	552 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.52	4.74 W	0.00	553 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.52	4.74 W	0.00	554 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.42	4.64 W	0.00	555 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.05	5.27 W	0.00	556 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.37	5.59 W	0.00	557 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.72	5.94 W	0.00	558 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.71	5.93 W	0.00	559 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.73	5.95 W	0.00	560 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.65	5.87 W	0.00	561 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.78	6.00 W	0.00	562 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.79	6.01 W	0.00	563 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	28.95	6.78 W	0.00	564 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	29.29	7.12 W	0.00	565 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	29.28	7.11 W	0.00	566 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	29.23	7.06 W	0.00	567 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	29.11	6.94 W	0.00	568 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.02	8.85 W	0.00	569 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.22	9.05 W	0.00	570 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.56	06/19/20	39.06	9.50 W	0.00	571 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	39.04	9.48 W	0.00	572 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	40.11	10.55 W	0.00	573 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	41.30	11.74 W	0.00	574 of 7291 - Sale <sup>25</sup>
	4.000	29.55	06/19/20	41.59	12.04 W	0.00	575 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	48.87	11.92 W	0.00	576 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	51.76	14.81 W	0.00	577 of 7291 - Sale <sup>25</sup>
	5.000	36.94	06/19/20	52.06	15.12 W	0.00	578 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	41.62	12.06 W	0.00	579 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	58.57	14.23 W	0.00	580 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	61.92	17.58 W	0.00	581 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	62.40	18.06 W	0.00	582 of 7291 - Sale <sup>25</sup>
	7.000	51.73	06/19/20	68.33	16.60 W	0.00	583 of 7291 - Sale <sup>25</sup>
	7.000	51.72	06/19/20	72.19	20.47 W	0.00	584 of 7291 - Sale <sup>25</sup>
	7.000	51.72	06/19/20	72.76	21.04 W	0.00	585 of 7291 - Sale <sup>25</sup>
	8.000	59.12	06/19/20	78.15	19.03 W	0.00	586 of 7291 - Sale <sup>25</sup>
	8.000	59.11	06/19/20	82.84	23.73 W	0.00	587 of 7291 - Sale <sup>25</sup>
	8.000	59.11	06/19/20	82.72	23.61 W	0.00	588 of 7291 - Sale <sup>25</sup>
	11.000	81.28	06/19/20	114.56	33.28 W	0.00	589 of 7291 - Sale <sup>25</sup>
	16.000	118.23	06/19/20	166.67	48.44 W	0.00	590 of 7291 - Sale <sup>25</sup>
	18.000	133.01	06/19/20	175.70	42.69 W	0.00	591 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.23	2.84 W	0.00	592 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.24	2.85 W	0.00	593 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.18	2.79 W	0.00	594 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.50	3.11 W	0.00	595 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.12	2.73 W	0.00	596 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.91	3.52 W	0.00	597 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.92	3.53 W	0.00	598 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.89	3.50 W	0.00	599 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.37	5.59 W	0.00	600 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.70	6.92 W	0.00	601 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.59	8.42 W	0.00	602 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	43.39	13.83 W	0.00	603 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	43.34	13.78 W	0.00	604 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.95	06/19/20	51.02	14.07 W	0.00	605 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	51.04	14.09 W	0.00	606 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	54.72	17.77 W	0.00	607 of 7291 - Sale <sup>25</sup>
	6.000	44.33	06/19/20	62.25	17.92 W	0.00	608 of 7291 - Sale <sup>25</sup>
	6.000	44.33	06/19/20	65.80	21.47 W	0.00	609 of 7291 - Sale <sup>25</sup>
	7.000	51.72	06/19/20	71.47	19.75 W	0.00	610 of 7291 - Sale <sup>25</sup>
	8.000	59.11	06/19/20	86.73	27.62 W	0.00	611 of 7291 - Sale <sup>25</sup>
	9.000	66.50	06/19/20	91.10	24.60 W	0.00	612 of 7291 - Sale <sup>25</sup>
	10.000	73.89	06/19/20	108.42	34.53 W	0.00	613 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.34	2.95 W	0.00	614 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.70	5.92 W	0.00	615 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.68	5.90 W	0.00	616 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.44	9.27 W	0.00	617 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	41.35	11.79 W	0.00	618 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.40	3.01 W	0.00	619 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	52.02	15.07 W	0.00	620 of 7291 - Sale <sup>25</sup>
	8.000	59.12	06/19/20	83.19	24.07 W	0.00	621 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.55	2.16 W	0.00	622 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.53	2.14 W	0.00	623 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.53	2.14 W	0.00	624 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.43	2.04 W	0.00	625 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.91	2.52 W	0.00	626 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.80	2.41 W	0.00	627 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.79	2.40 W	0.00	628 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	9.80	2.41 W	0.00	629 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.05	2.66 W	0.00	630 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.08	2.69 W	0.00	631 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.08	2.69 W	0.00	632 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.08	2.69 W	0.00	633 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.07	2.68 W	0.00	634 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.12	4.34 W	0.00	635 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.12	4.34 W	0.00	636 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	19.07	4.29 W	0.00	637 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	18.90	4.12 W	0.00	638 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.78	06/19/20	19.52	4.74 W	0.00	639 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.11	5.33 W	0.00	640 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.13	5.35 W	0.00	641 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.12	5.34 W	0.00	642 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.09	5.31 W	0.00	643 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	28.68	6.51 W	0.00	644 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	28.68	6.51 W	0.00	645 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	28.65	6.48 W	0.00	646 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	28.66	6.49 W	0.00	647 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	29.39	7.22 W	0.00	648 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	29.41	7.24 W	0.00	649 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.23	8.06 W	0.00	650 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.25	8.08 W	0.00	651 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	30.24	8.07 W	0.00	652 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	39.10	9.54 W	0.00	653 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	39.22	9.66 W	0.00	654 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	40.47	10.91 W	0.00	655 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	40.35	10.79 W	0.00	656 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	49.06	12.11 W	0.00	657 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	48.91	11.96 W	0.00	658 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	50.20	13.25 W	0.00	659 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	50.42	13.47 W	0.00	660 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	58.91	14.57 W	0.00	661 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	58.64	14.30 W	0.00	662 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	60.62	16.28 W	0.00	663 of 7291 - Sale <sup>25</sup>
	7.000	51.73	06/19/20	68.67	16.94 W	0.00	664 of 7291 - Sale <sup>25</sup>
	7.000	51.73	06/19/20	70.42	18.69 W	0.00	665 of 7291 - Sale <sup>25</sup>
	7.000	51.72	06/19/20	70.60	18.88 W	0.00	666 of 7291 - Sale <sup>25</sup>
	8.000	59.12	06/19/20	78.51	19.39 W	0.00	667 of 7291 - Sale <sup>25</sup>
	9.000	66.51	06/19/20	88.16	21.65 W	0.00	668 of 7291 - Sale <sup>25</sup>
	9.000	66.51	06/19/20	89.16	22.65 W	0.00	669 of 7291 - Sale <sup>25</sup>
	10.000	73.90	06/19/20	97.86	23.96 W	0.00	670 of 7291 - Sale <sup>25</sup>
	10.000	73.89	06/19/20	100.83	26.94 W	0.00	671 of 7291 - Sale <sup>25</sup>
	11.000	81.28	06/19/20	110.79	29.51 W	0.00	672 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.39	06/19/20	10.86	3.47 W	0.00	673 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.84	3.45 W	0.00	674 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.85	3.46 W	0.00	675 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.83	3.44 W	0.00	676 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.85	3.46 W	0.00	677 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.85	3.46 W	0.00	678 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.85	3.46 W	0.00	679 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.83	3.44 W	0.00	680 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.86	3.47 W	0.00	681 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.78	3.39 W	0.00	682 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.78	3.39 W	0.00	683 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.78	3.39 W	0.00	684 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.58	3.19 W	0.00	685 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.65	3.26 W	0.00	686 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.73	3.34 W	0.00	687 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.71	3.32 W	0.00	688 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.64	3.25 W	0.00	689 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.59	6.81 W	0.00	690 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.68	6.90 W	0.00	691 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.66	6.88 W	0.00	692 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.77	6.99 W	0.00	693 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.29	6.51 W	0.00	694 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.24	6.46 W	0.00	695 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	32.52	10.35 W	0.00	696 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	32.53	10.36 W	0.00	697 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	32.55	10.38 W	0.00	698 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	32.54	10.37 W	0.00	699 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.92	9.75 W	0.00	700 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.96	9.79 W	0.00	701 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	32.18	10.01 W	0.00	702 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.75	9.58 W	0.00	703 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.93	9.76 W	0.00	704 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	43.44	13.88 W	0.00	705 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	43.39	13.83 W	0.00	706 of 7291 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.56	06/19/20	43.38	13.82 W	0.00	707 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	43.55	13.99 W	0.00	708 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	42.88	13.32 W	0.00	709 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	42.83	13.27 W	0.00	710 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	54.20	17.25 W	0.00	711 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	53.26	16.31 W	0.00	712 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/19/20	31.88	9.71 W	0.00	713 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	65.20	20.86 W	0.00	714 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	65.32	20.98 W	0.00	715 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	63.77	19.43 W	0.00	716 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	63.90	19.56 W	0.00	717 of 7291 - Sale <sup>25</sup>
	6.000	44.33	06/19/20	64.35	20.02 W	0.00	718 of 7291 - Sale <sup>25</sup>
	7.000	51.73	06/19/20	75.88	24.15 W	0.00	719 of 7291 - Sale <sup>25</sup>
	7.000	51.72	06/19/20	75.00	23.28 W	0.00	720 of 7291 - Sale <sup>25</sup>
	8.000	59.12	06/19/20	86.41	27.29 W	0.00	721 of 7291 - Sale <sup>25</sup>
	8.000	59.12	06/19/20	86.35	27.23 W	0.00	722 of 7291 - Sale <sup>25</sup>
	8.000	59.12	06/19/20	86.35	27.23 W	0.00	723 of 7291 - Sale <sup>25</sup>
	9.000	66.51	06/19/20	97.36	30.85 W	0.00	724 of 7291 - Sale <sup>25</sup>
	9.000	66.50	06/19/20	95.84	29.34 W	0.00	725 of 7291 - Sale <sup>25</sup>
	10.000	73.89	06/19/20	106.83	32.94 W	0.00	726 of 7291 - Sale <sup>25</sup>
	11.000	81.27	06/19/20	116.97	35.70 W	0.00	727 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.62	3.23 W	0.00	728 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.54	3.15 W	0.00	729 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.54	3.15 W	0.00	730 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.54	3.15 W	0.00	731 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.72	3.33 W	0.00	732 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.72	3.33 W	0.00	733 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.60	3.21 W	0.00	734 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.61	3.22 W	0.00	735 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.51	3.12 W	0.00	736 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.51	3.12 W	0.00	737 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/19/20	10.45	3.06 W	0.00	738 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.05	6.27 W	0.00	739 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	20.99	6.21 W	0.00	740 of 7291 - Sale <sup>25</sup>

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(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.17	06/19/20	31.54	9.37 W	0.00	741 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/19/20	42.86	13.30 W	0.00	742 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/19/20	21.25	6.47 W	0.00	743 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/19/20	53.58	16.63 W	0.00	744 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/19/20	62.88	18.54 W	0.00	745 of 7291 - Sale <sup>25</sup>
	7.000	51.73	06/19/20	73.91	22.18 W	0.00	746 of 7291 - Sale <sup>25</sup>
	8.000	59.12	06/19/20	84.04	24.92 W	0.00	747 of 7291 - Sale <sup>25</sup>
	10.000	73.90	06/19/20	104.72	30.82 W	0.00	748 of 7291 - Sale <sup>25</sup>
	12.000	88.67	06/19/20	125.91	37.24 W	0.00	749 of 7291 - Sale <sup>25</sup>
	13.000	96.06	06/19/20	136.74	40.68 W	0.00	750 of 7291 - Sale <sup>25</sup>
	15.000	110.84	06/19/20	157.26	46.42 W	0.00	751 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.52	3.14 W	0.00	752 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.49	3.11 W	0.00	753 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.02	2.64 W	0.00	754 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.02	2.64 W	0.00	755 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.05	2.67 W	0.00	756 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.04	2.66 W	0.00	757 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.10	2.72 W	0.00	758 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.96	2.58 W	0.00	759 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.02	2.64 W	0.00	760 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.84	2.46 W	0.00	761 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.86	2.48 W	0.00	762 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.88	2.50 W	0.00	763 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.96	6.20 W	0.00	764 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.03	5.27 W	0.00	765 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.03	5.27 W	0.00	766 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.63	4.87 W	0.00	767 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.70	4.94 W	0.00	768 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.77	5.01 W	0.00	769 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.71	4.95 W	0.00	770 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.72	4.96 W	0.00	771 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	31.52	9.38 W	0.00	772 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	30.23	8.09 W	0.00	773 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	30.23	8.09 W	0.00	774 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.14	06/19/20	29.86	7.72 W	0.00	775 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	40.19	10.67 W	0.00	776 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	40.29	10.77 W	0.00	777 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	39.24	9.72 W	0.00	778 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	49.41	12.51 W	0.00	779 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	60.45	16.17 W	0.00	780 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	59.64	15.36 W	0.00	781 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	59.63	15.35 W	0.00	782 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	80.60	21.56 W	0.00	783 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	80.23	21.19 W	0.00	784 of 7291 - Sale <sup>25</sup>
	12.000	88.56	06/19/20	120.34	31.78 W	0.00	785 of 7291 - Sale <sup>25</sup>
	13.000	95.94	06/19/20	131.28	35.34 W	0.00	786 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.84	2.46 W	0.00	787 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.87	2.49 W	0.00	788 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.85	2.47 W	0.00	789 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.84	2.46 W	0.00	790 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.87	2.49 W	0.00	791 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.97	2.59 W	0.00	792 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.00	2.62 W	0.00	793 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.00	2.62 W	0.00	794 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.96	2.58 W	0.00	795 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.96	2.58 W	0.00	796 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.01	2.63 W	0.00	797 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.96	2.58 W	0.00	798 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.16	2.78 W	0.00	799 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.15	2.77 W	0.00	800 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.71	4.95 W	0.00	801 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.48	4.72 W	0.00	802 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.28	5.52 W	0.00	803 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.40	5.64 W	0.00	804 of 7291 - Sale <sup>25</sup>
	2.000	14.75	06/19/20	20.32	5.57 W	0.00	805 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.10	5.34 W	0.00	806 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.53	7.39 W	0.00	807 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.97	7.83 W	0.00	808 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.75	06/19/20	20.07	5.32 W	0.00	809 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	39.35	9.83 W	0.00	810 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	39.81	10.29 W	0.00	811 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	49.22	12.32 W	0.00	812 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	59.80	15.52 W	0.00	813 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	78.35	19.31 W	0.00	814 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	79.75	20.71 W	0.00	815 of 7291 - Sale <sup>25</sup>
	12.000	88.55	06/19/20	117.54	28.99 W	0.00	816 of 7291 - Sale <sup>25</sup>
	12.000	88.55	06/19/20	118.25	29.70 W	0.00	817 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.03	2.65 W	0.00	818 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.04	2.66 W	0.00	819 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.96	2.58 W	0.00	820 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.90	5.14 W	0.00	821 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.03	2.65 W	0.00	822 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.80	7.66 W	0.00	823 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.86	7.72 W	0.00	824 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.89	7.75 W	0.00	825 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	40.28	10.76 W	0.00	826 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	50.33	13.43 W	0.00	827 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	59.73	15.45 W	0.00	828 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	59.76	15.48 W	0.00	829 of 7291 - Sale <sup>25</sup>
	10.000	73.80	06/19/20	99.40	25.60 W	0.00	830 of 7291 - Sale <sup>25</sup>
	11.000	81.18	06/19/20	110.81	29.63 W	0.00	831 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.69	2.31 W	0.00	832 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.72	2.34 W	0.00	833 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.66	2.28 W	0.00	834 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.67	2.29 W	0.00	835 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.72	2.34 W	0.00	836 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.67	2.29 W	0.00	837 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.61	2.23 W	0.00	838 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.66	2.28 W	0.00	839 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.64	2.26 W	0.00	840 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.64	2.26 W	0.00	841 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.63	2.25 W	0.00	842 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.38	06/19/20	9.60	2.22 W	0.00	843 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.61	2.23 W	0.00	844 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.62	2.24 W	0.00	845 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.62	2.24 W	0.00	846 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.89	5.13 W	0.00	847 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.42	4.66 W	0.00	848 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.16	4.40 W	0.00	849 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	30.10	7.96 W	0.00	850 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.02	6.88 W	0.00	851 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.04	6.90 W	0.00	852 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.07	6.93 W	0.00	853 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	28.82	6.68 W	0.00	854 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	28.83	6.69 W	0.00	855 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	28.84	6.70 W	0.00	856 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	28.93	6.79 W	0.00	857 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	28.86	6.72 W	0.00	858 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	38.59	9.07 W	0.00	859 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	47.85	10.95 W	0.00	860 of 7291 - Sale <sup>25</sup>
	7.000	51.66	06/19/20	67.27	15.61 W	0.00	861 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	77.25	18.21 W	0.00	862 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	76.57	17.53 W	0.00	863 of 7291 - Sale <sup>25</sup>
	11.000	81.18	06/19/20	110.35	29.17 W	0.00	864 of 7291 - Sale <sup>25</sup>
	11.000	81.18	06/19/20	105.30	24.12 W	0.00	865 of 7291 - Sale <sup>25</sup>
	12.000	88.56	06/19/20	120.03	31.47 W	0.00	866 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.47	3.09 W	0.00	867 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.46	3.08 W	0.00	868 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.39	3.01 W	0.00	869 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.38	3.00 W	0.00	870 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.42	3.04 W	0.00	871 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.89	6.13 W	0.00	872 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.80	6.04 W	0.00	873 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	31.19	9.05 W	0.00	874 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	31.13	8.99 W	0.00	875 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	41.41	11.89 W	0.00	876 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.52	06/19/20	41.41	11.89 W	0.00	877 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	52.35	15.45 W	0.00	878 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	62.61	18.33 W	0.00	879 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	62.61	18.33 W	0.00	880 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	62.43	18.15 W	0.00	881 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	62.41	18.13 W	0.00	882 of 7291 - Sale <sup>25</sup>
	7.000	51.66	06/19/20	73.26	21.60 W	0.00	883 of 7291 - Sale <sup>25</sup>
	7.000	51.66	06/19/20	72.79	21.13 W	0.00	884 of 7291 - Sale <sup>25</sup>
	10.000	73.80	06/19/20	104.70	30.90 W	0.00	885 of 7291 - Sale <sup>25</sup>
	10.000	73.80	06/19/20	104.74	30.94 W	0.00	886 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.34	2.96 W	0.00	887 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.34	2.96 W	0.00	888 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.33	2.95 W	0.00	889 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.05	2.67 W	0.00	890 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	10.00	2.62 W	0.00	891 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.68	5.92 W	0.00	892 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.59	5.83 W	0.00	893 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	20.05	5.29 W	0.00	894 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	19.93	5.17 W	0.00	895 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	31.02	8.88 W	0.00	896 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	31.01	8.87 W	0.00	897 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	30.21	8.07 W	0.00	898 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	41.35	11.83 W	0.00	899 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	41.28	11.76 W	0.00	900 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	39.94	10.42 W	0.00	901 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	51.60	14.70 W	0.00	902 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	50.36	13.46 W	0.00	903 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	61.92	17.64 W	0.00	904 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	62.30	18.02 W	0.00	905 of 7291 - Sale <sup>25</sup>
	7.000	51.66	06/19/20	72.40	20.74 W	0.00	906 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	82.38	23.34 W	0.00	907 of 7291 - Sale <sup>25</sup>
	10.000	73.80	06/19/20	103.45	29.65 W	0.00	908 of 7291 - Sale <sup>25</sup>
	19.000	140.21	06/19/20	189.54	49.33 W	0.00	909 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	38.33	8.81 W	0.00	910 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.38	06/19/20	9.72	2.34 W	0.00	911 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.74	2.36 W	0.00	912 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.74	2.36 W	0.00	913 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.80	2.42 W	0.00	914 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.77	2.39 W	0.00	915 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.81	2.43 W	0.00	916 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.43	2.05 W	0.00	917 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.42	2.04 W	0.00	918 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.40	2.02 W	0.00	919 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.35	1.97 W	0.00	920 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.42	2.04 W	0.00	921 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.40	2.02 W	0.00	922 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.30	1.92 W	0.00	923 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.82	4.06 W	0.00	924 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.62	3.86 W	0.00	925 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.61	3.85 W	0.00	926 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/19/20	18.63	3.87 W	0.00	927 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.63	7.49 W	0.00	928 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.62	7.48 W	0.00	929 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	29.00	6.86 W	0.00	930 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	28.18	6.04 W	0.00	931 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/19/20	27.92	5.78 W	0.00	932 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	37.57	8.05 W	0.00	933 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	37.49	7.97 W	0.00	934 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	49.47	12.57 W	0.00	935 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	46.98	10.08 W	0.00	936 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	46.97	10.07 W	0.00	937 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	46.90	10.00 W	0.00	938 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/19/20	47.05	10.15 W	0.00	939 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	56.52	12.24 W	0.00	940 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/19/20	55.81	11.53 W	0.00	941 of 7291 - Sale <sup>25</sup>
	7.000	51.66	06/19/20	68.66	17.00 W	0.00	942 of 7291 - Sale <sup>25</sup>
	8.000	59.04	06/19/20	74.58	15.54 W	0.00	943 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.31	1.93 W	0.00	944 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.76	06/19/20	18.59	3.83 W	0.00	945 of 7291 - Sale <sup>25</sup>
	17.000	125.45	06/19/20	158.36	32.91 W	0.00	946 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.28	1.90 W	0.00	947 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.39	2.01 W	0.00	948 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.44	2.06 W	0.00	949 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.43	2.05 W	0.00	950 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.44	2.06 W	0.00	951 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.40	2.02 W	0.00	952 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.44	2.06 W	0.00	953 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.74	2.36 W	0.00	954 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/19/20	9.81	2.43 W	0.00	955 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/19/20	37.86	8.34 W	0.00	956 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.66	2.28 W	0.00	957 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.95	2.57 W	0.00	958 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.94	2.56 W	0.00	959 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	10.01	2.63 W	0.00	960 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.95	2.57 W	0.00	961 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.94	2.56 W	0.00	962 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.66	2.28 W	0.00	963 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.35	1.97 W	0.00	964 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.52	2.14 W	0.00	965 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.52	2.14 W	0.00	966 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	19.88	5.12 W	0.00	967 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	19.86	5.10 W	0.00	968 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	19.86	5.10 W	0.00	969 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	19.88	5.12 W	0.00	970 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	19.87	5.11 W	0.00	971 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	19.81	5.05 W	0.00	972 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	19.54	4.78 W	0.00	973 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	18.65	3.89 W	0.00	974 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	18.63	3.87 W	0.00	975 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	18.56	3.80 W	0.00	976 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	29.84	7.70 W	0.00	977 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/22/20	49.73	12.83 W	0.00	978 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.90	06/22/20	49.75	12.85 W	0.00	979 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/22/20	49.60	12.70 W	0.00	980 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/22/20	46.63	9.73 W	0.00	981 of 7291 - Sale <sup>25</sup>
	6.000	44.28	06/22/20	59.69	15.41 W	0.00	982 of 7291 - Sale <sup>25</sup>
	8.000	59.03	06/22/20	78.94	19.91 W	0.00	983 of 7291 - Sale <sup>25</sup>
	9.000	66.42	06/22/20	89.82	23.40 W	0.00	984 of 7291 - Sale <sup>25</sup>
	9.000	66.41	06/22/20	89.61	23.20 W	0.00	985 of 7291 - Sale <sup>25</sup>
	16.000	118.07	06/22/20	158.68	40.61 W	0.00	986 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.47	2.09 W	0.00	987 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.42	2.04 W	0.00	988 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.23	1.85 W	0.00	989 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.27	1.89 W	0.00	990 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	9.11	1.73 W	0.00	991 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	8.95	1.57 W	0.00	992 of 7291 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	8.95	1.57 W	0.00	993 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	18.88	4.12 W	0.00	994 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	18.51	3.75 W	0.00	995 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	18.06	3.30 W	0.00	996 of 7291 - Sale <sup>25</sup>
	2.000	14.76	06/22/20	17.85	3.09 W	0.00	997 of 7291 - Sale <sup>25</sup>
	2.000	14.75	06/22/20	17.88	3.13 W	0.00	998 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	28.33	6.19 W	0.00	999 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	28.31	6.17 W	0.00	1000 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	28.09	5.95 W	0.00	1001 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	27.93	5.79 W	0.00	1002 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	27.85	5.71 W	0.00	1003 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	28.10	5.96 W	0.00	1004 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	27.32	5.18 W	0.00	1005 of 7291 - Sale <sup>25</sup>
	3.000	22.14	06/22/20	27.24	5.10 W	0.00	1006 of 7291 - Sale <sup>25</sup>
	3.000	22.13	06/22/20	27.04	4.91 W	0.00	1007 of 7291 - Sale <sup>25</sup>
	4.000	29.52	06/22/20	37.18	7.66 W	0.00	1008 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/22/20	46.82	9.92 W	0.00	1009 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/22/20	46.27	9.37 W	0.00	1010 of 7291 - Sale <sup>25</sup>
	5.000	36.90	06/22/20	46.24	9.34 W	0.00	1011 of 7291 - Sale <sup>25</sup>
	5.000	36.89	06/22/20	46.55	9.66 W	0.00	1012 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.89	06/22/20	45.50	8.61 W	0.00	1013 of 7291 - Sale <sup>25</sup>
	6.000	44.27	06/22/20	56.45	12.18 W	0.00	1014 of 7291 - Sale <sup>25</sup>
	6.000	44.27	06/22/20	55.81	11.54 W	0.00	1015 of 7291 - Sale <sup>25</sup>
	7.000	51.65	06/22/20	65.76	14.11 W	0.00	1016 of 7291 - Sale <sup>25</sup>
	8.000	59.03	06/22/20	72.86	13.83 W	0.00	1017 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.87	1.47 W	0.00	1018 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.68	1.28 W	0.00	1019 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.70	1.30 W	0.00	1020 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.70	1.30 W	0.00	1021 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.70	1.30 W	0.00	1022 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.72	1.32 W	0.00	1023 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.72	1.32 W	0.00	1024 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.71	1.31 W	0.00	1025 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.67	1.27 W	0.00	1026 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.70	1.30 W	0.00	1027 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.70	1.30 W	0.00	1028 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.73	1.33 W	0.00	1029 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	8.73	1.33 W	0.00	1030 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.30	2.50 W	0.00	1031 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.40	2.60 W	0.00	1032 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.40	2.60 W	0.00	1033 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.30	2.50 W	0.00	1034 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.42	2.62 W	0.00	1035 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.44	2.64 W	0.00	1036 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.36	2.56 W	0.00	1037 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.40	2.60 W	0.00	1038 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	17.46	2.66 W	0.00	1039 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	26.12	3.92 W	0.00	1040 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	26.15	3.95 W	0.00	1041 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	26.18	3.98 W	0.00	1042 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	34.80	5.20 W	0.00	1043 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	34.47	4.87 W	0.00	1044 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	34.75	5.15 W	0.00	1045 of 7291 - Sale <sup>25</sup>
	5.000	37.00	06/22/20	43.59	6.59 W	0.00	1046 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	37.00	06/22/20	43.51	6.51 W	0.00	1047 of 7291 - Sale <sup>25</sup>
	6.000	44.40	06/22/20	51.89	7.49 W	0.00	1048 of 7291 - Sale <sup>25</sup>
	9.000	66.60	06/22/20	78.56	11.96 W	0.00	1049 of 7291 - Sale <sup>25</sup>
	10.000	74.00	06/22/20	86.15	12.15 W	0.00	1050 of 7291 - Sale <sup>25</sup>
	13.000	96.19	06/22/20	112.92	16.73 W	0.00	1051 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.24	2.84 W	0.00	1052 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.22	2.82 W	0.00	1053 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.23	2.83 W	0.00	1054 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.19	2.79 W	0.00	1055 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.20	2.80 W	0.00	1056 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.18	2.78 W	0.00	1057 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.55	5.75 W	0.00	1058 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.59	5.79 W	0.00	1059 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.45	5.65 W	0.00	1060 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.45	5.65 W	0.00	1061 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	30.56	8.36 W	0.00	1062 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	30.89	8.69 W	0.00	1063 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	30.76	8.56 W	0.00	1064 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	30.77	8.57 W	0.00	1065 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	41.16	11.56 W	0.00	1066 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	40.84	11.24 W	0.00	1067 of 7291 - Sale <sup>25</sup>
	5.000	37.00	06/22/20	50.93	13.93 W	0.00	1068 of 7291 - Sale <sup>25</sup>
	5.000	37.00	06/22/20	51.44	14.44 W	0.00	1069 of 7291 - Sale <sup>25</sup>
	5.000	37.00	06/22/20	51.08	14.08 W	0.00	1070 of 7291 - Sale <sup>25</sup>
	5.000	36.99	06/22/20	50.81	13.82 W	0.00	1071 of 7291 - Sale <sup>25</sup>
	6.000	44.40	06/22/20	61.45	17.05 W	0.00	1072 of 7291 - Sale <sup>25</sup>
	7.000	51.79	06/22/20	71.23	19.44 W	0.00	1073 of 7291 - Sale <sup>25</sup>
	10.000	73.99	06/22/20	102.24	28.25 W	0.00	1074 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.74	2.34 W	0.00	1075 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.76	2.36 W	0.00	1076 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	28.92	6.72 W	0.00	1077 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	29.26	7.06 W	0.00	1078 of 7291 - Sale <sup>25</sup>
	3.000	22.19	06/22/20	29.25	7.06 W	0.00	1079 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	39.02	9.42 W	0.00	1080 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	59.19	06/22/20	78.07	18.88 W	0.00	1081 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.74	2.34 W	0.00	1082 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.69	2.29 W	0.00	1083 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.70	2.30 W	0.00	1084 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.74	2.34 W	0.00	1085 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.69	2.29 W	0.00	1086 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.68	2.28 W	0.00	1087 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.01	2.61 W	0.00	1088 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.33	2.93 W	0.00	1089 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	10.36	2.96 W	0.00	1090 of 7291 - Sale <sup>25</sup>
	1.000	7.40	06/22/20	9.76	2.36 W	0.00	1091 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	19.50	4.70 W	0.00	1092 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	19.39	4.59 W	0.00	1093 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	19.50	4.70 W	0.00	1094 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	19.50	4.70 W	0.00	1095 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	19.40	4.60 W	0.00	1096 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.03	5.23 W	0.00	1097 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.35	5.55 W	0.00	1098 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.70	5.90 W	0.00	1099 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.69	5.89 W	0.00	1100 of 7291 - Sale <sup>25</sup>
	2.000	14.80	06/22/20	20.71	5.91 W	0.00	1101 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	29.20	7.00 W	0.00	1102 of 7291 - Sale <sup>25</sup>
	3.000	22.20	06/22/20	29.08	6.88 W	0.00	1103 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	39.00	9.40 W	0.00	1104 of 7291 - Sale <sup>25</sup>
	4.000	29.60	06/22/20	40.07	10.47 W	0.00	1105 of 7291 - Sale <sup>25</sup>
	5.000	37.00	06/22/20	48.82	11.82 W	0.00	1106 of 7291 - Sale <sup>25</sup>
	5.000	37.00	06/22/20	51.71	14.71 W	0.00	1107 of 7291 - Sale <sup>25</sup>
	6.000	44.40	06/22/20	58.51	14.11 W	0.00	1108 of 7291 - Sale <sup>25</sup>
	7.000	51.80	06/22/20	68.26	16.46 W	0.00	1109 of 7291 - Sale <sup>25</sup>
	8.000	59.19	06/22/20	82.77	23.58 W	0.00	1110 of 7291 - Sale <sup>25</sup>
	7.000	51.79	06/22/20	72.32	20.53 W	0.00	1111 of 7291 - Sale <sup>25</sup>
	18.000	133.19	06/22/20	175.53	42.34 W	0.00	1112 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	10.42	3.03 W	0.00	1113 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	20.63	5.85 W	0.00	1114 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.78	06/22/20	20.76	5.98 W	0.00	1115 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	20.77	5.99 W	0.00	1116 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/22/20	30.99	8.82 W	0.00	1117 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/22/20	31.19	9.02 W	0.00	1118 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	41.26	11.70 W	0.00	1119 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	41.56	12.00 W	0.00	1120 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	41.58	12.02 W	0.00	1121 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/22/20	52.02	15.07 W	0.00	1122 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/22/20	61.86	17.52 W	0.00	1123 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/22/20	62.34	18.00 W	0.00	1124 of 7291 - Sale <sup>25</sup>
	7.000	51.73	06/22/20	72.13	20.40 W	0.00	1125 of 7291 - Sale <sup>25</sup>
	7.000	51.73	06/22/20	72.70	20.97 W	0.00	1126 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	10.33	2.94 W	0.00	1127 of 7291 - Sale <sup>25</sup>
	11.000	81.29	06/22/20	114.46	33.17 W	0.00	1128 of 7291 - Sale <sup>25</sup>
	16.000	118.23	06/22/20	166.52	48.29 W	0.00	1129 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	19.20	4.42 W	0.00	1130 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/22/20	28.96	6.79 W	0.00	1131 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/22/20	48.42	11.47 W	0.00	1132 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	8.73	1.34 W	0.00	1133 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	8.81	1.42 W	0.00	1134 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	8.80	1.41 W	0.00	1135 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	8.78	1.39 W	0.00	1136 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	8.75	1.36 W	0.00	1137 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.03	1.64 W	0.00	1138 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.18	1.79 W	0.00	1139 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.18	1.79 W	0.00	1140 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.18	1.79 W	0.00	1141 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.18	1.79 W	0.00	1142 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.18	1.79 W	0.00	1143 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.15	1.76 W	0.00	1144 of 7291 - Sale <sup>25</sup>
	1.000	7.39	06/22/20	9.21	1.82 W	0.00	1145 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	17.64	2.86 W	0.00	1146 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	17.57	2.79 W	0.00	1147 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	17.51	2.73 W	0.00	1148 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.78	06/22/20	18.07	3.29 W	0.00	1149 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	18.37	3.59 W	0.00	1150 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	18.36	3.58 W	0.00	1151 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	18.42	3.64 W	0.00	1152 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	18.44	3.66 W	0.00	1153 of 7291 - Sale <sup>25</sup>
	2.000	14.78	06/22/20	18.56	3.78 W	0.00	1154 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/22/20	26.36	4.19 W	0.00	1155 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/22/20	26.36	4.19 W	0.00	1156 of 7291 - Sale <sup>25</sup>
	3.000	22.17	06/22/20	26.93	4.76 W	0.00	1157 of 7291 - Sale <sup>25</sup>
	3.000	22.16	06/22/20	28.53	6.37 W	0.00	1158 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	35.11	5.55 W	0.00	1159 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	36.12	6.56 W	0.00	1160 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	35.90	6.34 W	0.00	1161 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	35.94	6.38 W	0.00	1162 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	36.62	7.06 W	0.00	1163 of 7291 - Sale <sup>25</sup>
	4.000	29.56	06/22/20	36.76	7.20 W	0.00	1164 of 7291 - Sale <sup>25</sup>
	5.000	36.95	06/22/20	43.61	6.66 W	0.00	1165 of 7291 - Sale <sup>25</sup>
	5.000	36.94	06/22/20	46.03	9.09 W	0.00	1166 of 7291 - Sale <sup>25</sup>
	6.000	44.34	06/22/20	52.72	8.38 W	0.00	1167 of 7291 - Sale <sup>25</sup>
	11.000	81.27	06/22/20	101.24	19.97 W	0.00	1168 of 7291 - Sale <sup>25</sup>
	12.000	88.67	06/22/20	105.47	16.80 W	0.00	1169 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.34	2.82 W	0.00	1170 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.35	2.83 W	0.00	1171 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.29	2.77 W	0.00	1172 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.61	3.09 W	0.00	1173 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.23	2.71 W	0.00	1174 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	11.02	3.50 W	0.00	1175 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	11.03	3.51 W	0.00	1176 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	11.00	3.48 W	0.00	1177 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.97	3.45 W	0.00	1178 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.95	3.43 W	0.00	1179 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.96	3.44 W	0.00	1180 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.94	3.42 W	0.00	1181 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.96	3.44 W	0.00	1182 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.52	06/22/20	10.96	3.44 W	0.00	1183 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.96	3.44 W	0.00	1184 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.94	3.42 W	0.00	1185 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.97	3.45 W	0.00	1186 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.89	3.37 W	0.00	1187 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.89	3.37 W	0.00	1188 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.89	3.37 W	0.00	1189 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.69	3.17 W	0.00	1190 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.76	3.24 W	0.00	1191 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.84	3.32 W	0.00	1192 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.82	3.30 W	0.00	1193 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.75	3.23 W	0.00	1194 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.59	5.55 W	0.00	1195 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.92	6.88 W	0.00	1196 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.81	6.77 W	0.00	1197 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.90	6.86 W	0.00	1198 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.88	6.84 W	0.00	1199 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.99	6.95 W	0.00	1200 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.51	6.47 W	0.00	1201 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.46	6.42 W	0.00	1202 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.92	8.36 W	0.00	1203 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.85	10.29 W	0.00	1204 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.86	10.30 W	0.00	1205 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.88	10.32 W	0.00	1206 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.87	10.31 W	0.00	1207 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.25	9.69 W	0.00	1208 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.29	9.73 W	0.00	1209 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.51	9.95 W	0.00	1210 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.08	9.52 W	0.00	1211 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.26	9.70 W	0.00	1212 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.21	9.65 W	0.00	1213 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.83	13.75 W	0.00	1214 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.78	13.70 W	0.00	1215 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.88	13.80 W	0.00	1216 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.08	06/22/20	43.83	13.75 W	0.00	1217 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.82	13.74 W	0.00	1218 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.99	13.91 W	0.00	1219 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.32	13.24 W	0.00	1220 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.27	13.19 W	0.00	1221 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	51.57	13.97 W	0.00	1222 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	51.59	13.99 W	0.00	1223 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	55.27	17.67 W	0.00	1224 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	54.75	17.15 W	0.00	1225 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	53.81	16.21 W	0.00	1226 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	62.92	17.80 W	0.00	1227 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	66.47	21.35 W	0.00	1228 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	65.86	20.74 W	0.00	1229 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	65.98	20.86 W	0.00	1230 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	64.43	19.31 W	0.00	1231 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	64.56	19.44 W	0.00	1232 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	65.02	19.90 W	0.00	1233 of 7291 - Sale <sup>25</sup>
	7.000	52.64	06/22/20	72.25	19.61 W	0.00	1234 of 7291 - Sale <sup>25</sup>
	7.000	52.64	06/22/20	76.65	24.01 W	0.00	1235 of 7291 - Sale <sup>25</sup>
	7.000	52.64	06/22/20	75.77	23.13 W	0.00	1236 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	87.62	27.46 W	0.00	1237 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	87.29	27.13 W	0.00	1238 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	87.23	27.07 W	0.00	1239 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	87.23	27.07 W	0.00	1240 of 7291 - Sale <sup>25</sup>
	9.000	67.68	06/22/20	92.10	24.42 W	0.00	1241 of 7291 - Sale <sup>25</sup>
	9.000	67.68	06/22/20	98.35	30.67 W	0.00	1242 of 7291 - Sale <sup>25</sup>
	9.000	67.68	06/22/20	96.83	29.15 W	0.00	1243 of 7291 - Sale <sup>25</sup>
	10.000	75.20	06/22/20	109.53	34.33 W	0.00	1244 of 7291 - Sale <sup>25</sup>
	10.000	75.20	06/22/20	107.93	32.73 W	0.00	1245 of 7291 - Sale <sup>25</sup>
	11.000	82.72	06/22/20	118.18	35.46 W	0.00	1246 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.51	2.99 W	0.00	1247 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.45	2.93 W	0.00	1248 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.92	5.88 W	0.00	1249 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.90	5.86 W	0.00	1250 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.56	06/22/20	31.77	9.21 W	0.00	1251 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	41.79	11.71 W	0.00	1252 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	52.57	14.97 W	0.00	1253 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	84.07	23.91 W	0.00	1254 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.66	2.14 W	0.00	1255 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.64	2.12 W	0.00	1256 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.64	2.12 W	0.00	1257 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.54	2.02 W	0.00	1258 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.02	2.50 W	0.00	1259 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.91	2.39 W	0.00	1260 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.90	2.38 W	0.00	1261 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.91	2.39 W	0.00	1262 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.16	2.64 W	0.00	1263 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.19	2.67 W	0.00	1264 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.19	2.67 W	0.00	1265 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.19	2.67 W	0.00	1266 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.18	2.66 W	0.00	1267 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.34	4.30 W	0.00	1268 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.34	4.30 W	0.00	1269 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.29	4.25 W	0.00	1270 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.12	4.08 W	0.00	1271 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.74	4.70 W	0.00	1272 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.33	5.29 W	0.00	1273 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.35	5.31 W	0.00	1274 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.34	5.30 W	0.00	1275 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.31	5.27 W	0.00	1276 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.01	6.45 W	0.00	1277 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.01	6.45 W	0.00	1278 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	28.98	6.42 W	0.00	1279 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	28.99	6.43 W	0.00	1280 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.72	7.16 W	0.00	1281 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.74	7.18 W	0.00	1282 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.56	8.00 W	0.00	1283 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.58	8.02 W	0.00	1284 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.56	06/22/20	30.57	8.01 W	0.00	1285 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	39.54	9.46 W	0.00	1286 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	39.66	9.58 W	0.00	1287 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	40.91	10.83 W	0.00	1288 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	40.79	10.71 W	0.00	1289 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	49.61	12.01 W	0.00	1290 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	49.46	11.86 W	0.00	1291 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	50.75	13.15 W	0.00	1292 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	50.97	13.37 W	0.00	1293 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	59.57	14.45 W	0.00	1294 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	59.30	14.18 W	0.00	1295 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	61.28	16.16 W	0.00	1296 of 7291 - Sale <sup>25</sup>
	7.000	52.64	06/22/20	69.44	16.80 W	0.00	1297 of 7291 - Sale <sup>25</sup>
	7.000	52.64	06/22/20	71.19	18.55 W	0.00	1298 of 7291 - Sale <sup>25</sup>
	7.000	52.64	06/22/20	71.38	18.74 W	0.00	1299 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	79.39	19.23 W	0.00	1300 of 7291 - Sale <sup>25</sup>
	9.000	67.68	06/22/20	89.15	21.47 W	0.00	1301 of 7291 - Sale <sup>25</sup>
	9.000	67.68	06/22/20	90.15	22.47 W	0.00	1302 of 7291 - Sale <sup>25</sup>
	10.000	75.20	06/22/20	98.96	23.76 W	0.00	1303 of 7291 - Sale <sup>25</sup>
	10.000	75.19	06/22/20	101.94	26.75 W	0.00	1304 of 7291 - Sale <sup>25</sup>
	11.000	82.71	06/22/20	112.01	29.30 W	0.00	1305 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.78	3.26 W	0.00	1306 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.70	3.18 W	0.00	1307 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.70	3.18 W	0.00	1308 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.70	3.18 W	0.00	1309 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.88	3.36 W	0.00	1310 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.88	3.36 W	0.00	1311 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.76	3.24 W	0.00	1312 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.77	3.25 W	0.00	1313 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.67	3.15 W	0.00	1314 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.67	3.15 W	0.00	1315 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.61	3.09 W	0.00	1316 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.69	3.17 W	0.00	1317 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.66	3.14 W	0.00	1318 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.52	06/22/20	10.19	2.67 W	0.00	1319 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.19	2.67 W	0.00	1320 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.22	2.70 W	0.00	1321 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.21	2.69 W	0.00	1322 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.27	2.75 W	0.00	1323 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.13	2.61 W	0.00	1324 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.19	2.67 W	0.00	1325 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.01	2.49 W	0.00	1326 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.03	2.51 W	0.00	1327 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.05	2.53 W	0.00	1328 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.01	2.49 W	0.00	1329 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.04	2.52 W	0.00	1330 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.02	2.50 W	0.00	1331 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.01	2.49 W	0.00	1332 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.04	2.52 W	0.00	1333 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.14	2.62 W	0.00	1334 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.17	2.65 W	0.00	1335 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.17	2.65 W	0.00	1336 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.13	2.61 W	0.00	1337 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.13	2.61 W	0.00	1338 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.18	2.66 W	0.00	1339 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.13	2.61 W	0.00	1340 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.33	2.81 W	0.00	1341 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.32	2.80 W	0.00	1342 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.20	2.68 W	0.00	1343 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.20	2.68 W	0.00	1344 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.21	2.69 W	0.00	1345 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.13	2.61 W	0.00	1346 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.86	2.34 W	0.00	1347 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.89	2.37 W	0.00	1348 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.83	2.31 W	0.00	1349 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.84	2.32 W	0.00	1350 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.89	2.37 W	0.00	1351 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.84	2.32 W	0.00	1352 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.52	06/22/20	9.78	2.26 W	0.00	1353 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.83	2.31 W	0.00	1354 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.81	2.29 W	0.00	1355 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.81	2.29 W	0.00	1356 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.80	2.28 W	0.00	1357 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.77	2.25 W	0.00	1358 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.78	2.26 W	0.00	1359 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.79	2.27 W	0.00	1360 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	9.79	2.27 W	0.00	1361 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.57	6.53 W	0.00	1362 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.37	6.33 W	0.00	1363 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.31	6.27 W	0.00	1364 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.30	6.26 W	0.00	1365 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.37	5.33 W	0.00	1366 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.37	5.33 W	0.00	1367 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.97	4.93 W	0.00	1368 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.04	5.00 W	0.00	1369 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.11	5.07 W	0.00	1370 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.05	5.01 W	0.00	1371 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.06	5.02 W	0.00	1372 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.05	5.01 W	0.00	1373 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.82	4.78 W	0.00	1374 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.62	5.58 W	0.00	1375 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.74	5.70 W	0.00	1376 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.67	5.63 W	0.00	1377 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.44	5.40 W	0.00	1378 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.42	5.38 W	0.00	1379 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.24	5.20 W	0.00	1380 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	20.23	5.19 W	0.00	1381 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.76	4.72 W	0.00	1382 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	19.50	4.46 W	0.00	1383 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.02	9.46 W	0.00	1384 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	32.03	9.47 W	0.00	1385 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.74	8.18 W	0.00	1386 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.56	06/22/20	30.74	8.18 W	0.00	1387 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.37	7.81 W	0.00	1388 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.04	7.48 W	0.00	1389 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.48	7.92 W	0.00	1390 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.31	7.75 W	0.00	1391 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.37	7.81 W	0.00	1392 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.40	7.84 W	0.00	1393 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	30.61	8.05 W	0.00	1394 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.53	6.97 W	0.00	1395 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.55	6.99 W	0.00	1396 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.58	7.02 W	0.00	1397 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.33	6.77 W	0.00	1398 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.34	6.78 W	0.00	1399 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.35	6.79 W	0.00	1400 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.44	6.88 W	0.00	1401 of 7291 - Sale <sup>25</sup>
	3.000	22.56	06/22/20	29.37	6.81 W	0.00	1402 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	43.50	13.42 W	0.00	1403 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	40.87	10.79 W	0.00	1404 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	40.97	10.89 W	0.00	1405 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	39.92	9.84 W	0.00	1406 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	40.03	9.95 W	0.00	1407 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	40.49	10.41 W	0.00	1408 of 7291 - Sale <sup>25</sup>
	4.000	30.08	06/22/20	40.96	10.88 W	0.00	1409 of 7291 - Sale <sup>25</sup>
	4.000	30.07	06/22/20	39.27	9.20 W	0.00	1410 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	54.38	16.78 W	0.00	1411 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	50.26	12.66 W	0.00	1412 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	50.07	12.47 W	0.00	1413 of 7291 - Sale <sup>25</sup>
	5.000	37.60	06/22/20	51.18	13.58 W	0.00	1414 of 7291 - Sale <sup>25</sup>
	5.000	37.59	06/22/20	48.70	11.11 W	0.00	1415 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	63.84	18.72 W	0.00	1416 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	61.47	16.35 W	0.00	1417 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	60.66	15.54 W	0.00	1418 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	60.65	15.53 W	0.00	1419 of 7291 - Sale <sup>25</sup>
	6.000	45.12	06/22/20	60.82	15.70 W	0.00	1420 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	45.11	06/22/20	60.75	15.64 W	0.00	1421 of 7291 - Sale <sup>25</sup>
	6.000	45.11	06/22/20	60.78	15.67 W	0.00	1422 of 7291 - Sale <sup>25</sup>
	7.000	52.64	06/22/20	75.03	22.39 W	0.00	1423 of 7291 - Sale <sup>25</sup>
	7.000	52.63	06/22/20	68.46	15.83 W	0.00	1424 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	85.32	25.16 W	0.00	1425 of 7291 - Sale <sup>25</sup>
	8.000	60.16	06/22/20	81.96	21.80 W	0.00	1426 of 7291 - Sale <sup>25</sup>
	8.000	60.15	06/22/20	81.59	21.44 W	0.00	1427 of 7291 - Sale <sup>25</sup>
	8.000	60.15	06/22/20	79.71	19.56 W	0.00	1428 of 7291 - Sale <sup>25</sup>
	8.000	60.15	06/22/20	81.11	20.96 W	0.00	1429 of 7291 - Sale <sup>25</sup>
	8.000	60.15	06/22/20	78.61	18.46 W	0.00	1430 of 7291 - Sale <sup>25</sup>
	8.000	60.15	06/22/20	77.93	17.78 W	0.00	1431 of 7291 - Sale <sup>25</sup>
	10.000	75.19	06/22/20	106.32	31.13 W	0.00	1432 of 7291 - Sale <sup>25</sup>
	10.000	75.19	06/22/20	101.10	25.91 W	0.00	1433 of 7291 - Sale <sup>25</sup>
	11.000	82.71	06/22/20	112.68	29.97 W	0.00	1434 of 7291 - Sale <sup>25</sup>
	11.000	82.71	06/22/20	112.22	29.51 W	0.00	1435 of 7291 - Sale <sup>25</sup>
	11.000	82.70	06/22/20	107.17	24.47 W	0.00	1436 of 7291 - Sale <sup>25</sup>
	12.000	90.23	06/22/20	127.84	37.61 W	0.00	1437 of 7291 - Sale <sup>25</sup>
	12.000	90.23	06/22/20	122.38	32.15 W	0.00	1438 of 7291 - Sale <sup>25</sup>
	12.000	90.23	06/22/20	119.59	29.36 W	0.00	1439 of 7291 - Sale <sup>25</sup>
	12.000	90.23	06/22/20	120.30	30.07 W	0.00	1440 of 7291 - Sale <sup>25</sup>
	12.000	90.23	06/22/20	122.07	31.84 W	0.00	1441 of 7291 - Sale <sup>25</sup>
	13.000	97.75	06/22/20	138.83	41.08 W	0.00	1442 of 7291 - Sale <sup>25</sup>
	13.000	97.75	06/22/20	133.49	35.74 W	0.00	1443 of 7291 - Sale <sup>25</sup>
	15.000	112.79	06/22/20	159.67	46.88 W	0.00	1444 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.64	3.12 W	0.00	1445 of 7291 - Sale <sup>25</sup>
	1.000	7.52	06/22/20	10.63	3.11 W	0.00	1446 of 7291 - Sale <sup>25</sup>
	2.000	15.04	06/22/20	21.23	6.19 W	0.00	1447 of 7291 - Sale <sup>25</sup>
	6.000	45.11	06/22/20	63.63	18.52 W	0.00	1448 of 7291 - Sale <sup>25</sup>
	10.000	75.18	06/22/20	106.40	31.22 W	0.00	1449 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.01	12.21 W	0.00	1450 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.56	3.86 W	0.00	1451 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.55	3.85 W	0.00	1452 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.59	3.89 W	0.00	1453 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.51	3.81 W	0.00	1454 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.70	06/22/20	10.51	3.81 W	0.00	1455 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.50	3.80 W	0.00	1456 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.22	3.52 W	0.00	1457 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.17	3.47 W	0.00	1458 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.89	3.19 W	0.00	1459 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.91	3.21 W	0.00	1460 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.91	3.21 W	0.00	1461 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.97	3.27 W	0.00	1462 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.94	3.24 W	0.00	1463 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.98	3.28 W	0.00	1464 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.60	2.90 W	0.00	1465 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.59	2.89 W	0.00	1466 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.57	2.87 W	0.00	1467 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.52	2.82 W	0.00	1468 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.59	2.89 W	0.00	1469 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.57	2.87 W	0.00	1470 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.47	2.77 W	0.00	1471 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.48	2.78 W	0.00	1472 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.45	2.75 W	0.00	1473 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.56	2.86 W	0.00	1474 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.61	2.91 W	0.00	1475 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.60	2.90 W	0.00	1476 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.61	2.91 W	0.00	1477 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.57	2.87 W	0.00	1478 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.61	2.91 W	0.00	1479 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.91	3.21 W	0.00	1480 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.98	3.28 W	0.00	1481 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.14	7.74 W	0.00	1482 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.02	7.62 W	0.00	1483 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.93	7.53 W	0.00	1484 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.39	6.99 W	0.00	1485 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.27	6.87 W	0.00	1486 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.16	5.76 W	0.00	1487 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.96	5.56 W	0.00	1488 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.40	06/22/20	18.95	5.55 W	0.00	1489 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.97	5.57 W	0.00	1490 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.93	5.53 W	0.00	1491 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.70	11.60 W	0.00	1492 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.64	11.54 W	0.00	1493 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.53	11.43 W	0.00	1494 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.52	11.42 W	0.00	1495 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.72	10.62 W	0.00	1496 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.14	10.04 W	0.00	1497 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.13	10.03 W	0.00	1498 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.51	9.41 W	0.00	1499 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.69	8.59 W	0.00	1500 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.43	8.33 W	0.00	1501 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	42.09	15.29 W	0.00	1502 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	42.09	15.29 W	0.00	1503 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	42.03	15.23 W	0.00	1504 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	41.96	15.16 W	0.00	1505 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.62	13.82 W	0.00	1506 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	38.25	11.45 W	0.00	1507 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	38.17	11.37 W	0.00	1508 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	38.54	11.74 W	0.00	1509 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	53.20	19.70 W	0.00	1510 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	52.45	18.95 W	0.00	1511 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	51.21	17.71 W	0.00	1512 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	50.32	16.82 W	0.00	1513 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.83	14.33 W	0.00	1514 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.82	14.32 W	0.00	1515 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.75	14.25 W	0.00	1516 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.90	14.40 W	0.00	1517 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	63.63	23.43 W	0.00	1518 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	63.45	23.25 W	0.00	1519 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	63.43	23.23 W	0.00	1520 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	62.94	22.74 W	0.00	1521 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	63.32	23.12 W	0.00	1522 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	40.20	06/22/20	57.54	17.34 W	0.00	1523 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	56.83	16.63 W	0.00	1524 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	74.45	27.55 W	0.00	1525 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	73.98	27.08 W	0.00	1526 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	73.59	26.69 W	0.00	1527 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	69.85	22.95 W	0.00	1528 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	83.74	30.14 W	0.00	1529 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	75.94	22.34 W	0.00	1530 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	106.44	39.44 W	0.00	1531 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	105.15	38.15 W	0.00	1532 of 7291 - Sale <sup>25</sup>
	17.000	113.90	06/22/20	161.26	47.36 W	0.00	1533 of 7291 - Sale <sup>25</sup>
	19.000	127.30	06/22/20	192.78	65.48 W	0.00	1534 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.83	3.13 W	0.00	1535 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.12	3.42 W	0.00	1536 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.11	3.41 W	0.00	1537 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.18	3.48 W	0.00	1538 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.12	3.42 W	0.00	1539 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.11	3.41 W	0.00	1540 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.83	3.13 W	0.00	1541 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.52	2.82 W	0.00	1542 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.69	2.99 W	0.00	1543 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.69	2.99 W	0.00	1544 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.64	2.94 W	0.00	1545 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.59	2.89 W	0.00	1546 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.40	2.70 W	0.00	1547 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.44	2.74 W	0.00	1548 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.28	2.58 W	0.00	1549 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.12	2.42 W	0.00	1550 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.12	2.42 W	0.00	1551 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.22	6.82 W	0.00	1552 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.20	6.80 W	0.00	1553 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.20	6.80 W	0.00	1554 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.22	6.82 W	0.00	1555 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.21	6.81 W	0.00	1556 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.40	06/22/20	20.15	6.75 W	0.00	1557 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.88	6.48 W	0.00	1558 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.99	5.59 W	0.00	1559 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.97	5.57 W	0.00	1560 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.90	5.50 W	0.00	1561 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.22	5.82 W	0.00	1562 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.85	5.45 W	0.00	1563 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.40	5.00 W	0.00	1564 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.19	4.79 W	0.00	1565 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.23	4.83 W	0.00	1566 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.35	10.25 W	0.00	1567 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.84	8.74 W	0.00	1568 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.82	8.72 W	0.00	1569 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.60	8.50 W	0.00	1570 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.44	8.34 W	0.00	1571 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.36	8.26 W	0.00	1572 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.61	8.51 W	0.00	1573 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	27.83	7.73 W	0.00	1574 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	27.75	7.65 W	0.00	1575 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	27.56	7.46 W	0.00	1576 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	37.86	11.06 W	0.00	1577 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	50.58	17.08 W	0.00	1578 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	50.60	17.10 W	0.00	1579 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	50.45	16.95 W	0.00	1580 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.48	13.98 W	0.00	1581 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.67	14.17 W	0.00	1582 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.12	13.62 W	0.00	1583 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.09	13.59 W	0.00	1584 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	47.41	13.91 W	0.00	1585 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	46.36	12.86 W	0.00	1586 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	60.71	20.51 W	0.00	1587 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	57.48	17.28 W	0.00	1588 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	56.84	16.64 W	0.00	1589 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	66.96	20.06 W	0.00	1590 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	53.60	06/22/20	80.31	26.71 W	0.00	1591 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	74.23	20.63 W	0.00	1592 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	91.35	31.05 W	0.00	1593 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	91.15	30.85 W	0.00	1594 of 7291 - Sale <sup>25</sup>
	16.000	107.20	06/22/20	161.41	54.21 W	0.00	1595 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.34	3.64 W	0.00	1596 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.32	3.62 W	0.00	1597 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.33	3.63 W	0.00	1598 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.29	3.59 W	0.00	1599 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.30	3.60 W	0.00	1600 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.28	3.58 W	0.00	1601 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.75	7.35 W	0.00	1602 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.79	7.39 W	0.00	1603 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.65	7.25 W	0.00	1604 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.65	7.25 W	0.00	1605 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.86	10.76 W	0.00	1606 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.19	11.09 W	0.00	1607 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.06	10.96 W	0.00	1608 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.07	10.97 W	0.00	1609 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	41.56	14.76 W	0.00	1610 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	41.24	14.44 W	0.00	1611 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	51.43	17.93 W	0.00	1612 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	51.94	18.44 W	0.00	1613 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	51.58	18.08 W	0.00	1614 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	51.32	17.82 W	0.00	1615 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	62.05	21.85 W	0.00	1616 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	71.94	25.04 W	0.00	1617 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	103.25	36.25 W	0.00	1618 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.84	3.14 W	0.00	1619 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.86	3.16 W	0.00	1620 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.86	3.16 W	0.00	1621 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.84	3.14 W	0.00	1622 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.79	3.09 W	0.00	1623 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.80	3.10 W	0.00	1624 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.70	06/22/20	9.84	3.14 W	0.00	1625 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.79	3.09 W	0.00	1626 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.78	3.08 W	0.00	1627 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.11	3.41 W	0.00	1628 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.43	3.73 W	0.00	1629 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.46	3.76 W	0.00	1630 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.44	3.74 W	0.00	1631 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.53	3.83 W	0.00	1632 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.70	6.30 W	0.00	1633 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.59	6.19 W	0.00	1634 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.70	6.30 W	0.00	1635 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.70	6.30 W	0.00	1636 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.60	6.20 W	0.00	1637 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.23	6.83 W	0.00	1638 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.55	7.15 W	0.00	1639 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.90	7.50 W	0.00	1640 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.89	7.49 W	0.00	1641 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.91	7.51 W	0.00	1642 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.85	7.45 W	0.00	1643 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.98	7.58 W	0.00	1644 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.99	7.59 W	0.00	1645 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.22	9.12 W	0.00	1646 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.56	9.46 W	0.00	1647 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.56	9.46 W	0.00	1648 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.50	9.40 W	0.00	1649 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.38	9.28 W	0.00	1650 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.32	11.22 W	0.00	1651 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.52	11.42 W	0.00	1652 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.42	12.62 W	0.00	1653 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.40	12.60 W	0.00	1654 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.47	13.67 W	0.00	1655 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	41.70	14.90 W	0.00	1656 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	42.00	15.20 W	0.00	1657 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	42.02	15.22 W	0.00	1658 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	33.50	06/22/20	49.32	15.82 W	0.00	1659 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	52.21	18.71 W	0.00	1660 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	52.57	19.07 W	0.00	1661 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	59.11	18.91 W	0.00	1662 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	62.52	22.32 W	0.00	1663 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	63.00	22.80 W	0.00	1664 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	68.96	22.06 W	0.00	1665 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	73.03	26.13 W	0.00	1666 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	72.90	26.00 W	0.00	1667 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	73.47	26.57 W	0.00	1668 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	78.88	25.28 W	0.00	1669 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	83.58	29.98 W	0.00	1670 of 7291 - Sale <sup>25</sup>
	11.000	73.70	06/22/20	115.67	41.97 W	0.00	1671 of 7291 - Sale <sup>25</sup>
	16.000	107.20	06/22/20	168.29	61.09 W	0.00	1672 of 7291 - Sale <sup>25</sup>
	18.000	120.60	06/22/20	177.34	56.74 W	0.00	1673 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.42	6.02 W	0.00	1674 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.29	9.19 W	0.00	1675 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	48.97	15.47 W	0.00	1676 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.97	2.27 W	0.00	1677 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.78	2.08 W	0.00	1678 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.80	2.10 W	0.00	1679 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.80	2.10 W	0.00	1680 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.80	2.10 W	0.00	1681 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.82	2.12 W	0.00	1682 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.82	2.12 W	0.00	1683 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.81	2.11 W	0.00	1684 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.77	2.07 W	0.00	1685 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.80	2.10 W	0.00	1686 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.80	2.10 W	0.00	1687 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.83	2.13 W	0.00	1688 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.83	2.13 W	0.00	1689 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.84	2.14 W	0.00	1690 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.92	2.22 W	0.00	1691 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.91	2.21 W	0.00	1692 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.70	06/22/20	8.89	2.19 W	0.00	1693 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	8.86	2.16 W	0.00	1694 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.14	2.44 W	0.00	1695 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.29	2.59 W	0.00	1696 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.29	2.59 W	0.00	1697 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.29	2.59 W	0.00	1698 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.29	2.59 W	0.00	1699 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.29	2.59 W	0.00	1700 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.26	2.56 W	0.00	1701 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.32	2.62 W	0.00	1702 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.50	4.10 W	0.00	1703 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.60	4.20 W	0.00	1704 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.60	4.20 W	0.00	1705 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.50	4.10 W	0.00	1706 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.62	4.22 W	0.00	1707 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.64	4.24 W	0.00	1708 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.56	4.16 W	0.00	1709 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.60	4.20 W	0.00	1710 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.66	4.26 W	0.00	1711 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.86	4.46 W	0.00	1712 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.79	4.39 W	0.00	1713 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	17.73	4.33 W	0.00	1714 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.29	4.89 W	0.00	1715 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.59	5.19 W	0.00	1716 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.58	5.18 W	0.00	1717 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.64	5.24 W	0.00	1718 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.66	5.26 W	0.00	1719 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	18.78	5.38 W	0.00	1720 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	26.42	6.32 W	0.00	1721 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	26.45	6.35 W	0.00	1722 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	26.48	6.38 W	0.00	1723 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	26.69	6.59 W	0.00	1724 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	26.69	6.59 W	0.00	1725 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	27.26	7.16 W	0.00	1726 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.10	06/22/20	28.87	8.77 W	0.00	1727 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	35.20	8.40 W	0.00	1728 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	34.87	8.07 W	0.00	1729 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	35.15	8.35 W	0.00	1730 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	35.55	8.75 W	0.00	1731 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	36.56	9.76 W	0.00	1732 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	36.34	9.54 W	0.00	1733 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	36.38	9.58 W	0.00	1734 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	37.06	10.26 W	0.00	1735 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	37.20	10.40 W	0.00	1736 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	44.09	10.59 W	0.00	1737 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	44.01	10.51 W	0.00	1738 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	44.16	10.66 W	0.00	1739 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	46.59	13.09 W	0.00	1740 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	52.49	12.29 W	0.00	1741 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	53.38	13.18 W	0.00	1742 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	79.46	19.16 W	0.00	1743 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	87.15	20.15 W	0.00	1744 of 7291 - Sale <sup>25</sup>
	11.000	73.70	06/22/20	102.47	28.77 W	0.00	1745 of 7291 - Sale <sup>25</sup>
	12.000	80.40	06/22/20	106.80	26.40 W	0.00	1746 of 7291 - Sale <sup>25</sup>
	13.000	87.10	06/22/20	114.23	27.13 W	0.00	1747 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	51.47	17.97 W	0.00	1748 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	72.11	25.21 W	0.00	1749 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.32	3.62 W	0.00	1750 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.33	3.63 W	0.00	1751 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.27	3.57 W	0.00	1752 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.59	3.89 W	0.00	1753 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.21	3.51 W	0.00	1754 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	11.00	4.30 W	0.00	1755 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	11.01	4.31 W	0.00	1756 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.98	4.28 W	0.00	1757 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.55	7.15 W	0.00	1758 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.88	8.48 W	0.00	1759 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.86	10.76 W	0.00	1760 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	26.80	06/22/20	43.75	16.95 W	0.00	1761 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	51.49	17.99 W	0.00	1762 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	55.17	21.67 W	0.00	1763 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	62.80	22.60 W	0.00	1764 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	66.35	26.15 W	0.00	1765 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	76.53	29.63 W	0.00	1766 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	87.46	33.86 W	0.00	1767 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	91.92	31.62 W	0.00	1768 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.95	4.25 W	0.00	1769 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.93	4.23 W	0.00	1770 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.94	4.24 W	0.00	1771 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.92	4.22 W	0.00	1772 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.94	4.24 W	0.00	1773 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.94	4.24 W	0.00	1774 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.94	4.24 W	0.00	1775 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.92	4.22 W	0.00	1776 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.95	4.25 W	0.00	1777 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.87	4.17 W	0.00	1778 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.87	4.17 W	0.00	1779 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.87	4.17 W	0.00	1780 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.67	3.97 W	0.00	1781 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.74	4.04 W	0.00	1782 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.82	4.12 W	0.00	1783 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.80	4.10 W	0.00	1784 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.73	4.03 W	0.00	1785 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.77	8.37 W	0.00	1786 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.86	8.46 W	0.00	1787 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.84	8.44 W	0.00	1788 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.95	8.55 W	0.00	1789 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.47	8.07 W	0.00	1790 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.42	8.02 W	0.00	1791 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.80	12.70 W	0.00	1792 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.79	12.69 W	0.00	1793 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.80	12.70 W	0.00	1794 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.10	06/22/20	32.82	12.72 W	0.00	1795 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.81	12.71 W	0.00	1796 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.19	12.09 W	0.00	1797 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.23	12.13 W	0.00	1798 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.45	12.35 W	0.00	1799 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.02	11.92 W	0.00	1800 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.20	12.10 W	0.00	1801 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	32.15	12.05 W	0.00	1802 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.70	16.90 W	0.00	1803 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.80	17.00 W	0.00	1804 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.75	16.95 W	0.00	1805 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.74	16.94 W	0.00	1806 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.91	17.11 W	0.00	1807 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.24	16.44 W	0.00	1808 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.19	16.39 W	0.00	1809 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	54.65	21.15 W	0.00	1810 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	53.71	20.21 W	0.00	1811 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	65.74	25.54 W	0.00	1812 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	65.86	25.66 W	0.00	1813 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	64.31	24.11 W	0.00	1814 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	64.44	24.24 W	0.00	1815 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	64.90	24.70 W	0.00	1816 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	76.51	29.61 W	0.00	1817 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	75.63	28.73 W	0.00	1818 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	87.13	33.53 W	0.00	1819 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	87.07	33.47 W	0.00	1820 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	87.07	33.47 W	0.00	1821 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	98.17	37.87 W	0.00	1822 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	96.65	36.35 W	0.00	1823 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	107.73	40.73 W	0.00	1824 of 7291 - Sale <sup>25</sup>
	11.000	73.70	06/22/20	117.96	44.26 W	0.00	1825 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.49	3.79 W	0.00	1826 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.43	3.73 W	0.00	1827 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.88	7.48 W	0.00	1828 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.40	06/22/20	20.86	7.46 W	0.00	1829 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.71	11.61 W	0.00	1830 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	41.71	14.91 W	0.00	1831 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	52.47	18.97 W	0.00	1832 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	83.91	30.31 W	0.00	1833 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.64	2.94 W	0.00	1834 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.62	2.92 W	0.00	1835 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.62	2.92 W	0.00	1836 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.52	2.82 W	0.00	1837 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.00	3.30 W	0.00	1838 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.89	3.19 W	0.00	1839 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.88	3.18 W	0.00	1840 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.89	3.19 W	0.00	1841 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.14	3.44 W	0.00	1842 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.17	3.47 W	0.00	1843 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.17	3.47 W	0.00	1844 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.17	3.47 W	0.00	1845 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.16	3.46 W	0.00	1846 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.30	5.90 W	0.00	1847 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.30	5.90 W	0.00	1848 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.25	5.85 W	0.00	1849 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.08	5.68 W	0.00	1850 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.70	6.30 W	0.00	1851 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.29	6.89 W	0.00	1852 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.31	6.91 W	0.00	1853 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.30	6.90 W	0.00	1854 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.27	6.87 W	0.00	1855 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.95	8.85 W	0.00	1856 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.95	8.85 W	0.00	1857 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.92	8.82 W	0.00	1858 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	28.93	8.83 W	0.00	1859 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.66	9.56 W	0.00	1860 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.68	9.58 W	0.00	1861 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.50	10.40 W	0.00	1862 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.10	06/22/20	30.52	10.42 W	0.00	1863 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.51	10.41 W	0.00	1864 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.46	12.66 W	0.00	1865 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.58	12.78 W	0.00	1866 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.83	14.03 W	0.00	1867 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.71	13.91 W	0.00	1868 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	49.51	16.01 W	0.00	1869 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	49.36	15.86 W	0.00	1870 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	50.65	17.15 W	0.00	1871 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	50.87	17.37 W	0.00	1872 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	59.45	19.25 W	0.00	1873 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	59.18	18.98 W	0.00	1874 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	61.16	20.96 W	0.00	1875 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	69.30	22.40 W	0.00	1876 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	71.05	24.15 W	0.00	1877 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	71.24	24.34 W	0.00	1878 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	79.23	25.63 W	0.00	1879 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	88.97	28.67 W	0.00	1880 of 7291 - Sale <sup>25</sup>
	9.000	60.30	06/22/20	89.97	29.67 W	0.00	1881 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	98.76	31.76 W	0.00	1882 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	101.75	34.75 W	0.00	1883 of 7291 - Sale <sup>25</sup>
	11.000	73.70	06/22/20	111.80	38.10 W	0.00	1884 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.75	4.05 W	0.00	1885 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.67	3.97 W	0.00	1886 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.67	3.97 W	0.00	1887 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.67	3.97 W	0.00	1888 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.85	4.15 W	0.00	1889 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.85	4.15 W	0.00	1890 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.73	4.03 W	0.00	1891 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.74	4.04 W	0.00	1892 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.64	3.94 W	0.00	1893 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.64	3.94 W	0.00	1894 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.58	3.88 W	0.00	1895 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.51	8.11 W	0.00	1896 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.40	06/22/20	21.31	7.91 W	0.00	1897 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.25	7.85 W	0.00	1898 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.93	11.83 W	0.00	1899 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	43.38	16.58 W	0.00	1900 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	54.23	20.73 W	0.00	1901 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	63.66	23.46 W	0.00	1902 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	74.82	27.92 W	0.00	1903 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	85.08	31.48 W	0.00	1904 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	106.03	39.03 W	0.00	1905 of 7291 - Sale <sup>25</sup>
	12.000	80.40	06/22/20	127.49	47.09 W	0.00	1906 of 7291 - Sale <sup>25</sup>
	13.000	87.10	06/22/20	138.45	51.35 W	0.00	1907 of 7291 - Sale <sup>25</sup>
	15.000	100.50	06/22/20	159.23	58.73 W	0.00	1908 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.65	3.95 W	0.00	1909 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.62	3.92 W	0.00	1910 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.15	3.45 W	0.00	1911 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.15	3.45 W	0.00	1912 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.18	3.48 W	0.00	1913 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.17	3.47 W	0.00	1914 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.23	3.53 W	0.00	1915 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.09	3.39 W	0.00	1916 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.15	3.45 W	0.00	1917 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.22	7.82 W	0.00	1918 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.29	6.89 W	0.00	1919 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.29	6.89 W	0.00	1920 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.89	6.49 W	0.00	1921 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	31.91	11.81 W	0.00	1922 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.62	10.52 W	0.00	1923 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.62	10.52 W	0.00	1924 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.25	10.15 W	0.00	1925 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.71	13.91 W	0.00	1926 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.81	14.01 W	0.00	1927 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.76	12.96 W	0.00	1928 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	61.23	21.03 W	0.00	1929 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	60.42	20.22 W	0.00	1930 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	40.20	06/22/20	60.41	20.21 W	0.00	1931 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	81.64	28.04 W	0.00	1932 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	81.28	27.68 W	0.00	1933 of 7291 - Sale <sup>25</sup>
	12.000	80.40	06/22/20	121.91	41.51 W	0.00	1934 of 7291 - Sale <sup>25</sup>
	13.000	87.10	06/22/20	132.98	45.88 W	0.00	1935 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.94	3.24 W	0.00	1936 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.96	3.26 W	0.00	1937 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.98	3.28 W	0.00	1938 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.94	3.24 W	0.00	1939 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.97	3.27 W	0.00	1940 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.95	3.25 W	0.00	1941 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.94	3.24 W	0.00	1942 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.97	3.27 W	0.00	1943 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.07	3.37 W	0.00	1944 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.10	3.40 W	0.00	1945 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.10	3.40 W	0.00	1946 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.06	3.36 W	0.00	1947 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.06	3.36 W	0.00	1948 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.11	3.41 W	0.00	1949 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.06	3.36 W	0.00	1950 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.26	3.56 W	0.00	1951 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.25	3.55 W	0.00	1952 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.13	3.43 W	0.00	1953 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.13	3.43 W	0.00	1954 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.14	3.44 W	0.00	1955 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.06	3.36 W	0.00	1956 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.79	3.09 W	0.00	1957 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.82	3.12 W	0.00	1958 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.76	3.06 W	0.00	1959 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.77	3.07 W	0.00	1960 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.82	3.12 W	0.00	1961 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.77	3.07 W	0.00	1962 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.71	3.01 W	0.00	1963 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.76	3.06 W	0.00	1964 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.70	06/22/20	9.74	3.04 W	0.00	1965 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.74	3.04 W	0.00	1966 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.73	3.03 W	0.00	1967 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.70	3.00 W	0.00	1968 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.71	3.01 W	0.00	1969 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.72	3.02 W	0.00	1970 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	9.72	3.02 W	0.00	1971 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.90	6.50 W	0.00	1972 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.97	6.57 W	0.00	1973 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.91	6.51 W	0.00	1974 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.92	6.52 W	0.00	1975 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.91	6.51 W	0.00	1976 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.68	6.28 W	0.00	1977 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.48	7.08 W	0.00	1978 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.60	7.20 W	0.00	1979 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.53	7.13 W	0.00	1980 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.30	6.90 W	0.00	1981 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.28	6.88 W	0.00	1982 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.10	6.70 W	0.00	1983 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	20.09	6.69 W	0.00	1984 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.62	6.22 W	0.00	1985 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	19.36	5.96 W	0.00	1986 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.83	9.73 W	0.00	1987 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.27	10.17 W	0.00	1988 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.10	10.00 W	0.00	1989 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.16	10.06 W	0.00	1990 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.19	10.09 W	0.00	1991 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	30.40	10.30 W	0.00	1992 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.32	9.22 W	0.00	1993 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.34	9.24 W	0.00	1994 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.37	9.27 W	0.00	1995 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.12	9.02 W	0.00	1996 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.13	9.03 W	0.00	1997 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.14	9.04 W	0.00	1998 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.10	06/22/20	29.23	9.13 W	0.00	1999 of 7291 - Sale <sup>25</sup>
	3.000	20.10	06/22/20	29.16	9.06 W	0.00	2000 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.75	12.95 W	0.00	2001 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.21	13.41 W	0.00	2002 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	40.68	13.88 W	0.00	2003 of 7291 - Sale <sup>25</sup>
	4.000	26.80	06/22/20	39.00	12.20 W	0.00	2004 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	49.91	16.41 W	0.00	2005 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	49.72	16.22 W	0.00	2006 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	50.83	17.33 W	0.00	2007 of 7291 - Sale <sup>25</sup>
	5.000	33.50	06/22/20	48.36	14.86 W	0.00	2008 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	60.40	20.20 W	0.00	2009 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	60.34	20.14 W	0.00	2010 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	60.37	20.17 W	0.00	2011 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	67.98	21.08 W	0.00	2012 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	79.16	25.56 W	0.00	2013 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	80.56	26.96 W	0.00	2014 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	78.06	24.46 W	0.00	2015 of 7291 - Sale <sup>25</sup>
	8.000	53.60	06/22/20	77.38	23.78 W	0.00	2016 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	100.41	33.41 W	0.00	2017 of 7291 - Sale <sup>25</sup>
	11.000	73.70	06/22/20	111.92	38.22 W	0.00	2018 of 7291 - Sale <sup>25</sup>
	11.000	73.70	06/22/20	111.46	37.76 W	0.00	2019 of 7291 - Sale <sup>25</sup>
	11.000	73.70	06/22/20	106.42	32.72 W	0.00	2020 of 7291 - Sale <sup>25</sup>
	12.000	80.40	06/22/20	118.76	38.36 W	0.00	2021 of 7291 - Sale <sup>25</sup>
	12.000	80.40	06/22/20	119.47	39.07 W	0.00	2022 of 7291 - Sale <sup>25</sup>
	12.000	80.40	06/22/20	121.24	40.84 W	0.00	2023 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.57	3.87 W	0.00	2024 of 7291 - Sale <sup>25</sup>
	1.000	6.70	06/22/20	10.56	3.86 W	0.00	2025 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	21.09	7.69 W	0.00	2026 of 7291 - Sale <sup>25</sup>
	6.000	40.20	06/22/20	63.22	23.02 W	0.00	2027 of 7291 - Sale <sup>25</sup>
	10.000	67.00	06/22/20	105.72	38.72 W	0.00	2028 of 7291 - Sale <sup>25</sup>
	200.000	1,339.92	06/22/20	1,490.00	150.08 W	0.00	2029 of 7291 - Sale <sup>25</sup>
	500.000	3,349.79	06/22/20	3,725.00	375.21 W	0.00	2030 of 7291 - Sale <sup>25</sup>
	25.000	167.49	06/22/20	186.00	18.51 W	0.00	2031 of 7291 - Sale <sup>25</sup>
	100.000	669.96	06/22/20	740.00	70.04 W	0.00	2032 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	93.000	623.06	06/22/20	689.13	66.07 W	0.00	2033 of 7291 - Sale <sup>25</sup>
	7.000	46.90	06/22/20	51.87	4.97 W	0.00	2034 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	14.80	1.40 W	0.00	2035 of 7291 - Sale <sup>25</sup>
	74.000	495.77	06/22/20	547.60	51.83 W	0.00	2036 of 7291 - Sale <sup>25</sup>
	24.000	160.79	06/22/20	177.60	16.81 W	0.00	2037 of 7291 - Sale <sup>25</sup>
	200.000	1,339.91	06/22/20	1,480.00	140.09 W	0.00	2038 of 7291 - Sale <sup>25</sup>
	100.000	669.95	06/22/20	740.00	70.05 W	0.00	2039 of 7291 - Sale <sup>25</sup>
	13.000	87.10	06/22/20	92.82	5.72 W	0.00	2040 of 7291 - Sale <sup>25</sup>
	2.000	13.40	06/22/20	14.00	0.60 W	0.00	2041 of 7291 - Sale <sup>25</sup>
	1.000	6.69	06/22/20	7.00	0.31 W	0.00	2042 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	38.93	11.77 W	0.00	2043 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.54	3.75 W	0.00	2044 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.53	3.74 W	0.00	2045 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.57	3.78 W	0.00	2046 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.49	3.70 W	0.00	2047 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.49	3.70 W	0.00	2048 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.48	3.69 W	0.00	2049 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.47	3.68 W	0.00	2050 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.10	7.52 W	0.00	2051 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.98	7.40 W	0.00	2052 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.64	11.27 W	0.00	2053 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.58	11.21 W	0.00	2054 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.47	11.10 W	0.00	2055 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.46	11.09 W	0.00	2056 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	42.01	14.85 W	0.00	2057 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	42.01	14.85 W	0.00	2058 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.95	14.79 W	0.00	2059 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.88	14.72 W	0.00	2060 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	53.10	19.15 W	0.00	2061 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	63.51	22.77 W	0.00	2062 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	63.33	22.59 W	0.00	2063 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	63.31	22.57 W	0.00	2064 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	74.31	26.78 W	0.00	2065 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	73.84	26.31 W	0.00	2066 of 7291 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	67.90	06/22/20	106.24	38.34 W	0.00	2067 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	104.95	37.05 W	0.00	2068 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.18	3.39 W	0.00	2069 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.13	3.34 W	0.00	2070 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.85	3.06 W	0.00	2071 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.87	3.08 W	0.00	2072 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.87	3.08 W	0.00	2073 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.93	3.14 W	0.00	2074 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.90	3.11 W	0.00	2075 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.94	3.15 W	0.00	2076 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.56	2.77 W	0.00	2077 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.55	2.76 W	0.00	2078 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.53	2.74 W	0.00	2079 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.85	7.27 W	0.00	2080 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.31	6.73 W	0.00	2081 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.19	6.61 W	0.00	2082 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.60	10.23 W	0.00	2083 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.02	9.65 W	0.00	2084 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.01	9.64 W	0.00	2085 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.39	9.02 W	0.00	2086 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.80	14.64 W	0.00	2087 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	40.46	13.30 W	0.00	2088 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.01	17.06 W	0.00	2089 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	50.12	16.17 W	0.00	2090 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	62.70	21.96 W	0.00	2091 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	63.08	22.34 W	0.00	2092 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	73.31	25.78 W	0.00	2093 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	69.57	22.04 W	0.00	2094 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	83.42	29.10 W	0.00	2095 of 7291 - Sale <sup>25</sup>
	19.000	129.00	06/22/20	192.02	63.02 W	0.00	2096 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.51	2.72 W	0.00	2097 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.46	2.67 W	0.00	2098 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.53	2.74 W	0.00	2099 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.51	2.72 W	0.00	2100 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.79	06/22/20	9.41	2.62 W	0.00	2101 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.42	2.63 W	0.00	2102 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.39	2.60 W	0.00	2103 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.50	2.71 W	0.00	2104 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.55	2.76 W	0.00	2105 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.55	2.76 W	0.00	2106 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.55	2.76 W	0.00	2107 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.52	2.73 W	0.00	2108 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.55	2.76 W	0.00	2109 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.86	3.07 W	0.00	2110 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.92	3.13 W	0.00	2111 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.05	5.47 W	0.00	2112 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.85	5.27 W	0.00	2113 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.84	5.26 W	0.00	2114 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.86	5.28 W	0.00	2115 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.82	5.24 W	0.00	2116 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.52	8.15 W	0.00	2117 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.26	7.89 W	0.00	2118 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	38.03	10.87 W	0.00	2119 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	38.02	10.86 W	0.00	2120 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	37.94	10.78 W	0.00	2121 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	38.32	11.16 W	0.00	2122 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.54	13.59 W	0.00	2123 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.47	13.52 W	0.00	2124 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.62	13.67 W	0.00	2125 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	57.20	16.46 W	0.00	2126 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	56.49	15.75 W	0.00	2127 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	75.49	21.17 W	0.00	2128 of 7291 - Sale <sup>25</sup>
	17.000	115.43	06/22/20	160.30	44.87 W	0.00	2129 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.78	2.99 W	0.00	2130 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.05	3.26 W	0.00	2131 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.04	3.25 W	0.00	2132 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.11	3.32 W	0.00	2133 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.05	3.26 W	0.00	2134 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.79	06/22/20	10.04	3.25 W	0.00	2135 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.76	2.97 W	0.00	2136 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.45	2.66 W	0.00	2137 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.62	2.83 W	0.00	2138 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.61	2.82 W	0.00	2139 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.08	6.50 W	0.00	2140 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.06	6.48 W	0.00	2141 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.06	6.48 W	0.00	2142 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.08	6.50 W	0.00	2143 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.07	6.49 W	0.00	2144 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.01	6.43 W	0.00	2145 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.74	6.16 W	0.00	2146 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.85	5.27 W	0.00	2147 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.82	5.24 W	0.00	2148 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.76	5.18 W	0.00	2149 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.14	9.77 W	0.00	2150 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	50.22	16.27 W	0.00	2151 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	50.24	16.29 W	0.00	2152 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	50.09	16.14 W	0.00	2153 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.12	13.17 W	0.00	2154 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	60.28	19.54 W	0.00	2155 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	79.74	25.42 W	0.00	2156 of 7291 - Sale <sup>25</sup>
	9.000	61.11	06/22/20	90.71	29.60 W	0.00	2157 of 7291 - Sale <sup>25</sup>
	9.000	61.11	06/22/20	90.51	29.40 W	0.00	2158 of 7291 - Sale <sup>25</sup>
	16.000	108.64	06/22/20	160.26	51.62 W	0.00	2159 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.65	2.86 W	0.00	2160 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.60	2.81 W	0.00	2161 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.41	2.62 W	0.00	2162 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.45	2.66 W	0.00	2163 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.29	2.50 W	0.00	2164 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.13	2.34 W	0.00	2165 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.13	2.34 W	0.00	2166 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.24	5.66 W	0.00	2167 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.87	5.29 W	0.00	2168 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.58	06/22/20	18.42	4.84 W	0.00	2169 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.21	4.63 W	0.00	2170 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.25	4.67 W	0.00	2171 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.87	8.50 W	0.00	2172 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.85	8.48 W	0.00	2173 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.63	8.26 W	0.00	2174 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.47	8.10 W	0.00	2175 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.39	8.02 W	0.00	2176 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	28.64	8.27 W	0.00	2177 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	27.86	7.49 W	0.00	2178 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	27.78	7.41 W	0.00	2179 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	27.59	7.22 W	0.00	2180 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	37.90	10.74 W	0.00	2181 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.72	13.77 W	0.00	2182 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.17	13.22 W	0.00	2183 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.14	13.19 W	0.00	2184 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	47.46	13.51 W	0.00	2185 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	46.41	12.46 W	0.00	2186 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	57.54	16.80 W	0.00	2187 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	56.90	16.16 W	0.00	2188 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	67.03	19.50 W	0.00	2189 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	74.31	19.99 W	0.00	2190 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.34	3.55 W	0.00	2191 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.32	3.53 W	0.00	2192 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.33	3.54 W	0.00	2193 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.29	3.50 W	0.00	2194 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.30	3.51 W	0.00	2195 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.28	3.49 W	0.00	2196 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.75	7.17 W	0.00	2197 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.79	7.21 W	0.00	2198 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.65	7.07 W	0.00	2199 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.65	7.07 W	0.00	2200 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.86	10.49 W	0.00	2201 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.19	10.82 W	0.00	2202 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.37	06/22/20	31.06	10.69 W	0.00	2203 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.07	10.70 W	0.00	2204 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.56	14.40 W	0.00	2205 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.24	14.08 W	0.00	2206 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.43	17.48 W	0.00	2207 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.94	17.99 W	0.00	2208 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.58	17.63 W	0.00	2209 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.32	17.37 W	0.00	2210 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	62.05	21.31 W	0.00	2211 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	71.94	24.41 W	0.00	2212 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	103.25	35.35 W	0.00	2213 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.84	3.05 W	0.00	2214 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.86	3.07 W	0.00	2215 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.22	8.85 W	0.00	2216 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.56	9.19 W	0.00	2217 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.56	9.19 W	0.00	2218 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	39.42	12.26 W	0.00	2219 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	78.88	24.56 W	0.00	2220 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.86	3.07 W	0.00	2221 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.84	3.05 W	0.00	2222 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.79	3.00 W	0.00	2223 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.80	3.01 W	0.00	2224 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.84	3.05 W	0.00	2225 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.79	3.00 W	0.00	2226 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.78	2.99 W	0.00	2227 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.11	3.32 W	0.00	2228 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.43	3.64 W	0.00	2229 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.46	3.67 W	0.00	2230 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.70	6.12 W	0.00	2231 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.59	6.01 W	0.00	2232 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.70	6.12 W	0.00	2233 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.70	6.12 W	0.00	2234 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.60	6.02 W	0.00	2235 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.23	6.65 W	0.00	2236 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.58	06/22/20	20.55	6.97 W	0.00	2237 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.90	7.32 W	0.00	2238 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.89	7.31 W	0.00	2239 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.91	7.33 W	0.00	2240 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.50	9.13 W	0.00	2241 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.38	9.01 W	0.00	2242 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	39.40	12.24 W	0.00	2243 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	40.47	13.31 W	0.00	2244 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	49.32	15.37 W	0.00	2245 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	52.21	18.26 W	0.00	2246 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	59.11	18.37 W	0.00	2247 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	68.96	21.43 W	0.00	2248 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	73.03	25.50 W	0.00	2249 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	83.58	29.26 W	0.00	2250 of 7291 - Sale <sup>25</sup>
	18.000	122.21	06/22/20	177.34	55.13 W	0.00	2251 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.44	3.65 W	0.00	2252 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.53	3.74 W	0.00	2253 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.85	7.27 W	0.00	2254 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.98	7.40 W	0.00	2255 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.99	7.41 W	0.00	2256 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.32	10.95 W	0.00	2257 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.52	11.15 W	0.00	2258 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.70	14.54 W	0.00	2259 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	42.00	14.84 W	0.00	2260 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	42.02	14.86 W	0.00	2261 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	52.57	18.62 W	0.00	2262 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	62.52	21.78 W	0.00	2263 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	63.00	22.26 W	0.00	2264 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	72.90	25.37 W	0.00	2265 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	73.47	25.94 W	0.00	2266 of 7291 - Sale <sup>25</sup>
	11.000	74.69	06/22/20	115.67	40.98 W	0.00	2267 of 7291 - Sale <sup>25</sup>
	16.000	108.63	06/22/20	168.29	59.66 W	0.00	2268 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.42	5.84 W	0.00	2269 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.29	8.92 W	0.00	2270 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	33.95	06/22/20	48.97	15.02 W	0.00	2271 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.97	2.18 W	0.00	2272 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	44.09	10.14 W	0.00	2273 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.38	3.59 W	0.00	2274 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.39	3.60 W	0.00	2275 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.33	3.54 W	0.00	2276 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.65	3.86 W	0.00	2277 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.27	3.48 W	0.00	2278 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.06	4.27 W	0.00	2279 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.07	4.28 W	0.00	2280 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.04	4.25 W	0.00	2281 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.01	4.22 W	0.00	2282 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.99	4.20 W	0.00	2283 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.00	4.21 W	0.00	2284 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.98	4.19 W	0.00	2285 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.00	4.21 W	0.00	2286 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.00	4.21 W	0.00	2287 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.00	4.21 W	0.00	2288 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.98	4.19 W	0.00	2289 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	11.01	4.22 W	0.00	2290 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.93	4.14 W	0.00	2291 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.93	4.14 W	0.00	2292 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.93	4.14 W	0.00	2293 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.73	3.94 W	0.00	2294 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.80	4.01 W	0.00	2295 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.67	7.09 W	0.00	2296 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	22.00	8.42 W	0.00	2297 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.89	8.31 W	0.00	2298 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.98	8.40 W	0.00	2299 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.96	8.38 W	0.00	2300 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	22.07	8.49 W	0.00	2301 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.59	8.01 W	0.00	2302 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.04	10.67 W	0.00	2303 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.98	12.61 W	0.00	2304 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.37	06/22/20	32.97	12.60 W	0.00	2305 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.98	12.61 W	0.00	2306 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	33.00	12.63 W	0.00	2307 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.99	12.62 W	0.00	2308 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.37	12.00 W	0.00	2309 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.41	12.04 W	0.00	2310 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.99	16.83 W	0.00	2311 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.94	16.78 W	0.00	2312 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	44.04	16.88 W	0.00	2313 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.99	16.83 W	0.00	2314 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.98	16.82 W	0.00	2315 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	44.15	16.99 W	0.00	2316 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.48	16.32 W	0.00	2317 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.51	16.35 W	0.00	2318 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.77	17.82 W	0.00	2319 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.79	17.84 W	0.00	2320 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	55.47	21.52 W	0.00	2321 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	54.95	21.00 W	0.00	2322 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	54.01	20.06 W	0.00	2323 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	63.16	22.42 W	0.00	2324 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	66.71	25.97 W	0.00	2325 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	66.10	25.36 W	0.00	2326 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	66.22	25.48 W	0.00	2327 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	64.67	23.93 W	0.00	2328 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	64.80	24.06 W	0.00	2329 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	72.53	25.00 W	0.00	2330 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	76.95	29.42 W	0.00	2331 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	76.93	29.40 W	0.00	2332 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	87.94	33.62 W	0.00	2333 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	87.61	33.29 W	0.00	2334 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	87.55	33.23 W	0.00	2335 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	87.55	33.23 W	0.00	2336 of 7291 - Sale <sup>25</sup>
	9.000	61.11	06/22/20	92.46	31.35 W	0.00	2337 of 7291 - Sale <sup>25</sup>
	9.000	61.11	06/22/20	98.71	37.60 W	0.00	2338 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	61.11	06/22/20	97.19	36.08 W	0.00	2339 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.84	2.05 W	0.00	2340 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.86	2.07 W	0.00	2341 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.86	2.07 W	0.00	2342 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.86	2.07 W	0.00	2343 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.88	2.09 W	0.00	2344 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.88	2.09 W	0.00	2345 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.87	2.08 W	0.00	2346 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.83	2.04 W	0.00	2347 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.86	2.07 W	0.00	2348 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.86	2.07 W	0.00	2349 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.89	2.10 W	0.00	2350 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.89	2.10 W	0.00	2351 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.90	2.11 W	0.00	2352 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.98	2.19 W	0.00	2353 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.97	2.18 W	0.00	2354 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.95	2.16 W	0.00	2355 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	8.92	2.13 W	0.00	2356 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.20	2.41 W	0.00	2357 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.35	2.56 W	0.00	2358 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.35	2.56 W	0.00	2359 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.35	2.56 W	0.00	2360 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.35	2.56 W	0.00	2361 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.35	2.56 W	0.00	2362 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.32	2.53 W	0.00	2363 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.38	2.59 W	0.00	2364 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.62	4.04 W	0.00	2365 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.72	4.14 W	0.00	2366 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.72	4.14 W	0.00	2367 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.62	4.04 W	0.00	2368 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.74	4.16 W	0.00	2369 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.76	4.18 W	0.00	2370 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.68	4.10 W	0.00	2371 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.72	4.14 W	0.00	2372 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.58	06/22/20	17.78	4.20 W	0.00	2373 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.98	4.40 W	0.00	2374 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.91	4.33 W	0.00	2375 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	17.85	4.27 W	0.00	2376 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.41	4.83 W	0.00	2377 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.71	5.13 W	0.00	2378 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.70	5.12 W	0.00	2379 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.76	5.18 W	0.00	2380 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.78	5.20 W	0.00	2381 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	18.90	5.32 W	0.00	2382 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	26.60	6.23 W	0.00	2383 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	26.63	6.26 W	0.00	2384 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	26.66	6.29 W	0.00	2385 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	26.87	6.50 W	0.00	2386 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	26.87	6.50 W	0.00	2387 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	27.44	7.07 W	0.00	2388 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.05	8.68 W	0.00	2389 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	35.44	8.28 W	0.00	2390 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	35.11	7.95 W	0.00	2391 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	35.39	8.23 W	0.00	2392 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	35.79	8.63 W	0.00	2393 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	36.80	9.64 W	0.00	2394 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	36.58	9.42 W	0.00	2395 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	36.62	9.46 W	0.00	2396 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	37.30	10.14 W	0.00	2397 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	37.44	10.28 W	0.00	2398 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	44.31	10.36 W	0.00	2399 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	44.46	10.51 W	0.00	2400 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	46.89	12.94 W	0.00	2401 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	52.85	12.11 W	0.00	2402 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	53.74	13.00 W	0.00	2403 of 7291 - Sale <sup>25</sup>
	9.000	61.11	06/22/20	80.00	18.89 W	0.00	2404 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	87.75	19.85 W	0.00	2405 of 7291 - Sale <sup>25</sup>
	11.000	74.69	06/22/20	103.13	28.44 W	0.00	2406 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	12.000	81.48	06/22/20	107.52	26.04 W	0.00	2407 of 7291 - Sale <sup>25</sup>
	13.000	88.26	06/22/20	115.01	26.75 W	0.00	2408 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.88	4.09 W	0.00	2409 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.86	4.07 W	0.00	2410 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.79	4.00 W	0.00	2411 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.75	8.17 W	0.00	2412 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.54	7.96 W	0.00	2413 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.63	12.26 W	0.00	2414 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.20	11.83 W	0.00	2415 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.38	12.01 W	0.00	2416 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.33	11.96 W	0.00	2417 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.43	16.27 W	0.00	2418 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	76.05	28.52 W	0.00	2419 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	108.33	40.43 W	0.00	2420 of 7291 - Sale <sup>25</sup>
	11.000	74.69	06/22/20	118.62	43.93 W	0.00	2421 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.55	3.76 W	0.00	2422 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	31.89	11.52 W	0.00	2423 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	52.77	18.82 W	0.00	2424 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	73.84	26.31 W	0.00	2425 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.55	3.76 W	0.00	2426 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.49	3.70 W	0.00	2427 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.00	7.42 W	0.00	2428 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.98	7.40 W	0.00	2429 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.95	14.79 W	0.00	2430 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.70	2.91 W	0.00	2431 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.68	2.89 W	0.00	2432 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.68	2.89 W	0.00	2433 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.58	2.79 W	0.00	2434 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.06	3.27 W	0.00	2435 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.95	3.16 W	0.00	2436 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.94	3.15 W	0.00	2437 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.95	3.16 W	0.00	2438 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.21	3.42 W	0.00	2439 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.42	5.84 W	0.00	2440 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.58	06/22/20	19.42	5.84 W	0.00	2441 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.37	5.79 W	0.00	2442 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.20	5.62 W	0.00	2443 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.82	6.24 W	0.00	2444 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.13	8.76 W	0.00	2445 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.13	8.76 W	0.00	2446 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.10	8.73 W	0.00	2447 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.11	8.74 W	0.00	2448 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.84	9.47 W	0.00	2449 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.86	9.49 W	0.00	2450 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	39.70	12.54 W	0.00	2451 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	39.82	12.66 W	0.00	2452 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	49.81	15.86 W	0.00	2453 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	49.66	15.71 W	0.00	2454 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	59.81	19.07 W	0.00	2455 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	59.54	18.80 W	0.00	2456 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	69.72	22.19 W	0.00	2457 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	79.71	25.39 W	0.00	2458 of 7291 - Sale <sup>25</sup>
	9.000	61.11	06/22/20	89.51	28.40 W	0.00	2459 of 7291 - Sale <sup>25</sup>
	9.000	61.11	06/22/20	90.51	29.40 W	0.00	2460 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	99.36	31.46 W	0.00	2461 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.81	4.02 W	0.00	2462 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.73	3.94 W	0.00	2463 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.73	3.94 W	0.00	2464 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.73	3.94 W	0.00	2465 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.91	4.12 W	0.00	2466 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.91	4.12 W	0.00	2467 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.79	4.00 W	0.00	2468 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.80	4.01 W	0.00	2469 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.70	3.91 W	0.00	2470 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.70	3.91 W	0.00	2471 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.64	3.85 W	0.00	2472 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.71	3.92 W	0.00	2473 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.68	3.89 W	0.00	2474 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.79	06/22/20	10.21	3.42 W	0.00	2475 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.21	3.42 W	0.00	2476 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.24	3.45 W	0.00	2477 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.23	3.44 W	0.00	2478 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.29	3.50 W	0.00	2479 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.15	3.36 W	0.00	2480 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.21	3.42 W	0.00	2481 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.00	3.21 W	0.00	2482 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.02	3.23 W	0.00	2483 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.04	3.25 W	0.00	2484 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.00	3.21 W	0.00	2485 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.03	3.24 W	0.00	2486 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.01	3.22 W	0.00	2487 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.00	3.21 W	0.00	2488 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.03	3.24 W	0.00	2489 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.13	3.34 W	0.00	2490 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.16	3.37 W	0.00	2491 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.16	3.37 W	0.00	2492 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.12	3.33 W	0.00	2493 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.12	3.33 W	0.00	2494 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.17	3.38 W	0.00	2495 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.12	3.33 W	0.00	2496 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.32	3.53 W	0.00	2497 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.63	8.05 W	0.00	2498 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.43	7.85 W	0.00	2499 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.37	7.79 W	0.00	2500 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.34	7.76 W	0.00	2501 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.41	6.83 W	0.00	2502 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.41	6.83 W	0.00	2503 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.01	6.43 W	0.00	2504 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.02	6.44 W	0.00	2505 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.09	6.51 W	0.00	2506 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.03	6.45 W	0.00	2507 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.04	6.46 W	0.00	2508 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.58	06/22/20	20.03	6.45 W	0.00	2509 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.80	6.22 W	0.00	2510 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.60	7.02 W	0.00	2511 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.11	11.74 W	0.00	2512 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	32.09	11.72 W	0.00	2513 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.80	10.43 W	0.00	2514 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.80	10.43 W	0.00	2515 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.43	10.06 W	0.00	2516 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.01	9.64 W	0.00	2517 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.45	10.08 W	0.00	2518 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	43.62	16.46 W	0.00	2519 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	40.95	13.79 W	0.00	2520 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.05	13.89 W	0.00	2521 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	40.00	12.84 W	0.00	2522 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	39.99	12.83 W	0.00	2523 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	40.45	13.29 W	0.00	2524 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	54.53	20.58 W	0.00	2525 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	50.21	16.26 W	0.00	2526 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	50.02	16.07 W	0.00	2527 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	64.02	23.28 W	0.00	2528 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	61.59	20.85 W	0.00	2529 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	60.78	20.04 W	0.00	2530 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	60.77	20.03 W	0.00	2531 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	60.76	20.02 W	0.00	2532 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	75.24	27.71 W	0.00	2533 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	85.56	31.24 W	0.00	2534 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	82.12	27.80 W	0.00	2535 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	81.76	27.44 W	0.00	2536 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	79.64	25.32 W	0.00	2537 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	81.04	26.72 W	0.00	2538 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	106.63	38.73 W	0.00	2539 of 7291 - Sale <sup>25</sup>
	12.000	81.47	06/22/20	128.21	46.74 W	0.00	2540 of 7291 - Sale <sup>25</sup>
	12.000	81.47	06/22/20	122.63	41.16 W	0.00	2541 of 7291 - Sale <sup>25</sup>
	12.000	81.47	06/22/20	119.48	38.01 W	0.00	2542 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
12.000		81.47	06/22/20	120.19	38.72 W	0.00	2543 of 7291 - Sale <sup>25</sup>
13.000		88.26	06/22/20	139.23	50.97 W	0.00	2544 of 7291 - Sale <sup>25</sup>
13.000		88.26	06/22/20	133.76	45.50 W	0.00	2545 of 7291 - Sale <sup>25</sup>
15.000		101.84	06/22/20	160.13	58.29 W	0.00	2546 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.20	3.41 W	0.00	2547 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.20	3.41 W	0.00	2548 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.23	3.44 W	0.00	2549 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.23	3.44 W	0.00	2550 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.23	3.44 W	0.00	2551 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.22	3.43 W	0.00	2552 of 7291 - Sale <sup>25</sup>
2.000		13.58	06/22/20	20.43	6.85 W	0.00	2553 of 7291 - Sale <sup>25</sup>
2.000		13.58	06/22/20	20.42	6.84 W	0.00	2554 of 7291 - Sale <sup>25</sup>
2.000		13.58	06/22/20	20.39	6.81 W	0.00	2555 of 7291 - Sale <sup>25</sup>
3.000		20.37	06/22/20	30.68	10.31 W	0.00	2556 of 7291 - Sale <sup>25</sup>
3.000		20.37	06/22/20	30.70	10.33 W	0.00	2557 of 7291 - Sale <sup>25</sup>
3.000		20.37	06/22/20	30.69	10.32 W	0.00	2558 of 7291 - Sale <sup>25</sup>
4.000		27.16	06/22/20	41.07	13.91 W	0.00	2559 of 7291 - Sale <sup>25</sup>
4.000		27.16	06/22/20	40.95	13.79 W	0.00	2560 of 7291 - Sale <sup>25</sup>
5.000		33.95	06/22/20	50.95	17.00 W	0.00	2561 of 7291 - Sale <sup>25</sup>
5.000		33.95	06/22/20	51.17	17.22 W	0.00	2562 of 7291 - Sale <sup>25</sup>
6.000		40.74	06/22/20	61.52	20.78 W	0.00	2563 of 7291 - Sale <sup>25</sup>
7.000		47.53	06/22/20	71.47	23.94 W	0.00	2564 of 7291 - Sale <sup>25</sup>
7.000		47.53	06/22/20	71.66	24.13 W	0.00	2565 of 7291 - Sale <sup>25</sup>
10.000		67.89	06/22/20	102.35	34.46 W	0.00	2566 of 7291 - Sale <sup>25</sup>
11.000		74.68	06/22/20	112.46	37.78 W	0.00	2567 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.34	3.55 W	0.00	2568 of 7291 - Sale <sup>25</sup>
1.780		12.09	06/22/20	18.23	6.14 W	0.00	2569 of 7291 - Sale <sup>25</sup>
2.000		13.58	06/22/20	20.78	7.20 W	0.00	2570 of 7291 - Sale <sup>25</sup>
2.000		13.58	06/22/20	20.71	7.13 W	0.00	2571 of 7291 - Sale <sup>25</sup>
0.220		1.49	06/22/20	2.26	0.77 W	0.00	2572 of 7291 - Sale <sup>25</sup>
0.780		5.30	06/22/20	7.71	2.41 W	0.00	2573 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.25	3.46 W	0.00	2574 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.25	3.46 W	0.00	2575 of 7291 - Sale <sup>25</sup>
1.000		6.79	06/22/20	10.26	3.47 W	0.00	2576 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.79	06/22/20	10.18	3.39 W	0.00	2577 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.91	3.12 W	0.00	2578 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.94	3.15 W	0.00	2579 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.87	3.08 W	0.00	2580 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.52	6.94 W	0.00	2581 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.34	6.76 W	0.00	2582 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	20.33	6.75 W	0.00	2583 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.46	10.09 W	0.00	2584 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.52	10.15 W	0.00	2585 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.55	10.18 W	0.00	2586 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	30.76	10.39 W	0.00	2587 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.68	9.31 W	0.00	2588 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.70	9.33 W	0.00	2589 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.73	9.36 W	0.00	2590 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	41.16	14.00 W	0.00	2591 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	51.43	17.48 W	0.00	2592 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	61.06	20.32 W	0.00	2593 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	61.09	20.35 W	0.00	2594 of 7291 - Sale <sup>25</sup>
	10.000	67.89	06/22/20	101.61	33.72 W	0.00	2595 of 7291 - Sale <sup>25</sup>
	11.000	74.68	06/22/20	113.24	38.56 W	0.00	2596 of 7291 - Sale <sup>25</sup>
	11.000	74.68	06/22/20	112.77	38.09 W	0.00	2597 of 7291 - Sale <sup>25</sup>
	12.000	81.47	06/22/20	122.68	41.21 W	0.00	2598 of 7291 - Sale <sup>25</sup>
	0.220	1.49	06/22/20	2.18	0.69 W	0.00	2599 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.94	3.15 W	0.00	2600 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.89	3.10 W	0.00	2601 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.83	3.04 W	0.00	2602 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.88	3.09 W	0.00	2603 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.86	3.07 W	0.00	2604 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.86	3.07 W	0.00	2605 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.85	3.06 W	0.00	2606 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.82	3.03 W	0.00	2607 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.83	3.04 W	0.00	2608 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.84	3.05 W	0.00	2609 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	9.84	3.05 W	0.00	2610 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.58	06/22/20	19.86	6.28 W	0.00	2611 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	19.60	6.02 W	0.00	2612 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.48	9.11 W	0.00	2613 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.49	9.12 W	0.00	2614 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.50	9.13 W	0.00	2615 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.59	9.22 W	0.00	2616 of 7291 - Sale <sup>25</sup>
	3.000	20.37	06/22/20	29.52	9.15 W	0.00	2617 of 7291 - Sale <sup>25</sup>
	4.000	27.16	06/22/20	39.48	12.32 W	0.00	2618 of 7291 - Sale <sup>25</sup>
	5.000	33.95	06/22/20	48.96	15.01 W	0.00	2619 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	68.82	21.29 W	0.00	2620 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	79.02	24.70 W	0.00	2621 of 7291 - Sale <sup>25</sup>
	8.000	54.32	06/22/20	78.34	24.02 W	0.00	2622 of 7291 - Sale <sup>25</sup>
	11.000	74.68	06/22/20	107.74	33.06 W	0.00	2623 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.69	3.90 W	0.00	2624 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	10.68	3.89 W	0.00	2625 of 7291 - Sale <sup>25</sup>
	2.000	13.58	06/22/20	21.33	7.75 W	0.00	2626 of 7291 - Sale <sup>25</sup>
	6.000	40.74	06/22/20	63.94	23.20 W	0.00	2627 of 7291 - Sale <sup>25</sup>
	10.000	67.89	06/22/20	106.92	39.03 W	0.00	2628 of 7291 - Sale <sup>25</sup>
	6.780	46.03	06/22/20	51.33	5.30 W	0.00	2629 of 7291 - Sale <sup>25</sup>
	100.000	678.94	06/22/20	768.03	89.09 W	0.00	2630 of 7291 - Sale <sup>25</sup>
	6.780	46.03	06/22/20	52.14	6.11 W	0.00	2631 of 7291 - Sale <sup>25</sup>
	93.220	632.91	06/22/20	716.88	83.97 W	0.00	2632 of 7291 - Sale <sup>25</sup>
	100.000	678.94	06/22/20	768.04	89.10 W	0.00	2633 of 7291 - Sale <sup>25</sup>
	14.000	95.05	06/22/20	107.39	12.34 W	0.00	2634 of 7291 - Sale <sup>25</sup>
	86.000	583.89	06/22/20	659.66	75.77 W	0.00	2635 of 7291 - Sale <sup>25</sup>
	100.000	678.94	06/22/20	767.04	88.10 W	0.00	2636 of 7291 - Sale <sup>25</sup>
	100.000	678.94	06/22/20	767.02	88.08 W	0.00	2637 of 7291 - Sale <sup>25</sup>
	25.000	169.74	06/22/20	191.01	21.27 W	0.00	2638 of 7291 - Sale <sup>25</sup>
	81.780	555.24	06/22/20	622.38	67.14 W	0.00	2639 of 7291 - Sale <sup>25</sup>
	93.220	632.91	06/22/20	713.17	80.26 W	0.00	2640 of 7291 - Sale <sup>25</sup>
	10.000	67.89	06/22/20	75.80	7.91 W	0.00	2641 of 7291 - Sale <sup>25</sup>
	1.220	8.28	06/22/20	9.24	0.96 W	0.00	2642 of 7291 - Sale <sup>25</sup>
	7.000	47.53	06/22/20	52.99	5.46 W	0.00	2643 of 7291 - Sale <sup>25</sup>
	91.780	623.14	06/22/20	693.89	70.75 W	0.00	2644 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.58	06/22/20	15.11	1.53 W	0.00	2645 of 7291 - Sale <sup>25</sup>
	8.220	55.81	06/22/20	62.13	6.32 W	0.00	2646 of 7291 - Sale <sup>25</sup>
	15.780	107.14	06/22/20	119.26	12.12 W	0.00	2647 of 7291 - Sale <sup>25</sup>
	74.000	502.42	06/22/20	559.28	56.86 W	0.00	2648 of 7291 - Sale <sup>25</sup>
	8.220	55.81	06/22/20	61.98	6.17 W	0.00	2649 of 7291 - Sale <sup>25</sup>
	91.780	623.13	06/22/20	692.07	68.94 W	0.00	2650 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	75.24	7.34 W	0.00	2651 of 7291 - Sale <sup>25</sup>
	10.000	67.89	06/22/20	75.27	7.38 W	0.00	2652 of 7291 - Sale <sup>25</sup>
	1.000	6.79	06/22/20	7.53	0.74 W	0.00	2653 of 7291 - Sale <sup>25</sup>
	10.000	67.90	06/22/20	75.18	7.28 W	0.00	2654 of 7291 - Sale <sup>25</sup>
	22.780	153.76	06/22/20	170.41	16.65 W	0.00	2655 of 7291 - Sale <sup>25</sup>
	77.220	521.21	06/22/20	577.64	56.43 W	0.00	2656 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	38.89	11.89 W	0.00	2657 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.53	3.78 W	0.00	2658 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.52	3.77 W	0.00	2659 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.56	3.81 W	0.00	2660 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.48	3.73 W	0.00	2661 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.48	3.73 W	0.00	2662 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.47	3.72 W	0.00	2663 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.46	3.71 W	0.00	2664 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.08	7.58 W	0.00	2665 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.96	7.46 W	0.00	2666 of 7291 - Sale <sup>25</sup>
	2.780	18.76	06/22/20	29.02	10.26 W	0.00	2667 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.61	11.36 W	0.00	2668 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.55	11.30 W	0.00	2669 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.44	11.19 W	0.00	2670 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.43	11.18 W	0.00	2671 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.97	14.97 W	0.00	2672 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.97	14.97 W	0.00	2673 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.91	14.91 W	0.00	2674 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.84	14.84 W	0.00	2675 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.76	14.76 W	0.00	2676 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	53.05	19.30 W	0.00	2677 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	63.45	22.95 W	0.00	2678 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	40.50	06/22/20	63.27	22.77 W	0.00	2679 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	63.25	22.75 W	0.00	2680 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	74.24	26.99 W	0.00	2681 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	73.77	26.52 W	0.00	2682 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	106.14	38.64 W	0.00	2683 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	104.85	37.35 W	0.00	2684 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	7.09	0.34 W	0.00	2685 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	14.16	0.66 W	0.00	2686 of 7291 - Sale <sup>25</sup>
	13.000	87.74	06/22/20	93.86	6.12 W	0.00	2687 of 7291 - Sale <sup>25</sup>
	77.220	521.20	06/22/20	577.64	56.44 W	0.00	2688 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.21	3.46 W	0.00	2689 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.16	3.41 W	0.00	2690 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.91	7.41 W	0.00	2691 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.37	6.87 W	0.00	2692 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.25	6.75 W	0.00	2693 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.69	10.44 W	0.00	2694 of 7291 - Sale <sup>25</sup>
	3.220	21.73	06/22/20	33.75	12.02 W	0.00	2695 of 7291 - Sale <sup>25</sup>
	0.780	5.26	06/22/20	7.91	2.65 W	0.00	2696 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.16	17.41 W	0.00	2697 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	63.26	22.76 W	0.00	2698 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	73.52	26.27 W	0.00	2699 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	83.66	29.66 W	0.00	2700 of 7291 - Sale <sup>25</sup>
	19.000	128.24	06/22/20	192.60	64.36 W	0.00	2701 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.88	3.13 W	0.00	2702 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.90	3.15 W	0.00	2703 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.90	3.15 W	0.00	2704 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.96	3.21 W	0.00	2705 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.93	3.18 W	0.00	2706 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.97	3.22 W	0.00	2707 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.59	2.84 W	0.00	2708 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.58	2.83 W	0.00	2709 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.56	2.81 W	0.00	2710 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.54	2.79 W	0.00	2711 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.49	2.74 W	0.00	2712 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.75	06/22/20	9.56	2.81 W	0.00	2713 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.54	2.79 W	0.00	2714 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.44	2.69 W	0.00	2715 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.45	2.70 W	0.00	2716 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.42	2.67 W	0.00	2717 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.53	2.78 W	0.00	2718 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.58	2.83 W	0.00	2719 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.58	2.83 W	0.00	2720 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.58	2.83 W	0.00	2721 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.55	2.80 W	0.00	2722 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.58	2.83 W	0.00	2723 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.89	3.14 W	0.00	2724 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.95	3.20 W	0.00	2725 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.11	5.61 W	0.00	2726 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.91	5.41 W	0.00	2727 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.90	5.40 W	0.00	2728 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.92	5.42 W	0.00	2729 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.88	5.38 W	0.00	2730 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.11	9.86 W	0.00	2731 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.10	9.85 W	0.00	2732 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.48	9.23 W	0.00	2733 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.61	8.36 W	0.00	2734 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.35	8.10 W	0.00	2735 of 7291 - Sale <sup>25</sup>
	3.220	21.73	06/22/20	32.67	10.94 W	0.00	2736 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	38.15	11.15 W	0.00	2737 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	38.14	11.14 W	0.00	2738 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	38.06	11.06 W	0.00	2739 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	38.44	11.44 W	0.00	2740 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	50.27	16.52 W	0.00	2741 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.69	13.94 W	0.00	2742 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.62	13.87 W	0.00	2743 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.77	14.02 W	0.00	2744 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	57.38	16.88 W	0.00	2745 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	56.67	16.17 W	0.00	2746 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	47.25	06/22/20	69.78	22.53 W	0.00	2747 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	75.73	21.73 W	0.00	2748 of 7291 - Sale <sup>25</sup>
	17.000	114.74	06/22/20	160.81	46.07 W	0.00	2749 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.81	3.06 W	0.00	2750 of 7291 - Sale <sup>25</sup>
	2.780	18.76	06/22/20	28.10	9.34 W	0.00	2751 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.37	3.62 W	0.00	2752 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.35	3.60 W	0.00	2753 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.36	3.61 W	0.00	2754 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.32	3.57 W	0.00	2755 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.33	3.58 W	0.00	2756 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.31	3.56 W	0.00	2757 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.41	3.66 W	0.00	2758 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.42	3.67 W	0.00	2759 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.36	3.61 W	0.00	2760 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.68	3.93 W	0.00	2761 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.30	3.55 W	0.00	2762 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.09	4.34 W	0.00	2763 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.10	4.35 W	0.00	2764 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.07	4.32 W	0.00	2765 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.04	4.29 W	0.00	2766 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.02	4.27 W	0.00	2767 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.03	4.28 W	0.00	2768 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.01	4.26 W	0.00	2769 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.03	4.28 W	0.00	2770 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.03	4.28 W	0.00	2771 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.03	4.28 W	0.00	2772 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.01	4.26 W	0.00	2773 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	11.04	4.29 W	0.00	2774 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.96	4.21 W	0.00	2775 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.96	4.21 W	0.00	2776 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.96	4.21 W	0.00	2777 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.76	4.01 W	0.00	2778 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.83	4.08 W	0.00	2779 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.91	4.16 W	0.00	2780 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.75	06/22/20	10.89	4.14 W	0.00	2781 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.82	4.07 W	0.00	2782 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.84	4.09 W	0.00	2783 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.76	4.01 W	0.00	2784 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.76	4.01 W	0.00	2785 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.76	4.01 W	0.00	2786 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.94	4.19 W	0.00	2787 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.94	4.19 W	0.00	2788 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.82	4.07 W	0.00	2789 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.83	4.08 W	0.00	2790 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.73	3.98 W	0.00	2791 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.73	3.98 W	0.00	2792 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.67	3.92 W	0.00	2793 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.74	3.99 W	0.00	2794 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.71	3.96 W	0.00	2795 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.24	3.49 W	0.00	2796 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.24	3.49 W	0.00	2797 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.27	3.52 W	0.00	2798 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.26	3.51 W	0.00	2799 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.32	3.57 W	0.00	2800 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.18	3.43 W	0.00	2801 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.81	7.31 W	0.00	2802 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.85	7.35 W	0.00	2803 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.71	7.21 W	0.00	2804 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.71	7.21 W	0.00	2805 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.73	7.23 W	0.00	2806 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	22.06	8.56 W	0.00	2807 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.95	8.45 W	0.00	2808 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	22.04	8.54 W	0.00	2809 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	22.02	8.52 W	0.00	2810 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	22.13	8.63 W	0.00	2811 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.65	8.15 W	0.00	2812 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.81	8.31 W	0.00	2813 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.60	8.10 W	0.00	2814 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.50	06/22/20	21.69	8.19 W	0.00	2815 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.49	7.99 W	0.00	2816 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.43	7.93 W	0.00	2817 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.40	7.90 W	0.00	2818 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.47	6.97 W	0.00	2819 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.47	6.97 W	0.00	2820 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.95	10.70 W	0.00	2821 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.28	11.03 W	0.00	2822 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.15	10.90 W	0.00	2823 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.16	10.91 W	0.00	2824 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.13	10.88 W	0.00	2825 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	33.07	12.82 W	0.00	2826 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	33.06	12.81 W	0.00	2827 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	33.07	12.82 W	0.00	2828 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	33.09	12.84 W	0.00	2829 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	33.08	12.83 W	0.00	2830 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.46	12.21 W	0.00	2831 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.50	12.25 W	0.00	2832 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.72	12.47 W	0.00	2833 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.29	12.04 W	0.00	2834 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.47	12.22 W	0.00	2835 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.42	12.17 W	0.00	2836 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.20	11.95 W	0.00	2837 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	32.18	11.93 W	0.00	2838 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.89	10.64 W	0.00	2839 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.89	10.64 W	0.00	2840 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.52	10.27 W	0.00	2841 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.68	14.68 W	0.00	2842 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.36	14.36 W	0.00	2843 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	44.11	17.11 W	0.00	2844 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	44.06	17.06 W	0.00	2845 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	44.16	17.16 W	0.00	2846 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	44.11	17.11 W	0.00	2847 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	44.10	17.10 W	0.00	2848 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	27.00	06/22/20	44.27	17.27 W	0.00	2849 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	43.60	16.60 W	0.00	2850 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	43.63	16.63 W	0.00	2851 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	43.55	16.55 W	0.00	2852 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	43.74	16.74 W	0.00	2853 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.07	14.07 W	0.00	2854 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.17	14.17 W	0.00	2855 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.58	17.83 W	0.00	2856 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	52.09	18.34 W	0.00	2857 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.73	17.98 W	0.00	2858 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.47	17.72 W	0.00	2859 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.92	18.17 W	0.00	2860 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.94	18.19 W	0.00	2861 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	55.62	21.87 W	0.00	2862 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	55.10	21.35 W	0.00	2863 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	54.16	20.41 W	0.00	2864 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	54.68	20.93 W	0.00	2865 of 7291 - Sale <sup>25</sup>
	5.780	39.01	06/22/20	59.25	20.24 W	0.00	2866 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	62.23	21.73 W	0.00	2867 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	63.34	22.84 W	0.00	2868 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	66.89	26.39 W	0.00	2869 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	66.28	25.78 W	0.00	2870 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	66.40	25.90 W	0.00	2871 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	64.85	24.35 W	0.00	2872 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	64.98	24.48 W	0.00	2873 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	64.20	23.70 W	0.00	2874 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	61.77	21.27 W	0.00	2875 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	60.96	20.46 W	0.00	2876 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	60.95	20.45 W	0.00	2877 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	72.15	24.90 W	0.00	2878 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	72.74	25.49 W	0.00	2879 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	77.16	29.91 W	0.00	2880 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	77.14	29.89 W	0.00	2881 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	76.26	29.01 W	0.00	2882 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	47.25	06/22/20	75.45	28.20 W	0.00	2883 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	88.18	34.18 W	0.00	2884 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	87.85	33.85 W	0.00	2885 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	87.79	33.79 W	0.00	2886 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	87.79	33.79 W	0.00	2887 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	85.80	31.80 W	0.00	2888 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	82.36	28.36 W	0.00	2889 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	82.00	28.00 W	0.00	2890 of 7291 - Sale <sup>25</sup>
	9.000	60.75	06/22/20	92.73	31.98 W	0.00	2891 of 7291 - Sale <sup>25</sup>
	9.000	60.75	06/22/20	98.98	38.23 W	0.00	2892 of 7291 - Sale <sup>25</sup>
	9.000	60.75	06/22/20	97.46	36.71 W	0.00	2893 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	103.55	36.05 W	0.00	2894 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	108.63	41.13 W	0.00	2895 of 7291 - Sale <sup>25</sup>
	10.000	67.49	06/22/20	106.93	39.44 W	0.00	2896 of 7291 - Sale <sup>25</sup>
	11.000	74.24	06/22/20	118.95	44.71 W	0.00	2897 of 7291 - Sale <sup>25</sup>
	12.000	80.99	06/22/20	128.58	47.59 W	0.00	2898 of 7291 - Sale <sup>25</sup>
	13.000	87.74	06/22/20	139.63	51.89 W	0.00	2899 of 7291 - Sale <sup>25</sup>
	13.000	87.74	06/22/20	134.16	46.42 W	0.00	2900 of 7291 - Sale <sup>25</sup>
	15.000	101.24	06/22/20	160.59	59.35 W	0.00	2901 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.87	3.12 W	0.00	2902 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.89	3.14 W	0.00	2903 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.89	3.14 W	0.00	2904 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.87	3.12 W	0.00	2905 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.82	3.07 W	0.00	2906 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.83	3.08 W	0.00	2907 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.87	3.12 W	0.00	2908 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.82	3.07 W	0.00	2909 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.81	3.06 W	0.00	2910 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.14	3.39 W	0.00	2911 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.46	3.71 W	0.00	2912 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.49	3.74 W	0.00	2913 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.47	3.72 W	0.00	2914 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.56	3.81 W	0.00	2915 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.58	3.83 W	0.00	2916 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.75	06/22/20	10.58	3.83 W	0.00	2917 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.52	3.77 W	0.00	2918 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.76	6.26 W	0.00	2919 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.65	6.15 W	0.00	2920 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.76	6.26 W	0.00	2921 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.76	6.26 W	0.00	2922 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.66	6.16 W	0.00	2923 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.29	6.79 W	0.00	2924 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.61	7.11 W	0.00	2925 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.96	7.46 W	0.00	2926 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.95	7.45 W	0.00	2927 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.97	7.47 W	0.00	2928 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.91	7.41 W	0.00	2929 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.04	7.54 W	0.00	2930 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.05	7.55 W	0.00	2931 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.06	7.56 W	0.00	2932 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.04	7.54 W	0.00	2933 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.31	9.06 W	0.00	2934 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.65	9.40 W	0.00	2935 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.65	9.40 W	0.00	2936 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.59	9.34 W	0.00	2937 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.47	9.22 W	0.00	2938 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.41	11.16 W	0.00	2939 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.61	11.36 W	0.00	2940 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	31.98	11.73 W	0.00	2941 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	39.54	12.54 W	0.00	2942 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	39.52	12.52 W	0.00	2943 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	40.59	13.59 W	0.00	2944 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.82	14.82 W	0.00	2945 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	42.12	15.12 W	0.00	2946 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	42.14	15.14 W	0.00	2947 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	42.07	15.07 W	0.00	2948 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	49.47	15.72 W	0.00	2949 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	52.36	18.61 W	0.00	2950 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	33.75	06/22/20	52.72	18.97 W	0.00	2951 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	52.92	19.17 W	0.00	2952 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	59.29	18.79 W	0.00	2953 of 7291 - Sale <sup>25</sup>
	6.000	40.49	06/22/20	62.70	22.21 W	0.00	2954 of 7291 - Sale <sup>25</sup>
	6.000	40.49	06/22/20	63.18	22.69 W	0.00	2955 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	69.17	21.92 W	0.00	2956 of 7291 - Sale <sup>25</sup>
	7.000	47.24	06/22/20	73.24	26.00 W	0.00	2957 of 7291 - Sale <sup>25</sup>
	7.000	47.24	06/22/20	73.11	25.87 W	0.00	2958 of 7291 - Sale <sup>25</sup>
	7.000	47.24	06/22/20	73.68	26.44 W	0.00	2959 of 7291 - Sale <sup>25</sup>
	7.000	47.24	06/22/20	74.05	26.81 W	0.00	2960 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	79.12	25.12 W	0.00	2961 of 7291 - Sale <sup>25</sup>
	8.000	53.99	06/22/20	83.82	29.83 W	0.00	2962 of 7291 - Sale <sup>25</sup>
	11.000	74.24	06/22/20	116.00	41.76 W	0.00	2963 of 7291 - Sale <sup>25</sup>
	16.000	107.99	06/22/20	168.78	60.79 W	0.00	2964 of 7291 - Sale <sup>25</sup>
	18.000	121.49	06/22/20	177.89	56.40 W	0.00	2965 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.73	2.98 W	0.00	2966 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.71	2.96 W	0.00	2967 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.71	2.96 W	0.00	2968 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.61	2.86 W	0.00	2969 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.09	3.34 W	0.00	2970 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.48	5.98 W	0.00	2971 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.48	5.98 W	0.00	2972 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.48	5.98 W	0.00	2973 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.43	5.93 W	0.00	2974 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.26	5.76 W	0.00	2975 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.88	6.38 W	0.00	2976 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.38	9.13 W	0.00	2977 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.22	8.97 W	0.00	2978 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.22	8.97 W	0.00	2979 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.19	8.94 W	0.00	2980 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.20	8.95 W	0.00	2981 of 7291 - Sale <sup>25</sup>
	3.000	20.24	06/22/20	29.93	9.69 W	0.00	2982 of 7291 - Sale <sup>25</sup>
	5.000	33.74	06/22/20	49.12	15.38 W	0.00	2983 of 7291 - Sale <sup>25</sup>
	5.000	33.74	06/22/20	49.96	16.22 W	0.00	2984 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.220	14.98	06/22/20	22.12	7.14 W	0.00	2985 of 7291 - Sale <sup>25</sup>
	6.000	40.49	06/22/20	59.99	19.50 W	0.00	2986 of 7291 - Sale <sup>25</sup>
	6.000	40.49	06/22/20	59.72	19.23 W	0.00	2987 of 7291 - Sale <sup>25</sup>
	7.000	47.24	06/22/20	69.93	22.69 W	0.00	2988 of 7291 - Sale <sup>25</sup>
	8.000	53.99	06/22/20	79.95	25.96 W	0.00	2989 of 7291 - Sale <sup>25</sup>
	9.000	60.74	06/22/20	89.78	29.04 W	0.00	2990 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.98	3.23 W	0.00	2991 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.97	3.22 W	0.00	2992 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.98	3.23 W	0.00	2993 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.24	3.49 W	0.00	2994 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.95	9.70 W	0.00	2995 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	39.82	12.82 W	0.00	2996 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	39.94	12.94 W	0.00	2997 of 7291 - Sale <sup>25</sup>
	2.780	18.76	06/22/20	27.69	8.93 W	0.00	2998 of 7291 - Sale <sup>25</sup>
	9.000	60.75	06/22/20	90.78	30.03 W	0.00	2999 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	99.66	32.16 W	0.00	3000 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.08	3.33 W	0.00	3001 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.07	3.32 W	0.00	3002 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.14	3.39 W	0.00	3003 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.08	3.33 W	0.00	3004 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.07	3.32 W	0.00	3005 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.79	3.04 W	0.00	3006 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.48	2.73 W	0.00	3007 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.65	2.90 W	0.00	3008 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.64	2.89 W	0.00	3009 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.68	2.93 W	0.00	3010 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.63	2.88 W	0.00	3011 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.44	2.69 W	0.00	3012 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.48	2.73 W	0.00	3013 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.32	2.57 W	0.00	3014 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.16	2.41 W	0.00	3015 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.16	2.41 W	0.00	3016 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.00	2.25 W	0.00	3017 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.87	2.12 W	0.00	3018 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.75	06/22/20	8.89	2.14 W	0.00	3019 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.89	2.14 W	0.00	3020 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.89	2.14 W	0.00	3021 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.91	2.16 W	0.00	3022 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.91	2.16 W	0.00	3023 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.90	2.15 W	0.00	3024 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.86	2.11 W	0.00	3025 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.89	2.14 W	0.00	3026 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.89	2.14 W	0.00	3027 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.92	2.17 W	0.00	3028 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.92	2.17 W	0.00	3029 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.93	2.18 W	0.00	3030 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.01	2.26 W	0.00	3031 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.00	2.25 W	0.00	3032 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.98	2.23 W	0.00	3033 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	8.95	2.20 W	0.00	3034 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.23	2.48 W	0.00	3035 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.38	2.63 W	0.00	3036 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.38	2.63 W	0.00	3037 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.38	2.63 W	0.00	3038 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.38	2.63 W	0.00	3039 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.38	2.63 W	0.00	3040 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.35	2.60 W	0.00	3041 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.41	2.66 W	0.00	3042 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.14	6.64 W	0.00	3043 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.12	6.62 W	0.00	3044 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.12	6.62 W	0.00	3045 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.14	6.64 W	0.00	3046 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.13	6.63 W	0.00	3047 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.07	6.57 W	0.00	3048 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.80	6.30 W	0.00	3049 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.91	5.41 W	0.00	3050 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.88	5.38 W	0.00	3051 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.82	5.32 W	0.00	3052 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.50	06/22/20	19.30	5.80 W	0.00	3053 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.93	5.43 W	0.00	3054 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.48	4.98 W	0.00	3055 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.27	4.77 W	0.00	3056 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.31	4.81 W	0.00	3057 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.68	4.18 W	0.00	3058 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.78	4.28 W	0.00	3059 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.78	4.28 W	0.00	3060 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.68	4.18 W	0.00	3061 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.80	4.30 W	0.00	3062 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.82	4.32 W	0.00	3063 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.74	4.24 W	0.00	3064 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.78	4.28 W	0.00	3065 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.84	4.34 W	0.00	3066 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.04	4.54 W	0.00	3067 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.97	4.47 W	0.00	3068 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	17.91	4.41 W	0.00	3069 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.47	4.97 W	0.00	3070 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.77	5.27 W	0.00	3071 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.76	5.26 W	0.00	3072 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.82	5.32 W	0.00	3073 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.84	5.34 W	0.00	3074 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	18.96	5.46 W	0.00	3075 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.23	9.98 W	0.00	3076 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.96	8.71 W	0.00	3077 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.94	8.69 W	0.00	3078 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.72	8.47 W	0.00	3079 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.56	8.31 W	0.00	3080 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.48	8.23 W	0.00	3081 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	28.73	8.48 W	0.00	3082 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	27.95	7.70 W	0.00	3083 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	27.87	7.62 W	0.00	3084 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	27.68	7.43 W	0.00	3085 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	26.69	6.44 W	0.00	3086 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.25	06/22/20	26.72	6.47 W	0.00	3087 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	26.75	6.50 W	0.00	3088 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	26.96	6.71 W	0.00	3089 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	26.96	6.71 W	0.00	3090 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	27.53	7.28 W	0.00	3091 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.14	8.89 W	0.00	3092 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	38.02	11.02 W	0.00	3093 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	35.56	8.56 W	0.00	3094 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	35.23	8.23 W	0.00	3095 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	35.51	8.51 W	0.00	3096 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	35.91	8.91 W	0.00	3097 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	36.92	9.92 W	0.00	3098 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	36.70	9.70 W	0.00	3099 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	36.74	9.74 W	0.00	3100 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	37.42	10.42 W	0.00	3101 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	37.56	10.56 W	0.00	3102 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	50.37	16.62 W	0.00	3103 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	50.39	16.64 W	0.00	3104 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	50.24	16.49 W	0.00	3105 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.27	13.52 W	0.00	3106 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.87	14.12 W	0.00	3107 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.32	13.57 W	0.00	3108 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.29	13.54 W	0.00	3109 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.61	13.86 W	0.00	3110 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	46.56	12.81 W	0.00	3111 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	44.24	10.49 W	0.00	3112 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	44.46	10.71 W	0.00	3113 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	44.61	10.86 W	0.00	3114 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	47.04	13.29 W	0.00	3115 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	60.46	19.96 W	0.00	3116 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	57.72	17.22 W	0.00	3117 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	57.08	16.58 W	0.00	3118 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	53.03	12.53 W	0.00	3119 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	53.92	13.42 W	0.00	3120 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.220	41.98	06/22/20	62.88	20.90 W	0.00	3121 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	67.24	19.99 W	0.00	3122 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	79.98	25.98 W	0.00	3123 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	74.55	20.55 W	0.00	3124 of 7291 - Sale <sup>25</sup>
	9.000	60.75	06/22/20	90.78	30.03 W	0.00	3125 of 7291 - Sale <sup>25</sup>
	9.000	60.75	06/22/20	80.27	19.52 W	0.00	3126 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	88.05	20.55 W	0.00	3127 of 7291 - Sale <sup>25</sup>
	11.000	74.25	06/22/20	103.46	29.21 W	0.00	3128 of 7291 - Sale <sup>25</sup>
	12.000	81.00	06/22/20	107.88	26.88 W	0.00	3129 of 7291 - Sale <sup>25</sup>
	13.000	87.75	06/22/20	115.41	27.66 W	0.00	3130 of 7291 - Sale <sup>25</sup>
	16.000	108.00	06/22/20	160.74	52.74 W	0.00	3131 of 7291 - Sale <sup>25</sup>
	0.220	1.48	06/22/20	2.27	0.79 W	0.00	3132 of 7291 - Sale <sup>25</sup>
	0.220	1.48	06/22/20	2.19	0.71 W	0.00	3133 of 7291 - Sale <sup>25</sup>
	0.780	5.26	06/22/20	7.74	2.48 W	0.00	3134 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.25	3.50 W	0.00	3135 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.04	3.29 W	0.00	3136 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.06	3.31 W	0.00	3137 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.08	3.33 W	0.00	3138 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.04	3.29 W	0.00	3139 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.07	3.32 W	0.00	3140 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.05	3.30 W	0.00	3141 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.04	3.29 W	0.00	3142 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.07	3.32 W	0.00	3143 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.17	3.42 W	0.00	3144 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.20	3.45 W	0.00	3145 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.20	3.45 W	0.00	3146 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.16	3.41 W	0.00	3147 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.16	3.41 W	0.00	3148 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.21	3.46 W	0.00	3149 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.16	3.41 W	0.00	3150 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.36	3.61 W	0.00	3151 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.38	3.63 W	0.00	3152 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.29	3.54 W	0.00	3153 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.29	3.54 W	0.00	3154 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.75	06/22/20	10.30	3.55 W	0.00	3155 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.22	3.47 W	0.00	3156 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.95	3.20 W	0.00	3157 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.98	3.23 W	0.00	3158 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.91	3.16 W	0.00	3159 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.98	3.23 W	0.00	3160 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.93	3.18 W	0.00	3161 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.87	3.12 W	0.00	3162 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.92	3.17 W	0.00	3163 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.90	3.15 W	0.00	3164 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.90	3.15 W	0.00	3165 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.89	3.14 W	0.00	3166 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.86	3.11 W	0.00	3167 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.87	3.12 W	0.00	3168 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.88	3.13 W	0.00	3169 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	9.88	3.13 W	0.00	3170 of 7291 - Sale <sup>25</sup>
	1.780	12.01	06/22/20	18.30	6.29 W	0.00	3171 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.09	6.59 W	0.00	3172 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.10	6.60 W	0.00	3173 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.17	6.67 W	0.00	3174 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.11	6.61 W	0.00	3175 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.12	6.62 W	0.00	3176 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.11	6.61 W	0.00	3177 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.88	6.38 W	0.00	3178 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.68	7.18 W	0.00	3179 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.86	7.36 W	0.00	3180 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.79	7.29 W	0.00	3181 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.60	7.10 W	0.00	3182 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.42	6.92 W	0.00	3183 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.41	6.91 W	0.00	3184 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.94	6.44 W	0.00	3185 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	19.68	6.18 W	0.00	3186 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.13	9.88 W	0.00	3187 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.57	10.32 W	0.00	3188 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.25	06/22/20	30.58	10.33 W	0.00	3189 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.64	10.39 W	0.00	3190 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.67	10.42 W	0.00	3191 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.88	10.63 W	0.00	3192 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.80	9.55 W	0.00	3193 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.82	9.57 W	0.00	3194 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.85	9.60 W	0.00	3195 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.60	9.35 W	0.00	3196 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.61	9.36 W	0.00	3197 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.62	9.37 W	0.00	3198 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.71	9.46 W	0.00	3199 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	29.64	9.39 W	0.00	3200 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	40.16	13.16 W	0.00	3201 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	40.15	13.15 W	0.00	3202 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	40.61	13.61 W	0.00	3203 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.32	14.32 W	0.00	3204 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	39.64	12.64 W	0.00	3205 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	50.41	16.66 W	0.00	3206 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	50.22	16.47 W	0.00	3207 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.63	17.88 W	0.00	3208 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	49.16	15.41 W	0.00	3209 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	61.00	20.50 W	0.00	3210 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	61.30	20.80 W	0.00	3211 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	61.33	20.83 W	0.00	3212 of 7291 - Sale <sup>25</sup>
	6.220	41.98	06/22/20	63.81	21.83 W	0.00	3213 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	69.10	21.85 W	0.00	3214 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	79.96	25.96 W	0.00	3215 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	81.36	27.36 W	0.00	3216 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	79.34	25.34 W	0.00	3217 of 7291 - Sale <sup>25</sup>
	8.000	54.00	06/22/20	78.66	24.66 W	0.00	3218 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	102.02	34.52 W	0.00	3219 of 7291 - Sale <sup>25</sup>
	11.000	74.25	06/22/20	113.69	39.44 W	0.00	3220 of 7291 - Sale <sup>25</sup>
	11.000	74.25	06/22/20	113.22	38.97 W	0.00	3221 of 7291 - Sale <sup>25</sup>
	11.000	74.25	06/22/20	108.19	33.94 W	0.00	3222 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	12.000	81.00	06/22/20	119.97	38.97 W	0.00	3223 of 7291 - Sale <sup>25</sup>
	12.000	81.00	06/22/20	120.68	39.68 W	0.00	3224 of 7291 - Sale <sup>25</sup>
	12.000	80.99	06/22/20	123.17	42.18 W	0.00	3225 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.73	3.98 W	0.00	3226 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.72	3.97 W	0.00	3227 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	21.41	7.91 W	0.00	3228 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	64.18	23.68 W	0.00	3229 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	107.33	39.83 W	0.00	3230 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.24	3.49 W	0.00	3231 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.24	3.49 W	0.00	3232 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.27	3.52 W	0.00	3233 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.27	3.52 W	0.00	3234 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.27	3.52 W	0.00	3235 of 7291 - Sale <sup>25</sup>
	1.000	6.75	06/22/20	10.26	3.51 W	0.00	3236 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.51	7.01 W	0.00	3237 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.50	7.00 W	0.00	3238 of 7291 - Sale <sup>25</sup>
	2.000	13.50	06/22/20	20.47	6.97 W	0.00	3239 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.80	10.55 W	0.00	3240 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.82	10.57 W	0.00	3241 of 7291 - Sale <sup>25</sup>
	3.000	20.25	06/22/20	30.81	10.56 W	0.00	3242 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.23	14.23 W	0.00	3243 of 7291 - Sale <sup>25</sup>
	4.000	27.00	06/22/20	41.11	14.11 W	0.00	3244 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.15	17.40 W	0.00	3245 of 7291 - Sale <sup>25</sup>
	5.000	33.75	06/22/20	51.37	17.62 W	0.00	3246 of 7291 - Sale <sup>25</sup>
	6.000	40.50	06/22/20	61.76	21.26 W	0.00	3247 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	71.75	24.50 W	0.00	3248 of 7291 - Sale <sup>25</sup>
	7.000	47.25	06/22/20	71.94	24.69 W	0.00	3249 of 7291 - Sale <sup>25</sup>
	10.000	67.50	06/22/20	102.76	35.26 W	0.00	3250 of 7291 - Sale <sup>25</sup>
	11.000	74.24	06/22/20	112.91	38.67 W	0.00	3251 of 7291 - Sale <sup>25</sup>
	6.780	45.76	06/22/20	51.61	5.85 W	0.00	3252 of 7291 - Sale <sup>25</sup>
	6.780	45.76	06/22/20	52.42	6.66 W	0.00	3253 of 7291 - Sale <sup>25</sup>
	14.000	94.49	06/22/20	107.96	13.47 W	0.00	3254 of 7291 - Sale <sup>25</sup>
	86.000	580.46	06/22/20	663.15	82.69 W	0.00	3255 of 7291 - Sale <sup>25</sup>
	93.220	629.19	06/22/20	720.66	91.47 W	0.00	3256 of 7291 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	100.000	674.95	06/22/20	772.09	97.14 W	0.00	3257 of 7291 - Sale <sup>25</sup>
	100.000	674.95	06/22/20	772.10	97.15 W	0.00	3258 of 7291 - Sale <sup>25</sup>
	100.000	674.95	06/22/20	771.10	96.15 W	0.00	3259 of 7291 - Sale <sup>25</sup>
	100.000	674.95	06/22/20	771.08	96.13 W	0.00	3260 of 7291 - Sale <sup>25</sup>
	6.780	45.76	06/22/20	51.74	5.98 W	0.00	3261 of 7291 - Sale <sup>25</sup>
	93.220	629.19	06/22/20	712.28	83.09 W	0.00	3262 of 7291 - Sale <sup>25</sup>
	18.220	122.98	06/22/20	138.98	16.00 W	0.00	3263 of 7291 - Sale <sup>25</sup>
	81.780	551.99	06/22/20	621.40	69.41 W	0.00	3264 of 7291 - Sale <sup>25</sup>
	1.220	8.15	06/22/20	9.10	0.95 W	0.00	3265 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	52.15	5.39 W	0.00	3266 of 7291 - Sale <sup>25</sup>
	10.000	66.80	06/22/20	74.61	7.81 W	0.00	3267 of 7291 - Sale <sup>25</sup>
	48.780	325.83	06/22/20	362.96	37.13 W	0.00	3268 of 7291 - Sale <sup>25</sup>
	33.000	220.43	06/22/20	245.55	25.12 W	0.00	3269 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	14.87	1.51 W	0.00	3270 of 7291 - Sale <sup>25</sup>
	8.220	54.91	06/22/20	61.15	6.24 W	0.00	3271 of 7291 - Sale <sup>25</sup>
	10.000	66.80	06/22/20	74.41	7.61 W	0.00	3272 of 7291 - Sale <sup>25</sup>
	17.780	118.77	06/22/20	132.25	13.48 W	0.00	3273 of 7291 - Sale <sup>25</sup>
	8.220	54.91	06/22/20	61.00	6.09 W	0.00	3274 of 7291 - Sale <sup>25</sup>
	15.780	105.41	06/22/20	117.37	11.96 W	0.00	3275 of 7291 - Sale <sup>25</sup>
	48.780	325.83	06/22/20	362.00	36.17 W	0.00	3276 of 7291 - Sale <sup>25</sup>
	56.220	375.54	06/22/20	418.19	42.65 W	0.00	3277 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.29	3.61 W	0.00	3278 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.27	3.59 W	0.00	3279 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.28	3.60 W	0.00	3280 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.24	3.56 W	0.00	3281 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.25	3.57 W	0.00	3282 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.65	7.29 W	0.00	3283 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.69	7.33 W	0.00	3284 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.55	7.19 W	0.00	3285 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.55	7.19 W	0.00	3286 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.71	10.67 W	0.00	3287 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	31.04	11.00 W	0.00	3288 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.91	10.87 W	0.00	3289 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.92	10.88 W	0.00	3290 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	26.72	06/22/20	38.57	11.85 W	0.00	3291 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	41.36	14.64 W	0.00	3292 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	41.04	14.32 W	0.00	3293 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.18	17.78 W	0.00	3294 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.69	18.29 W	0.00	3295 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.33	17.93 W	0.00	3296 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	61.75	21.67 W	0.00	3297 of 7291 - Sale <sup>25</sup>
	3.000	20.03	06/22/20	30.68	10.65 W	0.00	3298 of 7291 - Sale <sup>25</sup>
	10.000	66.79	06/22/20	102.75	35.96 W	0.00	3299 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.23	3.55 W	0.00	3300 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.33	3.65 W	0.00	3301 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.34	3.66 W	0.00	3302 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.28	3.60 W	0.00	3303 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.57	7.21 W	0.00	3304 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.89	10.85 W	0.00	3305 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.07	17.67 W	0.00	3306 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.52	18.12 W	0.00	3307 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.54	18.14 W	0.00	3308 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	40.91	14.19 W	0.00	3309 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	72.18	25.42 W	0.00	3310 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.60	3.92 W	0.00	3311 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.22	3.54 W	0.00	3312 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	62.86	22.78 W	0.00	3313 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.12	17.72 W	0.00	3314 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	11.01	4.33 W	0.00	3315 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	11.02	4.34 W	0.00	3316 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.99	4.31 W	0.00	3317 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.96	4.28 W	0.00	3318 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.94	4.26 W	0.00	3319 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.95	4.27 W	0.00	3320 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.93	4.25 W	0.00	3321 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.95	4.27 W	0.00	3322 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.95	4.27 W	0.00	3323 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.95	4.27 W	0.00	3324 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.68	06/22/20	10.93	4.25 W	0.00	3325 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.96	4.28 W	0.00	3326 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.88	4.20 W	0.00	3327 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.88	4.20 W	0.00	3328 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.88	4.20 W	0.00	3329 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.68	4.00 W	0.00	3330 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.75	4.07 W	0.00	3331 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.83	4.15 W	0.00	3332 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.90	8.54 W	0.00	3333 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.79	8.43 W	0.00	3334 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.88	8.52 W	0.00	3335 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.86	8.50 W	0.00	3336 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.97	8.61 W	0.00	3337 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.49	8.13 W	0.00	3338 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.65	8.29 W	0.00	3339 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.83	12.79 W	0.00	3340 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.82	12.78 W	0.00	3341 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.83	12.79 W	0.00	3342 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.85	12.81 W	0.00	3343 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.84	12.80 W	0.00	3344 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.22	12.18 W	0.00	3345 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.26	12.22 W	0.00	3346 of 7291 - Sale <sup>25</sup>
	2.000	13.35	06/22/20	21.65	8.30 W	0.00	3347 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.79	17.07 W	0.00	3348 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.74	17.02 W	0.00	3349 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.84	17.12 W	0.00	3350 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.79	17.07 W	0.00	3351 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.78	17.06 W	0.00	3352 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.95	17.23 W	0.00	3353 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.28	16.56 W	0.00	3354 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.31	16.59 W	0.00	3355 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	55.22	21.82 W	0.00	3356 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	54.70	21.30 W	0.00	3357 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	53.76	20.36 W	0.00	3358 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	40.08	06/22/20	66.41	26.33 W	0.00	3359 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	65.80	25.72 W	0.00	3360 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	65.92	25.84 W	0.00	3361 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	64.37	24.29 W	0.00	3362 of 7291 - Sale <sup>25</sup>
	6.000	40.07	06/22/20	64.50	24.43 W	0.00	3363 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	76.60	29.84 W	0.00	3364 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	76.58	29.82 W	0.00	3365 of 7291 - Sale <sup>25</sup>
	8.000	53.44	06/22/20	87.54	34.10 W	0.00	3366 of 7291 - Sale <sup>25</sup>
	8.000	53.43	06/22/20	87.21	33.78 W	0.00	3367 of 7291 - Sale <sup>25</sup>
	8.000	53.44	06/22/20	87.15	33.71 W	0.00	3368 of 7291 - Sale <sup>25</sup>
	8.000	53.43	06/22/20	87.15	33.72 W	0.00	3369 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	40.89	14.17 W	0.00	3370 of 7291 - Sale <sup>25</sup>
	9.000	60.12	06/22/20	98.26	38.14 W	0.00	3371 of 7291 - Sale <sup>25</sup>
	9.000	60.11	06/22/20	96.74	36.63 W	0.00	3372 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.81	4.13 W	0.00	3373 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.74	4.06 W	0.00	3374 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.76	4.08 W	0.00	3375 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.68	4.00 W	0.00	3376 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.68	4.00 W	0.00	3377 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.68	4.00 W	0.00	3378 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.86	4.18 W	0.00	3379 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.86	4.18 W	0.00	3380 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.74	4.06 W	0.00	3381 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.75	4.07 W	0.00	3382 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.65	3.97 W	0.00	3383 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.65	3.97 W	0.00	3384 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.61	3.93 W	0.00	3385 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.44	8.08 W	0.00	3386 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.53	8.17 W	0.00	3387 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.33	7.97 W	0.00	3388 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.27	7.91 W	0.00	3389 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.83	4.15 W	0.00	3390 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.05	12.01 W	0.00	3391 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	32.23	12.19 W	0.00	3392 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.04	06/22/20	32.18	12.14 W	0.00	3393 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	31.96	11.92 W	0.00	3394 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.23	16.51 W	0.00	3395 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	43.42	16.70 W	0.00	3396 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	54.28	20.88 W	0.00	3397 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	75.70	28.94 W	0.00	3398 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	74.89	28.13 W	0.00	3399 of 7291 - Sale <sup>25</sup>
	8.000	53.44	06/22/20	85.16	31.72 W	0.00	3400 of 7291 - Sale <sup>25</sup>
	10.000	66.80	06/22/20	107.83	41.03 W	0.00	3401 of 7291 - Sale <sup>25</sup>
	11.000	73.48	06/22/20	118.08	44.60 W	0.00	3402 of 7291 - Sale <sup>25</sup>
	12.000	80.16	06/22/20	127.63	47.47 W	0.00	3403 of 7291 - Sale <sup>25</sup>
	13.000	86.84	06/22/20	138.60	51.76 W	0.00	3404 of 7291 - Sale <sup>25</sup>
	0.780	5.21	06/22/20	7.85	2.64 W	0.00	3405 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.45	3.77 W	0.00	3406 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.44	3.76 W	0.00	3407 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.48	3.80 W	0.00	3408 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.40	3.72 W	0.00	3409 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.40	3.72 W	0.00	3410 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.39	3.71 W	0.00	3411 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.38	3.70 W	0.00	3412 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.13	3.45 W	0.00	3413 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.08	3.40 W	0.00	3414 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.80	3.12 W	0.00	3415 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.82	3.14 W	0.00	3416 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.82	3.14 W	0.00	3417 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.88	3.20 W	0.00	3418 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.85	3.17 W	0.00	3419 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.89	3.21 W	0.00	3420 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.51	2.83 W	0.00	3421 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.50	2.82 W	0.00	3422 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.48	2.80 W	0.00	3423 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.46	2.78 W	0.00	3424 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.41	2.73 W	0.00	3425 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.48	2.80 W	0.00	3426 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.68	06/22/20	9.46	2.78 W	0.00	3427 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.36	2.68 W	0.00	3428 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.37	2.69 W	0.00	3429 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.34	2.66 W	0.00	3430 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.45	2.77 W	0.00	3431 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.50	2.82 W	0.00	3432 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.50	2.82 W	0.00	3433 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.50	2.82 W	0.00	3434 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.47	2.79 W	0.00	3435 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.50	2.82 W	0.00	3436 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.81	3.13 W	0.00	3437 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.87	3.19 W	0.00	3438 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.92	7.56 W	0.00	3439 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.80	7.44 W	0.00	3440 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.75	7.39 W	0.00	3441 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.21	6.85 W	0.00	3442 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.09	6.73 W	0.00	3443 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	18.95	5.59 W	0.00	3444 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	18.75	5.39 W	0.00	3445 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	18.74	5.38 W	0.00	3446 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	18.76	5.40 W	0.00	3447 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	18.72	5.36 W	0.00	3448 of 7291 - Sale <sup>25</sup>
	2.780	18.57	06/22/20	28.80	10.23 W	0.00	3449 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	31.37	11.33 W	0.00	3450 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	31.31	11.27 W	0.00	3451 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	31.20	11.16 W	0.00	3452 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	31.19	11.15 W	0.00	3453 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.45	10.41 W	0.00	3454 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.87	9.83 W	0.00	3455 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.86	9.82 W	0.00	3456 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.24	9.20 W	0.00	3457 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	28.37	8.33 W	0.00	3458 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	28.11	8.07 W	0.00	3459 of 7291 - Sale <sup>25</sup>
	3.220	21.51	06/22/20	33.50	11.99 W	0.00	3460 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.220	21.51	06/22/20	32.42	10.91 W	0.00	3461 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	41.65	14.93 W	0.00	3462 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	41.65	14.93 W	0.00	3463 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	41.59	14.87 W	0.00	3464 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	41.52	14.80 W	0.00	3465 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	41.44	14.72 W	0.00	3466 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	37.83	11.11 W	0.00	3467 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	37.82	11.10 W	0.00	3468 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	37.74	11.02 W	0.00	3469 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	38.12	11.40 W	0.00	3470 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	52.65	19.25 W	0.00	3471 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	50.76	17.36 W	0.00	3472 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	49.87	16.47 W	0.00	3473 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	47.29	13.89 W	0.00	3474 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	47.22	13.82 W	0.00	3475 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	47.37	13.97 W	0.00	3476 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	62.97	22.89 W	0.00	3477 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	62.79	22.71 W	0.00	3478 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	62.77	22.69 W	0.00	3479 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	62.78	22.70 W	0.00	3480 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	56.90	16.82 W	0.00	3481 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	56.19	16.11 W	0.00	3482 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	73.68	26.92 W	0.00	3483 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	73.21	26.45 W	0.00	3484 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	72.96	26.20 W	0.00	3485 of 7291 - Sale <sup>25</sup>
	7.000	46.76	06/22/20	69.22	22.46 W	0.00	3486 of 7291 - Sale <sup>25</sup>
	8.000	53.44	06/22/20	83.02	29.58 W	0.00	3487 of 7291 - Sale <sup>25</sup>
	8.000	53.43	06/22/20	75.09	21.66 W	0.00	3488 of 7291 - Sale <sup>25</sup>
	10.000	66.80	06/22/20	105.34	38.54 W	0.00	3489 of 7291 - Sale <sup>25</sup>
	10.000	66.80	06/22/20	104.05	37.25 W	0.00	3490 of 7291 - Sale <sup>25</sup>
	17.000	113.55	06/22/20	159.46	45.91 W	0.00	3491 of 7291 - Sale <sup>25</sup>
	19.000	126.91	06/22/20	191.09	64.18 W	0.00	3492 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.73	3.05 W	0.00	3493 of 7291 - Sale <sup>25</sup>
	2.780	18.57	06/22/20	27.88	9.31 W	0.00	3494 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.68	06/22/20	7.41	0.73 W	0.00	3495 of 7291 - Sale <sup>25</sup>
	10.000	66.79	06/22/20	74.04	7.25 W	0.00	3496 of 7291 - Sale <sup>25</sup>
	10.000	66.79	06/22/20	74.08	7.29 W	0.00	3497 of 7291 - Sale <sup>25</sup>
	10.000	66.79	06/22/20	73.98	7.19 W	0.00	3498 of 7291 - Sale <sup>25</sup>
	22.780	152.16	06/22/20	168.59	16.43 W	0.00	3499 of 7291 - Sale <sup>25</sup>
	43.000	287.21	06/22/20	319.11	31.90 W	0.00	3500 of 7291 - Sale <sup>25</sup>
	77.220	515.78	06/22/20	571.49	55.71 W	0.00	3501 of 7291 - Sale <sup>25</sup>
	12.220	81.62	06/22/20	90.44	8.82 W	0.00	3502 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.59	3.91 W	0.00	3503 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	21.24	7.88 W	0.00	3504 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	63.72	23.64 W	0.00	3505 of 7291 - Sale <sup>25</sup>
	9.000	60.12	06/22/20	95.53	35.41 W	0.00	3506 of 7291 - Sale <sup>25</sup>
	15.000	100.20	06/22/20	159.40	59.20 W	0.00	3507 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.66	3.98 W	0.00	3508 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.63	3.95 W	0.00	3509 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.16	3.48 W	0.00	3510 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.16	3.48 W	0.00	3511 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.19	3.51 W	0.00	3512 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.18	3.50 W	0.00	3513 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.24	3.56 W	0.00	3514 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.10	3.42 W	0.00	3515 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.31	6.95 W	0.00	3516 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.31	6.95 W	0.00	3517 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	31.94	11.90 W	0.00	3518 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.65	10.61 W	0.00	3519 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.65	10.61 W	0.00	3520 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	30.28	10.24 W	0.00	3521 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	40.75	14.03 W	0.00	3522 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	40.85	14.13 W	0.00	3523 of 7291 - Sale <sup>25</sup>
	5.780	38.61	06/22/20	58.79	20.18 W	0.00	3524 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	61.29	21.21 W	0.00	3525 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	60.48	20.40 W	0.00	3526 of 7291 - Sale <sup>25</sup>
	6.000	40.08	06/22/20	60.47	20.39 W	0.00	3527 of 7291 - Sale <sup>25</sup>
	8.000	53.44	06/22/20	81.72	28.28 W	0.00	3528 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	53.44	06/22/20	81.36	27.92 W	0.00	3529 of 7291 - Sale <sup>25</sup>
	13.000	86.83	06/22/20	133.13	46.30 W	0.00	3530 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.79	3.11 W	0.00	3531 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.07	9.03 W	0.00	3532 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.41	9.37 W	0.00	3533 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	39.22	12.50 W	0.00	3534 of 7291 - Sale <sup>25</sup>
	4.220	28.19	06/22/20	41.40	13.21 W	0.00	3535 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	7.01	0.33 W	0.00	3536 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	14.00	0.64 W	0.00	3537 of 7291 - Sale <sup>25</sup>
	13.000	86.84	06/22/20	92.83	5.99 W	0.00	3538 of 7291 - Sale <sup>25</sup>
	65.000	434.18	06/22/20	481.06	46.88 W	0.00	3539 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.81	3.13 W	0.00	3540 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.81	3.13 W	0.00	3541 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.79	3.11 W	0.00	3542 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.74	3.06 W	0.00	3543 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.75	3.07 W	0.00	3544 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	19.60	6.24 W	0.00	3545 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	19.49	6.13 W	0.00	3546 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	19.60	6.24 W	0.00	3547 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	19.60	6.24 W	0.00	3548 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.41	9.37 W	0.00	3549 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.35	9.31 W	0.00	3550 of 7291 - Sale <sup>25</sup>
	3.000	20.04	06/22/20	29.23	9.19 W	0.00	3551 of 7291 - Sale <sup>25</sup>
	3.780	25.25	06/22/20	37.08	11.83 W	0.00	3552 of 7291 - Sale <sup>25</sup>
	4.000	26.71	06/22/20	39.20	12.49 W	0.00	3553 of 7291 - Sale <sup>25</sup>
	6.000	40.07	06/22/20	58.81	18.74 W	0.00	3554 of 7291 - Sale <sup>25</sup>
	7.000	46.75	06/22/20	68.61	21.86 W	0.00	3555 of 7291 - Sale <sup>25</sup>
	9.220	61.58	06/22/20	90.39	28.81 W	0.00	3556 of 7291 - Sale <sup>25</sup>
	0.220	1.47	06/22/20	2.29	0.82 W	0.00	3557 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.79	3.11 W	0.00	3558 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.74	3.06 W	0.00	3559 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	9.73	3.05 W	0.00	3560 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.06	3.38 W	0.00	3561 of 7291 - Sale <sup>25</sup>
	1.000	6.68	06/22/20	10.38	3.70 W	0.00	3562 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.36	06/22/20	19.50	6.14 W	0.00	3563 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.13	6.77 W	0.00	3564 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.45	7.09 W	0.00	3565 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.80	7.44 W	0.00	3566 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.79	7.43 W	0.00	3567 of 7291 - Sale <sup>25</sup>
	2.000	13.36	06/22/20	20.81	7.45 W	0.00	3568 of 7291 - Sale <sup>25</sup>
	4.000	26.72	06/22/20	40.27	13.55 W	0.00	3569 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	49.07	15.67 W	0.00	3570 of 7291 - Sale <sup>25</sup>
	5.000	33.40	06/22/20	51.96	18.56 W	0.00	3571 of 7291 - Sale <sup>25</sup>
	8.000	53.44	06/22/20	83.19	29.75 W	0.00	3572 of 7291 - Sale <sup>25</sup>
	8.780	58.65	06/22/20	86.07	27.42 W	0.00	3573 of 7291 - Sale <sup>25</sup>
	0.780	5.31	06/22/20	8.11	2.80 W	0.00	3574 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.38	3.57 W	0.00	3575 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.47	3.66 W	0.00	3576 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.49	3.68 W	0.00	3577 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.49	3.68 W	0.00	3578 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.43	3.62 W	0.00	3579 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.73	7.11 W	0.00	3580 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.86	7.24 W	0.00	3581 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.87	7.25 W	0.00	3582 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.88	7.26 W	0.00	3583 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.86	7.24 W	0.00	3584 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	31.14	10.71 W	0.00	3585 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	31.34	10.91 W	0.00	3586 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	31.71	11.28 W	0.00	3587 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	41.45	14.21 W	0.00	3588 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	41.75	14.51 W	0.00	3589 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	41.77	14.53 W	0.00	3590 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	41.70	14.46 W	0.00	3591 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	52.26	18.20 W	0.00	3592 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	52.46	18.40 W	0.00	3593 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	62.16	21.29 W	0.00	3594 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	62.64	21.77 W	0.00	3595 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	72.61	24.93 W	0.00	3596 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	47.68	06/22/20	72.48	24.80 W	0.00	3597 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	73.05	25.37 W	0.00	3598 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	73.42	25.74 W	0.00	3599 of 7291 - Sale <sup>25</sup>
	11.000	74.92	06/22/20	115.01	40.09 W	0.00	3600 of 7291 - Sale <sup>25</sup>
	16.000	108.98	06/22/20	167.33	58.35 W	0.00	3601 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.64	2.83 W	0.00	3602 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.62	2.81 W	0.00	3603 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.62	2.81 W	0.00	3604 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.52	2.71 W	0.00	3605 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.00	3.19 W	0.00	3606 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.89	3.08 W	0.00	3607 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.88	3.07 W	0.00	3608 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.89	3.08 W	0.00	3609 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.15	3.34 W	0.00	3610 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.30	5.68 W	0.00	3611 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.30	5.68 W	0.00	3612 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.30	5.68 W	0.00	3613 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.25	5.63 W	0.00	3614 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.08	5.46 W	0.00	3615 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.70	6.08 W	0.00	3616 of 7291 - Sale <sup>25</sup>
	2.220	15.12	06/22/20	21.92	6.80 W	0.00	3617 of 7291 - Sale <sup>25</sup>
	2.780	18.94	06/22/20	27.44	8.50 W	0.00	3618 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.11	8.68 W	0.00	3619 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.95	8.52 W	0.00	3620 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.95	8.52 W	0.00	3621 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.92	8.49 W	0.00	3622 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.93	8.50 W	0.00	3623 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.67	9.24 W	0.00	3624 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.68	9.25 W	0.00	3625 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	39.45	12.21 W	0.00	3626 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	39.57	12.33 W	0.00	3627 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	48.67	14.61 W	0.00	3628 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	49.51	15.45 W	0.00	3629 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	59.45	18.58 W	0.00	3630 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	40.87	06/22/20	59.18	18.31 W	0.00	3631 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	69.30	21.62 W	0.00	3632 of 7291 - Sale <sup>25</sup>
	8.000	54.49	06/22/20	79.23	24.74 W	0.00	3633 of 7291 - Sale <sup>25</sup>
	9.000	61.30	06/22/20	88.97	27.67 W	0.00	3634 of 7291 - Sale <sup>25</sup>
	9.000	61.30	06/22/20	89.96	28.66 W	0.00	3635 of 7291 - Sale <sup>25</sup>
	10.000	68.11	06/22/20	98.75	30.64 W	0.00	3636 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.99	3.18 W	0.00	3637 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.98	3.17 W	0.00	3638 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.05	3.24 W	0.00	3639 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.99	3.18 W	0.00	3640 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.98	3.17 W	0.00	3641 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.70	2.89 W	0.00	3642 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.39	2.58 W	0.00	3643 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.56	2.75 W	0.00	3644 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.55	2.74 W	0.00	3645 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.59	2.78 W	0.00	3646 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.54	2.73 W	0.00	3647 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.35	2.54 W	0.00	3648 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.39	2.58 W	0.00	3649 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.23	2.42 W	0.00	3650 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.07	2.26 W	0.00	3651 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.07	2.26 W	0.00	3652 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.91	2.10 W	0.00	3653 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.78	1.97 W	0.00	3654 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.80	1.99 W	0.00	3655 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.80	1.99 W	0.00	3656 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.80	1.99 W	0.00	3657 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.82	2.01 W	0.00	3658 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.82	2.01 W	0.00	3659 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.96	6.34 W	0.00	3660 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.94	6.32 W	0.00	3661 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.94	6.32 W	0.00	3662 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.96	6.34 W	0.00	3663 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.95	6.33 W	0.00	3664 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	13.62	06/22/20	19.89	6.27 W	0.00	3665 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.62	6.00 W	0.00	3666 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.73	5.11 W	0.00	3667 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.70	5.08 W	0.00	3668 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.64	5.02 W	0.00	3669 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.12	5.50 W	0.00	3670 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.75	5.13 W	0.00	3671 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.30	4.68 W	0.00	3672 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.09	4.47 W	0.00	3673 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.13	4.51 W	0.00	3674 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.50	3.88 W	0.00	3675 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.60	3.98 W	0.00	3676 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.60	3.98 W	0.00	3677 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.50	3.88 W	0.00	3678 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.61	3.99 W	0.00	3679 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.62	4.00 W	0.00	3680 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.96	9.53 W	0.00	3681 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.68	8.25 W	0.00	3682 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.66	8.23 W	0.00	3683 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.44	8.01 W	0.00	3684 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.29	7.86 W	0.00	3685 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.21	7.78 W	0.00	3686 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.45	8.02 W	0.00	3687 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	27.67	7.24 W	0.00	3688 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	27.60	7.17 W	0.00	3689 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	27.40	6.97 W	0.00	3690 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	26.41	5.98 W	0.00	3691 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	37.65	10.41 W	0.00	3692 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	35.19	7.95 W	0.00	3693 of 7291 - Sale <sup>25</sup>
	4.000	27.24	06/22/20	34.86	7.62 W	0.00	3694 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	49.91	15.85 W	0.00	3695 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	49.93	15.87 W	0.00	3696 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	49.78	15.72 W	0.00	3697 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	46.81	12.75 W	0.00	3698 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	34.06	06/22/20	47.41	13.35 W	0.00	3699 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	46.86	12.80 W	0.00	3700 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	46.83	12.77 W	0.00	3701 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	47.15	13.09 W	0.00	3702 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	46.10	12.04 W	0.00	3703 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	43.78	9.72 W	0.00	3704 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	44.00	9.94 W	0.00	3705 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	59.91	19.04 W	0.00	3706 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	57.17	16.30 W	0.00	3707 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	56.53	15.66 W	0.00	3708 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	52.48	11.61 W	0.00	3709 of 7291 - Sale <sup>25</sup>
	6.220	42.37	06/22/20	62.32	19.95 W	0.00	3710 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	66.60	18.92 W	0.00	3711 of 7291 - Sale <sup>25</sup>
	8.000	54.49	06/22/20	79.25	24.76 W	0.00	3712 of 7291 - Sale <sup>25</sup>
	8.000	54.49	06/22/20	73.82	19.33 W	0.00	3713 of 7291 - Sale <sup>25</sup>
	9.000	61.30	06/22/20	89.96	28.66 W	0.00	3714 of 7291 - Sale <sup>25</sup>
	9.000	61.30	06/22/20	79.44	18.14 W	0.00	3715 of 7291 - Sale <sup>25</sup>
	10.000	68.11	06/22/20	87.13	19.02 W	0.00	3716 of 7291 - Sale <sup>25</sup>
	16.000	108.98	06/22/20	159.28	50.30 W	0.00	3717 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.81	2.00 W	0.00	3718 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.80	1.99 W	0.00	3719 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.76	1.95 W	0.00	3720 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.77	1.96 W	0.00	3721 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.62	4.00 W	0.00	3722 of 7291 - Sale <sup>25</sup>
	13.000	88.55	06/22/20	114.11	25.56 W	0.00	3723 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.77	1.96 W	0.00	3724 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.79	1.98 W	0.00	3725 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.79	1.98 W	0.00	3726 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.82	2.01 W	0.00	3727 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.82	2.01 W	0.00	3728 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.83	2.02 W	0.00	3729 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.91	2.10 W	0.00	3730 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.90	2.09 W	0.00	3731 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	8.88	2.07 W	0.00	3732 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.81	06/22/20	8.85	2.04 W	0.00	3733 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.13	2.32 W	0.00	3734 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.28	2.47 W	0.00	3735 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.28	2.47 W	0.00	3736 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.28	2.47 W	0.00	3737 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.28	2.47 W	0.00	3738 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.58	3.96 W	0.00	3739 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.64	4.02 W	0.00	3740 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.84	4.22 W	0.00	3741 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.77	4.15 W	0.00	3742 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	17.71	4.09 W	0.00	3743 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.27	4.65 W	0.00	3744 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.57	4.95 W	0.00	3745 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	26.45	6.02 W	0.00	3746 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	26.66	6.23 W	0.00	3747 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	26.66	6.23 W	0.00	3748 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	27.23	6.80 W	0.00	3749 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	35.11	7.86 W	0.00	3750 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	35.51	8.26 W	0.00	3751 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	36.52	9.27 W	0.00	3752 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	36.30	9.05 W	0.00	3753 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	36.34	9.09 W	0.00	3754 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	44.11	10.05 W	0.00	3755 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	53.32	12.45 W	0.00	3756 of 7291 - Sale <sup>25</sup>
	12.000	81.74	06/22/20	106.68	24.94 W	0.00	3757 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.15	3.34 W	0.00	3758 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.94	3.13 W	0.00	3759 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.96	3.15 W	0.00	3760 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.98	3.17 W	0.00	3761 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.94	3.13 W	0.00	3762 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.97	3.16 W	0.00	3763 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.95	3.14 W	0.00	3764 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.94	3.13 W	0.00	3765 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.97	3.16 W	0.00	3766 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.81	06/22/20	10.07	3.26 W	0.00	3767 of 7291 - Sale <sup>25</sup>
	1.780	12.12	06/22/20	17.92	5.80 W	0.00	3768 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.89	6.27 W	0.00	3769 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.90	6.28 W	0.00	3770 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.97	6.35 W	0.00	3771 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.91	6.29 W	0.00	3772 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.92	6.30 W	0.00	3773 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.91	6.29 W	0.00	3774 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.83	9.40 W	0.00	3775 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	39.76	12.51 W	0.00	3776 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	39.75	12.50 W	0.00	3777 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	40.21	12.96 W	0.00	3778 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	49.91	15.85 W	0.00	3779 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	49.72	15.66 W	0.00	3780 of 7291 - Sale <sup>25</sup>
	6.220	42.37	06/22/20	63.19	20.82 W	0.00	3781 of 7291 - Sale <sup>25</sup>
	8.000	54.49	06/22/20	79.16	24.67 W	0.00	3782 of 7291 - Sale <sup>25</sup>
	12.000	81.74	06/22/20	118.77	37.03 W	0.00	3783 of 7291 - Sale <sup>25</sup>
	12.000	81.74	06/22/20	119.48	37.74 W	0.00	3784 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.28	2.47 W	0.00	3785 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.25	2.44 W	0.00	3786 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.31	2.50 W	0.00	3787 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.56	4.94 W	0.00	3788 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.62	5.00 W	0.00	3789 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.64	5.02 W	0.00	3790 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	18.76	5.14 W	0.00	3791 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	28.84	8.41 W	0.00	3792 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	37.02	9.77 W	0.00	3793 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	37.16	9.91 W	0.00	3794 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	46.54	12.48 W	0.00	3795 of 7291 - Sale <sup>25</sup>
	11.000	74.92	06/22/20	102.36	27.44 W	0.00	3796 of 7291 - Sale <sup>25</sup>
	0.220	1.50	06/22/20	2.25	0.75 W	0.00	3797 of 7291 - Sale <sup>25</sup>
	0.780	5.31	06/22/20	7.89	2.58 W	0.00	3798 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.10	3.29 W	0.00	3799 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.10	3.29 W	0.00	3800 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.81	06/22/20	10.06	3.25 W	0.00	3801 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.06	3.25 W	0.00	3802 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.11	3.30 W	0.00	3803 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.06	3.25 W	0.00	3804 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.26	3.45 W	0.00	3805 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.28	3.47 W	0.00	3806 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.19	3.38 W	0.00	3807 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.19	3.38 W	0.00	3808 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.20	3.39 W	0.00	3809 of 7291 - Sale <sup>25</sup>
	1.780	12.12	06/22/20	18.13	6.01 W	0.00	3810 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.68	6.06 W	0.00	3811 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.48	6.86 W	0.00	3812 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.66	7.04 W	0.00	3813 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.59	6.97 W	0.00	3814 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.40	6.78 W	0.00	3815 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.22	6.60 W	0.00	3816 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	30.27	9.84 W	0.00	3817 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	30.28	9.85 W	0.00	3818 of 7291 - Sale <sup>25</sup>
	4.220	28.74	06/22/20	42.48	13.74 W	0.00	3819 of 7291 - Sale <sup>25</sup>
	8.000	54.49	06/22/20	80.56	26.07 W	0.00	3820 of 7291 - Sale <sup>25</sup>
	10.000	68.11	06/22/20	101.02	32.91 W	0.00	3821 of 7291 - Sale <sup>25</sup>
	0.220	1.50	06/22/20	2.17	0.67 W	0.00	3822 of 7291 - Sale <sup>25</sup>
	0.780	5.31	06/22/20	7.67	2.36 W	0.00	3823 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.12	3.31 W	0.00	3824 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.85	3.04 W	0.00	3825 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.88	3.07 W	0.00	3826 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.81	3.00 W	0.00	3827 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.88	3.07 W	0.00	3828 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.83	3.02 W	0.00	3829 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.77	2.96 W	0.00	3830 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.82	3.01 W	0.00	3831 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.80	2.99 W	0.00	3832 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.80	2.99 W	0.00	3833 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.79	2.98 W	0.00	3834 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.81	06/22/20	9.76	2.95 W	0.00	3835 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.77	2.96 W	0.00	3836 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.78	2.97 W	0.00	3837 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	9.78	2.97 W	0.00	3838 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.21	6.59 W	0.00	3839 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.74	6.12 W	0.00	3840 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	19.48	5.86 W	0.00	3841 of 7291 - Sale <sup>25</sup>
	2.220	15.12	06/22/20	22.45	7.33 W	0.00	3842 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	30.37	9.94 W	0.00	3843 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	30.58	10.15 W	0.00	3844 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.50	9.07 W	0.00	3845 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.52	9.09 W	0.00	3846 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.55	9.12 W	0.00	3847 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.30	8.87 W	0.00	3848 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.31	8.88 W	0.00	3849 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.32	8.89 W	0.00	3850 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.41	8.98 W	0.00	3851 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	29.34	8.91 W	0.00	3852 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	40.92	13.67 W	0.00	3853 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	39.24	11.99 W	0.00	3854 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	51.13	17.07 W	0.00	3855 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	48.66	14.60 W	0.00	3856 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	60.70	19.83 W	0.00	3857 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	60.73	19.86 W	0.00	3858 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	68.40	20.72 W	0.00	3859 of 7291 - Sale <sup>25</sup>
	8.000	54.49	06/22/20	78.54	24.05 W	0.00	3860 of 7291 - Sale <sup>25</sup>
	8.000	54.49	06/22/20	77.86	23.37 W	0.00	3861 of 7291 - Sale <sup>25</sup>
	11.000	74.92	06/22/20	112.59	37.67 W	0.00	3862 of 7291 - Sale <sup>25</sup>
	11.000	74.92	06/22/20	112.12	37.20 W	0.00	3863 of 7291 - Sale <sup>25</sup>
	11.000	74.93	06/22/20	107.09	32.16 W	0.00	3864 of 7291 - Sale <sup>25</sup>
	12.000	81.74	06/22/20	121.98	40.24 W	0.00	3865 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.63	3.82 W	0.00	3866 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.62	3.81 W	0.00	3867 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	21.21	7.59 W	0.00	3868 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	40.87	06/22/20	63.58	22.71 W	0.00	3869 of 7291 - Sale <sup>25</sup>
	10.000	68.11	06/22/20	106.33	38.22 W	0.00	3870 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.14	3.33 W	0.00	3871 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.14	3.33 W	0.00	3872 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.31	6.69 W	0.00	3873 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.30	6.68 W	0.00	3874 of 7291 - Sale <sup>25</sup>
	2.000	13.62	06/22/20	20.27	6.65 W	0.00	3875 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	40.83	13.58 W	0.00	3876 of 7291 - Sale <sup>25</sup>
	4.780	32.56	06/22/20	48.59	16.03 W	0.00	3877 of 7291 - Sale <sup>25</sup>
	5.000	34.06	06/22/20	50.65	16.59 W	0.00	3878 of 7291 - Sale <sup>25</sup>
	6.000	40.87	06/22/20	61.16	20.29 W	0.00	3879 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.17	3.36 W	0.00	3880 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.17	3.36 W	0.00	3881 of 7291 - Sale <sup>25</sup>
	2.780	18.94	06/22/20	28.27	9.33 W	0.00	3882 of 7291 - Sale <sup>25</sup>
	6.220	42.37	06/22/20	63.23	20.86 W	0.00	3883 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	71.05	23.37 W	0.00	3884 of 7291 - Sale <sup>25</sup>
	0.220	1.50	06/22/20	2.23	0.73 W	0.00	3885 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	30.51	10.08 W	0.00	3886 of 7291 - Sale <sup>25</sup>
	3.000	20.43	06/22/20	30.50	10.07 W	0.00	3887 of 7291 - Sale <sup>25</sup>
	3.780	25.75	06/22/20	38.45	12.70 W	0.00	3888 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.16	3.35 W	0.00	3889 of 7291 - Sale <sup>25</sup>
	1.000	6.81	06/22/20	10.15	3.34 W	0.00	3890 of 7291 - Sale <sup>25</sup>
	1.220	8.31	06/22/20	12.40	4.09 W	0.00	3891 of 7291 - Sale <sup>25</sup>
	4.000	27.25	06/22/20	40.66	13.41 W	0.00	3892 of 7291 - Sale <sup>25</sup>
	7.000	47.68	06/22/20	71.15	23.47 W	0.00	3893 of 7291 - Sale <sup>25</sup>
	10.000	68.11	06/22/20	101.63	33.52 W	0.00	3894 of 7291 - Sale <sup>25</sup>
	6.780	46.18	06/22/20	50.84	4.66 W	0.00	3895 of 7291 - Sale <sup>25</sup>
	19.000	129.42	06/22/20	144.55	15.13 W	0.00	3896 of 7291 - Sale <sup>25</sup>
	50.000	340.57	06/22/20	380.51	39.94 W	0.00	3897 of 7291 - Sale <sup>25</sup>
	19.000	129.42	06/22/20	144.80	15.38 W	0.00	3898 of 7291 - Sale <sup>25</sup>
	31.000	211.16	06/22/20	235.95	24.79 W	0.00	3899 of 7291 - Sale <sup>25</sup>
	50.000	340.57	06/22/20	381.06	40.49 W	0.00	3900 of 7291 - Sale <sup>25</sup>
	6.780	46.18	06/22/20	51.81	5.63 W	0.00	3901 of 7291 - Sale <sup>25</sup>
	19.000	129.42	06/22/20	145.00	15.58 W	0.00	3902 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
24.220		164.97	06/22/20	185.08	20.11 W	0.00	3903 of 7291 - Sale <sup>25</sup>
1.000		6.81	06/22/20	7.62	0.81 W	0.00	3904 of 7291 - Sale <sup>25</sup>
10.000		68.11	06/22/20	76.19	8.08 W	0.00	3905 of 7291 - Sale <sup>25</sup>
14.000		95.36	06/22/20	106.85	11.49 W	0.00	3906 of 7291 - Sale <sup>25</sup>
16.000		108.98	06/22/20	122.10	13.12 W	0.00	3907 of 7291 - Sale <sup>25</sup>
70.000		476.80	06/22/20	534.89	58.09 W	0.00	3908 of 7291 - Sale <sup>25</sup>
10.000		68.12	06/22/20	76.32	8.20 W	0.00	3909 of 7291 - Sale <sup>25</sup>
10.000		68.12	06/22/20	76.30	8.18 W	0.00	3910 of 7291 - Sale <sup>25</sup>
10.000		68.11	06/22/20	76.29	8.18 W	0.00	3911 of 7291 - Sale <sup>25</sup>
10.000		68.12	06/22/20	76.30	8.18 W	0.00	3912 of 7291 - Sale <sup>25</sup>
10.000		68.11	06/22/20	76.31	8.20 W	0.00	3913 of 7291 - Sale <sup>25</sup>
5.000		34.06	06/22/20	38.15	4.09 W	0.00	3914 of 7291 - Sale <sup>25</sup>
5.000		34.06	06/22/20	38.15	4.09 W	0.00	3915 of 7291 - Sale <sup>25</sup>
1.000		6.81	06/22/20	7.61	0.80 W	0.00	3916 of 7291 - Sale <sup>25</sup>
4.000		27.25	06/22/20	30.44	3.19 W	0.00	3917 of 7291 - Sale <sup>25</sup>
5.000		34.06	06/22/20	38.06	4.00 W	0.00	3918 of 7291 - Sale <sup>25</sup>
6.640		45.23	06/22/20	50.54	5.31 W	0.00	3919 of 7291 - Sale <sup>25</sup>
52.360		356.64	06/22/20	402.09	45.45 W	0.00	3920 of 7291 - Sale <sup>25</sup>
6.640		45.75	06/22/20	50.99	5.24 W	0.00	3921 of 7291 - Sale <sup>25</sup>
41.000		282.49	06/22/20	314.86	32.37 W	0.00	3922 of 7291 - Sale <sup>25</sup>
1.220		8.41	06/22/20	9.15	0.74 W	0.00	3923 of 7291 - Sale <sup>25</sup>
2.000		13.78	06/22/20	14.95	1.17 W	0.00	3924 of 7291 - Sale <sup>25</sup>
6.780		46.71	06/22/20	51.54	4.83 W	0.00	3925 of 7291 - Sale <sup>25</sup>
7.000		48.23	06/22/20	52.43	4.20 W	0.00	3926 of 7291 - Sale <sup>25</sup>
8.220		56.63	06/22/20	61.48	4.85 W	0.00	3927 of 7291 - Sale <sup>25</sup>
10.000		68.90	06/22/20	75.01	6.11 W	0.00	3928 of 7291 - Sale <sup>25</sup>
10.000		68.90	06/22/20	74.81	5.91 W	0.00	3929 of 7291 - Sale <sup>25</sup>
17.780		122.50	06/22/20	132.96	10.46 W	0.00	3930 of 7291 - Sale <sup>25</sup>
18.220		125.53	06/22/20	138.44	12.91 W	0.00	3931 of 7291 - Sale <sup>25</sup>
33.000		227.37	06/22/20	246.88	19.51 W	0.00	3932 of 7291 - Sale <sup>25</sup>
48.780		336.09	06/22/20	364.93	28.84 W	0.00	3933 of 7291 - Sale <sup>25</sup>
21.000		144.69	06/22/20	157.05	12.36 W	0.00	3934 of 7291 - Sale <sup>25</sup>
81.780		563.46	06/22/20	618.97	55.51 W	0.00	3935 of 7291 - Sale <sup>25</sup>
93.220		642.28	06/22/20	709.53	67.25 W	0.00	3936 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	93.360	643.24	06/22/20	717.13	73.89 W	0.00	3937 of 7291 - Sale <sup>25</sup>
	12.420	89.67	06/22/20	92.87	3.20 W	0.00	3938 of 7291 - Sale <sup>25</sup>
	35.220	254.28	06/22/20	263.40	9.12 W	0.00	3939 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.28	3.06 W	0.00	3940 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.63	6.19 W	0.00	3941 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.66	6.22 W	0.00	3942 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.67	9.01 W	0.00	3943 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.00	9.34 W	0.00	3944 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.87	9.21 W	0.00	3945 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.88	9.22 W	0.00	3946 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	38.52	9.64 W	0.00	3947 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.31	12.43 W	0.00	3948 of 7291 - Sale <sup>25</sup>
	4.640	33.50	06/22/20	47.70	14.20 W	0.00	3949 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.12	15.02 W	0.00	3950 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.63	15.53 W	0.00	3951 of 7291 - Sale <sup>25</sup>
	3.360	24.26	06/22/20	24.95	0.69 W	0.00	3952 of 7291 - Sale <sup>25</sup>
	8.220	59.35	06/22/20	60.90	1.55 W	0.00	3953 of 7291 - Sale <sup>25</sup>
	48.780	352.18	06/22/20	361.40	9.22 W	0.00	3954 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.26	3.04 W	0.00	3955 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.27	3.05 W	0.00	3956 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.23	3.01 W	0.00	3957 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.24	3.02 W	0.00	3958 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.22	3.00 W	0.00	3959 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.32	3.10 W	0.00	3960 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.33	3.11 W	0.00	3961 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.27	3.05 W	0.00	3962 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.59	3.37 W	0.00	3963 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.21	2.99 W	0.00	3964 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	11.00	3.78 W	0.00	3965 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	11.01	3.79 W	0.00	3966 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.98	3.76 W	0.00	3967 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.95	3.73 W	0.00	3968 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.93	3.71 W	0.00	3969 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.94	3.72 W	0.00	3970 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.22	06/22/20	10.92	3.70 W	0.00	3971 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.94	3.72 W	0.00	3972 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.94	3.72 W	0.00	3973 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.94	3.72 W	0.00	3974 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.92	3.70 W	0.00	3975 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.95	3.73 W	0.00	3976 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.87	3.65 W	0.00	3977 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.87	3.65 W	0.00	3978 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.87	3.65 W	0.00	3979 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.67	3.45 W	0.00	3980 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.74	3.52 W	0.00	3981 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.82	3.60 W	0.00	3982 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.82	3.60 W	0.00	3983 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.80	3.58 W	0.00	3984 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.73	3.51 W	0.00	3985 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.75	3.53 W	0.00	3986 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.67	3.45 W	0.00	3987 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.67	3.45 W	0.00	3988 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.67	3.45 W	0.00	3989 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.85	3.63 W	0.00	3990 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.85	3.63 W	0.00	3991 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.73	3.51 W	0.00	3992 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.74	3.52 W	0.00	3993 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.64	3.42 W	0.00	3994 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.64	3.42 W	0.00	3995 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.60	3.38 W	0.00	3996 of 7291 - Sale <sup>25</sup>
	1.360	9.82	06/22/20	13.98	4.16 W	0.00	3997 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.52	6.08 W	0.00	3998 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.52	6.08 W	0.00	3999 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.54	6.10 W	0.00	4000 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.87	7.43 W	0.00	4001 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.76	7.32 W	0.00	4002 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.85	7.41 W	0.00	4003 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.83	7.39 W	0.00	4004 of 7291 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.44	06/22/20	21.94	7.50 W	0.00	4005 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.46	7.02 W	0.00	4006 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.62	7.18 W	0.00	4007 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.63	7.19 W	0.00	4008 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.41	6.97 W	0.00	4009 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.50	7.06 W	0.00	4010 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.30	6.86 W	0.00	4011 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.24	6.80 W	0.00	4012 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.65	8.99 W	0.00	4013 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.85	9.19 W	0.00	4014 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.79	11.13 W	0.00	4015 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.78	11.12 W	0.00	4016 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.79	11.13 W	0.00	4017 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.81	11.15 W	0.00	4018 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.80	11.14 W	0.00	4019 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.18	10.52 W	0.00	4020 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.22	10.56 W	0.00	4021 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.01	10.35 W	0.00	4022 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.19	10.53 W	0.00	4023 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.14	10.48 W	0.00	4024 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.92	10.26 W	0.00	4025 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	40.99	12.11 W	0.00	4026 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	40.86	11.98 W	0.00	4027 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	40.84	11.96 W	0.00	4028 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.74	14.86 W	0.00	4029 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.69	14.81 W	0.00	4030 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.79	14.91 W	0.00	4031 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.74	14.86 W	0.00	4032 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.73	14.85 W	0.00	4033 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.90	15.02 W	0.00	4034 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.23	14.35 W	0.00	4035 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.26	14.38 W	0.00	4036 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.18	14.30 W	0.00	4037 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	43.37	14.49 W	0.00	4038 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.10	06/22/20	51.27	15.17 W	0.00	4039 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.01	14.91 W	0.00	4040 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.46	15.36 W	0.00	4041 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.48	15.38 W	0.00	4042 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.06	14.96 W	0.00	4043 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	55.16	19.06 W	0.00	4044 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	54.64	18.54 W	0.00	4045 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	53.70	17.60 W	0.00	4046 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	54.22	18.12 W	0.00	4047 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	62.78	19.46 W	0.00	4048 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	66.33	23.01 W	0.00	4049 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	65.72	22.40 W	0.00	4050 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	65.84	22.52 W	0.00	4051 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	64.29	20.97 W	0.00	4052 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	64.43	21.11 W	0.00	4053 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	72.09	21.55 W	0.00	4054 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	76.51	25.97 W	0.00	4055 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	76.49	25.95 W	0.00	4056 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	75.61	25.07 W	0.00	4057 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	74.80	24.26 W	0.00	4058 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	87.44	29.68 W	0.00	4059 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	87.12	29.36 W	0.00	4060 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	87.05	29.29 W	0.00	4061 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	87.06	29.30 W	0.00	4062 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	85.06	27.30 W	0.00	4063 of 7291 - Sale <sup>25</sup>
	9.000	64.98	06/22/20	98.15	33.17 W	0.00	4064 of 7291 - Sale <sup>25</sup>
	9.000	64.98	06/22/20	96.64	31.66 W	0.00	4065 of 7291 - Sale <sup>25</sup>
	10.000	72.20	06/22/20	102.63	30.43 W	0.00	4066 of 7291 - Sale <sup>25</sup>
	10.000	72.20	06/22/20	107.70	35.50 W	0.00	4067 of 7291 - Sale <sup>25</sup>
	11.000	79.42	06/22/20	117.94	38.52 W	0.00	4068 of 7291 - Sale <sup>25</sup>
	12.000	86.64	06/22/20	127.48	40.84 W	0.00	4069 of 7291 - Sale <sup>25</sup>
	13.000	93.85	06/22/20	138.44	44.59 W	0.00	4070 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.44	3.22 W	0.00	4071 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.43	3.21 W	0.00	4072 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.22	06/22/20	10.47	3.25 W	0.00	4073 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.39	3.17 W	0.00	4074 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.39	3.17 W	0.00	4075 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.38	3.16 W	0.00	4076 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.37	3.15 W	0.00	4077 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.89	6.45 W	0.00	4078 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.77	6.33 W	0.00	4079 of 7291 - Sale <sup>25</sup>
	2.780	20.07	06/22/20	28.76	8.69 W	0.00	4080 of 7291 - Sale <sup>25</sup>
	2.860	20.65	06/22/20	29.72	9.07 W	0.00	4081 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.33	9.67 W	0.00	4082 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.27	9.61 W	0.00	4083 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.16	9.50 W	0.00	4084 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.15	9.49 W	0.00	4085 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.60	12.72 W	0.00	4086 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.60	12.72 W	0.00	4087 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.54	12.66 W	0.00	4088 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.47	12.59 W	0.00	4089 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.39	12.51 W	0.00	4090 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	52.59	16.49 W	0.00	4091 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	62.89	19.57 W	0.00	4092 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	62.71	19.39 W	0.00	4093 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	62.69	19.37 W	0.00	4094 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	73.59	23.05 W	0.00	4095 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	73.12	22.58 W	0.00	4096 of 7291 - Sale <sup>25</sup>
	10.000	72.20	06/22/20	105.21	33.01 W	0.00	4097 of 7291 - Sale <sup>25</sup>
	10.000	72.20	06/22/20	103.93	31.73 W	0.00	4098 of 7291 - Sale <sup>25</sup>
	0.360	2.60	06/22/20	3.74	1.14 W	0.00	4099 of 7291 - Sale <sup>25</sup>
	0.640	4.62	06/22/20	6.67	2.05 W	0.00	4100 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.82	6.38 W	0.00	4101 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.54	3.32 W	0.00	4102 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.54	3.32 W	0.00	4103 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.55	3.33 W	0.00	4104 of 7291 - Sale <sup>25</sup>
	0.640	4.62	06/22/20	6.77	2.15 W	0.00	4105 of 7291 - Sale <sup>25</sup>
	1.360	9.82	06/22/20	14.33	4.51 W	0.00	4106 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.10	06/22/20	52.91	16.81 W	0.00	4107 of 7291 - Sale <sup>25</sup>
	0.640	4.62	06/22/20	6.69	2.07 W	0.00	4108 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.83	3.61 W	0.00	4109 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.90	3.68 W	0.00	4110 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.87	3.65 W	0.00	4111 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.40	3.18 W	0.00	4112 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.40	3.18 W	0.00	4113 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.43	3.21 W	0.00	4114 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.42	3.20 W	0.00	4115 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.71	7.27 W	0.00	4116 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.78	6.34 W	0.00	4117 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.65	10.99 W	0.00	4118 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.70	12.82 W	0.00	4119 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.79	12.91 W	0.00	4120 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	65.14	21.82 W	0.00	4121 of 7291 - Sale <sup>25</sup>
	9.000	64.98	06/22/20	97.67	32.69 W	0.00	4122 of 7291 - Sale <sup>25</sup>
	15.000	108.29	06/22/20	162.96	54.67 W	0.00	4123 of 7291 - Sale <sup>25</sup>
	0.360	2.60	06/22/20	3.85	1.25 W	0.00	4124 of 7291 - Sale <sup>25</sup>
	0.780	5.63	06/22/20	8.04	2.41 W	0.00	4125 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.37	3.15 W	0.00	4126 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.32	3.10 W	0.00	4127 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.04	2.82 W	0.00	4128 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.06	2.84 W	0.00	4129 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.06	2.84 W	0.00	4130 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.12	2.90 W	0.00	4131 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.09	2.87 W	0.00	4132 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.13	2.91 W	0.00	4133 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.75	2.53 W	0.00	4134 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.74	2.52 W	0.00	4135 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.72	2.50 W	0.00	4136 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.70	2.48 W	0.00	4137 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.65	2.43 W	0.00	4138 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.72	2.50 W	0.00	4139 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.70	2.48 W	0.00	4140 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.22	06/22/20	9.60	2.38 W	0.00	4141 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.61	2.39 W	0.00	4142 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.58	2.36 W	0.00	4143 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.69	2.47 W	0.00	4144 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.74	2.52 W	0.00	4145 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.74	2.52 W	0.00	4146 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.74	2.52 W	0.00	4147 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.71	2.49 W	0.00	4148 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.74	2.52 W	0.00	4149 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.05	2.83 W	0.00	4150 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.11	2.89 W	0.00	4151 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.22	6.78 W	0.00	4152 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.68	6.24 W	0.00	4153 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.56	6.12 W	0.00	4154 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.42	4.98 W	0.00	4155 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.22	4.78 W	0.00	4156 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.21	4.77 W	0.00	4157 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.23	4.79 W	0.00	4158 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.19	4.75 W	0.00	4159 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.16	9.50 W	0.00	4160 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.58	8.92 W	0.00	4161 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.57	8.91 W	0.00	4162 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	29.95	8.29 W	0.00	4163 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	29.08	7.42 W	0.00	4164 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	28.82	7.16 W	0.00	4165 of 7291 - Sale <sup>25</sup>
	3.220	23.25	06/22/20	33.18	9.93 W	0.00	4166 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	38.78	9.90 W	0.00	4167 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	38.77	9.89 W	0.00	4168 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	38.69	9.81 W	0.00	4169 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	39.07	10.19 W	0.00	4170 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.95	15.85 W	0.00	4171 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	51.06	14.96 W	0.00	4172 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	48.48	12.38 W	0.00	4173 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	48.41	12.31 W	0.00	4174 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	36.10	06/22/20	48.56	12.46 W	0.00	4175 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	58.32	15.00 W	0.00	4176 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	57.61	14.29 W	0.00	4177 of 7291 - Sale <sup>25</sup>
	7.000	50.54	06/22/20	70.88	20.34 W	0.00	4178 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	84.92	27.16 W	0.00	4179 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	77.00	19.24 W	0.00	4180 of 7291 - Sale <sup>25</sup>
	17.000	122.73	06/22/20	163.51	40.78 W	0.00	4181 of 7291 - Sale <sup>25</sup>
	19.000	137.17	06/22/20	195.61	58.44 W	0.00	4182 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.97	2.75 W	0.00	4183 of 7291 - Sale <sup>25</sup>
	2.780	20.07	06/22/20	28.54	8.47 W	0.00	4184 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	7.65	0.43 W	0.00	4185 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	7.25	0.03 W	0.00	4186 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	14.47	0.03 W	0.00	4187 of 7291 - Sale <sup>25</sup>
	10.000	72.19	06/22/20	76.42	4.23 W	0.00	4188 of 7291 - Sale <sup>25</sup>
	10.000	72.19	06/22/20	76.46	4.27 W	0.00	4189 of 7291 - Sale <sup>25</sup>
	10.000	72.19	06/22/20	76.36	4.17 W	0.00	4190 of 7291 - Sale <sup>25</sup>
	12.220	88.22	06/22/20	93.35	5.13 W	0.00	4191 of 7291 - Sale <sup>25</sup>
	13.000	93.85	06/22/20	95.91	2.06 W	0.00	4192 of 7291 - Sale <sup>25</sup>
	22.780	164.46	06/22/20	174.01	9.55 W	0.00	4193 of 7291 - Sale <sup>25</sup>
	43.000	310.43	06/22/20	329.34	18.91 W	0.00	4194 of 7291 - Sale <sup>25</sup>
	65.000	469.26	06/22/20	496.51	27.25 W	0.00	4195 of 7291 - Sale <sup>25</sup>
	77.220	557.49	06/22/20	589.87	32.38 W	0.00	4196 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.43	3.21 W	0.00	4197 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.55	3.33 W	0.00	4198 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.41	3.19 W	0.00	4199 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.93	6.49 W	0.00	4200 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.58	9.92 W	0.00	4201 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.58	9.92 W	0.00	4202 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.21	9.55 W	0.00	4203 of 7291 - Sale <sup>25</sup>
	4.360	31.48	06/22/20	45.89	14.41 W	0.00	4204 of 7291 - Sale <sup>25</sup>
	5.780	41.73	06/22/20	60.58	18.85 W	0.00	4205 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	62.34	19.02 W	0.00	4206 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	62.33	19.01 W	0.00	4207 of 7291 - Sale <sup>25</sup>
	8.000	57.76	06/22/20	84.20	26.44 W	0.00	4208 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	57.76	06/22/20	83.84	26.08 W	0.00	4209 of 7291 - Sale <sup>25</sup>
	13.000	93.85	06/22/20	137.17	43.32 W	0.00	4210 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.10	2.88 W	0.00	4211 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.12	2.90 W	0.00	4212 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.12	2.90 W	0.00	4213 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.10	2.88 W	0.00	4214 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.05	2.83 W	0.00	4215 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.22	5.78 W	0.00	4216 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.11	5.67 W	0.00	4217 of 7291 - Sale <sup>25</sup>
	2.860	20.65	06/22/20	28.75	8.10 W	0.00	4218 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.00	8.34 W	0.00	4219 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.34	8.68 W	0.00	4220 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.34	8.68 W	0.00	4221 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	30.28	8.62 W	0.00	4222 of 7291 - Sale <sup>25</sup>
	3.780	27.29	06/22/20	38.25	10.96 W	0.00	4223 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	40.46	11.58 W	0.00	4224 of 7291 - Sale <sup>25</sup>
	4.220	30.47	06/22/20	42.71	12.24 W	0.00	4225 of 7291 - Sale <sup>25</sup>
	0.140	1.01	06/22/20	1.41	0.40 W	0.00	4226 of 7291 - Sale <sup>25</sup>
	0.860	6.21	06/22/20	8.69	2.48 W	0.00	4227 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.06	2.84 W	0.00	4228 of 7291 - Sale <sup>25</sup>
	0.220	1.59	06/22/20	2.36	0.77 W	0.00	4229 of 7291 - Sale <sup>25</sup>
	0.780	5.63	06/22/20	8.26	2.63 W	0.00	4230 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.11	2.89 W	0.00	4231 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.06	2.84 W	0.00	4232 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.05	2.83 W	0.00	4233 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.38	3.16 W	0.00	4234 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.70	3.48 W	0.00	4235 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.57	3.35 W	0.00	4236 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.66	3.44 W	0.00	4237 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.68	3.46 W	0.00	4238 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.68	3.46 W	0.00	4239 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.62	3.40 W	0.00	4240 of 7291 - Sale <sup>25</sup>
	1.140	8.23	06/22/20	11.54	3.31 W	0.00	4241 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.24	5.80 W	0.00	4242 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.44	06/22/20	20.14	5.70 W	0.00	4243 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	20.77	6.33 W	0.00	4244 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.09	6.65 W	0.00	4245 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.44	7.00 W	0.00	4246 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.43	6.99 W	0.00	4247 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.45	7.01 W	0.00	4248 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.11	6.67 W	0.00	4249 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.24	6.80 W	0.00	4250 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.25	6.81 W	0.00	4251 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.26	6.82 W	0.00	4252 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	21.24	6.80 W	0.00	4253 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.71	10.05 W	0.00	4254 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	31.91	10.25 W	0.00	4255 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	32.28	10.62 W	0.00	4256 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	40.49	11.61 W	0.00	4257 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	41.55	12.67 W	0.00	4258 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	42.21	13.33 W	0.00	4259 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	42.51	13.63 W	0.00	4260 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	42.53	13.65 W	0.00	4261 of 7291 - Sale <sup>25</sup>
	4.000	28.88	06/22/20	42.46	13.58 W	0.00	4262 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	50.67	14.57 W	0.00	4263 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	53.56	17.46 W	0.00	4264 of 7291 - Sale <sup>25</sup>
	5.000	36.10	06/22/20	53.20	17.10 W	0.00	4265 of 7291 - Sale <sup>25</sup>
	5.000	36.09	06/22/20	53.40	17.31 W	0.00	4266 of 7291 - Sale <sup>25</sup>
	6.000	43.32	06/22/20	60.74	17.42 W	0.00	4267 of 7291 - Sale <sup>25</sup>
	6.000	43.31	06/22/20	63.29	19.98 W	0.00	4268 of 7291 - Sale <sup>25</sup>
	6.000	43.31	06/22/20	63.77	20.46 W	0.00	4269 of 7291 - Sale <sup>25</sup>
	7.000	50.53	06/22/20	70.86	20.33 W	0.00	4270 of 7291 - Sale <sup>25</sup>
	7.000	50.53	06/22/20	73.93	23.40 W	0.00	4271 of 7291 - Sale <sup>25</sup>
	7.000	50.53	06/22/20	73.80	23.27 W	0.00	4272 of 7291 - Sale <sup>25</sup>
	7.000	50.53	06/22/20	74.37	23.84 W	0.00	4273 of 7291 - Sale <sup>25</sup>
	7.000	50.53	06/22/20	74.74	24.21 W	0.00	4274 of 7291 - Sale <sup>25</sup>
	8.000	57.75	06/22/20	85.75	28.00 W	0.00	4275 of 7291 - Sale <sup>25</sup>
	8.780	63.38	06/22/20	88.88	25.50 W	0.00	4276 of 7291 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.220	66.56	06/22/20	93.35	26.79 W	0.00	4277 of 7291 - Sale <sup>25</sup>
	11.000	79.41	06/22/20	117.09	37.68 W	0.00	4278 of 7291 - Sale <sup>25</sup>
	16.000	115.51	06/22/20	170.35	54.84 W	0.00	4279 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.83	2.61 W	0.00	4280 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.81	2.59 W	0.00	4281 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.81	2.59 W	0.00	4282 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	9.71	2.49 W	0.00	4283 of 7291 - Sale <sup>25</sup>
	1.000	7.22	06/22/20	10.19	2.97 W	0.00	4284 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.68	5.24 W	0.00	4285 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.68	5.24 W	0.00	4286 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.68	5.24 W	0.00	4287 of 7291 - Sale <sup>25</sup>
	2.000	14.44	06/22/20	19.63	5.19 W	0.00	4288 of 7291 - Sale <sup>25</sup>
	2.000	14.43	06/22/20	19.46	5.03 W	0.00	4289 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	29.68	8.02 W	0.00	4290 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	29.52	7.86 W	0.00	4291 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	29.52	7.86 W	0.00	4292 of 7291 - Sale <sup>25</sup>
	3.000	21.66	06/22/20	29.49	7.83 W	0.00	4293 of 7291 - Sale <sup>25</sup>
	3.000	21.65	06/22/20	29.50	7.85 W	0.00	4294 of 7291 - Sale <sup>25</sup>
	5.000	36.09	06/22/20	49.61	13.52 W	0.00	4295 of 7291 - Sale <sup>25</sup>
	0.220	1.59	06/22/20	2.22	0.63 W	0.00	4296 of 7291 - Sale <sup>25</sup>
	6.000	43.30	06/22/20	60.58	17.28 W	0.00	4297 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.08	2.98 W	0.00	4298 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.07	2.97 W	0.00	4299 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.08	2.98 W	0.00	4300 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.34	3.24 W	0.00	4301 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.08	5.88 W	0.00	4302 of 7291 - Sale <sup>25</sup>
	2.220	15.76	06/22/20	22.34	6.58 W	0.00	4303 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	27.96	8.22 W	0.00	4304 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.24	8.94 W	0.00	4305 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.25	8.95 W	0.00	4306 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.21	11.81 W	0.00	4307 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.33	11.93 W	0.00	4308 of 7291 - Sale <sup>25</sup>
	4.780	33.94	06/22/20	48.23	14.29 W	0.00	4309 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	60.31	17.71 W	0.00	4310 of 7291 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	49.70	06/22/20	70.62	20.92 W	0.00	4311 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	80.74	23.94 W	0.00	4312 of 7291 - Sale <sup>25</sup>
	9.000	63.90	06/22/20	90.67	26.77 W	0.00	4313 of 7291 - Sale <sup>25</sup>
	9.000	63.90	06/22/20	91.66	27.76 W	0.00	4314 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	100.64	29.64 W	0.00	4315 of 7291 - Sale <sup>25</sup>
	0.640	4.54	06/22/20	6.33	1.79 W	0.00	4316 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.18	3.08 W	0.00	4317 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.17	3.07 W	0.00	4318 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.24	3.14 W	0.00	4319 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.18	3.08 W	0.00	4320 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.17	3.07 W	0.00	4321 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.34	6.14 W	0.00	4322 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.32	6.12 W	0.00	4323 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.32	6.12 W	0.00	4324 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.34	6.14 W	0.00	4325 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.33	6.13 W	0.00	4326 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.27	6.07 W	0.00	4327 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.00	5.80 W	0.00	4328 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.53	9.23 W	0.00	4329 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.85	15.35 W	0.00	4330 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.87	15.37 W	0.00	4331 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.72	15.22 W	0.00	4332 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.04	18.44 W	0.00	4333 of 7291 - Sale <sup>25</sup>
	6.220	44.16	06/22/20	63.49	19.33 W	0.00	4334 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	80.76	23.96 W	0.00	4335 of 7291 - Sale <sup>25</sup>
	9.000	63.90	06/22/20	91.66	27.76 W	0.00	4336 of 7291 - Sale <sup>25</sup>
	16.000	113.60	06/22/20	162.30	48.70 W	0.00	4337 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.28	0.72 W	0.00	4338 of 7291 - Sale <sup>25</sup>
	0.420	2.98	06/22/20	4.35	1.37 W	0.00	4339 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	8.02	2.48 W	0.00	4340 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.31	3.21 W	0.00	4341 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.10	3.00 W	0.00	4342 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.12	3.02 W	0.00	4343 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.14	3.04 W	0.00	4344 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.10	06/22/20	10.10	3.00 W	0.00	4345 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.13	3.03 W	0.00	4346 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.11	3.01 W	0.00	4347 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.10	3.00 W	0.00	4348 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.13	3.03 W	0.00	4349 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.23	3.13 W	0.00	4350 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.26	3.16 W	0.00	4351 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.26	3.16 W	0.00	4352 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.22	3.12 W	0.00	4353 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.22	3.12 W	0.00	4354 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.27	3.17 W	0.00	4355 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.22	3.12 W	0.00	4356 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.42	3.32 W	0.00	4357 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.44	3.34 W	0.00	4358 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.35	3.25 W	0.00	4359 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.35	3.25 W	0.00	4360 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.36	3.26 W	0.00	4361 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.28	3.18 W	0.00	4362 of 7291 - Sale <sup>25</sup>
	1.780	12.64	06/22/20	18.21	5.57 W	0.00	4363 of 7291 - Sale <sup>25</sup>
	1.780	12.64	06/22/20	18.42	5.78 W	0.00	4364 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.21	6.01 W	0.00	4365 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.22	6.02 W	0.00	4366 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.29	6.09 W	0.00	4367 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.23	6.03 W	0.00	4368 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.24	6.04 W	0.00	4369 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.23	6.03 W	0.00	4370 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.00	5.80 W	0.00	4371 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.80	6.60 W	0.00	4372 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.98	6.78 W	0.00	4373 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.91	6.71 W	0.00	4374 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.72	6.52 W	0.00	4375 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.54	6.34 W	0.00	4376 of 7291 - Sale <sup>25</sup>
	2.220	15.76	06/22/20	22.80	7.04 W	0.00	4377 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.31	9.01 W	0.00	4378 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.30	06/22/20	30.75	9.45 W	0.00	4379 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.76	9.46 W	0.00	4380 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.85	9.55 W	0.00	4381 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.39	11.99 W	0.00	4382 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.38	11.98 W	0.00	4383 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.84	12.44 W	0.00	4384 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.55	13.15 W	0.00	4385 of 7291 - Sale <sup>25</sup>
	4.220	29.96	06/22/20	43.15	13.19 W	0.00	4386 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.70	15.20 W	0.00	4387 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.51	15.01 W	0.00	4388 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	51.92	16.42 W	0.00	4389 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.65	19.05 W	0.00	4390 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.68	19.08 W	0.00	4391 of 7291 - Sale <sup>25</sup>
	6.220	44.16	06/22/20	64.17	20.01 W	0.00	4392 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	80.43	23.63 W	0.00	4393 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	81.83	25.03 W	0.00	4394 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	102.61	31.61 W	0.00	4395 of 7291 - Sale <sup>25</sup>
	11.000	78.10	06/22/20	114.34	36.24 W	0.00	4396 of 7291 - Sale <sup>25</sup>
	12.000	85.20	06/22/20	120.67	35.47 W	0.00	4397 of 7291 - Sale <sup>25</sup>
	12.000	85.20	06/22/20	121.38	36.18 W	0.00	4398 of 7291 - Sale <sup>25</sup>
	0.360	2.56	06/22/20	3.55	0.99 W	0.00	4399 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.55	2.45 W	0.00	4400 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.72	2.62 W	0.00	4401 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.71	2.61 W	0.00	4402 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.75	2.65 W	0.00	4403 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.70	2.60 W	0.00	4404 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.51	2.41 W	0.00	4405 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.55	2.45 W	0.00	4406 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.39	2.29 W	0.00	4407 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.23	2.13 W	0.00	4408 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.23	2.13 W	0.00	4409 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.07	1.97 W	0.00	4410 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.94	1.84 W	0.00	4411 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.96	1.86 W	0.00	4412 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.10	06/22/20	8.96	1.86 W	0.00	4413 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.96	1.86 W	0.00	4414 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.98	1.88 W	0.00	4415 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.98	1.88 W	0.00	4416 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.97	1.87 W	0.00	4417 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.96	1.86 W	0.00	4418 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.92	1.82 W	0.00	4419 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.93	1.83 W	0.00	4420 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.93	1.83 W	0.00	4421 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.95	1.85 W	0.00	4422 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.95	1.85 W	0.00	4423 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.98	1.88 W	0.00	4424 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.98	1.88 W	0.00	4425 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	8.99	1.89 W	0.00	4426 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.07	1.97 W	0.00	4427 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.06	1.96 W	0.00	4428 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.04	1.94 W	0.00	4429 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.01	1.91 W	0.00	4430 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.29	2.19 W	0.00	4431 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.44	2.34 W	0.00	4432 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.44	2.34 W	0.00	4433 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.44	2.34 W	0.00	4434 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.44	2.34 W	0.00	4435 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.44	2.34 W	0.00	4436 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.41	2.31 W	0.00	4437 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.47	2.37 W	0.00	4438 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.05	4.85 W	0.00	4439 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.02	4.82 W	0.00	4440 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.96	4.76 W	0.00	4441 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.44	5.24 W	0.00	4442 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.07	4.87 W	0.00	4443 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.62	4.42 W	0.00	4444 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.41	4.21 W	0.00	4445 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.45	4.25 W	0.00	4446 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	17.82	3.62 W	0.00	4447 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.92	3.72 W	0.00	4448 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.92	3.72 W	0.00	4449 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.82	3.62 W	0.00	4450 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.93	3.73 W	0.00	4451 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.94	3.74 W	0.00	4452 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.94	3.74 W	0.00	4453 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.90	3.70 W	0.00	4454 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	17.96	3.76 W	0.00	4455 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.16	3.96 W	0.00	4456 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.09	3.89 W	0.00	4457 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.03	3.83 W	0.00	4458 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.59	4.39 W	0.00	4459 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.89	4.69 W	0.00	4460 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.88	4.68 W	0.00	4461 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.94	4.74 W	0.00	4462 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.96	4.76 W	0.00	4463 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.08	4.88 W	0.00	4464 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.16	7.86 W	0.00	4465 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.14	7.84 W	0.00	4466 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.92	7.62 W	0.00	4467 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.77	7.47 W	0.00	4468 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.69	7.39 W	0.00	4469 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.93	7.63 W	0.00	4470 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.15	6.85 W	0.00	4471 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.08	6.78 W	0.00	4472 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	27.88	6.58 W	0.00	4473 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	26.89	5.59 W	0.00	4474 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	26.93	5.63 W	0.00	4475 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	27.14	5.84 W	0.00	4476 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	27.14	5.84 W	0.00	4477 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	27.71	6.41 W	0.00	4478 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.32	8.02 W	0.00	4479 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	38.29	9.89 W	0.00	4480 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.40	06/22/20	35.83	7.43 W	0.00	4481 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	35.50	7.10 W	0.00	4482 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	35.74	7.34 W	0.00	4483 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	36.14	7.74 W	0.00	4484 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	37.15	8.75 W	0.00	4485 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	36.93	8.53 W	0.00	4486 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	36.97	8.57 W	0.00	4487 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	37.65	9.25 W	0.00	4488 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	37.79	9.39 W	0.00	4489 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.60	12.10 W	0.00	4490 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	48.20	12.70 W	0.00	4491 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.65	12.15 W	0.00	4492 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.62	12.12 W	0.00	4493 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.94	12.44 W	0.00	4494 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	46.89	11.39 W	0.00	4495 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	44.57	9.07 W	0.00	4496 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	44.79	9.29 W	0.00	4497 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	44.90	9.40 W	0.00	4498 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.33	11.83 W	0.00	4499 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	58.12	15.52 W	0.00	4500 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	57.48	14.88 W	0.00	4501 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	53.43	10.83 W	0.00	4502 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	54.27	11.67 W	0.00	4503 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	67.71	18.01 W	0.00	4504 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	75.09	18.29 W	0.00	4505 of 7291 - Sale <sup>25</sup>
	9.000	63.90	06/22/20	80.87	16.97 W	0.00	4506 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	88.72	17.72 W	0.00	4507 of 7291 - Sale <sup>25</sup>
	11.000	78.10	06/22/20	104.11	26.01 W	0.00	4508 of 7291 - Sale <sup>25</sup>
	12.000	85.20	06/22/20	108.58	23.38 W	0.00	4509 of 7291 - Sale <sup>25</sup>
	13.000	92.30	06/22/20	116.17	23.87 W	0.00	4510 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.20	0.64 W	0.00	4511 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	7.79	2.25 W	0.00	4512 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.01	2.91 W	0.00	4513 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.04	2.94 W	0.00	4514 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.10	06/22/20	9.97	2.87 W	0.00	4515 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.04	2.94 W	0.00	4516 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.99	2.89 W	0.00	4517 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.93	2.83 W	0.00	4518 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.98	2.88 W	0.00	4519 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.96	2.86 W	0.00	4520 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.96	2.86 W	0.00	4521 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.95	2.85 W	0.00	4522 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.92	2.82 W	0.00	4523 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.93	2.83 W	0.00	4524 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.94	2.84 W	0.00	4525 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.94	2.84 W	0.00	4526 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.52	6.32 W	0.00	4527 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.05	5.85 W	0.00	4528 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.79	5.59 W	0.00	4529 of 7291 - Sale <sup>25</sup>
	2.580	18.32	06/22/20	26.71	8.39 W	0.00	4530 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.97	8.67 W	0.00	4531 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.99	8.69 W	0.00	4532 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.02	8.72 W	0.00	4533 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.77	8.47 W	0.00	4534 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.78	8.48 W	0.00	4535 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.79	8.49 W	0.00	4536 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.88	8.58 W	0.00	4537 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.81	8.51 W	0.00	4538 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	39.86	11.46 W	0.00	4539 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	49.44	13.94 W	0.00	4540 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	69.49	19.79 W	0.00	4541 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	79.79	22.99 W	0.00	4542 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	79.11	22.31 W	0.00	4543 of 7291 - Sale <sup>25</sup>
	11.000	78.10	06/22/20	113.84	35.74 W	0.00	4544 of 7291 - Sale <sup>25</sup>
	11.000	78.10	06/22/20	108.80	30.70 W	0.00	4545 of 7291 - Sale <sup>25</sup>
	12.000	85.20	06/22/20	123.85	38.65 W	0.00	4546 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.79	3.69 W	0.00	4547 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.78	3.68 W	0.00	4548 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	21.52	7.32 W	0.00	4549 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	64.51	21.91 W	0.00	4550 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	107.89	36.89 W	0.00	4551 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.26	0.70 W	0.00	4552 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.30	3.20 W	0.00	4553 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.30	3.20 W	0.00	4554 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.33	3.23 W	0.00	4555 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.33	3.23 W	0.00	4556 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.32	3.22 W	0.00	4557 of 7291 - Sale <sup>25</sup>
	1.220	8.66	06/22/20	12.59	3.93 W	0.00	4558 of 7291 - Sale <sup>25</sup>
	1.420	10.08	06/22/20	14.65	4.57 W	0.00	4559 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.62	6.42 W	0.00	4560 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.62	6.42 W	0.00	4561 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.58	6.38 W	0.00	4562 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	28.70	8.96 W	0.00	4563 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.98	9.68 W	0.00	4564 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.97	9.67 W	0.00	4565 of 7291 - Sale <sup>25</sup>
	3.780	26.84	06/22/20	39.04	12.20 W	0.00	4566 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.45	13.05 W	0.00	4567 of 7291 - Sale <sup>25</sup>
	4.780	33.94	06/22/20	49.33	15.39 W	0.00	4568 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	51.43	15.93 W	0.00	4569 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	62.09	19.49 W	0.00	4570 of 7291 - Sale <sup>25</sup>
	6.220	44.16	06/22/20	64.20	20.04 W	0.00	4571 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	72.14	22.44 W	0.00	4572 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	72.25	22.55 W	0.00	4573 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.31	3.21 W	0.00	4574 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.27	12.87 W	0.00	4575 of 7291 - Sale <sup>25</sup>
	8.580	60.92	06/22/20	88.52	27.60 W	0.00	4576 of 7291 - Sale <sup>25</sup>
	6.780	48.14	06/22/20	51.89	3.75 W	0.00	4577 of 7291 - Sale <sup>25</sup>
	10.640	75.54	06/22/20	82.62	7.08 W	0.00	4578 of 7291 - Sale <sup>25</sup>
	19.000	134.90	06/22/20	147.47	12.57 W	0.00	4579 of 7291 - Sale <sup>25</sup>
	50.000	354.99	06/22/20	388.22	33.23 W	0.00	4580 of 7291 - Sale <sup>25</sup>
	10.640	75.54	06/22/20	82.78	7.24 W	0.00	4581 of 7291 - Sale <sup>25</sup>
	19.000	134.90	06/22/20	147.43	12.53 W	0.00	4582 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	20.360	144.55	06/22/20	157.78	13.23 W	0.00	4583 of 7291 - Sale <sup>25</sup>
	50.000	354.99	06/22/20	387.99	33.00 W	0.00	4584 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	77.73	6.73 W	0.00	4585 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	7.83	0.73 W	0.00	4586 of 7291 - Sale <sup>25</sup>
	0.640	4.54	06/22/20	5.05	0.51 W	0.00	4587 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	7.91	0.81 W	0.00	4588 of 7291 - Sale <sup>25</sup>
	2.580	18.32	06/22/20	20.45	2.13 W	0.00	4589 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	39.57	4.07 W	0.00	4590 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	39.58	4.08 W	0.00	4591 of 7291 - Sale <sup>25</sup>
	6.780	48.14	06/22/20	53.75	5.61 W	0.00	4592 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	79.05	8.05 W	0.00	4593 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	79.17	8.17 W	0.00	4594 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	79.15	8.15 W	0.00	4595 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	79.15	8.15 W	0.00	4596 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	79.15	8.15 W	0.00	4597 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	79.16	8.16 W	0.00	4598 of 7291 - Sale <sup>25</sup>
	14.000	99.40	06/22/20	110.84	11.44 W	0.00	4599 of 7291 - Sale <sup>25</sup>
	16.000	113.60	06/22/20	126.67	13.07 W	0.00	4600 of 7291 - Sale <sup>25</sup>
	19.000	134.90	06/22/20	150.42	15.52 W	0.00	4601 of 7291 - Sale <sup>25</sup>
	70.000	496.99	06/22/20	554.86	57.87 W	0.00	4602 of 7291 - Sale <sup>25</sup>
	0.360	2.56	06/22/20	2.88	0.32 W	0.00	4603 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	31.95	3.55 W	0.00	4604 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	39.95	4.45 W	0.00	4605 of 7291 - Sale <sup>25</sup>
	6.640	47.14	06/22/20	53.05	5.91 W	0.00	4606 of 7291 - Sale <sup>25</sup>
	6.640	47.14	06/22/20	52.98	5.84 W	0.00	4607 of 7291 - Sale <sup>25</sup>
	41.000	291.09	06/22/20	327.16	36.07 W	0.00	4608 of 7291 - Sale <sup>25</sup>
	52.360	371.74	06/22/20	421.92	50.18 W	0.00	4609 of 7291 - Sale <sup>25</sup>
	90.640	643.52	06/22/20	717.09	73.57 W	0.00	4610 of 7291 - Sale <sup>25</sup>
	93.360	662.84	06/22/20	745.15	82.31 W	0.00	4611 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	7.90	0.80 W	0.00	4612 of 7291 - Sale <sup>25</sup>
	1.580	11.22	06/22/20	12.49	1.27 W	0.00	4613 of 7291 - Sale <sup>25</sup>
	3.420	24.28	06/22/20	27.00	2.72 W	0.00	4614 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	7.85	0.75 W	0.00	4615 of 7291 - Sale <sup>25</sup>
	2.360	16.76	06/22/20	18.53	1.77 W	0.00	4616 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.640	18.74	06/22/20	20.72	1.98 W	0.00	4617 of 7291 - Sale <sup>25</sup>
	2.640	18.74	06/22/20	20.43	1.69 W	0.00	4618 of 7291 - Sale <sup>25</sup>
	15.580	110.61	06/22/20	122.13	11.52 W	0.00	4619 of 7291 - Sale <sup>25</sup>
	81.780	580.63	06/22/20	638.60	57.97 W	0.00	4620 of 7291 - Sale <sup>25</sup>
	1.220	8.66	06/22/20	9.43	0.77 W	0.00	4621 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	54.04	4.34 W	0.00	4622 of 7291 - Sale <sup>25</sup>
	7.360	52.25	06/22/20	56.90	4.65 W	0.00	4623 of 7291 - Sale <sup>25</sup>
	34.420	244.38	06/22/20	265.42	21.04 W	0.00	4624 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.23	3.10 W	0.00	4625 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.21	3.08 W	0.00	4626 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.22	3.09 W	0.00	4627 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.18	3.05 W	0.00	4628 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.19	3.06 W	0.00	4629 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.17	3.04 W	0.00	4630 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.27	3.14 W	0.00	4631 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.28	3.15 W	0.00	4632 of 7291 - Sale <sup>25</sup>
	1.360	9.70	06/22/20	13.91	4.21 W	0.00	4633 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	20.53	6.27 W	0.00	4634 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	20.56	6.30 W	0.00	4635 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	20.42	6.16 W	0.00	4636 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	20.42	6.16 W	0.00	4637 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	30.52	9.13 W	0.00	4638 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	30.85	9.46 W	0.00	4639 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	30.72	9.33 W	0.00	4640 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	30.73	9.34 W	0.00	4641 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	30.50	9.11 W	0.00	4642 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	38.32	9.80 W	0.00	4643 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	41.11	12.59 W	0.00	4644 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	40.79	12.27 W	0.00	4645 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	40.66	12.14 W	0.00	4646 of 7291 - Sale <sup>25</sup>
	4.640	33.08	06/22/20	47.47	14.39 W	0.00	4647 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	50.87	15.22 W	0.00	4648 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	51.38	15.73 W	0.00	4649 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	51.02	15.37 W	0.00	4650 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	35.65	06/22/20	50.76	15.11 W	0.00	4651 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	51.21	15.56 W	0.00	4652 of 7291 - Sale <sup>25</sup>
	5.000	35.64	06/22/20	51.23	15.59 W	0.00	4653 of 7291 - Sale <sup>25</sup>
	7.000	49.90	06/22/20	71.74	21.84 W	0.00	4654 of 7291 - Sale <sup>25</sup>
	10.000	71.29	06/22/20	102.13	30.84 W	0.00	4655 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.22	3.09 W	0.00	4656 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.54	3.41 W	0.00	4657 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.16	3.03 W	0.00	4658 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.95	3.82 W	0.00	4659 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.96	3.83 W	0.00	4660 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.93	3.80 W	0.00	4661 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.90	3.77 W	0.00	4662 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.88	3.75 W	0.00	4663 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.89	3.76 W	0.00	4664 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.87	3.74 W	0.00	4665 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.89	3.76 W	0.00	4666 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.89	3.76 W	0.00	4667 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.89	3.76 W	0.00	4668 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.87	3.74 W	0.00	4669 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.90	3.77 W	0.00	4670 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.82	3.69 W	0.00	4671 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.82	3.69 W	0.00	4672 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.82	3.69 W	0.00	4673 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.62	3.49 W	0.00	4674 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.69	3.56 W	0.00	4675 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	20.44	6.18 W	0.00	4676 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.77	7.51 W	0.00	4677 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.66	7.40 W	0.00	4678 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.75	7.49 W	0.00	4679 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.73	7.47 W	0.00	4680 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.84	7.58 W	0.00	4681 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	30.70	9.31 W	0.00	4682 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	32.64	11.25 W	0.00	4683 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	32.63	11.24 W	0.00	4684 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.39	06/22/20	32.64	11.25 W	0.00	4685 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	32.66	11.27 W	0.00	4686 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	32.65	11.26 W	0.00	4687 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	32.03	10.64 W	0.00	4688 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	40.64	12.12 W	0.00	4689 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.54	15.02 W	0.00	4690 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.49	14.97 W	0.00	4691 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.59	15.07 W	0.00	4692 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.54	15.02 W	0.00	4693 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.53	15.01 W	0.00	4694 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.70	15.18 W	0.00	4695 of 7291 - Sale <sup>25</sup>
	4.640	33.08	06/22/20	49.58	16.50 W	0.00	4696 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	50.81	15.16 W	0.00	4697 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	54.91	19.26 W	0.00	4698 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	54.39	18.74 W	0.00	4699 of 7291 - Sale <sup>25</sup>
	6.000	42.78	06/22/20	62.48	19.70 W	0.00	4700 of 7291 - Sale <sup>25</sup>
	6.000	42.78	06/22/20	66.03	23.25 W	0.00	4701 of 7291 - Sale <sup>25</sup>
	6.000	42.78	06/22/20	65.42	22.64 W	0.00	4702 of 7291 - Sale <sup>25</sup>
	6.000	42.78	06/22/20	65.54	22.76 W	0.00	4703 of 7291 - Sale <sup>25</sup>
	6.000	42.78	06/22/20	63.99	21.21 W	0.00	4704 of 7291 - Sale <sup>25</sup>
	7.000	49.91	06/22/20	76.16	26.25 W	0.00	4705 of 7291 - Sale <sup>25</sup>
	7.000	49.91	06/22/20	76.14	26.23 W	0.00	4706 of 7291 - Sale <sup>25</sup>
	8.000	57.04	06/22/20	87.04	30.00 W	0.00	4707 of 7291 - Sale <sup>25</sup>
	8.000	57.04	06/22/20	86.71	29.67 W	0.00	4708 of 7291 - Sale <sup>25</sup>
	8.000	57.04	06/22/20	86.64	29.60 W	0.00	4709 of 7291 - Sale <sup>25</sup>
	8.000	57.04	06/22/20	86.65	29.61 W	0.00	4710 of 7291 - Sale <sup>25</sup>
	9.000	64.17	06/22/20	97.70	33.53 W	0.00	4711 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	15.51	1.25 W	0.00	4712 of 7291 - Sale <sup>25</sup>
	3.360	23.96	06/22/20	24.78	0.82 W	0.00	4713 of 7291 - Sale <sup>25</sup>
	8.220	58.60	06/22/20	63.79	5.19 W	0.00	4714 of 7291 - Sale <sup>25</sup>
	8.220	58.60	06/22/20	60.49	1.89 W	0.00	4715 of 7291 - Sale <sup>25</sup>
	10.000	71.29	06/22/20	77.61	6.32 W	0.00	4716 of 7291 - Sale <sup>25</sup>
	12.420	88.55	06/22/20	92.25	3.70 W	0.00	4717 of 7291 - Sale <sup>25</sup>
	14.360	102.38	06/22/20	111.45	9.07 W	0.00	4718 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	17.780	126.76	06/22/20	137.94	11.18 W	0.00	4719 of 7291 - Sale <sup>25</sup>
	21.000	149.72	06/22/20	162.93	13.21 W	0.00	4720 of 7291 - Sale <sup>25</sup>
	33.000	235.27	06/22/20	256.12	20.85 W	0.00	4721 of 7291 - Sale <sup>25</sup>
	35.220	251.10	06/22/20	261.64	10.54 W	0.00	4722 of 7291 - Sale <sup>25</sup>
	46.780	333.52	06/22/20	344.25	10.73 W	0.00	4723 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	14.72	0.46 W	0.00	4724 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.77	3.64 W	0.00	4725 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.77	3.64 W	0.00	4726 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.75	3.62 W	0.00	4727 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.68	3.55 W	0.00	4728 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.70	3.57 W	0.00	4729 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.62	3.49 W	0.00	4730 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.62	3.49 W	0.00	4731 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.62	3.49 W	0.00	4732 of 7291 - Sale <sup>25</sup>
	1.000	7.13	06/22/20	10.80	3.67 W	0.00	4733 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.36	7.10 W	0.00	4734 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.52	7.26 W	0.00	4735 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.53	7.27 W	0.00	4736 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.31	7.05 W	0.00	4737 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.40	7.14 W	0.00	4738 of 7291 - Sale <sup>25</sup>
	2.000	14.26	06/22/20	21.20	6.94 W	0.00	4739 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	32.07	10.68 W	0.00	4740 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	31.86	10.47 W	0.00	4741 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	32.04	10.65 W	0.00	4742 of 7291 - Sale <sup>25</sup>
	3.000	21.39	06/22/20	31.99	10.60 W	0.00	4743 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.03	14.51 W	0.00	4744 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	43.06	14.54 W	0.00	4745 of 7291 - Sale <sup>25</sup>
	4.000	28.52	06/22/20	42.98	14.46 W	0.00	4746 of 7291 - Sale <sup>25</sup>
	4.360	31.09	06/22/20	46.60	15.51 W	0.00	4747 of 7291 - Sale <sup>25</sup>
	5.000	35.65	06/22/20	53.45	17.80 W	0.00	4748 of 7291 - Sale <sup>25</sup>
	2.640	18.82	06/22/20	28.50	9.68 W	0.00	4749 of 7291 - Sale <sup>25</sup>
	6.000	42.78	06/22/20	64.13	21.35 W	0.00	4750 of 7291 - Sale <sup>25</sup>
	7.000	49.91	06/22/20	75.26	25.35 W	0.00	4751 of 7291 - Sale <sup>25</sup>
	7.000	49.90	06/22/20	74.45	24.55 W	0.00	4752 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	71.29	06/22/20	107.20	35.91 W	0.00	4753 of 7291 - Sale <sup>25</sup>
	11.000	78.42	06/22/20	117.39	38.97 W	0.00	4754 of 7291 - Sale <sup>25</sup>
	0.640	4.56	06/22/20	6.66	2.10 W	0.00	4755 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.80	3.68 W	0.00	4756 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.68	3.56 W	0.00	4757 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.69	3.57 W	0.00	4758 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.59	3.47 W	0.00	4759 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.59	3.47 W	0.00	4760 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.55	3.43 W	0.00	4761 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.78	3.66 W	0.00	4762 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.85	3.73 W	0.00	4763 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.82	3.70 W	0.00	4764 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.35	3.23 W	0.00	4765 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.35	3.23 W	0.00	4766 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.38	3.26 W	0.00	4767 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.37	3.25 W	0.00	4768 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.38	3.26 W	0.00	4769 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.50	3.38 W	0.00	4770 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.36	3.24 W	0.00	4771 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.38	3.26 W	0.00	4772 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.17	3.05 W	0.00	4773 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.19	3.07 W	0.00	4774 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.21	3.09 W	0.00	4775 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	21.14	6.90 W	0.00	4776 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	21.61	7.37 W	0.00	4777 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.68	6.44 W	0.00	4778 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.83	6.59 W	0.00	4779 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.35	6.11 W	0.00	4780 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.36	6.12 W	0.00	4781 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.43	6.19 W	0.00	4782 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.37	6.13 W	0.00	4783 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.38	6.14 W	0.00	4784 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.77	10.41 W	0.00	4785 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	32.50	11.14 W	0.00	4786 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.36	06/22/20	31.43	10.07 W	0.00	4787 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.43	10.07 W	0.00	4788 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.06	9.70 W	0.00	4789 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	43.17	14.69 W	0.00	4790 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.50	13.02 W	0.00	4791 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.59	13.11 W	0.00	4792 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	40.67	12.19 W	0.00	4793 of 7291 - Sale <sup>25</sup>
	2.640	18.79	06/22/20	26.84	8.05 W	0.00	4794 of 7291 - Sale <sup>25</sup>
	4.360	31.04	06/22/20	45.67	14.63 W	0.00	4795 of 7291 - Sale <sup>25</sup>
	2.360	16.80	06/22/20	25.47	8.67 W	0.00	4796 of 7291 - Sale <sup>25</sup>
	5.000	35.59	06/22/20	51.05	15.46 W	0.00	4797 of 7291 - Sale <sup>25</sup>
	5.780	41.15	06/22/20	60.29	19.14 W	0.00	4798 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	64.84	22.12 W	0.00	4799 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	62.04	19.32 W	0.00	4800 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	62.03	19.31 W	0.00	4801 of 7291 - Sale <sup>25</sup>
	6.220	44.28	06/22/20	64.61	20.33 W	0.00	4802 of 7291 - Sale <sup>25</sup>
	8.000	56.96	06/22/20	84.66	27.70 W	0.00	4803 of 7291 - Sale <sup>25</sup>
	8.000	56.96	06/22/20	83.80	26.84 W	0.00	4804 of 7291 - Sale <sup>25</sup>
	8.000	56.95	06/22/20	83.44	26.49 W	0.00	4805 of 7291 - Sale <sup>25</sup>
	9.000	64.08	06/22/20	97.22	33.14 W	0.00	4806 of 7291 - Sale <sup>25</sup>
	12.000	85.44	06/22/20	126.88	41.44 W	0.00	4807 of 7291 - Sale <sup>25</sup>
	13.000	92.56	06/22/20	137.80	45.24 W	0.00	4808 of 7291 - Sale <sup>25</sup>
	13.000	92.55	06/22/20	136.53	43.98 W	0.00	4809 of 7291 - Sale <sup>25</sup>
	15.000	106.79	06/22/20	162.22	55.43 W	0.00	4810 of 7291 - Sale <sup>25</sup>
	0.220	1.57	06/22/20	2.30	0.73 W	0.00	4811 of 7291 - Sale <sup>25</sup>
	0.420	2.99	06/22/20	4.38	1.39 W	0.00	4812 of 7291 - Sale <sup>25</sup>
	0.780	5.55	06/22/20	8.07	2.52 W	0.00	4813 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.17	3.05 W	0.00	4814 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.20	3.08 W	0.00	4815 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.18	3.06 W	0.00	4816 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.17	3.05 W	0.00	4817 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.20	3.08 W	0.00	4818 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.30	3.18 W	0.00	4819 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.33	3.21 W	0.00	4820 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.12	06/22/20	10.33	3.21 W	0.00	4821 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.29	3.17 W	0.00	4822 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.29	3.17 W	0.00	4823 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.34	3.22 W	0.00	4824 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.29	3.17 W	0.00	4825 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.49	3.37 W	0.00	4826 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.51	3.39 W	0.00	4827 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.42	3.30 W	0.00	4828 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.42	3.30 W	0.00	4829 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.43	3.31 W	0.00	4830 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.35	3.23 W	0.00	4831 of 7291 - Sale <sup>25</sup>
	1.780	12.67	06/22/20	18.33	5.66 W	0.00	4832 of 7291 - Sale <sup>25</sup>
	1.780	12.67	06/22/20	18.54	5.87 W	0.00	4833 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.37	6.13 W	0.00	4834 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.14	5.90 W	0.00	4835 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.94	6.70 W	0.00	4836 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	21.12	6.88 W	0.00	4837 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	21.05	6.81 W	0.00	4838 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.86	6.62 W	0.00	4839 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.68	6.44 W	0.00	4840 of 7291 - Sale <sup>25</sup>
	2.220	15.81	06/22/20	22.96	7.15 W	0.00	4841 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	30.52	9.16 W	0.00	4842 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	30.96	9.60 W	0.00	4843 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	30.97	9.61 W	0.00	4844 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.06	9.70 W	0.00	4845 of 7291 - Sale <sup>25</sup>
	1.360	9.68	06/22/20	13.82	4.14 W	0.00	4846 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.12	12.64 W	0.00	4847 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.83	13.35 W	0.00	4848 of 7291 - Sale <sup>25</sup>
	4.220	30.04	06/22/20	43.45	13.41 W	0.00	4849 of 7291 - Sale <sup>25</sup>
	5.000	35.60	06/22/20	50.86	15.26 W	0.00	4850 of 7291 - Sale <sup>25</sup>
	5.000	35.60	06/22/20	52.27	16.67 W	0.00	4851 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	62.07	19.35 W	0.00	4852 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	62.10	19.38 W	0.00	4853 of 7291 - Sale <sup>25</sup>
	8.000	56.96	06/22/20	80.99	24.03 W	0.00	4854 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	56.96	06/22/20	82.39	25.43 W	0.00	4855 of 7291 - Sale <sup>25</sup>
	10.000	71.20	06/22/20	103.31	32.11 W	0.00	4856 of 7291 - Sale <sup>25</sup>
	11.000	78.31	06/22/20	115.11	36.80 W	0.00	4857 of 7291 - Sale <sup>25</sup>
	12.000	85.44	06/22/20	121.51	36.07 W	0.00	4858 of 7291 - Sale <sup>25</sup>
	12.000	85.44	06/22/20	122.22	36.78 W	0.00	4859 of 7291 - Sale <sup>25</sup>
	0.360	2.56	06/22/20	3.72	1.16 W	0.00	4860 of 7291 - Sale <sup>25</sup>
	0.360	2.56	06/22/20	3.83	1.27 W	0.00	4861 of 7291 - Sale <sup>25</sup>
	0.640	4.56	06/22/20	6.64	2.08 W	0.00	4862 of 7291 - Sale <sup>25</sup>
	0.640	4.56	06/22/20	6.74	2.18 W	0.00	4863 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.39	3.27 W	0.00	4864 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.38	3.26 W	0.00	4865 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.42	3.30 W	0.00	4866 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.34	3.22 W	0.00	4867 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.34	3.22 W	0.00	4868 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.33	3.21 W	0.00	4869 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.32	3.20 W	0.00	4870 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.49	3.37 W	0.00	4871 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.49	3.37 W	0.00	4872 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.50	3.38 W	0.00	4873 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.32	3.20 W	0.00	4874 of 7291 - Sale <sup>25</sup>
	1.000	7.12	06/22/20	10.27	3.15 W	0.00	4875 of 7291 - Sale <sup>25</sup>
	1.360	9.68	06/22/20	14.26	4.58 W	0.00	4876 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.79	6.55 W	0.00	4877 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.67	6.43 W	0.00	4878 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.72	6.48 W	0.00	4879 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	21.12	6.88 W	0.00	4880 of 7291 - Sale <sup>25</sup>
	2.000	14.24	06/22/20	20.58	6.34 W	0.00	4881 of 7291 - Sale <sup>25</sup>
	2.780	19.79	06/22/20	28.62	8.83 W	0.00	4882 of 7291 - Sale <sup>25</sup>
	2.860	20.36	06/22/20	29.58	9.22 W	0.00	4883 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.18	9.82 W	0.00	4884 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.12	9.76 W	0.00	4885 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.01	9.65 W	0.00	4886 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.00	9.64 W	0.00	4887 of 7291 - Sale <sup>25</sup>
	3.000	21.36	06/22/20	31.01	9.65 W	0.00	4888 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.48	06/22/20	41.40	12.92 W	0.00	4889 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.40	12.92 W	0.00	4890 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.34	12.86 W	0.00	4891 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.27	12.79 W	0.00	4892 of 7291 - Sale <sup>25</sup>
	4.000	28.48	06/22/20	41.19	12.71 W	0.00	4893 of 7291 - Sale <sup>25</sup>
	5.000	35.60	06/22/20	52.34	16.74 W	0.00	4894 of 7291 - Sale <sup>25</sup>
	5.000	35.59	06/22/20	52.66	17.07 W	0.00	4895 of 7291 - Sale <sup>25</sup>
	5.000	35.59	06/22/20	51.70	16.11 W	0.00	4896 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	62.59	19.87 W	0.00	4897 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	62.41	19.69 W	0.00	4898 of 7291 - Sale <sup>25</sup>
	6.000	42.72	06/22/20	62.39	19.67 W	0.00	4899 of 7291 - Sale <sup>25</sup>
	7.000	49.84	06/22/20	73.24	23.40 W	0.00	4900 of 7291 - Sale <sup>25</sup>
	7.000	49.84	06/22/20	72.77	22.93 W	0.00	4901 of 7291 - Sale <sup>25</sup>
	8.000	56.95	06/22/20	84.52	27.57 W	0.00	4902 of 7291 - Sale <sup>25</sup>
	10.000	71.20	06/22/20	104.71	33.51 W	0.00	4903 of 7291 - Sale <sup>25</sup>
	10.000	71.19	06/22/20	103.43	32.24 W	0.00	4904 of 7291 - Sale <sup>25</sup>
	11.220	79.87	06/22/20	114.96	35.09 W	0.00	4905 of 7291 - Sale <sup>25</sup>
	0.780	5.55	06/22/20	8.00	2.45 W	0.00	4906 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.99	2.88 W	0.00	4907 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	10.01	2.90 W	0.00	4908 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	10.01	2.90 W	0.00	4909 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	10.07	2.96 W	0.00	4910 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	10.04	2.93 W	0.00	4911 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	10.08	2.97 W	0.00	4912 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.70	2.59 W	0.00	4913 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.69	2.58 W	0.00	4914 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.67	2.56 W	0.00	4915 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.65	2.54 W	0.00	4916 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.60	2.49 W	0.00	4917 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.67	2.56 W	0.00	4918 of 7291 - Sale <sup>25</sup>
	1.000	7.11	06/22/20	9.65	2.54 W	0.00	4919 of 7291 - Sale <sup>25</sup>
	2.000	14.22	06/22/20	20.46	6.24 W	0.00	4920 of 7291 - Sale <sup>25</sup>
	2.000	14.22	06/22/20	19.32	5.10 W	0.00	4921 of 7291 - Sale <sup>25</sup>
	2.000	14.22	06/22/20	19.12	4.90 W	0.00	4922 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.22	06/22/20	19.11	4.89 W	0.00	4923 of 7291 - Sale <sup>25</sup>
	2.000	14.22	06/22/20	19.13	4.91 W	0.00	4924 of 7291 - Sale <sup>25</sup>
	3.000	21.33	06/22/20	30.43	9.10 W	0.00	4925 of 7291 - Sale <sup>25</sup>
	3.000	21.33	06/22/20	30.42	9.09 W	0.00	4926 of 7291 - Sale <sup>25</sup>
	3.000	21.33	06/22/20	29.80	8.47 W	0.00	4927 of 7291 - Sale <sup>25</sup>
	3.000	21.33	06/22/20	28.93	7.60 W	0.00	4928 of 7291 - Sale <sup>25</sup>
	3.000	21.33	06/22/20	28.67	7.34 W	0.00	4929 of 7291 - Sale <sup>25</sup>
	3.220	22.89	06/22/20	33.02	10.13 W	0.00	4930 of 7291 - Sale <sup>25</sup>
	4.000	28.44	06/22/20	38.58	10.14 W	0.00	4931 of 7291 - Sale <sup>25</sup>
	4.000	28.44	06/22/20	38.57	10.13 W	0.00	4932 of 7291 - Sale <sup>25</sup>
	4.000	28.44	06/22/20	38.49	10.05 W	0.00	4933 of 7291 - Sale <sup>25</sup>
	5.000	35.55	06/22/20	50.81	15.26 W	0.00	4934 of 7291 - Sale <sup>25</sup>
	5.000	35.55	06/22/20	48.23	12.68 W	0.00	4935 of 7291 - Sale <sup>25</sup>
	5.000	35.55	06/22/20	48.16	12.61 W	0.00	4936 of 7291 - Sale <sup>25</sup>
	5.000	35.55	06/22/20	48.31	12.76 W	0.00	4937 of 7291 - Sale <sup>25</sup>
	6.000	42.65	06/22/20	58.02	15.37 W	0.00	4938 of 7291 - Sale <sup>25</sup>
	7.000	49.77	06/22/20	70.53	20.76 W	0.00	4939 of 7291 - Sale <sup>25</sup>
	5.220	37.10	06/22/20	49.98	12.88 W	0.00	4940 of 7291 - Sale <sup>25</sup>
	7.780	55.31	06/22/20	79.71	24.40 W	0.00	4941 of 7291 - Sale <sup>25</sup>
	0.140	0.99	06/22/20	1.40	0.41 W	0.00	4942 of 7291 - Sale <sup>25</sup>
	0.860	6.11	06/22/20	8.65	2.54 W	0.00	4943 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.55	2.45 W	0.00	4944 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.56	2.46 W	0.00	4945 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.53	2.43 W	0.00	4946 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.64	2.54 W	0.00	4947 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.69	2.59 W	0.00	4948 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.69	2.59 W	0.00	4949 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.69	2.59 W	0.00	4950 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.66	2.56 W	0.00	4951 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.69	2.59 W	0.00	4952 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.00	2.90 W	0.00	4953 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.06	2.96 W	0.00	4954 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.05	2.95 W	0.00	4955 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.07	2.97 W	0.00	4956 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.10	06/22/20	10.07	2.97 W	0.00	4957 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.05	2.95 W	0.00	4958 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.00	2.90 W	0.00	4959 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.01	2.91 W	0.00	4960 of 7291 - Sale <sup>25</sup>
	1.140	8.09	06/22/20	11.48	3.39 W	0.00	4961 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.09	4.89 W	0.00	4962 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.12	5.92 W	0.00	4963 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.01	5.81 W	0.00	4964 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.14	5.94 W	0.00	4965 of 7291 - Sale <sup>25</sup>
	2.860	20.30	06/22/20	28.61	8.31 W	0.00	4966 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.85	8.55 W	0.00	4967 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.19	8.89 W	0.00	4968 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.19	8.89 W	0.00	4969 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.13	8.83 W	0.00	4970 of 7291 - Sale <sup>25</sup>
	3.780	26.84	06/22/20	38.06	11.22 W	0.00	4971 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	38.87	10.47 W	0.00	4972 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.26	11.86 W	0.00	4973 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.29	11.89 W	0.00	4974 of 7291 - Sale <sup>25</sup>
	4.220	29.96	06/22/20	42.50	12.54 W	0.00	4975 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	57.31	14.71 W	0.00	4976 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	60.44	17.84 W	0.00	4977 of 7291 - Sale <sup>25</sup>
	6.220	44.15	06/22/20	62.66	18.51 W	0.00	4978 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	26.62	6.88 W	0.00	4979 of 7291 - Sale <sup>25</sup>
	17.000	120.69	06/22/20	162.67	41.98 W	0.00	4980 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.35	0.79 W	0.00	4981 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	8.22	2.68 W	0.00	4982 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.06	2.96 W	0.00	4983 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.01	2.91 W	0.00	4984 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.00	2.90 W	0.00	4985 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.33	3.23 W	0.00	4986 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.65	3.55 W	0.00	4987 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.52	3.42 W	0.00	4988 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.61	3.51 W	0.00	4989 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.04	5.84 W	0.00	4990 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	20.67	6.47 W	0.00	4991 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.99	6.79 W	0.00	4992 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.34	7.14 W	0.00	4993 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.33	7.13 W	0.00	4994 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.35	7.15 W	0.00	4995 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.01	6.81 W	0.00	4996 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.56	10.26 W	0.00	4997 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.35	12.95 W	0.00	4998 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.01	13.61 W	0.00	4999 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.42	14.92 W	0.00	5000 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	53.31	17.81 W	0.00	5001 of 7291 - Sale <sup>25</sup>
	6.000	42.59	06/22/20	63.00	20.41 W	0.00	5002 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	7.86	2.32 W	0.00	5003 of 7291 - Sale <sup>25</sup>
	7.000	49.69	06/22/20	73.59	23.90 W	0.00	5004 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	73.46	23.76 W	0.00	5005 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	85.36	28.56 W	0.00	5006 of 7291 - Sale <sup>25</sup>
	8.780	62.34	06/22/20	88.45	26.11 W	0.00	5007 of 7291 - Sale <sup>25</sup>
	9.220	65.46	06/22/20	92.90	27.44 W	0.00	5008 of 7291 - Sale <sup>25</sup>
	10.220	72.55	06/22/20	108.29	35.74 W	0.00	5009 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.63	3.54 W	0.00	5010 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.63	3.54 W	0.00	5011 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.57	3.48 W	0.00	5012 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	21.14	6.96 W	0.00	5013 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	21.15	6.97 W	0.00	5014 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	21.16	6.98 W	0.00	5015 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	21.14	6.96 W	0.00	5016 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	31.76	10.49 W	0.00	5017 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	32.13	10.86 W	0.00	5018 of 7291 - Sale <sup>25</sup>
	4.000	28.36	06/22/20	42.31	13.95 W	0.00	5019 of 7291 - Sale <sup>25</sup>
	4.000	28.36	06/22/20	42.33	13.97 W	0.00	5020 of 7291 - Sale <sup>25</sup>
	4.000	28.36	06/22/20	42.26	13.90 W	0.00	5021 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	52.95	17.50 W	0.00	5022 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	53.16	17.71 W	0.00	5023 of 7291 - Sale <sup>25</sup>
	6.000	42.54	06/22/20	63.48	20.94 W	0.00	5024 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	49.63	06/22/20	74.03	24.40 W	0.00	5025 of 7291 - Sale <sup>25</sup>
	7.000	49.62	06/22/20	74.40	24.78 W	0.00	5026 of 7291 - Sale <sup>25</sup>
	0.780	5.53	06/22/20	8.26	2.73 W	0.00	5027 of 7291 - Sale <sup>25</sup>
	16.000	113.44	06/22/20	169.56	56.12 W	0.00	5028 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.78	2.69 W	0.00	5029 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.58	5.40 W	0.00	5030 of 7291 - Sale <sup>25</sup>
	1.220	8.65	06/22/20	11.94	3.29 W	0.00	5031 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	29.53	8.26 W	0.00	5032 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	29.37	8.10 W	0.00	5033 of 7291 - Sale <sup>25</sup>
	3.000	21.26	06/22/20	29.37	8.11 W	0.00	5034 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	29.34	8.07 W	0.00	5035 of 7291 - Sale <sup>25</sup>
	3.000	21.26	06/22/20	29.36	8.10 W	0.00	5036 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	49.37	13.92 W	0.00	5037 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.21	0.65 W	0.00	5038 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.76	2.67 W	0.00	5039 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.76	2.67 W	0.00	5040 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.66	2.57 W	0.00	5041 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.14	3.05 W	0.00	5042 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.15	3.06 W	0.00	5043 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.14	3.05 W	0.00	5044 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.15	3.06 W	0.00	5045 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.41	3.32 W	0.00	5046 of 7291 - Sale <sup>25</sup>
	0.780	5.53	06/22/20	7.64	2.11 W	0.00	5047 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.58	5.40 W	0.00	5048 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.53	5.35 W	0.00	5049 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.37	5.19 W	0.00	5050 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.22	6.04 W	0.00	5051 of 7291 - Sale <sup>25</sup>
	2.220	15.74	06/22/20	22.50	6.76 W	0.00	5052 of 7291 - Sale <sup>25</sup>
	2.780	19.71	06/22/20	28.15	8.44 W	0.00	5053 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	30.45	9.18 W	0.00	5054 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	30.46	9.19 W	0.00	5055 of 7291 - Sale <sup>25</sup>
	4.000	28.36	06/22/20	40.49	12.13 W	0.00	5056 of 7291 - Sale <sup>25</sup>
	4.000	28.36	06/22/20	40.61	12.25 W	0.00	5057 of 7291 - Sale <sup>25</sup>
	4.780	33.89	06/22/20	48.56	14.67 W	0.00	5058 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	42.54	06/22/20	60.30	17.76 W	0.00	5059 of 7291 - Sale <sup>25</sup>
	6.000	42.54	06/22/20	60.73	18.19 W	0.00	5060 of 7291 - Sale <sup>25</sup>
	7.000	49.63	06/22/20	71.11	21.48 W	0.00	5061 of 7291 - Sale <sup>25</sup>
	8.000	56.72	06/22/20	81.30	24.58 W	0.00	5062 of 7291 - Sale <sup>25</sup>
	9.000	63.81	06/22/20	91.30	27.49 W	0.00	5063 of 7291 - Sale <sup>25</sup>
	9.000	63.81	06/22/20	92.29	28.48 W	0.00	5064 of 7291 - Sale <sup>25</sup>
	10.000	70.90	06/22/20	101.34	30.44 W	0.00	5065 of 7291 - Sale <sup>25</sup>
	0.360	2.55	06/22/20	3.57	1.02 W	0.00	5066 of 7291 - Sale <sup>25</sup>
	0.640	4.54	06/22/20	6.38	1.84 W	0.00	5067 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.92	2.83 W	0.00	5068 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.25	3.16 W	0.00	5069 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.24	3.15 W	0.00	5070 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.31	3.22 W	0.00	5071 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.25	3.16 W	0.00	5072 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	10.24	3.15 W	0.00	5073 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.62	2.53 W	0.00	5074 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.79	2.70 W	0.00	5075 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.78	2.69 W	0.00	5076 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.82	2.73 W	0.00	5077 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.77	2.68 W	0.00	5078 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.48	6.30 W	0.00	5079 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.46	6.28 W	0.00	5080 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.46	6.28 W	0.00	5081 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.48	6.30 W	0.00	5082 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.47	6.29 W	0.00	5083 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.41	6.23 W	0.00	5084 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	20.14	5.96 W	0.00	5085 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.19	5.01 W	0.00	5086 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.16	4.98 W	0.00	5087 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.10	4.92 W	0.00	5088 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	19.58	5.40 W	0.00	5089 of 7291 - Sale <sup>25</sup>
	2.780	19.71	06/22/20	28.40	8.69 W	0.00	5090 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	30.74	9.47 W	0.00	5091 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	29.37	8.10 W	0.00	5092 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.27	06/22/20	29.35	8.08 W	0.00	5093 of 7291 - Sale <sup>25</sup>
	3.000	21.27	06/22/20	29.13	7.86 W	0.00	5094 of 7291 - Sale <sup>25</sup>
	4.000	28.36	06/22/20	38.63	10.27 W	0.00	5095 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	51.20	15.75 W	0.00	5096 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	51.22	15.77 W	0.00	5097 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	51.07	15.62 W	0.00	5098 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	47.95	12.50 W	0.00	5099 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	48.55	13.10 W	0.00	5100 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	48.00	12.55 W	0.00	5101 of 7291 - Sale <sup>25</sup>
	5.000	35.45	06/22/20	47.97	12.52 W	0.00	5102 of 7291 - Sale <sup>25</sup>
	6.000	42.54	06/22/20	61.46	18.92 W	0.00	5103 of 7291 - Sale <sup>25</sup>
	6.000	42.54	06/22/20	58.54	16.00 W	0.00	5104 of 7291 - Sale <sup>25</sup>
	6.220	44.10	06/22/20	63.93	19.83 W	0.00	5105 of 7291 - Sale <sup>25</sup>
	8.000	56.72	06/22/20	81.32	24.60 W	0.00	5106 of 7291 - Sale <sup>25</sup>
	9.000	63.81	06/22/20	92.29	28.48 W	0.00	5107 of 7291 - Sale <sup>25</sup>
	16.000	113.43	06/22/20	163.42	49.99 W	0.00	5108 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	7.60	0.51 W	0.00	5109 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	7.20	0.11 W	0.00	5110 of 7291 - Sale <sup>25</sup>
	2.000	14.18	06/22/20	14.37	0.19 W	0.00	5111 of 7291 - Sale <sup>25</sup>
	10.000	70.90	06/22/20	75.93	5.03 W	0.00	5112 of 7291 - Sale <sup>25</sup>
	10.000	70.89	06/22/20	75.97	5.08 W	0.00	5113 of 7291 - Sale <sup>25</sup>
	10.000	70.90	06/22/20	75.87	4.97 W	0.00	5114 of 7291 - Sale <sup>25</sup>
	12.220	86.63	06/22/20	92.75	6.12 W	0.00	5115 of 7291 - Sale <sup>25</sup>
	13.000	92.16	06/22/20	95.27	3.11 W	0.00	5116 of 7291 - Sale <sup>25</sup>
	22.780	161.50	06/22/20	172.88	11.38 W	0.00	5117 of 7291 - Sale <sup>25</sup>
	43.000	304.85	06/22/20	327.22	22.37 W	0.00	5118 of 7291 - Sale <sup>25</sup>
	65.000	460.82	06/22/20	493.30	32.48 W	0.00	5119 of 7291 - Sale <sup>25</sup>
	77.220	547.45	06/22/20	586.05	38.60 W	0.00	5120 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.62	2.53 W	0.00	5121 of 7291 - Sale <sup>25</sup>
	1.000	7.09	06/22/20	9.62	2.53 W	0.00	5122 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.54	2.56 W	0.00	5123 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.58	2.60 W	0.00	5124 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.42	2.44 W	0.00	5125 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.26	2.28 W	0.00	5126 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.98	06/22/20	9.26	2.28 W	0.00	5127 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.10	2.12 W	0.00	5128 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.97	1.99 W	0.00	5129 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.99	2.01 W	0.00	5130 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.99	2.01 W	0.00	5131 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	19.24	5.28 W	0.00	5132 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	19.13	5.17 W	0.00	5133 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.68	4.72 W	0.00	5134 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.47	4.51 W	0.00	5135 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.51	4.55 W	0.00	5136 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	17.88	3.92 W	0.00	5137 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	17.98	4.02 W	0.00	5138 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	17.98	4.02 W	0.00	5139 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	17.88	3.92 W	0.00	5140 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	28.78	7.84 W	0.00	5141 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	29.02	8.08 W	0.00	5142 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	28.24	7.30 W	0.00	5143 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	28.17	7.23 W	0.00	5144 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	27.97	7.03 W	0.00	5145 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	38.41	10.50 W	0.00	5146 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	35.95	8.04 W	0.00	5147 of 7291 - Sale <sup>25</sup>
	5.000	34.89	06/22/20	47.04	12.15 W	0.00	5148 of 7291 - Sale <sup>25</sup>
	5.000	34.89	06/22/20	44.72	9.83 W	0.00	5149 of 7291 - Sale <sup>25</sup>
	5.000	34.89	06/22/20	44.94	10.05 W	0.00	5150 of 7291 - Sale <sup>25</sup>
	6.000	41.87	06/22/20	57.66	15.79 W	0.00	5151 of 7291 - Sale <sup>25</sup>
	6.000	41.87	06/22/20	53.61	11.74 W	0.00	5152 of 7291 - Sale <sup>25</sup>
	7.000	48.85	06/22/20	67.92	19.07 W	0.00	5153 of 7291 - Sale <sup>25</sup>
	8.000	55.83	06/22/20	75.33	19.50 W	0.00	5154 of 7291 - Sale <sup>25</sup>
	8.000	55.83	06/22/20	71.22	15.39 W	0.00	5155 of 7291 - Sale <sup>25</sup>
	0.220	1.54	06/22/20	2.21	0.67 W	0.00	5156 of 7291 - Sale <sup>25</sup>
	0.780	5.44	06/22/20	7.81	2.37 W	0.00	5157 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.04	3.06 W	0.00	5158 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.07	3.09 W	0.00	5159 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.00	3.02 W	0.00	5160 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.98	06/22/20	10.07	3.09 W	0.00	5161 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.02	3.04 W	0.00	5162 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.96	2.98 W	0.00	5163 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.01	3.03 W	0.00	5164 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.99	3.01 W	0.00	5165 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.99	3.01 W	0.00	5166 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.98	3.00 W	0.00	5167 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.95	2.97 W	0.00	5168 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.96	2.98 W	0.00	5169 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.97	2.99 W	0.00	5170 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.97	2.99 W	0.00	5171 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	20.58	6.62 W	0.00	5172 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	20.11	6.15 W	0.00	5173 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	19.85	5.89 W	0.00	5174 of 7291 - Sale <sup>25</sup>
	2.580	18.00	06/22/20	26.79	8.79 W	0.00	5175 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	30.06	9.12 W	0.00	5176 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	30.08	9.14 W	0.00	5177 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	30.11	9.17 W	0.00	5178 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	29.86	8.92 W	0.00	5179 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	29.87	8.93 W	0.00	5180 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	29.88	8.94 W	0.00	5181 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	29.97	9.03 W	0.00	5182 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	29.90	8.96 W	0.00	5183 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	39.98	12.07 W	0.00	5184 of 7291 - Sale <sup>25</sup>
	5.000	34.89	06/22/20	49.59	14.70 W	0.00	5185 of 7291 - Sale <sup>25</sup>
	7.000	48.85	06/22/20	69.70	20.85 W	0.00	5186 of 7291 - Sale <sup>25</sup>
	8.000	55.83	06/22/20	80.03	24.20 W	0.00	5187 of 7291 - Sale <sup>25</sup>
	8.000	55.83	06/22/20	79.35	23.52 W	0.00	5188 of 7291 - Sale <sup>25</sup>
	11.000	76.77	06/22/20	114.17	37.40 W	0.00	5189 of 7291 - Sale <sup>25</sup>
	11.000	76.76	06/22/20	109.13	32.37 W	0.00	5190 of 7291 - Sale <sup>25</sup>
	12.000	83.74	06/22/20	124.21	40.47 W	0.00	5191 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.82	3.84 W	0.00	5192 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.81	3.83 W	0.00	5193 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	21.58	7.62 W	0.00	5194 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	41.87	06/22/20	64.69	22.82 W	0.00	5195 of 7291 - Sale <sup>25</sup>
	10.000	69.78	06/22/20	108.19	38.41 W	0.00	5196 of 7291 - Sale <sup>25</sup>
	0.220	1.54	06/22/20	2.27	0.73 W	0.00	5197 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.33	3.35 W	0.00	5198 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.33	3.35 W	0.00	5199 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.36	3.38 W	0.00	5200 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.36	3.38 W	0.00	5201 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.35	3.37 W	0.00	5202 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	10.34	3.36 W	0.00	5203 of 7291 - Sale <sup>25</sup>
	1.220	8.51	06/22/20	12.63	4.12 W	0.00	5204 of 7291 - Sale <sup>25</sup>
	1.420	9.91	06/22/20	14.69	4.78 W	0.00	5205 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	20.68	6.72 W	0.00	5206 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	20.68	6.72 W	0.00	5207 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	20.64	6.68 W	0.00	5208 of 7291 - Sale <sup>25</sup>
	2.780	19.40	06/22/20	28.78	9.38 W	0.00	5209 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	31.07	10.13 W	0.00	5210 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	31.06	10.12 W	0.00	5211 of 7291 - Sale <sup>25</sup>
	3.780	26.38	06/22/20	39.15	12.77 W	0.00	5212 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	41.57	13.66 W	0.00	5213 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	41.39	13.48 W	0.00	5214 of 7291 - Sale <sup>25</sup>
	4.780	33.36	06/22/20	49.47	16.11 W	0.00	5215 of 7291 - Sale <sup>25</sup>
	5.000	34.89	06/22/20	51.58	16.69 W	0.00	5216 of 7291 - Sale <sup>25</sup>
	6.000	41.87	06/22/20	62.27	20.40 W	0.00	5217 of 7291 - Sale <sup>25</sup>
	6.220	43.41	06/22/20	64.39	20.98 W	0.00	5218 of 7291 - Sale <sup>25</sup>
	7.000	48.85	06/22/20	72.35	23.50 W	0.00	5219 of 7291 - Sale <sup>25</sup>
	7.000	48.85	06/22/20	72.46	23.61 W	0.00	5220 of 7291 - Sale <sup>25</sup>
	8.580	59.87	06/22/20	88.78	28.91 W	0.00	5221 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.99	2.01 W	0.00	5222 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.01	2.03 W	0.00	5223 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.01	2.03 W	0.00	5224 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.00	2.02 W	0.00	5225 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.99	2.01 W	0.00	5226 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.95	1.97 W	0.00	5227 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.96	1.98 W	0.00	5228 of 7291 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	6.98	06/22/20	8.96	1.98 W	0.00	5229 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.98	2.00 W	0.00	5230 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	8.98	2.00 W	0.00	5231 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.01	2.03 W	0.00	5232 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.01	2.03 W	0.00	5233 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.02	2.04 W	0.00	5234 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.10	2.12 W	0.00	5235 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.09	2.11 W	0.00	5236 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.07	2.09 W	0.00	5237 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.04	2.06 W	0.00	5238 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.32	2.34 W	0.00	5239 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.47	2.49 W	0.00	5240 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.47	2.49 W	0.00	5241 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.47	2.49 W	0.00	5242 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.47	2.49 W	0.00	5243 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.47	2.49 W	0.00	5244 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.44	2.46 W	0.00	5245 of 7291 - Sale <sup>25</sup>
	1.000	6.98	06/22/20	9.50	2.52 W	0.00	5246 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	17.80	3.84 W	0.00	5247 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	17.99	4.03 W	0.00	5248 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.00	4.04 W	0.00	5249 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.00	4.04 W	0.00	5250 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	17.96	4.00 W	0.00	5251 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.02	4.06 W	0.00	5252 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.22	4.26 W	0.00	5253 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.15	4.19 W	0.00	5254 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.09	4.13 W	0.00	5255 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.65	4.69 W	0.00	5256 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.95	4.99 W	0.00	5257 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	18.94	4.98 W	0.00	5258 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	19.00	5.04 W	0.00	5259 of 7291 - Sale <sup>25</sup>
	2.000	13.96	06/22/20	19.02	5.06 W	0.00	5260 of 7291 - Sale <sup>25</sup>
	2.000	13.95	06/22/20	19.14	5.19 W	0.00	5261 of 7291 - Sale <sup>25</sup>
	3.000	20.94	06/22/20	26.98	6.04 W	0.00	5262 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	20.93	06/22/20	27.02	6.09 W	0.00	5263 of 7291 - Sale <sup>25</sup>
	3.000	20.93	06/22/20	27.23	6.30 W	0.00	5264 of 7291 - Sale <sup>25</sup>
	3.000	20.93	06/22/20	27.23	6.30 W	0.00	5265 of 7291 - Sale <sup>25</sup>
	3.000	20.93	06/22/20	27.80	6.87 W	0.00	5266 of 7291 - Sale <sup>25</sup>
	3.000	20.93	06/22/20	29.41	8.48 W	0.00	5267 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	35.62	7.71 W	0.00	5268 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	35.86	7.95 W	0.00	5269 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	36.26	8.35 W	0.00	5270 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	37.27	9.36 W	0.00	5271 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	37.05	9.14 W	0.00	5272 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	37.09	9.18 W	0.00	5273 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	37.77	9.86 W	0.00	5274 of 7291 - Sale <sup>25</sup>
	4.000	27.91	06/22/20	37.91	10.00 W	0.00	5275 of 7291 - Sale <sup>25</sup>
	5.000	34.89	06/22/20	45.05	10.16 W	0.00	5276 of 7291 - Sale <sup>25</sup>
	5.000	34.89	06/22/20	47.48	12.59 W	0.00	5277 of 7291 - Sale <sup>25</sup>
	6.000	41.87	06/22/20	54.45	12.58 W	0.00	5278 of 7291 - Sale <sup>25</sup>
	9.000	62.80	06/22/20	81.14	18.34 W	0.00	5279 of 7291 - Sale <sup>25</sup>
	11.000	76.75	06/22/20	104.44	27.69 W	0.00	5280 of 7291 - Sale <sup>25</sup>
	12.000	83.74	06/22/20	108.94	25.20 W	0.00	5281 of 7291 - Sale <sup>25</sup>
	13.000	90.72	06/22/20	116.56	25.84 W	0.00	5282 of 7291 - Sale <sup>25</sup>
	6.780	47.31	06/22/20	52.09	4.78 W	0.00	5283 of 7291 - Sale <sup>25</sup>
	11.640	81.22	06/22/20	90.69	9.47 W	0.00	5284 of 7291 - Sale <sup>25</sup>
	1.000	6.91	06/22/20	7.63	0.72 W	0.00	5285 of 7291 - Sale <sup>25</sup>
	6.360	43.96	06/22/20	48.48	4.52 W	0.00	5286 of 7291 - Sale <sup>25</sup>
	10.640	73.55	06/22/20	81.13	7.58 W	0.00	5287 of 7291 - Sale <sup>25</sup>
	12.640	87.37	06/22/20	96.31	8.94 W	0.00	5288 of 7291 - Sale <sup>25</sup>
	20.360	140.73	06/22/20	154.94	14.21 W	0.00	5289 of 7291 - Sale <sup>25</sup>
	50.000	345.62	06/22/20	381.23	35.61 W	0.00	5290 of 7291 - Sale <sup>25</sup>
	1.000	6.97	06/22/20	7.69	0.72 W	0.00	5291 of 7291 - Sale <sup>25</sup>
	2.580	17.98	06/22/20	20.09	2.11 W	0.00	5292 of 7291 - Sale <sup>25</sup>
	6.360	44.33	06/22/20	48.46	4.13 W	0.00	5293 of 7291 - Sale <sup>25</sup>
	6.780	47.25	06/22/20	52.80	5.55 W	0.00	5294 of 7291 - Sale <sup>25</sup>
	10.000	69.70	06/22/20	76.33	6.63 W	0.00	5295 of 7291 - Sale <sup>25</sup>
	10.640	74.16	06/22/20	81.29	7.13 W	0.00	5296 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	12.640	88.10	06/22/20	98.30	10.20 W	0.00	5297 of 7291 - Sale <sup>25</sup>
	50.000	348.48	06/22/20	381.00	32.52 W	0.00	5298 of 7291 - Sale <sup>25</sup>
	1.000	6.97	06/22/20	7.77	0.80 W	0.00	5299 of 7291 - Sale <sup>25</sup>
	10.000	69.70	06/22/20	77.65	7.95 W	0.00	5300 of 7291 - Sale <sup>25</sup>
	6.360	44.33	06/22/20	49.46	5.13 W	0.00	5301 of 7291 - Sale <sup>25</sup>
	31.640	220.53	06/22/20	246.37	25.84 W	0.00	5302 of 7291 - Sale <sup>25</sup>
	37.000	257.89	06/22/20	288.11	30.22 W	0.00	5303 of 7291 - Sale <sup>25</sup>
	0.360	2.51	06/22/20	2.83	0.32 W	0.00	5304 of 7291 - Sale <sup>25</sup>
	0.640	4.46	06/22/20	4.96	0.50 W	0.00	5305 of 7291 - Sale <sup>25</sup>
	4.000	27.88	06/22/20	31.39	3.51 W	0.00	5306 of 7291 - Sale <sup>25</sup>
	5.000	34.85	06/22/20	38.87	4.02 W	0.00	5307 of 7291 - Sale <sup>25</sup>
	5.000	34.85	06/22/20	38.88	4.03 W	0.00	5308 of 7291 - Sale <sup>25</sup>
	5.000	34.85	06/22/20	39.25	4.40 W	0.00	5309 of 7291 - Sale <sup>25</sup>
	6.640	46.28	06/22/20	52.12	5.84 W	0.00	5310 of 7291 - Sale <sup>25</sup>
	10.000	69.70	06/22/20	77.77	8.07 W	0.00	5311 of 7291 - Sale <sup>25</sup>
	10.000	69.70	06/22/20	77.75	8.05 W	0.00	5312 of 7291 - Sale <sup>25</sup>
	10.000	69.70	06/22/20	77.75	8.05 W	0.00	5313 of 7291 - Sale <sup>25</sup>
	10.000	69.70	06/22/20	77.75	8.05 W	0.00	5314 of 7291 - Sale <sup>25</sup>
	10.000	69.70	06/22/20	77.76	8.06 W	0.00	5315 of 7291 - Sale <sup>25</sup>
	14.000	97.58	06/22/20	108.88	11.30 W	0.00	5316 of 7291 - Sale <sup>25</sup>
	16.000	111.51	06/22/20	124.43	12.92 W	0.00	5317 of 7291 - Sale <sup>25</sup>
	46.000	320.59	06/22/20	364.24	43.65 W	0.00	5318 of 7291 - Sale <sup>25</sup>
	1.360	9.48	06/22/20	10.59	1.11 W	0.00	5319 of 7291 - Sale <sup>25</sup>
	6.360	44.33	06/22/20	50.37	6.04 W	0.00	5320 of 7291 - Sale <sup>25</sup>
	39.640	276.27	06/22/20	310.77	34.50 W	0.00	5321 of 7291 - Sale <sup>25</sup>
	6.640	46.28	06/22/20	52.05	5.77 W	0.00	5322 of 7291 - Sale <sup>25</sup>
	12.640	88.10	06/22/20	98.23	10.13 W	0.00	5323 of 7291 - Sale <sup>25</sup>
	1.360	9.48	06/22/20	10.66	1.18 W	0.00	5324 of 7291 - Sale <sup>25</sup>
	93.360	650.69	06/22/20	732.10	81.41 W	0.00	5325 of 7291 - Sale <sup>25</sup>
	6.000	43.05	06/22/20	47.05	4.00 W	0.00	5326 of 7291 - Sale <sup>25</sup>
	1.000	7.17	06/22/20	10.18	3.01 W	0.00	5327 of 7291 - Sale <sup>25</sup>
	2.000	14.35	06/22/20	20.33	5.98 W	0.00	5328 of 7291 - Sale <sup>25</sup>
	2.000	14.35	06/22/20	20.36	6.01 W	0.00	5329 of 7291 - Sale <sup>25</sup>
	3.000	21.52	06/22/20	30.22	8.70 W	0.00	5330 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.70	06/22/20	37.92	9.22 W	0.00	5331 of 7291 - Sale <sup>25</sup>
	4.000	28.70	06/22/20	40.71	12.01 W	0.00	5332 of 7291 - Sale <sup>25</sup>
	5.000	35.87	06/22/20	50.37	14.50 W	0.00	5333 of 7291 - Sale <sup>25</sup>
	5.000	35.87	06/22/20	50.88	15.01 W	0.00	5334 of 7291 - Sale <sup>25</sup>
	68.000	487.89	06/22/20	533.23	45.34 W	0.00	5335 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	7.83	0.67 W	0.00	5336 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	7.78	0.62 W	0.00	5337 of 7291 - Sale <sup>25</sup>
	1.580	11.31	06/22/20	12.38	1.07 W	0.00	5338 of 7291 - Sale <sup>25</sup>
	2.360	16.90	06/22/20	18.36	1.46 W	0.00	5339 of 7291 - Sale <sup>25</sup>
	2.640	18.90	06/22/20	20.54	1.64 W	0.00	5340 of 7291 - Sale <sup>25</sup>
	3.420	24.49	06/22/20	26.76	2.27 W	0.00	5341 of 7291 - Sale <sup>25</sup>
	15.580	111.55	06/22/20	121.05	9.50 W	0.00	5342 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	31.37	2.73 W	0.00	5343 of 7291 - Sale <sup>25</sup>
	68.420	489.86	06/22/20	529.49	39.63 W	0.00	5344 of 7291 - Sale <sup>25</sup>
	1.220	8.73	06/22/20	9.35	0.62 W	0.00	5345 of 7291 - Sale <sup>25</sup>
	2.640	18.90	06/22/20	20.25	1.35 W	0.00	5346 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	53.55	3.43 W	0.00	5347 of 7291 - Sale <sup>25</sup>
	7.360	52.70	06/22/20	56.39	3.69 W	0.00	5348 of 7291 - Sale <sup>25</sup>
	34.420	246.44	06/22/20	263.01	16.57 W	0.00	5349 of 7291 - Sale <sup>25</sup>
	13.360	95.65	06/22/20	103.39	7.74 W	0.00	5350 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.13	2.97 W	0.00	5351 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.11	2.95 W	0.00	5352 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.12	2.96 W	0.00	5353 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.08	2.92 W	0.00	5354 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.09	2.93 W	0.00	5355 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.07	2.91 W	0.00	5356 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.17	3.01 W	0.00	5357 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.18	3.02 W	0.00	5358 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.12	2.96 W	0.00	5359 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.44	3.28 W	0.00	5360 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.06	2.90 W	0.00	5361 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.85	3.69 W	0.00	5362 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.86	3.70 W	0.00	5363 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.83	3.67 W	0.00	5364 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.16	06/22/20	10.80	3.64 W	0.00	5365 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.78	3.62 W	0.00	5366 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.79	3.63 W	0.00	5367 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.77	3.61 W	0.00	5368 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.79	3.63 W	0.00	5369 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.79	3.63 W	0.00	5370 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.79	3.63 W	0.00	5371 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.77	3.61 W	0.00	5372 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.80	3.64 W	0.00	5373 of 7291 - Sale <sup>25</sup>
	1.360	9.74	06/22/20	13.77	4.03 W	0.00	5374 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.37	6.05 W	0.00	5375 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.22	5.90 W	0.00	5376 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.22	5.90 W	0.00	5377 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.24	5.92 W	0.00	5378 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.57	7.25 W	0.00	5379 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.46	7.14 W	0.00	5380 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.55	7.23 W	0.00	5381 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.53	7.21 W	0.00	5382 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.42	8.94 W	0.00	5383 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.43	8.95 W	0.00	5384 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.20	8.72 W	0.00	5385 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.40	8.92 W	0.00	5386 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	32.34	10.86 W	0.00	5387 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	32.33	10.85 W	0.00	5388 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	32.34	10.86 W	0.00	5389 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	32.36	10.88 W	0.00	5390 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	32.35	10.87 W	0.00	5391 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.39	11.75 W	0.00	5392 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.26	11.62 W	0.00	5393 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.24	11.60 W	0.00	5394 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	43.14	14.50 W	0.00	5395 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	43.09	14.45 W	0.00	5396 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	43.19	14.55 W	0.00	5397 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	43.14	14.50 W	0.00	5398 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.64	06/22/20	43.13	14.49 W	0.00	5399 of 7291 - Sale <sup>25</sup>
	4.640	33.22	06/22/20	47.01	13.79 W	0.00	5400 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.52	14.72 W	0.00	5401 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.26	14.46 W	0.00	5402 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.71	14.91 W	0.00	5403 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.74	14.94 W	0.00	5404 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.31	14.51 W	0.00	5405 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	54.41	18.61 W	0.00	5406 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	61.88	18.92 W	0.00	5407 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	65.43	22.47 W	0.00	5408 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	64.82	21.86 W	0.00	5409 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	71.05	20.93 W	0.00	5410 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	75.46	25.34 W	0.00	5411 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	75.44	25.32 W	0.00	5412 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	86.24	28.96 W	0.00	5413 of 7291 - Sale <sup>25</sup>
	9.000	64.44	06/22/20	96.80	32.36 W	0.00	5414 of 7291 - Sale <sup>25</sup>
	10.000	71.60	06/22/20	101.14	29.54 W	0.00	5415 of 7291 - Sale <sup>25</sup>
	0.640	4.58	06/22/20	6.60	2.02 W	0.00	5416 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.72	3.56 W	0.00	5417 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.72	3.56 W	0.00	5418 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.72	3.56 W	0.00	5419 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.52	3.36 W	0.00	5420 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.59	3.43 W	0.00	5421 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.67	3.51 W	0.00	5422 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.67	3.51 W	0.00	5423 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.65	3.49 W	0.00	5424 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.58	3.42 W	0.00	5425 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.60	3.44 W	0.00	5426 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.52	3.36 W	0.00	5427 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.52	3.36 W	0.00	5428 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.52	3.36 W	0.00	5429 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.70	3.54 W	0.00	5430 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.71	3.55 W	0.00	5431 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.59	3.43 W	0.00	5432 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.16	06/22/20	10.60	3.44 W	0.00	5433 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.50	3.34 W	0.00	5434 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.50	3.34 W	0.00	5435 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.46	3.30 W	0.00	5436 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.69	3.53 W	0.00	5437 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.76	3.60 W	0.00	5438 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.73	3.57 W	0.00	5439 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.26	3.10 W	0.00	5440 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.26	3.10 W	0.00	5441 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.29	3.13 W	0.00	5442 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.28	3.12 W	0.00	5443 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.29	3.13 W	0.00	5444 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.41	3.25 W	0.00	5445 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.64	7.32 W	0.00	5446 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.16	6.84 W	0.00	5447 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.32	7.00 W	0.00	5448 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.33	7.01 W	0.00	5449 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.11	6.79 W	0.00	5450 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.20	6.88 W	0.00	5451 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.00	6.68 W	0.00	5452 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.96	6.64 W	0.00	5453 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.43	7.11 W	0.00	5454 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.50	6.18 W	0.00	5455 of 7291 - Sale <sup>25</sup>
	2.360	16.90	06/22/20	25.26	8.36 W	0.00	5456 of 7291 - Sale <sup>25</sup>
	2.640	18.90	06/22/20	28.24	9.34 W	0.00	5457 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.73	10.25 W	0.00	5458 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.77	10.29 W	0.00	5459 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.56	10.08 W	0.00	5460 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.74	10.26 W	0.00	5461 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.69	10.21 W	0.00	5462 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.50	10.02 W	0.00	5463 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	32.23	10.75 W	0.00	5464 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.16	9.68 W	0.00	5465 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.16	9.68 W	0.00	5466 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.64	06/22/20	43.30	14.66 W	0.00	5467 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.63	13.99 W	0.00	5468 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.66	14.02 W	0.00	5469 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.58	13.94 W	0.00	5470 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.81	14.17 W	0.00	5471 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	41.14	12.50 W	0.00	5472 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	41.23	12.59 W	0.00	5473 of 7291 - Sale <sup>25</sup>
	4.360	31.22	06/22/20	46.16	14.94 W	0.00	5474 of 7291 - Sale <sup>25</sup>
	4.360	31.22	06/22/20	45.28	14.06 W	0.00	5475 of 7291 - Sale <sup>25</sup>
	4.640	33.22	06/22/20	49.12	15.90 W	0.00	5476 of 7291 - Sale <sup>25</sup>
	4.640	33.22	06/22/20	48.32	15.10 W	0.00	5477 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	53.89	18.09 W	0.00	5478 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	52.95	17.15 W	0.00	5479 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	64.94	21.98 W	0.00	5480 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	63.39	20.43 W	0.00	5481 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	63.53	20.57 W	0.00	5482 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	64.30	21.34 W	0.00	5483 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	74.56	24.44 W	0.00	5484 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	73.76	23.64 W	0.00	5485 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	85.91	28.63 W	0.00	5486 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	85.84	28.56 W	0.00	5487 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	85.85	28.57 W	0.00	5488 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	83.94	26.66 W	0.00	5489 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	83.08	25.80 W	0.00	5490 of 7291 - Sale <sup>25</sup>
	9.000	64.44	06/22/20	96.41	31.97 W	0.00	5491 of 7291 - Sale <sup>25</sup>
	10.000	71.60	06/22/20	106.21	34.61 W	0.00	5492 of 7291 - Sale <sup>25</sup>
	11.000	78.76	06/22/20	116.30	37.54 W	0.00	5493 of 7291 - Sale <sup>25</sup>
	12.000	85.91	06/22/20	125.80	39.89 W	0.00	5494 of 7291 - Sale <sup>25</sup>
	13.000	93.07	06/22/20	136.63	43.56 W	0.00	5495 of 7291 - Sale <sup>25</sup>
	15.000	107.39	06/22/20	160.88	53.49 W	0.00	5496 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	15.31	0.99 W	0.00	5497 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	14.52	0.20 W	0.00	5498 of 7291 - Sale <sup>25</sup>
	3.360	24.06	06/22/20	24.44	0.38 W	0.00	5499 of 7291 - Sale <sup>25</sup>
	8.220	58.85	06/22/20	62.98	4.13 W	0.00	5500 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.220	58.85	06/22/20	59.68	0.83 W	0.00	5501 of 7291 - Sale <sup>25</sup>
	10.000	71.60	06/22/20	76.62	5.02 W	0.00	5502 of 7291 - Sale <sup>25</sup>
	12.420	88.92	06/22/20	91.01	2.09 W	0.00	5503 of 7291 - Sale <sup>25</sup>
	14.360	102.81	06/22/20	110.02	7.21 W	0.00	5504 of 7291 - Sale <sup>25</sup>
	17.780	127.30	06/22/20	136.17	8.87 W	0.00	5505 of 7291 - Sale <sup>25</sup>
	21.000	150.35	06/22/20	160.84	10.49 W	0.00	5506 of 7291 - Sale <sup>25</sup>
	33.000	236.26	06/22/20	252.84	16.58 W	0.00	5507 of 7291 - Sale <sup>25</sup>
	35.220	252.16	06/22/20	258.14	5.98 W	0.00	5508 of 7291 - Sale <sup>25</sup>
	46.780	334.92	06/22/20	339.59	4.67 W	0.00	5509 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.27	3.11 W	0.00	5510 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.29	3.13 W	0.00	5511 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.08	2.92 W	0.00	5512 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.10	2.94 W	0.00	5513 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.12	2.96 W	0.00	5514 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.08	2.92 W	0.00	5515 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.11	2.95 W	0.00	5516 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.09	2.93 W	0.00	5517 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.08	2.92 W	0.00	5518 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.11	2.95 W	0.00	5519 of 7291 - Sale <sup>25</sup>
	1.360	9.74	06/22/20	13.70	3.96 W	0.00	5520 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.65	6.33 W	0.00	5521 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.17	5.85 W	0.00	5522 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.18	5.86 W	0.00	5523 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.25	5.93 W	0.00	5524 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.19	5.87 W	0.00	5525 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.20	5.88 W	0.00	5526 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.19	5.87 W	0.00	5527 of 7291 - Sale <sup>25</sup>
	2.640	18.90	06/22/20	26.61	7.71 W	0.00	5528 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.79	9.31 W	0.00	5529 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.25	8.77 W	0.00	5530 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.31	11.67 W	0.00	5531 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.61	14.81 W	0.00	5532 of 7291 - Sale <sup>25</sup>
	5.780	41.38	06/22/20	59.77	18.39 W	0.00	5533 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	61.50	18.54 W	0.00	5534 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	42.96	06/22/20	61.49	18.53 W	0.00	5535 of 7291 - Sale <sup>25</sup>
	6.220	44.53	06/22/20	64.06	19.53 W	0.00	5536 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	82.73	25.45 W	0.00	5537 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	80.27	22.99 W	0.00	5538 of 7291 - Sale <sup>25</sup>
	8.360	59.85	06/22/20	87.05	27.20 W	0.00	5539 of 7291 - Sale <sup>25</sup>
	8.640	61.86	06/22/20	86.71	24.85 W	0.00	5540 of 7291 - Sale <sup>25</sup>
	0.220	1.58	06/22/20	2.28	0.70 W	0.00	5541 of 7291 - Sale <sup>25</sup>
	0.420	3.01	06/22/20	4.34	1.33 W	0.00	5542 of 7291 - Sale <sup>25</sup>
	0.780	5.58	06/22/20	8.00	2.42 W	0.00	5543 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.21	3.05 W	0.00	5544 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.24	3.08 W	0.00	5545 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.24	3.08 W	0.00	5546 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.20	3.04 W	0.00	5547 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.20	3.04 W	0.00	5548 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.25	3.09 W	0.00	5549 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.20	3.04 W	0.00	5550 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.40	3.24 W	0.00	5551 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.42	3.26 W	0.00	5552 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.33	3.17 W	0.00	5553 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.33	3.17 W	0.00	5554 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.34	3.18 W	0.00	5555 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.26	3.10 W	0.00	5556 of 7291 - Sale <sup>25</sup>
	1.780	12.74	06/22/20	18.17	5.43 W	0.00	5557 of 7291 - Sale <sup>25</sup>
	1.780	12.74	06/22/20	18.38	5.64 W	0.00	5558 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.96	5.64 W	0.00	5559 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.76	6.44 W	0.00	5560 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.94	6.62 W	0.00	5561 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.87	6.55 W	0.00	5562 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.68	6.36 W	0.00	5563 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.50	6.18 W	0.00	5564 of 7291 - Sale <sup>25</sup>
	2.220	15.89	06/22/20	22.76	6.87 W	0.00	5565 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.69	9.21 W	0.00	5566 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.70	9.22 W	0.00	5567 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.79	9.31 W	0.00	5568 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.360	24.06	06/22/20	33.72	9.66 W	0.00	5569 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.76	12.12 W	0.00	5570 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	41.47	12.83 W	0.00	5571 of 7291 - Sale <sup>25</sup>
	4.220	30.21	06/22/20	43.08	12.87 W	0.00	5572 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.41	14.61 W	0.00	5573 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	51.82	16.02 W	0.00	5574 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	61.53	18.57 W	0.00	5575 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	61.56	18.60 W	0.00	5576 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	81.67	24.39 W	0.00	5577 of 7291 - Sale <sup>25</sup>
	10.000	71.59	06/22/20	102.41	30.82 W	0.00	5578 of 7291 - Sale <sup>25</sup>
	11.000	78.75	06/22/20	114.13	35.38 W	0.00	5579 of 7291 - Sale <sup>25</sup>
	12.000	85.91	06/22/20	121.14	35.23 W	0.00	5580 of 7291 - Sale <sup>25</sup>
	0.360	2.58	06/22/20	3.69	1.11 W	0.00	5581 of 7291 - Sale <sup>25</sup>
	0.640	4.58	06/22/20	6.58	2.00 W	0.00	5582 of 7291 - Sale <sup>25</sup>
	0.640	4.58	06/22/20	6.68	2.10 W	0.00	5583 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.30	3.14 W	0.00	5584 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.29	3.13 W	0.00	5585 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.33	3.17 W	0.00	5586 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.25	3.09 W	0.00	5587 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.25	3.09 W	0.00	5588 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.24	3.08 W	0.00	5589 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.23	3.07 W	0.00	5590 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.40	3.24 W	0.00	5591 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.40	3.24 W	0.00	5592 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.41	3.25 W	0.00	5593 of 7291 - Sale <sup>25</sup>
	1.360	9.73	06/22/20	14.14	4.41 W	0.00	5594 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.61	6.29 W	0.00	5595 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.49	6.17 W	0.00	5596 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.54	6.22 W	0.00	5597 of 7291 - Sale <sup>25</sup>
	2.780	19.90	06/22/20	28.37	8.47 W	0.00	5598 of 7291 - Sale <sup>25</sup>
	2.860	20.48	06/22/20	29.33	8.85 W	0.00	5599 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.91	9.43 W	0.00	5600 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.85	9.37 W	0.00	5601 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.74	9.26 W	0.00	5602 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.48	06/22/20	30.73	9.25 W	0.00	5603 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	41.04	12.40 W	0.00	5604 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	41.04	12.40 W	0.00	5605 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.98	12.34 W	0.00	5606 of 7291 - Sale <sup>25</sup>
	4.000	28.63	06/22/20	40.91	12.28 W	0.00	5607 of 7291 - Sale <sup>25</sup>
	4.000	28.63	06/22/20	40.83	12.20 W	0.00	5608 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	51.89	16.09 W	0.00	5609 of 7291 - Sale <sup>25</sup>
	0.580	4.15	06/22/20	6.06	1.91 W	0.00	5610 of 7291 - Sale <sup>25</sup>
	6.000	42.95	06/22/20	62.05	19.10 W	0.00	5611 of 7291 - Sale <sup>25</sup>
	6.000	42.95	06/22/20	61.87	18.92 W	0.00	5612 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	61.85	18.89 W	0.00	5613 of 7291 - Sale <sup>25</sup>
	7.000	50.11	06/22/20	72.61	22.50 W	0.00	5614 of 7291 - Sale <sup>25</sup>
	7.000	50.11	06/22/20	72.14	22.03 W	0.00	5615 of 7291 - Sale <sup>25</sup>
	10.000	71.59	06/22/20	103.81	32.22 W	0.00	5616 of 7291 - Sale <sup>25</sup>
	10.000	71.59	06/22/20	102.54	30.95 W	0.00	5617 of 7291 - Sale <sup>25</sup>
	0.220	1.57	06/22/20	2.20	0.63 W	0.00	5618 of 7291 - Sale <sup>25</sup>
	0.360	2.58	06/22/20	3.80	1.22 W	0.00	5619 of 7291 - Sale <sup>25</sup>
	0.780	5.58	06/22/20	7.93	2.35 W	0.00	5620 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.23	3.07 W	0.00	5621 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.18	3.02 W	0.00	5622 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.91	2.75 W	0.00	5623 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.93	2.77 W	0.00	5624 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.93	2.77 W	0.00	5625 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.99	2.83 W	0.00	5626 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.96	2.80 W	0.00	5627 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.00	2.84 W	0.00	5628 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.62	2.46 W	0.00	5629 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.61	2.45 W	0.00	5630 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.59	2.43 W	0.00	5631 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.57	2.41 W	0.00	5632 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.52	2.36 W	0.00	5633 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.59	2.43 W	0.00	5634 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.57	2.41 W	0.00	5635 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.48	2.32 W	0.00	5636 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.16	06/22/20	9.49	2.33 W	0.00	5637 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.46	2.30 W	0.00	5638 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.57	2.41 W	0.00	5639 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.62	2.46 W	0.00	5640 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.62	2.46 W	0.00	5641 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.62	2.46 W	0.00	5642 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.59	2.43 W	0.00	5643 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.62	2.46 W	0.00	5644 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.93	2.77 W	0.00	5645 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.99	2.83 W	0.00	5646 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.98	2.82 W	0.00	5647 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.94	6.62 W	0.00	5648 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.40	6.08 W	0.00	5649 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.30	5.98 W	0.00	5650 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.16	4.84 W	0.00	5651 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.96	4.64 W	0.00	5652 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.95	4.63 W	0.00	5653 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.97	4.65 W	0.00	5654 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.95	4.63 W	0.00	5655 of 7291 - Sale <sup>25</sup>
	2.780	19.90	06/22/20	26.42	6.52 W	0.00	5656 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.74	9.26 W	0.00	5657 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.19	8.71 W	0.00	5658 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.18	8.70 W	0.00	5659 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.56	8.08 W	0.00	5660 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	28.69	7.21 W	0.00	5661 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	28.43	6.95 W	0.00	5662 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.64	8.16 W	0.00	5663 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.98	8.50 W	0.00	5664 of 7291 - Sale <sup>25</sup>
	3.220	23.05	06/22/20	32.77	9.72 W	0.00	5665 of 7291 - Sale <sup>25</sup>
	3.780	27.06	06/22/20	37.79	10.73 W	0.00	5666 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	38.26	9.62 W	0.00	5667 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	38.25	9.61 W	0.00	5668 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	38.17	9.53 W	0.00	5669 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	38.59	9.95 W	0.00	5670 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.63	06/22/20	39.98	11.35 W	0.00	5671 of 7291 - Sale <sup>25</sup>
	4.220	30.21	06/22/20	42.21	12.00 W	0.00	5672 of 7291 - Sale <sup>25</sup>
	4.420	31.65	06/22/20	46.16	14.51 W	0.00	5673 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	51.26	15.46 W	0.00	5674 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.41	14.61 W	0.00	5675 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	47.83	12.03 W	0.00	5676 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	47.76	11.96 W	0.00	5677 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	47.91	12.11 W	0.00	5678 of 7291 - Sale <sup>25</sup>
	5.220	37.37	06/22/20	49.58	12.21 W	0.00	5679 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	57.55	14.59 W	0.00	5680 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	56.89	13.93 W	0.00	5681 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	69.97	19.85 W	0.00	5682 of 7291 - Sale <sup>25</sup>
	7.780	55.70	06/22/20	79.09	23.39 W	0.00	5683 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	83.81	26.53 W	0.00	5684 of 7291 - Sale <sup>25</sup>
	11.220	80.33	06/22/20	113.97	33.64 W	0.00	5685 of 7291 - Sale <sup>25</sup>
	17.000	121.71	06/22/20	161.49	39.78 W	0.00	5686 of 7291 - Sale <sup>25</sup>
	0.140	1.00	06/22/20	1.41	0.41 W	0.00	5687 of 7291 - Sale <sup>25</sup>
	0.220	1.58	06/22/20	2.36	0.78 W	0.00	5688 of 7291 - Sale <sup>25</sup>
	0.780	5.58	06/22/20	7.87	2.29 W	0.00	5689 of 7291 - Sale <sup>25</sup>
	0.780	5.58	06/22/20	8.23	2.65 W	0.00	5690 of 7291 - Sale <sup>25</sup>
	0.780	5.58	06/22/20	8.28	2.70 W	0.00	5691 of 7291 - Sale <sup>25</sup>
	0.860	6.16	06/22/20	8.66	2.50 W	0.00	5692 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.09	2.93 W	0.00	5693 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.09	2.93 W	0.00	5694 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.07	2.91 W	0.00	5695 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.02	2.86 W	0.00	5696 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.03	2.87 W	0.00	5697 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.08	2.92 W	0.00	5698 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.03	2.87 W	0.00	5699 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.02	2.86 W	0.00	5700 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.35	3.19 W	0.00	5701 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.67	3.51 W	0.00	5702 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.54	3.38 W	0.00	5703 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.63	3.47 W	0.00	5704 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.16	06/22/20	10.66	3.50 W	0.00	5705 of 7291 - Sale <sup>25</sup>
	1.140	8.16	06/22/20	11.51	3.35 W	0.00	5706 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.16	5.84 W	0.00	5707 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.05	5.73 W	0.00	5708 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.18	5.86 W	0.00	5709 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.08	5.76 W	0.00	5710 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.71	6.39 W	0.00	5711 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.03	6.71 W	0.00	5712 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.38	7.06 W	0.00	5713 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.37	7.05 W	0.00	5714 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.39	7.07 W	0.00	5715 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.05	6.73 W	0.00	5716 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.20	6.88 W	0.00	5717 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.21	6.89 W	0.00	5718 of 7291 - Sale <sup>25</sup>
	2.780	19.90	06/22/20	28.03	8.13 W	0.00	5719 of 7291 - Sale <sup>25</sup>
	2.860	20.48	06/22/20	28.67	8.19 W	0.00	5720 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.19	8.71 W	0.00	5721 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.62	10.14 W	0.00	5722 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	31.85	10.37 W	0.00	5723 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	32.22	10.74 W	0.00	5724 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.37	11.73 W	0.00	5725 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	41.43	12.79 W	0.00	5726 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.09	13.45 W	0.00	5727 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.43	13.79 W	0.00	5728 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.45	13.81 W	0.00	5729 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	50.52	14.72 W	0.00	5730 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	53.41	17.61 W	0.00	5731 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	53.10	17.30 W	0.00	5732 of 7291 - Sale <sup>25</sup>
	5.000	35.79	06/22/20	53.31	17.52 W	0.00	5733 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	60.56	17.60 W	0.00	5734 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	63.13	20.17 W	0.00	5735 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	63.66	20.70 W	0.00	5736 of 7291 - Sale <sup>25</sup>
	6.220	44.53	06/22/20	62.80	18.27 W	0.00	5737 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	73.74	23.62 W	0.00	5738 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	50.12	06/22/20	73.60	23.48 W	0.00	5739 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	74.24	24.12 W	0.00	5740 of 7291 - Sale <sup>25</sup>
	3.220	23.05	06/22/20	34.33	11.28 W	0.00	5741 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	85.52	28.24 W	0.00	5742 of 7291 - Sale <sup>25</sup>
	8.780	62.86	06/22/20	88.62	25.76 W	0.00	5743 of 7291 - Sale <sup>25</sup>
	9.220	66.01	06/22/20	93.09	27.08 W	0.00	5744 of 7291 - Sale <sup>25</sup>
	10.220	73.17	06/22/20	108.51	35.34 W	0.00	5745 of 7291 - Sale <sup>25</sup>
	16.000	114.55	06/22/20	170.04	55.49 W	0.00	5746 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.66	3.50 W	0.00	5747 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.60	3.44 W	0.00	5748 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.22	6.90 W	0.00	5749 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.20	6.88 W	0.00	5750 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.38	13.74 W	0.00	5751 of 7291 - Sale <sup>25</sup>
	3.780	27.06	06/22/20	40.29	13.23 W	0.00	5752 of 7291 - Sale <sup>25</sup>
	0.220	1.58	06/22/20	2.22	0.64 W	0.00	5753 of 7291 - Sale <sup>25</sup>
	0.780	5.58	06/22/20	7.66	2.08 W	0.00	5754 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.81	2.65 W	0.00	5755 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.79	2.63 W	0.00	5756 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.79	2.63 W	0.00	5757 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.69	2.53 W	0.00	5758 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.17	3.01 W	0.00	5759 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.18	3.02 W	0.00	5760 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.17	3.01 W	0.00	5761 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.18	3.02 W	0.00	5762 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.44	3.28 W	0.00	5763 of 7291 - Sale <sup>25</sup>
	1.220	8.73	06/22/20	11.98	3.25 W	0.00	5764 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.64	5.32 W	0.00	5765 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.64	5.32 W	0.00	5766 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.59	5.27 W	0.00	5767 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.43	5.11 W	0.00	5768 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.28	5.96 W	0.00	5769 of 7291 - Sale <sup>25</sup>
	2.220	15.89	06/22/20	22.57	6.68 W	0.00	5770 of 7291 - Sale <sup>25</sup>
	2.780	19.90	06/22/20	28.23	8.33 W	0.00	5771 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.62	8.14 W	0.00	5772 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.48	06/22/20	29.46	7.98 W	0.00	5773 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.47	7.99 W	0.00	5774 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.43	7.95 W	0.00	5775 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.46	7.98 W	0.00	5776 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.54	9.06 W	0.00	5777 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.55	9.07 W	0.00	5778 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.61	11.97 W	0.00	5779 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.73	12.09 W	0.00	5780 of 7291 - Sale <sup>25</sup>
	4.780	34.22	06/22/20	48.70	14.48 W	0.00	5781 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	49.52	13.72 W	0.00	5782 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	60.48	17.52 W	0.00	5783 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	60.91	17.95 W	0.00	5784 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	71.32	21.20 W	0.00	5785 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	81.54	24.26 W	0.00	5786 of 7291 - Sale <sup>25</sup>
	9.000	64.44	06/22/20	91.57	27.13 W	0.00	5787 of 7291 - Sale <sup>25</sup>
	9.000	64.44	06/22/20	92.56	28.12 W	0.00	5788 of 7291 - Sale <sup>25</sup>
	10.000	71.60	06/22/20	101.64	30.04 W	0.00	5789 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.95	2.79 W	0.00	5790 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.28	3.12 W	0.00	5791 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.27	3.11 W	0.00	5792 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.34	3.18 W	0.00	5793 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.28	3.12 W	0.00	5794 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.27	3.11 W	0.00	5795 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.54	6.22 W	0.00	5796 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.52	6.20 W	0.00	5797 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.52	6.20 W	0.00	5798 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.54	6.22 W	0.00	5799 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.53	6.21 W	0.00	5800 of 7291 - Sale <sup>25</sup>
	2.780	19.90	06/22/20	28.48	8.58 W	0.00	5801 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.83	9.35 W	0.00	5802 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	51.35	15.55 W	0.00	5803 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	51.37	15.57 W	0.00	5804 of 7291 - Sale <sup>25</sup>
	5.000	35.79	06/22/20	51.22	15.43 W	0.00	5805 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	61.64	18.68 W	0.00	5806 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.220	44.53	06/22/20	64.12	19.59 W	0.00	5807 of 7291 - Sale <sup>25</sup>
	9.000	64.43	06/22/20	92.56	28.13 W	0.00	5808 of 7291 - Sale <sup>25</sup>
	8.220	58.85	06/22/20	84.21	25.36 W	0.00	5809 of 7291 - Sale <sup>25</sup>
	0.360	2.58	06/22/20	3.58	1.00 W	0.00	5810 of 7291 - Sale <sup>25</sup>
	0.640	4.58	06/22/20	6.40	1.82 W	0.00	5811 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.65	2.49 W	0.00	5812 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.82	2.66 W	0.00	5813 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.81	2.65 W	0.00	5814 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.85	2.69 W	0.00	5815 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.80	2.64 W	0.00	5816 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.47	6.15 W	0.00	5817 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.20	5.88 W	0.00	5818 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.25	4.93 W	0.00	5819 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.22	4.90 W	0.00	5820 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.16	4.84 W	0.00	5821 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.64	5.32 W	0.00	5822 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.46	7.98 W	0.00	5823 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.44	7.96 W	0.00	5824 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.22	7.74 W	0.00	5825 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	38.75	10.11 W	0.00	5826 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	48.10	12.30 W	0.00	5827 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	48.70	12.90 W	0.00	5828 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	48.15	12.35 W	0.00	5829 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	48.12	12.32 W	0.00	5830 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	58.72	15.76 W	0.00	5831 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	81.56	24.28 W	0.00	5832 of 7291 - Sale <sup>25</sup>
	7.780	55.70	06/22/20	79.70	24.00 W	0.00	5833 of 7291 - Sale <sup>25</sup>
	27.220	194.88	06/22/20	207.97	13.09 W	0.00	5834 of 7291 - Sale <sup>25</sup>
	0.220	1.58	06/22/20	2.25	0.67 W	0.00	5835 of 7291 - Sale <sup>25</sup>
	0.780	5.58	06/22/20	7.97	2.39 W	0.00	5836 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.24	3.08 W	0.00	5837 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.27	3.11 W	0.00	5838 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.20	3.04 W	0.00	5839 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.27	3.11 W	0.00	5840 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.16	06/22/20	10.22	3.06 W	0.00	5841 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.16	3.00 W	0.00	5842 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.21	3.05 W	0.00	5843 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.19	3.03 W	0.00	5844 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.19	3.03 W	0.00	5845 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.18	3.02 W	0.00	5846 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.15	2.99 W	0.00	5847 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.16	3.00 W	0.00	5848 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.17	3.01 W	0.00	5849 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.17	3.01 W	0.00	5850 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.98	6.66 W	0.00	5851 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.51	6.19 W	0.00	5852 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	20.25	5.93 W	0.00	5853 of 7291 - Sale <sup>25</sup>
	2.580	18.47	06/22/20	27.31	8.84 W	0.00	5854 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.66	9.18 W	0.00	5855 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.68	9.20 W	0.00	5856 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.71	9.23 W	0.00	5857 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.46	8.98 W	0.00	5858 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.47	8.99 W	0.00	5859 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.48	9.00 W	0.00	5860 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.57	9.09 W	0.00	5861 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	30.50	9.02 W	0.00	5862 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	40.79	12.15 W	0.00	5863 of 7291 - Sale <sup>25</sup>
	5.000	35.79	06/22/20	50.60	14.81 W	0.00	5864 of 7291 - Sale <sup>25</sup>
	7.000	50.12	06/22/20	71.11	20.99 W	0.00	5865 of 7291 - Sale <sup>25</sup>
	8.000	57.27	06/22/20	81.64	24.37 W	0.00	5866 of 7291 - Sale <sup>25</sup>
	5.420	38.80	06/22/20	54.85	16.05 W	0.00	5867 of 7291 - Sale <sup>25</sup>
	11.000	78.76	06/22/20	116.38	37.62 W	0.00	5868 of 7291 - Sale <sup>25</sup>
	12.000	85.91	06/22/20	126.63	40.72 W	0.00	5869 of 7291 - Sale <sup>25</sup>
	2.580	18.47	06/22/20	26.11	7.64 W	0.00	5870 of 7291 - Sale <sup>25</sup>
	11.000	78.76	06/22/20	111.34	32.58 W	0.00	5871 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	11.02	3.86 W	0.00	5872 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	11.01	3.85 W	0.00	5873 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.98	7.66 W	0.00	5874 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	42.96	06/22/20	65.90	22.94 W	0.00	5875 of 7291 - Sale <sup>25</sup>
	10.000	71.60	06/22/20	110.21	38.61 W	0.00	5876 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.53	3.37 W	0.00	5877 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	10.53	3.37 W	0.00	5878 of 7291 - Sale <sup>25</sup>
	1.420	10.17	06/22/20	14.98	4.81 W	0.00	5879 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.08	6.76 W	0.00	5880 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.08	6.76 W	0.00	5881 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	21.04	6.72 W	0.00	5882 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	42.38	13.74 W	0.00	5883 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	52.58	16.78 W	0.00	5884 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	63.48	20.52 W	0.00	5885 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.71	2.55 W	0.00	5886 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.71	2.55 W	0.00	5887 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.74	2.58 W	0.00	5888 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.78	2.62 W	0.00	5889 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.64	5.32 W	0.00	5890 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.53	5.21 W	0.00	5891 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.38	7.90 W	0.00	5892 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	29.62	8.14 W	0.00	5893 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	28.84	7.36 W	0.00	5894 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	39.22	10.58 W	0.00	5895 of 7291 - Sale <sup>25</sup>
	6.000	42.95	06/22/20	58.87	15.92 W	0.00	5896 of 7291 - Sale <sup>25</sup>
	7.000	50.11	06/22/20	69.33	19.22 W	0.00	5897 of 7291 - Sale <sup>25</sup>
	8.000	57.27	06/22/20	76.94	19.67 W	0.00	5898 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.62	2.46 W	0.00	5899 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.46	2.30 W	0.00	5900 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.46	2.30 W	0.00	5901 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.30	2.14 W	0.00	5902 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.17	2.01 W	0.00	5903 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.19	2.03 W	0.00	5904 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	9.19	2.03 W	0.00	5905 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	19.08	4.76 W	0.00	5906 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.87	4.55 W	0.00	5907 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.91	4.59 W	0.00	5908 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.32	06/22/20	18.28	3.96 W	0.00	5909 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.38	4.06 W	0.00	5910 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.38	4.06 W	0.00	5911 of 7291 - Sale <sup>25</sup>
	2.000	14.32	06/22/20	18.28	3.96 W	0.00	5912 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	28.77	7.29 W	0.00	5913 of 7291 - Sale <sup>25</sup>
	3.000	21.48	06/22/20	28.57	7.09 W	0.00	5914 of 7291 - Sale <sup>25</sup>
	4.000	28.64	06/22/20	36.76	8.12 W	0.00	5915 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	48.05	12.25 W	0.00	5916 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	45.73	9.93 W	0.00	5917 of 7291 - Sale <sup>25</sup>
	5.000	35.80	06/22/20	45.95	10.15 W	0.00	5918 of 7291 - Sale <sup>25</sup>
	6.000	42.96	06/22/20	54.82	11.86 W	0.00	5919 of 7291 - Sale <sup>25</sup>
	8.000	57.28	06/22/20	72.83	15.55 W	0.00	5920 of 7291 - Sale <sup>25</sup>
	1.000	7.16	06/22/20	7.69	0.53 W	0.00	5921 of 7291 - Sale <sup>25</sup>
	10.000	71.60	06/22/20	76.83	5.23 W	0.00	5922 of 7291 - Sale <sup>25</sup>
	10.000	71.60	06/22/20	76.88	5.28 W	0.00	5923 of 7291 - Sale <sup>25</sup>
	10.000	71.59	06/22/20	76.77	5.18 W	0.00	5924 of 7291 - Sale <sup>25</sup>
	15.780	112.98	06/22/20	121.51	8.53 W	0.00	5925 of 7291 - Sale <sup>25</sup>
	16.000	114.55	06/22/20	122.87	8.32 W	0.00	5926 of 7291 - Sale <sup>25</sup>
	77.220	552.86	06/22/20	593.03	40.17 W	0.00	5927 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	7.29	0.15 W	0.00	5928 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	14.55	0.27 W	0.00	5929 of 7291 - Sale <sup>25</sup>
	12.220	87.25	06/22/20	93.86	6.61 W	0.00	5930 of 7291 - Sale <sup>25</sup>
	13.000	92.82	06/22/20	96.45	3.63 W	0.00	5931 of 7291 - Sale <sup>25</sup>
	6.780	48.41	06/22/20	52.07	3.66 W	0.00	5932 of 7291 - Sale <sup>25</sup>
	65.000	464.08	06/22/20	499.17	35.09 W	0.00	5933 of 7291 - Sale <sup>25</sup>
	0.220	1.57	06/22/20	2.29	0.72 W	0.00	5934 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	10.47	3.33 W	0.00	5935 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	10.48	3.34 W	0.00	5936 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	10.47	3.33 W	0.00	5937 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	10.45	3.31 W	0.00	5938 of 7291 - Sale <sup>25</sup>
	1.220	8.71	06/22/20	12.78	4.07 W	0.00	5939 of 7291 - Sale <sup>25</sup>
	1.420	10.14	06/22/20	14.85	4.71 W	0.00	5940 of 7291 - Sale <sup>25</sup>
	2.780	19.85	06/22/20	29.10	9.25 W	0.00	5941 of 7291 - Sale <sup>25</sup>
	3.000	21.42	06/22/20	31.42	10.00 W	0.00	5942 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.42	06/22/20	31.40	9.98 W	0.00	5943 of 7291 - Sale <sup>25</sup>
	3.360	23.99	06/22/20	35.16	11.17 W	0.00	5944 of 7291 - Sale <sup>25</sup>
	3.780	26.99	06/22/20	39.59	12.60 W	0.00	5945 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	41.86	13.30 W	0.00	5946 of 7291 - Sale <sup>25</sup>
	6.220	44.41	06/22/20	65.11	20.70 W	0.00	5947 of 7291 - Sale <sup>25</sup>
	7.000	49.98	06/22/20	73.17	23.19 W	0.00	5948 of 7291 - Sale <sup>25</sup>
	7.000	49.98	06/22/20	73.27	23.29 W	0.00	5949 of 7291 - Sale <sup>25</sup>
	8.580	61.26	06/22/20	89.79	28.53 W	0.00	5950 of 7291 - Sale <sup>25</sup>
	0.420	3.00	06/22/20	3.81	0.81 W	0.00	5951 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.10	1.96 W	0.00	5952 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.12	1.98 W	0.00	5953 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.13	1.99 W	0.00	5954 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.11	1.97 W	0.00	5955 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.11	1.97 W	0.00	5956 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.07	1.93 W	0.00	5957 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.07	1.93 W	0.00	5958 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.03	3.75 W	0.00	5959 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.22	3.94 W	0.00	5960 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.23	3.95 W	0.00	5961 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.23	3.95 W	0.00	5962 of 7291 - Sale <sup>25</sup>
	3.000	21.42	06/22/20	27.33	5.91 W	0.00	5963 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	36.09	7.53 W	0.00	5964 of 7291 - Sale <sup>25</sup>
	9.000	64.26	06/22/20	82.20	17.94 W	0.00	5965 of 7291 - Sale <sup>25</sup>
	13.000	92.82	06/22/20	118.07	25.25 W	0.00	5966 of 7291 - Sale <sup>25</sup>
	0.580	4.14	06/22/20	5.34	1.20 W	0.00	5967 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.23	2.09 W	0.00	5968 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.23	2.09 W	0.00	5969 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.26	2.12 W	0.00	5970 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.26	2.12 W	0.00	5971 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.27	2.13 W	0.00	5972 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.35	2.21 W	0.00	5973 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.34	2.20 W	0.00	5974 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.32	2.18 W	0.00	5975 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.29	2.15 W	0.00	5976 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.14	06/22/20	9.57	2.43 W	0.00	5977 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.71	2.57 W	0.00	5978 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.72	2.58 W	0.00	5979 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.71	2.57 W	0.00	5980 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.72	2.58 W	0.00	5981 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.71	2.57 W	0.00	5982 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.69	2.55 W	0.00	5983 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	9.74	2.60 W	0.00	5984 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.45	4.17 W	0.00	5985 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.51	4.23 W	0.00	5986 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.71	4.43 W	0.00	5987 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.64	4.36 W	0.00	5988 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	18.58	4.30 W	0.00	5989 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	19.14	4.86 W	0.00	5990 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	19.44	5.16 W	0.00	5991 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	19.43	5.15 W	0.00	5992 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	19.49	5.21 W	0.00	5993 of 7291 - Sale <sup>25</sup>
	3.000	21.42	06/22/20	27.77	6.35 W	0.00	5994 of 7291 - Sale <sup>25</sup>
	3.000	21.42	06/22/20	27.98	6.56 W	0.00	5995 of 7291 - Sale <sup>25</sup>
	3.000	21.42	06/22/20	27.98	6.56 W	0.00	5996 of 7291 - Sale <sup>25</sup>
	3.000	21.42	06/22/20	28.55	7.13 W	0.00	5997 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	36.85	8.29 W	0.00	5998 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	37.25	8.69 W	0.00	5999 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	38.26	9.70 W	0.00	6000 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	38.04	9.48 W	0.00	6001 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	38.08	9.52 W	0.00	6002 of 7291 - Sale <sup>25</sup>
	4.000	28.56	06/22/20	38.76	10.20 W	0.00	6003 of 7291 - Sale <sup>25</sup>
	5.000	35.70	06/22/20	46.29	10.59 W	0.00	6004 of 7291 - Sale <sup>25</sup>
	5.420	38.70	06/22/20	52.80	14.10 W	0.00	6005 of 7291 - Sale <sup>25</sup>
	6.000	42.84	06/22/20	55.93	13.09 W	0.00	6006 of 7291 - Sale <sup>25</sup>
	12.000	85.68	06/22/20	111.90	26.22 W	0.00	6007 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	19.55	5.27 W	0.00	6008 of 7291 - Sale <sup>25</sup>
	2.000	14.28	06/22/20	19.68	5.40 W	0.00	6009 of 7291 - Sale <sup>25</sup>
	3.000	21.42	06/22/20	30.21	8.79 W	0.00	6010 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.56	06/22/20	38.98	10.42 W	0.00	6011 of 7291 - Sale <sup>25</sup>
	5.000	35.70	06/22/20	48.81	13.11 W	0.00	6012 of 7291 - Sale <sup>25</sup>
	5.580	39.84	06/22/20	54.47	14.63 W	0.00	6013 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	7.96	0.82 W	0.00	6014 of 7291 - Sale <sup>25</sup>
	2.640	18.85	06/22/20	21.00	2.15 W	0.00	6015 of 7291 - Sale <sup>25</sup>
	6.360	45.41	06/22/20	50.59	5.18 W	0.00	6016 of 7291 - Sale <sup>25</sup>
	6.780	48.41	06/22/20	53.89	5.48 W	0.00	6017 of 7291 - Sale <sup>25</sup>
	11.640	83.10	06/22/20	93.79	10.69 W	0.00	6018 of 7291 - Sale <sup>25</sup>
	50.000	356.97	06/22/20	397.81	40.84 W	0.00	6019 of 7291 - Sale <sup>25</sup>
	2.640	18.85	06/22/20	20.88	2.03 W	0.00	6020 of 7291 - Sale <sup>25</sup>
	6.360	45.41	06/22/20	50.18	4.77 W	0.00	6021 of 7291 - Sale <sup>25</sup>
	8.000	57.12	06/22/20	63.62	6.50 W	0.00	6022 of 7291 - Sale <sup>25</sup>
	12.640	90.24	06/22/20	100.45	10.21 W	0.00	6023 of 7291 - Sale <sup>25</sup>
	20.360	145.36	06/22/20	161.62	16.26 W	0.00	6024 of 7291 - Sale <sup>25</sup>
	50.000	356.97	06/22/20	394.52	37.55 W	0.00	6025 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	7.94	0.80 W	0.00	6026 of 7291 - Sale <sup>25</sup>
	1.000	7.14	06/22/20	8.02	0.88 W	0.00	6027 of 7291 - Sale <sup>25</sup>
	2.580	18.42	06/22/20	20.74	2.32 W	0.00	6028 of 7291 - Sale <sup>25</sup>
	6.360	45.41	06/22/20	51.04	5.63 W	0.00	6029 of 7291 - Sale <sup>25</sup>
	6.780	48.40	06/22/20	54.50	6.10 W	0.00	6030 of 7291 - Sale <sup>25</sup>
	8.000	57.12	06/22/20	63.11	5.99 W	0.00	6031 of 7291 - Sale <sup>25</sup>
	10.000	71.39	06/22/20	78.82	7.43 W	0.00	6032 of 7291 - Sale <sup>25</sup>
	10.000	71.39	06/22/20	80.14	8.75 W	0.00	6033 of 7291 - Sale <sup>25</sup>
	10.000	71.40	06/22/20	80.37	8.97 W	0.00	6034 of 7291 - Sale <sup>25</sup>
	12.640	90.24	06/22/20	101.45	11.21 W	0.00	6035 of 7291 - Sale <sup>25</sup>
	31.640	225.89	06/22/20	254.26	28.37 W	0.00	6036 of 7291 - Sale <sup>25</sup>
	1.360	9.71	06/22/20	10.93	1.22 W	0.00	6037 of 7291 - Sale <sup>25</sup>
	1.640	11.71	06/22/20	13.16	1.45 W	0.00	6038 of 7291 - Sale <sup>25</sup>
	10.000	71.40	06/22/20	80.27	8.87 W	0.00	6039 of 7291 - Sale <sup>25</sup>
	10.000	71.40	06/22/20	80.25	8.85 W	0.00	6040 of 7291 - Sale <sup>25</sup>
	10.000	71.40	06/22/20	80.25	8.85 W	0.00	6041 of 7291 - Sale <sup>25</sup>
	10.000	71.39	06/22/20	80.24	8.85 W	0.00	6042 of 7291 - Sale <sup>25</sup>
	14.000	99.96	06/22/20	112.38	12.42 W	0.00	6043 of 7291 - Sale <sup>25</sup>
	16.000	114.23	06/22/20	128.44	14.21 W	0.00	6044 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	27.000	192.77	06/22/20	216.99	24.22 W	0.00	6045 of 7291 - Sale <sup>25</sup>
	0.100	0.71	06/22/20	0.94	0.23 W	0.00	6046 of 7291 - Sale <sup>25</sup>
	3.900	27.69	06/22/20	36.67	8.98 W	0.00	6047 of 7291 - Sale <sup>25</sup>
	3.100	22.01	06/22/20	30.99	8.98 W	0.00	6048 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.11	3.01 W	0.00	6049 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.17	5.97 W	0.00	6050 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.20	6.00 W	0.00	6051 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.99	8.69 W	0.00	6052 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.40	12.00 W	0.00	6053 of 7291 - Sale <sup>25</sup>
	1.900	13.49	06/22/20	19.00	5.51 W	0.00	6054 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.50	15.00 W	0.00	6055 of 7291 - Sale <sup>25</sup>
	0.360	2.56	06/22/20	2.88	0.32 W	0.00	6056 of 7291 - Sale <sup>25</sup>
	0.640	4.54	06/22/20	5.04	0.50 W	0.00	6057 of 7291 - Sale <sup>25</sup>
	1.360	9.66	06/22/20	10.83	1.17 W	0.00	6058 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	31.90	3.50 W	0.00	6059 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	39.51	4.01 W	0.00	6060 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	39.52	4.02 W	0.00	6061 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	39.89	4.39 W	0.00	6062 of 7291 - Sale <sup>25</sup>
	6.360	45.15	06/22/20	51.18	6.03 W	0.00	6063 of 7291 - Sale <sup>25</sup>
	6.640	47.14	06/22/20	52.97	5.83 W	0.00	6064 of 7291 - Sale <sup>25</sup>
	6.640	47.14	06/22/20	52.90	5.76 W	0.00	6065 of 7291 - Sale <sup>25</sup>
	8.360	59.35	06/22/20	66.07	6.72 W	0.00	6066 of 7291 - Sale <sup>25</sup>
	39.640	281.43	06/22/20	315.84	34.41 W	0.00	6067 of 7291 - Sale <sup>25</sup>
	46.000	326.58	06/22/20	370.13	43.55 W	0.00	6068 of 7291 - Sale <sup>25</sup>
	46.100	327.30	06/22/20	367.39	40.09 W	0.00	6069 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	46.58	3.98 W	0.00	6070 of 7291 - Sale <sup>25</sup>
	12.640	89.74	06/22/20	99.84	10.10 W	0.00	6071 of 7291 - Sale <sup>25</sup>
	27.000	191.69	06/22/20	209.63	17.94 W	0.00	6072 of 7291 - Sale <sup>25</sup>
	47.260	335.53	06/22/20	376.63	41.10 W	0.00	6073 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	7.77	0.67 W	0.00	6074 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	7.72	0.62 W	0.00	6075 of 7291 - Sale <sup>25</sup>
	1.580	11.22	06/22/20	12.28	1.06 W	0.00	6076 of 7291 - Sale <sup>25</sup>
	2.360	16.76	06/22/20	18.21	1.45 W	0.00	6077 of 7291 - Sale <sup>25</sup>
	2.640	18.74	06/22/20	20.38	1.64 W	0.00	6078 of 7291 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.420	24.28	06/22/20	26.54	2.26 W	0.00	6079 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	31.12	2.72 W	0.00	6080 of 7291 - Sale <sup>25</sup>
	15.580	110.61	06/22/20	120.08	9.47 W	0.00	6081 of 7291 - Sale <sup>25</sup>
	41.000	291.09	06/22/20	318.33	27.24 W	0.00	6082 of 7291 - Sale <sup>25</sup>
	34.520	245.08	06/22/20	264.99	19.91 W	0.00	6083 of 7291 - Sale <sup>25</sup>
	26.000	184.60	06/22/20	199.59	14.99 W	0.00	6084 of 7291 - Sale <sup>25</sup>
	2.640	18.74	06/22/20	20.09	1.35 W	0.00	6085 of 7291 - Sale <sup>25</sup>
	5.100	36.21	06/22/20	38.76	2.55 W	0.00	6086 of 7291 - Sale <sup>25</sup>
	13.360	94.86	06/22/20	102.56	7.70 W	0.00	6087 of 7291 - Sale <sup>25</sup>
	7.900	56.09	06/22/20	60.65	4.56 W	0.00	6088 of 7291 - Sale <sup>25</sup>
	1.220	8.66	06/22/20	9.28	0.62 W	0.00	6089 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	53.11	3.41 W	0.00	6090 of 7291 - Sale <sup>25</sup>
	2.260	16.05	06/22/20	17.17	1.12 W	0.00	6091 of 7291 - Sale <sup>25</sup>
	27.420	194.68	06/22/20	207.81	13.13 W	0.00	6092 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.25	6.05 W	0.00	6093 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.23	8.93 W	0.00	6094 of 7291 - Sale <sup>25</sup>
	2.100	14.91	06/22/20	21.17	6.26 W	0.00	6095 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.07	2.97 W	0.00	6096 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.05	2.95 W	0.00	6097 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.06	2.96 W	0.00	6098 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.02	2.92 W	0.00	6099 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.03	2.93 W	0.00	6100 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.01	2.91 W	0.00	6101 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.11	3.01 W	0.00	6102 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.12	3.02 W	0.00	6103 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.06	2.96 W	0.00	6104 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.38	3.28 W	0.00	6105 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.00	2.90 W	0.00	6106 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.79	3.69 W	0.00	6107 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.80	3.70 W	0.00	6108 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.77	3.67 W	0.00	6109 of 7291 - Sale <sup>25</sup>
	1.360	9.66	06/22/20	13.68	4.02 W	0.00	6110 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.10	5.90 W	0.00	6111 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.10	5.90 W	0.00	6112 of 7291 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	20.12	5.92 W	0.00	6113 of 7291 - Sale <sup>25</sup>
	0.900	6.39	06/22/20	9.07	2.68 W	0.00	6114 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.01	8.71 W	0.00	6115 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.21	8.91 W	0.00	6116 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.14	11.74 W	0.00	6117 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.01	11.61 W	0.00	6118 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	39.99	11.59 W	0.00	6119 of 7291 - Sale <sup>25</sup>
	4.640	32.94	06/22/20	46.73	13.79 W	0.00	6120 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.21	14.71 W	0.00	6121 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	49.95	14.45 W	0.00	6122 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.40	14.90 W	0.00	6123 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.43	14.93 W	0.00	6124 of 7291 - Sale <sup>25</sup>
	5.000	35.49	06/22/20	50.00	14.51 W	0.00	6125 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	54.10	18.60 W	0.00	6126 of 7291 - Sale <sup>25</sup>
	6.000	42.59	06/22/20	61.51	18.92 W	0.00	6127 of 7291 - Sale <sup>25</sup>
	2.100	14.90	06/22/20	22.77	7.87 W	0.00	6128 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	70.62	20.92 W	0.00	6129 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	100.52	29.52 W	0.00	6130 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.74	3.64 W	0.00	6131 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.72	3.62 W	0.00	6132 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.73	3.63 W	0.00	6133 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.71	3.61 W	0.00	6134 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.73	3.63 W	0.00	6135 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.45	7.25 W	0.00	6136 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.34	7.14 W	0.00	6137 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.43	7.23 W	0.00	6138 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.45	7.25 W	0.00	6139 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	32.15	10.85 W	0.00	6140 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	32.14	10.84 W	0.00	6141 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	32.15	10.85 W	0.00	6142 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	32.17	10.87 W	0.00	6143 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.89	14.49 W	0.00	6144 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.84	14.44 W	0.00	6145 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.94	14.54 W	0.00	6146 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.40	06/22/20	42.89	14.49 W	0.00	6147 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.88	14.48 W	0.00	6148 of 7291 - Sale <sup>25</sup>
	3.900	27.69	06/22/20	42.29	14.60 W	0.00	6149 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	64.45	21.85 W	0.00	6150 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	75.02	25.32 W	0.00	6151 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	75.00	25.30 W	0.00	6152 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	85.74	28.94 W	0.00	6153 of 7291 - Sale <sup>25</sup>
	9.000	63.89	06/22/20	96.24	32.35 W	0.00	6154 of 7291 - Sale <sup>25</sup>
	7.000	49.69	06/22/20	53.06	3.37 W	0.00	6155 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.70	3.60 W	0.00	6156 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.71	3.61 W	0.00	6157 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.71	3.61 W	0.00	6158 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.69	3.59 W	0.00	6159 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.72	3.62 W	0.00	6160 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.37	7.17 W	0.00	6161 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.48	7.28 W	0.00	6162 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.98	14.58 W	0.00	6163 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	53.49	17.99 W	0.00	6164 of 7291 - Sale <sup>25</sup>
	6.000	42.59	06/22/20	64.46	21.87 W	0.00	6165 of 7291 - Sale <sup>25</sup>
	8.000	56.79	06/22/20	85.27	28.48 W	0.00	6166 of 7291 - Sale <sup>25</sup>
	8.000	56.79	06/22/20	85.20	28.41 W	0.00	6167 of 7291 - Sale <sup>25</sup>
	5.100	36.21	06/22/20	54.32	18.11 W	0.00	6168 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.64	3.54 W	0.00	6169 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.64	3.54 W	0.00	6170 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.64	3.54 W	0.00	6171 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.44	3.34 W	0.00	6172 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.51	3.41 W	0.00	6173 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.59	3.49 W	0.00	6174 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.00	6.80 W	0.00	6175 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.16	6.96 W	0.00	6176 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.17	6.97 W	0.00	6177 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.49	10.19 W	0.00	6178 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.53	10.23 W	0.00	6179 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.31	13.91 W	0.00	6180 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	28.40	06/22/20	42.34	13.94 W	0.00	6181 of 7291 - Sale <sup>25</sup>
	4.360	30.95	06/22/20	45.81	14.86 W	0.00	6182 of 7291 - Sale <sup>25</sup>
	4.640	32.94	06/22/20	48.75	15.81 W	0.00	6183 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	52.55	17.05 W	0.00	6184 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	62.91	20.31 W	0.00	6185 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	63.05	20.45 W	0.00	6186 of 7291 - Sale <sup>25</sup>
	2.900	20.59	06/22/20	30.89	10.30 W	0.00	6187 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.59	3.49 W	0.00	6188 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.57	3.47 W	0.00	6189 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.50	3.40 W	0.00	6190 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.52	3.42 W	0.00	6191 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.44	3.34 W	0.00	6192 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.44	3.34 W	0.00	6193 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.44	3.34 W	0.00	6194 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.62	3.52 W	0.00	6195 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.63	3.53 W	0.00	6196 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.51	3.41 W	0.00	6197 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.52	3.42 W	0.00	6198 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.42	3.32 W	0.00	6199 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.95	6.75 W	0.00	6200 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.04	6.84 W	0.00	6201 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.84	6.64 W	0.00	6202 of 7291 - Sale <sup>25</sup>
	0.100	0.71	06/22/20	1.04	0.33 W	0.00	6203 of 7291 - Sale <sup>25</sup>
	2.360	16.75	06/22/20	25.07	8.32 W	0.00	6204 of 7291 - Sale <sup>25</sup>
	2.640	18.74	06/22/20	28.03	9.29 W	0.00	6205 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.32	10.02 W	0.00	6206 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.50	10.20 W	0.00	6207 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.45	10.15 W	0.00	6208 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.26	13.86 W	0.00	6209 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	42.49	14.09 W	0.00	6210 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	74.00	24.30 W	0.00	6211 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	73.20	23.50 W	0.00	6212 of 7291 - Sale <sup>25</sup>
	10.000	70.99	06/22/20	105.41	34.42 W	0.00	6213 of 7291 - Sale <sup>25</sup>
	11.000	78.09	06/22/20	115.42	37.33 W	0.00	6214 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	13.000	92.29	06/22/20	135.60	43.31 W	0.00	6215 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.42	3.32 W	0.00	6216 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.38	3.28 W	0.00	6217 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.61	3.51 W	0.00	6218 of 7291 - Sale <sup>25</sup>
	1.900	13.49	06/22/20	19.76	6.27 W	0.00	6219 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.26	9.96 W	0.00	6220 of 7291 - Sale <sup>25</sup>
	3.100	22.01	06/22/20	32.97	10.96 W	0.00	6221 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	83.30	26.50 W	0.00	6222 of 7291 - Sale <sup>25</sup>
	9.000	63.90	06/22/20	95.69	31.79 W	0.00	6223 of 7291 - Sale <sup>25</sup>
	12.000	85.20	06/22/20	124.85	39.65 W	0.00	6224 of 7291 - Sale <sup>25</sup>
	15.000	106.49	06/22/20	159.69	53.20 W	0.00	6225 of 7291 - Sale <sup>25</sup>
	0.640	4.54	06/22/20	6.55	2.01 W	0.00	6226 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.68	3.58 W	0.00	6227 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.65	3.55 W	0.00	6228 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.18	3.08 W	0.00	6229 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.18	3.08 W	0.00	6230 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.21	3.11 W	0.00	6231 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.20	3.10 W	0.00	6232 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.21	3.11 W	0.00	6233 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.33	3.23 W	0.00	6234 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.27	7.07 W	0.00	6235 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.34	6.14 W	0.00	6236 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.99	10.69 W	0.00	6237 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.92	9.62 W	0.00	6238 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.92	9.62 W	0.00	6239 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.82	12.42 W	0.00	6240 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.91	12.51 W	0.00	6241 of 7291 - Sale <sup>25</sup>
	4.360	30.95	06/22/20	44.93	13.98 W	0.00	6242 of 7291 - Sale <sup>25</sup>
	4.640	32.94	06/22/20	47.95	15.01 W	0.00	6243 of 7291 - Sale <sup>25</sup>
	2.900	20.59	06/22/20	30.85	10.26 W	0.00	6244 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	82.44	25.64 W	0.00	6245 of 7291 - Sale <sup>25</sup>
	7.360	52.25	06/22/20	55.81	3.56 W	0.00	6246 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	53.07	3.37 W	0.00	6247 of 7291 - Sale <sup>25</sup>
	3.100	22.01	06/22/20	23.50	1.49 W	0.00	6248 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	33.000	234.29	06/22/20	250.22	15.93 W	0.00	6249 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	7.25	0.15 W	0.00	6250 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	15.15	0.95 W	0.00	6251 of 7291 - Sale <sup>25</sup>
	8.220	58.36	06/22/20	62.33	3.97 W	0.00	6252 of 7291 - Sale <sup>25</sup>
	6.900	48.99	06/22/20	52.32	3.33 W	0.00	6253 of 7291 - Sale <sup>25</sup>
	17.780	126.23	06/22/20	134.75	8.52 W	0.00	6254 of 7291 - Sale <sup>25</sup>
	21.000	149.09	06/22/20	159.17	10.08 W	0.00	6255 of 7291 - Sale <sup>25</sup>
	3.360	23.86	06/22/20	24.17	0.31 W	0.00	6256 of 7291 - Sale <sup>25</sup>
	12.420	88.18	06/22/20	90.02	1.84 W	0.00	6257 of 7291 - Sale <sup>25</sup>
	34.220	242.95	06/22/20	248.09	5.14 W	0.00	6258 of 7291 - Sale <sup>25</sup>
	8.220	58.36	06/22/20	59.03	0.67 W	0.00	6259 of 7291 - Sale <sup>25</sup>
	41.780	296.63	06/22/20	299.97	3.34 W	0.00	6260 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.19	3.09 W	0.00	6261 of 7291 - Sale <sup>25</sup>
	0.740	5.25	06/22/20	7.58	2.33 W	0.00	6262 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.55	9.25 W	0.00	6263 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.02	18.42 W	0.00	6264 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.01	18.41 W	0.00	6265 of 7291 - Sale <sup>25</sup>
	8.360	59.35	06/22/20	86.39	27.04 W	0.00	6266 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.21	3.11 W	0.00	6267 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.00	2.90 W	0.00	6268 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.02	2.92 W	0.00	6269 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.04	2.94 W	0.00	6270 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.00	2.90 W	0.00	6271 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.03	2.93 W	0.00	6272 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.01	2.91 W	0.00	6273 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.00	2.90 W	0.00	6274 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.03	2.93 W	0.00	6275 of 7291 - Sale <sup>25</sup>
	1.360	9.65	06/22/20	13.59	3.94 W	0.00	6276 of 7291 - Sale <sup>25</sup>
	1.260	8.95	06/22/20	12.91	3.96 W	0.00	6277 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.01	5.81 W	0.00	6278 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.02	5.82 W	0.00	6279 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.09	5.89 W	0.00	6280 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.03	5.83 W	0.00	6281 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.04	5.84 W	0.00	6282 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	20.03	5.83 W	0.00	6283 of 7291 - Sale <sup>25</sup>
	2.640	18.74	06/22/20	26.40	7.66 W	0.00	6284 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.01	8.71 W	0.00	6285 of 7291 - Sale <sup>25</sup>
	3.360	23.85	06/22/20	33.45	9.60 W	0.00	6286 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	39.99	11.59 W	0.00	6287 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.21	14.71 W	0.00	6288 of 7291 - Sale <sup>25</sup>
	3.740	26.55	06/22/20	37.41	10.86 W	0.00	6289 of 7291 - Sale <sup>25</sup>
	5.780	41.04	06/22/20	59.31	18.27 W	0.00	6290 of 7291 - Sale <sup>25</sup>
	6.220	44.16	06/22/20	63.57	19.41 W	0.00	6291 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	82.09	25.29 W	0.00	6292 of 7291 - Sale <sup>25</sup>
	8.000	56.79	06/22/20	79.63	22.84 W	0.00	6293 of 7291 - Sale <sup>25</sup>
	8.640	61.34	06/22/20	86.02	24.68 W	0.00	6294 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.26	0.70 W	0.00	6295 of 7291 - Sale <sup>25</sup>
	0.420	2.98	06/22/20	4.30	1.32 W	0.00	6296 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	7.94	2.40 W	0.00	6297 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.13	3.03 W	0.00	6298 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.16	3.06 W	0.00	6299 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.16	3.06 W	0.00	6300 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.12	3.02 W	0.00	6301 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.12	3.02 W	0.00	6302 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.17	3.07 W	0.00	6303 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.12	3.02 W	0.00	6304 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.32	3.22 W	0.00	6305 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.34	3.24 W	0.00	6306 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.25	3.15 W	0.00	6307 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.25	3.15 W	0.00	6308 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.26	3.16 W	0.00	6309 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.18	3.08 W	0.00	6310 of 7291 - Sale <sup>25</sup>
	1.780	12.64	06/22/20	18.03	5.39 W	0.00	6311 of 7291 - Sale <sup>25</sup>
	1.780	12.64	06/22/20	18.24	5.60 W	0.00	6312 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.80	5.60 W	0.00	6313 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.60	6.40 W	0.00	6314 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.78	6.58 W	0.00	6315 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.71	6.51 W	0.00	6316 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	20.52	6.32 W	0.00	6317 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.34	6.14 W	0.00	6318 of 7291 - Sale <sup>25</sup>
	2.220	15.76	06/22/20	22.59	6.83 W	0.00	6319 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.45	9.15 W	0.00	6320 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.46	9.16 W	0.00	6321 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.55	9.25 W	0.00	6322 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.44	12.04 W	0.00	6323 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.15	12.75 W	0.00	6324 of 7291 - Sale <sup>25</sup>
	4.220	29.96	06/22/20	42.75	12.79 W	0.00	6325 of 7291 - Sale <sup>25</sup>
	1.260	8.95	06/22/20	12.60	3.65 W	0.00	6326 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	51.42	15.92 W	0.00	6327 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.05	18.45 W	0.00	6328 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.08	18.48 W	0.00	6329 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	81.03	24.23 W	0.00	6330 of 7291 - Sale <sup>25</sup>
	10.000	71.00	06/22/20	101.62	30.62 W	0.00	6331 of 7291 - Sale <sup>25</sup>
	11.000	78.09	06/22/20	113.26	35.17 W	0.00	6332 of 7291 - Sale <sup>25</sup>
	12.000	85.20	06/22/20	120.19	34.99 W	0.00	6333 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.22	3.12 W	0.00	6334 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.21	3.11 W	0.00	6335 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.25	3.15 W	0.00	6336 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.17	3.07 W	0.00	6337 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.17	3.07 W	0.00	6338 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.45	6.25 W	0.00	6339 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.33	6.13 W	0.00	6340 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.67	9.37 W	0.00	6341 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.61	9.31 W	0.00	6342 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.50	9.20 W	0.00	6343 of 7291 - Sale <sup>25</sup>
	3.000	21.29	06/22/20	30.49	9.20 W	0.00	6344 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.72	12.32 W	0.00	6345 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.72	12.32 W	0.00	6346 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.66	12.26 W	0.00	6347 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	51.49	15.99 W	0.00	6348 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.58	18.98 W	0.00	6349 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	61.40	18.80 W	0.00	6350 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	42.59	06/22/20	61.37	18.78 W	0.00	6351 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	72.06	22.36 W	0.00	6352 of 7291 - Sale <sup>25</sup>
	7.000	49.69	06/22/20	71.59	21.90 W	0.00	6353 of 7291 - Sale <sup>25</sup>
	10.000	70.99	06/22/20	103.02	32.03 W	0.00	6354 of 7291 - Sale <sup>25</sup>
	7.320	51.96	06/22/20	74.48	22.52 W	0.00	6355 of 7291 - Sale <sup>25</sup>
	0.360	2.56	06/22/20	3.66	1.10 W	0.00	6356 of 7291 - Sale <sup>25</sup>
	0.360	2.56	06/22/20	3.77	1.21 W	0.00	6357 of 7291 - Sale <sup>25</sup>
	0.580	4.12	06/22/20	6.02	1.90 W	0.00	6358 of 7291 - Sale <sup>25</sup>
	0.640	4.54	06/22/20	6.53	1.99 W	0.00	6359 of 7291 - Sale <sup>25</sup>
	0.640	4.54	06/22/20	6.63	2.09 W	0.00	6360 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	7.87	2.33 W	0.00	6361 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.16	3.06 W	0.00	6362 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.15	3.05 W	0.00	6363 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.32	3.22 W	0.00	6364 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.32	3.22 W	0.00	6365 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.33	3.23 W	0.00	6366 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.15	3.05 W	0.00	6367 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.10	3.00 W	0.00	6368 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.83	2.73 W	0.00	6369 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.85	2.75 W	0.00	6370 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.85	2.75 W	0.00	6371 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.91	2.81 W	0.00	6372 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.88	2.78 W	0.00	6373 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.92	2.82 W	0.00	6374 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.54	2.44 W	0.00	6375 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.53	2.43 W	0.00	6376 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.51	2.41 W	0.00	6377 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.49	2.39 W	0.00	6378 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.44	2.34 W	0.00	6379 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.51	2.41 W	0.00	6380 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.49	2.39 W	0.00	6381 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.40	2.30 W	0.00	6382 of 7291 - Sale <sup>25</sup>
	1.360	9.66	06/22/20	14.04	4.38 W	0.00	6383 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.38	6.18 W	0.00	6384 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	20.78	6.58 W	0.00	6385 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.24	6.04 W	0.00	6386 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.14	5.94 W	0.00	6387 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.00	4.80 W	0.00	6388 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.80	4.60 W	0.00	6389 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.79	4.59 W	0.00	6390 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.81	4.61 W	0.00	6391 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	28.15	8.41 W	0.00	6392 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	26.20	6.46 W	0.00	6393 of 7291 - Sale <sup>25</sup>
	2.860	20.31	06/22/20	29.10	8.79 W	0.00	6394 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.50	9.20 W	0.00	6395 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.95	8.65 W	0.00	6396 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.94	8.64 W	0.00	6397 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.32	8.02 W	0.00	6398 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.45	7.15 W	0.00	6399 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	28.19	6.89 W	0.00	6400 of 7291 - Sale <sup>25</sup>
	3.220	22.86	06/22/20	32.52	9.66 W	0.00	6401 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.60	12.20 W	0.00	6402 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.52	12.12 W	0.00	6403 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	37.94	9.54 W	0.00	6404 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	37.93	9.53 W	0.00	6405 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	37.85	9.45 W	0.00	6406 of 7291 - Sale <sup>25</sup>
	4.420	31.38	06/22/20	45.80	14.42 W	0.00	6407 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.86	15.36 W	0.00	6408 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.01	14.51 W	0.00	6409 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.43	11.93 W	0.00	6410 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.36	11.86 W	0.00	6411 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	47.51	12.01 W	0.00	6412 of 7291 - Sale <sup>25</sup>
	5.220	37.06	06/22/20	49.17	12.11 W	0.00	6413 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	57.07	14.47 W	0.00	6414 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	56.41	13.81 W	0.00	6415 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	69.41	19.71 W	0.00	6416 of 7291 - Sale <sup>25</sup>
	7.780	55.24	06/22/20	78.47	23.23 W	0.00	6417 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	83.17	26.37 W	0.00	6418 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.680	19.03	06/22/20	27.27	8.24 W	0.00	6419 of 7291 - Sale <sup>25</sup>
	11.220	79.66	06/22/20	113.08	33.42 W	0.00	6420 of 7291 - Sale <sup>25</sup>
	11.220	79.66	06/22/20	105.69	26.03 W	0.00	6421 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	14.36	0.16 W	0.00	6422 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	35.90	0.40 W	0.00	6423 of 7291 - Sale <sup>25</sup>
	0.140	0.99	06/22/20	1.40	0.41 W	0.00	6424 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.18	0.62 W	0.00	6425 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.33	0.77 W	0.00	6426 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	7.80	2.26 W	0.00	6427 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	8.16	2.62 W	0.00	6428 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	8.21	2.67 W	0.00	6429 of 7291 - Sale <sup>25</sup>
	0.860	6.11	06/22/20	8.57	2.46 W	0.00	6430 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.39	2.29 W	0.00	6431 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.36	2.26 W	0.00	6432 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.47	2.37 W	0.00	6433 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.52	2.42 W	0.00	6434 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.52	2.42 W	0.00	6435 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.52	2.42 W	0.00	6436 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.49	2.39 W	0.00	6437 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.52	2.42 W	0.00	6438 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.83	2.73 W	0.00	6439 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.89	2.79 W	0.00	6440 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.88	2.78 W	0.00	6441 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.99	2.89 W	0.00	6442 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.99	2.89 W	0.00	6443 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.97	2.87 W	0.00	6444 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.92	2.82 W	0.00	6445 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.93	2.83 W	0.00	6446 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.98	2.88 W	0.00	6447 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.93	2.83 W	0.00	6448 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.92	2.82 W	0.00	6449 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.25	3.15 W	0.00	6450 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.57	3.47 W	0.00	6451 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.44	3.34 W	0.00	6452 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.10	06/22/20	10.53	3.43 W	0.00	6453 of 7291 - Sale <sup>25</sup>
	1.140	8.09	06/22/20	11.40	3.31 W	0.00	6454 of 7291 - Sale <sup>25</sup>
	1.220	8.66	06/22/20	12.83	4.17 W	0.00	6455 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	18.75	4.55 W	0.00	6456 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.96	5.76 W	0.00	6457 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.85	5.65 W	0.00	6458 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.98	5.78 W	0.00	6459 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.88	5.68 W	0.00	6460 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.51	6.31 W	0.00	6461 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.83	6.63 W	0.00	6462 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.18	6.98 W	0.00	6463 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.17	6.97 W	0.00	6464 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	21.19	6.99 W	0.00	6465 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.85	6.65 W	0.00	6466 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	27.76	8.02 W	0.00	6467 of 7291 - Sale <sup>25</sup>
	2.860	20.31	06/22/20	28.38	8.07 W	0.00	6468 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.34	8.04 W	0.00	6469 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.68	8.38 W	0.00	6470 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.89	8.59 W	0.00	6471 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.32	10.02 W	0.00	6472 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.55	10.25 W	0.00	6473 of 7291 - Sale <sup>25</sup>
	3.780	26.84	06/22/20	37.42	10.58 W	0.00	6474 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	38.19	9.79 W	0.00	6475 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	39.59	11.19 W	0.00	6476 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	39.97	11.57 W	0.00	6477 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.03	12.63 W	0.00	6478 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.69	13.29 W	0.00	6479 of 7291 - Sale <sup>25</sup>
	4.220	29.96	06/22/20	41.79	11.83 W	0.00	6480 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	50.02	14.52 W	0.00	6481 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	52.91	17.41 W	0.00	6482 of 7291 - Sale <sup>25</sup>
	5.780	41.04	06/22/20	54.34	13.30 W	0.00	6483 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	59.96	17.36 W	0.00	6484 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	62.53	19.93 W	0.00	6485 of 7291 - Sale <sup>25</sup>
	6.220	44.16	06/22/20	62.18	18.02 W	0.00	6486 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	49.70	06/22/20	73.04	23.34 W	0.00	6487 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	72.90	23.20 W	0.00	6488 of 7291 - Sale <sup>25</sup>
	8.000	56.80	06/22/20	84.72	27.92 W	0.00	6489 of 7291 - Sale <sup>25</sup>
	8.780	62.34	06/22/20	87.75	25.41 W	0.00	6490 of 7291 - Sale <sup>25</sup>
	9.220	65.46	06/22/20	92.17	26.71 W	0.00	6491 of 7291 - Sale <sup>25</sup>
	10.220	72.56	06/22/20	107.49	34.93 W	0.00	6492 of 7291 - Sale <sup>25</sup>
	16.000	113.60	06/22/20	168.45	54.85 W	0.00	6493 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.49	3.39 W	0.00	6494 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.49	3.39 W	0.00	6495 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.53	3.43 W	0.00	6496 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.53	3.43 W	0.00	6497 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.47	3.37 W	0.00	6498 of 7291 - Sale <sup>25</sup>
	1.780	12.64	06/22/20	18.67	6.03 W	0.00	6499 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.94	6.74 W	0.00	6500 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.95	6.75 W	0.00	6501 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.96	6.76 W	0.00	6502 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.94	6.74 W	0.00	6503 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	31.83	10.53 W	0.00	6504 of 7291 - Sale <sup>25</sup>
	3.220	22.86	06/22/20	33.92	11.06 W	0.00	6505 of 7291 - Sale <sup>25</sup>
	3.780	26.84	06/22/20	39.80	12.96 W	0.00	6506 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.91	13.51 W	0.00	6507 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.93	13.53 W	0.00	6508 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	41.86	13.46 W	0.00	6509 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	52.67	17.17 W	0.00	6510 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	62.88	20.28 W	0.00	6511 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	73.33	23.63 W	0.00	6512 of 7291 - Sale <sup>25</sup>
	0.220	1.56	06/22/20	2.19	0.63 W	0.00	6513 of 7291 - Sale <sup>25</sup>
	0.780	5.54	06/22/20	7.56	2.02 W	0.00	6514 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.68	2.58 W	0.00	6515 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.66	2.56 W	0.00	6516 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.66	2.56 W	0.00	6517 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.56	2.46 W	0.00	6518 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.04	2.94 W	0.00	6519 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.05	2.95 W	0.00	6520 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.10	06/22/20	10.04	2.94 W	0.00	6521 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.05	2.95 W	0.00	6522 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.31	3.21 W	0.00	6523 of 7291 - Sale <sup>25</sup>
	1.220	8.66	06/22/20	11.83	3.17 W	0.00	6524 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.38	5.18 W	0.00	6525 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.38	5.18 W	0.00	6526 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.33	5.13 W	0.00	6527 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	19.17	4.97 W	0.00	6528 of 7291 - Sale <sup>25</sup>
	2.000	14.20	06/22/20	20.02	5.82 W	0.00	6529 of 7291 - Sale <sup>25</sup>
	2.220	15.76	06/22/20	22.29	6.53 W	0.00	6530 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	27.87	8.13 W	0.00	6531 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.23	7.93 W	0.00	6532 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.07	7.77 W	0.00	6533 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.08	7.78 W	0.00	6534 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.04	7.74 W	0.00	6535 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	29.07	7.77 W	0.00	6536 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.15	8.85 W	0.00	6537 of 7291 - Sale <sup>25</sup>
	3.000	21.30	06/22/20	30.16	8.86 W	0.00	6538 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.09	11.69 W	0.00	6539 of 7291 - Sale <sup>25</sup>
	4.000	28.40	06/22/20	40.21	11.81 W	0.00	6540 of 7291 - Sale <sup>25</sup>
	4.780	33.94	06/22/20	48.08	14.14 W	0.00	6541 of 7291 - Sale <sup>25</sup>
	5.000	35.50	06/22/20	48.87	13.37 W	0.00	6542 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	59.70	17.10 W	0.00	6543 of 7291 - Sale <sup>25</sup>
	6.000	42.60	06/22/20	60.13	17.53 W	0.00	6544 of 7291 - Sale <sup>25</sup>
	7.000	49.70	06/22/20	70.41	20.71 W	0.00	6545 of 7291 - Sale <sup>25</sup>
	8.000	56.79	06/22/20	80.50	23.71 W	0.00	6546 of 7291 - Sale <sup>25</sup>
	9.000	63.89	06/22/20	90.40	26.51 W	0.00	6547 of 7291 - Sale <sup>25</sup>
	9.000	63.89	06/22/20	91.39	27.50 W	0.00	6548 of 7291 - Sale <sup>25</sup>
	10.000	70.99	06/22/20	100.34	29.35 W	0.00	6549 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	9.82	2.72 W	0.00	6550 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.15	3.05 W	0.00	6551 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.14	3.04 W	0.00	6552 of 7291 - Sale <sup>25</sup>
	1.000	7.10	06/22/20	10.21	3.11 W	0.00	6553 of 7291 - Sale <sup>25</sup>
	1.220	8.66	06/22/20	12.36	3.70 W	0.00	6554 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.20	06/22/20	20.28	6.08 W	0.00	6555 of 7291 - Sale <sup>25</sup>
	2.780	19.74	06/22/20	28.12	8.38 W	0.00	6556 of 7291 - Sale <sup>25</sup>
	5.000	35.49	06/22/20	50.70	15.21 W	0.00	6557 of 7291 - Sale <sup>25</sup>
	6.000	42.59	06/22/20	60.86	18.27 W	0.00	6558 of 7291 - Sale <sup>25</sup>
	6.220	44.16	06/22/20	63.32	19.16 W	0.00	6559 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.16	2.90 W	0.00	6560 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.17	2.91 W	0.00	6561 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.15	2.89 W	0.00	6562 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.25	2.99 W	0.00	6563 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.26	3.00 W	0.00	6564 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.20	2.94 W	0.00	6565 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.52	3.26 W	0.00	6566 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.14	2.88 W	0.00	6567 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.93	3.67 W	0.00	6568 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.94	3.68 W	0.00	6569 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.91	3.65 W	0.00	6570 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.88	3.62 W	0.00	6571 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.86	3.60 W	0.00	6572 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.87	3.61 W	0.00	6573 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.85	3.59 W	0.00	6574 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.87	3.61 W	0.00	6575 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.84	3.58 W	0.00	6576 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.85	3.59 W	0.00	6577 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.85	3.59 W	0.00	6578 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.83	3.57 W	0.00	6579 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.86	3.60 W	0.00	6580 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.40	5.88 W	0.00	6581 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.73	7.21 W	0.00	6582 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.62	7.10 W	0.00	6583 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.71	7.19 W	0.00	6584 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.73	7.21 W	0.00	6585 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.65	7.13 W	0.00	6586 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.76	7.24 W	0.00	6587 of 7291 - Sale <sup>25</sup>
	2.100	15.25	06/22/20	23.07	7.82 W	0.00	6588 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.78	06/22/20	30.43	8.65 W	0.00	6589 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	30.63	8.85 W	0.00	6590 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	32.57	10.79 W	0.00	6591 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	32.56	10.78 W	0.00	6592 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	32.57	10.79 W	0.00	6593 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	32.59	10.81 W	0.00	6594 of 7291 - Sale <sup>25</sup>
	3.900	28.31	06/22/20	42.84	14.53 W	0.00	6595 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	40.57	11.53 W	0.00	6596 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	40.55	11.51 W	0.00	6597 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	43.45	14.41 W	0.00	6598 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	43.40	14.36 W	0.00	6599 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	43.50	14.46 W	0.00	6600 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	43.45	14.41 W	0.00	6601 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	43.44	14.40 W	0.00	6602 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	43.54	14.50 W	0.00	6603 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	50.65	14.35 W	0.00	6604 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	51.10	14.80 W	0.00	6605 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	51.13	14.83 W	0.00	6606 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	50.71	14.41 W	0.00	6607 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	54.80	18.50 W	0.00	6608 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	54.19	17.89 W	0.00	6609 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	62.36	18.80 W	0.00	6610 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	65.29	21.73 W	0.00	6611 of 7291 - Sale <sup>25</sup>
	6.000	43.55	06/22/20	65.31	21.76 W	0.00	6612 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	71.60	20.78 W	0.00	6613 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	76.00	25.18 W	0.00	6614 of 7291 - Sale <sup>25</sup>
	7.000	50.81	06/22/20	75.98	25.17 W	0.00	6615 of 7291 - Sale <sup>25</sup>
	7.000	50.81	06/22/20	75.54	24.73 W	0.00	6616 of 7291 - Sale <sup>25</sup>
	8.000	58.08	06/22/20	86.86	28.78 W	0.00	6617 of 7291 - Sale <sup>25</sup>
	8.000	58.07	06/22/20	86.41	28.34 W	0.00	6618 of 7291 - Sale <sup>25</sup>
	9.000	65.33	06/22/20	97.51	32.18 W	0.00	6619 of 7291 - Sale <sup>25</sup>
	8.000	58.07	06/22/20	62.53	4.46 W	0.00	6620 of 7291 - Sale <sup>25</sup>
	1.220	8.86	06/22/20	9.45	0.59 W	0.00	6621 of 7291 - Sale <sup>25</sup>
	2.260	16.41	06/22/20	17.48	1.07 W	0.00	6622 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.640	19.17	06/22/20	20.46	1.29 W	0.00	6623 of 7291 - Sale <sup>25</sup>
	5.100	37.02	06/22/20	39.47	2.45 W	0.00	6624 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	54.09	3.27 W	0.00	6625 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	54.05	3.23 W	0.00	6626 of 7291 - Sale <sup>25</sup>
	7.900	57.35	06/22/20	61.76	4.41 W	0.00	6627 of 7291 - Sale <sup>25</sup>
	4.100	29.76	06/22/20	32.05	2.29 W	0.00	6628 of 7291 - Sale <sup>25</sup>
	13.360	96.99	06/22/20	104.43	7.44 W	0.00	6629 of 7291 - Sale <sup>25</sup>
	27.420	199.06	06/22/20	211.65	12.59 W	0.00	6630 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.79	3.53 W	0.00	6631 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.78	3.52 W	0.00	6632 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.78	3.52 W	0.00	6633 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.78	3.52 W	0.00	6634 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.58	3.32 W	0.00	6635 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.65	3.39 W	0.00	6636 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.73	3.47 W	0.00	6637 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.73	3.47 W	0.00	6638 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.71	3.45 W	0.00	6639 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.28	6.76 W	0.00	6640 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.44	6.92 W	0.00	6641 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.45	6.93 W	0.00	6642 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.23	6.71 W	0.00	6643 of 7291 - Sale <sup>25</sup>
	2.900	21.05	06/22/20	31.30	10.25 W	0.00	6644 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.91	10.13 W	0.00	6645 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.95	10.17 W	0.00	6646 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.74	9.96 W	0.00	6647 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	32.05	10.27 W	0.00	6648 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	42.87	13.83 W	0.00	6649 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	42.90	13.86 W	0.00	6650 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	42.82	13.78 W	0.00	6651 of 7291 - Sale <sup>25</sup>
	4.360	31.65	06/22/20	46.43	14.78 W	0.00	6652 of 7291 - Sale <sup>25</sup>
	4.640	33.68	06/22/20	49.40	15.72 W	0.00	6653 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	53.25	16.95 W	0.00	6654 of 7291 - Sale <sup>25</sup>
	5.100	37.02	06/22/20	55.03	18.01 W	0.00	6655 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	63.75	20.19 W	0.00	6656 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.56	06/22/20	63.89	20.33 W	0.00	6657 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	74.98	24.16 W	0.00	6658 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.64	3.38 W	0.00	6659 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.66	3.40 W	0.00	6660 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.58	3.32 W	0.00	6661 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.58	3.32 W	0.00	6662 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.58	3.32 W	0.00	6663 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.32	6.80 W	0.00	6664 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.12	6.60 W	0.00	6665 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.92	10.14 W	0.00	6666 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.87	10.09 W	0.00	6667 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	74.77	23.95 W	0.00	6668 of 7291 - Sale <sup>25</sup>
	7.000	50.81	06/22/20	74.18	23.37 W	0.00	6669 of 7291 - Sale <sup>25</sup>
	11.000	79.85	06/22/20	116.97	37.12 W	0.00	6670 of 7291 - Sale <sup>25</sup>
	0.100	0.72	06/22/20	1.05	0.33 W	0.00	6671 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.76	3.51 W	0.00	6672 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.77	3.52 W	0.00	6673 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.65	3.40 W	0.00	6674 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.66	3.41 W	0.00	6675 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.56	3.31 W	0.00	6676 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.56	3.31 W	0.00	6677 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.52	3.27 W	0.00	6678 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.75	3.50 W	0.00	6679 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.82	3.57 W	0.00	6680 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.79	3.54 W	0.00	6681 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.32	3.07 W	0.00	6682 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.32	3.07 W	0.00	6683 of 7291 - Sale <sup>25</sup>
	1.900	13.77	06/22/20	20.03	6.26 W	0.00	6684 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.55	7.05 W	0.00	6685 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.62	6.12 W	0.00	6686 of 7291 - Sale <sup>25</sup>
	2.360	17.11	06/22/20	25.41	8.30 W	0.00	6687 of 7291 - Sale <sup>25</sup>
	2.640	19.14	06/22/20	28.40	9.26 W	0.00	6688 of 7291 - Sale <sup>25</sup>
	2.900	21.02	06/22/20	31.26	10.24 W	0.00	6689 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	31.68	9.93 W	0.00	6690 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.75	06/22/20	32.41	10.66 W	0.00	6691 of 7291 - Sale <sup>25</sup>
	3.100	22.47	06/22/20	33.40	10.93 W	0.00	6692 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	43.05	14.05 W	0.00	6693 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	41.38	12.38 W	0.00	6694 of 7291 - Sale <sup>25</sup>
	8.000	58.00	06/22/20	84.42	26.42 W	0.00	6695 of 7291 - Sale <sup>25</sup>
	9.000	65.25	06/22/20	96.95	31.70 W	0.00	6696 of 7291 - Sale <sup>25</sup>
	12.000	87.00	06/22/20	126.53	39.53 W	0.00	6697 of 7291 - Sale <sup>25</sup>
	13.000	94.25	06/22/20	137.43	43.18 W	0.00	6698 of 7291 - Sale <sup>25</sup>
	15.000	108.74	06/22/20	161.80	53.06 W	0.00	6699 of 7291 - Sale <sup>25</sup>
	0.640	4.65	06/22/20	6.64	1.99 W	0.00	6700 of 7291 - Sale <sup>25</sup>
	0.640	4.65	06/22/20	6.61	1.96 W	0.00	6701 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.35	3.09 W	0.00	6702 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.34	3.08 W	0.00	6703 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.35	3.09 W	0.00	6704 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.47	3.21 W	0.00	6705 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.34	9.56 W	0.00	6706 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.34	9.56 W	0.00	6707 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	41.47	12.43 W	0.00	6708 of 7291 - Sale <sup>25</sup>
	4.360	31.65	06/22/20	45.55	13.90 W	0.00	6709 of 7291 - Sale <sup>25</sup>
	4.640	33.68	06/22/20	48.60	14.92 W	0.00	6710 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	61.86	18.30 W	0.00	6711 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	61.85	18.29 W	0.00	6712 of 7291 - Sale <sup>25</sup>
	8.000	58.08	06/22/20	83.56	25.48 W	0.00	6713 of 7291 - Sale <sup>25</sup>
	8.360	60.69	06/22/20	87.57	26.88 W	0.00	6714 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	54.05	3.23 W	0.00	6715 of 7291 - Sale <sup>25</sup>
	7.360	53.43	06/22/20	56.85	3.42 W	0.00	6716 of 7291 - Sale <sup>25</sup>
	33.000	239.56	06/22/20	254.85	15.29 W	0.00	6717 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	7.39	0.13 W	0.00	6718 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	15.43	0.91 W	0.00	6719 of 7291 - Sale <sup>25</sup>
	3.100	22.51	06/22/20	23.93	1.42 W	0.00	6720 of 7291 - Sale <sup>25</sup>
	6.900	50.09	06/22/20	53.29	3.20 W	0.00	6721 of 7291 - Sale <sup>25</sup>
	8.220	59.67	06/22/20	63.48	3.81 W	0.00	6722 of 7291 - Sale <sup>25</sup>
	5.780	41.96	06/22/20	42.70	0.74 W	0.00	6723 of 7291 - Sale <sup>25</sup>
	17.780	129.08	06/22/20	137.25	8.17 W	0.00	6724 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	21.000	152.45	06/22/20	162.12	9.67 W	0.00	6725 of 7291 - Sale <sup>25</sup>
	34.220	248.43	06/22/20	252.89	4.46 W	0.00	6726 of 7291 - Sale <sup>25</sup>
	3.360	24.39	06/22/20	24.64	0.25 W	0.00	6727 of 7291 - Sale <sup>25</sup>
	8.220	59.68	06/22/20	60.18	0.50 W	0.00	6728 of 7291 - Sale <sup>25</sup>
	6.640	48.20	06/22/20	49.06	0.86 W	0.00	6729 of 7291 - Sale <sup>25</sup>
	41.780	303.31	06/22/20	305.83	2.52 W	0.00	6730 of 7291 - Sale <sup>25</sup>
	0.360	2.61	06/22/20	3.72	1.11 W	0.00	6731 of 7291 - Sale <sup>25</sup>
	0.740	5.37	06/22/20	7.69	2.32 W	0.00	6732 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.35	3.09 W	0.00	6733 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.14	2.88 W	0.00	6734 of 7291 - Sale <sup>25</sup>
	1.260	9.15	06/22/20	13.08	3.93 W	0.00	6735 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.29	5.77 W	0.00	6736 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.30	5.78 W	0.00	6737 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	30.97	9.19 W	0.00	6738 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	40.55	11.51 W	0.00	6739 of 7291 - Sale <sup>25</sup>
	4.640	33.68	06/22/20	47.24	13.56 W	0.00	6740 of 7291 - Sale <sup>25</sup>
	5.780	41.96	06/22/20	60.12	18.16 W	0.00	6741 of 7291 - Sale <sup>25</sup>
	6.220	45.16	06/22/20	64.44	19.28 W	0.00	6742 of 7291 - Sale <sup>25</sup>
	8.000	58.08	06/22/20	83.21	25.13 W	0.00	6743 of 7291 - Sale <sup>25</sup>
	0.140	1.01	06/22/20	1.43	0.42 W	0.00	6744 of 7291 - Sale <sup>25</sup>
	0.220	1.59	06/22/20	2.23	0.64 W	0.00	6745 of 7291 - Sale <sup>25</sup>
	0.220	1.59	06/22/20	2.25	0.66 W	0.00	6746 of 7291 - Sale <sup>25</sup>
	0.860	6.23	06/22/20	8.74	2.51 W	0.00	6747 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.60	2.35 W	0.00	6748 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.59	2.34 W	0.00	6749 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.56	2.31 W	0.00	6750 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.67	2.42 W	0.00	6751 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.72	2.47 W	0.00	6752 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.72	2.47 W	0.00	6753 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.72	2.47 W	0.00	6754 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.69	2.44 W	0.00	6755 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	9.72	2.47 W	0.00	6756 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.03	2.78 W	0.00	6757 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.09	2.84 W	0.00	6758 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.25	06/22/20	10.08	2.83 W	0.00	6759 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.19	2.94 W	0.00	6760 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.19	2.94 W	0.00	6761 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.17	2.92 W	0.00	6762 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.12	2.87 W	0.00	6763 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.13	2.88 W	0.00	6764 of 7291 - Sale <sup>25</sup>
	1.140	8.26	06/22/20	11.63	3.37 W	0.00	6765 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	19.19	4.69 W	0.00	6766 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	19.21	4.71 W	0.00	6767 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	19.15	4.65 W	0.00	6768 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.36	5.86 W	0.00	6769 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.25	5.75 W	0.00	6770 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.38	5.88 W	0.00	6771 of 7291 - Sale <sup>25</sup>
	2.780	20.15	06/22/20	26.75	6.60 W	0.00	6772 of 7291 - Sale <sup>25</sup>
	2.780	20.15	06/22/20	28.31	8.16 W	0.00	6773 of 7291 - Sale <sup>25</sup>
	2.860	20.73	06/22/20	28.95	8.22 W	0.00	6774 of 7291 - Sale <sup>25</sup>
	1.780	12.90	06/22/20	17.08	4.18 W	0.00	6775 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	29.94	8.19 W	0.00	6776 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	30.28	8.53 W	0.00	6777 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	30.49	8.74 W	0.00	6778 of 7291 - Sale <sup>25</sup>
	3.780	27.40	06/22/20	38.17	10.77 W	0.00	6779 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	38.99	9.99 W	0.00	6780 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	40.39	11.39 W	0.00	6781 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	40.77	11.77 W	0.00	6782 of 7291 - Sale <sup>25</sup>
	4.220	30.59	06/22/20	42.64	12.05 W	0.00	6783 of 7291 - Sale <sup>25</sup>
	5.220	37.84	06/22/20	50.22	12.38 W	0.00	6784 of 7291 - Sale <sup>25</sup>
	5.780	41.90	06/22/20	55.49	13.59 W	0.00	6785 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	57.61	14.11 W	0.00	6786 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	61.16	17.66 W	0.00	6787 of 7291 - Sale <sup>25</sup>
	11.220	81.34	06/22/20	107.94	26.60 W	0.00	6788 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	14.76	0.26 W	0.00	6789 of 7291 - Sale <sup>25</sup>
	5.000	36.25	06/22/20	36.90	0.65 W	0.00	6790 of 7291 - Sale <sup>25</sup>
	0.780	5.65	06/22/20	7.95	2.30 W	0.00	6791 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.17	2.92 W	0.00	6792 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.25	06/22/20	10.12	2.87 W	0.00	6793 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.11	2.86 W	0.00	6794 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.44	3.19 W	0.00	6795 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.76	3.51 W	0.00	6796 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.26	5.76 W	0.00	6797 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.89	6.39 W	0.00	6798 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.21	6.71 W	0.00	6799 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	41.79	12.79 W	0.00	6800 of 7291 - Sale <sup>25</sup>
	5.000	36.25	06/22/20	50.97	14.72 W	0.00	6801 of 7291 - Sale <sup>25</sup>
	5.220	37.85	06/22/20	56.27	18.42 W	0.00	6802 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	61.12	17.62 W	0.00	6803 of 7291 - Sale <sup>25</sup>
	8.780	63.65	06/22/20	89.42	25.77 W	0.00	6804 of 7291 - Sale <sup>25</sup>
	9.220	66.84	06/22/20	93.92	27.08 W	0.00	6805 of 7291 - Sale <sup>25</sup>
	0.220	1.60	06/22/20	2.37	0.77 W	0.00	6806 of 7291 - Sale <sup>25</sup>
	0.780	5.65	06/22/20	8.31	2.66 W	0.00	6807 of 7291 - Sale <sup>25</sup>
	0.780	5.65	06/22/20	8.36	2.71 W	0.00	6808 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.63	3.38 W	0.00	6809 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.72	3.47 W	0.00	6810 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.68	3.43 W	0.00	6811 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.68	3.43 W	0.00	6812 of 7291 - Sale <sup>25</sup>
	1.220	8.85	06/22/20	13.06	4.21 W	0.00	6813 of 7291 - Sale <sup>25</sup>
	1.780	12.90	06/22/20	19.01	6.11 W	0.00	6814 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.56	7.06 W	0.00	6815 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.55	7.05 W	0.00	6816 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.57	7.07 W	0.00	6817 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.23	6.73 W	0.00	6818 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.32	6.82 W	0.00	6819 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.33	6.83 W	0.00	6820 of 7291 - Sale <sup>25</sup>
	2.220	16.10	06/22/20	23.68	7.58 W	0.00	6821 of 7291 - Sale <sup>25</sup>
	2.780	20.15	06/22/20	29.97	9.82 W	0.00	6822 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	31.89	10.14 W	0.00	6823 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	32.12	10.37 W	0.00	6824 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	42.45	13.45 W	0.00	6825 of 7291 - Sale <sup>25</sup>
	5.000	36.25	06/22/20	53.86	17.61 W	0.00	6826 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	43.50	06/22/20	63.67	20.17 W	0.00	6827 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	64.02	20.52 W	0.00	6828 of 7291 - Sale <sup>25</sup>
	7.000	50.75	06/22/20	74.37	23.62 W	0.00	6829 of 7291 - Sale <sup>25</sup>
	7.000	50.75	06/22/20	74.23	23.48 W	0.00	6830 of 7291 - Sale <sup>25</sup>
	7.000	50.75	06/22/20	74.66	23.91 W	0.00	6831 of 7291 - Sale <sup>25</sup>
	10.220	74.10	06/22/20	109.43	35.33 W	0.00	6832 of 7291 - Sale <sup>25</sup>
	16.000	116.00	06/22/20	171.49	55.49 W	0.00	6833 of 7291 - Sale <sup>25</sup>
	0.780	5.67	06/22/20	8.01	2.34 W	0.00	6834 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.29	3.02 W	0.00	6835 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.28	3.01 W	0.00	6836 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	20.53	5.99 W	0.00	6837 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	20.55	6.01 W	0.00	6838 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	20.54	6.00 W	0.00	6839 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	20.48	5.94 W	0.00	6840 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.85	9.04 W	0.00	6841 of 7291 - Sale <sup>25</sup>
	5.000	36.35	06/22/20	51.40	15.05 W	0.00	6842 of 7291 - Sale <sup>25</sup>
	5.000	36.35	06/22/20	51.26	14.91 W	0.00	6843 of 7291 - Sale <sup>25</sup>
	7.780	56.56	06/22/20	79.75	23.19 W	0.00	6844 of 7291 - Sale <sup>25</sup>
	5.220	37.94	06/22/20	53.25	15.31 W	0.00	6845 of 7291 - Sale <sup>25</sup>
	8.220	59.76	06/22/20	84.27	24.51 W	0.00	6846 of 7291 - Sale <sup>25</sup>
	9.000	65.43	06/22/20	92.63	27.20 W	0.00	6847 of 7291 - Sale <sup>25</sup>
	0.360	2.62	06/22/20	3.58	0.96 W	0.00	6848 of 7291 - Sale <sup>25</sup>
	0.640	4.65	06/22/20	6.41	1.76 W	0.00	6849 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.66	2.39 W	0.00	6850 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.83	2.56 W	0.00	6851 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.82	2.55 W	0.00	6852 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.86	2.59 W	0.00	6853 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.81	2.54 W	0.00	6854 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	20.21	5.67 W	0.00	6855 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	19.26	4.72 W	0.00	6856 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	19.23	4.69 W	0.00	6857 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	19.17	4.63 W	0.00	6858 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	19.65	5.11 W	0.00	6859 of 7291 - Sale <sup>25</sup>
	2.220	16.14	06/22/20	21.52	5.38 W	0.00	6860 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.81	06/22/20	29.48	7.67 W	0.00	6861 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	29.46	7.65 W	0.00	6862 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	29.24	7.43 W	0.00	6863 of 7291 - Sale <sup>25</sup>
	5.000	36.35	06/22/20	48.13	11.78 W	0.00	6864 of 7291 - Sale <sup>25</sup>
	5.000	36.35	06/22/20	48.73	12.38 W	0.00	6865 of 7291 - Sale <sup>25</sup>
	5.000	36.35	06/22/20	48.18	11.83 W	0.00	6866 of 7291 - Sale <sup>25</sup>
	5.000	36.35	06/22/20	48.14	11.79 W	0.00	6867 of 7291 - Sale <sup>25</sup>
	6.000	43.62	06/22/20	58.76	15.14 W	0.00	6868 of 7291 - Sale <sup>25</sup>
	2.780	20.21	06/22/20	28.36	8.15 W	0.00	6869 of 7291 - Sale <sup>25</sup>
	0.220	1.60	06/22/20	2.25	0.65 W	0.00	6870 of 7291 - Sale <sup>25</sup>
	0.780	5.67	06/22/20	7.98	2.31 W	0.00	6871 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.25	2.98 W	0.00	6872 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.28	3.01 W	0.00	6873 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.21	2.94 W	0.00	6874 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.28	3.01 W	0.00	6875 of 7291 - Sale <sup>25</sup>
	0.420	3.05	06/22/20	4.30	1.25 W	0.00	6876 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	20.99	6.45 W	0.00	6877 of 7291 - Sale <sup>25</sup>
	2.000	14.54	06/22/20	20.52	5.98 W	0.00	6878 of 7291 - Sale <sup>25</sup>
	2.580	18.75	06/22/20	27.33	8.58 W	0.00	6879 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.68	8.87 W	0.00	6880 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.70	8.89 W	0.00	6881 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.73	8.92 W	0.00	6882 of 7291 - Sale <sup>25</sup>
	11.000	79.96	06/22/20	116.45	36.49 W	0.00	6883 of 7291 - Sale <sup>25</sup>
	12.000	87.23	06/22/20	126.72	39.49 W	0.00	6884 of 7291 - Sale <sup>25</sup>
	0.580	4.22	06/22/20	5.93	1.71 W	0.00	6885 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.17	2.90 W	0.00	6886 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.22	2.95 W	0.00	6887 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.20	2.93 W	0.00	6888 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.20	2.93 W	0.00	6889 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.19	2.92 W	0.00	6890 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.16	2.89 W	0.00	6891 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.17	2.90 W	0.00	6892 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.18	2.91 W	0.00	6893 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	10.18	2.91 W	0.00	6894 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.54	06/22/20	20.26	5.72 W	0.00	6895 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.48	8.67 W	0.00	6896 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.49	8.68 W	0.00	6897 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.50	8.69 W	0.00	6898 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.59	8.78 W	0.00	6899 of 7291 - Sale <sup>25</sup>
	3.000	21.81	06/22/20	30.52	8.71 W	0.00	6900 of 7291 - Sale <sup>25</sup>
	4.000	29.08	06/22/20	40.81	11.73 W	0.00	6901 of 7291 - Sale <sup>25</sup>
	0.420	3.05	06/22/20	4.25	1.20 W	0.00	6902 of 7291 - Sale <sup>25</sup>
	7.000	50.89	06/22/20	71.15	20.26 W	0.00	6903 of 7291 - Sale <sup>25</sup>
	8.000	58.16	06/22/20	81.70	23.54 W	0.00	6904 of 7291 - Sale <sup>25</sup>
	2.580	18.73	06/22/20	26.13	7.40 W	0.00	6905 of 7291 - Sale <sup>25</sup>
	4.580	33.25	06/22/20	46.39	13.14 W	0.00	6906 of 7291 - Sale <sup>25</sup>
	5.420	39.35	06/22/20	54.89	15.54 W	0.00	6907 of 7291 - Sale <sup>25</sup>
	11.000	79.86	06/22/20	111.40	31.54 W	0.00	6908 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	11.03	3.77 W	0.00	6909 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	11.02	3.76 W	0.00	6910 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.99	7.47 W	0.00	6911 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	65.93	22.37 W	0.00	6912 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	110.27	37.67 W	0.00	6913 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.54	3.28 W	0.00	6914 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.54	3.28 W	0.00	6915 of 7291 - Sale <sup>25</sup>
	1.420	10.31	06/22/20	14.99	4.68 W	0.00	6916 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.09	6.57 W	0.00	6917 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.09	6.57 W	0.00	6918 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	21.05	6.53 W	0.00	6919 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	42.40	13.36 W	0.00	6920 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	52.61	16.31 W	0.00	6921 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	63.51	19.95 W	0.00	6922 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.72	2.46 W	0.00	6923 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.71	2.45 W	0.00	6924 of 7291 - Sale <sup>25</sup>
	1.780	12.92	06/22/20	17.26	4.34 W	0.00	6925 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.65	5.13 W	0.00	6926 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.54	5.02 W	0.00	6927 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	29.40	7.62 W	0.00	6928 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.04	06/22/20	39.24	10.20 W	0.00	6929 of 7291 - Sale <sup>25</sup>
	17.220	124.99	06/22/20	131.69	6.70 W	0.00	6930 of 7291 - Sale <sup>25</sup>
	10.000	72.65	06/22/20	76.47	3.82 W	0.00	6931 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.76	2.50 W	0.00	6932 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.80	2.54 W	0.00	6933 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.64	2.37 W	0.00	6934 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.48	2.22 W	0.00	6935 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.48	2.21 W	0.00	6936 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.32	2.06 W	0.00	6937 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.19	1.93 W	0.00	6938 of 7291 - Sale <sup>25</sup>
	1.000	7.27	06/22/20	9.21	1.94 W	0.00	6939 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.21	1.95 W	0.00	6940 of 7291 - Sale <sup>25</sup>
	2.000	14.53	06/22/20	19.12	4.59 W	0.00	6941 of 7291 - Sale <sup>25</sup>
	2.000	14.53	06/22/20	18.91	4.38 W	0.00	6942 of 7291 - Sale <sup>25</sup>
	2.000	14.53	06/22/20	18.95	4.42 W	0.00	6943 of 7291 - Sale <sup>25</sup>
	2.000	14.53	06/22/20	18.32	3.79 W	0.00	6944 of 7291 - Sale <sup>25</sup>
	2.000	14.53	06/22/20	18.42	3.89 W	0.00	6945 of 7291 - Sale <sup>25</sup>
	2.000	14.53	06/22/20	18.42	3.89 W	0.00	6946 of 7291 - Sale <sup>25</sup>
	2.000	14.53	06/22/20	18.32	3.79 W	0.00	6947 of 7291 - Sale <sup>25</sup>
	3.000	21.79	06/22/20	29.68	7.89 W	0.00	6948 of 7291 - Sale <sup>25</sup>
	3.000	21.79	06/22/20	28.90	7.11 W	0.00	6949 of 7291 - Sale <sup>25</sup>
	3.000	21.79	06/22/20	28.83	7.04 W	0.00	6950 of 7291 - Sale <sup>25</sup>
	3.000	21.80	06/22/20	28.63	6.83 W	0.00	6951 of 7291 - Sale <sup>25</sup>
	4.000	29.06	06/22/20	36.84	7.78 W	0.00	6952 of 7291 - Sale <sup>25</sup>
	5.000	36.32	06/22/20	48.15	11.83 W	0.00	6953 of 7291 - Sale <sup>25</sup>
	5.000	36.33	06/22/20	45.83	9.50 W	0.00	6954 of 7291 - Sale <sup>25</sup>
	5.000	36.33	06/22/20	46.05	9.72 W	0.00	6955 of 7291 - Sale <sup>25</sup>
	6.000	43.59	06/22/20	59.00	15.41 W	0.00	6956 of 7291 - Sale <sup>25</sup>
	6.000	43.59	06/22/20	54.94	11.35 W	0.00	6957 of 7291 - Sale <sup>25</sup>
	7.000	50.85	06/22/20	69.48	18.63 W	0.00	6958 of 7291 - Sale <sup>25</sup>
	8.000	58.12	06/22/20	77.11	18.99 W	0.00	6959 of 7291 - Sale <sup>25</sup>
	8.000	58.12	06/22/20	72.99	14.87 W	0.00	6960 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	7.72	0.46 W	0.00	6961 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	7.71	0.45 W	0.00	6962 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	72.60	06/22/20	77.03	4.43 W	0.00	6963 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	77.08	4.48 W	0.00	6964 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	76.98	4.38 W	0.00	6965 of 7291 - Sale <sup>25</sup>
	14.780	107.30	06/22/20	114.11	6.81 W	0.00	6966 of 7291 - Sale <sup>25</sup>
	64.220	466.22	06/22/20	494.51	28.29 W	0.00	6967 of 7291 - Sale <sup>25</sup>
	0.220	1.60	06/22/20	2.30	0.70 W	0.00	6968 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.53	3.27 W	0.00	6969 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.54	3.28 W	0.00	6970 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.53	3.27 W	0.00	6971 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.51	3.25 W	0.00	6972 of 7291 - Sale <sup>25</sup>
	1.220	8.86	06/22/20	12.85	3.99 W	0.00	6973 of 7291 - Sale <sup>25</sup>
	1.420	10.31	06/22/20	14.93	4.62 W	0.00	6974 of 7291 - Sale <sup>25</sup>
	2.780	20.18	06/22/20	29.27	9.09 W	0.00	6975 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.60	9.82 W	0.00	6976 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.58	9.80 W	0.00	6977 of 7291 - Sale <sup>25</sup>
	3.360	24.39	06/22/20	35.36	10.97 W	0.00	6978 of 7291 - Sale <sup>25</sup>
	3.780	27.44	06/22/20	39.82	12.38 W	0.00	6979 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	42.10	13.06 W	0.00	6980 of 7291 - Sale <sup>25</sup>
	6.220	45.16	06/22/20	65.48	20.32 W	0.00	6981 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	73.59	22.77 W	0.00	6982 of 7291 - Sale <sup>25</sup>
	7.000	50.82	06/22/20	73.69	22.87 W	0.00	6983 of 7291 - Sale <sup>25</sup>
	8.580	62.29	06/22/20	90.31	28.02 W	0.00	6984 of 7291 - Sale <sup>25</sup>
	0.420	3.05	06/22/20	3.83	0.78 W	0.00	6985 of 7291 - Sale <sup>25</sup>
	0.420	3.05	06/22/20	4.02	0.97 W	0.00	6986 of 7291 - Sale <sup>25</sup>
	0.580	4.21	06/22/20	5.38	1.17 W	0.00	6987 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.16	1.90 W	0.00	6988 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.18	1.92 W	0.00	6989 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.19	1.93 W	0.00	6990 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.17	1.91 W	0.00	6991 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.17	1.91 W	0.00	6992 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.13	1.87 W	0.00	6993 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.13	1.87 W	0.00	6994 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.29	2.03 W	0.00	6995 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.29	2.03 W	0.00	6996 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.26	06/22/20	9.32	2.06 W	0.00	6997 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.32	2.06 W	0.00	6998 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.33	2.07 W	0.00	6999 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.41	2.15 W	0.00	7000 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.40	2.14 W	0.00	7001 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.38	2.12 W	0.00	7002 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.35	2.09 W	0.00	7003 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.63	2.37 W	0.00	7004 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.15	3.63 W	0.00	7005 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.34	3.82 W	0.00	7006 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.35	3.83 W	0.00	7007 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.35	3.83 W	0.00	7008 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.57	4.05 W	0.00	7009 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.63	4.11 W	0.00	7010 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.83	4.31 W	0.00	7011 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.76	4.24 W	0.00	7012 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	18.70	4.18 W	0.00	7013 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.26	4.74 W	0.00	7014 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	27.51	5.73 W	0.00	7015 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	27.95	6.17 W	0.00	7016 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	28.16	6.38 W	0.00	7017 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	28.16	6.38 W	0.00	7018 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	36.33	7.29 W	0.00	7019 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	37.09	8.05 W	0.00	7020 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	37.49	8.45 W	0.00	7021 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	38.50	9.46 W	0.00	7022 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	38.29	9.25 W	0.00	7023 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	46.59	10.29 W	0.00	7024 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	56.29	12.73 W	0.00	7025 of 7291 - Sale <sup>25</sup>
	9.000	65.34	06/22/20	82.74	17.40 W	0.00	7026 of 7291 - Sale <sup>25</sup>
	12.000	87.12	06/22/20	112.62	25.50 W	0.00	7027 of 7291 - Sale <sup>25</sup>
	13.000	94.38	06/22/20	118.85	24.47 W	0.00	7028 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	7.35	0.09 W	0.00	7029 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	14.67	0.15 W	0.00	7030 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.780	49.22	06/22/20	52.48	3.26 W	0.00	7031 of 7291 - Sale <sup>25</sup>
	12.220	88.71	06/22/20	94.59	5.88 W	0.00	7032 of 7291 - Sale <sup>25</sup>
	13.000	94.37	06/22/20	100.36	5.99 W	0.00	7033 of 7291 - Sale <sup>25</sup>
	13.000	94.37	06/22/20	97.23	2.86 W	0.00	7034 of 7291 - Sale <sup>25</sup>
	16.000	116.15	06/22/20	123.52	7.37 W	0.00	7035 of 7291 - Sale <sup>25</sup>
	65.000	471.87	06/22/20	503.09	31.22 W	0.00	7036 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.77	2.51 W	0.00	7037 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.78	2.52 W	0.00	7038 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.77	2.51 W	0.00	7039 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.78	2.52 W	0.00	7040 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.77	2.51 W	0.00	7041 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.75	2.49 W	0.00	7042 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.80	2.54 W	0.00	7043 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.56	5.04 W	0.00	7044 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.55	5.03 W	0.00	7045 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.61	5.09 W	0.00	7046 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.67	5.15 W	0.00	7047 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.80	5.28 W	0.00	7048 of 7291 - Sale <sup>25</sup>
	2.580	18.73	06/22/20	24.71	5.98 W	0.00	7049 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	30.39	8.61 W	0.00	7050 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	38.32	9.28 W	0.00	7051 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	39.00	9.96 W	0.00	7052 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	39.22	10.18 W	0.00	7053 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	49.11	12.81 W	0.00	7054 of 7291 - Sale <sup>25</sup>
	5.420	39.35	06/22/20	53.12	13.77 W	0.00	7055 of 7291 - Sale <sup>25</sup>
	5.580	40.51	06/22/20	54.81	14.30 W	0.00	7056 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	8.02	0.76 W	0.00	7057 of 7291 - Sale <sup>25</sup>
	6.360	46.17	06/22/20	50.97	4.80 W	0.00	7058 of 7291 - Sale <sup>25</sup>
	6.780	49.22	06/22/20	54.30	5.08 W	0.00	7059 of 7291 - Sale <sup>25</sup>
	11.640	84.50	06/22/20	94.50	10.00 W	0.00	7060 of 7291 - Sale <sup>25</sup>
	23.640	171.62	06/22/20	189.51	17.89 W	0.00	7061 of 7291 - Sale <sup>25</sup>
	2.640	19.17	06/22/20	21.16	1.99 W	0.00	7062 of 7291 - Sale <sup>25</sup>
	6.360	46.17	06/22/20	50.56	4.39 W	0.00	7063 of 7291 - Sale <sup>25</sup>
	8.000	58.08	06/22/20	64.10	6.02 W	0.00	7064 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	12.640	91.76	06/22/20	101.22	9.46 W	0.00	7065 of 7291 - Sale <sup>25</sup>
	20.360	147.80	06/22/20	162.85	15.05 W	0.00	7066 of 7291 - Sale <sup>25</sup>
	23.640	171.61	06/22/20	187.96	16.35 W	0.00	7067 of 7291 - Sale <sup>25</sup>
	26.360	191.36	06/22/20	211.32	19.96 W	0.00	7068 of 7291 - Sale <sup>25</sup>
	0.100	0.73	06/22/20	0.95	0.22 W	0.00	7069 of 7291 - Sale <sup>25</sup>
	1.900	13.79	06/22/20	19.25	5.46 W	0.00	7070 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.43	5.91 W	0.00	7071 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.46	5.94 W	0.00	7072 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	30.38	8.60 W	0.00	7073 of 7291 - Sale <sup>25</sup>
	3.100	22.50	06/22/20	31.39	8.89 W	0.00	7074 of 7291 - Sale <sup>25</sup>
	3.900	28.31	06/22/20	37.18	8.87 W	0.00	7075 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	51.15	14.85 W	0.00	7076 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	8.03	0.77 W	0.00	7077 of 7291 - Sale <sup>25</sup>
	2.580	18.73	06/22/20	20.97	2.24 W	0.00	7078 of 7291 - Sale <sup>25</sup>
	2.640	19.17	06/22/20	21.12	1.95 W	0.00	7079 of 7291 - Sale <sup>25</sup>
	6.780	49.22	06/22/20	55.12	5.90 W	0.00	7080 of 7291 - Sale <sup>25</sup>
	8.000	58.08	06/22/20	63.83	5.75 W	0.00	7081 of 7291 - Sale <sup>25</sup>
	10.000	72.59	06/22/20	79.73	7.14 W	0.00	7082 of 7291 - Sale <sup>25</sup>
	11.640	84.50	06/22/20	94.48	9.98 W	0.00	7083 of 7291 - Sale <sup>25</sup>
	26.360	191.36	06/22/20	210.38	19.02 W	0.00	7084 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	8.11	0.85 W	0.00	7085 of 7291 - Sale <sup>25</sup>
	1.360	9.87	06/22/20	11.05	1.18 W	0.00	7086 of 7291 - Sale <sup>25</sup>
	1.640	11.91	06/22/20	13.31	1.40 W	0.00	7087 of 7291 - Sale <sup>25</sup>
	6.360	46.17	06/22/20	51.61	5.44 W	0.00	7088 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	81.05	8.45 W	0.00	7089 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	81.27	8.67 W	0.00	7090 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	81.17	8.57 W	0.00	7091 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	81.15	8.55 W	0.00	7092 of 7291 - Sale <sup>25</sup>
	10.000	72.60	06/22/20	81.15	8.55 W	0.00	7093 of 7291 - Sale <sup>25</sup>
	10.000	72.59	06/22/20	81.15	8.56 W	0.00	7094 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	8.12	0.86 W	0.00	7095 of 7291 - Sale <sup>25</sup>
	14.000	101.64	06/22/20	113.64	12.00 W	0.00	7096 of 7291 - Sale <sup>25</sup>
	16.000	116.15	06/22/20	129.89	13.74 W	0.00	7097 of 7291 - Sale <sup>25</sup>
	27.000	196.01	06/22/20	219.43	23.42 W	0.00	7098 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	31.640	229.70	06/22/20	257.13	27.43 W	0.00	7099 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.25	2.99 W	0.00	7100 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	40.96	11.92 W	0.00	7101 of 7291 - Sale <sup>25</sup>
	0.360	2.61	06/22/20	2.93	0.32 W	0.00	7102 of 7291 - Sale <sup>25</sup>
	0.640	4.65	06/22/20	5.13	0.48 W	0.00	7103 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	32.46	3.42 W	0.00	7104 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	40.21	3.91 W	0.00	7105 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	40.22	3.92 W	0.00	7106 of 7291 - Sale <sup>25</sup>
	5.000	36.29	06/22/20	40.59	4.30 W	0.00	7107 of 7291 - Sale <sup>25</sup>
	6.640	48.20	06/22/20	53.90	5.70 W	0.00	7108 of 7291 - Sale <sup>25</sup>
	8.360	60.69	06/22/20	67.25	6.56 W	0.00	7109 of 7291 - Sale <sup>25</sup>
	1.360	9.87	06/22/20	11.02	1.15 W	0.00	7110 of 7291 - Sale <sup>25</sup>
	6.360	46.17	06/22/20	52.08	5.91 W	0.00	7111 of 7291 - Sale <sup>25</sup>
	6.640	48.21	06/22/20	53.83	5.62 W	0.00	7112 of 7291 - Sale <sup>25</sup>
	39.640	287.77	06/22/20	321.40	33.63 W	0.00	7113 of 7291 - Sale <sup>25</sup>
	46.000	333.95	06/22/20	376.59	42.64 W	0.00	7114 of 7291 - Sale <sup>25</sup>
	6.640	48.20	06/22/20	53.38	5.18 W	0.00	7115 of 7291 - Sale <sup>25</sup>
	46.100	334.67	06/22/20	373.85	39.18 W	0.00	7116 of 7291 - Sale <sup>25</sup>
	47.260	343.10	06/22/20	383.26	40.16 W	0.00	7117 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	7.91	0.66 W	0.00	7118 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	7.86	0.61 W	0.00	7119 of 7291 - Sale <sup>25</sup>
	1.580	11.45	06/22/20	12.50	1.05 W	0.00	7120 of 7291 - Sale <sup>25</sup>
	2.360	17.11	06/22/20	18.54	1.43 W	0.00	7121 of 7291 - Sale <sup>25</sup>
	2.640	19.14	06/22/20	20.75	1.61 W	0.00	7122 of 7291 - Sale <sup>25</sup>
	3.420	24.79	06/22/20	27.02	2.23 W	0.00	7123 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	31.68	2.68 W	0.00	7124 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	47.42	3.92 W	0.00	7125 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	48.23	4.73 W	0.00	7126 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	31.39	2.39 W	0.00	7127 of 7291 - Sale <sup>25</sup>
	27.000	195.74	06/22/20	213.42	17.68 W	0.00	7128 of 7291 - Sale <sup>25</sup>
	41.000	297.24	06/22/20	324.08	26.84 W	0.00	7129 of 7291 - Sale <sup>25</sup>
	13.900	100.77	06/22/20	108.65	7.88 W	0.00	7130 of 7291 - Sale <sup>25</sup>
	11.580	83.95	06/22/20	90.88	6.93 W	0.00	7131 of 7291 - Sale <sup>25</sup>
	34.520	250.26	06/22/20	269.84	19.58 W	0.00	7132 of 7291 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.900	6.52	06/22/20	9.20	2.68 W	0.00	7133 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.21	2.96 W	0.00	7134 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.19	2.94 W	0.00	7135 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.20	2.95 W	0.00	7136 of 7291 - Sale <sup>25</sup>
	1.360	9.86	06/22/20	13.87	4.01 W	0.00	7137 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.53	6.03 W	0.00	7138 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.38	5.88 W	0.00	7139 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.38	5.88 W	0.00	7140 of 7291 - Sale <sup>25</sup>
	2.100	15.22	06/22/20	21.46	6.24 W	0.00	7141 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	30.65	8.90 W	0.00	7142 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	40.70	11.70 W	0.00	7143 of 7291 - Sale <sup>25</sup>
	4.640	33.64	06/22/20	47.38	13.74 W	0.00	7144 of 7291 - Sale <sup>25</sup>
	5.000	36.25	06/22/20	50.91	14.66 W	0.00	7145 of 7291 - Sale <sup>25</sup>
	10.000	72.50	06/22/20	101.92	29.42 W	0.00	7146 of 7291 - Sale <sup>25</sup>
	0.360	2.61	06/22/20	3.72	1.11 W	0.00	7147 of 7291 - Sale <sup>25</sup>
	0.360	2.61	06/22/20	3.83	1.22 W	0.00	7148 of 7291 - Sale <sup>25</sup>
	0.580	4.21	06/22/20	6.13	1.92 W	0.00	7149 of 7291 - Sale <sup>25</sup>
	0.640	4.65	06/22/20	6.66	2.01 W	0.00	7150 of 7291 - Sale <sup>25</sup>
	0.640	4.65	06/22/20	6.76	2.11 W	0.00	7151 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.44	3.18 W	0.00	7152 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.36	3.10 W	0.00	7153 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.36	3.10 W	0.00	7154 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.35	3.09 W	0.00	7155 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.34	3.08 W	0.00	7156 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.51	3.25 W	0.00	7157 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.51	3.25 W	0.00	7158 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.52	3.26 W	0.00	7159 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.34	3.08 W	0.00	7160 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.29	3.03 W	0.00	7161 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.28	3.02 W	0.00	7162 of 7291 - Sale <sup>25</sup>
	1.360	9.87	06/22/20	14.29	4.42 W	0.00	7163 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.83	6.31 W	0.00	7164 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.71	6.19 W	0.00	7165 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.76	6.24 W	0.00	7166 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.52	06/22/20	21.16	6.64 W	0.00	7167 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.62	6.10 W	0.00	7168 of 7291 - Sale <sup>25</sup>
	2.680	19.46	06/22/20	27.78	8.32 W	0.00	7169 of 7291 - Sale <sup>25</sup>
	2.780	20.18	06/22/20	28.68	8.50 W	0.00	7170 of 7291 - Sale <sup>25</sup>
	2.860	20.76	06/22/20	29.64	8.88 W	0.00	7171 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.18	9.40 W	0.00	7172 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.07	9.29 W	0.00	7173 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.07	9.29 W	0.00	7174 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	31.07	9.29 W	0.00	7175 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	41.42	12.38 W	0.00	7176 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	41.36	12.32 W	0.00	7177 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	41.28	12.24 W	0.00	7178 of 7291 - Sale <sup>25</sup>
	4.420	32.09	06/22/20	46.64	14.55 W	0.00	7179 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	51.81	15.51 W	0.00	7180 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	62.54	18.98 W	0.00	7181 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	62.52	18.96 W	0.00	7182 of 7291 - Sale <sup>25</sup>
	6.780	49.22	06/22/20	70.64	21.42 W	0.00	7183 of 7291 - Sale <sup>25</sup>
	7.320	53.14	06/22/20	75.88	22.74 W	0.00	7184 of 7291 - Sale <sup>25</sup>
	8.000	58.08	06/22/20	84.69	26.61 W	0.00	7185 of 7291 - Sale <sup>25</sup>
	11.220	81.45	06/22/20	115.21	33.76 W	0.00	7186 of 7291 - Sale <sup>25</sup>
	0.780	5.66	06/22/20	8.02	2.36 W	0.00	7187 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.03	2.77 W	0.00	7188 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.05	2.79 W	0.00	7189 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.05	2.79 W	0.00	7190 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.11	2.85 W	0.00	7191 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.08	2.82 W	0.00	7192 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	10.12	2.86 W	0.00	7193 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.74	2.48 W	0.00	7194 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.73	2.47 W	0.00	7195 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.71	2.45 W	0.00	7196 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.69	2.43 W	0.00	7197 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.64	2.38 W	0.00	7198 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	20.54	6.02 W	0.00	7199 of 7291 - Sale <sup>25</sup>
	1.220	8.86	06/22/20	11.83	2.97 W	0.00	7200 of 7291 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.78	06/22/20	30.55	8.77 W	0.00	7201 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	30.54	8.76 W	0.00	7202 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	29.92	8.14 W	0.00	7203 of 7291 - Sale <sup>25</sup>
	3.220	23.38	06/22/20	33.17	9.79 W	0.00	7204 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	38.74	9.70 W	0.00	7205 of 7291 - Sale <sup>25</sup>
	4.000	29.04	06/22/20	38.73	9.69 W	0.00	7206 of 7291 - Sale <sup>25</sup>
	4.000	29.03	06/22/20	38.65	9.62 W	0.00	7207 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	51.01	14.71 W	0.00	7208 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	48.43	12.13 W	0.00	7209 of 7291 - Sale <sup>25</sup>
	5.000	36.29	06/22/20	48.36	12.07 W	0.00	7210 of 7291 - Sale <sup>25</sup>
	6.780	49.22	06/22/20	69.73	20.51 W	0.00	7211 of 7291 - Sale <sup>25</sup>
	7.000	50.81	06/22/20	70.81	20.00 W	0.00	7212 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.71	2.45 W	0.00	7213 of 7291 - Sale <sup>25</sup>
	1.000	7.26	06/22/20	9.69	2.43 W	0.00	7214 of 7291 - Sale <sup>25</sup>
	0.780	5.66	06/22/20	7.57	1.91 W	0.00	7215 of 7291 - Sale <sup>25</sup>
	2.000	14.52	06/22/20	19.20	4.68 W	0.00	7216 of 7291 - Sale <sup>25</sup>
	3.000	21.78	06/22/20	29.05	7.27 W	0.00	7217 of 7291 - Sale <sup>25</sup>
	1.220	8.86	06/22/20	11.71	2.85 W	0.00	7218 of 7291 - Sale <sup>25</sup>
	5.000	36.30	06/22/20	48.51	12.21 W	0.00	7219 of 7291 - Sale <sup>25</sup>
	6.000	43.56	06/22/20	58.27	14.71 W	0.00	7220 of 7291 - Sale <sup>25</sup>
	0.640	4.64	06/22/20	6.57	1.93 W	0.00	7221 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.16	2.91 W	0.00	7222 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.18	2.93 W	0.00	7223 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.14	2.89 W	0.00	7224 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.17	2.92 W	0.00	7225 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.15	2.90 W	0.00	7226 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.14	2.89 W	0.00	7227 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.17	2.92 W	0.00	7228 of 7291 - Sale <sup>25</sup>
	1.260	9.13	06/22/20	12.77	3.64 W	0.00	7229 of 7291 - Sale <sup>25</sup>
	1.360	9.86	06/22/20	13.79	3.93 W	0.00	7230 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.37	5.87 W	0.00	7231 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.31	5.81 W	0.00	7232 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.32	5.82 W	0.00	7233 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.31	5.81 W	0.00	7234 of 7291 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.640	19.14	06/22/20	26.77	7.63 W	0.00	7235 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	30.43	8.68 W	0.00	7236 of 7291 - Sale <sup>25</sup>
	3.360	24.36	06/22/20	33.93	9.57 W	0.00	7237 of 7291 - Sale <sup>25</sup>
	3.740	27.11	06/22/20	37.94	10.83 W	0.00	7238 of 7291 - Sale <sup>25</sup>
	0.360	2.61	06/22/20	3.67	1.06 W	0.00	7239 of 7291 - Sale <sup>25</sup>
	8.000	58.00	06/22/20	80.76	22.76 W	0.00	7240 of 7291 - Sale <sup>25</sup>
	8.640	62.64	06/22/20	87.23	24.59 W	0.00	7241 of 7291 - Sale <sup>25</sup>
	12.000	87.00	06/22/20	121.87	34.87 W	0.00	7242 of 7291 - Sale <sup>25</sup>
	0.220	1.59	06/22/20	2.29	0.70 W	0.00	7243 of 7291 - Sale <sup>25</sup>
	0.360	2.61	06/22/20	3.70	1.09 W	0.00	7244 of 7291 - Sale <sup>25</sup>
	0.420	3.04	06/22/20	4.36	1.32 W	0.00	7245 of 7291 - Sale <sup>25</sup>
	0.780	5.65	06/22/20	8.05	2.40 W	0.00	7246 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.30	3.05 W	0.00	7247 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.30	3.05 W	0.00	7248 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.26	3.01 W	0.00	7249 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.26	3.01 W	0.00	7250 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.31	3.06 W	0.00	7251 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.26	3.01 W	0.00	7252 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.46	3.21 W	0.00	7253 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.48	3.23 W	0.00	7254 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.39	3.14 W	0.00	7255 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.39	3.14 W	0.00	7256 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.40	3.15 W	0.00	7257 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.32	3.07 W	0.00	7258 of 7291 - Sale <sup>25</sup>
	1.780	12.90	06/22/20	18.28	5.38 W	0.00	7259 of 7291 - Sale <sup>25</sup>
	1.780	12.90	06/22/20	18.49	5.59 W	0.00	7260 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.08	5.58 W	0.00	7261 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.88	6.38 W	0.00	7262 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	21.06	6.56 W	0.00	7263 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.99	6.49 W	0.00	7264 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.80	6.30 W	0.00	7265 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.62	6.12 W	0.00	7266 of 7291 - Sale <sup>25</sup>
	2.220	16.09	06/22/20	22.90	6.81 W	0.00	7267 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	30.87	9.12 W	0.00	7268 of 7291 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	21.75	06/22/20	30.88	9.13 W	0.00	7269 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	30.97	9.22 W	0.00	7270 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	41.00	12.00 W	0.00	7271 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	41.71	12.71 W	0.00	7272 of 7291 - Sale <sup>25</sup>
	4.220	30.59	06/22/20	43.34	12.75 W	0.00	7273 of 7291 - Sale <sup>25</sup>
	5.000	36.25	06/22/20	52.12	15.87 W	0.00	7274 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	61.89	18.39 W	0.00	7275 of 7291 - Sale <sup>25</sup>
	6.000	43.50	06/22/20	61.92	18.42 W	0.00	7276 of 7291 - Sale <sup>25</sup>
	8.000	58.00	06/22/20	82.15	24.15 W	0.00	7277 of 7291 - Sale <sup>25</sup>
	10.000	72.50	06/22/20	103.02	30.52 W	0.00	7278 of 7291 - Sale <sup>25</sup>
	11.000	79.75	06/22/20	114.81	35.06 W	0.00	7279 of 7291 - Sale <sup>25</sup>
	1.220	8.84	06/22/20	12.69	3.85 W	0.00	7280 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.41	3.16 W	0.00	7281 of 7291 - Sale <sup>25</sup>
	1.000	7.25	06/22/20	10.40	3.15 W	0.00	7282 of 7291 - Sale <sup>25</sup>
	2.780	20.15	06/22/20	29.06	8.91 W	0.00	7283 of 7291 - Sale <sup>25</sup>
	3.000	21.75	06/22/20	31.24	9.49 W	0.00	7284 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	41.48	12.48 W	0.00	7285 of 7291 - Sale <sup>25</sup>
	4.000	29.00	06/22/20	41.48	12.48 W	0.00	7286 of 7291 - Sale <sup>25</sup>
	5.000	36.25	06/22/20	52.44	16.19 W	0.00	7287 of 7291 - Sale <sup>25</sup>
	7.000	50.75	06/22/20	73.39	22.64 W	0.00	7288 of 7291 - Sale <sup>25</sup>
	0.220	1.59	06/22/20	2.29	0.70 W	0.00	7289 of 7291 - Sale <sup>25</sup>
	10.000	72.50	06/22/20	104.93	32.43 W	0.00	7290 of 7291 - Sale <sup>25</sup>
	2.000	14.50	06/22/20	20.93	6.43 W	0.00	7291 of 7291 - Sale <sup>25</sup>
06/22/20	32,782.420	230,424.93	Various	305,975.12	75,550.19 W	0.00	Total of 7291 transactions
22 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	7.70	06/22/20	7.46	...	0.24	1 of 22 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	7.45	...	0.25	2 of 22 - Sale <sup>25</sup>
	1.780	13.71	06/22/20	13.25	...	0.46	3 of 22 - Sale <sup>25</sup>
	10.000	77.00	06/22/20	75.32	...	1.68	4 of 22 - Sale <sup>25</sup>
	8.220	63.29	06/22/20	61.18	...	2.11	5 of 22 - Sale <sup>25</sup>
	10.000	77.00	06/22/20	74.48	...	2.52	6 of 22 - Sale <sup>25</sup>
	10.000	77.00	06/22/20	74.38	...	2.62	7 of 22 - Sale <sup>25</sup>
	17.220	132.59	06/22/20	129.82	...	2.77	8 of 22 - Sale <sup>25</sup>
	14.780	113.80	06/22/20	110.27	...	3.53	9 of 22 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	64.220	494.47	06/22/20	477.83	...	16.64	10 of 22 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	7.57	...	0.13	11 of 22 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	7.49	...	0.21	12 of 22 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	14.94	...	0.46	13 of 22 - Sale <sup>25</sup>
	3.360	25.87	06/23/20	25.25	...	0.62	14 of 22 - Sale <sup>25</sup>
	5.780	44.50	06/23/20	43.74	...	0.76	15 of 22 - Sale <sup>25</sup>
	6.640	51.12	06/23/20	50.26	...	0.86	16 of 22 - Sale <sup>25</sup>
	13.000	100.09	06/23/20	99.02	...	1.07	17 of 22 - Sale <sup>25</sup>
	8.220	63.29	06/23/20	61.66	...	1.63	18 of 22 - Sale <sup>25</sup>
	34.220	263.47	06/23/20	259.06	...	4.41	19 of 22 - Sale <sup>25</sup>
	41.780	321.68	06/23/20	313.36	...	8.32	20 of 22 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	15.16	...	0.24	21 of 22 - Sale <sup>25</sup>
	5.000	38.50	06/24/20	37.90	...	0.60	22 of 22 - Sale <sup>25</sup>
06/24/20	262.220	2,018.98	Various	1,966.85	...	52.13	Total of 22 transactions
	1849 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	7.20	06/22/20	10.62	3.42 W	0.00	1 of 1849 - Sale <sup>25</sup>
	0.780	5.62	06/22/20	8.29	2.67 W	0.00	2 of 1849 - Sale <sup>25</sup>
	1.000	7.20	06/22/20	10.68	3.48 W	0.00	3 of 1849 - Sale <sup>25</sup>
	1.000	7.20	06/22/20	10.68	3.48 W	0.00	4 of 1849 - Sale <sup>25</sup>
	1.000	7.20	06/22/20	10.62	3.42 W	0.00	5 of 1849 - Sale <sup>25</sup>
	2.000	14.40	06/22/20	21.26	6.86 W	0.00	6 of 1849 - Sale <sup>25</sup>
	2.000	14.40	06/22/20	21.24	6.84 W	0.00	7 of 1849 - Sale <sup>25</sup>
	3.000	21.60	06/22/20	32.28	10.68 W	0.00	8 of 1849 - Sale <sup>25</sup>
	3.220	23.18	06/22/20	34.40	11.22 W	0.00	9 of 1849 - Sale <sup>25</sup>
	3.780	27.22	06/22/20	40.36	13.14 W	0.00	10 of 1849 - Sale <sup>25</sup>
	4.000	28.80	06/22/20	42.53	13.73 W	0.00	11 of 1849 - Sale <sup>25</sup>
	4.000	28.80	06/22/20	42.46	13.66 W	0.00	12 of 1849 - Sale <sup>25</sup>
	5.000	36.00	06/22/20	53.42	17.42 W	0.00	13 of 1849 - Sale <sup>25</sup>
	0.220	1.58	06/22/20	2.22	0.64 W	0.00	14 of 1849 - Sale <sup>25</sup>
	0.780	5.62	06/22/20	7.68	2.06 W	0.00	15 of 1849 - Sale <sup>25</sup>
	1.000	7.20	06/22/20	9.83	2.63 W	0.00	16 of 1849 - Sale <sup>25</sup>
	1.000	7.20	06/22/20	9.81	2.61 W	0.00	17 of 1849 - Sale <sup>25</sup>
	1.000	7.20	06/22/20	9.81	2.61 W	0.00	18 of 1849 - Sale <sup>25</sup>
	1.000	7.20	06/22/20	9.71	2.51 W	0.00	19 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.20	06/22/20	10.19	2.99 W	0.00	20 of 1849 - Sale <sup>25</sup>
	1.220	8.78	06/22/20	12.02	3.24 W	0.00	21 of 1849 - Sale <sup>25</sup>
	2.000	14.40	06/22/20	19.68	5.28 W	0.00	22 of 1849 - Sale <sup>25</sup>
	2.000	14.40	06/22/20	19.68	5.28 W	0.00	23 of 1849 - Sale <sup>25</sup>
	2.000	14.40	06/22/20	19.63	5.23 W	0.00	24 of 1849 - Sale <sup>25</sup>
	2.000	14.40	06/22/20	19.47	5.07 W	0.00	25 of 1849 - Sale <sup>25</sup>
	2.000	14.40	06/22/20	20.32	5.92 W	0.00	26 of 1849 - Sale <sup>25</sup>
	3.000	21.60	06/22/20	29.68	8.08 W	0.00	27 of 1849 - Sale <sup>25</sup>
	3.000	21.60	06/22/20	29.52	7.92 W	0.00	28 of 1849 - Sale <sup>25</sup>
	3.000	21.60	06/22/20	29.53	7.93 W	0.00	29 of 1849 - Sale <sup>25</sup>
	3.000	21.60	06/22/20	29.49	7.89 W	0.00	30 of 1849 - Sale <sup>25</sup>
	3.000	21.60	06/22/20	29.52	7.92 W	0.00	31 of 1849 - Sale <sup>25</sup>
	3.000	21.60	06/22/20	30.60	9.00 W	0.00	32 of 1849 - Sale <sup>25</sup>
	2.220	15.98	06/22/20	22.59	6.61 W	0.00	33 of 1849 - Sale <sup>25</sup>
	4.780	34.41	06/22/20	48.79	14.38 W	0.00	34 of 1849 - Sale <sup>25</sup>
	5.000	36.00	06/22/20	49.62	13.62 W	0.00	35 of 1849 - Sale <sup>25</sup>
	6.000	43.20	06/22/20	60.60	17.40 W	0.00	36 of 1849 - Sale <sup>25</sup>
	7.000	50.40	06/22/20	71.46	21.06 W	0.00	37 of 1849 - Sale <sup>25</sup>
	8.000	57.59	06/22/20	81.71	24.12 W	0.00	38 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.17	2.82 W	0.00	39 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.12	2.77 W	0.00	40 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.15	2.80 W	0.00	41 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.14	2.79 W	0.00	42 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.15	2.80 W	0.00	43 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.41	3.06 W	0.00	44 of 1849 - Sale <sup>25</sup>
	1.780	13.08	06/22/20	18.02	4.94 W	0.00	45 of 1849 - Sale <sup>25</sup>
	2.220	16.32	06/22/20	22.51	6.19 W	0.00	46 of 1849 - Sale <sup>25</sup>
	2.780	20.43	06/22/20	28.15	7.72 W	0.00	47 of 1849 - Sale <sup>25</sup>
	3.000	22.05	06/22/20	30.46	8.41 W	0.00	48 of 1849 - Sale <sup>25</sup>
	4.000	29.40	06/22/20	40.49	11.09 W	0.00	49 of 1849 - Sale <sup>25</sup>
	4.000	29.40	06/22/20	40.61	11.21 W	0.00	50 of 1849 - Sale <sup>25</sup>
	9.000	66.15	06/22/20	91.31	25.16 W	0.00	51 of 1849 - Sale <sup>25</sup>
	9.000	66.15	06/22/20	92.30	26.15 W	0.00	52 of 1849 - Sale <sup>25</sup>
	10.000	73.50	06/22/20	101.35	27.85 W	0.00	53 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.220	1.62	06/22/20	2.24	0.62 W	0.00	54 of 1849 - Sale <sup>25</sup>
	0.780	5.73	06/22/20	7.96	2.23 W	0.00	55 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	9.92	2.57 W	0.00	56 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.25	2.90 W	0.00	57 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.24	2.89 W	0.00	58 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.31	2.96 W	0.00	59 of 1849 - Sale <sup>25</sup>
	1.000	7.35	06/22/20	10.22	2.87 W	0.00	60 of 1849 - Sale <sup>25</sup>
	1.220	8.97	06/22/20	12.48	3.51 W	0.00	61 of 1849 - Sale <sup>25</sup>
	2.000	14.70	06/22/20	20.48	5.78 W	0.00	62 of 1849 - Sale <sup>25</sup>
	2.000	14.70	06/22/20	20.39	5.69 W	0.00	63 of 1849 - Sale <sup>25</sup>
	2.000	14.70	06/22/20	20.41	5.71 W	0.00	64 of 1849 - Sale <sup>25</sup>
	2.780	20.43	06/22/20	28.39	7.96 W	0.00	65 of 1849 - Sale <sup>25</sup>
	3.000	22.05	06/22/20	30.64	8.59 W	0.00	66 of 1849 - Sale <sup>25</sup>
	5.000	36.75	06/22/20	51.21	14.46 W	0.00	67 of 1849 - Sale <sup>25</sup>
	5.000	36.75	06/22/20	51.05	14.30 W	0.00	68 of 1849 - Sale <sup>25</sup>
	6.000	44.10	06/22/20	61.47	17.37 W	0.00	69 of 1849 - Sale <sup>25</sup>
	6.220	45.71	06/22/20	63.94	18.23 W	0.00	70 of 1849 - Sale <sup>25</sup>
	9.000	66.14	06/22/20	91.99	25.85 W	0.00	71 of 1849 - Sale <sup>25</sup>
	2.580	18.96	06/22/20	27.16	8.20 W	0.00	72 of 1849 - Sale <sup>25</sup>
	3.420	25.13	06/22/20	35.97	10.84 W	0.00	73 of 1849 - Sale <sup>25</sup>
	1.000	7.38	06/22/20	10.52	3.14 W	0.00	74 of 1849 - Sale <sup>25</sup>
	6.580	48.56	06/22/20	69.20	20.64 W	0.00	75 of 1849 - Sale <sup>25</sup>
	2.420	17.86	06/22/20	25.39	7.53 W	0.00	76 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/22/20	2.23	0.54 W	0.00	77 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/22/20	4.27	1.04 W	0.00	78 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/22/20	4.22	0.99 W	0.00	79 of 1849 - Sale <sup>25</sup>
	0.580	4.47	06/22/20	5.89	1.42 W	0.00	80 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/22/20	7.93	1.92 W	0.00	81 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.18	2.48 W	0.00	82 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.21	2.51 W	0.00	83 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.14	2.44 W	0.00	84 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.21	2.51 W	0.00	85 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.10	2.40 W	0.00	86 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.15	2.45 W	0.00	87 of 1849 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.70	06/22/20	10.13	2.43 W	0.00	88 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.13	2.43 W	0.00	89 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.12	2.42 W	0.00	90 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.09	2.39 W	0.00	91 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.10	2.40 W	0.00	92 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.11	2.41 W	0.00	93 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.11	2.41 W	0.00	94 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.85	5.45 W	0.00	95 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.38	4.98 W	0.00	96 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.12	4.72 W	0.00	97 of 1849 - Sale <sup>25</sup>
	2.580	19.87	06/22/20	25.98	6.11 W	0.00	98 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.47	7.37 W	0.00	99 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.49	7.39 W	0.00	100 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.52	7.42 W	0.00	101 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.27	7.17 W	0.00	102 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.28	7.18 W	0.00	103 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.29	7.19 W	0.00	104 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.38	7.28 W	0.00	105 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.31	7.21 W	0.00	106 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/22/20	40.53	9.73 W	0.00	107 of 1849 - Sale <sup>25</sup>
	4.580	35.26	06/22/20	46.12	10.86 W	0.00	108 of 1849 - Sale <sup>25</sup>
	5.420	41.73	06/22/20	54.56	12.83 W	0.00	109 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/22/20	70.66	16.76 W	0.00	110 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/22/20	81.14	19.54 W	0.00	111 of 1849 - Sale <sup>25</sup>
	10.420	80.23	06/22/20	104.90	24.67 W	0.00	112 of 1849 - Sale <sup>25</sup>
	9.580	73.76	06/22/20	100.50	26.74 W	0.00	113 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/22/20	3.55	0.78 W	0.00	114 of 1849 - Sale <sup>25</sup>
	0.640	4.93	06/22/20	6.37	1.44 W	0.00	115 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/22/20	7.97	1.96 W	0.00	116 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.59	1.89 W	0.00	117 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.76	2.06 W	0.00	118 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.75	2.05 W	0.00	119 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.79	2.09 W	0.00	120 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.74	2.04 W	0.00	121 of 1849 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.40	06/22/20	20.40	5.00 W	0.00	122 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.34	4.94 W	0.00	123 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.07	4.67 W	0.00	124 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	19.12	3.72 W	0.00	125 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	19.09	3.69 W	0.00	126 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	19.03	3.63 W	0.00	127 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	19.51	4.11 W	0.00	128 of 1849 - Sale <sup>25</sup>
	2.220	17.09	06/22/20	21.36	4.27 W	0.00	129 of 1849 - Sale <sup>25</sup>
	2.780	21.41	06/22/20	28.17	6.76 W	0.00	130 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	29.27	6.17 W	0.00	131 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	29.25	6.15 W	0.00	132 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	29.03	5.93 W	0.00	133 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	50.91	12.41 W	0.00	134 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	47.78	9.28 W	0.00	135 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	48.38	9.88 W	0.00	136 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	47.83	9.33 W	0.00	137 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	47.79	9.29 W	0.00	138 of 1849 - Sale <sup>25</sup>
	5.220	40.19	06/22/20	52.89	12.70 W	0.00	139 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/22/20	58.34	12.14 W	0.00	140 of 1849 - Sale <sup>25</sup>
	7.780	59.90	06/22/20	79.21	19.31 W	0.00	141 of 1849 - Sale <sup>25</sup>
	8.220	63.29	06/22/20	83.69	20.40 W	0.00	142 of 1849 - Sale <sup>25</sup>
	0.580	4.47	06/22/20	5.81	1.34 W	0.00	143 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.92	3.22 W	0.00	144 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.91	3.21 W	0.00	145 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	21.77	6.37 W	0.00	146 of 1849 - Sale <sup>25</sup>
	5.420	41.73	06/22/20	58.96	17.23 W	0.00	147 of 1849 - Sale <sup>25</sup>
	10.000	77.00	06/22/20	109.17	32.17 W	0.00	148 of 1849 - Sale <sup>25</sup>
	0.580	4.47	06/22/20	6.31	1.84 W	0.00	149 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.43	2.73 W	0.00	150 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.43	2.73 W	0.00	151 of 1849 - Sale <sup>25</sup>
	1.420	10.93	06/22/20	14.83	3.90 W	0.00	152 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.87	5.47 W	0.00	153 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.87	5.47 W	0.00	154 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	20.83	5.43 W	0.00	155 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.80	06/22/20	41.96	11.16 W	0.00	156 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	52.06	13.56 W	0.00	157 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/22/20	62.85	16.65 W	0.00	158 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.61	1.91 W	0.00	159 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.60	1.90 W	0.00	160 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.65	1.95 W	0.00	161 of 1849 - Sale <sup>25</sup>
	1.780	13.71	06/22/20	17.07	3.36 W	0.00	162 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	19.43	4.03 W	0.00	163 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	19.32	3.92 W	0.00	164 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	19.44	4.04 W	0.00	165 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	29.07	5.97 W	0.00	166 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	29.34	6.24 W	0.00	167 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/22/20	38.80	8.00 W	0.00	168 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/22/20	68.68	14.78 W	0.00	169 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.54	1.84 W	0.00	170 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.37	1.67 W	0.00	171 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.22	1.52 W	0.00	172 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.21	1.51 W	0.00	173 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.06	1.36 W	0.00	174 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.93	1.23 W	0.00	175 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.94	1.24 W	0.00	176 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.95	1.25 W	0.00	177 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	18.59	3.19 W	0.00	178 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	18.38	2.98 W	0.00	179 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	18.42	3.02 W	0.00	180 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.79	2.39 W	0.00	181 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.89	2.49 W	0.00	182 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.89	2.49 W	0.00	183 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.79	2.39 W	0.00	184 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	28.11	5.01 W	0.00	185 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	28.04	4.94 W	0.00	186 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	27.83	4.73 W	0.00	187 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/22/20	38.27	7.47 W	0.00	188 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/22/20	35.78	4.98 W	0.00	189 of 1849 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	38.50	06/22/20	46.83	8.33 W	0.00	190 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	44.50	6.00 W	0.00	191 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/22/20	44.72	6.22 W	0.00	192 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/22/20	53.35	7.15 W	0.00	193 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/22/20	74.99	13.39 W	0.00	194 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/22/20	70.87	9.27 W	0.00	195 of 1849 - Sale <sup>25</sup>
	3.360	25.87	06/22/20	34.49	8.62 W	0.00	196 of 1849 - Sale <sup>25</sup>
	3.420	26.33	06/22/20	35.06	8.73 W	0.00	197 of 1849 - Sale <sup>25</sup>
	6.220	47.89	06/22/20	63.86	15.97 W	0.00	198 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/22/20	2.24	0.55 W	0.00	199 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.27	2.57 W	0.00	200 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.28	2.58 W	0.00	201 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.27	2.57 W	0.00	202 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	10.25	2.55 W	0.00	203 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/22/20	12.53	3.14 W	0.00	204 of 1849 - Sale <sup>25</sup>
	1.420	10.93	06/22/20	14.56	3.63 W	0.00	205 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/22/20	28.55	7.15 W	0.00	206 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.82	7.72 W	0.00	207 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	30.80	7.70 W	0.00	208 of 1849 - Sale <sup>25</sup>
	3.580	27.56	06/22/20	36.71	9.15 W	0.00	209 of 1849 - Sale <sup>25</sup>
	3.780	29.10	06/22/20	38.84	9.74 W	0.00	210 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/22/20	41.06	10.26 W	0.00	211 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/22/20	71.87	17.97 W	0.00	212 of 1849 - Sale <sup>25</sup>
	8.580	66.06	06/22/20	88.08	22.02 W	0.00	213 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/22/20	3.72	0.49 W	0.00	214 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/22/20	3.80	0.57 W	0.00	215 of 1849 - Sale <sup>25</sup>
	0.580	4.47	06/22/20	5.23	0.76 W	0.00	216 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.90	1.20 W	0.00	217 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.92	1.22 W	0.00	218 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.93	1.23 W	0.00	219 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.91	1.21 W	0.00	220 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.91	1.21 W	0.00	221 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.87	1.17 W	0.00	222 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	8.87	1.17 W	0.00	223 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.70	06/22/20	9.03	1.33 W	0.00	224 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.03	1.33 W	0.00	225 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/22/20	9.06	1.36 W	0.00	226 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.63	2.23 W	0.00	227 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.82	2.42 W	0.00	228 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.83	2.43 W	0.00	229 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	17.83	2.43 W	0.00	230 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/22/20	18.05	2.65 W	0.00	231 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	26.73	3.63 W	0.00	232 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/22/20	27.17	4.07 W	0.00	233 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/22/20	35.29	4.49 W	0.00	234 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/22/20	36.05	5.25 W	0.00	235 of 1849 - Sale <sup>25</sup>
	9.000	69.30	06/22/20	80.40	11.10 W	0.00	236 of 1849 - Sale <sup>25</sup>
	13.000	100.09	06/22/20	115.47	15.38 W	0.00	237 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/23/20	4.07	0.84 W	0.00	238 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.44	1.74 W	0.00	239 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.45	1.75 W	0.00	240 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.53	1.83 W	0.00	241 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.52	1.82 W	0.00	242 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.50	1.80 W	0.00	243 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.47	1.77 W	0.00	244 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.75	2.05 W	0.00	245 of 1849 - Sale <sup>25</sup>
	1.580	12.17	06/23/20	14.91	2.74 W	0.00	246 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.07	3.67 W	0.00	247 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.00	3.60 W	0.00	248 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	18.94	3.54 W	0.00	249 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.50	4.10 W	0.00	250 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	28.52	5.42 W	0.00	251 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	28.52	5.42 W	0.00	252 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	37.96	7.16 W	0.00	253 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	38.97	8.17 W	0.00	254 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	38.76	7.96 W	0.00	255 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	47.18	8.68 W	0.00	256 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	57.00	10.80 W	0.00	257 of 1849 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	12.000	92.39	06/23/20	114.04	21.65 W	0.00	258 of 1849 - Sale <sup>25</sup>
	6.220	47.89	06/23/20	48.88	0.99 W	0.00	259 of 1849 - Sale <sup>25</sup>
	6.780	52.20	06/23/20	53.29	1.09 W	0.00	260 of 1849 - Sale <sup>25</sup>
	13.000	100.09	06/23/20	101.91	1.82 W	0.00	261 of 1849 - Sale <sup>25</sup>
	16.000	123.19	06/23/20	125.43	2.24 W	0.00	262 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/23/20	4.16	0.93 W	0.00	263 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.91	2.21 W	0.00	264 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.91	2.21 W	0.00	265 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.91	2.21 W	0.00	266 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.91	2.21 W	0.00	267 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.83	4.43 W	0.00	268 of 1849 - Sale <sup>25</sup>
	2.580	19.86	06/23/20	25.06	5.20 W	0.00	269 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	38.87	8.07 W	0.00	270 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	47.27	1.07 W	0.00	271 of 1849 - Sale <sup>25</sup>
	65.000	500.47	06/23/20	512.00	11.53 W	0.00	272 of 1849 - Sale <sup>25</sup>
	0.100	0.77	06/23/20	0.96	0.19 W	0.00	273 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.39	2.69 W	0.00	274 of 1849 - Sale <sup>25</sup>
	1.900	14.63	06/23/20	19.52	4.89 W	0.00	275 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.71	5.31 W	0.00	276 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.74	5.34 W	0.00	277 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	30.80	7.70 W	0.00	278 of 1849 - Sale <sup>25</sup>
	3.100	23.87	06/23/20	31.83	7.96 W	0.00	279 of 1849 - Sale <sup>25</sup>
	3.900	30.03	06/23/20	37.73	7.70 W	0.00	280 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	41.52	10.72 W	0.00	281 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	51.85	13.35 W	0.00	282 of 1849 - Sale <sup>25</sup>
	0.580	4.47	06/23/20	5.75	1.28 W	0.00	283 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.89	2.19 W	0.00	284 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.94	2.24 W	0.00	285 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.83	4.43 W	0.00	286 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.89	4.49 W	0.00	287 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.95	4.55 W	0.00	288 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.08	4.68 W	0.00	289 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	30.81	7.71 W	0.00	290 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	39.56	8.76 W	0.00	291 of 1849 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.80	06/23/20	39.78	8.98 W	0.00	292 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	49.81	11.31 W	0.00	293 of 1849 - Sale <sup>25</sup>
	5.420	41.73	06/23/20	53.88	12.15 W	0.00	294 of 1849 - Sale <sup>25</sup>
	5.580	42.96	06/23/20	55.59	12.63 W	0.00	295 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	8.16	0.46 W	0.00	296 of 1849 - Sale <sup>25</sup>
	2.640	20.33	06/23/20	21.53	1.20 W	0.00	297 of 1849 - Sale <sup>25</sup>
	6.360	48.97	06/23/20	51.86	2.89 W	0.00	298 of 1849 - Sale <sup>25</sup>
	6.780	52.20	06/23/20	55.25	3.05 W	0.00	299 of 1849 - Sale <sup>25</sup>
	8.000	61.59	06/23/20	65.22	3.63 W	0.00	300 of 1849 - Sale <sup>25</sup>
	11.640	89.62	06/23/20	96.13	6.51 W	0.00	301 of 1849 - Sale <sup>25</sup>
	6.640	51.12	06/23/20	54.11	2.99 W	0.00	302 of 1849 - Sale <sup>25</sup>
	20.360	156.76	06/23/20	165.71	8.95 W	0.00	303 of 1849 - Sale <sup>25</sup>
	23.640	182.02	06/23/20	192.82	10.80 W	0.00	304 of 1849 - Sale <sup>25</sup>
	26.360	202.96	06/23/20	215.02	12.06 W	0.00	305 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/23/20	2.98	0.21 W	0.00	306 of 1849 - Sale <sup>25</sup>
	0.640	4.93	06/23/20	5.22	0.29 W	0.00	307 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	8.17	0.47 W	0.00	308 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	8.26	0.56 W	0.00	309 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	8.25	0.55 W	0.00	310 of 1849 - Sale <sup>25</sup>
	1.360	10.47	06/23/20	11.24	0.77 W	0.00	311 of 1849 - Sale <sup>25</sup>
	1.640	12.63	06/23/20	13.54	0.91 W	0.00	312 of 1849 - Sale <sup>25</sup>
	2.580	19.87	06/23/20	21.33	1.46 W	0.00	313 of 1849 - Sale <sup>25</sup>
	2.640	20.33	06/23/20	21.49	1.16 W	0.00	314 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	33.02	2.22 W	0.00	315 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	40.91	2.41 W	0.00	316 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	40.92	2.42 W	0.00	317 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	41.30	2.80 W	0.00	318 of 1849 - Sale <sup>25</sup>
	6.360	48.97	06/23/20	51.45	2.48 W	0.00	319 of 1849 - Sale <sup>25</sup>
	6.360	48.97	06/23/20	52.50	3.53 W	0.00	320 of 1849 - Sale <sup>25</sup>
	6.640	51.13	06/23/20	54.83	3.70 W	0.00	321 of 1849 - Sale <sup>25</sup>
	6.780	52.20	06/23/20	56.07	3.87 W	0.00	322 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	64.95	3.35 W	0.00	323 of 1849 - Sale <sup>25</sup>
	8.360	64.37	06/23/20	68.42	4.05 W	0.00	324 of 1849 - Sale <sup>25</sup>
	10.000	77.00	06/23/20	81.14	4.14 W	0.00	325 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
10.000		77.00	06/23/20	82.45	5.45 W	0.00	326 of 1849 - Sale <sup>25</sup>
10.000		77.00	06/23/20	82.67	5.67 W	0.00	327 of 1849 - Sale <sup>25</sup>
10.000		77.00	06/23/20	82.57	5.57 W	0.00	328 of 1849 - Sale <sup>25</sup>
10.000		77.00	06/23/20	82.55	5.55 W	0.00	329 of 1849 - Sale <sup>25</sup>
10.000		77.00	06/23/20	82.55	5.55 W	0.00	330 of 1849 - Sale <sup>25</sup>
10.000		77.00	06/23/20	82.56	5.56 W	0.00	331 of 1849 - Sale <sup>25</sup>
11.640		89.62	06/23/20	96.12	6.50 W	0.00	332 of 1849 - Sale <sup>25</sup>
6.000		46.20	06/23/20	48.89	2.69 W	0.00	333 of 1849 - Sale <sup>25</sup>
14.000		107.80	06/23/20	115.60	7.80 W	0.00	334 of 1849 - Sale <sup>25</sup>
16.000		123.20	06/23/20	132.14	8.94 W	0.00	335 of 1849 - Sale <sup>25</sup>
23.000		177.09	06/23/20	191.52	14.43 W	0.00	336 of 1849 - Sale <sup>25</sup>
23.640		182.02	06/23/20	191.28	9.26 W	0.00	337 of 1849 - Sale <sup>25</sup>
26.360		202.96	06/23/20	214.08	11.12 W	0.00	338 of 1849 - Sale <sup>25</sup>
27.000		207.89	06/23/20	223.22	15.33 W	0.00	339 of 1849 - Sale <sup>25</sup>
31.640		243.62	06/23/20	261.56	17.94 W	0.00	340 of 1849 - Sale <sup>25</sup>
0.900		6.93	06/23/20	9.34	2.41 W	0.00	341 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.36	2.66 W	0.00	342 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.34	2.64 W	0.00	343 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.35	2.65 W	0.00	344 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.30	2.60 W	0.00	345 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.31	2.61 W	0.00	346 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.29	2.59 W	0.00	347 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.39	2.69 W	0.00	348 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.40	2.70 W	0.00	349 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.34	2.64 W	0.00	350 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.66	2.96 W	0.00	351 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	10.28	2.58 W	0.00	352 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	11.07	3.37 W	0.00	353 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	11.08	3.38 W	0.00	354 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	11.05	3.35 W	0.00	355 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	11.02	3.32 W	0.00	356 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	11.00	3.30 W	0.00	357 of 1849 - Sale <sup>25</sup>
1.000		7.70	06/23/20	11.01	3.31 W	0.00	358 of 1849 - Sale <sup>25</sup>
1.360		10.47	06/23/20	14.07	3.60 W	0.00	359 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.40	06/23/20	20.83	5.43 W	0.00	360 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.68	5.28 W	0.00	361 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.68	5.28 W	0.00	362 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.68	5.28 W	0.00	363 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	22.01	6.61 W	0.00	364 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.90	6.50 W	0.00	365 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.99	6.59 W	0.00	366 of 1849 - Sale <sup>25</sup>
	2.100	16.17	06/23/20	21.78	5.61 W	0.00	367 of 1849 - Sale <sup>25</sup>
	2.100	16.17	06/23/20	23.36	7.19 W	0.00	368 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.10	8.00 W	0.00	369 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	30.85	7.75 W	0.00	370 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.05	7.95 W	0.00	371 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.99	9.89 W	0.00	372 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.99	9.89 W	0.00	373 of 1849 - Sale <sup>25</sup>
	3.900	30.03	06/23/20	43.39	13.36 W	0.00	374 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	41.30	10.50 W	0.00	375 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	41.13	10.33 W	0.00	376 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	41.11	10.31 W	0.00	377 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	44.01	13.21 W	0.00	378 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	43.96	13.16 W	0.00	379 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	44.06	13.26 W	0.00	380 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	44.01	13.21 W	0.00	381 of 1849 - Sale <sup>25</sup>
	4.640	35.73	06/23/20	48.08	12.35 W	0.00	382 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	51.66	13.16 W	0.00	383 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	51.35	12.85 W	0.00	384 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	51.80	13.30 W	0.00	385 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	51.83	13.33 W	0.00	386 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	51.41	12.91 W	0.00	387 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	55.50	17.00 W	0.00	388 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	63.20	17.00 W	0.00	389 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	66.12	19.92 W	0.00	390 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	72.58	18.68 W	0.00	391 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	76.98	23.08 W	0.00	392 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	87.98	26.38 W	0.00	393 of 1849 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	77.00	06/23/20	103.42	26.42 W	0.00	394 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	8.06	0.36 W	0.00	395 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	8.01	0.31 W	0.00	396 of 1849 - Sale <sup>25</sup>
	1.360	10.47	06/23/20	11.21	0.74 W	0.00	397 of 1849 - Sale <sup>25</sup>
	1.580	12.17	06/23/20	12.74	0.57 W	0.00	398 of 1849 - Sale <sup>25</sup>
	2.360	18.17	06/23/20	18.89	0.72 W	0.00	399 of 1849 - Sale <sup>25</sup>
	2.640	20.33	06/23/20	21.15	0.82 W	0.00	400 of 1849 - Sale <sup>25</sup>
	3.420	26.33	06/23/20	27.54	1.21 W	0.00	401 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	32.28	1.48 W	0.00	402 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	31.99	1.19 W	0.00	403 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	49.13	2.93 W	0.00	404 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	48.32	2.12 W	0.00	405 of 1849 - Sale <sup>25</sup>
	6.360	48.97	06/23/20	52.97	4.00 W	0.00	406 of 1849 - Sale <sup>25</sup>
	6.640	51.13	06/23/20	54.76	3.63 W	0.00	407 of 1849 - Sale <sup>25</sup>
	6.640	51.13	06/23/20	54.32	3.19 W	0.00	408 of 1849 - Sale <sup>25</sup>
	11.580	89.16	06/23/20	92.62	3.46 W	0.00	409 of 1849 - Sale <sup>25</sup>
	13.900	107.03	06/23/20	110.74	3.71 W	0.00	410 of 1849 - Sale <sup>25</sup>
	23.000	177.09	06/23/20	191.52	14.43 W	0.00	411 of 1849 - Sale <sup>25</sup>
	27.000	207.89	06/23/20	217.48	9.59 W	0.00	412 of 1849 - Sale <sup>25</sup>
	34.520	265.79	06/23/20	275.02	9.23 W	0.00	413 of 1849 - Sale <sup>25</sup>
	39.640	305.21	06/23/20	326.96	21.75 W	0.00	414 of 1849 - Sale <sup>25</sup>
	41.000	315.69	06/23/20	330.23	14.54 W	0.00	415 of 1849 - Sale <sup>25</sup>
	46.100	354.95	06/23/20	380.32	25.37 W	0.00	416 of 1849 - Sale <sup>25</sup>
	47.260	363.89	06/23/20	389.88	25.99 W	0.00	417 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	11.00	3.30 W	0.00	418 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.99	3.29 W	0.00	419 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	11.01	3.31 W	0.00	420 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.98	3.28 W	0.00	421 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.99	3.29 W	0.00	422 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.99	3.29 W	0.00	423 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.97	3.27 W	0.00	424 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	11.00	3.30 W	0.00	425 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	22.01	6.61 W	0.00	426 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.93	6.53 W	0.00	427 of 1849 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.40	06/23/20	22.04	6.64 W	0.00	428 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.98	9.88 W	0.00	429 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.99	9.89 W	0.00	430 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	33.01	9.91 W	0.00	431 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	44.10	13.30 W	0.00	432 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	54.89	16.39 W	0.00	433 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	66.16	19.96 W	0.00	434 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	76.97	23.07 W	0.00	435 of 1849 - Sale <sup>25</sup>
	9.000	69.30	06/23/20	98.78	29.48 W	0.00	436 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	76.53	22.63 W	0.00	437 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	87.54	25.94 W	0.00	438 of 1849 - Sale <sup>25</sup>
	4.100	31.57	06/23/20	32.63	1.06 W	0.00	439 of 1849 - Sale <sup>25</sup>
	7.900	60.83	06/23/20	62.87	2.04 W	0.00	440 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	63.66	2.06 W	0.00	441 of 1849 - Sale <sup>25</sup>
	11.000	84.70	06/23/20	87.53	2.83 W	0.00	442 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.93	3.23 W	0.00	443 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.92	3.22 W	0.00	444 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.92	3.22 W	0.00	445 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.92	3.22 W	0.00	446 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.72	3.02 W	0.00	447 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.79	3.09 W	0.00	448 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.56	6.16 W	0.00	449 of 1849 - Sale <sup>25</sup>
	2.900	22.33	06/23/20	31.71	9.38 W	0.00	450 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.33	9.23 W	0.00	451 of 1849 - Sale <sup>25</sup>
	4.360	33.57	06/23/20	47.04	13.47 W	0.00	452 of 1849 - Sale <sup>25</sup>
	4.640	35.73	06/23/20	50.06	14.33 W	0.00	453 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	53.95	15.45 W	0.00	454 of 1849 - Sale <sup>25</sup>
	5.100	39.27	06/23/20	55.75	16.48 W	0.00	455 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	64.59	18.39 W	0.00	456 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	64.73	18.53 W	0.00	457 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/23/20	9.62	0.23 W	0.00	458 of 1849 - Sale <sup>25</sup>
	2.260	17.40	06/23/20	17.79	0.39 W	0.00	459 of 1849 - Sale <sup>25</sup>
	2.360	18.17	06/23/20	18.77	0.60 W	0.00	460 of 1849 - Sale <sup>25</sup>
	2.640	20.33	06/23/20	20.83	0.50 W	0.00	461 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.100	39.27	06/23/20	40.19	0.92 W	0.00	462 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	55.07	1.17 W	0.00	463 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	55.03	1.13 W	0.00	464 of 1849 - Sale <sup>25</sup>
	27.420	211.12	06/23/20	215.50	4.38 W	0.00	465 of 1849 - Sale <sup>25</sup>
	0.100	0.77	06/23/20	1.07	0.30 W	0.00	466 of 1849 - Sale <sup>25</sup>
	0.640	4.93	06/23/20	6.73	1.80 W	0.00	467 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.87	3.17 W	0.00	468 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.87	3.17 W	0.00	469 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.85	3.15 W	0.00	470 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.78	3.08 W	0.00	471 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.80	3.10 W	0.00	472 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.72	3.02 W	0.00	473 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.72	3.02 W	0.00	474 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.72	3.02 W	0.00	475 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.91	3.21 W	0.00	476 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.92	3.22 W	0.00	477 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.80	3.10 W	0.00	478 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.81	3.11 W	0.00	479 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.71	3.01 W	0.00	480 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.71	3.01 W	0.00	481 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.67	2.97 W	0.00	482 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.90	3.20 W	0.00	483 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.97	3.27 W	0.00	484 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.94	3.24 W	0.00	485 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.47	2.77 W	0.00	486 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.47	2.77 W	0.00	487 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.49	2.79 W	0.00	488 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.48	2.78 W	0.00	489 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.49	2.79 W	0.00	490 of 1849 - Sale <sup>25</sup>
	1.900	14.63	06/23/20	20.32	5.69 W	0.00	491 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.72	6.32 W	0.00	492 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.73	6.33 W	0.00	493 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.51	6.11 W	0.00	494 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.60	6.20 W	0.00	495 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.40	06/23/20	21.40	6.00 W	0.00	496 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.85	6.45 W	0.00	497 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.92	5.52 W	0.00	498 of 1849 - Sale <sup>25</sup>
	2.360	18.17	06/23/20	25.76	7.59 W	0.00	499 of 1849 - Sale <sup>25</sup>
	2.640	20.33	06/23/20	28.80	8.47 W	0.00	500 of 1849 - Sale <sup>25</sup>
	2.900	22.33	06/23/20	31.70	9.37 W	0.00	501 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.37	9.27 W	0.00	502 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.16	9.06 W	0.00	503 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.47	9.37 W	0.00	504 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.34	9.24 W	0.00	505 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.29	9.19 W	0.00	506 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.13	9.03 W	0.00	507 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	32.86	9.76 W	0.00	508 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.76	8.66 W	0.00	509 of 1849 - Sale <sup>25</sup>
	3.100	23.87	06/23/20	33.87	10.00 W	0.00	510 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	43.43	12.63 W	0.00	511 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	43.46	12.66 W	0.00	512 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	43.38	12.58 W	0.00	513 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	43.65	12.85 W	0.00	514 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	41.98	11.18 W	0.00	515 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	42.03	11.23 W	0.00	516 of 1849 - Sale <sup>25</sup>
	4.360	33.57	06/23/20	46.16	12.59 W	0.00	517 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	75.96	22.06 W	0.00	518 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	75.75	21.85 W	0.00	519 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	75.17	21.27 W	0.00	520 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	85.62	24.02 W	0.00	521 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	84.68	23.08 W	0.00	522 of 1849 - Sale <sup>25</sup>
	9.000	69.30	06/23/20	98.30	29.00 W	0.00	523 of 1849 - Sale <sup>25</sup>
	11.000	84.70	06/23/20	118.52	33.82 W	0.00	524 of 1849 - Sale <sup>25</sup>
	12.000	92.39	06/23/20	128.33	35.94 W	0.00	525 of 1849 - Sale <sup>25</sup>
	13.000	100.09	06/23/20	139.38	39.29 W	0.00	526 of 1849 - Sale <sup>25</sup>
	15.000	115.49	06/23/20	164.06	48.57 W	0.00	527 of 1849 - Sale <sup>25</sup>
	0.640	4.93	06/23/20	6.70	1.77 W	0.00	528 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.61	2.91 W	0.00	529 of 1849 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.10	06/23/20	31.76	8.66 W	0.00	530 of 1849 - Sale <sup>25</sup>
	4.640	35.73	06/23/20	49.26	13.53 W	0.00	531 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	62.70	16.50 W	0.00	532 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	62.69	16.49 W	0.00	533 of 1849 - Sale <sup>25</sup>
	8.360	64.37	06/23/20	88.74	24.37 W	0.00	534 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	55.03	1.13 W	0.00	535 of 1849 - Sale <sup>25</sup>
	7.360	56.67	06/23/20	57.88	1.21 W	0.00	536 of 1849 - Sale <sup>25</sup>
	30.000	230.99	06/23/20	235.90	4.91 W	0.00	537 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	15.71	0.31 W	0.00	538 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/23/20	21.85	0.45 W	0.00	539 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	23.59	0.49 W	0.00	540 of 1849 - Sale <sup>25</sup>
	3.100	23.87	06/23/20	24.36	0.49 W	0.00	541 of 1849 - Sale <sup>25</sup>
	6.900	53.13	06/23/20	54.26	1.13 W	0.00	542 of 1849 - Sale <sup>25</sup>
	8.220	63.29	06/23/20	64.64	1.35 W	0.00	543 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/23/20	2.34	0.65 W	0.00	544 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/23/20	3.79	1.02 W	0.00	545 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/23/20	3.74	0.97 W	0.00	546 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/23/20	3.77	1.00 W	0.00	547 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/23/20	4.44	1.21 W	0.00	548 of 1849 - Sale <sup>25</sup>
	0.640	4.93	06/23/20	6.69	1.76 W	0.00	549 of 1849 - Sale <sup>25</sup>
	0.740	5.70	06/23/20	7.83	2.13 W	0.00	550 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/23/20	8.20	2.19 W	0.00	551 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.53	2.83 W	0.00	552 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.32	2.62 W	0.00	553 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.35	2.65 W	0.00	554 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.37	2.67 W	0.00	555 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.33	2.63 W	0.00	556 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.36	2.66 W	0.00	557 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.34	2.64 W	0.00	558 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.33	2.63 W	0.00	559 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.36	2.66 W	0.00	560 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.49	2.79 W	0.00	561 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.49	2.79 W	0.00	562 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.45	2.75 W	0.00	563 of 1849 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.70	06/23/20	10.45	2.75 W	0.00	564 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.50	2.80 W	0.00	565 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.45	2.75 W	0.00	566 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.65	2.95 W	0.00	567 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.67	2.97 W	0.00	568 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.58	2.88 W	0.00	569 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.58	2.88 W	0.00	570 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.59	2.89 W	0.00	571 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.51	2.81 W	0.00	572 of 1849 - Sale <sup>25</sup>
	1.260	9.70	06/23/20	13.30	3.60 W	0.00	573 of 1849 - Sale <sup>25</sup>
	1.260	9.70	06/23/20	13.01	3.31 W	0.00	574 of 1849 - Sale <sup>25</sup>
	1.360	10.47	06/23/20	14.05	3.58 W	0.00	575 of 1849 - Sale <sup>25</sup>
	1.780	13.71	06/23/20	18.62	4.91 W	0.00	576 of 1849 - Sale <sup>25</sup>
	1.780	13.71	06/23/20	18.83	5.12 W	0.00	577 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.65	5.25 W	0.00	578 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.66	5.26 W	0.00	579 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.75	5.35 W	0.00	580 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.69	5.29 W	0.00	581 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.70	5.30 W	0.00	582 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.69	5.29 W	0.00	583 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.46	5.06 W	0.00	584 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.26	5.86 W	0.00	585 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.44	6.04 W	0.00	586 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.37	5.97 W	0.00	587 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.18	5.78 W	0.00	588 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.00	5.60 W	0.00	589 of 1849 - Sale <sup>25</sup>
	2.220	17.09	06/23/20	23.33	6.24 W	0.00	590 of 1849 - Sale <sup>25</sup>
	2.640	20.33	06/23/20	27.27	6.94 W	0.00	591 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.51	8.41 W	0.00	592 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.00	7.90 W	0.00	593 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.44	8.34 W	0.00	594 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.45	8.35 W	0.00	595 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.54	8.44 W	0.00	596 of 1849 - Sale <sup>25</sup>
	3.360	25.87	06/23/20	34.57	8.70 W	0.00	597 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.740	28.80	06/23/20	38.66	9.86 W	0.00	598 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	41.27	10.47 W	0.00	599 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	41.76	10.96 W	0.00	600 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	42.47	11.67 W	0.00	601 of 1849 - Sale <sup>25</sup>
	4.220	32.49	06/23/20	44.15	11.66 W	0.00	602 of 1849 - Sale <sup>25</sup>
	4.640	35.73	06/23/20	48.08	12.35 W	0.00	603 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	53.07	14.57 W	0.00	604 of 1849 - Sale <sup>25</sup>
	5.780	44.50	06/23/20	61.16	16.66 W	0.00	605 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	63.03	16.83 W	0.00	606 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	63.06	16.86 W	0.00	607 of 1849 - Sale <sup>25</sup>
	6.220	47.89	06/23/20	65.56	17.67 W	0.00	608 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	84.65	23.05 W	0.00	609 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	82.28	20.68 W	0.00	610 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	83.67	22.07 W	0.00	611 of 1849 - Sale <sup>25</sup>
	8.640	66.52	06/23/20	88.87	22.35 W	0.00	612 of 1849 - Sale <sup>25</sup>
	10.000	76.99	06/23/20	104.92	27.93 W	0.00	613 of 1849 - Sale <sup>25</sup>
	11.000	84.69	06/23/20	116.90	32.21 W	0.00	614 of 1849 - Sale <sup>25</sup>
	12.000	92.39	06/23/20	124.15	31.76 W	0.00	615 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/23/20	2.34	0.65 W	0.00	616 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/23/20	3.79	1.02 W	0.00	617 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/23/20	3.90	1.13 W	0.00	618 of 1849 - Sale <sup>25</sup>
	0.580	4.47	06/23/20	6.24	1.77 W	0.00	619 of 1849 - Sale <sup>25</sup>
	0.640	4.93	06/23/20	6.77	1.84 W	0.00	620 of 1849 - Sale <sup>25</sup>
	0.640	4.93	06/23/20	6.87	1.94 W	0.00	621 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/23/20	8.16	2.15 W	0.00	622 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.60	2.90 W	0.00	623 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.59	2.89 W	0.00	624 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.62	2.92 W	0.00	625 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.54	2.84 W	0.00	626 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.54	2.84 W	0.00	627 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.53	2.83 W	0.00	628 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.52	2.82 W	0.00	629 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.69	2.99 W	0.00	630 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.69	2.99 W	0.00	631 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.70	06/23/20	10.70	3.00 W	0.00	632 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.52	2.82 W	0.00	633 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.47	2.77 W	0.00	634 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.46	2.76 W	0.00	635 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/23/20	12.93	3.54 W	0.00	636 of 1849 - Sale <sup>25</sup>
	1.360	10.47	06/23/20	14.54	4.07 W	0.00	637 of 1849 - Sale <sup>25</sup>
	1.440	11.09	06/23/20	15.10	4.01 W	0.00	638 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.31	5.91 W	0.00	639 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.19	5.79 W	0.00	640 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.07	5.67 W	0.00	641 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.12	5.72 W	0.00	642 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	21.52	6.12 W	0.00	643 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.98	5.58 W	0.00	644 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.90	5.50 W	0.00	645 of 1849 - Sale <sup>25</sup>
	2.680	20.63	06/23/20	28.26	7.63 W	0.00	646 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/23/20	29.59	8.19 W	0.00	647 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/23/20	29.18	7.78 W	0.00	648 of 1849 - Sale <sup>25</sup>
	2.860	22.02	06/23/20	30.16	8.14 W	0.00	649 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.81	8.71 W	0.00	650 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.72	8.62 W	0.00	651 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.61	8.51 W	0.00	652 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.61	8.51 W	0.00	653 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.61	8.51 W	0.00	654 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	42.24	11.44 W	0.00	655 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	42.24	11.44 W	0.00	656 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	42.14	11.34 W	0.00	657 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	42.08	11.28 W	0.00	658 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	42.00	11.20 W	0.00	659 of 1849 - Sale <sup>25</sup>
	4.420	34.03	06/23/20	47.43	13.40 W	0.00	660 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	53.39	14.89 W	0.00	661 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	52.71	14.21 W	0.00	662 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	63.62	17.42 W	0.00	663 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	63.60	17.40 W	0.00	664 of 1849 - Sale <sup>25</sup>
	6.780	52.20	06/23/20	71.86	19.66 W	0.00	665 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.780	52.20	06/23/20	70.95	18.75 W	0.00	666 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	74.72	20.82 W	0.00	667 of 1849 - Sale <sup>25</sup>
	7.320	56.36	06/23/20	77.20	20.84 W	0.00	668 of 1849 - Sale <sup>25</sup>
	8.000	61.60	06/23/20	86.13	24.53 W	0.00	669 of 1849 - Sale <sup>25</sup>
	10.000	76.99	06/23/20	106.83	29.84 W	0.00	670 of 1849 - Sale <sup>25</sup>
	11.220	86.39	06/23/20	117.24	30.85 W	0.00	671 of 1849 - Sale <sup>25</sup>
	15.000	115.49	06/23/20	118.49	3.00 W	0.00	672 of 1849 - Sale <sup>25</sup>
	21.000	161.69	06/23/20	165.91	4.22 W	0.00	673 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.23	2.53 W	0.00	674 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.25	2.55 W	0.00	675 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.25	2.55 W	0.00	676 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.31	2.61 W	0.00	677 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.28	2.58 W	0.00	678 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.32	2.62 W	0.00	679 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.94	2.24 W	0.00	680 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.93	2.23 W	0.00	681 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.91	2.21 W	0.00	682 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.89	2.19 W	0.00	683 of 1849 - Sale <sup>25</sup>
	1.780	13.71	06/23/20	18.69	4.98 W	0.00	684 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.15	8.05 W	0.00	685 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	31.14	8.04 W	0.00	686 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	30.52	7.42 W	0.00	687 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	39.54	8.74 W	0.00	688 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	39.53	8.73 W	0.00	689 of 1849 - Sale <sup>25</sup>
	4.220	32.49	06/23/20	41.67	9.18 W	0.00	690 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	52.01	13.51 W	0.00	691 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	49.43	10.93 W	0.00	692 of 1849 - Sale <sup>25</sup>
	7.000	53.90	06/23/20	72.22	18.32 W	0.00	693 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/23/20	7.64	1.63 W	0.00	694 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/23/20	7.67	1.66 W	0.00	695 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.76	2.06 W	0.00	696 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/23/20	11.97	2.58 W	0.00	697 of 1849 - Sale <sup>25</sup>
	2.220	17.09	06/23/20	21.80	4.71 W	0.00	698 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	39.13	8.33 W	0.00	699 of 1849 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.220	1.69	06/23/20	2.26	0.57 W	0.00	700 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.83	2.13 W	0.00	701 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.81	2.11 W	0.00	702 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.73	2.03 W	0.00	703 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.72	2.02 W	0.00	704 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.69	1.99 W	0.00	705 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.80	2.10 W	0.00	706 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.85	2.15 W	0.00	707 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.85	2.15 W	0.00	708 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.85	2.15 W	0.00	709 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.82	2.12 W	0.00	710 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.84	2.14 W	0.00	711 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.16	2.46 W	0.00	712 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.21	2.51 W	0.00	713 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.20	2.50 W	0.00	714 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.32	2.62 W	0.00	715 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.31	2.61 W	0.00	716 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	10.30	2.60 W	0.00	717 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/23/20	11.85	2.46 W	0.00	718 of 1849 - Sale <sup>25</sup>
	1.780	13.70	06/23/20	17.31	3.61 W	0.00	719 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.43	4.03 W	0.00	720 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.44	4.04 W	0.00	721 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.46	4.06 W	0.00	722 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.40	4.00 W	0.00	723 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	20.61	5.21 W	0.00	724 of 1849 - Sale <sup>25</sup>
	2.220	17.09	06/23/20	22.84	5.75 W	0.00	725 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/23/20	27.30	5.90 W	0.00	726 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/23/20	27.11	5.71 W	0.00	727 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/23/20	28.66	7.26 W	0.00	728 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	29.40	6.30 W	0.00	729 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	30.32	7.22 W	0.00	730 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/23/20	30.66	7.56 W	0.00	731 of 1849 - Sale <sup>25</sup>
	3.780	29.10	06/23/20	38.65	9.55 W	0.00	732 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/23/20	39.49	8.69 W	0.00	733 of 1849 - Sale <sup>25</sup>

