

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 887975969	Statement Date: 02/14/2021 Document ID: 2F11 KS1 JJM0	2020
	Jaindher karthik Adiraju 7869 Capital Peak Ln E 203 Memphis, TN 38125 RECIPIENT'S TIN: XXX-XX-1310		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 8.58</p> <p>1b- Qualified dividends 8.58</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	196,201.75	195,083.12	0.00	4,128.25	5,246.88
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	4.34	4.94	0.00	0.00	-0.60
	Total Short-term	196,206.09	195,088.06	0.00	4,128.25	5,246.28
Long	D (basis reported to the IRS)	1,569.09	2,561.16	0.00	874.90	-117.17
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	1,569.09	2,561.16	0.00	874.90	-117.17
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	197,775.18	197,649.22	0.00	5,003.15	5,129.11
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INO 12/11/2020 CALL \$12.00 / CUSIP: / Symbol:							
12/11/20	1.000	0.00	12/09/20	33.00	...	-33.00	Option expiration- long call
KR 12/24/2020 CALL \$31.50 / CUSIP: / Symbol:							
6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	3.000	20.99	12/15/20	90.00	...	-69.01	1 of 6 - Option sale to close-call ²⁵
	1.000	6.98	12/15/20	53.00	...	-46.02	2 of 6 - Option sale to close-call ²⁵
	2.000	13.99	12/15/20	60.00	...	-46.01	3 of 6 - Option sale to close-call ²⁵
	1.000	6.99	12/15/20	30.00	...	-23.01	4 of 6 - Option sale to close-call ²⁵
	1.000	7.00	12/15/20	30.00	...	-23.00	5 of 6 - Option sale to close-call ²⁵
	1.000	7.00	12/15/20	30.00	...	-23.00	6 of 6 - Option sale to close-call ²⁵
12/24/20	9.000	62.95	Various	293.00	...	-230.05	Total of 6 transactions
12/24/20	1.000	7.00	12/15/20	30.00	23.00 W	0.00	Option sale to close-call ²⁵
	Security total:	69.95		323.00	23.00 W	-230.05	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZNGA 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	2.000	27.99	12/09/20	16.00	...	11.99	1 of 2 - Option sale to close-call ²⁵
	8.000	111.98	12/09/20	72.00	...	39.98	2 of 2 - Option sale to close-call ²⁵
12/15/20	10.000	139.97	Various	88.00	...	51.97	Total of 2 transactions
ZUO 12/18/2020 CALL \$12.00 / CUSIP: / Symbol:							
12/14/20	1.000	44.98	12/09/20	35.00	...	9.98	Option sale to close-call ²⁵
ZUO 12/18/2020 CALL \$13.00 / CUSIP: / Symbol:							
12/14/20	1.000	14.98	12/09/20	25.00	...	-10.02	Option sale to close-call ²⁵
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
08/10/20	100.000	78.99	08/07/20	79.00	...	-0.01	Sale ²⁵
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
3 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	16.000	4.09	01/17/20	4.36	...	-0.27	1 of 3 - Sale ²⁵
	59.000	15.10	01/22/20	15.05	...	0.05	2 of 3 - Sale ²⁵
	75.000	19.19	01/27/20	17.89	...	1.30	3 of 3 - Sale ²⁵
01/29/20	150.000	38.38	Various	37.30	...	1.08	Total of 3 transactions
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol:							
08/11/20	1.000	9.52	08/07/20	11.40	...	-1.88	Sale ²⁵
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
09/17/20	2.000	941.97	09/16/20	953.80	...	-11.83	Sale ²⁵
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
01/07/20	50.000	58.00	01/03/20	58.00	...	0.00	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
05/27/20	1.000	200.20	02/12/20	225.67	25.47 W	0.00	Sale ²⁵
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	216.72	02/13/20	218.18	...	-1.46	1 of 5 - Sale ²⁵
	1.000	216.72	02/20/20	216.76	...	-0.04	2 of 5 - Sale ²⁵