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(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.80	06/23/20	40.89	10.09 W	0.00	734 of 1849 - Sale <sup>25</sup>
	4.220	32.49	06/23/20	43.17	10.68 W	0.00	735 of 1849 - Sale <sup>25</sup>
	5.220	40.19	06/23/20	50.88	10.69 W	0.00	736 of 1849 - Sale <sup>25</sup>
	5.780	44.50	06/23/20	56.22	11.72 W	0.00	737 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	58.97	12.77 W	0.00	738 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/23/20	58.37	12.17 W	0.00	739 of 1849 - Sale <sup>25</sup>
	11.220	86.39	06/23/20	109.36	22.97 W	0.00	740 of 1849 - Sale <sup>25</sup>
	0.140	1.08	06/23/20	1.41	0.33 W	0.00	741 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/23/20	2.21	0.52 W	0.00	742 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/23/20	7.81	1.80 W	0.00	743 of 1849 - Sale <sup>25</sup>
	0.860	6.62	06/23/20	8.62	2.00 W	0.00	744 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.97	2.27 W	0.00	745 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/23/20	9.98	2.28 W	0.00	746 of 1849 - Sale <sup>25</sup>
	1.140	8.78	06/23/20	11.46	2.68 W	0.00	747 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/23/20	19.95	4.55 W	0.00	748 of 1849 - Sale <sup>25</sup>
	2.860	22.02	06/23/20	28.52	6.50 W	0.00	749 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/23/20	50.20	11.70 W	0.00	750 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/23/20	7.74	1.73 W	0.00	751 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/23/20	12.11	2.72 W	0.00	752 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/23/20	2.19	0.50 W	0.00	753 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/23/20	7.73	1.72 W	0.00	754 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.30	0.61 W	0.00	755 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.41	0.72 W	0.00	756 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/24/20	8.11	2.10 W	0.00	757 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/24/20	8.47	2.46 W	0.00	758 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.37	2.67 W	0.00	759 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.32	2.62 W	0.00	760 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.31	2.61 W	0.00	761 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.64	2.94 W	0.00	762 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.96	3.26 W	0.00	763 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.83	3.13 W	0.00	764 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/24/20	13.18	3.79 W	0.00	765 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	20.66	5.26 W	0.00	766 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.29	5.89 W	0.00	767 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.40	06/24/20	21.61	6.21 W	0.00	768 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.96	6.56 W	0.00	769 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.95	6.55 W	0.00	770 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.97	6.57 W	0.00	771 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.63	6.23 W	0.00	772 of 1849 - Sale <sup>25</sup>
	2.780	21.40	06/24/20	30.53	9.13 W	0.00	773 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/24/20	32.49	9.39 W	0.00	774 of 1849 - Sale <sup>25</sup>
	3.780	29.10	06/24/20	39.28	10.18 W	0.00	775 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/24/20	42.59	11.79 W	0.00	776 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/24/20	43.25	12.45 W	0.00	777 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/24/20	51.97	13.47 W	0.00	778 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/24/20	54.86	16.36 W	0.00	779 of 1849 - Sale <sup>25</sup>
	5.220	40.19	06/24/20	57.31	17.12 W	0.00	780 of 1849 - Sale <sup>25</sup>
	6.000	46.20	06/24/20	62.32	16.12 W	0.00	781 of 1849 - Sale <sup>25</sup>
	6.000	46.19	06/24/20	64.87	18.68 W	0.00	782 of 1849 - Sale <sup>25</sup>
	7.000	53.89	06/24/20	75.77	21.88 W	0.00	783 of 1849 - Sale <sup>25</sup>
	8.780	67.60	06/24/20	91.18	23.58 W	0.00	784 of 1849 - Sale <sup>25</sup>
	9.220	70.99	06/24/20	95.77	24.78 W	0.00	785 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/24/20	8.47	2.46 W	0.00	786 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.86	3.16 W	0.00	787 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.92	3.22 W	0.00	788 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.92	3.22 W	0.00	789 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.86	3.16 W	0.00	790 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.74	6.34 W	0.00	791 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.72	6.32 W	0.00	792 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/24/20	33.00	9.90 W	0.00	793 of 1849 - Sale <sup>25</sup>
	3.220	24.79	06/24/20	35.18	10.39 W	0.00	794 of 1849 - Sale <sup>25</sup>
	3.780	29.10	06/24/20	41.26	12.16 W	0.00	795 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/24/20	43.49	12.69 W	0.00	796 of 1849 - Sale <sup>25</sup>
	4.000	30.80	06/24/20	43.42	12.62 W	0.00	797 of 1849 - Sale <sup>25</sup>
	5.000	38.49	06/24/20	54.62	16.13 W	0.00	798 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/24/20	8.51	2.50 W	0.00	799 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.91	3.21 W	0.00	800 of 1849 - Sale <sup>25</sup>
	1.000	7.70	06/24/20	10.87	3.17 W	0.00	801 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.70	06/24/20	10.87	3.17 W	0.00	802 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/24/20	13.29	3.90 W	0.00	803 of 1849 - Sale <sup>25</sup>
	1.780	13.70	06/24/20	19.35	5.65 W	0.00	804 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.70	6.30 W	0.00	805 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	21.71	6.31 W	0.00	806 of 1849 - Sale <sup>25</sup>
	2.220	17.09	06/24/20	24.10	7.01 W	0.00	807 of 1849 - Sale <sup>25</sup>
	2.220	17.09	06/24/20	22.50	5.41 W	0.00	808 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/24/20	32.69	9.59 W	0.00	809 of 1849 - Sale <sup>25</sup>
	5.000	38.50	06/24/20	50.82	12.32 W	0.00	810 of 1849 - Sale <sup>25</sup>
	5.780	44.50	06/24/20	62.39	17.89 W	0.00	811 of 1849 - Sale <sup>25</sup>
	6.000	46.19	06/24/20	65.16	18.97 W	0.00	812 of 1849 - Sale <sup>25</sup>
	7.000	53.89	06/24/20	75.99	22.10 W	0.00	813 of 1849 - Sale <sup>25</sup>
	10.220	78.68	06/24/20	111.37	32.69 W	0.00	814 of 1849 - Sale <sup>25</sup>
	16.000	123.18	06/24/20	174.53	51.35 W	0.00	815 of 1849 - Sale <sup>25</sup>
	0.780	6.01	06/24/20	7.90	1.89 W	0.00	816 of 1849 - Sale <sup>25</sup>
	1.220	9.39	06/24/20	12.29	2.90 W	0.00	817 of 1849 - Sale <sup>25</sup>
	2.000	15.40	06/24/20	20.16	4.76 W	0.00	818 of 1849 - Sale <sup>25</sup>
	3.000	23.09	06/24/20	30.24	7.15 W	0.00	819 of 1849 - Sale <sup>25</sup>
	3.000	23.10	06/24/20	30.25	7.15 W	0.00	820 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.36	2.67 W	0.00	821 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.29	0.60 W	0.00	822 of 1849 - Sale <sup>25</sup>
	0.780	6.00	06/24/20	8.10	2.10 W	0.00	823 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.35	0.66 W	0.00	824 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.38	0.69 W	0.00	825 of 1849 - Sale <sup>25</sup>
	0.780	6.00	06/24/20	8.13	2.13 W	0.00	826 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.41	2.72 W	0.00	827 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.39	2.70 W	0.00	828 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.39	2.70 W	0.00	829 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.29	2.60 W	0.00	830 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.77	3.08 W	0.00	831 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.60	2.91 W	0.00	832 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.55	2.86 W	0.00	833 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.58	2.89 W	0.00	834 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.57	2.88 W	0.00	835 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.69	06/24/20	10.58	2.89 W	0.00	836 of 1849 - Sale <sup>25</sup>
	1.220	9.38	06/24/20	12.73	3.35 W	0.00	837 of 1849 - Sale <sup>25</sup>
	1.780	13.69	06/24/20	18.79	5.10 W	0.00	838 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.84	5.46 W	0.00	839 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.79	5.41 W	0.00	840 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.63	5.25 W	0.00	841 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.48	6.10 W	0.00	842 of 1849 - Sale <sup>25</sup>
	2.220	17.07	06/24/20	23.88	6.81 W	0.00	843 of 1849 - Sale <sup>25</sup>
	2.220	17.07	06/24/20	23.46	6.39 W	0.00	844 of 1849 - Sale <sup>25</sup>
	2.780	21.38	06/24/20	28.97	7.59 W	0.00	845 of 1849 - Sale <sup>25</sup>
	2.780	21.38	06/24/20	29.35	7.97 W	0.00	846 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.34	9.27 W	0.00	847 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.75	8.68 W	0.00	848 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	42.21	11.45 W	0.00	849 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	42.33	11.57 W	0.00	850 of 1849 - Sale <sup>25</sup>
	4.780	36.76	06/24/20	51.57	14.81 W	0.00	851 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	64.08	17.94 W	0.00	852 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	75.52	21.69 W	0.00	853 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	86.36	24.84 W	0.00	854 of 1849 - Sale <sup>25</sup>
	9.000	69.21	06/24/20	95.18	25.97 W	0.00	855 of 1849 - Sale <sup>25</sup>
	9.000	69.21	06/24/20	96.17	26.96 W	0.00	856 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	105.65	28.75 W	0.00	857 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.25	0.56 W	0.00	858 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/24/20	4.30	1.07 W	0.00	859 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/24/20	4.25	1.02 W	0.00	860 of 1849 - Sale <sup>25</sup>
	0.580	4.46	06/24/20	5.92	1.46 W	0.00	861 of 1849 - Sale <sup>25</sup>
	0.780	6.00	06/24/20	7.97	1.97 W	0.00	862 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.90	3.21 W	0.00	863 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.24	2.55 W	0.00	864 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.27	2.58 W	0.00	865 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.20	2.51 W	0.00	866 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.27	2.58 W	0.00	867 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.16	2.47 W	0.00	868 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.21	2.52 W	0.00	869 of 1849 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.69	06/24/20	10.19	2.50 W	0.00	870 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.19	2.50 W	0.00	871 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.18	2.49 W	0.00	872 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.15	2.46 W	0.00	873 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.16	2.47 W	0.00	874 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.17	2.48 W	0.00	875 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.17	2.48 W	0.00	876 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.97	5.59 W	0.00	877 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.50	5.12 W	0.00	878 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.24	4.86 W	0.00	879 of 1849 - Sale <sup>25</sup>
	2.420	18.61	06/24/20	26.31	7.70 W	0.00	880 of 1849 - Sale <sup>25</sup>
	2.580	19.84	06/24/20	28.22	8.38 W	0.00	881 of 1849 - Sale <sup>25</sup>
	2.580	19.84	06/24/20	26.13	6.29 W	0.00	882 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.65	7.58 W	0.00	883 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.67	7.60 W	0.00	884 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.70	7.63 W	0.00	885 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.45	7.38 W	0.00	886 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.46	7.39 W	0.00	887 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.47	7.40 W	0.00	888 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.56	7.49 W	0.00	889 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	30.49	7.42 W	0.00	890 of 1849 - Sale <sup>25</sup>
	3.420	26.30	06/24/20	37.38	11.08 W	0.00	891 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	40.77	10.01 W	0.00	892 of 1849 - Sale <sup>25</sup>
	4.580	35.22	06/24/20	46.40	11.18 W	0.00	893 of 1849 - Sale <sup>25</sup>
	5.420	41.68	06/24/20	54.89	13.21 W	0.00	894 of 1849 - Sale <sup>25</sup>
	6.580	50.60	06/24/20	71.70	21.10 W	0.00	895 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	71.08	17.25 W	0.00	896 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	81.62	20.10 W	0.00	897 of 1849 - Sale <sup>25</sup>
	9.580	73.67	06/24/20	101.08	27.41 W	0.00	898 of 1849 - Sale <sup>25</sup>
	10.420	80.13	06/24/20	105.53	25.40 W	0.00	899 of 1849 - Sale <sup>25</sup>
	0.780	6.00	06/24/20	8.44	2.44 W	0.00	900 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.33	0.64 W	0.00	901 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.20	0.51 W	0.00	902 of 1849 - Sale <sup>25</sup>
	0.780	6.00	06/24/20	8.28	2.28 W	0.00	903 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.780	6.00	06/24/20	8.01	2.01 W	0.00	904 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.33	2.64 W	0.00	905 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.66	2.97 W	0.00	906 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.65	2.96 W	0.00	907 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.72	3.03 W	0.00	908 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.63	2.94 W	0.00	909 of 1849 - Sale <sup>25</sup>
	1.220	9.38	06/24/20	12.98	3.60 W	0.00	910 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.30	5.92 W	0.00	911 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.21	5.83 W	0.00	912 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.23	5.85 W	0.00	913 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.52	5.14 W	0.00	914 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.46	5.08 W	0.00	915 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.19	4.81 W	0.00	916 of 1849 - Sale <sup>25</sup>
	2.780	21.38	06/24/20	29.53	8.15 W	0.00	917 of 1849 - Sale <sup>25</sup>
	2.780	21.38	06/24/20	28.33	6.95 W	0.00	918 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.87	8.80 W	0.00	919 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	53.26	14.81 W	0.00	920 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	53.10	14.65 W	0.00	921 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	51.21	12.76 W	0.00	922 of 1849 - Sale <sup>25</sup>
	5.220	40.14	06/24/20	53.21	13.07 W	0.00	923 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	63.93	17.79 W	0.00	924 of 1849 - Sale <sup>25</sup>
	6.220	47.83	06/24/20	66.50	18.67 W	0.00	925 of 1849 - Sale <sup>25</sup>
	7.780	59.83	06/24/20	79.68	19.85 W	0.00	926 of 1849 - Sale <sup>25</sup>
	8.220	63.21	06/24/20	84.19	20.98 W	0.00	927 of 1849 - Sale <sup>25</sup>
	9.000	69.21	06/24/20	95.69	26.48 W	0.00	928 of 1849 - Sale <sup>25</sup>
	0.580	4.46	06/24/20	5.86	1.40 W	0.00	929 of 1849 - Sale <sup>25</sup>
	0.580	4.46	06/24/20	6.36	1.90 W	0.00	930 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.02	3.33 W	0.00	931 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.01	3.32 W	0.00	932 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.97	6.59 W	0.00	933 of 1849 - Sale <sup>25</sup>
	5.420	41.68	06/24/20	59.51	17.83 W	0.00	934 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	110.17	33.27 W	0.00	935 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.53	2.84 W	0.00	936 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.53	2.84 W	0.00	937 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.420	10.92	06/24/20	14.97	4.05 W	0.00	938 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.07	5.69 W	0.00	939 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.07	5.69 W	0.00	940 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.03	5.65 W	0.00	941 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	42.36	11.60 W	0.00	942 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	52.56	14.11 W	0.00	943 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	63.45	17.31 W	0.00	944 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.16	0.47 W	0.00	945 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/24/20	3.59	0.82 W	0.00	946 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/24/20	4.23	1.00 W	0.00	947 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.69	2.00 W	0.00	948 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.86	2.17 W	0.00	949 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.85	2.16 W	0.00	950 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.89	2.20 W	0.00	951 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.84	2.15 W	0.00	952 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.71	2.02 W	0.00	953 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.70	2.01 W	0.00	954 of 1849 - Sale <sup>25</sup>
	1.780	13.69	06/24/20	17.24	3.55 W	0.00	955 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.32	3.94 W	0.00	956 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.29	3.91 W	0.00	957 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.23	3.85 W	0.00	958 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.71	4.33 W	0.00	959 of 1849 - Sale <sup>25</sup>
	2.220	17.07	06/24/20	21.59	4.52 W	0.00	960 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	29.57	6.50 W	0.00	961 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	29.55	6.48 W	0.00	962 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	29.33	6.26 W	0.00	963 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	48.28	9.83 W	0.00	964 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	48.88	10.43 W	0.00	965 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	48.33	9.88 W	0.00	966 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	48.29	9.84 W	0.00	967 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	58.94	12.80 W	0.00	968 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.28	0.59 W	0.00	969 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.79	2.10 W	0.00	970 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.68	1.99 W	0.00	971 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.69	06/24/20	9.51	1.82 W	0.00	972 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.36	1.67 W	0.00	973 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.35	1.66 W	0.00	974 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.20	1.51 W	0.00	975 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.07	1.38 W	0.00	976 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.08	1.39 W	0.00	977 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.09	1.40 W	0.00	978 of 1849 - Sale <sup>25</sup>
	1.780	13.69	06/24/20	17.55	3.86 W	0.00	979 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.60	4.22 W	0.00	980 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.72	4.34 W	0.00	981 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.87	3.49 W	0.00	982 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.66	3.28 W	0.00	983 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.70	3.32 W	0.00	984 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.07	2.69 W	0.00	985 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.17	2.79 W	0.00	986 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.17	2.79 W	0.00	987 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.07	2.69 W	0.00	988 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	29.49	6.42 W	0.00	989 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	29.76	6.69 W	0.00	990 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	28.53	5.46 W	0.00	991 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	28.46	5.39 W	0.00	992 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	28.25	5.18 W	0.00	993 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	39.36	8.60 W	0.00	994 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	38.83	8.07 W	0.00	995 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	36.34	5.58 W	0.00	996 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	47.53	9.08 W	0.00	997 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	45.20	6.75 W	0.00	998 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	45.42	6.97 W	0.00	999 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	54.19	8.05 W	0.00	1000 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	69.66	15.83 W	0.00	1001 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	76.11	14.59 W	0.00	1002 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	71.99	10.47 W	0.00	1003 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.27	0.58 W	0.00	1004 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.39	2.70 W	0.00	1005 of 1849 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.69	06/24/20	10.40	2.71 W	0.00	1006 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.39	2.70 W	0.00	1007 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.37	2.68 W	0.00	1008 of 1849 - Sale <sup>25</sup>
	1.220	9.38	06/24/20	12.68	3.30 W	0.00	1009 of 1849 - Sale <sup>25</sup>
	1.420	10.92	06/24/20	14.73	3.81 W	0.00	1010 of 1849 - Sale <sup>25</sup>
	2.780	21.38	06/24/20	28.89	7.51 W	0.00	1011 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.18	8.11 W	0.00	1012 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.16	8.09 W	0.00	1013 of 1849 - Sale <sup>25</sup>
	3.140	24.15	06/24/20	32.61	8.46 W	0.00	1014 of 1849 - Sale <sup>25</sup>
	3.420	26.30	06/24/20	35.47	9.17 W	0.00	1015 of 1849 - Sale <sup>25</sup>
	3.580	27.53	06/24/20	37.15	9.62 W	0.00	1016 of 1849 - Sale <sup>25</sup>
	3.780	29.07	06/24/20	39.30	10.23 W	0.00	1017 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	41.54	10.78 W	0.00	1018 of 1849 - Sale <sup>25</sup>
	6.220	47.83	06/24/20	64.61	16.78 W	0.00	1019 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	72.71	18.88 W	0.00	1020 of 1849 - Sale <sup>25</sup>
	8.580	65.98	06/24/20	89.12	23.14 W	0.00	1021 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.01	0.32 W	0.00	1022 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/24/20	3.78	0.55 W	0.00	1023 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.02	1.33 W	0.00	1024 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.04	1.35 W	0.00	1025 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.05	1.36 W	0.00	1026 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.03	1.34 W	0.00	1027 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.03	1.34 W	0.00	1028 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.99	1.30 W	0.00	1029 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.99	1.30 W	0.00	1030 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	17.87	2.49 W	0.00	1031 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.06	2.68 W	0.00	1032 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.07	2.69 W	0.00	1033 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.07	2.69 W	0.00	1034 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	27.09	4.02 W	0.00	1035 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	35.77	5.01 W	0.00	1036 of 1849 - Sale <sup>25</sup>
	9.000	69.21	06/24/20	81.48	12.27 W	0.00	1037 of 1849 - Sale <sup>25</sup>
	13.000	99.97	06/24/20	117.04	17.07 W	0.00	1038 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/24/20	3.29	0.52 W	0.00	1039 of 1849 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.420	3.23	06/24/20	3.86	0.63 W	0.00	1040 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.16	1.47 W	0.00	1041 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.16	1.47 W	0.00	1042 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.19	1.50 W	0.00	1043 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	18.31	2.93 W	0.00	1044 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	27.56	4.49 W	0.00	1045 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	36.57	5.81 W	0.00	1046 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/24/20	4.13	0.90 W	0.00	1047 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.57	1.88 W	0.00	1048 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.58	1.89 W	0.00	1049 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.66	1.97 W	0.00	1050 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.65	1.96 W	0.00	1051 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.63	1.94 W	0.00	1052 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.60	1.91 W	0.00	1053 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	9.88	2.19 W	0.00	1054 of 1849 - Sale <sup>25</sup>
	1.580	12.15	06/24/20	15.11	2.96 W	0.00	1055 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.33	3.95 W	0.00	1056 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.26	3.88 W	0.00	1057 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.20	3.82 W	0.00	1058 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	19.76	4.38 W	0.00	1059 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	28.91	5.84 W	0.00	1060 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	28.91	5.84 W	0.00	1061 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	38.48	7.72 W	0.00	1062 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	39.49	8.73 W	0.00	1063 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	39.28	8.52 W	0.00	1064 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	47.83	9.38 W	0.00	1065 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	57.78	11.64 W	0.00	1066 of 1849 - Sale <sup>25</sup>
	12.000	92.28	06/24/20	115.61	23.33 W	0.00	1067 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	1.76	0.07 W	0.00	1068 of 1849 - Sale <sup>25</sup>
	13.000	99.97	06/24/20	103.60	3.63 W	0.00	1069 of 1849 - Sale <sup>25</sup>
	16.000	123.04	06/24/20	127.52	4.48 W	0.00	1070 of 1849 - Sale <sup>25</sup>
	0.100	0.77	06/24/20	0.97	0.20 W	0.00	1071 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.51	2.82 W	0.00	1072 of 1849 - Sale <sup>25</sup>
	1.900	14.61	06/24/20	19.75	5.14 W	0.00	1073 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.38	06/24/20	20.95	5.57 W	0.00	1074 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.98	5.60 W	0.00	1075 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.16	8.09 W	0.00	1076 of 1849 - Sale <sup>25</sup>
	3.100	23.84	06/24/20	32.20	8.36 W	0.00	1077 of 1849 - Sale <sup>25</sup>
	3.900	29.99	06/24/20	38.20	8.21 W	0.00	1078 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	42.00	11.24 W	0.00	1079 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	52.45	14.00 W	0.00	1080 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/24/20	4.21	0.98 W	0.00	1081 of 1849 - Sale <sup>25</sup>
	0.580	4.46	06/24/20	5.82	1.36 W	0.00	1082 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.03	2.34 W	0.00	1083 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.03	2.34 W	0.00	1084 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.03	2.34 W	0.00	1085 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.03	2.34 W	0.00	1086 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.01	2.32 W	0.00	1087 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.06	2.37 W	0.00	1088 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.07	4.69 W	0.00	1089 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.07	4.69 W	0.00	1090 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.13	4.75 W	0.00	1091 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.19	4.81 W	0.00	1092 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.32	4.94 W	0.00	1093 of 1849 - Sale <sup>25</sup>
	2.580	19.84	06/24/20	25.38	5.54 W	0.00	1094 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.17	8.10 W	0.00	1095 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	39.35	8.59 W	0.00	1096 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	40.04	9.28 W	0.00	1097 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	40.26	9.50 W	0.00	1098 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	50.41	11.96 W	0.00	1099 of 1849 - Sale <sup>25</sup>
	5.420	41.68	06/24/20	54.53	12.85 W	0.00	1100 of 1849 - Sale <sup>25</sup>
	5.580	42.91	06/24/20	56.27	13.36 W	0.00	1101 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.28	0.59 W	0.00	1102 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	47.99	1.85 W	0.00	1103 of 1849 - Sale <sup>25</sup>
	6.220	47.83	06/24/20	49.63	1.80 W	0.00	1104 of 1849 - Sale <sup>25</sup>
	6.360	48.91	06/24/20	52.63	3.72 W	0.00	1105 of 1849 - Sale <sup>25</sup>
	6.560	50.44	06/24/20	52.35	1.91 W	0.00	1106 of 1849 - Sale <sup>25</sup>
	6.780	52.14	06/24/20	56.07	3.93 W	0.00	1107 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	11.640	89.51	06/24/20	97.53	8.02 W	0.00	1108 of 1849 - Sale <sup>25</sup>
	13.860	106.58	06/24/20	114.71	8.13 W	0.00	1109 of 1849 - Sale <sup>25</sup>
	65.000	499.83	06/24/20	519.83	20.00 W	0.00	1110 of 1849 - Sale <sup>25</sup>
	2.640	20.30	06/24/20	21.79	1.49 W	0.00	1111 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	49.49	3.35 W	0.00	1112 of 1849 - Sale <sup>25</sup>
	6.360	48.91	06/24/20	52.09	3.18 W	0.00	1113 of 1849 - Sale <sup>25</sup>
	6.640	51.06	06/24/20	54.78	3.72 W	0.00	1114 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	66.03	4.51 W	0.00	1115 of 1849 - Sale <sup>25</sup>
	9.780	75.21	06/24/20	80.75	5.54 W	0.00	1116 of 1849 - Sale <sup>25</sup>
	13.860	106.58	06/24/20	113.54	6.96 W	0.00	1117 of 1849 - Sale <sup>25</sup>
	20.360	156.56	06/24/20	167.76	11.20 W	0.00	1118 of 1849 - Sale <sup>25</sup>
	26.360	202.70	06/24/20	217.67	14.97 W	0.00	1119 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.27	0.58 W	0.00	1120 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.36	0.67 W	0.00	1121 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.35	0.66 W	0.00	1122 of 1849 - Sale <sup>25</sup>
	2.580	19.84	06/24/20	21.58	1.74 W	0.00	1123 of 1849 - Sale <sup>25</sup>
	2.640	20.30	06/24/20	21.75	1.45 W	0.00	1124 of 1849 - Sale <sup>25</sup>
	2.860	21.99	06/24/20	23.93	1.94 W	0.00	1125 of 1849 - Sale <sup>25</sup>
	6.360	48.91	06/24/20	53.14	4.23 W	0.00	1126 of 1849 - Sale <sup>25</sup>
	6.780	52.14	06/24/20	56.76	4.62 W	0.00	1127 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	65.75	4.23 W	0.00	1128 of 1849 - Sale <sup>25</sup>
	9.780	75.21	06/24/20	80.11	4.90 W	0.00	1129 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	82.14	5.24 W	0.00	1130 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	83.45	6.55 W	0.00	1131 of 1849 - Sale <sup>25</sup>
	11.640	89.51	06/24/20	97.29	7.78 W	0.00	1132 of 1849 - Sale <sup>25</sup>
	26.360	202.70	06/24/20	216.73	14.03 W	0.00	1133 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	83.43	6.53 W	0.00	1134 of 1849 - Sale <sup>25</sup>
	1.360	10.46	06/24/20	11.35	0.89 W	0.00	1135 of 1849 - Sale <sup>25</sup>
	2.860	21.99	06/24/20	23.84	1.85 W	0.00	1136 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	83.47	6.57 W	0.00	1137 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	83.37	6.47 W	0.00	1138 of 1849 - Sale <sup>25</sup>
	14.000	107.66	06/24/20	116.72	9.06 W	0.00	1139 of 1849 - Sale <sup>25</sup>
	16.000	123.03	06/24/20	133.41	10.38 W	0.00	1140 of 1849 - Sale <sup>25</sup>
	18.780	144.41	06/24/20	156.76	12.35 W	0.00	1141 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	27.000	207.62	06/24/20	225.39	17.77 W	0.00	1142 of 1849 - Sale <sup>25</sup>
	0.900	6.92	06/24/20	9.41	2.49 W	0.00	1143 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.43	2.74 W	0.00	1144 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.98	5.60 W	0.00	1145 of 1849 - Sale <sup>25</sup>
	2.100	16.15	06/24/20	21.93	5.78 W	0.00	1146 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.32	8.25 W	0.00	1147 of 1849 - Sale <sup>25</sup>
	4.220	32.45	06/24/20	44.03	11.58 W	0.00	1148 of 1849 - Sale <sup>25</sup>
	0.360	2.77	06/24/20	3.01	0.24 W	0.00	1149 of 1849 - Sale <sup>25</sup>
	0.640	4.92	06/24/20	5.27	0.35 W	0.00	1150 of 1849 - Sale <sup>25</sup>
	1.640	12.61	06/24/20	13.66	1.05 W	0.00	1151 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	33.31	2.55 W	0.00	1152 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	41.28	2.83 W	0.00	1153 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	41.29	2.84 W	0.00	1154 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	41.67	3.22 W	0.00	1155 of 1849 - Sale <sup>25</sup>
	6.640	51.06	06/24/20	55.32	4.26 W	0.00	1156 of 1849 - Sale <sup>25</sup>
	7.140	54.90	06/24/20	59.46	4.56 W	0.00	1157 of 1849 - Sale <sup>25</sup>
	8.360	64.29	06/24/20	69.04	4.75 W	0.00	1158 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	83.29	6.39 W	0.00	1159 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	83.30	6.40 W	0.00	1160 of 1849 - Sale <sup>25</sup>
	23.000	176.86	06/24/20	193.22	16.36 W	0.00	1161 of 1849 - Sale <sup>25</sup>
	0.420	3.23	06/24/20	4.39	1.16 W	0.00	1162 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.42	2.73 W	0.00	1163 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.43	2.74 W	0.00	1164 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.38	2.69 W	0.00	1165 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.39	2.70 W	0.00	1166 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.37	2.68 W	0.00	1167 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.47	2.78 W	0.00	1168 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.48	2.79 W	0.00	1169 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.42	2.73 W	0.00	1170 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.74	3.05 W	0.00	1171 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.36	2.67 W	0.00	1172 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.15	3.46 W	0.00	1173 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.16	3.47 W	0.00	1174 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.13	3.44 W	0.00	1175 of 1849 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.360	10.46	06/24/20	14.18	3.72 W	0.00	1176 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.84	5.46 W	0.00	1177 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.84	5.46 W	0.00	1178 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.84	5.46 W	0.00	1179 of 1849 - Sale <sup>25</sup>
	2.100	16.15	06/24/20	23.53	7.38 W	0.00	1180 of 1849 - Sale <sup>25</sup>
	2.220	17.07	06/24/20	24.60	7.53 W	0.00	1181 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.09	8.02 W	0.00	1182 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.29	8.22 W	0.00	1183 of 1849 - Sale <sup>25</sup>
	3.900	29.99	06/24/20	43.69	13.70 W	0.00	1184 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	41.62	10.86 W	0.00	1185 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	41.45	10.69 W	0.00	1186 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	41.43	10.67 W	0.00	1187 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	52.06	13.61 W	0.00	1188 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	51.75	13.30 W	0.00	1189 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	52.20	13.75 W	0.00	1190 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	52.23	13.78 W	0.00	1191 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	51.81	13.36 W	0.00	1192 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	55.90	17.45 W	0.00	1193 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	63.68	17.54 W	0.00	1194 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	73.14	19.31 W	0.00	1195 of 1849 - Sale <sup>25</sup>
	10.000	76.90	06/24/20	104.22	27.32 W	0.00	1196 of 1849 - Sale <sup>25</sup>
	1.780	13.69	06/24/20	19.71	6.02 W	0.00	1197 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	22.15	6.77 W	0.00	1198 of 1849 - Sale <sup>25</sup>
	6.220	47.83	06/24/20	68.83	21.00 W	0.00	1199 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.09	3.40 W	0.00	1200 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.07	3.38 W	0.00	1201 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.08	3.39 W	0.00	1202 of 1849 - Sale <sup>25</sup>
	1.780	13.69	06/24/20	19.71	6.02 W	0.00	1203 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	22.05	6.67 W	0.00	1204 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	22.14	6.76 W	0.00	1205 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	33.21	10.14 W	0.00	1206 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	33.21	10.14 W	0.00	1207 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	44.25	13.49 W	0.00	1208 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	44.36	13.60 W	0.00	1209 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.76	06/24/20	44.31	13.55 W	0.00	1210 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	66.56	20.42 W	0.00	1211 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	77.50	23.67 W	0.00	1212 of 1849 - Sale <sup>25</sup>
	6.360	48.91	06/24/20	53.44	4.53 W	0.00	1213 of 1849 - Sale <sup>25</sup>
	23.000	176.86	06/24/20	193.23	16.37 W	0.00	1214 of 1849 - Sale <sup>25</sup>
	30.860	237.30	06/24/20	256.83	19.53 W	0.00	1215 of 1849 - Sale <sup>25</sup>
	1.360	10.46	06/24/20	11.31	0.85 W	0.00	1216 of 1849 - Sale <sup>25</sup>
	6.640	51.06	06/24/20	55.22	4.16 W	0.00	1217 of 1849 - Sale <sup>25</sup>
	8.780	67.51	06/24/20	73.04	5.53 W	0.00	1218 of 1849 - Sale <sup>25</sup>
	37.120	285.44	06/24/20	308.83	23.39 W	0.00	1219 of 1849 - Sale <sup>25</sup>
	46.100	354.49	06/24/20	383.57	29.08 W	0.00	1220 of 1849 - Sale <sup>25</sup>
	3.220	24.76	06/24/20	26.20	1.44 W	0.00	1221 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	49.54	3.40 W	0.00	1222 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	48.73	2.59 W	0.00	1223 of 1849 - Sale <sup>25</sup>
	6.640	51.06	06/24/20	54.77	3.71 W	0.00	1224 of 1849 - Sale <sup>25</sup>
	10.140	77.97	06/24/20	84.34	6.37 W	0.00	1225 of 1849 - Sale <sup>25</sup>
	27.000	207.62	06/24/20	219.31	11.69 W	0.00	1226 of 1849 - Sale <sup>25</sup>
	41.000	315.27	06/24/20	333.01	17.74 W	0.00	1227 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.40	0.71 W	0.00	1228 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.12	3.43 W	0.00	1229 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.10	3.41 W	0.00	1230 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.13	3.44 W	0.00	1231 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.09	3.40 W	0.00	1232 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.11	3.42 W	0.00	1233 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.10	3.41 W	0.00	1234 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.09	3.40 W	0.00	1235 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.11	3.42 W	0.00	1236 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.04	3.35 W	0.00	1237 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.04	3.35 W	0.00	1238 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.03	3.34 W	0.00	1239 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.04	3.35 W	0.00	1240 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.83	3.14 W	0.00	1241 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.90	3.21 W	0.00	1242 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	22.24	6.86 W	0.00	1243 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.38	06/24/20	22.16	6.78 W	0.00	1244 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	22.27	6.89 W	0.00	1245 of 1849 - Sale <sup>25</sup>
	2.900	22.30	06/24/20	32.04	9.74 W	0.00	1246 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	33.33	10.26 W	0.00	1247 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	33.33	10.26 W	0.00	1248 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	33.35	10.28 W	0.00	1249 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.68	9.61 W	0.00	1250 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	44.56	13.80 W	0.00	1251 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	55.47	17.02 W	0.00	1252 of 1849 - Sale <sup>25</sup>
	5.100	39.22	06/24/20	56.34	17.12 W	0.00	1253 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	66.85	20.71 W	0.00	1254 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	65.28	19.14 W	0.00	1255 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	77.78	23.95 W	0.00	1256 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	77.33	23.50 W	0.00	1257 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	88.46	26.94 W	0.00	1258 of 1849 - Sale <sup>25</sup>
	9.000	69.21	06/24/20	99.82	30.61 W	0.00	1259 of 1849 - Sale <sup>25</sup>
	0.780	6.00	06/24/20	6.39	0.39 W	0.00	1260 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.18	0.49 W	0.00	1261 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.13	0.44 W	0.00	1262 of 1849 - Sale <sup>25</sup>
	1.580	12.15	06/24/20	12.92	0.77 W	0.00	1263 of 1849 - Sale <sup>25</sup>
	2.360	18.15	06/24/20	19.16	1.01 W	0.00	1264 of 1849 - Sale <sup>25</sup>
	2.640	20.30	06/24/20	21.45	1.15 W	0.00	1265 of 1849 - Sale <sup>25</sup>
	3.420	26.30	06/24/20	27.94	1.64 W	0.00	1266 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	32.45	1.69 W	0.00	1267 of 1849 - Sale <sup>25</sup>
	4.100	31.53	06/24/20	33.10	1.57 W	0.00	1268 of 1849 - Sale <sup>25</sup>
	7.900	60.75	06/24/20	63.78	3.03 W	0.00	1269 of 1849 - Sale <sup>25</sup>
	8.000	61.52	06/24/20	64.58	3.06 W	0.00	1270 of 1849 - Sale <sup>25</sup>
	11.000	84.58	06/24/20	88.80	4.22 W	0.00	1271 of 1849 - Sale <sup>25</sup>
	11.580	89.04	06/24/20	93.96	4.92 W	0.00	1272 of 1849 - Sale <sup>25</sup>
	13.900	106.88	06/24/20	112.34	5.46 W	0.00	1273 of 1849 - Sale <sup>25</sup>
	34.520	265.44	06/24/20	279.00	13.56 W	0.00	1274 of 1849 - Sale <sup>25</sup>
	0.100	0.77	06/24/20	1.08	0.31 W	0.00	1275 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.94	3.25 W	0.00	1276 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.94	3.25 W	0.00	1277 of 1849 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.69	06/24/20	10.92	3.23 W	0.00	1278 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.85	3.16 W	0.00	1279 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.87	3.18 W	0.00	1280 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.79	3.10 W	0.00	1281 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.79	3.10 W	0.00	1282 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.79	3.10 W	0.00	1283 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.98	3.29 W	0.00	1284 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.99	3.30 W	0.00	1285 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.87	3.18 W	0.00	1286 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.88	3.19 W	0.00	1287 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.78	3.09 W	0.00	1288 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.78	3.09 W	0.00	1289 of 1849 - Sale <sup>25</sup>
	1.900	14.61	06/24/20	20.45	5.84 W	0.00	1290 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.70	6.32 W	0.00	1291 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.86	6.48 W	0.00	1292 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.87	6.49 W	0.00	1293 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.65	6.27 W	0.00	1294 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.74	6.36 W	0.00	1295 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.54	6.16 W	0.00	1296 of 1849 - Sale <sup>25</sup>
	2.360	18.15	06/24/20	25.93	7.78 W	0.00	1297 of 1849 - Sale <sup>25</sup>
	2.640	20.30	06/24/20	28.98	8.68 W	0.00	1298 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.58	9.51 W	0.00	1299 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.37	9.30 W	0.00	1300 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.68	9.61 W	0.00	1301 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.55	9.48 W	0.00	1302 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.50	9.43 W	0.00	1303 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.34	9.27 W	0.00	1304 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	43.71	12.95 W	0.00	1305 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	43.74	12.98 W	0.00	1306 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	43.66	12.90 W	0.00	1307 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	43.93	13.17 W	0.00	1308 of 1849 - Sale <sup>25</sup>
	4.360	33.53	06/24/20	47.35	13.82 W	0.00	1309 of 1849 - Sale <sup>25</sup>
	4.420	33.99	06/24/20	47.99	14.00 W	0.00	1310 of 1849 - Sale <sup>25</sup>
	5.000	38.45	06/24/20	54.30	15.85 W	0.00	1311 of 1849 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.220	40.14	06/24/20	56.19	16.05 W	0.00	1312 of 1849 - Sale <sup>25</sup>
	6.000	46.14	06/24/20	65.15	19.01 W	0.00	1313 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	76.45	22.62 W	0.00	1314 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	76.24	22.41 W	0.00	1315 of 1849 - Sale <sup>25</sup>
	7.000	53.83	06/24/20	75.66	21.83 W	0.00	1316 of 1849 - Sale <sup>25</sup>
	8.000	61.51	06/24/20	86.18	24.67 W	0.00	1317 of 1849 - Sale <sup>25</sup>
	11.000	84.58	06/24/20	119.29	34.71 W	0.00	1318 of 1849 - Sale <sup>25</sup>
	13.000	99.96	06/24/20	140.30	40.34 W	0.00	1319 of 1849 - Sale <sup>25</sup>
	1.220	9.38	06/24/20	9.71	0.33 W	0.00	1320 of 1849 - Sale <sup>25</sup>
	2.260	17.38	06/24/20	17.95	0.57 W	0.00	1321 of 1849 - Sale <sup>25</sup>
	2.360	18.15	06/24/20	18.94	0.79 W	0.00	1322 of 1849 - Sale <sup>25</sup>
	2.640	20.30	06/24/20	21.01	0.71 W	0.00	1323 of 1849 - Sale <sup>25</sup>
	5.100	39.21	06/24/20	40.55	1.34 W	0.00	1324 of 1849 - Sale <sup>25</sup>
	7.000	53.82	06/24/20	55.56	1.74 W	0.00	1325 of 1849 - Sale <sup>25</sup>
	7.000	53.82	06/24/20	55.52	1.70 W	0.00	1326 of 1849 - Sale <sup>25</sup>
	27.420	210.84	06/24/20	217.43	6.59 W	0.00	1327 of 1849 - Sale <sup>25</sup>
	0.220	1.69	06/24/20	2.30	0.61 W	0.00	1328 of 1849 - Sale <sup>25</sup>
	0.640	4.92	06/24/20	6.75	1.83 W	0.00	1329 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.70	3.01 W	0.00	1330 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.93	3.24 W	0.00	1331 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	11.00	3.31 W	0.00	1332 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.97	3.28 W	0.00	1333 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.50	2.81 W	0.00	1334 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.50	2.81 W	0.00	1335 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.52	2.83 W	0.00	1336 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.51	2.82 W	0.00	1337 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.52	2.83 W	0.00	1338 of 1849 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	10.64	2.95 W	0.00	1339 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	21.91	6.53 W	0.00	1340 of 1849 - Sale <sup>25</sup>
	2.000	15.38	06/24/20	20.98	5.60 W	0.00	1341 of 1849 - Sale <sup>25</sup>
	2.900	22.30	06/24/20	31.79	9.49 W	0.00	1342 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	32.95	9.88 W	0.00	1343 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.85	8.78 W	0.00	1344 of 1849 - Sale <sup>25</sup>
	3.000	23.07	06/24/20	31.85	8.78 W	0.00	1345 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.100	23.84	06/24/20	33.96	10.12 W	0.00	1346 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	42.10	11.34 W	0.00	1347 of 1849 - Sale <sup>25</sup>
	4.000	30.76	06/24/20	42.15	11.39 W	0.00	1348 of 1849 - Sale <sup>25</sup>
	4.360	33.52	06/24/20	46.29	12.77 W	0.00	1349 of 1849 - Sale <sup>25</sup>
	4.640	35.67	06/24/20	49.40	13.73 W	0.00	1350 of 1849 - Sale <sup>25</sup>
	6.000	46.13	06/24/20	62.88	16.75 W	0.00	1351 of 1849 - Sale <sup>25</sup>
	6.780	52.13	06/24/20	72.72	20.59 W	0.00	1352 of 1849 - Sale <sup>25</sup>
	8.000	61.51	06/24/20	84.92	23.41 W	0.00	1353 of 1849 - Sale <sup>25</sup>
	8.360	64.28	06/24/20	88.99	24.71 W	0.00	1354 of 1849 - Sale <sup>25</sup>
	9.000	69.20	06/24/20	98.57	29.37 W	0.00	1355 of 1849 - Sale <sup>25</sup>
	15.000	115.34	06/24/20	164.52	49.18 W	0.00	1356 of 1849 - Sale <sup>25</sup>
	0.360	2.82	06/24/20	3.82	1.00 W	0.00	1357 of 1849 - Sale <sup>25</sup>
	0.640	5.02	06/24/20	6.75	1.73 W	0.00	1358 of 1849 - Sale <sup>25</sup>
	0.740	5.80	06/24/20	7.89	2.09 W	0.00	1359 of 1849 - Sale <sup>25</sup>
	1.260	9.88	06/24/20	13.40	3.52 W	0.00	1360 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.75	8.23 W	0.00	1361 of 1849 - Sale <sup>25</sup>
	4.080	31.99	06/24/20	43.33	11.34 W	0.00	1362 of 1849 - Sale <sup>25</sup>
	5.780	45.31	06/24/20	60.86	15.55 W	0.00	1363 of 1849 - Sale <sup>25</sup>
	5.780	45.31	06/24/20	61.63	16.32 W	0.00	1364 of 1849 - Sale <sup>25</sup>
	8.000	62.72	06/24/20	85.29	22.57 W	0.00	1365 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	15.87	0.19 W	0.00	1366 of 1849 - Sale <sup>25</sup>
	2.780	21.80	06/24/20	22.08	0.28 W	0.00	1367 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	23.83	0.31 W	0.00	1368 of 1849 - Sale <sup>25</sup>
	3.100	24.30	06/24/20	24.61	0.31 W	0.00	1369 of 1849 - Sale <sup>25</sup>
	6.900	54.09	06/24/20	54.81	0.72 W	0.00	1370 of 1849 - Sale <sup>25</sup>
	7.000	54.88	06/24/20	55.59	0.71 W	0.00	1371 of 1849 - Sale <sup>25</sup>
	7.360	57.70	06/24/20	58.47	0.77 W	0.00	1372 of 1849 - Sale <sup>25</sup>
	8.220	64.44	06/24/20	65.30	0.86 W	0.00	1373 of 1849 - Sale <sup>25</sup>
	30.000	235.19	06/24/20	238.31	3.12 W	0.00	1374 of 1849 - Sale <sup>25</sup>
	0.360	2.82	06/24/20	3.77	0.95 W	0.00	1375 of 1849 - Sale <sup>25</sup>
	0.360	2.82	06/24/20	3.80	0.98 W	0.00	1376 of 1849 - Sale <sup>25</sup>
	0.640	5.02	06/24/20	6.74	1.72 W	0.00	1377 of 1849 - Sale <sup>25</sup>
	0.860	6.74	06/24/20	9.10	2.36 W	0.00	1378 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.61	2.77 W	0.00	1379 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.84	06/24/20	10.40	2.56 W	0.00	1380 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.43	2.59 W	0.00	1381 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.45	2.61 W	0.00	1382 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.41	2.57 W	0.00	1383 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.44	2.60 W	0.00	1384 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.42	2.58 W	0.00	1385 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.41	2.57 W	0.00	1386 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.44	2.60 W	0.00	1387 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.57	2.73 W	0.00	1388 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.57	2.73 W	0.00	1389 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.53	2.69 W	0.00	1390 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.53	2.69 W	0.00	1391 of 1849 - Sale <sup>25</sup>
	1.260	9.88	06/24/20	13.11	3.23 W	0.00	1392 of 1849 - Sale <sup>25</sup>
	1.360	10.66	06/24/20	14.16	3.50 W	0.00	1393 of 1849 - Sale <sup>25</sup>
	1.780	13.95	06/24/20	18.76	4.81 W	0.00	1394 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.81	5.13 W	0.00	1395 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.82	5.14 W	0.00	1396 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.91	5.23 W	0.00	1397 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.85	5.17 W	0.00	1398 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.86	5.18 W	0.00	1399 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.85	5.17 W	0.00	1400 of 1849 - Sale <sup>25</sup>
	2.140	16.78	06/24/20	22.73	5.95 W	0.00	1401 of 1849 - Sale <sup>25</sup>
	2.640	20.70	06/24/20	27.48	6.78 W	0.00	1402 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.24	7.72 W	0.00	1403 of 1849 - Sale <sup>25</sup>
	3.360	26.34	06/24/20	34.84	8.50 W	0.00	1404 of 1849 - Sale <sup>25</sup>
	3.740	29.32	06/24/20	38.96	9.64 W	0.00	1405 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	41.59	10.23 W	0.00	1406 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.08	10.72 W	0.00	1407 of 1849 - Sale <sup>25</sup>
	4.220	33.08	06/24/20	44.49	11.41 W	0.00	1408 of 1849 - Sale <sup>25</sup>
	4.640	36.38	06/24/20	48.45	12.07 W	0.00	1409 of 1849 - Sale <sup>25</sup>
	8.000	62.72	06/24/20	82.92	20.20 W	0.00	1410 of 1849 - Sale <sup>25</sup>
	8.000	62.72	06/24/20	84.31	21.59 W	0.00	1411 of 1849 - Sale <sup>25</sup>
	8.640	67.73	06/24/20	89.57	21.84 W	0.00	1412 of 1849 - Sale <sup>25</sup>
	12.000	94.08	06/24/20	125.12	31.04 W	0.00	1413 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.220	1.72	06/24/20	2.27	0.55 W	0.00	1414 of 1849 - Sale <sup>25</sup>
	0.420	3.29	06/24/20	4.34	1.05 W	0.00	1415 of 1849 - Sale <sup>25</sup>
	0.420	3.29	06/24/20	4.29	1.00 W	0.00	1416 of 1849 - Sale <sup>25</sup>
	0.580	4.55	06/24/20	5.97	1.42 W	0.00	1417 of 1849 - Sale <sup>25</sup>
	0.580	4.55	06/24/20	5.91	1.36 W	0.00	1418 of 1849 - Sale <sup>25</sup>
	0.780	6.11	06/24/20	8.04	1.93 W	0.00	1419 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.99	3.15 W	0.00	1420 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.33	2.49 W	0.00	1421 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.36	2.52 W	0.00	1422 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.29	2.45 W	0.00	1423 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.36	2.52 W	0.00	1424 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.25	2.41 W	0.00	1425 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.30	2.46 W	0.00	1426 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.28	2.44 W	0.00	1427 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.28	2.44 W	0.00	1428 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.27	2.43 W	0.00	1429 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.24	2.40 W	0.00	1430 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.25	2.41 W	0.00	1431 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.26	2.42 W	0.00	1432 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.26	2.42 W	0.00	1433 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.15	5.47 W	0.00	1434 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.68	5.00 W	0.00	1435 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.42	4.74 W	0.00	1436 of 1849 - Sale <sup>25</sup>
	2.420	18.97	06/24/20	26.53	7.56 W	0.00	1437 of 1849 - Sale <sup>25</sup>
	2.580	20.23	06/24/20	28.45	8.22 W	0.00	1438 of 1849 - Sale <sup>25</sup>
	2.580	20.23	06/24/20	26.36	6.13 W	0.00	1439 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.92	7.40 W	0.00	1440 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.94	7.42 W	0.00	1441 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.97	7.45 W	0.00	1442 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.72	7.20 W	0.00	1443 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.73	7.21 W	0.00	1444 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.74	7.22 W	0.00	1445 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.83	7.31 W	0.00	1446 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.76	7.24 W	0.00	1447 of 1849 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.420	26.81	06/24/20	37.69	10.88 W	0.00	1448 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	41.13	9.77 W	0.00	1449 of 1849 - Sale <sup>25</sup>
	4.580	35.91	06/24/20	46.81	10.90 W	0.00	1450 of 1849 - Sale <sup>25</sup>
	5.420	42.49	06/24/20	55.38	12.89 W	0.00	1451 of 1849 - Sale <sup>25</sup>
	6.580	51.58	06/24/20	72.29	20.71 W	0.00	1452 of 1849 - Sale <sup>25</sup>
	7.000	54.88	06/24/20	71.71	16.83 W	0.00	1453 of 1849 - Sale <sup>25</sup>
	8.000	62.72	06/24/20	82.34	19.62 W	0.00	1454 of 1849 - Sale <sup>25</sup>
	9.580	75.10	06/24/20	101.94	26.84 W	0.00	1455 of 1849 - Sale <sup>25</sup>
	10.420	81.69	06/24/20	106.47	24.78 W	0.00	1456 of 1849 - Sale <sup>25</sup>
	0.140	1.10	06/24/20	1.48	0.38 W	0.00	1457 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.36	0.64 W	0.00	1458 of 1849 - Sale <sup>25</sup>
	0.420	3.29	06/24/20	4.48	1.19 W	0.00	1459 of 1849 - Sale <sup>25</sup>
	0.780	6.11	06/24/20	8.26	2.15 W	0.00	1460 of 1849 - Sale <sup>25</sup>
	0.780	6.11	06/24/20	8.53	2.42 W	0.00	1461 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.53	2.69 W	0.00	1462 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.73	2.89 W	0.00	1463 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.75	2.91 W	0.00	1464 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.66	2.82 W	0.00	1465 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.66	2.82 W	0.00	1466 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.67	2.83 W	0.00	1467 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.59	2.75 W	0.00	1468 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.94	3.10 W	0.00	1469 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	11.00	3.16 W	0.00	1470 of 1849 - Sale <sup>25</sup>
	1.780	13.95	06/24/20	18.97	5.02 W	0.00	1471 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.62	4.94 W	0.00	1472 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.42	5.74 W	0.00	1473 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.60	5.92 W	0.00	1474 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.53	5.85 W	0.00	1475 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.34	5.66 W	0.00	1476 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.16	5.48 W	0.00	1477 of 1849 - Sale <sup>25</sup>
	2.220	17.40	06/24/20	23.51	6.11 W	0.00	1478 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.68	8.16 W	0.00	1479 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.69	8.17 W	0.00	1480 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.78	8.26 W	0.00	1481 of 1849 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	31.36	06/24/20	42.79	11.43 W	0.00	1482 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	43.81	12.45 W	0.00	1483 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	53.47	14.27 W	0.00	1484 of 1849 - Sale <sup>25</sup>
	4.080	31.98	06/24/20	44.90	12.92 W	0.00	1485 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	63.51	16.47 W	0.00	1486 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	63.54	16.50 W	0.00	1487 of 1849 - Sale <sup>25</sup>
	10.000	78.39	06/24/20	105.73	27.34 W	0.00	1488 of 1849 - Sale <sup>25</sup>
	11.000	86.23	06/24/20	117.79	31.56 W	0.00	1489 of 1849 - Sale <sup>25</sup>
	0.580	4.55	06/24/20	6.41	1.86 W	0.00	1490 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	11.00	3.16 W	0.00	1491 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.94	3.10 W	0.00	1492 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	11.11	3.27 W	0.00	1493 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	11.10	3.26 W	0.00	1494 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.90	6.22 W	0.00	1495 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.88	6.20 W	0.00	1496 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	22.15	6.47 W	0.00	1497 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	33.24	9.72 W	0.00	1498 of 1849 - Sale <sup>25</sup>
	3.220	25.24	06/24/20	35.44	10.20 W	0.00	1499 of 1849 - Sale <sup>25</sup>
	3.780	29.63	06/24/20	41.57	11.94 W	0.00	1500 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	43.74	12.38 W	0.00	1501 of 1849 - Sale <sup>25</sup>
	0.920	7.21	06/24/20	10.13	2.92 W	0.00	1502 of 1849 - Sale <sup>25</sup>
	5.420	42.49	06/24/20	60.00	17.51 W	0.00	1503 of 1849 - Sale <sup>25</sup>
	10.000	78.40	06/24/20	111.07	32.67 W	0.00	1504 of 1849 - Sale <sup>25</sup>
	0.140	1.10	06/24/20	1.42	0.32 W	0.00	1505 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.36	0.64 W	0.00	1506 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.28	0.56 W	0.00	1507 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.23	0.51 W	0.00	1508 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.21	0.49 W	0.00	1509 of 1849 - Sale <sup>25</sup>
	0.360	2.82	06/24/20	3.82	1.00 W	0.00	1510 of 1849 - Sale <sup>25</sup>
	0.360	2.82	06/24/20	3.93	1.11 W	0.00	1511 of 1849 - Sale <sup>25</sup>
	0.580	4.55	06/24/20	6.28	1.73 W	0.00	1512 of 1849 - Sale <sup>25</sup>
	0.640	5.02	06/24/20	6.82	1.80 W	0.00	1513 of 1849 - Sale <sup>25</sup>
	0.640	5.02	06/24/20	6.92	1.90 W	0.00	1514 of 1849 - Sale <sup>25</sup>
	0.780	6.11	06/24/20	8.22	2.11 W	0.00	1515 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.780	6.11	06/24/20	7.70	1.59 W	0.00	1516 of 1849 - Sale <sup>25</sup>
	0.780	6.11	06/24/20	7.73	1.62 W	0.00	1517 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	7.87	1.75 W	0.00	1518 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	7.80	1.68 W	0.00	1519 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	7.79	1.67 W	0.00	1520 of 1849 - Sale <sup>25</sup>
	0.860	6.74	06/24/20	8.69	1.95 W	0.00	1521 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.68	2.84 W	0.00	1522 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.67	2.83 W	0.00	1523 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.70	2.86 W	0.00	1524 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.62	2.78 W	0.00	1525 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.62	2.78 W	0.00	1526 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.61	2.77 W	0.00	1527 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.60	2.76 W	0.00	1528 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.77	2.93 W	0.00	1529 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.77	2.93 W	0.00	1530 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.78	2.94 W	0.00	1531 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.60	2.76 W	0.00	1532 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.55	2.71 W	0.00	1533 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.54	2.70 W	0.00	1534 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.31	2.47 W	0.00	1535 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.33	2.49 W	0.00	1536 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.33	2.49 W	0.00	1537 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.39	2.55 W	0.00	1538 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.36	2.52 W	0.00	1539 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.40	2.56 W	0.00	1540 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.02	2.18 W	0.00	1541 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.01	2.17 W	0.00	1542 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.99	2.15 W	0.00	1543 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.97	2.13 W	0.00	1544 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.84	2.00 W	0.00	1545 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.91	2.07 W	0.00	1546 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.89	2.05 W	0.00	1547 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.81	1.97 W	0.00	1548 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.80	1.96 W	0.00	1549 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.84	06/24/20	9.77	1.93 W	0.00	1550 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.88	2.04 W	0.00	1551 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.93	2.09 W	0.00	1552 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.93	2.09 W	0.00	1553 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.93	2.09 W	0.00	1554 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.90	2.06 W	0.00	1555 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	9.92	2.08 W	0.00	1556 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.24	2.40 W	0.00	1557 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.29	2.45 W	0.00	1558 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.28	2.44 W	0.00	1559 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.40	2.56 W	0.00	1560 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.39	2.55 W	0.00	1561 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.38	2.54 W	0.00	1562 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.05	2.21 W	0.00	1563 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.06	2.22 W	0.00	1564 of 1849 - Sale <sup>25</sup>
	1.140	8.94	06/24/20	11.55	2.61 W	0.00	1565 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	13.03	3.47 W	0.00	1566 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	12.07	2.51 W	0.00	1567 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	11.95	2.39 W	0.00	1568 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	12.21	2.65 W	0.00	1569 of 1849 - Sale <sup>25</sup>
	1.360	10.66	06/24/20	14.65	3.99 W	0.00	1570 of 1849 - Sale <sup>25</sup>
	1.440	11.29	06/24/20	15.21	3.92 W	0.00	1571 of 1849 - Sale <sup>25</sup>
	1.780	13.95	06/24/20	18.83	4.88 W	0.00	1572 of 1849 - Sale <sup>25</sup>
	1.780	13.95	06/24/20	17.46	3.51 W	0.00	1573 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.47	5.79 W	0.00	1574 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.35	5.67 W	0.00	1575 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.23	5.55 W	0.00	1576 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.28	5.60 W	0.00	1577 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.68	6.00 W	0.00	1578 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.14	5.46 W	0.00	1579 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.06	5.38 W	0.00	1580 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	19.59	3.91 W	0.00	1581 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	19.60	3.92 W	0.00	1582 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	19.62	3.94 W	0.00	1583 of 1849 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.68	06/24/20	19.56	3.88 W	0.00	1584 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.77	5.09 W	0.00	1585 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.11	4.43 W	0.00	1586 of 1849 - Sale <sup>25</sup>
	2.220	17.40	06/24/20	21.98	4.58 W	0.00	1587 of 1849 - Sale <sup>25</sup>
	2.220	17.40	06/24/20	23.02	5.62 W	0.00	1588 of 1849 - Sale <sup>25</sup>
	2.680	21.01	06/24/20	28.48	7.47 W	0.00	1589 of 1849 - Sale <sup>25</sup>
	2.780	21.79	06/24/20	29.82	8.03 W	0.00	1590 of 1849 - Sale <sup>25</sup>
	2.780	21.79	06/24/20	29.41	7.62 W	0.00	1591 of 1849 - Sale <sup>25</sup>
	2.780	21.79	06/24/20	27.53	5.74 W	0.00	1592 of 1849 - Sale <sup>25</sup>
	2.780	21.79	06/24/20	27.34	5.55 W	0.00	1593 of 1849 - Sale <sup>25</sup>
	2.780	21.79	06/24/20	28.89	7.10 W	0.00	1594 of 1849 - Sale <sup>25</sup>
	2.860	22.42	06/24/20	30.39	7.97 W	0.00	1595 of 1849 - Sale <sup>25</sup>
	2.860	22.42	06/24/20	28.75	6.33 W	0.00	1596 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	32.05	8.53 W	0.00	1597 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.96	8.44 W	0.00	1598 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.85	8.33 W	0.00	1599 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.85	8.33 W	0.00	1600 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.85	8.33 W	0.00	1601 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.39	7.87 W	0.00	1602 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	31.38	7.86 W	0.00	1603 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.76	7.24 W	0.00	1604 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	29.64	6.12 W	0.00	1605 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.56	7.04 W	0.00	1606 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.90	7.38 W	0.00	1607 of 1849 - Sale <sup>25</sup>
	3.780	29.63	06/24/20	38.96	9.33 W	0.00	1608 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.56	11.20 W	0.00	1609 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.56	11.20 W	0.00	1610 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.46	11.10 W	0.00	1611 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.40	11.04 W	0.00	1612 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.32	10.96 W	0.00	1613 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	39.86	8.50 W	0.00	1614 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	39.85	8.49 W	0.00	1615 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	39.45	8.09 W	0.00	1616 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	39.81	8.45 W	0.00	1617 of 1849 - Sale <sup>25</sup>

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(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	31.36	06/24/20	41.21	9.85 W	0.00	1618 of 1849 - Sale <sup>25</sup>
	4.220	33.08	06/24/20	42.01	8.93 W	0.00	1619 of 1849 - Sale <sup>25</sup>
	4.220	33.08	06/24/20	43.51	10.43 W	0.00	1620 of 1849 - Sale <sup>25</sup>
	4.420	34.65	06/24/20	47.79	13.14 W	0.00	1621 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	53.79	14.59 W	0.00	1622 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	53.11	13.91 W	0.00	1623 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	52.41	13.21 W	0.00	1624 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	49.83	10.63 W	0.00	1625 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	50.60	11.40 W	0.00	1626 of 1849 - Sale <sup>25</sup>
	5.220	40.92	06/24/20	51.30	10.38 W	0.00	1627 of 1849 - Sale <sup>25</sup>
	5.780	45.31	06/24/20	56.69	11.38 W	0.00	1628 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	64.10	17.06 W	0.00	1629 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	64.08	17.04 W	0.00	1630 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	59.45	12.41 W	0.00	1631 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	58.85	11.81 W	0.00	1632 of 1849 - Sale <sup>25</sup>
	6.780	53.15	06/24/20	72.41	19.26 W	0.00	1633 of 1849 - Sale <sup>25</sup>
	6.780	53.15	06/24/20	71.50	18.35 W	0.00	1634 of 1849 - Sale <sup>25</sup>
	7.000	54.88	06/24/20	75.28	20.40 W	0.00	1635 of 1849 - Sale <sup>25</sup>
	7.000	54.88	06/24/20	72.78	17.90 W	0.00	1636 of 1849 - Sale <sup>25</sup>
	7.320	57.39	06/24/20	77.79	20.40 W	0.00	1637 of 1849 - Sale <sup>25</sup>
	8.000	62.72	06/24/20	86.77	24.05 W	0.00	1638 of 1849 - Sale <sup>25</sup>
	10.000	78.40	06/24/20	107.64	29.24 W	0.00	1639 of 1849 - Sale <sup>25</sup>
	11.220	87.96	06/24/20	118.14	30.18 W	0.00	1640 of 1849 - Sale <sup>25</sup>
	11.220	87.96	06/24/20	110.26	22.30 W	0.00	1641 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.32	0.60 W	0.00	1642 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.43	0.71 W	0.00	1643 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.31	0.59 W	0.00	1644 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.37	0.65 W	0.00	1645 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	8.17	2.05 W	0.00	1646 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	8.53	2.41 W	0.00	1647 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	8.57	2.45 W	0.00	1648 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	7.96	1.84 W	0.00	1649 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	8.17	2.05 W	0.00	1650 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	8.20	2.08 W	0.00	1651 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.84	06/24/20	10.45	2.61 W	0.00	1652 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.40	2.56 W	0.00	1653 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.39	2.55 W	0.00	1654 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.72	2.88 W	0.00	1655 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	11.04	3.20 W	0.00	1656 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.91	3.07 W	0.00	1657 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.99	3.15 W	0.00	1658 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.95	3.11 W	0.00	1659 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.95	3.11 W	0.00	1660 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.45	2.61 W	0.00	1661 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.50	2.66 W	0.00	1662 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.48	2.64 W	0.00	1663 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.48	2.64 W	0.00	1664 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.38	2.54 W	0.00	1665 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.86	3.02 W	0.00	1666 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	13.28	3.72 W	0.00	1667 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	13.39	3.83 W	0.00	1668 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	12.39	2.83 W	0.00	1669 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	12.84	3.28 W	0.00	1670 of 1849 - Sale <sup>25</sup>
	1.780	13.96	06/24/20	19.50	5.54 W	0.00	1671 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.82	5.14 W	0.00	1672 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.45	5.77 W	0.00	1673 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.77	6.09 W	0.00	1674 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	22.12	6.44 W	0.00	1675 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	22.11	6.43 W	0.00	1676 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	22.13	6.45 W	0.00	1677 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.79	6.11 W	0.00	1678 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.86	6.18 W	0.00	1679 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.87	6.19 W	0.00	1680 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.32	4.64 W	0.00	1681 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.02	5.34 W	0.00	1682 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.97	5.29 W	0.00	1683 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.81	5.13 W	0.00	1684 of 1849 - Sale <sup>25</sup>
	1.860	14.58	06/24/20	20.14	5.56 W	0.00	1685 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.220	17.40	06/24/20	24.28	6.88 W	0.00	1686 of 1849 - Sale <sup>25</sup>
	2.220	17.40	06/24/20	22.68	5.28 W	0.00	1687 of 1849 - Sale <sup>25</sup>
	2.780	21.79	06/24/20	30.76	8.97 W	0.00	1688 of 1849 - Sale <sup>25</sup>
	2.780	21.80	06/24/20	29.22	7.42 W	0.00	1689 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	32.73	9.21 W	0.00	1690 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	32.93	9.41 W	0.00	1691 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.49	6.97 W	0.00	1692 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	30.49	6.97 W	0.00	1693 of 1849 - Sale <sup>25</sup>
	3.780	29.63	06/24/20	39.59	9.96 W	0.00	1694 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.91	11.55 W	0.00	1695 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	43.57	12.21 W	0.00	1696 of 1849 - Sale <sup>25</sup>
	4.780	37.48	06/24/20	52.00	14.52 W	0.00	1697 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	52.37	13.17 W	0.00	1698 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	55.26	16.06 W	0.00	1699 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	51.22	12.02 W	0.00	1700 of 1849 - Sale <sup>25</sup>
	5.220	40.92	06/24/20	57.73	16.81 W	0.00	1701 of 1849 - Sale <sup>25</sup>
	5.780	45.32	06/24/20	62.86	17.54 W	0.00	1702 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	62.80	15.76 W	0.00	1703 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	65.36	18.32 W	0.00	1704 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	65.65	18.61 W	0.00	1705 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	64.62	17.58 W	0.00	1706 of 1849 - Sale <sup>25</sup>
	7.000	54.88	06/24/20	76.34	21.46 W	0.00	1707 of 1849 - Sale <sup>25</sup>
	7.000	54.88	06/24/20	76.56	21.68 W	0.00	1708 of 1849 - Sale <sup>25</sup>
	7.000	54.88	06/24/20	76.15	21.27 W	0.00	1709 of 1849 - Sale <sup>25</sup>
	8.000	62.72	06/24/20	87.08	24.36 W	0.00	1710 of 1849 - Sale <sup>25</sup>
	8.780	68.83	06/24/20	91.89	23.06 W	0.00	1711 of 1849 - Sale <sup>25</sup>
	9.220	72.28	06/24/20	96.51	24.23 W	0.00	1712 of 1849 - Sale <sup>25</sup>
	10.220	80.12	06/24/20	112.20	32.08 W	0.00	1713 of 1849 - Sale <sup>25</sup>
	16.000	125.44	06/24/20	175.83	50.39 W	0.00	1714 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.40	0.68 W	0.00	1715 of 1849 - Sale <sup>25</sup>
	0.780	6.11	06/24/20	8.51	2.40 W	0.00	1716 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.69	2.85 W	0.00	1717 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.64	2.80 W	0.00	1718 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.67	2.83 W	0.00	1719 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.84	06/24/20	10.66	2.82 W	0.00	1720 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.67	2.83 W	0.00	1721 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.62	2.78 W	0.00	1722 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.62	2.78 W	0.00	1723 of 1849 - Sale <sup>25</sup>
	1.780	13.95	06/24/20	18.95	5.00 W	0.00	1724 of 1849 - Sale <sup>25</sup>
	0.140	1.10	06/24/20	1.52	0.42 W	0.00	1725 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.25	5.57 W	0.00	1726 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.25	5.57 W	0.00	1727 of 1849 - Sale <sup>25</sup>
	2.220	17.40	06/24/20	24.08	6.68 W	0.00	1728 of 1849 - Sale <sup>25</sup>
	2.220	17.40	06/24/20	23.66	6.26 W	0.00	1729 of 1849 - Sale <sup>25</sup>
	2.780	21.79	06/24/20	29.60	7.81 W	0.00	1730 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	32.61	9.09 W	0.00	1731 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	32.02	8.50 W	0.00	1732 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.57	11.21 W	0.00	1733 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.69	11.33 W	0.00	1734 of 1849 - Sale <sup>25</sup>
	4.000	31.36	06/24/20	42.72	11.36 W	0.00	1735 of 1849 - Sale <sup>25</sup>
	4.640	36.38	06/24/20	49.19	12.81 W	0.00	1736 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	63.99	16.95 W	0.00	1737 of 1849 - Sale <sup>25</sup>
	9.000	70.56	06/24/20	95.99	25.43 W	0.00	1738 of 1849 - Sale <sup>25</sup>
	9.000	70.56	06/24/20	96.98	26.42 W	0.00	1739 of 1849 - Sale <sup>25</sup>
	10.000	78.40	06/24/20	106.55	28.15 W	0.00	1740 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.35	0.63 W	0.00	1741 of 1849 - Sale <sup>25</sup>
	0.780	6.12	06/24/20	8.35	2.23 W	0.00	1742 of 1849 - Sale <sup>25</sup>
	0.780	6.11	06/24/20	8.08	1.97 W	0.00	1743 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.42	2.58 W	0.00	1744 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.75	2.91 W	0.00	1745 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.74	2.90 W	0.00	1746 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.81	2.97 W	0.00	1747 of 1849 - Sale <sup>25</sup>
	1.000	7.84	06/24/20	10.72	2.88 W	0.00	1748 of 1849 - Sale <sup>25</sup>
	1.220	9.56	06/24/20	13.09	3.53 W	0.00	1749 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.48	5.80 W	0.00	1750 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.39	5.71 W	0.00	1751 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	21.41	5.73 W	0.00	1752 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.70	5.02 W	0.00	1753 of 1849 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.780	21.79	06/24/20	29.78	7.99 W	0.00	1754 of 1849 - Sale <sup>25</sup>
	3.000	23.52	06/24/20	32.14	8.62 W	0.00	1755 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	53.71	14.51 W	0.00	1756 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	53.55	14.35 W	0.00	1757 of 1849 - Sale <sup>25</sup>
	5.000	39.20	06/24/20	51.66	12.46 W	0.00	1758 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	64.47	17.43 W	0.00	1759 of 1849 - Sale <sup>25</sup>
	6.220	48.76	06/24/20	67.06	18.30 W	0.00	1760 of 1849 - Sale <sup>25</sup>
	6.000	47.04	06/24/20	61.99	14.95 W	0.00	1761 of 1849 - Sale <sup>25</sup>
	8.220	64.44	06/24/20	84.93	20.49 W	0.00	1762 of 1849 - Sale <sup>25</sup>
	9.000	70.56	06/24/20	96.50	25.94 W	0.00	1763 of 1849 - Sale <sup>25</sup>
	0.220	1.72	06/24/20	2.22	0.50 W	0.00	1764 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.64	4.96 W	0.00	1765 of 1849 - Sale <sup>25</sup>
	2.000	15.68	06/24/20	20.37	4.69 W	0.00	1766 of 1849 - Sale <sup>25</sup>
	2.780	21.80	06/24/20	28.58	6.78 W	0.00	1767 of 1849 - Sale <sup>25</sup>
	5.220	40.92	06/24/20	53.68	12.76 W	0.00	1768 of 1849 - Sale <sup>25</sup>
	1.780	13.96	06/24/20	18.39	4.43 W	0.00	1769 of 1849 - Sale <sup>25</sup>
	15.000	117.60	06/24/20	119.70	2.10 W	0.00	1770 of 1849 - Sale <sup>25</sup>
	21.000	164.64	06/24/20	167.60	2.96 W	0.00	1771 of 1849 - Sale <sup>25</sup>
	0.360	2.80	06/24/20	3.81	1.01 W	0.00	1772 of 1849 - Sale <sup>25</sup>
	0.640	4.99	06/24/20	6.78	1.79 W	0.00	1773 of 1849 - Sale <sup>25</sup>
	0.220	1.71	06/24/20	2.30	0.59 W	0.00	1774 of 1849 - Sale <sup>25</sup>
	0.220	1.71	06/24/20	2.29	0.58 W	0.00	1775 of 1849 - Sale <sup>25</sup>
	0.860	6.70	06/24/20	8.98	2.28 W	0.00	1776 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	10.46	2.67 W	0.00	1777 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	10.47	2.68 W	0.00	1778 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	10.46	2.67 W	0.00	1779 of 1849 - Sale <sup>25</sup>
	1.220	9.50	06/24/20	12.77	3.27 W	0.00	1780 of 1849 - Sale <sup>25</sup>
	1.360	10.59	06/24/20	14.39	3.80 W	0.00	1781 of 1849 - Sale <sup>25</sup>
	1.420	11.06	06/24/20	15.07	4.01 W	0.00	1782 of 1849 - Sale <sup>25</sup>
	2.780	21.66	06/24/20	29.08	7.42 W	0.00	1783 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	31.39	8.02 W	0.00	1784 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	31.37	8.00 W	0.00	1785 of 1849 - Sale <sup>25</sup>
	3.140	24.46	06/24/20	32.83	8.37 W	0.00	1786 of 1849 - Sale <sup>25</sup>
	3.420	26.64	06/24/20	35.71	9.07 W	0.00	1787 of 1849 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.580	27.89	06/24/20	37.40	9.51 W	0.00	1788 of 1849 - Sale <sup>25</sup>
	3.780	29.45	06/24/20	39.56	10.11 W	0.00	1789 of 1849 - Sale <sup>25</sup>
	6.220	48.45	06/24/20	65.05	16.60 W	0.00	1790 of 1849 - Sale <sup>25</sup>
	7.000	54.53	06/24/20	73.20	18.67 W	0.00	1791 of 1849 - Sale <sup>25</sup>
	0.220	1.71	06/24/20	2.18	0.47 W	0.00	1792 of 1849 - Sale <sup>25</sup>
	0.360	2.80	06/24/20	3.61	0.81 W	0.00	1793 of 1849 - Sale <sup>25</sup>
	0.420	3.27	06/24/20	4.26	0.99 W	0.00	1794 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.76	1.97 W	0.00	1795 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.93	2.14 W	0.00	1796 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.92	2.13 W	0.00	1797 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.96	2.17 W	0.00	1798 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.91	2.12 W	0.00	1799 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.78	1.99 W	0.00	1800 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.77	1.98 W	0.00	1801 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.86	2.07 W	0.00	1802 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.75	1.96 W	0.00	1803 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.58	1.79 W	0.00	1804 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.43	1.64 W	0.00	1805 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.42	1.63 W	0.00	1806 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.27	1.48 W	0.00	1807 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.14	1.35 W	0.00	1808 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.15	1.36 W	0.00	1809 of 1849 - Sale <sup>25</sup>
	1.000	7.79	06/24/20	9.16	1.37 W	0.00	1810 of 1849 - Sale <sup>25</sup>
	1.780	13.87	06/24/20	17.36	3.49 W	0.00	1811 of 1849 - Sale <sup>25</sup>
	1.780	13.87	06/24/20	17.67	3.80 W	0.00	1812 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	19.46	3.88 W	0.00	1813 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	19.43	3.85 W	0.00	1814 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	19.37	3.79 W	0.00	1815 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	19.85	4.27 W	0.00	1816 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	19.74	4.16 W	0.00	1817 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	19.86	4.28 W	0.00	1818 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	19.01	3.43 W	0.00	1819 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	18.80	3.22 W	0.00	1820 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	18.84	3.26 W	0.00	1821 of 1849 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.58	06/24/20	18.21	2.63 W	0.00	1822 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	18.31	2.73 W	0.00	1823 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	18.31	2.73 W	0.00	1824 of 1849 - Sale <sup>25</sup>
	2.000	15.58	06/24/20	18.21	2.63 W	0.00	1825 of 1849 - Sale <sup>25</sup>
	2.220	17.29	06/24/20	21.75	4.46 W	0.00	1826 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	29.78	6.41 W	0.00	1827 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	29.76	6.39 W	0.00	1828 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	29.54	6.17 W	0.00	1829 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	29.70	6.33 W	0.00	1830 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	29.97	6.60 W	0.00	1831 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	28.74	5.37 W	0.00	1832 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	28.67	5.30 W	0.00	1833 of 1849 - Sale <sup>25</sup>
	3.000	23.37	06/24/20	28.46	5.09 W	0.00	1834 of 1849 - Sale <sup>25</sup>
	4.000	31.16	06/24/20	39.64	8.48 W	0.00	1835 of 1849 - Sale <sup>25</sup>
	4.000	31.16	06/24/20	39.11	7.95 W	0.00	1836 of 1849 - Sale <sup>25</sup>
	4.000	31.16	06/24/20	36.62	5.46 W	0.00	1837 of 1849 - Sale <sup>25</sup>
	5.000	38.95	06/24/20	48.63	9.68 W	0.00	1838 of 1849 - Sale <sup>25</sup>
	5.000	38.95	06/24/20	49.23	10.28 W	0.00	1839 of 1849 - Sale <sup>25</sup>
	5.000	38.95	06/24/20	48.68	9.73 W	0.00	1840 of 1849 - Sale <sup>25</sup>
	5.000	38.95	06/24/20	48.64	9.69 W	0.00	1841 of 1849 - Sale <sup>25</sup>
	5.000	38.95	06/24/20	47.88	8.93 W	0.00	1842 of 1849 - Sale <sup>25</sup>
	5.000	38.95	06/24/20	45.55	6.60 W	0.00	1843 of 1849 - Sale <sup>25</sup>
	5.000	38.95	06/24/20	45.77	6.82 W	0.00	1844 of 1849 - Sale <sup>25</sup>
	6.000	46.74	06/24/20	59.36	12.62 W	0.00	1845 of 1849 - Sale <sup>25</sup>
	6.000	46.73	06/24/20	54.61	7.88 W	0.00	1846 of 1849 - Sale <sup>25</sup>
	7.000	54.53	06/24/20	70.15	15.62 W	0.00	1847 of 1849 - Sale <sup>25</sup>
	8.000	62.32	06/24/20	76.67	14.35 W	0.00	1848 of 1849 - Sale <sup>25</sup>
	8.000	62.31	06/24/20	72.55	10.24 W	0.00	1849 of 1849 - Sale <sup>25</sup>
06/24/20	6,749.780	52,044.41	Various	65,149.09	13,104.68 W	0.00	Total of 1849 transactions
20 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	0.100	0.81	06/24/20	1.00	0.19 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.900	7.29	06/24/20	9.67	2.38 W	0.00	2 of 20 - Sale <sup>25</sup>
	1.000	8.10	06/24/20	10.80	2.70 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.000	8.10	06/24/20	10.72	2.62 W	0.00	4 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.900	15.39	06/24/20	20.30	4.91 W	0.00	5 of 20 - Sale <sup>25</sup>
	2.000	16.20	06/24/20	21.53	5.33 W	0.00	6 of 20 - Sale <sup>25</sup>
	2.000	16.20	06/24/20	21.56	5.36 W	0.00	7 of 20 - Sale <sup>25</sup>
	2.000	16.20	06/24/20	21.56	5.36 W	0.00	8 of 20 - Sale <sup>25</sup>
	2.100	17.01	06/24/20	22.54	5.53 W	0.00	9 of 20 - Sale <sup>25</sup>
	3.000	24.30	06/24/20	32.03	7.73 W	0.00	10 of 20 - Sale <sup>25</sup>
	3.000	24.30	06/24/20	32.19	7.89 W	0.00	11 of 20 - Sale <sup>25</sup>
	3.100	25.11	06/24/20	33.10	7.99 W	0.00	12 of 20 - Sale <sup>25</sup>
	3.900	31.59	06/24/20	39.33	7.74 W	0.00	13 of 20 - Sale <sup>25</sup>
	4.000	32.40	06/24/20	43.16	10.76 W	0.00	14 of 20 - Sale <sup>25</sup>
	4.220	34.18	06/24/20	45.26	11.08 W	0.00	15 of 20 - Sale <sup>25</sup>
	5.000	40.50	06/24/20	53.90	13.40 W	0.00	16 of 20 - Sale <sup>25</sup>
	0.560	4.54	06/24/20	5.97	1.43 W	0.00	17 of 20 - Sale <sup>25</sup>
	1.000	8.10	06/24/20	10.66	2.56 W	0.00	18 of 20 - Sale <sup>25</sup>
	0.640	5.18	06/24/20	6.83	1.65 W	0.00	19 of 20 - Sale <sup>25</sup>
	8.580	69.50	06/24/20	91.61	22.11 W	0.00	20 of 20 - Sale <sup>25</sup>
06/25/20	50.000	405.00	Various	533.72	128.72 W	0.00	Total of 20 transactions
	274 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	3.360	24.73	06/24/20	35.87	11.14 W	0.00	1 of 274 - Sale <sup>25</sup>
	0.220	1.62	06/24/20	2.08	0.46 W	0.00	2 of 274 - Sale <sup>25</sup>
	0.360	2.65	06/24/20	3.39	0.74 W	0.00	3 of 274 - Sale <sup>25</sup>
	0.420	3.09	06/24/20	3.90	0.81 W	0.00	4 of 274 - Sale <sup>25</sup>
	0.420	3.09	06/24/20	3.98	0.89 W	0.00	5 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.31	1.95 W	0.00	6 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.33	1.97 W	0.00	7 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.34	1.98 W	0.00	8 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.32	1.96 W	0.00	9 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.32	1.96 W	0.00	10 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.28	1.92 W	0.00	11 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.28	1.92 W	0.00	12 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.45	2.09 W	0.00	13 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.45	2.09 W	0.00	14 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.48	2.12 W	0.00	15 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	18.45	3.73 W	0.00	16 of 274 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.72	06/24/20	18.64	3.92 W	0.00	17 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	18.65	3.93 W	0.00	18 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	18.65	3.93 W	0.00	19 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	18.89	4.17 W	0.00	20 of 274 - Sale <sup>25</sup>
	3.000	22.08	06/24/20	27.96	5.88 W	0.00	21 of 274 - Sale <sup>25</sup>
	3.000	22.08	06/24/20	28.43	6.35 W	0.00	22 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	36.93	7.49 W	0.00	23 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	37.73	8.29 W	0.00	24 of 274 - Sale <sup>25</sup>
	9.000	66.24	06/24/20	84.09	17.85 W	0.00	25 of 274 - Sale <sup>25</sup>
	13.000	95.68	06/24/20	120.81	25.13 W	0.00	26 of 274 - Sale <sup>25</sup>
	0.420	3.09	06/24/20	4.25	1.16 W	0.00	27 of 274 - Sale <sup>25</sup>
	0.420	3.09	06/24/20	4.33	1.24 W	0.00	28 of 274 - Sale <sup>25</sup>
	0.580	4.27	06/24/20	5.99	1.72 W	0.00	29 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.86	2.50 W	0.00	30 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.87	2.51 W	0.00	31 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.95	2.59 W	0.00	32 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.94	2.58 W	0.00	33 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.92	2.56 W	0.00	34 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	9.89	2.53 W	0.00	35 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	10.17	2.81 W	0.00	36 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	10.32	2.96 W	0.00	37 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	10.32	2.96 W	0.00	38 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	10.32	2.96 W	0.00	39 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	10.32	2.96 W	0.00	40 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	10.30	2.94 W	0.00	41 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	10.35	2.99 W	0.00	42 of 274 - Sale <sup>25</sup>
	1.580	11.63	06/24/20	15.57	3.94 W	0.00	43 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	19.91	5.19 W	0.00	44 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	19.84	5.12 W	0.00	45 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	19.78	5.06 W	0.00	46 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	20.34	5.62 W	0.00	47 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	20.65	5.93 W	0.00	48 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	20.65	5.93 W	0.00	49 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	20.71	5.99 W	0.00	50 of 274 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.72	06/24/20	20.77	6.05 W	0.00	51 of 274 - Sale <sup>25</sup>
	2.000	14.72	06/24/20	20.90	6.18 W	0.00	52 of 274 - Sale <sup>25</sup>
	2.580	18.99	06/24/20	26.13	7.14 W	0.00	53 of 274 - Sale <sup>25</sup>
	3.000	22.08	06/24/20	29.78	7.70 W	0.00	54 of 274 - Sale <sup>25</sup>
	3.000	22.08	06/24/20	29.78	7.70 W	0.00	55 of 274 - Sale <sup>25</sup>
	3.000	22.08	06/24/20	32.04	9.96 W	0.00	56 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	39.64	10.20 W	0.00	57 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	40.65	11.21 W	0.00	58 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	40.44	11.00 W	0.00	59 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	40.51	11.07 W	0.00	60 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	41.20	11.76 W	0.00	61 of 274 - Sale <sup>25</sup>
	4.000	29.44	06/24/20	41.42	11.98 W	0.00	62 of 274 - Sale <sup>25</sup>
	5.000	36.80	06/24/20	49.28	12.48 W	0.00	63 of 274 - Sale <sup>25</sup>
	5.000	36.80	06/24/20	51.86	15.06 W	0.00	64 of 274 - Sale <sup>25</sup>
	5.420	39.89	06/24/20	56.10	16.21 W	0.00	65 of 274 - Sale <sup>25</sup>
	5.580	41.07	06/24/20	57.89	16.82 W	0.00	66 of 274 - Sale <sup>25</sup>
	6.000	44.16	06/24/20	59.52	15.36 W	0.00	67 of 274 - Sale <sup>25</sup>
	12.000	88.31	06/24/20	119.09	30.78 W	0.00	68 of 274 - Sale <sup>25</sup>
	0.220	1.62	06/24/20	1.83	0.21 W	0.00	69 of 274 - Sale <sup>25</sup>
	6.000	44.15	06/24/20	49.73	5.58 W	0.00	70 of 274 - Sale <sup>25</sup>
	6.220	45.77	06/24/20	51.44	5.67 W	0.00	71 of 274 - Sale <sup>25</sup>
	6.560	48.28	06/24/20	54.26	5.98 W	0.00	72 of 274 - Sale <sup>25</sup>
	13.000	95.67	06/24/20	107.37	11.70 W	0.00	73 of 274 - Sale <sup>25</sup>
	16.000	117.75	06/24/20	132.16	14.41 W	0.00	74 of 274 - Sale <sup>25</sup>
	32.640	240.20	06/24/20	270.51	30.31 W	0.00	75 of 274 - Sale <sup>25</sup>
	1.000	7.36	06/24/20	8.57	1.21 W	0.00	76 of 274 - Sale <sup>25</sup>
	2.640	19.43	06/24/20	22.56	3.13 W	0.00	77 of 274 - Sale <sup>25</sup>
	6.000	44.16	06/24/20	51.23	7.07 W	0.00	78 of 274 - Sale <sup>25</sup>
	6.360	46.81	06/24/20	54.47	7.66 W	0.00	79 of 274 - Sale <sup>25</sup>
	6.360	46.81	06/24/20	53.93	7.12 W	0.00	80 of 274 - Sale <sup>25</sup>
	6.640	48.87	06/24/20	56.71	7.84 W	0.00	81 of 274 - Sale <sup>25</sup>
	6.780	49.90	06/24/20	58.03	8.13 W	0.00	82 of 274 - Sale <sup>25</sup>
	8.000	58.88	06/24/20	68.35	9.47 W	0.00	83 of 274 - Sale <sup>25</sup>
	9.780	71.98	06/24/20	83.58	11.60 W	0.00	84 of 274 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.780	71.98	06/24/20	82.94	10.96 W	0.00	85 of 274 - Sale <sup>25</sup>
	11.640	85.67	06/24/20	100.91	15.24 W	0.00	86 of 274 - Sale <sup>25</sup>
	13.860	102.00	06/24/20	118.73	16.73 W	0.00	87 of 274 - Sale <sup>25</sup>
	13.860	102.00	06/24/20	117.56	15.56 W	0.00	88 of 274 - Sale <sup>25</sup>
	20.360	149.84	06/24/20	173.67	23.83 W	0.00	89 of 274 - Sale <sup>25</sup>
	26.360	194.00	06/24/20	225.32	31.32 W	0.00	90 of 274 - Sale <sup>25</sup>
	24.220	178.25	06/24/20	206.16	27.91 W	0.00	91 of 274 - Sale <sup>25</sup>
	32.360	238.16	06/24/20	268.19	30.03 W	0.00	92 of 274 - Sale <sup>25</sup>
	1.000	7.38	06/24/20	8.56	1.18 W	0.00	93 of 274 - Sale <sup>25</sup>
	1.000	7.38	06/24/20	8.65	1.27 W	0.00	94 of 274 - Sale <sup>25</sup>
	1.000	7.38	06/24/20	8.64	1.26 W	0.00	95 of 274 - Sale <sup>25</sup>
	2.580	19.04	06/24/20	22.33	3.29 W	0.00	96 of 274 - Sale <sup>25</sup>
	2.640	19.48	06/24/20	22.52	3.04 W	0.00	97 of 274 - Sale <sup>25</sup>
	2.860	21.11	06/24/20	24.76	3.65 W	0.00	98 of 274 - Sale <sup>25</sup>
	6.360	46.94	06/24/20	54.98	8.04 W	0.00	99 of 274 - Sale <sup>25</sup>
	6.780	50.03	06/24/20	58.72	8.69 W	0.00	100 of 274 - Sale <sup>25</sup>
	8.000	59.04	06/24/20	68.07	9.03 W	0.00	101 of 274 - Sale <sup>25</sup>
	10.000	73.80	06/24/20	85.04	11.24 W	0.00	102 of 274 - Sale <sup>25</sup>
	10.000	73.80	06/24/20	86.35	12.55 W	0.00	103 of 274 - Sale <sup>25</sup>
	10.000	73.79	06/24/20	86.33	12.54 W	0.00	104 of 274 - Sale <sup>25</sup>
	5.220	38.52	06/24/20	45.09	6.57 W	0.00	105 of 274 - Sale <sup>25</sup>
	11.640	85.90	06/24/20	100.67	14.77 W	0.00	106 of 274 - Sale <sup>25</sup>
	18.780	138.59	06/24/20	162.22	23.63 W	0.00	107 of 274 - Sale <sup>25</sup>
	2.140	15.79	06/24/20	18.22	2.43 W	0.00	108 of 274 - Sale <sup>25</sup>
	1.220	9.13	06/24/20	10.53	1.40 W	0.00	109 of 274 - Sale <sup>25</sup>
	4.780	35.75	06/24/20	41.28	5.53 W	0.00	110 of 274 - Sale <sup>25</sup>
	27.000	201.96	06/24/20	233.23	31.27 W	0.00	111 of 274 - Sale <sup>25</sup>
	0.860	6.43	06/24/20	7.41	0.98 W	0.00	112 of 274 - Sale <sup>25</sup>
	0.140	1.05	06/24/20	1.21	0.16 W	0.00	113 of 274 - Sale <sup>25</sup>
	2.860	21.39	06/24/20	24.67	3.28 W	0.00	114 of 274 - Sale <sup>25</sup>
	7.140	53.40	06/24/20	61.54	8.14 W	0.00	115 of 274 - Sale <sup>25</sup>
	10.000	74.80	06/24/20	86.27	11.47 W	0.00	116 of 274 - Sale <sup>25</sup>
	10.000	74.80	06/24/20	86.20	11.40 W	0.00	117 of 274 - Sale <sup>25</sup>
	14.000	104.71	06/24/20	120.78	16.07 W	0.00	118 of 274 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
16.000		119.67	06/24/20	138.06	18.39 W	0.00	119 of 274 - Sale <sup>25</sup>
0.420		3.14	06/24/20	4.51	1.37 W	0.00	120 of 274 - Sale <sup>25</sup>
1.360		10.17	06/24/20	14.57	4.40 W	0.00	121 of 274 - Sale <sup>25</sup>
0.220		1.65	06/24/20	2.36	0.71 W	0.00	122 of 274 - Sale <sup>25</sup>
4.000		29.92	06/24/20	42.78	12.86 W	0.00	123 of 274 - Sale <sup>25</sup>
1.000		7.51	06/24/20	10.71	3.20 W	0.00	124 of 274 - Sale <sup>25</sup>
1.000		7.48	06/24/20	10.71	3.23 W	0.00	125 of 274 - Sale <sup>25</sup>
1.000		7.48	06/24/20	10.72	3.24 W	0.00	126 of 274 - Sale <sup>25</sup>
1.000		7.48	06/24/20	10.67	3.19 W	0.00	127 of 274 - Sale <sup>25</sup>
1.000		7.48	06/24/20	10.68	3.20 W	0.00	128 of 274 - Sale <sup>25</sup>
0.780		5.84	06/24/20	8.35	2.51 W	0.00	129 of 274 - Sale <sup>25</sup>
2.000		14.96	06/24/20	21.42	6.46 W	0.00	130 of 274 - Sale <sup>25</sup>
2.440		18.26	06/24/20	25.99	7.73 W	0.00	131 of 274 - Sale <sup>25</sup>
5.000		37.41	06/24/20	53.51	16.10 W	0.00	132 of 274 - Sale <sup>25</sup>
10.000		74.82	06/24/20	107.12	32.30 W	0.00	133 of 274 - Sale <sup>25</sup>
0.360		2.69	06/24/20	3.11	0.42 W	0.00	134 of 274 - Sale <sup>25</sup>
0.640		4.79	06/24/20	5.46	0.67 W	0.00	135 of 274 - Sale <sup>25</sup>
1.640		12.27	06/24/20	14.14	1.87 W	0.00	136 of 274 - Sale <sup>25</sup>
4.000		29.93	06/24/20	34.47	4.54 W	0.00	137 of 274 - Sale <sup>25</sup>
5.000		37.41	06/24/20	42.73	5.32 W	0.00	138 of 274 - Sale <sup>25</sup>
5.000		37.41	06/24/20	42.74	5.33 W	0.00	139 of 274 - Sale <sup>25</sup>
5.000		37.41	06/24/20	43.12	5.71 W	0.00	140 of 274 - Sale <sup>25</sup>
6.640		49.69	06/24/20	57.25	7.56 W	0.00	141 of 274 - Sale <sup>25</sup>
8.360		62.55	06/24/20	71.46	8.91 W	0.00	142 of 274 - Sale <sup>25</sup>
9.140		68.39	06/24/20	78.79	10.40 W	0.00	143 of 274 - Sale <sup>25</sup>
23.000		172.10	06/24/20	199.90	27.80 W	0.00	144 of 274 - Sale <sup>25</sup>
0.560		4.19	06/24/20	5.97	1.78 W	0.00	145 of 274 - Sale <sup>25</sup>
1.000		7.48	06/24/20	10.66	3.18 W	0.00	146 of 274 - Sale <sup>25</sup>
4.000		29.93	06/24/20	42.61	12.68 W	0.00	147 of 274 - Sale <sup>25</sup>
1.440		10.78	06/24/20	15.32	4.54 W	0.00	148 of 274 - Sale <sup>25</sup>
0.100		0.74	06/24/20	1.11	0.37 W	0.00	149 of 274 - Sale <sup>25</sup>
0.220		1.64	06/24/20	2.47	0.83 W	0.00	150 of 274 - Sale <sup>25</sup>
0.440		3.27	06/24/20	4.94	1.67 W	0.00	151 of 274 - Sale <sup>25</sup>
1.000		7.43	06/24/20	10.76	3.33 W	0.00	152 of 274 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.43	06/24/20	10.77	3.34 W	0.00	153 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	10.71	3.28 W	0.00	154 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.03	3.60 W	0.00	155 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	10.65	3.22 W	0.00	156 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.44	4.01 W	0.00	157 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.45	4.02 W	0.00	158 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.42	3.99 W	0.00	159 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.38	3.95 W	0.00	160 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.36	3.93 W	0.00	161 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.37	3.94 W	0.00	162 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.41	3.98 W	0.00	163 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.39	3.96 W	0.00	164 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.42	3.99 W	0.00	165 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.38	3.95 W	0.00	166 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.40	3.97 W	0.00	167 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.39	3.96 W	0.00	168 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.38	3.95 W	0.00	169 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.40	3.97 W	0.00	170 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.33	3.90 W	0.00	171 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.33	3.90 W	0.00	172 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.32	3.89 W	0.00	173 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.33	3.90 W	0.00	174 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.12	3.69 W	0.00	175 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.19	3.76 W	0.00	176 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.23	3.80 W	0.00	177 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.23	3.80 W	0.00	178 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.21	3.78 W	0.00	179 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.14	3.71 W	0.00	180 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.16	3.73 W	0.00	181 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.08	3.65 W	0.00	182 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.08	3.65 W	0.00	183 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.08	3.65 W	0.00	184 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.27	3.84 W	0.00	185 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.28	3.85 W	0.00	186 of 274 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.43	06/24/20	11.16	3.73 W	0.00	187 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.17	3.74 W	0.00	188 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.07	3.64 W	0.00	189 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	11.07	3.64 W	0.00	190 of 274 - Sale <sup>25</sup>
	1.000	7.43	06/24/20	10.99	3.56 W	0.00	191 of 274 - Sale <sup>25</sup>
	1.780	13.23	06/24/20	20.22	6.99 W	0.00	192 of 274 - Sale <sup>25</sup>
	1.780	13.23	06/24/20	20.22	6.99 W	0.00	193 of 274 - Sale <sup>25</sup>
	1.900	14.12	06/24/20	21.00	6.88 W	0.00	194 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	21.42	6.56 W	0.00	195 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.73	7.87 W	0.00	196 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.63	7.77 W	0.00	197 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.72	7.86 W	0.00	198 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.82	7.96 W	0.00	199 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.74	7.88 W	0.00	200 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.85	7.99 W	0.00	201 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.28	7.42 W	0.00	202 of 274 - Sale <sup>25</sup>
	2.000	14.87	06/24/20	22.44	7.57 W	0.00	203 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.45	7.59 W	0.00	204 of 274 - Sale <sup>25</sup>
	2.000	14.87	06/24/20	22.23	7.36 W	0.00	205 of 274 - Sale <sup>25</sup>
	2.000	14.86	06/24/20	22.32	7.46 W	0.00	206 of 274 - Sale <sup>25</sup>
	2.000	14.87	06/24/20	22.12	7.25 W	0.00	207 of 274 - Sale <sup>25</sup>
	2.100	15.61	06/24/20	24.14	8.53 W	0.00	208 of 274 - Sale <sup>25</sup>
	2.220	16.50	06/24/20	25.25	8.75 W	0.00	209 of 274 - Sale <sup>25</sup>
	2.360	17.54	06/24/20	26.61	9.07 W	0.00	210 of 274 - Sale <sup>25</sup>
	2.640	19.62	06/24/20	29.75	10.13 W	0.00	211 of 274 - Sale <sup>25</sup>
	2.900	21.55	06/24/20	32.88	11.33 W	0.00	212 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	32.16	9.86 W	0.00	213 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	34.08	11.78 W	0.00	214 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	34.08	11.78 W	0.00	215 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	34.20	11.90 W	0.00	216 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	34.20	11.90 W	0.00	217 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	34.22	11.92 W	0.00	218 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	33.55	11.25 W	0.00	219 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	33.45	11.15 W	0.00	220 of 274 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.30	06/24/20	33.24	10.94 W	0.00	221 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	33.55	11.25 W	0.00	222 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	33.42	11.12 W	0.00	223 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	33.37	11.07 W	0.00	224 of 274 - Sale <sup>25</sup>
	3.000	22.30	06/24/20	33.21	10.91 W	0.00	225 of 274 - Sale <sup>25</sup>
	3.900	28.99	06/24/20	44.82	15.83 W	0.00	226 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	42.59	12.86 W	0.00	227 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	45.41	15.68 W	0.00	228 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	45.52	15.79 W	0.00	229 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	45.47	15.74 W	0.00	230 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	45.72	15.99 W	0.00	231 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	44.87	15.14 W	0.00	232 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	44.90	15.17 W	0.00	233 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	44.82	15.09 W	0.00	234 of 274 - Sale <sup>25</sup>
	4.000	29.73	06/24/20	45.09	15.36 W	0.00	235 of 274 - Sale <sup>25</sup>
	4.360	32.41	06/24/20	48.61	16.20 W	0.00	236 of 274 - Sale <sup>25</sup>
	4.420	32.85	06/24/20	49.27	16.42 W	0.00	237 of 274 - Sale <sup>25</sup>
	3.560	26.46	06/24/20	37.88	11.42 W	0.00	238 of 274 - Sale <sup>25</sup>
	5.000	37.16	06/24/20	53.65	16.49 W	0.00	239 of 274 - Sale <sup>25</sup>
	5.000	37.16	06/24/20	53.68	16.52 W	0.00	240 of 274 - Sale <sup>25</sup>
	5.000	37.16	06/24/20	53.26	16.10 W	0.00	241 of 274 - Sale <sup>25</sup>
	5.000	37.16	06/24/20	57.35	20.19 W	0.00	242 of 274 - Sale <sup>25</sup>
	5.000	37.16	06/24/20	56.92	19.76 W	0.00	243 of 274 - Sale <sup>25</sup>
	5.000	37.16	06/24/20	55.75	18.59 W	0.00	244 of 274 - Sale <sup>25</sup>
	5.100	37.91	06/24/20	57.82	19.91 W	0.00	245 of 274 - Sale <sup>25</sup>
	5.220	38.80	06/24/20	57.71	18.91 W	0.00	246 of 274 - Sale <sup>25</sup>
	6.000	44.59	06/24/20	65.42	20.83 W	0.00	247 of 274 - Sale <sup>25</sup>
	6.000	44.59	06/24/20	68.30	23.71 W	0.00	248 of 274 - Sale <sup>25</sup>
	6.000	44.59	06/24/20	68.59	24.00 W	0.00	249 of 274 - Sale <sup>25</sup>
	6.000	44.59	06/24/20	67.02	22.43 W	0.00	250 of 274 - Sale <sup>25</sup>
	6.000	44.59	06/24/20	66.89	22.30 W	0.00	251 of 274 - Sale <sup>25</sup>
	6.220	46.23	06/24/20	70.64	24.41 W	0.00	252 of 274 - Sale <sup>25</sup>
	6.780	50.39	06/24/20	74.69	24.30 W	0.00	253 of 274 - Sale <sup>25</sup>
	7.000	52.03	06/24/20	75.17	23.14 W	0.00	254 of 274 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	52.03	06/24/20	79.53	27.50 W	0.00	255 of 274 - Sale <sup>25</sup>
	7.000	52.03	06/24/20	79.81	27.78 W	0.00	256 of 274 - Sale <sup>25</sup>
	7.000	52.03	06/24/20	79.36	27.33 W	0.00	257 of 274 - Sale <sup>25</sup>
	7.000	52.03	06/24/20	78.48	26.45 W	0.00	258 of 274 - Sale <sup>25</sup>
	7.000	52.03	06/24/20	78.27	26.24 W	0.00	259 of 274 - Sale <sup>25</sup>
	7.000	52.03	06/24/20	77.69	25.66 W	0.00	260 of 274 - Sale <sup>25</sup>
	8.000	59.46	06/24/20	90.78	31.32 W	0.00	261 of 274 - Sale <sup>25</sup>
	8.000	59.46	06/24/20	88.51	29.05 W	0.00	262 of 274 - Sale <sup>25</sup>
	9.000	66.89	06/24/20	102.43	35.54 W	0.00	263 of 274 - Sale <sup>25</sup>
	9.000	66.89	06/24/20	101.19	34.30 W	0.00	264 of 274 - Sale <sup>25</sup>
	11.000	81.76	06/24/20	122.49	40.73 W	0.00	265 of 274 - Sale <sup>25</sup>
	13.000	96.62	06/24/20	144.08	47.46 W	0.00	266 of 274 - Sale <sup>25</sup>
	1.360	10.11	06/24/20	11.70	1.59 W	0.00	267 of 274 - Sale <sup>25</sup>
	6.360	47.27	06/24/20	55.28	8.01 W	0.00	268 of 274 - Sale <sup>25</sup>
	6.640	49.35	06/24/20	57.15	7.80 W	0.00	269 of 274 - Sale <sup>25</sup>
	8.780	65.26	06/24/20	75.59	10.33 W	0.00	270 of 274 - Sale <sup>25</sup>
	23.000	170.95	06/24/20	199.91	28.96 W	0.00	271 of 274 - Sale <sup>25</sup>
	30.860	229.37	06/24/20	265.79	36.42 W	0.00	272 of 274 - Sale <sup>25</sup>
	10.900	81.02	06/24/20	93.85	12.83 W	0.00	273 of 274 - Sale <sup>25</sup>
	46.100	342.65	06/24/20	396.96	54.31 W	0.00	274 of 274 - Sale <sup>25</sup>
07/07/20	1,257.000	9,313.85	Various	12,017.03	2,703.18 W	0.00	Total of 274 transactions
3242 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	7.66	06/24/20	8.61	0.95 W	0.00	1 of 3242 - Sale <sup>25</sup>
	1.000	7.65	06/24/20	8.61	0.96 W	0.00	2 of 3242 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.61	0.92 W	0.00	3 of 3242 - Sale <sup>25</sup>
	1.000	7.69	06/24/20	8.61	0.92 W	0.00	4 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	6.61	0.66 W	0.00	5 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	8.47	0.84 W	0.00	6 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	8.42	0.79 W	0.00	7 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/24/20	10.07	0.76 W	0.00	8 of 3242 - Sale <sup>25</sup>
	1.580	12.05	06/24/20	13.38	1.33 W	0.00	9 of 3242 - Sale <sup>25</sup>
	2.260	17.24	06/24/20	18.60	1.36 W	0.00	10 of 3242 - Sale <sup>25</sup>
	2.360	18.01	06/24/20	19.84	1.83 W	0.00	11 of 3242 - Sale <sup>25</sup>
	2.360	18.01	06/24/20	19.62	1.61 W	0.00	12 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.640	20.14	06/24/20	22.22	2.08 W	0.00	13 of 3242 - Sale <sup>25</sup>
	2.640	20.14	06/24/20	21.78	1.64 W	0.00	14 of 3242 - Sale <sup>25</sup>
	3.220	24.57	06/24/20	27.14	2.57 W	0.00	15 of 3242 - Sale <sup>25</sup>
	3.420	26.09	06/24/20	28.93	2.84 W	0.00	16 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	33.61	3.09 W	0.00	17 of 3242 - Sale <sup>25</sup>
	4.100	31.28	06/24/20	34.29	3.01 W	0.00	18 of 3242 - Sale <sup>25</sup>
	5.100	38.91	06/24/20	42.04	3.13 W	0.00	19 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	51.28	5.50 W	0.00	20 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	50.47	4.69 W	0.00	21 of 3242 - Sale <sup>25</sup>
	6.640	50.66	06/24/20	56.70	6.04 W	0.00	22 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	57.60	4.19 W	0.00	23 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	57.56	4.15 W	0.00	24 of 3242 - Sale <sup>25</sup>
	7.900	60.27	06/24/20	66.07	5.80 W	0.00	25 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	66.90	5.86 W	0.00	26 of 3242 - Sale <sup>25</sup>
	10.140	77.37	06/24/20	87.29	9.92 W	0.00	27 of 3242 - Sale <sup>25</sup>
	11.000	83.93	06/24/20	92.00	8.07 W	0.00	28 of 3242 - Sale <sup>25</sup>
	11.580	88.35	06/24/20	97.33	8.98 W	0.00	29 of 3242 - Sale <sup>25</sup>
	13.900	106.05	06/24/20	116.38	10.33 W	0.00	30 of 3242 - Sale <sup>25</sup>
	27.000	206.00	06/24/20	227.15	21.15 W	0.00	31 of 3242 - Sale <sup>25</sup>
	27.420	209.21	06/24/20	225.40	16.19 W	0.00	32 of 3242 - Sale <sup>25</sup>
	34.520	263.38	06/24/20	289.03	25.65 W	0.00	33 of 3242 - Sale <sup>25</sup>
	22.220	169.53	06/24/20	191.32	21.79 W	0.00	34 of 3242 - Sale <sup>25</sup>
	41.000	312.82	06/24/20	344.92	32.10 W	0.00	35 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.31	0.63 W	0.00	36 of 3242 - Sale <sup>25</sup>
	0.420	3.20	06/24/20	4.40	1.20 W	0.00	37 of 3242 - Sale <sup>25</sup>
	0.420	3.20	06/24/20	4.35	1.15 W	0.00	38 of 3242 - Sale <sup>25</sup>
	0.580	4.43	06/24/20	6.05	1.62 W	0.00	39 of 3242 - Sale <sup>25</sup>
	0.580	4.43	06/24/20	5.99	1.56 W	0.00	40 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.15	2.20 W	0.00	41 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.13	3.50 W	0.00	42 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.47	2.84 W	0.00	43 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.50	2.87 W	0.00	44 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.43	2.80 W	0.00	45 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.50	2.87 W	0.00	46 of 3242 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	06/24/20	10.39	2.76 W	0.00	47 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.44	2.81 W	0.00	48 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.42	2.79 W	0.00	49 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.42	2.79 W	0.00	50 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.41	2.78 W	0.00	51 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.38	2.75 W	0.00	52 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.39	2.76 W	0.00	53 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.40	2.77 W	0.00	54 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.40	2.77 W	0.00	55 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.43	6.17 W	0.00	56 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	20.96	5.70 W	0.00	57 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	20.70	5.44 W	0.00	58 of 3242 - Sale <sup>25</sup>
	2.420	18.46	06/24/20	26.87	8.41 W	0.00	59 of 3242 - Sale <sup>25</sup>
	2.580	19.68	06/24/20	28.81	9.13 W	0.00	60 of 3242 - Sale <sup>25</sup>
	2.580	19.68	06/24/20	26.72	7.04 W	0.00	61 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.34	8.45 W	0.00	62 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.36	8.47 W	0.00	63 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.39	8.50 W	0.00	64 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.14	8.25 W	0.00	65 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.15	8.26 W	0.00	66 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.16	8.27 W	0.00	67 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.25	8.36 W	0.00	68 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.18	8.29 W	0.00	69 of 3242 - Sale <sup>25</sup>
	3.420	26.09	06/24/20	38.17	12.08 W	0.00	70 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	41.69	11.17 W	0.00	71 of 3242 - Sale <sup>25</sup>
	4.580	34.94	06/24/20	47.45	12.51 W	0.00	72 of 3242 - Sale <sup>25</sup>
	5.420	41.35	06/24/20	56.14	14.79 W	0.00	73 of 3242 - Sale <sup>25</sup>
	6.580	50.20	06/24/20	73.22	23.02 W	0.00	74 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	72.69	19.28 W	0.00	75 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	83.46	22.42 W	0.00	76 of 3242 - Sale <sup>25</sup>
	9.580	73.09	06/24/20	103.29	30.20 W	0.00	77 of 3242 - Sale <sup>25</sup>
	10.420	79.50	06/24/20	107.93	28.43 W	0.00	78 of 3242 - Sale <sup>25</sup>
	0.140	1.07	06/24/20	1.50	0.43 W	0.00	79 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.37	0.69 W	0.00	80 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.220	1.68	06/24/20	2.40	0.72 W	0.00	81 of 3242 - Sale <sup>25</sup>
	0.360	2.75	06/24/20	3.87	1.12 W	0.00	82 of 3242 - Sale <sup>25</sup>
	0.360	2.75	06/24/20	3.82	1.07 W	0.00	83 of 3242 - Sale <sup>25</sup>
	0.360	2.75	06/24/20	3.85	1.10 W	0.00	84 of 3242 - Sale <sup>25</sup>
	0.420	3.20	06/24/20	4.54	1.34 W	0.00	85 of 3242 - Sale <sup>25</sup>
	0.560	4.27	06/24/20	6.28	2.01 W	0.00	86 of 3242 - Sale <sup>25</sup>
	0.640	4.88	06/24/20	6.94	2.06 W	0.00	87 of 3242 - Sale <sup>25</sup>
	0.640	4.88	06/24/20	6.84	1.96 W	0.00	88 of 3242 - Sale <sup>25</sup>
	0.640	4.88	06/24/20	6.83	1.95 W	0.00	89 of 3242 - Sale <sup>25</sup>
	0.740	5.65	06/24/20	8.00	2.35 W	0.00	90 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.37	2.42 W	0.00	91 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.64	2.69 W	0.00	92 of 3242 - Sale <sup>25</sup>
	0.860	6.56	06/24/20	9.22	2.66 W	0.00	93 of 3242 - Sale <sup>25</sup>
	0.920	7.02	06/24/20	10.26	3.24 W	0.00	94 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.29	3.66 W	0.00	95 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.26	3.63 W	0.00	96 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.79	3.16 W	0.00	97 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.79	3.16 W	0.00	98 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.81	3.18 W	0.00	99 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.80	3.17 W	0.00	100 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.81	3.18 W	0.00	101 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.93	3.30 W	0.00	102 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.75	3.12 W	0.00	103 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.54	2.91 W	0.00	104 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.57	2.94 W	0.00	105 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.59	2.96 W	0.00	106 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.55	2.92 W	0.00	107 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.58	2.95 W	0.00	108 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.56	2.93 W	0.00	109 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.55	2.92 W	0.00	110 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.58	2.95 W	0.00	111 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.71	3.08 W	0.00	112 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.71	3.08 W	0.00	113 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.67	3.04 W	0.00	114 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	06/24/20	10.67	3.04 W	0.00	115 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.67	3.04 W	0.00	116 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.87	3.24 W	0.00	117 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.89	3.26 W	0.00	118 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.80	3.17 W	0.00	119 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.80	3.17 W	0.00	120 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.81	3.18 W	0.00	121 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.73	3.10 W	0.00	122 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.08	3.45 W	0.00	123 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.14	3.51 W	0.00	124 of 3242 - Sale <sup>25</sup>
	1.260	9.61	06/24/20	13.57	3.96 W	0.00	125 of 3242 - Sale <sup>25</sup>
	1.260	9.61	06/24/20	13.28	3.67 W	0.00	126 of 3242 - Sale <sup>25</sup>
	1.360	10.38	06/24/20	14.35	3.97 W	0.00	127 of 3242 - Sale <sup>25</sup>
	1.780	13.58	06/24/20	19.01	5.43 W	0.00	128 of 3242 - Sale <sup>25</sup>
	1.780	13.58	06/24/20	19.22	5.64 W	0.00	129 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	22.49	7.23 W	0.00	130 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.56	6.30 W	0.00	131 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.09	5.83 W	0.00	132 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.10	5.84 W	0.00	133 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.19	5.93 W	0.00	134 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.13	5.87 W	0.00	135 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.14	5.88 W	0.00	136 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.13	5.87 W	0.00	137 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	20.90	5.64 W	0.00	138 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.70	6.44 W	0.00	139 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.88	6.62 W	0.00	140 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.81	6.55 W	0.00	141 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.62	6.36 W	0.00	142 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.44	6.18 W	0.00	143 of 3242 - Sale <sup>25</sup>
	2.140	16.33	06/24/20	23.03	6.70 W	0.00	144 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/24/20	23.83	6.89 W	0.00	145 of 3242 - Sale <sup>25</sup>
	2.640	20.14	06/24/20	27.85	7.71 W	0.00	146 of 3242 - Sale <sup>25</sup>
	2.900	22.13	06/24/20	32.63	10.50 W	0.00	147 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	33.82	10.93 W	0.00	148 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.89	06/24/20	32.72	9.83 W	0.00	149 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.72	9.83 W	0.00	150 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.17	9.28 W	0.00	151 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.66	8.77 W	0.00	152 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.10	9.21 W	0.00	153 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.11	9.22 W	0.00	154 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.20	9.31 W	0.00	155 of 3242 - Sale <sup>25</sup>
	1.660	12.66	06/24/20	18.63	5.97 W	0.00	156 of 3242 - Sale <sup>25</sup>
	3.100	23.65	06/24/20	34.86	11.21 W	0.00	157 of 3242 - Sale <sup>25</sup>
	3.360	25.64	06/24/20	35.31	9.67 W	0.00	158 of 3242 - Sale <sup>25</sup>
	3.740	28.53	06/24/20	39.49	10.96 W	0.00	159 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.26	12.74 W	0.00	160 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.31	12.79 W	0.00	161 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	42.15	11.63 W	0.00	162 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	42.64	12.12 W	0.00	163 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.35	12.83 W	0.00	164 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	44.37	13.85 W	0.00	165 of 3242 - Sale <sup>25</sup>
	4.080	31.13	06/24/20	43.90	12.77 W	0.00	166 of 3242 - Sale <sup>25</sup>
	4.080	31.13	06/24/20	45.48	14.35 W	0.00	167 of 3242 - Sale <sup>25</sup>
	4.220	32.20	06/24/20	45.09	12.89 W	0.00	168 of 3242 - Sale <sup>25</sup>
	4.360	33.27	06/24/20	47.56	14.29 W	0.00	169 of 3242 - Sale <sup>25</sup>
	4.640	35.40	06/24/20	50.76	15.36 W	0.00	170 of 3242 - Sale <sup>25</sup>
	4.640	35.40	06/24/20	49.10	13.70 W	0.00	171 of 3242 - Sale <sup>25</sup>
	5.000	38.14	06/24/20	54.17	16.03 W	0.00	172 of 3242 - Sale <sup>25</sup>
	5.780	44.10	06/24/20	61.67	17.57 W	0.00	173 of 3242 - Sale <sup>25</sup>
	5.780	44.10	06/24/20	62.44	18.34 W	0.00	174 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	64.63	18.85 W	0.00	175 of 3242 - Sale <sup>25</sup>
	6.000	45.77	06/24/20	64.35	18.58 W	0.00	176 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	64.38	18.60 W	0.00	177 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	87.25	26.21 W	0.00	178 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	86.41	25.37 W	0.00	179 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	84.04	23.00 W	0.00	180 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	85.43	24.39 W	0.00	181 of 3242 - Sale <sup>25</sup>
	8.360	63.78	06/24/20	91.42	27.64 W	0.00	182 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.640	65.92	06/24/20	90.79	24.87 W	0.00	183 of 3242 - Sale <sup>25</sup>
	10.000	76.29	06/24/20	107.14	30.85 W	0.00	184 of 3242 - Sale <sup>25</sup>
	11.000	83.92	06/24/20	119.34	35.42 W	0.00	185 of 3242 - Sale <sup>25</sup>
	12.000	91.56	06/24/20	126.80	35.24 W	0.00	186 of 3242 - Sale <sup>25</sup>
	15.000	114.45	06/24/20	168.88	54.43 W	0.00	187 of 3242 - Sale <sup>25</sup>
	0.580	4.43	06/24/20	6.49	2.06 W	0.00	188 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.14	3.51 W	0.00	189 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.08	3.45 W	0.00	190 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.25	3.62 W	0.00	191 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.24	3.61 W	0.00	192 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	22.18	6.92 W	0.00	193 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	22.16	6.90 W	0.00	194 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	22.43	7.17 W	0.00	195 of 3242 - Sale <sup>25</sup>
	1.340	10.22	06/24/20	15.03	4.81 W	0.00	196 of 3242 - Sale <sup>25</sup>
	3.220	24.57	06/24/20	35.90	11.33 W	0.00	197 of 3242 - Sale <sup>25</sup>
	3.780	28.84	06/24/20	42.10	13.26 W	0.00	198 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	44.30	13.78 W	0.00	199 of 3242 - Sale <sup>25</sup>
	5.420	41.35	06/24/20	60.76	19.41 W	0.00	200 of 3242 - Sale <sup>25</sup>
	10.000	76.30	06/24/20	112.47	36.17 W	0.00	201 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.40	0.72 W	0.00	202 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.82	3.19 W	0.00	203 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.81	3.18 W	0.00	204 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.84	3.21 W	0.00	205 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.76	3.13 W	0.00	206 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/24/20	13.21	3.90 W	0.00	207 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.75	6.49 W	0.00	208 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.63	6.37 W	0.00	209 of 3242 - Sale <sup>25</sup>
	0.660	5.03	06/24/20	7.10	2.07 W	0.00	210 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	30.21	9.00 W	0.00	211 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.47	9.58 W	0.00	212 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.38	9.49 W	0.00	213 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.12	12.60 W	0.00	214 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.12	12.60 W	0.00	215 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.02	12.50 W	0.00	216 of 3242 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	38.15	06/24/20	54.49	16.34 W	0.00	217 of 3242 - Sale <sup>25</sup>
	6.000	45.77	06/24/20	64.94	19.17 W	0.00	218 of 3242 - Sale <sup>25</sup>
	6.000	45.77	06/24/20	64.92	19.15 W	0.00	219 of 3242 - Sale <sup>25</sup>
	6.780	51.73	06/24/20	73.36	21.63 W	0.00	220 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	76.26	22.85 W	0.00	221 of 3242 - Sale <sup>25</sup>
	10.000	76.30	06/24/20	109.04	32.74 W	0.00	222 of 3242 - Sale <sup>25</sup>
	0.360	2.75	06/24/20	3.87	1.12 W	0.00	223 of 3242 - Sale <sup>25</sup>
	0.360	2.75	06/24/20	3.98	1.23 W	0.00	224 of 3242 - Sale <sup>25</sup>
	0.580	4.43	06/24/20	6.36	1.93 W	0.00	225 of 3242 - Sale <sup>25</sup>
	0.640	4.88	06/24/20	6.91	2.03 W	0.00	226 of 3242 - Sale <sup>25</sup>
	0.640	4.88	06/24/20	7.01	2.13 W	0.00	227 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.33	2.38 W	0.00	228 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.76	3.13 W	0.00	229 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.75	3.12 W	0.00	230 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.74	3.11 W	0.00	231 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.91	3.28 W	0.00	232 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.91	3.28 W	0.00	233 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.92	3.29 W	0.00	234 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.74	3.11 W	0.00	235 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.69	3.06 W	0.00	236 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.68	3.05 W	0.00	237 of 3242 - Sale <sup>25</sup>
	1.360	10.38	06/24/20	14.84	4.46 W	0.00	238 of 3242 - Sale <sup>25</sup>
	1.440	10.99	06/24/20	15.41	4.42 W	0.00	239 of 3242 - Sale <sup>25</sup>
	1.780	13.58	06/24/20	19.09	5.51 W	0.00	240 of 3242 - Sale <sup>25</sup>
	1.340	10.22	06/24/20	14.41	4.19 W	0.00	241 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.56	6.30 W	0.00	242 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.96	6.70 W	0.00	243 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.42	6.16 W	0.00	244 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.34	6.08 W	0.00	245 of 3242 - Sale <sup>25</sup>
	2.300	17.55	06/24/20	24.39	6.84 W	0.00	246 of 3242 - Sale <sup>25</sup>
	2.680	20.45	06/24/20	28.86	8.41 W	0.00	247 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	29.80	8.59 W	0.00	248 of 3242 - Sale <sup>25</sup>
	2.860	21.82	06/24/20	30.79	8.97 W	0.00	249 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.27	9.38 W	0.00	250 of 3242 - Sale <sup>25</sup>

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(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.89	06/24/20	32.27	9.38 W	0.00	251 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.27	9.38 W	0.00	252 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.81	8.92 W	0.00	253 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	42.96	12.44 W	0.00	254 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	42.88	12.36 W	0.00	255 of 3242 - Sale <sup>25</sup>
	4.420	33.72	06/24/20	48.41	14.69 W	0.00	256 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	53.81	15.66 W	0.00	257 of 3242 - Sale <sup>25</sup>
	5.000	38.14	06/24/20	53.11	14.97 W	0.00	258 of 3242 - Sale <sup>25</sup>
	6.780	51.73	06/24/20	72.46	20.73 W	0.00	259 of 3242 - Sale <sup>25</sup>
	7.320	55.85	06/24/20	78.81	22.96 W	0.00	260 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	87.89	26.85 W	0.00	261 of 3242 - Sale <sup>25</sup>
	11.220	85.60	06/24/20	119.72	34.12 W	0.00	262 of 3242 - Sale <sup>25</sup>
	5.360	40.89	06/24/20	43.33	2.44 W	0.00	263 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	16.15	0.89 W	0.00	264 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	22.46	1.25 W	0.00	265 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	24.25	1.36 W	0.00	266 of 3242 - Sale <sup>25</sup>
	3.100	23.65	06/24/20	25.05	1.40 W	0.00	267 of 3242 - Sale <sup>25</sup>
	6.900	52.65	06/24/20	55.78	3.13 W	0.00	268 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	56.57	3.16 W	0.00	269 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	16.17	0.91 W	0.00	270 of 3242 - Sale <sup>25</sup>
	8.220	62.72	06/24/20	66.46	3.74 W	0.00	271 of 3242 - Sale <sup>25</sup>
	30.000	228.89	06/24/20	242.52	13.63 W	0.00	272 of 3242 - Sale <sup>25</sup>
	0.700	5.34	06/24/20	7.42	2.08 W	0.00	273 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	7.81	1.86 W	0.00	274 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.45	2.82 W	0.00	275 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.47	2.84 W	0.00	276 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.47	2.84 W	0.00	277 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.53	2.90 W	0.00	278 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.50	2.87 W	0.00	279 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.54	2.91 W	0.00	280 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.16	2.53 W	0.00	281 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.15	2.52 W	0.00	282 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.13	2.50 W	0.00	283 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.11	2.48 W	0.00	284 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	06/24/20	9.98	2.35 W	0.00	285 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.18	8.29 W	0.00	286 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	40.42	9.90 W	0.00	287 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	40.41	9.89 W	0.00	288 of 3242 - Sale <sup>25</sup>
	2.300	17.55	06/24/20	23.01	5.46 W	0.00	289 of 3242 - Sale <sup>25</sup>
	4.220	32.19	06/24/20	42.61	10.42 W	0.00	290 of 3242 - Sale <sup>25</sup>
	5.000	38.14	06/24/20	50.53	12.39 W	0.00	291 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	73.76	20.35 W	0.00	292 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	7.84	1.89 W	0.00	293 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.05	2.42 W	0.00	294 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.03	2.40 W	0.00	295 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	9.95	2.32 W	0.00	296 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/24/20	12.25	2.94 W	0.00	297 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/24/20	12.13	2.82 W	0.00	298 of 3242 - Sale <sup>25</sup>
	1.780	13.58	06/24/20	17.71	4.13 W	0.00	299 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	19.87	4.61 W	0.00	300 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	19.88	4.62 W	0.00	301 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	19.90	4.64 W	0.00	302 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/24/20	22.30	5.36 W	0.00	303 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	27.92	6.71 W	0.00	304 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	27.73	6.52 W	0.00	305 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	30.06	7.17 W	0.00	306 of 3242 - Sale <sup>25</sup>
	1.700	12.97	06/24/20	17.00	4.03 W	0.00	307 of 3242 - Sale <sup>25</sup>
	5.220	39.83	06/24/20	52.04	12.21 W	0.00	308 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	60.29	14.51 W	0.00	309 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	59.69	13.91 W	0.00	310 of 3242 - Sale <sup>25</sup>
	6.300	48.07	06/24/20	62.80	14.73 W	0.00	311 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	9.94	2.31 W	0.00	312 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	9.91	2.28 W	0.00	313 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.02	2.39 W	0.00	314 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.07	2.44 W	0.00	315 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.07	2.44 W	0.00	316 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.07	2.44 W	0.00	317 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.04	2.41 W	0.00	318 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	06/24/20	10.06	2.43 W	0.00	319 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.38	2.75 W	0.00	320 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.43	2.80 W	0.00	321 of 3242 - Sale <sup>25</sup>
	0.300	2.29	06/24/20	3.13	0.84 W	0.00	322 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	19.84	4.58 W	0.00	323 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	30.98	8.09 W	0.00	324 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	40.37	9.85 W	0.00	325 of 3242 - Sale <sup>25</sup>
	5.780	44.10	06/24/20	57.50	13.40 W	0.00	326 of 3242 - Sale <sup>25</sup>
	4.920	37.54	06/24/20	49.04	11.50 W	0.00	327 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.32	0.64 W	0.00	328 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	7.97	2.02 W	0.00	329 of 3242 - Sale <sup>25</sup>
	0.700	5.34	06/24/20	7.29	1.95 W	0.00	330 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.54	2.91 W	0.00	331 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.53	2.90 W	0.00	332 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.52	2.89 W	0.00	333 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.19	2.56 W	0.00	334 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.05	5.79 W	0.00	335 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	20.39	5.13 W	0.00	336 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/24/20	23.34	6.40 W	0.00	337 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	29.28	8.07 W	0.00	338 of 3242 - Sale <sup>25</sup>
	2.300	17.55	06/24/20	23.44	5.89 W	0.00	339 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	31.32	8.43 W	0.00	340 of 3242 - Sale <sup>25</sup>
	3.780	28.84	06/24/20	39.49	10.65 W	0.00	341 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	41.77	11.25 W	0.00	342 of 3242 - Sale <sup>25</sup>
	4.220	32.20	06/24/20	44.11	11.91 W	0.00	343 of 3242 - Sale <sup>25</sup>
	0.140	1.07	06/24/20	1.44	0.37 W	0.00	344 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.27	0.59 W	0.00	345 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.25	0.57 W	0.00	346 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	7.90	1.95 W	0.00	347 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	7.89	1.94 W	0.00	348 of 3242 - Sale <sup>25</sup>
	0.860	6.56	06/24/20	8.81	2.25 W	0.00	349 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.20	2.57 W	0.00	350 of 3242 - Sale <sup>25</sup>
	1.140	8.70	06/24/20	11.71	3.01 W	0.00	351 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/24/20	12.39	3.08 W	0.00	352 of 3242 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**  
 Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.560	4.27	06/24/20	5.71	1.44 W	0.00	353 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	51.30	13.15 W	0.00	354 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.36	0.68 W	0.00	355 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.27	2.32 W	0.00	356 of 3242 - Sale <sup>25</sup>
	3.780	28.84	06/24/20	40.12	11.28 W	0.00	357 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	63.64	17.86 W	0.00	358 of 3242 - Sale <sup>25</sup>
	7.300	55.70	06/24/20	77.44	21.74 W	0.00	359 of 3242 - Sale <sup>25</sup>
	0.140	1.07	06/24/20	1.54	0.47 W	0.00	360 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.47	0.79 W	0.00	361 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.35	0.67 W	0.00	362 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.41	0.73 W	0.00	363 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.44	0.76 W	0.00	364 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.35	0.67 W	0.00	365 of 3242 - Sale <sup>25</sup>
	0.360	2.75	06/24/20	3.88	1.13 W	0.00	366 of 3242 - Sale <sup>25</sup>
	0.440	3.36	06/24/20	4.68	1.32 W	0.00	367 of 3242 - Sale <sup>25</sup>
	0.640	4.88	06/24/20	6.90	2.02 W	0.00	368 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.63	2.68 W	0.00	369 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.67	2.72 W	0.00	370 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.06	2.11 W	0.00	371 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.27	2.32 W	0.00	372 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.30	2.35 W	0.00	373 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.62	2.67 W	0.00	374 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.59	2.96 W	0.00	375 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.54	2.91 W	0.00	376 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.53	2.90 W	0.00	377 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.86	3.23 W	0.00	378 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.18	3.55 W	0.00	379 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.05	3.42 W	0.00	380 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.13	3.50 W	0.00	381 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.09	3.46 W	0.00	382 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	11.09	3.46 W	0.00	383 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.59	2.96 W	0.00	384 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.64	3.01 W	0.00	385 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.62	2.99 W	0.00	386 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.220	16.94	06/24/20	24.60	7.66 W	0.00	421 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/24/20	23.00	6.06 W	0.00	422 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/24/20	24.40	7.46 W	0.00	423 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/24/20	23.98	7.04 W	0.00	424 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	31.15	9.94 W	0.00	425 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	29.60	8.39 W	0.00	426 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	29.99	8.78 W	0.00	427 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	33.15	10.26 W	0.00	428 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	33.35	10.46 W	0.00	429 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	30.91	8.02 W	0.00	430 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	30.91	8.02 W	0.00	431 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	33.03	10.14 W	0.00	432 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.44	9.55 W	0.00	433 of 3242 - Sale <sup>25</sup>
	3.140	23.96	06/24/20	33.43	9.47 W	0.00	434 of 3242 - Sale <sup>25</sup>
	3.420	26.09	06/24/20	36.36	10.27 W	0.00	435 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.47	12.95 W	0.00	436 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	44.13	13.61 W	0.00	437 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.13	12.61 W	0.00	438 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.25	12.73 W	0.00	439 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	43.28	12.76 W	0.00	440 of 3242 - Sale <sup>25</sup>
	4.640	35.40	06/24/20	49.84	14.44 W	0.00	441 of 3242 - Sale <sup>25</sup>
	4.780	36.47	06/24/20	52.66	16.19 W	0.00	442 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	53.07	14.92 W	0.00	443 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	55.96	17.81 W	0.00	444 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	51.92	13.77 W	0.00	445 of 3242 - Sale <sup>25</sup>
	5.220	39.83	06/24/20	58.47	18.64 W	0.00	446 of 3242 - Sale <sup>25</sup>
	5.780	44.10	06/24/20	63.66	19.56 W	0.00	447 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	66.20	20.42 W	0.00	448 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	66.49	20.71 W	0.00	449 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	65.46	19.68 W	0.00	450 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	64.83	19.05 W	0.00	451 of 3242 - Sale <sup>25</sup>
	6.220	47.45	06/24/20	66.23	18.78 W	0.00	452 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	77.32	23.91 W	0.00	453 of 3242 - Sale <sup>25</sup>
	7.000	53.41	06/24/20	77.54	24.13 W	0.00	454 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	53.41	06/24/20	77.13	23.72 W	0.00	455 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/24/20	88.20	27.16 W	0.00	456 of 3242 - Sale <sup>25</sup>
	8.780	66.99	06/24/20	93.12	26.13 W	0.00	457 of 3242 - Sale <sup>25</sup>
	9.000	68.67	06/24/20	97.25	28.58 W	0.00	458 of 3242 - Sale <sup>25</sup>
	9.000	68.66	06/24/20	98.24	29.58 W	0.00	459 of 3242 - Sale <sup>25</sup>
	1.920	14.65	06/24/20	20.37	5.72 W	0.00	460 of 3242 - Sale <sup>25</sup>
	10.000	76.29	06/24/20	107.95	31.66 W	0.00	461 of 3242 - Sale <sup>25</sup>
	10.220	77.97	06/24/20	113.64	35.67 W	0.00	462 of 3242 - Sale <sup>25</sup>
	16.000	122.07	06/24/20	178.07	56.00 W	0.00	463 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.39	0.71 W	0.00	464 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.26	0.58 W	0.00	465 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.45	2.50 W	0.00	466 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	8.19	2.24 W	0.00	467 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.56	2.93 W	0.00	468 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.89	3.26 W	0.00	469 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.88	3.25 W	0.00	470 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.95	3.32 W	0.00	471 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	10.86	3.23 W	0.00	472 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/24/20	13.27	3.96 W	0.00	473 of 3242 - Sale <sup>25</sup>
	1.780	13.58	06/24/20	18.63	5.05 W	0.00	474 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.76	6.50 W	0.00	475 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.67	6.41 W	0.00	476 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	21.69	6.43 W	0.00	477 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	20.98	5.72 W	0.00	478 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	20.92	5.66 W	0.00	479 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/24/20	20.65	5.39 W	0.00	480 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	30.17	8.96 W	0.00	481 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/24/20	28.96	7.75 W	0.00	482 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/24/20	32.56	9.67 W	0.00	483 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	54.41	16.26 W	0.00	484 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	54.25	16.10 W	0.00	485 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	52.36	14.21 W	0.00	486 of 3242 - Sale <sup>25</sup>
	5.220	39.82	06/24/20	54.42	14.60 W	0.00	487 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	65.31	19.53 W	0.00	488 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Gain or loss(-) & Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities, proceeds, and dates.

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
<b>OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)</b>							
	3.000	22.89	06/24/20	30.08	7.19 W	0.00	523 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	49.53	11.38 W	0.00	524 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	50.13	11.98 W	0.00	525 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	49.57	11.42 W	0.00	526 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/24/20	49.54	11.39 W	0.00	527 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/24/20	60.44	14.66 W	0.00	528 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/24/20	2.22	0.54 W	0.00	529 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/24/20	7.75	1.80 W	0.00	530 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	9.96	2.33 W	0.00	531 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/24/20	9.95	2.32 W	0.00	532 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/24/20	12.29	2.98 W	0.00	533 of 3242 - Sale <sup>25</sup>
	1.780	13.58	06/24/20	17.98	4.40 W	0.00	534 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/24/20	40.36	9.84 W	0.00	535 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/29/20	9.71	2.08 W	0.00	536 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/29/20	7.58	1.63 W	0.00	537 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/29/20	9.67	2.04 W	0.00	538 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/29/20	19.36	4.10 W	0.00	539 of 3242 - Sale <sup>25</sup>
	4.220	32.20	06/29/20	41.49	9.29 W	0.00	540 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/30/20	21.75	4.81 W	0.00	541 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/30/20	27.33	6.12 W	0.00	542 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/30/20	7.80	1.85 W	0.00	543 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.76	2.13 W	0.00	544 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.59	1.96 W	0.00	545 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.44	1.81 W	0.00	546 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.43	1.80 W	0.00	547 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.28	1.65 W	0.00	548 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.15	1.52 W	0.00	549 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.16	1.53 W	0.00	550 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/30/20	11.19	1.88 W	0.00	551 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	19.88	4.62 W	0.00	552 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	19.03	3.77 W	0.00	553 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	18.82	3.56 W	0.00	554 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	18.86	3.60 W	0.00	555 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	18.24	2.98 W	0.00	556 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.89	06/30/20	28.77	5.88 W	0.00	557 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	28.70	5.81 W	0.00	558 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	28.49	5.60 W	0.00	559 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	39.15	8.63 W	0.00	560 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/30/20	47.93	9.78 W	0.00	561 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/30/20	45.61	7.46 W	0.00	562 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/30/20	76.75	15.71 W	0.00	563 of 3242 - Sale <sup>25</sup>
	0.780	5.95	06/30/20	7.14	1.19 W	0.00	564 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.16	1.53 W	0.00	565 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/30/20	11.17	1.86 W	0.00	566 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	18.31	3.05 W	0.00	567 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/30/20	20.21	3.27 W	0.00	568 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/30/20	25.31	4.10 W	0.00	569 of 3242 - Sale <sup>25</sup>
	2.220	16.94	06/30/20	20.05	3.11 W	0.00	570 of 3242 - Sale <sup>25</sup>
	2.780	21.21	06/30/20	25.25	4.04 W	0.00	571 of 3242 - Sale <sup>25</sup>
	0.100	0.76	06/30/20	0.96	0.20 W	0.00	572 of 3242 - Sale <sup>25</sup>
	0.900	6.87	06/30/20	9.32	2.45 W	0.00	573 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.41	2.78 W	0.00	574 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.34	2.71 W	0.00	575 of 3242 - Sale <sup>25</sup>
	1.220	9.31	06/30/20	12.61	3.30 W	0.00	576 of 3242 - Sale <sup>25</sup>
	1.900	14.50	06/30/20	19.57	5.07 W	0.00	577 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.76	5.50 W	0.00	578 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.79	5.53 W	0.00	579 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.79	5.53 W	0.00	580 of 3242 - Sale <sup>25</sup>
	2.100	16.02	06/30/20	21.73	5.71 W	0.00	581 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	30.87	7.98 W	0.00	582 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	31.03	8.14 W	0.00	583 of 3242 - Sale <sup>25</sup>
	3.100	23.65	06/30/20	31.90	8.25 W	0.00	584 of 3242 - Sale <sup>25</sup>
	3.900	29.76	06/30/20	37.82	8.06 W	0.00	585 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	41.62	11.10 W	0.00	586 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/30/20	51.97	13.82 W	0.00	587 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	18.06	2.80 W	0.00	588 of 3242 - Sale <sup>25</sup>
	3.780	28.84	06/30/20	34.12	5.28 W	0.00	589 of 3242 - Sale <sup>25</sup>
	8.000	61.04	06/30/20	71.95	10.91 W	0.00	590 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.360	25.64	06/30/20	36.61	10.97 W	0.00	591 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.53	1.90 W	0.00	592 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.55	1.92 W	0.00	593 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.56	1.93 W	0.00	594 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.54	1.91 W	0.00	595 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.54	1.91 W	0.00	596 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	18.89	3.63 W	0.00	597 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	19.08	3.82 W	0.00	598 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	19.09	3.83 W	0.00	599 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	19.09	3.83 W	0.00	600 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	28.62	5.73 W	0.00	601 of 3242 - Sale <sup>25</sup>
	3.860	29.45	06/30/20	36.72	7.27 W	0.00	602 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	37.81	7.29 W	0.00	603 of 3242 - Sale <sup>25</sup>
	9.000	68.67	06/30/20	86.07	17.40 W	0.00	604 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	30.62	7.73 W	0.00	605 of 3242 - Sale <sup>25</sup>
	0.560	4.27	06/30/20	5.67	1.40 W	0.00	606 of 3242 - Sale <sup>25</sup>
	0.640	4.88	06/30/20	6.50	1.62 W	0.00	607 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.14	2.51 W	0.00	608 of 3242 - Sale <sup>25</sup>
	8.580	65.46	06/30/20	87.15	21.69 W	0.00	609 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/30/20	2.11	0.43 W	0.00	610 of 3242 - Sale <sup>25</sup>
	0.360	2.75	06/30/20	3.44	0.69 W	0.00	611 of 3242 - Sale <sup>25</sup>
	0.420	3.20	06/30/20	3.96	0.76 W	0.00	612 of 3242 - Sale <sup>25</sup>
	0.420	3.20	06/30/20	4.04	0.84 W	0.00	613 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.42	1.79 W	0.00	614 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.42	1.79 W	0.00	615 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.59	1.96 W	0.00	616 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.59	1.96 W	0.00	617 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.62	1.99 W	0.00	618 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	19.17	3.91 W	0.00	619 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	28.84	5.95 W	0.00	620 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	38.28	7.76 W	0.00	621 of 3242 - Sale <sup>25</sup>
	9.140	69.73	06/30/20	86.21	16.48 W	0.00	622 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.00	2.37 W	0.00	623 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.01	2.38 W	0.00	624 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	06/30/20	10.09	2.46 W	0.00	625 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.08	2.45 W	0.00	626 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.06	2.43 W	0.00	627 of 3242 - Sale <sup>25</sup>
	1.580	12.05	06/30/20	15.79	3.74 W	0.00	628 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.19	4.93 W	0.00	629 of 3242 - Sale <sup>25</sup>
	2.860	21.82	06/30/20	28.78	6.96 W	0.00	630 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	30.19	7.30 W	0.00	631 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/30/20	49.97	11.82 W	0.00	632 of 3242 - Sale <sup>25</sup>
	6.000	45.78	06/30/20	60.34	14.56 W	0.00	633 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/30/20	50.16	12.01 W	0.00	634 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	9.98	2.35 W	0.00	635 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.26	2.63 W	0.00	636 of 3242 - Sale <sup>25</sup>
	1.860	14.19	06/30/20	18.96	4.77 W	0.00	637 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.02	4.76 W	0.00	638 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	19.96	4.70 W	0.00	639 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.52	5.26 W	0.00	640 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	30.05	7.16 W	0.00	641 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	40.00	9.48 W	0.00	642 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	41.00	10.48 W	0.00	643 of 3242 - Sale <sup>25</sup>
	4.140	31.59	06/30/20	41.46	9.87 W	0.00	644 of 3242 - Sale <sup>25</sup>
	0.420	3.20	06/30/20	4.29	1.09 W	0.00	645 of 3242 - Sale <sup>25</sup>
	0.420	3.20	06/30/20	4.37	1.17 W	0.00	646 of 3242 - Sale <sup>25</sup>
	0.580	4.42	06/30/20	6.05	1.63 W	0.00	647 of 3242 - Sale <sup>25</sup>
	0.860	6.56	06/30/20	9.00	2.44 W	0.00	648 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.42	2.79 W	0.00	649 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.42	2.79 W	0.00	650 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.42	2.79 W	0.00	651 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.42	2.79 W	0.00	652 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.40	2.77 W	0.00	653 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	10.45	2.82 W	0.00	654 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.85	5.59 W	0.00	655 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.85	5.59 W	0.00	656 of 3242 - Sale <sup>25</sup>
	2.140	16.33	06/30/20	21.85	5.52 W	0.00	657 of 3242 - Sale <sup>25</sup>
	2.580	19.68	06/30/20	26.39	6.71 W	0.00	658 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.52	06/30/20	40.91	10.39 W	0.00	659 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	41.60	11.08 W	0.00	660 of 3242 - Sale <sup>25</sup>
	1.140	8.70	06/30/20	11.91	3.21 W	0.00	661 of 3242 - Sale <sup>25</sup>
	3.440	26.24	06/30/20	36.03	9.79 W	0.00	662 of 3242 - Sale <sup>25</sup>
	5.420	41.35	06/30/20	56.65	15.30 W	0.00	663 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	20.97	5.71 W	0.00	664 of 3242 - Sale <sup>25</sup>
	2.000	15.26	06/30/20	21.10	5.84 W	0.00	665 of 3242 - Sale <sup>25</sup>
	2.140	16.33	06/30/20	22.41	6.08 W	0.00	666 of 3242 - Sale <sup>25</sup>
	3.000	22.89	06/30/20	32.34	9.45 W	0.00	667 of 3242 - Sale <sup>25</sup>
	4.000	30.52	06/30/20	41.82	11.30 W	0.00	668 of 3242 - Sale <sup>25</sup>
	5.000	38.15	06/30/20	52.36	14.21 W	0.00	669 of 3242 - Sale <sup>25</sup>
	6.860	52.34	06/30/20	57.35	5.01 W	0.00	670 of 3242 - Sale <sup>25</sup>
	0.220	1.68	06/30/20	1.85	0.17 W	0.00	671 of 3242 - Sale <sup>25</sup>
	6.000	45.77	06/30/20	50.40	4.63 W	0.00	672 of 3242 - Sale <sup>25</sup>
	6.140	46.84	06/30/20	51.40	4.56 W	0.00	673 of 3242 - Sale <sup>25</sup>
	6.220	47.45	06/30/20	52.13	4.68 W	0.00	674 of 3242 - Sale <sup>25</sup>
	6.560	50.05	06/30/20	54.98	4.93 W	0.00	675 of 3242 - Sale <sup>25</sup>
	8.860	67.59	06/30/20	74.42	6.83 W	0.00	676 of 3242 - Sale <sup>25</sup>
	16.000	122.07	06/30/20	133.93	11.86 W	0.00	677 of 3242 - Sale <sup>25</sup>
	10.000	76.29	06/30/20	83.67	7.38 W	0.00	678 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	8.37	0.74 W	0.00	679 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	8.34	0.71 W	0.00	680 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	8.34	0.71 W	0.00	681 of 3242 - Sale <sup>25</sup>
	10.780	82.24	06/30/20	88.26	6.02 W	0.00	682 of 3242 - Sale <sup>25</sup>
	32.220	245.82	06/30/20	263.82	18.00 W	0.00	683 of 3242 - Sale <sup>25</sup>
	0.080	0.61	06/30/20	0.68	0.07 W	0.00	684 of 3242 - Sale <sup>25</sup>
	6.780	51.73	06/30/20	57.35	5.62 W	0.00	685 of 3242 - Sale <sup>25</sup>
	0.140	1.07	06/30/20	1.15	0.08 W	0.00	686 of 3242 - Sale <sup>25</sup>
	1.000	7.63	06/30/20	8.47	0.84 W	0.00	687 of 3242 - Sale <sup>25</sup>
	6.360	48.53	06/30/20	53.83	5.30 W	0.00	688 of 3242 - Sale <sup>25</sup>
	11.560	88.20	06/30/20	99.07	10.87 W	0.00	689 of 3242 - Sale <sup>25</sup>
	5.080	38.76	06/30/20	43.01	4.25 W	0.00	690 of 3242 - Sale <sup>25</sup>
	7.440	56.77	06/30/20	62.86	6.09 W	0.00	691 of 3242 - Sale <sup>25</sup>
	9.780	74.62	06/30/20	82.60	7.98 W	0.00	692 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.780	66.99	06/30/20	74.34	7.35 W	0.00	693 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/01/20	8.62	0.99 W	0.00	694 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/01/20	25.97	3.08 W	0.00	695 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/01/20	60.61	7.20 W	0.00	696 of 3242 - Sale <sup>25</sup>
	2.080	15.87	07/01/20	17.32	1.45 W	0.00	697 of 3242 - Sale <sup>25</sup>
	7.920	60.43	07/01/20	65.96	5.53 W	0.00	698 of 3242 - Sale <sup>25</sup>
	0.560	4.27	07/01/20	4.66	0.39 W	0.00	699 of 3242 - Sale <sup>25</sup>
	1.440	10.99	07/01/20	11.96	0.97 W	0.00	700 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/01/20	66.54	5.50 W	0.00	701 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/01/20	8.46	0.83 W	0.00	702 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/01/20	42.89	4.74 W	0.00	703 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/01/20	8.52	0.89 W	0.00	704 of 3242 - Sale <sup>25</sup>
	5.220	39.83	07/08/20	45.93	6.10 W	0.00	705 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	53.13	7.35 W	0.00	706 of 3242 - Sale <sup>25</sup>
	6.360	48.52	07/08/20	55.95	7.43 W	0.00	707 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	58.82	8.16 W	0.00	708 of 3242 - Sale <sup>25</sup>
	11.920	90.94	07/08/20	105.46	14.52 W	0.00	709 of 3242 - Sale <sup>25</sup>
	13.860	105.75	07/08/20	121.97	16.22 W	0.00	710 of 3242 - Sale <sup>25</sup>
	4.560	34.79	07/08/20	40.13	5.34 W	0.00	711 of 3242 - Sale <sup>25</sup>
	21.440	163.58	07/08/20	189.37	25.79 W	0.00	712 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.86	1.23 W	0.00	713 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.95	1.32 W	0.00	714 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.94	1.31 W	0.00	715 of 3242 - Sale <sup>25</sup>
	2.140	16.33	07/08/20	18.87	2.54 W	0.00	716 of 3242 - Sale <sup>25</sup>
	2.580	19.68	07/08/20	23.10	3.42 W	0.00	717 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	23.32	3.18 W	0.00	718 of 3242 - Sale <sup>25</sup>
	2.860	21.82	07/08/20	25.61	3.79 W	0.00	719 of 3242 - Sale <sup>25</sup>
	5.220	39.83	07/08/20	46.64	6.81 W	0.00	720 of 3242 - Sale <sup>25</sup>
	6.360	48.52	07/08/20	56.89	8.37 W	0.00	721 of 3242 - Sale <sup>25</sup>
	6.780	51.73	07/08/20	60.76	9.03 W	0.00	722 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	70.47	9.43 W	0.00	723 of 3242 - Sale <sup>25</sup>
	10.000	76.30	07/08/20	88.04	11.74 W	0.00	724 of 3242 - Sale <sup>25</sup>
	10.000	76.30	07/08/20	89.35	13.05 W	0.00	725 of 3242 - Sale <sup>25</sup>
	11.640	88.81	07/08/20	104.16	15.35 W	0.00	726 of 3242 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.780	21.21	07/08/20	24.55	3.34 W	0.00	727 of 3242 - Sale <sup>25</sup>
	0.140	1.07	07/08/20	1.23	0.16 W	0.00	728 of 3242 - Sale <sup>25</sup>
	1.220	9.31	07/08/20	10.76	1.45 W	0.00	729 of 3242 - Sale <sup>25</sup>
	4.780	36.47	07/08/20	42.65	6.18 W	0.00	730 of 3242 - Sale <sup>25</sup>
	4.780	36.47	07/08/20	42.19	5.72 W	0.00	731 of 3242 - Sale <sup>25</sup>
	5.220	39.83	07/08/20	46.61	6.78 W	0.00	732 of 3242 - Sale <sup>25</sup>
	8.080	61.65	07/08/20	71.25	9.60 W	0.00	733 of 3242 - Sale <sup>25</sup>
	14.000	106.81	07/08/20	123.45	16.64 W	0.00	734 of 3242 - Sale <sup>25</sup>
	16.000	122.07	07/08/20	141.11	19.04 W	0.00	735 of 3242 - Sale <sup>25</sup>
	18.780	143.29	07/08/20	167.67	24.38 W	0.00	736 of 3242 - Sale <sup>25</sup>
	27.000	206.00	07/08/20	238.36	32.36 W	0.00	737 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.40	0.72 W	0.00	738 of 3242 - Sale <sup>25</sup>
	0.420	3.20	07/08/20	4.60	1.40 W	0.00	739 of 3242 - Sale <sup>25</sup>
	0.780	5.95	07/08/20	8.52	2.57 W	0.00	740 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.90	3.27 W	0.00	741 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.93	3.30 W	0.00	742 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.94	3.31 W	0.00	743 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.89	3.26 W	0.00	744 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.90	3.27 W	0.00	745 of 3242 - Sale <sup>25</sup>
	1.360	10.38	07/08/20	14.87	4.49 W	0.00	746 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.86	6.60 W	0.00	747 of 3242 - Sale <sup>25</sup>
	2.440	18.61	07/08/20	26.52	7.91 W	0.00	748 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	43.66	13.14 W	0.00	749 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	54.60	16.45 W	0.00	750 of 3242 - Sale <sup>25</sup>
	10.000	76.30	07/08/20	109.30	33.00 W	0.00	751 of 3242 - Sale <sup>25</sup>
	1.920	14.65	07/08/20	16.98	2.33 W	0.00	752 of 3242 - Sale <sup>25</sup>
	2.860	21.82	07/08/20	25.30	3.48 W	0.00	753 of 3242 - Sale <sup>25</sup>
	7.140	54.47	07/08/20	63.12	8.65 W	0.00	754 of 3242 - Sale <sup>25</sup>
	4.860	37.08	07/08/20	42.96	5.88 W	0.00	755 of 3242 - Sale <sup>25</sup>
	0.860	6.56	07/08/20	7.60	1.04 W	0.00	756 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	44.19	6.04 W	0.00	757 of 3242 - Sale <sup>25</sup>
	5.140	39.22	07/08/20	45.44	6.22 W	0.00	758 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	1.96	0.28 W	0.00	759 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.19	0.44 W	0.00	760 of 3242 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.640	4.88	07/08/20	5.60	0.72 W	0.00	761 of 3242 - Sale <sup>25</sup>
	1.640	12.51	07/08/20	14.50	1.99 W	0.00	762 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	35.34	4.82 W	0.00	763 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	43.82	5.67 W	0.00	764 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	43.83	5.68 W	0.00	765 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	44.21	6.06 W	0.00	766 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	58.69	8.03 W	0.00	767 of 3242 - Sale <sup>25</sup>
	8.360	63.78	07/08/20	73.28	9.50 W	0.00	768 of 3242 - Sale <sup>25</sup>
	4.140	31.59	07/08/20	36.59	5.00 W	0.00	769 of 3242 - Sale <sup>25</sup>
	0.560	4.27	07/08/20	6.11	1.84 W	0.00	770 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.91	3.28 W	0.00	771 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.06	3.43 W	0.00	772 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.07	3.44 W	0.00	773 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.01	3.38 W	0.00	774 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.33	3.70 W	0.00	775 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.95	3.32 W	0.00	776 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.74	4.11 W	0.00	777 of 3242 - Sale <sup>25</sup>
	0.440	3.36	07/08/20	5.17	1.81 W	0.00	778 of 3242 - Sale <sup>25</sup>
	1.440	10.99	07/08/20	15.67	4.68 W	0.00	779 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.02	6.76 W	0.00	780 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	33.05	10.16 W	0.00	781 of 3242 - Sale <sup>25</sup>
	3.560	27.16	07/08/20	38.94	11.78 W	0.00	782 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	43.60	13.08 W	0.00	783 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	43.78	13.26 W	0.00	784 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	55.14	16.99 W	0.00	785 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	55.17	17.02 W	0.00	786 of 3242 - Sale <sup>25</sup>
	5.000	38.14	07/08/20	54.75	16.61 W	0.00	787 of 3242 - Sale <sup>25</sup>
	5.000	38.14	07/08/20	58.84	20.70 W	0.00	788 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	67.21	21.43 W	0.00	789 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	77.25	23.84 W	0.00	790 of 3242 - Sale <sup>25</sup>
	0.560	4.27	07/08/20	6.58	2.31 W	0.00	791 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.72	4.09 W	0.00	792 of 3242 - Sale <sup>25</sup>
	1.780	13.58	07/08/20	20.75	7.17 W	0.00	793 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.33	8.07 W	0.00	794 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.100	16.02	07/08/20	24.76	8.74 W	0.00	795 of 3242 - Sale <sup>25</sup>
	2.220	16.94	07/08/20	25.91	8.97 W	0.00	796 of 3242 - Sale <sup>25</sup>
	3.900	29.76	07/08/20	45.98	16.22 W	0.00	797 of 3242 - Sale <sup>25</sup>
	3.440	26.25	07/08/20	40.09	13.84 W	0.00	798 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.68	4.05 W	0.00	799 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.66	4.03 W	0.00	800 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.67	4.04 W	0.00	801 of 3242 - Sale <sup>25</sup>
	1.780	13.58	07/08/20	20.75	7.17 W	0.00	802 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.23	7.97 W	0.00	803 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.32	8.06 W	0.00	804 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.97	12.08 W	0.00	805 of 3242 - Sale <sup>25</sup>
	0.440	3.36	07/08/20	5.13	1.77 W	0.00	806 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.60	16.08 W	0.00	807 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.71	16.19 W	0.00	808 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.66	16.14 W	0.00	809 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	70.09	24.31 W	0.00	810 of 3242 - Sale <sup>25</sup>
	2.780	21.21	07/08/20	32.40	11.19 W	0.00	811 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	81.61	28.20 W	0.00	812 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.53	0.85 W	0.00	813 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.71	4.08 W	0.00	814 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.69	4.06 W	0.00	815 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.72	4.09 W	0.00	816 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.68	4.05 W	0.00	817 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.70	4.07 W	0.00	818 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.69	4.06 W	0.00	819 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.68	4.05 W	0.00	820 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.70	4.07 W	0.00	821 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.63	4.00 W	0.00	822 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.63	4.00 W	0.00	823 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.62	3.99 W	0.00	824 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.63	4.00 W	0.00	825 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.42	3.79 W	0.00	826 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.49	3.86 W	0.00	827 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.42	8.16 W	0.00	828 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.26	07/08/20	23.34	8.08 W	0.00	829 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.45	8.19 W	0.00	830 of 3242 - Sale <sup>25</sup>
	2.900	22.13	07/08/20	33.75	11.62 W	0.00	831 of 3242 - Sale <sup>25</sup>
	2.560	19.53	07/08/20	29.84	10.31 W	0.00	832 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	35.09	12.20 W	0.00	833 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	35.09	12.20 W	0.00	834 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	35.11	12.22 W	0.00	835 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.44	11.55 W	0.00	836 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.91	16.39 W	0.00	837 of 3242 - Sale <sup>25</sup>
	0.800	6.10	07/08/20	9.16	3.06 W	0.00	838 of 3242 - Sale <sup>25</sup>
	4.420	33.72	07/08/20	50.59	16.87 W	0.00	839 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	58.41	20.26 W	0.00	840 of 3242 - Sale <sup>25</sup>
	5.100	38.91	07/08/20	59.33	20.42 W	0.00	841 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	70.38	24.60 W	0.00	842 of 3242 - Sale <sup>25</sup>
	6.000	45.77	07/08/20	68.81	23.04 W	0.00	843 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	81.89	28.48 W	0.00	844 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	81.44	28.03 W	0.00	845 of 3242 - Sale <sup>25</sup>
	8.000	61.03	07/08/20	93.16	32.13 W	0.00	846 of 3242 - Sale <sup>25</sup>
	9.000	68.67	07/08/20	105.11	36.44 W	0.00	847 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.53	3.90 W	0.00	848 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.53	3.90 W	0.00	849 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.88	7.62 W	0.00	850 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.03	7.77 W	0.00	851 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.05	7.79 W	0.00	852 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.34	11.45 W	0.00	853 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.06	15.54 W	0.00	854 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.09	15.57 W	0.00	855 of 3242 - Sale <sup>25</sup>
	3.560	27.16	07/08/20	40.74	13.58 W	0.00	856 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	57.24	19.09 W	0.00	857 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	68.68	22.90 W	0.00	858 of 3242 - Sale <sup>25</sup>
	5.440	41.51	07/08/20	62.61	21.10 W	0.00	859 of 3242 - Sale <sup>25</sup>
	0.100	0.76	07/08/20	1.14	0.38 W	0.00	860 of 3242 - Sale <sup>25</sup>
	0.440	3.36	07/08/20	5.07	1.71 W	0.00	861 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.51	3.88 W	0.00	862 of 3242 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	07/08/20	11.44	3.81 W	0.00	863 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.46	3.83 W	0.00	864 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.38	3.75 W	0.00	865 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.38	3.75 W	0.00	866 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.38	3.75 W	0.00	867 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.57	3.94 W	0.00	868 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.58	3.95 W	0.00	869 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.46	3.83 W	0.00	870 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.47	3.84 W	0.00	871 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.37	3.74 W	0.00	872 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.37	3.74 W	0.00	873 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.29	3.66 W	0.00	874 of 3242 - Sale <sup>25</sup>
	1.900	14.50	07/08/20	21.57	7.07 W	0.00	875 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.82	7.56 W	0.00	876 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.92	7.66 W	0.00	877 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.71	7.45 W	0.00	878 of 3242 - Sale <sup>25</sup>
	2.360	18.01	07/08/20	27.31	9.30 W	0.00	879 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	30.54	10.40 W	0.00	880 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.13	11.24 W	0.00	881 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.44	11.55 W	0.00	882 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.31	11.42 W	0.00	883 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.26	11.37 W	0.00	884 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.10	11.21 W	0.00	885 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.01	15.49 W	0.00	886 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.28	15.76 W	0.00	887 of 3242 - Sale <sup>25</sup>
	5.220	39.82	07/08/20	59.26	19.44 W	0.00	888 of 3242 - Sale <sup>25</sup>
	6.780	51.73	07/08/20	76.71	24.98 W	0.00	889 of 3242 - Sale <sup>25</sup>
	1.560	11.90	07/08/20	17.95	6.05 W	0.00	890 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	80.35	26.94 W	0.00	891 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	79.77	26.36 W	0.00	892 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	90.89	29.85 W	0.00	893 of 3242 - Sale <sup>25</sup>
	9.000	68.66	07/08/20	103.87	35.21 W	0.00	894 of 3242 - Sale <sup>25</sup>
	11.000	83.93	07/08/20	125.76	41.83 W	0.00	895 of 3242 - Sale <sup>25</sup>
	13.000	99.18	07/08/20	147.95	48.77 W	0.00	896 of 3242 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	22.780	173.79	07/08/20	203.62	29.83 W	0.00	897 of 3242 - Sale <sup>25</sup>
	3.220	24.57	07/08/20	28.94	4.37 W	0.00	898 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	53.93	8.15 W	0.00	899 of 3242 - Sale <sup>25</sup>
	1.360	10.38	07/08/20	12.10	1.72 W	0.00	900 of 3242 - Sale <sup>25</sup>
	6.360	48.52	07/08/20	57.17	8.65 W	0.00	901 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	59.12	8.46 W	0.00	902 of 3242 - Sale <sup>25</sup>
	8.780	66.99	07/08/20	78.20	11.21 W	0.00	903 of 3242 - Sale <sup>25</sup>
	13.780	105.14	07/08/20	123.87	18.73 W	0.00	904 of 3242 - Sale <sup>25</sup>
	27.220	207.68	07/08/20	242.47	34.79 W	0.00	905 of 3242 - Sale <sup>25</sup>
	30.860	235.45	07/08/20	274.95	39.50 W	0.00	906 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	44.59	6.44 W	0.00	907 of 3242 - Sale <sup>25</sup>
	0.780	5.95	07/08/20	6.70	0.75 W	0.00	908 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.69	1.06 W	0.00	909 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.70	1.07 W	0.00	910 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.66	1.03 W	0.00	911 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.66	1.03 W	0.00	912 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.58	0.95 W	0.00	913 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.53	0.90 W	0.00	914 of 3242 - Sale <sup>25</sup>
	1.580	12.05	07/08/20	13.56	1.51 W	0.00	915 of 3242 - Sale <sup>25</sup>
	2.360	18.01	07/08/20	20.10	2.09 W	0.00	916 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	22.51	2.37 W	0.00	917 of 3242 - Sale <sup>25</sup>
	3.220	24.57	07/08/20	27.49	2.92 W	0.00	918 of 3242 - Sale <sup>25</sup>
	3.420	26.09	07/08/20	29.31	3.22 W	0.00	919 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	34.05	3.53 W	0.00	920 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	51.94	6.16 W	0.00	921 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	51.13	5.35 W	0.00	922 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	57.43	6.77 W	0.00	923 of 3242 - Sale <sup>25</sup>
	10.140	77.37	07/08/20	88.40	11.03 W	0.00	924 of 3242 - Sale <sup>25</sup>
	11.580	88.35	07/08/20	98.61	10.26 W	0.00	925 of 3242 - Sale <sup>25</sup>
	15.640	119.33	07/08/20	132.67	13.34 W	0.00	926 of 3242 - Sale <sup>25</sup>
	22.220	169.53	07/08/20	193.77	24.24 W	0.00	927 of 3242 - Sale <sup>25</sup>
	27.000	206.00	07/08/20	230.13	24.13 W	0.00	928 of 3242 - Sale <sup>25</sup>
	41.000	312.82	07/08/20	349.44	36.62 W	0.00	929 of 3242 - Sale <sup>25</sup>
	10.900	83.16	07/08/20	97.19	14.03 W	0.00	930 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	13.880	105.90	07/08/20	123.78	17.88 W	0.00	931 of 3242 - Sale <sup>25</sup>
	1.220	9.31	07/08/20	10.21	0.90 W	0.00	932 of 3242 - Sale <sup>25</sup>
	2.260	17.24	07/08/20	18.88	1.64 W	0.00	933 of 3242 - Sale <sup>25</sup>
	2.360	18.01	07/08/20	19.90	1.89 W	0.00	934 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	22.10	1.96 W	0.00	935 of 3242 - Sale <sup>25</sup>
	4.100	31.28	07/08/20	34.78	3.50 W	0.00	936 of 3242 - Sale <sup>25</sup>
	5.100	38.91	07/08/20	42.65	3.74 W	0.00	937 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	58.44	5.03 W	0.00	938 of 3242 - Sale <sup>25</sup>
	7.900	60.28	07/08/20	67.03	6.75 W	0.00	939 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	67.86	6.82 W	0.00	940 of 3242 - Sale <sup>25</sup>
	11.000	83.93	07/08/20	93.32	9.39 W	0.00	941 of 3242 - Sale <sup>25</sup>
	13.900	106.05	07/08/20	118.06	12.01 W	0.00	942 of 3242 - Sale <sup>25</sup>
	15.640	119.33	07/08/20	130.44	11.11 W	0.00	943 of 3242 - Sale <sup>25</sup>
	18.880	144.05	07/08/20	160.35	16.30 W	0.00	944 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.33	0.70 W	0.00	945 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.26	0.63 W	0.00	946 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.27	0.64 W	0.00	947 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.29	0.66 W	0.00	948 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.30	0.67 W	0.00	949 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.30	0.67 W	0.00	950 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.30	0.67 W	0.00	951 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	6.78	1.90 W	0.00	952 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.22	3.59 W	0.00	953 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.56	2.93 W	0.00	954 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.61	6.35 W	0.00	955 of 3242 - Sale <sup>25</sup>
	2.420	18.46	07/08/20	27.09	8.63 W	0.00	956 of 3242 - Sale <sup>25</sup>
	2.580	19.68	07/08/20	29.05	9.37 W	0.00	957 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.61	8.72 W	0.00	958 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.63	8.74 W	0.00	959 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.66	8.77 W	0.00	960 of 3242 - Sale <sup>25</sup>
	3.420	26.09	07/08/20	38.48	12.39 W	0.00	961 of 3242 - Sale <sup>25</sup>
	6.580	50.20	07/08/20	73.82	23.62 W	0.00	962 of 3242 - Sale <sup>25</sup>
	9.580	73.10	07/08/20	104.16	31.06 W	0.00	963 of 3242 - Sale <sup>25</sup>
	4.780	36.47	07/08/20	39.73	3.26 W	0.00	964 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	53.41	07/08/20	58.19	4.78 W	0.00	965 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.33	0.65 W	0.00	966 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.82	1.07 W	0.00	967 of 3242 - Sale <sup>25</sup>
	0.420	3.20	07/08/20	4.45	1.25 W	0.00	968 of 3242 - Sale <sup>25</sup>
	0.580	4.43	07/08/20	6.11	1.68 W	0.00	969 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	6.72	1.84 W	0.00	970 of 3242 - Sale <sup>25</sup>
	0.780	5.95	07/08/20	8.24	2.29 W	0.00	971 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.54	2.91 W	0.00	972 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.61	2.98 W	0.00	973 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.18	5.92 W	0.00	974 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.47	8.58 W	0.00	975 of 3242 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.74	1.04 W	0.00	976 of 3242 - Sale <sup>25</sup>
	0.640	4.81	07/08/20	6.65	1.84 W	0.00	977 of 3242 - Sale <sup>25</sup>
	0.420	3.15	07/08/20	4.40	1.25 W	0.00	978 of 3242 - Sale <sup>25</sup>
	0.580	4.36	07/08/20	6.05	1.69 W	0.00	979 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.55	3.04 W	0.00	980 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.53	3.02 W	0.00	981 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.53	3.02 W	0.00	982 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.52	3.01 W	0.00	983 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.49	2.98 W	0.00	984 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.50	2.99 W	0.00	985 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.51	3.00 W	0.00	986 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.51	3.00 W	0.00	987 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.92	5.90 W	0.00	988 of 3242 - Sale <sup>25</sup>
	2.360	17.72	07/08/20	24.77	7.05 W	0.00	989 of 3242 - Sale <sup>25</sup>
	2.580	19.38	07/08/20	27.01	7.63 W	0.00	990 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.49	8.96 W	0.00	991 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.58	9.05 W	0.00	992 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.51	8.98 W	0.00	993 of 3242 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	42.13	12.09 W	0.00	994 of 3242 - Sale <sup>25</sup>
	4.580	34.39	07/08/20	47.96	13.57 W	0.00	995 of 3242 - Sale <sup>25</sup>
	5.420	40.70	07/08/20	56.74	16.04 W	0.00	996 of 3242 - Sale <sup>25</sup>
	7.000	52.57	07/08/20	73.46	20.89 W	0.00	997 of 3242 - Sale <sup>25</sup>
	8.000	60.08	07/08/20	84.34	24.26 W	0.00	998 of 3242 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.420	78.25	07/08/20	109.08	30.83 W	0.00	999 of 3242 - Sale <sup>25</sup>
	0.560	4.21	07/08/20	6.34	2.13 W	0.00	1000 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.40	3.89 W	0.00	1001 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.37	3.86 W	0.00	1002 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.90	3.39 W	0.00	1003 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.90	3.39 W	0.00	1004 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.71	7.69 W	0.00	1005 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.78	6.76 W	0.00	1006 of 3242 - Sale <sup>25</sup>
	2.900	21.78	07/08/20	32.95	11.17 W	0.00	1007 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	34.15	11.62 W	0.00	1008 of 3242 - Sale <sup>25</sup>
	2.080	15.61	07/08/20	22.72	7.11 W	0.00	1009 of 3242 - Sale <sup>25</sup>
	3.100	23.28	07/08/20	35.20	11.92 W	0.00	1010 of 3242 - Sale <sup>25</sup>
	15.000	112.64	07/08/20	170.53	57.89 W	0.00	1011 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.92	3.41 W	0.00	1012 of 3242 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.39	0.74 W	0.00	1013 of 3242 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.91	1.21 W	0.00	1014 of 3242 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.86	1.16 W	0.00	1015 of 3242 - Sale <sup>25</sup>
	0.640	4.81	07/08/20	7.01	2.20 W	0.00	1016 of 3242 - Sale <sup>25</sup>
	0.640	4.81	07/08/20	6.91	2.10 W	0.00	1017 of 3242 - Sale <sup>25</sup>
	0.740	5.56	07/08/20	8.08	2.52 W	0.00	1018 of 3242 - Sale <sup>25</sup>
	0.920	6.91	07/08/20	10.05	3.14 W	0.00	1019 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.92	3.41 W	0.00	1020 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.91	3.40 W	0.00	1021 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.92	3.41 W	0.00	1022 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.04	3.53 W	0.00	1023 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.86	3.35 W	0.00	1024 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.65	3.14 W	0.00	1025 of 3242 - Sale <sup>25</sup>
	1.260	9.46	07/08/20	13.71	4.25 W	0.00	1026 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.31	6.29 W	0.00	1027 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.32	6.30 W	0.00	1028 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.40	6.38 W	0.00	1029 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.35	6.33 W	0.00	1030 of 3242 - Sale <sup>25</sup>
	1.080	8.11	07/08/20	11.53	3.42 W	0.00	1031 of 3242 - Sale <sup>25</sup>
	2.140	16.07	07/08/20	23.26	7.19 W	0.00	1032 of 3242 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.53	07/08/20	33.05	10.52 W	0.00	1033 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	33.05	10.52 W	0.00	1034 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	32.50	9.97 W	0.00	1035 of 3242 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	43.74	13.70 W	0.00	1036 of 3242 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	42.58	12.54 W	0.00	1037 of 3242 - Sale <sup>25</sup>
	4.080	30.64	07/08/20	44.34	13.70 W	0.00	1038 of 3242 - Sale <sup>25</sup>
	4.360	32.74	07/08/20	48.03	15.29 W	0.00	1039 of 3242 - Sale <sup>25</sup>
	4.640	34.84	07/08/20	51.27	16.43 W	0.00	1040 of 3242 - Sale <sup>25</sup>
	4.640	34.84	07/08/20	49.60	14.76 W	0.00	1041 of 3242 - Sale <sup>25</sup>
	5.780	43.41	07/08/20	62.30	18.89 W	0.00	1042 of 3242 - Sale <sup>25</sup>
	5.780	43.40	07/08/20	63.07	19.67 W	0.00	1043 of 3242 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	65.28	20.22 W	0.00	1044 of 3242 - Sale <sup>25</sup>
	8.000	60.08	07/08/20	88.12	28.04 W	0.00	1045 of 3242 - Sale <sup>25</sup>
	8.000	60.08	07/08/20	87.28	27.20 W	0.00	1046 of 3242 - Sale <sup>25</sup>
	8.360	62.78	07/08/20	92.33	29.55 W	0.00	1047 of 3242 - Sale <sup>25</sup>
	0.080	0.60	07/08/20	0.86	0.26 W	0.00	1048 of 3242 - Sale <sup>25</sup>
	0.920	6.91	07/08/20	9.82	2.91 W	0.00	1049 of 3242 - Sale <sup>25</sup>
	0.920	6.91	07/08/20	9.80	2.89 W	0.00	1050 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.68	3.17 W	0.00	1051 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.64	3.13 W	0.00	1052 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.67	3.16 W	0.00	1053 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.65	3.14 W	0.00	1054 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.64	3.13 W	0.00	1055 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.67	3.16 W	0.00	1056 of 3242 - Sale <sup>25</sup>
	1.260	9.46	07/08/20	13.40	3.94 W	0.00	1057 of 3242 - Sale <sup>25</sup>
	1.360	10.21	07/08/20	14.47	4.26 W	0.00	1058 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.32	6.30 W	0.00	1059 of 3242 - Sale <sup>25</sup>
	2.640	19.83	07/08/20	28.10	8.27 W	0.00	1060 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.94	9.41 W	0.00	1061 of 3242 - Sale <sup>25</sup>
	3.360	25.23	07/08/20	35.62	10.39 W	0.00	1062 of 3242 - Sale <sup>25</sup>
	3.740	28.09	07/08/20	39.84	11.75 W	0.00	1063 of 3242 - Sale <sup>25</sup>
	8.000	60.08	07/08/20	84.78	24.70 W	0.00	1064 of 3242 - Sale <sup>25</sup>
	8.640	64.88	07/08/20	91.59	26.71 W	0.00	1065 of 3242 - Sale <sup>25</sup>
	9.080	68.19	07/08/20	96.79	28.60 W	0.00	1066 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.140	1.05	07/08/20	1.51	0.46 W	0.00	1067 of 3242 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.42	0.77 W	0.00	1068 of 3242 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.88	1.18 W	0.00	1069 of 3242 - Sale <sup>25</sup>
	0.640	4.81	07/08/20	6.88	2.07 W	0.00	1070 of 3242 - Sale <sup>25</sup>
	0.860	6.46	07/08/20	9.29	2.83 W	0.00	1071 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.79	3.28 W	0.00	1072 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.79	3.28 W	0.00	1073 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.75	3.24 W	0.00	1074 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.75	3.24 W	0.00	1075 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.75	3.24 W	0.00	1076 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.95	3.44 W	0.00	1077 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.97	3.46 W	0.00	1078 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.88	3.37 W	0.00	1079 of 3242 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.88	3.37 W	0.00	1080 of 3242 - Sale <sup>25</sup>
	1.000	7.50	07/08/20	10.89	3.39 W	0.00	1081 of 3242 - Sale <sup>25</sup>
	1.780	13.37	07/08/20	19.15	5.78 W	0.00	1082 of 3242 - Sale <sup>25</sup>
	1.780	13.36	07/08/20	19.36	6.00 W	0.00	1083 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.06	6.04 W	0.00	1084 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.86	6.84 W	0.00	1085 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.04	7.02 W	0.00	1086 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.97	6.95 W	0.00	1087 of 3242 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.78	6.76 W	0.00	1088 of 3242 - Sale <sup>25</sup>
	1.080	8.11	07/08/20	11.65	3.54 W	0.00	1089 of 3242 - Sale <sup>25</sup>
	2.920	21.93	07/08/20	31.09	9.16 W	0.00	1090 of 3242 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	32.34	9.81 W	0.00	1091 of 3242 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	42.96	12.92 W	0.00	1092 of 3242 - Sale <sup>25</sup>
	4.220	31.69	07/08/20	45.43	13.74 W	0.00	1093 of 3242 - Sale <sup>25</sup>
	8.000	60.08	07/08/20	86.07	25.99 W	0.00	1094 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.78	3.22 W	0.00	1095 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.41	2.51 W	0.00	1096 of 3242 - Sale <sup>25</sup>
	0.920	6.96	07/08/20	9.90	2.94 W	0.00	1097 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.78	3.22 W	0.00	1098 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.54	6.42 W	0.00	1099 of 3242 - Sale <sup>25</sup>
	2.220	16.78	07/08/20	23.94	7.16 W	0.00	1100 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.68	07/08/20	32.35	9.67 W	0.00	1101 of 3242 - Sale <sup>25</sup>
	4.000	30.24	07/08/20	43.55	13.31 W	0.00	1102 of 3242 - Sale <sup>25</sup>
	5.000	37.80	07/08/20	54.43	16.63 W	0.00	1103 of 3242 - Sale <sup>25</sup>
	6.000	45.36	07/08/20	64.66	19.30 W	0.00	1104 of 3242 - Sale <sup>25</sup>
	6.000	45.36	07/08/20	64.68	19.32 W	0.00	1105 of 3242 - Sale <sup>25</sup>
	10.000	75.60	07/08/20	107.65	32.05 W	0.00	1106 of 3242 - Sale <sup>25</sup>
	8.080	61.08	07/08/20	88.07	26.99 W	0.00	1107 of 3242 - Sale <sup>25</sup>
	0.420	3.18	07/08/20	4.57	1.39 W	0.00	1108 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.68	2.78 W	0.00	1109 of 3242 - Sale <sup>25</sup>
	0.920	6.95	07/08/20	10.31	3.36 W	0.00	1110 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.13	3.57 W	0.00	1111 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.19	3.63 W	0.00	1112 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.19	3.63 W	0.00	1113 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.13	3.57 W	0.00	1114 of 3242 - Sale <sup>25</sup>
	1.340	10.13	07/08/20	15.10	4.97 W	0.00	1115 of 3242 - Sale <sup>25</sup>
	1.660	12.55	07/08/20	18.72	6.17 W	0.00	1116 of 3242 - Sale <sup>25</sup>
	1.880	14.21	07/08/20	21.18	6.97 W	0.00	1117 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.28	7.16 W	0.00	1118 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.26	7.14 W	0.00	1119 of 3242 - Sale <sup>25</sup>
	3.220	24.34	07/08/20	36.06	11.72 W	0.00	1120 of 3242 - Sale <sup>25</sup>
	3.780	28.58	07/08/20	42.29	13.71 W	0.00	1121 of 3242 - Sale <sup>25</sup>
	4.000	30.24	07/08/20	44.57	14.33 W	0.00	1122 of 3242 - Sale <sup>25</sup>
	4.000	30.24	07/08/20	44.50	14.26 W	0.00	1123 of 3242 - Sale <sup>25</sup>
	4.080	30.84	07/08/20	45.68	14.84 W	0.00	1124 of 3242 - Sale <sup>25</sup>
	10.000	75.60	07/08/20	112.96	37.36 W	0.00	1125 of 3242 - Sale <sup>25</sup>
	2.920	22.08	07/08/20	31.83	9.75 W	0.00	1126 of 3242 - Sale <sup>25</sup>
	0.120	0.91	07/08/20	1.35	0.44 W	0.00	1127 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.30	3.74 W	0.00	1128 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.29	3.73 W	0.00	1129 of 3242 - Sale <sup>25</sup>
	0.880	6.65	07/08/20	9.91	3.26 W	0.00	1130 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.26	3.70 W	0.00	1131 of 3242 - Sale <sup>25</sup>
	0.690	5.22	07/08/20	7.78	2.56 W	0.00	1132 of 3242 - Sale <sup>25</sup>
	0.580	4.38	07/08/20	6.53	2.15 W	0.00	1133 of 3242 - Sale <sup>25</sup>
	2.850	21.54	07/08/20	32.17	10.63 W	0.00	1134 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Account 143648111

### Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.120	0.91	07/08/20	1.30	0.39 W	0.00	1169 of 3242 - Sale <sup>25</sup>
	4.000	30.24	07/08/20	43.16	12.92 W	0.00	1170 of 3242 - Sale <sup>25</sup>
	0.350	2.65	07/08/20	3.77	1.12 W	0.00	1171 of 3242 - Sale <sup>25</sup>
	0.360	2.72	07/08/20	3.89	1.17 W	0.00	1172 of 3242 - Sale <sup>25</sup>
	0.360	2.72	07/08/20	4.00	1.28 W	0.00	1173 of 3242 - Sale <sup>25</sup>
	0.580	4.38	07/08/20	6.40	2.02 W	0.00	1174 of 3242 - Sale <sup>25</sup>
	0.640	4.84	07/08/20	6.96	2.12 W	0.00	1175 of 3242 - Sale <sup>25</sup>
	0.640	4.84	07/08/20	7.06	2.22 W	0.00	1176 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.98	3.42 W	0.00	1177 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.98	3.42 W	0.00	1178 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.99	3.43 W	0.00	1179 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.81	3.25 W	0.00	1180 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.76	3.20 W	0.00	1181 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.75	3.19 W	0.00	1182 of 3242 - Sale <sup>25</sup>
	1.360	10.28	07/08/20	14.93	4.65 W	0.00	1183 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.70	6.58 W	0.00	1184 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.10	6.98 W	0.00	1185 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.56	6.44 W	0.00	1186 of 3242 - Sale <sup>25</sup>
	2.070	15.65	07/08/20	22.43	6.78 W	0.00	1187 of 3242 - Sale <sup>25</sup>
	3.000	22.68	07/08/20	32.48	9.80 W	0.00	1188 of 3242 - Sale <sup>25</sup>
	4.420	33.41	07/08/20	48.72	15.31 W	0.00	1189 of 3242 - Sale <sup>25</sup>
	5.000	37.80	07/08/20	54.16	16.36 W	0.00	1190 of 3242 - Sale <sup>25</sup>
	8.000	60.48	07/08/20	88.45	27.97 W	0.00	1191 of 3242 - Sale <sup>25</sup>
	11.220	84.82	07/08/20	120.51	35.69 W	0.00	1192 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.38	2.48 W	0.00	1193 of 3242 - Sale <sup>25</sup>
	1.440	10.89	07/08/20	15.49	4.60 W	0.00	1194 of 3242 - Sale <sup>25</sup>
	1.780	13.46	07/08/20	19.20	5.74 W	0.00	1195 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.46	6.34 W	0.00	1196 of 3242 - Sale <sup>25</sup>
	2.300	17.39	07/08/20	24.53	7.14 W	0.00	1197 of 3242 - Sale <sup>25</sup>
	3.000	22.68	07/08/20	31.99	9.31 W	0.00	1198 of 3242 - Sale <sup>25</sup>
	5.000	37.80	07/08/20	53.42	15.62 W	0.00	1199 of 3242 - Sale <sup>25</sup>
	6.430	48.61	07/08/20	69.11	20.50 W	0.00	1200 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	16.29	1.17 W	0.00	1201 of 3242 - Sale <sup>25</sup>
	5.360	40.52	07/08/20	43.66	3.14 W	0.00	1202 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.12	07/08/20	20.51	5.39 W	0.00	1271 of 3242 - Sale <sup>25</sup>
	2.220	16.78	07/08/20	23.47	6.69 W	0.00	1272 of 3242 - Sale <sup>25</sup>
	2.300	17.39	07/08/20	23.58	6.19 W	0.00	1273 of 3242 - Sale <sup>25</sup>
	2.780	21.02	07/08/20	29.45	8.43 W	0.00	1274 of 3242 - Sale <sup>25</sup>
	3.000	22.68	07/08/20	31.16	8.48 W	0.00	1275 of 3242 - Sale <sup>25</sup>
	3.000	22.68	07/08/20	31.50	8.82 W	0.00	1276 of 3242 - Sale <sup>25</sup>
	3.780	28.58	07/08/20	39.72	11.14 W	0.00	1277 of 3242 - Sale <sup>25</sup>
	4.000	30.24	07/08/20	40.61	10.37 W	0.00	1278 of 3242 - Sale <sup>25</sup>
	4.000	30.24	07/08/20	42.01	11.77 W	0.00	1279 of 3242 - Sale <sup>25</sup>
	4.220	31.90	07/08/20	44.36	12.46 W	0.00	1280 of 3242 - Sale <sup>25</sup>
	5.000	37.80	07/08/20	51.60	13.80 W	0.00	1281 of 3242 - Sale <sup>25</sup>
	0.220	1.66	07/08/20	2.37	0.71 W	0.00	1282 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.32	2.42 W	0.00	1283 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.65	3.09 W	0.00	1284 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.60	3.04 W	0.00	1285 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.59	3.03 W	0.00	1286 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.92	3.36 W	0.00	1287 of 3242 - Sale <sup>25</sup>
	1.210	9.15	07/08/20	13.22	4.07 W	0.00	1288 of 3242 - Sale <sup>25</sup>
	1.920	14.51	07/08/20	20.48	5.97 W	0.00	1289 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.22	6.10 W	0.00	1290 of 3242 - Sale <sup>25</sup>
	3.780	28.58	07/08/20	40.35	11.77 W	0.00	1291 of 3242 - Sale <sup>25</sup>
	5.000	37.80	07/08/20	53.37	15.57 W	0.00	1292 of 3242 - Sale <sup>25</sup>
	6.000	45.36	07/08/20	64.00	18.64 W	0.00	1293 of 3242 - Sale <sup>25</sup>
	7.300	55.19	07/08/20	77.87	22.68 W	0.00	1294 of 3242 - Sale <sup>25</sup>
	8.780	66.37	07/08/20	93.65	27.28 W	0.00	1295 of 3242 - Sale <sup>25</sup>
	0.210	1.59	07/08/20	2.11	0.52 W	0.00	1296 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.00	2.44 W	0.00	1297 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	9.97	2.41 W	0.00	1298 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	19.96	4.84 W	0.00	1299 of 3242 - Sale <sup>25</sup>
	4.920	37.19	07/08/20	49.34	12.15 W	0.00	1300 of 3242 - Sale <sup>25</sup>
	5.780	43.70	07/08/20	57.85	14.15 W	0.00	1301 of 3242 - Sale <sup>25</sup>
	0.690	5.22	07/08/20	6.92	1.70 W	0.00	1302 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	16.27	1.15 W	0.00	1303 of 3242 - Sale <sup>25</sup>
	2.780	21.02	07/08/20	22.63	1.61 W	0.00	1304 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.68	07/08/20	24.43	1.75 W	0.00	1305 of 3242 - Sale <sup>25</sup>
	3.100	23.44	07/08/20	25.24	1.80 W	0.00	1306 of 3242 - Sale <sup>25</sup>
	6.900	52.16	07/08/20	56.19	4.03 W	0.00	1307 of 3242 - Sale <sup>25</sup>
	8.220	62.14	07/08/20	66.95	4.81 W	0.00	1308 of 3242 - Sale <sup>25</sup>
	17.090	129.19	07/08/20	139.18	9.99 W	0.00	1309 of 3242 - Sale <sup>25</sup>
	0.220	1.66	07/08/20	2.48	0.82 W	0.00	1310 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.65	2.75 W	0.00	1311 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.21	3.65 W	0.00	1312 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.08	3.52 W	0.00	1313 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.79	6.67 W	0.00	1314 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.11	6.99 W	0.00	1315 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.46	7.34 W	0.00	1316 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.45	7.33 W	0.00	1317 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.47	7.35 W	0.00	1318 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.13	7.01 W	0.00	1319 of 3242 - Sale <sup>25</sup>
	2.780	21.02	07/08/20	31.23	10.21 W	0.00	1320 of 3242 - Sale <sup>25</sup>
	2.790	21.09	07/08/20	30.40	9.31 W	0.00	1321 of 3242 - Sale <sup>25</sup>
	3.000	22.68	07/08/20	33.24	10.56 W	0.00	1322 of 3242 - Sale <sup>25</sup>
	4.000	30.24	07/08/20	44.25	14.01 W	0.00	1323 of 3242 - Sale <sup>25</sup>
	5.000	37.80	07/08/20	56.11	18.31 W	0.00	1324 of 3242 - Sale <sup>25</sup>
	5.210	39.39	07/08/20	57.64	18.25 W	0.00	1325 of 3242 - Sale <sup>25</sup>
	5.220	39.46	07/08/20	58.63	19.17 W	0.00	1326 of 3242 - Sale <sup>25</sup>
	7.000	52.92	07/08/20	77.53	24.61 W	0.00	1327 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.69	2.79 W	0.00	1328 of 3242 - Sale <sup>25</sup>
	0.790	5.97	07/08/20	8.74	2.77 W	0.00	1329 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.16	3.60 W	0.00	1330 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.12	3.56 W	0.00	1331 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.12	3.56 W	0.00	1332 of 3242 - Sale <sup>25</sup>
	1.220	9.22	07/08/20	13.50	4.28 W	0.00	1333 of 3242 - Sale <sup>25</sup>
	1.220	9.22	07/08/20	13.61	4.39 W	0.00	1334 of 3242 - Sale <sup>25</sup>
	1.780	13.46	07/08/20	19.79	6.33 W	0.00	1335 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.20	7.08 W	0.00	1336 of 3242 - Sale <sup>25</sup>
	3.000	22.68	07/08/20	33.44	10.76 W	0.00	1337 of 3242 - Sale <sup>25</sup>
	4.210	31.83	07/08/20	46.76	14.93 W	0.00	1338 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.780	43.69	07/08/20	63.83	20.14 W	0.00	1339 of 3242 - Sale <sup>25</sup>
	10.220	77.26	07/08/20	113.96	36.70 W	0.00	1340 of 3242 - Sale <sup>25</sup>
	16.000	120.95	07/08/20	178.56	57.61 W	0.00	1341 of 3242 - Sale <sup>25</sup>
	0.140	1.06	07/08/20	1.54	0.48 W	0.00	1342 of 3242 - Sale <sup>25</sup>
	0.220	1.66	07/08/20	2.35	0.69 W	0.00	1343 of 3242 - Sale <sup>25</sup>
	0.220	1.66	07/08/20	2.41	0.75 W	0.00	1344 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.07	2.17 W	0.00	1345 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.28	2.38 W	0.00	1346 of 3242 - Sale <sup>25</sup>
	0.780	5.90	07/08/20	8.31	2.41 W	0.00	1347 of 3242 - Sale <sup>25</sup>
	0.990	7.48	07/08/20	10.69	3.21 W	0.00	1348 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.60	3.04 W	0.00	1349 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.65	3.09 W	0.00	1350 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.63	3.07 W	0.00	1351 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.63	3.07 W	0.00	1352 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.53	2.97 W	0.00	1353 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	11.01	3.45 W	0.00	1354 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.84	3.28 W	0.00	1355 of 3242 - Sale <sup>25</sup>
	1.000	7.56	07/08/20	10.79	3.23 W	0.00	1356 of 3242 - Sale <sup>25</sup>
	1.220	9.22	07/08/20	12.58	3.36 W	0.00	1357 of 3242 - Sale <sup>25</sup>
	1.220	9.22	07/08/20	13.03	3.81 W	0.00	1358 of 3242 - Sale <sup>25</sup>
	1.780	13.46	07/08/20	19.22	5.76 W	0.00	1359 of 3242 - Sale <sup>25</sup>
	1.860	14.06	07/08/20	20.42	6.36 W	0.00	1360 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	22.17	7.05 W	0.00	1361 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	20.62	5.50 W	0.00	1362 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.32	6.20 W	0.00	1363 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.27	6.15 W	0.00	1364 of 3242 - Sale <sup>25</sup>
	2.000	15.12	07/08/20	21.11	5.99 W	0.00	1365 of 3242 - Sale <sup>25</sup>
	2.220	16.78	07/08/20	24.62	7.84 W	0.00	1366 of 3242 - Sale <sup>25</sup>
	2.220	16.78	07/08/20	23.02	6.24 W	0.00	1367 of 3242 - Sale <sup>25</sup>
	2.220	16.78	07/08/20	24.42	7.64 W	0.00	1368 of 3242 - Sale <sup>25</sup>
	2.220	16.78	07/08/20	24.00	7.22 W	0.00	1369 of 3242 - Sale <sup>25</sup>
	2.780	21.02	07/08/20	29.63	8.61 W	0.00	1370 of 3242 - Sale <sup>25</sup>
	2.780	21.02	07/08/20	30.02	9.00 W	0.00	1371 of 3242 - Sale <sup>25</sup>
	2.790	21.09	07/08/20	30.94	9.85 W	0.00	1372 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with 8 columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	9.19	07/08/20	12.30	3.11 W	0.00	1475 of 3242 - Sale <sup>25</sup>
	1.780	13.40	07/08/20	18.00	4.60 W	0.00	1476 of 3242 - Sale <sup>25</sup>
	2.000	15.06	07/08/20	20.23	5.17 W	0.00	1477 of 3242 - Sale <sup>25</sup>
	2.220	16.72	07/08/20	22.17	5.45 W	0.00	1478 of 3242 - Sale <sup>25</sup>
	3.000	22.59	07/08/20	30.35	7.76 W	0.00	1479 of 3242 - Sale <sup>25</sup>
	3.000	22.59	07/08/20	30.33	7.74 W	0.00	1480 of 3242 - Sale <sup>25</sup>
	3.000	22.59	07/08/20	30.11	7.52 W	0.00	1481 of 3242 - Sale <sup>25</sup>
	4.000	30.12	07/08/20	40.40	10.28 W	0.00	1482 of 3242 - Sale <sup>25</sup>
	5.000	37.65	07/08/20	50.18	12.53 W	0.00	1483 of 3242 - Sale <sup>25</sup>
	5.000	37.65	07/08/20	49.62	11.97 W	0.00	1484 of 3242 - Sale <sup>25</sup>
	5.000	37.65	07/08/20	49.59	11.94 W	0.00	1485 of 3242 - Sale <sup>25</sup>
	6.000	45.18	07/08/20	60.50	15.32 W	0.00	1486 of 3242 - Sale <sup>25</sup>
	0.780	5.87	07/08/20	7.59	1.72 W	0.00	1487 of 3242 - Sale <sup>25</sup>
	1.000	7.53	07/08/20	9.72	2.19 W	0.00	1488 of 3242 - Sale <sup>25</sup>
	1.000	7.53	07/08/20	9.68	2.15 W	0.00	1489 of 3242 - Sale <sup>25</sup>
	2.000	15.06	07/08/20	19.38	4.32 W	0.00	1490 of 3242 - Sale <sup>25</sup>
	4.220	31.77	07/08/20	41.53	9.76 W	0.00	1491 of 3242 - Sale <sup>25</sup>
	0.130	0.98	07/08/20	1.27	0.29 W	0.00	1492 of 3242 - Sale <sup>25</sup>
	0.780	5.87	07/08/20	7.81	1.94 W	0.00	1493 of 3242 - Sale <sup>25</sup>
	2.000	15.06	07/08/20	19.90	4.84 W	0.00	1494 of 3242 - Sale <sup>25</sup>
	2.220	16.72	07/08/20	21.77	5.05 W	0.00	1495 of 3242 - Sale <sup>25</sup>
	2.780	20.93	07/08/20	27.36	6.43 W	0.00	1496 of 3242 - Sale <sup>25</sup>
	0.100	0.75	07/08/20	0.96	0.21 W	0.00	1497 of 3242 - Sale <sup>25</sup>
	0.900	6.78	07/08/20	9.33	2.55 W	0.00	1498 of 3242 - Sale <sup>25</sup>
	1.000	7.53	07/08/20	10.42	2.89 W	0.00	1499 of 3242 - Sale <sup>25</sup>
	1.000	7.53	07/08/20	10.35	2.82 W	0.00	1500 of 3242 - Sale <sup>25</sup>
	1.220	9.18	07/08/20	12.62	3.44 W	0.00	1501 of 3242 - Sale <sup>25</sup>
	1.900	14.31	07/08/20	19.59	5.28 W	0.00	1502 of 3242 - Sale <sup>25</sup>
	2.000	15.06	07/08/20	20.78	5.72 W	0.00	1503 of 3242 - Sale <sup>25</sup>
	2.000	15.06	07/08/20	20.81	5.75 W	0.00	1504 of 3242 - Sale <sup>25</sup>
	2.000	15.06	07/08/20	20.81	5.75 W	0.00	1505 of 3242 - Sale <sup>25</sup>
	2.100	15.81	07/08/20	21.75	5.94 W	0.00	1506 of 3242 - Sale <sup>25</sup>
	3.000	22.59	07/08/20	30.90	8.31 W	0.00	1507 of 3242 - Sale <sup>25</sup>
	3.000	22.58	07/08/20	31.06	8.48 W	0.00	1508 of 3242 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.100	23.34	07/08/20	31.93	8.59 W	0.00	1509 of 3242 - Sale <sup>25</sup>
	3.900	29.36	07/08/20	37.86	8.50 W	0.00	1510 of 3242 - Sale <sup>25</sup>
	4.000	30.12	07/08/20	41.66	11.54 W	0.00	1511 of 3242 - Sale <sup>25</sup>
	5.000	37.65	07/08/20	52.02	14.37 W	0.00	1512 of 3242 - Sale <sup>25</sup>
	0.090	0.68	07/08/20	0.88	0.20 W	0.00	1513 of 3242 - Sale <sup>25</sup>
	1.000	7.53	07/08/20	9.77	2.24 W	0.00	1514 of 3242 - Sale <sup>25</sup>
	0.220	1.66	07/08/20	2.11	0.45 W	0.00	1515 of 3242 - Sale <sup>25</sup>
	3.780	28.46	07/08/20	37.04	8.58 W	0.00	1516 of 3242 - Sale <sup>25</sup>
	0.780	5.91	07/08/20	7.15	1.24 W	0.00	1517 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	9.60	2.02 W	0.00	1518 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	9.45	1.87 W	0.00	1519 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	9.44	1.86 W	0.00	1520 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	9.29	1.71 W	0.00	1521 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	9.16	1.58 W	0.00	1522 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	9.17	1.59 W	0.00	1523 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	9.17	1.59 W	0.00	1524 of 3242 - Sale <sup>25</sup>
	1.220	9.25	07/08/20	11.20	1.95 W	0.00	1525 of 3242 - Sale <sup>25</sup>
	1.220	9.25	07/08/20	11.18	1.93 W	0.00	1526 of 3242 - Sale <sup>25</sup>
	1.690	12.81	07/08/20	15.27	2.46 W	0.00	1527 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	19.05	3.89 W	0.00	1528 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	18.84	3.68 W	0.00	1529 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	18.88	3.72 W	0.00	1530 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	18.26	3.10 W	0.00	1531 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	18.33	3.17 W	0.00	1532 of 3242 - Sale <sup>25</sup>
	2.220	16.83	07/08/20	20.23	3.40 W	0.00	1533 of 3242 - Sale <sup>25</sup>
	2.220	16.83	07/08/20	20.07	3.24 W	0.00	1534 of 3242 - Sale <sup>25</sup>
	2.780	21.07	07/08/20	25.34	4.27 W	0.00	1535 of 3242 - Sale <sup>25</sup>
	2.780	21.07	07/08/20	25.28	4.21 W	0.00	1536 of 3242 - Sale <sup>25</sup>
	2.780	21.07	07/08/20	26.69	5.62 W	0.00	1537 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	28.73	5.99 W	0.00	1538 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	28.52	5.78 W	0.00	1539 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	47.98	10.08 W	0.00	1540 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	45.66	7.76 W	0.00	1541 of 3242 - Sale <sup>25</sup>
	8.000	60.64	07/08/20	76.83	16.19 W	0.00	1542 of 3242 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
<b>2020 1099-B*</b> OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
3.360		25.47	07/08/20	36.51	11.04 W	0.00	1543 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.50	1.92 W	0.00	1544 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.52	1.94 W	0.00	1545 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.53	1.95 W	0.00	1546 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.51	1.93 W	0.00	1547 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.51	1.93 W	0.00	1548 of 3242 - Sale <sup>25</sup>
2.000		15.16	07/08/20	18.83	3.67 W	0.00	1549 of 3242 - Sale <sup>25</sup>
2.000		15.16	07/08/20	19.02	3.86 W	0.00	1550 of 3242 - Sale <sup>25</sup>
2.000		15.16	07/08/20	19.03	3.87 W	0.00	1551 of 3242 - Sale <sup>25</sup>
2.000		15.16	07/08/20	19.03	3.87 W	0.00	1552 of 3242 - Sale <sup>25</sup>
3.000		22.74	07/08/20	28.53	5.79 W	0.00	1553 of 3242 - Sale <sup>25</sup>
3.860		29.25	07/08/20	36.61	7.36 W	0.00	1554 of 3242 - Sale <sup>25</sup>
4.000		30.32	07/08/20	37.69	7.37 W	0.00	1555 of 3242 - Sale <sup>25</sup>
9.000		68.21	07/08/20	85.80	17.59 W	0.00	1556 of 3242 - Sale <sup>25</sup>
5.090		38.57	07/08/20	47.86	9.29 W	0.00	1557 of 3242 - Sale <sup>25</sup>
0.220		1.67	07/08/20	2.10	0.43 W	0.00	1558 of 3242 - Sale <sup>25</sup>
0.360		2.73	07/08/20	3.43	0.70 W	0.00	1559 of 3242 - Sale <sup>25</sup>
0.420		3.18	07/08/20	3.95	0.77 W	0.00	1560 of 3242 - Sale <sup>25</sup>
0.420		3.18	07/08/20	4.03	0.85 W	0.00	1561 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.39	1.81 W	0.00	1562 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.39	1.81 W	0.00	1563 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.56	1.98 W	0.00	1564 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.56	1.98 W	0.00	1565 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.59	2.01 W	0.00	1566 of 3242 - Sale <sup>25</sup>
2.000		15.16	07/08/20	19.11	3.95 W	0.00	1567 of 3242 - Sale <sup>25</sup>
3.000		22.74	07/08/20	28.75	6.01 W	0.00	1568 of 3242 - Sale <sup>25</sup>
4.000		30.32	07/08/20	38.16	7.84 W	0.00	1569 of 3242 - Sale <sup>25</sup>
4.050		30.70	07/08/20	38.08	7.38 W	0.00	1570 of 3242 - Sale <sup>25</sup>
0.420		3.18	07/08/20	4.28	1.10 W	0.00	1571 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.97	2.39 W	0.00	1572 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	9.98	2.40 W	0.00	1573 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	10.06	2.48 W	0.00	1574 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	10.05	2.47 W	0.00	1575 of 3242 - Sale <sup>25</sup>
1.000		7.58	07/08/20	10.03	2.45 W	0.00	1576 of 3242 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OIDV THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)								
		1.000	7.58	07/08/20	9.95	2.37 W	0.00	1577 of 3242 - Sale <sup>25</sup>
		1.000	7.58	07/08/20	10.23	2.65 W	0.00	1578 of 3242 - Sale <sup>25</sup>
		1.580	11.98	07/08/20	15.75	3.77 W	0.00	1579 of 3242 - Sale <sup>25</sup>
		1.860	14.10	07/08/20	18.91	4.81 W	0.00	1580 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	20.13	4.97 W	0.00	1581 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	19.96	4.80 W	0.00	1582 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	19.90	4.74 W	0.00	1583 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	20.46	5.30 W	0.00	1584 of 3242 - Sale <sup>25</sup>
		2.140	16.22	07/08/20	21.78	5.56 W	0.00	1585 of 3242 - Sale <sup>25</sup>
		2.580	19.55	07/08/20	26.32	6.77 W	0.00	1586 of 3242 - Sale <sup>25</sup>
		2.860	21.68	07/08/20	28.70	7.02 W	0.00	1587 of 3242 - Sale <sup>25</sup>
		3.000	22.74	07/08/20	30.10	7.36 W	0.00	1588 of 3242 - Sale <sup>25</sup>
		3.000	22.74	07/08/20	29.96	7.22 W	0.00	1589 of 3242 - Sale <sup>25</sup>
		4.000	30.32	07/08/20	39.88	9.56 W	0.00	1590 of 3242 - Sale <sup>25</sup>
		4.000	30.31	07/08/20	40.88	10.57 W	0.00	1591 of 3242 - Sale <sup>25</sup>
		3.950	29.93	07/08/20	40.28	10.35 W	0.00	1592 of 3242 - Sale <sup>25</sup>
		4.140	31.38	07/08/20	41.33	9.95 W	0.00	1593 of 3242 - Sale <sup>25</sup>
		5.000	37.90	07/08/20	49.82	11.92 W	0.00	1594 of 3242 - Sale <sup>25</sup>
		5.000	37.90	07/08/20	50.01	12.11 W	0.00	1595 of 3242 - Sale <sup>25</sup>
		6.000	45.48	07/08/20	60.16	14.68 W	0.00	1596 of 3242 - Sale <sup>25</sup>
		0.420	3.18	07/08/20	4.36	1.18 W	0.00	1597 of 3242 - Sale <sup>25</sup>
		0.580	4.40	07/08/20	6.04	1.64 W	0.00	1598 of 3242 - Sale <sup>25</sup>
		0.860	6.52	07/08/20	8.98	2.46 W	0.00	1599 of 3242 - Sale <sup>25</sup>
		1.000	7.58	07/08/20	10.39	2.81 W	0.00	1600 of 3242 - Sale <sup>25</sup>
		1.000	7.58	07/08/20	10.39	2.81 W	0.00	1601 of 3242 - Sale <sup>25</sup>
		1.000	7.58	07/08/20	10.39	2.81 W	0.00	1602 of 3242 - Sale <sup>25</sup>
		1.000	7.58	07/08/20	10.39	2.81 W	0.00	1603 of 3242 - Sale <sup>25</sup>
		1.000	7.58	07/08/20	10.37	2.79 W	0.00	1604 of 3242 - Sale <sup>25</sup>
		1.000	7.58	07/08/20	10.42	2.84 W	0.00	1605 of 3242 - Sale <sup>25</sup>
		1.140	8.64	07/08/20	11.87	3.23 W	0.00	1606 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	20.79	5.63 W	0.00	1607 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	20.79	5.63 W	0.00	1608 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	20.91	5.75 W	0.00	1609 of 3242 - Sale <sup>25</sup>
		2.000	15.16	07/08/20	21.04	5.88 W	0.00	1610 of 3242 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.140	16.22	07/08/20	22.34	6.12 W	0.00	1611 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	32.25	9.51 W	0.00	1612 of 3242 - Sale <sup>25</sup>
	3.440	26.07	07/08/20	35.93	9.86 W	0.00	1613 of 3242 - Sale <sup>25</sup>
	0.050	0.38	07/08/20	0.51	0.13 W	0.00	1614 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	41.48	11.16 W	0.00	1615 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	41.70	11.38 W	0.00	1616 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	52.21	14.31 W	0.00	1617 of 3242 - Sale <sup>25</sup>
	5.420	41.08	07/08/20	56.49	15.41 W	0.00	1618 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	1.84	0.17 W	0.00	1619 of 3242 - Sale <sup>25</sup>
	6.000	45.48	07/08/20	50.23	4.75 W	0.00	1620 of 3242 - Sale <sup>25</sup>
	6.140	46.54	07/08/20	51.22	4.68 W	0.00	1621 of 3242 - Sale <sup>25</sup>
	6.220	47.14	07/08/20	51.95	4.81 W	0.00	1622 of 3242 - Sale <sup>25</sup>
	6.560	49.72	07/08/20	54.79	5.07 W	0.00	1623 of 3242 - Sale <sup>25</sup>
	6.860	52.00	07/08/20	57.15	5.15 W	0.00	1624 of 3242 - Sale <sup>25</sup>
	7.950	60.26	07/08/20	66.54	6.28 W	0.00	1625 of 3242 - Sale <sup>25</sup>
	16.000	121.27	07/08/20	133.46	12.19 W	0.00	1626 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.34	0.76 W	0.00	1627 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.31	0.73 W	0.00	1628 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.31	0.73 W	0.00	1629 of 3242 - Sale <sup>25</sup>
	0.910	6.90	07/08/20	7.62	0.72 W	0.00	1630 of 3242 - Sale <sup>25</sup>
	10.000	75.80	07/08/20	83.38	7.58 W	0.00	1631 of 3242 - Sale <sup>25</sup>
	10.780	81.71	07/08/20	87.95	6.24 W	0.00	1632 of 3242 - Sale <sup>25</sup>
	0.310	2.35	07/08/20	2.53	0.18 W	0.00	1633 of 3242 - Sale <sup>25</sup>
	0.080	0.61	07/08/20	0.68	0.07 W	0.00	1634 of 3242 - Sale <sup>25</sup>
	0.140	1.06	07/08/20	1.14	0.08 W	0.00	1635 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.44	0.86 W	0.00	1636 of 3242 - Sale <sup>25</sup>
	5.080	38.50	07/08/20	42.86	4.36 W	0.00	1637 of 3242 - Sale <sup>25</sup>
	6.360	48.21	07/08/20	53.64	5.43 W	0.00	1638 of 3242 - Sale <sup>25</sup>
	6.780	51.39	07/08/20	57.15	5.76 W	0.00	1639 of 3242 - Sale <sup>25</sup>
	8.780	66.55	07/08/20	74.08	7.53 W	0.00	1640 of 3242 - Sale <sup>25</sup>
	1.310	9.93	07/08/20	11.03	1.10 W	0.00	1641 of 3242 - Sale <sup>25</sup>
	11.560	87.62	07/08/20	98.72	11.10 W	0.00	1642 of 3242 - Sale <sup>25</sup>
	31.910	241.86	07/08/20	260.34	18.48 W	0.00	1643 of 3242 - Sale <sup>25</sup>
	7.440	56.39	07/08/20	62.63	6.24 W	0.00	1644 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Data includes transactions for OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd).