	1.000	216.71	05/21/20	235.57	...	-18.86	3 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	1.000	216.72	05/21/20	210.10	...	6.62	4 of 5 - Sale ²⁵
	1.000	216.71	05/22/20	200.20	...	16.51	5 of 5 - Sale ²⁵
06/03/20	5.000	1,083.58	Various	1,080.81	...	2.77	Total of 5 transactions
12/29/20	2.000	463.18	12/24/20	451.10	...	12.08	Sale ²⁵
	Security total:	1,746.96		1,757.58	25.47 W	14.85	
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
07/31/20	0.581	851.38	07/31/20	855.00	...	-3.62	Sale ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
09/21/20	0.401	1,189.11	09/18/20	1,177.00	...	12.11	Sale ²⁵
10/19/20	1.000	3,291.63	10/15/20	3,285.18	...	6.45	Sale ²⁵
10/26/20	1.000	3,264.92	10/19/20	3,265.00	0.08 W	0.00	Sale ²⁵
11/09/20	1.000	3,224.34	10/19/20	3,207.30	...	17.04	Sale ²⁵
	3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.120	383.06	10/26/20	383.00	...	0.06	1 of 3 - Sale ²⁵
	0.134	426.30	11/02/20	400.00	...	26.30	2 of 3 - Sale ²⁵
	0.547	1,738.07	11/02/20	1,640.00	...	98.07	3 of 3 - Sale ²⁵
12/01/20	0.801	2,547.43	Various	2,423.00	...	124.43	Total of 3 transactions
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.062	196.82	10/26/20	197.11	0.29 W	0.00	1 of 2 - Sale ²⁵
	0.137	435.67	11/09/20	435.69	0.01 W	-0.01	2 of 2 - Sale ²⁵
12/01/20	0.199	632.49	Various	632.80	0.30 W	-0.01	Total of 2 transactions
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	0.062	197.45	11/09/20	196.28	...	1.17	1 of 3 - Sale ²⁵
	0.075	239.62	11/09/20	237.85	...	1.77	2 of 3 - Sale ²⁵
	0.863	2,753.06	11/09/20	2,744.31	...	8.75	3 of 3 - Sale ²⁵
12/03/20	1.000	3,190.13	Various	3,178.44	...	11.69	Total of 3 transactions
12/09/20	1.000	3,162.15	12/01/20	3,168.00	5.85 W	0.00	Sale ²⁵
	2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.002	7.70	12/04/20	7.64	...	0.06	1 of 2 - Sale ²⁵
	0.460	1,471.79	12/04/20	1,456.29	...	15.50	2 of 2 - Sale ²⁵
12/24/20	0.462	1,479.49	Various	1,463.93	...	15.56	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
Security total:		21,981.69		21,800.65		6.23 W	187.27
AMERICAN EQUITY INVESTMENT LIF E HOLDING COMPANY / CUSIP: 025676206 / Symbol:							
08/07/20	5.000	120.20	08/06/20	120.00	...	0.20	Sale ²⁵
AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol:							
10/09/20	5.000	1,180.32	10/08/20	1,203.85	...	-23.53	Sale ²⁵
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	59.23	02/20/20	57.80	...	1.43	1 of 2 - Sale ²⁵
	1.000	59.24	02/21/20	53.02	...	6.22	2 of 2 - Sale ²⁵
05/21/20	2.000	118.47	Various	110.82	...	7.65	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
08/27/20	0.123	61.98	08/26/20	0.00	...	61.98	Sale ²⁵
09/21/20	6.000	646.54	09/18/20	646.55	...	-0.01	Sale ²⁵
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	5.000	618.83	12/04/20	611.00	...	7.83	1 of 2 - Sale ²⁵
	5.000	618.84	12/04/20	610.95	...	7.89	2 of 2 - Sale ²⁵
12/07/20	10.000	1,237.67	Various	1,221.95	...	15.72	Total of 2 transactions
Security total:		1,946.19		1,868.50	...	77.69	
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
12/09/20	2.000	209.54	12/08/20	201.40	...	8.14	Sale ²⁵
ARISTA NETWORKS / CUSIP: 040413106 / Symbol:							
4 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	1.000	205.12	10/31/19	244.64	...	-39.52	1 of 4 - Sale ²⁵
	1.000	205.11	10/31/19	194.50	...	10.61	2 of 4 - Sale ²⁵
	1.000	205.12	10/31/19	186.50	...	18.62	3 of 4 - Sale ²⁵
	1.000	205.11	12/11/19	190.35	...	14.76	4 of 4 - Sale ²⁵
01/07/20	4.000	820.46	Various	815.99	...	4.47	Total of 4 transactions