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.58	07/08/20	10.98	3.40 W	0.00	1679 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.30	3.72 W	0.00	1680 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.92	3.34 W	0.00	1681 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	21.96	6.80 W	0.00	1682 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	32.96	10.22 W	0.00	1683 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	54.99	17.09 W	0.00	1684 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	55.02	17.12 W	0.00	1685 of 3242 - Sale <sup>25</sup>
	4.310	32.67	07/08/20	47.07	14.40 W	0.00	1686 of 3242 - Sale <sup>25</sup>
	6.000	45.48	07/08/20	67.03	21.55 W	0.00	1687 of 3242 - Sale <sup>25</sup>
	2.690	20.39	07/08/20	29.61	9.22 W	0.00	1688 of 3242 - Sale <sup>25</sup>
	0.440	3.34	07/08/20	5.15	1.81 W	0.00	1689 of 3242 - Sale <sup>25</sup>
	0.440	3.33	07/08/20	5.11	1.78 W	0.00	1690 of 3242 - Sale <sup>25</sup>
	0.560	4.24	07/08/20	6.57	2.33 W	0.00	1691 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.71	4.13 W	0.00	1692 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.69	4.11 W	0.00	1693 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.65	4.07 W	0.00	1694 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.63	4.05 W	0.00	1695 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.64	4.06 W	0.00	1696 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.68	4.10 W	0.00	1697 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.66	4.08 W	0.00	1698 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.69	4.11 W	0.00	1699 of 3242 - Sale <sup>25</sup>
	1.780	13.49	07/08/20	20.70	7.21 W	0.00	1700 of 3242 - Sale <sup>25</sup>
	1.780	13.49	07/08/20	20.70	7.21 W	0.00	1701 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	23.27	8.11 W	0.00	1702 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	23.17	8.01 W	0.00	1703 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	23.26	8.10 W	0.00	1704 of 3242 - Sale <sup>25</sup>
	1.310	9.93	07/08/20	15.30	5.37 W	0.00	1705 of 3242 - Sale <sup>25</sup>
	2.100	15.92	07/08/20	24.70	8.78 W	0.00	1706 of 3242 - Sale <sup>25</sup>
	2.220	16.83	07/08/20	25.84	9.01 W	0.00	1707 of 3242 - Sale <sup>25</sup>
	2.560	19.40	07/08/20	29.77	10.37 W	0.00	1708 of 3242 - Sale <sup>25</sup>
	2.780	21.07	07/08/20	32.32	11.25 W	0.00	1709 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.88	12.14 W	0.00	1710 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	35.00	12.26 W	0.00	1711 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	35.00	12.26 W	0.00	1712 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with 8 columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.310	32.67	07/08/20	49.30	16.63 W	0.00	1747 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.39	3.81 W	0.00	1748 of 3242 - Sale <sup>25</sup>
	0.310	2.35	07/08/20	3.55	1.20 W	0.00	1749 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.35	11.61 W	0.00	1750 of 3242 - Sale <sup>25</sup>
	1.690	12.81	07/08/20	19.33	6.52 W	0.00	1751 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.52	0.85 W	0.00	1752 of 3242 - Sale <sup>25</sup>
	0.800	6.06	07/08/20	9.14	3.08 W	0.00	1753 of 3242 - Sale <sup>25</sup>
	0.690	5.23	07/08/20	7.91	2.68 W	0.00	1754 of 3242 - Sale <sup>25</sup>
	0.870	6.60	07/08/20	9.93	3.33 W	0.00	1755 of 3242 - Sale <sup>25</sup>
	4.420	33.50	07/08/20	50.46	16.96 W	0.00	1756 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	22.82	7.66 W	0.00	1757 of 3242 - Sale <sup>25</sup>
	2.690	20.39	07/08/20	30.70	10.31 W	0.00	1758 of 3242 - Sale <sup>25</sup>
	4.310	32.67	07/08/20	49.21	16.54 W	0.00	1759 of 3242 - Sale <sup>25</sup>
	0.350	2.65	07/08/20	4.02	1.37 W	0.00	1760 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.50	3.92 W	0.00	1761 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	22.97	7.81 W	0.00	1762 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.25	11.51 W	0.00	1763 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	45.94	15.62 W	0.00	1764 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	45.96	15.64 W	0.00	1765 of 3242 - Sale <sup>25</sup>
	0.690	5.23	07/08/20	7.88	2.65 W	0.00	1766 of 3242 - Sale <sup>25</sup>
	6.000	45.48	07/08/20	68.49	23.01 W	0.00	1767 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	30.53	7.79 W	0.00	1768 of 3242 - Sale <sup>25</sup>
	0.560	4.25	07/08/20	5.66	1.41 W	0.00	1769 of 3242 - Sale <sup>25</sup>
	0.400	3.03	07/08/20	4.05	1.02 W	0.00	1770 of 3242 - Sale <sup>25</sup>
	0.640	4.85	07/08/20	6.48	1.63 W	0.00	1771 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.11	2.53 W	0.00	1772 of 3242 - Sale <sup>25</sup>
	8.180	62.00	07/08/20	82.85	20.85 W	0.00	1773 of 3242 - Sale <sup>25</sup>
	6.000	45.48	07/08/20	52.95	7.47 W	0.00	1774 of 3242 - Sale <sup>25</sup>
	6.360	48.21	07/08/20	55.77	7.56 W	0.00	1775 of 3242 - Sale <sup>25</sup>
	6.640	50.33	07/08/20	58.62	8.29 W	0.00	1776 of 3242 - Sale <sup>25</sup>
	11.920	90.36	07/08/20	105.11	14.75 W	0.00	1777 of 3242 - Sale <sup>25</sup>
	9.260	70.19	07/08/20	81.21	11.02 W	0.00	1778 of 3242 - Sale <sup>25</sup>
	4.560	34.56	07/08/20	40.00	5.44 W	0.00	1779 of 3242 - Sale <sup>25</sup>
	5.220	39.57	07/08/20	45.77	6.20 W	0.00	1780 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.600	34.87	07/08/20	40.34	5.47 W	0.00	1781 of 3242 - Sale <sup>25</sup>
	14.620	110.82	07/08/20	128.70	17.88 W	0.00	1782 of 3242 - Sale <sup>25</sup>
	0.140	1.06	07/08/20	1.22	0.16 W	0.00	1783 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.83	1.25 W	0.00	1784 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.92	1.34 W	0.00	1785 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.91	1.33 W	0.00	1786 of 3242 - Sale <sup>25</sup>
	1.220	9.25	07/08/20	10.72	1.47 W	0.00	1787 of 3242 - Sale <sup>25</sup>
	2.140	16.22	07/08/20	18.80	2.58 W	0.00	1788 of 3242 - Sale <sup>25</sup>
	2.580	19.56	07/08/20	23.03	3.47 W	0.00	1789 of 3242 - Sale <sup>25</sup>
	2.640	20.01	07/08/20	23.24	3.23 W	0.00	1790 of 3242 - Sale <sup>25</sup>
	2.780	21.07	07/08/20	24.47	3.40 W	0.00	1791 of 3242 - Sale <sup>25</sup>
	2.860	21.68	07/08/20	25.53	3.85 W	0.00	1792 of 3242 - Sale <sup>25</sup>
	4.780	36.23	07/08/20	42.51	6.28 W	0.00	1793 of 3242 - Sale <sup>25</sup>
	4.780	36.23	07/08/20	42.05	5.82 W	0.00	1794 of 3242 - Sale <sup>25</sup>
	5.220	39.56	07/08/20	46.48	6.92 W	0.00	1795 of 3242 - Sale <sup>25</sup>
	5.220	39.57	07/08/20	46.45	6.88 W	0.00	1796 of 3242 - Sale <sup>25</sup>
	6.360	48.21	07/08/20	56.71	8.50 W	0.00	1797 of 3242 - Sale <sup>25</sup>
	6.780	51.39	07/08/20	60.56	9.17 W	0.00	1798 of 3242 - Sale <sup>25</sup>
	8.000	60.64	07/08/20	70.23	9.59 W	0.00	1799 of 3242 - Sale <sup>25</sup>
	10.000	75.80	07/08/20	87.74	11.94 W	0.00	1800 of 3242 - Sale <sup>25</sup>
	10.000	75.80	07/08/20	89.05	13.25 W	0.00	1801 of 3242 - Sale <sup>25</sup>
	11.640	88.23	07/08/20	103.81	15.58 W	0.00	1802 of 3242 - Sale <sup>25</sup>
	4.260	32.29	07/08/20	37.44	5.15 W	0.00	1803 of 3242 - Sale <sup>25</sup>
	18.780	142.34	07/08/20	167.10	24.76 W	0.00	1804 of 3242 - Sale <sup>25</sup>
	6.820	51.69	07/08/20	60.03	8.34 W	0.00	1805 of 3242 - Sale <sup>25</sup>
	27.000	204.64	07/08/20	237.56	32.92 W	0.00	1806 of 3242 - Sale <sup>25</sup>
	0.860	6.52	07/08/20	7.58	1.06 W	0.00	1807 of 3242 - Sale <sup>25</sup>
	1.640	12.43	07/08/20	14.45	2.02 W	0.00	1808 of 3242 - Sale <sup>25</sup>
	1.920	14.55	07/08/20	16.92	2.37 W	0.00	1809 of 3242 - Sale <sup>25</sup>
	2.860	21.68	07/08/20	25.22	3.54 W	0.00	1810 of 3242 - Sale <sup>25</sup>
	4.140	31.38	07/08/20	36.46	5.08 W	0.00	1811 of 3242 - Sale <sup>25</sup>
	4.860	36.84	07/08/20	42.82	5.98 W	0.00	1812 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	44.04	6.14 W	0.00	1813 of 3242 - Sale <sup>25</sup>
	5.140	38.96	07/08/20	45.28	6.32 W	0.00	1814 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.140	54.12	07/08/20	62.91	8.79 W	0.00	1815 of 3242 - Sale <sup>25</sup>
	8.080	61.24	07/08/20	71.01	9.77 W	0.00	1816 of 3242 - Sale <sup>25</sup>
	5.620	42.59	07/08/20	49.09	6.50 W	0.00	1817 of 3242 - Sale <sup>25</sup>
	9.740	73.83	07/08/20	85.60	11.77 W	0.00	1818 of 3242 - Sale <sup>25</sup>
	16.000	121.27	07/08/20	140.64	19.37 W	0.00	1819 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	1.95	0.28 W	0.00	1820 of 3242 - Sale <sup>25</sup>
	0.360	2.73	07/08/20	3.18	0.45 W	0.00	1821 of 3242 - Sale <sup>25</sup>
	0.640	4.85	07/08/20	5.58	0.73 W	0.00	1822 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	35.22	4.90 W	0.00	1823 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	43.67	5.77 W	0.00	1824 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	43.68	5.78 W	0.00	1825 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	44.06	6.16 W	0.00	1826 of 3242 - Sale <sup>25</sup>
	6.640	50.33	07/08/20	58.49	8.16 W	0.00	1827 of 3242 - Sale <sup>25</sup>
	2.740	20.77	07/08/20	23.94	3.17 W	0.00	1828 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	18.00	2.84 W	0.00	1829 of 3242 - Sale <sup>25</sup>
	2.090	15.84	07/08/20	18.80	2.96 W	0.00	1830 of 3242 - Sale <sup>25</sup>
	8.000	60.64	07/08/20	71.71	11.07 W	0.00	1831 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.52	3.94 W	0.00	1832 of 3242 - Sale <sup>25</sup>
	1.650	12.51	07/08/20	19.00	6.49 W	0.00	1833 of 3242 - Sale <sup>25</sup>
	2.350	17.81	07/08/20	27.02	9.21 W	0.00	1834 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.50	3.92 W	0.00	1835 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.43	3.85 W	0.00	1836 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.45	3.87 W	0.00	1837 of 3242 - Sale <sup>25</sup>
	0.660	5.00	07/08/20	7.50	2.50 W	0.00	1838 of 3242 - Sale <sup>25</sup>
	1.560	11.82	07/08/20	17.94	6.12 W	0.00	1839 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	22.80	7.64 W	0.00	1840 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	22.90	7.74 W	0.00	1841 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.10	11.36 W	0.00	1842 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.41	11.67 W	0.00	1843 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.28	11.54 W	0.00	1844 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.23	11.49 W	0.00	1845 of 3242 - Sale <sup>25</sup>
	3.090	23.42	07/08/20	35.54	12.12 W	0.00	1846 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	45.97	15.65 W	0.00	1847 of 3242 - Sale <sup>25</sup>
	7.000	53.06	07/08/20	80.28	27.22 W	0.00	1848 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	53.05	07/08/20	79.70	26.65 W	0.00	1849 of 3242 - Sale <sup>25</sup>
	11.000	83.37	07/08/20	125.65	42.28 W	0.00	1850 of 3242 - Sale <sup>25</sup>
	0.100	0.76	07/08/20	1.14	0.38 W	0.00	1851 of 3242 - Sale <sup>25</sup>
	0.340	2.58	07/08/20	3.87	1.29 W	0.00	1852 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.37	3.79 W	0.00	1853 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.37	3.79 W	0.00	1854 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.56	3.98 W	0.00	1855 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.57	3.99 W	0.00	1856 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.45	3.87 W	0.00	1857 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.46	3.88 W	0.00	1858 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.36	3.78 W	0.00	1859 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.36	3.78 W	0.00	1860 of 3242 - Sale <sup>25</sup>
	1.350	10.23	07/08/20	15.31	5.08 W	0.00	1861 of 3242 - Sale <sup>25</sup>
	1.900	14.40	07/08/20	21.55	7.15 W	0.00	1862 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	22.69	7.53 W	0.00	1863 of 3242 - Sale <sup>25</sup>
	2.360	17.89	07/08/20	27.28	9.39 W	0.00	1864 of 3242 - Sale <sup>25</sup>
	2.640	20.01	07/08/20	30.52	10.51 W	0.00	1865 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.07	11.33 W	0.00	1866 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	46.24	15.92 W	0.00	1867 of 3242 - Sale <sup>25</sup>
	8.000	60.63	07/08/20	90.81	30.18 W	0.00	1868 of 3242 - Sale <sup>25</sup>
	13.000	98.53	07/08/20	147.83	49.30 W	0.00	1869 of 3242 - Sale <sup>25</sup>
	0.440	3.33	07/08/20	5.08	1.75 W	0.00	1870 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.32	3.74 W	0.00	1871 of 3242 - Sale <sup>25</sup>
	3.870	29.33	07/08/20	44.05	14.72 W	0.00	1872 of 3242 - Sale <sup>25</sup>
	6.780	51.39	07/08/20	76.91	25.52 W	0.00	1873 of 3242 - Sale <sup>25</sup>
	9.000	68.22	07/08/20	104.15	35.93 W	0.00	1874 of 3242 - Sale <sup>25</sup>
	1.220	9.25	07/08/20	10.94	1.69 W	0.00	1875 of 3242 - Sale <sup>25</sup>
	3.220	24.41	07/08/20	29.04	4.63 W	0.00	1876 of 3242 - Sale <sup>25</sup>
	6.000	45.48	07/08/20	54.11	8.63 W	0.00	1877 of 3242 - Sale <sup>25</sup>
	1.440	10.92	07/08/20	12.99	2.07 W	0.00	1878 of 3242 - Sale <sup>25</sup>
	13.780	104.45	07/08/20	124.28	19.83 W	0.00	1879 of 3242 - Sale <sup>25</sup>
	21.560	163.42	07/08/20	193.38	29.96 W	0.00	1880 of 3242 - Sale <sup>25</sup>
	4.920	37.29	07/08/20	44.38	7.09 W	0.00	1881 of 3242 - Sale <sup>25</sup>
	26.770	202.92	07/08/20	239.32	36.40 W	0.00	1882 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.58	07/08/20	8.72	1.14 W	0.00	1883 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.73	1.15 W	0.00	1884 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.69	1.11 W	0.00	1885 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.69	1.11 W	0.00	1886 of 3242 - Sale <sup>25</sup>
	11.310	85.73	07/08/20	99.02	13.29 W	0.00	1887 of 3242 - Sale <sup>25</sup>
	3.910	29.64	07/08/20	33.98	4.34 W	0.00	1888 of 3242 - Sale <sup>25</sup>
	6.640	50.33	07/08/20	57.66	7.33 W	0.00	1889 of 3242 - Sale <sup>25</sup>
	10.140	76.86	07/08/20	88.74	11.88 W	0.00	1890 of 3242 - Sale <sup>25</sup>
	10.910	82.69	07/08/20	95.51	12.82 W	0.00	1891 of 3242 - Sale <sup>25</sup>
	1.360	10.31	07/08/20	12.14	1.83 W	0.00	1892 of 3242 - Sale <sup>25</sup>
	4.090	31.00	07/08/20	36.58	5.58 W	0.00	1893 of 3242 - Sale <sup>25</sup>
	5.000	37.90	07/08/20	44.76	6.86 W	0.00	1894 of 3242 - Sale <sup>25</sup>
	6.640	50.33	07/08/20	59.35	9.02 W	0.00	1895 of 3242 - Sale <sup>25</sup>
	8.780	66.55	07/08/20	78.50	11.95 W	0.00	1896 of 3242 - Sale <sup>25</sup>
	27.220	206.32	07/08/20	243.40	37.08 W	0.00	1897 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.73	1.15 W	0.00	1898 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.73	1.15 W	0.00	1899 of 3242 - Sale <sup>25</sup>
	0.090	0.68	07/08/20	0.77	0.09 W	0.00	1900 of 3242 - Sale <sup>25</sup>
	6.000	45.48	07/08/20	50.95	5.47 W	0.00	1901 of 3242 - Sale <sup>25</sup>
	9.910	75.11	07/08/20	84.18	9.07 W	0.00	1902 of 3242 - Sale <sup>25</sup>
	13.000	98.53	07/08/20	110.42	11.89 W	0.00	1903 of 3242 - Sale <sup>25</sup>
	4.090	31.00	07/08/20	34.73	3.73 W	0.00	1904 of 3242 - Sale <sup>25</sup>
	6.910	52.38	07/08/20	58.69	6.31 W	0.00	1905 of 3242 - Sale <sup>25</sup>
	23.310	176.68	07/08/20	197.97	21.29 W	0.00	1906 of 3242 - Sale <sup>25</sup>
	0.780	5.91	07/08/20	6.68	0.77 W	0.00	1907 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.55	0.97 W	0.00	1908 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.50	0.92 W	0.00	1909 of 3242 - Sale <sup>25</sup>
	1.580	11.98	07/08/20	13.52	1.54 W	0.00	1910 of 3242 - Sale <sup>25</sup>
	2.360	17.89	07/08/20	20.03	2.14 W	0.00	1911 of 3242 - Sale <sup>25</sup>
	2.640	20.01	07/08/20	22.43	2.42 W	0.00	1912 of 3242 - Sale <sup>25</sup>
	3.220	24.41	07/08/20	27.39	2.98 W	0.00	1913 of 3242 - Sale <sup>25</sup>
	3.420	25.92	07/08/20	29.21	3.29 W	0.00	1914 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	33.93	3.61 W	0.00	1915 of 3242 - Sale <sup>25</sup>
	11.580	87.77	07/08/20	98.27	10.50 W	0.00	1916 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	15.640	118.54	07/08/20	132.20	13.66 W	0.00	1917 of 3242 - Sale <sup>25</sup>
	10.780	81.71	07/08/20	91.56	9.85 W	0.00	1918 of 3242 - Sale <sup>25</sup>
	4.810	36.46	07/08/20	42.75	6.29 W	0.00	1919 of 3242 - Sale <sup>25</sup>
	13.880	105.21	07/08/20	123.37	18.16 W	0.00	1920 of 3242 - Sale <sup>25</sup>
	1.530	11.60	07/08/20	12.86	1.26 W	0.00	1921 of 3242 - Sale <sup>25</sup>
	4.100	31.08	07/08/20	34.66	3.58 W	0.00	1922 of 3242 - Sale <sup>25</sup>
	7.900	59.87	07/08/20	66.79	6.92 W	0.00	1923 of 3242 - Sale <sup>25</sup>
	8.000	60.64	07/08/20	67.62	6.98 W	0.00	1924 of 3242 - Sale <sup>25</sup>
	11.000	83.37	07/08/20	92.99	9.62 W	0.00	1925 of 3242 - Sale <sup>25</sup>
	13.900	105.36	07/08/20	117.65	12.29 W	0.00	1926 of 3242 - Sale <sup>25</sup>
	18.880	143.10	07/08/20	159.79	16.69 W	0.00	1927 of 3242 - Sale <sup>25</sup>
	1.220	9.25	07/08/20	10.17	0.92 W	0.00	1928 of 3242 - Sale <sup>25</sup>
	2.260	17.13	07/08/20	18.82	1.69 W	0.00	1929 of 3242 - Sale <sup>25</sup>
	0.830	6.29	07/08/20	6.97	0.68 W	0.00	1930 of 3242 - Sale <sup>25</sup>
	2.640	20.01	07/08/20	22.02	2.01 W	0.00	1931 of 3242 - Sale <sup>25</sup>
	5.100	38.66	07/08/20	42.50	3.84 W	0.00	1932 of 3242 - Sale <sup>25</sup>
	7.000	53.06	07/08/20	58.23	5.17 W	0.00	1933 of 3242 - Sale <sup>25</sup>
	9.550	72.39	07/08/20	79.36	6.97 W	0.00	1934 of 3242 - Sale <sup>25</sup>
	6.090	46.16	07/08/20	54.12	7.96 W	0.00	1935 of 3242 - Sale <sup>25</sup>
	0.910	6.90	07/08/20	7.50	0.60 W	0.00	1936 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.30	0.72 W	0.00	1937 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.23	0.65 W	0.00	1938 of 3242 - Sale <sup>25</sup>
	6.090	46.16	07/08/20	50.61	4.45 W	0.00	1939 of 3242 - Sale <sup>25</sup>
	0.640	4.85	07/08/20	6.76	1.91 W	0.00	1940 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.19	3.61 W	0.00	1941 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.53	2.95 W	0.00	1942 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	21.55	6.39 W	0.00	1943 of 3242 - Sale <sup>25</sup>
	2.420	18.34	07/08/20	27.02	8.68 W	0.00	1944 of 3242 - Sale <sup>25</sup>
	2.580	19.56	07/08/20	28.98	9.42 W	0.00	1945 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	31.52	8.78 W	0.00	1946 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	31.54	8.80 W	0.00	1947 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	31.57	8.83 W	0.00	1948 of 3242 - Sale <sup>25</sup>
	3.420	25.92	07/08/20	38.38	12.46 W	0.00	1949 of 3242 - Sale <sup>25</sup>
	6.580	49.87	07/08/20	73.63	23.76 W	0.00	1950 of 3242 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.580	72.61	07/08/20	103.87	31.26 W	0.00	1951 of 3242 - Sale <sup>25</sup>
	0.090	0.68	07/08/20	0.74	0.06 W	0.00	1952 of 3242 - Sale <sup>25</sup>
	0.910	6.90	07/08/20	7.54	0.64 W	0.00	1953 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.26	0.68 W	0.00	1954 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.27	0.69 W	0.00	1955 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.27	0.69 W	0.00	1956 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	8.27	0.69 W	0.00	1957 of 3242 - Sale <sup>25</sup>
	4.780	36.23	07/08/20	39.59	3.36 W	0.00	1958 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	24.85	2.11 W	0.00	1959 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.32	0.65 W	0.00	1960 of 3242 - Sale <sup>25</sup>
	0.360	2.73	07/08/20	3.81	1.08 W	0.00	1961 of 3242 - Sale <sup>25</sup>
	0.360	2.73	07/08/20	3.78	1.05 W	0.00	1962 of 3242 - Sale <sup>25</sup>
	0.420	3.18	07/08/20	4.44	1.26 W	0.00	1963 of 3242 - Sale <sup>25</sup>
	0.420	3.18	07/08/20	4.44	1.26 W	0.00	1964 of 3242 - Sale <sup>25</sup>
	0.580	4.40	07/08/20	6.09	1.69 W	0.00	1965 of 3242 - Sale <sup>25</sup>
	0.640	4.85	07/08/20	6.70	1.85 W	0.00	1966 of 3242 - Sale <sup>25</sup>
	0.640	4.85	07/08/20	6.70	1.85 W	0.00	1967 of 3242 - Sale <sup>25</sup>
	0.780	5.91	07/08/20	8.22	2.31 W	0.00	1968 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.51	2.93 W	0.00	1969 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.58	3.00 W	0.00	1970 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.64	3.06 W	0.00	1971 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.62	3.04 W	0.00	1972 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.62	3.04 W	0.00	1973 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.61	3.03 W	0.00	1974 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.58	3.00 W	0.00	1975 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.59	3.01 W	0.00	1976 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.60	3.02 W	0.00	1977 of 3242 - Sale <sup>25</sup>
	1.000	7.57	07/08/20	10.60	3.03 W	0.00	1978 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	21.12	5.96 W	0.00	1979 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	21.10	5.94 W	0.00	1980 of 3242 - Sale <sup>25</sup>
	2.360	17.89	07/08/20	24.99	7.10 W	0.00	1981 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	31.38	8.64 W	0.00	1982 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	31.76	9.02 W	0.00	1983 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	31.85	9.11 W	0.00	1984 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.74	07/08/20	31.78	9.04 W	0.00	1985 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	42.49	12.17 W	0.00	1986 of 3242 - Sale <sup>25</sup>
	0.530	4.02	07/08/20	5.60	1.58 W	0.00	1987 of 3242 - Sale <sup>25</sup>
	7.000	53.05	07/08/20	74.09	21.04 W	0.00	1988 of 3242 - Sale <sup>25</sup>
	8.000	60.63	07/08/20	85.06	24.43 W	0.00	1989 of 3242 - Sale <sup>25</sup>
	4.050	30.70	07/08/20	42.78	12.08 W	0.00	1990 of 3242 - Sale <sup>25</sup>
	3.950	29.94	07/08/20	41.71	11.77 W	0.00	1991 of 3242 - Sale <sup>25</sup>
	0.580	4.40	07/08/20	6.10	1.70 W	0.00	1992 of 3242 - Sale <sup>25</sup>
	2.580	19.56	07/08/20	27.24	7.68 W	0.00	1993 of 3242 - Sale <sup>25</sup>
	1.470	11.14	07/08/20	15.52	4.38 W	0.00	1994 of 3242 - Sale <sup>25</sup>
	10.420	78.98	07/08/20	110.02	31.04 W	0.00	1995 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.41	0.74 W	0.00	1996 of 3242 - Sale <sup>25</sup>
	0.360	2.73	07/08/20	3.95	1.22 W	0.00	1997 of 3242 - Sale <sup>25</sup>
	0.560	4.24	07/08/20	6.39	2.15 W	0.00	1998 of 3242 - Sale <sup>25</sup>
	0.640	4.85	07/08/20	7.06	2.21 W	0.00	1999 of 3242 - Sale <sup>25</sup>
	0.640	4.85	07/08/20	6.96	2.11 W	0.00	2000 of 3242 - Sale <sup>25</sup>
	0.740	5.61	07/08/20	8.14	2.53 W	0.00	2001 of 3242 - Sale <sup>25</sup>
	0.920	6.97	07/08/20	10.13	3.16 W	0.00	2002 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.49	3.91 W	0.00	2003 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.46	3.88 W	0.00	2004 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.99	3.41 W	0.00	2005 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.99	3.41 W	0.00	2006 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.01	3.43 W	0.00	2007 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.01	3.43 W	0.00	2008 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.00	3.42 W	0.00	2009 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.01	3.43 W	0.00	2010 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	11.13	3.55 W	0.00	2011 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.95	3.37 W	0.00	2012 of 3242 - Sale <sup>25</sup>
	1.260	9.55	07/08/20	13.83	4.28 W	0.00	2013 of 3242 - Sale <sup>25</sup>
	1.990	15.08	07/08/20	21.38	6.30 W	0.00	2014 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	22.89	7.73 W	0.00	2015 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	21.96	6.80 W	0.00	2016 of 3242 - Sale <sup>25</sup>
	2.080	15.77	07/08/20	22.92	7.15 W	0.00	2017 of 3242 - Sale <sup>25</sup>
	2.140	16.22	07/08/20	23.45	7.23 W	0.00	2018 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.900	21.98	07/08/20	33.21	11.23 W	0.00	2019 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	34.42	11.68 W	0.00	2020 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	33.32	10.58 W	0.00	2021 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	33.32	10.58 W	0.00	2022 of 3242 - Sale <sup>25</sup>
	3.000	22.74	07/08/20	32.77	10.03 W	0.00	2023 of 3242 - Sale <sup>25</sup>
	3.100	23.50	07/08/20	35.48	11.98 W	0.00	2024 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	44.10	13.78 W	0.00	2025 of 3242 - Sale <sup>25</sup>
	4.000	30.32	07/08/20	42.94	12.62 W	0.00	2026 of 3242 - Sale <sup>25</sup>
	4.080	30.92	07/08/20	44.71	13.79 W	0.00	2027 of 3242 - Sale <sup>25</sup>
	4.360	33.05	07/08/20	48.43	15.38 W	0.00	2028 of 3242 - Sale <sup>25</sup>
	4.640	35.17	07/08/20	51.69	16.52 W	0.00	2029 of 3242 - Sale <sup>25</sup>
	5.780	43.81	07/08/20	62.82	19.01 W	0.00	2030 of 3242 - Sale <sup>25</sup>
	5.780	43.81	07/08/20	63.60	19.79 W	0.00	2031 of 3242 - Sale <sup>25</sup>
	6.000	45.48	07/08/20	65.82	20.34 W	0.00	2032 of 3242 - Sale <sup>25</sup>
	8.000	60.64	07/08/20	88.84	28.20 W	0.00	2033 of 3242 - Sale <sup>25</sup>
	8.000	60.63	07/08/20	88.00	27.37 W	0.00	2034 of 3242 - Sale <sup>25</sup>
	8.360	63.36	07/08/20	93.09	29.73 W	0.00	2035 of 3242 - Sale <sup>25</sup>
	15.000	113.69	07/08/20	171.89	58.20 W	0.00	2036 of 3242 - Sale <sup>25</sup>
	3.090	23.42	07/08/20	25.59	2.17 W	0.00	2037 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2038 of 3242 - Sale <sup>25</sup>
	0.360	2.73	07/08/20	3.88	1.15 W	0.00	2039 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.71	3.13 W	0.00	2040 of 3242 - Sale <sup>25</sup>
	1.990	15.08	07/08/20	21.41	6.33 W	0.00	2041 of 3242 - Sale <sup>25</sup>
	2.000	15.16	07/08/20	21.43	6.27 W	0.00	2042 of 3242 - Sale <sup>25</sup>
	4.640	35.17	07/08/20	49.87	14.70 W	0.00	2043 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2044 of 3242 - Sale <sup>25</sup>
	0.300	2.27	07/08/20	3.21	0.94 W	0.00	2045 of 3242 - Sale <sup>25</sup>
	0.690	5.23	07/08/20	7.39	2.16 W	0.00	2046 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.71	3.13 W	0.00	2047 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2048 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.59	3.09 W	0.00	2049 of 3242 - Sale <sup>25</sup>
	0.090	0.68	07/08/20	0.97	0.29 W	0.00	2050 of 3242 - Sale <sup>25</sup>
	0.910	6.90	07/08/20	9.70	2.80 W	0.00	2051 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2052 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.080	0.61	07/08/20	0.86	0.25 W	0.00	2053 of 3242 - Sale <sup>25</sup>
	0.910	6.90	07/08/20	9.69	2.79 W	0.00	2054 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2055 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.61	3.11 W	0.00	2056 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2057 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.57	3.07 W	0.00	2058 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.68	3.10 W	0.00	2059 of 3242 - Sale <sup>25</sup>
	0.350	2.65	07/08/20	3.75	1.10 W	0.00	2060 of 3242 - Sale <sup>25</sup>
	0.650	4.93	07/08/20	6.95	2.02 W	0.00	2061 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.69	3.11 W	0.00	2062 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2063 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.59	3.09 W	0.00	2064 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2065 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.59	3.09 W	0.00	2066 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2067 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.57	3.07 W	0.00	2068 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2069 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.54	3.04 W	0.00	2070 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2071 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.57	3.07 W	0.00	2072 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2073 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.58	3.08 W	0.00	2074 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.69	3.11 W	0.00	2075 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2076 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.56	3.06 W	0.00	2077 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.67	3.09 W	0.00	2078 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.69	3.11 W	0.00	2079 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2080 of 3242 - Sale <sup>25</sup>
	0.990	7.50	07/08/20	10.52	3.02 W	0.00	2081 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.64	3.06 W	0.00	2082 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.65	3.07 W	0.00	2083 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.66	3.08 W	0.00	2084 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.68	3.10 W	0.00	2085 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.66	3.08 W	0.00	2086 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.58	07/08/20	10.65	3.07 W	0.00	2087 of 3242 - Sale <sup>25</sup>
	1.000	7.58	07/08/20	10.62	3.04 W	0.00	2088 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	2089 of 3242 - Sale <sup>25</sup>
	0.990	7.49	07/08/20	10.51	3.02 W	0.00	2090 of 3242 - Sale <sup>25</sup>
	0.310	2.35	07/08/20	3.29	0.94 W	0.00	2091 of 3242 - Sale <sup>25</sup>
	0.690	5.24	07/08/20	7.33	2.09 W	0.00	2092 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.67	3.07 W	0.00	2093 of 3242 - Sale <sup>25</sup>
	0.120	0.91	07/08/20	1.36	0.45 W	0.00	2094 of 3242 - Sale <sup>25</sup>
	0.140	1.06	07/08/20	1.54	0.48 W	0.00	2095 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.46	0.79 W	0.00	2096 of 3242 - Sale <sup>25</sup>
	0.360	2.74	07/08/20	3.94	1.20 W	0.00	2097 of 3242 - Sale <sup>25</sup>
	0.420	3.19	07/08/20	4.62	1.43 W	0.00	2098 of 3242 - Sale <sup>25</sup>
	0.580	4.41	07/08/20	6.60	2.19 W	0.00	2099 of 3242 - Sale <sup>25</sup>
	0.640	4.86	07/08/20	6.99	2.13 W	0.00	2100 of 3242 - Sale <sup>25</sup>
	0.690	5.24	07/08/20	7.86	2.62 W	0.00	2101 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.50	2.57 W	0.00	2102 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.77	2.84 W	0.00	2103 of 3242 - Sale <sup>25</sup>
	0.860	6.54	07/08/20	9.43	2.89 W	0.00	2104 of 3242 - Sale <sup>25</sup>
	0.880	6.69	07/08/20	10.02	3.33 W	0.00	2105 of 3242 - Sale <sup>25</sup>
	0.920	6.99	07/08/20	10.01	3.02 W	0.00	2106 of 3242 - Sale <sup>25</sup>
	0.920	6.99	07/08/20	10.43	3.44 W	0.00	2107 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.96	3.36 W	0.00	2108 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.96	3.36 W	0.00	2109 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.92	3.32 W	0.00	2110 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.92	3.32 W	0.00	2111 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.92	3.32 W	0.00	2112 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.12	3.52 W	0.00	2113 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.14	3.54 W	0.00	2114 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.05	3.45 W	0.00	2115 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.05	3.45 W	0.00	2116 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.07	3.47 W	0.00	2117 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.90	3.30 W	0.00	2118 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.90	3.30 W	0.00	2119 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.25	3.65 W	0.00	2120 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	07/08/20	11.31	3.71 W	0.00	2121 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.31	3.71 W	0.00	2122 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.25	3.65 W	0.00	2123 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.42	3.82 W	0.00	2124 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.41	3.81 W	0.00	2125 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.38	3.78 W	0.00	2126 of 3242 - Sale <sup>25</sup>
	1.080	8.21	07/08/20	11.83	3.62 W	0.00	2127 of 3242 - Sale <sup>25</sup>
	1.260	9.58	07/08/20	13.62	4.04 W	0.00	2128 of 3242 - Sale <sup>25</sup>
	1.340	10.18	07/08/20	15.26	5.08 W	0.00	2129 of 3242 - Sale <sup>25</sup>
	1.660	12.62	07/08/20	18.92	6.30 W	0.00	2130 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	19.45	5.92 W	0.00	2131 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	19.67	6.14 W	0.00	2132 of 3242 - Sale <sup>25</sup>
	1.880	14.29	07/08/20	21.41	7.12 W	0.00	2133 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.40	6.20 W	0.00	2134 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.20	7.00 W	0.00	2135 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.38	7.18 W	0.00	2136 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.31	7.11 W	0.00	2137 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.12	6.92 W	0.00	2138 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.78	6.58 W	0.00	2139 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.52	7.32 W	0.00	2140 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.50	7.30 W	0.00	2141 of 3242 - Sale <sup>25</sup>
	2.220	16.87	07/08/20	24.21	7.34 W	0.00	2142 of 3242 - Sale <sup>25</sup>
	2.850	21.66	07/08/20	32.52	10.86 W	0.00	2143 of 3242 - Sale <sup>25</sup>
	2.920	22.19	07/08/20	31.59	9.40 W	0.00	2144 of 3242 - Sale <sup>25</sup>
	2.920	22.19	07/08/20	32.18	9.99 W	0.00	2145 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	32.85	10.05 W	0.00	2146 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	32.71	9.91 W	0.00	2147 of 3242 - Sale <sup>25</sup>
	3.220	24.47	07/08/20	36.45	11.98 W	0.00	2148 of 3242 - Sale <sup>25</sup>
	3.360	25.53	07/08/20	36.19	10.66 W	0.00	2149 of 3242 - Sale <sup>25</sup>
	3.740	28.42	07/08/20	40.47	12.05 W	0.00	2150 of 3242 - Sale <sup>25</sup>
	3.780	28.73	07/08/20	42.74	14.01 W	0.00	2151 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	43.64	13.24 W	0.00	2152 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	44.03	13.63 W	0.00	2153 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	45.05	14.65 W	0.00	2154 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.40	07/08/20	44.98	14.58 W	0.00	2155 of 3242 - Sale <sup>25</sup>
	4.080	31.01	07/08/20	46.17	15.16 W	0.00	2156 of 3242 - Sale <sup>25</sup>
	4.220	32.07	07/08/20	46.15	14.08 W	0.00	2157 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	55.03	17.03 W	0.00	2158 of 3242 - Sale <sup>25</sup>
	5.650	42.94	07/08/20	60.86	17.92 W	0.00	2159 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	65.38	19.78 W	0.00	2160 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	65.40	19.80 W	0.00	2161 of 3242 - Sale <sup>25</sup>
	8.000	60.80	07/08/20	87.43	26.63 W	0.00	2162 of 3242 - Sale <sup>25</sup>
	8.080	61.41	07/08/20	89.04	27.63 W	0.00	2163 of 3242 - Sale <sup>25</sup>
	9.080	69.01	07/08/20	98.33	29.32 W	0.00	2164 of 3242 - Sale <sup>25</sup>
	10.000	76.00	07/08/20	108.85	32.85 W	0.00	2165 of 3242 - Sale <sup>25</sup>
	10.000	76.00	07/08/20	114.16	38.16 W	0.00	2166 of 3242 - Sale <sup>25</sup>
	0.120	0.91	07/08/20	1.31	0.40 W	0.00	2167 of 3242 - Sale <sup>25</sup>
	0.210	1.60	07/08/20	2.13	0.53 W	0.00	2168 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.44	0.77 W	0.00	2169 of 3242 - Sale <sup>25</sup>
	0.350	2.66	07/08/20	3.87	1.21 W	0.00	2170 of 3242 - Sale <sup>25</sup>
	0.350	2.66	07/08/20	3.81	1.15 W	0.00	2171 of 3242 - Sale <sup>25</sup>
	0.360	2.74	07/08/20	3.93	1.19 W	0.00	2172 of 3242 - Sale <sup>25</sup>
	0.360	2.74	07/08/20	4.04	1.30 W	0.00	2173 of 3242 - Sale <sup>25</sup>
	0.580	4.41	07/08/20	6.47	2.06 W	0.00	2174 of 3242 - Sale <sup>25</sup>
	0.640	4.86	07/08/20	7.04	2.18 W	0.00	2175 of 3242 - Sale <sup>25</sup>
	0.640	4.86	07/08/20	7.14	2.28 W	0.00	2176 of 3242 - Sale <sup>25</sup>
	0.660	5.02	07/08/20	7.23	2.21 W	0.00	2177 of 3242 - Sale <sup>25</sup>
	0.690	5.24	07/08/20	7.00	1.76 W	0.00	2178 of 3242 - Sale <sup>25</sup>
	0.700	5.32	07/08/20	7.55	2.23 W	0.00	2179 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.47	2.54 W	0.00	2180 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	7.95	2.02 W	0.00	2181 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	7.98	2.05 W	0.00	2182 of 3242 - Sale <sup>25</sup>
	0.790	6.00	07/08/20	8.66	2.66 W	0.00	2183 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.01	3.41 W	0.00	2184 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.00	3.40 W	0.00	2185 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.03	3.43 W	0.00	2186 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.95	3.35 W	0.00	2187 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.95	3.35 W	0.00	2188 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	07/08/20	10.94	3.34 W	0.00	2189 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.93	3.33 W	0.00	2190 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.10	3.50 W	0.00	2191 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.10	3.50 W	0.00	2192 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.11	3.51 W	0.00	2193 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.93	3.33 W	0.00	2194 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.88	3.28 W	0.00	2195 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.87	3.27 W	0.00	2196 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.63	3.03 W	0.00	2197 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.65	3.05 W	0.00	2198 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.65	3.05 W	0.00	2199 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.71	3.11 W	0.00	2200 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.68	3.08 W	0.00	2201 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.72	3.12 W	0.00	2202 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.34	2.74 W	0.00	2203 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.33	2.73 W	0.00	2204 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.31	2.71 W	0.00	2205 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.29	2.69 W	0.00	2206 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.16	2.56 W	0.00	2207 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.23	2.63 W	0.00	2208 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.21	2.61 W	0.00	2209 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.13	2.53 W	0.00	2210 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.12	2.52 W	0.00	2211 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.09	2.49 W	0.00	2212 of 3242 - Sale <sup>25</sup>
	1.120	8.51	07/08/20	12.25	3.74 W	0.00	2213 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	13.45	4.18 W	0.00	2214 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	12.47	3.20 W	0.00	2215 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	12.35	3.08 W	0.00	2216 of 3242 - Sale <sup>25</sup>
	1.340	10.18	07/08/20	14.67	4.49 W	0.00	2217 of 3242 - Sale <sup>25</sup>
	1.360	10.34	07/08/20	15.09	4.75 W	0.00	2218 of 3242 - Sale <sup>25</sup>
	1.440	10.94	07/08/20	15.66	4.72 W	0.00	2219 of 3242 - Sale <sup>25</sup>
	1.700	12.92	07/08/20	17.31	4.39 W	0.00	2220 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	19.41	5.88 W	0.00	2221 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	18.04	4.51 W	0.00	2222 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

<b>1a- Description of property/CUSIP/Symbol</b>							
<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2.000		15.20	07/08/20	22.14	6.94 W	0.00	2223 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	22.01	6.81 W	0.00	2224 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	21.94	6.74 W	0.00	2225 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	22.34	7.14 W	0.00	2226 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	21.80	6.60 W	0.00	2227 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	21.70	6.50 W	0.00	2228 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	20.23	5.03 W	0.00	2229 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	20.24	5.04 W	0.00	2230 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	20.26	5.06 W	0.00	2231 of 3242 - Sale <sup>25</sup>
2.000		15.20	07/08/20	20.20	5.00 W	0.00	2232 of 3242 - Sale <sup>25</sup>
2.070		15.73	07/08/20	22.68	6.95 W	0.00	2233 of 3242 - Sale <sup>25</sup>
2.220		16.87	07/08/20	22.70	5.83 W	0.00	2234 of 3242 - Sale <sup>25</sup>
2.300		17.48	07/08/20	24.80	7.32 W	0.00	2235 of 3242 - Sale <sup>25</sup>
2.300		17.48	07/08/20	23.42	5.94 W	0.00	2236 of 3242 - Sale <sup>25</sup>
2.430		18.47	07/08/20	26.87	8.40 W	0.00	2237 of 3242 - Sale <sup>25</sup>
2.680		20.37	07/08/20	29.37	9.00 W	0.00	2238 of 3242 - Sale <sup>25</sup>
2.780		21.13	07/08/20	30.33	9.20 W	0.00	2239 of 3242 - Sale <sup>25</sup>
2.780		21.13	07/08/20	28.43	7.30 W	0.00	2240 of 3242 - Sale <sup>25</sup>
2.780		21.12	07/08/20	28.24	7.12 W	0.00	2241 of 3242 - Sale <sup>25</sup>
2.880		21.89	07/08/20	31.53	9.64 W	0.00	2242 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	33.04	10.24 W	0.00	2243 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	32.95	10.15 W	0.00	2244 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	32.84	10.04 W	0.00	2245 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	32.84	10.04 W	0.00	2246 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	32.84	10.04 W	0.00	2247 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	32.35	9.55 W	0.00	2248 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	31.72	8.92 W	0.00	2249 of 3242 - Sale <sup>25</sup>
3.000		22.80	07/08/20	30.60	7.80 W	0.00	2250 of 3242 - Sale <sup>25</sup>
3.880		29.49	07/08/20	42.41	12.92 W	0.00	2251 of 3242 - Sale <sup>25</sup>
4.000		30.40	07/08/20	43.88	13.48 W	0.00	2252 of 3242 - Sale <sup>25</sup>
4.000		30.40	07/08/20	43.88	13.48 W	0.00	2253 of 3242 - Sale <sup>25</sup>
4.000		30.40	07/08/20	43.64	13.24 W	0.00	2254 of 3242 - Sale <sup>25</sup>
4.000		30.40	07/08/20	41.14	10.74 W	0.00	2255 of 3242 - Sale <sup>25</sup>
4.000		30.40	07/08/20	41.13	10.73 W	0.00	2256 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Gain or loss(-) & Additional information. Data rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and prices.

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.780	5.93	07/08/20	8.09	2.16 W	0.00	2291 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.02	2.09 W	0.00	2292 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.01	2.08 W	0.00	2293 of 3242 - Sale <sup>25</sup>
	0.790	6.00	07/08/20	8.05	2.05 W	0.00	2294 of 3242 - Sale <sup>25</sup>
	0.860	6.54	07/08/20	8.95	2.41 W	0.00	2295 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.23	2.63 W	0.00	2296 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.23	2.63 W	0.00	2297 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.23	2.63 W	0.00	2298 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.20	2.60 W	0.00	2299 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.22	2.62 W	0.00	2300 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.54	2.94 W	0.00	2301 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.59	2.99 W	0.00	2302 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.70	3.10 W	0.00	2303 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.69	3.09 W	0.00	2304 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.68	3.08 W	0.00	2305 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.35	2.75 W	0.00	2306 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.36	2.76 W	0.00	2307 of 3242 - Sale <sup>25</sup>
	1.140	8.66	07/08/20	11.89	3.23 W	0.00	2308 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	12.59	3.32 W	0.00	2309 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.37	6.17 W	0.00	2310 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.71	5.51 W	0.00	2311 of 3242 - Sale <sup>25</sup>
	2.220	16.87	07/08/20	23.70	6.83 W	0.00	2312 of 3242 - Sale <sup>25</sup>
	2.300	17.48	07/08/20	23.81	6.33 W	0.00	2313 of 3242 - Sale <sup>25</sup>
	2.780	21.13	07/08/20	29.72	8.59 W	0.00	2314 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	31.46	8.66 W	0.00	2315 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	31.80	9.00 W	0.00	2316 of 3242 - Sale <sup>25</sup>
	3.780	28.73	07/08/20	40.09	11.36 W	0.00	2317 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	41.01	10.61 W	0.00	2318 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	42.41	12.01 W	0.00	2319 of 3242 - Sale <sup>25</sup>
	4.220	32.07	07/08/20	44.79	12.72 W	0.00	2320 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	52.10	14.10 W	0.00	2321 of 3242 - Sale <sup>25</sup>
	0.210	1.60	07/08/20	2.36	0.76 W	0.00	2322 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.40	0.73 W	0.00	2323 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.51	0.84 W	0.00	2324 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with 8 columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Account 143648111

### Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.220	77.67	07/08/20	114.98	37.31 W	0.00	2359 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	16.47	1.27 W	0.00	2360 of 3242 - Sale <sup>25</sup>
	2.780	21.13	07/08/20	22.90	1.77 W	0.00	2361 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	24.73	1.93 W	0.00	2362 of 3242 - Sale <sup>25</sup>
	3.100	23.56	07/08/20	25.55	1.99 W	0.00	2363 of 3242 - Sale <sup>25</sup>
	4.780	36.33	07/08/20	39.40	3.07 W	0.00	2364 of 3242 - Sale <sup>25</sup>
	6.900	52.44	07/08/20	56.88	4.44 W	0.00	2365 of 3242 - Sale <sup>25</sup>
	8.220	62.47	07/08/20	67.78	5.31 W	0.00	2366 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.38	0.71 W	0.00	2367 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.44	0.77 W	0.00	2368 of 3242 - Sale <sup>25</sup>
	0.570	4.33	07/08/20	6.41	2.08 W	0.00	2369 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.14	2.21 W	0.00	2370 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.35	2.42 W	0.00	2371 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.38	2.45 W	0.00	2372 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.22	3.62 W	0.00	2373 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.22	3.62 W	0.00	2374 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.70	3.10 W	0.00	2375 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.75	3.15 W	0.00	2376 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.73	3.13 W	0.00	2377 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.73	3.13 W	0.00	2378 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.63	3.03 W	0.00	2379 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.11	3.51 W	0.00	2380 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	13.74	4.47 W	0.00	2381 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	12.71	3.44 W	0.00	2382 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	13.16	3.89 W	0.00	2383 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	19.96	6.43 W	0.00	2384 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.40	7.20 W	0.00	2385 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	22.37	7.17 W	0.00	2386 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.82	5.62 W	0.00	2387 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.52	6.32 W	0.00	2388 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.47	6.27 W	0.00	2389 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.31	6.11 W	0.00	2390 of 3242 - Sale <sup>25</sup>
	2.220	16.87	07/08/20	24.85	7.98 W	0.00	2391 of 3242 - Sale <sup>25</sup>
	2.220	16.87	07/08/20	23.24	6.37 W	0.00	2392 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.780	21.13	07/08/20	29.90	8.77 W	0.00	2393 of 3242 - Sale <sup>25</sup>
	2.790	21.20	07/08/20	31.22	10.02 W	0.00	2394 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	33.74	10.94 W	0.00	2395 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	31.24	8.44 W	0.00	2396 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	31.24	8.44 W	0.00	2397 of 3242 - Sale <sup>25</sup>
	3.210	24.39	07/08/20	35.74	11.35 W	0.00	2398 of 3242 - Sale <sup>25</sup>
	4.210	31.99	07/08/20	47.18	15.19 W	0.00	2399 of 3242 - Sale <sup>25</sup>
	4.780	36.33	07/08/20	53.19	16.86 W	0.00	2400 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	52.47	14.47 W	0.00	2401 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	67.15	21.55 W	0.00	2402 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	66.12	20.52 W	0.00	2403 of 3242 - Sale <sup>25</sup>
	7.000	53.20	07/08/20	77.90	24.70 W	0.00	2404 of 3242 - Sale <sup>25</sup>
	16.000	121.59	07/08/20	180.17	58.58 W	0.00	2405 of 3242 - Sale <sup>25</sup>
	7.690	58.44	07/08/20	63.55	5.11 W	0.00	2406 of 3242 - Sale <sup>25</sup>
	0.140	1.06	07/08/20	1.55	0.49 W	0.00	2407 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.47	0.80 W	0.00	2408 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.38	0.71 W	0.00	2409 of 3242 - Sale <sup>25</sup>
	0.360	2.74	07/08/20	3.92	1.18 W	0.00	2410 of 3242 - Sale <sup>25</sup>
	0.640	4.86	07/08/20	6.97	2.11 W	0.00	2411 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.70	2.77 W	0.00	2412 of 3242 - Sale <sup>25</sup>
	0.990	7.52	07/08/20	10.79	3.27 W	0.00	2413 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.94	3.34 W	0.00	2414 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.89	3.29 W	0.00	2415 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.92	3.32 W	0.00	2416 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.91	3.31 W	0.00	2417 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.92	3.32 W	0.00	2418 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.87	3.27 W	0.00	2419 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.87	3.27 W	0.00	2420 of 3242 - Sale <sup>25</sup>
	1.360	10.34	07/08/20	14.80	4.46 W	0.00	2421 of 3242 - Sale <sup>25</sup>
	1.420	10.79	07/08/20	15.51	4.72 W	0.00	2422 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	19.39	5.86 W	0.00	2423 of 3242 - Sale <sup>25</sup>
	1.860	14.14	07/08/20	20.61	6.47 W	0.00	2424 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.75	6.55 W	0.00	2425 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.75	6.55 W	0.00	2426 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.210	16.80	07/08/20	23.75	6.95 W	0.00	2427 of 3242 - Sale <sup>25</sup>
	2.220	16.87	07/08/20	24.65	7.78 W	0.00	2428 of 3242 - Sale <sup>25</sup>
	2.220	16.87	07/08/20	24.23	7.36 W	0.00	2429 of 3242 - Sale <sup>25</sup>
	2.780	21.13	07/08/20	30.29	9.16 W	0.00	2430 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	33.36	10.56 W	0.00	2431 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	32.77	9.97 W	0.00	2432 of 3242 - Sale <sup>25</sup>
	3.140	23.86	07/08/20	33.77	9.91 W	0.00	2433 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	43.57	13.17 W	0.00	2434 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	43.69	13.29 W	0.00	2435 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	43.72	13.32 W	0.00	2436 of 3242 - Sale <sup>25</sup>
	4.640	35.26	07/08/20	50.36	15.10 W	0.00	2437 of 3242 - Sale <sup>25</sup>
	4.790	36.40	07/08/20	53.34	16.94 W	0.00	2438 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	65.49	19.89 W	0.00	2439 of 3242 - Sale <sup>25</sup>
	6.220	47.27	07/08/20	66.92	19.65 W	0.00	2440 of 3242 - Sale <sup>25</sup>
	9.000	68.40	07/08/20	98.25	29.85 W	0.00	2441 of 3242 - Sale <sup>25</sup>
	9.000	68.40	07/08/20	99.25	30.85 W	0.00	2442 of 3242 - Sale <sup>25</sup>
	9.010	68.47	07/08/20	98.28	29.81 W	0.00	2443 of 3242 - Sale <sup>25</sup>
	0.140	1.06	07/08/20	1.50	0.44 W	0.00	2444 of 3242 - Sale <sup>25</sup>
	0.440	3.34	07/08/20	4.72	1.38 W	0.00	2445 of 3242 - Sale <sup>25</sup>
	0.860	6.54	07/08/20	9.26	2.72 W	0.00	2446 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.75	3.15 W	0.00	2447 of 3242 - Sale <sup>25</sup>
	1.210	9.20	07/08/20	13.00	3.80 W	0.00	2448 of 3242 - Sale <sup>25</sup>
	1.770	13.45	07/08/20	19.03	5.58 W	0.00	2449 of 3242 - Sale <sup>25</sup>
	3.140	23.86	07/08/20	33.72	9.86 W	0.00	2450 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.42	0.75 W	0.00	2451 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.53	2.60 W	0.00	2452 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	8.27	2.34 W	0.00	2453 of 3242 - Sale <sup>25</sup>
	0.870	6.61	07/08/20	9.52	2.91 W	0.00	2454 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.67	3.07 W	0.00	2455 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.00	3.40 W	0.00	2456 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.99	3.39 W	0.00	2457 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	11.06	3.46 W	0.00	2458 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.97	3.37 W	0.00	2459 of 3242 - Sale <sup>25</sup>
	1.130	8.59	07/08/20	12.37	3.78 W	0.00	2460 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	9.27	07/08/20	13.41	4.14 W	0.00	2461 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	18.88	5.35 W	0.00	2462 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.98	6.78 W	0.00	2463 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.91	6.71 W	0.00	2464 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.20	6.00 W	0.00	2465 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.20	6.00 W	0.00	2466 of 3242 - Sale <sup>25</sup>
	2.690	20.44	07/08/20	28.55	8.11 W	0.00	2467 of 3242 - Sale <sup>25</sup>
	2.780	21.13	07/08/20	30.48	9.35 W	0.00	2468 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	32.89	10.09 W	0.00	2469 of 3242 - Sale <sup>25</sup>
	3.440	26.14	07/08/20	36.35	10.21 W	0.00	2470 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	54.96	16.96 W	0.00	2471 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	54.81	16.81 W	0.00	2472 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	52.92	14.92 W	0.00	2473 of 3242 - Sale <sup>25</sup>
	5.530	42.03	07/08/20	58.53	16.50 W	0.00	2474 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	65.97	20.37 W	0.00	2475 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	63.67	18.07 W	0.00	2476 of 3242 - Sale <sup>25</sup>
	6.220	47.27	07/08/20	68.64	21.37 W	0.00	2477 of 3242 - Sale <sup>25</sup>
	9.000	68.40	07/08/20	98.77	30.37 W	0.00	2478 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.29	0.62 W	0.00	2479 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	18.80	5.27 W	0.00	2480 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.93	5.73 W	0.00	2481 of 3242 - Sale <sup>25</sup>
	2.780	21.13	07/08/20	29.36	8.23 W	0.00	2482 of 3242 - Sale <sup>25</sup>
	5.220	39.67	07/08/20	43.12	3.45 W	0.00	2483 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.35	0.68 W	0.00	2484 of 3242 - Sale <sup>25</sup>
	0.860	6.54	07/08/20	9.24	2.70 W	0.00	2485 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.77	3.17 W	0.00	2486 of 3242 - Sale <sup>25</sup>
	1.010	7.68	07/08/20	10.88	3.20 W	0.00	2487 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	13.14	3.87 W	0.00	2488 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	32.32	9.52 W	0.00	2489 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	32.30	9.50 W	0.00	2490 of 3242 - Sale <sup>25</sup>
	3.780	28.73	07/08/20	40.74	12.01 W	0.00	2491 of 3242 - Sale <sup>25</sup>
	7.000	53.20	07/08/20	75.38	22.18 W	0.00	2492 of 3242 - Sale <sup>25</sup>
	0.360	2.74	07/08/20	3.72	0.98 W	0.00	2493 of 3242 - Sale <sup>25</sup>
	0.420	3.19	07/08/20	4.40	1.21 W	0.00	2494 of 3242 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.440	3.34	07/08/20	4.42	1.08 W	0.00	2495 of 3242 - Sale <sup>25</sup>
	0.440	3.34	07/08/20	4.53	1.19 W	0.00	2496 of 3242 - Sale <sup>25</sup>
	0.560	4.26	07/08/20	5.74	1.48 W	0.00	2497 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.07	2.47 W	0.00	2498 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.24	2.64 W	0.00	2499 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.23	2.63 W	0.00	2500 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.22	2.62 W	0.00	2501 of 3242 - Sale <sup>25</sup>
	1.560	11.86	07/08/20	15.66	3.80 W	0.00	2502 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.05	4.85 W	0.00	2503 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	19.99	4.79 W	0.00	2504 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.47	5.27 W	0.00	2505 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	30.71	7.91 W	0.00	2506 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	30.69	7.89 W	0.00	2507 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	30.47	7.67 W	0.00	2508 of 3242 - Sale <sup>25</sup>
	4.350	33.06	07/08/20	43.67	10.61 W	0.00	2509 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	50.18	12.18 W	0.00	2510 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	50.78	12.78 W	0.00	2511 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	50.22	12.22 W	0.00	2512 of 3242 - Sale <sup>25</sup>
	6.000	45.60	07/08/20	61.22	15.62 W	0.00	2513 of 3242 - Sale <sup>25</sup>
	4.090	31.08	07/08/20	33.75	2.67 W	0.00	2514 of 3242 - Sale <sup>25</sup>
	5.690	43.24	07/08/20	46.94	3.70 W	0.00	2515 of 3242 - Sale <sup>25</sup>
	21.000	159.59	07/08/20	173.29	13.70 W	0.00	2516 of 3242 - Sale <sup>25</sup>
	3.360	25.53	07/08/20	36.71	11.18 W	0.00	2517 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.11	0.44 W	0.00	2518 of 3242 - Sale <sup>25</sup>
	0.360	2.74	07/08/20	3.45	0.71 W	0.00	2519 of 3242 - Sale <sup>25</sup>
	0.420	3.19	07/08/20	3.98	0.79 W	0.00	2520 of 3242 - Sale <sup>25</sup>
	0.420	3.19	07/08/20	4.06	0.87 W	0.00	2521 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.56	1.96 W	0.00	2522 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.58	1.98 W	0.00	2523 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.59	1.99 W	0.00	2524 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.57	1.97 W	0.00	2525 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.57	1.97 W	0.00	2526 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.45	1.85 W	0.00	2527 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.45	1.85 W	0.00	2528 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	07/08/20	9.62	2.02 W	0.00	2529 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.62	2.02 W	0.00	2530 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.65	2.05 W	0.00	2531 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	18.95	3.75 W	0.00	2532 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	19.14	3.94 W	0.00	2533 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	19.15	3.95 W	0.00	2534 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	19.15	3.95 W	0.00	2535 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	19.23	4.03 W	0.00	2536 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	28.71	5.91 W	0.00	2537 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	28.93	6.13 W	0.00	2538 of 3242 - Sale <sup>25</sup>
	3.860	29.33	07/08/20	36.85	7.52 W	0.00	2539 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	37.93	7.53 W	0.00	2540 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	38.40	8.00 W	0.00	2541 of 3242 - Sale <sup>25</sup>
	4.050	30.78	07/08/20	38.32	7.54 W	0.00	2542 of 3242 - Sale <sup>25</sup>
	5.090	38.68	07/08/20	48.18	9.50 W	0.00	2543 of 3242 - Sale <sup>25</sup>
	9.000	68.40	07/08/20	86.35	17.95 W	0.00	2544 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.03	2.43 W	0.00	2545 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.04	2.44 W	0.00	2546 of 3242 - Sale <sup>25</sup>
	1.580	12.01	07/08/20	15.84	3.83 W	0.00	2547 of 3242 - Sale <sup>25</sup>
	4.860	36.93	07/08/20	48.72	11.79 W	0.00	2548 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.24	0.57 W	0.00	2549 of 3242 - Sale <sup>25</sup>
	0.650	4.94	07/08/20	6.52	1.58 W	0.00	2550 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	7.85	1.92 W	0.00	2551 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.05	2.45 W	0.00	2552 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.08	2.48 W	0.00	2553 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.07	2.47 W	0.00	2554 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	12.43	3.16 W	0.00	2555 of 3242 - Sale <sup>25</sup>
	1.780	13.53	07/08/20	18.20	4.67 W	0.00	2556 of 3242 - Sale <sup>25</sup>
	2.220	16.87	07/08/20	22.41	5.54 W	0.00	2557 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	40.84	10.44 W	0.00	2558 of 3242 - Sale <sup>25</sup>
	0.100	0.76	07/08/20	0.97	0.21 W	0.00	2559 of 3242 - Sale <sup>25</sup>
	0.900	6.84	07/08/20	9.43	2.59 W	0.00	2560 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.53	2.93 W	0.00	2561 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.46	2.86 W	0.00	2562 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	9.27	07/08/20	12.76	3.49 W	0.00	2563 of 3242 - Sale <sup>25</sup>
	1.900	14.44	07/08/20	19.80	5.36 W	0.00	2564 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.00	5.80 W	0.00	2565 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.03	5.83 W	0.00	2566 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.03	5.83 W	0.00	2567 of 3242 - Sale <sup>25</sup>
	2.100	15.96	07/08/20	21.98	6.02 W	0.00	2568 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	31.23	8.43 W	0.00	2569 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	31.40	8.60 W	0.00	2570 of 3242 - Sale <sup>25</sup>
	3.100	23.56	07/08/20	32.27	8.71 W	0.00	2571 of 3242 - Sale <sup>25</sup>
	3.900	29.64	07/08/20	38.30	8.66 W	0.00	2572 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	42.10	11.70 W	0.00	2573 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	52.57	14.57 W	0.00	2574 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	7.68	1.75 W	0.00	2575 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.83	2.23 W	0.00	2576 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.79	2.19 W	0.00	2577 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	19.60	4.40 W	0.00	2578 of 3242 - Sale <sup>25</sup>
	4.220	32.07	07/08/20	42.00	9.93 W	0.00	2579 of 3242 - Sale <sup>25</sup>
	0.090	0.68	07/08/20	0.89	0.21 W	0.00	2580 of 3242 - Sale <sup>25</sup>
	0.130	0.99	07/08/20	1.28	0.29 W	0.00	2581 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	2.13	0.46 W	0.00	2582 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	7.90	1.97 W	0.00	2583 of 3242 - Sale <sup>25</sup>
	0.780	5.93	07/08/20	7.20	1.27 W	0.00	2584 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.88	2.28 W	0.00	2585 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.66	2.06 W	0.00	2586 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.51	1.91 W	0.00	2587 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.50	1.90 W	0.00	2588 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.35	1.75 W	0.00	2589 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.22	1.62 W	0.00	2590 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.23	1.63 W	0.00	2591 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	9.23	1.63 W	0.00	2592 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	11.27	2.00 W	0.00	2593 of 3242 - Sale <sup>25</sup>
	1.220	9.27	07/08/20	11.25	1.98 W	0.00	2594 of 3242 - Sale <sup>25</sup>
	1.690	12.84	07/08/20	15.37	2.53 W	0.00	2595 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.12	4.92 W	0.00	2596 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	07/08/20	10.48	2.88 W	0.00	2631 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.48	2.88 W	0.00	2632 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.46	2.86 W	0.00	2633 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	10.51	2.91 W	0.00	2634 of 3242 - Sale <sup>25</sup>
	1.140	8.66	07/08/20	11.97	3.31 W	0.00	2635 of 3242 - Sale <sup>25</sup>
	1.860	14.13	07/08/20	19.08	4.95 W	0.00	2636 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.14	4.94 W	0.00	2637 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.08	4.88 W	0.00	2638 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.64	5.44 W	0.00	2639 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.97	5.77 W	0.00	2640 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	20.97	5.77 W	0.00	2641 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.09	5.89 W	0.00	2642 of 3242 - Sale <sup>25</sup>
	2.000	15.20	07/08/20	21.22	6.02 W	0.00	2643 of 3242 - Sale <sup>25</sup>
	2.140	16.26	07/08/20	21.97	5.71 W	0.00	2644 of 3242 - Sale <sup>25</sup>
	2.140	16.26	07/08/20	22.53	6.27 W	0.00	2645 of 3242 - Sale <sup>25</sup>
	2.510	19.07	07/08/20	25.39	6.32 W	0.00	2646 of 3242 - Sale <sup>25</sup>
	2.580	19.61	07/08/20	26.56	6.95 W	0.00	2647 of 3242 - Sale <sup>25</sup>
	2.860	21.73	07/08/20	28.96	7.23 W	0.00	2648 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	30.37	7.57 W	0.00	2649 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	30.23	7.43 W	0.00	2650 of 3242 - Sale <sup>25</sup>
	3.000	22.80	07/08/20	32.52	9.72 W	0.00	2651 of 3242 - Sale <sup>25</sup>
	3.440	26.14	07/08/20	36.24	10.10 W	0.00	2652 of 3242 - Sale <sup>25</sup>
	3.950	30.02	07/08/20	40.65	10.63 W	0.00	2653 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	40.24	9.84 W	0.00	2654 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	41.25	10.85 W	0.00	2655 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	41.84	11.44 W	0.00	2656 of 3242 - Sale <sup>25</sup>
	4.000	30.40	07/08/20	42.06	11.66 W	0.00	2657 of 3242 - Sale <sup>25</sup>
	4.140	31.46	07/08/20	41.70	10.24 W	0.00	2658 of 3242 - Sale <sup>25</sup>
	5.000	38.00	07/08/20	50.46	12.46 W	0.00	2659 of 3242 - Sale <sup>25</sup>
	5.000	37.99	07/08/20	52.66	14.67 W	0.00	2660 of 3242 - Sale <sup>25</sup>
	5.420	41.19	07/08/20	56.98	15.79 W	0.00	2661 of 3242 - Sale <sup>25</sup>
	0.220	1.67	07/08/20	1.86	0.19 W	0.00	2662 of 3242 - Sale <sup>25</sup>
	0.310	2.36	07/08/20	2.56	0.20 W	0.00	2663 of 3242 - Sale <sup>25</sup>
	0.910	6.92	07/08/20	7.70	0.78 W	0.00	2664 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

### Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.60	07/08/20	8.43	0.83 W	0.00	2665 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	8.40	0.80 W	0.00	2666 of 3242 - Sale <sup>25</sup>
	1.000	7.60	07/08/20	8.40	0.80 W	0.00	2667 of 3242 - Sale <sup>25</sup>
	6.000	45.59	07/08/20	50.77	5.18 W	0.00	2668 of 3242 - Sale <sup>25</sup>
	6.140	46.66	07/08/20	51.77	5.11 W	0.00	2669 of 3242 - Sale <sup>25</sup>
	6.220	47.27	07/08/20	52.52	5.25 W	0.00	2670 of 3242 - Sale <sup>25</sup>
	6.560	49.85	07/08/20	55.39	5.54 W	0.00	2671 of 3242 - Sale <sup>25</sup>
	6.860	52.13	07/08/20	57.77	5.64 W	0.00	2672 of 3242 - Sale <sup>25</sup>
	7.950	60.41	07/08/20	67.26	6.85 W	0.00	2673 of 3242 - Sale <sup>25</sup>
	10.000	75.99	07/08/20	84.28	8.29 W	0.00	2674 of 3242 - Sale <sup>25</sup>
	10.780	81.91	07/08/20	88.92	7.01 W	0.00	2675 of 3242 - Sale <sup>25</sup>
	16.000	121.58	07/08/20	134.91	13.33 W	0.00	2676 of 3242 - Sale <sup>25</sup>
	17.220	130.85	07/08/20	142.05	11.20 W	0.00	2677 of 3242 - Sale <sup>25</sup>
	0.080	0.61	07/08/20	0.68	0.07 W	0.00	2678 of 3242 - Sale <sup>25</sup>
	0.140	1.07	07/08/20	1.15	0.08 W	0.00	2679 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.53	0.90 W	0.00	2680 of 3242 - Sale <sup>25</sup>
	1.310	10.00	07/08/20	11.15	1.15 W	0.00	2681 of 3242 - Sale <sup>25</sup>
	5.080	38.76	07/08/20	43.32	4.56 W	0.00	2682 of 3242 - Sale <sup>25</sup>
	6.360	48.53	07/08/20	54.21	5.68 W	0.00	2683 of 3242 - Sale <sup>25</sup>
	6.780	51.73	07/08/20	57.76	6.03 W	0.00	2684 of 3242 - Sale <sup>25</sup>
	7.440	56.77	07/08/20	63.31	6.54 W	0.00	2685 of 3242 - Sale <sup>25</sup>
	8.470	64.62	07/08/20	72.05	7.43 W	0.00	2686 of 3242 - Sale <sup>25</sup>
	8.780	66.99	07/08/20	74.87	7.88 W	0.00	2687 of 3242 - Sale <sup>25</sup>
	11.560	88.20	07/08/20	99.77	11.57 W	0.00	2688 of 3242 - Sale <sup>25</sup>
	14.690	112.08	07/08/20	121.18	9.10 W	0.00	2689 of 3242 - Sale <sup>25</sup>
	0.560	4.27	07/08/20	4.71	0.44 W	0.00	2690 of 3242 - Sale <sup>25</sup>
	0.630	4.81	07/08/20	5.44	0.63 W	0.00	2691 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.68	1.05 W	0.00	2692 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.52	0.89 W	0.00	2693 of 3242 - Sale <sup>25</sup>
	1.440	10.99	07/08/20	12.04	1.05 W	0.00	2694 of 3242 - Sale <sup>25</sup>
	2.080	15.87	07/08/20	17.44	1.57 W	0.00	2695 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	26.15	3.26 W	0.00	2696 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	61.03	7.62 W	0.00	2697 of 3242 - Sale <sup>25</sup>
	7.920	60.43	07/08/20	66.44	6.01 W	0.00	2698 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with 8 columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and prices.

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.89	07/08/20	35.15	12.26 W	0.00	2767 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	35.27	12.38 W	0.00	2768 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	35.27	12.38 W	0.00	2769 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	35.29	12.40 W	0.00	2770 of 3242 - Sale <sup>25</sup>
	3.150	24.03	07/08/20	37.33	13.30 W	0.00	2771 of 3242 - Sale <sup>25</sup>
	3.440	26.25	07/08/20	40.29	14.04 W	0.00	2772 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.84	16.32 W	0.00	2773 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.95	16.43 W	0.00	2774 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.90	16.38 W	0.00	2775 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	58.71	20.56 W	0.00	2776 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	70.45	24.67 W	0.00	2777 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	70.75	24.97 W	0.00	2778 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	82.03	28.62 W	0.00	2779 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	82.32	28.91 W	0.00	2780 of 3242 - Sale <sup>25</sup>
	9.000	68.67	07/08/20	105.65	36.98 W	0.00	2781 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.54	0.86 W	0.00	2782 of 3242 - Sale <sup>25</sup>
	0.310	2.37	07/08/20	3.63	1.26 W	0.00	2783 of 3242 - Sale <sup>25</sup>
	0.310	2.37	07/08/20	3.58	1.21 W	0.00	2784 of 3242 - Sale <sup>25</sup>
	0.350	2.67	07/08/20	4.05	1.38 W	0.00	2785 of 3242 - Sale <sup>25</sup>
	0.690	5.26	07/08/20	8.13	2.87 W	0.00	2786 of 3242 - Sale <sup>25</sup>
	0.690	5.26	07/08/20	7.97	2.71 W	0.00	2787 of 3242 - Sale <sup>25</sup>
	0.690	5.26	07/08/20	7.94	2.68 W	0.00	2788 of 3242 - Sale <sup>25</sup>
	0.800	6.10	07/08/20	9.22	3.12 W	0.00	2789 of 3242 - Sale <sup>25</sup>
	0.870	6.64	07/08/20	10.00	3.36 W	0.00	2790 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.69	4.06 W	0.00	2791 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.69	4.06 W	0.00	2792 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.68	4.05 W	0.00	2793 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.69	4.06 W	0.00	2794 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.48	3.85 W	0.00	2795 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.59	3.96 W	0.00	2796 of 3242 - Sale <sup>25</sup>
	1.310	10.00	07/08/20	15.44	5.44 W	0.00	2797 of 3242 - Sale <sup>25</sup>
	1.690	12.89	07/08/20	19.48	6.59 W	0.00	2798 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.00	7.74 W	0.00	2799 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.15	7.89 W	0.00	2800 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.150	16.40	07/08/20	25.34	8.94 W	0.00	2801 of 3242 - Sale <sup>25</sup>
	2.690	20.52	07/08/20	30.94	10.42 W	0.00	2802 of 3242 - Sale <sup>25</sup>
	2.900	22.13	07/08/20	33.92	11.79 W	0.00	2803 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.62	11.73 W	0.00	2804 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.52	11.63 W	0.00	2805 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.30	15.78 W	0.00	2806 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.32	15.80 W	0.00	2807 of 3242 - Sale <sup>25</sup>
	4.310	32.88	07/08/20	49.69	16.81 W	0.00	2808 of 3242 - Sale <sup>25</sup>
	4.310	32.88	07/08/20	49.60	16.72 W	0.00	2809 of 3242 - Sale <sup>25</sup>
	4.420	33.72	07/08/20	50.86	17.14 W	0.00	2810 of 3242 - Sale <sup>25</sup>
	4.790	36.55	07/08/20	56.01	19.46 W	0.00	2811 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	69.03	23.25 W	0.00	2812 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	81.86	28.45 W	0.00	2813 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	93.64	32.60 W	0.00	2814 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	30.80	7.91 W	0.00	2815 of 3242 - Sale <sup>25</sup>
	0.400	3.05	07/08/20	4.09	1.04 W	0.00	2816 of 3242 - Sale <sup>25</sup>
	0.560	4.27	07/08/20	5.71	1.44 W	0.00	2817 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	6.54	1.66 W	0.00	2818 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.20	2.57 W	0.00	2819 of 3242 - Sale <sup>25</sup>
	8.180	62.41	07/08/20	83.59	21.18 W	0.00	2820 of 3242 - Sale <sup>25</sup>
	7.720	58.90	07/08/20	68.76	9.86 W	0.00	2821 of 3242 - Sale <sup>25</sup>
	2.540	19.38	07/08/20	22.50	3.12 W	0.00	2822 of 3242 - Sale <sup>25</sup>
	4.200	32.05	07/08/20	37.41	5.36 W	0.00	2823 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	53.49	7.71 W	0.00	2824 of 3242 - Sale <sup>25</sup>
	6.360	48.53	07/08/20	56.34	7.81 W	0.00	2825 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	59.22	8.56 W	0.00	2826 of 3242 - Sale <sup>25</sup>
	9.260	70.65	07/08/20	82.05	11.40 W	0.00	2827 of 3242 - Sale <sup>25</sup>
	0.160	1.22	07/08/20	1.42	0.20 W	0.00	2828 of 3242 - Sale <sup>25</sup>
	2.060	15.72	07/08/20	18.25	2.53 W	0.00	2829 of 3242 - Sale <sup>25</sup>
	2.140	16.33	07/08/20	18.99	2.66 W	0.00	2830 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	23.48	3.34 W	0.00	2831 of 3242 - Sale <sup>25</sup>
	2.780	21.21	07/08/20	24.72	3.51 W	0.00	2832 of 3242 - Sale <sup>25</sup>
	4.560	34.79	07/08/20	40.41	5.62 W	0.00	2833 of 3242 - Sale <sup>25</sup>
	5.220	39.83	07/08/20	46.24	6.41 W	0.00	2834 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.820	52.04	07/08/20	60.65	8.61 W	0.00	2835 of 3242 - Sale <sup>25</sup>
	14.620	111.55	07/08/20	130.02	18.47 W	0.00	2836 of 3242 - Sale <sup>25</sup>
	3.160	24.11	07/08/20	28.01	3.90 W	0.00	2837 of 3242 - Sale <sup>25</sup>
	7.840	59.82	07/08/20	69.53	9.71 W	0.00	2838 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.92	1.29 W	0.00	2839 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	9.01	1.38 W	0.00	2840 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	9.00	1.37 W	0.00	2841 of 3242 - Sale <sup>25</sup>
	2.580	19.68	07/08/20	23.26	3.58 W	0.00	2842 of 3242 - Sale <sup>25</sup>
	2.860	21.82	07/08/20	25.79	3.97 W	0.00	2843 of 3242 - Sale <sup>25</sup>
	4.780	36.47	07/08/20	42.94	6.47 W	0.00	2844 of 3242 - Sale <sup>25</sup>
	4.780	36.47	07/08/20	42.48	6.01 W	0.00	2845 of 3242 - Sale <sup>25</sup>
	5.220	39.83	07/08/20	46.96	7.13 W	0.00	2846 of 3242 - Sale <sup>25</sup>
	5.220	39.83	07/08/20	46.92	7.09 W	0.00	2847 of 3242 - Sale <sup>25</sup>
	6.360	48.53	07/08/20	57.28	8.75 W	0.00	2848 of 3242 - Sale <sup>25</sup>
	6.780	51.73	07/08/20	61.17	9.44 W	0.00	2849 of 3242 - Sale <sup>25</sup>
	6.840	52.19	07/08/20	60.63	8.44 W	0.00	2850 of 3242 - Sale <sup>25</sup>
	10.000	76.30	07/08/20	89.95	13.65 W	0.00	2851 of 3242 - Sale <sup>25</sup>
	11.640	88.81	07/08/20	104.86	16.05 W	0.00	2852 of 3242 - Sale <sup>25</sup>
	18.780	143.29	07/08/20	168.80	25.51 W	0.00	2853 of 3242 - Sale <sup>25</sup>
	24.160	184.34	07/08/20	214.77	30.43 W	0.00	2854 of 3242 - Sale <sup>25</sup>
	0.340	2.59	07/08/20	3.90	1.31 W	0.00	2855 of 3242 - Sale <sup>25</sup>
	0.660	5.04	07/08/20	7.56	2.52 W	0.00	2856 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.61	3.98 W	0.00	2857 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.59	3.96 W	0.00	2858 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.52	3.89 W	0.00	2859 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.54	3.91 W	0.00	2860 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.46	3.83 W	0.00	2861 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.46	3.83 W	0.00	2862 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.65	4.02 W	0.00	2863 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.66	4.03 W	0.00	2864 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.54	3.91 W	0.00	2865 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.55	3.92 W	0.00	2866 of 3242 - Sale <sup>25</sup>
	1.200	9.16	07/08/20	13.75	4.59 W	0.00	2867 of 3242 - Sale <sup>25</sup>
	1.560	11.90	07/08/20	18.08	6.18 W	0.00	2868 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.650	12.59	07/08/20	19.15	6.56 W	0.00	2869 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.98	7.72 W	0.00	2870 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	23.08	7.82 W	0.00	2871 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.87	7.61 W	0.00	2872 of 3242 - Sale <sup>25</sup>
	2.350	17.93	07/08/20	27.23	9.30 W	0.00	2873 of 3242 - Sale <sup>25</sup>
	2.360	18.01	07/08/20	27.49	9.48 W	0.00	2874 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	30.76	10.62 W	0.00	2875 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.37	11.48 W	0.00	2876 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.68	11.79 W	0.00	2877 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.55	11.66 W	0.00	2878 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.50	11.61 W	0.00	2879 of 3242 - Sale <sup>25</sup>
	3.090	23.58	07/08/20	35.82	12.24 W	0.00	2880 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.33	15.81 W	0.00	2881 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	46.60	16.08 W	0.00	2882 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	80.91	27.50 W	0.00	2883 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	80.34	26.93 W	0.00	2884 of 3242 - Sale <sup>25</sup>
	11.000	83.93	07/08/20	126.65	42.72 W	0.00	2885 of 3242 - Sale <sup>25</sup>
	0.140	1.07	07/08/20	1.23	0.16 W	0.00	2886 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	1.97	0.29 W	0.00	2887 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.21	0.46 W	0.00	2888 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	5.64	0.76 W	0.00	2889 of 3242 - Sale <sup>25</sup>
	0.860	6.56	07/08/20	7.66	1.10 W	0.00	2890 of 3242 - Sale <sup>25</sup>
	1.220	9.31	07/08/20	10.83	1.52 W	0.00	2891 of 3242 - Sale <sup>25</sup>
	1.640	12.51	07/08/20	14.60	2.09 W	0.00	2892 of 3242 - Sale <sup>25</sup>
	1.920	14.65	07/08/20	17.10	2.45 W	0.00	2893 of 3242 - Sale <sup>25</sup>
	2.740	20.91	07/08/20	24.19	3.28 W	0.00	2894 of 3242 - Sale <sup>25</sup>
	2.840	21.67	07/08/20	25.24	3.57 W	0.00	2895 of 3242 - Sale <sup>25</sup>
	2.860	21.82	07/08/20	25.48	3.66 W	0.00	2896 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	35.58	5.06 W	0.00	2897 of 3242 - Sale <sup>25</sup>
	4.140	31.59	07/08/20	36.83	5.24 W	0.00	2898 of 3242 - Sale <sup>25</sup>
	4.260	32.50	07/08/20	37.82	5.32 W	0.00	2899 of 3242 - Sale <sup>25</sup>
	4.860	37.08	07/08/20	43.26	6.18 W	0.00	2900 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	44.49	6.34 W	0.00	2901 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	44.12	5.97 W	0.00	2902 of 3242 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	38.15	07/08/20	44.13	5.98 W	0.00	2903 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	44.51	6.36 W	0.00	2904 of 3242 - Sale <sup>25</sup>
	5.140	39.22	07/08/20	45.74	6.52 W	0.00	2905 of 3242 - Sale <sup>25</sup>
	5.620	42.88	07/08/20	49.61	6.73 W	0.00	2906 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	59.09	8.43 W	0.00	2907 of 3242 - Sale <sup>25</sup>
	7.140	54.48	07/08/20	63.55	9.07 W	0.00	2908 of 3242 - Sale <sup>25</sup>
	8.080	61.65	07/08/20	71.74	10.09 W	0.00	2909 of 3242 - Sale <sup>25</sup>
	9.740	74.31	07/08/20	86.48	12.17 W	0.00	2910 of 3242 - Sale <sup>25</sup>
	16.000	122.08	07/08/20	142.09	20.01 W	0.00	2911 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	18.18	2.92 W	0.00	2912 of 3242 - Sale <sup>25</sup>
	2.090	15.95	07/08/20	18.99	3.04 W	0.00	2913 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	72.43	11.39 W	0.00	2914 of 3242 - Sale <sup>25</sup>
	0.100	0.76	07/08/20	1.15	0.39 W	0.00	2915 of 3242 - Sale <sup>25</sup>
	0.440	3.36	07/08/20	5.12	1.76 W	0.00	2916 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.44	3.81 W	0.00	2917 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.44	3.81 W	0.00	2918 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.40	3.77 W	0.00	2919 of 3242 - Sale <sup>25</sup>
	1.350	10.30	07/08/20	15.42	5.12 W	0.00	2920 of 3242 - Sale <sup>25</sup>
	1.900	14.50	07/08/20	21.70	7.20 W	0.00	2921 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.31	11.42 W	0.00	2922 of 3242 - Sale <sup>25</sup>
	3.870	29.53	07/08/20	44.36	14.83 W	0.00	2923 of 3242 - Sale <sup>25</sup>
	6.780	51.73	07/08/20	77.46	25.73 W	0.00	2924 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	91.46	30.42 W	0.00	2925 of 3242 - Sale <sup>25</sup>
	9.000	68.67	07/08/20	104.87	36.20 W	0.00	2926 of 3242 - Sale <sup>25</sup>
	11.800	90.03	07/08/20	135.14	45.11 W	0.00	2927 of 3242 - Sale <sup>25</sup>
	1.220	9.31	07/08/20	11.04	1.73 W	0.00	2928 of 3242 - Sale <sup>25</sup>
	19.540	149.08	07/08/20	176.82	27.74 W	0.00	2929 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.80	1.17 W	0.00	2930 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.81	1.18 W	0.00	2931 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.77	1.14 W	0.00	2932 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.77	1.14 W	0.00	2933 of 3242 - Sale <sup>25</sup>
	3.910	29.83	07/08/20	34.29	4.46 W	0.00	2934 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	58.19	7.53 W	0.00	2935 of 3242 - Sale <sup>25</sup>
	10.140	77.37	07/08/20	89.55	12.18 W	0.00	2936 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.910	83.24	07/08/20	96.39	13.15 W	0.00	2937 of 3242 - Sale <sup>25</sup>
	11.310	86.29	07/08/20	99.92	13.63 W	0.00	2938 of 3242 - Sale <sup>25</sup>
	1.360	10.38	07/08/20	12.25	1.87 W	0.00	2939 of 3242 - Sale <sup>25</sup>
	1.440	10.99	07/08/20	13.10	2.11 W	0.00	2940 of 3242 - Sale <sup>25</sup>
	2.020	15.41	07/08/20	18.28	2.87 W	0.00	2941 of 3242 - Sale <sup>25</sup>
	3.220	24.57	07/08/20	29.30	4.73 W	0.00	2942 of 3242 - Sale <sup>25</sup>
	4.090	31.21	07/08/20	36.91	5.70 W	0.00	2943 of 3242 - Sale <sup>25</sup>
	4.920	37.54	07/08/20	44.78	7.24 W	0.00	2944 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	54.59	8.81 W	0.00	2945 of 3242 - Sale <sup>25</sup>
	6.640	50.66	07/08/20	59.88	9.22 W	0.00	2946 of 3242 - Sale <sup>25</sup>
	8.780	66.99	07/08/20	79.21	12.22 W	0.00	2947 of 3242 - Sale <sup>25</sup>
	13.780	105.14	07/08/20	125.38	20.24 W	0.00	2948 of 3242 - Sale <sup>25</sup>
	24.070	183.65	07/08/20	217.17	33.52 W	0.00	2949 of 3242 - Sale <sup>25</sup>
	26.770	204.25	07/08/20	241.46	37.21 W	0.00	2950 of 3242 - Sale <sup>25</sup>
	0.090	0.69	07/08/20	0.78	0.09 W	0.00	2951 of 3242 - Sale <sup>25</sup>
	0.780	5.95	07/08/20	6.74	0.79 W	0.00	2952 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.81	1.18 W	0.00	2953 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.81	1.18 W	0.00	2954 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.63	1.00 W	0.00	2955 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.58	0.95 W	0.00	2956 of 3242 - Sale <sup>25</sup>
	1.580	12.05	07/08/20	13.64	1.59 W	0.00	2957 of 3242 - Sale <sup>25</sup>
	2.360	18.01	07/08/20	20.22	2.21 W	0.00	2958 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	22.64	2.50 W	0.00	2959 of 3242 - Sale <sup>25</sup>
	3.220	24.57	07/08/20	27.65	3.08 W	0.00	2960 of 3242 - Sale <sup>25</sup>
	3.420	26.09	07/08/20	29.49	3.40 W	0.00	2961 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	34.25	3.73 W	0.00	2962 of 3242 - Sale <sup>25</sup>
	4.090	31.21	07/08/20	35.06	3.85 W	0.00	2963 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	51.43	5.65 W	0.00	2964 of 3242 - Sale <sup>25</sup>
	6.910	52.72	07/08/20	59.24	6.52 W	0.00	2965 of 3242 - Sale <sup>25</sup>
	9.910	75.61	07/08/20	84.98	9.37 W	0.00	2966 of 3242 - Sale <sup>25</sup>
	10.780	82.25	07/08/20	92.43	10.18 W	0.00	2967 of 3242 - Sale <sup>25</sup>
	10.970	83.70	07/08/20	93.73	10.03 W	0.00	2968 of 3242 - Sale <sup>25</sup>
	11.580	88.35	07/08/20	99.20	10.85 W	0.00	2969 of 3242 - Sale <sup>25</sup>
	13.000	99.19	07/08/20	111.47	12.28 W	0.00	2970 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	15.640	119.33	07/08/20	133.46	14.13 W	0.00	2971 of 3242 - Sale <sup>25</sup>
	18.880	144.05	07/08/20	161.31	17.26 W	0.00	2972 of 3242 - Sale <sup>25</sup>
	23.310	177.85	07/08/20	199.84	21.99 W	0.00	2973 of 3242 - Sale <sup>25</sup>
	3.150	24.03	07/08/20	28.42	4.39 W	0.00	2974 of 3242 - Sale <sup>25</sup>
	4.810	36.70	07/08/20	43.14	6.44 W	0.00	2975 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	45.16	7.01 W	0.00	2976 of 3242 - Sale <sup>25</sup>
	13.880	105.90	07/08/20	124.48	18.58 W	0.00	2977 of 3242 - Sale <sup>25</sup>
	0.070	0.53	07/08/20	0.59	0.06 W	0.00	2978 of 3242 - Sale <sup>25</sup>
	2.930	22.35	07/08/20	24.92	2.57 W	0.00	2979 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	67.94	6.90 W	0.00	2980 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.33	0.65 W	0.00	2981 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.82	1.07 W	0.00	2982 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.79	1.04 W	0.00	2983 of 3242 - Sale <sup>25</sup>
	0.420	3.20	07/08/20	4.46	1.26 W	0.00	2984 of 3242 - Sale <sup>25</sup>
	0.420	3.20	07/08/20	4.46	1.26 W	0.00	2985 of 3242 - Sale <sup>25</sup>
	0.530	4.04	07/08/20	5.62	1.58 W	0.00	2986 of 3242 - Sale <sup>25</sup>
	0.580	4.43	07/08/20	6.11	1.68 W	0.00	2987 of 3242 - Sale <sup>25</sup>
	0.580	4.43	07/08/20	6.12	1.69 W	0.00	2988 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	6.79	1.91 W	0.00	2989 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	6.73	1.85 W	0.00	2990 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	6.73	1.85 W	0.00	2991 of 3242 - Sale <sup>25</sup>
	0.780	5.95	07/08/20	8.25	2.30 W	0.00	2992 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.23	3.60 W	0.00	2993 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.57	2.94 W	0.00	2994 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.55	2.92 W	0.00	2995 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.62	2.99 W	0.00	2996 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.68	3.05 W	0.00	2997 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.66	3.03 W	0.00	2998 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.66	3.03 W	0.00	2999 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.65	3.02 W	0.00	3000 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.62	2.99 W	0.00	3001 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.63	3.00 W	0.00	3002 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.64	3.01 W	0.00	3003 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.65	3.02 W	0.00	3004 of 3242 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.470	11.22	07/08/20	15.58	4.36 W	0.00	3005 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.63	6.37 W	0.00	3006 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.20	5.94 W	0.00	3007 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.18	5.92 W	0.00	3008 of 3242 - Sale <sup>25</sup>
	2.360	18.01	07/08/20	25.08	7.07 W	0.00	3009 of 3242 - Sale <sup>25</sup>
	2.420	18.46	07/08/20	27.12	8.66 W	0.00	3010 of 3242 - Sale <sup>25</sup>
	2.580	19.68	07/08/20	29.08	9.40 W	0.00	3011 of 3242 - Sale <sup>25</sup>
	2.580	19.68	07/08/20	27.34	7.66 W	0.00	3012 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.64	8.75 W	0.00	3013 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.66	8.77 W	0.00	3014 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.69	8.80 W	0.00	3015 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.50	8.61 W	0.00	3016 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.88	8.99 W	0.00	3017 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.97	9.08 W	0.00	3018 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	31.90	9.01 W	0.00	3019 of 3242 - Sale <sup>25</sup>
	3.420	26.09	07/08/20	38.52	12.43 W	0.00	3020 of 3242 - Sale <sup>25</sup>
	3.950	30.14	07/08/20	41.87	11.73 W	0.00	3021 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	42.65	12.13 W	0.00	3022 of 3242 - Sale <sup>25</sup>
	4.050	30.90	07/08/20	42.94	12.04 W	0.00	3023 of 3242 - Sale <sup>25</sup>
	6.580	50.20	07/08/20	73.90	23.70 W	0.00	3024 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	74.38	20.97 W	0.00	3025 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	85.39	24.35 W	0.00	3026 of 3242 - Sale <sup>25</sup>
	9.580	73.09	07/08/20	104.26	31.17 W	0.00	3027 of 3242 - Sale <sup>25</sup>
	10.420	79.50	07/08/20	110.44	30.94 W	0.00	3028 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3029 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3030 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3031 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3032 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3033 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3034 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3035 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3036 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3037 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3038 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3039 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3040 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3041 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3042 of 3242 - Sale <sup>25</sup>
	0.010	0.08	07/08/20	0.11	0.03 W	0.00	3043 of 3242 - Sale <sup>25</sup>
	0.080	0.61	07/08/20	0.86	0.25 W	0.00	3044 of 3242 - Sale <sup>25</sup>
	0.090	0.69	07/08/20	0.98	0.29 W	0.00	3045 of 3242 - Sale <sup>25</sup>
	0.140	1.07	07/08/20	1.55	0.48 W	0.00	3046 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.42	0.74 W	0.00	3047 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.47	0.79 W	0.00	3048 of 3242 - Sale <sup>25</sup>
	0.300	2.29	07/08/20	3.23	0.94 W	0.00	3049 of 3242 - Sale <sup>25</sup>
	0.310	2.37	07/08/20	3.30	0.93 W	0.00	3050 of 3242 - Sale <sup>25</sup>
	0.350	2.67	07/08/20	3.77	1.10 W	0.00	3051 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.96	1.21 W	0.00	3052 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.89	1.14 W	0.00	3053 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.94	1.19 W	0.00	3054 of 3242 - Sale <sup>25</sup>
	0.420	3.20	07/08/20	4.63	1.43 W	0.00	3055 of 3242 - Sale <sup>25</sup>
	0.560	4.27	07/08/20	6.42	2.15 W	0.00	3056 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	7.09	2.21 W	0.00	3057 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	6.99	2.11 W	0.00	3058 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	7.01	2.13 W	0.00	3059 of 3242 - Sale <sup>25</sup>
	0.650	4.96	07/08/20	6.97	2.01 W	0.00	3060 of 3242 - Sale <sup>25</sup>
	0.690	5.26	07/08/20	7.42	2.16 W	0.00	3061 of 3242 - Sale <sup>25</sup>
	0.690	5.26	07/08/20	7.35	2.09 W	0.00	3062 of 3242 - Sale <sup>25</sup>
	0.740	5.65	07/08/20	8.17	2.52 W	0.00	3063 of 3242 - Sale <sup>25</sup>
	0.780	5.95	07/08/20	8.51	2.56 W	0.00	3064 of 3242 - Sale <sup>25</sup>
	0.780	5.95	07/08/20	8.78	2.83 W	0.00	3065 of 3242 - Sale <sup>25</sup>
	0.860	6.56	07/08/20	9.44	2.88 W	0.00	3066 of 3242 - Sale <sup>25</sup>
	0.910	6.94	07/08/20	9.73	2.79 W	0.00	3067 of 3242 - Sale <sup>25</sup>
	0.910	6.94	07/08/20	9.72	2.78 W	0.00	3068 of 3242 - Sale <sup>25</sup>
	0.920	7.02	07/08/20	10.17	3.15 W	0.00	3069 of 3242 - Sale <sup>25</sup>
	0.920	7.02	07/08/20	10.03	3.01 W	0.00	3070 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.63	3.08 W	0.00	3071 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.65	3.10 W	0.00	3072 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.990	7.55	07/08/20	10.61	3.06 W	0.00	3073 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.63	3.08 W	0.00	3074 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.63	3.08 W	0.00	3075 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.61	3.06 W	0.00	3076 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.58	3.03 W	0.00	3077 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.61	3.06 W	0.00	3078 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.62	3.07 W	0.00	3079 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.60	3.05 W	0.00	3080 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.56	3.01 W	0.00	3081 of 3242 - Sale <sup>25</sup>
	0.990	7.55	07/08/20	10.56	3.01 W	0.00	3082 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.53	3.90 W	0.00	3083 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.50	3.87 W	0.00	3084 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.03	3.40 W	0.00	3085 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.03	3.40 W	0.00	3086 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.05	3.42 W	0.00	3087 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.05	3.42 W	0.00	3088 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.04	3.41 W	0.00	3089 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.05	3.42 W	0.00	3090 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.17	3.54 W	0.00	3091 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.99	3.36 W	0.00	3092 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.75	3.12 W	0.00	3093 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.75	3.12 W	0.00	3094 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.72	3.09 W	0.00	3095 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.73	3.10 W	0.00	3096 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.73	3.10 W	0.00	3097 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.71	3.08 W	0.00	3098 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.73	3.10 W	0.00	3099 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.68	3.05 W	0.00	3100 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.69	3.06 W	0.00	3101 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.70	3.07 W	0.00	3102 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.72	3.09 W	0.00	3103 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.70	3.07 W	0.00	3104 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.69	3.06 W	0.00	3105 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.66	3.03 W	0.00	3106 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	07/08/20	10.69	3.06 W	0.00	3107 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.98	3.35 W	0.00	3108 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.98	3.35 W	0.00	3109 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.94	3.31 W	0.00	3110 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.94	3.31 W	0.00	3111 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.94	3.31 W	0.00	3112 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.14	3.51 W	0.00	3113 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.16	3.53 W	0.00	3114 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.07	3.44 W	0.00	3115 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.07	3.44 W	0.00	3116 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.09	3.46 W	0.00	3117 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.92	3.29 W	0.00	3118 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	10.92	3.29 W	0.00	3119 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.27	3.64 W	0.00	3120 of 3242 - Sale <sup>25</sup>
	1.080	8.24	07/08/20	11.85	3.61 W	0.00	3121 of 3242 - Sale <sup>25</sup>
	1.260	9.61	07/08/20	13.88	4.27 W	0.00	3122 of 3242 - Sale <sup>25</sup>
	1.260	9.61	07/08/20	13.64	4.03 W	0.00	3123 of 3242 - Sale <sup>25</sup>
	1.640	12.51	07/08/20	18.51	6.00 W	0.00	3124 of 3242 - Sale <sup>25</sup>
	1.780	13.58	07/08/20	19.48	5.90 W	0.00	3125 of 3242 - Sale <sup>25</sup>
	1.780	13.58	07/08/20	19.70	6.12 W	0.00	3126 of 3242 - Sale <sup>25</sup>
	1.990	15.18	07/08/20	21.46	6.28 W	0.00	3127 of 3242 - Sale <sup>25</sup>
	1.990	15.18	07/08/20	21.49	6.31 W	0.00	3128 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.97	7.71 W	0.00	3129 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.04	6.78 W	0.00	3130 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.51	6.25 W	0.00	3131 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.44	6.18 W	0.00	3132 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.24	6.98 W	0.00	3133 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.42	7.16 W	0.00	3134 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.35	7.09 W	0.00	3135 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.16	6.90 W	0.00	3136 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	21.82	6.56 W	0.00	3137 of 3242 - Sale <sup>25</sup>
	2.080	15.87	07/08/20	23.00	7.13 W	0.00	3138 of 3242 - Sale <sup>25</sup>
	2.140	16.33	07/08/20	23.54	7.21 W	0.00	3139 of 3242 - Sale <sup>25</sup>
	2.220	16.94	07/08/20	24.26	7.32 W	0.00	3140 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.900	22.13	07/08/20	33.33	11.20 W	0.00	3141 of 3242 - Sale <sup>25</sup>
	2.920	22.28	07/08/20	31.65	9.37 W	0.00	3142 of 3242 - Sale <sup>25</sup>
	2.920	22.28	07/08/20	32.24	9.96 W	0.00	3143 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	34.54	11.65 W	0.00	3144 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	33.44	10.55 W	0.00	3145 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	33.44	10.55 W	0.00	3146 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	32.89	10.00 W	0.00	3147 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	32.91	10.02 W	0.00	3148 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	32.77	9.88 W	0.00	3149 of 3242 - Sale <sup>25</sup>
	3.100	23.65	07/08/20	35.60	11.95 W	0.00	3150 of 3242 - Sale <sup>25</sup>
	3.360	25.64	07/08/20	36.26	10.62 W	0.00	3151 of 3242 - Sale <sup>25</sup>
	3.740	28.53	07/08/20	40.55	12.02 W	0.00	3152 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	44.26	13.74 W	0.00	3153 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	43.10	12.58 W	0.00	3154 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	43.72	13.20 W	0.00	3155 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	44.11	13.59 W	0.00	3156 of 3242 - Sale <sup>25</sup>
	4.080	31.13	07/08/20	44.88	13.75 W	0.00	3157 of 3242 - Sale <sup>25</sup>
	4.220	32.20	07/08/20	46.24	14.04 W	0.00	3158 of 3242 - Sale <sup>25</sup>
	4.360	33.27	07/08/20	48.60	15.33 W	0.00	3159 of 3242 - Sale <sup>25</sup>
	4.640	35.40	07/08/20	51.88	16.48 W	0.00	3160 of 3242 - Sale <sup>25</sup>
	4.640	35.40	07/08/20	50.06	14.66 W	0.00	3161 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	55.13	16.98 W	0.00	3162 of 3242 - Sale <sup>25</sup>
	5.650	43.11	07/08/20	60.97	17.86 W	0.00	3163 of 3242 - Sale <sup>25</sup>
	5.780	44.10	07/08/20	63.05	18.95 W	0.00	3164 of 3242 - Sale <sup>25</sup>
	5.780	44.10	07/08/20	63.83	19.73 W	0.00	3165 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	66.06	20.28 W	0.00	3166 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	65.50	19.72 W	0.00	3167 of 3242 - Sale <sup>25</sup>
	6.000	45.78	07/08/20	65.52	19.74 W	0.00	3168 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	89.16	28.12 W	0.00	3169 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	88.33	27.29 W	0.00	3170 of 3242 - Sale <sup>25</sup>
	8.000	61.04	07/08/20	87.59	26.55 W	0.00	3171 of 3242 - Sale <sup>25</sup>
	8.080	61.65	07/08/20	89.19	27.54 W	0.00	3172 of 3242 - Sale <sup>25</sup>
	8.360	63.78	07/08/20	93.43	29.65 W	0.00	3173 of 3242 - Sale <sup>25</sup>
	9.080	69.28	07/08/20	98.51	29.23 W	0.00	3174 of 3242 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	76.29	07/08/20	109.05	32.76 W	0.00	3175 of 3242 - Sale <sup>25</sup>
	15.000	114.45	07/08/20	172.50	58.05 W	0.00	3176 of 3242 - Sale <sup>25</sup>
	0.090	0.69	07/08/20	0.75	0.06 W	0.00	3177 of 3242 - Sale <sup>25</sup>
	0.830	6.33	07/08/20	7.00	0.67 W	0.00	3178 of 3242 - Sale <sup>25</sup>
	0.910	6.94	07/08/20	7.53	0.59 W	0.00	3179 of 3242 - Sale <sup>25</sup>
	0.910	6.94	07/08/20	7.57	0.63 W	0.00	3180 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.34	0.71 W	0.00	3181 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.27	0.64 W	0.00	3182 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.30	0.67 W	0.00	3183 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.31	0.68 W	0.00	3184 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.31	0.68 W	0.00	3185 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	8.31	0.68 W	0.00	3186 of 3242 - Sale <sup>25</sup>
	1.220	9.31	07/08/20	10.22	0.91 W	0.00	3187 of 3242 - Sale <sup>25</sup>
	1.530	11.67	07/08/20	12.92	1.25 W	0.00	3188 of 3242 - Sale <sup>25</sup>
	2.260	17.24	07/08/20	18.91	1.67 W	0.00	3189 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	22.13	1.99 W	0.00	3190 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	24.97	2.08 W	0.00	3191 of 3242 - Sale <sup>25</sup>
	3.090	23.58	07/08/20	25.72	2.14 W	0.00	3192 of 3242 - Sale <sup>25</sup>
	4.030	30.75	07/08/20	34.23	3.48 W	0.00	3193 of 3242 - Sale <sup>25</sup>
	4.780	36.47	07/08/20	39.78	3.31 W	0.00	3194 of 3242 - Sale <sup>25</sup>
	5.100	38.91	07/08/20	42.70	3.79 W	0.00	3195 of 3242 - Sale <sup>25</sup>
	6.090	46.46	07/08/20	50.86	4.40 W	0.00	3196 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	58.51	5.10 W	0.00	3197 of 3242 - Sale <sup>25</sup>
	7.900	60.27	07/08/20	67.12	6.85 W	0.00	3198 of 3242 - Sale <sup>25</sup>
	9.550	72.86	07/08/20	79.74	6.88 W	0.00	3199 of 3242 - Sale <sup>25</sup>
	11.000	83.92	07/08/20	93.44	9.52 W	0.00	3200 of 3242 - Sale <sup>25</sup>
	6.090	46.46	07/08/20	54.37	7.91 W	0.00	3201 of 3242 - Sale <sup>25</sup>
	0.120	0.92	07/08/20	1.36	0.44 W	0.00	3202 of 3242 - Sale <sup>25</sup>
	0.580	4.43	07/08/20	6.61	2.18 W	0.00	3203 of 3242 - Sale <sup>25</sup>
	0.690	5.26	07/08/20	7.88	2.62 W	0.00	3204 of 3242 - Sale <sup>25</sup>
	0.880	6.71	07/08/20	10.04	3.33 W	0.00	3205 of 3242 - Sale <sup>25</sup>
	0.920	7.02	07/08/20	10.45	3.43 W	0.00	3206 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.33	3.70 W	0.00	3207 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.33	3.70 W	0.00	3208 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.63	07/08/20	11.27	3.64 W	0.00	3209 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.44	3.81 W	0.00	3210 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.43	3.80 W	0.00	3211 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.40	3.77 W	0.00	3212 of 3242 - Sale <sup>25</sup>
	1.340	10.22	07/08/20	15.29	5.07 W	0.00	3213 of 3242 - Sale <sup>25</sup>
	1.660	12.66	07/08/20	18.95	6.29 W	0.00	3214 of 3242 - Sale <sup>25</sup>
	1.880	14.34	07/08/20	21.45	7.11 W	0.00	3215 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.56	7.30 W	0.00	3216 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.54	7.28 W	0.00	3217 of 3242 - Sale <sup>25</sup>
	2.360	18.01	07/08/20	26.62	8.61 W	0.00	3218 of 3242 - Sale <sup>25</sup>
	2.850	21.74	07/08/20	32.58	10.84 W	0.00	3219 of 3242 - Sale <sup>25</sup>
	3.220	24.57	07/08/20	36.52	11.95 W	0.00	3220 of 3242 - Sale <sup>25</sup>
	3.780	28.84	07/08/20	42.81	13.97 W	0.00	3221 of 3242 - Sale <sup>25</sup>
	4.000	30.52	07/08/20	45.06	14.54 W	0.00	3222 of 3242 - Sale <sup>25</sup>
	4.080	31.13	07/08/20	46.25	15.12 W	0.00	3223 of 3242 - Sale <sup>25</sup>
	10.000	76.29	07/08/20	114.36	38.07 W	0.00	3224 of 3242 - Sale <sup>25</sup>
	0.350	2.67	07/08/20	3.88	1.21 W	0.00	3225 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.03	3.40 W	0.00	3226 of 3242 - Sale <sup>25</sup>
	1.220	9.31	07/08/20	13.48	4.17 W	0.00	3227 of 3242 - Sale <sup>25</sup>
	2.000	15.26	07/08/20	22.18	6.92 W	0.00	3228 of 3242 - Sale <sup>25</sup>
	2.430	18.54	07/08/20	26.92	8.38 W	0.00	3229 of 3242 - Sale <sup>25</sup>
	2.640	20.14	07/08/20	29.01	8.87 W	0.00	3230 of 3242 - Sale <sup>25</sup>
	5.000	38.15	07/08/20	55.54	17.39 W	0.00	3231 of 3242 - Sale <sup>25</sup>
	7.000	53.41	07/08/20	77.73	24.32 W	0.00	3232 of 3242 - Sale <sup>25</sup>
	10.000	76.29	07/08/20	111.13	34.84 W	0.00	3233 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.01	3.38 W	0.00	3234 of 3242 - Sale <sup>25</sup>
	0.360	2.75	07/08/20	3.96	1.21 W	0.00	3235 of 3242 - Sale <sup>25</sup>
	0.640	4.88	07/08/20	7.06	2.18 W	0.00	3236 of 3242 - Sale <sup>25</sup>
	0.220	1.68	07/08/20	2.44	0.76 W	0.00	3237 of 3242 - Sale <sup>25</sup>
	1.000	7.63	07/08/20	11.00	3.37 W	0.00	3238 of 3242 - Sale <sup>25</sup>
	3.000	22.89	07/08/20	33.04	10.15 W	0.00	3239 of 3242 - Sale <sup>25</sup>
	3.360	25.63	07/08/20	36.86	11.23 W	0.00	3240 of 3242 - Sale <sup>25</sup>
	5.320	40.59	07/08/20	58.60	18.01 W	0.00	3241 of 3242 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.780	51.72	07/08/20	74.67	22.95 W	0.00	3242 of 3242 - Sale <sup>25</sup>
07/08/20	10,032.000	76,282.50	Various	100,415.40	24,132.90 W	0.00	Total of 3242 transactions
	912 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	0.320	2.40	07/08/20	3.52	1.12 W	0.00	1 of 912 - Sale <sup>25</sup>
	0.120	0.90	07/08/20	1.32	0.42 W	0.00	2 of 912 - Sale <sup>25</sup>
	0.210	1.58	07/08/20	2.14	0.56 W	0.00	3 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.38	0.73 W	0.00	4 of 912 - Sale <sup>25</sup>
	0.300	2.25	07/08/20	3.21	0.96 W	0.00	5 of 912 - Sale <sup>25</sup>
	0.350	2.63	07/08/20	3.84	1.21 W	0.00	6 of 912 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	4.00	1.30 W	0.00	7 of 912 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.96	1.26 W	0.00	8 of 912 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	4.07	1.37 W	0.00	9 of 912 - Sale <sup>25</sup>
	0.580	4.36	07/08/20	6.52	2.16 W	0.00	10 of 912 - Sale <sup>25</sup>
	0.640	4.81	07/08/20	7.10	2.29 W	0.00	11 of 912 - Sale <sup>25</sup>
	0.640	4.81	07/08/20	7.20	2.39 W	0.00	12 of 912 - Sale <sup>25</sup>
	0.660	4.96	07/08/20	7.29	2.33 W	0.00	13 of 912 - Sale <sup>25</sup>
	0.690	5.18	07/08/20	7.07	1.89 W	0.00	14 of 912 - Sale <sup>25</sup>
	0.700	5.26	07/08/20	7.61	2.35 W	0.00	15 of 912 - Sale <sup>25</sup>
	0.700	5.26	07/08/20	7.46	2.20 W	0.00	16 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.54	2.68 W	0.00	17 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.02	2.16 W	0.00	18 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.05	2.19 W	0.00	19 of 912 - Sale <sup>25</sup>
	0.790	5.93	07/08/20	8.74	2.81 W	0.00	20 of 912 - Sale <sup>25</sup>
	0.790	5.93	07/08/20	8.13	2.20 W	0.00	21 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.12	3.61 W	0.00	22 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.04	3.53 W	0.00	23 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.04	3.53 W	0.00	24 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.03	3.52 W	0.00	25 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.02	3.51 W	0.00	26 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.19	3.68 W	0.00	27 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.19	3.68 W	0.00	28 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.20	3.69 W	0.00	29 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.02	3.51 W	0.00	30 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.97	3.46 W	0.00	31 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.51	07/08/20	10.96	3.45 W	0.00	32 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.72	3.21 W	0.00	33 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.74	3.23 W	0.00	34 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.74	3.23 W	0.00	35 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.80	3.29 W	0.00	36 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.77	3.26 W	0.00	37 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.81	3.30 W	0.00	38 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.43	2.92 W	0.00	39 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.42	2.91 W	0.00	40 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.40	2.89 W	0.00	41 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.38	2.87 W	0.00	42 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.25	2.74 W	0.00	43 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.32	2.81 W	0.00	44 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.30	2.79 W	0.00	45 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.22	2.71 W	0.00	46 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.21	2.70 W	0.00	47 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.18	2.67 W	0.00	48 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.32	2.81 W	0.00	49 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.32	2.81 W	0.00	50 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.32	2.81 W	0.00	51 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.29	2.78 W	0.00	52 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.31	2.80 W	0.00	53 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.63	3.12 W	0.00	54 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.68	3.17 W	0.00	55 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.79	3.28 W	0.00	56 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.78	3.27 W	0.00	57 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.77	3.26 W	0.00	58 of 912 - Sale <sup>25</sup>
	1.120	8.41	07/08/20	12.35	3.94 W	0.00	59 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	12.58	3.42 W	0.00	60 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	12.46	3.30 W	0.00	61 of 912 - Sale <sup>25</sup>
	1.340	10.06	07/08/20	14.79	4.73 W	0.00	62 of 912 - Sale <sup>25</sup>
	1.360	10.21	07/08/20	15.21	5.00 W	0.00	63 of 912 - Sale <sup>25</sup>
	1.440	10.81	07/08/20	15.79	4.98 W	0.00	64 of 912 - Sale <sup>25</sup>
	1.700	12.77	07/08/20	17.46	4.69 W	0.00	65 of 912 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.780	13.37	07/08/20	19.57	6.20 W	0.00	66 of 912 - Sale <sup>25</sup>
	1.780	13.37	07/08/20	18.20	4.83 W	0.00	67 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.19	7.17 W	0.00	68 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.12	7.10 W	0.00	69 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.52	7.50 W	0.00	70 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.98	6.96 W	0.00	71 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.88	6.86 W	0.00	72 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.41	5.39 W	0.00	73 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.42	5.40 W	0.00	74 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.44	5.42 W	0.00	75 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.38	5.36 W	0.00	76 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.55	6.53 W	0.00	77 of 912 - Sale <sup>25</sup>
	2.070	15.55	07/08/20	22.87	7.32 W	0.00	78 of 912 - Sale <sup>25</sup>
	2.220	16.67	07/08/20	22.90	6.23 W	0.00	79 of 912 - Sale <sup>25</sup>
	0.320	2.40	07/08/20	3.45	1.05 W	0.00	80 of 912 - Sale <sup>25</sup>
	2.300	17.27	07/08/20	25.01	7.74 W	0.00	81 of 912 - Sale <sup>25</sup>
	2.300	17.27	07/08/20	23.63	6.36 W	0.00	82 of 912 - Sale <sup>25</sup>
	2.680	20.13	07/08/20	29.61	9.48 W	0.00	83 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	30.58	9.70 W	0.00	84 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	28.68	7.80 W	0.00	85 of 912 - Sale <sup>25</sup>
	2.780	20.87	07/08/20	28.50	7.63 W	0.00	86 of 912 - Sale <sup>25</sup>
	2.780	20.87	07/08/20	29.97	9.10 W	0.00	87 of 912 - Sale <sup>25</sup>
	2.880	21.63	07/08/20	31.79	10.16 W	0.00	88 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	33.22	10.69 W	0.00	89 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	33.11	10.58 W	0.00	90 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	33.11	10.58 W	0.00	91 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	33.11	10.58 W	0.00	92 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	32.62	10.09 W	0.00	93 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.99	9.46 W	0.00	94 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	30.87	8.34 W	0.00	95 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.73	9.20 W	0.00	96 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	32.07	9.54 W	0.00	97 of 912 - Sale <sup>25</sup>
	3.780	28.38	07/08/20	40.43	12.05 W	0.00	98 of 912 - Sale <sup>25</sup>
	3.880	29.14	07/08/20	42.76	13.62 W	0.00	99 of 912 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	30.04	07/08/20	44.00	13.96 W	0.00	100 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	41.50	11.46 W	0.00	101 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	41.49	11.45 W	0.00	102 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	41.37	11.33 W	0.00	103 of 912 - Sale <sup>25</sup>
	4.000	30.03	07/08/20	42.77	12.74 W	0.00	104 of 912 - Sale <sup>25</sup>
	4.220	31.69	07/08/20	43.76	12.07 W	0.00	105 of 912 - Sale <sup>25</sup>
	4.220	31.69	07/08/20	45.17	13.48 W	0.00	106 of 912 - Sale <sup>25</sup>
	4.420	33.19	07/08/20	49.65	16.46 W	0.00	107 of 912 - Sale <sup>25</sup>
	4.920	36.94	07/08/20	50.37	13.43 W	0.00	108 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	55.21	17.66 W	0.00	109 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	54.47	16.92 W	0.00	110 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	51.89	14.34 W	0.00	111 of 912 - Sale <sup>25</sup>
	5.220	39.20	07/08/20	53.45	14.25 W	0.00	112 of 912 - Sale <sup>25</sup>
	5.610	42.13	07/08/20	57.44	15.31 W	0.00	113 of 912 - Sale <sup>25</sup>
	5.780	43.40	07/08/20	59.06	15.66 W	0.00	114 of 912 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	66.62	21.56 W	0.00	115 of 912 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	61.93	16.87 W	0.00	116 of 912 - Sale <sup>25</sup>
	6.000	45.05	07/08/20	61.33	16.28 W	0.00	117 of 912 - Sale <sup>25</sup>
	6.430	48.29	07/08/20	70.47	22.18 W	0.00	118 of 912 - Sale <sup>25</sup>
	7.000	52.57	07/08/20	75.66	23.09 W	0.00	119 of 912 - Sale <sup>25</sup>
	7.320	54.97	07/08/20	80.86	25.89 W	0.00	120 of 912 - Sale <sup>25</sup>
	8.000	60.08	07/08/20	90.14	30.06 W	0.00	121 of 912 - Sale <sup>25</sup>
	11.220	84.26	07/08/20	122.88	38.62 W	0.00	122 of 912 - Sale <sup>25</sup>
	0.140	1.05	07/08/20	1.48	0.43 W	0.00	123 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.33	0.68 W	0.00	124 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.31	0.66 W	0.00	125 of 912 - Sale <sup>25</sup>
	0.560	4.21	07/08/20	5.86	1.65 W	0.00	126 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.16	2.30 W	0.00	127 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.09	2.23 W	0.00	128 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.08	2.22 W	0.00	129 of 912 - Sale <sup>25</sup>
	0.860	6.46	07/08/20	9.02	2.56 W	0.00	130 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.44	2.93 W	0.00	131 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.45	2.94 W	0.00	132 of 912 - Sale <sup>25</sup>
	1.140	8.56	07/08/20	12.00	3.44 W	0.00	133 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	9.16	07/08/20	12.70	3.54 W	0.00	134 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.89	5.87 W	0.00	135 of 912 - Sale <sup>25</sup>
	1.900	14.27	07/08/20	20.45	6.18 W	0.00	136 of 912 - Sale <sup>25</sup>
	2.300	17.27	07/08/20	24.02	6.75 W	0.00	137 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	52.55	15.00 W	0.00	138 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.42	0.77 W	0.00	139 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.53	0.88 W	0.00	140 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.46	2.60 W	0.00	141 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.79	2.93 W	0.00	142 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.84	3.33 W	0.00	143 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.79	3.28 W	0.00	144 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.78	3.27 W	0.00	145 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.11	3.60 W	0.00	146 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.40	3.89 W	0.00	147 of 912 - Sale <sup>25</sup>
	1.210	9.09	07/08/20	13.44	4.35 W	0.00	148 of 912 - Sale <sup>25</sup>
	1.920	14.42	07/08/20	20.85	6.43 W	0.00	149 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.60	6.58 W	0.00	150 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.17	7.15 W	0.00	151 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.49	7.47 W	0.00	152 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.84	7.82 W	0.00	153 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.83	7.81 W	0.00	154 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.85	7.83 W	0.00	155 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	31.75	10.87 W	0.00	156 of 912 - Sale <sup>25</sup>
	2.790	20.95	07/08/20	30.93	9.98 W	0.00	157 of 912 - Sale <sup>25</sup>
	3.060	22.98	07/08/20	34.47	11.49 W	0.00	158 of 912 - Sale <sup>25</sup>
	3.780	28.39	07/08/20	41.06	12.67 W	0.00	159 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	54.32	16.77 W	0.00	160 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	57.06	19.51 W	0.00	161 of 912 - Sale <sup>25</sup>
	5.220	39.20	07/08/20	59.63	20.43 W	0.00	162 of 912 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	65.14	20.08 W	0.00	163 of 912 - Sale <sup>25</sup>
	7.300	54.82	07/08/20	79.25	24.43 W	0.00	164 of 912 - Sale <sup>25</sup>
	8.780	65.94	07/08/20	95.31	29.37 W	0.00	165 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	16.71	1.69 W	0.00	166 of 912 - Sale <sup>25</sup>
	4.620	34.69	07/08/20	38.61	3.92 W	0.00	167 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.360	40.25	07/08/20	44.79	4.54 W	0.00	168 of 912 - Sale <sup>25</sup>
	7.000	52.57	07/08/20	58.47	5.90 W	0.00	169 of 912 - Sale <sup>25</sup>
	7.690	57.75	07/08/20	64.25	6.50 W	0.00	170 of 912 - Sale <sup>25</sup>
	12.910	96.95	07/08/20	107.88	10.93 W	0.00	171 of 912 - Sale <sup>25</sup>
	0.140	1.05	07/08/20	1.57	0.52 W	0.00	172 of 912 - Sale <sup>25</sup>
	0.140	1.05	07/08/20	1.52	0.47 W	0.00	173 of 912 - Sale <sup>25</sup>
	0.210	1.58	07/08/20	2.37	0.79 W	0.00	174 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.40	0.75 W	0.00	175 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.46	0.81 W	0.00	176 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.49	0.84 W	0.00	177 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.40	0.75 W	0.00	178 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.37	0.72 W	0.00	179 of 912 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.95	1.25 W	0.00	180 of 912 - Sale <sup>25</sup>
	0.440	3.30	07/08/20	4.76	1.46 W	0.00	181 of 912 - Sale <sup>25</sup>
	0.570	4.28	07/08/20	6.46	2.18 W	0.00	182 of 912 - Sale <sup>25</sup>
	0.640	4.81	07/08/20	7.03	2.22 W	0.00	183 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.21	2.35 W	0.00	184 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.42	2.56 W	0.00	185 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.45	2.59 W	0.00	186 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.77	2.91 W	0.00	187 of 912 - Sale <sup>25</sup>
	0.790	5.93	07/08/20	8.90	2.97 W	0.00	188 of 912 - Sale <sup>25</sup>
	0.860	6.46	07/08/20	9.33	2.87 W	0.00	189 of 912 - Sale <sup>25</sup>
	0.860	6.46	07/08/20	9.31	2.85 W	0.00	190 of 912 - Sale <sup>25</sup>
	0.990	7.43	07/08/20	10.88	3.45 W	0.00	191 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.27	3.76 W	0.00	192 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.35	3.84 W	0.00	193 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.31	3.80 W	0.00	194 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.31	3.80 W	0.00	195 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.79	3.28 W	0.00	196 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.84	3.33 W	0.00	197 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.82	3.31 W	0.00	198 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.82	3.31 W	0.00	199 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.72	3.21 W	0.00	200 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.20	3.69 W	0.00	201 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.51	07/08/20	11.03	3.52 W	0.00	202 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.98	3.47 W	0.00	203 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.01	3.50 W	0.00	204 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.00	3.49 W	0.00	205 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.01	3.50 W	0.00	206 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.96	3.45 W	0.00	207 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.96	3.45 W	0.00	208 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.84	3.33 W	0.00	209 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.86	3.35 W	0.00	210 of 912 - Sale <sup>25</sup>
	1.010	7.58	07/08/20	10.97	3.39 W	0.00	211 of 912 - Sale <sup>25</sup>
	1.210	9.09	07/08/20	13.10	4.01 W	0.00	212 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	13.74	4.58 W	0.00	213 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	13.85	4.69 W	0.00	214 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	12.82	3.66 W	0.00	215 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	13.27	4.11 W	0.00	216 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	13.25	4.09 W	0.00	217 of 912 - Sale <sup>25</sup>
	1.360	10.21	07/08/20	14.92	4.71 W	0.00	218 of 912 - Sale <sup>25</sup>
	1.420	10.66	07/08/20	15.64	4.98 W	0.00	219 of 912 - Sale <sup>25</sup>
	1.770	13.29	07/08/20	19.19	5.90 W	0.00	220 of 912 - Sale <sup>25</sup>
	1.780	13.37	07/08/20	20.12	6.75 W	0.00	221 of 912 - Sale <sup>25</sup>
	1.780	13.37	07/08/20	19.55	6.18 W	0.00	222 of 912 - Sale <sup>25</sup>
	1.860	13.97	07/08/20	20.77	6.80 W	0.00	223 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.51	7.49 W	0.00	224 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.58	7.56 W	0.00	225 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.55	7.53 W	0.00	226 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.00	5.98 W	0.00	227 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.70	6.68 W	0.00	228 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.65	6.63 W	0.00	229 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.49	6.47 W	0.00	230 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.93	6.91 W	0.00	231 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.93	6.91 W	0.00	232 of 912 - Sale <sup>25</sup>
	2.210	16.60	07/08/20	23.94	7.34 W	0.00	233 of 912 - Sale <sup>25</sup>
	2.220	16.67	07/08/20	25.05	8.38 W	0.00	234 of 912 - Sale <sup>25</sup>
	2.220	16.67	07/08/20	23.44	6.77 W	0.00	235 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	52.57	07/08/20	76.01	23.44 W	0.00	270 of 912 - Sale <sup>25</sup>
	9.000	67.59	07/08/20	99.06	31.47 W	0.00	271 of 912 - Sale <sup>25</sup>
	9.000	67.59	07/08/20	100.06	32.47 W	0.00	272 of 912 - Sale <sup>25</sup>
	9.010	67.66	07/08/20	99.10	31.44 W	0.00	273 of 912 - Sale <sup>25</sup>
	10.220	76.75	07/08/20	115.90	39.15 W	0.00	274 of 912 - Sale <sup>25</sup>
	16.000	120.15	07/08/20	181.62	61.47 W	0.00	275 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.44	0.79 W	0.00	276 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.31	0.66 W	0.00	277 of 912 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.75	1.05 W	0.00	278 of 912 - Sale <sup>25</sup>
	0.420	3.15	07/08/20	4.44	1.29 W	0.00	279 of 912 - Sale <sup>25</sup>
	0.440	3.30	07/08/20	4.46	1.16 W	0.00	280 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.60	2.74 W	0.00	281 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	8.34	2.48 W	0.00	282 of 912 - Sale <sup>25</sup>
	0.870	6.53	07/08/20	9.60	3.07 W	0.00	283 of 912 - Sale <sup>25</sup>
	0.980	7.36	07/08/20	9.92	2.56 W	0.00	284 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.76	3.25 W	0.00	285 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.09	3.58 W	0.00	286 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.08	3.57 W	0.00	287 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.15	3.64 W	0.00	288 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	11.06	3.55 W	0.00	289 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.16	2.65 W	0.00	290 of 912 - Sale <sup>25</sup>
	1.130	8.49	07/08/20	12.47	3.98 W	0.00	291 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	13.52	4.36 W	0.00	292 of 912 - Sale <sup>25</sup>
	1.560	11.71	07/08/20	15.80	4.09 W	0.00	293 of 912 - Sale <sup>25</sup>
	1.780	13.37	07/08/20	19.04	5.67 W	0.00	294 of 912 - Sale <sup>25</sup>
	1.780	13.37	07/08/20	18.96	5.59 W	0.00	295 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.16	7.14 W	0.00	296 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	22.09	7.07 W	0.00	297 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.38	6.36 W	0.00	298 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.38	6.36 W	0.00	299 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.11	6.09 W	0.00	300 of 912 - Sale <sup>25</sup>
	2.690	20.20	07/08/20	28.80	8.60 W	0.00	301 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	30.73	9.85 W	0.00	302 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	29.61	8.73 W	0.00	303 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	22.53	07/08/20	33.16	10.63 W	0.00	304 of 912 - Sale <sup>25</sup>
	3.440	25.83	07/08/20	36.66	10.83 W	0.00	305 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	55.41	17.86 W	0.00	306 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	55.26	17.71 W	0.00	307 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	53.37	15.82 W	0.00	308 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	50.63	13.08 W	0.00	309 of 912 - Sale <sup>25</sup>
	5.530	41.53	07/08/20	59.03	17.50 W	0.00	310 of 912 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	66.51	21.45 W	0.00	311 of 912 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	64.21	19.15 W	0.00	312 of 912 - Sale <sup>25</sup>
	6.220	46.71	07/08/20	69.20	22.49 W	0.00	313 of 912 - Sale <sup>25</sup>
	9.000	67.58	07/08/20	99.58	32.00 W	0.00	314 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	16.65	1.63 W	0.00	315 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	23.15	2.27 W	0.00	316 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	25.00	2.47 W	0.00	317 of 912 - Sale <sup>25</sup>
	3.100	23.28	07/08/20	25.83	2.55 W	0.00	318 of 912 - Sale <sup>25</sup>
	4.780	35.89	07/08/20	39.83	3.94 W	0.00	319 of 912 - Sale <sup>25</sup>
	5.220	39.20	07/08/20	43.59	4.39 W	0.00	320 of 912 - Sale <sup>25</sup>
	6.900	51.81	07/08/20	57.50	5.69 W	0.00	321 of 912 - Sale <sup>25</sup>
	8.220	61.73	07/08/20	68.52	6.79 W	0.00	322 of 912 - Sale <sup>25</sup>
	3.360	25.23	07/08/20	37.02	11.79 W	0.00	323 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.13	0.48 W	0.00	324 of 912 - Sale <sup>25</sup>
	0.360	2.70	07/08/20	3.48	0.78 W	0.00	325 of 912 - Sale <sup>25</sup>
	0.420	3.15	07/08/20	4.02	0.87 W	0.00	326 of 912 - Sale <sup>25</sup>
	0.420	3.15	07/08/20	4.10	0.95 W	0.00	327 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.65	2.14 W	0.00	328 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.67	2.16 W	0.00	329 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.68	2.17 W	0.00	330 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.66	2.15 W	0.00	331 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.66	2.15 W	0.00	332 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.54	2.03 W	0.00	333 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.54	2.03 W	0.00	334 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.71	2.20 W	0.00	335 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.71	2.20 W	0.00	336 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.74	2.23 W	0.00	337 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.02	07/08/20	19.13	4.11 W	0.00	338 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	19.32	4.30 W	0.00	339 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	19.33	4.31 W	0.00	340 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	19.33	4.31 W	0.00	341 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	19.41	4.39 W	0.00	342 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	28.98	6.45 W	0.00	343 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	29.20	6.67 W	0.00	344 of 912 - Sale <sup>25</sup>
	3.860	28.98	07/08/20	37.20	8.22 W	0.00	345 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	38.29	8.25 W	0.00	346 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	38.76	8.72 W	0.00	347 of 912 - Sale <sup>25</sup>
	4.050	30.41	07/08/20	38.68	8.27 W	0.00	348 of 912 - Sale <sup>25</sup>
	5.090	38.22	07/08/20	48.64	10.42 W	0.00	349 of 912 - Sale <sup>25</sup>
	9.000	67.58	07/08/20	87.16	19.58 W	0.00	350 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.12	2.61 W	0.00	351 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.13	2.62 W	0.00	352 of 912 - Sale <sup>25</sup>
	1.580	11.86	07/08/20	15.98	4.12 W	0.00	353 of 912 - Sale <sup>25</sup>
	4.320	32.44	07/08/20	43.70	11.26 W	0.00	354 of 912 - Sale <sup>25</sup>
	0.050	0.38	07/08/20	0.51	0.13 W	0.00	355 of 912 - Sale <sup>25</sup>
	0.140	1.05	07/08/20	1.42	0.37 W	0.00	356 of 912 - Sale <sup>25</sup>
	0.420	3.15	07/08/20	4.36	1.21 W	0.00	357 of 912 - Sale <sup>25</sup>
	0.420	3.15	07/08/20	4.44	1.29 W	0.00	358 of 912 - Sale <sup>25</sup>
	0.490	3.68	07/08/20	5.03	1.35 W	0.00	359 of 912 - Sale <sup>25</sup>
	0.580	4.36	07/08/20	6.14	1.78 W	0.00	360 of 912 - Sale <sup>25</sup>
	0.860	6.46	07/08/20	9.13	2.67 W	0.00	361 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.24	2.73 W	0.00	362 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.23	2.72 W	0.00	363 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.21	2.70 W	0.00	364 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.13	2.62 W	0.00	365 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.41	2.90 W	0.00	366 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.57	3.06 W	0.00	367 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.57	3.06 W	0.00	368 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.57	3.06 W	0.00	369 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.57	3.06 W	0.00	370 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.55	3.04 W	0.00	371 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.51	07/08/20	10.60	3.09 W	0.00	372 of 912 - Sale <sup>25</sup>
	1.140	8.56	07/08/20	12.08	3.52 W	0.00	373 of 912 - Sale <sup>25</sup>
	1.510	11.34	07/08/20	15.47	4.13 W	0.00	374 of 912 - Sale <sup>25</sup>
	1.860	13.97	07/08/20	19.25	5.28 W	0.00	375 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.32	5.30 W	0.00	376 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.26	5.24 W	0.00	377 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.82	5.80 W	0.00	378 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.15	6.13 W	0.00	379 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.15	6.13 W	0.00	380 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.27	6.25 W	0.00	381 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.40	6.38 W	0.00	382 of 912 - Sale <sup>25</sup>
	2.140	16.07	07/08/20	22.17	6.10 W	0.00	383 of 912 - Sale <sup>25</sup>
	2.140	16.07	07/08/20	22.73	6.66 W	0.00	384 of 912 - Sale <sup>25</sup>
	2.510	18.85	07/08/20	25.62	6.77 W	0.00	385 of 912 - Sale <sup>25</sup>
	2.580	19.38	07/08/20	26.79	7.41 W	0.00	386 of 912 - Sale <sup>25</sup>
	2.860	21.48	07/08/20	29.22	7.74 W	0.00	387 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	30.64	8.11 W	0.00	388 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	30.50	7.97 W	0.00	389 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	32.79	10.26 W	0.00	390 of 912 - Sale <sup>25</sup>
	3.440	25.83	07/08/20	36.55	10.72 W	0.00	391 of 912 - Sale <sup>25</sup>
	3.490	26.21	07/08/20	35.63	9.42 W	0.00	392 of 912 - Sale <sup>25</sup>
	3.950	29.66	07/08/20	41.01	11.35 W	0.00	393 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	40.60	10.56 W	0.00	394 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	41.61	11.57 W	0.00	395 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	42.20	12.16 W	0.00	396 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	42.42	12.38 W	0.00	397 of 912 - Sale <sup>25</sup>
	4.140	31.09	07/08/20	42.08	10.99 W	0.00	398 of 912 - Sale <sup>25</sup>
	0.540	4.06	07/08/20	5.46	1.40 W	0.00	399 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	50.91	13.36 W	0.00	400 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	53.12	15.57 W	0.00	401 of 912 - Sale <sup>25</sup>
	5.420	40.70	07/08/20	57.47	16.77 W	0.00	402 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	1.88	0.23 W	0.00	403 of 912 - Sale <sup>25</sup>
	1.490	11.19	07/08/20	12.72	1.53 W	0.00	404 of 912 - Sale <sup>25</sup>
	6.140	46.11	07/08/20	52.33	6.22 W	0.00	405 of 912 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.860	51.52	07/08/20	58.40	6.88 W	0.00	406 of 912 - Sale <sup>25</sup>
	16.000	120.16	07/08/20	136.37	16.21 W	0.00	407 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.26	0.61 W	0.00	408 of 912 - Sale <sup>25</sup>
	0.440	3.30	07/08/20	4.57	1.27 W	0.00	409 of 912 - Sale <sup>25</sup>
	0.560	4.21	07/08/20	5.79	1.58 W	0.00	410 of 912 - Sale <sup>25</sup>
	0.650	4.88	07/08/20	6.58	1.70 W	0.00	411 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	7.92	2.06 W	0.00	412 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.33	2.82 W	0.00	413 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.32	2.81 W	0.00	414 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.31	2.80 W	0.00	415 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.14	2.63 W	0.00	416 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.17	2.66 W	0.00	417 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.16	2.65 W	0.00	418 of 912 - Sale <sup>25</sup>
	1.020	7.66	07/08/20	10.31	2.65 W	0.00	419 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	12.54	3.38 W	0.00	420 of 912 - Sale <sup>25</sup>
	1.780	13.37	07/08/20	18.36	4.99 W	0.00	421 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.17	5.15 W	0.00	422 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.65	5.63 W	0.00	423 of 912 - Sale <sup>25</sup>
	2.220	16.67	07/08/20	22.61	5.94 W	0.00	424 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	30.98	8.45 W	0.00	425 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	30.96	8.43 W	0.00	426 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	30.74	8.21 W	0.00	427 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	41.20	11.16 W	0.00	428 of 912 - Sale <sup>25</sup>
	4.350	32.67	07/08/20	44.06	11.39 W	0.00	429 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	51.23	13.68 W	0.00	430 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	50.67	13.12 W	0.00	431 of 912 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	61.76	16.70 W	0.00	432 of 912 - Sale <sup>25</sup>
	0.100	0.75	07/08/20	0.98	0.23 W	0.00	433 of 912 - Sale <sup>25</sup>
	0.900	6.76	07/08/20	9.51	2.75 W	0.00	434 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.62	3.11 W	0.00	435 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	10.55	3.04 W	0.00	436 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	12.87	3.71 W	0.00	437 of 912 - Sale <sup>25</sup>
	1.900	14.27	07/08/20	19.97	5.70 W	0.00	438 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.18	6.16 W	0.00	439 of 912 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.02	07/08/20	21.21	6.19 W	0.00	440 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	21.21	6.19 W	0.00	441 of 912 - Sale <sup>25</sup>
	2.100	15.77	07/08/20	22.17	6.40 W	0.00	442 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.50	8.97 W	0.00	443 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	31.67	9.14 W	0.00	444 of 912 - Sale <sup>25</sup>
	3.100	23.28	07/08/20	32.55	9.27 W	0.00	445 of 912 - Sale <sup>25</sup>
	3.900	29.29	07/08/20	38.65	9.36 W	0.00	446 of 912 - Sale <sup>25</sup>
	4.000	30.04	07/08/20	42.46	12.42 W	0.00	447 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	53.02	15.47 W	0.00	448 of 912 - Sale <sup>25</sup>
	4.090	30.72	07/08/20	34.12	3.40 W	0.00	449 of 912 - Sale <sup>25</sup>
	5.690	42.73	07/08/20	47.46	4.73 W	0.00	450 of 912 - Sale <sup>25</sup>
	21.000	157.70	07/08/20	175.19	17.49 W	0.00	451 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	7.75	1.89 W	0.00	452 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.92	2.41 W	0.00	453 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.88	2.37 W	0.00	454 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	19.78	4.76 W	0.00	455 of 912 - Sale <sup>25</sup>
	4.220	31.69	07/08/20	42.38	10.69 W	0.00	456 of 912 - Sale <sup>25</sup>
	0.090	0.68	07/08/20	0.90	0.22 W	0.00	457 of 912 - Sale <sup>25</sup>
	0.130	0.98	07/08/20	1.29	0.31 W	0.00	458 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.15	0.50 W	0.00	459 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	7.97	2.11 W	0.00	460 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.97	2.46 W	0.00	461 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.75	2.24 W	0.00	462 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	20.30	5.28 W	0.00	463 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	19.35	4.33 W	0.00	464 of 912 - Sale <sup>25</sup>
	2.000	15.01	07/08/20	19.14	4.13 W	0.00	465 of 912 - Sale <sup>25</sup>
	0.590	4.43	07/08/20	5.66	1.23 W	0.00	466 of 912 - Sale <sup>25</sup>
	2.220	16.67	07/08/20	22.21	5.54 W	0.00	467 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	27.92	7.04 W	0.00	468 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	27.11	6.23 W	0.00	469 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	29.18	6.65 W	0.00	470 of 912 - Sale <sup>25</sup>
	3.000	22.53	07/08/20	28.97	6.44 W	0.00	471 of 912 - Sale <sup>25</sup>
	3.780	28.39	07/08/20	37.80	9.41 W	0.00	472 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	48.73	11.18 W	0.00	473 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	60.07	07/08/20	78.04	17.97 W	0.00	474 of 912 - Sale <sup>25</sup>
	0.780	5.86	07/08/20	7.27	1.41 W	0.00	475 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.60	2.09 W	0.00	476 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.59	2.08 W	0.00	477 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.44	1.93 W	0.00	478 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.31	1.80 W	0.00	479 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.32	1.81 W	0.00	480 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	9.32	1.81 W	0.00	481 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	11.38	2.22 W	0.00	482 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	11.36	2.20 W	0.00	483 of 912 - Sale <sup>25</sup>
	1.690	12.69	07/08/20	15.53	2.84 W	0.00	484 of 912 - Sale <sup>25</sup>
	1.410	10.59	07/08/20	13.52	2.93 W	0.00	485 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	18.56	3.54 W	0.00	486 of 912 - Sale <sup>25</sup>
	2.000	15.02	07/08/20	18.63	3.61 W	0.00	487 of 912 - Sale <sup>25</sup>
	2.220	16.67	07/08/20	20.56	3.89 W	0.00	488 of 912 - Sale <sup>25</sup>
	2.220	16.67	07/08/20	20.40	3.73 W	0.00	489 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	25.76	4.88 W	0.00	490 of 912 - Sale <sup>25</sup>
	2.780	20.88	07/08/20	25.70	4.82 W	0.00	491 of 912 - Sale <sup>25</sup>
	5.000	37.55	07/08/20	46.41	8.86 W	0.00	492 of 912 - Sale <sup>25</sup>
	0.310	2.33	07/08/20	2.58	0.25 W	0.00	493 of 912 - Sale <sup>25</sup>
	0.910	6.83	07/08/20	7.78	0.95 W	0.00	494 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	8.52	1.01 W	0.00	495 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	8.49	0.98 W	0.00	496 of 912 - Sale <sup>25</sup>
	1.000	7.51	07/08/20	8.49	0.98 W	0.00	497 of 912 - Sale <sup>25</sup>
	5.070	38.07	07/08/20	43.27	5.20 W	0.00	498 of 912 - Sale <sup>25</sup>
	6.000	45.06	07/08/20	51.32	6.26 W	0.00	499 of 912 - Sale <sup>25</sup>
	6.220	46.71	07/08/20	53.08	6.37 W	0.00	500 of 912 - Sale <sup>25</sup>
	7.950	59.70	07/08/20	67.99	8.29 W	0.00	501 of 912 - Sale <sup>25</sup>
	10.000	75.10	07/08/20	85.19	10.09 W	0.00	502 of 912 - Sale <sup>25</sup>
	10.780	80.95	07/08/20	89.91	8.96 W	0.00	503 of 912 - Sale <sup>25</sup>
	1.220	9.16	07/08/20	10.14	0.98 W	0.00	504 of 912 - Sale <sup>25</sup>
	17.220	129.32	07/08/20	143.62	14.30 W	0.00	505 of 912 - Sale <sup>25</sup>
	0.080	0.60	07/08/20	0.69	0.09 W	0.00	506 of 912 - Sale <sup>25</sup>
	0.140	1.05	07/08/20	1.16	0.11 W	0.00	507 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.48	07/08/20	8.59	1.11 W	0.00	508 of 912 - Sale <sup>25</sup>
	1.310	9.80	07/08/20	11.22	1.42 W	0.00	509 of 912 - Sale <sup>25</sup>
	5.080	38.00	07/08/20	43.62	5.62 W	0.00	510 of 912 - Sale <sup>25</sup>
	6.360	47.57	07/08/20	54.59	7.02 W	0.00	511 of 912 - Sale <sup>25</sup>
	6.780	50.71	07/08/20	58.17	7.46 W	0.00	512 of 912 - Sale <sup>25</sup>
	7.440	55.65	07/08/20	63.75	8.10 W	0.00	513 of 912 - Sale <sup>25</sup>
	8.470	63.35	07/08/20	72.56	9.21 W	0.00	514 of 912 - Sale <sup>25</sup>
	8.780	65.67	07/08/20	75.40	9.73 W	0.00	515 of 912 - Sale <sup>25</sup>
	11.560	86.47	07/08/20	100.47	14.00 W	0.00	516 of 912 - Sale <sup>25</sup>
	13.470	100.75	07/08/20	111.93	11.18 W	0.00	517 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.42	0.77 W	0.00	518 of 912 - Sale <sup>25</sup>
	0.420	3.14	07/08/20	4.66	1.52 W	0.00	519 of 912 - Sale <sup>25</sup>
	0.440	3.29	07/08/20	5.20	1.91 W	0.00	520 of 912 - Sale <sup>25</sup>
	0.560	4.19	07/08/20	4.75	0.56 W	0.00	521 of 912 - Sale <sup>25</sup>
	0.560	4.19	07/08/20	6.20	2.01 W	0.00	522 of 912 - Sale <sup>25</sup>
	0.560	4.19	07/08/20	6.67	2.48 W	0.00	523 of 912 - Sale <sup>25</sup>
	0.630	4.71	07/08/20	5.47	0.76 W	0.00	524 of 912 - Sale <sup>25</sup>
	0.690	5.16	07/08/20	7.65	2.49 W	0.00	525 of 912 - Sale <sup>25</sup>
	0.750	5.61	07/08/20	8.93	3.32 W	0.00	526 of 912 - Sale <sup>25</sup>
	0.780	5.83	07/08/20	8.62	2.79 W	0.00	527 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	8.74	1.26 W	0.00	528 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	8.58	1.10 W	0.00	529 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	8.64	1.16 W	0.00	530 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.02	3.54 W	0.00	531 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.05	3.57 W	0.00	532 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.06	3.58 W	0.00	533 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.01	3.53 W	0.00	534 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.02	3.54 W	0.00	535 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.03	3.55 W	0.00	536 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.18	3.70 W	0.00	537 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.19	3.71 W	0.00	538 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.13	3.65 W	0.00	539 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.45	3.97 W	0.00	540 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.07	3.59 W	0.00	541 of 912 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.48	07/08/20	11.86	4.38 W	0.00	542 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.84	4.36 W	0.00	543 of 912 - Sale <sup>25</sup>
	1.070	8.00	07/08/20	12.60	4.60 W	0.00	544 of 912 - Sale <sup>25</sup>
	1.360	10.17	07/08/20	15.03	4.86 W	0.00	545 of 912 - Sale <sup>25</sup>
	1.440	10.77	07/08/20	12.12	1.35 W	0.00	546 of 912 - Sale <sup>25</sup>
	1.440	10.77	07/08/20	15.82	5.05 W	0.00	547 of 912 - Sale <sup>25</sup>
	1.780	13.31	07/08/20	20.97	7.66 W	0.00	548 of 912 - Sale <sup>25</sup>
	1.780	13.31	07/08/20	20.97	7.66 W	0.00	549 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.10	7.14 W	0.00	550 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.26	7.30 W	0.00	551 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.57	8.61 W	0.00	552 of 912 - Sale <sup>25</sup>
	2.080	15.56	07/08/20	17.57	2.01 W	0.00	553 of 912 - Sale <sup>25</sup>
	2.100	15.71	07/08/20	25.02	9.31 W	0.00	554 of 912 - Sale <sup>25</sup>
	2.220	16.60	07/08/20	26.17	9.57 W	0.00	555 of 912 - Sale <sup>25</sup>
	2.440	18.25	07/08/20	26.81	8.56 W	0.00	556 of 912 - Sale <sup>25</sup>
	2.690	20.12	07/08/20	30.02	9.90 W	0.00	557 of 912 - Sale <sup>25</sup>
	2.780	20.79	07/08/20	32.74	11.95 W	0.00	558 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	26.33	3.89 W	0.00	559 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	33.41	10.97 W	0.00	560 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	35.33	12.89 W	0.00	561 of 912 - Sale <sup>25</sup>
	3.150	23.56	07/08/20	37.52	13.96 W	0.00	562 of 912 - Sale <sup>25</sup>
	3.440	25.73	07/08/20	40.49	14.76 W	0.00	563 of 912 - Sale <sup>25</sup>
	3.560	26.63	07/08/20	39.39	12.76 W	0.00	564 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	44.14	14.22 W	0.00	565 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	44.08	14.16 W	0.00	566 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	44.26	14.34 W	0.00	567 of 912 - Sale <sup>25</sup>
	4.310	32.24	07/08/20	48.08	15.84 W	0.00	568 of 912 - Sale <sup>25</sup>
	4.310	32.24	07/08/20	47.72	15.48 W	0.00	569 of 912 - Sale <sup>25</sup>
	4.370	32.69	07/08/20	38.02	5.33 W	0.00	570 of 912 - Sale <sup>25</sup>
	5.000	37.40	07/08/20	55.20	17.80 W	0.00	571 of 912 - Sale <sup>25</sup>
	5.000	37.40	07/08/20	55.74	18.34 W	0.00	572 of 912 - Sale <sup>25</sup>
	5.000	37.40	07/08/20	55.77	18.37 W	0.00	573 of 912 - Sale <sup>25</sup>
	5.000	37.40	07/08/20	59.45	22.05 W	0.00	574 of 912 - Sale <sup>25</sup>
	6.000	44.88	07/08/20	67.93	23.05 W	0.00	575 of 912 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	52.36	07/08/20	61.45	9.09 W	0.00	576 of 912 - Sale <sup>25</sup>
	7.000	52.36	07/08/20	82.44	30.08 W	0.00	577 of 912 - Sale <sup>25</sup>
	7.920	59.24	07/08/20	66.91	7.67 W	0.00	578 of 912 - Sale <sup>25</sup>
	8.000	59.84	07/08/20	67.50	7.66 W	0.00	579 of 912 - Sale <sup>25</sup>
	10.000	74.80	07/08/20	110.50	35.70 W	0.00	580 of 912 - Sale <sup>25</sup>
	0.310	2.32	07/08/20	3.64	1.32 W	0.00	581 of 912 - Sale <sup>25</sup>
	0.440	3.29	07/08/20	5.17	1.88 W	0.00	582 of 912 - Sale <sup>25</sup>
	0.690	5.16	07/08/20	8.16	3.00 W	0.00	583 of 912 - Sale <sup>25</sup>
	0.690	5.16	07/08/20	8.17	3.01 W	0.00	584 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.79	4.31 W	0.00	585 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.77	4.29 W	0.00	586 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.78	4.30 W	0.00	587 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.82	4.34 W	0.00	588 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.80	4.32 W	0.00	589 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.83	4.35 W	0.00	590 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.79	4.31 W	0.00	591 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.81	4.33 W	0.00	592 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.80	4.32 W	0.00	593 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.79	4.31 W	0.00	594 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.81	4.33 W	0.00	595 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.74	4.26 W	0.00	596 of 912 - Sale <sup>25</sup>
	1.310	9.80	07/08/20	15.48	5.68 W	0.00	597 of 912 - Sale <sup>25</sup>
	1.310	9.80	07/08/20	15.50	5.70 W	0.00	598 of 912 - Sale <sup>25</sup>
	1.850	13.84	07/08/20	21.90	8.06 W	0.00	599 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.45	8.49 W	0.00	600 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.54	8.58 W	0.00	601 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.56	8.60 W	0.00	602 of 912 - Sale <sup>25</sup>
	2.150	16.08	07/08/20	25.45	9.37 W	0.00	603 of 912 - Sale <sup>25</sup>
	2.560	19.15	07/08/20	30.14	10.99 W	0.00	604 of 912 - Sale <sup>25</sup>
	2.760	20.64	07/08/20	32.40	11.76 W	0.00	605 of 912 - Sale <sup>25</sup>
	2.930	21.92	07/08/20	34.45	12.53 W	0.00	606 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	35.42	12.98 W	0.00	607 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	35.42	12.98 W	0.00	608 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	35.44	13.00 W	0.00	609 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	29.92	07/08/20	47.15	17.23 W	0.00	610 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	47.10	17.18 W	0.00	611 of 912 - Sale <sup>25</sup>
	5.000	37.40	07/08/20	58.96	21.56 W	0.00	612 of 912 - Sale <sup>25</sup>
	6.000	44.88	07/08/20	70.75	25.87 W	0.00	613 of 912 - Sale <sup>25</sup>
	6.000	44.88	07/08/20	71.05	26.17 W	0.00	614 of 912 - Sale <sup>25</sup>
	7.000	52.36	07/08/20	82.67	30.31 W	0.00	615 of 912 - Sale <sup>25</sup>
	7.000	52.36	07/08/20	82.21	29.85 W	0.00	616 of 912 - Sale <sup>25</sup>
	8.000	59.84	07/08/20	94.04	34.20 W	0.00	617 of 912 - Sale <sup>25</sup>
	9.000	67.32	07/08/20	106.10	38.78 W	0.00	618 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.49	8.53 W	0.00	619 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.34	0.69 W	0.00	620 of 912 - Sale <sup>25</sup>
	0.360	2.69	07/08/20	3.83	1.14 W	0.00	621 of 912 - Sale <sup>25</sup>
	0.360	2.69	07/08/20	3.80	1.11 W	0.00	622 of 912 - Sale <sup>25</sup>
	0.420	3.14	07/08/20	4.49	1.35 W	0.00	623 of 912 - Sale <sup>25</sup>
	0.420	3.14	07/08/20	4.49	1.35 W	0.00	624 of 912 - Sale <sup>25</sup>
	0.530	3.96	07/08/20	5.65	1.69 W	0.00	625 of 912 - Sale <sup>25</sup>
	0.580	4.34	07/08/20	6.13	1.79 W	0.00	626 of 912 - Sale <sup>25</sup>
	0.580	4.34	07/08/20	6.14	1.80 W	0.00	627 of 912 - Sale <sup>25</sup>
	0.640	4.79	07/08/20	6.83	2.04 W	0.00	628 of 912 - Sale <sup>25</sup>
	0.640	4.79	07/08/20	6.77	1.98 W	0.00	629 of 912 - Sale <sup>25</sup>
	0.640	4.79	07/08/20	6.77	1.98 W	0.00	630 of 912 - Sale <sup>25</sup>
	0.780	5.83	07/08/20	8.29	2.46 W	0.00	631 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.28	3.80 W	0.00	632 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.62	3.14 W	0.00	633 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.60	3.12 W	0.00	634 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.67	3.19 W	0.00	635 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.73	3.25 W	0.00	636 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.71	3.23 W	0.00	637 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.71	3.23 W	0.00	638 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.70	3.22 W	0.00	639 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.67	3.19 W	0.00	640 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.68	3.20 W	0.00	641 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.69	3.21 W	0.00	642 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.70	3.22 W	0.00	643 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.470	11.00	07/08/20	15.65	4.65 W	0.00	644 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	21.73	6.77 W	0.00	645 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	21.30	6.34 W	0.00	646 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	21.28	6.32 W	0.00	647 of 912 - Sale <sup>25</sup>
	2.360	17.65	07/08/20	25.19	7.54 W	0.00	648 of 912 - Sale <sup>25</sup>
	2.420	18.10	07/08/20	27.25	9.15 W	0.00	649 of 912 - Sale <sup>25</sup>
	2.580	19.30	07/08/20	29.21	9.91 W	0.00	650 of 912 - Sale <sup>25</sup>
	2.580	19.30	07/08/20	27.47	8.17 W	0.00	651 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	31.79	9.35 W	0.00	652 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	31.81	9.37 W	0.00	653 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	31.84	9.40 W	0.00	654 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	31.65	9.21 W	0.00	655 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	32.03	9.59 W	0.00	656 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	32.12	9.68 W	0.00	657 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	32.05	9.61 W	0.00	658 of 912 - Sale <sup>25</sup>
	3.420	25.58	07/08/20	38.70	13.12 W	0.00	659 of 912 - Sale <sup>25</sup>
	3.950	29.54	07/08/20	42.07	12.53 W	0.00	660 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	42.85	12.93 W	0.00	661 of 912 - Sale <sup>25</sup>
	4.050	30.29	07/08/20	43.14	12.85 W	0.00	662 of 912 - Sale <sup>25</sup>
	6.580	49.22	07/08/20	74.23	25.01 W	0.00	663 of 912 - Sale <sup>25</sup>
	7.000	52.36	07/08/20	74.73	22.37 W	0.00	664 of 912 - Sale <sup>25</sup>
	8.000	59.84	07/08/20	85.79	25.95 W	0.00	665 of 912 - Sale <sup>25</sup>
	9.580	71.65	07/08/20	104.74	33.09 W	0.00	666 of 912 - Sale <sup>25</sup>
	10.420	77.94	07/08/20	110.96	33.02 W	0.00	667 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	668 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	669 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	670 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	671 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	672 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	673 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	674 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	675 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	676 of 912 - Sale <sup>25</sup>
	0.010	0.07	07/08/20	0.11	0.04 W	0.00	677 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.010		0.07	07/08/20	0.11	0.04 W	0.00	678 of 912 - Sale <sup>25</sup>
0.010		0.07	07/08/20	0.11	0.04 W	0.00	679 of 912 - Sale <sup>25</sup>
0.010		0.07	07/08/20	0.11	0.04 W	0.00	680 of 912 - Sale <sup>25</sup>
0.010		0.07	07/08/20	0.11	0.04 W	0.00	681 of 912 - Sale <sup>25</sup>
0.010		0.07	07/08/20	0.11	0.04 W	0.00	682 of 912 - Sale <sup>25</sup>
0.080		0.60	07/08/20	0.86	0.26 W	0.00	683 of 912 - Sale <sup>25</sup>
0.090		0.67	07/08/20	0.98	0.31 W	0.00	684 of 912 - Sale <sup>25</sup>
0.140		1.05	07/08/20	1.56	0.51 W	0.00	685 of 912 - Sale <sup>25</sup>
0.220		1.65	07/08/20	2.43	0.78 W	0.00	686 of 912 - Sale <sup>25</sup>
0.220		1.65	07/08/20	2.48	0.83 W	0.00	687 of 912 - Sale <sup>25</sup>
0.300		2.24	07/08/20	3.24	1.00 W	0.00	688 of 912 - Sale <sup>25</sup>
0.310		2.32	07/08/20	3.31	0.99 W	0.00	689 of 912 - Sale <sup>25</sup>
0.350		2.62	07/08/20	3.79	1.17 W	0.00	690 of 912 - Sale <sup>25</sup>
0.360		2.69	07/08/20	3.97	1.28 W	0.00	691 of 912 - Sale <sup>25</sup>
0.360		2.69	07/08/20	3.90	1.21 W	0.00	692 of 912 - Sale <sup>25</sup>
0.360		2.69	07/08/20	3.95	1.26 W	0.00	693 of 912 - Sale <sup>25</sup>
0.420		3.14	07/08/20	4.66	1.52 W	0.00	694 of 912 - Sale <sup>25</sup>
0.560		4.19	07/08/20	6.45	2.26 W	0.00	695 of 912 - Sale <sup>25</sup>
0.640		4.79	07/08/20	7.13	2.34 W	0.00	696 of 912 - Sale <sup>25</sup>
0.640		4.79	07/08/20	7.03	2.24 W	0.00	697 of 912 - Sale <sup>25</sup>
0.640		4.79	07/08/20	7.05	2.26 W	0.00	698 of 912 - Sale <sup>25</sup>
0.650		4.86	07/08/20	7.00	2.14 W	0.00	699 of 912 - Sale <sup>25</sup>
0.690		5.16	07/08/20	7.46	2.30 W	0.00	700 of 912 - Sale <sup>25</sup>
0.690		5.16	07/08/20	7.39	2.23 W	0.00	701 of 912 - Sale <sup>25</sup>
0.740		5.53	07/08/20	8.20	2.67 W	0.00	702 of 912 - Sale <sup>25</sup>
0.780		5.83	07/08/20	8.55	2.72 W	0.00	703 of 912 - Sale <sup>25</sup>
0.780		5.83	07/08/20	8.82	2.99 W	0.00	704 of 912 - Sale <sup>25</sup>
0.860		6.43	07/08/20	9.48	3.05 W	0.00	705 of 912 - Sale <sup>25</sup>
0.860		6.43	07/08/20	9.73	3.30 W	0.00	706 of 912 - Sale <sup>25</sup>
0.910		6.81	07/08/20	9.78	2.97 W	0.00	707 of 912 - Sale <sup>25</sup>
0.910		6.81	07/08/20	9.77	2.96 W	0.00	708 of 912 - Sale <sup>25</sup>
0.920		6.88	07/08/20	10.22	3.34 W	0.00	709 of 912 - Sale <sup>25</sup>
0.920		6.88	07/08/20	10.08	3.20 W	0.00	710 of 912 - Sale <sup>25</sup>
0.920		6.88	07/08/20	10.50	3.62 W	0.00	711 of 912 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.990		7.40	07/08/20	10.68	3.28 W	0.00	712 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.70	3.30 W	0.00	713 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.66	3.26 W	0.00	714 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.68	3.28 W	0.00	715 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.68	3.28 W	0.00	716 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.66	3.26 W	0.00	717 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.63	3.23 W	0.00	718 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.66	3.26 W	0.00	719 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.67	3.27 W	0.00	720 of 912 - Sale <sup>25</sup>
0.990		7.40	07/08/20	10.65	3.25 W	0.00	721 of 912 - Sale <sup>25</sup>
0.990		7.41	07/08/20	10.61	3.20 W	0.00	722 of 912 - Sale <sup>25</sup>
0.990		7.41	07/08/20	10.61	3.20 W	0.00	723 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.58	4.10 W	0.00	724 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.55	4.07 W	0.00	725 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.08	3.60 W	0.00	726 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.08	3.60 W	0.00	727 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.10	3.62 W	0.00	728 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.10	3.62 W	0.00	729 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.09	3.61 W	0.00	730 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.10	3.62 W	0.00	731 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.22	3.74 W	0.00	732 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	11.04	3.56 W	0.00	733 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.80	3.32 W	0.00	734 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.80	3.32 W	0.00	735 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.77	3.29 W	0.00	736 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.78	3.30 W	0.00	737 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.78	3.30 W	0.00	738 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.76	3.28 W	0.00	739 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.78	3.30 W	0.00	740 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.73	3.25 W	0.00	741 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.74	3.26 W	0.00	742 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.75	3.27 W	0.00	743 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.77	3.29 W	0.00	744 of 912 - Sale <sup>25</sup>
1.000		7.48	07/08/20	10.75	3.27 W	0.00	745 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.48	07/08/20	10.74	3.26 W	0.00	746 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.71	3.23 W	0.00	747 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.74	3.26 W	0.00	748 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.03	3.55 W	0.00	749 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.03	3.55 W	0.00	750 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.99	3.51 W	0.00	751 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.99	3.51 W	0.00	752 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.99	3.51 W	0.00	753 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.19	3.71 W	0.00	754 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.21	3.73 W	0.00	755 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.12	3.64 W	0.00	756 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.12	3.64 W	0.00	757 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.14	3.66 W	0.00	758 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.97	3.49 W	0.00	759 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.97	3.49 W	0.00	760 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.32	3.84 W	0.00	761 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.38	3.90 W	0.00	762 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.38	3.90 W	0.00	763 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.32	3.84 W	0.00	764 of 912 - Sale <sup>25</sup>
	1.080	8.08	07/08/20	11.90	3.82 W	0.00	765 of 912 - Sale <sup>25</sup>
	1.260	9.42	07/08/20	13.95	4.53 W	0.00	766 of 912 - Sale <sup>25</sup>
	1.260	9.42	07/08/20	13.71	4.29 W	0.00	767 of 912 - Sale <sup>25</sup>
	1.340	10.02	07/08/20	15.36	5.34 W	0.00	768 of 912 - Sale <sup>25</sup>
	1.640	12.27	07/08/20	18.60	6.33 W	0.00	769 of 912 - Sale <sup>25</sup>
	1.660	12.42	07/08/20	19.04	6.62 W	0.00	770 of 912 - Sale <sup>25</sup>
	1.780	13.31	07/08/20	19.57	6.26 W	0.00	771 of 912 - Sale <sup>25</sup>
	1.780	13.31	07/08/20	19.79	6.48 W	0.00	772 of 912 - Sale <sup>25</sup>
	1.990	14.88	07/08/20	21.56	6.68 W	0.00	773 of 912 - Sale <sup>25</sup>
	1.990	14.88	07/08/20	21.59	6.71 W	0.00	774 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.07	8.11 W	0.00	775 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.14	7.18 W	0.00	776 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	21.61	6.65 W	0.00	777 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	21.54	6.58 W	0.00	778 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.34	7.38 W	0.00	779 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	14.96	07/08/20	22.52	7.56 W	0.00	780 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.45	7.49 W	0.00	781 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.26	7.30 W	0.00	782 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	21.92	6.96 W	0.00	783 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.66	7.70 W	0.00	784 of 912 - Sale <sup>25</sup>
	2.080	15.56	07/08/20	23.10	7.54 W	0.00	785 of 912 - Sale <sup>25</sup>
	2.140	16.01	07/08/20	23.65	7.64 W	0.00	786 of 912 - Sale <sup>25</sup>
	2.220	16.61	07/08/20	24.37	7.76 W	0.00	787 of 912 - Sale <sup>25</sup>
	2.360	17.65	07/08/20	26.73	9.08 W	0.00	788 of 912 - Sale <sup>25</sup>
	2.900	21.69	07/08/20	33.47	11.78 W	0.00	789 of 912 - Sale <sup>25</sup>
	2.920	21.84	07/08/20	31.80	9.96 W	0.00	790 of 912 - Sale <sup>25</sup>
	2.920	21.84	07/08/20	32.39	10.55 W	0.00	791 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.69	12.25 W	0.00	792 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	33.59	11.15 W	0.00	793 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	33.59	11.15 W	0.00	794 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	33.04	10.60 W	0.00	795 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	33.06	10.62 W	0.00	796 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	32.92	10.48 W	0.00	797 of 912 - Sale <sup>25</sup>
	3.100	23.19	07/08/20	35.76	12.57 W	0.00	798 of 912 - Sale <sup>25</sup>
	3.220	24.08	07/08/20	36.68	12.60 W	0.00	799 of 912 - Sale <sup>25</sup>
	3.360	25.13	07/08/20	36.42	11.29 W	0.00	800 of 912 - Sale <sup>25</sup>
	3.740	27.97	07/08/20	40.74	12.77 W	0.00	801 of 912 - Sale <sup>25</sup>
	3.780	28.27	07/08/20	43.00	14.73 W	0.00	802 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	44.46	14.54 W	0.00	803 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	43.30	13.38 W	0.00	804 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	43.92	14.00 W	0.00	805 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	44.31	14.39 W	0.00	806 of 912 - Sale <sup>25</sup>
	4.080	30.52	07/08/20	45.08	14.56 W	0.00	807 of 912 - Sale <sup>25</sup>
	4.080	30.52	07/08/20	46.46	15.94 W	0.00	808 of 912 - Sale <sup>25</sup>
	4.220	31.56	07/08/20	46.45	14.89 W	0.00	809 of 912 - Sale <sup>25</sup>
	4.360	32.61	07/08/20	48.81	16.20 W	0.00	810 of 912 - Sale <sup>25</sup>
	4.640	34.71	07/08/20	52.11	17.40 W	0.00	811 of 912 - Sale <sup>25</sup>
	4.640	34.71	07/08/20	50.29	15.58 W	0.00	812 of 912 - Sale <sup>25</sup>
	5.000	37.40	07/08/20	55.38	17.98 W	0.00	813 of 912 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.650	42.26	07/08/20	61.25	18.99 W	0.00	814 of 912 - Sale <sup>25</sup>
	5.780	43.23	07/08/20	63.34	20.11 W	0.00	815 of 912 - Sale <sup>25</sup>
	5.780	43.23	07/08/20	64.12	20.89 W	0.00	816 of 912 - Sale <sup>25</sup>
	6.000	44.88	07/08/20	66.36	21.48 W	0.00	817 of 912 - Sale <sup>25</sup>
	6.000	44.88	07/08/20	65.80	20.92 W	0.00	818 of 912 - Sale <sup>25</sup>
	6.000	44.88	07/08/20	65.82	20.94 W	0.00	819 of 912 - Sale <sup>25</sup>
	8.000	59.84	07/08/20	89.56	29.72 W	0.00	820 of 912 - Sale <sup>25</sup>
	8.000	59.84	07/08/20	88.73	28.89 W	0.00	821 of 912 - Sale <sup>25</sup>
	8.000	59.84	07/08/20	87.99	28.15 W	0.00	822 of 912 - Sale <sup>25</sup>
	8.080	60.44	07/08/20	89.59	29.15 W	0.00	823 of 912 - Sale <sup>25</sup>
	8.360	62.53	07/08/20	93.85	31.32 W	0.00	824 of 912 - Sale <sup>25</sup>
	9.080	67.92	07/08/20	98.96	31.04 W	0.00	825 of 912 - Sale <sup>25</sup>
	10.000	74.80	07/08/20	109.56	34.76 W	0.00	826 of 912 - Sale <sup>25</sup>
	15.000	112.19	07/08/20	173.25	61.06 W	0.00	827 of 912 - Sale <sup>25</sup>
	0.030	0.22	07/08/20	0.35	0.13 W	0.00	828 of 912 - Sale <sup>25</sup>
	0.100	0.75	07/08/20	1.16	0.41 W	0.00	829 of 912 - Sale <sup>25</sup>
	0.220	1.65	07/08/20	2.55	0.90 W	0.00	830 of 912 - Sale <sup>25</sup>
	0.310	2.32	07/08/20	3.59	1.27 W	0.00	831 of 912 - Sale <sup>25</sup>
	0.340	2.54	07/08/20	3.92	1.38 W	0.00	832 of 912 - Sale <sup>25</sup>
	0.350	2.62	07/08/20	4.07	1.45 W	0.00	833 of 912 - Sale <sup>25</sup>
	0.440	3.29	07/08/20	5.14	1.85 W	0.00	834 of 912 - Sale <sup>25</sup>
	0.660	4.94	07/08/20	7.59	2.65 W	0.00	835 of 912 - Sale <sup>25</sup>
	0.690	5.16	07/08/20	8.01	2.85 W	0.00	836 of 912 - Sale <sup>25</sup>
	0.690	5.16	07/08/20	7.98	2.82 W	0.00	837 of 912 - Sale <sup>25</sup>
	0.800	5.98	07/08/20	9.26	3.28 W	0.00	838 of 912 - Sale <sup>25</sup>
	0.870	6.51	07/08/20	10.04	3.53 W	0.00	839 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.74	4.26 W	0.00	840 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.73	4.25 W	0.00	841 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.74	4.26 W	0.00	842 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.53	4.05 W	0.00	843 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.64	4.16 W	0.00	844 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.66	4.18 W	0.00	845 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.64	4.16 W	0.00	846 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.57	4.09 W	0.00	847 of 912 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.48	07/08/20	11.59	4.11 W	0.00	848 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.51	4.03 W	0.00	849 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.51	4.03 W	0.00	850 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.70	4.22 W	0.00	851 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.71	4.23 W	0.00	852 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.59	4.11 W	0.00	853 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.60	4.12 W	0.00	854 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.49	4.01 W	0.00	855 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.49	4.01 W	0.00	856 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	11.45	3.97 W	0.00	857 of 912 - Sale <sup>25</sup>
	1.200	8.98	07/08/20	13.81	4.83 W	0.00	858 of 912 - Sale <sup>25</sup>
	1.350	10.10	07/08/20	15.49	5.39 W	0.00	859 of 912 - Sale <sup>25</sup>
	1.560	11.67	07/08/20	18.16	6.49 W	0.00	860 of 912 - Sale <sup>25</sup>
	1.650	12.34	07/08/20	19.23	6.89 W	0.00	861 of 912 - Sale <sup>25</sup>
	1.690	12.64	07/08/20	19.57	6.93 W	0.00	862 of 912 - Sale <sup>25</sup>
	1.900	14.21	07/08/20	21.79	7.58 W	0.00	863 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.10	8.14 W	0.00	864 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.25	8.29 W	0.00	865 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.08	8.12 W	0.00	866 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	23.18	8.22 W	0.00	867 of 912 - Sale <sup>25</sup>
	2.000	14.96	07/08/20	22.97	8.01 W	0.00	868 of 912 - Sale <sup>25</sup>
	2.350	17.58	07/08/20	27.35	9.77 W	0.00	869 of 912 - Sale <sup>25</sup>
	2.360	17.65	07/08/20	27.60	9.95 W	0.00	870 of 912 - Sale <sup>25</sup>
	2.640	19.75	07/08/20	30.89	11.14 W	0.00	871 of 912 - Sale <sup>25</sup>
	2.690	20.12	07/08/20	31.08	10.96 W	0.00	872 of 912 - Sale <sup>25</sup>
	2.900	21.69	07/08/20	34.06	12.37 W	0.00	873 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.77	12.33 W	0.00	874 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.67	12.23 W	0.00	875 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.52	12.08 W	0.00	876 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.83	12.39 W	0.00	877 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.70	12.26 W	0.00	878 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.65	12.21 W	0.00	879 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	34.46	12.02 W	0.00	880 of 912 - Sale <sup>25</sup>
	3.090	23.11	07/08/20	35.97	12.86 W	0.00	881 of 912 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.870	28.95	07/08/20	44.55	15.60 W	0.00	882 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	46.50	16.58 W	0.00	883 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	46.52	16.60 W	0.00	884 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	46.53	16.61 W	0.00	885 of 912 - Sale <sup>25</sup>
	4.000	29.92	07/08/20	46.80	16.88 W	0.00	886 of 912 - Sale <sup>25</sup>
	4.310	32.24	07/08/20	49.91	17.67 W	0.00	887 of 912 - Sale <sup>25</sup>
	4.310	32.24	07/08/20	49.82	17.58 W	0.00	888 of 912 - Sale <sup>25</sup>
	4.420	33.06	07/08/20	51.09	18.03 W	0.00	889 of 912 - Sale <sup>25</sup>
	6.000	44.88	07/08/20	69.33	24.45 W	0.00	890 of 912 - Sale <sup>25</sup>
	6.780	50.71	07/08/20	77.80	27.09 W	0.00	891 of 912 - Sale <sup>25</sup>
	7.000	52.36	07/08/20	81.26	28.90 W	0.00	892 of 912 - Sale <sup>25</sup>
	7.000	52.36	07/08/20	80.69	28.33 W	0.00	893 of 912 - Sale <sup>25</sup>
	8.000	59.84	07/08/20	91.86	32.02 W	0.00	894 of 912 - Sale <sup>25</sup>
	9.000	67.32	07/08/20	105.32	38.00 W	0.00	895 of 912 - Sale <sup>25</sup>
	11.000	82.28	07/08/20	127.20	44.92 W	0.00	896 of 912 - Sale <sup>25</sup>
	11.800	88.26	07/08/20	135.73	47.47 W	0.00	897 of 912 - Sale <sup>25</sup>
	3.000	22.44	07/08/20	30.95	8.51 W	0.00	898 of 912 - Sale <sup>25</sup>
	0.400	2.99	07/08/20	4.11	1.12 W	0.00	899 of 912 - Sale <sup>25</sup>
	0.560	4.19	07/08/20	5.74	1.55 W	0.00	900 of 912 - Sale <sup>25</sup>
	0.640	4.79	07/08/20	6.58	1.79 W	0.00	901 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	10.25	2.77 W	0.00	902 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	8.85	1.37 W	0.00	903 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	8.86	1.38 W	0.00	904 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	8.82	1.34 W	0.00	905 of 912 - Sale <sup>25</sup>
	1.000	7.48	07/08/20	8.82	1.34 W	0.00	906 of 912 - Sale <sup>25</sup>
	2.330	17.43	07/08/20	20.55	3.12 W	0.00	907 of 912 - Sale <sup>25</sup>
	6.640	49.66	07/08/20	58.52	8.86 W	0.00	908 of 912 - Sale <sup>25</sup>
	8.180	61.18	07/08/20	84.00	22.82 W	0.00	909 of 912 - Sale <sup>25</sup>
	10.140	75.84	07/08/20	90.05	14.21 W	0.00	910 of 912 - Sale <sup>25</sup>
	10.910	81.60	07/08/20	96.94	15.34 W	0.00	911 of 912 - Sale <sup>25</sup>
	11.310	84.59	07/08/20	100.49	15.90 W	0.00	912 of 912 - Sale <sup>25</sup>
07/10/20	2,269.000	17,009.59	Various	23,880.97	6,871.38 W	0.00	Total of 912 transactions
07/13/20	0.005	0.04	07/13/20	0.04	...	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2226 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
0.070		0.55	07/08/20	0.60	0.05 W	0.00	1 of 2226 - Sale <sup>25</sup>
0.090		0.71	07/08/20	0.78	0.07 W	0.00	2 of 2226 - Sale <sup>25</sup>
0.090		0.71	07/08/20	0.75	0.04 W	0.00	3 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/08/20	6.78	0.63 W	0.00	4 of 2226 - Sale <sup>25</sup>
0.830		6.54	07/08/20	7.04	0.50 W	0.00	5 of 2226 - Sale <sup>25</sup>
0.910		7.17	07/08/20	7.58	0.41 W	0.00	6 of 2226 - Sale <sup>25</sup>
0.910		7.17	07/08/20	7.62	0.45 W	0.00	7 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.86	0.98 W	0.00	8 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.86	0.98 W	0.00	9 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.68	0.80 W	0.00	10 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.63	0.75 W	0.00	11 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.39	0.51 W	0.00	12 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.32	0.44 W	0.00	13 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.35	0.47 W	0.00	14 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.36	0.48 W	0.00	15 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.36	0.48 W	0.00	16 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	8.36	0.48 W	0.00	17 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/08/20	10.28	0.67 W	0.00	18 of 2226 - Sale <sup>25</sup>
1.530		12.06	07/08/20	13.00	0.94 W	0.00	19 of 2226 - Sale <sup>25</sup>
1.580		12.45	07/08/20	13.72	1.27 W	0.00	20 of 2226 - Sale <sup>25</sup>
2.260		17.81	07/08/20	19.03	1.22 W	0.00	21 of 2226 - Sale <sup>25</sup>
2.360		18.60	07/08/20	20.33	1.73 W	0.00	22 of 2226 - Sale <sup>25</sup>
2.640		20.80	07/08/20	22.78	1.98 W	0.00	23 of 2226 - Sale <sup>25</sup>
2.640		20.80	07/08/20	22.27	1.47 W	0.00	24 of 2226 - Sale <sup>25</sup>
2.930		23.09	07/08/20	25.07	1.98 W	0.00	25 of 2226 - Sale <sup>25</sup>
3.000		23.64	07/08/20	25.12	1.48 W	0.00	26 of 2226 - Sale <sup>25</sup>
3.090		24.35	07/08/20	25.87	1.52 W	0.00	27 of 2226 - Sale <sup>25</sup>
3.220		25.37	07/08/20	27.81	2.44 W	0.00	28 of 2226 - Sale <sup>25</sup>
3.420		26.95	07/08/20	29.67	2.72 W	0.00	29 of 2226 - Sale <sup>25</sup>
1.580		12.45	07/08/20	13.94	1.49 W	0.00	30 of 2226 - Sale <sup>25</sup>
4.000		31.52	07/08/20	34.45	2.93 W	0.00	31 of 2226 - Sale <sup>25</sup>
4.030		31.76	07/08/20	34.43	2.67 W	0.00	32 of 2226 - Sale <sup>25</sup>
4.090		32.23	07/08/20	35.26	3.03 W	0.00	33 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.780	37.67	07/08/20	40.02	2.35 W	0.00	34 of 2226 - Sale <sup>25</sup>
	5.100	40.19	07/08/20	42.96	2.77 W	0.00	35 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/08/20	51.73	4.45 W	0.00	36 of 2226 - Sale <sup>25</sup>
	6.090	47.99	07/08/20	51.17	3.18 W	0.00	37 of 2226 - Sale <sup>25</sup>
	6.910	54.45	07/08/20	59.59	5.14 W	0.00	38 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/08/20	58.86	3.70 W	0.00	39 of 2226 - Sale <sup>25</sup>
	7.900	62.25	07/08/20	67.52	5.27 W	0.00	40 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/08/20	68.34	5.30 W	0.00	41 of 2226 - Sale <sup>25</sup>
	9.550	75.25	07/08/20	80.22	4.97 W	0.00	42 of 2226 - Sale <sup>25</sup>
	9.910	78.09	07/08/20	85.48	7.39 W	0.00	43 of 2226 - Sale <sup>25</sup>
	10.780	84.94	07/08/20	92.97	8.03 W	0.00	44 of 2226 - Sale <sup>25</sup>
	10.970	86.44	07/08/20	94.28	7.84 W	0.00	45 of 2226 - Sale <sup>25</sup>
	11.000	86.68	07/08/20	94.00	7.32 W	0.00	46 of 2226 - Sale <sup>25</sup>
	11.580	91.25	07/08/20	99.78	8.53 W	0.00	47 of 2226 - Sale <sup>25</sup>
	13.000	102.44	07/08/20	112.12	9.68 W	0.00	48 of 2226 - Sale <sup>25</sup>
	15.640	123.24	07/08/20	134.24	11.00 W	0.00	49 of 2226 - Sale <sup>25</sup>
	18.880	148.77	07/08/20	162.26	13.49 W	0.00	50 of 2226 - Sale <sup>25</sup>
	23.310	183.68	07/08/20	201.01	17.33 W	0.00	51 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/08/20	1.24	0.14 W	0.00	52 of 2226 - Sale <sup>25</sup>
	0.160	1.26	07/08/20	1.43	0.17 W	0.00	53 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/08/20	1.98	0.25 W	0.00	54 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/08/20	3.22	0.38 W	0.00	55 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/08/20	5.68	0.64 W	0.00	56 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/08/20	7.70	0.92 W	0.00	57 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	8.97	1.09 W	0.00	58 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	9.06	1.18 W	0.00	59 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	9.05	1.17 W	0.00	60 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/08/20	10.89	1.28 W	0.00	61 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/08/20	11.10	1.49 W	0.00	62 of 2226 - Sale <sup>25</sup>
	1.360	10.72	07/08/20	12.31	1.59 W	0.00	63 of 2226 - Sale <sup>25</sup>
	1.440	11.35	07/08/20	13.17	1.82 W	0.00	64 of 2226 - Sale <sup>25</sup>
	1.640	12.92	07/08/20	14.69	1.77 W	0.00	65 of 2226 - Sale <sup>25</sup>
	1.920	15.13	07/08/20	17.20	2.07 W	0.00	66 of 2226 - Sale <sup>25</sup>
	2.020	15.92	07/08/20	18.38	2.46 W	0.00	67 of 2226 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2.060		16.23	07/08/20	18.35	2.12 W	0.00	68 of 2226 - Sale <sup>25</sup>
2.140		16.86	07/08/20	19.09	2.23 W	0.00	69 of 2226 - Sale <sup>25</sup>
2.540		20.01	07/08/20	22.63	2.62 W	0.00	70 of 2226 - Sale <sup>25</sup>
2.580		20.33	07/08/20	23.39	3.06 W	0.00	71 of 2226 - Sale <sup>25</sup>
2.640		20.80	07/08/20	23.61	2.81 W	0.00	72 of 2226 - Sale <sup>25</sup>
2.740		21.59	07/08/20	24.32	2.73 W	0.00	73 of 2226 - Sale <sup>25</sup>
2.780		21.91	07/08/20	24.86	2.95 W	0.00	74 of 2226 - Sale <sup>25</sup>
2.840		22.38	07/08/20	25.38	3.00 W	0.00	75 of 2226 - Sale <sup>25</sup>
2.860		22.54	07/08/20	25.93	3.39 W	0.00	76 of 2226 - Sale <sup>25</sup>
2.860		22.54	07/08/20	25.62	3.08 W	0.00	77 of 2226 - Sale <sup>25</sup>
3.150		24.82	07/08/20	28.58	3.76 W	0.00	78 of 2226 - Sale <sup>25</sup>
3.160		24.90	07/08/20	28.17	3.27 W	0.00	79 of 2226 - Sale <sup>25</sup>
3.220		25.37	07/08/20	29.46	4.09 W	0.00	80 of 2226 - Sale <sup>25</sup>
4.000		31.52	07/08/20	35.78	4.26 W	0.00	81 of 2226 - Sale <sup>25</sup>
4.090		32.23	07/08/20	37.11	4.88 W	0.00	82 of 2226 - Sale <sup>25</sup>
4.140		32.62	07/08/20	37.03	4.41 W	0.00	83 of 2226 - Sale <sup>25</sup>
4.200		33.10	07/08/20	37.62	4.52 W	0.00	84 of 2226 - Sale <sup>25</sup>
4.260		33.57	07/08/20	38.04	4.47 W	0.00	85 of 2226 - Sale <sup>25</sup>
4.560		35.93	07/08/20	40.64	4.71 W	0.00	86 of 2226 - Sale <sup>25</sup>
4.780		37.67	07/08/20	43.18	5.51 W	0.00	87 of 2226 - Sale <sup>25</sup>
4.780		37.67	07/08/20	42.72	5.05 W	0.00	88 of 2226 - Sale <sup>25</sup>
4.810		37.90	07/08/20	43.38	5.48 W	0.00	89 of 2226 - Sale <sup>25</sup>
4.860		38.30	07/08/20	43.50	5.20 W	0.00	90 of 2226 - Sale <sup>25</sup>
4.920		38.77	07/08/20	45.03	6.26 W	0.00	91 of 2226 - Sale <sup>25</sup>
5.000		39.40	07/08/20	44.74	5.34 W	0.00	92 of 2226 - Sale <sup>25</sup>
5.000		39.40	07/08/20	44.37	4.97 W	0.00	93 of 2226 - Sale <sup>25</sup>
5.000		39.40	07/08/20	44.38	4.98 W	0.00	94 of 2226 - Sale <sup>25</sup>
5.000		39.40	07/08/20	44.76	5.36 W	0.00	95 of 2226 - Sale <sup>25</sup>
5.000		39.40	07/08/20	45.41	6.01 W	0.00	96 of 2226 - Sale <sup>25</sup>
5.140		40.50	07/08/20	45.99	5.49 W	0.00	97 of 2226 - Sale <sup>25</sup>
5.220		41.13	07/08/20	46.50	5.37 W	0.00	98 of 2226 - Sale <sup>25</sup>
5.220		41.13	07/08/20	47.22	6.09 W	0.00	99 of 2226 - Sale <sup>25</sup>
5.220		41.13	07/08/20	47.18	6.05 W	0.00	100 of 2226 - Sale <sup>25</sup>
5.620		44.28	07/08/20	49.89	5.61 W	0.00	101 of 2226 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	47.28	07/08/20	53.79	6.51 W	0.00	102 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/08/20	54.89	7.61 W	0.00	103 of 2226 - Sale <sup>25</sup>
	6.090	47.99	07/08/20	54.68	6.69 W	0.00	104 of 2226 - Sale <sup>25</sup>
	6.360	50.12	07/08/20	56.65	6.53 W	0.00	105 of 2226 - Sale <sup>25</sup>
	6.360	50.12	07/08/20	57.59	7.47 W	0.00	106 of 2226 - Sale <sup>25</sup>
	6.640	52.32	07/08/20	59.55	7.23 W	0.00	107 of 2226 - Sale <sup>25</sup>
	6.640	52.32	07/08/20	59.42	7.10 W	0.00	108 of 2226 - Sale <sup>25</sup>
	6.640	52.32	07/08/20	60.21	7.89 W	0.00	109 of 2226 - Sale <sup>25</sup>
	6.780	53.43	07/08/20	61.51	8.08 W	0.00	110 of 2226 - Sale <sup>25</sup>
	6.820	53.74	07/08/20	60.99	7.25 W	0.00	111 of 2226 - Sale <sup>25</sup>
	6.840	53.90	07/08/20	60.97	7.07 W	0.00	112 of 2226 - Sale <sup>25</sup>
	7.140	56.26	07/08/20	63.90	7.64 W	0.00	113 of 2226 - Sale <sup>25</sup>
	7.720	60.83	07/08/20	69.15	8.32 W	0.00	114 of 2226 - Sale <sup>25</sup>
	7.840	61.78	07/08/20	69.92	8.14 W	0.00	115 of 2226 - Sale <sup>25</sup>
	8.080	63.67	07/08/20	72.14	8.47 W	0.00	116 of 2226 - Sale <sup>25</sup>
	8.780	69.18	07/08/20	79.65	10.47 W	0.00	117 of 2226 - Sale <sup>25</sup>
	9.260	72.97	07/08/20	82.52	9.55 W	0.00	118 of 2226 - Sale <sup>25</sup>
	9.740	76.75	07/08/20	86.97	10.22 W	0.00	119 of 2226 - Sale <sup>25</sup>
	10.000	78.80	07/08/20	90.45	11.65 W	0.00	120 of 2226 - Sale <sup>25</sup>
	11.640	91.72	07/08/20	105.44	13.72 W	0.00	121 of 2226 - Sale <sup>25</sup>
	13.780	108.58	07/08/20	126.07	17.49 W	0.00	122 of 2226 - Sale <sup>25</sup>
	13.880	109.37	07/08/20	125.18	15.81 W	0.00	123 of 2226 - Sale <sup>25</sup>
	14.620	115.20	07/08/20	130.75	15.55 W	0.00	124 of 2226 - Sale <sup>25</sup>
	16.000	126.08	07/08/20	142.89	16.81 W	0.00	125 of 2226 - Sale <sup>25</sup>
	18.780	147.98	07/08/20	169.74	21.76 W	0.00	126 of 2226 - Sale <sup>25</sup>
	19.540	153.97	07/08/20	177.81	23.84 W	0.00	127 of 2226 - Sale <sup>25</sup>
	24.070	189.67	07/08/20	218.38	28.71 W	0.00	128 of 2226 - Sale <sup>25</sup>
	24.160	190.38	07/08/20	215.98	25.60 W	0.00	129 of 2226 - Sale <sup>25</sup>
	26.770	210.94	07/08/20	242.80	31.86 W	0.00	130 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/08/20	18.28	2.52 W	0.00	131 of 2226 - Sale <sup>25</sup>
	2.090	16.47	07/08/20	19.09	2.62 W	0.00	132 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/08/20	72.83	9.79 W	0.00	133 of 2226 - Sale <sup>25</sup>
	0.320	2.52	07/08/20	3.58	1.06 W	0.00	134 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/08/20	4.06	1.22 W	0.00	135 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.660		5.20	07/08/20	7.40	2.20 W	0.00	136 of 2226 - Sale <sup>25</sup>
0.860		6.78	07/08/20	9.64	2.86 W	0.00	137 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.29	3.41 W	0.00	138 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.21	3.33 W	0.00	139 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.21	3.33 W	0.00	140 of 2226 - Sale <sup>25</sup>
1.120		8.83	07/08/20	12.54	3.71 W	0.00	141 of 2226 - Sale <sup>25</sup>
1.340		10.56	07/08/20	15.02	4.46 W	0.00	142 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/08/20	22.53	6.77 W	0.00	143 of 2226 - Sale <sup>25</sup>
2.880		22.69	07/08/20	32.28	9.59 W	0.00	144 of 2226 - Sale <sup>25</sup>
3.000		23.64	07/08/20	33.73	10.09 W	0.00	145 of 2226 - Sale <sup>25</sup>
6.000		47.28	07/08/20	67.64	20.36 W	0.00	146 of 2226 - Sale <sup>25</sup>
0.120		0.95	07/08/20	1.36	0.41 W	0.00	147 of 2226 - Sale <sup>25</sup>
0.580		4.57	07/08/20	6.63	2.06 W	0.00	148 of 2226 - Sale <sup>25</sup>
0.690		5.44	07/08/20	7.92	2.48 W	0.00	149 of 2226 - Sale <sup>25</sup>
0.880		6.93	07/08/20	10.09	3.16 W	0.00	150 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.49	3.61 W	0.00	151 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.48	3.60 W	0.00	152 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.45	3.57 W	0.00	153 of 2226 - Sale <sup>25</sup>
1.880		14.81	07/08/20	21.55	6.74 W	0.00	154 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/08/20	22.64	6.88 W	0.00	155 of 2226 - Sale <sup>25</sup>
2.850		22.46	07/08/20	32.73	10.27 W	0.00	156 of 2226 - Sale <sup>25</sup>
3.140		24.74	07/08/20	35.53	10.79 W	0.00	157 of 2226 - Sale <sup>25</sup>
10.000		78.80	07/08/20	114.87	36.07 W	0.00	158 of 2226 - Sale <sup>25</sup>
0.220		1.73	07/08/20	2.45	0.72 W	0.00	159 of 2226 - Sale <sup>25</sup>
0.350		2.76	07/08/20	3.90	1.14 W	0.00	160 of 2226 - Sale <sup>25</sup>
0.360		2.84	07/08/20	3.97	1.13 W	0.00	161 of 2226 - Sale <sup>25</sup>
0.640		5.04	07/08/20	7.10	2.06 W	0.00	162 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.08	3.20 W	0.00	163 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.06	3.18 W	0.00	164 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/08/20	11.05	3.17 W	0.00	165 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/08/20	13.54	3.93 W	0.00	166 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/08/20	22.28	6.52 W	0.00	167 of 2226 - Sale <sup>25</sup>
2.430		19.15	07/08/20	27.04	7.89 W	0.00	168 of 2226 - Sale <sup>25</sup>
2.640		20.80	07/08/20	29.14	8.34 W	0.00	169 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.64	07/08/20	33.19	9.55 W	0.00	170 of 2226 - Sale <sup>25</sup>
	3.360	26.48	07/08/20	37.03	10.55 W	0.00	171 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/08/20	55.79	16.39 W	0.00	172 of 2226 - Sale <sup>25</sup>
	5.320	41.92	07/08/20	58.87	16.95 W	0.00	173 of 2226 - Sale <sup>25</sup>
	6.780	53.42	07/08/20	75.02	21.60 W	0.00	174 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/08/20	78.08	22.92 W	0.00	175 of 2226 - Sale <sup>25</sup>
	10.000	78.80	07/08/20	111.64	32.84 W	0.00	176 of 2226 - Sale <sup>25</sup>
	0.120	0.95	07/08/20	1.34	0.39 W	0.00	177 of 2226 - Sale <sup>25</sup>
	0.350	2.76	07/08/20	3.90	1.14 W	0.00	178 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/08/20	4.02	1.18 W	0.00	179 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/08/20	4.13	1.29 W	0.00	180 of 2226 - Sale <sup>25</sup>
	0.580	4.57	07/08/20	6.61	2.04 W	0.00	181 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/08/20	7.21	2.17 W	0.00	182 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/08/20	7.31	2.27 W	0.00	183 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/08/20	8.67	2.52 W	0.00	184 of 2226 - Sale <sup>25</sup>
	0.790	6.23	07/08/20	8.88	2.65 W	0.00	185 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/08/20	9.49	2.71 W	0.00	186 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.20	3.32 W	0.00	187 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.19	3.31 W	0.00	188 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.36	3.48 W	0.00	189 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.36	3.48 W	0.00	190 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.37	3.49 W	0.00	191 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.19	3.31 W	0.00	192 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.14	3.26 W	0.00	193 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.13	3.25 W	0.00	194 of 2226 - Sale <sup>25</sup>
	1.360	10.72	07/08/20	15.44	4.72 W	0.00	195 of 2226 - Sale <sup>25</sup>
	1.440	11.35	07/08/20	16.04	4.69 W	0.00	196 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/08/20	19.87	5.84 W	0.00	197 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/08/20	22.46	6.70 W	0.00	198 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/08/20	22.86	7.10 W	0.00	199 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/08/20	22.32	6.56 W	0.00	200 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/08/20	22.22	6.46 W	0.00	201 of 2226 - Sale <sup>25</sup>
	2.070	16.31	07/08/20	23.22	6.91 W	0.00	202 of 2226 - Sale <sup>25</sup>
	2.140	16.86	07/08/20	23.99	7.13 W	0.00	203 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.680	21.12	07/08/20	30.06	8.94 W	0.00	204 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/08/20	31.05	9.14 W	0.00	205 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/08/20	33.62	9.98 W	0.00	206 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/08/20	33.62	9.98 W	0.00	207 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/08/20	33.13	9.49 W	0.00	208 of 2226 - Sale <sup>25</sup>
	3.880	30.57	07/08/20	43.42	12.85 W	0.00	209 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/08/20	44.68	13.16 W	0.00	210 of 2226 - Sale <sup>25</sup>
	4.420	34.83	07/08/20	50.41	15.58 W	0.00	211 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/08/20	56.06	16.66 W	0.00	212 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/08/20	55.32	15.92 W	0.00	213 of 2226 - Sale <sup>25</sup>
	6.430	50.67	07/08/20	71.56	20.89 W	0.00	214 of 2226 - Sale <sup>25</sup>
	7.320	57.68	07/08/20	82.11	24.43 W	0.00	215 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/08/20	91.50	28.46 W	0.00	216 of 2226 - Sale <sup>25</sup>
	11.220	88.41	07/08/20	124.79	36.38 W	0.00	217 of 2226 - Sale <sup>25</sup>
	0.700	5.52	07/08/20	7.75	2.23 W	0.00	218 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	10.92	3.04 W	0.00	219 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	10.94	3.06 W	0.00	220 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	10.94	3.06 W	0.00	221 of 2226 - Sale <sup>25</sup>
	1.440	11.35	07/08/20	15.95	4.60 W	0.00	222 of 2226 - Sale <sup>25</sup>
	2.540	20.01	07/08/20	27.59	7.58 W	0.00	223 of 2226 - Sale <sup>25</sup>
	0.460	3.62	07/08/20	5.05	1.43 W	0.00	224 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/08/20	6.01	1.75 W	0.00	225 of 2226 - Sale <sup>25</sup>
	0.460	3.62	07/08/20	5.11	1.49 W	0.00	226 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/08/20	5.99	1.73 W	0.00	227 of 2226 - Sale <sup>25</sup>
	0.460	3.62	07/08/20	5.10	1.48 W	0.00	228 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.13	3.25 W	0.00	229 of 2226 - Sale <sup>25</sup>
	1.540	12.13	07/08/20	17.14	5.01 W	0.00	230 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/08/20	11.13	3.25 W	0.00	231 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/09/20	5.75	1.49 W	0.00	232 of 2226 - Sale <sup>25</sup>
	4.460	35.14	07/09/20	49.18	14.04 W	0.00	233 of 2226 - Sale <sup>25</sup>
	0.460	3.62	07/09/20	4.88	1.26 W	0.00	234 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/09/20	10.61	2.73 W	0.00	235 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/09/20	10.59	2.71 W	0.00	236 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/09/20	10.57	2.69 W	0.00	237 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.540	20.01	07/09/20	26.83	6.82 W	0.00	238 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/09/20	42.26	10.74 W	0.00	239 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/09/20	52.84	13.44 W	0.00	240 of 2226 - Sale <sup>25</sup>
	1.460	11.50	07/09/20	15.45	3.95 W	0.00	241 of 2226 - Sale <sup>25</sup>
	3.540	27.89	07/09/20	37.46	9.57 W	0.00	242 of 2226 - Sale <sup>25</sup>
	0.240	1.89	07/09/20	2.51	0.62 W	0.00	243 of 2226 - Sale <sup>25</sup>
	0.680	5.36	07/09/20	7.20	1.84 W	0.00	244 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/09/20	8.19	2.04 W	0.00	245 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/09/20	10.47	2.59 W	0.00	246 of 2226 - Sale <sup>25</sup>
	2.300	18.12	07/09/20	24.14	6.02 W	0.00	247 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/09/20	8.22	2.07 W	0.00	248 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/09/20	12.85	3.24 W	0.00	249 of 2226 - Sale <sup>25</sup>
	1.460	11.50	07/09/20	15.32	3.82 W	0.00	250 of 2226 - Sale <sup>25</sup>
	1.540	12.13	07/09/20	16.22	4.09 W	0.00	251 of 2226 - Sale <sup>25</sup>
	0.680	5.36	07/09/20	7.18	1.82 W	0.00	252 of 2226 - Sale <sup>25</sup>
	1.540	12.13	07/09/20	16.26	4.13 W	0.00	253 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/09/20	29.35	7.44 W	0.00	254 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/09/20	5.70	1.44 W	0.00	255 of 2226 - Sale <sup>25</sup>
	4.460	35.14	07/09/20	47.11	11.97 W	0.00	256 of 2226 - Sale <sup>25</sup>
	0.460	3.62	07/09/20	4.85	1.23 W	0.00	257 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/09/20	5.63	1.37 W	0.00	258 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/09/20	10.52	2.64 W	0.00	259 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/09/20	31.53	7.89 W	0.00	260 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/09/20	10.47	2.59 W	0.00	261 of 2226 - Sale <sup>25</sup>
	0.460	3.62	07/09/20	4.82	1.20 W	0.00	262 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/09/20	5.67	1.41 W	0.00	263 of 2226 - Sale <sup>25</sup>
	0.320	2.52	07/09/20	3.36	0.84 W	0.00	264 of 2226 - Sale <sup>25</sup>
	0.680	5.36	07/09/20	7.14	1.78 W	0.00	265 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/09/20	10.50	2.62 W	0.00	266 of 2226 - Sale <sup>25</sup>
	0.460	3.62	07/09/20	4.83	1.21 W	0.00	267 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/09/20	5.67	1.41 W	0.00	268 of 2226 - Sale <sup>25</sup>
	3.360	26.48	07/10/20	36.96	10.48 W	0.00	269 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.13	0.40 W	0.00	270 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.48	0.64 W	0.00	271 of 2226 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.420	3.31	07/10/20	4.02	0.71 W	0.00	272 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/10/20	4.10	0.79 W	0.00	273 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.63	1.75 W	0.00	274 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.65	1.77 W	0.00	275 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.66	1.78 W	0.00	276 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.64	1.76 W	0.00	277 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.64	1.76 W	0.00	278 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.52	1.64 W	0.00	279 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.52	1.64 W	0.00	280 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.69	1.81 W	0.00	281 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.69	1.81 W	0.00	282 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.72	1.84 W	0.00	283 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	19.09	3.33 W	0.00	284 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	19.28	3.52 W	0.00	285 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	19.29	3.53 W	0.00	286 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	19.29	3.53 W	0.00	287 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	19.37	3.61 W	0.00	288 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	28.92	5.28 W	0.00	289 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	29.14	5.50 W	0.00	290 of 2226 - Sale <sup>25</sup>
	3.860	30.42	07/10/20	37.13	6.71 W	0.00	291 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	38.21	6.69 W	0.00	292 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	38.68	7.16 W	0.00	293 of 2226 - Sale <sup>25</sup>
	4.050	31.91	07/10/20	38.60	6.69 W	0.00	294 of 2226 - Sale <sup>25</sup>
	5.090	40.11	07/10/20	48.54	8.43 W	0.00	295 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/10/20	86.99	16.07 W	0.00	296 of 2226 - Sale <sup>25</sup>
	0.050	0.39	07/10/20	0.50	0.11 W	0.00	297 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/10/20	1.42	0.32 W	0.00	298 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/10/20	4.36	1.05 W	0.00	299 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/10/20	4.44	1.13 W	0.00	300 of 2226 - Sale <sup>25</sup>
	0.490	3.86	07/10/20	5.02	1.16 W	0.00	301 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/10/20	5.44	1.18 W	0.00	302 of 2226 - Sale <sup>25</sup>
	0.580	4.57	07/10/20	6.12	1.55 W	0.00	303 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/10/20	9.11	2.33 W	0.00	304 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.10	2.22 W	0.00	305 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/10/20	10.11	2.23 W	0.00	306 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.22	2.34 W	0.00	307 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.21	2.33 W	0.00	308 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.19	2.31 W	0.00	309 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.11	2.23 W	0.00	310 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.39	2.51 W	0.00	311 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.55	2.67 W	0.00	312 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.55	2.67 W	0.00	313 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.55	2.67 W	0.00	314 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.55	2.67 W	0.00	315 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.53	2.65 W	0.00	316 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.58	2.70 W	0.00	317 of 2226 - Sale <sup>25</sup>
	1.140	8.98	07/10/20	12.06	3.08 W	0.00	318 of 2226 - Sale <sup>25</sup>
	1.510	11.90	07/10/20	15.44	3.54 W	0.00	319 of 2226 - Sale <sup>25</sup>
	1.580	12.45	07/10/20	15.95	3.50 W	0.00	320 of 2226 - Sale <sup>25</sup>
	1.860	14.66	07/10/20	19.21	4.55 W	0.00	321 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	20.28	4.52 W	0.00	322 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	20.22	4.46 W	0.00	323 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	20.78	5.02 W	0.00	324 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.11	5.35 W	0.00	325 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.11	5.35 W	0.00	326 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.23	5.47 W	0.00	327 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.36	5.60 W	0.00	328 of 2226 - Sale <sup>25</sup>
	2.140	16.86	07/10/20	22.13	5.27 W	0.00	329 of 2226 - Sale <sup>25</sup>
	2.140	16.86	07/10/20	22.69	5.83 W	0.00	330 of 2226 - Sale <sup>25</sup>
	2.510	19.78	07/10/20	25.57	5.79 W	0.00	331 of 2226 - Sale <sup>25</sup>
	2.580	20.33	07/10/20	26.73	6.40 W	0.00	332 of 2226 - Sale <sup>25</sup>
	2.860	22.54	07/10/20	29.16	6.62 W	0.00	333 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	30.58	6.94 W	0.00	334 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	30.44	6.80 W	0.00	335 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	32.73	9.09 W	0.00	336 of 2226 - Sale <sup>25</sup>
	3.440	27.11	07/10/20	36.49	9.38 W	0.00	337 of 2226 - Sale <sup>25</sup>
	3.490	27.50	07/10/20	35.56	8.06 W	0.00	338 of 2226 - Sale <sup>25</sup>
	3.950	31.12	07/10/20	40.94	9.82 W	0.00	339 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	31.52	07/10/20	40.52	9.00 W	0.00	340 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	41.53	10.01 W	0.00	341 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	42.12	10.60 W	0.00	342 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	42.34	10.82 W	0.00	343 of 2226 - Sale <sup>25</sup>
	4.140	32.62	07/10/20	42.00	9.38 W	0.00	344 of 2226 - Sale <sup>25</sup>
	4.320	34.04	07/10/20	43.62	9.58 W	0.00	345 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	50.81	11.41 W	0.00	346 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	53.02	13.62 W	0.00	347 of 2226 - Sale <sup>25</sup>
	5.420	42.71	07/10/20	57.37	14.66 W	0.00	348 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.34	0.61 W	0.00	349 of 2226 - Sale <sup>25</sup>
	0.320	2.52	07/10/20	3.43	0.91 W	0.00	350 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.84	1.00 W	0.00	351 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.81	0.97 W	0.00	352 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/10/20	4.50	1.19 W	0.00	353 of 2226 - Sale <sup>25</sup>
	0.580	4.57	07/10/20	6.13	1.56 W	0.00	354 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	6.83	1.79 W	0.00	355 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	6.77	1.73 W	0.00	356 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	6.77	1.73 W	0.00	357 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.30	2.15 W	0.00	358 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.29	3.41 W	0.00	359 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.63	2.75 W	0.00	360 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.61	2.73 W	0.00	361 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.68	2.80 W	0.00	362 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.74	2.86 W	0.00	363 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.72	2.84 W	0.00	364 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.72	2.84 W	0.00	365 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.71	2.83 W	0.00	366 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.68	2.80 W	0.00	367 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.68	2.80 W	0.00	368 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.75	5.99 W	0.00	369 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.32	5.56 W	0.00	370 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.30	5.54 W	0.00	371 of 2226 - Sale <sup>25</sup>
	2.360	18.60	07/10/20	25.22	6.62 W	0.00	372 of 2226 - Sale <sup>25</sup>
	2.420	19.07	07/10/20	27.28	8.21 W	0.00	373 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities, costs, and sale dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
7.440		58.63	07/10/20	63.82	5.19 W	0.00	408 of 2226 - Sale <sup>25</sup>
7.950		62.64	07/10/20	67.83	5.19 W	0.00	409 of 2226 - Sale <sup>25</sup>
8.470		66.74	07/10/20	72.65	5.91 W	0.00	410 of 2226 - Sale <sup>25</sup>
8.780		69.18	07/10/20	75.49	6.31 W	0.00	411 of 2226 - Sale <sup>25</sup>
10.000		78.80	07/10/20	84.99	6.19 W	0.00	412 of 2226 - Sale <sup>25</sup>
10.780		84.94	07/10/20	89.70	4.76 W	0.00	413 of 2226 - Sale <sup>25</sup>
11.560		91.09	07/10/20	100.58	9.49 W	0.00	414 of 2226 - Sale <sup>25</sup>
13.470		106.14	07/10/20	112.07	5.93 W	0.00	415 of 2226 - Sale <sup>25</sup>
16.000		126.08	07/10/20	136.05	9.97 W	0.00	416 of 2226 - Sale <sup>25</sup>
17.220		135.69	07/10/20	143.28	7.59 W	0.00	417 of 2226 - Sale <sup>25</sup>
0.140		1.10	07/10/20	1.48	0.38 W	0.00	418 of 2226 - Sale <sup>25</sup>
0.210		1.65	07/10/20	2.13	0.48 W	0.00	419 of 2226 - Sale <sup>25</sup>
0.220		1.73	07/10/20	2.38	0.65 W	0.00	420 of 2226 - Sale <sup>25</sup>
0.220		1.73	07/10/20	2.33	0.60 W	0.00	421 of 2226 - Sale <sup>25</sup>
0.220		1.73	07/10/20	2.31	0.58 W	0.00	422 of 2226 - Sale <sup>25</sup>
0.220		1.73	07/10/20	2.42	0.69 W	0.00	423 of 2226 - Sale <sup>25</sup>
0.300		2.36	07/10/20	3.21	0.85 W	0.00	424 of 2226 - Sale <sup>25</sup>
0.310		2.44	07/10/20	3.64	1.20 W	0.00	425 of 2226 - Sale <sup>25</sup>
0.320		2.52	07/10/20	3.45	0.93 W	0.00	426 of 2226 - Sale <sup>25</sup>
0.420		3.31	07/10/20	4.67	1.36 W	0.00	427 of 2226 - Sale <sup>25</sup>
0.440		3.47	07/10/20	5.21	1.74 W	0.00	428 of 2226 - Sale <sup>25</sup>
0.440		3.47	07/10/20	5.18	1.71 W	0.00	429 of 2226 - Sale <sup>25</sup>
0.560		4.41	07/10/20	5.84	1.43 W	0.00	430 of 2226 - Sale <sup>25</sup>
0.560		4.41	07/10/20	4.75	0.34 W	0.00	431 of 2226 - Sale <sup>25</sup>
0.560		4.41	07/10/20	6.20	1.79 W	0.00	432 of 2226 - Sale <sup>25</sup>
0.560		4.41	07/10/20	6.67	2.26 W	0.00	433 of 2226 - Sale <sup>25</sup>
0.630		4.96	07/10/20	5.48	0.52 W	0.00	434 of 2226 - Sale <sup>25</sup>
0.690		5.44	07/10/20	7.06	1.62 W	0.00	435 of 2226 - Sale <sup>25</sup>
0.690		5.44	07/10/20	7.66	2.22 W	0.00	436 of 2226 - Sale <sup>25</sup>
0.690		5.44	07/10/20	8.17	2.73 W	0.00	437 of 2226 - Sale <sup>25</sup>
0.690		5.44	07/10/20	8.18	2.74 W	0.00	438 of 2226 - Sale <sup>25</sup>
0.700		5.52	07/10/20	7.44	1.92 W	0.00	439 of 2226 - Sale <sup>25</sup>
0.750		5.91	07/10/20	8.94	3.03 W	0.00	440 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	8.14	1.99 W	0.00	441 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.780		6.15	07/10/20	8.07	1.92 W	0.00	442 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	8.06	1.91 W	0.00	443 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	8.63	2.48 W	0.00	444 of 2226 - Sale <sup>25</sup>
0.790		6.22	07/10/20	8.12	1.90 W	0.00	445 of 2226 - Sale <sup>25</sup>
0.860		6.78	07/10/20	9.00	2.22 W	0.00	446 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.20	2.32 W	0.00	447 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.19	2.31 W	0.00	448 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.16	2.28 W	0.00	449 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.30	2.42 W	0.00	450 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.30	2.42 W	0.00	451 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.30	2.42 W	0.00	452 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.27	2.39 W	0.00	453 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.29	2.41 W	0.00	454 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.61	2.73 W	0.00	455 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.66	2.78 W	0.00	456 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.77	2.89 W	0.00	457 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.76	2.88 W	0.00	458 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.75	2.87 W	0.00	459 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.42	2.54 W	0.00	460 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.43	2.55 W	0.00	461 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	8.75	0.87 W	0.00	462 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	8.59	0.71 W	0.00	463 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	8.65	0.77 W	0.00	464 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.03	3.15 W	0.00	465 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.06	3.18 W	0.00	466 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.07	3.19 W	0.00	467 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.02	3.14 W	0.00	468 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.03	3.15 W	0.00	469 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.04	3.16 W	0.00	470 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.19	3.31 W	0.00	471 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.20	3.32 W	0.00	472 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.14	3.26 W	0.00	473 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.46	3.58 W	0.00	474 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.08	3.20 W	0.00	475 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with 8 columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.76	07/10/20	23.47	7.71 W	0.00	510 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.56	7.80 W	0.00	511 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.58	7.82 W	0.00	512 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.51	7.75 W	0.00	513 of 2226 - Sale <sup>25</sup>
	2.080	16.39	07/10/20	17.59	1.20 W	0.00	514 of 2226 - Sale <sup>25</sup>
	2.100	16.55	07/10/20	25.04	8.49 W	0.00	515 of 2226 - Sale <sup>25</sup>
	2.150	16.94	07/10/20	25.47	8.53 W	0.00	516 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/10/20	26.20	8.71 W	0.00	517 of 2226 - Sale <sup>25</sup>
	2.300	18.12	07/10/20	23.98	5.86 W	0.00	518 of 2226 - Sale <sup>25</sup>
	2.440	19.23	07/10/20	26.84	7.61 W	0.00	519 of 2226 - Sale <sup>25</sup>
	2.560	20.17	07/10/20	30.16	9.99 W	0.00	520 of 2226 - Sale <sup>25</sup>
	2.690	21.20	07/10/20	30.05	8.85 W	0.00	521 of 2226 - Sale <sup>25</sup>
	2.760	21.75	07/10/20	32.43	10.68 W	0.00	522 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/10/20	28.45	6.54 W	0.00	523 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/10/20	29.92	8.01 W	0.00	524 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/10/20	32.77	10.86 W	0.00	525 of 2226 - Sale <sup>25</sup>
	2.930	23.09	07/10/20	34.48	11.39 W	0.00	526 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	31.67	8.03 W	0.00	527 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	32.01	8.37 W	0.00	528 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	26.36	2.72 W	0.00	529 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	33.44	9.80 W	0.00	530 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	35.36	11.72 W	0.00	531 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	35.45	11.81 W	0.00	532 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	35.45	11.81 W	0.00	533 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	35.47	11.83 W	0.00	534 of 2226 - Sale <sup>25</sup>
	3.150	24.82	07/10/20	37.55	12.73 W	0.00	535 of 2226 - Sale <sup>25</sup>
	3.440	27.11	07/10/20	40.53	13.42 W	0.00	536 of 2226 - Sale <sup>25</sup>
	3.560	28.05	07/10/20	39.42	11.37 W	0.00	537 of 2226 - Sale <sup>25</sup>
	3.780	29.79	07/10/20	40.36	10.57 W	0.00	538 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	41.29	9.77 W	0.00	539 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	42.70	11.18 W	0.00	540 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	44.18	12.66 W	0.00	541 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	44.12	12.60 W	0.00	542 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	44.30	12.78 W	0.00	543 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.220	1.73	07/10/20	2.40	0.67 W	0.00	578 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.46	0.73 W	0.00	579 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.49	0.76 W	0.00	580 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.40	0.67 W	0.00	581 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.37	0.64 W	0.00	582 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.95	1.11 W	0.00	583 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/10/20	4.76	1.29 W	0.00	584 of 2226 - Sale <sup>25</sup>
	0.570	4.49	07/10/20	6.45	1.96 W	0.00	585 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	7.01	1.97 W	0.00	586 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.44	2.29 W	0.00	587 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.77	2.62 W	0.00	588 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.19	2.04 W	0.00	589 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.40	2.25 W	0.00	590 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.43	2.28 W	0.00	591 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.75	2.60 W	0.00	592 of 2226 - Sale <sup>25</sup>
	0.790	6.22	07/10/20	8.89	2.67 W	0.00	593 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/10/20	9.31	2.53 W	0.00	594 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/10/20	9.29	2.51 W	0.00	595 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.87	3.07 W	0.00	596 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.82	2.94 W	0.00	597 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.77	2.89 W	0.00	598 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.76	2.88 W	0.00	599 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.09	3.21 W	0.00	600 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.38	3.50 W	0.00	601 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.25	3.37 W	0.00	602 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.33	3.45 W	0.00	603 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.29	3.41 W	0.00	604 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.29	3.41 W	0.00	605 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.77	2.89 W	0.00	606 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.82	2.94 W	0.00	607 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.80	2.92 W	0.00	608 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.80	2.92 W	0.00	609 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.70	2.82 W	0.00	610 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.18	3.30 W	0.00	611 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
1.000		7.88	07/10/20	11.01	3.13 W	0.00	612 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.96	3.08 W	0.00	613 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.99	3.11 W	0.00	614 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.98	3.10 W	0.00	615 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.99	3.11 W	0.00	616 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.94	3.06 W	0.00	617 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.94	3.06 W	0.00	618 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.82	2.94 W	0.00	619 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.84	2.96 W	0.00	620 of 2226 - Sale <sup>25</sup>
1.010		7.96	07/10/20	10.95	2.99 W	0.00	621 of 2226 - Sale <sup>25</sup>
1.210		9.53	07/10/20	13.41	3.88 W	0.00	622 of 2226 - Sale <sup>25</sup>
1.210		9.53	07/10/20	13.07	3.54 W	0.00	623 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/10/20	13.72	4.11 W	0.00	624 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/10/20	13.83	4.22 W	0.00	625 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/10/20	12.80	3.19 W	0.00	626 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/10/20	13.25	3.64 W	0.00	627 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/10/20	13.23	3.62 W	0.00	628 of 2226 - Sale <sup>25</sup>
1.360		10.72	07/10/20	14.90	4.18 W	0.00	629 of 2226 - Sale <sup>25</sup>
1.420		11.19	07/10/20	15.62	4.43 W	0.00	630 of 2226 - Sale <sup>25</sup>
1.770		13.95	07/10/20	19.16	5.21 W	0.00	631 of 2226 - Sale <sup>25</sup>
1.780		14.03	07/10/20	20.08	6.05 W	0.00	632 of 2226 - Sale <sup>25</sup>
1.780		14.03	07/10/20	19.51	5.48 W	0.00	633 of 2226 - Sale <sup>25</sup>
1.860		14.66	07/10/20	20.73	6.07 W	0.00	634 of 2226 - Sale <sup>25</sup>
1.920		15.13	07/10/20	20.81	5.68 W	0.00	635 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	21.56	5.80 W	0.00	636 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.13	6.37 W	0.00	637 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.45	6.69 W	0.00	638 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.80	7.04 W	0.00	639 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.79	7.03 W	0.00	640 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.81	7.05 W	0.00	641 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.47	6.71 W	0.00	642 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.54	6.78 W	0.00	643 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.51	6.75 W	0.00	644 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	20.96	5.20 W	0.00	645 of 2226 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.210	33.17	07/10/20	47.47	14.30 W	0.00	680 of 2226 - Sale <sup>25</sup>
	4.640	36.56	07/10/20	50.69	14.13 W	0.00	681 of 2226 - Sale <sup>25</sup>
	4.780	37.66	07/10/20	53.52	15.86 W	0.00	682 of 2226 - Sale <sup>25</sup>
	4.790	37.74	07/10/20	53.69	15.95 W	0.00	683 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	54.22	14.82 W	0.00	684 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	56.96	17.56 W	0.00	685 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	52.82	13.42 W	0.00	686 of 2226 - Sale <sup>25</sup>
	5.210	41.05	07/10/20	58.52	17.47 W	0.00	687 of 2226 - Sale <sup>25</sup>
	5.220	41.13	07/10/20	59.53	18.40 W	0.00	688 of 2226 - Sale <sup>25</sup>
	5.780	45.54	07/10/20	64.82	19.28 W	0.00	689 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	65.02	17.74 W	0.00	690 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	67.57	20.29 W	0.00	691 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	66.54	19.26 W	0.00	692 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	65.91	18.63 W	0.00	693 of 2226 - Sale <sup>25</sup>
	6.220	49.01	07/10/20	67.36	18.35 W	0.00	694 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/10/20	78.39	23.23 W	0.00	695 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/10/20	75.87	20.71 W	0.00	696 of 2226 - Sale <sup>25</sup>
	7.300	57.52	07/10/20	79.11	21.59 W	0.00	697 of 2226 - Sale <sup>25</sup>
	8.780	69.18	07/10/20	95.13	25.95 W	0.00	698 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/10/20	98.88	27.96 W	0.00	699 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/10/20	99.88	28.96 W	0.00	700 of 2226 - Sale <sup>25</sup>
	9.010	71.00	07/10/20	98.92	27.92 W	0.00	701 of 2226 - Sale <sup>25</sup>
	10.220	80.53	07/10/20	115.70	35.17 W	0.00	702 of 2226 - Sale <sup>25</sup>
	16.000	126.07	07/10/20	181.31	55.24 W	0.00	703 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.44	0.71 W	0.00	704 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.31	0.58 W	0.00	705 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.26	0.53 W	0.00	706 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.75	0.91 W	0.00	707 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/10/20	4.44	1.13 W	0.00	708 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/10/20	4.46	0.99 W	0.00	709 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/10/20	4.57	1.10 W	0.00	710 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/10/20	5.77	1.36 W	0.00	711 of 2226 - Sale <sup>25</sup>
	0.650	5.12	07/10/20	6.57	1.45 W	0.00	712 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.58	2.43 W	0.00	713 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.780		6.15	07/10/20	8.32	2.17 W	0.00	714 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	7.90	1.75 W	0.00	715 of 2226 - Sale <sup>25</sup>
0.870		6.86	07/10/20	9.59	2.73 W	0.00	716 of 2226 - Sale <sup>25</sup>
0.980		7.72	07/10/20	9.90	2.18 W	0.00	717 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.74	2.86 W	0.00	718 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.07	3.19 W	0.00	719 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.06	3.18 W	0.00	720 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.13	3.25 W	0.00	721 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.04	3.16 W	0.00	722 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.14	2.26 W	0.00	723 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.31	2.43 W	0.00	724 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.30	2.42 W	0.00	725 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.29	2.41 W	0.00	726 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.12	2.24 W	0.00	727 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.15	2.27 W	0.00	728 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	10.14	2.26 W	0.00	729 of 2226 - Sale <sup>25</sup>
1.020		8.04	07/10/20	10.29	2.25 W	0.00	730 of 2226 - Sale <sup>25</sup>
1.130		8.90	07/10/20	12.44	3.54 W	0.00	731 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/10/20	13.50	3.89 W	0.00	732 of 2226 - Sale <sup>25</sup>
1.220		9.61	07/10/20	12.52	2.91 W	0.00	733 of 2226 - Sale <sup>25</sup>
1.560		12.29	07/10/20	15.77	3.48 W	0.00	734 of 2226 - Sale <sup>25</sup>
1.780		14.03	07/10/20	19.00	4.97 W	0.00	735 of 2226 - Sale <sup>25</sup>
1.780		14.03	07/10/20	18.92	4.89 W	0.00	736 of 2226 - Sale <sup>25</sup>
1.780		14.03	07/10/20	18.32	4.29 W	0.00	737 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.12	6.36 W	0.00	738 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.05	6.29 W	0.00	739 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	21.34	5.58 W	0.00	740 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	21.34	5.58 W	0.00	741 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	21.07	5.31 W	0.00	742 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	20.13	4.37 W	0.00	743 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	20.61	4.85 W	0.00	744 of 2226 - Sale <sup>25</sup>
2.220		17.49	07/10/20	22.57	5.08 W	0.00	745 of 2226 - Sale <sup>25</sup>
2.690		21.20	07/10/20	28.75	7.55 W	0.00	746 of 2226 - Sale <sup>25</sup>
2.780		21.91	07/10/20	30.67	8.76 W	0.00	747 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.780	21.91	07/10/20	29.55	7.64 W	0.00	748 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	33.10	9.46 W	0.00	749 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	30.92	7.28 W	0.00	750 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	30.90	7.26 W	0.00	751 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	30.68	7.04 W	0.00	752 of 2226 - Sale <sup>25</sup>
	3.440	27.11	07/10/20	36.60	9.49 W	0.00	753 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	41.12	9.60 W	0.00	754 of 2226 - Sale <sup>25</sup>
	4.350	34.28	07/10/20	43.97	9.69 W	0.00	755 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	55.31	15.91 W	0.00	756 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	55.16	15.76 W	0.00	757 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	53.27	13.87 W	0.00	758 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	50.53	11.13 W	0.00	759 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	51.13	11.73 W	0.00	760 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	50.57	11.17 W	0.00	761 of 2226 - Sale <sup>25</sup>
	5.530	43.57	07/10/20	58.92	15.35 W	0.00	762 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	66.39	19.11 W	0.00	763 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	64.09	16.81 W	0.00	764 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	61.64	14.36 W	0.00	765 of 2226 - Sale <sup>25</sup>
	6.220	49.01	07/10/20	69.08	20.07 W	0.00	766 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/10/20	99.41	28.49 W	0.00	767 of 2226 - Sale <sup>25</sup>
	0.100	0.79	07/10/20	0.98	0.19 W	0.00	768 of 2226 - Sale <sup>25</sup>
	0.900	7.09	07/10/20	9.49	2.40 W	0.00	769 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.60	2.72 W	0.00	770 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.53	2.65 W	0.00	771 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/10/20	12.85	3.24 W	0.00	772 of 2226 - Sale <sup>25</sup>
	1.900	14.97	07/10/20	19.93	4.96 W	0.00	773 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.14	5.38 W	0.00	774 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.17	5.41 W	0.00	775 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.17	5.41 W	0.00	776 of 2226 - Sale <sup>25</sup>
	2.100	16.55	07/10/20	22.13	5.58 W	0.00	777 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	31.44	7.80 W	0.00	778 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	31.61	7.97 W	0.00	779 of 2226 - Sale <sup>25</sup>
	3.100	24.43	07/10/20	32.49	8.06 W	0.00	780 of 2226 - Sale <sup>25</sup>
	3.900	30.73	07/10/20	38.57	7.84 W	0.00	781 of 2226 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
4.000		31.52	07/10/20	42.38	10.86 W	0.00	782 of 2226 - Sale <sup>25</sup>
5.000		39.40	07/10/20	52.92	13.52 W	0.00	783 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	16.67	0.91 W	0.00	784 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	16.61	0.85 W	0.00	785 of 2226 - Sale <sup>25</sup>
2.780		21.90	07/10/20	23.09	1.19 W	0.00	786 of 2226 - Sale <sup>25</sup>
3.000		23.64	07/10/20	24.94	1.30 W	0.00	787 of 2226 - Sale <sup>25</sup>
3.100		24.43	07/10/20	25.77	1.34 W	0.00	788 of 2226 - Sale <sup>25</sup>
4.090		32.23	07/10/20	34.03	1.80 W	0.00	789 of 2226 - Sale <sup>25</sup>
4.620		36.40	07/10/20	38.52	2.12 W	0.00	790 of 2226 - Sale <sup>25</sup>
4.780		37.66	07/10/20	39.74	2.08 W	0.00	791 of 2226 - Sale <sup>25</sup>
5.220		41.13	07/10/20	43.49	2.36 W	0.00	792 of 2226 - Sale <sup>25</sup>
5.360		42.23	07/10/20	44.69	2.46 W	0.00	793 of 2226 - Sale <sup>25</sup>
5.690		44.83	07/10/20	47.35	2.52 W	0.00	794 of 2226 - Sale <sup>25</sup>
6.900		54.37	07/10/20	57.37	3.00 W	0.00	795 of 2226 - Sale <sup>25</sup>
7.000		55.16	07/10/20	58.33	3.17 W	0.00	796 of 2226 - Sale <sup>25</sup>
7.690		60.59	07/10/20	64.10	3.51 W	0.00	797 of 2226 - Sale <sup>25</sup>
8.220		64.77	07/10/20	68.36	3.59 W	0.00	798 of 2226 - Sale <sup>25</sup>
12.910		101.72	07/10/20	107.63	5.91 W	0.00	799 of 2226 - Sale <sup>25</sup>
21.000		165.47	07/10/20	174.78	9.31 W	0.00	800 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	7.73	1.58 W	0.00	801 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	9.90	2.02 W	0.00	802 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	9.86	1.98 W	0.00	803 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	19.74	3.98 W	0.00	804 of 2226 - Sale <sup>25</sup>
4.220		33.25	07/10/20	42.30	9.05 W	0.00	805 of 2226 - Sale <sup>25</sup>
0.090		0.71	07/10/20	0.89	0.18 W	0.00	806 of 2226 - Sale <sup>25</sup>
0.130		1.02	07/10/20	1.28	0.26 W	0.00	807 of 2226 - Sale <sup>25</sup>
0.220		1.73	07/10/20	2.15	0.42 W	0.00	808 of 2226 - Sale <sup>25</sup>
0.590		4.65	07/10/20	5.65	1.00 W	0.00	809 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	7.95	1.80 W	0.00	810 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	7.25	1.10 W	0.00	811 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	9.95	2.07 W	0.00	812 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	9.73	1.85 W	0.00	813 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	9.58	1.70 W	0.00	814 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	9.57	1.69 W	0.00	815 of 2226 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/10/20	9.42	1.54 W	0.00	816 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.29	1.41 W	0.00	817 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.30	1.42 W	0.00	818 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	9.30	1.42 W	0.00	819 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/10/20	11.36	1.75 W	0.00	820 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/10/20	11.34	1.73 W	0.00	821 of 2226 - Sale <sup>25</sup>
	1.410	11.11	07/10/20	13.49	2.38 W	0.00	822 of 2226 - Sale <sup>25</sup>
	1.690	13.32	07/10/20	15.50	2.18 W	0.00	823 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	20.26	4.50 W	0.00	824 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	19.31	3.55 W	0.00	825 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	19.11	3.35 W	0.00	826 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	18.52	2.76 W	0.00	827 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	18.59	2.83 W	0.00	828 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/10/20	22.17	4.68 W	0.00	829 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/10/20	20.52	3.03 W	0.00	830 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/10/20	20.36	2.87 W	0.00	831 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/10/20	27.86	5.96 W	0.00	832 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/10/20	27.05	5.15 W	0.00	833 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/10/20	25.70	3.80 W	0.00	834 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/10/20	25.64	3.74 W	0.00	835 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	29.12	5.48 W	0.00	836 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	28.91	5.27 W	0.00	837 of 2226 - Sale <sup>25</sup>
	3.780	29.78	07/10/20	37.72	7.94 W	0.00	838 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	48.63	9.23 W	0.00	839 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/10/20	46.31	6.91 W	0.00	840 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/10/20	77.89	14.85 W	0.00	841 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/10/20	4.49	1.18 W	0.00	842 of 2226 - Sale <sup>25</sup>
	0.530	4.18	07/10/20	5.65	1.47 W	0.00	843 of 2226 - Sale <sup>25</sup>
	0.580	4.57	07/10/20	6.13	1.56 W	0.00	844 of 2226 - Sale <sup>25</sup>
	0.680	5.36	07/10/20	7.26	1.90 W	0.00	845 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.69	2.81 W	0.00	846 of 2226 - Sale <sup>25</sup>
	1.470	11.58	07/10/20	15.63	4.05 W	0.00	847 of 2226 - Sale <sup>25</sup>
	2.580	20.33	07/10/20	27.44	7.11 W	0.00	848 of 2226 - Sale <sup>25</sup>
	3.950	31.12	07/10/20	42.04	10.92 W	0.00	849 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.050	31.91	07/10/20	43.10	11.19 W	0.00	850 of 2226 - Sale <sup>25</sup>
	10.420	82.10	07/10/20	110.86	28.76 W	0.00	851 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/10/20	6.44	2.03 W	0.00	852 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	7.12	2.08 W	0.00	853 of 2226 - Sale <sup>25</sup>
	0.920	7.25	07/10/20	10.21	2.96 W	0.00	854 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.57	3.69 W	0.00	855 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.54	3.66 W	0.00	856 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.07	3.19 W	0.00	857 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.07	3.19 W	0.00	858 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.09	3.21 W	0.00	859 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.09	3.21 W	0.00	860 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.08	3.20 W	0.00	861 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.09	3.21 W	0.00	862 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.05	7.29 W	0.00	863 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	22.12	6.36 W	0.00	864 of 2226 - Sale <sup>25</sup>
	2.080	16.39	07/10/20	23.08	6.69 W	0.00	865 of 2226 - Sale <sup>25</sup>
	2.900	22.85	07/10/20	33.44	10.59 W	0.00	866 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.66	11.02 W	0.00	867 of 2226 - Sale <sup>25</sup>
	0.760	5.99	07/10/20	8.50	2.51 W	0.00	868 of 2226 - Sale <sup>25</sup>
	3.100	24.43	07/10/20	35.73	11.30 W	0.00	869 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	44.42	12.90 W	0.00	870 of 2226 - Sale <sup>25</sup>
	4.360	34.35	07/10/20	48.77	14.42 W	0.00	871 of 2226 - Sale <sup>25</sup>
	8.000	63.03	07/10/20	89.48	26.45 W	0.00	872 of 2226 - Sale <sup>25</sup>
	15.000	118.19	07/10/20	173.11	54.92 W	0.00	873 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.21	3.33 W	0.00	874 of 2226 - Sale <sup>25</sup>
	2.240	17.65	07/10/20	25.06	7.41 W	0.00	875 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	33.56	9.92 W	0.00	876 of 2226 - Sale <sup>25</sup>
	4.640	36.56	07/10/20	52.06	15.50 W	0.00	877 of 2226 - Sale <sup>25</sup>
	6.120	48.22	07/10/20	68.65	20.43 W	0.00	878 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	879 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	880 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	881 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	882 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	883 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	884 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	885 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	886 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	887 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	888 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	889 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	890 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	891 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	892 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/10/20	0.11	0.03 W	0.00	893 of 2226 - Sale <sup>25</sup>
	0.080	0.63	07/10/20	0.86	0.23 W	0.00	894 of 2226 - Sale <sup>25</sup>
	0.090	0.71	07/10/20	0.98	0.27 W	0.00	895 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/10/20	1.56	0.46 W	0.00	896 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.42	0.69 W	0.00	897 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/10/20	2.47	0.74 W	0.00	898 of 2226 - Sale <sup>25</sup>
	0.300	2.36	07/10/20	3.24	0.88 W	0.00	899 of 2226 - Sale <sup>25</sup>
	0.310	2.44	07/10/20	3.31	0.87 W	0.00	900 of 2226 - Sale <sup>25</sup>
	0.350	2.76	07/10/20	3.78	1.02 W	0.00	901 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.97	1.13 W	0.00	902 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.90	1.06 W	0.00	903 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/10/20	3.95	1.11 W	0.00	904 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	7.02	1.98 W	0.00	905 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	7.04	2.00 W	0.00	906 of 2226 - Sale <sup>25</sup>
	0.650	5.12	07/10/20	7.00	1.88 W	0.00	907 of 2226 - Sale <sup>25</sup>
	0.690	5.44	07/10/20	7.45	2.01 W	0.00	908 of 2226 - Sale <sup>25</sup>
	0.690	5.44	07/10/20	7.38	1.94 W	0.00	909 of 2226 - Sale <sup>25</sup>
	0.740	5.83	07/10/20	8.20	2.37 W	0.00	910 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	8.55	2.40 W	0.00	911 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/10/20	9.47	2.69 W	0.00	912 of 2226 - Sale <sup>25</sup>
	0.910	7.17	07/10/20	9.77	2.60 W	0.00	913 of 2226 - Sale <sup>25</sup>
	0.910	7.17	07/10/20	9.76	2.59 W	0.00	914 of 2226 - Sale <sup>25</sup>
	0.920	7.25	07/10/20	10.07	2.82 W	0.00	915 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.68	2.88 W	0.00	916 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.70	2.90 W	0.00	917 of 2226 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.990	7.80	07/10/20	10.66	2.86 W	0.00	918 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.68	2.88 W	0.00	919 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.68	2.88 W	0.00	920 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.66	2.86 W	0.00	921 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.63	2.83 W	0.00	922 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.66	2.86 W	0.00	923 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.67	2.87 W	0.00	924 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.65	2.85 W	0.00	925 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.60	2.80 W	0.00	926 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/10/20	10.60	2.80 W	0.00	927 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.03	3.15 W	0.00	928 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.79	2.91 W	0.00	929 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.79	2.91 W	0.00	930 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.76	2.88 W	0.00	931 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.77	2.89 W	0.00	932 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.77	2.89 W	0.00	933 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.75	2.87 W	0.00	934 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.77	2.89 W	0.00	935 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.72	2.84 W	0.00	936 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.73	2.85 W	0.00	937 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.74	2.86 W	0.00	938 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.76	2.88 W	0.00	939 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.74	2.86 W	0.00	940 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.73	2.85 W	0.00	941 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.70	2.82 W	0.00	942 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.73	2.85 W	0.00	943 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.02	3.14 W	0.00	944 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.02	3.14 W	0.00	945 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.98	3.10 W	0.00	946 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.98	3.10 W	0.00	947 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.98	3.10 W	0.00	948 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.18	3.30 W	0.00	949 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.20	3.32 W	0.00	950 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.11	3.23 W	0.00	951 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/10/20	11.11	3.23 W	0.00	952 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.13	3.25 W	0.00	953 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.96	3.08 W	0.00	954 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.96	3.08 W	0.00	955 of 2226 - Sale <sup>25</sup>
	1.080	8.51	07/10/20	11.89	3.38 W	0.00	956 of 2226 - Sale <sup>25</sup>
	1.260	9.93	07/10/20	13.94	4.01 W	0.00	957 of 2226 - Sale <sup>25</sup>
	1.260	9.93	07/10/20	13.70	3.77 W	0.00	958 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/10/20	19.56	5.53 W	0.00	959 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/10/20	19.78	5.75 W	0.00	960 of 2226 - Sale <sup>25</sup>
	1.990	15.68	07/10/20	21.55	5.87 W	0.00	961 of 2226 - Sale <sup>25</sup>
	1.990	15.68	07/10/20	21.58	5.90 W	0.00	962 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.59	5.83 W	0.00	963 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.52	5.76 W	0.00	964 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	22.32	6.56 W	0.00	965 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	22.50	6.74 W	0.00	966 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	22.43	6.67 W	0.00	967 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	22.24	6.48 W	0.00	968 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	21.90	6.14 W	0.00	969 of 2226 - Sale <sup>25</sup>
	2.140	16.86	07/10/20	23.63	6.77 W	0.00	970 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/10/20	24.34	6.85 W	0.00	971 of 2226 - Sale <sup>25</sup>
	2.240	17.65	07/10/20	25.12	7.47 W	0.00	972 of 2226 - Sale <sup>25</sup>
	2.760	21.75	07/10/20	30.53	8.78 W	0.00	973 of 2226 - Sale <sup>25</sup>
	2.920	23.01	07/10/20	31.77	8.76 W	0.00	974 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	33.01	9.37 W	0.00	975 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	33.03	9.39 W	0.00	976 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	32.89	9.25 W	0.00	977 of 2226 - Sale <sup>25</sup>
	3.360	26.48	07/10/20	36.39	9.91 W	0.00	978 of 2226 - Sale <sup>25</sup>
	3.740	29.47	07/10/20	40.71	11.24 W	0.00	979 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	43.26	11.74 W	0.00	980 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	43.88	12.36 W	0.00	981 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	44.27	12.75 W	0.00	982 of 2226 - Sale <sup>25</sup>
	4.080	32.15	07/10/20	45.04	12.89 W	0.00	983 of 2226 - Sale <sup>25</sup>
	4.220	33.25	07/10/20	46.41	13.16 W	0.00	984 of 2226 - Sale <sup>25</sup>
	4.640	36.56	07/10/20	50.24	13.68 W	0.00	985 of 2226 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
5.650		44.52	07/10/20	61.20	16.68 W	0.00	986 of 2226 - Sale <sup>25</sup>
5.780		45.55	07/10/20	63.29	17.74 W	0.00	987 of 2226 - Sale <sup>25</sup>
5.780		45.55	07/10/20	64.07	18.52 W	0.00	988 of 2226 - Sale <sup>25</sup>
6.000		47.28	07/10/20	66.30	19.02 W	0.00	989 of 2226 - Sale <sup>25</sup>
6.000		47.28	07/10/20	65.74	18.46 W	0.00	990 of 2226 - Sale <sup>25</sup>
6.000		47.28	07/10/20	65.76	18.48 W	0.00	991 of 2226 - Sale <sup>25</sup>
8.000		63.04	07/10/20	88.65	25.61 W	0.00	992 of 2226 - Sale <sup>25</sup>
8.000		63.04	07/10/20	87.91	24.87 W	0.00	993 of 2226 - Sale <sup>25</sup>
9.080		71.55	07/10/20	98.87	27.32 W	0.00	994 of 2226 - Sale <sup>25</sup>
10.000		78.80	07/10/20	109.46	30.66 W	0.00	995 of 2226 - Sale <sup>25</sup>
0.420		3.31	07/10/20	4.66	1.35 W	0.00	996 of 2226 - Sale <sup>25</sup>
0.780		6.15	07/10/20	8.82	2.67 W	0.00	997 of 2226 - Sale <sup>25</sup>
0.860		6.78	07/10/20	9.72	2.94 W	0.00	998 of 2226 - Sale <sup>25</sup>
0.920		7.25	07/10/20	10.49	3.24 W	0.00	999 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.31	3.43 W	0.00	1000 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.37	3.49 W	0.00	1001 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.37	3.49 W	0.00	1002 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	11.31	3.43 W	0.00	1003 of 2226 - Sale <sup>25</sup>
1.340		10.56	07/10/20	15.35	4.79 W	0.00	1004 of 2226 - Sale <sup>25</sup>
1.640		12.92	07/10/20	18.58	5.66 W	0.00	1005 of 2226 - Sale <sup>25</sup>
1.660		13.08	07/10/20	19.02	5.94 W	0.00	1006 of 2226 - Sale <sup>25</sup>
2.000		15.76	07/10/20	22.64	6.88 W	0.00	1007 of 2226 - Sale <sup>25</sup>
2.240		17.65	07/10/20	24.79	7.14 W	0.00	1008 of 2226 - Sale <sup>25</sup>
2.360		18.60	07/10/20	26.71	8.11 W	0.00	1009 of 2226 - Sale <sup>25</sup>
2.920		23.01	07/10/20	32.36	9.35 W	0.00	1010 of 2226 - Sale <sup>25</sup>
3.220		25.37	07/10/20	36.65	11.28 W	0.00	1011 of 2226 - Sale <sup>25</sup>
3.780		29.79	07/10/20	42.97	13.18 W	0.00	1012 of 2226 - Sale <sup>25</sup>
4.080		32.15	07/10/20	46.42	14.27 W	0.00	1013 of 2226 - Sale <sup>25</sup>
8.080		63.67	07/10/20	89.51	25.84 W	0.00	1014 of 2226 - Sale <sup>25</sup>
0.030		0.24	07/10/20	0.35	0.11 W	0.00	1015 of 2226 - Sale <sup>25</sup>
0.220		1.73	07/10/20	2.54	0.81 W	0.00	1016 of 2226 - Sale <sup>25</sup>
0.310		2.44	07/10/20	3.59	1.15 W	0.00	1017 of 2226 - Sale <sup>25</sup>
0.350		2.76	07/10/20	4.06	1.30 W	0.00	1018 of 2226 - Sale <sup>25</sup>
0.690		5.44	07/10/20	8.00	2.56 W	0.00	1019 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.690	5.44	07/10/20	7.97	2.53 W	0.00	1020 of 2226 - Sale <sup>25</sup>
	0.800	6.30	07/10/20	9.26	2.96 W	0.00	1021 of 2226 - Sale <sup>25</sup>
	0.870	6.86	07/10/20	10.03	3.17 W	0.00	1022 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.73	3.85 W	0.00	1023 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.72	3.84 W	0.00	1024 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.73	3.85 W	0.00	1025 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.52	3.64 W	0.00	1026 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.63	3.75 W	0.00	1027 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.65	3.77 W	0.00	1028 of 2226 - Sale <sup>25</sup>
	1.420	11.19	07/10/20	16.52	5.33 W	0.00	1029 of 2226 - Sale <sup>25</sup>
	1.650	13.00	07/10/20	19.22	6.22 W	0.00	1030 of 2226 - Sale <sup>25</sup>
	1.690	13.32	07/10/20	19.55	6.23 W	0.00	1031 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.08	7.32 W	0.00	1032 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.23	7.47 W	0.00	1033 of 2226 - Sale <sup>25</sup>
	2.350	18.52	07/10/20	27.33	8.81 W	0.00	1034 of 2226 - Sale <sup>25</sup>
	2.690	21.20	07/10/20	31.05	9.85 W	0.00	1035 of 2226 - Sale <sup>25</sup>
	2.900	22.85	07/10/20	34.03	11.18 W	0.00	1036 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.74	11.10 W	0.00	1037 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.64	11.00 W	0.00	1038 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	46.46	14.94 W	0.00	1039 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	46.48	14.96 W	0.00	1040 of 2226 - Sale <sup>25</sup>
	4.310	33.96	07/10/20	49.87	15.91 W	0.00	1041 of 2226 - Sale <sup>25</sup>
	4.310	33.96	07/10/20	49.78	15.82 W	0.00	1042 of 2226 - Sale <sup>25</sup>
	4.420	34.83	07/10/20	51.05	16.22 W	0.00	1043 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	69.27	21.99 W	0.00	1044 of 2226 - Sale <sup>25</sup>
	0.100	0.79	07/10/20	1.16	0.37 W	0.00	1045 of 2226 - Sale <sup>25</sup>
	0.340	2.68	07/10/20	3.93	1.25 W	0.00	1046 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/10/20	5.15	1.68 W	0.00	1047 of 2226 - Sale <sup>25</sup>
	0.660	5.20	07/10/20	7.59	2.39 W	0.00	1048 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.65	3.77 W	0.00	1049 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.58	3.70 W	0.00	1050 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.60	3.72 W	0.00	1051 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.52	3.64 W	0.00	1052 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.52	3.64 W	0.00	1053 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/10/20	11.71	3.83 W	0.00	1054 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.72	3.84 W	0.00	1055 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.60	3.72 W	0.00	1056 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.61	3.73 W	0.00	1057 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.50	3.62 W	0.00	1058 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.50	3.62 W	0.00	1059 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	11.46	3.58 W	0.00	1060 of 2226 - Sale <sup>25</sup>
	1.200	9.46	07/10/20	13.82	4.36 W	0.00	1061 of 2226 - Sale <sup>25</sup>
	1.350	10.64	07/10/20	15.50	4.86 W	0.00	1062 of 2226 - Sale <sup>25</sup>
	1.560	12.29	07/10/20	18.17	5.88 W	0.00	1063 of 2226 - Sale <sup>25</sup>
	1.670	13.16	07/10/20	19.46	6.30 W	0.00	1064 of 2226 - Sale <sup>25</sup>
	1.900	14.97	07/10/20	21.81	6.84 W	0.00	1065 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.10	7.34 W	0.00	1066 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	23.20	7.44 W	0.00	1067 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/10/20	22.99	7.23 W	0.00	1068 of 2226 - Sale <sup>25</sup>
	2.360	18.60	07/10/20	27.63	9.03 W	0.00	1069 of 2226 - Sale <sup>25</sup>
	2.640	20.80	07/10/20	30.91	10.11 W	0.00	1070 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.55	10.91 W	0.00	1071 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.86	11.22 W	0.00	1072 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.73	11.09 W	0.00	1073 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.68	11.04 W	0.00	1074 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	34.49	10.85 W	0.00	1075 of 2226 - Sale <sup>25</sup>
	3.870	30.49	07/10/20	44.59	14.10 W	0.00	1076 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	46.57	15.05 W	0.00	1077 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	46.84	15.32 W	0.00	1078 of 2226 - Sale <sup>25</sup>
	6.780	53.42	07/10/20	77.87	24.45 W	0.00	1079 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/10/20	81.33	26.17 W	0.00	1080 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/10/20	80.76	25.60 W	0.00	1081 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/10/20	91.94	28.90 W	0.00	1082 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/10/20	105.41	34.49 W	0.00	1083 of 2226 - Sale <sup>25</sup>
	11.000	86.68	07/10/20	127.31	40.63 W	0.00	1084 of 2226 - Sale <sup>25</sup>
	11.800	92.98	07/10/20	135.85	42.87 W	0.00	1085 of 2226 - Sale <sup>25</sup>
	0.090	0.71	07/10/20	0.74	0.03 W	0.00	1086 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/10/20	6.47	0.32 W	0.00	1087 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/10/20	8.47	0.59 W	0.00	1088 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	8.47	0.59 W	0.00	1089 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	8.29	0.41 W	0.00	1090 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	8.24	0.36 W	0.00	1091 of 2226 - Sale <sup>25</sup>
	1.580	12.45	07/10/20	13.32	0.87 W	0.00	1092 of 2226 - Sale <sup>25</sup>
	1.580	12.45	07/10/20	13.10	0.65 W	0.00	1093 of 2226 - Sale <sup>25</sup>
	2.360	18.60	07/10/20	19.41	0.81 W	0.00	1094 of 2226 - Sale <sup>25</sup>
	2.640	20.80	07/10/20	21.75	0.95 W	0.00	1095 of 2226 - Sale <sup>25</sup>
	3.220	25.37	07/10/20	26.56	1.19 W	0.00	1096 of 2226 - Sale <sup>25</sup>
	3.420	26.95	07/10/20	28.34	1.39 W	0.00	1097 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/10/20	32.89	1.37 W	0.00	1098 of 2226 - Sale <sup>25</sup>
	4.090	32.23	07/10/20	33.66	1.43 W	0.00	1099 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/10/20	49.39	2.11 W	0.00	1100 of 2226 - Sale <sup>25</sup>
	6.910	54.45	07/10/20	56.90	2.45 W	0.00	1101 of 2226 - Sale <sup>25</sup>
	9.910	78.09	07/10/20	81.62	3.53 W	0.00	1102 of 2226 - Sale <sup>25</sup>
	10.780	84.94	07/10/20	88.77	3.83 W	0.00	1103 of 2226 - Sale <sup>25</sup>
	11.580	91.24	07/10/20	95.27	4.03 W	0.00	1104 of 2226 - Sale <sup>25</sup>
	11.970	94.32	07/10/20	98.08	3.76 W	0.00	1105 of 2226 - Sale <sup>25</sup>
	13.000	102.43	07/10/20	107.05	4.62 W	0.00	1106 of 2226 - Sale <sup>25</sup>
	23.310	183.67	07/10/20	191.92	8.25 W	0.00	1107 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/10/20	30.98	7.34 W	0.00	1108 of 2226 - Sale <sup>25</sup>
	0.400	3.15	07/10/20	4.12	0.97 W	0.00	1109 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/10/20	5.74	1.33 W	0.00	1110 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/10/20	6.58	1.54 W	0.00	1111 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	10.26	2.38 W	0.00	1112 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	8.86	0.98 W	0.00	1113 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	8.87	0.99 W	0.00	1114 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	8.83	0.95 W	0.00	1115 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/10/20	8.83	0.95 W	0.00	1116 of 2226 - Sale <sup>25</sup>
	2.330	18.36	07/10/20	20.57	2.21 W	0.00	1117 of 2226 - Sale <sup>25</sup>
	6.640	52.32	07/10/20	58.59	6.27 W	0.00	1118 of 2226 - Sale <sup>25</sup>
	8.180	64.45	07/10/20	84.09	19.64 W	0.00	1119 of 2226 - Sale <sup>25</sup>
	10.140	79.90	07/10/20	90.16	10.26 W	0.00	1120 of 2226 - Sale <sup>25</sup>
	10.910	85.96	07/10/20	97.06	11.10 W	0.00	1121 of 2226 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
11.310		89.12	07/10/20	100.61	11.49 W	0.00	1122 of 2226 - Sale <sup>25</sup>
3.550		27.97	07/10/20	28.95	0.98 W	0.00	1123 of 2226 - Sale <sup>25</sup>
6.450		50.82	07/10/20	52.61	1.79 W	0.00	1124 of 2226 - Sale <sup>25</sup>
0.070		0.55	07/10/20	0.57	0.02 W	0.00	1125 of 2226 - Sale <sup>25</sup>
1.450		11.43	07/10/20	11.83	0.40 W	0.00	1126 of 2226 - Sale <sup>25</sup>
2.930		23.09	07/10/20	23.92	0.83 W	0.00	1127 of 2226 - Sale <sup>25</sup>
3.670		28.92	07/10/20	30.07	1.15 W	0.00	1128 of 2226 - Sale <sup>25</sup>
4.030		31.75	07/10/20	32.86	1.11 W	0.00	1129 of 2226 - Sale <sup>25</sup>
8.000		63.03	07/10/20	65.22	2.19 W	0.00	1130 of 2226 - Sale <sup>25</sup>
10.970		86.44	07/10/20	90.01	3.57 W	0.00	1131 of 2226 - Sale <sup>25</sup>
18.880		148.76	07/10/20	154.90	6.14 W	0.00	1132 of 2226 - Sale <sup>25</sup>
0.190		1.50	07/10/20	1.52	0.02 W	0.00	1133 of 2226 - Sale <sup>25</sup>
2.260		17.81	07/10/20	18.08	0.27 W	0.00	1134 of 2226 - Sale <sup>25</sup>
2.450		19.30	07/10/20	19.64	0.34 W	0.00	1135 of 2226 - Sale <sup>25</sup>
5.100		40.19	07/10/20	40.81	0.62 W	0.00	1136 of 2226 - Sale <sup>25</sup>
0.190		1.50	07/10/20	1.53	0.03 W	0.00	1137 of 2226 - Sale <sup>25</sup>
0.830		6.54	07/10/20	6.69	0.15 W	0.00	1138 of 2226 - Sale <sup>25</sup>
1.530		12.06	07/10/20	12.35	0.29 W	0.00	1139 of 2226 - Sale <sup>25</sup>
7.450		58.70	07/10/20	60.52	1.82 W	0.00	1140 of 2226 - Sale <sup>25</sup>
1.000		7.88	07/10/20	8.01	0.13 W	0.00	1141 of 2226 - Sale <sup>25</sup>
0.030		0.24	07/13/20	0.25	0.01 W	0.00	1142 of 2226 - Sale <sup>25</sup>
0.970		7.64	07/13/20	7.78	0.14 W	0.00	1143 of 2226 - Sale <sup>25</sup>
0.500		3.93	07/13/20	4.18	0.25 W	0.00	1144 of 2226 - Sale <sup>25</sup>
0.100		0.79	07/13/20	0.83	0.04 W	0.00	1145 of 2226 - Sale <sup>25</sup>
0.100		0.79	07/13/20	0.84	0.05 W	0.00	1146 of 2226 - Sale <sup>25</sup>
0.100		0.79	07/13/20	0.84	0.05 W	0.00	1147 of 2226 - Sale <sup>25</sup>
0.100		0.78	07/13/20	0.86	0.08 W	0.00	1148 of 2226 - Sale <sup>25</sup>
1.000		7.89	07/13/20	8.48	0.59 W	0.00	1149 of 2226 - Sale <sup>25</sup>
0.090		0.71	07/13/20	0.76	0.05 W	0.00	1150 of 2226 - Sale <sup>25</sup>
0.910		7.18	07/13/20	7.65	0.47 W	0.00	1151 of 2226 - Sale <sup>25</sup>
0.910		7.18	07/13/20	7.69	0.51 W	0.00	1152 of 2226 - Sale <sup>25</sup>
1.000		7.89	07/13/20	8.47	0.58 W	0.00	1153 of 2226 - Sale <sup>25</sup>
1.000		7.89	07/13/20	8.40	0.51 W	0.00	1154 of 2226 - Sale <sup>25</sup>
1.000		7.89	07/13/20	8.43	0.54 W	0.00	1155 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.89	07/13/20	8.44	0.55 W	0.00	1156 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	8.44	0.55 W	0.00	1157 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	8.44	0.55 W	0.00	1158 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	25.36	1.69 W	0.00	1159 of 2226 - Sale <sup>25</sup>
	3.090	24.38	07/13/20	26.12	1.74 W	0.00	1160 of 2226 - Sale <sup>25</sup>
	4.125	32.55	07/13/20	35.04	2.49 W	0.00	1161 of 2226 - Sale <sup>25</sup>
	4.780	37.71	07/13/20	40.40	2.69 W	0.00	1162 of 2226 - Sale <sup>25</sup>
	6.090	48.05	07/13/20	51.66	3.61 W	0.00	1163 of 2226 - Sale <sup>25</sup>
	9.550	75.35	07/13/20	80.99	5.64 W	0.00	1164 of 2226 - Sale <sup>25</sup>
	0.160	1.26	07/13/20	1.44	0.18 W	0.00	1165 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	9.05	1.16 W	0.00	1166 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	9.14	1.25 W	0.00	1167 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	9.13	1.24 W	0.00	1168 of 2226 - Sale <sup>25</sup>
	2.060	16.25	07/13/20	18.52	2.27 W	0.00	1169 of 2226 - Sale <sup>25</sup>
	2.140	16.88	07/13/20	19.26	2.38 W	0.00	1170 of 2226 - Sale <sup>25</sup>
	2.540	20.04	07/13/20	22.84	2.80 W	0.00	1171 of 2226 - Sale <sup>25</sup>
	2.580	20.36	07/13/20	23.60	3.24 W	0.00	1172 of 2226 - Sale <sup>25</sup>
	2.640	20.83	07/13/20	23.82	2.99 W	0.00	1173 of 2226 - Sale <sup>25</sup>
	2.780	21.93	07/13/20	25.08	3.15 W	0.00	1174 of 2226 - Sale <sup>25</sup>
	2.860	22.56	07/13/20	26.15	3.59 W	0.00	1175 of 2226 - Sale <sup>25</sup>
	2.895	22.84	07/13/20	26.40	3.56 W	0.00	1176 of 2226 - Sale <sup>25</sup>
	3.160	24.93	07/13/20	28.42	3.49 W	0.00	1177 of 2226 - Sale <sup>25</sup>
	4.200	33.14	07/13/20	37.95	4.81 W	0.00	1178 of 2226 - Sale <sup>25</sup>
	4.560	35.98	07/13/20	41.01	5.03 W	0.00	1179 of 2226 - Sale <sup>25</sup>
	4.780	37.71	07/13/20	43.56	5.85 W	0.00	1180 of 2226 - Sale <sup>25</sup>
	5.220	41.18	07/13/20	46.92	5.74 W	0.00	1181 of 2226 - Sale <sup>25</sup>
	5.220	41.18	07/13/20	47.64	6.46 W	0.00	1182 of 2226 - Sale <sup>25</sup>
	6.000	47.34	07/13/20	54.27	6.93 W	0.00	1183 of 2226 - Sale <sup>25</sup>
	6.360	50.18	07/13/20	57.16	6.98 W	0.00	1184 of 2226 - Sale <sup>25</sup>
	6.360	50.18	07/13/20	58.10	7.92 W	0.00	1185 of 2226 - Sale <sup>25</sup>
	6.640	52.39	07/13/20	60.08	7.69 W	0.00	1186 of 2226 - Sale <sup>25</sup>
	6.780	53.49	07/13/20	62.05	8.56 W	0.00	1187 of 2226 - Sale <sup>25</sup>
	6.820	53.81	07/13/20	61.54	7.73 W	0.00	1188 of 2226 - Sale <sup>25</sup>
	6.840	53.97	07/13/20	61.52	7.55 W	0.00	1189 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.720	60.91	07/13/20	69.77	8.86 W	0.00	1190 of 2226 - Sale <sup>25</sup>
	7.840	61.86	07/13/20	70.55	8.69 W	0.00	1191 of 2226 - Sale <sup>25</sup>
	9.260	73.06	07/13/20	83.26	10.20 W	0.00	1192 of 2226 - Sale <sup>25</sup>
	10.000	78.90	07/13/20	91.25	12.35 W	0.00	1193 of 2226 - Sale <sup>25</sup>
	11.640	91.84	07/13/20	106.37	14.53 W	0.00	1194 of 2226 - Sale <sup>25</sup>
	14.620	115.35	07/13/20	131.92	16.57 W	0.00	1195 of 2226 - Sale <sup>25</sup>
	18.780	148.17	07/13/20	171.25	23.08 W	0.00	1196 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/13/20	1.25	0.15 W	0.00	1197 of 2226 - Sale <sup>25</sup>
	1.220	9.63	07/13/20	10.99	1.36 W	0.00	1198 of 2226 - Sale <sup>25</sup>
	1.920	15.15	07/13/20	17.35	2.20 W	0.00	1199 of 2226 - Sale <sup>25</sup>
	2.325	18.34	07/13/20	21.20	2.86 W	0.00	1200 of 2226 - Sale <sup>25</sup>
	2.840	22.41	07/13/20	25.61	3.20 W	0.00	1201 of 2226 - Sale <sup>25</sup>
	2.860	22.56	07/13/20	25.85	3.29 W	0.00	1202 of 2226 - Sale <sup>25</sup>
	4.260	33.61	07/13/20	38.38	4.77 W	0.00	1203 of 2226 - Sale <sup>25</sup>
	4.675	36.88	07/13/20	42.21	5.33 W	0.00	1204 of 2226 - Sale <sup>25</sup>
	4.780	37.71	07/13/20	43.10	5.39 W	0.00	1205 of 2226 - Sale <sup>25</sup>
	8.080	63.75	07/13/20	72.79	9.04 W	0.00	1206 of 2226 - Sale <sup>25</sup>
	9.740	76.85	07/13/20	87.75	10.90 W	0.00	1207 of 2226 - Sale <sup>25</sup>
	16.000	126.24	07/13/20	144.17	17.93 W	0.00	1208 of 2226 - Sale <sup>25</sup>
	24.160	190.62	07/13/20	217.91	27.29 W	0.00	1209 of 2226 - Sale <sup>25</sup>
	0.320	2.52	07/13/20	3.61	1.09 W	0.00	1210 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	4.09	1.25 W	0.00	1211 of 2226 - Sale <sup>25</sup>
	0.660	5.21	07/13/20	7.45	2.24 W	0.00	1212 of 2226 - Sale <sup>25</sup>
	0.860	6.79	07/13/20	9.71	2.92 W	0.00	1213 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.37	3.48 W	0.00	1214 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.29	3.40 W	0.00	1215 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.29	3.40 W	0.00	1216 of 2226 - Sale <sup>25</sup>
	1.120	8.84	07/13/20	12.63	3.79 W	0.00	1217 of 2226 - Sale <sup>25</sup>
	1.340	10.57	07/13/20	15.13	4.56 W	0.00	1218 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	22.69	6.91 W	0.00	1219 of 2226 - Sale <sup>25</sup>
	2.140	16.88	07/13/20	24.16	7.28 W	0.00	1220 of 2226 - Sale <sup>25</sup>
	2.880	22.72	07/13/20	32.51	9.79 W	0.00	1221 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	33.97	10.30 W	0.00	1222 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	33.86	10.19 W	0.00	1223 of 2226 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.665	36.81	07/13/20	52.70	15.89 W	0.00	1224 of 2226 - Sale <sup>25</sup>
	6.000	47.34	07/13/20	68.12	20.78 W	0.00	1225 of 2226 - Sale <sup>25</sup>
	0.120	0.95	07/13/20	1.37	0.42 W	0.00	1226 of 2226 - Sale <sup>25</sup>
	0.580	4.58	07/13/20	6.68	2.10 W	0.00	1227 of 2226 - Sale <sup>25</sup>
	0.690	5.44	07/13/20	7.97	2.53 W	0.00	1228 of 2226 - Sale <sup>25</sup>
	0.880	6.94	07/13/20	10.16	3.22 W	0.00	1229 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.57	3.68 W	0.00	1230 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.56	3.67 W	0.00	1231 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.53	3.64 W	0.00	1232 of 2226 - Sale <sup>25</sup>
	1.880	14.83	07/13/20	21.70	6.87 W	0.00	1233 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	22.80	7.02 W	0.00	1234 of 2226 - Sale <sup>25</sup>
	2.850	22.49	07/13/20	32.96	10.47 W	0.00	1235 of 2226 - Sale <sup>25</sup>
	3.140	24.77	07/13/20	35.78	11.01 W	0.00	1236 of 2226 - Sale <sup>25</sup>
	10.000	78.90	07/13/20	115.67	36.77 W	0.00	1237 of 2226 - Sale <sup>25</sup>
	0.220	1.74	07/13/20	2.47	0.73 W	0.00	1238 of 2226 - Sale <sup>25</sup>
	0.350	2.76	07/13/20	3.93	1.17 W	0.00	1239 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	4.00	1.16 W	0.00	1240 of 2226 - Sale <sup>25</sup>
	0.640	5.05	07/13/20	7.15	2.10 W	0.00	1241 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.16	3.27 W	0.00	1242 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.14	3.25 W	0.00	1243 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.13	3.24 W	0.00	1244 of 2226 - Sale <sup>25</sup>
	1.220	9.63	07/13/20	13.64	4.01 W	0.00	1245 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	22.44	6.66 W	0.00	1246 of 2226 - Sale <sup>25</sup>
	2.430	19.17	07/13/20	27.23	8.06 W	0.00	1247 of 2226 - Sale <sup>25</sup>
	2.640	20.83	07/13/20	29.35	8.52 W	0.00	1248 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	33.43	9.76 W	0.00	1249 of 2226 - Sale <sup>25</sup>
	3.360	26.51	07/13/20	37.29	10.78 W	0.00	1250 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	56.19	16.74 W	0.00	1251 of 2226 - Sale <sup>25</sup>
	5.320	41.97	07/13/20	59.30	17.33 W	0.00	1252 of 2226 - Sale <sup>25</sup>
	6.780	53.49	07/13/20	75.57	22.08 W	0.00	1253 of 2226 - Sale <sup>25</sup>
	7.000	55.23	07/13/20	78.64	23.41 W	0.00	1254 of 2226 - Sale <sup>25</sup>
	10.000	78.90	07/13/20	112.44	33.54 W	0.00	1255 of 2226 - Sale <sup>25</sup>
	0.220	1.74	07/13/20	2.00	0.26 W	0.00	1256 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	3.25	0.41 W	0.00	1257 of 2226 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.640	5.05	07/13/20	5.73	0.68 W	0.00	1258 of 2226 - Sale <sup>25</sup>
	0.860	6.79	07/13/20	7.77	0.98 W	0.00	1259 of 2226 - Sale <sup>25</sup>
	1.220	9.63	07/13/20	11.20	1.57 W	0.00	1260 of 2226 - Sale <sup>25</sup>
	1.360	10.73	07/13/20	12.42	1.69 W	0.00	1261 of 2226 - Sale <sup>25</sup>
	1.440	11.36	07/13/20	13.28	1.92 W	0.00	1262 of 2226 - Sale <sup>25</sup>
	1.640	12.94	07/13/20	14.82	1.88 W	0.00	1263 of 2226 - Sale <sup>25</sup>
	2.020	15.94	07/13/20	18.54	2.60 W	0.00	1264 of 2226 - Sale <sup>25</sup>
	2.465	19.45	07/13/20	22.26	2.81 W	0.00	1265 of 2226 - Sale <sup>25</sup>
	2.740	21.62	07/13/20	24.54	2.92 W	0.00	1266 of 2226 - Sale <sup>25</sup>
	3.150	24.85	07/13/20	28.83	3.98 W	0.00	1267 of 2226 - Sale <sup>25</sup>
	3.220	25.40	07/13/20	29.72	4.32 W	0.00	1268 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	36.10	4.54 W	0.00	1269 of 2226 - Sale <sup>25</sup>
	4.090	32.27	07/13/20	37.44	5.17 W	0.00	1270 of 2226 - Sale <sup>25</sup>
	4.140	32.66	07/13/20	37.36	4.70 W	0.00	1271 of 2226 - Sale <sup>25</sup>
	4.810	37.95	07/13/20	43.77	5.82 W	0.00	1272 of 2226 - Sale <sup>25</sup>
	4.860	38.34	07/13/20	43.89	5.55 W	0.00	1273 of 2226 - Sale <sup>25</sup>
	4.920	38.82	07/13/20	45.42	6.60 W	0.00	1274 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	45.14	5.69 W	0.00	1275 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	44.77	5.32 W	0.00	1276 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	44.78	5.33 W	0.00	1277 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	45.16	5.71 W	0.00	1278 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	45.81	6.36 W	0.00	1279 of 2226 - Sale <sup>25</sup>
	5.140	40.55	07/13/20	46.40	5.85 W	0.00	1280 of 2226 - Sale <sup>25</sup>
	5.620	44.34	07/13/20	50.35	6.01 W	0.00	1281 of 2226 - Sale <sup>25</sup>
	6.000	47.34	07/13/20	55.37	8.03 W	0.00	1282 of 2226 - Sale <sup>25</sup>
	6.090	48.05	07/13/20	55.17	7.12 W	0.00	1283 of 2226 - Sale <sup>25</sup>
	6.640	52.39	07/13/20	59.95	7.56 W	0.00	1284 of 2226 - Sale <sup>25</sup>
	6.640	52.39	07/13/20	60.74	8.35 W	0.00	1285 of 2226 - Sale <sup>25</sup>
	8.780	69.27	07/13/20	80.36	11.09 W	0.00	1286 of 2226 - Sale <sup>25</sup>
	13.780	108.72	07/13/20	127.18	18.46 W	0.00	1287 of 2226 - Sale <sup>25</sup>
	13.880	109.51	07/13/20	126.30	16.79 W	0.00	1288 of 2226 - Sale <sup>25</sup>
	19.540	154.17	07/13/20	179.38	25.21 W	0.00	1289 of 2226 - Sale <sup>25</sup>
	24.070	189.91	07/13/20	220.31	30.40 W	0.00	1290 of 2226 - Sale <sup>25</sup>
	26.770	211.21	07/13/20	244.95	33.74 W	0.00	1291 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.78	07/13/20	18.44	2.66 W	0.00	1292 of 2226 - Sale <sup>25</sup>
	2.090	16.49	07/13/20	19.26	2.77 W	0.00	1293 of 2226 - Sale <sup>25</sup>
	8.000	63.12	07/13/20	73.47	10.35 W	0.00	1294 of 2226 - Sale <sup>25</sup>
	0.120	0.95	07/13/20	1.35	0.40 W	0.00	1295 of 2226 - Sale <sup>25</sup>
	0.125	0.99	07/13/20	1.39	0.40 W	0.00	1296 of 2226 - Sale <sup>25</sup>
	0.350	2.76	07/13/20	3.93	1.17 W	0.00	1297 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	4.05	1.21 W	0.00	1298 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	4.16	1.32 W	0.00	1299 of 2226 - Sale <sup>25</sup>
	0.460	3.63	07/13/20	5.09	1.46 W	0.00	1300 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	6.05	1.79 W	0.00	1301 of 2226 - Sale <sup>25</sup>
	0.580	4.58	07/13/20	6.66	2.08 W	0.00	1302 of 2226 - Sale <sup>25</sup>
	0.640	5.05	07/13/20	7.26	2.21 W	0.00	1303 of 2226 - Sale <sup>25</sup>
	0.640	5.05	07/13/20	7.36	2.31 W	0.00	1304 of 2226 - Sale <sup>25</sup>
	0.700	5.52	07/13/20	7.80	2.28 W	0.00	1305 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.73	2.58 W	0.00	1306 of 2226 - Sale <sup>25</sup>
	0.790	6.23	07/13/20	8.94	2.71 W	0.00	1307 of 2226 - Sale <sup>25</sup>
	0.860	6.79	07/13/20	9.55	2.76 W	0.00	1308 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.28	3.39 W	0.00	1309 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.27	3.38 W	0.00	1310 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.44	3.55 W	0.00	1311 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.44	3.55 W	0.00	1312 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.45	3.56 W	0.00	1313 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.27	3.38 W	0.00	1314 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.22	3.33 W	0.00	1315 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.21	3.32 W	0.00	1316 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.00	3.11 W	0.00	1317 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.02	3.13 W	0.00	1318 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.02	3.13 W	0.00	1319 of 2226 - Sale <sup>25</sup>
	1.360	10.73	07/13/20	15.55	4.82 W	0.00	1320 of 2226 - Sale <sup>25</sup>
	1.440	11.36	07/13/20	16.15	4.79 W	0.00	1321 of 2226 - Sale <sup>25</sup>
	1.440	11.36	07/13/20	16.06	4.70 W	0.00	1322 of 2226 - Sale <sup>25</sup>
	1.780	14.04	07/13/20	20.01	5.97 W	0.00	1323 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	22.62	6.84 W	0.00	1324 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	23.02	7.24 W	0.00	1325 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.78	07/13/20	19.45	3.67 W	0.00	1360 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	19.45	3.67 W	0.00	1361 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	19.53	3.75 W	0.00	1362 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	29.16	5.49 W	0.00	1363 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	29.38	5.71 W	0.00	1364 of 2226 - Sale <sup>25</sup>
	3.860	30.45	07/13/20	37.44	6.99 W	0.00	1365 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	38.53	6.97 W	0.00	1366 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	39.00	7.44 W	0.00	1367 of 2226 - Sale <sup>25</sup>
	4.050	31.95	07/13/20	38.93	6.98 W	0.00	1368 of 2226 - Sale <sup>25</sup>
	5.090	40.16	07/13/20	48.95	8.79 W	0.00	1369 of 2226 - Sale <sup>25</sup>
	9.000	71.01	07/13/20	87.71	16.70 W	0.00	1370 of 2226 - Sale <sup>25</sup>
	0.050	0.39	07/13/20	0.51	0.12 W	0.00	1371 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/13/20	1.43	0.33 W	0.00	1372 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/13/20	4.39	1.08 W	0.00	1373 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/13/20	4.47	1.16 W	0.00	1374 of 2226 - Sale <sup>25</sup>
	0.490	3.87	07/13/20	5.06	1.19 W	0.00	1375 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	5.48	1.22 W	0.00	1376 of 2226 - Sale <sup>25</sup>
	0.580	4.58	07/13/20	6.17	1.59 W	0.00	1377 of 2226 - Sale <sup>25</sup>
	0.860	6.79	07/13/20	9.18	2.39 W	0.00	1378 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.18	2.29 W	0.00	1379 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.19	2.30 W	0.00	1380 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.30	2.41 W	0.00	1381 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.29	2.40 W	0.00	1382 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.27	2.38 W	0.00	1383 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.19	2.30 W	0.00	1384 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.47	2.58 W	0.00	1385 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.63	2.74 W	0.00	1386 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.63	2.74 W	0.00	1387 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.63	2.74 W	0.00	1388 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.63	2.74 W	0.00	1389 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.61	2.72 W	0.00	1390 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.66	2.77 W	0.00	1391 of 2226 - Sale <sup>25</sup>
	1.140	8.99	07/13/20	12.15	3.16 W	0.00	1392 of 2226 - Sale <sup>25</sup>
	1.510	11.91	07/13/20	15.56	3.65 W	0.00	1393 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.580	12.47	07/13/20	16.08	3.61 W	0.00	1394 of 2226 - Sale <sup>25</sup>
	1.860	14.67	07/13/20	19.36	4.69 W	0.00	1395 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	20.44	4.66 W	0.00	1396 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	20.38	4.60 W	0.00	1397 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	20.94	5.16 W	0.00	1398 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	21.27	5.49 W	0.00	1399 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	21.27	5.49 W	0.00	1400 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	21.39	5.61 W	0.00	1401 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	21.52	5.74 W	0.00	1402 of 2226 - Sale <sup>25</sup>
	2.140	16.88	07/13/20	22.30	5.42 W	0.00	1403 of 2226 - Sale <sup>25</sup>
	2.140	16.88	07/13/20	22.86	5.98 W	0.00	1404 of 2226 - Sale <sup>25</sup>
	2.510	19.80	07/13/20	25.77	5.97 W	0.00	1405 of 2226 - Sale <sup>25</sup>
	2.580	20.36	07/13/20	26.94	6.58 W	0.00	1406 of 2226 - Sale <sup>25</sup>
	2.860	22.56	07/13/20	29.39	6.83 W	0.00	1407 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	30.82	7.15 W	0.00	1408 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	30.68	7.01 W	0.00	1409 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	32.97	9.30 W	0.00	1410 of 2226 - Sale <sup>25</sup>
	3.440	27.14	07/13/20	36.76	9.62 W	0.00	1411 of 2226 - Sale <sup>25</sup>
	3.490	27.54	07/13/20	35.84	8.30 W	0.00	1412 of 2226 - Sale <sup>25</sup>
	3.950	31.16	07/13/20	41.26	10.10 W	0.00	1413 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	40.84	9.28 W	0.00	1414 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	41.85	10.29 W	0.00	1415 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	42.44	10.88 W	0.00	1416 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	42.66	11.10 W	0.00	1417 of 2226 - Sale <sup>25</sup>
	4.140	32.66	07/13/20	42.33	9.67 W	0.00	1418 of 2226 - Sale <sup>25</sup>
	4.320	34.08	07/13/20	43.97	9.89 W	0.00	1419 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	51.21	11.76 W	0.00	1420 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	53.42	13.97 W	0.00	1421 of 2226 - Sale <sup>25</sup>
	5.420	42.76	07/13/20	57.80	15.04 W	0.00	1422 of 2226 - Sale <sup>25</sup>
	0.335	2.64	07/13/20	3.76	1.12 W	0.00	1423 of 2226 - Sale <sup>25</sup>
	0.460	3.63	07/13/20	5.14	1.51 W	0.00	1424 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	6.03	1.77 W	0.00	1425 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.21	3.32 W	0.00	1426 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	11.21	3.32 W	0.00	1427 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.89	07/13/20	11.37	3.48 W	0.00	1428 of 2226 - Sale <sup>25</sup>
	1.540	12.15	07/13/20	17.27	5.12 W	0.00	1429 of 2226 - Sale <sup>25</sup>
	2.000	15.78	07/13/20	21.91	6.13 W	0.00	1430 of 2226 - Sale <sup>25</sup>
	2.185	17.24	07/13/20	23.38	6.14 W	0.00	1431 of 2226 - Sale <sup>25</sup>
	2.420	19.09	07/13/20	27.47	8.38 W	0.00	1432 of 2226 - Sale <sup>25</sup>
	2.580	20.36	07/13/20	29.44	9.08 W	0.00	1433 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	32.06	8.39 W	0.00	1434 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	32.08	8.41 W	0.00	1435 of 2226 - Sale <sup>25</sup>
	3.420	26.98	07/13/20	39.00	12.02 W	0.00	1436 of 2226 - Sale <sup>25</sup>
	6.580	51.92	07/13/20	74.81	22.89 W	0.00	1437 of 2226 - Sale <sup>25</sup>
	9.580	75.59	07/13/20	105.61	30.02 W	0.00	1438 of 2226 - Sale <sup>25</sup>
	0.240	1.89	07/13/20	2.53	0.64 W	0.00	1439 of 2226 - Sale <sup>25</sup>
	0.320	2.52	07/13/20	3.39	0.87 W	0.00	1440 of 2226 - Sale <sup>25</sup>
	0.460	3.63	07/13/20	4.92	1.29 W	0.00	1441 of 2226 - Sale <sup>25</sup>
	0.460	3.63	07/13/20	4.89	1.26 W	0.00	1442 of 2226 - Sale <sup>25</sup>
	0.460	3.63	07/13/20	4.86	1.23 W	0.00	1443 of 2226 - Sale <sup>25</sup>
	0.460	3.63	07/13/20	4.87	1.24 W	0.00	1444 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	5.79	1.53 W	0.00	1445 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	5.74	1.48 W	0.00	1446 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	5.67	1.41 W	0.00	1447 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	5.71	1.45 W	0.00	1448 of 2226 - Sale <sup>25</sup>
	0.540	4.26	07/13/20	5.71	1.45 W	0.00	1449 of 2226 - Sale <sup>25</sup>
	0.680	5.36	07/13/20	7.25	1.89 W	0.00	1450 of 2226 - Sale <sup>25</sup>
	0.680	5.37	07/13/20	7.23	1.86 W	0.00	1451 of 2226 - Sale <sup>25</sup>
	0.680	5.37	07/13/20	7.19	1.82 W	0.00	1452 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.25	2.10 W	0.00	1453 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.28	2.13 W	0.00	1454 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.69	2.80 W	0.00	1455 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.67	2.78 W	0.00	1456 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.65	2.76 W	0.00	1457 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.55	2.66 W	0.00	1458 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.60	2.71 W	0.00	1459 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.55	2.66 W	0.00	1460 of 2226 - Sale <sup>25</sup>
	1.000	7.89	07/13/20	10.58	2.69 W	0.00	1461 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	9.63	07/13/20	12.95	3.32 W	0.00	1462 of 2226 - Sale <sup>25</sup>
	1.460	11.52	07/13/20	15.57	4.05 W	0.00	1463 of 2226 - Sale <sup>25</sup>
	1.460	11.52	07/13/20	15.44	3.92 W	0.00	1464 of 2226 - Sale <sup>25</sup>
	1.540	12.15	07/13/20	16.35	4.20 W	0.00	1465 of 2226 - Sale <sup>25</sup>
	1.540	12.15	07/13/20	16.39	4.24 W	0.00	1466 of 2226 - Sale <sup>25</sup>
	2.300	18.15	07/13/20	24.33	6.18 W	0.00	1467 of 2226 - Sale <sup>25</sup>
	2.540	20.04	07/13/20	27.04	7.00 W	0.00	1468 of 2226 - Sale <sup>25</sup>
	2.780	21.93	07/13/20	29.57	7.64 W	0.00	1469 of 2226 - Sale <sup>25</sup>
	3.000	23.67	07/13/20	31.77	8.10 W	0.00	1470 of 2226 - Sale <sup>25</sup>
	3.540	27.93	07/13/20	37.75	9.82 W	0.00	1471 of 2226 - Sale <sup>25</sup>
	4.000	31.56	07/13/20	42.58	11.02 W	0.00	1472 of 2226 - Sale <sup>25</sup>
	4.460	35.19	07/13/20	49.54	14.35 W	0.00	1473 of 2226 - Sale <sup>25</sup>
	4.460	35.19	07/13/20	47.47	12.28 W	0.00	1474 of 2226 - Sale <sup>25</sup>
	5.000	39.45	07/13/20	53.24	13.79 W	0.00	1475 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.35	0.62 W	0.00	1476 of 2226 - Sale <sup>25</sup>
	0.320	2.52	07/13/20	3.43	0.91 W	0.00	1477 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	3.84	1.00 W	0.00	1478 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	3.81	0.97 W	0.00	1479 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/13/20	4.50	1.19 W	0.00	1480 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/13/20	4.49	1.18 W	0.00	1481 of 2226 - Sale <sup>25</sup>
	0.530	4.18	07/13/20	5.65	1.47 W	0.00	1482 of 2226 - Sale <sup>25</sup>
	0.580	4.57	07/13/20	6.14	1.57 W	0.00	1483 of 2226 - Sale <sup>25</sup>
	0.580	4.57	07/13/20	6.14	1.57 W	0.00	1484 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/13/20	6.84	1.80 W	0.00	1485 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/13/20	6.78	1.74 W	0.00	1486 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/13/20	6.78	1.74 W	0.00	1487 of 2226 - Sale <sup>25</sup>
	0.680	5.36	07/13/20	7.27	1.91 W	0.00	1488 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.30	2.15 W	0.00	1489 of 2226 - Sale <sup>25</sup>
	0.815	6.42	07/13/20	8.67	2.25 W	0.00	1490 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.64	2.76 W	0.00	1491 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.62	2.74 W	0.00	1492 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.69	2.81 W	0.00	1493 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.75	2.87 W	0.00	1494 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.73	2.85 W	0.00	1495 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/13/20	10.73	2.85 W	0.00	1496 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.72	2.84 W	0.00	1497 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.69	2.81 W	0.00	1498 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.69	2.81 W	0.00	1499 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.70	2.82 W	0.00	1500 of 2226 - Sale <sup>25</sup>
	1.470	11.58	07/13/20	15.65	4.07 W	0.00	1501 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	21.34	5.58 W	0.00	1502 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	21.32	5.56 W	0.00	1503 of 2226 - Sale <sup>25</sup>
	2.360	18.60	07/13/20	25.24	6.64 W	0.00	1504 of 2226 - Sale <sup>25</sup>
	2.580	20.33	07/13/20	27.47	7.14 W	0.00	1505 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	31.71	8.07 W	0.00	1506 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	32.09	8.45 W	0.00	1507 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	32.18	8.54 W	0.00	1508 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	32.11	8.47 W	0.00	1509 of 2226 - Sale <sup>25</sup>
	3.950	31.13	07/13/20	42.08	10.95 W	0.00	1510 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	42.93	11.41 W	0.00	1511 of 2226 - Sale <sup>25</sup>
	4.050	31.91	07/13/20	43.14	11.23 W	0.00	1512 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/13/20	74.87	19.71 W	0.00	1513 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/13/20	85.94	22.90 W	0.00	1514 of 2226 - Sale <sup>25</sup>
	10.420	82.11	07/13/20	110.97	28.86 W	0.00	1515 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1516 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1517 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1518 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1519 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1520 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1521 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1522 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1523 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1524 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1525 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1526 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1527 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1528 of 2226 - Sale <sup>25</sup>
	0.010	0.08	07/13/20	0.11	0.03 W	0.00	1529 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Data rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.990	7.80	07/13/20	10.66	2.86 W	0.00	1564 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/13/20	10.61	2.81 W	0.00	1565 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/13/20	10.61	2.81 W	0.00	1566 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	8.51	0.63 W	0.00	1567 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	8.48	0.60 W	0.00	1568 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	8.48	0.60 W	0.00	1569 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	8.61	0.73 W	0.00	1570 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.58	3.70 W	0.00	1571 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.55	3.67 W	0.00	1572 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.08	3.20 W	0.00	1573 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.08	3.20 W	0.00	1574 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.10	3.22 W	0.00	1575 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.10	3.22 W	0.00	1576 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.09	3.21 W	0.00	1577 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.10	3.22 W	0.00	1578 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.22	3.34 W	0.00	1579 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.04	3.16 W	0.00	1580 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.80	2.92 W	0.00	1581 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.80	2.92 W	0.00	1582 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.77	2.89 W	0.00	1583 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.78	2.90 W	0.00	1584 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.78	2.90 W	0.00	1585 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.76	2.88 W	0.00	1586 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.78	2.90 W	0.00	1587 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.73	2.85 W	0.00	1588 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.74	2.86 W	0.00	1589 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.75	2.87 W	0.00	1590 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.77	2.89 W	0.00	1591 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.75	2.87 W	0.00	1592 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.74	2.86 W	0.00	1593 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.71	2.83 W	0.00	1594 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.74	2.86 W	0.00	1595 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	10.14	0.53 W	0.00	1596 of 2226 - Sale <sup>25</sup>
	1.260	9.93	07/13/20	13.95	4.02 W	0.00	1597 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.260	9.93	07/13/20	13.71	3.78 W	0.00	1598 of 2226 - Sale <sup>25</sup>
	1.310	10.32	07/13/20	11.25	0.93 W	0.00	1599 of 2226 - Sale <sup>25</sup>
	1.490	11.74	07/13/20	12.71	0.97 W	0.00	1600 of 2226 - Sale <sup>25</sup>
	1.990	15.68	07/13/20	21.57	5.89 W	0.00	1601 of 2226 - Sale <sup>25</sup>
	1.990	15.68	07/13/20	21.60	5.92 W	0.00	1602 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	23.07	7.31 W	0.00	1603 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	22.14	6.38 W	0.00	1604 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	21.61	5.85 W	0.00	1605 of 2226 - Sale <sup>25</sup>
	2.080	16.39	07/13/20	23.10	6.71 W	0.00	1606 of 2226 - Sale <sup>25</sup>
	2.140	16.86	07/13/20	23.65	6.79 W	0.00	1607 of 2226 - Sale <sup>25</sup>
	2.240	17.65	07/13/20	25.08	7.43 W	0.00	1608 of 2226 - Sale <sup>25</sup>
	2.240	17.65	07/13/20	25.14	7.49 W	0.00	1609 of 2226 - Sale <sup>25</sup>
	2.885	22.73	07/13/20	31.44	8.71 W	0.00	1610 of 2226 - Sale <sup>25</sup>
	2.900	22.85	07/13/20	33.47	10.62 W	0.00	1611 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	34.69	11.05 W	0.00	1612 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	33.59	9.95 W	0.00	1613 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	33.04	9.40 W	0.00	1614 of 2226 - Sale <sup>25</sup>
	3.100	24.43	07/13/20	35.76	11.33 W	0.00	1615 of 2226 - Sale <sup>25</sup>
	3.360	26.48	07/13/20	36.42	9.94 W	0.00	1616 of 2226 - Sale <sup>25</sup>
	3.740	29.47	07/13/20	40.75	11.28 W	0.00	1617 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	44.46	12.94 W	0.00	1618 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	43.30	11.78 W	0.00	1619 of 2226 - Sale <sup>25</sup>
	4.080	32.15	07/13/20	45.08	12.93 W	0.00	1620 of 2226 - Sale <sup>25</sup>
	4.360	34.36	07/13/20	48.82	14.46 W	0.00	1621 of 2226 - Sale <sup>25</sup>
	4.640	36.56	07/13/20	52.11	15.55 W	0.00	1622 of 2226 - Sale <sup>25</sup>
	4.640	36.56	07/13/20	50.29	13.73 W	0.00	1623 of 2226 - Sale <sup>25</sup>
	5.070	39.95	07/13/20	43.22	3.27 W	0.00	1624 of 2226 - Sale <sup>25</sup>
	5.080	40.03	07/13/20	43.72	3.69 W	0.00	1625 of 2226 - Sale <sup>25</sup>
	5.650	44.52	07/13/20	61.25	16.73 W	0.00	1626 of 2226 - Sale <sup>25</sup>
	5.780	45.55	07/13/20	63.34	17.79 W	0.00	1627 of 2226 - Sale <sup>25</sup>
	5.780	45.55	07/13/20	64.12	18.57 W	0.00	1628 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	51.26	3.98 W	0.00	1629 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	66.36	19.08 W	0.00	1630 of 2226 - Sale <sup>25</sup>
	6.120	48.22	07/13/20	68.71	20.49 W	0.00	1631 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.140	48.38	07/13/20	52.27	3.89 W	0.00	1632 of 2226 - Sale <sup>25</sup>
	6.220	49.01	07/13/20	53.03	4.02 W	0.00	1633 of 2226 - Sale <sup>25</sup>
	6.360	50.12	07/13/20	54.72	4.60 W	0.00	1634 of 2226 - Sale <sup>25</sup>
	6.780	53.43	07/13/20	58.31	4.88 W	0.00	1635 of 2226 - Sale <sup>25</sup>
	6.860	54.06	07/13/20	58.33	4.27 W	0.00	1636 of 2226 - Sale <sup>25</sup>
	7.440	58.63	07/13/20	63.89	5.26 W	0.00	1637 of 2226 - Sale <sup>25</sup>
	7.950	62.64	07/13/20	67.91	5.27 W	0.00	1638 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/13/20	89.57	26.53 W	0.00	1639 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/13/20	88.73	25.69 W	0.00	1640 of 2226 - Sale <sup>25</sup>
	8.470	66.74	07/13/20	72.74	6.00 W	0.00	1641 of 2226 - Sale <sup>25</sup>
	8.780	69.18	07/13/20	75.58	6.40 W	0.00	1642 of 2226 - Sale <sup>25</sup>
	10.000	78.80	07/13/20	85.09	6.29 W	0.00	1643 of 2226 - Sale <sup>25</sup>
	10.780	84.94	07/13/20	89.81	4.87 W	0.00	1644 of 2226 - Sale <sup>25</sup>
	11.560	91.09	07/13/20	100.70	9.61 W	0.00	1645 of 2226 - Sale <sup>25</sup>
	13.470	106.14	07/13/20	112.21	6.07 W	0.00	1646 of 2226 - Sale <sup>25</sup>
	15.000	118.20	07/13/20	173.27	55.07 W	0.00	1647 of 2226 - Sale <sup>25</sup>
	16.000	126.08	07/13/20	136.21	10.13 W	0.00	1648 of 2226 - Sale <sup>25</sup>
	17.220	135.69	07/13/20	143.45	7.76 W	0.00	1649 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/13/20	1.48	0.38 W	0.00	1650 of 2226 - Sale <sup>25</sup>
	0.210	1.65	07/13/20	2.14	0.49 W	0.00	1651 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.39	0.66 W	0.00	1652 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.34	0.61 W	0.00	1653 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.32	0.59 W	0.00	1654 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.43	0.70 W	0.00	1655 of 2226 - Sale <sup>25</sup>
	0.300	2.36	07/13/20	3.22	0.86 W	0.00	1656 of 2226 - Sale <sup>25</sup>
	0.310	2.44	07/13/20	3.65	1.21 W	0.00	1657 of 2226 - Sale <sup>25</sup>
	0.320	2.52	07/13/20	3.45	0.93 W	0.00	1658 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/13/20	4.67	1.36 W	0.00	1659 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/13/20	5.21	1.74 W	0.00	1660 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/13/20	5.18	1.71 W	0.00	1661 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/13/20	5.85	1.44 W	0.00	1662 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/13/20	4.76	0.35 W	0.00	1663 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/13/20	6.21	1.80 W	0.00	1664 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/13/20	6.68	2.27 W	0.00	1665 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.630	4.96	07/13/20	5.49	0.53 W	0.00	1666 of 2226 - Sale <sup>25</sup>
	0.690	5.44	07/13/20	7.06	1.62 W	0.00	1667 of 2226 - Sale <sup>25</sup>
	0.690	5.44	07/13/20	7.66	2.22 W	0.00	1668 of 2226 - Sale <sup>25</sup>
	0.690	5.44	07/13/20	8.17	2.73 W	0.00	1669 of 2226 - Sale <sup>25</sup>
	0.690	5.44	07/13/20	8.18	2.74 W	0.00	1670 of 2226 - Sale <sup>25</sup>
	0.700	5.52	07/13/20	7.44	1.92 W	0.00	1671 of 2226 - Sale <sup>25</sup>
	0.750	5.91	07/13/20	8.95	3.04 W	0.00	1672 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.14	1.99 W	0.00	1673 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.07	1.92 W	0.00	1674 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.06	1.91 W	0.00	1675 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.63	2.48 W	0.00	1676 of 2226 - Sale <sup>25</sup>
	0.790	6.23	07/13/20	8.13	1.90 W	0.00	1677 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/13/20	9.01	2.23 W	0.00	1678 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.21	2.33 W	0.00	1679 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.20	2.32 W	0.00	1680 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.17	2.29 W	0.00	1681 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.31	2.43 W	0.00	1682 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.31	2.43 W	0.00	1683 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.31	2.43 W	0.00	1684 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.28	2.40 W	0.00	1685 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.30	2.42 W	0.00	1686 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.62	2.74 W	0.00	1687 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.67	2.79 W	0.00	1688 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.78	2.90 W	0.00	1689 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.77	2.89 W	0.00	1690 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.76	2.88 W	0.00	1691 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.43	2.55 W	0.00	1692 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.44	2.56 W	0.00	1693 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	8.76	0.88 W	0.00	1694 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	8.60	0.72 W	0.00	1695 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	8.66	0.78 W	0.00	1696 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.04	3.16 W	0.00	1697 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.07	3.19 W	0.00	1698 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.08	3.20 W	0.00	1699 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/13/20	11.03	3.15 W	0.00	1700 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.04	3.16 W	0.00	1701 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.05	3.17 W	0.00	1702 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.20	3.32 W	0.00	1703 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.21	3.33 W	0.00	1704 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.15	3.27 W	0.00	1705 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.47	3.59 W	0.00	1706 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.09	3.21 W	0.00	1707 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.88	4.00 W	0.00	1708 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.86	3.98 W	0.00	1709 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.81	3.93 W	0.00	1710 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.79	3.91 W	0.00	1711 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.80	3.92 W	0.00	1712 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.84	3.96 W	0.00	1713 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.82	3.94 W	0.00	1714 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.85	3.97 W	0.00	1715 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.81	3.93 W	0.00	1716 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.83	3.95 W	0.00	1717 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.82	3.94 W	0.00	1718 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.81	3.93 W	0.00	1719 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.83	3.95 W	0.00	1720 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.76	3.88 W	0.00	1721 of 2226 - Sale <sup>25</sup>
	1.070	8.43	07/13/20	12.62	4.19 W	0.00	1722 of 2226 - Sale <sup>25</sup>
	1.140	8.98	07/13/20	11.99	3.01 W	0.00	1723 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	12.70	3.09 W	0.00	1724 of 2226 - Sale <sup>25</sup>
	1.310	10.32	07/13/20	15.51	5.19 W	0.00	1725 of 2226 - Sale <sup>25</sup>
	1.310	10.32	07/13/20	15.53	5.21 W	0.00	1726 of 2226 - Sale <sup>25</sup>
	1.360	10.72	07/13/20	15.06	4.34 W	0.00	1727 of 2226 - Sale <sup>25</sup>
	1.440	11.35	07/13/20	12.15	0.80 W	0.00	1728 of 2226 - Sale <sup>25</sup>
	1.440	11.35	07/13/20	15.85	4.50 W	0.00	1729 of 2226 - Sale <sup>25</sup>
	1.460	11.50	07/13/20	14.90	3.40 W	0.00	1730 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/13/20	21.00	6.97 W	0.00	1731 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/13/20	21.00	6.97 W	0.00	1732 of 2226 - Sale <sup>25</sup>
	1.850	14.58	07/13/20	21.94	7.36 W	0.00	1733 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.900	14.97	07/13/20	20.43	5.46 W	0.00	1734 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	20.42	4.66 W	0.00	1735 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	20.36	4.60 W	0.00	1736 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	21.53	5.77 W	0.00	1737 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	20.87	5.11 W	0.00	1738 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	22.14	6.38 W	0.00	1739 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	22.30	6.54 W	0.00	1740 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	23.61	7.85 W	0.00	1741 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	23.49	7.73 W	0.00	1742 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	23.58	7.82 W	0.00	1743 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	23.60	7.84 W	0.00	1744 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	23.53	7.77 W	0.00	1745 of 2226 - Sale <sup>25</sup>
	2.080	16.39	07/13/20	17.61	1.22 W	0.00	1746 of 2226 - Sale <sup>25</sup>
	2.100	16.55	07/13/20	25.06	8.51 W	0.00	1747 of 2226 - Sale <sup>25</sup>
	2.150	16.94	07/13/20	25.49	8.55 W	0.00	1748 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/13/20	26.23	8.74 W	0.00	1749 of 2226 - Sale <sup>25</sup>
	2.300	18.12	07/13/20	24.01	5.89 W	0.00	1750 of 2226 - Sale <sup>25</sup>
	2.440	19.23	07/13/20	26.86	7.63 W	0.00	1751 of 2226 - Sale <sup>25</sup>
	2.560	20.17	07/13/20	30.19	10.02 W	0.00	1752 of 2226 - Sale <sup>25</sup>
	2.690	21.20	07/13/20	30.07	8.87 W	0.00	1753 of 2226 - Sale <sup>25</sup>
	2.760	21.75	07/13/20	32.46	10.71 W	0.00	1754 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/13/20	28.47	6.56 W	0.00	1755 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/13/20	29.94	8.03 W	0.00	1756 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/13/20	32.79	10.88 W	0.00	1757 of 2226 - Sale <sup>25</sup>
	2.930	23.09	07/13/20	34.51	11.42 W	0.00	1758 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	31.70	8.06 W	0.00	1759 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	32.04	8.40 W	0.00	1760 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	26.39	2.75 W	0.00	1761 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	33.47	9.83 W	0.00	1762 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	35.39	11.75 W	0.00	1763 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	35.48	11.84 W	0.00	1764 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	35.48	11.84 W	0.00	1765 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	35.50	11.86 W	0.00	1766 of 2226 - Sale <sup>25</sup>
	3.150	24.82	07/13/20	37.58	12.76 W	0.00	1767 of 2226 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.440	27.11	07/13/20	40.56	13.45 W	0.00	1768 of 2226 - Sale <sup>25</sup>
	3.560	28.05	07/13/20	39.46	11.41 W	0.00	1769 of 2226 - Sale <sup>25</sup>
	3.780	29.79	07/13/20	40.39	10.60 W	0.00	1770 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	41.33	9.81 W	0.00	1771 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	42.74	11.22 W	0.00	1772 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	44.22	12.70 W	0.00	1773 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	44.16	12.64 W	0.00	1774 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	44.34	12.82 W	0.00	1775 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	47.23	15.71 W	0.00	1776 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	47.18	15.66 W	0.00	1777 of 2226 - Sale <sup>25</sup>
	4.220	33.25	07/13/20	45.14	11.89 W	0.00	1778 of 2226 - Sale <sup>25</sup>
	4.310	33.96	07/13/20	48.17	14.21 W	0.00	1779 of 2226 - Sale <sup>25</sup>
	4.310	33.96	07/13/20	47.81	13.85 W	0.00	1780 of 2226 - Sale <sup>25</sup>
	4.370	34.43	07/13/20	38.11	3.68 W	0.00	1781 of 2226 - Sale <sup>25</sup>
	4.920	38.77	07/13/20	50.33	11.56 W	0.00	1782 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	52.50	13.10 W	0.00	1783 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	55.30	15.90 W	0.00	1784 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	55.84	16.44 W	0.00	1785 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	55.87	16.47 W	0.00	1786 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	59.55	20.15 W	0.00	1787 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	59.06	19.66 W	0.00	1788 of 2226 - Sale <sup>25</sup>
	5.220	41.13	07/13/20	53.41	12.28 W	0.00	1789 of 2226 - Sale <sup>25</sup>
	5.610	44.21	07/13/20	57.38	13.17 W	0.00	1790 of 2226 - Sale <sup>25</sup>
	5.780	45.55	07/13/20	59.01	13.46 W	0.00	1791 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	61.28	14.00 W	0.00	1792 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	68.05	20.77 W	0.00	1793 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	70.87	23.59 W	0.00	1794 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	71.17	23.89 W	0.00	1795 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/13/20	61.59	6.43 W	0.00	1796 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/13/20	82.58	27.42 W	0.00	1797 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/13/20	82.81	27.65 W	0.00	1798 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/13/20	82.35	27.19 W	0.00	1799 of 2226 - Sale <sup>25</sup>
	7.920	62.41	07/13/20	67.07	4.66 W	0.00	1800 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/13/20	67.66	4.62 W	0.00	1801 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	63.04	07/13/20	94.20	31.16 W	0.00	1802 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/13/20	106.28	35.36 W	0.00	1803 of 2226 - Sale <sup>25</sup>
	10.000	78.80	07/13/20	110.70	31.90 W	0.00	1804 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/13/20	1.57	0.47 W	0.00	1805 of 2226 - Sale <sup>25</sup>
	0.140	1.10	07/13/20	1.52	0.42 W	0.00	1806 of 2226 - Sale <sup>25</sup>
	0.210	1.65	07/13/20	2.37	0.72 W	0.00	1807 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.43	0.70 W	0.00	1808 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.54	0.81 W	0.00	1809 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.41	0.68 W	0.00	1810 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.47	0.74 W	0.00	1811 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.50	0.77 W	0.00	1812 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.41	0.68 W	0.00	1813 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.38	0.65 W	0.00	1814 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	3.95	1.11 W	0.00	1815 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/13/20	4.76	1.29 W	0.00	1816 of 2226 - Sale <sup>25</sup>
	0.570	4.49	07/13/20	6.46	1.97 W	0.00	1817 of 2226 - Sale <sup>25</sup>
	0.640	5.04	07/13/20	7.02	1.98 W	0.00	1818 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.44	2.29 W	0.00	1819 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.77	2.62 W	0.00	1820 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.19	2.04 W	0.00	1821 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.40	2.25 W	0.00	1822 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.43	2.28 W	0.00	1823 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.75	2.60 W	0.00	1824 of 2226 - Sale <sup>25</sup>
	0.790	6.22	07/13/20	8.90	2.68 W	0.00	1825 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/13/20	9.32	2.54 W	0.00	1826 of 2226 - Sale <sup>25</sup>
	0.860	6.78	07/13/20	9.30	2.52 W	0.00	1827 of 2226 - Sale <sup>25</sup>
	0.990	7.80	07/13/20	10.88	3.08 W	0.00	1828 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.83	2.95 W	0.00	1829 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.78	2.90 W	0.00	1830 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.77	2.89 W	0.00	1831 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.10	3.22 W	0.00	1832 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.39	3.51 W	0.00	1833 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.26	3.38 W	0.00	1834 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.34	3.46 W	0.00	1835 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.88	07/13/20	11.30	3.42 W	0.00	1836 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.30	3.42 W	0.00	1837 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.78	2.90 W	0.00	1838 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.83	2.95 W	0.00	1839 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.81	2.93 W	0.00	1840 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.81	2.93 W	0.00	1841 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.71	2.83 W	0.00	1842 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.19	3.31 W	0.00	1843 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.02	3.14 W	0.00	1844 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.97	3.09 W	0.00	1845 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.00	3.12 W	0.00	1846 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.99	3.11 W	0.00	1847 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.00	3.12 W	0.00	1848 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.95	3.07 W	0.00	1849 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.95	3.07 W	0.00	1850 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.83	2.95 W	0.00	1851 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.85	2.97 W	0.00	1852 of 2226 - Sale <sup>25</sup>
	1.010	7.96	07/13/20	10.96	3.00 W	0.00	1853 of 2226 - Sale <sup>25</sup>
	1.210	9.53	07/13/20	13.43	3.90 W	0.00	1854 of 2226 - Sale <sup>25</sup>
	1.210	9.53	07/13/20	13.09	3.56 W	0.00	1855 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	13.74	4.13 W	0.00	1856 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	13.85	4.24 W	0.00	1857 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	12.82	3.21 W	0.00	1858 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	13.27	3.66 W	0.00	1859 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	13.25	3.64 W	0.00	1860 of 2226 - Sale <sup>25</sup>
	1.360	10.72	07/13/20	14.91	4.19 W	0.00	1861 of 2226 - Sale <sup>25</sup>
	1.420	11.19	07/13/20	15.63	4.44 W	0.00	1862 of 2226 - Sale <sup>25</sup>
	1.770	13.95	07/13/20	19.18	5.23 W	0.00	1863 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/13/20	20.09	6.06 W	0.00	1864 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/13/20	19.52	5.49 W	0.00	1865 of 2226 - Sale <sup>25</sup>
	1.860	14.66	07/13/20	20.75	6.09 W	0.00	1866 of 2226 - Sale <sup>25</sup>
	1.920	15.13	07/13/20	20.83	5.70 W	0.00	1867 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	21.58	5.82 W	0.00	1868 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	22.15	6.39 W	0.00	1869 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various dates and amounts.