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02/14/2021

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ARISTA NETWORKS / CUSIP: 040413106 / Symbol: (cont'd)							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	1.000	225.70	02/13/20	227.27	...	-1.57	1 of 2 - Sale ²⁵
	1.000	225.70	02/13/20	216.51	...	9.19	2 of 2 - Sale ²⁵
02/20/20	2.000	451.40	Various	443.78	...	7.62	Total of 2 transactions
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	2.000	479.99	08/05/20	498.38	...	-18.39	1 of 3 - Sale ²⁵
	2.000	479.99	08/05/20	474.88	...	5.11	2 of 3 - Sale ²⁵
	2.000	479.98	08/05/20	470.70	...	9.28	3 of 3 - Sale ²⁵
11/02/20	6.000	1,439.96	Various	1,443.96	...	-4.00	Total of 3 transactions
	Security total:	2,711.82		2,703.73	...	8.09	
AUTOMATIC DATA PROCESSING, INC . COMMON STOCK / CUSIP: 053015103 / Symbol:							
07/30/20	2.000	265.88	07/29/20	274.88	...	-9.00	Sale ²⁵
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	3.000	409.85	05/18/20	323.76	...	86.09	1 of 3 - Sale ²⁵
	5.000	683.07	08/13/20	623.70	...	59.37	2 of 3 - Sale ²⁵
	7.000	956.31	08/13/20	876.05	...	80.26	3 of 3 - Sale ²⁵
10/14/20	15.000	2,049.23	Various	1,823.51	...	225.72	Total of 3 transactions
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	128.87	08/13/20	124.74	...	4.13	1 of 2 - Sale ²⁵
	0.935	120.53	08/13/20	110.00	...	10.53	2 of 2 - Sale ²⁵
10/19/20	1.935	249.40	Various	234.74	...	14.66	Total of 2 transactions
	Security total:	2,298.63		2,058.25	...	240.38	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
08/05/20	5.000	665.13	08/04/20	661.60	...	3.53	Sale ²⁵
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
8 transactions for 01/06/20. Total proceeds and cost reported to the IRS.							
	11.000	73.09	06/25/19	91.19	...	-18.10	1 of 8 - Sale ²⁵
	3.000	19.93	06/28/19	22.35	...	-2.42	2 of 8 - Sale ²⁵
	1.000	6.64	08/09/19	8.26	...	-1.62	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol: (cont'd)							
	4.000	26.58	08/09/19	27.88	...	-1.30	4 of 8 - Sale ²⁵
	5.000	33.22	09/24/19	29.30	...	3.92	5 of 8 - Sale ²⁵
	2.000	13.29	09/27/19	10.52	...	2.77	6 of 8 - Sale ²⁵
	24.000	159.48	12/19/19	139.92	...	19.56	7 of 8 - Sale ²⁵
	50.000	332.24	01/06/20	332.25	...	-0.01	8 of 8 - Sale ²⁵
01/06/20	100.000	664.47	Various	661.67	...	2.80	Total of 8 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
07/08/20	2.000	359.99	07/07/20	358.14	...	1.85	Sale ²⁵
07/08/20	5.000	899.98	06/10/20	988.85	88.87 W	0.00	Sale ²⁵
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	5.000	889.18	07/07/20	984.22	95.04 W	0.00	1 of 2 - Sale ²⁵
	5.000	889.18	07/09/20	995.44	106.26 W	0.00	2 of 2 - Sale ²⁵
07/10/20	10.000	1,778.36	Various	1,979.66	201.30 W	0.00	Total of 2 transactions
07/13/20	5.000	901.58	07/13/20	882.35	...	19.23	Sale ²⁵
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	2.000	360.63	07/09/20	389.16	28.53 W	0.00	1 of 2 - Sale ²⁵
	3.000	540.95	07/09/20	584.53	43.58 W	0.00	2 of 2 - Sale ²⁵
07/13/20	5.000	901.58	Various	973.69	72.11 W	0.00	Total of 2 transactions
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	2.000	362.38	07/13/20	381.47	19.09 W	0.00	1 of 2 - Sale ²⁵
	3.000	543.56	07/13/20	572.99	29.43 W	0.00	2 of 2 - Sale ²⁵
07/16/20	5.000	905.94	Various	954.46	48.52 W	0.00	Total of 2 transactions
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	2.000	359.57	07/14/20	369.75	10.18 W	0.00	1 of 2 - Sale ²⁵
	1.000	179.78	07/14/20	185.14	5.36 W	0.00	2 of 2 - Sale ²⁵
07/22/20	3.000	539.35	Various	554.89	15.54 W	0.00	Total of 2 transactions
	4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	2.000	356.70	07/14/20	370.29	13.59 W	0.00	1 of 4 - Sale ²⁵
	1.000	178.35	07/16/20	183.34	4.99 W	0.00	2 of 4 - Sale ²⁵
	1.000	178.35	07/17/20	180.86	2.51 W	0.00	3 of 4 - Sale ²⁵
	1.000	178.36	07/17/20	181.13	2.77 W	0.00	4 of 4 - Sale ²⁵
07/23/20	5.000	891.76	Various	915.62	23.86 W	0.00	Total of 4 transactions