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.210	25.29	07/13/20	36.01	10.72 W	0.00	1904 of 2226 - Sale <sup>25</sup>
	3.780	29.79	07/13/20	41.01	11.22 W	0.00	1905 of 2226 - Sale <sup>25</sup>
	3.780	29.79	07/13/20	41.03	11.24 W	0.00	1906 of 2226 - Sale <sup>25</sup>
	3.940	31.05	07/13/20	44.35	13.30 W	0.00	1907 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	44.97	13.45 W	0.00	1908 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	43.89	12.37 W	0.00	1909 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	44.01	12.49 W	0.00	1910 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	44.04	12.52 W	0.00	1911 of 2226 - Sale <sup>25</sup>
	4.210	33.17	07/13/20	47.52	14.35 W	0.00	1912 of 2226 - Sale <sup>25</sup>
	4.640	36.56	07/13/20	50.74	14.18 W	0.00	1913 of 2226 - Sale <sup>25</sup>
	4.780	37.66	07/13/20	53.57	15.91 W	0.00	1914 of 2226 - Sale <sup>25</sup>
	4.790	37.74	07/13/20	53.74	16.00 W	0.00	1915 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	54.27	14.87 W	0.00	1916 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	57.01	17.61 W	0.00	1917 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	52.87	13.47 W	0.00	1918 of 2226 - Sale <sup>25</sup>
	5.210	41.05	07/13/20	58.58	17.53 W	0.00	1919 of 2226 - Sale <sup>25</sup>
	5.220	41.13	07/13/20	59.59	18.46 W	0.00	1920 of 2226 - Sale <sup>25</sup>
	5.780	45.54	07/13/20	64.88	19.34 W	0.00	1921 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	65.08	17.80 W	0.00	1922 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	67.63	20.35 W	0.00	1923 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	66.60	19.32 W	0.00	1924 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	65.97	18.69 W	0.00	1925 of 2226 - Sale <sup>25</sup>
	6.220	49.01	07/13/20	67.42	18.41 W	0.00	1926 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/13/20	78.46	23.30 W	0.00	1927 of 2226 - Sale <sup>25</sup>
	7.000	55.16	07/13/20	75.94	20.78 W	0.00	1928 of 2226 - Sale <sup>25</sup>
	7.300	57.52	07/13/20	79.19	21.67 W	0.00	1929 of 2226 - Sale <sup>25</sup>
	8.780	69.18	07/13/20	95.22	26.04 W	0.00	1930 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/13/20	98.97	28.05 W	0.00	1931 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/13/20	99.97	29.05 W	0.00	1932 of 2226 - Sale <sup>25</sup>
	9.010	71.00	07/13/20	99.01	28.01 W	0.00	1933 of 2226 - Sale <sup>25</sup>
	10.220	80.53	07/13/20	115.80	35.27 W	0.00	1934 of 2226 - Sale <sup>25</sup>
	16.000	126.08	07/13/20	181.48	55.40 W	0.00	1935 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.45	0.72 W	0.00	1936 of 2226 - Sale <sup>25</sup>
	0.220	1.73	07/13/20	2.32	0.59 W	0.00	1937 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.220	1.73	07/13/20	2.27	0.54 W	0.00	1938 of 2226 - Sale <sup>25</sup>
	0.360	2.84	07/13/20	3.75	0.91 W	0.00	1939 of 2226 - Sale <sup>25</sup>
	0.420	3.31	07/13/20	4.44	1.13 W	0.00	1940 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/13/20	4.46	0.99 W	0.00	1941 of 2226 - Sale <sup>25</sup>
	0.440	3.47	07/13/20	4.57	1.10 W	0.00	1942 of 2226 - Sale <sup>25</sup>
	0.560	4.41	07/13/20	5.78	1.37 W	0.00	1943 of 2226 - Sale <sup>25</sup>
	0.650	5.12	07/13/20	6.58	1.46 W	0.00	1944 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.58	2.43 W	0.00	1945 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	8.32	2.17 W	0.00	1946 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	7.90	1.75 W	0.00	1947 of 2226 - Sale <sup>25</sup>
	0.870	6.86	07/13/20	9.59	2.73 W	0.00	1948 of 2226 - Sale <sup>25</sup>
	0.980	7.72	07/13/20	9.91	2.19 W	0.00	1949 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.75	2.87 W	0.00	1950 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.08	3.20 W	0.00	1951 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.07	3.19 W	0.00	1952 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.14	3.26 W	0.00	1953 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	11.05	3.17 W	0.00	1954 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.15	2.27 W	0.00	1955 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.32	2.44 W	0.00	1956 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.31	2.43 W	0.00	1957 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.30	2.42 W	0.00	1958 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.13	2.25 W	0.00	1959 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.16	2.28 W	0.00	1960 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.15	2.27 W	0.00	1961 of 2226 - Sale <sup>25</sup>
	1.020	8.04	07/13/20	10.30	2.26 W	0.00	1962 of 2226 - Sale <sup>25</sup>
	1.130	8.90	07/13/20	12.46	3.56 W	0.00	1963 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	13.52	3.91 W	0.00	1964 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	12.54	2.93 W	0.00	1965 of 2226 - Sale <sup>25</sup>
	1.560	12.29	07/13/20	15.79	3.50 W	0.00	1966 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/13/20	19.01	4.98 W	0.00	1967 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/13/20	18.93	4.90 W	0.00	1968 of 2226 - Sale <sup>25</sup>
	1.780	14.03	07/13/20	18.33	4.30 W	0.00	1969 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	22.14	6.38 W	0.00	1970 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	22.07	6.31 W	0.00	1971 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	15.76	07/13/20	21.36	5.60 W	0.00	1972 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	21.36	5.60 W	0.00	1973 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	21.09	5.33 W	0.00	1974 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	20.15	4.39 W	0.00	1975 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	20.63	4.87 W	0.00	1976 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/13/20	22.60	5.11 W	0.00	1977 of 2226 - Sale <sup>25</sup>
	2.690	21.20	07/13/20	28.77	7.57 W	0.00	1978 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/13/20	30.69	8.78 W	0.00	1979 of 2226 - Sale <sup>25</sup>
	2.780	21.91	07/13/20	29.57	7.66 W	0.00	1980 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	33.13	9.49 W	0.00	1981 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	30.95	7.31 W	0.00	1982 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	30.93	7.29 W	0.00	1983 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	30.71	7.07 W	0.00	1984 of 2226 - Sale <sup>25</sup>
	3.440	27.11	07/13/20	36.63	9.52 W	0.00	1985 of 2226 - Sale <sup>25</sup>
	4.000	31.52	07/13/20	41.16	9.64 W	0.00	1986 of 2226 - Sale <sup>25</sup>
	4.350	34.28	07/13/20	44.01	9.73 W	0.00	1987 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	55.36	15.96 W	0.00	1988 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	55.21	15.81 W	0.00	1989 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	53.32	13.92 W	0.00	1990 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	50.58	11.18 W	0.00	1991 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	51.18	11.78 W	0.00	1992 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	50.62	11.22 W	0.00	1993 of 2226 - Sale <sup>25</sup>
	5.530	43.57	07/13/20	58.98	15.41 W	0.00	1994 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	66.45	19.17 W	0.00	1995 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	64.15	16.87 W	0.00	1996 of 2226 - Sale <sup>25</sup>
	6.000	47.28	07/13/20	61.70	14.42 W	0.00	1997 of 2226 - Sale <sup>25</sup>
	6.220	49.01	07/13/20	69.14	20.13 W	0.00	1998 of 2226 - Sale <sup>25</sup>
	9.000	70.92	07/13/20	99.50	28.58 W	0.00	1999 of 2226 - Sale <sup>25</sup>
	0.100	0.79	07/13/20	0.98	0.19 W	0.00	2000 of 2226 - Sale <sup>25</sup>
	0.900	7.09	07/13/20	9.50	2.41 W	0.00	2001 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.61	2.73 W	0.00	2002 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	10.54	2.66 W	0.00	2003 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	12.87	3.26 W	0.00	2004 of 2226 - Sale <sup>25</sup>
	1.900	14.97	07/13/20	19.95	4.98 W	0.00	2005 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.220	1.73	07/13/20	2.16	0.43 W	0.00	2040 of 2226 - Sale <sup>25</sup>
	0.590	4.65	07/13/20	5.65	1.00 W	0.00	2041 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	7.95	1.80 W	0.00	2042 of 2226 - Sale <sup>25</sup>
	0.780	6.15	07/13/20	7.25	1.10 W	0.00	2043 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.96	2.08 W	0.00	2044 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.74	1.86 W	0.00	2045 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.59	1.71 W	0.00	2046 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.58	1.70 W	0.00	2047 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.43	1.55 W	0.00	2048 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.30	1.42 W	0.00	2049 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.31	1.43 W	0.00	2050 of 2226 - Sale <sup>25</sup>
	1.000	7.88	07/13/20	9.31	1.43 W	0.00	2051 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	11.38	1.77 W	0.00	2052 of 2226 - Sale <sup>25</sup>
	1.220	9.61	07/13/20	11.36	1.75 W	0.00	2053 of 2226 - Sale <sup>25</sup>
	1.410	11.11	07/13/20	13.50	2.39 W	0.00	2054 of 2226 - Sale <sup>25</sup>
	1.690	13.32	07/13/20	15.51	2.19 W	0.00	2055 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	20.28	4.52 W	0.00	2056 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	19.33	3.57 W	0.00	2057 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	19.13	3.37 W	0.00	2058 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	18.54	2.78 W	0.00	2059 of 2226 - Sale <sup>25</sup>
	2.000	15.76	07/13/20	18.61	2.85 W	0.00	2060 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/13/20	22.20	4.71 W	0.00	2061 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/13/20	20.55	3.06 W	0.00	2062 of 2226 - Sale <sup>25</sup>
	2.220	17.49	07/13/20	20.39	2.90 W	0.00	2063 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/13/20	27.89	5.99 W	0.00	2064 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/13/20	27.08	5.18 W	0.00	2065 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/13/20	25.73	3.83 W	0.00	2066 of 2226 - Sale <sup>25</sup>
	2.780	21.90	07/13/20	25.67	3.77 W	0.00	2067 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	29.15	5.51 W	0.00	2068 of 2226 - Sale <sup>25</sup>
	3.000	23.64	07/13/20	28.94	5.30 W	0.00	2069 of 2226 - Sale <sup>25</sup>
	3.780	29.78	07/13/20	37.76	7.98 W	0.00	2070 of 2226 - Sale <sup>25</sup>
	5.000	39.40	07/13/20	48.68	9.28 W	0.00	2071 of 2226 - Sale <sup>25</sup>
	5.000	39.39	07/13/20	46.36	6.97 W	0.00	2072 of 2226 - Sale <sup>25</sup>
	8.000	63.04	07/13/20	77.97	14.93 W	0.00	2073 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	7.76	07/13/20	10.90	3.14 W	0.00	2074 of 2226 - Sale <sup>25</sup>
	0.140	1.09	07/13/20	1.56	0.47 W	0.00	2075 of 2226 - Sale <sup>25</sup>
	0.220	1.71	07/13/20	2.46	0.75 W	0.00	2076 of 2226 - Sale <sup>25</sup>
	0.360	2.79	07/13/20	3.93	1.14 W	0.00	2077 of 2226 - Sale <sup>25</sup>
	0.640	4.97	07/13/20	7.02	2.05 W	0.00	2078 of 2226 - Sale <sup>25</sup>
	0.780	6.05	07/13/20	8.51	2.46 W	0.00	2079 of 2226 - Sale <sup>25</sup>
	0.860	6.68	07/13/20	9.43	2.75 W	0.00	2080 of 2226 - Sale <sup>25</sup>
	0.920	7.14	07/13/20	10.03	2.89 W	0.00	2081 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	10.98	3.22 W	0.00	2082 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	10.98	3.22 W	0.00	2083 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	10.94	3.18 W	0.00	2084 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	10.94	3.18 W	0.00	2085 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	10.94	3.18 W	0.00	2086 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	11.14	3.38 W	0.00	2087 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	11.16	3.40 W	0.00	2088 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	11.07	3.31 W	0.00	2089 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	11.07	3.31 W	0.00	2090 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	11.09	3.33 W	0.00	2091 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	10.92	3.16 W	0.00	2092 of 2226 - Sale <sup>25</sup>
	1.000	7.76	07/13/20	10.92	3.16 W	0.00	2093 of 2226 - Sale <sup>25</sup>
	1.080	8.38	07/13/20	11.84	3.46 W	0.00	2094 of 2226 - Sale <sup>25</sup>
	1.780	13.82	07/13/20	19.48	5.66 W	0.00	2095 of 2226 - Sale <sup>25</sup>
	1.780	13.82	07/13/20	19.70	5.88 W	0.00	2096 of 2226 - Sale <sup>25</sup>
	2.000	15.52	07/13/20	21.43	5.91 W	0.00	2097 of 2226 - Sale <sup>25</sup>
	2.000	15.52	07/13/20	22.23	6.71 W	0.00	2098 of 2226 - Sale <sup>25</sup>
	2.000	15.52	07/13/20	22.41	6.89 W	0.00	2099 of 2226 - Sale <sup>25</sup>
	2.000	15.52	07/13/20	22.34	6.82 W	0.00	2100 of 2226 - Sale <sup>25</sup>
	2.000	15.52	07/13/20	22.15	6.63 W	0.00	2101 of 2226 - Sale <sup>25</sup>
	2.000	15.52	07/13/20	21.81	6.29 W	0.00	2102 of 2226 - Sale <sup>25</sup>
	2.220	17.23	07/13/20	24.25	7.02 W	0.00	2103 of 2226 - Sale <sup>25</sup>
	2.240	17.39	07/13/20	24.70	7.31 W	0.00	2104 of 2226 - Sale <sup>25</sup>
	2.760	21.43	07/13/20	30.41	8.98 W	0.00	2105 of 2226 - Sale <sup>25</sup>
	2.920	22.66	07/13/20	31.65	8.99 W	0.00	2106 of 2226 - Sale <sup>25</sup>
	3.000	23.29	07/13/20	32.90	9.61 W	0.00	2107 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	23.29	07/13/20	32.76	9.47 W	0.00	2108 of 2226 - Sale <sup>25</sup>
	2.885	22.39	07/13/20	31.84	9.45 W	0.00	2109 of 2226 - Sale <sup>25</sup>
	4.000	31.05	07/13/20	43.71	12.66 W	0.00	2110 of 2226 - Sale <sup>25</sup>
	4.000	31.05	07/13/20	44.10	13.05 W	0.00	2111 of 2226 - Sale <sup>25</sup>
	4.220	32.75	07/13/20	46.23	13.48 W	0.00	2112 of 2226 - Sale <sup>25</sup>
	5.195	40.32	07/13/20	56.35	16.03 W	0.00	2113 of 2226 - Sale <sup>25</sup>
	6.000	46.58	07/13/20	65.49	18.91 W	0.00	2114 of 2226 - Sale <sup>25</sup>
	6.000	46.57	07/13/20	65.50	18.93 W	0.00	2115 of 2226 - Sale <sup>25</sup>
	8.000	62.09	07/13/20	87.57	25.48 W	0.00	2116 of 2226 - Sale <sup>25</sup>
	10.000	77.62	07/13/20	109.03	31.41 W	0.00	2117 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.03	3.25 W	0.00	2118 of 2226 - Sale <sup>25</sup>
	0.420	3.27	07/13/20	4.64	1.37 W	0.00	2119 of 2226 - Sale <sup>25</sup>
	0.780	6.07	07/13/20	8.78	2.71 W	0.00	2120 of 2226 - Sale <sup>25</sup>
	0.860	6.69	07/13/20	9.67	2.98 W	0.00	2121 of 2226 - Sale <sup>25</sup>
	0.920	7.16	07/13/20	10.44	3.28 W	0.00	2122 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.26	3.48 W	0.00	2123 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.32	3.54 W	0.00	2124 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.32	3.54 W	0.00	2125 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.26	3.48 W	0.00	2126 of 2226 - Sale <sup>25</sup>
	1.340	10.43	07/13/20	15.28	4.85 W	0.00	2127 of 2226 - Sale <sup>25</sup>
	1.640	12.76	07/13/20	18.50	5.74 W	0.00	2128 of 2226 - Sale <sup>25</sup>
	1.660	12.92	07/13/20	18.94	6.02 W	0.00	2129 of 2226 - Sale <sup>25</sup>
	2.000	15.56	07/13/20	22.54	6.98 W	0.00	2130 of 2226 - Sale <sup>25</sup>
	2.360	18.36	07/13/20	26.59	8.23 W	0.00	2131 of 2226 - Sale <sup>25</sup>
	2.920	22.72	07/13/20	32.21	9.49 W	0.00	2132 of 2226 - Sale <sup>25</sup>
	3.220	25.06	07/13/20	36.49	11.43 W	0.00	2133 of 2226 - Sale <sup>25</sup>
	3.780	29.41	07/13/20	42.78	13.37 W	0.00	2134 of 2226 - Sale <sup>25</sup>
	4.080	31.75	07/13/20	46.22	14.47 W	0.00	2135 of 2226 - Sale <sup>25</sup>
	4.195	32.64	07/13/20	46.27	13.63 W	0.00	2136 of 2226 - Sale <sup>25</sup>
	0.030	0.23	07/13/20	0.34	0.11 W	0.00	2137 of 2226 - Sale <sup>25</sup>
	0.220	1.71	07/13/20	2.53	0.82 W	0.00	2138 of 2226 - Sale <sup>25</sup>
	0.310	2.41	07/13/20	3.58	1.17 W	0.00	2139 of 2226 - Sale <sup>25</sup>
	0.350	2.72	07/13/20	4.04	1.32 W	0.00	2140 of 2226 - Sale <sup>25</sup>
	0.690	5.37	07/13/20	7.96	2.59 W	0.00	2141 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.690	5.37	07/13/20	7.93	2.56 W	0.00	2142 of 2226 - Sale <sup>25</sup>
	0.800	6.23	07/13/20	9.22	2.99 W	0.00	2143 of 2226 - Sale <sup>25</sup>
	0.870	6.77	07/13/20	9.98	3.21 W	0.00	2144 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.68	3.90 W	0.00	2145 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.67	3.89 W	0.00	2146 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.68	3.90 W	0.00	2147 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.47	3.69 W	0.00	2148 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.58	3.80 W	0.00	2149 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.60	3.82 W	0.00	2150 of 2226 - Sale <sup>25</sup>
	1.420	11.05	07/13/20	16.45	5.40 W	0.00	2151 of 2226 - Sale <sup>25</sup>
	1.560	12.14	07/13/20	18.10	5.96 W	0.00	2152 of 2226 - Sale <sup>25</sup>
	1.650	12.84	07/13/20	19.14	6.30 W	0.00	2153 of 2226 - Sale <sup>25</sup>
	1.670	13.00	07/13/20	19.38	6.38 W	0.00	2154 of 2226 - Sale <sup>25</sup>
	1.690	13.15	07/13/20	19.46	6.31 W	0.00	2155 of 2226 - Sale <sup>25</sup>
	2.000	15.56	07/13/20	22.98	7.42 W	0.00	2156 of 2226 - Sale <sup>25</sup>
	2.000	15.56	07/13/20	23.13	7.57 W	0.00	2157 of 2226 - Sale <sup>25</sup>
	2.350	18.29	07/13/20	27.21	8.92 W	0.00	2158 of 2226 - Sale <sup>25</sup>
	2.690	20.93	07/13/20	30.91	9.98 W	0.00	2159 of 2226 - Sale <sup>25</sup>
	2.895	22.53	07/13/20	33.56	11.03 W	0.00	2160 of 2226 - Sale <sup>25</sup>
	2.900	22.57	07/13/20	33.89	11.32 W	0.00	2161 of 2226 - Sale <sup>25</sup>
	3.000	23.34	07/13/20	34.59	11.25 W	0.00	2162 of 2226 - Sale <sup>25</sup>
	3.000	23.35	07/13/20	34.49	11.14 W	0.00	2163 of 2226 - Sale <sup>25</sup>
	4.000	31.13	07/13/20	46.26	15.13 W	0.00	2164 of 2226 - Sale <sup>25</sup>
	4.000	31.13	07/13/20	46.28	15.15 W	0.00	2165 of 2226 - Sale <sup>25</sup>
	4.310	33.54	07/13/20	49.66	16.12 W	0.00	2166 of 2226 - Sale <sup>25</sup>
	4.310	33.54	07/13/20	49.57	16.03 W	0.00	2167 of 2226 - Sale <sup>25</sup>
	4.420	34.40	07/13/20	50.83	16.43 W	0.00	2168 of 2226 - Sale <sup>25</sup>
	6.000	46.69	07/13/20	68.97	22.28 W	0.00	2169 of 2226 - Sale <sup>25</sup>
	1.105	8.60	07/13/20	12.80	4.20 W	0.00	2170 of 2226 - Sale <sup>25</sup>
	2.000	15.56	07/13/20	22.98	7.42 W	0.00	2171 of 2226 - Sale <sup>25</sup>
	2.895	22.53	07/13/20	33.17	10.64 W	0.00	2172 of 2226 - Sale <sup>25</sup>
	0.100	0.78	07/13/20	1.15	0.37 W	0.00	2173 of 2226 - Sale <sup>25</sup>
	0.105	0.82	07/13/20	1.20	0.38 W	0.00	2174 of 2226 - Sale <sup>25</sup>
	0.340	2.65	07/13/20	3.91	1.26 W	0.00	2175 of 2226 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.660	5.14	07/13/20	7.55	2.41 W	0.00	2176 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.59	3.81 W	0.00	2177 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.52	3.74 W	0.00	2178 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.54	3.76 W	0.00	2179 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.46	3.68 W	0.00	2180 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.46	3.68 W	0.00	2181 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.65	3.87 W	0.00	2182 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.66	3.88 W	0.00	2183 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.54	3.76 W	0.00	2184 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.55	3.77 W	0.00	2185 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.44	3.66 W	0.00	2186 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.44	3.66 W	0.00	2187 of 2226 - Sale <sup>25</sup>
	1.200	9.34	07/13/20	13.74	4.40 W	0.00	2188 of 2226 - Sale <sup>25</sup>
	1.350	10.51	07/13/20	15.42	4.91 W	0.00	2189 of 2226 - Sale <sup>25</sup>
	1.900	14.79	07/13/20	21.70	6.91 W	0.00	2190 of 2226 - Sale <sup>25</sup>
	2.000	15.56	07/13/20	23.08	7.52 W	0.00	2191 of 2226 - Sale <sup>25</sup>
	2.000	15.56	07/13/20	22.87	7.31 W	0.00	2192 of 2226 - Sale <sup>25</sup>
	2.360	18.36	07/13/20	27.49	9.13 W	0.00	2193 of 2226 - Sale <sup>25</sup>
	2.640	20.54	07/13/20	30.75	10.21 W	0.00	2194 of 2226 - Sale <sup>25</sup>
	3.000	23.34	07/13/20	34.68	11.34 W	0.00	2195 of 2226 - Sale <sup>25</sup>
	3.000	23.34	07/13/20	34.55	11.21 W	0.00	2196 of 2226 - Sale <sup>25</sup>
	3.000	23.34	07/13/20	34.50	11.16 W	0.00	2197 of 2226 - Sale <sup>25</sup>
	3.000	23.35	07/13/20	34.31	10.96 W	0.00	2198 of 2226 - Sale <sup>25</sup>
	3.675	28.60	07/13/20	41.99	13.39 W	0.00	2199 of 2226 - Sale <sup>25</sup>
	3.870	30.12	07/13/20	44.36	14.24 W	0.00	2200 of 2226 - Sale <sup>25</sup>
	4.000	31.13	07/13/20	46.60	15.47 W	0.00	2201 of 2226 - Sale <sup>25</sup>
	7.000	54.47	07/13/20	80.91	26.44 W	0.00	2202 of 2226 - Sale <sup>25</sup>
	7.000	54.47	07/13/20	80.34	25.87 W	0.00	2203 of 2226 - Sale <sup>25</sup>
	8.000	62.25	07/13/20	91.46	29.21 W	0.00	2204 of 2226 - Sale <sup>25</sup>
	11.000	85.60	07/13/20	126.65	41.05 W	0.00	2205 of 2226 - Sale <sup>25</sup>
	11.800	91.83	07/13/20	135.15	43.32 W	0.00	2206 of 2226 - Sale <sup>25</sup>
	0.440	3.42	07/13/20	5.11	1.69 W	0.00	2207 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	11.38	3.60 W	0.00	2208 of 2226 - Sale <sup>25</sup>
	3.105	24.16	07/13/20	35.42	11.26 W	0.00	2209 of 2226 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	70.03	07/13/20	104.69	34.66 W	0.00	2210 of 2226 - Sale <sup>25</sup>
	0.090	0.70	07/13/20	0.73	0.03 W	0.00	2211 of 2226 - Sale <sup>25</sup>
	0.780	6.07	07/13/20	6.40	0.33 W	0.00	2212 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	8.39	0.61 W	0.00	2213 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	8.39	0.61 W	0.00	2214 of 2226 - Sale <sup>25</sup>
	1.000	7.78	07/13/20	8.21	0.43 W	0.00	2215 of 2226 - Sale <sup>25</sup>
	1.580	12.30	07/13/20	13.19	0.89 W	0.00	2216 of 2226 - Sale <sup>25</sup>
	1.580	12.29	07/13/20	12.98	0.69 W	0.00	2217 of 2226 - Sale <sup>25</sup>
	2.205	17.16	07/13/20	18.10	0.94 W	0.00	2218 of 2226 - Sale <sup>25</sup>
	3.220	25.06	07/13/20	26.30	1.24 W	0.00	2219 of 2226 - Sale <sup>25</sup>
	4.090	31.83	07/13/20	33.33	1.50 W	0.00	2220 of 2226 - Sale <sup>25</sup>
	6.000	46.69	07/13/20	48.91	2.22 W	0.00	2221 of 2226 - Sale <sup>25</sup>
	6.910	53.77	07/13/20	56.35	2.58 W	0.00	2222 of 2226 - Sale <sup>25</sup>
	9.910	77.12	07/13/20	80.83	3.71 W	0.00	2223 of 2226 - Sale <sup>25</sup>
	10.780	83.89	07/13/20	87.92	4.03 W	0.00	2224 of 2226 - Sale <sup>25</sup>
	13.000	101.16	07/13/20	106.02	4.86 W	0.00	2225 of 2226 - Sale <sup>25</sup>
	23.310	181.39	07/13/20	190.07	8.68 W	0.00	2226 of 2226 - Sale <sup>25</sup>
07/13/20	6,184.900	48,704.41	Various	62,669.49	13,965.08 W	0.00	Total of 2226 transactions
	223 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	100.000	272.97	12/03/20	543.79	...	-270.82	1 of 223 - Sale <sup>25</sup>
	100.000	272.97	12/03/20	536.76	...	-263.79	2 of 223 - Sale <sup>25</sup>
	100.000	272.98	12/03/20	534.81	...	-261.83	3 of 223 - Sale <sup>25</sup>
	100.000	272.97	12/03/20	516.97	...	-244.00	4 of 223 - Sale <sup>25</sup>
	100.000	272.97	12/03/20	505.96	...	-232.99	5 of 223 - Sale <sup>25</sup>
	90.000	245.68	12/03/20	465.29	...	-219.61	6 of 223 - Sale <sup>25</sup>
	80.000	218.38	12/03/20	413.58	...	-195.20	7 of 223 - Sale <sup>25</sup>
	17.220	47.00	12/03/20	146.51	...	-99.51	8 of 223 - Sale <sup>25</sup>
	16.000	43.67	12/03/20	138.88	...	-95.21	9 of 223 - Sale <sup>25</sup>
	9.580	26.15	12/03/20	109.69	...	-83.54	10 of 223 - Sale <sup>25</sup>
	10.420	28.44	12/03/20	111.88	...	-83.44	11 of 223 - Sale <sup>25</sup>
	8.990	24.54	12/03/20	105.43	...	-80.89	12 of 223 - Sale <sup>25</sup>
	13.470	36.77	12/03/20	114.60	...	-77.83	13 of 223 - Sale <sup>25</sup>
	11.560	31.55	12/03/20	102.74	...	-71.19	14 of 223 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	91.07	...	-69.23	15 of 223 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
8.000		21.84	12/03/20	86.64	...	-64.80	16 of 223 - Sale <sup>25</sup>
6.580		17.96	12/03/20	77.62	...	-59.66	17 of 223 - Sale <sup>25</sup>
10.000		27.30	12/03/20	86.76	...	-59.46	18 of 223 - Sale <sup>25</sup>
23.000		62.78	12/03/20	121.56	...	-58.78	19 of 223 - Sale <sup>25</sup>
7.000		19.11	12/03/20	75.48	...	-56.37	20 of 223 - Sale <sup>25</sup>
9.550		26.07	12/03/20	81.17	...	-55.10	21 of 223 - Sale <sup>25</sup>
8.000		21.84	12/03/20	76.91	...	-55.07	22 of 223 - Sale <sup>25</sup>
6.010		16.41	12/03/20	70.55	...	-54.14	23 of 223 - Sale <sup>25</sup>
8.780		23.97	12/03/20	77.14	...	-53.17	24 of 223 - Sale <sup>25</sup>
8.470		23.12	12/03/20	74.25	...	-51.13	25 of 223 - Sale <sup>25</sup>
7.950		21.70	12/03/20	69.24	...	-47.54	26 of 223 - Sale <sup>25</sup>
5.420		14.80	12/03/20	60.11	...	-45.31	27 of 223 - Sale <sup>25</sup>
7.440		20.31	12/03/20	65.20	...	-44.89	28 of 223 - Sale <sup>25</sup>
4.770		13.02	12/03/20	54.20	...	-41.18	29 of 223 - Sale <sup>25</sup>
6.090		16.62	12/03/20	57.78	...	-41.16	30 of 223 - Sale <sup>25</sup>
6.780		18.51	12/03/20	59.51	...	-41.00	31 of 223 - Sale <sup>25</sup>
4.865		13.28	12/03/20	54.05	...	-40.77	32 of 223 - Sale <sup>25</sup>
6.860		18.73	12/03/20	59.48	...	-40.75	33 of 223 - Sale <sup>25</sup>
4.640		12.66	12/03/20	52.99	...	-40.33	34 of 223 - Sale <sup>25</sup>
5.000		13.65	12/03/20	53.63	...	-39.98	35 of 223 - Sale <sup>25</sup>
6.360		17.36	12/03/20	55.85	...	-38.49	36 of 223 - Sale <sup>25</sup>
4.360		11.90	12/03/20	49.63	...	-37.73	37 of 223 - Sale <sup>25</sup>
4.460		12.17	12/03/20	49.88	...	-37.71	38 of 223 - Sale <sup>25</sup>
6.220		16.98	12/03/20	54.07	...	-37.09	39 of 223 - Sale <sup>25</sup>
6.140		16.76	12/03/20	53.30	...	-36.54	40 of 223 - Sale <sup>25</sup>
4.460		12.17	12/03/20	48.17	...	-36.00	41 of 223 - Sale <sup>25</sup>
6.000		16.38	12/03/20	52.26	...	-35.88	42 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	46.35	...	-35.43	43 of 223 - Sale <sup>25</sup>
3.360		9.17	12/03/20	43.80	...	-34.63	44 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	45.21	...	-34.29	45 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	44.37	...	-33.45	46 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	44.15	...	-33.23	47 of 223 - Sale <sup>25</sup>
4.140		11.30	12/03/20	44.11	...	-32.81	48 of 223 - Sale <sup>25</sup>
4.810		13.13	12/03/20	45.84	...	-32.71	49 of 223 - Sale <sup>25</sup>

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(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
12.000		32.76	12/03/20	65.45	...	-32.69	50 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	43.56	...	-32.64	51 of 223 - Sale <sup>25</sup>
12.000		32.76	12/03/20	65.34	...	-32.58	52 of 223 - Sale <sup>25</sup>
4.050		11.06	12/03/20	43.51	...	-32.45	53 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	43.28	...	-32.36	54 of 223 - Sale <sup>25</sup>
3.950		10.78	12/03/20	42.94	...	-32.16	55 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	42.89	...	-31.97	56 of 223 - Sale <sup>25</sup>
4.000		10.92	12/03/20	42.55	...	-31.63	57 of 223 - Sale <sup>25</sup>
3.950		10.78	12/03/20	42.41	...	-31.63	58 of 223 - Sale <sup>25</sup>
3.420		9.34	12/03/20	40.46	...	-31.12	59 of 223 - Sale <sup>25</sup>
5.080		13.87	12/03/20	44.62	...	-30.75	60 of 223 - Sale <sup>25</sup>
5.070		13.84	12/03/20	44.06	...	-30.22	61 of 223 - Sale <sup>25</sup>
3.440		9.39	12/03/20	38.25	...	-28.86	62 of 223 - Sale <sup>25</sup>
3.540		9.66	12/03/20	38.03	...	-28.37	63 of 223 - Sale <sup>25</sup>
3.100		8.46	12/03/20	36.33	...	-27.87	64 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	35.25	...	-27.06	65 of 223 - Sale <sup>25</sup>
2.900		7.92	12/03/20	34.02	...	-26.10	66 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	34.25	...	-26.06	67 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	34.15	...	-25.96	68 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	33.36	...	-25.17	69 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	33.34	...	-25.15	70 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	33.02	...	-24.83	71 of 223 - Sale <sup>25</sup>
10.000		27.30	12/03/20	51.70	...	-24.40	72 of 223 - Sale <sup>25</sup>
10.000		27.30	12/03/20	51.65	...	-24.35	73 of 223 - Sale <sup>25</sup>
10.000		27.30	12/03/20	51.65	...	-24.35	74 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	32.44	...	-24.25	75 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	32.37	...	-24.18	76 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	32.35	...	-24.16	77 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	32.24	...	-24.05	78 of 223 - Sale <sup>25</sup>
2.995		8.18	12/03/20	31.95	...	-23.77	79 of 223 - Sale <sup>25</sup>
3.000		8.19	12/03/20	31.96	...	-23.77	80 of 223 - Sale <sup>25</sup>
10.000		27.30	12/03/20	50.90	...	-23.60	81 of 223 - Sale <sup>25</sup>
2.580		7.04	12/03/20	30.54	...	-23.50	82 of 223 - Sale <sup>25</sup>
2.780		7.59	12/03/20	30.02	...	-22.43	83 of 223 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2.420		6.61	12/03/20	28.50	...	-21.89	84 of 223 - Sale <sup>25</sup>
2.580		7.04	12/03/20	28.04	...	-21.00	85 of 223 - Sale <sup>25</sup>
2.580		7.04	12/03/20	27.69	...	-20.65	86 of 223 - Sale <sup>25</sup>
2.540		6.93	12/03/20	27.24	...	-20.31	87 of 223 - Sale <sup>25</sup>
2.230		6.09	12/03/20	25.40	...	-19.31	88 of 223 - Sale <sup>25</sup>
2.360		6.44	12/03/20	25.45	...	-19.01	89 of 223 - Sale <sup>25</sup>
2.185		5.96	12/03/20	24.32	...	-18.36	90 of 223 - Sale <sup>25</sup>
2.300		6.28	12/03/20	24.51	...	-18.23	91 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	23.44	...	-17.98	92 of 223 - Sale <sup>25</sup>
2.140		5.84	12/03/20	23.79	...	-17.95	93 of 223 - Sale <sup>25</sup>
2.080		5.68	12/03/20	23.50	...	-17.82	94 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	23.16	...	-17.70	95 of 223 - Sale <sup>25</sup>
2.140		5.84	12/03/20	23.23	...	-17.39	96 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	22.76	...	-17.30	97 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	22.51	...	-17.05	98 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	22.37	...	-16.91	99 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	22.24	...	-16.78	100 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	22.21	...	-16.75	101 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	22.12	...	-16.66	102 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	22.12	...	-16.66	103 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	21.80	...	-16.34	104 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	21.49	...	-16.03	105 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	21.29	...	-15.83	106 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	21.23	...	-15.77	107 of 223 - Sale <sup>25</sup>
2.090		5.70	12/03/20	20.15	...	-14.45	108 of 223 - Sale <sup>25</sup>
2.000		5.46	12/03/20	19.30	...	-13.84	109 of 223 - Sale <sup>25</sup>
1.540		4.20	12/03/20	17.93	...	-13.73	110 of 223 - Sale <sup>25</sup>
1.540		4.20	12/03/20	16.63	...	-12.43	111 of 223 - Sale <sup>25</sup>
1.470		4.01	12/03/20	15.79	...	-11.78	112 of 223 - Sale <sup>25</sup>
1.350		3.68	12/03/20	15.42	...	-11.74	113 of 223 - Sale <sup>25</sup>
1.460		3.99	12/03/20	15.68	...	-11.69	114 of 223 - Sale <sup>25</sup>
1.460		3.99	12/03/20	15.55	...	-11.56	115 of 223 - Sale <sup>25</sup>
1.220		3.33	12/03/20	13.04	...	-9.71	116 of 223 - Sale <sup>25</sup>
1.140		3.11	12/03/20	12.65	...	-9.54	117 of 223 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	11.80	...	-9.07	118 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.77	...	-9.04	119 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.74	...	-9.01	120 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.64	...	-8.91	121 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.64	...	-8.91	122 of 223 - Sale <sup>25</sup>
	1.490	4.07	12/03/20	12.95	...	-8.88	123 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.41	...	-8.68	124 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.29	...	-8.56	125 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.29	...	-8.56	126 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.29	...	-8.56	127 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.28	...	-8.55	128 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.27	...	-8.54	129 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.27	...	-8.54	130 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.13	...	-8.40	131 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.09	...	-8.36	132 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.08	...	-8.35	133 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.06	...	-8.33	134 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.06	...	-8.33	135 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.06	...	-8.33	136 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.06	...	-8.33	137 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.06	...	-8.33	138 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.04	...	-8.31	139 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.90	...	-8.17	140 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.84	...	-8.11	141 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.82	...	-8.09	142 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.82	...	-8.09	143 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.81	...	-8.08	144 of 223 - Sale <sup>25</sup>
	0.995	2.72	12/03/20	10.78	...	-8.06	145 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.79	...	-8.06	146 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.78	...	-8.05	147 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.78	...	-8.05	148 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.77	...	-8.04	149 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.76	...	-8.03	150 of 223 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.75	...	-8.02	151 of 223 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.590	1.61	12/03/20	6.34	...	-4.73	186 of 223 - Sale <sup>25</sup>
	0.580	1.58	12/03/20	6.19	...	-4.61	187 of 223 - Sale <sup>25</sup>
	0.540	1.47	12/03/20	5.84	...	-4.37	188 of 223 - Sale <sup>25</sup>
	0.540	1.47	12/03/20	5.83	...	-4.36	189 of 223 - Sale <sup>25</sup>
	0.540	1.47	12/03/20	5.80	...	-4.33	190 of 223 - Sale <sup>25</sup>
	0.540	1.47	12/03/20	5.80	...	-4.33	191 of 223 - Sale <sup>25</sup>
	0.540	1.47	12/03/20	5.76	...	-4.29	192 of 223 - Sale <sup>25</sup>
	0.530	1.45	12/03/20	5.70	...	-4.25	193 of 223 - Sale <sup>25</sup>
	0.460	1.26	12/03/20	5.33	...	-4.07	194 of 223 - Sale <sup>25</sup>
	0.460	1.26	12/03/20	4.96	...	-3.70	195 of 223 - Sale <sup>25</sup>
	0.460	1.26	12/03/20	4.95	...	-3.69	196 of 223 - Sale <sup>25</sup>
	0.460	1.26	12/03/20	4.94	...	-3.68	197 of 223 - Sale <sup>25</sup>
	0.460	1.26	12/03/20	4.93	...	-3.67	198 of 223 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.68	...	-3.53	199 of 223 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.65	...	-3.50	200 of 223 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.57	...	-3.42	201 of 223 - Sale <sup>25</sup>
	0.410	1.12	12/03/20	4.54	...	-3.42	202 of 223 - Sale <sup>25</sup>
	0.410	1.12	12/03/20	4.54	...	-3.42	203 of 223 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.53	...	-3.38	204 of 223 - Sale <sup>25</sup>
	0.370	1.01	12/03/20	4.10	...	-3.09	205 of 223 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	4.00	...	-3.02	206 of 223 - Sale <sup>25</sup>
	0.335	0.91	12/03/20	3.91	...	-3.00	207 of 223 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	3.85	...	-2.87	208 of 223 - Sale <sup>25</sup>
	0.320	0.87	12/03/20	3.47	...	-2.60	209 of 223 - Sale <sup>25</sup>
	0.320	0.87	12/03/20	3.46	...	-2.59	210 of 223 - Sale <sup>25</sup>
	0.230	0.63	12/03/20	2.62	...	-1.99	211 of 223 - Sale <sup>25</sup>
	0.240	0.66	12/03/20	2.55	...	-1.89	212 of 223 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.44	...	-1.84	213 of 223 - Sale <sup>25</sup>
	0.230	0.63	12/03/20	2.46	...	-1.83	214 of 223 - Sale <sup>25</sup>
	0.310	0.85	12/03/20	2.63	...	-1.78	215 of 223 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	1.92	...	-1.32	216 of 223 - Sale <sup>25</sup>
	0.135	0.37	12/03/20	1.50	...	-1.13	217 of 223 - Sale <sup>25</sup>
	0.140	0.38	12/03/20	1.19	...	-0.81	218 of 223 - Sale <sup>25</sup>
	0.080	0.22	12/03/20	0.72	...	-0.50	219 of 223 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.000	24.03	07/13/20	81.86	57.83 W	0.00	29 of 2632 - Sale <sup>25</sup>
	0.140	0.37	07/13/20	1.34	0.97 W	0.00	30 of 2632 - Sale <sup>25</sup>
	0.490	1.31	07/13/20	4.74	3.43 W	0.00	31 of 2632 - Sale <sup>25</sup>
	0.540	1.44	07/13/20	5.13	3.69 W	0.00	32 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.53	6.86 W	0.00	33 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.54	6.87 W	0.00	34 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.65	6.98 W	0.00	35 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.64	6.97 W	0.00	36 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.62	6.95 W	0.00	37 of 2632 - Sale <sup>25</sup>
	1.510	4.03	07/13/20	14.58	10.55 W	0.00	38 of 2632 - Sale <sup>25</sup>
	1.580	4.22	07/13/20	15.05	10.83 W	0.00	39 of 2632 - Sale <sup>25</sup>
	2.005	5.35	07/13/20	19.24	13.89 W	0.00	40 of 2632 - Sale <sup>25</sup>
	2.510	6.70	07/13/20	24.14	17.44 W	0.00	41 of 2632 - Sale <sup>25</sup>
	2.860	7.64	07/13/20	27.53	19.89 W	0.00	42 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	28.87	20.86 W	0.00	43 of 2632 - Sale <sup>25</sup>
	3.490	9.32	07/13/20	33.56	24.24 W	0.00	44 of 2632 - Sale <sup>25</sup>
	4.320	11.53	07/13/20	41.16	29.63 W	0.00	45 of 2632 - Sale <sup>25</sup>
	0.120	0.32	07/13/20	1.27	0.95 W	0.00	46 of 2632 - Sale <sup>25</sup>
	0.125	0.33	07/13/20	1.30	0.97 W	0.00	47 of 2632 - Sale <sup>25</sup>
	0.320	0.85	07/13/20	3.41	2.56 W	0.00	48 of 2632 - Sale <sup>25</sup>
	0.350	0.93	07/13/20	3.70	2.77 W	0.00	49 of 2632 - Sale <sup>25</sup>
	0.360	0.96	07/13/20	3.86	2.90 W	0.00	50 of 2632 - Sale <sup>25</sup>
	0.360	0.96	07/13/20	3.82	2.86 W	0.00	51 of 2632 - Sale <sup>25</sup>
	0.360	0.96	07/13/20	3.93	2.97 W	0.00	52 of 2632 - Sale <sup>25</sup>
	0.460	1.23	07/13/20	4.79	3.56 W	0.00	53 of 2632 - Sale <sup>25</sup>
	0.540	1.44	07/13/20	5.70	4.26 W	0.00	54 of 2632 - Sale <sup>25</sup>
	0.580	1.55	07/13/20	6.28	4.73 W	0.00	55 of 2632 - Sale <sup>25</sup>
	0.640	1.71	07/13/20	6.84	5.13 W	0.00	56 of 2632 - Sale <sup>25</sup>
	0.640	1.71	07/13/20	6.94	5.23 W	0.00	57 of 2632 - Sale <sup>25</sup>
	0.660	1.76	07/13/20	7.02	5.26 W	0.00	58 of 2632 - Sale <sup>25</sup>
	0.700	1.87	07/13/20	7.35	5.48 W	0.00	59 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	8.23	6.15 W	0.00	60 of 2632 - Sale <sup>25</sup>
	0.790	2.11	07/13/20	8.43	6.32 W	0.00	61 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	9.15	6.85 W	0.00	62 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.860	2.30	07/13/20	8.99	6.69 W	0.00	63 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.72	8.05 W	0.00	64 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.64	7.97 W	0.00	65 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.64	7.97 W	0.00	66 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.63	7.96 W	0.00	67 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.62	7.95 W	0.00	68 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.79	8.12 W	0.00	69 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.79	8.12 W	0.00	70 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.80	8.13 W	0.00	71 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.62	7.95 W	0.00	72 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.57	7.90 W	0.00	73 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.56	7.89 W	0.00	74 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.35	7.68 W	0.00	75 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.37	7.70 W	0.00	76 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.37	7.70 W	0.00	77 of 2632 - Sale <sup>25</sup>
	1.120	2.99	07/13/20	11.90	8.91 W	0.00	78 of 2632 - Sale <sup>25</sup>
	1.340	3.58	07/13/20	14.26	10.68 W	0.00	79 of 2632 - Sale <sup>25</sup>
	1.360	3.63	07/13/20	14.67	11.04 W	0.00	80 of 2632 - Sale <sup>25</sup>
	1.440	3.84	07/13/20	15.22	11.38 W	0.00	81 of 2632 - Sale <sup>25</sup>
	1.440	3.84	07/13/20	15.12	11.28 W	0.00	82 of 2632 - Sale <sup>25</sup>
	1.780	4.75	07/13/20	18.86	14.11 W	0.00	83 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.39	16.05 W	0.00	84 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.32	15.98 W	0.00	85 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.72	16.38 W	0.00	86 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.18	15.84 W	0.00	87 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.08	15.74 W	0.00	88 of 2632 - Sale <sup>25</sup>
	2.070	5.53	07/13/20	22.05	16.52 W	0.00	89 of 2632 - Sale <sup>25</sup>
	2.140	5.71	07/13/20	22.77	17.06 W	0.00	90 of 2632 - Sale <sup>25</sup>
	2.540	6.78	07/13/20	26.15	19.37 W	0.00	91 of 2632 - Sale <sup>25</sup>
	2.655	7.09	07/13/20	28.26	21.17 W	0.00	92 of 2632 - Sale <sup>25</sup>
	2.680	7.16	07/13/20	28.53	21.37 W	0.00	93 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	29.47	22.05 W	0.00	94 of 2632 - Sale <sup>25</sup>
	2.880	7.69	07/13/20	30.64	22.95 W	0.00	95 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	32.02	24.01 W	0.00	96 of 2632 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
3.000		8.01	07/13/20	31.91	23.90 W	0.00	97 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.91	23.90 W	0.00	98 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.42	23.41 W	0.00	99 of 2632 - Sale <sup>25</sup>
3.880		10.36	07/13/20	41.22	30.86 W	0.00	100 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	42.40	31.72 W	0.00	101 of 2632 - Sale <sup>25</sup>
4.420		11.80	07/13/20	47.89	36.09 W	0.00	102 of 2632 - Sale <sup>25</sup>
4.665		12.46	07/13/20	49.66	37.20 W	0.00	103 of 2632 - Sale <sup>25</sup>
5.000		13.35	07/13/20	53.21	39.86 W	0.00	104 of 2632 - Sale <sup>25</sup>
5.000		13.35	07/13/20	52.47	39.12 W	0.00	105 of 2632 - Sale <sup>25</sup>
6.000		16.02	07/13/20	64.22	48.20 W	0.00	106 of 2632 - Sale <sup>25</sup>
6.430		17.17	07/13/20	67.89	50.72 W	0.00	107 of 2632 - Sale <sup>25</sup>
8.000		21.36	07/13/20	86.94	65.58 W	0.00	108 of 2632 - Sale <sup>25</sup>
11.220		29.96	07/13/20	118.40	88.44 W	0.00	109 of 2632 - Sale <sup>25</sup>
0.120		0.32	07/13/20	1.29	0.97 W	0.00	110 of 2632 - Sale <sup>25</sup>
0.580		1.55	07/13/20	6.30	4.75 W	0.00	111 of 2632 - Sale <sup>25</sup>
0.690		1.84	07/13/20	7.53	5.69 W	0.00	112 of 2632 - Sale <sup>25</sup>
0.880		2.35	07/13/20	9.59	7.24 W	0.00	113 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.92	8.25 W	0.00	114 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.91	8.24 W	0.00	115 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.88	8.21 W	0.00	116 of 2632 - Sale <sup>25</sup>
1.880		5.02	07/13/20	20.48	15.46 W	0.00	117 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.50	16.16 W	0.00	118 of 2632 - Sale <sup>25</sup>
2.850		7.61	07/13/20	31.10	23.49 W	0.00	119 of 2632 - Sale <sup>25</sup>
3.140		8.38	07/13/20	33.74	25.36 W	0.00	120 of 2632 - Sale <sup>25</sup>
10.000		26.70	07/13/20	109.17	82.47 W	0.00	121 of 2632 - Sale <sup>25</sup>
0.220		0.59	07/13/20	2.32	1.73 W	0.00	122 of 2632 - Sale <sup>25</sup>
0.350		0.93	07/13/20	3.70	2.77 W	0.00	123 of 2632 - Sale <sup>25</sup>
0.360		0.96	07/13/20	3.77	2.81 W	0.00	124 of 2632 - Sale <sup>25</sup>
0.640		1.71	07/13/20	6.73	5.02 W	0.00	125 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.51	7.84 W	0.00	126 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.49	7.82 W	0.00	127 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.48	7.81 W	0.00	128 of 2632 - Sale <sup>25</sup>
1.220		3.26	07/13/20	12.84	9.58 W	0.00	129 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.14	15.80 W	0.00	130 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2.430		6.49	07/13/20	25.65	19.16 W	0.00	131 of 2632 - Sale <sup>25</sup>
2.640		7.05	07/13/20	27.63	20.58 W	0.00	132 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.48	23.47 W	0.00	133 of 2632 - Sale <sup>25</sup>
3.360		8.97	07/13/20	35.11	26.14 W	0.00	134 of 2632 - Sale <sup>25</sup>
5.000		13.35	07/13/20	52.94	39.59 W	0.00	135 of 2632 - Sale <sup>25</sup>
5.320		14.20	07/13/20	55.85	41.65 W	0.00	136 of 2632 - Sale <sup>25</sup>
6.780		18.10	07/13/20	71.17	53.07 W	0.00	137 of 2632 - Sale <sup>25</sup>
7.000		18.69	07/13/20	74.09	55.40 W	0.00	138 of 2632 - Sale <sup>25</sup>
10.000		26.70	07/13/20	105.94	79.24 W	0.00	139 of 2632 - Sale <sup>25</sup>
0.070		0.19	07/13/20	0.53	0.34 W	0.00	140 of 2632 - Sale <sup>25</sup>
0.190		0.51	07/13/20	1.41	0.90 W	0.00	141 of 2632 - Sale <sup>25</sup>
0.190		0.51	07/13/20	1.40	0.89 W	0.00	142 of 2632 - Sale <sup>25</sup>
0.215		0.57	07/13/20	1.65	1.08 W	0.00	143 of 2632 - Sale <sup>25</sup>
0.830		2.22	07/13/20	6.16	3.94 W	0.00	144 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	7.60	4.93 W	0.00	145 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	7.37	4.70 W	0.00	146 of 2632 - Sale <sup>25</sup>
1.450		3.87	07/13/20	10.90	7.03 W	0.00	147 of 2632 - Sale <sup>25</sup>
1.530		4.09	07/13/20	11.37	7.28 W	0.00	148 of 2632 - Sale <sup>25</sup>
2.260		6.03	07/13/20	16.63	10.60 W	0.00	149 of 2632 - Sale <sup>25</sup>
2.360		6.30	07/13/20	17.90	11.60 W	0.00	150 of 2632 - Sale <sup>25</sup>
2.450		6.54	07/13/20	18.08	11.54 W	0.00	151 of 2632 - Sale <sup>25</sup>
2.640		7.05	07/13/20	20.06	13.01 W	0.00	152 of 2632 - Sale <sup>25</sup>
2.930		7.82	07/13/20	22.04	14.22 W	0.00	153 of 2632 - Sale <sup>25</sup>
3.550		9.48	07/13/20	26.68	17.20 W	0.00	154 of 2632 - Sale <sup>25</sup>
3.670		9.80	07/13/20	27.72	17.92 W	0.00	155 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	30.33	19.65 W	0.00	156 of 2632 - Sale <sup>25</sup>
4.030		10.76	07/13/20	30.29	19.53 W	0.00	157 of 2632 - Sale <sup>25</sup>
5.100		13.62	07/13/20	37.54	23.92 W	0.00	158 of 2632 - Sale <sup>25</sup>
6.450		17.22	07/13/20	48.49	31.27 W	0.00	159 of 2632 - Sale <sup>25</sup>
7.450		19.89	07/13/20	55.76	35.87 W	0.00	160 of 2632 - Sale <sup>25</sup>
8.000		21.36	07/13/20	60.11	38.75 W	0.00	161 of 2632 - Sale <sup>25</sup>
10.970		29.29	07/13/20	82.99	53.70 W	0.00	162 of 2632 - Sale <sup>25</sup>
11.580		30.92	07/13/20	87.87	56.95 W	0.00	163 of 2632 - Sale <sup>25</sup>
11.970		31.96	07/13/20	90.42	58.46 W	0.00	164 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	18.880	50.41	07/13/20	142.83	92.42 W	0.00	165 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	29.06	21.05 W	0.00	166 of 2632 - Sale <sup>25</sup>
	0.030	0.08	07/13/20	0.23	0.15 W	0.00	167 of 2632 - Sale <sup>25</sup>
	0.090	0.24	07/13/20	0.70	0.46 W	0.00	168 of 2632 - Sale <sup>25</sup>
	0.100	0.27	07/13/20	0.76	0.49 W	0.00	169 of 2632 - Sale <sup>25</sup>
	0.100	0.27	07/13/20	0.77	0.50 W	0.00	170 of 2632 - Sale <sup>25</sup>
	0.100	0.27	07/13/20	0.77	0.50 W	0.00	171 of 2632 - Sale <sup>25</sup>
	0.100	0.27	07/13/20	0.80	0.53 W	0.00	172 of 2632 - Sale <sup>25</sup>
	0.400	1.07	07/13/20	3.87	2.80 W	0.00	173 of 2632 - Sale <sup>25</sup>
	0.500	1.34	07/13/20	3.87	2.53 W	0.00	174 of 2632 - Sale <sup>25</sup>
	0.560	1.50	07/13/20	5.38	3.88 W	0.00	175 of 2632 - Sale <sup>25</sup>
	0.640	1.71	07/13/20	6.17	4.46 W	0.00	176 of 2632 - Sale <sup>25</sup>
	0.910	2.43	07/13/20	7.06	4.63 W	0.00	177 of 2632 - Sale <sup>25</sup>
	0.910	2.43	07/13/20	7.10	4.67 W	0.00	178 of 2632 - Sale <sup>25</sup>
	0.970	2.59	07/13/20	7.16	4.57 W	0.00	179 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.62	6.95 W	0.00	180 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.22	5.55 W	0.00	181 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.23	5.56 W	0.00	182 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.19	5.52 W	0.00	183 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.19	5.52 W	0.00	184 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.83	5.16 W	0.00	185 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.82	5.15 W	0.00	186 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.75	5.08 W	0.00	187 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.78	5.11 W	0.00	188 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.79	5.12 W	0.00	189 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.79	5.12 W	0.00	190 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.79	5.12 W	0.00	191 of 2632 - Sale <sup>25</sup>
	2.330	6.22	07/13/20	19.08	12.86 W	0.00	192 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	23.41	15.40 W	0.00	193 of 2632 - Sale <sup>25</sup>
	3.090	8.25	07/13/20	24.11	15.86 W	0.00	194 of 2632 - Sale <sup>25</sup>
	4.125	11.01	07/13/20	32.35	21.34 W	0.00	195 of 2632 - Sale <sup>25</sup>
	4.780	12.76	07/13/20	37.30	24.54 W	0.00	196 of 2632 - Sale <sup>25</sup>
	6.090	16.26	07/13/20	47.70	31.44 W	0.00	197 of 2632 - Sale <sup>25</sup>
	6.640	17.73	07/13/20	54.34	36.61 W	0.00	198 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.180	21.84	07/13/20	78.86	57.02 W	0.00	199 of 2632 - Sale <sup>25</sup>
	9.550	25.50	07/13/20	74.78	49.28 W	0.00	200 of 2632 - Sale <sup>25</sup>
	10.140	27.07	07/13/20	83.67	56.60 W	0.00	201 of 2632 - Sale <sup>25</sup>
	10.910	29.13	07/13/20	90.09	60.96 W	0.00	202 of 2632 - Sale <sup>25</sup>
	11.310	30.20	07/13/20	93.37	63.17 W	0.00	203 of 2632 - Sale <sup>25</sup>
	0.140	0.37	07/13/20	1.16	0.79 W	0.00	204 of 2632 - Sale <sup>25</sup>
	0.160	0.43	07/13/20	1.34	0.91 W	0.00	205 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	1.85	1.26 W	0.00	206 of 2632 - Sale <sup>25</sup>
	0.360	0.96	07/13/20	3.02	2.06 W	0.00	207 of 2632 - Sale <sup>25</sup>
	0.640	1.71	07/13/20	5.31	3.60 W	0.00	208 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	7.21	4.91 W	0.00	209 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.40	5.73 W	0.00	210 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.49	5.82 W	0.00	211 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.48	5.81 W	0.00	212 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	10.19	6.93 W	0.00	213 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	10.40	7.14 W	0.00	214 of 2632 - Sale <sup>25</sup>
	1.360	3.63	07/13/20	11.54	7.91 W	0.00	215 of 2632 - Sale <sup>25</sup>
	1.440	3.84	07/13/20	12.35	8.51 W	0.00	216 of 2632 - Sale <sup>25</sup>
	1.640	4.38	07/13/20	13.75	9.37 W	0.00	217 of 2632 - Sale <sup>25</sup>
	1.920	5.13	07/13/20	16.10	10.97 W	0.00	218 of 2632 - Sale <sup>25</sup>
	2.020	5.39	07/13/20	17.22	11.83 W	0.00	219 of 2632 - Sale <sup>25</sup>
	2.060	5.50	07/13/20	17.18	11.68 W	0.00	220 of 2632 - Sale <sup>25</sup>
	2.140	5.71	07/13/20	17.87	12.16 W	0.00	221 of 2632 - Sale <sup>25</sup>
	2.325	6.21	07/13/20	19.69	13.48 W	0.00	222 of 2632 - Sale <sup>25</sup>
	2.465	6.58	07/13/20	20.66	14.08 W	0.00	223 of 2632 - Sale <sup>25</sup>
	2.540	6.78	07/13/20	21.19	14.41 W	0.00	224 of 2632 - Sale <sup>25</sup>
	2.580	6.89	07/13/20	21.92	15.03 W	0.00	225 of 2632 - Sale <sup>25</sup>
	2.640	7.05	07/13/20	22.10	15.05 W	0.00	226 of 2632 - Sale <sup>25</sup>
	2.740	7.32	07/13/20	22.76	15.44 W	0.00	227 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	23.28	15.86 W	0.00	228 of 2632 - Sale <sup>25</sup>
	2.840	7.58	07/13/20	23.76	16.18 W	0.00	229 of 2632 - Sale <sup>25</sup>
	2.860	7.64	07/13/20	24.30	16.66 W	0.00	230 of 2632 - Sale <sup>25</sup>
	2.860	7.64	07/13/20	24.00	16.36 W	0.00	231 of 2632 - Sale <sup>25</sup>
	2.895	7.73	07/13/20	24.52	16.79 W	0.00	232 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.150	8.41	07/13/20	26.79	18.38 W	0.00	233 of 2632 - Sale <sup>25</sup>
	3.160	8.44	07/13/20	26.37	17.93 W	0.00	234 of 2632 - Sale <sup>25</sup>
	3.220	8.60	07/13/20	27.63	19.03 W	0.00	235 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	33.50	22.82 W	0.00	236 of 2632 - Sale <sup>25</sup>
	4.090	10.92	07/13/20	34.78	23.86 W	0.00	237 of 2632 - Sale <sup>25</sup>
	4.140	11.05	07/13/20	34.67	23.62 W	0.00	238 of 2632 - Sale <sup>25</sup>
	4.200	11.21	07/13/20	35.22	24.01 W	0.00	239 of 2632 - Sale <sup>25</sup>
	4.260	11.37	07/13/20	35.61	24.24 W	0.00	240 of 2632 - Sale <sup>25</sup>
	4.560	12.18	07/13/20	38.04	25.86 W	0.00	241 of 2632 - Sale <sup>25</sup>
	4.675	12.48	07/13/20	39.18	26.70 W	0.00	242 of 2632 - Sale <sup>25</sup>
	4.780	12.76	07/13/20	40.46	27.70 W	0.00	243 of 2632 - Sale <sup>25</sup>
	4.780	12.76	07/13/20	40.00	27.24 W	0.00	244 of 2632 - Sale <sup>25</sup>
	4.810	12.84	07/13/20	40.64	27.80 W	0.00	245 of 2632 - Sale <sup>25</sup>
	4.860	12.98	07/13/20	40.74	27.76 W	0.00	246 of 2632 - Sale <sup>25</sup>
	4.920	13.14	07/13/20	42.22	29.08 W	0.00	247 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	41.89	28.54 W	0.00	248 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	41.52	28.17 W	0.00	249 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	41.53	28.18 W	0.00	250 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	41.91	28.56 W	0.00	251 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	42.56	29.21 W	0.00	252 of 2632 - Sale <sup>25</sup>
	5.140	13.72	07/13/20	43.06	29.34 W	0.00	253 of 2632 - Sale <sup>25</sup>
	5.220	13.94	07/13/20	43.53	29.59 W	0.00	254 of 2632 - Sale <sup>25</sup>
	5.220	13.94	07/13/20	44.25	30.31 W	0.00	255 of 2632 - Sale <sup>25</sup>
	5.620	15.01	07/13/20	46.70	31.69 W	0.00	256 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	50.37	34.35 W	0.00	257 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	51.47	35.45 W	0.00	258 of 2632 - Sale <sup>25</sup>
	6.090	16.26	07/13/20	51.21	34.95 W	0.00	259 of 2632 - Sale <sup>25</sup>
	6.360	16.98	07/13/20	53.03	36.05 W	0.00	260 of 2632 - Sale <sup>25</sup>
	6.360	16.98	07/13/20	53.97	36.99 W	0.00	261 of 2632 - Sale <sup>25</sup>
	6.640	17.73	07/13/20	55.76	38.03 W	0.00	262 of 2632 - Sale <sup>25</sup>
	6.640	17.73	07/13/20	55.63	37.90 W	0.00	263 of 2632 - Sale <sup>25</sup>
	6.640	17.73	07/13/20	56.42	38.69 W	0.00	264 of 2632 - Sale <sup>25</sup>
	6.780	18.10	07/13/20	57.65	39.55 W	0.00	265 of 2632 - Sale <sup>25</sup>
	6.820	18.21	07/13/20	57.11	38.90 W	0.00	266 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.840	18.26	07/13/20	57.07	38.81 W	0.00	267 of 2632 - Sale <sup>25</sup>
	7.720	20.61	07/13/20	64.75	44.14 W	0.00	268 of 2632 - Sale <sup>25</sup>
	7.840	20.93	07/13/20	65.45	44.52 W	0.00	269 of 2632 - Sale <sup>25</sup>
	8.080	21.57	07/13/20	67.54	45.97 W	0.00	270 of 2632 - Sale <sup>25</sup>
	8.780	23.44	07/13/20	74.66	51.22 W	0.00	271 of 2632 - Sale <sup>25</sup>
	9.260	24.72	07/13/20	77.24	52.52 W	0.00	272 of 2632 - Sale <sup>25</sup>
	9.740	26.01	07/13/20	81.42	55.41 W	0.00	273 of 2632 - Sale <sup>25</sup>
	10.000	26.70	07/13/20	84.75	58.05 W	0.00	274 of 2632 - Sale <sup>25</sup>
	11.640	31.08	07/13/20	98.80	67.72 W	0.00	275 of 2632 - Sale <sup>25</sup>
	13.780	36.79	07/13/20	118.23	81.44 W	0.00	276 of 2632 - Sale <sup>25</sup>
	13.880	37.06	07/13/20	117.28	80.22 W	0.00	277 of 2632 - Sale <sup>25</sup>
	14.620	39.04	07/13/20	122.42	83.38 W	0.00	278 of 2632 - Sale <sup>25</sup>
	16.000	42.72	07/13/20	133.77	91.05 W	0.00	279 of 2632 - Sale <sup>25</sup>
	18.780	50.14	07/13/20	159.05	108.91 W	0.00	280 of 2632 - Sale <sup>25</sup>
	19.540	52.17	07/13/20	166.68	114.51 W	0.00	281 of 2632 - Sale <sup>25</sup>
	24.070	64.27	07/13/20	204.66	140.39 W	0.00	282 of 2632 - Sale <sup>25</sup>
	24.160	64.51	07/13/20	202.21	137.70 W	0.00	283 of 2632 - Sale <sup>25</sup>
	26.770	71.48	07/13/20	227.55	156.07 W	0.00	284 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	17.14	11.80 W	0.00	285 of 2632 - Sale <sup>25</sup>
	2.090	5.58	07/13/20	17.90	12.32 W	0.00	286 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	68.27	46.91 W	0.00	287 of 2632 - Sale <sup>25</sup>
	0.050	0.13	07/13/20	0.48	0.35 W	0.00	288 of 2632 - Sale <sup>25</sup>
	0.420	1.12	07/13/20	4.12	3.00 W	0.00	289 of 2632 - Sale <sup>25</sup>
	0.420	1.12	07/13/20	4.20	3.08 W	0.00	290 of 2632 - Sale <sup>25</sup>
	0.580	1.55	07/13/20	5.79	4.24 W	0.00	291 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	8.61	6.31 W	0.00	292 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.54	6.87 W	0.00	293 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.82	7.15 W	0.00	294 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.98	7.31 W	0.00	295 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.98	7.31 W	0.00	296 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.98	7.31 W	0.00	297 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.98	7.31 W	0.00	298 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.96	7.29 W	0.00	299 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.01	7.34 W	0.00	300 of 2632 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
1.140		3.04	07/13/20	11.41	8.37 W	0.00	301 of 2632 - Sale <sup>25</sup>
1.860		4.97	07/13/20	18.15	13.18 W	0.00	302 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	19.13	13.79 W	0.00	303 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	19.07	13.73 W	0.00	304 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	19.63	14.29 W	0.00	305 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	19.96	14.62 W	0.00	306 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	19.96	14.62 W	0.00	307 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.08	14.74 W	0.00	308 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.21	14.87 W	0.00	309 of 2632 - Sale <sup>25</sup>
2.140		5.71	07/13/20	20.91	15.20 W	0.00	310 of 2632 - Sale <sup>25</sup>
2.140		5.71	07/13/20	21.47	15.76 W	0.00	311 of 2632 - Sale <sup>25</sup>
2.580		6.89	07/13/20	25.25	18.36 W	0.00	312 of 2632 - Sale <sup>25</sup>
2.995		8.00	07/13/20	28.71	20.71 W	0.00	313 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	28.72	20.71 W	0.00	314 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.01	23.00 W	0.00	315 of 2632 - Sale <sup>25</sup>
3.440		9.18	07/13/20	34.52	25.34 W	0.00	316 of 2632 - Sale <sup>25</sup>
3.950		10.55	07/13/20	38.69	28.14 W	0.00	317 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	38.23	27.55 W	0.00	318 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	39.24	28.56 W	0.00	319 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	39.83	29.15 W	0.00	320 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	40.05	29.37 W	0.00	321 of 2632 - Sale <sup>25</sup>
4.140		11.05	07/13/20	39.63	28.58 W	0.00	322 of 2632 - Sale <sup>25</sup>
5.000		13.35	07/13/20	50.15	36.80 W	0.00	323 of 2632 - Sale <sup>25</sup>
5.420		14.47	07/13/20	54.26	39.79 W	0.00	324 of 2632 - Sale <sup>25</sup>
0.220		0.59	07/13/20	2.21	1.62 W	0.00	325 of 2632 - Sale <sup>25</sup>
0.320		0.85	07/13/20	3.23	2.38 W	0.00	326 of 2632 - Sale <sup>25</sup>
0.335		0.89	07/13/20	3.54	2.65 W	0.00	327 of 2632 - Sale <sup>25</sup>
0.360		0.96	07/13/20	3.61	2.65 W	0.00	328 of 2632 - Sale <sup>25</sup>
0.360		0.96	07/13/20	3.58	2.62 W	0.00	329 of 2632 - Sale <sup>25</sup>
0.420		1.12	07/13/20	4.23	3.11 W	0.00	330 of 2632 - Sale <sup>25</sup>
0.420		1.12	07/13/20	4.22	3.10 W	0.00	331 of 2632 - Sale <sup>25</sup>
0.460		1.23	07/13/20	4.84	3.61 W	0.00	332 of 2632 - Sale <sup>25</sup>
0.530		1.42	07/13/20	5.31	3.89 W	0.00	333 of 2632 - Sale <sup>25</sup>
0.540		1.44	07/13/20	5.68	4.24 W	0.00	334 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.580		1.55	07/13/20	5.77	4.22 W	0.00	335 of 2632 - Sale <sup>25</sup>
0.580		1.55	07/13/20	5.77	4.22 W	0.00	336 of 2632 - Sale <sup>25</sup>
0.640		1.71	07/13/20	6.43	4.72 W	0.00	337 of 2632 - Sale <sup>25</sup>
0.640		1.71	07/13/20	6.37	4.66 W	0.00	338 of 2632 - Sale <sup>25</sup>
0.640		1.71	07/13/20	6.37	4.66 W	0.00	339 of 2632 - Sale <sup>25</sup>
0.680		1.82	07/13/20	6.83	5.01 W	0.00	340 of 2632 - Sale <sup>25</sup>
0.780		2.08	07/13/20	7.79	5.71 W	0.00	341 of 2632 - Sale <sup>25</sup>
0.815		2.18	07/13/20	8.15	5.97 W	0.00	342 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.56	7.89 W	0.00	343 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.56	7.89 W	0.00	344 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.72	8.05 W	0.00	345 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.00	7.33 W	0.00	346 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	9.98	7.31 W	0.00	347 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.05	7.38 W	0.00	348 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.11	7.44 W	0.00	349 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.09	7.42 W	0.00	350 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.09	7.42 W	0.00	351 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.08	7.41 W	0.00	352 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.05	7.38 W	0.00	353 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.05	7.38 W	0.00	354 of 2632 - Sale <sup>25</sup>
1.000		2.67	07/13/20	10.06	7.39 W	0.00	355 of 2632 - Sale <sup>25</sup>
1.470		3.92	07/13/20	14.71	10.79 W	0.00	356 of 2632 - Sale <sup>25</sup>
1.540		4.11	07/13/20	16.26	12.15 W	0.00	357 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.60	15.26 W	0.00	358 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.05	14.71 W	0.00	359 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.03	14.69 W	0.00	360 of 2632 - Sale <sup>25</sup>
2.185		5.83	07/13/20	21.95	16.12 W	0.00	361 of 2632 - Sale <sup>25</sup>
2.360		6.30	07/13/20	23.72	17.42 W	0.00	362 of 2632 - Sale <sup>25</sup>
2.420		6.46	07/13/20	25.89	19.43 W	0.00	363 of 2632 - Sale <sup>25</sup>
2.580		6.89	07/13/20	27.75	20.86 W	0.00	364 of 2632 - Sale <sup>25</sup>
2.580		6.89	07/13/20	25.81	18.92 W	0.00	365 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	30.10	22.09 W	0.00	366 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	30.12	22.11 W	0.00	367 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	29.78	21.77 W	0.00	368 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	8.01	07/13/20	30.16	22.15 W	0.00	369 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	30.25	22.24 W	0.00	370 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	30.18	22.17 W	0.00	371 of 2632 - Sale <sup>25</sup>
	3.420	9.13	07/13/20	36.77	27.64 W	0.00	372 of 2632 - Sale <sup>25</sup>
	3.950	10.55	07/13/20	39.54	28.99 W	0.00	373 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	40.36	29.68 W	0.00	374 of 2632 - Sale <sup>25</sup>
	4.050	10.81	07/13/20	40.54	29.73 W	0.00	375 of 2632 - Sale <sup>25</sup>
	6.580	17.57	07/13/20	70.51	52.94 W	0.00	376 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	70.37	51.68 W	0.00	377 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	80.80	59.44 W	0.00	378 of 2632 - Sale <sup>25</sup>
	9.580	25.58	07/13/20	99.35	73.77 W	0.00	379 of 2632 - Sale <sup>25</sup>
	10.420	27.82	07/13/20	104.27	76.45 W	0.00	380 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	381 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	382 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	383 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	384 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	385 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	386 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	387 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	388 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	389 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	390 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	391 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	392 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	393 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	394 of 2632 - Sale <sup>25</sup>
	0.010	0.03	07/13/20	0.10	0.07 W	0.00	395 of 2632 - Sale <sup>25</sup>
	0.080	0.21	07/13/20	0.64	0.43 W	0.00	396 of 2632 - Sale <sup>25</sup>
	0.080	0.21	07/13/20	0.81	0.60 W	0.00	397 of 2632 - Sale <sup>25</sup>
	0.090	0.24	07/13/20	0.92	0.68 W	0.00	398 of 2632 - Sale <sup>25</sup>
	0.140	0.37	07/13/20	1.07	0.70 W	0.00	399 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	1.75	1.16 W	0.00	400 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.29	1.70 W	0.00	401 of 2632 - Sale <sup>25</sup>
	0.240	0.64	07/13/20	2.38	1.74 W	0.00	402 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.300		0.80	07/13/20	3.06	2.26 W	0.00	403 of 2632 - Sale <sup>25</sup>
0.310		0.83	07/13/20	2.38	1.55 W	0.00	404 of 2632 - Sale <sup>25</sup>
0.310		0.83	07/13/20	3.12	2.29 W	0.00	405 of 2632 - Sale <sup>25</sup>
0.320		0.85	07/13/20	3.19	2.34 W	0.00	406 of 2632 - Sale <sup>25</sup>
0.350		0.93	07/13/20	3.55	2.62 W	0.00	407 of 2632 - Sale <sup>25</sup>
0.360		0.96	07/13/20	3.74	2.78 W	0.00	408 of 2632 - Sale <sup>25</sup>
0.360		0.96	07/13/20	3.67	2.71 W	0.00	409 of 2632 - Sale <sup>25</sup>
0.460		1.23	07/13/20	4.62	3.39 W	0.00	410 of 2632 - Sale <sup>25</sup>
0.460		1.23	07/13/20	4.59	3.36 W	0.00	411 of 2632 - Sale <sup>25</sup>
0.460		1.23	07/13/20	4.56	3.33 W	0.00	412 of 2632 - Sale <sup>25</sup>
0.460		1.23	07/13/20	4.57	3.34 W	0.00	413 of 2632 - Sale <sup>25</sup>
0.540		1.44	07/13/20	5.44	4.00 W	0.00	414 of 2632 - Sale <sup>25</sup>
0.540		1.44	07/13/20	5.39	3.95 W	0.00	415 of 2632 - Sale <sup>25</sup>
0.540		1.44	07/13/20	5.32	3.88 W	0.00	416 of 2632 - Sale <sup>25</sup>
0.540		1.44	07/13/20	5.36	3.92 W	0.00	417 of 2632 - Sale <sup>25</sup>
0.540		1.44	07/13/20	5.36	3.92 W	0.00	418 of 2632 - Sale <sup>25</sup>
0.560		1.50	07/13/20	6.09	4.59 W	0.00	419 of 2632 - Sale <sup>25</sup>
0.640		1.71	07/13/20	6.72	5.01 W	0.00	420 of 2632 - Sale <sup>25</sup>
0.640		1.71	07/13/20	6.62	4.91 W	0.00	421 of 2632 - Sale <sup>25</sup>
0.650		1.74	07/13/20	6.59	4.85 W	0.00	422 of 2632 - Sale <sup>25</sup>
0.680		1.82	07/13/20	6.81	4.99 W	0.00	423 of 2632 - Sale <sup>25</sup>
0.680		1.82	07/13/20	6.78	4.96 W	0.00	424 of 2632 - Sale <sup>25</sup>
0.680		1.82	07/13/20	6.74	4.92 W	0.00	425 of 2632 - Sale <sup>25</sup>
0.690		1.84	07/13/20	7.00	5.16 W	0.00	426 of 2632 - Sale <sup>25</sup>
0.690		1.84	07/13/20	6.93	5.09 W	0.00	427 of 2632 - Sale <sup>25</sup>
0.740		1.98	07/13/20	7.74	5.76 W	0.00	428 of 2632 - Sale <sup>25</sup>
0.760		2.03	07/13/20	8.02	5.99 W	0.00	429 of 2632 - Sale <sup>25</sup>
0.780		2.08	07/13/20	7.74	5.66 W	0.00	430 of 2632 - Sale <sup>25</sup>
0.780		2.08	07/13/20	7.77	5.69 W	0.00	431 of 2632 - Sale <sup>25</sup>
0.910		2.43	07/13/20	7.20	4.77 W	0.00	432 of 2632 - Sale <sup>25</sup>
0.910		2.43	07/13/20	9.20	6.77 W	0.00	433 of 2632 - Sale <sup>25</sup>
0.910		2.43	07/13/20	9.19	6.76 W	0.00	434 of 2632 - Sale <sup>25</sup>
0.920		2.46	07/13/20	9.63	7.17 W	0.00	435 of 2632 - Sale <sup>25</sup>
0.990		2.64	07/13/20	10.05	7.41 W	0.00	436 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.990	2.64	07/13/20	10.07	7.43 W	0.00	437 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.03	7.39 W	0.00	438 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.05	7.41 W	0.00	439 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.05	7.41 W	0.00	440 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.03	7.39 W	0.00	441 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.00	7.36 W	0.00	442 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.03	7.39 W	0.00	443 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.04	7.40 W	0.00	444 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.02	7.38 W	0.00	445 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	9.97	7.33 W	0.00	446 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	9.97	7.33 W	0.00	447 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.04	7.37 W	0.00	448 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.02	7.35 W	0.00	449 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.00	7.33 W	0.00	450 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.90	7.23 W	0.00	451 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.95	7.28 W	0.00	452 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.90	7.23 W	0.00	453 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.93	7.26 W	0.00	454 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.87	5.20 W	0.00	455 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.84	5.17 W	0.00	456 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.84	5.17 W	0.00	457 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.97	5.30 W	0.00	458 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.94	8.27 W	0.00	459 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.91	8.24 W	0.00	460 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.44	7.77 W	0.00	461 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.44	7.77 W	0.00	462 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.46	7.79 W	0.00	463 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.46	7.79 W	0.00	464 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.45	7.78 W	0.00	465 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.46	7.79 W	0.00	466 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.58	7.91 W	0.00	467 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.40	7.73 W	0.00	468 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.16	7.49 W	0.00	469 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.16	7.49 W	0.00	470 of 2632 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.67	07/13/20	10.13	7.46 W	0.00	471 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.14	7.47 W	0.00	472 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.14	7.47 W	0.00	473 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.12	7.45 W	0.00	474 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.14	7.47 W	0.00	475 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.09	7.42 W	0.00	476 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.10	7.43 W	0.00	477 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.11	7.44 W	0.00	478 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.13	7.46 W	0.00	479 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.11	7.44 W	0.00	480 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.10	7.43 W	0.00	481 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.07	7.40 W	0.00	482 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.10	7.43 W	0.00	483 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	12.15	8.89 W	0.00	484 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	9.36	6.10 W	0.00	485 of 2632 - Sale <sup>25</sup>
	1.260	3.36	07/13/20	13.14	9.78 W	0.00	486 of 2632 - Sale <sup>25</sup>
	1.260	3.36	07/13/20	12.90	9.54 W	0.00	487 of 2632 - Sale <sup>25</sup>
	1.310	3.50	07/13/20	10.41	6.91 W	0.00	488 of 2632 - Sale <sup>25</sup>
	1.460	3.90	07/13/20	14.62	10.72 W	0.00	489 of 2632 - Sale <sup>25</sup>
	1.460	3.90	07/13/20	14.49	10.59 W	0.00	490 of 2632 - Sale <sup>25</sup>
	1.490	3.98	07/13/20	11.75	7.77 W	0.00	491 of 2632 - Sale <sup>25</sup>
	1.540	4.11	07/13/20	15.34	11.23 W	0.00	492 of 2632 - Sale <sup>25</sup>
	1.540	4.11	07/13/20	15.38	11.27 W	0.00	493 of 2632 - Sale <sup>25</sup>
	1.990	5.31	07/13/20	20.29	14.98 W	0.00	494 of 2632 - Sale <sup>25</sup>
	1.990	5.31	07/13/20	20.32	15.01 W	0.00	495 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.78	16.44 W	0.00	496 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	20.85	15.51 W	0.00	497 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	20.32	14.98 W	0.00	498 of 2632 - Sale <sup>25</sup>
	2.080	5.55	07/13/20	21.76	16.21 W	0.00	499 of 2632 - Sale <sup>25</sup>
	2.140	5.71	07/13/20	22.28	16.57 W	0.00	500 of 2632 - Sale <sup>25</sup>
	2.240	5.98	07/13/20	23.64	17.66 W	0.00	501 of 2632 - Sale <sup>25</sup>
	2.240	5.98	07/13/20	23.70	17.72 W	0.00	502 of 2632 - Sale <sup>25</sup>
	2.300	6.14	07/13/20	22.83	16.69 W	0.00	503 of 2632 - Sale <sup>25</sup>
	2.540	6.78	07/13/20	25.38	18.60 W	0.00	504 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2.780		7.42	07/13/20	27.76	20.34 W	0.00	505 of 2632 - Sale <sup>25</sup>
2.885		7.70	07/13/20	29.59	21.89 W	0.00	506 of 2632 - Sale <sup>25</sup>
2.900		7.74	07/13/20	31.61	23.87 W	0.00	507 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	29.81	21.80 W	0.00	508 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	32.76	24.75 W	0.00	509 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.66	23.65 W	0.00	510 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.11	23.10 W	0.00	511 of 2632 - Sale <sup>25</sup>
3.100		8.28	07/13/20	33.76	25.48 W	0.00	512 of 2632 - Sale <sup>25</sup>
3.360		8.97	07/13/20	34.26	25.29 W	0.00	513 of 2632 - Sale <sup>25</sup>
3.540		9.45	07/13/20	35.44	25.99 W	0.00	514 of 2632 - Sale <sup>25</sup>
3.740		9.99	07/13/20	38.35	28.36 W	0.00	515 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	39.97	29.29 W	0.00	516 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	41.89	31.21 W	0.00	517 of 2632 - Sale <sup>25</sup>
4.000		10.68	07/13/20	40.73	30.05 W	0.00	518 of 2632 - Sale <sup>25</sup>
4.080		10.89	07/13/20	42.46	31.57 W	0.00	519 of 2632 - Sale <sup>25</sup>
4.360		11.64	07/13/20	46.01	34.37 W	0.00	520 of 2632 - Sale <sup>25</sup>
4.460		11.91	07/13/20	46.63	34.72 W	0.00	521 of 2632 - Sale <sup>25</sup>
4.460		11.91	07/13/20	44.56	32.65 W	0.00	522 of 2632 - Sale <sup>25</sup>
4.640		12.39	07/13/20	49.13	36.74 W	0.00	523 of 2632 - Sale <sup>25</sup>
4.640		12.39	07/13/20	47.31	34.92 W	0.00	524 of 2632 - Sale <sup>25</sup>
5.000		13.35	07/13/20	49.98	36.63 W	0.00	525 of 2632 - Sale <sup>25</sup>
5.070		13.54	07/13/20	39.96	26.42 W	0.00	526 of 2632 - Sale <sup>25</sup>
5.080		13.56	07/13/20	40.45	26.89 W	0.00	527 of 2632 - Sale <sup>25</sup>
5.650		15.09	07/13/20	57.62	42.53 W	0.00	528 of 2632 - Sale <sup>25</sup>
5.780		15.43	07/13/20	59.62	44.19 W	0.00	529 of 2632 - Sale <sup>25</sup>
5.780		15.43	07/13/20	60.40	44.97 W	0.00	530 of 2632 - Sale <sup>25</sup>
6.000		16.02	07/13/20	47.40	31.38 W	0.00	531 of 2632 - Sale <sup>25</sup>
6.000		16.02	07/13/20	62.50	46.48 W	0.00	532 of 2632 - Sale <sup>25</sup>
6.120		16.34	07/13/20	64.78	48.44 W	0.00	533 of 2632 - Sale <sup>25</sup>
6.140		16.39	07/13/20	48.32	31.93 W	0.00	534 of 2632 - Sale <sup>25</sup>
6.220		16.61	07/13/20	49.03	32.42 W	0.00	535 of 2632 - Sale <sup>25</sup>
6.360		16.98	07/13/20	50.63	33.65 W	0.00	536 of 2632 - Sale <sup>25</sup>
6.780		18.10	07/13/20	53.95	35.85 W	0.00	537 of 2632 - Sale <sup>25</sup>
6.860		18.32	07/13/20	53.92	35.60 W	0.00	538 of 2632 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	Account 143648111
<b>Proceeds from Broker and Barter Exchange Transactions</b>	
2020 1099-B* OMB No. 1545-0715	(continued) 02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.440	19.87	07/13/20	59.10	39.23 W	0.00	539 of 2632 - Sale <sup>25</sup>
	7.950	21.23	07/13/20	62.80	41.57 W	0.00	540 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	84.43	63.07 W	0.00	541 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	83.58	62.22 W	0.00	542 of 2632 - Sale <sup>25</sup>
	8.470	22.62	07/13/20	67.30	44.68 W	0.00	543 of 2632 - Sale <sup>25</sup>
	8.780	23.44	07/13/20	69.94	46.50 W	0.00	544 of 2632 - Sale <sup>25</sup>
	10.000	26.70	07/13/20	78.66	51.96 W	0.00	545 of 2632 - Sale <sup>25</sup>
	10.780	28.78	07/13/20	82.88	54.10 W	0.00	546 of 2632 - Sale <sup>25</sup>
	11.560	30.87	07/13/20	93.27	62.40 W	0.00	547 of 2632 - Sale <sup>25</sup>
	13.470	35.97	07/13/20	103.55	67.58 W	0.00	548 of 2632 - Sale <sup>25</sup>
	15.000	40.05	07/13/20	163.62	123.57 W	0.00	549 of 2632 - Sale <sup>25</sup>
	16.000	42.72	07/13/20	125.92	83.20 W	0.00	550 of 2632 - Sale <sup>25</sup>
	17.220	45.98	07/13/20	132.38	86.40 W	0.00	551 of 2632 - Sale <sup>25</sup>
	0.140	0.37	07/13/20	1.39	1.02 W	0.00	552 of 2632 - Sale <sup>25</sup>
	0.210	0.56	07/13/20	2.01	1.45 W	0.00	553 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.25	1.66 W	0.00	554 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.20	1.61 W	0.00	555 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.18	1.59 W	0.00	556 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.29	1.70 W	0.00	557 of 2632 - Sale <sup>25</sup>
	0.300	0.80	07/13/20	3.03	2.23 W	0.00	558 of 2632 - Sale <sup>25</sup>
	0.310	0.83	07/13/20	3.45	2.62 W	0.00	559 of 2632 - Sale <sup>25</sup>
	0.320	0.85	07/13/20	3.25	2.40 W	0.00	560 of 2632 - Sale <sup>25</sup>
	0.420	1.12	07/13/20	4.40	3.28 W	0.00	561 of 2632 - Sale <sup>25</sup>
	0.440	1.17	07/13/20	4.92	3.75 W	0.00	562 of 2632 - Sale <sup>25</sup>
	0.440	1.17	07/13/20	4.89	3.72 W	0.00	563 of 2632 - Sale <sup>25</sup>
	0.560	1.50	07/13/20	5.49	3.99 W	0.00	564 of 2632 - Sale <sup>25</sup>
	0.560	1.50	07/13/20	4.40	2.90 W	0.00	565 of 2632 - Sale <sup>25</sup>
	0.560	1.50	07/13/20	5.85	4.35 W	0.00	566 of 2632 - Sale <sup>25</sup>
	0.560	1.50	07/13/20	6.32	4.82 W	0.00	567 of 2632 - Sale <sup>25</sup>
	0.630	1.68	07/13/20	5.09	3.41 W	0.00	568 of 2632 - Sale <sup>25</sup>
	0.690	1.84	07/13/20	6.61	4.77 W	0.00	569 of 2632 - Sale <sup>25</sup>
	0.690	1.84	07/13/20	7.21	5.37 W	0.00	570 of 2632 - Sale <sup>25</sup>
	0.690	1.84	07/13/20	7.72	5.88 W	0.00	571 of 2632 - Sale <sup>25</sup>
	0.690	1.84	07/13/20	7.73	5.89 W	0.00	572 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.700	1.87	07/13/20	6.99	5.12 W	0.00	573 of 2632 - Sale <sup>25</sup>
	0.750	2.00	07/13/20	8.47	6.47 W	0.00	574 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.63	5.55 W	0.00	575 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.56	5.48 W	0.00	576 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.55	5.47 W	0.00	577 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	8.12	6.04 W	0.00	578 of 2632 - Sale <sup>25</sup>
	0.790	2.11	07/13/20	7.62	5.51 W	0.00	579 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	8.45	6.15 W	0.00	580 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.57	6.90 W	0.00	581 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.56	6.89 W	0.00	582 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.53	6.86 W	0.00	583 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.67	7.00 W	0.00	584 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.67	7.00 W	0.00	585 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.67	7.00 W	0.00	586 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.64	6.97 W	0.00	587 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.66	6.99 W	0.00	588 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.98	7.31 W	0.00	589 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.03	7.36 W	0.00	590 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.14	7.47 W	0.00	591 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.13	7.46 W	0.00	592 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.12	7.45 W	0.00	593 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.79	7.12 W	0.00	594 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.80	7.13 W	0.00	595 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.12	5.45 W	0.00	596 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.96	5.29 W	0.00	597 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.02	5.35 W	0.00	598 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.40	7.73 W	0.00	599 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.43	7.76 W	0.00	600 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.44	7.77 W	0.00	601 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.39	7.72 W	0.00	602 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.40	7.73 W	0.00	603 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.41	7.74 W	0.00	604 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.56	7.89 W	0.00	605 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.57	7.90 W	0.00	606 of 2632 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)								
		1.000	2.67	07/13/20	10.51	7.84 W	0.00	607 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	10.83	8.16 W	0.00	608 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	10.45	7.78 W	0.00	609 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.24	8.57 W	0.00	610 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.22	8.55 W	0.00	611 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.17	8.50 W	0.00	612 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.15	8.48 W	0.00	613 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.16	8.49 W	0.00	614 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.20	8.53 W	0.00	615 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.18	8.51 W	0.00	616 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.21	8.54 W	0.00	617 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.17	8.50 W	0.00	618 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.19	8.52 W	0.00	619 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.18	8.51 W	0.00	620 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.17	8.50 W	0.00	621 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.19	8.52 W	0.00	622 of 2632 - Sale <sup>25</sup>
		1.000	2.67	07/13/20	11.12	8.45 W	0.00	623 of 2632 - Sale <sup>25</sup>
		1.070	2.86	07/13/20	11.93	9.07 W	0.00	624 of 2632 - Sale <sup>25</sup>
		1.140	3.04	07/13/20	11.26	8.22 W	0.00	625 of 2632 - Sale <sup>25</sup>
		1.220	3.26	07/13/20	11.92	8.66 W	0.00	626 of 2632 - Sale <sup>25</sup>
		1.310	3.50	07/13/20	14.67	11.17 W	0.00	627 of 2632 - Sale <sup>25</sup>
		1.310	3.50	07/13/20	14.69	11.19 W	0.00	628 of 2632 - Sale <sup>25</sup>
		1.360	3.63	07/13/20	14.18	10.55 W	0.00	629 of 2632 - Sale <sup>25</sup>
		1.440	3.84	07/13/20	11.22	7.38 W	0.00	630 of 2632 - Sale <sup>25</sup>
		1.440	3.84	07/13/20	14.92	11.08 W	0.00	631 of 2632 - Sale <sup>25</sup>
		1.460	3.90	07/13/20	13.97	10.07 W	0.00	632 of 2632 - Sale <sup>25</sup>
		1.780	4.75	07/13/20	19.85	15.10 W	0.00	633 of 2632 - Sale <sup>25</sup>
		1.780	4.75	07/13/20	19.85	15.10 W	0.00	634 of 2632 - Sale <sup>25</sup>
		1.850	4.94	07/13/20	20.75	15.81 W	0.00	635 of 2632 - Sale <sup>25</sup>
		1.900	5.07	07/13/20	19.21	14.14 W	0.00	636 of 2632 - Sale <sup>25</sup>
		2.000	5.34	07/13/20	19.13	13.79 W	0.00	637 of 2632 - Sale <sup>25</sup>
		2.000	5.34	07/13/20	19.07	13.73 W	0.00	638 of 2632 - Sale <sup>25</sup>
		2.000	5.34	07/13/20	20.24	14.90 W	0.00	639 of 2632 - Sale <sup>25</sup>
		2.000	5.34	07/13/20	19.58	14.24 W	0.00	640 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	5.34	07/13/20	20.85	15.51 W	0.00	641 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.01	15.67 W	0.00	642 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	22.32	16.98 W	0.00	643 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	22.20	16.86 W	0.00	644 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	22.29	16.95 W	0.00	645 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	22.31	16.97 W	0.00	646 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	22.24	16.90 W	0.00	647 of 2632 - Sale <sup>25</sup>
	2.080	5.55	07/13/20	16.27	10.72 W	0.00	648 of 2632 - Sale <sup>25</sup>
	2.100	5.61	07/13/20	23.71	18.10 W	0.00	649 of 2632 - Sale <sup>25</sup>
	2.150	5.74	07/13/20	24.11	18.37 W	0.00	650 of 2632 - Sale <sup>25</sup>
	2.220	5.93	07/13/20	24.81	18.88 W	0.00	651 of 2632 - Sale <sup>25</sup>
	2.300	6.14	07/13/20	22.53	16.39 W	0.00	652 of 2632 - Sale <sup>25</sup>
	2.440	6.51	07/13/20	25.29	18.78 W	0.00	653 of 2632 - Sale <sup>25</sup>
	2.560	6.84	07/13/20	28.55	21.71 W	0.00	654 of 2632 - Sale <sup>25</sup>
	2.690	7.18	07/13/20	28.34	21.16 W	0.00	655 of 2632 - Sale <sup>25</sup>
	2.760	7.37	07/13/20	30.68	23.31 W	0.00	656 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	26.68	19.26 W	0.00	657 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	28.15	20.73 W	0.00	658 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	31.00	23.58 W	0.00	659 of 2632 - Sale <sup>25</sup>
	2.930	7.82	07/13/20	32.62	24.80 W	0.00	660 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	29.77	21.76 W	0.00	661 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	30.11	22.10 W	0.00	662 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	24.46	16.45 W	0.00	663 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	31.54	23.53 W	0.00	664 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	33.46	25.45 W	0.00	665 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	33.55	25.54 W	0.00	666 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	33.55	25.54 W	0.00	667 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	33.57	25.56 W	0.00	668 of 2632 - Sale <sup>25</sup>
	3.150	8.41	07/13/20	35.56	27.15 W	0.00	669 of 2632 - Sale <sup>25</sup>
	3.440	9.18	07/13/20	38.34	29.16 W	0.00	670 of 2632 - Sale <sup>25</sup>
	3.560	9.51	07/13/20	37.17	27.66 W	0.00	671 of 2632 - Sale <sup>25</sup>
	3.780	10.09	07/13/20	37.96	27.87 W	0.00	672 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	38.76	28.08 W	0.00	673 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	40.17	29.49 W	0.00	674 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	10.68	07/13/20	41.65	30.97 W	0.00	675 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	41.59	30.91 W	0.00	676 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	41.77	31.09 W	0.00	677 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	44.66	33.98 W	0.00	678 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	44.61	33.93 W	0.00	679 of 2632 - Sale <sup>25</sup>
	4.220	11.27	07/13/20	42.43	31.16 W	0.00	680 of 2632 - Sale <sup>25</sup>
	4.310	11.51	07/13/20	45.40	33.89 W	0.00	681 of 2632 - Sale <sup>25</sup>
	4.310	11.51	07/13/20	45.04	33.53 W	0.00	682 of 2632 - Sale <sup>25</sup>
	4.370	11.67	07/13/20	35.31	23.64 W	0.00	683 of 2632 - Sale <sup>25</sup>
	4.920	13.14	07/13/20	47.17	34.03 W	0.00	684 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	49.28	35.93 W	0.00	685 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	52.08	38.73 W	0.00	686 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	52.62	39.27 W	0.00	687 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	52.65	39.30 W	0.00	688 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	56.33	42.98 W	0.00	689 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	55.84	42.49 W	0.00	690 of 2632 - Sale <sup>25</sup>
	5.220	13.94	07/13/20	50.06	36.12 W	0.00	691 of 2632 - Sale <sup>25</sup>
	5.610	14.98	07/13/20	53.77	38.79 W	0.00	692 of 2632 - Sale <sup>25</sup>
	5.780	15.43	07/13/20	55.29	39.86 W	0.00	693 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	57.42	41.40 W	0.00	694 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	64.19	48.17 W	0.00	695 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	67.01	50.99 W	0.00	696 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	67.31	51.29 W	0.00	697 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	57.09	38.40 W	0.00	698 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	78.08	59.39 W	0.00	699 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	78.31	59.62 W	0.00	700 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	77.85	59.16 W	0.00	701 of 2632 - Sale <sup>25</sup>
	7.920	21.15	07/13/20	61.98	40.83 W	0.00	702 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	62.51	41.15 W	0.00	703 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	89.05	67.69 W	0.00	704 of 2632 - Sale <sup>25</sup>
	9.000	24.03	07/13/20	100.49	76.46 W	0.00	705 of 2632 - Sale <sup>25</sup>
	10.000	26.70	07/13/20	104.27	77.57 W	0.00	706 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.29	1.70 W	0.00	707 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.93	5.85 W	0.00	708 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.795	2.12	07/13/20	8.10	5.98 W	0.00	709 of 2632 - Sale <sup>25</sup>
	1.920	5.13	07/13/20	19.60	14.47 W	0.00	710 of 2632 - Sale <sup>25</sup>
	3.780	10.09	07/13/20	38.57	28.48 W	0.00	711 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	51.06	37.71 W	0.00	712 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	61.22	45.20 W	0.00	713 of 2632 - Sale <sup>25</sup>
	7.300	19.49	07/13/20	74.50	55.01 W	0.00	714 of 2632 - Sale <sup>25</sup>
	8.780	23.44	07/13/20	89.58	66.14 W	0.00	715 of 2632 - Sale <sup>25</sup>
	0.140	0.37	07/13/20	1.48	1.11 W	0.00	716 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.34	1.75 W	0.00	717 of 2632 - Sale <sup>25</sup>
	0.360	0.96	07/13/20	3.75	2.79 W	0.00	718 of 2632 - Sale <sup>25</sup>
	0.420	1.12	07/13/20	4.41	3.29 W	0.00	719 of 2632 - Sale <sup>25</sup>
	0.640	1.71	07/13/20	6.68	4.97 W	0.00	720 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	8.11	6.03 W	0.00	721 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	8.36	6.28 W	0.00	722 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	8.98	6.68 W	0.00	723 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	9.21	6.91 W	0.00	724 of 2632 - Sale <sup>25</sup>
	0.920	2.46	07/13/20	9.55	7.09 W	0.00	725 of 2632 - Sale <sup>25</sup>
	0.920	2.46	07/13/20	9.94	7.48 W	0.00	726 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.38	7.71 W	0.00	727 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.46	7.79 W	0.00	728 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.46	7.79 W	0.00	729 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.42	7.75 W	0.00	730 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.42	7.75 W	0.00	731 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.42	7.75 W	0.00	732 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.62	7.95 W	0.00	733 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.64	7.97 W	0.00	734 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.55	7.88 W	0.00	735 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.55	7.88 W	0.00	736 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.57	7.90 W	0.00	737 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.40	7.73 W	0.00	738 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.40	7.73 W	0.00	739 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.49	7.82 W	0.00	740 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.72	8.05 W	0.00	741 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.78	8.11 W	0.00	742 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.000	21.36	07/13/20	83.40	62.04 W	0.00	777 of 2632 - Sale <sup>25</sup>
	10.000	26.70	07/13/20	103.81	77.11 W	0.00	778 of 2632 - Sale <sup>25</sup>
	0.030	0.08	07/13/20	0.33	0.25 W	0.00	779 of 2632 - Sale <sup>25</sup>
	0.100	0.27	07/13/20	1.09	0.82 W	0.00	780 of 2632 - Sale <sup>25</sup>
	0.105	0.28	07/13/20	1.14	0.86 W	0.00	781 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.41	1.82 W	0.00	782 of 2632 - Sale <sup>25</sup>
	0.310	0.83	07/13/20	3.41	2.58 W	0.00	783 of 2632 - Sale <sup>25</sup>
	0.340	0.91	07/13/20	3.72	2.81 W	0.00	784 of 2632 - Sale <sup>25</sup>
	0.350	0.93	07/13/20	3.85	2.92 W	0.00	785 of 2632 - Sale <sup>25</sup>
	0.440	1.17	07/13/20	4.88	3.71 W	0.00	786 of 2632 - Sale <sup>25</sup>
	0.660	1.76	07/13/20	7.19	5.43 W	0.00	787 of 2632 - Sale <sup>25</sup>
	0.690	1.84	07/13/20	7.59	5.75 W	0.00	788 of 2632 - Sale <sup>25</sup>
	0.690	1.84	07/13/20	7.56	5.72 W	0.00	789 of 2632 - Sale <sup>25</sup>
	0.800	2.14	07/13/20	8.78	6.64 W	0.00	790 of 2632 - Sale <sup>25</sup>
	0.870	2.32	07/13/20	9.51	7.19 W	0.00	791 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.14	8.47 W	0.00	792 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.13	8.46 W	0.00	793 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.14	8.47 W	0.00	794 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.93	8.26 W	0.00	795 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.04	8.37 W	0.00	796 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.06	8.39 W	0.00	797 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.05	8.38 W	0.00	798 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.98	8.31 W	0.00	799 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.00	8.33 W	0.00	800 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.92	8.25 W	0.00	801 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.92	8.25 W	0.00	802 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.11	8.44 W	0.00	803 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.12	8.45 W	0.00	804 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.00	8.33 W	0.00	805 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	11.01	8.34 W	0.00	806 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.90	8.23 W	0.00	807 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.90	8.23 W	0.00	808 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.84	8.17 W	0.00	809 of 2632 - Sale <sup>25</sup>
	1.105	2.95	07/13/20	12.20	9.25 W	0.00	810 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.200	3.20	07/13/20	13.09	9.89 W	0.00	811 of 2632 - Sale <sup>25</sup>
	1.350	3.60	07/13/20	14.68	11.08 W	0.00	812 of 2632 - Sale <sup>25</sup>
	1.420	3.79	07/13/20	15.68	11.89 W	0.00	813 of 2632 - Sale <sup>25</sup>
	1.560	4.17	07/13/20	17.25	13.08 W	0.00	814 of 2632 - Sale <sup>25</sup>
	1.650	4.41	07/13/20	18.25	13.84 W	0.00	815 of 2632 - Sale <sup>25</sup>
	1.670	4.46	07/13/20	18.47	14.01 W	0.00	816 of 2632 - Sale <sup>25</sup>
	1.690	4.51	07/13/20	18.54	14.03 W	0.00	817 of 2632 - Sale <sup>25</sup>
	1.900	5.07	07/13/20	20.66	15.59 W	0.00	818 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.90	16.56 W	0.00	819 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	22.05	16.71 W	0.00	820 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.90	16.56 W	0.00	821 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	22.00	16.66 W	0.00	822 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	21.79	16.45 W	0.00	823 of 2632 - Sale <sup>25</sup>
	2.350	6.27	07/13/20	25.93	19.66 W	0.00	824 of 2632 - Sale <sup>25</sup>
	2.360	6.30	07/13/20	26.22	19.92 W	0.00	825 of 2632 - Sale <sup>25</sup>
	2.640	7.05	07/13/20	29.32	22.27 W	0.00	826 of 2632 - Sale <sup>25</sup>
	2.690	7.18	07/13/20	29.45	22.27 W	0.00	827 of 2632 - Sale <sup>25</sup>
	2.895	7.73	07/13/20	31.99	24.26 W	0.00	828 of 2632 - Sale <sup>25</sup>
	2.895	7.73	07/13/20	31.60	23.87 W	0.00	829 of 2632 - Sale <sup>25</sup>
	2.900	7.74	07/13/20	32.31	24.57 W	0.00	830 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	32.97	24.96 W	0.00	831 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	32.86	24.85 W	0.00	832 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	33.06	25.05 W	0.00	833 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	32.93	24.92 W	0.00	834 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	32.88	24.87 W	0.00	835 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	32.68	24.67 W	0.00	836 of 2632 - Sale <sup>25</sup>
	3.105	8.29	07/13/20	33.74	25.45 W	0.00	837 of 2632 - Sale <sup>25</sup>
	3.675	9.81	07/13/20	39.99	30.18 W	0.00	838 of 2632 - Sale <sup>25</sup>
	3.870	10.33	07/13/20	42.26	31.93 W	0.00	839 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	44.09	33.41 W	0.00	840 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	44.11	33.43 W	0.00	841 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	44.43	33.75 W	0.00	842 of 2632 - Sale <sup>25</sup>
	4.310	11.51	07/13/20	47.32	35.81 W	0.00	843 of 2632 - Sale <sup>25</sup>
	4.310	11.51	07/13/20	47.23	35.72 W	0.00	844 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.420	11.80	07/13/20	48.43	36.63 W	0.00	845 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	65.72	49.70 W	0.00	846 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	77.12	58.43 W	0.00	847 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	76.55	57.86 W	0.00	848 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	87.13	65.77 W	0.00	849 of 2632 - Sale <sup>25</sup>
	9.000	24.03	07/13/20	99.82	75.79 W	0.00	850 of 2632 - Sale <sup>25</sup>
	11.000	29.37	07/13/20	120.68	91.31 W	0.00	851 of 2632 - Sale <sup>25</sup>
	11.800	31.51	07/13/20	128.74	97.23 W	0.00	852 of 2632 - Sale <sup>25</sup>
	0.140	0.37	07/13/20	1.48	1.11 W	0.00	853 of 2632 - Sale <sup>25</sup>
	0.140	0.37	07/13/20	1.43	1.06 W	0.00	854 of 2632 - Sale <sup>25</sup>
	0.205	0.55	07/13/20	2.08	1.53 W	0.00	855 of 2632 - Sale <sup>25</sup>
	0.210	0.56	07/13/20	2.24	1.68 W	0.00	856 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.40	1.81 W	0.00	857 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.27	1.68 W	0.00	858 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.33	1.74 W	0.00	859 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.36	1.77 W	0.00	860 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.27	1.68 W	0.00	861 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.24	1.65 W	0.00	862 of 2632 - Sale <sup>25</sup>
	0.360	0.96	07/13/20	3.72	2.76 W	0.00	863 of 2632 - Sale <sup>25</sup>
	0.440	1.17	07/13/20	4.48	3.31 W	0.00	864 of 2632 - Sale <sup>25</sup>
	0.570	1.52	07/13/20	6.10	4.58 W	0.00	865 of 2632 - Sale <sup>25</sup>
	0.640	1.71	07/13/20	6.61	4.90 W	0.00	866 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	8.27	6.19 W	0.00	867 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.69	5.61 W	0.00	868 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.90	5.82 W	0.00	869 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.93	5.85 W	0.00	870 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	8.25	6.17 W	0.00	871 of 2632 - Sale <sup>25</sup>
	0.790	2.11	07/13/20	8.40	6.29 W	0.00	872 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	8.77	6.47 W	0.00	873 of 2632 - Sale <sup>25</sup>
	0.860	2.30	07/13/20	8.75	6.45 W	0.00	874 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	10.25	7.61 W	0.00	875 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.14	7.47 W	0.00	876 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.13	7.46 W	0.00	877 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.46	7.79 W	0.00	878 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.67	07/13/20	10.75	8.08 W	0.00	879 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.62	7.95 W	0.00	880 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.70	8.03 W	0.00	881 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.66	7.99 W	0.00	882 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.66	7.99 W	0.00	883 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.14	7.47 W	0.00	884 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.19	7.52 W	0.00	885 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.17	7.50 W	0.00	886 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.17	7.50 W	0.00	887 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.07	7.40 W	0.00	888 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.55	7.88 W	0.00	889 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.38	7.71 W	0.00	890 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.33	7.66 W	0.00	891 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.36	7.69 W	0.00	892 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.35	7.68 W	0.00	893 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.36	7.69 W	0.00	894 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.31	7.64 W	0.00	895 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.31	7.64 W	0.00	896 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.19	7.52 W	0.00	897 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.21	7.54 W	0.00	898 of 2632 - Sale <sup>25</sup>
	1.010	2.70	07/13/20	10.31	7.61 W	0.00	899 of 2632 - Sale <sup>25</sup>
	1.210	3.23	07/13/20	12.66	9.43 W	0.00	900 of 2632 - Sale <sup>25</sup>
	1.210	3.23	07/13/20	12.32	9.09 W	0.00	901 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	12.96	9.70 W	0.00	902 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	13.07	9.81 W	0.00	903 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	12.04	8.78 W	0.00	904 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	12.49	9.23 W	0.00	905 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	12.47	9.21 W	0.00	906 of 2632 - Sale <sup>25</sup>
	1.360	3.63	07/13/20	14.04	10.41 W	0.00	907 of 2632 - Sale <sup>25</sup>
	1.420	3.79	07/13/20	14.72	10.93 W	0.00	908 of 2632 - Sale <sup>25</sup>
	1.770	4.73	07/13/20	18.04	13.31 W	0.00	909 of 2632 - Sale <sup>25</sup>
	1.780	4.75	07/13/20	18.95	14.20 W	0.00	910 of 2632 - Sale <sup>25</sup>
	1.780	4.75	07/13/20	18.38	13.63 W	0.00	911 of 2632 - Sale <sup>25</sup>
	1.860	4.97	07/13/20	19.56	14.59 W	0.00	912 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
2.000		5.34	07/13/20	20.30	14.96 W	0.00	913 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.87	15.53 W	0.00	914 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.19	15.85 W	0.00	915 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.54	16.20 W	0.00	916 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.53	16.19 W	0.00	917 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.55	16.21 W	0.00	918 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.21	15.87 W	0.00	919 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.28	15.94 W	0.00	920 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	21.25	15.91 W	0.00	921 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	19.70	14.36 W	0.00	922 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.40	15.06 W	0.00	923 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.35	15.01 W	0.00	924 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.19	14.85 W	0.00	925 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.63	15.29 W	0.00	926 of 2632 - Sale <sup>25</sup>
2.000		5.34	07/13/20	20.63	15.29 W	0.00	927 of 2632 - Sale <sup>25</sup>
2.210		5.90	07/13/20	22.51	16.61 W	0.00	928 of 2632 - Sale <sup>25</sup>
2.220		5.93	07/13/20	23.62	17.69 W	0.00	929 of 2632 - Sale <sup>25</sup>
2.220		5.93	07/13/20	22.01	16.08 W	0.00	930 of 2632 - Sale <sup>25</sup>
2.220		5.93	07/13/20	23.42	17.49 W	0.00	931 of 2632 - Sale <sup>25</sup>
2.220		5.93	07/13/20	23.00	17.07 W	0.00	932 of 2632 - Sale <sup>25</sup>
2.780		7.42	07/13/20	29.93	22.51 W	0.00	933 of 2632 - Sale <sup>25</sup>
2.780		7.42	07/13/20	28.33	20.91 W	0.00	934 of 2632 - Sale <sup>25</sup>
2.780		7.42	07/13/20	28.72	21.30 W	0.00	935 of 2632 - Sale <sup>25</sup>
2.790		7.45	07/13/20	29.13	21.68 W	0.00	936 of 2632 - Sale <sup>25</sup>
2.790		7.45	07/13/20	29.68	22.23 W	0.00	937 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.86	23.85 W	0.00	938 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	32.06	24.05 W	0.00	939 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	29.56	21.55 W	0.00	940 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	29.56	21.55 W	0.00	941 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.68	23.67 W	0.00	942 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	31.09	23.08 W	0.00	943 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	30.64	22.63 W	0.00	944 of 2632 - Sale <sup>25</sup>
3.000		8.01	07/13/20	30.62	22.61 W	0.00	945 of 2632 - Sale <sup>25</sup>
3.060		8.17	07/13/20	32.48	24.31 W	0.00	946 of 2632 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.140	8.38	07/13/20	32.02	23.64 W	0.00	947 of 2632 - Sale <sup>25</sup>
	3.140	8.38	07/13/20	31.97	23.59 W	0.00	948 of 2632 - Sale <sup>25</sup>
	3.210	8.57	07/13/20	33.96	25.39 W	0.00	949 of 2632 - Sale <sup>25</sup>
	3.780	10.09	07/13/20	38.61	28.52 W	0.00	950 of 2632 - Sale <sup>25</sup>
	3.940	10.52	07/13/20	41.83	31.31 W	0.00	951 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	42.41	31.73 W	0.00	952 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	41.33	30.65 W	0.00	953 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	41.45	30.77 W	0.00	954 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	41.48	30.80 W	0.00	955 of 2632 - Sale <sup>25</sup>
	4.210	11.24	07/13/20	44.83	33.59 W	0.00	956 of 2632 - Sale <sup>25</sup>
	4.640	12.39	07/13/20	47.77	35.38 W	0.00	957 of 2632 - Sale <sup>25</sup>
	4.780	12.76	07/13/20	50.52	37.76 W	0.00	958 of 2632 - Sale <sup>25</sup>
	4.790	12.79	07/13/20	50.68	37.89 W	0.00	959 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	53.81	40.46 W	0.00	960 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	49.67	36.32 W	0.00	961 of 2632 - Sale <sup>25</sup>
	5.210	13.91	07/13/20	55.25	41.34 W	0.00	962 of 2632 - Sale <sup>25</sup>
	5.220	13.94	07/13/20	56.25	42.31 W	0.00	963 of 2632 - Sale <sup>25</sup>
	5.780	15.43	07/13/20	61.19	45.76 W	0.00	964 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	63.79	47.77 W	0.00	965 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	62.76	46.74 W	0.00	966 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	62.13	46.11 W	0.00	967 of 2632 - Sale <sup>25</sup>
	6.220	16.61	07/13/20	63.44	46.83 W	0.00	968 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	73.98	55.29 W	0.00	969 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	71.46	52.77 W	0.00	970 of 2632 - Sale <sup>25</sup>
	9.000	24.03	07/13/20	93.21	69.18 W	0.00	971 of 2632 - Sale <sup>25</sup>
	9.000	24.03	07/13/20	94.21	70.18 W	0.00	972 of 2632 - Sale <sup>25</sup>
	9.010	24.06	07/13/20	93.24	69.18 W	0.00	973 of 2632 - Sale <sup>25</sup>
	10.220	27.29	07/13/20	109.26	81.97 W	0.00	974 of 2632 - Sale <sup>25</sup>
	16.000	42.72	07/13/20	171.24	128.52 W	0.00	975 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.31	1.72 W	0.00	976 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.18	1.59 W	0.00	977 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.13	1.54 W	0.00	978 of 2632 - Sale <sup>25</sup>
	0.360	0.96	07/13/20	3.52	2.56 W	0.00	979 of 2632 - Sale <sup>25</sup>
	0.420	1.12	07/13/20	4.17	3.05 W	0.00	980 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.440	1.17	07/13/20	4.18	3.01 W	0.00	981 of 2632 - Sale <sup>25</sup>
	0.440	1.17	07/13/20	4.29	3.12 W	0.00	982 of 2632 - Sale <sup>25</sup>
	0.560	1.50	07/13/20	5.42	3.92 W	0.00	983 of 2632 - Sale <sup>25</sup>
	0.650	1.74	07/13/20	6.17	4.43 W	0.00	984 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	8.08	6.00 W	0.00	985 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.82	5.74 W	0.00	986 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.40	5.32 W	0.00	987 of 2632 - Sale <sup>25</sup>
	0.870	2.32	07/13/20	9.03	6.71 W	0.00	988 of 2632 - Sale <sup>25</sup>
	0.980	2.62	07/13/20	9.29	6.67 W	0.00	989 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.11	7.44 W	0.00	990 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.44	7.77 W	0.00	991 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.43	7.76 W	0.00	992 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.50	7.83 W	0.00	993 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	10.41	7.74 W	0.00	994 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.51	6.84 W	0.00	995 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.68	7.01 W	0.00	996 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.67	7.00 W	0.00	997 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.66	6.99 W	0.00	998 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.49	6.82 W	0.00	999 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.52	6.85 W	0.00	1000 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.51	6.84 W	0.00	1001 of 2632 - Sale <sup>25</sup>
	1.020	2.72	07/13/20	9.64	6.92 W	0.00	1002 of 2632 - Sale <sup>25</sup>
	1.130	3.02	07/13/20	11.74	8.72 W	0.00	1003 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	12.74	9.48 W	0.00	1004 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	11.76	8.50 W	0.00	1005 of 2632 - Sale <sup>25</sup>
	1.560	4.17	07/13/20	14.79	10.62 W	0.00	1006 of 2632 - Sale <sup>25</sup>
	1.780	4.75	07/13/20	17.87	13.12 W	0.00	1007 of 2632 - Sale <sup>25</sup>
	1.780	4.75	07/13/20	17.79	13.04 W	0.00	1008 of 2632 - Sale <sup>25</sup>
	1.780	4.75	07/13/20	17.19	12.44 W	0.00	1009 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	20.86	15.52 W	0.00	1010 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	20.79	15.45 W	0.00	1011 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	20.08	14.74 W	0.00	1012 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	20.08	14.74 W	0.00	1013 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	19.81	14.47 W	0.00	1014 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	5.34	07/13/20	18.87	13.53 W	0.00	1015 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	19.35	14.01 W	0.00	1016 of 2632 - Sale <sup>25</sup>
	2.220	5.93	07/13/20	21.18	15.25 W	0.00	1017 of 2632 - Sale <sup>25</sup>
	2.690	7.18	07/13/20	27.05	19.87 W	0.00	1018 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	28.91	21.49 W	0.00	1019 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	27.79	20.37 W	0.00	1020 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	31.21	23.20 W	0.00	1021 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	29.03	21.02 W	0.00	1022 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	29.01	21.00 W	0.00	1023 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	28.79	20.78 W	0.00	1024 of 2632 - Sale <sup>25</sup>
	3.440	9.19	07/13/20	34.43	25.24 W	0.00	1025 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	38.60	27.92 W	0.00	1026 of 2632 - Sale <sup>25</sup>
	4.350	11.61	07/13/20	41.22	29.61 W	0.00	1027 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	52.16	38.81 W	0.00	1028 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	52.01	38.66 W	0.00	1029 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	50.12	36.77 W	0.00	1030 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	47.38	34.03 W	0.00	1031 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	47.98	34.63 W	0.00	1032 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	47.42	34.07 W	0.00	1033 of 2632 - Sale <sup>25</sup>
	5.530	14.77	07/13/20	55.45	40.68 W	0.00	1034 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	62.61	46.59 W	0.00	1035 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	60.31	44.29 W	0.00	1036 of 2632 - Sale <sup>25</sup>
	6.000	16.02	07/13/20	57.86	41.84 W	0.00	1037 of 2632 - Sale <sup>25</sup>
	6.220	16.61	07/13/20	65.16	48.55 W	0.00	1038 of 2632 - Sale <sup>25</sup>
	9.000	24.03	07/13/20	93.74	69.71 W	0.00	1039 of 2632 - Sale <sup>25</sup>
	0.090	0.24	07/13/20	0.68	0.44 W	0.00	1040 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.85	5.18 W	0.00	1041 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.85	5.18 W	0.00	1042 of 2632 - Sale <sup>25</sup>
	1.580	4.22	07/13/20	12.33	8.11 W	0.00	1043 of 2632 - Sale <sup>25</sup>
	5.010	13.38	07/13/20	38.11	24.73 W	0.00	1044 of 2632 - Sale <sup>25</sup>
	0.100	0.27	07/13/20	0.91	0.64 W	0.00	1045 of 2632 - Sale <sup>25</sup>
	0.900	2.40	07/13/20	8.93	6.53 W	0.00	1046 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.97	7.30 W	0.00	1047 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.90	7.23 W	0.00	1048 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	3.26	07/13/20	12.09	8.83 W	0.00	1049 of 2632 - Sale <sup>25</sup>
	1.900	5.07	07/13/20	18.74	13.67 W	0.00	1050 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	19.88	14.54 W	0.00	1051 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	19.91	14.57 W	0.00	1052 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	19.91	14.57 W	0.00	1053 of 2632 - Sale <sup>25</sup>
	2.100	5.61	07/13/20	20.80	15.19 W	0.00	1054 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	29.55	21.54 W	0.00	1055 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	29.72	21.71 W	0.00	1056 of 2632 - Sale <sup>25</sup>
	3.100	8.28	07/13/20	30.53	22.25 W	0.00	1057 of 2632 - Sale <sup>25</sup>
	3.900	10.41	07/13/20	36.12	25.71 W	0.00	1058 of 2632 - Sale <sup>25</sup>
	4.000	10.68	07/13/20	39.86	29.18 W	0.00	1059 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	49.77	36.42 W	0.00	1060 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	15.41	10.07 W	0.00	1061 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	15.35	10.01 W	0.00	1062 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	21.35	13.93 W	0.00	1063 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	23.05	15.04 W	0.00	1064 of 2632 - Sale <sup>25</sup>
	3.100	8.28	07/13/20	23.81	15.53 W	0.00	1065 of 2632 - Sale <sup>25</sup>
	4.090	10.92	07/13/20	31.45	20.53 W	0.00	1066 of 2632 - Sale <sup>25</sup>
	4.620	12.34	07/13/20	35.62	23.28 W	0.00	1067 of 2632 - Sale <sup>25</sup>
	4.780	12.76	07/13/20	36.74	23.98 W	0.00	1068 of 2632 - Sale <sup>25</sup>
	5.220	13.94	07/13/20	40.20	26.26 W	0.00	1069 of 2632 - Sale <sup>25</sup>
	5.360	14.31	07/13/20	41.33	27.02 W	0.00	1070 of 2632 - Sale <sup>25</sup>
	5.690	15.19	07/13/20	43.77	28.58 W	0.00	1071 of 2632 - Sale <sup>25</sup>
	6.900	18.42	07/13/20	53.02	34.60 W	0.00	1072 of 2632 - Sale <sup>25</sup>
	7.000	18.69	07/13/20	53.92	35.23 W	0.00	1073 of 2632 - Sale <sup>25</sup>
	7.690	20.53	07/13/20	59.26	38.73 W	0.00	1074 of 2632 - Sale <sup>25</sup>
	8.220	21.95	07/13/20	63.18	41.23 W	0.00	1075 of 2632 - Sale <sup>25</sup>
	12.910	34.47	07/13/20	99.52	65.05 W	0.00	1076 of 2632 - Sale <sup>25</sup>
	21.000	56.07	07/13/20	161.58	105.51 W	0.00	1077 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.23	5.15 W	0.00	1078 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.27	6.60 W	0.00	1079 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.23	6.56 W	0.00	1080 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	18.48	13.14 W	0.00	1081 of 2632 - Sale <sup>25</sup>
	4.220	11.27	07/13/20	39.64	28.37 W	0.00	1082 of 2632 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.090	0.24	07/13/20	0.83	0.59 W	0.00	1083 of 2632 - Sale <sup>25</sup>
	0.130	0.35	07/13/20	1.21	0.86 W	0.00	1084 of 2632 - Sale <sup>25</sup>
	0.220	0.59	07/13/20	2.02	1.43 W	0.00	1085 of 2632 - Sale <sup>25</sup>
	0.590	1.58	07/13/20	5.27	3.69 W	0.00	1086 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	7.45	5.37 W	0.00	1087 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	6.75	4.67 W	0.00	1088 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.32	6.65 W	0.00	1089 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	9.10	6.43 W	0.00	1090 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.95	6.28 W	0.00	1091 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.94	6.27 W	0.00	1092 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.79	6.12 W	0.00	1093 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.66	5.99 W	0.00	1094 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.67	6.00 W	0.00	1095 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	8.67	6.00 W	0.00	1096 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	10.60	7.34 W	0.00	1097 of 2632 - Sale <sup>25</sup>
	1.220	3.26	07/13/20	10.58	7.32 W	0.00	1098 of 2632 - Sale <sup>25</sup>
	1.410	3.76	07/13/20	12.60	8.84 W	0.00	1099 of 2632 - Sale <sup>25</sup>
	1.690	4.51	07/13/20	14.43	9.92 W	0.00	1100 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	19.00	13.66 W	0.00	1101 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	18.05	12.71 W	0.00	1102 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	17.85	12.51 W	0.00	1103 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	17.26	11.92 W	0.00	1104 of 2632 - Sale <sup>25</sup>
	2.000	5.34	07/13/20	17.33	11.99 W	0.00	1105 of 2632 - Sale <sup>25</sup>
	2.220	5.93	07/13/20	20.78	14.85 W	0.00	1106 of 2632 - Sale <sup>25</sup>
	2.220	5.93	07/13/20	19.13	13.20 W	0.00	1107 of 2632 - Sale <sup>25</sup>
	2.220	5.93	07/13/20	18.97	13.04 W	0.00	1108 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	26.12	18.70 W	0.00	1109 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	25.31	17.89 W	0.00	1110 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	23.96	16.54 W	0.00	1111 of 2632 - Sale <sup>25</sup>
	2.780	7.42	07/13/20	23.90	16.48 W	0.00	1112 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	27.23	19.22 W	0.00	1113 of 2632 - Sale <sup>25</sup>
	3.000	8.01	07/13/20	27.02	19.01 W	0.00	1114 of 2632 - Sale <sup>25</sup>
	3.780	10.09	07/13/20	35.35	25.26 W	0.00	1115 of 2632 - Sale <sup>25</sup>
	5.000	13.35	07/13/20	45.48	32.13 W	0.00	1116 of 2632 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.000	13.35	07/13/20	43.17	29.82 W	0.00	1117 of 2632 - Sale <sup>25</sup>
	8.000	21.36	07/13/20	72.85	51.49 W	0.00	1118 of 2632 - Sale <sup>25</sup>
	0.990	2.64	07/13/20	7.54	4.90 W	0.00	1119 of 2632 - Sale <sup>25</sup>
	1.790	4.78	07/13/20	13.63	8.85 W	0.00	1120 of 2632 - Sale <sup>25</sup>
	4.090	10.92	07/13/20	31.11	20.19 W	0.00	1121 of 2632 - Sale <sup>25</sup>
	6.910	18.45	07/13/20	52.61	34.16 W	0.00	1122 of 2632 - Sale <sup>25</sup>
	9.910	26.46	07/13/20	75.46	49.00 W	0.00	1123 of 2632 - Sale <sup>25</sup>
	13.000	34.71	07/13/20	98.98	64.27 W	0.00	1124 of 2632 - Sale <sup>25</sup>
	23.310	62.24	07/13/20	177.44	115.20 W	0.00	1125 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.52	4.85 W	0.00	1126 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.50	4.83 W	0.00	1127 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.50	4.83 W	0.00	1128 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.51	4.84 W	0.00	1129 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.49	4.82 W	0.00	1130 of 2632 - Sale <sup>25</sup>
	0.780	2.08	07/13/20	5.77	3.69 W	0.00	1131 of 2632 - Sale <sup>25</sup>
	1.000	2.67	07/13/20	7.41	4.74 W	0.00	1132 of 2632 - Sale <sup>25</sup>
	1.580	4.22	07/13/20	11.72	7.50 W	0.00	1133 of 2632 - Sale <sup>25</sup>
	2.205	5.89	07/13/20	16.33	10.44 W	0.00	1134 of 2632 - Sale <sup>25</sup>
	3.220	8.60	07/13/20	23.72	15.12 W	0.00	1135 of 2632 - Sale <sup>25</sup>
	3.990	10.65	07/13/20	29.35	18.70 W	0.00	1136 of 2632 - Sale <sup>25</sup>
	37.225	99.39	07/13/20	259.83	160.44 W	0.00	1137 of 2632 - Sale <sup>25</sup>
	110.000	293.71	10/16/20	617.62	323.91 W	0.00	1138 of 2632 - Sale <sup>25</sup>
	100.000	267.01	10/16/20	561.57	294.56 W	0.00	1139 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.48	14.13 W	0.00	1140 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.48	14.13 W	0.00	1141 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.48	14.13 W	0.00	1142 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.49	14.14 W	0.00	1143 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.49	14.14 W	0.00	1144 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.49	14.14 W	0.00	1145 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.48	14.13 W	0.00	1146 of 2632 - Sale <sup>25</sup>
	5.000	13.35	10/16/20	27.48	14.13 W	0.00	1147 of 2632 - Sale <sup>25</sup>
	100.000	267.01	10/16/20	549.66	282.65 W	0.00	1148 of 2632 - Sale <sup>25</sup>
	100.000	267.01	10/20/20	536.00	268.99 W	0.00	1149 of 2632 - Sale <sup>25</sup>
	100.000	267.01	10/20/20	536.00	268.99 W	0.00	1150 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	100.000	267.01	10/21/20	528.00	260.99 W	0.00	1151 of 2632 - Sale <sup>25</sup>
	100.000	267.01	10/21/20	531.78	264.77 W	0.00	1152 of 2632 - Sale <sup>25</sup>
	100.000	267.01	10/26/20	529.84	262.83 W	0.00	1153 of 2632 - Sale <sup>25</sup>
	10.000	26.70	10/28/20	51.15	24.45 W	0.00	1154 of 2632 - Sale <sup>25</sup>
	10.000	26.70	10/28/20	51.15	24.45 W	0.00	1155 of 2632 - Sale <sup>25</sup>
	80.000	213.61	10/28/20	409.60	195.99 W	0.00	1156 of 2632 - Sale <sup>25</sup>
	100.000	267.01	10/28/20	512.00	244.99 W	0.00	1157 of 2632 - Sale <sup>25</sup>
	90.000	240.30	10/28/20	460.80	220.50 W	0.00	1158 of 2632 - Sale <sup>25</sup>
	10.000	26.70	10/28/20	51.20	24.50 W	0.00	1159 of 2632 - Sale <sup>25</sup>
	100.000	267.01	11/02/20	500.99	233.98 W	0.00	1160 of 2632 - Sale <sup>25</sup>
	10.000	26.70	11/03/20	50.40	23.70 W	0.00	1161 of 2632 - Sale <sup>25</sup>
	100.000	267.00	11/04/20	538.81	271.81 W	0.00	1162 of 2632 - Sale <sup>25</sup>
	12.000	32.04	11/04/20	64.85	32.81 W	0.00	1163 of 2632 - Sale <sup>25</sup>
	12.000	32.04	11/04/20	64.74	32.70 W	0.00	1164 of 2632 - Sale <sup>25</sup>
	23.000	61.41	11/05/20	120.41	59.00 W	0.00	1165 of 2632 - Sale <sup>25</sup>
	3.360	8.97	11/05/20	43.66	34.69 W	0.00	1166 of 2632 - Sale <sup>25</sup>
	0.220	0.59	11/05/20	2.56	1.97 W	0.00	1167 of 2632 - Sale <sup>25</sup>
	0.360	0.96	11/05/20	4.20	3.24 W	0.00	1168 of 2632 - Sale <sup>25</sup>
	0.420	1.12	11/05/20	4.86	3.74 W	0.00	1169 of 2632 - Sale <sup>25</sup>
	0.420	1.12	11/05/20	4.94	3.82 W	0.00	1170 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.63	8.96 W	0.00	1171 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.65	8.98 W	0.00	1172 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.66	8.99 W	0.00	1173 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.64	8.97 W	0.00	1174 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.63	8.96 W	0.00	1175 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.51	8.84 W	0.00	1176 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.52	8.85 W	0.00	1177 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.69	9.02 W	0.00	1178 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.68	9.01 W	0.00	1179 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	11.71	9.04 W	0.00	1180 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/05/20	23.08	17.74 W	0.00	1181 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/05/20	23.27	17.93 W	0.00	1182 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/05/20	23.28	17.94 W	0.00	1183 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/05/20	23.28	17.94 W	0.00	1184 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	5.34	11/05/20	23.36	18.02 W	0.00	1185 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/05/20	34.91	26.90 W	0.00	1186 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/05/20	35.13	27.12 W	0.00	1187 of 2632 - Sale <sup>25</sup>
	3.860	10.31	11/05/20	44.83	34.52 W	0.00	1188 of 2632 - Sale <sup>25</sup>
	4.000	10.68	11/05/20	46.19	35.51 W	0.00	1189 of 2632 - Sale <sup>25</sup>
	4.000	10.68	11/05/20	46.66	35.98 W	0.00	1190 of 2632 - Sale <sup>25</sup>
	4.050	10.81	11/05/20	46.69	35.88 W	0.00	1191 of 2632 - Sale <sup>25</sup>
	5.090	13.59	11/05/20	58.70	45.11 W	0.00	1192 of 2632 - Sale <sup>25</sup>
	9.000	24.03	11/05/20	104.95	80.92 W	0.00	1193 of 2632 - Sale <sup>25</sup>
	0.140	0.37	11/05/20	1.70	1.33 W	0.00	1194 of 2632 - Sale <sup>25</sup>
	0.490	1.31	11/05/20	6.00	4.69 W	0.00	1195 of 2632 - Sale <sup>25</sup>
	0.540	1.44	11/05/20	6.52	5.08 W	0.00	1196 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	12.10	9.43 W	0.00	1197 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	12.10	9.43 W	0.00	1198 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	12.21	9.54 W	0.00	1199 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	12.21	9.54 W	0.00	1200 of 2632 - Sale <sup>25</sup>
	1.510	4.03	11/05/20	18.45	14.42 W	0.00	1201 of 2632 - Sale <sup>25</sup>
	1.580	4.22	11/05/20	19.10	14.88 W	0.00	1202 of 2632 - Sale <sup>25</sup>
	2.640	7.05	11/05/20	32.16	25.11 W	0.00	1203 of 2632 - Sale <sup>25</sup>
	4.320	11.53	11/05/20	52.25	40.72 W	0.00	1204 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/05/20	10.28	7.61 W	0.00	1205 of 2632 - Sale <sup>25</sup>
	0.850	2.27	11/18/20	11.03	8.76 W	0.00	1206 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	12.99	10.32 W	0.00	1207 of 2632 - Sale <sup>25</sup>
	2.005	5.35	11/18/20	26.00	20.65 W	0.00	1208 of 2632 - Sale <sup>25</sup>
	2.510	6.70	11/18/20	32.60	25.90 W	0.00	1209 of 2632 - Sale <sup>25</sup>
	2.860	7.64	11/18/20	37.17	29.53 W	0.00	1210 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/18/20	38.98	30.97 W	0.00	1211 of 2632 - Sale <sup>25</sup>
	0.095	0.25	11/18/20	1.32	1.07 W	0.00	1212 of 2632 - Sale <sup>25</sup>
	0.120	0.32	11/18/20	1.67	1.35 W	0.00	1213 of 2632 - Sale <sup>25</sup>
	0.320	0.85	11/18/20	4.49	3.64 W	0.00	1214 of 2632 - Sale <sup>25</sup>
	0.360	0.96	11/18/20	5.07	4.11 W	0.00	1215 of 2632 - Sale <sup>25</sup>
	0.360	0.96	11/18/20	5.03	4.07 W	0.00	1216 of 2632 - Sale <sup>25</sup>
	0.360	0.96	11/18/20	5.14	4.18 W	0.00	1217 of 2632 - Sale <sup>25</sup>
	0.580	1.55	11/18/20	8.23	6.68 W	0.00	1218 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.640	1.71	11/18/20	9.00	7.29 W	0.00	1219 of 2632 - Sale <sup>25</sup>
	0.640	1.71	11/18/20	9.10	7.39 W	0.00	1220 of 2632 - Sale <sup>25</sup>
	0.660	1.76	11/18/20	9.25	7.49 W	0.00	1221 of 2632 - Sale <sup>25</sup>
	0.790	2.11	11/18/20	11.09	8.98 W	0.00	1222 of 2632 - Sale <sup>25</sup>
	0.860	2.30	11/18/20	12.05	9.75 W	0.00	1223 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	14.09	11.42 W	0.00	1224 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	14.01	11.34 W	0.00	1225 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	14.01	11.34 W	0.00	1226 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	14.00	11.33 W	0.00	1227 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	13.99	11.32 W	0.00	1228 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	14.16	11.49 W	0.00	1229 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	14.16	11.49 W	0.00	1230 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	14.17	11.50 W	0.00	1231 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	13.99	11.32 W	0.00	1232 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/18/20	13.95	11.28 W	0.00	1233 of 2632 - Sale <sup>25</sup>
	1.120	2.99	11/18/20	15.68	12.69 W	0.00	1234 of 2632 - Sale <sup>25</sup>
	1.340	3.58	11/18/20	18.77	15.19 W	0.00	1235 of 2632 - Sale <sup>25</sup>
	1.360	3.63	11/18/20	19.26	15.63 W	0.00	1236 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/18/20	28.13	22.79 W	0.00	1237 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/18/20	28.06	22.72 W	0.00	1238 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/18/20	28.46	23.12 W	0.00	1239 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/18/20	27.92	22.58 W	0.00	1240 of 2632 - Sale <sup>25</sup>
	2.070	5.53	11/18/20	29.02	23.49 W	0.00	1241 of 2632 - Sale <sup>25</sup>
	2.140	5.71	11/18/20	29.99	24.28 W	0.00	1242 of 2632 - Sale <sup>25</sup>
	2.655	7.09	11/18/20	37.21	30.12 W	0.00	1243 of 2632 - Sale <sup>25</sup>
	2.680	7.16	11/18/20	37.56	30.40 W	0.00	1244 of 2632 - Sale <sup>25</sup>
	2.780	7.42	11/18/20	38.84	31.42 W	0.00	1245 of 2632 - Sale <sup>25</sup>
	2.880	7.69	11/18/20	40.35	32.66 W	0.00	1246 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/18/20	42.13	34.12 W	0.00	1247 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/18/20	42.02	34.01 W	0.00	1248 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/18/20	42.03	34.02 W	0.00	1249 of 2632 - Sale <sup>25</sup>
	3.880	10.36	11/18/20	54.30	43.94 W	0.00	1250 of 2632 - Sale <sup>25</sup>
	4.000	10.68	11/18/20	55.88	45.20 W	0.00	1251 of 2632 - Sale <sup>25</sup>
	4.420	11.80	11/18/20	62.79	50.99 W	0.00	1252 of 2632 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.665	12.45	11/18/20	65.38	52.93 W	0.00	1253 of 2632 - Sale <sup>25</sup>
	5.000	13.35	11/18/20	70.07	56.72 W	0.00	1254 of 2632 - Sale <sup>25</sup>
	6.000	16.02	11/18/20	84.44	68.42 W	0.00	1255 of 2632 - Sale <sup>25</sup>
	8.000	21.36	11/18/20	113.91	92.55 W	0.00	1256 of 2632 - Sale <sup>25</sup>
	0.125	0.33	11/20/20	1.71	1.38 W	0.00	1257 of 2632 - Sale <sup>25</sup>
	0.350	0.93	11/20/20	4.84	3.91 W	0.00	1258 of 2632 - Sale <sup>25</sup>
	0.460	1.23	11/20/20	6.28	5.05 W	0.00	1259 of 2632 - Sale <sup>25</sup>
	0.540	1.44	11/20/20	7.45	6.01 W	0.00	1260 of 2632 - Sale <sup>25</sup>
	0.700	1.87	11/20/20	9.62	7.75 W	0.00	1261 of 2632 - Sale <sup>25</sup>
	0.780	2.08	11/20/20	10.76	8.68 W	0.00	1262 of 2632 - Sale <sup>25</sup>
	0.860	2.30	11/20/20	11.77	9.47 W	0.00	1263 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	13.80	11.13 W	0.00	1264 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	13.59	10.92 W	0.00	1265 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	13.61	10.94 W	0.00	1266 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	13.61	10.94 W	0.00	1267 of 2632 - Sale <sup>25</sup>
	1.440	3.84	11/20/20	19.89	16.05 W	0.00	1268 of 2632 - Sale <sup>25</sup>
	1.440	3.84	11/20/20	19.79	15.95 W	0.00	1269 of 2632 - Sale <sup>25</sup>
	1.780	4.75	11/20/20	24.63	19.88 W	0.00	1270 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/20/20	27.56	22.22 W	0.00	1271 of 2632 - Sale <sup>25</sup>
	2.540	6.78	11/20/20	34.37	27.59 W	0.00	1272 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/20/20	41.13	33.12 W	0.00	1273 of 2632 - Sale <sup>25</sup>
	5.000	13.35	11/20/20	68.66	55.31 W	0.00	1274 of 2632 - Sale <sup>25</sup>
	6.430	17.17	11/20/20	88.70	71.53 W	0.00	1275 of 2632 - Sale <sup>25</sup>
	11.125	29.70	11/20/20	153.41	123.71 W	0.00	1276 of 2632 - Sale <sup>25</sup>
	0.120	0.32	11/20/20	1.68	1.36 W	0.00	1277 of 2632 - Sale <sup>25</sup>
	0.580	1.55	11/20/20	8.18	6.63 W	0.00	1278 of 2632 - Sale <sup>25</sup>
	0.690	1.84	11/20/20	9.77	7.93 W	0.00	1279 of 2632 - Sale <sup>25</sup>
	0.880	2.35	11/20/20	12.44	10.09 W	0.00	1280 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	14.16	11.49 W	0.00	1281 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	14.15	11.48 W	0.00	1282 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	14.12	11.45 W	0.00	1283 of 2632 - Sale <sup>25</sup>
	1.880	5.02	11/20/20	26.57	21.55 W	0.00	1284 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/20/20	27.97	22.63 W	0.00	1285 of 2632 - Sale <sup>25</sup>
	2.850	7.61	11/20/20	40.32	32.71 W	0.00	1286 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.140	8.38	11/20/20	43.91	35.53 W	0.00	1287 of 2632 - Sale <sup>25</sup>
	10.000	26.70	11/20/20	141.54	114.84 W	0.00	1288 of 2632 - Sale <sup>25</sup>
	0.350	0.93	11/20/20	4.84	3.91 W	0.00	1289 of 2632 - Sale <sup>25</sup>
	0.650	1.74	11/20/20	8.92	7.18 W	0.00	1290 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	13.75	11.08 W	0.00	1291 of 2632 - Sale <sup>25</sup>
	1.220	3.26	11/20/20	16.79	13.53 W	0.00	1292 of 2632 - Sale <sup>25</sup>
	2.000	5.34	11/20/20	27.61	22.27 W	0.00	1293 of 2632 - Sale <sup>25</sup>
	2.430	6.49	11/20/20	33.51	27.02 W	0.00	1294 of 2632 - Sale <sup>25</sup>
	2.640	7.05	11/20/20	36.17	29.12 W	0.00	1295 of 2632 - Sale <sup>25</sup>
	5.000	13.35	11/20/20	69.12	55.77 W	0.00	1296 of 2632 - Sale <sup>25</sup>
	7.000	18.69	11/20/20	96.75	78.06 W	0.00	1297 of 2632 - Sale <sup>25</sup>
	10.000	26.70	11/20/20	138.30	111.60 W	0.00	1298 of 2632 - Sale <sup>25</sup>
	0.220	0.59	11/20/20	3.03	2.44 W	0.00	1299 of 2632 - Sale <sup>25</sup>
	0.350	0.93	11/20/20	4.81	3.88 W	0.00	1300 of 2632 - Sale <sup>25</sup>
	0.360	0.96	11/20/20	4.94	3.98 W	0.00	1301 of 2632 - Sale <sup>25</sup>
	0.640	1.71	11/20/20	8.80	7.09 W	0.00	1302 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	13.72	11.05 W	0.00	1303 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/20/20	41.19	33.18 W	0.00	1304 of 2632 - Sale <sup>25</sup>
	3.360	8.97	11/20/20	45.99	37.02 W	0.00	1305 of 2632 - Sale <sup>25</sup>
	5.320	14.20	11/20/20	73.08	58.88 W	0.00	1306 of 2632 - Sale <sup>25</sup>
	6.780	18.10	11/20/20	93.12	75.02 W	0.00	1307 of 2632 - Sale <sup>25</sup>
	0.070	0.19	11/20/20	0.75	0.56 W	0.00	1308 of 2632 - Sale <sup>25</sup>
	0.215	0.57	11/20/20	2.35	1.78 W	0.00	1309 of 2632 - Sale <sup>25</sup>
	0.685	1.83	11/20/20	7.37	5.54 W	0.00	1310 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	10.84	8.17 W	0.00	1311 of 2632 - Sale <sup>25</sup>
	2.360	6.30	11/20/20	25.54	19.24 W	0.00	1312 of 2632 - Sale <sup>25</sup>
	2.640	7.05	11/20/20	28.61	21.56 W	0.00	1313 of 2632 - Sale <sup>25</sup>
	2.930	7.82	11/20/20	31.53	23.71 W	0.00	1314 of 2632 - Sale <sup>25</sup>
	3.670	9.80	11/20/20	39.60	29.80 W	0.00	1315 of 2632 - Sale <sup>25</sup>
	4.000	10.68	11/20/20	43.28	32.60 W	0.00	1316 of 2632 - Sale <sup>25</sup>
	8.000	21.36	11/20/20	86.01	64.65 W	0.00	1317 of 2632 - Sale <sup>25</sup>
	10.970	29.29	11/20/20	118.50	89.21 W	0.00	1318 of 2632 - Sale <sup>25</sup>
	11.580	30.92	11/20/20	125.36	94.44 W	0.00	1319 of 2632 - Sale <sup>25</sup>
	11.970	31.96	11/20/20	129.17	97.21 W	0.00	1320 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	18.880	50.41	11/20/20	203.95	153.54 W	0.00	1321 of 2632 - Sale <sup>25</sup>
	0.190	0.51	11/20/20	2.02	1.51 W	0.00	1322 of 2632 - Sale <sup>25</sup>
	0.190	0.51	11/20/20	2.01	1.50 W	0.00	1323 of 2632 - Sale <sup>25</sup>
	0.830	2.22	11/20/20	8.85	6.63 W	0.00	1324 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	10.62	7.95 W	0.00	1325 of 2632 - Sale <sup>25</sup>
	1.450	3.87	11/20/20	15.61	11.74 W	0.00	1326 of 2632 - Sale <sup>25</sup>
	1.530	4.08	11/20/20	16.33	12.25 W	0.00	1327 of 2632 - Sale <sup>25</sup>
	2.260	6.03	11/20/20	23.97	17.94 W	0.00	1328 of 2632 - Sale <sup>25</sup>
	2.450	6.54	11/20/20	26.04	19.50 W	0.00	1329 of 2632 - Sale <sup>25</sup>
	3.345	8.93	11/20/20	36.00	27.07 W	0.00	1330 of 2632 - Sale <sup>25</sup>
	3.550	9.48	11/20/20	38.20	28.72 W	0.00	1331 of 2632 - Sale <sup>25</sup>
	5.100	13.62	11/20/20	54.09	40.47 W	0.00	1332 of 2632 - Sale <sup>25</sup>
	6.450	17.22	11/20/20	69.43	52.21 W	0.00	1333 of 2632 - Sale <sup>25</sup>
	7.450	19.89	11/20/20	79.95	60.06 W	0.00	1334 of 2632 - Sale <sup>25</sup>
	3.000	8.01	11/20/20	38.80	30.79 W	0.00	1335 of 2632 - Sale <sup>25</sup>
	0.030	0.08	11/20/20	0.33	0.25 W	0.00	1336 of 2632 - Sale <sup>25</sup>
	0.100	0.27	11/20/20	1.08	0.81 W	0.00	1337 of 2632 - Sale <sup>25</sup>
	0.100	0.27	11/20/20	1.09	0.82 W	0.00	1338 of 2632 - Sale <sup>25</sup>
	0.100	0.27	11/20/20	1.09	0.82 W	0.00	1339 of 2632 - Sale <sup>25</sup>
	0.100	0.27	11/20/20	1.12	0.85 W	0.00	1340 of 2632 - Sale <sup>25</sup>
	0.400	1.07	11/20/20	5.17	4.10 W	0.00	1341 of 2632 - Sale <sup>25</sup>
	0.500	1.33	11/20/20	5.49	4.16 W	0.00	1342 of 2632 - Sale <sup>25</sup>
	0.560	1.50	11/20/20	7.19	5.69 W	0.00	1343 of 2632 - Sale <sup>25</sup>
	0.640	1.71	11/20/20	8.25	6.54 W	0.00	1344 of 2632 - Sale <sup>25</sup>
	0.970	2.59	11/20/20	10.31	7.72 W	0.00	1345 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	12.87	10.20 W	0.00	1346 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	11.47	8.80 W	0.00	1347 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	11.48	8.81 W	0.00	1348 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	11.44	8.77 W	0.00	1349 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	11.44	8.77 W	0.00	1350 of 2632 - Sale <sup>25</sup>
	1.000	2.67	11/20/20	11.08	8.41 W	0.00	1351 of 2632 - Sale <sup>25</sup>
	2.195	5.86	11/20/20	24.34	18.48 W	0.00	1352 of 2632 - Sale <sup>25</sup>
	2.330	6.22	11/20/20	26.64	20.42 W	0.00	1353 of 2632 - Sale <sup>25</sup>
	6.640	17.73	11/20/20	75.89	58.16 W	0.00	1354 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	8.180	21.84	11/20/20	105.42	83.58 W	0.00	1355 of 2632 - Sale <sup>25</sup>
	10.140	27.07	11/20/20	116.59	89.52 W	0.00	1356 of 2632 - Sale <sup>25</sup>
	10.910	29.13	11/20/20	125.50	96.37 W	0.00	1357 of 2632 - Sale <sup>25</sup>
	11.310	30.20	11/20/20	130.08	99.88 W	0.00	1358 of 2632 - Sale <sup>25</sup>
	0.090	0.24	12/01/20	0.79	0.55 W	0.00	1359 of 2632 - Sale <sup>25</sup>
	0.910	2.43	12/01/20	7.93	5.50 W	0.00	1360 of 2632 - Sale <sup>25</sup>
	0.910	2.43	12/01/20	7.97	5.54 W	0.00	1361 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.78	6.11 W	0.00	1362 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.71	6.04 W	0.00	1363 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.74	6.07 W	0.00	1364 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.75	6.08 W	0.00	1365 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.75	6.08 W	0.00	1366 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.75	6.08 W	0.00	1367 of 2632 - Sale <sup>25</sup>
	1.930	5.15	12/01/20	16.99	11.84 W	0.00	1368 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	26.29	18.28 W	0.00	1369 of 2632 - Sale <sup>25</sup>
	3.090	8.25	12/01/20	27.08	18.83 W	0.00	1370 of 2632 - Sale <sup>25</sup>
	4.780	12.76	12/01/20	41.89	29.13 W	0.00	1371 of 2632 - Sale <sup>25</sup>
	6.090	16.26	12/01/20	53.55	37.29 W	0.00	1372 of 2632 - Sale <sup>25</sup>
	9.550	25.50	12/01/20	83.95	58.45 W	0.00	1373 of 2632 - Sale <sup>25</sup>
	2.060	5.50	12/01/20	19.16	13.66 W	0.00	1374 of 2632 - Sale <sup>25</sup>
	2.540	6.78	12/01/20	23.63	16.85 W	0.00	1375 of 2632 - Sale <sup>25</sup>
	4.200	11.21	12/01/20	39.26	28.05 W	0.00	1376 of 2632 - Sale <sup>25</sup>
	4.560	12.17	12/01/20	42.41	30.24 W	0.00	1377 of 2632 - Sale <sup>25</sup>
	5.220	13.94	12/01/20	48.54	34.60 W	0.00	1378 of 2632 - Sale <sup>25</sup>
	6.000	16.02	12/01/20	56.13	40.11 W	0.00	1379 of 2632 - Sale <sup>25</sup>
	6.360	16.98	12/01/20	59.14	42.16 W	0.00	1380 of 2632 - Sale <sup>25</sup>
	6.640	17.73	12/01/20	62.13	44.40 W	0.00	1381 of 2632 - Sale <sup>25</sup>
	7.720	20.61	12/01/20	72.16	51.55 W	0.00	1382 of 2632 - Sale <sup>25</sup>
	9.090	24.27	12/01/20	84.83	60.56 W	0.00	1383 of 2632 - Sale <sup>25</sup>
	9.260	24.72	12/01/20	86.13	61.41 W	0.00	1384 of 2632 - Sale <sup>25</sup>
	0.160	0.43	12/01/20	1.50	1.07 W	0.00	1385 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	9.43	6.76 W	0.00	1386 of 2632 - Sale <sup>25</sup>
	2.140	5.71	12/01/20	20.08	14.37 W	0.00	1387 of 2632 - Sale <sup>25</sup>
	2.580	6.89	12/01/20	24.58	17.69 W	0.00	1388 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.640	7.05	12/01/20	24.82	17.77 W	0.00	1389 of 2632 - Sale <sup>25</sup>
	2.780	7.42	12/01/20	26.15	18.73 W	0.00	1390 of 2632 - Sale <sup>25</sup>
	3.160	8.44	12/01/20	29.62	21.18 W	0.00	1391 of 2632 - Sale <sup>25</sup>
	5.530	14.76	12/01/20	52.00	37.24 W	0.00	1392 of 2632 - Sale <sup>25</sup>
	6.780	18.10	12/01/20	64.63	46.53 W	0.00	1393 of 2632 - Sale <sup>25</sup>
	6.820	18.21	12/01/20	64.13	45.92 W	0.00	1394 of 2632 - Sale <sup>25</sup>
	6.840	18.26	12/01/20	64.12	45.86 W	0.00	1395 of 2632 - Sale <sup>25</sup>
	7.730	20.64	12/01/20	73.57	52.93 W	0.00	1396 of 2632 - Sale <sup>25</sup>
	7.840	20.93	12/01/20	73.53	52.60 W	0.00	1397 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	9.52	6.85 W	0.00	1398 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	9.51	6.84 W	0.00	1399 of 2632 - Sale <sup>25</sup>
	2.860	7.64	12/01/20	27.24	19.60 W	0.00	1400 of 2632 - Sale <sup>25</sup>
	3.910	10.44	12/01/20	37.22	26.78 W	0.00	1401 of 2632 - Sale <sup>25</sup>
	4.780	12.76	12/01/20	45.39	32.63 W	0.00	1402 of 2632 - Sale <sup>25</sup>
	5.220	13.94	12/01/20	49.62	35.68 W	0.00	1403 of 2632 - Sale <sup>25</sup>
	6.360	16.98	12/01/20	60.52	43.54 W	0.00	1404 of 2632 - Sale <sup>25</sup>
	8.870	23.68	12/01/20	84.26	60.58 W	0.00	1405 of 2632 - Sale <sup>25</sup>
	10.000	26.70	12/01/20	95.05	68.35 W	0.00	1406 of 2632 - Sale <sup>25</sup>
	0.140	0.37	12/01/20	1.30	0.93 W	0.00	1407 of 2632 - Sale <sup>25</sup>
	1.220	3.26	12/01/20	11.38	8.12 W	0.00	1408 of 2632 - Sale <sup>25</sup>
	1.920	5.13	12/01/20	17.98	12.85 W	0.00	1409 of 2632 - Sale <sup>25</sup>
	2.325	6.21	12/01/20	21.97	15.76 W	0.00	1410 of 2632 - Sale <sup>25</sup>
	2.840	7.58	12/01/20	26.55	18.97 W	0.00	1411 of 2632 - Sale <sup>25</sup>
	2.860	7.64	12/01/20	26.80	19.16 W	0.00	1412 of 2632 - Sale <sup>25</sup>
	2.895	7.73	12/01/20	27.36	19.63 W	0.00	1413 of 2632 - Sale <sup>25</sup>
	3.870	10.33	12/01/20	36.22	25.89 W	0.00	1414 of 2632 - Sale <sup>25</sup>
	4.260	11.37	12/01/20	39.79	28.42 W	0.00	1415 of 2632 - Sale <sup>25</sup>
	4.780	12.76	12/01/20	44.69	31.93 W	0.00	1416 of 2632 - Sale <sup>25</sup>
	8.080	21.57	12/01/20	75.46	53.89 W	0.00	1417 of 2632 - Sale <sup>25</sup>
	9.740	26.00	12/01/20	90.96	64.96 W	0.00	1418 of 2632 - Sale <sup>25</sup>
	9.910	26.46	12/01/20	93.64	67.18 W	0.00	1419 of 2632 - Sale <sup>25</sup>
	16.000	42.71	12/01/20	149.45	106.74 W	0.00	1420 of 2632 - Sale <sup>25</sup>
	24.160	64.50	12/01/20	225.88	161.38 W	0.00	1421 of 2632 - Sale <sup>25</sup>
	0.805	2.15	12/01/20	7.54	5.39 W	0.00	1422 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.730	4.62	12/01/20	16.19	11.57 W	0.00	1423 of 2632 - Sale <sup>25</sup>
	2.465	6.58	12/01/20	23.08	16.50 W	0.00	1424 of 2632 - Sale <sup>25</sup>
	0.220	0.59	12/01/20	2.09	1.50 W	0.00	1425 of 2632 - Sale <sup>25</sup>
	0.360	0.96	12/01/20	3.42	2.46 W	0.00	1426 of 2632 - Sale <sup>25</sup>
	0.640	1.71	12/01/20	6.03	4.32 W	0.00	1427 of 2632 - Sale <sup>25</sup>
	0.860	2.30	12/01/20	8.17	5.87 W	0.00	1428 of 2632 - Sale <sup>25</sup>
	1.220	3.26	12/01/20	11.76	8.50 W	0.00	1429 of 2632 - Sale <sup>25</sup>
	1.640	4.38	12/01/20	15.59	11.21 W	0.00	1430 of 2632 - Sale <sup>25</sup>
	2.020	5.39	12/01/20	19.49	14.10 W	0.00	1431 of 2632 - Sale <sup>25</sup>
	2.740	7.31	12/01/20	25.82	18.51 W	0.00	1432 of 2632 - Sale <sup>25</sup>
	3.130	8.36	12/01/20	29.74	21.38 W	0.00	1433 of 2632 - Sale <sup>25</sup>
	3.220	8.60	12/01/20	31.23	22.63 W	0.00	1434 of 2632 - Sale <sup>25</sup>
	4.000	10.68	12/01/20	37.98	27.30 W	0.00	1435 of 2632 - Sale <sup>25</sup>
	4.140	11.05	12/01/20	39.31	28.26 W	0.00	1436 of 2632 - Sale <sup>25</sup>
	5.000	13.35	12/01/20	47.49	34.14 W	0.00	1437 of 2632 - Sale <sup>25</sup>
	5.000	13.35	12/01/20	47.12	33.77 W	0.00	1438 of 2632 - Sale <sup>25</sup>
	5.000	13.35	12/01/20	47.13	33.78 W	0.00	1439 of 2632 - Sale <sup>25</sup>
	5.000	13.35	12/01/20	47.51	34.16 W	0.00	1440 of 2632 - Sale <sup>25</sup>
	5.140	13.72	12/01/20	48.82	35.10 W	0.00	1441 of 2632 - Sale <sup>25</sup>
	5.620	15.00	12/01/20	52.99	37.99 W	0.00	1442 of 2632 - Sale <sup>25</sup>
	6.000	16.02	12/01/20	58.19	42.17 W	0.00	1443 of 2632 - Sale <sup>25</sup>
	6.640	17.73	12/01/20	63.07	45.34 W	0.00	1444 of 2632 - Sale <sup>25</sup>
	12.870	34.36	12/01/20	124.84	90.48 W	0.00	1445 of 2632 - Sale <sup>25</sup>
	19.540	52.16	12/01/20	188.57	136.41 W	0.00	1446 of 2632 - Sale <sup>25</sup>
	0.910	2.43	12/01/20	8.79	6.36 W	0.00	1447 of 2632 - Sale <sup>25</sup>
	1.360	3.63	12/01/20	13.01	9.38 W	0.00	1448 of 2632 - Sale <sup>25</sup>
	1.440	3.84	12/01/20	13.91	10.07 W	0.00	1449 of 2632 - Sale <sup>25</sup>
	3.150	8.41	12/01/20	30.19	21.78 W	0.00	1450 of 2632 - Sale <sup>25</sup>
	4.090	10.92	12/01/20	39.20	28.28 W	0.00	1451 of 2632 - Sale <sup>25</sup>
	4.920	13.13	12/01/20	47.53	34.40 W	0.00	1452 of 2632 - Sale <sup>25</sup>
	5.000	13.35	12/01/20	47.96	34.61 W	0.00	1453 of 2632 - Sale <sup>25</sup>
	6.640	17.73	12/01/20	63.59	45.86 W	0.00	1454 of 2632 - Sale <sup>25</sup>
	8.780	23.44	12/01/20	84.14	60.70 W	0.00	1455 of 2632 - Sale <sup>25</sup>
	12.870	34.36	12/01/20	122.65	88.29 W	0.00	1456 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	24.070	64.26	12/01/20	230.65	166.39 W	0.00	1457 of 2632 - Sale <sup>25</sup>
	26.770	71.46	12/01/20	256.46	185.00 W	0.00	1458 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.57	7.90 W	0.00	1459 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.85	8.18 W	0.00	1460 of 2632 - Sale <sup>25</sup>
	0.865	2.31	12/01/20	9.33	7.02 W	0.00	1461 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	21.19	15.85 W	0.00	1462 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	21.13	15.79 W	0.00	1463 of 2632 - Sale <sup>25</sup>
	2.000	5.33	12/01/20	21.69	16.36 W	0.00	1464 of 2632 - Sale <sup>25</sup>
	2.995	7.99	12/01/20	31.79	23.80 W	0.00	1465 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	31.81	23.80 W	0.00	1466 of 2632 - Sale <sup>25</sup>
	4.000	10.68	12/01/20	42.35	31.67 W	0.00	1467 of 2632 - Sale <sup>25</sup>
	4.000	10.68	12/01/20	43.36	32.68 W	0.00	1468 of 2632 - Sale <sup>25</sup>
	4.140	11.05	12/01/20	43.90	32.85 W	0.00	1469 of 2632 - Sale <sup>25</sup>
	0.050	0.13	12/01/20	0.54	0.41 W	0.00	1470 of 2632 - Sale <sup>25</sup>
	0.420	1.12	12/01/20	4.55	3.43 W	0.00	1471 of 2632 - Sale <sup>25</sup>
	0.420	1.12	12/01/20	4.63	3.51 W	0.00	1472 of 2632 - Sale <sup>25</sup>
	0.580	1.55	12/01/20	6.39	4.84 W	0.00	1473 of 2632 - Sale <sup>25</sup>
	0.860	2.30	12/01/20	9.49	7.19 W	0.00	1474 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.01	8.34 W	0.00	1475 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.01	8.34 W	0.00	1476 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.01	8.34 W	0.00	1477 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.01	8.34 W	0.00	1478 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.99	8.32 W	0.00	1479 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.04	8.37 W	0.00	1480 of 2632 - Sale <sup>25</sup>
	1.140	3.04	12/01/20	12.59	9.55 W	0.00	1481 of 2632 - Sale <sup>25</sup>
	0.995	2.66	12/01/20	10.73	8.07 W	0.00	1482 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	22.02	16.68 W	0.00	1483 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	22.02	16.68 W	0.00	1484 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	22.14	16.80 W	0.00	1485 of 2632 - Sale <sup>25</sup>
	2.140	5.71	12/01/20	23.12	17.41 W	0.00	1486 of 2632 - Sale <sup>25</sup>
	2.140	5.71	12/01/20	23.68	17.97 W	0.00	1487 of 2632 - Sale <sup>25</sup>
	2.580	6.89	12/01/20	27.91	21.02 W	0.00	1488 of 2632 - Sale <sup>25</sup>
	3.440	9.18	12/01/20	38.07	28.89 W	0.00	1489 of 2632 - Sale <sup>25</sup>
	3.950	10.55	12/01/20	42.75	32.20 W	0.00	1490 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.000	10.68	12/01/20	43.95	33.27 W	0.00	1491 of 2632 - Sale <sup>25</sup>
	4.000	10.68	12/01/20	44.17	33.49 W	0.00	1492 of 2632 - Sale <sup>25</sup>
	4.865	12.99	12/01/20	53.81	40.82 W	0.00	1493 of 2632 - Sale <sup>25</sup>
	5.420	14.47	12/01/20	59.84	45.37 W	0.00	1494 of 2632 - Sale <sup>25</sup>
	1.010	2.70	12/01/20	9.58	6.88 W	0.00	1495 of 2632 - Sale <sup>25</sup>
	4.810	12.84	12/01/20	45.60	32.76 W	0.00	1496 of 2632 - Sale <sup>25</sup>
	6.090	16.26	12/01/20	57.48	41.22 W	0.00	1497 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	19.20	13.86 W	0.00	1498 of 2632 - Sale <sup>25</sup>
	2.090	5.58	12/01/20	20.05	14.47 W	0.00	1499 of 2632 - Sale <sup>25</sup>
	8.000	21.36	12/01/20	76.51	55.15 W	0.00	1500 of 2632 - Sale <sup>25</sup>
	0.135	0.36	12/01/20	1.49	1.13 W	0.00	1501 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	22.27	16.93 W	0.00	1502 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	34.10	26.09 W	0.00	1503 of 2632 - Sale <sup>25</sup>
	0.335	0.89	12/01/20	3.89	3.00 W	0.00	1504 of 2632 - Sale <sup>25</sup>
	0.360	0.96	12/01/20	3.98	3.02 W	0.00	1505 of 2632 - Sale <sup>25</sup>
	0.410	1.09	12/01/20	4.51	3.42 W	0.00	1506 of 2632 - Sale <sup>25</sup>
	0.460	1.23	12/01/20	5.31	4.08 W	0.00	1507 of 2632 - Sale <sup>25</sup>
	0.540	1.44	12/01/20	6.24	4.80 W	0.00	1508 of 2632 - Sale <sup>25</sup>
	0.640	1.71	12/01/20	7.09	5.38 W	0.00	1509 of 2632 - Sale <sup>25</sup>
	0.815	2.18	12/01/20	8.99	6.81 W	0.00	1510 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.59	8.92 W	0.00	1511 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.59	8.92 W	0.00	1512 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.75	9.08 W	0.00	1513 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.03	8.36 W	0.00	1514 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.01	8.34 W	0.00	1515 of 2632 - Sale <sup>25</sup>
	1.540	4.11	12/01/20	17.85	13.74 W	0.00	1516 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	22.66	17.32 W	0.00	1517 of 2632 - Sale <sup>25</sup>
	2.185	5.83	12/01/20	24.21	18.38 W	0.00	1518 of 2632 - Sale <sup>25</sup>
	2.420	6.46	12/01/20	28.38	21.92 W	0.00	1519 of 2632 - Sale <sup>25</sup>
	2.580	6.89	12/01/20	30.41	23.52 W	0.00	1520 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	33.19	25.18 W	0.00	1521 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	33.21	25.20 W	0.00	1522 of 2632 - Sale <sup>25</sup>
	3.420	9.13	12/01/20	40.29	31.16 W	0.00	1523 of 2632 - Sale <sup>25</sup>
	6.580	17.57	12/01/20	77.29	59.72 W	0.00	1524 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.580	25.58	12/01/20	109.21	83.63 W	0.00	1525 of 2632 - Sale <sup>25</sup>
	0.220	0.59	12/01/20	2.43	1.84 W	0.00	1526 of 2632 - Sale <sup>25</sup>
	0.370	0.99	12/01/20	4.08	3.09 W	0.00	1527 of 2632 - Sale <sup>25</sup>
	0.410	1.09	12/01/20	4.51	3.42 W	0.00	1528 of 2632 - Sale <sup>25</sup>
	0.420	1.12	12/01/20	4.66	3.54 W	0.00	1529 of 2632 - Sale <sup>25</sup>
	0.580	1.55	12/01/20	6.37	4.82 W	0.00	1530 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.08	8.41 W	0.00	1531 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	22.11	16.77 W	0.00	1532 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	32.87	24.86 W	0.00	1533 of 2632 - Sale <sup>25</sup>
	0.230	0.61	12/01/20	2.44	1.83 W	0.00	1534 of 2632 - Sale <sup>25</sup>
	0.320	0.85	12/01/20	3.45	2.60 W	0.00	1535 of 2632 - Sale <sup>25</sup>
	0.360	0.96	12/01/20	3.83	2.87 W	0.00	1536 of 2632 - Sale <sup>25</sup>
	0.420	1.12	12/01/20	4.51	3.39 W	0.00	1537 of 2632 - Sale <sup>25</sup>
	0.530	1.41	12/01/20	5.67	4.26 W	0.00	1538 of 2632 - Sale <sup>25</sup>
	0.580	1.55	12/01/20	6.16	4.61 W	0.00	1539 of 2632 - Sale <sup>25</sup>
	0.640	1.71	12/01/20	6.80	5.09 W	0.00	1540 of 2632 - Sale <sup>25</sup>
	0.680	1.82	12/01/20	7.29	5.47 W	0.00	1541 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.79	8.12 W	0.00	1542 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.77	8.10 W	0.00	1543 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.77	8.10 W	0.00	1544 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.76	8.09 W	0.00	1545 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.73	8.06 W	0.00	1546 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.73	8.06 W	0.00	1547 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.74	8.07 W	0.00	1548 of 2632 - Sale <sup>25</sup>
	1.470	3.92	12/01/20	15.71	11.79 W	0.00	1549 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	21.39	16.05 W	0.00	1550 of 2632 - Sale <sup>25</sup>
	2.360	6.30	12/01/20	25.33	19.03 W	0.00	1551 of 2632 - Sale <sup>25</sup>
	2.580	6.89	12/01/20	27.56	20.67 W	0.00	1552 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	32.20	24.19 W	0.00	1553 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	32.29	24.28 W	0.00	1554 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	32.22	24.21 W	0.00	1555 of 2632 - Sale <sup>25</sup>
	3.950	10.55	12/01/20	42.22	31.67 W	0.00	1556 of 2632 - Sale <sup>25</sup>
	4.000	10.68	12/01/20	43.08	32.40 W	0.00	1557 of 2632 - Sale <sup>25</sup>
	4.050	10.81	12/01/20	43.30	32.49 W	0.00	1558 of 2632 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.000	18.69	12/01/20	75.13	56.44 W	0.00	1559 of 2632 - Sale <sup>25</sup>
	8.000	21.36	12/01/20	86.24	64.88 W	0.00	1560 of 2632 - Sale <sup>25</sup>
	10.420	27.82	12/01/20	111.36	83.54 W	0.00	1561 of 2632 - Sale <sup>25</sup>
	0.240	0.64	12/01/20	2.54	1.90 W	0.00	1562 of 2632 - Sale <sup>25</sup>
	0.460	1.23	12/01/20	4.93	3.70 W	0.00	1563 of 2632 - Sale <sup>25</sup>
	0.540	1.44	12/01/20	5.81	4.37 W	0.00	1564 of 2632 - Sale <sup>25</sup>
	0.680	1.82	12/01/20	7.27	5.45 W	0.00	1565 of 2632 - Sale <sup>25</sup>
	0.780	2.08	12/01/20	8.27	6.19 W	0.00	1566 of 2632 - Sale <sup>25</sup>
	0.780	2.08	12/01/20	8.31	6.23 W	0.00	1567 of 2632 - Sale <sup>25</sup>
	0.950	2.54	12/01/20	10.11	7.57 W	0.00	1568 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.72	8.05 W	0.00	1569 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.70	8.03 W	0.00	1570 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.68	8.01 W	0.00	1571 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.58	7.91 W	0.00	1572 of 2632 - Sale <sup>25</sup>
	1.220	3.26	12/01/20	12.98	9.72 W	0.00	1573 of 2632 - Sale <sup>25</sup>
	1.460	3.90	12/01/20	15.61	11.71 W	0.00	1574 of 2632 - Sale <sup>25</sup>
	1.460	3.90	12/01/20	15.48	11.58 W	0.00	1575 of 2632 - Sale <sup>25</sup>
	2.300	6.14	12/01/20	24.39	18.25 W	0.00	1576 of 2632 - Sale <sup>25</sup>
	2.540	6.78	12/01/20	27.11	20.33 W	0.00	1577 of 2632 - Sale <sup>25</sup>
	3.540	9.45	12/01/20	37.85	28.40 W	0.00	1578 of 2632 - Sale <sup>25</sup>
	4.000	10.68	12/01/20	42.69	32.01 W	0.00	1579 of 2632 - Sale <sup>25</sup>
	4.460	11.91	12/01/20	49.66	37.75 W	0.00	1580 of 2632 - Sale <sup>25</sup>
	5.000	13.35	12/01/20	53.38	40.03 W	0.00	1581 of 2632 - Sale <sup>25</sup>
	0.220	0.59	12/01/20	1.91	1.32 W	0.00	1582 of 2632 - Sale <sup>25</sup>
	0.320	0.85	12/01/20	3.44	2.59 W	0.00	1583 of 2632 - Sale <sup>25</sup>
	0.460	1.23	12/01/20	4.94	3.71 W	0.00	1584 of 2632 - Sale <sup>25</sup>
	0.460	1.23	12/01/20	4.91	3.68 W	0.00	1585 of 2632 - Sale <sup>25</sup>
	0.460	1.23	12/01/20	4.92	3.69 W	0.00	1586 of 2632 - Sale <sup>25</sup>
	0.540	1.44	12/01/20	5.80	4.36 W	0.00	1587 of 2632 - Sale <sup>25</sup>
	0.540	1.44	12/01/20	5.73	4.29 W	0.00	1588 of 2632 - Sale <sup>25</sup>
	0.540	1.44	12/01/20	5.77	4.33 W	0.00	1589 of 2632 - Sale <sup>25</sup>
	0.540	1.44	12/01/20	5.77	4.33 W	0.00	1590 of 2632 - Sale <sup>25</sup>
	0.590	1.58	12/01/20	6.32	4.74 W	0.00	1591 of 2632 - Sale <sup>25</sup>
	0.680	1.82	12/01/20	7.29	5.47 W	0.00	1592 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.680	1.82	12/01/20	7.25	5.43 W	0.00	1593 of 2632 - Sale <sup>25</sup>
	0.910	2.43	12/01/20	7.89	5.46 W	0.00	1594 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.71	8.04 W	0.00	1595 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.66	7.99 W	0.00	1596 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	10.69	8.02 W	0.00	1597 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.63	5.96 W	0.00	1598 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.60	5.93 W	0.00	1599 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.60	5.93 W	0.00	1600 of 2632 - Sale <sup>25</sup>
	1.490	3.98	12/01/20	12.88	8.90 W	0.00	1601 of 2632 - Sale <sup>25</sup>
	1.540	4.11	12/01/20	16.55	12.44 W	0.00	1602 of 2632 - Sale <sup>25</sup>
	2.780	7.42	12/01/20	29.88	22.46 W	0.00	1603 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	32.09	24.08 W	0.00	1604 of 2632 - Sale <sup>25</sup>
	4.460	11.91	12/01/20	47.95	36.04 W	0.00	1605 of 2632 - Sale <sup>25</sup>
	5.070	13.54	12/01/20	43.81	30.27 W	0.00	1606 of 2632 - Sale <sup>25</sup>
	6.000	16.02	12/01/20	51.96	35.94 W	0.00	1607 of 2632 - Sale <sup>25</sup>
	6.140	16.39	12/01/20	52.99	36.60 W	0.00	1608 of 2632 - Sale <sup>25</sup>
	6.220	16.61	12/01/20	53.76	37.15 W	0.00	1609 of 2632 - Sale <sup>25</sup>
	6.860	18.31	12/01/20	59.13	40.82 W	0.00	1610 of 2632 - Sale <sup>25</sup>
	7.950	21.22	12/01/20	68.84	47.62 W	0.00	1611 of 2632 - Sale <sup>25</sup>
	9.550	25.50	12/01/20	80.69	55.19 W	0.00	1612 of 2632 - Sale <sup>25</sup>
	10.000	26.70	12/01/20	86.26	59.56 W	0.00	1613 of 2632 - Sale <sup>25</sup>
	16.000	42.72	12/01/20	138.08	95.36 W	0.00	1614 of 2632 - Sale <sup>25</sup>
	0.640	1.71	12/01/20	7.22	5.51 W	0.00	1615 of 2632 - Sale <sup>25</sup>
	0.760	2.03	12/01/20	8.61	6.58 W	0.00	1616 of 2632 - Sale <sup>25</sup>
	0.920	2.46	12/01/20	10.34	7.88 W	0.00	1617 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.72	9.05 W	0.00	1618 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.69	9.02 W	0.00	1619 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.22	8.55 W	0.00	1620 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.22	8.55 W	0.00	1621 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.24	8.57 W	0.00	1622 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.24	8.57 W	0.00	1623 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.23	8.56 W	0.00	1624 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.24	8.57 W	0.00	1625 of 2632 - Sale <sup>25</sup>
	2.000	5.34	12/01/20	23.34	18.00 W	0.00	1626 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	5.34	12/01/20	22.41	17.07 W	0.00	1627 of 2632 - Sale <sup>25</sup>
	2.080	5.55	12/01/20	23.39	17.84 W	0.00	1628 of 2632 - Sale <sup>25</sup>
	2.230	5.95	12/01/20	25.28	19.33 W	0.00	1629 of 2632 - Sale <sup>25</sup>
	2.900	7.74	12/01/20	33.87	26.13 W	0.00	1630 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	35.10	27.09 W	0.00	1631 of 2632 - Sale <sup>25</sup>
	3.100	8.28	12/01/20	36.18	27.90 W	0.00	1632 of 2632 - Sale <sup>25</sup>
	4.000	10.68	12/01/20	45.01	34.33 W	0.00	1633 of 2632 - Sale <sup>25</sup>
	4.360	11.64	12/01/20	49.41	37.77 W	0.00	1634 of 2632 - Sale <sup>25</sup>
	6.010	16.04	12/01/20	70.24	54.20 W	0.00	1635 of 2632 - Sale <sup>25</sup>
	8.000	21.36	12/01/20	90.67	69.31 W	0.00	1636 of 2632 - Sale <sup>25</sup>
	0.080	0.21	12/01/20	0.71	0.50 W	0.00	1637 of 2632 - Sale <sup>25</sup>
	0.140	0.37	12/01/20	1.18	0.81 W	0.00	1638 of 2632 - Sale <sup>25</sup>
	0.310	0.83	12/01/20	2.62	1.79 W	0.00	1639 of 2632 - Sale <sup>25</sup>
	0.560	1.50	12/01/20	6.52	5.02 W	0.00	1640 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	8.74	6.07 W	0.00	1641 of 2632 - Sale <sup>25</sup>
	1.220	3.26	12/01/20	10.30	7.04 W	0.00	1642 of 2632 - Sale <sup>25</sup>
	1.230	3.28	12/01/20	10.40	7.12 W	0.00	1643 of 2632 - Sale <sup>25</sup>
	1.310	3.50	12/01/20	11.42	7.92 W	0.00	1644 of 2632 - Sale <sup>25</sup>
	5.080	13.56	12/01/20	44.36	30.80 W	0.00	1645 of 2632 - Sale <sup>25</sup>
	6.360	16.98	12/01/20	55.53	38.55 W	0.00	1646 of 2632 - Sale <sup>25</sup>
	6.780	18.10	12/01/20	59.17	41.07 W	0.00	1647 of 2632 - Sale <sup>25</sup>
	7.440	19.86	12/01/20	64.82	44.96 W	0.00	1648 of 2632 - Sale <sup>25</sup>
	8.470	22.61	12/01/20	73.82	51.21 W	0.00	1649 of 2632 - Sale <sup>25</sup>
	8.780	23.44	12/01/20	76.70	53.26 W	0.00	1650 of 2632 - Sale <sup>25</sup>
	8.990	24.00	12/01/20	104.98	80.98 W	0.00	1651 of 2632 - Sale <sup>25</sup>
	11.560	30.86	12/01/20	102.16	71.30 W	0.00	1652 of 2632 - Sale <sup>25</sup>
	13.470	35.96	12/01/20	113.92	77.96 W	0.00	1653 of 2632 - Sale <sup>25</sup>
	17.220	45.97	12/01/20	145.64	99.67 W	0.00	1654 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/01/20	0.11	0.08 W	0.00	1655 of 2632 - Sale <sup>25</sup>
	1.000	2.67	12/01/20	11.36	8.69 W	0.00	1656 of 2632 - Sale <sup>25</sup>
	1.350	3.60	12/01/20	15.35	11.75 W	0.00	1657 of 2632 - Sale <sup>25</sup>
	3.000	8.01	12/01/20	34.00	25.99 W	0.00	1658 of 2632 - Sale <sup>25</sup>
	4.640	12.38	12/01/20	52.75	40.37 W	0.00	1659 of 2632 - Sale <sup>25</sup>
	0.230	0.61	12/01/20	2.60	1.99 W	0.00	1660 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.770	12.74	12/01/20	53.97	41.23 W	0.00	1661 of 2632 - Sale <sup>25</sup>
	100.000	272.98	12/03/20	532.97	189.79 W	-70.20	1662 of 2632 - Sale <sup>25</sup>
	3.360	9.17	12/03/20	43.83	34.66 W	0.00	1663 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	23.18	17.72 W	0.00	1664 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	7.48	5.73 W	0.00	1665 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	46.39	35.47 W	0.00	1666 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.57	1.97 W	0.00	1667 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	4.22	3.24 W	0.00	1668 of 2632 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.88	3.73 W	0.00	1669 of 2632 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.96	3.81 W	0.00	1670 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.68	8.95 W	0.00	1671 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.70	8.97 W	0.00	1672 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.71	8.98 W	0.00	1673 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.69	8.96 W	0.00	1674 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.68	8.95 W	0.00	1675 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.56	8.83 W	0.00	1676 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.57	8.84 W	0.00	1677 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.74	9.01 W	0.00	1678 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.73	9.00 W	0.00	1679 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.76	9.03 W	0.00	1680 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	23.37	17.91 W	0.00	1681 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	23.38	17.92 W	0.00	1682 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	23.38	17.92 W	0.00	1683 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	23.46	18.00 W	0.00	1684 of 2632 - Sale <sup>25</sup>
	2.360	6.44	12/03/20	27.58	21.14 W	0.00	1685 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	35.28	27.09 W	0.00	1686 of 2632 - Sale <sup>25</sup>
	3.860	10.54	12/03/20	45.02	34.48 W	0.00	1687 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	46.86	35.94 W	0.00	1688 of 2632 - Sale <sup>25</sup>
	4.050	11.06	12/03/20	46.90	35.84 W	0.00	1689 of 2632 - Sale <sup>25</sup>
	5.090	13.89	12/03/20	58.95	45.06 W	0.00	1690 of 2632 - Sale <sup>25</sup>
	9.000	24.57	12/03/20	105.40	80.83 W	0.00	1691 of 2632 - Sale <sup>25</sup>
	0.140	0.38	12/03/20	1.71	1.33 W	0.00	1692 of 2632 - Sale <sup>25</sup>
	0.490	1.34	12/03/20	6.02	4.68 W	0.00	1693 of 2632 - Sale <sup>25</sup>
	0.540	1.47	12/03/20	6.55	5.08 W	0.00	1694 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	12.15	9.42 W	0.00	1695 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	12.15	9.42 W	0.00	1696 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	12.26	9.53 W	0.00	1697 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	12.26	9.53 W	0.00	1698 of 2632 - Sale <sup>25</sup>
	1.510	4.12	12/03/20	18.53	14.41 W	0.00	1699 of 2632 - Sale <sup>25</sup>
	1.580	4.31	12/03/20	19.18	14.87 W	0.00	1700 of 2632 - Sale <sup>25</sup>
	2.640	7.21	12/03/20	32.29	25.08 W	0.00	1701 of 2632 - Sale <sup>25</sup>
	4.320	11.79	12/03/20	52.47	40.68 W	0.00	1702 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.33	7.60 W	0.00	1703 of 2632 - Sale <sup>25</sup>
	0.850	2.32	12/03/20	11.07	8.75 W	0.00	1704 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	13.04	10.31 W	0.00	1705 of 2632 - Sale <sup>25</sup>
	2.005	5.47	12/03/20	26.10	20.63 W	0.00	1706 of 2632 - Sale <sup>25</sup>
	2.510	6.85	12/03/20	32.73	25.88 W	0.00	1707 of 2632 - Sale <sup>25</sup>
	2.860	7.81	12/03/20	37.31	29.50 W	0.00	1708 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	39.13	30.94 W	0.00	1709 of 2632 - Sale <sup>25</sup>
	0.095	0.26	12/03/20	1.33	1.07 W	0.00	1710 of 2632 - Sale <sup>25</sup>
	0.120	0.33	12/03/20	1.68	1.35 W	0.00	1711 of 2632 - Sale <sup>25</sup>
	0.320	0.87	12/03/20	4.51	3.64 W	0.00	1712 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	5.09	4.11 W	0.00	1713 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	5.05	4.07 W	0.00	1714 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	5.16	4.18 W	0.00	1715 of 2632 - Sale <sup>25</sup>
	0.580	1.58	12/03/20	8.26	6.68 W	0.00	1716 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	9.03	7.28 W	0.00	1717 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	9.13	7.38 W	0.00	1718 of 2632 - Sale <sup>25</sup>
	0.660	1.80	12/03/20	9.29	7.49 W	0.00	1719 of 2632 - Sale <sup>25</sup>
	0.790	2.16	12/03/20	11.13	8.97 W	0.00	1720 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	12.09	9.74 W	0.00	1721 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.14	11.41 W	0.00	1722 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.06	11.33 W	0.00	1723 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.06	11.33 W	0.00	1724 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.05	11.32 W	0.00	1725 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.04	11.31 W	0.00	1726 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.21	11.48 W	0.00	1727 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.21	11.48 W	0.00	1728 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	14.22	11.49 W	0.00	1729 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.04	11.31 W	0.00	1730 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.00	11.27 W	0.00	1731 of 2632 - Sale <sup>25</sup>
	1.120	3.06	12/03/20	15.74	12.68 W	0.00	1732 of 2632 - Sale <sup>25</sup>
	1.340	3.66	12/03/20	18.83	15.17 W	0.00	1733 of 2632 - Sale <sup>25</sup>
	1.360	3.71	12/03/20	19.33	15.62 W	0.00	1734 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	28.23	22.77 W	0.00	1735 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	28.16	22.70 W	0.00	1736 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	28.56	23.10 W	0.00	1737 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	28.02	22.56 W	0.00	1738 of 2632 - Sale <sup>25</sup>
	2.070	5.65	12/03/20	29.12	23.47 W	0.00	1739 of 2632 - Sale <sup>25</sup>
	2.140	5.84	12/03/20	30.10	24.26 W	0.00	1740 of 2632 - Sale <sup>25</sup>
	2.655	7.25	12/03/20	37.34	30.09 W	0.00	1741 of 2632 - Sale <sup>25</sup>
	2.680	7.32	12/03/20	37.69	30.37 W	0.00	1742 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	38.98	31.39 W	0.00	1743 of 2632 - Sale <sup>25</sup>
	2.880	7.86	12/03/20	40.49	32.63 W	0.00	1744 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	42.28	34.09 W	0.00	1745 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	42.17	33.98 W	0.00	1746 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	42.18	33.99 W	0.00	1747 of 2632 - Sale <sup>25</sup>
	3.880	10.59	12/03/20	54.49	43.90 W	0.00	1748 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	56.08	45.16 W	0.00	1749 of 2632 - Sale <sup>25</sup>
	4.420	12.07	12/03/20	63.01	50.94 W	0.00	1750 of 2632 - Sale <sup>25</sup>
	4.665	12.73	12/03/20	65.62	52.89 W	0.00	1751 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	70.32	56.67 W	0.00	1752 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	84.74	68.36 W	0.00	1753 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	114.31	92.47 W	0.00	1754 of 2632 - Sale <sup>25</sup>
	0.125	0.34	12/03/20	1.72	1.38 W	0.00	1755 of 2632 - Sale <sup>25</sup>
	0.350	0.96	12/03/20	4.86	3.90 W	0.00	1756 of 2632 - Sale <sup>25</sup>
	0.460	1.26	12/03/20	6.30	5.04 W	0.00	1757 of 2632 - Sale <sup>25</sup>
	0.540	1.47	12/03/20	7.48	6.01 W	0.00	1758 of 2632 - Sale <sup>25</sup>
	0.700	1.91	12/03/20	9.65	7.74 W	0.00	1759 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	10.80	8.67 W	0.00	1760 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	11.81	9.46 W	0.00	1761 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	13.85	11.12 W	0.00	1762 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	13.64	10.91 W	0.00	1763 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	13.66	10.93 W	0.00	1764 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	13.66	10.93 W	0.00	1765 of 2632 - Sale <sup>25</sup>
	1.440	3.93	12/03/20	19.97	16.04 W	0.00	1766 of 2632 - Sale <sup>25</sup>
	1.440	3.93	12/03/20	19.87	15.94 W	0.00	1767 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	24.72	19.86 W	0.00	1768 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	27.66	22.20 W	0.00	1769 of 2632 - Sale <sup>25</sup>
	2.540	6.93	12/03/20	34.50	27.57 W	0.00	1770 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	41.28	33.09 W	0.00	1771 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	68.91	55.26 W	0.00	1772 of 2632 - Sale <sup>25</sup>
	6.430	17.55	12/03/20	89.02	71.47 W	0.00	1773 of 2632 - Sale <sup>25</sup>
	11.125	30.37	12/03/20	153.97	123.60 W	0.00	1774 of 2632 - Sale <sup>25</sup>
	0.120	0.33	12/03/20	1.69	1.36 W	0.00	1775 of 2632 - Sale <sup>25</sup>
	0.580	1.58	12/03/20	8.21	6.63 W	0.00	1776 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	9.81	7.93 W	0.00	1777 of 2632 - Sale <sup>25</sup>
	0.880	2.40	12/03/20	12.48	10.08 W	0.00	1778 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.21	11.48 W	0.00	1779 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.20	11.47 W	0.00	1780 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	14.17	11.44 W	0.00	1781 of 2632 - Sale <sup>25</sup>
	1.880	5.13	12/03/20	26.66	21.53 W	0.00	1782 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	28.07	22.61 W	0.00	1783 of 2632 - Sale <sup>25</sup>
	2.850	7.78	12/03/20	40.46	32.68 W	0.00	1784 of 2632 - Sale <sup>25</sup>
	3.140	8.57	12/03/20	44.07	35.50 W	0.00	1785 of 2632 - Sale <sup>25</sup>
	10.000	27.30	12/03/20	142.04	114.74 W	0.00	1786 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	3.04	2.44 W	0.00	1787 of 2632 - Sale <sup>25</sup>
	0.350	0.96	12/03/20	4.86	3.90 W	0.00	1788 of 2632 - Sale <sup>25</sup>
	0.350	0.96	12/03/20	4.83	3.87 W	0.00	1789 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	4.96	3.98 W	0.00	1790 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	8.83	7.08 W	0.00	1791 of 2632 - Sale <sup>25</sup>
	0.650	1.77	12/03/20	8.95	7.18 W	0.00	1792 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	13.80	11.07 W	0.00	1793 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	13.77	11.04 W	0.00	1794 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	16.85	13.52 W	0.00	1795 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	27.71	22.25 W	0.00	1796 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.430	6.63	12/03/20	33.63	27.00 W	0.00	1797 of 2632 - Sale <sup>25</sup>
	2.640	7.21	12/03/20	36.30	29.09 W	0.00	1798 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	41.34	33.15 W	0.00	1799 of 2632 - Sale <sup>25</sup>
	3.360	9.17	12/03/20	46.16	36.99 W	0.00	1800 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	69.37	55.72 W	0.00	1801 of 2632 - Sale <sup>25</sup>
	5.320	14.52	12/03/20	73.35	58.83 W	0.00	1802 of 2632 - Sale <sup>25</sup>
	6.780	18.51	12/03/20	93.46	74.95 W	0.00	1803 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	97.10	77.99 W	0.00	1804 of 2632 - Sale <sup>25</sup>
	10.000	27.30	12/03/20	138.80	111.50 W	0.00	1805 of 2632 - Sale <sup>25</sup>
	0.070	0.19	12/03/20	0.75	0.56 W	0.00	1806 of 2632 - Sale <sup>25</sup>
	0.190	0.52	12/03/20	2.03	1.51 W	0.00	1807 of 2632 - Sale <sup>25</sup>
	0.190	0.52	12/03/20	2.02	1.50 W	0.00	1808 of 2632 - Sale <sup>25</sup>
	0.215	0.59	12/03/20	2.36	1.77 W	0.00	1809 of 2632 - Sale <sup>25</sup>
	0.685	1.87	12/03/20	7.40	5.53 W	0.00	1810 of 2632 - Sale <sup>25</sup>
	0.830	2.27	12/03/20	8.89	6.62 W	0.00	1811 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.89	8.16 W	0.00	1812 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.67	7.94 W	0.00	1813 of 2632 - Sale <sup>25</sup>
	1.450	3.96	12/03/20	15.68	11.72 W	0.00	1814 of 2632 - Sale <sup>25</sup>
	1.530	4.18	12/03/20	16.41	12.23 W	0.00	1815 of 2632 - Sale <sup>25</sup>
	2.260	6.17	12/03/20	24.09	17.92 W	0.00	1816 of 2632 - Sale <sup>25</sup>
	2.360	6.44	12/03/20	25.66	19.22 W	0.00	1817 of 2632 - Sale <sup>25</sup>
	2.450	6.69	12/03/20	26.16	19.47 W	0.00	1818 of 2632 - Sale <sup>25</sup>
	2.640	7.21	12/03/20	28.74	21.53 W	0.00	1819 of 2632 - Sale <sup>25</sup>
	2.930	8.00	12/03/20	31.68	23.68 W	0.00	1820 of 2632 - Sale <sup>25</sup>
	3.345	9.13	12/03/20	36.17	27.04 W	0.00	1821 of 2632 - Sale <sup>25</sup>
	3.550	9.69	12/03/20	38.38	28.69 W	0.00	1822 of 2632 - Sale <sup>25</sup>
	3.670	10.02	12/03/20	39.78	29.76 W	0.00	1823 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	43.48	32.56 W	0.00	1824 of 2632 - Sale <sup>25</sup>
	5.100	13.92	12/03/20	54.34	40.42 W	0.00	1825 of 2632 - Sale <sup>25</sup>
	6.450	17.61	12/03/20	69.75	52.14 W	0.00	1826 of 2632 - Sale <sup>25</sup>
	7.450	20.34	12/03/20	80.32	59.98 W	0.00	1827 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	86.41	64.57 W	0.00	1828 of 2632 - Sale <sup>25</sup>
	10.970	29.95	12/03/20	119.05	89.10 W	0.00	1829 of 2632 - Sale <sup>25</sup>
	11.580	31.61	12/03/20	125.94	94.33 W	0.00	1830 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	11.970	32.68	12/03/20	129.77	97.09 W	0.00	1831 of 2632 - Sale <sup>25</sup>
	18.880	51.54	12/03/20	204.89	153.35 W	0.00	1832 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	38.95	30.76 W	0.00	1833 of 2632 - Sale <sup>25</sup>
	0.030	0.08	12/03/20	0.33	0.25 W	0.00	1834 of 2632 - Sale <sup>25</sup>
	0.100	0.27	12/03/20	1.08	0.81 W	0.00	1835 of 2632 - Sale <sup>25</sup>
	0.100	0.27	12/03/20	1.09	0.82 W	0.00	1836 of 2632 - Sale <sup>25</sup>
	0.100	0.27	12/03/20	1.09	0.82 W	0.00	1837 of 2632 - Sale <sup>25</sup>
	0.100	0.27	12/03/20	1.12	0.85 W	0.00	1838 of 2632 - Sale <sup>25</sup>
	0.400	1.09	12/03/20	5.19	4.10 W	0.00	1839 of 2632 - Sale <sup>25</sup>
	0.500	1.36	12/03/20	5.52	4.16 W	0.00	1840 of 2632 - Sale <sup>25</sup>
	0.560	1.53	12/03/20	7.21	5.68 W	0.00	1841 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	8.28	6.53 W	0.00	1842 of 2632 - Sale <sup>25</sup>
	0.970	2.65	12/03/20	10.36	7.71 W	0.00	1843 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	12.92	10.19 W	0.00	1844 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.52	8.79 W	0.00	1845 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.53	8.80 W	0.00	1846 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.49	8.76 W	0.00	1847 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.49	8.76 W	0.00	1848 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.13	8.40 W	0.00	1849 of 2632 - Sale <sup>25</sup>
	2.195	5.99	12/03/20	24.45	18.46 W	0.00	1850 of 2632 - Sale <sup>25</sup>
	2.330	6.36	12/03/20	26.76	20.40 W	0.00	1851 of 2632 - Sale <sup>25</sup>
	6.640	18.13	12/03/20	76.22	58.09 W	0.00	1852 of 2632 - Sale <sup>25</sup>
	8.180	22.33	12/03/20	105.83	83.50 W	0.00	1853 of 2632 - Sale <sup>25</sup>
	10.140	27.68	12/03/20	117.10	89.42 W	0.00	1854 of 2632 - Sale <sup>25</sup>
	10.910	29.78	12/03/20	126.04	96.26 W	0.00	1855 of 2632 - Sale <sup>25</sup>
	11.310	30.87	12/03/20	130.64	99.77 W	0.00	1856 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1857 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1858 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1859 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1860 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1861 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1862 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1863 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1864 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1865 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1866 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1867 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1868 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1869 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1870 of 2632 - Sale <sup>25</sup>
	0.010	0.03	12/03/20	0.10	0.07 W	0.00	1871 of 2632 - Sale <sup>25</sup>
	0.080	0.22	12/03/20	0.82	0.60 W	0.00	1872 of 2632 - Sale <sup>25</sup>
	0.090	0.25	12/03/20	0.92	0.67 W	0.00	1873 of 2632 - Sale <sup>25</sup>
	0.140	0.38	12/03/20	1.49	1.11 W	0.00	1874 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.30	1.70 W	0.00	1875 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.35	1.75 W	0.00	1876 of 2632 - Sale <sup>25</sup>
	0.300	0.82	12/03/20	3.08	2.26 W	0.00	1877 of 2632 - Sale <sup>25</sup>
	0.310	0.85	12/03/20	3.13	2.28 W	0.00	1878 of 2632 - Sale <sup>25</sup>
	0.350	0.96	12/03/20	3.57	2.61 W	0.00	1879 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	3.76	2.78 W	0.00	1880 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	3.69	2.71 W	0.00	1881 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	3.77	2.79 W	0.00	1882 of 2632 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.43	3.28 W	0.00	1883 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	6.65	4.90 W	0.00	1884 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	6.71	4.96 W	0.00	1885 of 2632 - Sale <sup>25</sup>
	0.650	1.77	12/03/20	6.62	4.85 W	0.00	1886 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	7.04	5.16 W	0.00	1887 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	6.97	5.09 W	0.00	1888 of 2632 - Sale <sup>25</sup>
	0.740	2.02	12/03/20	7.77	5.75 W	0.00	1889 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	8.15	6.02 W	0.00	1890 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	8.40	6.27 W	0.00	1891 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	9.02	6.67 W	0.00	1892 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	9.25	6.90 W	0.00	1893 of 2632 - Sale <sup>25</sup>
	0.910	2.48	12/03/20	9.25	6.77 W	0.00	1894 of 2632 - Sale <sup>25</sup>
	0.910	2.48	12/03/20	9.24	6.76 W	0.00	1895 of 2632 - Sale <sup>25</sup>
	0.920	2.51	12/03/20	9.59	7.08 W	0.00	1896 of 2632 - Sale <sup>25</sup>
	0.920	2.51	12/03/20	9.98	7.47 W	0.00	1897 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.10	7.40 W	0.00	1898 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.990	2.70	12/03/20	10.12	7.42 W	0.00	1899 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.08	7.38 W	0.00	1900 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.10	7.40 W	0.00	1901 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.10	7.40 W	0.00	1902 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.08	7.38 W	0.00	1903 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.05	7.35 W	0.00	1904 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.08	7.38 W	0.00	1905 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.09	7.39 W	0.00	1906 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.07	7.37 W	0.00	1907 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.02	7.32 W	0.00	1908 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.02	7.32 W	0.00	1909 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.45	7.72 W	0.00	1910 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.21	7.48 W	0.00	1911 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.21	7.48 W	0.00	1912 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.18	7.45 W	0.00	1913 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.19	7.46 W	0.00	1914 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.19	7.46 W	0.00	1915 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.17	7.44 W	0.00	1916 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.19	7.46 W	0.00	1917 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.14	7.41 W	0.00	1918 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.15	7.42 W	0.00	1919 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.16	7.43 W	0.00	1920 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.18	7.45 W	0.00	1921 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.16	7.43 W	0.00	1922 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.15	7.42 W	0.00	1923 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.12	7.39 W	0.00	1924 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.15	7.42 W	0.00	1925 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.43	7.70 W	0.00	1926 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.51	7.78 W	0.00	1927 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.51	7.78 W	0.00	1928 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.47	7.74 W	0.00	1929 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.47	7.74 W	0.00	1930 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.47	7.74 W	0.00	1931 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.67	7.94 W	0.00	1932 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	10.69	7.96 W	0.00	1933 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.60	7.87 W	0.00	1934 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.60	7.87 W	0.00	1935 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.62	7.89 W	0.00	1936 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.45	7.72 W	0.00	1937 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.45	7.72 W	0.00	1938 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.54	7.81 W	0.00	1939 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.77	8.04 W	0.00	1940 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.83	8.10 W	0.00	1941 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.83	8.10 W	0.00	1942 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.77	8.04 W	0.00	1943 of 2632 - Sale <sup>25</sup>
	1.080	2.95	12/03/20	11.34	8.39 W	0.00	1944 of 2632 - Sale <sup>25</sup>
	1.260	3.44	12/03/20	13.21	9.77 W	0.00	1945 of 2632 - Sale <sup>25</sup>
	1.260	3.44	12/03/20	12.97	9.53 W	0.00	1946 of 2632 - Sale <sup>25</sup>
	1.340	3.66	12/03/20	14.61	10.95 W	0.00	1947 of 2632 - Sale <sup>25</sup>
	1.640	4.48	12/03/20	17.69	13.21 W	0.00	1948 of 2632 - Sale <sup>25</sup>
	1.660	4.53	12/03/20	18.12	13.59 W	0.00	1949 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	18.64	13.78 W	0.00	1950 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	18.86	14.00 W	0.00	1951 of 2632 - Sale <sup>25</sup>
	1.990	5.43	12/03/20	20.39	14.96 W	0.00	1952 of 2632 - Sale <sup>25</sup>
	1.990	5.43	12/03/20	20.42	14.99 W	0.00	1953 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.42	14.96 W	0.00	1954 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.49	15.03 W	0.00	1955 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.29	15.83 W	0.00	1956 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.47	16.01 W	0.00	1957 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.40	15.94 W	0.00	1958 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.21	15.75 W	0.00	1959 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.87	15.41 W	0.00	1960 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.56	16.10 W	0.00	1961 of 2632 - Sale <sup>25</sup>
	2.010	5.49	12/03/20	21.37	15.88 W	0.00	1962 of 2632 - Sale <sup>25</sup>
	2.140	5.84	12/03/20	22.39	16.55 W	0.00	1963 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	23.20	17.14 W	0.00	1964 of 2632 - Sale <sup>25</sup>
	2.240	6.11	12/03/20	23.64	17.53 W	0.00	1965 of 2632 - Sale <sup>25</sup>
	2.360	6.44	12/03/20	25.44	19.00 W	0.00	1966 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.760	7.53	12/03/20	29.10	21.57 W	0.00	1967 of 2632 - Sale <sup>25</sup>
	2.885	7.88	12/03/20	29.74	21.86 W	0.00	1968 of 2632 - Sale <sup>25</sup>
	2.885	7.88	12/03/20	30.49	22.61 W	0.00	1969 of 2632 - Sale <sup>25</sup>
	2.920	7.97	12/03/20	30.27	22.30 W	0.00	1970 of 2632 - Sale <sup>25</sup>
	2.920	7.97	12/03/20	30.77	22.80 W	0.00	1971 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	31.26	23.07 W	0.00	1972 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	31.48	23.29 W	0.00	1973 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	31.34	23.15 W	0.00	1974 of 2632 - Sale <sup>25</sup>
	3.220	8.79	12/03/20	34.90	26.11 W	0.00	1975 of 2632 - Sale <sup>25</sup>
	3.360	9.17	12/03/20	34.43	25.26 W	0.00	1976 of 2632 - Sale <sup>25</sup>
	3.740	10.21	12/03/20	38.53	28.32 W	0.00	1977 of 2632 - Sale <sup>25</sup>
	3.780	10.32	12/03/20	40.93	30.61 W	0.00	1978 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	40.93	30.01 W	0.00	1979 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	41.82	30.90 W	0.00	1980 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	42.21	31.29 W	0.00	1981 of 2632 - Sale <sup>25</sup>
	4.080	11.14	12/03/20	42.67	31.53 W	0.00	1982 of 2632 - Sale <sup>25</sup>
	4.080	11.14	12/03/20	44.22	33.08 W	0.00	1983 of 2632 - Sale <sup>25</sup>
	4.195	11.45	12/03/20	44.21	32.76 W	0.00	1984 of 2632 - Sale <sup>25</sup>
	4.220	11.52	12/03/20	44.24	32.72 W	0.00	1985 of 2632 - Sale <sup>25</sup>
	4.640	12.67	12/03/20	47.54	34.87 W	0.00	1986 of 2632 - Sale <sup>25</sup>
	5.195	14.18	12/03/20	53.90	39.72 W	0.00	1987 of 2632 - Sale <sup>25</sup>
	5.650	15.42	12/03/20	57.90	42.48 W	0.00	1988 of 2632 - Sale <sup>25</sup>
	5.780	15.78	12/03/20	59.91	44.13 W	0.00	1989 of 2632 - Sale <sup>25</sup>
	5.780	15.78	12/03/20	60.69	44.91 W	0.00	1990 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	62.80	46.42 W	0.00	1991 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	62.65	46.27 W	0.00	1992 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	62.67	46.29 W	0.00	1993 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	83.98	62.14 W	0.00	1994 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	83.80	61.96 W	0.00	1995 of 2632 - Sale <sup>25</sup>
	10.000	27.30	12/03/20	104.31	77.01 W	0.00	1996 of 2632 - Sale <sup>25</sup>
	0.030	0.08	12/03/20	0.33	0.25 W	0.00	1997 of 2632 - Sale <sup>25</sup>
	0.100	0.27	12/03/20	1.09	0.82 W	0.00	1998 of 2632 - Sale <sup>25</sup>
	0.105	0.29	12/03/20	1.15	0.86 W	0.00	1999 of 2632 - Sale <sup>25</sup>
	0.140	0.38	12/03/20	1.40	1.02 W	0.00	2000 of 2632 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.210	0.57	12/03/20	2.02	1.45 W	0.00	2001 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.26	1.66 W	0.00	2002 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.21	1.61 W	0.00	2003 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.19	1.59 W	0.00	2004 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.30	1.70 W	0.00	2005 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.42	1.82 W	0.00	2006 of 2632 - Sale <sup>25</sup>
	0.300	0.82	12/03/20	3.05	2.23 W	0.00	2007 of 2632 - Sale <sup>25</sup>
	0.310	0.85	12/03/20	3.46	2.61 W	0.00	2008 of 2632 - Sale <sup>25</sup>
	0.310	0.85	12/03/20	3.42	2.57 W	0.00	2009 of 2632 - Sale <sup>25</sup>
	0.320	0.87	12/03/20	3.27	2.40 W	0.00	2010 of 2632 - Sale <sup>25</sup>
	0.340	0.93	12/03/20	3.73	2.80 W	0.00	2011 of 2632 - Sale <sup>25</sup>
	0.350	0.96	12/03/20	3.87	2.91 W	0.00	2012 of 2632 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.42	3.27 W	0.00	2013 of 2632 - Sale <sup>25</sup>
	0.440	1.20	12/03/20	4.95	3.75 W	0.00	2014 of 2632 - Sale <sup>25</sup>
	0.440	1.20	12/03/20	4.92	3.72 W	0.00	2015 of 2632 - Sale <sup>25</sup>
	0.440	1.20	12/03/20	4.91	3.71 W	0.00	2016 of 2632 - Sale <sup>25</sup>
	0.560	1.53	12/03/20	5.51	3.98 W	0.00	2017 of 2632 - Sale <sup>25</sup>
	0.560	1.53	12/03/20	4.42	2.89 W	0.00	2018 of 2632 - Sale <sup>25</sup>
	0.560	1.53	12/03/20	5.87	4.34 W	0.00	2019 of 2632 - Sale <sup>25</sup>
	0.560	1.53	12/03/20	6.34	4.81 W	0.00	2020 of 2632 - Sale <sup>25</sup>
	0.630	1.72	12/03/20	5.12	3.40 W	0.00	2021 of 2632 - Sale <sup>25</sup>
	0.660	1.80	12/03/20	7.23	5.43 W	0.00	2022 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	6.65	4.77 W	0.00	2023 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	7.25	5.37 W	0.00	2024 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	7.76	5.88 W	0.00	2025 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	7.77	5.89 W	0.00	2026 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	7.63	5.75 W	0.00	2027 of 2632 - Sale <sup>25</sup>
	0.690	1.88	12/03/20	7.60	5.72 W	0.00	2028 of 2632 - Sale <sup>25</sup>
	0.700	1.91	12/03/20	7.02	5.11 W	0.00	2029 of 2632 - Sale <sup>25</sup>
	0.750	2.05	12/03/20	8.51	6.46 W	0.00	2030 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.67	5.54 W	0.00	2031 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.60	5.47 W	0.00	2032 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.59	5.46 W	0.00	2033 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	8.16	6.03 W	0.00	2034 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.790	2.16	12/03/20	7.66	5.50 W	0.00	2035 of 2632 - Sale <sup>25</sup>
	0.800	2.18	12/03/20	8.82	6.64 W	0.00	2036 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	8.49	6.14 W	0.00	2037 of 2632 - Sale <sup>25</sup>
	0.870	2.37	12/03/20	9.56	7.19 W	0.00	2038 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.62	6.89 W	0.00	2039 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.61	6.88 W	0.00	2040 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.58	6.85 W	0.00	2041 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.72	6.99 W	0.00	2042 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.72	6.99 W	0.00	2043 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.72	6.99 W	0.00	2044 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.69	6.96 W	0.00	2045 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.71	6.98 W	0.00	2046 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.03	7.30 W	0.00	2047 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.08	7.35 W	0.00	2048 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.19	7.46 W	0.00	2049 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.18	7.45 W	0.00	2050 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.17	7.44 W	0.00	2051 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.84	7.11 W	0.00	2052 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.85	7.12 W	0.00	2053 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.17	5.44 W	0.00	2054 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.01	5.28 W	0.00	2055 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.07	5.34 W	0.00	2056 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.45	7.72 W	0.00	2057 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.48	7.75 W	0.00	2058 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.49	7.76 W	0.00	2059 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.44	7.71 W	0.00	2060 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.45	7.72 W	0.00	2061 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.46	7.73 W	0.00	2062 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.61	7.88 W	0.00	2063 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.62	7.89 W	0.00	2064 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.56	7.83 W	0.00	2065 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.88	8.15 W	0.00	2066 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.50	7.77 W	0.00	2067 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.29	8.56 W	0.00	2068 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	11.27	8.54 W	0.00	2069 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.22	8.49 W	0.00	2070 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.20	8.47 W	0.00	2071 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.21	8.48 W	0.00	2072 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.25	8.52 W	0.00	2073 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.23	8.50 W	0.00	2074 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.26	8.53 W	0.00	2075 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.22	8.49 W	0.00	2076 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.24	8.51 W	0.00	2077 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.23	8.50 W	0.00	2078 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.22	8.49 W	0.00	2079 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.24	8.51 W	0.00	2080 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.17	8.44 W	0.00	2081 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.19	8.46 W	0.00	2082 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.18	8.45 W	0.00	2083 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.19	8.46 W	0.00	2084 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.98	8.25 W	0.00	2085 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.09	8.36 W	0.00	2086 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.11	8.38 W	0.00	2087 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.10	8.37 W	0.00	2088 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.03	8.30 W	0.00	2089 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.05	8.32 W	0.00	2090 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.97	8.24 W	0.00	2091 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.97	8.24 W	0.00	2092 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.16	8.43 W	0.00	2093 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.17	8.44 W	0.00	2094 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.05	8.32 W	0.00	2095 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	11.06	8.33 W	0.00	2096 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.95	8.22 W	0.00	2097 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.95	8.22 W	0.00	2098 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.89	8.16 W	0.00	2099 of 2632 - Sale <sup>25</sup>
	1.070	2.92	12/03/20	11.98	9.06 W	0.00	2100 of 2632 - Sale <sup>25</sup>
	1.105	3.02	12/03/20	12.26	9.24 W	0.00	2101 of 2632 - Sale <sup>25</sup>
	1.140	3.11	12/03/20	11.32	8.21 W	0.00	2102 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.200	3.28	12/03/20	13.15	9.87 W	0.00	2103 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	11.98	8.65 W	0.00	2104 of 2632 - Sale <sup>25</sup>
	1.310	3.58	12/03/20	14.73	11.15 W	0.00	2105 of 2632 - Sale <sup>25</sup>
	1.310	3.58	12/03/20	14.75	11.17 W	0.00	2106 of 2632 - Sale <sup>25</sup>
	1.350	3.69	12/03/20	14.75	11.06 W	0.00	2107 of 2632 - Sale <sup>25</sup>
	1.360	3.71	12/03/20	14.25	10.54 W	0.00	2108 of 2632 - Sale <sup>25</sup>
	1.420	3.88	12/03/20	15.75	11.87 W	0.00	2109 of 2632 - Sale <sup>25</sup>
	1.440	3.93	12/03/20	11.30	7.37 W	0.00	2110 of 2632 - Sale <sup>25</sup>
	1.440	3.93	12/03/20	15.00	11.07 W	0.00	2111 of 2632 - Sale <sup>25</sup>
	1.460	3.99	12/03/20	14.04	10.05 W	0.00	2112 of 2632 - Sale <sup>25</sup>
	1.560	4.26	12/03/20	17.32	13.06 W	0.00	2113 of 2632 - Sale <sup>25</sup>
	1.650	4.50	12/03/20	18.33	13.83 W	0.00	2114 of 2632 - Sale <sup>25</sup>
	1.670	4.56	12/03/20	18.55	13.99 W	0.00	2115 of 2632 - Sale <sup>25</sup>
	1.690	4.61	12/03/20	18.63	14.02 W	0.00	2116 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	19.94	15.08 W	0.00	2117 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	19.94	15.08 W	0.00	2118 of 2632 - Sale <sup>25</sup>
	1.850	5.05	12/03/20	20.84	15.79 W	0.00	2119 of 2632 - Sale <sup>25</sup>
	1.900	5.19	12/03/20	19.31	14.12 W	0.00	2120 of 2632 - Sale <sup>25</sup>
	1.900	5.19	12/03/20	20.76	15.57 W	0.00	2121 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.23	13.77 W	0.00	2122 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.17	13.71 W	0.00	2123 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.34	14.88 W	0.00	2124 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.68	14.22 W	0.00	2125 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.95	15.49 W	0.00	2126 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.11	15.65 W	0.00	2127 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.42	16.96 W	0.00	2128 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.30	16.84 W	0.00	2129 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.39	16.93 W	0.00	2130 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.41	16.95 W	0.00	2131 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.34	16.88 W	0.00	2132 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.00	16.54 W	0.00	2133 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.15	16.69 W	0.00	2134 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.00	16.54 W	0.00	2135 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	22.10	16.64 W	0.00	2136 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	5.46	12/03/20	21.89	16.43 W	0.00	2137 of 2632 - Sale <sup>25</sup>
	2.080	5.68	12/03/20	16.38	10.70 W	0.00	2138 of 2632 - Sale <sup>25</sup>
	2.100	5.73	12/03/20	23.81	18.08 W	0.00	2139 of 2632 - Sale <sup>25</sup>
	2.150	5.87	12/03/20	24.22	18.35 W	0.00	2140 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	24.92	18.86 W	0.00	2141 of 2632 - Sale <sup>25</sup>
	2.300	6.28	12/03/20	22.65	16.37 W	0.00	2142 of 2632 - Sale <sup>25</sup>
	2.350	6.41	12/03/20	26.05	19.64 W	0.00	2143 of 2632 - Sale <sup>25</sup>
	2.360	6.44	12/03/20	26.34	19.90 W	0.00	2144 of 2632 - Sale <sup>25</sup>
	2.440	6.66	12/03/20	25.42	18.76 W	0.00	2145 of 2632 - Sale <sup>25</sup>
	2.560	6.99	12/03/20	28.67	21.68 W	0.00	2146 of 2632 - Sale <sup>25</sup>
	2.640	7.21	12/03/20	29.45	22.24 W	0.00	2147 of 2632 - Sale <sup>25</sup>
	2.690	7.34	12/03/20	28.48	21.14 W	0.00	2148 of 2632 - Sale <sup>25</sup>
	2.690	7.34	12/03/20	29.59	22.25 W	0.00	2149 of 2632 - Sale <sup>25</sup>
	2.760	7.53	12/03/20	30.82	23.29 W	0.00	2150 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	26.82	19.23 W	0.00	2151 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	28.29	20.70 W	0.00	2152 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	31.14	23.55 W	0.00	2153 of 2632 - Sale <sup>25</sup>
	2.895	7.90	12/03/20	32.13	24.23 W	0.00	2154 of 2632 - Sale <sup>25</sup>
	2.895	7.90	12/03/20	31.74	23.84 W	0.00	2155 of 2632 - Sale <sup>25</sup>
	2.900	7.92	12/03/20	32.46	24.54 W	0.00	2156 of 2632 - Sale <sup>25</sup>
	2.930	8.00	12/03/20	32.77	24.77 W	0.00	2157 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	29.92	21.73 W	0.00	2158 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	30.26	22.07 W	0.00	2159 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	24.61	16.42 W	0.00	2160 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	31.69	23.50 W	0.00	2161 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.61	25.42 W	0.00	2162 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.70	25.51 W	0.00	2163 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.70	25.51 W	0.00	2164 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.72	25.53 W	0.00	2165 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.12	24.93 W	0.00	2166 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.01	24.82 W	0.00	2167 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.21	25.02 W	0.00	2168 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.08	24.89 W	0.00	2169 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	33.03	24.84 W	0.00	2170 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	8.19	12/03/20	32.83	24.64 W	0.00	2171 of 2632 - Sale <sup>25</sup>
	3.105	8.48	12/03/20	33.90	25.42 W	0.00	2172 of 2632 - Sale <sup>25</sup>
	3.150	8.60	12/03/20	35.72	27.12 W	0.00	2173 of 2632 - Sale <sup>25</sup>
	3.440	9.39	12/03/20	38.52	29.13 W	0.00	2174 of 2632 - Sale <sup>25</sup>
	3.560	9.72	12/03/20	37.34	27.62 W	0.00	2175 of 2632 - Sale <sup>25</sup>
	3.675	10.03	12/03/20	40.18	30.15 W	0.00	2176 of 2632 - Sale <sup>25</sup>
	3.780	10.32	12/03/20	38.15	27.83 W	0.00	2177 of 2632 - Sale <sup>25</sup>
	3.870	10.56	12/03/20	42.46	31.90 W	0.00	2178 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	38.96	28.04 W	0.00	2179 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	40.37	29.45 W	0.00	2180 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	41.85	30.93 W	0.00	2181 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	41.79	30.87 W	0.00	2182 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	41.97	31.05 W	0.00	2183 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	44.86	33.94 W	0.00	2184 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	44.81	33.89 W	0.00	2185 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	44.29	33.37 W	0.00	2186 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	44.31	33.39 W	0.00	2187 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	44.63	33.71 W	0.00	2188 of 2632 - Sale <sup>25</sup>
	4.220	11.52	12/03/20	42.64	31.12 W	0.00	2189 of 2632 - Sale <sup>25</sup>
	4.310	11.77	12/03/20	45.61	33.84 W	0.00	2190 of 2632 - Sale <sup>25</sup>
	4.310	11.77	12/03/20	45.25	33.48 W	0.00	2191 of 2632 - Sale <sup>25</sup>
	4.310	11.77	12/03/20	47.53	35.76 W	0.00	2192 of 2632 - Sale <sup>25</sup>
	4.310	11.77	12/03/20	47.44	35.67 W	0.00	2193 of 2632 - Sale <sup>25</sup>
	4.370	11.93	12/03/20	35.53	23.60 W	0.00	2194 of 2632 - Sale <sup>25</sup>
	4.420	12.07	12/03/20	48.65	36.58 W	0.00	2195 of 2632 - Sale <sup>25</sup>
	4.920	13.43	12/03/20	47.41	33.98 W	0.00	2196 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	49.53	35.88 W	0.00	2197 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	52.33	38.68 W	0.00	2198 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	52.87	39.22 W	0.00	2199 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	52.90	39.25 W	0.00	2200 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	56.58	42.93 W	0.00	2201 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	56.09	42.44 W	0.00	2202 of 2632 - Sale <sup>25</sup>
	5.220	14.25	12/03/20	50.32	36.07 W	0.00	2203 of 2632 - Sale <sup>25</sup>
	5.610	15.31	12/03/20	54.05	38.74 W	0.00	2204 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.780	15.78	12/03/20	55.58	39.80 W	0.00	2205 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	57.72	41.34 W	0.00	2206 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	64.49	48.11 W	0.00	2207 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	67.31	50.93 W	0.00	2208 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	67.61	51.23 W	0.00	2209 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	66.02	49.64 W	0.00	2210 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	57.44	38.33 W	0.00	2211 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	78.43	59.32 W	0.00	2212 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	78.66	59.55 W	0.00	2213 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	78.20	59.09 W	0.00	2214 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	77.47	58.36 W	0.00	2215 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	76.90	57.79 W	0.00	2216 of 2632 - Sale <sup>25</sup>
	7.920	21.62	12/03/20	62.37	40.75 W	0.00	2217 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	62.91	41.07 W	0.00	2218 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	89.45	67.61 W	0.00	2219 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	87.53	65.69 W	0.00	2220 of 2632 - Sale <sup>25</sup>
	9.000	24.57	12/03/20	100.94	76.37 W	0.00	2221 of 2632 - Sale <sup>25</sup>
	9.000	24.57	12/03/20	100.27	75.70 W	0.00	2222 of 2632 - Sale <sup>25</sup>
	10.000	27.30	12/03/20	104.77	77.47 W	0.00	2223 of 2632 - Sale <sup>25</sup>
	11.000	30.03	12/03/20	121.23	91.20 W	0.00	2224 of 2632 - Sale <sup>25</sup>
	11.800	32.21	12/03/20	129.32	97.11 W	0.00	2225 of 2632 - Sale <sup>25</sup>
	0.140	0.38	12/03/20	1.49	1.11 W	0.00	2226 of 2632 - Sale <sup>25</sup>
	0.140	0.38	12/03/20	1.44	1.06 W	0.00	2227 of 2632 - Sale <sup>25</sup>
	0.205	0.56	12/03/20	2.09	1.53 W	0.00	2228 of 2632 - Sale <sup>25</sup>
	0.210	0.57	12/03/20	2.25	1.68 W	0.00	2229 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.30	1.70 W	0.00	2230 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.41	1.81 W	0.00	2231 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.28	1.68 W	0.00	2232 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.34	1.74 W	0.00	2233 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.37	1.77 W	0.00	2234 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.28	1.68 W	0.00	2235 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.25	1.65 W	0.00	2236 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	3.74	2.76 W	0.00	2237 of 2632 - Sale <sup>25</sup>
	0.440	1.20	12/03/20	4.51	3.31 W	0.00	2238 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.570	1.56	12/03/20	6.13	4.57 W	0.00	2239 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	6.64	4.89 W	0.00	2240 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.97	5.84 W	0.00	2241 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	8.31	6.18 W	0.00	2242 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.73	5.60 W	0.00	2243 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.94	5.81 W	0.00	2244 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.97	5.84 W	0.00	2245 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	8.29	6.16 W	0.00	2246 of 2632 - Sale <sup>25</sup>
	0.790	2.16	12/03/20	8.44	6.28 W	0.00	2247 of 2632 - Sale <sup>25</sup>
	0.795	2.17	12/03/20	8.14	5.97 W	0.00	2248 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	8.81	6.46 W	0.00	2249 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	8.79	6.44 W	0.00	2250 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	10.30	7.60 W	0.00	2251 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.19	7.46 W	0.00	2252 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.18	7.45 W	0.00	2253 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.51	7.78 W	0.00	2254 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.80	8.07 W	0.00	2255 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.67	7.94 W	0.00	2256 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.75	8.02 W	0.00	2257 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.71	7.98 W	0.00	2258 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.71	7.98 W	0.00	2259 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.19	7.46 W	0.00	2260 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.24	7.51 W	0.00	2261 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.22	7.49 W	0.00	2262 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.22	7.49 W	0.00	2263 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.12	7.39 W	0.00	2264 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.60	7.87 W	0.00	2265 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.43	7.70 W	0.00	2266 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.38	7.65 W	0.00	2267 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.41	7.68 W	0.00	2268 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.40	7.67 W	0.00	2269 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.41	7.68 W	0.00	2270 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.36	7.63 W	0.00	2271 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.36	7.63 W	0.00	2272 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	10.24	7.51 W	0.00	2273 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.26	7.53 W	0.00	2274 of 2632 - Sale <sup>25</sup>
	1.010	2.76	12/03/20	10.36	7.60 W	0.00	2275 of 2632 - Sale <sup>25</sup>
	1.210	3.30	12/03/20	12.72	9.42 W	0.00	2276 of 2632 - Sale <sup>25</sup>
	1.210	3.30	12/03/20	12.38	9.08 W	0.00	2277 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	13.02	9.69 W	0.00	2278 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	13.13	9.80 W	0.00	2279 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	12.10	8.77 W	0.00	2280 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	12.55	9.22 W	0.00	2281 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	12.53	9.20 W	0.00	2282 of 2632 - Sale <sup>25</sup>
	1.360	3.71	12/03/20	14.11	10.40 W	0.00	2283 of 2632 - Sale <sup>25</sup>
	1.420	3.88	12/03/20	14.79	10.91 W	0.00	2284 of 2632 - Sale <sup>25</sup>
	1.770	4.83	12/03/20	18.12	13.29 W	0.00	2285 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	19.04	14.18 W	0.00	2286 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	18.47	13.61 W	0.00	2287 of 2632 - Sale <sup>25</sup>
	1.860	5.08	12/03/20	19.65	14.57 W	0.00	2288 of 2632 - Sale <sup>25</sup>
	1.920	5.24	12/03/20	19.69	14.45 W	0.00	2289 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.40	14.94 W	0.00	2290 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.97	15.51 W	0.00	2291 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.29	15.83 W	0.00	2292 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.64	16.18 W	0.00	2293 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.63	16.17 W	0.00	2294 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.65	16.19 W	0.00	2295 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.31	15.85 W	0.00	2296 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.38	15.92 W	0.00	2297 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	21.35	15.89 W	0.00	2298 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.80	14.34 W	0.00	2299 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.50	15.04 W	0.00	2300 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.45	14.99 W	0.00	2301 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.29	14.83 W	0.00	2302 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.73	15.27 W	0.00	2303 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.73	15.27 W	0.00	2304 of 2632 - Sale <sup>25</sup>
	2.210	6.03	12/03/20	22.62	16.59 W	0.00	2305 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	23.73	17.67 W	0.00	2306 of 2632 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.220	6.06	12/03/20	22.12	16.06 W	0.00	2307 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	23.53	17.47 W	0.00	2308 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	23.11	17.05 W	0.00	2309 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	30.07	22.48 W	0.00	2310 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	28.47	20.88 W	0.00	2311 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	28.86	21.27 W	0.00	2312 of 2632 - Sale <sup>25</sup>
	2.790	7.62	12/03/20	29.27	21.65 W	0.00	2313 of 2632 - Sale <sup>25</sup>
	2.790	7.62	12/03/20	29.82	22.20 W	0.00	2314 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	32.01	23.82 W	0.00	2315 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	32.21	24.02 W	0.00	2316 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	29.71	21.52 W	0.00	2317 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	29.71	21.52 W	0.00	2318 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	31.83	23.64 W	0.00	2319 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	31.24	23.05 W	0.00	2320 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	30.79	22.60 W	0.00	2321 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	30.77	22.58 W	0.00	2322 of 2632 - Sale <sup>25</sup>
	3.060	8.35	12/03/20	32.63	24.28 W	0.00	2323 of 2632 - Sale <sup>25</sup>
	3.140	8.57	12/03/20	32.18	23.61 W	0.00	2324 of 2632 - Sale <sup>25</sup>
	3.140	8.57	12/03/20	32.13	23.56 W	0.00	2325 of 2632 - Sale <sup>25</sup>
	3.210	8.76	12/03/20	34.12	25.36 W	0.00	2326 of 2632 - Sale <sup>25</sup>
	3.780	10.32	12/03/20	38.76	28.44 W	0.00	2327 of 2632 - Sale <sup>25</sup>
	3.780	10.32	12/03/20	38.80	28.48 W	0.00	2328 of 2632 - Sale <sup>25</sup>
	3.940	10.76	12/03/20	42.03	31.27 W	0.00	2329 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	42.61	31.69 W	0.00	2330 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	41.53	30.61 W	0.00	2331 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	41.65	30.73 W	0.00	2332 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	41.68	30.76 W	0.00	2333 of 2632 - Sale <sup>25</sup>
	4.210	11.49	12/03/20	45.04	33.55 W	0.00	2334 of 2632 - Sale <sup>25</sup>
	4.640	12.67	12/03/20	48.00	35.33 W	0.00	2335 of 2632 - Sale <sup>25</sup>
	4.780	13.05	12/03/20	50.76	37.71 W	0.00	2336 of 2632 - Sale <sup>25</sup>
	4.790	13.08	12/03/20	50.92	37.84 W	0.00	2337 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	51.31	37.66 W	0.00	2338 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	54.06	40.41 W	0.00	2339 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	49.92	36.27 W	0.00	2340 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.210	14.22	12/03/20	55.51	41.29 W	0.00	2341 of 2632 - Sale <sup>25</sup>
	5.220	14.25	12/03/20	56.51	42.26 W	0.00	2342 of 2632 - Sale <sup>25</sup>
	5.780	15.78	12/03/20	61.48	45.70 W	0.00	2343 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	61.52	45.14 W	0.00	2344 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	64.09	47.71 W	0.00	2345 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	63.06	46.68 W	0.00	2346 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	62.43	46.05 W	0.00	2347 of 2632 - Sale <sup>25</sup>
	6.220	16.98	12/03/20	63.75	46.77 W	0.00	2348 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	74.33	55.22 W	0.00	2349 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	71.81	52.70 W	0.00	2350 of 2632 - Sale <sup>25</sup>
	7.300	19.93	12/03/20	74.87	54.94 W	0.00	2351 of 2632 - Sale <sup>25</sup>
	8.780	23.97	12/03/20	90.02	66.05 W	0.00	2352 of 2632 - Sale <sup>25</sup>
	9.000	24.57	12/03/20	93.66	69.09 W	0.00	2353 of 2632 - Sale <sup>25</sup>
	9.000	24.57	12/03/20	94.66	70.09 W	0.00	2354 of 2632 - Sale <sup>25</sup>
	9.010	24.60	12/03/20	93.69	69.09 W	0.00	2355 of 2632 - Sale <sup>25</sup>
	10.220	27.90	12/03/20	109.77	81.87 W	0.00	2356 of 2632 - Sale <sup>25</sup>
	16.000	43.68	12/03/20	172.04	128.36 W	0.00	2357 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.32	1.72 W	0.00	2358 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.19	1.59 W	0.00	2359 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.14	1.54 W	0.00	2360 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	3.54	2.56 W	0.00	2361 of 2632 - Sale <sup>25</sup>
	0.420	1.15	12/03/20	4.19	3.04 W	0.00	2362 of 2632 - Sale <sup>25</sup>
	0.440	1.20	12/03/20	4.21	3.01 W	0.00	2363 of 2632 - Sale <sup>25</sup>
	0.440	1.20	12/03/20	4.32	3.12 W	0.00	2364 of 2632 - Sale <sup>25</sup>
	0.560	1.53	12/03/20	5.44	3.91 W	0.00	2365 of 2632 - Sale <sup>25</sup>
	0.650	1.77	12/03/20	6.20	4.43 W	0.00	2366 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	8.12	5.99 W	0.00	2367 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.86	5.73 W	0.00	2368 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.44	5.31 W	0.00	2369 of 2632 - Sale <sup>25</sup>
	0.870	2.37	12/03/20	9.08	6.71 W	0.00	2370 of 2632 - Sale <sup>25</sup>
	0.980	2.68	12/03/20	9.34	6.66 W	0.00	2371 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.16	7.43 W	0.00	2372 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.49	7.76 W	0.00	2373 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.48	7.75 W	0.00	2374 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	10.55	7.82 W	0.00	2375 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.46	7.73 W	0.00	2376 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.56	6.83 W	0.00	2377 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.73	7.00 W	0.00	2378 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.72	6.99 W	0.00	2379 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.71	6.98 W	0.00	2380 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.54	6.81 W	0.00	2381 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.57	6.84 W	0.00	2382 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.56	6.83 W	0.00	2383 of 2632 - Sale <sup>25</sup>
	1.020	2.78	12/03/20	9.69	6.91 W	0.00	2384 of 2632 - Sale <sup>25</sup>
	1.130	3.08	12/03/20	11.79	8.71 W	0.00	2385 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	12.80	9.47 W	0.00	2386 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	11.82	8.49 W	0.00	2387 of 2632 - Sale <sup>25</sup>
	1.560	4.26	12/03/20	14.86	10.60 W	0.00	2388 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	17.96	13.10 W	0.00	2389 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	17.88	13.02 W	0.00	2390 of 2632 - Sale <sup>25</sup>
	1.780	4.86	12/03/20	17.28	12.42 W	0.00	2391 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.96	15.50 W	0.00	2392 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.89	15.43 W	0.00	2393 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.18	14.72 W	0.00	2394 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.18	14.72 W	0.00	2395 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.91	14.45 W	0.00	2396 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	18.97	13.51 W	0.00	2397 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.45	13.99 W	0.00	2398 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	21.29	15.23 W	0.00	2399 of 2632 - Sale <sup>25</sup>
	2.690	7.34	12/03/20	27.19	19.85 W	0.00	2400 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	29.05	21.46 W	0.00	2401 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	27.93	20.34 W	0.00	2402 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	31.36	23.17 W	0.00	2403 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	29.18	20.99 W	0.00	2404 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	29.16	20.97 W	0.00	2405 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	28.94	20.75 W	0.00	2406 of 2632 - Sale <sup>25</sup>
	3.440	9.39	12/03/20	34.60	25.21 W	0.00	2407 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	38.80	27.88 W	0.00	2408 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.350	11.87	12/03/20	41.44	29.57 W	0.00	2409 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	52.41	38.76 W	0.00	2410 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	52.26	38.61 W	0.00	2411 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	50.37	36.72 W	0.00	2412 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	47.63	33.98 W	0.00	2413 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	48.23	34.58 W	0.00	2414 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	47.67	34.02 W	0.00	2415 of 2632 - Sale <sup>25</sup>
	5.530	15.10	12/03/20	55.72	40.62 W	0.00	2416 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	62.91	46.53 W	0.00	2417 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	60.61	44.23 W	0.00	2418 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	58.16	41.78 W	0.00	2419 of 2632 - Sale <sup>25</sup>
	6.220	16.98	12/03/20	65.47	48.49 W	0.00	2420 of 2632 - Sale <sup>25</sup>
	9.000	24.57	12/03/20	94.19	69.62 W	0.00	2421 of 2632 - Sale <sup>25</sup>
	0.090	0.25	12/03/20	0.68	0.43 W	0.00	2422 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	5.81	3.68 W	0.00	2423 of 2632 - Sale <sup>25</sup>
	0.990	2.70	12/03/20	7.59	4.89 W	0.00	2424 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.90	5.17 W	0.00	2425 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.90	5.17 W	0.00	2426 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.57	4.84 W	0.00	2427 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.55	4.82 W	0.00	2428 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.55	4.82 W	0.00	2429 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.56	4.83 W	0.00	2430 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.54	4.81 W	0.00	2431 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	7.46	4.73 W	0.00	2432 of 2632 - Sale <sup>25</sup>
	1.580	4.31	12/03/20	12.41	8.10 W	0.00	2433 of 2632 - Sale <sup>25</sup>
	1.580	4.31	12/03/20	11.80	7.49 W	0.00	2434 of 2632 - Sale <sup>25</sup>
	1.790	4.89	12/03/20	13.72	8.83 W	0.00	2435 of 2632 - Sale <sup>25</sup>
	2.205	6.02	12/03/20	16.44	10.42 W	0.00	2436 of 2632 - Sale <sup>25</sup>
	3.220	8.79	12/03/20	23.88	15.09 W	0.00	2437 of 2632 - Sale <sup>25</sup>
	3.990	10.89	12/03/20	29.55	18.66 W	0.00	2438 of 2632 - Sale <sup>25</sup>
	4.090	11.16	12/03/20	31.31	20.15 W	0.00	2439 of 2632 - Sale <sup>25</sup>
	5.010	13.68	12/03/20	38.36	24.68 W	0.00	2440 of 2632 - Sale <sup>25</sup>
	6.910	18.86	12/03/20	52.95	34.09 W	0.00	2441 of 2632 - Sale <sup>25</sup>
	9.910	27.05	12/03/20	75.95	48.90 W	0.00	2442 of 2632 - Sale <sup>25</sup>

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(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	13.000	35.49	12/03/20	99.63	64.14 W	0.00	2443 of 2632 - Sale <sup>25</sup>
	23.310	63.63	12/03/20	178.60	114.97 W	0.00	2444 of 2632 - Sale <sup>25</sup>
	0.090	0.25	12/03/20	0.79	0.54 W	0.00	2445 of 2632 - Sale <sup>25</sup>
	0.100	0.27	12/03/20	0.91	0.64 W	0.00	2446 of 2632 - Sale <sup>25</sup>
	0.900	2.46	12/03/20	8.98	6.52 W	0.00	2447 of 2632 - Sale <sup>25</sup>
	0.910	2.48	12/03/20	7.97	5.49 W	0.00	2448 of 2632 - Sale <sup>25</sup>
	0.910	2.48	12/03/20	8.02	5.54 W	0.00	2449 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	10.02	7.29 W	0.00	2450 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.95	7.22 W	0.00	2451 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.83	6.10 W	0.00	2452 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.76	6.03 W	0.00	2453 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.79	6.06 W	0.00	2454 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.80	6.07 W	0.00	2455 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.80	6.07 W	0.00	2456 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.80	6.07 W	0.00	2457 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	12.15	8.82 W	0.00	2458 of 2632 - Sale <sup>25</sup>
	1.900	5.19	12/03/20	18.84	13.65 W	0.00	2459 of 2632 - Sale <sup>25</sup>
	1.930	5.27	12/03/20	17.09	11.82 W	0.00	2460 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.98	14.52 W	0.00	2461 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.01	14.55 W	0.00	2462 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	20.01	14.55 W	0.00	2463 of 2632 - Sale <sup>25</sup>
	2.100	5.73	12/03/20	20.90	15.17 W	0.00	2464 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	29.70	21.51 W	0.00	2465 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	29.87	21.68 W	0.00	2466 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	26.44	18.25 W	0.00	2467 of 2632 - Sale <sup>25</sup>
	3.090	8.43	12/03/20	27.23	18.80 W	0.00	2468 of 2632 - Sale <sup>25</sup>
	3.100	8.46	12/03/20	30.68	22.22 W	0.00	2469 of 2632 - Sale <sup>25</sup>
	3.900	10.65	12/03/20	36.32	25.67 W	0.00	2470 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	40.06	29.14 W	0.00	2471 of 2632 - Sale <sup>25</sup>
	4.780	13.05	12/03/20	42.13	29.08 W	0.00	2472 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	50.02	36.37 W	0.00	2473 of 2632 - Sale <sup>25</sup>
	6.090	16.62	12/03/20	53.85	37.23 W	0.00	2474 of 2632 - Sale <sup>25</sup>
	9.550	26.07	12/03/20	84.42	58.35 W	0.00	2475 of 2632 - Sale <sup>25</sup>
	0.140	0.38	12/03/20	1.31	0.93 W	0.00	2476 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.160	0.44	12/03/20	1.51	1.07 W	0.00	2477 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.10	1.50 W	0.00	2478 of 2632 - Sale <sup>25</sup>
	0.360	0.98	12/03/20	3.44	2.46 W	0.00	2479 of 2632 - Sale <sup>25</sup>
	0.640	1.75	12/03/20	6.06	4.31 W	0.00	2480 of 2632 - Sale <sup>25</sup>
	0.805	2.20	12/03/20	7.58	5.38 W	0.00	2481 of 2632 - Sale <sup>25</sup>
	0.860	2.35	12/03/20	8.21	5.86 W	0.00	2482 of 2632 - Sale <sup>25</sup>
	0.910	2.48	12/03/20	8.84	6.36 W	0.00	2483 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.48	6.75 W	0.00	2484 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.57	6.84 W	0.00	2485 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.56	6.83 W	0.00	2486 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	11.44	8.11 W	0.00	2487 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	11.82	8.49 W	0.00	2488 of 2632 - Sale <sup>25</sup>
	1.360	3.71	12/03/20	13.08	9.37 W	0.00	2489 of 2632 - Sale <sup>25</sup>
	1.440	3.93	12/03/20	13.99	10.06 W	0.00	2490 of 2632 - Sale <sup>25</sup>
	1.640	4.48	12/03/20	15.67	11.19 W	0.00	2491 of 2632 - Sale <sup>25</sup>
	1.730	4.72	12/03/20	16.28	11.56 W	0.00	2492 of 2632 - Sale <sup>25</sup>
	1.920	5.24	12/03/20	18.07	12.83 W	0.00	2493 of 2632 - Sale <sup>25</sup>
	2.020	5.51	12/03/20	19.59	14.08 W	0.00	2494 of 2632 - Sale <sup>25</sup>
	2.060	5.62	12/03/20	19.26	13.64 W	0.00	2495 of 2632 - Sale <sup>25</sup>
	2.140	5.84	12/03/20	20.19	14.35 W	0.00	2496 of 2632 - Sale <sup>25</sup>
	2.325	6.35	12/03/20	22.08	15.73 W	0.00	2497 of 2632 - Sale <sup>25</sup>
	2.465	6.73	12/03/20	23.20	16.47 W	0.00	2498 of 2632 - Sale <sup>25</sup>
	2.540	6.93	12/03/20	23.76	16.83 W	0.00	2499 of 2632 - Sale <sup>25</sup>
	2.580	7.04	12/03/20	24.71	17.67 W	0.00	2500 of 2632 - Sale <sup>25</sup>
	2.640	7.21	12/03/20	24.95	17.74 W	0.00	2501 of 2632 - Sale <sup>25</sup>
	2.740	7.48	12/03/20	25.96	18.48 W	0.00	2502 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	26.29	18.70 W	0.00	2503 of 2632 - Sale <sup>25</sup>
	2.840	7.75	12/03/20	26.69	18.94 W	0.00	2504 of 2632 - Sale <sup>25</sup>
	2.860	7.81	12/03/20	27.38	19.57 W	0.00	2505 of 2632 - Sale <sup>25</sup>
	2.860	7.81	12/03/20	26.94	19.13 W	0.00	2506 of 2632 - Sale <sup>25</sup>
	2.895	7.90	12/03/20	27.50	19.60 W	0.00	2507 of 2632 - Sale <sup>25</sup>
	3.130	8.54	12/03/20	29.89	21.35 W	0.00	2508 of 2632 - Sale <sup>25</sup>
	3.150	8.60	12/03/20	30.35	21.75 W	0.00	2509 of 2632 - Sale <sup>25</sup>
	3.160	8.63	12/03/20	29.77	21.14 W	0.00	2510 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.220	8.79	12/03/20	31.39	22.60 W	0.00	2511 of 2632 - Sale <sup>25</sup>
	3.870	10.56	12/03/20	36.42	25.86 W	0.00	2512 of 2632 - Sale <sup>25</sup>
	3.910	10.67	12/03/20	37.41	26.74 W	0.00	2513 of 2632 - Sale <sup>25</sup>
	4.000	10.92	12/03/20	38.18	27.26 W	0.00	2514 of 2632 - Sale <sup>25</sup>
	4.090	11.16	12/03/20	39.40	28.24 W	0.00	2515 of 2632 - Sale <sup>25</sup>
	4.140	11.30	12/03/20	39.52	28.22 W	0.00	2516 of 2632 - Sale <sup>25</sup>
	4.200	11.46	12/03/20	39.47	28.01 W	0.00	2517 of 2632 - Sale <sup>25</sup>
	4.260	11.63	12/03/20	40.01	28.38 W	0.00	2518 of 2632 - Sale <sup>25</sup>
	4.560	12.45	12/03/20	42.64	30.19 W	0.00	2519 of 2632 - Sale <sup>25</sup>
	4.780	13.05	12/03/20	45.63	32.58 W	0.00	2520 of 2632 - Sale <sup>25</sup>
	4.780	13.05	12/03/20	44.93	31.88 W	0.00	2521 of 2632 - Sale <sup>25</sup>
	4.920	13.43	12/03/20	47.78	34.35 W	0.00	2522 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	47.74	34.09 W	0.00	2523 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	47.37	33.72 W	0.00	2524 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	47.38	33.73 W	0.00	2525 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	47.76	34.11 W	0.00	2526 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	48.21	34.56 W	0.00	2527 of 2632 - Sale <sup>25</sup>
	5.140	14.03	12/03/20	49.08	35.05 W	0.00	2528 of 2632 - Sale <sup>25</sup>
	5.220	14.25	12/03/20	48.80	34.55 W	0.00	2529 of 2632 - Sale <sup>25</sup>
	5.220	14.25	12/03/20	49.88	35.63 W	0.00	2530 of 2632 - Sale <sup>25</sup>
	5.530	15.10	12/03/20	52.28	37.18 W	0.00	2531 of 2632 - Sale <sup>25</sup>
	5.620	15.34	12/03/20	53.28	37.94 W	0.00	2532 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	56.43	40.05 W	0.00	2533 of 2632 - Sale <sup>25</sup>
	6.000	16.38	12/03/20	58.49	42.11 W	0.00	2534 of 2632 - Sale <sup>25</sup>
	6.360	17.36	12/03/20	59.46	42.10 W	0.00	2535 of 2632 - Sale <sup>25</sup>
	6.360	17.36	12/03/20	60.84	43.48 W	0.00	2536 of 2632 - Sale <sup>25</sup>
	6.640	18.13	12/03/20	62.46	44.33 W	0.00	2537 of 2632 - Sale <sup>25</sup>
	6.640	18.13	12/03/20	63.40	45.27 W	0.00	2538 of 2632 - Sale <sup>25</sup>
	6.640	18.13	12/03/20	63.92	45.79 W	0.00	2539 of 2632 - Sale <sup>25</sup>
	6.780	18.51	12/03/20	64.97	46.46 W	0.00	2540 of 2632 - Sale <sup>25</sup>
	6.820	18.62	12/03/20	64.47	45.85 W	0.00	2541 of 2632 - Sale <sup>25</sup>
	6.840	18.67	12/03/20	64.46	45.79 W	0.00	2542 of 2632 - Sale <sup>25</sup>
	7.720	21.07	12/03/20	72.55	51.48 W	0.00	2543 of 2632 - Sale <sup>25</sup>
	7.730	21.10	12/03/20	73.95	52.85 W	0.00	2544 of 2632 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	7.840	21.40	12/03/20	73.92	52.52 W	0.00	2545 of 2632 - Sale <sup>25</sup>
	8.080	22.06	12/03/20	75.87	53.81 W	0.00	2546 of 2632 - Sale <sup>25</sup>
	8.780	23.97	12/03/20	84.58	60.61 W	0.00	2547 of 2632 - Sale <sup>25</sup>
	8.870	24.21	12/03/20	84.70	60.49 W	0.00	2548 of 2632 - Sale <sup>25</sup>
	9.090	24.81	12/03/20	85.28	60.47 W	0.00	2549 of 2632 - Sale <sup>25</sup>
	9.260	25.28	12/03/20	86.60	61.32 W	0.00	2550 of 2632 - Sale <sup>25</sup>
	9.740	26.59	12/03/20	91.45	64.86 W	0.00	2551 of 2632 - Sale <sup>25</sup>
	9.910	27.05	12/03/20	94.13	67.08 W	0.00	2552 of 2632 - Sale <sup>25</sup>
	10.000	27.30	12/03/20	95.55	68.25 W	0.00	2553 of 2632 - Sale <sup>25</sup>
	12.870	35.13	12/03/20	125.48	90.35 W	0.00	2554 of 2632 - Sale <sup>25</sup>
	12.870	35.13	12/03/20	123.29	88.16 W	0.00	2555 of 2632 - Sale <sup>25</sup>
	16.000	43.68	12/03/20	150.26	106.58 W	0.00	2556 of 2632 - Sale <sup>25</sup>
	19.540	53.34	12/03/20	189.55	136.21 W	0.00	2557 of 2632 - Sale <sup>25</sup>
	24.070	65.70	12/03/20	231.85	166.15 W	0.00	2558 of 2632 - Sale <sup>25</sup>
	24.160	65.95	12/03/20	227.09	161.14 W	0.00	2559 of 2632 - Sale <sup>25</sup>
	26.770	73.08	12/03/20	257.81	184.73 W	0.00	2560 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	15.51	10.05 W	0.00	2561 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	15.45	9.99 W	0.00	2562 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	21.49	13.90 W	0.00	2563 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	23.20	15.01 W	0.00	2564 of 2632 - Sale <sup>25</sup>
	3.100	8.46	12/03/20	23.96	15.50 W	0.00	2565 of 2632 - Sale <sup>25</sup>
	4.090	11.16	12/03/20	31.65	20.49 W	0.00	2566 of 2632 - Sale <sup>25</sup>
	4.620	12.61	12/03/20	35.85	23.24 W	0.00	2567 of 2632 - Sale <sup>25</sup>
	4.780	13.05	12/03/20	36.98	23.93 W	0.00	2568 of 2632 - Sale <sup>25</sup>
	5.220	14.25	12/03/20	40.46	26.21 W	0.00	2569 of 2632 - Sale <sup>25</sup>
	5.360	14.63	12/03/20	41.60	26.97 W	0.00	2570 of 2632 - Sale <sup>25</sup>
	5.690	15.53	12/03/20	44.06	28.53 W	0.00	2571 of 2632 - Sale <sup>25</sup>
	6.900	18.84	12/03/20	53.37	34.53 W	0.00	2572 of 2632 - Sale <sup>25</sup>
	7.000	19.11	12/03/20	54.27	35.16 W	0.00	2573 of 2632 - Sale <sup>25</sup>
	7.690	20.99	12/03/20	59.65	38.66 W	0.00	2574 of 2632 - Sale <sup>25</sup>
	8.220	22.44	12/03/20	63.59	41.15 W	0.00	2575 of 2632 - Sale <sup>25</sup>
	12.910	35.24	12/03/20	100.16	64.92 W	0.00	2576 of 2632 - Sale <sup>25</sup>
	21.000	57.32	12/03/20	162.63	105.31 W	0.00	2577 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.27	5.14 W	0.00	2578 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.73	12/03/20	9.32	6.59 W	0.00	2579 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.28	6.55 W	0.00	2580 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	18.58	13.12 W	0.00	2581 of 2632 - Sale <sup>25</sup>
	4.220	11.52	12/03/20	39.85	28.33 W	0.00	2582 of 2632 - Sale <sup>25</sup>
	0.090	0.25	12/03/20	0.83	0.58 W	0.00	2583 of 2632 - Sale <sup>25</sup>
	0.130	0.35	12/03/20	1.21	0.86 W	0.00	2584 of 2632 - Sale <sup>25</sup>
	0.220	0.60	12/03/20	2.03	1.43 W	0.00	2585 of 2632 - Sale <sup>25</sup>
	0.590	1.61	12/03/20	5.29	3.68 W	0.00	2586 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	7.49	5.36 W	0.00	2587 of 2632 - Sale <sup>25</sup>
	0.780	2.13	12/03/20	6.79	4.66 W	0.00	2588 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.37	6.64 W	0.00	2589 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.15	6.42 W	0.00	2590 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	9.00	6.27 W	0.00	2591 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.99	6.26 W	0.00	2592 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.84	6.11 W	0.00	2593 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.71	5.98 W	0.00	2594 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.72	5.99 W	0.00	2595 of 2632 - Sale <sup>25</sup>
	1.000	2.73	12/03/20	8.72	5.99 W	0.00	2596 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	10.66	7.33 W	0.00	2597 of 2632 - Sale <sup>25</sup>
	1.220	3.33	12/03/20	10.64	7.31 W	0.00	2598 of 2632 - Sale <sup>25</sup>
	1.410	3.85	12/03/20	12.67	8.82 W	0.00	2599 of 2632 - Sale <sup>25</sup>
	1.690	4.61	12/03/20	14.52	9.91 W	0.00	2600 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	19.10	13.64 W	0.00	2601 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	18.15	12.69 W	0.00	2602 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	17.95	12.49 W	0.00	2603 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	17.36	11.90 W	0.00	2604 of 2632 - Sale <sup>25</sup>
	2.000	5.46	12/03/20	17.43	11.97 W	0.00	2605 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	20.89	14.83 W	0.00	2606 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	19.24	13.18 W	0.00	2607 of 2632 - Sale <sup>25</sup>
	2.220	6.06	12/03/20	19.08	13.02 W	0.00	2608 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	26.26	18.67 W	0.00	2609 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	25.45	17.86 W	0.00	2610 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	24.10	16.51 W	0.00	2611 of 2632 - Sale <sup>25</sup>
	2.780	7.59	12/03/20	24.04	16.45 W	0.00	2612 of 2632 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	3.000	8.19	12/03/20	27.38	19.19 W	0.00	2613 of 2632 - Sale <sup>25</sup>
	3.000	8.19	12/03/20	27.17	18.98 W	0.00	2614 of 2632 - Sale <sup>25</sup>
	3.780	10.32	12/03/20	35.54	25.22 W	0.00	2615 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	45.73	32.08 W	0.00	2616 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	43.42	29.77 W	0.00	2617 of 2632 - Sale <sup>25</sup>
	8.000	21.84	12/03/20	73.25	51.41 W	0.00	2618 of 2632 - Sale <sup>25</sup>
	37.225	101.61	12/03/20	261.69	160.08 W	0.00	2619 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.73	14.08 W	0.00	2620 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.73	14.08 W	0.00	2621 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.74	14.09 W	0.00	2622 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.74	14.09 W	0.00	2623 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.73	14.08 W	0.00	2624 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.74	14.09 W	0.00	2625 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.73	14.08 W	0.00	2626 of 2632 - Sale <sup>25</sup>
	5.000	13.65	12/03/20	27.73	14.08 W	0.00	2627 of 2632 - Sale <sup>25</sup>
	100.000	272.97	12/03/20	566.54	293.57 W	0.00	2628 of 2632 - Sale <sup>25</sup>
	100.000	272.97	12/03/20	554.63	281.66 W	0.00	2629 of 2632 - Sale <sup>25</sup>
	110.000	300.27	12/03/20	623.09	322.82 W	0.00	2630 of 2632 - Sale <sup>25</sup>
	100.000	272.97	12/03/20	540.97	268.00 W	0.00	2631 of 2632 - Sale <sup>25</sup>
	100.000	272.97	12/03/20	540.97	268.00 W	0.00	2632 of 2632 - Sale <sup>25</sup>
12/03/20	9,266.000	24,934.14	Various	83,450.01	58,445.67 W	-70.20	Total of 2632 transactions
	962 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	110.000	295.87	12/16/20	615.35	...	-319.48	1 of 962 - Sale <sup>25</sup>
	100.000	268.97	12/16/20	547.61	...	-278.64	2 of 962 - Sale <sup>25</sup>
	87.000	234.00	12/16/20	486.78	...	-252.78	3 of 962 - Sale <sup>25</sup>
	26.770	72.00	12/16/20	255.92	...	-183.92	4 of 962 - Sale <sup>25</sup>
	68.000	182.90	12/16/20	363.09	...	-180.19	5 of 962 - Sale <sup>25</sup>
	24.070	64.74	12/16/20	230.16	...	-165.42	6 of 962 - Sale <sup>25</sup>
	24.160	64.98	12/16/20	225.39	...	-160.41	7 of 962 - Sale <sup>25</sup>
	37.225	100.12	12/16/20	259.07	...	-158.95	8 of 962 - Sale <sup>25</sup>
	18.880	50.78	12/16/20	203.56	...	-152.78	9 of 962 - Sale <sup>25</sup>
	19.540	52.56	12/16/20	188.17	...	-135.61	10 of 962 - Sale <sup>25</sup>
	16.000	43.04	12/16/20	170.91	...	-127.87	11 of 962 - Sale <sup>25</sup>
	11.125	29.92	12/16/20	153.19	...	-123.27	12 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	10.000	26.90	12/16/20	141.34	...	-114.44	13 of 962 - Sale <sup>25</sup>
	23.310	62.70	12/16/20	176.96	...	-114.26	14 of 962 - Sale <sup>25</sup>
	10.000	26.90	12/16/20	138.10	...	-111.20	15 of 962 - Sale <sup>25</sup>
	16.000	43.04	12/16/20	149.13	...	-106.09	16 of 962 - Sale <sup>25</sup>
	21.000	56.48	12/16/20	161.16	...	-104.68	17 of 962 - Sale <sup>25</sup>
	11.310	30.42	12/16/20	129.85	...	-99.43	18 of 962 - Sale <sup>25</sup>
	11.800	31.74	12/16/20	128.49	...	-96.75	19 of 962 - Sale <sup>25</sup>
	11.970	32.20	12/16/20	128.92	...	-96.72	20 of 962 - Sale <sup>25</sup>
	10.910	29.34	12/16/20	125.28	...	-95.94	21 of 962 - Sale <sup>25</sup>
	11.580	31.15	12/16/20	125.13	...	-93.98	22 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	113.75	...	-92.23	23 of 962 - Sale <sup>25</sup>
	11.000	29.59	12/16/20	120.45	...	-90.86	24 of 962 - Sale <sup>25</sup>
	12.870	34.62	12/16/20	124.58	...	-89.96	25 of 962 - Sale <sup>25</sup>
	10.140	27.27	12/16/20	116.39	...	-89.12	26 of 962 - Sale <sup>25</sup>
	10.970	29.51	12/16/20	118.28	...	-88.77	27 of 962 - Sale <sup>25</sup>
	12.870	34.62	12/16/20	122.39	...	-87.77	28 of 962 - Sale <sup>25</sup>
	8.180	22.00	12/16/20	105.26	...	-83.26	29 of 962 - Sale <sup>25</sup>
	10.220	27.49	12/16/20	109.05	...	-81.56	30 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	96.61	...	-77.78	31 of 962 - Sale <sup>25</sup>
	10.000	26.89	12/16/20	104.06	...	-77.17	32 of 962 - Sale <sup>25</sup>
	10.000	26.90	12/16/20	103.61	...	-76.71	33 of 962 - Sale <sup>25</sup>
	9.000	24.21	12/16/20	99.63	...	-75.42	34 of 962 - Sale <sup>25</sup>
	6.780	18.24	12/16/20	92.98	...	-74.74	35 of 962 - Sale <sup>25</sup>
	6.430	17.30	12/16/20	88.57	...	-71.27	36 of 962 - Sale <sup>25</sup>
	9.000	24.21	12/16/20	94.02	...	-69.81	37 of 962 - Sale <sup>25</sup>
	9.000	24.21	12/16/20	93.55	...	-69.34	38 of 962 - Sale <sup>25</sup>
	9.000	24.21	12/16/20	93.02	...	-68.81	39 of 962 - Sale <sup>25</sup>
	9.010	24.24	12/16/20	93.05	...	-68.81	40 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	84.32	...	-68.18	41 of 962 - Sale <sup>25</sup>
	10.000	26.90	12/16/20	94.84	...	-67.94	42 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	88.89	...	-67.37	43 of 962 - Sale <sup>25</sup>
	9.910	26.66	12/16/20	93.43	...	-66.77	44 of 962 - Sale <sup>25</sup>
	8.780	23.62	12/16/20	89.40	...	-65.78	45 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	86.97	...	-65.45	46 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	9.740	26.20	12/16/20	90.76	...	-64.56	47 of 962 - Sale <sup>25</sup>
	12.910	34.72	12/16/20	99.25	...	-64.53	48 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	85.85	...	-64.33	49 of 962 - Sale <sup>25</sup>
	13.000	34.97	12/16/20	98.71	...	-63.74	50 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	83.42	...	-61.90	51 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	83.24	...	-61.72	52 of 962 - Sale <sup>25</sup>
	9.260	24.91	12/16/20	85.95	...	-61.04	53 of 962 - Sale <sup>25</sup>
	8.780	23.62	12/16/20	83.96	...	-60.34	54 of 962 - Sale <sup>25</sup>
	8.870	23.86	12/16/20	84.08	...	-60.22	55 of 962 - Sale <sup>25</sup>
	9.090	24.45	12/16/20	84.64	...	-60.19	56 of 962 - Sale <sup>25</sup>
	7.450	20.04	12/16/20	79.79	...	-59.75	57 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	78.17	...	-59.34	58 of 962 - Sale <sup>25</sup>
	7.000	18.82	12/16/20	77.94	...	-59.12	59 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	77.71	...	-58.88	60 of 962 - Sale <sup>25</sup>
	5.320	14.31	12/16/20	72.98	...	-58.67	61 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	76.98	...	-58.15	62 of 962 - Sale <sup>25</sup>
	9.550	25.69	12/16/20	83.75	...	-58.06	63 of 962 - Sale <sup>25</sup>
	6.640	17.86	12/16/20	75.75	...	-57.89	64 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	76.41	...	-57.58	65 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	69.97	...	-56.52	66 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	69.02	...	-55.57	67 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	68.56	...	-55.11	68 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	73.84	...	-55.01	69 of 962 - Sale <sup>25</sup>
	7.300	19.64	12/16/20	74.35	...	-54.71	70 of 962 - Sale <sup>25</sup>
	8.080	21.73	12/16/20	75.30	...	-53.57	71 of 962 - Sale <sup>25</sup>
	4.665	12.55	12/16/20	65.30	...	-52.75	72 of 962 - Sale <sup>25</sup>
	7.730	20.79	12/16/20	73.41	...	-52.62	73 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	71.32	...	-52.49	74 of 962 - Sale <sup>25</sup>
	7.840	21.09	12/16/20	73.37	...	-52.28	75 of 962 - Sale <sup>25</sup>
	6.450	17.35	12/16/20	69.29	...	-51.94	76 of 962 - Sale <sup>25</sup>
	7.720	20.77	12/16/20	72.01	...	-51.24	77 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	72.68	...	-51.16	78 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	67.19	...	-51.05	79 of 962 - Sale <sup>25</sup>
	4.420	11.89	12/16/20	62.70	...	-50.81	80 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.000	16.13	12/16/20	66.89	...	-50.76	81 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	65.60	...	-49.46	82 of 962 - Sale <sup>25</sup>
	9.910	26.66	12/16/20	75.25	...	-48.59	83 of 962 - Sale <sup>25</sup>
	6.220	16.73	12/16/20	65.03	...	-48.30	84 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	64.07	...	-47.93	85 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	63.67	...	-47.53	86 of 962 - Sale <sup>25</sup>
	5.530	14.87	12/16/20	61.63	...	-46.76	87 of 962 - Sale <sup>25</sup>
	6.220	16.73	12/16/20	63.31	...	-46.58	88 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	62.64	...	-46.50	89 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	62.49	...	-46.35	90 of 962 - Sale <sup>25</sup>
	6.780	18.24	12/16/20	64.49	...	-46.25	91 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	62.38	...	-46.24	92 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	62.25	...	-46.11	93 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	62.23	...	-46.09	94 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	62.01	...	-45.87	95 of 962 - Sale <sup>25</sup>
	6.820	18.34	12/16/20	63.99	...	-45.65	96 of 962 - Sale <sup>25</sup>
	6.640	17.86	12/16/20	63.45	...	-45.59	97 of 962 - Sale <sup>25</sup>
	6.840	18.40	12/16/20	63.98	...	-45.58	98 of 962 - Sale <sup>25</sup>
	5.780	15.55	12/16/20	61.07	...	-45.52	99 of 962 - Sale <sup>25</sup>
	6.640	17.86	12/16/20	62.93	...	-45.07	100 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	55.80	...	-45.04	101 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	61.10	...	-44.96	102 of 962 - Sale <sup>25</sup>
	5.090	13.69	12/16/20	58.60	...	-44.91	103 of 962 - Sale <sup>25</sup>
	5.780	15.55	12/16/20	60.28	...	-44.73	104 of 962 - Sale <sup>25</sup>
	6.640	17.86	12/16/20	61.99	...	-44.13	105 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	60.19	...	-44.05	106 of 962 - Sale <sup>25</sup>
	5.780	15.55	12/16/20	59.50	...	-43.95	107 of 962 - Sale <sup>25</sup>
	3.880	10.44	12/16/20	54.22	...	-43.78	108 of 962 - Sale <sup>25</sup>
	6.360	17.11	12/16/20	60.39	...	-43.28	109 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	56.23	...	-42.78	110 of 962 - Sale <sup>25</sup>
	5.650	15.20	12/16/20	57.51	...	-42.31	111 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	55.74	...	-42.29	112 of 962 - Sale <sup>25</sup>
	5.220	14.04	12/16/20	56.14	...	-42.10	113 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	58.07	...	-41.93	114 of 962 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	6.360	17.11	12/16/20	59.01	...	-41.90	115 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	57.74	...	-41.60	116 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	57.30	...	-41.16	117 of 962 - Sale <sup>25</sup>
	5.210	14.01	12/16/20	55.15	...	-41.14	118 of 962 - Sale <sup>25</sup>
	8.220	22.11	12/16/20	63.01	...	-40.90	119 of 962 - Sale <sup>25</sup>
	8.000	21.52	12/16/20	62.35	...	-40.83	120 of 962 - Sale <sup>25</sup>
	4.320	11.62	12/16/20	52.17	...	-40.55	121 of 962 - Sale <sup>25</sup>
	7.920	21.30	12/16/20	61.81	...	-40.51	122 of 962 - Sale <sup>25</sup>
	5.530	14.87	12/16/20	55.33	...	-40.46	123 of 962 - Sale <sup>25</sup>
	5.100	13.72	12/16/20	53.98	...	-40.26	124 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	53.71	...	-40.26	125 of 962 - Sale <sup>25</sup>
	6.000	16.14	12/16/20	56.01	...	-39.87	126 of 962 - Sale <sup>25</sup>
	5.780	15.55	12/16/20	55.17	...	-39.62	127 of 962 - Sale <sup>25</sup>
	5.195	13.97	12/16/20	53.54	...	-39.57	128 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	52.55	...	-39.10	129 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	52.52	...	-39.07	130 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	52.06	...	-38.61	131 of 962 - Sale <sup>25</sup>
	5.610	15.09	12/16/20	53.66	...	-38.57	132 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	51.98	...	-38.53	133 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	51.91	...	-38.46	134 of 962 - Sale <sup>25</sup>
	7.690	20.68	12/16/20	59.11	...	-38.43	135 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	56.95	...	-38.12	136 of 962 - Sale <sup>25</sup>
	5.620	15.12	12/16/20	52.89	...	-37.77	137 of 962 - Sale <sup>25</sup>
	13.000	34.97	12/16/20	72.73	...	-37.76	138 of 962 - Sale <sup>25</sup>
	4.790	12.88	12/16/20	50.58	...	-37.70	139 of 962 - Sale <sup>25</sup>
	4.780	12.86	12/16/20	50.42	...	-37.56	140 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	50.96	...	-37.51	141 of 962 - Sale <sup>25</sup>
	6.090	16.38	12/16/20	53.43	...	-37.05	142 of 962 - Sale <sup>25</sup>
	5.530	14.87	12/16/20	51.89	...	-37.02	143 of 962 - Sale <sup>25</sup>
	3.360	9.04	12/16/20	45.93	...	-36.89	144 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	50.02	...	-36.57	145 of 962 - Sale <sup>25</sup>
	4.420	11.89	12/16/20	48.33	...	-36.44	146 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	49.67	...	-36.22	147 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	49.57	...	-36.12	148 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.220	14.04	12/16/20	49.95	...	-35.91	149 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	46.58	...	-35.82	150 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	49.18	...	-35.73	151 of 962 - Sale <sup>25</sup>
	4.050	10.89	12/16/20	46.61	...	-35.72	152 of 962 - Sale <sup>25</sup>
	4.310	11.59	12/16/20	47.22	...	-35.63	153 of 962 - Sale <sup>25</sup>
	4.310	11.59	12/16/20	47.13	...	-35.54	154 of 962 - Sale <sup>25</sup>
	5.220	14.04	12/16/20	49.51	...	-35.47	155 of 962 - Sale <sup>25</sup>
	3.140	8.45	12/16/20	43.85	...	-35.40	156 of 962 - Sale <sup>25</sup>
	4.640	12.48	12/16/20	47.67	...	-35.19	157 of 962 - Sale <sup>25</sup>
	7.000	18.83	12/16/20	53.78	...	-34.95	158 of 962 - Sale <sup>25</sup>
	5.140	13.83	12/16/20	48.72	...	-34.89	159 of 962 - Sale <sup>25</sup>
	4.640	12.48	12/16/20	47.21	...	-34.73	160 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.88	...	-34.43	161 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.86	...	-34.41	162 of 962 - Sale <sup>25</sup>
	5.220	14.04	12/16/20	48.43	...	-34.39	163 of 962 - Sale <sup>25</sup>
	3.860	10.38	12/16/20	44.75	...	-34.37	164 of 962 - Sale <sup>25</sup>
	6.900	18.56	12/16/20	52.88	...	-34.32	165 of 962 - Sale <sup>25</sup>
	4.920	13.23	12/16/20	47.43	...	-34.20	166 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	42.07	...	-34.00	167 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.41	...	-33.96	168 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.39	...	-33.94	169 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	41.97	...	-33.90	170 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	41.96	...	-33.89	171 of 962 - Sale <sup>25</sup>
	6.910	18.59	12/16/20	52.47	...	-33.88	172 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.32	...	-33.87	173 of 962 - Sale <sup>25</sup>
	4.000	10.75	12/16/20	44.58	...	-33.83	174 of 962 - Sale <sup>25</sup>
	4.920	13.23	12/16/20	47.06	...	-33.83	175 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.28	...	-33.83	176 of 962 - Sale <sup>25</sup>
	4.000	10.75	12/16/20	44.53	...	-33.78	177 of 962 - Sale <sup>25</sup>
	4.310	11.59	12/16/20	45.30	...	-33.71	178 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	44.35	...	-33.59	179 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.03	...	-33.58	180 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	47.02	...	-33.57	181 of 962 - Sale <sup>25</sup>
	4.210	11.32	12/16/20	44.75	...	-33.43	182 of 962 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.310	11.59	12/16/20	44.94	...	-33.35	183 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	44.03	...	-33.27	184 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	44.01	...	-33.25	185 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	41.13	...	-33.06	186 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	41.07	...	-33.00	187 of 962 - Sale <sup>25</sup>
	4.080	10.97	12/16/20	43.93	...	-32.96	188 of 962 - Sale <sup>25</sup>
	4.195	11.28	12/16/20	43.92	...	-32.64	189 of 962 - Sale <sup>25</sup>
	2.850	7.67	12/16/20	40.26	...	-32.59	190 of 962 - Sale <sup>25</sup>
	4.220	11.35	12/16/20	43.94	...	-32.59	191 of 962 - Sale <sup>25</sup>
	2.880	7.75	12/16/20	40.29	...	-32.54	192 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	43.20	...	-32.44	193 of 962 - Sale <sup>25</sup>
	4.780	12.86	12/16/20	45.29	...	-32.43	194 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	45.38	...	-31.93	195 of 962 - Sale <sup>25</sup>
	3.870	10.41	12/16/20	42.19	...	-31.78	196 of 962 - Sale <sup>25</sup>
	4.780	12.86	12/16/20	44.59	...	-31.73	197 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	42.33	...	-31.57	198 of 962 - Sale <sup>25</sup>
	4.080	10.97	12/16/20	42.38	...	-31.41	199 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	38.78	...	-31.30	200 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.93	...	-31.17	201 of 962 - Sale <sup>25</sup>
	3.940	10.60	12/16/20	41.75	...	-31.15	202 of 962 - Sale <sup>25</sup>
	4.220	11.35	12/16/20	42.34	...	-30.99	203 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.69	...	-30.93	204 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	38.92	...	-30.85	205 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.57	...	-30.81	206 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.54	...	-30.78	207 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.51	...	-30.75	208 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	38.74	...	-30.67	209 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.40	...	-30.64	210 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.37	...	-30.61	211 of 962 - Sale <sup>25</sup>
	3.780	10.17	12/16/20	40.66	...	-30.49	212 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	41.25	...	-30.49	213 of 962 - Sale <sup>25</sup>
	2.680	7.21	12/16/20	37.50	...	-30.29	214 of 962 - Sale <sup>25</sup>
	4.560	12.27	12/16/20	42.32	...	-30.05	215 of 962 - Sale <sup>25</sup>
	3.675	9.89	12/16/20	39.92	...	-30.03	216 of 962 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.655	7.14	12/16/20	37.15	...	-30.01	217 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	40.65	...	-29.89	218 of 962 - Sale <sup>25</sup>
	3.670	9.87	12/16/20	39.52	...	-29.65	219 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	43.07	...	-29.62	220 of 962 - Sale <sup>25</sup>
	4.350	11.70	12/16/20	41.14	...	-29.44	221 of 962 - Sale <sup>25</sup>
	2.860	7.69	12/16/20	37.11	...	-29.42	222 of 962 - Sale <sup>25</sup>
	3.470	9.33	12/16/20	38.68	...	-29.35	223 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	40.09	...	-29.33	224 of 962 - Sale <sup>25</sup>
	3.440	9.25	12/16/20	38.28	...	-29.03	225 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	39.78	...	-29.02	226 of 962 - Sale <sup>25</sup>
	2.640	7.10	12/16/20	36.11	...	-29.01	227 of 962 - Sale <sup>25</sup>
	4.780	12.86	12/16/20	41.79	...	-28.93	228 of 962 - Sale <sup>25</sup>
	3.550	9.55	12/16/20	38.13	...	-28.58	229 of 962 - Sale <sup>25</sup>
	3.780	10.17	12/16/20	38.53	...	-28.36	230 of 962 - Sale <sup>25</sup>
	5.690	15.30	12/16/20	43.66	...	-28.36	231 of 962 - Sale <sup>25</sup>
	3.780	10.17	12/16/20	38.49	...	-28.32	232 of 962 - Sale <sup>25</sup>
	4.260	11.46	12/16/20	39.71	...	-28.25	233 of 962 - Sale <sup>25</sup>
	3.740	10.06	12/16/20	38.27	...	-28.21	234 of 962 - Sale <sup>25</sup>
	4.220	11.35	12/16/20	39.55	...	-28.20	235 of 962 - Sale <sup>25</sup>
	4.090	11.00	12/16/20	39.12	...	-28.12	236 of 962 - Sale <sup>25</sup>
	4.140	11.14	12/16/20	39.23	...	-28.09	237 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	38.68	...	-27.92	238 of 962 - Sale <sup>25</sup>
	4.200	11.30	12/16/20	39.18	...	-27.88	239 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	38.52	...	-27.76	240 of 962 - Sale <sup>25</sup>
	3.780	10.17	12/16/20	37.88	...	-27.71	241 of 962 - Sale <sup>25</sup>
	3.560	9.57	12/16/20	37.09	...	-27.52	242 of 962 - Sale <sup>25</sup>
	2.540	6.83	12/16/20	34.33	...	-27.50	243 of 962 - Sale <sup>25</sup>
	4.000	10.76	12/16/20	37.90	...	-27.14	244 of 962 - Sale <sup>25</sup>
	3.150	8.47	12/16/20	35.50	...	-27.03	245 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	35.07	...	-27.00	246 of 962 - Sale <sup>25</sup>
	3.345	9.00	12/16/20	35.94	...	-26.94	247 of 962 - Sale <sup>25</sup>
	2.430	6.54	12/16/20	33.46	...	-26.92	248 of 962 - Sale <sup>25</sup>
	5.360	14.42	12/16/20	41.22	...	-26.80	249 of 962 - Sale <sup>25</sup>
	3.910	10.52	12/16/20	37.14	...	-26.62	250 of 962 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	5.220	14.04	12/16/20	40.09	...	-26.05	251 of 962 - Sale <sup>25</sup>
	3.220	8.66	12/16/20	34.67	...	-26.01	252 of 962 - Sale <sup>25</sup>
	2.510	6.75	12/16/20	32.56	...	-25.81	253 of 962 - Sale <sup>25</sup>
	3.870	10.41	12/16/20	36.15	...	-25.74	254 of 962 - Sale <sup>25</sup>
	3.900	10.49	12/16/20	36.04	...	-25.55	255 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	33.51	...	-25.44	256 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	33.49	...	-25.42	257 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	33.49	...	-25.42	258 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	33.40	...	-25.33	259 of 962 - Sale <sup>25</sup>
	3.105	8.35	12/16/20	33.68	...	-25.33	260 of 962 - Sale <sup>25</sup>
	3.210	8.63	12/16/20	33.90	...	-25.27	261 of 962 - Sale <sup>25</sup>
	3.360	9.04	12/16/20	34.20	...	-25.16	262 of 962 - Sale <sup>25</sup>
	3.440	9.25	12/16/20	34.36	...	-25.11	263 of 962 - Sale <sup>25</sup>
	3.780	10.17	12/16/20	35.27	...	-25.10	264 of 962 - Sale <sup>25</sup>
	2.640	7.10	12/16/20	32.10	...	-25.00	265 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	33.00	...	-24.93	266 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	32.91	...	-24.84	267 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	32.87	...	-24.80	268 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	32.82	...	-24.75	269 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	32.80	...	-24.73	270 of 962 - Sale <sup>25</sup>
	2.930	7.88	12/16/20	32.56	...	-24.68	271 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	32.62	...	-24.55	272 of 962 - Sale <sup>25</sup>
	5.010	13.48	12/16/20	38.00	...	-24.52	273 of 962 - Sale <sup>25</sup>
	2.900	7.80	12/16/20	32.25	...	-24.45	274 of 962 - Sale <sup>25</sup>
	2.140	5.76	12/16/20	29.95	...	-24.19	275 of 962 - Sale <sup>25</sup>
	3.060	8.23	12/16/20	32.42	...	-24.19	276 of 962 - Sale <sup>25</sup>
	2.895	7.79	12/16/20	31.93	...	-24.14	277 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	32.00	...	-23.93	278 of 962 - Sale <sup>25</sup>
	4.780	12.86	12/16/20	36.64	...	-23.78	279 of 962 - Sale <sup>25</sup>
	2.895	7.79	12/16/20	31.54	...	-23.75	280 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.80	...	-23.73	281 of 962 - Sale <sup>25</sup>
	2.930	7.88	12/16/20	31.47	...	-23.59	282 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.62	...	-23.55	283 of 962 - Sale <sup>25</sup>
	3.140	8.45	12/16/20	31.96	...	-23.51	284 of 962 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	4.370	11.75	12/16/20	35.22	...	-23.47	285 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	30.94	...	-23.46	286 of 962 - Sale <sup>25</sup>
	3.140	8.45	12/16/20	31.91	...	-23.46	287 of 962 - Sale <sup>25</sup>
	2.070	5.57	12/16/20	28.98	...	-23.41	288 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.48	...	-23.41	289 of 962 - Sale <sup>25</sup>
	2.760	7.42	12/16/20	30.63	...	-23.21	290 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.27	...	-23.20	291 of 962 - Sale <sup>25</sup>
	4.620	12.43	12/16/20	35.53	...	-23.10	292 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.15	...	-23.08	293 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.13	...	-23.06	294 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	28.42	...	-23.04	295 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.05	...	-22.98	296 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	31.03	...	-22.96	297 of 962 - Sale <sup>25</sup>
	2.920	7.85	12/16/20	30.57	...	-22.72	298 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	28.09	...	-22.71	299 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	28.02	...	-22.64	300 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	27.93	...	-22.55	301 of 962 - Sale <sup>25</sup>
	2.885	7.76	12/16/20	30.28	...	-22.52	302 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	30.58	...	-22.51	303 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	27.88	...	-22.50	304 of 962 - Sale <sup>25</sup>
	3.220	8.66	12/16/20	31.16	...	-22.50	305 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	30.56	...	-22.49	306 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	29.87	...	-22.39	307 of 962 - Sale <sup>25</sup>
	2.920	7.85	12/16/20	30.07	...	-22.22	308 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	27.57	...	-22.19	309 of 962 - Sale <sup>25</sup>
	2.640	7.10	12/16/20	29.26	...	-22.16	310 of 962 - Sale <sup>25</sup>
	2.690	7.24	12/16/20	29.40	...	-22.16	311 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	27.52	...	-22.14	312 of 962 - Sale <sup>25</sup>
	2.790	7.50	12/16/20	29.62	...	-22.12	313 of 962 - Sale <sup>25</sup>
	3.100	8.34	12/16/20	30.46	...	-22.12	314 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	30.05	...	-21.98	315 of 962 - Sale <sup>25</sup>
	2.885	7.76	12/16/20	29.53	...	-21.77	316 of 962 - Sale <sup>25</sup>
	3.150	8.47	12/16/20	30.13	...	-21.66	317 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	29.71	...	-21.64	318 of 962 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.560	6.88	12/16/20	28.49	...	-21.61	319 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	29.66	...	-21.59	320 of 962 - Sale <sup>25</sup>
	2.790	7.50	12/16/20	29.07	...	-21.57	321 of 962 - Sale <sup>25</sup>
	2.760	7.42	12/16/20	28.91	...	-21.49	322 of 962 - Sale <sup>25</sup>
	1.880	5.06	12/16/20	26.53	...	-21.47	323 of 962 - Sale <sup>25</sup>
	2.640	7.10	12/16/20	28.55	...	-21.45	324 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	29.50	...	-21.43	325 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	29.50	...	-21.43	326 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	29.49	...	-21.42	327 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	28.85	...	-21.37	328 of 962 - Sale <sup>25</sup>
	3.130	8.42	12/16/20	29.67	...	-21.25	329 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	28.66	...	-21.18	330 of 962 - Sale <sup>25</sup>
	2.690	7.23	12/16/20	28.29	...	-21.06	331 of 962 - Sale <sup>25</sup>
	3.160	8.50	12/16/20	29.54	...	-21.04	332 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	28.97	...	-20.90	333 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	28.95	...	-20.88	334 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	28.27	...	-20.79	335 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	28.73	...	-20.66	336 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	28.09	...	-20.61	337 of 962 - Sale <sup>25</sup>
	2.005	5.39	12/16/20	25.96	...	-20.57	338 of 962 - Sale <sup>25</sup>
	4.090	11.00	12/16/20	31.37	...	-20.37	339 of 962 - Sale <sup>25</sup>
	2.330	6.27	12/16/20	26.60	...	-20.33	340 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	27.73	...	-20.25	341 of 962 - Sale <sup>25</sup>
	4.090	11.00	12/16/20	31.03	...	-20.03	342 of 962 - Sale <sup>25</sup>
	2.360	6.35	12/16/20	26.18	...	-19.83	343 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	24.59	...	-19.80	344 of 962 - Sale <sup>25</sup>
	2.690	7.24	12/16/20	27.00	...	-19.76	345 of 962 - Sale <sup>25</sup>
	2.350	6.32	12/16/20	25.89	...	-19.57	346 of 962 - Sale <sup>25</sup>
	2.895	7.79	12/16/20	27.30	...	-19.51	347 of 962 - Sale <sup>25</sup>
	2.860	7.69	12/16/20	27.18	...	-19.49	348 of 962 - Sale <sup>25</sup>
	2.450	6.59	12/16/20	25.99	...	-19.40	349 of 962 - Sale <sup>25</sup>
	2.360	6.35	12/16/20	25.50	...	-19.15	350 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	26.62	...	-19.14	351 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	27.17	...	-19.10	352 of 962 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.860	7.69	12/16/20	26.74	...	-19.05	353 of 962 - Sale <sup>25</sup>
	2.360	6.35	12/16/20	25.28	...	-18.93	354 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	26.96	...	-18.89	355 of 962 - Sale <sup>25</sup>
	2.840	7.64	12/16/20	26.49	...	-18.85	356 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	24.76	...	-18.79	357 of 962 - Sale <sup>25</sup>
	3.090	8.31	12/16/20	27.02	...	-18.71	358 of 962 - Sale <sup>25</sup>
	2.440	6.56	12/16/20	25.25	...	-18.69	359 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	26.09	...	-18.61	360 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	26.06	...	-18.58	361 of 962 - Sale <sup>25</sup>
	3.990	10.73	12/16/20	29.27	...	-18.54	362 of 962 - Sale <sup>25</sup>
	2.195	5.90	12/16/20	24.30	...	-18.40	363 of 962 - Sale <sup>25</sup>
	2.740	7.37	12/16/20	25.77	...	-18.40	364 of 962 - Sale <sup>25</sup>
	2.150	5.78	12/16/20	24.07	...	-18.29	365 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	26.23	...	-18.16	366 of 962 - Sale <sup>25</sup>
	2.100	5.65	12/16/20	23.66	...	-18.01	367 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	23.32	...	-17.94	368 of 962 - Sale <sup>25</sup>
	2.260	6.08	12/16/20	23.93	...	-17.85	369 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	25.25	...	-17.77	370 of 962 - Sale <sup>25</sup>
	2.640	7.10	12/16/20	24.76	...	-17.66	371 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	23.57	...	-17.60	372 of 962 - Sale <sup>25</sup>
	2.580	6.94	12/16/20	24.53	...	-17.59	373 of 962 - Sale <sup>25</sup>
	2.240	6.02	12/16/20	23.49	...	-17.47	374 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	23.37	...	-17.40	375 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	23.04	...	-17.07	376 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	22.95	...	-16.98	377 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	22.28	...	-16.90	378 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	22.27	...	-16.89	379 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	22.25	...	-16.87	380 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	22.20	...	-16.82	381 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	22.16	...	-16.78	382 of 962 - Sale <sup>25</sup>
	2.540	6.83	12/16/20	23.58	...	-16.75	383 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	22.01	...	-16.63	384 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.96	...	-16.58	385 of 962 - Sale <sup>25</sup>
	2.210	5.94	12/16/20	22.47	...	-16.53	386 of 962 - Sale <sup>25</sup>

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(continued)

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.140	5.76	12/16/20	22.24	...	-16.48	387 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.86	...	-16.48	388 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.86	...	-16.48	389 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	23.90	...	-16.42	390 of 962 - Sale <sup>25</sup>
	2.465	6.63	12/16/20	23.03	...	-16.40	391 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.75	...	-16.37	392 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	23.84	...	-16.36	393 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	24.40	...	-16.33	394 of 962 - Sale <sup>25</sup>
	2.300	6.19	12/16/20	22.49	...	-16.30	395 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.51	...	-16.13	396 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.50	...	-16.12	397 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.49	...	-16.11	398 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.42	...	-16.04	399 of 962 - Sale <sup>25</sup>
	1.440	3.87	12/16/20	19.87	...	-16.00	400 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	21.96	...	-15.99	401 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.33	...	-15.95	402 of 962 - Sale <sup>25</sup>
	1.440	3.87	12/16/20	19.77	...	-15.90	403 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.26	...	-15.88	404 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.24	...	-15.86	405 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.21	...	-15.83	406 of 962 - Sale <sup>25</sup>
	2.010	5.41	12/16/20	21.23	...	-15.82	407 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.17	...	-15.79	408 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.15	...	-15.77	409 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.15	...	-15.77	410 of 962 - Sale <sup>25</sup>
	1.850	4.98	12/16/20	20.71	...	-15.73	411 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	21.07	...	-15.69	412 of 962 - Sale <sup>25</sup>
	2.325	6.25	12/16/20	21.91	...	-15.66	413 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.97	...	-15.59	414 of 962 - Sale <sup>25</sup>
	1.360	3.66	12/16/20	19.24	...	-15.58	415 of 962 - Sale <sup>25</sup>
	1.900	5.11	12/16/20	20.62	...	-15.51	416 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.83	...	-15.45	417 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.82	...	-15.44	418 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.81	...	-15.43	419 of 962 - Sale <sup>25</sup>
	3.100	8.34	12/16/20	23.74	...	-15.40	420 of 962 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.000	5.38	12/16/20	20.75	...	-15.37	421 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.73	...	-15.35	422 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.59	...	-15.21	423 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.59	...	-15.21	424 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	21.13	...	-15.16	425 of 962 - Sale <sup>25</sup>
	1.340	3.60	12/16/20	18.73	...	-15.13	426 of 962 - Sale <sup>25</sup>
	2.100	5.65	12/16/20	20.75	...	-15.10	427 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	19.81	...	-15.02	428 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	19.81	...	-15.02	429 of 962 - Sale <sup>25</sup>
	3.220	8.66	12/16/20	23.65	...	-14.99	430 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.36	...	-14.98	431 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.35	...	-14.97	432 of 962 - Sale <sup>25</sup>
	1.990	5.35	12/16/20	20.28	...	-14.93	433 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.31	...	-14.93	434 of 962 - Sale <sup>25</sup>
	3.000	8.07	12/16/20	22.99	...	-14.92	435 of 962 - Sale <sup>25</sup>
	1.990	5.35	12/16/20	20.25	...	-14.90	436 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.28	...	-14.90	437 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.26	...	-14.88	438 of 962 - Sale <sup>25</sup>
	1.580	4.25	12/16/20	19.07	...	-14.82	439 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.20	...	-14.82	440 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.15	...	-14.77	441 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	20.73	...	-14.76	442 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.04	...	-14.66	443 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	20.04	...	-14.66	444 of 962 - Sale <sup>25</sup>
	1.860	5.00	12/16/20	19.52	...	-14.52	445 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.87	...	-14.49	446 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.87	...	-14.49	447 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.84	...	-14.46	448 of 962 - Sale <sup>25</sup>
	1.920	5.16	12/16/20	19.56	...	-14.40	449 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.77	...	-14.39	450 of 962 - Sale <sup>25</sup>
	1.510	4.06	12/16/20	18.43	...	-14.37	451 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.66	...	-14.28	452 of 962 - Sale <sup>25</sup>
	2.140	5.76	12/16/20	20.04	...	-14.28	453 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.54	...	-14.16	454 of 962 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.780	4.79	12/16/20	18.91	...	-14.12	455 of 962 - Sale <sup>25</sup>
	1.900	5.11	12/16/20	19.17	...	-14.06	456 of 962 - Sale <sup>25</sup>
	2.020	5.43	12/16/20	19.45	...	-14.02	457 of 962 - Sale <sup>25</sup>
	1.690	4.55	12/16/20	18.51	...	-13.96	458 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	18.73	...	-13.94	459 of 962 - Sale <sup>25</sup>
	1.670	4.49	12/16/20	18.43	...	-13.94	460 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.39	...	-13.94	461 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.39	...	-13.94	462 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.39	...	-13.94	463 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.31	...	-13.93	464 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.38	...	-13.93	465 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.38	...	-13.93	466 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.38	...	-13.93	467 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.38	...	-13.93	468 of 962 - Sale <sup>25</sup>
	5.000	13.45	12/16/20	27.38	...	-13.93	469 of 962 - Sale <sup>25</sup>
	2.780	7.48	12/16/20	21.29	...	-13.81	470 of 962 - Sale <sup>25</sup>
	1.650	4.44	12/16/20	18.22	...	-13.78	471 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	18.51	...	-13.72	472 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.09	...	-13.71	473 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	19.03	...	-13.65	474 of 962 - Sale <sup>25</sup>
	1.900	5.11	12/16/20	18.70	...	-13.59	475 of 962 - Sale <sup>25</sup>
	2.060	5.54	12/16/20	19.12	...	-13.58	476 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	18.96	...	-13.58	477 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	18.34	...	-13.55	478 of 962 - Sale <sup>25</sup>
	1.660	4.46	12/16/20	18.00	...	-13.54	479 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	16.76	...	-13.48	480 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	18.83	...	-13.45	481 of 962 - Sale <sup>25</sup>
	1.770	4.76	12/16/20	18.00	...	-13.24	482 of 962 - Sale <sup>25</sup>
	1.640	4.41	12/16/20	17.57	...	-13.16	483 of 962 - Sale <sup>25</sup>
	2.220	5.97	12/16/20	19.08	...	-13.11	484 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	18.44	...	-13.06	485 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	17.83	...	-13.04	486 of 962 - Sale <sup>25</sup>
	1.560	4.20	12/16/20	17.21	...	-13.01	487 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	17.75	...	-12.96	488 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	2.220	5.97	12/16/20	18.92	...	-12.95	489 of 962 - Sale <sup>25</sup>
	1.920	5.16	12/16/20	17.94	...	-12.78	490 of 962 - Sale <sup>25</sup>
	1.120	3.01	12/16/20	15.66	...	-12.65	491 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	18.01	...	-12.63	492 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	17.81	...	-12.43	493 of 962 - Sale <sup>25</sup>
	1.780	4.79	12/16/20	17.15	...	-12.36	494 of 962 - Sale <sup>25</sup>
	1.530	4.12	12/16/20	16.30	...	-12.18	495 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	17.29	...	-11.91	496 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	17.22	...	-11.84	497 of 962 - Sale <sup>25</sup>
	1.420	3.82	12/16/20	15.65	...	-11.83	498 of 962 - Sale <sup>25</sup>
	1.930	5.19	12/16/20	16.95	...	-11.76	499 of 962 - Sale <sup>25</sup>
	1.450	3.90	12/16/20	15.58	...	-11.68	500 of 962 - Sale <sup>25</sup>
	1.730	4.65	12/16/20	16.16	...	-11.51	501 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	14.15	...	-11.46	502 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	14.14	...	-11.45	503 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	14.14	...	-11.45	504 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	14.14	...	-11.45	505 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	14.13	...	-11.44	506 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	14.10	...	-11.41	507 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	14.07	...	-11.38	508 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.99	...	-11.30	509 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.99	...	-11.30	510 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.98	...	-11.29	511 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.97	...	-11.28	512 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.97	...	-11.28	513 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.93	...	-11.24	514 of 962 - Sale <sup>25</sup>
	1.640	4.41	12/16/20	15.55	...	-11.14	515 of 962 - Sale <sup>25</sup>
	1.310	3.52	12/16/20	14.65	...	-11.13	516 of 962 - Sale <sup>25</sup>
	1.310	3.52	12/16/20	14.63	...	-11.11	517 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.78	...	-11.09	518 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.73	...	-11.04	519 of 962 - Sale <sup>25</sup>
	1.440	3.87	12/16/20	14.90	...	-11.03	520 of 962 - Sale <sup>25</sup>
	1.350	3.63	12/16/20	14.65	...	-11.02	521 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.70	...	-11.01	522 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.340	3.60	12/16/20	14.51	...	-10.91	523 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.59	...	-10.90	524 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.59	...	-10.90	525 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	13.57	...	-10.88	526 of 962 - Sale <sup>25</sup>
	1.420	3.82	12/16/20	14.69	...	-10.87	527 of 962 - Sale <sup>25</sup>
	2.080	5.59	12/16/20	16.23	...	-10.64	528 of 962 - Sale <sup>25</sup>
	1.560	4.20	12/16/20	14.75	...	-10.55	529 of 962 - Sale <sup>25</sup>
	1.360	3.66	12/16/20	14.16	...	-10.50	530 of 962 - Sale <sup>25</sup>
	1.360	3.66	12/16/20	14.02	...	-10.36	531 of 962 - Sale <sup>25</sup>
	2.205	5.93	12/16/20	16.28	...	-10.35	532 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	12.97	...	-10.28	533 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	12.85	...	-10.16	534 of 962 - Sale <sup>25</sup>
	0.880	2.37	12/16/20	12.42	...	-10.05	535 of 962 - Sale <sup>25</sup>
	1.440	3.87	12/16/20	13.89	...	-10.02	536 of 962 - Sale <sup>25</sup>
	1.460	3.93	12/16/20	13.93	...	-10.00	537 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	15.37	...	-9.99	538 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	15.31	...	-9.93	539 of 962 - Sale <sup>25</sup>
	1.690	4.55	12/16/20	14.40	...	-9.85	540 of 962 - Sale <sup>25</sup>
	1.200	3.23	12/16/20	13.06	...	-9.83	541 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	13.04	...	-9.76	542 of 962 - Sale <sup>25</sup>
	1.260	3.39	12/16/20	13.12	...	-9.73	543 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	12.03	...	-9.72	544 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	12.93	...	-9.65	545 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	12.19	...	-9.50	546 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	12.19	...	-9.50	547 of 962 - Sale <sup>25</sup>
	1.260	3.39	12/16/20	12.88	...	-9.49	548 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	11.75	...	-9.44	549 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	12.71	...	-9.43	550 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	12.08	...	-9.39	551 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	12.08	...	-9.39	552 of 962 - Sale <sup>25</sup>
	1.210	3.25	12/16/20	12.64	...	-9.39	553 of 962 - Sale <sup>25</sup>
	1.360	3.66	12/16/20	12.99	...	-9.33	554 of 962 - Sale <sup>25</sup>
	1.105	2.97	12/16/20	12.18	...	-9.21	555 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	12.46	...	-9.18	556 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	3.28	12/16/20	12.44	...	-9.16	557 of 962 - Sale <sup>25</sup>
	1.210	3.25	12/16/20	12.30	...	-9.05	558 of 962 - Sale <sup>25</sup>
	1.070	2.88	12/16/20	11.91	...	-9.03	559 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.69	...	-9.00	560 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.67	...	-8.98	561 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.66	...	-8.97	562 of 962 - Sale <sup>25</sup>
	0.790	2.12	12/16/20	11.07	...	-8.95	563 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.50	...	-8.81	564 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.49	...	-8.80	565 of 962 - Sale <sup>25</sup>
	1.790	4.81	12/16/20	13.59	...	-8.78	566 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	12.06	...	-8.78	567 of 962 - Sale <sup>25</sup>
	1.410	3.79	12/16/20	12.57	...	-8.78	568 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.46	...	-8.77	569 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.45	...	-8.76	570 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.42	...	-8.73	571 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.42	...	-8.73	572 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	12.01	...	-8.73	573 of 962 - Sale <sup>25</sup>
	0.850	2.29	12/16/20	11.01	...	-8.72	574 of 962 - Sale <sup>25</sup>
	1.130	3.04	12/16/20	11.72	...	-8.68	575 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	10.74	...	-8.64	576 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	11.89	...	-8.61	577 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.22	...	-8.53	578 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.20	...	-8.51	579 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.19	...	-8.50	580 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.18	...	-8.49	581 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.17	...	-8.48	582 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.17	...	-8.48	583 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.16	...	-8.47	584 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.16	...	-8.47	585 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.15	...	-8.46	586 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.15	...	-8.46	587 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.15	...	-8.46	588 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.14	...	-8.45	589 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	11.73	...	-8.45	590 of 962 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.220	3.28	12/16/20	11.73	...	-8.45	591 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.13	...	-8.44	592 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.12	...	-8.43	593 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.12	...	-8.43	594 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.11	...	-8.42	595 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.10	...	-8.41	596 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.10	...	-8.41	597 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.09	...	-8.40	598 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.06	...	-8.37	599 of 962 - Sale <sup>25</sup>
	1.080	2.90	12/16/20	11.26	...	-8.36	600 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.04	...	-8.35	601 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.03	...	-8.34	602 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.02	...	-8.33	603 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.99	...	-8.30	604 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.98	...	-8.29	605 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.98	...	-8.29	606 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.96	...	-8.27	607 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.91	...	-8.22	608 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.90	...	-8.21	609 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.90	...	-8.21	610 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.88	...	-8.19	611 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.88	...	-8.19	612 of 962 - Sale <sup>25</sup>
	1.140	3.07	12/16/20	11.24	...	-8.17	613 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.82	...	-8.13	614 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.82	...	-8.13	615 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.81	...	-8.12	616 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.76	...	-8.07	617 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.76	...	-8.07	618 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	11.35	...	-8.07	619 of 962 - Sale <sup>25</sup>
	1.580	4.25	12/16/20	12.30	...	-8.05	620 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.73	...	-8.04	621 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.70	...	-8.01	622 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.70	...	-8.01	623 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.68	...	-7.99	624 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.69	12/16/20	10.64	...	-7.95	625 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.64	...	-7.95	626 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.62	...	-7.93	627 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	9.77	...	-7.91	628 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.60	...	-7.91	629 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.60	...	-7.91	630 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.60	...	-7.91	631 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.55	...	-7.86	632 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.55	...	-7.86	633 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.54	...	-7.85	634 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.53	...	-7.84	635 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.53	...	-7.84	636 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.53	...	-7.84	637 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.49	...	-7.80	638 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.48	...	-7.79	639 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.47	...	-7.78	640 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.44	...	-7.75	641 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.44	...	-7.75	642 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.44	...	-7.75	643 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.43	...	-7.74	644 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.42	...	-7.73	645 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.42	...	-7.73	646 of 962 - Sale <sup>25</sup>
	0.700	1.88	12/16/20	9.60	...	-7.72	647 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.41	...	-7.72	648 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.41	...	-7.72	649 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.40	...	-7.71	650 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.40	...	-7.71	651 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.40	...	-7.71	652 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.39	...	-7.70	653 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.39	...	-7.70	654 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.38	...	-7.69	655 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.38	...	-7.69	656 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.38	...	-7.69	657 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.38	...	-7.69	658 of 962 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.69	12/16/20	10.38	...	-7.69	659 of 962 - Sale <sup>25</sup>
	0.970	2.61	12/16/20	10.29	...	-7.68	660 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.37	...	-7.68	661 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.36	...	-7.67	662 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.36	...	-7.67	663 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.34	...	-7.65	664 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.34	...	-7.65	665 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.33	...	-7.64	666 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.31	...	-7.62	667 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.29	...	-7.60	668 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.29	...	-7.60	669 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.26	...	-7.57	670 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.23	...	-7.57	671 of 962 - Sale <sup>25</sup>
	1.010	2.72	12/16/20	10.29	...	-7.57	672 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.19	...	-7.50	673 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.17	...	-7.48	674 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.17	...	-7.48	675 of 962 - Sale <sup>25</sup>
	0.660	1.78	12/16/20	9.25	...	-7.47	676 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.15	...	-7.46	677 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.15	...	-7.46	678 of 962 - Sale <sup>25</sup>
	0.920	2.47	12/16/20	9.92	...	-7.45	679 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.14	...	-7.45	680 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.14	...	-7.45	681 of 962 - Sale <sup>25</sup>
	1.580	4.25	12/16/20	11.69	...	-7.44	682 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.12	...	-7.43	683 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.12	...	-7.43	684 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.12	...	-7.43	685 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.12	...	-7.43	686 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.12	...	-7.43	687 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.12	...	-7.43	688 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.11	...	-7.42	689 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.11	...	-7.42	690 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.11	...	-7.42	691 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.11	...	-7.42	692 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.69	12/16/20	10.10	...	-7.41	693 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.10	...	-7.41	694 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.09	...	-7.40	695 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.09	...	-7.40	696 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.09	...	-7.40	697 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.05	...	-7.39	698 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.08	...	-7.39	699 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.08	...	-7.39	700 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.08	...	-7.39	701 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.07	...	-7.38	702 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.03	...	-7.37	703 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.03	...	-7.37	704 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.03	...	-7.37	705 of 962 - Sale <sup>25</sup>
	0.640	1.72	12/16/20	9.08	...	-7.36	706 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.02	...	-7.36	707 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.05	...	-7.36	708 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.05	...	-7.36	709 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.01	...	-7.35	710 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.01	...	-7.35	711 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.01	...	-7.35	712 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	10.00	...	-7.34	713 of 962 - Sale <sup>25</sup>
	1.440	3.87	12/16/20	11.20	...	-7.33	714 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	9.98	...	-7.32	715 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	10.01	...	-7.32	716 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	9.95	...	-7.29	717 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	9.95	...	-7.29	718 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	10.57	...	-7.29	719 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.96	...	-7.27	720 of 962 - Sale <sup>25</sup>
	1.220	3.28	12/16/20	10.55	...	-7.27	721 of 962 - Sale <sup>25</sup>
	0.640	1.72	12/16/20	8.98	...	-7.26	722 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.95	...	-7.26	723 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.88	...	-7.19	724 of 962 - Sale <sup>25</sup>
	0.650	1.75	12/16/20	8.91	...	-7.16	725 of 962 - Sale <sup>25</sup>
	0.870	2.34	12/16/20	9.50	...	-7.16	726 of 962 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.69	12/16/20	9.78	...	-7.09	727 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.77	...	-7.08	728 of 962 - Sale <sup>25</sup>
	0.640	1.72	12/16/20	8.78	...	-7.06	729 of 962 - Sale <sup>25</sup>
	0.920	2.47	12/16/20	9.53	...	-7.06	730 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.66	...	-6.97	731 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.65	...	-6.96	732 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.65	...	-6.96	733 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.65	...	-6.96	734 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.65	...	-6.96	735 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.64	...	-6.95	736 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.64	...	-6.95	737 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.62	...	-6.93	738 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	9.19	...	-6.88	739 of 962 - Sale <sup>25</sup>
	1.020	2.74	12/16/20	9.62	...	-6.88	740 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.55	...	-6.86	741 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.54	...	-6.85	742 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.51	...	-6.82	743 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.50	...	-6.81	744 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.50	...	-6.81	745 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.49	...	-6.80	746 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.49	...	-6.80	747 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.49	...	-6.80	748 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.47	...	-6.78	749 of 962 - Sale <sup>25</sup>
	0.910	2.45	12/16/20	9.19	...	-6.74	750 of 962 - Sale <sup>25</sup>
	0.910	2.45	12/16/20	9.18	...	-6.73	751 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.41	...	-6.72	752 of 962 - Sale <sup>25</sup>
	0.870	2.34	12/16/20	9.02	...	-6.68	753 of 962 - Sale <sup>25</sup>
	0.580	1.56	12/16/20	8.22	...	-6.66	754 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	8.96	...	-6.65	755 of 962 - Sale <sup>25</sup>
	0.980	2.64	12/16/20	9.27	...	-6.63	756 of 962 - Sale <sup>25</sup>
	0.800	2.15	12/16/20	8.77	...	-6.62	757 of 962 - Sale <sup>25</sup>
	0.580	1.56	12/16/20	8.17	...	-6.61	758 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.30	...	-6.61	759 of 962 - Sale <sup>25</sup>
	0.830	2.23	12/16/20	8.83	...	-6.60	760 of 962 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	1.000	2.69	12/16/20	9.25	...	-6.56	761 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.21	...	-6.52	762 of 962 - Sale <sup>25</sup>
	0.640	1.72	12/16/20	8.23	...	-6.51	763 of 962 - Sale <sup>25</sup>
	0.900	2.42	12/16/20	8.91	...	-6.49	764 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	8.75	...	-6.44	765 of 962 - Sale <sup>25</sup>
	0.750	2.02	12/16/20	8.45	...	-6.43	766 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	8.73	...	-6.42	767 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	9.08	...	-6.39	768 of 962 - Sale <sup>25</sup>
	0.910	2.45	12/16/20	8.78	...	-6.33	769 of 962 - Sale <sup>25</sup>
	0.790	2.12	12/16/20	8.38	...	-6.26	770 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	8.34	...	-6.24	771 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.93	...	-6.24	772 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.92	...	-6.23	773 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	8.25	...	-6.15	774 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	8.23	...	-6.13	775 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	8.43	...	-6.12	776 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.77	...	-6.08	777 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.76	...	-6.07	778 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.73	...	-6.04	779 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.73	...	-6.04	780 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.73	...	-6.04	781 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.72	...	-6.03	782 of 962 - Sale <sup>25</sup>
	0.540	1.45	12/16/20	7.45	...	-6.00	783 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	8.10	...	-6.00	784 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.69	...	-6.00	785 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	8.09	...	-5.99	786 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	8.06	...	-5.96	787 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.65	...	-5.96	788 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.65	...	-5.96	789 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.64	...	-5.95	790 of 962 - Sale <sup>25</sup>
	0.795	2.14	12/16/20	8.08	...	-5.94	791 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	7.73	...	-5.87	792 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	7.72	...	-5.86	793 of 962 - Sale <sup>25</sup>
	0.860	2.31	12/16/20	8.15	...	-5.84	794 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.780	2.10	12/16/20	7.91	...	-5.81	795 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.91	...	-5.81	796 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.88	...	-5.78	797 of 962 - Sale <sup>25</sup>
	0.740	1.99	12/16/20	7.72	...	-5.73	798 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	7.59	...	-5.73	799 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	7.56	...	-5.70	800 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.80	...	-5.70	801 of 962 - Sale <sup>25</sup>
	0.560	1.51	12/16/20	7.17	...	-5.66	802 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.67	...	-5.57	803 of 962 - Sale <sup>25</sup>
	0.685	1.84	12/16/20	7.35	...	-5.51	804 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.61	...	-5.51	805 of 962 - Sale <sup>25</sup>
	0.910	2.45	12/16/20	7.96	...	-5.51	806 of 962 - Sale <sup>25</sup>
	0.790	2.12	12/16/20	7.60	...	-5.48	807 of 962 - Sale <sup>25</sup>
	0.910	2.45	12/16/20	7.91	...	-5.46	808 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.54	...	-5.44	809 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.53	...	-5.43	810 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.10	...	-5.41	811 of 962 - Sale <sup>25</sup>
	0.660	1.78	12/16/20	7.19	...	-5.41	812 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	7.21	...	-5.35	813 of 962 - Sale <sup>25</sup>
	0.805	2.17	12/16/20	7.52	...	-5.35	814 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.43	...	-5.33	815 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	8.00	...	-5.31	816 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.38	...	-5.28	817 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.94	...	-5.25	818 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	7.00	...	-5.14	819 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.83	...	-5.14	820 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.83	...	-5.14	821 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	7.21	...	-5.11	822 of 962 - Sale <sup>25</sup>
	0.700	1.88	12/16/20	6.97	...	-5.09	823 of 962 - Sale <sup>25</sup>
	0.540	1.45	12/16/20	6.52	...	-5.07	824 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	6.93	...	-5.07	825 of 962 - Sale <sup>25</sup>
	0.460	1.24	12/16/20	6.26	...	-5.02	826 of 962 - Sale <sup>25</sup>
	0.640	1.72	12/16/20	6.66	...	-4.94	827 of 962 - Sale <sup>25</sup>
	0.640	1.72	12/16/20	6.60	...	-4.88	828 of 962 - Sale <sup>25</sup>

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(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.640	1.72	12/16/20	6.59	...	-4.87	829 of 962 - Sale <sup>25</sup>
	0.990	2.66	12/16/20	7.52	...	-4.86	830 of 962 - Sale <sup>25</sup>
	0.650	1.75	12/16/20	6.58	...	-4.83	831 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.50	...	-4.81	832 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.49	...	-4.80	833 of 962 - Sale <sup>25</sup>
	0.560	1.51	12/16/20	6.30	...	-4.79	834 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.48	...	-4.79	835 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.48	...	-4.79	836 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.47	...	-4.78	837 of 962 - Sale <sup>25</sup>
	0.690	1.86	12/16/20	6.61	...	-4.75	838 of 962 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	7.39	...	-4.70	839 of 962 - Sale <sup>25</sup>
	0.490	1.32	12/16/20	5.98	...	-4.66	840 of 962 - Sale <sup>25</sup>
	0.780	2.10	12/16/20	6.73	...	-4.63	841 of 962 - Sale <sup>25</sup>
	0.570	1.53	12/16/20	6.09	...	-4.56	842 of 962 - Sale <sup>25</sup>
	0.650	1.75	12/16/20	6.16	...	-4.41	843 of 962 - Sale <sup>25</sup>
	0.560	1.51	12/16/20	5.83	...	-4.32	844 of 962 - Sale <sup>25</sup>
	0.640	1.72	12/16/20	6.01	...	-4.29	845 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	5.14	...	-4.17	846 of 962 - Sale <sup>25</sup>
	0.500	1.34	12/16/20	5.49	...	-4.15	847 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	5.07	...	-4.10	848 of 962 - Sale <sup>25</sup>
	0.400	1.08	12/16/20	5.16	...	-4.08	849 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	5.03	...	-4.06	850 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	4.94	...	-3.97	851 of 962 - Sale <sup>25</sup>
	0.560	1.51	12/16/20	5.47	...	-3.96	852 of 962 - Sale <sup>25</sup>
	0.350	0.94	12/16/20	4.83	...	-3.89	853 of 962 - Sale <sup>25</sup>
	0.350	0.94	12/16/20	4.83	...	-3.89	854 of 962 - Sale <sup>25</sup>
	0.560	1.51	12/16/20	5.40	...	-3.89	855 of 962 - Sale <sup>25</sup>
	0.350	0.94	12/16/20	4.80	...	-3.86	856 of 962 - Sale <sup>25</sup>
	0.420	1.13	12/16/20	4.93	...	-3.80	857 of 962 - Sale <sup>25</sup>
	0.440	1.18	12/16/20	4.92	...	-3.74	858 of 962 - Sale <sup>25</sup>
	0.420	1.13	12/16/20	4.85	...	-3.72	859 of 962 - Sale <sup>25</sup>
	0.440	1.18	12/16/20	4.89	...	-3.71	860 of 962 - Sale <sup>25</sup>
	0.440	1.18	12/16/20	4.88	...	-3.70	861 of 962 - Sale <sup>25</sup>
	0.590	1.59	12/16/20	5.25	...	-3.66	862 of 962 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.780	2.10	12/16/20	5.75	...	-3.65	863 of 962 - Sale <sup>25</sup>
	0.320	0.86	12/16/20	4.49	...	-3.63	864 of 962 - Sale <sup>25</sup>
	0.630	1.69	12/16/20	5.08	...	-3.39	865 of 962 - Sale <sup>25</sup>
	0.440	1.18	12/16/20	4.48	...	-3.30	866 of 962 - Sale <sup>25</sup>
	0.420	1.13	12/16/20	4.40	...	-3.27	867 of 962 - Sale <sup>25</sup>
	0.420	1.13	12/16/20	4.39	...	-3.26	868 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	4.20	...	-3.23	869 of 962 - Sale <sup>25</sup>
	0.440	1.18	12/16/20	4.29	...	-3.11	870 of 962 - Sale <sup>25</sup>
	0.420	1.13	12/16/20	4.16	...	-3.03	871 of 962 - Sale <sup>25</sup>
	0.440	1.18	12/16/20	4.18	...	-3.00	872 of 962 - Sale <sup>25</sup>
	0.350	0.94	12/16/20	3.84	...	-2.90	873 of 962 - Sale <sup>25</sup>
	0.560	1.51	12/16/20	4.38	...	-2.87	874 of 962 - Sale <sup>25</sup>
	0.340	0.91	12/16/20	3.70	...	-2.79	875 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	3.75	...	-2.78	876 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	3.74	...	-2.77	877 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	3.72	...	-2.75	878 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	3.67	...	-2.70	879 of 962 - Sale <sup>25</sup>
	0.350	0.94	12/16/20	3.54	...	-2.60	880 of 962 - Sale <sup>25</sup>
	0.310	0.83	12/16/20	3.43	...	-2.60	881 of 962 - Sale <sup>25</sup>
	0.310	0.83	12/16/20	3.39	...	-2.56	882 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	3.52	...	-2.55	883 of 962 - Sale <sup>25</sup>
	0.360	0.97	12/16/20	3.42	...	-2.45	884 of 962 - Sale <sup>25</sup>
	0.220	0.59	12/16/20	3.03	...	-2.44	885 of 962 - Sale <sup>25</sup>
	0.320	0.86	12/16/20	3.25	...	-2.39	886 of 962 - Sale <sup>25</sup>
	0.310	0.83	12/16/20	3.10	...	-2.27	887 of 962 - Sale <sup>25</sup>
	0.300	0.81	12/16/20	3.06	...	-2.25	888 of 962 - Sale <sup>25</sup>
	0.300	0.81	12/16/20	3.03	...	-2.22	889 of 962 - Sale <sup>25</sup>
	0.220	0.59	12/16/20	2.56	...	-1.97	890 of 962 - Sale <sup>25</sup>
	0.220	0.59	12/16/20	2.41	...	-1.82	891 of 962 - Sale <sup>25</sup>
	0.220	0.59	12/16/20	2.40	...	-1.81	892 of 962 - Sale <sup>25</sup>
	0.220	0.59	12/16/20	2.36	...	-1.77	893 of 962 - Sale <sup>25</sup>
	0.215	0.58	12/16/20	2.34	...	-1.76	894 of 962 - Sale <sup>25</sup>
	0.220	0.59	12/16/20	2.34	...	-1.75	895 of 962 - Sale <sup>25</sup>
	0.220	0.59	12/16/20	2.33	...	-1.74	896 of 962 - Sale <sup>25</sup>

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OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
0.220		0.59	12/16/20	2.31	...	-1.72	897 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.29	...	-1.70	898 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.29	...	-1.70	899 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.29	...	-1.70	900 of 962 - Sale <sup>25</sup>
0.210		0.56	12/16/20	2.24	...	-1.68	901 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.27	...	-1.68	902 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.27	...	-1.68	903 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.25	...	-1.66	904 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.24	...	-1.65	905 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.20	...	-1.61	906 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.18	...	-1.59	907 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.18	...	-1.59	908 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.13	...	-1.54	909 of 962 - Sale <sup>25</sup>
0.205		0.55	12/16/20	2.08	...	-1.53	910 of 962 - Sale <sup>25</sup>
0.190		0.51	12/16/20	2.02	...	-1.51	911 of 962 - Sale <sup>25</sup>
0.190		0.51	12/16/20	2.01	...	-1.50	912 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.09	...	-1.50	913 of 962 - Sale <sup>25</sup>
0.210		0.56	12/16/20	2.01	...	-1.45	914 of 962 - Sale <sup>25</sup>
0.220		0.59	12/16/20	2.02	...	-1.43	915 of 962 - Sale <sup>25</sup>
0.125		0.34	12/16/20	1.71	...	-1.37	916 of 962 - Sale <sup>25</sup>
0.120		0.32	12/16/20	1.68	...	-1.36	917 of 962 - Sale <sup>25</sup>
0.120		0.32	12/16/20	1.67	...	-1.35	918 of 962 - Sale <sup>25</sup>
0.140		0.38	12/16/20	1.70	...	-1.32	919 of 962 - Sale <sup>25</sup>
0.140		0.38	12/16/20	1.48	...	-1.10	920 of 962 - Sale <sup>25</sup>
0.140		0.38	12/16/20	1.48	...	-1.10	921 of 962 - Sale <sup>25</sup>
0.160		0.43	12/16/20	1.50	...	-1.07	922 of 962 - Sale <sup>25</sup>
0.095		0.26	12/16/20	1.32	...	-1.06	923 of 962 - Sale <sup>25</sup>
0.140		0.38	12/16/20	1.43	...	-1.05	924 of 962 - Sale <sup>25</sup>
0.140		0.38	12/16/20	1.39	...	-1.01	925 of 962 - Sale <sup>25</sup>
0.140		0.38	12/16/20	1.30	...	-0.92	926 of 962 - Sale <sup>25</sup>
0.105		0.28	12/16/20	1.14	...	-0.86	927 of 962 - Sale <sup>25</sup>
0.130		0.35	12/16/20	1.21	...	-0.86	928 of 962 - Sale <sup>25</sup>
0.100		0.27	12/16/20	1.12	...	-0.85	929 of 962 - Sale <sup>25</sup>
0.100		0.27	12/16/20	1.09	...	-0.82	930 of 962 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
	0.100	0.27	12/16/20	1.09	...	-0.82	931 of 962 - Sale <sup>25</sup>
	0.100	0.27	12/16/20	1.09	...	-0.82	932 of 962 - Sale <sup>25</sup>
	0.100	0.27	12/16/20	1.08	...	-0.81	933 of 962 - Sale <sup>25</sup>
	0.090	0.24	12/16/20	0.91	...	-0.67	934 of 962 - Sale <sup>25</sup>
	0.100	0.27	12/16/20	0.91	...	-0.64	935 of 962 - Sale <sup>25</sup>
	0.080	0.22	12/16/20	0.81	...	-0.59	936 of 962 - Sale <sup>25</sup>
	0.090	0.24	12/16/20	0.82	...	-0.58	937 of 962 - Sale <sup>25</sup>
	0.070	0.19	12/16/20	0.75	...	-0.56	938 of 962 - Sale <sup>25</sup>
	0.090	0.24	12/16/20	0.78	...	-0.54	939 of 962 - Sale <sup>25</sup>
	0.090	0.24	12/16/20	0.67	...	-0.43	940 of 962 - Sale <sup>25</sup>
	0.030	0.08	12/16/20	0.33	...	-0.25	941 of 962 - Sale <sup>25</sup>
	0.030	0.08	12/16/20	0.33	...	-0.25	942 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	943 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	944 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	945 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	946 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	947 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	948 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	949 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	950 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	951 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	952 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	953 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	954 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	955 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	956 of 962 - Sale <sup>25</sup>
	0.010	0.03	12/16/20	0.10	...	-0.07	957 of 962 - Sale <sup>25</sup>
	100.000	268.96	12/17/20	551.50	...	-282.54	958 of 962 - Sale <sup>25</sup>
	68.000	182.89	12/17/20	369.57	...	-186.68	959 of 962 - Sale <sup>25</sup>
	32.000	86.07	12/17/20	176.48	...	-90.41	960 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/17/20	10.78	...	-5.40	961 of 962 - Sale <sup>25</sup>
	2.000	5.38	12/17/20	10.77	...	-5.39	962 of 962 - Sale <sup>25</sup>
12/18/20	3,182.640	8,560.72	Various	29,683.12	...	-21,122.40	Total of 962 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol: (cont'd)							
11 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	1.000	2.69	12/07/20	11.64	8.95 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	23.24	5.72 W	-12.14	2 of 11 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.61	8.92 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.63	8.94 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.64	8.95 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.62	8.93 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	2.69	12/16/20	11.61	8.92 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.360	3.66	12/16/20	15.80	12.14 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	23.23	17.85 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	5.38	12/16/20	23.24	17.86 W	0.00	10 of 11 - Sale <sup>25</sup>
	9.000	24.21	12/16/20	104.77	80.56 W	0.00	11 of 11 - Sale <sup>25</sup>
12/18/20	22.360	60.15	Various	260.03	187.74 W	-12.14	Total of 11 transactions
	<b>Security total:</b>	<b>981,853.18</b>		<b>1,302,907.40</b>	<b>294,583.12 W</b>	<b>-26,471.10</b>	
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol:							
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	5.000	413.02	04/22/19	365.50	...	47.52	1 of 2 - Sale <sup>25</sup>
	2.000	165.21	05/01/19	152.56	...	12.65	2 of 2 - Sale <sup>25</sup>
02/04/20	7.000	578.23	Various	518.06	...	60.17	Total of 2 transactions
7 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	72.48	05/03/19	68.70	...	3.78	1 of 7 - Sale <sup>25</sup>
	5.000	362.38	06/26/19	352.49	...	9.89	2 of 7 - Sale <sup>25</sup>
	10.000	724.76	08/20/19	684.70	...	40.06	3 of 7 - Sale <sup>25</sup>
	2.000	144.95	08/26/19	134.20	...	10.75	4 of 7 - Sale <sup>25</sup>
	5.000	362.38	09/11/19	322.70	...	39.68	5 of 7 - Sale <sup>25</sup>
	5.000	362.38	09/24/19	310.15	...	52.23	6 of 7 - Sale <sup>25</sup>
	5.000	362.37	10/07/19	288.85	...	73.52	7 of 7 - Sale <sup>25</sup>
02/27/20	33.000	2,391.70	Various	2,161.79	...	229.91	Total of 7 transactions
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	3.000	217.43	05/01/19	228.84	11.41 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	289.90	07/01/19	293.84	3.94 W	0.00	2 of 2 - Sale <sup>25</sup>
02/27/20	7.000	507.33	Various	522.68	15.35 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	3.000	202.52	02/28/20	215.41	12.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	270.02	02/28/20	275.94	5.92 W	0.00	2 of 3 - Sale <sup>25</sup>
	33.000	2,227.70	02/28/20	2,244.00	16.30 W	0.00	3 of 3 - Sale <sup>25</sup>
02/28/20	40.000	2,700.24	Various	2,735.35	35.11 W	0.00	Total of 3 transactions
10 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	3.000	206.91	03/03/20	222.74	15.83 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	275.88	03/03/20	285.72	9.84 W	0.00	2 of 10 - Sale <sup>25</sup>
	18.000	1,241.46	03/03/20	1,267.99	26.53 W	0.00	3 of 10 - Sale <sup>25</sup>
	2.000	137.94	03/03/20	140.45	2.51 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	68.97	03/03/20	70.19	1.22 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	68.97	03/03/20	70.19	1.22 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	68.97	03/03/20	69.96	0.99 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	68.97	03/03/20	69.97	1.00 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.000	137.94	03/03/20	139.89	1.95 W	0.00	9 of 10 - Sale <sup>25</sup>
	2.000	137.94	03/03/20	139.89	1.95 W	0.00	10 of 10 - Sale <sup>25</sup>
03/03/20	35.000	2,413.95	Various	2,476.99	63.04 W	0.00	Total of 10 transactions
03/06/20	4.000	241.13	03/06/20	241.92	...	-0.79	Sale <sup>25</sup>
12 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	3.000	180.84	03/04/20	224.80	43.96 W	0.00	1 of 12 - Sale <sup>25</sup>
	4.000	241.13	03/04/20	288.47	47.34 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	60.28	03/04/20	70.88	10.60 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	60.28	03/04/20	70.88	10.60 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	60.28	03/04/20	70.65	10.37 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	60.28	03/04/20	70.66	10.38 W	0.00	6 of 12 - Sale <sup>25</sup>
	2.000	120.56	03/04/20	141.82	21.26 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	120.56	03/04/20	141.26	20.70 W	0.00	8 of 12 - Sale <sup>25</sup>
	2.000	120.56	03/04/20	141.26	20.70 W	0.00	9 of 12 - Sale <sup>25</sup>
	5.000	301.41	03/04/20	350.76	49.35 W	0.00	10 of 12 - Sale <sup>25</sup>
	18.000	1,085.06	03/04/20	1,280.36	195.30 W	0.00	11 of 12 - Sale <sup>25</sup>
	1.000	60.28	03/06/20	60.50	0.22 W	0.00	12 of 12 - Sale <sup>25</sup>
03/06/20	41.000	2,471.52	Various	2,912.30	440.78 W	0.00	Total of 12 transactions

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
16 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	3.000	109.74	03/24/20	173.62	63.88 W	0.00	1 of 16 - Sale <sup>25</sup>
	2.000	73.16	03/24/20	110.11	36.95 W	0.00	2 of 16 - Sale <sup>25</sup>
	2.000	73.16	03/24/20	115.61	42.45 W	0.00	3 of 16 - Sale <sup>25</sup>
	3.000	109.74	03/24/20	167.52	57.78 W	0.00	4 of 16 - Sale <sup>25</sup>
	2.000	73.16	03/25/20	122.24	49.08 W	0.00	5 of 16 - Sale <sup>25</sup>
	8.000	292.62	03/25/20	496.80	204.18 W	0.00	6 of 16 - Sale <sup>25</sup>
	5.000	182.89	03/25/20	309.25	126.36 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	36.60	03/25/20	61.10	24.50 W	0.00	8 of 16 - Sale <sup>25</sup>
	1.000	36.60	03/25/20	61.10	24.50 W	0.00	9 of 16 - Sale <sup>25</sup>
	1.000	36.60	03/25/20	60.87	24.27 W	0.00	10 of 16 - Sale <sup>25</sup>
	2.000	73.19	03/25/20	122.26	49.07 W	0.00	11 of 16 - Sale <sup>25</sup>
	5.000	182.98	03/25/20	306.75	123.77 W	0.00	12 of 16 - Sale <sup>25</sup>
	1.000	36.59	04/01/20	55.24	18.65 W	0.00	13 of 16 - Sale <sup>25</sup>
	1.000	36.60	04/01/20	54.85	18.25 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	36.59	04/01/20	52.35	15.76 W	0.00	15 of 16 - Sale <sup>25</sup>
	1.000	36.60	04/02/20	51.85	15.25 W	0.00	16 of 16 - Sale <sup>25</sup>
04/03/20	39.000	1,426.82	Various	2,321.52	894.70 W	0.00	Total of 16 transactions
3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	54.61	04/02/20	51.35	...	3.26	1 of 3 - Sale <sup>25</sup>
	1.000	54.61	04/02/20	40.22	...	14.39	2 of 3 - Sale <sup>25</sup>
	5.000	273.07	04/15/20	260.00	...	13.07	3 of 3 - Sale <sup>25</sup>
04/21/20	7.000	382.29	Various	351.57	...	30.72	Total of 3 transactions
20 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	2.000	109.22	04/07/20	138.35	29.13 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/07/20	68.79	14.18 W	0.00	2 of 20 - Sale <sup>25</sup>
	2.000	109.22	04/07/20	131.95	22.73 W	0.00	3 of 20 - Sale <sup>25</sup>
	2.000	109.22	04/07/20	137.45	28.23 W	0.00	4 of 20 - Sale <sup>25</sup>
	3.000	163.83	04/07/20	200.28	36.45 W	0.00	5 of 20 - Sale <sup>25</sup>
	2.000	109.22	04/07/20	144.08	34.86 W	0.00	6 of 20 - Sale <sup>25</sup>
	2.000	109.22	04/07/20	145.54	36.32 W	0.00	7 of 20 - Sale <sup>25</sup>
	8.000	436.89	04/07/20	584.18	147.29 W	0.00	8 of 20 - Sale <sup>25</sup>
	2.000	109.22	04/07/20	148.69	39.47 W	0.00	9 of 20 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
	3.000	163.83	04/07/20	224.59	60.76 W	0.00	10 of 20 - Sale <sup>25</sup>
	2.000	109.22	04/07/20	140.85	31.63 W	0.00	11 of 20 - Sale <sup>25</sup>
	3.000	163.84	04/07/20	211.93	48.09 W	0.00	12 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/14/20	80.39	25.78 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/14/20	80.39	25.78 W	0.00	14 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/14/20	80.16	25.55 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/14/20	74.54	19.93 W	0.00	16 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/14/20	74.14	19.53 W	0.00	17 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/14/20	71.65	17.04 W	0.00	18 of 20 - Sale <sup>25</sup>
	1.000	54.61	04/14/20	71.14	16.53 W	0.00	19 of 20 - Sale <sup>25</sup>
	9.000	491.51	04/14/20	503.01	11.50 W	0.00	20 of 20 - Sale <sup>25</sup>
04/21/20	48.000	2,621.32	Various	3,312.10	690.78 W	0.00	Total of 20 transactions
	23 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	2.000	111.02	04/22/20	140.81	29.79 W	0.00	1 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	69.66	14.15 W	0.00	2 of 23 - Sale <sup>25</sup>
	2.000	111.02	04/22/20	133.61	22.59 W	0.00	3 of 23 - Sale <sup>25</sup>
	2.000	111.02	04/22/20	139.11	28.09 W	0.00	4 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	67.59	12.08 W	0.00	5 of 23 - Sale <sup>25</sup>
	2.000	111.02	04/22/20	135.28	24.26 W	0.00	6 of 23 - Sale <sup>25</sup>
	2.000	111.02	04/22/20	145.84	34.82 W	0.00	7 of 23 - Sale <sup>25</sup>
	6.000	333.05	04/22/20	443.41	110.36 W	0.00	8 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	74.01	18.50 W	0.00	9 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	74.01	18.50 W	0.00	10 of 23 - Sale <sup>25</sup>
	2.000	111.02	04/22/20	147.52	36.50 W	0.00	11 of 23 - Sale <sup>25</sup>
	2.000	111.02	04/22/20	150.67	39.65 W	0.00	12 of 23 - Sale <sup>25</sup>
	2.000	111.02	04/22/20	142.83	31.81 W	0.00	13 of 23 - Sale <sup>25</sup>
	3.000	166.52	04/22/20	227.56	61.04 W	0.00	14 of 23 - Sale <sup>25</sup>
	3.000	166.52	04/22/20	214.89	48.37 W	0.00	15 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	81.38	25.87 W	0.00	16 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	81.38	25.87 W	0.00	17 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	81.15	25.64 W	0.00	18 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	75.53	20.02 W	0.00	19 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	75.13	19.62 W	0.00	20 of 23 - Sale <sup>25</sup>
	1.000	55.51	04/22/20	72.64	17.13 W	0.00	21 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
	1.000	55.50	04/22/20	72.13	16.63 W	0.00	22 of 23 - Sale <sup>25</sup>
	4.000	222.02	04/22/20	227.51	5.49 W	0.00	23 of 23 - Sale <sup>25</sup>
05/01/20	43.000	2,386.87	Various	3,073.65	686.78 W	0.00	Total of 23 transactions
	4 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	56.29	05/04/20	55.50	...	0.79	1 of 4 - Sale <sup>25</sup>
	3.000	168.88	05/04/20	166.81	...	2.07	2 of 4 - Sale <sup>25</sup>
	2.000	112.59	05/04/20	108.46	...	4.13	3 of 4 - Sale <sup>25</sup>
	2.000	112.59	05/04/20	107.78	...	4.81	4 of 4 - Sale <sup>25</sup>
05/05/20	8.000	450.35	Various	438.55	...	11.80	Total of 4 transactions
	25 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	56.29	05/01/20	70.55	14.26 W	0.00	1 of 25 - Sale <sup>25</sup>
	2.000	112.58	05/01/20	142.60	30.02 W	0.00	2 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/01/20	67.70	11.41 W	0.00	3 of 25 - Sale <sup>25</sup>
	5.000	281.46	05/01/20	288.40	6.94 W	0.00	4 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/01/20	67.63	11.34 W	0.00	5 of 25 - Sale <sup>25</sup>
	2.000	112.58	05/01/20	140.77	28.19 W	0.00	6 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/01/20	68.42	12.13 W	0.00	7 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/01/20	68.47	12.18 W	0.00	8 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/01/20	68.38	12.09 W	0.00	9 of 25 - Sale <sup>25</sup>
	2.000	112.58	05/01/20	150.02	37.44 W	0.00	10 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/01/20	76.10	19.81 W	0.00	11 of 25 - Sale <sup>25</sup>
	6.000	337.75	05/01/20	455.96	118.21 W	0.00	12 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/01/20	76.10	19.81 W	0.00	13 of 25 - Sale <sup>25</sup>
	2.000	112.58	05/01/20	151.70	39.12 W	0.00	14 of 25 - Sale <sup>25</sup>
	3.000	168.87	05/01/20	233.84	64.97 W	0.00	15 of 25 - Sale <sup>25</sup>
	2.000	112.58	05/04/20	148.49	35.91 W	0.00	16 of 25 - Sale <sup>25</sup>
	3.000	168.87	05/04/20	211.63	42.76 W	0.00	17 of 25 - Sale <sup>25</sup>
	2.000	112.58	05/04/20	140.41	27.83 W	0.00	18 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/04/20	80.17	23.88 W	0.00	19 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/04/20	80.17	23.88 W	0.00	20 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/04/20	79.94	23.65 W	0.00	21 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/04/20	74.15	17.86 W	0.00	22 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/04/20	73.75	17.46 W	0.00	23 of 25 - Sale <sup>25</sup>
	1.000	56.29	05/04/20	71.26	14.97 W	0.00	24 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
	1.000	56.29	05/04/20	70.76	14.47 W	0.00	25 of 25 - Sale <sup>25</sup>
05/05/20	44.000	2,476.78	Various	3,157.37	680.59 W	0.00	Total of 25 transactions
	20 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	1.000	52.30	05/06/20	71.58	19.28 W	0.00	1 of 20 - Sale <sup>25</sup>
	2.000	104.59	05/06/20	144.66	40.07 W	0.00	2 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/06/20	68.73	16.43 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/06/20	58.71	6.41 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/06/20	58.28	5.98 W	0.00	5 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/06/20	57.98	5.68 W	0.00	6 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/06/20	58.77	6.47 W	0.00	7 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/12/20	63.29	10.99 W	0.00	8 of 20 - Sale <sup>25</sup>
	2.000	104.59	05/12/20	132.09	27.50 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	52.29	05/12/20	64.08	11.79 W	0.00	10 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/12/20	53.33	1.03 W	0.00	11 of 20 - Sale <sup>25</sup>
	1.000	52.29	05/13/20	60.33	8.04 W	0.00	12 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/13/20	60.69	8.39 W	0.00	13 of 20 - Sale <sup>25</sup>
	2.000	104.59	05/13/20	134.64	30.05 W	0.00	14 of 20 - Sale <sup>25</sup>
	2.000	104.59	05/13/20	136.60	32.01 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	52.29	05/14/20	66.20	13.91 W	0.00	16 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/14/20	65.90	13.60 W	0.00	17 of 20 - Sale <sup>25</sup>
	1.000	52.29	05/15/20	69.70	17.41 W	0.00	18 of 20 - Sale <sup>25</sup>
	1.000	52.30	05/15/20	70.27	17.97 W	0.00	19 of 20 - Sale <sup>25</sup>
	1.000	52.29	05/15/20	70.73	18.44 W	0.00	20 of 20 - Sale <sup>25</sup>
05/15/20	24.000	1,255.11	Various	1,566.56	311.45 W	0.00	Total of 20 transactions
	14 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	63.38	05/22/20	82.48	19.10 W	0.00	1 of 14 - Sale <sup>25</sup>
	2.000	126.76	05/22/20	164.46	37.70 W	0.00	2 of 14 - Sale <sup>25</sup>
	3.000	190.14	05/22/20	252.98	62.84 W	0.00	3 of 14 - Sale <sup>25</sup>
	2.000	126.76	05/22/20	161.25	34.49 W	0.00	4 of 14 - Sale <sup>25</sup>
	2.000	126.76	05/22/20	153.84	27.08 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	63.38	05/22/20	77.83	14.45 W	0.00	6 of 14 - Sale <sup>25</sup>
	2.000	126.76	05/22/20	154.99	28.23 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	63.38	05/22/20	87.46	24.08 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	63.38	05/22/20	87.46	24.08 W	0.00	9 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
	1.000	63.38	05/22/20	87.23	23.85 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	63.38	05/22/20	81.44	18.06 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	63.39	05/22/20	81.03	17.64 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	63.38	05/22/20	78.55	15.17 W	0.00	13 of 14 - Sale <sup>25</sup>
	1.000	63.39	05/22/20	78.04	14.65 W	0.00	14 of 14 - Sale <sup>25</sup>
05/22/20	20.000	1,267.62	Various	1,629.04	361.42 W	0.00	Total of 14 transactions
<i>9 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	128.78	05/26/20	171.83	...	-43.05	1 of 9 - Sale <sup>25</sup>
	1.000	64.39	05/26/20	85.16	...	-20.77	2 of 9 - Sale <sup>25</sup>
	1.000	64.39	05/26/20	82.31	...	-17.92	3 of 9 - Sale <sup>25</sup>
	1.000	64.39	05/26/20	79.64	...	-15.25	4 of 9 - Sale <sup>25</sup>
	1.000	64.39	05/26/20	79.38	...	-14.99	5 of 9 - Sale <sup>25</sup>
	1.000	64.39	05/26/20	77.42	...	-13.03	6 of 9 - Sale <sup>25</sup>
	1.000	64.39	05/26/20	76.87	...	-12.48	7 of 9 - Sale <sup>25</sup>
	1.000	64.40	05/26/20	74.02	...	-9.62	8 of 9 - Sale <sup>25</sup>
	1.000	64.39	05/26/20	73.68	...	-9.29	9 of 9 - Sale <sup>25</sup>
05/26/20	10.000	643.91	Various	800.31	...	-156.40	Total of 9 transactions
<i>47 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	188.000	12,265.81	05/26/20	12,336.09	60.19 W	-10.09	1 of 47 - Sale <sup>25</sup>
	1.000	65.46	05/26/20	84.99	19.53 W	0.00	2 of 47 - Sale <sup>25</sup>
	2.000	130.92	05/26/20	171.49	40.57 W	0.00	3 of 47 - Sale <sup>25</sup>
	1.000	65.46	05/26/20	82.14	16.68 W	0.00	4 of 47 - Sale <sup>25</sup>
	1.000	65.46	05/26/20	76.70	11.24 W	0.00	5 of 47 - Sale <sup>25</sup>
	1.000	65.46	05/26/20	79.46	14.00 W	0.00	6 of 47 - Sale <sup>25</sup>
	1.000	65.46	05/26/20	72.12	6.66 W	0.00	7 of 47 - Sale <sup>25</sup>
	1.000	65.45	05/26/20	71.69	6.24 W	0.00	8 of 47 - Sale <sup>25</sup>
	1.000	65.46	05/26/20	71.39	5.93 W	0.00	9 of 47 - Sale <sup>25</sup>
	1.000	65.45	05/26/20	72.18	6.73 W	0.00	10 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	85.64	20.40 W	0.00	11 of 47 - Sale <sup>25</sup>
	2.000	130.49	05/26/20	172.79	42.30 W	0.00	12 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	82.79	17.55 W	0.00	13 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	79.86	14.62 W	0.00	14 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	77.35	12.11 W	0.00	15 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	80.11	14.87 W	0.00	16 of 47 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
	1.000	65.24	05/26/20	77.90	12.66 W	0.00	17 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	74.15	8.91 W	0.00	18 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	74.50	9.26 W	0.00	19 of 47 - Sale <sup>25</sup>
	2.000	130.49	05/26/20	162.27	31.78 W	0.00	20 of 47 - Sale <sup>25</sup>
	2.000	130.49	05/26/20	164.23	33.74 W	0.00	21 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	80.02	14.78 W	0.00	22 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	79.71	14.47 W	0.00	23 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	83.52	18.28 W	0.00	24 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	84.08	18.84 W	0.00	25 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	84.55	19.31 W	0.00	26 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	85.21	19.97 W	0.00	27 of 47 - Sale <sup>25</sup>
	2.000	130.49	05/26/20	169.92	39.43 W	0.00	28 of 47 - Sale <sup>25</sup>
	3.000	195.73	05/26/20	261.17	65.44 W	0.00	29 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	80.56	15.32 W	0.00	30 of 47 - Sale <sup>25</sup>
	2.000	130.49	05/26/20	166.71	36.22 W	0.00	31 of 47 - Sale <sup>25</sup>
	2.000	130.49	05/26/20	159.30	28.81 W	0.00	32 of 47 - Sale <sup>25</sup>
	2.000	130.49	05/26/20	160.45	29.96 W	0.00	33 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	90.19	24.95 W	0.00	34 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	90.19	24.95 W	0.00	35 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	89.96	24.72 W	0.00	36 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	84.17	18.93 W	0.00	37 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	83.75	18.51 W	0.00	38 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	81.28	16.04 W	0.00	39 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	80.76	15.52 W	0.00	40 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	72.77	7.53 W	0.00	41 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	72.35	7.11 W	0.00	42 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	72.04	6.80 W	0.00	43 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	72.84	7.60 W	0.00	44 of 47 - Sale <sup>25</sup>
	1.000	65.24	05/26/20	67.14	1.90 W	0.00	45 of 47 - Sale <sup>25</sup>
	56.000	3,653.64	05/26/20	3,702.16	48.52 W	0.00	46 of 47 - Sale <sup>25</sup>
	100.000	6,524.36	05/26/20	6,566.00	41.64 W	0.00	47 of 47 - Sale <sup>25</sup>
05/26/20	398.000	25,969.03	Various	26,970.64	991.52 W	-10.09	Total of 47 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
35 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	3.000	195.99	05/28/20	261.55	...	-65.56	1 of 35 - Sale <sup>25</sup>
	56.000	3,658.56	05/28/20	3,709.17	...	-50.61	2 of 35 - Sale <sup>25</sup>
	2.000	130.66	05/28/20	170.17	...	-39.51	3 of 35 - Sale <sup>25</sup>
	2.000	130.66	05/28/20	166.96	...	-36.30	4 of 35 - Sale <sup>25</sup>
	2.000	130.66	05/28/20	164.48	...	-33.82	5 of 35 - Sale <sup>25</sup>
	2.000	130.66	05/28/20	162.52	...	-31.86	6 of 35 - Sale <sup>25</sup>
	2.000	130.66	05/28/20	160.70	...	-30.04	7 of 35 - Sale <sup>25</sup>
	2.000	130.66	05/28/20	159.55	...	-28.89	8 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	90.32	...	-24.99	9 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	90.32	...	-24.99	10 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	90.09	...	-24.76	11 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	85.34	...	-20.01	12 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	84.68	...	-19.35	13 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	84.30	...	-18.97	14 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	84.21	...	-18.88	15 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	83.88	...	-18.55	16 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	83.65	...	-18.32	17 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	81.41	...	-16.08	18 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	80.89	...	-15.56	19 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	80.69	...	-15.36	20 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	80.15	...	-14.82	21 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	79.84	...	-14.51	22 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	72.97	...	-7.64	23 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	72.90	...	-7.57	24 of 35 - Sale <sup>25</sup>
	9.000	593.08	05/28/20	600.45	...	-7.37	25 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	72.48	...	-7.15	26 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	72.17	...	-6.84	27 of 35 - Sale <sup>25</sup>
	5.000	328.19	05/28/20	333.58	...	-5.39	28 of 35 - Sale <sup>25</sup>
	10.000	653.31	05/28/20	657.85	...	-4.54	29 of 35 - Sale <sup>25</sup>
	5.000	330.50	05/28/20	333.58	...	-3.08	30 of 35 - Sale <sup>25</sup>
	9.000	597.58	05/28/20	600.45	...	-2.87	31 of 35 - Sale <sup>25</sup>
	1.000	65.33	05/28/20	67.27	...	-1.94	32 of 35 - Sale <sup>25</sup>
	1.000	65.90	05/28/20	66.72	...	-0.82	33 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol: (cont'd)							
	1.000	65.63	05/28/20	66.32	...	-0.69	34 of 35 - Sale <sup>25</sup>
	1.000	66.40	05/28/20	66.72	...	-0.32	35 of 35 - Sale <sup>25</sup>
05/28/20	131.000	8,580.37	Various	9,218.33	...	-637.96	Total of 35 transactions
	2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	17.000	1,149.02	06/01/20	1,163.08	...	-14.06	1 of 2 - Sale <sup>25</sup>
	8.000	540.72	06/01/20	547.33	...	-6.61	2 of 2 - Sale <sup>25</sup>
06/01/20	25.000	1,689.74	Various	1,710.41	...	-20.67	Total of 2 transactions
	17 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	14.000	914.35	06/10/20	982.02	...	-67.67	1 of 17 - Sale <sup>25</sup>
	10.000	653.11	06/10/20	709.21	...	-56.10	2 of 17 - Sale <sup>25</sup>
	10.000	653.11	06/10/20	698.16	...	-45.05	3 of 17 - Sale <sup>25</sup>
	6.000	391.87	06/10/20	420.60	...	-28.73	4 of 17 - Sale <sup>25</sup>
	50.000	3,251.92	06/11/20	3,294.33	...	-42.41	5 of 17 - Sale <sup>25</sup>
	30.000	1,956.63	06/11/20	1,983.35	...	-26.72	6 of 17 - Sale <sup>25</sup>
	10.000	652.20	06/11/20	666.17	...	-13.97	7 of 17 - Sale <sup>25</sup>
	10.000	652.21	06/11/20	665.94	...	-13.73	8 of 17 - Sale <sup>25</sup>
	20.000	1,309.67	06/11/20	1,322.23	...	-12.56	9 of 17 - Sale <sup>25</sup>
	10.000	653.11	06/11/20	664.14	...	-11.03	10 of 17 - Sale <sup>25</sup>
	10.000	653.11	06/11/20	655.34	...	-2.23	11 of 17 - Sale <sup>25</sup>
	10.000	653.11	06/11/20	655.17	...	-2.06	12 of 17 - Sale <sup>25</sup>
	1.000	65.31	06/11/20	66.00	...	-0.69	13 of 17 - Sale <sup>25</sup>
	1.000	65.31	06/11/20	65.51	...	-0.20	14 of 17 - Sale <sup>25</sup>
	1.000	65.31	06/11/20	65.51	...	-0.20	15 of 17 - Sale <sup>25</sup>
	1.000	65.31	06/11/20	65.40	...	-0.09	16 of 17 - Sale <sup>25</sup>
	1.000	65.31	06/11/20	65.38	...	-0.07	17 of 17 - Sale <sup>25</sup>
06/11/20	195.000	12,720.95	Various	13,044.46	...	-323.51	Total of 17 transactions
	<b>Security total:</b>	<b>73,175.26</b>		<b>79,163.60</b>	<b>5,171.52 W</b>	<b>-816.82</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
	9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1,000.000	7,589.82	06/24/20	7,768.40	...	-178.58	1 of 9 - Sale <sup>25</sup>
	1,000.000	7,589.81	06/24/20	7,700.00	...	-110.19	2 of 9 - Sale <sup>25</sup>
	500.000	3,794.91	06/24/20	3,873.85	...	-78.94	3 of 9 - Sale <sup>25</sup>
	580.000	4,402.09	06/24/20	4,454.40	...	-52.31	4 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	50.000	379.49	06/24/20	386.89	...	-7.40	5 of 9 - Sale <sup>25</sup>
	10.000	75.90	06/24/20	77.45	...	-1.55	6 of 9 - Sale <sup>25</sup>
	3.000	22.77	06/24/20	23.22	...	-0.45	7 of 9 - Sale <sup>25</sup>
	1.000	7.58	06/24/20	7.75	...	-0.17	8 of 9 - Sale <sup>25</sup>
	1.000	7.59	06/24/20	7.74	...	-0.15	9 of 9 - Sale <sup>25</sup>
06/24/20	3,145.000	23,869.96	Various	24,299.70	...	-429.74	Total of 9 transactions
PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	5.000	546.64	03/31/20	556.75	...	-10.11	1 of 2 - Sale <sup>25</sup>
	5.000	546.63	03/31/20	550.00	...	-3.37	2 of 2 - Sale <sup>25</sup>
04/01/20	10.000	1,093.27	Various	1,106.75	...	-13.48	Total of 2 transactions
REKOR SYSTEMS, INC. COMMON STO CK / CUSIP: 759419104 / Symbol:							
3 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	100.000	397.25	07/22/19	311.00	...	86.25	1 of 3 - Sale <sup>25</sup>
	100.000	397.24	07/29/19	440.00	...	-42.76	2 of 3 - Sale <sup>25</sup>
	50.000	198.63	08/15/19	130.00	...	68.63	3 of 3 - Sale <sup>25</sup>
01/10/20	250.000	993.12	Various	881.00	...	112.12	Total of 3 transactions
3 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	50.000	197.57	08/15/19	130.00	...	67.57	1 of 3 - Sale <sup>25</sup>
	100.000	395.15	09/24/19	232.00	...	163.15	2 of 3 - Sale <sup>25</sup>
	100.000	395.15	11/15/19	238.00	...	157.15	3 of 3 - Sale <sup>25</sup>
01/13/20	250.000	987.87	Various	600.00	...	387.87	Total of 3 transactions
	<b>Security total:</b>	<b>1,980.99</b>		<b>1,481.00</b>	...	<b>499.99</b>	
SPDR DOW JONES INDUSTRIAL AVER AGE ETF TRUST / CUSIP: 78467X109 / Symbol:							
05/11/20	1.000	242.03	05/05/20	239.00	...	3.03	Sale <sup>25</sup>
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	5.000	1,281.97	06/11/20	1,264.50	...	17.47	1 of 2 - Sale <sup>25</sup>
	1.000	256.39	06/12/20	259.10	...	-2.71	2 of 2 - Sale <sup>25</sup>
06/15/20	6.000	1,538.36	Various	1,523.60	...	14.76	Total of 2 transactions
	<b>Security total:</b>	<b>1,780.39</b>		<b>1,762.60</b>	...	<b>17.79</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	447.34	05/06/19	256.92	...	190.42	1 of 2 - Sale <sup>25</sup>
	1.000	447.34	07/19/19	335.46	...	111.88	2 of 2 - Sale <sup>25</sup>
02/27/20	2.000	894.68	Various	592.38	...	302.30	Total of 2 transactions
3 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	447.34	01/16/20	449.77	2.43 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	447.34	01/16/20	450.99	3.65 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	447.33	02/26/20	478.45	31.12 W	0.00	3 of 3 - Sale <sup>25</sup>
02/27/20	3.000	1,342.01	Various	1,379.21	37.20 W	0.00	Total of 3 transactions
14 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	1.000	454.59	02/28/20	459.20	4.61 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	454.59	02/28/20	460.42	5.83 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	454.60	02/28/20	487.88	33.28 W	0.00	3 of 14 - Sale <sup>25</sup>
	2.000	909.19	02/28/20	913.53	4.34 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	445.92	02/28/20	456.20	10.28 W	0.00	5 of 14 - Sale <sup>25</sup>
	4.000	1,783.67	02/28/20	1,824.80	41.13 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	445.92	02/28/20	461.69	15.77 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	445.92	02/28/20	462.92	17.00 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	445.92	02/28/20	490.36	44.44 W	0.00	9 of 14 - Sale <sup>25</sup>
	2.000	891.83	02/28/20	918.51	26.68 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	445.92	02/28/20	455.00	9.08 W	0.00	11 of 14 - Sale <sup>25</sup>
	4.000	1,783.66	02/28/20	1,820.00	36.34 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	445.92	02/28/20	454.00	8.08 W	0.00	13 of 14 - Sale <sup>25</sup>
	4.000	1,783.67	02/28/20	1,816.00	32.33 W	0.00	14 of 14 - Sale <sup>25</sup>
02/28/20	25.000	11,191.32	Various	11,480.51	289.19 W	0.00	Total of 14 transactions
6 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	1.000	485.35	03/03/20	518.47	33.12 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	485.35	03/03/20	519.70	34.35 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	485.35	03/03/20	534.94	49.59 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	485.35	03/03/20	503.84	18.49 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	485.35	03/03/20	503.84	18.49 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	485.36	03/03/20	500.78	15.42 W	0.00	6 of 6 - Sale <sup>25</sup>
03/03/20	6.000	2,912.11	Various	3,081.57	169.46 W	0.00	Total of 6 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	479.19	03/04/20	499.83	20.64 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	479.19	03/04/20	498.83	19.64 W	0.00	2 of 4 - Sale <sup>25</sup>
	4.000	1,916.76	03/04/20	2,004.14	87.38 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	1,916.75	03/04/20	1,999.36	82.61 W	0.00	4 of 4 - Sale <sup>25</sup>
03/06/20	10.000	4,791.89	Various	5,002.16	210.27 W	0.00	Total of 4 transactions
03/11/20	3.000	1,300.68	03/10/20	1,371.34	70.66 W	0.00	Sale <sup>25</sup>
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	1.000	349.04	03/24/20	428.23	79.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	351.99	03/24/20	453.27	101.28 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	351.99	03/24/20	454.50	102.51 W	0.00	3 of 3 - Sale <sup>25</sup>
04/03/20	3.000	1,053.02	Various	1,336.00	282.98 W	0.00	Total of 3 transactions
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	379.17	04/01/20	359.70	...	19.47	1 of 3 - Sale <sup>25</sup>
	1.000	379.17	04/02/20	347.85	...	31.32	2 of 3 - Sale <sup>25</sup>
	1.000	379.17	04/02/20	345.00	...	34.17	3 of 3 - Sale <sup>25</sup>
04/07/20	3.000	1,137.51	Various	1,052.55	...	84.96	Total of 3 transactions
48 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	396.99	03/24/20	469.74	72.75 W	0.00	1 of 48 - Sale <sup>25</sup>
	1.000	396.99	03/24/20	438.64	41.65 W	0.00	2 of 48 - Sale <sup>25</sup>
	3.000	1,190.97	03/24/20	1,325.99	135.02 W	0.00	3 of 48 - Sale <sup>25</sup>
	1.000	379.17	03/24/20	438.64	59.47 W	0.00	4 of 48 - Sale <sup>25</sup>
	1.000	379.17	03/24/20	435.57	56.40 W	0.00	5 of 48 - Sale <sup>25</sup>
	1.000	379.17	03/24/20	435.65	56.48 W	0.00	6 of 48 - Sale <sup>25</sup>
	1.000	379.17	03/24/20	434.45	55.28 W	0.00	7 of 48 - Sale <sup>25</sup>
	1.000	379.17	03/24/20	436.43	57.26 W	0.00	8 of 48 - Sale <sup>25</sup>
	1.000	379.17	03/24/20	435.65	56.48 W	0.00	9 of 48 - Sale <sup>25</sup>
	1.000	379.17	03/24/20	445.93	66.76 W	0.00	10 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	417.65	38.48 W	0.00	11 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	416.64	37.47 W	0.00	12 of 48 - Sale <sup>25</sup>
	2.000	758.34	04/01/20	849.11	90.77 W	0.00	13 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	420.55	41.38 W	0.00	14 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	492.28	113.11 W	0.00	15 of 48 - Sale <sup>25</sup>

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(continued)