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(continued)

02/14/2021

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
08/06/20	5.000	860.93	07/27/20	851.70	...	9.23	Sale ²⁵
	4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	172.19	07/27/20	175.36	3.17 W	0.00	1 of 4 - Sale ²⁵
	1.000	172.19	07/27/20	172.88	0.69 W	0.00	2 of 4 - Sale ²⁵
	1.000	172.18	07/27/20	173.13	0.95 W	0.00	3 of 4 - Sale ²⁵
	2.000	344.37	07/27/20	354.32	9.95 W	0.00	4 of 4 - Sale ²⁵
08/06/20	5.000	860.93	Various	875.69	14.76 W	0.00	Total of 4 transactions
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	171.29	07/30/20	164.78	...	6.51	1 of 4 - Sale ²⁵
	1.000	171.28	07/30/20	162.56	...	8.72	2 of 4 - Sale ²⁵
	1.000	171.29	07/30/20	162.30	...	8.99	3 of 4 - Sale ²⁵
	2.000	342.57	07/30/20	333.17	...	9.40	4 of 4 - Sale ²⁵
08/10/20	5.000	856.43	Various	822.81	...	33.62	Total of 4 transactions
08/24/20	8.000	1,386.76	08/18/20	1,361.60	...	25.16	Sale ²⁵
08/27/20	7.000	1,238.97	08/25/20	1,221.50	...	17.47	Sale ²⁵
	Security total:	12,382.56		12,740.96	464.96 W	106.56	
BOOKING HOLDINGS INC. COMMON S TOCK / CUSIP: 09857L108 / Symbol:							
07/06/20	1.000	1,719.96	06/11/20	1,621.00	...	98.96	Sale ²⁵
07/06/20	1.000	1,719.96	06/09/20	1,802.87	82.91 W	0.00	Sale ²⁵
07/08/20	1.000	1,657.53	07/07/20	1,740.38	...	-82.85	Sale ²⁵
	Security total:	5,097.45		5,164.25	82.91 W	16.11	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
06/19/20	10.000	179.12	06/11/20	176.90	...	2.22	Sale ²⁵
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
	3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	2.000	150.10	10/27/20	160.56	...	-10.46	1 of 3 - Sale ²⁵
	3.000	225.16	11/09/20	232.82	...	-7.66	2 of 3 - Sale ²⁵
	4.000	300.21	11/09/20	289.52	...	10.69	3 of 3 - Sale ²⁵
11/27/20	9.000	675.47	Various	682.90	...	-7.43	Total of 3 transactions
11/27/20	3.000	225.16	10/27/20	240.84	15.68 W	0.00	Sale ²⁵
	Security total:	900.63		923.74	15.68 W	-7.43	

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(continued)

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CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
10/14/20	20.000	159.40	10/13/20	157.00	...	2.40	Sale ²⁵
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
<i>3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	48.22	02/12/20	49.00	...	-0.78	1 of 3 - Sale ²⁵
	1.000	48.22	02/12/20	48.02	...	0.20	2 of 3 - Sale ²⁵
	1.000	48.22	02/13/20	47.39	...	0.83	3 of 3 - Sale ²⁵
06/05/20	3.000	144.66	Various	144.41	...	0.25	Total of 3 transactions
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
10/14/20	10.000	437.50	10/13/20	437.20	...	0.30	Sale ²⁵
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
<i>2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.97	09/11/20	1.91	...	0.06	1 of 2 - Sale ²⁵
	4.000	7.88	09/11/20	7.64	...	0.24	2 of 2 - Sale ²⁵
09/14/20	5.000	9.85	Various	9.55	...	0.30	Total of 2 transactions
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
<i>2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	294.03	08/10/20	291.00	...	3.03	1 of 2 - Sale ²⁵
	17.000	1,667.83	08/10/20	1,649.00	...	18.83	2 of 2 - Sale ²⁵
08/11/20	20.000	1,961.86	Various	1,940.00	...	21.86	Total of 2 transactions
09/03/20	2.000	272.72	09/02/20	264.58	...	8.14	Sale ²⁵
	Security total:	2,234.58		2,204.58	...	30.00	
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
<i>2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	116.22	08/18/20	116.22	...	0.00	1 of 2 - Sale ²⁵
	1.000	19.45	08/18/20	19.37	...	0.08	2 of 2 - Sale ²⁵
08/19/20	7.000	135.67	Various	135.59	...	0.08	Total of 2 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
10/22/20	20.000	672.38	10/09/20	663.38	...	9.00	Sale ²⁵