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SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	379.17	04/01/20	470.19	91.02 W	0.00	16 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	486.81	107.64 W	0.00	17 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	457.05	77.88 W	0.00	18 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	414.15	34.98 W	0.00	19 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	414.01	34.84 W	0.00	20 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	414.01	34.84 W	0.00	21 of 48 - Sale <sup>25</sup>
	1.000	379.17	04/01/20	411.90	32.73 W	0.00	22 of 48 - Sale <sup>25</sup>
	1.000	379.18	04/07/20	397.07	17.89 W	0.00	23 of 48 - Sale <sup>25</sup>
	3.000	1,137.52	04/07/20	1,188.75	51.23 W	0.00	24 of 48 - Sale <sup>25</sup>
	2.000	758.35	04/07/20	791.80	33.45 W	0.00	25 of 48 - Sale <sup>25</sup>
	1.000	379.18	04/07/20	396.00	16.82 W	0.00	26 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	498.26	116.75 W	0.00	27 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	492.79	111.28 W	0.00	28 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	419.99	38.48 W	0.00	29 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	419.99	38.48 W	0.00	30 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	417.88	36.37 W	0.00	31 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	463.03	81.52 W	0.00	32 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	420.13	38.62 W	0.00	33 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	441.63	60.12 W	0.00	34 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	440.43	58.92 W	0.00	35 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	442.41	60.90 W	0.00	36 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	441.63	60.12 W	0.00	37 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	451.91	70.40 W	0.00	38 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	476.17	94.66 W	0.00	39 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	444.62	63.11 W	0.00	40 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	441.55	60.04 W	0.00	41 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	423.63	42.12 W	0.00	42 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	422.62	41.11 W	0.00	43 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	426.53	45.02 W	0.00	44 of 48 - Sale <sup>25</sup>
	2.000	763.03	04/07/20	861.07	98.04 W	0.00	45 of 48 - Sale <sup>25</sup>
	1.000	381.52	04/07/20	401.39	19.87 W	0.00	46 of 48 - Sale <sup>25</sup>
	1.000	381.51	04/07/20	400.58	19.07 W	0.00	47 of 48 - Sale <sup>25</sup>
	1.000	381.52	04/07/20	400.58	19.06 W	0.00	48 of 48 - Sale <sup>25</sup>
04/07/20	55.000	20,997.34	Various	23,783.48	2,786.14 W	0.00	Total of 48 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
04/14/20	1.000	493.88	04/07/20	392.37	...	101.51	Sale <sup>25</sup>
	11 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	493.88	04/14/20	501.27	7.39 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	493.88	04/14/20	514.22	20.34 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	493.88	04/14/20	514.32	20.44 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	493.88	04/14/20	615.25	121.37 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	493.88	04/14/20	609.78	115.90 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	493.89	04/14/20	536.98	43.09 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	493.88	04/14/20	536.98	43.10 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	493.89	04/14/20	534.87	40.98 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	493.88	04/14/20	580.02	86.14 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	493.89	04/14/20	535.12	41.23 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	497.00	04/14/20	555.12	58.12 W	0.00	11 of 11 - Sale <sup>25</sup>
04/14/20	11.000	5,435.83	Various	6,033.93	598.10 W	0.00	Total of 11 transactions
	9 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	590.54	04/14/20	570.90	...	19.64	1 of 9 - Sale <sup>25</sup>
	1.000	590.54	04/14/20	563.36	...	27.18	2 of 9 - Sale <sup>25</sup>
	1.000	590.54	04/14/20	560.95	...	29.59	3 of 9 - Sale <sup>25</sup>
	1.000	590.54	04/14/20	560.29	...	30.25	4 of 9 - Sale <sup>25</sup>
	1.000	590.54	04/14/20	557.90	...	32.64	5 of 9 - Sale <sup>25</sup>
	1.000	590.54	04/14/20	555.92	...	34.62	6 of 9 - Sale <sup>25</sup>
	1.000	590.54	04/14/20	544.02	...	46.52	7 of 9 - Sale <sup>25</sup>
	1.000	590.54	04/14/20	537.12	...	53.42	8 of 9 - Sale <sup>25</sup>
	1.000	590.55	04/14/20	536.11	...	54.44	9 of 9 - Sale <sup>25</sup>
04/21/20	9.000	5,314.87	Various	4,986.57	...	328.30	Total of 9 transactions
04/21/20	1.000	590.54	04/14/20	595.16	4.62 W	0.00	Sale <sup>25</sup>
	11 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	605.45	04/22/20	655.02	49.57 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	605.45	04/22/20	651.02	45.57 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	605.44	04/22/20	625.87	20.43 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	605.45	04/22/20	625.07	19.62 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	605.44	04/22/20	625.06	19.62 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	605.45	04/22/20	621.39	15.94 W	0.00	6 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	605.44	04/22/20	738.37	132.93 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	605.45	04/22/20	732.90	127.45 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	605.44	04/22/20	637.34	31.90 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	605.45	04/22/20	637.44	31.99 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	605.44	04/22/20	653.09	47.65 W	0.00	11 of 11 - Sale <sup>25</sup>
05/01/20	11.000	6,659.90	Various	7,202.57	542.67 W	0.00	Total of 11 transactions
	8 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	686.65	05/01/20	660.62	...	26.03	1 of 8 - Sale <sup>25</sup>
	1.000	686.64	05/01/20	655.57	...	31.07	2 of 8 - Sale <sup>25</sup>
	1.000	686.65	05/01/20	649.57	...	37.08	3 of 8 - Sale <sup>25</sup>
	1.000	686.64	05/01/20	646.10	...	40.54	4 of 8 - Sale <sup>25</sup>
	1.000	686.65	05/01/20	643.98	...	42.67	5 of 8 - Sale <sup>25</sup>
	1.000	686.65	05/01/20	643.23	...	43.42	6 of 8 - Sale <sup>25</sup>
	1.000	686.64	05/01/20	624.43	...	62.21	7 of 8 - Sale <sup>25</sup>
	1.000	686.64	05/01/20	607.12	...	79.52	8 of 8 - Sale <sup>25</sup>
05/05/20	8.000	5,493.16	Various	5,130.62	...	362.54	Total of 8 transactions
	7 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	686.64	05/01/20	688.14	1.50 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	684.48	05/05/20	699.62	15.14 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	684.48	05/05/20	699.62	15.14 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	684.48	05/05/20	819.93	135.45 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	684.49	05/05/20	702.94	18.45 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	684.48	05/05/20	813.45	128.97 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	684.49	05/05/20	717.90	33.41 W	0.00	7 of 7 - Sale <sup>25</sup>
05/05/20	7.000	4,793.54	Various	5,141.60	348.06 W	0.00	Total of 7 transactions
	8 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	1.000	706.84	05/06/20	694.45	...	12.39	1 of 8 - Sale <sup>25</sup>
	1.000	706.56	05/06/20	693.91	...	12.65	2 of 8 - Sale <sup>25</sup>
	1.000	706.84	05/06/20	694.14	...	12.70	3 of 8 - Sale <sup>25</sup>
	1.000	706.55	05/06/20	693.46	...	13.09	4 of 8 - Sale <sup>25</sup>
	1.000	706.84	05/06/20	682.50	...	24.34	5 of 8 - Sale <sup>25</sup>
	1.000	706.55	05/06/20	678.15	...	28.40	6 of 8 - Sale <sup>25</sup>
	1.000	707.48	05/06/20	670.87	...	36.61	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
05/08/20	2.000	1,414.97	05/06/20	1,338.00	...	76.97	8 of 8 - Sale <sup>25</sup>
05/08/20	9.000	6,362.63	Various	6,145.48	...	217.15	Total of 8 transactions
	7 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	1.000	706.84	05/06/20	727.65	20.81 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	706.84	05/06/20	711.99	5.15 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	706.84	05/06/20	814.46	107.62 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	706.56	05/06/20	803.98	97.42 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	706.55	05/06/20	708.42	1.87 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	707.48	05/06/20	776.62	69.14 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	707.49	05/06/20	766.42	58.93 W	0.00	7 of 7 - Sale <sup>25</sup>
05/08/20	7.000	4,948.60	Various	5,309.54	360.94 W	0.00	Total of 7 transactions
05/14/20	3.000	2,235.40	05/08/20	2,127.00	...	108.40	Sale <sup>25</sup>
	2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	745.13	05/08/20	778.14	33.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	745.13	05/08/20	767.93	22.80 W	0.00	2 of 2 - Sale <sup>25</sup>
05/14/20	2.000	1,490.26	Various	1,546.07	55.81 W	0.00	Total of 2 transactions
	7 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	1.000	758.74	05/08/20	742.01	...	16.73	1 of 7 - Sale <sup>25</sup>
	1.000	758.73	05/08/20	731.80	...	26.93	2 of 7 - Sale <sup>25</sup>
	1.000	758.73	05/08/20	708.50	...	50.23	3 of 7 - Sale <sup>25</sup>
	1.000	758.74	05/08/20	707.75	...	50.99	4 of 7 - Sale <sup>25</sup>
	3.000	2,276.21	05/08/20	2,127.00	...	149.21	5 of 7 - Sale <sup>25</sup>
	0.031	23.96	05/15/20	23.94	...	0.02	6 of 7 - Sale <sup>25</sup>
	0.621	477.03	05/15/20	476.60	...	0.43	7 of 7 - Sale <sup>25</sup>
05/15/20	7.652	5,812.14	Various	5,517.60	...	294.54	Total of 7 transactions
	4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	1.000	761.67	05/15/20	762.50	0.83 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	1,523.35	05/15/20	1,525.00	1.65 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	2,285.03	05/15/20	2,289.00	3.97 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	758.68	05/15/20	759.83	1.15 W	0.00	4 of 4 - Sale <sup>25</sup>
05/15/20	7.000	5,328.73	Various	5,336.33	7.60 W	0.00	Total of 4 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	0.348	269.71	05/20/20	268.96	...	0.75	1 of 2 - Sale <sup>25</sup>
	0.652	506.27	05/20/20	505.19	...	1.08	2 of 2 - Sale <sup>25</sup>
05/20/20	1.000	775.98	Various	774.15	...	1.83	Total of 2 transactions
05/20/20	1.000	761.18	05/20/20	762.81	1.63 W	0.00	Sale <sup>25</sup>
10 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	802.41	05/20/20	776.87	...	25.54	1 of 10 - Sale <sup>25</sup>
	1.000	802.40	05/20/20	774.63	...	27.77	2 of 10 - Sale <sup>25</sup>
	1.000	802.40	05/20/20	774.15	...	28.25	3 of 10 - Sale <sup>25</sup>
	1.000	802.40	05/20/20	772.66	...	29.74	4 of 10 - Sale <sup>25</sup>
	1.000	802.41	05/20/20	772.65	...	29.76	5 of 10 - Sale <sup>25</sup>
	1.000	802.40	05/20/20	772.27	...	30.13	6 of 10 - Sale <sup>25</sup>
	2.348	1,883.70	05/20/20	1,817.78	...	65.92	7 of 10 - Sale <sup>25</sup>
	4.652	3,733.13	05/20/20	3,596.33	...	136.80	8 of 10 - Sale <sup>25</sup>
	1.000	802.41	05/21/20	799.68	...	2.73	9 of 10 - Sale <sup>25</sup>
	1.000	802.40	05/21/20	797.89	...	4.51	10 of 10 - Sale <sup>25</sup>
05/21/20	15.000	12,036.06	Various	11,654.91	...	381.15	Total of 10 transactions
05/22/20	1.000	821.43	05/22/20	822.61	1.18 W	0.00	Sale <sup>25</sup>
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	786.26	05/26/20	789.41	3.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	7,076.33	05/26/20	7,094.08	17.75 W	0.00	2 of 2 - Sale <sup>25</sup>
05/26/20	10.000	7,862.59	Various	7,883.49	20.90 W	0.00	Total of 2 transactions
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	733.41	05/26/20	773.66	40.25 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	733.42	05/26/20	772.48	39.06 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	733.41	05/26/20	773.27	39.86 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	733.42	05/26/20	772.97	39.55 W	0.00	4 of 4 - Sale <sup>25</sup>
05/27/20	4.000	2,933.66	Various	3,092.38	158.72 W	0.00	Total of 4 transactions
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	757.98	05/28/20	757.98	...	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	2,273.95	05/28/20	2,273.92	...	0.03	2 of 3 - Sale <sup>25</sup>
	1.000	761.64	05/28/20	758.97	...	2.67	3 of 3 - Sale <sup>25</sup>
05/28/20	5.000	3,793.57	Various	3,790.87	...	2.70	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
8 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	757.98	05/28/20	758.97	0.99 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	757.98	05/28/20	796.25	38.27 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	765.15	05/28/20	805.11	39.96 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	764.22	05/28/20	805.91	41.69 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	764.22	05/28/20	805.60	41.38 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	764.23	05/28/20	804.32	40.09 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	764.22	05/28/20	767.04	2.82 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	764.23	05/28/20	805.96	41.73 W	0.00	8 of 8 - Sale <sup>25</sup>
05/28/20	8.000	6,102.23	Various	6,349.16	246.93 W	0.00	Total of 8 transactions
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	754.98	05/29/20	752.64	...	2.34	1 of 3 - Sale <sup>25</sup>
	1.000	753.02	05/29/20	750.00	...	3.02	2 of 3 - Sale <sup>25</sup>
	5.000	3,765.08	05/29/20	3,747.50	...	17.58	3 of 3 - Sale <sup>25</sup>
05/29/20	7.000	5,273.08	Various	5,250.14	...	22.94	Total of 3 transactions
59 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	752.22	05/29/20	804.91	52.69 W	0.00	1 of 59 - Sale <sup>25</sup>
	1.000	751.48	05/29/20	804.60	53.12 W	0.00	2 of 59 - Sale <sup>25</sup>
	1.000	751.48	05/29/20	803.32	51.84 W	0.00	3 of 59 - Sale <sup>25</sup>
	1.000	750.98	05/29/20	804.96	53.98 W	0.00	4 of 59 - Sale <sup>25</sup>
	1.000	751.48	05/29/20	766.04	14.56 W	0.00	5 of 59 - Sale <sup>25</sup>
	1.000	751.48	05/29/20	813.69	62.21 W	0.00	6 of 59 - Sale <sup>25</sup>
	1.000	753.42	05/29/20	812.12	58.70 W	0.00	7 of 59 - Sale <sup>25</sup>
	1.000	752.70	05/29/20	813.87	61.17 W	0.00	8 of 59 - Sale <sup>25</sup>
	1.000	750.98	05/29/20	804.98	54.00 W	0.00	9 of 59 - Sale <sup>25</sup>
	1.000	750.98	05/29/20	759.84	8.86 W	0.00	10 of 59 - Sale <sup>25</sup>
	1.000	754.98	05/29/20	808.82	53.84 W	0.00	11 of 59 - Sale <sup>25</sup>
	1.000	754.98	05/29/20	810.88	55.90 W	0.00	12 of 59 - Sale <sup>25</sup>
	1.000	754.98	05/29/20	812.87	57.89 W	0.00	13 of 59 - Sale <sup>25</sup>
	1.000	754.98	05/29/20	801.50	46.52 W	0.00	14 of 59 - Sale <sup>25</sup>
	1.000	754.99	05/29/20	756.36	1.37 W	0.00	15 of 59 - Sale <sup>25</sup>
	1.000	751.18	05/29/20	806.64	55.46 W	0.00	16 of 59 - Sale <sup>25</sup>
	1.000	751.18	05/29/20	803.75	52.57 W	0.00	17 of 59 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	751.19	05/29/20	811.44	60.25 W	0.00	18 of 59 - Sale <sup>25</sup>
	1.000	751.18	05/29/20	798.52	47.34 W	0.00	19 of 59 - Sale <sup>25</sup>
	1.000	751.19	05/29/20	754.99	3.80 W	0.00	20 of 59 - Sale <sup>25</sup>
	1.000	751.18	05/29/20	753.57	2.39 W	0.00	21 of 59 - Sale <sup>25</sup>
	1.000	750.98	05/29/20	808.46	57.48 W	0.00	22 of 59 - Sale <sup>25</sup>
	1.000	750.98	05/29/20	805.57	54.59 W	0.00	23 of 59 - Sale <sup>25</sup>
	1.000	750.98	05/29/20	813.25	62.27 W	0.00	24 of 59 - Sale <sup>25</sup>
	1.000	750.99	05/29/20	800.34	49.35 W	0.00	25 of 59 - Sale <sup>25</sup>
	1.000	750.98	05/29/20	756.80	5.82 W	0.00	26 of 59 - Sale <sup>25</sup>
	1.000	750.99	05/29/20	755.39	4.40 W	0.00	27 of 59 - Sale <sup>25</sup>
	1.000	753.02	05/29/20	807.96	54.94 W	0.00	28 of 59 - Sale <sup>25</sup>
	1.000	753.02	05/29/20	805.07	52.05 W	0.00	29 of 59 - Sale <sup>25</sup>
	1.000	753.02	05/29/20	812.75	59.73 W	0.00	30 of 59 - Sale <sup>25</sup>
	1.000	753.02	05/29/20	799.83	46.81 W	0.00	31 of 59 - Sale <sup>25</sup>
	1.000	753.02	05/29/20	756.30	3.28 W	0.00	32 of 59 - Sale <sup>25</sup>
	1.000	753.02	05/29/20	754.88	1.86 W	0.00	33 of 59 - Sale <sup>25</sup>
	1.000	741.28	05/29/20	801.88	60.60 W	0.00	34 of 59 - Sale <sup>25</sup>
	1.000	741.28	05/29/20	798.99	57.71 W	0.00	35 of 59 - Sale <sup>25</sup>
	1.000	741.28	05/29/20	806.67	65.39 W	0.00	36 of 59 - Sale <sup>25</sup>
	1.000	741.28	05/29/20	793.75	52.47 W	0.00	37 of 59 - Sale <sup>25</sup>
	1.000	741.28	05/29/20	750.22	8.94 W	0.00	38 of 59 - Sale <sup>25</sup>
	1.000	741.28	05/29/20	807.68	66.40 W	0.00	39 of 59 - Sale <sup>25</sup>
	1.000	741.28	05/29/20	748.95	7.67 W	0.00	40 of 59 - Sale <sup>25</sup>
	1.000	741.29	05/29/20	747.28	5.99 W	0.00	41 of 59 - Sale <sup>25</sup>
	2.000	1,482.57	05/29/20	1,493.18	10.61 W	0.00	42 of 59 - Sale <sup>25</sup>
	2.000	1,482.57	05/29/20	1,492.00	9.43 W	0.00	43 of 59 - Sale <sup>25</sup>
	2.000	1,482.57	05/29/20	1,492.68	10.11 W	0.00	44 of 59 - Sale <sup>25</sup>
	2.000	1,482.57	05/29/20	1,489.00	6.43 W	0.00	45 of 59 - Sale <sup>25</sup>
	2.000	1,482.57	05/29/20	1,489.00	6.43 W	0.00	46 of 59 - Sale <sup>25</sup>
	1.000	738.95	05/29/20	800.56	61.61 W	0.00	47 of 59 - Sale <sup>25</sup>
	1.000	738.95	05/29/20	808.24	69.29 W	0.00	48 of 59 - Sale <sup>25</sup>
	1.000	738.96	05/29/20	795.32	56.36 W	0.00	49 of 59 - Sale <sup>25</sup>
	1.000	738.95	05/29/20	809.25	70.30 W	0.00	50 of 59 - Sale <sup>25</sup>
	1.000	738.96	05/29/20	751.79	12.83 W	0.00	51 of 59 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	738.95	05/29/20	750.52	11.57 W	0.00	52 of 59 - Sale <sup>25</sup>
	1.000	738.96	05/29/20	748.84	9.88 W	0.00	53 of 59 - Sale <sup>25</sup>
	2.000	1,477.91	05/29/20	1,496.32	18.41 W	0.00	54 of 59 - Sale <sup>25</sup>
	2.000	1,477.91	05/29/20	1,495.14	17.23 W	0.00	55 of 59 - Sale <sup>25</sup>
	2.000	1,477.91	05/29/20	1,495.82	17.91 W	0.00	56 of 59 - Sale <sup>25</sup>
	2.000	1,477.91	05/29/20	1,492.14	14.23 W	0.00	57 of 59 - Sale <sup>25</sup>
	2.000	1,477.91	05/29/20	1,492.14	14.23 W	0.00	58 of 59 - Sale <sup>25</sup>
	1.000	738.95	05/29/20	742.85	3.90 W	0.00	59 of 59 - Sale <sup>25</sup>
05/29/20	69.000	51,467.51	Various	53,574.48	2,106.97 W	0.00	Total of 59 transactions
	6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	743.67	06/10/20	805.71	62.04 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	743.67	06/10/20	813.39	69.72 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	743.67	06/10/20	800.46	56.79 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	743.67	06/10/20	814.40	70.73 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	743.67	06/10/20	756.93	13.26 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	743.66	06/10/20	755.57	11.91 W	0.00	6 of 6 - Sale <sup>25</sup>
06/11/20	6.000	4,462.01	Various	4,746.46	284.45 W	0.00	Total of 6 transactions
	19 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	1.000	861.67	06/18/20	861.67	...	0.00	1 of 19 - Sale <sup>25</sup>
	3.000	2,585.94	06/18/20	2,585.90	...	0.04	2 of 19 - Sale <sup>25</sup>
	1.000	861.98	06/18/20	861.82	...	0.16	3 of 19 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	863.72	...	0.26	4 of 19 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	862.73	...	1.25	5 of 19 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	862.72	...	1.40	6 of 19 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	861.83	...	2.15	7 of 19 - Sale <sup>25</sup>
	1.000	858.98	06/18/20	856.77	...	2.21	8 of 19 - Sale <sup>25</sup>
	1.000	858.98	06/18/20	856.77	...	2.21	9 of 19 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	861.54	...	2.44	10 of 19 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	861.01	...	3.11	11 of 19 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	860.38	...	3.74	12 of 19 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	859.71	...	4.27	13 of 19 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	858.84	...	5.28	14 of 19 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	844.28	...	5.56	15 of 19 - Sale <sup>25</sup>
	1.000	864.98	06/18/20	859.39	...	5.59	16 of 19 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	864.98	06/18/20	857.38	...	7.60	17 of 19 - Sale <sup>25</sup>
	1.000	864.98	06/18/20	856.21	...	8.77	18 of 19 - Sale <sup>25</sup>
	2.000	1,699.69	06/18/20	1,685.96	...	13.73	19 of 19 - Sale <sup>25</sup>
06/18/20	22.000	18,948.40	Various	18,878.63	...	69.77	Total of 19 transactions
	94 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	1.000	844.98	06/18/20	853.05	8.07 W	0.00	1 of 94 - Sale <sup>25</sup>
	1.000	844.98	06/18/20	853.18	8.20 W	0.00	2 of 94 - Sale <sup>25</sup>
	2.000	1,689.96	06/18/20	1,706.85	16.89 W	0.00	3 of 94 - Sale <sup>25</sup>
	2.000	1,689.96	06/18/20	1,705.67	15.71 W	0.00	4 of 94 - Sale <sup>25</sup>
	1.000	844.98	06/18/20	906.79	61.81 W	0.00	5 of 94 - Sale <sup>25</sup>
	1.000	844.98	06/18/20	914.47	69.49 W	0.00	6 of 94 - Sale <sup>25</sup>
	1.000	844.98	06/18/20	901.55	56.57 W	0.00	7 of 94 - Sale <sup>25</sup>
	1.000	844.98	06/18/20	915.48	70.50 W	0.00	8 of 94 - Sale <sup>25</sup>
	1.000	844.98	06/18/20	853.70	8.72 W	0.00	9 of 94 - Sale <sup>25</sup>
	1.000	844.98	06/18/20	848.65	3.67 W	0.00	10 of 94 - Sale <sup>25</sup>
	2.000	1,689.97	06/18/20	1,703.73	13.76 W	0.00	11 of 94 - Sale <sup>25</sup>
	2.000	1,689.97	06/18/20	1,703.74	13.77 W	0.00	12 of 94 - Sale <sup>25</sup>
	1.000	846.98	06/18/20	909.81	62.83 W	0.00	13 of 94 - Sale <sup>25</sup>
	1.000	846.99	06/18/20	917.49	70.50 W	0.00	14 of 94 - Sale <sup>25</sup>
	1.000	846.99	06/18/20	861.26	14.27 W	0.00	15 of 94 - Sale <sup>25</sup>
	1.000	846.33	06/18/20	859.91	13.58 W	0.00	16 of 94 - Sale <sup>25</sup>
	1.000	846.43	06/18/20	856.07	9.64 W	0.00	17 of 94 - Sale <sup>25</sup>
	2.000	1,692.86	06/18/20	1,712.89	20.03 W	0.00	18 of 94 - Sale <sup>25</sup>
	2.000	1,692.86	06/18/20	1,711.71	18.85 W	0.00	19 of 94 - Sale <sup>25</sup>
	1.000	847.03	06/18/20	856.20	9.17 W	0.00	20 of 94 - Sale <sup>25</sup>
	1.000	847.03	06/18/20	902.86	55.83 W	0.00	21 of 94 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	916.79	66.95 W	0.00	22 of 94 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	855.01	5.17 W	0.00	23 of 94 - Sale <sup>25</sup>
	2.000	1,699.69	06/18/20	1,706.34	6.65 W	0.00	24 of 94 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	850.56	0.72 W	0.00	25 of 94 - Sale <sup>25</sup>
	2.000	1,699.69	06/18/20	1,707.55	7.86 W	0.00	26 of 94 - Sale <sup>25</sup>
	1.000	849.85	06/18/20	909.51	59.66 W	0.00	27 of 94 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	917.17	67.33 W	0.00	28 of 94 - Sale <sup>25</sup>
	1.000	849.85	06/18/20	858.77	8.92 W	0.00	29 of 94 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	849.84	06/18/20	858.08	8.24 W	0.00	30 of 94 - Sale <sup>25</sup>
	1.000	849.85	06/18/20	851.68	1.83 W	0.00	31 of 94 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	853.51	3.67 W	0.00	32 of 94 - Sale <sup>25</sup>
	1.000	849.85	06/18/20	855.65	5.80 W	0.00	33 of 94 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	855.06	5.22 W	0.00	34 of 94 - Sale <sup>25</sup>
	1.000	849.85	06/18/20	854.08	4.23 W	0.00	35 of 94 - Sale <sup>25</sup>
	1.000	849.84	06/18/20	853.17	3.33 W	0.00	36 of 94 - Sale <sup>25</sup>
	1.000	849.85	06/18/20	898.83	48.98 W	0.00	37 of 94 - Sale <sup>25</sup>
	1.000	857.98	06/18/20	920.40	62.42 W	0.00	38 of 94 - Sale <sup>25</sup>
	1.000	857.98	06/18/20	858.62	0.64 W	0.00	39 of 94 - Sale <sup>25</sup>
	1.000	864.98	06/18/20	915.15	50.17 W	0.00	40 of 94 - Sale <sup>25</sup>
	1.000	864.98	06/18/20	924.84	59.86 W	0.00	41 of 94 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	866.79	2.81 W	0.00	42 of 94 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	866.11	2.13 W	0.00	43 of 94 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	907.48	43.50 W	0.00	44 of 94 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	864.30	0.32 W	0.00	45 of 94 - Sale <sup>25</sup>
	1.000	863.98	06/18/20	920.62	56.64 W	0.00	46 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	908.37	44.25 W	0.00	47 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	918.06	53.94 W	0.00	48 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	901.75	37.63 W	0.00	49 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	919.04	54.92 W	0.00	50 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	867.60	3.48 W	0.00	51 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	867.00	2.88 W	0.00	52 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	866.38	2.26 W	0.00	53 of 94 - Sale <sup>25</sup>
	1.000	864.12	06/18/20	865.00	0.88 W	0.00	54 of 94 - Sale <sup>25</sup>
	5.000	4,320.61	06/18/20	4,322.50	1.89 W	0.00	55 of 94 - Sale <sup>25</sup>
	1.000	860.03	06/18/20	907.25	47.22 W	0.00	56 of 94 - Sale <sup>25</sup>
	1.000	860.04	06/18/20	916.94	56.90 W	0.00	57 of 94 - Sale <sup>25</sup>
	1.000	860.03	06/18/20	900.63	40.60 W	0.00	58 of 94 - Sale <sup>25</sup>
	1.000	860.04	06/18/20	917.92	57.88 W	0.00	59 of 94 - Sale <sup>25</sup>
	1.000	860.03	06/18/20	866.48	6.45 W	0.00	60 of 94 - Sale <sup>25</sup>
	1.000	860.04	06/18/20	866.48	6.44 W	0.00	61 of 94 - Sale <sup>25</sup>
	1.000	860.03	06/18/20	865.86	5.83 W	0.00	62 of 94 - Sale <sup>25</sup>
	1.000	860.04	06/18/20	864.48	4.44 W	0.00	63 of 94 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	5.000	4,300.17	06/18/20	4,319.89	19.72 W	0.00	64 of 94 - Sale <sup>25</sup>
	2.000	1,720.07	06/18/20	1,727.20	7.13 W	0.00	65 of 94 - Sale <sup>25</sup>
	5.000	4,300.18	06/18/20	4,312.50	12.32 W	0.00	66 of 94 - Sale <sup>25</sup>
	5.000	4,300.17	06/18/20	4,310.00	9.83 W	0.00	67 of 94 - Sale <sup>25</sup>
	1.000	860.63	06/18/20	910.76	50.13 W	0.00	68 of 94 - Sale <sup>25</sup>
	1.000	860.78	06/18/20	921.90	61.12 W	0.00	69 of 94 - Sale <sup>25</sup>
	1.000	860.78	06/18/20	905.60	44.82 W	0.00	70 of 94 - Sale <sup>25</sup>
	1.000	860.78	06/18/20	922.88	62.10 W	0.00	71 of 94 - Sale <sup>25</sup>
	1.000	860.78	06/18/20	870.95	10.17 W	0.00	72 of 94 - Sale <sup>25</sup>
	1.000	860.78	06/18/20	867.64	6.86 W	0.00	73 of 94 - Sale <sup>25</sup>
	1.000	864.23	06/18/20	866.33	2.10 W	0.00	74 of 94 - Sale <sup>25</sup>
	1.000	864.23	06/18/20	865.94	1.71 W	0.00	75 of 94 - Sale <sup>25</sup>
	2.000	1,727.56	06/18/20	1,730.89	3.33 W	0.00	76 of 94 - Sale <sup>25</sup>
	1.000	864.10	06/18/20	865.45	1.35 W	0.00	77 of 94 - Sale <sup>25</sup>
	1.000	861.98	06/18/20	864.94	2.96 W	0.00	78 of 94 - Sale <sup>25</sup>
	2.000	1,723.96	06/18/20	1,729.13	5.17 W	0.00	79 of 94 - Sale <sup>25</sup>
	2.000	1,723.96	06/18/20	1,726.93	2.97 W	0.00	80 of 94 - Sale <sup>25</sup>
	1.000	861.98	06/18/20	862.46	0.48 W	0.00	81 of 94 - Sale <sup>25</sup>
	2.000	1,723.96	06/18/20	1,724.93	0.97 W	0.00	82 of 94 - Sale <sup>25</sup>
	1.000	861.98	06/18/20	909.93	47.95 W	0.00	83 of 94 - Sale <sup>25</sup>
	1.000	861.99	06/18/20	920.92	58.93 W	0.00	84 of 94 - Sale <sup>25</sup>
	1.000	861.98	06/18/20	904.62	42.64 W	0.00	85 of 94 - Sale <sup>25</sup>
	1.000	861.57	06/18/20	865.44	3.87 W	0.00	86 of 94 - Sale <sup>25</sup>
	1.000	861.67	06/18/20	921.90	60.23 W	0.00	87 of 94 - Sale <sup>25</sup>
	1.000	861.67	06/18/20	861.76	0.09 W	0.00	88 of 94 - Sale <sup>25</sup>
	1.000	861.67	06/18/20	870.17	8.50 W	0.00	89 of 94 - Sale <sup>25</sup>
	1.000	861.67	06/18/20	866.86	5.19 W	0.00	90 of 94 - Sale <sup>25</sup>
	1.000	861.67	06/18/20	862.10	0.43 W	0.00	91 of 94 - Sale <sup>25</sup>
	1.000	861.67	06/18/20	861.71	0.04 W	0.00	92 of 94 - Sale <sup>25</sup>
	1.000	863.18	06/18/20	866.16	2.98 W	0.00	93 of 94 - Sale <sup>25</sup>
	1.000	863.18	06/18/20	865.55	2.37 W	0.00	94 of 94 - Sale <sup>25</sup>
06/18/20	123.000	105,355.05	Various	107,526.31	2,171.26 W	0.00	Total of 94 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
<i>17 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	890.73	06/19/20	955.46	...	-64.73	1 of 17 - Sale <sup>25</sup>
	1.000	890.73	06/19/20	954.16	...	-63.43	2 of 17 - Sale <sup>25</sup>
	1.000	890.74	06/19/20	943.17	...	-52.43	3 of 17 - Sale <sup>25</sup>
	1.000	890.74	06/19/20	937.86	...	-47.12	4 of 17 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	901.50	...	-14.19	5 of 17 - Sale <sup>25</sup>
	1.000	887.32	06/19/20	897.19	...	-9.87	6 of 17 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	894.98	...	-7.67	7 of 17 - Sale <sup>25</sup>
	1.000	887.32	06/19/20	894.37	...	-7.05	8 of 17 - Sale <sup>25</sup>
	1.000	890.74	06/19/20	896.69	...	-5.95	9 of 17 - Sale <sup>25</sup>
	1.000	887.32	06/19/20	893.09	...	-5.77	10 of 17 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	892.43	...	-5.12	11 of 17 - Sale <sup>25</sup>
	1.000	887.32	06/19/20	892.04	...	-4.72	12 of 17 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	891.12	...	-3.81	13 of 17 - Sale <sup>25</sup>
	1.000	887.32	06/19/20	891.00	...	-3.68	14 of 17 - Sale <sup>25</sup>
	1.000	894.14	06/19/20	893.96	...	0.18	15 of 17 - Sale <sup>25</sup>
	2.000	1,788.29	06/19/20	1,787.17	...	1.12	16 of 17 - Sale <sup>25</sup>
	1.000	894.14	06/19/20	892.49	...	1.65	17 of 17 - Sale <sup>25</sup>
06/19/20	18.000	16,016.09	Various	16,308.68	...	-292.59	Total of 17 transactions
<i>8 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,774.63	06/19/20	1,786.97	6.17 W	-6.17	1 of 8 - Sale <sup>25</sup>
	1.000	894.14	06/19/20	894.87	0.73 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	940.95	53.64 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	951.93	64.62 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	935.64	48.33 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	953.23	65.92 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	887.32	06/19/20	894.48	7.16 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	887.31	06/19/20	893.48	6.17 W	0.00	8 of 8 - Sale <sup>25</sup>
06/19/20	9.000	7,992.64	Various	8,251.55	252.74 W	-6.17	Total of 8 transactions
<i>2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	916.58	06/22/20	912.15	...	4.43	1 of 2 - Sale <sup>25</sup>
	1.000	916.58	06/22/20	912.15	...	4.43	2 of 2 - Sale <sup>25</sup>
06/23/20	2.000	1,833.16	Various	1,824.30	...	8.86	Total of 2 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
<b>Security total:</b>		<b>347,014.68</b>		<b>356,034.97</b>	<b>11,008.48 W</b>	<b>1,988.19</b>	
SORRENTO THERAPEUTICS, INC. COMMON STOCK / CUSIP: 83587F202 / Symbol:							
<i>53 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
4.000		30.40	05/15/20	30.40	...	0.00	1 of 53 - Sale <sup>25</sup>
5.000		31.00	05/15/20	30.25	...	0.75	2 of 53 - Sale <sup>25</sup>
20.000		146.99	05/15/20	146.20	...	0.79	3 of 53 - Sale <sup>25</sup>
9.000		68.40	05/15/20	67.59	...	0.81	4 of 53 - Sale <sup>25</sup>
9.000		68.40	05/15/20	67.50	...	0.90	5 of 53 - Sale <sup>25</sup>
5.000		31.25	05/15/20	30.25	...	1.00	6 of 53 - Sale <sup>25</sup>
10.000		62.50	05/15/20	61.50	...	1.00	7 of 53 - Sale <sup>25</sup>
23.000		165.59	05/15/20	164.45	...	1.14	8 of 53 - Sale <sup>25</sup>
10.000		62.00	05/15/20	60.50	...	1.50	9 of 53 - Sale <sup>25</sup>
15.000		93.00	05/15/20	90.00	...	3.00	10 of 53 - Sale <sup>25</sup>
45.000		341.98	05/15/20	338.86	...	3.12	11 of 53 - Sale <sup>25</sup>
41.000		311.59	05/15/20	307.93	...	3.66	12 of 53 - Sale <sup>25</sup>
50.000		379.98	05/15/20	376.00	...	3.98	13 of 53 - Sale <sup>25</sup>
41.000		311.60	05/15/20	307.52	...	4.08	14 of 53 - Sale <sup>25</sup>
5.000		30.25	05/15/20	26.08	...	4.17	15 of 53 - Sale <sup>25</sup>
50.000		379.98	05/15/20	375.54	...	4.44	16 of 53 - Sale <sup>25</sup>
5.000		30.25	05/15/20	25.70	...	4.55	17 of 53 - Sale <sup>25</sup>
5.000		38.65	05/15/20	33.30	...	5.35	18 of 53 - Sale <sup>25</sup>
15.000		90.75	05/15/20	83.53	...	7.22	19 of 53 - Sale <sup>25</sup>
5.000		37.95	05/15/20	29.75	...	8.20	20 of 53 - Sale <sup>25</sup>
15.000		91.50	05/15/20	82.65	...	8.85	21 of 53 - Sale <sup>25</sup>
100.000		759.97	05/15/20	751.00	...	8.97	22 of 53 - Sale <sup>25</sup>
196.000		1,411.08	05/15/20	1,401.40	...	9.68	23 of 53 - Sale <sup>25</sup>
50.000		309.99	05/15/20	300.00	...	9.99	24 of 53 - Sale <sup>25</sup>
15.000		93.00	05/15/20	82.20	...	10.80	25 of 53 - Sale <sup>25</sup>
50.000		309.98	05/15/20	295.00	...	14.98	26 of 53 - Sale <sup>25</sup>
85.000		526.98	05/15/20	510.00	...	16.98	27 of 53 - Sale <sup>25</sup>
75.000		569.97	05/15/20	548.24	...	21.73	28 of 53 - Sale <sup>25</sup>
35.000		214.94	05/15/20	192.85	...	22.09	29 of 53 - Sale <sup>25</sup>
35.000		216.99	05/15/20	191.80	...	25.19	30 of 53 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	85.000	531.22	05/15/20	505.75	...	25.47	31 of 53 - Sale <sup>25</sup>
	95.000	593.73	05/15/20	565.25	...	28.48	32 of 53 - Sale <sup>25</sup>
	50.000	305.00	05/15/20	275.50	...	29.50	33 of 53 - Sale <sup>25</sup>
	100.000	624.97	05/15/20	595.00	...	29.97	34 of 53 - Sale <sup>25</sup>
	100.000	624.97	05/15/20	595.00	...	29.97	35 of 53 - Sale <sup>25</sup>
	25.000	151.24	05/15/20	120.00	...	31.24	36 of 53 - Sale <sup>25</sup>
	115.000	718.72	05/15/20	684.25	...	34.47	37 of 53 - Sale <sup>25</sup>
	50.000	309.98	05/15/20	273.50	...	36.48	38 of 53 - Sale <sup>25</sup>
	65.000	399.16	05/15/20	357.50	...	41.66	39 of 53 - Sale <sup>25</sup>
	85.000	518.48	05/15/20	473.34	...	45.14	40 of 53 - Sale <sup>25</sup>
	100.000	604.97	05/15/20	553.00	...	51.97	41 of 53 - Sale <sup>25</sup>
	100.000	604.97	05/15/20	547.87	...	57.10	42 of 53 - Sale <sup>25</sup>
	85.000	526.97	05/15/20	467.50	...	59.47	43 of 53 - Sale <sup>25</sup>
	100.000	609.97	05/15/20	548.50	...	61.47	44 of 53 - Sale <sup>25</sup>
	100.000	619.97	05/15/20	550.00	...	69.97	45 of 53 - Sale <sup>25</sup>
	100.000	772.97	05/15/20	688.98	...	83.99	46 of 53 - Sale <sup>25</sup>
	95.000	721.03	05/15/20	632.70	...	88.33	47 of 53 - Sale <sup>25</sup>
	100.000	758.98	05/15/20	666.00	...	92.98	48 of 53 - Sale <sup>25</sup>
	100.000	758.98	05/15/20	666.00	...	92.98	49 of 53 - Sale <sup>25</sup>
	100.000	772.97	05/15/20	676.52	...	96.45	50 of 53 - Sale <sup>25</sup>
	100.000	758.98	05/15/20	641.74	...	117.24	51 of 53 - Sale <sup>25</sup>
	100.000	758.98	05/15/20	637.00	...	121.98	52 of 53 - Sale <sup>25</sup>
	200.000	1,545.94	05/15/20	1,345.74	...	200.20	53 of 53 - Sale <sup>25</sup>
05/15/20	3,178.000	21,810.06	Various	20,074.63	...	1,735.43	Total of 53 transactions
2207 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	95.000	734.32	05/15/20	735.30	0.98 W	0.00	1 of 2207 - Sale <sup>25</sup>
	5.000	38.00	05/15/20	38.70	0.70 W	0.00	2 of 2207 - Sale <sup>25</sup>
	5.000	38.00	05/15/20	38.30	0.30 W	0.00	3 of 2207 - Sale <sup>25</sup>
	91.000	691.57	05/15/20	696.15	4.58 W	0.00	4 of 2207 - Sale <sup>25</sup>
	5.000	38.00	05/15/20	38.55	0.55 W	0.00	5 of 2207 - Sale <sup>25</sup>
	4.000	30.40	05/15/20	30.60	0.20 W	0.00	6 of 2207 - Sale <sup>25</sup>
	50.000	379.98	05/15/20	380.02	0.04 W	0.00	7 of 2207 - Sale <sup>25</sup>
	41.000	311.59	05/15/20	311.61	0.02 W	0.00	8 of 2207 - Sale <sup>25</sup>
	5.000	38.00	05/15/20	38.30	0.30 W	0.00	9 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	36.75	05/15/20	36.85	0.10 W	0.00	10 of 2207 - Sale <sup>25</sup>
	75.000	551.23	05/15/20	599.04	47.81 W	0.00	11 of 2207 - Sale <sup>25</sup>
	5.000	36.45	05/15/20	40.04	3.59 W	0.00	12 of 2207 - Sale <sup>25</sup>
	45.000	328.05	05/15/20	359.42	31.37 W	0.00	13 of 2207 - Sale <sup>25</sup>
	100.000	728.17	05/15/20	798.72	70.55 W	0.00	14 of 2207 - Sale <sup>25</sup>
	100.000	727.97	05/15/20	798.72	70.75 W	0.00	15 of 2207 - Sale <sup>25</sup>
	130.000	946.36	05/15/20	1,038.34	91.98 W	0.00	16 of 2207 - Sale <sup>25</sup>
	20.000	145.59	05/15/20	171.75	26.16 W	0.00	17 of 2207 - Sale <sup>25</sup>
	4.000	29.12	05/15/20	33.39	4.27 W	0.00	18 of 2207 - Sale <sup>25</sup>
	5.000	36.40	05/15/20	41.84	5.44 W	0.00	19 of 2207 - Sale <sup>25</sup>
	55.000	400.38	05/15/20	455.81	55.43 W	0.00	20 of 2207 - Sale <sup>25</sup>
	36.000	262.07	05/15/20	300.49	38.42 W	0.00	21 of 2207 - Sale <sup>25</sup>
	5.000	39.30	05/15/20	40.24	0.94 W	0.00	22 of 2207 - Sale <sup>25</sup>
	5.000	39.30	05/15/20	40.28	0.98 W	0.00	23 of 2207 - Sale <sup>25</sup>
	95.000	746.67	05/15/20	818.27	71.60 W	0.00	24 of 2207 - Sale <sup>25</sup>
	95.000	746.67	05/15/20	818.46	71.79 W	0.00	25 of 2207 - Sale <sup>25</sup>
	1.000	7.32	05/15/20	8.62	1.30 W	0.00	26 of 2207 - Sale <sup>25</sup>
	20.000	146.40	05/15/20	184.32	37.92 W	0.00	27 of 2207 - Sale <sup>25</sup>
	45.000	329.39	05/15/20	401.20	71.81 W	0.00	28 of 2207 - Sale <sup>25</sup>
	4.000	29.28	05/15/20	34.46	5.18 W	0.00	29 of 2207 - Sale <sup>25</sup>
	130.000	951.57	05/15/20	1,120.01	168.44 W	0.00	30 of 2207 - Sale <sup>25</sup>
	4.000	28.76	05/15/20	35.90	7.14 W	0.00	31 of 2207 - Sale <sup>25</sup>
	5.000	35.95	05/15/20	44.98	9.03 W	0.00	32 of 2207 - Sale <sup>25</sup>
	5.000	35.95	05/15/20	40.48	4.53 W	0.00	33 of 2207 - Sale <sup>25</sup>
	5.000	35.95	05/15/20	40.52	4.57 W	0.00	34 of 2207 - Sale <sup>25</sup>
	36.000	258.83	05/15/20	323.10	64.27 W	0.00	35 of 2207 - Sale <sup>25</sup>
	10.000	71.90	05/15/20	89.16	17.26 W	0.00	36 of 2207 - Sale <sup>25</sup>
	45.000	323.53	05/15/20	355.86	32.33 W	0.00	37 of 2207 - Sale <sup>25</sup>
	29.000	208.49	05/15/20	247.18	38.69 W	0.00	38 of 2207 - Sale <sup>25</sup>
	21.000	149.09	05/15/20	179.00	29.91 W	0.00	39 of 2207 - Sale <sup>25</sup>
	45.000	319.49	05/15/20	383.12	63.63 W	0.00	40 of 2207 - Sale <sup>25</sup>
	55.000	390.48	05/15/20	468.36	77.88 W	0.00	41 of 2207 - Sale <sup>25</sup>
	1.000	7.10	05/15/20	9.19	2.09 W	0.00	42 of 2207 - Sale <sup>25</sup>
	4.000	28.40	05/15/20	36.74	8.34 W	0.00	43 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	40.000	283.99	05/15/20	345.83	61.84 W	0.00	44 of 2207 - Sale <sup>25</sup>
	55.000	390.48	05/15/20	505.21	114.73 W	0.00	45 of 2207 - Sale <sup>25</sup>
	4.000	28.40	05/15/20	38.62	10.22 W	0.00	46 of 2207 - Sale <sup>25</sup>
	5.000	35.50	05/15/20	48.38	12.88 W	0.00	47 of 2207 - Sale <sup>25</sup>
	5.000	35.50	05/15/20	43.88	8.38 W	0.00	48 of 2207 - Sale <sup>25</sup>
	10.000	71.00	05/15/20	95.96	24.96 W	0.00	49 of 2207 - Sale <sup>25</sup>
	20.000	141.99	05/15/20	195.32	53.33 W	0.00	50 of 2207 - Sale <sup>25</sup>
	36.000	255.59	05/15/20	347.58	91.99 W	0.00	51 of 2207 - Sale <sup>25</sup>
	45.000	319.49	05/15/20	425.96	106.47 W	0.00	52 of 2207 - Sale <sup>25</sup>
	75.000	532.48	05/15/20	687.42	154.94 W	0.00	53 of 2207 - Sale <sup>25</sup>
	5.000	35.50	05/15/20	43.77	8.27 W	0.00	54 of 2207 - Sale <sup>25</sup>
	29.000	205.89	05/15/20	266.05	60.16 W	0.00	55 of 2207 - Sale <sup>25</sup>
	45.000	319.49	05/15/20	385.13	65.64 W	0.00	56 of 2207 - Sale <sup>25</sup>
	121.000	859.07	05/15/20	948.62	89.55 W	0.00	57 of 2207 - Sale <sup>25</sup>
	100.000	709.97	05/15/20	775.00	65.03 W	0.00	58 of 2207 - Sale <sup>25</sup>
	100.000	709.97	05/15/20	770.00	60.03 W	0.00	59 of 2207 - Sale <sup>25</sup>
	100.000	709.97	05/15/20	776.00	66.03 W	0.00	60 of 2207 - Sale <sup>25</sup>
	100.000	709.97	05/15/20	776.00	66.03 W	0.00	61 of 2207 - Sale <sup>25</sup>
	1.000	7.10	05/15/20	7.70	0.60 W	0.00	62 of 2207 - Sale <sup>25</sup>
	200.000	1,419.94	05/15/20	1,538.00	118.06 W	0.00	63 of 2207 - Sale <sup>25</sup>
	5.000	35.50	05/15/20	37.25	1.75 W	0.00	64 of 2207 - Sale <sup>25</sup>
	1.000	7.10	05/15/20	7.48	0.38 W	0.00	65 of 2207 - Sale <sup>25</sup>
	5.000	35.50	05/15/20	37.39	1.89 W	0.00	66 of 2207 - Sale <sup>25</sup>
	21.000	143.21	05/15/20	175.65	32.44 W	0.00	67 of 2207 - Sale <sup>25</sup>
	34.000	231.87	05/15/20	284.10	52.23 W	0.00	68 of 2207 - Sale <sup>25</sup>
	45.000	306.89	05/15/20	375.93	69.04 W	0.00	69 of 2207 - Sale <sup>25</sup>
	1.000	6.82	05/15/20	9.04	2.22 W	0.00	70 of 2207 - Sale <sup>25</sup>
	4.000	27.28	05/15/20	36.13	8.85 W	0.00	71 of 2207 - Sale <sup>25</sup>
	21.000	143.21	05/15/20	175.64	32.43 W	0.00	72 of 2207 - Sale <sup>25</sup>
	34.000	231.87	05/15/20	307.13	75.26 W	0.00	73 of 2207 - Sale <sup>25</sup>
	40.000	272.79	05/15/20	339.73	66.94 W	0.00	74 of 2207 - Sale <sup>25</sup>
	4.000	28.92	05/15/20	38.55	9.63 W	0.00	75 of 2207 - Sale <sup>25</sup>
	21.000	151.82	05/15/20	190.18	38.36 W	0.00	76 of 2207 - Sale <sup>25</sup>
	75.000	542.23	05/15/20	677.68	135.45 W	0.00	77 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	28.84	05/15/20	38.10	9.26 W	0.00	78 of 2207 - Sale <sup>25</sup>
	5.000	36.05	05/15/20	47.73	11.68 W	0.00	79 of 2207 - Sale <sup>25</sup>
	10.000	72.10	05/15/20	94.66	22.56 W	0.00	80 of 2207 - Sale <sup>25</sup>
	16.000	115.36	05/15/20	154.18	38.82 W	0.00	81 of 2207 - Sale <sup>25</sup>
	20.000	144.19	05/15/20	190.51	46.32 W	0.00	82 of 2207 - Sale <sup>25</sup>
	45.000	324.43	05/15/20	420.11	95.68 W	0.00	83 of 2207 - Sale <sup>25</sup>
	5.000	36.05	05/15/20	43.08	7.03 W	0.00	84 of 2207 - Sale <sup>25</sup>
	5.000	36.05	05/15/20	42.97	6.92 W	0.00	85 of 2207 - Sale <sup>25</sup>
	16.000	115.36	05/15/20	151.92	36.56 W	0.00	86 of 2207 - Sale <sup>25</sup>
	29.000	209.08	05/15/20	261.42	52.34 W	0.00	87 of 2207 - Sale <sup>25</sup>
	45.000	324.43	05/15/20	377.94	53.51 W	0.00	88 of 2207 - Sale <sup>25</sup>
	100.000	720.97	05/15/20	768.01	47.04 W	0.00	89 of 2207 - Sale <sup>25</sup>
	21.000	151.40	05/15/20	161.70	10.30 W	0.00	90 of 2207 - Sale <sup>25</sup>
	79.000	569.57	05/15/20	601.21	31.64 W	0.00	91 of 2207 - Sale <sup>25</sup>
	79.000	569.57	05/15/20	597.25	27.68 W	0.00	92 of 2207 - Sale <sup>25</sup>
	21.000	151.40	05/15/20	159.81	8.41 W	0.00	93 of 2207 - Sale <sup>25</sup>
	50.000	333.99	05/15/20	387.52	53.53 W	0.00	94 of 2207 - Sale <sup>25</sup>
	50.000	333.99	05/15/20	388.01	54.02 W	0.00	95 of 2207 - Sale <sup>25</sup>
	21.000	140.27	05/15/20	165.28	25.01 W	0.00	96 of 2207 - Sale <sup>25</sup>
	79.000	527.70	05/15/20	626.48	98.78 W	0.00	97 of 2207 - Sale <sup>25</sup>
	21.000	140.27	05/15/20	166.12	25.85 W	0.00	98 of 2207 - Sale <sup>25</sup>
	79.000	527.70	05/15/20	624.91	97.21 W	0.00	99 of 2207 - Sale <sup>25</sup>
	1.000	6.68	05/15/20	7.80	1.12 W	0.00	100 of 2207 - Sale <sup>25</sup>
	21.000	140.27	05/15/20	165.07	24.80 W	0.00	101 of 2207 - Sale <sup>25</sup>
	78.000	521.02	05/15/20	607.64	86.62 W	0.00	102 of 2207 - Sale <sup>25</sup>
	1.000	6.68	05/15/20	7.66	0.98 W	0.00	103 of 2207 - Sale <sup>25</sup>
	1.000	6.68	05/15/20	8.82	2.14 W	0.00	104 of 2207 - Sale <sup>25</sup>
	5.000	33.40	05/15/20	38.15	4.75 W	0.00	105 of 2207 - Sale <sup>25</sup>
	5.000	33.40	05/15/20	38.29	4.89 W	0.00	106 of 2207 - Sale <sup>25</sup>
	21.000	140.27	05/15/20	185.32	45.05 W	0.00	107 of 2207 - Sale <sup>25</sup>
	22.000	146.95	05/15/20	173.15	26.20 W	0.00	108 of 2207 - Sale <sup>25</sup>
	45.000	300.59	05/15/20	396.63	96.04 W	0.00	109 of 2207 - Sale <sup>25</sup>
	1.000	6.68	05/15/20	9.48	2.80 W	0.00	110 of 2207 - Sale <sup>25</sup>
	1.000	6.68	05/15/20	9.47	2.79 W	0.00	111 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	26.72	05/15/20	37.89	11.17 W	0.00	112 of 2207 - Sale <sup>25</sup>
	21.000	140.27	05/15/20	184.89	44.62 W	0.00	113 of 2207 - Sale <sup>25</sup>
	33.000	220.43	05/15/20	290.27	69.84 W	0.00	114 of 2207 - Sale <sup>25</sup>
	40.000	267.19	05/15/20	357.34	90.15 W	0.00	115 of 2207 - Sale <sup>25</sup>
	21.000	140.27	05/15/20	191.24	50.97 W	0.00	116 of 2207 - Sale <sup>25</sup>
	33.000	220.43	05/15/20	313.29	92.86 W	0.00	117 of 2207 - Sale <sup>25</sup>
	46.000	307.27	05/15/20	417.95	110.68 W	0.00	118 of 2207 - Sale <sup>25</sup>
	4.000	26.72	05/15/20	38.62	11.90 W	0.00	119 of 2207 - Sale <sup>25</sup>
	6.000	40.08	05/15/20	57.02	16.94 W	0.00	120 of 2207 - Sale <sup>25</sup>
	16.000	106.88	05/15/20	154.78	47.90 W	0.00	121 of 2207 - Sale <sup>25</sup>
	29.000	193.71	05/15/20	262.54	68.83 W	0.00	122 of 2207 - Sale <sup>25</sup>
	45.000	300.59	05/15/20	421.82	121.23 W	0.00	123 of 2207 - Sale <sup>25</sup>
	4.000	26.72	05/15/20	37.53	10.81 W	0.00	124 of 2207 - Sale <sup>25</sup>
	4.000	26.72	05/15/20	37.77	11.05 W	0.00	125 of 2207 - Sale <sup>25</sup>
	5.000	33.40	05/15/20	47.32	13.92 W	0.00	126 of 2207 - Sale <sup>25</sup>
	5.000	33.40	05/15/20	42.67	9.27 W	0.00	127 of 2207 - Sale <sup>25</sup>
	5.000	33.40	05/15/20	42.56	9.16 W	0.00	128 of 2207 - Sale <sup>25</sup>
	16.000	106.88	05/15/20	150.60	43.72 W	0.00	129 of 2207 - Sale <sup>25</sup>
	20.000	133.59	05/15/20	188.87	55.28 W	0.00	130 of 2207 - Sale <sup>25</sup>
	41.000	273.87	05/15/20	340.96	67.09 W	0.00	131 of 2207 - Sale <sup>25</sup>
	4.000	26.72	05/15/20	32.88	6.16 W	0.00	132 of 2207 - Sale <sup>25</sup>
	16.000	106.88	05/15/20	141.36	34.48 W	0.00	133 of 2207 - Sale <sup>25</sup>
	2.000	13.36	05/15/20	17.87	4.51 W	0.00	134 of 2207 - Sale <sup>25</sup>
	9.000	60.12	05/15/20	68.11	7.99 W	0.00	135 of 2207 - Sale <sup>25</sup>
	11.000	73.48	05/15/20	97.92	24.44 W	0.00	136 of 2207 - Sale <sup>25</sup>
	9.000	60.12	05/15/20	67.23	7.11 W	0.00	137 of 2207 - Sale <sup>25</sup>
	91.000	607.85	05/15/20	677.99	70.14 W	0.00	138 of 2207 - Sale <sup>25</sup>
	12.000	80.16	05/15/20	89.29	9.13 W	0.00	139 of 2207 - Sale <sup>25</sup>
	13.000	86.83	05/15/20	95.56	8.73 W	0.00	140 of 2207 - Sale <sup>25</sup>
	21.000	140.27	05/15/20	154.36	14.09 W	0.00	141 of 2207 - Sale <sup>25</sup>
	66.000	440.85	05/15/20	485.13	44.28 W	0.00	142 of 2207 - Sale <sup>25</sup>
	15.000	100.20	05/15/20	109.51	9.31 W	0.00	143 of 2207 - Sale <sup>25</sup>
	13.000	83.33	05/15/20	106.60	23.27 W	0.00	144 of 2207 - Sale <sup>25</sup>
	21.000	134.60	05/15/20	170.96	36.36 W	0.00	145 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
64.000		410.22	05/15/20	467.22	57.00 W	0.00	146 of 2207 - Sale <sup>25</sup>
13.000		83.33	05/15/20	103.85	20.52 W	0.00	147 of 2207 - Sale <sup>25</sup>
21.000		134.60	05/15/20	167.75	33.15 W	0.00	148 of 2207 - Sale <sup>25</sup>
66.000		423.04	05/15/20	528.52	105.48 W	0.00	149 of 2207 - Sale <sup>25</sup>
2.000		12.82	05/15/20	16.06	3.24 W	0.00	150 of 2207 - Sale <sup>25</sup>
1.000		6.41	05/15/20	7.72	1.31 W	0.00	151 of 2207 - Sale <sup>25</sup>
21.000		134.61	05/15/20	163.40	28.79 W	0.00	152 of 2207 - Sale <sup>25</sup>
36.000		230.75	05/15/20	276.14	45.39 W	0.00	153 of 2207 - Sale <sup>25</sup>
64.000		410.22	05/15/20	501.15	90.93 W	0.00	154 of 2207 - Sale <sup>25</sup>
78.000		499.96	05/15/20	601.42	101.46 W	0.00	155 of 2207 - Sale <sup>25</sup>
5.000		35.80	05/15/20	39.10	3.30 W	0.00	156 of 2207 - Sale <sup>25</sup>
9.000		64.44	05/15/20	74.89	10.45 W	0.00	157 of 2207 - Sale <sup>25</sup>
36.000		257.76	05/15/20	299.89	42.13 W	0.00	158 of 2207 - Sale <sup>25</sup>
1.000		6.60	05/15/20	8.23	1.63 W	0.00	159 of 2207 - Sale <sup>25</sup>
5.000		33.00	05/15/20	41.00	8.00 W	0.00	160 of 2207 - Sale <sup>25</sup>
5.000		33.00	05/15/20	41.14	8.14 W	0.00	161 of 2207 - Sale <sup>25</sup>
21.000		138.59	05/15/20	197.30	58.71 W	0.00	162 of 2207 - Sale <sup>25</sup>
22.000		145.19	05/15/20	185.70	40.51 W	0.00	163 of 2207 - Sale <sup>25</sup>
32.000		211.19	05/15/20	300.30	89.11 W	0.00	164 of 2207 - Sale <sup>25</sup>
14.000		92.40	05/15/20	116.63	24.23 W	0.00	165 of 2207 - Sale <sup>25</sup>
1.000		6.60	05/15/20	9.39	2.79 W	0.00	166 of 2207 - Sale <sup>25</sup>
8.000		52.80	05/15/20	75.00	22.20 W	0.00	167 of 2207 - Sale <sup>25</sup>
33.000		217.79	05/15/20	309.09	91.30 W	0.00	168 of 2207 - Sale <sup>25</sup>
13.000		85.80	05/15/20	121.99	36.19 W	0.00	169 of 2207 - Sale <sup>25</sup>
13.000		85.79	05/15/20	123.04	37.25 W	0.00	170 of 2207 - Sale <sup>25</sup>
32.000		211.19	05/15/20	307.00	95.81 W	0.00	171 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	41.26	14.46 W	0.00	172 of 2207 - Sale <sup>25</sup>
8.000		53.60	05/15/20	82.67	29.07 W	0.00	173 of 2207 - Sale <sup>25</sup>
13.000		87.10	05/15/20	126.97	39.87 W	0.00	174 of 2207 - Sale <sup>25</sup>
29.000		194.29	05/15/20	281.69	87.40 W	0.00	175 of 2207 - Sale <sup>25</sup>
46.000		308.19	05/15/20	448.32	140.13 W	0.00	176 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	10.14	3.44 W	0.00	177 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	10.13	3.43 W	0.00	178 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	40.53	13.73 W	0.00	179 of 2207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	53.60	05/15/20	78.14	24.54 W	0.00	180 of 2207 - Sale <sup>25</sup>
	33.000	221.09	05/15/20	335.08	113.99 W	0.00	181 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	76.75	23.15 W	0.00	182 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	19.21	5.81 W	0.00	183 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	40.21	13.41 W	0.00	184 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	40.45	13.65 W	0.00	185 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	35.56	8.76 W	0.00	186 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	50.67	17.17 W	0.00	187 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	46.02	12.52 W	0.00	188 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	45.91	12.41 W	0.00	189 of 2207 - Sale <sup>25</sup>
	6.000	40.20	05/15/20	61.04	20.84 W	0.00	190 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	82.75	29.15 W	0.00	191 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	65.90	12.30 W	0.00	192 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	105.29	31.59 W	0.00	193 of 2207 - Sale <sup>25</sup>
	16.000	107.19	05/15/20	161.32	54.13 W	0.00	194 of 2207 - Sale <sup>25</sup>
	16.000	107.19	05/15/20	152.08	44.89 W	0.00	195 of 2207 - Sale <sup>25</sup>
	20.000	133.99	05/15/20	202.28	68.29 W	0.00	196 of 2207 - Sale <sup>25</sup>
	41.000	274.69	05/15/20	368.44	93.75 W	0.00	197 of 2207 - Sale <sup>25</sup>
	45.000	301.48	05/15/20	451.98	150.50 W	0.00	198 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.07	1.37 W	0.00	199 of 2207 - Sale <sup>25</sup>
	44.000	294.78	05/15/20	349.83	55.05 W	0.00	200 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	63.76	10.16 W	0.00	201 of 2207 - Sale <sup>25</sup>
	47.000	314.89	05/15/20	373.69	58.80 W	0.00	202 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	7.95	1.25 W	0.00	203 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	62.65	9.05 W	0.00	204 of 2207 - Sale <sup>25</sup>
	12.000	80.40	05/15/20	95.05	14.65 W	0.00	205 of 2207 - Sale <sup>25</sup>
	13.000	87.10	05/15/20	101.81	14.71 W	0.00	206 of 2207 - Sale <sup>25</sup>
	66.000	442.18	05/15/20	516.84	74.66 W	0.00	207 of 2207 - Sale <sup>25</sup>
	13.000	87.09	05/15/20	102.65	15.56 W	0.00	208 of 2207 - Sale <sup>25</sup>
	15.000	100.50	05/15/20	117.68	17.18 W	0.00	209 of 2207 - Sale <sup>25</sup>
	17.000	113.89	05/15/20	137.97	24.08 W	0.00	210 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	71.65	18.05 W	0.00	211 of 2207 - Sale <sup>25</sup>
	47.000	314.89	05/15/20	381.43	66.54 W	0.00	212 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	69.49	15.89 W	0.00	213 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	13.000	87.10	05/15/20	114.91	27.81 W	0.00	214 of 2207 - Sale <sup>25</sup>
	13.000	87.10	05/15/20	115.67	28.57 W	0.00	215 of 2207 - Sale <sup>25</sup>
	66.000	442.18	05/15/20	574.56	132.38 W	0.00	216 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	17.34	3.94 W	0.00	217 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	67.37	13.77 W	0.00	218 of 2207 - Sale <sup>25</sup>
	13.000	87.10	05/15/20	112.17	25.07 W	0.00	219 of 2207 - Sale <sup>25</sup>
	13.000	87.10	05/15/20	112.17	25.07 W	0.00	220 of 2207 - Sale <sup>25</sup>
	64.000	428.78	05/15/20	542.13	113.35 W	0.00	221 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.34	1.64 W	0.00	222 of 2207 - Sale <sup>25</sup>
	13.000	87.10	05/15/20	109.19	22.09 W	0.00	223 of 2207 - Sale <sup>25</sup>
	36.000	241.19	05/15/20	299.87	58.68 W	0.00	224 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	65.53	11.93 W	0.00	225 of 2207 - Sale <sup>25</sup>
	42.000	281.39	05/15/20	345.69	64.30 W	0.00	226 of 2207 - Sale <sup>25</sup>
	7.000	46.90	05/15/20	58.24	11.34 W	0.00	227 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	38.45	4.95 W	0.00	228 of 2207 - Sale <sup>25</sup>
	9.000	60.30	05/15/20	73.72	13.42 W	0.00	229 of 2207 - Sale <sup>25</sup>
	15.000	100.49	05/15/20	123.00	22.51 W	0.00	230 of 2207 - Sale <sup>25</sup>
	21.000	140.69	05/15/20	174.11	33.42 W	0.00	231 of 2207 - Sale <sup>25</sup>
	14.000	93.80	05/15/20	121.53	27.73 W	0.00	232 of 2207 - Sale <sup>25</sup>
	15.000	100.50	05/15/20	131.87	31.37 W	0.00	233 of 2207 - Sale <sup>25</sup>
	21.000	140.69	05/15/20	170.53	29.84 W	0.00	234 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.61	1.91 W	0.00	235 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	42.90	9.40 W	0.00	236 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	43.04	9.54 W	0.00	237 of 2207 - Sale <sup>25</sup>
	7.000	46.90	05/15/20	61.75	14.85 W	0.00	238 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	107.41	33.71 W	0.00	239 of 2207 - Sale <sup>25</sup>
	21.000	140.69	05/15/20	205.29	64.60 W	0.00	240 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.90	3.20 W	0.00	241 of 2207 - Sale <sup>25</sup>
	13.000	87.10	05/15/20	128.58	41.48 W	0.00	242 of 2207 - Sale <sup>25</sup>
	15.000	100.49	05/15/20	148.11	47.62 W	0.00	243 of 2207 - Sale <sup>25</sup>
	21.000	140.69	05/15/20	207.74	67.05 W	0.00	244 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	78.76	25.16 W	0.00	245 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	110.70	37.00 W	0.00	246 of 2207 - Sale <sup>25</sup>
	13.000	87.10	05/15/20	129.16	42.06 W	0.00	247 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
18.000		120.59	05/15/20	177.06	56.47 W	0.00	248 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	10.39	3.69 W	0.00	249 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	10.38	3.68 W	0.00	250 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	41.53	14.73 W	0.00	251 of 2207 - Sale <sup>25</sup>
8.000		53.60	05/15/20	78.75	25.15 W	0.00	252 of 2207 - Sale <sup>25</sup>
15.000		100.49	05/15/20	156.06	55.57 W	0.00	253 of 2207 - Sale <sup>25</sup>
21.000		140.69	05/15/20	208.83	68.14 W	0.00	254 of 2207 - Sale <sup>25</sup>
10.000		67.00	05/15/20	104.64	37.64 W	0.00	255 of 2207 - Sale <sup>25</sup>
7.000		46.90	05/15/20	67.88	20.98 W	0.00	256 of 2207 - Sale <sup>25</sup>
8.000		53.60	05/15/20	80.68	27.08 W	0.00	257 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.37	2.67 W	0.00	258 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	39.66	12.86 W	0.00	259 of 2207 - Sale <sup>25</sup>
7.000		46.90	05/15/20	69.54	22.64 W	0.00	260 of 2207 - Sale <sup>25</sup>
13.000		87.09	05/15/20	121.77	34.68 W	0.00	261 of 2207 - Sale <sup>25</sup>
29.000		194.29	05/15/20	270.10	75.81 W	0.00	262 of 2207 - Sale <sup>25</sup>
46.000		308.18	05/15/20	429.93	121.75 W	0.00	263 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.78	3.08 W	0.00	264 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	38.01	11.21 W	0.00	265 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	38.25	11.45 W	0.00	266 of 2207 - Sale <sup>25</sup>
5.000		33.50	05/15/20	47.92	14.42 W	0.00	267 of 2207 - Sale <sup>25</sup>
6.000		40.20	05/15/20	57.74	17.54 W	0.00	268 of 2207 - Sale <sup>25</sup>
7.000		46.90	05/15/20	66.73	19.83 W	0.00	269 of 2207 - Sale <sup>25</sup>
8.000		53.60	05/15/20	78.35	24.75 W	0.00	270 of 2207 - Sale <sup>25</sup>
20.000		133.98	05/15/20	191.29	57.31 W	0.00	271 of 2207 - Sale <sup>25</sup>
45.000		301.48	05/15/20	427.25	125.77 W	0.00	272 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	35.66	8.86 W	0.00	273 of 2207 - Sale <sup>25</sup>
9.000		60.29	05/15/20	88.14	27.85 W	0.00	274 of 2207 - Sale <sup>25</sup>
1.000		6.61	05/15/20	8.91	2.30 W	0.00	275 of 2207 - Sale <sup>25</sup>
5.000		33.05	05/15/20	44.46	11.41 W	0.00	276 of 2207 - Sale <sup>25</sup>
31.000		204.90	05/15/20	269.59	64.69 W	0.00	277 of 2207 - Sale <sup>25</sup>
2.000		13.22	05/15/20	18.91	5.69 W	0.00	278 of 2207 - Sale <sup>25</sup>
4.000		26.44	05/15/20	34.96	8.52 W	0.00	279 of 2207 - Sale <sup>25</sup>
7.000		46.27	05/15/20	56.61	10.34 W	0.00	280 of 2207 - Sale <sup>25</sup>
10.000		66.10	05/15/20	88.37	22.27 W	0.00	281 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		72.71	05/15/20	103.64	30.93 W	0.00	282 of 2207 - Sale <sup>25</sup>
16.000		105.76	05/15/20	149.69	43.93 W	0.00	283 of 2207 - Sale <sup>25</sup>
1.000		6.61	05/15/20	8.17	1.56 W	0.00	284 of 2207 - Sale <sup>25</sup>
1.000		6.61	05/15/20	8.00	1.39 W	0.00	285 of 2207 - Sale <sup>25</sup>
18.000		118.97	05/15/20	141.86	22.89 W	0.00	286 of 2207 - Sale <sup>25</sup>
1.000		6.61	05/15/20	8.03	1.42 W	0.00	287 of 2207 - Sale <sup>25</sup>
8.000		52.88	05/15/20	64.40	11.52 W	0.00	288 of 2207 - Sale <sup>25</sup>
11.000		72.70	05/15/20	88.01	15.31 W	0.00	289 of 2207 - Sale <sup>25</sup>
26.000		171.85	05/15/20	208.81	36.96 W	0.00	290 of 2207 - Sale <sup>25</sup>
47.000		310.66	05/15/20	377.46	66.80 W	0.00	291 of 2207 - Sale <sup>25</sup>
6.000		39.90	05/15/20	47.47	7.57 W	0.00	292 of 2207 - Sale <sup>25</sup>
1.000		6.65	05/15/20	8.00	1.35 W	0.00	293 of 2207 - Sale <sup>25</sup>
7.000		46.55	05/15/20	55.51	8.96 W	0.00	294 of 2207 - Sale <sup>25</sup>
43.000		285.94	05/15/20	340.99	55.05 W	0.00	295 of 2207 - Sale <sup>25</sup>
20.000		132.99	05/15/20	159.23	26.24 W	0.00	296 of 2207 - Sale <sup>25</sup>
3.000		19.95	05/15/20	23.76	3.81 W	0.00	297 of 2207 - Sale <sup>25</sup>
8.000		53.20	05/15/20	63.37	10.17 W	0.00	298 of 2207 - Sale <sup>25</sup>
9.000		59.84	05/15/20	71.88	12.04 W	0.00	299 of 2207 - Sale <sup>25</sup>
3.000		19.95	05/15/20	23.93	3.98 W	0.00	300 of 2207 - Sale <sup>25</sup>
1.000		6.65	05/15/20	7.98	1.33 W	0.00	301 of 2207 - Sale <sup>25</sup>
6.000		39.90	05/15/20	47.55	7.65 W	0.00	302 of 2207 - Sale <sup>25</sup>
8.000		53.20	05/15/20	71.25	18.05 W	0.00	303 of 2207 - Sale <sup>25</sup>
9.000		59.85	05/15/20	70.16	10.31 W	0.00	304 of 2207 - Sale <sup>25</sup>
12.000		79.79	05/15/20	105.47	25.68 W	0.00	305 of 2207 - Sale <sup>25</sup>
17.000		113.04	05/15/20	137.13	24.09 W	0.00	306 of 2207 - Sale <sup>25</sup>
47.000		312.54	05/15/20	379.09	66.55 W	0.00	307 of 2207 - Sale <sup>25</sup>
6.000		41.22	05/15/20	53.09	11.87 W	0.00	308 of 2207 - Sale <sup>25</sup>
1.000		6.87	05/15/20	8.79	1.92 W	0.00	309 of 2207 - Sale <sup>25</sup>
7.000		48.09	05/15/20	61.51	13.42 W	0.00	310 of 2207 - Sale <sup>25</sup>
43.000		295.40	05/15/20	369.62	74.22 W	0.00	311 of 2207 - Sale <sup>25</sup>
8.000		54.96	05/15/20	70.44	15.48 W	0.00	312 of 2207 - Sale <sup>25</sup>
12.000		82.43	05/15/20	104.97	22.54 W	0.00	313 of 2207 - Sale <sup>25</sup>
23.000		158.00	05/15/20	202.96	44.96 W	0.00	314 of 2207 - Sale <sup>25</sup>
2.000		13.74	05/15/20	17.58	3.84 W	0.00	315 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	54.96	05/15/20	68.32	13.36 W	0.00	316 of 2207 - Sale <sup>25</sup>
	1.000	6.87	05/15/20	8.75	1.88 W	0.00	317 of 2207 - Sale <sup>25</sup>
	13.000	89.31	05/15/20	113.72	24.41 W	0.00	318 of 2207 - Sale <sup>25</sup>
	12.000	82.43	05/15/20	102.22	19.79 W	0.00	319 of 2207 - Sale <sup>25</sup>
	64.000	439.66	05/15/20	549.76	110.10 W	0.00	320 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.46	1.34 W	0.00	321 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.73	1.61 W	0.00	322 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.02	2.90 W	0.00	323 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	39.04	3.44 W	0.00	324 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	43.49	7.89 W	0.00	325 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	43.63	8.03 W	0.00	326 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	59.07	9.23 W	0.00	327 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	62.58	12.74 W	0.00	328 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	66.48	9.52 W	0.00	329 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	79.71	22.75 W	0.00	330 of 2207 - Sale <sup>25</sup>
	9.000	64.08	05/15/20	74.79	10.71 W	0.00	331 of 2207 - Sale <sup>25</sup>
	11.000	78.32	05/15/20	108.72	30.40 W	0.00	332 of 2207 - Sale <sup>25</sup>
	11.000	78.32	05/15/20	112.01	33.69 W	0.00	333 of 2207 - Sale <sup>25</sup>
	12.000	85.44	05/15/20	120.77	35.33 W	0.00	334 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.52	1.40 W	0.00	335 of 2207 - Sale <sup>25</sup>
	13.000	92.56	05/15/20	130.13	37.57 W	0.00	336 of 2207 - Sale <sup>25</sup>
	13.000	92.56	05/15/20	130.71	38.15 W	0.00	337 of 2207 - Sale <sup>25</sup>
	14.000	99.68	05/15/20	123.19	23.51 W	0.00	338 of 2207 - Sale <sup>25</sup>
	15.000	106.80	05/15/20	124.79	17.99 W	0.00	339 of 2207 - Sale <sup>25</sup>
	15.000	106.80	05/15/20	133.65	26.85 W	0.00	340 of 2207 - Sale <sup>25</sup>
	15.000	106.80	05/15/20	149.90	43.10 W	0.00	341 of 2207 - Sale <sup>25</sup>
	18.000	128.15	05/15/20	179.21	51.06 W	0.00	342 of 2207 - Sale <sup>25</sup>
	21.000	149.52	05/15/20	176.62	27.10 W	0.00	343 of 2207 - Sale <sup>25</sup>
	21.000	149.52	05/15/20	173.04	23.52 W	0.00	344 of 2207 - Sale <sup>25</sup>
	21.000	149.52	05/15/20	207.80	58.28 W	0.00	345 of 2207 - Sale <sup>25</sup>
	21.000	149.51	05/15/20	210.25	60.74 W	0.00	346 of 2207 - Sale <sup>25</sup>
	36.000	256.32	05/15/20	304.16	47.84 W	0.00	347 of 2207 - Sale <sup>25</sup>
	42.000	299.04	05/15/20	350.69	51.65 W	0.00	348 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.58	3.46 W	0.00	349 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	7.12	05/15/20	10.58	3.46 W	0.00	350 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	42.31	13.83 W	0.00	351 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	80.31	23.35 W	0.00	352 of 2207 - Sale <sup>25</sup>
	9.000	64.08	05/15/20	91.26	27.18 W	0.00	353 of 2207 - Sale <sup>25</sup>
	5.000	35.59	05/15/20	53.30	17.71 W	0.00	354 of 2207 - Sale <sup>25</sup>
	15.000	106.79	05/15/20	158.99	52.20 W	0.00	355 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	9.56	2.44 W	0.00	356 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	69.25	19.41 W	0.00	357 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	82.24	25.28 W	0.00	358 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	53.29	17.69 W	0.00	359 of 2207 - Sale <sup>25</sup>
	13.000	92.56	05/15/20	124.32	31.76 W	0.00	360 of 2207 - Sale <sup>25</sup>
	20.000	142.40	05/15/20	190.18	47.78 W	0.00	361 of 2207 - Sale <sup>25</sup>
	46.000	327.51	05/15/20	438.92	111.41 W	0.00	362 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	9.97	2.85 W	0.00	363 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	40.44	11.96 W	0.00	364 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	38.79	10.31 W	0.00	365 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	39.03	10.55 W	0.00	366 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	48.89	13.29 W	0.00	367 of 2207 - Sale <sup>25</sup>
	6.000	42.72	05/15/20	58.91	16.19 W	0.00	368 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	70.91	21.07 W	0.00	369 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	79.91	22.95 W	0.00	370 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	68.32	18.48 W	0.00	371 of 2207 - Sale <sup>25</sup>
	9.000	64.08	05/15/20	85.58	21.50 W	0.00	372 of 2207 - Sale <sup>25</sup>
	45.000	320.39	05/15/20	436.05	115.66 W	0.00	373 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	9.20	2.08 W	0.00	374 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.45	1.33 W	0.00	375 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.29	1.17 W	0.00	376 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.32	1.20 W	0.00	377 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.25	1.13 W	0.00	378 of 2207 - Sale <sup>25</sup>
	2.000	14.24	05/15/20	19.48	5.24 W	0.00	379 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	36.44	7.96 W	0.00	380 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	36.10	7.62 W	0.00	381 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	45.88	10.28 W	0.00	382 of 2207 - Sale <sup>25</sup>
	6.000	42.72	05/15/20	48.94	6.22 W	0.00	383 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
7.000		49.84	05/15/20	68.10	18.26 W	0.00	384 of 2207 - Sale <sup>25</sup>
7.000		49.84	05/15/20	58.61	8.77 W	0.00	385 of 2207 - Sale <sup>25</sup>
7.000		49.84	05/15/20	57.22	7.38 W	0.00	386 of 2207 - Sale <sup>25</sup>
8.000		56.96	05/15/20	66.68	9.72 W	0.00	387 of 2207 - Sale <sup>25</sup>
9.000		64.08	05/15/20	89.90	25.82 W	0.00	388 of 2207 - Sale <sup>25</sup>
10.000		71.20	05/15/20	91.22	20.02 W	0.00	389 of 2207 - Sale <sup>25</sup>
10.000		71.20	05/15/20	81.75	10.55 W	0.00	390 of 2207 - Sale <sup>25</sup>
11.000		78.32	05/15/20	106.78	28.46 W	0.00	391 of 2207 - Sale <sup>25</sup>
11.000		78.32	05/15/20	91.15	12.83 W	0.00	392 of 2207 - Sale <sup>25</sup>
16.000		113.92	05/15/20	154.25	40.33 W	0.00	393 of 2207 - Sale <sup>25</sup>
18.000		128.15	05/15/20	147.00	18.85 W	0.00	394 of 2207 - Sale <sup>25</sup>
13.000		92.56	05/15/20	126.89	34.33 W	0.00	395 of 2207 - Sale <sup>25</sup>
26.000		185.11	05/15/20	216.23	31.12 W	0.00	396 of 2207 - Sale <sup>25</sup>
31.000		220.71	05/15/20	278.43	57.72 W	0.00	397 of 2207 - Sale <sup>25</sup>
47.000		334.62	05/15/20	390.86	56.24 W	0.00	398 of 2207 - Sale <sup>25</sup>
1.000		7.12	05/15/20	8.21	1.09 W	0.00	399 of 2207 - Sale <sup>25</sup>
3.000		21.36	05/15/20	24.43	3.07 W	0.00	400 of 2207 - Sale <sup>25</sup>
3.000		21.36	05/15/20	24.60	3.24 W	0.00	401 of 2207 - Sale <sup>25</sup>
6.000		42.72	05/15/20	48.90	6.18 W	0.00	402 of 2207 - Sale <sup>25</sup>
8.000		56.96	05/15/20	65.17	8.21 W	0.00	403 of 2207 - Sale <sup>25</sup>
8.000		56.96	05/15/20	66.34	9.38 W	0.00	404 of 2207 - Sale <sup>25</sup>
9.000		64.08	05/15/20	73.92	9.84 W	0.00	405 of 2207 - Sale <sup>25</sup>
9.000		64.08	05/15/20	72.18	8.10 W	0.00	406 of 2207 - Sale <sup>25</sup>
20.000		142.39	05/15/20	163.74	21.35 W	0.00	407 of 2207 - Sale <sup>25</sup>
33.000		234.95	05/15/20	269.13	34.18 W	0.00	408 of 2207 - Sale <sup>25</sup>
9.000		64.08	05/15/20	79.71	15.63 W	0.00	409 of 2207 - Sale <sup>25</sup>
41.000		291.90	05/15/20	363.09	71.19 W	0.00	410 of 2207 - Sale <sup>25</sup>
1.000		7.12	05/15/20	9.37	2.25 W	0.00	411 of 2207 - Sale <sup>25</sup>
6.000		42.72	05/15/20	53.20	10.48 W	0.00	412 of 2207 - Sale <sup>25</sup>
6.000		42.72	05/15/20	56.57	13.85 W	0.00	413 of 2207 - Sale <sup>25</sup>
7.000		49.84	05/15/20	65.57	15.73 W	0.00	414 of 2207 - Sale <sup>25</sup>
8.000		56.96	05/15/20	77.65	20.69 W	0.00	415 of 2207 - Sale <sup>25</sup>
12.000		85.43	05/15/20	115.08	29.65 W	0.00	416 of 2207 - Sale <sup>25</sup>
17.000		121.03	05/15/20	159.88	38.85 W	0.00	417 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	43.000	306.13	05/15/20	394.57	88.44 W	0.00	418 of 2207 - Sale <sup>25</sup>
	4.000	27.24	05/15/20	36.18	8.94 W	0.00	419 of 2207 - Sale <sup>25</sup>
	6.000	40.86	05/15/20	54.39	13.53 W	0.00	420 of 2207 - Sale <sup>25</sup>
	4.000	27.24	05/15/20	35.90	8.66 W	0.00	421 of 2207 - Sale <sup>25</sup>
	6.000	40.86	05/15/20	53.51	12.65 W	0.00	422 of 2207 - Sale <sup>25</sup>
	1.000	6.81	05/15/20	8.97	2.16 W	0.00	423 of 2207 - Sale <sup>25</sup>
	2.000	13.62	05/15/20	18.02	4.40 W	0.00	424 of 2207 - Sale <sup>25</sup>
	6.000	40.86	05/15/20	53.80	12.94 W	0.00	425 of 2207 - Sale <sup>25</sup>
	13.000	88.53	05/15/20	116.56	28.03 W	0.00	426 of 2207 - Sale <sup>25</sup>
	28.000	190.67	05/15/20	246.66	55.99 W	0.00	427 of 2207 - Sale <sup>25</sup>
	6.000	40.86	05/15/20	52.38	11.52 W	0.00	428 of 2207 - Sale <sup>25</sup>
	8.000	54.48	05/15/20	70.00	15.52 W	0.00	429 of 2207 - Sale <sup>25</sup>
	36.000	245.15	05/15/20	316.81	71.66 W	0.00	430 of 2207 - Sale <sup>25</sup>
	1.000	6.81	05/15/20	8.52	1.71 W	0.00	431 of 2207 - Sale <sup>25</sup>
	1.000	6.81	05/15/20	8.46	1.65 W	0.00	432 of 2207 - Sale <sup>25</sup>
	6.000	40.86	05/15/20	52.61	11.75 W	0.00	433 of 2207 - Sale <sup>25</sup>
	6.000	40.86	05/15/20	50.10	9.24 W	0.00	434 of 2207 - Sale <sup>25</sup>
	36.000	245.14	05/15/20	304.16	59.02 W	0.00	435 of 2207 - Sale <sup>25</sup>
	5.000	34.05	05/15/20	41.50	7.45 W	0.00	436 of 2207 - Sale <sup>25</sup>
	6.000	40.86	05/15/20	50.33	9.47 W	0.00	437 of 2207 - Sale <sup>25</sup>
	8.000	54.48	05/15/20	66.08	11.60 W	0.00	438 of 2207 - Sale <sup>25</sup>
	31.000	211.10	05/15/20	257.29	46.19 W	0.00	439 of 2207 - Sale <sup>25</sup>
	1.000	6.81	05/15/20	8.33	1.52 W	0.00	440 of 2207 - Sale <sup>25</sup>
	5.000	34.05	05/15/20	38.49	4.44 W	0.00	441 of 2207 - Sale <sup>25</sup>
	9.000	61.28	05/15/20	73.80	12.52 W	0.00	442 of 2207 - Sale <sup>25</sup>
	14.000	95.33	05/15/20	114.93	19.60 W	0.00	443 of 2207 - Sale <sup>25</sup>
	21.000	143.00	05/15/20	174.31	31.31 W	0.00	444 of 2207 - Sale <sup>25</sup>
	1.000	6.89	05/15/20	8.13	1.24 W	0.00	445 of 2207 - Sale <sup>25</sup>
	14.000	96.46	05/15/20	120.53	24.07 W	0.00	446 of 2207 - Sale <sup>25</sup>
	14.000	96.47	05/15/20	122.08	25.61 W	0.00	447 of 2207 - Sale <sup>25</sup>
	21.000	144.69	05/15/20	169.05	24.36 W	0.00	448 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.54	1.61 W	0.00	449 of 2207 - Sale <sup>25</sup>
	5.000	34.66	05/15/20	42.54	7.88 W	0.00	450 of 2207 - Sale <sup>25</sup>
	5.000	34.65	05/15/20	42.68	8.03 W	0.00	451 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	48.52	05/15/20	61.25	12.73 W	0.00	452 of 2207 - Sale <sup>25</sup>
	1.000	6.94	05/15/20	9.71	2.77 W	0.00	453 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.72	1.79 W	0.00	454 of 2207 - Sale <sup>25</sup>
	8.000	54.72	05/15/20	77.64	22.92 W	0.00	455 of 2207 - Sale <sup>25</sup>
	12.000	82.08	05/15/20	116.46	34.38 W	0.00	456 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	9.83	2.88 W	0.00	457 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	9.86	2.91 W	0.00	458 of 2207 - Sale <sup>25</sup>
	8.000	55.60	05/15/20	78.19	22.59 W	0.00	459 of 2207 - Sale <sup>25</sup>
	11.000	76.45	05/15/20	106.63	30.18 W	0.00	460 of 2207 - Sale <sup>25</sup>
	13.000	90.35	05/15/20	127.66	37.31 W	0.00	461 of 2207 - Sale <sup>25</sup>
	15.000	104.25	05/15/20	147.05	42.80 W	0.00	462 of 2207 - Sale <sup>25</sup>
	18.000	125.09	05/15/20	175.80	50.71 W	0.00	463 of 2207 - Sale <sup>25</sup>
	21.000	145.94	05/15/20	206.27	60.33 W	0.00	464 of 2207 - Sale <sup>25</sup>
	10.000	69.50	05/15/20	99.93	30.43 W	0.00	465 of 2207 - Sale <sup>25</sup>
	12.000	83.40	05/15/20	118.38	34.98 W	0.00	466 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	9.94	2.99 W	0.00	467 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	10.34	3.39 W	0.00	468 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	10.34	3.39 W	0.00	469 of 2207 - Sale <sup>25</sup>
	4.000	27.80	05/15/20	41.35	13.55 W	0.00	470 of 2207 - Sale <sup>25</sup>
	8.000	55.60	05/15/20	78.39	22.79 W	0.00	471 of 2207 - Sale <sup>25</sup>
	9.000	62.55	05/15/20	89.10	26.55 W	0.00	472 of 2207 - Sale <sup>25</sup>
	12.000	83.39	05/15/20	117.89	34.50 W	0.00	473 of 2207 - Sale <sup>25</sup>
	14.000	97.29	05/15/20	145.04	47.75 W	0.00	474 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	10.41	3.46 W	0.00	475 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	9.37	2.42 W	0.00	476 of 2207 - Sale <sup>25</sup>
	5.000	34.75	05/15/20	52.36	17.61 W	0.00	477 of 2207 - Sale <sup>25</sup>
	5.000	34.75	05/15/20	52.34	17.59 W	0.00	478 of 2207 - Sale <sup>25</sup>
	7.000	48.65	05/15/20	67.92	19.27 W	0.00	479 of 2207 - Sale <sup>25</sup>
	8.000	55.59	05/15/20	80.72	25.13 W	0.00	480 of 2207 - Sale <sup>25</sup>
	13.000	90.34	05/15/20	121.85	31.51 W	0.00	481 of 2207 - Sale <sup>25</sup>
	10.000	69.50	05/15/20	93.52	24.02 W	0.00	482 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	9.78	2.83 W	0.00	483 of 2207 - Sale <sup>25</sup>
	4.000	27.80	05/15/20	39.68	11.88 W	0.00	484 of 2207 - Sale <sup>25</sup>
	7.000	48.65	05/15/20	69.58	20.93 W	0.00	485 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	55.60	05/15/20	78.39	22.79 W	0.00	486 of 2207 - Sale <sup>25</sup>
	9.000	62.55	05/15/20	83.87	21.32 W	0.00	487 of 2207 - Sale <sup>25</sup>
	15.000	104.24	05/15/20	142.50	38.26 W	0.00	488 of 2207 - Sale <sup>25</sup>
	20.000	138.99	05/15/20	186.38	47.39 W	0.00	489 of 2207 - Sale <sup>25</sup>
	36.000	250.19	05/15/20	336.67	86.48 W	0.00	490 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	9.43	2.48 W	0.00	491 of 2207 - Sale <sup>25</sup>
	4.000	27.80	05/15/20	37.47	9.67 W	0.00	492 of 2207 - Sale <sup>25</sup>
	4.000	27.80	05/15/20	37.70	9.90 W	0.00	493 of 2207 - Sale <sup>25</sup>
	5.000	34.75	05/15/20	47.24	12.49 W	0.00	494 of 2207 - Sale <sup>25</sup>
	6.000	41.70	05/15/20	56.92	15.22 W	0.00	495 of 2207 - Sale <sup>25</sup>
	30.000	208.49	05/15/20	280.77	72.28 W	0.00	496 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	8.80	1.85 W	0.00	497 of 2207 - Sale <sup>25</sup>
	4.000	27.80	05/15/20	34.84	7.04 W	0.00	498 of 2207 - Sale <sup>25</sup>
	5.000	34.75	05/15/20	43.88	9.13 W	0.00	499 of 2207 - Sale <sup>25</sup>
	5.000	34.75	05/15/20	42.91	8.16 W	0.00	500 of 2207 - Sale <sup>25</sup>
	6.000	41.70	05/15/20	56.16	14.46 W	0.00	501 of 2207 - Sale <sup>25</sup>
	7.000	48.65	05/15/20	65.30	16.65 W	0.00	502 of 2207 - Sale <sup>25</sup>
	9.000	62.55	05/15/20	86.30	23.75 W	0.00	503 of 2207 - Sale <sup>25</sup>
	13.000	90.35	05/15/20	121.69	31.34 W	0.00	504 of 2207 - Sale <sup>25</sup>
	4.000	27.80	05/15/20	34.70	6.90 W	0.00	505 of 2207 - Sale <sup>25</sup>
	10.000	69.49	05/15/20	87.72	18.23 W	0.00	506 of 2207 - Sale <sup>25</sup>
	10.000	69.49	05/15/20	92.91	23.42 W	0.00	507 of 2207 - Sale <sup>25</sup>
	26.000	180.69	05/15/20	224.43	43.74 W	0.00	508 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	8.13	1.18 W	0.00	509 of 2207 - Sale <sup>25</sup>
	1.000	6.95	05/15/20	7.96	1.01 W	0.00	510 of 2207 - Sale <sup>25</sup>
	2.000	13.90	05/15/20	18.83	4.93 W	0.00	511 of 2207 - Sale <sup>25</sup>
	6.000	41.70	05/15/20	55.89	14.19 W	0.00	512 of 2207 - Sale <sup>25</sup>
	7.000	48.65	05/15/20	56.33	7.68 W	0.00	513 of 2207 - Sale <sup>25</sup>
	11.000	76.44	05/15/20	103.21	26.77 W	0.00	514 of 2207 - Sale <sup>25</sup>
	12.000	83.39	05/15/20	94.11	10.72 W	0.00	515 of 2207 - Sale <sup>25</sup>
	4.000	27.88	05/15/20	31.97	4.09 W	0.00	516 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	47.05	5.23 W	0.00	517 of 2207 - Sale <sup>25</sup>
	22.000	153.34	05/15/20	175.71	22.37 W	0.00	518 of 2207 - Sale <sup>25</sup>
	28.000	195.15	05/15/20	223.62	28.47 W	0.00	519 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.97	05/15/20	7.76	0.79 W	0.00	520 of 2207 - Sale <sup>25</sup>
	3.000	20.91	05/15/20	23.26	2.35 W	0.00	521 of 2207 - Sale <sup>25</sup>
	16.000	111.52	05/15/20	124.76	13.24 W	0.00	522 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	7.85	0.88 W	0.00	523 of 2207 - Sale <sup>25</sup>
	19.000	132.43	05/15/20	148.71	16.28 W	0.00	524 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	46.24	4.42 W	0.00	525 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	54.07	5.28 W	0.00	526 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	54.08	5.29 W	0.00	527 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	7.87	0.90 W	0.00	528 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	7.80	0.83 W	0.00	529 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	55.19	6.40 W	0.00	530 of 2207 - Sale <sup>25</sup>
	11.000	76.67	05/15/20	86.20	9.53 W	0.00	531 of 2207 - Sale <sup>25</sup>
	3.000	20.91	05/15/20	23.29	2.38 W	0.00	532 of 2207 - Sale <sup>25</sup>
	17.000	118.49	05/15/20	131.68	13.19 W	0.00	533 of 2207 - Sale <sup>25</sup>
	4.000	27.88	05/15/20	31.11	3.23 W	0.00	534 of 2207 - Sale <sup>25</sup>
	16.000	111.52	05/15/20	123.93	12.41 W	0.00	535 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	7.92	0.95 W	0.00	536 of 2207 - Sale <sup>25</sup>
	3.000	20.91	05/15/20	23.72	2.81 W	0.00	537 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	54.97	6.18 W	0.00	538 of 2207 - Sale <sup>25</sup>
	9.000	62.73	05/15/20	71.29	8.56 W	0.00	539 of 2207 - Sale <sup>25</sup>
	5.000	34.85	05/15/20	40.66	5.81 W	0.00	540 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	47.94	6.12 W	0.00	541 of 2207 - Sale <sup>25</sup>
	9.000	62.73	05/15/20	70.74	8.01 W	0.00	542 of 2207 - Sale <sup>25</sup>
	3.000	20.91	05/15/20	24.16	3.25 W	0.00	543 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	68.93	13.17 W	0.00	544 of 2207 - Sale <sup>25</sup>
	9.000	62.73	05/15/20	77.55	14.82 W	0.00	545 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	9.09	2.12 W	0.00	546 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	51.52	9.70 W	0.00	547 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	54.89	13.07 W	0.00	548 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	63.61	14.82 W	0.00	549 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	75.41	19.65 W	0.00	550 of 2207 - Sale <sup>25</sup>
	12.000	83.64	05/15/20	111.73	28.09 W	0.00	551 of 2207 - Sale <sup>25</sup>
	27.000	188.18	05/15/20	240.21	52.03 W	0.00	552 of 2207 - Sale <sup>25</sup>
	33.000	230.00	05/15/20	283.02	53.02 W	0.00	553 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.97	05/15/20	9.00	2.03 W	0.00	554 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	8.55	1.58 W	0.00	555 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	8.49	1.52 W	0.00	556 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	8.36	1.39 W	0.00	557 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	8.08	1.11 W	0.00	558 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	8.63	1.66 W	0.00	559 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	8.45	1.48 W	0.00	560 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	9.61	2.64 W	0.00	561 of 2207 - Sale <sup>25</sup>
	2.000	13.94	05/15/20	18.08	4.14 W	0.00	562 of 2207 - Sale <sup>25</sup>
	4.000	27.88	05/15/20	36.30	8.42 W	0.00	563 of 2207 - Sale <sup>25</sup>
	4.000	27.88	05/15/20	36.02	8.14 W	0.00	564 of 2207 - Sale <sup>25</sup>
	5.000	34.85	05/15/20	41.65	6.80 W	0.00	565 of 2207 - Sale <sup>25</sup>
	5.000	34.85	05/15/20	38.64	3.79 W	0.00	566 of 2207 - Sale <sup>25</sup>
	5.000	34.85	05/15/20	42.08	7.23 W	0.00	567 of 2207 - Sale <sup>25</sup>
	5.000	34.85	05/15/20	42.23	7.38 W	0.00	568 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	54.57	12.75 W	0.00	569 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	53.69	11.87 W	0.00	570 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	53.98	12.16 W	0.00	571 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	52.56	10.74 W	0.00	572 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	52.79	10.97 W	0.00	573 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	50.28	8.46 W	0.00	574 of 2207 - Sale <sup>25</sup>
	6.000	41.82	05/15/20	50.51	8.69 W	0.00	575 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	60.61	11.82 W	0.00	576 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	67.09	18.30 W	0.00	577 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	70.24	14.48 W	0.00	578 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	66.32	10.56 W	0.00	579 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	77.64	21.88 W	0.00	580 of 2207 - Sale <sup>25</sup>
	9.000	62.73	05/15/20	74.08	11.35 W	0.00	581 of 2207 - Sale <sup>25</sup>
	12.000	83.64	05/15/20	116.46	32.82 W	0.00	582 of 2207 - Sale <sup>25</sup>
	13.000	90.61	05/15/20	116.95	26.34 W	0.00	583 of 2207 - Sale <sup>25</sup>
	14.000	97.58	05/15/20	115.36	17.78 W	0.00	584 of 2207 - Sale <sup>25</sup>
	14.000	97.58	05/15/20	119.83	22.25 W	0.00	585 of 2207 - Sale <sup>25</sup>
	14.000	97.58	05/15/20	121.37	23.79 W	0.00	586 of 2207 - Sale <sup>25</sup>
	16.000	111.52	05/15/20	142.35	30.83 W	0.00	587 of 2207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	17.000	118.49	05/15/20	155.13	36.64 W	0.00	588 of 2207 - Sale <sup>25</sup>
	21.000	146.36	05/15/20	174.95	28.59 W	0.00	589 of 2207 - Sale <sup>25</sup>
	21.000	146.36	05/15/20	168.00	21.64 W	0.00	590 of 2207 - Sale <sup>25</sup>
	28.000	195.15	05/15/20	247.51	52.36 W	0.00	591 of 2207 - Sale <sup>25</sup>
	31.000	216.06	05/15/20	258.23	42.17 W	0.00	592 of 2207 - Sale <sup>25</sup>
	36.000	250.91	05/15/20	317.90	66.99 W	0.00	593 of 2207 - Sale <sup>25</sup>
	36.000	250.91	05/15/20	305.26	54.35 W	0.00	594 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	9.67	2.70 W	0.00	595 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	9.70	2.73 W	0.00	596 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	9.78	2.81 W	0.00	597 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	10.18	3.21 W	0.00	598 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	10.18	3.21 W	0.00	599 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	10.25	3.28 W	0.00	600 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	9.21	2.24 W	0.00	601 of 2207 - Sale <sup>25</sup>
	4.000	27.88	05/15/20	38.13	10.25 W	0.00	602 of 2207 - Sale <sup>25</sup>
	4.000	27.88	05/15/20	40.71	12.83 W	0.00	603 of 2207 - Sale <sup>25</sup>
	5.000	34.85	05/15/20	51.56	16.71 W	0.00	604 of 2207 - Sale <sup>25</sup>
	5.000	34.85	05/15/20	51.54	16.69 W	0.00	605 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	66.80	18.01 W	0.00	606 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	64.34	15.55 W	0.00	607 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	76.91	21.15 W	0.00	608 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	77.11	21.35 W	0.00	609 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	79.45	23.69 W	0.00	610 of 2207 - Sale <sup>25</sup>
	9.000	62.73	05/15/20	87.66	24.93 W	0.00	611 of 2207 - Sale <sup>25</sup>
	10.000	69.70	05/15/20	98.33	28.63 W	0.00	612 of 2207 - Sale <sup>25</sup>
	12.000	83.64	05/15/20	116.46	32.82 W	0.00	613 of 2207 - Sale <sup>25</sup>
	12.000	83.64	05/15/20	115.98	32.34 W	0.00	614 of 2207 - Sale <sup>25</sup>
	13.000	90.61	05/15/20	125.58	34.97 W	0.00	615 of 2207 - Sale <sup>25</sup>
	13.000	90.60	05/15/20	119.78	29.18 W	0.00	616 of 2207 - Sale <sup>25</sup>
	14.000	97.57	05/15/20	142.81	45.24 W	0.00	617 of 2207 - Sale <sup>25</sup>
	15.000	104.54	05/15/20	144.65	40.11 W	0.00	618 of 2207 - Sale <sup>25</sup>
	18.000	125.45	05/15/20	172.93	47.48 W	0.00	619 of 2207 - Sale <sup>25</sup>
	21.000	146.36	05/15/20	202.92	56.56 W	0.00	620 of 2207 - Sale <sup>25</sup>
	1.000	6.97	05/15/20	9.65	2.68 W	0.00	621 of 2207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.91	05/15/20	27.67	6.76 W	0.00	622 of 2207 - Sale <sup>25</sup>
	4.000	27.88	05/15/20	39.16	11.28 W	0.00	623 of 2207 - Sale <sup>25</sup>
	7.000	48.79	05/15/20	68.67	19.88 W	0.00	624 of 2207 - Sale <sup>25</sup>
	8.000	55.76	05/15/20	77.35	21.59 W	0.00	625 of 2207 - Sale <sup>25</sup>
	9.000	62.73	05/15/20	82.70	19.97 W	0.00	626 of 2207 - Sale <sup>25</sup>
	12.000	83.63	05/15/20	112.45	28.82 W	0.00	627 of 2207 - Sale <sup>25</sup>
	20.000	139.39	05/15/20	183.79	44.40 W	0.00	628 of 2207 - Sale <sup>25</sup>
	36.000	250.90	05/15/20	332.00	81.10 W	0.00	629 of 2207 - Sale <sup>25</sup>
	3.000	20.91	05/15/20	27.99	7.08 W	0.00	630 of 2207 - Sale <sup>25</sup>
	17.000	118.48	05/15/20	156.22	37.74 W	0.00	631 of 2207 - Sale <sup>25</sup>
	5.000	35.00	05/15/20	47.29	12.29 W	0.00	632 of 2207 - Sale <sup>25</sup>
	5.000	35.00	05/15/20	47.03	12.03 W	0.00	633 of 2207 - Sale <sup>25</sup>
	2.000	14.00	05/15/20	18.93	4.93 W	0.00	634 of 2207 - Sale <sup>25</sup>
	3.000	21.00	05/15/20	28.22	7.22 W	0.00	635 of 2207 - Sale <sup>25</sup>
	5.000	35.00	05/15/20	47.44	12.44 W	0.00	636 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	9.38	2.38 W	0.00	637 of 2207 - Sale <sup>25</sup>
	3.000	21.00	05/15/20	28.11	7.11 W	0.00	638 of 2207 - Sale <sup>25</sup>
	6.000	42.00	05/15/20	56.98	14.98 W	0.00	639 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	9.49	2.49 W	0.00	640 of 2207 - Sale <sup>25</sup>
	2.000	14.00	05/15/20	18.97	4.97 W	0.00	641 of 2207 - Sale <sup>25</sup>
	6.000	42.00	05/15/20	56.52	14.52 W	0.00	642 of 2207 - Sale <sup>25</sup>
	11.000	77.00	05/15/20	103.63	26.63 W	0.00	643 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	8.85	1.85 W	0.00	644 of 2207 - Sale <sup>25</sup>
	2.000	14.00	05/15/20	18.82	4.82 W	0.00	645 of 2207 - Sale <sup>25</sup>
	4.000	28.00	05/15/20	35.03	7.03 W	0.00	646 of 2207 - Sale <sup>25</sup>
	5.000	35.00	05/15/20	44.12	9.12 W	0.00	647 of 2207 - Sale <sup>25</sup>
	5.000	35.00	05/15/20	43.16	8.16 W	0.00	648 of 2207 - Sale <sup>25</sup>
	7.000	49.00	05/15/20	65.64	16.64 W	0.00	649 of 2207 - Sale <sup>25</sup>
	9.000	63.00	05/15/20	86.74	23.74 W	0.00	650 of 2207 - Sale <sup>25</sup>
	17.000	118.99	05/15/20	147.58	28.59 W	0.00	651 of 2207 - Sale <sup>25</sup>
	2.000	14.00	05/15/20	18.97	4.97 W	0.00	652 of 2207 - Sale <sup>25</sup>
	4.000	28.00	05/15/20	34.98	6.98 W	0.00	653 of 2207 - Sale <sup>25</sup>
	6.000	42.00	05/15/20	56.31	14.31 W	0.00	654 of 2207 - Sale <sup>25</sup>
	9.000	63.00	05/15/20	78.32	15.32 W	0.00	655 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	63.00	05/15/20	85.08	22.08 W	0.00	656 of 2207 - Sale <sup>25</sup>
	10.000	69.99	05/15/20	88.43	18.44 W	0.00	657 of 2207 - Sale <sup>25</sup>
	10.000	69.99	05/15/20	93.62	23.63 W	0.00	658 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	8.16	1.16 W	0.00	659 of 2207 - Sale <sup>25</sup>
	2.000	14.00	05/15/20	18.83	4.83 W	0.00	660 of 2207 - Sale <sup>25</sup>
	7.000	49.00	05/15/20	56.53	7.53 W	0.00	661 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	7.99	0.99 W	0.00	662 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	8.00	1.00 W	0.00	663 of 2207 - Sale <sup>25</sup>
	6.000	42.00	05/15/20	47.11	5.11 W	0.00	664 of 2207 - Sale <sup>25</sup>
	12.000	83.99	05/15/20	94.48	10.49 W	0.00	665 of 2207 - Sale <sup>25</sup>
	3.000	21.00	05/15/20	23.89	2.89 W	0.00	666 of 2207 - Sale <sup>25</sup>
	17.000	118.98	05/15/20	135.27	16.29 W	0.00	667 of 2207 - Sale <sup>25</sup>
	5.000	36.45	05/15/20	39.78	3.33 W	0.00	668 of 2207 - Sale <sup>25</sup>
	17.000	123.93	05/15/20	132.55	8.62 W	0.00	669 of 2207 - Sale <sup>25</sup>
	28.000	204.11	05/15/20	222.79	18.68 W	0.00	670 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	7.72	0.43 W	0.00	671 of 2207 - Sale <sup>25</sup>
	2.000	14.58	05/15/20	15.39	0.81 W	0.00	672 of 2207 - Sale <sup>25</sup>
	7.000	51.03	05/15/20	54.27	3.24 W	0.00	673 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	7.60	0.31 W	0.00	674 of 2207 - Sale <sup>25</sup>
	3.000	21.87	05/15/20	22.76	0.89 W	0.00	675 of 2207 - Sale <sup>25</sup>
	3.000	21.87	05/15/20	22.78	0.91 W	0.00	676 of 2207 - Sale <sup>25</sup>
	4.000	29.16	05/15/20	30.47	1.31 W	0.00	677 of 2207 - Sale <sup>25</sup>
	7.000	51.03	05/15/20	53.85	2.82 W	0.00	678 of 2207 - Sale <sup>25</sup>
	16.000	116.63	05/15/20	121.37	4.74 W	0.00	679 of 2207 - Sale <sup>25</sup>
	16.000	116.63	05/15/20	122.20	5.57 W	0.00	680 of 2207 - Sale <sup>25</sup>
	3.000	21.87	05/15/20	23.21	1.34 W	0.00	681 of 2207 - Sale <sup>25</sup>
	9.000	65.61	05/15/20	69.76	4.15 W	0.00	682 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	7.75	0.46 W	0.00	683 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	7.69	0.40 W	0.00	684 of 2207 - Sale <sup>25</sup>
	6.000	43.74	05/15/20	46.92	3.18 W	0.00	685 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	7.74	0.45 W	0.00	686 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	7.67	0.38 W	0.00	687 of 2207 - Sale <sup>25</sup>
	3.000	21.87	05/15/20	22.90	1.03 W	0.00	688 of 2207 - Sale <sup>25</sup>
	6.000	43.74	05/15/20	45.45	1.71 W	0.00	689 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	51.03	05/15/20	53.15	2.12 W	0.00	690 of 2207 - Sale <sup>25</sup>
	7.000	51.03	05/15/20	53.16	2.13 W	0.00	691 of 2207 - Sale <sup>25</sup>
	11.000	80.19	05/15/20	84.76	4.57 W	0.00	692 of 2207 - Sale <sup>25</sup>
	14.000	102.05	05/15/20	106.60	4.55 W	0.00	693 of 2207 - Sale <sup>25</sup>
	6.000	43.73	05/15/20	46.98	3.25 W	0.00	694 of 2207 - Sale <sup>25</sup>
	3.000	21.87	05/15/20	24.07	2.20 W	0.00	695 of 2207 - Sale <sup>25</sup>
	5.000	36.45	05/15/20	40.51	4.06 W	0.00	696 of 2207 - Sale <sup>25</sup>
	2.000	14.58	05/15/20	15.66	1.08 W	0.00	697 of 2207 - Sale <sup>25</sup>
	8.000	58.32	05/15/20	68.69	10.37 W	0.00	698 of 2207 - Sale <sup>25</sup>
	9.000	65.61	05/15/20	77.28	11.67 W	0.00	699 of 2207 - Sale <sup>25</sup>
	17.000	123.92	05/15/20	145.29	21.37 W	0.00	700 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	9.12	1.83 W	0.00	701 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	9.16	1.87 W	0.00	702 of 2207 - Sale <sup>25</sup>
	6.000	43.74	05/15/20	51.70	7.96 W	0.00	703 of 2207 - Sale <sup>25</sup>
	6.000	43.74	05/15/20	55.07	11.33 W	0.00	704 of 2207 - Sale <sup>25</sup>
	7.000	51.03	05/15/20	63.82	12.79 W	0.00	705 of 2207 - Sale <sup>25</sup>
	8.000	58.32	05/15/20	75.65	17.33 W	0.00	706 of 2207 - Sale <sup>25</sup>
	12.000	87.48	05/15/20	112.09	24.61 W	0.00	707 of 2207 - Sale <sup>25</sup>
	16.000	116.63	05/15/20	137.71	21.08 W	0.00	708 of 2207 - Sale <sup>25</sup>
	16.000	116.63	05/15/20	142.83	26.20 W	0.00	709 of 2207 - Sale <sup>25</sup>
	27.000	196.82	05/15/20	241.03	44.21 W	0.00	710 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	9.03	1.74 W	0.00	711 of 2207 - Sale <sup>25</sup>
	2.000	14.58	05/15/20	18.14	3.56 W	0.00	712 of 2207 - Sale <sup>25</sup>
	4.000	29.16	05/15/20	36.42	7.26 W	0.00	713 of 2207 - Sale <sup>25</sup>
	4.000	29.16	05/15/20	36.14	6.98 W	0.00	714 of 2207 - Sale <sup>25</sup>
	6.000	43.74	05/15/20	54.75	11.01 W	0.00	715 of 2207 - Sale <sup>25</sup>
	6.000	43.74	05/15/20	53.87	10.13 W	0.00	716 of 2207 - Sale <sup>25</sup>
	6.000	43.74	05/15/20	54.16	10.42 W	0.00	717 of 2207 - Sale <sup>25</sup>
	13.000	94.77	05/15/20	117.34	22.57 W	0.00	718 of 2207 - Sale <sup>25</sup>
	14.000	102.05	05/15/20	124.05	22.00 W	0.00	719 of 2207 - Sale <sup>25</sup>
	16.000	116.63	05/15/20	146.48	29.85 W	0.00	720 of 2207 - Sale <sup>25</sup>
	28.000	204.11	05/15/20	248.36	44.25 W	0.00	721 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	8.48	1.19 W	0.00	722 of 2207 - Sale <sup>25</sup>
	1.000	7.29	05/15/20	8.42	1.13 W	0.00	723 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
6.000		43.74	05/15/20	52.14	8.40 W	0.00	724 of 2207 - Sale <sup>25</sup>
6.000		43.74	05/15/20	52.37	8.63 W	0.00	725 of 2207 - Sale <sup>25</sup>
6.000		43.74	05/15/20	50.46	6.72 W	0.00	726 of 2207 - Sale <sup>25</sup>
8.000		58.32	05/15/20	69.68	11.36 W	0.00	727 of 2207 - Sale <sup>25</sup>
22.000		160.37	05/15/20	192.74	32.37 W	0.00	728 of 2207 - Sale <sup>25</sup>
28.000		204.10	05/15/20	237.71	33.61 W	0.00	729 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	8.09	1.05 W	0.00	730 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	8.64	1.60 W	0.00	731 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	8.46	1.42 W	0.00	732 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	38.69	3.49 W	0.00	733 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	42.13	6.93 W	0.00	734 of 2207 - Sale <sup>25</sup>
7.000		49.28	05/15/20	60.68	11.40 W	0.00	735 of 2207 - Sale <sup>25</sup>
8.000		56.32	05/15/20	66.73	10.41 W	0.00	736 of 2207 - Sale <sup>25</sup>
9.000		63.36	05/15/20	74.17	10.81 W	0.00	737 of 2207 - Sale <sup>25</sup>
14.000		98.56	05/15/20	115.50	16.94 W	0.00	738 of 2207 - Sale <sup>25</sup>
14.000		98.56	05/15/20	119.97	21.41 W	0.00	739 of 2207 - Sale <sup>25</sup>
14.000		98.56	05/15/20	121.51	22.95 W	0.00	740 of 2207 - Sale <sup>25</sup>
21.000		147.84	05/15/20	168.22	20.38 W	0.00	741 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	8.37	1.33 W	0.00	742 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	41.70	6.50 W	0.00	743 of 2207 - Sale <sup>25</sup>
6.000		42.24	05/15/20	50.34	8.10 W	0.00	744 of 2207 - Sale <sup>25</sup>
6.000		42.24	05/15/20	50.57	8.33 W	0.00	745 of 2207 - Sale <sup>25</sup>
8.000		56.32	05/15/20	66.40	10.08 W	0.00	746 of 2207 - Sale <sup>25</sup>
13.000		91.52	05/15/20	108.44	16.92 W	0.00	747 of 2207 - Sale <sup>25</sup>
2.000		14.08	05/15/20	16.98	2.90 W	0.00	748 of 2207 - Sale <sup>25</sup>
31.000		218.23	05/15/20	258.55	40.32 W	0.00	749 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	9.75	2.71 W	0.00	750 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	9.81	2.77 W	0.00	751 of 2207 - Sale <sup>25</sup>
4.000		28.16	05/15/20	38.69	10.53 W	0.00	752 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	42.93	7.73 W	0.00	753 of 2207 - Sale <sup>25</sup>
7.000		49.28	05/15/20	68.07	18.79 W	0.00	754 of 2207 - Sale <sup>25</sup>
8.000		56.32	05/15/20	78.76	22.44 W	0.00	755 of 2207 - Sale <sup>25</sup>
12.000		84.48	05/15/20	118.14	33.66 W	0.00	756 of 2207 - Sale <sup>25</sup>
13.000		91.52	05/15/20	127.40	35.88 W	0.00	757 of 2207 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
13.000		91.52	05/15/20	126.72	35.20 W	0.00	758 of 2207 - Sale <sup>25</sup>
15.000		105.60	05/15/20	146.76	41.16 W	0.00	759 of 2207 - Sale <sup>25</sup>
21.000		147.83	05/15/20	205.87	58.04 W	0.00	760 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	10.08	3.04 W	0.00	761 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	10.16	3.12 W	0.00	762 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	10.56	3.52 W	0.00	763 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	10.56	3.52 W	0.00	764 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	10.63	3.59 W	0.00	765 of 2207 - Sale <sup>25</sup>
3.000		21.12	05/15/20	30.93	9.81 W	0.00	766 of 2207 - Sale <sup>25</sup>
4.000		28.16	05/15/20	42.23	14.07 W	0.00	767 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	49.94	14.74 W	0.00	768 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	53.46	18.26 W	0.00	769 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	53.44	18.24 W	0.00	770 of 2207 - Sale <sup>25</sup>
8.000		56.32	05/15/20	79.95	23.63 W	0.00	771 of 2207 - Sale <sup>25</sup>
8.000		56.32	05/15/20	80.15	23.83 W	0.00	772 of 2207 - Sale <sup>25</sup>
9.000		63.36	05/15/20	91.08	27.72 W	0.00	773 of 2207 - Sale <sup>25</sup>
10.000		70.40	05/15/20	102.13	31.73 W	0.00	774 of 2207 - Sale <sup>25</sup>
12.000		84.48	05/15/20	121.02	36.54 W	0.00	775 of 2207 - Sale <sup>25</sup>
12.000		84.48	05/15/20	120.54	36.06 W	0.00	776 of 2207 - Sale <sup>25</sup>
14.000		98.55	05/15/20	148.14	49.59 W	0.00	777 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	9.57	2.53 W	0.00	778 of 2207 - Sale <sup>25</sup>
3.000		21.12	05/15/20	28.75	7.63 W	0.00	779 of 2207 - Sale <sup>25</sup>
3.000		21.12	05/15/20	30.51	9.39 W	0.00	780 of 2207 - Sale <sup>25</sup>
4.000		28.16	05/15/20	40.60	12.44 W	0.00	781 of 2207 - Sale <sup>25</sup>
5.000		35.20	05/15/20	51.46	16.26 W	0.00	782 of 2207 - Sale <sup>25</sup>
7.000		49.28	05/15/20	69.32	20.04 W	0.00	783 of 2207 - Sale <sup>25</sup>
7.000		49.28	05/15/20	66.86	17.58 W	0.00	784 of 2207 - Sale <sup>25</sup>
9.000		63.36	05/15/20	85.94	22.58 W	0.00	785 of 2207 - Sale <sup>25</sup>
13.000		91.51	05/15/20	124.47	32.96 W	0.00	786 of 2207 - Sale <sup>25</sup>
20.000		140.79	05/15/20	191.00	50.21 W	0.00	787 of 2207 - Sale <sup>25</sup>
36.000		253.42	05/15/20	344.98	91.56 W	0.00	788 of 2207 - Sale <sup>25</sup>
1.000		7.04	05/15/20	9.97	2.93 W	0.00	789 of 2207 - Sale <sup>25</sup>
4.000		28.16	05/15/20	40.52	12.36 W	0.00	790 of 2207 - Sale <sup>25</sup>
8.000		56.31	05/15/20	79.91	23.60 W	0.00	791 of 2207 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
7.000		49.27	05/15/20	67.84	18.57 W	0.00	792 of 2207 - Sale <sup>25</sup>
3.000		21.00	05/15/20	28.95	7.95 W	0.00	793 of 2207 - Sale <sup>25</sup>
5.000		35.00	05/15/20	48.74	13.74 W	0.00	794 of 2207 - Sale <sup>25</sup>
5.000		35.00	05/15/20	48.46	13.46 W	0.00	795 of 2207 - Sale <sup>25</sup>
17.000		118.99	05/15/20	161.67	42.68 W	0.00	796 of 2207 - Sale <sup>25</sup>
1.000		7.00	05/15/20	9.60	2.60 W	0.00	797 of 2207 - Sale <sup>25</sup>
1.000		7.00	05/15/20	9.71	2.71 W	0.00	798 of 2207 - Sale <sup>25</sup>
2.000		14.00	05/15/20	19.37	5.37 W	0.00	799 of 2207 - Sale <sup>25</sup>
2.000		14.00	05/15/20	19.41	5.41 W	0.00	800 of 2207 - Sale <sup>25</sup>
2.000		14.00	05/15/20	19.26	5.26 W	0.00	801 of 2207 - Sale <sup>25</sup>
3.000		21.00	05/15/20	28.77	7.77 W	0.00	802 of 2207 - Sale <sup>25</sup>
3.000		21.00	05/15/20	28.88	7.88 W	0.00	803 of 2207 - Sale <sup>25</sup>
3.000		21.00	05/15/20	28.79	7.79 W	0.00	804 of 2207 - Sale <sup>25</sup>
5.000		35.00	05/15/20	48.13	13.13 W	0.00	805 of 2207 - Sale <sup>25</sup>
5.000		35.00	05/15/20	48.54	13.54 W	0.00	806 of 2207 - Sale <sup>25</sup>
6.000		42.00	05/15/20	58.30	16.30 W	0.00	807 of 2207 - Sale <sup>25</sup>
6.000		42.00	05/15/20	57.84	15.84 W	0.00	808 of 2207 - Sale <sup>25</sup>
11.000		76.99	05/15/20	106.05	29.06 W	0.00	809 of 2207 - Sale <sup>25</sup>
1.000		7.00	05/15/20	9.05	2.05 W	0.00	810 of 2207 - Sale <sup>25</sup>
4.000		28.00	05/15/20	38.31	10.31 W	0.00	811 of 2207 - Sale <sup>25</sup>
4.000		28.00	05/15/20	35.83	7.83 W	0.00	812 of 2207 - Sale <sup>25</sup>
2.000		14.00	05/15/20	18.05	4.05 W	0.00	813 of 2207 - Sale <sup>25</sup>
9.000		62.99	05/15/20	88.54	25.55 W	0.00	814 of 2207 - Sale <sup>25</sup>
3.000		21.06	05/15/20	27.07	6.01 W	0.00	815 of 2207 - Sale <sup>25</sup>
5.000		35.10	05/15/20	44.16	9.06 W	0.00	816 of 2207 - Sale <sup>25</sup>
5.000		35.10	05/15/20	44.51	9.41 W	0.00	817 of 2207 - Sale <sup>25</sup>
17.000		119.33	05/15/20	150.99	31.66 W	0.00	818 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	19.27	5.23 W	0.00	819 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	19.13	5.09 W	0.00	820 of 2207 - Sale <sup>25</sup>
3.000		21.06	05/15/20	24.67	3.61 W	0.00	821 of 2207 - Sale <sup>25</sup>
4.000		28.08	05/15/20	35.41	7.33 W	0.00	822 of 2207 - Sale <sup>25</sup>
4.000		28.08	05/15/20	35.57	7.49 W	0.00	823 of 2207 - Sale <sup>25</sup>
6.000		42.12	05/15/20	57.20	15.08 W	0.00	824 of 2207 - Sale <sup>25</sup>
9.000		63.17	05/15/20	86.42	23.25 W	0.00	825 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
10.000		70.20	05/15/20	89.93	19.73 W	0.00	826 of 2207 - Sale <sup>25</sup>
10.000		70.20	05/15/20	95.12	24.92 W	0.00	827 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	8.30	1.28 W	0.00	828 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	8.13	1.11 W	0.00	829 of 2207 - Sale <sup>25</sup>
4.000		28.08	05/15/20	32.86	4.78 W	0.00	830 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	15.98	1.94 W	0.00	831 of 2207 - Sale <sup>25</sup>
12.000		84.23	05/15/20	96.17	11.94 W	0.00	832 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	8.14	1.51 W	0.00	833 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	24.31	4.42 W	0.00	834 of 2207 - Sale <sup>25</sup>
5.000		33.15	05/15/20	39.03	5.88 W	0.00	835 of 2207 - Sale <sup>25</sup>
4.000		26.52	05/15/20	31.97	5.45 W	0.00	836 of 2207 - Sale <sup>25</sup>
17.000		112.71	05/15/20	137.67	24.96 W	0.00	837 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	7.53	0.90 W	0.00	838 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	7.55	0.92 W	0.00	839 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	7.49	0.86 W	0.00	840 of 2207 - Sale <sup>25</sup>
2.000		13.26	05/15/20	15.02	1.76 W	0.00	841 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	22.34	2.45 W	0.00	842 of 2207 - Sale <sup>25</sup>
6.000		39.78	05/15/20	44.34	4.56 W	0.00	843 of 2207 - Sale <sup>25</sup>
7.000		46.41	05/15/20	52.98	6.57 W	0.00	844 of 2207 - Sale <sup>25</sup>
7.000		46.41	05/15/20	51.85	5.44 W	0.00	845 of 2207 - Sale <sup>25</sup>
7.000		46.41	05/15/20	51.87	5.46 W	0.00	846 of 2207 - Sale <sup>25</sup>
9.000		59.67	05/15/20	66.88	7.21 W	0.00	847 of 2207 - Sale <sup>25</sup>
11.000		72.93	05/15/20	82.72	9.79 W	0.00	848 of 2207 - Sale <sup>25</sup>
17.000		112.71	05/15/20	129.41	16.70 W	0.00	849 of 2207 - Sale <sup>25</sup>
28.000		185.64	05/15/20	217.62	31.98 W	0.00	850 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	7.41	0.78 W	0.00	851 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	7.56	0.93 W	0.00	852 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	7.51	0.88 W	0.00	853 of 2207 - Sale <sup>25</sup>
2.000		13.26	05/15/20	15.29	2.03 W	0.00	854 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	22.20	2.31 W	0.00	855 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	22.23	2.34 W	0.00	856 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	22.66	2.77 W	0.00	857 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	23.52	3.63 W	0.00	858 of 2207 - Sale <sup>25</sup>
4.000		26.52	05/15/20	29.73	3.21 W	0.00	859 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	33.15	05/15/20	37.15	4.00 W	0.00	860 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	39.58	6.43 W	0.00	861 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	45.81	6.03 W	0.00	862 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	45.88	6.10 W	0.00	863 of 2207 - Sale <sup>25</sup>
	7.000	46.41	05/15/20	52.56	6.15 W	0.00	864 of 2207 - Sale <sup>25</sup>
	9.000	59.67	05/15/20	68.09	8.42 W	0.00	865 of 2207 - Sale <sup>25</sup>
	9.000	59.67	05/15/20	75.61	15.94 W	0.00	866 of 2207 - Sale <sup>25</sup>
	16.000	106.08	05/15/20	118.42	12.34 W	0.00	867 of 2207 - Sale <sup>25</sup>
	16.000	106.08	05/15/20	119.25	13.17 W	0.00	868 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.93	2.30 W	0.00	869 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	50.59	10.81 W	0.00	870 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	53.96	14.18 W	0.00	871 of 2207 - Sale <sup>25</sup>
	7.000	46.41	05/15/20	62.53	16.12 W	0.00	872 of 2207 - Sale <sup>25</sup>
	8.000	53.04	05/15/20	67.21	14.17 W	0.00	873 of 2207 - Sale <sup>25</sup>
	8.000	53.04	05/15/20	74.17	21.13 W	0.00	874 of 2207 - Sale <sup>25</sup>
	12.000	79.56	05/15/20	109.87	30.31 W	0.00	875 of 2207 - Sale <sup>25</sup>
	16.000	106.08	05/15/20	134.76	28.68 W	0.00	876 of 2207 - Sale <sup>25</sup>
	17.000	112.71	05/15/20	142.16	29.45 W	0.00	877 of 2207 - Sale <sup>25</sup>
	19.000	125.97	05/15/20	166.10	40.13 W	0.00	878 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.98	2.35 W	0.00	879 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.84	2.21 W	0.00	880 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	17.77	4.51 W	0.00	881 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	35.68	9.16 W	0.00	882 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	35.40	8.88 W	0.00	883 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	53.64	13.86 W	0.00	884 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	52.76	12.98 W	0.00	885 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	53.05	13.27 W	0.00	886 of 2207 - Sale <sup>25</sup>
	8.000	53.04	05/15/20	69.94	16.90 W	0.00	887 of 2207 - Sale <sup>25</sup>
	13.000	86.19	05/15/20	114.94	28.75 W	0.00	888 of 2207 - Sale <sup>25</sup>
	16.000	106.08	05/15/20	139.88	33.80 W	0.00	889 of 2207 - Sale <sup>25</sup>
	16.000	106.08	05/15/20	143.53	37.45 W	0.00	890 of 2207 - Sale <sup>25</sup>
	17.000	112.71	05/15/20	147.65	34.94 W	0.00	891 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.29	1.66 W	0.00	892 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.24	1.61 W	0.00	893 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	39.78	05/15/20	51.03	11.25 W	0.00	894 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	51.26	11.48 W	0.00	895 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	49.35	9.57 W	0.00	896 of 2207 - Sale <sup>25</sup>
	8.000	53.04	05/15/20	68.20	15.16 W	0.00	897 of 2207 - Sale <sup>25</sup>
	11.000	72.93	05/15/20	95.54	22.61 W	0.00	898 of 2207 - Sale <sup>25</sup>
	14.000	92.82	05/15/20	121.47	28.65 W	0.00	899 of 2207 - Sale <sup>25</sup>
	22.000	145.85	05/15/20	188.68	42.83 W	0.00	900 of 2207 - Sale <sup>25</sup>
	25.000	165.74	05/15/20	207.63	41.89 W	0.00	901 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.16	1.53 W	0.00	902 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	26.26	6.37 W	0.00	903 of 2207 - Sale <sup>25</sup>
	11.000	72.93	05/15/20	91.58	18.65 W	0.00	904 of 2207 - Sale <sup>25</sup>
	14.000	92.82	05/15/20	121.02	28.20 W	0.00	905 of 2207 - Sale <sup>25</sup>
	21.000	139.22	05/15/20	169.80	30.58 W	0.00	906 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	17.16	3.90 W	0.00	907 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	24.99	5.10 W	0.00	908 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	25.17	5.28 W	0.00	909 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	42.15	9.00 W	0.00	910 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	50.88	11.10 W	0.00	911 of 2207 - Sale <sup>25</sup>
	31.000	205.52	05/15/20	261.35	55.83 W	0.00	912 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.42	1.79 W	0.00	913 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	24.90	5.01 W	0.00	914 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	41.75	8.60 W	0.00	915 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	38.94	5.79 W	0.00	916 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	50.87	11.09 W	0.00	917 of 2207 - Sale <sup>25</sup>
	8.000	53.04	05/15/20	67.13	14.09 W	0.00	918 of 2207 - Sale <sup>25</sup>
	9.000	59.67	05/15/20	74.62	14.95 W	0.00	919 of 2207 - Sale <sup>25</sup>
	13.000	86.19	05/15/20	109.09	22.90 W	0.00	920 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.75	2.12 W	0.00	921 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.57	1.94 W	0.00	922 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	9.86	3.23 W	0.00	923 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	42.68	9.53 W	0.00	924 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	43.48	10.33 W	0.00	925 of 2207 - Sale <sup>25</sup>
	7.000	46.41	05/15/20	61.45	15.04 W	0.00	926 of 2207 - Sale <sup>25</sup>
	8.000	53.04	05/15/20	79.64	26.60 W	0.00	927 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		72.93	05/15/20	96.68	23.75 W	0.00	928 of 2207 - Sale <sup>25</sup>
11.000		72.93	05/15/20	109.51	36.58 W	0.00	929 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	9.90	3.27 W	0.00	930 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	9.87	3.24 W	0.00	931 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	29.53	9.64 W	0.00	932 of 2207 - Sale <sup>25</sup>
4.000		26.52	05/15/20	38.93	12.41 W	0.00	933 of 2207 - Sale <sup>25</sup>
7.000		46.41	05/15/20	68.49	22.08 W	0.00	934 of 2207 - Sale <sup>25</sup>
13.000		86.19	05/15/20	128.18	41.99 W	0.00	935 of 2207 - Sale <sup>25</sup>
21.000		139.22	05/15/20	207.14	67.92 W	0.00	936 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	10.11	3.48 W	0.00	937 of 2207 - Sale <sup>25</sup>
5.000		33.15	05/15/20	50.09	16.94 W	0.00	938 of 2207 - Sale <sup>25</sup>
8.000		53.04	05/15/20	80.19	27.15 W	0.00	939 of 2207 - Sale <sup>25</sup>
11.000		72.93	05/15/20	111.26	38.33 W	0.00	940 of 2207 - Sale <sup>25</sup>
12.000		79.56	05/15/20	117.77	38.21 W	0.00	941 of 2207 - Sale <sup>25</sup>
13.000		86.19	05/15/20	127.11	40.92 W	0.00	942 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	9.95	3.32 W	0.00	943 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	10.02	3.39 W	0.00	944 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	10.42	3.79 W	0.00	945 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	10.42	3.79 W	0.00	946 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	31.33	11.44 W	0.00	947 of 2207 - Sale <sup>25</sup>
4.000		26.52	05/15/20	41.67	15.15 W	0.00	948 of 2207 - Sale <sup>25</sup>
8.000		53.04	05/15/20	79.03	25.99 W	0.00	949 of 2207 - Sale <sup>25</sup>
9.000		59.67	05/15/20	89.82	30.15 W	0.00	950 of 2207 - Sale <sup>25</sup>
10.000		66.30	05/15/20	100.73	34.43 W	0.00	951 of 2207 - Sale <sup>25</sup>
12.000		79.56	05/15/20	118.86	39.30 W	0.00	952 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	10.57	3.94 W	0.00	953 of 2207 - Sale <sup>25</sup>
1.000		6.63	05/15/20	9.51	2.88 W	0.00	954 of 2207 - Sale <sup>25</sup>
3.000		19.89	05/15/20	30.75	10.86 W	0.00	955 of 2207 - Sale <sup>25</sup>
5.000		33.15	05/15/20	53.15	20.00 W	0.00	956 of 2207 - Sale <sup>25</sup>
5.000		33.15	05/15/20	53.13	19.98 W	0.00	957 of 2207 - Sale <sup>25</sup>
5.000		33.15	05/15/20	51.15	18.00 W	0.00	958 of 2207 - Sale <sup>25</sup>
7.000		46.41	05/15/20	68.89	22.48 W	0.00	959 of 2207 - Sale <sup>25</sup>
11.000		72.93	05/15/20	115.73	42.80 W	0.00	960 of 2207 - Sale <sup>25</sup>
12.000		79.55	05/15/20	114.17	34.62 W	0.00	961 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.63	05/15/20	9.57	2.94 W	0.00	962 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	28.72	8.83 W	0.00	963 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	28.62	8.73 W	0.00	964 of 2207 - Sale <sup>25</sup>
	7.000	46.41	05/15/20	66.78	20.37 W	0.00	965 of 2207 - Sale <sup>25</sup>
	36.000	238.66	05/15/20	344.59	105.93 W	0.00	966 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	9.97	3.34 W	0.00	967 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	30.51	10.62 W	0.00	968 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	40.60	14.08 W	0.00	969 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	40.52	14.00 W	0.00	970 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	38.77	12.25 W	0.00	971 of 2207 - Sale <sup>25</sup>
	8.000	53.04	05/15/20	79.92	26.88 W	0.00	972 of 2207 - Sale <sup>25</sup>
	9.000	59.67	05/15/20	85.94	26.27 W	0.00	973 of 2207 - Sale <sup>25</sup>
	17.000	112.70	05/15/20	162.36	49.66 W	0.00	974 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	9.68	3.05 W	0.00	975 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	19.41	6.15 W	0.00	976 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	29.20	9.31 W	0.00	977 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	29.19	9.30 W	0.00	978 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	29.01	9.12 W	0.00	979 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	48.86	15.71 W	0.00	980 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	49.14	15.99 W	0.00	981 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	48.53	15.38 W	0.00	982 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	58.78	19.00 W	0.00	983 of 2207 - Sale <sup>25</sup>
	17.000	112.70	05/15/20	163.04	50.34 W	0.00	984 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	9.70	3.07 W	0.00	985 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	9.78	3.15 W	0.00	986 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	19.51	6.25 W	0.00	987 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	19.55	6.29 W	0.00	988 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	19.40	6.14 W	0.00	989 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	29.00	9.11 W	0.00	990 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	38.58	12.06 W	0.00	991 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	36.10	9.58 W	0.00	992 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	48.88	15.73 W	0.00	993 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	58.25	18.47 W	0.00	994 of 2207 - Sale <sup>25</sup>
	9.000	59.66	05/15/20	89.17	29.51 W	0.00	995 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	11.000	72.92	05/15/20	106.82	33.90 W	0.00	996 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.94	2.31 W	0.00	997 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.35	1.72 W	0.00	998 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.18	1.55 W	0.00	999 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	19.37	6.11 W	0.00	1000 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	19.23	5.97 W	0.00	1001 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	24.82	4.93 W	0.00	1002 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	33.05	6.53 W	0.00	1003 of 2207 - Sale <sup>25</sup>
	6.000	39.78	05/15/20	57.49	17.71 W	0.00	1004 of 2207 - Sale <sup>25</sup>
	9.000	59.66	05/15/20	86.87	27.21 W	0.00	1005 of 2207 - Sale <sup>25</sup>
	10.000	66.29	05/15/20	95.61	29.32 W	0.00	1006 of 2207 - Sale <sup>25</sup>
	11.000	72.92	05/15/20	88.70	15.78 W	0.00	1007 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	9.10	2.47 W	0.00	1008 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	18.15	4.89 W	0.00	1009 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	27.16	7.27 W	0.00	1010 of 2207 - Sale <sup>25</sup>
	3.000	19.89	05/15/20	26.77	6.88 W	0.00	1011 of 2207 - Sale <sup>25</sup>
	4.000	26.52	05/15/20	35.53	9.01 W	0.00	1012 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	44.31	11.16 W	0.00	1013 of 2207 - Sale <sup>25</sup>
	5.000	33.15	05/15/20	44.66	11.51 W	0.00	1014 of 2207 - Sale <sup>25</sup>
	10.000	66.29	05/15/20	90.23	23.94 W	0.00	1015 of 2207 - Sale <sup>25</sup>
	17.000	112.70	05/15/20	151.51	38.81 W	0.00	1016 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	8.03	1.40 W	0.00	1017 of 2207 - Sale <sup>25</sup>
	2.000	13.26	05/15/20	16.01	2.75 W	0.00	1018 of 2207 - Sale <sup>25</sup>
	47.000	311.57	05/15/20	330.64	19.07 W	0.00	1019 of 2207 - Sale <sup>25</sup>
	1.000	6.63	05/15/20	7.03	0.40 W	0.00	1020 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	8.54	1.92 W	0.00	1021 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	25.51	5.65 W	0.00	1022 of 2207 - Sale <sup>25</sup>
	4.000	26.48	05/15/20	33.57	7.09 W	0.00	1023 of 2207 - Sale <sup>25</sup>
	5.000	33.11	05/15/20	41.03	7.92 W	0.00	1024 of 2207 - Sale <sup>25</sup>
	17.000	112.56	05/15/20	144.47	31.91 W	0.00	1025 of 2207 - Sale <sup>25</sup>
	19.000	125.80	05/15/20	155.27	29.47 W	0.00	1026 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	7.73	1.11 W	0.00	1027 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	7.75	1.13 W	0.00	1028 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	7.69	1.07 W	0.00	1029 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.62	05/15/20	7.59	0.97 W	0.00	1030 of 2207 - Sale <sup>25</sup>
	2.000	13.24	05/15/20	15.42	2.18 W	0.00	1031 of 2207 - Sale <sup>25</sup>
	7.000	46.35	05/15/20	54.38	8.03 W	0.00	1032 of 2207 - Sale <sup>25</sup>
	9.000	59.59	05/15/20	71.75	12.16 W	0.00	1033 of 2207 - Sale <sup>25</sup>
	11.000	72.83	05/15/20	84.92	12.09 W	0.00	1034 of 2207 - Sale <sup>25</sup>
	17.000	112.56	05/15/20	132.81	20.25 W	0.00	1035 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	23.39	3.53 W	0.00	1036 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	23.25	3.39 W	0.00	1037 of 2207 - Sale <sup>25</sup>
	5.000	33.11	05/15/20	38.70	5.59 W	0.00	1038 of 2207 - Sale <sup>25</sup>
	5.000	33.11	05/15/20	38.90	5.79 W	0.00	1039 of 2207 - Sale <sup>25</sup>
	7.000	46.35	05/15/20	54.30	7.95 W	0.00	1040 of 2207 - Sale <sup>25</sup>
	7.000	46.35	05/15/20	54.32	7.97 W	0.00	1041 of 2207 - Sale <sup>25</sup>
	9.000	59.59	05/15/20	70.03	10.44 W	0.00	1042 of 2207 - Sale <sup>25</sup>
	11.000	72.83	05/15/20	85.26	12.43 W	0.00	1043 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	7.75	1.13 W	0.00	1044 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	7.90	1.28 W	0.00	1045 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	7.98	1.36 W	0.00	1046 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	23.25	3.39 W	0.00	1047 of 2207 - Sale <sup>25</sup>
	3.000	19.87	05/15/20	23.68	3.81 W	0.00	1048 of 2207 - Sale <sup>25</sup>
	4.000	26.48	05/15/20	31.09	4.61 W	0.00	1049 of 2207 - Sale <sup>25</sup>
	5.000	33.10	05/15/20	38.71	5.61 W	0.00	1050 of 2207 - Sale <sup>25</sup>
	7.000	46.35	05/15/20	54.94	8.59 W	0.00	1051 of 2207 - Sale <sup>25</sup>
	9.000	59.59	05/15/20	71.15	11.56 W	0.00	1052 of 2207 - Sale <sup>25</sup>
	16.000	105.94	05/15/20	124.69	18.75 W	0.00	1053 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	7.88	1.26 W	0.00	1054 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	7.76	1.06 W	0.00	1055 of 2207 - Sale <sup>25</sup>
	2.000	13.41	05/15/20	15.79	2.38 W	0.00	1056 of 2207 - Sale <sup>25</sup>
	3.000	20.11	05/15/20	24.27	4.16 W	0.00	1057 of 2207 - Sale <sup>25</sup>
	4.000	26.82	05/15/20	31.54	4.72 W	0.00	1058 of 2207 - Sale <sup>25</sup>
	5.000	33.52	05/15/20	40.83	7.31 W	0.00	1059 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	47.38	7.15 W	0.00	1060 of 2207 - Sale <sup>25</sup>
	8.000	53.64	05/15/20	69.21	15.57 W	0.00	1061 of 2207 - Sale <sup>25</sup>
	9.000	60.34	05/15/20	77.86	17.52 W	0.00	1062 of 2207 - Sale <sup>25</sup>
	11.000	73.75	05/15/20	94.74	20.99 W	0.00	1063 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.70	05/15/20	9.16	2.46 W	0.00	1064 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.22	2.52 W	0.00	1065 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	51.54	11.31 W	0.00	1066 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	51.96	11.73 W	0.00	1067 of 2207 - Sale <sup>25</sup>
	8.000	53.64	05/15/20	76.00	22.36 W	0.00	1068 of 2207 - Sale <sup>25</sup>
	12.000	80.46	05/15/20	112.62	32.16 W	0.00	1069 of 2207 - Sale <sup>25</sup>
	16.000	107.28	05/15/20	138.42	31.14 W	0.00	1070 of 2207 - Sale <sup>25</sup>
	1.000	6.71	05/15/20	9.22	2.51 W	0.00	1071 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.90	2.20 W	0.00	1072 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.76	2.06 W	0.00	1073 of 2207 - Sale <sup>25</sup>
	4.000	26.82	05/15/20	35.66	8.84 W	0.00	1074 of 2207 - Sale <sup>25</sup>
	4.000	26.82	05/15/20	35.36	8.54 W	0.00	1075 of 2207 - Sale <sup>25</sup>
	4.000	26.82	05/15/20	35.08	8.26 W	0.00	1076 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	53.16	12.93 W	0.00	1077 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	52.28	12.05 W	0.00	1078 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	52.57	12.34 W	0.00	1079 of 2207 - Sale <sup>25</sup>
	7.000	46.93	05/15/20	61.97	15.04 W	0.00	1080 of 2207 - Sale <sup>25</sup>
	8.000	53.64	05/15/20	69.30	15.66 W	0.00	1081 of 2207 - Sale <sup>25</sup>
	2.000	13.41	05/15/20	17.52	4.11 W	0.00	1082 of 2207 - Sale <sup>25</sup>
	16.000	107.27	05/15/20	138.60	31.33 W	0.00	1083 of 2207 - Sale <sup>25</sup>
	16.000	107.28	05/15/20	142.25	34.97 W	0.00	1084 of 2207 - Sale <sup>25</sup>
	19.000	127.39	05/15/20	164.58	37.19 W	0.00	1085 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.21	1.51 W	0.00	1086 of 2207 - Sale <sup>25</sup>
	1.000	6.71	05/15/20	8.16	1.45 W	0.00	1087 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.15	1.45 W	0.00	1088 of 2207 - Sale <sup>25</sup>
	2.000	13.41	05/15/20	17.61	4.20 W	0.00	1089 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	50.55	10.32 W	0.00	1090 of 2207 - Sale <sup>25</sup>
	6.000	40.23	05/15/20	50.78	10.55 W	0.00	1091 of 2207 - Sale <sup>25</sup>
	8.000	53.64	05/15/20	67.56	13.92 W	0.00	1092 of 2207 - Sale <sup>25</sup>
	11.000	73.75	05/15/20	94.66	20.91 W	0.00	1093 of 2207 - Sale <sup>25</sup>
	11.000	73.75	05/15/20	96.38	22.63 W	0.00	1094 of 2207 - Sale <sup>25</sup>
	14.000	93.87	05/15/20	120.35	26.48 W	0.00	1095 of 2207 - Sale <sup>25</sup>
	17.000	113.98	05/15/20	146.29	32.31 W	0.00	1096 of 2207 - Sale <sup>25</sup>
	22.000	147.50	05/15/20	186.93	39.43 W	0.00	1097 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.70	05/15/20	8.42	1.72 W	0.00	1098 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	17.16	3.76 W	0.00	1099 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	24.99	4.89 W	0.00	1100 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	25.17	5.07 W	0.00	1101 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	41.12	7.62 W	0.00	1102 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	42.15	8.65 W	0.00	1103 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	41.75	8.25 W	0.00	1104 of 2207 - Sale <sup>25</sup>
	6.000	40.20	05/15/20	50.88	10.68 W	0.00	1105 of 2207 - Sale <sup>25</sup>
	6.000	40.20	05/15/20	50.87	10.67 W	0.00	1106 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	67.13	13.53 W	0.00	1107 of 2207 - Sale <sup>25</sup>
	25.000	167.50	05/15/20	207.64	40.14 W	0.00	1108 of 2207 - Sale <sup>25</sup>
	31.000	207.70	05/15/20	261.36	53.66 W	0.00	1109 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.16	1.46 W	0.00	1110 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.75	2.05 W	0.00	1111 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.54	1.84 W	0.00	1112 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	24.90	4.80 W	0.00	1113 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	26.26	6.16 W	0.00	1114 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	41.96	8.46 W	0.00	1115 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	38.94	5.44 W	0.00	1116 of 2207 - Sale <sup>25</sup>
	7.000	46.90	05/15/20	61.45	14.55 W	0.00	1117 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	67.13	13.53 W	0.00	1118 of 2207 - Sale <sup>25</sup>
	9.000	60.30	05/15/20	74.62	14.32 W	0.00	1119 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	91.58	17.88 W	0.00	1120 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	96.68	22.98 W	0.00	1121 of 2207 - Sale <sup>25</sup>
	14.000	93.80	05/15/20	121.02	27.22 W	0.00	1122 of 2207 - Sale <sup>25</sup>
	21.000	140.70	05/15/20	169.81	29.11 W	0.00	1123 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.51	2.81 W	0.00	1124 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.57	2.87 W	0.00	1125 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	21.25	7.85 W	0.00	1126 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	30.75	10.65 W	0.00	1127 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	28.72	8.62 W	0.00	1128 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	28.62	8.52 W	0.00	1129 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	28.65	8.55 W	0.00	1130 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	51.15	17.65 W	0.00	1131 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	46.90	05/15/20	68.89	21.99 W	0.00	1132 of 2207 - Sale <sup>25</sup>
	7.000	46.90	05/15/20	66.78	19.88 W	0.00	1133 of 2207 - Sale <sup>25</sup>
	12.000	80.40	05/15/20	114.18	33.78 W	0.00	1134 of 2207 - Sale <sup>25</sup>
	17.000	113.90	05/15/20	162.37	48.47 W	0.00	1135 of 2207 - Sale <sup>25</sup>
	36.000	241.19	05/15/20	344.61	103.42 W	0.00	1136 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.58	1.88 W	0.00	1137 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.87	3.17 W	0.00	1138 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.91	3.21 W	0.00	1139 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.88	3.18 W	0.00	1140 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	10.12	3.42 W	0.00	1141 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	9.96	3.26 W	0.00	1142 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	10.03	3.33 W	0.00	1143 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	10.43	3.73 W	0.00	1144 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	10.43	3.73 W	0.00	1145 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	10.58	3.88 W	0.00	1146 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	29.56	9.46 W	0.00	1147 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	31.36	11.26 W	0.00	1148 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	31.91	11.81 W	0.00	1149 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	34.18	7.38 W	0.00	1150 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	38.97	12.17 W	0.00	1151 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	41.71	14.91 W	0.00	1152 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	43.53	10.03 W	0.00	1153 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	50.14	16.64 W	0.00	1154 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	53.20	19.70 W	0.00	1155 of 2207 - Sale <sup>25</sup>
	7.000	46.90	05/15/20	68.56	21.66 W	0.00	1156 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	79.72	26.12 W	0.00	1157 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	80.27	26.67 W	0.00	1158 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	79.11	25.51 W	0.00	1159 of 2207 - Sale <sup>25</sup>
	9.000	60.30	05/15/20	89.91	29.61 W	0.00	1160 of 2207 - Sale <sup>25</sup>
	10.000	67.00	05/15/20	100.83	33.83 W	0.00	1161 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	109.62	35.92 W	0.00	1162 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	111.37	37.67 W	0.00	1163 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	115.84	42.14 W	0.00	1164 of 2207 - Sale <sup>25</sup>
	12.000	80.40	05/15/20	117.89	37.49 W	0.00	1165 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
12.000		80.40	05/15/20	118.98	38.58 W	0.00	1166 of 2207 - Sale <sup>25</sup>
13.000		87.10	05/15/20	128.31	41.21 W	0.00	1167 of 2207 - Sale <sup>25</sup>
13.000		87.10	05/15/20	127.24	40.14 W	0.00	1168 of 2207 - Sale <sup>25</sup>
21.000		140.70	05/15/20	207.36	66.66 W	0.00	1169 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.91	3.21 W	0.00	1170 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.62	2.92 W	0.00	1171 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.64	2.94 W	0.00	1172 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.72	3.02 W	0.00	1173 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.04	2.34 W	0.00	1174 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	8.88	2.18 W	0.00	1175 of 2207 - Sale <sup>25</sup>
1.000		6.70	05/15/20	9.59	2.89 W	0.00	1176 of 2207 - Sale <sup>25</sup>
2.000		13.40	05/15/20	19.29	5.89 W	0.00	1177 of 2207 - Sale <sup>25</sup>
2.000		13.40	05/15/20	19.39	5.99 W	0.00	1178 of 2207 - Sale <sup>25</sup>
2.000		13.40	05/15/20	19.43	6.03 W	0.00	1179 of 2207 - Sale <sup>25</sup>
2.000		13.40	05/15/20	19.28	5.88 W	0.00	1180 of 2207 - Sale <sup>25</sup>
2.000		13.40	05/15/20	18.03	4.63 W	0.00	1181 of 2207 - Sale <sup>25</sup>
2.000		13.40	05/15/20	19.25	5.85 W	0.00	1182 of 2207 - Sale <sup>25</sup>
3.000		20.10	05/15/20	30.33	10.23 W	0.00	1183 of 2207 - Sale <sup>25</sup>
3.000		20.10	05/15/20	29.02	8.92 W	0.00	1184 of 2207 - Sale <sup>25</sup>
3.000		20.10	05/15/20	29.01	8.91 W	0.00	1185 of 2207 - Sale <sup>25</sup>
3.000		20.10	05/15/20	28.83	8.73 W	0.00	1186 of 2207 - Sale <sup>25</sup>
3.000		20.10	05/15/20	28.82	8.72 W	0.00	1187 of 2207 - Sale <sup>25</sup>
3.000		20.10	05/15/20	26.98	6.88 W	0.00	1188 of 2207 - Sale <sup>25</sup>
3.000		20.10	05/15/20	26.59	6.49 W	0.00	1189 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	40.35	13.55 W	0.00	1190 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	40.27	13.47 W	0.00	1191 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	38.52	11.72 W	0.00	1192 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	38.33	11.53 W	0.00	1193 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	35.85	9.05 W	0.00	1194 of 2207 - Sale <sup>25</sup>
4.000		26.80	05/15/20	35.28	8.48 W	0.00	1195 of 2207 - Sale <sup>25</sup>
5.000		33.50	05/15/20	48.55	15.05 W	0.00	1196 of 2207 - Sale <sup>25</sup>
5.000		33.50	05/15/20	48.83	15.33 W	0.00	1197 of 2207 - Sale <sup>25</sup>
5.000		33.50	05/15/20	48.22	14.72 W	0.00	1198 of 2207 - Sale <sup>25</sup>
5.000		33.50	05/15/20	48.57	15.07 W	0.00	1199 of 2207 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	33.50	05/15/20	44.00	10.50 W	0.00	1200 of 2207 - Sale <sup>25</sup>
	5.000	33.50	05/15/20	44.35	10.85 W	0.00	1201 of 2207 - Sale <sup>25</sup>
	6.000	40.20	05/15/20	56.92	16.72 W	0.00	1202 of 2207 - Sale <sup>25</sup>
	6.000	40.20	05/15/20	58.41	18.21 W	0.00	1203 of 2207 - Sale <sup>25</sup>
	6.000	40.20	05/15/20	57.88	17.68 W	0.00	1204 of 2207 - Sale <sup>25</sup>
	6.000	40.20	05/15/20	57.12	16.92 W	0.00	1205 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	79.43	25.83 W	0.00	1206 of 2207 - Sale <sup>25</sup>
	9.000	60.30	05/15/20	88.63	28.33 W	0.00	1207 of 2207 - Sale <sup>25</sup>
	10.000	67.00	05/15/20	89.63	22.63 W	0.00	1208 of 2207 - Sale <sup>25</sup>
	10.000	67.00	05/15/20	95.00	28.00 W	0.00	1209 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	106.16	32.46 W	0.00	1210 of 2207 - Sale <sup>25</sup>
	17.000	113.90	05/15/20	162.01	48.11 W	0.00	1211 of 2207 - Sale <sup>25</sup>
	17.000	113.90	05/15/20	150.48	36.58 W	0.00	1212 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.59	1.89 W	0.00	1213 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.42	1.72 W	0.00	1214 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.27	1.57 W	0.00	1215 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	19.71	6.31 W	0.00	1216 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	16.49	3.09 W	0.00	1217 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	25.54	5.44 W	0.00	1218 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	34.01	7.21 W	0.00	1219 of 2207 - Sale <sup>25</sup>
	8.000	53.60	05/15/20	79.15	25.55 W	0.00	1220 of 2207 - Sale <sup>25</sup>
	11.000	73.70	05/15/20	91.35	17.65 W	0.00	1221 of 2207 - Sale <sup>25</sup>
	17.000	113.89	05/15/20	123.68	9.79 W	0.00	1222 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	7.29	0.59 W	0.00	1223 of 2207 - Sale <sup>25</sup>
	1.000	6.70	05/15/20	8.81	2.11 W	0.00	1224 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	16.95	3.55 W	0.00	1225 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	26.32	6.22 W	0.00	1226 of 2207 - Sale <sup>25</sup>
	4.000	26.80	05/15/20	34.65	7.85 W	0.00	1227 of 2207 - Sale <sup>25</sup>
	17.000	113.89	05/15/20	149.04	35.15 W	0.00	1228 of 2207 - Sale <sup>25</sup>
	30.000	200.99	05/15/20	218.87	17.88 W	0.00	1229 of 2207 - Sale <sup>25</sup>
	2.000	13.40	05/15/20	15.90	2.50 W	0.00	1230 of 2207 - Sale <sup>25</sup>
	3.000	20.10	05/15/20	25.33	5.23 W	0.00	1231 of 2207 - Sale <sup>25</sup>
	9.000	60.30	05/15/20	73.90	13.60 W	0.00	1232 of 2207 - Sale <sup>25</sup>
	17.000	113.89	05/15/20	136.87	22.98 W	0.00	1233 of 2207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
19.000		127.29	05/15/20	159.81	32.52 W	0.00	1234 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	7.80	1.18 W	0.00	1235 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	7.82	1.20 W	0.00	1236 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	7.76	1.14 W	0.00	1237 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	7.66	1.04 W	0.00	1238 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	23.60	3.74 W	0.00	1239 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	23.46	3.60 W	0.00	1240 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	23.46	3.60 W	0.00	1241 of 2207 - Sale <sup>25</sup>
4.000		26.48	05/15/20	31.37	4.89 W	0.00	1242 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	39.04	5.94 W	0.00	1243 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	39.24	6.14 W	0.00	1244 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	39.06	5.96 W	0.00	1245 of 2207 - Sale <sup>25</sup>
7.000		46.34	05/15/20	54.86	8.52 W	0.00	1246 of 2207 - Sale <sup>25</sup>
7.000		46.34	05/15/20	54.78	8.44 W	0.00	1247 of 2207 - Sale <sup>25</sup>
7.000		46.34	05/15/20	54.80	8.46 W	0.00	1248 of 2207 - Sale <sup>25</sup>
9.000		59.58	05/15/20	70.65	11.07 W	0.00	1249 of 2207 - Sale <sup>25</sup>
11.000		72.82	05/15/20	85.68	12.86 W	0.00	1250 of 2207 - Sale <sup>25</sup>
11.000		72.81	05/15/20	86.02	13.21 W	0.00	1251 of 2207 - Sale <sup>25</sup>
16.000		105.91	05/15/20	125.79	19.88 W	0.00	1252 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	7.91	1.29 W	0.00	1253 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.06	1.44 W	0.00	1254 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	24.13	4.27 W	0.00	1255 of 2207 - Sale <sup>25</sup>
7.000		46.34	05/15/20	56.01	9.67 W	0.00	1256 of 2207 - Sale <sup>25</sup>
9.000		59.57	05/15/20	72.54	12.97 W	0.00	1257 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.13	1.51 W	0.00	1258 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.04	1.42 W	0.00	1259 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	7.83	1.21 W	0.00	1260 of 2207 - Sale <sup>25</sup>
2.000		13.24	05/15/20	15.93	2.69 W	0.00	1261 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	24.48	4.62 W	0.00	1262 of 2207 - Sale <sup>25</sup>
4.000		26.48	05/15/20	31.82	5.34 W	0.00	1263 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	41.19	8.09 W	0.00	1264 of 2207 - Sale <sup>25</sup>
6.000		39.72	05/15/20	47.80	8.08 W	0.00	1265 of 2207 - Sale <sup>25</sup>
6.000		39.72	05/15/20	51.96	12.24 W	0.00	1266 of 2207 - Sale <sup>25</sup>
6.000		39.72	05/15/20	52.38	12.66 W	0.00	1267 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	52.96	05/15/20	69.77	16.81 W	0.00	1268 of 2207 - Sale <sup>25</sup>
	9.000	59.58	05/15/20	78.50	18.92 W	0.00	1269 of 2207 - Sale <sup>25</sup>
	11.000	72.81	05/15/20	95.51	22.70 W	0.00	1270 of 2207 - Sale <sup>25</sup>
	16.000	105.91	05/15/20	139.54	33.63 W	0.00	1271 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	9.24	2.62 W	0.00	1272 of 2207 - Sale <sup>25</sup>
	8.000	52.96	05/15/20	76.56	23.60 W	0.00	1273 of 2207 - Sale <sup>25</sup>
	12.000	79.43	05/15/20	113.46	34.03 W	0.00	1274 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	9.29	2.67 W	0.00	1275 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	9.29	2.67 W	0.00	1276 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	8.98	2.36 W	0.00	1277 of 2207 - Sale <sup>25</sup>
	4.000	26.48	05/15/20	35.94	9.46 W	0.00	1278 of 2207 - Sale <sup>25</sup>
	6.000	39.72	05/15/20	53.58	13.86 W	0.00	1279 of 2207 - Sale <sup>25</sup>
	7.000	46.34	05/15/20	62.46	16.12 W	0.00	1280 of 2207 - Sale <sup>25</sup>
	8.000	52.96	05/15/20	69.86	16.90 W	0.00	1281 of 2207 - Sale <sup>25</sup>
	16.000	105.92	05/15/20	139.73	33.81 W	0.00	1282 of 2207 - Sale <sup>25</sup>
	16.000	105.91	05/15/20	143.37	37.46 W	0.00	1283 of 2207 - Sale <sup>25</sup>
	19.000	125.77	05/15/20	165.91	40.14 W	0.00	1284 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	8.87	2.25 W	0.00	1285 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	8.32	1.70 W	0.00	1286 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	8.26	1.64 W	0.00	1287 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	8.26	1.64 W	0.00	1288 of 2207 - Sale <sup>25</sup>
	2.000	13.24	05/15/20	17.73	4.49 W	0.00	1289 of 2207 - Sale <sup>25</sup>
	2.000	13.24	05/15/20	17.82	4.58 W	0.00	1290 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	25.32	5.46 W	0.00	1291 of 2207 - Sale <sup>25</sup>
	4.000	26.48	05/15/20	35.78	9.30 W	0.00	1292 of 2207 - Sale <sup>25</sup>
	4.000	26.48	05/15/20	35.50	9.02 W	0.00	1293 of 2207 - Sale <sup>25</sup>
	5.000	33.10	05/15/20	41.67	8.57 W	0.00	1294 of 2207 - Sale <sup>25</sup>
	6.000	39.72	05/15/20	52.91	13.19 W	0.00	1295 of 2207 - Sale <sup>25</sup>
	6.000	39.72	05/15/20	53.20	13.48 W	0.00	1296 of 2207 - Sale <sup>25</sup>
	6.000	39.72	05/15/20	51.18	11.46 W	0.00	1297 of 2207 - Sale <sup>25</sup>
	6.000	39.72	05/15/20	51.41	11.69 W	0.00	1298 of 2207 - Sale <sup>25</sup>
	8.000	52.96	05/15/20	68.40	15.44 W	0.00	1299 of 2207 - Sale <sup>25</sup>
	11.000	72.82	05/15/20	97.54	24.72 W	0.00	1300 of 2207 - Sale <sup>25</sup>
	11.000	72.82	05/15/20	95.82	23.00 W	0.00	1301 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
14.000		92.67	05/15/20	121.82	29.15 W	0.00	1302 of 2207 - Sale <sup>25</sup>
17.000		112.53	05/15/20	148.08	35.55 W	0.00	1303 of 2207 - Sale <sup>25</sup>
22.000		145.63	05/15/20	189.25	43.62 W	0.00	1304 of 2207 - Sale <sup>25</sup>
25.000		165.48	05/15/20	210.39	44.91 W	0.00	1305 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.53	1.91 W	0.00	1306 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.27	1.65 W	0.00	1307 of 2207 - Sale <sup>25</sup>
2.000		13.24	05/15/20	17.38	4.14 W	0.00	1308 of 2207 - Sale <sup>25</sup>
2.000		13.24	05/15/20	17.51	4.27 W	0.00	1309 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	25.50	5.64 W	0.00	1310 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	25.23	5.37 W	0.00	1311 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	42.70	9.60 W	0.00	1312 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	42.30	9.20 W	0.00	1313 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	42.51	9.41 W	0.00	1314 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	39.49	6.39 W	0.00	1315 of 2207 - Sale <sup>25</sup>
6.000		39.72	05/15/20	51.54	11.82 W	0.00	1316 of 2207 - Sale <sup>25</sup>
6.000		39.72	05/15/20	51.53	11.81 W	0.00	1317 of 2207 - Sale <sup>25</sup>
8.000		52.96	05/15/20	68.01	15.05 W	0.00	1318 of 2207 - Sale <sup>25</sup>
8.000		52.96	05/15/20	68.01	15.05 W	0.00	1319 of 2207 - Sale <sup>25</sup>
9.000		59.58	05/15/20	75.61	16.03 W	0.00	1320 of 2207 - Sale <sup>25</sup>
11.000		72.82	05/15/20	92.79	19.97 W	0.00	1321 of 2207 - Sale <sup>25</sup>
21.000		139.01	05/15/20	172.12	33.11 W	0.00	1322 of 2207 - Sale <sup>25</sup>
31.000		205.21	05/15/20	264.77	59.56 W	0.00	1323 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.86	2.24 W	0.00	1324 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.65	2.03 W	0.00	1325 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	8.68	2.06 W	0.00	1326 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	9.97	3.35 W	0.00	1327 of 2207 - Sale <sup>25</sup>
1.000		6.62	05/15/20	10.01	3.39 W	0.00	1328 of 2207 - Sale <sup>25</sup>
2.000		13.24	05/15/20	19.95	6.71 W	0.00	1329 of 2207 - Sale <sup>25</sup>
3.000		19.86	05/15/20	26.58	6.72 W	0.00	1330 of 2207 - Sale <sup>25</sup>
4.000		26.48	05/15/20	34.60	8.12 W	0.00	1331 of 2207 - Sale <sup>25</sup>
4.000		26.48	05/15/20	39.39	12.91 W	0.00	1332 of 2207 - Sale <sup>25</sup>
5.000		33.10	05/15/20	44.06	10.96 W	0.00	1333 of 2207 - Sale <sup>25</sup>
7.000		46.34	05/15/20	62.18	15.84 W	0.00	1334 of 2207 - Sale <sup>25</sup>
7.000		46.34	05/15/20	69.29	22.95 W	0.00	1335 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	52.96	05/15/20	80.56	27.60 W	0.00	1336 of 2207 - Sale <sup>25</sup>
	11.000	72.82	05/15/20	97.83	25.01 W	0.00	1337 of 2207 - Sale <sup>25</sup>
	11.000	72.82	05/15/20	110.78	37.96 W	0.00	1338 of 2207 - Sale <sup>25</sup>
	12.000	79.44	05/15/20	104.99	25.55 W	0.00	1339 of 2207 - Sale <sup>25</sup>
	21.000	139.01	05/15/20	209.57	70.56 W	0.00	1340 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	9.98	3.36 W	0.00	1341 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	10.22	3.60 W	0.00	1342 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	10.06	3.44 W	0.00	1343 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	10.13	3.51 W	0.00	1344 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	29.88	10.02 W	0.00	1345 of 2207 - Sale <sup>25</sup>
	5.000	33.10	05/15/20	50.67	17.57 W	0.00	1346 of 2207 - Sale <sup>25</sup>
	8.000	52.96	05/15/20	81.11	28.15 W	0.00	1347 of 2207 - Sale <sup>25</sup>
	10.000	66.20	05/15/20	101.88	35.68 W	0.00	1348 of 2207 - Sale <sup>25</sup>
	11.000	72.82	05/15/20	109.73	36.91 W	0.00	1349 of 2207 - Sale <sup>25</sup>
	11.000	72.81	05/15/20	112.53	39.72 W	0.00	1350 of 2207 - Sale <sup>25</sup>
	11.000	72.81	05/15/20	110.23	37.42 W	0.00	1351 of 2207 - Sale <sup>25</sup>
	12.000	79.43	05/15/20	119.15	39.72 W	0.00	1352 of 2207 - Sale <sup>25</sup>
	13.000	86.05	05/15/20	128.60	42.55 W	0.00	1353 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	9.95	3.33 W	0.00	1354 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	10.47	3.85 W	0.00	1355 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	10.47	3.85 W	0.00	1356 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	10.62	4.00 W	0.00	1357 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	10.29	3.67 W	0.00	1358 of 2207 - Sale <sup>25</sup>
	2.000	13.24	05/15/20	21.32	8.08 W	0.00	1359 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	31.48	11.62 W	0.00	1360 of 2207 - Sale <sup>25</sup>
	3.000	19.86	05/15/20	32.03	12.17 W	0.00	1361 of 2207 - Sale <sup>25</sup>
	4.000	26.48	05/15/20	41.86	15.38 W	0.00	1362 of 2207 - Sale <sup>25</sup>
	5.000	33.10	05/15/20	53.39	20.29 W	0.00	1363 of 2207 - Sale <sup>25</sup>
	8.000	52.96	05/15/20	79.42	26.46 W	0.00	1364 of 2207 - Sale <sup>25</sup>
	9.000	59.58	05/15/20	90.26	30.68 W	0.00	1365 of 2207 - Sale <sup>25</sup>
	11.000	72.81	05/15/20	116.27	43.46 W	0.00	1366 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	9.56	2.94 W	0.00	1367 of 2207 - Sale <sup>25</sup>
	1.000	6.62	05/15/20	9.62	3.00 W	0.00	1368 of 2207 - Sale <sup>25</sup>
	2.000	13.24	05/15/20	20.60	7.36 W	0.00	1369 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.86	05/15/20	28.87	9.01 W	0.00	1370 of 2207 - Sale <sup>25</sup>
	5.000	33.10	05/15/20	51.40	18.30 W	0.00	1371 of 2207 - Sale <sup>25</sup>
	7.000	46.33	05/15/20	69.24	22.91 W	0.00	1372 of 2207 - Sale <sup>25</sup>
	7.000	46.34	05/15/20	67.13	20.79 W	0.00	1373 of 2207 - Sale <sup>25</sup>
	12.000	79.43	05/15/20	114.78	35.35 W	0.00	1374 of 2207 - Sale <sup>25</sup>
	24.000	158.86	05/15/20	230.95	72.09 W	0.00	1375 of 2207 - Sale <sup>25</sup>
	3.000	20.49	05/15/20	28.77	8.28 W	0.00	1376 of 2207 - Sale <sup>25</sup>
	7.000	47.81	05/15/20	67.21	19.40 W	0.00	1377 of 2207 - Sale <sup>25</sup>
	12.000	81.96	05/15/20	115.47	33.51 W	0.00	1378 of 2207 - Sale <sup>25</sup>
	1.000	6.83	05/15/20	9.96	3.13 W	0.00	1379 of 2207 - Sale <sup>25</sup>
	1.000	6.83	05/15/20	9.67	2.84 W	0.00	1380 of 2207 - Sale <sup>25</sup>
	1.000	6.83	05/15/20	9.69	2.86 W	0.00	1381 of 2207 - Sale <sup>25</sup>
	1.000	6.83	05/15/20	9.77	2.94 W	0.00	1382 of 2207 - Sale <sup>25</sup>
	1.000	6.83	05/15/20	9.66	2.83 W	0.00	1383 of 2207 - Sale <sup>25</sup>
	2.000	13.66	05/15/20	19.39	5.73 W	0.00	1384 of 2207 - Sale <sup>25</sup>
	2.000	13.66	05/15/20	19.49	5.83 W	0.00	1385 of 2207 - Sale <sup>25</sup>
	2.000	13.66	05/15/20	19.53	5.87 W	0.00	1386 of 2207 - Sale <sup>25</sup>
	2.000	13.66	05/15/20	19.38	5.72 W	0.00	1387 of 2207 - Sale <sup>25</sup>
	3.000	20.49	05/15/20	28.80	8.31 W	0.00	1388 of 2207 - Sale <sup>25</sup>
	3.000	20.49	05/15/20	30.48	9.99 W	0.00	1389 of 2207 - Sale <sup>25</sup>
	3.000	20.49	05/15/20	29.17	8.68 W	0.00	1390 of 2207 - Sale <sup>25</sup>
	3.000	20.49	05/15/20	29.16	8.67 W	0.00	1391 of 2207 - Sale <sup>25</sup>
	3.000	20.49	05/15/20	28.98	8.49 W	0.00	1392 of 2207 - Sale <sup>25</sup>
	4.000	27.32	05/15/20	40.55	13.23 W	0.00	1393 of 2207 - Sale <sup>25</sup>
	4.000	27.32	05/15/20	40.47	13.15 W	0.00	1394 of 2207 - Sale <sup>25</sup>
	4.000	27.32	05/15/20	38.72	11.40 W	0.00	1395 of 2207 - Sale <sup>25</sup>
	5.000	34.15	05/15/20	48.80	14.65 W	0.00	1396 of 2207 - Sale <sup>25</sup>
	5.000	34.15	05/15/20	49.08	14.93 W	0.00	1397 of 2207 - Sale <sup>25</sup>
	5.000	34.15	05/15/20	48.47	14.32 W	0.00	1398 of 2207 - Sale <sup>25</sup>
	5.000	34.15	05/15/20	48.82	14.67 W	0.00	1399 of 2207 - Sale <sup>25</sup>
	6.000	40.98	05/15/20	57.22	16.24 W	0.00	1400 of 2207 - Sale <sup>25</sup>
	6.000	40.98	05/15/20	58.71	17.73 W	0.00	1401 of 2207 - Sale <sup>25</sup>
	6.000	40.98	05/15/20	58.18	17.20 W	0.00	1402 of 2207 - Sale <sup>25</sup>
	8.000	54.64	05/15/20	79.83	25.19 W	0.00	1403 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		75.12	05/15/20	106.71	31.59 W	0.00	1404 of 2207 - Sale <sup>25</sup>
10.000		68.30	05/15/20	96.01	27.71 W	0.00	1405 of 2207 - Sale <sup>25</sup>
17.000		116.10	05/15/20	162.86	46.76 W	0.00	1406 of 2207 - Sale <sup>25</sup>
1.000		6.83	05/15/20	9.09	2.26 W	0.00	1407 of 2207 - Sale <sup>25</sup>
2.000		13.66	05/15/20	19.31	5.65 W	0.00	1408 of 2207 - Sale <sup>25</sup>
2.000		13.66	05/15/20	18.13	4.47 W	0.00	1409 of 2207 - Sale <sup>25</sup>
3.000		20.49	05/15/20	27.13	6.64 W	0.00	1410 of 2207 - Sale <sup>25</sup>
4.000		27.32	05/15/20	38.52	11.20 W	0.00	1411 of 2207 - Sale <sup>25</sup>
4.000		27.32	05/15/20	36.04	8.72 W	0.00	1412 of 2207 - Sale <sup>25</sup>
2.000		13.66	05/15/20	17.74	4.08 W	0.00	1413 of 2207 - Sale <sup>25</sup>
5.000		34.15	05/15/20	44.24	10.09 W	0.00	1414 of 2207 - Sale <sup>25</sup>
5.000		34.14	05/15/20	44.59	10.45 W	0.00	1415 of 2207 - Sale <sup>25</sup>
9.000		61.46	05/15/20	89.07	27.61 W	0.00	1416 of 2207 - Sale <sup>25</sup>
17.000		116.10	05/15/20	151.31	35.21 W	0.00	1417 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	8.93	1.91 W	0.00	1418 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	9.64	2.62 W	0.00	1419 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	8.56	1.54 W	0.00	1420 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	19.35	5.31 W	0.00	1421 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	19.80	5.76 W	0.00	1422 of 2207 - Sale <sup>25</sup>
3.000		21.06	05/15/20	26.74	5.68 W	0.00	1423 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	17.73	3.69 W	0.00	1424 of 2207 - Sale <sup>25</sup>
6.000		42.12	05/15/20	57.41	15.29 W	0.00	1425 of 2207 - Sale <sup>25</sup>
8.000		56.16	05/15/20	79.54	23.38 W	0.00	1426 of 2207 - Sale <sup>25</sup>
10.000		70.20	05/15/20	90.12	19.92 W	0.00	1427 of 2207 - Sale <sup>25</sup>
10.000		70.20	05/15/20	95.49	25.29 W	0.00	1428 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	8.59	1.57 W	0.00	1429 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	8.41	1.39 W	0.00	1430 of 2207 - Sale <sup>25</sup>
1.000		7.02	05/15/20	8.26	1.24 W	0.00	1431 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	17.02	2.98 W	0.00	1432 of 2207 - Sale <sup>25</sup>
2.000		14.04	05/15/20	16.48	2.44 W	0.00	1433 of 2207 - Sale <sup>25</sup>
4.000		28.08	05/15/20	33.99	5.91 W	0.00	1434 of 2207 - Sale <sup>25</sup>
11.000		77.21	05/15/20	91.30	14.09 W	0.00	1435 of 2207 - Sale <sup>25</sup>
17.000		119.33	05/15/20	123.60	4.27 W	0.00	1436 of 2207 - Sale <sup>25</sup>
15.000		105.29	05/15/20	109.37	4.08 W	0.00	1437 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	7.00	05/15/20	7.29	0.29 W	0.00	1438 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	8.80	1.80 W	0.00	1439 of 2207 - Sale <sup>25</sup>
	3.000	21.00	05/15/20	26.31	5.31 W	0.00	1440 of 2207 - Sale <sup>25</sup>
	4.000	28.00	05/15/20	34.63	6.63 W	0.00	1441 of 2207 - Sale <sup>25</sup>
	16.000	112.00	05/15/20	140.20	28.20 W	0.00	1442 of 2207 - Sale <sup>25</sup>
	15.000	105.00	05/15/20	109.36	4.36 W	0.00	1443 of 2207 - Sale <sup>25</sup>
	2.000	14.00	05/15/20	16.94	2.94 W	0.00	1444 of 2207 - Sale <sup>25</sup>
	3.000	21.00	05/15/20	25.32	4.32 W	0.00	1445 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	8.76	1.76 W	0.00	1446 of 2207 - Sale <sup>25</sup>
	1.000	7.00	05/15/20	7.85	0.85 W	0.00	1447 of 2207 - Sale <sup>25</sup>
	2.000	14.00	05/15/20	15.84	1.84 W	0.00	1448 of 2207 - Sale <sup>25</sup>
	6.000	42.00	05/15/20	47.32	5.32 W	0.00	1449 of 2207 - Sale <sup>25</sup>
	9.000	63.00	05/15/20	73.63	10.63 W	0.00	1450 of 2207 - Sale <sup>25</sup>
	17.000	118.99	05/15/20	136.37	17.38 W	0.00	1451 of 2207 - Sale <sup>25</sup>
	19.000	133.00	05/15/20	159.25	26.25 W	0.00	1452 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	7.87	0.94 W	0.00	1453 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	7.81	0.88 W	0.00	1454 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	7.71	0.78 W	0.00	1455 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	7.96	1.03 W	0.00	1456 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.11	1.18 W	0.00	1457 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.18	1.25 W	0.00	1458 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.09	1.16 W	0.00	1459 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	7.88	0.95 W	0.00	1460 of 2207 - Sale <sup>25</sup>
	2.000	13.86	05/15/20	16.03	2.17 W	0.00	1461 of 2207 - Sale <sup>25</sup>
	3.000	20.79	05/15/20	23.75	2.96 W	0.00	1462 of 2207 - Sale <sup>25</sup>
	3.000	20.79	05/15/20	23.61	2.82 W	0.00	1463 of 2207 - Sale <sup>25</sup>
	3.000	20.79	05/15/20	23.61	2.82 W	0.00	1464 of 2207 - Sale <sup>25</sup>
	3.000	20.79	05/15/20	24.28	3.49 W	0.00	1465 of 2207 - Sale <sup>25</sup>
	3.000	20.79	05/15/20	24.63	3.84 W	0.00	1466 of 2207 - Sale <sup>25</sup>
	4.000	27.72	05/15/20	31.57	3.85 W	0.00	1467 of 2207 - Sale <sup>25</sup>
	4.000	27.72	05/15/20	32.02	4.30 W	0.00	1468 of 2207 - Sale <sup>25</sup>
	5.000	34.65	05/15/20	39.29	4.64 W	0.00	1469 of 2207 - Sale <sup>25</sup>
	5.000	34.65	05/15/20	39.49	4.84 W	0.00	1470 of 2207 - Sale <sup>25</sup>
	5.000	34.65	05/15/20	39.31	4.66 W	0.00	1471 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	34.65	05/15/20	41.44	6.79 W	0.00	1472 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	48.10	6.52 W	0.00	1473 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	7.89	0.96 W	0.00	1474 of 2207 - Sale <sup>25</sup>
	7.000	48.51	05/15/20	55.13	6.62 W	0.00	1475 of 2207 - Sale <sup>25</sup>
	7.000	48.51	05/15/20	55.15	6.64 W	0.00	1476 of 2207 - Sale <sup>25</sup>
	7.000	48.51	05/15/20	56.36	7.85 W	0.00	1477 of 2207 - Sale <sup>25</sup>
	9.000	62.37	05/15/20	71.10	8.73 W	0.00	1478 of 2207 - Sale <sup>25</sup>
	9.000	62.37	05/15/20	73.00	10.63 W	0.00	1479 of 2207 - Sale <sup>25</sup>
	9.000	62.37	05/15/20	78.95	16.58 W	0.00	1480 of 2207 - Sale <sup>25</sup>
	11.000	76.23	05/15/20	86.23	10.00 W	0.00	1481 of 2207 - Sale <sup>25</sup>
	11.000	76.23	05/15/20	86.58	10.35 W	0.00	1482 of 2207 - Sale <sup>25</sup>
	16.000	110.87	05/15/20	126.60	15.73 W	0.00	1483 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	9.17	2.24 W	0.00	1484 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	9.22	2.29 W	0.00	1485 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	9.22	2.29 W	0.00	1486 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.91	1.98 W	0.00	1487 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.80	1.87 W	0.00	1488 of 2207 - Sale <sup>25</sup>
	2.000	13.86	05/15/20	17.59	3.73 W	0.00	1489 of 2207 - Sale <sup>25</sup>
	2.000	13.86	05/15/20	17.68	3.82 W	0.00	1490 of 2207 - Sale <sup>25</sup>
	4.000	27.72	05/15/20	35.66	7.94 W	0.00	1491 of 2207 - Sale <sup>25</sup>
	4.000	27.72	05/15/20	35.50	7.78 W	0.00	1492 of 2207 - Sale <sup>25</sup>
	4.000	27.72	05/15/20	35.22	7.50 W	0.00	1493 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	51.54	9.96 W	0.00	1494 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	51.96	10.38 W	0.00	1495 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	53.16	11.58 W	0.00	1496 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	52.49	10.91 W	0.00	1497 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	52.78	11.20 W	0.00	1498 of 2207 - Sale <sup>25</sup>
	7.000	48.51	05/15/20	61.97	13.46 W	0.00	1499 of 2207 - Sale <sup>25</sup>
	8.000	55.44	05/15/20	69.21	13.77 W	0.00	1500 of 2207 - Sale <sup>25</sup>
	8.000	55.44	05/15/20	76.00	20.56 W	0.00	1501 of 2207 - Sale <sup>25</sup>
	8.000	55.44	05/15/20	69.30	13.86 W	0.00	1502 of 2207 - Sale <sup>25</sup>
	11.000	76.23	05/15/20	94.75	18.52 W	0.00	1503 of 2207 - Sale <sup>25</sup>
	11.000	76.22	05/15/20	96.77	20.55 W	0.00	1504 of 2207 - Sale <sup>25</sup>
	12.000	83.16	05/15/20	112.63	29.47 W	0.00	1505 of 2207 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	16.000	110.87	05/15/20	138.43	27.56 W	0.00	1506 of 2207 - Sale <sup>25</sup>
	16.000	110.87	05/15/20	138.61	27.74 W	0.00	1507 of 2207 - Sale <sup>25</sup>
	16.000	110.87	05/15/20	142.26	31.39 W	0.00	1508 of 2207 - Sale <sup>25</sup>
	17.000	117.80	05/15/20	146.90	29.10 W	0.00	1509 of 2207 - Sale <sup>25</sup>
	19.000	131.66	05/15/20	164.59	32.93 W	0.00	1510 of 2207 - Sale <sup>25</sup>
	3.000	20.79	05/15/20	26.31	5.52 W	0.00	1511 of 2207 - Sale <sup>25</sup>
	4.000	27.71	05/15/20	34.92	7.21 W	0.00	1512 of 2207 - Sale <sup>25</sup>
	19.000	131.66	05/15/20	167.41	35.75 W	0.00	1513 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.59	1.66 W	0.00	1514 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	52.80	11.22 W	0.00	1515 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	53.03	11.45 W	0.00	1516 of 2207 - Sale <sup>25</sup>
	8.000	55.44	05/15/20	70.56	15.12 W	0.00	1517 of 2207 - Sale <sup>25</sup>
	11.000	76.23	05/15/20	98.79	22.56 W	0.00	1518 of 2207 - Sale <sup>25</sup>
	14.000	97.01	05/15/20	125.61	28.60 W	0.00	1519 of 2207 - Sale <sup>25</sup>
	22.000	152.45	05/15/20	195.20	42.75 W	0.00	1520 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.53	1.60 W	0.00	1521 of 2207 - Sale <sup>25</sup>
	1.000	6.93	05/15/20	8.53	1.60 W	0.00	1522 of 2207 - Sale <sup>25</sup>
	2.000	13.86	05/15/20	17.92	4.06 W	0.00	1523 of 2207 - Sale <sup>25</sup>
	3.000	20.79	05/15/20	26.13	5.34 W	0.00	1524 of 2207 - Sale <sup>25</sup>
	5.000	34.65	05/15/20	43.02	8.37 W	0.00	1525 of 2207 - Sale <sup>25</sup>
	5.000	34.65	05/15/20	44.05	9.40 W	0.00	1526 of 2207 - Sale <sup>25</sup>
	6.000	41.58	05/15/20	53.16	11.58 W	0.00	1527 of 2207 - Sale <sup>25</sup>
	12.000	83.15	05/15/20	105.74	22.59 W	0.00	1528 of 2207 - Sale <sup>25</sup>
	25.000	173.23	05/15/20	217.16	43.93 W	0.00	1529 of 2207 - Sale <sup>25</sup>
	1.000	6.99	05/15/20	8.80	1.81 W	0.00	1530 of 2207 - Sale <sup>25</sup>
	3.000	20.97	05/15/20	26.04	5.07 W	0.00	1531 of 2207 - Sale <sup>25</sup>
	1.000	6.99	05/15/20	8.73	1.74 W	0.00	1532 of 2207 - Sale <sup>25</sup>
	5.000	34.95	05/15/20	43.86	8.91 W	0.00	1533 of 2207 - Sale <sup>25</sup>
	5.000	34.95	05/15/20	40.84	5.89 W	0.00	1534 of 2207 - Sale <sup>25</sup>
	6.000	41.94	05/15/20	53.15	11.21 W	0.00	1535 of 2207 - Sale <sup>25</sup>
	8.000	55.92	05/15/20	70.17	14.25 W	0.00	1536 of 2207 - Sale <sup>25</sup>
	8.000	55.92	05/15/20	70.17	14.25 W	0.00	1537 of 2207 - Sale <sup>25</sup>
	9.000	62.91	05/15/20	78.04	15.13 W	0.00	1538 of 2207 - Sale <sup>25</sup>
	1.000	6.99	05/15/20	8.60	1.61 W	0.00	1539 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.99	05/15/20	9.19	2.20 W	0.00	1540 of 2207 - Sale <sup>25</sup>
	1.000	6.99	05/15/20	8.98	1.99 W	0.00	1541 of 2207 - Sale <sup>25</sup>
	1.000	6.99	05/15/20	9.01	2.02 W	0.00	1542 of 2207 - Sale <sup>25</sup>
	1.000	6.99	05/15/20	10.30	3.31 W	0.00	1543 of 2207 - Sale <sup>25</sup>
	2.000	13.98	05/15/20	18.17	4.19 W	0.00	1544 of 2207 - Sale <sup>25</sup>
	3.000	20.97	05/15/20	27.57	6.60 W	0.00	1545 of 2207 - Sale <sup>25</sup>
	4.000	27.96	05/15/20	35.92	7.96 W	0.00	1546 of 2207 - Sale <sup>25</sup>
	5.000	34.95	05/15/20	45.71	10.76 W	0.00	1547 of 2207 - Sale <sup>25</sup>
	7.000	48.93	05/15/20	64.49	15.56 W	0.00	1548 of 2207 - Sale <sup>25</sup>
	8.000	55.91	05/15/20	83.20	27.29 W	0.00	1549 of 2207 - Sale <sup>25</sup>
	11.000	76.88	05/15/20	96.42	19.54 W	0.00	1550 of 2207 - Sale <sup>25</sup>
	11.000	76.89	05/15/20	101.46	24.57 W	0.00	1551 of 2207 - Sale <sup>25</sup>
	11.000	76.88	05/15/20	114.41	37.53 W	0.00	1552 of 2207 - Sale <sup>25</sup>
	12.000	83.87	05/15/20	108.95	25.08 W	0.00	1553 of 2207 - Sale <sup>25</sup>
	21.000	146.78	05/15/20	179.06	32.28 W	0.00	1554 of 2207 - Sale <sup>25</sup>
	1.000	7.05	05/15/20	10.34	3.29 W	0.00	1555 of 2207 - Sale <sup>25</sup>
	1.000	7.05	05/15/20	10.31	3.26 W	0.00	1556 of 2207 - Sale <sup>25</sup>
	1.000	7.05	05/15/20	10.55	3.50 W	0.00	1557 of 2207 - Sale <sup>25</sup>
	2.000	14.10	05/15/20	20.61	6.51 W	0.00	1558 of 2207 - Sale <sup>25</sup>
	3.000	21.15	05/15/20	30.87	9.72 W	0.00	1559 of 2207 - Sale <sup>25</sup>
	4.000	28.20	05/15/20	40.71	12.51 W	0.00	1560 of 2207 - Sale <sup>25</sup>
	5.000	35.25	05/15/20	52.32	17.07 W	0.00	1561 of 2207 - Sale <sup>25</sup>
	7.000	49.35	05/15/20	71.60	22.25 W	0.00	1562 of 2207 - Sale <sup>25</sup>
	8.000	56.40	05/15/20	83.75	27.35 W	0.00	1563 of 2207 - Sale <sup>25</sup>
	11.000	77.55	05/15/20	113.36	35.81 W	0.00	1564 of 2207 - Sale <sup>25</sup>
	11.000	77.54	05/15/20	116.17	38.63 W	0.00	1565 of 2207 - Sale <sup>25</sup>
	12.000	84.60	05/15/20	123.12	38.52 W	0.00	1566 of 2207 - Sale <sup>25</sup>
	13.000	91.64	05/15/20	132.90	41.26 W	0.00	1567 of 2207 - Sale <sup>25</sup>
	21.000	148.04	05/15/20	216.51	68.47 W	0.00	1568 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.50	3.30 W	0.00	1569 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.57	3.37 W	0.00	1570 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.39	3.19 W	0.00	1571 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.91	3.71 W	0.00	1572 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.91	3.71 W	0.00	1573 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	7.20	05/15/20	11.06	3.86 W	0.00	1574 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.73	3.53 W	0.00	1575 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.00	2.80 W	0.00	1576 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.06	2.86 W	0.00	1577 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.19	2.99 W	0.00	1578 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.90	2.70 W	0.00	1579 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.92	2.72 W	0.00	1580 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.00	2.80 W	0.00	1581 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.89	2.69 W	0.00	1582 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.32	2.12 W	0.00	1583 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.97	1.77 W	0.00	1584 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.68	2.48 W	0.00	1585 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.60	1.40 W	0.00	1586 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.63	1.43 W	0.00	1587 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.45	1.25 W	0.00	1588 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.30	1.10 W	0.00	1589 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	7.35	0.15 W	0.00	1590 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.86	1.66 W	0.00	1591 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.82	1.62 W	0.00	1592 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	22.20	7.80 W	0.00	1593 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	21.48	7.08 W	0.00	1594 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	19.85	5.45 W	0.00	1595 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	19.95	5.55 W	0.00	1596 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	19.99	5.59 W	0.00	1597 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	19.84	5.44 W	0.00	1598 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	19.77	5.37 W	0.00	1599 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	18.59	4.19 W	0.00	1600 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	18.20	3.80 W	0.00	1601 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	17.81	3.41 W	0.00	1602 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	19.43	5.03 W	0.00	1603 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	19.88	5.48 W	0.00	1604 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	17.10	2.70 W	0.00	1605 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	16.56	2.16 W	0.00	1606 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	17.06	2.66 W	0.00	1607 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	21.60	05/15/20	32.80	11.20 W	0.00	1608 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	33.35	11.75 W	0.00	1609 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	30.19	8.59 W	0.00	1610 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	29.46	7.86 W	0.00	1611 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	29.49	7.89 W	0.00	1612 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	31.17	9.57 W	0.00	1613 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	29.86	8.26 W	0.00	1614 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	29.85	8.25 W	0.00	1615 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	29.67	8.07 W	0.00	1616 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	27.82	6.22 W	0.00	1617 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	26.86	5.26 W	0.00	1618 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	26.49	4.89 W	0.00	1619 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	25.50	3.90 W	0.00	1620 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	43.62	14.82 W	0.00	1621 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	41.47	12.67 W	0.00	1622 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	41.39	12.59 W	0.00	1623 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	39.64	10.84 W	0.00	1624 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	39.44	10.64 W	0.00	1625 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	36.96	8.16 W	0.00	1626 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	34.15	5.35 W	0.00	1627 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	34.87	6.07 W	0.00	1628 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	55.59	19.59 W	0.00	1629 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	53.60	17.60 W	0.00	1630 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	49.95	13.95 W	0.00	1631 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	50.23	14.23 W	0.00	1632 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	49.62	13.62 W	0.00	1633 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	49.97	13.97 W	0.00	1634 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	45.39	9.39 W	0.00	1635 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	45.75	9.75 W	0.00	1636 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	58.60	15.40 W	0.00	1637 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	60.09	16.89 W	0.00	1638 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	59.56	16.36 W	0.00	1639 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	57.65	14.45 W	0.00	1640 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	72.33	21.93 W	0.00	1641 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	50.40	05/15/20	70.21	19.81 W	0.00	1642 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	68.82	18.42 W	0.00	1643 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	82.94	25.34 W	0.00	1644 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	81.67	24.07 W	0.00	1645 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	79.86	22.26 W	0.00	1646 of 2207 - Sale <sup>25</sup>
	9.000	64.80	05/15/20	94.22	29.42 W	0.00	1647 of 2207 - Sale <sup>25</sup>
	9.000	64.80	05/15/20	91.15	26.35 W	0.00	1648 of 2207 - Sale <sup>25</sup>
	10.000	72.00	05/15/20	106.28	34.28 W	0.00	1649 of 2207 - Sale <sup>25</sup>
	10.000	72.00	05/15/20	98.31	26.31 W	0.00	1650 of 2207 - Sale <sup>25</sup>
	10.000	72.00	05/15/20	90.52	18.52 W	0.00	1651 of 2207 - Sale <sup>25</sup>
	10.000	72.00	05/15/20	95.89	23.89 W	0.00	1652 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	115.08	35.88 W	0.00	1653 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	121.12	41.92 W	0.00	1654 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	109.25	30.05 W	0.00	1655 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	91.75	12.55 W	0.00	1656 of 2207 - Sale <sup>25</sup>
	12.000	86.40	05/15/20	120.07	33.67 W	0.00	1657 of 2207 - Sale <sup>25</sup>
	12.000	86.40	05/15/20	118.23	31.83 W	0.00	1658 of 2207 - Sale <sup>25</sup>
	15.000	107.99	05/15/20	109.98	1.99 W	0.00	1659 of 2207 - Sale <sup>25</sup>
	15.000	107.99	05/15/20	110.26	2.27 W	0.00	1660 of 2207 - Sale <sup>25</sup>
	16.000	115.19	05/15/20	141.16	25.97 W	0.00	1661 of 2207 - Sale <sup>25</sup>
	17.000	122.39	05/15/20	166.78	44.39 W	0.00	1662 of 2207 - Sale <sup>25</sup>
	17.000	122.39	05/15/20	155.23	32.84 W	0.00	1663 of 2207 - Sale <sup>25</sup>
	17.000	122.39	05/15/20	124.29	1.90 W	0.00	1664 of 2207 - Sale <sup>25</sup>
	19.000	136.79	05/15/20	160.39	23.60 W	0.00	1665 of 2207 - Sale <sup>25</sup>
	24.000	172.79	05/15/20	241.53	68.74 W	0.00	1666 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	7.93	0.73 W	0.00	1667 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.04	0.84 W	0.00	1668 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.02	0.82 W	0.00	1669 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	7.96	0.76 W	0.00	1670 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	7.86	0.66 W	0.00	1671 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	16.00	1.60 W	0.00	1672 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	24.20	2.60 W	0.00	1673 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	24.06	2.46 W	0.00	1674 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	40.04	4.04 W	0.00	1675 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	36.00	05/15/20	40.24	4.24 W	0.00	1676 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	47.80	4.60 W	0.00	1677 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	56.18	5.78 W	0.00	1678 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	56.20	5.80 W	0.00	1679 of 2207 - Sale <sup>25</sup>
	9.000	64.80	05/15/20	74.35	9.55 W	0.00	1680 of 2207 - Sale <sup>25</sup>
	9.000	64.80	05/15/20	72.45	7.65 W	0.00	1681 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	87.88	8.68 W	0.00	1682 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	88.23	9.03 W	0.00	1683 of 2207 - Sale <sup>25</sup>
	17.000	122.39	05/15/20	137.74	15.35 W	0.00	1684 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.11	0.91 W	0.00	1685 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.26	1.06 W	0.00	1686 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.33	1.13 W	0.00	1687 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.24	1.04 W	0.00	1688 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.03	0.83 W	0.00	1689 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.32	2.12 W	0.00	1690 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.37	2.17 W	0.00	1691 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.37	2.17 W	0.00	1692 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	16.33	1.93 W	0.00	1693 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	24.06	2.46 W	0.00	1694 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	24.73	3.13 W	0.00	1695 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	25.08	3.48 W	0.00	1696 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	32.17	3.37 W	0.00	1697 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	32.62	3.82 W	0.00	1698 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	36.26	7.46 W	0.00	1699 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	40.06	4.06 W	0.00	1700 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	42.19	6.19 W	0.00	1701 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	49.00	5.80 W	0.00	1702 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	52.44	9.24 W	0.00	1703 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	52.86	9.66 W	0.00	1704 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	57.41	7.01 W	0.00	1705 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	63.02	12.62 W	0.00	1706 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	70.41	12.81 W	0.00	1707 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	77.20	19.60 W	0.00	1708 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	70.50	12.90 W	0.00	1709 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	64.80	05/15/20	74.35	9.55 W	0.00	1710 of 2207 - Sale <sup>25</sup>
	9.000	64.80	05/15/20	80.30	15.50 W	0.00	1711 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	96.40	17.20 W	0.00	1712 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	96.95	17.75 W	0.00	1713 of 2207 - Sale <sup>25</sup>
	12.000	86.40	05/15/20	114.43	28.03 W	0.00	1714 of 2207 - Sale <sup>25</sup>
	16.000	115.19	05/15/20	129.01	13.82 W	0.00	1715 of 2207 - Sale <sup>25</sup>
	16.000	115.19	05/15/20	140.84	25.65 W	0.00	1716 of 2207 - Sale <sup>25</sup>
	19.000	136.79	05/15/20	167.45	30.66 W	0.00	1717 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.06	1.86 W	0.00	1718 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.95	1.75 W	0.00	1719 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.74	1.54 W	0.00	1720 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.68	1.48 W	0.00	1721 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.68	1.48 W	0.00	1722 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	17.89	3.49 W	0.00	1723 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	17.98	3.58 W	0.00	1724 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	18.22	3.82 W	0.00	1725 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	26.58	4.98 W	0.00	1726 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	26.89	5.29 W	0.00	1727 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	36.10	7.30 W	0.00	1728 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	35.82	7.02 W	0.00	1729 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	44.07	8.07 W	0.00	1730 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	43.77	7.77 W	0.00	1731 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	44.80	8.80 W	0.00	1732 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	54.06	10.86 W	0.00	1733 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	53.39	10.19 W	0.00	1734 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	53.68	10.48 W	0.00	1735 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	53.70	10.50 W	0.00	1736 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	53.93	10.73 W	0.00	1737 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	54.06	10.86 W	0.00	1738 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	71.76	14.16 W	0.00	1739 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	98.43	19.23 W	0.00	1740 of 2207 - Sale <sup>25</sup>
	11.000	79.20	05/15/20	100.44	21.24 W	0.00	1741 of 2207 - Sale <sup>25</sup>
	14.000	100.79	05/15/20	127.72	26.93 W	0.00	1742 of 2207 - Sale <sup>25</sup>
	16.000	115.19	05/15/20	144.67	29.48 W	0.00	1743 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	17.000	122.39	05/15/20	149.46	27.07 W	0.00	1744 of 2207 - Sale <sup>25</sup>
	22.000	158.39	05/15/20	198.51	40.12 W	0.00	1745 of 2207 - Sale <sup>25</sup>
	25.000	179.99	05/15/20	220.93	40.94 W	0.00	1746 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.89	1.69 W	0.00	1747 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.96	1.76 W	0.00	1748 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	8.76	1.56 W	0.00	1749 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.35	2.15 W	0.00	1750 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.14	1.94 W	0.00	1751 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	9.17	1.97 W	0.00	1752 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.46	3.26 W	0.00	1753 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.44	3.24 W	0.00	1754 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.41	3.21 W	0.00	1755 of 2207 - Sale <sup>25</sup>
	1.000	7.20	05/15/20	10.65	3.45 W	0.00	1756 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	18.49	4.09 W	0.00	1757 of 2207 - Sale <sup>25</sup>
	2.000	14.40	05/15/20	20.81	6.41 W	0.00	1758 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	26.97	5.37 W	0.00	1759 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	26.52	4.92 W	0.00	1760 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	28.05	6.45 W	0.00	1761 of 2207 - Sale <sup>25</sup>
	3.000	21.60	05/15/20	31.17	9.57 W	0.00	1762 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	35.81	7.01 W	0.00	1763 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	36.56	7.76 W	0.00	1764 of 2207 - Sale <sup>25</sup>
	4.000	28.80	05/15/20	41.11	12.31 W	0.00	1765 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	44.66	8.66 W	0.00	1766 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	41.64	5.64 W	0.00	1767 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	46.51	10.51 W	0.00	1768 of 2207 - Sale <sup>25</sup>
	5.000	36.00	05/15/20	52.82	16.82 W	0.00	1769 of 2207 - Sale <sup>25</sup>
	6.000	43.20	05/15/20	54.11	10.91 W	0.00	1770 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	65.61	15.21 W	0.00	1771 of 2207 - Sale <sup>25</sup>
	7.000	50.40	05/15/20	72.30	21.90 W	0.00	1772 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	71.45	13.85 W	0.00	1773 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	71.45	13.85 W	0.00	1774 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	84.49	26.89 W	0.00	1775 of 2207 - Sale <sup>25</sup>
	8.000	57.60	05/15/20	84.55	26.95 W	0.00	1776 of 2207 - Sale <sup>25</sup>
	9.000	64.80	05/15/20	81.29	16.49 W	0.00	1777 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	64.79	05/15/20	79.48	14.69 W	0.00	1778 of 2207 - Sale <sup>25</sup>
	11.000	79.19	05/15/20	98.19	19.00 W	0.00	1779 of 2207 - Sale <sup>25</sup>
	11.000	79.19	05/15/20	103.22	24.03 W	0.00	1780 of 2207 - Sale <sup>25</sup>
	11.000	79.19	05/15/20	116.18	36.99 W	0.00	1781 of 2207 - Sale <sup>25</sup>
	11.000	79.19	05/15/20	114.46	35.27 W	0.00	1782 of 2207 - Sale <sup>25</sup>
	11.000	79.19	05/15/20	117.28	38.09 W	0.00	1783 of 2207 - Sale <sup>25</sup>
	12.000	86.39	05/15/20	110.88	24.49 W	0.00	1784 of 2207 - Sale <sup>25</sup>
	12.000	86.39	05/15/20	124.32	37.93 W	0.00	1785 of 2207 - Sale <sup>25</sup>
	13.000	93.59	05/15/20	134.21	40.62 W	0.00	1786 of 2207 - Sale <sup>25</sup>
	19.000	136.79	05/15/20	171.60	34.81 W	0.00	1787 of 2207 - Sale <sup>25</sup>
	21.000	151.19	05/15/20	182.43	31.24 W	0.00	1788 of 2207 - Sale <sup>25</sup>
	21.000	151.19	05/15/20	218.62	67.43 W	0.00	1789 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.50	3.35 W	0.00	1790 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.57	3.42 W	0.00	1791 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.39	3.24 W	0.00	1792 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.91	3.76 W	0.00	1793 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.91	3.76 W	0.00	1794 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	11.06	3.91 W	0.00	1795 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	32.80	11.35 W	0.00	1796 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	43.62	15.02 W	0.00	1797 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	33.35	11.90 W	0.00	1798 of 2207 - Sale <sup>25</sup>
	8.000	57.20	05/15/20	82.94	25.74 W	0.00	1799 of 2207 - Sale <sup>25</sup>
	9.000	64.35	05/15/20	94.22	29.87 W	0.00	1800 of 2207 - Sale <sup>25</sup>
	10.000	71.50	05/15/20	106.28	34.78 W	0.00	1801 of 2207 - Sale <sup>25</sup>
	11.000	78.65	05/15/20	115.08	36.43 W	0.00	1802 of 2207 - Sale <sup>25</sup>
	11.000	78.64	05/15/20	121.12	42.48 W	0.00	1803 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.73	3.58 W	0.00	1804 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	22.20	7.90 W	0.00	1805 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	21.48	7.18 W	0.00	1806 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	33.35	11.90 W	0.00	1807 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	22.24	7.94 W	0.00	1808 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.00	2.85 W	0.00	1809 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.06	2.91 W	0.00	1810 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	30.19	8.74 W	0.00	1811 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	35.75	05/15/20	53.60	17.85 W	0.00	1812 of 2207 - Sale <sup>25</sup>
	7.000	50.05	05/15/20	72.33	22.28 W	0.00	1813 of 2207 - Sale <sup>25</sup>
	7.000	50.05	05/15/20	70.21	20.16 W	0.00	1814 of 2207 - Sale <sup>25</sup>
	12.000	85.80	05/15/20	120.07	34.27 W	0.00	1815 of 2207 - Sale <sup>25</sup>
	14.000	100.10	05/15/20	140.90	40.80 W	0.00	1816 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.19	3.04 W	0.00	1817 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	9.90	2.75 W	0.00	1818 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	9.92	2.77 W	0.00	1819 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	10.00	2.85 W	0.00	1820 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	9.89	2.74 W	0.00	1821 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	9.32	2.17 W	0.00	1822 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	8.97	1.82 W	0.00	1823 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	9.68	2.53 W	0.00	1824 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	8.60	1.45 W	0.00	1825 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	8.63	1.48 W	0.00	1826 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	8.45	1.30 W	0.00	1827 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	8.30	1.15 W	0.00	1828 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	7.35	0.20 W	0.00	1829 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	8.86	1.71 W	0.00	1830 of 2207 - Sale <sup>25</sup>
	1.000	7.15	05/15/20	8.82	1.67 W	0.00	1831 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	19.85	5.55 W	0.00	1832 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	19.95	5.65 W	0.00	1833 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	19.99	5.69 W	0.00	1834 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	19.84	5.54 W	0.00	1835 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	19.77	5.47 W	0.00	1836 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	18.59	4.29 W	0.00	1837 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	18.20	3.90 W	0.00	1838 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	17.81	3.51 W	0.00	1839 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	19.43	5.13 W	0.00	1840 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	19.88	5.58 W	0.00	1841 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	17.10	2.80 W	0.00	1842 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	16.56	2.26 W	0.00	1843 of 2207 - Sale <sup>25</sup>
	2.000	14.30	05/15/20	17.06	2.76 W	0.00	1844 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	29.46	8.01 W	0.00	1845 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	21.45	05/15/20	29.49	8.04 W	0.00	1846 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	31.17	9.72 W	0.00	1847 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	29.86	8.41 W	0.00	1848 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	29.85	8.40 W	0.00	1849 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	29.67	8.22 W	0.00	1850 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	27.82	6.37 W	0.00	1851 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	26.86	5.41 W	0.00	1852 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	26.49	5.04 W	0.00	1853 of 2207 - Sale <sup>25</sup>
	3.000	21.45	05/15/20	25.50	4.05 W	0.00	1854 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	41.47	12.87 W	0.00	1855 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	41.39	12.79 W	0.00	1856 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	39.64	11.04 W	0.00	1857 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	39.44	10.84 W	0.00	1858 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	36.96	8.36 W	0.00	1859 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	34.15	5.55 W	0.00	1860 of 2207 - Sale <sup>25</sup>
	4.000	28.60	05/15/20	34.87	6.27 W	0.00	1861 of 2207 - Sale <sup>25</sup>
	5.000	35.75	05/15/20	49.95	14.20 W	0.00	1862 of 2207 - Sale <sup>25</sup>
	5.000	35.75	05/15/20	50.23	14.48 W	0.00	1863 of 2207 - Sale <sup>25</sup>
	5.000	35.75	05/15/20	49.62	13.87 W	0.00	1864 of 2207 - Sale <sup>25</sup>
	5.000	35.75	05/15/20	49.97	14.22 W	0.00	1865 of 2207 - Sale <sup>25</sup>
	5.000	35.75	05/15/20	45.39	9.64 W	0.00	1866 of 2207 - Sale <sup>25</sup>
	5.000	35.75	05/15/20	45.75	10.00 W	0.00	1867 of 2207 - Sale <sup>25</sup>
	6.000	42.90	05/15/20	58.60	15.70 W	0.00	1868 of 2207 - Sale <sup>25</sup>
	6.000	42.90	05/15/20	60.09	17.19 W	0.00	1869 of 2207 - Sale <sup>25</sup>
	6.000	42.90	05/15/20	59.56	16.66 W	0.00	1870 of 2207 - Sale <sup>25</sup>
	6.000	42.90	05/15/20	57.65	14.75 W	0.00	1871 of 2207 - Sale <sup>25</sup>
	7.000	50.05	05/15/20	68.82	18.77 W	0.00	1872 of 2207 - Sale <sup>25</sup>
	8.000	57.20	05/15/20	81.67	24.47 W	0.00	1873 of 2207 - Sale <sup>25</sup>
	8.000	57.20	05/15/20	79.86	22.66 W	0.00	1874 of 2207 - Sale <sup>25</sup>
	9.000	64.35	05/15/20	91.15	26.80 W	0.00	1875 of 2207 - Sale <sup>25</sup>
	10.000	71.50	05/15/20	98.31	26.81 W	0.00	1876 of 2207 - Sale <sup>25</sup>
	10.000	71.50	05/15/20	90.52	19.02 W	0.00	1877 of 2207 - Sale <sup>25</sup>
	10.000	71.50	05/15/20	95.89	24.39 W	0.00	1878 of 2207 - Sale <sup>25</sup>
	11.000	78.65	05/15/20	109.25	30.60 W	0.00	1879 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		78.65	05/15/20	91.75	13.10 W	0.00	1880 of 2207 - Sale <sup>25</sup>
12.000		85.80	05/15/20	118.23	32.43 W	0.00	1881 of 2207 - Sale <sup>25</sup>
15.000		107.24	05/15/20	109.99	2.75 W	0.00	1882 of 2207 - Sale <sup>25</sup>
15.000		107.24	05/15/20	110.27	3.03 W	0.00	1883 of 2207 - Sale <sup>25</sup>
16.000		114.39	05/15/20	141.17	26.78 W	0.00	1884 of 2207 - Sale <sup>25</sup>
17.000		121.54	05/15/20	166.79	45.25 W	0.00	1885 of 2207 - Sale <sup>25</sup>
17.000		121.54	05/15/20	155.24	33.70 W	0.00	1886 of 2207 - Sale <sup>25</sup>
17.000		121.54	05/15/20	124.30	2.76 W	0.00	1887 of 2207 - Sale <sup>25</sup>
19.000		135.84	05/15/20	160.40	24.56 W	0.00	1888 of 2207 - Sale <sup>25</sup>
10.000		71.50	05/15/20	100.64	29.14 W	0.00	1889 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	7.92	0.77 W	0.00	1890 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.03	0.88 W	0.00	1891 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.01	0.86 W	0.00	1892 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	7.95	0.80 W	0.00	1893 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	7.85	0.70 W	0.00	1894 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.10	0.95 W	0.00	1895 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.25	1.10 W	0.00	1896 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.32	1.17 W	0.00	1897 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.23	1.08 W	0.00	1898 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.02	0.87 W	0.00	1899 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	9.31	2.16 W	0.00	1900 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	9.36	2.21 W	0.00	1901 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	9.36	2.21 W	0.00	1902 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	9.05	1.90 W	0.00	1903 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.94	1.79 W	0.00	1904 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.73	1.58 W	0.00	1905 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.67	1.52 W	0.00	1906 of 2207 - Sale <sup>25</sup>
1.000		7.15	05/15/20	8.67	1.52 W	0.00	1907 of 2207 - Sale <sup>25</sup>
2.000		14.30	05/15/20	15.98	1.68 W	0.00	1908 of 2207 - Sale <sup>25</sup>
2.000		14.30	05/15/20	16.31	2.01 W	0.00	1909 of 2207 - Sale <sup>25</sup>
2.000		14.30	05/15/20	17.87	3.57 W	0.00	1910 of 2207 - Sale <sup>25</sup>
2.000		14.30	05/15/20	17.96	3.66 W	0.00	1911 of 2207 - Sale <sup>25</sup>
2.000		14.30	05/15/20	18.20	3.90 W	0.00	1912 of 2207 - Sale <sup>25</sup>
3.000		21.45	05/15/20	24.17	2.72 W	0.00	1913 of 2207 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
3.000		21.45	05/15/20	24.03	2.58 W	0.00	1914 of 2207 - Sale <sup>25</sup>
3.000		21.45	05/15/20	24.03	2.58 W	0.00	1915 of 2207 - Sale <sup>25</sup>
3.000		21.45	05/15/20	24.70	3.25 W	0.00	1916 of 2207 - Sale <sup>25</sup>
3.000		21.45	05/15/20	25.05	3.60 W	0.00	1917 of 2207 - Sale <sup>25</sup>
3.000		21.45	05/15/20	26.55	5.10 W	0.00	1918 of 2207 - Sale <sup>25</sup>
3.000		21.45	05/15/20	26.86	5.41 W	0.00	1919 of 2207 - Sale <sup>25</sup>
4.000		28.60	05/15/20	32.13	3.53 W	0.00	1920 of 2207 - Sale <sup>25</sup>
4.000		28.60	05/15/20	32.58	3.98 W	0.00	1921 of 2207 - Sale <sup>25</sup>
4.000		28.60	05/15/20	36.22	7.62 W	0.00	1922 of 2207 - Sale <sup>25</sup>
4.000		28.60	05/15/20	36.06	7.46 W	0.00	1923 of 2207 - Sale <sup>25</sup>
4.000		28.60	05/15/20	35.78	7.18 W	0.00	1924 of 2207 - Sale <sup>25</sup>
5.000		35.75	05/15/20	39.99	4.24 W	0.00	1925 of 2207 - Sale <sup>25</sup>
5.000		35.75	05/15/20	40.19	4.44 W	0.00	1926 of 2207 - Sale <sup>25</sup>
5.000		35.75	05/15/20	40.01	4.26 W	0.00	1927 of 2207 - Sale <sup>25</sup>
5.000		35.75	05/15/20	42.14	6.39 W	0.00	1928 of 2207 - Sale <sup>25</sup>
5.000		35.75	05/15/20	44.02	8.27 W	0.00	1929 of 2207 - Sale <sup>25</sup>
5.000		35.75	05/15/20	43.72	7.97 W	0.00	1930 of 2207 - Sale <sup>25</sup>
5.000		35.74	05/15/20	44.75	9.01 W	0.00	1931 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	47.74	4.84 W	0.00	1932 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	48.94	6.04 W	0.00	1933 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	52.38	9.48 W	0.00	1934 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	52.80	9.90 W	0.00	1935 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	54.00	11.10 W	0.00	1936 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	53.33	10.43 W	0.00	1937 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	53.62	10.72 W	0.00	1938 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	53.64	10.74 W	0.00	1939 of 2207 - Sale <sup>25</sup>
6.000		42.90	05/15/20	53.87	10.97 W	0.00	1940 of 2207 - Sale <sup>25</sup>
6.000		42.89	05/15/20	54.00	11.11 W	0.00	1941 of 2207 - Sale <sup>25</sup>
7.000		50.05	05/15/20	56.11	6.06 W	0.00	1942 of 2207 - Sale <sup>25</sup>
7.000		50.05	05/15/20	56.13	6.08 W	0.00	1943 of 2207 - Sale <sup>25</sup>
7.000		50.05	05/15/20	57.34	7.29 W	0.00	1944 of 2207 - Sale <sup>25</sup>
7.000		50.05	05/15/20	62.95	12.90 W	0.00	1945 of 2207 - Sale <sup>25</sup>
8.000		57.20	05/15/20	70.33	13.13 W	0.00	1946 of 2207 - Sale <sup>25</sup>
8.000		57.20	05/15/20	77.12	19.92 W	0.00	1947 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	57.20	05/15/20	70.42	13.22 W	0.00	1948 of 2207 - Sale <sup>25</sup>
	8.000	57.20	05/15/20	71.68	14.48 W	0.00	1949 of 2207 - Sale <sup>25</sup>
	9.000	64.35	05/15/20	74.26	9.91 W	0.00	1950 of 2207 - Sale <sup>25</sup>
	9.000	64.35	05/15/20	72.36	8.01 W	0.00	1951 of 2207 - Sale <sup>25</sup>
	9.000	64.35	05/15/20	74.26	9.91 W	0.00	1952 of 2207 - Sale <sup>25</sup>
	9.000	64.35	05/15/20	80.21	15.86 W	0.00	1953 of 2207 - Sale <sup>25</sup>
	11.000	78.65	05/15/20	87.77	9.12 W	0.00	1954 of 2207 - Sale <sup>25</sup>
	11.000	78.65	05/15/20	88.12	9.47 W	0.00	1955 of 2207 - Sale <sup>25</sup>
	11.000	78.64	05/15/20	96.29	17.65 W	0.00	1956 of 2207 - Sale <sup>25</sup>
	11.000	78.64	05/15/20	96.84	18.20 W	0.00	1957 of 2207 - Sale <sup>25</sup>
	11.000	78.64	05/15/20	98.32	19.68 W	0.00	1958 of 2207 - Sale <sup>25</sup>
	11.000	78.64	05/15/20	100.33	21.69 W	0.00	1959 of 2207 - Sale <sup>25</sup>
	12.000	85.79	05/15/20	114.31	28.52 W	0.00	1960 of 2207 - Sale <sup>25</sup>
	14.000	100.09	05/15/20	127.59	27.50 W	0.00	1961 of 2207 - Sale <sup>25</sup>
	16.000	114.39	05/15/20	128.86	14.47 W	0.00	1962 of 2207 - Sale <sup>25</sup>
	16.000	114.39	05/15/20	140.69	26.30 W	0.00	1963 of 2207 - Sale <sup>25</sup>
	16.000	114.39	05/15/20	144.52	30.13 W	0.00	1964 of 2207 - Sale <sup>25</sup>
	17.000	121.54	05/15/20	137.58	16.04 W	0.00	1965 of 2207 - Sale <sup>25</sup>
	17.000	121.54	05/15/20	149.30	27.76 W	0.00	1966 of 2207 - Sale <sup>25</sup>
	19.000	135.84	05/15/20	167.27	31.43 W	0.00	1967 of 2207 - Sale <sup>25</sup>
	22.000	157.29	05/15/20	198.30	41.01 W	0.00	1968 of 2207 - Sale <sup>25</sup>
	25.000	178.73	05/15/20	220.69	41.96 W	0.00	1969 of 2207 - Sale <sup>25</sup>
	6.000	42.72	05/15/20	54.01	11.29 W	0.00	1970 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	9.00	1.88 W	0.00	1971 of 2207 - Sale <sup>25</sup>
	2.000	14.24	05/15/20	18.01	3.77 W	0.00	1972 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.86	1.74 W	0.00	1973 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.93	1.81 W	0.00	1974 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	8.73	1.61 W	0.00	1975 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	9.32	2.20 W	0.00	1976 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	9.11	1.99 W	0.00	1977 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	9.14	2.02 W	0.00	1978 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.43	3.31 W	0.00	1979 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.41	3.29 W	0.00	1980 of 2207 - Sale <sup>25</sup>
	2.000	14.24	05/15/20	18.43	4.19 W	0.00	1981 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	21.36	05/15/20	26.88	5.52 W	0.00	1982 of 2207 - Sale <sup>25</sup>
	3.000	21.36	05/15/20	26.43	5.07 W	0.00	1983 of 2207 - Sale <sup>25</sup>
	3.000	21.36	05/15/20	27.96	6.60 W	0.00	1984 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	35.69	7.21 W	0.00	1985 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	36.44	7.96 W	0.00	1986 of 2207 - Sale <sup>25</sup>
	4.000	28.48	05/15/20	40.99	12.51 W	0.00	1987 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	44.51	8.91 W	0.00	1988 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	41.49	5.89 W	0.00	1989 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	46.36	10.76 W	0.00	1990 of 2207 - Sale <sup>25</sup>
	6.000	42.72	05/15/20	53.93	11.21 W	0.00	1991 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	65.40	15.56 W	0.00	1992 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	72.09	22.25 W	0.00	1993 of 2207 - Sale <sup>25</sup>
	7.000	49.84	05/15/20	72.67	22.83 W	0.00	1994 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	71.21	14.25 W	0.00	1995 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	71.21	14.25 W	0.00	1996 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	84.25	27.29 W	0.00	1997 of 2207 - Sale <sup>25</sup>
	9.000	64.08	05/15/20	79.22	15.14 W	0.00	1998 of 2207 - Sale <sup>25</sup>
	11.000	78.32	05/15/20	97.87	19.55 W	0.00	1999 of 2207 - Sale <sup>25</sup>
	11.000	78.32	05/15/20	102.90	24.58 W	0.00	2000 of 2207 - Sale <sup>25</sup>
	11.000	78.31	05/15/20	115.86	37.55 W	0.00	2001 of 2207 - Sale <sup>25</sup>
	12.000	85.44	05/15/20	110.53	25.09 W	0.00	2002 of 2207 - Sale <sup>25</sup>
	19.000	135.27	05/15/20	171.04	35.77 W	0.00	2003 of 2207 - Sale <sup>25</sup>
	21.000	149.51	05/15/20	181.81	32.30 W	0.00	2004 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.39	3.27 W	0.00	2005 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.63	3.51 W	0.00	2006 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.53	3.41 W	0.00	2007 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.60	3.48 W	0.00	2008 of 2207 - Sale <sup>25</sup>
	1.000	7.12	05/15/20	10.42	3.30 W	0.00	2009 of 2207 - Sale <sup>25</sup>
	2.000	14.24	05/15/20	20.77	6.53 W	0.00	2010 of 2207 - Sale <sup>25</sup>
	3.000	21.36	05/15/20	31.11	9.75 W	0.00	2011 of 2207 - Sale <sup>25</sup>
	5.000	35.60	05/15/20	52.72	17.12 W	0.00	2012 of 2207 - Sale <sup>25</sup>
	8.000	56.96	05/15/20	84.39	27.43 W	0.00	2013 of 2207 - Sale <sup>25</sup>
	2.000	14.24	05/15/20	21.00	6.76 W	0.00	2014 of 2207 - Sale <sup>25</sup>
	10.000	71.20	05/15/20	106.58	35.38 W	0.00	2015 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		78.31	05/15/20	114.25	35.94 W	0.00	2016 of 2207 - Sale <sup>25</sup>
11.000		78.31	05/15/20	117.07	38.76 W	0.00	2017 of 2207 - Sale <sup>25</sup>
11.000		78.31	05/15/20	115.41	37.10 W	0.00	2018 of 2207 - Sale <sup>25</sup>
12.000		85.43	05/15/20	124.09	38.66 W	0.00	2019 of 2207 - Sale <sup>25</sup>
13.000		92.55	05/15/20	133.96	41.41 W	0.00	2020 of 2207 - Sale <sup>25</sup>
14.000		99.67	05/15/20	145.47	45.80 W	0.00	2021 of 2207 - Sale <sup>25</sup>
1.000		7.12	05/15/20	10.94	3.82 W	0.00	2022 of 2207 - Sale <sup>25</sup>
1.000		7.12	05/15/20	10.94	3.82 W	0.00	2023 of 2207 - Sale <sup>25</sup>
1.000		7.12	05/15/20	11.09	3.97 W	0.00	2024 of 2207 - Sale <sup>25</sup>
1.000		7.12	05/15/20	10.76	3.64 W	0.00	2025 of 2207 - Sale <sup>25</sup>
2.000		14.24	05/15/20	22.30	8.06 W	0.00	2026 of 2207 - Sale <sup>25</sup>
2.000		14.24	05/15/20	22.26	8.02 W	0.00	2027 of 2207 - Sale <sup>25</sup>
2.000		14.24	05/15/20	21.54	7.30 W	0.00	2028 of 2207 - Sale <sup>25</sup>
3.000		21.36	05/15/20	32.89	11.53 W	0.00	2029 of 2207 - Sale <sup>25</sup>
3.000		21.36	05/15/20	33.44	12.08 W	0.00	2030 of 2207 - Sale <sup>25</sup>
3.000		21.36	05/15/20	33.44	12.08 W	0.00	2031 of 2207 - Sale <sup>25</sup>
4.000		28.48	05/15/20	43.74	15.26 W	0.00	2032 of 2207 - Sale <sup>25</sup>
1.000		7.12	05/15/20	10.75	3.63 W	0.00	2033 of 2207 - Sale <sup>25</sup>
8.000		56.96	05/15/20	83.18	26.22 W	0.00	2034 of 2207 - Sale <sup>25</sup>
7.000		49.84	05/15/20	73.49	23.65 W	0.00	2035 of 2207 - Sale <sup>25</sup>
11.000		78.32	05/15/20	121.46	43.14 W	0.00	2036 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	10.03	3.07 W	0.00	2037 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	10.09	3.13 W	0.00	2038 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	10.22	3.26 W	0.00	2039 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	30.28	9.40 W	0.00	2040 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	29.55	8.67 W	0.00	2041 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	29.58	8.70 W	0.00	2042 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	31.26	10.38 W	0.00	2043 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	29.95	9.07 W	0.00	2044 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	29.94	9.06 W	0.00	2045 of 2207 - Sale <sup>25</sup>
4.000		27.84	05/15/20	41.59	13.75 W	0.00	2046 of 2207 - Sale <sup>25</sup>
4.000		27.84	05/15/20	41.51	13.67 W	0.00	2047 of 2207 - Sale <sup>25</sup>
4.000		27.84	05/15/20	39.76	11.92 W	0.00	2048 of 2207 - Sale <sup>25</sup>
4.000		27.84	05/15/20	43.00	15.16 W	0.00	2049 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	34.80	05/15/20	50.10	15.30 W	0.00	2050 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	58.78	17.02 W	0.00	2051 of 2207 - Sale <sup>25</sup>
	7.000	48.72	05/15/20	72.54	23.82 W	0.00	2052 of 2207 - Sale <sup>25</sup>
	7.000	48.72	05/15/20	70.42	21.70 W	0.00	2053 of 2207 - Sale <sup>25</sup>
	7.000	48.72	05/15/20	69.03	20.31 W	0.00	2054 of 2207 - Sale <sup>25</sup>
	8.000	55.68	05/15/20	81.91	26.23 W	0.00	2055 of 2207 - Sale <sup>25</sup>
	8.000	55.68	05/15/20	78.73	23.05 W	0.00	2056 of 2207 - Sale <sup>25</sup>
	10.000	69.60	05/15/20	100.94	31.34 W	0.00	2057 of 2207 - Sale <sup>25</sup>
	10.000	69.60	05/15/20	98.61	29.01 W	0.00	2058 of 2207 - Sale <sup>25</sup>
	12.000	83.52	05/15/20	120.43	36.91 W	0.00	2059 of 2207 - Sale <sup>25</sup>
	12.000	83.52	05/15/20	118.59	35.07 W	0.00	2060 of 2207 - Sale <sup>25</sup>
	14.000	97.44	05/15/20	141.32	43.88 W	0.00	2061 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	9.89	2.93 W	0.00	2062 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	9.91	2.95 W	0.00	2063 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	9.99	3.03 W	0.00	2064 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	9.88	2.92 W	0.00	2065 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	9.31	2.35 W	0.00	2066 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	19.83	5.91 W	0.00	2067 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	19.93	6.01 W	0.00	2068 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	19.97	6.05 W	0.00	2069 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	19.82	5.90 W	0.00	2070 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	19.75	5.83 W	0.00	2071 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	18.57	4.65 W	0.00	2072 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	29.64	8.76 W	0.00	2073 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	27.79	6.91 W	0.00	2074 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	27.20	6.32 W	0.00	2075 of 2207 - Sale <sup>25</sup>
	4.000	27.84	05/15/20	39.40	11.56 W	0.00	2076 of 2207 - Sale <sup>25</sup>
	4.000	27.84	05/15/20	36.92	9.08 W	0.00	2077 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	50.18	15.38 W	0.00	2078 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	49.57	14.77 W	0.00	2079 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	49.92	15.12 W	0.00	2080 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	60.03	18.27 W	0.00	2081 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	59.50	17.74 W	0.00	2082 of 2207 - Sale <sup>25</sup>
	9.000	62.64	05/15/20	88.22	25.58 W	0.00	2083 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	62.64	05/15/20	91.06	28.42 W	0.00	2084 of 2207 - Sale <sup>25</sup>
	11.000	76.56	05/15/20	109.14	32.58 W	0.00	2085 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.96	2.00 W	0.00	2086 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	9.67	2.71 W	0.00	2087 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.59	1.63 W	0.00	2088 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.62	1.66 W	0.00	2089 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.44	1.48 W	0.00	2090 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.29	1.33 W	0.00	2091 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	7.34	0.38 W	0.00	2092 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.85	1.89 W	0.00	2093 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.81	1.85 W	0.00	2094 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	7.91	0.95 W	0.00	2095 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.02	1.06 W	0.00	2096 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.00	1.04 W	0.00	2097 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	7.94	0.98 W	0.00	2098 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	7.84	0.88 W	0.00	2099 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.09	1.13 W	0.00	2100 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.24	1.28 W	0.00	2101 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.31	1.35 W	0.00	2102 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.22	1.26 W	0.00	2103 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.01	1.05 W	0.00	2104 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	18.14	4.22 W	0.00	2105 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	18.18	4.26 W	0.00	2106 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	17.79	3.87 W	0.00	2107 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	19.41	5.49 W	0.00	2108 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	19.86	5.94 W	0.00	2109 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	17.08	3.16 W	0.00	2110 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	16.54	2.62 W	0.00	2111 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	17.04	3.12 W	0.00	2112 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	15.96	2.04 W	0.00	2113 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	16.29	2.37 W	0.00	2114 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	26.83	5.95 W	0.00	2115 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	26.46	5.58 W	0.00	2116 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	25.47	4.59 W	0.00	2117 of 2207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.88	05/15/20	24.14	3.26 W	0.00	2118 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	24.00	3.12 W	0.00	2119 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	24.00	3.12 W	0.00	2120 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	24.67	3.79 W	0.00	2121 of 2207 - Sale <sup>25</sup>
	4.000	27.84	05/15/20	34.11	6.27 W	0.00	2122 of 2207 - Sale <sup>25</sup>
	4.000	27.84	05/15/20	34.83	6.99 W	0.00	2123 of 2207 - Sale <sup>25</sup>
	4.000	27.84	05/15/20	32.09	4.25 W	0.00	2124 of 2207 - Sale <sup>25</sup>
	4.000	27.84	05/15/20	32.54	4.70 W	0.00	2125 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	45.70	10.90 W	0.00	2126 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	39.94	5.14 W	0.00	2127 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	40.14	5.34 W	0.00	2128 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	39.96	5.16 W	0.00	2129 of 2207 - Sale <sup>25</sup>
	5.000	34.79	05/15/20	42.09	7.30 W	0.00	2130 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	57.59	15.83 W	0.00	2131 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	47.68	5.92 W	0.00	2132 of 2207 - Sale <sup>25</sup>
	6.000	41.75	05/15/20	48.88	7.13 W	0.00	2133 of 2207 - Sale <sup>25</sup>
	7.000	48.72	05/15/20	56.04	7.32 W	0.00	2134 of 2207 - Sale <sup>25</sup>
	7.000	48.72	05/15/20	56.06	7.34 W	0.00	2135 of 2207 - Sale <sup>25</sup>
	7.000	48.71	05/15/20	57.27	8.56 W	0.00	2136 of 2207 - Sale <sup>25</sup>
	8.000	55.68	05/15/20	79.78	24.10 W	0.00	2137 of 2207 - Sale <sup>25</sup>
	9.000	62.64	05/15/20	74.17	11.53 W	0.00	2138 of 2207 - Sale <sup>25</sup>
	9.000	62.63	05/15/20	72.27	9.64 W	0.00	2139 of 2207 - Sale <sup>25</sup>
	9.000	62.63	05/15/20	74.17	11.54 W	0.00	2140 of 2207 - Sale <sup>25</sup>
	10.000	69.60	05/15/20	90.42	20.82 W	0.00	2141 of 2207 - Sale <sup>25</sup>
	10.000	69.59	05/15/20	95.79	26.20 W	0.00	2142 of 2207 - Sale <sup>25</sup>
	11.000	76.55	05/15/20	91.64	15.09 W	0.00	2143 of 2207 - Sale <sup>25</sup>
	11.000	76.55	05/15/20	87.66	11.11 W	0.00	2144 of 2207 - Sale <sup>25</sup>
	11.000	76.55	05/15/20	88.01	11.46 W	0.00	2145 of 2207 - Sale <sup>25</sup>
	15.000	104.39	05/15/20	109.85	5.46 W	0.00	2146 of 2207 - Sale <sup>25</sup>
	15.000	104.39	05/15/20	110.13	5.74 W	0.00	2147 of 2207 - Sale <sup>25</sup>
	16.000	111.35	05/15/20	141.02	29.67 W	0.00	2148 of 2207 - Sale <sup>25</sup>
	16.000	111.35	05/15/20	128.71	17.36 W	0.00	2149 of 2207 - Sale <sup>25</sup>
	17.000	118.31	05/15/20	155.08	36.77 W	0.00	2150 of 2207 - Sale <sup>25</sup>
	17.000	118.31	05/15/20	124.14	5.83 W	0.00	2151 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
17.000		118.31	05/15/20	137.42	19.11 W	0.00	2152 of 2207 - Sale <sup>25</sup>
19.000		132.23	05/15/20	160.22	27.99 W	0.00	2153 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	8.34	1.38 W	0.00	2154 of 2207 - Sale <sup>25</sup>
2.000		13.92	05/15/20	16.68	2.76 W	0.00	2155 of 2207 - Sale <sup>25</sup>
6.000		41.76	05/15/20	52.32	10.56 W	0.00	2156 of 2207 - Sale <sup>25</sup>
8.000		55.68	05/15/20	70.25	14.57 W	0.00	2157 of 2207 - Sale <sup>25</sup>
9.000		62.64	05/15/20	80.12	17.48 W	0.00	2158 of 2207 - Sale <sup>25</sup>
11.000		76.56	05/15/20	96.19	19.63 W	0.00	2159 of 2207 - Sale <sup>25</sup>
13.000		90.48	05/15/20	114.19	23.71 W	0.00	2160 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	9.28	2.32 W	0.00	2161 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	9.33	2.37 W	0.00	2162 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	9.33	2.37 W	0.00	2163 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	9.02	2.06 W	0.00	2164 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	26.29	5.41 W	0.00	2165 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	26.58	5.70 W	0.00	2166 of 2207 - Sale <sup>25</sup>
4.000		27.84	05/15/20	36.10	8.26 W	0.00	2167 of 2207 - Sale <sup>25</sup>
4.000		27.84	05/15/20	35.94	8.10 W	0.00	2168 of 2207 - Sale <sup>25</sup>
4.000		27.84	05/15/20	35.66	7.82 W	0.00	2169 of 2207 - Sale <sup>25</sup>
5.000		34.80	05/15/20	43.87	9.07 W	0.00	2170 of 2207 - Sale <sup>25</sup>
6.000		41.76	05/15/20	52.62	10.86 W	0.00	2171 of 2207 - Sale <sup>25</sup>
6.000		41.76	05/15/20	53.82	12.06 W	0.00	2172 of 2207 - Sale <sup>25</sup>
7.000		48.72	05/15/20	62.74	14.02 W	0.00	2173 of 2207 - Sale <sup>25</sup>
8.000		55.68	05/15/20	76.88	21.20 W	0.00	2174 of 2207 - Sale <sup>25</sup>
8.000		55.68	05/15/20	70.18	14.50 W	0.00	2175 of 2207 - Sale <sup>25</sup>
11.000		76.56	05/15/20	96.52	19.96 W	0.00	2176 of 2207 - Sale <sup>25</sup>
12.000		83.52	05/15/20	113.96	30.44 W	0.00	2177 of 2207 - Sale <sup>25</sup>
16.000		111.35	05/15/20	144.05	32.70 W	0.00	2178 of 2207 - Sale <sup>25</sup>
19.000		132.23	05/15/20	166.71	34.48 W	0.00	2179 of 2207 - Sale <sup>25</sup>
1.000		6.96	05/15/20	8.91	1.95 W	0.00	2180 of 2207 - Sale <sup>25</sup>
2.000		13.92	05/15/20	17.81	3.89 W	0.00	2181 of 2207 - Sale <sup>25</sup>
2.000		13.92	05/15/20	17.90	3.98 W	0.00	2182 of 2207 - Sale <sup>25</sup>
3.000		20.88	05/15/20	26.57	5.69 W	0.00	2183 of 2207 - Sale <sup>25</sup>
6.000		41.76	05/15/20	53.44	11.68 W	0.00	2184 of 2207 - Sale <sup>25</sup>
11.000		76.55	05/15/20	98.00	21.45 W	0.00	2185 of 2207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	11.000	76.56	05/15/20	100.01	23.45 W	0.00	2186 of 2207 - Sale <sup>25</sup>
	13.000	90.47	05/15/20	116.79	26.32 W	0.00	2187 of 2207 - Sale <sup>25</sup>
	14.000	97.43	05/15/20	127.18	29.75 W	0.00	2188 of 2207 - Sale <sup>25</sup>
	17.000	118.31	05/15/20	148.80	30.49 W	0.00	2189 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.65	1.69 W	0.00	2190 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.59	1.63 W	0.00	2191 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.59	1.63 W	0.00	2192 of 2207 - Sale <sup>25</sup>
	1.000	6.96	05/15/20	8.95	1.99 W	0.00	2193 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	18.04	4.12 W	0.00	2194 of 2207 - Sale <sup>25</sup>
	2.000	13.92	05/15/20	17.91	3.99 W	0.00	2195 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	26.31	5.43 W	0.00	2196 of 2207 - Sale <sup>25</sup>
	3.000	20.88	05/15/20	26.62	5.74 W	0.00	2197 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	43.32	8.52 W	0.00	2198 of 2207 - Sale <sup>25</sup>
	5.000	34.80	05/15/20	44.36	9.56 W	0.00	2199 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	53.16	11.40 W	0.00	2200 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	53.39	11.63 W	0.00	2201 of 2207 - Sale <sup>25</sup>
	6.000	41.76	05/15/20	53.53	11.77 W	0.00	2202 of 2207 - Sale <sup>25</sup>
	6.000	41.75	05/15/20	53.71	11.96 W	0.00	2203 of 2207 - Sale <sup>25</sup>
	8.000	55.68	05/15/20	71.04	15.36 W	0.00	2204 of 2207 - Sale <sup>25</sup>
	9.000	62.64	05/15/20	80.41	17.77 W	0.00	2205 of 2207 - Sale <sup>25</sup>
	10.000	69.59	05/15/20	89.53	19.94 W	0.00	2206 of 2207 - Sale <sup>25</sup>
	25.000	173.98	05/15/20	218.71	44.73 W	0.00	2207 of 2207 - Sale <sup>25</sup>
05/15/20	20,061.000	139,254.18	Various	176,179.76	36,925.58 W	0.00	Total of 2207 transactions
	30 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	10.000	66.80	05/18/20	66.75	...	0.05	1 of 30 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	13.30	...	0.06	2 of 30 - Sale <sup>25</sup>
	32.000	213.75	05/18/20	213.68	...	0.07	3 of 30 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	6.59	...	0.09	4 of 30 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	60.01	...	0.11	5 of 30 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	13.22	...	0.14	6 of 30 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	39.81	...	0.27	7 of 30 - Sale <sup>25</sup>
	30.000	200.39	05/18/20	200.07	...	0.32	8 of 30 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	39.74	...	0.34	9 of 30 - Sale <sup>25</sup>
	19.000	126.91	05/18/20	126.31	...	0.60	10 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	20.000	133.59	05/18/20	132.96	...	0.63	11 of 30 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	59.28	...	0.84	12 of 30 - Sale <sup>25</sup>
	200.000	1,295.91	05/18/20	1,295.00	...	0.91	13 of 30 - Sale <sup>25</sup>
	10.000	66.80	05/18/20	65.86	...	0.94	14 of 30 - Sale <sup>25</sup>
	18.000	120.23	05/18/20	119.10	...	1.13	15 of 30 - Sale <sup>25</sup>
	20.000	133.59	05/18/20	132.40	...	1.19	16 of 30 - Sale <sup>25</sup>
	26.000	173.67	05/18/20	172.38	...	1.29	17 of 30 - Sale <sup>25</sup>
	34.000	227.10	05/18/20	225.43	...	1.67	18 of 30 - Sale <sup>25</sup>
	58.000	387.41	05/18/20	383.97	...	3.44	19 of 30 - Sale <sup>25</sup>
	70.000	467.57	05/18/20	464.11	...	3.46	20 of 30 - Sale <sup>25</sup>
	100.000	647.95	05/18/20	641.50	...	6.45	21 of 30 - Sale <sup>25</sup>
	100.000	647.95	05/18/20	641.50	...	6.45	22 of 30 - Sale <sup>25</sup>
	107.000	693.31	05/18/20	686.40	...	6.91	23 of 30 - Sale <sup>25</sup>
	111.000	719.23	05/18/20	710.40	...	8.83	24 of 30 - Sale <sup>25</sup>
	188.000	1,255.75	05/18/20	1,240.89	...	14.86	25 of 30 - Sale <sup>25</sup>
	90.000	601.16	05/18/20	584.05	...	17.11	26 of 30 - Sale <sup>25</sup>
	200.000	1,295.90	05/18/20	1,278.00	...	17.90	27 of 30 - Sale <sup>25</sup>
	96.000	641.25	05/18/20	622.97	...	18.28	28 of 30 - Sale <sup>25</sup>
	198.000	1,237.34	05/18/20	1,217.70	...	19.64	29 of 30 - Sale <sup>25</sup>
	424.000	2,832.11	05/18/20	2,751.44	...	80.67	30 of 30 - Sale <sup>25</sup>
05/18/20	2,196.000	14,419.47	Various	14,204.82	...	214.65	Total of 30 transactions
	5295 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	7.98	05/18/20	10.93	2.95 W	0.00	1 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	11.00	3.02 W	0.00	2 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	10.80	2.82 W	0.00	3 of 5295 - Sale <sup>25</sup>
	2.000	15.96	05/18/20	22.57	6.61 W	0.00	4 of 5295 - Sale <sup>25</sup>
	2.000	15.96	05/18/20	22.56	6.60 W	0.00	5 of 5295 - Sale <sup>25</sup>
	3.000	23.94	05/18/20	33.09	9.15 W	0.00	6 of 5295 - Sale <sup>25</sup>
	3.000	23.94	05/18/20	32.64	8.70 W	0.00	7 of 5295 - Sale <sup>25</sup>
	4.000	31.92	05/18/20	43.97	12.05 W	0.00	8 of 5295 - Sale <sup>25</sup>
	5.000	39.90	05/18/20	54.86	14.96 W	0.00	9 of 5295 - Sale <sup>25</sup>
	5.000	39.90	05/18/20	51.84	11.94 W	0.00	10 of 5295 - Sale <sup>25</sup>
	6.000	47.88	05/18/20	66.35	18.47 W	0.00	11 of 5295 - Sale <sup>25</sup>
	8.000	63.84	05/18/20	87.77	23.93 W	0.00	12 of 5295 - Sale <sup>25</sup>

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(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	63.84	05/18/20	87.77	23.93 W	0.00	13 of 5295 - Sale <sup>25</sup>
	9.000	71.82	05/18/20	99.65	27.83 W	0.00	14 of 5295 - Sale <sup>25</sup>
	9.000	71.82	05/18/20	97.85	26.03 W	0.00	15 of 5295 - Sale <sup>25</sup>
	11.000	87.78	05/18/20	120.64	32.86 W	0.00	16 of 5295 - Sale <sup>25</sup>
	21.000	167.57	05/18/20	225.29	57.72 W	0.00	17 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	11.28	3.30 W	0.00	18 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	11.34	3.36 W	0.00	19 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	11.13	3.15 W	0.00	20 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	11.16	3.18 W	0.00	21 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	12.45	4.47 W	0.00	22 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	12.43	4.45 W	0.00	23 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	12.41	4.43 W	0.00	24 of 5295 - Sale <sup>25</sup>
	3.000	23.94	05/18/20	34.02	10.08 W	0.00	25 of 5295 - Sale <sup>25</sup>
	4.000	31.92	05/18/20	44.52	12.60 W	0.00	26 of 5295 - Sale <sup>25</sup>
	4.000	31.92	05/18/20	49.07	17.15 W	0.00	27 of 5295 - Sale <sup>25</sup>
	5.000	39.90	05/18/20	56.46	16.56 W	0.00	28 of 5295 - Sale <sup>25</sup>
	7.000	55.86	05/18/20	79.54	23.68 W	0.00	29 of 5295 - Sale <sup>25</sup>
	7.000	55.86	05/18/20	86.23	30.37 W	0.00	30 of 5295 - Sale <sup>25</sup>
	7.000	55.85	05/18/20	86.81	30.96 W	0.00	31 of 5295 - Sale <sup>25</sup>
	8.000	63.83	05/18/20	100.41	36.58 W	0.00	32 of 5295 - Sale <sup>25</sup>
	9.000	71.82	05/18/20	101.08	29.26 W	0.00	33 of 5295 - Sale <sup>25</sup>
	11.000	87.77	05/18/20	125.12	37.35 W	0.00	34 of 5295 - Sale <sup>25</sup>
	11.000	87.77	05/18/20	138.09	50.32 W	0.00	35 of 5295 - Sale <sup>25</sup>
	14.000	111.71	05/18/20	173.76	62.05 W	0.00	36 of 5295 - Sale <sup>25</sup>
	1.000	7.98	05/18/20	12.40	4.42 W	0.00	37 of 5295 - Sale <sup>25</sup>
	3.000	23.94	05/18/20	37.22	13.28 W	0.00	38 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.34	5.33 W	0.00	39 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.58	5.57 W	0.00	40 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.48	5.47 W	0.00	41 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.55	5.54 W	0.00	42 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.37	5.36 W	0.00	43 of 5295 - Sale <sup>25</sup>
	2.000	16.02	05/18/20	26.90	10.88 W	0.00	44 of 5295 - Sale <sup>25</sup>
	3.000	24.03	05/18/20	39.96	15.93 W	0.00	45 of 5295 - Sale <sup>25</sup>
	5.000	40.05	05/18/20	67.47	27.42 W	0.00	46 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	40.05	05/18/20	66.74	26.69 W	0.00	47 of 5295 - Sale <sup>25</sup>
	7.000	56.07	05/18/20	94.14	38.07 W	0.00	48 of 5295 - Sale <sup>25</sup>
	8.000	64.08	05/18/20	106.70	42.62 W	0.00	49 of 5295 - Sale <sup>25</sup>
	8.000	64.08	05/18/20	107.99	43.91 W	0.00	50 of 5295 - Sale <sup>25</sup>
	10.000	80.10	05/18/20	136.08	55.98 W	0.00	51 of 5295 - Sale <sup>25</sup>
	11.000	88.11	05/18/20	149.53	61.42 W	0.00	52 of 5295 - Sale <sup>25</sup>
	11.000	88.10	05/18/20	147.87	59.77 W	0.00	53 of 5295 - Sale <sup>25</sup>
	12.000	96.12	05/18/20	159.50	63.38 W	0.00	54 of 5295 - Sale <sup>25</sup>
	13.000	104.13	05/18/20	172.32	68.19 W	0.00	55 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.73	5.72 W	0.00	56 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.73	5.72 W	0.00	57 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.88	5.87 W	0.00	58 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.55	5.54 W	0.00	59 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.54	5.53 W	0.00	60 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	12.98	4.97 W	0.00	61 of 5295 - Sale <sup>25</sup>
	1.000	8.01	05/18/20	13.04	5.03 W	0.00	62 of 5295 - Sale <sup>25</sup>
	2.000	16.02	05/18/20	27.88	11.86 W	0.00	63 of 5295 - Sale <sup>25</sup>
	2.000	16.02	05/18/20	27.84	11.82 W	0.00	64 of 5295 - Sale <sup>25</sup>
	2.000	16.02	05/18/20	27.12	11.10 W	0.00	65 of 5295 - Sale <sup>25</sup>
	3.000	24.03	05/18/20	39.56	15.53 W	0.00	66 of 5295 - Sale <sup>25</sup>
	3.000	24.03	05/18/20	41.26	17.23 W	0.00	67 of 5295 - Sale <sup>25</sup>
	3.000	24.03	05/18/20	41.81	17.78 W	0.00	68 of 5295 - Sale <sup>25</sup>
	3.000	24.03	05/18/20	41.81	17.78 W	0.00	69 of 5295 - Sale <sup>25</sup>
	3.000	24.03	05/18/20	39.13	15.10 W	0.00	70 of 5295 - Sale <sup>25</sup>
	4.000	32.04	05/18/20	54.90	22.86 W	0.00	71 of 5295 - Sale <sup>25</sup>
	4.000	32.04	05/18/20	54.80	22.76 W	0.00	72 of 5295 - Sale <sup>25</sup>
	7.000	56.07	05/18/20	93.19	37.12 W	0.00	73 of 5295 - Sale <sup>25</sup>
	7.000	56.06	05/18/20	91.07	35.01 W	0.00	74 of 5295 - Sale <sup>25</sup>
	10.000	80.09	05/18/20	130.44	50.35 W	0.00	75 of 5295 - Sale <sup>25</sup>
	11.000	88.10	05/18/20	152.15	64.05 W	0.00	76 of 5295 - Sale <sup>25</sup>
	12.000	96.11	05/18/20	155.83	59.72 W	0.00	77 of 5295 - Sale <sup>25</sup>
	3.000	24.03	05/18/20	38.50	14.47 W	0.00	78 of 5295 - Sale <sup>25</sup>
	14.000	112.13	05/18/20	182.62	70.49 W	0.00	79 of 5295 - Sale <sup>25</sup>
	1.000	6.98	05/18/20	13.17	6.19 W	0.00	80 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.94	05/18/20	38.40	17.46 W	0.00	81 of 5295 - Sale <sup>25</sup>
	3.000	20.94	05/18/20	38.43	17.49 W	0.00	82 of 5295 - Sale <sup>25</sup>
	3.000	20.94	05/18/20	40.11	19.17 W	0.00	83 of 5295 - Sale <sup>25</sup>
	3.000	20.94	05/18/20	38.80	17.86 W	0.00	84 of 5295 - Sale <sup>25</sup>
	3.000	20.94	05/18/20	38.79	17.85 W	0.00	85 of 5295 - Sale <sup>25</sup>
	4.000	27.92	05/18/20	53.39	25.47 W	0.00	86 of 5295 - Sale <sup>25</sup>
	4.000	27.92	05/18/20	53.31	25.39 W	0.00	87 of 5295 - Sale <sup>25</sup>
	4.000	27.92	05/18/20	51.56	23.64 W	0.00	88 of 5295 - Sale <sup>25</sup>
	5.000	34.90	05/18/20	64.85	29.95 W	0.00	89 of 5295 - Sale <sup>25</sup>
	5.000	34.89	05/18/20	64.93	30.04 W	0.00	90 of 5295 - Sale <sup>25</sup>
	5.000	34.90	05/18/20	64.32	29.42 W	0.00	91 of 5295 - Sale <sup>25</sup>
	6.000	41.88	05/18/20	76.48	34.60 W	0.00	92 of 5295 - Sale <sup>25</sup>
	7.000	48.86	05/18/20	89.68	40.82 W	0.00	93 of 5295 - Sale <sup>25</sup>
	8.000	55.84	05/18/20	105.51	49.67 W	0.00	94 of 5295 - Sale <sup>25</sup>
	8.000	55.83	05/18/20	102.33	46.50 W	0.00	95 of 5295 - Sale <sup>25</sup>
	9.000	62.81	05/18/20	114.77	51.96 W	0.00	96 of 5295 - Sale <sup>25</sup>
	10.000	69.80	05/18/20	128.11	58.31 W	0.00	97 of 5295 - Sale <sup>25</sup>
	9.000	62.82	05/18/20	115.49	52.67 W	0.00	98 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	12.82	6.02 W	0.00	99 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	12.84	6.04 W	0.00	100 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	12.92	6.12 W	0.00	101 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	12.81	6.01 W	0.00	102 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	12.24	5.44 W	0.00	103 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.97	5.17 W	0.00	104 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	25.69	12.09 W	0.00	105 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	25.79	12.19 W	0.00	106 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	25.83	12.23 W	0.00	107 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	25.68	12.08 W	0.00	108 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	25.61	12.01 W	0.00	109 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	24.43	10.83 W	0.00	110 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	24.00	10.40 W	0.00	111 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	24.04	10.44 W	0.00	112 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	23.65	10.05 W	0.00	113 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	38.43	18.03 W	0.00	114 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.40	05/18/20	36.58	16.18 W	0.00	115 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	35.99	15.59 W	0.00	116 of 5295 - Sale <sup>25</sup>
	4.000	27.20	05/18/20	51.12	23.92 W	0.00	117 of 5295 - Sale <sup>25</sup>
	4.000	27.20	05/18/20	48.64	21.44 W	0.00	118 of 5295 - Sale <sup>25</sup>
	5.000	34.00	05/18/20	64.57	30.57 W	0.00	119 of 5295 - Sale <sup>25</sup>
	5.000	34.00	05/18/20	60.35	26.35 W	0.00	120 of 5295 - Sale <sup>25</sup>
	6.000	40.80	05/18/20	77.61	36.81 W	0.00	121 of 5295 - Sale <sup>25</sup>
	6.000	40.80	05/18/20	77.08	36.28 W	0.00	122 of 5295 - Sale <sup>25</sup>
	9.000	61.20	05/18/20	117.43	56.23 W	0.00	123 of 5295 - Sale <sup>25</sup>
	11.000	74.80	05/18/20	141.37	66.57 W	0.00	124 of 5295 - Sale <sup>25</sup>
	17.000	115.59	05/18/20	204.90	89.31 W	0.00	125 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.93	5.13 W	0.00	126 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.85	5.05 W	0.00	127 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	12.56	5.76 W	0.00	128 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.48	4.68 W	0.00	129 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.51	4.71 W	0.00	130 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.33	4.53 W	0.00	131 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.18	4.38 W	0.00	132 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	25.19	11.59 W	0.00	133 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	25.64	12.04 W	0.00	134 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	22.86	9.26 W	0.00	135 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	22.32	8.72 W	0.00	136 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	35.50	15.10 W	0.00	137 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	30.70	10.30 W	0.00	138 of 5295 - Sale <sup>25</sup>
	4.000	27.20	05/18/20	45.67	18.47 W	0.00	139 of 5295 - Sale <sup>25</sup>
	6.000	40.80	05/18/20	74.93	34.13 W	0.00	140 of 5295 - Sale <sup>25</sup>
	8.000	54.40	05/18/20	95.46	41.06 W	0.00	141 of 5295 - Sale <sup>25</sup>
	8.000	54.40	05/18/20	102.90	48.50 W	0.00	142 of 5295 - Sale <sup>25</sup>
	10.000	68.00	05/18/20	124.70	56.70 W	0.00	143 of 5295 - Sale <sup>25</sup>
	11.000	74.80	05/18/20	123.44	48.64 W	0.00	144 of 5295 - Sale <sup>25</sup>
	15.000	101.99	05/18/20	153.21	51.22 W	0.00	145 of 5295 - Sale <sup>25</sup>
	17.000	115.59	05/18/20	173.28	57.69 W	0.00	146 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.27	3.47 W	0.00	147 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.78	4.98 W	0.00	148 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.80	05/18/20	11.74	4.94 W	0.00	149 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.84	4.04 W	0.00	150 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.88	4.08 W	0.00	151 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	22.90	9.30 W	0.00	152 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	21.82	8.22 W	0.00	153 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	35.25	14.85 W	0.00	154 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	34.26	13.86 W	0.00	155 of 5295 - Sale <sup>25</sup>
	4.000	27.20	05/18/20	46.55	19.35 W	0.00	156 of 5295 - Sale <sup>25</sup>
	9.000	61.20	05/18/20	100.54	39.34 W	0.00	157 of 5295 - Sale <sup>25</sup>
	12.000	81.60	05/18/20	123.27	41.67 W	0.00	158 of 5295 - Sale <sup>25</sup>
	16.000	108.79	05/18/20	187.91	79.12 W	0.00	159 of 5295 - Sale <sup>25</sup>
	17.000	115.59	05/18/20	187.24	71.65 W	0.00	160 of 5295 - Sale <sup>25</sup>
	19.000	129.19	05/18/20	215.90	86.71 W	0.00	161 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.95	4.15 W	0.00	162 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.93	4.13 W	0.00	163 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.90	4.10 W	0.00	164 of 5295 - Sale <sup>25</sup>
	5.000	34.00	05/18/20	54.38	20.38 W	0.00	165 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.78	3.98 W	0.00	166 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.68	3.88 W	0.00	167 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	21.68	8.08 W	0.00	168 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	32.66	12.26 W	0.00	169 of 5295 - Sale <sup>25</sup>
	5.000	34.00	05/18/20	54.14	20.14 W	0.00	170 of 5295 - Sale <sup>25</sup>
	5.000	34.00	05/18/20	54.34	20.34 W	0.00	171 of 5295 - Sale <sup>25</sup>
	7.000	47.60	05/18/20	75.92	28.32 W	0.00	172 of 5295 - Sale <sup>25</sup>
	7.000	47.60	05/18/20	75.94	28.34 W	0.00	173 of 5295 - Sale <sup>25</sup>
	9.000	61.20	05/18/20	97.84	36.64 W	0.00	174 of 5295 - Sale <sup>25</sup>
	10.000	68.00	05/18/20	108.10	40.10 W	0.00	175 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.82	4.02 W	0.00	176 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.91	4.11 W	0.00	177 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.06	4.26 W	0.00	178 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.13	4.33 W	0.00	179 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.04	4.24 W	0.00	180 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	10.83	4.03 W	0.00	181 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.16	4.36 W	0.00	182 of 5295 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.60	05/18/20	21.93	8.33 W	0.00	183 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	22.32	8.72 W	0.00	184 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	32.46	12.06 W	0.00	185 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	33.13	12.73 W	0.00	186 of 5295 - Sale <sup>25</sup>
	4.000	27.20	05/18/20	43.37	16.17 W	0.00	187 of 5295 - Sale <sup>25</sup>
	4.000	27.20	05/18/20	43.82	16.62 W	0.00	188 of 5295 - Sale <sup>25</sup>
	5.000	34.00	05/18/20	54.06	20.06 W	0.00	189 of 5295 - Sale <sup>25</sup>
	5.000	34.00	05/18/20	56.20	22.20 W	0.00	190 of 5295 - Sale <sup>25</sup>
	6.000	40.80	05/18/20	65.81	25.01 W	0.00	191 of 5295 - Sale <sup>25</sup>
	7.000	47.60	05/18/20	77.02	29.42 W	0.00	192 of 5295 - Sale <sup>25</sup>
	7.000	47.60	05/18/20	81.21	33.61 W	0.00	193 of 5295 - Sale <sup>25</sup>
	9.000	61.19	05/18/20	99.56	38.37 W	0.00	194 of 5295 - Sale <sup>25</sup>
	9.000	61.19	05/18/20	105.50	44.31 W	0.00	195 of 5295 - Sale <sup>25</sup>
	11.000	74.79	05/18/20	119.04	44.25 W	0.00	196 of 5295 - Sale <sup>25</sup>
	16.000	108.79	05/18/20	173.84	65.05 W	0.00	197 of 5295 - Sale <sup>25</sup>
	1.000	6.80	05/18/20	11.52	4.72 W	0.00	198 of 5295 - Sale <sup>25</sup>
	6.000	40.80	05/18/20	68.76	27.96 W	0.00	199 of 5295 - Sale <sup>25</sup>
	7.000	47.59	05/18/20	80.67	33.08 W	0.00	200 of 5295 - Sale <sup>25</sup>
	11.000	74.79	05/18/20	126.33	51.54 W	0.00	201 of 5295 - Sale <sup>25</sup>
	3.000	20.40	05/18/20	34.36	13.96 W	0.00	202 of 5295 - Sale <sup>25</sup>
	6.000	40.80	05/18/20	68.84	28.04 W	0.00	203 of 5295 - Sale <sup>25</sup>
	6.000	40.79	05/18/20	68.76	27.97 W	0.00	204 of 5295 - Sale <sup>25</sup>
	8.000	54.39	05/18/20	98.40	44.01 W	0.00	205 of 5295 - Sale <sup>25</sup>
	2.000	13.60	05/18/20	24.37	10.77 W	0.00	206 of 5295 - Sale <sup>25</sup>
	1.000	6.74	05/18/20	11.97	5.23 W	0.00	207 of 5295 - Sale <sup>25</sup>
	1.000	6.74	05/18/20	12.02	5.28 W	0.00	208 of 5295 - Sale <sup>25</sup>
	1.000	6.74	05/18/20	12.02	5.28 W	0.00	209 of 5295 - Sale <sup>25</sup>
	4.000	26.96	05/18/20	46.86	19.90 W	0.00	210 of 5295 - Sale <sup>25</sup>
	7.000	47.18	05/18/20	81.57	34.39 W	0.00	211 of 5295 - Sale <sup>25</sup>
	7.000	47.18	05/18/20	80.24	33.06 W	0.00	212 of 5295 - Sale <sup>25</sup>
	10.000	67.40	05/18/20	121.87	54.47 W	0.00	213 of 5295 - Sale <sup>25</sup>
	19.000	128.06	05/18/20	217.83	89.77 W	0.00	214 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	11.71	4.89 W	0.00	215 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	57.32	23.22 W	0.00	216 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
7.000		47.74	05/18/20	81.86	34.12 W	0.00	217 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	11.46	4.64 W	0.00	218 of 5295 - Sale <sup>25</sup>
11.000		75.02	05/18/20	126.11	51.09 W	0.00	219 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	11.76	4.94 W	0.00	220 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	23.51	9.87 W	0.00	221 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	23.60	9.96 W	0.00	222 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	35.13	14.67 W	0.00	223 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	35.12	14.66 W	0.00	224 of 5295 - Sale <sup>25</sup>
4.000		27.28	05/18/20	47.34	20.06 W	0.00	225 of 5295 - Sale <sup>25</sup>
4.000		27.28	05/18/20	47.06	19.78 W	0.00	226 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	70.92	30.00 W	0.00	227 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	70.54	29.62 W	0.00	228 of 5295 - Sale <sup>25</sup>
9.000		61.38	05/18/20	106.68	45.30 W	0.00	229 of 5295 - Sale <sup>25</sup>
11.000		75.01	05/18/20	129.36	54.35 W	0.00	230 of 5295 - Sale <sup>25</sup>
7.000		47.73	05/18/20	83.59	35.86 W	0.00	231 of 5295 - Sale <sup>25</sup>
17.000		115.93	05/18/20	197.26	81.33 W	0.00	232 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	82.84	35.10 W	0.00	233 of 5295 - Sale <sup>25</sup>
4.000		27.28	05/18/20	47.77	20.49 W	0.00	234 of 5295 - Sale <sup>25</sup>
14.000		95.48	05/18/20	167.09	71.61 W	0.00	235 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	10.66	3.84 W	0.00	236 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	10.60	3.78 W	0.00	237 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	10.60	3.78 W	0.00	238 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	22.06	8.42 W	0.00	239 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	32.34	11.88 W	0.00	240 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	53.37	19.27 W	0.00	241 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	65.97	25.05 W	0.00	242 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	65.22	24.30 W	0.00	243 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	65.45	24.53 W	0.00	244 of 5295 - Sale <sup>25</sup>
2.000		13.63	05/18/20	21.86	8.23 W	0.00	245 of 5295 - Sale <sup>25</sup>
8.000		54.56	05/18/20	87.12	32.56 W	0.00	246 of 5295 - Sale <sup>25</sup>
9.000		61.38	05/18/20	98.50	37.12 W	0.00	247 of 5295 - Sale <sup>25</sup>
25.000		170.48	05/18/20	268.98	98.50 W	0.00	248 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	10.96	4.14 W	0.00	249 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	21.93	8.29 W	0.00	250 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.46	05/18/20	32.65	12.19 W	0.00	251 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	43.86	16.58 W	0.00	252 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	54.41	20.31 W	0.00	253 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	43.73	16.45 W	0.00	254 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	65.78	24.86 W	0.00	255 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	11.88	5.06 W	0.00	256 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	11.95	5.13 W	0.00	257 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	35.94	15.48 W	0.00	258 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	47.77	20.49 W	0.00	259 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	35.77	15.31 W	0.00	260 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	65.54	24.62 W	0.00	261 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	72.05	31.13 W	0.00	262 of 5295 - Sale <sup>25</sup>
	8.000	54.55	05/18/20	95.37	40.82 W	0.00	263 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	108.20	46.82 W	0.00	264 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	11.75	4.93 W	0.00	265 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	35.49	15.03 W	0.00	266 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	23.84	10.20 W	0.00	267 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	56.59	22.49 W	0.00	268 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	95.37	40.81 W	0.00	269 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	106.40	45.02 W	0.00	270 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	131.09	56.07 W	0.00	271 of 5295 - Sale <sup>25</sup>
	20.000	136.39	05/18/20	233.57	97.18 W	0.00	272 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	11.72	4.90 W	0.00	273 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.27	5.45 W	0.00	274 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.33	5.51 W	0.00	275 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.12	5.30 W	0.00	276 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	24.55	10.91 W	0.00	277 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	24.54	10.90 W	0.00	278 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	36.99	16.53 W	0.00	279 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	36.36	15.90 W	0.00	280 of 5295 - Sale <sup>25</sup>
	7.000	47.73	05/18/20	86.47	38.74 W	0.00	281 of 5295 - Sale <sup>25</sup>
	9.000	61.37	05/18/20	109.99	48.62 W	0.00	282 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	136.02	61.00 W	0.00	283 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.15	5.33 W	0.00	284 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.82	05/18/20	13.44	6.62 W	0.00	285 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.54	6.72 W	0.00	286 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.12	5.30 W	0.00	287 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	61.41	27.31 W	0.00	288 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.44	6.62 W	0.00	289 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.42	6.60 W	0.00	290 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.41	6.59 W	0.00	291 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.32	7.50 W	0.00	292 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	40.25	19.79 W	0.00	293 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.90	22.44 W	0.00	294 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	53.10	25.82 W	0.00	295 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	94.93	47.19 W	0.00	296 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	93.29	45.55 W	0.00	297 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	93.88	46.14 W	0.00	298 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	114.53	59.97 W	0.00	299 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	149.20	74.18 W	0.00	300 of 5295 - Sale <sup>25</sup>
	12.000	81.83	05/18/20	171.24	89.41 W	0.00	301 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	156.57	81.56 W	0.00	302 of 5295 - Sale <sup>25</sup>
	14.000	95.47	05/18/20	187.89	92.42 W	0.00	303 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.96	15.32 W	0.00	304 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	72.36	38.26 W	0.00	305 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.47	14.83 W	0.00	306 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.75	7.93 W	0.00	307 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.65	7.83 W	0.00	308 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.72	7.90 W	0.00	309 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.54	7.72 W	0.00	310 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	29.24	15.60 W	0.00	311 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	29.04	15.40 W	0.00	312 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	88.01	47.09 W	0.00	313 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	102.33	54.59 W	0.00	314 of 5295 - Sale <sup>25</sup>
	10.000	68.20	05/18/20	147.78	79.58 W	0.00	315 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	162.40	87.38 W	0.00	316 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	160.75	85.74 W	0.00	317 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.90	8.08 W	0.00	318 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.82	05/18/20	14.90	8.08 W	0.00	319 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.05	8.23 W	0.00	320 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.72	7.90 W	0.00	321 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	30.22	16.58 W	0.00	322 of 5295 - Sale <sup>25</sup>
	2.000	13.63	05/18/20	30.18	16.55 W	0.00	323 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	43.55	23.09 W	0.00	324 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	43.07	22.61 W	0.00	325 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	44.77	24.31 W	0.00	326 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	45.32	24.86 W	0.00	327 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	45.32	24.86 W	0.00	328 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	59.58	32.30 W	0.00	329 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	165.03	90.02 W	0.00	330 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.71	7.89 W	0.00	331 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	29.46	15.82 W	0.00	332 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.97	15.33 W	0.00	333 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	59.48	32.20 W	0.00	334 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.20	7.38 W	0.00	335 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.26	7.44 W	0.00	336 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.23	7.41 W	0.00	337 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	72.65	38.55 W	0.00	338 of 5295 - Sale <sup>25</sup>
	12.000	81.84	05/18/20	170.46	88.62 W	0.00	339 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.85	22.39 W	0.00	340 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	85.51	44.59 W	0.00	341 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	157.14	82.13 W	0.00	342 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.82	22.36 W	0.00	343 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.19	21.73 W	0.00	344 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	60.37	33.09 W	0.00	345 of 5295 - Sale <sup>25</sup>
	10.000	68.20	05/18/20	142.75	74.55 W	0.00	346 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	45.15	24.69 W	0.00	347 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	45.18	24.72 W	0.00	348 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	75.40	41.30 W	0.00	349 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	44.99	24.53 W	0.00	350 of 5295 - Sale <sup>25</sup>
	7.000	47.73	05/18/20	105.42	57.69 W	0.00	351 of 5295 - Sale <sup>25</sup>
	10.000	68.19	05/18/20	150.60	82.41 W	0.00	352 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.82	05/18/20	16.94	10.12 W	0.00	353 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.96	10.14 W	0.00	354 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	49.54	29.08 W	0.00	355 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	51.42	30.96 W	0.00	356 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	68.45	41.17 W	0.00	357 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	68.37	41.09 W	0.00	358 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.65	9.83 W	0.00	359 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	49.78	29.32 W	0.00	360 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	49.77	29.31 W	0.00	361 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	66.19	38.91 W	0.00	362 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	83.14	49.04 W	0.00	363 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	83.23	49.13 W	0.00	364 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	82.61	48.51 W	0.00	365 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	117.93	70.19 W	0.00	366 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	131.61	77.05 W	0.00	367 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	147.71	86.33 W	0.00	368 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.70	9.88 W	0.00	369 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.52	9.70 W	0.00	370 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.54	9.72 W	0.00	371 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.61	9.79 W	0.00	372 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.60	9.78 W	0.00	373 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.53	9.71 W	0.00	374 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.50	9.68 W	0.00	375 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.85	9.03 W	0.00	376 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.14	19.50 W	0.00	377 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.09	19.45 W	0.00	378 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.15	19.51 W	0.00	379 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.19	19.55 W	0.00	380 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.06	19.42 W	0.00	381 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	32.99	19.35 W	0.00	382 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	66.38	39.10 W	0.00	383 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	65.88	38.60 W	0.00	384 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	83.17	49.07 W	0.00	385 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	82.68	48.58 W	0.00	386 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
9.000		61.37	05/18/20	150.63	89.26 W	0.00	387 of 5295 - Sale <sup>25</sup>
11.000		75.01	05/18/20	181.96	106.95 W	0.00	388 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	15.93	9.11 W	0.00	389 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	15.66	8.84 W	0.00	390 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	15.62	8.80 W	0.00	391 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	31.81	18.17 W	0.00	392 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	31.38	17.74 W	0.00	393 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	31.42	17.78 W	0.00	394 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	31.03	17.39 W	0.00	395 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	47.55	27.09 W	0.00	396 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	47.65	27.19 W	0.00	397 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	47.06	26.60 W	0.00	398 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	78.80	44.70 W	0.00	399 of 5295 - Sale <sup>25</sup>
8.000		54.55	05/18/20	124.98	70.43 W	0.00	400 of 5295 - Sale <sup>25</sup>
17.000		115.93	05/18/20	267.65	151.72 W	0.00	401 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	15.30	8.48 W	0.00	402 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	15.94	9.12 W	0.00	403 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	15.88	9.06 W	0.00	404 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	31.83	18.19 W	0.00	405 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	45.85	25.39 W	0.00	406 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	79.04	44.94 W	0.00	407 of 5295 - Sale <sup>25</sup>
3.000		20.45	05/18/20	48.55	28.10 W	0.00	408 of 5295 - Sale <sup>25</sup>
10.000		68.19	05/18/20	159.20	91.01 W	0.00	409 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	14.80	7.98 W	0.00	410 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	14.83	8.01 W	0.00	411 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	14.65	7.83 W	0.00	412 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	32.28	18.64 W	0.00	413 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	29.50	15.86 W	0.00	414 of 5295 - Sale <sup>25</sup>
4.000		27.28	05/18/20	58.95	31.67 W	0.00	415 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	80.91	46.81 W	0.00	416 of 5295 - Sale <sup>25</sup>
8.000		54.56	05/18/20	116.33	61.77 W	0.00	417 of 5295 - Sale <sup>25</sup>
1.000		6.82	05/18/20	14.46	7.64 W	0.00	418 of 5295 - Sale <sup>25</sup>
2.000		13.64	05/18/20	28.88	15.24 W	0.00	419 of 5295 - Sale <sup>25</sup>
3.000		20.46	05/18/20	43.51	23.05 W	0.00	420 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	27.28	05/18/20	53.90	26.62 W	0.00	421 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	40.54	20.08 W	0.00	422 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	121.97	60.59 W	0.00	423 of 5295 - Sale <sup>25</sup>
	15.000	102.30	05/18/20	202.42	100.12 W	0.00	424 of 5295 - Sale <sup>25</sup>
	13.000	88.66	05/18/20	175.16	86.50 W	0.00	425 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.47	6.65 W	0.00	426 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.98	8.16 W	0.00	427 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.84	8.02 W	0.00	428 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.91	7.09 W	0.00	429 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.95	7.13 W	0.00	430 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.02	7.20 W	0.00	431 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.00	7.18 W	0.00	432 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.97	7.15 W	0.00	433 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	29.10	15.46 W	0.00	434 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.96	14.32 W	0.00	435 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	40.42	19.96 W	0.00	436 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	44.85	24.39 W	0.00	437 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	44.84	24.38 W	0.00	438 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	43.56	23.10 W	0.00	439 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.34	21.88 W	0.00	440 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	59.35	32.07 W	0.00	441 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	69.73	35.63 W	0.00	442 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	111.04	56.48 W	0.00	443 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	128.44	67.06 W	0.00	444 of 5295 - Sale <sup>25</sup>
	13.000	88.65	05/18/20	192.98	104.33 W	0.00	445 of 5295 - Sale <sup>25</sup>
	14.000	95.47	05/18/20	197.19	101.72 W	0.00	446 of 5295 - Sale <sup>25</sup>
	19.000	129.57	05/18/20	274.82	145.25 W	0.00	447 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.85	7.03 W	0.00	448 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.75	6.93 W	0.00	449 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.82	7.00 W	0.00	450 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.76	14.12 W	0.00	451 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.68	14.04 W	0.00	452 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.66	21.20 W	0.00	453 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.69	14.05 W	0.00	454 of 5295 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	34.10	05/18/20	69.49	35.39 W	0.00	455 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	69.34	35.24 W	0.00	456 of 5295 - Sale <sup>25</sup>
	5.000	34.09	05/18/20	69.06	34.97 W	0.00	457 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	97.41	49.67 W	0.00	458 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	96.94	49.20 W	0.00	459 of 5295 - Sale <sup>25</sup>
	9.000	61.37	05/18/20	124.84	63.47 W	0.00	460 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	152.05	77.04 W	0.00	461 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.42	8.60 W	0.00	462 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.37	8.55 W	0.00	463 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	46.86	26.40 W	0.00	464 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	45.55	25.09 W	0.00	465 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	45.54	25.08 W	0.00	466 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	45.72	25.26 W	0.00	467 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	62.38	35.10 W	0.00	468 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	62.30	35.02 W	0.00	469 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	60.55	33.27 W	0.00	470 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	76.09	41.99 W	0.00	471 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	76.18	42.08 W	0.00	472 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	75.56	41.46 W	0.00	473 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	44.98	24.52 W	0.00	474 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	123.50	68.94 W	0.00	475 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	120.33	65.77 W	0.00	476 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	135.02	73.64 W	0.00	477 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.19	8.37 W	0.00	478 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.21	8.39 W	0.00	479 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.28	8.46 W	0.00	480 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	30.43	16.79 W	0.00	481 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	76.52	42.42 W	0.00	482 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.27	8.45 W	0.00	483 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.20	8.38 W	0.00	484 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	30.49	16.85 W	0.00	485 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	30.53	16.89 W	0.00	486 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	61.06	33.78 W	0.00	487 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.17	8.35 W	0.00	488 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.82	05/18/20	14.60	7.78 W	0.00	489 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.33	7.51 W	0.00	490 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.29	7.47 W	0.00	491 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.21	7.39 W	0.00	492 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.85	8.03 W	0.00	493 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	30.40	16.76 W	0.00	494 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	30.33	16.69 W	0.00	495 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	29.15	15.51 W	0.00	496 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.72	15.08 W	0.00	497 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.76	15.12 W	0.00	498 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.37	14.73 W	0.00	499 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	43.66	23.20 W	0.00	500 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	43.07	22.61 W	0.00	501 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.58	22.12 W	0.00	502 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	60.56	33.28 W	0.00	503 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	58.08	30.80 W	0.00	504 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	76.03	41.93 W	0.00	505 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	72.15	38.05 W	0.00	506 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	114.34	59.78 W	0.00	507 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	138.67	77.29 W	0.00	508 of 5295 - Sale <sup>25</sup>
	10.000	68.20	05/18/20	148.30	80.10 W	0.00	509 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	167.33	92.31 W	0.00	510 of 5295 - Sale <sup>25</sup>
	17.000	115.93	05/18/20	245.03	129.10 W	0.00	511 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.79	7.97 W	0.00	512 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.71	6.89 W	0.00	513 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.74	6.92 W	0.00	514 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.56	6.74 W	0.00	515 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.41	6.59 W	0.00	516 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.50	5.68 W	0.00	517 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.01	7.19 W	0.00	518 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	29.65	16.01 W	0.00	519 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	30.10	16.46 W	0.00	520 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.32	13.68 W	0.00	521 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	26.78	13.14 W	0.00	522 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.46	05/18/20	37.39	16.93 W	0.00	523 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.94	21.48 W	0.00	524 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.93	21.47 W	0.00	525 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	54.59	27.31 W	0.00	526 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	55.47	28.19 W	0.00	527 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	73.59	39.49 W	0.00	528 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	120.74	66.18 W	0.00	529 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	147.97	72.95 W	0.00	530 of 5295 - Sale <sup>25</sup>
	12.000	81.84	05/18/20	150.03	68.19 W	0.00	531 of 5295 - Sale <sup>25</sup>
	15.000	102.30	05/18/20	186.67	84.37 W	0.00	532 of 5295 - Sale <sup>25</sup>
	17.000	115.93	05/18/20	211.20	95.27 W	0.00	533 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.87	7.05 W	0.00	534 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.16	13.52 W	0.00	535 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	40.65	20.19 W	0.00	536 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	39.43	18.97 W	0.00	537 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	119.71	58.33 W	0.00	538 of 5295 - Sale <sup>25</sup>
	13.000	88.66	05/18/20	180.37	91.71 W	0.00	539 of 5295 - Sale <sup>25</sup>
	19.000	129.57	05/18/20	256.38	126.81 W	0.00	540 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.94	6.12 W	0.00	541 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.98	6.16 W	0.00	542 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.05	6.23 W	0.00	543 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.03	6.21 W	0.00	544 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.00	6.18 W	0.00	545 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.88	6.06 W	0.00	546 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.78	5.96 W	0.00	547 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	26.02	12.38 W	0.00	548 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	64.88	30.78 W	0.00	549 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	64.64	30.54 W	0.00	550 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	90.62	42.88 W	0.00	551 of 5295 - Sale <sup>25</sup>
	10.000	68.20	05/18/20	129.10	60.90 W	0.00	552 of 5295 - Sale <sup>25</sup>
	14.000	95.48	05/18/20	183.61	88.13 W	0.00	553 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.85	6.03 W	0.00	554 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.94	6.12 W	0.00	555 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.09	6.27 W	0.00	556 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.82	05/18/20	13.16	6.34 W	0.00	557 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.07	6.25 W	0.00	558 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.86	6.04 W	0.00	559 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	25.74	12.10 W	0.00	560 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	38.75	18.29 W	0.00	561 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	38.55	18.09 W	0.00	562 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	39.22	18.76 W	0.00	563 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	51.49	24.21 W	0.00	564 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	51.94	24.66 W	0.00	565 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	64.49	30.39 W	0.00	566 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	64.21	30.11 W	0.00	567 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	77.98	37.06 W	0.00	568 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	90.15	42.41 W	0.00	569 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	91.23	43.49 W	0.00	570 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	116.11	54.73 W	0.00	571 of 5295 - Sale <sup>25</sup>
	9.000	61.38	05/18/20	117.84	56.46 W	0.00	572 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	141.38	66.36 W	0.00	573 of 5295 - Sale <sup>25</sup>
	16.000	109.11	05/18/20	206.33	97.22 W	0.00	574 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.14	6.32 W	0.00	575 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.50	6.68 W	0.00	576 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.01	7.19 W	0.00	577 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.06	7.24 W	0.00	578 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.06	7.24 W	0.00	579 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.42	6.60 W	0.00	580 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.67	6.85 W	0.00	581 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.72	6.90 W	0.00	582 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.62	5.80 W	0.00	583 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.56	5.74 W	0.00	584 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.56	5.74 W	0.00	585 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	12.92	6.10 W	0.00	586 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.84	7.02 W	0.00	587 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.91	7.09 W	0.00	588 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.71	6.89 W	0.00	589 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	13.68	6.86 W	0.00	590 of 5295 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.82	05/18/20	14.23	7.41 W	0.00	591 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.29	7.47 W	0.00	592 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.08	7.26 W	0.00	593 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.08	7.26 W	0.00	594 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	14.11	7.29 W	0.00	595 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.40	8.58 W	0.00	596 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	25.89	12.25 W	0.00	597 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	26.28	12.64 W	0.00	598 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.33	14.69 W	0.00	599 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.43	13.79 W	0.00	600 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.52	13.88 W	0.00	601 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	25.98	12.34 W	0.00	602 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	25.79	12.15 W	0.00	603 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	25.85	12.21 W	0.00	604 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	27.76	14.12 W	0.00	605 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.47	14.83 W	0.00	606 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	28.46	14.82 W	0.00	607 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	40.30	19.84 W	0.00	608 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.01	20.55 W	0.00	609 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.00	20.54 W	0.00	610 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	38.22	17.76 W	0.00	611 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	38.53	18.07 W	0.00	612 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.82	21.36 W	0.00	613 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.65	21.19 W	0.00	614 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	41.37	20.91 W	0.00	615 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.87	22.41 W	0.00	616 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	42.24	21.78 W	0.00	617 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	55.02	27.74 W	0.00	618 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	55.18	27.90 W	0.00	619 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	54.90	27.62 W	0.00	620 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	55.61	28.33 W	0.00	621 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	51.57	24.29 W	0.00	622 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	51.70	24.42 W	0.00	623 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	55.61	28.33 W	0.00	624 of 5295 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
5.000		34.10	05/18/20	66.10	32.00 W	0.00	625 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	67.12	33.02 W	0.00	626 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	63.17	29.07 W	0.00	627 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	64.21	30.11 W	0.00	628 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	66.39	32.29 W	0.00	629 of 5295 - Sale <sup>25</sup>
5.000		34.10	05/18/20	71.21	37.11 W	0.00	630 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	80.64	39.72 W	0.00	631 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	80.72	39.80 W	0.00	632 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	80.65	39.73 W	0.00	633 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	82.68	41.76 W	0.00	634 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	82.30	41.38 W	0.00	635 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	77.73	36.81 W	0.00	636 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	76.98	36.06 W	0.00	637 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	77.21	36.29 W	0.00	638 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	77.54	36.62 W	0.00	639 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	77.30	36.38 W	0.00	640 of 5295 - Sale <sup>25</sup>
6.000		40.92	05/18/20	83.81	42.89 W	0.00	641 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	95.07	47.33 W	0.00	642 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	94.54	46.80 W	0.00	643 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	95.85	48.11 W	0.00	644 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	94.52	46.78 W	0.00	645 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	95.58	47.84 W	0.00	646 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	97.32	49.58 W	0.00	647 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	96.56	48.82 W	0.00	648 of 5295 - Sale <sup>25</sup>
7.000		47.74	05/18/20	100.20	52.46 W	0.00	649 of 5295 - Sale <sup>25</sup>
8.000		54.56	05/18/20	114.25	59.69 W	0.00	650 of 5295 - Sale <sup>25</sup>
8.000		54.56	05/18/20	102.80	48.24 W	0.00	651 of 5295 - Sale <sup>25</sup>
8.000		54.56	05/18/20	111.06	56.50 W	0.00	652 of 5295 - Sale <sup>25</sup>
8.000		54.56	05/18/20	111.05	56.49 W	0.00	653 of 5295 - Sale <sup>25</sup>
9.000		61.38	05/18/20	123.33	61.95 W	0.00	654 of 5295 - Sale <sup>25</sup>
9.000		61.38	05/18/20	124.32	62.94 W	0.00	655 of 5295 - Sale <sup>25</sup>
9.000		61.38	05/18/20	116.14	54.76 W	0.00	656 of 5295 - Sale <sup>25</sup>
9.000		61.38	05/18/20	125.84	64.46 W	0.00	657 of 5295 - Sale <sup>25</sup>
9.000		61.38	05/18/20	124.04	62.66 W	0.00	658 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	61.38	05/18/20	127.64	66.26 W	0.00	659 of 5295 - Sale <sup>25</sup>
	10.000	68.20	05/18/20	142.27	74.07 W	0.00	660 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	148.12	73.10 W	0.00	661 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	147.67	72.65 W	0.00	662 of 5295 - Sale <sup>25</sup>
	11.000	75.02	05/18/20	150.93	75.91 W	0.00	663 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	152.65	77.64 W	0.00	664 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	157.58	82.57 W	0.00	665 of 5295 - Sale <sup>25</sup>
	14.000	95.47	05/18/20	194.53	99.06 W	0.00	666 of 5295 - Sale <sup>25</sup>
	17.000	115.93	05/18/20	230.59	114.66 W	0.00	667 of 5295 - Sale <sup>25</sup>
	19.000	129.57	05/18/20	256.59	127.02 W	0.00	668 of 5295 - Sale <sup>25</sup>
	20.000	136.39	05/18/20	272.78	136.39 W	0.00	669 of 5295 - Sale <sup>25</sup>
	25.000	170.49	05/18/20	318.00	147.51 W	0.00	670 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.46	8.64 W	0.00	671 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.35	8.53 W	0.00	672 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.34	8.52 W	0.00	673 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	15.32	8.50 W	0.00	674 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.23	9.41 W	0.00	675 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.40	9.58 W	0.00	676 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	32.30	18.66 W	0.00	677 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	46.00	25.54 W	0.00	678 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	48.65	28.19 W	0.00	679 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	60.76	33.48 W	0.00	680 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	81.93	47.83 W	0.00	681 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	108.33	60.59 W	0.00	682 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	106.70	58.96 W	0.00	683 of 5295 - Sale <sup>25</sup>
	7.000	47.74	05/18/20	107.28	59.54 W	0.00	684 of 5295 - Sale <sup>25</sup>
	8.000	54.56	05/18/20	129.85	75.29 W	0.00	685 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	170.27	95.26 W	0.00	686 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	177.64	102.63 W	0.00	687 of 5295 - Sale <sup>25</sup>
	12.000	81.83	05/18/20	194.23	112.40 W	0.00	688 of 5295 - Sale <sup>25</sup>
	14.000	95.47	05/18/20	214.71	119.24 W	0.00	689 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	47.26	26.80 W	0.00	690 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	63.86	36.58 W	0.00	691 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	67.13	39.85 W	0.00	692 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	34.10	05/18/20	83.85	49.75 W	0.00	693 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.75	9.93 W	0.00	694 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	50.22	29.76 W	0.00	695 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.37	9.55 W	0.00	696 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.64	9.82 W	0.00	697 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.54	9.72 W	0.00	698 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.61	9.79 W	0.00	699 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.43	9.61 W	0.00	700 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.02	19.38 W	0.00	701 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	82.53	48.43 W	0.00	702 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	99.34	58.42 W	0.00	703 of 5295 - Sale <sup>25</sup>
	10.000	68.19	05/18/20	166.67	98.48 W	0.00	704 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	183.18	108.17 W	0.00	705 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	181.53	106.52 W	0.00	706 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.74	9.92 W	0.00	707 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.74	9.92 W	0.00	708 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.89	10.07 W	0.00	709 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.56	9.74 W	0.00	710 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.55	9.73 W	0.00	711 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.33	9.51 W	0.00	712 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	32.92	19.28 W	0.00	713 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	32.72	19.08 W	0.00	714 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.90	20.26 W	0.00	715 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.87	20.23 W	0.00	716 of 5295 - Sale <sup>25</sup>
	2.000	13.64	05/18/20	33.14	19.50 W	0.00	717 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	49.07	28.61 W	0.00	718 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	48.59	28.13 W	0.00	719 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	50.29	29.83 W	0.00	720 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	50.84	30.38 W	0.00	721 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	50.84	30.38 W	0.00	722 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	66.94	39.66 W	0.00	723 of 5295 - Sale <sup>25</sup>
	4.000	27.28	05/18/20	66.84	39.56 W	0.00	724 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	185.28	110.27 W	0.00	725 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.26	9.44 W	0.00	726 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.82	05/18/20	15.98	9.16 W	0.00	727 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.04	9.22 W	0.00	728 of 5295 - Sale <sup>25</sup>
	1.000	6.82	05/18/20	16.01	9.19 W	0.00	729 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	48.19	27.73 W	0.00	730 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	48.16	27.70 W	0.00	731 of 5295 - Sale <sup>25</sup>
	5.000	34.10	05/18/20	81.55	47.45 W	0.00	732 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	96.19	55.27 W	0.00	733 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	96.33	55.41 W	0.00	734 of 5295 - Sale <sup>25</sup>
	11.000	75.01	05/18/20	176.73	101.72 W	0.00	735 of 5295 - Sale <sup>25</sup>
	12.000	81.83	05/18/20	191.82	109.99 W	0.00	736 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	50.31	29.85 W	0.00	737 of 5295 - Sale <sup>25</sup>
	3.000	20.46	05/18/20	50.12	29.66 W	0.00	738 of 5295 - Sale <sup>25</sup>
	6.000	40.92	05/18/20	100.63	59.71 W	0.00	739 of 5295 - Sale <sup>25</sup>
	10.000	68.19	05/18/20	167.71	99.52 W	0.00	740 of 5295 - Sale <sup>25</sup>
	28.000	190.94	05/18/20	238.84	47.90 W	0.00	741 of 5295 - Sale <sup>25</sup>
	100.000	681.92	05/18/20	853.00	171.08 W	0.00	742 of 5295 - Sale <sup>25</sup>
	50.000	340.96	05/18/20	420.50	79.54 W	0.00	743 of 5295 - Sale <sup>25</sup>
	18.000	122.74	05/18/20	150.84	28.10 W	0.00	744 of 5295 - Sale <sup>25</sup>
	34.000	231.85	05/18/20	283.52	51.67 W	0.00	745 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	12.72	5.80 W	0.00	746 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	12.66	5.74 W	0.00	747 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	12.66	5.74 W	0.00	748 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	12.82	5.90 W	0.00	749 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	63.67	29.07 W	0.00	750 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	78.33	36.81 W	0.00	751 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	77.58	36.06 W	0.00	752 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	77.81	36.29 W	0.00	753 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	83.37	41.85 W	0.00	754 of 5295 - Sale <sup>25</sup>
	8.000	55.36	05/18/20	103.60	48.24 W	0.00	755 of 5295 - Sale <sup>25</sup>
	9.000	62.28	05/18/20	117.04	54.76 W	0.00	756 of 5295 - Sale <sup>25</sup>
	20.000	138.39	05/18/20	253.61	115.22 W	0.00	757 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	25.84	12.00 W	0.00	758 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	25.65	11.81 W	0.00	759 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	38.01	17.25 W	0.00	760 of 5295 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.76	05/18/20	38.32	17.56 W	0.00	761 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	50.60	22.92 W	0.00	762 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	51.29	23.61 W	0.00	763 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	25.58	11.74 W	0.00	764 of 5295 - Sale <sup>25</sup>
	3.000	20.75	05/18/20	38.38	17.63 W	0.00	765 of 5295 - Sale <sup>25</sup>
	5.000	34.59	05/18/20	64.37	29.78 W	0.00	766 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	12.96	6.04 W	0.00	767 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	12.96	6.04 W	0.00	768 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	27.38	13.54 W	0.00	769 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.88	6.96 W	0.00	770 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.95	7.03 W	0.00	771 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.75	6.83 W	0.00	772 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	25.93	12.09 W	0.00	773 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	27.84	14.00 W	0.00	774 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	41.94	21.18 W	0.00	775 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	41.77	21.01 W	0.00	776 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	41.49	20.73 W	0.00	777 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	51.86	24.18 W	0.00	778 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	55.77	28.09 W	0.00	779 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	66.59	31.99 W	0.00	780 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	77.54	36.02 W	0.00	781 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	84.05	42.53 W	0.00	782 of 5295 - Sale <sup>25</sup>
	8.000	55.36	05/18/20	111.38	56.02 W	0.00	783 of 5295 - Sale <sup>25</sup>
	8.000	55.36	05/18/20	111.37	56.01 W	0.00	784 of 5295 - Sale <sup>25</sup>
	9.000	62.28	05/18/20	126.20	63.92 W	0.00	785 of 5295 - Sale <sup>25</sup>
	9.000	62.27	05/18/20	124.40	62.13 W	0.00	786 of 5295 - Sale <sup>25</sup>
	11.000	76.11	05/18/20	153.10	76.99 W	0.00	787 of 5295 - Sale <sup>25</sup>
	12.000	83.03	05/18/20	164.15	81.12 W	0.00	788 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.91	6.99 W	0.00	789 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.04	7.12 W	0.00	790 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	41.46	20.70 W	0.00	791 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	27.68	13.84 W	0.00	792 of 5295 - Sale <sup>25</sup>
	7.000	48.44	05/18/20	98.02	49.58 W	0.00	793 of 5295 - Sale <sup>25</sup>
	9.000	62.28	05/18/20	126.57	64.29 W	0.00	794 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	16.000	110.72	05/18/20	221.86	111.14 W	0.00	795 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.05	7.13 W	0.00	796 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.98	7.06 W	0.00	797 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.00	7.08 W	0.00	798 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.07	7.15 W	0.00	799 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.98	7.06 W	0.00	800 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.77	6.85 W	0.00	801 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.91	6.99 W	0.00	802 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	55.58	27.90 W	0.00	803 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.28	6.36 W	0.00	804 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.64	6.72 W	0.00	805 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.15	7.23 W	0.00	806 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.20	7.28 W	0.00	807 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.20	7.28 W	0.00	808 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	26.17	12.33 W	0.00	809 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	26.56	12.72 W	0.00	810 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	28.61	14.77 W	0.00	811 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	27.67	13.83 W	0.00	812 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	40.72	19.96 W	0.00	813 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	55.58	27.90 W	0.00	814 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	65.68	31.08 W	0.00	815 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	66.80	32.20 W	0.00	816 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	81.48	39.96 W	0.00	817 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	81.56	40.04 W	0.00	818 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	81.49	39.97 W	0.00	819 of 5295 - Sale <sup>25</sup>
	7.000	48.44	05/18/20	96.05	47.61 W	0.00	820 of 5295 - Sale <sup>25</sup>
	7.000	48.44	05/18/20	95.52	47.08 W	0.00	821 of 5295 - Sale <sup>25</sup>
	8.000	55.35	05/18/20	115.37	60.02 W	0.00	822 of 5295 - Sale <sup>25</sup>
	9.000	62.28	05/18/20	124.59	62.31 W	0.00	823 of 5295 - Sale <sup>25</sup>
	10.000	69.19	05/18/20	143.67	74.48 W	0.00	824 of 5295 - Sale <sup>25</sup>
	11.000	76.11	05/18/20	149.66	73.55 W	0.00	825 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.55	6.63 W	0.00	826 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.80	6.88 W	0.00	827 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	69.11	34.51 W	0.00	828 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	34.60	05/18/20	67.77	33.17 W	0.00	829 of 5295 - Sale <sup>25</sup>
	7.000	48.43	05/18/20	95.43	47.00 W	0.00	830 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.78	6.86 W	0.00	831 of 5295 - Sale <sup>25</sup>
	11.000	76.11	05/18/20	149.10	72.99 W	0.00	832 of 5295 - Sale <sup>25</sup>
	19.000	131.47	05/18/20	259.07	127.60 W	0.00	833 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.85	6.93 W	0.00	834 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	27.69	13.85 W	0.00	835 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	41.40	20.64 W	0.00	836 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	41.39	20.63 W	0.00	837 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	55.70	28.02 W	0.00	838 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	55.42	27.74 W	0.00	839 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	83.46	41.94 W	0.00	840 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	83.08	41.56 W	0.00	841 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	83.11	41.59 W	0.00	842 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	82.71	41.19 W	0.00	843 of 5295 - Sale <sup>25</sup>
	9.000	62.28	05/18/20	125.49	63.21 W	0.00	844 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	27.72	13.88 W	0.00	845 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	56.01	28.33 W	0.00	846 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	69.10	34.50 W	0.00	847 of 5295 - Sale <sup>25</sup>
	7.000	48.43	05/18/20	98.02	49.59 W	0.00	848 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.89	6.97 W	0.00	849 of 5295 - Sale <sup>25</sup>
	14.000	96.87	05/18/20	195.94	99.07 W	0.00	850 of 5295 - Sale <sup>25</sup>
	17.000	117.63	05/18/20	232.30	114.67 W	0.00	851 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	13.73	6.81 W	0.00	852 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.28	7.36 W	0.00	853 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	28.57	14.73 W	0.00	854 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	28.56	14.72 W	0.00	855 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	56.93	29.25 W	0.00	856 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	82.14	40.62 W	0.00	857 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.31	7.39 W	0.00	858 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.10	7.18 W	0.00	859 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.10	7.18 W	0.00	860 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	14.13	7.21 W	0.00	861 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	15.42	8.50 W	0.00	862 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.92	05/18/20	15.48	8.56 W	0.00	863 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	42.93	22.17 W	0.00	864 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	42.30	21.54 W	0.00	865 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	46.50	25.74 W	0.00	866 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	71.01	36.41 W	0.00	867 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	71.31	36.71 W	0.00	868 of 5295 - Sale <sup>25</sup>
	7.000	48.44	05/18/20	100.34	51.90 W	0.00	869 of 5295 - Sale <sup>25</sup>
	7.000	48.44	05/18/20	108.47	60.03 W	0.00	870 of 5295 - Sale <sup>25</sup>
	11.000	76.12	05/18/20	157.81	81.69 W	0.00	871 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	15.36	8.44 W	0.00	872 of 5295 - Sale <sup>25</sup>
	4.000	27.68	05/18/20	60.80	33.12 W	0.00	873 of 5295 - Sale <sup>25</sup>
	7.000	48.44	05/18/20	106.77	58.33 W	0.00	874 of 5295 - Sale <sup>25</sup>
	8.000	55.36	05/18/20	123.92	68.56 W	0.00	875 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	15.43	8.51 W	0.00	876 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	15.41	8.49 W	0.00	877 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	16.32	9.40 W	0.00	878 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	16.49	9.57 W	0.00	879 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	16.46	9.54 W	0.00	880 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	16.73	9.81 W	0.00	881 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	16.63	9.71 W	0.00	882 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	16.70	9.78 W	0.00	883 of 5295 - Sale <sup>25</sup>
	2.000	13.84	05/18/20	32.48	18.64 W	0.00	884 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	46.27	25.51 W	0.00	885 of 5295 - Sale <sup>25</sup>
	3.000	20.76	05/18/20	48.92	28.16 W	0.00	886 of 5295 - Sale <sup>25</sup>
	5.000	34.60	05/18/20	82.37	47.77 W	0.00	887 of 5295 - Sale <sup>25</sup>
	6.000	41.52	05/18/20	99.87	58.35 W	0.00	888 of 5295 - Sale <sup>25</sup>
	7.000	48.44	05/18/20	107.90	59.46 W	0.00	889 of 5295 - Sale <sup>25</sup>
	8.000	55.36	05/18/20	130.56	75.20 W	0.00	890 of 5295 - Sale <sup>25</sup>
	10.000	69.19	05/18/20	167.56	98.37 W	0.00	891 of 5295 - Sale <sup>25</sup>
	11.000	76.11	05/18/20	178.62	102.51 W	0.00	892 of 5295 - Sale <sup>25</sup>
	11.000	76.11	05/18/20	184.17	108.06 W	0.00	893 of 5295 - Sale <sup>25</sup>
	12.000	83.03	05/18/20	195.30	112.27 W	0.00	894 of 5295 - Sale <sup>25</sup>
	14.000	96.87	05/18/20	215.96	119.09 W	0.00	895 of 5295 - Sale <sup>25</sup>
	1.000	6.92	05/18/20	16.50	9.58 W	0.00	896 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		13.84	05/18/20	33.16	19.32 W	0.00	897 of 5295 - Sale <sup>25</sup>
11.000		76.11	05/18/20	182.31	106.20 W	0.00	898 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.53	9.60 W	0.00	899 of 5295 - Sale <sup>25</sup>
5.000		34.65	05/18/20	82.88	48.23 W	0.00	900 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.57	9.64 W	0.00	901 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.84	9.91 W	0.00	902 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.85	9.92 W	0.00	903 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.99	10.06 W	0.00	904 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.67	9.74 W	0.00	905 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.65	9.72 W	0.00	906 of 5295 - Sale <sup>25</sup>
2.000		13.86	05/18/20	32.93	19.07 W	0.00	907 of 5295 - Sale <sup>25</sup>
2.000		13.86	05/18/20	34.11	20.25 W	0.00	908 of 5295 - Sale <sup>25</sup>
2.000		13.86	05/18/20	34.08	20.22 W	0.00	909 of 5295 - Sale <sup>25</sup>
2.000		13.86	05/18/20	33.35	19.49 W	0.00	910 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	49.38	28.59 W	0.00	911 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	48.91	28.12 W	0.00	912 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	50.60	29.81 W	0.00	913 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	51.16	30.37 W	0.00	914 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	51.15	30.36 W	0.00	915 of 5295 - Sale <sup>25</sup>
4.000		27.72	05/18/20	67.36	39.64 W	0.00	916 of 5295 - Sale <sup>25</sup>
11.000		76.23	05/18/20	186.45	110.22 W	0.00	917 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.44	9.51 W	0.00	918 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.36	9.43 W	0.00	919 of 5295 - Sale <sup>25</sup>
4.000		27.72	05/18/20	67.26	39.54 W	0.00	920 of 5295 - Sale <sup>25</sup>
4.000		27.72	05/18/20	65.66	37.94 W	0.00	921 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.08	9.15 W	0.00	922 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.15	9.22 W	0.00	923 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.11	9.18 W	0.00	924 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	48.51	27.72 W	0.00	925 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	48.48	27.69 W	0.00	926 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.42	9.49 W	0.00	927 of 5295 - Sale <sup>25</sup>
6.000		41.58	05/18/20	96.82	55.24 W	0.00	928 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	16.16	9.23 W	0.00	929 of 5295 - Sale <sup>25</sup>
11.000		76.23	05/18/20	177.89	101.66 W	0.00	930 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	12.000	83.16	05/18/20	193.09	109.93 W	0.00	931 of 5295 - Sale <sup>25</sup>
	1.000	7.03	05/18/20	16.07	9.04 W	0.00	932 of 5295 - Sale <sup>25</sup>
	5.000	35.15	05/18/20	80.80	45.65 W	0.00	933 of 5295 - Sale <sup>25</sup>
	1.000	7.03	05/18/20	16.86	9.83 W	0.00	934 of 5295 - Sale <sup>25</sup>
	3.000	21.09	05/18/20	48.21	27.12 W	0.00	935 of 5295 - Sale <sup>25</sup>
	3.000	21.09	05/18/20	47.57	26.48 W	0.00	936 of 5295 - Sale <sup>25</sup>
	3.000	21.09	05/18/20	50.53	29.44 W	0.00	937 of 5295 - Sale <sup>25</sup>
	3.000	21.09	05/18/20	50.62	29.53 W	0.00	938 of 5295 - Sale <sup>25</sup>
	3.000	21.09	05/18/20	50.44	29.35 W	0.00	939 of 5295 - Sale <sup>25</sup>
	4.000	28.12	05/18/20	67.55	39.43 W	0.00	940 of 5295 - Sale <sup>25</sup>
	5.000	35.15	05/18/20	84.38	49.23 W	0.00	941 of 5295 - Sale <sup>25</sup>
	6.000	42.18	05/18/20	101.26	59.08 W	0.00	942 of 5295 - Sale <sup>25</sup>
	10.000	70.30	05/18/20	168.77	98.47 W	0.00	943 of 5295 - Sale <sup>25</sup>
	28.000	196.82	05/18/20	241.80	44.98 W	0.00	944 of 5295 - Sale <sup>25</sup>
	25.000	175.74	05/18/20	215.89	40.15 W	0.00	945 of 5295 - Sale <sup>25</sup>
	6.000	42.00	05/18/20	51.81	9.81 W	0.00	946 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	17.00	10.00 W	0.00	947 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	17.02	10.02 W	0.00	948 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	33.31	19.31 W	0.00	949 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	49.72	28.72 W	0.00	950 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	51.60	30.60 W	0.00	951 of 5295 - Sale <sup>25</sup>
	4.000	28.00	05/18/20	68.69	40.69 W	0.00	952 of 5295 - Sale <sup>25</sup>
	4.000	28.00	05/18/20	68.61	40.61 W	0.00	953 of 5295 - Sale <sup>25</sup>
	4.000	28.00	05/18/20	66.43	38.43 W	0.00	954 of 5295 - Sale <sup>25</sup>
	7.000	49.00	05/18/20	118.35	69.35 W	0.00	955 of 5295 - Sale <sup>25</sup>
	18.000	126.00	05/18/20	151.94	25.94 W	0.00	956 of 5295 - Sale <sup>25</sup>
	34.000	238.00	05/18/20	285.59	47.59 W	0.00	957 of 5295 - Sale <sup>25</sup>
	50.000	350.00	05/18/20	423.54	73.54 W	0.00	958 of 5295 - Sale <sup>25</sup>
	69.000	482.99	05/18/20	592.77	109.78 W	0.00	959 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.62	9.62 W	0.00	960 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.68	9.68 W	0.00	961 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.73	9.73 W	0.00	962 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.55	9.55 W	0.00	963 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.57	9.57 W	0.00	964 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	7.00	05/18/20	16.64	9.64 W	0.00	965 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	33.20	19.20 W	0.00	966 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	33.15	19.15 W	0.00	967 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	49.86	28.86 W	0.00	968 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	83.28	48.28 W	0.00	969 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	83.37	48.37 W	0.00	970 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	82.75	47.75 W	0.00	971 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	83.31	48.31 W	0.00	972 of 5295 - Sale <sup>25</sup>
	8.000	56.00	05/18/20	131.84	75.84 W	0.00	973 of 5295 - Sale <sup>25</sup>
	9.000	63.00	05/18/20	147.97	84.97 W	0.00	974 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.66	9.66 W	0.00	975 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.59	9.59 W	0.00	976 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.56	9.56 W	0.00	977 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.91	8.91 W	0.00	978 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.99	8.99 W	0.00	979 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.72	8.72 W	0.00	980 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.68	8.68 W	0.00	981 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.36	8.36 W	0.00	982 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.98	8.98 W	0.00	983 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	33.27	19.27 W	0.00	984 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	33.31	19.31 W	0.00	985 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	33.18	19.18 W	0.00	986 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	33.11	19.11 W	0.00	987 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	31.93	17.93 W	0.00	988 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	31.50	17.50 W	0.00	989 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	31.54	17.54 W	0.00	990 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	31.15	17.15 W	0.00	991 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	47.73	26.73 W	0.00	992 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	47.83	26.83 W	0.00	993 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	47.24	26.24 W	0.00	994 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	46.03	25.03 W	0.00	995 of 5295 - Sale <sup>25</sup>
	4.000	28.00	05/18/20	66.62	38.62 W	0.00	996 of 5295 - Sale <sup>25</sup>
	4.000	28.00	05/18/20	66.12	38.12 W	0.00	997 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	82.98	47.98 W	0.00	998 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	35.00	05/18/20	79.10	44.10 W	0.00	999 of 5295 - Sale <sup>25</sup>
	8.000	56.00	05/18/20	125.47	69.47 W	0.00	1000 of 5295 - Sale <sup>25</sup>
	9.000	63.00	05/18/20	151.18	88.18 W	0.00	1001 of 5295 - Sale <sup>25</sup>
	11.000	77.00	05/18/20	182.63	105.63 W	0.00	1002 of 5295 - Sale <sup>25</sup>
	17.000	119.00	05/18/20	268.68	149.68 W	0.00	1003 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	16.00	9.00 W	0.00	1004 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.94	8.94 W	0.00	1005 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.86	7.86 W	0.00	1006 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.89	7.89 W	0.00	1007 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.71	7.71 W	0.00	1008 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.52	7.52 W	0.00	1009 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	13.53	6.53 W	0.00	1010 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.90	7.90 W	0.00	1011 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	31.95	17.95 W	0.00	1012 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	32.40	18.40 W	0.00	1013 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	29.62	15.62 W	0.00	1014 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	29.00	15.00 W	0.00	1015 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	48.74	27.74 W	0.00	1016 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	43.69	22.69 W	0.00	1017 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	40.72	19.72 W	0.00	1018 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	40.60	19.60 W	0.00	1019 of 5295 - Sale <sup>25</sup>
	4.000	28.00	05/18/20	59.19	31.19 W	0.00	1020 of 5295 - Sale <sup>25</sup>
	4.000	28.00	05/18/20	54.14	26.14 W	0.00	1021 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	79.34	44.34 W	0.00	1022 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	81.21	46.21 W	0.00	1023 of 5295 - Sale <sup>25</sup>
	8.000	56.00	05/18/20	116.81	60.81 W	0.00	1024 of 5295 - Sale <sup>25</sup>
	9.000	63.00	05/18/20	143.83	80.83 W	0.00	1025 of 5295 - Sale <sup>25</sup>
	9.000	63.00	05/18/20	122.51	59.51 W	0.00	1026 of 5295 - Sale <sup>25</sup>
	13.000	91.00	05/18/20	175.94	84.94 W	0.00	1027 of 5295 - Sale <sup>25</sup>
	15.000	105.00	05/18/20	203.32	98.32 W	0.00	1028 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.26	8.26 W	0.00	1029 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	15.12	8.12 W	0.00	1030 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.19	7.19 W	0.00	1031 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.23	7.23 W	0.00	1032 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	7.00	05/18/20	14.30	7.30 W	0.00	1033 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.28	7.28 W	0.00	1034 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.25	7.25 W	0.00	1035 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.13	7.13 W	0.00	1036 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.03	7.03 W	0.00	1037 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.10	7.10 W	0.00	1038 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.09	7.09 W	0.00	1039 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.22	7.22 W	0.00	1040 of 5295 - Sale <sup>25</sup>
	1.000	7.00	05/18/20	14.23	7.23 W	0.00	1041 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	29.66	15.66 W	0.00	1042 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	28.52	14.52 W	0.00	1043 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	28.32	14.32 W	0.00	1044 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	28.24	14.24 W	0.00	1045 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	28.25	14.25 W	0.00	1046 of 5295 - Sale <sup>25</sup>
	2.000	14.00	05/18/20	28.04	14.04 W	0.00	1047 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	45.35	24.35 W	0.00	1048 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	45.69	24.69 W	0.00	1049 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	45.68	24.68 W	0.00	1050 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	44.40	23.40 W	0.00	1051 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	43.18	22.18 W	0.00	1052 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	42.50	21.50 W	0.00	1053 of 5295 - Sale <sup>25</sup>
	3.000	21.00	05/18/20	41.99	20.99 W	0.00	1054 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	71.12	36.12 W	0.00	1055 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	70.88	35.88 W	0.00	1056 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	70.73	35.73 W	0.00	1057 of 5295 - Sale <sup>25</sup>
	5.000	35.00	05/18/20	70.46	35.46 W	0.00	1058 of 5295 - Sale <sup>25</sup>
	7.000	49.00	05/18/20	99.36	50.36 W	0.00	1059 of 5295 - Sale <sup>25</sup>
	7.000	49.00	05/18/20	98.89	49.89 W	0.00	1060 of 5295 - Sale <sup>25</sup>
	7.000	48.99	05/18/20	99.27	50.28 W	0.00	1061 of 5295 - Sale <sup>25</sup>
	8.000	56.00	05/18/20	113.27	57.27 W	0.00	1062 of 5295 - Sale <sup>25</sup>
	9.000	63.00	05/18/20	130.95	67.95 W	0.00	1063 of 5295 - Sale <sup>25</sup>
	9.000	63.00	05/18/20	127.36	64.36 W	0.00	1064 of 5295 - Sale <sup>25</sup>
	9.000	62.99	05/18/20	128.17	65.18 W	0.00	1065 of 5295 - Sale <sup>25</sup>
	11.000	76.99	05/18/20	155.12	78.13 W	0.00	1066 of 5295 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
13.000		91.00	05/18/20	196.61	105.61 W	0.00	1067 of 5295 - Sale <sup>25</sup>
14.000		98.00	05/18/20	201.10	103.10 W	0.00	1068 of 5295 - Sale <sup>25</sup>
16.000		111.99	05/18/20	224.71	112.72 W	0.00	1069 of 5295 - Sale <sup>25</sup>
19.000		132.99	05/18/20	280.12	147.13 W	0.00	1070 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	14.16	7.23 W	0.00	1071 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	14.18	7.25 W	0.00	1072 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	14.25	7.32 W	0.00	1073 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	14.16	7.23 W	0.00	1074 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	14.07	7.14 W	0.00	1075 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	13.93	7.00 W	0.00	1076 of 5295 - Sale <sup>25</sup>
1.000		6.93	05/18/20	14.08	7.15 W	0.00	1077 of 5295 - Sale <sup>25</sup>
2.000		13.86	05/18/20	26.50	12.64 W	0.00	1078 of 5295 - Sale <sup>25</sup>
3.000		20.79	05/18/20	42.18	21.39 W	0.00	1079 of 5295 - Sale <sup>25</sup>
5.000		34.65	05/18/20	66.50	31.85 W	0.00	1080 of 5295 - Sale <sup>25</sup>
5.000		34.65	05/18/20	67.63	32.98 W	0.00	1081 of 5295 - Sale <sup>25</sup>
1.000		6.56	05/18/20	13.44	6.88 W	0.00	1082 of 5295 - Sale <sup>25</sup>
1.000		6.56	05/18/20	13.81	7.25 W	0.00	1083 of 5295 - Sale <sup>25</sup>
2.000		13.11	05/18/20	26.89	13.78 W	0.00	1084 of 5295 - Sale <sup>25</sup>
6.000		39.33	05/18/20	82.47	43.14 W	0.00	1085 of 5295 - Sale <sup>25</sup>
3.000		19.66	05/18/20	41.28	21.62 W	0.00	1086 of 5295 - Sale <sup>25</sup>
7.000		45.88	05/18/20	97.20	51.32 W	0.00	1087 of 5295 - Sale <sup>25</sup>
7.000		45.89	05/18/20	96.68	50.79 W	0.00	1088 of 5295 - Sale <sup>25</sup>
9.000		58.99	05/18/20	126.08	67.09 W	0.00	1089 of 5295 - Sale <sup>25</sup>
11.000		72.10	05/18/20	151.48	79.38 W	0.00	1090 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.32	7.77 W	0.00	1091 of 5295 - Sale <sup>25</sup>
1.000		6.56	05/18/20	14.36	7.80 W	0.00	1092 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.37	7.82 W	0.00	1093 of 5295 - Sale <sup>25</sup>
1.000		6.56	05/18/20	14.06	7.50 W	0.00	1094 of 5295 - Sale <sup>25</sup>
2.000		13.11	05/18/20	28.94	15.83 W	0.00	1095 of 5295 - Sale <sup>25</sup>
3.000		19.66	05/18/20	41.21	21.55 W	0.00	1096 of 5295 - Sale <sup>25</sup>
3.000		19.66	05/18/20	41.27	21.61 W	0.00	1097 of 5295 - Sale <sup>25</sup>
6.000		39.33	05/18/20	82.48	43.15 W	0.00	1098 of 5295 - Sale <sup>25</sup>
8.000		52.44	05/18/20	116.70	64.26 W	0.00	1099 of 5295 - Sale <sup>25</sup>
10.000		65.55	05/18/20	145.33	79.78 W	0.00	1100 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.11	05/18/20	28.00	14.89 W	0.00	1101 of 5295 - Sale <sup>25</sup>
	3.000	19.66	05/18/20	42.18	22.52 W	0.00	1102 of 5295 - Sale <sup>25</sup>
	5.000	32.78	05/18/20	69.93	37.15 W	0.00	1103 of 5295 - Sale <sup>25</sup>
	5.000	32.77	05/18/20	69.01	36.24 W	0.00	1104 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.72	7.17 W	0.00	1105 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.96	7.41 W	0.00	1106 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.95	7.40 W	0.00	1107 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.02	7.47 W	0.00	1108 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.01	7.46 W	0.00	1109 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.02	14.92 W	0.00	1110 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.90	22.25 W	0.00	1111 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.88	22.23 W	0.00	1112 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.36	30.16 W	0.00	1113 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.08	29.88 W	0.00	1114 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	68.60	35.85 W	0.00	1115 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	83.70	44.40 W	0.00	1116 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.45	45.15 W	0.00	1117 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.07	44.77 W	0.00	1118 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	96.59	50.74 W	0.00	1119 of 5295 - Sale <sup>25</sup>
	9.000	58.94	05/18/20	126.97	68.03 W	0.00	1120 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	150.92	78.87 W	0.00	1121 of 5295 - Sale <sup>25</sup>
	14.000	91.70	05/18/20	193.21	101.51 W	0.00	1122 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.02	14.92 W	0.00	1123 of 5295 - Sale <sup>25</sup>
	5.000	32.74	05/18/20	70.01	37.27 W	0.00	1124 of 5295 - Sale <sup>25</sup>
	5.000	32.74	05/18/20	69.85	37.11 W	0.00	1125 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.45	21.80 W	0.00	1126 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	138.15	72.65 W	0.00	1127 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	55.25	29.05 W	0.00	1128 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.61	30.41 W	0.00	1129 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	70.72	37.97 W	0.00	1130 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	99.07	53.22 W	0.00	1131 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.21	15.11 W	0.00	1132 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	12.84	6.29 W	0.00	1133 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	12.78	6.23 W	0.00	1134 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.55	05/18/20	12.78	6.23 W	0.00	1135 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	12.94	6.39 W	0.00	1136 of 5295 - Sale <sup>25</sup>
	5.000	32.74	05/18/20	64.26	31.52 W	0.00	1137 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	78.29	38.99 W	0.00	1138 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	78.52	39.22 W	0.00	1139 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	104.55	52.15 W	0.00	1140 of 5295 - Sale <sup>25</sup>
	9.000	58.94	05/18/20	118.11	59.17 W	0.00	1141 of 5295 - Sale <sup>25</sup>
	10.000	65.49	05/18/20	128.00	62.51 W	0.00	1142 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.01	7.46 W	0.00	1143 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.06	44.76 W	0.00	1144 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	79.02	39.72 W	0.00	1145 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.78	52.93 W	0.00	1146 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.08	6.53 W	0.00	1147 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.08	6.53 W	0.00	1148 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.08	12.98 W	0.00	1149 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	25.89	12.79 W	0.00	1150 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	25.82	12.72 W	0.00	1151 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.17	13.07 W	0.00	1152 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	38.37	18.72 W	0.00	1153 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	38.68	19.03 W	0.00	1154 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	38.74	19.09 W	0.00	1155 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	51.08	24.88 W	0.00	1156 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	51.76	25.56 W	0.00	1157 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	52.33	26.13 W	0.00	1158 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	64.97	32.22 W	0.00	1159 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	78.25	38.95 W	0.00	1160 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	127.99	62.49 W	0.00	1161 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.96	7.41 W	0.00	1162 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.03	7.48 W	0.00	1163 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.00	14.90 W	0.00	1164 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.18	22.53 W	0.00	1165 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.01	22.36 W	0.00	1166 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.08	29.88 W	0.00	1167 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	40.19	20.54 W	0.00	1168 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	39.30	05/18/20	84.52	45.22 W	0.00	1169 of 5295 - Sale <sup>25</sup>
	8.000	52.39	05/18/20	112.01	59.62 W	0.00	1170 of 5295 - Sale <sup>25</sup>
	8.000	52.39	05/18/20	112.00	59.61 W	0.00	1171 of 5295 - Sale <sup>25</sup>
	9.000	58.94	05/18/20	126.91	67.97 W	0.00	1172 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.83	7.28 W	0.00	1173 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.81	7.26 W	0.00	1174 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.36	7.81 W	0.00	1175 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.39	7.84 W	0.00	1176 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.18	7.63 W	0.00	1177 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.18	7.63 W	0.00	1178 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.21	7.66 W	0.00	1179 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.50	8.95 W	0.00	1180 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.56	9.01 W	0.00	1181 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.54	14.44 W	0.00	1182 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.73	15.63 W	0.00	1183 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.72	15.62 W	0.00	1184 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.73	22.08 W	0.00	1185 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	43.17	23.52 W	0.00	1186 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.54	22.89 W	0.00	1187 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	57.24	31.04 W	0.00	1188 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.79	13.69 W	0.00	1189 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	71.40	38.65 W	0.00	1190 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	71.70	38.95 W	0.00	1191 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	82.61	43.31 W	0.00	1192 of 5295 - Sale <sup>25</sup>
	7.000	45.84	05/18/20	100.89	55.05 W	0.00	1193 of 5295 - Sale <sup>25</sup>
	4.000	26.19	05/18/20	62.30	36.11 W	0.00	1194 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	125.12	66.17 W	0.00	1195 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	153.98	81.93 W	0.00	1196 of 5295 - Sale <sup>25</sup>
	11.000	72.04	05/18/20	158.68	86.64 W	0.00	1197 of 5295 - Sale <sup>25</sup>
	12.000	78.60	05/18/20	165.10	86.50 W	0.00	1198 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.44	8.89 W	0.00	1199 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.51	8.96 W	0.00	1200 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.49	8.94 W	0.00	1201 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.40	9.85 W	0.00	1202 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.55	05/18/20	16.57	10.02 W	0.00	1203 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.54	9.99 W	0.00	1204 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	32.64	19.54 W	0.00	1205 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	46.74	27.09 W	0.00	1206 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	46.51	26.86 W	0.00	1207 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.16	29.51 W	0.00	1208 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	61.11	34.91 W	0.00	1209 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	82.76	50.01 W	0.00	1210 of 5295 - Sale <sup>25</sup>
	4.000	26.19	05/18/20	66.89	40.70 W	0.00	1211 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	46.72	27.07 W	0.00	1212 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	107.32	61.47 W	0.00	1213 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	108.45	62.60 W	0.00	1214 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	124.55	72.15 W	0.00	1215 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	131.19	78.79 W	0.00	1216 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	179.49	107.44 W	0.00	1217 of 5295 - Sale <sup>25</sup>
	12.000	78.59	05/18/20	196.25	117.66 W	0.00	1218 of 5295 - Sale <sup>25</sup>
	14.000	91.69	05/18/20	217.07	125.38 W	0.00	1219 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.81	10.26 W	0.00	1220 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.71	10.16 W	0.00	1221 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.78	10.23 W	0.00	1222 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.58	10.03 W	0.00	1223 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.60	10.05 W	0.00	1224 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.64	10.09 W	0.00	1225 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.91	10.36 W	0.00	1226 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.92	10.37 W	0.00	1227 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.06	10.51 W	0.00	1228 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.74	10.19 W	0.00	1229 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.72	10.17 W	0.00	1230 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.51	9.96 W	0.00	1231 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.43	9.88 W	0.00	1232 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.32	20.22 W	0.00	1233 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.07	19.97 W	0.00	1234 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	34.25	21.15 W	0.00	1235 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	34.22	21.12 W	0.00	1236 of 5295 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.10	05/18/20	33.49	20.39 W	0.00	1237 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.59	29.94 W	0.00	1238 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.12	29.47 W	0.00	1239 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	50.81	31.16 W	0.00	1240 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.37	31.72 W	0.00	1241 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.36	31.71 W	0.00	1242 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	67.63	41.43 W	0.00	1243 of 5295 - Sale <sup>25</sup>
	4.000	26.19	05/18/20	67.53	41.34 W	0.00	1244 of 5295 - Sale <sup>25</sup>
	4.000	26.19	05/18/20	65.93	39.74 W	0.00	1245 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	83.22	50.47 W	0.00	1246 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.45	20.35 W	0.00	1247 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	168.36	102.86 W	0.00	1248 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	185.04	112.99 W	0.00	1249 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	183.18	111.13 W	0.00	1250 of 5295 - Sale <sup>25</sup>
	11.000	72.04	05/18/20	187.20	115.16 W	0.00	1251 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.49	9.94 W	0.00	1252 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.15	9.60 W	0.00	1253 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.22	9.67 W	0.00	1254 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.18	9.63 W	0.00	1255 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.23	9.68 W	0.00	1256 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.04	9.49 W	0.00	1257 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.83	10.28 W	0.00	1258 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	48.72	29.07 W	0.00	1259 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	48.69	29.04 W	0.00	1260 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	48.11	28.46 W	0.00	1261 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	47.48	27.83 W	0.00	1262 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	50.44	30.79 W	0.00	1263 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	50.52	30.87 W	0.00	1264 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	50.35	30.70 W	0.00	1265 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	67.42	41.22 W	0.00	1266 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	80.64	47.89 W	0.00	1267 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	84.22	51.47 W	0.00	1268 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	97.23	57.93 W	0.00	1269 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	101.07	61.77 W	0.00	1270 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	39.30	05/18/20	51.80	12.50 W	0.00	1271 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	51.54	12.24 W	0.00	1272 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	168.45	102.95 W	0.00	1273 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	178.64	106.59 W	0.00	1274 of 5295 - Sale <sup>25</sup>
	12.000	78.60	05/18/20	193.91	115.31 W	0.00	1275 of 5295 - Sale <sup>25</sup>
	25.000	163.75	05/18/20	215.11	51.36 W	0.00	1276 of 5295 - Sale <sup>25</sup>
	28.000	183.40	05/18/20	240.93	57.53 W	0.00	1277 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.02	10.47 W	0.00	1278 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.04	10.49 W	0.00	1279 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.64	10.09 W	0.00	1280 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.70	10.15 W	0.00	1281 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.75	10.20 W	0.00	1282 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.57	10.02 W	0.00	1283 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.59	10.04 W	0.00	1284 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.66	10.11 W	0.00	1285 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.35	20.25 W	0.00	1286 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.24	20.14 W	0.00	1287 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.19	20.09 W	0.00	1288 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.31	20.21 W	0.00	1289 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.78	30.13 W	0.00	1290 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.66	32.01 W	0.00	1291 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.92	30.27 W	0.00	1292 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	68.77	42.57 W	0.00	1293 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	68.69	42.49 W	0.00	1294 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	66.51	40.31 W	0.00	1295 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	66.70	40.50 W	0.00	1296 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	83.38	50.63 W	0.00	1297 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	83.47	50.72 W	0.00	1298 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	82.85	50.10 W	0.00	1299 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	83.41	50.66 W	0.00	1300 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	118.49	72.64 W	0.00	1301 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	132.00	79.60 W	0.00	1302 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	148.15	89.20 W	0.00	1303 of 5295 - Sale <sup>25</sup>
	18.000	117.90	05/18/20	152.30	34.40 W	0.00	1304 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	34.000	222.70	05/18/20	286.27	63.57 W	0.00	1305 of 5295 - Sale <sup>25</sup>
	50.000	327.50	05/18/20	424.54	97.04 W	0.00	1306 of 5295 - Sale <sup>25</sup>
	63.000	412.65	05/18/20	542.49	129.84 W	0.00	1307 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.54	9.99 W	0.00	1308 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.47	9.92 W	0.00	1309 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.44	9.89 W	0.00	1310 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.79	9.24 W	0.00	1311 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.87	9.32 W	0.00	1312 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.60	9.05 W	0.00	1313 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.56	9.01 W	0.00	1314 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.24	8.69 W	0.00	1315 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.86	9.31 W	0.00	1316 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.88	9.33 W	0.00	1317 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.82	9.27 W	0.00	1318 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.74	8.19 W	0.00	1319 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.77	8.22 W	0.00	1320 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.59	8.04 W	0.00	1321 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.40	7.85 W	0.00	1322 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.41	6.86 W	0.00	1323 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.78	8.23 W	0.00	1324 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.14	8.59 W	0.00	1325 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	15.00	8.45 W	0.00	1326 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.07	7.52 W	0.00	1327 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.11	7.56 W	0.00	1328 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.18	7.63 W	0.00	1329 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.16	7.61 W	0.00	1330 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.13	7.58 W	0.00	1331 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.01	7.46 W	0.00	1332 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.91	7.36 W	0.00	1333 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.07	19.97 W	0.00	1334 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	32.94	19.84 W	0.00	1335 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	32.87	19.77 W	0.00	1336 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	31.69	18.59 W	0.00	1337 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	31.26	18.16 W	0.00	1338 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.10	05/18/20	31.30	18.20 W	0.00	1339 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	30.91	17.81 W	0.00	1340 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	31.71	18.61 W	0.00	1341 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	32.16	19.06 W	0.00	1342 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	29.38	16.28 W	0.00	1343 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.76	15.66 W	0.00	1344 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	29.42	16.32 W	0.00	1345 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.28	15.18 W	0.00	1346 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.08	14.98 W	0.00	1347 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	47.37	27.72 W	0.00	1348 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	47.47	27.82 W	0.00	1349 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	46.88	27.23 W	0.00	1350 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	45.67	26.02 W	0.00	1351 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	48.38	28.73 W	0.00	1352 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	43.33	23.68 W	0.00	1353 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	40.36	20.71 W	0.00	1354 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	40.24	20.59 W	0.00	1355 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	44.99	25.34 W	0.00	1356 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	45.33	25.68 W	0.00	1357 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	45.32	25.67 W	0.00	1358 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	44.04	24.39 W	0.00	1359 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.82	23.17 W	0.00	1360 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.22	22.57 W	0.00	1361 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	65.64	39.44 W	0.00	1362 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	58.71	32.51 W	0.00	1363 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	53.66	27.46 W	0.00	1364 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	82.38	49.63 W	0.00	1365 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	78.50	45.75 W	0.00	1366 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	78.74	45.99 W	0.00	1367 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	80.61	47.86 W	0.00	1368 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	70.52	37.77 W	0.00	1369 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	70.28	37.53 W	0.00	1370 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	124.51	72.11 W	0.00	1371 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	115.85	63.45 W	0.00	1372 of 5295 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
8.000		52.40	05/18/20	112.31	59.91 W	0.00	1373 of 5295 - Sale <sup>25</sup>
9.000		58.95	05/18/20	150.10	91.15 W	0.00	1374 of 5295 - Sale <sup>25</sup>
9.000		58.95	05/18/20	142.75	83.80 W	0.00	1375 of 5295 - Sale <sup>25</sup>
9.000		58.95	05/18/20	121.43	62.48 W	0.00	1376 of 5295 - Sale <sup>25</sup>
9.000		58.95	05/18/20	129.87	70.92 W	0.00	1377 of 5295 - Sale <sup>25</sup>
11.000		72.05	05/18/20	181.31	109.26 W	0.00	1378 of 5295 - Sale <sup>25</sup>
13.000		85.15	05/18/20	174.38	89.23 W	0.00	1379 of 5295 - Sale <sup>25</sup>
13.000		85.15	05/18/20	195.05	109.90 W	0.00	1380 of 5295 - Sale <sup>25</sup>
14.000		91.70	05/18/20	199.42	107.72 W	0.00	1381 of 5295 - Sale <sup>25</sup>
15.000		98.25	05/18/20	201.52	103.27 W	0.00	1382 of 5295 - Sale <sup>25</sup>
17.000		111.35	05/18/20	266.64	155.29 W	0.00	1383 of 5295 - Sale <sup>25</sup>
19.000		124.45	05/18/20	277.85	153.40 W	0.00	1384 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	13.98	7.43 W	0.00	1385 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	13.97	7.42 W	0.00	1386 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.10	7.55 W	0.00	1387 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.11	7.56 W	0.00	1388 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.11	7.56 W	0.00	1389 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.13	7.58 W	0.00	1390 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.20	7.65 W	0.00	1391 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.11	7.56 W	0.00	1392 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.02	7.47 W	0.00	1393 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	13.88	7.33 W	0.00	1394 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.03	7.48 W	0.00	1395 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	13.76	7.21 W	0.00	1396 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.13	7.58 W	0.00	1397 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.65	8.10 W	0.00	1398 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.68	8.13 W	0.00	1399 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.70	8.15 W	0.00	1400 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.38	7.83 W	0.00	1401 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.05	7.50 W	0.00	1402 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.29	7.74 W	0.00	1403 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.28	7.73 W	0.00	1404 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.35	7.80 W	0.00	1405 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.34	7.79 W	0.00	1406 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.55	05/18/20	14.34	7.79 W	0.00	1407 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.17	6.62 W	0.00	1408 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.11	6.56 W	0.00	1409 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.11	6.56 W	0.00	1410 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.27	6.72 W	0.00	1411 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.41	6.86 W	0.00	1412 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.41	6.86 W	0.00	1413 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.29	7.74 W	0.00	1414 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.36	7.81 W	0.00	1415 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.00	14.90 W	0.00	1416 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.01	14.91 W	0.00	1417 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.80	14.70 W	0.00	1418 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.40	13.30 W	0.00	1419 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.54	14.44 W	0.00	1420 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	29.59	16.49 W	0.00	1421 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.65	15.55 W	0.00	1422 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.68	15.58 W	0.00	1423 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.68	15.58 W	0.00	1424 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.87	15.77 W	0.00	1425 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.74	13.64 W	0.00	1426 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.55	13.45 W	0.00	1427 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.48	13.38 W	0.00	1428 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.83	13.73 W	0.00	1429 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.66	15.56 W	0.00	1430 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.66	15.56 W	0.00	1431 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.14	22.49 W	0.00	1432 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.63	21.98 W	0.00	1433 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.03	22.38 W	0.00	1434 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.26	22.61 W	0.00	1435 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.25	22.60 W	0.00	1436 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.19	22.54 W	0.00	1437 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	43.16	23.51 W	0.00	1438 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.89	23.24 W	0.00	1439 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.87	23.22 W	0.00	1440 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.65	05/18/20	42.44	22.79 W	0.00	1441 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	39.36	19.71 W	0.00	1442 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	39.67	20.02 W	0.00	1443 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	39.73	20.08 W	0.00	1444 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	43.17	23.52 W	0.00	1445 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	43.00	23.35 W	0.00	1446 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.30	30.10 W	0.00	1447 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	57.68	31.48 W	0.00	1448 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	57.40	31.20 W	0.00	1449 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.57	30.37 W	0.00	1450 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	57.93	31.73 W	0.00	1451 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	52.40	26.20 W	0.00	1452 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	53.08	26.88 W	0.00	1453 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	53.65	27.45 W	0.00	1454 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	57.40	31.20 W	0.00	1455 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	70.13	37.38 W	0.00	1456 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	69.86	37.11 W	0.00	1457 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	66.25	33.50 W	0.00	1458 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	67.38	34.63 W	0.00	1459 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	71.55	38.80 W	0.00	1460 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	70.64	37.89 W	0.00	1461 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	70.25	37.50 W	0.00	1462 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	71.67	38.92 W	0.00	1463 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	71.51	38.76 W	0.00	1464 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	72.37	39.62 W	0.00	1465 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	65.92	33.17 W	0.00	1466 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	66.62	33.87 W	0.00	1467 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.42	45.12 W	0.00	1468 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.43	45.13 W	0.00	1469 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	85.68	46.38 W	0.00	1470 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	86.43	47.13 W	0.00	1471 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	86.05	46.75 W	0.00	1472 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	86.04	46.74 W	0.00	1473 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	81.00	41.70 W	0.00	1474 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	39.30	05/18/20	80.27	40.97 W	0.00	1475 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	80.50	41.20 W	0.00	1476 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	80.23	40.93 W	0.00	1477 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	86.50	47.20 W	0.00	1478 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.05	52.20 W	0.00	1479 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.44	52.59 W	0.00	1480 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	99.48	53.63 W	0.00	1481 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.95	53.10 W	0.00	1482 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.90	53.05 W	0.00	1483 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	101.38	55.53 W	0.00	1484 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	101.09	55.24 W	0.00	1485 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	119.30	66.90 W	0.00	1486 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	107.19	54.79 W	0.00	1487 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	114.66	62.26 W	0.00	1488 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	126.28	67.33 W	0.00	1489 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	127.10	68.15 W	0.00	1490 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	129.01	70.06 W	0.00	1491 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	129.95	71.00 W	0.00	1492 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	121.09	62.14 W	0.00	1493 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	129.89	70.94 W	0.00	1494 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	148.58	83.08 W	0.00	1495 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	141.45	75.95 W	0.00	1496 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	131.31	65.81 W	0.00	1497 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	131.29	65.79 W	0.00	1498 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	153.81	81.76 W	0.00	1499 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	155.06	83.01 W	0.00	1500 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	154.55	82.50 W	0.00	1501 of 5295 - Sale <sup>25</sup>
	14.000	91.70	05/18/20	197.83	106.13 W	0.00	1502 of 5295 - Sale <sup>25</sup>
	16.000	104.80	05/18/20	222.80	118.00 W	0.00	1503 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.16	7.61 W	0.00	1504 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.14	7.59 W	0.00	1505 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.69	8.14 W	0.00	1506 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.72	8.17 W	0.00	1507 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.51	7.96 W	0.00	1508 of 5295 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.55	05/18/20	14.51	7.96 W	0.00	1509 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.54	7.99 W	0.00	1510 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	15.83	9.28 W	0.00	1511 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	15.89	9.34 W	0.00	1512 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	15.77	9.22 W	0.00	1513 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	15.84	9.29 W	0.00	1514 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	15.82	9.27 W	0.00	1515 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	16.73	10.18 W	0.00	1516 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	27.45	14.35 W	0.00	1517 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	28.20	15.10 W	0.00	1518 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	29.39	16.29 W	0.00	1519 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	29.38	16.28 W	0.00	1520 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	33.30	20.20 W	0.00	1521 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	33.76	20.66 W	0.00	1522 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	41.18	21.53 W	0.00	1523 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	42.72	23.07 W	0.00	1524 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	44.16	24.51 W	0.00	1525 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	43.53	23.88 W	0.00	1526 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	47.71	28.06 W	0.00	1527 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	47.73	28.08 W	0.00	1528 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	47.50	27.85 W	0.00	1529 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	50.15	30.50 W	0.00	1530 of 5295 - Sale <sup>25</sup>
4.000		26.20	05/18/20	58.56	32.36 W	0.00	1531 of 5295 - Sale <sup>25</sup>
4.000		26.20	05/18/20	63.63	37.43 W	0.00	1532 of 5295 - Sale <sup>25</sup>
4.000		26.20	05/18/20	62.43	36.23 W	0.00	1533 of 5295 - Sale <sup>25</sup>
5.000		32.75	05/18/20	73.05	40.30 W	0.00	1534 of 5295 - Sale <sup>25</sup>
5.000		32.75	05/18/20	73.35	40.60 W	0.00	1535 of 5295 - Sale <sup>25</sup>
6.000		39.30	05/18/20	85.99	46.69 W	0.00	1536 of 5295 - Sale <sup>25</sup>
6.000		39.30	05/18/20	84.59	45.29 W	0.00	1537 of 5295 - Sale <sup>25</sup>
7.000		45.85	05/18/20	103.21	57.36 W	0.00	1538 of 5295 - Sale <sup>25</sup>
7.000		45.85	05/18/20	109.63	63.78 W	0.00	1539 of 5295 - Sale <sup>25</sup>
7.000		45.85	05/18/20	110.76	64.91 W	0.00	1540 of 5295 - Sale <sup>25</sup>
8.000		52.40	05/18/20	127.19	74.79 W	0.00	1541 of 5295 - Sale <sup>25</sup>
8.000		52.40	05/18/20	133.83	81.43 W	0.00	1542 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
9.000		58.95	05/18/20	128.09	69.14 W	0.00	1543 of 5295 - Sale <sup>25</sup>
11.000		72.05	05/18/20	157.61	85.56 W	0.00	1544 of 5295 - Sale <sup>25</sup>
11.000		72.05	05/18/20	162.32	90.27 W	0.00	1545 of 5295 - Sale <sup>25</sup>
11.000		72.05	05/18/20	183.12	111.07 W	0.00	1546 of 5295 - Sale <sup>25</sup>
12.000		78.60	05/18/20	169.06	90.46 W	0.00	1547 of 5295 - Sale <sup>25</sup>
12.000		78.60	05/18/20	200.22	121.62 W	0.00	1548 of 5295 - Sale <sup>25</sup>
14.000		91.70	05/18/20	221.70	130.00 W	0.00	1549 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	16.95	10.40 W	0.00	1550 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	16.97	10.42 W	0.00	1551 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.00	10.45 W	0.00	1552 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.28	10.73 W	0.00	1553 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	34.05	20.95 W	0.00	1554 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	33.80	20.70 W	0.00	1555 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	50.69	31.04 W	0.00	1556 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	50.21	30.56 W	0.00	1557 of 5295 - Sale <sup>25</sup>
5.000		32.75	05/18/20	85.04	52.29 W	0.00	1558 of 5295 - Sale <sup>25</sup>
6.000		39.30	05/18/20	102.11	62.81 W	0.00	1559 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	16.92	10.37 W	0.00	1560 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	16.89	10.34 W	0.00	1561 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.16	10.61 W	0.00	1562 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	34.15	21.05 W	0.00	1563 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	50.71	31.06 W	0.00	1564 of 5295 - Sale <sup>25</sup>
4.000		26.20	05/18/20	68.29	42.09 W	0.00	1565 of 5295 - Sale <sup>25</sup>
8.000		52.40	05/18/20	137.35	84.95 W	0.00	1566 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.06	10.51 W	0.00	1567 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.13	10.58 W	0.00	1568 of 5295 - Sale <sup>25</sup>
3.000		19.65	05/18/20	51.52	31.87 W	0.00	1569 of 5295 - Sale <sup>25</sup>
5.000		32.75	05/18/20	85.01	52.26 W	0.00	1570 of 5295 - Sale <sup>25</sup>
10.000		65.50	05/18/20	171.86	106.36 W	0.00	1571 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.25	10.70 W	0.00	1572 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.39	10.84 W	0.00	1573 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.07	10.52 W	0.00	1574 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	17.05	10.50 W	0.00	1575 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	16.84	10.29 W	0.00	1576 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.55	05/18/20	16.76	10.21 W	0.00	1577 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	34.91	21.81 W	0.00	1578 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	34.88	21.78 W	0.00	1579 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	34.15	21.05 W	0.00	1580 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.80	32.15 W	0.00	1581 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	52.36	32.71 W	0.00	1582 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	52.35	32.70 W	0.00	1583 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	68.95	42.75 W	0.00	1584 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	68.86	42.66 W	0.00	1585 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	67.26	41.06 W	0.00	1586 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	190.84	118.79 W	0.00	1587 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	41.28	1.98 W	0.00	1588 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	68.30	2.80 W	0.00	1589 of 5295 - Sale <sup>25</sup>
	18.000	117.89	05/18/20	123.46	5.57 W	0.00	1590 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	68.30	2.80 W	0.00	1591 of 5295 - Sale <sup>25</sup>
	20.000	130.99	05/18/20	137.80	6.81 W	0.00	1592 of 5295 - Sale <sup>25</sup>
	200.000	1,309.91	05/18/20	1,384.00	74.09 W	0.00	1593 of 5295 - Sale <sup>25</sup>
	27.000	176.84	05/18/20	185.76	8.92 W	0.00	1594 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.82	10.27 W	0.00	1595 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.48	9.93 W	0.00	1596 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.55	10.00 W	0.00	1597 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.51	9.96 W	0.00	1598 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.56	10.01 W	0.00	1599 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.37	9.82 W	0.00	1600 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.16	10.61 W	0.00	1601 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.71	30.06 W	0.00	1602 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.68	30.03 W	0.00	1603 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	49.10	29.45 W	0.00	1604 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	48.47	28.82 W	0.00	1605 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.43	31.78 W	0.00	1606 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.51	31.86 W	0.00	1607 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.34	31.69 W	0.00	1608 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	68.74	42.54 W	0.00	1609 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	82.29	49.54 W	0.00	1610 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	32.75	05/18/20	85.87	53.12 W	0.00	1611 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	99.21	59.91 W	0.00	1612 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	103.05	63.75 W	0.00	1613 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	53.78	14.48 W	0.00	1614 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	53.52	14.22 W	0.00	1615 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	171.75	106.25 W	0.00	1616 of 5295 - Sale <sup>25</sup>
	11.000	72.05	05/18/20	182.27	110.22 W	0.00	1617 of 5295 - Sale <sup>25</sup>
	12.000	78.60	05/18/20	197.87	119.27 W	0.00	1618 of 5295 - Sale <sup>25</sup>
	21.000	137.54	05/18/20	187.76	50.22 W	0.00	1619 of 5295 - Sale <sup>25</sup>
	25.000	163.74	05/18/20	223.36	59.62 W	0.00	1620 of 5295 - Sale <sup>25</sup>
	28.000	183.39	05/18/20	250.17	66.78 W	0.00	1621 of 5295 - Sale <sup>25</sup>
	27.000	176.83	05/18/20	244.65	67.82 W	0.00	1622 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.47	10.92 W	0.00	1623 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.49	10.94 W	0.00	1624 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.09	10.54 W	0.00	1625 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.14	10.59 W	0.00	1626 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	34.25	21.15 W	0.00	1627 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.13	31.48 W	0.00	1628 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	53.01	33.36 W	0.00	1629 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	51.27	31.62 W	0.00	1630 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	70.57	44.37 W	0.00	1631 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	70.49	44.29 W	0.00	1632 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	68.31	42.11 W	0.00	1633 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	85.63	52.88 W	0.00	1634 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	121.64	75.79 W	0.00	1635 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	135.60	83.20 W	0.00	1636 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	152.20	93.25 W	0.00	1637 of 5295 - Sale <sup>25</sup>
	18.000	117.89	05/18/20	160.40	42.51 W	0.00	1638 of 5295 - Sale <sup>25</sup>
	34.000	222.69	05/18/20	301.57	78.88 W	0.00	1639 of 5295 - Sale <sup>25</sup>
	15.000	98.25	05/18/20	135.91	37.66 W	0.00	1640 of 5295 - Sale <sup>25</sup>
	50.000	327.49	05/18/20	447.04	119.55 W	0.00	1641 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.01	10.46 W	0.00	1642 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	17.06	10.51 W	0.00	1643 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.88	10.33 W	0.00	1644 of 5295 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.55	05/18/20	16.90	10.35 W	0.00	1645 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.97	10.42 W	0.00	1646 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.85	10.30 W	0.00	1647 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.78	10.23 W	0.00	1648 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.78	10.23 W	0.00	1649 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.86	20.76 W	0.00	1650 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.81	20.71 W	0.00	1651 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.93	20.83 W	0.00	1652 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.69	20.59 W	0.00	1653 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	68.02	41.82 W	0.00	1654 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	67.94	41.74 W	0.00	1655 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	84.40	51.65 W	0.00	1656 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	84.96	52.21 W	0.00	1657 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	83.93	51.18 W	0.00	1658 of 5295 - Sale <sup>25</sup>
	11.000	72.04	05/18/20	184.72	112.68 W	0.00	1659 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.76	10.21 W	0.00	1660 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.73	10.18 W	0.00	1661 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.08	9.53 W	0.00	1662 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	16.16	9.61 W	0.00	1663 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	33.45	20.35 W	0.00	1664 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	32.27	19.17 W	0.00	1665 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	31.84	18.74 W	0.00	1666 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	48.24	28.59 W	0.00	1667 of 5295 - Sale <sup>25</sup>
	3.000	19.64	05/18/20	48.34	28.70 W	0.00	1668 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	47.74	28.09 W	0.00	1669 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	66.79	40.59 W	0.00	1670 of 5295 - Sale <sup>25</sup>
	9.000	58.94	05/18/20	152.70	93.76 W	0.00	1671 of 5295 - Sale <sup>25</sup>
	3.000	19.64	05/18/20	47.92	28.28 W	0.00	1672 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.99	9.46 W	0.00	1673 of 5295 - Sale <sup>25</sup>
	14.000	91.42	05/18/20	223.62	132.20 W	0.00	1674 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.85	9.32 W	0.00	1675 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.81	9.28 W	0.00	1676 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	31.80	18.74 W	0.00	1677 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	31.41	18.35 W	0.00	1678 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.06	05/18/20	30.95	17.89 W	0.00	1679 of 5295 - Sale <sup>25</sup>
	4.000	26.12	05/18/20	63.80	37.68 W	0.00	1680 of 5295 - Sale <sup>25</sup>
	8.000	52.24	05/18/20	126.51	74.27 W	0.00	1681 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.49	8.96 W	0.00	1682 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.51	8.98 W	0.00	1683 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	16.13	9.60 W	0.00	1684 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	16.15	9.62 W	0.00	1685 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	16.09	9.56 W	0.00	1686 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.01	8.48 W	0.00	1687 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.04	8.51 W	0.00	1688 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.86	8.33 W	0.00	1689 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.67	8.14 W	0.00	1690 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	32.25	19.19 W	0.00	1691 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	32.70	19.64 W	0.00	1692 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	29.92	16.86 W	0.00	1693 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	29.30	16.24 W	0.00	1694 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	27.37	14.31 W	0.00	1695 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	49.19	29.60 W	0.00	1696 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	44.14	24.55 W	0.00	1697 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	41.17	21.58 W	0.00	1698 of 5295 - Sale <sup>25</sup>
	4.000	26.12	05/18/20	59.79	33.67 W	0.00	1699 of 5295 - Sale <sup>25</sup>
	4.000	26.12	05/18/20	54.74	28.62 W	0.00	1700 of 5295 - Sale <sup>25</sup>
	5.000	32.65	05/18/20	80.09	47.44 W	0.00	1701 of 5295 - Sale <sup>25</sup>
	5.000	32.65	05/18/20	81.96	49.31 W	0.00	1702 of 5295 - Sale <sup>25</sup>
	8.000	52.24	05/18/20	118.01	65.77 W	0.00	1703 of 5295 - Sale <sup>25</sup>
	9.000	58.77	05/18/20	145.18	86.41 W	0.00	1704 of 5295 - Sale <sup>25</sup>
	9.000	58.77	05/18/20	123.86	65.09 W	0.00	1705 of 5295 - Sale <sup>25</sup>
	13.000	84.88	05/18/20	177.89	93.01 W	0.00	1706 of 5295 - Sale <sup>25</sup>
	15.000	97.94	05/18/20	205.56	107.62 W	0.00	1707 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	13.68	7.15 W	0.00	1708 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	13.68	7.15 W	0.00	1709 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.05	8.52 W	0.00	1710 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.41	8.88 W	0.00	1711 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	45.80	26.21 W	0.00	1712 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.59	05/18/20	46.14	26.55 W	0.00	1713 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	46.13	26.54 W	0.00	1714 of 5295 - Sale <sup>25</sup>
	7.000	45.71	05/18/20	106.92	61.21 W	0.00	1715 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	15.25	8.72 W	0.00	1716 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	29.92	16.86 W	0.00	1717 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	44.78	25.19 W	0.00	1718 of 5295 - Sale <sup>25</sup>
	6.000	39.18	05/18/20	91.51	52.33 W	0.00	1719 of 5295 - Sale <sup>25</sup>
	8.000	52.23	05/18/20	118.98	66.75 W	0.00	1720 of 5295 - Sale <sup>25</sup>
	9.000	58.76	05/18/20	132.11	73.35 W	0.00	1721 of 5295 - Sale <sup>25</sup>
	11.000	71.82	05/18/20	163.59	91.77 W	0.00	1722 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	43.60	24.01 W	0.00	1723 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	29.01	15.95 W	0.00	1724 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.33	7.80 W	0.00	1725 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	28.80	15.74 W	0.00	1726 of 5295 - Sale <sup>25</sup>
	12.000	78.36	05/18/20	174.05	95.69 W	0.00	1727 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.35	7.82 W	0.00	1728 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.42	7.89 W	0.00	1729 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.40	7.87 W	0.00	1730 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.37	7.84 W	0.00	1731 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.25	7.72 W	0.00	1732 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	28.56	15.50 W	0.00	1733 of 5295 - Sale <sup>25</sup>
	5.000	32.65	05/18/20	71.72	39.07 W	0.00	1734 of 5295 - Sale <sup>25</sup>
	8.000	52.24	05/18/20	114.23	61.99 W	0.00	1735 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	14.04	7.51 W	0.00	1736 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	28.27	15.21 W	0.00	1737 of 5295 - Sale <sup>25</sup>
	3.000	19.59	05/18/20	42.61	23.02 W	0.00	1738 of 5295 - Sale <sup>25</sup>
	4.000	26.12	05/18/20	56.82	30.70 W	0.00	1739 of 5295 - Sale <sup>25</sup>
	5.000	32.65	05/18/20	70.93	38.28 W	0.00	1740 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.61	7.48 W	0.00	1741 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.60	7.47 W	0.00	1742 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.73	7.60 W	0.00	1743 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.74	7.61 W	0.00	1744 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.74	7.61 W	0.00	1745 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.76	7.63 W	0.00	1746 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.13	05/18/20	13.83	7.70 W	0.00	1747 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.74	7.61 W	0.00	1748 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.65	7.52 W	0.00	1749 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.51	7.38 W	0.00	1750 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.66	7.53 W	0.00	1751 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.39	7.26 W	0.00	1752 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	13.76	7.63 W	0.00	1753 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	14.28	8.15 W	0.00	1754 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	14.31	8.18 W	0.00	1755 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	14.33	8.20 W	0.00	1756 of 5295 - Sale <sup>25</sup>
	1.000	6.13	05/18/20	14.01	7.88 W	0.00	1757 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	27.26	15.00 W	0.00	1758 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	27.27	15.01 W	0.00	1759 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	27.06	14.80 W	0.00	1760 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	25.66	13.40 W	0.00	1761 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	26.80	14.54 W	0.00	1762 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	28.85	16.59 W	0.00	1763 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	27.91	15.65 W	0.00	1764 of 5295 - Sale <sup>25</sup>
	3.000	18.39	05/18/20	41.03	22.64 W	0.00	1765 of 5295 - Sale <sup>25</sup>
	3.000	18.39	05/18/20	40.52	22.13 W	0.00	1766 of 5295 - Sale <sup>25</sup>
	3.000	18.39	05/18/20	40.92	22.53 W	0.00	1767 of 5295 - Sale <sup>25</sup>
	3.000	18.39	05/18/20	41.15	22.76 W	0.00	1768 of 5295 - Sale <sup>25</sup>
	3.000	18.39	05/18/20	41.14	22.75 W	0.00	1769 of 5295 - Sale <sup>25</sup>
	3.000	18.39	05/18/20	41.08	22.69 W	0.00	1770 of 5295 - Sale <sup>25</sup>
	3.000	18.39	05/18/20	42.05	23.66 W	0.00	1771 of 5295 - Sale <sup>25</sup>
	5.000	30.65	05/18/20	68.19	37.54 W	0.00	1772 of 5295 - Sale <sup>25</sup>
	5.000	30.65	05/18/20	68.28	37.63 W	0.00	1773 of 5295 - Sale <sup>25</sup>
	5.000	30.65	05/18/20	68.01	37.36 W	0.00	1774 of 5295 - Sale <sup>25</sup>
	5.000	30.65	05/18/20	64.40	33.75 W	0.00	1775 of 5295 - Sale <sup>25</sup>
	5.000	30.65	05/18/20	65.53	34.88 W	0.00	1776 of 5295 - Sale <sup>25</sup>
	5.000	30.64	05/18/20	69.70	39.06 W	0.00	1777 of 5295 - Sale <sup>25</sup>
	2.000	12.26	05/18/20	27.52	15.26 W	0.00	1778 of 5295 - Sale <sup>25</sup>
	6.000	36.78	05/18/20	82.20	45.42 W	0.00	1779 of 5295 - Sale <sup>25</sup>
	6.000	36.78	05/18/20	82.21	45.43 W	0.00	1780 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	42.91	05/18/20	95.85	52.94 W	0.00	1781 of 5295 - Sale <sup>25</sup>
	7.000	42.91	05/18/20	96.89	53.98 W	0.00	1782 of 5295 - Sale <sup>25</sup>
	7.000	42.91	05/18/20	96.36	53.45 W	0.00	1783 of 5295 - Sale <sup>25</sup>
	8.000	49.03	05/18/20	116.34	67.31 W	0.00	1784 of 5295 - Sale <sup>25</sup>
	9.000	55.17	05/18/20	122.95	67.78 W	0.00	1785 of 5295 - Sale <sup>25</sup>
	9.000	55.17	05/18/20	123.77	68.60 W	0.00	1786 of 5295 - Sale <sup>25</sup>
	9.000	55.17	05/18/20	125.68	70.51 W	0.00	1787 of 5295 - Sale <sup>25</sup>
	10.000	61.29	05/18/20	144.88	83.59 W	0.00	1788 of 5295 - Sale <sup>25</sup>
	11.000	67.43	05/18/20	149.74	82.31 W	0.00	1789 of 5295 - Sale <sup>25</sup>
	11.000	67.42	05/18/20	150.99	83.57 W	0.00	1790 of 5295 - Sale <sup>25</sup>
	16.000	98.07	05/18/20	216.88	118.81 W	0.00	1791 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	13.68	7.46 W	0.00	1792 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	13.92	7.70 W	0.00	1793 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	13.91	7.69 W	0.00	1794 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	13.98	7.76 W	0.00	1795 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	13.97	7.75 W	0.00	1796 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	13.97	7.75 W	0.00	1797 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	12.80	6.58 W	0.00	1798 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	12.74	6.52 W	0.00	1799 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	12.74	6.52 W	0.00	1800 of 5295 - Sale <sup>25</sup>
	1.000	6.22	05/18/20	12.90	6.68 W	0.00	1801 of 5295 - Sale <sup>25</sup>
	2.000	12.44	05/18/20	27.94	15.50 W	0.00	1802 of 5295 - Sale <sup>25</sup>
	2.000	12.44	05/18/20	27.94	15.50 W	0.00	1803 of 5295 - Sale <sup>25</sup>
	2.000	12.44	05/18/20	28.13	15.69 W	0.00	1804 of 5295 - Sale <sup>25</sup>
	3.000	18.66	05/18/20	41.78	23.12 W	0.00	1805 of 5295 - Sale <sup>25</sup>
	3.000	18.66	05/18/20	41.76	23.10 W	0.00	1806 of 5295 - Sale <sup>25</sup>
	3.000	18.66	05/18/20	41.33	22.67 W	0.00	1807 of 5295 - Sale <sup>25</sup>
	4.000	24.88	05/18/20	56.20	31.32 W	0.00	1808 of 5295 - Sale <sup>25</sup>
	4.000	24.88	05/18/20	55.92	31.04 W	0.00	1809 of 5295 - Sale <sup>25</sup>
	4.000	24.88	05/18/20	55.09	30.21 W	0.00	1810 of 5295 - Sale <sup>25</sup>
	4.000	24.88	05/18/20	56.45	31.57 W	0.00	1811 of 5295 - Sale <sup>25</sup>
	3.000	18.66	05/18/20	41.27	22.61 W	0.00	1812 of 5295 - Sale <sup>25</sup>
	5.000	31.11	05/18/20	68.40	37.29 W	0.00	1813 of 5295 - Sale <sup>25</sup>
	5.000	31.11	05/18/20	69.82	38.71 W	0.00	1814 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	31.11	05/18/20	69.66	38.55 W	0.00	1815 of 5295 - Sale <sup>25</sup>
	5.000	31.11	05/18/20	70.52	39.41 W	0.00	1816 of 5295 - Sale <sup>25</sup>
	5.000	31.10	05/18/20	64.07	32.97 W	0.00	1817 of 5295 - Sale <sup>25</sup>
	6.000	37.33	05/18/20	83.46	46.13 W	0.00	1818 of 5295 - Sale <sup>25</sup>
	6.000	37.33	05/18/20	84.21	46.88 W	0.00	1819 of 5295 - Sale <sup>25</sup>
	6.000	37.33	05/18/20	83.83	46.50 W	0.00	1820 of 5295 - Sale <sup>25</sup>
	6.000	37.33	05/18/20	83.82	46.49 W	0.00	1821 of 5295 - Sale <sup>25</sup>
	6.000	37.33	05/18/20	78.78	41.45 W	0.00	1822 of 5295 - Sale <sup>25</sup>
	6.000	37.33	05/18/20	78.05	40.72 W	0.00	1823 of 5295 - Sale <sup>25</sup>
	6.000	37.33	05/18/20	78.28	40.95 W	0.00	1824 of 5295 - Sale <sup>25</sup>
	7.000	43.55	05/18/20	96.31	52.76 W	0.00	1825 of 5295 - Sale <sup>25</sup>
	7.000	43.55	05/18/20	98.79	55.24 W	0.00	1826 of 5295 - Sale <sup>25</sup>
	7.000	43.55	05/18/20	98.50	54.95 W	0.00	1827 of 5295 - Sale <sup>25</sup>
	8.000	49.77	05/18/20	104.23	54.46 W	0.00	1828 of 5295 - Sale <sup>25</sup>
	9.000	55.99	05/18/20	126.62	70.63 W	0.00	1829 of 5295 - Sale <sup>25</sup>
	9.000	55.99	05/18/20	117.76	61.77 W	0.00	1830 of 5295 - Sale <sup>25</sup>
	10.000	62.21	05/18/20	137.75	75.54 W	0.00	1831 of 5295 - Sale <sup>25</sup>
	7.000	43.55	05/18/20	89.33	45.78 W	0.00	1832 of 5295 - Sale <sup>25</sup>
	11.000	68.43	05/18/20	150.48	82.05 W	0.00	1833 of 5295 - Sale <sup>25</sup>
	14.000	87.09	05/18/20	192.65	105.56 W	0.00	1834 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	17.07	10.82 W	0.00	1835 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	17.09	10.84 W	0.00	1836 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.69	10.44 W	0.00	1837 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.74	10.49 W	0.00	1838 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.61	10.36 W	0.00	1839 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.66	10.41 W	0.00	1840 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.48	10.23 W	0.00	1841 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.50	10.25 W	0.00	1842 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.57	10.32 W	0.00	1843 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.45	10.20 W	0.00	1844 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.38	10.13 W	0.00	1845 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.38	10.13 W	0.00	1846 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.36	10.11 W	0.00	1847 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	16.33	10.08 W	0.00	1848 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.25	05/18/20	15.68	9.43 W	0.00	1849 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.76	9.51 W	0.00	1850 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.61	9.36 W	0.00	1851 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.47	9.22 W	0.00	1852 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.43	9.18 W	0.00	1853 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.11	8.86 W	0.00	1854 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.13	8.88 W	0.00	1855 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.75	9.50 W	0.00	1856 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.77	9.52 W	0.00	1857 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.71	9.46 W	0.00	1858 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.63	8.38 W	0.00	1859 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.66	8.41 W	0.00	1860 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.48	8.23 W	0.00	1861 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.29	8.04 W	0.00	1862 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.30	7.05 W	0.00	1863 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.30	7.05 W	0.00	1864 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.67	8.42 W	0.00	1865 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	15.03	8.78 W	0.00	1866 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.87	8.62 W	0.00	1867 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.95	7.70 W	0.00	1868 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.97	7.72 W	0.00	1869 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.04	7.79 W	0.00	1870 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.02	7.77 W	0.00	1871 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.99	7.74 W	0.00	1872 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.87	7.62 W	0.00	1873 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.66	7.41 W	0.00	1874 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.63	7.38 W	0.00	1875 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.62	7.37 W	0.00	1876 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.75	7.50 W	0.00	1877 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.76	7.51 W	0.00	1878 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.76	7.51 W	0.00	1879 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.78	7.53 W	0.00	1880 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.85	7.60 W	0.00	1881 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.76	7.51 W	0.00	1882 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.25	05/18/20	13.67	7.42 W	0.00	1883 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.53	7.28 W	0.00	1884 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.68	7.43 W	0.00	1885 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.41	7.16 W	0.00	1886 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.78	7.53 W	0.00	1887 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.30	8.05 W	0.00	1888 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.33	8.08 W	0.00	1889 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.35	8.10 W	0.00	1890 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	14.03	7.78 W	0.00	1891 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.61	7.36 W	0.00	1892 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.85	7.60 W	0.00	1893 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.84	7.59 W	0.00	1894 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.91	7.66 W	0.00	1895 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.90	7.65 W	0.00	1896 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.90	7.65 W	0.00	1897 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	12.73	6.48 W	0.00	1898 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	12.67	6.42 W	0.00	1899 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	12.67	6.42 W	0.00	1900 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	12.83	6.58 W	0.00	1901 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.45	20.95 W	0.00	1902 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.06	20.56 W	0.00	1903 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.01	20.51 W	0.00	1904 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.13	20.63 W	0.00	1905 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	32.89	20.39 W	0.00	1906 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	32.65	20.15 W	0.00	1907 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	31.47	18.97 W	0.00	1908 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	31.04	18.54 W	0.00	1909 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	31.04	18.54 W	0.00	1910 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	30.65	18.15 W	0.00	1911 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	30.19	17.69 W	0.00	1912 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	31.49	18.99 W	0.00	1913 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	31.94	19.44 W	0.00	1914 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	29.16	16.66 W	0.00	1915 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	28.54	16.04 W	0.00	1916 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.50	05/18/20	26.61	14.11 W	0.00	1917 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	29.16	16.66 W	0.00	1918 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	28.25	15.75 W	0.00	1919 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	28.04	15.54 W	0.00	1920 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.80	15.30 W	0.00	1921 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.51	15.01 W	0.00	1922 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.30	14.80 W	0.00	1923 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.31	14.81 W	0.00	1924 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.10	14.60 W	0.00	1925 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	25.70	13.20 W	0.00	1926 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	26.84	14.34 W	0.00	1927 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	28.89	16.39 W	0.00	1928 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.95	15.45 W	0.00	1929 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.56	15.06 W	0.00	1930 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.80	15.30 W	0.00	1931 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.80	15.30 W	0.00	1932 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.99	15.49 W	0.00	1933 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	49.93	31.18 W	0.00	1934 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	51.81	33.06 W	0.00	1935 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	50.07	31.32 W	0.00	1936 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	47.04	28.29 W	0.00	1937 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	47.15	28.40 W	0.00	1938 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	46.54	27.79 W	0.00	1939 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	46.73	27.98 W	0.00	1940 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	48.05	29.30 W	0.00	1941 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	43.00	24.25 W	0.00	1942 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	40.03	21.28 W	0.00	1943 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	44.66	25.91 W	0.00	1944 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	45.00	26.25 W	0.00	1945 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	44.99	26.24 W	0.00	1946 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	43.64	24.89 W	0.00	1947 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	42.46	23.71 W	0.00	1948 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.47	22.72 W	0.00	1949 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.09	22.34 W	0.00	1950 of 5295 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	18.75	05/18/20	40.58	21.83 W	0.00	1951 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	40.98	22.23 W	0.00	1952 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.21	22.46 W	0.00	1953 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.20	22.45 W	0.00	1954 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.14	22.39 W	0.00	1955 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	42.11	23.36 W	0.00	1956 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.06	22.31 W	0.00	1957 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.57	22.82 W	0.00	1958 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.55	22.80 W	0.00	1959 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.12	22.37 W	0.00	1960 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	68.97	43.97 W	0.00	1961 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	68.89	43.89 W	0.00	1962 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	66.71	41.71 W	0.00	1963 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	66.42	41.42 W	0.00	1964 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	66.34	41.34 W	0.00	1965 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	65.19	40.19 W	0.00	1966 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	62.28	37.28 W	0.00	1967 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	58.27	33.27 W	0.00	1968 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	53.22	28.22 W	0.00	1969 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	55.30	30.30 W	0.00	1970 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	55.92	30.92 W	0.00	1971 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	55.64	30.64 W	0.00	1972 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	54.81	29.81 W	0.00	1973 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	56.17	31.17 W	0.00	1974 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	83.63	52.38 W	0.00	1975 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	82.40	51.15 W	0.00	1976 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	82.96	51.71 W	0.00	1977 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	81.93	50.68 W	0.00	1978 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	78.19	46.94 W	0.00	1979 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	80.06	48.81 W	0.00	1980 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	69.82	38.57 W	0.00	1981 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	69.03	37.78 W	0.00	1982 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	68.29	37.04 W	0.00	1983 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	68.38	37.13 W	0.00	1984 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	31.25	05/18/20	68.11	36.86 W	0.00	1985 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	64.50	33.25 W	0.00	1986 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	65.63	34.38 W	0.00	1987 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	69.81	38.56 W	0.00	1988 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	68.04	36.79 W	0.00	1989 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	69.46	38.21 W	0.00	1990 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	69.30	38.05 W	0.00	1991 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	70.16	38.91 W	0.00	1992 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	63.72	32.47 W	0.00	1993 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	89.23	51.73 W	0.00	1994 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	82.32	44.82 W	0.00	1995 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	82.33	44.83 W	0.00	1996 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	83.03	45.53 W	0.00	1997 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	83.78	46.28 W	0.00	1998 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	83.40	45.90 W	0.00	1999 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	83.39	45.89 W	0.00	2000 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	78.35	40.85 W	0.00	2001 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	77.62	40.12 W	0.00	2002 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	77.85	40.35 W	0.00	2003 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	118.84	75.09 W	0.00	2004 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	104.26	60.51 W	0.00	2005 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	95.99	52.24 W	0.00	2006 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	97.03	53.28 W	0.00	2007 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	96.50	52.75 W	0.00	2008 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	95.81	52.06 W	0.00	2009 of 5295 - Sale <sup>25</sup>
	7.000	43.74	05/18/20	98.29	54.55 W	0.00	2010 of 5295 - Sale <sup>25</sup>
	7.000	43.74	05/18/20	98.00	54.26 W	0.00	2011 of 5295 - Sale <sup>25</sup>
	7.000	43.74	05/18/20	88.83	45.09 W	0.00	2012 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	132.40	82.40 W	0.00	2013 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	123.47	73.47 W	0.00	2014 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	114.97	64.97 W	0.00	2015 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	115.95	65.95 W	0.00	2016 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	111.19	61.19 W	0.00	2017 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	116.51	66.51 W	0.00	2018 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	49.99	05/18/20	103.66	53.67 W	0.00	2019 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	148.60	92.35 W	0.00	2020 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	149.11	92.86 W	0.00	2021 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	141.76	85.51 W	0.00	2022 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	120.44	64.19 W	0.00	2023 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	128.70	72.45 W	0.00	2024 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	123.13	66.88 W	0.00	2025 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	123.95	67.70 W	0.00	2026 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	125.86	69.61 W	0.00	2027 of 5295 - Sale <sup>25</sup>
	9.000	56.24	05/18/20	125.98	69.74 W	0.00	2028 of 5295 - Sale <sup>25</sup>
	9.000	56.24	05/18/20	117.12	60.88 W	0.00	2029 of 5295 - Sale <sup>25</sup>
	10.000	62.49	05/18/20	145.09	82.60 W	0.00	2030 of 5295 - Sale <sup>25</sup>
	10.000	62.49	05/18/20	137.04	74.55 W	0.00	2031 of 5295 - Sale <sup>25</sup>
	11.000	68.75	05/18/20	180.33	111.58 W	0.00	2032 of 5295 - Sale <sup>25</sup>
	11.000	68.75	05/18/20	159.42	90.67 W	0.00	2033 of 5295 - Sale <sup>25</sup>
	11.000	68.74	05/18/20	149.96	81.22 W	0.00	2034 of 5295 - Sale <sup>25</sup>
	11.000	68.74	05/18/20	151.22	82.48 W	0.00	2035 of 5295 - Sale <sup>25</sup>
	11.000	68.74	05/18/20	149.70	80.96 W	0.00	2036 of 5295 - Sale <sup>25</sup>
	12.000	74.99	05/18/20	169.49	94.50 W	0.00	2037 of 5295 - Sale <sup>25</sup>
	13.000	81.24	05/18/20	172.96	91.72 W	0.00	2038 of 5295 - Sale <sup>25</sup>
	14.000	87.49	05/18/20	218.30	130.81 W	0.00	2039 of 5295 - Sale <sup>25</sup>
	14.000	87.49	05/18/20	191.66	104.17 W	0.00	2040 of 5295 - Sale <sup>25</sup>
	15.000	93.74	05/18/20	129.91	36.17 W	0.00	2041 of 5295 - Sale <sup>25</sup>
	15.000	93.74	05/18/20	199.87	106.13 W	0.00	2042 of 5295 - Sale <sup>25</sup>
	16.000	99.99	05/18/20	217.21	117.22 W	0.00	2043 of 5295 - Sale <sup>25</sup>
	17.000	106.24	05/18/20	145.20	38.96 W	0.00	2044 of 5295 - Sale <sup>25</sup>
	18.000	112.49	05/18/20	153.21	40.72 W	0.00	2045 of 5295 - Sale <sup>25</sup>
	27.000	168.74	05/18/20	233.87	65.13 W	0.00	2046 of 5295 - Sale <sup>25</sup>
	34.000	212.49	05/18/20	287.98	75.49 W	0.00	2047 of 5295 - Sale <sup>25</sup>
	50.000	312.48	05/18/20	427.05	114.57 W	0.00	2048 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.04	6.79 W	0.00	2049 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.04	6.79 W	0.00	2050 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.92	7.67 W	0.00	2051 of 5295 - Sale <sup>25</sup>
	1.000	6.25	05/18/20	13.99	7.74 W	0.00	2052 of 5295 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.25	05/18/20	13.79	7.54 W	0.00	2053 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	13.77	7.52 W	0.00	2054 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	14.32	8.07 W	0.00	2055 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	14.35	8.10 W	0.00	2056 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	14.14	7.89 W	0.00	2057 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	14.14	7.89 W	0.00	2058 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	14.17	7.92 W	0.00	2059 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	15.46	9.21 W	0.00	2060 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	15.52	9.27 W	0.00	2061 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	15.40	9.15 W	0.00	2062 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	15.47	9.22 W	0.00	2063 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	15.45	9.20 W	0.00	2064 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.36	10.11 W	0.00	2065 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.55	10.30 W	0.00	2066 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.52	10.27 W	0.00	2067 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.79	10.54 W	0.00	2068 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.69	10.44 W	0.00	2069 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.76	10.51 W	0.00	2070 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.58	10.33 W	0.00	2071 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.60	10.35 W	0.00	2072 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.63	10.38 W	0.00	2073 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.91	10.66 W	0.00	2074 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.88	10.63 W	0.00	2075 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	17.02	10.77 W	0.00	2076 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.70	10.45 W	0.00	2077 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.68	10.43 W	0.00	2078 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.47	10.22 W	0.00	2079 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.39	10.14 W	0.00	2080 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.45	10.20 W	0.00	2081 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.11	9.86 W	0.00	2082 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.18	9.93 W	0.00	2083 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.14	9.89 W	0.00	2084 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.19	9.94 W	0.00	2085 of 5295 - Sale <sup>25</sup>
1.000		6.25	05/18/20	16.00	9.75 W	0.00	2086 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.25	05/18/20	16.79	10.54 W	0.00	2087 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	26.00	13.50 W	0.00	2088 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	25.81	13.31 W	0.00	2089 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	25.74	13.24 W	0.00	2090 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	26.09	13.59 W	0.00	2091 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.92	15.42 W	0.00	2092 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.92	15.42 W	0.00	2093 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	26.71	14.21 W	0.00	2094 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	27.46	14.96 W	0.00	2095 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	28.65	16.15 W	0.00	2096 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	28.64	16.14 W	0.00	2097 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	32.56	20.06 W	0.00	2098 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.02	20.52 W	0.00	2099 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.41	20.91 W	0.00	2100 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.31	20.81 W	0.00	2101 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.06	20.56 W	0.00	2102 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	34.17	21.67 W	0.00	2103 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	34.14	21.64 W	0.00	2104 of 5295 - Sale <sup>25</sup>
	2.000	12.50	05/18/20	33.41	20.91 W	0.00	2105 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	38.25	19.50 W	0.00	2106 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	38.56	19.81 W	0.00	2107 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	38.62	19.87 W	0.00	2108 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	42.06	23.31 W	0.00	2109 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.89	23.14 W	0.00	2110 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	40.07	21.32 W	0.00	2111 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	41.61	22.86 W	0.00	2112 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	43.05	24.30 W	0.00	2113 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	42.42	23.67 W	0.00	2114 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	46.60	27.85 W	0.00	2115 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	46.62	27.87 W	0.00	2116 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	46.39	27.64 W	0.00	2117 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	49.04	30.29 W	0.00	2118 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	49.60	30.85 W	0.00	2119 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	50.41	31.66 W	0.00	2120 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	18.75	05/18/20	49.58	30.83 W	0.00	2121 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	49.10	30.35 W	0.00	2122 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	50.69	31.94 W	0.00	2123 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	51.25	32.50 W	0.00	2124 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	51.24	32.49 W	0.00	2125 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	48.60	29.85 W	0.00	2126 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	48.57	29.82 W	0.00	2127 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	47.99	29.24 W	0.00	2128 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	47.36	28.61 W	0.00	2129 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	50.32	31.57 W	0.00	2130 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	50.40	31.65 W	0.00	2131 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	50.23	31.48 W	0.00	2132 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	50.92	25.92 W	0.00	2133 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	51.60	26.60 W	0.00	2134 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	52.17	27.17 W	0.00	2135 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	55.92	30.92 W	0.00	2136 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	57.08	32.08 W	0.00	2137 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	62.15	37.15 W	0.00	2138 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	60.95	35.95 W	0.00	2139 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	66.81	41.81 W	0.00	2140 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	67.47	42.47 W	0.00	2141 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	67.38	42.38 W	0.00	2142 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	65.78	40.78 W	0.00	2143 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	67.26	42.26 W	0.00	2144 of 5295 - Sale <sup>25</sup>
	4.000	25.00	05/18/20	34.29	9.29 W	0.00	2145 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	64.77	33.52 W	0.00	2146 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	71.20	39.95 W	0.00	2147 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	71.50	40.25 W	0.00	2148 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	83.16	51.91 W	0.00	2149 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	83.19	51.94 W	0.00	2150 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	80.44	49.19 W	0.00	2151 of 5295 - Sale <sup>25</sup>
	5.000	31.25	05/18/20	84.02	52.77 W	0.00	2152 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	78.01	40.51 W	0.00	2153 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	84.28	46.78 W	0.00	2154 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	37.50	05/18/20	83.77	46.27 W	0.00	2155 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	82.37	44.87 W	0.00	2156 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	99.89	62.39 W	0.00	2157 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	39.06	1.56 W	0.00	2158 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	96.99	59.49 W	0.00	2159 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	100.83	63.33 W	0.00	2160 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	51.56	14.06 W	0.00	2161 of 5295 - Sale <sup>25</sup>
	6.000	37.50	05/18/20	51.30	13.80 W	0.00	2162 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	100.62	56.87 W	0.00	2163 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	107.04	63.29 W	0.00	2164 of 5295 - Sale <sup>25</sup>
	7.000	43.75	05/18/20	108.17	64.42 W	0.00	2165 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	111.70	61.70 W	0.00	2166 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	124.23	74.23 W	0.00	2167 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	130.87	80.87 W	0.00	2168 of 5295 - Sale <sup>25</sup>
	8.000	50.00	05/18/20	134.39	84.39 W	0.00	2169 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	126.56	70.31 W	0.00	2170 of 5295 - Sale <sup>25</sup>
	9.000	56.25	05/18/20	124.76	68.51 W	0.00	2171 of 5295 - Sale <sup>25</sup>
	3.000	18.75	05/18/20	38.28	19.53 W	0.00	2172 of 5295 - Sale <sup>25</sup>
	10.000	62.50	05/18/20	127.59	65.09 W	0.00	2173 of 5295 - Sale <sup>25</sup>
	10.000	62.50	05/18/20	168.16	105.66 W	0.00	2174 of 5295 - Sale <sup>25</sup>
	10.000	62.50	05/18/20	64.60	2.10 W	0.00	2175 of 5295 - Sale <sup>25</sup>
	10.000	62.50	05/18/20	64.60	2.10 W	0.00	2176 of 5295 - Sale <sup>25</sup>
	10.000	62.50	05/18/20	168.05	105.55 W	0.00	2177 of 5295 - Sale <sup>25</sup>
	11.000	68.75	05/18/20	153.54	84.79 W	0.00	2178 of 5295 - Sale <sup>25</sup>
	11.000	68.75	05/18/20	158.25	89.50 W	0.00	2179 of 5295 - Sale <sup>25</sup>
	11.000	68.75	05/18/20	179.05	110.30 W	0.00	2180 of 5295 - Sale <sup>25</sup>
	11.000	68.75	05/18/20	186.77	118.02 W	0.00	2181 of 5295 - Sale <sup>25</sup>
	11.000	68.75	05/18/20	178.20	109.45 W	0.00	2182 of 5295 - Sale <sup>25</sup>
	12.000	75.00	05/18/20	164.62	89.62 W	0.00	2183 of 5295 - Sale <sup>25</sup>
	12.000	75.00	05/18/20	195.78	120.78 W	0.00	2184 of 5295 - Sale <sup>25</sup>
	12.000	75.00	05/18/20	193.43	118.43 W	0.00	2185 of 5295 - Sale <sup>25</sup>
	14.000	87.50	05/18/20	216.52	129.02 W	0.00	2186 of 5295 - Sale <sup>25</sup>
	18.000	112.49	05/18/20	116.81	4.32 W	0.00	2187 of 5295 - Sale <sup>25</sup>
	20.000	124.99	05/18/20	130.41	5.42 W	0.00	2188 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
25.000		156.24	05/18/20	214.12	57.88 W	0.00	2189 of 5295 - Sale <sup>25</sup>
27.000		168.74	05/18/20	175.78	7.04 W	0.00	2190 of 5295 - Sale <sup>25</sup>
28.000		174.99	05/18/20	239.82	64.83 W	0.00	2191 of 5295 - Sale <sup>25</sup>
200.000		1,249.93	05/18/20	1,310.09	60.16 W	0.00	2192 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	12.94	6.53 W	0.00	2193 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	12.94	6.53 W	0.00	2194 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	13.82	7.41 W	0.00	2195 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	13.89	7.48 W	0.00	2196 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	25.80	12.98 W	0.00	2197 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	25.61	12.79 W	0.00	2198 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	25.54	12.72 W	0.00	2199 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	25.89	13.07 W	0.00	2200 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	27.72	14.90 W	0.00	2201 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	27.72	14.90 W	0.00	2202 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	37.98	18.75 W	0.00	2203 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	37.95	18.72 W	0.00	2204 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	38.26	19.03 W	0.00	2205 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	38.32	19.09 W	0.00	2206 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	41.76	22.53 W	0.00	2207 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	41.59	22.36 W	0.00	2208 of 5295 - Sale <sup>25</sup>
4.000		25.64	05/18/20	50.52	24.88 W	0.00	2209 of 5295 - Sale <sup>25</sup>
4.000		25.64	05/18/20	51.20	25.56 W	0.00	2210 of 5295 - Sale <sup>25</sup>
4.000		25.64	05/18/20	51.77	26.13 W	0.00	2211 of 5295 - Sale <sup>25</sup>
4.000		25.64	05/18/20	55.52	29.88 W	0.00	2212 of 5295 - Sale <sup>25</sup>
5.000		32.05	05/18/20	64.27	32.22 W	0.00	2213 of 5295 - Sale <sup>25</sup>
6.000		38.46	05/18/20	77.41	38.95 W	0.00	2214 of 5295 - Sale <sup>25</sup>
6.000		38.46	05/18/20	83.68	45.22 W	0.00	2215 of 5295 - Sale <sup>25</sup>
6.000		38.46	05/18/20	83.17	44.71 W	0.00	2216 of 5295 - Sale <sup>25</sup>
8.000		51.28	05/18/20	110.90	59.62 W	0.00	2217 of 5295 - Sale <sup>25</sup>
9.000		57.69	05/18/20	125.66	67.97 W	0.00	2218 of 5295 - Sale <sup>25</sup>
10.000		64.10	05/18/20	126.59	62.49 W	0.00	2219 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	13.22	6.81 W	0.00	2220 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	13.65	7.24 W	0.00	2221 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	13.63	7.22 W	0.00	2222 of 5295 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.41	05/18/20	14.18	7.77 W	0.00	2223 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	14.21	7.80 W	0.00	2224 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	14.00	7.59 W	0.00	2225 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	14.00	7.59 W	0.00	2226 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	14.03	7.62 W	0.00	2227 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	15.32	8.91 W	0.00	2228 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	15.38	8.97 W	0.00	2229 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	26.43	13.61 W	0.00	2230 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	26.43	13.61 W	0.00	2231 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	27.18	14.36 W	0.00	2232 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	28.37	15.55 W	0.00	2233 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	28.36	15.54 W	0.00	2234 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	30.80	17.98 W	0.00	2235 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	41.19	21.96 W	0.00	2236 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	42.63	23.40 W	0.00	2237 of 5295 - Sale <sup>25</sup>
3.000		19.23	05/18/20	42.00	22.77 W	0.00	2238 of 5295 - Sale <sup>25</sup>
4.000		25.64	05/18/20	56.52	30.88 W	0.00	2239 of 5295 - Sale <sup>25</sup>
5.000		32.05	05/18/20	70.50	38.45 W	0.00	2240 of 5295 - Sale <sup>25</sup>
5.000		32.05	05/18/20	70.80	38.75 W	0.00	2241 of 5295 - Sale <sup>25</sup>
6.000		38.46	05/18/20	81.53	43.07 W	0.00	2242 of 5295 - Sale <sup>25</sup>
7.000		44.87	05/18/20	99.64	54.77 W	0.00	2243 of 5295 - Sale <sup>25</sup>
9.000		57.69	05/18/20	123.50	65.81 W	0.00	2244 of 5295 - Sale <sup>25</sup>
11.000		70.51	05/18/20	152.00	81.49 W	0.00	2245 of 5295 - Sale <sup>25</sup>
11.000		70.51	05/18/20	156.71	86.20 W	0.00	2246 of 5295 - Sale <sup>25</sup>
12.000		76.92	05/18/20	162.94	86.02 W	0.00	2247 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	15.26	8.85 W	0.00	2248 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	15.33	8.92 W	0.00	2249 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	15.31	8.90 W	0.00	2250 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	16.22	9.81 W	0.00	2251 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	16.41	10.00 W	0.00	2252 of 5295 - Sale <sup>25</sup>
1.000		6.41	05/18/20	16.38	9.97 W	0.00	2253 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	30.79	17.97 W	0.00	2254 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	32.28	19.46 W	0.00	2255 of 5295 - Sale <sup>25</sup>
2.000		12.82	05/18/20	32.74	19.92 W	0.00	2256 of 5295 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.82	05/18/20	33.13	20.31 W	0.00	2257 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	46.18	26.95 W	0.00	2258 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	46.20	26.97 W	0.00	2259 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	45.97	26.74 W	0.00	2260 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	48.62	29.39 W	0.00	2261 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	49.18	29.95 W	0.00	2262 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	60.39	34.75 W	0.00	2263 of 5295 - Sale <sup>25</sup>
	7.000	44.87	05/18/20	106.06	61.19 W	0.00	2264 of 5295 - Sale <sup>25</sup>
	7.000	44.87	05/18/20	107.19	62.32 W	0.00	2265 of 5295 - Sale <sup>25</sup>
	8.000	51.28	05/18/20	123.11	71.83 W	0.00	2266 of 5295 - Sale <sup>25</sup>
	8.000	51.28	05/18/20	129.75	78.47 W	0.00	2267 of 5295 - Sale <sup>25</sup>
	11.000	70.51	05/18/20	177.51	107.00 W	0.00	2268 of 5295 - Sale <sup>25</sup>
	12.000	76.92	05/18/20	194.10	117.18 W	0.00	2269 of 5295 - Sale <sup>25</sup>
	14.000	89.74	05/18/20	214.56	124.82 W	0.00	2270 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.90	10.49 W	0.00	2271 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.61	20.79 W	0.00	2272 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.62	20.80 W	0.00	2273 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.81	20.99 W	0.00	2274 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.80	10.39 W	0.00	2275 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.87	10.46 W	0.00	2276 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.69	10.28 W	0.00	2277 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.71	10.30 W	0.00	2278 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.74	10.33 W	0.00	2279 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	17.02	10.61 W	0.00	2280 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.99	10.58 W	0.00	2281 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	17.13	10.72 W	0.00	2282 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.81	10.40 W	0.00	2283 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.79	10.38 W	0.00	2284 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.58	10.17 W	0.00	2285 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.50	10.09 W	0.00	2286 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.56	10.15 W	0.00	2287 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.22	9.81 W	0.00	2288 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.53	20.71 W	0.00	2289 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.28	20.46 W	0.00	2290 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.82	05/18/20	34.39	21.57 W	0.00	2291 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	34.36	21.54 W	0.00	2292 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.63	20.81 W	0.00	2293 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	50.74	31.51 W	0.00	2294 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	49.91	30.68 W	0.00	2295 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	49.43	30.20 W	0.00	2296 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	51.02	31.79 W	0.00	2297 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	51.58	32.35 W	0.00	2298 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	51.57	32.34 W	0.00	2299 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	67.91	42.27 W	0.00	2300 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	67.82	42.18 W	0.00	2301 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	66.22	40.58 W	0.00	2302 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	83.71	51.66 W	0.00	2303 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	83.74	51.69 W	0.00	2304 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	101.45	62.99 W	0.00	2305 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	100.55	62.09 W	0.00	2306 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	39.72	1.26 W	0.00	2307 of 5295 - Sale <sup>25</sup>
	10.000	64.10	05/18/20	169.26	105.16 W	0.00	2308 of 5295 - Sale <sup>25</sup>
	10.000	64.10	05/18/20	65.70	1.60 W	0.00	2309 of 5295 - Sale <sup>25</sup>
	10.000	64.10	05/18/20	65.70	1.60 W	0.00	2310 of 5295 - Sale <sup>25</sup>
	11.000	70.51	05/18/20	187.98	117.47 W	0.00	2311 of 5295 - Sale <sup>25</sup>
	12.000	76.92	05/18/20	194.75	117.83 W	0.00	2312 of 5295 - Sale <sup>25</sup>
	18.000	115.38	05/18/20	118.80	3.42 W	0.00	2313 of 5295 - Sale <sup>25</sup>
	20.000	128.20	05/18/20	132.62	4.42 W	0.00	2314 of 5295 - Sale <sup>25</sup>
	27.000	173.06	05/18/20	178.76	5.70 W	0.00	2315 of 5295 - Sale <sup>25</sup>
	200.000	1,281.95	05/18/20	1,332.16	50.21 W	0.00	2316 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.29	9.88 W	0.00	2317 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.25	9.84 W	0.00	2318 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.30	9.89 W	0.00	2319 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.11	9.70 W	0.00	2320 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.90	10.49 W	0.00	2321 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	17.18	10.77 W	0.00	2322 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	17.20	10.79 W	0.00	2323 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.80	10.39 W	0.00	2324 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.41	05/18/20	16.85	10.44 W	0.00	2325 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.72	10.31 W	0.00	2326 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.77	10.36 W	0.00	2327 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.59	10.18 W	0.00	2328 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.61	10.20 W	0.00	2329 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.68	10.27 W	0.00	2330 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.56	10.15 W	0.00	2331 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.49	10.08 W	0.00	2332 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.67	20.85 W	0.00	2333 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.28	20.46 W	0.00	2334 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.23	20.41 W	0.00	2335 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.35	20.53 W	0.00	2336 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	33.11	20.29 W	0.00	2337 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	48.93	29.70 W	0.00	2338 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	48.90	29.67 W	0.00	2339 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	48.32	29.09 W	0.00	2340 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	47.69	28.46 W	0.00	2341 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	50.65	31.42 W	0.00	2342 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	50.73	31.50 W	0.00	2343 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	50.56	31.33 W	0.00	2344 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	50.26	31.03 W	0.00	2345 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	52.14	32.91 W	0.00	2346 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	50.40	31.17 W	0.00	2347 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	49.51	30.28 W	0.00	2348 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	67.70	42.06 W	0.00	2349 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	34.73	9.09 W	0.00	2350 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	69.41	43.77 W	0.00	2351 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	69.33	43.69 W	0.00	2352 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	67.15	41.51 W	0.00	2353 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	66.86	41.22 W	0.00	2354 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	66.78	41.14 W	0.00	2355 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	80.99	48.94 W	0.00	2356 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	84.57	52.52 W	0.00	2357 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	84.18	52.13 W	0.00	2358 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	32.05	05/18/20	82.95	50.90 W	0.00	2359 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	83.51	51.46 W	0.00	2360 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	82.48	50.43 W	0.00	2361 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	97.65	59.19 W	0.00	2362 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	101.49	63.03 W	0.00	2363 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	52.22	13.76 W	0.00	2364 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	51.96	13.50 W	0.00	2365 of 5295 - Sale <sup>25</sup>
	7.000	44.87	05/18/20	119.61	74.74 W	0.00	2366 of 5295 - Sale <sup>25</sup>
	8.000	51.28	05/18/20	133.28	82.00 W	0.00	2367 of 5295 - Sale <sup>25</sup>
	9.000	57.69	05/18/20	149.59	91.90 W	0.00	2368 of 5295 - Sale <sup>25</sup>
	10.000	64.10	05/18/20	169.15	105.05 W	0.00	2369 of 5295 - Sale <sup>25</sup>
	11.000	70.51	05/18/20	179.41	108.90 W	0.00	2370 of 5295 - Sale <sup>25</sup>
	15.000	96.15	05/18/20	131.57	35.42 W	0.00	2371 of 5295 - Sale <sup>25</sup>
	17.000	108.97	05/18/20	147.08	38.11 W	0.00	2372 of 5295 - Sale <sup>25</sup>
	18.000	115.38	05/18/20	155.20	39.82 W	0.00	2373 of 5295 - Sale <sup>25</sup>
	25.000	160.24	05/18/20	216.88	56.64 W	0.00	2374 of 5295 - Sale <sup>25</sup>
	27.000	173.06	05/18/20	236.85	63.79 W	0.00	2375 of 5295 - Sale <sup>25</sup>
	28.000	179.47	05/18/20	242.91	63.44 W	0.00	2376 of 5295 - Sale <sup>25</sup>
	34.000	217.93	05/18/20	291.73	73.80 W	0.00	2377 of 5295 - Sale <sup>25</sup>
	50.000	320.49	05/18/20	432.57	112.08 W	0.00	2378 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.50	10.09 W	0.00	2379 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.47	10.06 W	0.00	2380 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	16.45	10.04 W	0.00	2381 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.80	9.39 W	0.00	2382 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.88	9.47 W	0.00	2383 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.72	9.31 W	0.00	2384 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.59	9.18 W	0.00	2385 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.54	9.13 W	0.00	2386 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.23	8.82 W	0.00	2387 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.24	8.83 W	0.00	2388 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.87	9.46 W	0.00	2389 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.88	9.47 W	0.00	2390 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.83	9.42 W	0.00	2391 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	32.88	20.06 W	0.00	2392 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.82	05/18/20	31.70	18.88 W	0.00	2393 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	31.27	18.45 W	0.00	2394 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	31.27	18.45 W	0.00	2395 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	30.88	18.06 W	0.00	2396 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	30.42	17.60 W	0.00	2397 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	31.72	18.90 W	0.00	2398 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	47.38	28.15 W	0.00	2399 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	47.49	28.26 W	0.00	2400 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	46.89	27.66 W	0.00	2401 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	47.07	27.84 W	0.00	2402 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	65.65	40.01 W	0.00	2403 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	62.74	37.10 W	0.00	2404 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	78.76	46.71 W	0.00	2405 of 5295 - Sale <sup>25</sup>
	8.000	51.28	05/18/20	132.07	80.79 W	0.00	2406 of 5295 - Sale <sup>25</sup>
	8.000	51.28	05/18/20	124.39	73.11 W	0.00	2407 of 5295 - Sale <sup>25</sup>
	9.000	57.69	05/18/20	150.14	92.45 W	0.00	2408 of 5295 - Sale <sup>25</sup>
	9.000	57.69	05/18/20	142.80	85.11 W	0.00	2409 of 5295 - Sale <sup>25</sup>
	14.000	89.73	05/18/20	219.92	130.19 W	0.00	2410 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.75	8.34 W	0.00	2411 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.77	8.36 W	0.00	2412 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.59	8.18 W	0.00	2413 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.40	7.99 W	0.00	2414 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	32.17	19.35 W	0.00	2415 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	29.39	16.57 W	0.00	2416 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	28.77	15.95 W	0.00	2417 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	48.40	29.17 W	0.00	2418 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	43.35	24.12 W	0.00	2419 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	58.73	33.09 W	0.00	2420 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	53.68	28.04 W	0.00	2421 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	80.63	48.58 W	0.00	2422 of 5295 - Sale <sup>25</sup>
	8.000	51.28	05/18/20	115.89	64.61 W	0.00	2423 of 5295 - Sale <sup>25</sup>
	13.000	83.32	05/18/20	174.47	91.15 W	0.00	2424 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.42	7.01 W	0.00	2425 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.42	7.01 W	0.00	2426 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.41	05/18/20	14.79	8.38 W	0.00	2427 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.15	8.74 W	0.00	2428 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.01	8.60 W	0.00	2429 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	26.85	14.03 W	0.00	2430 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	40.39	21.16 W	0.00	2431 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	45.02	25.79 W	0.00	2432 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	45.36	26.13 W	0.00	2433 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	45.35	26.12 W	0.00	2434 of 5295 - Sale <sup>25</sup>
	9.000	57.69	05/18/20	121.52	63.83 W	0.00	2435 of 5295 - Sale <sup>25</sup>
	15.000	96.14	05/18/20	201.68	105.54 W	0.00	2436 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	15.05	8.64 W	0.00	2437 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.13	7.72 W	0.00	2438 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.15	7.74 W	0.00	2439 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.22	7.81 W	0.00	2440 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.20	7.79 W	0.00	2441 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.17	7.76 W	0.00	2442 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.05	7.64 W	0.00	2443 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.84	7.43 W	0.00	2444 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.81	7.40 W	0.00	2445 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	29.52	16.70 W	0.00	2446 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	28.61	15.79 W	0.00	2447 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	28.40	15.58 W	0.00	2448 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	28.16	15.34 W	0.00	2449 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	27.87	15.05 W	0.00	2450 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	27.66	14.84 W	0.00	2451 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	27.67	14.85 W	0.00	2452 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	44.18	24.95 W	0.00	2453 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	43.00	23.77 W	0.00	2454 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	42.00	22.77 W	0.00	2455 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	41.63	22.40 W	0.00	2456 of 5295 - Sale <sup>25</sup>
	4.000	25.64	05/18/20	56.01	30.37 W	0.00	2457 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	70.71	38.66 W	0.00	2458 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	69.92	37.87 W	0.00	2459 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	69.18	37.13 W	0.00	2460 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	32.05	05/18/20	69.27	37.22 W	0.00	2461 of 5295 - Sale <sup>25</sup>
	5.000	32.05	05/18/20	69.00	36.95 W	0.00	2462 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	90.44	51.98 W	0.00	2463 of 5295 - Sale <sup>25</sup>
	6.000	38.46	05/18/20	90.30	51.84 W	0.00	2464 of 5295 - Sale <sup>25</sup>
	8.000	51.28	05/18/20	117.38	66.10 W	0.00	2465 of 5295 - Sale <sup>25</sup>
	8.000	51.27	05/18/20	112.62	61.35 W	0.00	2466 of 5295 - Sale <sup>25</sup>
	9.000	57.68	05/18/20	130.31	72.63 W	0.00	2467 of 5295 - Sale <sup>25</sup>
	9.000	57.68	05/18/20	124.73	67.05 W	0.00	2468 of 5295 - Sale <sup>25</sup>
	11.000	70.50	05/18/20	161.39	90.89 W	0.00	2469 of 5295 - Sale <sup>25</sup>
	11.000	70.50	05/18/20	151.93	81.43 W	0.00	2470 of 5295 - Sale <sup>25</sup>
	12.000	76.91	05/18/20	171.64	94.73 W	0.00	2471 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.80	7.39 W	0.00	2472 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.93	7.52 W	0.00	2473 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.94	7.53 W	0.00	2474 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.94	7.53 W	0.00	2475 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.96	7.55 W	0.00	2476 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	14.03	7.62 W	0.00	2477 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.94	7.53 W	0.00	2478 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.85	7.44 W	0.00	2479 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.71	7.30 W	0.00	2480 of 5295 - Sale <sup>25</sup>
	1.000	6.41	05/18/20	13.86	7.45 W	0.00	2481 of 5295 - Sale <sup>25</sup>
	2.000	12.82	05/18/20	27.46	14.64 W	0.00	2482 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	41.12	21.89 W	0.00	2483 of 5295 - Sale <sup>25</sup>
	3.000	19.23	05/18/20	41.52	22.29 W	0.00	2484 of 5295 - Sale <sup>25</sup>
	5.000	32.04	05/18/20	65.40	33.36 W	0.00	2485 of 5295 - Sale <sup>25</sup>
	7.000	44.86	05/18/20	97.25	52.39 W	0.00	2486 of 5295 - Sale <sup>25</sup>
	9.000	57.68	05/18/20	125.56	67.88 W	0.00	2487 of 5295 - Sale <sup>25</sup>
	16.000	102.54	05/18/20	220.09	117.55 W	0.00	2488 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	13.59	7.14 W	0.00	2489 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	13.96	7.51 W	0.00	2490 of 5295 - Sale <sup>25</sup>
	2.000	12.90	05/18/20	26.06	13.16 W	0.00	2491 of 5295 - Sale <sup>25</sup>
	2.000	12.90	05/18/20	27.20	14.30 W	0.00	2492 of 5295 - Sale <sup>25</sup>
	5.000	32.25	05/18/20	66.53	34.28 W	0.00	2493 of 5295 - Sale <sup>25</sup>
	5.000	32.25	05/18/20	69.50	37.25 W	0.00	2494 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	45.15	05/18/20	98.28	53.13 W	0.00	2495 of 5295 - Sale <sup>25</sup>
	9.000	58.05	05/18/20	127.47	69.42 W	0.00	2496 of 5295 - Sale <sup>25</sup>
	11.000	70.95	05/18/20	153.20	82.25 W	0.00	2497 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.48	8.03 W	0.00	2498 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.51	8.06 W	0.00	2499 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.53	8.08 W	0.00	2500 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.21	7.76 W	0.00	2501 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	13.79	7.34 W	0.00	2502 of 5295 - Sale <sup>25</sup>
	2.000	12.90	05/18/20	29.25	16.35 W	0.00	2503 of 5295 - Sale <sup>25</sup>
	2.000	12.90	05/18/20	28.31	15.41 W	0.00	2504 of 5295 - Sale <sup>25</sup>
	2.000	12.90	05/18/20	27.92	15.02 W	0.00	2505 of 5295 - Sale <sup>25</sup>
	3.000	19.35	05/18/20	41.75	22.40 W	0.00	2506 of 5295 - Sale <sup>25</sup>
	3.000	19.35	05/18/20	41.74	22.39 W	0.00	2507 of 5295 - Sale <sup>25</sup>
	3.000	19.35	05/18/20	41.68	22.33 W	0.00	2508 of 5295 - Sale <sup>25</sup>
	3.000	19.35	05/18/20	42.65	23.30 W	0.00	2509 of 5295 - Sale <sup>25</sup>
	3.000	19.35	05/18/20	41.60	22.25 W	0.00	2510 of 5295 - Sale <sup>25</sup>
	5.000	32.25	05/18/20	70.71	38.46 W	0.00	2511 of 5295 - Sale <sup>25</sup>
	5.000	32.25	05/18/20	68.94	36.69 W	0.00	2512 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	13.90	7.45 W	0.00	2513 of 5295 - Sale <sup>25</sup>
	6.000	38.70	05/18/20	83.41	44.71 W	0.00	2514 of 5295 - Sale <sup>25</sup>
	7.000	45.15	05/18/20	97.75	52.60 W	0.00	2515 of 5295 - Sale <sup>25</sup>
	7.000	45.14	05/18/20	97.06	51.92 W	0.00	2516 of 5295 - Sale <sup>25</sup>
	8.000	51.60	05/18/20	117.94	66.34 W	0.00	2517 of 5295 - Sale <sup>25</sup>
	10.000	64.50	05/18/20	146.89	82.39 W	0.00	2518 of 5295 - Sale <sup>25</sup>
	11.000	70.94	05/18/20	151.68	80.74 W	0.00	2519 of 5295 - Sale <sup>25</sup>
	14.000	90.29	05/18/20	194.18	103.89 W	0.00	2520 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.03	7.58 W	0.00	2521 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.02	7.57 W	0.00	2522 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.09	7.64 W	0.00	2523 of 5295 - Sale <sup>25</sup>
	1.000	6.45	05/18/20	14.08	7.63 W	0.00	2524 of 5295 - Sale <sup>25</sup>
	2.000	12.90	05/18/20	28.16	15.26 W	0.00	2525 of 5295 - Sale <sup>25</sup>
	3.000	19.35	05/18/20	42.11	22.76 W	0.00	2526 of 5295 - Sale <sup>25</sup>
	3.000	19.35	05/18/20	42.09	22.74 W	0.00	2527 of 5295 - Sale <sup>25</sup>
	4.000	25.80	05/18/20	56.64	30.84 W	0.00	2528 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	25.80	05/18/20	56.36	30.56 W	0.00	2529 of 5295 - Sale <sup>25</sup>
	5.000	32.24	05/18/20	70.36	38.12 W	0.00	2530 of 5295 - Sale <sup>25</sup>
	5.000	32.25	05/18/20	70.20	37.95 W	0.00	2531 of 5295 - Sale <sup>25</sup>
	6.000	38.70	05/18/20	84.11	45.41 W	0.00	2532 of 5295 - Sale <sup>25</sup>
	6.000	38.70	05/18/20	84.86	46.16 W	0.00	2533 of 5295 - Sale <sup>25</sup>
	6.000	38.70	05/18/20	84.48	45.78 W	0.00	2534 of 5295 - Sale <sup>25</sup>
	9.000	58.05	05/18/20	127.60	69.55 W	0.00	2535 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.08	7.60 W	0.00	2536 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	12.91	6.43 W	0.00	2537 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	12.85	6.37 W	0.00	2538 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	12.85	6.37 W	0.00	2539 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.01	6.53 W	0.00	2540 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	12.96	6.48 W	0.00	2541 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	12.96	6.48 W	0.00	2542 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.84	7.36 W	0.00	2543 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.91	7.43 W	0.00	2544 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.24	6.76 W	0.00	2545 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.67	7.19 W	0.00	2546 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.65	7.17 W	0.00	2547 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.20	7.72 W	0.00	2548 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.23	7.75 W	0.00	2549 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.02	7.54 W	0.00	2550 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.02	7.54 W	0.00	2551 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.05	7.57 W	0.00	2552 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.34	8.86 W	0.00	2553 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.40	8.92 W	0.00	2554 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.28	8.80 W	0.00	2555 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.35	8.87 W	0.00	2556 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.33	8.85 W	0.00	2557 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.24	9.76 W	0.00	2558 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.43	9.95 W	0.00	2559 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.40	9.92 W	0.00	2560 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.92	10.44 W	0.00	2561 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.82	10.34 W	0.00	2562 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.48	05/18/20	16.89	10.41 W	0.00	2563 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.71	10.23 W	0.00	2564 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.73	10.25 W	0.00	2565 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.76	10.28 W	0.00	2566 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	17.04	10.56 W	0.00	2567 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	17.01	10.53 W	0.00	2568 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	17.15	10.67 W	0.00	2569 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.83	10.35 W	0.00	2570 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.81	10.33 W	0.00	2571 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.60	10.12 W	0.00	2572 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.52	10.04 W	0.00	2573 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.16	15.20 W	0.00	2574 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.35	15.39 W	0.00	2575 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	25.84	12.88 W	0.00	2576 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	25.65	12.69 W	0.00	2577 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	25.58	12.62 W	0.00	2578 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	25.93	12.97 W	0.00	2579 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.76	14.80 W	0.00	2580 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.76	14.80 W	0.00	2581 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	26.47	13.51 W	0.00	2582 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	26.47	13.51 W	0.00	2583 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.22	14.26 W	0.00	2584 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.41	15.45 W	0.00	2585 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.40	15.44 W	0.00	2586 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	30.84	17.88 W	0.00	2587 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	30.83	17.87 W	0.00	2588 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	32.32	19.36 W	0.00	2589 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	32.78	19.82 W	0.00	2590 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.17	20.21 W	0.00	2591 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.65	20.69 W	0.00	2592 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.66	20.70 W	0.00	2593 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.85	20.89 W	0.00	2594 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.57	20.61 W	0.00	2595 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.32	20.36 W	0.00	2596 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		12.96	05/18/20	34.43	21.47 W	0.00	2597 of 5295 - Sale <sup>25</sup>
2.000		12.96	05/18/20	34.40	21.44 W	0.00	2598 of 5295 - Sale <sup>25</sup>
2.000		12.96	05/18/20	33.67	20.71 W	0.00	2599 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	41.66	22.22 W	0.00	2600 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	38.04	18.60 W	0.00	2601 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	38.01	18.57 W	0.00	2602 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	38.32	18.88 W	0.00	2603 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	38.38	18.94 W	0.00	2604 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	41.82	22.38 W	0.00	2605 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	41.65	22.21 W	0.00	2606 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	41.25	21.81 W	0.00	2607 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	42.69	23.25 W	0.00	2608 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	42.06	22.62 W	0.00	2609 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	46.24	26.80 W	0.00	2610 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	46.26	26.82 W	0.00	2611 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	46.03	26.59 W	0.00	2612 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	48.68	29.24 W	0.00	2613 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	49.24	29.80 W	0.00	2614 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	50.80	31.36 W	0.00	2615 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	49.97	30.53 W	0.00	2616 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	49.49	30.05 W	0.00	2617 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	51.08	31.64 W	0.00	2618 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	51.64	32.20 W	0.00	2619 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	51.63	32.19 W	0.00	2620 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	55.53	29.61 W	0.00	2621 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	56.89	30.97 W	0.00	2622 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	50.60	24.68 W	0.00	2623 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	51.28	25.36 W	0.00	2624 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	51.85	25.93 W	0.00	2625 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	55.60	29.68 W	0.00	2626 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	56.60	30.68 W	0.00	2627 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	60.47	34.55 W	0.00	2628 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	67.98	42.06 W	0.00	2629 of 5295 - Sale <sup>25</sup>
4.000		25.92	05/18/20	67.89	41.97 W	0.00	2630 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	25.92	05/18/20	66.29	40.37 W	0.00	2631 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	71.06	38.66 W	0.00	2632 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	64.62	32.22 W	0.00	2633 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	64.37	31.97 W	0.00	2634 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	70.60	38.20 W	0.00	2635 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	70.90	38.50 W	0.00	2636 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	83.80	51.40 W	0.00	2637 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	83.83	51.43 W	0.00	2638 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	84.47	45.59 W	0.00	2639 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	79.43	40.55 W	0.00	2640 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	78.70	39.82 W	0.00	2641 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	78.93	40.05 W	0.00	2642 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	77.52	38.64 W	0.00	2643 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	83.79	44.91 W	0.00	2644 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	83.28	44.40 W	0.00	2645 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	81.64	42.76 W	0.00	2646 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	101.56	62.68 W	0.00	2647 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	100.66	61.78 W	0.00	2648 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	39.83	0.95 W	0.00	2649 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	99.55	54.19 W	0.00	2650 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	99.26	53.90 W	0.00	2651 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	90.09	44.73 W	0.00	2652 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	99.77	54.41 W	0.00	2653 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	106.19	60.83 W	0.00	2654 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	107.32	61.96 W	0.00	2655 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	105.10	53.26 W	0.00	2656 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	111.05	59.21 W	0.00	2657 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	123.26	71.42 W	0.00	2658 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	129.90	78.06 W	0.00	2659 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	118.74	60.42 W	0.00	2660 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	125.83	67.51 W	0.00	2661 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	123.67	65.35 W	0.00	2662 of 5295 - Sale <sup>25</sup>
	10.000	64.80	05/18/20	138.84	74.04 W	0.00	2663 of 5295 - Sale <sup>25</sup>
	10.000	64.80	05/18/20	126.78	61.98 W	0.00	2664 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
10.000		64.80	05/18/20	169.45	104.65 W	0.00	2665 of 5295 - Sale <sup>25</sup>
10.000		64.80	05/18/20	65.89	1.09 W	0.00	2666 of 5295 - Sale <sup>25</sup>
10.000		64.80	05/18/20	65.89	1.09 W	0.00	2667 of 5295 - Sale <sup>25</sup>
11.000		71.28	05/18/20	152.21	80.93 W	0.00	2668 of 5295 - Sale <sup>25</sup>
11.000		71.28	05/18/20	156.92	85.64 W	0.00	2669 of 5295 - Sale <sup>25</sup>
11.000		71.28	05/18/20	177.72	106.44 W	0.00	2670 of 5295 - Sale <sup>25</sup>
11.000		71.28	05/18/20	188.19	116.91 W	0.00	2671 of 5295 - Sale <sup>25</sup>
12.000		77.76	05/18/20	163.17	85.41 W	0.00	2672 of 5295 - Sale <sup>25</sup>
12.000		77.76	05/18/20	194.33	116.57 W	0.00	2673 of 5295 - Sale <sup>25</sup>
14.000		90.72	05/18/20	214.83	124.11 W	0.00	2674 of 5295 - Sale <sup>25</sup>
18.000		116.63	05/18/20	119.13	2.50 W	0.00	2675 of 5295 - Sale <sup>25</sup>
20.000		129.59	05/18/20	132.99	3.40 W	0.00	2676 of 5295 - Sale <sup>25</sup>
32.000		207.35	05/18/20	213.74	6.39 W	0.00	2677 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.57	10.09 W	0.00	2678 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.23	9.75 W	0.00	2679 of 5295 - Sale <sup>25</sup>
3.000		19.44	05/18/20	48.71	29.27 W	0.00	2680 of 5295 - Sale <sup>25</sup>
27.000		174.95	05/18/20	179.01	4.06 W	0.00	2681 of 5295 - Sale <sup>25</sup>
168.000		1,088.59	05/18/20	1,120.52	31.93 W	0.00	2682 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.34	9.86 W	0.00	2683 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.30	9.82 W	0.00	2684 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.35	9.87 W	0.00	2685 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.16	9.68 W	0.00	2686 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.95	10.47 W	0.00	2687 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	17.23	10.75 W	0.00	2688 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	17.25	10.77 W	0.00	2689 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.85	10.37 W	0.00	2690 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.90	10.42 W	0.00	2691 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.77	10.29 W	0.00	2692 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.82	10.34 W	0.00	2693 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.64	10.16 W	0.00	2694 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.66	10.18 W	0.00	2695 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.73	10.25 W	0.00	2696 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.61	10.13 W	0.00	2697 of 5295 - Sale <sup>25</sup>
1.000		6.48	05/18/20	16.54	10.06 W	0.00	2698 of 5295 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.48	05/18/20	16.55	10.07 W	0.00	2699 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.52	10.04 W	0.00	2700 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	16.50	10.02 W	0.00	2701 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.85	9.37 W	0.00	2702 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.93	9.45 W	0.00	2703 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.77	9.29 W	0.00	2704 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.64	9.16 W	0.00	2705 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.59	9.11 W	0.00	2706 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.28	8.80 W	0.00	2707 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.29	8.81 W	0.00	2708 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.92	9.44 W	0.00	2709 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.93	9.45 W	0.00	2710 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.88	9.40 W	0.00	2711 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.80	8.32 W	0.00	2712 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.82	8.34 W	0.00	2713 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.64	8.16 W	0.00	2714 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.45	7.97 W	0.00	2715 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.47	6.99 W	0.00	2716 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.47	6.99 W	0.00	2717 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.84	8.36 W	0.00	2718 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.20	8.72 W	0.00	2719 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.06	8.58 W	0.00	2720 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	15.10	8.62 W	0.00	2721 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.18	7.70 W	0.00	2722 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.20	7.72 W	0.00	2723 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.27	7.79 W	0.00	2724 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.25	7.77 W	0.00	2725 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.22	7.74 W	0.00	2726 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.10	7.62 W	0.00	2727 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.89	7.41 W	0.00	2728 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.86	7.38 W	0.00	2729 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.85	7.37 W	0.00	2730 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.98	7.50 W	0.00	2731 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.99	7.51 W	0.00	2732 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.48	05/18/20	13.99	7.51 W	0.00	2733 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.01	7.53 W	0.00	2734 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.08	7.60 W	0.00	2735 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.99	7.51 W	0.00	2736 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.90	7.42 W	0.00	2737 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.76	7.28 W	0.00	2738 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.91	7.43 W	0.00	2739 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.60	7.12 W	0.00	2740 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.97	7.49 W	0.00	2741 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.91	7.43 W	0.00	2742 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.49	8.01 W	0.00	2743 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.52	8.04 W	0.00	2744 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.54	8.06 W	0.00	2745 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.22	7.74 W	0.00	2746 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	13.80	7.32 W	0.00	2747 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.04	7.56 W	0.00	2748 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.03	7.55 W	0.00	2749 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.10	7.62 W	0.00	2750 of 5295 - Sale <sup>25</sup>
	1.000	6.48	05/18/20	14.09	7.61 W	0.00	2751 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.77	20.81 W	0.00	2752 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.38	20.42 W	0.00	2753 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.33	20.37 W	0.00	2754 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.45	20.49 W	0.00	2755 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	33.21	20.25 W	0.00	2756 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	32.98	20.02 W	0.00	2757 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	31.80	18.84 W	0.00	2758 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	31.37	18.41 W	0.00	2759 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	31.37	18.41 W	0.00	2760 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	30.98	18.02 W	0.00	2761 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	30.52	17.56 W	0.00	2762 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	31.82	18.86 W	0.00	2763 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	32.27	19.31 W	0.00	2764 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	29.49	16.53 W	0.00	2765 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.87	15.91 W	0.00	2766 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.96	05/18/20	26.95	13.99 W	0.00	2767 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	29.62	16.66 W	0.00	2768 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.71	15.75 W	0.00	2769 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.50	15.54 W	0.00	2770 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.26	15.30 W	0.00	2771 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.97	15.01 W	0.00	2772 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.76	14.80 W	0.00	2773 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.77	14.81 W	0.00	2774 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.56	14.60 W	0.00	2775 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	26.08	13.12 W	0.00	2776 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.22	14.26 W	0.00	2777 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	29.27	16.31 W	0.00	2778 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.33	15.37 W	0.00	2779 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	27.94	14.98 W	0.00	2780 of 5295 - Sale <sup>25</sup>
	2.000	12.96	05/18/20	28.18	15.22 W	0.00	2781 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	49.08	29.64 W	0.00	2782 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	49.05	29.61 W	0.00	2783 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	48.47	29.03 W	0.00	2784 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	47.84	28.40 W	0.00	2785 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	50.80	31.36 W	0.00	2786 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	50.88	31.44 W	0.00	2787 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	50.71	31.27 W	0.00	2788 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	50.41	30.97 W	0.00	2789 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	52.29	32.85 W	0.00	2790 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	50.55	31.11 W	0.00	2791 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	49.66	30.22 W	0.00	2792 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	47.53	28.09 W	0.00	2793 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	47.64	28.20 W	0.00	2794 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	47.04	27.60 W	0.00	2795 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	47.22	27.78 W	0.00	2796 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	48.55	29.11 W	0.00	2797 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	43.50	24.06 W	0.00	2798 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	40.54	21.10 W	0.00	2799 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	45.17	25.73 W	0.00	2800 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.44	05/18/20	45.51	26.07 W	0.00	2801 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	45.50	26.06 W	0.00	2802 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	44.33	24.89 W	0.00	2803 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	43.15	23.71 W	0.00	2804 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	42.15	22.71 W	0.00	2805 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	41.78	22.34 W	0.00	2806 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	41.27	21.83 W	0.00	2807 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	41.67	22.23 W	0.00	2808 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	41.78	22.34 W	0.00	2809 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	41.77	22.33 W	0.00	2810 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	41.71	22.27 W	0.00	2811 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	42.68	23.24 W	0.00	2812 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	41.63	22.19 W	0.00	2813 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	42.14	22.70 W	0.00	2814 of 5295 - Sale <sup>25</sup>
	3.000	19.44	05/18/20	42.12	22.68 W	0.00	2815 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	67.90	41.98 W	0.00	2816 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	34.93	9.01 W	0.00	2817 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	69.61	43.69 W	0.00	2818 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	69.53	43.61 W	0.00	2819 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	67.35	41.43 W	0.00	2820 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	67.06	41.14 W	0.00	2821 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	66.98	41.06 W	0.00	2822 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	65.85	39.93 W	0.00	2823 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	62.94	37.02 W	0.00	2824 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	58.93	33.01 W	0.00	2825 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	53.88	27.96 W	0.00	2826 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	56.21	30.29 W	0.00	2827 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	56.68	30.76 W	0.00	2828 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	56.40	30.48 W	0.00	2829 of 5295 - Sale <sup>25</sup>
	4.000	25.92	05/18/20	56.20	30.28 W	0.00	2830 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	81.24	48.84 W	0.00	2831 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	84.82	52.42 W	0.00	2832 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	84.43	52.03 W	0.00	2833 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	83.20	50.80 W	0.00	2834 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	32.40	05/18/20	83.76	51.36 W	0.00	2835 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	82.73	50.33 W	0.00	2836 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	79.01	46.61 W	0.00	2837 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	80.88	48.48 W	0.00	2838 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	70.96	38.56 W	0.00	2839 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	70.17	37.77 W	0.00	2840 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	69.43	37.03 W	0.00	2841 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	69.52	37.12 W	0.00	2842 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	69.25	36.85 W	0.00	2843 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	65.66	33.26 W	0.00	2844 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	66.58	34.18 W	0.00	2845 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	69.55	37.15 W	0.00	2846 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	70.76	38.36 W	0.00	2847 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	68.99	36.59 W	0.00	2848 of 5295 - Sale <sup>25</sup>
	5.000	32.40	05/18/20	70.42	38.02 W	0.00	2849 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	97.95	59.07 W	0.00	2850 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	101.79	62.91 W	0.00	2851 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	52.52	13.64 W	0.00	2852 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	52.26	13.38 W	0.00	2853 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	90.74	51.86 W	0.00	2854 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	90.60	51.72 W	0.00	2855 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	83.47	44.59 W	0.00	2856 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	84.17	45.29 W	0.00	2857 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	84.92	46.04 W	0.00	2858 of 5295 - Sale <sup>25</sup>
	6.000	38.88	05/18/20	84.54	45.66 W	0.00	2859 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	119.96	74.60 W	0.00	2860 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	97.61	52.25 W	0.00	2861 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	98.35	52.99 W	0.00	2862 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	97.82	52.46 W	0.00	2863 of 5295 - Sale <sup>25</sup>
	7.000	45.36	05/18/20	97.14	51.78 W	0.00	2864 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	133.68	81.84 W	0.00	2865 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	132.47	80.63 W	0.00	2866 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	124.79	72.95 W	0.00	2867 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	116.29	64.45 W	0.00	2868 of 5295 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	51.84	05/18/20	117.78	65.94 W	0.00	2869 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	113.03	61.19 W	0.00	2870 of 5295 - Sale <sup>25</sup>
	8.000	51.84	05/18/20	118.02	66.18 W	0.00	2871 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	146.51	88.19 W	0.00	2872 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	150.04	91.72 W	0.00	2873 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	150.59	92.27 W	0.00	2874 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	143.25	84.93 W	0.00	2875 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	121.97	63.65 W	0.00	2876 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	130.77	72.45 W	0.00	2877 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	125.19	66.87 W	0.00	2878 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	126.02	67.70 W	0.00	2879 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	127.56	69.24 W	0.00	2880 of 5295 - Sale <sup>25</sup>
	9.000	58.32	05/18/20	127.69	69.37 W	0.00	2881 of 5295 - Sale <sup>25</sup>
	10.000	64.80	05/18/20	169.65	104.85 W	0.00	2882 of 5295 - Sale <sup>25</sup>
	10.000	64.80	05/18/20	146.99	82.19 W	0.00	2883 of 5295 - Sale <sup>25</sup>
	11.000	71.28	05/18/20	179.96	108.68 W	0.00	2884 of 5295 - Sale <sup>25</sup>
	11.000	71.28	05/18/20	161.95	90.67 W	0.00	2885 of 5295 - Sale <sup>25</sup>
	11.000	71.28	05/18/20	152.49	81.21 W	0.00	2886 of 5295 - Sale <sup>25</sup>
	11.000	71.28	05/18/20	153.31	82.03 W	0.00	2887 of 5295 - Sale <sup>25</sup>
	11.000	71.27	05/18/20	151.80	80.53 W	0.00	2888 of 5295 - Sale <sup>25</sup>
	12.000	77.76	05/18/20	172.25	94.49 W	0.00	2889 of 5295 - Sale <sup>25</sup>
	13.000	84.24	05/18/20	175.13	90.89 W	0.00	2890 of 5295 - Sale <sup>25</sup>
	14.000	90.72	05/18/20	220.63	129.91 W	0.00	2891 of 5295 - Sale <sup>25</sup>
	14.000	90.71	05/18/20	194.33	103.62 W	0.00	2892 of 5295 - Sale <sup>25</sup>
	15.000	97.20	05/18/20	132.32	35.12 W	0.00	2893 of 5295 - Sale <sup>25</sup>
	15.000	97.19	05/18/20	202.44	105.25 W	0.00	2894 of 5295 - Sale <sup>25</sup>
	16.000	103.67	05/18/20	220.91	117.24 W	0.00	2895 of 5295 - Sale <sup>25</sup>
	17.000	110.15	05/18/20	147.93	37.78 W	0.00	2896 of 5295 - Sale <sup>25</sup>
	18.000	116.63	05/18/20	156.10	39.47 W	0.00	2897 of 5295 - Sale <sup>25</sup>
	25.000	161.99	05/18/20	218.14	56.15 W	0.00	2898 of 5295 - Sale <sup>25</sup>
	27.000	174.95	05/18/20	238.21	63.26 W	0.00	2899 of 5295 - Sale <sup>25</sup>
	28.000	181.43	05/18/20	244.32	62.89 W	0.00	2900 of 5295 - Sale <sup>25</sup>
	34.000	220.31	05/18/20	293.44	73.13 W	0.00	2901 of 5295 - Sale <sup>25</sup>
	50.000	323.98	05/18/20	435.08	111.10 W	0.00	2902 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.48	05/18/20	14.01	7.53 W	0.00	2903 of 5295 - Sale <sup>25</sup>
	200.000	1,295.90	05/18/20	1,315.74	19.84 W	0.00	2904 of 5295 - Sale <sup>25</sup>
	208.000	1,347.74	05/18/20	1,370.45	22.71 W	0.00	2905 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.16	7.65 W	0.00	2906 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	12.99	6.48 W	0.00	2907 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	12.93	6.42 W	0.00	2908 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	12.93	6.42 W	0.00	2909 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.09	6.58 W	0.00	2910 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.04	6.53 W	0.00	2911 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.04	6.53 W	0.00	2912 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.92	7.41 W	0.00	2913 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.99	7.48 W	0.00	2914 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.32	6.81 W	0.00	2915 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.75	7.24 W	0.00	2916 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.73	7.22 W	0.00	2917 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.28	7.77 W	0.00	2918 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.31	7.80 W	0.00	2919 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.10	7.59 W	0.00	2920 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.10	7.59 W	0.00	2921 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.13	7.62 W	0.00	2922 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.42	8.91 W	0.00	2923 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.48	8.97 W	0.00	2924 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.36	8.85 W	0.00	2925 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.43	8.92 W	0.00	2926 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.41	8.90 W	0.00	2927 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.32	9.81 W	0.00	2928 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.51	10.00 W	0.00	2929 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.48	9.97 W	0.00	2930 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	17.00	10.49 W	0.00	2931 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.90	10.39 W	0.00	2932 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.97	10.46 W	0.00	2933 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.79	10.28 W	0.00	2934 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.81	10.30 W	0.00	2935 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.84	10.33 W	0.00	2936 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.51	05/18/20	17.12	10.61 W	0.00	2937 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	17.09	10.58 W	0.00	2938 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	17.23	10.72 W	0.00	2939 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.91	10.40 W	0.00	2940 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.89	10.38 W	0.00	2941 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.68	10.17 W	0.00	2942 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.60	10.09 W	0.00	2943 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.32	15.30 W	0.00	2944 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.51	15.49 W	0.00	2945 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	26.00	12.98 W	0.00	2946 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	25.81	12.79 W	0.00	2947 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	25.74	12.72 W	0.00	2948 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	26.09	13.07 W	0.00	2949 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	27.92	14.90 W	0.00	2950 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	27.92	14.90 W	0.00	2951 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	26.63	13.61 W	0.00	2952 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	26.63	13.61 W	0.00	2953 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	27.38	14.36 W	0.00	2954 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.57	15.55 W	0.00	2955 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.56	15.54 W	0.00	2956 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	31.00	17.98 W	0.00	2957 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	30.99	17.97 W	0.00	2958 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	32.48	19.46 W	0.00	2959 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	32.94	19.92 W	0.00	2960 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.33	20.31 W	0.00	2961 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.81	20.79 W	0.00	2962 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.82	20.80 W	0.00	2963 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	34.01	20.99 W	0.00	2964 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.73	20.71 W	0.00	2965 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.48	20.46 W	0.00	2966 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	34.59	21.57 W	0.00	2967 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	34.56	21.54 W	0.00	2968 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.83	20.81 W	0.00	2969 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	41.90	22.37 W	0.00	2970 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.53	05/18/20	38.28	18.75 W	0.00	2971 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	38.25	18.72 W	0.00	2972 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	38.56	19.03 W	0.00	2973 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	38.62	19.09 W	0.00	2974 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	42.06	22.53 W	0.00	2975 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	41.89	22.36 W	0.00	2976 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	41.49	21.96 W	0.00	2977 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	42.93	23.40 W	0.00	2978 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	42.30	22.77 W	0.00	2979 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	46.48	26.95 W	0.00	2980 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	46.50	26.97 W	0.00	2981 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	46.27	26.74 W	0.00	2982 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	48.92	29.39 W	0.00	2983 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	49.48	29.95 W	0.00	2984 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	51.04	31.51 W	0.00	2985 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	50.21	30.68 W	0.00	2986 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	49.73	30.20 W	0.00	2987 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	51.32	31.79 W	0.00	2988 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	51.88	32.35 W	0.00	2989 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	51.87	32.34 W	0.00	2990 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	55.85	29.81 W	0.00	2991 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	57.21	31.17 W	0.00	2992 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	50.92	24.88 W	0.00	2993 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	51.60	25.56 W	0.00	2994 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	52.17	26.13 W	0.00	2995 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	55.92	29.88 W	0.00	2996 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	56.92	30.88 W	0.00	2997 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	60.79	34.75 W	0.00	2998 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	68.30	42.26 W	0.00	2999 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	68.21	42.17 W	0.00	3000 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	66.61	40.57 W	0.00	3001 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	71.46	38.91 W	0.00	3002 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	65.02	32.47 W	0.00	3003 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	64.77	32.22 W	0.00	3004 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
5.000		32.55	05/18/20	71.00	38.45 W	0.00	3005 of 5295 - Sale <sup>25</sup>
5.000		32.55	05/18/20	71.30	38.75 W	0.00	3006 of 5295 - Sale <sup>25</sup>
5.000		32.55	05/18/20	84.20	51.65 W	0.00	3007 of 5295 - Sale <sup>25</sup>
5.000		32.55	05/18/20	84.23	51.68 W	0.00	3008 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	84.95	45.89 W	0.00	3009 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	79.91	40.85 W	0.00	3010 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	79.18	40.12 W	0.00	3011 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	79.41	40.35 W	0.00	3012 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	78.00	38.94 W	0.00	3013 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	84.27	45.21 W	0.00	3014 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	83.76	44.70 W	0.00	3015 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	82.12	43.06 W	0.00	3016 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	102.04	62.98 W	0.00	3017 of 5295 - Sale <sup>25</sup>
6.000		39.07	05/18/20	101.14	62.07 W	0.00	3018 of 5295 - Sale <sup>25</sup>
6.000		39.07	05/18/20	40.31	1.24 W	0.00	3019 of 5295 - Sale <sup>25</sup>
7.000		45.57	05/18/20	100.11	54.54 W	0.00	3020 of 5295 - Sale <sup>25</sup>
7.000		45.57	05/18/20	99.82	54.25 W	0.00	3021 of 5295 - Sale <sup>25</sup>
7.000		45.57	05/18/20	90.65	45.08 W	0.00	3022 of 5295 - Sale <sup>25</sup>
7.000		45.58	05/18/20	100.33	54.75 W	0.00	3023 of 5295 - Sale <sup>25</sup>
7.000		45.58	05/18/20	106.75	61.17 W	0.00	3024 of 5295 - Sale <sup>25</sup>
7.000		45.58	05/18/20	107.88	62.30 W	0.00	3025 of 5295 - Sale <sup>25</sup>
8.000		52.08	05/18/20	105.74	53.66 W	0.00	3026 of 5295 - Sale <sup>25</sup>
8.000		52.09	05/18/20	111.69	59.60 W	0.00	3027 of 5295 - Sale <sup>25</sup>
8.000		52.09	05/18/20	123.90	71.81 W	0.00	3028 of 5295 - Sale <sup>25</sup>
8.000		52.09	05/18/20	130.54	78.45 W	0.00	3029 of 5295 - Sale <sup>25</sup>
9.000		58.60	05/18/20	119.46	60.86 W	0.00	3030 of 5295 - Sale <sup>25</sup>
9.000		58.60	05/18/20	126.55	67.95 W	0.00	3031 of 5295 - Sale <sup>25</sup>
9.000		58.60	05/18/20	124.39	65.79 W	0.00	3032 of 5295 - Sale <sup>25</sup>
10.000		65.11	05/18/20	139.64	74.53 W	0.00	3033 of 5295 - Sale <sup>25</sup>
10.000		65.11	05/18/20	127.58	62.47 W	0.00	3034 of 5295 - Sale <sup>25</sup>
10.000		65.11	05/18/20	170.25	105.14 W	0.00	3035 of 5295 - Sale <sup>25</sup>
10.000		65.11	05/18/20	66.69	1.58 W	0.00	3036 of 5295 - Sale <sup>25</sup>
10.000		65.11	05/18/20	66.69	1.58 W	0.00	3037 of 5295 - Sale <sup>25</sup>
11.000		71.62	05/18/20	153.09	81.47 W	0.00	3038 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		71.62	05/18/20	157.80	86.18 W	0.00	3039 of 5295 - Sale <sup>25</sup>
11.000		71.62	05/18/20	178.60	106.98 W	0.00	3040 of 5295 - Sale <sup>25</sup>
11.000		71.62	05/18/20	189.07	117.45 W	0.00	3041 of 5295 - Sale <sup>25</sup>
12.000		78.13	05/18/20	164.13	86.00 W	0.00	3042 of 5295 - Sale <sup>25</sup>
12.000		78.13	05/18/20	195.29	117.16 W	0.00	3043 of 5295 - Sale <sup>25</sup>
14.000		91.15	05/18/20	215.95	124.80 W	0.00	3044 of 5295 - Sale <sup>25</sup>
18.000		117.20	05/18/20	120.58	3.38 W	0.00	3045 of 5295 - Sale <sup>25</sup>
20.000		130.23	05/18/20	134.60	4.37 W	0.00	3046 of 5295 - Sale <sup>25</sup>
32.000		208.36	05/18/20	216.30	7.94 W	0.00	3047 of 5295 - Sale <sup>25</sup>
9.000		58.60	05/18/20	60.75	2.15 W	0.00	3048 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.65	10.14 W	0.00	3049 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.31	9.80 W	0.00	3050 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.42	9.91 W	0.00	3051 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.38	9.87 W	0.00	3052 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.43	9.92 W	0.00	3053 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.24	9.73 W	0.00	3054 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	17.03	10.52 W	0.00	3055 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	48.95	29.42 W	0.00	3056 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	49.32	29.79 W	0.00	3057 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	49.29	29.76 W	0.00	3058 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	48.71	29.18 W	0.00	3059 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	48.08	28.55 W	0.00	3060 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	51.04	31.51 W	0.00	3061 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	51.12	31.59 W	0.00	3062 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	50.95	31.42 W	0.00	3063 of 5295 - Sale <sup>25</sup>
4.000		26.04	05/18/20	68.22	42.18 W	0.00	3064 of 5295 - Sale <sup>25</sup>
4.000		26.04	05/18/20	35.25	9.21 W	0.00	3065 of 5295 - Sale <sup>25</sup>
4.000		26.04	05/18/20	35.61	9.57 W	0.00	3066 of 5295 - Sale <sup>25</sup>
5.000		32.55	05/18/20	81.64	49.09 W	0.00	3067 of 5295 - Sale <sup>25</sup>
5.000		32.55	05/18/20	85.22	52.67 W	0.00	3068 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	98.43	59.37 W	0.00	3069 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	102.27	63.21 W	0.00	3070 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	53.00	13.94 W	0.00	3071 of 5295 - Sale <sup>25</sup>
6.000		39.06	05/18/20	52.74	13.68 W	0.00	3072 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with 8 columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.53	05/18/20	52.41	32.88 W	0.00	3107 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	50.67	31.14 W	0.00	3108 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	49.78	30.25 W	0.00	3109 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	47.64	28.11 W	0.00	3110 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	47.75	28.22 W	0.00	3111 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	47.16	27.63 W	0.00	3112 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	47.33	27.80 W	0.00	3113 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	69.76	43.72 W	0.00	3114 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	69.68	43.64 W	0.00	3115 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	67.50	41.46 W	0.00	3116 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	67.21	41.17 W	0.00	3117 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	67.13	41.09 W	0.00	3118 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	66.00	39.96 W	0.00	3119 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	84.62	52.07 W	0.00	3120 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	83.39	50.84 W	0.00	3121 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	83.95	51.40 W	0.00	3122 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	82.92	50.37 W	0.00	3123 of 5295 - Sale <sup>25</sup>
	7.000	45.57	05/18/20	120.23	74.66 W	0.00	3124 of 5295 - Sale <sup>25</sup>
	8.000	52.08	05/18/20	133.99	81.91 W	0.00	3125 of 5295 - Sale <sup>25</sup>
	8.000	52.08	05/18/20	132.78	80.70 W	0.00	3126 of 5295 - Sale <sup>25</sup>
	9.000	58.59	05/18/20	150.39	91.80 W	0.00	3127 of 5295 - Sale <sup>25</sup>
	9.000	58.59	05/18/20	150.93	92.34 W	0.00	3128 of 5295 - Sale <sup>25</sup>
	11.000	71.61	05/18/20	97.46	25.85 W	0.00	3129 of 5295 - Sale <sup>25</sup>
	18.000	117.18	05/18/20	156.81	39.63 W	0.00	3130 of 5295 - Sale <sup>25</sup>
	34.000	221.35	05/18/20	294.77	73.42 W	0.00	3131 of 5295 - Sale <sup>25</sup>
	50.000	325.51	05/18/20	437.03	111.52 W	0.00	3132 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.81	9.30 W	0.00	3133 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.68	9.17 W	0.00	3134 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.63	9.12 W	0.00	3135 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.32	8.81 W	0.00	3136 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.33	8.82 W	0.00	3137 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.96	9.45 W	0.00	3138 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.97	9.46 W	0.00	3139 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.92	9.41 W	0.00	3140 of 5295 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)  
 Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)  
 "Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.51	05/18/20	14.84	8.33 W	0.00	3141 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.86	8.35 W	0.00	3142 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.68	8.17 W	0.00	3143 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.49	7.98 W	0.00	3144 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.51	7.00 W	0.00	3145 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.51	7.00 W	0.00	3146 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.88	8.37 W	0.00	3147 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.24	8.73 W	0.00	3148 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.10	8.59 W	0.00	3149 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	15.14	8.63 W	0.00	3150 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.22	7.71 W	0.00	3151 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.24	7.73 W	0.00	3152 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.31	7.80 W	0.00	3153 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.29	7.78 W	0.00	3154 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.26	7.75 W	0.00	3155 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	14.14	7.63 W	0.00	3156 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	13.93	7.42 W	0.00	3157 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	31.45	18.43 W	0.00	3158 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	31.06	18.04 W	0.00	3159 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	30.60	17.58 W	0.00	3160 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	31.90	18.88 W	0.00	3161 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	32.35	19.33 W	0.00	3162 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	29.57	16.55 W	0.00	3163 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.95	15.93 W	0.00	3164 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	27.03	14.01 W	0.00	3165 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	29.70	16.68 W	0.00	3166 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.79	15.77 W	0.00	3167 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.58	15.56 W	0.00	3168 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.34	15.32 W	0.00	3169 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	28.18	15.16 W	0.00	3170 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	48.67	29.14 W	0.00	3171 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	43.62	24.09 W	0.00	3172 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	40.66	21.13 W	0.00	3173 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	45.29	25.76 W	0.00	3174 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.53	05/18/20	45.63	26.10 W	0.00	3175 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	45.61	26.08 W	0.00	3176 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	44.44	24.91 W	0.00	3177 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	43.26	23.73 W	0.00	3178 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	42.26	22.73 W	0.00	3179 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	63.09	37.05 W	0.00	3180 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	59.08	33.04 W	0.00	3181 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	54.03	27.99 W	0.00	3182 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	79.20	46.65 W	0.00	3183 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	81.07	48.52 W	0.00	3184 of 5295 - Sale <sup>25</sup>
	5.000	32.55	05/18/20	71.15	38.60 W	0.00	3185 of 5295 - Sale <sup>25</sup>
	5.000	32.56	05/18/20	70.36	37.80 W	0.00	3186 of 5295 - Sale <sup>25</sup>
	6.000	39.06	05/18/20	90.97	51.91 W	0.00	3187 of 5295 - Sale <sup>25</sup>
	6.000	39.06	05/18/20	90.83	51.77 W	0.00	3188 of 5295 - Sale <sup>25</sup>
	8.000	52.08	05/18/20	125.10	73.02 W	0.00	3189 of 5295 - Sale <sup>25</sup>
	8.000	52.08	05/18/20	116.60	64.52 W	0.00	3190 of 5295 - Sale <sup>25</sup>
	8.000	52.09	05/18/20	118.09	66.00 W	0.00	3191 of 5295 - Sale <sup>25</sup>
	8.000	52.09	05/18/20	113.33	61.24 W	0.00	3192 of 5295 - Sale <sup>25</sup>
	9.000	58.59	05/18/20	143.60	85.01 W	0.00	3193 of 5295 - Sale <sup>25</sup>
	9.000	58.60	05/18/20	122.32	63.72 W	0.00	3194 of 5295 - Sale <sup>25</sup>
	9.000	58.60	05/18/20	131.12	72.52 W	0.00	3195 of 5295 - Sale <sup>25</sup>
	11.000	71.62	05/18/20	162.37	90.75 W	0.00	3196 of 5295 - Sale <sup>25</sup>
	12.000	78.13	05/18/20	172.71	94.58 W	0.00	3197 of 5295 - Sale <sup>25</sup>
	13.000	84.64	05/18/20	175.63	90.99 W	0.00	3198 of 5295 - Sale <sup>25</sup>
	14.000	91.15	05/18/20	221.17	130.02 W	0.00	3199 of 5295 - Sale <sup>25</sup>
	15.000	97.66	05/18/20	203.03	105.37 W	0.00	3200 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.23	7.68 W	0.00	3201 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.26	15.16 W	0.00	3202 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.45	15.35 W	0.00	3203 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.81	22.16 W	0.00	3204 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	55.73	29.53 W	0.00	3205 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	57.09	30.89 W	0.00	3206 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	71.31	38.56 W	0.00	3207 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	99.90	54.05 W	0.00	3208 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	10.000	65.50	05/18/20	139.33	73.83 W	0.00	3209 of 5295 - Sale <sup>25</sup>
	60.000	392.98	05/18/20	395.35	2.37 W	0.00	3210 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.13	7.58 W	0.00	3211 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	12.96	6.41 W	0.00	3212 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	12.90	6.35 W	0.00	3213 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	12.90	6.35 W	0.00	3214 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	12.97	6.42 W	0.00	3215 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	85.38	46.08 W	0.00	3216 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.77	45.47 W	0.00	3217 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	79.73	40.43 W	0.00	3218 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	79.00	39.70 W	0.00	3219 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	79.23	39.93 W	0.00	3220 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	105.50	53.10 W	0.00	3221 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	119.18	60.23 W	0.00	3222 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.06	6.51 W	0.00	3223 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.01	6.46 W	0.00	3224 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.01	6.46 W	0.00	3225 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.89	7.34 W	0.00	3226 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.96	7.41 W	0.00	3227 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.29	6.74 W	0.00	3228 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.72	7.17 W	0.00	3229 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.70	7.15 W	0.00	3230 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.25	7.70 W	0.00	3231 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.28	7.73 W	0.00	3232 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.07	7.52 W	0.00	3233 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	25.94	12.84 W	0.00	3234 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	25.75	12.65 W	0.00	3235 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	25.68	12.58 W	0.00	3236 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.03	12.93 W	0.00	3237 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.86	14.76 W	0.00	3238 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.86	14.76 W	0.00	3239 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.57	13.47 W	0.00	3240 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.57	13.47 W	0.00	3241 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.32	14.22 W	0.00	3242 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.10	05/18/20	28.51	15.41 W	0.00	3243 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.50	15.40 W	0.00	3244 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	38.19	18.54 W	0.00	3245 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	38.16	18.51 W	0.00	3246 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	38.47	18.82 W	0.00	3247 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	38.53	18.88 W	0.00	3248 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.97	22.32 W	0.00	3249 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.80	22.15 W	0.00	3250 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.40	21.75 W	0.00	3251 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.84	23.19 W	0.00	3252 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.07	7.52 W	0.00	3253 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	51.90	25.70 W	0.00	3254 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	50.80	24.60 W	0.00	3255 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	51.48	25.28 W	0.00	3256 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	52.05	25.85 W	0.00	3257 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	55.80	29.60 W	0.00	3258 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.80	30.60 W	0.00	3259 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	64.62	31.87 W	0.00	3260 of 5295 - Sale <sup>25</sup>
	5.000	32.74	05/18/20	70.85	38.11 W	0.00	3261 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	77.82	38.52 W	0.00	3262 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.09	44.79 W	0.00	3263 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	83.58	44.28 W	0.00	3264 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	81.94	42.64 W	0.00	3265 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	90.44	44.59 W	0.00	3266 of 5295 - Sale <sup>25</sup>
	7.000	45.84	05/18/20	100.11	54.27 W	0.00	3267 of 5295 - Sale <sup>25</sup>
	8.000	52.39	05/18/20	111.44	59.05 W	0.00	3268 of 5295 - Sale <sup>25</sup>
	9.000	58.94	05/18/20	126.27	67.33 W	0.00	3269 of 5295 - Sale <sup>25</sup>
	9.000	58.94	05/18/20	124.11	65.17 W	0.00	3270 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	127.27	61.77 W	0.00	3271 of 5295 - Sale <sup>25</sup>
	11.000	72.04	05/18/20	152.75	80.71 W	0.00	3272 of 5295 - Sale <sup>25</sup>
	11.000	72.04	05/18/20	157.46	85.42 W	0.00	3273 of 5295 - Sale <sup>25</sup>
	12.000	78.59	05/18/20	163.76	85.17 W	0.00	3274 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.93	7.38 W	0.00	3275 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.92	7.37 W	0.00	3276 of 5295 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.55	05/18/20	14.04	7.49 W	0.00	3277 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.06	7.51 W	0.00	3278 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.05	7.50 W	0.00	3279 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.08	7.53 W	0.00	3280 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.14	7.59 W	0.00	3281 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.06	7.51 W	0.00	3282 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.96	7.41 W	0.00	3283 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.82	7.27 W	0.00	3284 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.98	7.43 W	0.00	3285 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.66	7.11 W	0.00	3286 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.04	7.49 W	0.00	3287 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.97	7.42 W	0.00	3288 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.56	8.01 W	0.00	3289 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.58	8.03 W	0.00	3290 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.61	8.06 W	0.00	3291 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.28	7.73 W	0.00	3292 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	13.87	7.32 W	0.00	3293 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.10	7.55 W	0.00	3294 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.10	7.55 W	0.00	3295 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.17	7.62 W	0.00	3296 of 5295 - Sale <sup>25</sup>
	1.000	6.55	05/18/20	14.15	7.60 W	0.00	3297 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.23	15.13 W	0.00	3298 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.10	15.00 W	0.00	3299 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.89	14.79 W	0.00	3300 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.90	14.80 W	0.00	3301 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.69	14.59 W	0.00	3302 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	26.21	13.11 W	0.00	3303 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	27.35	14.25 W	0.00	3304 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	29.40	16.30 W	0.00	3305 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.46	15.36 W	0.00	3306 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.07	14.97 W	0.00	3307 of 5295 - Sale <sup>25</sup>
	2.000	13.10	05/18/20	28.31	15.21 W	0.00	3308 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.97	22.32 W	0.00	3309 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.46	21.81 W	0.00	3310 of 5295 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.65	05/18/20	41.87	22.22 W	0.00	3311 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.97	22.32 W	0.00	3312 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.97	22.32 W	0.00	3313 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.90	22.25 W	0.00	3314 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.88	23.23 W	0.00	3315 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	41.83	22.18 W	0.00	3316 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.34	22.69 W	0.00	3317 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.31	22.66 W	0.00	3318 of 5295 - Sale <sup>25</sup>
	3.000	19.65	05/18/20	42.34	22.69 W	0.00	3319 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.94	30.74 W	0.00	3320 of 5295 - Sale <sup>25</sup>
	4.000	26.20	05/18/20	56.66	30.46 W	0.00	3321 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	69.76	37.01 W	0.00	3322 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	69.84	37.09 W	0.00	3323 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	69.58	36.83 W	0.00	3324 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	65.98	33.23 W	0.00	3325 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	66.91	34.16 W	0.00	3326 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	69.88	37.13 W	0.00	3327 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	71.08	38.33 W	0.00	3328 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	69.32	36.57 W	0.00	3329 of 5295 - Sale <sup>25</sup>
	5.000	32.75	05/18/20	70.75	38.00 W	0.00	3330 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	83.86	44.56 W	0.00	3331 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.56	45.26 W	0.00	3332 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	85.31	46.01 W	0.00	3333 of 5295 - Sale <sup>25</sup>
	6.000	39.30	05/18/20	84.93	45.63 W	0.00	3334 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.06	52.21 W	0.00	3335 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.80	52.95 W	0.00	3336 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	98.28	52.43 W	0.00	3337 of 5295 - Sale <sup>25</sup>
	7.000	45.85	05/18/20	97.59	51.74 W	0.00	3338 of 5295 - Sale <sup>25</sup>
	8.000	52.40	05/18/20	118.54	66.14 W	0.00	3339 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	125.78	66.83 W	0.00	3340 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	126.61	67.66 W	0.00	3341 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	128.15	69.20 W	0.00	3342 of 5295 - Sale <sup>25</sup>
	9.000	58.95	05/18/20	128.27	69.32 W	0.00	3343 of 5295 - Sale <sup>25</sup>
	10.000	65.50	05/18/20	147.64	82.14 W	0.00	3344 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		72.05	05/18/20	153.20	81.15 W	0.00	3345 of 5295 - Sale <sup>25</sup>
11.000		72.05	05/18/20	154.02	81.97 W	0.00	3346 of 5295 - Sale <sup>25</sup>
11.000		72.05	05/18/20	152.52	80.47 W	0.00	3347 of 5295 - Sale <sup>25</sup>
14.000		91.69	05/18/20	195.25	103.56 W	0.00	3348 of 5295 - Sale <sup>25</sup>
16.000		104.80	05/18/20	221.96	117.16 W	0.00	3349 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.12	7.57 W	0.00	3350 of 5295 - Sale <sup>25</sup>
1.000		6.55	05/18/20	14.07	7.52 W	0.00	3351 of 5295 - Sale <sup>25</sup>
198.000		1,296.80	05/18/20	1,315.55	18.75 W	0.00	3352 of 5295 - Sale <sup>25</sup>
2.000		13.10	05/18/20	13.16	0.06 W	0.00	3353 of 5295 - Sale <sup>25</sup>
148.000		969.33	05/18/20	975.20	5.87 W	0.00	3354 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	14.07	7.56 W	0.00	3355 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	14.10	7.59 W	0.00	3356 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	15.39	8.88 W	0.00	3357 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	15.45	8.94 W	0.00	3358 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	15.33	8.82 W	0.00	3359 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	15.40	8.89 W	0.00	3360 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	15.38	8.87 W	0.00	3361 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.29	9.78 W	0.00	3362 of 5295 - Sale <sup>25</sup>
2.000		13.02	05/18/20	30.94	17.92 W	0.00	3363 of 5295 - Sale <sup>25</sup>
2.000		13.02	05/18/20	30.93	17.91 W	0.00	3364 of 5295 - Sale <sup>25</sup>
2.000		13.02	05/18/20	28.14	15.12 W	0.00	3365 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	46.39	26.86 W	0.00	3366 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	46.41	26.88 W	0.00	3367 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	46.18	26.65 W	0.00	3368 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	48.83	29.30 W	0.00	3369 of 5295 - Sale <sup>25</sup>
4.000		26.04	05/18/20	60.67	34.63 W	0.00	3370 of 5295 - Sale <sup>25</sup>
5.000		32.56	05/18/20	71.15	38.59 W	0.00	3371 of 5295 - Sale <sup>25</sup>
7.000		45.58	05/18/20	106.53	60.95 W	0.00	3372 of 5295 - Sale <sup>25</sup>
7.000		45.58	05/18/20	107.66	62.08 W	0.00	3373 of 5295 - Sale <sup>25</sup>
8.000		52.09	05/18/20	123.65	71.56 W	0.00	3374 of 5295 - Sale <sup>25</sup>
8.000		52.09	05/18/20	130.29	78.20 W	0.00	3375 of 5295 - Sale <sup>25</sup>
9.000		58.60	05/18/20	145.85	87.25 W	0.00	3376 of 5295 - Sale <sup>25</sup>
12.000		78.13	05/18/20	194.92	116.79 W	0.00	3377 of 5295 - Sale <sup>25</sup>
14.000		91.15	05/18/20	215.52	124.37 W	0.00	3378 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.51	05/18/20	16.48	9.97 W	0.00	3379 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.45	9.94 W	0.00	3380 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.97	10.46 W	0.00	3381 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.87	10.36 W	0.00	3382 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.94	10.43 W	0.00	3383 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.76	10.25 W	0.00	3384 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.78	10.27 W	0.00	3385 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	16.81	10.30 W	0.00	3386 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	17.09	10.58 W	0.00	3387 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	17.06	10.55 W	0.00	3388 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	17.20	10.69 W	0.00	3389 of 5295 - Sale <sup>25</sup>
	1.000	6.51	05/18/20	17.25	10.74 W	0.00	3390 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	32.41	19.39 W	0.00	3391 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	32.42	19.40 W	0.00	3392 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	32.88	19.86 W	0.00	3393 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.27	20.25 W	0.00	3394 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.75	20.73 W	0.00	3395 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.76	20.74 W	0.00	3396 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.95	20.93 W	0.00	3397 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.67	20.65 W	0.00	3398 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	33.42	20.40 W	0.00	3399 of 5295 - Sale <sup>25</sup>
	2.000	13.02	05/18/20	34.53	21.51 W	0.00	3400 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	49.39	29.86 W	0.00	3401 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	50.95	31.42 W	0.00	3402 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	50.12	30.59 W	0.00	3403 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	49.64	30.11 W	0.00	3404 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	51.23	31.70 W	0.00	3405 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	51.79	32.26 W	0.00	3406 of 5295 - Sale <sup>25</sup>
	3.000	19.53	05/18/20	51.78	32.25 W	0.00	3407 of 5295 - Sale <sup>25</sup>
	4.000	26.04	05/18/20	68.18	42.14 W	0.00	3408 of 5295 - Sale <sup>25</sup>
	5.000	32.56	05/18/20	84.05	51.49 W	0.00	3409 of 5295 - Sale <sup>25</sup>
	5.000	32.56	05/18/20	84.08	51.52 W	0.00	3410 of 5295 - Sale <sup>25</sup>
	6.000	39.07	05/18/20	101.86	62.79 W	0.00	3411 of 5295 - Sale <sup>25</sup>
	6.000	39.07	05/18/20	100.95	61.88 W	0.00	3412 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
10.000		65.11	05/18/20	169.94	104.83 W	0.00	3413 of 5295 - Sale <sup>25</sup>
11.000		71.62	05/18/20	188.73	117.11 W	0.00	3414 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	17.25	10.74 W	0.00	3415 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.88	10.37 W	0.00	3416 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.86	10.35 W	0.00	3417 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.65	10.14 W	0.00	3418 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.57	10.06 W	0.00	3419 of 5295 - Sale <sup>25</sup>
2.000		13.02	05/18/20	33.77	20.75 W	0.00	3420 of 5295 - Sale <sup>25</sup>
4.000		26.04	05/18/20	68.09	42.05 W	0.00	3421 of 5295 - Sale <sup>25</sup>
4.000		26.04	05/18/20	66.49	40.45 W	0.00	3422 of 5295 - Sale <sup>25</sup>
6.000		39.07	05/18/20	40.12	1.05 W	0.00	3423 of 5295 - Sale <sup>25</sup>
9.000		58.60	05/18/20	60.47	1.87 W	0.00	3424 of 5295 - Sale <sup>25</sup>
10.000		65.11	05/18/20	66.38	1.27 W	0.00	3425 of 5295 - Sale <sup>25</sup>
10.000		65.11	05/18/20	66.38	1.27 W	0.00	3426 of 5295 - Sale <sup>25</sup>
18.000		117.20	05/18/20	120.02	2.82 W	0.00	3427 of 5295 - Sale <sup>25</sup>
20.000		130.22	05/18/20	133.97	3.75 W	0.00	3428 of 5295 - Sale <sup>25</sup>
21.000		136.74	05/18/20	140.27	3.53 W	0.00	3429 of 5295 - Sale <sup>25</sup>
32.000		208.36	05/18/20	215.30	6.94 W	0.00	3430 of 5295 - Sale <sup>25</sup>
159.000		1,035.28	05/18/20	1,068.41	33.13 W	0.00	3431 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.60	10.09 W	0.00	3432 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.25	9.74 W	0.00	3433 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.37	9.86 W	0.00	3434 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.32	9.81 W	0.00	3435 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.37	9.86 W	0.00	3436 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.18	9.67 W	0.00	3437 of 5295 - Sale <sup>25</sup>
1.000		6.51	05/18/20	16.98	10.47 W	0.00	3438 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	48.78	29.25 W	0.00	3439 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	49.15	29.62 W	0.00	3440 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	49.13	29.60 W	0.00	3441 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	48.55	29.02 W	0.00	3442 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	47.91	28.38 W	0.00	3443 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	50.87	31.34 W	0.00	3444 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	50.95	31.42 W	0.00	3445 of 5295 - Sale <sup>25</sup>
3.000		19.53	05/18/20	50.79	31.26 W	0.00	3446 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	26.05	05/18/20	68.00	41.95 W	0.00	3447 of 5295 - Sale <sup>25</sup>
	4.000	26.05	05/18/20	35.03	8.98 W	0.00	3448 of 5295 - Sale <sup>25</sup>
	5.000	32.56	05/18/20	81.37	48.81 W	0.00	3449 of 5295 - Sale <sup>25</sup>
	5.000	32.56	05/18/20	84.95	52.39 W	0.00	3450 of 5295 - Sale <sup>25</sup>
	6.000	39.07	05/18/20	39.93	0.86 W	0.00	3451 of 5295 - Sale <sup>25</sup>
	6.000	39.07	05/18/20	98.10	59.03 W	0.00	3452 of 5295 - Sale <sup>25</sup>
	6.000	39.07	05/18/20	101.94	62.87 W	0.00	3453 of 5295 - Sale <sup>25</sup>
	6.000	39.07	05/18/20	52.67	13.60 W	0.00	3454 of 5295 - Sale <sup>25</sup>
	6.000	39.07	05/18/20	52.41	13.34 W	0.00	3455 of 5295 - Sale <sup>25</sup>
	9.000	58.60	05/18/20	146.74	88.14 W	0.00	3456 of 5295 - Sale <sup>25</sup>
	10.000	65.11	05/18/20	169.90	104.79 W	0.00	3457 of 5295 - Sale <sup>25</sup>
	11.000	71.62	05/18/20	180.24	108.62 W	0.00	3458 of 5295 - Sale <sup>25</sup>
	17.000	110.69	05/18/20	148.36	37.67 W	0.00	3459 of 5295 - Sale <sup>25</sup>
	25.000	162.78	05/18/20	218.75	55.97 W	0.00	3460 of 5295 - Sale <sup>25</sup>
	21.000	136.74	05/18/20	185.79	49.05 W	0.00	3461 of 5295 - Sale <sup>25</sup>
	28.000	182.32	05/18/20	245.01	62.69 W	0.00	3462 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	35.39	9.39 W	0.00	3463 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	96.86	25.36 W	0.00	3464 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	53.08	14.08 W	0.00	3465 of 5295 - Sale <sup>25</sup>
	29.000	188.49	05/18/20	251.87	63.38 W	0.00	3466 of 5295 - Sale <sup>25</sup>
	18.000	116.99	05/18/20	156.27	39.28 W	0.00	3467 of 5295 - Sale <sup>25</sup>
	21.000	136.49	05/18/20	182.92	46.43 W	0.00	3468 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	95.03	23.53 W	0.00	3469 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	17.24	10.74 W	0.00	3470 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	17.26	10.76 W	0.00	3471 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.86	10.36 W	0.00	3472 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.91	10.41 W	0.00	3473 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.78	10.28 W	0.00	3474 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.83	10.33 W	0.00	3475 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.65	10.15 W	0.00	3476 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.67	10.17 W	0.00	3477 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.74	10.24 W	0.00	3478 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.62	10.12 W	0.00	3479 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.55	10.05 W	0.00	3480 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.50	05/18/20	16.56	10.06 W	0.00	3481 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.53	10.03 W	0.00	3482 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.51	10.01 W	0.00	3483 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.86	9.36 W	0.00	3484 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.94	9.44 W	0.00	3485 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.78	9.28 W	0.00	3486 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.65	9.15 W	0.00	3487 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.60	9.10 W	0.00	3488 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.29	8.79 W	0.00	3489 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	33.79	20.79 W	0.00	3490 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	33.40	20.40 W	0.00	3491 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	33.35	20.35 W	0.00	3492 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	33.47	20.47 W	0.00	3493 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	33.23	20.23 W	0.00	3494 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	33.00	20.00 W	0.00	3495 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	31.82	18.82 W	0.00	3496 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	31.39	18.39 W	0.00	3497 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	31.39	18.39 W	0.00	3498 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	31.00	18.00 W	0.00	3499 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	30.54	17.54 W	0.00	3500 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	50.44	30.94 W	0.00	3501 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	52.32	32.82 W	0.00	3502 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	50.58	31.08 W	0.00	3503 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	49.69	30.19 W	0.00	3504 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	47.55	28.05 W	0.00	3505 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	47.66	28.16 W	0.00	3506 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	47.07	27.57 W	0.00	3507 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	47.24	27.74 W	0.00	3508 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	69.64	43.64 W	0.00	3509 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	69.56	43.56 W	0.00	3510 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	67.38	41.38 W	0.00	3511 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	67.09	41.09 W	0.00	3512 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	67.01	41.01 W	0.00	3513 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	65.88	39.88 W	0.00	3514 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	26.00	05/18/20	62.97	36.97 W	0.00	3515 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	84.47	51.97 W	0.00	3516 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	83.24	50.74 W	0.00	3517 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	83.80	51.30 W	0.00	3518 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	82.77	50.27 W	0.00	3519 of 5295 - Sale <sup>25</sup>
	7.000	45.50	05/18/20	120.02	74.52 W	0.00	3520 of 5295 - Sale <sup>25</sup>
	8.000	52.00	05/18/20	133.75	81.75 W	0.00	3521 of 5295 - Sale <sup>25</sup>
	8.000	52.00	05/18/20	132.54	80.54 W	0.00	3522 of 5295 - Sale <sup>25</sup>
	8.000	51.99	05/18/20	124.86	72.87 W	0.00	3523 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	150.12	91.62 W	0.00	3524 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	150.66	92.16 W	0.00	3525 of 5295 - Sale <sup>25</sup>
	14.000	90.99	05/18/20	220.74	129.75 W	0.00	3526 of 5295 - Sale <sup>25</sup>
	23.000	149.49	05/18/20	198.71	49.22 W	0.00	3527 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.34	8.84 W	0.00	3528 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.97	9.47 W	0.00	3529 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.98	9.48 W	0.00	3530 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.93	9.43 W	0.00	3531 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.85	8.35 W	0.00	3532 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.87	8.37 W	0.00	3533 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.69	8.19 W	0.00	3534 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.50	8.00 W	0.00	3535 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.52	7.02 W	0.00	3536 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	31.92	18.92 W	0.00	3537 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	32.37	19.37 W	0.00	3538 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	29.59	16.59 W	0.00	3539 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.97	15.97 W	0.00	3540 of 5295 - Sale <sup>25</sup>
	2.000	12.99	05/18/20	27.05	14.06 W	0.00	3541 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	48.70	29.20 W	0.00	3542 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	43.65	24.15 W	0.00	3543 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	40.69	21.19 W	0.00	3544 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	59.12	33.12 W	0.00	3545 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	54.07	28.07 W	0.00	3546 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	79.25	46.75 W	0.00	3547 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	81.12	48.62 W	0.00	3548 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	51.99	05/18/20	116.68	64.69 W	0.00	3549 of 5295 - Sale <sup>25</sup>
	9.000	58.49	05/18/20	143.69	85.20 W	0.00	3550 of 5295 - Sale <sup>25</sup>
	9.000	58.49	05/18/20	122.40	63.91 W	0.00	3551 of 5295 - Sale <sup>25</sup>
	13.000	84.49	05/18/20	175.75	91.26 W	0.00	3552 of 5295 - Sale <sup>25</sup>
	15.000	97.49	05/18/20	203.17	105.68 W	0.00	3553 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.23	7.73 W	0.00	3554 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.25	7.75 W	0.00	3555 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.32	7.82 W	0.00	3556 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.30	7.80 W	0.00	3557 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.27	7.77 W	0.00	3558 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.15	7.65 W	0.00	3559 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.94	7.44 W	0.00	3560 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.81	15.81 W	0.00	3561 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.60	15.60 W	0.00	3562 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.36	15.36 W	0.00	3563 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.20	15.20 W	0.00	3564 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.17	15.17 W	0.00	3565 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.04	15.04 W	0.00	3566 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	43.29	23.79 W	0.00	3567 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	42.29	22.79 W	0.00	3568 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	41.88	22.38 W	0.00	3569 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	71.20	38.70 W	0.00	3570 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	70.40	37.90 W	0.00	3571 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	69.61	37.11 W	0.00	3572 of 5295 - Sale <sup>25</sup>
	3.000	19.49	05/18/20	41.81	22.32 W	0.00	3573 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	73.85	41.35 W	0.00	3574 of 5295 - Sale <sup>25</sup>
	8.000	52.00	05/18/20	113.40	61.40 W	0.00	3575 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	131.20	72.70 W	0.00	3576 of 5295 - Sale <sup>25</sup>
	9.000	58.49	05/18/20	125.51	67.02 W	0.00	3577 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	162.47	90.97 W	0.00	3578 of 5295 - Sale <sup>25</sup>
	12.000	77.99	05/18/20	172.82	94.83 W	0.00	3579 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.90	7.40 W	0.00	3580 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.89	7.39 W	0.00	3581 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.01	7.51 W	0.00	3582 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.50	05/18/20	14.03	7.53 W	0.00	3583 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.02	7.52 W	0.00	3584 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.05	7.55 W	0.00	3585 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.11	7.61 W	0.00	3586 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.03	7.53 W	0.00	3587 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.93	7.43 W	0.00	3588 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.79	7.29 W	0.00	3589 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.95	7.45 W	0.00	3590 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.63	7.13 W	0.00	3591 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	27.83	14.83 W	0.00	3592 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	27.84	14.84 W	0.00	3593 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	27.63	14.63 W	0.00	3594 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	26.15	13.15 W	0.00	3595 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	27.29	14.29 W	0.00	3596 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	41.37	21.87 W	0.00	3597 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	41.78	22.28 W	0.00	3598 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	27.88	14.88 W	0.00	3599 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	69.43	36.93 W	0.00	3600 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	65.83	33.33 W	0.00	3601 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	66.76	34.26 W	0.00	3602 of 5295 - Sale <sup>25</sup>
	7.000	45.50	05/18/20	97.85	52.35 W	0.00	3603 of 5295 - Sale <sup>25</sup>
	3.000	19.49	05/18/20	42.25	22.76 W	0.00	3604 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	126.34	67.84 W	0.00	3605 of 5295 - Sale <sup>25</sup>
	9.000	58.49	05/18/20	127.88	69.39 W	0.00	3606 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	152.87	81.37 W	0.00	3607 of 5295 - Sale <sup>25</sup>
	16.000	103.99	05/18/20	221.48	117.49 W	0.00	3608 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.01	7.51 W	0.00	3609 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.94	7.44 W	0.00	3610 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.53	8.03 W	0.00	3611 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.55	8.05 W	0.00	3612 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.58	8.08 W	0.00	3613 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.25	7.75 W	0.00	3614 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.84	7.34 W	0.00	3615 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.07	7.57 W	0.00	3616 of 5295 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.50	05/18/20	14.07	7.57 W	0.00	3617 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.14	7.64 W	0.00	3618 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.12	7.62 W	0.00	3619 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.09	7.59 W	0.00	3620 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.04	7.54 W	0.00	3621 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	29.34	16.34 W	0.00	3622 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.40	15.40 W	0.00	3623 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.01	15.01 W	0.00	3624 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	28.25	15.25 W	0.00	3625 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	41.88	22.38 W	0.00	3626 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	41.88	22.38 W	0.00	3627 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	41.81	22.31 W	0.00	3628 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	42.79	23.29 W	0.00	3629 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	41.74	22.24 W	0.00	3630 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	42.25	22.75 W	0.00	3631 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	42.22	22.72 W	0.00	3632 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	42.25	22.75 W	0.00	3633 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	56.82	30.82 W	0.00	3634 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	56.54	30.54 W	0.00	3635 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	69.73	37.23 W	0.00	3636 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	70.93	38.43 W	0.00	3637 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	69.17	36.67 W	0.00	3638 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	70.60	38.10 W	0.00	3639 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	83.68	44.68 W	0.00	3640 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	84.38	45.38 W	0.00	3641 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	85.13	46.13 W	0.00	3642 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	84.75	45.75 W	0.00	3643 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	56.34	30.34 W	0.00	3644 of 5295 - Sale <sup>25</sup>
	7.000	45.50	05/18/20	98.07	52.57 W	0.00	3645 of 5295 - Sale <sup>25</sup>
	7.000	45.50	05/18/20	97.38	51.88 W	0.00	3646 of 5295 - Sale <sup>25</sup>
	8.000	52.00	05/18/20	118.30	66.30 W	0.00	3647 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	128.00	69.50 W	0.00	3648 of 5295 - Sale <sup>25</sup>
	10.000	65.00	05/18/20	147.34	82.34 W	0.00	3649 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	153.69	82.19 W	0.00	3650 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	11.000	71.50	05/18/20	152.19	80.69 W	0.00	3651 of 5295 - Sale <sup>25</sup>
	14.000	91.00	05/18/20	194.84	103.84 W	0.00	3652 of 5295 - Sale <sup>25</sup>
	188.000	1,221.90	05/18/20	1,243.56	21.66 W	0.00	3653 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	13.52	7.02 W	0.00	3654 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	14.89	8.39 W	0.00	3655 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.25	8.75 W	0.00	3656 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.11	8.61 W	0.00	3657 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	15.15	8.65 W	0.00	3658 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	29.72	16.72 W	0.00	3659 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	45.32	25.82 W	0.00	3660 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	45.66	26.16 W	0.00	3661 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	45.64	26.14 W	0.00	3662 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	44.47	24.97 W	0.00	3663 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	91.03	52.03 W	0.00	3664 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	90.89	51.89 W	0.00	3665 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	44.31	24.81 W	0.00	3666 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	17.06	10.64 W	0.00	3667 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	17.03	10.61 W	0.00	3668 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	17.17	10.75 W	0.00	3669 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	17.22	10.80 W	0.00	3670 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	17.22	10.80 W	0.00	3671 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.85	10.43 W	0.00	3672 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.83	10.41 W	0.00	3673 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.62	10.20 W	0.00	3674 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.54	10.12 W	0.00	3675 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.57	10.15 W	0.00	3676 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.22	9.80 W	0.00	3677 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.34	9.92 W	0.00	3678 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.29	9.87 W	0.00	3679 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.34	9.92 W	0.00	3680 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.15	9.73 W	0.00	3681 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.95	10.53 W	0.00	3682 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	17.22	10.80 W	0.00	3683 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	17.24	10.82 W	0.00	3684 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.42	05/18/20	16.84	10.42 W	0.00	3685 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.89	10.47 W	0.00	3686 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.76	10.34 W	0.00	3687 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.81	10.39 W	0.00	3688 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.63	10.21 W	0.00	3689 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.65	10.23 W	0.00	3690 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.72	10.30 W	0.00	3691 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.60	10.18 W	0.00	3692 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.53	10.11 W	0.00	3693 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.54	10.12 W	0.00	3694 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.51	10.09 W	0.00	3695 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.49	10.07 W	0.00	3696 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.84	9.42 W	0.00	3697 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.92	9.50 W	0.00	3698 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.76	9.34 W	0.00	3699 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.63	9.21 W	0.00	3700 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.58	9.16 W	0.00	3701 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.27	8.85 W	0.00	3702 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.32	8.90 W	0.00	3703 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.95	9.53 W	0.00	3704 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.96	9.54 W	0.00	3705 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.91	9.49 W	0.00	3706 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.83	8.41 W	0.00	3707 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.85	8.43 W	0.00	3708 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.67	8.25 W	0.00	3709 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.48	8.06 W	0.00	3710 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.50	7.08 W	0.00	3711 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.50	7.08 W	0.00	3712 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.87	8.45 W	0.00	3713 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.23	8.81 W	0.00	3714 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.09	8.67 W	0.00	3715 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.13	8.71 W	0.00	3716 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.21	7.79 W	0.00	3717 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.23	7.81 W	0.00	3718 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.42	05/18/20	14.30	7.88 W	0.00	3719 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.28	7.86 W	0.00	3720 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.25	7.83 W	0.00	3721 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.13	7.71 W	0.00	3722 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.92	7.50 W	0.00	3723 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.88	7.46 W	0.00	3724 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.87	7.45 W	0.00	3725 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.99	7.57 W	0.00	3726 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.01	7.59 W	0.00	3727 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.00	7.58 W	0.00	3728 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.03	7.61 W	0.00	3729 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.09	7.67 W	0.00	3730 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.01	7.59 W	0.00	3731 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.91	7.49 W	0.00	3732 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.77	7.35 W	0.00	3733 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.93	7.51 W	0.00	3734 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.61	7.19 W	0.00	3735 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.99	7.57 W	0.00	3736 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.92	7.50 W	0.00	3737 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.51	8.09 W	0.00	3738 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.53	8.11 W	0.00	3739 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.56	8.14 W	0.00	3740 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.23	7.81 W	0.00	3741 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.82	7.40 W	0.00	3742 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.05	7.63 W	0.00	3743 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.05	7.63 W	0.00	3744 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.12	7.70 W	0.00	3745 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.10	7.68 W	0.00	3746 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.07	7.65 W	0.00	3747 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.02	7.60 W	0.00	3748 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	34.47	21.63 W	0.00	3749 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.71	20.87 W	0.00	3750 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.75	20.91 W	0.00	3751 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.36	20.52 W	0.00	3752 of 5295 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.84	05/18/20	33.31	20.47 W	0.00	3753 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.43	20.59 W	0.00	3754 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.19	20.35 W	0.00	3755 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	32.96	20.12 W	0.00	3756 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	31.78	18.94 W	0.00	3757 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	31.35	18.51 W	0.00	3758 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	31.35	18.51 W	0.00	3759 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	30.96	18.12 W	0.00	3760 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	30.50	17.66 W	0.00	3761 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	31.88	19.04 W	0.00	3762 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	32.33	19.49 W	0.00	3763 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	29.55	16.71 W	0.00	3764 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.93	16.09 W	0.00	3765 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.02	14.18 W	0.00	3766 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	29.68	16.84 W	0.00	3767 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.77	15.93 W	0.00	3768 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.56	15.72 W	0.00	3769 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.32	15.48 W	0.00	3770 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.16	15.32 W	0.00	3771 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.13	15.29 W	0.00	3772 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.00	15.16 W	0.00	3773 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.84	15.00 W	0.00	3774 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.79	14.95 W	0.00	3775 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.80	14.96 W	0.00	3776 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.59	14.75 W	0.00	3777 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	26.11	13.27 W	0.00	3778 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.25	14.41 W	0.00	3779 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	29.30	16.46 W	0.00	3780 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.36	15.52 W	0.00	3781 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.97	15.13 W	0.00	3782 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.21	15.37 W	0.00	3783 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	13.21	0.37 W	0.00	3784 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	51.14	31.88 W	0.00	3785 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	51.70	32.44 W	0.00	3786 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.26	05/18/20	51.69	32.43 W	0.00	3787 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	48.69	29.43 W	0.00	3788 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	49.06	29.80 W	0.00	3789 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	49.04	29.78 W	0.00	3790 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	48.46	29.20 W	0.00	3791 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	47.82	28.56 W	0.00	3792 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	50.78	31.52 W	0.00	3793 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	50.86	31.60 W	0.00	3794 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	50.70	31.44 W	0.00	3795 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	50.38	31.12 W	0.00	3796 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	52.26	33.00 W	0.00	3797 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	50.52	31.26 W	0.00	3798 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	49.63	30.37 W	0.00	3799 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	47.49	28.23 W	0.00	3800 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	47.60	28.34 W	0.00	3801 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	47.01	27.75 W	0.00	3802 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	47.18	27.92 W	0.00	3803 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	48.64	29.38 W	0.00	3804 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	43.59	24.33 W	0.00	3805 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	40.63	21.37 W	0.00	3806 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	45.26	26.00 W	0.00	3807 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	45.60	26.34 W	0.00	3808 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	45.58	26.32 W	0.00	3809 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	44.41	25.15 W	0.00	3810 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	44.25	24.99 W	0.00	3811 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	43.23	23.97 W	0.00	3812 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	42.23	22.97 W	0.00	3813 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.82	22.56 W	0.00	3814 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.76	22.50 W	0.00	3815 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.31	22.05 W	0.00	3816 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.72	22.46 W	0.00	3817 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	42.20	22.94 W	0.00	3818 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.82	22.56 W	0.00	3819 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.82	22.56 W	0.00	3820 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.26	05/18/20	41.75	22.49 W	0.00	3821 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	42.73	23.47 W	0.00	3822 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.68	22.42 W	0.00	3823 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	42.19	22.93 W	0.00	3824 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	42.16	22.90 W	0.00	3825 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	42.19	22.93 W	0.00	3826 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	68.06	42.38 W	0.00	3827 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	67.97	42.29 W	0.00	3828 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	66.37	40.69 W	0.00	3829 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	67.87	42.19 W	0.00	3830 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	34.90	9.22 W	0.00	3831 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	35.31	9.63 W	0.00	3832 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	69.56	43.88 W	0.00	3833 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	69.48	43.80 W	0.00	3834 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	67.30	41.62 W	0.00	3835 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	67.01	41.33 W	0.00	3836 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	66.93	41.25 W	0.00	3837 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	65.80	40.12 W	0.00	3838 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	62.89	37.21 W	0.00	3839 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	59.04	33.36 W	0.00	3840 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	53.99	28.31 W	0.00	3841 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	56.26	30.58 W	0.00	3842 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	56.74	31.06 W	0.00	3843 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	56.46	30.78 W	0.00	3844 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	81.21	49.11 W	0.00	3845 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	84.79	52.69 W	0.00	3846 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	84.37	52.27 W	0.00	3847 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	83.14	51.04 W	0.00	3848 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	83.70	51.60 W	0.00	3849 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	82.67	50.57 W	0.00	3850 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	79.15	47.05 W	0.00	3851 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	81.02	48.92 W	0.00	3852 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	73.75	41.65 W	0.00	3853 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	71.10	39.00 W	0.00	3854 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
5.000		32.10	05/18/20	70.30	38.20 W	0.00	3855 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	69.51	37.41 W	0.00	3856 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	69.33	37.23 W	0.00	3857 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	65.73	33.63 W	0.00	3858 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	66.66	34.56 W	0.00	3859 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	69.63	37.53 W	0.00	3860 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	70.83	38.73 W	0.00	3861 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	69.07	36.97 W	0.00	3862 of 5295 - Sale <sup>25</sup>
5.000		32.10	05/18/20	70.50	38.40 W	0.00	3863 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	39.93	1.41 W	0.00	3864 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	39.74	1.22 W	0.00	3865 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	97.91	59.39 W	0.00	3866 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	101.75	63.23 W	0.00	3867 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	52.48	13.96 W	0.00	3868 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	52.22	13.70 W	0.00	3869 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	52.96	14.44 W	0.00	3870 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	90.91	52.39 W	0.00	3871 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	90.77	52.25 W	0.00	3872 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	83.56	45.04 W	0.00	3873 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	84.26	45.74 W	0.00	3874 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	85.01	46.49 W	0.00	3875 of 5295 - Sale <sup>25</sup>
6.000		38.52	05/18/20	84.63	46.11 W	0.00	3876 of 5295 - Sale <sup>25</sup>
7.000		44.94	05/18/20	119.88	74.94 W	0.00	3877 of 5295 - Sale <sup>25</sup>
7.000		44.94	05/18/20	97.71	52.77 W	0.00	3878 of 5295 - Sale <sup>25</sup>
7.000		44.94	05/18/20	97.93	52.99 W	0.00	3879 of 5295 - Sale <sup>25</sup>
7.000		44.94	05/18/20	97.24	52.30 W	0.00	3880 of 5295 - Sale <sup>25</sup>
8.000		51.36	05/18/20	133.59	82.23 W	0.00	3881 of 5295 - Sale <sup>25</sup>
8.000		51.36	05/18/20	132.38	81.02 W	0.00	3882 of 5295 - Sale <sup>25</sup>
8.000		51.36	05/18/20	124.71	73.35 W	0.00	3883 of 5295 - Sale <sup>25</sup>
8.000		51.36	05/18/20	116.53	65.17 W	0.00	3884 of 5295 - Sale <sup>25</sup>
8.000		51.36	05/18/20	113.24	61.88 W	0.00	3885 of 5295 - Sale <sup>25</sup>
8.000		51.36	05/18/20	118.14	66.78 W	0.00	3886 of 5295 - Sale <sup>25</sup>
9.000		57.78	05/18/20	60.19	2.41 W	0.00	3887 of 5295 - Sale <sup>25</sup>
9.000		57.78	05/18/20	146.46	88.68 W	0.00	3888 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	57.78	05/18/20	149.94	92.16 W	0.00	3889 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	150.48	92.70 W	0.00	3890 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	143.52	85.74 W	0.00	3891 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	122.23	64.45 W	0.00	3892 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	131.02	73.24 W	0.00	3893 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	125.34	67.56 W	0.00	3894 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	126.16	68.38 W	0.00	3895 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	127.71	69.93 W	0.00	3896 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	127.82	70.04 W	0.00	3897 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	66.07	1.87 W	0.00	3898 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	66.07	1.87 W	0.00	3899 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	169.59	105.39 W	0.00	3900 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	147.14	82.94 W	0.00	3901 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	66.70	2.50 W	0.00	3902 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	188.39	117.77 W	0.00	3903 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	179.90	109.28 W	0.00	3904 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	96.64	26.02 W	0.00	3905 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	94.81	24.19 W	0.00	3906 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	162.25	91.63 W	0.00	3907 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	152.65	82.03 W	0.00	3908 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	153.47	82.85 W	0.00	3909 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	151.97	81.35 W	0.00	3910 of 5295 - Sale <sup>25</sup>
	12.000	77.04	05/18/20	172.59	95.55 W	0.00	3911 of 5295 - Sale <sup>25</sup>
	13.000	83.46	05/18/20	175.50	92.04 W	0.00	3912 of 5295 - Sale <sup>25</sup>
	14.000	89.88	05/18/20	220.47	130.59 W	0.00	3913 of 5295 - Sale <sup>25</sup>
	14.000	89.88	05/18/20	194.56	104.68 W	0.00	3914 of 5295 - Sale <sup>25</sup>
	15.000	96.30	05/18/20	202.88	106.58 W	0.00	3915 of 5295 - Sale <sup>25</sup>
	16.000	102.72	05/18/20	221.17	118.45 W	0.00	3916 of 5295 - Sale <sup>25</sup>
	17.000	109.14	05/18/20	147.83	38.69 W	0.00	3917 of 5295 - Sale <sup>25</sup>
	18.000	115.56	05/18/20	119.46	3.90 W	0.00	3918 of 5295 - Sale <sup>25</sup>
	18.000	115.56	05/18/20	155.92	40.36 W	0.00	3919 of 5295 - Sale <sup>25</sup>
	20.000	128.40	05/18/20	133.35	4.95 W	0.00	3920 of 5295 - Sale <sup>25</sup>
	21.000	134.82	05/18/20	139.61	4.79 W	0.00	3921 of 5295 - Sale <sup>25</sup>
	21.000	134.82	05/18/20	185.13	50.31 W	0.00	3922 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	21.000	134.82	05/18/20	182.51	47.69 W	0.00	3923 of 5295 - Sale <sup>25</sup>
	23.000	147.66	05/18/20	198.26	50.60 W	0.00	3924 of 5295 - Sale <sup>25</sup>
	25.000	160.50	05/18/20	217.97	57.47 W	0.00	3925 of 5295 - Sale <sup>25</sup>
	28.000	179.76	05/18/20	244.13	64.37 W	0.00	3926 of 5295 - Sale <sup>25</sup>
	29.000	186.17	05/18/20	251.30	65.13 W	0.00	3927 of 5295 - Sale <sup>25</sup>
	32.000	205.43	05/18/20	214.30	8.87 W	0.00	3928 of 5295 - Sale <sup>25</sup>
	78.000	500.74	05/18/20	515.96	15.22 W	0.00	3929 of 5295 - Sale <sup>25</sup>
	159.000	1,020.75	05/18/20	1,063.45	42.70 W	0.00	3930 of 5295 - Sale <sup>25</sup>
	188.000	1,206.91	05/18/20	1,239.90	32.99 W	0.00	3931 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.27	7.85 W	0.00	3932 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.17	7.75 W	0.00	3933 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.33	15.49 W	0.00	3934 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.52	15.68 W	0.00	3935 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.92	22.66 W	0.00	3936 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	55.87	30.19 W	0.00	3937 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	57.23	31.55 W	0.00	3938 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	52.89	27.21 W	0.00	3939 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	71.49	39.39 W	0.00	3940 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	85.59	47.07 W	0.00	3941 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	84.98	46.46 W	0.00	3942 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	79.94	41.42 W	0.00	3943 of 5295 - Sale <sup>25</sup>
	7.000	44.94	05/18/20	100.15	55.21 W	0.00	3944 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	119.49	61.71 W	0.00	3945 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	139.68	75.48 W	0.00	3946 of 5295 - Sale <sup>25</sup>
	60.000	385.18	05/18/20	397.49	12.31 W	0.00	3947 of 5295 - Sale <sup>25</sup>
	70.000	449.38	05/18/20	463.74	14.36 W	0.00	3948 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	12.95	6.53 W	0.00	3949 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	12.89	6.47 W	0.00	3950 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	12.89	6.47 W	0.00	3951 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	12.96	6.54 W	0.00	3952 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.05	6.63 W	0.00	3953 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.00	6.58 W	0.00	3954 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.00	6.58 W	0.00	3955 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.88	7.46 W	0.00	3956 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.42	05/18/20	13.95	7.53 W	0.00	3957 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.28	6.86 W	0.00	3958 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.71	7.29 W	0.00	3959 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	13.68	7.26 W	0.00	3960 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.23	7.81 W	0.00	3961 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.26	7.84 W	0.00	3962 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.05	7.63 W	0.00	3963 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.06	7.64 W	0.00	3964 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.10	7.68 W	0.00	3965 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	14.12	7.70 W	0.00	3966 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.41	8.99 W	0.00	3967 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.48	9.06 W	0.00	3968 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.35	8.93 W	0.00	3969 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.43	9.01 W	0.00	3970 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	15.40	8.98 W	0.00	3971 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.31	9.89 W	0.00	3972 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.50	10.08 W	0.00	3973 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.48	10.06 W	0.00	3974 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.99	10.57 W	0.00	3975 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.89	10.47 W	0.00	3976 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.97	10.55 W	0.00	3977 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.79	10.37 W	0.00	3978 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.81	10.39 W	0.00	3979 of 5295 - Sale <sup>25</sup>
	1.000	6.42	05/18/20	16.83	10.41 W	0.00	3980 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	25.91	13.07 W	0.00	3981 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	25.72	12.88 W	0.00	3982 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	25.65	12.81 W	0.00	3983 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	26.00	13.16 W	0.00	3984 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.83	14.99 W	0.00	3985 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.83	14.99 W	0.00	3986 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	26.54	13.70 W	0.00	3987 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	26.54	13.70 W	0.00	3988 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	27.29	14.45 W	0.00	3989 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.48	15.64 W	0.00	3990 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.84	05/18/20	28.47	15.63 W	0.00	3991 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	28.19	15.35 W	0.00	3992 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	30.99	18.15 W	0.00	3993 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	30.98	18.14 W	0.00	3994 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	32.46	19.62 W	0.00	3995 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	32.47	19.63 W	0.00	3996 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	32.93	20.09 W	0.00	3997 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.32	20.48 W	0.00	3998 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.80	20.96 W	0.00	3999 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.81	20.97 W	0.00	4000 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	34.00	21.16 W	0.00	4001 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.72	20.88 W	0.00	4002 of 5295 - Sale <sup>25</sup>
	2.000	12.84	05/18/20	33.47	20.63 W	0.00	4003 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	38.15	18.89 W	0.00	4004 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	38.12	18.86 W	0.00	4005 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	38.43	19.17 W	0.00	4006 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	38.49	19.23 W	0.00	4007 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.93	22.67 W	0.00	4008 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.76	22.50 W	0.00	4009 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	41.36	22.10 W	0.00	4010 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	42.80	23.54 W	0.00	4011 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	46.46	27.20 W	0.00	4012 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	46.49	27.23 W	0.00	4013 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	46.26	27.00 W	0.00	4014 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	48.91	29.65 W	0.00	4015 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	49.47	30.21 W	0.00	4016 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	51.03	31.77 W	0.00	4017 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	50.20	30.94 W	0.00	4018 of 5295 - Sale <sup>25</sup>
	3.000	19.26	05/18/20	49.71	30.45 W	0.00	4019 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	52.85	27.17 W	0.00	4020 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	51.84	26.16 W	0.00	4021 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	50.74	25.06 W	0.00	4022 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	51.42	25.74 W	0.00	4023 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	51.99	26.31 W	0.00	4024 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	25.68	05/18/20	55.74	30.06 W	0.00	4025 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	56.74	31.06 W	0.00	4026 of 5295 - Sale <sup>25</sup>
	4.000	25.68	05/18/20	60.77	35.09 W	0.00	4027 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	64.55	32.45 W	0.00	4028 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	70.79	38.69 W	0.00	4029 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	71.27	39.17 W	0.00	4030 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	84.15	52.05 W	0.00	4031 of 5295 - Sale <sup>25</sup>
	5.000	32.10	05/18/20	84.18	52.08 W	0.00	4032 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	79.14	40.62 W	0.00	4033 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	79.13	40.61 W	0.00	4034 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	77.72	39.20 W	0.00	4035 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	83.99	45.47 W	0.00	4036 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	83.48	44.96 W	0.00	4037 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	81.84	43.32 W	0.00	4038 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	101.99	63.47 W	0.00	4039 of 5295 - Sale <sup>25</sup>
	6.000	38.52	05/18/20	101.08	62.56 W	0.00	4040 of 5295 - Sale <sup>25</sup>
	7.000	44.94	05/18/20	90.33	45.39 W	0.00	4041 of 5295 - Sale <sup>25</sup>
	7.000	44.94	05/18/20	100.01	55.07 W	0.00	4042 of 5295 - Sale <sup>25</sup>
	7.000	44.94	05/18/20	106.69	61.75 W	0.00	4043 of 5295 - Sale <sup>25</sup>
	7.000	44.94	05/18/20	107.81	62.87 W	0.00	4044 of 5295 - Sale <sup>25</sup>
	8.000	51.36	05/18/20	111.32	59.96 W	0.00	4045 of 5295 - Sale <sup>25</sup>
	8.000	51.36	05/18/20	123.83	72.47 W	0.00	4046 of 5295 - Sale <sup>25</sup>
	8.000	51.36	05/18/20	130.47	79.11 W	0.00	4047 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	126.14	68.36 W	0.00	4048 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	123.97	66.19 W	0.00	4049 of 5295 - Sale <sup>25</sup>
	9.000	57.78	05/18/20	146.05	88.27 W	0.00	4050 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	127.11	62.91 W	0.00	4051 of 5295 - Sale <sup>25</sup>
	10.000	64.20	05/18/20	170.17	105.97 W	0.00	4052 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	152.58	81.96 W	0.00	4053 of 5295 - Sale <sup>25</sup>
	11.000	70.62	05/18/20	157.29	86.67 W	0.00	4054 of 5295 - Sale <sup>25</sup>
	12.000	77.04	05/18/20	163.58	86.54 W	0.00	4055 of 5295 - Sale <sup>25</sup>
	12.000	77.03	05/18/20	195.20	118.17 W	0.00	4056 of 5295 - Sale <sup>25</sup>
	14.000	89.87	05/18/20	215.85	125.98 W	0.00	4057 of 5295 - Sale <sup>25</sup>
	610.000	3,915.91	05/18/20	3,952.17	36.26 W	0.00	4058 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.43	05/18/20	14.00	7.57 W	0.00	4093 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	14.00	7.58 W	0.00	4094 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	14.04	7.62 W	0.00	4095 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	14.07	7.64 W	0.00	4096 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	15.36	8.93 W	0.00	4097 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	15.42	9.00 W	0.00	4098 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	15.30	8.87 W	0.00	4099 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	15.37	8.95 W	0.00	4100 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	15.35	8.92 W	0.00	4101 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	16.26	9.83 W	0.00	4102 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	16.45	10.02 W	0.00	4103 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	16.42	10.00 W	0.00	4104 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	16.94	10.51 W	0.00	4105 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	16.84	10.41 W	0.00	4106 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	16.91	10.49 W	0.00	4107 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	16.73	10.31 W	0.00	4108 of 5295 - Sale <sup>25</sup>
1.000		6.42	05/18/20	16.75	10.33 W	0.00	4109 of 5295 - Sale <sup>25</sup>
1.000		6.43	05/18/20	16.78	10.35 W	0.00	4110 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	25.80	12.95 W	0.00	4111 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	25.61	12.76 W	0.00	4112 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	25.54	12.69 W	0.00	4113 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	25.89	13.04 W	0.00	4114 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	27.72	14.87 W	0.00	4115 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	27.72	14.87 W	0.00	4116 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	26.43	13.58 W	0.00	4117 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	26.43	13.58 W	0.00	4118 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	27.18	14.33 W	0.00	4119 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	28.37	15.52 W	0.00	4120 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	28.36	15.51 W	0.00	4121 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	28.08	15.23 W	0.00	4122 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	30.88	18.03 W	0.00	4123 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	30.87	18.02 W	0.00	4124 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	32.35	19.50 W	0.00	4125 of 5295 - Sale <sup>25</sup>
2.000		12.85	05/18/20	32.36	19.51 W	0.00	4126 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	12.85	05/18/20	32.82	19.97 W	0.00	4127 of 5295 - Sale <sup>25</sup>
	2.000	12.85	05/18/20	33.21	20.36 W	0.00	4128 of 5295 - Sale <sup>25</sup>
	2.000	12.85	05/18/20	33.69	20.84 W	0.00	4129 of 5295 - Sale <sup>25</sup>
	2.000	12.85	05/18/20	33.70	20.85 W	0.00	4130 of 5295 - Sale <sup>25</sup>
	2.000	12.85	05/18/20	33.89	21.04 W	0.00	4131 of 5295 - Sale <sup>25</sup>
	2.000	12.85	05/18/20	33.61	20.76 W	0.00	4132 of 5295 - Sale <sup>25</sup>
	2.000	12.85	05/18/20	33.36	20.51 W	0.00	4133 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	37.98	18.71 W	0.00	4134 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	37.95	18.68 W	0.00	4135 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	38.26	18.99 W	0.00	4136 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	38.32	19.05 W	0.00	4137 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	41.76	22.49 W	0.00	4138 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	41.59	22.32 W	0.00	4139 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	41.19	21.92 W	0.00	4140 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	42.63	23.36 W	0.00	4141 of 5295 - Sale <sup>25</sup>
	3.000	19.28	05/18/20	46.30	27.02 W	0.00	4142 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	46.32	27.05 W	0.00	4143 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	46.09	26.82 W	0.00	4144 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	48.74	29.47 W	0.00	4145 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	49.30	30.03 W	0.00	4146 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	50.86	31.59 W	0.00	4147 of 5295 - Sale <sup>25</sup>
	3.000	19.27	05/18/20	50.03	30.76 W	0.00	4148 of 5295 - Sale <sup>25</sup>
	3.000	19.28	05/18/20	49.55	30.27 W	0.00	4149 of 5295 - Sale <sup>25</sup>
	4.000	25.70	05/18/20	51.62	25.92 W	0.00	4150 of 5295 - Sale <sup>25</sup>
	4.000	25.70	05/18/20	50.52	24.82 W	0.00	4151 of 5295 - Sale <sup>25</sup>
	4.000	25.70	05/18/20	51.20	25.50 W	0.00	4152 of 5295 - Sale <sup>25</sup>
	4.000	25.70	05/18/20	51.77	26.07 W	0.00	4153 of 5295 - Sale <sup>25</sup>
	4.000	25.70	05/18/20	55.52	29.82 W	0.00	4154 of 5295 - Sale <sup>25</sup>
	4.000	25.70	05/18/20	56.52	30.82 W	0.00	4155 of 5295 - Sale <sup>25</sup>
	4.000	25.70	05/18/20	60.55	34.85 W	0.00	4156 of 5295 - Sale <sup>25</sup>
	5.000	32.12	05/18/20	64.27	32.15 W	0.00	4157 of 5295 - Sale <sup>25</sup>
	5.000	32.12	05/18/20	70.51	38.39 W	0.00	4158 of 5295 - Sale <sup>25</sup>
	5.000	32.12	05/18/20	70.99	38.87 W	0.00	4159 of 5295 - Sale <sup>25</sup>
	5.000	32.13	05/18/20	83.89	51.76 W	0.00	4160 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Data rows for SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd).

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.00	05/18/20	34.57	21.57 W	0.00	4195 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	33.81	20.81 W	0.00	4196 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	51.29	31.79 W	0.00	4197 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	51.85	32.35 W	0.00	4198 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	51.84	32.34 W	0.00	4199 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	68.26	42.26 W	0.00	4200 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	68.17	42.17 W	0.00	4201 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	66.57	40.57 W	0.00	4202 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	40.23	1.23 W	0.00	4203 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	60.64	2.14 W	0.00	4204 of 5295 - Sale <sup>25</sup>
	10.000	65.00	05/18/20	66.57	1.57 W	0.00	4205 of 5295 - Sale <sup>25</sup>
	10.000	65.00	05/18/20	66.57	1.57 W	0.00	4206 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	188.94	117.44 W	0.00	4207 of 5295 - Sale <sup>25</sup>
	18.000	116.99	05/18/20	120.36	3.37 W	0.00	4208 of 5295 - Sale <sup>25</sup>
	20.000	129.99	05/18/20	134.35	4.36 W	0.00	4209 of 5295 - Sale <sup>25</sup>
	32.000	207.99	05/18/20	215.91	7.92 W	0.00	4210 of 5295 - Sale <sup>25</sup>
	150.000	974.94	05/18/20	1,010.78	35.84 W	0.00	4211 of 5295 - Sale <sup>25</sup>
	19.000	123.50	05/18/20	127.26	3.76 W	0.00	4212 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	60.65	2.15 W	0.00	4213 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.62	10.12 W	0.00	4214 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	40.04	1.04 W	0.00	4215 of 5295 - Sale <sup>25</sup>
	2.000	13.00	05/18/20	13.40	0.40 W	0.00	4216 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.27	9.77 W	0.00	4217 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.39	9.89 W	0.00	4218 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.34	9.84 W	0.00	4219 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.39	9.89 W	0.00	4220 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	16.20	9.70 W	0.00	4221 of 5295 - Sale <sup>25</sup>
	1.000	6.50	05/18/20	17.00	10.50 W	0.00	4222 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	48.84	29.34 W	0.00	4223 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	49.21	29.71 W	0.00	4224 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	49.19	29.69 W	0.00	4225 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	48.61	29.11 W	0.00	4226 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	47.97	28.47 W	0.00	4227 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	50.93	31.43 W	0.00	4228 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.50	05/18/20	51.01	31.51 W	0.00	4229 of 5295 - Sale <sup>25</sup>
	3.000	19.50	05/18/20	50.85	31.35 W	0.00	4230 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	68.07	42.07 W	0.00	4231 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	35.10	9.10 W	0.00	4232 of 5295 - Sale <sup>25</sup>
	4.000	26.00	05/18/20	35.51	9.51 W	0.00	4233 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	81.46	48.96 W	0.00	4234 of 5295 - Sale <sup>25</sup>
	5.000	32.50	05/18/20	85.04	52.54 W	0.00	4235 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	98.21	59.21 W	0.00	4236 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	102.05	63.05 W	0.00	4237 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	52.78	13.78 W	0.00	4238 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	52.52	13.52 W	0.00	4239 of 5295 - Sale <sup>25</sup>
	6.000	39.00	05/18/20	53.26	14.26 W	0.00	4240 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	146.91	88.41 W	0.00	4241 of 5295 - Sale <sup>25</sup>
	10.000	65.00	05/18/20	170.09	105.09 W	0.00	4242 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	180.45	108.95 W	0.00	4243 of 5295 - Sale <sup>25</sup>
	11.000	71.50	05/18/20	97.19	25.69 W	0.00	4244 of 5295 - Sale <sup>25</sup>
	9.000	58.50	05/18/20	78.02	19.52 W	0.00	4245 of 5295 - Sale <sup>25</sup>
	17.000	110.49	05/18/20	148.68	38.19 W	0.00	4246 of 5295 - Sale <sup>25</sup>
	18.000	116.99	05/18/20	156.82	39.83 W	0.00	4247 of 5295 - Sale <sup>25</sup>
	21.000	136.49	05/18/20	186.18	49.69 W	0.00	4248 of 5295 - Sale <sup>25</sup>
	21.000	136.49	05/18/20	183.56	47.07 W	0.00	4249 of 5295 - Sale <sup>25</sup>
	25.000	162.49	05/18/20	219.22	56.73 W	0.00	4250 of 5295 - Sale <sup>25</sup>
	28.000	181.99	05/18/20	245.53	63.54 W	0.00	4251 of 5295 - Sale <sup>25</sup>
	29.000	188.48	05/18/20	252.76	64.28 W	0.00	4252 of 5295 - Sale <sup>25</sup>
	2.000	13.06	05/18/20	17.34	4.28 W	0.00	4253 of 5295 - Sale <sup>25</sup>
	8.000	52.24	05/18/20	69.36	17.12 W	0.00	4254 of 5295 - Sale <sup>25</sup>
	1.000	6.60	05/18/20	8.67	2.07 W	0.00	4255 of 5295 - Sale <sup>25</sup>
	1.000	6.53	05/18/20	8.67	2.14 W	0.00	4256 of 5295 - Sale <sup>25</sup>
	1.000	6.60	05/18/20	17.27	10.67 W	0.00	4257 of 5295 - Sale <sup>25</sup>
	1.000	6.60	05/18/20	17.29	10.69 W	0.00	4258 of 5295 - Sale <sup>25</sup>
	3.000	19.80	05/18/20	50.53	30.73 W	0.00	4259 of 5295 - Sale <sup>25</sup>
	3.000	19.80	05/18/20	52.41	32.61 W	0.00	4260 of 5295 - Sale <sup>25</sup>
	4.000	26.40	05/18/20	69.76	43.36 W	0.00	4261 of 5295 - Sale <sup>25</sup>
	4.000	26.40	05/18/20	69.68	43.28 W	0.00	4262 of 5295 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.20	05/18/20	34.35	21.15 W	0.00	4263 of 5295 - Sale <sup>25</sup>
	13.000	85.80	05/18/20	112.71	26.91 W	0.00	4264 of 5295 - Sale <sup>25</sup>
	1.000	6.65	05/18/20	17.18	10.53 W	0.00	4265 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.89	10.12 W	0.00	4266 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.94	10.17 W	0.00	4267 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.81	10.04 W	0.00	4268 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.86	10.09 W	0.00	4269 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.68	9.91 W	0.00	4270 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.70	9.93 W	0.00	4271 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.77	10.00 W	0.00	4272 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.65	9.88 W	0.00	4273 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.58	9.81 W	0.00	4274 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.59	9.82 W	0.00	4275 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.56	9.79 W	0.00	4276 of 5295 - Sale <sup>25</sup>
	1.000	6.77	05/18/20	16.54	9.77 W	0.00	4277 of 5295 - Sale <sup>25</sup>
	2.000	13.54	05/18/20	33.85	20.31 W	0.00	4278 of 5295 - Sale <sup>25</sup>
	2.000	13.54	05/18/20	33.46	19.92 W	0.00	4279 of 5295 - Sale <sup>25</sup>
	2.000	13.54	05/18/20	33.41	19.87 W	0.00	4280 of 5295 - Sale <sup>25</sup>
	2.000	13.54	05/18/20	33.53	19.99 W	0.00	4281 of 5295 - Sale <sup>25</sup>
	2.000	13.54	05/18/20	33.29	19.75 W	0.00	4282 of 5295 - Sale <sup>25</sup>
	2.000	13.54	05/18/20	33.06	19.52 W	0.00	4283 of 5295 - Sale <sup>25</sup>
	3.000	20.31	05/18/20	50.67	30.36 W	0.00	4284 of 5295 - Sale <sup>25</sup>
	3.000	20.31	05/18/20	49.78	29.47 W	0.00	4285 of 5295 - Sale <sup>25</sup>
	4.000	27.08	05/18/20	67.50	40.42 W	0.00	4286 of 5295 - Sale <sup>25</sup>
	4.000	27.08	05/18/20	67.21	40.13 W	0.00	4287 of 5295 - Sale <sup>25</sup>
	4.000	27.08	05/18/20	67.13	40.05 W	0.00	4288 of 5295 - Sale <sup>25</sup>
	4.000	27.07	05/18/20	66.00	38.93 W	0.00	4289 of 5295 - Sale <sup>25</sup>
	5.000	33.85	05/18/20	84.62	50.77 W	0.00	4290 of 5295 - Sale <sup>25</sup>
	5.000	33.85	05/18/20	83.39	49.54 W	0.00	4291 of 5295 - Sale <sup>25</sup>
	5.000	33.85	05/18/20	83.95	50.10 W	0.00	4292 of 5295 - Sale <sup>25</sup>
	5.000	33.85	05/18/20	82.92	49.07 W	0.00	4293 of 5295 - Sale <sup>25</sup>
	4.000	27.08	05/18/20	68.70	41.62 W	0.00	4294 of 5295 - Sale <sup>25</sup>
	8.000	54.16	05/18/20	133.99	79.83 W	0.00	4295 of 5295 - Sale <sup>25</sup>
	8.000	54.15	05/18/20	132.78	78.63 W	0.00	4296 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	60.93	05/18/20	150.39	89.46 W	0.00	4297 of 5295 - Sale <sup>25</sup>
	5.000	33.84	05/18/20	83.85	50.01 W	0.00	4298 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.89	9.21 W	0.00	4299 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.97	9.29 W	0.00	4300 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.81	9.13 W	0.00	4301 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.68	9.00 W	0.00	4302 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.63	8.95 W	0.00	4303 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.88	18.52 W	0.00	4304 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.45	18.09 W	0.00	4305 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.45	18.09 W	0.00	4306 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.06	17.70 W	0.00	4307 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	47.64	27.60 W	0.00	4308 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	47.75	27.71 W	0.00	4309 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	47.16	27.12 W	0.00	4310 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	47.33	27.29 W	0.00	4311 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	63.09	36.37 W	0.00	4312 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.92	26.88 W	0.00	4313 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	67.08	40.36 W	0.00	4314 of 5295 - Sale <sup>25</sup>
	14.000	93.52	05/18/20	221.17	127.65 W	0.00	4315 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.28	17.92 W	0.00	4316 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.32	8.64 W	0.00	4317 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.37	8.69 W	0.00	4318 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.00	9.32 W	0.00	4319 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.01	9.33 W	0.00	4320 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.96	9.28 W	0.00	4321 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.88	8.20 W	0.00	4322 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.90	8.22 W	0.00	4323 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.72	8.04 W	0.00	4324 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.53	7.85 W	0.00	4325 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.55	6.87 W	0.00	4326 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.55	6.87 W	0.00	4327 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.92	8.24 W	0.00	4328 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.28	8.60 W	0.00	4329 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.14	8.46 W	0.00	4330 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.68	05/18/20	15.18	8.50 W	0.00	4331 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	30.60	17.24 W	0.00	4332 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.98	18.62 W	0.00	4333 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	32.43	19.07 W	0.00	4334 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	29.65	16.29 W	0.00	4335 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	29.03	15.67 W	0.00	4336 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	27.12	13.76 W	0.00	4337 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	29.78	16.42 W	0.00	4338 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.87	15.51 W	0.00	4339 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	48.79	28.75 W	0.00	4340 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	43.74	23.70 W	0.00	4341 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	40.78	20.74 W	0.00	4342 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	45.41	25.37 W	0.00	4343 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	45.75	25.71 W	0.00	4344 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	45.73	25.69 W	0.00	4345 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	44.56	24.52 W	0.00	4346 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	44.40	24.36 W	0.00	4347 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	43.38	23.34 W	0.00	4348 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	59.24	32.52 W	0.00	4349 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	54.19	27.47 W	0.00	4350 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	79.40	46.00 W	0.00	4351 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	81.27	47.87 W	0.00	4352 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	74.00	40.60 W	0.00	4353 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	91.21	51.13 W	0.00	4354 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	91.07	50.99 W	0.00	4355 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	86.60	46.52 W	0.00	4356 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.91	26.87 W	0.00	4357 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	116.93	63.49 W	0.00	4358 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	143.97	83.85 W	0.00	4359 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	122.68	62.56 W	0.00	4360 of 5295 - Sale <sup>25</sup>
	9.000	60.11	05/18/20	131.47	71.36 W	0.00	4361 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	162.80	89.33 W	0.00	4362 of 5295 - Sale <sup>25</sup>
	13.000	86.84	05/18/20	176.15	89.31 W	0.00	4363 of 5295 - Sale <sup>25</sup>
	15.000	100.19	05/18/20	203.63	103.44 W	0.00	4364 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.68	05/18/20	14.22	7.54 W	0.00	4365 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.23	7.55 W	0.00	4366 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.30	7.62 W	0.00	4367 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.28	7.60 W	0.00	4368 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.26	7.58 W	0.00	4369 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.57	15.21 W	0.00	4370 of 5295 - Sale <sup>25</sup>
	5.000	33.39	05/18/20	71.13	37.74 W	0.00	4371 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	86.32	46.24 W	0.00	4372 of 5295 - Sale <sup>25</sup>
	6.000	40.07	05/18/20	84.96	44.89 W	0.00	4373 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.13	7.45 W	0.00	4374 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.93	7.25 W	0.00	4375 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.89	7.21 W	0.00	4376 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.88	7.20 W	0.00	4377 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.99	7.31 W	0.00	4378 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.02	7.34 W	0.00	4379 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.00	7.32 W	0.00	4380 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.04	7.36 W	0.00	4381 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.09	7.41 W	0.00	4382 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.01	7.33 W	0.00	4383 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.92	7.24 W	0.00	4384 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.78	7.10 W	0.00	4385 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.93	7.25 W	0.00	4386 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.62	6.94 W	0.00	4387 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.99	7.31 W	0.00	4388 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.93	7.25 W	0.00	4389 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.51	7.83 W	0.00	4390 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.54	7.86 W	0.00	4391 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.56	7.88 W	0.00	4392 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.24	7.56 W	0.00	4393 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.82	7.14 W	0.00	4394 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.06	7.38 W	0.00	4395 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.05	7.37 W	0.00	4396 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.33	14.97 W	0.00	4397 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.17	14.81 W	0.00	4398 of 5295 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.36	05/18/20	28.14	14.78 W	0.00	4399 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.01	14.65 W	0.00	4400 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	27.85	14.49 W	0.00	4401 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	27.80	14.44 W	0.00	4402 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	27.81	14.45 W	0.00	4403 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	27.60	14.24 W	0.00	4404 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	26.12	12.76 W	0.00	4405 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	27.26	13.90 W	0.00	4406 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	29.31	15.95 W	0.00	4407 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.37	15.01 W	0.00	4408 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	27.98	14.62 W	0.00	4409 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.25	22.21 W	0.00	4410 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.83	21.79 W	0.00	4411 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.77	21.73 W	0.00	4412 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.32	21.28 W	0.00	4413 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.73	21.69 W	0.00	4414 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.22	22.18 W	0.00	4415 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.84	21.80 W	0.00	4416 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.83	21.79 W	0.00	4417 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.77	21.73 W	0.00	4418 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.74	22.70 W	0.00	4419 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.69	21.65 W	0.00	4420 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	56.28	29.56 W	0.00	4421 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	70.32	36.92 W	0.00	4422 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	69.54	36.14 W	0.00	4423 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	69.36	35.96 W	0.00	4424 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	65.76	32.36 W	0.00	4425 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	66.68	33.28 W	0.00	4426 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	69.65	36.25 W	0.00	4427 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	70.86	37.46 W	0.00	4428 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	69.09	35.69 W	0.00	4429 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	83.59	43.51 W	0.00	4430 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	84.29	44.21 W	0.00	4431 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	97.74	50.98 W	0.00	4432 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	46.76	05/18/20	97.96	51.20 W	0.00	4433 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	97.28	50.52 W	0.00	4434 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	99.46	52.70 W	0.00	4435 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.32	14.96 W	0.00	4436 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	118.18	64.74 W	0.00	4437 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	125.39	65.27 W	0.00	4438 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	126.21	66.09 W	0.00	4439 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	127.75	67.63 W	0.00	4440 of 5295 - Sale <sup>25</sup>
	10.000	66.80	05/18/20	147.19	80.39 W	0.00	4441 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	152.70	79.22 W	0.00	4442 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	153.53	80.05 W	0.00	4443 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	152.03	78.55 W	0.00	4444 of 5295 - Sale <sup>25</sup>
	14.000	93.51	05/18/20	194.63	101.12 W	0.00	4445 of 5295 - Sale <sup>25</sup>
	16.000	106.88	05/18/20	221.25	114.37 W	0.00	4446 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.13	7.45 W	0.00	4447 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.10	7.42 W	0.00	4448 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.08	7.40 W	0.00	4449 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.02	7.34 W	0.00	4450 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.41	15.05 W	0.00	4451 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.22	14.86 W	0.00	4452 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.21	22.17 W	0.00	4453 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.17	22.13 W	0.00	4454 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.20	22.16 W	0.00	4455 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	56.76	30.04 W	0.00	4456 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	56.48	29.76 W	0.00	4457 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	70.53	37.13 W	0.00	4458 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	85.04	44.96 W	0.00	4459 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	84.66	44.58 W	0.00	4460 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.28	7.60 W	0.00	4461 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.18	7.50 W	0.00	4462 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	12.96	6.28 W	0.00	4463 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	12.89	6.21 W	0.00	4464 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	12.90	6.22 W	0.00	4465 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	12.96	6.28 W	0.00	4466 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.68	05/18/20	13.05	6.37 W	0.00	4467 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.01	6.33 W	0.00	4468 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.00	6.32 W	0.00	4469 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.34	14.98 W	0.00	4470 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.53	15.17 W	0.00	4471 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	25.92	12.56 W	0.00	4472 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	25.73	12.37 W	0.00	4473 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	25.66	12.30 W	0.00	4474 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	26.01	12.65 W	0.00	4475 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	41.93	21.89 W	0.00	4476 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	38.16	18.12 W	0.00	4477 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	38.14	18.10 W	0.00	4478 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	38.44	18.40 W	0.00	4479 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	38.51	18.47 W	0.00	4480 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	39.01	18.97 W	0.00	4481 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	55.89	29.17 W	0.00	4482 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	57.25	30.53 W	0.00	4483 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	52.91	26.19 W	0.00	4484 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	52.87	26.15 W	0.00	4485 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	51.86	25.14 W	0.00	4486 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	50.76	24.04 W	0.00	4487 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	51.44	24.72 W	0.00	4488 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	71.51	38.11 W	0.00	4489 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	64.57	31.17 W	0.00	4490 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	85.62	45.54 W	0.00	4491 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	85.01	44.93 W	0.00	4492 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	79.97	39.89 W	0.00	4493 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	79.17	39.09 W	0.00	4494 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	79.16	39.08 W	0.00	4495 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	100.19	53.43 W	0.00	4496 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	90.37	43.61 W	0.00	4497 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	119.53	59.41 W	0.00	4498 of 5295 - Sale <sup>25</sup>
	10.000	66.80	05/18/20	139.73	72.93 W	0.00	4499 of 5295 - Sale <sup>25</sup>
	10.000	66.80	05/18/20	127.16	60.36 W	0.00	4500 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.36	05/18/20	28.50	15.14 W	0.00	4535 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.49	15.13 W	0.00	4536 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.21	14.85 W	0.00	4537 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.01	17.65 W	0.00	4538 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.00	17.64 W	0.00	4539 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.83	22.79 W	0.00	4540 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.49	26.45 W	0.00	4541 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.52	26.48 W	0.00	4542 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	56.78	30.06 W	0.00	4543 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	60.81	34.09 W	0.00	4544 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	70.84	37.44 W	0.00	4545 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	71.32	37.92 W	0.00	4546 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	100.08	53.32 W	0.00	4547 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	106.76	60.00 W	0.00	4548 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	107.88	61.12 W	0.00	4549 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	123.91	70.47 W	0.00	4550 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	157.40	83.92 W	0.00	4551 of 5295 - Sale <sup>25</sup>
	12.000	80.16	05/18/20	185.14	104.98 W	0.00	4552 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.44	8.76 W	0.00	4553 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.41	8.73 W	0.00	4554 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.32	9.64 W	0.00	4555 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.51	9.83 W	0.00	4556 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.49	9.81 W	0.00	4557 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.00	10.32 W	0.00	4558 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.90	10.22 W	0.00	4559 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.98	10.30 W	0.00	4560 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.80	10.12 W	0.00	4561 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	30.86	17.50 W	0.00	4562 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	32.48	19.12 W	0.00	4563 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	32.49	19.13 W	0.00	4564 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	32.95	19.59 W	0.00	4565 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.34	19.98 W	0.00	4566 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.82	20.46 W	0.00	4567 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.83	20.47 W	0.00	4568 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.36	05/18/20	34.02	20.66 W	0.00	4569 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.74	20.38 W	0.00	4570 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.29	26.25 W	0.00	4571 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	48.94	28.90 W	0.00	4572 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.50	29.46 W	0.00	4573 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.06	31.02 W	0.00	4574 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	84.20	50.80 W	0.00	4575 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	84.23	50.83 W	0.00	4576 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	102.05	61.97 W	0.00	4577 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	101.14	61.06 W	0.00	4578 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	130.55	77.11 W	0.00	4579 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	146.14	86.02 W	0.00	4580 of 5295 - Sale <sup>25</sup>
	10.000	66.80	05/18/20	170.27	103.47 W	0.00	4581 of 5295 - Sale <sup>25</sup>
	12.000	80.15	05/18/20	195.33	115.18 W	0.00	4582 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.82	10.14 W	0.00	4583 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.84	10.16 W	0.00	4584 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.49	20.13 W	0.00	4585 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	50.23	30.19 W	0.00	4586 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.74	29.70 W	0.00	4587 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.04	10.36 W	0.00	4588 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.01	10.33 W	0.00	4589 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.15	10.47 W	0.00	4590 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.20	10.52 W	0.00	4591 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.20	10.52 W	0.00	4592 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.83	10.15 W	0.00	4593 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.81	10.13 W	0.00	4594 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.60	9.92 W	0.00	4595 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.52	9.84 W	0.00	4596 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	34.43	21.07 W	0.00	4597 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.67	20.31 W	0.00	4598 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.08	31.04 W	0.00	4599 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.64	31.60 W	0.00	4600 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.63	31.59 W	0.00	4601 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	67.98	41.26 W	0.00	4602 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	26.72	05/18/20	67.89	41.17 W	0.00	4603 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	66.29	39.57 W	0.00	4604 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	188.17	114.69 W	0.00	4605 of 5295 - Sale <sup>25</sup>
	100.000	667.97	05/18/20	674.89	6.92 W	0.00	4606 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.57	9.89 W	0.00	4607 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.22	9.54 W	0.00	4608 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.34	9.66 W	0.00	4609 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.29	9.61 W	0.00	4610 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.34	9.66 W	0.00	4611 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	48.69	28.65 W	0.00	4612 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.06	29.02 W	0.00	4613 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.04	29.00 W	0.00	4614 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	64.97	38.25 W	0.00	4615 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	97.91	57.83 W	0.00	4616 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	60.20	0.08 W	0.00	4617 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	146.46	86.34 W	0.00	4618 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	179.90	106.43 W	0.00	4619 of 5295 - Sale <sup>25</sup>
	20.000	133.59	05/18/20	133.78	0.19 W	0.00	4620 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.58	9.90 W	0.00	4621 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.49	9.81 W	0.00	4622 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.48	29.44 W	0.00	4623 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	48.84	28.80 W	0.00	4624 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.80	31.76 W	0.00	4625 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	69.23	42.51 W	0.00	4626 of 5295 - Sale <sup>25</sup>
	5.000	33.39	05/18/20	86.49	53.10 W	0.00	4627 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	17.29	10.59 W	0.00	4628 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	51.88	31.78 W	0.00	4629 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	51.72	31.62 W	0.00	4630 of 5295 - Sale <sup>25</sup>
	6.000	40.20	05/18/20	103.79	63.59 W	0.00	4631 of 5295 - Sale <sup>25</sup>
	6.000	40.20	05/18/20	54.52	14.32 W	0.00	4632 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	9.04	2.34 W	0.00	4633 of 5295 - Sale <sup>25</sup>
	10.000	67.00	05/18/20	172.99	105.99 W	0.00	4634 of 5295 - Sale <sup>25</sup>
	25.000	167.48	05/18/20	226.48	59.00 W	0.00	4635 of 5295 - Sale <sup>25</sup>
	28.000	187.59	05/18/20	253.66	66.07 W	0.00	4636 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
25.000		167.50	05/18/20	225.17	57.67 W	0.00	4637 of 5295 - Sale <sup>25</sup>
4.000		26.80	05/18/20	36.26	9.46 W	0.00	4638 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	45.22	11.72 W	0.00	4639 of 5295 - Sale <sup>25</sup>
11.000		73.70	05/18/20	99.40	25.70 W	0.00	4640 of 5295 - Sale <sup>25</sup>
4.000		26.80	05/18/20	36.67	9.87 W	0.00	4641 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	55.00	14.80 W	0.00	4642 of 5295 - Sale <sup>25</sup>
11.000		73.70	05/18/20	100.38	26.68 W	0.00	4643 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	54.22	14.02 W	0.00	4644 of 5295 - Sale <sup>25</sup>
21.000		140.70	05/18/20	192.28	51.58 W	0.00	4645 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	18.01	4.61 W	0.00	4646 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.19	7.49 W	0.00	4647 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.13	7.43 W	0.00	4648 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.39	7.69 W	0.00	4649 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	28.56	15.16 W	0.00	4650 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	28.75	15.35 W	0.00	4651 of 5295 - Sale <sup>25</sup>
3.000		20.10	05/18/20	42.53	22.43 W	0.00	4652 of 5295 - Sale <sup>25</sup>
3.000		20.10	05/18/20	42.26	22.16 W	0.00	4653 of 5295 - Sale <sup>25</sup>
4.000		26.80	05/18/20	56.33	29.53 W	0.00	4654 of 5295 - Sale <sup>25</sup>
4.000		26.80	05/18/20	57.69	30.89 W	0.00	4655 of 5295 - Sale <sup>25</sup>
3.000		20.10	05/18/20	42.65	22.55 W	0.00	4656 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	72.06	38.56 W	0.00	4657 of 5295 - Sale <sup>25</sup>
7.000		46.90	05/18/20	100.96	54.06 W	0.00	4658 of 5295 - Sale <sup>25</sup>
10.000		67.00	05/18/20	140.83	73.83 W	0.00	4659 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.31	7.61 W	0.00	4660 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.41	6.71 W	0.00	4661 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	86.40	46.20 W	0.00	4662 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	85.79	45.59 W	0.00	4663 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	80.75	40.55 W	0.00	4664 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.05	6.35 W	0.00	4665 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	12.98	6.28 W	0.00	4666 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	12.99	6.29 W	0.00	4667 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.05	6.35 W	0.00	4668 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.14	6.44 W	0.00	4669 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.10	6.40 W	0.00	4670 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.70	05/18/20	13.09	6.39 W	0.00	4671 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	13.10	6.40 W	0.00	4672 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	13.98	7.28 W	0.00	4673 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	14.05	7.35 W	0.00	4674 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	13.38	6.68 W	0.00	4675 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	13.81	7.11 W	0.00	4676 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	13.74	7.04 W	0.00	4677 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	13.78	7.08 W	0.00	4678 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	14.33	7.63 W	0.00	4679 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	14.29	7.59 W	0.00	4680 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	26.10	12.70 W	0.00	4681 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	25.91	12.51 W	0.00	4682 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	25.84	12.44 W	0.00	4683 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	26.19	12.79 W	0.00	4684 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.03	14.63 W	0.00	4685 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.03	14.63 W	0.00	4686 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	26.74	13.34 W	0.00	4687 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	26.74	13.34 W	0.00	4688 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	27.49	14.09 W	0.00	4689 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.68	15.28 W	0.00	4690 of 5295 - Sale <sup>25</sup>
	2.000	13.39	05/18/20	28.67	15.28 W	0.00	4691 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	38.43	18.33 W	0.00	4692 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	38.41	18.31 W	0.00	4693 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	38.71	18.61 W	0.00	4694 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	38.78	18.68 W	0.00	4695 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	39.28	19.18 W	0.00	4696 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.23	22.13 W	0.00	4697 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.06	21.96 W	0.00	4698 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	41.66	21.56 W	0.00	4699 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	53.27	26.47 W	0.00	4700 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	53.23	26.43 W	0.00	4701 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	52.22	25.42 W	0.00	4702 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	51.12	24.32 W	0.00	4703 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	51.80	25.00 W	0.00	4704 of 5295 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	26.80	05/18/20	56.14	29.34 W	0.00	4705 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	65.02	31.52 W	0.00	4706 of 5295 - Sale <sup>25</sup>
	5.000	33.49	05/18/20	68.70	35.21 W	0.00	4707 of 5295 - Sale <sup>25</sup>
	6.000	40.20	05/18/20	79.71	39.51 W	0.00	4708 of 5295 - Sale <sup>25</sup>
	6.000	40.20	05/18/20	79.70	39.50 W	0.00	4709 of 5295 - Sale <sup>25</sup>
	6.000	40.20	05/18/20	78.32	38.12 W	0.00	4710 of 5295 - Sale <sup>25</sup>
	6.000	40.20	05/18/20	84.59	44.39 W	0.00	4711 of 5295 - Sale <sup>25</sup>
	6.000	40.20	05/18/20	84.08	43.88 W	0.00	4712 of 5295 - Sale <sup>25</sup>
	7.000	46.90	05/18/20	91.00	44.10 W	0.00	4713 of 5295 - Sale <sup>25</sup>
	8.000	53.60	05/18/20	106.97	53.37 W	0.00	4714 of 5295 - Sale <sup>25</sup>
	8.000	53.59	05/18/20	112.12	58.53 W	0.00	4715 of 5295 - Sale <sup>25</sup>
	9.000	60.29	05/18/20	127.04	66.75 W	0.00	4716 of 5295 - Sale <sup>25</sup>
	9.000	60.29	05/18/20	124.87	64.58 W	0.00	4717 of 5295 - Sale <sup>25</sup>
	10.000	67.00	05/18/20	128.06	61.06 W	0.00	4718 of 5295 - Sale <sup>25</sup>
	11.000	73.69	05/18/20	153.68	79.99 W	0.00	4719 of 5295 - Sale <sup>25</sup>
	12.000	80.39	05/18/20	164.78	84.39 W	0.00	4720 of 5295 - Sale <sup>25</sup>
	11.000	73.70	05/18/20	99.35	25.65 W	0.00	4721 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	18.01	4.61 W	0.00	4722 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	8.86	2.16 W	0.00	4723 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	8.93	2.23 W	0.00	4724 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	17.46	10.76 W	0.00	4725 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	17.48	10.78 W	0.00	4726 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	17.32	10.62 W	0.00	4727 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.91	10.21 W	0.00	4728 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.96	10.26 W	0.00	4729 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.83	10.13 W	0.00	4730 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.88	10.18 W	0.00	4731 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.70	10.00 W	0.00	4732 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.72	10.02 W	0.00	4733 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.79	10.09 W	0.00	4734 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.67	9.97 W	0.00	4735 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.60	9.90 W	0.00	4736 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.61	9.91 W	0.00	4737 of 5295 - Sale <sup>25</sup>
	1.000	6.70	05/18/20	16.58	9.88 W	0.00	4738 of 5295 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.70	05/18/20	16.56	9.86 W	0.00	4739 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	16.00	9.30 W	0.00	4740 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	16.08	9.38 W	0.00	4741 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.92	9.22 W	0.00	4742 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.79	9.09 W	0.00	4743 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.74	9.04 W	0.00	4744 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.43	8.73 W	0.00	4745 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.48	8.78 W	0.00	4746 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	16.11	9.41 W	0.00	4747 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	16.12	9.42 W	0.00	4748 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	16.07	9.37 W	0.00	4749 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.99	8.29 W	0.00	4750 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.01	8.31 W	0.00	4751 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.83	8.13 W	0.00	4752 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.64	7.94 W	0.00	4753 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.66	6.96 W	0.00	4754 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.66	6.96 W	0.00	4755 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.03	8.33 W	0.00	4756 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.39	8.69 W	0.00	4757 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.25	8.55 W	0.00	4758 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	15.29	8.59 W	0.00	4759 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.33	7.63 W	0.00	4760 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.34	7.64 W	0.00	4761 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.41	7.71 W	0.00	4762 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.39	7.69 W	0.00	4763 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.37	7.67 W	0.00	4764 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.24	7.54 W	0.00	4765 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.04	7.34 W	0.00	4766 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.00	7.30 W	0.00	4767 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.99	7.29 W	0.00	4768 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.10	7.40 W	0.00	4769 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.13	7.43 W	0.00	4770 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.11	7.41 W	0.00	4771 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.15	7.45 W	0.00	4772 of 5295 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.70	05/18/20	14.20	7.50 W	0.00	4773 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.12	7.42 W	0.00	4774 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.03	7.33 W	0.00	4775 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.89	7.19 W	0.00	4776 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.04	7.34 W	0.00	4777 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.73	7.03 W	0.00	4778 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.10	7.40 W	0.00	4779 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.04	7.34 W	0.00	4780 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.62	7.92 W	0.00	4781 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.65	7.95 W	0.00	4782 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.67	7.97 W	0.00	4783 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.35	7.65 W	0.00	4784 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	13.93	7.23 W	0.00	4785 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.17	7.47 W	0.00	4786 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.16	7.46 W	0.00	4787 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	17.86	4.46 W	0.00	4788 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	34.73	21.33 W	0.00	4789 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	33.89	20.49 W	0.00	4790 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	33.50	20.10 W	0.00	4791 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	33.45	20.05 W	0.00	4792 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	33.57	20.17 W	0.00	4793 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	33.33	19.93 W	0.00	4794 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	33.10	19.70 W	0.00	4795 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	32.10	18.70 W	0.00	4796 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	31.67	18.27 W	0.00	4797 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	31.67	18.27 W	0.00	4798 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	31.28	17.88 W	0.00	4799 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	31.50	18.10 W	0.00	4800 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	30.82	17.42 W	0.00	4801 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	32.20	18.80 W	0.00	4802 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	32.65	19.25 W	0.00	4803 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	29.87	16.47 W	0.00	4804 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	29.25	15.85 W	0.00	4805 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	27.34	13.94 W	0.00	4806 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.40	05/18/20	30.00	16.60 W	0.00	4807 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	29.09	15.69 W	0.00	4808 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.79	15.39 W	0.00	4809 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.54	15.14 W	0.00	4810 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.55	15.15 W	0.00	4811 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.39	14.99 W	0.00	4812 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.36	14.96 W	0.00	4813 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.23	14.83 W	0.00	4814 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.07	14.67 W	0.00	4815 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.02	14.62 W	0.00	4816 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.03	14.63 W	0.00	4817 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	27.82	14.42 W	0.00	4818 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	26.34	12.94 W	0.00	4819 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	27.48	14.08 W	0.00	4820 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	29.53	16.13 W	0.00	4821 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.59	15.19 W	0.00	4822 of 5295 - Sale <sup>25</sup>
	2.000	13.40	05/18/20	28.20	14.80 W	0.00	4823 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	51.10	31.00 W	0.00	4824 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	52.98	32.88 W	0.00	4825 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	50.73	30.63 W	0.00	4826 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	49.84	29.74 W	0.00	4827 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	47.97	27.87 W	0.00	4828 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	48.08	27.98 W	0.00	4829 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	47.49	27.39 W	0.00	4830 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	47.66	27.56 W	0.00	4831 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	47.25	27.15 W	0.00	4832 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	47.24	27.14 W	0.00	4833 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	49.12	29.02 W	0.00	4834 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	44.07	23.97 W	0.00	4835 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	41.11	21.01 W	0.00	4836 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	45.74	25.64 W	0.00	4837 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	46.08	25.98 W	0.00	4838 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	46.06	25.96 W	0.00	4839 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	44.89	24.79 W	0.00	4840 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.10	05/18/20	44.73	24.63 W	0.00	4841 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	43.71	23.61 W	0.00	4842 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.58	22.48 W	0.00	4843 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.16	22.06 W	0.00	4844 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.10	22.00 W	0.00	4845 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	41.65	21.55 W	0.00	4846 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.06	21.96 W	0.00	4847 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.55	22.45 W	0.00	4848 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.17	22.07 W	0.00	4849 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.16	22.06 W	0.00	4850 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.10	22.00 W	0.00	4851 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	43.07	22.97 W	0.00	4852 of 5295 - Sale <sup>25</sup>
	3.000	20.10	05/18/20	42.02	21.92 W	0.00	4853 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	70.52	43.72 W	0.00	4854 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	70.44	43.64 W	0.00	4855 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	68.78	41.98 W	0.00	4856 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	67.58	40.78 W	0.00	4857 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	67.29	40.49 W	0.00	4858 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	67.21	40.41 W	0.00	4859 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	66.09	39.29 W	0.00	4860 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	67.52	40.72 W	0.00	4861 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	63.53	36.73 W	0.00	4862 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	59.68	32.88 W	0.00	4863 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	54.63	27.83 W	0.00	4864 of 5295 - Sale <sup>25</sup>
	4.000	26.80	05/18/20	56.72	29.92 W	0.00	4865 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	84.72	51.22 W	0.00	4866 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	83.49	49.99 W	0.00	4867 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	84.05	50.55 W	0.00	4868 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	83.02	49.52 W	0.00	4869 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	83.96	50.46 W	0.00	4870 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	79.95	46.45 W	0.00	4871 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	81.82	48.32 W	0.00	4872 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	74.55	41.05 W	0.00	4873 of 5295 - Sale <sup>25</sup>
	5.000	33.50	05/18/20	71.69	38.19 W	0.00	4874 of 5295 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
5.000		33.50	05/18/20	70.87	37.37 W	0.00	4875 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	70.09	36.59 W	0.00	4876 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	69.91	36.41 W	0.00	4877 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	66.31	32.81 W	0.00	4878 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	67.23	33.73 W	0.00	4879 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	70.20	36.70 W	0.00	4880 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	71.41	37.91 W	0.00	4881 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	69.64	36.14 W	0.00	4882 of 5295 - Sale <sup>25</sup>
5.000		33.50	05/18/20	71.59	38.09 W	0.00	4883 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	91.87	51.67 W	0.00	4884 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	91.73	51.53 W	0.00	4885 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	87.26	47.06 W	0.00	4886 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	86.98	46.78 W	0.00	4887 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	85.63	45.43 W	0.00	4888 of 5295 - Sale <sup>25</sup>
6.000		40.20	05/18/20	84.25	44.05 W	0.00	4889 of 5295 - Sale <sup>25</sup>
6.000		40.19	05/18/20	84.95	44.76 W	0.00	4890 of 5295 - Sale <sup>25</sup>
7.000		46.90	05/18/20	98.51	51.61 W	0.00	4891 of 5295 - Sale <sup>25</sup>
7.000		46.90	05/18/20	98.73	51.83 W	0.00	4892 of 5295 - Sale <sup>25</sup>
7.000		46.89	05/18/20	98.05	51.16 W	0.00	4893 of 5295 - Sale <sup>25</sup>
8.000		53.60	05/18/20	71.44	17.84 W	0.00	4894 of 5295 - Sale <sup>25</sup>
8.000		53.60	05/18/20	134.15	80.55 W	0.00	4895 of 5295 - Sale <sup>25</sup>
8.000		53.60	05/18/20	132.95	79.35 W	0.00	4896 of 5295 - Sale <sup>25</sup>
8.000		53.60	05/18/20	117.81	64.21 W	0.00	4897 of 5295 - Sale <sup>25</sup>
8.000		53.59	05/18/20	119.06	65.47 W	0.00	4898 of 5295 - Sale <sup>25</sup>
9.000		60.30	05/18/20	80.63	20.33 W	0.00	4899 of 5295 - Sale <sup>25</sup>
9.000		60.30	05/18/20	150.57	90.27 W	0.00	4900 of 5295 - Sale <sup>25</sup>
9.000		60.30	05/18/20	144.96	84.66 W	0.00	4901 of 5295 - Sale <sup>25</sup>
9.000		60.30	05/18/20	123.67	63.37 W	0.00	4902 of 5295 - Sale <sup>25</sup>
9.000		60.30	05/18/20	132.47	72.17 W	0.00	4903 of 5295 - Sale <sup>25</sup>
9.000		60.29	05/18/20	126.38	66.09 W	0.00	4904 of 5295 - Sale <sup>25</sup>
9.000		60.29	05/18/20	127.20	66.91 W	0.00	4905 of 5295 - Sale <sup>25</sup>
9.000		60.29	05/18/20	128.74	68.45 W	0.00	4906 of 5295 - Sale <sup>25</sup>
10.000		66.99	05/18/20	148.29	81.30 W	0.00	4907 of 5295 - Sale <sup>25</sup>
11.000		73.69	05/18/20	164.02	90.33 W	0.00	4908 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		73.69	05/18/20	153.91	80.22 W	0.00	4909 of 5295 - Sale <sup>25</sup>
11.000		73.69	05/18/20	154.74	81.05 W	0.00	4910 of 5295 - Sale <sup>25</sup>
11.000		73.69	05/18/20	153.24	79.55 W	0.00	4911 of 5295 - Sale <sup>25</sup>
13.000		87.10	05/18/20	115.18	28.08 W	0.00	4912 of 5295 - Sale <sup>25</sup>
13.000		87.09	05/18/20	177.58	90.49 W	0.00	4913 of 5295 - Sale <sup>25</sup>
14.000		93.79	05/18/20	222.71	128.92 W	0.00	4914 of 5295 - Sale <sup>25</sup>
14.000		93.79	05/18/20	196.18	102.39 W	0.00	4915 of 5295 - Sale <sup>25</sup>
15.000		100.49	05/18/20	205.29	104.80 W	0.00	4916 of 5295 - Sale <sup>25</sup>
16.000		107.19	05/18/20	223.01	115.82 W	0.00	4917 of 5295 - Sale <sup>25</sup>
18.000		120.59	05/18/20	162.05	41.46 W	0.00	4918 of 5295 - Sale <sup>25</sup>
10.000		67.00	05/18/20	90.31	23.31 W	0.00	4919 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.24	7.54 W	0.00	4920 of 5295 - Sale <sup>25</sup>
1.000		6.70	05/18/20	14.21	7.51 W	0.00	4921 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	28.64	15.24 W	0.00	4922 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	28.63	15.23 W	0.00	4923 of 5295 - Sale <sup>25</sup>
2.000		13.40	05/18/20	28.44	15.04 W	0.00	4924 of 5295 - Sale <sup>25</sup>
3.000		20.10	05/18/20	42.54	22.44 W	0.00	4925 of 5295 - Sale <sup>25</sup>
3.000		20.10	05/18/20	42.50	22.40 W	0.00	4926 of 5295 - Sale <sup>25</sup>
4.000		26.80	05/18/20	57.20	30.40 W	0.00	4927 of 5295 - Sale <sup>25</sup>
4.000		26.79	05/18/20	56.92	30.13 W	0.00	4928 of 5295 - Sale <sup>25</sup>
2.000		13.39	05/18/20	28.43	15.04 W	0.00	4929 of 5295 - Sale <sup>25</sup>
6.000		40.19	05/18/20	85.70	45.51 W	0.00	4930 of 5295 - Sale <sup>25</sup>
6.000		40.19	05/18/20	85.32	45.13 W	0.00	4931 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.31	7.63 W	0.00	4932 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.10	7.42 W	0.00	4933 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.11	7.43 W	0.00	4934 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.15	7.47 W	0.00	4935 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.17	7.49 W	0.00	4936 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	15.46	8.78 W	0.00	4937 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	15.53	8.85 W	0.00	4938 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	15.40	8.72 W	0.00	4939 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	15.48	8.80 W	0.00	4940 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	15.45	8.77 W	0.00	4941 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	16.36	9.68 W	0.00	4942 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.68	05/18/20	16.55	9.87 W	0.00	4943 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.53	9.85 W	0.00	4944 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.04	10.36 W	0.00	4945 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.94	10.26 W	0.00	4946 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.02	10.34 W	0.00	4947 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.84	10.16 W	0.00	4948 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.86	10.18 W	0.00	4949 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.88	10.20 W	0.00	4950 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.08	10.40 W	0.00	4951 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.05	10.37 W	0.00	4952 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.19	10.51 W	0.00	4953 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.24	10.56 W	0.00	4954 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.24	10.56 W	0.00	4955 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.87	10.19 W	0.00	4956 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.85	10.17 W	0.00	4957 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.64	9.96 W	0.00	4958 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.56	9.88 W	0.00	4959 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.61	9.93 W	0.00	4960 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.26	9.58 W	0.00	4961 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.38	9.70 W	0.00	4962 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.33	9.65 W	0.00	4963 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.38	9.70 W	0.00	4964 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.62	9.94 W	0.00	4965 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.53	9.85 W	0.00	4966 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.31	10.63 W	0.00	4967 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	28.29	14.93 W	0.00	4968 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.09	17.73 W	0.00	4969 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	31.08	17.72 W	0.00	4970 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	30.94	17.58 W	0.00	4971 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	32.56	19.20 W	0.00	4972 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	32.57	19.21 W	0.00	4973 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.03	19.67 W	0.00	4974 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.42	20.06 W	0.00	4975 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.90	20.54 W	0.00	4976 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.36	05/18/20	33.91	20.55 W	0.00	4977 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	34.10	20.74 W	0.00	4978 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.82	20.46 W	0.00	4979 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.57	20.21 W	0.00	4980 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	34.51	21.15 W	0.00	4981 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	33.75	20.39 W	0.00	4982 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.70	22.66 W	0.00	4983 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	42.95	22.91 W	0.00	4984 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.61	26.57 W	0.00	4985 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.64	26.60 W	0.00	4986 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	46.41	26.37 W	0.00	4987 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.06	29.02 W	0.00	4988 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.62	29.58 W	0.00	4989 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.18	31.14 W	0.00	4990 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	50.35	30.31 W	0.00	4991 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.86	29.82 W	0.00	4992 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.20	31.16 W	0.00	4993 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.76	31.72 W	0.00	4994 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.75	31.71 W	0.00	4995 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	48.81	28.77 W	0.00	4996 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.18	29.14 W	0.00	4997 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.16	29.12 W	0.00	4998 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	49.60	29.56 W	0.00	4999 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	48.96	28.92 W	0.00	5000 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.92	31.88 W	0.00	5001 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.94	31.90 W	0.00	5002 of 5295 - Sale <sup>25</sup>
	3.000	20.04	05/18/20	51.78	31.74 W	0.00	5003 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	60.97	34.25 W	0.00	5004 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	68.14	41.42 W	0.00	5005 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	68.05	41.33 W	0.00	5006 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	66.45	39.73 W	0.00	5007 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	65.13	38.41 W	0.00	5008 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	69.39	42.67 W	0.00	5009 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	71.04	37.64 W	0.00	5010 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	33.40	05/18/20	71.52	38.12 W	0.00	5011 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	84.40	51.00 W	0.00	5012 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	84.43	51.03 W	0.00	5013 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	86.70	53.30 W	0.00	5014 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	102.29	62.21 W	0.00	5015 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	101.38	61.30 W	0.00	5016 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	98.15	58.07 W	0.00	5017 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	103.91	63.83 W	0.00	5018 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	100.36	53.60 W	0.00	5019 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	107.04	60.28 W	0.00	5020 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	108.16	61.40 W	0.00	5021 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	124.23	70.79 W	0.00	5022 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	130.87	77.43 W	0.00	5023 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	146.50	86.38 W	0.00	5024 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	60.56	0.44 W	0.00	5025 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	146.82	86.70 W	0.00	5026 of 5295 - Sale <sup>25</sup>
	9.000	60.11	05/18/20	81.72	21.61 W	0.00	5027 of 5295 - Sale <sup>25</sup>
	10.000	66.80	05/18/20	170.67	103.87 W	0.00	5028 of 5295 - Sale <sup>25</sup>
	10.000	66.79	05/18/20	173.19	106.40 W	0.00	5029 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	157.84	84.36 W	0.00	5030 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	188.61	115.13 W	0.00	5031 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	180.35	106.88 W	0.00	5032 of 5295 - Sale <sup>25</sup>
	12.000	80.16	05/18/20	185.62	105.46 W	0.00	5033 of 5295 - Sale <sup>25</sup>
	12.000	80.16	05/18/20	195.82	115.66 W	0.00	5034 of 5295 - Sale <sup>25</sup>
	20.000	133.59	05/18/20	134.59	1.00 W	0.00	5035 of 5295 - Sale <sup>25</sup>
	28.000	187.02	05/18/20	254.23	67.21 W	0.00	5036 of 5295 - Sale <sup>25</sup>
	100.000	667.96	05/18/20	678.92	10.96 W	0.00	5037 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	9.04	2.36 W	0.00	5038 of 5295 - Sale <sup>25</sup>
	2.000	13.36	05/18/20	18.01	4.65 W	0.00	5039 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	36.26	9.54 W	0.00	5040 of 5295 - Sale <sup>25</sup>
	4.000	26.72	05/18/20	36.67	9.95 W	0.00	5041 of 5295 - Sale <sup>25</sup>
	5.000	33.40	05/18/20	45.22	11.82 W	0.00	5042 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	54.52	14.44 W	0.00	5043 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	54.22	14.14 W	0.00	5044 of 5295 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	40.07	05/18/20	55.00	14.93 W	0.00	5045 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	99.40	25.93 W	0.00	5046 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	100.38	26.91 W	0.00	5047 of 5295 - Sale <sup>25</sup>
	16.000	106.87	05/18/20	144.96	38.09 W	0.00	5048 of 5295 - Sale <sup>25</sup>
	21.000	140.27	05/18/20	192.28	52.01 W	0.00	5049 of 5295 - Sale <sup>25</sup>
	12.000	80.15	05/18/20	108.08	27.93 W	0.00	5050 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	8.86	2.18 W	0.00	5051 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	8.93	2.25 W	0.00	5052 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.46	10.78 W	0.00	5053 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.48	10.80 W	0.00	5054 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	17.32	10.64 W	0.00	5055 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.91	10.23 W	0.00	5056 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.96	10.28 W	0.00	5057 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.83	10.15 W	0.00	5058 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.88	10.20 W	0.00	5059 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.70	10.02 W	0.00	5060 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.72	10.04 W	0.00	5061 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.79	10.11 W	0.00	5062 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.67	9.99 W	0.00	5063 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.60	9.92 W	0.00	5064 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.61	9.93 W	0.00	5065 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.58	9.90 W	0.00	5066 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.56	9.88 W	0.00	5067 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.00	9.32 W	0.00	5068 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.08	9.40 W	0.00	5069 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.92	9.24 W	0.00	5070 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.79	9.11 W	0.00	5071 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.74	9.06 W	0.00	5072 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.43	8.75 W	0.00	5073 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.48	8.80 W	0.00	5074 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.11	9.43 W	0.00	5075 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.12	9.44 W	0.00	5076 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	16.07	9.39 W	0.00	5077 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.99	8.31 W	0.00	5078 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.68	05/18/20	15.01	8.33 W	0.00	5079 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.83	8.15 W	0.00	5080 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.64	7.96 W	0.00	5081 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.66	6.98 W	0.00	5082 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.66	6.98 W	0.00	5083 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.03	8.35 W	0.00	5084 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.39	8.71 W	0.00	5085 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.25	8.57 W	0.00	5086 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	15.29	8.61 W	0.00	5087 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.33	7.65 W	0.00	5088 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.34	7.66 W	0.00	5089 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.41	7.73 W	0.00	5090 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.39	7.71 W	0.00	5091 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.37	7.69 W	0.00	5092 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.24	7.56 W	0.00	5093 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.04	7.36 W	0.00	5094 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.00	7.32 W	0.00	5095 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.99	7.31 W	0.00	5096 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.10	7.42 W	0.00	5097 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.13	7.45 W	0.00	5098 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.11	7.43 W	0.00	5099 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.15	7.47 W	0.00	5100 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.20	7.52 W	0.00	5101 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.12	7.44 W	0.00	5102 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.03	7.35 W	0.00	5103 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.89	7.21 W	0.00	5104 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.04	7.36 W	0.00	5105 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	13.73	7.05 W	0.00	5106 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.10	7.42 W	0.00	5107 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.04	7.36 W	0.00	5108 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.62	7.94 W	0.00	5109 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.65	7.97 W	0.00	5110 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.67	7.99 W	0.00	5111 of 5295 - Sale <sup>25</sup>
	1.000	6.68	05/18/20	14.35	7.67 W	0.00	5112 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.68	05/18/20	13.93	7.25 W	0.00	5113 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.17	7.49 W	0.00	5114 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.16	7.48 W	0.00	5115 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.24	7.56 W	0.00	5116 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.21	7.53 W	0.00	5117 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.19	7.51 W	0.00	5118 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.13	7.45 W	0.00	5119 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.39	7.71 W	0.00	5120 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	14.31	7.63 W	0.00	5121 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	13.41	6.73 W	0.00	5122 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	13.05	6.37 W	0.00	5123 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	12.98	6.30 W	0.00	5124 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	12.99	6.31 W	0.00	5125 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	13.05	6.37 W	0.00	5126 of 5295 - Sale <sup>25</sup>
1.000		6.68	05/18/20	13.14	6.46 W	0.00	5127 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	18.01	4.65 W	0.00	5128 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	17.86	4.50 W	0.00	5129 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	34.73	21.37 W	0.00	5130 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	33.89	20.53 W	0.00	5131 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	33.50	20.14 W	0.00	5132 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	33.45	20.09 W	0.00	5133 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	33.57	20.21 W	0.00	5134 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	33.33	19.97 W	0.00	5135 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	33.10	19.74 W	0.00	5136 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	32.10	18.74 W	0.00	5137 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	31.67	18.31 W	0.00	5138 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	31.67	18.31 W	0.00	5139 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	31.28	17.92 W	0.00	5140 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	31.50	18.14 W	0.00	5141 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	30.82	17.46 W	0.00	5142 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	32.20	18.84 W	0.00	5143 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	32.65	19.29 W	0.00	5144 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	29.87	16.51 W	0.00	5145 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	29.25	15.89 W	0.00	5146 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		13.36	05/18/20	27.34	13.98 W	0.00	5147 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	30.00	16.64 W	0.00	5148 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	29.09	15.73 W	0.00	5149 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.79	15.43 W	0.00	5150 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.54	15.18 W	0.00	5151 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.55	15.19 W	0.00	5152 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.39	15.03 W	0.00	5153 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.36	15.00 W	0.00	5154 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.23	14.87 W	0.00	5155 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.07	14.71 W	0.00	5156 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.02	14.66 W	0.00	5157 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.03	14.67 W	0.00	5158 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	27.82	14.46 W	0.00	5159 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	26.34	12.98 W	0.00	5160 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	27.48	14.12 W	0.00	5161 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	29.53	16.17 W	0.00	5162 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.59	15.23 W	0.00	5163 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.20	14.84 W	0.00	5164 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.64	15.28 W	0.00	5165 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.63	15.27 W	0.00	5166 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.44	15.08 W	0.00	5167 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.44	15.08 W	0.00	5168 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.56	15.20 W	0.00	5169 of 5295 - Sale <sup>25</sup>
2.000		13.36	05/18/20	28.75	15.39 W	0.00	5170 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	51.10	31.06 W	0.00	5171 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	52.98	32.94 W	0.00	5172 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	50.73	30.69 W	0.00	5173 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	49.84	29.80 W	0.00	5174 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	47.97	27.93 W	0.00	5175 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	48.08	28.04 W	0.00	5176 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	47.49	27.45 W	0.00	5177 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	47.66	27.62 W	0.00	5178 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	47.25	27.21 W	0.00	5179 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	47.24	27.20 W	0.00	5180 of 5295 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
3.000		20.04	05/18/20	49.12	29.08 W	0.00	5181 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	44.07	24.03 W	0.00	5182 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	41.11	21.07 W	0.00	5183 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	45.74	25.70 W	0.00	5184 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	46.08	26.04 W	0.00	5185 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	46.06	26.02 W	0.00	5186 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	44.89	24.85 W	0.00	5187 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	44.73	24.69 W	0.00	5188 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	43.71	23.67 W	0.00	5189 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.58	22.54 W	0.00	5190 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.16	22.12 W	0.00	5191 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.10	22.06 W	0.00	5192 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	41.65	21.61 W	0.00	5193 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.06	22.02 W	0.00	5194 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.55	22.51 W	0.00	5195 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.17	22.13 W	0.00	5196 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.16	22.12 W	0.00	5197 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.10	22.06 W	0.00	5198 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	43.07	23.03 W	0.00	5199 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.02	21.98 W	0.00	5200 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.54	22.50 W	0.00	5201 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.50	22.46 W	0.00	5202 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.65	22.61 W	0.00	5203 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.53	22.49 W	0.00	5204 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	42.26	22.22 W	0.00	5205 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	38.43	18.39 W	0.00	5206 of 5295 - Sale <sup>25</sup>
3.000		20.04	05/18/20	38.34	18.30 W	0.00	5207 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	70.52	43.80 W	0.00	5208 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	70.44	43.72 W	0.00	5209 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	68.78	42.06 W	0.00	5210 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	67.58	40.86 W	0.00	5211 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	67.29	40.57 W	0.00	5212 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	67.21	40.49 W	0.00	5213 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	66.09	39.37 W	0.00	5214 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
4.000		26.72	05/18/20	67.52	40.80 W	0.00	5215 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	63.53	36.81 W	0.00	5216 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	59.68	32.96 W	0.00	5217 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	54.63	27.91 W	0.00	5218 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	56.72	30.00 W	0.00	5219 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	57.20	30.48 W	0.00	5220 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	56.93	30.21 W	0.00	5221 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	56.33	29.61 W	0.00	5222 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	57.69	30.97 W	0.00	5223 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	53.27	26.55 W	0.00	5224 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	53.23	26.51 W	0.00	5225 of 5295 - Sale <sup>25</sup>
4.000		26.72	05/18/20	52.22	25.50 W	0.00	5226 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	84.72	51.32 W	0.00	5227 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	83.49	50.09 W	0.00	5228 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	84.05	50.65 W	0.00	5229 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	83.02	49.62 W	0.00	5230 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	83.96	50.56 W	0.00	5231 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	79.95	46.55 W	0.00	5232 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	81.82	48.42 W	0.00	5233 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	74.55	41.15 W	0.00	5234 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	71.69	38.29 W	0.00	5235 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	70.87	37.47 W	0.00	5236 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	70.09	36.69 W	0.00	5237 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	69.91	36.51 W	0.00	5238 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	66.31	32.91 W	0.00	5239 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	67.23	33.83 W	0.00	5240 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	70.20	36.80 W	0.00	5241 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	71.41	38.01 W	0.00	5242 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	69.64	36.24 W	0.00	5243 of 5295 - Sale <sup>25</sup>
5.000		33.40	05/18/20	71.59	38.19 W	0.00	5244 of 5295 - Sale <sup>25</sup>
5.000		33.39	05/18/20	72.06	38.67 W	0.00	5245 of 5295 - Sale <sup>25</sup>
6.000		40.08	05/18/20	91.87	51.79 W	0.00	5246 of 5295 - Sale <sup>25</sup>
6.000		40.08	05/18/20	91.73	51.65 W	0.00	5247 of 5295 - Sale <sup>25</sup>
6.000		40.08	05/18/20	87.26	47.18 W	0.00	5248 of 5295 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	40.08	05/18/20	86.98	46.90 W	0.00	5249 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	85.63	45.55 W	0.00	5250 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	84.25	44.17 W	0.00	5251 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	84.96	44.88 W	0.00	5252 of 5295 - Sale <sup>25</sup>
	6.000	40.08	05/18/20	85.71	45.63 W	0.00	5253 of 5295 - Sale <sup>25</sup>
	6.000	40.07	05/18/20	85.33	45.26 W	0.00	5254 of 5295 - Sale <sup>25</sup>
	6.000	40.07	05/18/20	86.40	46.33 W	0.00	5255 of 5295 - Sale <sup>25</sup>
	6.000	40.07	05/18/20	85.79	45.72 W	0.00	5256 of 5295 - Sale <sup>25</sup>
	6.000	40.07	05/18/20	80.75	40.68 W	0.00	5257 of 5295 - Sale <sup>25</sup>
	6.000	40.07	05/18/20	79.71	39.64 W	0.00	5258 of 5295 - Sale <sup>25</sup>
	6.000	40.07	05/18/20	79.70	39.63 W	0.00	5259 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	98.51	51.75 W	0.00	5260 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	98.73	51.97 W	0.00	5261 of 5295 - Sale <sup>25</sup>
	7.000	46.76	05/18/20	98.06	51.30 W	0.00	5262 of 5295 - Sale <sup>25</sup>
	7.000	46.75	05/18/20	100.96	54.21 W	0.00	5263 of 5295 - Sale <sup>25</sup>
	7.000	46.75	05/18/20	91.00	44.25 W	0.00	5264 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	71.44	18.00 W	0.00	5265 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	134.15	80.71 W	0.00	5266 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	132.95	79.51 W	0.00	5267 of 5295 - Sale <sup>25</sup>
	8.000	53.44	05/18/20	117.81	64.37 W	0.00	5268 of 5295 - Sale <sup>25</sup>
	8.000	53.43	05/18/20	119.07	65.64 W	0.00	5269 of 5295 - Sale <sup>25</sup>
	8.000	53.43	05/18/20	106.97	53.54 W	0.00	5270 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	80.63	20.51 W	0.00	5271 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	150.57	90.45 W	0.00	5272 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	144.96	84.84 W	0.00	5273 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	123.67	63.55 W	0.00	5274 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	132.47	72.35 W	0.00	5275 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	126.39	66.27 W	0.00	5276 of 5295 - Sale <sup>25</sup>
	9.000	60.12	05/18/20	127.21	67.09 W	0.00	5277 of 5295 - Sale <sup>25</sup>
	9.000	60.11	05/18/20	128.75	68.64 W	0.00	5278 of 5295 - Sale <sup>25</sup>
	10.000	66.80	05/18/20	90.31	23.51 W	0.00	5279 of 5295 - Sale <sup>25</sup>
	10.000	66.79	05/18/20	148.30	81.51 W	0.00	5280 of 5295 - Sale <sup>25</sup>
	10.000	66.79	05/18/20	140.83	74.04 W	0.00	5281 of 5295 - Sale <sup>25</sup>
	10.000	66.78	05/18/20	128.06	61.28 W	0.00	5282 of 5295 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	11.000	73.48	05/18/20	99.35	25.87 W	0.00	5283 of 5295 - Sale <sup>25</sup>
	11.000	73.48	05/18/20	164.03	90.55 W	0.00	5284 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	153.92	80.45 W	0.00	5285 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	154.75	81.28 W	0.00	5286 of 5295 - Sale <sup>25</sup>
	11.000	73.47	05/18/20	153.25	79.78 W	0.00	5287 of 5295 - Sale <sup>25</sup>
	13.000	86.84	05/18/20	115.18	28.34 W	0.00	5288 of 5295 - Sale <sup>25</sup>
	13.000	86.83	05/18/20	177.59	90.76 W	0.00	5289 of 5295 - Sale <sup>25</sup>
	14.000	93.51	05/18/20	222.72	129.21 W	0.00	5290 of 5295 - Sale <sup>25</sup>
	14.000	93.51	05/18/20	196.19	102.68 W	0.00	5291 of 5295 - Sale <sup>25</sup>
	15.000	100.19	05/18/20	205.30	105.11 W	0.00	5292 of 5295 - Sale <sup>25</sup>
	16.000	106.87	05/18/20	223.02	116.15 W	0.00	5293 of 5295 - Sale <sup>25</sup>
	18.000	120.23	05/18/20	162.06	41.83 W	0.00	5294 of 5295 - Sale <sup>25</sup>
	13.000	86.84	05/18/20	117.09	30.25 W	0.00	5295 of 5295 - Sale <sup>25</sup>
05/18/20	26,994.000	177,776.28	Various	338,439.08	160,662.80 W	0.00	Total of 5295 transactions
	2258 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	1.000	6.63	05/18/20	12.84	6.21 W	0.00	1 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.16	6.53 W	0.00	2 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.15	6.52 W	0.00	3 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.22	12.96 W	0.00	4 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.03	12.77 W	0.00	5 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	25.96	12.70 W	0.00	6 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.31	13.05 W	0.00	7 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	38.59	18.70 W	0.00	8 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	38.89	19.00 W	0.00	9 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	38.96	19.07 W	0.00	10 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.15	6.52 W	0.00	11 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	52.04	25.52 W	0.00	12 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	65.32	32.17 W	0.00	13 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.16	6.53 W	0.00	14 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.31	13.05 W	0.00	15 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	52.45	25.93 W	0.00	16 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.04	7.41 W	0.00	17 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.11	7.48 W	0.00	18 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.44	6.81 W	0.00	19 of 2258 - Sale <sup>25</sup>

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.63	05/18/20	13.87	7.24 W	0.00	20 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.80	7.17 W	0.00	21 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.84	7.21 W	0.00	22 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.39	7.76 W	0.00	23 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.35	7.72 W	0.00	24 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.39	7.76 W	0.00	25 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.18	7.55 W	0.00	26 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.19	7.56 W	0.00	27 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.23	7.60 W	0.00	28 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.25	7.62 W	0.00	29 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.54	8.91 W	0.00	30 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.61	8.98 W	0.00	31 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.48	8.85 W	0.00	32 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.56	8.93 W	0.00	33 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.53	8.90 W	0.00	34 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.44	9.81 W	0.00	35 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.63	10.00 W	0.00	36 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.61	9.98 W	0.00	37 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.12	10.49 W	0.00	38 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.02	10.39 W	0.00	39 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.10	10.47 W	0.00	40 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.92	10.29 W	0.00	41 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.94	10.31 W	0.00	42 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.96	10.33 W	0.00	43 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.16	10.53 W	0.00	44 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.13	10.50 W	0.00	45 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.27	10.64 W	0.00	46 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.32	10.69 W	0.00	47 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.32	10.69 W	0.00	48 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.95	10.32 W	0.00	49 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.93	10.30 W	0.00	50 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.72	10.09 W	0.00	51 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.64	10.01 W	0.00	52 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	28.15	14.89 W	0.00	53 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.26	05/18/20	28.15	14.89 W	0.00	54 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.86	13.60 W	0.00	55 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.86	13.60 W	0.00	56 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	27.61	14.35 W	0.00	57 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.80	15.54 W	0.00	58 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.80	15.54 W	0.00	59 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.45	15.19 W	0.00	60 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.25	17.99 W	0.00	61 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.24	17.98 W	0.00	62 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.10	17.84 W	0.00	63 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.72	19.46 W	0.00	64 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.73	19.47 W	0.00	65 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.19	19.93 W	0.00	66 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.58	20.32 W	0.00	67 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.06	20.80 W	0.00	68 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.07	20.81 W	0.00	69 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.26	21.00 W	0.00	70 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.98	20.72 W	0.00	71 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.73	20.47 W	0.00	72 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.67	21.41 W	0.00	73 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.91	20.65 W	0.00	74 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.41	22.52 W	0.00	75 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.24	22.35 W	0.00	76 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	41.84	21.95 W	0.00	77 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.94	23.05 W	0.00	78 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	43.19	23.30 W	0.00	79 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	46.85	26.96 W	0.00	80 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	46.88	26.99 W	0.00	81 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	46.65	26.76 W	0.00	82 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	49.30	29.41 W	0.00	83 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	49.86	29.97 W	0.00	84 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	51.42	31.53 W	0.00	85 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	50.59	30.70 W	0.00	86 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	50.10	30.21 W	0.00	87 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.89	05/18/20	51.44	31.55 W	0.00	88 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	52.00	32.11 W	0.00	89 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	51.99	32.10 W	0.00	90 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	56.38	29.86 W	0.00	91 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	61.29	34.77 W	0.00	92 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	68.46	41.94 W	0.00	93 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	68.37	41.85 W	0.00	94 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	66.77	40.25 W	0.00	95 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	69.01	35.86 W	0.00	96 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	71.44	38.29 W	0.00	97 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	71.92	38.77 W	0.00	98 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	84.80	51.65 W	0.00	99 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	84.83	51.68 W	0.00	100 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.23	12.97 W	0.00	101 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	84.95	45.17 W	0.00	102 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	84.44	44.66 W	0.00	103 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	102.77	62.99 W	0.00	104 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	101.86	62.08 W	0.00	105 of 2258 - Sale <sup>25</sup>
	7.000	46.41	05/18/20	100.92	54.51 W	0.00	106 of 2258 - Sale <sup>25</sup>
	7.000	46.41	05/18/20	107.60	61.19 W	0.00	107 of 2258 - Sale <sup>25</sup>
	7.000	46.41	05/18/20	108.72	62.31 W	0.00	108 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	112.61	59.57 W	0.00	109 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	124.87	71.83 W	0.00	110 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	131.51	78.47 W	0.00	111 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	127.59	67.92 W	0.00	112 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	125.42	65.75 W	0.00	113 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	147.22	87.55 W	0.00	114 of 2258 - Sale <sup>25</sup>
	10.000	66.29	05/18/20	171.47	105.18 W	0.00	115 of 2258 - Sale <sup>25</sup>
	11.000	72.93	05/18/20	154.35	81.42 W	0.00	116 of 2258 - Sale <sup>25</sup>
	11.000	72.93	05/18/20	158.72	85.79 W	0.00	117 of 2258 - Sale <sup>25</sup>
	11.000	72.92	05/18/20	189.49	116.57 W	0.00	118 of 2258 - Sale <sup>25</sup>
	12.000	79.56	05/18/20	165.51	85.95 W	0.00	119 of 2258 - Sale <sup>25</sup>
	12.000	79.55	05/18/20	186.58	107.03 W	0.00	120 of 2258 - Sale <sup>25</sup>
	12.000	79.55	05/18/20	196.78	117.23 W	0.00	121 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
13.000		86.18	05/18/20	88.53	2.35 W	0.00	122 of 2258 - Sale <sup>25</sup>
100.000		662.93	05/18/20	686.96	24.03 W	0.00	123 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.69	10.06 W	0.00	124 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.34	9.71 W	0.00	125 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.46	9.83 W	0.00	126 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.41	9.78 W	0.00	127 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	49.05	29.16 W	0.00	128 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	82.19	49.04 W	0.00	129 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	47.67	1.26 W	0.00	130 of 2258 - Sale <sup>25</sup>
9.000		59.66	05/18/20	61.28	1.62 W	0.00	131 of 2258 - Sale <sup>25</sup>
9.000		59.66	05/18/20	147.54	87.88 W	0.00	132 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	49.42	29.53 W	0.00	133 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.44	9.81 W	0.00	134 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	65.91	39.39 W	0.00	135 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.46	9.83 W	0.00	136 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	49.40	29.51 W	0.00	137 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	65.45	38.93 W	0.00	138 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	115.33	68.92 W	0.00	139 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.70	10.07 W	0.00	140 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.61	9.98 W	0.00	141 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.39	10.76 W	0.00	142 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	49.84	29.95 W	0.00	143 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	49.20	29.31 W	0.00	144 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	52.16	32.27 W	0.00	145 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	52.18	32.29 W	0.00	146 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	52.02	32.13 W	0.00	147 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	69.71	43.19 W	0.00	148 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	87.10	53.95 W	0.00	149 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	104.39	64.61 W	0.00	150 of 2258 - Sale <sup>25</sup>
10.000		66.30	05/18/20	174.00	107.70 W	0.00	151 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	64.12	17.71 W	0.00	152 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	9.12	2.49 W	0.00	153 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	18.17	4.91 W	0.00	154 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	18.17	4.91 W	0.00	155 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
4.000		26.52	05/18/20	36.58	10.06 W	0.00	156 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	36.99	10.47 W	0.00	157 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	45.62	12.47 W	0.00	158 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	55.00	15.22 W	0.00	159 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	54.70	14.92 W	0.00	160 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	55.49	15.71 W	0.00	161 of 2258 - Sale <sup>25</sup>
9.000		59.67	05/18/20	82.45	22.78 W	0.00	162 of 2258 - Sale <sup>25</sup>
11.000		72.93	05/18/20	100.29	27.36 W	0.00	163 of 2258 - Sale <sup>25</sup>
11.000		72.92	05/18/20	101.27	28.35 W	0.00	164 of 2258 - Sale <sup>25</sup>
12.000		79.56	05/18/20	109.05	29.49 W	0.00	165 of 2258 - Sale <sup>25</sup>
13.000		86.18	05/18/20	118.13	31.95 W	0.00	166 of 2258 - Sale <sup>25</sup>
16.000		106.07	05/18/20	146.25	40.18 W	0.00	167 of 2258 - Sale <sup>25</sup>
21.000		139.22	05/18/20	193.97	54.75 W	0.00	168 of 2258 - Sale <sup>25</sup>
21.000		139.22	05/18/20	192.37	53.15 W	0.00	169 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	8.94	2.31 W	0.00	170 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	9.01	2.38 W	0.00	171 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.54	10.91 W	0.00	172 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.56	10.93 W	0.00	173 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.40	10.77 W	0.00	174 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.99	10.36 W	0.00	175 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.04	10.41 W	0.00	176 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.91	10.28 W	0.00	177 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.96	10.33 W	0.00	178 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.78	10.15 W	0.00	179 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.80	10.17 W	0.00	180 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.87	10.24 W	0.00	181 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.75	10.12 W	0.00	182 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.68	10.05 W	0.00	183 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.69	10.06 W	0.00	184 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.66	10.03 W	0.00	185 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.64	10.01 W	0.00	186 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.08	9.45 W	0.00	187 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.16	9.53 W	0.00	188 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.00	9.37 W	0.00	189 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.63	05/18/20	15.87	9.24 W	0.00	190 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.82	9.19 W	0.00	191 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.83	9.20 W	0.00	192 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	18.02	4.76 W	0.00	193 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.89	21.63 W	0.00	194 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.05	20.79 W	0.00	195 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.66	20.40 W	0.00	196 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.61	20.35 W	0.00	197 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.73	20.47 W	0.00	198 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.49	20.23 W	0.00	199 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.26	20.00 W	0.00	200 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.26	19.00 W	0.00	201 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.83	18.57 W	0.00	202 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.83	18.57 W	0.00	203 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.44	18.18 W	0.00	204 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	51.34	31.45 W	0.00	205 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	53.22	33.33 W	0.00	206 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	50.97	31.08 W	0.00	207 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	50.08	30.19 W	0.00	208 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	48.21	28.32 W	0.00	209 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	48.32	28.43 W	0.00	210 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	47.73	27.84 W	0.00	211 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	47.90	28.01 W	0.00	212 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	70.84	44.32 W	0.00	213 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	70.76	44.24 W	0.00	214 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	69.10	42.58 W	0.00	215 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	67.90	41.38 W	0.00	216 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	67.61	41.09 W	0.00	217 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	67.53	41.01 W	0.00	218 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	66.41	39.89 W	0.00	219 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	67.84	41.32 W	0.00	220 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	63.85	37.33 W	0.00	221 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	85.12	51.97 W	0.00	222 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	83.89	50.74 W	0.00	223 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	33.15	05/18/20	84.45	51.30 W	0.00	224 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	83.42	50.27 W	0.00	225 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	84.36	51.21 W	0.00	226 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	72.08	19.04 W	0.00	227 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	134.79	81.75 W	0.00	228 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	133.59	80.55 W	0.00	229 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	81.35	21.68 W	0.00	230 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	151.29	91.62 W	0.00	231 of 2258 - Sale <sup>25</sup>
	10.000	66.30	05/18/20	91.11	24.81 W	0.00	232 of 2258 - Sale <sup>25</sup>
	11.000	72.93	05/18/20	100.23	27.30 W	0.00	233 of 2258 - Sale <sup>25</sup>
	13.000	86.19	05/18/20	116.22	30.03 W	0.00	234 of 2258 - Sale <sup>25</sup>
	14.000	92.81	05/18/20	223.85	131.04 W	0.00	235 of 2258 - Sale <sup>25</sup>
	18.000	119.33	05/18/20	163.51	44.18 W	0.00	236 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.53	8.90 W	0.00	237 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.58	8.95 W	0.00	238 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	16.21	9.58 W	0.00	239 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	16.22	9.59 W	0.00	240 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	16.17	9.54 W	0.00	241 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.09	8.46 W	0.00	242 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.11	8.48 W	0.00	243 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.93	8.30 W	0.00	244 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.74	8.11 W	0.00	245 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.70	18.44 W	0.00	246 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.70	18.44 W	0.00	247 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.02	17.76 W	0.00	248 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.40	19.14 W	0.00	249 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.85	19.59 W	0.00	250 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	30.07	16.81 W	0.00	251 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.45	16.19 W	0.00	252 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	47.54	27.65 W	0.00	253 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	49.42	29.53 W	0.00	254 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	44.37	24.48 W	0.00	255 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	60.08	33.56 W	0.00	256 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	55.03	28.51 W	0.00	257 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	33.15	05/18/20	80.45	47.30 W	0.00	258 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	82.32	49.17 W	0.00	259 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	118.61	65.57 W	0.00	260 of 2258 - Sale <sup>25</sup>
	8.000	53.04	05/18/20	110.30	57.26 W	0.00	261 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	145.86	86.19 W	0.00	262 of 2258 - Sale <sup>25</sup>
	13.000	86.18	05/18/20	178.90	92.72 W	0.00	263 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	41.41	21.52 W	0.00	264 of 2258 - Sale <sup>25</sup>
	7.000	46.41	05/18/20	96.51	50.10 W	0.00	265 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.76	7.13 W	0.00	266 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.76	7.13 W	0.00	267 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.13	8.50 W	0.00	268 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.49	8.86 W	0.00	269 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.35	8.72 W	0.00	270 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	15.39	8.76 W	0.00	271 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.43	7.80 W	0.00	272 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.44	7.81 W	0.00	273 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.51	7.88 W	0.00	274 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.49	7.86 W	0.00	275 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.47	7.84 W	0.00	276 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.34	7.71 W	0.00	277 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.14	7.51 W	0.00	278 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.10	7.47 W	0.00	279 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	27.54	14.28 W	0.00	280 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	30.20	16.94 W	0.00	281 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.29	16.03 W	0.00	282 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.99	15.73 W	0.00	283 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.74	15.48 W	0.00	284 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.75	15.49 W	0.00	285 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.59	15.33 W	0.00	286 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.56	15.30 W	0.00	287 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.43	15.17 W	0.00	288 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.27	15.01 W	0.00	289 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.22	14.96 W	0.00	290 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	46.04	26.15 W	0.00	291 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	19.89	05/18/20	46.38	26.49 W	0.00	292 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	46.36	26.47 W	0.00	293 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	45.19	25.30 W	0.00	294 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	45.03	25.14 W	0.00	295 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	44.01	24.12 W	0.00	296 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.88	22.99 W	0.00	297 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.46	22.57 W	0.00	298 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.40	22.51 W	0.00	299 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	75.05	41.90 W	0.00	300 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	72.19	39.04 W	0.00	301 of 2258 - Sale <sup>25</sup>
	5.000	33.14	05/18/20	71.37	38.23 W	0.00	302 of 2258 - Sale <sup>25</sup>
	5.000	33.14	05/18/20	70.59	37.45 W	0.00	303 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	92.47	52.69 W	0.00	304 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	92.33	52.55 W	0.00	305 of 2258 - Sale <sup>25</sup>
	6.000	39.77	05/18/20	87.86	48.09 W	0.00	306 of 2258 - Sale <sup>25</sup>
	6.000	39.77	05/18/20	87.58	47.81 W	0.00	307 of 2258 - Sale <sup>25</sup>
	6.000	39.77	05/18/20	86.23	46.46 W	0.00	308 of 2258 - Sale <sup>25</sup>
	9.000	59.66	05/18/20	124.57	64.91 W	0.00	309 of 2258 - Sale <sup>25</sup>
	9.000	59.66	05/18/20	133.37	73.71 W	0.00	310 of 2258 - Sale <sup>25</sup>
	9.000	59.66	05/18/20	127.29	67.63 W	0.00	311 of 2258 - Sale <sup>25</sup>
	11.000	72.92	05/18/20	165.13	92.21 W	0.00	312 of 2258 - Sale <sup>25</sup>
	11.000	72.91	05/18/20	155.03	82.12 W	0.00	313 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.09	7.46 W	0.00	314 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.20	7.57 W	0.00	315 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.23	7.60 W	0.00	316 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.21	7.58 W	0.00	317 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.25	7.62 W	0.00	318 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.30	7.67 W	0.00	319 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.22	7.59 W	0.00	320 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.13	7.50 W	0.00	321 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.99	7.36 W	0.00	322 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.14	7.51 W	0.00	323 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.83	7.20 W	0.00	324 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.20	7.57 W	0.00	325 of 2258 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.63	05/18/20	14.14	7.51 W	0.00	326 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.72	8.09 W	0.00	327 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.75	8.12 W	0.00	328 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.77	8.14 W	0.00	329 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.45	7.82 W	0.00	330 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.23	14.97 W	0.00	331 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.02	14.76 W	0.00	332 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.54	13.28 W	0.00	333 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	27.68	14.42 W	0.00	334 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.73	16.47 W	0.00	335 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.79	15.53 W	0.00	336 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.40	15.14 W	0.00	337 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	41.95	22.06 W	0.00	338 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.36	22.47 W	0.00	339 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.85	22.96 W	0.00	340 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.47	22.58 W	0.00	341 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.46	22.57 W	0.00	342 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.40	22.51 W	0.00	343 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	43.37	23.48 W	0.00	344 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.32	22.43 W	0.00	345 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	57.12	30.60 W	0.00	346 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	70.41	37.26 W	0.00	347 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	66.81	33.66 W	0.00	348 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	67.73	34.58 W	0.00	349 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	70.70	37.55 W	0.00	350 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	71.91	38.76 W	0.00	351 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	84.85	45.07 W	0.00	352 of 2258 - Sale <sup>25</sup>
	7.000	46.41	05/18/20	99.21	52.80 W	0.00	353 of 2258 - Sale <sup>25</sup>
	7.000	46.41	05/18/20	99.43	53.02 W	0.00	354 of 2258 - Sale <sup>25</sup>
	8.000	53.03	05/18/20	119.88	66.85 W	0.00	355 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	128.11	68.44 W	0.00	356 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	129.66	69.99 W	0.00	357 of 2258 - Sale <sup>25</sup>
	10.000	66.29	05/18/20	149.31	83.02 W	0.00	358 of 2258 - Sale <sup>25</sup>
	11.000	72.92	05/18/20	155.86	82.94 W	0.00	359 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	13.000	86.17	05/18/20	183.49	97.32 W	0.00	360 of 2258 - Sale <sup>25</sup>
	16.000	106.08	05/18/20	224.63	118.55 W	0.00	361 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.03	7.40 W	0.00	362 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.27	7.64 W	0.00	363 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.26	7.63 W	0.00	364 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.34	7.71 W	0.00	365 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.31	7.68 W	0.00	366 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.29	7.66 W	0.00	367 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.23	7.60 W	0.00	368 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.49	7.86 W	0.00	369 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	14.41	7.78 W	0.00	370 of 2258 - Sale <sup>25</sup>
	1.000	6.63	05/18/20	13.51	6.88 W	0.00	371 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.84	15.58 W	0.00	372 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.83	15.57 W	0.00	373 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.64	15.38 W	0.00	374 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.64	15.38 W	0.00	375 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.76	15.50 W	0.00	376 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	28.95	15.69 W	0.00	377 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	26.77	13.51 W	0.00	378 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.84	22.95 W	0.00	379 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.80	22.91 W	0.00	380 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.95	23.06 W	0.00	381 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.83	22.94 W	0.00	382 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	42.56	22.67 W	0.00	383 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	57.60	31.08 W	0.00	384 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	57.33	30.81 W	0.00	385 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	56.73	30.21 W	0.00	386 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	58.09	31.57 W	0.00	387 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	53.67	27.15 W	0.00	388 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	53.63	27.11 W	0.00	389 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	70.14	36.99 W	0.00	390 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	72.09	38.94 W	0.00	391 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	72.57	39.42 W	0.00	392 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	85.56	45.78 W	0.00	393 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
6.000		39.78	05/18/20	86.31	46.53 W	0.00	394 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	85.94	46.16 W	0.00	395 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	87.01	47.23 W	0.00	396 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	86.40	46.62 W	0.00	397 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	81.36	41.58 W	0.00	398 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	98.76	52.35 W	0.00	399 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	101.67	55.26 W	0.00	400 of 2258 - Sale <sup>25</sup>
8.000		53.04	05/18/20	107.78	54.74 W	0.00	401 of 2258 - Sale <sup>25</sup>
10.000		66.30	05/18/20	141.84	75.54 W	0.00	402 of 2258 - Sale <sup>25</sup>
11.000		72.93	05/18/20	154.36	81.43 W	0.00	403 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.11	7.48 W	0.00	404 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	12.99	6.36 W	0.00	405 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.31	6.68 W	0.00	406 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.30	6.67 W	0.00	407 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.30	6.67 W	0.00	408 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.31	6.68 W	0.00	409 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.19	7.56 W	0.00	410 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.26	7.63 W	0.00	411 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.59	6.96 W	0.00	412 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.02	7.39 W	0.00	413 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.95	7.32 W	0.00	414 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.99	7.36 W	0.00	415 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.54	7.91 W	0.00	416 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.50	7.87 W	0.00	417 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.54	7.91 W	0.00	418 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.33	7.70 W	0.00	419 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.34	7.71 W	0.00	420 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.38	7.75 W	0.00	421 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.40	7.77 W	0.00	422 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.69	9.06 W	0.00	423 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.76	9.13 W	0.00	424 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.63	9.00 W	0.00	425 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.71	9.08 W	0.00	426 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.68	9.05 W	0.00	427 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		13.26	05/18/20	34.56	21.30 W	0.00	462 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	34.28	21.02 W	0.00	463 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	34.03	20.77 W	0.00	464 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	39.04	19.15 W	0.00	465 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	39.34	19.45 W	0.00	466 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	39.41	19.52 W	0.00	467 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	42.86	22.97 W	0.00	468 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	42.69	22.80 W	0.00	469 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	42.29	22.40 W	0.00	470 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	43.39	23.50 W	0.00	471 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	43.64	23.75 W	0.00	472 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	47.30	27.41 W	0.00	473 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	47.33	27.44 W	0.00	474 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	47.10	27.21 W	0.00	475 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	49.75	29.86 W	0.00	476 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	50.31	30.42 W	0.00	477 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	51.87	31.98 W	0.00	478 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	51.04	31.15 W	0.00	479 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	50.55	30.66 W	0.00	480 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	51.89	32.00 W	0.00	481 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	52.64	26.12 W	0.00	482 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	53.05	26.53 W	0.00	483 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	56.98	30.46 W	0.00	484 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	61.89	35.37 W	0.00	485 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	69.06	42.54 W	0.00	486 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	66.07	32.92 W	0.00	487 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	69.76	36.61 W	0.00	488 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	72.19	39.04 W	0.00	489 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	72.67	39.52 W	0.00	490 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	85.55	52.40 W	0.00	491 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	85.58	52.43 W	0.00	492 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	85.85	46.07 W	0.00	493 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	85.34	45.56 W	0.00	494 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	103.67	63.89 W	0.00	495 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
6.000		39.78	05/18/20	102.76	62.98 W	0.00	496 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	101.97	55.56 W	0.00	497 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	108.65	62.24 W	0.00	498 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	109.77	63.36 W	0.00	499 of 2258 - Sale <sup>25</sup>
8.000		53.04	05/18/20	113.81	60.77 W	0.00	500 of 2258 - Sale <sup>25</sup>
8.000		53.04	05/18/20	126.07	73.03 W	0.00	501 of 2258 - Sale <sup>25</sup>
8.000		53.04	05/18/20	132.71	79.67 W	0.00	502 of 2258 - Sale <sup>25</sup>
9.000		59.67	05/18/20	128.94	69.27 W	0.00	503 of 2258 - Sale <sup>25</sup>
9.000		59.67	05/18/20	126.77	67.10 W	0.00	504 of 2258 - Sale <sup>25</sup>
9.000		59.67	05/18/20	148.57	88.90 W	0.00	505 of 2258 - Sale <sup>25</sup>
9.000		59.66	05/18/20	156.40	96.74 W	0.00	506 of 2258 - Sale <sup>25</sup>
10.000		66.29	05/18/20	172.98	106.69 W	0.00	507 of 2258 - Sale <sup>25</sup>
11.000		72.93	05/18/20	156.00	83.07 W	0.00	508 of 2258 - Sale <sup>25</sup>
11.000		72.93	05/18/20	160.37	87.44 W	0.00	509 of 2258 - Sale <sup>25</sup>
12.000		79.56	05/18/20	167.31	87.75 W	0.00	510 of 2258 - Sale <sup>25</sup>
12.000		79.55	05/18/20	188.39	108.84 W	0.00	511 of 2258 - Sale <sup>25</sup>
12.000		79.55	05/18/20	198.59	119.04 W	0.00	512 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.15	6.52 W	0.00	513 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.08	6.45 W	0.00	514 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.09	6.46 W	0.00	515 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.15	6.52 W	0.00	516 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	13.24	6.61 W	0.00	517 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	38.73	18.84 W	0.00	518 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	38.64	18.75 W	0.00	519 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	53.55	27.03 W	0.00	520 of 2258 - Sale <sup>25</sup>
4.000		26.52	05/18/20	52.62	26.10 W	0.00	521 of 2258 - Sale <sup>25</sup>
6.000		39.78	05/18/20	80.31	40.53 W	0.00	522 of 2258 - Sale <sup>25</sup>
7.000		46.41	05/18/20	91.71	45.30 W	0.00	523 of 2258 - Sale <sup>25</sup>
10.000		66.29	05/18/20	129.08	62.79 W	0.00	524 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.39	10.76 W	0.00	525 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.44	10.81 W	0.00	526 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.44	10.81 W	0.00	527 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.07	10.44 W	0.00	528 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.05	10.42 W	0.00	529 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		72.93	05/18/20	101.55	28.62 W	0.00	598 of 2258 - Sale <sup>25</sup>
12.000		79.56	05/18/20	110.49	30.93 W	0.00	599 of 2258 - Sale <sup>25</sup>
13.000		86.19	05/18/20	119.70	33.51 W	0.00	600 of 2258 - Sale <sup>25</sup>
13.000		86.18	05/18/20	117.78	31.60 W	0.00	601 of 2258 - Sale <sup>25</sup>
16.000		106.08	05/18/20	148.18	42.10 W	0.00	602 of 2258 - Sale <sup>25</sup>
18.000		119.33	05/18/20	165.68	46.35 W	0.00	603 of 2258 - Sale <sup>25</sup>
21.000		139.22	05/18/20	194.90	55.68 W	0.00	604 of 2258 - Sale <sup>25</sup>
21.000		139.22	05/18/20	196.50	57.28 W	0.00	605 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.21	10.58 W	0.00	606 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.26	10.63 W	0.00	607 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.13	10.50 W	0.00	608 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.18	10.55 W	0.00	609 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.00	10.37 W	0.00	610 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.02	10.39 W	0.00	611 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	17.09	10.46 W	0.00	612 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.97	10.34 W	0.00	613 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.90	10.27 W	0.00	614 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.91	10.28 W	0.00	615 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.88	10.25 W	0.00	616 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.86	10.23 W	0.00	617 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.30	9.67 W	0.00	618 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.38	9.75 W	0.00	619 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.22	9.59 W	0.00	620 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.09	9.46 W	0.00	621 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.04	9.41 W	0.00	622 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.05	9.42 W	0.00	623 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.75	9.12 W	0.00	624 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.80	9.17 W	0.00	625 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.43	9.80 W	0.00	626 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.44	9.81 W	0.00	627 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	16.39	9.76 W	0.00	628 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.31	8.68 W	0.00	629 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.33	8.70 W	0.00	630 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.15	8.52 W	0.00	631 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.26	05/18/20	34.49	21.23 W	0.00	632 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.10	20.84 W	0.00	633 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.05	20.79 W	0.00	634 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	34.17	20.91 W	0.00	635 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.93	20.67 W	0.00	636 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.70	20.44 W	0.00	637 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.70	19.44 W	0.00	638 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.27	19.01 W	0.00	639 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.27	19.01 W	0.00	640 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.88	18.62 W	0.00	641 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.14	18.88 W	0.00	642 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.14	18.88 W	0.00	643 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	31.46	18.20 W	0.00	644 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	32.84	19.58 W	0.00	645 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	33.29	20.03 W	0.00	646 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	30.51	17.25 W	0.00	647 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	51.63	31.74 W	0.00	648 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	50.74	30.85 W	0.00	649 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	48.87	28.98 W	0.00	650 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	48.98	29.09 W	0.00	651 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	48.39	28.50 W	0.00	652 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	48.56	28.67 W	0.00	653 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	48.20	28.31 W	0.00	654 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	50.08	30.19 W	0.00	655 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	45.03	25.14 W	0.00	656 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	68.49	41.97 W	0.00	657 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	68.41	41.89 W	0.00	658 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	67.29	40.77 W	0.00	659 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	68.72	42.20 W	0.00	660 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	64.73	38.21 W	0.00	661 of 2258 - Sale <sup>25</sup>
	4.000	26.52	05/18/20	60.96	34.44 W	0.00	662 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	86.22	53.07 W	0.00	663 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	84.99	51.84 W	0.00	664 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	85.55	52.40 W	0.00	665 of 2258 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
5.000		33.15	05/18/20	84.52	51.37 W	0.00	666 of 2258 - Sale <sup>25</sup>
5.000		33.15	05/18/20	85.46	52.31 W	0.00	667 of 2258 - Sale <sup>25</sup>
5.000		33.14	05/18/20	81.55	48.41 W	0.00	668 of 2258 - Sale <sup>25</sup>
5.000		33.14	05/18/20	83.42	50.28 W	0.00	669 of 2258 - Sale <sup>25</sup>
8.000		53.04	05/18/20	136.55	83.51 W	0.00	670 of 2258 - Sale <sup>25</sup>
8.000		53.04	05/18/20	135.35	82.31 W	0.00	671 of 2258 - Sale <sup>25</sup>
8.000		53.03	05/18/20	120.37	67.34 W	0.00	672 of 2258 - Sale <sup>25</sup>
9.000		59.67	05/18/20	153.27	93.60 W	0.00	673 of 2258 - Sale <sup>25</sup>
9.000		59.66	05/18/20	147.84	88.18 W	0.00	674 of 2258 - Sale <sup>25</sup>
14.000		92.81	05/18/20	226.94	134.13 W	0.00	675 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.18	8.55 W	0.00	676 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	30.33	17.07 W	0.00	677 of 2258 - Sale <sup>25</sup>
3.000		19.89	05/18/20	42.73	22.84 W	0.00	678 of 2258 - Sale <sup>25</sup>
4.000		26.51	05/18/20	56.79	30.28 W	0.00	679 of 2258 - Sale <sup>25</sup>
7.000		46.40	05/18/20	99.59	53.19 W	0.00	680 of 2258 - Sale <sup>25</sup>
8.000		53.03	05/18/20	113.82	60.79 W	0.00	681 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	28.56	15.30 W	0.00	682 of 2258 - Sale <sup>25</sup>
13.000		86.17	05/18/20	184.63	98.46 W	0.00	683 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.20	7.57 W	0.00	684 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.20	7.57 W	0.00	685 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.57	8.94 W	0.00	686 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.93	9.30 W	0.00	687 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.79	9.16 W	0.00	688 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	15.83	9.20 W	0.00	689 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.87	8.24 W	0.00	690 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.88	8.25 W	0.00	691 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.95	8.32 W	0.00	692 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.93	8.30 W	0.00	693 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.91	8.28 W	0.00	694 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.78	8.15 W	0.00	695 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.58	7.95 W	0.00	696 of 2258 - Sale <sup>25</sup>
1.000		6.63	05/18/20	14.54	7.91 W	0.00	697 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	28.42	15.16 W	0.00	698 of 2258 - Sale <sup>25</sup>
2.000		13.26	05/18/20	31.08	17.82 W	0.00	699 of 2258 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.26	05/18/20	30.17	16.91 W	0.00	700 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.87	16.61 W	0.00	701 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.62	16.36 W	0.00	702 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.63	16.37 W	0.00	703 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.47	16.21 W	0.00	704 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.44	16.18 W	0.00	705 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.31	16.05 W	0.00	706 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.15	15.89 W	0.00	707 of 2258 - Sale <sup>25</sup>
	2.000	13.26	05/18/20	29.10	15.84 W	0.00	708 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	47.36	27.47 W	0.00	709 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	47.70	27.81 W	0.00	710 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	47.68	27.79 W	0.00	711 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	46.51	26.62 W	0.00	712 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	46.35	26.46 W	0.00	713 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	45.33	25.44 W	0.00	714 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	44.20	24.31 W	0.00	715 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	43.78	23.89 W	0.00	716 of 2258 - Sale <sup>25</sup>
	3.000	19.89	05/18/20	43.72	23.83 W	0.00	717 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	77.25	44.10 W	0.00	718 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	74.39	41.24 W	0.00	719 of 2258 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	73.58	40.43 W	0.00	720 of 2258 - Sale <sup>25</sup>
	5.000	33.14	05/18/20	72.80	39.66 W	0.00	721 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	95.11	55.33 W	0.00	722 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	94.97	55.19 W	0.00	723 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	90.51	50.73 W	0.00	724 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	90.23	50.45 W	0.00	725 of 2258 - Sale <sup>25</sup>
	6.000	39.78	05/18/20	88.88	49.10 W	0.00	726 of 2258 - Sale <sup>25</sup>
	7.000	46.41	05/18/20	99.98	53.57 W	0.00	727 of 2258 - Sale <sup>25</sup>
	9.000	59.67	05/18/20	137.34	77.67 W	0.00	728 of 2258 - Sale <sup>25</sup>
	9.000	59.66	05/18/20	131.26	71.60 W	0.00	729 of 2258 - Sale <sup>25</sup>
	11.000	72.93	05/18/20	169.98	97.05 W	0.00	730 of 2258 - Sale <sup>25</sup>
	11.000	72.90	05/18/20	159.89	86.99 W	0.00	731 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.20	7.45 W	0.00	732 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.31	7.56 W	0.00	733 of 2258 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		6.75	05/19/20	14.34	7.59 W	0.00	734 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.32	7.57 W	0.00	735 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.36	7.61 W	0.00	736 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.41	7.66 W	0.00	737 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.33	7.58 W	0.00	738 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.24	7.49 W	0.00	739 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.10	7.35 W	0.00	740 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.25	7.50 W	0.00	741 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	13.94	7.19 W	0.00	742 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.31	7.56 W	0.00	743 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.25	7.50 W	0.00	744 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.83	8.08 W	0.00	745 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.86	8.11 W	0.00	746 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.88	8.13 W	0.00	747 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.56	7.81 W	0.00	748 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	28.45	14.95 W	0.00	749 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	28.24	14.74 W	0.00	750 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	26.76	13.26 W	0.00	751 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	27.90	14.40 W	0.00	752 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	29.95	16.45 W	0.00	753 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	29.01	15.51 W	0.00	754 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	28.62	15.12 W	0.00	755 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	42.28	22.03 W	0.00	756 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	42.69	22.44 W	0.00	757 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	43.18	22.93 W	0.00	758 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	42.80	22.55 W	0.00	759 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	42.79	22.54 W	0.00	760 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	42.73	22.48 W	0.00	761 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	43.70	23.45 W	0.00	762 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	42.65	22.40 W	0.00	763 of 2258 - Sale <sup>25</sup>
4.000		27.00	05/19/20	57.56	30.56 W	0.00	764 of 2258 - Sale <sup>25</sup>
5.000		33.75	05/19/20	70.96	37.21 W	0.00	765 of 2258 - Sale <sup>25</sup>
5.000		33.75	05/19/20	67.36	33.61 W	0.00	766 of 2258 - Sale <sup>25</sup>
5.000		33.75	05/19/20	68.28	34.53 W	0.00	767 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	33.75	05/19/20	71.25	37.50 W	0.00	768 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	72.46	38.71 W	0.00	769 of 2258 - Sale <sup>25</sup>
	6.000	40.50	05/19/20	85.51	45.01 W	0.00	770 of 2258 - Sale <sup>25</sup>
	7.000	47.25	05/19/20	99.98	52.73 W	0.00	771 of 2258 - Sale <sup>25</sup>
	7.000	47.25	05/19/20	100.20	52.95 W	0.00	772 of 2258 - Sale <sup>25</sup>
	8.000	54.00	05/19/20	120.77	66.77 W	0.00	773 of 2258 - Sale <sup>25</sup>
	9.000	60.75	05/19/20	129.10	68.35 W	0.00	774 of 2258 - Sale <sup>25</sup>
	9.000	60.75	05/19/20	130.65	69.90 W	0.00	775 of 2258 - Sale <sup>25</sup>
	10.000	67.50	05/19/20	150.42	82.92 W	0.00	776 of 2258 - Sale <sup>25</sup>
	11.000	74.25	05/19/20	157.08	82.83 W	0.00	777 of 2258 - Sale <sup>25</sup>
	16.000	108.00	05/19/20	226.39	118.39 W	0.00	778 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.25	7.50 W	0.00	779 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.17	7.42 W	0.00	780 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.35	14.85 W	0.00	781 of 2258 - Sale <sup>25</sup>
	7.000	47.25	05/19/20	99.74	52.49 W	0.00	782 of 2258 - Sale <sup>25</sup>
	13.000	87.74	05/19/20	185.33	97.59 W	0.00	783 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.41	7.66 W	0.00	784 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.40	7.65 W	0.00	785 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.48	7.73 W	0.00	786 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.45	7.70 W	0.00	787 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.43	7.68 W	0.00	788 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.37	7.62 W	0.00	789 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	29.12	15.62 W	0.00	790 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	29.11	15.61 W	0.00	791 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.92	15.42 W	0.00	792 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.92	15.42 W	0.00	793 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	29.04	15.54 W	0.00	794 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.26	23.01 W	0.00	795 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.22	22.97 W	0.00	796 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.37	23.12 W	0.00	797 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.25	23.00 W	0.00	798 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	42.98	22.73 W	0.00	799 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.99	23.74 W	0.00	800 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	58.16	31.16 W	0.00	801 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
4.000		27.00	05/19/20	57.89	30.89 W	0.00	802 of 2258 - Sale <sup>25</sup>
4.000		27.00	05/19/20	57.29	30.29 W	0.00	803 of 2258 - Sale <sup>25</sup>
5.000		33.75	05/19/20	70.84	37.09 W	0.00	804 of 2258 - Sale <sup>25</sup>
5.000		33.75	05/19/20	72.79	39.04 W	0.00	805 of 2258 - Sale <sup>25</sup>
6.000		40.50	05/19/20	86.40	45.90 W	0.00	806 of 2258 - Sale <sup>25</sup>
6.000		40.50	05/19/20	87.15	46.65 W	0.00	807 of 2258 - Sale <sup>25</sup>
6.000		40.50	05/19/20	86.78	46.28 W	0.00	808 of 2258 - Sale <sup>25</sup>
7.000		47.25	05/19/20	102.65	55.40 W	0.00	809 of 2258 - Sale <sup>25</sup>
9.000		60.75	05/19/20	127.55	66.80 W	0.00	810 of 2258 - Sale <sup>25</sup>
10.000		67.49	05/19/20	143.24	75.75 W	0.00	811 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	13.13	6.38 W	0.00	812 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	13.45	6.70 W	0.00	813 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	13.44	6.69 W	0.00	814 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	13.44	6.69 W	0.00	815 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	13.45	6.70 W	0.00	816 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	26.80	13.30 W	0.00	817 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	26.61	13.11 W	0.00	818 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	26.54	13.04 W	0.00	819 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	26.89	13.39 W	0.00	820 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	26.89	13.39 W	0.00	821 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	26.81	13.31 W	0.00	822 of 2258 - Sale <sup>25</sup>
2.000		13.50	05/19/20	28.77	15.27 W	0.00	823 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	39.46	19.21 W	0.00	824 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	39.76	19.51 W	0.00	825 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	39.83	19.58 W	0.00	826 of 2258 - Sale <sup>25</sup>
3.000		20.25	05/19/20	43.28	23.03 W	0.00	827 of 2258 - Sale <sup>25</sup>
4.000		27.00	05/19/20	53.20	26.20 W	0.00	828 of 2258 - Sale <sup>25</sup>
4.000		27.00	05/19/20	53.61	26.61 W	0.00	829 of 2258 - Sale <sup>25</sup>
5.000		33.75	05/19/20	66.77	33.02 W	0.00	830 of 2258 - Sale <sup>25</sup>
9.000		60.74	05/19/20	130.20	69.46 W	0.00	831 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.66	7.91 W	0.00	832 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.63	7.88 W	0.00	833 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	14.55	7.80 W	0.00	834 of 2258 - Sale <sup>25</sup>
1.000		6.75	05/19/20	13.65	6.90 W	0.00	835 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.50	05/19/20	29.23	15.73 W	0.00	836 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	27.05	13.55 W	0.00	837 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	54.23	27.23 W	0.00	838 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	54.19	27.19 W	0.00	839 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	73.27	39.52 W	0.00	840 of 2258 - Sale <sup>25</sup>
	6.000	40.50	05/19/20	87.85	47.35 W	0.00	841 of 2258 - Sale <sup>25</sup>
	6.000	40.50	05/19/20	87.24	46.74 W	0.00	842 of 2258 - Sale <sup>25</sup>
	6.000	40.50	05/19/20	82.20	41.70 W	0.00	843 of 2258 - Sale <sup>25</sup>
	8.000	53.99	05/19/20	108.90	54.91 W	0.00	844 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.33	7.58 W	0.00	845 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.40	7.65 W	0.00	846 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	13.73	6.98 W	0.00	847 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.16	7.41 W	0.00	848 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.09	7.34 W	0.00	849 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.13	7.38 W	0.00	850 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.68	7.93 W	0.00	851 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.64	7.89 W	0.00	852 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.77	15.27 W	0.00	853 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.73	15.23 W	0.00	854 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.73	15.23 W	0.00	855 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	27.44	13.94 W	0.00	856 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	27.44	13.94 W	0.00	857 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.19	14.69 W	0.00	858 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	29.38	15.88 W	0.00	859 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	29.38	15.88 W	0.00	860 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.11	22.86 W	0.00	861 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	42.71	22.46 W	0.00	862 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.81	23.56 W	0.00	863 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	44.06	23.81 W	0.00	864 of 2258 - Sale <sup>25</sup>
	5.000	33.74	05/19/20	70.46	36.72 W	0.00	865 of 2258 - Sale <sup>25</sup>
	5.000	33.74	05/19/20	72.89	39.15 W	0.00	866 of 2258 - Sale <sup>25</sup>
	6.000	40.49	05/19/20	86.69	46.20 W	0.00	867 of 2258 - Sale <sup>25</sup>
	6.000	40.49	05/19/20	86.18	45.69 W	0.00	868 of 2258 - Sale <sup>25</sup>
	8.000	53.99	05/19/20	114.93	60.94 W	0.00	869 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include data for SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd).

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.68	05/19/20	16.72	10.04 W	0.00	904 of 2258 - Sale <sup>25</sup>
	1.000	6.68	05/19/20	16.67	9.99 W	0.00	905 of 2258 - Sale <sup>25</sup>
	1.000	6.68	05/19/20	16.70	10.02 W	0.00	906 of 2258 - Sale <sup>25</sup>
	1.000	6.68	05/19/20	16.72	10.04 W	0.00	907 of 2258 - Sale <sup>25</sup>
	1.000	6.68	05/19/20	16.96	10.28 W	0.00	908 of 2258 - Sale <sup>25</sup>
	1.000	6.68	05/19/20	16.87	10.19 W	0.00	909 of 2258 - Sale <sup>25</sup>
	1.000	6.68	05/19/20	17.65	10.97 W	0.00	910 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	29.03	15.67 W	0.00	911 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	31.83	18.47 W	0.00	912 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	31.82	18.46 W	0.00	913 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	31.68	18.32 W	0.00	914 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	33.30	19.94 W	0.00	915 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	33.31	19.95 W	0.00	916 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	33.77	20.41 W	0.00	917 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.16	20.80 W	0.00	918 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.64	21.28 W	0.00	919 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.65	21.29 W	0.00	920 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.84	21.48 W	0.00	921 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.56	21.20 W	0.00	922 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.31	20.95 W	0.00	923 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.97	21.61 W	0.00	924 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	35.19	21.83 W	0.00	925 of 2258 - Sale <sup>25</sup>
	2.000	13.36	05/19/20	34.43	21.07 W	0.00	926 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	47.72	27.68 W	0.00	927 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	47.75	27.71 W	0.00	928 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	47.52	27.48 W	0.00	929 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	50.17	30.13 W	0.00	930 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	50.73	30.69 W	0.00	931 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	52.29	32.25 W	0.00	932 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	51.46	31.42 W	0.00	933 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	50.97	30.93 W	0.00	934 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	52.31	32.27 W	0.00	935 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	52.78	32.74 W	0.00	936 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	52.77	32.73 W	0.00	937 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.04	05/19/20	49.83	29.79 W	0.00	938 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	50.20	30.16 W	0.00	939 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	50.18	30.14 W	0.00	940 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	50.62	30.58 W	0.00	941 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	49.98	29.94 W	0.00	942 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	52.94	32.90 W	0.00	943 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	52.96	32.92 W	0.00	944 of 2258 - Sale <sup>25</sup>
	3.000	20.04	05/19/20	52.80	32.76 W	0.00	945 of 2258 - Sale <sup>25</sup>
	4.000	26.72	05/19/20	62.45	35.73 W	0.00	946 of 2258 - Sale <sup>25</sup>
	4.000	26.72	05/19/20	69.62	42.90 W	0.00	947 of 2258 - Sale <sup>25</sup>
	4.000	26.72	05/19/20	69.41	42.69 W	0.00	948 of 2258 - Sale <sup>25</sup>
	4.000	26.72	05/19/20	67.81	41.09 W	0.00	949 of 2258 - Sale <sup>25</sup>
	4.000	26.72	05/19/20	66.95	40.23 W	0.00	950 of 2258 - Sale <sup>25</sup>
	4.000	26.72	05/19/20	66.49	39.77 W	0.00	951 of 2258 - Sale <sup>25</sup>
	4.000	26.72	05/19/20	70.76	44.04 W	0.00	952 of 2258 - Sale <sup>25</sup>
	5.000	33.40	05/19/20	73.37	39.97 W	0.00	953 of 2258 - Sale <sup>25</sup>
	5.000	33.40	05/19/20	86.25	52.85 W	0.00	954 of 2258 - Sale <sup>25</sup>
	5.000	33.40	05/19/20	86.28	52.88 W	0.00	955 of 2258 - Sale <sup>25</sup>
	5.000	33.40	05/19/20	83.49	50.09 W	0.00	956 of 2258 - Sale <sup>25</sup>
	5.000	33.40	05/19/20	88.41	55.01 W	0.00	957 of 2258 - Sale <sup>25</sup>
	6.000	40.08	05/19/20	104.51	64.43 W	0.00	958 of 2258 - Sale <sup>25</sup>
	6.000	40.08	05/19/20	103.60	63.52 W	0.00	959 of 2258 - Sale <sup>25</sup>
	6.000	40.07	05/19/20	105.96	65.89 W	0.00	960 of 2258 - Sale <sup>25</sup>
	6.000	40.08	05/19/20	56.56	16.48 W	0.00	961 of 2258 - Sale <sup>25</sup>
	7.000	46.76	05/19/20	102.95	56.19 W	0.00	962 of 2258 - Sale <sup>25</sup>
	7.000	46.76	05/19/20	109.63	62.87 W	0.00	963 of 2258 - Sale <sup>25</sup>
	7.000	46.76	05/19/20	110.75	63.99 W	0.00	964 of 2258 - Sale <sup>25</sup>
	7.000	46.76	05/19/20	49.50	2.74 W	0.00	965 of 2258 - Sale <sup>25</sup>
	7.000	46.76	05/19/20	117.16	70.40 W	0.00	966 of 2258 - Sale <sup>25</sup>
	7.000	46.75	05/19/20	65.94	19.19 W	0.00	967 of 2258 - Sale <sup>25</sup>
	8.000	53.44	05/19/20	127.19	73.75 W	0.00	968 of 2258 - Sale <sup>25</sup>
	8.000	53.44	05/19/20	133.83	80.39 W	0.00	969 of 2258 - Sale <sup>25</sup>
	9.000	60.12	05/19/20	149.83	89.71 W	0.00	970 of 2258 - Sale <sup>25</sup>
	9.000	60.12	05/19/20	157.67	97.55 W	0.00	971 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	60.12	05/19/20	63.64	3.52 W	0.00	972 of 2258 - Sale <sup>25</sup>
	9.000	60.11	05/19/20	149.90	89.79 W	0.00	973 of 2258 - Sale <sup>25</sup>
	9.000	60.11	05/19/20	84.79	24.68 W	0.00	974 of 2258 - Sale <sup>25</sup>
	10.000	66.80	05/19/20	174.39	107.59 W	0.00	975 of 2258 - Sale <sup>25</sup>
	10.000	66.79	05/19/20	176.61	109.82 W	0.00	976 of 2258 - Sale <sup>25</sup>
	12.000	80.16	05/19/20	190.08	109.92 W	0.00	977 of 2258 - Sale <sup>25</sup>
	12.000	80.16	05/19/20	200.28	120.12 W	0.00	978 of 2258 - Sale <sup>25</sup>
	13.000	86.83	05/19/20	91.93	5.10 W	0.00	979 of 2258 - Sale <sup>25</sup>
	16.000	106.87	05/19/20	150.42	43.55 W	0.00	980 of 2258 - Sale <sup>25</sup>
	21.000	140.26	05/19/20	197.85	57.59 W	0.00	981 of 2258 - Sale <sup>25</sup>
	100.000	667.95	05/19/20	713.11	45.16 W	0.00	982 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	9.38	2.63 W	0.00	983 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	9.20	2.45 W	0.00	984 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	9.27	2.52 W	0.00	985 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.80	11.05 W	0.00	986 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.82	11.07 W	0.00	987 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.66	10.91 W	0.00	988 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.35	10.60 W	0.00	989 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.40	10.65 W	0.00	990 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.27	10.52 W	0.00	991 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.32	10.57 W	0.00	992 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.14	10.39 W	0.00	993 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.16	10.41 W	0.00	994 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.23	10.48 W	0.00	995 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.11	10.36 W	0.00	996 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.04	10.29 W	0.00	997 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	18.69	5.19 W	0.00	998 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	18.69	5.19 W	0.00	999 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	18.54	5.04 W	0.00	1000 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	35.41	21.91 W	0.00	1001 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	34.77	21.27 W	0.00	1002 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	34.38	20.88 W	0.00	1003 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	34.33	20.83 W	0.00	1004 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	34.45	20.95 W	0.00	1005 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	13.50	05/19/20	34.21	20.71 W	0.00	1006 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	52.12	31.87 W	0.00	1007 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	54.00	33.75 W	0.00	1008 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	52.05	31.80 W	0.00	1009 of 2258 - Sale <sup>25</sup>
	3.000	20.24	05/19/20	51.16	30.92 W	0.00	1010 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	37.62	10.62 W	0.00	1011 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	38.03	11.03 W	0.00	1012 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	71.88	44.88 W	0.00	1013 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	71.80	44.80 W	0.00	1014 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	70.14	43.14 W	0.00	1015 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	68.94	41.94 W	0.00	1016 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	69.05	42.05 W	0.00	1017 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	68.97	41.97 W	0.00	1018 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	46.92	13.17 W	0.00	1019 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	86.92	53.17 W	0.00	1020 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	85.69	51.94 W	0.00	1021 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	86.25	52.50 W	0.00	1022 of 2258 - Sale <sup>25</sup>
	5.000	33.74	05/19/20	85.22	51.48 W	0.00	1023 of 2258 - Sale <sup>25</sup>
	6.000	40.50	05/19/20	56.26	15.76 W	0.00	1024 of 2258 - Sale <sup>25</sup>
	6.000	40.50	05/19/20	57.05	16.55 W	0.00	1025 of 2258 - Sale <sup>25</sup>
	8.000	54.00	05/19/20	74.16	20.16 W	0.00	1026 of 2258 - Sale <sup>25</sup>
	8.000	53.99	05/19/20	137.67	83.68 W	0.00	1027 of 2258 - Sale <sup>25</sup>
	9.000	60.75	05/19/20	83.69	22.94 W	0.00	1028 of 2258 - Sale <sup>25</sup>
	9.000	60.74	05/19/20	154.53	93.79 W	0.00	1029 of 2258 - Sale <sup>25</sup>
	10.000	67.50	05/19/20	93.71	26.21 W	0.00	1030 of 2258 - Sale <sup>25</sup>
	11.000	74.25	05/19/20	103.15	28.90 W	0.00	1031 of 2258 - Sale <sup>25</sup>
	11.000	74.25	05/19/20	104.14	29.89 W	0.00	1032 of 2258 - Sale <sup>25</sup>
	11.000	74.25	05/19/20	103.09	28.84 W	0.00	1033 of 2258 - Sale <sup>25</sup>
	12.000	81.00	05/19/20	112.17	31.17 W	0.00	1034 of 2258 - Sale <sup>25</sup>
	13.000	87.75	05/19/20	121.52	33.77 W	0.00	1035 of 2258 - Sale <sup>25</sup>
	13.000	87.74	05/19/20	119.61	31.87 W	0.00	1036 of 2258 - Sale <sup>25</sup>
	18.000	121.49	05/19/20	168.21	46.72 W	0.00	1037 of 2258 - Sale <sup>25</sup>
	21.000	141.74	05/19/20	199.45	57.71 W	0.00	1038 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.05	10.30 W	0.00	1039 of 2258 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.75	05/19/20	17.02	10.27 W	0.00	1040 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	17.00	10.25 W	0.00	1041 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.44	9.69 W	0.00	1042 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.52	9.77 W	0.00	1043 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.36	9.61 W	0.00	1044 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.23	9.48 W	0.00	1045 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.18	9.43 W	0.00	1046 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.19	9.44 W	0.00	1047 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.89	9.14 W	0.00	1048 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.94	9.19 W	0.00	1049 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.57	9.82 W	0.00	1050 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.58	9.83 W	0.00	1051 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.53	9.78 W	0.00	1052 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	33.98	20.48 W	0.00	1053 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	32.98	19.48 W	0.00	1054 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	32.55	19.05 W	0.00	1055 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	32.55	19.05 W	0.00	1056 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	32.16	18.66 W	0.00	1057 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	32.42	18.92 W	0.00	1058 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	32.42	18.92 W	0.00	1059 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	31.74	18.24 W	0.00	1060 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	33.12	19.62 W	0.00	1061 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	49.29	29.04 W	0.00	1062 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	49.40	29.15 W	0.00	1063 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	48.81	28.56 W	0.00	1064 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	48.98	28.73 W	0.00	1065 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	48.62	28.37 W	0.00	1066 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	67.85	40.85 W	0.00	1067 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	69.28	42.28 W	0.00	1068 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	65.29	38.29 W	0.00	1069 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	86.16	52.41 W	0.00	1070 of 2258 - Sale <sup>25</sup>
	5.000	33.74	05/19/20	82.26	48.52 W	0.00	1071 of 2258 - Sale <sup>25</sup>
	8.000	54.00	05/19/20	136.47	82.47 W	0.00	1072 of 2258 - Sale <sup>25</sup>
	9.000	60.74	05/19/20	149.11	88.37 W	0.00	1073 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	14.000	94.49	05/19/20	228.91	134.42 W	0.00	1074 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.45	8.70 W	0.00	1075 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.47	8.72 W	0.00	1076 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.29	8.54 W	0.00	1077 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.32	8.57 W	0.00	1078 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.34	7.59 W	0.00	1079 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	14.34	7.59 W	0.00	1080 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.71	8.96 W	0.00	1081 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	16.07	9.32 W	0.00	1082 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.93	9.18 W	0.00	1083 of 2258 - Sale <sup>25</sup>
	1.000	6.75	05/19/20	15.97	9.22 W	0.00	1084 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	33.57	20.07 W	0.00	1085 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	30.79	17.29 W	0.00	1086 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	30.61	17.11 W	0.00	1087 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.84	15.34 W	0.00	1088 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	28.70	15.20 W	0.00	1089 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	31.36	17.86 W	0.00	1090 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	50.50	30.25 W	0.00	1091 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	45.45	25.20 W	0.00	1092 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	43.15	22.90 W	0.00	1093 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	47.78	27.53 W	0.00	1094 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	48.12	27.87 W	0.00	1095 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	48.10	27.85 W	0.00	1096 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	46.93	26.68 W	0.00	1097 of 2258 - Sale <sup>25</sup>
	3.000	20.25	05/19/20	46.77	26.52 W	0.00	1098 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	61.52	34.52 W	0.00	1099 of 2258 - Sale <sup>25</sup>
	4.000	27.00	05/19/20	57.36	30.36 W	0.00	1100 of 2258 - Sale <sup>25</sup>
	5.000	33.75	05/19/20	84.13	50.38 W	0.00	1101 of 2258 - Sale <sup>25</sup>
	5.000	33.74	05/19/20	77.95	44.21 W	0.00	1102 of 2258 - Sale <sup>25</sup>
	6.000	40.50	05/19/20	95.95	55.45 W	0.00	1103 of 2258 - Sale <sup>25</sup>
	6.000	40.49	05/19/20	95.81	55.32 W	0.00	1104 of 2258 - Sale <sup>25</sup>
	7.000	47.25	05/19/20	100.58	53.33 W	0.00	1105 of 2258 - Sale <sup>25</sup>
	7.000	47.25	05/19/20	100.96	53.71 W	0.00	1106 of 2258 - Sale <sup>25</sup>
	8.000	54.00	05/19/20	121.50	67.50 W	0.00	1107 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	54.00	05/19/20	114.95	60.95 W	0.00	1108 of 2258 - Sale <sup>25</sup>
	2.000	13.50	05/19/20	30.80	17.30 W	0.00	1109 of 2258 - Sale <sup>25</sup>
	11.000	74.24	05/19/20	171.52	97.28 W	0.00	1110 of 2258 - Sale <sup>25</sup>
	13.000	87.74	05/19/20	186.47	98.73 W	0.00	1111 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	15.01	8.23 W	0.00	1112 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	15.02	8.24 W	0.00	1113 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	15.09	8.31 W	0.00	1114 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	15.07	8.29 W	0.00	1115 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	15.05	8.27 W	0.00	1116 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.92	8.14 W	0.00	1117 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.72	7.94 W	0.00	1118 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.68	7.90 W	0.00	1119 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	30.45	16.89 W	0.00	1120 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	30.15	16.59 W	0.00	1121 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.90	16.34 W	0.00	1122 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.91	16.35 W	0.00	1123 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.75	16.19 W	0.00	1124 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.72	16.16 W	0.00	1125 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.59	16.03 W	0.00	1126 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.43	15.87 W	0.00	1127 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.38	15.82 W	0.00	1128 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	45.75	25.41 W	0.00	1129 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	44.62	24.28 W	0.00	1130 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	44.20	23.86 W	0.00	1131 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	44.14	23.80 W	0.00	1132 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	75.09	41.19 W	0.00	1133 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	74.28	40.38 W	0.00	1134 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	73.51	39.61 W	0.00	1135 of 2258 - Sale <sup>25</sup>
	6.000	40.68	05/19/20	91.35	50.67 W	0.00	1136 of 2258 - Sale <sup>25</sup>
	6.000	40.68	05/19/20	91.07	50.39 W	0.00	1137 of 2258 - Sale <sup>25</sup>
	6.000	40.68	05/19/20	89.72	49.04 W	0.00	1138 of 2258 - Sale <sup>25</sup>
	7.000	47.46	05/19/20	107.80	60.34 W	0.00	1139 of 2258 - Sale <sup>25</sup>
	9.000	61.02	05/19/20	132.53	71.51 W	0.00	1140 of 2258 - Sale <sup>25</sup>
	11.000	74.57	05/19/20	161.46	86.89 W	0.00	1141 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	6.78	05/19/20	13.29	6.51 W	0.00	1142 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	13.22	6.44 W	0.00	1143 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	13.23	6.45 W	0.00	1144 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	13.29	6.51 W	0.00	1145 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	13.38	6.60 W	0.00	1146 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	28.49	14.93 W	0.00	1147 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	28.28	14.72 W	0.00	1148 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	39.15	18.81 W	0.00	1149 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	39.06	18.72 W	0.00	1150 of 2258 - Sale <sup>25</sup>
	4.000	27.12	05/19/20	54.11	26.99 W	0.00	1151 of 2258 - Sale <sup>25</sup>
	4.000	27.12	05/19/20	53.18	26.06 W	0.00	1152 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	71.06	37.16 W	0.00	1153 of 2258 - Sale <sup>25</sup>
	6.000	40.68	05/19/20	81.15	40.47 W	0.00	1154 of 2258 - Sale <sup>25</sup>
	7.000	47.45	05/19/20	92.69	45.24 W	0.00	1155 of 2258 - Sale <sup>25</sup>
	10.000	67.79	05/19/20	130.49	62.70 W	0.00	1156 of 2258 - Sale <sup>25</sup>
	13.000	88.12	05/19/20	184.20	96.08 W	0.00	1157 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.22	7.44 W	0.00	1158 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.33	7.55 W	0.00	1159 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.36	7.58 W	0.00	1160 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.34	7.56 W	0.00	1161 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.38	7.60 W	0.00	1162 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.43	7.65 W	0.00	1163 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.35	7.57 W	0.00	1164 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.26	7.48 W	0.00	1165 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.12	7.34 W	0.00	1166 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.27	7.49 W	0.00	1167 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	13.96	7.18 W	0.00	1168 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.33	7.55 W	0.00	1169 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.27	7.49 W	0.00	1170 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	26.80	13.24 W	0.00	1171 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	27.94	14.38 W	0.00	1172 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	42.34	22.00 W	0.00	1173 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	42.75	22.41 W	0.00	1174 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	43.24	22.90 W	0.00	1175 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.34	05/19/20	42.86	22.52 W	0.00	1176 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	42.85	22.51 W	0.00	1177 of 2258 - Sale <sup>25</sup>
	3.000	20.33	05/19/20	42.79	22.46 W	0.00	1178 of 2258 - Sale <sup>25</sup>
	4.000	27.12	05/19/20	57.64	30.52 W	0.00	1179 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	67.46	33.56 W	0.00	1180 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	68.38	34.48 W	0.00	1181 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	71.35	37.45 W	0.00	1182 of 2258 - Sale <sup>25</sup>
	7.000	47.46	05/19/20	100.12	52.66 W	0.00	1183 of 2258 - Sale <sup>25</sup>
	7.000	47.46	05/19/20	100.34	52.88 W	0.00	1184 of 2258 - Sale <sup>25</sup>
	9.000	61.02	05/19/20	129.28	68.26 W	0.00	1185 of 2258 - Sale <sup>25</sup>
	9.000	61.01	05/19/20	130.83	69.82 W	0.00	1186 of 2258 - Sale <sup>25</sup>
	11.000	74.57	05/19/20	157.30	82.73 W	0.00	1187 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	42.51	22.17 W	0.00	1188 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	71.36	37.46 W	0.00	1189 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.27	7.49 W	0.00	1190 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.85	8.07 W	0.00	1191 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.88	8.10 W	0.00	1192 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.90	8.12 W	0.00	1193 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.58	7.80 W	0.00	1194 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.27	7.49 W	0.00	1195 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.19	7.41 W	0.00	1196 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.43	7.65 W	0.00	1197 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.42	7.64 W	0.00	1198 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.99	16.43 W	0.00	1199 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.05	15.49 W	0.00	1200 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	28.66	15.10 W	0.00	1201 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	28.39	14.83 W	0.00	1202 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.16	15.60 W	0.00	1203 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.15	15.59 W	0.00	1204 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	43.76	23.42 W	0.00	1205 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	42.71	22.37 W	0.00	1206 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	72.56	38.66 W	0.00	1207 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	70.94	37.04 W	0.00	1208 of 2258 - Sale <sup>25</sup>
	5.000	33.89	05/19/20	72.89	39.00 W	0.00	1209 of 2258 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	20.34	05/19/20	43.31	22.97 W	0.00	1244 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	43.04	22.70 W	0.00	1245 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	44.05	23.71 W	0.00	1246 of 2258 - Sale <sup>25</sup>
	4.000	27.12	05/19/20	58.24	31.12 W	0.00	1247 of 2258 - Sale <sup>25</sup>
	4.000	27.12	05/19/20	57.97	30.85 W	0.00	1248 of 2258 - Sale <sup>25</sup>
	4.000	27.12	05/19/20	57.37	30.25 W	0.00	1249 of 2258 - Sale <sup>25</sup>
	6.000	40.68	05/19/20	86.90	46.22 W	0.00	1250 of 2258 - Sale <sup>25</sup>
	7.000	47.46	05/19/20	102.79	55.33 W	0.00	1251 of 2258 - Sale <sup>25</sup>
	10.000	67.79	05/19/20	143.45	75.66 W	0.00	1252 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	13.42	6.64 W	0.00	1253 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	26.85	13.29 W	0.00	1254 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	28.81	15.25 W	0.00	1255 of 2258 - Sale <sup>25</sup>
	3.000	20.34	05/19/20	43.34	23.00 W	0.00	1256 of 2258 - Sale <sup>25</sup>
	9.000	61.01	05/19/20	130.39	69.38 W	0.00	1257 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.68	7.90 W	0.00	1258 of 2258 - Sale <sup>25</sup>
	1.000	6.78	05/19/20	14.65	7.87 W	0.00	1259 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	29.27	15.71 W	0.00	1260 of 2258 - Sale <sup>25</sup>
	5.000	33.90	05/19/20	73.37	39.47 W	0.00	1261 of 2258 - Sale <sup>25</sup>
	5.000	33.89	05/19/20	73.31	39.42 W	0.00	1262 of 2258 - Sale <sup>25</sup>
	2.000	13.56	05/19/20	28.81	15.25 W	0.00	1263 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.35	8.60 W	0.00	1264 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.42	8.67 W	0.00	1265 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.75	8.00 W	0.00	1266 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	28.77	17.27 W	0.00	1267 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	28.77	17.27 W	0.00	1268 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.48	15.98 W	0.00	1269 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.48	15.98 W	0.00	1270 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	43.17	25.92 W	0.00	1271 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	86.82	52.32 W	0.00	1272 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	86.31	51.81 W	0.00	1273 of 2258 - Sale <sup>25</sup>
	8.000	46.00	05/19/20	115.10	69.10 W	0.00	1274 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.66	8.91 W	0.00	1275 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.57	8.82 W	0.00	1276 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.67	7.92 W	0.00	1277 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.50	05/19/20	27.09	15.59 W	0.00	1278 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	54.31	31.31 W	0.00	1279 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	54.27	31.27 W	0.00	1280 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	87.36	52.86 W	0.00	1281 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	82.32	47.82 W	0.00	1282 of 2258 - Sale <sup>25</sup>
	8.000	46.00	05/19/20	109.07	63.07 W	0.00	1283 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.15	8.40 W	0.00	1284 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.08	8.33 W	0.00	1285 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.12	8.37 W	0.00	1286 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.67	8.92 W	0.00	1287 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.63	8.88 W	0.00	1288 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.74	8.99 W	0.00	1289 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.53	8.78 W	0.00	1290 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.54	8.79 W	0.00	1291 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.58	8.83 W	0.00	1292 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.60	8.85 W	0.00	1293 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.89	10.14 W	0.00	1294 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.96	10.21 W	0.00	1295 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	28.17	16.67 W	0.00	1296 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	29.36	17.86 W	0.00	1297 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	29.36	17.86 W	0.00	1298 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	29.15	17.65 W	0.00	1299 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	31.95	20.45 W	0.00	1300 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	31.94	20.44 W	0.00	1301 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	31.93	20.43 W	0.00	1302 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	42.68	25.43 W	0.00	1303 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	43.78	26.53 W	0.00	1304 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	44.03	26.78 W	0.00	1305 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	70.42	41.67 W	0.00	1306 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	72.85	44.10 W	0.00	1307 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	73.67	44.92 W	0.00	1308 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	103.37	63.12 W	0.00	1309 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	127.95	76.20 W	0.00	1310 of 2258 - Sale <sup>25</sup>
	11.000	63.25	05/19/20	157.44	94.19 W	0.00	1311 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		63.25	05/19/20	161.82	98.57 W	0.00	1312 of 2258 - Sale <sup>25</sup>
12.000		69.00	05/19/20	168.88	99.88 W	0.00	1313 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	15.97	10.22 W	0.00	1314 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	15.83	10.08 W	0.00	1315 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	15.91	10.16 W	0.00	1316 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	15.88	10.13 W	0.00	1317 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.79	11.04 W	0.00	1318 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.98	11.23 W	0.00	1319 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.96	11.21 W	0.00	1320 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.47	11.72 W	0.00	1321 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.37	11.62 W	0.00	1322 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.45	11.70 W	0.00	1323 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.27	11.52 W	0.00	1324 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.29	11.54 W	0.00	1325 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.31	11.56 W	0.00	1326 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.51	11.76 W	0.00	1327 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.48	11.73 W	0.00	1328 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.59	11.84 W	0.00	1329 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.64	11.89 W	0.00	1330 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.64	11.89 W	0.00	1331 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.27	11.52 W	0.00	1332 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.25	11.50 W	0.00	1333 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.04	11.29 W	0.00	1334 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.96	11.21 W	0.00	1335 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.01	11.26 W	0.00	1336 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.66	10.91 W	0.00	1337 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.78	11.03 W	0.00	1338 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.73	10.98 W	0.00	1339 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.76	11.01 W	0.00	1340 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.78	11.03 W	0.00	1341 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.02	11.27 W	0.00	1342 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.93	11.18 W	0.00	1343 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.71	11.96 W	0.00	1344 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	9.37	3.62 W	0.00	1345 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.75	05/19/20	9.19	3.44 W	0.00	1346 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	9.26	3.51 W	0.00	1347 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.79	12.04 W	0.00	1348 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.81	12.06 W	0.00	1349 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.65	11.90 W	0.00	1350 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.34	11.59 W	0.00	1351 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.39	11.64 W	0.00	1352 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.26	11.51 W	0.00	1353 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.31	11.56 W	0.00	1354 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.13	11.38 W	0.00	1355 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.15	11.40 W	0.00	1356 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.22	11.47 W	0.00	1357 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.10	11.35 W	0.00	1358 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	17.03	11.28 W	0.00	1359 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	31.80	20.30 W	0.00	1360 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	33.42	21.92 W	0.00	1361 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	33.43	21.93 W	0.00	1362 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	33.89	22.39 W	0.00	1363 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.28	22.78 W	0.00	1364 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.76	23.26 W	0.00	1365 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.77	23.27 W	0.00	1366 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.96	23.46 W	0.00	1367 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.68	23.18 W	0.00	1368 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.43	22.93 W	0.00	1369 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	35.09	23.59 W	0.00	1370 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	35.31	23.81 W	0.00	1371 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.55	23.05 W	0.00	1372 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	18.67	7.17 W	0.00	1373 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	18.67	7.17 W	0.00	1374 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	18.52	7.02 W	0.00	1375 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	35.39	23.89 W	0.00	1376 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.75	23.25 W	0.00	1377 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.36	22.86 W	0.00	1378 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	34.31	22.81 W	0.00	1379 of 2258 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.50	05/19/20	34.43	22.93 W	0.00	1380 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	34.19	22.69 W	0.00	1381 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	47.93	30.68 W	0.00	1382 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	47.70	30.45 W	0.00	1383 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.35	33.10 W	0.00	1384 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.91	33.66 W	0.00	1385 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	52.47	35.22 W	0.00	1386 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	51.64	34.39 W	0.00	1387 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	51.15	33.90 W	0.00	1388 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	52.49	35.24 W	0.00	1389 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	52.96	35.71 W	0.00	1390 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	52.95	35.70 W	0.00	1391 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.01	32.76 W	0.00	1392 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.38	33.13 W	0.00	1393 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.36	33.11 W	0.00	1394 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.80	33.55 W	0.00	1395 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.16	32.91 W	0.00	1396 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	53.12	35.87 W	0.00	1397 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	53.14	35.89 W	0.00	1398 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	52.98	35.73 W	0.00	1399 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	52.09	34.84 W	0.00	1400 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	53.97	36.72 W	0.00	1401 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	52.02	34.77 W	0.00	1402 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	62.69	39.69 W	0.00	1403 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	69.86	46.86 W	0.00	1404 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	69.65	46.65 W	0.00	1405 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	68.05	45.05 W	0.00	1406 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	67.19	44.19 W	0.00	1407 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	66.73	43.73 W	0.00	1408 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	71.00	48.00 W	0.00	1409 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	37.58	14.58 W	0.00	1410 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	37.99	14.99 W	0.00	1411 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	71.84	48.84 W	0.00	1412 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	71.76	48.76 W	0.00	1413 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	23.00	05/19/20	70.10	47.10 W	0.00	1414 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	68.90	45.90 W	0.00	1415 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	69.01	46.01 W	0.00	1416 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	68.93	45.93 W	0.00	1417 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	86.55	57.80 W	0.00	1418 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	86.58	57.83 W	0.00	1419 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	83.79	55.04 W	0.00	1420 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	88.71	59.96 W	0.00	1421 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	46.87	18.12 W	0.00	1422 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	86.87	58.12 W	0.00	1423 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	85.64	56.89 W	0.00	1424 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	86.20	57.45 W	0.00	1425 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	104.87	70.37 W	0.00	1426 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	103.96	69.46 W	0.00	1427 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	106.33	71.83 W	0.00	1428 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	56.92	22.42 W	0.00	1429 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	56.20	21.70 W	0.00	1430 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	56.99	22.49 W	0.00	1431 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	110.05	69.80 W	0.00	1432 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	111.17	70.92 W	0.00	1433 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	49.92	9.67 W	0.00	1434 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	117.58	77.33 W	0.00	1435 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	66.37	26.12 W	0.00	1436 of 2258 - Sale <sup>25</sup>
	8.000	46.00	05/19/20	127.67	81.67 W	0.00	1437 of 2258 - Sale <sup>25</sup>
	8.000	46.00	05/19/20	134.31	88.31 W	0.00	1438 of 2258 - Sale <sup>25</sup>
	8.000	46.00	05/19/20	74.08	28.08 W	0.00	1439 of 2258 - Sale <sup>25</sup>
	8.000	46.00	05/19/20	137.60	91.60 W	0.00	1440 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	150.37	98.62 W	0.00	1441 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	158.21	106.46 W	0.00	1442 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	64.18	12.43 W	0.00	1443 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	150.45	98.70 W	0.00	1444 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	85.34	33.59 W	0.00	1445 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	83.60	31.85 W	0.00	1446 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	154.45	102.70 W	0.00	1447 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
10.000		57.50	05/19/20	174.99	117.49 W	0.00	1448 of 2258 - Sale <sup>25</sup>
10.000		57.50	05/19/20	177.22	119.72 W	0.00	1449 of 2258 - Sale <sup>25</sup>
10.000		57.50	05/19/20	93.61	36.11 W	0.00	1450 of 2258 - Sale <sup>25</sup>
11.000		63.25	05/19/20	103.04	39.79 W	0.00	1451 of 2258 - Sale <sup>25</sup>
11.000		63.25	05/19/20	104.03	40.78 W	0.00	1452 of 2258 - Sale <sup>25</sup>
11.000		63.25	05/19/20	102.98	39.73 W	0.00	1453 of 2258 - Sale <sup>25</sup>
12.000		69.00	05/19/20	190.80	121.80 W	0.00	1454 of 2258 - Sale <sup>25</sup>
12.000		69.00	05/19/20	201.00	132.00 W	0.00	1455 of 2258 - Sale <sup>25</sup>
12.000		69.00	05/19/20	112.05	43.05 W	0.00	1456 of 2258 - Sale <sup>25</sup>
13.000		74.75	05/19/20	92.72	17.97 W	0.00	1457 of 2258 - Sale <sup>25</sup>
13.000		74.74	05/19/20	121.39	46.65 W	0.00	1458 of 2258 - Sale <sup>25</sup>
13.000		74.74	05/19/20	119.49	44.75 W	0.00	1459 of 2258 - Sale <sup>25</sup>
16.000		91.99	05/19/20	151.39	59.40 W	0.00	1460 of 2258 - Sale <sup>25</sup>
18.000		103.49	05/19/20	168.04	64.55 W	0.00	1461 of 2258 - Sale <sup>25</sup>
21.000		120.74	05/19/20	199.13	78.39 W	0.00	1462 of 2258 - Sale <sup>25</sup>
21.000		120.74	05/19/20	199.25	78.51 W	0.00	1463 of 2258 - Sale <sup>25</sup>
100.000		574.97	05/19/20	719.16	144.19 W	0.00	1464 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.99	11.24 W	0.00	1465 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.96	11.21 W	0.00	1466 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.94	11.19 W	0.00	1467 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.38	10.63 W	0.00	1468 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.46	10.71 W	0.00	1469 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	16.27	10.52 W	0.00	1470 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	33.86	22.36 W	0.00	1471 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	32.86	21.36 W	0.00	1472 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	32.43	20.93 W	0.00	1473 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	50.99	33.74 W	0.00	1474 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	49.11	31.86 W	0.00	1475 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	49.22	31.97 W	0.00	1476 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	48.63	31.38 W	0.00	1477 of 2258 - Sale <sup>25</sup>
4.000		23.00	05/19/20	67.61	44.61 W	0.00	1478 of 2258 - Sale <sup>25</sup>
4.000		23.00	05/19/20	69.04	46.04 W	0.00	1479 of 2258 - Sale <sup>25</sup>
5.000		28.75	05/19/20	84.93	56.18 W	0.00	1480 of 2258 - Sale <sup>25</sup>
5.000		28.75	05/19/20	85.86	57.11 W	0.00	1481 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	46.00	05/19/20	135.99	89.99 W	0.00	1482 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	16.33	10.58 W	0.00	1483 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	16.20	10.45 W	0.00	1484 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	16.15	10.40 W	0.00	1485 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	16.16	10.41 W	0.00	1486 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.86	10.11 W	0.00	1487 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.91	10.16 W	0.00	1488 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	16.54	10.79 W	0.00	1489 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	16.55	10.80 W	0.00	1490 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	32.59	21.09 W	0.00	1491 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	32.49	20.99 W	0.00	1492 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	32.10	20.60 W	0.00	1493 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	32.36	20.86 W	0.00	1494 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	32.36	20.86 W	0.00	1495 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	31.68	20.18 W	0.00	1496 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	48.53	31.28 W	0.00	1497 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	65.17	42.17 W	0.00	1498 of 2258 - Sale <sup>25</sup>
	9.000	51.75	05/19/20	148.85	97.10 W	0.00	1499 of 2258 - Sale <sup>25</sup>
	14.000	80.49	05/19/20	228.50	148.01 W	0.00	1500 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	16.45	10.70 W	0.00	1501 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.37	9.62 W	0.00	1502 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.39	9.64 W	0.00	1503 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.21	9.46 W	0.00	1504 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.24	9.49 W	0.00	1505 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	32.96	21.46 W	0.00	1506 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	33.41	21.91 W	0.00	1507 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	30.63	19.13 W	0.00	1508 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	30.45	18.95 W	0.00	1509 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	50.26	33.01 W	0.00	1510 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	45.21	27.96 W	0.00	1511 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	61.20	38.20 W	0.00	1512 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	57.04	34.04 W	0.00	1513 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	81.87	53.12 W	0.00	1514 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	83.73	54.98 W	0.00	1515 of 2258 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	28.75	05/19/20	71.32	42.57 W	0.00	1516 of 2258 - Sale <sup>25</sup>
	8.000	46.00	05/19/20	120.86	74.86 W	0.00	1517 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	70.51	41.76 W	0.00	1518 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.78	8.03 W	0.00	1519 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.78	8.03 W	0.00	1520 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.15	9.40 W	0.00	1521 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.51	9.76 W	0.00	1522 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.37	9.62 W	0.00	1523 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	15.41	9.66 W	0.00	1524 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.72	16.22 W	0.00	1525 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.58	16.08 W	0.00	1526 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	30.24	18.74 W	0.00	1527 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	29.68	18.18 W	0.00	1528 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	29.27	17.77 W	0.00	1529 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.35	24.10 W	0.00	1530 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.47	24.22 W	0.00	1531 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	46.10	28.85 W	0.00	1532 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	46.44	29.19 W	0.00	1533 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	46.42	29.17 W	0.00	1534 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	45.25	28.00 W	0.00	1535 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	45.09	27.84 W	0.00	1536 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	43.98	26.73 W	0.00	1537 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	43.90	26.65 W	0.00	1538 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	75.16	46.41 W	0.00	1539 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	92.59	58.09 W	0.00	1540 of 2258 - Sale <sup>25</sup>
	6.000	34.50	05/19/20	92.46	57.96 W	0.00	1541 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	96.66	56.41 W	0.00	1542 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	97.04	56.79 W	0.00	1543 of 2258 - Sale <sup>25</sup>
	7.000	40.25	05/19/20	103.67	63.42 W	0.00	1544 of 2258 - Sale <sup>25</sup>
	8.000	45.99	05/19/20	110.47	64.48 W	0.00	1545 of 2258 - Sale <sup>25</sup>
	11.000	63.24	05/19/20	165.37	102.13 W	0.00	1546 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.37	8.62 W	0.00	1547 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.38	8.63 W	0.00	1548 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.45	8.70 W	0.00	1549 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.75	05/19/20	14.43	8.68 W	0.00	1550 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	14.41	8.66 W	0.00	1551 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	14.28	8.53 W	0.00	1552 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	14.08	8.33 W	0.00	1553 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	14.04	8.29 W	0.00	1554 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.87	17.37 W	0.00	1555 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.62	17.12 W	0.00	1556 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.63	17.13 W	0.00	1557 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.47	16.97 W	0.00	1558 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.44	16.94 W	0.00	1559 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.31	16.81 W	0.00	1560 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.15	16.65 W	0.00	1561 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	28.10	16.60 W	0.00	1562 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	43.76	26.51 W	0.00	1563 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	42.70	25.45 W	0.00	1564 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	42.28	25.03 W	0.00	1565 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	42.22	24.97 W	0.00	1566 of 2258 - Sale <sup>25</sup>
5.000		28.75	05/19/20	71.89	43.14 W	0.00	1567 of 2258 - Sale <sup>25</sup>
5.000		28.75	05/19/20	71.08	42.33 W	0.00	1568 of 2258 - Sale <sup>25</sup>
5.000		28.75	05/19/20	70.31	41.56 W	0.00	1569 of 2258 - Sale <sup>25</sup>
6.000		34.50	05/19/20	87.23	52.73 W	0.00	1570 of 2258 - Sale <sup>25</sup>
6.000		34.50	05/19/20	85.88	51.38 W	0.00	1571 of 2258 - Sale <sup>25</sup>
9.000		51.74	05/19/20	126.77	75.03 W	0.00	1572 of 2258 - Sale <sup>25</sup>
11.000		63.24	05/19/20	154.43	91.19 W	0.00	1573 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	12.65	6.90 W	0.00	1574 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	12.58	6.83 W	0.00	1575 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	12.59	6.84 W	0.00	1576 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	12.65	6.90 W	0.00	1577 of 2258 - Sale <sup>25</sup>
3.000		17.25	05/19/20	37.96	20.71 W	0.00	1578 of 2258 - Sale <sup>25</sup>
4.000		23.00	05/19/20	51.55	28.55 W	0.00	1579 of 2258 - Sale <sup>25</sup>
6.000		34.49	05/19/20	77.31	42.82 W	0.00	1580 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	12.62	6.87 W	0.00	1581 of 2258 - Sale <sup>25</sup>
1.000		5.75	05/19/20	12.70	6.95 W	0.00	1582 of 2258 - Sale <sup>25</sup>
2.000		11.50	05/19/20	27.13	15.63 W	0.00	1583 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.50	05/19/20	26.92	15.42 W	0.00	1584 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	37.11	19.86 W	0.00	1585 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	37.02	19.77 W	0.00	1586 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	40.47	23.22 W	0.00	1587 of 2258 - Sale <sup>25</sup>
	5.000	28.75	05/19/20	67.66	38.91 W	0.00	1588 of 2258 - Sale <sup>25</sup>
	7.000	40.24	05/19/20	87.94	47.70 W	0.00	1589 of 2258 - Sale <sup>25</sup>
	10.000	57.49	05/19/20	123.70	66.21 W	0.00	1590 of 2258 - Sale <sup>25</sup>
	13.000	74.74	05/19/20	175.38	100.64 W	0.00	1591 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.62	7.87 W	0.00	1592 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.73	7.98 W	0.00	1593 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.76	8.01 W	0.00	1594 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.74	7.99 W	0.00	1595 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.78	8.03 W	0.00	1596 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.83	8.08 W	0.00	1597 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.75	8.00 W	0.00	1598 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.66	7.91 W	0.00	1599 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.52	7.77 W	0.00	1600 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.67	7.92 W	0.00	1601 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.36	7.61 W	0.00	1602 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.73	7.98 W	0.00	1603 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.67	7.92 W	0.00	1604 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	25.60	14.10 W	0.00	1605 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	26.74	15.24 W	0.00	1606 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	40.54	23.29 W	0.00	1607 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	40.95	23.70 W	0.00	1608 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.44	24.19 W	0.00	1609 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.06	23.81 W	0.00	1610 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.05	23.80 W	0.00	1611 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.00	23.75 W	0.00	1612 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.02	23.77 W	0.00	1613 of 2258 - Sale <sup>25</sup>
	4.000	23.00	05/19/20	55.24	32.24 W	0.00	1614 of 2258 - Sale <sup>25</sup>
	5.000	28.74	05/19/20	64.46	35.72 W	0.00	1615 of 2258 - Sale <sup>25</sup>
	5.000	28.74	05/19/20	65.38	36.64 W	0.00	1616 of 2258 - Sale <sup>25</sup>
	5.000	28.74	05/19/20	68.35	39.61 W	0.00	1617 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	40.24	05/19/20	95.92	55.68 W	0.00	1618 of 2258 - Sale <sup>25</sup>
	7.000	40.24	05/19/20	96.14	55.90 W	0.00	1619 of 2258 - Sale <sup>25</sup>
	9.000	51.74	05/19/20	123.88	72.14 W	0.00	1620 of 2258 - Sale <sup>25</sup>
	9.000	51.74	05/19/20	125.44	73.70 W	0.00	1621 of 2258 - Sale <sup>25</sup>
	11.000	63.24	05/19/20	150.71	87.47 W	0.00	1622 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.67	7.92 W	0.00	1623 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.25	8.50 W	0.00	1624 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.28	8.53 W	0.00	1625 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	14.30	8.55 W	0.00	1626 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.98	8.23 W	0.00	1627 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.67	7.92 W	0.00	1628 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.59	7.84 W	0.00	1629 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.83	8.08 W	0.00	1630 of 2258 - Sale <sup>25</sup>
	1.000	5.75	05/19/20	13.82	8.07 W	0.00	1631 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.34	15.84 W	0.00	1632 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	28.79	17.29 W	0.00	1633 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.85	16.35 W	0.00	1634 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.46	15.96 W	0.00	1635 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.19	15.69 W	0.00	1636 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.96	16.46 W	0.00	1637 of 2258 - Sale <sup>25</sup>
	2.000	11.50	05/19/20	27.95	16.45 W	0.00	1638 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	41.96	24.71 W	0.00	1639 of 2258 - Sale <sup>25</sup>
	3.000	17.25	05/19/20	40.91	23.66 W	0.00	1640 of 2258 - Sale <sup>25</sup>
	3.000	17.24	05/19/20	41.84	24.60 W	0.00	1641 of 2258 - Sale <sup>25</sup>
	5.000	28.74	05/19/20	69.56	40.82 W	0.00	1642 of 2258 - Sale <sup>25</sup>
	5.000	28.74	05/19/20	67.94	39.20 W	0.00	1643 of 2258 - Sale <sup>25</sup>
	5.000	28.74	05/19/20	69.90	41.16 W	0.00	1644 of 2258 - Sale <sup>25</sup>
	6.000	34.49	05/19/20	82.92	48.43 W	0.00	1645 of 2258 - Sale <sup>25</sup>
	7.000	40.24	05/19/20	95.68	55.44 W	0.00	1646 of 2258 - Sale <sup>25</sup>
	8.000	45.99	05/19/20	116.13	70.14 W	0.00	1647 of 2258 - Sale <sup>25</sup>
	9.000	51.73	05/19/20	122.34	70.61 W	0.00	1648 of 2258 - Sale <sup>25</sup>
	10.000	57.49	05/19/20	144.62	87.13 W	0.00	1649 of 2258 - Sale <sup>25</sup>
	13.000	74.73	05/19/20	177.81	103.08 W	0.00	1650 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	12.59	6.89 W	0.00	1651 of 2258 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.40	05/19/20	25.72	14.32 W	0.00	1652 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	25.53	14.13 W	0.00	1653 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	25.46	14.06 W	0.00	1654 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	37.84	20.74 W	0.00	1655 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	38.14	21.04 W	0.00	1656 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	38.21	21.11 W	0.00	1657 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	51.04	28.24 W	0.00	1658 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	25.63	14.23 W	0.00	1659 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	12.91	7.21 W	0.00	1660 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	12.90	7.20 W	0.00	1661 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	12.90	7.20 W	0.00	1662 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	12.91	7.21 W	0.00	1663 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	12.86	7.16 W	0.00	1664 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.82	9.12 W	0.00	1665 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.89	9.19 W	0.00	1666 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.22	8.52 W	0.00	1667 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.62	8.92 W	0.00	1668 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.55	8.85 W	0.00	1669 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.59	8.89 W	0.00	1670 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.14	9.44 W	0.00	1671 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.10	9.40 W	0.00	1672 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.21	9.51 W	0.00	1673 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.00	9.30 W	0.00	1674 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.01	9.31 W	0.00	1675 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.05	9.35 W	0.00	1676 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.07	9.37 W	0.00	1677 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	16.36	10.66 W	0.00	1678 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	16.43	10.73 W	0.00	1679 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	16.44	10.74 W	0.00	1680 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	16.30	10.60 W	0.00	1681 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	16.38	10.68 W	0.00	1682 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	16.35	10.65 W	0.00	1683 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.26	11.56 W	0.00	1684 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.45	11.75 W	0.00	1685 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.70	05/19/20	17.43	11.73 W	0.00	1686 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.94	12.24 W	0.00	1687 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.84	12.14 W	0.00	1688 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.92	12.22 W	0.00	1689 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.74	12.04 W	0.00	1690 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.76	12.06 W	0.00	1691 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.78	12.08 W	0.00	1692 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.98	12.28 W	0.00	1693 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.95	12.25 W	0.00	1694 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	18.06	12.36 W	0.00	1695 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	18.11	12.41 W	0.00	1696 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	18.11	12.41 W	0.00	1697 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.74	12.04 W	0.00	1698 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.72	12.02 W	0.00	1699 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.51	11.81 W	0.00	1700 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.43	11.73 W	0.00	1701 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	25.81	14.41 W	0.00	1702 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	25.81	14.41 W	0.00	1703 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	25.73	14.33 W	0.00	1704 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	27.69	16.29 W	0.00	1705 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	27.69	16.29 W	0.00	1706 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	29.71	18.31 W	0.00	1707 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	29.71	18.31 W	0.00	1708 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	28.42	17.02 W	0.00	1709 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	28.42	17.02 W	0.00	1710 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	29.11	17.71 W	0.00	1711 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	30.30	18.90 W	0.00	1712 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	30.30	18.90 W	0.00	1713 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	30.09	18.69 W	0.00	1714 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	32.89	21.49 W	0.00	1715 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	32.88	21.48 W	0.00	1716 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	32.87	21.47 W	0.00	1717 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	32.74	21.34 W	0.00	1718 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	34.36	22.96 W	0.00	1719 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Data rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities, dates, costs, and sale proceeds.

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	28.50	05/19/20	76.02	47.52 W	0.00	1754 of 2258 - Sale <sup>25</sup>
	5.000	28.50	05/19/20	88.90	60.40 W	0.00	1755 of 2258 - Sale <sup>25</sup>
	5.000	28.50	05/19/20	88.93	60.43 W	0.00	1756 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	89.64	55.44 W	0.00	1757 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	89.13	54.93 W	0.00	1758 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	107.69	73.49 W	0.00	1759 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	106.78	72.58 W	0.00	1760 of 2258 - Sale <sup>25</sup>
	7.000	39.90	05/19/20	106.66	66.76 W	0.00	1761 of 2258 - Sale <sup>25</sup>
	7.000	39.90	05/19/20	113.34	73.44 W	0.00	1762 of 2258 - Sale <sup>25</sup>
	7.000	39.90	05/19/20	114.46	74.56 W	0.00	1763 of 2258 - Sale <sup>25</sup>
	8.000	45.60	05/19/20	118.86	73.26 W	0.00	1764 of 2258 - Sale <sup>25</sup>
	8.000	45.60	05/19/20	131.43	85.83 W	0.00	1765 of 2258 - Sale <sup>25</sup>
	8.000	45.60	05/19/20	138.07	92.47 W	0.00	1766 of 2258 - Sale <sup>25</sup>
	9.000	51.30	05/19/20	125.36	74.06 W	0.00	1767 of 2258 - Sale <sup>25</sup>
	9.000	51.30	05/19/20	132.18	80.88 W	0.00	1768 of 2258 - Sale <sup>25</sup>
	9.000	51.30	05/19/20	154.60	103.30 W	0.00	1769 of 2258 - Sale <sup>25</sup>
	9.000	51.30	05/19/20	162.44	111.14 W	0.00	1770 of 2258 - Sale <sup>25</sup>
	10.000	57.00	05/19/20	179.69	122.69 W	0.00	1771 of 2258 - Sale <sup>25</sup>
	11.000	62.70	05/19/20	162.61	99.91 W	0.00	1772 of 2258 - Sale <sup>25</sup>
	11.000	62.70	05/19/20	166.99	104.29 W	0.00	1773 of 2258 - Sale <sup>25</sup>
	12.000	68.40	05/19/20	174.52	106.12 W	0.00	1774 of 2258 - Sale <sup>25</sup>
	12.000	68.40	05/19/20	196.44	128.04 W	0.00	1775 of 2258 - Sale <sup>25</sup>
	12.000	68.40	05/19/20	206.64	138.24 W	0.00	1776 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	13.98	8.28 W	0.00	1777 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	13.94	8.24 W	0.00	1778 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	13.91	8.21 W	0.00	1779 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	13.89	8.19 W	0.00	1780 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	13.83	8.13 W	0.00	1781 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.12	8.42 W	0.00	1782 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.09	8.39 W	0.00	1783 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.13	9.43 W	0.00	1784 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	15.04	9.34 W	0.00	1785 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	14.14	8.44 W	0.00	1786 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	27.97	16.57 W	0.00	1787 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.40	05/19/20	27.84	16.44 W	0.00	1788 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	27.84	16.44 W	0.00	1789 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	27.96	16.56 W	0.00	1790 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	28.15	16.75 W	0.00	1791 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	28.03	16.63 W	0.00	1792 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	41.64	24.54 W	0.00	1793 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	41.60	24.50 W	0.00	1794 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	41.75	24.65 W	0.00	1795 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	41.63	24.53 W	0.00	1796 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	41.36	24.26 W	0.00	1797 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	42.37	25.27 W	0.00	1798 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	56.00	33.20 W	0.00	1799 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	55.73	32.93 W	0.00	1800 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	55.13	32.33 W	0.00	1801 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	56.19	33.39 W	0.00	1802 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	56.15	33.35 W	0.00	1803 of 2258 - Sale <sup>25</sup>
	5.000	28.50	05/19/20	70.57	42.07 W	0.00	1804 of 2258 - Sale <sup>25</sup>
	5.000	28.50	05/19/20	70.52	42.02 W	0.00	1805 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	83.54	49.34 W	0.00	1806 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	90.18	55.98 W	0.00	1807 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	85.14	50.94 W	0.00	1808 of 2258 - Sale <sup>25</sup>
	7.000	39.90	05/19/20	98.87	58.97 W	0.00	1809 of 2258 - Sale <sup>25</sup>
	8.000	45.60	05/19/20	112.83	67.23 W	0.00	1810 of 2258 - Sale <sup>25</sup>
	10.000	57.00	05/19/20	137.86	80.86 W	0.00	1811 of 2258 - Sale <sup>25</sup>
	100.000	569.95	05/19/20	759.19	189.24 W	0.00	1812 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.49	11.79 W	0.00	1813 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.14	11.44 W	0.00	1814 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.26	11.56 W	0.00	1815 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.21	11.51 W	0.00	1816 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.24	11.54 W	0.00	1817 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.26	11.56 W	0.00	1818 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.50	11.80 W	0.00	1819 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.41	11.71 W	0.00	1820 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	18.19	12.49 W	0.00	1821 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	17.10	05/19/20	51.45	34.35 W	0.00	1822 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	51.82	34.72 W	0.00	1823 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	51.80	34.70 W	0.00	1824 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	52.24	35.14 W	0.00	1825 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	51.60	34.50 W	0.00	1826 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	54.56	37.46 W	0.00	1827 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	69.11	46.31 W	0.00	1828 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	68.65	45.85 W	0.00	1829 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	72.92	50.12 W	0.00	1830 of 2258 - Sale <sup>25</sup>
	5.000	28.50	05/19/20	86.19	57.69 W	0.00	1831 of 2258 - Sale <sup>25</sup>
	5.000	28.50	05/19/20	91.11	62.61 W	0.00	1832 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	109.21	75.01 W	0.00	1833 of 2258 - Sale <sup>25</sup>
	7.000	39.90	05/19/20	53.28	13.38 W	0.00	1834 of 2258 - Sale <sup>25</sup>
	7.000	39.90	05/19/20	120.94	81.04 W	0.00	1835 of 2258 - Sale <sup>25</sup>
	9.000	51.30	05/19/20	68.50	17.20 W	0.00	1836 of 2258 - Sale <sup>25</sup>
	9.000	51.30	05/19/20	154.77	103.47 W	0.00	1837 of 2258 - Sale <sup>25</sup>
	13.000	74.09	05/19/20	98.96	24.87 W	0.00	1838 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	9.77	4.07 W	0.00	1839 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	54.34	37.24 W	0.00	1840 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	54.18	37.08 W	0.00	1841 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	39.18	16.38 W	0.00	1842 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	39.07	16.27 W	0.00	1843 of 2258 - Sale <sup>25</sup>
	5.000	28.50	05/19/20	48.87	20.37 W	0.00	1844 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	59.32	25.12 W	0.00	1845 of 2258 - Sale <sup>25</sup>
	7.000	39.90	05/19/20	69.17	29.27 W	0.00	1846 of 2258 - Sale <sup>25</sup>
	9.000	51.29	05/19/20	88.94	37.65 W	0.00	1847 of 2258 - Sale <sup>25</sup>
	10.000	56.99	05/19/20	181.22	124.23 W	0.00	1848 of 2258 - Sale <sup>25</sup>
	11.000	62.69	05/19/20	107.44	44.75 W	0.00	1849 of 2258 - Sale <sup>25</sup>
	16.000	91.19	05/19/20	157.80	66.61 W	0.00	1850 of 2258 - Sale <sup>25</sup>
	21.000	119.69	05/19/20	207.54	87.85 W	0.00	1851 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	19.45	8.05 W	0.00	1852 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	19.39	7.99 W	0.00	1853 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	19.39	7.99 W	0.00	1854 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	39.43	16.63 W	0.00	1855 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	34.20	05/19/20	59.15	24.95 W	0.00	1856 of 2258 - Sale <sup>25</sup>
	6.000	34.20	05/19/20	58.18	23.98 W	0.00	1857 of 2258 - Sale <sup>25</sup>
	10.000	56.99	05/19/20	97.21	40.22 W	0.00	1858 of 2258 - Sale <sup>25</sup>
	11.000	62.69	05/19/20	107.99	45.30 W	0.00	1859 of 2258 - Sale <sup>25</sup>
	11.000	62.69	05/19/20	106.94	44.25 W	0.00	1860 of 2258 - Sale <sup>25</sup>
	12.000	68.39	05/19/20	116.37	47.98 W	0.00	1861 of 2258 - Sale <sup>25</sup>
	13.000	74.09	05/19/20	126.08	51.99 W	0.00	1862 of 2258 - Sale <sup>25</sup>
	21.000	119.69	05/19/20	206.82	87.13 W	0.00	1863 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	9.53	3.83 W	0.00	1864 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	9.60	3.90 W	0.00	1865 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	18.13	12.43 W	0.00	1866 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	18.15	12.45 W	0.00	1867 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.99	12.29 W	0.00	1868 of 2258 - Sale <sup>25</sup>
	1.000	5.70	05/19/20	17.68	11.98 W	0.00	1869 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	19.20	7.80 W	0.00	1870 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	36.07	24.67 W	0.00	1871 of 2258 - Sale <sup>25</sup>
	2.000	11.40	05/19/20	35.43	24.03 W	0.00	1872 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	53.11	36.01 W	0.00	1873 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	54.99	37.89 W	0.00	1874 of 2258 - Sale <sup>25</sup>
	3.000	17.10	05/19/20	53.04	35.94 W	0.00	1875 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	73.20	50.40 W	0.00	1876 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	73.12	50.32 W	0.00	1877 of 2258 - Sale <sup>25</sup>
	4.000	22.80	05/19/20	71.46	48.66 W	0.00	1878 of 2258 - Sale <sup>25</sup>
	4.000	22.79	05/19/20	70.26	47.47 W	0.00	1879 of 2258 - Sale <sup>25</sup>
	5.000	28.49	05/19/20	88.57	60.08 W	0.00	1880 of 2258 - Sale <sup>25</sup>
	8.000	45.59	05/19/20	76.80	31.21 W	0.00	1881 of 2258 - Sale <sup>25</sup>
	8.000	45.59	05/19/20	140.32	94.73 W	0.00	1882 of 2258 - Sale <sup>25</sup>
	8.000	45.59	05/19/20	140.01	94.42 W	0.00	1883 of 2258 - Sale <sup>25</sup>
	9.000	51.29	05/19/20	86.66	35.37 W	0.00	1884 of 2258 - Sale <sup>25</sup>
	12.000	68.39	05/19/20	116.11	47.72 W	0.00	1885 of 2258 - Sale <sup>25</sup>
	13.000	74.09	05/19/20	123.92	49.83 W	0.00	1886 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.55	11.15 W	0.00	1887 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.71	11.31 W	0.00	1888 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.58	11.18 W	0.00	1889 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.40	05/19/20	16.63	11.23 W	0.00	1890 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.45	11.05 W	0.00	1891 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.47	11.07 W	0.00	1892 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.54	11.14 W	0.00	1893 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.42	11.02 W	0.00	1894 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.35	10.95 W	0.00	1895 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.31	10.91 W	0.00	1896 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.28	10.88 W	0.00	1897 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.26	10.86 W	0.00	1898 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	33.00	22.20 W	0.00	1899 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	32.95	22.15 W	0.00	1900 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	33.07	22.27 W	0.00	1901 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	32.83	22.03 W	0.00	1902 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	32.50	21.70 W	0.00	1903 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	48.95	32.75 W	0.00	1904 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	48.67	32.47 W	0.00	1905 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	66.29	44.69 W	0.00	1906 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	66.21	44.61 W	0.00	1907 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	82.24	55.24 W	0.00	1908 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	82.80	55.80 W	0.00	1909 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	81.53	54.53 W	0.00	1910 of 2258 - Sale <sup>25</sup>
	8.000	43.20	05/19/20	130.55	87.35 W	0.00	1911 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	16.22	10.82 W	0.00	1912 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.70	10.30 W	0.00	1913 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.78	10.38 W	0.00	1914 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.59	10.19 W	0.00	1915 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	31.50	20.70 W	0.00	1916 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	31.07	20.27 W	0.00	1917 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	31.23	20.43 W	0.00	1918 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	47.07	30.87 W	0.00	1919 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	47.18	30.98 W	0.00	1920 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	46.59	30.39 W	0.00	1921 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	66.32	44.72 W	0.00	1922 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	82.46	55.46 W	0.00	1923 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	14.000	75.60	05/19/20	218.99	143.39 W	0.00	1924 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.67	10.27 W	0.00	1925 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.54	10.14 W	0.00	1926 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.49	10.09 W	0.00	1927 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.50	10.10 W	0.00	1928 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.20	9.80 W	0.00	1929 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.25	9.85 W	0.00	1930 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.88	10.48 W	0.00	1931 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.89	10.49 W	0.00	1932 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	15.79	10.39 W	0.00	1933 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.71	9.31 W	0.00	1934 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.73	9.33 W	0.00	1935 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.55	9.15 W	0.00	1936 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.58	9.18 W	0.00	1937 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	31.17	20.37 W	0.00	1938 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	30.78	19.98 W	0.00	1939 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	31.04	20.24 W	0.00	1940 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	31.04	20.24 W	0.00	1941 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	30.36	19.56 W	0.00	1942 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	31.64	20.84 W	0.00	1943 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	32.09	21.29 W	0.00	1944 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	29.31	18.51 W	0.00	1945 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	29.13	18.33 W	0.00	1946 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	46.55	30.35 W	0.00	1947 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	48.28	32.08 W	0.00	1948 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	43.23	27.03 W	0.00	1949 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	39.37	23.17 W	0.00	1950 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	62.53	40.93 W	0.00	1951 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	58.56	36.96 W	0.00	1952 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	54.40	32.80 W	0.00	1953 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	78.57	51.57 W	0.00	1954 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	80.43	53.43 W	0.00	1955 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	68.02	41.02 W	0.00	1956 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	67.21	40.21 W	0.00	1957 of 2258 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	43.20	05/19/20	115.58	72.38 W	0.00	1958 of 2258 - Sale <sup>25</sup>
	8.000	43.20	05/19/20	105.20	62.00 W	0.00	1959 of 2258 - Sale <sup>25</sup>
	9.000	48.60	05/19/20	142.91	94.31 W	0.00	1960 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.76	8.36 W	0.00	1961 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.77	8.37 W	0.00	1962 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.84	8.44 W	0.00	1963 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.82	8.42 W	0.00	1964 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.80	8.40 W	0.00	1965 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.67	8.27 W	0.00	1966 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.47	8.07 W	0.00	1967 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.43	8.03 W	0.00	1968 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	28.10	17.30 W	0.00	1969 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	28.05	17.25 W	0.00	1970 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.65	16.85 W	0.00	1971 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.40	16.60 W	0.00	1972 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.41	16.61 W	0.00	1973 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.25	16.45 W	0.00	1974 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.22	16.42 W	0.00	1975 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.09	16.29 W	0.00	1976 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.93	16.13 W	0.00	1977 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.88	16.08 W	0.00	1978 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	42.07	25.87 W	0.00	1979 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	41.93	25.73 W	0.00	1980 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	40.87	24.67 W	0.00	1981 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	40.45	24.25 W	0.00	1982 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	40.39	24.19 W	0.00	1983 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	68.84	41.84 W	0.00	1984 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	68.03	41.03 W	0.00	1985 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	67.26	40.26 W	0.00	1986 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	83.57	51.17 W	0.00	1987 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	82.22	49.82 W	0.00	1988 of 2258 - Sale <sup>25</sup>
	9.000	48.60	05/19/20	121.29	72.69 W	0.00	1989 of 2258 - Sale <sup>25</sup>
	11.000	59.40	05/19/20	147.73	88.33 W	0.00	1990 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	12.04	6.64 W	0.00	1991 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.40	05/19/20	11.97	6.57 W	0.00	1992 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	11.98	6.58 W	0.00	1993 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	12.04	6.64 W	0.00	1994 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	12.01	6.61 W	0.00	1995 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	12.09	6.69 W	0.00	1996 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	23.52	12.72 W	0.00	1997 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	36.13	19.93 W	0.00	1998 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	49.11	27.51 W	0.00	1999 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	73.66	41.26 W	0.00	2000 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	83.68	45.88 W	0.00	2001 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.17	7.77 W	0.00	2002 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.17	7.77 W	0.00	2003 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.54	9.14 W	0.00	2004 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.90	9.50 W	0.00	2005 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.76	9.36 W	0.00	2006 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.80	9.40 W	0.00	2007 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.05	8.65 W	0.00	2008 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.50	15.70 W	0.00	2009 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.36	15.56 W	0.00	2010 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	29.02	18.22 W	0.00	2011 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	28.46	17.66 W	0.00	2012 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	39.64	23.44 W	0.00	2013 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	44.27	28.07 W	0.00	2014 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	44.61	28.41 W	0.00	2015 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	44.59	28.39 W	0.00	2016 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	43.42	27.22 W	0.00	2017 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	43.26	27.06 W	0.00	2018 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	72.11	45.11 W	0.00	2019 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	88.93	56.53 W	0.00	2020 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	88.80	56.40 W	0.00	2021 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	92.39	54.59 W	0.00	2022 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	92.77	54.97 W	0.00	2023 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	99.40	61.60 W	0.00	2024 of 2258 - Sale <sup>25</sup>
	11.000	59.40	05/19/20	158.67	99.27 W	0.00	2025 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.40	05/19/20	11.92	6.52 W	0.00	2026 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.17	7.77 W	0.00	2027 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.28	7.88 W	0.00	2028 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.31	7.91 W	0.00	2029 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.29	7.89 W	0.00	2030 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.33	7.93 W	0.00	2031 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.38	7.98 W	0.00	2032 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.30	7.90 W	0.00	2033 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.21	7.81 W	0.00	2034 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.07	7.67 W	0.00	2035 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.22	7.82 W	0.00	2036 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	12.91	7.51 W	0.00	2037 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.23	15.43 W	0.00	2038 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.02	15.22 W	0.00	2039 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.70	13.90 W	0.00	2040 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	25.84	15.04 W	0.00	2041 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.72	15.92 W	0.00	2042 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	35.67	19.47 W	0.00	2043 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	39.12	22.92 W	0.00	2044 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	39.19	22.99 W	0.00	2045 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	39.60	23.40 W	0.00	2046 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	40.09	23.89 W	0.00	2047 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	65.41	38.41 W	0.00	2048 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	62.22	35.22 W	0.00	2049 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	63.14	36.14 W	0.00	2050 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	92.78	54.98 W	0.00	2051 of 2258 - Sale <sup>25</sup>
	9.000	48.60	05/19/20	119.84	71.24 W	0.00	2052 of 2258 - Sale <sup>25</sup>
	9.000	48.60	05/19/20	121.40	72.80 W	0.00	2053 of 2258 - Sale <sup>25</sup>
	10.000	54.00	05/19/20	119.21	65.21 W	0.00	2054 of 2258 - Sale <sup>25</sup>
	13.000	70.20	05/19/20	169.54	99.34 W	0.00	2055 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.26	7.86 W	0.00	2056 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.20	7.80 W	0.00	2057 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.20	7.80 W	0.00	2058 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.78	8.38 W	0.00	2059 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.40	05/19/20	13.81	8.41 W	0.00	2060 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	13.83	8.43 W	0.00	2061 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	13.51	8.11 W	0.00	2062 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	13.20	7.80 W	0.00	2063 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.68	15.88 W	0.00	2064 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.40	15.60 W	0.00	2065 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	27.85	17.05 W	0.00	2066 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.91	16.11 W	0.00	2067 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.52	15.72 W	0.00	2068 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	39.65	23.45 W	0.00	2069 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	39.64	23.44 W	0.00	2070 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	39.59	23.39 W	0.00	2071 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	39.61	23.41 W	0.00	2072 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	40.55	24.35 W	0.00	2073 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	39.50	23.30 W	0.00	2074 of 2258 - Sale <sup>25</sup>
5.000		27.00	05/19/20	66.01	39.01 W	0.00	2075 of 2258 - Sale <sup>25</sup>
5.000		27.00	05/19/20	67.22	40.22 W	0.00	2076 of 2258 - Sale <sup>25</sup>
5.000		27.00	05/19/20	66.00	39.00 W	0.00	2077 of 2258 - Sale <sup>25</sup>
7.000		37.80	05/19/20	92.86	55.06 W	0.00	2078 of 2258 - Sale <sup>25</sup>
8.000		43.20	05/19/20	112.38	69.18 W	0.00	2079 of 2258 - Sale <sup>25</sup>
10.000		54.00	05/19/20	139.93	85.93 W	0.00	2080 of 2258 - Sale <sup>25</sup>
11.000		59.40	05/19/20	145.55	86.15 W	0.00	2081 of 2258 - Sale <sup>25</sup>
13.000		70.19	05/19/20	171.72	101.53 W	0.00	2082 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	12.11	6.71 W	0.00	2083 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	12.43	7.03 W	0.00	2084 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	12.42	7.02 W	0.00	2085 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	12.42	7.02 W	0.00	2086 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	12.43	7.03 W	0.00	2087 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	12.38	6.98 W	0.00	2088 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.34	8.94 W	0.00	2089 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.41	9.01 W	0.00	2090 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	13.74	8.34 W	0.00	2091 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.14	8.74 W	0.00	2092 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.07	8.67 W	0.00	2093 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.40	05/19/20	14.11	8.71 W	0.00	2094 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.66	9.26 W	0.00	2095 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.62	9.22 W	0.00	2096 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.76	13.96 W	0.00	2097 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.57	13.77 W	0.00	2098 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.50	13.70 W	0.00	2099 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.67	13.87 W	0.00	2100 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.85	14.05 W	0.00	2101 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.85	14.05 W	0.00	2102 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	24.77	13.97 W	0.00	2103 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.73	15.93 W	0.00	2104 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	26.73	15.93 W	0.00	2105 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	28.75	17.95 W	0.00	2106 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	28.75	17.95 W	0.00	2107 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.46	16.66 W	0.00	2108 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.46	16.66 W	0.00	2109 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	28.15	17.35 W	0.00	2110 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	29.34	18.54 W	0.00	2111 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	29.34	18.54 W	0.00	2112 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	36.40	20.20 W	0.00	2113 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	36.70	20.50 W	0.00	2114 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	36.77	20.57 W	0.00	2115 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	37.00	20.80 W	0.00	2116 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	37.15	20.95 W	0.00	2117 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	40.22	24.02 W	0.00	2118 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	43.14	26.94 W	0.00	2119 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	42.65	26.45 W	0.00	2120 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	43.75	27.55 W	0.00	2121 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	44.00	27.80 W	0.00	2122 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	49.12	27.52 W	0.00	2123 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	70.37	43.37 W	0.00	2124 of 2258 - Sale <sup>25</sup>
	5.000	27.00	05/19/20	72.80	45.80 W	0.00	2125 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	86.76	54.36 W	0.00	2126 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	86.25	53.85 W	0.00	2127 of 2258 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
8.000		43.20	05/19/20	115.02	71.82 W	0.00	2128 of 2258 - Sale <sup>25</sup>
9.000		48.60	05/19/20	121.04	72.44 W	0.00	2129 of 2258 - Sale <sup>25</sup>
9.000		48.60	05/19/20	127.86	79.26 W	0.00	2130 of 2258 - Sale <sup>25</sup>
10.000		53.99	05/19/20	147.01	93.02 W	0.00	2131 of 2258 - Sale <sup>25</sup>
11.000		59.39	05/19/20	157.33	97.94 W	0.00	2132 of 2258 - Sale <sup>25</sup>
12.000		64.79	05/19/20	168.76	103.97 W	0.00	2133 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	13.06	7.66 W	0.00	2134 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	13.30	7.90 W	0.00	2135 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	13.29	7.89 W	0.00	2136 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.28	15.48 W	0.00	2137 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.13	15.33 W	0.00	2138 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.90	16.10 W	0.00	2139 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	26.89	16.09 W	0.00	2140 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	40.26	24.06 W	0.00	2141 of 2258 - Sale <sup>25</sup>
5.000		27.00	05/19/20	65.30	38.30 W	0.00	2142 of 2258 - Sale <sup>25</sup>
5.000		27.00	05/19/20	67.26	40.26 W	0.00	2143 of 2258 - Sale <sup>25</sup>
6.000		32.40	05/19/20	79.75	47.35 W	0.00	2144 of 2258 - Sale <sup>25</sup>
9.000		48.59	05/19/20	117.59	69.00 W	0.00	2145 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	15.00	9.60 W	0.00	2146 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	15.03	9.63 W	0.00	2147 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.82	9.42 W	0.00	2148 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.83	9.43 W	0.00	2149 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.87	9.47 W	0.00	2150 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	14.89	9.49 W	0.00	2151 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	16.18	10.78 W	0.00	2152 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	16.25	10.85 W	0.00	2153 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	16.26	10.86 W	0.00	2154 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	16.12	10.72 W	0.00	2155 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	16.20	10.80 W	0.00	2156 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	16.17	10.77 W	0.00	2157 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.08	11.68 W	0.00	2158 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.27	11.87 W	0.00	2159 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.25	11.85 W	0.00	2160 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.76	12.36 W	0.00	2161 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.40	05/19/20	17.66	12.26 W	0.00	2162 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.74	12.34 W	0.00	2163 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.56	12.16 W	0.00	2164 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.58	12.18 W	0.00	2165 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.60	12.20 W	0.00	2166 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.80	12.40 W	0.00	2167 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.77	12.37 W	0.00	2168 of 2258 - Sale <sup>25</sup>
1.000		5.40	05/19/20	17.84	12.44 W	0.00	2169 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	29.73	18.93 W	0.00	2170 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	32.53	21.73 W	0.00	2171 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	32.52	21.72 W	0.00	2172 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	32.51	21.71 W	0.00	2173 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	32.38	21.58 W	0.00	2174 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	34.00	23.20 W	0.00	2175 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	34.01	23.21 W	0.00	2176 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	34.47	23.67 W	0.00	2177 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	34.86	24.06 W	0.00	2178 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	35.34	24.54 W	0.00	2179 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	35.35	24.55 W	0.00	2180 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	35.54	24.74 W	0.00	2181 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	35.26	24.46 W	0.00	2182 of 2258 - Sale <sup>25</sup>
2.000		10.80	05/19/20	35.01	24.21 W	0.00	2183 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	48.80	32.60 W	0.00	2184 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	48.57	32.37 W	0.00	2185 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	51.22	35.02 W	0.00	2186 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	51.77	35.57 W	0.00	2187 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	53.33	37.13 W	0.00	2188 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	52.50	36.30 W	0.00	2189 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	52.01	35.81 W	0.00	2190 of 2258 - Sale <sup>25</sup>
3.000		16.20	05/19/20	53.35	37.15 W	0.00	2191 of 2258 - Sale <sup>25</sup>
4.000		21.60	05/19/20	63.84	42.24 W	0.00	2192 of 2258 - Sale <sup>25</sup>
4.000		21.60	05/19/20	71.01	49.41 W	0.00	2193 of 2258 - Sale <sup>25</sup>
5.000		27.00	05/19/20	75.11	48.11 W	0.00	2194 of 2258 - Sale <sup>25</sup>
5.000		27.00	05/19/20	87.99	60.99 W	0.00	2195 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	27.00	05/19/20	88.02	61.02 W	0.00	2196 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	106.60	74.20 W	0.00	2197 of 2258 - Sale <sup>25</sup>
	6.000	32.40	05/19/20	105.69	73.29 W	0.00	2198 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	105.39	67.59 W	0.00	2199 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	112.07	74.27 W	0.00	2200 of 2258 - Sale <sup>25</sup>
	7.000	37.80	05/19/20	113.19	75.39 W	0.00	2201 of 2258 - Sale <sup>25</sup>
	8.000	43.19	05/19/20	129.98	86.79 W	0.00	2202 of 2258 - Sale <sup>25</sup>
	8.000	43.19	05/19/20	136.62	93.43 W	0.00	2203 of 2258 - Sale <sup>25</sup>
	9.000	48.59	05/19/20	152.97	104.38 W	0.00	2204 of 2258 - Sale <sup>25</sup>
	9.000	48.59	05/19/20	160.80	112.21 W	0.00	2205 of 2258 - Sale <sup>25</sup>
	10.000	53.99	05/19/20	177.87	123.88 W	0.00	2206 of 2258 - Sale <sup>25</sup>
	12.000	64.79	05/19/20	194.26	129.47 W	0.00	2207 of 2258 - Sale <sup>25</sup>
	12.000	64.79	05/19/20	204.46	139.67 W	0.00	2208 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.82	12.42 W	0.00	2209 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.87	12.47 W	0.00	2210 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.92	12.52 W	0.00	2211 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.92	12.52 W	0.00	2212 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.55	12.15 W	0.00	2213 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.53	12.13 W	0.00	2214 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.32	11.92 W	0.00	2215 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	17.24	11.84 W	0.00	2216 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	35.87	25.07 W	0.00	2217 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	35.11	24.31 W	0.00	2218 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	53.80	37.60 W	0.00	2219 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	53.79	37.59 W	0.00	2220 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	70.77	49.17 W	0.00	2221 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	69.17	47.57 W	0.00	2222 of 2258 - Sale <sup>25</sup>
	61.000	329.34	05/19/20	451.55	122.21 W	0.00	2223 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.79	8.39 W	0.00	2224 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.75	8.35 W	0.00	2225 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.72	8.32 W	0.00	2226 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.70	8.30 W	0.00	2227 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.64	8.24 W	0.00	2228 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.93	8.53 W	0.00	2229 of 2258 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.40	05/19/20	13.90	8.50 W	0.00	2230 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.94	9.54 W	0.00	2231 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	14.85	9.45 W	0.00	2232 of 2258 - Sale <sup>25</sup>
	1.000	5.40	05/19/20	13.95	8.55 W	0.00	2233 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.59	16.79 W	0.00	2234 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.46	16.66 W	0.00	2235 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.46	16.66 W	0.00	2236 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.58	16.78 W	0.00	2237 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.77	16.97 W	0.00	2238 of 2258 - Sale <sup>25</sup>
	2.000	10.80	05/19/20	27.65	16.85 W	0.00	2239 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	41.07	24.87 W	0.00	2240 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	41.03	24.83 W	0.00	2241 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	41.18	24.98 W	0.00	2242 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	41.06	24.86 W	0.00	2243 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	40.79	24.59 W	0.00	2244 of 2258 - Sale <sup>25</sup>
	3.000	16.20	05/19/20	41.80	25.60 W	0.00	2245 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	55.24	33.64 W	0.00	2246 of 2258 - Sale <sup>25</sup>
	4.000	21.60	05/19/20	54.97	33.37 W	0.00	2247 of 2258 - Sale <sup>25</sup>
	4.000	21.59	05/19/20	54.37	32.78 W	0.00	2248 of 2258 - Sale <sup>25</sup>
	4.000	21.59	05/19/20	55.43	33.84 W	0.00	2249 of 2258 - Sale <sup>25</sup>
	4.000	21.59	05/19/20	55.39	33.80 W	0.00	2250 of 2258 - Sale <sup>25</sup>
	5.000	26.99	05/19/20	69.62	42.63 W	0.00	2251 of 2258 - Sale <sup>25</sup>
	5.000	26.99	05/19/20	69.57	42.58 W	0.00	2252 of 2258 - Sale <sup>25</sup>
	6.000	32.39	05/19/20	82.40	50.01 W	0.00	2253 of 2258 - Sale <sup>25</sup>
	6.000	32.39	05/19/20	89.04	56.65 W	0.00	2254 of 2258 - Sale <sup>25</sup>
	6.000	32.39	05/19/20	84.00	51.61 W	0.00	2255 of 2258 - Sale <sup>25</sup>
	7.000	37.79	05/19/20	97.54	59.75 W	0.00	2256 of 2258 - Sale <sup>25</sup>
	8.000	43.19	05/19/20	111.31	68.12 W	0.00	2257 of 2258 - Sale <sup>25</sup>
	10.000	53.99	05/19/20	135.96	81.97 W	0.00	2258 of 2258 - Sale <sup>25</sup>
05/19/20	8,606.000	53,543.88	Various	118,648.85	65,104.97 W	0.00	Total of 2258 transactions
			17 transactions for 05/20/20. Total proceeds and cost reported to the IRS.				
	2.000	11.20	05/20/20	11.12	...	0.08	1 of 17 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	11.12	...	0.08	2 of 17 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	5.52	...	0.12	3 of 17 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
<b>2020 1099-B*</b> OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	12.000	67.20	05/20/20	66.78	...	0.42	4 of 17 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	55.57	...	0.43	5 of 17 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	55.43	...	0.57	6 of 17 - Sale <sup>25</sup>
	11.000	62.04	05/20/20	61.03	...	1.01	7 of 17 - Sale <sup>25</sup>
	38.000	212.78	05/20/20	211.49	...	1.29	8 of 17 - Sale <sup>25</sup>
	34.000	190.39	05/20/20	189.00	...	1.39	9 of 17 - Sale <sup>25</sup>
	9.000	50.76	05/20/20	48.85	...	1.91	10 of 17 - Sale <sup>25</sup>
	10.000	56.40	05/20/20	54.42	...	1.98	11 of 17 - Sale <sup>25</sup>
	10.000	56.40	05/20/20	54.32	...	2.08	12 of 17 - Sale <sup>25</sup>
	54.000	302.38	05/20/20	300.17	...	2.21	13 of 17 - Sale <sup>25</sup>
	49.000	274.86	05/20/20	271.87	...	2.99	14 of 17 - Sale <sup>25</sup>
	88.000	492.77	05/20/20	489.04	...	3.73	15 of 17 - Sale <sup>25</sup>
	100.000	563.97	05/20/20	556.22	...	7.75	16 of 17 - Sale <sup>25</sup>
	59.000	332.74	05/20/20	320.58	...	12.16	17 of 17 - Sale <sup>25</sup>
05/20/20	499.000	2,802.73	Various	2,762.53	...	40.20	Total of 17 transactions
<i>3327 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.81	05/20/20	7.02	2.21 W	0.00	1 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	6.96	2.15 W	0.00	2 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	6.89	2.08 W	0.00	3 of 3327 - Sale <sup>25</sup>
	20.000	96.22	05/20/20	138.45	42.23 W	0.00	4 of 3327 - Sale <sup>25</sup>
	4.000	19.24	05/20/20	27.81	8.57 W	0.00	5 of 3327 - Sale <sup>25</sup>
	16.000	76.98	05/20/20	110.92	33.94 W	0.00	6 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	6.95	2.14 W	0.00	7 of 3327 - Sale <sup>25</sup>
	5.000	24.06	05/20/20	34.71	10.65 W	0.00	8 of 3327 - Sale <sup>25</sup>
	7.000	33.68	05/20/20	48.59	14.91 W	0.00	9 of 3327 - Sale <sup>25</sup>
	8.000	38.49	05/20/20	55.55	17.06 W	0.00	10 of 3327 - Sale <sup>25</sup>
	2.000	9.62	05/20/20	13.72	4.10 W	0.00	11 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	6.87	2.06 W	0.00	12 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	6.87	2.06 W	0.00	13 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	16.72	11.91 W	0.00	14 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	16.18	11.37 W	0.00	15 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	16.27	11.46 W	0.00	16 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	16.42	11.61 W	0.00	17 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	16.37	11.56 W	0.00	18 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.81	05/20/20	16.40	11.59 W	0.00	19 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	16.43	11.62 W	0.00	20 of 3327 - Sale <sup>25</sup>
	2.000	9.62	05/20/20	32.62	23.00 W	0.00	21 of 3327 - Sale <sup>25</sup>
	5.000	24.06	05/20/20	81.99	57.93 W	0.00	22 of 3327 - Sale <sup>25</sup>
	9.000	43.30	05/20/20	147.21	103.91 W	0.00	23 of 3327 - Sale <sup>25</sup>
	1.000	4.81	05/20/20	16.41	11.60 W	0.00	24 of 3327 - Sale <sup>25</sup>
	2.000	9.62	05/20/20	32.85	23.23 W	0.00	25 of 3327 - Sale <sup>25</sup>
	3.000	14.43	05/20/20	49.25	34.82 W	0.00	26 of 3327 - Sale <sup>25</sup>
	3.000	14.43	05/20/20	48.94	34.51 W	0.00	27 of 3327 - Sale <sup>25</sup>
	4.000	19.25	05/20/20	65.71	46.46 W	0.00	28 of 3327 - Sale <sup>25</sup>
	7.000	33.68	05/20/20	114.99	81.31 W	0.00	29 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	16.88	11.27 W	0.00	30 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.22	11.62 W	0.00	31 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.13	11.53 W	0.00	32 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	51.40	34.60 W	0.00	33 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	50.76	33.96 W	0.00	34 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.72	36.92 W	0.00	35 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.80	49.40 W	0.00	36 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	89.71	61.71 W	0.00	37 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.91	12.31 W	0.00	38 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.50	36.70 W	0.00	39 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	107.53	73.93 W	0.00	40 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	178.43	122.43 W	0.00	41 of 3327 - Sale <sup>25</sup>
	3.000	16.77	05/20/20	53.34	36.57 W	0.00	42 of 3327 - Sale <sup>25</sup>
	7.000	39.13	05/20/20	67.21	28.08 W	0.00	43 of 3327 - Sale <sup>25</sup>
	10.000	55.90	05/20/20	96.03	40.13 W	0.00	44 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	9.49	3.93 W	0.00	45 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	9.42	3.86 W	0.00	46 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	18.89	7.77 W	0.00	47 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	18.83	7.71 W	0.00	48 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	38.06	15.82 W	0.00	49 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	37.95	15.71 W	0.00	50 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	38.31	16.07 W	0.00	51 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	47.47	19.67 W	0.00	52 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	33.36	05/20/20	57.64	24.28 W	0.00	53 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	57.47	24.11 W	0.00	54 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	86.43	36.39 W	0.00	55 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	104.37	43.21 W	0.00	56 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	104.92	43.76 W	0.00	57 of 3327 - Sale <sup>25</sup>
	12.000	66.72	05/20/20	113.02	46.30 W	0.00	58 of 3327 - Sale <sup>25</sup>
	13.000	72.28	05/20/20	122.45	50.17 W	0.00	59 of 3327 - Sale <sup>25</sup>
	16.000	88.96	05/20/20	153.33	64.37 W	0.00	60 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	105.64	44.48 W	0.00	61 of 3327 - Sale <sup>25</sup>
	21.000	116.76	05/20/20	200.95	84.19 W	0.00	62 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	9.44	3.88 W	0.00	63 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	9.28	3.72 W	0.00	64 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	9.35	3.79 W	0.00	65 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.88	12.32 W	0.00	66 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.90	12.34 W	0.00	67 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.74	12.18 W	0.00	68 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.43	11.87 W	0.00	69 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.60	11.04 W	0.00	70 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.76	11.20 W	0.00	71 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.63	11.07 W	0.00	72 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.68	11.12 W	0.00	73 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.50	10.94 W	0.00	74 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.52	10.96 W	0.00	75 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.59	11.03 W	0.00	76 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.47	10.91 W	0.00	77 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.40	10.84 W	0.00	78 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.36	10.80 W	0.00	79 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.33	10.77 W	0.00	80 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.31	10.75 W	0.00	81 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.27	10.71 W	0.00	82 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.75	10.19 W	0.00	83 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.83	10.27 W	0.00	84 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.64	10.08 W	0.00	85 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.72	10.16 W	0.00	86 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.56	05/20/20	15.59	10.03 W	0.00	87 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.54	9.98 W	0.00	88 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.55	9.99 W	0.00	89 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.25	9.69 W	0.00	90 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.30	9.74 W	0.00	91 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.93	10.37 W	0.00	92 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.94	10.38 W	0.00	93 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.84	10.28 W	0.00	94 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.76	9.20 W	0.00	95 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.78	9.22 W	0.00	96 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.60	9.04 W	0.00	97 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.63	9.07 W	0.00	98 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.22	7.66 W	0.00	99 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.22	7.66 W	0.00	100 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.59	9.03 W	0.00	101 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.95	9.39 W	0.00	102 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.81	9.25 W	0.00	103 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.85	9.29 W	0.00	104 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.10	8.54 W	0.00	105 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.81	8.25 W	0.00	106 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.82	8.26 W	0.00	107 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.89	8.33 W	0.00	108 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.87	8.31 W	0.00	109 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.85	8.29 W	0.00	110 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.72	8.16 W	0.00	111 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.52	7.96 W	0.00	112 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.48	7.92 W	0.00	113 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.16	6.60 W	0.00	114 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.48	6.92 W	0.00	115 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.47	6.91 W	0.00	116 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.47	6.91 W	0.00	117 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.48	6.92 W	0.00	118 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.43	6.87 W	0.00	119 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.39	8.83 W	0.00	120 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.56	05/20/20	14.46	8.90 W	0.00	121 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.79	8.23 W	0.00	122 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.19	8.63 W	0.00	123 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.12	8.56 W	0.00	124 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.16	8.60 W	0.00	125 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.71	9.15 W	0.00	126 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.67	9.11 W	0.00	127 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.05	9.49 W	0.00	128 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.08	9.52 W	0.00	129 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.87	9.31 W	0.00	130 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.88	9.32 W	0.00	131 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.92	9.36 W	0.00	132 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.94	9.38 W	0.00	133 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.23	10.67 W	0.00	134 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.30	10.74 W	0.00	135 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.31	10.75 W	0.00	136 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.17	10.61 W	0.00	137 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	18.70	7.58 W	0.00	138 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	35.57	24.45 W	0.00	139 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	34.93	23.81 W	0.00	140 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	33.10	21.98 W	0.00	141 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	33.05	21.93 W	0.00	142 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	33.17	22.05 W	0.00	143 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	32.93	21.81 W	0.00	144 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	32.60	21.48 W	0.00	145 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	31.60	20.48 W	0.00	146 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	31.17	20.05 W	0.00	147 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	31.33	20.21 W	0.00	148 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	31.27	20.15 W	0.00	149 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	30.88	19.76 W	0.00	150 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	31.14	20.02 W	0.00	151 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	31.14	20.02 W	0.00	152 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	30.46	19.34 W	0.00	153 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	31.74	20.62 W	0.00	154 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.12	05/20/20	32.19	21.07 W	0.00	155 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	29.41	18.29 W	0.00	156 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	29.23	18.11 W	0.00	157 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	26.60	15.48 W	0.00	158 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	26.46	15.34 W	0.00	159 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	29.12	18.00 W	0.00	160 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	28.56	17.44 W	0.00	161 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	28.20	17.08 W	0.00	162 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	28.15	17.03 W	0.00	163 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.75	16.63 W	0.00	164 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.50	16.38 W	0.00	165 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.51	16.39 W	0.00	166 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.35	16.23 W	0.00	167 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.32	16.20 W	0.00	168 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.19	16.07 W	0.00	169 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.03	15.91 W	0.00	170 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	26.98	15.86 W	0.00	171 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.86	13.74 W	0.00	172 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.67	13.55 W	0.00	173 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.60	13.48 W	0.00	174 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.77	13.65 W	0.00	175 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.95	13.83 W	0.00	176 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.95	13.83 W	0.00	177 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.87	13.75 W	0.00	178 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	26.83	15.71 W	0.00	179 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	26.83	15.71 W	0.00	180 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	28.85	17.73 W	0.00	181 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	28.85	17.73 W	0.00	182 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.56	16.44 W	0.00	183 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.56	16.44 W	0.00	184 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	28.25	17.13 W	0.00	185 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	29.44	18.32 W	0.00	186 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	29.44	18.32 W	0.00	187 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	29.83	18.71 W	0.00	188 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.12	05/20/20	32.63	21.51 W	0.00	189 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	32.61	21.49 W	0.00	190 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	32.61	21.49 W	0.00	191 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	52.36	35.68 W	0.00	192 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	54.24	37.56 W	0.00	193 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	52.29	35.61 W	0.00	194 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	49.10	32.42 W	0.00	195 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	48.82	32.14 W	0.00	196 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	47.22	30.54 W	0.00	197 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	47.33	30.65 W	0.00	198 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	46.74	30.06 W	0.00	199 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	46.70	30.02 W	0.00	200 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	48.43	31.75 W	0.00	201 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	43.38	26.70 W	0.00	202 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	39.52	22.84 W	0.00	203 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	39.79	23.11 W	0.00	204 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	44.42	27.74 W	0.00	205 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	44.76	28.08 W	0.00	206 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	44.74	28.06 W	0.00	207 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	43.57	26.89 W	0.00	208 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	43.41	26.73 W	0.00	209 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	42.22	25.54 W	0.00	210 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	42.08	25.40 W	0.00	211 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	41.02	24.34 W	0.00	212 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	40.60	23.92 W	0.00	213 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	40.54	23.86 W	0.00	214 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	36.54	19.86 W	0.00	215 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	36.84	20.16 W	0.00	216 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	36.91	20.23 W	0.00	217 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	37.14	20.46 W	0.00	218 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	37.29	20.61 W	0.00	219 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	40.36	23.68 W	0.00	220 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	43.28	26.60 W	0.00	221 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	42.79	26.11 W	0.00	222 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.68	05/20/20	43.89	27.21 W	0.00	223 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	44.14	27.46 W	0.00	224 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	48.94	32.26 W	0.00	225 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	72.20	49.96 W	0.00	226 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	72.12	49.88 W	0.00	227 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	70.46	48.22 W	0.00	228 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	69.27	47.03 W	0.00	229 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	66.48	44.24 W	0.00	230 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	66.40	44.16 W	0.00	231 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	66.51	44.27 W	0.00	232 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	62.72	40.48 W	0.00	233 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	58.75	36.51 W	0.00	234 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	54.59	32.35 W	0.00	235 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	49.31	27.07 W	0.00	236 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	64.03	41.79 W	0.00	237 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	87.32	59.52 W	0.00	238 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	82.48	54.68 W	0.00	239 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	83.04	55.24 W	0.00	240 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	81.77	53.97 W	0.00	241 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	82.70	54.90 W	0.00	242 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	78.81	51.01 W	0.00	243 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	80.67	52.87 W	0.00	244 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	68.26	40.46 W	0.00	245 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	67.45	39.65 W	0.00	246 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	72.35	44.55 W	0.00	247 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	69.08	41.28 W	0.00	248 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	68.27	40.47 W	0.00	249 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	67.50	39.70 W	0.00	250 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	70.61	42.81 W	0.00	251 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	73.04	45.24 W	0.00	252 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	75.35	47.55 W	0.00	253 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	56.67	23.31 W	0.00	254 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	89.22	55.86 W	0.00	255 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	89.09	55.73 W	0.00	256 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	33.36	05/20/20	83.86	50.50 W	0.00	257 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	82.51	49.15 W	0.00	258 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	87.05	53.69 W	0.00	259 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	86.54	53.18 W	0.00	260 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	92.73	53.81 W	0.00	261 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	93.11	54.19 W	0.00	262 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	99.74	60.82 W	0.00	263 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	105.73	66.81 W	0.00	264 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	112.40	73.48 W	0.00	265 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	113.52	74.60 W	0.00	266 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	74.80	30.32 W	0.00	267 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	138.32	93.84 W	0.00	268 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	138.01	93.53 W	0.00	269 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	130.94	86.46 W	0.00	270 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	115.97	71.49 W	0.00	271 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	105.59	61.11 W	0.00	272 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	115.41	70.93 W	0.00	273 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	130.37	85.89 W	0.00	274 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	84.41	34.37 W	0.00	275 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	143.35	93.31 W	0.00	276 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	121.73	71.69 W	0.00	277 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	121.47	71.43 W	0.00	278 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	128.29	78.25 W	0.00	279 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	94.71	39.11 W	0.00	280 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	147.50	91.90 W	0.00	281 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	104.19	43.03 W	0.00	282 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	159.20	98.04 W	0.00	283 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	148.26	87.10 W	0.00	284 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	157.87	96.71 W	0.00	285 of 3327 - Sale <sup>25</sup>
	12.000	66.72	05/20/20	113.11	46.39 W	0.00	286 of 3327 - Sale <sup>25</sup>
	12.000	66.72	05/20/20	169.35	102.63 W	0.00	287 of 3327 - Sale <sup>25</sup>
	12.000	66.72	05/20/20	194.84	128.12 W	0.00	288 of 3327 - Sale <sup>25</sup>
	13.000	72.28	05/20/20	120.66	48.38 W	0.00	289 of 3327 - Sale <sup>25</sup>
	14.000	77.84	05/20/20	219.67	141.83 W	0.00	290 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.56	05/20/20	12.09	6.53 W	0.00	291 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.02	6.46 W	0.00	292 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.03	6.47 W	0.00	293 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.09	6.53 W	0.00	294 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.06	6.50 W	0.00	295 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.14	6.58 W	0.00	296 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	11.97	6.41 W	0.00	297 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.22	7.66 W	0.00	298 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.33	7.77 W	0.00	299 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.36	7.80 W	0.00	300 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.34	7.78 W	0.00	301 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.38	7.82 W	0.00	302 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.43	7.87 W	0.00	303 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.35	7.79 W	0.00	304 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.26	7.70 W	0.00	305 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.12	7.56 W	0.00	306 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.27	7.71 W	0.00	307 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	12.96	7.40 W	0.00	308 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.31	7.75 W	0.00	309 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.25	7.69 W	0.00	310 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.25	7.69 W	0.00	311 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.83	8.27 W	0.00	312 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.86	8.30 W	0.00	313 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.88	8.32 W	0.00	314 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.56	8.00 W	0.00	315 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.25	7.69 W	0.00	316 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.11	7.55 W	0.00	317 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.35	7.79 W	0.00	318 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.34	7.78 W	0.00	319 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	23.62	12.50 W	0.00	320 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	26.33	15.21 W	0.00	321 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	26.12	15.00 W	0.00	322 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	24.80	13.68 W	0.00	323 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	25.94	14.82 W	0.00	324 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		11.12	05/20/20	26.82	15.70 W	0.00	325 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	26.78	15.66 W	0.00	326 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	26.50	15.38 W	0.00	327 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	27.95	16.83 W	0.00	328 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	27.01	15.89 W	0.00	329 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	26.62	15.50 W	0.00	330 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	26.38	15.26 W	0.00	331 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	26.23	15.11 W	0.00	332 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	27.00	15.88 W	0.00	333 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	26.99	15.87 W	0.00	334 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	36.28	19.60 W	0.00	335 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	35.82	19.14 W	0.00	336 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.27	22.59 W	0.00	337 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.34	22.66 W	0.00	338 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.75	23.07 W	0.00	339 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	40.24	23.56 W	0.00	340 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.80	23.12 W	0.00	341 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.78	23.10 W	0.00	342 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.74	23.06 W	0.00	343 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.75	23.07 W	0.00	344 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	40.69	24.01 W	0.00	345 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	39.64	22.96 W	0.00	346 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	40.40	23.72 W	0.00	347 of 3327 - Sale <sup>25</sup>
4.000		22.24	05/20/20	49.30	27.06 W	0.00	348 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	65.65	37.85 W	0.00	349 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	62.46	34.66 W	0.00	350 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	63.38	35.58 W	0.00	351 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	66.25	38.45 W	0.00	352 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	67.46	39.66 W	0.00	353 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	66.24	38.44 W	0.00	354 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	65.54	37.74 W	0.00	355 of 3327 - Sale <sup>25</sup>
5.000		27.80	05/20/20	67.50	39.70 W	0.00	356 of 3327 - Sale <sup>25</sup>
6.000		33.36	05/20/20	73.95	40.59 W	0.00	357 of 3327 - Sale <sup>25</sup>
6.000		33.36	05/20/20	80.04	46.68 W	0.00	358 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	38.92	05/20/20	84.02	45.10 W	0.00	359 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	93.12	54.20 W	0.00	360 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	93.20	54.28 W	0.00	361 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	112.77	68.29 W	0.00	362 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	120.28	70.24 W	0.00	363 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	121.84	71.80 W	0.00	364 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	118.03	67.99 W	0.00	365 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	119.70	64.10 W	0.00	366 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	140.41	84.81 W	0.00	367 of 3327 - Sale <sup>25</sup>
	11.000	61.16	05/20/20	146.08	84.92 W	0.00	368 of 3327 - Sale <sup>25</sup>
	13.000	72.28	05/20/20	170.17	97.89 W	0.00	369 of 3327 - Sale <sup>25</sup>
	13.000	72.28	05/20/20	172.36	100.08 W	0.00	370 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.37	10.81 W	0.00	371 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	16.34	10.78 W	0.00	372 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.25	11.69 W	0.00	373 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.44	11.88 W	0.00	374 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.42	11.86 W	0.00	375 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.93	12.37 W	0.00	376 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.83	12.27 W	0.00	377 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.91	12.35 W	0.00	378 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.73	12.17 W	0.00	379 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.75	12.19 W	0.00	380 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.77	12.21 W	0.00	381 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.97	12.41 W	0.00	382 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.94	12.38 W	0.00	383 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	18.01	12.45 W	0.00	384 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.99	12.43 W	0.00	385 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	18.04	12.48 W	0.00	386 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	18.09	12.53 W	0.00	387 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	18.09	12.53 W	0.00	388 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.72	12.16 W	0.00	389 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.70	12.14 W	0.00	390 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.49	11.93 W	0.00	391 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	17.41	11.85 W	0.00	392 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.56	05/20/20	7.78	2.22 W	0.00	393 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	7.72	2.16 W	0.00	394 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	7.65	2.09 W	0.00	395 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	7.71	2.15 W	0.00	396 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	7.63	2.07 W	0.00	397 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	7.63	2.07 W	0.00	398 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.48	11.92 W	0.00	399 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	16.94	11.38 W	0.00	400 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.03	11.47 W	0.00	401 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.18	11.62 W	0.00	402 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.13	11.57 W	0.00	403 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.16	11.60 W	0.00	404 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.19	11.63 W	0.00	405 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.17	11.61 W	0.00	406 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	16.84	11.28 W	0.00	407 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.19	11.63 W	0.00	408 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.10	11.54 W	0.00	409 of 3327 - Sale <sup>25</sup>
1.000		5.56	05/20/20	17.88	12.32 W	0.00	410 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	32.72	21.60 W	0.00	411 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	34.34	23.22 W	0.00	412 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	34.35	23.23 W	0.00	413 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	34.81	23.69 W	0.00	414 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	35.20	24.08 W	0.00	415 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	35.68	24.56 W	0.00	416 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	35.69	24.57 W	0.00	417 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	35.88	24.76 W	0.00	418 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	35.60	24.48 W	0.00	419 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	35.35	24.23 W	0.00	420 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	36.21	25.09 W	0.00	421 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	35.45	24.33 W	0.00	422 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	15.24	4.12 W	0.00	423 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	34.14	23.02 W	0.00	424 of 3327 - Sale <sup>25</sup>
2.000		11.12	05/20/20	34.37	23.25 W	0.00	425 of 3327 - Sale <sup>25</sup>
3.000		16.68	05/20/20	49.08	32.40 W	0.00	426 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Includes data for SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd).

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	50.04	05/20/20	154.50	104.46 W	0.00	461 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	162.33	112.29 W	0.00	462 of 3327 - Sale <sup>25</sup>
	9.000	50.04	05/20/20	154.02	103.98 W	0.00	463 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	179.56	123.96 W	0.00	464 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	178.11	122.51 W	0.00	465 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	95.81	40.21 W	0.00	466 of 3327 - Sale <sup>25</sup>
	12.000	66.72	05/20/20	206.49	139.77 W	0.00	467 of 3327 - Sale <sup>25</sup>
	16.000	88.95	05/20/20	123.03	34.08 W	0.00	468 of 3327 - Sale <sup>25</sup>
	20.000	111.19	05/20/20	153.60	42.41 W	0.00	469 of 3327 - Sale <sup>25</sup>
	61.000	339.14	05/20/20	461.88	122.74 W	0.00	470 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.96	8.40 W	0.00	471 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.92	8.36 W	0.00	472 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.89	8.33 W	0.00	473 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.87	8.31 W	0.00	474 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	13.81	8.25 W	0.00	475 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.10	8.54 W	0.00	476 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.07	8.51 W	0.00	477 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.11	9.55 W	0.00	478 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	15.02	9.46 W	0.00	479 of 3327 - Sale <sup>25</sup>
	1.000	5.56	05/20/20	14.12	8.56 W	0.00	480 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.93	16.81 W	0.00	481 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.80	16.68 W	0.00	482 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.80	16.68 W	0.00	483 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.92	16.80 W	0.00	484 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	28.11	16.99 W	0.00	485 of 3327 - Sale <sup>25</sup>
	2.000	11.12	05/20/20	27.99	16.87 W	0.00	486 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	41.58	24.90 W	0.00	487 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	41.54	24.86 W	0.00	488 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	41.69	25.01 W	0.00	489 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	41.57	24.89 W	0.00	490 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	41.30	24.62 W	0.00	491 of 3327 - Sale <sup>25</sup>
	3.000	16.68	05/20/20	42.31	25.63 W	0.00	492 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	55.91	33.67 W	0.00	493 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	55.64	33.40 W	0.00	494 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	22.24	05/20/20	55.05	32.81 W	0.00	495 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	56.11	33.87 W	0.00	496 of 3327 - Sale <sup>25</sup>
	4.000	22.24	05/20/20	56.07	33.83 W	0.00	497 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	70.47	42.67 W	0.00	498 of 3327 - Sale <sup>25</sup>
	5.000	27.80	05/20/20	70.42	42.62 W	0.00	499 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	83.42	50.06 W	0.00	500 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	90.06	56.70 W	0.00	501 of 3327 - Sale <sup>25</sup>
	6.000	33.36	05/20/20	85.02	51.66 W	0.00	502 of 3327 - Sale <sup>25</sup>
	7.000	38.92	05/20/20	98.73	59.81 W	0.00	503 of 3327 - Sale <sup>25</sup>
	8.000	44.48	05/20/20	112.67	68.19 W	0.00	504 of 3327 - Sale <sup>25</sup>
	10.000	55.60	05/20/20	137.65	82.05 W	0.00	505 of 3327 - Sale <sup>25</sup>
	499.000	2,774.23	05/20/20	2,778.60	4.37 W	0.00	506 of 3327 - Sale <sup>25</sup>
	100.000	555.95	05/20/20	574.87	18.92 W	0.00	507 of 3327 - Sale <sup>25</sup>
	100.000	555.96	05/20/20	580.00	24.04 W	0.00	508 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	9.59	3.95 W	0.00	509 of 3327 - Sale <sup>25</sup>
	9.000	50.76	05/20/20	87.07	36.31 W	0.00	510 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.56	4.04 W	0.00	511 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.49	3.97 W	0.00	512 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.51	3.99 W	0.00	513 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.35	3.83 W	0.00	514 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.42	3.90 W	0.00	515 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	19.03	7.99 W	0.00	516 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	18.97	7.93 W	0.00	517 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	18.84	7.80 W	0.00	518 of 3327 - Sale <sup>25</sup>
	4.000	22.08	05/20/20	38.34	16.26 W	0.00	519 of 3327 - Sale <sup>25</sup>
	4.000	22.08	05/20/20	38.23	16.15 W	0.00	520 of 3327 - Sale <sup>25</sup>
	4.000	22.08	05/20/20	38.59	16.51 W	0.00	521 of 3327 - Sale <sup>25</sup>
	5.000	27.60	05/20/20	47.82	20.22 W	0.00	522 of 3327 - Sale <sup>25</sup>
	6.000	33.12	05/20/20	58.06	24.94 W	0.00	523 of 3327 - Sale <sup>25</sup>
	6.000	33.12	05/20/20	57.89	24.77 W	0.00	524 of 3327 - Sale <sup>25</sup>
	6.000	33.12	05/20/20	57.09	23.97 W	0.00	525 of 3327 - Sale <sup>25</sup>
	8.000	44.16	05/20/20	75.36	31.20 W	0.00	526 of 3327 - Sale <sup>25</sup>
	9.000	49.68	05/20/20	87.06	37.38 W	0.00	527 of 3327 - Sale <sup>25</sup>
	9.000	49.68	05/20/20	85.04	35.36 W	0.00	528 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.52	05/20/20	9.67	4.15 W	0.00	529 of 3327 - Sale <sup>25</sup>
10.000		55.19	05/20/20	95.41	40.22 W	0.00	530 of 3327 - Sale <sup>25</sup>
11.000		60.72	05/20/20	105.14	44.42 W	0.00	531 of 3327 - Sale <sup>25</sup>
11.000		60.72	05/20/20	105.69	44.97 W	0.00	532 of 3327 - Sale <sup>25</sup>
11.000		60.72	05/20/20	104.96	44.24 W	0.00	533 of 3327 - Sale <sup>25</sup>
12.000		66.24	05/20/20	113.86	47.62 W	0.00	534 of 3327 - Sale <sup>25</sup>
12.000		66.23	05/20/20	113.95	47.72 W	0.00	535 of 3327 - Sale <sup>25</sup>
13.000		71.75	05/20/20	123.36	51.61 W	0.00	536 of 3327 - Sale <sup>25</sup>
10.000		55.19	05/20/20	93.52	38.33 W	0.00	537 of 3327 - Sale <sup>25</sup>
16.000		88.32	05/20/20	154.45	66.13 W	0.00	538 of 3327 - Sale <sup>25</sup>
21.000		115.91	05/20/20	202.42	86.51 W	0.00	539 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	17.95	12.43 W	0.00	540 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	17.97	12.45 W	0.00	541 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	17.81	12.29 W	0.00	542 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	17.50	11.98 W	0.00	543 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.67	11.15 W	0.00	544 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.83	11.31 W	0.00	545 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	35.71	24.67 W	0.00	546 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	35.07	24.03 W	0.00	547 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	52.57	36.01 W	0.00	548 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	54.45	37.89 W	0.00	549 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	52.50	35.94 W	0.00	550 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	72.48	50.40 W	0.00	551 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	72.40	50.32 W	0.00	552 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	70.74	48.66 W	0.00	553 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	69.55	47.47 W	0.00	554 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	66.76	44.68 W	0.00	555 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	87.67	60.07 W	0.00	556 of 3327 - Sale <sup>25</sup>
5.000		27.59	05/20/20	82.83	55.24 W	0.00	557 of 3327 - Sale <sup>25</sup>
8.000		44.16	05/20/20	138.88	94.72 W	0.00	558 of 3327 - Sale <sup>25</sup>
8.000		44.16	05/20/20	138.57	94.41 W	0.00	559 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	28.05	11.49 W	0.00	560 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.70	11.18 W	0.00	561 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.75	11.23 W	0.00	562 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.52	05/20/20	16.57	11.05 W	0.00	563 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.59	11.07 W	0.00	564 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.66	11.14 W	0.00	565 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.54	11.02 W	0.00	566 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.47	10.95 W	0.00	567 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.43	10.91 W	0.00	568 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.40	10.88 W	0.00	569 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.38	10.86 W	0.00	570 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.34	10.82 W	0.00	571 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.82	10.30 W	0.00	572 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.90	10.38 W	0.00	573 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.71	10.19 W	0.00	574 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.79	10.27 W	0.00	575 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.66	10.14 W	0.00	576 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	33.24	22.20 W	0.00	577 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	33.19	22.15 W	0.00	578 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	33.31	22.27 W	0.00	579 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	33.07	22.03 W	0.00	580 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	32.74	21.70 W	0.00	581 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	31.74	20.70 W	0.00	582 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	31.31	20.27 W	0.00	583 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	31.47	20.43 W	0.00	584 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	31.41	20.37 W	0.00	585 of 3327 - Sale <sup>25</sup>
2.000		11.03	05/20/20	31.02	19.99 W	0.00	586 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	49.31	32.75 W	0.00	587 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	49.03	32.47 W	0.00	588 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	47.43	30.87 W	0.00	589 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	47.54	30.98 W	0.00	590 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	46.95	30.39 W	0.00	591 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	66.68	44.60 W	0.00	592 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	66.79	44.71 W	0.00	593 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	63.00	40.92 W	0.00	594 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	83.39	55.79 W	0.00	595 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	82.12	54.52 W	0.00	596 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	27.60	05/20/20	83.05	55.45 W	0.00	597 of 3327 - Sale <sup>25</sup>
	8.000	44.16	05/20/20	131.50	87.34 W	0.00	598 of 3327 - Sale <sup>25</sup>
	14.000	77.26	05/20/20	220.65	143.39 W	0.00	599 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	15.61	10.09 W	0.00	600 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	15.62	10.10 W	0.00	601 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	15.32	9.80 W	0.00	602 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	15.37	9.85 W	0.00	603 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	16.00	10.48 W	0.00	604 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	16.01	10.49 W	0.00	605 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	15.91	10.39 W	0.00	606 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.83	9.31 W	0.00	607 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.85	9.33 W	0.00	608 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.67	9.15 W	0.00	609 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.70	9.18 W	0.00	610 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	31.28	20.24 W	0.00	611 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	31.28	20.24 W	0.00	612 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	30.60	19.56 W	0.00	613 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	31.88	20.84 W	0.00	614 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	32.33	21.29 W	0.00	615 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	29.55	18.51 W	0.00	616 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	29.37	18.33 W	0.00	617 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	46.91	30.35 W	0.00	618 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	48.64	32.08 W	0.00	619 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	43.59	27.03 W	0.00	620 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	39.73	23.17 W	0.00	621 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	40.00	23.44 W	0.00	622 of 3327 - Sale <sup>25</sup>
	4.000	22.08	05/20/20	59.03	36.95 W	0.00	623 of 3327 - Sale <sup>25</sup>
	4.000	22.08	05/20/20	54.87	32.79 W	0.00	624 of 3327 - Sale <sup>25</sup>
	5.000	27.60	05/20/20	79.16	51.56 W	0.00	625 of 3327 - Sale <sup>25</sup>
	5.000	27.60	05/20/20	81.02	53.42 W	0.00	626 of 3327 - Sale <sup>25</sup>
	5.000	27.60	05/20/20	68.61	41.01 W	0.00	627 of 3327 - Sale <sup>25</sup>
	5.000	27.59	05/20/20	67.80	40.21 W	0.00	628 of 3327 - Sale <sup>25</sup>
	7.000	38.63	05/20/20	93.22	54.59 W	0.00	629 of 3327 - Sale <sup>25</sup>
	8.000	44.16	05/20/20	116.53	72.37 W	0.00	630 of 3327 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	44.15	05/20/20	106.15	62.00 W	0.00	631 of 3327 - Sale <sup>25</sup>
	9.000	49.68	05/20/20	143.98	94.30 W	0.00	632 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.29	7.77 W	0.00	633 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.29	7.77 W	0.00	634 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.66	9.14 W	0.00	635 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	15.02	9.50 W	0.00	636 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	26.74	15.70 W	0.00	637 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	26.60	15.56 W	0.00	638 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	44.63	28.07 W	0.00	639 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	29.98	18.94 W	0.00	640 of 3327 - Sale <sup>25</sup>
	7.000	38.64	05/20/20	93.60	54.96 W	0.00	641 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	29.97	18.93 W	0.00	642 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.99	9.47 W	0.00	643 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	15.00	9.48 W	0.00	644 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.90	9.38 W	0.00	645 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.94	9.42 W	0.00	646 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	29.30	18.26 W	0.00	647 of 3327 - Sale <sup>25</sup>
	6.000	33.12	05/20/20	89.76	56.64 W	0.00	648 of 3327 - Sale <sup>25</sup>
	6.000	33.12	05/20/20	89.63	56.51 W	0.00	649 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	14.19	8.67 W	0.00	650 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.90	8.38 W	0.00	651 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.91	8.39 W	0.00	652 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	28.74	17.70 W	0.00	653 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	28.38	17.34 W	0.00	654 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	28.33	17.29 W	0.00	655 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.93	16.89 W	0.00	656 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	43.84	27.28 W	0.00	657 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	43.68	27.12 W	0.00	658 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	42.49	25.93 W	0.00	659 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	42.35	25.79 W	0.00	660 of 3327 - Sale <sup>25</sup>
	5.000	27.60	05/20/20	72.80	45.20 W	0.00	661 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.91	8.39 W	0.00	662 of 3327 - Sale <sup>25</sup>
	6.000	33.11	05/20/20	84.40	51.29 W	0.00	663 of 3327 - Sale <sup>25</sup>
	7.000	38.64	05/20/20	100.37	61.73 W	0.00	664 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	11.000	60.72	05/20/20	160.19	99.47 W	0.00	665 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.98	8.46 W	0.00	666 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.96	8.44 W	0.00	667 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.94	8.42 W	0.00	668 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.81	8.29 W	0.00	669 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.61	8.09 W	0.00	670 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	13.57	8.05 W	0.00	671 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	12.25	6.73 W	0.00	672 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	12.57	7.05 W	0.00	673 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.68	16.64 W	0.00	674 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.69	16.65 W	0.00	675 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.53	16.49 W	0.00	676 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.50	16.46 W	0.00	677 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.37	16.33 W	0.00	678 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.21	16.17 W	0.00	679 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	27.16	16.12 W	0.00	680 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	25.04	14.00 W	0.00	681 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	24.85	13.81 W	0.00	682 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	24.78	13.74 W	0.00	683 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	24.95	13.91 W	0.00	684 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	41.29	24.73 W	0.00	685 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	40.87	24.31 W	0.00	686 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	40.81	24.25 W	0.00	687 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	36.81	20.25 W	0.00	688 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	37.11	20.55 W	0.00	689 of 3327 - Sale <sup>25</sup>
	3.000	16.55	05/20/20	37.18	20.63 W	0.00	690 of 3327 - Sale <sup>25</sup>
	3.000	16.55	05/20/20	37.41	20.86 W	0.00	691 of 3327 - Sale <sup>25</sup>
	4.000	22.08	05/20/20	49.67	27.59 W	0.00	692 of 3327 - Sale <sup>25</sup>
	4.000	22.08	05/20/20	55.62	33.54 W	0.00	693 of 3327 - Sale <sup>25</sup>
	5.000	27.60	05/20/20	68.72	41.12 W	0.00	694 of 3327 - Sale <sup>25</sup>
	5.000	27.60	05/20/20	67.95	40.35 W	0.00	695 of 3327 - Sale <sup>25</sup>
	6.000	33.12	05/20/20	83.05	49.93 W	0.00	696 of 3327 - Sale <sup>25</sup>
	9.000	49.68	05/20/20	122.54	72.86 W	0.00	697 of 3327 - Sale <sup>25</sup>
	11.000	60.71	05/20/20	149.25	88.54 W	0.00	698 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.52	05/20/20	12.56	7.04 W	0.00	699 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.56	7.04 W	0.00	700 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.57	7.05 W	0.00	701 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.52	7.00 W	0.00	702 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.48	8.96 W	0.00	703 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.55	9.03 W	0.00	704 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.88	8.36 W	0.00	705 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.28	8.76 W	0.00	706 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	25.13	14.09 W	0.00	707 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	25.13	14.09 W	0.00	708 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	25.05	14.01 W	0.00	709 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	27.01	15.97 W	0.00	710 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	27.01	15.97 W	0.00	711 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	29.03	17.99 W	0.00	712 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	29.03	17.99 W	0.00	713 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	27.74	16.70 W	0.00	714 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	27.74	16.70 W	0.00	715 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.22	8.70 W	0.00	716 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	37.56	21.00 W	0.00	717 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	40.63	24.07 W	0.00	718 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	43.55	26.99 W	0.00	719 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	43.06	26.50 W	0.00	720 of 3327 - Sale <sup>25</sup>
6.000		33.12	05/20/20	87.59	54.47 W	0.00	721 of 3327 - Sale <sup>25</sup>
6.000		33.12	05/20/20	87.08	53.96 W	0.00	722 of 3327 - Sale <sup>25</sup>
8.000		44.16	05/20/20	116.13	71.97 W	0.00	723 of 3327 - Sale <sup>25</sup>
9.000		49.68	05/20/20	122.28	72.60 W	0.00	724 of 3327 - Sale <sup>25</sup>
9.000		49.67	05/20/20	129.10	79.43 W	0.00	725 of 3327 - Sale <sup>25</sup>
11.000		60.71	05/20/20	158.86	98.15 W	0.00	726 of 3327 - Sale <sup>25</sup>
12.000		66.23	05/20/20	170.43	104.20 W	0.00	727 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.21	8.69 W	0.00	728 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.25	8.73 W	0.00	729 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.80	9.28 W	0.00	730 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.76	9.24 W	0.00	731 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.14	9.62 W	0.00	732 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.52	05/20/20	15.17	9.65 W	0.00	733 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.96	9.44 W	0.00	734 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.97	9.45 W	0.00	735 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.01	9.49 W	0.00	736 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	15.03	9.51 W	0.00	737 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.32	10.80 W	0.00	738 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.39	10.87 W	0.00	739 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.40	10.88 W	0.00	740 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	16.26	10.74 W	0.00	741 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	14.21	8.69 W	0.00	742 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	29.62	18.58 W	0.00	743 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	29.62	18.58 W	0.00	744 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	30.01	18.97 W	0.00	745 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	32.81	21.77 W	0.00	746 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	32.79	21.75 W	0.00	747 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	32.79	21.75 W	0.00	748 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	44.16	27.60 W	0.00	749 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	44.41	27.85 W	0.00	750 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	49.21	32.65 W	0.00	751 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	64.39	42.31 W	0.00	752 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	71.06	43.46 W	0.00	753 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	73.49	45.89 W	0.00	754 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	75.80	48.20 W	0.00	755 of 3327 - Sale <sup>25</sup>
7.000		38.64	05/20/20	106.36	67.72 W	0.00	756 of 3327 - Sale <sup>25</sup>
7.000		38.63	05/20/20	113.03	74.40 W	0.00	757 of 3327 - Sale <sup>25</sup>
7.000		38.63	05/20/20	114.15	75.52 W	0.00	758 of 3327 - Sale <sup>25</sup>
8.000		44.15	05/20/20	131.09	86.94 W	0.00	759 of 3327 - Sale <sup>25</sup>
10.000		55.20	05/20/20	148.40	93.20 W	0.00	760 of 3327 - Sale <sup>25</sup>
6.000		33.12	05/20/20	97.96	64.84 W	0.00	761 of 3327 - Sale <sup>25</sup>
6.000		33.12	05/20/20	97.96	64.84 W	0.00	762 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.18	6.66 W	0.00	763 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.11	6.59 W	0.00	764 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.12	6.60 W	0.00	765 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.18	6.66 W	0.00	766 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.52	05/20/20	12.15	6.63 W	0.00	767 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.23	6.71 W	0.00	768 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	12.06	6.54 W	0.00	769 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.31	7.79 W	0.00	770 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.42	7.90 W	0.00	771 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.45	7.93 W	0.00	772 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.43	7.91 W	0.00	773 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.47	7.95 W	0.00	774 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.52	8.00 W	0.00	775 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.44	7.92 W	0.00	776 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.35	7.83 W	0.00	777 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.21	7.69 W	0.00	778 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.36	7.84 W	0.00	779 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.05	7.53 W	0.00	780 of 3327 - Sale <sup>25</sup>
1.000		5.52	05/20/20	13.48	7.96 W	0.00	781 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	23.80	12.76 W	0.00	782 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	26.51	15.47 W	0.00	783 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	26.30	15.26 W	0.00	784 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	24.98	13.94 W	0.00	785 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	26.12	15.08 W	0.00	786 of 3327 - Sale <sup>25</sup>
2.000		11.04	05/20/20	27.00	15.96 W	0.00	787 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	36.55	19.99 W	0.00	788 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	36.09	19.53 W	0.00	789 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	39.54	22.98 W	0.00	790 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	39.61	23.05 W	0.00	791 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	40.02	23.46 W	0.00	792 of 3327 - Sale <sup>25</sup>
3.000		16.56	05/20/20	40.51	23.95 W	0.00	793 of 3327 - Sale <sup>25</sup>
4.000		22.08	05/20/20	49.66	27.58 W	0.00	794 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	66.10	38.50 W	0.00	795 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	62.91	35.31 W	0.00	796 of 3327 - Sale <sup>25</sup>
5.000		27.60	05/20/20	63.83	36.23 W	0.00	797 of 3327 - Sale <sup>25</sup>
6.000		33.12	05/20/20	74.49	41.37 W	0.00	798 of 3327 - Sale <sup>25</sup>
7.000		38.64	05/20/20	84.65	46.01 W	0.00	799 of 3327 - Sale <sup>25</sup>
7.000		38.64	05/20/20	93.75	55.11 W	0.00	800 of 3327 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	49.68	05/20/20	121.09	71.41 W	0.00	801 of 3327 - Sale <sup>25</sup>
	9.000	49.67	05/20/20	122.65	72.98 W	0.00	802 of 3327 - Sale <sup>25</sup>
	10.000	55.20	05/20/20	120.60	65.40 W	0.00	803 of 3327 - Sale <sup>25</sup>
	13.000	71.76	05/20/20	171.34	99.58 W	0.00	804 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	16.48	10.96 W	0.00	805 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	16.44	10.92 W	0.00	806 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	17.35	11.83 W	0.00	807 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	17.54	12.02 W	0.00	808 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	17.53	12.01 W	0.00	809 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	18.03	12.51 W	0.00	810 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	32.93	21.89 W	0.00	811 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	34.55	23.51 W	0.00	812 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	34.56	23.52 W	0.00	813 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	35.02	23.98 W	0.00	814 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	35.41	24.37 W	0.00	815 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	35.89	24.85 W	0.00	816 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	35.90	24.86 W	0.00	817 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	36.09	25.05 W	0.00	818 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	49.40	32.84 W	0.00	819 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	52.05	35.49 W	0.00	820 of 3327 - Sale <sup>25</sup>
	3.000	16.56	05/20/20	52.60	36.04 W	0.00	821 of 3327 - Sale <sup>25</sup>
	5.000	27.59	05/20/20	90.20	62.61 W	0.00	822 of 3327 - Sale <sup>25</sup>
	8.000	44.15	05/20/20	138.82	94.67 W	0.00	823 of 3327 - Sale <sup>25</sup>
	9.000	49.67	05/20/20	155.44	105.77 W	0.00	824 of 3327 - Sale <sup>25</sup>
	12.000	66.23	05/20/20	207.75	141.52 W	0.00	825 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.93	12.40 W	0.00	826 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.02	12.49 W	0.00	827 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.84	12.31 W	0.00	828 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.86	12.33 W	0.00	829 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.87	12.34 W	0.00	830 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.08	12.55 W	0.00	831 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.04	12.51 W	0.00	832 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.12	12.59 W	0.00	833 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.09	12.56 W	0.00	834 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.53	05/20/20	18.15	12.62 W	0.00	835 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.19	12.66 W	0.00	836 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.20	12.67 W	0.00	837 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.82	12.29 W	0.00	838 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.81	12.28 W	0.00	839 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.59	12.06 W	0.00	840 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.52	11.99 W	0.00	841 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	7.89	2.36 W	0.00	842 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	7.82	2.29 W	0.00	843 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	7.76	2.23 W	0.00	844 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	7.81	2.28 W	0.00	845 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	7.74	2.21 W	0.00	846 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	7.73	2.20 W	0.00	847 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.59	12.06 W	0.00	848 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.04	11.51 W	0.00	849 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.14	11.61 W	0.00	850 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.29	11.76 W	0.00	851 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.23	11.70 W	0.00	852 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.26	11.73 W	0.00	853 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.30	11.77 W	0.00	854 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.27	11.74 W	0.00	855 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	16.94	11.41 W	0.00	856 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.30	11.77 W	0.00	857 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.20	11.67 W	0.00	858 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	17.99	12.46 W	0.00	859 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	35.81	24.76 W	0.00	860 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	35.56	24.51 W	0.00	861 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	36.42	25.37 W	0.00	862 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	35.66	24.61 W	0.00	863 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	15.45	4.40 W	0.00	864 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	34.35	23.30 W	0.00	865 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	34.58	23.53 W	0.00	866 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	54.16	37.58 W	0.00	867 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	53.33	36.75 W	0.00	868 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.58	05/20/20	52.83	36.25 W	0.00	869 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	54.18	37.60 W	0.00	870 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	54.62	38.04 W	0.00	871 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	54.62	38.04 W	0.00	872 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	51.84	35.26 W	0.00	873 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	51.53	34.95 W	0.00	874 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	51.62	35.04 W	0.00	875 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	50.97	34.39 W	0.00	876 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	53.93	37.35 W	0.00	877 of 3327 - Sale <sup>25</sup>
	4.000	22.11	05/20/20	72.10	49.99 W	0.00	878 of 3327 - Sale <sup>25</sup>
	4.000	22.11	05/20/20	71.86	49.75 W	0.00	879 of 3327 - Sale <sup>25</sup>
	4.000	22.11	05/20/20	70.26	48.15 W	0.00	880 of 3327 - Sale <sup>25</sup>
	4.000	22.11	05/20/20	31.26	9.15 W	0.00	881 of 3327 - Sale <sup>25</sup>
	4.000	22.10	05/20/20	69.15	47.05 W	0.00	882 of 3327 - Sale <sup>25</sup>
	4.000	22.10	05/20/20	72.09	49.99 W	0.00	883 of 3327 - Sale <sup>25</sup>
	5.000	27.63	05/20/20	89.35	61.72 W	0.00	884 of 3327 - Sale <sup>25</sup>
	5.000	27.63	05/20/20	89.38	61.75 W	0.00	885 of 3327 - Sale <sup>25</sup>
	5.000	27.63	05/20/20	39.01	11.38 W	0.00	886 of 3327 - Sale <sup>25</sup>
	5.000	27.63	05/20/20	86.30	58.67 W	0.00	887 of 3327 - Sale <sup>25</sup>
	5.000	27.63	05/20/20	90.08	62.45 W	0.00	888 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	18.04	12.51 W	0.00	889 of 3327 - Sale <sup>25</sup>
	6.000	33.16	05/20/20	107.33	74.17 W	0.00	890 of 3327 - Sale <sup>25</sup>
	6.000	33.16	05/20/20	107.97	74.81 W	0.00	891 of 3327 - Sale <sup>25</sup>
	7.000	38.68	05/20/20	54.63	15.95 W	0.00	892 of 3327 - Sale <sup>25</sup>
	7.000	38.68	05/20/20	121.02	82.34 W	0.00	893 of 3327 - Sale <sup>25</sup>
	7.000	38.68	05/20/20	125.41	86.73 W	0.00	894 of 3327 - Sale <sup>25</sup>
	8.000	44.21	05/20/20	62.45	18.24 W	0.00	895 of 3327 - Sale <sup>25</sup>
	9.000	49.74	05/20/20	163.27	113.53 W	0.00	896 of 3327 - Sale <sup>25</sup>
	9.000	49.74	05/20/20	154.96	105.22 W	0.00	897 of 3327 - Sale <sup>25</sup>
	10.000	55.26	05/20/20	180.61	125.35 W	0.00	898 of 3327 - Sale <sup>25</sup>
	16.000	88.42	05/20/20	124.72	36.30 W	0.00	899 of 3327 - Sale <sup>25</sup>
	20.000	110.53	05/20/20	155.71	45.18 W	0.00	900 of 3327 - Sale <sup>25</sup>
	61.000	337.10	05/20/20	468.30	131.20 W	0.00	901 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	13.50	7.97 W	0.00	902 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.53	05/20/20	13.41	7.88 W	0.00	903 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.35	7.82 W	0.00	904 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.36	7.83 W	0.00	905 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.93	8.40 W	0.00	906 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.97	8.44 W	0.00	907 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.98	8.45 W	0.00	908 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.67	8.14 W	0.00	909 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.35	7.82 W	0.00	910 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.21	7.68 W	0.00	911 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.46	7.93 W	0.00	912 of 3327 - Sale <sup>25</sup>
1.000		5.53	05/20/20	13.44	7.91 W	0.00	913 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	26.71	15.66 W	0.00	914 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	28.16	17.11 W	0.00	915 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	27.22	16.17 W	0.00	916 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	26.83	15.78 W	0.00	917 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	26.59	15.54 W	0.00	918 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	26.44	15.39 W	0.00	919 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	27.21	16.16 W	0.00	920 of 3327 - Sale <sup>25</sup>
2.000		11.05	05/20/20	27.20	16.15 W	0.00	921 of 3327 - Sale <sup>25</sup>
3.000		16.58	05/20/20	40.12	23.54 W	0.00	922 of 3327 - Sale <sup>25</sup>
3.000		16.58	05/20/20	40.09	23.51 W	0.00	923 of 3327 - Sale <sup>25</sup>
3.000		16.58	05/20/20	40.06	23.48 W	0.00	924 of 3327 - Sale <sup>25</sup>
3.000		16.58	05/20/20	40.06	23.48 W	0.00	925 of 3327 - Sale <sup>25</sup>
3.000		16.58	05/20/20	41.00	24.42 W	0.00	926 of 3327 - Sale <sup>25</sup>
3.000		16.58	05/20/20	39.95	23.37 W	0.00	927 of 3327 - Sale <sup>25</sup>
3.000		16.58	05/20/20	40.71	24.13 W	0.00	928 of 3327 - Sale <sup>25</sup>
5.000		27.63	05/20/20	66.77	39.14 W	0.00	929 of 3327 - Sale <sup>25</sup>
5.000		27.63	05/20/20	67.99	40.36 W	0.00	930 of 3327 - Sale <sup>25</sup>
5.000		27.63	05/20/20	66.77	39.14 W	0.00	931 of 3327 - Sale <sup>25</sup>
5.000		27.63	05/20/20	66.06	38.43 W	0.00	932 of 3327 - Sale <sup>25</sup>
5.000		27.63	05/20/20	68.03	40.40 W	0.00	933 of 3327 - Sale <sup>25</sup>
6.000		33.16	05/20/20	80.67	47.51 W	0.00	934 of 3327 - Sale <sup>25</sup>
7.000		38.68	05/20/20	93.94	55.26 W	0.00	935 of 3327 - Sale <sup>25</sup>
8.000		44.21	05/20/20	113.61	69.40 W	0.00	936 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	49.74	05/20/20	118.98	69.24 W	0.00	937 of 3327 - Sale <sup>25</sup>
	10.000	55.26	05/20/20	141.46	86.20 W	0.00	938 of 3327 - Sale <sup>25</sup>
	11.000	60.79	05/20/20	147.24	86.45 W	0.00	939 of 3327 - Sale <sup>25</sup>
	13.000	71.84	05/20/20	173.73	101.89 W	0.00	940 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	53.91	37.33 W	0.00	941 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	53.89	37.31 W	0.00	942 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	53.75	37.17 W	0.00	943 of 3327 - Sale <sup>25</sup>
	7.000	38.68	05/20/20	68.18	29.50 W	0.00	944 of 3327 - Sale <sup>25</sup>
	10.000	55.26	05/20/20	97.41	42.15 W	0.00	945 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	14.12	8.59 W	0.00	946 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	14.08	8.55 W	0.00	947 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	14.05	8.52 W	0.00	948 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	14.03	8.50 W	0.00	949 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	13.97	8.44 W	0.00	950 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	14.26	8.73 W	0.00	951 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	28.25	17.20 W	0.00	952 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	28.12	17.07 W	0.00	953 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	28.12	17.07 W	0.00	954 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	28.24	17.19 W	0.00	955 of 3327 - Sale <sup>25</sup>
	2.000	11.05	05/20/20	28.43	17.38 W	0.00	956 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	42.06	25.48 W	0.00	957 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	42.02	25.44 W	0.00	958 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	42.17	25.59 W	0.00	959 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	42.05	25.47 W	0.00	960 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	41.78	25.20 W	0.00	961 of 3327 - Sale <sup>25</sup>
	3.000	16.58	05/20/20	42.79	26.21 W	0.00	962 of 3327 - Sale <sup>25</sup>
	4.000	22.10	05/20/20	56.55	34.45 W	0.00	963 of 3327 - Sale <sup>25</sup>
	4.000	22.10	05/20/20	56.28	34.18 W	0.00	964 of 3327 - Sale <sup>25</sup>
	4.000	22.10	05/20/20	55.69	33.59 W	0.00	965 of 3327 - Sale <sup>25</sup>
	5.000	27.63	05/20/20	71.27	43.64 W	0.00	966 of 3327 - Sale <sup>25</sup>
	6.000	33.16	05/20/20	84.38	51.22 W	0.00	967 of 3327 - Sale <sup>25</sup>
	7.000	38.68	05/20/20	99.85	61.17 W	0.00	968 of 3327 - Sale <sup>25</sup>
	10.000	55.26	05/20/20	139.25	83.99 W	0.00	969 of 3327 - Sale <sup>25</sup>
	1.000	5.53	05/20/20	14.28	8.75 W	0.00	970 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include transactions for SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd).

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
11.000		60.50	05/20/20	107.83	47.33 W	0.00	1005 of 3327 - Sale <sup>25</sup>
16.000		88.00	05/20/20	157.57	69.57 W	0.00	1006 of 3327 - Sale <sup>25</sup>
21.000		115.49	05/20/20	206.53	91.04 W	0.00	1007 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.67	4.17 W	0.00	1008 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	87.09	37.59 W	0.00	1009 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.71	4.21 W	0.00	1010 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.68	4.18 W	0.00	1011 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.49	3.99 W	0.00	1012 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.56	4.06 W	0.00	1013 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	18.24	12.74 W	0.00	1014 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	19.12	8.12 W	0.00	1015 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	28.47	11.97 W	0.00	1016 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	52.99	36.49 W	0.00	1017 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	54.87	38.37 W	0.00	1018 of 3327 - Sale <sup>25</sup>
4.000		22.00	05/20/20	73.04	51.04 W	0.00	1019 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	48.18	20.68 W	0.00	1020 of 3327 - Sale <sup>25</sup>
8.000		44.00	05/20/20	76.48	32.48 W	0.00	1021 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	86.30	36.80 W	0.00	1022 of 3327 - Sale <sup>25</sup>
10.000		54.99	05/20/20	94.93	39.94 W	0.00	1023 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.63	4.13 W	0.00	1024 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.63	4.13 W	0.00	1025 of 3327 - Sale <sup>25</sup>
13.000		71.50	05/20/20	125.19	53.69 W	0.00	1026 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	9.65	4.15 W	0.00	1027 of 3327 - Sale <sup>25</sup>
6.000		33.00	05/20/20	57.93	24.93 W	0.00	1028 of 3327 - Sale <sup>25</sup>
7.000		38.50	05/20/20	67.46	28.96 W	0.00	1029 of 3327 - Sale <sup>25</sup>
10.000		55.00	05/20/20	96.82	41.82 W	0.00	1030 of 3327 - Sale <sup>25</sup>
11.000		60.50	05/20/20	106.50	46.00 W	0.00	1031 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	18.09	12.59 W	0.00	1032 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	18.11	12.61 W	0.00	1033 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	17.96	12.46 W	0.00	1034 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	17.64	12.14 W	0.00	1035 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.82	11.32 W	0.00	1036 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.97	11.47 W	0.00	1037 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.72	11.22 W	0.00	1038 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.00	05/20/20	36.00	25.00 W	0.00	1039 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.36	24.36 W	0.00	1040 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	54.74	38.24 W	0.00	1041 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	52.93	36.43 W	0.00	1042 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	71.32	49.32 W	0.00	1043 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	70.13	48.13 W	0.00	1044 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	67.34	45.34 W	0.00	1045 of 3327 - Sale <sup>25</sup>
	5.000	27.49	05/20/20	88.40	60.91 W	0.00	1046 of 3327 - Sale <sup>25</sup>
	8.000	43.99	05/20/20	140.04	96.05 W	0.00	1047 of 3327 - Sale <sup>25</sup>
	8.000	43.99	05/20/20	139.73	95.74 W	0.00	1048 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.84	11.34 W	0.00	1049 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.89	11.39 W	0.00	1050 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	33.52	22.52 W	0.00	1051 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	33.63	22.63 W	0.00	1052 of 3327 - Sale <sup>25</sup>
	4.000	21.99	05/20/20	66.83	44.84 W	0.00	1053 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.70	11.20 W	0.00	1054 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.73	11.23 W	0.00	1055 of 3327 - Sale <sup>25</sup>
	3.000	16.49	05/20/20	50.42	33.93 W	0.00	1056 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.72	11.22 W	0.00	1057 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.79	11.29 W	0.00	1058 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.72	11.22 W	0.00	1059 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	33.60	22.60 W	0.00	1060 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.67	11.17 W	0.00	1061 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.60	11.10 W	0.00	1062 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	33.60	22.60 W	0.00	1063 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	33.57	22.57 W	0.00	1064 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	33.33	22.33 W	0.00	1065 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	33.10	22.10 W	0.00	1066 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.52	11.02 W	0.00	1067 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.49	10.99 W	0.00	1068 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.47	10.97 W	0.00	1069 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.45	10.95 W	0.00	1070 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	49.54	33.04 W	0.00	1071 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	49.58	33.08 W	0.00	1072 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	44.00	05/20/20	132.21	88.21 W	0.00	1073 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.46	10.96 W	0.00	1074 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.43	10.93 W	0.00	1075 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.91	10.41 W	0.00	1076 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	49.28	32.78 W	0.00	1077 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	47.68	31.18 W	0.00	1078 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	67.13	45.13 W	0.00	1079 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	83.47	55.97 W	0.00	1080 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.72	10.22 W	0.00	1081 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.78	10.28 W	0.00	1082 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	31.61	20.61 W	0.00	1083 of 3327 - Sale <sup>25</sup>
	14.000	77.00	05/20/20	221.65	144.65 W	0.00	1084 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.96	10.46 W	0.00	1085 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.72	10.22 W	0.00	1086 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	31.86	20.86 W	0.00	1087 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	47.72	31.22 W	0.00	1088 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	47.12	30.62 W	0.00	1089 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.86	10.36 W	0.00	1090 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.73	10.23 W	0.00	1091 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	31.55	20.55 W	0.00	1092 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	31.16	20.16 W	0.00	1093 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	63.28	41.28 W	0.00	1094 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.68	10.18 W	0.00	1095 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.69	10.19 W	0.00	1096 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.37	9.87 W	0.00	1097 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	31.42	20.42 W	0.00	1098 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	31.42	20.42 W	0.00	1099 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	47.11	30.61 W	0.00	1100 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.98	8.48 W	0.00	1101 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.99	8.49 W	0.00	1102 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.99	8.49 W	0.00	1103 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.06	8.56 W	0.00	1104 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.04	8.54 W	0.00	1105 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.02	8.52 W	0.00	1106 of 3327 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.00	05/20/20	27.84	16.84 W	0.00	1107 of 3327 - Sale <sup>25</sup>
	4.000	21.99	05/20/20	55.93	33.94 W	0.00	1108 of 3327 - Sale <sup>25</sup>
	6.000	32.99	05/20/20	83.52	50.53 W	0.00	1109 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.39	9.89 W	0.00	1110 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.41	9.91 W	0.00	1111 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.46	9.96 W	0.00	1112 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.09	10.59 W	0.00	1113 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.10	10.60 W	0.00	1114 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.00	10.50 W	0.00	1115 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.92	9.42 W	0.00	1116 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.94	9.44 W	0.00	1117 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.76	9.26 W	0.00	1118 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.79	9.29 W	0.00	1119 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.38	7.88 W	0.00	1120 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.38	7.88 W	0.00	1121 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.75	9.25 W	0.00	1122 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.11	9.61 W	0.00	1123 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.08	9.58 W	0.00	1124 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.09	9.59 W	0.00	1125 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.99	9.49 W	0.00	1126 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.03	9.53 W	0.00	1127 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.28	8.78 W	0.00	1128 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	32.06	21.06 W	0.00	1129 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	32.51	21.51 W	0.00	1130 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	29.73	18.73 W	0.00	1131 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	29.55	18.55 W	0.00	1132 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	26.92	15.92 W	0.00	1133 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	26.78	15.78 W	0.00	1134 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	30.16	19.16 W	0.00	1135 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	30.15	19.15 W	0.00	1136 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	29.48	18.48 W	0.00	1137 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	28.92	17.92 W	0.00	1138 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	28.56	17.56 W	0.00	1139 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	28.51	17.51 W	0.00	1140 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.00	05/20/20	28.11	17.11 W	0.00	1141 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	48.91	32.41 W	0.00	1142 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	43.86	27.36 W	0.00	1143 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	40.00	23.50 W	0.00	1144 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	40.27	23.77 W	0.00	1145 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	44.90	28.40 W	0.00	1146 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	44.11	27.61 W	0.00	1147 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	43.95	27.45 W	0.00	1148 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	42.76	26.26 W	0.00	1149 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	42.62	26.12 W	0.00	1150 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	59.39	37.39 W	0.00	1151 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	55.23	33.23 W	0.00	1152 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	79.61	52.11 W	0.00	1153 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	81.47	53.97 W	0.00	1154 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	69.06	41.56 W	0.00	1155 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	68.26	40.76 W	0.00	1156 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	73.25	45.75 W	0.00	1157 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	90.30	57.30 W	0.00	1158 of 3327 - Sale <sup>25</sup>
	6.000	32.99	05/20/20	90.17	57.18 W	0.00	1159 of 3327 - Sale <sup>25</sup>
	6.000	32.99	05/20/20	84.94	51.95 W	0.00	1160 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	93.86	55.36 W	0.00	1161 of 3327 - Sale <sup>25</sup>
	7.000	38.49	05/20/20	94.23	55.74 W	0.00	1162 of 3327 - Sale <sup>25</sup>
	7.000	38.49	05/20/20	101.00	62.51 W	0.00	1163 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	117.25	73.25 W	0.00	1164 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	106.88	62.88 W	0.00	1165 of 3327 - Sale <sup>25</sup>
	9.000	49.50	05/20/20	144.79	95.29 W	0.00	1166 of 3327 - Sale <sup>25</sup>
	11.000	60.49	05/20/20	161.17	100.68 W	0.00	1167 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.92	8.42 W	0.00	1168 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.96	8.46 W	0.00	1169 of 3327 - Sale <sup>25</sup>
	1.000	5.49	05/20/20	13.92	8.43 W	0.00	1170 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.54	8.04 W	0.00	1171 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.50	8.00 W	0.00	1172 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.18	6.68 W	0.00	1173 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	12.50	6.99 W	0.00	1174 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.50	05/20/20	12.49	6.99 W	0.00	1175 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	12.49	6.98 W	0.00	1176 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.50	7.00 W	0.00	1177 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.45	6.95 W	0.00	1178 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	14.41	8.90 W	0.00	1179 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.48	8.98 W	0.00	1180 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.81	8.31 W	0.00	1181 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.21	8.71 W	0.00	1182 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	14.15	8.64 W	0.00	1183 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.14	8.64 W	0.00	1184 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.14	8.64 W	0.00	1185 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	14.18	8.67 W	0.00	1186 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.73	9.23 W	0.00	1187 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	14.69	9.18 W	0.00	1188 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	27.39	16.38 W	0.00	1189 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	27.36	16.35 W	0.00	1190 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	27.23	16.22 W	0.00	1191 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	27.07	16.06 W	0.00	1192 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	27.02	16.01 W	0.00	1193 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	24.90	13.89 W	0.00	1194 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	24.71	13.70 W	0.00	1195 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	24.64	13.63 W	0.00	1196 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	24.81	13.80 W	0.00	1197 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	24.99	13.98 W	0.00	1198 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	24.99	13.98 W	0.00	1199 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	24.91	13.90 W	0.00	1200 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	26.87	15.86 W	0.00	1201 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	26.87	15.86 W	0.00	1202 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	28.89	17.88 W	0.00	1203 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	28.89	17.88 W	0.00	1204 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	27.60	16.59 W	0.00	1205 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	27.60	16.59 W	0.00	1206 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	29.48	18.47 W	0.00	1207 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	29.48	18.47 W	0.00	1208 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.51	05/20/20	41.08	24.57 W	0.00	1209 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	40.66	24.15 W	0.00	1210 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	40.60	24.09 W	0.00	1211 of 3327 - Sale <sup>25</sup>
	3.000	16.52	05/20/20	36.60	20.08 W	0.00	1212 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	36.90	20.39 W	0.00	1213 of 3327 - Sale <sup>25</sup>
	3.000	16.52	05/20/20	36.98	20.46 W	0.00	1214 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	37.21	20.70 W	0.00	1215 of 3327 - Sale <sup>25</sup>
	3.000	16.52	05/20/20	37.35	20.83 W	0.00	1216 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	40.42	23.91 W	0.00	1217 of 3327 - Sale <sup>25</sup>
	3.000	16.52	05/20/20	43.34	26.82 W	0.00	1218 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	42.85	26.34 W	0.00	1219 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	43.95	27.44 W	0.00	1220 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.73	9.23 W	0.00	1221 of 3327 - Sale <sup>25</sup>
	4.000	22.02	05/20/20	49.39	27.37 W	0.00	1222 of 3327 - Sale <sup>25</sup>
	5.000	27.52	05/20/20	68.37	40.85 W	0.00	1223 of 3327 - Sale <sup>25</sup>
	5.000	27.52	05/20/20	67.60	40.08 W	0.00	1224 of 3327 - Sale <sup>25</sup>
	5.000	27.53	05/20/20	70.71	43.18 W	0.00	1225 of 3327 - Sale <sup>25</sup>
	5.000	27.53	05/20/20	73.14	45.61 W	0.00	1226 of 3327 - Sale <sup>25</sup>
	6.000	33.03	05/20/20	87.17	54.14 W	0.00	1227 of 3327 - Sale <sup>25</sup>
	6.000	33.03	05/20/20	86.66	53.63 W	0.00	1228 of 3327 - Sale <sup>25</sup>
	8.000	44.04	05/20/20	115.57	71.53 W	0.00	1229 of 3327 - Sale <sup>25</sup>
	9.000	49.54	05/20/20	121.91	72.37 W	0.00	1230 of 3327 - Sale <sup>25</sup>
	9.000	49.55	05/20/20	121.65	72.10 W	0.00	1231 of 3327 - Sale <sup>25</sup>
	9.000	49.55	05/20/20	128.48	78.93 W	0.00	1232 of 3327 - Sale <sup>25</sup>
	11.000	60.55	05/20/20	148.49	87.94 W	0.00	1233 of 3327 - Sale <sup>25</sup>
	11.000	60.56	05/20/20	158.10	97.54 W	0.00	1234 of 3327 - Sale <sup>25</sup>
	12.000	66.06	05/20/20	169.60	103.54 W	0.00	1235 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.07	9.57 W	0.00	1236 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	15.10	9.60 W	0.00	1237 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.89	9.39 W	0.00	1238 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.90	9.40 W	0.00	1239 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	14.94	9.44 W	0.00	1240 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	14.96	9.45 W	0.00	1241 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	16.25	10.74 W	0.00	1242 of 3327 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.50	05/20/20	16.32	10.82 W	0.00	1243 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	16.33	10.82 W	0.00	1244 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	16.19	10.68 W	0.00	1245 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.41	10.91 W	0.00	1246 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	16.37	10.86 W	0.00	1247 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	17.28	11.77 W	0.00	1248 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	29.87	18.86 W	0.00	1249 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	32.67	21.66 W	0.00	1250 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	32.65	21.64 W	0.00	1251 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	32.65	21.64 W	0.00	1252 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	32.79	21.78 W	0.00	1253 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	29.47	18.46 W	0.00	1254 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	49.00	32.49 W	0.00	1255 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	49.19	32.68 W	0.00	1256 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.28	11.78 W	0.00	1257 of 3327 - Sale <sup>25</sup>
	4.000	22.02	05/20/20	64.11	42.09 W	0.00	1258 of 3327 - Sale <sup>25</sup>
	5.000	27.52	05/20/20	75.45	47.93 W	0.00	1259 of 3327 - Sale <sup>25</sup>
	6.000	33.03	05/20/20	97.54	64.51 W	0.00	1260 of 3327 - Sale <sup>25</sup>
	6.000	33.03	05/20/20	97.54	64.51 W	0.00	1261 of 3327 - Sale <sup>25</sup>
	7.000	38.53	05/20/20	105.87	67.34 W	0.00	1262 of 3327 - Sale <sup>25</sup>
	7.000	38.53	05/20/20	112.55	74.02 W	0.00	1263 of 3327 - Sale <sup>25</sup>
	7.000	38.54	05/20/20	113.67	75.13 W	0.00	1264 of 3327 - Sale <sup>25</sup>
	8.000	44.04	05/20/20	130.54	86.50 W	0.00	1265 of 3327 - Sale <sup>25</sup>
	8.000	44.04	05/20/20	138.27	94.23 W	0.00	1266 of 3327 - Sale <sup>25</sup>
	10.000	55.05	05/20/20	147.70	92.65 W	0.00	1267 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.47	11.97 W	0.00	1268 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.46	11.96 W	0.00	1269 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.96	12.46 W	0.00	1270 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.96	12.46 W	0.00	1271 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.85	12.35 W	0.00	1272 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.94	12.44 W	0.00	1273 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.76	12.26 W	0.00	1274 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.78	12.28 W	0.00	1275 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	17.79	12.28 W	0.00	1276 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.50	05/20/20	18.00	12.50 W	0.00	1277 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	17.96	12.45 W	0.00	1278 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.04	12.54 W	0.00	1279 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	18.01	12.50 W	0.00	1280 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.07	12.57 W	0.00	1281 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	18.11	12.60 W	0.00	1282 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.12	12.62 W	0.00	1283 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	17.74	12.23 W	0.00	1284 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.73	12.23 W	0.00	1285 of 3327 - Sale <sup>25</sup>
	1.000	5.51	05/20/20	17.51	12.00 W	0.00	1286 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.44	11.94 W	0.00	1287 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.81	2.31 W	0.00	1288 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	34.41	23.40 W	0.00	1289 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	34.42	23.41 W	0.00	1290 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	34.88	23.87 W	0.00	1291 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	35.27	24.26 W	0.00	1292 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	35.75	24.74 W	0.00	1293 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	35.76	24.75 W	0.00	1294 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	35.95	24.94 W	0.00	1295 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	35.66	24.65 W	0.00	1296 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	35.41	24.40 W	0.00	1297 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	36.27	25.26 W	0.00	1298 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	35.51	24.50 W	0.00	1299 of 3327 - Sale <sup>25</sup>
	2.000	11.01	05/20/20	34.56	23.55 W	0.00	1300 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	52.39	35.88 W	0.00	1301 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	53.93	37.42 W	0.00	1302 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	53.10	36.59 W	0.00	1303 of 3327 - Sale <sup>25</sup>
	3.000	16.52	05/20/20	52.60	36.08 W	0.00	1304 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	53.95	37.44 W	0.00	1305 of 3327 - Sale <sup>25</sup>
	3.000	16.52	05/20/20	54.39	37.87 W	0.00	1306 of 3327 - Sale <sup>25</sup>
	3.000	16.51	05/20/20	54.39	37.88 W	0.00	1307 of 3327 - Sale <sup>25</sup>
	4.000	22.02	05/20/20	71.79	49.77 W	0.00	1308 of 3327 - Sale <sup>25</sup>
	4.000	22.02	05/20/20	71.55	49.53 W	0.00	1309 of 3327 - Sale <sup>25</sup>
	4.000	22.02	05/20/20	69.95	47.93 W	0.00	1310 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	27.52	05/20/20	89.86	62.34 W	0.00	1311 of 3327 - Sale <sup>25</sup>
	5.000	27.53	05/20/20	88.97	61.44 W	0.00	1312 of 3327 - Sale <sup>25</sup>
	5.000	27.53	05/20/20	89.00	61.47 W	0.00	1313 of 3327 - Sale <sup>25</sup>
	6.000	33.03	05/20/20	106.87	73.84 W	0.00	1314 of 3327 - Sale <sup>25</sup>
	9.000	49.54	05/20/20	154.82	105.28 W	0.00	1315 of 3327 - Sale <sup>25</sup>
	9.000	49.55	05/20/20	162.58	113.03 W	0.00	1316 of 3327 - Sale <sup>25</sup>
	10.000	55.05	05/20/20	179.85	124.80 W	0.00	1317 of 3327 - Sale <sup>25</sup>
	12.000	66.06	05/20/20	206.92	140.86 W	0.00	1318 of 3327 - Sale <sup>25</sup>
	61.000	335.81	05/20/20	463.65	127.84 W	0.00	1319 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.74	2.24 W	0.00	1320 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.68	2.18 W	0.00	1321 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.73	2.23 W	0.00	1322 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.66	2.16 W	0.00	1323 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.65	2.15 W	0.00	1324 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.51	12.01 W	0.00	1325 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.96	11.46 W	0.00	1326 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.06	11.56 W	0.00	1327 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.21	11.71 W	0.00	1328 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.15	11.65 W	0.00	1329 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.18	11.68 W	0.00	1330 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.22	11.72 W	0.00	1331 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.19	11.69 W	0.00	1332 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.86	11.36 W	0.00	1333 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.22	11.72 W	0.00	1334 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.12	11.62 W	0.00	1335 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.91	12.41 W	0.00	1336 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	15.30	4.30 W	0.00	1337 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.20	23.20 W	0.00	1338 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.43	23.43 W	0.00	1339 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	51.61	35.11 W	0.00	1340 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	51.30	34.80 W	0.00	1341 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	51.39	34.89 W	0.00	1342 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	50.74	34.24 W	0.00	1343 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	53.70	37.20 W	0.00	1344 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.50	05/20/20	53.68	37.18 W	0.00	1345 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	53.66	37.16 W	0.00	1346 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	53.52	37.02 W	0.00	1347 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	30.95	8.95 W	0.00	1348 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	68.85	46.85 W	0.00	1349 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	71.79	49.79 W	0.00	1350 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	38.63	11.13 W	0.00	1351 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	85.92	58.42 W	0.00	1352 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	89.70	62.20 W	0.00	1353 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	107.51	74.51 W	0.00	1354 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	54.10	15.60 W	0.00	1355 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	120.49	81.99 W	0.00	1356 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	124.88	86.38 W	0.00	1357 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	67.65	29.15 W	0.00	1358 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	61.84	17.84 W	0.00	1359 of 3327 - Sale <sup>25</sup>
	9.000	49.50	05/20/20	154.27	104.77 W	0.00	1360 of 3327 - Sale <sup>25</sup>
	10.000	55.00	05/20/20	96.65	41.65 W	0.00	1361 of 3327 - Sale <sup>25</sup>
	16.000	88.00	05/20/20	123.50	35.50 W	0.00	1362 of 3327 - Sale <sup>25</sup>
	20.000	109.99	05/20/20	154.18	44.19 W	0.00	1363 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.11	6.61 W	0.00	1364 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.04	6.54 W	0.00	1365 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.05	6.55 W	0.00	1366 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.11	6.61 W	0.00	1367 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.08	6.58 W	0.00	1368 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	12.16	6.66 W	0.00	1369 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	11.99	6.49 W	0.00	1370 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.24	7.74 W	0.00	1371 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.35	7.85 W	0.00	1372 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.38	7.88 W	0.00	1373 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.36	7.86 W	0.00	1374 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.40	7.90 W	0.00	1375 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.45	7.95 W	0.00	1376 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.37	7.87 W	0.00	1377 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	13.28	7.78 W	0.00	1378 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.50	05/20/20	13.14	7.64 W	0.00	1379 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.29	7.79 W	0.00	1380 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	12.98	7.48 W	0.00	1381 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.41	7.91 W	0.00	1382 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.42	7.92 W	0.00	1383 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.33	7.83 W	0.00	1384 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.27	7.77 W	0.00	1385 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.28	7.78 W	0.00	1386 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.85	8.35 W	0.00	1387 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.89	8.39 W	0.00	1388 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.90	8.40 W	0.00	1389 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.59	8.09 W	0.00	1390 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.27	7.77 W	0.00	1391 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.13	7.63 W	0.00	1392 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.38	7.88 W	0.00	1393 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.36	7.86 W	0.00	1394 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.04	8.54 W	0.00	1395 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.00	8.50 W	0.00	1396 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.97	8.47 W	0.00	1397 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.95	8.45 W	0.00	1398 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.89	8.39 W	0.00	1399 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	23.66	12.66 W	0.00	1400 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.37	15.37 W	0.00	1401 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.16	15.16 W	0.00	1402 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	24.84	13.84 W	0.00	1403 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	25.98	14.98 W	0.00	1404 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.86	15.86 W	0.00	1405 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.56	15.56 W	0.00	1406 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.01	17.01 W	0.00	1407 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.07	16.07 W	0.00	1408 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.68	15.68 W	0.00	1409 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.44	15.44 W	0.00	1410 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.29	15.29 W	0.00	1411 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.06	16.06 W	0.00	1412 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		11.00	05/20/20	27.05	16.05 W	0.00	1413 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.10	17.10 W	0.00	1414 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.97	16.97 W	0.00	1415 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.97	16.97 W	0.00	1416 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.09	17.09 W	0.00	1417 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	36.34	19.84 W	0.00	1418 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	35.88	19.38 W	0.00	1419 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.33	22.83 W	0.00	1420 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.40	22.90 W	0.00	1421 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.81	23.31 W	0.00	1422 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	40.30	23.80 W	0.00	1423 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.89	23.39 W	0.00	1424 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.86	23.36 W	0.00	1425 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.83	23.33 W	0.00	1426 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.83	23.33 W	0.00	1427 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	40.77	24.27 W	0.00	1428 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	39.72	23.22 W	0.00	1429 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	40.48	23.98 W	0.00	1430 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	41.83	25.33 W	0.00	1431 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	41.79	25.29 W	0.00	1432 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	41.94	25.44 W	0.00	1433 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	41.82	25.32 W	0.00	1434 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	41.55	25.05 W	0.00	1435 of 3327 - Sale <sup>25</sup>
4.000		22.00	05/20/20	49.38	27.38 W	0.00	1436 of 3327 - Sale <sup>25</sup>
4.000		22.00	05/20/20	56.25	34.25 W	0.00	1437 of 3327 - Sale <sup>25</sup>
4.000		22.00	05/20/20	55.98	33.98 W	0.00	1438 of 3327 - Sale <sup>25</sup>
4.000		22.00	05/20/20	55.39	33.39 W	0.00	1439 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	65.75	38.25 W	0.00	1440 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	62.56	35.06 W	0.00	1441 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	63.48	35.98 W	0.00	1442 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	66.39	38.89 W	0.00	1443 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	67.61	40.11 W	0.00	1444 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	66.39	38.89 W	0.00	1445 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	65.68	38.18 W	0.00	1446 of 3327 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
5.000		27.50	05/20/20	67.65	40.15 W	0.00	1447 of 3327 - Sale <sup>25</sup>
6.000		33.00	05/20/20	74.07	41.07 W	0.00	1448 of 3327 - Sale <sup>25</sup>
6.000		33.00	05/20/20	80.21	47.21 W	0.00	1449 of 3327 - Sale <sup>25</sup>
6.000		33.00	05/20/20	83.92	50.92 W	0.00	1450 of 3327 - Sale <sup>25</sup>
6.000		33.00	05/20/20	85.13	52.13 W	0.00	1451 of 3327 - Sale <sup>25</sup>
7.000		38.50	05/20/20	84.16	45.66 W	0.00	1452 of 3327 - Sale <sup>25</sup>
7.000		38.50	05/20/20	93.26	54.76 W	0.00	1453 of 3327 - Sale <sup>25</sup>
7.000		38.50	05/20/20	93.41	54.91 W	0.00	1454 of 3327 - Sale <sup>25</sup>
8.000		44.00	05/20/20	113.00	69.00 W	0.00	1455 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	120.46	70.96 W	0.00	1456 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	122.03	72.53 W	0.00	1457 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	118.29	68.79 W	0.00	1458 of 3327 - Sale <sup>25</sup>
10.000		55.00	05/20/20	119.90	64.90 W	0.00	1459 of 3327 - Sale <sup>25</sup>
10.000		55.00	05/20/20	140.70	85.70 W	0.00	1460 of 3327 - Sale <sup>25</sup>
10.000		55.00	05/20/20	138.49	83.49 W	0.00	1461 of 3327 - Sale <sup>25</sup>
11.000		60.50	05/20/20	146.40	85.90 W	0.00	1462 of 3327 - Sale <sup>25</sup>
13.000		71.50	05/20/20	170.43	98.93 W	0.00	1463 of 3327 - Sale <sup>25</sup>
13.000		71.49	05/20/20	172.74	101.25 W	0.00	1464 of 3327 - Sale <sup>25</sup>
100.000		549.95	05/20/20	560.26	10.31 W	0.00	1465 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.18	8.68 W	0.00	1466 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.17	8.67 W	0.00	1467 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.19	8.69 W	0.00	1468 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.23	9.73 W	0.00	1469 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.14	9.64 W	0.00	1470 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.24	8.74 W	0.00	1471 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.26	17.26 W	0.00	1472 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.24	17.24 W	0.00	1473 of 3327 - Sale <sup>25</sup>
3.000		16.50	05/20/20	42.53	26.03 W	0.00	1474 of 3327 - Sale <sup>25</sup>
4.000		22.00	05/20/20	56.61	34.61 W	0.00	1475 of 3327 - Sale <sup>25</sup>
4.000		22.00	05/20/20	56.57	34.57 W	0.00	1476 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	70.84	43.34 W	0.00	1477 of 3327 - Sale <sup>25</sup>
5.000		27.50	05/20/20	71.04	43.54 W	0.00	1478 of 3327 - Sale <sup>25</sup>
6.000		33.00	05/20/20	90.80	57.80 W	0.00	1479 of 3327 - Sale <sup>25</sup>
6.000		33.00	05/20/20	85.76	52.76 W	0.00	1480 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	44.00	05/20/20	113.66	69.66 W	0.00	1481 of 3327 - Sale <sup>25</sup>
	88.000	483.95	05/20/20	493.03	9.08 W	0.00	1482 of 3327 - Sale <sup>25</sup>
	161.000	885.42	05/20/20	916.51	31.09 W	0.00	1483 of 3327 - Sale <sup>25</sup>
	12.000	65.99	05/20/20	67.23	1.24 W	0.00	1484 of 3327 - Sale <sup>25</sup>
	34.000	186.98	05/20/20	190.49	3.51 W	0.00	1485 of 3327 - Sale <sup>25</sup>
	54.000	296.98	05/20/20	302.54	5.56 W	0.00	1486 of 3327 - Sale <sup>25</sup>
	11.000	60.50	05/20/20	64.47	3.97 W	0.00	1487 of 3327 - Sale <sup>25</sup>
	27.000	148.49	05/20/20	157.52	9.03 W	0.00	1488 of 3327 - Sale <sup>25</sup>
	38.000	208.99	05/20/20	212.89	3.90 W	0.00	1489 of 3327 - Sale <sup>25</sup>
	50.000	274.99	05/20/20	290.48	15.49 W	0.00	1490 of 3327 - Sale <sup>25</sup>
	62.000	340.98	05/20/20	361.72	20.74 W	0.00	1491 of 3327 - Sale <sup>25</sup>
	12.000	66.00	05/20/20	67.23	1.23 W	0.00	1492 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.52	4.02 W	0.00	1493 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.72	4.22 W	0.00	1494 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.72	4.22 W	0.00	1495 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	29.44	12.94 W	0.00	1496 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	78.47	34.47 W	0.00	1497 of 3327 - Sale <sup>25</sup>
	9.000	49.50	05/20/20	86.44	36.94 W	0.00	1498 of 3327 - Sale <sup>25</sup>
	16.000	88.00	05/20/20	156.61	68.61 W	0.00	1499 of 3327 - Sale <sup>25</sup>
	12.000	66.00	05/20/20	69.71	3.71 W	0.00	1500 of 3327 - Sale <sup>25</sup>
	38.000	208.99	05/20/20	218.85	9.86 W	0.00	1501 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.69	4.19 W	0.00	1502 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.63	4.13 W	0.00	1503 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.61	4.11 W	0.00	1504 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.62	4.12 W	0.00	1505 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.65	4.15 W	0.00	1506 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.57	4.07 W	0.00	1507 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.57	4.07 W	0.00	1508 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.59	4.09 W	0.00	1509 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.43	3.93 W	0.00	1510 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	9.50	4.00 W	0.00	1511 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.18	12.68 W	0.00	1512 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.03	12.53 W	0.00	1513 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.05	12.55 W	0.00	1514 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.50	05/20/20	17.90	12.40 W	0.00	1515 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.58	12.08 W	0.00	1516 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	19.30	8.30 W	0.00	1517 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	19.00	8.00 W	0.00	1518 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.88	24.88 W	0.00	1519 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.24	24.24 W	0.00	1520 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.17	24.17 W	0.00	1521 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	29.43	12.93 W	0.00	1522 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	28.29	11.79 W	0.00	1523 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	52.81	36.31 W	0.00	1524 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	54.69	38.19 W	0.00	1525 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	54.56	38.06 W	0.00	1526 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	38.88	16.88 W	0.00	1527 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	38.77	16.77 W	0.00	1528 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	39.13	17.13 W	0.00	1529 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	72.80	50.80 W	0.00	1530 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	71.08	49.08 W	0.00	1531 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	69.89	47.89 W	0.00	1532 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	48.50	21.00 W	0.00	1533 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	47.88	20.38 W	0.00	1534 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	88.11	60.61 W	0.00	1535 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	58.70	25.70 W	0.00	1536 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	57.57	24.57 W	0.00	1537 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	67.04	28.54 W	0.00	1538 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	76.00	32.00 W	0.00	1539 of 3327 - Sale <sup>25</sup>
	9.000	49.50	05/20/20	86.55	37.05 W	0.00	1540 of 3327 - Sale <sup>25</sup>
	9.000	49.50	05/20/20	85.76	36.26 W	0.00	1541 of 3327 - Sale <sup>25</sup>
	10.000	55.00	05/20/20	96.22	41.22 W	0.00	1542 of 3327 - Sale <sup>25</sup>
	10.000	55.00	05/20/20	94.34	39.34 W	0.00	1543 of 3327 - Sale <sup>25</sup>
	11.000	60.50	05/20/20	106.62	46.12 W	0.00	1544 of 3327 - Sale <sup>25</sup>
	11.000	60.50	05/20/20	107.17	46.67 W	0.00	1545 of 3327 - Sale <sup>25</sup>
	11.000	60.50	05/20/20	105.84	45.34 W	0.00	1546 of 3327 - Sale <sup>25</sup>
	13.000	71.50	05/20/20	124.41	52.91 W	0.00	1547 of 3327 - Sale <sup>25</sup>
	21.000	115.49	05/20/20	205.28	89.79 W	0.00	1548 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.50	05/20/20	17.57	12.07 W	0.00	1549 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.75	11.25 W	0.00	1550 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.90	11.40 W	0.00	1551 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.65	11.15 W	0.00	1552 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.77	11.27 W	0.00	1553 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.82	11.32 W	0.00	1554 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.63	11.13 W	0.00	1555 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.66	11.16 W	0.00	1556 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.65	11.15 W	0.00	1557 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.65	11.15 W	0.00	1558 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.72	11.22 W	0.00	1559 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.60	11.10 W	0.00	1560 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.53	11.03 W	0.00	1561 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.45	10.95 W	0.00	1562 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.42	10.92 W	0.00	1563 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.40	10.90 W	0.00	1564 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.38	10.88 W	0.00	1565 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.39	10.89 W	0.00	1566 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.36	10.86 W	0.00	1567 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.84	10.34 W	0.00	1568 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.89	10.39 W	0.00	1569 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.65	10.15 W	0.00	1570 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.65	10.15 W	0.00	1571 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.71	10.21 W	0.00	1572 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.79	10.29 W	0.00	1573 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.66	10.16 W	0.00	1574 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.61	10.11 W	0.00	1575 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.62	10.12 W	0.00	1576 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.30	9.80 W	0.00	1577 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.32	9.82 W	0.00	1578 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.34	9.84 W	0.00	1579 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.39	9.89 W	0.00	1580 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.02	10.52 W	0.00	1581 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.03	10.53 W	0.00	1582 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.50	05/20/20	15.93	10.43 W	0.00	1583 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.85	9.35 W	0.00	1584 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.87	9.37 W	0.00	1585 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.69	9.19 W	0.00	1586 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.72	9.22 W	0.00	1587 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.31	7.81 W	0.00	1588 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.31	7.81 W	0.00	1589 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.68	9.18 W	0.00	1590 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.04	9.54 W	0.00	1591 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.01	9.51 W	0.00	1592 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.02	9.52 W	0.00	1593 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.92	9.42 W	0.00	1594 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.96	9.46 W	0.00	1595 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.21	8.71 W	0.00	1596 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.91	8.41 W	0.00	1597 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.92	8.42 W	0.00	1598 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.92	8.42 W	0.00	1599 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.99	8.49 W	0.00	1600 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.97	8.47 W	0.00	1601 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.95	8.45 W	0.00	1602 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.85	8.35 W	0.00	1603 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.89	8.39 W	0.00	1604 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.86	8.36 W	0.00	1605 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.47	7.97 W	0.00	1606 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.43	7.93 W	0.00	1607 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	12.11	6.61 W	0.00	1608 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	12.42	6.92 W	0.00	1609 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	12.42	6.92 W	0.00	1610 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	12.41	6.91 W	0.00	1611 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	12.43	6.93 W	0.00	1612 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	12.38	6.88 W	0.00	1613 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.33	8.83 W	0.00	1614 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.41	8.91 W	0.00	1615 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	13.74	8.24 W	0.00	1616 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		11.00	05/20/20	33.38	22.38 W	0.00	1617 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	33.49	22.49 W	0.00	1618 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	33.46	22.46 W	0.00	1619 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	33.46	22.46 W	0.00	1620 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	33.43	22.43 W	0.00	1621 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	33.19	22.19 W	0.00	1622 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	32.96	21.96 W	0.00	1623 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	31.72	20.72 W	0.00	1624 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	31.47	20.47 W	0.00	1625 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	31.41	20.41 W	0.00	1626 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	31.02	20.02 W	0.00	1627 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	31.28	20.28 W	0.00	1628 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	31.28	20.28 W	0.00	1629 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	31.92	20.92 W	0.00	1630 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	32.37	21.37 W	0.00	1631 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	29.59	18.59 W	0.00	1632 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	29.41	18.41 W	0.00	1633 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.78	15.78 W	0.00	1634 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.64	15.64 W	0.00	1635 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	30.02	19.02 W	0.00	1636 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	30.01	19.01 W	0.00	1637 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	29.34	18.34 W	0.00	1638 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.78	17.78 W	0.00	1639 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.42	17.42 W	0.00	1640 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	28.37	17.37 W	0.00	1641 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.97	16.97 W	0.00	1642 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.70	16.70 W	0.00	1643 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.24	16.24 W	0.00	1644 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.21	16.21 W	0.00	1645 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	27.08	16.08 W	0.00	1646 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.92	15.92 W	0.00	1647 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	26.87	15.87 W	0.00	1648 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	24.75	13.75 W	0.00	1649 of 3327 - Sale <sup>25</sup>
2.000		11.00	05/20/20	24.56	13.56 W	0.00	1650 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.00	05/20/20	24.49	13.49 W	0.00	1651 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	24.66	13.66 W	0.00	1652 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	24.84	13.84 W	0.00	1653 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	24.84	13.84 W	0.00	1654 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	24.76	13.76 W	0.00	1655 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	26.72	15.72 W	0.00	1656 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	26.72	15.72 W	0.00	1657 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	28.74	17.74 W	0.00	1658 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	28.74	17.74 W	0.00	1659 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	27.45	16.45 W	0.00	1660 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	27.45	16.45 W	0.00	1661 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	50.22	33.72 W	0.00	1662 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	49.33	32.83 W	0.00	1663 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	49.37	32.87 W	0.00	1664 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	49.07	32.57 W	0.00	1665 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	47.47	30.97 W	0.00	1666 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	47.51	31.01 W	0.00	1667 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	46.91	30.41 W	0.00	1668 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	46.90	30.40 W	0.00	1669 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	48.70	32.20 W	0.00	1670 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	43.65	27.15 W	0.00	1671 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	39.79	23.29 W	0.00	1672 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	40.06	23.56 W	0.00	1673 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	44.69	28.19 W	0.00	1674 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	43.90	27.40 W	0.00	1675 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	43.74	27.24 W	0.00	1676 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	42.55	26.05 W	0.00	1677 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	42.41	25.91 W	0.00	1678 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	40.86	24.36 W	0.00	1679 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	40.44	23.94 W	0.00	1680 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	40.38	23.88 W	0.00	1681 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	36.37	19.87 W	0.00	1682 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	36.68	20.18 W	0.00	1683 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	36.75	20.25 W	0.00	1684 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.50	05/20/20	36.99	20.49 W	0.00	1685 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	37.12	20.62 W	0.00	1686 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	40.20	23.70 W	0.00	1687 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	43.11	26.61 W	0.00	1688 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	42.63	26.13 W	0.00	1689 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	67.06	45.06 W	0.00	1690 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	66.56	44.56 W	0.00	1691 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	66.85	44.85 W	0.00	1692 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	63.00	41.00 W	0.00	1693 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	59.11	37.11 W	0.00	1694 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	54.95	32.95 W	0.00	1695 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	55.66	33.66 W	0.00	1696 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	49.09	27.09 W	0.00	1697 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	83.12	55.62 W	0.00	1698 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	79.26	51.76 W	0.00	1699 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	81.12	53.62 W	0.00	1700 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	68.71	41.21 W	0.00	1701 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	67.91	40.41 W	0.00	1702 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	72.90	45.40 W	0.00	1703 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	68.00	40.50 W	0.00	1704 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	67.23	39.73 W	0.00	1705 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	89.88	56.88 W	0.00	1706 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	89.76	56.76 W	0.00	1707 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	84.53	51.53 W	0.00	1708 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	83.11	50.11 W	0.00	1709 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	86.72	53.72 W	0.00	1710 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	86.21	53.21 W	0.00	1711 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	93.37	54.87 W	0.00	1712 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	93.75	55.25 W	0.00	1713 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	100.52	62.02 W	0.00	1714 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	139.49	95.49 W	0.00	1715 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	139.18	95.18 W	0.00	1716 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	131.65	87.65 W	0.00	1717 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	116.69	72.69 W	0.00	1718 of 3327 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
8.000		44.00	05/20/20	106.32	62.32 W	0.00	1719 of 3327 - Sale <sup>25</sup>
8.000		44.00	05/20/20	114.97	70.97 W	0.00	1720 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	144.16	94.66 W	0.00	1721 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	121.24	71.74 W	0.00	1722 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	120.97	71.47 W	0.00	1723 of 3327 - Sale <sup>25</sup>
9.000		49.49	05/20/20	127.80	78.31 W	0.00	1724 of 3327 - Sale <sup>25</sup>
9.000		49.50	05/20/20	128.68	79.18 W	0.00	1725 of 3327 - Sale <sup>25</sup>
11.000		60.50	05/20/20	160.41	99.91 W	0.00	1726 of 3327 - Sale <sup>25</sup>
11.000		60.49	05/20/20	147.67	87.18 W	0.00	1727 of 3327 - Sale <sup>25</sup>
14.000		77.00	05/20/20	220.67	143.67 W	0.00	1728 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.14	8.64 W	0.00	1729 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.07	8.57 W	0.00	1730 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.07	8.57 W	0.00	1731 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.07	8.57 W	0.00	1732 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.10	8.60 W	0.00	1733 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.66	9.16 W	0.00	1734 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.61	9.11 W	0.00	1735 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.66	9.16 W	0.00	1736 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.00	9.50 W	0.00	1737 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	15.03	9.53 W	0.00	1738 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.82	9.32 W	0.00	1739 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.83	9.33 W	0.00	1740 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.87	9.37 W	0.00	1741 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	14.88	9.38 W	0.00	1742 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.17	10.67 W	0.00	1743 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.25	10.75 W	0.00	1744 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.25	10.75 W	0.00	1745 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.11	10.61 W	0.00	1746 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.34	10.84 W	0.00	1747 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	16.29	10.79 W	0.00	1748 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	17.20	11.70 W	0.00	1749 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	17.21	11.71 W	0.00	1750 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	17.40	11.90 W	0.00	1751 of 3327 - Sale <sup>25</sup>
1.000		5.50	05/20/20	17.39	11.89 W	0.00	1752 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.50	05/20/20	17.89	12.39 W	0.00	1753 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.89	12.39 W	0.00	1754 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.78	12.28 W	0.00	1755 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.87	12.37 W	0.00	1756 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.69	12.19 W	0.00	1757 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.71	12.21 W	0.00	1758 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.71	12.21 W	0.00	1759 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.93	12.43 W	0.00	1760 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.88	12.38 W	0.00	1761 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.97	12.47 W	0.00	1762 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.93	12.43 W	0.00	1763 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.00	12.50 W	0.00	1764 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.03	12.53 W	0.00	1765 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	18.05	12.55 W	0.00	1766 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.66	12.16 W	0.00	1767 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.66	12.16 W	0.00	1768 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.43	11.93 W	0.00	1769 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.37	11.87 W	0.00	1770 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.74	2.24 W	0.00	1771 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.67	2.17 W	0.00	1772 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.61	2.11 W	0.00	1773 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.66	2.16 W	0.00	1774 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.59	2.09 W	0.00	1775 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	7.58	2.08 W	0.00	1776 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.44	11.94 W	0.00	1777 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.89	11.39 W	0.00	1778 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.99	11.49 W	0.00	1779 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.14	11.64 W	0.00	1780 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.08	11.58 W	0.00	1781 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.11	11.61 W	0.00	1782 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.15	11.65 W	0.00	1783 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.12	11.62 W	0.00	1784 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	16.79	11.29 W	0.00	1785 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.15	11.65 W	0.00	1786 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.50	05/20/20	17.05	11.55 W	0.00	1787 of 3327 - Sale <sup>25</sup>
	1.000	5.50	05/20/20	17.84	12.34 W	0.00	1788 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	28.59	17.59 W	0.00	1789 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	29.33	18.33 W	0.00	1790 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	29.33	18.33 W	0.00	1791 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	29.32	18.32 W	0.00	1792 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	29.72	18.72 W	0.00	1793 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	32.52	21.52 W	0.00	1794 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	32.50	21.50 W	0.00	1795 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	32.50	21.50 W	0.00	1796 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	32.64	21.64 W	0.00	1797 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.41	23.41 W	0.00	1798 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.26	23.26 W	0.00	1799 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.27	23.27 W	0.00	1800 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.73	23.73 W	0.00	1801 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.12	24.12 W	0.00	1802 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.60	24.60 W	0.00	1803 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.61	24.61 W	0.00	1804 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.80	24.80 W	0.00	1805 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.51	24.51 W	0.00	1806 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.26	24.26 W	0.00	1807 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	36.12	25.12 W	0.00	1808 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	35.36	24.36 W	0.00	1809 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	15.16	4.16 W	0.00	1810 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.06	23.06 W	0.00	1811 of 3327 - Sale <sup>25</sup>
	2.000	11.00	05/20/20	34.29	23.29 W	0.00	1812 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	43.73	27.23 W	0.00	1813 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	48.78	32.28 W	0.00	1814 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	48.97	32.47 W	0.00	1815 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	52.17	35.67 W	0.00	1816 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	53.71	37.21 W	0.00	1817 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	52.88	36.38 W	0.00	1818 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	52.37	35.87 W	0.00	1819 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	53.73	37.23 W	0.00	1820 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.50	05/20/20	54.16	37.66 W	0.00	1821 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	54.17	37.67 W	0.00	1822 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	51.40	34.90 W	0.00	1823 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	51.09	34.59 W	0.00	1824 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	51.18	34.68 W	0.00	1825 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	50.53	34.03 W	0.00	1826 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	53.49	36.99 W	0.00	1827 of 3327 - Sale <sup>25</sup>
	3.000	16.49	05/20/20	53.47	36.98 W	0.00	1828 of 3327 - Sale <sup>25</sup>
	3.000	16.50	05/20/20	53.45	36.95 W	0.00	1829 of 3327 - Sale <sup>25</sup>
	3.000	16.49	05/20/20	53.31	36.82 W	0.00	1830 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	63.81	41.81 W	0.00	1831 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	71.49	49.49 W	0.00	1832 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	71.25	49.25 W	0.00	1833 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	69.65	47.65 W	0.00	1834 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	30.67	8.67 W	0.00	1835 of 3327 - Sale <sup>25</sup>
	4.000	22.00	05/20/20	68.57	46.57 W	0.00	1836 of 3327 - Sale <sup>25</sup>
	4.000	21.99	05/20/20	71.51	49.52 W	0.00	1837 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	70.33	42.83 W	0.00	1838 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	72.76	45.26 W	0.00	1839 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	75.08	47.58 W	0.00	1840 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	89.49	61.99 W	0.00	1841 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	88.59	61.09 W	0.00	1842 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	88.62	61.12 W	0.00	1843 of 3327 - Sale <sup>25</sup>
	5.000	27.50	05/20/20	38.28	10.78 W	0.00	1844 of 3327 - Sale <sup>25</sup>
	5.000	27.49	05/20/20	85.57	58.08 W	0.00	1845 of 3327 - Sale <sup>25</sup>
	5.000	27.49	05/20/20	89.35	61.86 W	0.00	1846 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	97.09	64.09 W	0.00	1847 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	97.09	64.09 W	0.00	1848 of 3327 - Sale <sup>25</sup>
	6.000	33.00	05/20/20	106.42	73.42 W	0.00	1849 of 3327 - Sale <sup>25</sup>
	6.000	32.99	05/20/20	107.09	74.10 W	0.00	1850 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	105.35	66.85 W	0.00	1851 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	112.03	73.53 W	0.00	1852 of 3327 - Sale <sup>25</sup>
	7.000	38.50	05/20/20	113.14	74.64 W	0.00	1853 of 3327 - Sale <sup>25</sup>
	7.000	38.49	05/20/20	53.61	15.12 W	0.00	1854 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	38.49	05/20/20	120.00	81.51 W	0.00	1855 of 3327 - Sale <sup>25</sup>
	7.000	38.49	05/20/20	124.39	85.90 W	0.00	1856 of 3327 - Sale <sup>25</sup>
	7.000	38.49	05/20/20	67.16	28.67 W	0.00	1857 of 3327 - Sale <sup>25</sup>
	8.000	44.00	05/20/20	129.94	85.94 W	0.00	1858 of 3327 - Sale <sup>25</sup>
	8.000	43.99	05/20/20	137.67	93.68 W	0.00	1859 of 3327 - Sale <sup>25</sup>
	8.000	43.99	05/20/20	61.28	17.29 W	0.00	1860 of 3327 - Sale <sup>25</sup>
	9.000	49.49	05/20/20	154.15	104.66 W	0.00	1861 of 3327 - Sale <sup>25</sup>
	9.000	49.49	05/20/20	161.90	112.41 W	0.00	1862 of 3327 - Sale <sup>25</sup>
	9.000	49.49	05/20/20	153.64	104.15 W	0.00	1863 of 3327 - Sale <sup>25</sup>
	10.000	54.99	05/20/20	146.95	91.96 W	0.00	1864 of 3327 - Sale <sup>25</sup>
	10.000	54.99	05/20/20	179.10	124.11 W	0.00	1865 of 3327 - Sale <sup>25</sup>
	12.000	65.99	05/20/20	168.70	102.71 W	0.00	1866 of 3327 - Sale <sup>25</sup>
	12.000	65.99	05/20/20	206.02	140.03 W	0.00	1867 of 3327 - Sale <sup>25</sup>
	16.000	87.99	05/20/20	122.38	34.39 W	0.00	1868 of 3327 - Sale <sup>25</sup>
	20.000	109.98	05/20/20	152.79	42.81 W	0.00	1869 of 3327 - Sale <sup>25</sup>
	61.000	335.44	05/20/20	459.07	123.63 W	0.00	1870 of 3327 - Sale <sup>25</sup>
	10.000	54.50	05/20/20	96.94	42.44 W	0.00	1871 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	12.14	6.69 W	0.00	1872 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	12.07	6.62 W	0.00	1873 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	12.08	6.63 W	0.00	1874 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	12.14	6.69 W	0.00	1875 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	12.11	6.66 W	0.00	1876 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	12.19	6.74 W	0.00	1877 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	12.02	6.57 W	0.00	1878 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	13.27	7.82 W	0.00	1879 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	13.38	7.93 W	0.00	1880 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	13.41	7.96 W	0.00	1881 of 3327 - Sale <sup>25</sup>
	1.000	5.45	05/20/20	13.38	7.93 W	0.00	1882 of 3327 - Sale <sup>25</sup>
	2.000	10.90	05/20/20	23.72	12.82 W	0.00	1883 of 3327 - Sale <sup>25</sup>
	2.000	10.90	05/20/20	26.43	15.53 W	0.00	1884 of 3327 - Sale <sup>25</sup>
	2.000	10.90	05/20/20	26.22	15.32 W	0.00	1885 of 3327 - Sale <sup>25</sup>
	3.000	16.35	05/20/20	36.43	20.08 W	0.00	1886 of 3327 - Sale <sup>25</sup>
	3.000	16.35	05/20/20	35.97	19.62 W	0.00	1887 of 3327 - Sale <sup>25</sup>
	3.000	16.35	05/20/20	39.41	23.06 W	0.00	1888 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with various quantities and dates.

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.20	05/20/20	24.86	13.66 W	0.00	1923 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.00	14.80 W	0.00	1924 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.44	7.84 W	0.00	1925 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.84	23.04 W	0.00	1926 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.33	23.53 W	0.00	1927 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	62.61	34.61 W	0.00	1928 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	63.53	35.53 W	0.00	1929 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	122.12	71.72 W	0.00	1930 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.42	7.82 W	0.00	1931 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.43	7.83 W	0.00	1932 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.34	7.74 W	0.00	1933 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.28	7.67 W	0.00	1934 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.29	7.68 W	0.00	1935 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.86	8.26 W	0.00	1936 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.90	8.29 W	0.00	1937 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.91	8.31 W	0.00	1938 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.60	7.99 W	0.00	1939 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.28	7.67 W	0.00	1940 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.44	7.84 W	0.00	1941 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	26.58	15.37 W	0.00	1942 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	28.03	16.82 W	0.00	1943 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	27.09	15.88 W	0.00	1944 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	26.70	15.49 W	0.00	1945 of 3327 - Sale <sup>25</sup>
	3.000	16.81	05/20/20	39.92	23.11 W	0.00	1946 of 3327 - Sale <sup>25</sup>
	3.000	16.82	05/20/20	39.89	23.07 W	0.00	1947 of 3327 - Sale <sup>25</sup>
	3.000	16.81	05/20/20	39.86	23.05 W	0.00	1948 of 3327 - Sale <sup>25</sup>
	3.000	16.82	05/20/20	39.86	23.04 W	0.00	1949 of 3327 - Sale <sup>25</sup>
	3.000	16.81	05/20/20	40.80	23.99 W	0.00	1950 of 3327 - Sale <sup>25</sup>
	3.000	16.82	05/20/20	39.75	22.93 W	0.00	1951 of 3327 - Sale <sup>25</sup>
	5.000	28.02	05/20/20	66.44	38.42 W	0.00	1952 of 3327 - Sale <sup>25</sup>
	5.000	28.02	05/20/20	67.66	39.64 W	0.00	1953 of 3327 - Sale <sup>25</sup>
	4.000	22.42	05/20/20	53.15	30.73 W	0.00	1954 of 3327 - Sale <sup>25</sup>
	7.000	39.23	05/20/20	93.48	54.25 W	0.00	1955 of 3327 - Sale <sup>25</sup>
	8.000	44.84	05/20/20	113.08	68.24 W	0.00	1956 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	10.000	56.05	05/20/20	140.80	84.75 W	0.00	1957 of 3327 - Sale <sup>25</sup>
	11.000	61.65	05/20/20	146.51	84.86 W	0.00	1958 of 3327 - Sale <sup>25</sup>
	13.000	72.86	05/20/20	172.88	100.02 W	0.00	1959 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.14	7.54 W	0.00	1960 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.39	7.79 W	0.00	1961 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.37	7.77 W	0.00	1962 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	14.05	8.44 W	0.00	1963 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	14.01	8.40 W	0.00	1964 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.98	8.38 W	0.00	1965 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.96	8.35 W	0.00	1966 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.90	8.30 W	0.00	1967 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	26.46	15.25 W	0.00	1968 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	26.31	15.10 W	0.00	1969 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	27.08	15.87 W	0.00	1970 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	27.07	15.86 W	0.00	1971 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	28.12	16.91 W	0.00	1972 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	27.99	16.78 W	0.00	1973 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	27.99	16.78 W	0.00	1974 of 3327 - Sale <sup>25</sup>
	2.000	11.21	05/20/20	28.11	16.90 W	0.00	1975 of 3327 - Sale <sup>25</sup>
	3.000	16.82	05/20/20	40.51	23.69 W	0.00	1976 of 3327 - Sale <sup>25</sup>
	3.000	16.81	05/20/20	41.86	25.05 W	0.00	1977 of 3327 - Sale <sup>25</sup>
	3.000	16.81	05/20/20	41.82	25.01 W	0.00	1978 of 3327 - Sale <sup>25</sup>
	3.000	16.82	05/20/20	41.97	25.15 W	0.00	1979 of 3327 - Sale <sup>25</sup>
	3.000	16.81	05/20/20	41.85	25.04 W	0.00	1980 of 3327 - Sale <sup>25</sup>
	3.000	16.82	05/20/20	41.58	24.76 W	0.00	1981 of 3327 - Sale <sup>25</sup>
	4.000	22.42	05/20/20	56.29	33.87 W	0.00	1982 of 3327 - Sale <sup>25</sup>
	4.000	22.42	05/20/20	56.02	33.60 W	0.00	1983 of 3327 - Sale <sup>25</sup>
	4.000	22.42	05/20/20	55.43	33.01 W	0.00	1984 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.29	7.69 W	0.00	1985 of 3327 - Sale <sup>25</sup>
	5.000	28.02	05/20/20	65.73	37.71 W	0.00	1986 of 3327 - Sale <sup>25</sup>
	5.000	28.02	05/20/20	67.70	39.68 W	0.00	1987 of 3327 - Sale <sup>25</sup>
	6.000	33.63	05/20/20	80.27	46.64 W	0.00	1988 of 3327 - Sale <sup>25</sup>
	6.000	33.63	05/20/20	83.98	50.35 W	0.00	1989 of 3327 - Sale <sup>25</sup>
	4.000	22.42	05/20/20	56.79	34.37 W	0.00	1990 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	50.44	05/20/20	118.38	67.94 W	0.00	1991 of 3327 - Sale <sup>25</sup>
	10.000	56.05	05/20/20	138.59	82.54 W	0.00	1992 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.19	8.59 W	0.00	1993 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.18	8.58 W	0.00	1994 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.20	8.60 W	0.00	1995 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.24	9.64 W	0.00	1996 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.15	9.55 W	0.00	1997 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.25	8.65 W	0.00	1998 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.28	17.08 W	0.00	1999 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.26	17.06 W	0.00	2000 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	42.56	25.76 W	0.00	2001 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	56.65	34.25 W	0.00	2002 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	56.61	34.21 W	0.00	2003 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	70.89	42.89 W	0.00	2004 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	71.09	43.09 W	0.00	2005 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.40	17.20 W	0.00	2006 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	90.86	57.26 W	0.00	2007 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	85.82	52.22 W	0.00	2008 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	113.74	68.94 W	0.00	2009 of 3327 - Sale <sup>25</sup>
	12.000	67.20	05/20/20	67.36	0.16 W	0.00	2010 of 3327 - Sale <sup>25</sup>
	34.000	190.39	05/20/20	190.85	0.46 W	0.00	2011 of 3327 - Sale <sup>25</sup>
	54.000	302.38	05/20/20	303.10	0.72 W	0.00	2012 of 3327 - Sale <sup>25</sup>
	88.000	492.78	05/20/20	493.96	1.18 W	0.00	2013 of 3327 - Sale <sup>25</sup>
	98.000	548.77	05/20/20	550.08	1.31 W	0.00	2014 of 3327 - Sale <sup>25</sup>
	161.000	901.55	05/20/20	918.20	16.65 W	0.00	2015 of 3327 - Sale <sup>25</sup>
	11.000	61.60	05/20/20	64.58	2.98 W	0.00	2016 of 3327 - Sale <sup>25</sup>
	12.000	67.20	05/20/20	67.35	0.15 W	0.00	2017 of 3327 - Sale <sup>25</sup>
	12.000	67.20	05/20/20	69.83	2.63 W	0.00	2018 of 3327 - Sale <sup>25</sup>
	27.000	151.19	05/20/20	157.80	6.61 W	0.00	2019 of 3327 - Sale <sup>25</sup>
	38.000	212.79	05/20/20	213.28	0.49 W	0.00	2020 of 3327 - Sale <sup>25</sup>
	38.000	212.79	05/20/20	219.24	6.45 W	0.00	2021 of 3327 - Sale <sup>25</sup>
	50.000	279.99	05/20/20	290.99	11.00 W	0.00	2022 of 3327 - Sale <sup>25</sup>
	62.000	347.18	05/20/20	362.36	15.18 W	0.00	2023 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	11.23	0.03 W	0.00	2024 of 3327 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.60	05/20/20	9.63	4.03 W	0.00	2025 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.61	4.01 W	0.00	2026 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.62	4.02 W	0.00	2027 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.65	4.05 W	0.00	2028 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.57	3.97 W	0.00	2029 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.57	3.97 W	0.00	2030 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.59	3.99 W	0.00	2031 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.43	3.83 W	0.00	2032 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	9.50	3.90 W	0.00	2033 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	18.18	12.58 W	0.00	2034 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	18.03	12.43 W	0.00	2035 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	18.05	12.45 W	0.00	2036 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	17.90	12.30 W	0.00	2037 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	17.58	11.98 W	0.00	2038 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	17.57	11.97 W	0.00	2039 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.75	11.15 W	0.00	2040 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.90	11.30 W	0.00	2041 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.65	11.05 W	0.00	2042 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.77	11.17 W	0.00	2043 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.82	11.22 W	0.00	2044 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.63	11.03 W	0.00	2045 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.66	11.06 W	0.00	2046 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.65	11.05 W	0.00	2047 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.65	11.05 W	0.00	2048 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.72	11.12 W	0.00	2049 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.60	11.00 W	0.00	2050 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.53	10.93 W	0.00	2051 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.45	10.85 W	0.00	2052 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.42	10.82 W	0.00	2053 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.40	10.80 W	0.00	2054 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.38	10.78 W	0.00	2055 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.39	10.79 W	0.00	2056 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	16.36	10.76 W	0.00	2057 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	15.84	10.24 W	0.00	2058 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	15.89	10.29 W	0.00	2059 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.65	10.05 W	0.00	2060 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.65	10.05 W	0.00	2061 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.71	10.11 W	0.00	2062 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.79	10.19 W	0.00	2063 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.66	10.06 W	0.00	2064 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.61	10.01 W	0.00	2065 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.62	10.02 W	0.00	2066 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.30	9.70 W	0.00	2067 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.32	9.72 W	0.00	2068 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.34	9.74 W	0.00	2069 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.39	9.79 W	0.00	2070 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.02	10.42 W	0.00	2071 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.03	10.43 W	0.00	2072 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.93	10.33 W	0.00	2073 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.85	9.25 W	0.00	2074 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.87	9.27 W	0.00	2075 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.69	9.09 W	0.00	2076 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.72	9.12 W	0.00	2077 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.31	7.71 W	0.00	2078 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.31	7.71 W	0.00	2079 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.68	9.08 W	0.00	2080 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.04	9.44 W	0.00	2081 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.01	9.41 W	0.00	2082 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.02	9.42 W	0.00	2083 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.92	9.32 W	0.00	2084 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.96	9.36 W	0.00	2085 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.21	8.61 W	0.00	2086 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.91	8.31 W	0.00	2087 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.92	8.32 W	0.00	2088 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.92	8.32 W	0.00	2089 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.99	8.39 W	0.00	2090 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.97	8.37 W	0.00	2091 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.95	8.35 W	0.00	2092 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	13.85	8.25 W	0.00	2093 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.89	8.29 W	0.00	2094 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.86	8.26 W	0.00	2095 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.47	7.87 W	0.00	2096 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.43	7.83 W	0.00	2097 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.11	6.51 W	0.00	2098 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.42	6.82 W	0.00	2099 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.42	6.82 W	0.00	2100 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.41	6.81 W	0.00	2101 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.43	6.83 W	0.00	2102 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.38	6.78 W	0.00	2103 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.33	8.73 W	0.00	2104 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.41	8.81 W	0.00	2105 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.74	8.14 W	0.00	2106 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.14	8.54 W	0.00	2107 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.07	8.47 W	0.00	2108 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.07	8.47 W	0.00	2109 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.07	8.47 W	0.00	2110 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.10	8.50 W	0.00	2111 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.66	9.06 W	0.00	2112 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.61	9.01 W	0.00	2113 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.66	9.06 W	0.00	2114 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.00	9.40 W	0.00	2115 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.03	9.43 W	0.00	2116 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.82	9.22 W	0.00	2117 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.83	9.23 W	0.00	2118 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.87	9.27 W	0.00	2119 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.88	9.28 W	0.00	2120 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.17	10.57 W	0.00	2121 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.25	10.65 W	0.00	2122 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.25	10.65 W	0.00	2123 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.11	10.51 W	0.00	2124 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	19.00	7.80 W	0.00	2125 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.88	24.68 W	0.00	2126 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.20	05/20/20	35.24	24.04 W	0.00	2127 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.17	23.97 W	0.00	2128 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.38	22.18 W	0.00	2129 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.49	22.29 W	0.00	2130 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.46	22.26 W	0.00	2131 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.46	22.26 W	0.00	2132 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.43	22.23 W	0.00	2133 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.19	21.99 W	0.00	2134 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	32.96	21.76 W	0.00	2135 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	31.72	20.52 W	0.00	2136 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	31.47	20.27 W	0.00	2137 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	31.41	20.21 W	0.00	2138 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	31.02	19.82 W	0.00	2139 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	31.28	20.08 W	0.00	2140 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	31.28	20.08 W	0.00	2141 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	31.92	20.72 W	0.00	2142 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	32.37	21.17 W	0.00	2143 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	29.59	18.39 W	0.00	2144 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	29.41	18.21 W	0.00	2145 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.78	15.58 W	0.00	2146 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.64	15.44 W	0.00	2147 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	30.02	18.82 W	0.00	2148 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	30.01	18.81 W	0.00	2149 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	29.34	18.14 W	0.00	2150 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.78	17.58 W	0.00	2151 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.42	17.22 W	0.00	2152 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.37	17.17 W	0.00	2153 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.97	16.77 W	0.00	2154 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.70	16.50 W	0.00	2155 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.24	16.04 W	0.00	2156 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.21	16.01 W	0.00	2157 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.08	15.88 W	0.00	2158 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.92	15.72 W	0.00	2159 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.87	15.67 W	0.00	2160 of 3327 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.20	05/20/20	24.75	13.55 W	0.00	2161 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	24.56	13.36 W	0.00	2162 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	24.49	13.29 W	0.00	2163 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	24.66	13.46 W	0.00	2164 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	24.84	13.64 W	0.00	2165 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	24.84	13.64 W	0.00	2166 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	24.76	13.56 W	0.00	2167 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.72	15.52 W	0.00	2168 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.72	15.52 W	0.00	2169 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.74	17.54 W	0.00	2170 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.74	17.54 W	0.00	2171 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.45	16.25 W	0.00	2172 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.45	16.25 W	0.00	2173 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.59	17.39 W	0.00	2174 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	29.33	18.13 W	0.00	2175 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	29.33	18.13 W	0.00	2176 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	29.32	18.12 W	0.00	2177 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	29.72	18.52 W	0.00	2178 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	32.52	21.32 W	0.00	2179 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	32.50	21.30 W	0.00	2180 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	32.50	21.30 W	0.00	2181 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	28.29	11.49 W	0.00	2182 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	52.81	36.01 W	0.00	2183 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	54.69	37.89 W	0.00	2184 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	54.56	37.76 W	0.00	2185 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	50.22	33.42 W	0.00	2186 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	49.33	32.53 W	0.00	2187 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	49.37	32.57 W	0.00	2188 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	49.07	32.27 W	0.00	2189 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	47.47	30.67 W	0.00	2190 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	47.51	30.71 W	0.00	2191 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	46.91	30.11 W	0.00	2192 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	46.90	30.10 W	0.00	2193 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	48.70	31.90 W	0.00	2194 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.80	05/20/20	43.65	26.85 W	0.00	2195 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.79	22.99 W	0.00	2196 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.06	23.26 W	0.00	2197 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	44.69	27.89 W	0.00	2198 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	43.90	27.10 W	0.00	2199 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	43.74	26.94 W	0.00	2200 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	42.55	25.75 W	0.00	2201 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	42.41	25.61 W	0.00	2202 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.86	24.06 W	0.00	2203 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.44	23.64 W	0.00	2204 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.38	23.58 W	0.00	2205 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	36.37	19.57 W	0.00	2206 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	36.68	19.88 W	0.00	2207 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	36.75	19.95 W	0.00	2208 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	36.99	20.19 W	0.00	2209 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	37.12	20.32 W	0.00	2210 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.20	23.40 W	0.00	2211 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	43.11	26.31 W	0.00	2212 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	42.63	25.83 W	0.00	2213 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	43.73	26.93 W	0.00	2214 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	48.78	31.98 W	0.00	2215 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	72.80	50.40 W	0.00	2216 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.08	48.68 W	0.00	2217 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	69.89	47.49 W	0.00	2218 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	67.06	44.66 W	0.00	2219 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	66.56	44.16 W	0.00	2220 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	66.85	44.45 W	0.00	2221 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	63.00	40.60 W	0.00	2222 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	59.11	36.71 W	0.00	2223 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	54.95	32.55 W	0.00	2224 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	55.66	33.26 W	0.00	2225 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	49.09	26.69 W	0.00	2226 of 3327 - Sale <sup>25</sup>
	4.000	22.39	05/20/20	63.81	41.42 W	0.00	2227 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	47.88	19.88 W	0.00	2228 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	28.00	05/20/20	88.11	60.11 W	0.00	2229 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	83.12	55.12 W	0.00	2230 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	79.26	51.26 W	0.00	2231 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	81.12	53.12 W	0.00	2232 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	68.71	40.71 W	0.00	2233 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	67.91	39.91 W	0.00	2234 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	72.90	44.90 W	0.00	2235 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	68.00	40.00 W	0.00	2236 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	67.23	39.23 W	0.00	2237 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	70.33	42.33 W	0.00	2238 of 3327 - Sale <sup>25</sup>
	5.000	27.99	05/20/20	72.76	44.77 W	0.00	2239 of 3327 - Sale <sup>25</sup>
	5.000	27.99	05/20/20	75.08	47.09 W	0.00	2240 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	57.57	23.97 W	0.00	2241 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	89.88	56.28 W	0.00	2242 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	89.76	56.16 W	0.00	2243 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	84.53	50.93 W	0.00	2244 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	83.11	49.51 W	0.00	2245 of 3327 - Sale <sup>25</sup>
	6.000	33.59	05/20/20	86.72	53.13 W	0.00	2246 of 3327 - Sale <sup>25</sup>
	6.000	33.59	05/20/20	86.21	52.62 W	0.00	2247 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	67.04	27.84 W	0.00	2248 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	93.37	54.17 W	0.00	2249 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	93.75	54.55 W	0.00	2250 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	100.52	61.32 W	0.00	2251 of 3327 - Sale <sup>25</sup>
	7.000	39.19	05/20/20	105.35	66.16 W	0.00	2252 of 3327 - Sale <sup>25</sup>
	7.000	39.19	05/20/20	112.03	72.84 W	0.00	2253 of 3327 - Sale <sup>25</sup>
	6.000	33.59	05/20/20	96.98	63.39 W	0.00	2254 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	76.00	31.20 W	0.00	2255 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	139.49	94.69 W	0.00	2256 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	139.18	94.38 W	0.00	2257 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	131.65	86.85 W	0.00	2258 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	116.69	71.89 W	0.00	2259 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	106.32	61.52 W	0.00	2260 of 3327 - Sale <sup>25</sup>
	8.000	44.79	05/20/20	114.97	70.18 W	0.00	2261 of 3327 - Sale <sup>25</sup>
	8.000	44.79	05/20/20	129.94	85.15 W	0.00	2262 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	50.40	05/20/20	86.55	36.15 W	0.00	2263 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	85.76	35.36 W	0.00	2264 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	144.16	93.76 W	0.00	2265 of 3327 - Sale <sup>25</sup>
	9.000	50.39	05/20/20	121.24	70.85 W	0.00	2266 of 3327 - Sale <sup>25</sup>
	9.000	50.39	05/20/20	120.97	70.58 W	0.00	2267 of 3327 - Sale <sup>25</sup>
	9.000	50.39	05/20/20	127.81	77.42 W	0.00	2268 of 3327 - Sale <sup>25</sup>
	9.000	50.39	05/20/20	128.68	78.29 W	0.00	2269 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	96.22	40.22 W	0.00	2270 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	94.34	38.34 W	0.00	2271 of 3327 - Sale <sup>25</sup>
	10.000	55.99	05/20/20	146.96	90.97 W	0.00	2272 of 3327 - Sale <sup>25</sup>
	11.000	61.60	05/20/20	105.84	44.24 W	0.00	2273 of 3327 - Sale <sup>25</sup>
	11.000	61.59	05/20/20	160.41	98.82 W	0.00	2274 of 3327 - Sale <sup>25</sup>
	11.000	61.59	05/20/20	147.68	86.09 W	0.00	2275 of 3327 - Sale <sup>25</sup>
	12.000	67.19	05/20/20	168.71	101.52 W	0.00	2276 of 3327 - Sale <sup>25</sup>
	13.000	72.80	05/20/20	124.41	51.61 W	0.00	2277 of 3327 - Sale <sup>25</sup>
	14.000	78.39	05/20/20	220.67	142.28 W	0.00	2278 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.10	11.50 W	0.00	2279 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.00	11.40 W	0.00	2280 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.79	12.19 W	0.00	2281 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.53	3.93 W	0.00	2282 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.73	4.13 W	0.00	2283 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.73	4.13 W	0.00	2284 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.70	4.10 W	0.00	2285 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	19.32	8.12 W	0.00	2286 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	51.03	34.23 W	0.00	2287 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	50.38	33.58 W	0.00	2288 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.34	36.54 W	0.00	2289 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.33	36.53 W	0.00	2290 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.30	36.50 W	0.00	2291 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.17	36.37 W	0.00	2292 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	29.47	12.67 W	0.00	2293 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	29.46	12.66 W	0.00	2294 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.32	48.92 W	0.00	2295 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	38.92	16.52 W	0.00	2296 of 3327 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	22.40	05/20/20	38.81	16.41 W	0.00	2297 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	39.17	16.77 W	0.00	2298 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	89.11	61.11 W	0.00	2299 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	48.55	20.55 W	0.00	2300 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	106.80	73.20 W	0.00	2301 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	58.77	25.17 W	0.00	2302 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	124.05	84.85 W	0.00	2303 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	66.82	27.62 W	0.00	2304 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	78.55	33.75 W	0.00	2305 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	86.53	36.13 W	0.00	2306 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	96.94	40.94 W	0.00	2307 of 3327 - Sale <sup>25</sup>
	11.000	61.60	05/20/20	106.73	45.13 W	0.00	2308 of 3327 - Sale <sup>25</sup>
	11.000	61.60	05/20/20	105.63	44.03 W	0.00	2309 of 3327 - Sale <sup>25</sup>
	16.000	89.59	05/20/20	156.78	67.19 W	0.00	2310 of 3327 - Sale <sup>25</sup>
	21.000	117.59	05/20/20	205.51	87.92 W	0.00	2311 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.14	6.54 W	0.00	2312 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.07	6.47 W	0.00	2313 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.08	6.48 W	0.00	2314 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.14	6.54 W	0.00	2315 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.11	6.51 W	0.00	2316 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.19	6.59 W	0.00	2317 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.02	6.42 W	0.00	2318 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.27	7.67 W	0.00	2319 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.38	7.78 W	0.00	2320 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.41	7.81 W	0.00	2321 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.38	7.78 W	0.00	2322 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.26	7.66 W	0.00	2323 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.31	7.71 W	0.00	2324 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.23	7.63 W	0.00	2325 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.14	7.54 W	0.00	2326 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.00	7.40 W	0.00	2327 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.15	7.55 W	0.00	2328 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.84	7.24 W	0.00	2329 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.29	7.69 W	0.00	2330 of 3327 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.60	05/20/20	13.29	7.69 W	0.00	2331 of 3327 - Sale <sup>25</sup>
1.000		5.59	05/20/20	13.27	7.68 W	0.00	2332 of 3327 - Sale <sup>25</sup>
2.000		11.20	05/20/20	23.72	12.52 W	0.00	2333 of 3327 - Sale <sup>25</sup>
2.000		11.20	05/20/20	26.43	15.23 W	0.00	2334 of 3327 - Sale <sup>25</sup>
2.000		11.20	05/20/20	26.22	15.02 W	0.00	2335 of 3327 - Sale <sup>25</sup>
2.000		11.20	05/20/20	24.56	13.36 W	0.00	2336 of 3327 - Sale <sup>25</sup>
2.000		11.20	05/20/20	25.70	14.50 W	0.00	2337 of 3327 - Sale <sup>25</sup>
3.000		16.80	05/20/20	36.43	19.63 W	0.00	2338 of 3327 - Sale <sup>25</sup>
3.000		16.80	05/20/20	35.97	19.17 W	0.00	2339 of 3327 - Sale <sup>25</sup>
3.000		16.80	05/20/20	39.41	22.61 W	0.00	2340 of 3327 - Sale <sup>25</sup>
3.000		16.80	05/20/20	39.48	22.68 W	0.00	2341 of 3327 - Sale <sup>25</sup>
3.000		16.80	05/20/20	39.39	22.59 W	0.00	2342 of 3327 - Sale <sup>25</sup>
3.000		16.80	05/20/20	39.88	23.08 W	0.00	2343 of 3327 - Sale <sup>25</sup>
4.000		22.40	05/20/20	49.49	27.09 W	0.00	2344 of 3327 - Sale <sup>25</sup>
5.000		28.00	05/20/20	65.89	37.89 W	0.00	2345 of 3327 - Sale <sup>25</sup>
5.000		28.00	05/20/20	61.86	33.86 W	0.00	2346 of 3327 - Sale <sup>25</sup>
5.000		27.99	05/20/20	62.78	34.79 W	0.00	2347 of 3327 - Sale <sup>25</sup>
6.000		33.60	05/20/20	74.24	40.64 W	0.00	2348 of 3327 - Sale <sup>25</sup>
7.000		39.20	05/20/20	84.36	45.16 W	0.00	2349 of 3327 - Sale <sup>25</sup>
7.000		39.20	05/20/20	93.47	54.27 W	0.00	2350 of 3327 - Sale <sup>25</sup>
9.000		50.39	05/20/20	120.73	70.34 W	0.00	2351 of 3327 - Sale <sup>25</sup>
9.000		50.39	05/20/20	120.77	70.38 W	0.00	2352 of 3327 - Sale <sup>25</sup>
10.000		55.99	05/20/20	120.19	64.20 W	0.00	2353 of 3327 - Sale <sup>25</sup>
13.000		72.79	05/20/20	170.81	98.02 W	0.00	2354 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.28	7.68 W	0.00	2355 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.19	7.59 W	0.00	2356 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.12	7.52 W	0.00	2357 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.13	7.53 W	0.00	2358 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.71	8.11 W	0.00	2359 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.74	8.14 W	0.00	2360 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.76	8.16 W	0.00	2361 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.44	7.84 W	0.00	2362 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.12	7.52 W	0.00	2363 of 3327 - Sale <sup>25</sup>
1.000		5.60	05/20/20	13.14	7.54 W	0.00	2364 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	12.99	7.39 W	0.00	2365 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.27	15.07 W	0.00	2366 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.72	16.52 W	0.00	2367 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.78	15.58 W	0.00	2368 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.39	15.19 W	0.00	2369 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.15	14.95 W	0.00	2370 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.00	14.80 W	0.00	2371 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.46	22.66 W	0.00	2372 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.42	22.62 W	0.00	2373 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.40	22.60 W	0.00	2374 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.39	22.59 W	0.00	2375 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.34	23.54 W	0.00	2376 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.28	22.48 W	0.00	2377 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	52.53	30.13 W	0.00	2378 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	65.67	37.67 W	0.00	2379 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	66.89	38.89 W	0.00	2380 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	92.40	53.20 W	0.00	2381 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	90.99	51.79 W	0.00	2382 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	111.84	67.04 W	0.00	2383 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	139.25	83.25 W	0.00	2384 of 3327 - Sale <sup>25</sup>
	11.000	61.60	05/20/20	144.81	83.21 W	0.00	2385 of 3327 - Sale <sup>25</sup>
	13.000	72.79	05/20/20	170.87	98.08 W	0.00	2386 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.23	7.63 W	0.00	2387 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.22	7.62 W	0.00	2388 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.88	8.28 W	0.00	2389 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.85	8.25 W	0.00	2390 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.82	8.22 W	0.00	2391 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.80	8.20 W	0.00	2392 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.74	8.14 W	0.00	2393 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	25.99	14.79 W	0.00	2394 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.76	15.56 W	0.00	2395 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.75	15.55 W	0.00	2396 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.80	16.60 W	0.00	2397 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.67	16.47 W	0.00	2398 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.20	05/20/20	27.67	16.47 W	0.00	2399 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.79	16.59 W	0.00	2400 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	28.09	16.89 W	0.00	2401 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.03	23.23 W	0.00	2402 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.39	24.59 W	0.00	2403 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.34	24.54 W	0.00	2404 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.49	24.69 W	0.00	2405 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.37	24.57 W	0.00	2406 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.10	24.30 W	0.00	2407 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	55.65	33.25 W	0.00	2408 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	55.38	32.98 W	0.00	2409 of 3327 - Sale <sup>25</sup>
	4.000	22.39	05/20/20	54.79	32.40 W	0.00	2410 of 3327 - Sale <sup>25</sup>
	4.000	22.39	05/20/20	56.15	33.76 W	0.00	2411 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	64.94	36.94 W	0.00	2412 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	66.90	38.90 W	0.00	2413 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	79.31	45.71 W	0.00	2414 of 3327 - Sale <sup>25</sup>
	6.000	33.59	05/20/20	83.02	49.43 W	0.00	2415 of 3327 - Sale <sup>25</sup>
	10.000	55.99	05/20/20	136.99	81.00 W	0.00	2416 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.34	10.74 W	0.00	2417 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.29	10.69 W	0.00	2418 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.20	11.60 W	0.00	2419 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.21	11.61 W	0.00	2420 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	32.64	21.44 W	0.00	2421 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	34.41	23.21 W	0.00	2422 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	48.97	32.17 W	0.00	2423 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	97.09	63.49 W	0.00	2424 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	97.09	63.49 W	0.00	2425 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.16	10.56 W	0.00	2426 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	137.68	92.88 W	0.00	2427 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	137.03	92.23 W	0.00	2428 of 3327 - Sale <sup>25</sup>
	12.000	67.20	05/20/20	206.03	138.83 W	0.00	2429 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.13	11.53 W	0.00	2430 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.40	11.80 W	0.00	2431 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.39	11.79 W	0.00	2432 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	17.89	12.29 W	0.00	2433 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.89	12.29 W	0.00	2434 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.78	12.18 W	0.00	2435 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.87	12.27 W	0.00	2436 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.69	12.09 W	0.00	2437 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.71	12.11 W	0.00	2438 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.71	12.11 W	0.00	2439 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.93	12.33 W	0.00	2440 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.88	12.28 W	0.00	2441 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.97	12.37 W	0.00	2442 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.93	12.33 W	0.00	2443 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	18.00	12.40 W	0.00	2444 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	18.03	12.43 W	0.00	2445 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	18.05	12.45 W	0.00	2446 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.66	12.06 W	0.00	2447 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.66	12.06 W	0.00	2448 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.43	11.83 W	0.00	2449 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.37	11.77 W	0.00	2450 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.74	2.14 W	0.00	2451 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.67	2.07 W	0.00	2452 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.61	2.01 W	0.00	2453 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	34.26	23.06 W	0.00	2454 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	34.27	23.07 W	0.00	2455 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	34.73	23.53 W	0.00	2456 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.12	23.92 W	0.00	2457 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.60	24.40 W	0.00	2458 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.61	24.41 W	0.00	2459 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.80	24.60 W	0.00	2460 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.51	24.31 W	0.00	2461 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.26	24.06 W	0.00	2462 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	36.12	24.92 W	0.00	2463 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.36	24.16 W	0.00	2464 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	52.17	35.37 W	0.00	2465 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.71	36.91 W	0.00	2466 of 3327 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.80	05/20/20	52.88	36.08 W	0.00	2467 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	52.37	35.57 W	0.00	2468 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.73	36.93 W	0.00	2469 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	54.16	37.36 W	0.00	2470 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	54.17	37.37 W	0.00	2471 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.49	49.09 W	0.00	2472 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.25	48.85 W	0.00	2473 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	69.65	47.25 W	0.00	2474 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	89.49	61.49 W	0.00	2475 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	88.59	60.59 W	0.00	2476 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	88.62	60.62 W	0.00	2477 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	106.42	72.82 W	0.00	2478 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	161.91	111.51 W	0.00	2479 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	179.11	123.11 W	0.00	2480 of 3327 - Sale <sup>25</sup>
	20.000	111.99	05/20/20	152.81	40.82 W	0.00	2481 of 3327 - Sale <sup>25</sup>
	61.000	341.57	05/20/20	459.13	117.56 W	0.00	2482 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.61	2.01 W	0.00	2483 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.54	1.94 W	0.00	2484 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.53	1.93 W	0.00	2485 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.39	11.79 W	0.00	2486 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.84	11.24 W	0.00	2487 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.94	11.34 W	0.00	2488 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.09	11.49 W	0.00	2489 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.03	11.43 W	0.00	2490 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.06	11.46 W	0.00	2491 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.10	11.50 W	0.00	2492 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.07	11.47 W	0.00	2493 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.74	11.14 W	0.00	2494 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	15.06	3.86 W	0.00	2495 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.96	22.76 W	0.00	2496 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	34.19	22.99 W	0.00	2497 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	51.25	34.45 W	0.00	2498 of 3327 - Sale <sup>25</sup>
	3.000	16.79	05/20/20	50.94	34.15 W	0.00	2499 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	30.47	8.07 W	0.00	2500 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	22.40	05/20/20	68.37	45.97 W	0.00	2501 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	38.03	10.03 W	0.00	2502 of 3327 - Sale <sup>25</sup>
	5.000	27.99	05/20/20	85.33	57.34 W	0.00	2503 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	53.27	14.07 W	0.00	2504 of 3327 - Sale <sup>25</sup>
	7.000	39.19	05/20/20	119.66	80.47 W	0.00	2505 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	60.89	16.09 W	0.00	2506 of 3327 - Sale <sup>25</sup>
	9.000	50.39	05/20/20	153.20	102.81 W	0.00	2507 of 3327 - Sale <sup>25</sup>
	16.000	89.59	05/20/20	121.59	32.00 W	0.00	2508 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	14.03	8.42 W	0.00	2509 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	14.02	8.41 W	0.00	2510 of 3327 - Sale <sup>25</sup>
	3.000	16.83	05/20/20	42.10	25.27 W	0.00	2511 of 3327 - Sale <sup>25</sup>
	5.000	28.05	05/20/20	70.12	42.07 W	0.00	2512 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.98	8.37 W	0.00	2513 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	13.99	8.38 W	0.00	2514 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	14.04	8.43 W	0.00	2515 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	15.09	9.48 W	0.00	2516 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	14.99	9.38 W	0.00	2517 of 3327 - Sale <sup>25</sup>
	1.000	5.61	05/20/20	14.10	8.49 W	0.00	2518 of 3327 - Sale <sup>25</sup>
	2.000	11.22	05/20/20	27.95	16.73 W	0.00	2519 of 3327 - Sale <sup>25</sup>
	4.000	22.44	05/20/20	56.03	33.59 W	0.00	2520 of 3327 - Sale <sup>25</sup>
	4.000	22.44	05/20/20	55.99	33.55 W	0.00	2521 of 3327 - Sale <sup>25</sup>
	5.000	28.05	05/20/20	70.31	42.26 W	0.00	2522 of 3327 - Sale <sup>25</sup>
	6.000	33.66	05/20/20	89.93	56.27 W	0.00	2523 of 3327 - Sale <sup>25</sup>
	6.000	33.66	05/20/20	84.89	51.23 W	0.00	2524 of 3327 - Sale <sup>25</sup>
	8.000	44.88	05/20/20	112.50	67.62 W	0.00	2525 of 3327 - Sale <sup>25</sup>
	12.000	66.96	05/20/20	67.24	0.28 W	0.00	2526 of 3327 - Sale <sup>25</sup>
	34.000	189.71	05/20/20	190.52	0.81 W	0.00	2527 of 3327 - Sale <sup>25</sup>
	54.000	301.30	05/20/20	302.58	1.28 W	0.00	2528 of 3327 - Sale <sup>25</sup>
	2.000	11.16	05/20/20	11.21	0.05 W	0.00	2529 of 3327 - Sale <sup>25</sup>
	98.000	546.82	05/20/20	549.00	2.18 W	0.00	2530 of 3327 - Sale <sup>25</sup>
	12.000	66.96	05/20/20	67.32	0.36 W	0.00	2531 of 3327 - Sale <sup>25</sup>
	38.000	212.03	05/20/20	213.19	1.16 W	0.00	2532 of 3327 - Sale <sup>25</sup>
	50.000	278.99	05/20/20	292.11	13.12 W	0.00	2533 of 3327 - Sale <sup>25</sup>
	11.000	61.38	05/20/20	64.46	3.08 W	0.00	2534 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	12.000	66.96	05/20/20	70.00	3.04 W	0.00	2535 of 3327 - Sale <sup>25</sup>
	27.000	150.65	05/20/20	157.51	6.86 W	0.00	2536 of 3327 - Sale <sup>25</sup>
	50.000	278.98	05/20/20	290.43	11.45 W	0.00	2537 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.61	4.03 W	0.00	2538 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.59	4.01 W	0.00	2539 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.60	4.02 W	0.00	2540 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.63	4.05 W	0.00	2541 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.55	3.97 W	0.00	2542 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.55	3.97 W	0.00	2543 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.57	3.99 W	0.00	2544 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.41	3.83 W	0.00	2545 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.48	3.90 W	0.00	2546 of 3327 - Sale <sup>25</sup>
	2.000	11.16	05/20/20	18.96	7.80 W	0.00	2547 of 3327 - Sale <sup>25</sup>
	1.000	5.58	05/20/20	9.41	3.83 W	0.00	2548 of 3327 - Sale <sup>25</sup>
	5.000	27.90	05/20/20	47.77	19.87 W	0.00	2549 of 3327 - Sale <sup>25</sup>
	6.000	33.48	05/20/20	57.44	23.96 W	0.00	2550 of 3327 - Sale <sup>25</sup>
	7.000	39.06	05/20/20	66.89	27.83 W	0.00	2551 of 3327 - Sale <sup>25</sup>
	8.000	44.64	05/20/20	75.83	31.19 W	0.00	2552 of 3327 - Sale <sup>25</sup>
	9.000	50.22	05/20/20	86.36	36.14 W	0.00	2553 of 3327 - Sale <sup>25</sup>
	9.000	50.21	05/20/20	85.57	35.36 W	0.00	2554 of 3327 - Sale <sup>25</sup>
	10.000	55.79	05/20/20	96.01	40.22 W	0.00	2555 of 3327 - Sale <sup>25</sup>
	10.000	55.79	05/20/20	94.13	38.34 W	0.00	2556 of 3327 - Sale <sup>25</sup>
	11.000	61.38	05/20/20	105.61	44.23 W	0.00	2557 of 3327 - Sale <sup>25</sup>
	13.000	72.54	05/20/20	124.13	51.59 W	0.00	2558 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	18.16	12.52 W	0.00	2559 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	18.01	12.37 W	0.00	2560 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	18.03	12.39 W	0.00	2561 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	17.88	12.24 W	0.00	2562 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	17.56	11.92 W	0.00	2563 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	17.55	11.91 W	0.00	2564 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.73	11.09 W	0.00	2565 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.88	11.24 W	0.00	2566 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.63	10.99 W	0.00	2567 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.75	11.11 W	0.00	2568 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.64	05/20/20	16.80	11.16 W	0.00	2569 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.61	10.97 W	0.00	2570 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.64	11.00 W	0.00	2571 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.63	10.99 W	0.00	2572 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.63	10.99 W	0.00	2573 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.70	11.06 W	0.00	2574 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.58	10.94 W	0.00	2575 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.51	10.87 W	0.00	2576 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.43	10.79 W	0.00	2577 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.40	10.76 W	0.00	2578 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.38	10.74 W	0.00	2579 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.36	10.72 W	0.00	2580 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.37	10.73 W	0.00	2581 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.34	10.70 W	0.00	2582 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.82	10.18 W	0.00	2583 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.87	10.23 W	0.00	2584 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.63	9.99 W	0.00	2585 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.63	9.99 W	0.00	2586 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.69	10.05 W	0.00	2587 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.77	10.13 W	0.00	2588 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.64	10.00 W	0.00	2589 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.59	9.95 W	0.00	2590 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.60	9.96 W	0.00	2591 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.28	9.64 W	0.00	2592 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.30	9.66 W	0.00	2593 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.32	9.68 W	0.00	2594 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.37	9.73 W	0.00	2595 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.00	10.36 W	0.00	2596 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	16.01	10.37 W	0.00	2597 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.91	10.27 W	0.00	2598 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	35.84	24.56 W	0.00	2599 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	35.20	23.92 W	0.00	2600 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	35.13	23.85 W	0.00	2601 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	33.34	22.06 W	0.00	2602 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.28	05/20/20	33.45	22.17 W	0.00	2603 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	33.42	22.14 W	0.00	2604 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	33.42	22.14 W	0.00	2605 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	33.39	22.11 W	0.00	2606 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	33.15	21.87 W	0.00	2607 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	32.92	21.64 W	0.00	2608 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	31.68	20.40 W	0.00	2609 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	31.43	20.15 W	0.00	2610 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	31.37	20.09 W	0.00	2611 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	30.98	19.70 W	0.00	2612 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	31.24	19.96 W	0.00	2613 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	31.24	19.96 W	0.00	2614 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	31.88	20.60 W	0.00	2615 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	18.82	7.54 W	0.00	2616 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	52.75	35.83 W	0.00	2617 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	54.63	37.71 W	0.00	2618 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	54.50	37.58 W	0.00	2619 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	50.16	33.24 W	0.00	2620 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	49.27	32.35 W	0.00	2621 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	49.31	32.39 W	0.00	2622 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	49.01	32.09 W	0.00	2623 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	47.41	30.49 W	0.00	2624 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	47.45	30.53 W	0.00	2625 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	46.84	29.92 W	0.00	2626 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	46.83	29.91 W	0.00	2627 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.21	10.58 W	0.00	2628 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	72.71	50.15 W	0.00	2629 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	70.99	48.43 W	0.00	2630 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	69.80	47.24 W	0.00	2631 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	66.97	44.41 W	0.00	2632 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	66.47	43.91 W	0.00	2633 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	66.76	44.20 W	0.00	2634 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	62.91	40.35 W	0.00	2635 of 3327 - Sale <sup>25</sup>
	5.000	28.20	05/20/20	88.00	59.80 W	0.00	2636 of 3327 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	28.20	05/20/20	83.01	54.81 W	0.00	2637 of 3327 - Sale <sup>25</sup>
	5.000	28.19	05/20/20	79.15	50.96 W	0.00	2638 of 3327 - Sale <sup>25</sup>
	8.000	45.12	05/20/20	139.32	94.20 W	0.00	2639 of 3327 - Sale <sup>25</sup>
	8.000	45.12	05/20/20	139.01	93.89 W	0.00	2640 of 3327 - Sale <sup>25</sup>
	8.000	45.12	05/20/20	131.48	86.36 W	0.00	2641 of 3327 - Sale <sup>25</sup>
	9.000	50.74	05/20/20	143.96	93.22 W	0.00	2642 of 3327 - Sale <sup>25</sup>
	14.000	78.95	05/20/20	220.38	141.43 W	0.00	2643 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.83	9.19 W	0.00	2644 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.85	9.21 W	0.00	2645 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.67	9.03 W	0.00	2646 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.70	9.06 W	0.00	2647 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.29	7.65 W	0.00	2648 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.29	7.65 W	0.00	2649 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.66	9.02 W	0.00	2650 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.02	9.38 W	0.00	2651 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.99	9.35 W	0.00	2652 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	15.00	9.36 W	0.00	2653 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.90	9.26 W	0.00	2654 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.94	9.30 W	0.00	2655 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.19	8.55 W	0.00	2656 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.07	8.43 W	0.00	2657 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	32.33	21.05 W	0.00	2658 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	29.55	18.27 W	0.00	2659 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	29.37	18.09 W	0.00	2660 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	26.74	15.46 W	0.00	2661 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	26.60	15.32 W	0.00	2662 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	29.98	18.70 W	0.00	2663 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	29.97	18.69 W	0.00	2664 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	29.30	18.02 W	0.00	2665 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	28.74	17.46 W	0.00	2666 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	28.37	17.09 W	0.00	2667 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	28.33	17.05 W	0.00	2668 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	32.42	21.14 W	0.00	2669 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	43.58	26.66 W	0.00	2670 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.92	05/20/20	39.72	22.80 W	0.00	2671 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	39.99	23.07 W	0.00	2672 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	44.62	27.70 W	0.00	2673 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	43.83	26.91 W	0.00	2674 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	43.67	26.75 W	0.00	2675 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	42.48	25.56 W	0.00	2676 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	42.34	25.42 W	0.00	2677 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	59.02	36.46 W	0.00	2678 of 3327 - Sale <sup>25</sup>
	4.000	22.56	05/20/20	54.86	32.30 W	0.00	2679 of 3327 - Sale <sup>25</sup>
	5.000	28.20	05/20/20	81.01	52.81 W	0.00	2680 of 3327 - Sale <sup>25</sup>
	5.000	28.20	05/20/20	68.60	40.40 W	0.00	2681 of 3327 - Sale <sup>25</sup>
	5.000	28.20	05/20/20	67.80	39.60 W	0.00	2682 of 3327 - Sale <sup>25</sup>
	5.000	28.20	05/20/20	72.79	44.59 W	0.00	2683 of 3327 - Sale <sup>25</sup>
	6.000	33.84	05/20/20	89.75	55.91 W	0.00	2684 of 3327 - Sale <sup>25</sup>
	6.000	33.84	05/20/20	89.63	55.79 W	0.00	2685 of 3327 - Sale <sup>25</sup>
	7.000	39.48	05/20/20	93.22	53.74 W	0.00	2686 of 3327 - Sale <sup>25</sup>
	7.000	39.48	05/20/20	93.60	54.12 W	0.00	2687 of 3327 - Sale <sup>25</sup>
	7.000	39.48	05/20/20	100.36	60.88 W	0.00	2688 of 3327 - Sale <sup>25</sup>
	8.000	45.12	05/20/20	116.51	71.39 W	0.00	2689 of 3327 - Sale <sup>25</sup>
	8.000	45.12	05/20/20	106.15	61.03 W	0.00	2690 of 3327 - Sale <sup>25</sup>
	11.000	62.03	05/20/20	160.17	98.14 W	0.00	2691 of 3327 - Sale <sup>25</sup>
	12.000	67.67	05/20/20	69.57	1.90 W	0.00	2692 of 3327 - Sale <sup>25</sup>
	38.000	214.29	05/20/20	218.44	4.15 W	0.00	2693 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.84	8.20 W	0.00	2694 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.85	8.21 W	0.00	2695 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.85	8.21 W	0.00	2696 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.92	8.28 W	0.00	2697 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.90	8.26 W	0.00	2698 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.88	8.24 W	0.00	2699 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.78	8.14 W	0.00	2700 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.82	8.18 W	0.00	2701 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.79	8.15 W	0.00	2702 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.40	7.76 W	0.00	2703 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.36	7.72 W	0.00	2704 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.64	05/20/20	12.04	6.40 W	0.00	2705 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	12.35	6.71 W	0.00	2706 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	12.35	6.71 W	0.00	2707 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	12.34	6.70 W	0.00	2708 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	12.36	6.72 W	0.00	2709 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	12.31	6.67 W	0.00	2710 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.26	8.62 W	0.00	2711 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.34	8.70 W	0.00	2712 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	13.67	8.03 W	0.00	2713 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	14.07	8.43 W	0.00	2714 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	27.83	16.55 W	0.00	2715 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	27.56	16.28 W	0.00	2716 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	27.10	15.82 W	0.00	2717 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	27.07	15.79 W	0.00	2718 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	26.94	15.66 W	0.00	2719 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	26.78	15.50 W	0.00	2720 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	26.73	15.45 W	0.00	2721 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	24.61	13.33 W	0.00	2722 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	24.42	13.14 W	0.00	2723 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	24.35	13.07 W	0.00	2724 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	24.52	13.24 W	0.00	2725 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	24.70	13.42 W	0.00	2726 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	24.70	13.42 W	0.00	2727 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	24.62	13.34 W	0.00	2728 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	26.58	15.30 W	0.00	2729 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	26.58	15.30 W	0.00	2730 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	28.60	17.32 W	0.00	2731 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	28.60	17.32 W	0.00	2732 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	27.31	16.03 W	0.00	2733 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	27.31	16.03 W	0.00	2734 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	28.45	17.17 W	0.00	2735 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	40.65	23.73 W	0.00	2736 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	40.23	23.31 W	0.00	2737 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	40.17	23.25 W	0.00	2738 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
3.000		16.92	05/20/20	36.16	19.24 W	0.00	2739 of 3327 - Sale <sup>25</sup>
3.000		16.92	05/20/20	36.47	19.55 W	0.00	2740 of 3327 - Sale <sup>25</sup>
3.000		16.92	05/20/20	36.54	19.62 W	0.00	2741 of 3327 - Sale <sup>25</sup>
3.000		16.92	05/20/20	36.78	19.86 W	0.00	2742 of 3327 - Sale <sup>25</sup>
3.000		16.92	05/20/20	36.91	19.99 W	0.00	2743 of 3327 - Sale <sup>25</sup>
3.000		16.92	05/20/20	39.99	23.07 W	0.00	2744 of 3327 - Sale <sup>25</sup>
3.000		16.93	05/20/20	42.90	25.97 W	0.00	2745 of 3327 - Sale <sup>25</sup>
3.000		16.93	05/20/20	42.42	25.49 W	0.00	2746 of 3327 - Sale <sup>25</sup>
4.000		22.56	05/20/20	55.38	32.82 W	0.00	2747 of 3327 - Sale <sup>25</sup>
4.000		22.57	05/20/20	48.81	26.24 W	0.00	2748 of 3327 - Sale <sup>25</sup>
5.000		28.21	05/20/20	70.09	41.88 W	0.00	2749 of 3327 - Sale <sup>25</sup>
5.000		28.21	05/20/20	67.65	39.44 W	0.00	2750 of 3327 - Sale <sup>25</sup>
5.000		28.21	05/20/20	66.88	38.67 W	0.00	2751 of 3327 - Sale <sup>25</sup>
6.000		33.85	05/20/20	82.69	48.84 W	0.00	2752 of 3327 - Sale <sup>25</sup>
6.000		33.85	05/20/20	86.31	52.46 W	0.00	2753 of 3327 - Sale <sup>25</sup>
6.000		33.85	05/20/20	85.80	51.95 W	0.00	2754 of 3327 - Sale <sup>25</sup>
8.000		45.14	05/20/20	114.42	69.28 W	0.00	2755 of 3327 - Sale <sup>25</sup>
8.000		45.14	05/20/20	111.92	66.78 W	0.00	2756 of 3327 - Sale <sup>25</sup>
9.000		50.77	05/20/20	120.62	69.85 W	0.00	2757 of 3327 - Sale <sup>25</sup>
9.000		50.78	05/20/20	120.35	69.57 W	0.00	2758 of 3327 - Sale <sup>25</sup>
9.000		50.78	05/20/20	127.19	76.41 W	0.00	2759 of 3327 - Sale <sup>25</sup>
9.000		50.78	05/20/20	128.06	77.28 W	0.00	2760 of 3327 - Sale <sup>25</sup>
11.000		62.05	05/20/20	146.92	84.87 W	0.00	2761 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.00	8.37 W	0.00	2762 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	13.99	8.36 W	0.00	2763 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	13.99	8.36 W	0.00	2764 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.03	8.40 W	0.00	2765 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.58	8.95 W	0.00	2766 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.54	8.91 W	0.00	2767 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.58	8.95 W	0.00	2768 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.93	9.30 W	0.00	2769 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.95	9.32 W	0.00	2770 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.74	9.11 W	0.00	2771 of 3327 - Sale <sup>25</sup>
1.000		5.63	05/20/20	14.76	9.13 W	0.00	2772 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.63	05/20/20	14.79	9.16 W	0.00	2773 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	14.81	9.18 W	0.00	2774 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.10	10.47 W	0.00	2775 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.17	10.54 W	0.00	2776 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.18	10.55 W	0.00	2777 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.04	10.41 W	0.00	2778 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.09	10.46 W	0.00	2779 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.10	10.47 W	0.00	2780 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	29.18	17.92 W	0.00	2781 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	29.18	17.92 W	0.00	2782 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	29.17	17.91 W	0.00	2783 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	29.57	18.31 W	0.00	2784 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	32.37	21.11 W	0.00	2785 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	32.35	21.09 W	0.00	2786 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	32.35	21.09 W	0.00	2787 of 3327 - Sale <sup>25</sup>
	3.000	16.89	05/20/20	43.50	26.61 W	0.00	2788 of 3327 - Sale <sup>25</sup>
	3.000	16.89	05/20/20	48.55	31.66 W	0.00	2789 of 3327 - Sale <sup>25</sup>
	4.000	22.52	05/20/20	55.94	33.42 W	0.00	2790 of 3327 - Sale <sup>25</sup>
	4.000	22.52	05/20/20	63.52	41.00 W	0.00	2791 of 3327 - Sale <sup>25</sup>
	5.000	28.16	05/20/20	69.96	41.80 W	0.00	2792 of 3327 - Sale <sup>25</sup>
	5.000	28.16	05/20/20	72.40	44.24 W	0.00	2793 of 3327 - Sale <sup>25</sup>
	5.000	28.16	05/20/20	74.71	46.55 W	0.00	2794 of 3327 - Sale <sup>25</sup>
	6.000	33.79	05/20/20	96.54	62.75 W	0.00	2795 of 3327 - Sale <sup>25</sup>
	7.000	39.42	05/20/20	104.84	65.42 W	0.00	2796 of 3327 - Sale <sup>25</sup>
	7.000	39.42	05/20/20	111.51	72.09 W	0.00	2797 of 3327 - Sale <sup>25</sup>
	8.000	45.05	05/20/20	129.35	84.30 W	0.00	2798 of 3327 - Sale <sup>25</sup>
	10.000	56.31	05/20/20	146.22	89.91 W	0.00	2799 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.30	10.67 W	0.00	2800 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	16.25	10.62 W	0.00	2801 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.16	11.53 W	0.00	2802 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.17	11.54 W	0.00	2803 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.09	11.46 W	0.00	2804 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.36	11.73 W	0.00	2805 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.35	11.72 W	0.00	2806 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.63	05/20/20	17.85	12.22 W	0.00	2807 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.85	12.22 W	0.00	2808 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.74	12.11 W	0.00	2809 of 3327 - Sale <sup>25</sup>
	1.000	5.63	05/20/20	17.83	12.20 W	0.00	2810 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	32.56	21.30 W	0.00	2811 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	34.33	23.07 W	0.00	2812 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	34.18	22.92 W	0.00	2813 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	34.19	22.93 W	0.00	2814 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	34.65	23.39 W	0.00	2815 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	35.04	23.78 W	0.00	2816 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	35.52	24.26 W	0.00	2817 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	35.53	24.27 W	0.00	2818 of 3327 - Sale <sup>25</sup>
	2.000	11.26	05/20/20	35.72	24.46 W	0.00	2819 of 3327 - Sale <sup>25</sup>
	3.000	16.89	05/20/20	48.85	31.96 W	0.00	2820 of 3327 - Sale <sup>25</sup>
	3.000	16.89	05/20/20	52.05	35.16 W	0.00	2821 of 3327 - Sale <sup>25</sup>
	3.000	16.90	05/20/20	53.59	36.69 W	0.00	2822 of 3327 - Sale <sup>25</sup>
	3.000	16.90	05/20/20	53.09	36.19 W	0.00	2823 of 3327 - Sale <sup>25</sup>
	5.000	28.16	05/20/20	80.71	52.55 W	0.00	2824 of 3327 - Sale <sup>25</sup>
	5.000	28.16	05/20/20	89.29	61.13 W	0.00	2825 of 3327 - Sale <sup>25</sup>
	5.000	28.16	05/20/20	88.39	60.23 W	0.00	2826 of 3327 - Sale <sup>25</sup>
	6.000	33.79	05/20/20	96.85	63.06 W	0.00	2827 of 3327 - Sale <sup>25</sup>
	8.000	45.05	05/20/20	137.36	92.31 W	0.00	2828 of 3327 - Sale <sup>25</sup>
	8.000	45.05	05/20/20	136.71	91.66 W	0.00	2829 of 3327 - Sale <sup>25</sup>
	10.000	56.33	05/20/20	178.71	122.38 W	0.00	2830 of 3327 - Sale <sup>25</sup>
	12.000	67.58	05/20/20	205.55	137.97 W	0.00	2831 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	17.65	12.01 W	0.00	2832 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	17.67	12.03 W	0.00	2833 of 3327 - Sale <sup>25</sup>
	1.000	5.64	05/20/20	17.67	12.03 W	0.00	2834 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	35.43	24.15 W	0.00	2835 of 3327 - Sale <sup>25</sup>
	2.000	11.28	05/20/20	35.18	23.90 W	0.00	2836 of 3327 - Sale <sup>25</sup>
	3.000	16.92	05/20/20	53.09	36.17 W	0.00	2837 of 3327 - Sale <sup>25</sup>
	3.000	16.93	05/20/20	52.76	35.83 W	0.00	2838 of 3327 - Sale <sup>25</sup>
	2.000	11.29	05/20/20	34.83	23.54 W	0.00	2839 of 3327 - Sale <sup>25</sup>
	5.000	28.21	05/20/20	88.41	60.20 W	0.00	2840 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	17.42	11.82 W	0.00	2841 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.03	11.43 W	0.00	2842 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.70	11.10 W	0.00	2843 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.06	11.46 W	0.00	2844 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.96	11.36 W	0.00	2845 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.75	12.15 W	0.00	2846 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.49	3.89 W	0.00	2847 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.69	4.09 W	0.00	2848 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.69	4.09 W	0.00	2849 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	9.66	4.06 W	0.00	2850 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	19.24	8.04 W	0.00	2851 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	51.13	34.33 W	0.00	2852 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	50.83	34.03 W	0.00	2853 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	50.91	34.11 W	0.00	2854 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	50.26	33.46 W	0.00	2855 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.22	36.42 W	0.00	2856 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.21	36.41 W	0.00	2857 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.18	36.38 W	0.00	2858 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.05	36.25 W	0.00	2859 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	29.35	12.55 W	0.00	2860 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	29.34	12.54 W	0.00	2861 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	51.15	34.35 W	0.00	2862 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.15	48.75 W	0.00	2863 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	38.75	16.35 W	0.00	2864 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	38.64	16.24 W	0.00	2865 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	39.00	16.60 W	0.00	2866 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	88.90	60.90 W	0.00	2867 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	48.34	20.34 W	0.00	2868 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	106.55	72.95 W	0.00	2869 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	58.52	24.92 W	0.00	2870 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	119.38	80.18 W	0.00	2871 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	123.76	84.56 W	0.00	2872 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	66.53	27.33 W	0.00	2873 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	78.22	33.42 W	0.00	2874 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	50.40	05/20/20	86.16	35.76 W	0.00	2875 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	96.52	40.52 W	0.00	2876 of 3327 - Sale <sup>25</sup>
	11.000	61.60	05/20/20	106.27	44.67 W	0.00	2877 of 3327 - Sale <sup>25</sup>
	11.000	61.60	05/20/20	105.17	43.57 W	0.00	2878 of 3327 - Sale <sup>25</sup>
	16.000	89.59	05/20/20	156.12	66.53 W	0.00	2879 of 3327 - Sale <sup>25</sup>
	21.000	117.59	05/20/20	204.65	87.06 W	0.00	2880 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.10	6.50 W	0.00	2881 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.03	6.43 W	0.00	2882 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.04	6.44 W	0.00	2883 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.10	6.50 W	0.00	2884 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.07	6.47 W	0.00	2885 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.15	6.55 W	0.00	2886 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	11.98	6.38 W	0.00	2887 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.23	7.63 W	0.00	2888 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.34	7.74 W	0.00	2889 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.37	7.77 W	0.00	2890 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.34	7.74 W	0.00	2891 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	23.64	12.44 W	0.00	2892 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.35	15.15 W	0.00	2893 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.14	14.94 W	0.00	2894 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	36.30	19.50 W	0.00	2895 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	35.84	19.04 W	0.00	2896 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.28	22.48 W	0.00	2897 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.35	22.55 W	0.00	2898 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	49.32	26.92 W	0.00	2899 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	65.68	37.68 W	0.00	2900 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	73.99	40.39 W	0.00	2901 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	84.07	44.87 W	0.00	2902 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	93.18	53.98 W	0.00	2903 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	120.36	69.96 W	0.00	2904 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	119.78	63.78 W	0.00	2905 of 3327 - Sale <sup>25</sup>
	13.000	72.79	05/20/20	170.28	97.49 W	0.00	2906 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.18	7.58 W	0.00	2907 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.23	7.63 W	0.00	2908 of 3327 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	13.15	7.55 W	0.00	2909 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.06	7.46 W	0.00	2910 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.92	7.32 W	0.00	2911 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.07	7.47 W	0.00	2912 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.76	7.16 W	0.00	2913 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.21	7.61 W	0.00	2914 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.21	7.61 W	0.00	2915 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.20	7.60 W	0.00	2916 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.20	7.60 W	0.00	2917 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.11	7.51 W	0.00	2918 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.04	7.44 W	0.00	2919 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.05	7.45 W	0.00	2920 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	24.40	13.20 W	0.00	2921 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	25.54	14.34 W	0.00	2922 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.11	14.91 W	0.00	2923 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.56	16.36 W	0.00	2924 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.15	22.35 W	0.00	2925 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.64	22.84 W	0.00	2926 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.22	22.42 W	0.00	2927 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.18	22.38 W	0.00	2928 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.16	22.36 W	0.00	2929 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.15	22.35 W	0.00	2930 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	61.46	33.46 W	0.00	2931 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	62.39	34.39 W	0.00	2932 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	65.27	37.27 W	0.00	2933 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	91.84	52.64 W	0.00	2934 of 3327 - Sale <sup>25</sup>
	8.000	44.79	05/20/20	111.20	66.41 W	0.00	2935 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	120.06	69.66 W	0.00	2936 of 3327 - Sale <sup>25</sup>
	10.000	55.99	05/20/20	138.45	82.46 W	0.00	2937 of 3327 - Sale <sup>25</sup>
	11.000	61.59	05/20/20	143.93	82.34 W	0.00	2938 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.63	8.03 W	0.00	2939 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.66	8.06 W	0.00	2940 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.68	8.08 W	0.00	2941 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.36	7.76 W	0.00	2942 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	13.37	7.77 W	0.00	2943 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.04	7.44 W	0.00	2944 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.06	7.46 W	0.00	2945 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	12.91	7.31 W	0.00	2946 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.15	7.55 W	0.00	2947 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.14	7.54 W	0.00	2948 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.80	8.20 W	0.00	2949 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.73	15.53 W	0.00	2950 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.62	15.42 W	0.00	2951 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.23	15.03 W	0.00	2952 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	25.99	14.79 W	0.00	2953 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	25.84	14.64 W	0.00	2954 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	25.83	14.63 W	0.00	2955 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.60	15.40 W	0.00	2956 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	26.59	15.39 W	0.00	2957 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.64	16.44 W	0.00	2958 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.04	22.24 W	0.00	2959 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	39.79	22.99 W	0.00	2960 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.43	16.23 W	0.00	2961 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	52.21	29.81 W	0.00	2962 of 3327 - Sale <sup>25</sup>
	4.000	22.39	05/20/20	55.33	32.94 W	0.00	2963 of 3327 - Sale <sup>25</sup>
	4.000	22.39	05/20/20	55.06	32.67 W	0.00	2964 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	66.49	38.49 W	0.00	2965 of 3327 - Sale <sup>25</sup>
	5.000	27.99	05/20/20	64.54	36.55 W	0.00	2966 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	66.50	38.50 W	0.00	2967 of 3327 - Sale <sup>25</sup>
	6.000	33.59	05/20/20	78.83	45.24 W	0.00	2968 of 3327 - Sale <sup>25</sup>
	7.000	39.19	05/20/20	90.43	51.24 W	0.00	2969 of 3327 - Sale <sup>25</sup>
	13.000	72.79	05/20/20	169.84	97.05 W	0.00	2970 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.77	8.17 W	0.00	2971 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.74	8.14 W	0.00	2972 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.76	8.16 W	0.00	2973 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.51	16.31 W	0.00	2974 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.72	8.12 W	0.00	2975 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.10	24.30 W	0.00	2976 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	33.60	05/20/20	82.55	48.95 W	0.00	2977 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.75	8.15 W	0.00	2978 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.72	8.12 W	0.00	2979 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.66	8.06 W	0.00	2980 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.94	8.34 W	0.00	2981 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.93	8.33 W	0.00	2982 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.89	8.29 W	0.00	2983 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.90	8.30 W	0.00	2984 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	13.95	8.35 W	0.00	2985 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	15.00	9.40 W	0.00	2986 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.90	9.30 W	0.00	2987 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	14.01	8.41 W	0.00	2988 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.63	16.43 W	0.00	2989 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.93	16.73 W	0.00	2990 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	27.77	16.57 W	0.00	2991 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.25	24.45 W	0.00	2992 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.13	24.33 W	0.00	2993 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	40.86	24.06 W	0.00	2994 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	41.83	25.03 W	0.00	2995 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	54.48	32.08 W	0.00	2996 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	55.84	33.44 W	0.00	2997 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	55.67	33.27 W	0.00	2998 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	55.63	33.23 W	0.00	2999 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	69.67	41.67 W	0.00	3000 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	69.86	41.86 W	0.00	3001 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	89.39	55.79 W	0.00	3002 of 3327 - Sale <sup>25</sup>
	6.000	33.60	05/20/20	84.35	50.75 W	0.00	3003 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	111.78	66.98 W	0.00	3004 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	136.20	80.20 W	0.00	3005 of 3327 - Sale <sup>25</sup>
	40.000	223.98	05/20/20	231.90	7.92 W	0.00	3006 of 3327 - Sale <sup>25</sup>
	10.000	56.00	05/20/20	58.02	2.02 W	0.00	3007 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.89	12.29 W	0.00	3008 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.84	12.24 W	0.00	3009 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.93	12.33 W	0.00	3010 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.60	05/20/20	17.89	12.29 W	0.00	3011 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.96	12.36 W	0.00	3012 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.99	12.39 W	0.00	3013 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	18.01	12.41 W	0.00	3014 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.62	12.02 W	0.00	3015 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.62	12.02 W	0.00	3016 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.39	11.79 W	0.00	3017 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.33	11.73 W	0.00	3018 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.70	2.10 W	0.00	3019 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.63	2.03 W	0.00	3020 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.57	1.97 W	0.00	3021 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.57	1.97 W	0.00	3022 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.50	1.90 W	0.00	3023 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	7.49	1.89 W	0.00	3024 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.35	11.75 W	0.00	3025 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.80	11.20 W	0.00	3026 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.90	11.30 W	0.00	3027 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.05	11.45 W	0.00	3028 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	16.99	11.39 W	0.00	3029 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.02	11.42 W	0.00	3030 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.06	11.46 W	0.00	3031 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	36.04	24.84 W	0.00	3032 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	35.28	24.08 W	0.00	3033 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	14.98	3.78 W	0.00	3034 of 3327 - Sale <sup>25</sup>
	2.000	11.20	05/20/20	33.88	22.68 W	0.00	3035 of 3327 - Sale <sup>25</sup>
	2.000	11.19	05/20/20	34.11	22.92 W	0.00	3036 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	53.61	36.81 W	0.00	3037 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	54.04	37.24 W	0.00	3038 of 3327 - Sale <sup>25</sup>
	3.000	16.80	05/20/20	54.05	37.25 W	0.00	3039 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.32	48.92 W	0.00	3040 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	71.08	48.68 W	0.00	3041 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	69.48	47.08 W	0.00	3042 of 3327 - Sale <sup>25</sup>
	4.000	22.40	05/20/20	30.30	7.90 W	0.00	3043 of 3327 - Sale <sup>25</sup>
	1.000	5.60	05/20/20	17.05	11.45 W	0.00	3044 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	28.00	05/20/20	37.82	9.82 W	0.00	3045 of 3327 - Sale <sup>25</sup>
	5.000	28.00	05/20/20	85.13	57.13 W	0.00	3046 of 3327 - Sale <sup>25</sup>
	7.000	39.20	05/20/20	52.98	13.78 W	0.00	3047 of 3327 - Sale <sup>25</sup>
	8.000	44.80	05/20/20	60.56	15.76 W	0.00	3048 of 3327 - Sale <sup>25</sup>
	9.000	50.40	05/20/20	161.54	111.14 W	0.00	3049 of 3327 - Sale <sup>25</sup>
	9.000	50.39	05/20/20	152.84	102.45 W	0.00	3050 of 3327 - Sale <sup>25</sup>
	16.000	89.60	05/20/20	120.94	31.34 W	0.00	3051 of 3327 - Sale <sup>25</sup>
	20.000	111.99	05/20/20	151.99	40.00 W	0.00	3052 of 3327 - Sale <sup>25</sup>
	61.000	341.58	05/20/20	456.64	115.06 W	0.00	3053 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.55	4.03 W	0.00	3054 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.53	4.01 W	0.00	3055 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.54	4.02 W	0.00	3056 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.57	4.05 W	0.00	3057 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.49	3.97 W	0.00	3058 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.49	3.97 W	0.00	3059 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.51	3.99 W	0.00	3060 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.35	3.83 W	0.00	3061 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.42	3.90 W	0.00	3062 of 3327 - Sale <sup>25</sup>
	1.000	5.52	05/20/20	9.35	3.83 W	0.00	3063 of 3327 - Sale <sup>25</sup>
	2.000	11.04	05/20/20	18.84	7.80 W	0.00	3064 of 3327 - Sale <sup>25</sup>
	5.000	27.61	05/20/20	47.47	19.86 W	0.00	3065 of 3327 - Sale <sup>25</sup>
	6.000	33.13	05/20/20	57.08	23.95 W	0.00	3066 of 3327 - Sale <sup>25</sup>
	7.000	38.65	05/20/20	66.47	27.82 W	0.00	3067 of 3327 - Sale <sup>25</sup>
	8.000	44.17	05/20/20	75.35	31.18 W	0.00	3068 of 3327 - Sale <sup>25</sup>
	9.000	49.69	05/20/20	85.82	36.13 W	0.00	3069 of 3327 - Sale <sup>25</sup>
	9.000	49.69	05/20/20	85.04	35.35 W	0.00	3070 of 3327 - Sale <sup>25</sup>
	10.000	55.21	05/20/20	95.42	40.21 W	0.00	3071 of 3327 - Sale <sup>25</sup>
	10.000	55.21	05/20/20	93.54	38.33 W	0.00	3072 of 3327 - Sale <sup>25</sup>
	11.000	60.73	05/20/20	104.95	44.22 W	0.00	3073 of 3327 - Sale <sup>25</sup>
	13.000	71.77	05/20/20	123.35	51.58 W	0.00	3074 of 3327 - Sale <sup>25</sup>
	11.000	60.73	05/20/20	63.80	3.07 W	0.00	3075 of 3327 - Sale <sup>25</sup>
	12.000	66.25	05/20/20	69.28	3.03 W	0.00	3076 of 3327 - Sale <sup>25</sup>
	27.000	149.07	05/20/20	155.90	6.83 W	0.00	3077 of 3327 - Sale <sup>25</sup>
	50.000	276.06	05/20/20	287.45	11.39 W	0.00	3078 of 3327 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.74	05/20/20	18.21	12.47 W	0.00	3079 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	18.06	12.32 W	0.00	3080 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	18.08	12.34 W	0.00	3081 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	17.93	12.19 W	0.00	3082 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	17.61	11.87 W	0.00	3083 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	17.60	11.86 W	0.00	3084 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	18.92	7.44 W	0.00	3085 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	35.94	24.46 W	0.00	3086 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	35.30	23.82 W	0.00	3087 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	35.23	23.75 W	0.00	3088 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	52.90	35.68 W	0.00	3089 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	54.78	37.56 W	0.00	3090 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	54.65	37.43 W	0.00	3091 of 3327 - Sale <sup>25</sup>
	4.000	22.96	05/20/20	72.91	49.95 W	0.00	3092 of 3327 - Sale <sup>25</sup>
	4.000	22.96	05/20/20	71.19	48.23 W	0.00	3093 of 3327 - Sale <sup>25</sup>
	4.000	22.96	05/20/20	70.00	47.04 W	0.00	3094 of 3327 - Sale <sup>25</sup>
	5.000	28.70	05/20/20	88.25	59.55 W	0.00	3095 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.76	11.02 W	0.00	3096 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.91	11.17 W	0.00	3097 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	33.55	22.07 W	0.00	3098 of 3327 - Sale <sup>25</sup>
	8.000	45.92	05/20/20	139.56	93.64 W	0.00	3099 of 3327 - Sale <sup>25</sup>
	8.000	45.92	05/20/20	139.25	93.33 W	0.00	3100 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.64	10.90 W	0.00	3101 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.76	11.02 W	0.00	3102 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.81	11.07 W	0.00	3103 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.62	10.88 W	0.00	3104 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.65	10.91 W	0.00	3105 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.64	10.90 W	0.00	3106 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.64	10.90 W	0.00	3107 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	33.50	22.02 W	0.00	3108 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	33.36	21.88 W	0.00	3109 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	33.47	21.99 W	0.00	3110 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	50.19	32.97 W	0.00	3111 of 3327 - Sale <sup>25</sup>
	4.000	22.96	05/20/20	66.51	43.55 W	0.00	3112 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.74	05/20/20	16.70	10.96 W	0.00	3113 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.58	10.84 W	0.00	3114 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.51	10.77 W	0.00	3115 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.44	10.70 W	0.00	3116 of 3327 - Sale <sup>25</sup>
2.000		11.48	05/20/20	33.42	21.94 W	0.00	3117 of 3327 - Sale <sup>25</sup>
2.000		11.48	05/20/20	33.42	21.94 W	0.00	3118 of 3327 - Sale <sup>25</sup>
2.000		11.48	05/20/20	33.39	21.91 W	0.00	3119 of 3327 - Sale <sup>25</sup>
2.000		11.48	05/20/20	33.15	21.67 W	0.00	3120 of 3327 - Sale <sup>25</sup>
2.000		11.48	05/20/20	32.92	21.44 W	0.00	3121 of 3327 - Sale <sup>25</sup>
3.000		17.22	05/20/20	49.27	32.05 W	0.00	3122 of 3327 - Sale <sup>25</sup>
3.000		17.22	05/20/20	49.31	32.09 W	0.00	3123 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.43	10.69 W	0.00	3124 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.40	10.66 W	0.00	3125 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.38	10.64 W	0.00	3126 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.36	10.62 W	0.00	3127 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.37	10.63 W	0.00	3128 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.34	10.60 W	0.00	3129 of 3327 - Sale <sup>25</sup>
3.000		17.22	05/20/20	49.01	31.79 W	0.00	3130 of 3327 - Sale <sup>25</sup>
4.000		22.96	05/20/20	66.41	43.45 W	0.00	3131 of 3327 - Sale <sup>25</sup>
7.000		40.18	05/20/20	115.04	74.86 W	0.00	3132 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	16.65	10.91 W	0.00	3133 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.87	10.13 W	0.00	3134 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.92	10.18 W	0.00	3135 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.68	9.94 W	0.00	3136 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.68	9.94 W	0.00	3137 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.74	10.00 W	0.00	3138 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.82	10.08 W	0.00	3139 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.69	9.95 W	0.00	3140 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.64	9.90 W	0.00	3141 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.65	9.91 W	0.00	3142 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.33	9.59 W	0.00	3143 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.35	9.61 W	0.00	3144 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.37	9.63 W	0.00	3145 of 3327 - Sale <sup>25</sup>
1.000		5.74	05/20/20	15.42	9.68 W	0.00	3146 of 3327 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.74	05/20/20	16.05	10.31 W	0.00	3147 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.06	10.32 W	0.00	3148 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	15.96	10.22 W	0.00	3149 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	16.27	10.53 W	0.00	3150 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	14.88	9.14 W	0.00	3151 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	31.78	20.30 W	0.00	3152 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	31.53	20.05 W	0.00	3153 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	31.47	19.99 W	0.00	3154 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	31.08	19.60 W	0.00	3155 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	31.34	19.86 W	0.00	3156 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	31.34	19.86 W	0.00	3157 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	31.98	20.50 W	0.00	3158 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	32.52	21.04 W	0.00	3159 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	32.43	20.95 W	0.00	3160 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	47.56	30.34 W	0.00	3161 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	47.60	30.38 W	0.00	3162 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	46.99	29.77 W	0.00	3163 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	46.98	29.76 W	0.00	3164 of 3327 - Sale <sup>25</sup>
	4.000	22.96	05/20/20	66.96	44.00 W	0.00	3165 of 3327 - Sale <sup>25</sup>
	4.000	22.96	05/20/20	63.11	40.15 W	0.00	3166 of 3327 - Sale <sup>25</sup>
	5.000	28.70	05/20/20	79.41	50.71 W	0.00	3167 of 3327 - Sale <sup>25</sup>
	5.000	28.69	05/20/20	81.26	52.57 W	0.00	3168 of 3327 - Sale <sup>25</sup>
	9.000	51.65	05/20/20	144.43	92.78 W	0.00	3169 of 3327 - Sale <sup>25</sup>
	14.000	80.35	05/20/20	221.09	140.74 W	0.00	3170 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	14.91	9.17 W	0.00	3171 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	14.73	8.99 W	0.00	3172 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	14.76	9.02 W	0.00	3173 of 3327 - Sale <sup>25</sup>
	1.000	5.74	05/20/20	13.33	7.59 W	0.00	3174 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	29.67	18.19 W	0.00	3175 of 3327 - Sale <sup>25</sup>
	2.000	11.48	05/20/20	29.49	18.01 W	0.00	3176 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	43.76	26.54 W	0.00	3177 of 3327 - Sale <sup>25</sup>
	3.000	17.22	05/20/20	39.90	22.68 W	0.00	3178 of 3327 - Sale <sup>25</sup>
	4.000	22.96	05/20/20	59.26	36.30 W	0.00	3179 of 3327 - Sale <sup>25</sup>
	4.000	22.95	05/20/20	55.10	32.15 W	0.00	3180 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	28.69	05/20/20	68.90	40.21 W	0.00	3181 of 3327 - Sale <sup>25</sup>
	5.000	28.69	05/20/20	68.10	39.41 W	0.00	3182 of 3327 - Sale <sup>25</sup>
	8.000	45.91	05/20/20	116.99	71.08 W	0.00	3183 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.32	7.70 W	0.00	3184 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.32	7.70 W	0.00	3185 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.69	9.07 W	0.00	3186 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	15.05	9.43 W	0.00	3187 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	15.02	9.40 W	0.00	3188 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	15.03	9.41 W	0.00	3189 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.93	9.31 W	0.00	3190 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.97	9.35 W	0.00	3191 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.22	8.60 W	0.00	3192 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.10	8.48 W	0.00	3193 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.87	8.25 W	0.00	3194 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.88	8.26 W	0.00	3195 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.88	8.26 W	0.00	3196 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.95	8.33 W	0.00	3197 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.93	8.31 W	0.00	3198 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.91	8.29 W	0.00	3199 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.81	8.19 W	0.00	3200 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.85	8.23 W	0.00	3201 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.82	8.20 W	0.00	3202 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.43	7.81 W	0.00	3203 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	26.80	15.56 W	0.00	3204 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	26.66	15.42 W	0.00	3205 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	30.04	18.80 W	0.00	3206 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	30.03	18.79 W	0.00	3207 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	29.36	18.12 W	0.00	3208 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	28.80	17.56 W	0.00	3209 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	28.43	17.19 W	0.00	3210 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	28.39	17.15 W	0.00	3211 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	27.89	16.65 W	0.00	3212 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	27.62	16.38 W	0.00	3213 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	27.16	15.92 W	0.00	3214 of 3327 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.24	05/20/20	27.13	15.89 W	0.00	3215 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	27.00	15.76 W	0.00	3216 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	26.88	15.64 W	0.00	3217 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	40.08	23.22 W	0.00	3218 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	44.71	27.85 W	0.00	3219 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	43.92	27.06 W	0.00	3220 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	43.76	26.90 W	0.00	3221 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	42.57	25.71 W	0.00	3222 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	42.43	25.57 W	0.00	3223 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	40.74	23.88 W	0.00	3224 of 3327 - Sale <sup>25</sup>
	4.000	22.48	05/20/20	55.50	33.02 W	0.00	3225 of 3327 - Sale <sup>25</sup>
	5.000	28.10	05/20/20	72.94	44.84 W	0.00	3226 of 3327 - Sale <sup>25</sup>
	5.000	28.10	05/20/20	70.23	42.13 W	0.00	3227 of 3327 - Sale <sup>25</sup>
	5.000	28.10	05/20/20	67.79	39.69 W	0.00	3228 of 3327 - Sale <sup>25</sup>
	5.000	28.10	05/20/20	67.02	38.92 W	0.00	3229 of 3327 - Sale <sup>25</sup>
	6.000	33.72	05/20/20	89.93	56.21 W	0.00	3230 of 3327 - Sale <sup>25</sup>
	6.000	33.72	05/20/20	89.81	56.09 W	0.00	3231 of 3327 - Sale <sup>25</sup>
	6.000	33.72	05/20/20	82.86	49.14 W	0.00	3232 of 3327 - Sale <sup>25</sup>
	7.000	39.34	05/20/20	93.09	53.75 W	0.00	3233 of 3327 - Sale <sup>25</sup>
	7.000	39.34	05/20/20	93.43	54.09 W	0.00	3234 of 3327 - Sale <sup>25</sup>
	7.000	39.34	05/20/20	93.81	54.47 W	0.00	3235 of 3327 - Sale <sup>25</sup>
	7.000	39.34	05/20/20	100.57	61.23 W	0.00	3236 of 3327 - Sale <sup>25</sup>
	11.000	61.82	05/20/20	160.51	98.69 W	0.00	3237 of 3327 - Sale <sup>25</sup>
	12.000	67.43	05/20/20	69.94	2.51 W	0.00	3238 of 3327 - Sale <sup>25</sup>
	38.000	213.54	05/20/20	219.61	6.07 W	0.00	3239 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.44	7.82 W	0.00	3240 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.39	7.77 W	0.00	3241 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	12.07	6.45 W	0.00	3242 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	12.38	6.76 W	0.00	3243 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	26.84	15.60 W	0.00	3244 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	26.79	15.55 W	0.00	3245 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	24.67	13.43 W	0.00	3246 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	24.48	13.24 W	0.00	3247 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	24.41	13.17 W	0.00	3248 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.24	05/20/20	24.58	13.34 W	0.00	3249 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	40.26	23.40 W	0.00	3250 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	36.25	19.39 W	0.00	3251 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	36.56	19.70 W	0.00	3252 of 3327 - Sale <sup>25</sup>
	3.000	16.85	05/20/20	36.63	19.78 W	0.00	3253 of 3327 - Sale <sup>25</sup>
	3.000	16.85	05/20/20	36.87	20.02 W	0.00	3254 of 3327 - Sale <sup>25</sup>
	4.000	22.48	05/20/20	48.92	26.44 W	0.00	3255 of 3327 - Sale <sup>25</sup>
	9.000	50.57	05/20/20	120.88	70.31 W	0.00	3256 of 3327 - Sale <sup>25</sup>
	11.000	61.81	05/20/20	147.24	85.43 W	0.00	3257 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	12.35	6.73 W	0.00	3258 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	24.70	13.46 W	0.00	3259 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	12.34	6.72 W	0.00	3260 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	12.36	6.74 W	0.00	3261 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	12.31	6.69 W	0.00	3262 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.26	8.64 W	0.00	3263 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.34	8.72 W	0.00	3264 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.30	8.68 W	0.00	3265 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	24.70	13.46 W	0.00	3266 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	24.62	13.38 W	0.00	3267 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	26.58	15.34 W	0.00	3268 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	26.58	15.34 W	0.00	3269 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	36.91	20.05 W	0.00	3270 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	39.99	23.13 W	0.00	3271 of 3327 - Sale <sup>25</sup>
	6.000	33.72	05/20/20	86.30	52.58 W	0.00	3272 of 3327 - Sale <sup>25</sup>
	9.000	50.58	05/20/20	120.33	69.75 W	0.00	3273 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	42.89	26.03 W	0.00	3274 of 3327 - Sale <sup>25</sup>
	7.000	39.34	05/20/20	100.10	60.76 W	0.00	3275 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	13.67	8.05 W	0.00	3276 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	28.60	17.36 W	0.00	3277 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	28.60	17.36 W	0.00	3278 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	27.31	16.07 W	0.00	3279 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	27.31	16.07 W	0.00	3280 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	42.41	25.55 W	0.00	3281 of 3327 - Sale <sup>25</sup>
	6.000	33.72	05/20/20	85.79	52.07 W	0.00	3282 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	44.96	05/20/20	113.81	68.85 W	0.00	3283 of 3327 - Sale <sup>25</sup>
	9.000	50.58	05/20/20	127.17	76.59 W	0.00	3284 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	16.18	10.56 W	0.00	3285 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	16.19	10.57 W	0.00	3286 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	16.05	10.43 W	0.00	3287 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	16.10	10.48 W	0.00	3288 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	16.11	10.49 W	0.00	3289 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	32.39	21.15 W	0.00	3290 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	32.37	21.13 W	0.00	3291 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	32.37	21.13 W	0.00	3292 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	48.58	31.72 W	0.00	3293 of 3327 - Sale <sup>25</sup>
	4.000	22.48	05/20/20	63.56	41.08 W	0.00	3294 of 3327 - Sale <sup>25</sup>
	5.000	28.09	05/20/20	80.75	52.66 W	0.00	3295 of 3327 - Sale <sup>25</sup>
	6.000	33.71	05/20/20	96.59	62.88 W	0.00	3296 of 3327 - Sale <sup>25</sup>
	7.000	39.33	05/20/20	111.57	72.24 W	0.00	3297 of 3327 - Sale <sup>25</sup>
	8.000	44.95	05/20/20	129.42	84.47 W	0.00	3298 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.23	8.61 W	0.00	3299 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.07	8.45 W	0.00	3300 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.01	8.39 W	0.00	3301 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.00	8.38 W	0.00	3302 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.00	8.38 W	0.00	3303 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.04	8.42 W	0.00	3304 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.59	8.97 W	0.00	3305 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.55	8.93 W	0.00	3306 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.59	8.97 W	0.00	3307 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.94	9.32 W	0.00	3308 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.96	9.34 W	0.00	3309 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.75	9.13 W	0.00	3310 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.77	9.15 W	0.00	3311 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.80	9.18 W	0.00	3312 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	14.82	9.20 W	0.00	3313 of 3327 - Sale <sup>25</sup>
	1.000	5.62	05/20/20	16.11	10.49 W	0.00	3314 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	28.45	17.21 W	0.00	3315 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	29.20	17.96 W	0.00	3316 of 3327 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.24	05/20/20	29.20	17.96 W	0.00	3317 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	29.19	17.95 W	0.00	3318 of 3327 - Sale <sup>25</sup>
	2.000	11.24	05/20/20	29.59	18.35 W	0.00	3319 of 3327 - Sale <sup>25</sup>
	3.000	16.86	05/20/20	43.53	26.67 W	0.00	3320 of 3327 - Sale <sup>25</sup>
	4.000	22.48	05/20/20	55.98	33.50 W	0.00	3321 of 3327 - Sale <sup>25</sup>
	5.000	28.10	05/20/20	70.00	41.90 W	0.00	3322 of 3327 - Sale <sup>25</sup>
	5.000	28.10	05/20/20	72.44	44.34 W	0.00	3323 of 3327 - Sale <sup>25</sup>
	5.000	28.10	05/20/20	74.75	46.65 W	0.00	3324 of 3327 - Sale <sup>25</sup>
	7.000	39.34	05/20/20	104.90	65.56 W	0.00	3325 of 3327 - Sale <sup>25</sup>
	8.000	44.96	05/20/20	111.90	66.94 W	0.00	3326 of 3327 - Sale <sup>25</sup>
	10.000	56.19	05/20/20	146.31	90.12 W	0.00	3327 of 3327 - Sale <sup>25</sup>
05/20/20	14,324.000	79,514.11	Various	167,863.96	88,349.85 W	0.00	Total of 3327 transactions
	281 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	5.19	05/21/20	16.10	10.91 W	0.00	1 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.01	10.82 W	0.00	2 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	15.99	10.80 W	0.00	3 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.05	10.86 W	0.00	4 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.21	11.02 W	0.00	5 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.16	10.97 W	0.00	6 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.07	11.88 W	0.00	7 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.08	11.89 W	0.00	8 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.00	11.81 W	0.00	9 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.27	12.08 W	0.00	10 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.26	12.07 W	0.00	11 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.76	12.57 W	0.00	12 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.76	12.57 W	0.00	13 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.65	12.46 W	0.00	14 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.74	12.55 W	0.00	15 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.55	12.36 W	0.00	16 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	32.10	21.72 W	0.00	17 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	32.38	22.00 W	0.00	18 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	34.15	23.77 W	0.00	19 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	34.00	23.62 W	0.00	20 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	34.01	23.63 W	0.00	21 of 281 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	10.38	05/21/20	34.47	24.09 W	0.00	22 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	34.86	24.48 W	0.00	23 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	35.34	24.96 W	0.00	24 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	35.35	24.97 W	0.00	25 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	35.54	25.16 W	0.00	26 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	35.23	24.85 W	0.00	27 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	48.58	33.01 W	0.00	28 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	51.78	36.21 W	0.00	29 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	53.31	37.74 W	0.00	30 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	52.81	37.24 W	0.00	31 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	52.79	37.22 W	0.00	32 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	52.74	37.17 W	0.00	33 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	88.83	62.87 W	0.00	34 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	87.93	61.97 W	0.00	35 of 281 - Sale <sup>25</sup>
	8.000	41.53	05/21/20	136.63	95.10 W	0.00	36 of 281 - Sale <sup>25</sup>
	8.000	41.53	05/21/20	135.98	94.45 W	0.00	37 of 281 - Sale <sup>25</sup>
	10.000	51.91	05/21/20	177.78	125.87 W	0.00	38 of 281 - Sale <sup>25</sup>
	12.000	62.29	05/21/20	204.45	142.16 W	0.00	39 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.57	12.38 W	0.00	40 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.57	12.38 W	0.00	41 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.36	12.17 W	0.00	42 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.83	12.64 W	0.00	43 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.78	12.59 W	0.00	44 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.87	12.68 W	0.00	45 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.83	12.64 W	0.00	46 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.90	12.71 W	0.00	47 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.93	12.74 W	0.00	48 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.95	12.76 W	0.00	49 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.56	12.37 W	0.00	50 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.56	12.37 W	0.00	51 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.33	12.14 W	0.00	52 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.27	12.08 W	0.00	53 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	35.16	24.78 W	0.00	54 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	34.98	24.60 W	0.00	55 of 281 - Sale <sup>25</sup>

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(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	10.38	05/21/20	34.62	24.24 W	0.00	56 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	35.92	25.54 W	0.00	57 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	35.16	24.78 W	0.00	58 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	52.45	36.88 W	0.00	59 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	53.43	37.86 W	0.00	60 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	53.86	38.29 W	0.00	61 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	53.87	38.30 W	0.00	62 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	71.08	50.31 W	0.00	63 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	70.84	50.07 W	0.00	64 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	69.24	48.47 W	0.00	65 of 281 - Sale <sup>25</sup>
	9.000	46.72	05/21/20	161.00	114.28 W	0.00	66 of 281 - Sale <sup>25</sup>
	43.000	223.22	05/21/20	319.33	96.11 W	0.00	67 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	37.13	11.17 W	0.00	68 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	7.64	2.45 W	0.00	69 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	7.57	2.38 W	0.00	70 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	7.51	2.32 W	0.00	71 of 281 - Sale <sup>25</sup>
	11.000	57.10	05/21/20	82.94	25.84 W	0.00	72 of 281 - Sale <sup>25</sup>
	13.000	67.49	05/21/20	96.54	29.05 W	0.00	73 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	7.51	2.32 W	0.00	74 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	7.44	2.25 W	0.00	75 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	7.43	2.24 W	0.00	76 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.29	12.10 W	0.00	77 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.74	11.55 W	0.00	78 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.84	11.65 W	0.00	79 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.99	11.80 W	0.00	80 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.93	11.74 W	0.00	81 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	14.86	4.48 W	0.00	82 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	33.76	23.38 W	0.00	83 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	30.06	9.29 W	0.00	84 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	37.52	11.56 W	0.00	85 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	84.83	58.87 W	0.00	86 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	52.56	16.22 W	0.00	87 of 281 - Sale <sup>25</sup>
	8.000	41.53	05/21/20	60.08	18.55 W	0.00	88 of 281 - Sale <sup>25</sup>
	9.000	46.72	05/21/20	67.86	21.14 W	0.00	89 of 281 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	46.72	05/21/20	152.31	105.59 W	0.00	90 of 281 - Sale <sup>25</sup>
	16.000	83.06	05/21/20	119.98	36.92 W	0.00	91 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.96	11.77 W	0.00	92 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.00	11.81 W	0.00	93 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.99	11.80 W	0.00	94 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.97	11.78 W	0.00	95 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.64	11.45 W	0.00	96 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.00	11.81 W	0.00	97 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	16.90	11.71 W	0.00	98 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	17.69	12.50 W	0.00	99 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	9.43	4.24 W	0.00	100 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	9.63	4.44 W	0.00	101 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	9.63	4.44 W	0.00	102 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	34.00	23.62 W	0.00	103 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	50.97	35.40 W	0.00	104 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	50.95	35.38 W	0.00	105 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	50.65	35.08 W	0.00	106 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	50.73	35.16 W	0.00	107 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	50.08	34.51 W	0.00	108 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	53.04	37.47 W	0.00	109 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	53.03	37.46 W	0.00	110 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	53.00	37.43 W	0.00	111 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	52.87	37.30 W	0.00	112 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	70.91	50.14 W	0.00	113 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	88.60	62.64 W	0.00	114 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	48.59	22.63 W	0.00	115 of 281 - Sale <sup>25</sup>
	6.000	31.15	05/21/20	106.19	75.04 W	0.00	116 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	118.96	82.62 W	0.00	117 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	123.34	87.00 W	0.00	118 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	66.11	29.77 W	0.00	119 of 281 - Sale <sup>25</sup>
	9.000	46.72	05/21/20	85.62	38.90 W	0.00	120 of 281 - Sale <sup>25</sup>
	10.000	51.91	05/21/20	95.92	44.01 W	0.00	121 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	19.34	8.96 W	0.00	122 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	29.06	13.49 W	0.00	123 of 281 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.19	05/21/20	9.56	4.37 W	0.00	124 of 281 - Sale <sup>25</sup>
	2.000	10.38	05/21/20	19.04	8.66 W	0.00	125 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	29.05	13.48 W	0.00	126 of 281 - Sale <sup>25</sup>
	3.000	15.57	05/21/20	29.04	13.47 W	0.00	127 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	38.35	17.58 W	0.00	128 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	38.24	17.47 W	0.00	129 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	38.60	17.83 W	0.00	130 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	47.84	21.88 W	0.00	131 of 281 - Sale <sup>25</sup>
	6.000	31.15	05/21/20	57.92	26.77 W	0.00	132 of 281 - Sale <sup>25</sup>
	11.000	57.11	05/21/20	105.17	48.06 W	0.00	133 of 281 - Sale <sup>25</sup>
	11.000	57.10	05/21/20	104.07	46.97 W	0.00	134 of 281 - Sale <sup>25</sup>
	14.000	72.68	05/21/20	135.21	62.53 W	0.00	135 of 281 - Sale <sup>25</sup>
	21.000	109.02	05/21/20	202.56	93.54 W	0.00	136 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	12.00	6.81 W	0.00	137 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	11.93	6.74 W	0.00	138 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	11.94	6.75 W	0.00	139 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	12.00	6.81 W	0.00	140 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	11.97	6.78 W	0.00	141 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	12.05	6.86 W	0.00	142 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	11.88	6.69 W	0.00	143 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.13	7.94 W	0.00	144 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.24	8.05 W	0.00	145 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.27	8.08 W	0.00	146 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.24	8.05 W	0.00	147 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.08	7.89 W	0.00	148 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.13	7.94 W	0.00	149 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.05	7.86 W	0.00	150 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	12.96	7.77 W	0.00	151 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	12.82	7.63 W	0.00	152 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	12.97	7.78 W	0.00	153 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	12.66	7.47 W	0.00	154 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.11	7.92 W	0.00	155 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.11	7.92 W	0.00	156 of 281 - Sale <sup>25</sup>
	1.000	5.19	05/21/20	13.10	7.91 W	0.00	157 of 281 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.19	05/21/20	13.10	7.91 W	0.00	158 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.01	7.82 W	0.00	159 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	12.94	7.75 W	0.00	160 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	12.95	7.76 W	0.00	161 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.53	8.34 W	0.00	162 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.56	8.37 W	0.00	163 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.58	8.39 W	0.00	164 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.26	8.07 W	0.00	165 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.27	8.08 W	0.00	166 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	12.94	7.75 W	0.00	167 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	12.96	7.77 W	0.00	168 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	12.81	7.62 W	0.00	169 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.05	7.86 W	0.00	170 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.04	7.85 W	0.00	171 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.70	8.51 W	0.00	172 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.62	8.43 W	0.00	173 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.67	8.48 W	0.00	174 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.64	8.45 W	0.00	175 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.66	8.47 W	0.00	176 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.65	8.46 W	0.00	177 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.62	8.43 W	0.00	178 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.56	8.37 W	0.00	179 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.84	8.65 W	0.00	180 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.83	8.64 W	0.00	181 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.79	8.60 W	0.00	182 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.80	8.61 W	0.00	183 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.85	8.66 W	0.00	184 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	14.90	9.71 W	0.00	185 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	14.80	9.61 W	0.00	186 of 281 - Sale <sup>25</sup>
1.000		5.19	05/21/20	13.91	8.72 W	0.00	187 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	23.44	13.06 W	0.00	188 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	26.15	15.77 W	0.00	189 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	25.94	15.56 W	0.00	190 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	24.20	13.82 W	0.00	191 of 281 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		10.38	05/21/20	25.34	14.96 W	0.00	192 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	25.91	15.53 W	0.00	193 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	27.36	16.98 W	0.00	194 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	26.53	16.15 W	0.00	195 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	26.42	16.04 W	0.00	196 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	26.03	15.65 W	0.00	197 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	25.79	15.41 W	0.00	198 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	25.64	15.26 W	0.00	199 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	25.63	15.25 W	0.00	200 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	26.40	16.02 W	0.00	201 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	26.39	16.01 W	0.00	202 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	27.44	17.06 W	0.00	203 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	27.23	16.85 W	0.00	204 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	27.31	16.93 W	0.00	205 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	27.43	17.05 W	0.00	206 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	27.73	17.35 W	0.00	207 of 281 - Sale <sup>25</sup>
2.000		10.38	05/21/20	27.57	17.19 W	0.00	208 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	36.00	20.43 W	0.00	209 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	35.54	19.97 W	0.00	210 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	38.98	23.41 W	0.00	211 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	39.05	23.48 W	0.00	212 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	38.85	23.28 W	0.00	213 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	39.34	23.77 W	0.00	214 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	38.92	23.35 W	0.00	215 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	38.88	23.31 W	0.00	216 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	38.86	23.29 W	0.00	217 of 281 - Sale <sup>25</sup>
3.000		15.57	05/21/20	38.85	23.28 W	0.00	218 of 281 - Sale <sup>25</sup>
3.000		15.58	05/21/20	38.74	23.16 W	0.00	219 of 281 - Sale <sup>25</sup>
3.000		15.58	05/21/20	39.49	23.91 W	0.00	220 of 281 - Sale <sup>25</sup>
3.000		15.58	05/21/20	40.80	25.22 W	0.00	221 of 281 - Sale <sup>25</sup>
3.000		15.58	05/21/20	40.95	25.37 W	0.00	222 of 281 - Sale <sup>25</sup>
3.000		15.58	05/21/20	40.83	25.25 W	0.00	223 of 281 - Sale <sup>25</sup>
3.000		15.58	05/21/20	40.56	24.98 W	0.00	224 of 281 - Sale <sup>25</sup>
3.000		15.58	05/21/20	41.53	25.95 W	0.00	225 of 281 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	20.77	05/21/20	48.92	28.15 W	0.00	226 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	51.81	31.04 W	0.00	227 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	54.94	34.17 W	0.00	228 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	54.67	33.90 W	0.00	229 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	54.08	33.31 W	0.00	230 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	55.44	34.67 W	0.00	231 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	55.27	34.50 W	0.00	232 of 281 - Sale <sup>25</sup>
	4.000	20.77	05/21/20	55.23	34.46 W	0.00	233 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	65.18	39.22 W	0.00	234 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	60.96	35.00 W	0.00	235 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	61.89	35.93 W	0.00	236 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	64.77	38.81 W	0.00	237 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	65.99	40.03 W	0.00	238 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	64.05	38.09 W	0.00	239 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	66.00	40.04 W	0.00	240 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	69.17	43.21 W	0.00	241 of 281 - Sale <sup>25</sup>
	5.000	25.96	05/21/20	69.36	43.40 W	0.00	242 of 281 - Sale <sup>25</sup>
	6.000	31.15	05/21/20	73.39	42.24 W	0.00	243 of 281 - Sale <sup>25</sup>
	6.000	31.15	05/21/20	78.24	47.09 W	0.00	244 of 281 - Sale <sup>25</sup>
	6.000	31.15	05/21/20	81.95	50.80 W	0.00	245 of 281 - Sale <sup>25</sup>
	6.000	31.15	05/21/20	88.79	57.64 W	0.00	246 of 281 - Sale <sup>25</sup>
	6.000	31.15	05/21/20	83.75	52.60 W	0.00	247 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	83.37	47.03 W	0.00	248 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	92.48	56.14 W	0.00	249 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	91.14	54.80 W	0.00	250 of 281 - Sale <sup>25</sup>
	7.000	36.34	05/21/20	89.74	53.40 W	0.00	251 of 281 - Sale <sup>25</sup>
	8.000	41.53	05/21/20	110.41	68.88 W	0.00	252 of 281 - Sale <sup>25</sup>
	8.000	41.54	05/21/20	110.98	69.44 W	0.00	253 of 281 - Sale <sup>25</sup>
	9.000	46.72	05/21/20	119.46	72.74 W	0.00	254 of 281 - Sale <sup>25</sup>
	9.000	46.72	05/21/20	119.16	72.44 W	0.00	255 of 281 - Sale <sup>25</sup>
	10.000	51.91	05/21/20	118.78	66.87 W	0.00	256 of 281 - Sale <sup>25</sup>
	10.000	51.92	05/21/20	137.46	85.54 W	0.00	257 of 281 - Sale <sup>25</sup>
	10.000	51.92	05/21/20	135.20	83.28 W	0.00	258 of 281 - Sale <sup>25</sup>
	11.000	57.11	05/21/20	142.84	85.73 W	0.00	259 of 281 - Sale <sup>25</sup>

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	13.000	67.49	05/21/20	168.99	101.50 W	0.00	260 of 281 - Sale <sup>25</sup>
	13.000	67.49	05/21/20	168.55	101.06 W	0.00	261 of 281 - Sale <sup>25</sup>
	31.000	160.96	05/21/20	176.64	15.68 W	0.00	262 of 281 - Sale <sup>25</sup>
	1.000	5.02	05/21/20	9.18	4.16 W	0.00	263 of 281 - Sale <sup>25</sup>
	1.000	5.02	05/21/20	9.16	4.14 W	0.00	264 of 281 - Sale <sup>25</sup>
	1.000	5.02	05/21/20	9.17	4.15 W	0.00	265 of 281 - Sale <sup>25</sup>
	1.000	5.02	05/21/20	9.20	4.18 W	0.00	266 of 281 - Sale <sup>25</sup>
	1.000	5.02	05/21/20	9.12	4.10 W	0.00	267 of 281 - Sale <sup>25</sup>
	1.000	5.02	05/21/20	9.12	4.10 W	0.00	268 of 281 - Sale <sup>25</sup>
	1.000	5.02	05/21/20	9.14	4.12 W	0.00	269 of 281 - Sale <sup>25</sup>
	2.000	10.04	05/21/20	18.10	8.06 W	0.00	270 of 281 - Sale <sup>25</sup>
	2.000	10.04	05/21/20	18.10	8.06 W	0.00	271 of 281 - Sale <sup>25</sup>
	5.000	25.11	05/21/20	45.61	20.50 W	0.00	272 of 281 - Sale <sup>25</sup>
	6.000	30.13	05/21/20	54.85	24.72 W	0.00	273 of 281 - Sale <sup>25</sup>
	7.000	35.15	05/21/20	63.87	28.72 W	0.00	274 of 281 - Sale <sup>25</sup>
	9.000	45.19	05/21/20	82.48	37.29 W	0.00	275 of 281 - Sale <sup>25</sup>
	9.000	45.19	05/21/20	81.70	36.51 W	0.00	276 of 281 - Sale <sup>25</sup>
	10.000	50.21	05/21/20	91.71	41.50 W	0.00	277 of 281 - Sale <sup>25</sup>
	11.000	55.23	05/21/20	100.87	45.64 W	0.00	278 of 281 - Sale <sup>25</sup>
	13.000	65.27	05/21/20	118.53	53.26 W	0.00	279 of 281 - Sale <sup>25</sup>
	9.000	45.19	05/21/20	48.13	2.94 W	0.00	280 of 281 - Sale <sup>25</sup>
	10.000	50.21	05/21/20	53.52	3.31 W	0.00	281 of 281 - Sale <sup>25</sup>
05/21/20	1,016.000	5,257.20	Various	12,512.86	7,255.66 W	0.00	Total of 281 transactions
	397 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	5.69	05/26/20	9.56	3.87 W	0.00	1 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	9.63	3.94 W	0.00	2 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	9.56	3.87 W	0.00	3 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	18.20	12.51 W	0.00	4 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	18.05	12.36 W	0.00	5 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	18.07	12.38 W	0.00	6 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	17.92	12.23 W	0.00	7 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	17.60	11.91 W	0.00	8 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	17.59	11.90 W	0.00	9 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.75	11.06 W	0.00	10 of 397 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.69	05/26/20	16.90	11.21 W	0.00	11 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.63	10.94 W	0.00	12 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.75	11.06 W	0.00	13 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.80	11.11 W	0.00	14 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.61	10.92 W	0.00	15 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.64	10.95 W	0.00	16 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.63	10.94 W	0.00	17 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.62	10.93 W	0.00	18 of 397 - Sale <sup>25</sup>
	1.000	5.68	05/26/20	16.69	11.01 W	0.00	19 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	18.90	7.52 W	0.00	20 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	35.92	24.54 W	0.00	21 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	35.28	23.90 W	0.00	22 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	35.21	23.83 W	0.00	23 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.53	22.15 W	0.00	24 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.48	22.10 W	0.00	25 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.33	21.95 W	0.00	26 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.44	22.06 W	0.00	27 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	52.87	35.80 W	0.00	28 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	54.75	37.68 W	0.00	29 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	54.62	37.55 W	0.00	30 of 397 - Sale <sup>25</sup>
	3.000	17.06	05/26/20	50.15	33.09 W	0.00	31 of 397 - Sale <sup>25</sup>
	4.000	22.76	05/26/20	72.86	50.10 W	0.00	32 of 397 - Sale <sup>25</sup>
	4.000	22.76	05/26/20	71.14	48.38 W	0.00	33 of 397 - Sale <sup>25</sup>
	4.000	22.76	05/26/20	69.95	47.19 W	0.00	34 of 397 - Sale <sup>25</sup>
	4.000	22.76	05/26/20	66.46	43.70 W	0.00	35 of 397 - Sale <sup>25</sup>
	5.000	28.45	05/26/20	88.19	59.74 W	0.00	36 of 397 - Sale <sup>25</sup>
	6.000	34.14	05/26/20	57.75	23.61 W	0.00	37 of 397 - Sale <sup>25</sup>
	8.000	45.51	05/26/20	139.47	93.96 W	0.00	38 of 397 - Sale <sup>25</sup>
	8.000	45.52	05/26/20	139.16	93.64 W	0.00	39 of 397 - Sale <sup>25</sup>
	10.000	56.90	05/26/20	95.62	38.72 W	0.00	40 of 397 - Sale <sup>25</sup>
	11.000	62.59	05/26/20	66.09	3.50 W	0.00	41 of 397 - Sale <sup>25</sup>
	12.000	68.28	05/26/20	71.77	3.49 W	0.00	42 of 397 - Sale <sup>25</sup>
	27.000	153.62	05/26/20	161.50	7.88 W	0.00	43 of 397 - Sale <sup>25</sup>
	50.000	284.49	05/26/20	297.83	13.34 W	0.00	44 of 397 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.69	05/26/20	16.66	10.97 W	0.00	45 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.59	10.90 W	0.00	46 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.52	10.83 W	0.00	47 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.51	10.82 W	0.00	48 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.48	10.79 W	0.00	49 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.46	10.77 W	0.00	50 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.44	10.75 W	0.00	51 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.45	10.76 W	0.00	52 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.42	10.73 W	0.00	53 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.73	11.04 W	0.00	54 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.95	10.26 W	0.00	55 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.00	10.31 W	0.00	56 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.76	10.07 W	0.00	57 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.76	10.07 W	0.00	58 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.82	10.13 W	0.00	59 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.90	10.21 W	0.00	60 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.77	10.08 W	0.00	61 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.72	10.03 W	0.00	62 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.73	10.04 W	0.00	63 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.41	9.72 W	0.00	64 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.43	9.74 W	0.00	65 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.45	9.76 W	0.00	66 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	15.50	9.81 W	0.00	67 of 397 - Sale <sup>25</sup>
	1.000	5.68	05/26/20	16.13	10.45 W	0.00	68 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.58	22.20 W	0.00	69 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.58	22.20 W	0.00	70 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.55	22.17 W	0.00	71 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.31	21.93 W	0.00	72 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	33.08	21.70 W	0.00	73 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	31.94	20.56 W	0.00	74 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	31.69	20.31 W	0.00	75 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	31.63	20.25 W	0.00	76 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	31.24	19.86 W	0.00	77 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	31.50	20.12 W	0.00	78 of 397 - Sale <sup>25</sup>

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(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.38	05/26/20	31.50	20.12 W	0.00	79 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	49.51	32.44 W	0.00	80 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	49.55	32.48 W	0.00	81 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	49.25	32.18 W	0.00	82 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	47.80	30.73 W	0.00	83 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	47.84	30.77 W	0.00	84 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	47.23	30.16 W	0.00	85 of 397 - Sale <sup>25</sup>
	3.000	17.06	05/26/20	47.21	30.15 W	0.00	86 of 397 - Sale <sup>25</sup>
	4.000	22.76	05/26/20	66.72	43.96 W	0.00	87 of 397 - Sale <sup>25</sup>
	4.000	22.76	05/26/20	67.27	44.51 W	0.00	88 of 397 - Sale <sup>25</sup>
	4.000	22.76	05/26/20	63.42	40.66 W	0.00	89 of 397 - Sale <sup>25</sup>
	7.000	39.83	05/26/20	115.59	75.76 W	0.00	90 of 397 - Sale <sup>25</sup>
	14.000	79.65	05/26/20	222.20	142.55 W	0.00	91 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.14	10.45 W	0.00	92 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.04	10.35 W	0.00	93 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	16.35	10.66 W	0.00	94 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	14.96	9.27 W	0.00	95 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	14.99	9.30 W	0.00	96 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	14.81	9.12 W	0.00	97 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	14.84	9.15 W	0.00	98 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	13.41	7.72 W	0.00	99 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	13.52	7.83 W	0.00	100 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	13.52	7.83 W	0.00	101 of 397 - Sale <sup>25</sup>
	1.000	5.69	05/26/20	14.88	9.19 W	0.00	102 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	32.14	20.76 W	0.00	103 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	32.68	21.30 W	0.00	104 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	32.59	21.21 W	0.00	105 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	29.83	18.45 W	0.00	106 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	29.65	18.27 W	0.00	107 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	27.20	15.82 W	0.00	108 of 397 - Sale <sup>25</sup>
	2.000	11.38	05/26/20	27.06	15.68 W	0.00	109 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	43.99	26.92 W	0.00	110 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	40.13	23.06 W	0.00	111 of 397 - Sale <sup>25</sup>
	3.000	17.07	05/26/20	40.67	23.60 W	0.00	112 of 397 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
4.000		22.76	05/26/20	59.57	36.81 W	0.00	113 of 397 - Sale <sup>25</sup>
4.000		22.76	05/26/20	55.42	32.66 W	0.00	114 of 397 - Sale <sup>25</sup>
5.000		28.45	05/26/20	79.80	51.35 W	0.00	115 of 397 - Sale <sup>25</sup>
5.000		28.45	05/26/20	81.66	53.21 W	0.00	116 of 397 - Sale <sup>25</sup>
5.000		28.45	05/26/20	69.30	40.85 W	0.00	117 of 397 - Sale <sup>25</sup>
5.000		28.45	05/26/20	68.50	40.05 W	0.00	118 of 397 - Sale <sup>25</sup>
7.000		39.82	05/26/20	94.47	54.65 W	0.00	119 of 397 - Sale <sup>25</sup>
7.000		39.82	05/26/20	94.82	55.00 W	0.00	120 of 397 - Sale <sup>25</sup>
7.000		39.82	05/26/20	95.19	55.37 W	0.00	121 of 397 - Sale <sup>25</sup>
8.000		45.52	05/26/20	117.62	72.10 W	0.00	122 of 397 - Sale <sup>25</sup>
9.000		51.21	05/26/20	145.14	93.93 W	0.00	123 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	14.98	9.51 W	0.00	124 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	14.95	9.48 W	0.00	125 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	14.96	9.49 W	0.00	126 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	14.86	9.39 W	0.00	127 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	14.90	9.43 W	0.00	128 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	14.15	8.68 W	0.00	129 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	14.03	8.56 W	0.00	130 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.80	8.33 W	0.00	131 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.81	8.34 W	0.00	132 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.81	8.34 W	0.00	133 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.88	8.41 W	0.00	134 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.86	8.39 W	0.00	135 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.84	8.37 W	0.00	136 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.74	8.27 W	0.00	137 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.78	8.31 W	0.00	138 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.75	8.28 W	0.00	139 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.36	7.89 W	0.00	140 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.37	7.90 W	0.00	141 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	13.32	7.85 W	0.00	142 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	12.00	6.53 W	0.00	143 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	12.01	6.54 W	0.00	144 of 397 - Sale <sup>25</sup>
2.000		10.94	05/26/20	29.90	18.96 W	0.00	145 of 397 - Sale <sup>25</sup>
2.000		10.94	05/26/20	29.89	18.95 W	0.00	146 of 397 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	10.94	05/26/20	29.22	18.28 W	0.00	147 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	28.66	17.72 W	0.00	148 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	28.29	17.35 W	0.00	149 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	28.25	17.31 W	0.00	150 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	27.75	16.81 W	0.00	151 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	27.48	16.54 W	0.00	152 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	27.02	16.08 W	0.00	153 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	26.99	16.05 W	0.00	154 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	26.86	15.92 W	0.00	155 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	26.74	15.80 W	0.00	156 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	26.70	15.76 W	0.00	157 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	26.65	15.71 W	0.00	158 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	44.50	28.09 W	0.00	159 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	43.71	27.30 W	0.00	160 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	43.55	27.14 W	0.00	161 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	42.36	25.95 W	0.00	162 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	42.22	25.81 W	0.00	163 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	40.53	24.12 W	0.00	164 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	40.04	23.63 W	0.00	165 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	55.21	33.33 W	0.00	166 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	72.58	45.23 W	0.00	167 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	69.87	42.52 W	0.00	168 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	67.43	40.08 W	0.00	169 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	66.66	39.31 W	0.00	170 of 397 - Sale <sup>25</sup>
	6.000	32.82	05/26/20	89.50	56.68 W	0.00	171 of 397 - Sale <sup>25</sup>
	6.000	32.82	05/26/20	89.38	56.56 W	0.00	172 of 397 - Sale <sup>25</sup>
	6.000	32.82	05/26/20	82.43	49.61 W	0.00	173 of 397 - Sale <sup>25</sup>
	7.000	38.29	05/26/20	100.07	61.78 W	0.00	174 of 397 - Sale <sup>25</sup>
	9.000	49.23	05/26/20	120.24	71.01 W	0.00	175 of 397 - Sale <sup>25</sup>
	11.000	60.18	05/26/20	159.72	99.54 W	0.00	176 of 397 - Sale <sup>25</sup>
	11.000	60.18	05/26/20	146.45	86.27 W	0.00	177 of 397 - Sale <sup>25</sup>
	12.000	65.65	05/26/20	69.09	3.44 W	0.00	178 of 397 - Sale <sup>25</sup>
	38.000	207.88	05/26/20	216.90	9.02 W	0.00	179 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	24.06	13.12 W	0.00	180 of 397 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	10.94	05/26/20	24.56	13.62 W	0.00	181 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	24.37	13.43 W	0.00	182 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	24.30	13.36 W	0.00	183 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	24.47	13.53 W	0.00	184 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	36.40	19.99 W	0.00	185 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	36.47	20.06 W	0.00	186 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	48.70	26.82 W	0.00	187 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	12.37	6.90 W	0.00	188 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	12.34	6.87 W	0.00	189 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	12.33	6.86 W	0.00	190 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	12.35	6.88 W	0.00	191 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	12.30	6.83 W	0.00	192 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.25	8.78 W	0.00	193 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.33	8.86 W	0.00	194 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.29	8.82 W	0.00	195 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	13.66	8.19 W	0.00	196 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.22	8.75 W	0.00	197 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.06	8.59 W	0.00	198 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.00	8.53 W	0.00	199 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	13.99	8.52 W	0.00	200 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	13.99	8.52 W	0.00	201 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.03	8.56 W	0.00	202 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.58	9.11 W	0.00	203 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.54	9.07 W	0.00	204 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.58	9.11 W	0.00	205 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.93	9.46 W	0.00	206 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.95	9.48 W	0.00	207 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.74	9.27 W	0.00	208 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.76	9.29 W	0.00	209 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.79	9.32 W	0.00	210 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	14.81	9.34 W	0.00	211 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	16.10	10.63 W	0.00	212 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	16.17	10.70 W	0.00	213 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	16.18	10.71 W	0.00	214 of 397 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		5.47	05/26/20	16.04	10.57 W	0.00	215 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.09	10.62 W	0.00	216 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.10	10.63 W	0.00	217 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.52	11.05 W	0.00	218 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.43	10.96 W	0.00	219 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.41	10.94 W	0.00	220 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.47	11.00 W	0.00	221 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.63	11.16 W	0.00	222 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	16.58	11.11 W	0.00	223 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.49	12.02 W	0.00	224 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.50	12.03 W	0.00	225 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.42	11.95 W	0.00	226 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.69	12.22 W	0.00	227 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.68	12.21 W	0.00	228 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.18	12.71 W	0.00	229 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.18	12.71 W	0.00	230 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.07	12.60 W	0.00	231 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.16	12.69 W	0.00	232 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.97	12.50 W	0.00	233 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.99	12.52 W	0.00	234 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.99	12.52 W	0.00	235 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.78	12.31 W	0.00	236 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.25	12.78 W	0.00	237 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.20	12.73 W	0.00	238 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.29	12.82 W	0.00	239 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.25	12.78 W	0.00	240 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.32	12.85 W	0.00	241 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.35	12.88 W	0.00	242 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	18.37	12.90 W	0.00	243 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.98	12.51 W	0.00	244 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.98	12.51 W	0.00	245 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.75	12.28 W	0.00	246 of 397 - Sale <sup>25</sup>
1.000		5.47	05/26/20	17.69	12.22 W	0.00	247 of 397 - Sale <sup>25</sup>
2.000		10.94	05/26/20	24.68	13.74 W	0.00	248 of 397 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	10.94	05/26/20	24.68	13.74 W	0.00	249 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	24.60	13.66 W	0.00	250 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	26.56	15.62 W	0.00	251 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	26.56	15.62 W	0.00	252 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	28.58	17.64 W	0.00	253 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	28.58	17.64 W	0.00	254 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	27.29	16.35 W	0.00	255 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	27.29	16.35 W	0.00	256 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	28.43	17.49 W	0.00	257 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	29.18	18.24 W	0.00	258 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	29.18	18.24 W	0.00	259 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	29.17	18.23 W	0.00	260 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	29.57	18.63 W	0.00	261 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	32.37	21.43 W	0.00	262 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	32.35	21.41 W	0.00	263 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	32.35	21.41 W	0.00	264 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	32.94	22.00 W	0.00	265 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	33.22	22.28 W	0.00	266 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	34.99	24.05 W	0.00	267 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	34.84	23.90 W	0.00	268 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	34.85	23.91 W	0.00	269 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	35.31	24.37 W	0.00	270 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	35.70	24.76 W	0.00	271 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	36.18	25.24 W	0.00	272 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	36.19	25.25 W	0.00	273 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	36.38	25.44 W	0.00	274 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	36.07	25.13 W	0.00	275 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	36.00	25.06 W	0.00	276 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	35.82	24.88 W	0.00	277 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	35.46	24.52 W	0.00	278 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	36.76	25.82 W	0.00	279 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	36.00	25.06 W	0.00	280 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	36.85	20.44 W	0.00	281 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	36.88	20.47 W	0.00	282 of 397 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	16.41	05/26/20	39.96	23.55 W	0.00	283 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	42.86	26.45 W	0.00	284 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	42.38	25.97 W	0.00	285 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	43.50	27.09 W	0.00	286 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	48.55	32.14 W	0.00	287 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	49.84	33.43 W	0.00	288 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	53.04	36.63 W	0.00	289 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.57	38.16 W	0.00	290 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.07	37.66 W	0.00	291 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.05	37.64 W	0.00	292 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.00	37.59 W	0.00	293 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	53.71	37.30 W	0.00	294 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.69	38.28 W	0.00	295 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	55.12	38.71 W	0.00	296 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	55.13	38.72 W	0.00	297 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	55.94	34.06 W	0.00	298 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	63.52	41.64 W	0.00	299 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	72.75	50.87 W	0.00	300 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	72.51	50.63 W	0.00	301 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	70.91	49.03 W	0.00	302 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	69.95	42.60 W	0.00	303 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	72.39	45.04 W	0.00	304 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	74.70	47.35 W	0.00	305 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	80.71	53.36 W	0.00	306 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	90.92	63.57 W	0.00	307 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	90.02	62.67 W	0.00	308 of 397 - Sale <sup>25</sup>
	6.000	32.82	05/26/20	86.24	53.42 W	0.00	309 of 397 - Sale <sup>25</sup>
	6.000	32.82	05/26/20	85.73	52.91 W	0.00	310 of 397 - Sale <sup>25</sup>
	6.000	32.82	05/26/20	96.54	63.72 W	0.00	311 of 397 - Sale <sup>25</sup>
	7.000	38.29	05/26/20	100.03	61.74 W	0.00	312 of 397 - Sale <sup>25</sup>
	7.000	38.29	05/26/20	104.83	66.54 W	0.00	313 of 397 - Sale <sup>25</sup>
	7.000	38.29	05/26/20	111.51	73.22 W	0.00	314 of 397 - Sale <sup>25</sup>
	8.000	43.76	05/26/20	113.73	69.97 W	0.00	315 of 397 - Sale <sup>25</sup>
	8.000	43.76	05/26/20	111.82	68.06 W	0.00	316 of 397 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	43.77	05/26/20	129.35	85.58 W	0.00	317 of 397 - Sale <sup>25</sup>
	8.000	43.77	05/26/20	139.98	96.21 W	0.00	318 of 397 - Sale <sup>25</sup>
	8.000	43.77	05/26/20	139.33	95.56 W	0.00	319 of 397 - Sale <sup>25</sup>
	9.000	49.23	05/26/20	120.24	71.01 W	0.00	320 of 397 - Sale <sup>25</sup>
	9.000	49.24	05/26/20	127.08	77.84 W	0.00	321 of 397 - Sale <sup>25</sup>
	9.000	49.24	05/26/20	164.77	115.53 W	0.00	322 of 397 - Sale <sup>25</sup>
	10.000	54.71	05/26/20	146.22	91.51 W	0.00	323 of 397 - Sale <sup>25</sup>
	10.000	54.71	05/26/20	181.97	127.26 W	0.00	324 of 397 - Sale <sup>25</sup>
	12.000	65.65	05/26/20	209.48	143.83 W	0.00	325 of 397 - Sale <sup>25</sup>
	35.000	191.48	05/26/20	274.58	83.10 W	0.00	326 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	8.07	2.60 W	0.00	327 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	8.00	2.53 W	0.00	328 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	7.94	2.47 W	0.00	329 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	7.94	2.47 W	0.00	330 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	7.87	2.40 W	0.00	331 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	7.86	2.39 W	0.00	332 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.72	12.25 W	0.00	333 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.17	11.70 W	0.00	334 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.27	11.80 W	0.00	335 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.35	11.88 W	0.00	336 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	15.72	4.78 W	0.00	337 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	34.62	23.68 W	0.00	338 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	31.77	9.89 W	0.00	339 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	39.27	11.92 W	0.00	340 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	39.66	12.31 W	0.00	341 of 397 - Sale <sup>25</sup>
	7.000	38.30	05/26/20	55.56	17.26 W	0.00	342 of 397 - Sale <sup>25</sup>
	8.000	43.77	05/26/20	62.84	19.07 W	0.00	343 of 397 - Sale <sup>25</sup>
	8.000	43.77	05/26/20	63.51	19.74 W	0.00	344 of 397 - Sale <sup>25</sup>
	9.000	49.24	05/26/20	71.72	22.48 W	0.00	345 of 397 - Sale <sup>25</sup>
	11.000	60.18	05/26/20	87.66	27.48 W	0.00	346 of 397 - Sale <sup>25</sup>
	13.000	71.12	05/26/20	102.11	30.99 W	0.00	347 of 397 - Sale <sup>25</sup>
	16.000	87.53	05/26/20	126.84	39.31 W	0.00	348 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.34	11.87 W	0.00	349 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.28	11.81 W	0.00	350 of 397 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.47	05/26/20	17.31	11.84 W	0.00	351 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.35	11.88 W	0.00	352 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.34	11.87 W	0.00	353 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.32	11.85 W	0.00	354 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	16.99	11.52 W	0.00	355 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.35	11.88 W	0.00	356 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	17.25	11.78 W	0.00	357 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	18.04	12.57 W	0.00	358 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	9.78	4.31 W	0.00	359 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	9.98	4.51 W	0.00	360 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	9.98	4.51 W	0.00	361 of 397 - Sale <sup>25</sup>
	1.000	5.47	05/26/20	9.91	4.44 W	0.00	362 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	34.70	23.76 W	0.00	363 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	20.04	9.10 W	0.00	364 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	19.74	8.80 W	0.00	365 of 397 - Sale <sup>25</sup>
	2.000	10.94	05/26/20	19.62	8.68 W	0.00	366 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	52.02	35.61 W	0.00	367 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	52.00	35.59 W	0.00	368 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	51.70	35.29 W	0.00	369 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	51.78	35.37 W	0.00	370 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	51.13	34.72 W	0.00	371 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.09	37.68 W	0.00	372 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.08	37.67 W	0.00	373 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	54.05	37.64 W	0.00	374 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	53.92	37.51 W	0.00	375 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	30.11	13.70 W	0.00	376 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	30.10	13.69 W	0.00	377 of 397 - Sale <sup>25</sup>
	3.000	16.41	05/26/20	30.09	13.68 W	0.00	378 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	72.30	50.42 W	0.00	379 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	39.74	17.86 W	0.00	380 of 397 - Sale <sup>25</sup>
	4.000	21.89	05/26/20	39.63	17.74 W	0.00	381 of 397 - Sale <sup>25</sup>
	4.000	21.88	05/26/20	39.99	18.11 W	0.00	382 of 397 - Sale <sup>25</sup>
	5.000	27.35	05/26/20	86.57	59.22 W	0.00	383 of 397 - Sale <sup>25</sup>
	5.000	27.36	05/26/20	90.34	62.98 W	0.00	384 of 397 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	27.36	05/26/20	50.33	22.97 W	0.00	385 of 397 - Sale <sup>25</sup>
	5.000	27.36	05/26/20	49.58	22.22 W	0.00	386 of 397 - Sale <sup>25</sup>
	6.000	32.83	05/26/20	108.28	75.45 W	0.00	387 of 397 - Sale <sup>25</sup>
	6.000	32.83	05/26/20	60.01	27.18 W	0.00	388 of 397 - Sale <sup>25</sup>
	7.000	38.30	05/26/20	121.40	83.10 W	0.00	389 of 397 - Sale <sup>25</sup>
	7.000	38.30	05/26/20	125.78	87.48 W	0.00	390 of 397 - Sale <sup>25</sup>
	7.000	38.30	05/26/20	68.55	30.25 W	0.00	391 of 397 - Sale <sup>25</sup>
	8.000	43.77	05/26/20	138.18	94.41 W	0.00	392 of 397 - Sale <sup>25</sup>
	9.000	49.24	05/26/20	88.76	39.52 W	0.00	393 of 397 - Sale <sup>25</sup>
	10.000	54.71	05/26/20	99.41	44.70 W	0.00	394 of 397 - Sale <sup>25</sup>
	11.000	60.18	05/26/20	109.00	48.82 W	0.00	395 of 397 - Sale <sup>25</sup>
	14.000	76.60	05/26/20	140.09	63.49 W	0.00	396 of 397 - Sale <sup>25</sup>
	21.000	114.90	05/26/20	209.88	94.98 W	0.00	397 of 397 - Sale <sup>25</sup>
05/26/20	1,320.000	7,308.70	Various	17,432.67	10,123.97 W	0.00	Total of 397 transactions
	118 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	4.97	05/28/20	9.23	4.26 W	0.00	1 of 118 - Sale <sup>25</sup>
	8.000	39.76	05/28/20	73.84	34.08 W	0.00	2 of 118 - Sale <sup>25</sup>
	4.000	19.88	05/28/20	47.99	28.11 W	0.00	3 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.77	6.80 W	0.00	4 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.70	6.73 W	0.00	5 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.71	6.74 W	0.00	6 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.77	6.80 W	0.00	7 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	23.54	13.60 W	0.00	8 of 118 - Sale <sup>25</sup>
	6.000	29.82	05/28/20	72.00	42.18 W	0.00	9 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.74	6.77 W	0.00	10 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.82	6.85 W	0.00	11 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.65	6.68 W	0.00	12 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	22.98	13.04 W	0.00	13 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	11.77	6.80 W	0.00	14 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	34.85	19.94 W	0.00	15 of 118 - Sale <sup>25</sup>
	7.000	34.79	05/28/20	81.75	46.96 W	0.00	16 of 118 - Sale <sup>25</sup>
	10.000	49.70	05/28/20	116.47	66.77 W	0.00	17 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.90	7.93 W	0.00	18 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.01	8.04 W	0.00	19 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.97	05/28/20	13.04	8.07 W	0.00	20 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.01	8.04 W	0.00	21 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.85	7.88 W	0.00	22 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.90	7.93 W	0.00	23 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.82	7.85 W	0.00	24 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.73	7.76 W	0.00	25 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.59	7.62 W	0.00	26 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.74	7.77 W	0.00	27 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.43	7.46 W	0.00	28 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.88	7.91 W	0.00	29 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.88	7.91 W	0.00	30 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.87	7.90 W	0.00	31 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.87	7.90 W	0.00	32 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.78	7.81 W	0.00	33 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.69	15.75 W	0.00	34 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.48	15.54 W	0.00	35 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	23.74	13.80 W	0.00	36 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	24.88	14.94 W	0.00	37 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.29	23.38 W	0.00	38 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.36	23.45 W	0.00	39 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.16	23.25 W	0.00	40 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.65	23.74 W	0.00	41 of 118 - Sale <sup>25</sup>
	5.000	24.85	05/28/20	64.02	39.17 W	0.00	42 of 118 - Sale <sup>25</sup>
	5.000	24.85	05/28/20	59.80	34.95 W	0.00	43 of 118 - Sale <sup>25</sup>
	5.000	24.85	05/28/20	60.73	35.88 W	0.00	44 of 118 - Sale <sup>25</sup>
	7.000	34.79	05/28/20	90.86	56.07 W	0.00	45 of 118 - Sale <sup>25</sup>
	9.000	44.73	05/28/20	117.38	72.65 W	0.00	46 of 118 - Sale <sup>25</sup>
	9.000	44.73	05/28/20	117.08	72.35 W	0.00	47 of 118 - Sale <sup>25</sup>
	11.000	54.67	05/28/20	140.29	85.62 W	0.00	48 of 118 - Sale <sup>25</sup>
	13.000	64.61	05/28/20	165.98	101.37 W	0.00	49 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.73	7.76 W	0.00	50 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.74	7.77 W	0.00	51 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.32	8.35 W	0.00	52 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.35	8.38 W	0.00	53 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.97	05/28/20	13.37	8.40 W	0.00	54 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.05	8.08 W	0.00	55 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.06	8.09 W	0.00	56 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.73	7.76 W	0.00	57 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.75	7.78 W	0.00	58 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.60	7.63 W	0.00	59 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.49	15.55 W	0.00	60 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	26.94	17.00 W	0.00	61 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	26.11	16.17 W	0.00	62 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	26.00	16.06 W	0.00	63 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.61	15.67 W	0.00	64 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.37	15.43 W	0.00	65 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.22	15.28 W	0.00	66 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.21	15.27 W	0.00	67 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.29	23.38 W	0.00	68 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.25	23.34 W	0.00	69 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.23	23.32 W	0.00	70 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.22	23.31 W	0.00	71 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.10	23.19 W	0.00	72 of 118 - Sale <sup>25</sup>
	4.000	19.88	05/28/20	50.96	31.08 W	0.00	73 of 118 - Sale <sup>25</sup>
	5.000	24.85	05/28/20	63.71	38.86 W	0.00	74 of 118 - Sale <sup>25</sup>
	5.000	24.85	05/28/20	64.93	40.08 W	0.00	75 of 118 - Sale <sup>25</sup>
	7.000	34.79	05/28/20	89.66	54.87 W	0.00	76 of 118 - Sale <sup>25</sup>
	7.000	34.79	05/28/20	88.26	53.47 W	0.00	77 of 118 - Sale <sup>25</sup>
	8.000	39.76	05/28/20	108.72	68.96 W	0.00	78 of 118 - Sale <sup>25</sup>
	10.000	49.70	05/28/20	135.34	85.64 W	0.00	79 of 118 - Sale <sup>25</sup>
	13.000	64.60	05/28/20	165.80	101.20 W	0.00	80 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.84	7.87 W	0.00	81 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	12.83	7.86 W	0.00	82 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.49	8.52 W	0.00	83 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.41	8.44 W	0.00	84 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.46	8.49 W	0.00	85 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.43	8.46 W	0.00	86 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.45	8.48 W	0.00	87 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.97	05/28/20	13.44	8.47 W	0.00	88 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.41	8.44 W	0.00	89 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.35	8.38 W	0.00	90 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.63	8.66 W	0.00	91 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.62	8.65 W	0.00	92 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.58	8.61 W	0.00	93 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.59	8.62 W	0.00	94 of 118 - Sale <sup>25</sup>
	1.000	4.97	05/28/20	13.64	8.67 W	0.00	95 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.98	16.04 W	0.00	96 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	25.97	16.03 W	0.00	97 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	27.02	17.08 W	0.00	98 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	26.81	16.87 W	0.00	99 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	26.89	16.95 W	0.00	100 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	27.01	17.07 W	0.00	101 of 118 - Sale <sup>25</sup>
	2.000	9.94	05/28/20	27.31	17.37 W	0.00	102 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	38.85	23.94 W	0.00	103 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	40.16	25.25 W	0.00	104 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	40.31	25.40 W	0.00	105 of 118 - Sale <sup>25</sup>
	3.000	14.91	05/28/20	40.19	25.28 W	0.00	106 of 118 - Sale <sup>25</sup>
	3.000	14.90	05/28/20	39.92	25.02 W	0.00	107 of 118 - Sale <sup>25</sup>
	3.000	14.90	05/28/20	40.89	25.99 W	0.00	108 of 118 - Sale <sup>25</sup>
	4.000	19.88	05/28/20	54.09	34.21 W	0.00	109 of 118 - Sale <sup>25</sup>
	4.000	19.88	05/28/20	53.82	33.94 W	0.00	110 of 118 - Sale <sup>25</sup>
	4.000	19.87	05/28/20	53.23	33.36 W	0.00	111 of 118 - Sale <sup>25</sup>
	4.000	19.88	05/28/20	54.59	34.71 W	0.00	112 of 118 - Sale <sup>25</sup>
	5.000	24.85	05/28/20	62.99	38.14 W	0.00	113 of 118 - Sale <sup>25</sup>
	5.000	24.85	05/28/20	64.94	40.09 W	0.00	114 of 118 - Sale <sup>25</sup>
	5.000	24.84	05/28/20	68.11	43.27 W	0.00	115 of 118 - Sale <sup>25</sup>
	6.000	29.82	05/28/20	76.97	47.15 W	0.00	116 of 118 - Sale <sup>25</sup>
	6.000	29.81	05/28/20	80.68	50.87 W	0.00	117 of 118 - Sale <sup>25</sup>
	10.000	49.68	05/28/20	133.08	83.40 W	0.00	118 of 118 - Sale <sup>25</sup>
05/28/20	351.000	1,744.39	Various	4,476.37	2,731.98 W	0.00	Total of 118 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
<i>233 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
12.000		59.89	05/29/20	204.55	...	-144.66	1 of 233 - Sale <sup>25</sup>
10.000		49.91	05/29/20	177.86	...	-127.95	2 of 233 - Sale <sup>25</sup>
9.000		44.92	05/29/20	161.07	...	-116.15	3 of 233 - Sale <sup>25</sup>
8.000		39.93	05/29/20	136.69	...	-96.76	4 of 233 - Sale <sup>25</sup>
21.000		104.82	05/29/20	201.24	...	-96.42	5 of 233 - Sale <sup>25</sup>
8.000		39.93	05/29/20	136.04	...	-96.11	6 of 233 - Sale <sup>25</sup>
8.000		39.93	05/29/20	134.89	...	-94.96	7 of 233 - Sale <sup>25</sup>
10.000		50.29	05/29/20	141.41	...	-91.12	8 of 233 - Sale <sup>25</sup>
7.000		34.94	05/29/20	122.90	...	-87.96	9 of 233 - Sale <sup>25</sup>
11.000		55.33	05/29/20	141.16	...	-85.83	10 of 233 - Sale <sup>25</sup>
35.000		174.69	05/29/20	260.20	...	-85.51	11 of 233 - Sale <sup>25</sup>
8.000		40.23	05/29/20	125.50	...	-85.27	12 of 233 - Sale <sup>25</sup>
7.000		34.94	05/29/20	118.52	...	-83.58	13 of 233 - Sale <sup>25</sup>
9.000		45.26	05/29/20	122.75	...	-77.49	14 of 233 - Sale <sup>25</sup>
6.000		29.95	05/29/20	105.81	...	-75.86	15 of 233 - Sale <sup>25</sup>
7.000		35.20	05/29/20	108.15	...	-72.95	16 of 233 - Sale <sup>25</sup>
9.000		45.26	05/29/20	115.92	...	-70.66	17 of 233 - Sale <sup>25</sup>
8.000		40.23	05/29/20	109.89	...	-69.66	18 of 233 - Sale <sup>25</sup>
8.000		40.23	05/29/20	107.98	...	-67.75	19 of 233 - Sale <sup>25</sup>
7.000		35.20	05/29/20	101.47	...	-66.27	20 of 233 - Sale <sup>25</sup>
14.000		69.88	05/29/20	134.33	...	-64.45	21 of 233 - Sale <sup>25</sup>
5.000		24.96	05/29/20	88.87	...	-63.91	22 of 233 - Sale <sup>25</sup>
6.000		30.24	05/29/20	93.66	...	-63.42	23 of 233 - Sale <sup>25</sup>
5.000		24.96	05/29/20	88.28	...	-63.32	24 of 233 - Sale <sup>25</sup>
5.000		24.96	05/29/20	87.97	...	-63.01	25 of 233 - Sale <sup>25</sup>
7.000		35.21	05/29/20	96.67	...	-61.46	26 of 233 - Sale <sup>25</sup>
5.000		24.96	05/29/20	84.52	...	-59.56	27 of 233 - Sale <sup>25</sup>
6.000		30.18	05/29/20	83.36	...	-53.18	28 of 233 - Sale <sup>25</sup>
5.000		25.20	05/29/20	78.31	...	-53.11	29 of 233 - Sale <sup>25</sup>
6.000		30.18	05/29/20	82.85	...	-52.67	30 of 233 - Sale <sup>25</sup>
4.000		19.96	05/29/20	71.11	...	-51.15	31 of 233 - Sale <sup>25</sup>
4.000		19.97	05/29/20	70.87	...	-50.90	32 of 233 - Sale <sup>25</sup>
4.000		19.97	05/29/20	70.66	...	-50.69	33 of 233 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	11.000	54.91	05/29/20	104.48	...	-49.57	34 of 233 - Sale <sup>25</sup>
	4.000	19.97	05/29/20	69.27	...	-49.30	35 of 233 - Sale <sup>25</sup>
	5.000	25.14	05/29/20	72.30	...	-47.16	36 of 233 - Sale <sup>25</sup>
	10.000	49.92	05/29/20	95.30	...	-45.38	37 of 233 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	69.99	...	-44.84	38 of 233 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	67.55	...	-42.40	39 of 233 - Sale <sup>25</sup>
	4.000	20.11	05/29/20	61.60	...	-41.49	40 of 233 - Sale <sup>25</sup>
	16.000	79.86	05/29/20	120.27	...	-40.41	41 of 233 - Sale <sup>25</sup>
	9.000	44.92	05/29/20	85.06	...	-40.14	42 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	53.90	...	-38.93	43 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	53.89	...	-38.92	44 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	53.46	...	-38.49	45 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	53.34	...	-38.37	46 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.86	...	-37.89	47 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.85	...	-37.88	48 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.84	...	-37.87	49 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.82	...	-37.85	50 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.82	...	-37.85	51 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.77	...	-37.80	52 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.69	...	-37.72	53 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	52.48	...	-37.51	54 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	51.81	...	-36.84	55 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	50.79	...	-35.82	56 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	50.77	...	-35.80	57 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	50.55	...	-35.58	58 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	50.47	...	-35.50	59 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	49.90	...	-34.93	60 of 233 - Sale <sup>25</sup>
	8.000	39.93	05/29/20	74.56	...	-34.63	61 of 233 - Sale <sup>25</sup>
	4.000	20.12	05/29/20	54.02	...	-33.90	62 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	48.61	...	-33.64	63 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	47.11	...	-32.02	64 of 233 - Sale <sup>25</sup>
	13.000	64.89	05/29/20	96.77	...	-31.88	65 of 233 - Sale <sup>25</sup>
	7.000	34.94	05/29/20	65.67	...	-30.73	66 of 233 - Sale <sup>25</sup>
	11.000	54.90	05/29/20	83.14	...	-28.24	67 of 233 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	29.95	05/29/20	57.54	...	-27.59	68 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	42.06	...	-26.97	69 of 233 - Sale <sup>25</sup>
	4.000	20.12	05/29/20	46.78	...	-26.66	70 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	41.42	...	-26.33	71 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.94	...	-25.96	72 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	40.94	...	-25.85	73 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.56	...	-25.58	74 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.37	...	-25.39	75 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.36	...	-25.38	76 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.25	...	-25.27	77 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.18	...	-25.20	78 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.18	...	-25.20	79 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	35.00	...	-25.02	80 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	34.88	...	-24.90	81 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	34.64	...	-24.66	82 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	34.49	...	-24.51	83 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	34.17	...	-24.19	84 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	34.03	...	-24.05	85 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	34.02	...	-24.04	86 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	33.88	...	-23.90	87 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	33.80	...	-23.82	88 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	38.52	...	-23.43	89 of 233 - Sale <sup>25</sup>
	5.000	24.96	05/29/20	48.27	...	-23.31	90 of 233 - Sale <sup>25</sup>
	9.000	44.92	05/29/20	68.02	...	-23.10	91 of 233 - Sale <sup>25</sup>
	5.000	24.96	05/29/20	47.52	...	-22.56	92 of 233 - Sale <sup>25</sup>
	2.000	10.08	05/29/20	31.98	...	-21.90	93 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	31.41	...	-21.35	94 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	31.39	...	-21.33	95 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	31.39	...	-21.33	96 of 233 - Sale <sup>25</sup>
	3.000	14.98	05/29/20	36.26	...	-21.28	97 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	35.44	...	-20.35	98 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	35.41	...	-20.32	99 of 233 - Sale <sup>25</sup>
	8.000	39.93	05/29/20	60.22	...	-20.29	100 of 233 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	35.03	...	-19.94	101 of 233 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	15.09	05/29/20	34.96	...	-19.87	102 of 233 - Sale <sup>25</sup>
	8.000	39.93	05/29/20	59.55	...	-19.62	103 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	28.61	...	-18.55	104 of 233 - Sale <sup>25</sup>
	4.000	19.97	05/29/20	38.35	...	-18.38	105 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	28.22	...	-18.16	106 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	28.22	...	-18.16	107 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	28.21	...	-18.15	108 of 233 - Sale <sup>25</sup>
	4.000	19.97	05/29/20	38.10	...	-18.13	109 of 233 - Sale <sup>25</sup>
	4.000	19.97	05/29/20	37.98	...	-18.01	110 of 233 - Sale <sup>25</sup>
	7.000	34.94	05/29/20	52.68	...	-17.74	111 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	27.62	...	-17.56	112 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	27.62	...	-17.56	113 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	27.47	...	-17.41	114 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	26.33	...	-16.27	115 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	26.33	...	-16.27	116 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.74	...	-15.68	117 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.69	...	-15.63	118 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.60	...	-15.54	119 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.60	...	-15.54	120 of 233 - Sale <sup>25</sup>
	3.000	14.97	05/29/20	28.88	...	-13.91	121 of 233 - Sale <sup>25</sup>
	3.000	14.98	05/29/20	28.87	...	-13.89	122 of 233 - Sale <sup>25</sup>
	3.000	14.98	05/29/20	28.86	...	-13.88	123 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.72	...	-13.66	124 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.72	...	-13.66	125 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.64	...	-13.58	126 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.60	...	-13.54	127 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.51	...	-13.45	128 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.41	...	-13.35	129 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.34	...	-13.28	130 of 233 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	23.10	...	-13.04	131 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.96	...	-12.97	132 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.94	...	-12.95	133 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.91	...	-12.92	134 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.88	...	-12.89	135 of 233 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.99	05/29/20	17.84	...	-12.85	136 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.84	...	-12.85	137 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.79	...	-12.80	138 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.77	...	-12.78	139 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.77	...	-12.78	140 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.75	...	-12.76	141 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.66	...	-12.67	142 of 233 - Sale <sup>25</sup>
	5.000	24.96	05/29/20	37.61	...	-12.65	143 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.63	...	-12.64	144 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.58	...	-12.59	145 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.58	...	-12.59	146 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.57	...	-12.58	147 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.57	...	-12.58	148 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.56	...	-12.57	149 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.37	...	-12.38	150 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.34	...	-12.35	151 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.31	...	-12.32	152 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.28	...	-12.29	153 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.28	...	-12.29	154 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.27	...	-12.28	155 of 233 - Sale <sup>25</sup>
	5.000	24.96	05/29/20	37.22	...	-12.26	156 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.09	...	-12.10	157 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.08	...	-12.09	158 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	17.01	...	-12.02	159 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.94	...	-11.95	160 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.94	...	-11.95	161 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.94	...	-11.95	162 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.93	...	-11.94	163 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.93	...	-11.94	164 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.91	...	-11.92	165 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.90	...	-11.91	166 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.87	...	-11.88	167 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.86	...	-11.87	168 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.84	...	-11.85	169 of 233 - Sale <sup>25</sup>

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.99	05/29/20	16.76	...	-11.77	170 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.58	...	-11.59	171 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.22	...	-11.23	172 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	16.17	...	-11.18	173 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	16.13	...	-11.10	174 of 233 - Sale <sup>25</sup>
	1.000	5.04	05/29/20	16.13	...	-11.09	175 of 233 - Sale <sup>25</sup>
	1.000	5.04	05/29/20	16.04	...	-11.00	176 of 233 - Sale <sup>25</sup>
	1.000	5.04	05/29/20	15.99	...	-10.95	177 of 233 - Sale <sup>25</sup>
	1.000	5.04	05/29/20	15.95	...	-10.91	178 of 233 - Sale <sup>25</sup>
	1.000	5.04	05/29/20	15.93	...	-10.89	179 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	15.70	...	-10.67	180 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	15.69	...	-10.66	181 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	15.62	...	-10.59	182 of 233 - Sale <sup>25</sup>
	1.000	5.04	05/29/20	15.62	...	-10.58	183 of 233 - Sale <sup>25</sup>
	1.000	5.04	05/29/20	15.61	...	-10.57	184 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	15.56	...	-10.53	185 of 233 - Sale <sup>25</sup>
	4.000	19.97	05/29/20	30.13	...	-10.16	186 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.47	...	-9.44	187 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.45	...	-9.42	188 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.33	...	-9.30	189 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.31	...	-9.28	190 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.28	...	-9.25	191 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	19.22	...	-9.24	192 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.26	...	-9.23	193 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.10	...	-9.07	194 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.10	...	-9.07	195 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.06	...	-9.03	196 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	18.92	...	-8.94	197 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.85	...	-8.82	198 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	18.80	...	-8.82	199 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.81	...	-8.78	200 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.77	...	-8.74	201 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.74	...	-8.71	202 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.58	...	-8.55	203 of 233 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.03	05/29/20	13.55	...	-8.52	204 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.52	...	-8.49	205 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.51	...	-8.48	206 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.51	...	-8.48	207 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.18	...	-8.15	208 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	12.84	...	-7.81	209 of 233 - Sale <sup>25</sup>
	37.000	186.11	05/29/20	193.41	...	-7.30	210 of 233 - Sale <sup>25</sup>
	1.000	4.92	05/29/20	11.97	...	-7.05	211 of 233 - Sale <sup>25</sup>
	1.000	4.92	05/29/20	11.97	...	-7.05	212 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	11.89	...	-6.86	213 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	11.87	...	-6.84	214 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	11.86	...	-6.83	215 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	11.85	...	-6.82	216 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	11.82	...	-6.79	217 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	11.53	...	-6.50	218 of 233 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	11.52	...	-6.49	219 of 233 - Sale <sup>25</sup>
	2.000	9.98	05/29/20	14.90	...	-4.92	220 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	9.57	...	-4.58	221 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	9.57	...	-4.58	222 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	9.50	...	-4.51	223 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	9.37	...	-4.38	224 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	9.32	...	-4.33	225 of 233 - Sale <sup>25</sup>
	12.000	60.36	05/29/20	63.32	...	-2.96	226 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	7.66	...	-2.67	227 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	7.59	...	-2.60	228 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	7.53	...	-2.54	229 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	7.53	...	-2.54	230 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	7.46	...	-2.47	231 of 233 - Sale <sup>25</sup>
	1.000	4.99	05/29/20	7.45	...	-2.46	232 of 233 - Sale <sup>25</sup>
	1.000	5.01	05/29/20	5.23	...	-0.22	233 of 233 - Sale <sup>25</sup>
05/29/20	802.000	4,014.45	Various	10,122.25	...	-6,107.80	Total of 233 transactions
	207 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	5.03	05/29/20	13.52	8.49 W	0.00	1 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	9.15	4.12 W	0.00	2 of 207 - Sale <sup>25</sup>

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.03	05/29/20	9.13	4.10 W	0.00	3 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	9.14	4.11 W	0.00	4 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	9.17	4.14 W	0.00	5 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	9.09	4.06 W	0.00	6 of 207 - Sale <sup>25</sup>
	9.000	45.27	05/29/20	82.20	36.93 W	0.00	7 of 207 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	45.43	20.28 W	0.00	8 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	9.09	4.07 W	0.00	9 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	9.11	4.09 W	0.00	10 of 207 - Sale <sup>25</sup>
	9.000	45.18	05/29/20	82.26	37.08 W	0.00	11 of 207 - Sale <sup>25</sup>
	11.000	55.22	05/29/20	100.53	45.31 W	0.00	12 of 207 - Sale <sup>25</sup>
	8.000	40.16	05/29/20	72.70	32.54 W	0.00	13 of 207 - Sale <sup>25</sup>
	6.000	30.12	05/29/20	54.66	24.54 W	0.00	14 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	27.28	12.22 W	0.00	15 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	9.14	4.12 W	0.00	16 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	8.86	3.84 W	0.00	17 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	8.93	3.91 W	0.00	18 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	8.86	3.84 W	0.00	19 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	17.50	12.48 W	0.00	20 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	17.35	12.33 W	0.00	21 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	17.37	12.35 W	0.00	22 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	17.22	12.20 W	0.00	23 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	18.04	8.00 W	0.00	24 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	18.04	8.00 W	0.00	25 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	17.50	7.46 W	0.00	26 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	34.52	24.48 W	0.00	27 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	50.77	35.71 W	0.00	28 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	52.65	37.59 W	0.00	29 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	52.52	37.46 W	0.00	30 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	70.06	49.98 W	0.00	31 of 207 - Sale <sup>25</sup>
	4.000	20.07	05/29/20	68.34	48.27 W	0.00	32 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	67.15	47.07 W	0.00	33 of 207 - Sale <sup>25</sup>
	5.000	25.10	05/29/20	45.45	20.35 W	0.00	34 of 207 - Sale <sup>25</sup>
	6.000	30.12	05/29/20	53.55	23.43 W	0.00	35 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	36.37	16.29 W	0.00	36 of 207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
9.000		45.18	05/29/20	81.42	36.24 W	0.00	37 of 207 - Sale <sup>25</sup>
10.000		50.20	05/29/20	88.62	38.42 W	0.00	38 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	16.90	11.88 W	0.00	39 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	16.89	11.87 W	0.00	40 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	16.05	11.03 W	0.00	41 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	16.20	11.18 W	0.00	42 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.93	10.91 W	0.00	43 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	16.05	11.03 W	0.00	44 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	16.10	11.08 W	0.00	45 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.91	10.89 W	0.00	46 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.94	10.92 W	0.00	47 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.93	10.91 W	0.00	48 of 207 - Sale <sup>25</sup>
2.000		10.04	05/29/20	33.88	23.84 W	0.00	49 of 207 - Sale <sup>25</sup>
2.000		10.04	05/29/20	33.81	23.77 W	0.00	50 of 207 - Sale <sup>25</sup>
2.000		10.04	05/29/20	32.13	22.09 W	0.00	51 of 207 - Sale <sup>25</sup>
2.000		10.04	05/29/20	32.08	22.04 W	0.00	52 of 207 - Sale <sup>25</sup>
2.000		10.04	05/29/20	31.93	21.89 W	0.00	53 of 207 - Sale <sup>25</sup>
2.000		10.04	05/29/20	32.04	22.00 W	0.00	54 of 207 - Sale <sup>25</sup>
3.000		15.06	05/29/20	48.06	33.00 W	0.00	55 of 207 - Sale <sup>25</sup>
4.000		20.08	05/29/20	63.66	43.58 W	0.00	56 of 207 - Sale <sup>25</sup>
5.000		25.10	05/29/20	84.69	59.59 W	0.00	57 of 207 - Sale <sup>25</sup>
8.000		40.16	05/29/20	133.88	93.72 W	0.00	58 of 207 - Sale <sup>25</sup>
8.000		40.16	05/29/20	133.56	93.40 W	0.00	59 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.92	10.90 W	0.00	60 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	16.00	10.98 W	0.00	61 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.96	10.94 W	0.00	62 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.89	10.87 W	0.00	63 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.82	10.80 W	0.00	64 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.81	10.79 W	0.00	65 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.78	10.76 W	0.00	66 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.76	10.74 W	0.00	67 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.74	10.72 W	0.00	68 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.75	10.73 W	0.00	69 of 207 - Sale <sup>25</sup>
1.000		5.02	05/29/20	15.72	10.70 W	0.00	70 of 207 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.02	05/29/20	16.03	11.01 W	0.00	71 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.25	10.23 W	0.00	72 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.30	10.28 W	0.00	73 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.06	10.04 W	0.00	74 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.06	10.04 W	0.00	75 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.12	10.10 W	0.00	76 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.20	10.18 W	0.00	77 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.07	10.05 W	0.00	78 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.02	10.00 W	0.00	79 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.03	10.01 W	0.00	80 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.71	9.69 W	0.00	81 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.73	9.71 W	0.00	82 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.75	9.73 W	0.00	83 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.80	9.78 W	0.00	84 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.44	10.42 W	0.00	85 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.44	10.42 W	0.00	86 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.34	10.32 W	0.00	87 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	15.65	10.63 W	0.00	88 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.26	9.24 W	0.00	89 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.19	9.17 W	0.00	90 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	32.18	22.14 W	0.00	91 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	32.18	22.14 W	0.00	92 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	32.15	22.11 W	0.00	93 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	31.91	21.87 W	0.00	94 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	31.68	21.64 W	0.00	95 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	30.54	20.50 W	0.00	96 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	30.29	20.25 W	0.00	97 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	30.23	20.19 W	0.00	98 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	29.84	19.80 W	0.00	99 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	30.10	20.06 W	0.00	100 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	30.10	20.06 W	0.00	101 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	30.74	20.70 W	0.00	102 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	31.28	21.24 W	0.00	103 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	31.19	21.15 W	0.00	104 of 207 - Sale <sup>25</sup>

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	10.04	05/29/20	28.43	18.39 W	0.00	105 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	47.41	32.35 W	0.00	106 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	47.45	32.39 W	0.00	107 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	47.15	32.09 W	0.00	108 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	45.70	30.64 W	0.00	109 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	45.74	30.68 W	0.00	110 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	45.13	30.07 W	0.00	111 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	45.12	30.06 W	0.00	112 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	63.92	43.84 W	0.00	113 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	64.47	44.39 W	0.00	114 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	60.62	40.54 W	0.00	115 of 207 - Sale <sup>25</sup>
	5.000	25.10	05/29/20	76.30	51.20 W	0.00	116 of 207 - Sale <sup>25</sup>
	5.000	25.10	05/29/20	78.16	53.06 W	0.00	117 of 207 - Sale <sup>25</sup>
	7.000	35.14	05/29/20	110.69	75.55 W	0.00	118 of 207 - Sale <sup>25</sup>
	9.000	45.18	05/29/20	138.84	93.66 W	0.00	119 of 207 - Sale <sup>25</sup>
	14.000	70.28	05/29/20	212.41	142.13 W	0.00	120 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.70	9.68 W	0.00	121 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.60	9.58 W	0.00	122 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	13.71	8.69 W	0.00	123 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	27.17	17.13 W	0.00	124 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	54.68	34.60 W	0.00	125 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	54.46	34.38 W	0.00	126 of 207 - Sale <sup>25</sup>
	4.000	20.08	05/29/20	54.42	34.34 W	0.00	127 of 207 - Sale <sup>25</sup>
	6.000	30.12	05/29/20	87.58	57.46 W	0.00	128 of 207 - Sale <sup>25</sup>
	6.000	30.12	05/29/20	82.54	52.42 W	0.00	129 of 207 - Sale <sup>25</sup>
	8.000	40.16	05/29/20	109.36	69.20 W	0.00	130 of 207 - Sale <sup>25</sup>
	9.000	45.18	05/29/20	47.85	2.67 W	0.00	131 of 207 - Sale <sup>25</sup>
	10.000	50.19	05/29/20	53.21	3.02 W	0.00	132 of 207 - Sale <sup>25</sup>
	11.000	55.21	05/29/20	58.39	3.18 W	0.00	133 of 207 - Sale <sup>25</sup>
	12.000	60.23	05/29/20	63.37	3.14 W	0.00	134 of 207 - Sale <sup>25</sup>
	27.000	135.53	05/29/20	142.61	7.08 W	0.00	135 of 207 - Sale <sup>25</sup>
	31.000	155.61	05/29/20	170.37	14.76 W	0.00	136 of 207 - Sale <sup>25</sup>
	50.000	250.98	05/29/20	262.84	11.86 W	0.00	137 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.29	9.27 W	0.00	138 of 207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.02	05/29/20	14.11	9.09 W	0.00	139 of 207 - Sale <sup>25</sup>
	1.000	5.02	05/29/20	14.14	9.12 W	0.00	140 of 207 - Sale <sup>25</sup>
	2.000	10.04	05/29/20	28.25	18.21 W	0.00	141 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	42.58	27.52 W	0.00	142 of 207 - Sale <sup>25</sup>
	3.000	15.06	05/29/20	41.89	26.83 W	0.00	143 of 207 - Sale <sup>25</sup>
	8.000	40.15	05/29/20	112.02	71.87 W	0.00	144 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	39.00	23.91 W	0.00	145 of 207 - Sale <sup>25</sup>
	4.000	20.12	05/29/20	52.62	32.50 W	0.00	146 of 207 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	65.80	40.65 W	0.00	147 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	12.71	7.68 W	0.00	148 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	12.82	7.79 W	0.00	149 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	12.82	7.79 W	0.00	150 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.18	9.15 W	0.00	151 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.50	9.47 W	0.00	152 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.47	9.44 W	0.00	153 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.48	9.45 W	0.00	154 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.38	9.35 W	0.00	155 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	14.42	9.39 W	0.00	156 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	26.00	15.94 W	0.00	157 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.80	15.74 W	0.00	158 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.66	15.60 W	0.00	159 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	28.94	18.88 W	0.00	160 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	28.93	18.87 W	0.00	161 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	28.26	18.20 W	0.00	162 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	38.03	22.94 W	0.00	163 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	38.57	23.48 W	0.00	164 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	43.06	27.97 W	0.00	165 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	42.27	27.18 W	0.00	166 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	42.11	27.02 W	0.00	167 of 207 - Sale <sup>25</sup>
	6.000	30.18	05/29/20	86.62	56.44 W	0.00	168 of 207 - Sale <sup>25</sup>
	6.000	30.18	05/29/20	86.50	56.32 W	0.00	169 of 207 - Sale <sup>25</sup>
	7.000	35.21	05/29/20	89.58	54.37 W	0.00	170 of 207 - Sale <sup>25</sup>
	7.000	35.21	05/29/20	89.93	54.72 W	0.00	171 of 207 - Sale <sup>25</sup>
	7.000	35.21	05/29/20	90.30	55.09 W	0.00	172 of 207 - Sale <sup>25</sup>

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.03	05/29/20	13.67	8.64 W	0.00	173 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.55	8.52 W	0.00	174 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.32	8.29 W	0.00	175 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.33	8.30 W	0.00	176 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.33	8.30 W	0.00	177 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.40	8.37 W	0.00	178 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.38	8.35 W	0.00	179 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.36	8.33 W	0.00	180 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.26	8.23 W	0.00	181 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.30	8.27 W	0.00	182 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	13.27	8.24 W	0.00	183 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	12.88	7.85 W	0.00	184 of 207 - Sale <sup>25</sup>
	1.000	5.03	05/29/20	12.89	7.86 W	0.00	185 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	27.70	17.64 W	0.00	186 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	27.33	17.27 W	0.00	187 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	27.29	17.23 W	0.00	188 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	26.79	16.73 W	0.00	189 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	26.52	16.46 W	0.00	190 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	26.06	16.00 W	0.00	191 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	26.03	15.97 W	0.00	192 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.90	15.84 W	0.00	193 of 207 - Sale <sup>25</sup>
	2.000	10.06	05/29/20	25.78	15.72 W	0.00	194 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	40.92	25.83 W	0.00	195 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	40.78	25.69 W	0.00	196 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	39.09	24.00 W	0.00	197 of 207 - Sale <sup>25</sup>
	3.000	15.09	05/29/20	38.60	23.51 W	0.00	198 of 207 - Sale <sup>25</sup>
	4.000	20.12	05/29/20	53.29	33.17 W	0.00	199 of 207 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	70.18	45.03 W	0.00	200 of 207 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	67.47	42.32 W	0.00	201 of 207 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	65.03	39.88 W	0.00	202 of 207 - Sale <sup>25</sup>
	5.000	25.15	05/29/20	64.26	39.11 W	0.00	203 of 207 - Sale <sup>25</sup>
	6.000	30.18	05/29/20	79.55	49.37 W	0.00	204 of 207 - Sale <sup>25</sup>
	7.000	35.21	05/29/20	96.71	61.50 W	0.00	205 of 207 - Sale <sup>25</sup>
	9.000	45.27	05/29/20	115.92	70.65 W	0.00	206 of 207 - Sale <sup>25</sup>

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(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	11.000	55.33	05/29/20	154.43	99.10 W	0.00	207 of 207 - Sale <sup>25</sup>
05/29/20	701.000	3,520.94	Various	8,302.33	4,781.39 W	0.00	Total of 207 transactions
	82 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	13.000	60.84	06/11/20	162.47	...	-101.63	1 of 82 - Sale <sup>25</sup>
	13.000	60.83	06/11/20	162.30	...	-101.47	2 of 82 - Sale <sup>25</sup>
	10.000	46.80	06/11/20	132.64	...	-85.84	3 of 82 - Sale <sup>25</sup>
	11.000	51.48	06/11/20	137.32	...	-85.84	4 of 82 - Sale <sup>25</sup>
	9.000	42.12	06/11/20	114.95	...	-72.83	5 of 82 - Sale <sup>25</sup>
	9.000	42.12	06/11/20	114.65	...	-72.53	6 of 82 - Sale <sup>25</sup>
	8.000	37.44	06/11/20	106.56	...	-69.12	7 of 82 - Sale <sup>25</sup>
	10.000	46.80	06/11/20	113.77	...	-66.97	8 of 82 - Sale <sup>25</sup>
	7.000	32.76	06/11/20	88.97	...	-56.21	9 of 82 - Sale <sup>25</sup>
	7.000	32.76	06/11/20	87.77	...	-55.01	10 of 82 - Sale <sup>25</sup>
	7.000	32.75	06/11/20	86.37	...	-53.62	11 of 82 - Sale <sup>25</sup>
	6.000	28.08	06/11/20	75.35	...	-47.27	12 of 82 - Sale <sup>25</sup>
	7.000	32.76	06/11/20	79.86	...	-47.10	13 of 82 - Sale <sup>25</sup>
	5.000	23.40	06/11/20	63.58	...	-40.18	14 of 82 - Sale <sup>25</sup>
	5.000	23.40	06/11/20	62.67	...	-39.27	15 of 82 - Sale <sup>25</sup>
	5.000	23.40	06/11/20	62.36	...	-38.96	16 of 82 - Sale <sup>25</sup>
	5.000	23.39	06/11/20	61.64	...	-38.25	17 of 82 - Sale <sup>25</sup>
	5.000	23.40	06/11/20	59.38	...	-35.98	18 of 82 - Sale <sup>25</sup>
	5.000	23.40	06/11/20	58.65	...	-35.25	19 of 82 - Sale <sup>25</sup>
	5.000	23.40	06/11/20	58.45	...	-35.05	20 of 82 - Sale <sup>25</sup>
	4.000	18.72	06/11/20	49.88	...	-31.16	21 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.84	...	-23.80	22 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.55	...	-23.51	23 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.48	...	-23.44	24 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.48	...	-23.44	25 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.44	...	-23.40	26 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.42	...	-23.38	27 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.41	...	-23.37	28 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.35	...	-23.31	29 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	37.29	...	-23.25	30 of 82 - Sale <sup>25</sup>
	3.000	14.04	06/11/20	34.04	...	-20.00	31 of 82 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	9.36	06/11/20	26.40	...	-17.04	32 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	25.57	...	-16.21	33 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	25.46	...	-16.10	34 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	25.15	...	-15.79	35 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	25.07	...	-15.71	36 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	24.95	...	-15.59	37 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	24.94	...	-15.58	38 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	24.83	...	-15.47	39 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	24.68	...	-15.32	40 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	24.67	...	-15.31	41 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	24.34	...	-14.98	42 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	23.00	...	-13.64	43 of 82 - Sale <sup>25</sup>
	2.000	9.36	06/11/20	22.44	...	-13.08	44 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	13.10	...	-8.42	45 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	13.08	...	-8.40	46 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	13.05	...	-8.37	47 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.79	...	-8.11	48 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.78	...	-8.10	49 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.77	...	-8.09	50 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.74	...	-8.06	51 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.74	...	-8.06	52 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.63	...	-7.95	53 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.63	...	-7.95	54 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.61	...	-7.93	55 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.61	...	-7.93	56 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.60	...	-7.92	57 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.60	...	-7.92	58 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.58	...	-7.90	59 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.57	...	-7.89	60 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.56	...	-7.88	61 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.55	...	-7.87	62 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.51	...	-7.83	63 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.48	...	-7.80	64 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.47	...	-7.79	65 of 82 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.68	06/11/20	12.47	...	-7.79	66 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.46	...	-7.78	67 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.46	...	-7.78	68 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.46	...	-7.78	69 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.33	...	-7.65	70 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.32	...	-7.64	71 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	12.16	...	-7.48	72 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.60	...	-6.92	73 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.60	...	-6.92	74 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.55	...	-6.87	75 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.50	...	-6.82	76 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.50	...	-6.82	77 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.50	...	-6.82	78 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.47	...	-6.79	79 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.44	...	-6.76	80 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.43	...	-6.75	81 of 82 - Sale <sup>25</sup>
	1.000	4.68	06/11/20	11.38	...	-6.70	82 of 82 - Sale <sup>25</sup>
06/11/20	250.000	1,169.97	Various	3,100.47	...	-1,930.50	Total of 82 transactions
	253 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	14.000	78.19	06/24/20	220.39	...	-142.20	1 of 253 - Sale <sup>25</sup>
	11.000	61.44	06/24/20	160.59	...	-99.15	2 of 253 - Sale <sup>25</sup>
	8.000	44.68	06/24/20	138.44	...	-93.76	3 of 253 - Sale <sup>25</sup>
	9.000	50.27	06/24/20	143.97	...	-93.70	4 of 253 - Sale <sup>25</sup>
	8.000	44.68	06/24/20	138.12	...	-93.44	5 of 253 - Sale <sup>25</sup>
	10.000	55.85	06/24/20	139.30	...	-83.45	6 of 253 - Sale <sup>25</sup>
	7.000	39.09	06/24/20	114.68	...	-75.59	7 of 253 - Sale <sup>25</sup>
	8.000	44.68	06/24/20	116.59	...	-71.91	8 of 253 - Sale <sup>25</sup>
	9.000	50.26	06/24/20	120.96	...	-70.70	9 of 253 - Sale <sup>25</sup>
	8.000	44.68	06/24/20	113.92	...	-69.24	10 of 253 - Sale <sup>25</sup>
	7.000	39.09	06/24/20	100.63	...	-61.54	11 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	91.00	...	-57.49	12 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	89.98	...	-56.47	13 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	89.86	...	-56.35	14 of 253 - Sale <sup>25</sup>
	7.000	39.09	06/24/20	94.22	...	-55.13	15 of 253 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	39.09	06/24/20	93.85	...	-54.76	16 of 253 - Sale <sup>25</sup>
	7.000	39.10	06/24/20	93.50	...	-54.40	17 of 253 - Sale <sup>25</sup>
	5.000	27.92	06/24/20	81.01	...	-53.09	18 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	85.96	...	-52.45	19 of 253 - Sale <sup>25</sup>
	5.000	27.93	06/24/20	79.15	...	-51.22	20 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	84.41	...	-50.90	21 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	72.34	...	-50.00	22 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	82.91	...	-49.40	23 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	70.63	...	-48.29	24 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	69.43	...	-47.09	25 of 253 - Sale <sup>25</sup>
	11.000	61.43	06/24/20	106.80	...	-45.37	26 of 253 - Sale <sup>25</sup>
	5.000	27.92	06/24/20	72.98	...	-45.06	27 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	66.75	...	-44.41	28 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	66.20	...	-43.86	29 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	65.94	...	-43.60	30 of 253 - Sale <sup>25</sup>
	5.000	27.93	06/24/20	71.22	...	-43.29	31 of 253 - Sale <sup>25</sup>
	5.000	27.93	06/24/20	70.27	...	-42.34	32 of 253 - Sale <sup>25</sup>
	5.000	27.93	06/24/20	68.60	...	-40.67	33 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	62.90	...	-40.56	34 of 253 - Sale <sup>25</sup>
	5.000	27.92	06/24/20	67.83	...	-39.91	35 of 253 - Sale <sup>25</sup>
	5.000	27.92	06/24/20	67.06	...	-39.14	36 of 253 - Sale <sup>25</sup>
	10.000	55.85	06/24/20	94.32	...	-38.47	37 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	54.36	...	-37.61	38 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	54.23	...	-37.48	39 of 253 - Sale <sup>25</sup>
	9.000	50.26	06/24/20	87.39	...	-37.13	40 of 253 - Sale <sup>25</sup>
	9.000	50.26	06/24/20	87.24	...	-36.98	41 of 253 - Sale <sup>25</sup>
	9.000	50.26	06/24/20	86.55	...	-36.29	42 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	52.48	...	-35.73	43 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	57.07	...	-34.73	44 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	56.96	...	-34.62	45 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	56.74	...	-34.40	46 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	56.70	...	-34.36	47 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	56.57	...	-34.23	48 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	55.72	...	-33.38	49 of 253 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	22.34	06/24/20	55.53	...	-33.19	50 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	49.77	...	-33.01	51 of 253 - Sale <sup>25</sup>
	8.000	44.68	06/24/20	77.26	...	-32.58	52 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	54.86	...	-32.52	53 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	49.16	...	-32.41	54 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	49.12	...	-32.36	55 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	48.86	...	-32.11	56 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	47.45	...	-30.70	57 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	47.41	...	-30.66	58 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	46.84	...	-30.08	59 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	46.83	...	-30.07	60 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	44.74	...	-27.99	61 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	44.29	...	-27.54	62 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	43.95	...	-27.20	63 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	43.79	...	-27.03	64 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	43.60	...	-26.84	65 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	42.76	...	-26.00	66 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	42.60	...	-25.85	67 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	42.46	...	-25.70	68 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	42.22	...	-25.47	69 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	42.17	...	-25.41	70 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	42.05	...	-25.30	71 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	42.02	...	-25.27	72 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	41.79	...	-25.03	73 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	58.08	...	-24.57	74 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	35.66	...	-24.49	75 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	40.77	...	-24.01	76 of 253 - Sale <sup>25</sup>
	3.000	16.75	06/24/20	40.68	...	-23.93	77 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	35.02	...	-23.85	78 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	35.02	...	-23.85	79 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	40.28	...	-23.52	80 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	40.25	...	-23.49	81 of 253 - Sale <sup>25</sup>
	6.000	33.51	06/24/20	56.97	...	-23.46	82 of 253 - Sale <sup>25</sup>
	3.000	16.76	06/24/20	39.71	...	-22.95	83 of 253 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	11.17	06/24/20	33.32	...	-22.15	84 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	33.27	...	-22.10	85 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	33.22	...	-22.05	86 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	33.18	...	-22.01	87 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	33.07	...	-21.90	88 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	32.82	...	-21.65	89 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	32.42	...	-21.25	90 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	32.33	...	-21.16	91 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	31.88	...	-20.71	92 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	31.68	...	-20.51	93 of 253 - Sale <sup>25</sup>
	5.000	27.92	06/24/20	48.30	...	-20.38	94 of 253 - Sale <sup>25</sup>
	5.000	27.92	06/24/20	48.23	...	-20.31	95 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	31.43	...	-20.26	96 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	31.37	...	-20.20	97 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	31.24	...	-20.07	98 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	31.24	...	-20.07	99 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	30.98	...	-19.81	100 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	30.06	...	-18.89	101 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	30.05	...	-18.88	102 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	29.57	...	-18.40	103 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	29.39	...	-18.22	104 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	29.38	...	-18.21	105 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.82	...	-17.65	106 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.55	...	-17.38	107 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.45	...	-17.28	108 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.41	...	-17.24	109 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.31	...	-17.14	110 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.26	...	-17.09	111 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.25	...	-17.08	112 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.13	...	-16.96	113 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	28.05	...	-16.88	114 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	27.91	...	-16.74	115 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	27.64	...	-16.47	116 of 253 - Sale <sup>25</sup>
	4.000	22.34	06/24/20	38.65	...	-16.31	117 of 253 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2.000		11.17	06/24/20	27.22	...	-16.05	118 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	27.21	...	-16.04	119 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	27.21	...	-16.04	120 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	27.18	...	-16.01	121 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	27.15	...	-15.98	122 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	27.14	...	-15.97	123 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	27.12	...	-15.95	124 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	27.02	...	-15.85	125 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	26.92	...	-15.75	126 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	26.90	...	-15.73	127 of 253 - Sale <sup>25</sup>
2.000		11.17	06/24/20	26.78	...	-15.61	128 of 253 - Sale <sup>25</sup>
31.000		173.13	06/24/20	188.05	...	-14.92	129 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	18.07	...	-12.49	130 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	17.94	...	-12.36	131 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	17.92	...	-12.34	132 of 253 - Sale <sup>25</sup>
3.000		16.75	06/24/20	28.99	...	-12.24	133 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	17.79	...	-12.21	134 of 253 - Sale <sup>25</sup>
50.000		279.25	06/24/20	291.36	...	-12.11	135 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	17.51	...	-11.93	136 of 253 - Sale <sup>25</sup>
1.000		5.59	06/24/20	17.51	...	-11.92	137 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	17.50	...	-11.92	138 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	17.47	...	-11.89	139 of 253 - Sale <sup>25</sup>
1.000		5.59	06/24/20	17.48	...	-11.89	140 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	17.47	...	-11.89	141 of 253 - Sale <sup>25</sup>
1.000		5.59	06/24/20	17.46	...	-11.87	142 of 253 - Sale <sup>25</sup>
1.000		5.59	06/24/20	16.77	...	-11.18	143 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	16.67	...	-11.09	144 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	16.66	...	-11.08	145 of 253 - Sale <sup>25</sup>
1.000		5.59	06/24/20	16.66	...	-11.07	146 of 253 - Sale <sup>25</sup>
1.000		5.59	06/24/20	16.65	...	-11.06	147 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	16.64	...	-11.06	148 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	16.62	...	-11.04	149 of 253 - Sale <sup>25</sup>
1.000		5.59	06/24/20	16.62	...	-11.03	150 of 253 - Sale <sup>25</sup>
1.000		5.58	06/24/20	16.60	...	-11.02	151 of 253 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.58	06/24/20	16.57	...	-10.99	152 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.53	...	-10.94	153 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.52	...	-10.94	154 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.53	...	-10.94	155 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.50	...	-10.92	156 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.51	...	-10.92	157 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.50	...	-10.92	158 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.48	...	-10.90	159 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.49	...	-10.90	160 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.46	...	-10.88	161 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.39	...	-10.80	162 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.38	...	-10.79	163 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.35	...	-10.77	164 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.33	...	-10.74	165 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.31	...	-10.73	166 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.32	...	-10.73	167 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.29	...	-10.70	168 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	16.22	...	-10.63	169 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.01	...	-10.43	170 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	16.01	...	-10.43	171 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.91	...	-10.33	172 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.87	...	-10.28	173 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.82	...	-10.23	174 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.77	...	-10.18	175 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.69	...	-10.11	176 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.64	...	-10.06	177 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.63	...	-10.05	178 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.63	...	-10.04	179 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.60	...	-10.02	180 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.59	...	-10.00	181 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.37	...	-9.78	182 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.32	...	-9.74	183 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.30	...	-9.71	184 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.28	...	-9.70	185 of 253 - Sale <sup>25</sup>

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.59	06/24/20	15.27	...	-9.68	186 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.17	...	-9.59	187 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.06	...	-9.47	188 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	15.03	...	-9.45	189 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	15.04	...	-9.45	190 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.98	...	-9.39	191 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.94	...	-9.36	192 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.86	...	-9.27	193 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.83	...	-9.24	194 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.76	...	-9.18	195 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.74	...	-9.15	196 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.71	...	-9.13	197 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.68	...	-9.10	198 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.28	...	-8.69	199 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.26	...	-8.68	200 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.25	...	-8.67	201 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.24	...	-8.66	202 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.23	...	-8.64	203 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.20	...	-8.62	204 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.21	...	-8.62	205 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.11	...	-8.53	206 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.11	...	-8.53	207 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.08	...	-8.50	208 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.08	...	-8.50	209 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.07	...	-8.49	210 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.08	...	-8.49	211 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.06	...	-8.48	212 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.05	...	-8.47	213 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	14.03	...	-8.45	214 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	14.03	...	-8.44	215 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.97	...	-8.39	216 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	13.96	...	-8.37	217 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.94	...	-8.36	218 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	13.92	...	-8.33	219 of 253 - Sale <sup>25</sup>

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	5.58	06/24/20	13.89	...	-8.31	220 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.88	...	-8.30	221 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	13.89	...	-8.30	222 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	13.86	...	-8.27	223 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.83	...	-8.25	224 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.82	...	-8.24	225 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.61	...	-8.03	226 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.61	...	-8.03	227 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.61	...	-8.03	228 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	19.18	...	-8.01	229 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	19.18	...	-8.01	230 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.57	...	-7.99	231 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	13.45	...	-7.86	232 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	13.44	...	-7.85	233 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.38	...	-7.80	234 of 253 - Sale <sup>25</sup>
	1.000	5.59	06/24/20	13.38	...	-7.79	235 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	13.27	...	-7.69	236 of 253 - Sale <sup>25</sup>
	2.000	11.17	06/24/20	18.64	...	-7.47	237 of 253 - Sale <sup>25</sup>
	27.000	150.79	06/24/20	158.01	...	-7.22	238 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.73	...	-4.15	239 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.71	...	-4.13	240 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.71	...	-4.13	241 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.70	...	-4.12	242 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.69	...	-4.11	243 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.68	...	-4.10	244 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.66	...	-4.08	245 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.65	...	-4.07	246 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.50	...	-3.92	247 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.43	...	-3.85	248 of 253 - Sale <sup>25</sup>
	1.000	5.58	06/24/20	9.43	...	-3.85	249 of 253 - Sale <sup>25</sup>
	11.000	61.44	06/24/20	64.67	...	-3.23	250 of 253 - Sale <sup>25</sup>
	12.000	67.02	06/24/20	70.22	...	-3.20	251 of 253 - Sale <sup>25</sup>
	10.000	55.85	06/24/20	58.92	...	-3.07	252 of 253 - Sale <sup>25</sup>

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	9.000	50.27	06/24/20	52.98	...	-2.71	253 of 253 - Sale <sup>25</sup>
06/24/20	788.000	4,400.79	Various	9,919.34	...	-5,518.55	Total of 253 transactions
	<b>Security total:</b>	<b>516,537.15</b>		<b>904,039.92</b>	<b>375,936.20 W</b>	<b>-11,566.57</b>	
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
04/21/20	2.000	174.79	04/21/20	175.44	...	-0.65	Sale <sup>25</sup>
	5 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	87.39	04/17/20	90.99	3.60 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	87.39	04/17/20	91.00	3.61 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	87.39	04/17/20	90.72	3.33 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	87.39	04/17/20	90.50	3.11 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	87.39	04/20/20	90.29	2.90 W	0.00	5 of 5 - Sale <sup>25</sup>
04/21/20	5.000	436.95	Various	453.50	16.55 W	0.00	Total of 5 transactions
	5 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	1.000	89.36	04/22/20	92.31	...	-2.95	1 of 5 - Sale <sup>25</sup>
	1.000	89.36	04/22/20	92.30	...	-2.94	2 of 5 - Sale <sup>25</sup>
	1.000	89.36	04/22/20	92.03	...	-2.67	3 of 5 - Sale <sup>25</sup>
	1.000	89.37	04/22/20	91.81	...	-2.44	4 of 5 - Sale <sup>25</sup>
	1.000	89.36	04/22/20	91.60	...	-2.24	5 of 5 - Sale <sup>25</sup>
04/24/20	5.000	446.81	Various	460.05	...	-13.24	Total of 5 transactions
	<b>Security total:</b>	<b>1,058.55</b>		<b>1,088.99</b>	<b>16.55 W</b>	<b>-13.89</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/16/20	1.000	493.99	01/08/20	474.00	...	19.99	Sale <sup>25</sup>
	3 transactions for 01/16/20. Total proceeds and cost reported to the IRS.						
	1.000	493.99	01/13/20	504.17	10.18 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	493.99	01/13/20	516.18	22.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	493.98	01/13/20	516.69	22.71 W	0.00	3 of 3 - Sale <sup>25</sup>
01/16/20	3.000	1,481.96	Various	1,537.04	55.08 W	0.00	Total of 3 transactions
	7 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	1.000	632.04	01/16/20	525.00	...	107.04	1 of 7 - Sale <sup>25</sup>
	1.000	632.05	01/16/20	524.47	...	107.58	2 of 7 - Sale <sup>25</sup>
	1.000	632.05	01/16/20	512.46	...	119.59	3 of 7 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	632.05	01/16/20	502.28	...	129.77	4 of 7 - Sale <sup>25</sup>
	1.000	632.04	01/24/20	564.47	...	67.57	5 of 7 - Sale <sup>25</sup>
	1.000	645.23	01/30/20	645.14	...	0.09	6 of 7 - Sale <sup>25</sup>
	4.000	2,580.89	01/30/20	2,579.70	...	1.19	7 of 7 - Sale <sup>25</sup>
01/30/20	10.000	6,386.35	Various	5,853.52	...	532.83	Total of 7 transactions
	2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	5.000	3,203.49	01/30/20	3,225.93	22.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	640.70	01/30/20	642.00	1.30 W	0.00	2 of 2 - Sale <sup>25</sup>
01/30/20	6.000	3,844.19	Various	3,867.93	23.74 W	0.00	Total of 2 transactions
	5 transactions for 02/05/20. Total proceeds and cost reported to the IRS.						
	1.000	790.08	01/30/20	648.47	...	141.61	1 of 5 - Sale <sup>25</sup>
	2.000	1,580.16	01/30/20	1,298.98	...	281.18	2 of 5 - Sale <sup>25</sup>
	1.000	790.08	01/31/20	645.48	...	144.60	3 of 5 - Sale <sup>25</sup>
	1.000	790.07	01/31/20	642.56	...	147.51	4 of 5 - Sale <sup>25</sup>
	1.000	790.08	01/31/20	639.93	...	150.15	5 of 5 - Sale <sup>25</sup>
02/05/20	6.000	4,740.47	Various	3,875.42	...	865.05	Total of 5 transactions
02/05/20	1.000	792.96	02/05/20	824.44	31.48 W	0.00	Sale <sup>25</sup>
	8 transactions for 02/06/20. Total proceeds and cost reported to the IRS.						
	5.000	3,469.92	02/05/20	4,122.21	652.29 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	692.59	02/05/20	831.48	138.89 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	692.58	02/05/20	928.46	235.88 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	692.59	02/05/20	922.64	230.05 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	692.58	02/05/20	920.46	227.88 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	692.59	02/05/20	910.45	217.86 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	692.58	02/05/20	884.96	192.38 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	745.98	02/06/20	936.83	190.85 W	0.00	8 of 8 - Sale <sup>25</sup>
02/06/20	12.000	8,371.41	Various	10,457.49	2,086.08 W	0.00	Total of 8 transactions
	4 transactions for 02/20/20. Total proceeds and cost reported to the IRS.						
	1.000	882.61	02/06/20	839.84	...	42.77	1 of 4 - Sale <sup>25</sup>
	1.000	882.61	02/06/20	790.18	...	92.43	2 of 4 - Sale <sup>25</sup>
	1.000	882.61	02/06/20	787.70	...	94.91	3 of 4 - Sale <sup>25</sup>
	1.000	882.60	02/06/20	777.66	...	104.94	4 of 4 - Sale <sup>25</sup>
02/20/20	4.000	3,530.43	Various	3,195.38	...	335.05	Total of 4 transactions

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
15 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	1.000	898.73	02/06/20	930.99	32.26 W	0.00	1 of 15 - Sale <sup>25</sup>
	1.000	899.06	02/06/20	928.83	29.77 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	899.06	02/06/20	918.80	19.74 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.000	882.61	02/06/20	950.30	67.69 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	882.60	02/06/20	948.78	66.18 W	0.00	5 of 15 - Sale <sup>25</sup>
	1.000	882.61	02/20/20	900.00	17.39 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.000	871.42	02/20/20	947.69	76.27 W	0.00	7 of 15 - Sale <sup>25</sup>
	1.000	871.43	02/20/20	946.18	74.75 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	864.38	02/20/20	956.27	91.89 W	0.00	9 of 15 - Sale <sup>25</sup>
	1.000	864.38	02/20/20	897.39	33.01 W	0.00	10 of 15 - Sale <sup>25</sup>
	4.000	3,457.53	02/20/20	3,520.00	62.47 W	0.00	11 of 15 - Sale <sup>25</sup>
	1.000	864.38	02/20/20	954.75	90.37 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.000	864.38	02/20/20	880.00	15.62 W	0.00	13 of 15 - Sale <sup>25</sup>
	1.000	864.38	02/20/20	868.54	4.16 W	0.00	14 of 15 - Sale <sup>25</sup>
	1.000	864.38	02/20/20	869.22	4.84 W	0.00	15 of 15 - Sale <sup>25</sup>
02/20/20	18.000	15,731.33	Various	16,417.74	686.41 W	0.00	Total of 15 transactions
11 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	1.000	797.56	02/20/20	973.89	176.33 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	797.56	02/20/20	915.01	117.45 W	0.00	2 of 11 - Sale <sup>25</sup>
	3.000	2,392.67	02/20/20	2,692.85	300.18 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	797.56	02/20/20	979.27	181.71 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	797.56	02/20/20	904.51	106.95 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	797.56	02/20/20	904.51	106.95 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	797.56	02/20/20	893.74	96.18 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	797.56	02/20/20	893.05	95.49 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	797.55	02/24/20	839.25	41.70 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	797.56	02/24/20	841.63	44.07 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	797.55	02/24/20	833.40	35.85 W	0.00	11 of 11 - Sale <sup>25</sup>
02/25/20	13.000	10,368.25	Various	11,671.11	1,302.86 W	0.00	Total of 11 transactions
3 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	1.000	745.21	03/03/20	932.34	187.13 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	745.21	03/03/20	873.46	128.25 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	3.000	2,235.65	03/03/20	2,568.21	332.56 W	0.00	3 of 3 - Sale <sup>25</sup>
03/03/20	5.000	3,726.07	Various	4,374.01	647.94 W	0.00	Total of 3 transactions
	12 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	1.000	645.94	03/10/20	825.41	179.47 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	750.65	104.71 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	750.65	104.71 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	739.88	93.94 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	830.83	184.89 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	739.19	93.25 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	771.95	126.01 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	685.40	39.46 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	687.77	41.83 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	679.55	33.61 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/10/20	753.89	107.95 W	0.00	11 of 12 - Sale <sup>25</sup>
	1.000	645.94	03/11/20	759.68	113.74 W	0.00	12 of 12 - Sale <sup>25</sup>
03/11/20	12.000	7,751.28	Various	8,974.85	1,223.57 W	0.00	Total of 12 transactions
	11 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	1.000	518.74	03/25/20	727.47	208.73 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	518.74	03/25/20	652.71	133.97 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	518.75	03/25/20	652.71	133.96 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	518.74	03/25/20	641.94	123.20 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	518.75	03/25/20	658.85	140.10 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	518.74	03/25/20	724.72	205.98 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	518.75	03/25/20	631.81	113.06 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	518.74	03/26/20	663.98	145.24 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	518.75	03/26/20	572.46	53.71 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	518.74	03/26/20	571.83	53.09 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	518.75	03/26/20	557.11	38.36 W	0.00	11 of 11 - Sale <sup>25</sup>
03/26/20	11.000	5,706.19	Various	7,055.59	1,349.40 W	0.00	Total of 11 transactions
04/07/20	1.000	557.98	04/03/20	585.95	27.97 W	0.00	Sale <sup>25</sup>
	7 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	703.74	04/07/20	692.89	...	10.85	1 of 7 - Sale <sup>25</sup>
	1.000	703.75	04/07/20	687.76	...	15.99	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	703.75	04/07/20	681.52	...	22.23	3 of 7 - Sale <sup>25</sup>
	1.000	703.75	04/07/20	670.86	...	32.89	4 of 7 - Sale <sup>25</sup>
	1.000	703.74	04/07/20	669.97	...	33.77	5 of 7 - Sale <sup>25</sup>
	1.000	703.74	04/07/20	660.72	...	43.02	6 of 7 - Sale <sup>25</sup>
	1.000	703.74	04/07/20	657.74	...	46.00	7 of 7 - Sale <sup>25</sup>
04/21/20	7.000	4,926.21	Various	4,721.46	...	204.75	Total of 7 transactions
	5 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	703.75	04/07/20	747.73	43.98 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	703.74	04/07/20	753.63	49.89 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	703.75	04/14/20	780.71	76.96 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	703.74	04/14/20	767.90	64.16 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	703.75	04/17/20	797.36	93.61 W	0.00	5 of 5 - Sale <sup>25</sup>
04/21/20	5.000	3,518.73	Various	3,847.33	328.60 W	0.00	Total of 5 transactions
	5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	704.98	04/22/20	701.00	...	3.98	1 of 5 - Sale <sup>25</sup>
	1.000	704.99	04/22/20	698.00	...	6.99	2 of 5 - Sale <sup>25</sup>
	1.000	700.43	05/01/20	700.17	...	0.26	3 of 5 - Sale <sup>25</sup>
	2.000	1,400.85	05/01/20	1,400.00	...	0.85	4 of 5 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	698.00	...	2.42	5 of 5 - Sale <sup>25</sup>
05/01/20	6.000	4,211.67	Various	4,197.17	...	14.50	Total of 5 transactions
	29 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	705.86	04/22/20	736.48	30.62 W	0.00	1 of 29 - Sale <sup>25</sup>
	1.000	704.98	04/22/20	769.46	64.48 W	0.00	2 of 29 - Sale <sup>25</sup>
	1.000	704.98	04/22/20	756.66	51.68 W	0.00	3 of 29 - Sale <sup>25</sup>
	1.000	704.98	04/22/20	722.62	17.64 W	0.00	4 of 29 - Sale <sup>25</sup>
	1.000	704.99	04/22/20	785.61	80.62 W	0.00	5 of 29 - Sale <sup>25</sup>
	1.000	704.98	04/22/20	737.41	32.43 W	0.00	6 of 29 - Sale <sup>25</sup>
	1.000	704.99	04/22/20	715.49	10.50 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.000	705.98	04/22/20	742.39	36.41 W	0.00	8 of 29 - Sale <sup>25</sup>
	1.000	705.98	04/22/20	720.47	14.49 W	0.00	9 of 29 - Sale <sup>25</sup>
	1.000	704.98	04/28/20	776.00	71.02 W	0.00	10 of 29 - Sale <sup>25</sup>
	1.000	706.98	05/01/20	779.48	72.50 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	706.98	05/01/20	766.68	59.70 W	0.00	12 of 29 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	709.98	05/01/20	741.92	31.94 W	0.00	13 of 29 - Sale <sup>25</sup>
	1.000	709.98	05/01/20	720.50	10.52 W	0.00	14 of 29 - Sale <sup>25</sup>
	1.000	709.99	05/01/20	780.52	70.53 W	0.00	15 of 29 - Sale <sup>25</sup>
	1.000	709.98	05/01/20	731.64	21.66 W	0.00	16 of 29 - Sale <sup>25</sup>
	1.000	709.99	05/01/20	794.62	84.63 W	0.00	17 of 29 - Sale <sup>25</sup>
	1.000	709.98	05/01/20	780.50	70.52 W	0.00	18 of 29 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	767.70	67.28 W	0.00	19 of 29 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	727.66	27.24 W	0.00	20 of 29 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	789.63	89.21 W	0.00	21 of 29 - Sale <sup>25</sup>
	1.000	700.43	05/01/20	735.94	35.51 W	0.00	22 of 29 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	713.52	13.10 W	0.00	23 of 29 - Sale <sup>25</sup>
	1.000	700.43	05/01/20	773.53	73.10 W	0.00	24 of 29 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	772.52	72.10 W	0.00	25 of 29 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	719.00	18.58 W	0.00	26 of 29 - Sale <sup>25</sup>
	1.000	700.43	05/01/20	703.00	2.57 W	0.00	27 of 29 - Sale <sup>25</sup>
	1.000	700.43	05/01/20	702.50	2.07 W	0.00	28 of 29 - Sale <sup>25</sup>
	1.000	700.42	05/01/20	702.50	2.08 W	0.00	29 of 29 - Sale <sup>25</sup>
05/01/20	29.000	20,431.22	Various	21,665.95	1,234.73 W	0.00	Total of 29 transactions
	5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	765.79	05/01/20	740.31	...	25.48	1 of 5 - Sale <sup>25</sup>
	1.000	765.79	05/01/20	732.04	...	33.75	2 of 5 - Sale <sup>25</sup>
	1.000	765.79	05/01/20	722.77	...	43.02	3 of 5 - Sale <sup>25</sup>
	1.000	765.79	05/01/20	717.98	...	47.81	4 of 5 - Sale <sup>25</sup>
	1.000	765.79	05/04/20	698.45	...	67.34	5 of 5 - Sale <sup>25</sup>
05/05/20	5.000	3,828.95	Various	3,611.55	...	217.40	Total of 5 transactions
	25 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	765.79	05/01/20	772.73	6.94 W	0.00	1 of 25 - Sale <sup>25</sup>
	1.000	765.79	05/01/20	794.01	28.22 W	0.00	2 of 25 - Sale <sup>25</sup>
	1.000	765.79	05/01/20	777.98	12.19 W	0.00	3 of 25 - Sale <sup>25</sup>
	1.000	765.79	05/01/20	776.44	10.65 W	0.00	4 of 25 - Sale <sup>25</sup>
	1.000	765.80	05/05/20	782.07	16.27 W	0.00	5 of 25 - Sale <sup>25</sup>
	1.000	769.85	05/05/20	779.08	9.23 W	0.00	6 of 25 - Sale <sup>25</sup>
	1.000	769.85	05/05/20	784.94	15.09 W	0.00	7 of 25 - Sale <sup>25</sup>
	1.000	769.86	05/05/20	806.32	36.46 W	0.00	8 of 25 - Sale <sup>25</sup>

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(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	769.85	05/05/20	790.11	20.26 W	0.00	9 of 25 - Sale <sup>25</sup>
	1.000	769.86	05/05/20	787.99	18.13 W	0.00	10 of 25 - Sale <sup>25</sup>
	1.000	769.85	05/05/20	793.61	23.76 W	0.00	11 of 25 - Sale <sup>25</sup>
	1.000	769.86	05/05/20	777.34	7.48 W	0.00	12 of 25 - Sale <sup>25</sup>
	1.000	769.85	05/05/20	777.00	7.15 W	0.00	13 of 25 - Sale <sup>25</sup>
	1.000	769.86	05/05/20	772.50	2.64 W	0.00	14 of 25 - Sale <sup>25</sup>
	1.000	769.85	05/05/20	772.81	2.96 W	0.00	15 of 25 - Sale <sup>25</sup>
	1.000	767.24	05/05/20	775.23	7.99 W	0.00	16 of 25 - Sale <sup>25</sup>
	1.000	767.24	05/05/20	785.59	18.35 W	0.00	17 of 25 - Sale <sup>25</sup>
	1.000	767.24	05/05/20	806.96	39.72 W	0.00	18 of 25 - Sale <sup>25</sup>
	1.000	767.24	05/05/20	787.13	19.89 W	0.00	19 of 25 - Sale <sup>25</sup>
	1.000	767.25	05/05/20	789.26	22.01 W	0.00	20 of 25 - Sale <sup>25</sup>
	1.000	767.24	05/05/20	791.76	24.52 W	0.00	21 of 25 - Sale <sup>25</sup>
	1.000	767.25	05/05/20	775.48	8.23 W	0.00	22 of 25 - Sale <sup>25</sup>
	1.000	767.24	05/05/20	774.65	7.41 W	0.00	23 of 25 - Sale <sup>25</sup>
	1.000	767.25	05/05/20	768.64	1.39 W	0.00	24 of 25 - Sale <sup>25</sup>
	1.000	767.24	05/05/20	768.46	1.22 W	0.00	25 of 25 - Sale <sup>25</sup>
05/05/20	25.000	19,199.93	Various	19,568.09	368.16 W	0.00	Total of 25 transactions
05/13/20	1.000	808.98	05/05/20	787.29	...	21.69	Sale <sup>25</sup>
05/14/20	1.000	784.98	05/05/20	776.93	...	8.05	Sale <sup>25</sup>
	4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	784.98	05/05/20	788.83	3.85 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	784.98	05/05/20	808.66	23.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	784.98	05/05/20	790.95	5.97 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	784.99	05/05/20	793.46	8.47 W	0.00	4 of 4 - Sale <sup>25</sup>
05/14/20	4.000	3,139.93	Various	3,181.90	41.97 W	0.00	Total of 4 transactions
	6 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	1.000	795.92	05/05/20	777.17	...	18.75	1 of 6 - Sale <sup>25</sup>
	1.000	795.92	05/05/20	776.35	...	19.57	2 of 6 - Sale <sup>25</sup>
	1.000	795.92	05/05/20	770.33	...	25.59	3 of 6 - Sale <sup>25</sup>
	1.000	795.92	05/05/20	770.16	...	25.76	4 of 6 - Sale <sup>25</sup>
	2.000	1,591.85	05/14/20	1,580.00	...	11.85	5 of 6 - Sale <sup>25</sup>
	1.000	795.93	05/15/20	795.85	...	0.08	6 of 6 - Sale <sup>25</sup>
05/15/20	7.000	5,571.46	Various	5,469.86	...	101.60	Total of 6 transactions

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
5 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	795.92	05/13/20	814.85	18.93 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	795.92	05/14/20	813.68	17.76 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	795.92	05/14/20	795.97	0.05 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	795.92	05/14/20	798.47	2.55 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	795.92	05/15/20	796.54	0.62 W	0.00	5 of 5 - Sale <sup>25</sup>
05/15/20	5.000	3,979.60	Various	4,019.51	39.91 W	0.00	Total of 5 transactions
6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	810.24	05/18/20	830.93	20.69 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	810.24	05/18/20	829.76	19.52 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	810.24	05/18/20	812.05	1.81 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	810.24	05/18/20	814.55	4.31 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	810.24	05/18/20	812.62	2.38 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	4,051.22	05/18/20	4,059.98	8.76 W	0.00	6 of 6 - Sale <sup>25</sup>
05/18/20	10.000	8,102.42	Various	8,159.89	57.47 W	0.00	Total of 6 transactions
8 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	809.10	05/19/20	835.69	26.59 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	809.10	05/19/20	834.52	25.42 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	809.10	05/19/20	816.81	7.71 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	809.10	05/19/20	819.31	10.21 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	809.10	05/19/20	817.38	8.28 W	0.00	5 of 8 - Sale <sup>25</sup>
	2.000	1,618.21	05/19/20	1,635.50	17.29 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	1,618.21	05/19/20	1,633.51	15.30 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	809.10	05/19/20	815.11	6.01 W	0.00	8 of 8 - Sale <sup>25</sup>
05/19/20	10.000	8,091.02	Various	8,207.83	116.81 W	0.00	Total of 8 transactions
9 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	816.73	05/20/20	847.51	30.78 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	816.73	05/20/20	845.42	28.69 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	816.73	05/20/20	827.71	10.98 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	816.73	05/20/20	829.21	12.48 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	816.73	05/20/20	827.28	10.55 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	816.72	05/20/20	825.65	8.93 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	816.73	05/20/20	824.64	7.91 W	0.00	7 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	816.72	05/20/20	824.13	7.41 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	814.01	05/20/20	821.93	7.92 W	0.00	9 of 9 - Sale <sup>25</sup>
05/20/20	9.000	7,347.83	Various	7,473.48	125.65 W	0.00	Total of 9 transactions
	8 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	815.10	05/20/20	819.96	4.86 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	815.10	05/20/20	844.58	29.48 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	815.10	05/20/20	842.04	26.94 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	815.10	05/20/20	824.43	9.33 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	815.11	05/20/20	826.14	11.03 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	815.10	05/20/20	825.82	10.72 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	828.76	05/21/20	837.79	9.03 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	828.76	05/21/20	836.76	8.00 W	0.00	8 of 8 - Sale <sup>25</sup>
05/21/20	8.000	6,548.13	Various	6,657.52	109.39 W	0.00	Total of 8 transactions
	51 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	823.22	05/21/20	836.91	13.69 W	0.00	1 of 51 - Sale <sup>25</sup>
	1.000	827.57	05/21/20	835.92	8.35 W	0.00	2 of 51 - Sale <sup>25</sup>
	1.000	827.57	05/21/20	831.86	4.29 W	0.00	3 of 51 - Sale <sup>25</sup>
	1.000	827.57	05/21/20	857.36	29.79 W	0.00	4 of 51 - Sale <sup>25</sup>
	0.666	551.56	05/21/20	569.64	18.08 W	0.00	5 of 51 - Sale <sup>25</sup>
	0.034	28.31	05/21/20	29.24	0.93 W	0.00	6 of 51 - Sale <sup>25</sup>
	0.299	247.69	05/22/20	256.67	8.98 W	0.00	7 of 51 - Sale <sup>25</sup>
	0.701	579.87	05/22/20	588.54	8.67 W	0.00	8 of 51 - Sale <sup>25</sup>
	0.299	247.70	05/22/20	251.38	3.68 W	0.00	9 of 51 - Sale <sup>25</sup>
	0.701	579.87	05/22/20	589.71	9.84 W	0.00	10 of 51 - Sale <sup>25</sup>
	0.299	247.69	05/22/20	251.42	3.73 W	0.00	11 of 51 - Sale <sup>25</sup>
	0.701	579.87	05/22/20	588.39	8.52 W	0.00	12 of 51 - Sale <sup>25</sup>
	0.299	247.70	05/22/20	251.14	3.44 W	0.00	13 of 51 - Sale <sup>25</sup>
	0.701	579.87	05/22/20	586.76	6.89 W	0.00	14 of 51 - Sale <sup>25</sup>
	1.000	818.97	05/22/20	857.55	38.58 W	0.00	15 of 51 - Sale <sup>25</sup>
	0.034	28.02	05/22/20	29.25	1.23 W	0.00	16 of 51 - Sale <sup>25</sup>
	0.666	545.83	05/22/20	569.77	23.94 W	0.00	17 of 51 - Sale <sup>25</sup>
	0.299	245.12	05/22/20	256.74	11.62 W	0.00	18 of 51 - Sale <sup>25</sup>
	0.299	245.12	05/22/20	251.43	6.31 W	0.00	19 of 51 - Sale <sup>25</sup>
	0.701	573.85	05/22/20	588.68	14.83 W	0.00	20 of 51 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.701	573.85	05/22/20	589.85	16.00 W	0.00	21 of 51 - Sale <sup>25</sup>
	1.000	818.97	05/22/20	832.05	13.08 W	0.00	22 of 51 - Sale <sup>25</sup>
	1.000	818.97	05/22/20	841.45	22.48 W	0.00	23 of 51 - Sale <sup>25</sup>
	1.000	818.97	05/22/20	836.11	17.14 W	0.00	24 of 51 - Sale <sup>25</sup>
	0.299	245.12	05/22/20	250.45	5.33 W	0.00	25 of 51 - Sale <sup>25</sup>
	1.000	818.97	05/22/20	835.76	16.79 W	0.00	26 of 51 - Sale <sup>25</sup>
	0.299	245.12	05/22/20	251.53	6.41 W	0.00	27 of 51 - Sale <sup>25</sup>
	0.701	573.85	05/22/20	588.64	14.79 W	0.00	28 of 51 - Sale <sup>25</sup>
	0.299	245.12	05/22/20	249.23	4.11 W	0.00	29 of 51 - Sale <sup>25</sup>
	0.701	573.85	05/22/20	582.30	8.45 W	0.00	30 of 51 - Sale <sup>25</sup>
	1.000	818.98	05/22/20	821.12	2.14 W	0.00	31 of 51 - Sale <sup>25</sup>
	1.000	817.08	05/22/20	854.87	37.79 W	0.00	32 of 51 - Sale <sup>25</sup>
	0.034	27.95	05/22/20	29.16	1.21 W	0.00	33 of 51 - Sale <sup>25</sup>
	0.666	544.57	05/22/20	567.98	23.41 W	0.00	34 of 51 - Sale <sup>25</sup>
	0.299	244.56	05/22/20	255.94	11.38 W	0.00	35 of 51 - Sale <sup>25</sup>
	0.299	244.56	05/22/20	250.63	6.07 W	0.00	36 of 51 - Sale <sup>25</sup>
	0.701	572.52	05/22/20	586.80	14.28 W	0.00	37 of 51 - Sale <sup>25</sup>
	0.299	244.56	05/22/20	250.73	6.17 W	0.00	38 of 51 - Sale <sup>25</sup>
	0.701	572.52	05/22/20	587.97	15.45 W	0.00	39 of 51 - Sale <sup>25</sup>
	1.000	817.08	05/22/20	829.37	12.29 W	0.00	40 of 51 - Sale <sup>25</sup>
	0.299	244.56	05/22/20	248.43	3.87 W	0.00	41 of 51 - Sale <sup>25</sup>
	0.701	572.53	05/22/20	586.76	14.23 W	0.00	42 of 51 - Sale <sup>25</sup>
	1.000	817.08	05/22/20	838.77	21.69 W	0.00	43 of 51 - Sale <sup>25</sup>
	1.000	817.08	05/22/20	833.43	16.35 W	0.00	44 of 51 - Sale <sup>25</sup>
	0.299	244.56	05/22/20	249.65	5.09 W	0.00	45 of 51 - Sale <sup>25</sup>
	0.701	572.52	05/22/20	580.42	7.90 W	0.00	46 of 51 - Sale <sup>25</sup>
	1.000	817.08	05/22/20	833.08	16.00 W	0.00	47 of 51 - Sale <sup>25</sup>
	1.000	817.08	05/22/20	819.49	2.41 W	0.00	48 of 51 - Sale <sup>25</sup>
	9.000	7,353.75	05/22/20	7,356.19	2.44 W	0.00	49 of 51 - Sale <sup>25</sup>
	10.000	8,170.83	05/22/20	8,172.07	1.24 W	0.00	50 of 51 - Sale <sup>25</sup>
	5.000	4,085.41	05/22/20	4,087.83	2.42 W	0.00	51 of 51 - Sale <sup>25</sup>
05/22/20	54.701	44,802.62	Various	45,376.42	573.80 W	0.00	Total of 51 transactions

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
10 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	0.299	249.18	05/22/20	248.83	...	0.35	1 of 10 - Sale <sup>25</sup>
	1.000	832.67	05/22/20	830.72	...	1.95	2 of 10 - Sale <sup>25</sup>
	0.701	583.33	05/22/20	581.37	...	1.96	3 of 10 - Sale <sup>25</sup>
	1.000	828.98	05/22/20	820.84	...	8.14	4 of 10 - Sale <sup>25</sup>
	1.000	828.98	05/22/20	818.64	...	10.34	5 of 10 - Sale <sup>25</sup>
	1.000	828.98	05/22/20	818.56	...	10.42	6 of 10 - Sale <sup>25</sup>
	1.000	828.99	05/22/20	818.44	...	10.55	7 of 10 - Sale <sup>25</sup>
	2.000	1,657.96	05/22/20	1,637.83	...	20.13	8 of 10 - Sale <sup>25</sup>
	9.000	7,460.84	05/22/20	7,368.31	...	92.53	9 of 10 - Sale <sup>25</sup>
	10.000	8,289.81	05/22/20	8,185.54	...	104.27	10 of 10 - Sale <sup>25</sup>
05/26/20	27.000	22,389.72	Various	22,129.08	...	260.64	Total of 10 transactions
52 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	832.68	05/22/20	856.22	23.54 W	0.00	1 of 52 - Sale <sup>25</sup>
	0.034	28.49	05/22/20	29.21	0.72 W	0.00	2 of 52 - Sale <sup>25</sup>
	0.666	554.97	05/22/20	568.88	13.91 W	0.00	3 of 52 - Sale <sup>25</sup>
	0.299	249.23	05/22/20	256.34	7.11 W	0.00	4 of 52 - Sale <sup>25</sup>
	0.299	249.23	05/22/20	251.03	1.80 W	0.00	5 of 52 - Sale <sup>25</sup>
	0.701	583.45	05/22/20	587.75	4.30 W	0.00	6 of 52 - Sale <sup>25</sup>
	0.299	249.23	05/22/20	251.13	1.90 W	0.00	7 of 52 - Sale <sup>25</sup>
	0.701	583.45	05/22/20	588.92	5.47 W	0.00	8 of 52 - Sale <sup>25</sup>
	1.000	832.68	05/22/20	840.12	7.44 W	0.00	9 of 52 - Sale <sup>25</sup>
	0.701	583.33	05/22/20	587.70	4.37 W	0.00	10 of 52 - Sale <sup>25</sup>
	1.000	832.51	05/22/20	834.78	2.27 W	0.00	11 of 52 - Sale <sup>25</sup>
	0.299	249.18	05/22/20	250.05	0.87 W	0.00	12 of 52 - Sale <sup>25</sup>
	1.000	832.51	05/22/20	834.43	1.92 W	0.00	13 of 52 - Sale <sup>25</sup>
	1.000	820.41	05/26/20	845.41	25.00 W	0.00	14 of 52 - Sale <sup>25</sup>
	0.034	28.07	05/26/20	28.84	0.77 W	0.00	15 of 52 - Sale <sup>25</sup>
	0.666	546.79	05/26/20	561.67	14.88 W	0.00	16 of 52 - Sale <sup>25</sup>
	0.299	245.55	05/26/20	253.10	7.55 W	0.00	17 of 52 - Sale <sup>25</sup>
	0.299	245.55	05/26/20	247.79	2.24 W	0.00	18 of 52 - Sale <sup>25</sup>
	0.701	574.85	05/26/20	580.17	5.32 W	0.00	19 of 52 - Sale <sup>25</sup>
	0.299	245.55	05/26/20	247.89	2.34 W	0.00	20 of 52 - Sale <sup>25</sup>
	0.701	574.86	05/26/20	581.35	6.49 W	0.00	21 of 52 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.701	574.85	05/26/20	580.25	5.40 W	0.00	22 of 52 - Sale <sup>25</sup>
	1.000	820.41	05/26/20	829.30	8.89 W	0.00	23 of 52 - Sale <sup>25</sup>
	1.000	820.41	05/26/20	824.13	3.72 W	0.00	24 of 52 - Sale <sup>25</sup>
	0.299	245.55	05/26/20	246.86	1.31 W	0.00	25 of 52 - Sale <sup>25</sup>
	1.000	820.41	05/26/20	823.78	3.37 W	0.00	26 of 52 - Sale <sup>25</sup>
	2.000	1,640.82	05/26/20	1,643.73	2.91 W	0.00	27 of 52 - Sale <sup>25</sup>
	3.000	2,461.22	05/26/20	2,469.00	7.78 W	0.00	28 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	822.63	6.02 W	0.00	29 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	845.55	28.94 W	0.00	30 of 52 - Sale <sup>25</sup>
	0.034	27.94	05/26/20	28.84	0.90 W	0.00	31 of 52 - Sale <sup>25</sup>
	0.666	544.25	05/26/20	561.76	17.51 W	0.00	32 of 52 - Sale <sup>25</sup>
	0.299	244.42	05/26/20	253.14	8.72 W	0.00	33 of 52 - Sale <sup>25</sup>
	0.299	244.42	05/26/20	247.83	3.41 W	0.00	34 of 52 - Sale <sup>25</sup>
	0.701	572.19	05/26/20	580.27	8.08 W	0.00	35 of 52 - Sale <sup>25</sup>
	0.299	244.42	05/26/20	247.93	3.51 W	0.00	36 of 52 - Sale <sup>25</sup>
	0.701	572.19	05/26/20	581.44	9.25 W	0.00	37 of 52 - Sale <sup>25</sup>
	0.701	572.19	05/26/20	580.35	8.16 W	0.00	38 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	829.44	12.83 W	0.00	39 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	824.27	7.66 W	0.00	40 of 52 - Sale <sup>25</sup>
	0.299	244.42	05/26/20	246.91	2.49 W	0.00	41 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	823.92	7.31 W	0.00	42 of 52 - Sale <sup>25</sup>
	2.000	1,633.22	05/26/20	1,644.00	10.78 W	0.00	43 of 52 - Sale <sup>25</sup>
	2.000	1,633.21	05/26/20	1,645.41	12.20 W	0.00	44 of 52 - Sale <sup>25</sup>
	3.000	2,449.82	05/26/20	2,460.32	10.50 W	0.00	45 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	820.36	3.75 W	0.00	46 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	819.50	2.89 W	0.00	47 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	818.50	1.89 W	0.00	48 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	818.45	1.84 W	0.00	49 of 52 - Sale <sup>25</sup>
	1.000	816.60	05/26/20	817.75	1.15 W	0.00	50 of 52 - Sale <sup>25</sup>
	1.000	816.61	05/26/20	817.84	1.23 W	0.00	51 of 52 - Sale <sup>25</sup>
	1.000	816.60	05/26/20	818.41	1.81 W	0.00	52 of 52 - Sale <sup>25</sup>
05/26/20	44.000	36,108.23	Various	36,454.65	346.42 W	0.00	Total of 52 transactions

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 143648111
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>14 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	823.18	05/29/20	821.75	...	1.43	1 of 14 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	821.67	...	1.51	2 of 14 - Sale <sup>25</sup>
	1.000	823.19	05/29/20	821.13	...	2.06	3 of 14 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	820.75	...	2.43	4 of 14 - Sale <sup>25</sup>
	1.000	823.19	05/29/20	820.67	...	2.52	5 of 14 - Sale <sup>25</sup>
	3.000	2,470.45	05/29/20	2,467.50	...	2.95	6 of 14 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	819.94	...	3.24	7 of 14 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	819.75	...	3.43	8 of 14 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	818.93	...	4.25	9 of 14 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	818.89	...	4.29	10 of 14 - Sale <sup>25</sup>
	1.000	832.98	05/29/20	827.44	...	5.54	11 of 14 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	817.39	...	5.79	12 of 14 - Sale <sup>25</sup>
	6.000	4,940.89	05/29/20	4,935.00	...	5.89	13 of 14 - Sale <sup>25</sup>
	3.000	2,469.55	05/29/20	2,451.42	...	18.13	14 of 14 - Sale <sup>25</sup>
05/29/20	23.000	18,945.69	Various	18,882.23	...	63.46	Total of 14 transactions
<i>113 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	811.98	05/29/20	836.94	24.96 W	0.00	1 of 113 - Sale <sup>25</sup>
	0.034	27.78	05/29/20	28.54	0.76 W	0.00	2 of 113 - Sale <sup>25</sup>
	0.666	541.17	05/29/20	556.03	14.86 W	0.00	3 of 113 - Sale <sup>25</sup>
	0.299	243.03	05/29/20	250.56	7.53 W	0.00	4 of 113 - Sale <sup>25</sup>
	0.299	243.03	05/29/20	245.90	2.87 W	0.00	5 of 113 - Sale <sup>25</sup>
	0.701	568.95	05/29/20	575.76	6.81 W	0.00	6 of 113 - Sale <sup>25</sup>
	0.299	243.03	05/29/20	246.00	2.97 W	0.00	7 of 113 - Sale <sup>25</sup>
	0.701	568.95	05/29/20	576.93	7.98 W	0.00	8 of 113 - Sale <sup>25</sup>
	1.000	811.99	05/29/20	823.01	11.02 W	0.00	9 of 113 - Sale <sup>25</sup>
	1.000	809.67	05/29/20	816.56	6.89 W	0.00	10 of 113 - Sale <sup>25</sup>
	0.701	567.33	05/29/20	575.22	7.89 W	0.00	11 of 113 - Sale <sup>25</sup>
	0.299	242.34	05/29/20	244.71	2.37 W	0.00	12 of 113 - Sale <sup>25</sup>
	1.000	809.68	05/29/20	812.81	3.13 W	0.00	13 of 113 - Sale <sup>25</sup>
	1.000	809.67	05/29/20	810.39	0.72 W	0.00	14 of 113 - Sale <sup>25</sup>
	1.000	820.08	05/29/20	824.39	4.31 W	0.00	15 of 113 - Sale <sup>25</sup>
	1.000	819.98	05/29/20	824.92	4.94 W	0.00	16 of 113 - Sale <sup>25</sup>
	1.000	819.98	05/29/20	825.00	5.02 W	0.00	17 of 113 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	821.58	05/29/20	824.60	3.02 W	0.00	18 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	822.25	0.67 W	0.00	19 of 113 - Sale <sup>25</sup>
	3.000	2,464.75	05/29/20	2,466.00	1.25 W	0.00	20 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	824.79	3.21 W	0.00	21 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	823.79	2.21 W	0.00	22 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	823.74	2.16 W	0.00	23 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	823.05	1.47 W	0.00	24 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	823.13	1.55 W	0.00	25 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	846.66	25.08 W	0.00	26 of 113 - Sale <sup>25</sup>
	0.034	28.11	05/29/20	28.87	0.76 W	0.00	27 of 113 - Sale <sup>25</sup>
	0.666	547.57	05/29/20	562.51	14.94 W	0.00	28 of 113 - Sale <sup>25</sup>
	0.299	245.91	05/29/20	253.47	7.56 W	0.00	29 of 113 - Sale <sup>25</sup>
	1.000	821.58	05/29/20	823.51	1.93 W	0.00	30 of 113 - Sale <sup>25</sup>
	0.299	243.48	05/29/20	248.57	5.09 W	0.00	31 of 113 - Sale <sup>25</sup>
	0.701	570.00	05/29/20	582.01	12.01 W	0.00	32 of 113 - Sale <sup>25</sup>
	0.299	243.48	05/29/20	248.67	5.19 W	0.00	33 of 113 - Sale <sup>25</sup>
	0.701	570.00	05/29/20	583.18	13.18 W	0.00	34 of 113 - Sale <sup>25</sup>
	0.701	570.00	05/29/20	581.09	11.09 W	0.00	35 of 113 - Sale <sup>25</sup>
	1.000	813.48	05/29/20	829.07	15.59 W	0.00	36 of 113 - Sale <sup>25</sup>
	1.000	813.47	05/29/20	824.94	11.47 W	0.00	37 of 113 - Sale <sup>25</sup>
	0.299	243.48	05/29/20	247.22	3.74 W	0.00	38 of 113 - Sale <sup>25</sup>
	1.000	813.47	05/29/20	821.18	7.71 W	0.00	39 of 113 - Sale <sup>25</sup>
	1.000	813.48	05/29/20	818.77	5.29 W	0.00	40 of 113 - Sale <sup>25</sup>
	1.000	813.47	05/29/20	820.31	6.84 W	0.00	41 of 113 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	844.28	21.10 W	0.00	42 of 113 - Sale <sup>25</sup>
	0.034	28.16	05/29/20	28.79	0.63 W	0.00	43 of 113 - Sale <sup>25</sup>
	0.666	548.64	05/29/20	560.92	12.28 W	0.00	44 of 113 - Sale <sup>25</sup>
	0.299	246.38	05/29/20	252.75	6.37 W	0.00	45 of 113 - Sale <sup>25</sup>
	0.299	246.38	05/29/20	250.28	3.90 W	0.00	46 of 113 - Sale <sup>25</sup>
	0.701	576.80	05/29/20	586.02	9.22 W	0.00	47 of 113 - Sale <sup>25</sup>
	0.299	246.38	05/29/20	250.38	4.00 W	0.00	48 of 113 - Sale <sup>25</sup>
	0.701	576.80	05/29/20	587.19	10.39 W	0.00	49 of 113 - Sale <sup>25</sup>
	0.701	576.80	05/29/20	585.10	8.30 W	0.00	50 of 113 - Sale <sup>25</sup>
	1.000	823.18	05/29/20	834.79	11.61 W	0.00	51 of 113 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	823.18	05/29/20	830.67	7.49 W	0.00	52 of 113 - Sale <sup>25</sup>
	0.299	246.38	05/29/20	248.93	2.55 W	0.00	53 of 113 - Sale <sup>25</sup>
	1.000	823.48	05/29/20	830.21	6.73 W	0.00	54 of 113 - Sale <sup>25</sup>
	1.000	823.48	05/29/20	843.60	20.12 W	0.00	55 of 113 - Sale <sup>25</sup>
	0.034	28.17	05/29/20	28.77	0.60 W	0.00	56 of 113 - Sale <sup>25</sup>
	0.666	548.84	05/29/20	560.46	11.62 W	0.00	57 of 113 - Sale <sup>25</sup>
	0.299	246.47	05/29/20	252.55	6.08 W	0.00	58 of 113 - Sale <sup>25</sup>
	0.299	246.47	05/29/20	250.08	3.61 W	0.00	59 of 113 - Sale <sup>25</sup>
	0.701	577.01	05/29/20	585.54	8.53 W	0.00	60 of 113 - Sale <sup>25</sup>
	0.299	246.47	05/29/20	250.18	3.71 W	0.00	61 of 113 - Sale <sup>25</sup>
	0.701	577.01	05/29/20	586.71	9.70 W	0.00	62 of 113 - Sale <sup>25</sup>
	0.701	577.01	05/29/20	584.62	7.61 W	0.00	63 of 113 - Sale <sup>25</sup>
	1.000	823.48	05/29/20	834.11	10.63 W	0.00	64 of 113 - Sale <sup>25</sup>
	1.000	823.48	05/29/20	829.99	6.51 W	0.00	65 of 113 - Sale <sup>25</sup>
	0.299	246.47	05/29/20	248.73	2.26 W	0.00	66 of 113 - Sale <sup>25</sup>
	1.000	823.48	05/29/20	829.23	5.75 W	0.00	67 of 113 - Sale <sup>25</sup>
	1.000	823.48	05/29/20	827.79	4.31 W	0.00	68 of 113 - Sale <sup>25</sup>
	1.000	823.49	05/29/20	829.34	5.85 W	0.00	69 of 113 - Sale <sup>25</sup>
	1.000	824.13	05/29/20	844.62	20.49 W	0.00	70 of 113 - Sale <sup>25</sup>
	0.034	28.20	05/29/20	28.81	0.61 W	0.00	71 of 113 - Sale <sup>25</sup>
	0.666	549.27	05/29/20	561.13	11.86 W	0.00	72 of 113 - Sale <sup>25</sup>
	0.299	246.67	05/29/20	252.86	6.19 W	0.00	73 of 113 - Sale <sup>25</sup>
	0.299	246.67	05/29/20	250.39	3.72 W	0.00	74 of 113 - Sale <sup>25</sup>
	0.701	577.47	05/29/20	586.25	8.78 W	0.00	75 of 113 - Sale <sup>25</sup>
	0.299	246.67	05/29/20	250.49	3.82 W	0.00	76 of 113 - Sale <sup>25</sup>
	0.701	577.47	05/29/20	587.42	9.95 W	0.00	77 of 113 - Sale <sup>25</sup>
	0.701	577.47	05/29/20	585.33	7.86 W	0.00	78 of 113 - Sale <sup>25</sup>
	1.000	824.13	05/29/20	835.13	11.00 W	0.00	79 of 113 - Sale <sup>25</sup>
	1.000	824.13	05/29/20	831.01	6.88 W	0.00	80 of 113 - Sale <sup>25</sup>
	0.299	246.67	05/29/20	249.04	2.37 W	0.00	81 of 113 - Sale <sup>25</sup>
	1.000	824.13	05/29/20	830.25	6.12 W	0.00	82 of 113 - Sale <sup>25</sup>
	1.000	824.13	05/29/20	828.81	4.68 W	0.00	83 of 113 - Sale <sup>25</sup>
	1.000	824.14	05/29/20	830.35	6.21 W	0.00	84 of 113 - Sale <sup>25</sup>
	10.000	8,241.35	05/29/20	8,245.00	3.65 W	0.00	85 of 113 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	823.98	05/29/20	845.36	21.38 W	0.00	86 of 113 - Sale <sup>25</sup>
	0.034	28.19	05/29/20	28.83	0.64 W	0.00	87 of 113 - Sale <sup>25</sup>
	0.666	549.17	05/29/20	561.62	12.45 W	0.00	88 of 113 - Sale <sup>25</sup>
	0.299	246.62	05/29/20	253.08	6.46 W	0.00	89 of 113 - Sale <sup>25</sup>
	0.299	246.62	05/29/20	250.61	3.99 W	0.00	90 of 113 - Sale <sup>25</sup>
	0.701	577.36	05/29/20	586.76	9.40 W	0.00	91 of 113 - Sale <sup>25</sup>
	0.299	246.62	05/29/20	250.71	4.09 W	0.00	92 of 113 - Sale <sup>25</sup>
	0.701	577.36	05/29/20	587.93	10.57 W	0.00	93 of 113 - Sale <sup>25</sup>
	0.701	577.36	05/29/20	585.84	8.48 W	0.00	94 of 113 - Sale <sup>25</sup>
	1.000	823.98	05/29/20	835.87	11.89 W	0.00	95 of 113 - Sale <sup>25</sup>
	1.000	823.98	05/29/20	831.75	7.77 W	0.00	96 of 113 - Sale <sup>25</sup>
	0.299	246.62	05/29/20	249.26	2.64 W	0.00	97 of 113 - Sale <sup>25</sup>
	1.000	823.98	05/29/20	830.99	7.01 W	0.00	98 of 113 - Sale <sup>25</sup>
	1.000	823.98	05/29/20	829.55	5.57 W	0.00	99 of 113 - Sale <sup>25</sup>
	1.000	823.98	05/29/20	831.08	7.10 W	0.00	100 of 113 - Sale <sup>25</sup>
	5.000	4,119.92	05/29/20	4,126.18	6.26 W	0.00	101 of 113 - Sale <sup>25</sup>
	1.000	832.98	05/29/20	834.91	1.93 W	0.00	102 of 113 - Sale <sup>25</sup>
	1.000	832.98	05/29/20	855.92	22.94 W	0.00	103 of 113 - Sale <sup>25</sup>
	0.034	28.50	05/29/20	29.19	0.69 W	0.00	104 of 113 - Sale <sup>25</sup>
	0.666	555.17	05/29/20	568.66	13.49 W	0.00	105 of 113 - Sale <sup>25</sup>
	0.299	249.32	05/29/20	256.24	6.92 W	0.00	106 of 113 - Sale <sup>25</sup>
	0.299	249.32	05/29/20	253.77	4.45 W	0.00	107 of 113 - Sale <sup>25</sup>
	0.701	583.66	05/29/20	594.16	10.50 W	0.00	108 of 113 - Sale <sup>25</sup>
	0.299	249.32	05/29/20	253.87	4.55 W	0.00	109 of 113 - Sale <sup>25</sup>
	0.701	583.66	05/29/20	595.33	11.67 W	0.00	110 of 113 - Sale <sup>25</sup>
	1.000	832.98	05/29/20	846.43	13.45 W	0.00	111 of 113 - Sale <sup>25</sup>
	3.000	2,498.94	05/29/20	2,504.71	5.77 W	0.00	112 of 113 - Sale <sup>25</sup>
	1.000	832.98	05/29/20	840.69	7.71 W	0.00	113 of 113 - Sale <sup>25</sup>
05/29/20	96.000	78,939.79	Various	79,773.25	833.46 W	0.00	Total of 113 transactions
31 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	0.299	257.74	05/29/20	252.11	...	5.63	1 of 31 - Sale <sup>25</sup>
	0.701	603.38	05/29/20	592.51	...	10.87	2 of 31 - Sale <sup>25</sup>
	1.000	861.11	05/29/20	840.60	...	20.51	3 of 31 - Sale <sup>25</sup>
	1.000	861.11	05/29/20	840.51	...	20.60	4 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	861.11	05/29/20	839.07	...	22.04	5 of 31 - Sale <sup>25</sup>
	1.000	861.11	05/29/20	835.43	...	25.68	6 of 31 - Sale <sup>25</sup>
	1.000	861.11	05/29/20	834.75	...	26.36	7 of 31 - Sale <sup>25</sup>
	4.000	3,444.44	05/29/20	3,339.01	...	105.43	8 of 31 - Sale <sup>25</sup>
	0.299	268.57	06/01/20	268.47	...	0.10	9 of 31 - Sale <sup>25</sup>
	3.000	2,683.59	06/01/20	2,683.34	...	0.25	10 of 31 - Sale <sup>25</sup>
	1.000	893.59	06/01/20	893.09	...	0.50	11 of 31 - Sale <sup>25</sup>
	4.299	3,846.07	06/01/20	3,845.50	...	0.57	12 of 31 - Sale <sup>25</sup>
	1.000	893.60	06/01/20	892.79	...	0.81	13 of 31 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	880.47	...	0.83	14 of 31 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	880.39	...	0.91	15 of 31 - Sale <sup>25</sup>
	1.000	897.31	06/01/20	896.33	...	0.98	16 of 31 - Sale <sup>25</sup>
	1.000	893.59	06/01/20	892.57	...	1.02	17 of 31 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	880.22	...	1.08	18 of 31 - Sale <sup>25</sup>
	4.000	3,525.21	06/01/20	3,523.97	...	1.24	19 of 31 - Sale <sup>25</sup>
	1.000	897.30	06/01/20	896.05	...	1.25	20 of 31 - Sale <sup>25</sup>
	1.000	881.31	06/01/20	879.89	...	1.42	21 of 31 - Sale <sup>25</sup>
	1.000	893.59	06/01/20	891.14	...	2.45	22 of 31 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	878.53	...	2.77	23 of 31 - Sale <sup>25</sup>
	1.000	897.30	06/01/20	894.21	...	3.09	24 of 31 - Sale <sup>25</sup>
	3.000	2,643.91	06/01/20	2,638.98	...	4.93	25 of 31 - Sale <sup>25</sup>
	5.000	4,486.53	06/01/20	4,480.85	...	5.68	26 of 31 - Sale <sup>25</sup>
	2.000	1,794.61	06/01/20	1,788.64	...	5.97	27 of 31 - Sale <sup>25</sup>
	3.000	2,680.79	06/01/20	2,674.77	...	6.02	28 of 31 - Sale <sup>25</sup>
	2.000	1,794.61	06/01/20	1,788.09	...	6.52	29 of 31 - Sale <sup>25</sup>
	2.000	1,787.19	06/01/20	1,779.24	...	7.95	30 of 31 - Sale <sup>25</sup>
	5.000	4,467.98	06/01/20	4,459.40	...	8.58	31 of 31 - Sale <sup>25</sup>
06/01/20	55.599	49,262.96	Various	48,960.92	...	302.04	Total of 31 transactions
	207 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	1.000	861.11	06/01/20	879.94	18.83 W	0.00	1 of 207 - Sale <sup>25</sup>
	0.034	29.46	06/01/20	30.11	0.65 W	0.00	2 of 207 - Sale <sup>25</sup>
	0.666	573.91	06/01/20	586.70	12.79 W	0.00	3 of 207 - Sale <sup>25</sup>
	0.299	257.74	06/01/20	264.34	6.60 W	0.00	4 of 207 - Sale <sup>25</sup>
	0.299	257.74	06/01/20	261.87	4.13 W	0.00	5 of 207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.701		603.37	06/01/20	613.13	9.76 W	0.00	6 of 207 - Sale <sup>25</sup>
0.299		257.74	06/01/20	261.50	3.76 W	0.00	7 of 207 - Sale <sup>25</sup>
0.701		603.37	06/01/20	613.22	9.85 W	0.00	8 of 207 - Sale <sup>25</sup>
1.000		861.28	06/01/20	885.61	24.33 W	0.00	9 of 207 - Sale <sup>25</sup>
0.034		29.47	06/01/20	30.30	0.83 W	0.00	10 of 207 - Sale <sup>25</sup>
0.666		574.03	06/01/20	590.48	16.45 W	0.00	11 of 207 - Sale <sup>25</sup>
0.299		257.79	06/01/20	266.03	8.24 W	0.00	12 of 207 - Sale <sup>25</sup>
0.299		257.79	06/01/20	263.56	5.77 W	0.00	13 of 207 - Sale <sup>25</sup>
0.701		603.50	06/01/20	617.11	13.61 W	0.00	14 of 207 - Sale <sup>25</sup>
0.299		257.79	06/01/20	263.19	5.40 W	0.00	15 of 207 - Sale <sup>25</sup>
0.701		603.50	06/01/20	617.20	13.70 W	0.00	16 of 207 - Sale <sup>25</sup>
1.000		861.28	06/01/20	880.23	18.95 W	0.00	17 of 207 - Sale <sup>25</sup>
1.000		861.28	06/01/20	874.49	13.21 W	0.00	18 of 207 - Sale <sup>25</sup>
3.000		2,583.85	06/01/20	2,606.11	22.26 W	0.00	19 of 207 - Sale <sup>25</sup>
5.000		4,306.43	06/01/20	4,333.92	27.49 W	0.00	20 of 207 - Sale <sup>25</sup>
4.000		3,445.14	06/01/20	3,469.12	23.98 W	0.00	21 of 207 - Sale <sup>25</sup>
4.000		3,445.14	06/01/20	3,459.24	14.10 W	0.00	22 of 207 - Sale <sup>25</sup>
1.000		855.56	06/01/20	888.33	32.77 W	0.00	23 of 207 - Sale <sup>25</sup>
0.034		29.27	06/01/20	30.39	1.12 W	0.00	24 of 207 - Sale <sup>25</sup>
0.666		570.22	06/01/20	592.29	22.07 W	0.00	25 of 207 - Sale <sup>25</sup>
0.299		256.08	06/01/20	266.84	10.76 W	0.00	26 of 207 - Sale <sup>25</sup>
0.299		256.08	06/01/20	264.37	8.29 W	0.00	27 of 207 - Sale <sup>25</sup>
0.701		599.49	06/01/20	619.01	19.52 W	0.00	28 of 207 - Sale <sup>25</sup>
0.299		256.08	06/01/20	264.00	7.92 W	0.00	29 of 207 - Sale <sup>25</sup>
0.701		599.49	06/01/20	619.10	19.61 W	0.00	30 of 207 - Sale <sup>25</sup>
1.000		855.56	06/01/20	882.95	27.39 W	0.00	31 of 207 - Sale <sup>25</sup>
1.000		855.56	06/01/20	877.21	21.65 W	0.00	32 of 207 - Sale <sup>25</sup>
3.000		2,566.69	06/01/20	2,614.26	47.57 W	0.00	33 of 207 - Sale <sup>25</sup>
4.000		3,422.26	06/01/20	3,479.98	57.72 W	0.00	34 of 207 - Sale <sup>25</sup>
4.000		3,422.25	06/01/20	3,470.10	47.85 W	0.00	35 of 207 - Sale <sup>25</sup>
5.000		4,277.82	06/01/20	4,347.49	69.67 W	0.00	36 of 207 - Sale <sup>25</sup>
1.000		865.48	06/01/20	890.15	24.67 W	0.00	37 of 207 - Sale <sup>25</sup>
0.034		29.76	06/01/20	30.45	0.69 W	0.00	38 of 207 - Sale <sup>25</sup>
0.666		579.83	06/01/20	593.50	13.67 W	0.00	39 of 207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.299	260.39	06/01/20	267.38	6.99 W	0.00	40 of 207 - Sale <sup>25</sup>
	0.299	260.39	06/01/20	264.91	4.52 W	0.00	41 of 207 - Sale <sup>25</sup>
	0.701	609.59	06/01/20	620.28	10.69 W	0.00	42 of 207 - Sale <sup>25</sup>
	0.299	260.39	06/01/20	264.54	4.15 W	0.00	43 of 207 - Sale <sup>25</sup>
	0.701	609.59	06/01/20	620.37	10.78 W	0.00	44 of 207 - Sale <sup>25</sup>
	1.000	869.98	06/01/20	884.77	14.79 W	0.00	45 of 207 - Sale <sup>25</sup>
	1.000	869.98	06/01/20	879.03	9.05 W	0.00	46 of 207 - Sale <sup>25</sup>
	1.000	869.98	06/01/20	871.31	1.33 W	0.00	47 of 207 - Sale <sup>25</sup>
	3.000	2,609.94	06/01/20	2,619.71	9.77 W	0.00	48 of 207 - Sale <sup>25</sup>
	1.000	869.98	06/01/20	871.07	1.09 W	0.00	49 of 207 - Sale <sup>25</sup>
	3.000	2,609.94	06/01/20	2,616.44	6.50 W	0.00	50 of 207 - Sale <sup>25</sup>
	3.000	2,609.94	06/01/20	2,610.53	0.59 W	0.00	51 of 207 - Sale <sup>25</sup>
	4.000	3,479.93	06/01/20	3,490.58	10.65 W	0.00	52 of 207 - Sale <sup>25</sup>
	1.000	869.98	06/01/20	880.73	10.75 W	0.00	53 of 207 - Sale <sup>25</sup>
	1.000	865.66	06/01/20	892.67	27.01 W	0.00	54 of 207 - Sale <sup>25</sup>
	0.034	29.62	06/01/20	30.39	0.77 W	0.00	55 of 207 - Sale <sup>25</sup>
	0.666	576.95	06/01/20	592.18	15.23 W	0.00	56 of 207 - Sale <sup>25</sup>
	0.299	259.10	06/01/20	266.79	7.69 W	0.00	57 of 207 - Sale <sup>25</sup>
	0.299	259.10	06/01/20	264.32	5.22 W	0.00	58 of 207 - Sale <sup>25</sup>
	0.701	606.56	06/01/20	618.89	12.33 W	0.00	59 of 207 - Sale <sup>25</sup>
	0.299	259.10	06/01/20	263.95	4.85 W	0.00	60 of 207 - Sale <sup>25</sup>
	0.701	606.56	06/01/20	618.98	12.42 W	0.00	61 of 207 - Sale <sup>25</sup>
	1.000	865.66	06/01/20	882.79	17.13 W	0.00	62 of 207 - Sale <sup>25</sup>
	1.000	865.66	06/01/20	877.05	11.39 W	0.00	63 of 207 - Sale <sup>25</sup>
	1.000	865.66	06/01/20	869.33	3.67 W	0.00	64 of 207 - Sale <sup>25</sup>
	1.000	865.66	06/01/20	869.09	3.43 W	0.00	65 of 207 - Sale <sup>25</sup>
	3.000	2,596.99	06/01/20	2,613.77	16.78 W	0.00	66 of 207 - Sale <sup>25</sup>
	3.000	2,596.99	06/01/20	2,610.50	13.51 W	0.00	67 of 207 - Sale <sup>25</sup>
	3.000	2,596.99	06/01/20	2,604.59	7.60 W	0.00	68 of 207 - Sale <sup>25</sup>
	4.000	3,462.66	06/01/20	3,482.64	19.98 W	0.00	69 of 207 - Sale <sup>25</sup>
	1.000	872.74	06/01/20	895.08	22.34 W	0.00	70 of 207 - Sale <sup>25</sup>
	0.034	29.86	06/01/20	30.47	0.61 W	0.00	71 of 207 - Sale <sup>25</sup>
	0.666	581.67	06/01/20	593.78	12.11 W	0.00	72 of 207 - Sale <sup>25</sup>
	0.299	261.22	06/01/20	267.51	6.29 W	0.00	73 of 207 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.299	261.22	06/01/20	265.04	3.82 W	0.00	74 of 207 - Sale <sup>25</sup>
	0.701	611.52	06/01/20	620.58	9.06 W	0.00	75 of 207 - Sale <sup>25</sup>
	0.299	261.22	06/01/20	264.67	3.45 W	0.00	76 of 207 - Sale <sup>25</sup>
	0.701	611.52	06/01/20	620.67	9.15 W	0.00	77 of 207 - Sale <sup>25</sup>
	1.000	872.74	06/01/20	878.82	6.08 W	0.00	78 of 207 - Sale <sup>25</sup>
	1.000	872.74	06/01/20	886.65	13.91 W	0.00	79 of 207 - Sale <sup>25</sup>
	1.000	872.74	06/01/20	880.92	8.18 W	0.00	80 of 207 - Sale <sup>25</sup>
	3.000	2,618.22	06/01/20	2,625.35	7.13 W	0.00	81 of 207 - Sale <sup>25</sup>
	1.000	872.74	06/01/20	874.16	1.42 W	0.00	82 of 207 - Sale <sup>25</sup>
	1.000	872.74	06/01/20	873.92	1.18 W	0.00	83 of 207 - Sale <sup>25</sup>
	1.000	872.74	06/01/20	873.02	0.28 W	0.00	84 of 207 - Sale <sup>25</sup>
	3.000	2,618.22	06/01/20	2,624.99	6.77 W	0.00	85 of 207 - Sale <sup>25</sup>
	4.000	3,490.97	06/01/20	3,501.95	10.98 W	0.00	86 of 207 - Sale <sup>25</sup>
	1.000	878.22	06/01/20	900.34	22.12 W	0.00	87 of 207 - Sale <sup>25</sup>
	0.034	30.05	06/01/20	30.65	0.60 W	0.00	88 of 207 - Sale <sup>25</sup>
	0.666	585.32	06/01/20	597.28	11.96 W	0.00	89 of 207 - Sale <sup>25</sup>
	0.299	262.86	06/01/20	269.08	6.22 W	0.00	90 of 207 - Sale <sup>25</sup>
	0.299	262.86	06/01/20	266.61	3.75 W	0.00	91 of 207 - Sale <sup>25</sup>
	0.701	615.37	06/01/20	624.27	8.90 W	0.00	92 of 207 - Sale <sup>25</sup>
	0.299	262.86	06/01/20	266.24	3.38 W	0.00	93 of 207 - Sale <sup>25</sup>
	0.701	615.36	06/01/20	624.36	9.00 W	0.00	94 of 207 - Sale <sup>25</sup>
	1.000	878.22	06/01/20	891.91	13.69 W	0.00	95 of 207 - Sale <sup>25</sup>
	1.000	878.22	06/01/20	886.18	7.96 W	0.00	96 of 207 - Sale <sup>25</sup>
	1.000	878.22	06/01/20	884.08	5.86 W	0.00	97 of 207 - Sale <sup>25</sup>
	1.000	878.22	06/01/20	880.38	2.16 W	0.00	98 of 207 - Sale <sup>25</sup>
	2.000	1,756.45	06/01/20	1,761.07	4.62 W	0.00	99 of 207 - Sale <sup>25</sup>
	1.000	875.12	06/01/20	900.62	25.50 W	0.00	100 of 207 - Sale <sup>25</sup>
	0.034	29.94	06/01/20	30.66	0.72 W	0.00	101 of 207 - Sale <sup>25</sup>
	0.666	583.25	06/01/20	597.46	14.21 W	0.00	102 of 207 - Sale <sup>25</sup>
	0.299	261.93	06/01/20	269.16	7.23 W	0.00	103 of 207 - Sale <sup>25</sup>
	0.299	261.93	06/01/20	266.69	4.76 W	0.00	104 of 207 - Sale <sup>25</sup>
	0.701	613.19	06/01/20	624.46	11.27 W	0.00	105 of 207 - Sale <sup>25</sup>
	0.299	261.93	06/01/20	266.32	4.39 W	0.00	106 of 207 - Sale <sup>25</sup>
	0.701	613.19	06/01/20	624.56	11.37 W	0.00	107 of 207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	875.11	06/01/20	892.19	17.08 W	0.00	108 of 207 - Sale <sup>25</sup>
	1.000	875.12	06/01/20	886.46	11.34 W	0.00	109 of 207 - Sale <sup>25</sup>
	1.000	875.11	06/01/20	879.92	4.81 W	0.00	110 of 207 - Sale <sup>25</sup>
	1.000	875.12	06/01/20	879.68	4.56 W	0.00	111 of 207 - Sale <sup>25</sup>
	2.000	1,750.23	06/01/20	1,761.75	11.52 W	0.00	112 of 207 - Sale <sup>25</sup>
	1.000	876.76	06/01/20	878.78	2.02 W	0.00	113 of 207 - Sale <sup>25</sup>
	2.000	1,753.52	06/01/20	1,761.62	8.10 W	0.00	114 of 207 - Sale <sup>25</sup>
	3.000	2,630.28	06/01/20	2,642.27	11.99 W	0.00	115 of 207 - Sale <sup>25</sup>
	4.000	3,507.03	06/01/20	3,524.98	17.95 W	0.00	116 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	884.36	10.00 W	0.00	117 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	880.38	6.02 W	0.00	118 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	903.35	28.99 W	0.00	119 of 207 - Sale <sup>25</sup>
	0.034	29.91	06/01/20	30.77	0.86 W	0.00	120 of 207 - Sale <sup>25</sup>
	0.666	582.75	06/01/20	599.66	16.91 W	0.00	121 of 207 - Sale <sup>25</sup>
	0.299	261.70	06/01/20	270.15	8.45 W	0.00	122 of 207 - Sale <sup>25</sup>
	0.299	261.70	06/01/20	267.69	5.99 W	0.00	123 of 207 - Sale <sup>25</sup>
	0.701	612.66	06/01/20	626.82	14.16 W	0.00	124 of 207 - Sale <sup>25</sup>
	0.299	261.70	06/01/20	266.48	4.78 W	0.00	125 of 207 - Sale <sup>25</sup>
	0.701	612.66	06/01/20	624.93	12.27 W	0.00	126 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	892.73	18.37 W	0.00	127 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	886.99	12.63 W	0.00	128 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	880.46	6.10 W	0.00	129 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	880.21	5.85 W	0.00	130 of 207 - Sale <sup>25</sup>
	2.000	1,748.72	06/01/20	1,762.82	14.10 W	0.00	131 of 207 - Sale <sup>25</sup>
	3.000	2,623.08	06/01/20	2,638.95	15.87 W	0.00	132 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	878.52	4.16 W	0.00	133 of 207 - Sale <sup>25</sup>
	2.000	1,748.72	06/01/20	1,761.10	12.38 W	0.00	134 of 207 - Sale <sup>25</sup>
	4.000	3,497.45	06/01/20	3,523.95	26.50 W	0.00	135 of 207 - Sale <sup>25</sup>
	3.000	2,623.09	06/01/20	2,629.50	6.41 W	0.00	136 of 207 - Sale <sup>25</sup>
	1.000	874.36	06/01/20	876.04	1.68 W	0.00	137 of 207 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	903.36	22.06 W	0.00	138 of 207 - Sale <sup>25</sup>
	0.034	30.15	06/01/20	30.77	0.62 W	0.00	139 of 207 - Sale <sup>25</sup>
	0.666	587.37	06/01/20	599.66	12.29 W	0.00	140 of 207 - Sale <sup>25</sup>
	0.299	263.78	06/01/20	270.15	6.37 W	0.00	141 of 207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.299	263.78	06/01/20	267.69	3.91 W	0.00	142 of 207 - Sale <sup>25</sup>
	0.701	617.52	06/01/20	626.83	9.31 W	0.00	143 of 207 - Sale <sup>25</sup>
	0.299	263.78	06/01/20	266.48	2.70 W	0.00	144 of 207 - Sale <sup>25</sup>
	0.701	617.52	06/01/20	624.94	7.42 W	0.00	145 of 207 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	892.74	11.44 W	0.00	146 of 207 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	887.00	5.70 W	0.00	147 of 207 - Sale <sup>25</sup>
	1.000	881.30	06/01/20	884.37	3.07 W	0.00	148 of 207 - Sale <sup>25</sup>
	2.000	1,762.60	06/01/20	1,762.84	0.24 W	0.00	149 of 207 - Sale <sup>25</sup>
	1.000	877.98	06/01/20	884.19	6.21 W	0.00	150 of 207 - Sale <sup>25</sup>
	1.000	877.98	06/01/20	899.99	22.01 W	0.00	151 of 207 - Sale <sup>25</sup>
	1.000	877.98	06/01/20	879.62	1.64 W	0.00	152 of 207 - Sale <sup>25</sup>
	3.000	2,633.94	06/01/20	2,640.21	6.27 W	0.00	153 of 207 - Sale <sup>25</sup>
	0.034	30.57	06/01/20	30.94	0.37 W	0.00	154 of 207 - Sale <sup>25</sup>
	0.666	595.56	06/01/20	602.86	7.30 W	0.00	155 of 207 - Sale <sup>25</sup>
	0.299	267.46	06/01/20	271.58	4.12 W	0.00	156 of 207 - Sale <sup>25</sup>
	0.299	267.46	06/01/20	269.39	1.93 W	0.00	157 of 207 - Sale <sup>25</sup>
	0.701	626.14	06/01/20	630.83	4.69 W	0.00	158 of 207 - Sale <sup>25</sup>
	0.299	267.46	06/01/20	268.18	0.72 W	0.00	159 of 207 - Sale <sup>25</sup>
	0.701	626.14	06/01/20	628.94	2.80 W	0.00	160 of 207 - Sale <sup>25</sup>
	1.000	893.59	06/01/20	899.22	5.63 W	0.00	161 of 207 - Sale <sup>25</sup>
	1.000	893.59	06/01/20	911.51	17.92 W	0.00	162 of 207 - Sale <sup>25</sup>
	1.000	893.59	06/01/20	895.20	1.61 W	0.00	163 of 207 - Sale <sup>25</sup>
	1.000	893.59	06/01/20	895.71	2.12 W	0.00	164 of 207 - Sale <sup>25</sup>
	0.034	30.70	06/01/20	30.98	0.28 W	0.00	165 of 207 - Sale <sup>25</sup>
	0.666	598.04	06/01/20	603.52	5.48 W	0.00	166 of 207 - Sale <sup>25</sup>
	0.299	268.57	06/01/20	271.87	3.30 W	0.00	167 of 207 - Sale <sup>25</sup>
	0.299	268.57	06/01/20	269.68	1.11 W	0.00	168 of 207 - Sale <sup>25</sup>
	0.701	628.74	06/01/20	631.51	2.77 W	0.00	169 of 207 - Sale <sup>25</sup>
	0.701	628.74	06/01/20	629.63	0.89 W	0.00	170 of 207 - Sale <sup>25</sup>
	1.000	897.30	06/01/20	900.21	2.91 W	0.00	171 of 207 - Sale <sup>25</sup>
	1.000	897.31	06/01/20	912.51	15.20 W	0.00	172 of 207 - Sale <sup>25</sup>
	1.000	897.30	06/01/20	897.83	0.53 W	0.00	173 of 207 - Sale <sup>25</sup>
	1.000	894.58	06/01/20	909.65	15.07 W	0.00	174 of 207 - Sale <sup>25</sup>
	0.034	30.61	06/01/20	30.88	0.27 W	0.00	175 of 207 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.666	596.22	06/01/20	601.61	5.39 W	0.00	176 of 207 - Sale <sup>25</sup>
	0.299	267.75	06/01/20	271.01	3.26 W	0.00	177 of 207 - Sale <sup>25</sup>
	0.299	267.75	06/01/20	268.82	1.07 W	0.00	178 of 207 - Sale <sup>25</sup>
	0.701	626.83	06/01/20	629.50	2.67 W	0.00	179 of 207 - Sale <sup>25</sup>
	0.701	626.83	06/01/20	627.62	0.79 W	0.00	180 of 207 - Sale <sup>25</sup>
	1.000	894.58	06/01/20	897.36	2.78 W	0.00	181 of 207 - Sale <sup>25</sup>
	1.000	894.58	06/01/20	894.98	0.40 W	0.00	182 of 207 - Sale <sup>25</sup>
	1.000	894.53	06/01/20	911.89	17.36 W	0.00	183 of 207 - Sale <sup>25</sup>
	0.034	30.60	06/01/20	30.95	0.35 W	0.00	184 of 207 - Sale <sup>25</sup>
	0.666	596.19	06/01/20	603.10	6.91 W	0.00	185 of 207 - Sale <sup>25</sup>
	0.299	267.74	06/01/20	271.68	3.94 W	0.00	186 of 207 - Sale <sup>25</sup>
	0.299	267.74	06/01/20	269.49	1.75 W	0.00	187 of 207 - Sale <sup>25</sup>
	0.701	626.79	06/01/20	631.07	4.28 W	0.00	188 of 207 - Sale <sup>25</sup>
	0.701	626.79	06/01/20	629.19	2.40 W	0.00	189 of 207 - Sale <sup>25</sup>
	1.000	894.53	06/01/20	899.60	5.07 W	0.00	190 of 207 - Sale <sup>25</sup>
	0.299	267.74	06/01/20	268.55	0.81 W	0.00	191 of 207 - Sale <sup>25</sup>
	0.701	626.79	06/01/20	628.66	1.87 W	0.00	192 of 207 - Sale <sup>25</sup>
	1.299	1,162.27	06/01/20	1,165.20	2.93 W	0.00	193 of 207 - Sale <sup>25</sup>
	5.000	4,472.65	06/01/20	4,492.90	20.25 W	0.00	194 of 207 - Sale <sup>25</sup>
	0.034	30.61	06/01/20	31.02	0.41 W	0.00	195 of 207 - Sale <sup>25</sup>
	0.666	596.22	06/01/20	604.41	8.19 W	0.00	196 of 207 - Sale <sup>25</sup>
	0.299	267.75	06/01/20	272.27	4.52 W	0.00	197 of 207 - Sale <sup>25</sup>
	0.299	267.75	06/01/20	270.08	2.33 W	0.00	198 of 207 - Sale <sup>25</sup>
	0.701	626.83	06/01/20	632.45	5.62 W	0.00	199 of 207 - Sale <sup>25</sup>
	0.701	626.83	06/01/20	630.57	3.74 W	0.00	200 of 207 - Sale <sup>25</sup>
	1.000	894.58	06/01/20	901.57	6.99 W	0.00	201 of 207 - Sale <sup>25</sup>
	0.299	267.75	06/01/20	269.14	1.39 W	0.00	202 of 207 - Sale <sup>25</sup>
	0.701	626.83	06/01/20	630.04	3.21 W	0.00	203 of 207 - Sale <sup>25</sup>
	1.299	1,162.33	06/01/20	1,167.76	5.43 W	0.00	204 of 207 - Sale <sup>25</sup>
	5.000	4,472.90	06/01/20	4,502.75	29.85 W	0.00	205 of 207 - Sale <sup>25</sup>
	8.000	7,156.64	06/01/20	7,172.00	15.36 W	0.00	206 of 207 - Sale <sup>25</sup>
	1.000	894.58	06/01/20	913.86	19.28 W	0.00	207 of 207 - Sale <sup>25</sup>
06/01/20	232.401	203,313.99	Various	205,335.44	2,021.45 W	0.00	Total of 207 transactions
06/02/20	2.000	1,808.89	06/02/20	1,808.84	...	0.05	Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>27 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	893.98	06/02/20	915.85	21.87 W	0.00	1 of 27 - Sale <sup>25</sup>
	0.034	30.58	06/02/20	31.08	0.50 W	0.00	2 of 27 - Sale <sup>25</sup>
	0.666	595.82	06/02/20	605.74	9.92 W	0.00	3 of 27 - Sale <sup>25</sup>
	0.299	267.57	06/02/20	272.87	5.30 W	0.00	4 of 27 - Sale <sup>25</sup>
	0.299	267.57	06/02/20	270.68	3.11 W	0.00	5 of 27 - Sale <sup>25</sup>
	0.701	626.41	06/02/20	633.84	7.43 W	0.00	6 of 27 - Sale <sup>25</sup>
	0.701	626.41	06/02/20	631.96	5.55 W	0.00	7 of 27 - Sale <sup>25</sup>
	1.000	893.98	06/02/20	903.56	9.58 W	0.00	8 of 27 - Sale <sup>25</sup>
	0.299	267.57	06/02/20	269.74	2.17 W	0.00	9 of 27 - Sale <sup>25</sup>
	0.701	626.41	06/02/20	631.43	5.02 W	0.00	10 of 27 - Sale <sup>25</sup>
	1.299	1,161.56	06/02/20	1,170.35	8.79 W	0.00	11 of 27 - Sale <sup>25</sup>
	3.000	2,681.94	06/02/20	2,707.62	25.68 W	0.00	12 of 27 - Sale <sup>25</sup>
	2.000	1,784.26	06/02/20	1,805.08	20.82 W	0.00	13 of 27 - Sale <sup>25</sup>
	8.000	7,137.04	06/02/20	7,187.92	50.88 W	0.00	14 of 27 - Sale <sup>25</sup>
	1.000	891.98	06/02/20	915.87	23.89 W	0.00	15 of 27 - Sale <sup>25</sup>
	0.034	30.52	06/02/20	31.09	0.57 W	0.00	16 of 27 - Sale <sup>25</sup>
	0.666	594.49	06/02/20	605.75	11.26 W	0.00	17 of 27 - Sale <sup>25</sup>
	0.299	266.97	06/02/20	272.88	5.91 W	0.00	18 of 27 - Sale <sup>25</sup>
	0.299	266.97	06/02/20	270.69	3.72 W	0.00	19 of 27 - Sale <sup>25</sup>
	0.701	625.01	06/02/20	633.85	8.84 W	0.00	20 of 27 - Sale <sup>25</sup>
	0.701	625.01	06/02/20	631.97	6.96 W	0.00	21 of 27 - Sale <sup>25</sup>
	1.000	891.98	06/02/20	903.58	11.60 W	0.00	22 of 27 - Sale <sup>25</sup>
	0.299	266.97	06/02/20	269.75	2.78 W	0.00	23 of 27 - Sale <sup>25</sup>
	0.701	625.01	06/02/20	631.44	6.43 W	0.00	24 of 27 - Sale <sup>25</sup>
	1.299	1,158.95	06/02/20	1,170.37	11.42 W	0.00	25 of 27 - Sale <sup>25</sup>
	3.000	2,675.94	06/02/20	2,707.68	31.74 W	0.00	26 of 27 - Sale <sup>25</sup>
	2.000	1,808.90	06/02/20	1,816.94	8.04 W	0.00	27 of 27 - Sale <sup>25</sup>
06/02/20	32.000	28,589.80	Various	28,899.58	309.78 W	0.00	Total of 27 transactions
<i>2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	927.48	06/08/20	937.24	9.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	927.18	06/08/20	937.25	10.07 W	0.00	2 of 2 - Sale <sup>25</sup>
06/08/20	2.000	1,854.66	Various	1,874.49	19.83 W	0.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
12 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	0.299	305.39	06/10/20	304.38	...	1.01	1 of 12 - Sale <sup>25</sup>
	0.701	714.93	06/10/20	712.72	...	2.21	2 of 12 - Sale <sup>25</sup>
	0.299	305.39	06/10/20	302.74	...	2.65	3 of 12 - Sale <sup>25</sup>
	0.701	714.93	06/10/20	708.67	...	6.26	4 of 12 - Sale <sup>25</sup>
	1.000	1,020.31	06/10/20	1,014.03	...	6.28	5 of 12 - Sale <sup>25</sup>
	1.000	1,020.32	06/10/20	1,010.85	...	9.47	6 of 12 - Sale <sup>25</sup>
	1.299	1,325.70	06/10/20	1,313.58	...	12.12	7 of 12 - Sale <sup>25</sup>
	1.000	1,020.31	06/10/20	1,006.22	...	14.09	8 of 12 - Sale <sup>25</sup>
	1.000	1,020.31	06/10/20	1,005.43	...	14.88	9 of 12 - Sale <sup>25</sup>
	1.701	1,735.24	06/10/20	1,715.25	...	19.99	10 of 12 - Sale <sup>25</sup>
	3.000	3,060.94	06/10/20	3,038.34	...	22.60	11 of 12 - Sale <sup>25</sup>
	2.000	2,043.03	06/10/20	2,017.12	...	25.91	12 of 12 - Sale <sup>25</sup>
06/10/20	14.000	14,286.80	Various	14,149.33	...	137.47	Total of 12 transactions
24 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1,001.94	06/10/20	1,026.09	24.15 W	0.00	1 of 24 - Sale <sup>25</sup>
	0.034	34.28	06/10/20	34.86	0.58 W	0.00	2 of 24 - Sale <sup>25</sup>
	0.666	667.77	06/10/20	679.21	11.44 W	0.00	3 of 24 - Sale <sup>25</sup>
	0.299	299.89	06/10/20	305.87	5.98 W	0.00	4 of 24 - Sale <sup>25</sup>
	0.299	299.88	06/10/20	303.68	3.80 W	0.00	5 of 24 - Sale <sup>25</sup>
	0.701	702.05	06/10/20	711.08	9.03 W	0.00	6 of 24 - Sale <sup>25</sup>
	0.701	702.05	06/10/20	709.20	7.15 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	1,001.93	06/10/20	1,013.80	11.87 W	0.00	8 of 24 - Sale <sup>25</sup>
	0.299	299.89	06/10/20	301.87	1.98 W	0.00	9 of 24 - Sale <sup>25</sup>
	1.000	1,020.31	06/10/20	1,028.41	8.10 W	0.00	10 of 24 - Sale <sup>25</sup>
	0.034	34.91	06/10/20	34.94	0.03 W	0.00	11 of 24 - Sale <sup>25</sup>
	0.666	680.02	06/10/20	680.76	0.74 W	0.00	12 of 24 - Sale <sup>25</sup>
	0.299	305.39	06/10/20	306.56	1.17 W	0.00	13 of 24 - Sale <sup>25</sup>
	0.701	714.93	06/10/20	725.07	10.14 W	0.00	14 of 24 - Sale <sup>25</sup>
	0.299	305.38	06/10/20	310.21	4.83 W	0.00	15 of 24 - Sale <sup>25</sup>
	1.000	1,018.40	06/10/20	1,031.87	13.47 W	0.00	16 of 24 - Sale <sup>25</sup>
	0.034	34.84	06/10/20	35.06	0.22 W	0.00	17 of 24 - Sale <sup>25</sup>
	0.666	678.74	06/10/20	683.06	4.32 W	0.00	18 of 24 - Sale <sup>25</sup>
	0.299	304.81	06/10/20	307.59	2.78 W	0.00	19 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.701	713.58	06/10/20	727.49	13.91 W	0.00	20 of 24 - Sale <sup>25</sup>
	0.299	304.81	06/10/20	311.25	6.44 W	0.00	21 of 24 - Sale <sup>25</sup>
	0.701	713.59	06/10/20	725.67	12.08 W	0.00	22 of 24 - Sale <sup>25</sup>
	0.299	304.81	06/10/20	308.40	3.59 W	0.00	23 of 24 - Sale <sup>25</sup>
	12.000	12,220.77	06/10/20	12,285.24	64.47 W	0.00	24 of 24 - Sale <sup>25</sup>
06/10/20	24.000	24,364.97	Various	24,587.24	222.27 W	0.00	Total of 24 transactions
	5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	0.010	9.53	06/16/20	9.51	...	0.02	1 of 5 - Sale <sup>25</sup>
	0.193	190.80	06/16/20	190.49	...	0.31	2 of 5 - Sale <sup>25</sup>
	2.000	1,978.51	06/16/20	1,974.42	...	4.09	3 of 5 - Sale <sup>25</sup>
	1.000	989.25	06/16/20	984.00	...	5.25	4 of 5 - Sale <sup>25</sup>
	2.000	1,978.51	06/16/20	1,970.00	...	8.51	5 of 5 - Sale <sup>25</sup>
06/16/20	5.203	5,146.60	Various	5,128.42	...	18.18	Total of 5 transactions
	93 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	990.33	06/16/20	1,018.92	28.59 W	0.00	1 of 93 - Sale <sup>25</sup>
	0.034	33.88	06/16/20	34.62	0.74 W	0.00	2 of 93 - Sale <sup>25</sup>
	0.666	660.04	06/16/20	674.43	14.39 W	0.00	3 of 93 - Sale <sup>25</sup>
	0.299	296.42	06/16/20	303.72	7.30 W	0.00	4 of 93 - Sale <sup>25</sup>
	0.701	694.15	06/16/20	718.42	24.27 W	0.00	5 of 93 - Sale <sup>25</sup>
	0.299	296.51	06/16/20	307.38	10.87 W	0.00	6 of 93 - Sale <sup>25</sup>
	0.701	694.15	06/16/20	716.59	22.44 W	0.00	7 of 93 - Sale <sup>25</sup>
	0.299	296.51	06/16/20	304.53	8.02 W	0.00	8 of 93 - Sale <sup>25</sup>
	3.000	2,971.96	06/16/20	3,032.47	60.51 W	0.00	9 of 93 - Sale <sup>25</sup>
	8.000	7,999.82	06/16/20	8,086.58	86.76 W	0.00	10 of 93 - Sale <sup>25</sup>
	1.000	999.98	06/16/20	1,031.76	31.78 W	0.00	11 of 93 - Sale <sup>25</sup>
	1.000	999.98	06/16/20	1,008.55	8.57 W	0.00	12 of 93 - Sale <sup>25</sup>
	0.034	34.21	06/16/20	35.10	0.89 W	0.00	13 of 93 - Sale <sup>25</sup>
	0.666	666.47	06/16/20	683.76	17.29 W	0.00	14 of 93 - Sale <sup>25</sup>
	0.299	299.30	06/16/20	307.90	8.60 W	0.00	15 of 93 - Sale <sup>25</sup>
	0.701	700.68	06/16/20	725.66	24.98 W	0.00	16 of 93 - Sale <sup>25</sup>
	0.299	299.30	06/16/20	310.48	11.18 W	0.00	17 of 93 - Sale <sup>25</sup>
	0.701	700.68	06/16/20	723.84	23.16 W	0.00	18 of 93 - Sale <sup>25</sup>
	0.299	299.30	06/16/20	307.62	8.32 W	0.00	19 of 93 - Sale <sup>25</sup>
	3.000	2,999.93	06/16/20	3,063.51	63.58 W	0.00	20 of 93 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	999.98	06/16/20	1,005.67	5.69 W	0.00	21 of 93 - Sale <sup>25</sup>
	7.000	6,999.83	06/16/20	7,020.54	20.71 W	0.00	22 of 93 - Sale <sup>25</sup>
	1.000	999.98	06/16/20	1,025.06	25.08 W	0.00	23 of 93 - Sale <sup>25</sup>
	0.060	59.84	06/16/20	62.04	2.20 W	0.00	24 of 93 - Sale <sup>25</sup>
	0.034	33.98	06/16/20	35.23	1.25 W	0.00	25 of 93 - Sale <sup>25</sup>
	0.606	602.06	06/16/20	624.24	22.18 W	0.00	26 of 93 - Sale <sup>25</sup>
	0.299	297.24	06/16/20	309.03	11.79 W	0.00	27 of 93 - Sale <sup>25</sup>
	0.060	59.84	06/16/20	62.63	2.79 W	0.00	28 of 93 - Sale <sup>25</sup>
	0.132	130.25	06/16/20	137.15	6.90 W	0.00	29 of 93 - Sale <sup>25</sup>
	0.508	501.93	06/16/20	528.53	26.60 W	0.00	30 of 93 - Sale <sup>25</sup>
	0.299	295.44	06/16/20	311.61	16.17 W	0.00	31 of 93 - Sale <sup>25</sup>
	0.701	691.64	06/16/20	726.49	34.85 W	0.00	32 of 93 - Sale <sup>25</sup>
	0.492	485.16	06/16/20	497.57	12.41 W	0.00	33 of 93 - Sale <sup>25</sup>
	0.340	334.71	06/16/20	343.78	9.07 W	0.00	34 of 93 - Sale <sup>25</sup>
	0.299	294.96	06/16/20	308.76	13.80 W	0.00	35 of 93 - Sale <sup>25</sup>
	0.169	166.45	06/16/20	170.98	4.53 W	0.00	36 of 93 - Sale <sup>25</sup>
	1.000	985.49	06/16/20	1,024.96	39.47 W	0.00	37 of 93 - Sale <sup>25</sup>
	1.000	985.49	06/16/20	1,008.84	23.35 W	0.00	38 of 93 - Sale <sup>25</sup>
	2.000	1,970.98	06/16/20	2,048.69	77.71 W	0.00	39 of 93 - Sale <sup>25</sup>
	0.532	524.08	06/16/20	535.05	10.97 W	0.00	40 of 93 - Sale <sup>25</sup>
	0.214	210.61	06/16/20	214.88	4.27 W	0.00	41 of 93 - Sale <sup>25</sup>
	0.186	184.44	06/16/20	187.52	3.08 W	0.00	42 of 93 - Sale <sup>25</sup>
	1.068	1,056.77	06/16/20	1,074.77	18.00 W	0.00	43 of 93 - Sale <sup>25</sup>
	1.000	989.26	06/16/20	1,026.08	36.82 W	0.00	44 of 93 - Sale <sup>25</sup>
	0.034	33.84	06/16/20	35.50	1.66 W	0.00	45 of 93 - Sale <sup>25</sup>
	0.060	59.61	06/16/20	62.51	2.90 W	0.00	46 of 93 - Sale <sup>25</sup>
	0.606	599.72	06/16/20	629.01	29.29 W	0.00	47 of 93 - Sale <sup>25</sup>
	0.299	296.09	06/16/20	311.40	15.31 W	0.00	48 of 93 - Sale <sup>25</sup>
	0.060	59.61	06/16/20	63.10	3.49 W	0.00	49 of 93 - Sale <sup>25</sup>
	0.132	130.53	06/16/20	138.98	8.45 W	0.00	50 of 93 - Sale <sup>25</sup>
	0.508	503.03	06/16/20	535.60	32.57 W	0.00	51 of 93 - Sale <sup>25</sup>
	0.299	296.09	06/16/20	315.78	19.69 W	0.00	52 of 93 - Sale <sup>25</sup>
	0.701	693.17	06/16/20	736.25	43.08 W	0.00	53 of 93 - Sale <sup>25</sup>
	0.169	167.08	06/16/20	173.59	6.51 W	0.00	54 of 93 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.299	296.09	06/16/20	313.41	17.32 W	0.00	55 of 93 - Sale <sup>25</sup>
	0.340	335.95	06/16/20	349.00	13.05 W	0.00	56 of 93 - Sale <sup>25</sup>
	0.492	486.23	06/16/20	504.41	18.18 W	0.00	57 of 93 - Sale <sup>25</sup>
	5.000	4,946.29	06/16/20	5,019.79	73.50 W	0.00	58 of 93 - Sale <sup>25</sup>
	1.000	989.26	06/16/20	1,038.77	49.51 W	0.00	59 of 93 - Sale <sup>25</sup>
	1.000	989.26	06/16/20	1,022.65	33.39 W	0.00	60 of 93 - Sale <sup>25</sup>
	2.000	1,978.51	06/16/20	2,076.30	97.79 W	0.00	61 of 93 - Sale <sup>25</sup>
	0.191	188.64	06/16/20	194.35	5.71 W	0.00	62 of 93 - Sale <sup>25</sup>
	0.010	9.49	06/16/20	9.78	0.29 W	0.00	63 of 93 - Sale <sup>25</sup>
	0.191	188.59	06/16/20	194.33	5.74 W	0.00	64 of 93 - Sale <sup>25</sup>
	0.010	9.51	06/16/20	9.80	0.29 W	0.00	65 of 93 - Sale <sup>25</sup>
	0.095	94.33	06/16/20	97.18	2.85 W	0.00	66 of 93 - Sale <sup>25</sup>
	0.005	4.75	06/16/20	4.89	0.14 W	0.00	67 of 93 - Sale <sup>25</sup>
	0.017	16.38	06/16/20	16.86	0.48 W	0.00	68 of 93 - Sale <sup>25</sup>
	0.031	30.78	06/16/20	31.71	0.93 W	0.00	69 of 93 - Sale <sup>25</sup>
	0.002	2.38	06/16/20	2.45	0.07 W	0.00	70 of 93 - Sale <sup>25</sup>
	0.048	47.22	06/16/20	48.55	1.33 W	0.00	71 of 93 - Sale <sup>25</sup>
	0.002	2.38	06/16/20	2.45	0.07 W	0.00	72 of 93 - Sale <sup>25</sup>
	0.055	54.79	06/16/20	56.34	1.55 W	0.00	73 of 93 - Sale <sup>25</sup>
	0.003	2.75	06/16/20	2.83	0.08 W	0.00	74 of 93 - Sale <sup>25</sup>
	0.048	47.22	06/16/20	48.56	1.34 W	0.00	75 of 93 - Sale <sup>25</sup>
	0.002	2.37	06/16/20	2.44	0.07 W	0.00	76 of 93 - Sale <sup>25</sup>
	0.019	18.89	06/16/20	19.42	0.53 W	0.00	77 of 93 - Sale <sup>25</sup>
	0.001	0.95	06/16/20	0.98	0.03 W	0.00	78 of 93 - Sale <sup>25</sup>
	0.016	15.95	06/16/20	16.30	0.35 W	0.00	79 of 93 - Sale <sup>25</sup>
	0.186	184.38	06/16/20	187.81	3.43 W	0.00	80 of 93 - Sale <sup>25</sup>
	0.798	788.93	06/16/20	790.44	1.51 W	0.00	81 of 93 - Sale <sup>25</sup>
	1.000	991.41	06/16/20	1,032.41	41.00 W	0.00	82 of 93 - Sale <sup>25</sup>
	0.034	33.92	06/16/20	35.72	1.80 W	0.00	83 of 93 - Sale <sup>25</sup>
	0.060	59.74	06/16/20	62.89	3.15 W	0.00	84 of 93 - Sale <sup>25</sup>
	0.606	601.02	06/16/20	632.84	31.82 W	0.00	85 of 93 - Sale <sup>25</sup>
	0.299	296.74	06/16/20	313.29	16.55 W	0.00	86 of 93 - Sale <sup>25</sup>
	0.060	59.74	06/16/20	63.48	3.74 W	0.00	87 of 93 - Sale <sup>25</sup>
	0.132	130.81	06/16/20	139.81	9.00 W	0.00	88 of 93 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.508	504.13	06/16/20	538.82	34.69 W	0.00	89 of 93 - Sale <sup>25</sup>
	0.299	296.73	06/16/20	317.67	20.94 W	0.00	90 of 93 - Sale <sup>25</sup>
	0.701	694.68	06/16/20	740.68	46.00 W	0.00	91 of 93 - Sale <sup>25</sup>
	1.068	1,059.07	06/16/20	1,081.53	22.46 W	0.00	92 of 93 - Sale <sup>25</sup>
	0.231	229.08	06/16/20	233.43	4.35 W	0.00	93 of 93 - Sale <sup>25</sup>
06/16/20	62.729	62,322.12	Various	63,942.03	1,619.91 W	0.00	Total of 93 transactions
	7 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	0.000	0.05	06/16/20	0.05	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.464	459.88	06/16/20	455.96	...	3.92	2 of 7 - Sale <sup>25</sup>
	0.000	0.40	06/17/20	0.40	...	0.00	3 of 7 - Sale <sup>25</sup>
	0.000	0.05	06/17/20	0.05	...	0.00	4 of 7 - Sale <sup>25</sup>
	0.000	0.10	06/17/20	0.10	...	0.00	5 of 7 - Sale <sup>25</sup>
	0.000	0.05	06/17/20	0.05	...	0.00	6 of 7 - Sale <sup>25</sup>
	0.003	2.60	06/17/20	2.60	...	0.00	7 of 7 - Sale <sup>25</sup>
06/17/20	0.467	463.13	Various	459.21	...	3.92	Total of 7 transactions
	620 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	991.97	06/16/20	1,010.29	18.32 W	0.00	1 of 620 - Sale <sup>25</sup>
	3.000	2,975.93	06/16/20	3,030.86	54.93 W	0.00	2 of 620 - Sale <sup>25</sup>
	0.169	167.80	06/16/20	174.66	6.86 W	0.00	3 of 620 - Sale <sup>25</sup>
	0.299	297.36	06/16/20	315.30	17.94 W	0.00	4 of 620 - Sale <sup>25</sup>
	0.340	337.39	06/16/20	351.15	13.76 W	0.00	5 of 620 - Sale <sup>25</sup>
	0.492	488.30	06/16/20	507.52	19.22 W	0.00	6 of 620 - Sale <sup>25</sup>
	1.000	993.48	06/16/20	1,045.10	51.62 W	0.00	7 of 620 - Sale <sup>25</sup>
	0.932	925.68	06/16/20	973.20	47.52 W	0.00	8 of 620 - Sale <sup>25</sup>
	0.769	763.94	06/16/20	776.85	12.91 W	0.00	9 of 620 - Sale <sup>25</sup>
	0.305	302.56	06/16/20	318.57	16.01 W	0.00	10 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/16/20	0.99	0.04 W	0.00	11 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/16/20	2.46	0.08 W	0.00	12 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/16/20	2.46	0.08 W	0.00	13 of 620 - Sale <sup>25</sup>
	0.002	2.39	06/16/20	2.47	0.08 W	0.00	14 of 620 - Sale <sup>25</sup>
	0.003	2.75	06/16/20	2.84	0.09 W	0.00	15 of 620 - Sale <sup>25</sup>
	0.005	4.76	06/16/20	4.92	0.16 W	0.00	16 of 620 - Sale <sup>25</sup>
	0.010	9.51	06/16/20	9.84	0.33 W	0.00	17 of 620 - Sale <sup>25</sup>
	0.010	9.53	06/16/20	9.86	0.33 W	0.00	18 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.016	15.99	06/16/20	16.40	0.41 W	0.00	19 of 620 - Sale <sup>25</sup>
	0.017	16.43	06/16/20	16.97	0.54 W	0.00	20 of 620 - Sale <sup>25</sup>
	0.019	18.94	06/16/20	19.54	0.60 W	0.00	21 of 620 - Sale <sup>25</sup>
	0.031	30.86	06/16/20	31.91	1.05 W	0.00	22 of 620 - Sale <sup>25</sup>
	0.048	47.34	06/16/20	48.85	1.51 W	0.00	23 of 620 - Sale <sup>25</sup>
	0.048	47.34	06/16/20	48.86	1.52 W	0.00	24 of 620 - Sale <sup>25</sup>
	0.055	54.93	06/16/20	56.69	1.76 W	0.00	25 of 620 - Sale <sup>25</sup>
	0.095	94.57	06/16/20	97.78	3.21 W	0.00	26 of 620 - Sale <sup>25</sup>
	0.186	184.85	06/16/20	188.99	4.14 W	0.00	27 of 620 - Sale <sup>25</sup>
	0.191	189.07	06/16/20	195.54	6.47 W	0.00	28 of 620 - Sale <sup>25</sup>
	0.191	189.12	06/16/20	195.55	6.43 W	0.00	29 of 620 - Sale <sup>25</sup>
	0.798	790.95	06/16/20	795.49	4.54 W	0.00	30 of 620 - Sale <sup>25</sup>
	1.000	991.79	06/16/20	1,028.97	37.18 W	0.00	31 of 620 - Sale <sup>25</sup>
	0.763	756.98	06/16/20	797.19	40.21 W	0.00	32 of 620 - Sale <sup>25</sup>
	3.203	3,176.21	06/16/20	3,188.36	12.15 W	0.00	33 of 620 - Sale <sup>25</sup>
	1.000	991.79	06/16/20	1,029.91	38.12 W	0.00	34 of 620 - Sale <sup>25</sup>
	0.036	35.84	06/16/20	37.57	1.73 W	0.00	35 of 620 - Sale <sup>25</sup>
	0.060	59.76	06/16/20	62.64	2.88 W	0.00	36 of 620 - Sale <sup>25</sup>
	0.005	4.86	06/16/20	5.10	0.24 W	0.00	37 of 620 - Sale <sup>25</sup>
	0.097	95.72	06/16/20	100.28	4.56 W	0.00	38 of 620 - Sale <sup>25</sup>
	0.005	4.80	06/16/20	5.03	0.23 W	0.00	39 of 620 - Sale <sup>25</sup>
	0.096	95.68	06/16/20	100.25	4.57 W	0.00	40 of 620 - Sale <sup>25</sup>
	0.005	4.83	06/16/20	5.07	0.24 W	0.00	41 of 620 - Sale <sup>25</sup>
	0.001	0.96	06/16/20	1.00	0.04 W	0.00	42 of 620 - Sale <sup>25</sup>
	0.034	33.93	06/16/20	35.52	1.59 W	0.00	43 of 620 - Sale <sup>25</sup>
	0.361	358.46	06/16/20	375.17	16.71 W	0.00	44 of 620 - Sale <sup>25</sup>
	0.299	296.85	06/16/20	311.51	14.66 W	0.00	45 of 620 - Sale <sup>25</sup>
	0.060	59.76	06/16/20	63.12	3.36 W	0.00	46 of 620 - Sale <sup>25</sup>
	0.132	130.86	06/16/20	139.03	8.17 W	0.00	47 of 620 - Sale <sup>25</sup>
	0.508	504.32	06/16/20	535.81	31.49 W	0.00	48 of 620 - Sale <sup>25</sup>
	0.604	599.40	06/16/20	635.28	35.88 W	0.00	49 of 620 - Sale <sup>25</sup>
	0.096	95.49	06/16/20	101.06	5.57 W	0.00	50 of 620 - Sale <sup>25</sup>
	0.299	296.85	06/16/20	315.46	18.61 W	0.00	51 of 620 - Sale <sup>25</sup>
	0.305	302.60	06/16/20	305.81	3.21 W	0.00	52 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.231	229.16	06/16/20	231.71	2.55 W	0.00	53 of 620 - Sale <sup>25</sup>
	1.068	1,059.48	06/16/20	1,073.62	14.14 W	0.00	54 of 620 - Sale <sup>25</sup>
	0.305	302.65	06/16/20	305.25	2.60 W	0.00	55 of 620 - Sale <sup>25</sup>
	0.695	689.14	06/16/20	695.07	5.93 W	0.00	56 of 620 - Sale <sup>25</sup>
	2.000	1,983.58	06/16/20	1,998.28	14.70 W	0.00	57 of 620 - Sale <sup>25</sup>
	0.305	302.70	06/16/20	304.60	1.90 W	0.00	58 of 620 - Sale <sup>25</sup>
	0.695	689.09	06/16/20	694.47	5.38 W	0.00	59 of 620 - Sale <sup>25</sup>
	0.045	44.44	06/16/20	45.13	0.69 W	0.00	60 of 620 - Sale <sup>25</sup>
	0.492	487.47	06/16/20	494.28	6.81 W	0.00	61 of 620 - Sale <sup>25</sup>
	0.169	167.51	06/16/20	171.87	4.36 W	0.00	62 of 620 - Sale <sup>25</sup>
	0.237	235.06	06/16/20	243.79	8.73 W	0.00	63 of 620 - Sale <sup>25</sup>
	0.295	292.37	06/16/20	299.95	7.58 W	0.00	64 of 620 - Sale <sup>25</sup>
	0.299	296.85	06/16/20	310.36	13.51 W	0.00	65 of 620 - Sale <sup>25</sup>
	0.237	235.11	06/17/20	248.07	12.96 W	0.00	66 of 620 - Sale <sup>25</sup>
	0.763	756.68	06/17/20	798.88	42.20 W	0.00	67 of 620 - Sale <sup>25</sup>
	0.305	302.45	06/17/20	319.71	17.26 W	0.00	68 of 620 - Sale <sup>25</sup>
	0.695	688.94	06/17/20	727.22	38.28 W	0.00	69 of 620 - Sale <sup>25</sup>
	1.000	991.79	06/17/20	996.22	4.43 W	0.00	70 of 620 - Sale <sup>25</sup>
	1.000	991.78	06/17/20	994.89	3.11 W	0.00	71 of 620 - Sale <sup>25</sup>
	1.000	991.79	06/17/20	994.66	2.87 W	0.00	72 of 620 - Sale <sup>25</sup>
	1.000	991.78	06/17/20	995.16	3.38 W	0.00	73 of 620 - Sale <sup>25</sup>
	1.000	988.42	06/17/20	1,030.12	41.70 W	0.00	74 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/17/20	1.00	0.05 W	0.00	75 of 620 - Sale <sup>25</sup>
	0.005	4.79	06/17/20	5.04	0.25 W	0.00	76 of 620 - Sale <sup>25</sup>
	0.005	4.82	06/17/20	5.08	0.26 W	0.00	77 of 620 - Sale <sup>25</sup>
	0.005	4.85	06/17/20	5.10	0.25 W	0.00	78 of 620 - Sale <sup>25</sup>
	0.034	33.82	06/17/20	35.53	1.71 W	0.00	79 of 620 - Sale <sup>25</sup>
	0.036	35.72	06/17/20	37.57	1.85 W	0.00	80 of 620 - Sale <sup>25</sup>
	0.060	59.56	06/17/20	62.65	3.09 W	0.00	81 of 620 - Sale <sup>25</sup>
	0.096	95.35	06/17/20	100.27	4.92 W	0.00	82 of 620 - Sale <sup>25</sup>
	0.097	95.39	06/17/20	100.30	4.91 W	0.00	83 of 620 - Sale <sup>25</sup>
	0.361	357.24	06/17/20	375.25	18.01 W	0.00	84 of 620 - Sale <sup>25</sup>
	0.299	295.84	06/17/20	311.57	15.73 W	0.00	85 of 620 - Sale <sup>25</sup>
	0.060	59.56	06/17/20	63.13	3.57 W	0.00	86 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.132	130.42	06/17/20	139.06	8.64 W	0.00	87 of 620 - Sale <sup>25</sup>
	0.508	502.60	06/17/20	535.92	33.32 W	0.00	88 of 620 - Sale <sup>25</sup>
	0.096	95.17	06/17/20	101.08	5.91 W	0.00	89 of 620 - Sale <sup>25</sup>
	0.299	295.84	06/17/20	315.52	19.68 W	0.00	90 of 620 - Sale <sup>25</sup>
	0.604	597.36	06/17/20	635.41	38.05 W	0.00	91 of 620 - Sale <sup>25</sup>
	0.305	301.57	06/17/20	305.87	4.30 W	0.00	92 of 620 - Sale <sup>25</sup>
	0.305	301.62	06/17/20	305.31	3.69 W	0.00	93 of 620 - Sale <sup>25</sup>
	0.695	686.80	06/17/20	695.22	8.42 W	0.00	94 of 620 - Sale <sup>25</sup>
	2.000	1,976.84	06/17/20	1,998.70	21.86 W	0.00	95 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/17/20	0.99	0.04 W	0.00	96 of 620 - Sale <sup>25</sup>
	0.002	2.37	06/17/20	2.46	0.09 W	0.00	97 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.46	0.08 W	0.00	98 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.47	0.09 W	0.00	99 of 620 - Sale <sup>25</sup>
	0.003	2.74	06/17/20	2.84	0.10 W	0.00	100 of 620 - Sale <sup>25</sup>
	0.005	4.74	06/17/20	4.92	0.18 W	0.00	101 of 620 - Sale <sup>25</sup>
	0.010	9.48	06/17/20	9.85	0.37 W	0.00	102 of 620 - Sale <sup>25</sup>
	0.010	9.50	06/17/20	9.87	0.37 W	0.00	103 of 620 - Sale <sup>25</sup>
	0.016	15.93	06/17/20	16.40	0.47 W	0.00	104 of 620 - Sale <sup>25</sup>
	0.017	16.37	06/17/20	16.97	0.60 W	0.00	105 of 620 - Sale <sup>25</sup>
	0.019	18.88	06/17/20	19.54	0.66 W	0.00	106 of 620 - Sale <sup>25</sup>
	0.031	30.76	06/17/20	31.92	1.16 W	0.00	107 of 620 - Sale <sup>25</sup>
	0.048	47.18	06/17/20	48.86	1.68 W	0.00	108 of 620 - Sale <sup>25</sup>
	0.048	47.18	06/17/20	48.87	1.69 W	0.00	109 of 620 - Sale <sup>25</sup>
	0.055	54.74	06/17/20	56.70	1.96 W	0.00	110 of 620 - Sale <sup>25</sup>
	0.095	94.25	06/17/20	97.80	3.55 W	0.00	111 of 620 - Sale <sup>25</sup>
	0.186	184.22	06/17/20	189.03	4.81 W	0.00	112 of 620 - Sale <sup>25</sup>
	0.191	188.43	06/17/20	195.58	7.15 W	0.00	113 of 620 - Sale <sup>25</sup>
	0.191	188.48	06/17/20	195.59	7.11 W	0.00	114 of 620 - Sale <sup>25</sup>
	0.231	228.38	06/17/20	231.76	3.38 W	0.00	115 of 620 - Sale <sup>25</sup>
	0.763	754.40	06/17/20	797.35	42.95 W	0.00	116 of 620 - Sale <sup>25</sup>
	0.798	788.26	06/17/20	795.66	7.40 W	0.00	117 of 620 - Sale <sup>25</sup>
	1.000	988.42	06/17/20	1,029.18	40.76 W	0.00	118 of 620 - Sale <sup>25</sup>
	1.068	1,055.88	06/17/20	1,073.84	17.96 W	0.00	119 of 620 - Sale <sup>25</sup>
	3.203	3,165.41	06/17/20	3,189.03	23.62 W	0.00	120 of 620 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.305	301.67	06/17/20	304.37	2.70 W	0.00	121 of 620 - Sale <sup>25</sup>
	0.695	686.65	06/17/20	693.85	7.20 W	0.00	122 of 620 - Sale <sup>25</sup>
	0.000	0.25	06/17/20	0.26	0.01 W	0.00	123 of 620 - Sale <sup>25</sup>
	0.045	44.29	06/17/20	45.12	0.83 W	0.00	124 of 620 - Sale <sup>25</sup>
	0.169	166.94	06/17/20	171.82	4.88 W	0.00	125 of 620 - Sale <sup>25</sup>
	0.295	291.38	06/17/20	299.88	8.50 W	0.00	126 of 620 - Sale <sup>25</sup>
	0.491	485.57	06/17/20	493.91	8.34 W	0.00	127 of 620 - Sale <sup>25</sup>
	0.237	234.26	06/17/20	244.01	9.75 W	0.00	128 of 620 - Sale <sup>25</sup>
	0.299	295.44	06/17/20	310.23	14.79 W	0.00	129 of 620 - Sale <sup>25</sup>
	0.237	234.31	06/17/20	248.29	13.98 W	0.00	130 of 620 - Sale <sup>25</sup>
	0.305	301.42	06/17/20	319.99	18.57 W	0.00	131 of 620 - Sale <sup>25</sup>
	0.695	686.60	06/17/20	727.86	41.26 W	0.00	132 of 620 - Sale <sup>25</sup>
	0.763	754.11	06/17/20	799.59	45.48 W	0.00	133 of 620 - Sale <sup>25</sup>
	0.464	459.11	06/17/20	462.43	3.32 W	0.00	134 of 620 - Sale <sup>25</sup>
	1.000	988.42	06/17/20	997.15	8.73 W	0.00	135 of 620 - Sale <sup>25</sup>
	1.000	988.42	06/17/20	995.83	7.41 W	0.00	136 of 620 - Sale <sup>25</sup>
	0.465	459.26	06/17/20	462.62	3.36 W	0.00	137 of 620 - Sale <sup>25</sup>
	0.535	529.16	06/17/20	532.76	3.60 W	0.00	138 of 620 - Sale <sup>25</sup>
	0.535	529.01	06/17/20	532.86	3.85 W	0.00	139 of 620 - Sale <sup>25</sup>
	0.465	459.41	06/17/20	461.17	1.76 W	0.00	140 of 620 - Sale <sup>25</sup>
	1.000	990.97	06/17/20	1,032.62	41.65 W	0.00	141 of 620 - Sale <sup>25</sup>
	0.001	0.96	06/17/20	1.01	0.05 W	0.00	142 of 620 - Sale <sup>25</sup>
	0.005	4.81	06/17/20	5.05	0.24 W	0.00	143 of 620 - Sale <sup>25</sup>
	0.005	4.83	06/17/20	5.09	0.26 W	0.00	144 of 620 - Sale <sup>25</sup>
	0.005	4.86	06/17/20	5.11	0.25 W	0.00	145 of 620 - Sale <sup>25</sup>
	0.034	33.94	06/17/20	35.61	1.67 W	0.00	146 of 620 - Sale <sup>25</sup>
	0.036	35.84	06/17/20	37.66	1.82 W	0.00	147 of 620 - Sale <sup>25</sup>
	0.060	59.77	06/17/20	62.80	3.03 W	0.00	148 of 620 - Sale <sup>25</sup>
	0.096	95.70	06/17/20	100.52	4.82 W	0.00	149 of 620 - Sale <sup>25</sup>
	0.097	95.74	06/17/20	100.54	4.80 W	0.00	150 of 620 - Sale <sup>25</sup>
	0.361	358.53	06/17/20	376.16	17.63 W	0.00	151 of 620 - Sale <sup>25</sup>
	0.299	296.90	06/17/20	312.32	15.42 W	0.00	152 of 620 - Sale <sup>25</sup>
	0.060	59.77	06/17/20	63.28	3.51 W	0.00	153 of 620 - Sale <sup>25</sup>
	0.132	130.89	06/17/20	139.39	8.50 W	0.00	154 of 620 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.508	504.41	06/17/20	537.20	32.79 W	0.00	155 of 620 - Sale <sup>25</sup>
	0.096	95.51	06/17/20	101.32	5.81 W	0.00	156 of 620 - Sale <sup>25</sup>
	0.299	296.91	06/17/20	316.27	19.36 W	0.00	157 of 620 - Sale <sup>25</sup>
	0.604	599.51	06/17/20	636.92	37.41 W	0.00	158 of 620 - Sale <sup>25</sup>
	0.305	302.66	06/17/20	306.63	3.97 W	0.00	159 of 620 - Sale <sup>25</sup>
	0.305	302.71	06/17/20	306.07	3.36 W	0.00	160 of 620 - Sale <sup>25</sup>
	0.695	689.27	06/17/20	696.95	7.68 W	0.00	161 of 620 - Sale <sup>25</sup>
	2.000	1,983.95	06/17/20	2,003.70	19.75 W	0.00	162 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/17/20	0.99	0.04 W	0.00	163 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.46	0.08 W	0.00	164 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.46	0.08 W	0.00	165 of 620 - Sale <sup>25</sup>
	0.002	2.39	06/17/20	2.47	0.08 W	0.00	166 of 620 - Sale <sup>25</sup>
	0.003	2.75	06/17/20	2.85	0.10 W	0.00	167 of 620 - Sale <sup>25</sup>
	0.005	4.76	06/17/20	4.93	0.17 W	0.00	168 of 620 - Sale <sup>25</sup>
	0.010	9.52	06/17/20	9.88	0.36 W	0.00	169 of 620 - Sale <sup>25</sup>
	0.010	9.53	06/17/20	9.89	0.36 W	0.00	170 of 620 - Sale <sup>25</sup>
	0.016	15.99	06/17/20	16.45	0.46 W	0.00	171 of 620 - Sale <sup>25</sup>
	0.017	16.43	06/17/20	17.01	0.58 W	0.00	172 of 620 - Sale <sup>25</sup>
	0.019	18.94	06/17/20	19.58	0.64 W	0.00	173 of 620 - Sale <sup>25</sup>
	0.031	30.87	06/17/20	31.99	1.12 W	0.00	174 of 620 - Sale <sup>25</sup>
	0.048	47.35	06/17/20	48.98	1.63 W	0.00	175 of 620 - Sale <sup>25</sup>
	0.048	47.35	06/17/20	48.99	1.64 W	0.00	176 of 620 - Sale <sup>25</sup>
	0.055	54.94	06/17/20	56.84	1.90 W	0.00	177 of 620 - Sale <sup>25</sup>
	0.095	94.59	06/17/20	98.04	3.45 W	0.00	178 of 620 - Sale <sup>25</sup>
	0.186	184.88	06/17/20	189.51	4.63 W	0.00	179 of 620 - Sale <sup>25</sup>
	0.191	189.11	06/17/20	196.06	6.95 W	0.00	180 of 620 - Sale <sup>25</sup>
	0.191	189.16	06/17/20	196.06	6.90 W	0.00	181 of 620 - Sale <sup>25</sup>
	0.299	296.96	06/17/20	299.43	2.47 W	0.00	182 of 620 - Sale <sup>25</sup>
	0.763	757.12	06/17/20	799.26	42.14 W	0.00	183 of 620 - Sale <sup>25</sup>
	1.000	991.98	06/17/20	1,031.68	39.70 W	0.00	184 of 620 - Sale <sup>25</sup>
	0.498	494.15	06/17/20	497.20	3.05 W	0.00	185 of 620 - Sale <sup>25</sup>
	0.502	497.83	06/17/20	499.95	2.12 W	0.00	186 of 620 - Sale <sup>25</sup>
	1.000	988.98	06/17/20	997.18	8.20 W	0.00	187 of 620 - Sale <sup>25</sup>
	1.000	988.98	06/17/20	998.07	9.09 W	0.00	188 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.231	228.51	06/17/20	232.09	3.58 W	0.00	189 of 620 - Sale <sup>25</sup>
	0.701	692.92	06/17/20	698.71	5.79 W	0.00	190 of 620 - Sale <sup>25</sup>
	1.068	1,056.47	06/17/20	1,075.37	18.90 W	0.00	191 of 620 - Sale <sup>25</sup>
	0.000	0.20	06/17/20	0.21	0.01 W	0.00	192 of 620 - Sale <sup>25</sup>
	0.305	301.84	06/17/20	304.80	2.96 W	0.00	193 of 620 - Sale <sup>25</sup>
	0.695	686.94	06/17/20	694.72	7.78 W	0.00	194 of 620 - Sale <sup>25</sup>
	0.000	0.25	06/17/20	0.26	0.01 W	0.00	195 of 620 - Sale <sup>25</sup>
	0.045	44.31	06/17/20	45.14	0.83 W	0.00	196 of 620 - Sale <sup>25</sup>
	0.169	167.03	06/17/20	171.92	4.89 W	0.00	197 of 620 - Sale <sup>25</sup>
	0.237	234.39	06/17/20	244.15	9.76 W	0.00	198 of 620 - Sale <sup>25</sup>
	0.295	291.54	06/17/20	300.05	8.51 W	0.00	199 of 620 - Sale <sup>25</sup>
	0.299	295.61	06/17/20	310.41	14.80 W	0.00	200 of 620 - Sale <sup>25</sup>
	0.491	485.40	06/17/20	493.75	8.35 W	0.00	201 of 620 - Sale <sup>25</sup>
	0.465	459.42	06/17/20	487.11	27.69 W	0.00	202 of 620 - Sale <sup>25</sup>
	0.237	234.44	06/17/20	248.87	14.43 W	0.00	203 of 620 - Sale <sup>25</sup>
	0.298	295.07	06/17/20	313.42	18.35 W	0.00	204 of 620 - Sale <sup>25</sup>
	0.465	459.47	06/17/20	487.93	28.46 W	0.00	205 of 620 - Sale <sup>25</sup>
	0.230	227.47	06/17/20	241.26	13.79 W	0.00	206 of 620 - Sale <sup>25</sup>
	0.305	301.59	06/17/20	320.33	18.74 W	0.00	207 of 620 - Sale <sup>25</sup>
	0.465	459.91	06/17/20	464.22	4.31 W	0.00	208 of 620 - Sale <sup>25</sup>
	0.465	459.96	06/17/20	462.42	2.46 W	0.00	209 of 620 - Sale <sup>25</sup>
	0.535	529.02	06/17/20	532.54	3.52 W	0.00	210 of 620 - Sale <sup>25</sup>
	0.001	0.64	06/17/20	0.67	0.03 W	0.00	211 of 620 - Sale <sup>25</sup>
	0.464	459.37	06/17/20	462.04	2.67 W	0.00	212 of 620 - Sale <sup>25</sup>
	0.535	528.97	06/17/20	532.17	3.20 W	0.00	213 of 620 - Sale <sup>25</sup>
	0.001	1.34	06/17/20	1.39	0.05 W	0.00	214 of 620 - Sale <sup>25</sup>
	0.465	459.52	06/17/20	462.12	2.60 W	0.00	215 of 620 - Sale <sup>25</sup>
	0.465	459.66	06/17/20	460.67	1.01 W	0.00	216 of 620 - Sale <sup>25</sup>
	0.534	528.13	06/17/20	530.86	2.73 W	0.00	217 of 620 - Sale <sup>25</sup>
	0.535	529.31	06/17/20	532.29	2.98 W	0.00	218 of 620 - Sale <sup>25</sup>
	0.998	986.66	06/17/20	1,026.08	39.42 W	0.00	219 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/17/20	1.00	0.05 W	0.00	220 of 620 - Sale <sup>25</sup>
	0.005	4.79	06/17/20	5.02	0.23 W	0.00	221 of 620 - Sale <sup>25</sup>
	0.005	4.82	06/17/20	5.07	0.25 W	0.00	222 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	4.85	06/17/20	5.09	0.24 W	0.00	223 of 620 - Sale <sup>25</sup>
	0.034	33.83	06/17/20	35.43	1.60 W	0.00	224 of 620 - Sale <sup>25</sup>
	0.036	35.74	06/17/20	37.48	1.74 W	0.00	225 of 620 - Sale <sup>25</sup>
	0.060	59.54	06/17/20	62.44	2.90 W	0.00	226 of 620 - Sale <sup>25</sup>
	0.096	95.41	06/17/20	100.02	4.61 W	0.00	227 of 620 - Sale <sup>25</sup>
	0.097	95.45	06/17/20	100.04	4.59 W	0.00	228 of 620 - Sale <sup>25</sup>
	0.361	357.44	06/17/20	374.30	16.86 W	0.00	229 of 620 - Sale <sup>25</sup>
	0.299	296.01	06/17/20	310.79	14.78 W	0.00	230 of 620 - Sale <sup>25</sup>
	0.003	2.47	06/17/20	2.62	0.15 W	0.00	231 of 620 - Sale <sup>25</sup>
	0.058	57.12	06/17/20	60.35	3.23 W	0.00	232 of 620 - Sale <sup>25</sup>
	0.132	130.50	06/17/20	138.69	8.19 W	0.00	233 of 620 - Sale <sup>25</sup>
	0.508	502.94	06/17/20	534.54	31.60 W	0.00	234 of 620 - Sale <sup>25</sup>
	0.302	298.51	06/17/20	316.48	17.97 W	0.00	235 of 620 - Sale <sup>25</sup>
	0.096	95.23	06/17/20	100.83	5.60 W	0.00	236 of 620 - Sale <sup>25</sup>
	0.299	296.04	06/17/20	314.73	18.69 W	0.00	237 of 620 - Sale <sup>25</sup>
	0.303	299.20	06/17/20	317.26	18.06 W	0.00	238 of 620 - Sale <sup>25</sup>
	0.302	298.66	06/17/20	301.31	2.65 W	0.00	239 of 620 - Sale <sup>25</sup>
	0.305	301.77	06/17/20	305.07	3.30 W	0.00	240 of 620 - Sale <sup>25</sup>
	0.695	687.26	06/17/20	693.40	6.14 W	0.00	241 of 620 - Sale <sup>25</sup>
	0.003	3.11	06/17/20	3.15	0.04 W	0.00	242 of 620 - Sale <sup>25</sup>
	1.997	1,975.04	06/17/20	1,990.59	15.55 W	0.00	243 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.10	0.03 W	0.00	244 of 620 - Sale <sup>25</sup>
	0.010	9.49	06/17/20	9.85	0.36 W	0.00	245 of 620 - Sale <sup>25</sup>
	0.033	33.01	06/17/20	34.24	1.23 W	0.00	246 of 620 - Sale <sup>25</sup>
	0.191	188.60	06/17/20	195.52	6.92 W	0.00	247 of 620 - Sale <sup>25</sup>
	0.763	754.91	06/17/20	797.11	42.20 W	0.00	248 of 620 - Sale <sup>25</sup>
	1.000	989.08	06/17/20	1,028.86	39.78 W	0.00	249 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/17/20	0.99	0.04 W	0.00	250 of 620 - Sale <sup>25</sup>
	0.002	2.37	06/17/20	2.44	0.07 W	0.00	251 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.45	0.07 W	0.00	252 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.45	0.07 W	0.00	253 of 620 - Sale <sup>25</sup>
	0.003	2.57	06/17/20	2.59	0.02 W	0.00	254 of 620 - Sale <sup>25</sup>
	0.003	2.75	06/17/20	2.84	0.09 W	0.00	255 of 620 - Sale <sup>25</sup>
	0.005	4.74	06/17/20	4.90	0.16 W	0.00	256 of 620 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.010		9.51	06/17/20	9.84	0.33 W	0.00	257 of 620 - Sale <sup>25</sup>
0.016		15.94	06/17/20	16.37	0.43 W	0.00	258 of 620 - Sale <sup>25</sup>
0.017		16.38	06/17/20	16.92	0.54 W	0.00	259 of 620 - Sale <sup>25</sup>
0.019		18.89	06/17/20	19.48	0.59 W	0.00	260 of 620 - Sale <sup>25</sup>
0.031		30.78	06/17/20	31.82	1.04 W	0.00	261 of 620 - Sale <sup>25</sup>
0.048		47.21	06/17/20	48.73	1.52 W	0.00	262 of 620 - Sale <sup>25</sup>
0.048		47.21	06/17/20	48.74	1.53 W	0.00	263 of 620 - Sale <sup>25</sup>
0.055		54.78	06/17/20	56.55	1.77 W	0.00	264 of 620 - Sale <sup>25</sup>
0.095		94.31	06/17/20	97.54	3.23 W	0.00	265 of 620 - Sale <sup>25</sup>
0.157		155.49	06/17/20	160.85	5.36 W	0.00	266 of 620 - Sale <sup>25</sup>
0.186		184.34	06/17/20	188.54	4.20 W	0.00	267 of 620 - Sale <sup>25</sup>
0.299		296.09	06/17/20	297.86	1.77 W	0.00	268 of 620 - Sale <sup>25</sup>
0.498		492.71	06/17/20	494.59	1.88 W	0.00	269 of 620 - Sale <sup>25</sup>
0.502		496.38	06/17/20	497.32	0.94 W	0.00	270 of 620 - Sale <sup>25</sup>
0.003		2.57	06/17/20	2.58	0.01 W	0.00	271 of 620 - Sale <sup>25</sup>
0.997		986.51	06/17/20	991.62	5.11 W	0.00	272 of 620 - Sale <sup>25</sup>
0.000		0.20	06/17/20	0.21	0.01 W	0.00	273 of 620 - Sale <sup>25</sup>
0.000		0.25	06/17/20	0.26	0.01 W	0.00	274 of 620 - Sale <sup>25</sup>
0.003		3.07	06/17/20	3.21	0.14 W	0.00	275 of 620 - Sale <sup>25</sup>
0.045		44.30	06/17/20	45.06	0.76 W	0.00	276 of 620 - Sale <sup>25</sup>
0.169		166.99	06/17/20	171.61	4.62 W	0.00	277 of 620 - Sale <sup>25</sup>
0.295		291.47	06/17/20	299.51	8.04 W	0.00	278 of 620 - Sale <sup>25</sup>
0.305		301.77	06/17/20	304.24	2.47 W	0.00	279 of 620 - Sale <sup>25</sup>
0.491		485.28	06/17/20	492.85	7.57 W	0.00	280 of 620 - Sale <sup>25</sup>
0.695		686.77	06/17/20	693.44	6.67 W	0.00	281 of 620 - Sale <sup>25</sup>
0.231		228.45	06/17/20	231.67	3.22 W	0.00	282 of 620 - Sale <sup>25</sup>
0.701		692.75	06/17/20	697.43	4.68 W	0.00	283 of 620 - Sale <sup>25</sup>
0.997		986.16	06/17/20	993.65	7.49 W	0.00	284 of 620 - Sale <sup>25</sup>
1.068		1,056.21	06/17/20	1,073.42	17.21 W	0.00	285 of 620 - Sale <sup>25</sup>
0.001		0.64	06/17/20	0.67	0.03 W	0.00	286 of 620 - Sale <sup>25</sup>
0.001		1.34	06/17/20	1.38	0.04 W	0.00	287 of 620 - Sale <sup>25</sup>
0.237		234.52	06/17/20	244.20	9.68 W	0.00	288 of 620 - Sale <sup>25</sup>
0.296		292.70	06/17/20	307.25	14.55 W	0.00	289 of 620 - Sale <sup>25</sup>
0.230		227.59	06/17/20	241.31	13.72 W	0.00	290 of 620 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.237	234.57	06/17/20	248.92	14.35 W	0.00	291 of 620 - Sale <sup>25</sup>
	0.298	295.23	06/17/20	313.47	18.24 W	0.00	292 of 620 - Sale <sup>25</sup>
	0.305	301.76	06/17/20	320.39	18.63 W	0.00	293 of 620 - Sale <sup>25</sup>
	0.465	459.66	06/17/20	487.20	27.54 W	0.00	294 of 620 - Sale <sup>25</sup>
	0.465	459.71	06/17/20	488.01	28.30 W	0.00	295 of 620 - Sale <sup>25</sup>
	0.001	1.29	06/17/20	1.30	0.01 W	0.00	296 of 620 - Sale <sup>25</sup>
	0.464	459.61	06/17/20	462.13	2.52 W	0.00	297 of 620 - Sale <sup>25</sup>
	0.465	460.16	06/17/20	464.31	4.15 W	0.00	298 of 620 - Sale <sup>25</sup>
	0.465	460.21	06/17/20	462.51	2.30 W	0.00	299 of 620 - Sale <sup>25</sup>
	0.535	529.25	06/17/20	532.26	3.01 W	0.00	300 of 620 - Sale <sup>25</sup>
	0.535	529.30	06/17/20	532.64	3.34 W	0.00	301 of 620 - Sale <sup>25</sup>
	0.003	2.62	06/17/20	2.73	0.11 W	0.00	302 of 620 - Sale <sup>25</sup>
	0.465	459.98	06/17/20	462.91	2.93 W	0.00	303 of 620 - Sale <sup>25</sup>
	0.465	460.13	06/17/20	461.47	1.34 W	0.00	304 of 620 - Sale <sup>25</sup>
	0.533	527.37	06/17/20	530.48	3.11 W	0.00	305 of 620 - Sale <sup>25</sup>
	0.535	529.85	06/17/20	533.21	3.36 W	0.00	306 of 620 - Sale <sup>25</sup>
	0.995	985.03	06/17/20	1,025.08	40.05 W	0.00	307 of 620 - Sale <sup>25</sup>
	0.005	4.95	06/17/20	5.19	0.24 W	0.00	308 of 620 - Sale <sup>25</sup>
	0.003	2.62	06/17/20	2.65	0.03 W	0.00	309 of 620 - Sale <sup>25</sup>
	0.997	987.35	06/17/20	994.95	7.60 W	0.00	310 of 620 - Sale <sup>25</sup>
	0.001	0.96	06/17/20	1.01	0.05 W	0.00	311 of 620 - Sale <sup>25</sup>
	0.005	4.80	06/17/20	5.03	0.23 W	0.00	312 of 620 - Sale <sup>25</sup>
	0.005	4.83	06/17/20	5.08	0.25 W	0.00	313 of 620 - Sale <sup>25</sup>
	0.005	4.85	06/17/20	5.09	0.24 W	0.00	314 of 620 - Sale <sup>25</sup>
	0.034	33.87	06/17/20	35.47	1.60 W	0.00	315 of 620 - Sale <sup>25</sup>
	0.036	35.77	06/17/20	37.51	1.74 W	0.00	316 of 620 - Sale <sup>25</sup>
	0.055	54.65	06/17/20	57.31	2.66 W	0.00	317 of 620 - Sale <sup>25</sup>
	0.096	95.51	06/17/20	100.12	4.61 W	0.00	318 of 620 - Sale <sup>25</sup>
	0.097	95.54	06/17/20	100.14	4.60 W	0.00	319 of 620 - Sale <sup>25</sup>
	0.361	357.80	06/17/20	374.67	16.87 W	0.00	320 of 620 - Sale <sup>25</sup>
	0.299	296.31	06/17/20	311.09	14.78 W	0.00	321 of 620 - Sale <sup>25</sup>
	0.003	2.47	06/17/20	2.62	0.15 W	0.00	322 of 620 - Sale <sup>25</sup>
	0.058	57.18	06/17/20	60.41	3.23 W	0.00	323 of 620 - Sale <sup>25</sup>
	0.132	130.62	06/17/20	138.82	8.20 W	0.00	324 of 620 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.508	503.40	06/17/20	535.01	31.61 W	0.00	325 of 620 - Sale <sup>25</sup>
	0.096	95.32	06/17/20	100.92	5.60 W	0.00	326 of 620 - Sale <sup>25</sup>
	0.299	296.31	06/17/20	315.00	18.69 W	0.00	327 of 620 - Sale <sup>25</sup>
	0.302	298.78	06/17/20	316.76	17.98 W	0.00	328 of 620 - Sale <sup>25</sup>
	0.303	299.47	06/17/20	317.54	18.07 W	0.00	329 of 620 - Sale <sup>25</sup>
	0.003	3.12	06/17/20	3.16	0.04 W	0.00	330 of 620 - Sale <sup>25</sup>
	0.302	298.93	06/17/20	301.59	2.66 W	0.00	331 of 620 - Sale <sup>25</sup>
	0.305	302.05	06/17/20	305.35	3.30 W	0.00	332 of 620 - Sale <sup>25</sup>
	0.695	687.88	06/17/20	694.03	6.15 W	0.00	333 of 620 - Sale <sup>25</sup>
	1.000	989.48	06/17/20	997.28	7.80 W	0.00	334 of 620 - Sale <sup>25</sup>
	0.000	0.45	06/17/20	0.47	0.02 W	0.00	335 of 620 - Sale <sup>25</sup>
	0.236	234.46	06/17/20	244.04	9.58 W	0.00	336 of 620 - Sale <sup>25</sup>
	0.763	757.28	06/17/20	800.06	42.78 W	0.00	337 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.19	0.12 W	0.00	338 of 620 - Sale <sup>25</sup>
	0.010	9.52	06/17/20	9.89	0.37 W	0.00	339 of 620 - Sale <sup>25</sup>
	0.033	33.12	06/17/20	34.40	1.28 W	0.00	340 of 620 - Sale <sup>25</sup>
	0.191	189.20	06/17/20	196.41	7.21 W	0.00	341 of 620 - Sale <sup>25</sup>
	0.763	757.28	06/17/20	788.82	31.54 W	0.00	342 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/17/20	1.00	0.05 W	0.00	343 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.45	0.07 W	0.00	344 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.46	0.08 W	0.00	345 of 620 - Sale <sup>25</sup>
	0.002	2.39	06/17/20	2.46	0.07 W	0.00	346 of 620 - Sale <sup>25</sup>
	0.003	2.76	06/17/20	2.85	0.09 W	0.00	347 of 620 - Sale <sup>25</sup>
	0.005	4.76	06/17/20	4.93	0.17 W	0.00	348 of 620 - Sale <sup>25</sup>
	0.006	5.65	06/17/20	5.68	0.03 W	0.00	349 of 620 - Sale <sup>25</sup>
	0.010	9.54	06/17/20	9.88	0.34 W	0.00	350 of 620 - Sale <sup>25</sup>
	0.016	16.00	06/17/20	16.45	0.45 W	0.00	351 of 620 - Sale <sup>25</sup>
	0.017	16.43	06/17/20	17.00	0.57 W	0.00	352 of 620 - Sale <sup>25</sup>
	0.019	18.95	06/17/20	19.57	0.62 W	0.00	353 of 620 - Sale <sup>25</sup>
	0.031	30.87	06/17/20	31.97	1.10 W	0.00	354 of 620 - Sale <sup>25</sup>
	0.048	47.36	06/17/20	48.97	1.61 W	0.00	355 of 620 - Sale <sup>25</sup>
	0.048	47.36	06/17/20	48.98	1.62 W	0.00	356 of 620 - Sale <sup>25</sup>
	0.055	54.95	06/17/20	56.82	1.87 W	0.00	357 of 620 - Sale <sup>25</sup>
	0.095	94.61	06/17/20	98.01	3.40 W	0.00	358 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.154	152.91	06/17/20	158.43	5.52 W	0.00	359 of 620 - Sale <sup>25</sup>
	0.186	184.92	06/17/20	189.45	4.53 W	0.00	360 of 620 - Sale <sup>25</sup>
	0.299	297.02	06/17/20	299.33	2.31 W	0.00	361 of 620 - Sale <sup>25</sup>
	0.003	2.58	06/17/20	2.60	0.02 W	0.00	362 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.10	0.03 W	0.00	363 of 620 - Sale <sup>25</sup>
	0.492	488.60	06/17/20	491.07	2.47 W	0.00	364 of 620 - Sale <sup>25</sup>
	0.502	497.94	06/17/20	499.50	1.56 W	0.00	365 of 620 - Sale <sup>25</sup>
	0.000	0.20	06/17/20	0.21	0.01 W	0.00	366 of 620 - Sale <sup>25</sup>
	0.001	0.65	06/17/20	0.68	0.03 W	0.00	367 of 620 - Sale <sup>25</sup>
	0.001	1.35	06/17/20	1.38	0.03 W	0.00	368 of 620 - Sale <sup>25</sup>
	0.003	2.64	06/17/20	2.75	0.11 W	0.00	369 of 620 - Sale <sup>25</sup>
	0.995	991.91	06/17/20	1,031.21	39.30 W	0.00	370 of 620 - Sale <sup>25</sup>
	0.000	0.15	06/17/20	0.16	0.01 W	0.00	371 of 620 - Sale <sup>25</sup>
	0.001	0.96	06/17/20	1.01	0.05 W	0.00	372 of 620 - Sale <sup>25</sup>
	0.005	4.84	06/17/20	5.06	0.22 W	0.00	373 of 620 - Sale <sup>25</sup>
	0.005	4.87	06/17/20	5.11	0.24 W	0.00	374 of 620 - Sale <sup>25</sup>
	0.005	4.89	06/17/20	5.12	0.23 W	0.00	375 of 620 - Sale <sup>25</sup>
	0.005	4.84	06/17/20	5.06	0.22 W	0.00	376 of 620 - Sale <sup>25</sup>
	0.034	34.16	06/17/20	35.68	1.52 W	0.00	377 of 620 - Sale <sup>25</sup>
	0.036	36.08	06/17/20	37.73	1.65 W	0.00	378 of 620 - Sale <sup>25</sup>
	0.055	55.12	06/17/20	57.65	2.53 W	0.00	379 of 620 - Sale <sup>25</sup>
	0.096	96.32	06/17/20	100.71	4.39 W	0.00	380 of 620 - Sale <sup>25</sup>
	0.097	96.36	06/17/20	100.74	4.38 W	0.00	381 of 620 - Sale <sup>25</sup>
	0.361	360.86	06/17/20	376.90	16.04 W	0.00	382 of 620 - Sale <sup>25</sup>
	0.299	298.84	06/17/20	312.93	14.09 W	0.00	383 of 620 - Sale <sup>25</sup>
	0.003	2.50	06/17/20	2.64	0.14 W	0.00	384 of 620 - Sale <sup>25</sup>
	0.006	5.74	06/17/20	6.05	0.31 W	0.00	385 of 620 - Sale <sup>25</sup>
	0.000	0.25	06/17/20	0.26	0.01 W	0.00	386 of 620 - Sale <sup>25</sup>
	0.003	3.10	06/17/20	3.23	0.13 W	0.00	387 of 620 - Sale <sup>25</sup>
	0.045	44.74	06/17/20	45.39	0.65 W	0.00	388 of 620 - Sale <sup>25</sup>
	0.169	168.63	06/17/20	172.86	4.23 W	0.00	389 of 620 - Sale <sup>25</sup>
	0.237	236.63	06/17/20	245.77	9.14 W	0.00	390 of 620 - Sale <sup>25</sup>
	0.295	294.33	06/17/20	301.69	7.36 W	0.00	391 of 620 - Sale <sup>25</sup>
	0.296	295.34	06/17/20	309.22	13.88 W	0.00	392 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.305	304.73	06/17/20	306.50	1.77 W	0.00	393 of 620 - Sale <sup>25</sup>
	0.491	490.04	06/17/20	496.48	6.44 W	0.00	394 of 620 - Sale <sup>25</sup>
	0.695	693.51	06/17/20	698.59	5.08 W	0.00	395 of 620 - Sale <sup>25</sup>
	0.994	992.74	06/17/20	995.56	2.82 W	0.00	396 of 620 - Sale <sup>25</sup>
	0.460	459.16	06/17/20	461.57	2.41 W	0.00	397 of 620 - Sale <sup>25</sup>
	0.230	228.06	06/17/20	242.84	14.78 W	0.00	398 of 620 - Sale <sup>25</sup>
	0.231	229.10	06/17/20	233.39	4.29 W	0.00	399 of 620 - Sale <sup>25</sup>
	0.237	235.05	06/17/20	250.49	15.44 W	0.00	400 of 620 - Sale <sup>25</sup>
	0.298	295.83	06/17/20	315.44	19.61 W	0.00	401 of 620 - Sale <sup>25</sup>
	0.305	302.38	06/17/20	322.41	20.03 W	0.00	402 of 620 - Sale <sup>25</sup>
	0.465	460.61	06/17/20	490.29	29.68 W	0.00	403 of 620 - Sale <sup>25</sup>
	0.465	460.66	06/17/20	491.10	30.44 W	0.00	404 of 620 - Sale <sup>25</sup>
	0.701	694.72	06/17/20	702.62	7.90 W	0.00	405 of 620 - Sale <sup>25</sup>
	0.538	532.97	06/17/20	539.47	6.50 W	0.00	406 of 620 - Sale <sup>25</sup>
	1.068	1,059.21	06/17/20	1,081.34	22.13 W	0.00	407 of 620 - Sale <sup>25</sup>
	0.001	1.29	06/17/20	1.30	0.01 W	0.00	408 of 620 - Sale <sup>25</sup>
	0.464	460.56	06/17/20	465.22	4.66 W	0.00	409 of 620 - Sale <sup>25</sup>
	0.465	460.71	06/17/20	465.78	5.07 W	0.00	410 of 620 - Sale <sup>25</sup>
	0.465	460.86	06/17/20	464.33	3.47 W	0.00	411 of 620 - Sale <sup>25</sup>
	0.465	461.10	06/17/20	467.39	6.29 W	0.00	412 of 620 - Sale <sup>25</sup>
	0.465	461.15	06/17/20	465.59	4.44 W	0.00	413 of 620 - Sale <sup>25</sup>
	0.533	528.21	06/17/20	533.77	5.56 W	0.00	414 of 620 - Sale <sup>25</sup>
	0.535	530.34	06/17/20	535.81	5.47 W	0.00	415 of 620 - Sale <sup>25</sup>
	0.535	530.39	06/17/20	536.19	5.80 W	0.00	416 of 620 - Sale <sup>25</sup>
	0.535	530.68	06/17/20	536.51	5.83 W	0.00	417 of 620 - Sale <sup>25</sup>
	0.052	51.57	06/17/20	54.70	3.13 W	0.00	418 of 620 - Sale <sup>25</sup>
	0.132	130.83	06/17/20	139.60	8.77 W	0.00	419 of 620 - Sale <sup>25</sup>
	0.508	504.19	06/17/20	537.99	33.80 W	0.00	420 of 620 - Sale <sup>25</sup>
	0.096	95.47	06/17/20	101.48	6.01 W	0.00	421 of 620 - Sale <sup>25</sup>
	0.299	296.77	06/17/20	316.76	19.99 W	0.00	422 of 620 - Sale <sup>25</sup>
	0.302	299.25	06/17/20	318.53	19.28 W	0.00	423 of 620 - Sale <sup>25</sup>
	0.303	299.95	06/17/20	319.32	19.37 W	0.00	424 of 620 - Sale <sup>25</sup>
	0.003	2.53	06/17/20	2.56	0.03 W	0.00	425 of 620 - Sale <sup>25</sup>
	0.305	302.52	06/17/20	307.14	4.62 W	0.00	426 of 620 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	2.58	06/17/20	2.61	0.03 W	0.00	427 of 620 - Sale <sup>25</sup>
	0.003	3.12	06/17/20	3.18	0.06 W	0.00	428 of 620 - Sale <sup>25</sup>
	0.302	299.40	06/17/20	303.40	4.00 W	0.00	429 of 620 - Sale <sup>25</sup>
	0.692	686.44	06/17/20	695.66	9.22 W	0.00	430 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.11	0.04 W	0.00	431 of 620 - Sale <sup>25</sup>
	0.997	988.47	06/17/20	1,000.52	12.05 W	0.00	432 of 620 - Sale <sup>25</sup>
	0.000	0.45	06/17/20	0.47	0.02 W	0.00	433 of 620 - Sale <sup>25</sup>
	0.003	2.63	06/17/20	2.67	0.04 W	0.00	434 of 620 - Sale <sup>25</sup>
	0.994	985.84	06/17/20	997.59	11.75 W	0.00	435 of 620 - Sale <sup>25</sup>
	0.003	2.63	06/17/20	2.78	0.15 W	0.00	436 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.22	0.15 W	0.00	437 of 620 - Sale <sup>25</sup>
	0.236	234.31	06/17/20	244.90	10.59 W	0.00	438 of 620 - Sale <sup>25</sup>
	0.761	754.16	06/17/20	800.05	45.89 W	0.00	439 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.20	0.13 W	0.00	440 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.20	0.13 W	0.00	441 of 620 - Sale <sup>25</sup>
	0.010	9.51	06/17/20	9.92	0.41 W	0.00	442 of 620 - Sale <sup>25</sup>
	0.033	33.10	06/17/20	34.52	1.42 W	0.00	443 of 620 - Sale <sup>25</sup>
	0.191	189.07	06/17/20	197.07	8.00 W	0.00	444 of 620 - Sale <sup>25</sup>
	0.760	753.72	06/17/20	788.26	34.54 W	0.00	445 of 620 - Sale <sup>25</sup>
	0.000	0.20	06/17/20	0.21	0.01 W	0.00	446 of 620 - Sale <sup>25</sup>
	0.001	0.64	06/17/20	0.68	0.04 W	0.00	447 of 620 - Sale <sup>25</sup>
	0.001	0.89	06/17/20	0.94	0.05 W	0.00	448 of 620 - Sale <sup>25</sup>
	0.001	1.34	06/17/20	1.37	0.03 W	0.00	449 of 620 - Sale <sup>25</sup>
	0.001	0.95	06/17/20	1.01	0.06 W	0.00	450 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.46	0.08 W	0.00	451 of 620 - Sale <sup>25</sup>
	0.002	2.38	06/17/20	2.47	0.09 W	0.00	452 of 620 - Sale <sup>25</sup>
	0.002	2.39	06/17/20	2.47	0.08 W	0.00	453 of 620 - Sale <sup>25</sup>
	0.003	2.58	06/17/20	2.61	0.03 W	0.00	454 of 620 - Sale <sup>25</sup>
	0.003	2.75	06/17/20	2.85	0.10 W	0.00	455 of 620 - Sale <sup>25</sup>
	0.003	3.07	06/17/20	3.11	0.04 W	0.00	456 of 620 - Sale <sup>25</sup>
	0.005	4.76	06/17/20	4.95	0.19 W	0.00	457 of 620 - Sale <sup>25</sup>
	0.006	5.65	06/17/20	5.70	0.05 W	0.00	458 of 620 - Sale <sup>25</sup>
	0.010	9.53	06/17/20	9.91	0.38 W	0.00	459 of 620 - Sale <sup>25</sup>
	0.016	15.98	06/17/20	16.50	0.52 W	0.00	460 of 620 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.017		16.42	06/17/20	17.06	0.64 W	0.00	461 of 620 - Sale <sup>25</sup>
0.019		18.94	06/17/20	19.63	0.69 W	0.00	462 of 620 - Sale <sup>25</sup>
0.031		30.85	06/17/20	32.08	1.23 W	0.00	463 of 620 - Sale <sup>25</sup>
0.048		47.33	06/17/20	49.13	1.80 W	0.00	464 of 620 - Sale <sup>25</sup>
0.048		47.33	06/17/20	49.14	1.81 W	0.00	465 of 620 - Sale <sup>25</sup>
0.055		54.91	06/17/20	57.01	2.10 W	0.00	466 of 620 - Sale <sup>25</sup>
0.095		94.55	06/17/20	98.34	3.79 W	0.00	467 of 620 - Sale <sup>25</sup>
0.151		149.73	06/17/20	155.76	6.03 W	0.00	468 of 620 - Sale <sup>25</sup>
0.186		184.80	06/17/20	190.09	5.29 W	0.00	469 of 620 - Sale <sup>25</sup>
0.299		296.82	06/17/20	300.36	3.54 W	0.00	470 of 620 - Sale <sup>25</sup>
0.492		488.28	06/17/20	492.76	4.48 W	0.00	471 of 620 - Sale <sup>25</sup>
0.502		497.61	06/17/20	501.22	3.61 W	0.00	472 of 620 - Sale <sup>25</sup>
0.001		0.96	06/17/20	1.01	0.05 W	0.00	473 of 620 - Sale <sup>25</sup>
0.002		1.74	06/17/20	1.83	0.09 W	0.00	474 of 620 - Sale <sup>25</sup>
0.005		4.80	06/17/20	5.05	0.25 W	0.00	475 of 620 - Sale <sup>25</sup>
0.005		4.83	06/17/20	5.10	0.27 W	0.00	476 of 620 - Sale <sup>25</sup>
0.005		4.86	06/17/20	5.12	0.26 W	0.00	477 of 620 - Sale <sup>25</sup>
0.034		33.92	06/17/20	35.63	1.71 W	0.00	478 of 620 - Sale <sup>25</sup>
0.036		35.83	06/17/20	37.68	1.85 W	0.00	479 of 620 - Sale <sup>25</sup>
0.055		54.74	06/17/20	57.57	2.83 W	0.00	480 of 620 - Sale <sup>25</sup>
0.096		95.66	06/17/20	100.57	4.91 W	0.00	481 of 620 - Sale <sup>25</sup>
0.097		95.69	06/17/20	100.60	4.91 W	0.00	482 of 620 - Sale <sup>25</sup>
0.361		358.37	06/17/20	376.39	18.02 W	0.00	483 of 620 - Sale <sup>25</sup>
0.299		296.78	06/17/20	312.51	15.73 W	0.00	484 of 620 - Sale <sup>25</sup>
0.001		0.89	06/17/20	0.95	0.06 W	0.00	485 of 620 - Sale <sup>25</sup>
0.003		2.48	06/17/20	2.63	0.15 W	0.00	486 of 620 - Sale <sup>25</sup>
0.002		1.74	06/17/20	1.82	0.08 W	0.00	487 of 620 - Sale <sup>25</sup>
0.995		986.59	06/17/20	1,031.45	44.86 W	0.00	488 of 620 - Sale <sup>25</sup>
0.000		0.15	06/17/20	0.16	0.01 W	0.00	489 of 620 - Sale <sup>25</sup>
0.003		3.07	06/17/20	3.23	0.16 W	0.00	490 of 620 - Sale <sup>25</sup>
0.005		4.81	06/17/20	5.09	0.28 W	0.00	491 of 620 - Sale <sup>25</sup>
0.301		298.01	06/17/20	301.01	3.00 W	0.00	492 of 620 - Sale <sup>25</sup>
0.695		688.72	06/17/20	696.69	7.97 W	0.00	493 of 620 - Sale <sup>25</sup>
0.005		4.61	06/17/20	4.68	0.07 W	0.00	494 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.045		44.43	06/17/20	45.43	1.00 W	0.00	495 of 620 - Sale <sup>25</sup>
0.165		163.55	06/17/20	168.97	5.42 W	0.00	496 of 620 - Sale <sup>25</sup>
0.295		292.30	06/17/20	301.97	9.67 W	0.00	497 of 620 - Sale <sup>25</sup>
0.491		486.66	06/17/20	496.95	10.29 W	0.00	498 of 620 - Sale <sup>25</sup>
0.000		0.25	06/17/20	0.26	0.01 W	0.00	499 of 620 - Sale <sup>25</sup>
0.003		3.07	06/17/20	3.23	0.16 W	0.00	500 of 620 - Sale <sup>25</sup>
0.004		3.92	06/17/20	4.05	0.13 W	0.00	501 of 620 - Sale <sup>25</sup>
0.237		235.00	06/17/20	245.89	10.89 W	0.00	502 of 620 - Sale <sup>25</sup>
0.296		293.30	06/17/20	309.37	16.07 W	0.00	503 of 620 - Sale <sup>25</sup>
0.460		456.00	06/17/20	460.70	4.70 W	0.00	504 of 620 - Sale <sup>25</sup>
0.460		456.00	06/17/20	461.99	5.99 W	0.00	505 of 620 - Sale <sup>25</sup>
0.534		529.89	06/17/20	535.57	5.68 W	0.00	506 of 620 - Sale <sup>25</sup>
0.006		5.65	06/17/20	5.70	0.05 W	0.00	507 of 620 - Sale <sup>25</sup>
1.000		991.54	06/17/20	1,003.35	11.81 W	0.00	508 of 620 - Sale <sup>25</sup>
1.000		991.55	06/17/20	1,003.39	11.84 W	0.00	509 of 620 - Sale <sup>25</sup>
0.000		0.20	06/17/20	0.21	0.01 W	0.00	510 of 620 - Sale <sup>25</sup>
0.001		0.65	06/17/20	0.69	0.04 W	0.00	511 of 620 - Sale <sup>25</sup>
0.001		0.89	06/17/20	0.95	0.06 W	0.00	512 of 620 - Sale <sup>25</sup>
0.001		1.34	06/17/20	1.38	0.04 W	0.00	513 of 620 - Sale <sup>25</sup>
0.052		51.63	06/17/20	54.98	3.35 W	0.00	514 of 620 - Sale <sup>25</sup>
0.132		130.99	06/17/20	140.32	9.33 W	0.00	515 of 620 - Sale <sup>25</sup>
0.508		504.80	06/17/20	540.77	35.97 W	0.00	516 of 620 - Sale <sup>25</sup>
0.096		95.58	06/17/20	102.00	6.42 W	0.00	517 of 620 - Sale <sup>25</sup>
0.299		297.13	06/17/20	318.40	21.27 W	0.00	518 of 620 - Sale <sup>25</sup>
0.302		299.61	06/17/20	320.18	20.57 W	0.00	519 of 620 - Sale <sup>25</sup>
0.303		300.31	06/17/20	320.97	20.66 W	0.00	520 of 620 - Sale <sup>25</sup>
0.000		0.45	06/17/20	0.47	0.02 W	0.00	521 of 620 - Sale <sup>25</sup>
0.003		2.53	06/17/20	2.57	0.04 W	0.00	522 of 620 - Sale <sup>25</sup>
0.003		2.58	06/17/20	2.62	0.04 W	0.00	523 of 620 - Sale <sup>25</sup>
0.003		2.63	06/17/20	2.68	0.05 W	0.00	524 of 620 - Sale <sup>25</sup>
0.003		3.08	06/17/20	3.13	0.05 W	0.00	525 of 620 - Sale <sup>25</sup>
0.003		3.13	06/17/20	3.20	0.07 W	0.00	526 of 620 - Sale <sup>25</sup>
0.302		299.76	06/17/20	305.05	5.29 W	0.00	527 of 620 - Sale <sup>25</sup>
0.305		302.89	06/17/20	308.81	5.92 W	0.00	528 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.692		687.26	06/17/20	699.44	12.18 W	0.00	529 of 620 - Sale <sup>25</sup>
0.994		987.02	06/17/20	1,003.02	16.00 W	0.00	530 of 620 - Sale <sup>25</sup>
0.997		989.65	06/17/20	1,005.96	16.31 W	0.00	531 of 620 - Sale <sup>25</sup>
0.001		0.96	06/17/20	1.02	0.06 W	0.00	532 of 620 - Sale <sup>25</sup>
0.002		2.38	06/17/20	2.47	0.09 W	0.00	533 of 620 - Sale <sup>25</sup>
0.002		2.39	06/17/20	2.49	0.10 W	0.00	534 of 620 - Sale <sup>25</sup>
0.002		2.39	06/17/20	2.48	0.09 W	0.00	535 of 620 - Sale <sup>25</sup>
0.003		2.58	06/17/20	2.62	0.04 W	0.00	536 of 620 - Sale <sup>25</sup>
0.003		2.63	06/17/20	2.79	0.16 W	0.00	537 of 620 - Sale <sup>25</sup>
0.003		2.76	06/17/20	2.87	0.11 W	0.00	538 of 620 - Sale <sup>25</sup>
0.003		3.08	06/17/20	3.24	0.16 W	0.00	539 of 620 - Sale <sup>25</sup>
0.003		3.08	06/17/20	3.22	0.14 W	0.00	540 of 620 - Sale <sup>25</sup>
0.003		3.08	06/17/20	3.22	0.14 W	0.00	541 of 620 - Sale <sup>25</sup>
0.003		3.08	06/17/20	3.13	0.05 W	0.00	542 of 620 - Sale <sup>25</sup>
0.005		4.76	06/17/20	4.97	0.21 W	0.00	543 of 620 - Sale <sup>25</sup>
0.006		5.66	06/17/20	5.73	0.07 W	0.00	544 of 620 - Sale <sup>25</sup>
0.010		9.52	06/17/20	9.97	0.45 W	0.00	545 of 620 - Sale <sup>25</sup>
0.010		9.54	06/17/20	9.96	0.42 W	0.00	546 of 620 - Sale <sup>25</sup>
0.016		16.00	06/17/20	16.59	0.59 W	0.00	547 of 620 - Sale <sup>25</sup>
0.017		16.44	06/17/20	17.15	0.71 W	0.00	548 of 620 - Sale <sup>25</sup>
0.019		18.96	06/17/20	19.73	0.77 W	0.00	549 of 620 - Sale <sup>25</sup>
0.031		30.89	06/17/20	32.25	1.36 W	0.00	550 of 620 - Sale <sup>25</sup>
0.033		33.14	06/17/20	34.70	1.56 W	0.00	551 of 620 - Sale <sup>25</sup>
0.048		47.39	06/17/20	49.39	2.00 W	0.00	552 of 620 - Sale <sup>25</sup>
0.048		47.39	06/17/20	49.40	2.01 W	0.00	553 of 620 - Sale <sup>25</sup>
0.055		54.98	06/17/20	57.32	2.34 W	0.00	554 of 620 - Sale <sup>25</sup>
0.095		94.66	06/17/20	98.86	4.20 W	0.00	555 of 620 - Sale <sup>25</sup>
0.151		149.91	06/17/20	156.59	6.68 W	0.00	556 of 620 - Sale <sup>25</sup>
0.186		185.02	06/17/20	191.11	6.09 W	0.00	557 of 620 - Sale <sup>25</sup>
0.191		189.30	06/17/20	198.11	8.81 W	0.00	558 of 620 - Sale <sup>25</sup>
0.230		228.33	06/17/20	244.10	15.77 W	0.00	559 of 620 - Sale <sup>25</sup>
0.231		229.38	06/17/20	234.65	5.27 W	0.00	560 of 620 - Sale <sup>25</sup>
0.236		234.59	06/17/20	246.19	11.60 W	0.00	561 of 620 - Sale <sup>25</sup>
0.237		235.33	06/17/20	251.79	16.46 W	0.00	562 of 620 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.298		296.19	06/17/20	317.07	20.88 W	0.00	563 of 620 - Sale <sup>25</sup>
0.299		297.18	06/17/20	302.00	4.82 W	0.00	564 of 620 - Sale <sup>25</sup>
0.305		302.74	06/17/20	324.07	21.33 W	0.00	565 of 620 - Sale <sup>25</sup>
0.465		461.16	06/17/20	492.82	31.66 W	0.00	566 of 620 - Sale <sup>25</sup>
0.465		461.21	06/17/20	493.63	32.42 W	0.00	567 of 620 - Sale <sup>25</sup>
0.492		488.86	06/17/20	495.45	6.59 W	0.00	568 of 620 - Sale <sup>25</sup>
0.502		498.20	06/17/20	503.96	5.76 W	0.00	569 of 620 - Sale <sup>25</sup>
0.538		533.60	06/17/20	542.40	8.80 W	0.00	570 of 620 - Sale <sup>25</sup>
0.701		695.55	06/17/20	706.44	10.89 W	0.00	571 of 620 - Sale <sup>25</sup>
0.760		754.62	06/17/20	792.41	37.79 W	0.00	572 of 620 - Sale <sup>25</sup>
0.761		755.06	06/17/20	804.21	49.15 W	0.00	573 of 620 - Sale <sup>25</sup>
1.068		1,060.48	06/17/20	1,087.17	26.69 W	0.00	574 of 620 - Sale <sup>25</sup>
0.001		1.29	06/17/20	1.31	0.02 W	0.00	575 of 620 - Sale <sup>25</sup>
0.464		461.11	06/17/20	467.75	6.64 W	0.00	576 of 620 - Sale <sup>25</sup>
0.465		461.26	06/17/20	468.31	7.05 W	0.00	577 of 620 - Sale <sup>25</sup>
0.465		461.41	06/17/20	466.86	5.45 W	0.00	578 of 620 - Sale <sup>25</sup>
0.465		461.65	06/17/20	469.93	8.28 W	0.00	579 of 620 - Sale <sup>25</sup>
0.465		461.70	06/17/20	468.13	6.43 W	0.00	580 of 620 - Sale <sup>25</sup>
0.533		528.84	06/17/20	536.67	7.83 W	0.00	581 of 620 - Sale <sup>25</sup>
0.535		530.97	06/17/20	538.73	7.76 W	0.00	582 of 620 - Sale <sup>25</sup>
0.535		531.02	06/17/20	539.11	8.09 W	0.00	583 of 620 - Sale <sup>25</sup>
0.535		531.32	06/17/20	539.44	8.12 W	0.00	584 of 620 - Sale <sup>25</sup>
0.002		1.74	06/17/20	1.83	0.09 W	0.00	585 of 620 - Sale <sup>25</sup>
0.995		987.76	06/17/20	1,036.87	49.11 W	0.00	586 of 620 - Sale <sup>25</sup>
0.000		0.15	06/17/20	0.16	0.01 W	0.00	587 of 620 - Sale <sup>25</sup>
0.001		0.96	06/17/20	1.01	0.05 W	0.00	588 of 620 - Sale <sup>25</sup>
0.002		1.74	06/17/20	1.84	0.10 W	0.00	589 of 620 - Sale <sup>25</sup>
0.003		3.08	06/17/20	3.25	0.17 W	0.00	590 of 620 - Sale <sup>25</sup>
0.005		4.81	06/17/20	5.08	0.27 W	0.00	591 of 620 - Sale <sup>25</sup>
0.005		4.84	06/17/20	5.13	0.29 W	0.00	592 of 620 - Sale <sup>25</sup>
0.005		4.87	06/17/20	5.15	0.28 W	0.00	593 of 620 - Sale <sup>25</sup>
0.034		33.96	06/17/20	35.82	1.86 W	0.00	594 of 620 - Sale <sup>25</sup>
0.036		35.87	06/17/20	37.88	2.01 W	0.00	595 of 620 - Sale <sup>25</sup>
0.055		54.80	06/17/20	57.87	3.07 W	0.00	596 of 620 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.096	95.77	06/17/20	101.09	5.32 W	0.00	597 of 620 - Sale <sup>25</sup>
	0.097	95.81	06/17/20	101.13	5.32 W	0.00	598 of 620 - Sale <sup>25</sup>
	0.361	358.80	06/17/20	378.36	19.56 W	0.00	599 of 620 - Sale <sup>25</sup>
	0.299	297.13	06/17/20	314.14	17.01 W	0.00	600 of 620 - Sale <sup>25</sup>
	0.001	0.89	06/17/20	0.96	0.07 W	0.00	601 of 620 - Sale <sup>25</sup>
	0.003	2.48	06/17/20	2.64	0.16 W	0.00	602 of 620 - Sale <sup>25</sup>
	0.005	4.81	06/17/20	5.12	0.31 W	0.00	603 of 620 - Sale <sup>25</sup>
	0.000	0.25	06/17/20	0.26	0.01 W	0.00	604 of 620 - Sale <sup>25</sup>
	0.003	3.08	06/17/20	3.25	0.17 W	0.00	605 of 620 - Sale <sup>25</sup>
	0.004	3.92	06/17/20	4.07	0.15 W	0.00	606 of 620 - Sale <sup>25</sup>
	0.005	4.62	06/17/20	4.71	0.09 W	0.00	607 of 620 - Sale <sup>25</sup>
	0.045	44.48	06/17/20	45.67	1.19 W	0.00	608 of 620 - Sale <sup>25</sup>
	0.165	163.75	06/17/20	169.87	6.12 W	0.00	609 of 620 - Sale <sup>25</sup>
	0.237	235.28	06/17/20	247.18	11.90 W	0.00	610 of 620 - Sale <sup>25</sup>
	0.295	292.65	06/17/20	303.58	10.93 W	0.00	611 of 620 - Sale <sup>25</sup>
	0.296	293.65	06/17/20	310.99	17.34 W	0.00	612 of 620 - Sale <sup>25</sup>
	0.301	298.37	06/17/20	302.65	4.28 W	0.00	613 of 620 - Sale <sup>25</sup>
	0.491	487.23	06/17/20	499.62	12.39 W	0.00	614 of 620 - Sale <sup>25</sup>
	0.695	689.54	06/17/20	700.48	10.94 W	0.00	615 of 620 - Sale <sup>25</sup>
	0.460	456.54	06/17/20	463.21	6.67 W	0.00	616 of 620 - Sale <sup>25</sup>
	0.460	456.54	06/17/20	464.50	7.96 W	0.00	617 of 620 - Sale <sup>25</sup>
	0.534	530.52	06/17/20	538.49	7.97 W	0.00	618 of 620 - Sale <sup>25</sup>
	0.006	5.66	06/17/20	5.73	0.07 W	0.00	619 of 620 - Sale <sup>25</sup>
	1.000	992.72	06/17/20	1,008.80	16.08 W	0.00	620 of 620 - Sale <sup>25</sup>
06/17/20	171.839	170,299.62	Various	174,875.27	4,575.65 W	0.00	Total of 620 transactions
	36 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	0.003	3.19	06/17/20	3.19	...	0.00	1 of 36 - Sale <sup>25</sup>
	0.002	2.23	06/17/20	2.22	...	0.01	2 of 36 - Sale <sup>25</sup>
	0.003	2.58	06/17/20	2.57	...	0.01	3 of 36 - Sale <sup>25</sup>
	0.003	2.63	06/17/20	2.62	...	0.01	4 of 36 - Sale <sup>25</sup>
	0.003	3.14	06/17/20	3.13	...	0.01	5 of 36 - Sale <sup>25</sup>
	0.003	2.63	06/17/20	2.62	...	0.01	6 of 36 - Sale <sup>25</sup>
	0.001	1.32	06/17/20	1.31	...	0.01	7 of 36 - Sale <sup>25</sup>
	0.003	3.14	06/17/20	3.12	...	0.02	8 of 36 - Sale <sup>25</sup>

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(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	4.71	06/17/20	4.69	...	0.02	9 of 36 - Sale <sup>25</sup>
	0.006	5.77	06/17/20	5.72	...	0.05	10 of 36 - Sale <sup>25</sup>
	0.006	5.77	06/17/20	5.71	...	0.06	11 of 36 - Sale <sup>25</sup>
	0.305	308.81	06/17/20	308.67	...	0.14	12 of 36 - Sale <sup>25</sup>
	0.302	305.62	06/17/20	304.91	...	0.71	13 of 36 - Sale <sup>25</sup>
	0.465	470.69	06/17/20	469.37	...	1.32	14 of 36 - Sale <sup>25</sup>
	0.299	302.99	06/17/20	301.63	...	1.36	15 of 36 - Sale <sup>25</sup>
	0.692	700.71	06/17/20	699.13	...	1.58	16 of 36 - Sale <sup>25</sup>
	0.460	465.47	06/17/20	463.25	...	2.22	17 of 36 - Sale <sup>25</sup>
	0.538	544.04	06/17/20	541.74	...	2.30	18 of 36 - Sale <sup>25</sup>
	0.301	304.21	06/17/20	301.83	...	2.38	19 of 36 - Sale <sup>25</sup>
	0.465	470.28	06/17/20	467.74	...	2.54	20 of 36 - Sale <sup>25</sup>
	0.535	541.72	06/17/20	538.78	...	2.94	21 of 36 - Sale <sup>25</sup>
	0.464	470.13	06/17/20	467.18	...	2.95	22 of 36 - Sale <sup>25</sup>
	0.535	541.41	06/17/20	538.46	...	2.95	23 of 36 - Sale <sup>25</sup>
	0.465	470.74	06/17/20	467.57	...	3.17	24 of 36 - Sale <sup>25</sup>
	0.533	539.18	06/17/20	536.01	...	3.17	25 of 36 - Sale <sup>25</sup>
	0.535	541.36	06/17/20	538.08	...	3.28	26 of 36 - Sale <sup>25</sup>
	0.997	1,009.01	06/17/20	1,005.52	...	3.49	27 of 36 - Sale <sup>25</sup>
	0.460	465.47	06/17/20	461.96	...	3.51	28 of 36 - Sale <sup>25</sup>
	0.492	498.43	06/17/20	494.85	...	3.58	29 of 36 - Sale <sup>25</sup>
	0.701	709.16	06/17/20	705.58	...	3.58	30 of 36 - Sale <sup>25</sup>
	0.994	1,006.33	06/17/20	1,002.57	...	3.76	31 of 36 - Sale <sup>25</sup>
	0.534	540.91	06/17/20	537.03	...	3.88	32 of 36 - Sale <sup>25</sup>
	0.465	470.44	06/17/20	466.29	...	4.15	33 of 36 - Sale <sup>25</sup>
	0.695	703.03	06/17/20	698.59	...	4.44	34 of 36 - Sale <sup>25</sup>
	0.502	507.95	06/17/20	503.35	...	4.60	35 of 36 - Sale <sup>25</sup>
	1.000	1,014.24	06/17/20	1,004.35	...	9.89	36 of 36 - Sale <sup>25</sup>
06/18/20	13.770	13,939.44	Various	13,861.34	...	78.10	Total of 36 transactions
	287 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	0.000	0.20	06/17/20	0.21	0.01 W	0.00	1 of 287 - Sale <sup>25</sup>
	0.001	0.66	06/17/20	0.68	0.02 W	0.00	2 of 287 - Sale <sup>25</sup>
	0.001	0.91	06/17/20	0.95	0.04 W	0.00	3 of 287 - Sale <sup>25</sup>
	0.001	1.37	06/17/20	1.38	0.01 W	0.00	4 of 287 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.052		52.64	06/17/20	54.95	2.31 W	0.00	5 of 287 - Sale <sup>25</sup>
0.132		133.55	06/17/20	140.26	6.71 W	0.00	6 of 287 - Sale <sup>25</sup>
0.508		514.67	06/17/20	540.54	25.87 W	0.00	7 of 287 - Sale <sup>25</sup>
0.096		97.45	06/17/20	101.96	4.51 W	0.00	8 of 287 - Sale <sup>25</sup>
0.299		302.94	06/17/20	318.27	15.33 W	0.00	9 of 287 - Sale <sup>25</sup>
0.302		305.47	06/17/20	320.05	14.58 W	0.00	10 of 287 - Sale <sup>25</sup>
0.303		306.18	06/17/20	320.83	14.65 W	0.00	11 of 287 - Sale <sup>25</sup>
0.000		0.45	06/17/20	0.47	0.02 W	0.00	12 of 287 - Sale <sup>25</sup>
0.002		1.77	06/17/20	1.83	0.06 W	0.00	13 of 287 - Sale <sup>25</sup>
0.995		1,007.09	06/17/20	1,035.65	28.56 W	0.00	14 of 287 - Sale <sup>25</sup>
0.000		0.15	06/17/20	0.16	0.01 W	0.00	15 of 287 - Sale <sup>25</sup>
0.001		0.98	06/17/20	1.01	0.03 W	0.00	16 of 287 - Sale <sup>25</sup>
0.002		1.77	06/17/20	1.84	0.07 W	0.00	17 of 287 - Sale <sup>25</sup>
0.003		3.14	06/17/20	3.24	0.10 W	0.00	18 of 287 - Sale <sup>25</sup>
0.005		4.90	06/17/20	5.07	0.17 W	0.00	19 of 287 - Sale <sup>25</sup>
0.005		4.93	06/17/20	5.12	0.19 W	0.00	20 of 287 - Sale <sup>25</sup>
0.005		4.96	06/17/20	5.14	0.18 W	0.00	21 of 287 - Sale <sup>25</sup>
0.034		34.63	06/17/20	35.78	1.15 W	0.00	22 of 287 - Sale <sup>25</sup>
0.036		36.57	06/17/20	37.84	1.27 W	0.00	23 of 287 - Sale <sup>25</sup>
0.055		55.87	06/17/20	57.80	1.93 W	0.00	24 of 287 - Sale <sup>25</sup>
0.096		97.64	06/17/20	100.97	3.33 W	0.00	25 of 287 - Sale <sup>25</sup>
0.097		97.68	06/17/20	101.01	3.33 W	0.00	26 of 287 - Sale <sup>25</sup>
0.361		365.82	06/17/20	377.92	12.10 W	0.00	27 of 287 - Sale <sup>25</sup>
0.299		302.94	06/17/20	313.77	10.83 W	0.00	28 of 287 - Sale <sup>25</sup>
0.001		0.91	06/17/20	0.96	0.05 W	0.00	29 of 287 - Sale <sup>25</sup>
0.003		2.53	06/17/20	2.64	0.11 W	0.00	30 of 287 - Sale <sup>25</sup>
0.000		0.45	06/17/20	0.46	0.01 W	0.00	31 of 287 - Sale <sup>25</sup>
0.001		0.97	06/17/20	1.01	0.04 W	0.00	32 of 287 - Sale <sup>25</sup>
0.002		2.43	06/17/20	2.47	0.04 W	0.00	33 of 287 - Sale <sup>25</sup>
0.002		2.43	06/17/20	2.48	0.05 W	0.00	34 of 287 - Sale <sup>25</sup>
0.002		2.44	06/17/20	2.48	0.04 W	0.00	35 of 287 - Sale <sup>25</sup>
0.003		2.68	06/17/20	2.79	0.11 W	0.00	36 of 287 - Sale <sup>25</sup>
0.003		2.81	06/17/20	2.86	0.05 W	0.00	37 of 287 - Sale <sup>25</sup>
0.003		3.14	06/17/20	3.23	0.09 W	0.00	38 of 287 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	3.14	06/17/20	3.21	0.07 W	0.00	39 of 287 - Sale <sup>25</sup>
	0.003	3.14	06/17/20	3.21	0.07 W	0.00	40 of 287 - Sale <sup>25</sup>
	0.005	4.86	06/17/20	4.97	0.11 W	0.00	41 of 287 - Sale <sup>25</sup>
	0.010	9.71	06/17/20	9.96	0.25 W	0.00	42 of 287 - Sale <sup>25</sup>
	0.010	9.73	06/17/20	9.95	0.22 W	0.00	43 of 287 - Sale <sup>25</sup>
	0.016	16.32	06/17/20	16.57	0.25 W	0.00	44 of 287 - Sale <sup>25</sup>
	0.017	16.76	06/17/20	17.13	0.37 W	0.00	45 of 287 - Sale <sup>25</sup>
	0.019	19.33	06/17/20	19.70	0.37 W	0.00	46 of 287 - Sale <sup>25</sup>
	0.031	31.50	06/17/20	32.21	0.71 W	0.00	47 of 287 - Sale <sup>25</sup>
	0.033	33.78	06/17/20	34.66	0.88 W	0.00	48 of 287 - Sale <sup>25</sup>
	0.048	48.31	06/17/20	49.33	1.02 W	0.00	49 of 287 - Sale <sup>25</sup>
	0.048	48.31	06/17/20	49.34	1.03 W	0.00	50 of 287 - Sale <sup>25</sup>
	0.055	56.06	06/17/20	57.25	1.19 W	0.00	51 of 287 - Sale <sup>25</sup>
	0.095	96.51	06/17/20	98.74	2.23 W	0.00	52 of 287 - Sale <sup>25</sup>
	0.151	152.85	06/17/20	156.41	3.56 W	0.00	53 of 287 - Sale <sup>25</sup>
	0.186	188.64	06/17/20	190.89	2.25 W	0.00	54 of 287 - Sale <sup>25</sup>
	0.191	193.00	06/17/20	197.87	4.87 W	0.00	55 of 287 - Sale <sup>25</sup>
	0.230	232.80	06/17/20	243.82	11.02 W	0.00	56 of 287 - Sale <sup>25</sup>
	0.231	233.86	06/17/20	234.36	0.50 W	0.00	57 of 287 - Sale <sup>25</sup>
	0.236	239.18	06/17/20	245.90	6.72 W	0.00	58 of 287 - Sale <sup>25</sup>
	0.237	239.94	06/17/20	251.50	11.56 W	0.00	59 of 287 - Sale <sup>25</sup>
	0.298	301.98	06/17/20	316.70	14.72 W	0.00	60 of 287 - Sale <sup>25</sup>
	0.305	308.66	06/17/20	323.69	15.03 W	0.00	61 of 287 - Sale <sup>25</sup>
	0.465	470.18	06/17/20	492.25	22.07 W	0.00	62 of 287 - Sale <sup>25</sup>
	0.465	470.23	06/17/20	493.06	22.83 W	0.00	63 of 287 - Sale <sup>25</sup>
	0.760	769.38	06/17/20	791.47	22.09 W	0.00	64 of 287 - Sale <sup>25</sup>
	0.761	769.84	06/17/20	803.28	33.44 W	0.00	65 of 287 - Sale <sup>25</sup>
	1.068	1,081.23	06/17/20	1,085.86	4.63 W	0.00	66 of 287 - Sale <sup>25</sup>
	0.005	4.91	06/17/20	5.11	0.20 W	0.00	67 of 287 - Sale <sup>25</sup>
	0.000	0.25	06/17/20	0.26	0.01 W	0.00	68 of 287 - Sale <sup>25</sup>
	0.003	3.14	06/17/20	3.24	0.10 W	0.00	69 of 287 - Sale <sup>25</sup>
	0.004	4.00	06/17/20	4.06	0.06 W	0.00	70 of 287 - Sale <sup>25</sup>
	0.045	45.35	06/17/20	45.55	0.20 W	0.00	71 of 287 - Sale <sup>25</sup>
	0.165	166.95	06/17/20	169.42	2.47 W	0.00	72 of 287 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.237	239.89	06/17/20	246.54	6.65 W	0.00	73 of 287 - Sale <sup>25</sup>
	0.295	298.37	06/17/20	302.77	4.40 W	0.00	74 of 287 - Sale <sup>25</sup>
	0.296	299.40	06/17/20	310.19	10.79 W	0.00	75 of 287 - Sale <sup>25</sup>
	0.491	496.77	06/17/20	498.29	1.52 W	0.00	76 of 287 - Sale <sup>25</sup>
	1.000	1,010.08	06/18/20	1,027.08	17.00 W	0.00	77 of 287 - Sale <sup>25</sup>
	0.000	0.20	06/18/20	0.21	0.01 W	0.00	78 of 287 - Sale <sup>25</sup>
	0.001	0.66	06/18/20	0.68	0.02 W	0.00	79 of 287 - Sale <sup>25</sup>
	0.001	0.91	06/18/20	0.95	0.04 W	0.00	80 of 287 - Sale <sup>25</sup>
	0.001	1.36	06/18/20	1.37	0.01 W	0.00	81 of 287 - Sale <sup>25</sup>
	0.052	52.53	06/18/20	54.84	2.31 W	0.00	82 of 287 - Sale <sup>25</sup>
	0.132	133.28	06/18/20	139.97	6.69 W	0.00	83 of 287 - Sale <sup>25</sup>
	0.508	513.62	06/18/20	539.45	25.83 W	0.00	84 of 287 - Sale <sup>25</sup>
	0.003	2.68	06/18/20	2.81	0.13 W	0.00	85 of 287 - Sale <sup>25</sup>
	0.302	304.85	06/18/20	319.40	14.55 W	0.00	86 of 287 - Sale <sup>25</sup>
	0.002	1.77	06/18/20	1.83	0.06 W	0.00	87 of 287 - Sale <sup>25</sup>
	0.302	305.40	06/18/20	313.76	8.36 W	0.00	88 of 287 - Sale <sup>25</sup>
	0.096	97.25	06/18/20	101.66	4.41 W	0.00	89 of 287 - Sale <sup>25</sup>
	0.299	302.32	06/18/20	317.33	15.01 W	0.00	90 of 287 - Sale <sup>25</sup>
	0.300	302.87	06/18/20	317.07	14.20 W	0.00	91 of 287 - Sale <sup>25</sup>
	0.000	0.45	06/18/20	0.47	0.02 W	0.00	92 of 287 - Sale <sup>25</sup>
	0.693	699.62	06/18/20	718.76	19.14 W	0.00	93 of 287 - Sale <sup>25</sup>
	0.000	0.15	06/18/20	0.16	0.01 W	0.00	94 of 287 - Sale <sup>25</sup>
	0.001	0.97	06/18/20	1.00	0.03 W	0.00	95 of 287 - Sale <sup>25</sup>
	0.002	1.77	06/18/20	1.84	0.07 W	0.00	96 of 287 - Sale <sup>25</sup>
	0.002	2.48	06/18/20	2.55	0.07 W	0.00	97 of 287 - Sale <sup>25</sup>
	0.003	3.13	06/18/20	3.23	0.10 W	0.00	98 of 287 - Sale <sup>25</sup>
	0.005	4.89	06/18/20	5.06	0.17 W	0.00	99 of 287 - Sale <sup>25</sup>
	0.005	4.92	06/18/20	5.11	0.19 W	0.00	100 of 287 - Sale <sup>25</sup>
	0.005	4.95	06/18/20	5.13	0.18 W	0.00	101 of 287 - Sale <sup>25</sup>
	0.036	36.50	06/18/20	37.73	1.23 W	0.00	102 of 287 - Sale <sup>25</sup>
	0.055	55.76	06/18/20	57.63	1.87 W	0.00	103 of 287 - Sale <sup>25</sup>
	0.096	97.44	06/18/20	100.67	3.23 W	0.00	104 of 287 - Sale <sup>25</sup>
	0.097	97.48	06/18/20	100.70	3.22 W	0.00	105 of 287 - Sale <sup>25</sup>
	0.034	34.56	06/18/20	35.64	1.08 W	0.00	106 of 287 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.359	362.60	06/18/20	373.96	11.36 W	0.00	107 of 287 - Sale <sup>25</sup>
	0.299	302.32	06/18/20	312.61	10.29 W	0.00	108 of 287 - Sale <sup>25</sup>
	0.001	0.91	06/18/20	0.96	0.05 W	0.00	109 of 287 - Sale <sup>25</sup>
	0.003	2.53	06/18/20	2.63	0.10 W	0.00	110 of 287 - Sale <sup>25</sup>
	0.000	0.45	06/18/20	0.46	0.01 W	0.00	111 of 287 - Sale <sup>25</sup>
	0.304	306.72	06/18/20	307.49	0.77 W	0.00	112 of 287 - Sale <sup>25</sup>
	0.004	4.38	06/18/20	4.60	0.22 W	0.00	113 of 287 - Sale <sup>25</sup>
	0.231	232.80	06/18/20	233.40	0.60 W	0.00	114 of 287 - Sale <sup>25</sup>
	0.765	770.35	06/18/20	774.02	3.67 W	0.00	115 of 287 - Sale <sup>25</sup>
	0.004	4.44	06/18/20	4.63	0.19 W	0.00	116 of 287 - Sale <sup>25</sup>
	0.237	238.84	06/18/20	249.09	10.25 W	0.00	117 of 287 - Sale <sup>25</sup>
	0.298	300.60	06/18/20	313.67	13.07 W	0.00	118 of 287 - Sale <sup>25</sup>
	0.460	463.65	06/18/20	482.97	19.32 W	0.00	119 of 287 - Sale <sup>25</sup>
	0.005	4.89	06/18/20	5.07	0.18 W	0.00	120 of 287 - Sale <sup>25</sup>
	0.000	0.25	06/18/20	0.26	0.01 W	0.00	121 of 287 - Sale <sup>25</sup>
	0.003	3.12	06/18/20	3.22	0.10 W	0.00	122 of 287 - Sale <sup>25</sup>
	0.004	3.98	06/18/20	4.03	0.05 W	0.00	123 of 287 - Sale <sup>25</sup>
	0.007	7.10	06/18/20	7.28	0.18 W	0.00	124 of 287 - Sale <sup>25</sup>
	0.045	45.14	06/18/20	45.23	0.09 W	0.00	125 of 287 - Sale <sup>25</sup>
	0.165	166.19	06/18/20	168.23	2.04 W	0.00	126 of 287 - Sale <sup>25</sup>
	0.295	297.01	06/18/20	300.64	3.63 W	0.00	127 of 287 - Sale <sup>25</sup>
	0.296	298.03	06/18/20	308.05	10.02 W	0.00	128 of 287 - Sale <sup>25</sup>
	0.491	494.50	06/18/20	494.74	0.24 W	0.00	129 of 287 - Sale <sup>25</sup>
	0.001	0.97	06/18/20	1.01	0.04 W	0.00	130 of 287 - Sale <sup>25</sup>
	0.002	2.41	06/18/20	2.45	0.04 W	0.00	131 of 287 - Sale <sup>25</sup>
	0.002	2.42	06/18/20	2.46	0.04 W	0.00	132 of 287 - Sale <sup>25</sup>
	0.002	2.42	06/18/20	2.46	0.04 W	0.00	133 of 287 - Sale <sup>25</sup>
	0.003	2.67	06/18/20	2.77	0.10 W	0.00	134 of 287 - Sale <sup>25</sup>
	0.003	2.80	06/18/20	2.84	0.04 W	0.00	135 of 287 - Sale <sup>25</sup>
	0.003	3.12	06/18/20	3.20	0.08 W	0.00	136 of 287 - Sale <sup>25</sup>
	0.003	3.12	06/18/20	3.18	0.06 W	0.00	137 of 287 - Sale <sup>25</sup>
	0.003	3.12	06/18/20	3.18	0.06 W	0.00	138 of 287 - Sale <sup>25</sup>
	0.005	4.83	06/18/20	4.93	0.10 W	0.00	139 of 287 - Sale <sup>25</sup>
	0.010	9.67	06/18/20	9.89	0.22 W	0.00	140 of 287 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.010	9.68	06/18/20	9.88	0.20 W	0.00	141 of 287 - Sale <sup>25</sup>
	0.016	16.24	06/18/20	16.45	0.21 W	0.00	142 of 287 - Sale <sup>25</sup>
	0.017	16.69	06/18/20	17.01	0.32 W	0.00	143 of 287 - Sale <sup>25</sup>
	0.019	19.24	06/18/20	19.56	0.32 W	0.00	144 of 287 - Sale <sup>25</sup>
	0.031	31.35	06/18/20	31.98	0.63 W	0.00	145 of 287 - Sale <sup>25</sup>
	0.033	33.63	06/18/20	34.42	0.79 W	0.00	146 of 287 - Sale <sup>25</sup>
	0.048	48.09	06/18/20	48.99	0.90 W	0.00	147 of 287 - Sale <sup>25</sup>
	0.048	48.09	06/18/20	49.00	0.91 W	0.00	148 of 287 - Sale <sup>25</sup>
	0.055	55.80	06/18/20	56.85	1.05 W	0.00	149 of 287 - Sale <sup>25</sup>
	0.095	96.07	06/18/20	98.05	1.98 W	0.00	150 of 287 - Sale <sup>25</sup>
	0.151	152.15	06/18/20	155.31	3.16 W	0.00	151 of 287 - Sale <sup>25</sup>
	0.186	187.79	06/18/20	189.54	1.75 W	0.00	152 of 287 - Sale <sup>25</sup>
	0.191	192.12	06/18/20	196.49	4.37 W	0.00	153 of 287 - Sale <sup>25</sup>
	0.230	231.74	06/18/20	242.16	10.42 W	0.00	154 of 287 - Sale <sup>25</sup>
	0.236	238.09	06/18/20	244.19	6.10 W	0.00	155 of 287 - Sale <sup>25</sup>
	0.305	307.25	06/18/20	321.48	14.23 W	0.00	156 of 287 - Sale <sup>25</sup>
	0.460	463.65	06/18/20	485.05	21.40 W	0.00	157 of 287 - Sale <sup>25</sup>
	0.760	765.87	06/18/20	785.97	20.10 W	0.00	158 of 287 - Sale <sup>25</sup>
	0.761	766.32	06/18/20	797.77	31.45 W	0.00	159 of 287 - Sale <sup>25</sup>
	0.230	231.69	06/18/20	238.34	6.65 W	0.00	160 of 287 - Sale <sup>25</sup>
	0.770	775.85	06/18/20	789.62	13.77 W	0.00	161 of 287 - Sale <sup>25</sup>
	0.000	0.20	06/18/20	0.21	0.01 W	0.00	162 of 287 - Sale <sup>25</sup>
	0.001	0.65	06/18/20	0.67	0.02 W	0.00	163 of 287 - Sale <sup>25</sup>
	0.001	0.91	06/18/20	0.95	0.04 W	0.00	164 of 287 - Sale <sup>25</sup>
	0.001	1.36	06/18/20	1.37	0.01 W	0.00	165 of 287 - Sale <sup>25</sup>
	0.002	1.76	06/18/20	1.82	0.06 W	0.00	166 of 287 - Sale <sup>25</sup>
	0.302	304.62	06/18/20	312.96	8.34 W	0.00	167 of 287 - Sale <sup>25</sup>
	0.693	697.82	06/18/20	716.93	19.11 W	0.00	168 of 287 - Sale <sup>25</sup>
	0.000	0.15	06/18/20	0.16	0.01 W	0.00	169 of 287 - Sale <sup>25</sup>
	0.001	0.97	06/18/20	1.00	0.03 W	0.00	170 of 287 - Sale <sup>25</sup>
	0.002	1.76	06/18/20	1.83	0.07 W	0.00	171 of 287 - Sale <sup>25</sup>
	0.002	2.47	06/18/20	2.54	0.07 W	0.00	172 of 287 - Sale <sup>25</sup>
	0.003	3.12	06/18/20	3.22	0.10 W	0.00	173 of 287 - Sale <sup>25</sup>
	0.005	4.88	06/18/20	5.05	0.17 W	0.00	174 of 287 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	4.91	06/18/20	5.10	0.19 W	0.00	175 of 287 - Sale <sup>25</sup>
	0.005	4.94	06/18/20	5.12	0.18 W	0.00	176 of 287 - Sale <sup>25</sup>
	0.034	34.47	06/18/20	35.55	1.08 W	0.00	177 of 287 - Sale <sup>25</sup>
	0.036	36.40	06/18/20	37.63	1.23 W	0.00	178 of 287 - Sale <sup>25</sup>
	0.055	55.62	06/18/20	57.48	1.86 W	0.00	179 of 287 - Sale <sup>25</sup>
	0.096	97.19	06/18/20	100.42	3.23 W	0.00	180 of 287 - Sale <sup>25</sup>
	0.097	97.23	06/18/20	100.45	3.22 W	0.00	181 of 287 - Sale <sup>25</sup>
	0.359	361.66	06/18/20	373.01	11.35 W	0.00	182 of 287 - Sale <sup>25</sup>
	0.299	301.55	06/18/20	311.82	10.27 W	0.00	183 of 287 - Sale <sup>25</sup>
	0.001	0.91	06/18/20	0.96	0.05 W	0.00	184 of 287 - Sale <sup>25</sup>
	0.003	2.52	06/18/20	2.62	0.10 W	0.00	185 of 287 - Sale <sup>25</sup>
	0.052	52.39	06/18/20	54.70	2.31 W	0.00	186 of 287 - Sale <sup>25</sup>
	0.132	132.93	06/18/20	139.62	6.69 W	0.00	187 of 287 - Sale <sup>25</sup>
	0.508	512.30	06/18/20	538.10	25.80 W	0.00	188 of 287 - Sale <sup>25</sup>
	0.003	2.67	06/18/20	2.80	0.13 W	0.00	189 of 287 - Sale <sup>25</sup>
	0.096	97.00	06/18/20	101.41	4.41 W	0.00	190 of 287 - Sale <sup>25</sup>
	0.299	301.55	06/18/20	316.54	14.99 W	0.00	191 of 287 - Sale <sup>25</sup>
	0.300	302.10	06/18/20	316.28	14.18 W	0.00	192 of 287 - Sale <sup>25</sup>
	0.302	304.06	06/18/20	318.60	14.54 W	0.00	193 of 287 - Sale <sup>25</sup>
	0.000	0.45	06/18/20	0.47	0.02 W	0.00	194 of 287 - Sale <sup>25</sup>
	0.000	0.45	06/18/20	0.46	0.01 W	0.00	195 of 287 - Sale <sup>25</sup>
	0.005	5.49	06/18/20	5.50	0.01 W	0.00	196 of 287 - Sale <sup>25</sup>
	0.304	305.93	06/18/20	306.69	0.76 W	0.00	197 of 287 - Sale <sup>25</sup>
	0.765	770.31	06/18/20	773.95	3.64 W	0.00	198 of 287 - Sale <sup>25</sup>
	0.230	231.68	06/18/20	235.57	3.89 W	0.00	199 of 287 - Sale <sup>25</sup>
	0.004	4.36	06/18/20	4.58	0.22 W	0.00	200 of 287 - Sale <sup>25</sup>
	0.012	11.52	06/18/20	12.02	0.50 W	0.00	201 of 287 - Sale <sup>25</sup>
	0.226	226.01	06/18/20	226.53	0.52 W	0.00	202 of 287 - Sale <sup>25</sup>
	0.298	298.89	06/18/20	311.87	12.98 W	0.00	203 of 287 - Sale <sup>25</sup>
	0.460	461.00	06/18/20	480.19	19.19 W	0.00	204 of 287 - Sale <sup>25</sup>
	0.005	4.86	06/18/20	5.04	0.18 W	0.00	205 of 287 - Sale <sup>25</sup>
	0.045	44.89	06/18/20	44.97	0.08 W	0.00	206 of 287 - Sale <sup>25</sup>
	0.230	229.99	06/18/20	232.77	2.78 W	0.00	207 of 287 - Sale <sup>25</sup>
	0.491	491.68	06/18/20	491.82	0.14 W	0.00	208 of 287 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.004		4.41	06/18/20	4.60	0.19 W	0.00	209 of 287 - Sale <sup>25</sup>
0.226		225.95	06/18/20	235.66	9.71 W	0.00	210 of 287 - Sale <sup>25</sup>
0.000		0.25	06/18/20	0.26	0.01 W	0.00	211 of 287 - Sale <sup>25</sup>
0.003		3.11	06/18/20	3.21	0.10 W	0.00	212 of 287 - Sale <sup>25</sup>
0.004		3.96	06/18/20	4.01	0.05 W	0.00	213 of 287 - Sale <sup>25</sup>
0.007		7.06	06/18/20	7.24	0.18 W	0.00	214 of 287 - Sale <sup>25</sup>
0.065		65.33	06/18/20	66.14	0.81 W	0.00	215 of 287 - Sale <sup>25</sup>
0.165		165.24	06/18/20	167.32	2.08 W	0.00	216 of 287 - Sale <sup>25</sup>
0.230		230.36	06/18/20	237.06	6.70 W	0.00	217 of 287 - Sale <sup>25</sup>
0.296		296.33	06/18/20	306.42	10.09 W	0.00	218 of 287 - Sale <sup>25</sup>
0.001		0.96	06/18/20	1.00	0.04 W	0.00	219 of 287 - Sale <sup>25</sup>
0.002		2.40	06/18/20	2.44	0.04 W	0.00	220 of 287 - Sale <sup>25</sup>
0.002		2.41	06/18/20	2.45	0.04 W	0.00	221 of 287 - Sale <sup>25</sup>
0.002		2.41	06/18/20	2.45	0.04 W	0.00	222 of 287 - Sale <sup>25</sup>
0.003		2.65	06/18/20	2.76	0.11 W	0.00	223 of 287 - Sale <sup>25</sup>
0.003		2.78	06/18/20	2.82	0.04 W	0.00	224 of 287 - Sale <sup>25</sup>
0.003		3.10	06/18/20	3.19	0.09 W	0.00	225 of 287 - Sale <sup>25</sup>
0.003		3.10	06/18/20	3.17	0.07 W	0.00	226 of 287 - Sale <sup>25</sup>
0.003		3.10	06/18/20	3.17	0.07 W	0.00	227 of 287 - Sale <sup>25</sup>
0.005		4.81	06/18/20	4.91	0.10 W	0.00	228 of 287 - Sale <sup>25</sup>
0.010		9.61	06/18/20	9.83	0.22 W	0.00	229 of 287 - Sale <sup>25</sup>
0.010		9.63	06/18/20	9.83	0.20 W	0.00	230 of 287 - Sale <sup>25</sup>
0.016		16.15	06/18/20	16.36	0.21 W	0.00	231 of 287 - Sale <sup>25</sup>
0.017		16.59	06/18/20	16.91	0.32 W	0.00	232 of 287 - Sale <sup>25</sup>
0.019		19.13	06/18/20	19.46	0.33 W	0.00	233 of 287 - Sale <sup>25</sup>
0.031		31.17	06/18/20	31.81	0.64 W	0.00	234 of 287 - Sale <sup>25</sup>
0.033		33.44	06/18/20	34.24	0.80 W	0.00	235 of 287 - Sale <sup>25</sup>
0.048		47.82	06/18/20	48.73	0.91 W	0.00	236 of 287 - Sale <sup>25</sup>
0.048		47.82	06/18/20	48.74	0.92 W	0.00	237 of 287 - Sale <sup>25</sup>
0.055		55.48	06/18/20	56.54	1.06 W	0.00	238 of 287 - Sale <sup>25</sup>
0.095		95.52	06/18/20	97.52	2.00 W	0.00	239 of 287 - Sale <sup>25</sup>
0.151		151.28	06/18/20	154.47	3.19 W	0.00	240 of 287 - Sale <sup>25</sup>
0.186		186.71	06/18/20	188.50	1.79 W	0.00	241 of 287 - Sale <sup>25</sup>
0.191		191.02	06/18/20	195.44	4.42 W	0.00	242 of 287 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.230	230.42	06/18/20	240.89	10.47 W	0.00	243 of 287 - Sale <sup>25</sup>
	0.236	236.73	06/18/20	242.88	6.15 W	0.00	244 of 287 - Sale <sup>25</sup>
	0.305	305.50	06/18/20	319.79	14.29 W	0.00	245 of 287 - Sale <sup>25</sup>
	0.460	461.00	06/18/20	482.51	21.51 W	0.00	246 of 287 - Sale <sup>25</sup>
	0.760	761.50	06/18/20	781.76	20.26 W	0.00	247 of 287 - Sale <sup>25</sup>
	0.761	761.95	06/18/20	793.56	31.61 W	0.00	248 of 287 - Sale <sup>25</sup>
	0.540	541.05	06/18/20	550.83	9.78 W	0.00	249 of 287 - Sale <sup>25</sup>
	0.000	0.20	06/18/20	0.21	0.01 W	0.00	250 of 287 - Sale <sup>25</sup>
	0.001	0.65	06/18/20	0.67	0.02 W	0.00	251 of 287 - Sale <sup>25</sup>
	0.001	0.90	06/18/20	0.94	0.04 W	0.00	252 of 287 - Sale <sup>25</sup>
	0.001	1.35	06/18/20	1.36	0.01 W	0.00	253 of 287 - Sale <sup>25</sup>
	0.002	1.75	06/18/20	1.81	0.06 W	0.00	254 of 287 - Sale <sup>25</sup>
	0.302	302.89	06/18/20	311.24	8.35 W	0.00	255 of 287 - Sale <sup>25</sup>
	0.693	693.88	06/18/20	712.99	19.11 W	0.00	256 of 287 - Sale <sup>25</sup>
	0.000	0.15	06/18/20	0.16	0.01 W	0.00	257 of 287 - Sale <sup>25</sup>
	0.001	0.97	06/18/20	1.00	0.03 W	0.00	258 of 287 - Sale <sup>25</sup>
	0.002	1.75	06/18/20	1.82	0.07 W	0.00	259 of 287 - Sale <sup>25</sup>
	0.002	2.46	06/18/20	2.53	0.07 W	0.00	260 of 287 - Sale <sup>25</sup>
	0.003	3.10	06/18/20	3.20	0.10 W	0.00	261 of 287 - Sale <sup>25</sup>
	0.005	4.85	06/18/20	5.02	0.17 W	0.00	262 of 287 - Sale <sup>25</sup>
	0.005	4.88	06/18/20	5.07	0.19 W	0.00	263 of 287 - Sale <sup>25</sup>
	0.005	4.91	06/18/20	5.09	0.18 W	0.00	264 of 287 - Sale <sup>25</sup>
	0.034	34.27	06/18/20	35.35	1.08 W	0.00	265 of 287 - Sale <sup>25</sup>
	0.036	36.20	06/18/20	37.43	1.23 W	0.00	266 of 287 - Sale <sup>25</sup>
	0.055	55.30	06/18/20	57.16	1.86 W	0.00	267 of 287 - Sale <sup>25</sup>
	0.096	96.64	06/18/20	99.88	3.24 W	0.00	268 of 287 - Sale <sup>25</sup>
	0.097	96.68	06/18/20	99.90	3.22 W	0.00	269 of 287 - Sale <sup>25</sup>
	0.359	359.62	06/18/20	370.97	11.35 W	0.00	270 of 287 - Sale <sup>25</sup>
	0.299	299.84	06/18/20	310.11	10.27 W	0.00	271 of 287 - Sale <sup>25</sup>
	0.001	0.90	06/18/20	0.95	0.05 W	0.00	272 of 287 - Sale <sup>25</sup>
	0.003	2.50	06/18/20	2.60	0.10 W	0.00	273 of 287 - Sale <sup>25</sup>
	0.052	52.10	06/18/20	54.41	2.31 W	0.00	274 of 287 - Sale <sup>25</sup>
	0.132	132.18	06/18/20	138.87	6.69 W	0.00	275 of 287 - Sale <sup>25</sup>
	0.508	509.40	06/18/20	535.21	25.81 W	0.00	276 of 287 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	2.65	06/18/20	2.78	0.13 W	0.00	277 of 287 - Sale <sup>25</sup>
	0.096	96.46	06/18/20	100.87	4.41 W	0.00	278 of 287 - Sale <sup>25</sup>
	0.299	299.84	06/18/20	314.83	14.99 W	0.00	279 of 287 - Sale <sup>25</sup>
	0.300	300.39	06/18/20	314.57	14.18 W	0.00	280 of 287 - Sale <sup>25</sup>
	0.302	302.34	06/18/20	316.89	14.55 W	0.00	281 of 287 - Sale <sup>25</sup>
	0.000	0.45	06/18/20	0.47	0.02 W	0.00	282 of 287 - Sale <sup>25</sup>
	0.000	0.45	06/18/20	0.46	0.01 W	0.00	283 of 287 - Sale <sup>25</sup>
	0.005	5.46	06/18/20	5.47	0.01 W	0.00	284 of 287 - Sale <sup>25</sup>
	0.304	304.20	06/18/20	304.97	0.77 W	0.00	285 of 287 - Sale <sup>25</sup>
	0.765	765.96	06/18/20	769.61	3.65 W	0.00	286 of 287 - Sale <sup>25</sup>
	0.230	230.37	06/18/20	234.48	4.11 W	0.00	287 of 287 - Sale <sup>25</sup>
06/18/20	41.230	41,535.69	Various	42,764.19	1,228.50 W	0.00	Total of 287 transactions
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	0.000	0.20	06/19/20	0.20	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.491	494.14	06/19/20	493.89	...	0.25	2 of 2 - Sale <sup>25</sup>
06/19/20	0.491	494.34	Various	494.09	...	0.25	Total of 2 transactions
	97 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	0.004	4.38	06/19/20	4.61	0.23 W	0.00	1 of 97 - Sale <sup>25</sup>
	0.080	80.43	06/19/20	84.02	3.59 W	0.00	2 of 97 - Sale <sup>25</sup>
	0.226	227.11	06/19/20	227.92	0.81 W	0.00	3 of 97 - Sale <sup>25</sup>
	0.460	463.25	06/19/20	483.04	19.79 W	0.00	4 of 97 - Sale <sup>25</sup>
	0.230	231.49	06/19/20	235.68	4.19 W	0.00	5 of 97 - Sale <sup>25</sup>
	0.005	4.88	06/19/20	5.06	0.18 W	0.00	6 of 97 - Sale <sup>25</sup>
	0.045	45.11	06/19/20	45.16	0.05 W	0.00	7 of 97 - Sale <sup>25</sup>
	0.065	65.65	06/19/20	66.41	0.76 W	0.00	8 of 97 - Sale <sup>25</sup>
	0.165	165.87	06/19/20	167.81	1.94 W	0.00	9 of 97 - Sale <sup>25</sup>
	0.230	231.14	06/19/20	233.74	2.60 W	0.00	10 of 97 - Sale <sup>25</sup>
	0.000	0.25	06/19/20	0.26	0.01 W	0.00	11 of 97 - Sale <sup>25</sup>
	0.003	3.12	06/19/20	3.22	0.10 W	0.00	12 of 97 - Sale <sup>25</sup>
	0.004	3.98	06/19/20	4.02	0.04 W	0.00	13 of 97 - Sale <sup>25</sup>
	0.007	7.09	06/19/20	7.27	0.18 W	0.00	14 of 97 - Sale <sup>25</sup>
	0.230	231.19	06/19/20	237.81	6.62 W	0.00	15 of 97 - Sale <sup>25</sup>
	0.296	297.68	06/19/20	307.67	9.99 W	0.00	16 of 97 - Sale <sup>25</sup>
	0.004	4.43	06/19/20	4.62	0.19 W	0.00	17 of 97 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.012	11.58	06/19/20	12.07	0.49 W	0.00	18 of 97 - Sale <sup>25</sup>
	0.218	219.84	06/19/20	229.26	9.42 W	0.00	19 of 97 - Sale <sup>25</sup>
	0.226	226.99	06/19/20	236.62	9.63 W	0.00	20 of 97 - Sale <sup>25</sup>
	0.000	0.23	06/19/20	0.24	0.01 W	0.00	21 of 97 - Sale <sup>25</sup>
	0.002	1.99	06/19/20	2.07	0.08 W	0.00	22 of 97 - Sale <sup>25</sup>
	0.003	2.67	06/19/20	2.77	0.10 W	0.00	23 of 97 - Sale <sup>25</sup>
	0.230	231.52	06/19/20	241.63	10.11 W	0.00	24 of 97 - Sale <sup>25</sup>
	0.305	306.97	06/19/20	320.77	13.80 W	0.00	25 of 97 - Sale <sup>25</sup>
	0.460	463.22	06/19/20	483.99	20.77 W	0.00	26 of 97 - Sale <sup>25</sup>
	0.000	0.20	06/19/20	0.21	0.01 W	0.00	27 of 97 - Sale <sup>25</sup>
	0.001	0.65	06/19/20	0.67	0.02 W	0.00	28 of 97 - Sale <sup>25</sup>
	0.001	0.90	06/19/20	0.94	0.04 W	0.00	29 of 97 - Sale <sup>25</sup>
	0.001	1.35	06/19/20	1.37	0.02 W	0.00	30 of 97 - Sale <sup>25</sup>
	0.002	1.75	06/19/20	1.82	0.07 W	0.00	31 of 97 - Sale <sup>25</sup>
	0.302	302.84	06/19/20	312.71	9.87 W	0.00	32 of 97 - Sale <sup>25</sup>
	0.693	693.76	06/19/20	716.35	22.59 W	0.00	33 of 97 - Sale <sup>25</sup>
	0.000	0.15	06/19/20	0.16	0.01 W	0.00	34 of 97 - Sale <sup>25</sup>
	0.001	0.97	06/19/20	1.00	0.03 W	0.00	35 of 97 - Sale <sup>25</sup>
	0.002	1.75	06/19/20	1.83	0.08 W	0.00	36 of 97 - Sale <sup>25</sup>
	0.002	2.45	06/19/20	2.54	0.09 W	0.00	37 of 97 - Sale <sup>25</sup>
	0.003	3.10	06/19/20	3.22	0.12 W	0.00	38 of 97 - Sale <sup>25</sup>
	0.005	4.85	06/19/20	5.05	0.20 W	0.00	39 of 97 - Sale <sup>25</sup>
	0.005	4.88	06/19/20	5.10	0.22 W	0.00	40 of 97 - Sale <sup>25</sup>
	0.005	4.91	06/19/20	5.11	0.20 W	0.00	41 of 97 - Sale <sup>25</sup>
	0.034	34.27	06/19/20	35.52	1.25 W	0.00	42 of 97 - Sale <sup>25</sup>
	0.036	36.19	06/19/20	37.60	1.41 W	0.00	43 of 97 - Sale <sup>25</sup>
	0.055	55.29	06/19/20	57.43	2.14 W	0.00	44 of 97 - Sale <sup>25</sup>
	0.096	96.63	06/19/20	100.35	3.72 W	0.00	45 of 97 - Sale <sup>25</sup>
	0.097	96.67	06/19/20	100.37	3.70 W	0.00	46 of 97 - Sale <sup>25</sup>
	0.359	359.55	06/19/20	372.71	13.16 W	0.00	47 of 97 - Sale <sup>25</sup>
	0.070	69.76	06/19/20	72.50	2.74 W	0.00	48 of 97 - Sale <sup>25</sup>
	0.001	0.96	06/19/20	1.01	0.05 W	0.00	49 of 97 - Sale <sup>25</sup>
	0.002	2.40	06/19/20	2.45	0.05 W	0.00	50 of 97 - Sale <sup>25</sup>
	0.002	2.41	06/19/20	2.46	0.05 W	0.00	51 of 97 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	2.41	06/19/20	2.46	0.05 W	0.00	52 of 97 - Sale <sup>25</sup>
	0.003	2.78	06/19/20	2.84	0.06 W	0.00	53 of 97 - Sale <sup>25</sup>
	0.003	3.10	06/19/20	3.21	0.11 W	0.00	54 of 97 - Sale <sup>25</sup>
	0.003	3.10	06/19/20	3.19	0.09 W	0.00	55 of 97 - Sale <sup>25</sup>
	0.003	3.10	06/19/20	3.19	0.09 W	0.00	56 of 97 - Sale <sup>25</sup>
	0.005	4.80	06/19/20	4.93	0.13 W	0.00	57 of 97 - Sale <sup>25</sup>
	0.010	9.61	06/19/20	9.88	0.27 W	0.00	58 of 97 - Sale <sup>25</sup>
	0.010	9.63	06/19/20	9.88	0.25 W	0.00	59 of 97 - Sale <sup>25</sup>
	0.016	16.15	06/19/20	16.44	0.29 W	0.00	60 of 97 - Sale <sup>25</sup>
	0.017	16.59	06/19/20	16.99	0.40 W	0.00	61 of 97 - Sale <sup>25</sup>
	0.019	19.13	06/19/20	19.55	0.42 W	0.00	62 of 97 - Sale <sup>25</sup>
	0.031	31.17	06/19/20	31.96	0.79 W	0.00	63 of 97 - Sale <sup>25</sup>
	0.033	33.43	06/19/20	34.40	0.97 W	0.00	64 of 97 - Sale <sup>25</sup>
	0.048	47.81	06/19/20	48.96	1.15 W	0.00	65 of 97 - Sale <sup>25</sup>
	0.048	47.81	06/19/20	48.97	1.16 W	0.00	66 of 97 - Sale <sup>25</sup>
	0.055	55.47	06/19/20	56.81	1.34 W	0.00	67 of 97 - Sale <sup>25</sup>
	0.095	95.51	06/19/20	97.99	2.48 W	0.00	68 of 97 - Sale <sup>25</sup>
	0.151	151.26	06/19/20	155.20	3.94 W	0.00	69 of 97 - Sale <sup>25</sup>
	0.186	186.68	06/19/20	189.40	2.72 W	0.00	70 of 97 - Sale <sup>25</sup>
	0.191	190.99	06/19/20	196.37	5.38 W	0.00	71 of 97 - Sale <sup>25</sup>
	0.236	236.69	06/19/20	244.02	7.33 W	0.00	72 of 97 - Sale <sup>25</sup>
	0.759	759.84	06/19/20	795.17	35.33 W	0.00	73 of 97 - Sale <sup>25</sup>
	0.760	761.37	06/19/20	785.44	24.07 W	0.00	74 of 97 - Sale <sup>25</sup>
	0.540	540.96	06/19/20	553.44	12.48 W	0.00	75 of 97 - Sale <sup>25</sup>
	0.230	230.02	06/19/20	238.71	8.69 W	0.00	76 of 97 - Sale <sup>25</sup>
	0.001	0.90	06/19/20	0.95	0.05 W	0.00	77 of 97 - Sale <sup>25</sup>
	0.003	2.50	06/19/20	2.61	0.11 W	0.00	78 of 97 - Sale <sup>25</sup>
	0.052	52.09	06/19/20	54.58	2.49 W	0.00	79 of 97 - Sale <sup>25</sup>
	0.132	132.16	06/19/20	139.31	7.15 W	0.00	80 of 97 - Sale <sup>25</sup>
	0.508	509.31	06/19/20	536.91	27.60 W	0.00	81 of 97 - Sale <sup>25</sup>
	0.003	2.65	06/19/20	2.79	0.14 W	0.00	82 of 97 - Sale <sup>25</sup>
	0.096	96.44	06/19/20	101.19	4.75 W	0.00	83 of 97 - Sale <sup>25</sup>
	0.299	299.79	06/19/20	315.83	16.04 W	0.00	84 of 97 - Sale <sup>25</sup>
	0.300	300.34	06/19/20	315.58	15.24 W	0.00	85 of 97 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.302	302.29	06/19/20	317.90	15.61 W	0.00	86 of 97 - Sale <sup>25</sup>
	0.000	0.45	06/19/20	0.47	0.02 W	0.00	87 of 97 - Sale <sup>25</sup>
	0.000	0.45	06/19/20	0.46	0.01 W	0.00	88 of 97 - Sale <sup>25</sup>
	0.074	73.82	06/19/20	74.27	0.45 W	0.00	89 of 97 - Sale <sup>25</sup>
	0.005	5.46	06/19/20	5.47	0.01 W	0.00	90 of 97 - Sale <sup>25</sup>
	0.230	230.33	06/19/20	230.75	0.42 W	0.00	91 of 97 - Sale <sup>25</sup>
	0.765	765.82	06/19/20	768.94	3.12 W	0.00	92 of 97 - Sale <sup>25</sup>
	0.004	4.36	06/19/20	4.59	0.23 W	0.00	93 of 97 - Sale <sup>25</sup>
	0.080	80.03	06/19/20	83.63	3.60 W	0.00	94 of 97 - Sale <sup>25</sup>
	0.226	225.97	06/19/20	226.80	0.83 W	0.00	95 of 97 - Sale <sup>25</sup>
	0.460	460.92	06/19/20	480.75	19.83 W	0.00	96 of 97 - Sale <sup>25</sup>
	0.230	230.33	06/19/20	234.45	4.12 W	0.00	97 of 97 - Sale <sup>25</sup>
06/19/20	12.509	12,546.52	Various	12,955.85	409.33 W	0.00	Total of 97 transactions
	124 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.191	1,846.42	07/13/20	2,152.91	...	-306.49	1 of 124 - Sale <sup>25</sup>
	0.508	788.15	07/13/20	944.69	...	-156.54	2 of 124 - Sale <sup>25</sup>
	0.540	837.12	07/13/20	985.35	...	-148.23	3 of 124 - Sale <sup>25</sup>
	0.500	774.98	07/13/20	915.26	...	-140.28	4 of 124 - Sale <sup>25</sup>
	0.460	713.27	07/13/20	850.82	...	-137.55	5 of 124 - Sale <sup>25</sup>
	0.421	653.06	07/13/20	783.39	...	-130.33	6 of 124 - Sale <sup>25</sup>
	0.481	744.77	07/13/20	868.11	...	-123.34	7 of 124 - Sale <sup>25</sup>
	0.421	652.36	07/13/20	771.94	...	-119.58	8 of 124 - Sale <sup>25</sup>
	0.405	628.07	07/13/20	744.44	...	-116.37	9 of 124 - Sale <sup>25</sup>
	0.359	556.40	07/13/20	660.60	...	-104.20	10 of 124 - Sale <sup>25</sup>
	0.339	525.84	07/13/20	625.74	...	-99.90	11 of 124 - Sale <sup>25</sup>
	0.300	464.99	07/13/20	558.15	...	-93.16	12 of 124 - Sale <sup>25</sup>
	0.302	467.79	07/13/20	559.93	...	-92.14	13 of 124 - Sale <sup>25</sup>
	0.299	463.91	07/13/20	554.50	...	-90.59	14 of 124 - Sale <sup>25</sup>
	0.305	472.67	07/13/20	561.01	...	-88.34	15 of 124 - Sale <sup>25</sup>
	0.300	464.99	07/13/20	549.74	...	-84.75	16 of 124 - Sale <sup>25</sup>
	0.282	437.15	07/13/20	517.38	...	-80.23	17 of 124 - Sale <sup>25</sup>
	0.237	367.77	07/13/20	436.83	...	-69.06	18 of 124 - Sale <sup>25</sup>
	0.226	349.60	07/13/20	418.36	...	-68.76	19 of 124 - Sale <sup>25</sup>
	0.230	355.96	07/13/20	422.86	...	-66.90	20 of 124 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.218	338.60	07/13/20	405.29	...	-66.69	21 of 124 - Sale <sup>25</sup>
	0.253	392.12	07/13/20	457.86	...	-65.74	22 of 124 - Sale <sup>25</sup>
	0.230	356.08	07/13/20	420.28	...	-64.20	23 of 124 - Sale <sup>25</sup>
	0.230	356.42	07/13/20	418.78	...	-62.36	24 of 124 - Sale <sup>25</sup>
	0.230	356.42	07/13/20	418.39	...	-61.97	25 of 124 - Sale <sup>25</sup>
	0.382	592.77	07/13/20	652.94	...	-60.17	26 of 124 - Sale <sup>25</sup>
	0.230	355.84	07/13/20	415.65	...	-59.81	27 of 124 - Sale <sup>25</sup>
	0.226	349.68	07/13/20	408.22	...	-58.54	28 of 124 - Sale <sup>25</sup>
	0.177	273.57	07/13/20	327.29	...	-53.72	29 of 124 - Sale <sup>25</sup>
	0.191	295.55	07/13/20	349.07	...	-53.52	30 of 124 - Sale <sup>25</sup>
	0.173	268.36	07/13/20	318.29	...	-49.93	31 of 124 - Sale <sup>25</sup>
	0.186	288.88	07/13/20	338.42	...	-49.54	32 of 124 - Sale <sup>25</sup>
	0.165	256.49	07/13/20	304.27	...	-47.78	33 of 124 - Sale <sup>25</sup>
	0.152	236.17	07/13/20	280.92	...	-44.75	34 of 124 - Sale <sup>25</sup>
	0.165	255.35	07/13/20	298.34	...	-42.99	35 of 124 - Sale <sup>25</sup>
	0.151	234.06	07/13/20	276.13	...	-42.07	36 of 124 - Sale <sup>25</sup>
	0.132	204.51	07/13/20	245.13	...	-40.62	37 of 124 - Sale <sup>25</sup>
	0.123	191.20	07/13/20	228.19	...	-36.99	38 of 124 - Sale <sup>25</sup>
	0.120	186.00	07/13/20	220.53	...	-34.53	39 of 124 - Sale <sup>25</sup>
	0.118	182.21	07/13/20	214.83	...	-32.62	40 of 124 - Sale <sup>25</sup>
	0.100	155.00	07/13/20	185.95	...	-30.95	41 of 124 - Sale <sup>25</sup>
	0.100	155.00	07/13/20	183.86	...	-28.86	42 of 124 - Sale <sup>25</sup>
	0.096	149.24	07/13/20	177.97	...	-28.73	43 of 124 - Sale <sup>25</sup>
	0.096	149.53	07/13/20	177.71	...	-28.18	44 of 124 - Sale <sup>25</sup>
	0.095	147.80	07/13/20	174.34	...	-26.54	45 of 124 - Sale <sup>25</sup>
	0.084	130.10	07/13/20	154.78	...	-24.68	46 of 124 - Sale <sup>25</sup>
	0.080	124.40	07/13/20	147.90	...	-23.50	47 of 124 - Sale <sup>25</sup>
	0.082	127.78	07/13/20	149.60	...	-21.82	48 of 124 - Sale <sup>25</sup>
	0.070	107.96	07/13/20	128.37	...	-20.41	49 of 124 - Sale <sup>25</sup>
	0.074	114.24	07/13/20	133.18	...	-18.94	50 of 124 - Sale <sup>25</sup>
	0.057	88.14	07/13/20	105.42	...	-17.28	51 of 124 - Sale <sup>25</sup>
	0.057	88.14	07/13/20	105.23	...	-17.09	52 of 124 - Sale <sup>25</sup>
	0.065	101.07	07/13/20	118.06	...	-16.99	53 of 124 - Sale <sup>25</sup>
	0.059	92.10	07/13/20	109.05	...	-16.95	54 of 124 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.060	92.31	07/13/20	109.06	...	-16.75	55 of 124 - Sale <sup>25</sup>
	0.055	85.56	07/13/20	101.77	...	-16.21	56 of 124 - Sale <sup>25</sup>
	0.052	80.61	07/13/20	96.27	...	-15.66	57 of 124 - Sale <sup>25</sup>
	0.050	77.50	07/13/20	92.94	...	-15.44	58 of 124 - Sale <sup>25</sup>
	0.055	85.84	07/13/20	101.10	...	-15.26	59 of 124 - Sale <sup>25</sup>
	0.047	72.07	07/13/20	86.40	...	-14.33	60 of 124 - Sale <sup>25</sup>
	0.043	66.85	07/13/20	80.03	...	-13.18	61 of 124 - Sale <sup>25</sup>
	0.048	73.99	07/13/20	87.12	...	-13.13	62 of 124 - Sale <sup>25</sup>
	0.040	62.00	07/13/20	74.30	...	-12.30	63 of 124 - Sale <sup>25</sup>
	0.040	62.00	07/13/20	74.11	...	-12.11	64 of 124 - Sale <sup>25</sup>
	0.036	56.01	07/13/20	66.62	...	-10.61	65 of 124 - Sale <sup>25</sup>
	0.034	53.03	07/13/20	62.95	...	-9.92	66 of 124 - Sale <sup>25</sup>
	0.033	51.74	07/13/20	61.13	...	-9.39	67 of 124 - Sale <sup>25</sup>
	0.031	48.23	07/13/20	56.86	...	-8.63	68 of 124 - Sale <sup>25</sup>
	0.026	40.51	07/13/20	47.70	...	-7.19	69 of 124 - Sale <sup>25</sup>
	0.023	35.70	07/13/20	42.81	...	-7.11	70 of 124 - Sale <sup>25</sup>
	0.022	33.47	07/13/20	39.44	...	-5.97	71 of 124 - Sale <sup>25</sup>
	0.017	26.43	07/13/20	31.68	...	-5.25	72 of 124 - Sale <sup>25</sup>
	0.019	29.60	07/13/20	34.80	...	-5.20	73 of 124 - Sale <sup>25</sup>
	0.016	25.19	07/13/20	29.93	...	-4.74	74 of 124 - Sale <sup>25</sup>
	0.017	25.67	07/13/20	30.26	...	-4.59	75 of 124 - Sale <sup>25</sup>
	0.016	24.99	07/13/20	29.33	...	-4.34	76 of 124 - Sale <sup>25</sup>
	0.014	21.34	07/13/20	25.23	...	-3.89	77 of 124 - Sale <sup>25</sup>
	0.012	17.83	07/13/20	21.34	...	-3.51	78 of 124 - Sale <sup>25</sup>
	0.010	14.87	07/13/20	17.56	...	-2.69	79 of 124 - Sale <sup>25</sup>
	0.010	14.90	07/13/20	17.57	...	-2.67	80 of 124 - Sale <sup>25</sup>
	0.007	10.93	07/13/20	12.87	...	-1.94	81 of 124 - Sale <sup>25</sup>
	0.006	9.08	07/13/20	10.77	...	-1.69	82 of 124 - Sale <sup>25</sup>
	0.005	7.55	07/13/20	9.01	...	-1.46	83 of 124 - Sale <sup>25</sup>
	0.005	7.60	07/13/20	9.05	...	-1.45	84 of 124 - Sale <sup>25</sup>
	0.005	7.51	07/13/20	8.94	...	-1.43	85 of 124 - Sale <sup>25</sup>
	0.005	7.52	07/13/20	8.91	...	-1.39	86 of 124 - Sale <sup>25</sup>
	0.004	6.75	07/13/20	8.09	...	-1.34	87 of 124 - Sale <sup>25</sup>
	0.004	6.82	07/13/20	8.16	...	-1.34	88 of 124 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	7.44	07/13/20	8.76	...	-1.32	89 of 124 - Sale <sup>25</sup>
	0.004	6.12	07/13/20	7.16	...	-1.04	90 of 124 - Sale <sup>25</sup>
	0.003	4.80	07/13/20	5.75	...	-0.95	91 of 124 - Sale <sup>25</sup>
	0.003	4.80	07/13/20	5.74	...	-0.94	92 of 124 - Sale <sup>25</sup>
	0.003	4.80	07/13/20	5.70	...	-0.90	93 of 124 - Sale <sup>25</sup>
	0.003	4.80	07/13/20	5.70	...	-0.90	94 of 124 - Sale <sup>25</sup>
	0.003	4.80	07/13/20	5.70	...	-0.90	95 of 124 - Sale <sup>25</sup>
	0.003	4.61	07/13/20	5.46	...	-0.85	96 of 124 - Sale <sup>25</sup>
	0.003	4.11	07/13/20	4.92	...	-0.81	97 of 124 - Sale <sup>25</sup>
	0.003	4.30	07/13/20	5.07	...	-0.77	98 of 124 - Sale <sup>25</sup>
	0.003	4.11	07/13/20	4.87	...	-0.76	99 of 124 - Sale <sup>25</sup>
	0.003	3.87	07/13/20	4.62	...	-0.75	100 of 124 - Sale <sup>25</sup>
	0.002	3.80	07/13/20	4.52	...	-0.72	101 of 124 - Sale <sup>25</sup>
	0.002	3.71	07/13/20	4.39	...	-0.68	102 of 124 - Sale <sup>25</sup>
	0.002	3.72	07/13/20	4.39	...	-0.67	103 of 124 - Sale <sup>25</sup>
	0.002	3.73	07/13/20	4.38	...	-0.65	104 of 124 - Sale <sup>25</sup>
	0.002	2.71	07/13/20	3.27	...	-0.56	105 of 124 - Sale <sup>25</sup>
	0.002	3.07	07/13/20	3.63	...	-0.56	106 of 124 - Sale <sup>25</sup>
	0.002	2.71	07/13/20	3.24	...	-0.53	107 of 124 - Sale <sup>25</sup>
	0.001	1.39	07/13/20	1.68	...	-0.29	108 of 124 - Sale <sup>25</sup>
	0.001	1.49	07/13/20	1.78	...	-0.29	109 of 124 - Sale <sup>25</sup>
	0.001	1.50	07/13/20	1.78	...	-0.28	110 of 124 - Sale <sup>25</sup>
	0.001	1.39	07/13/20	1.64	...	-0.25	111 of 124 - Sale <sup>25</sup>
	0.001	1.01	07/13/20	1.21	...	-0.20	112 of 124 - Sale <sup>25</sup>
	0.000	0.70	07/13/20	0.82	...	-0.12	113 of 124 - Sale <sup>25</sup>
	0.000	0.70	07/13/20	0.80	...	-0.10	114 of 124 - Sale <sup>25</sup>
	0.000	0.31	07/13/20	0.37	...	-0.06	115 of 124 - Sale <sup>25</sup>
	0.000	0.39	07/13/20	0.45	...	-0.06	116 of 124 - Sale <sup>25</sup>
	0.000	0.23	07/13/20	0.28	...	-0.05	117 of 124 - Sale <sup>25</sup>
	0.000	0.35	07/13/20	0.40	...	-0.05	118 of 124 - Sale <sup>25</sup>
	0.001	2.37	07/13/20	2.37	...	0.00	119 of 124 - Sale <sup>25</sup>
	0.005	9.56	07/13/20	9.55	...	0.01	120 of 124 - Sale <sup>25</sup>
	0.045	77.51	07/13/20	77.47	...	0.04	121 of 124 - Sale <sup>25</sup>
	0.230	397.82	07/13/20	397.78	...	0.04	122 of 124 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.031	54.54	07/13/20	54.48	...	0.06	123 of 124 - Sale <sup>25</sup>
	2.536	4,447.82	07/13/20	4,437.69	...	10.13	124 of 124 - Sale <sup>25</sup>
07/13/20	16.849	26,689.14	Various	30,614.18	...	-3,925.04	Total of 124 transactions
	827 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	0.500	785.64	07/13/20	914.36	30.26 W	-98.46	1 of 827 - Sale <sup>25</sup>
	0.000	0.35	07/13/20	0.36	0.01 W	0.00	2 of 827 - Sale <sup>25</sup>
	0.001	1.12	07/13/20	1.14	0.02 W	0.00	3 of 827 - Sale <sup>25</sup>
	0.001	1.56	07/13/20	1.59	0.03 W	0.00	4 of 827 - Sale <sup>25</sup>
	0.001	2.34	07/13/20	2.35	0.01 W	0.00	5 of 827 - Sale <sup>25</sup>
	0.002	3.03	07/13/20	3.10	0.07 W	0.00	6 of 827 - Sale <sup>25</sup>
	0.302	523.06	07/13/20	532.34	9.28 W	0.00	7 of 827 - Sale <sup>25</sup>
	0.693	1,198.25	07/13/20	1,219.48	21.23 W	0.00	8 of 827 - Sale <sup>25</sup>
	0.000	0.26	07/13/20	0.27	0.01 W	0.00	9 of 827 - Sale <sup>25</sup>
	0.001	1.67	07/13/20	1.70	0.03 W	0.00	10 of 827 - Sale <sup>25</sup>
	0.002	3.03	07/13/20	3.11	0.08 W	0.00	11 of 827 - Sale <sup>25</sup>
	0.002	4.24	07/13/20	4.33	0.09 W	0.00	12 of 827 - Sale <sup>25</sup>
	0.003	5.36	07/13/20	5.48	0.12 W	0.00	13 of 827 - Sale <sup>25</sup>
	0.005	8.38	07/13/20	8.57	0.19 W	0.00	14 of 827 - Sale <sup>25</sup>
	0.005	8.43	07/13/20	8.64	0.21 W	0.00	15 of 827 - Sale <sup>25</sup>
	0.005	8.48	07/13/20	8.67	0.19 W	0.00	16 of 827 - Sale <sup>25</sup>
	0.034	59.19	07/13/20	60.37	1.18 W	0.00	17 of 827 - Sale <sup>25</sup>
	0.036	62.51	07/13/20	63.85	1.34 W	0.00	18 of 827 - Sale <sup>25</sup>
	0.055	95.50	07/13/20	97.53	2.03 W	0.00	19 of 827 - Sale <sup>25</sup>
	0.096	166.89	07/13/20	170.42	3.53 W	0.00	20 of 827 - Sale <sup>25</sup>
	0.097	166.96	07/13/20	170.47	3.51 W	0.00	21 of 827 - Sale <sup>25</sup>
	0.359	621.01	07/13/20	633.47	12.46 W	0.00	22 of 827 - Sale <sup>25</sup>
	0.070	120.49	07/13/20	123.10	2.61 W	0.00	23 of 827 - Sale <sup>25</sup>
	0.230	397.30	07/13/20	405.53	8.23 W	0.00	24 of 827 - Sale <sup>25</sup>
	0.001	1.56	07/13/20	1.60	0.04 W	0.00	25 of 827 - Sale <sup>25</sup>
	0.003	4.32	07/13/20	4.43	0.11 W	0.00	26 of 827 - Sale <sup>25</sup>
	0.005	8.39	07/13/20	8.56	0.17 W	0.00	27 of 827 - Sale <sup>25</sup>
	0.052	89.97	07/13/20	92.35	2.38 W	0.00	28 of 827 - Sale <sup>25</sup>
	0.132	228.26	07/13/20	235.15	6.89 W	0.00	29 of 827 - Sale <sup>25</sup>
	0.508	879.67	07/13/20	906.27	26.60 W	0.00	30 of 827 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	4.58	07/13/20	4.72	0.14 W	0.00	31 of 827 - Sale <sup>25</sup>
	0.096	166.57	07/13/20	171.13	4.56 W	0.00	32 of 827 - Sale <sup>25</sup>
	0.299	517.79	07/13/20	533.24	15.45 W	0.00	33 of 827 - Sale <sup>25</sup>
	0.300	518.74	07/13/20	533.39	14.65 W	0.00	34 of 827 - Sale <sup>25</sup>
	0.302	522.11	07/13/20	537.13	15.02 W	0.00	35 of 827 - Sale <sup>25</sup>
	0.000	0.78	07/13/20	0.80	0.02 W	0.00	36 of 827 - Sale <sup>25</sup>
	0.000	0.39	07/13/20	0.40	0.01 W	0.00	37 of 827 - Sale <sup>25</sup>
	0.000	0.43	07/13/20	0.44	0.01 W	0.00	38 of 827 - Sale <sup>25</sup>
	0.000	0.78	07/13/20	0.79	0.01 W	0.00	39 of 827 - Sale <sup>25</sup>
	0.003	5.36	07/13/20	5.46	0.10 W	0.00	40 of 827 - Sale <sup>25</sup>
	0.004	6.83	07/13/20	6.87	0.04 W	0.00	41 of 827 - Sale <sup>25</sup>
	0.007	12.20	07/13/20	12.36	0.16 W	0.00	42 of 827 - Sale <sup>25</sup>
	0.065	112.81	07/13/20	113.44	0.63 W	0.00	43 of 827 - Sale <sup>25</sup>
	0.165	285.00	07/13/20	286.62	1.62 W	0.00	44 of 827 - Sale <sup>25</sup>
	0.230	397.17	07/13/20	399.32	2.15 W	0.00	45 of 827 - Sale <sup>25</sup>
	0.230	397.43	07/13/20	403.60	6.17 W	0.00	46 of 827 - Sale <sup>25</sup>
	0.296	511.73	07/13/20	521.14	9.41 W	0.00	47 of 827 - Sale <sup>25</sup>
	0.001	1.66	07/13/20	1.71	0.05 W	0.00	48 of 827 - Sale <sup>25</sup>
	0.002	3.42	07/13/20	3.50	0.08 W	0.00	49 of 827 - Sale <sup>25</sup>
	0.002	4.14	07/13/20	4.19	0.05 W	0.00	50 of 827 - Sale <sup>25</sup>
	0.002	4.16	07/13/20	4.20	0.04 W	0.00	51 of 827 - Sale <sup>25</sup>
	0.002	4.16	07/13/20	4.21	0.05 W	0.00	52 of 827 - Sale <sup>25</sup>
	0.003	4.58	07/13/20	4.68	0.10 W	0.00	53 of 827 - Sale <sup>25</sup>
	0.003	4.80	07/13/20	4.86	0.06 W	0.00	54 of 827 - Sale <sup>25</sup>
	0.003	5.36	07/13/20	5.47	0.11 W	0.00	55 of 827 - Sale <sup>25</sup>
	0.003	5.36	07/13/20	5.45	0.09 W	0.00	56 of 827 - Sale <sup>25</sup>
	0.003	5.36	07/13/20	5.45	0.09 W	0.00	57 of 827 - Sale <sup>25</sup>
	0.004	7.62	07/13/20	7.80	0.18 W	0.00	58 of 827 - Sale <sup>25</sup>
	0.005	8.30	07/13/20	8.42	0.12 W	0.00	59 of 827 - Sale <sup>25</sup>
	0.010	16.60	07/13/20	16.85	0.25 W	0.00	60 of 827 - Sale <sup>25</sup>
	0.010	16.63	07/13/20	16.86	0.23 W	0.00	61 of 827 - Sale <sup>25</sup>
	0.012	19.90	07/13/20	20.37	0.47 W	0.00	62 of 827 - Sale <sup>25</sup>
	0.016	27.89	07/13/20	28.15	0.26 W	0.00	63 of 827 - Sale <sup>25</sup>
	0.017	28.65	07/13/20	29.02	0.37 W	0.00	64 of 827 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.019		33.04	07/13/20	33.42	0.38 W	0.00	65 of 827 - Sale <sup>25</sup>
0.031		53.79	07/13/20	53.86	0.07 W	0.00	66 of 827 - Sale <sup>25</sup>
0.031		53.83	07/13/20	54.56	0.73 W	0.00	67 of 827 - Sale <sup>25</sup>
0.033		57.74	07/13/20	58.65	0.91 W	0.00	68 of 827 - Sale <sup>25</sup>
0.048		82.58	07/13/20	83.63	1.05 W	0.00	69 of 827 - Sale <sup>25</sup>
0.048		82.58	07/13/20	83.64	1.06 W	0.00	70 of 827 - Sale <sup>25</sup>
0.055		95.81	07/13/20	97.04	1.23 W	0.00	71 of 827 - Sale <sup>25</sup>
0.074		127.50	07/13/20	127.80	0.30 W	0.00	72 of 827 - Sale <sup>25</sup>
0.095		164.96	07/13/20	167.25	2.29 W	0.00	73 of 827 - Sale <sup>25</sup>
0.151		261.24	07/13/20	264.89	3.65 W	0.00	74 of 827 - Sale <sup>25</sup>
0.186		322.43	07/13/20	324.78	2.35 W	0.00	75 of 827 - Sale <sup>25</sup>
0.191		329.88	07/13/20	334.88	5.00 W	0.00	76 of 827 - Sale <sup>25</sup>
0.218		377.92	07/13/20	386.91	8.99 W	0.00	77 of 827 - Sale <sup>25</sup>
0.226		390.20	07/13/20	399.39	9.19 W	0.00	78 of 827 - Sale <sup>25</sup>
0.230		397.90	07/13/20	407.56	9.66 W	0.00	79 of 827 - Sale <sup>25</sup>
0.236		408.80	07/13/20	415.67	6.87 W	0.00	80 of 827 - Sale <sup>25</sup>
0.305		527.56	07/13/20	540.76	13.20 W	0.00	81 of 827 - Sale <sup>25</sup>
0.460		796.10	07/13/20	815.97	19.87 W	0.00	82 of 827 - Sale <sup>25</sup>
0.759		1,312.38	07/13/20	1,346.22	33.84 W	0.00	83 of 827 - Sale <sup>25</sup>
0.760		1,315.02	07/13/20	1,337.60	22.58 W	0.00	84 of 827 - Sale <sup>25</sup>
0.230		397.82	07/13/20	401.55	3.73 W	0.00	85 of 827 - Sale <sup>25</sup>
0.540		934.33	07/13/20	945.75	11.42 W	0.00	86 of 827 - Sale <sup>25</sup>
0.000		0.35	07/13/20	0.36	0.01 W	0.00	87 of 827 - Sale <sup>25</sup>
0.001		1.14	07/13/20	1.16	0.02 W	0.00	88 of 827 - Sale <sup>25</sup>
0.001		1.58	07/13/20	1.60	0.02 W	0.00	89 of 827 - Sale <sup>25</sup>
0.002		3.07	07/13/20	3.13	0.06 W	0.00	90 of 827 - Sale <sup>25</sup>
0.302		529.96	07/13/20	538.40	8.44 W	0.00	91 of 827 - Sale <sup>25</sup>
0.693		1,214.04	07/13/20	1,233.36	19.32 W	0.00	92 of 827 - Sale <sup>25</sup>
0.000		0.26	07/13/20	0.27	0.01 W	0.00	93 of 827 - Sale <sup>25</sup>
0.001		1.69	07/13/20	1.72	0.03 W	0.00	94 of 827 - Sale <sup>25</sup>
0.002		3.07	07/13/20	3.14	0.07 W	0.00	95 of 827 - Sale <sup>25</sup>
0.002		4.30	07/13/20	4.38	0.08 W	0.00	96 of 827 - Sale <sup>25</sup>
0.003		5.43	07/13/20	5.54	0.11 W	0.00	97 of 827 - Sale <sup>25</sup>
0.005		8.49	07/13/20	8.67	0.18 W	0.00	98 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	8.54	07/13/20	8.74	0.20 W	0.00	99 of 827 - Sale <sup>25</sup>
	0.005	8.59	07/13/20	8.77	0.18 W	0.00	100 of 827 - Sale <sup>25</sup>
	0.034	59.97	07/13/20	61.05	1.08 W	0.00	101 of 827 - Sale <sup>25</sup>
	0.036	63.33	07/13/20	64.57	1.24 W	0.00	102 of 827 - Sale <sup>25</sup>
	0.055	96.76	07/13/20	98.64	1.88 W	0.00	103 of 827 - Sale <sup>25</sup>
	0.096	169.09	07/13/20	172.36	3.27 W	0.00	104 of 827 - Sale <sup>25</sup>
	0.097	169.16	07/13/20	172.40	3.24 W	0.00	105 of 827 - Sale <sup>25</sup>
	0.359	629.20	07/13/20	640.67	11.47 W	0.00	106 of 827 - Sale <sup>25</sup>
	0.070	122.08	07/13/20	124.50	2.42 W	0.00	107 of 827 - Sale <sup>25</sup>
	0.230	402.53	07/13/20	410.13	7.60 W	0.00	108 of 827 - Sale <sup>25</sup>
	0.001	1.58	07/13/20	1.61	0.03 W	0.00	109 of 827 - Sale <sup>25</sup>
	0.003	4.38	07/13/20	4.48	0.10 W	0.00	110 of 827 - Sale <sup>25</sup>
	0.005	8.50	07/13/20	8.66	0.16 W	0.00	111 of 827 - Sale <sup>25</sup>
	0.052	91.15	07/13/20	93.39	2.24 W	0.00	112 of 827 - Sale <sup>25</sup>
	0.132	231.27	07/13/20	237.80	6.53 W	0.00	113 of 827 - Sale <sup>25</sup>
	0.508	891.27	07/13/20	916.46	25.19 W	0.00	114 of 827 - Sale <sup>25</sup>
	0.003	4.64	07/13/20	4.78	0.14 W	0.00	115 of 827 - Sale <sup>25</sup>
	0.096	168.76	07/13/20	173.06	4.30 W	0.00	116 of 827 - Sale <sup>25</sup>
	0.299	524.61	07/13/20	539.24	14.63 W	0.00	117 of 827 - Sale <sup>25</sup>
	0.300	525.57	07/13/20	539.40	13.83 W	0.00	118 of 827 - Sale <sup>25</sup>
	0.302	528.99	07/13/20	543.18	14.19 W	0.00	119 of 827 - Sale <sup>25</sup>
	0.000	0.79	07/13/20	0.81	0.02 W	0.00	120 of 827 - Sale <sup>25</sup>
	0.000	0.39	07/13/20	0.40	0.01 W	0.00	121 of 827 - Sale <sup>25</sup>
	0.000	0.44	07/13/20	0.45	0.01 W	0.00	122 of 827 - Sale <sup>25</sup>
	0.000	0.79	07/13/20	0.80	0.01 W	0.00	123 of 827 - Sale <sup>25</sup>
	0.003	5.43	07/13/20	5.52	0.09 W	0.00	124 of 827 - Sale <sup>25</sup>
	0.004	6.92	07/13/20	6.95	0.03 W	0.00	125 of 827 - Sale <sup>25</sup>
	0.007	12.36	07/13/20	12.50	0.14 W	0.00	126 of 827 - Sale <sup>25</sup>
	0.065	114.30	07/13/20	114.75	0.45 W	0.00	127 of 827 - Sale <sup>25</sup>
	0.165	288.76	07/13/20	289.93	1.17 W	0.00	128 of 827 - Sale <sup>25</sup>
	0.230	402.40	07/13/20	403.92	1.52 W	0.00	129 of 827 - Sale <sup>25</sup>
	0.230	402.66	07/13/20	408.20	5.54 W	0.00	130 of 827 - Sale <sup>25</sup>
	0.296	518.48	07/13/20	527.07	8.59 W	0.00	131 of 827 - Sale <sup>25</sup>
	0.002	3.47	07/13/20	3.54	0.07 W	0.00	132 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	4.21	07/13/20	4.25	0.04 W	0.00	133 of 827 - Sale <sup>25</sup>
	0.003	4.64	07/13/20	4.74	0.10 W	0.00	134 of 827 - Sale <sup>25</sup>
	0.003	5.43	07/13/20	5.53	0.10 W	0.00	135 of 827 - Sale <sup>25</sup>
	0.003	5.43	07/13/20	5.51	0.08 W	0.00	136 of 827 - Sale <sup>25</sup>
	0.003	5.43	07/13/20	5.51	0.08 W	0.00	137 of 827 - Sale <sup>25</sup>
	0.004	7.63	07/13/20	7.85	0.22 W	0.00	138 of 827 - Sale <sup>25</sup>
	0.004	7.72	07/13/20	7.88	0.16 W	0.00	139 of 827 - Sale <sup>25</sup>
	0.005	8.41	07/13/20	8.51	0.10 W	0.00	140 of 827 - Sale <sup>25</sup>
	0.010	16.81	07/13/20	17.04	0.23 W	0.00	141 of 827 - Sale <sup>25</sup>
	0.010	16.85	07/13/20	17.05	0.20 W	0.00	142 of 827 - Sale <sup>25</sup>
	0.012	20.16	07/13/20	20.60	0.44 W	0.00	143 of 827 - Sale <sup>25</sup>
	0.017	29.03	07/13/20	29.35	0.32 W	0.00	144 of 827 - Sale <sup>25</sup>
	0.031	54.54	07/13/20	55.18	0.64 W	0.00	145 of 827 - Sale <sup>25</sup>
	0.033	58.51	07/13/20	59.32	0.81 W	0.00	146 of 827 - Sale <sup>25</sup>
	0.022	37.86	07/13/20	38.27	0.41 W	0.00	147 of 827 - Sale <sup>25</sup>
	0.080	140.05	07/13/20	143.43	3.38 W	0.00	148 of 827 - Sale <sup>25</sup>
	0.095	167.13	07/13/20	169.16	2.03 W	0.00	149 of 827 - Sale <sup>25</sup>
	0.151	264.69	07/13/20	267.92	3.23 W	0.00	150 of 827 - Sale <sup>25</sup>
	0.191	334.23	07/13/20	338.70	4.47 W	0.00	151 of 827 - Sale <sup>25</sup>
	0.218	382.90	07/13/20	391.28	8.38 W	0.00	152 of 827 - Sale <sup>25</sup>
	0.226	395.34	07/13/20	403.91	8.57 W	0.00	153 of 827 - Sale <sup>25</sup>
	0.226	395.43	07/13/20	395.64	0.21 W	0.00	154 of 827 - Sale <sup>25</sup>
	0.230	403.15	07/13/20	412.17	9.02 W	0.00	155 of 827 - Sale <sup>25</sup>
	0.236	414.19	07/13/20	420.41	6.22 W	0.00	156 of 827 - Sale <sup>25</sup>
	0.305	534.51	07/13/20	546.87	12.36 W	0.00	157 of 827 - Sale <sup>25</sup>
	0.460	806.59	07/13/20	825.16	18.57 W	0.00	158 of 827 - Sale <sup>25</sup>
	0.460	806.59	07/13/20	825.19	18.60 W	0.00	159 of 827 - Sale <sup>25</sup>
	0.734	1,285.64	07/13/20	1,286.61	0.97 W	0.00	160 of 827 - Sale <sup>25</sup>
	0.759	1,329.67	07/13/20	1,361.42	31.75 W	0.00	161 of 827 - Sale <sup>25</sup>
	0.760	1,332.35	07/13/20	1,352.83	20.48 W	0.00	162 of 827 - Sale <sup>25</sup>
	0.001	1.69	07/13/20	1.73	0.04 W	0.00	163 of 827 - Sale <sup>25</sup>
	0.002	4.20	07/13/20	4.24	0.04 W	0.00	164 of 827 - Sale <sup>25</sup>
	0.002	4.22	07/13/20	4.26	0.04 W	0.00	165 of 827 - Sale <sup>25</sup>
	0.003	4.87	07/13/20	4.92	0.05 W	0.00	166 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.016	28.28	07/13/20	28.47	0.19 W	0.00	167 of 827 - Sale <sup>25</sup>
	0.019	33.50	07/13/20	33.80	0.30 W	0.00	168 of 827 - Sale <sup>25</sup>
	0.026	45.84	07/13/20	46.31	0.47 W	0.00	169 of 827 - Sale <sup>25</sup>
	0.048	83.73	07/13/20	84.59	0.86 W	0.00	170 of 827 - Sale <sup>25</sup>
	0.055	97.14	07/13/20	98.15	1.01 W	0.00	171 of 827 - Sale <sup>25</sup>
	0.074	129.27	07/13/20	129.28	0.01 W	0.00	172 of 827 - Sale <sup>25</sup>
	0.186	326.91	07/13/20	328.51	1.60 W	0.00	173 of 827 - Sale <sup>25</sup>
	0.230	403.34	07/13/20	406.54	3.20 W	0.00	174 of 827 - Sale <sup>25</sup>
	0.230	403.34	07/13/20	406.16	2.82 W	0.00	175 of 827 - Sale <sup>25</sup>
	0.540	947.31	07/13/20	956.58	9.27 W	0.00	176 of 827 - Sale <sup>25</sup>
	0.000	0.35	07/13/20	0.36	0.01 W	0.00	177 of 827 - Sale <sup>25</sup>
	0.001	1.13	07/13/20	1.17	0.04 W	0.00	178 of 827 - Sale <sup>25</sup>
	0.001	1.56	07/13/20	1.61	0.05 W	0.00	179 of 827 - Sale <sup>25</sup>
	0.002	3.04	07/13/20	3.15	0.11 W	0.00	180 of 827 - Sale <sup>25</sup>
	0.177	306.35	07/13/20	316.80	10.45 W	0.00	181 of 827 - Sale <sup>25</sup>
	0.126	218.23	07/13/20	225.90	7.67 W	0.00	182 of 827 - Sale <sup>25</sup>
	0.693	1,201.02	07/13/20	1,243.22	42.20 W	0.00	183 of 827 - Sale <sup>25</sup>
	0.000	0.26	07/13/20	0.28	0.02 W	0.00	184 of 827 - Sale <sup>25</sup>
	0.001	1.67	07/13/20	1.74	0.07 W	0.00	185 of 827 - Sale <sup>25</sup>
	0.002	3.04	07/13/20	3.16	0.12 W	0.00	186 of 827 - Sale <sup>25</sup>
	0.002	4.25	07/13/20	4.41	0.16 W	0.00	187 of 827 - Sale <sup>25</sup>
	0.003	5.37	07/13/20	5.59	0.22 W	0.00	188 of 827 - Sale <sup>25</sup>
	0.005	8.40	07/13/20	8.74	0.34 W	0.00	189 of 827 - Sale <sup>25</sup>
	0.005	8.45	07/13/20	8.81	0.36 W	0.00	190 of 827 - Sale <sup>25</sup>
	0.005	8.50	07/13/20	8.84	0.34 W	0.00	191 of 827 - Sale <sup>25</sup>
	0.034	59.32	07/13/20	61.53	2.21 W	0.00	192 of 827 - Sale <sup>25</sup>
	0.036	62.65	07/13/20	65.09	2.44 W	0.00	193 of 827 - Sale <sup>25</sup>
	0.055	95.72	07/13/20	99.43	3.71 W	0.00	194 of 827 - Sale <sup>25</sup>
	0.096	167.28	07/13/20	173.74	6.46 W	0.00	195 of 827 - Sale <sup>25</sup>
	0.097	167.34	07/13/20	173.77	6.43 W	0.00	196 of 827 - Sale <sup>25</sup>
	0.359	622.45	07/13/20	645.78	23.33 W	0.00	197 of 827 - Sale <sup>25</sup>
	0.070	120.77	07/13/20	125.49	4.72 W	0.00	198 of 827 - Sale <sup>25</sup>
	0.230	398.22	07/13/20	413.40	15.18 W	0.00	199 of 827 - Sale <sup>25</sup>
	0.001	1.56	07/13/20	1.62	0.06 W	0.00	200 of 827 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	4.33	07/13/20	4.52	0.19 W	0.00	201 of 827 - Sale <sup>25</sup>
	0.005	8.41	07/13/20	8.73	0.32 W	0.00	202 of 827 - Sale <sup>25</sup>
	0.052	90.17	07/13/20	94.13	3.96 W	0.00	203 of 827 - Sale <sup>25</sup>
	0.132	228.79	07/13/20	239.68	10.89 W	0.00	204 of 827 - Sale <sup>25</sup>
	0.508	881.71	07/13/20	923.70	41.99 W	0.00	205 of 827 - Sale <sup>25</sup>
	0.003	4.60	07/13/20	4.82	0.22 W	0.00	206 of 827 - Sale <sup>25</sup>
	0.096	166.95	07/13/20	174.43	7.48 W	0.00	207 of 827 - Sale <sup>25</sup>
	0.299	518.99	07/13/20	543.50	24.51 W	0.00	208 of 827 - Sale <sup>25</sup>
	0.300	519.94	07/13/20	543.67	23.73 W	0.00	209 of 827 - Sale <sup>25</sup>
	0.302	523.32	07/13/20	547.48	24.16 W	0.00	210 of 827 - Sale <sup>25</sup>
	0.000	0.78	07/13/20	0.81	0.03 W	0.00	211 of 827 - Sale <sup>25</sup>
	0.000	0.43	07/13/20	0.45	0.02 W	0.00	212 of 827 - Sale <sup>25</sup>
	0.003	5.38	07/13/20	5.57	0.19 W	0.00	213 of 827 - Sale <sup>25</sup>
	0.004	6.85	07/13/20	7.01	0.16 W	0.00	214 of 827 - Sale <sup>25</sup>
	0.065	113.07	07/13/20	115.68	2.61 W	0.00	215 of 827 - Sale <sup>25</sup>
	0.165	285.67	07/13/20	292.28	6.61 W	0.00	216 of 827 - Sale <sup>25</sup>
	0.230	398.08	07/13/20	407.19	9.11 W	0.00	217 of 827 - Sale <sup>25</sup>
	0.014	23.87	07/13/20	24.73	0.86 W	0.00	218 of 827 - Sale <sup>25</sup>
	0.000	0.39	07/13/20	0.41	0.02 W	0.00	219 of 827 - Sale <sup>25</sup>
	0.000	0.78	07/13/20	0.80	0.02 W	0.00	220 of 827 - Sale <sup>25</sup>
	0.007	12.22	07/13/20	12.60	0.38 W	0.00	221 of 827 - Sale <sup>25</sup>
	0.230	398.35	07/13/20	411.48	13.13 W	0.00	222 of 827 - Sale <sup>25</sup>
	0.282	489.04	07/13/20	506.55	17.51 W	0.00	223 of 827 - Sale <sup>25</sup>
	0.001	1.67	07/13/20	1.74	0.07 W	0.00	224 of 827 - Sale <sup>25</sup>
	0.002	3.43	07/13/20	3.57	0.14 W	0.00	225 of 827 - Sale <sup>25</sup>
	0.002	4.15	07/13/20	4.27	0.12 W	0.00	226 of 827 - Sale <sup>25</sup>
	0.002	4.17	07/13/20	4.29	0.12 W	0.00	227 of 827 - Sale <sup>25</sup>
	0.002	4.17	07/13/20	4.29	0.12 W	0.00	228 of 827 - Sale <sup>25</sup>
	0.003	4.59	07/13/20	4.78	0.19 W	0.00	229 of 827 - Sale <sup>25</sup>
	0.003	4.82	07/13/20	4.96	0.14 W	0.00	230 of 827 - Sale <sup>25</sup>
	0.003	5.37	07/13/20	5.58	0.21 W	0.00	231 of 827 - Sale <sup>25</sup>
	0.003	5.37	07/13/20	5.56	0.19 W	0.00	232 of 827 - Sale <sup>25</sup>
	0.003	5.37	07/13/20	5.56	0.19 W	0.00	233 of 827 - Sale <sup>25</sup>
	0.004	7.55	07/13/20	7.91	0.36 W	0.00	234 of 827 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.004	7.63	07/13/20	7.94	0.31 W	0.00	235 of 827 - Sale <sup>25</sup>
	0.005	8.32	07/13/20	8.58	0.26 W	0.00	236 of 827 - Sale <sup>25</sup>
	0.010	16.63	07/13/20	17.18	0.55 W	0.00	237 of 827 - Sale <sup>25</sup>
	0.010	16.67	07/13/20	17.18	0.51 W	0.00	238 of 827 - Sale <sup>25</sup>
	0.012	19.94	07/13/20	20.76	0.82 W	0.00	239 of 827 - Sale <sup>25</sup>
	0.016	27.95	07/13/20	28.68	0.73 W	0.00	240 of 827 - Sale <sup>25</sup>
	0.017	28.72	07/13/20	29.59	0.87 W	0.00	241 of 827 - Sale <sup>25</sup>
	0.019	33.11	07/13/20	34.04	0.93 W	0.00	242 of 827 - Sale <sup>25</sup>
	0.022	37.45	07/13/20	38.57	1.12 W	0.00	243 of 827 - Sale <sup>25</sup>
	0.026	45.32	07/13/20	46.65	1.33 W	0.00	244 of 827 - Sale <sup>25</sup>
	0.031	53.96	07/13/20	55.62	1.66 W	0.00	245 of 827 - Sale <sup>25</sup>
	0.033	57.88	07/13/20	59.79	1.91 W	0.00	246 of 827 - Sale <sup>25</sup>
	0.048	82.77	07/13/20	85.21	2.44 W	0.00	247 of 827 - Sale <sup>25</sup>
	0.055	96.03	07/13/20	98.87	2.84 W	0.00	248 of 827 - Sale <sup>25</sup>
	0.074	127.80	07/13/20	130.24	2.44 W	0.00	249 of 827 - Sale <sup>25</sup>
	0.080	138.55	07/13/20	144.57	6.02 W	0.00	250 of 827 - Sale <sup>25</sup>
	0.095	165.34	07/13/20	170.52	5.18 W	0.00	251 of 827 - Sale <sup>25</sup>
	0.151	261.85	07/13/20	270.07	8.22 W	0.00	252 of 827 - Sale <sup>25</sup>
	0.186	323.17	07/13/20	330.93	7.76 W	0.00	253 of 827 - Sale <sup>25</sup>
	0.191	330.64	07/13/20	341.41	10.77 W	0.00	254 of 827 - Sale <sup>25</sup>
	0.218	378.79	07/13/20	394.39	15.60 W	0.00	255 of 827 - Sale <sup>25</sup>
	0.226	391.10	07/13/20	407.12	16.02 W	0.00	256 of 827 - Sale <sup>25</sup>
	0.226	391.19	07/13/20	398.85	7.66 W	0.00	257 of 827 - Sale <sup>25</sup>
	0.230	398.82	07/13/20	415.44	16.62 W	0.00	258 of 827 - Sale <sup>25</sup>
	0.236	409.75	07/13/20	423.77	14.02 W	0.00	259 of 827 - Sale <sup>25</sup>
	0.305	528.78	07/13/20	551.22	22.44 W	0.00	260 of 827 - Sale <sup>25</sup>
	0.460	797.94	07/13/20	831.72	33.78 W	0.00	261 of 827 - Sale <sup>25</sup>
	0.460	797.94	07/13/20	831.75	33.81 W	0.00	262 of 827 - Sale <sup>25</sup>
	0.734	1,271.86	07/13/20	1,297.06	25.20 W	0.00	263 of 827 - Sale <sup>25</sup>
	0.759	1,315.41	07/13/20	1,372.22	56.81 W	0.00	264 of 827 - Sale <sup>25</sup>
	0.760	1,318.06	07/13/20	1,363.66	45.60 W	0.00	265 of 827 - Sale <sup>25</sup>
	0.230	398.74	07/13/20	409.53	10.79 W	0.00	266 of 827 - Sale <sup>25</sup>
	0.230	398.74	07/13/20	409.15	10.41 W	0.00	267 of 827 - Sale <sup>25</sup>
	0.540	936.49	07/13/20	963.61	27.12 W	0.00	268 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.574	4,462.72	07/13/20	4,547.74	85.02 W	0.00	269 of 827 - Sale <sup>25</sup>
	0.000	0.35	07/13/20	0.36	0.01 W	0.00	270 of 827 - Sale <sup>25</sup>
	0.001	1.13	07/13/20	1.19	0.06 W	0.00	271 of 827 - Sale <sup>25</sup>
	0.001	1.56	07/13/20	1.64	0.08 W	0.00	272 of 827 - Sale <sup>25</sup>
	0.002	3.04	07/13/20	3.21	0.17 W	0.00	273 of 827 - Sale <sup>25</sup>
	0.047	80.63	07/13/20	84.94	4.31 W	0.00	274 of 827 - Sale <sup>25</sup>
	0.050	86.69	07/13/20	91.38	4.69 W	0.00	275 of 827 - Sale <sup>25</sup>
	0.040	69.35	07/13/20	73.07	3.72 W	0.00	276 of 827 - Sale <sup>25</sup>
	0.040	69.36	07/13/20	72.88	3.52 W	0.00	277 of 827 - Sale <sup>25</sup>
	0.126	217.85	07/13/20	225.25	7.40 W	0.00	278 of 827 - Sale <sup>25</sup>
	0.693	1,198.94	07/13/20	1,239.62	40.68 W	0.00	279 of 827 - Sale <sup>25</sup>
	0.000	0.26	07/13/20	0.28	0.02 W	0.00	280 of 827 - Sale <sup>25</sup>
	0.001	1.67	07/13/20	1.74	0.07 W	0.00	281 of 827 - Sale <sup>25</sup>
	0.002	3.03	07/13/20	3.15	0.12 W	0.00	282 of 827 - Sale <sup>25</sup>
	0.002	4.24	07/13/20	4.40	0.16 W	0.00	283 of 827 - Sale <sup>25</sup>
	0.003	5.36	07/13/20	5.58	0.22 W	0.00	284 of 827 - Sale <sup>25</sup>
	0.005	8.38	07/13/20	8.71	0.33 W	0.00	285 of 827 - Sale <sup>25</sup>
	0.005	8.44	07/13/20	8.79	0.35 W	0.00	286 of 827 - Sale <sup>25</sup>
	0.005	8.49	07/13/20	8.81	0.32 W	0.00	287 of 827 - Sale <sup>25</sup>
	0.034	59.22	07/13/20	61.35	2.13 W	0.00	288 of 827 - Sale <sup>25</sup>
	0.036	62.55	07/13/20	64.91	2.36 W	0.00	289 of 827 - Sale <sup>25</sup>
	0.055	95.56	07/13/20	99.15	3.59 W	0.00	290 of 827 - Sale <sup>25</sup>
	0.096	166.99	07/13/20	173.24	6.25 W	0.00	291 of 827 - Sale <sup>25</sup>
	0.097	167.05	07/13/20	173.27	6.22 W	0.00	292 of 827 - Sale <sup>25</sup>
	0.359	621.37	07/13/20	643.92	22.55 W	0.00	293 of 827 - Sale <sup>25</sup>
	0.070	120.56	07/13/20	125.13	4.57 W	0.00	294 of 827 - Sale <sup>25</sup>
	0.230	397.53	07/13/20	412.20	14.67 W	0.00	295 of 827 - Sale <sup>25</sup>
	0.001	1.56	07/13/20	1.61	0.05 W	0.00	296 of 827 - Sale <sup>25</sup>
	0.003	4.33	07/13/20	4.51	0.18 W	0.00	297 of 827 - Sale <sup>25</sup>
	0.005	8.40	07/13/20	8.70	0.30 W	0.00	298 of 827 - Sale <sup>25</sup>
	0.052	90.02	07/13/20	93.86	3.84 W	0.00	299 of 827 - Sale <sup>25</sup>
	0.132	228.39	07/13/20	238.99	10.60 W	0.00	300 of 827 - Sale <sup>25</sup>
	0.508	880.18	07/13/20	921.06	40.88 W	0.00	301 of 827 - Sale <sup>25</sup>
	0.003	4.59	07/13/20	4.80	0.21 W	0.00	302 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.096	166.66	07/13/20	173.93	7.27 W	0.00	303 of 827 - Sale <sup>25</sup>
	0.299	518.09	07/13/20	541.94	23.85 W	0.00	304 of 827 - Sale <sup>25</sup>
	0.300	519.04	07/13/20	542.11	23.07 W	0.00	305 of 827 - Sale <sup>25</sup>
	0.302	522.41	07/13/20	545.91	23.50 W	0.00	306 of 827 - Sale <sup>25</sup>
	0.000	0.78	07/13/20	0.81	0.03 W	0.00	307 of 827 - Sale <sup>25</sup>
	0.000	0.39	07/13/20	0.41	0.02 W	0.00	308 of 827 - Sale <sup>25</sup>
	0.000	0.43	07/13/20	0.45	0.02 W	0.00	309 of 827 - Sale <sup>25</sup>
	0.000	0.78	07/13/20	0.80	0.02 W	0.00	310 of 827 - Sale <sup>25</sup>
	0.003	5.37	07/13/20	5.55	0.18 W	0.00	311 of 827 - Sale <sup>25</sup>
	0.004	6.84	07/13/20	6.99	0.15 W	0.00	312 of 827 - Sale <sup>25</sup>
	0.007	12.20	07/13/20	12.57	0.37 W	0.00	313 of 827 - Sale <sup>25</sup>
	0.014	23.83	07/13/20	24.66	0.83 W	0.00	314 of 827 - Sale <sup>25</sup>
	0.065	112.88	07/13/20	115.34	2.46 W	0.00	315 of 827 - Sale <sup>25</sup>
	0.165	285.17	07/13/20	291.42	6.25 W	0.00	316 of 827 - Sale <sup>25</sup>
	0.230	397.40	07/13/20	406.01	8.61 W	0.00	317 of 827 - Sale <sup>25</sup>
	0.230	397.66	07/13/20	410.29	12.63 W	0.00	318 of 827 - Sale <sup>25</sup>
	0.282	488.19	07/13/20	505.09	16.90 W	0.00	319 of 827 - Sale <sup>25</sup>
	0.004	7.53	07/13/20	7.88	0.35 W	0.00	320 of 827 - Sale <sup>25</sup>
	0.004	7.62	07/13/20	7.92	0.30 W	0.00	321 of 827 - Sale <sup>25</sup>
	0.012	19.91	07/13/20	20.70	0.79 W	0.00	322 of 827 - Sale <sup>25</sup>
	0.057	98.44	07/13/20	102.42	3.98 W	0.00	323 of 827 - Sale <sup>25</sup>
	0.080	138.31	07/13/20	144.15	5.84 W	0.00	324 of 827 - Sale <sup>25</sup>
	0.218	378.13	07/13/20	393.26	15.13 W	0.00	325 of 827 - Sale <sup>25</sup>
	0.226	390.43	07/13/20	405.95	15.52 W	0.00	326 of 827 - Sale <sup>25</sup>
	0.226	390.51	07/13/20	397.68	7.17 W	0.00	327 of 827 - Sale <sup>25</sup>
	0.460	796.56	07/13/20	829.34	32.78 W	0.00	328 of 827 - Sale <sup>25</sup>
	0.460	796.56	07/13/20	829.37	32.81 W	0.00	329 of 827 - Sale <sup>25</sup>
	0.734	1,269.65	07/13/20	1,293.25	23.60 W	0.00	330 of 827 - Sale <sup>25</sup>
	0.000	0.34	07/13/20	0.36	0.02 W	0.00	331 of 827 - Sale <sup>25</sup>
	0.001	1.12	07/13/20	1.19	0.07 W	0.00	332 of 827 - Sale <sup>25</sup>
	0.001	1.54	07/13/20	1.64	0.10 W	0.00	333 of 827 - Sale <sup>25</sup>
	0.002	3.00	07/13/20	3.21	0.21 W	0.00	334 of 827 - Sale <sup>25</sup>
	0.040	68.62	07/13/20	73.11	4.49 W	0.00	335 of 827 - Sale <sup>25</sup>
	0.040	68.62	07/13/20	72.92	4.30 W	0.00	336 of 827 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.047	79.77	07/13/20	84.99	5.22 W	0.00	337 of 827 - Sale <sup>25</sup>
	0.050	85.77	07/13/20	91.44	5.67 W	0.00	338 of 827 - Sale <sup>25</sup>
	0.001	1.65	07/13/20	1.74	0.09 W	0.00	339 of 827 - Sale <sup>25</sup>
	0.002	3.39	07/13/20	3.57	0.18 W	0.00	340 of 827 - Sale <sup>25</sup>
	0.002	4.11	07/13/20	4.28	0.17 W	0.00	341 of 827 - Sale <sup>25</sup>
	0.002	4.12	07/13/20	4.29	0.17 W	0.00	342 of 827 - Sale <sup>25</sup>
	0.002	4.13	07/13/20	4.29	0.16 W	0.00	343 of 827 - Sale <sup>25</sup>
	0.003	4.55	07/13/20	4.79	0.24 W	0.00	344 of 827 - Sale <sup>25</sup>
	0.003	4.76	07/13/20	4.96	0.20 W	0.00	345 of 827 - Sale <sup>25</sup>
	0.003	5.32	07/13/20	5.59	0.27 W	0.00	346 of 827 - Sale <sup>25</sup>
	0.003	5.32	07/13/20	5.57	0.25 W	0.00	347 of 827 - Sale <sup>25</sup>
	0.003	5.32	07/13/20	5.57	0.25 W	0.00	348 of 827 - Sale <sup>25</sup>
	0.005	8.23	07/13/20	8.58	0.35 W	0.00	349 of 827 - Sale <sup>25</sup>
	0.010	16.46	07/13/20	17.19	0.73 W	0.00	350 of 827 - Sale <sup>25</sup>
	0.010	16.49	07/13/20	17.19	0.70 W	0.00	351 of 827 - Sale <sup>25</sup>
	0.016	27.65	07/13/20	28.70	1.05 W	0.00	352 of 827 - Sale <sup>25</sup>
	0.017	28.41	07/13/20	29.61	1.20 W	0.00	353 of 827 - Sale <sup>25</sup>
	0.019	32.76	07/13/20	34.06	1.30 W	0.00	354 of 827 - Sale <sup>25</sup>
	0.022	37.05	07/13/20	38.59	1.54 W	0.00	355 of 827 - Sale <sup>25</sup>
	0.026	44.84	07/13/20	46.68	1.84 W	0.00	356 of 827 - Sale <sup>25</sup>
	0.031	53.38	07/13/20	55.65	2.27 W	0.00	357 of 827 - Sale <sup>25</sup>
	0.033	57.26	07/13/20	59.82	2.56 W	0.00	358 of 827 - Sale <sup>25</sup>
	0.048	81.89	07/13/20	85.26	3.37 W	0.00	359 of 827 - Sale <sup>25</sup>
	0.055	95.01	07/13/20	98.93	3.92 W	0.00	360 of 827 - Sale <sup>25</sup>
	0.074	126.43	07/13/20	130.31	3.88 W	0.00	361 of 827 - Sale <sup>25</sup>
	0.095	163.58	07/13/20	170.62	7.04 W	0.00	362 of 827 - Sale <sup>25</sup>
	0.151	259.06	07/13/20	270.23	11.17 W	0.00	363 of 827 - Sale <sup>25</sup>
	0.173	297.01	07/13/20	312.90	15.89 W	0.00	364 of 827 - Sale <sup>25</sup>
	0.186	319.73	07/13/20	331.13	11.40 W	0.00	365 of 827 - Sale <sup>25</sup>
	0.191	327.11	07/13/20	341.61	14.50 W	0.00	366 of 827 - Sale <sup>25</sup>
	0.236	405.38	07/13/20	424.01	18.63 W	0.00	367 of 827 - Sale <sup>25</sup>
	0.305	523.14	07/13/20	551.54	28.40 W	0.00	368 of 827 - Sale <sup>25</sup>
	0.759	1,301.38	07/13/20	1,373.01	71.63 W	0.00	369 of 827 - Sale <sup>25</sup>
	0.760	1,304.00	07/13/20	1,364.45	60.45 W	0.00	370 of 827 - Sale <sup>25</sup>

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Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.230	394.48	07/13/20	409.76	15.28 W	0.00	371 of 827 - Sale <sup>25</sup>
	0.230	394.48	07/13/20	409.38	14.90 W	0.00	372 of 827 - Sale <sup>25</sup>
	0.540	926.50	07/13/20	964.17	37.67 W	0.00	373 of 827 - Sale <sup>25</sup>
	2.574	4,415.09	07/13/20	4,550.40	135.31 W	0.00	374 of 827 - Sale <sup>25</sup>
	0.126	215.90	07/13/20	225.01	9.11 W	0.00	375 of 827 - Sale <sup>25</sup>
	0.693	1,188.20	07/13/20	1,238.26	50.06 W	0.00	376 of 827 - Sale <sup>25</sup>
	0.000	0.26	07/13/20	0.28	0.02 W	0.00	377 of 827 - Sale <sup>25</sup>
	0.002	3.00	07/13/20	3.15	0.15 W	0.00	378 of 827 - Sale <sup>25</sup>
	0.003	5.32	07/13/20	5.58	0.26 W	0.00	379 of 827 - Sale <sup>25</sup>
	0.005	8.41	07/13/20	8.80	0.39 W	0.00	380 of 827 - Sale <sup>25</sup>
	0.036	61.99	07/13/20	64.83	2.84 W	0.00	381 of 827 - Sale <sup>25</sup>
	0.055	94.70	07/13/20	99.04	4.34 W	0.00	382 of 827 - Sale <sup>25</sup>
	0.080	137.68	07/13/20	143.93	6.25 W	0.00	383 of 827 - Sale <sup>25</sup>
	0.001	1.66	07/13/20	1.74	0.08 W	0.00	384 of 827 - Sale <sup>25</sup>
	0.002	4.20	07/13/20	4.40	0.20 W	0.00	385 of 827 - Sale <sup>25</sup>
	0.005	8.31	07/13/20	8.70	0.39 W	0.00	386 of 827 - Sale <sup>25</sup>
	0.005	8.36	07/13/20	8.77	0.41 W	0.00	387 of 827 - Sale <sup>25</sup>
	0.016	27.88	07/13/20	29.13	1.25 W	0.00	388 of 827 - Sale <sup>25</sup>
	0.034	58.69	07/13/20	61.25	2.56 W	0.00	389 of 827 - Sale <sup>25</sup>
	0.096	165.49	07/13/20	172.95	7.46 W	0.00	390 of 827 - Sale <sup>25</sup>
	0.359	615.81	07/13/20	642.86	27.05 W	0.00	391 of 827 - Sale <sup>25</sup>
	0.070	119.48	07/13/20	124.93	5.45 W	0.00	392 of 827 - Sale <sup>25</sup>
	0.230	393.96	07/13/20	411.51	17.55 W	0.00	393 of 827 - Sale <sup>25</sup>
	0.001	1.54	07/13/20	1.60	0.06 W	0.00	394 of 827 - Sale <sup>25</sup>
	0.003	4.29	07/13/20	4.50	0.21 W	0.00	395 of 827 - Sale <sup>25</sup>
	0.005	8.32	07/13/20	8.68	0.36 W	0.00	396 of 827 - Sale <sup>25</sup>
	0.052	89.21	07/13/20	93.70	4.49 W	0.00	397 of 827 - Sale <sup>25</sup>
	0.132	226.35	07/13/20	238.60	12.25 W	0.00	398 of 827 - Sale <sup>25</sup>
	0.508	872.30	07/13/20	919.56	47.26 W	0.00	399 of 827 - Sale <sup>25</sup>
	0.003	4.55	07/13/20	4.79	0.24 W	0.00	400 of 827 - Sale <sup>25</sup>
	0.177	302.77	07/13/20	318.57	15.80 W	0.00	401 of 827 - Sale <sup>25</sup>
	0.302	517.74	07/13/20	545.02	27.28 W	0.00	402 of 827 - Sale <sup>25</sup>
	0.096	165.60	07/13/20	173.65	8.05 W	0.00	403 of 827 - Sale <sup>25</sup>
	0.299	514.79	07/13/20	541.05	26.26 W	0.00	404 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.123		212.17	07/13/20	222.65	10.48 W	0.00	405 of 827 - Sale <sup>25</sup>
0.000		0.77	07/13/20	0.81	0.04 W	0.00	406 of 827 - Sale <sup>25</sup>
0.000		0.43	07/13/20	0.45	0.02 W	0.00	407 of 827 - Sale <sup>25</sup>
0.003		5.33	07/13/20	5.54	0.21 W	0.00	408 of 827 - Sale <sup>25</sup>
0.004		6.79	07/13/20	6.98	0.19 W	0.00	409 of 827 - Sale <sup>25</sup>
0.014		23.69	07/13/20	24.62	0.93 W	0.00	410 of 827 - Sale <sup>25</sup>
0.065		112.16	07/13/20	115.14	2.98 W	0.00	411 of 827 - Sale <sup>25</sup>
0.165		283.36	07/13/20	290.93	7.57 W	0.00	412 of 827 - Sale <sup>25</sup>
0.230		394.87	07/13/20	405.33	10.46 W	0.00	413 of 827 - Sale <sup>25</sup>
0.000		0.39	07/13/20	0.41	0.02 W	0.00	414 of 827 - Sale <sup>25</sup>
0.000		0.77	07/13/20	0.80	0.03 W	0.00	415 of 827 - Sale <sup>25</sup>
0.007		12.12	07/13/20	12.66	0.54 W	0.00	416 of 827 - Sale <sup>25</sup>
0.230		394.99	07/13/20	413.04	18.05 W	0.00	417 of 827 - Sale <sup>25</sup>
0.282		484.91	07/13/20	508.47	23.56 W	0.00	418 of 827 - Sale <sup>25</sup>
0.481		826.15	07/13/20	852.96	26.81 W	0.00	419 of 827 - Sale <sup>25</sup>
0.004		7.48	07/13/20	7.95	0.47 W	0.00	420 of 827 - Sale <sup>25</sup>
0.057		97.78	07/13/20	103.46	5.68 W	0.00	421 of 827 - Sale <sup>25</sup>
0.226		387.89	07/13/20	401.14	13.25 W	0.00	422 of 827 - Sale <sup>25</sup>
0.253		434.97	07/13/20	449.93	14.96 W	0.00	423 of 827 - Sale <sup>25</sup>
0.460		791.21	07/13/20	836.40	45.19 W	0.00	424 of 827 - Sale <sup>25</sup>
0.004		7.50	07/13/20	7.99	0.49 W	0.00	425 of 827 - Sale <sup>25</sup>
0.012		19.60	07/13/20	20.88	1.28 W	0.00	426 of 827 - Sale <sup>25</sup>
0.057		96.90	07/13/20	103.29	6.39 W	0.00	427 of 827 - Sale <sup>25</sup>
0.023		39.25	07/13/20	41.91	2.66 W	0.00	428 of 827 - Sale <sup>25</sup>
0.218		372.24	07/13/20	396.61	24.37 W	0.00	429 of 827 - Sale <sup>25</sup>
0.226		384.34	07/13/20	409.40	25.06 W	0.00	430 of 827 - Sale <sup>25</sup>
0.460		784.14	07/13/20	836.42	52.28 W	0.00	431 of 827 - Sale <sup>25</sup>
0.000		0.34	07/13/20	0.36	0.02 W	0.00	432 of 827 - Sale <sup>25</sup>
0.001		1.11	07/13/20	1.18	0.07 W	0.00	433 of 827 - Sale <sup>25</sup>
0.001		1.53	07/13/20	1.64	0.11 W	0.00	434 of 827 - Sale <sup>25</sup>
0.002		2.98	07/13/20	3.20	0.22 W	0.00	435 of 827 - Sale <sup>25</sup>
0.040		68.16	07/13/20	72.85	4.69 W	0.00	436 of 827 - Sale <sup>25</sup>
0.040		68.16	07/13/20	72.66	4.50 W	0.00	437 of 827 - Sale <sup>25</sup>
0.047		79.23	07/13/20	84.69	5.46 W	0.00	438 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.050		85.20	07/13/20	91.12	5.92 W	0.00	439 of 827 - Sale <sup>25</sup>
0.002		3.37	07/13/20	3.56	0.19 W	0.00	440 of 827 - Sale <sup>25</sup>
0.003		4.52	07/13/20	4.77	0.25 W	0.00	441 of 827 - Sale <sup>25</sup>
0.173		295.02	07/13/20	311.78	16.76 W	0.00	442 of 827 - Sale <sup>25</sup>
0.305		519.64	07/13/20	549.57	29.93 W	0.00	443 of 827 - Sale <sup>25</sup>
0.337		574.71	07/13/20	608.26	33.55 W	0.00	444 of 827 - Sale <sup>25</sup>
0.126		210.77	07/13/20	223.32	12.55 W	0.00	445 of 827 - Sale <sup>25</sup>
0.693		1,159.96	07/13/20	1,228.94	68.98 W	0.00	446 of 827 - Sale <sup>25</sup>
0.000		0.25	07/13/20	0.28	0.03 W	0.00	447 of 827 - Sale <sup>25</sup>
0.001		1.62	07/13/20	1.72	0.10 W	0.00	448 of 827 - Sale <sup>25</sup>
0.002		2.93	07/13/20	3.13	0.20 W	0.00	449 of 827 - Sale <sup>25</sup>
0.002		4.10	07/13/20	4.37	0.27 W	0.00	450 of 827 - Sale <sup>25</sup>
0.003		5.19	07/13/20	5.53	0.34 W	0.00	451 of 827 - Sale <sup>25</sup>
0.005		8.11	07/13/20	8.63	0.52 W	0.00	452 of 827 - Sale <sup>25</sup>
0.005		8.16	07/13/20	8.71	0.55 W	0.00	453 of 827 - Sale <sup>25</sup>
0.005		8.21	07/13/20	8.73	0.52 W	0.00	454 of 827 - Sale <sup>25</sup>
0.016		27.21	07/13/20	28.91	1.70 W	0.00	455 of 827 - Sale <sup>25</sup>
0.034		57.29	07/13/20	60.79	3.50 W	0.00	456 of 827 - Sale <sup>25</sup>
0.036		60.51	07/13/20	64.34	3.83 W	0.00	457 of 827 - Sale <sup>25</sup>
0.055		92.45	07/13/20	98.30	5.85 W	0.00	458 of 827 - Sale <sup>25</sup>
0.080		134.41	07/13/20	142.85	8.44 W	0.00	459 of 827 - Sale <sup>25</sup>
0.096		161.56	07/13/20	171.66	10.10 W	0.00	460 of 827 - Sale <sup>25</sup>
0.359		601.17	07/13/20	638.03	36.86 W	0.00	461 of 827 - Sale <sup>25</sup>
0.070		116.64	07/13/20	123.99	7.35 W	0.00	462 of 827 - Sale <sup>25</sup>
0.230		384.60	07/13/20	408.42	23.82 W	0.00	463 of 827 - Sale <sup>25</sup>
0.001		1.51	07/13/20	1.59	0.08 W	0.00	464 of 827 - Sale <sup>25</sup>
0.003		4.19	07/13/20	4.47	0.28 W	0.00	465 of 827 - Sale <sup>25</sup>
0.005		8.12	07/13/20	8.61	0.49 W	0.00	466 of 827 - Sale <sup>25</sup>
0.052		87.09	07/13/20	93.00	5.91 W	0.00	467 of 827 - Sale <sup>25</sup>
0.132		220.97	07/13/20	236.82	15.85 W	0.00	468 of 827 - Sale <sup>25</sup>
0.508		851.57	07/13/20	912.72	61.15 W	0.00	469 of 827 - Sale <sup>25</sup>
0.003		4.44	07/13/20	4.75	0.31 W	0.00	470 of 827 - Sale <sup>25</sup>
0.096		161.24	07/13/20	171.92	10.68 W	0.00	471 of 827 - Sale <sup>25</sup>
0.123		206.58	07/13/20	220.43	13.85 W	0.00	472 of 827 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.177	295.58	07/13/20	316.20	20.62 W	0.00	473 of 827 - Sale <sup>25</sup>
	0.299	501.24	07/13/20	535.68	34.44 W	0.00	474 of 827 - Sale <sup>25</sup>
	0.302	505.43	07/13/20	540.95	35.52 W	0.00	475 of 827 - Sale <sup>25</sup>
	0.000	0.75	07/13/20	0.80	0.05 W	0.00	476 of 827 - Sale <sup>25</sup>
	0.000	0.42	07/13/20	0.45	0.03 W	0.00	477 of 827 - Sale <sup>25</sup>
	0.003	5.19	07/13/20	5.49	0.30 W	0.00	478 of 827 - Sale <sup>25</sup>
	0.004	6.62	07/13/20	6.91	0.29 W	0.00	479 of 827 - Sale <sup>25</sup>
	0.014	23.06	07/13/20	24.36	1.30 W	0.00	480 of 827 - Sale <sup>25</sup>
	0.065	109.21	07/13/20	113.97	4.76 W	0.00	481 of 827 - Sale <sup>25</sup>
	0.165	275.90	07/13/20	287.97	12.07 W	0.00	482 of 827 - Sale <sup>25</sup>
	0.230	384.48	07/13/20	401.22	16.74 W	0.00	483 of 827 - Sale <sup>25</sup>
	0.003	5.19	07/13/20	5.54	0.35 W	0.00	484 of 827 - Sale <sup>25</sup>
	0.236	395.74	07/13/20	420.82	25.08 W	0.00	485 of 827 - Sale <sup>25</sup>
	0.421	705.60	07/13/20	756.90	51.30 W	0.00	486 of 827 - Sale <sup>25</sup>
	0.339	568.15	07/13/20	604.40	36.25 W	0.00	487 of 827 - Sale <sup>25</sup>
	0.001	1.48	07/13/20	1.73	0.25 W	0.00	488 of 827 - Sale <sup>25</sup>
	0.002	3.69	07/13/20	4.25	0.56 W	0.00	489 of 827 - Sale <sup>25</sup>
	0.002	3.70	07/13/20	4.26	0.56 W	0.00	490 of 827 - Sale <sup>25</sup>
	0.002	3.71	07/13/20	4.26	0.55 W	0.00	491 of 827 - Sale <sup>25</sup>
	0.003	4.28	07/13/20	4.93	0.65 W	0.00	492 of 827 - Sale <sup>25</sup>
	0.003	4.77	07/13/20	5.52	0.75 W	0.00	493 of 827 - Sale <sup>25</sup>
	0.003	4.77	07/13/20	5.52	0.75 W	0.00	494 of 827 - Sale <sup>25</sup>
	0.005	7.39	07/13/20	8.51	1.12 W	0.00	495 of 827 - Sale <sup>25</sup>
	0.010	14.78	07/13/20	17.06	2.28 W	0.00	496 of 827 - Sale <sup>25</sup>
	0.010	14.80	07/13/20	17.06	2.26 W	0.00	497 of 827 - Sale <sup>25</sup>
	0.016	24.83	07/13/20	28.49	3.66 W	0.00	498 of 827 - Sale <sup>25</sup>
	0.017	25.51	07/13/20	29.39	3.88 W	0.00	499 of 827 - Sale <sup>25</sup>
	0.019	29.41	07/13/20	33.80	4.39 W	0.00	500 of 827 - Sale <sup>25</sup>
	0.022	33.26	07/13/20	38.30	5.04 W	0.00	501 of 827 - Sale <sup>25</sup>
	0.026	40.26	07/13/20	46.33	6.07 W	0.00	502 of 827 - Sale <sup>25</sup>
	0.031	47.93	07/13/20	55.23	7.30 W	0.00	503 of 827 - Sale <sup>25</sup>
	0.033	51.41	07/13/20	59.37	7.96 W	0.00	504 of 827 - Sale <sup>25</sup>
	0.048	73.52	07/13/20	84.61	11.09 W	0.00	505 of 827 - Sale <sup>25</sup>
	0.055	85.30	07/13/20	98.18	12.88 W	0.00	506 of 827 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.074	113.52	07/13/20	129.32	15.80 W	0.00	507 of 827 - Sale <sup>25</sup>
	0.095	146.87	07/13/20	169.33	22.46 W	0.00	508 of 827 - Sale <sup>25</sup>
	0.151	232.59	07/13/20	268.19	35.60 W	0.00	509 of 827 - Sale <sup>25</sup>
	0.186	287.06	07/13/20	328.62	41.56 W	0.00	510 of 827 - Sale <sup>25</sup>
	0.191	293.70	07/13/20	339.05	45.35 W	0.00	511 of 827 - Sale <sup>25</sup>
	0.421	648.26	07/13/20	749.82	101.56 W	0.00	512 of 827 - Sale <sup>25</sup>
	0.230	354.18	07/13/20	406.67	52.49 W	0.00	513 of 827 - Sale <sup>25</sup>
	0.230	354.18	07/13/20	406.28	52.10 W	0.00	514 of 827 - Sale <sup>25</sup>
	0.540	831.85	07/13/20	956.90	125.05 W	0.00	515 of 827 - Sale <sup>25</sup>
	2.574	3,964.07	07/13/20	4,515.76	551.69 W	0.00	516 of 827 - Sale <sup>25</sup>
	0.000	0.35	07/13/20	0.40	0.05 W	0.00	517 of 827 - Sale <sup>25</sup>
	0.000	0.69	07/13/20	0.79	0.10 W	0.00	518 of 827 - Sale <sup>25</sup>
	0.007	10.86	07/13/20	12.51	1.65 W	0.00	519 of 827 - Sale <sup>25</sup>
	0.230	353.84	07/13/20	408.21	54.37 W	0.00	520 of 827 - Sale <sup>25</sup>
	0.282	434.40	07/13/20	502.55	68.15 W	0.00	521 of 827 - Sale <sup>25</sup>
	0.481	740.09	07/13/20	842.87	102.78 W	0.00	522 of 827 - Sale <sup>25</sup>
	0.004	6.70	07/13/20	7.86	1.16 W	0.00	523 of 827 - Sale <sup>25</sup>
	0.057	87.59	07/13/20	102.25	14.66 W	0.00	524 of 827 - Sale <sup>25</sup>
	0.226	347.48	07/13/20	396.35	48.87 W	0.00	525 of 827 - Sale <sup>25</sup>
	0.253	389.66	07/13/20	444.56	54.90 W	0.00	526 of 827 - Sale <sup>25</sup>
	0.460	708.78	07/13/20	826.63	117.85 W	0.00	527 of 827 - Sale <sup>25</sup>
	0.004	6.78	07/13/20	7.94	1.16 W	0.00	528 of 827 - Sale <sup>25</sup>
	0.012	17.72	07/13/20	20.73	3.01 W	0.00	529 of 827 - Sale <sup>25</sup>
	0.017	26.27	07/13/20	30.78	4.51 W	0.00	530 of 827 - Sale <sup>25</sup>
	0.023	35.48	07/13/20	41.61	6.13 W	0.00	531 of 827 - Sale <sup>25</sup>
	0.218	336.47	07/13/20	393.82	57.35 W	0.00	532 of 827 - Sale <sup>25</sup>
	0.226	347.40	07/13/20	406.51	59.11 W	0.00	533 of 827 - Sale <sup>25</sup>
	0.300	462.06	07/13/20	542.38	80.32 W	0.00	534 of 827 - Sale <sup>25</sup>
	0.100	154.02	07/13/20	180.70	26.68 W	0.00	535 of 827 - Sale <sup>25</sup>
	0.000	0.31	07/13/20	0.36	0.05 W	0.00	536 of 827 - Sale <sup>25</sup>
	0.001	1.00	07/13/20	1.17	0.17 W	0.00	537 of 827 - Sale <sup>25</sup>
	0.001	1.38	07/13/20	1.63	0.25 W	0.00	538 of 827 - Sale <sup>25</sup>
	0.002	2.70	07/13/20	3.18	0.48 W	0.00	539 of 827 - Sale <sup>25</sup>
	0.040	61.61	07/13/20	72.25	10.64 W	0.00	540 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.040		61.61	07/13/20	72.06	10.45 W	0.00	541 of 827 - Sale <sup>25</sup>
0.047		71.62	07/13/20	84.00	12.38 W	0.00	542 of 827 - Sale <sup>25</sup>
0.050		77.01	07/13/20	90.37	13.36 W	0.00	543 of 827 - Sale <sup>25</sup>
0.002		3.05	07/13/20	3.53	0.48 W	0.00	544 of 827 - Sale <sup>25</sup>
0.003		4.08	07/13/20	4.73	0.65 W	0.00	545 of 827 - Sale <sup>25</sup>
0.043		66.43	07/13/20	77.75	11.32 W	0.00	546 of 827 - Sale <sup>25</sup>
0.057		87.59	07/13/20	102.44	14.85 W	0.00	547 of 827 - Sale <sup>25</sup>
0.173		266.67	07/13/20	309.19	42.52 W	0.00	548 of 827 - Sale <sup>25</sup>
0.237		365.46	07/13/20	424.36	58.90 W	0.00	549 of 827 - Sale <sup>25</sup>
0.305		469.70	07/13/20	544.99	75.29 W	0.00	550 of 827 - Sale <sup>25</sup>
0.126		193.84	07/13/20	224.81	30.97 W	0.00	551 of 827 - Sale <sup>25</sup>
0.165		254.87	07/13/20	295.57	40.70 W	0.00	552 of 827 - Sale <sup>25</sup>
0.100		154.02	07/13/20	178.60	24.58 W	0.00	553 of 827 - Sale <sup>25</sup>
0.003		4.58	07/13/20	5.30	0.72 W	0.00	554 of 827 - Sale <sup>25</sup>
0.059		91.52	07/13/20	105.92	14.40 W	0.00	555 of 827 - Sale <sup>25</sup>
0.060		91.73	07/13/20	105.93	14.20 W	0.00	556 of 827 - Sale <sup>25</sup>
0.405		624.12	07/13/20	723.14	99.02 W	0.00	557 of 827 - Sale <sup>25</sup>
0.000		0.23	07/13/20	0.28	0.05 W	0.00	558 of 827 - Sale <sup>25</sup>
0.001		1.49	07/13/20	1.73	0.24 W	0.00	559 of 827 - Sale <sup>25</sup>
0.002		2.70	07/13/20	3.15	0.45 W	0.00	560 of 827 - Sale <sup>25</sup>
0.002		3.78	07/13/20	4.40	0.62 W	0.00	561 of 827 - Sale <sup>25</sup>
0.003		4.77	07/13/20	5.56	0.79 W	0.00	562 of 827 - Sale <sup>25</sup>
0.005		7.46	07/13/20	8.68	1.22 W	0.00	563 of 827 - Sale <sup>25</sup>
0.005		7.51	07/13/20	8.76	1.25 W	0.00	564 of 827 - Sale <sup>25</sup>
0.005		7.55	07/13/20	8.78	1.23 W	0.00	565 of 827 - Sale <sup>25</sup>
0.016		25.03	07/13/20	29.08	4.05 W	0.00	566 of 827 - Sale <sup>25</sup>
0.034		52.69	07/13/20	61.15	8.46 W	0.00	567 of 827 - Sale <sup>25</sup>
0.036		55.65	07/13/20	64.72	9.07 W	0.00	568 of 827 - Sale <sup>25</sup>
0.055		85.03	07/13/20	98.87	13.84 W	0.00	569 of 827 - Sale <sup>25</sup>
0.080		123.62	07/13/20	143.68	20.06 W	0.00	570 of 827 - Sale <sup>25</sup>
0.096		148.59	07/13/20	172.65	24.06 W	0.00	571 of 827 - Sale <sup>25</sup>
0.359		552.90	07/13/20	641.73	88.83 W	0.00	572 of 827 - Sale <sup>25</sup>
0.070		107.28	07/13/20	124.71	17.43 W	0.00	573 of 827 - Sale <sup>25</sup>
0.230		353.72	07/13/20	410.79	57.07 W	0.00	574 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	1.38	07/13/20	1.59	0.21 W	0.00	575 of 827 - Sale <sup>25</sup>
	0.003	3.85	07/13/20	4.49	0.64 W	0.00	576 of 827 - Sale <sup>25</sup>
	0.005	7.47	07/13/20	8.66	1.19 W	0.00	577 of 827 - Sale <sup>25</sup>
	0.052	80.10	07/13/20	93.54	13.44 W	0.00	578 of 827 - Sale <sup>25</sup>
	0.132	203.22	07/13/20	238.18	34.96 W	0.00	579 of 827 - Sale <sup>25</sup>
	0.508	783.19	07/13/20	917.96	134.77 W	0.00	580 of 827 - Sale <sup>25</sup>
	0.003	4.08	07/13/20	4.78	0.70 W	0.00	581 of 827 - Sale <sup>25</sup>
	0.096	148.30	07/13/20	172.92	24.62 W	0.00	582 of 827 - Sale <sup>25</sup>
	0.123	189.99	07/13/20	221.70	31.71 W	0.00	583 of 827 - Sale <sup>25</sup>
	0.177	271.85	07/13/20	318.02	46.17 W	0.00	584 of 827 - Sale <sup>25</sup>
	0.299	460.99	07/13/20	538.77	77.78 W	0.00	585 of 827 - Sale <sup>25</sup>
	0.302	464.84	07/13/20	544.06	79.22 W	0.00	586 of 827 - Sale <sup>25</sup>
	0.000	0.69	07/13/20	0.81	0.12 W	0.00	587 of 827 - Sale <sup>25</sup>
	0.000	0.39	07/13/20	0.45	0.06 W	0.00	588 of 827 - Sale <sup>25</sup>
	0.003	4.77	07/13/20	5.52	0.75 W	0.00	589 of 827 - Sale <sup>25</sup>
	0.004	6.08	07/13/20	6.95	0.87 W	0.00	590 of 827 - Sale <sup>25</sup>
	0.014	21.21	07/13/20	24.50	3.29 W	0.00	591 of 827 - Sale <sup>25</sup>
	0.065	100.44	07/13/20	114.64	14.20 W	0.00	592 of 827 - Sale <sup>25</sup>
	0.165	253.74	07/13/20	289.67	35.93 W	0.00	593 of 827 - Sale <sup>25</sup>
	0.230	353.61	07/13/20	403.58	49.97 W	0.00	594 of 827 - Sale <sup>25</sup>
	0.003	4.77	07/13/20	5.57	0.80 W	0.00	595 of 827 - Sale <sup>25</sup>
	0.236	363.96	07/13/20	423.26	59.30 W	0.00	596 of 827 - Sale <sup>25</sup>
	0.339	522.53	07/13/20	607.89	85.36 W	0.00	597 of 827 - Sale <sup>25</sup>
	0.421	648.95	07/13/20	761.25	112.30 W	0.00	598 of 827 - Sale <sup>25</sup>
	0.382	589.04	07/13/20	644.41	55.37 W	0.00	599 of 827 - Sale <sup>25</sup>
	0.000	0.30	07/13/20	0.36	0.06 W	0.00	600 of 827 - Sale <sup>25</sup>
	0.001	0.98	07/13/20	1.17	0.19 W	0.00	601 of 827 - Sale <sup>25</sup>
	0.001	1.36	07/13/20	1.63	0.27 W	0.00	602 of 827 - Sale <sup>25</sup>
	0.002	2.64	07/13/20	3.17	0.53 W	0.00	603 of 827 - Sale <sup>25</sup>
	0.003	4.48	07/13/20	5.29	0.81 W	0.00	604 of 827 - Sale <sup>25</sup>
	0.040	60.31	07/13/20	72.16	11.85 W	0.00	605 of 827 - Sale <sup>25</sup>
	0.040	60.31	07/13/20	71.97	11.66 W	0.00	606 of 827 - Sale <sup>25</sup>
	0.047	70.11	07/13/20	83.90	13.79 W	0.00	607 of 827 - Sale <sup>25</sup>
	0.050	75.39	07/13/20	90.26	14.87 W	0.00	608 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.059	89.59	07/13/20	105.79	16.20 W	0.00	609 of 827 - Sale <sup>25</sup>
	0.060	89.79	07/13/20	105.80	16.01 W	0.00	610 of 827 - Sale <sup>25</sup>
	0.126	189.76	07/13/20	224.54	34.78 W	0.00	611 of 827 - Sale <sup>25</sup>
	0.165	249.50	07/13/20	295.21	45.71 W	0.00	612 of 827 - Sale <sup>25</sup>
	0.405	610.97	07/13/20	722.25	111.28 W	0.00	613 of 827 - Sale <sup>25</sup>
	0.000	0.23	07/13/20	0.28	0.05 W	0.00	614 of 827 - Sale <sup>25</sup>
	0.001	1.45	07/13/20	1.72	0.27 W	0.00	615 of 827 - Sale <sup>25</sup>
	0.002	2.64	07/13/20	3.14	0.50 W	0.00	616 of 827 - Sale <sup>25</sup>
	0.002	3.70	07/13/20	4.39	0.69 W	0.00	617 of 827 - Sale <sup>25</sup>
	0.003	4.67	07/13/20	5.56	0.89 W	0.00	618 of 827 - Sale <sup>25</sup>
	0.005	7.30	07/13/20	8.67	1.37 W	0.00	619 of 827 - Sale <sup>25</sup>
	0.005	7.35	07/13/20	8.75	1.40 W	0.00	620 of 827 - Sale <sup>25</sup>
	0.005	7.39	07/13/20	8.77	1.38 W	0.00	621 of 827 - Sale <sup>25</sup>
	0.016	24.50	07/13/20	29.04	4.54 W	0.00	622 of 827 - Sale <sup>25</sup>
	0.034	51.58	07/13/20	61.08	9.50 W	0.00	623 of 827 - Sale <sup>25</sup>
	0.036	54.48	07/13/20	64.64	10.16 W	0.00	624 of 827 - Sale <sup>25</sup>
	0.055	83.23	07/13/20	98.74	15.51 W	0.00	625 of 827 - Sale <sup>25</sup>
	0.080	121.01	07/13/20	143.50	22.49 W	0.00	626 of 827 - Sale <sup>25</sup>
	0.096	145.46	07/13/20	172.43	26.97 W	0.00	627 of 827 - Sale <sup>25</sup>
	0.359	541.24	07/13/20	640.94	99.70 W	0.00	628 of 827 - Sale <sup>25</sup>
	0.070	105.01	07/13/20	124.55	19.54 W	0.00	629 of 827 - Sale <sup>25</sup>
	0.230	346.26	07/13/20	410.28	64.02 W	0.00	630 of 827 - Sale <sup>25</sup>
	0.001	1.36	07/13/20	1.59	0.23 W	0.00	631 of 827 - Sale <sup>25</sup>
	0.003	3.77	07/13/20	4.49	0.72 W	0.00	632 of 827 - Sale <sup>25</sup>
	0.005	7.31	07/13/20	8.65	1.34 W	0.00	633 of 827 - Sale <sup>25</sup>
	0.052	78.41	07/13/20	93.42	15.01 W	0.00	634 of 827 - Sale <sup>25</sup>
	0.132	198.94	07/13/20	237.89	38.95 W	0.00	635 of 827 - Sale <sup>25</sup>
	0.508	766.68	07/13/20	916.84	150.16 W	0.00	636 of 827 - Sale <sup>25</sup>
	0.003	4.00	07/13/20	4.78	0.78 W	0.00	637 of 827 - Sale <sup>25</sup>
	0.096	145.17	07/13/20	172.70	27.53 W	0.00	638 of 827 - Sale <sup>25</sup>
	0.123	185.99	07/13/20	221.43	35.44 W	0.00	639 of 827 - Sale <sup>25</sup>
	0.177	266.12	07/13/20	317.63	51.51 W	0.00	640 of 827 - Sale <sup>25</sup>
	0.299	451.28	07/13/20	538.11	86.83 W	0.00	641 of 827 - Sale <sup>25</sup>
	0.302	455.05	07/13/20	543.40	88.35 W	0.00	642 of 827 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.68	07/13/20	0.81	0.13 W	0.00	643 of 827 - Sale <sup>25</sup>
	0.000	0.34	07/13/20	0.39	0.05 W	0.00	644 of 827 - Sale <sup>25</sup>
	0.000	0.38	07/13/20	0.44	0.06 W	0.00	645 of 827 - Sale <sup>25</sup>
	0.000	0.68	07/13/20	0.79	0.11 W	0.00	646 of 827 - Sale <sup>25</sup>
	0.003	4.67	07/13/20	5.52	0.85 W	0.00	647 of 827 - Sale <sup>25</sup>
	0.004	5.96	07/13/20	6.95	0.99 W	0.00	648 of 827 - Sale <sup>25</sup>
	0.007	10.63	07/13/20	12.49	1.86 W	0.00	649 of 827 - Sale <sup>25</sup>
	0.014	20.76	07/13/20	24.47	3.71 W	0.00	650 of 827 - Sale <sup>25</sup>
	0.065	98.32	07/13/20	114.49	16.17 W	0.00	651 of 827 - Sale <sup>25</sup>
	0.165	248.39	07/13/20	289.31	40.92 W	0.00	652 of 827 - Sale <sup>25</sup>
	0.230	346.15	07/13/20	403.07	56.92 W	0.00	653 of 827 - Sale <sup>25</sup>
	0.230	346.38	07/13/20	407.70	61.32 W	0.00	654 of 827 - Sale <sup>25</sup>
	0.282	425.24	07/13/20	501.92	76.68 W	0.00	655 of 827 - Sale <sup>25</sup>
	0.001	1.45	07/13/20	1.73	0.28 W	0.00	656 of 827 - Sale <sup>25</sup>
	0.002	2.98	07/13/20	3.52	0.54 W	0.00	657 of 827 - Sale <sup>25</sup>
	0.002	3.61	07/13/20	4.25	0.64 W	0.00	658 of 827 - Sale <sup>25</sup>
	0.002	3.62	07/13/20	4.26	0.64 W	0.00	659 of 827 - Sale <sup>25</sup>
	0.002	3.63	07/13/20	4.25	0.62 W	0.00	660 of 827 - Sale <sup>25</sup>
	0.003	4.00	07/13/20	4.73	0.73 W	0.00	661 of 827 - Sale <sup>25</sup>
	0.003	4.19	07/13/20	4.92	0.73 W	0.00	662 of 827 - Sale <sup>25</sup>
	0.003	4.67	07/13/20	5.52	0.85 W	0.00	663 of 827 - Sale <sup>25</sup>
	0.003	4.67	07/13/20	5.52	0.85 W	0.00	664 of 827 - Sale <sup>25</sup>
	0.004	6.56	07/13/20	7.85	1.29 W	0.00	665 of 827 - Sale <sup>25</sup>
	0.004	6.64	07/13/20	7.93	1.29 W	0.00	666 of 827 - Sale <sup>25</sup>
	0.005	7.23	07/13/20	8.50	1.27 W	0.00	667 of 827 - Sale <sup>25</sup>
	0.010	14.46	07/13/20	17.03	2.57 W	0.00	668 of 827 - Sale <sup>25</sup>
	0.010	14.49	07/13/20	17.04	2.55 W	0.00	669 of 827 - Sale <sup>25</sup>
	0.012	17.34	07/13/20	20.70	3.36 W	0.00	670 of 827 - Sale <sup>25</sup>
	0.016	24.31	07/13/20	28.45	4.14 W	0.00	671 of 827 - Sale <sup>25</sup>
	0.017	24.97	07/13/20	29.35	4.38 W	0.00	672 of 827 - Sale <sup>25</sup>
	0.017	25.71	07/13/20	30.74	5.03 W	0.00	673 of 827 - Sale <sup>25</sup>
	0.019	28.79	07/13/20	33.76	4.97 W	0.00	674 of 827 - Sale <sup>25</sup>
	0.022	32.56	07/13/20	38.25	5.69 W	0.00	675 of 827 - Sale <sup>25</sup>
	0.023	34.73	07/13/20	41.55	6.82 W	0.00	676 of 827 - Sale <sup>25</sup>

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(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.026	39.41	07/13/20	46.27	6.86 W	0.00	677 of 827 - Sale <sup>25</sup>
	0.031	46.92	07/13/20	55.16	8.24 W	0.00	678 of 827 - Sale <sup>25</sup>
	0.033	50.33	07/13/20	59.30	8.97 W	0.00	679 of 827 - Sale <sup>25</sup>
	0.043	65.03	07/13/20	77.66	12.63 W	0.00	680 of 827 - Sale <sup>25</sup>
	0.048	71.97	07/13/20	84.50	12.53 W	0.00	681 of 827 - Sale <sup>25</sup>
	0.055	83.50	07/13/20	98.06	14.56 W	0.00	682 of 827 - Sale <sup>25</sup>
	0.057	85.74	07/13/20	102.12	16.38 W	0.00	683 of 827 - Sale <sup>25</sup>
	0.057	85.74	07/13/20	102.31	16.57 W	0.00	684 of 827 - Sale <sup>25</sup>
	0.074	111.12	07/13/20	129.15	18.03 W	0.00	685 of 827 - Sale <sup>25</sup>
	0.095	143.77	07/13/20	169.12	25.35 W	0.00	686 of 827 - Sale <sup>25</sup>
	0.100	150.78	07/13/20	180.48	29.70 W	0.00	687 of 827 - Sale <sup>25</sup>
	0.100	150.77	07/13/20	178.38	27.61 W	0.00	688 of 827 - Sale <sup>25</sup>
	0.151	227.69	07/13/20	267.86	40.17 W	0.00	689 of 827 - Sale <sup>25</sup>
	0.173	261.05	07/13/20	308.81	47.76 W	0.00	690 of 827 - Sale <sup>25</sup>
	0.186	281.01	07/13/20	328.21	47.20 W	0.00	691 of 827 - Sale <sup>25</sup>
	0.191	287.50	07/13/20	338.62	51.12 W	0.00	692 of 827 - Sale <sup>25</sup>
	0.218	329.37	07/13/20	393.33	63.96 W	0.00	693 of 827 - Sale <sup>25</sup>
	0.226	340.08	07/13/20	406.01	65.93 W	0.00	694 of 827 - Sale <sup>25</sup>
	0.226	340.15	07/13/20	395.85	55.70 W	0.00	695 of 827 - Sale <sup>25</sup>
	0.152	229.74	07/13/20	272.58	42.84 W	0.00	696 of 827 - Sale <sup>25</sup>
	0.237	357.76	07/13/20	423.84	66.08 W	0.00	697 of 827 - Sale <sup>25</sup>
	0.253	381.44	07/13/20	444.00	62.56 W	0.00	698 of 827 - Sale <sup>25</sup>
	0.300	452.33	07/13/20	541.72	89.39 W	0.00	699 of 827 - Sale <sup>25</sup>
	0.305	459.80	07/13/20	544.31	84.51 W	0.00	700 of 827 - Sale <sup>25</sup>
	0.421	634.59	07/13/20	748.89	114.30 W	0.00	701 of 827 - Sale <sup>25</sup>
	0.421	635.27	07/13/20	760.31	125.04 W	0.00	702 of 827 - Sale <sup>25</sup>
	0.460	693.85	07/13/20	825.62	131.77 W	0.00	703 of 827 - Sale <sup>25</sup>
	0.481	724.49	07/13/20	841.80	117.31 W	0.00	704 of 827 - Sale <sup>25</sup>
	0.003	4.67	07/13/20	5.57	0.90 W	0.00	705 of 827 - Sale <sup>25</sup>
	0.084	126.54	07/13/20	150.16	23.62 W	0.00	706 of 827 - Sale <sup>25</sup>
	0.339	511.48	07/13/20	607.13	95.65 W	0.00	707 of 827 - Sale <sup>25</sup>
	0.230	346.69	07/13/20	406.16	59.47 W	0.00	708 of 827 - Sale <sup>25</sup>
	0.230	346.69	07/13/20	405.77	59.08 W	0.00	709 of 827 - Sale <sup>25</sup>
	0.540	814.26	07/13/20	955.71	141.45 W	0.00	710 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.574	3,880.21	07/13/20	4,510.05	629.84 W	0.00	711 of 827 - Sale <sup>25</sup>
	0.000	0.31	07/13/20	0.37	0.06 W	0.00	712 of 827 - Sale <sup>25</sup>
	0.001	1.02	07/13/20	1.21	0.19 W	0.00	713 of 827 - Sale <sup>25</sup>
	0.001	1.41	07/13/20	1.68	0.27 W	0.00	714 of 827 - Sale <sup>25</sup>
	0.002	2.75	07/13/20	3.27	0.52 W	0.00	715 of 827 - Sale <sup>25</sup>
	0.040	62.90	07/13/20	74.40	11.50 W	0.00	716 of 827 - Sale <sup>25</sup>
	0.040	62.90	07/13/20	74.21	11.31 W	0.00	717 of 827 - Sale <sup>25</sup>
	0.047	73.12	07/13/20	86.51	13.39 W	0.00	718 of 827 - Sale <sup>25</sup>
	0.050	78.62	07/13/20	93.06	14.44 W	0.00	719 of 827 - Sale <sup>25</sup>
	0.120	188.68	07/13/20	220.81	32.13 W	0.00	720 of 827 - Sale <sup>25</sup>
	0.003	4.67	07/13/20	5.46	0.79 W	0.00	721 of 827 - Sale <sup>25</sup>
	0.059	93.36	07/13/20	109.12	15.76 W	0.00	722 of 827 - Sale <sup>25</sup>
	0.060	93.58	07/13/20	109.14	15.56 W	0.00	723 of 827 - Sale <sup>25</sup>
	0.006	9.20	07/13/20	10.78	1.58 W	0.00	724 of 827 - Sale <sup>25</sup>
	0.165	260.01	07/13/20	304.48	44.47 W	0.00	725 of 827 - Sale <sup>25</sup>
	0.405	636.70	07/13/20	744.95	108.25 W	0.00	726 of 827 - Sale <sup>25</sup>
	0.000	0.24	07/13/20	0.28	0.04 W	0.00	727 of 827 - Sale <sup>25</sup>
	0.001	1.52	07/13/20	1.78	0.26 W	0.00	728 of 827 - Sale <sup>25</sup>
	0.002	2.75	07/13/20	3.24	0.49 W	0.00	729 of 827 - Sale <sup>25</sup>
	0.002	3.85	07/13/20	4.52	0.67 W	0.00	730 of 827 - Sale <sup>25</sup>
	0.003	4.87	07/13/20	5.74	0.87 W	0.00	731 of 827 - Sale <sup>25</sup>
	0.005	7.61	07/13/20	8.94	1.33 W	0.00	732 of 827 - Sale <sup>25</sup>
	0.005	7.66	07/13/20	9.02	1.36 W	0.00	733 of 827 - Sale <sup>25</sup>
	0.005	7.70	07/13/20	9.05	1.35 W	0.00	734 of 827 - Sale <sup>25</sup>
	0.016	25.53	07/13/20	29.95	4.42 W	0.00	735 of 827 - Sale <sup>25</sup>
	0.034	53.76	07/13/20	63.00	9.24 W	0.00	736 of 827 - Sale <sup>25</sup>
	0.036	56.78	07/13/20	66.67	9.89 W	0.00	737 of 827 - Sale <sup>25</sup>
	0.055	86.74	07/13/20	101.84	15.10 W	0.00	738 of 827 - Sale <sup>25</sup>
	0.080	126.11	07/13/20	148.00	21.89 W	0.00	739 of 827 - Sale <sup>25</sup>
	0.096	151.58	07/13/20	177.83	26.25 W	0.00	740 of 827 - Sale <sup>25</sup>
	0.359	564.05	07/13/20	661.06	97.01 W	0.00	741 of 827 - Sale <sup>25</sup>
	0.070	109.44	07/13/20	128.46	19.02 W	0.00	742 of 827 - Sale <sup>25</sup>
	0.230	360.85	07/13/20	423.15	62.30 W	0.00	743 of 827 - Sale <sup>25</sup>
	0.001	1.41	07/13/20	1.64	0.23 W	0.00	744 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	3.93	07/13/20	4.63	0.70 W	0.00	745 of 827 - Sale <sup>25</sup>
	0.005	7.62	07/13/20	8.92	1.30 W	0.00	746 of 827 - Sale <sup>25</sup>
	0.052	81.71	07/13/20	96.33	14.62 W	0.00	747 of 827 - Sale <sup>25</sup>
	0.132	207.32	07/13/20	245.29	37.97 W	0.00	748 of 827 - Sale <sup>25</sup>
	0.508	798.98	07/13/20	945.33	146.35 W	0.00	749 of 827 - Sale <sup>25</sup>
	0.003	4.16	07/13/20	4.92	0.76 W	0.00	750 of 827 - Sale <sup>25</sup>
	0.096	151.29	07/13/20	178.10	26.81 W	0.00	751 of 827 - Sale <sup>25</sup>
	0.123	193.82	07/13/20	228.34	34.52 W	0.00	752 of 827 - Sale <sup>25</sup>
	0.177	277.33	07/13/20	327.52	50.19 W	0.00	753 of 827 - Sale <sup>25</sup>
	0.299	470.29	07/13/20	554.88	84.59 W	0.00	754 of 827 - Sale <sup>25</sup>
	0.302	474.22	07/13/20	560.31	86.09 W	0.00	755 of 827 - Sale <sup>25</sup>
	0.000	0.71	07/13/20	0.83	0.12 W	0.00	756 of 827 - Sale <sup>25</sup>
	0.000	0.35	07/13/20	0.40	0.05 W	0.00	757 of 827 - Sale <sup>25</sup>
	0.000	0.39	07/13/20	0.45	0.06 W	0.00	758 of 827 - Sale <sup>25</sup>
	0.000	0.71	07/13/20	0.81	0.10 W	0.00	759 of 827 - Sale <sup>25</sup>
	0.003	4.87	07/13/20	5.70	0.83 W	0.00	760 of 827 - Sale <sup>25</sup>
	0.004	6.21	07/13/20	7.17	0.96 W	0.00	761 of 827 - Sale <sup>25</sup>
	0.007	11.08	07/13/20	12.88	1.80 W	0.00	762 of 827 - Sale <sup>25</sup>
	0.014	21.63	07/13/20	25.24	3.61 W	0.00	763 of 827 - Sale <sup>25</sup>
	0.065	102.46	07/13/20	118.14	15.68 W	0.00	764 of 827 - Sale <sup>25</sup>
	0.165	258.86	07/13/20	298.55	39.69 W	0.00	765 of 827 - Sale <sup>25</sup>
	0.230	360.73	07/13/20	415.94	55.21 W	0.00	766 of 827 - Sale <sup>25</sup>
	0.230	360.97	07/13/20	420.57	59.60 W	0.00	767 of 827 - Sale <sup>25</sup>
	0.282	443.15	07/13/20	517.73	74.58 W	0.00	768 of 827 - Sale <sup>25</sup>
	0.001	1.51	07/13/20	1.78	0.27 W	0.00	769 of 827 - Sale <sup>25</sup>
	0.002	3.11	07/13/20	3.63	0.52 W	0.00	770 of 827 - Sale <sup>25</sup>
	0.002	3.76	07/13/20	4.39	0.63 W	0.00	771 of 827 - Sale <sup>25</sup>
	0.002	3.78	07/13/20	4.40	0.62 W	0.00	772 of 827 - Sale <sup>25</sup>
	0.002	3.78	07/13/20	4.38	0.60 W	0.00	773 of 827 - Sale <sup>25</sup>
	0.003	4.16	07/13/20	4.87	0.71 W	0.00	774 of 827 - Sale <sup>25</sup>
	0.003	4.36	07/13/20	5.07	0.71 W	0.00	775 of 827 - Sale <sup>25</sup>
	0.003	4.87	07/13/20	5.70	0.83 W	0.00	776 of 827 - Sale <sup>25</sup>
	0.003	4.87	07/13/20	5.70	0.83 W	0.00	777 of 827 - Sale <sup>25</sup>
	0.003	4.87	07/13/20	5.75	0.88 W	0.00	778 of 827 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.004	6.84	07/13/20	8.10	1.26 W	0.00	779 of 827 - Sale <sup>25</sup>
	0.004	6.92	07/13/20	8.17	1.25 W	0.00	780 of 827 - Sale <sup>25</sup>
	0.005	7.54	07/13/20	8.77	1.23 W	0.00	781 of 827 - Sale <sup>25</sup>
	0.010	15.07	07/13/20	17.57	2.50 W	0.00	782 of 827 - Sale <sup>25</sup>
	0.010	15.10	07/13/20	17.58	2.48 W	0.00	783 of 827 - Sale <sup>25</sup>
	0.012	18.07	07/13/20	21.35	3.28 W	0.00	784 of 827 - Sale <sup>25</sup>
	0.016	25.33	07/13/20	29.35	4.02 W	0.00	785 of 827 - Sale <sup>25</sup>
	0.017	26.02	07/13/20	30.28	4.26 W	0.00	786 of 827 - Sale <sup>25</sup>
	0.017	26.80	07/13/20	31.70	4.90 W	0.00	787 of 827 - Sale <sup>25</sup>
	0.019	30.01	07/13/20	34.83	4.82 W	0.00	788 of 827 - Sale <sup>25</sup>
	0.022	33.93	07/13/20	39.46	5.53 W	0.00	789 of 827 - Sale <sup>25</sup>
	0.023	36.19	07/13/20	42.84	6.65 W	0.00	790 of 827 - Sale <sup>25</sup>
	0.026	41.07	07/13/20	47.73	6.66 W	0.00	791 of 827 - Sale <sup>25</sup>
	0.031	48.89	07/13/20	56.90	8.01 W	0.00	792 of 827 - Sale <sup>25</sup>
	0.033	52.45	07/13/20	61.17	8.72 W	0.00	793 of 827 - Sale <sup>25</sup>
	0.043	67.77	07/13/20	80.08	12.31 W	0.00	794 of 827 - Sale <sup>25</sup>
	0.048	75.00	07/13/20	87.18	12.18 W	0.00	795 of 827 - Sale <sup>25</sup>
	0.055	87.02	07/13/20	101.17	14.15 W	0.00	796 of 827 - Sale <sup>25</sup>
	0.057	89.36	07/13/20	105.31	15.95 W	0.00	797 of 827 - Sale <sup>25</sup>
	0.057	89.36	07/13/20	105.50	16.14 W	0.00	798 of 827 - Sale <sup>25</sup>
	0.074	115.81	07/13/20	133.28	17.47 W	0.00	799 of 827 - Sale <sup>25</sup>
	0.084	131.88	07/13/20	154.88	23.00 W	0.00	800 of 827 - Sale <sup>25</sup>
	0.095	149.83	07/13/20	174.46	24.63 W	0.00	801 of 827 - Sale <sup>25</sup>
	0.100	157.13	07/13/20	186.08	28.95 W	0.00	802 of 827 - Sale <sup>25</sup>
	0.100	157.13	07/13/20	183.99	26.86 W	0.00	803 of 827 - Sale <sup>25</sup>
	0.151	237.28	07/13/20	276.32	39.04 W	0.00	804 of 827 - Sale <sup>25</sup>
	0.152	239.42	07/13/20	281.12	41.70 W	0.00	805 of 827 - Sale <sup>25</sup>
	0.173	272.05	07/13/20	318.51	46.46 W	0.00	806 of 827 - Sale <sup>25</sup>
	0.186	292.85	07/13/20	338.66	45.81 W	0.00	807 of 827 - Sale <sup>25</sup>
	0.191	299.62	07/13/20	349.31	49.69 W	0.00	808 of 827 - Sale <sup>25</sup>
	0.218	343.25	07/13/20	405.57	62.32 W	0.00	809 of 827 - Sale <sup>25</sup>
	0.226	354.41	07/13/20	418.65	64.24 W	0.00	810 of 827 - Sale <sup>25</sup>
	0.226	354.48	07/13/20	408.50	54.02 W	0.00	811 of 827 - Sale <sup>25</sup>
	0.237	372.83	07/13/20	437.13	64.30 W	0.00	812 of 827 - Sale <sup>25</sup>

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(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.253	397.51	07/13/20	458.18	60.67 W	0.00	813 of 827 - Sale <sup>25</sup>
	0.300	471.38	07/13/20	558.53	87.15 W	0.00	814 of 827 - Sale <sup>25</sup>
	0.305	479.16	07/13/20	561.39	82.23 W	0.00	815 of 827 - Sale <sup>25</sup>
	0.339	533.06	07/13/20	626.17	93.11 W	0.00	816 of 827 - Sale <sup>25</sup>
	0.421	661.33	07/13/20	772.48	111.15 W	0.00	817 of 827 - Sale <sup>25</sup>
	0.421	662.03	07/13/20	783.92	121.89 W	0.00	818 of 827 - Sale <sup>25</sup>
	0.460	723.07	07/13/20	851.40	128.33 W	0.00	819 of 827 - Sale <sup>25</sup>
	0.481	755.01	07/13/20	868.72	113.71 W	0.00	820 of 827 - Sale <sup>25</sup>
	0.230	361.32	07/13/20	419.07	57.75 W	0.00	821 of 827 - Sale <sup>25</sup>
	0.230	361.32	07/13/20	418.68	57.36 W	0.00	822 of 827 - Sale <sup>25</sup>
	0.382	600.91	07/13/20	653.42	52.51 W	0.00	823 of 827 - Sale <sup>25</sup>
	0.540	848.62	07/13/20	986.03	137.41 W	0.00	824 of 827 - Sale <sup>25</sup>
	1.191	1,871.80	07/13/20	2,154.42	282.62 W	0.00	825 of 827 - Sale <sup>25</sup>
	0.500	785.63	07/13/20	915.89	130.26 W	0.00	826 of 827 - Sale <sup>25</sup>
	0.300	471.38	07/13/20	550.12	78.74 W	0.00	827 of 827 - Sale <sup>25</sup>
07/13/20	105.014	173,382.26	Various	187,903.63	14,422.91 W	-98.46	Total of 827 transactions
	<b>Security total:</b>	<b>1,205,460.90</b>		<b>1,242,739.91</b>	<b>36,440.53 W</b>	<b>-838.48</b>	

TWILIO INC. / CUSIP: 90138F102 / Symbol:

12 transactions for 05/15/20. Total proceeds and cost reported to the IRS.

	1.000	189.89	05/07/20	172.20	...	17.69	1 of 12 - Sale <sup>25</sup>
	1.000	189.89	05/07/20	171.00	...	18.89	2 of 12 - Sale <sup>25</sup>
	1.000	189.88	05/07/20	170.50	...	19.38	3 of 12 - Sale <sup>25</sup>
	2.000	379.77	05/07/20	340.10	...	39.67	4 of 12 - Sale <sup>25</sup>
	5.000	949.43	05/07/20	864.45	...	84.98	5 of 12 - Sale <sup>25</sup>
	5.000	949.43	05/07/20	854.45	...	94.98	6 of 12 - Sale <sup>25</sup>
	1.000	189.88	05/08/20	178.00	...	11.88	7 of 12 - Sale <sup>25</sup>
	1.000	189.89	05/08/20	177.50	...	12.39	8 of 12 - Sale <sup>25</sup>
	1.000	189.88	05/08/20	167.90	...	21.98	9 of 12 - Sale <sup>25</sup>
	1.000	189.89	05/08/20	166.00	...	23.89	10 of 12 - Sale <sup>25</sup>
	1.000	189.89	05/08/20	165.90	...	23.99	11 of 12 - Sale <sup>25</sup>
	1.000	189.88	05/15/20	189.50	...	0.38	12 of 12 - Sale <sup>25</sup>
05/15/20	21.000	3,987.60	Various	3,617.50	...	370.10	Total of 12 transactions

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
8 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	5.000	948.21	05/15/20	952.50	4.29 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	948.21	05/15/20	952.50	4.29 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	948.21	05/15/20	952.25	4.04 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	379.28	05/15/20	380.88	1.60 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	948.20	05/15/20	952.25	4.05 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	948.21	05/15/20	951.90	3.69 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	948.20	05/15/20	951.90	3.70 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	189.64	05/15/20	190.30	0.66 W	0.00	8 of 8 - Sale <sup>25</sup>
05/15/20	33.000	6,258.16	Various	6,284.48	26.32 W	0.00	Total of 8 transactions
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	5.000	918.53	05/18/20	928.33	9.80 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	918.53	05/18/20	928.33	9.80 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	183.70	05/18/20	185.07	1.37 W	0.00	3 of 3 - Sale <sup>25</sup>
05/18/20	11.000	2,020.76	Various	2,041.73	20.97 W	0.00	Total of 3 transactions
4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	208.60	05/22/20	207.26	...	1.34	1 of 4 - Sale <sup>25</sup>
	2.000	417.21	05/22/20	414.94	...	2.27	2 of 4 - Sale <sup>25</sup>
	4.000	834.42	05/22/20	829.92	...	4.50	3 of 4 - Sale <sup>25</sup>
	4.000	834.42	05/22/20	829.91	...	4.51	4 of 4 - Sale <sup>25</sup>
05/22/20	11.000	2,294.65	Various	2,282.03	...	12.62	Total of 4 transactions
11 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	1,043.08	05/26/20	1,058.44	15.36 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	1,043.07	05/26/20	1,058.45	15.38 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	197.89	05/26/20	200.07	2.18 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	197.89	05/26/20	201.37	3.48 W	0.00	4 of 11 - Sale <sup>25</sup>
	4.000	787.83	05/26/20	805.48	17.65 W	0.00	5 of 11 - Sale <sup>25</sup>
	4.000	787.83	05/26/20	805.48	17.65 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	196.96	05/26/20	199.59	2.63 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	196.96	05/26/20	198.99	2.03 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	196.96	05/26/20	200.43	3.47 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	196.96	05/26/20	200.25	3.29 W	0.00	10 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
05/26/20	1.000	196.95	05/26/20	200.26	3.31 W	0.00	11 of 11 - Sale <sup>25</sup>
	25.000	5,042.38	Various	5,128.81	86.43 W	0.00	Total of 11 transactions
	5 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	1.000	187.25	05/26/20	198.88	11.63 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	187.25	05/26/20	200.18	12.93 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	187.25	05/26/20	201.11	13.86 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	374.50	05/26/20	399.55	25.05 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	936.26	05/26/20	998.88	62.62 W	0.00	5 of 5 - Sale <sup>25</sup>
05/27/20	10.000	1,872.51	Various	1,998.60	126.09 W	0.00	Total of 5 transactions
	21 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	5.000	977.12	05/28/20	976.75	...	0.37	1 of 21 - Sale <sup>25</sup>
	1.000	195.43	05/28/20	195.06	...	0.37	2 of 21 - Sale <sup>25</sup>
	1.000	195.43	05/28/20	195.00	...	0.43	3 of 21 - Sale <sup>25</sup>
	1.000	195.42	05/28/20	194.95	...	0.47	4 of 21 - Sale <sup>25</sup>
	1.000	195.42	05/28/20	194.89	...	0.53	5 of 21 - Sale <sup>25</sup>
	1.000	196.81	05/28/20	196.25	...	0.56	6 of 21 - Sale <sup>25</sup>
	1.000	196.81	05/28/20	196.17	...	0.64	7 of 21 - Sale <sup>25</sup>
	1.000	196.81	05/28/20	196.02	...	0.79	8 of 21 - Sale <sup>25</sup>
	1.000	196.81	05/28/20	196.02	...	0.79	9 of 21 - Sale <sup>25</sup>
	1.000	196.81	05/28/20	195.62	...	1.19	10 of 21 - Sale <sup>25</sup>
	1.000	196.81	05/28/20	195.20	...	1.61	11 of 21 - Sale <sup>25</sup>
	1.000	196.81	05/28/20	195.19	...	1.62	12 of 21 - Sale <sup>25</sup>
	10.000	1,968.15	05/28/20	1,963.50	...	4.65	13 of 21 - Sale <sup>25</sup>
	9.000	1,771.33	05/28/20	1,765.48	...	5.85	14 of 21 - Sale <sup>25</sup>
	4.000	787.26	05/28/20	781.40	...	5.86	15 of 21 - Sale <sup>25</sup>
	20.000	3,854.56	05/28/20	3,847.40	...	7.16	16 of 21 - Sale <sup>25</sup>
	9.000	1,771.33	05/28/20	1,764.13	...	7.20	17 of 21 - Sale <sup>25</sup>
	10.000	1,968.14	05/28/20	1,959.75	...	8.39	18 of 21 - Sale <sup>25</sup>
	8.000	1,563.40	05/28/20	1,554.88	...	8.52	19 of 21 - Sale <sup>25</sup>
	9.000	1,771.33	05/28/20	1,760.63	...	10.70	20 of 21 - Sale <sup>25</sup>
	20.000	3,936.28	05/28/20	3,924.50	...	11.78	21 of 21 - Sale <sup>25</sup>
05/28/20	115.000	22,528.27	Various	22,448.79	...	79.48	Total of 21 transactions

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
560 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
1.000		195.43	05/28/20	197.35	1.92 W	0.00	1 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	196.75	1.32 W	0.00	2 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	198.20	2.77 W	0.00	3 of 560 - Sale <sup>25</sup>
3.000		586.28	05/28/20	597.41	11.13 W	0.00	4 of 560 - Sale <sup>25</sup>
4.000		781.70	05/28/20	796.54	14.84 W	0.00	5 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	197.71	2.28 W	0.00	6 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	206.03	10.60 W	0.00	7 of 560 - Sale <sup>25</sup>
2.000		390.85	05/28/20	413.85	23.00 W	0.00	8 of 560 - Sale <sup>25</sup>
5.000		977.13	05/28/20	1,034.62	57.49 W	0.00	9 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	197.69	2.26 W	0.00	10 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	207.29	11.86 W	0.00	11 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	208.22	12.79 W	0.00	12 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	195.45	0.02 W	0.00	13 of 560 - Sale <sup>25</sup>
9.000		1,758.82	05/28/20	1,759.05	0.23 W	0.00	14 of 560 - Sale <sup>25</sup>
50.000		9,771.25	05/28/20	9,802.50	31.25 W	0.00	15 of 560 - Sale <sup>25</sup>
1.000		195.43	05/28/20	196.10	0.67 W	0.00	16 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	198.36	1.55 W	0.00	17 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	198.26	1.45 W	0.00	18 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	199.31	2.50 W	0.00	19 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	206.20	9.39 W	0.00	20 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	207.46	10.65 W	0.00	21 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	208.39	11.58 W	0.00	22 of 560 - Sale <sup>25</sup>
2.000		393.63	05/28/20	414.20	20.57 W	0.00	23 of 560 - Sale <sup>25</sup>
5.000		984.07	05/28/20	1,035.49	51.42 W	0.00	24 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	197.52	0.71 W	0.00	25 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	196.92	0.11 W	0.00	26 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	198.37	1.56 W	0.00	27 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	197.88	1.07 W	0.00	28 of 560 - Sale <sup>25</sup>
1.000		196.81	05/28/20	197.86	1.05 W	0.00	29 of 560 - Sale <sup>25</sup>
4.000		787.25	05/28/20	797.24	9.99 W	0.00	30 of 560 - Sale <sup>25</sup>
1.000		195.87	05/28/20	198.76	2.89 W	0.00	31 of 560 - Sale <sup>25</sup>
2.000		391.73	05/28/20	414.99	23.26 W	0.00	32 of 560 - Sale <sup>25</sup>
1.000		195.87	05/28/20	198.66	2.79 W	0.00	33 of 560 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	195.87	05/28/20	199.71	3.84 W	0.00	34 of 560 - Sale <sup>25</sup>
	5.000	979.33	05/28/20	1,037.47	58.14 W	0.00	35 of 560 - Sale <sup>25</sup>
	1.000	195.87	05/28/20	206.60	10.73 W	0.00	36 of 560 - Sale <sup>25</sup>
	1.000	195.86	05/28/20	207.86	12.00 W	0.00	37 of 560 - Sale <sup>25</sup>
	1.000	195.87	05/28/20	208.79	12.92 W	0.00	38 of 560 - Sale <sup>25</sup>
	1.000	195.86	05/28/20	197.92	2.06 W	0.00	39 of 560 - Sale <sup>25</sup>
	1.000	195.87	05/28/20	197.32	1.45 W	0.00	40 of 560 - Sale <sup>25</sup>
	1.000	195.86	05/28/20	198.77	2.91 W	0.00	41 of 560 - Sale <sup>25</sup>
	4.000	783.46	05/28/20	798.83	15.37 W	0.00	42 of 560 - Sale <sup>25</sup>
	1.000	195.87	05/28/20	198.28	2.41 W	0.00	43 of 560 - Sale <sup>25</sup>
	1.000	195.86	05/28/20	198.26	2.40 W	0.00	44 of 560 - Sale <sup>25</sup>
	1.000	195.87	05/28/20	200.10	4.23 W	0.00	45 of 560 - Sale <sup>25</sup>
	2.000	391.73	05/28/20	394.42	2.69 W	0.00	46 of 560 - Sale <sup>25</sup>
	5.000	979.32	05/28/20	983.65	4.33 W	0.00	47 of 560 - Sale <sup>25</sup>
	1.000	195.20	05/28/20	208.16	12.96 W	0.00	48 of 560 - Sale <sup>25</sup>
	1.000	195.20	05/28/20	206.66	11.46 W	0.00	49 of 560 - Sale <sup>25</sup>
	4.000	780.78	05/28/20	830.23	49.45 W	0.00	50 of 560 - Sale <sup>25</sup>
	1.000	195.20	05/28/20	208.16	12.96 W	0.00	51 of 560 - Sale <sup>25</sup>
	1.000	195.20	05/28/20	208.16	12.96 W	0.00	52 of 560 - Sale <sup>25</sup>
	1.000	195.19	05/28/20	199.32	4.13 W	0.00	53 of 560 - Sale <sup>25</sup>
	1.000	195.19	05/28/20	200.37	5.18 W	0.00	54 of 560 - Sale <sup>25</sup>
	1.000	195.61	05/28/20	208.00	12.39 W	0.00	55 of 560 - Sale <sup>25</sup>
	1.000	195.24	05/28/20	208.92	13.68 W	0.00	56 of 560 - Sale <sup>25</sup>
	4.000	780.98	05/28/20	799.37	18.39 W	0.00	57 of 560 - Sale <sup>25</sup>
	1.000	195.24	05/28/20	198.06	2.82 W	0.00	58 of 560 - Sale <sup>25</sup>
	1.000	195.25	05/28/20	197.45	2.20 W	0.00	59 of 560 - Sale <sup>25</sup>
	1.000	195.24	05/28/20	198.91	3.67 W	0.00	60 of 560 - Sale <sup>25</sup>
	1.000	195.25	05/28/20	198.41	3.16 W	0.00	61 of 560 - Sale <sup>25</sup>
	1.000	195.24	05/28/20	198.26	3.02 W	0.00	62 of 560 - Sale <sup>25</sup>
	1.000	194.95	05/28/20	200.09	5.14 W	0.00	63 of 560 - Sale <sup>25</sup>
	1.000	195.00	05/28/20	197.21	2.21 W	0.00	64 of 560 - Sale <sup>25</sup>
	1.000	195.05	05/28/20	197.20	2.15 W	0.00	65 of 560 - Sale <sup>25</sup>
	1.000	195.11	05/28/20	196.73	1.62 W	0.00	66 of 560 - Sale <sup>25</sup>
	1.000	195.15	05/28/20	196.94	1.79 W	0.00	67 of 560 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	194.00	05/28/20	196.82	2.82 W	0.00	68 of 560 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	196.72	2.72 W	0.00	69 of 560 - Sale <sup>25</sup>
	1.000	193.35	05/28/20	197.11	3.76 W	0.00	70 of 560 - Sale <sup>25</sup>
	1.000	193.85	05/28/20	209.21	15.36 W	0.00	71 of 560 - Sale <sup>25</sup>
	1.000	193.93	05/28/20	209.21	15.28 W	0.00	72 of 560 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	209.21	15.21 W	0.00	73 of 560 - Sale <sup>25</sup>
	1.000	194.12	05/28/20	200.38	6.26 W	0.00	74 of 560 - Sale <sup>25</sup>
	1.000	194.12	05/28/20	201.20	7.08 W	0.00	75 of 560 - Sale <sup>25</sup>
	4.000	771.78	05/28/20	833.53	61.75 W	0.00	76 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	200.37	7.42 W	0.00	77 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	207.31	14.36 W	0.00	78 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	208.24	15.29 W	0.00	79 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	209.53	16.58 W	0.00	80 of 560 - Sale <sup>25</sup>
	2.000	385.89	05/28/20	400.90	15.01 W	0.00	81 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	200.34	7.39 W	0.00	82 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	198.57	5.62 W	0.00	83 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	198.76	5.82 W	0.00	84 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	198.62	5.67 W	0.00	85 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	200.74	7.80 W	0.00	86 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	197.81	4.86 W	0.00	87 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	197.75	4.80 W	0.00	88 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	197.81	4.87 W	0.00	89 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	199.28	6.33 W	0.00	90 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	197.20	4.26 W	0.00	91 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	197.37	4.42 W	0.00	92 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	198.40	5.46 W	0.00	93 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	198.30	5.35 W	0.00	94 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	199.34	6.40 W	0.00	95 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	211.31	18.36 W	0.00	96 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	211.23	18.29 W	0.00	97 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	211.16	18.21 W	0.00	98 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	202.21	9.27 W	0.00	99 of 560 - Sale <sup>25</sup>
	1.000	192.95	05/28/20	203.03	10.08 W	0.00	100 of 560 - Sale <sup>25</sup>
	15.000	2,894.17	05/28/20	2,939.25	45.08 W	0.00	101 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	192.95	05/28/20	195.77	2.82 W	0.00	102 of 560 - Sale <sup>25</sup>
	2.000	385.89	05/28/20	391.54	5.65 W	0.00	103 of 560 - Sale <sup>25</sup>
	1.000	192.94	05/28/20	195.80	2.86 W	0.00	104 of 560 - Sale <sup>25</sup>
	2.000	385.89	05/28/20	391.60	5.71 W	0.00	105 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	211.24	18.23 W	0.00	106 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	211.24	18.23 W	0.00	107 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	210.89	17.88 W	0.00	108 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	210.88	17.88 W	0.00	109 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	209.81	16.80 W	0.00	110 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	210.74	17.74 W	0.00	111 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	212.03	19.03 W	0.00	112 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	201.58	8.57 W	0.00	113 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	202.86	9.85 W	0.00	114 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	202.73	9.72 W	0.00	115 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	202.70	9.70 W	0.00	116 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	200.93	7.92 W	0.00	117 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	200.18	7.18 W	0.00	118 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	202.80	9.80 W	0.00	119 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	200.52	7.52 W	0.00	120 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	200.37	7.36 W	0.00	121 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	202.50	9.50 W	0.00	122 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	200.00	6.99 W	0.00	123 of 560 - Sale <sup>25</sup>
	1.000	193.00	05/28/20	199.75	6.75 W	0.00	124 of 560 - Sale <sup>25</sup>
	1.000	193.01	05/28/20	199.37	6.36 W	0.00	125 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	199.53	6.55 W	0.00	126 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	213.47	20.49 W	0.00	127 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	213.40	20.42 W	0.00	128 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	213.32	20.34 W	0.00	129 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	200.57	7.59 W	0.00	130 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	200.46	7.48 W	0.00	131 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	201.51	8.53 W	0.00	132 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	204.38	11.40 W	0.00	133 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	205.19	12.21 W	0.00	134 of 560 - Sale <sup>25</sup>
	1.000	192.98	05/28/20	198.12	5.14 W	0.00	135 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	192.98	05/28/20	198.36	5.38 W	0.00	136 of 560 - Sale <sup>25</sup>
	5.723	1,104.51	05/28/20	1,135.27	30.76 W	0.00	137 of 560 - Sale <sup>25</sup>
	3.277	633.83	05/28/20	649.92	16.09 W	0.00	138 of 560 - Sale <sup>25</sup>
	1.000	193.44	05/28/20	198.36	4.92 W	0.00	139 of 560 - Sale <sup>25</sup>
	0.723	139.94	05/28/20	143.49	3.55 W	0.00	140 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	198.35	4.55 W	0.00	141 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	198.17	4.37 W	0.00	142 of 560 - Sale <sup>25</sup>
	1.277	247.40	05/28/20	253.22	5.82 W	0.00	143 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	198.18	4.38 W	0.00	144 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	198.07	4.27 W	0.00	145 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	198.11	4.31 W	0.00	146 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	213.34	19.54 W	0.00	147 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	213.34	19.54 W	0.00	148 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	212.99	19.19 W	0.00	149 of 560 - Sale <sup>25</sup>
	2.000	387.59	05/28/20	395.93	8.34 W	0.00	150 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	212.68	18.89 W	0.00	151 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	211.60	17.80 W	0.00	152 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	212.49	18.70 W	0.00	153 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	213.78	19.98 W	0.00	154 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	204.60	10.81 W	0.00	155 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	204.55	10.75 W	0.00	156 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	204.47	10.68 W	0.00	157 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	204.45	10.65 W	0.00	158 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	202.67	8.88 W	0.00	159 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	201.93	8.13 W	0.00	160 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	203.32	9.53 W	0.00	161 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	202.27	8.47 W	0.00	162 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	201.86	8.07 W	0.00	163 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	204.10	10.30 W	0.00	164 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	202.05	8.26 W	0.00	165 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	201.81	8.01 W	0.00	166 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	201.35	7.56 W	0.00	167 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	201.53	7.73 W	0.00	168 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	215.44	21.65 W	0.00	169 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	193.80	05/28/20	214.92	21.12 W	0.00	170 of 560 - Sale <sup>25</sup>
	0.688	133.38	05/28/20	147.19	13.81 W	0.00	171 of 560 - Sale <sup>25</sup>
	0.035	6.82	05/28/20	7.53	0.71 W	0.00	172 of 560 - Sale <sup>25</sup>
	0.277	53.60	05/28/20	59.11	5.51 W	0.00	173 of 560 - Sale <sup>25</sup>
	0.723	140.19	05/28/20	148.74	8.55 W	0.00	174 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	200.99	7.20 W	0.00	175 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	200.88	7.09 W	0.00	176 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	201.93	8.13 W	0.00	177 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	204.80	11.01 W	0.00	178 of 560 - Sale <sup>25</sup>
	0.277	53.60	05/28/20	56.94	3.34 W	0.00	179 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	198.81	5.01 W	0.00	180 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	199.05	5.25 W	0.00	181 of 560 - Sale <sup>25</sup>
	2.000	387.59	05/28/20	397.15	9.56 W	0.00	182 of 560 - Sale <sup>25</sup>
	5.723	1,109.17	05/28/20	1,139.20	30.03 W	0.00	183 of 560 - Sale <sup>25</sup>
	1.000	193.79	05/28/20	198.55	4.76 W	0.00	184 of 560 - Sale <sup>25</sup>
	0.277	53.60	05/28/20	54.92	1.32 W	0.00	185 of 560 - Sale <sup>25</sup>
	0.723	140.19	05/28/20	143.63	3.44 W	0.00	186 of 560 - Sale <sup>25</sup>
	1.000	193.80	05/28/20	198.56	4.76 W	0.00	187 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	213.18	19.29 W	0.00	188 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	213.18	19.29 W	0.00	189 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	212.83	18.94 W	0.00	190 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	212.53	18.65 W	0.00	191 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	211.44	17.55 W	0.00	192 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	212.34	18.46 W	0.00	193 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	213.62	19.73 W	0.00	194 of 560 - Sale <sup>25</sup>
	0.723	140.26	05/28/20	147.86	7.60 W	0.00	195 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	198.19	4.31 W	0.00	196 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	198.01	4.13 W	0.00	197 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	198.02	4.13 W	0.00	198 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	197.91	4.03 W	0.00	199 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	197.95	4.06 W	0.00	200 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	204.45	10.57 W	0.00	201 of 560 - Sale <sup>25</sup>
	1.277	247.51	05/28/20	253.02	5.51 W	0.00	202 of 560 - Sale <sup>25</sup>
	2.000	387.77	05/28/20	395.62	7.85 W	0.00	203 of 560 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.035	6.82	05/28/20	7.53	0.71 W	0.00	204 of 560 - Sale <sup>25</sup>
	0.277	53.63	05/28/20	59.10	5.47 W	0.00	205 of 560 - Sale <sup>25</sup>
	0.688	133.43	05/28/20	147.15	13.72 W	0.00	206 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	215.40	21.51 W	0.00	207 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	214.87	20.98 W	0.00	208 of 560 - Sale <sup>25</sup>
	0.277	53.63	05/28/20	56.56	2.93 W	0.00	209 of 560 - Sale <sup>25</sup>
	0.723	140.26	05/28/20	147.89	7.63 W	0.00	210 of 560 - Sale <sup>25</sup>
	0.277	53.63	05/28/20	56.93	3.30 W	0.00	211 of 560 - Sale <sup>25</sup>
	0.723	140.26	05/28/20	148.71	8.45 W	0.00	212 of 560 - Sale <sup>25</sup>
	0.277	53.62	05/28/20	56.54	2.92 W	0.00	213 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	204.40	10.51 W	0.00	214 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	202.63	8.74 W	0.00	215 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	201.88	8.00 W	0.00	216 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	203.28	9.39 W	0.00	217 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	202.22	8.34 W	0.00	218 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	201.82	7.93 W	0.00	219 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	204.05	10.17 W	0.00	220 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	202.01	8.12 W	0.00	221 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	201.76	7.88 W	0.00	222 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	201.31	7.42 W	0.00	223 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	201.48	7.60 W	0.00	224 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	200.95	7.06 W	0.00	225 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	200.84	6.96 W	0.00	226 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	201.88	7.99 W	0.00	227 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	204.76	10.88 W	0.00	228 of 560 - Sale <sup>25</sup>
	1.000	193.88	05/28/20	198.76	4.88 W	0.00	229 of 560 - Sale <sup>25</sup>
	1.000	193.89	05/28/20	199.00	5.11 W	0.00	230 of 560 - Sale <sup>25</sup>
	0.723	140.26	05/28/20	143.96	3.70 W	0.00	231 of 560 - Sale <sup>25</sup>
	1.000	193.31	05/28/20	199.00	5.69 W	0.00	232 of 560 - Sale <sup>25</sup>
	1.000	193.31	05/28/20	198.99	5.68 W	0.00	233 of 560 - Sale <sup>25</sup>
	1.000	193.30	05/28/20	199.07	5.77 W	0.00	234 of 560 - Sale <sup>25</sup>
	1.000	193.31	05/28/20	213.11	19.80 W	0.00	235 of 560 - Sale <sup>25</sup>
	1.000	193.30	05/28/20	213.11	19.81 W	0.00	236 of 560 - Sale <sup>25</sup>
	1.000	193.11	05/28/20	198.58	5.47 W	0.00	237 of 560 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	2.000	386.21	05/28/20	398.13	11.92 W	0.00	238 of 560 - Sale <sup>25</sup>
	2.000	386.21	05/28/20	397.20	10.99 W	0.00	239 of 560 - Sale <sup>25</sup>
	0.277	53.42	05/28/20	54.93	1.51 W	0.00	240 of 560 - Sale <sup>25</sup>
	0.723	139.73	05/28/20	143.66	3.93 W	0.00	241 of 560 - Sale <sup>25</sup>
	0.723	139.73	05/28/20	143.65	3.92 W	0.00	242 of 560 - Sale <sup>25</sup>
	0.277	53.42	05/28/20	54.92	1.50 W	0.00	243 of 560 - Sale <sup>25</sup>
	1.000	193.15	05/28/20	212.94	19.79 W	0.00	244 of 560 - Sale <sup>25</sup>
	1.000	193.14	05/28/20	212.75	19.61 W	0.00	245 of 560 - Sale <sup>25</sup>
	1.000	193.15	05/28/20	211.65	18.50 W	0.00	246 of 560 - Sale <sup>25</sup>
	1.000	193.14	05/28/20	212.56	19.42 W	0.00	247 of 560 - Sale <sup>25</sup>
	1.000	193.15	05/28/20	213.83	20.68 W	0.00	248 of 560 - Sale <sup>25</sup>
	1.000	193.14	05/28/20	198.41	5.27 W	0.00	249 of 560 - Sale <sup>25</sup>
	0.723	139.72	05/28/20	143.40	3.68 W	0.00	250 of 560 - Sale <sup>25</sup>
	1.277	246.57	05/28/20	253.29	6.72 W	0.00	251 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	143.36	4.42 W	0.00	252 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	54.83	1.71 W	0.00	253 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	198.23	6.17 W	0.00	254 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	198.13	6.07 W	0.00	255 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	54.72	1.60 W	0.00	256 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	143.04	4.10 W	0.00	257 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	197.69	5.64 W	0.00	258 of 560 - Sale <sup>25</sup>
	0.035	6.76	05/28/20	7.53	0.77 W	0.00	259 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	59.06	5.94 W	0.00	260 of 560 - Sale <sup>25</sup>
	0.688	132.18	05/28/20	147.08	14.90 W	0.00	261 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	215.28	23.22 W	0.00	262 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	214.75	22.70 W	0.00	263 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	54.68	1.56 W	0.00	264 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	56.52	3.40 W	0.00	265 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	56.51	3.39 W	0.00	266 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	147.78	8.84 W	0.00	267 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	147.81	8.87 W	0.00	268 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	145.21	6.27 W	0.00	269 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	204.34	12.29 W	0.00	270 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	204.28	12.23 W	0.00	271 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	192.05	05/28/20	202.51	10.46 W	0.00	272 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	201.77	9.71 W	0.00	273 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	203.16	11.11 W	0.00	274 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	202.11	10.05 W	0.00	275 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	201.70	9.65 W	0.00	276 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	203.94	11.88 W	0.00	277 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	201.89	9.84 W	0.00	278 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	201.65	9.59 W	0.00	279 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	201.19	9.14 W	0.00	280 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	201.37	9.31 W	0.00	281 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	200.83	8.78 W	0.00	282 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	55.49	2.37 W	0.00	283 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	145.88	6.94 W	0.00	284 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	55.90	2.78 W	0.00	285 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	148.30	9.36 W	0.00	286 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	213.92	21.87 W	0.00	287 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	213.93	21.88 W	0.00	288 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	213.91	21.86 W	0.00	289 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	213.73	21.67 W	0.00	290 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	212.62	20.57 W	0.00	291 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	56.70	3.58 W	0.00	292 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	56.99	3.87 W	0.00	293 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	55.20	2.08 W	0.00	294 of 560 - Sale <sup>25</sup>
	0.277	53.12	05/28/20	55.19	2.07 W	0.00	295 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	148.88	9.94 W	0.00	296 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	144.13	5.19 W	0.00	297 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	144.36	5.42 W	0.00	298 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	144.35	5.41 W	0.00	299 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	199.00	6.95 W	0.00	300 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	199.23	7.17 W	0.00	301 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	199.81	7.76 W	0.00	302 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	199.80	7.75 W	0.00	303 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	199.89	7.83 W	0.00	304 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	199.59	7.54 W	0.00	305 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	2.000	384.11	05/28/20	400.16	16.05 W	0.00	306 of 560 - Sale <sup>25</sup>
	2.000	384.11	05/28/20	399.23	15.12 W	0.00	307 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	213.57	21.52 W	0.00	308 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	214.83	22.78 W	0.00	309 of 560 - Sale <sup>25</sup>
	0.723	138.94	05/28/20	144.13	5.19 W	0.00	310 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	199.42	7.36 W	0.00	311 of 560 - Sale <sup>25</sup>
	1.277	245.17	05/28/20	254.57	9.40 W	0.00	312 of 560 - Sale <sup>25</sup>
	5.000	960.27	05/28/20	970.75	10.48 W	0.00	313 of 560 - Sale <sup>25</sup>
	1.000	192.06	05/28/20	193.70	1.64 W	0.00	314 of 560 - Sale <sup>25</sup>
	4.000	768.22	05/28/20	774.80	6.58 W	0.00	315 of 560 - Sale <sup>25</sup>
	5.000	960.27	05/28/20	968.00	7.73 W	0.00	316 of 560 - Sale <sup>25</sup>
	5.000	960.28	05/28/20	967.34	7.06 W	0.00	317 of 560 - Sale <sup>25</sup>
	1.000	192.05	05/28/20	193.21	1.16 W	0.00	318 of 560 - Sale <sup>25</sup>
	9.000	1,728.50	05/28/20	1,738.89	10.39 W	0.00	319 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.14	2.01 W	0.00	320 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	144.20	5.23 W	0.00	321 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.14	2.01 W	0.00	322 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.03	1.90 W	0.00	323 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	144.16	5.19 W	0.00	324 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	143.84	4.87 W	0.00	325 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	199.24	7.14 W	0.00	326 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	198.81	6.71 W	0.00	327 of 560 - Sale <sup>25</sup>
	0.035	6.76	05/28/20	7.55	0.79 W	0.00	328 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	59.25	6.12 W	0.00	329 of 560 - Sale <sup>25</sup>
	0.688	132.21	05/28/20	147.55	15.34 W	0.00	330 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	215.96	23.86 W	0.00	331 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	215.45	23.35 W	0.00	332 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	54.87	1.74 W	0.00	333 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	148.28	9.31 W	0.00	334 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	205.03	12.93 W	0.00	335 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	56.67	3.54 W	0.00	336 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	148.19	9.22 W	0.00	337 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	56.66	3.53 W	0.00	338 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	147.36	8.39 W	0.00	339 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	192.10	05/28/20	204.82	12.72 W	0.00	340 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	203.05	10.95 W	0.00	341 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	202.30	10.20 W	0.00	342 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	56.38	3.25 W	0.00	343 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	146.70	7.73 W	0.00	344 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	56.08	2.95 W	0.00	345 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	146.40	7.43 W	0.00	346 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.96	2.83 W	0.00	347 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	147.99	9.02 W	0.00	348 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	56.59	3.46 W	0.00	349 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	146.54	7.57 W	0.00	350 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.99	2.86 W	0.00	351 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	146.27	7.30 W	0.00	352 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.96	2.83 W	0.00	353 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	146.04	7.07 W	0.00	354 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.87	2.74 W	0.00	355 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	146.25	7.28 W	0.00	356 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.82	2.69 W	0.00	357 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	145.63	6.66 W	0.00	358 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.63	2.50 W	0.00	359 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	146.25	7.28 W	0.00	360 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.67	2.54 W	0.00	361 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	145.53	6.56 W	0.00	362 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	214.77	22.67 W	0.00	363 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	56.13	3.00 W	0.00	364 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	148.91	9.94 W	0.00	365 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	214.87	22.77 W	0.00	366 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	215.11	23.01 W	0.00	367 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	214.92	22.82 W	0.00	368 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	213.82	21.72 W	0.00	369 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	57.03	3.90 W	0.00	370 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	57.32	4.19 W	0.00	371 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	149.74	10.77 W	0.00	372 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	144.83	5.86 W	0.00	373 of 560 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.277	53.13	05/28/20	55.30	2.17 W	0.00	374 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	144.81	5.84 W	0.00	375 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.36	2.23 W	0.00	376 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	144.81	5.84 W	0.00	377 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	200.76	8.66 W	0.00	378 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	200.75	8.65 W	0.00	379 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	200.83	8.73 W	0.00	380 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	200.68	8.58 W	0.00	381 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.35	2.22 W	0.00	382 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	144.76	5.79 W	0.00	383 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	200.63	8.53 W	0.00	384 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	200.14	8.04 W	0.00	385 of 560 - Sale <sup>25</sup>
	2.000	384.20	05/28/20	400.34	16.14 W	0.00	386 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	214.01	21.91 W	0.00	387 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	215.27	23.17 W	0.00	388 of 560 - Sale <sup>25</sup>
	0.277	53.13	05/28/20	55.31	2.18 W	0.00	389 of 560 - Sale <sup>25</sup>
	0.723	138.97	05/28/20	144.66	5.69 W	0.00	390 of 560 - Sale <sup>25</sup>
	1.000	192.10	05/28/20	199.85	7.75 W	0.00	391 of 560 - Sale <sup>25</sup>
	0.035	6.78	05/28/20	7.56	0.78 W	0.00	392 of 560 - Sale <sup>25</sup>
	0.277	53.30	05/28/20	59.33	6.03 W	0.00	393 of 560 - Sale <sup>25</sup>
	0.688	132.64	05/28/20	147.73	15.09 W	0.00	394 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	216.23	23.50 W	0.00	395 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	215.72	22.99 W	0.00	396 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	215.04	22.31 W	0.00	397 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	215.14	22.41 W	0.00	398 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	215.38	22.65 W	0.00	399 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	215.19	22.46 W	0.00	400 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	214.09	21.36 W	0.00	401 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	214.28	21.55 W	0.00	402 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	215.54	22.81 W	0.00	403 of 560 - Sale <sup>25</sup>
	0.277	53.30	05/28/20	55.22	1.92 W	0.00	404 of 560 - Sale <sup>25</sup>
	0.277	53.30	05/28/20	55.22	1.92 W	0.00	405 of 560 - Sale <sup>25</sup>
	0.277	53.30	05/28/20	55.11	1.81 W	0.00	406 of 560 - Sale <sup>25</sup>
	0.277	53.30	05/28/20	54.95	1.65 W	0.00	407 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
0.277		53.30	05/28/20	56.75	3.45 W	0.00	408 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.74	3.44 W	0.00	409 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.46	3.16 W	0.00	410 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.16	2.86 W	0.00	411 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.04	2.74 W	0.00	412 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.67	3.37 W	0.00	413 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.07	2.77 W	0.00	414 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.04	2.74 W	0.00	415 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.95	2.65 W	0.00	416 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.90	2.60 W	0.00	417 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.75	2.45 W	0.00	418 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.71	2.41 W	0.00	419 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	56.21	2.91 W	0.00	420 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	57.11	3.81 W	0.00	421 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	57.40	4.10 W	0.00	422 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.38	2.08 W	0.00	423 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.44	2.14 W	0.00	424 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.43	2.13 W	0.00	425 of 560 - Sale <sup>25</sup>
0.277		53.30	05/28/20	55.39	2.09 W	0.00	426 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	144.35	4.93 W	0.00	427 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	144.39	4.97 W	0.00	428 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	144.35	4.93 W	0.00	429 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	144.03	4.61 W	0.00	430 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	148.47	9.05 W	0.00	431 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	148.38	8.96 W	0.00	432 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	147.55	8.13 W	0.00	433 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	146.89	7.47 W	0.00	434 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	146.59	7.17 W	0.00	435 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	148.18	8.76 W	0.00	436 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	146.73	7.31 W	0.00	437 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	146.46	7.04 W	0.00	438 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	146.23	6.81 W	0.00	439 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	146.44	7.02 W	0.00	440 of 560 - Sale <sup>25</sup>
0.723		139.42	05/28/20	145.82	6.40 W	0.00	441 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.723	139.42	05/28/20	145.72	6.30 W	0.00	442 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	146.44	7.02 W	0.00	443 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	149.10	9.68 W	0.00	444 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	149.93	10.51 W	0.00	445 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	145.02	5.60 W	0.00	446 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	145.00	5.58 W	0.00	447 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	145.00	5.58 W	0.00	448 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	144.95	5.53 W	0.00	449 of 560 - Sale <sup>25</sup>
	0.723	139.42	05/28/20	144.85	5.43 W	0.00	450 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	194.01	1.28 W	0.00	451 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	193.53	0.80 W	0.00	452 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	199.51	6.78 W	0.00	453 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	199.08	6.35 W	0.00	454 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	205.30	12.57 W	0.00	455 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	205.09	12.36 W	0.00	456 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	203.32	10.59 W	0.00	457 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	202.57	9.84 W	0.00	458 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	201.03	8.30 W	0.00	459 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	201.02	8.29 W	0.00	460 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	201.10	8.37 W	0.00	461 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	200.95	8.22 W	0.00	462 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	200.90	8.17 W	0.00	463 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	200.41	7.68 W	0.00	464 of 560 - Sale <sup>25</sup>
	1.000	192.73	05/28/20	200.12	7.39 W	0.00	465 of 560 - Sale <sup>25</sup>
	1.277	246.03	05/28/20	254.98	8.95 W	0.00	466 of 560 - Sale <sup>25</sup>
	2.000	385.46	05/28/20	400.88	15.42 W	0.00	467 of 560 - Sale <sup>25</sup>
	4.000	770.90	05/28/20	776.06	5.16 W	0.00	468 of 560 - Sale <sup>25</sup>
	5.000	963.63	05/28/20	972.33	8.70 W	0.00	469 of 560 - Sale <sup>25</sup>
	5.000	963.63	05/28/20	969.58	5.95 W	0.00	470 of 560 - Sale <sup>25</sup>
	5.000	963.63	05/28/20	968.91	5.28 W	0.00	471 of 560 - Sale <sup>25</sup>
	9.000	1,734.53	05/28/20	1,741.72	7.19 W	0.00	472 of 560 - Sale <sup>25</sup>
	0.035	6.77	05/28/20	7.54	0.77 W	0.00	473 of 560 - Sale <sup>25</sup>
	0.277	53.23	05/28/20	59.18	5.95 W	0.00	474 of 560 - Sale <sup>25</sup>
	0.688	132.46	05/28/20	147.34	14.88 W	0.00	475 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	192.47	05/28/20	215.66	23.19 W	0.00	476 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	215.15	22.68 W	0.00	477 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	214.47	22.00 W	0.00	478 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	214.57	22.10 W	0.00	479 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	214.81	22.34 W	0.00	480 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	214.62	22.15 W	0.00	481 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	213.52	21.05 W	0.00	482 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	213.71	21.24 W	0.00	483 of 560 - Sale <sup>25</sup>
	1.000	192.46	05/28/20	214.97	22.51 W	0.00	484 of 560 - Sale <sup>25</sup>
	0.277	53.23	05/28/20	55.07	1.84 W	0.00	485 of 560 - Sale <sup>25</sup>
	0.277	53.23	05/28/20	55.07	1.84 W	0.00	486 of 560 - Sale <sup>25</sup>
	0.277	53.23	05/28/20	54.96	1.73 W	0.00	487 of 560 - Sale <sup>25</sup>
	0.277	53.23	05/28/20	54.80	1.57 W	0.00	488 of 560 - Sale <sup>25</sup>
	0.277	53.23	05/28/20	56.60	3.37 W	0.00	489 of 560 - Sale <sup>25</sup>
	0.723	139.23	05/28/20	143.94	4.71 W	0.00	490 of 560 - Sale <sup>25</sup>
	0.723	139.23	05/28/20	143.98	4.75 W	0.00	491 of 560 - Sale <sup>25</sup>
	0.723	139.23	05/28/20	143.94	4.71 W	0.00	492 of 560 - Sale <sup>25</sup>
	0.723	139.23	05/28/20	143.62	4.39 W	0.00	493 of 560 - Sale <sup>25</sup>
	0.723	139.23	05/28/20	148.06	8.83 W	0.00	494 of 560 - Sale <sup>25</sup>
	0.723	139.24	05/28/20	147.97	8.73 W	0.00	495 of 560 - Sale <sup>25</sup>
	1.000	192.46	05/28/20	193.44	0.98 W	0.00	496 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	192.96	0.49 W	0.00	497 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	198.94	6.47 W	0.00	498 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	198.51	6.04 W	0.00	499 of 560 - Sale <sup>25</sup>
	1.000	192.47	05/28/20	204.73	12.26 W	0.00	500 of 560 - Sale <sup>25</sup>
	1.277	245.70	05/28/20	254.26	8.56 W	0.00	501 of 560 - Sale <sup>25</sup>
	4.000	769.86	05/28/20	773.80	3.94 W	0.00	502 of 560 - Sale <sup>25</sup>
	5.000	962.33	05/28/20	969.50	7.17 W	0.00	503 of 560 - Sale <sup>25</sup>
	5.000	962.33	05/28/20	966.75	4.42 W	0.00	504 of 560 - Sale <sup>25</sup>
	5.000	962.32	05/28/20	966.08	3.76 W	0.00	505 of 560 - Sale <sup>25</sup>
	9.000	1,732.18	05/28/20	1,736.63	4.45 W	0.00	506 of 560 - Sale <sup>25</sup>
	0.035	6.72	05/28/20	7.53	0.81 W	0.00	507 of 560 - Sale <sup>25</sup>
	0.277	52.85	05/28/20	59.08	6.23 W	0.00	508 of 560 - Sale <sup>25</sup>
	0.688	131.51	05/28/20	147.09	15.58 W	0.00	509 of 560 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	191.08	05/28/20	215.29	24.21 W	0.00	510 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	214.78	23.70 W	0.00	511 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	214.10	23.02 W	0.00	512 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	214.20	23.12 W	0.00	513 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	214.44	23.36 W	0.00	514 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	214.25	23.17 W	0.00	515 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	213.15	22.07 W	0.00	516 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	213.34	22.26 W	0.00	517 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	214.61	23.53 W	0.00	518 of 560 - Sale <sup>25</sup>
	0.277	52.85	05/28/20	56.57	3.72 W	0.00	519 of 560 - Sale <sup>25</sup>
	0.277	52.85	05/28/20	56.29	3.44 W	0.00	520 of 560 - Sale <sup>25</sup>
	0.723	138.23	05/28/20	147.10	8.87 W	0.00	521 of 560 - Sale <sup>25</sup>
	0.723	138.24	05/28/20	146.44	8.20 W	0.00	522 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	204.46	13.38 W	0.00	523 of 560 - Sale <sup>25</sup>
	1.000	191.08	05/28/20	202.69	11.61 W	0.00	524 of 560 - Sale <sup>25</sup>
	1.000	191.09	05/28/20	201.94	10.85 W	0.00	525 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.99	3.13 W	0.00	526 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.87	3.01 W	0.00	527 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	56.50	3.64 W	0.00	528 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.90	3.04 W	0.00	529 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.87	3.01 W	0.00	530 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.78	2.92 W	0.00	531 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.73	2.87 W	0.00	532 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.58	2.72 W	0.00	533 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.54	2.68 W	0.00	534 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	56.04	3.18 W	0.00	535 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	56.94	4.08 W	0.00	536 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	57.23	4.37 W	0.00	537 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.21	2.35 W	0.00	538 of 560 - Sale <sup>25</sup>
	0.277	52.86	05/28/20	55.27	2.41 W	0.00	539 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	146.14	7.88 W	0.00	540 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	147.73	9.47 W	0.00	541 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	146.28	8.02 W	0.00	542 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	146.01	7.75 W	0.00	543 of 560 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.723	138.26	05/28/20	145.78	7.52 W	0.00	544 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	145.99	7.73 W	0.00	545 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	145.37	7.11 W	0.00	546 of 560 - Sale <sup>25</sup>
	0.723	138.25	05/28/20	145.27	7.02 W	0.00	547 of 560 - Sale <sup>25</sup>
	0.723	138.25	05/28/20	145.99	7.74 W	0.00	548 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	148.65	10.39 W	0.00	549 of 560 - Sale <sup>25</sup>
	0.723	138.25	05/28/20	149.48	11.23 W	0.00	550 of 560 - Sale <sup>25</sup>
	0.723	138.25	05/28/20	144.57	6.32 W	0.00	551 of 560 - Sale <sup>25</sup>
	0.723	138.26	05/28/20	144.55	6.29 W	0.00	552 of 560 - Sale <sup>25</sup>
	0.723	138.25	05/28/20	144.55	6.30 W	0.00	553 of 560 - Sale <sup>25</sup>
	1.000	191.11	05/28/20	200.40	9.29 W	0.00	554 of 560 - Sale <sup>25</sup>
	1.000	191.11	05/28/20	200.39	9.28 W	0.00	555 of 560 - Sale <sup>25</sup>
	1.000	191.12	05/28/20	200.47	9.35 W	0.00	556 of 560 - Sale <sup>25</sup>
	1.000	191.11	05/28/20	200.32	9.21 W	0.00	557 of 560 - Sale <sup>25</sup>
	1.000	191.12	05/28/20	200.27	9.15 W	0.00	558 of 560 - Sale <sup>25</sup>
	1.000	191.11	05/28/20	199.81	8.70 W	0.00	559 of 560 - Sale <sup>25</sup>
	1.000	191.25	05/28/20	199.81	8.56 W	0.00	560 of 560 - Sale <sup>25</sup>
05/28/20	664.723	128,569.73	Various	133,812.07	5,242.34 W	0.00	Total of 560 transactions
	13 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	193.99	05/28/20	193.08	...	0.91	1 of 13 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	193.04	...	0.96	2 of 13 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	192.65	...	1.35	3 of 13 - Sale <sup>25</sup>
	2.000	387.99	05/28/20	386.29	...	1.70	4 of 13 - Sale <sup>25</sup>
	2.000	387.99	05/28/20	386.17	...	1.82	5 of 13 - Sale <sup>25</sup>
	5.000	969.98	05/28/20	967.67	...	2.31	6 of 13 - Sale <sup>25</sup>
	4.000	775.98	05/28/20	772.18	...	3.80	7 of 13 - Sale <sup>25</sup>
	5.000	969.98	05/28/20	964.56	...	5.42	8 of 13 - Sale <sup>25</sup>
	9.000	1,745.95	05/28/20	1,733.89	...	12.06	9 of 13 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	213.30	...	-18.00	10 of 13 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	204.11	...	-8.81	11 of 13 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	195.10	...	0.20	12 of 13 - Sale <sup>25</sup>
	10.000	1,953.04	05/29/20	1,940.00	...	13.04	13 of 13 - Sale <sup>25</sup>
05/29/20	43.000	8,358.80	Various	8,342.04	...	16.76	Total of 13 transactions

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
244 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
0.277		53.66	05/28/20	55.26	1.60 W	0.00	1 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	55.22	1.56 W	0.00	2 of 244 - Sale <sup>25</sup>
0.723		140.34	05/28/20	144.50	4.16 W	0.00	3 of 244 - Sale <sup>25</sup>
0.723		140.34	05/28/20	144.40	4.06 W	0.00	4 of 244 - Sale <sup>25</sup>
0.723		140.34	05/28/20	143.68	3.34 W	0.00	5 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	199.78	5.78 W	0.00	6 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	199.49	5.49 W	0.00	7 of 244 - Sale <sup>25</sup>
1.277		247.65	05/28/20	253.79	6.14 W	0.00	8 of 244 - Sale <sup>25</sup>
0.035		6.83	05/28/20	7.57	0.74 W	0.00	9 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	59.38	5.72 W	0.00	10 of 244 - Sale <sup>25</sup>
0.688		133.51	05/28/20	147.83	14.32 W	0.00	11 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	216.37	22.37 W	0.00	12 of 244 - Sale <sup>25</sup>
1.000		193.99	05/28/20	215.86	21.87 W	0.00	13 of 244 - Sale <sup>25</sup>
1.000		193.99	05/28/20	215.18	21.19 W	0.00	14 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	215.28	21.28 W	0.00	15 of 244 - Sale <sup>25</sup>
1.000		193.99	05/28/20	215.52	21.53 W	0.00	16 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	215.33	21.33 W	0.00	17 of 244 - Sale <sup>25</sup>
1.000		193.99	05/28/20	214.23	20.24 W	0.00	18 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	214.42	20.42 W	0.00	19 of 244 - Sale <sup>25</sup>
1.000		193.99	05/28/20	215.69	21.70 W	0.00	20 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	54.75	1.09 W	0.00	21 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	54.71	1.05 W	0.00	22 of 244 - Sale <sup>25</sup>
0.723		140.34	05/28/20	143.17	2.83 W	0.00	23 of 244 - Sale <sup>25</sup>
0.723		140.34	05/28/20	143.07	2.73 W	0.00	24 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	197.94	3.94 W	0.00	25 of 244 - Sale <sup>25</sup>
1.000		194.00	05/28/20	197.65	3.65 W	0.00	26 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	54.99	1.33 W	0.00	27 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	54.99	1.33 W	0.00	28 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	54.88	1.22 W	0.00	29 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	54.72	1.06 W	0.00	30 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	56.52	2.86 W	0.00	31 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	56.87	3.21 W	0.00	32 of 244 - Sale <sup>25</sup>
0.277		53.66	05/28/20	56.59	2.93 W	0.00	33 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.277	53.66	05/28/20	56.28	2.62 W	0.00	34 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	56.16	2.50 W	0.00	35 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	56.79	3.14 W	0.00	36 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	56.19	2.54 W	0.00	37 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	56.16	2.50 W	0.00	38 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	56.07	2.42 W	0.00	39 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	56.02	2.36 W	0.00	40 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.87	2.22 W	0.00	41 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.83	2.18 W	0.00	42 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	56.33	2.67 W	0.00	43 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	57.23	3.58 W	0.00	44 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	143.76	3.42 W	0.00	45 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	143.72	3.38 W	0.00	46 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	143.40	3.06 W	0.00	47 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	147.84	7.50 W	0.00	48 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	147.74	7.40 W	0.00	49 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	147.88	7.54 W	0.00	50 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	147.21	6.87 W	0.00	51 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.89	6.55 W	0.00	52 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	148.48	8.14 W	0.00	53 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	147.03	6.69 W	0.00	54 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.76	6.42 W	0.00	55 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.53	6.19 W	0.00	56 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.74	6.40 W	0.00	57 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.12	5.78 W	0.00	58 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.03	5.69 W	0.00	59 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.75	6.41 W	0.00	60 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	149.40	9.06 W	0.00	61 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	150.24	9.90 W	0.00	62 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	198.63	4.64 W	0.00	63 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	198.20	4.21 W	0.00	64 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	204.42	10.43 W	0.00	65 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	205.54	11.55 W	0.00	66 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	203.77	9.78 W	0.00	67 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	193.99	05/28/20	203.01	9.02 W	0.00	68 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	57.52	3.86 W	0.00	69 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.50	1.84 W	0.00	70 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.56	1.90 W	0.00	71 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.33	4.99 W	0.00	72 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.30	4.96 W	0.00	73 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.31	4.97 W	0.00	74 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	201.45	7.45 W	0.00	75 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	201.44	7.44 W	0.00	76 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	201.51	7.52 W	0.00	77 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	201.37	7.37 W	0.00	78 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	201.31	7.32 W	0.00	79 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	200.86	6.86 W	0.00	80 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	200.72	6.73 W	0.00	81 of 244 - Sale <sup>25</sup>
	0.035	6.83	05/28/20	7.47	0.64 W	0.00	82 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	58.59	4.93 W	0.00	83 of 244 - Sale <sup>25</sup>
	0.688	133.51	05/28/20	145.90	12.39 W	0.00	84 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	213.55	19.55 W	0.00	85 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	213.05	19.06 W	0.00	86 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	141.64	1.30 W	0.00	87 of 244 - Sale <sup>25</sup>
	1.277	247.65	05/28/20	250.20	2.55 W	0.00	88 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	212.28	18.29 W	0.00	89 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	212.37	18.37 W	0.00	90 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	212.62	18.63 W	0.00	91 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	212.42	18.42 W	0.00	92 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	211.33	17.34 W	0.00	93 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	53.90	0.24 W	0.00	94 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	53.86	0.20 W	0.00	95 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	140.97	0.63 W	0.00	96 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	140.87	0.53 W	0.00	97 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	211.37	17.37 W	0.00	98 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	212.65	18.66 W	0.00	99 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	194.89	0.89 W	0.00	100 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	194.51	0.52 W	0.00	101 of 244 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.277	53.66	05/28/20	54.12	0.46 W	0.00	102 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	54.12	0.46 W	0.00	103 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	54.01	0.36 W	0.00	104 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	141.49	1.15 W	0.00	105 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	141.46	1.12 W	0.00	106 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	141.13	0.79 W	0.00	107 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	195.50	1.51 W	0.00	108 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	195.67	1.68 W	0.00	109 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	53.99	0.33 W	0.00	110 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.01	5.67 W	0.00	111 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.81	2.16 W	0.00	112 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.94	5.60 W	0.00	113 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.75	2.09 W	0.00	114 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.75	5.41 W	0.00	115 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	56.10	2.45 W	0.00	116 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.70	6.36 W	0.00	117 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	56.12	2.46 W	0.00	118 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.52	5.18 W	0.00	119 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.60	1.95 W	0.00	120 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	144.91	4.57 W	0.00	121 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.39	1.74 W	0.00	122 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.89	5.55 W	0.00	123 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.84	2.18 W	0.00	124 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.26	4.92 W	0.00	125 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.54	1.89 W	0.00	126 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	144.96	4.62 W	0.00	127 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.46	1.80 W	0.00	128 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	146.65	6.31 W	0.00	129 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	56.04	2.38 W	0.00	130 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.07	4.73 W	0.00	131 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.51	1.85 W	0.00	132 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	144.95	4.61 W	0.00	133 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.54	1.88 W	0.00	134 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	144.91	4.57 W	0.00	135 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.277	53.66	05/28/20	55.41	1.75 W	0.00	136 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.35	1.70 W	0.00	137 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	55.21	1.55 W	0.00	138 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.18	1.53 W	0.00	139 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	55.67	2.02 W	0.00	140 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.01	4.67 W	0.00	141 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	144.39	4.05 W	0.00	142 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	144.30	3.96 W	0.00	143 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	145.02	4.68 W	0.00	144 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	147.67	7.33 W	0.00	145 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	56.55	2.89 W	0.00	146 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	148.44	8.10 W	0.00	147 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	56.90	3.25 W	0.00	148 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	143.73	3.39 W	0.00	149 of 244 - Sale <sup>25</sup>
	0.277	53.66	05/28/20	54.84	1.18 W	0.00	150 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	143.60	3.26 W	0.00	151 of 244 - Sale <sup>25</sup>
	0.277	53.65	05/28/20	54.96	1.31 W	0.00	152 of 244 - Sale <sup>25</sup>
	0.723	140.34	05/28/20	143.77	3.43 W	0.00	153 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	199.36	5.37 W	0.00	154 of 244 - Sale <sup>25</sup>
	1.000	193.99	05/28/20	199.06	5.07 W	0.00	155 of 244 - Sale <sup>25</sup>
	1.000	194.00	05/28/20	199.18	5.18 W	0.00	156 of 244 - Sale <sup>25</sup>
	1.000	193.84	05/29/20	215.40	21.56 W	0.00	157 of 244 - Sale <sup>25</sup>
	1.000	193.22	05/29/20	203.22	10.00 W	0.00	158 of 244 - Sale <sup>25</sup>
	1.000	193.23	05/29/20	203.17	9.94 W	0.00	159 of 244 - Sale <sup>25</sup>
	1.000	193.22	05/29/20	202.71	9.49 W	0.00	160 of 244 - Sale <sup>25</sup>
	1.000	193.22	05/29/20	202.58	9.36 W	0.00	161 of 244 - Sale <sup>25</sup>
	1.000	193.23	05/29/20	213.71	20.48 W	0.00	162 of 244 - Sale <sup>25</sup>
	0.035	6.86	05/29/20	7.50	0.64 W	0.00	163 of 244 - Sale <sup>25</sup>
	0.277	53.92	05/29/20	58.89	4.97 W	0.00	164 of 244 - Sale <sup>25</sup>
	0.688	134.17	05/29/20	146.65	12.48 W	0.00	165 of 244 - Sale <sup>25</sup>
	1.000	194.90	05/29/20	213.37	18.47 W	0.00	166 of 244 - Sale <sup>25</sup>
	1.000	194.90	05/29/20	213.45	18.55 W	0.00	167 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	142.42	1.13 W	0.00	168 of 244 - Sale <sup>25</sup>
	1.277	249.32	05/29/20	251.58	2.26 W	0.00	169 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	195.31	05/29/20	214.10	18.79 W	0.00	170 of 244 - Sale <sup>25</sup>
	1.000	195.31	05/29/20	214.01	18.70 W	0.00	171 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	54.45	0.43 W	0.00	172 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	54.41	0.39 W	0.00	173 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	142.41	1.12 W	0.00	174 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	142.31	1.02 W	0.00	175 of 244 - Sale <sup>25</sup>
	1.000	195.31	05/29/20	213.33	18.02 W	0.00	176 of 244 - Sale <sup>25</sup>
	1.000	195.31	05/29/20	213.36	18.05 W	0.00	177 of 244 - Sale <sup>25</sup>
	1.000	195.31	05/29/20	214.65	19.34 W	0.00	178 of 244 - Sale <sup>25</sup>
	1.000	195.31	05/29/20	196.88	1.57 W	0.00	179 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	196.51	1.21 W	0.00	180 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	54.67	0.65 W	0.00	181 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	54.67	0.65 W	0.00	182 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	142.93	1.64 W	0.00	183 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	142.90	1.61 W	0.00	184 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	197.50	2.20 W	0.00	185 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	54.58	0.56 W	0.00	186 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	54.55	0.53 W	0.00	187 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.38	2.36 W	0.00	188 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.31	2.29 W	0.00	189 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.67	2.65 W	0.00	190 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.68	2.66 W	0.00	191 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.17	2.15 W	0.00	192 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	55.96	1.94 W	0.00	193 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.40	2.38 W	0.00	194 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.11	2.09 W	0.00	195 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.02	2.00 W	0.00	196 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.60	2.58 W	0.00	197 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.07	2.05 W	0.00	198 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.10	2.08 W	0.00	199 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	142.62	1.33 W	0.00	200 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	147.50	6.21 W	0.00	201 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	147.43	6.14 W	0.00	202 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	147.24	5.95 W	0.00	203 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.723	141.29	05/29/20	148.19	6.90 W	0.00	204 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	147.01	5.72 W	0.00	205 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	146.40	5.11 W	0.00	206 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	147.38	6.09 W	0.00	207 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	146.75	5.46 W	0.00	208 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	146.45	5.16 W	0.00	209 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	148.14	6.85 W	0.00	210 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	146.56	5.27 W	0.00	211 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	146.44	5.15 W	0.00	212 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	146.40	5.11 W	0.00	213 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	197.73	2.43 W	0.00	214 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	55.95	1.93 W	0.00	215 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	55.90	1.88 W	0.00	216 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	55.75	1.73 W	0.00	217 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	55.73	1.71 W	0.00	218 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	56.22	2.20 W	0.00	219 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	146.42	5.13 W	0.00	220 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	145.80	4.51 W	0.00	221 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	145.71	4.42 W	0.00	222 of 244 - Sale <sup>25</sup>
	0.723	141.28	05/29/20	146.43	5.15 W	0.00	223 of 244 - Sale <sup>25</sup>
	0.723	141.28	05/29/20	149.08	7.80 W	0.00	224 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	57.06	3.04 W	0.00	225 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	57.42	3.40 W	0.00	226 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	55.35	1.33 W	0.00	227 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	55.48	1.46 W	0.00	228 of 244 - Sale <sup>25</sup>
	0.723	141.28	05/29/20	149.78	8.50 W	0.00	229 of 244 - Sale <sup>25</sup>
	0.723	141.29	05/29/20	145.07	3.78 W	0.00	230 of 244 - Sale <sup>25</sup>
	0.723	141.28	05/29/20	144.94	3.66 W	0.00	231 of 244 - Sale <sup>25</sup>
	0.723	141.28	05/29/20	145.11	3.83 W	0.00	232 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	201.22	5.92 W	0.00	233 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	201.03	5.73 W	0.00	234 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	216.31	21.01 W	0.00	235 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	199.93	4.63 W	0.00	236 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	204.75	9.45 W	0.00	237 of 244 - Sale <sup>25</sup>

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Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	1.000	195.30	05/29/20	204.69	9.39 W	0.00	238 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	204.24	8.94 W	0.00	239 of 244 - Sale <sup>25</sup>
	0.035	6.87	05/29/20	7.49	0.62 W	0.00	240 of 244 - Sale <sup>25</sup>
	0.277	54.02	05/29/20	58.83	4.81 W	0.00	241 of 244 - Sale <sup>25</sup>
	0.688	134.41	05/29/20	146.51	12.10 W	0.00	242 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	215.23	19.93 W	0.00	243 of 244 - Sale <sup>25</sup>
	1.000	195.30	05/29/20	213.23	17.93 W	0.00	244 of 244 - Sale <sup>25</sup>
05/29/20	157.000	30,518.97	Various	31,965.82	1,446.85 W	0.00	Total of 244 transactions
	77 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	189.17	06/11/20	210.50	...	-21.33	1 of 77 - Sale <sup>25</sup>
	1.000	190.78	06/11/20	211.49	...	-20.71	2 of 77 - Sale <sup>25</sup>
	1.000	190.78	06/11/20	211.40	...	-20.62	3 of 77 - Sale <sup>25</sup>
	1.000	189.17	06/11/20	209.41	...	-20.24	4 of 77 - Sale <sup>25</sup>
	1.000	190.78	06/11/20	210.72	...	-19.94	5 of 77 - Sale <sup>25</sup>
	1.000	192.16	06/11/20	210.79	...	-18.63	6 of 77 - Sale <sup>25</sup>
	1.000	189.17	06/11/20	207.41	...	-18.24	7 of 77 - Sale <sup>25</sup>
	1.000	192.16	06/11/20	209.50	...	-17.34	8 of 77 - Sale <sup>25</sup>
	0.688	130.19	06/11/20	142.51	...	-12.32	9 of 77 - Sale <sup>25</sup>
	1.000	189.18	06/11/20	198.94	...	-9.76	10 of 77 - Sale <sup>25</sup>
	1.000	189.17	06/11/20	198.87	...	-9.70	11 of 77 - Sale <sup>25</sup>
	1.000	189.18	06/11/20	198.43	...	-9.25	12 of 77 - Sale <sup>25</sup>
	0.723	137.67	06/11/20	146.40	...	-8.73	13 of 77 - Sale <sup>25</sup>
	0.723	136.85	06/11/20	145.58	...	-8.73	14 of 77 - Sale <sup>25</sup>
	0.723	137.66	06/11/20	145.72	...	-8.06	15 of 77 - Sale <sup>25</sup>
	0.723	136.85	06/11/20	144.88	...	-8.03	16 of 77 - Sale <sup>25</sup>
	0.723	137.67	06/11/20	145.65	...	-7.98	17 of 77 - Sale <sup>25</sup>
	0.723	137.67	06/11/20	145.45	...	-7.78	18 of 77 - Sale <sup>25</sup>
	0.723	136.85	06/11/20	143.76	...	-6.91	19 of 77 - Sale <sup>25</sup>
	0.723	138.51	06/11/20	145.18	...	-6.67	20 of 77 - Sale <sup>25</sup>
	0.723	136.85	06/11/20	143.12	...	-6.27	21 of 77 - Sale <sup>25</sup>
	1.000	189.17	06/11/20	195.41	...	-6.24	22 of 77 - Sale <sup>25</sup>
	0.723	136.85	06/11/20	143.03	...	-6.18	23 of 77 - Sale <sup>25</sup>
	1.000	189.17	06/11/20	195.21	...	-6.04	24 of 77 - Sale <sup>25</sup>
	0.723	138.51	06/11/20	144.42	...	-5.91	25 of 77 - Sale <sup>25</sup>

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Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
0.723		138.51	06/11/20	144.05	...	-5.54	26 of 77 - Sale <sup>25</sup>
0.723		138.51	06/11/20	143.80	...	-5.29	27 of 77 - Sale <sup>25</sup>
0.723		138.51	06/11/20	143.61	...	-5.10	28 of 77 - Sale <sup>25</sup>
1.000		190.30	06/11/20	195.28	...	-4.98	29 of 77 - Sale <sup>25</sup>
0.723		138.51	06/11/20	143.49	...	-4.98	30 of 77 - Sale <sup>25</sup>
0.723		138.51	06/11/20	143.48	...	-4.97	31 of 77 - Sale <sup>25</sup>
0.723		138.51	06/11/20	143.46	...	-4.95	32 of 77 - Sale <sup>25</sup>
0.723		138.51	06/11/20	143.45	...	-4.94	33 of 77 - Sale <sup>25</sup>
1.000		189.17	06/11/20	194.11	...	-4.94	34 of 77 - Sale <sup>25</sup>
0.723		138.51	06/11/20	143.44	...	-4.93	35 of 77 - Sale <sup>25</sup>
0.277		52.32	06/11/20	57.22	...	-4.90	36 of 77 - Sale <sup>25</sup>
1.000		190.30	06/11/20	195.05	...	-4.75	37 of 77 - Sale <sup>25</sup>
1.277		243.55	06/11/20	248.26	...	-4.71	38 of 77 - Sale <sup>25</sup>
0.723		136.85	06/11/20	140.91	...	-4.06	39 of 77 - Sale <sup>25</sup>
0.723		136.85	06/11/20	140.86	...	-4.01	40 of 77 - Sale <sup>25</sup>
0.723		136.85	06/11/20	140.74	...	-3.89	41 of 77 - Sale <sup>25</sup>
1.000		190.29	06/11/20	194.06	...	-3.77	42 of 77 - Sale <sup>25</sup>
0.723		137.66	06/11/20	141.15	...	-3.49	43 of 77 - Sale <sup>25</sup>
0.277		52.32	06/11/20	55.81	...	-3.49	44 of 77 - Sale <sup>25</sup>
0.723		137.66	06/11/20	141.12	...	-3.46	45 of 77 - Sale <sup>25</sup>
0.277		52.63	06/11/20	55.99	...	-3.36	46 of 77 - Sale <sup>25</sup>
0.723		137.66	06/11/20	140.84	...	-3.18	47 of 77 - Sale <sup>25</sup>
0.277		52.32	06/11/20	55.45	...	-3.13	48 of 77 - Sale <sup>25</sup>
0.277		52.63	06/11/20	55.70	...	-3.07	49 of 77 - Sale <sup>25</sup>
0.277		52.63	06/11/20	55.63	...	-3.00	50 of 77 - Sale <sup>25</sup>
0.277		52.96	06/11/20	55.55	...	-2.59	51 of 77 - Sale <sup>25</sup>
0.277		52.32	06/11/20	54.87	...	-2.55	52 of 77 - Sale <sup>25</sup>
0.723		138.02	06/11/20	140.53	...	-2.51	53 of 77 - Sale <sup>25</sup>
0.277		52.96	06/11/20	55.47	...	-2.51	54 of 77 - Sale <sup>25</sup>
0.277		52.32	06/11/20	54.73	...	-2.41	55 of 77 - Sale <sup>25</sup>
0.277		52.32	06/11/20	54.71	...	-2.39	56 of 77 - Sale <sup>25</sup>
0.277		52.96	06/11/20	55.27	...	-2.31	57 of 77 - Sale <sup>25</sup>
0.277		52.32	06/11/20	54.61	...	-2.29	58 of 77 - Sale <sup>25</sup>
0.277		52.96	06/11/20	55.04	...	-2.08	59 of 77 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	0.277	52.96	06/11/20	54.98	...	-2.02	60 of 77 - Sale <sup>25</sup>
	0.277	52.96	06/11/20	54.97	...	-2.01	61 of 77 - Sale <sup>25</sup>
	0.277	52.95	06/11/20	54.94	...	-1.99	62 of 77 - Sale <sup>25</sup>
	0.277	52.96	06/11/20	54.89	...	-1.93	63 of 77 - Sale <sup>25</sup>
	0.277	52.96	06/11/20	54.83	...	-1.87	64 of 77 - Sale <sup>25</sup>
	0.277	52.95	06/11/20	54.82	...	-1.87	65 of 77 - Sale <sup>25</sup>
	0.277	52.32	06/11/20	53.87	...	-1.55	66 of 77 - Sale <sup>25</sup>
	0.277	52.32	06/11/20	53.74	...	-1.42	67 of 77 - Sale <sup>25</sup>
	0.277	52.63	06/11/20	53.99	...	-1.36	68 of 77 - Sale <sup>25</sup>
	0.277	52.63	06/11/20	53.99	...	-1.36	69 of 77 - Sale <sup>25</sup>
	0.277	52.63	06/11/20	53.90	...	-1.27	70 of 77 - Sale <sup>25</sup>
	0.277	52.63	06/11/20	53.87	...	-1.24	71 of 77 - Sale <sup>25</sup>
	1.000	192.16	06/11/20	193.02	...	-0.86	72 of 77 - Sale <sup>25</sup>
	0.035	6.66	06/11/20	7.29	...	-0.63	73 of 77 - Sale <sup>25</sup>
	0.723	139.01	06/11/20	139.62	...	-0.61	74 of 77 - Sale <sup>25</sup>
	0.723	139.01	06/11/20	139.53	...	-0.52	75 of 77 - Sale <sup>25</sup>
	0.277	53.15	06/11/20	53.38	...	-0.23	76 of 77 - Sale <sup>25</sup>
	0.277	53.15	06/11/20	53.34	...	-0.19	77 of 77 - Sale <sup>25</sup>
06/11/20	48.000	9,137.42	Various	9,580.49	...	-443.07	Total of 77 transactions
	<b>Security total:</b>	<b>220,589.25</b>		<b>227,502.36</b>	<b>6,949.00 W</b>	<b>35.89</b>	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	10.000	339.99	05/26/20	340.00	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	3,399.91	05/26/20	3,405.00	5.09 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	339.99	05/26/20	340.50	0.51 W	0.00	3 of 3 - Sale <sup>25</sup>
05/26/20	120.000	4,079.89	Various	4,085.50	5.61 W	0.00	Total of 3 transactions
17 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	80.000	2,666.33	05/26/20	2,728.07	...	-61.74	1 of 17 - Sale <sup>25</sup>
	10.000	333.29	05/26/20	340.71	...	-7.42	2 of 17 - Sale <sup>25</sup>
	10.000	333.29	05/26/20	340.51	...	-7.22	3 of 17 - Sale <sup>25</sup>
	7.000	233.30	05/26/20	238.14	...	-4.84	4 of 17 - Sale <sup>25</sup>
	6.000	199.97	05/26/20	204.43	...	-4.46	5 of 17 - Sale <sup>25</sup>
	5.000	166.64	05/26/20	170.10	...	-3.46	6 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
	4.000	133.32	05/26/20	136.29	...	-2.97	7 of 17 - Sale <sup>25</sup>
	4.000	133.32	05/26/20	136.28	...	-2.96	8 of 17 - Sale <sup>25</sup>
	4.000	133.31	05/26/20	136.08	...	-2.77	9 of 17 - Sale <sup>25</sup>
	2.000	66.66	05/26/20	68.14	...	-1.48	10 of 17 - Sale <sup>25</sup>
	2.000	66.66	05/26/20	68.14	...	-1.48	11 of 17 - Sale <sup>25</sup>
	2.000	66.66	05/26/20	68.04	...	-1.38	12 of 17 - Sale <sup>25</sup>
	1.000	33.33	05/26/20	34.07	...	-0.74	13 of 17 - Sale <sup>25</sup>
	1.000	33.33	05/26/20	34.07	...	-0.74	14 of 17 - Sale <sup>25</sup>
	1.000	33.33	05/26/20	34.02	...	-0.69	15 of 17 - Sale <sup>25</sup>
	1.000	33.33	05/26/20	34.02	...	-0.69	16 of 17 - Sale <sup>25</sup>
	1.000	33.33	05/26/20	34.02	...	-0.69	17 of 17 - Sale <sup>25</sup>
05/27/20	141.000	4,699.40	Various	4,805.13	...	-105.73	Total of 17 transactions
	<b>Security total:</b>	<b>8,779.29</b>		<b>8,890.63</b>	<b>5.61 W</b>	<b>-105.73</b>	

VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:

71 transactions for 05/19/20. Total proceeds and cost reported to the IRS.

1.000	2.56	05/19/20	2.66	0.10 W	0.00	1 of 71 - Sale <sup>25</sup>
15.000	38.10	05/19/20	39.90	1.80 W	0.00	2 of 71 - Sale <sup>25</sup>
184.000	467.34	05/19/20	489.42	22.08 W	0.00	3 of 71 - Sale <sup>25</sup>
1.000	2.54	05/19/20	2.77	0.23 W	0.00	4 of 71 - Sale <sup>25</sup>
1.000	2.56	05/19/20	2.79	0.23 W	0.00	5 of 71 - Sale <sup>25</sup>
14.000	36.12	05/19/20	39.06	2.94 W	0.00	6 of 71 - Sale <sup>25</sup>
86.000	221.87	05/19/20	239.94	18.07 W	0.00	7 of 71 - Sale <sup>25</sup>
1.000	2.59	05/19/20	2.79	0.20 W	0.00	8 of 71 - Sale <sup>25</sup>
50.000	129.00	05/19/20	139.50	10.50 W	0.00	9 of 71 - Sale <sup>25</sup>
10.000	26.00	05/19/20	27.90	1.90 W	0.00	10 of 71 - Sale <sup>25</sup>
18.000	46.80	05/19/20	50.22	3.42 W	0.00	11 of 71 - Sale <sup>25</sup>
1.000	2.60	05/19/20	2.79	0.19 W	0.00	12 of 71 - Sale <sup>25</sup>
18.000	46.80	05/19/20	50.22	3.42 W	0.00	13 of 71 - Sale <sup>25</sup>
82.000	213.19	05/19/20	218.94	5.75 W	0.00	14 of 71 - Sale <sup>25</sup>
100.000	259.99	05/19/20	267.00	7.01 W	0.00	15 of 71 - Sale <sup>25</sup>
3.000	7.80	05/19/20	8.01	0.21 W	0.00	16 of 71 - Sale <sup>25</sup>
7.000	18.27	05/19/20	19.81	1.54 W	0.00	17 of 71 - Sale <sup>25</sup>
2.000	5.22	05/19/20	5.66	0.44 W	0.00	18 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	115.000	298.98	05/19/20	307.05	8.07 W	0.00	19 of 71 - Sale <sup>25</sup>
	1.000	2.60	05/19/20	2.87	0.27 W	0.00	20 of 71 - Sale <sup>25</sup>
	1.000	2.60	05/19/20	2.87	0.27 W	0.00	21 of 71 - Sale <sup>25</sup>
	14.000	36.40	05/19/20	39.90	3.50 W	0.00	22 of 71 - Sale <sup>25</sup>
	69.000	179.38	05/19/20	196.66	17.28 W	0.00	23 of 71 - Sale <sup>25</sup>
	1.000	2.60	05/19/20	2.84	0.24 W	0.00	24 of 71 - Sale <sup>25</sup>
	1.000	2.60	05/19/20	2.83	0.23 W	0.00	25 of 71 - Sale <sup>25</sup>
	10.000	26.00	05/19/20	28.30	2.30 W	0.00	26 of 71 - Sale <sup>25</sup>
	11.000	28.60	05/19/20	31.13	2.53 W	0.00	27 of 71 - Sale <sup>25</sup>
	50.000	129.99	05/19/20	142.50	12.51 W	0.00	28 of 71 - Sale <sup>25</sup>
	17.000	44.20	05/19/20	48.45	4.25 W	0.00	29 of 71 - Sale <sup>25</sup>
	16.000	41.76	05/19/20	45.28	3.52 W	0.00	30 of 71 - Sale <sup>25</sup>
	50.000	130.49	05/19/20	135.51	5.02 W	0.00	31 of 71 - Sale <sup>25</sup>
	2.000	5.22	05/19/20	5.40	0.18 W	0.00	32 of 71 - Sale <sup>25</sup>
	32.000	83.52	05/19/20	86.36	2.84 W	0.00	33 of 71 - Sale <sup>25</sup>
	16.000	41.76	05/19/20	43.18	1.42 W	0.00	34 of 71 - Sale <sup>25</sup>
	50.000	130.49	05/19/20	135.45	4.96 W	0.00	35 of 71 - Sale <sup>25</sup>
	25.000	65.25	05/19/20	68.00	2.75 W	0.00	36 of 71 - Sale <sup>25</sup>
	1.000	2.54	05/19/20	2.72	0.18 W	0.00	37 of 71 - Sale <sup>25</sup>
	1.000	2.55	05/19/20	2.72	0.17 W	0.00	38 of 71 - Sale <sup>25</sup>
	3.000	7.53	05/19/20	8.16	0.63 W	0.00	39 of 71 - Sale <sup>25</sup>
	15.000	37.65	05/19/20	40.80	3.15 W	0.00	40 of 71 - Sale <sup>25</sup>
	5.000	12.55	05/19/20	13.60	1.05 W	0.00	41 of 71 - Sale <sup>25</sup>
	50.000	125.49	05/19/20	136.51	11.02 W	0.00	42 of 71 - Sale <sup>25</sup>
	1.000	2.51	05/19/20	2.91	0.40 W	0.00	43 of 71 - Sale <sup>25</sup>
	1.000	2.51	05/19/20	2.91	0.40 W	0.00	44 of 71 - Sale <sup>25</sup>
	14.000	35.14	05/19/20	40.46	5.32 W	0.00	45 of 71 - Sale <sup>25</sup>
	50.000	125.49	05/19/20	135.51	10.02 W	0.00	46 of 71 - Sale <sup>25</sup>
	61.000	153.09	05/19/20	176.32	23.23 W	0.00	47 of 71 - Sale <sup>25</sup>
	12.000	30.12	05/19/20	34.68	4.56 W	0.00	48 of 71 - Sale <sup>25</sup>
	8.000	20.08	05/19/20	23.12	3.04 W	0.00	49 of 71 - Sale <sup>25</sup>
	1.000	2.51	05/19/20	2.88	0.37 W	0.00	50 of 71 - Sale <sup>25</sup>
	5.000	12.55	05/19/20	14.45	1.90 W	0.00	51 of 71 - Sale <sup>25</sup>
	4.000	10.04	05/19/20	11.56	1.52 W	0.00	52 of 71 - Sale <sup>25</sup>

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Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	1.000	2.51	05/19/20	2.87	0.36 W	0.00	53 of 71 - Sale <sup>25</sup>
	2.000	5.02	05/19/20	5.72	0.70 W	0.00	54 of 71 - Sale <sup>25</sup>
	7.000	17.57	05/19/20	20.02	2.45 W	0.00	55 of 71 - Sale <sup>25</sup>
	10.000	25.10	05/19/20	28.70	3.60 W	0.00	56 of 71 - Sale <sup>25</sup>
	11.000	27.61	05/19/20	31.57	3.96 W	0.00	57 of 71 - Sale <sup>25</sup>
	16.000	40.16	05/19/20	45.76	5.60 W	0.00	58 of 71 - Sale <sup>25</sup>
	46.000	115.45	05/19/20	132.95	17.50 W	0.00	59 of 71 - Sale <sup>25</sup>
	50.000	125.49	05/19/20	137.02	11.53 W	0.00	60 of 71 - Sale <sup>25</sup>
	27.000	67.77	05/19/20	73.68	5.91 W	0.00	61 of 71 - Sale <sup>25</sup>
	1.000	2.56	05/19/20	2.73	0.17 W	0.00	62 of 71 - Sale <sup>25</sup>
	1.000	2.62	05/19/20	2.73	0.11 W	0.00	63 of 71 - Sale <sup>25</sup>
	1.000	2.62	05/19/20	2.82	0.20 W	0.00	64 of 71 - Sale <sup>25</sup>
	1.000	2.62	05/19/20	2.81	0.19 W	0.00	65 of 71 - Sale <sup>25</sup>
	2.000	5.24	05/19/20	5.46	0.22 W	0.00	66 of 71 - Sale <sup>25</sup>
	1.000	2.62	05/19/20	2.85	0.23 W	0.00	67 of 71 - Sale <sup>25</sup>
	16.000	41.92	05/19/20	43.66	1.74 W	0.00	68 of 71 - Sale <sup>25</sup>
	25.000	65.50	05/19/20	68.75	3.25 W	0.00	69 of 71 - Sale <sup>25</sup>
	3.000	7.86	05/19/20	8.18	0.32 W	0.00	70 of 71 - Sale <sup>25</sup>
	50.000	130.99	05/19/20	136.96	5.97 W	0.00	71 of 71 - Sale <sup>25</sup>
05/19/20	1,578.000	4,055.37	Various	4,338.51	283.14 W	0.00	Total of 71 transactions
65 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	3.000	8.55	05/19/20	8.55	...	0.00	1 of 65 - Sale <sup>25</sup>
	4.000	11.40	05/19/20	11.40	...	0.00	2 of 65 - Sale <sup>25</sup>
	15.000	42.75	05/19/20	42.75	...	0.00	3 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.84	...	0.01	4 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.81	...	0.04	5 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.81	...	0.04	6 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.81	...	0.04	7 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.80	...	0.05	8 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.78	...	0.07	9 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.75	...	0.10	10 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.74	...	0.11	11 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.73	...	0.12	12 of 65 - Sale <sup>25</sup>
	6.000	17.10	05/19/20	16.97	...	0.13	13 of 65 - Sale <sup>25</sup>

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	1.000	2.85	05/19/20	2.72	...	0.13	14 of 65 - Sale <sup>25</sup>
	2.000	5.70	05/19/20	5.50	...	0.20	15 of 65 - Sale <sup>25</sup>
	2.000	5.70	05/19/20	5.44	...	0.26	16 of 65 - Sale <sup>25</sup>
	2.000	5.70	05/19/20	5.44	...	0.26	17 of 65 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.56	...	0.29	18 of 65 - Sale <sup>25</sup>
	3.000	8.55	05/19/20	8.25	...	0.30	19 of 65 - Sale <sup>25</sup>
	4.000	11.40	05/19/20	11.03	...	0.37	20 of 65 - Sale <sup>25</sup>
	3.000	8.55	05/19/20	8.15	...	0.40	21 of 65 - Sale <sup>25</sup>
	4.000	11.40	05/19/20	10.99	...	0.41	22 of 65 - Sale <sup>25</sup>
	21.000	59.85	05/19/20	59.41	...	0.44	23 of 65 - Sale <sup>25</sup>
	50.000	142.49	05/19/20	142.03	...	0.46	24 of 65 - Sale <sup>25</sup>
	10.000	28.50	05/19/20	27.89	...	0.61	25 of 65 - Sale <sup>25</sup>
	7.000	19.95	05/19/20	19.25	...	0.70	26 of 65 - Sale <sup>25</sup>
	6.000	17.10	05/19/20	16.38	...	0.72	27 of 65 - Sale <sup>25</sup>
	7.000	19.95	05/19/20	19.03	...	0.92	28 of 65 - Sale <sup>25</sup>
	12.000	34.20	05/19/20	33.01	...	1.19	29 of 65 - Sale <sup>25</sup>
	12.000	34.19	05/19/20	33.00	...	1.19	30 of 65 - Sale <sup>25</sup>
	10.000	28.50	05/19/20	27.29	...	1.21	31 of 65 - Sale <sup>25</sup>
	11.000	31.35	05/19/20	30.01	...	1.34	32 of 65 - Sale <sup>25</sup>
	50.000	142.49	05/19/20	141.02	...	1.47	33 of 65 - Sale <sup>25</sup>
	15.000	42.75	05/19/20	41.27	...	1.48	34 of 65 - Sale <sup>25</sup>
	8.000	22.80	05/19/20	21.13	...	1.67	35 of 65 - Sale <sup>25</sup>
	5.000	14.25	05/19/20	12.40	...	1.85	36 of 65 - Sale <sup>25</sup>
	16.000	45.60	05/19/20	43.51	...	2.09	37 of 65 - Sale <sup>25</sup>
	16.000	45.60	05/19/20	43.50	...	2.10	38 of 65 - Sale <sup>25</sup>
	25.000	71.25	05/19/20	68.50	...	2.75	39 of 65 - Sale <sup>25</sup>
	34.000	96.90	05/19/20	93.84	...	3.06	40 of 65 - Sale <sup>25</sup>
	20.000	56.99	05/19/20	52.61	...	4.38	41 of 65 - Sale <sup>25</sup>
	46.000	131.09	05/19/20	126.47	...	4.62	42 of 65 - Sale <sup>25</sup>
	22.000	62.69	05/19/20	57.65	...	5.04	43 of 65 - Sale <sup>25</sup>
	44.000	125.40	05/19/20	120.09	...	5.31	44 of 65 - Sale <sup>25</sup>
	22.000	62.70	05/19/20	57.20	...	5.50	45 of 65 - Sale <sup>25</sup>
	40.000	113.99	05/19/20	104.00	...	9.99	46 of 65 - Sale <sup>25</sup>
	39.000	111.14	05/19/20	96.72	...	14.42	47 of 65 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	100.000	284.98	05/19/20	251.00	...	33.98	48 of 65 - Sale <sup>25</sup>
	156.000	444.57	05/19/20	386.88	...	57.69	49 of 65 - Sale <sup>25</sup>
	200.000	569.96	05/19/20	498.00	...	71.96	50 of 65 - Sale <sup>25</sup>
	500.000	1,424.90	05/19/20	1,294.35	...	130.55	51 of 65 - Sale <sup>25</sup>
	400.000	1,139.92	05/19/20	1,004.00	...	135.92	52 of 65 - Sale <sup>25</sup>
	600.000	1,709.89	05/19/20	1,506.00	...	203.89	53 of 65 - Sale <sup>25</sup>
	93.000	255.85	05/20/20	292.93	...	-37.08	54 of 65 - Sale <sup>25</sup>
	58.000	146.81	05/20/20	171.47	...	-24.66	55 of 65 - Sale <sup>25</sup>
	50.000	126.57	05/20/20	148.42	...	-21.85	56 of 65 - Sale <sup>25</sup>
	52.000	143.06	05/20/20	161.02	...	-17.96	57 of 65 - Sale <sup>25</sup>
	28.000	70.87	05/20/20	82.76	...	-11.89	58 of 65 - Sale <sup>25</sup>
	30.000	82.53	05/20/20	92.90	...	-10.37	59 of 65 - Sale <sup>25</sup>
	20.000	50.62	05/20/20	59.30	...	-8.68	60 of 65 - Sale <sup>25</sup>
	20.000	55.03	05/20/20	62.10	...	-7.07	61 of 65 - Sale <sup>25</sup>
	10.000	27.51	05/20/20	30.96	...	-3.45	62 of 65 - Sale <sup>25</sup>
	2.000	5.06	05/20/20	5.96	...	-0.90	63 of 65 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.10	...	-0.35	64 of 65 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.10	...	-0.35	65 of 65 - Sale <sup>25</sup>
05/20/20	2,928.000	8,273.50	Various	7,706.28	...	567.22	Total of 65 transactions
	161 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	50.000	142.49	05/19/20	143.02	0.53 W	0.00	1 of 161 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	3.03	0.18 W	0.00	2 of 161 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	3.03	0.18 W	0.00	3 of 161 - Sale <sup>25</sup>
	14.000	39.90	05/19/20	42.12	2.22 W	0.00	4 of 161 - Sale <sup>25</sup>
	34.000	96.89	05/19/20	102.33	5.44 W	0.00	5 of 161 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	3.00	0.15 W	0.00	6 of 161 - Sale <sup>25</sup>
	27.000	76.94	05/19/20	81.02	4.08 W	0.00	7 of 161 - Sale <sup>25</sup>
	3.000	8.55	05/19/20	9.00	0.45 W	0.00	8 of 161 - Sale <sup>25</sup>
	7.000	19.95	05/19/20	21.00	1.05 W	0.00	9 of 161 - Sale <sup>25</sup>
	12.000	34.20	05/19/20	36.00	1.80 W	0.00	10 of 161 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.99	0.14 W	0.00	11 of 161 - Sale <sup>25</sup>
	1.000	2.85	05/19/20	2.98	0.13 W	0.00	12 of 161 - Sale <sup>25</sup>
	2.000	5.70	05/19/20	6.00	0.30 W	0.00	13 of 161 - Sale <sup>25</sup>
	2.000	5.70	05/19/20	5.94	0.24 W	0.00	14 of 161 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	4.000	11.40	05/19/20	11.99	0.59 W	0.00	15 of 161 - Sale <sup>25</sup>
	7.000	19.95	05/19/20	20.78	0.83 W	0.00	16 of 161 - Sale <sup>25</sup>
	10.000	28.50	05/19/20	29.79	1.29 W	0.00	17 of 161 - Sale <sup>25</sup>
	11.000	31.35	05/19/20	32.76	1.41 W	0.00	18 of 161 - Sale <sup>25</sup>
	16.000	45.59	05/19/20	47.50	1.91 W	0.00	19 of 161 - Sale <sup>25</sup>
	46.000	131.09	05/19/20	137.96	6.87 W	0.00	20 of 161 - Sale <sup>25</sup>
	114.000	313.63	05/20/20	360.19	31.45 W	-15.11	21 of 161 - Sale <sup>25</sup>
	515.000	1,400.80	05/20/20	1,457.45	56.65 W	0.00	22 of 161 - Sale <sup>25</sup>
	600.000	1,631.89	05/20/20	1,698.00	66.11 W	0.00	23 of 161 - Sale <sup>25</sup>
	129.000	350.86	05/20/20	365.07	14.21 W	0.00	24 of 161 - Sale <sup>25</sup>
	1.000	2.72	05/20/20	2.83	0.11 W	0.00	25 of 161 - Sale <sup>25</sup>
	1.000	2.72	05/20/20	2.83	0.11 W	0.00	26 of 161 - Sale <sup>25</sup>
	15.000	40.80	05/20/20	42.45	1.65 W	0.00	27 of 161 - Sale <sup>25</sup>
	1,000.000	2,719.81	05/20/20	2,830.00	110.19 W	0.00	28 of 161 - Sale <sup>25</sup>
	30.000	81.60	05/20/20	84.90	3.30 W	0.00	29 of 161 - Sale <sup>25</sup>
	10.000	27.20	05/20/20	28.30	1.10 W	0.00	30 of 161 - Sale <sup>25</sup>
	1.000	2.72	05/20/20	2.83	0.11 W	0.00	31 of 161 - Sale <sup>25</sup>
	511.000	1,389.83	05/20/20	1,446.13	56.30 W	0.00	32 of 161 - Sale <sup>25</sup>
	1.000	2.72	05/20/20	2.93	0.21 W	0.00	33 of 161 - Sale <sup>25</sup>
	100.000	271.98	05/20/20	292.00	20.02 W	0.00	34 of 161 - Sale <sup>25</sup>
	1.000	2.72	05/20/20	2.92	0.20 W	0.00	35 of 161 - Sale <sup>25</sup>
	99.000	269.27	05/20/20	289.08	19.81 W	0.00	36 of 161 - Sale <sup>25</sup>
	1.000	2.72	05/20/20	2.93	0.21 W	0.00	37 of 161 - Sale <sup>25</sup>
	20.000	53.20	05/20/20	57.77	4.57 W	0.00	38 of 161 - Sale <sup>25</sup>
	80.000	212.79	05/20/20	232.00	19.21 W	0.00	39 of 161 - Sale <sup>25</sup>
	207.000	550.58	05/20/20	600.34	49.76 W	0.00	40 of 161 - Sale <sup>25</sup>
	213.000	566.54	05/20/20	617.70	51.16 W	0.00	41 of 161 - Sale <sup>25</sup>
	300.000	797.94	05/20/20	870.05	72.11 W	0.00	42 of 161 - Sale <sup>25</sup>
	80.000	212.79	05/20/20	232.02	19.23 W	0.00	43 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	2.90	0.24 W	0.00	44 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	2.90	0.24 W	0.00	45 of 161 - Sale <sup>25</sup>
	15.000	39.90	05/20/20	43.50	3.60 W	0.00	46 of 161 - Sale <sup>25</sup>
	13.000	34.58	05/20/20	37.70	3.12 W	0.00	47 of 161 - Sale <sup>25</sup>
	129.000	343.12	05/20/20	374.12	31.00 W	0.00	48 of 161 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	741.000	1,970.91	05/20/20	2,149.04	178.13 W	0.00	49 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	2.90	0.24 W	0.00	50 of 161 - Sale <sup>25</sup>
	10.000	26.60	05/20/20	29.00	2.40 W	0.00	51 of 161 - Sale <sup>25</sup>
	30.000	79.79	05/20/20	87.00	7.21 W	0.00	52 of 161 - Sale <sup>25</sup>
	300.000	797.95	05/20/20	870.05	72.10 W	0.00	53 of 161 - Sale <sup>25</sup>
	259.000	688.89	05/20/20	751.15	62.26 W	0.00	54 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.00	0.34 W	0.00	55 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	2.99	0.33 W	0.00	56 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.00	0.34 W	0.00	57 of 161 - Sale <sup>25</sup>
	7.000	18.62	05/20/20	21.13	2.51 W	0.00	58 of 161 - Sale <sup>25</sup>
	99.000	263.32	05/20/20	296.02	32.70 W	0.00	59 of 161 - Sale <sup>25</sup>
	100.000	265.98	05/20/20	299.02	33.04 W	0.00	60 of 161 - Sale <sup>25</sup>
	211.000	561.22	05/20/20	611.94	50.72 W	0.00	61 of 161 - Sale <sup>25</sup>
	13.000	34.58	05/20/20	38.85	4.27 W	0.00	62 of 161 - Sale <sup>25</sup>
	80.000	212.79	05/20/20	240.01	27.22 W	0.00	63 of 161 - Sale <sup>25</sup>
	194.000	516.00	05/20/20	582.07	66.07 W	0.00	64 of 161 - Sale <sup>25</sup>
	213.000	566.54	05/20/20	639.04	72.50 W	0.00	65 of 161 - Sale <sup>25</sup>
	13.000	34.58	05/20/20	39.01	4.43 W	0.00	66 of 161 - Sale <sup>25</sup>
	67.000	178.21	05/20/20	201.02	22.81 W	0.00	67 of 161 - Sale <sup>25</sup>
	13.000	34.58	05/20/20	39.00	4.42 W	0.00	68 of 161 - Sale <sup>25</sup>
	80.000	212.78	05/20/20	240.03	27.25 W	0.00	69 of 161 - Sale <sup>25</sup>
	94.000	250.02	05/20/20	282.03	32.01 W	0.00	70 of 161 - Sale <sup>25</sup>
	233.000	619.74	05/20/20	699.09	79.35 W	0.00	71 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.00	0.34 W	0.00	72 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.00	0.34 W	0.00	73 of 161 - Sale <sup>25</sup>
	15.000	39.90	05/20/20	45.00	5.10 W	0.00	74 of 161 - Sale <sup>25</sup>
	35.000	93.09	05/20/20	105.01	11.92 W	0.00	75 of 161 - Sale <sup>25</sup>
	128.000	340.46	05/20/20	384.05	43.59 W	0.00	76 of 161 - Sale <sup>25</sup>
	320.000	851.14	05/20/20	960.13	108.99 W	0.00	77 of 161 - Sale <sup>25</sup>
	207.000	550.58	05/20/20	621.08	70.50 W	0.00	78 of 161 - Sale <sup>25</sup>
	293.000	779.33	05/20/20	879.11	99.78 W	0.00	79 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	2.95	0.29 W	0.00	80 of 161 - Sale <sup>25</sup>
	10.000	26.60	05/20/20	29.47	2.87 W	0.00	81 of 161 - Sale <sup>25</sup>
	30.000	79.79	05/20/20	88.41	8.62 W	0.00	82 of 161 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	52.000	138.31	05/20/20	153.25	14.94 W	0.00	83 of 161 - Sale <sup>25</sup>
	107.000	284.60	05/20/20	315.35	30.75 W	0.00	84 of 161 - Sale <sup>25</sup>
	20.000	53.20	05/20/20	59.14	5.94 W	0.00	85 of 161 - Sale <sup>25</sup>
	100.000	265.98	05/20/20	296.90	30.92 W	0.00	86 of 161 - Sale <sup>25</sup>
	73.000	194.17	05/20/20	215.37	21.20 W	0.00	87 of 161 - Sale <sup>25</sup>
	127.000	337.80	05/20/20	374.70	36.90 W	0.00	88 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.04	0.38 W	0.00	89 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.03	0.37 W	0.00	90 of 161 - Sale <sup>25</sup>
	14.000	37.24	05/20/20	42.40	5.16 W	0.00	91 of 161 - Sale <sup>25</sup>
	84.000	223.43	05/20/20	246.88	23.45 W	0.00	92 of 161 - Sale <sup>25</sup>
	100.000	265.98	05/20/20	302.91	36.93 W	0.00	93 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.05	0.39 W	0.00	94 of 161 - Sale <sup>25</sup>
	7.000	18.62	05/20/20	21.48	2.86 W	0.00	95 of 161 - Sale <sup>25</sup>
	13.000	34.58	05/20/20	39.50	4.92 W	0.00	96 of 161 - Sale <sup>25</sup>
	80.000	212.78	05/20/20	244.02	31.24 W	0.00	97 of 161 - Sale <sup>25</sup>
	85.000	226.08	05/20/20	258.43	32.35 W	0.00	98 of 161 - Sale <sup>25</sup>
	74.000	196.83	05/20/20	225.73	28.90 W	0.00	99 of 161 - Sale <sup>25</sup>
	61.000	162.25	05/20/20	186.08	23.83 W	0.00	100 of 161 - Sale <sup>25</sup>
	139.000	369.71	05/20/20	424.00	54.29 W	0.00	101 of 161 - Sale <sup>25</sup>
	13.000	34.58	05/20/20	39.66	5.08 W	0.00	102 of 161 - Sale <sup>25</sup>
	13.000	34.58	05/20/20	39.65	5.07 W	0.00	103 of 161 - Sale <sup>25</sup>
	67.000	178.21	05/20/20	204.38	26.17 W	0.00	104 of 161 - Sale <sup>25</sup>
	80.000	212.78	05/20/20	244.05	31.27 W	0.00	105 of 161 - Sale <sup>25</sup>
	61.000	162.25	05/20/20	186.08	23.83 W	0.00	106 of 161 - Sale <sup>25</sup>
	133.000	353.76	05/20/20	405.73	51.97 W	0.00	107 of 161 - Sale <sup>25</sup>
	233.000	619.73	05/20/20	710.78	91.05 W	0.00	108 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.05	0.39 W	0.00	109 of 161 - Sale <sup>25</sup>
	1.000	2.66	05/20/20	3.05	0.39 W	0.00	110 of 161 - Sale <sup>25</sup>
	15.000	39.90	05/20/20	45.75	5.85 W	0.00	111 of 161 - Sale <sup>25</sup>
	35.000	93.09	05/20/20	106.77	13.68 W	0.00	112 of 161 - Sale <sup>25</sup>
	33.000	87.77	05/20/20	100.67	12.90 W	0.00	113 of 161 - Sale <sup>25</sup>
	128.000	340.46	05/20/20	390.47	50.01 W	0.00	114 of 161 - Sale <sup>25</sup>
	187.000	497.38	05/20/20	570.46	73.08 W	0.00	115 of 161 - Sale <sup>25</sup>
	114.000	303.36	05/20/20	347.77	44.41 W	0.00	116 of 161 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	293.000	779.71	05/20/20	893.81	114.10 W	0.00	117 of 161 - Sale <sup>25</sup>
	133.000	353.93	05/20/20	405.73	51.80 W	0.00	118 of 161 - Sale <sup>25</sup>
	12.000	33.01	05/20/20	38.02	5.01 W	0.00	119 of 161 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.08	0.33 W	0.00	120 of 161 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.17	0.42 W	0.00	121 of 161 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.16	0.41 W	0.00	122 of 161 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.18	0.43 W	0.00	123 of 161 - Sale <sup>25</sup>
	7.000	19.26	05/20/20	22.39	3.13 W	0.00	124 of 161 - Sale <sup>25</sup>
	10.000	27.51	05/20/20	30.77	3.26 W	0.00	125 of 161 - Sale <sup>25</sup>
	13.000	35.76	05/20/20	41.19	5.43 W	0.00	126 of 161 - Sale <sup>25</sup>
	14.000	38.51	05/20/20	44.22	5.71 W	0.00	127 of 161 - Sale <sup>25</sup>
	20.000	55.01	05/20/20	61.74	6.73 W	0.00	128 of 161 - Sale <sup>25</sup>
	20.000	55.01	05/20/20	63.62	8.61 W	0.00	129 of 161 - Sale <sup>25</sup>
	30.000	82.52	05/20/20	92.32	9.80 W	0.00	130 of 161 - Sale <sup>25</sup>
	52.000	143.04	05/20/20	160.02	16.98 W	0.00	131 of 161 - Sale <sup>25</sup>
	61.000	167.79	05/20/20	194.02	26.23 W	0.00	132 of 161 - Sale <sup>25</sup>
	73.000	200.80	05/20/20	224.87	24.07 W	0.00	133 of 161 - Sale <sup>25</sup>
	74.000	203.55	05/20/20	235.36	31.81 W	0.00	134 of 161 - Sale <sup>25</sup>
	80.000	220.06	05/20/20	254.44	34.38 W	0.00	135 of 161 - Sale <sup>25</sup>
	84.000	231.06	05/20/20	257.81	26.75 W	0.00	136 of 161 - Sale <sup>25</sup>
	85.000	233.81	05/20/20	269.50	35.69 W	0.00	137 of 161 - Sale <sup>25</sup>
	93.000	255.82	05/20/20	291.14	35.32 W	0.00	138 of 161 - Sale <sup>25</sup>
	100.000	275.07	05/20/20	309.92	34.85 W	0.00	139 of 161 - Sale <sup>25</sup>
	100.000	275.07	05/20/20	315.93	40.86 W	0.00	140 of 161 - Sale <sup>25</sup>
	107.000	294.33	05/20/20	329.28	34.95 W	0.00	141 of 161 - Sale <sup>25</sup>
	127.000	349.34	05/20/20	391.23	41.89 W	0.00	142 of 161 - Sale <sup>25</sup>
	139.000	382.35	05/20/20	442.10	59.75 W	0.00	143 of 161 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.19	0.44 W	0.00	144 of 161 - Sale <sup>25</sup>
	1.000	2.75	05/20/20	3.19	0.44 W	0.00	145 of 161 - Sale <sup>25</sup>
	13.000	35.76	05/20/20	41.48	5.72 W	0.00	146 of 161 - Sale <sup>25</sup>
	13.000	35.76	05/20/20	41.47	5.71 W	0.00	147 of 161 - Sale <sup>25</sup>
	15.000	41.26	05/20/20	47.85	6.59 W	0.00	148 of 161 - Sale <sup>25</sup>
	33.000	90.77	05/20/20	105.30	14.53 W	0.00	149 of 161 - Sale <sup>25</sup>
	35.000	96.28	05/20/20	111.68	15.40 W	0.00	150 of 161 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	42.000	115.53	05/20/20	134.01	18.48 W	0.00	151 of 161 - Sale <sup>25</sup>
	61.000	167.79	05/20/20	194.63	26.84 W	0.00	152 of 161 - Sale <sup>25</sup>
	67.000	184.30	05/20/20	213.77	29.47 W	0.00	153 of 161 - Sale <sup>25</sup>
	80.000	220.06	05/20/20	255.27	35.21 W	0.00	154 of 161 - Sale <sup>25</sup>
	113.000	310.83	05/20/20	360.55	49.72 W	0.00	155 of 161 - Sale <sup>25</sup>
	233.000	640.92	05/20/20	743.45	102.53 W	0.00	156 of 161 - Sale <sup>25</sup>
	74.000	203.58	05/20/20	234.44	30.86 W	0.00	157 of 161 - Sale <sup>25</sup>
	94.000	258.60	05/20/20	297.69	39.09 W	0.00	158 of 161 - Sale <sup>25</sup>
	133.000	365.90	05/20/20	421.19	55.29 W	0.00	159 of 161 - Sale <sup>25</sup>
	187.000	514.46	05/20/20	592.45	77.99 W	0.00	160 of 161 - Sale <sup>25</sup>
	199.000	547.47	05/20/20	628.72	81.25 W	0.00	161 of 161 - Sale <sup>25</sup>
05/20/20	13,618.000	36,706.61	Various	40,597.61	3,875.89 W	-15.11	Total of 161 transactions
	28 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	79.000	181.79	05/20/20	218.82	...	-37.03	1 of 28 - Sale <sup>25</sup>
	52.000	119.66	05/20/20	144.55	...	-24.89	2 of 28 - Sale <sup>25</sup>
	48.000	110.45	05/20/20	134.33	...	-23.88	3 of 28 - Sale <sup>25</sup>
	21.000	48.32	05/20/20	58.16	...	-9.84	4 of 28 - Sale <sup>25</sup>
	98.000	225.52	05/21/20	280.14	...	-54.62	5 of 28 - Sale <sup>25</sup>
	81.000	186.39	05/21/20	220.19	...	-33.80	6 of 28 - Sale <sup>25</sup>
	74.000	170.28	05/21/20	203.49	...	-33.21	7 of 28 - Sale <sup>25</sup>
	85.000	195.60	05/21/20	226.83	...	-31.23	8 of 28 - Sale <sup>25</sup>
	57.000	131.17	05/21/20	152.68	...	-21.51	9 of 28 - Sale <sup>25</sup>
	23.000	52.93	05/21/20	63.24	...	-10.31	10 of 28 - Sale <sup>25</sup>
	19.000	43.72	05/21/20	51.86	...	-8.14	11 of 28 - Sale <sup>25</sup>
	14.000	32.22	05/21/20	37.19	...	-4.97	12 of 28 - Sale <sup>25</sup>
	13.000	29.91	05/21/20	34.66	...	-4.75	13 of 28 - Sale <sup>25</sup>
	11.000	25.31	05/21/20	30.03	...	-4.72	14 of 28 - Sale <sup>25</sup>
	10.000	23.01	05/21/20	27.40	...	-4.39	15 of 28 - Sale <sup>25</sup>
	7.000	16.11	05/21/20	18.87	...	-2.76	16 of 28 - Sale <sup>25</sup>
	5.000	11.51	05/21/20	13.75	...	-2.24	17 of 28 - Sale <sup>25</sup>
	3.000	6.90	05/21/20	8.25	...	-1.35	18 of 28 - Sale <sup>25</sup>
	2.000	4.60	05/21/20	5.32	...	-0.72	19 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.87	...	-0.57	20 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.79	...	-0.49	21 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	1.000	2.30	05/21/20	2.78	...	-0.48	22 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.77	...	-0.47	23 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.75	...	-0.45	24 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.74	...	-0.44	25 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.73	...	-0.43	26 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.68	...	-0.38	27 of 28 - Sale <sup>25</sup>
	1.000	2.30	05/21/20	2.66	...	-0.36	28 of 28 - Sale <sup>25</sup>
05/21/20	711.000	1,636.10	Various	1,954.53	...	-318.43	Total of 28 transactions
	35 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	187.000	402.01	05/29/20	470.69	...	-68.68	1 of 35 - Sale <sup>25</sup>
	128.000	275.17	05/29/20	326.41	...	-51.24	2 of 35 - Sale <sup>25</sup>
	113.000	242.93	05/29/20	289.27	...	-46.34	3 of 35 - Sale <sup>25</sup>
	90.000	193.48	05/29/20	230.39	...	-36.91	4 of 35 - Sale <sup>25</sup>
	80.000	171.98	05/29/20	204.01	...	-32.03	5 of 35 - Sale <sup>25</sup>
	67.000	144.04	05/29/20	171.50	...	-27.46	6 of 35 - Sale <sup>25</sup>
	76.000	163.38	05/29/20	190.63	...	-27.25	7 of 35 - Sale <sup>25</sup>
	66.000	141.89	05/29/20	168.29	...	-26.40	8 of 35 - Sale <sup>25</sup>
	70.000	150.49	05/29/20	176.11	...	-25.62	9 of 35 - Sale <sup>25</sup>
	68.000	146.19	05/29/20	171.07	...	-24.88	10 of 35 - Sale <sup>25</sup>
	61.000	131.14	05/29/20	155.55	...	-24.41	11 of 35 - Sale <sup>25</sup>
	61.000	131.14	05/29/20	155.54	...	-24.40	12 of 35 - Sale <sup>25</sup>
	64.000	137.59	05/29/20	161.73	...	-24.14	13 of 35 - Sale <sup>25</sup>
	51.000	109.64	05/29/20	130.03	...	-20.39	14 of 35 - Sale <sup>25</sup>
	42.000	90.29	05/29/20	107.10	...	-16.81	15 of 35 - Sale <sup>25</sup>
	35.000	75.24	05/29/20	89.25	...	-14.01	16 of 35 - Sale <sup>25</sup>
	33.000	70.94	05/29/20	84.16	...	-13.22	17 of 35 - Sale <sup>25</sup>
	30.000	64.50	05/29/20	75.47	...	-10.97	18 of 35 - Sale <sup>25</sup>
	30.000	64.50	05/29/20	75.47	...	-10.97	19 of 35 - Sale <sup>25</sup>
	24.000	51.60	05/29/20	60.38	...	-8.78	20 of 35 - Sale <sup>25</sup>
	20.000	43.00	05/29/20	51.01	...	-8.01	21 of 35 - Sale <sup>25</sup>
	15.000	32.25	05/29/20	38.25	...	-6.00	22 of 35 - Sale <sup>25</sup>
	14.000	30.10	05/29/20	35.69	...	-5.59	23 of 35 - Sale <sup>25</sup>
	13.000	27.95	05/29/20	33.28	...	-5.33	24 of 35 - Sale <sup>25</sup>
	13.000	27.95	05/29/20	33.14	...	-5.19	25 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 143648111

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	12.000	25.80	05/29/20	30.33	...	-4.53	26 of 35 - Sale <sup>25</sup>
	10.000	21.50	05/29/20	25.17	...	-3.67	27 of 35 - Sale <sup>25</sup>
	8.000	17.20	05/29/20	20.40	...	-3.20	28 of 35 - Sale <sup>25</sup>
	8.000	17.20	05/29/20	20.40	...	-3.20	29 of 35 - Sale <sup>25</sup>
	3.000	6.45	05/29/20	7.65	...	-1.20	30 of 35 - Sale <sup>25</sup>
	3.000	6.45	05/29/20	7.55	...	-1.10	31 of 35 - Sale <sup>25</sup>
	2.000	4.30	05/29/20	5.03	...	-0.73	32 of 35 - Sale <sup>25</sup>
	1.000	2.15	05/29/20	2.55	...	-0.40	33 of 35 - Sale <sup>25</sup>
	1.000	2.15	05/29/20	2.55	...	-0.40	34 of 35 - Sale <sup>25</sup>
	1.000	2.15	05/29/20	2.55	...	-0.40	35 of 35 - Sale <sup>25</sup>
05/29/20	1,500.000	3,224.74	Various	3,808.60	...	-583.86	Total of 35 transactions
	4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	100.000	200.12	06/01/20	242.83	...	-42.71	1 of 4 - Sale <sup>25</sup>
	57.000	114.07	06/01/20	137.85	...	-23.78	2 of 4 - Sale <sup>25</sup>
	23.000	46.03	06/01/20	55.62	...	-9.59	3 of 4 - Sale <sup>25</sup>
	20.000	40.02	06/01/20	48.37	...	-8.35	4 of 4 - Sale <sup>25</sup>
06/01/20	200.000	400.24	Various	484.67	...	-84.43	Total of 4 transactions
	<b>Security total:</b>	<b>54,296.56</b>		<b>58,890.20</b>	<b>4,159.03 W</b>	<b>-434.61</b>	
<b>Totals :</b>		<b>4,852,582.20</b>		<b>5,768,591.86</b>	<b>873,530.82 W</b>	<b>-42,478.84</b>	

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Robinhood Securities LLC

Account 143648111

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
APPLE INC. COMMON STOCK	037833100		02/13/20	5.39	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	35.70	Qualified dividend	
				<b>41.09</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 143648111

**Detail for Interest Income****2020**

02/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
		12/31/20	0.02	Interest	
			<b>0.02</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 143648111

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/07/20	-5.00	Margin interest paid	
		05/07/20	-5.00	Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		08/05/20	-5.00	Margin interest paid	
		10/16/20	-5.00	Margin interest paid	
		11/16/20	-5.00	Margin interest paid	
		11/16/20	-8.87	Margin interest paid	
		12/15/20	-5.00	Margin interest paid	
		12/15/20	-13.66	Margin interest paid	
			-62.53	Total Margin interest paid	
			<b>-62.53</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Instructions for Recipient**

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).