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DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
12/01/20	10.000	414.90	11/30/20	400.70	...	14.20	Sale ²⁵
DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol:							
04/14/20	4.000	135.48	04/14/20	132.00	...	3.48	Sale ²⁵
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
01/02/20	5.000	732.28	12/19/19	731.25	...	1.03	Sale ²⁵
	3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.						
	2.000	293.90	12/23/19	289.30	...	4.60	1 of 3 - Sale ²⁵
	3.000	440.84	12/23/19	433.95	...	6.89	2 of 3 - Sale ²⁵
	3.000	440.84	12/30/19	433.32	...	7.52	3 of 3 - Sale ²⁵
01/03/20	8.000	1,175.58	Various	1,156.57	...	19.01	Total of 3 transactions
01/28/20	1.000	138.33	01/27/20	137.65	...	0.68	Sale ²⁵
01/28/20	2.000	276.66	01/16/20	290.20	13.54 W	0.00	Sale ²⁵
02/04/20	2.000	290.90	01/27/20	288.84	...	2.06	Sale ²⁵
	4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	124.60	02/04/20	144.79	...	-20.19	1 of 4 - Sale ²⁵
	1.000	124.60	02/04/20	143.80	...	-19.20	2 of 4 - Sale ²⁵
	1.000	124.60	05/22/20	118.48	...	6.12	3 of 4 - Sale ²⁵
	3.000	373.78	07/08/20	342.60	...	31.18	4 of 4 - Sale ²⁵
08/05/20	6.000	747.58	Various	749.67	...	-2.09	Total of 4 transactions
	Security total:	3,361.33		3,354.18	13.54 W	20.69	
DOMINO'S PIZZA INC. / CUSIP: 25754A201 / Symbol:							
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	2.000	801.04	10/08/20	800.34	...	0.70	1 of 2 - Sale ²⁵
	3.000	1,201.56	10/09/20	1,178.70	...	22.86	2 of 2 - Sale ²⁵
10/13/20	5.000	2,002.60	Various	1,979.04	...	23.56	Total of 2 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
09/22/20	10.000	100.10	09/18/20	122.50	...	-22.40	Sale ²⁵
12/08/20	10.000	113.00	12/07/20	124.50	...	-11.50	Sale ²⁵
	Security total:	213.10		247.00	...	-33.90	

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EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	5.000	360.89	10/22/20	330.00	...	30.89	1 of 2 - Sale ²⁵
	10.000	721.78	10/22/20	686.50	...	35.28	2 of 2 - Sale ²⁵
10/22/20	15.000	1,082.67	Various	1,016.50	...	66.17	Total of 2 transactions
EMERGENT BIOSOLUTIONS, INC. / CUSIP: 29089Q105 / Symbol:							
10/14/20	10.000	980.77	10/13/20	980.70	...	0.07	Sale ²⁵
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	1.000	210.64	01/27/20	215.00	...	-4.36	1 of 2 - Sale ²⁵
	2.000	421.27	01/29/20	416.16	...	5.11	2 of 2 - Sale ²⁵
02/12/20	3.000	631.91	Various	631.16	...	0.75	Total of 2 transactions
04/28/20	2.000	378.46	04/27/20	379.32	...	-0.86	Sale ²⁵
	Security total:	1,010.37		1,010.48	...	-0.11	
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
12/09/20	3.000	888.73	12/08/20	899.67	10.94 W	0.00	Sale ²⁵
FIRSTENERGY CORP. / CUSIP: 337932107 / Symbol:							
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	10.000	288.13	07/22/20	311.10	...	-22.97	1 of 3 - Sale ²⁵
	10.000	288.13	07/22/20	292.35	...	-4.22	2 of 3 - Sale ²⁵
	20.000	576.27	07/22/20	543.40	...	32.87	3 of 3 - Sale ²⁵
07/22/20	40.000	1,152.53	Various	1,146.85	...	5.68	Total of 3 transactions
FOSSIL GROUP, INC. COMMON STOC K / CUSIP: 34988V106 / Symbol:							
02/20/20	1.000	6.23	02/18/20	6.18	...	0.05	Sale ²⁵
GALAPAGOS NV AMERICAN DEPOSITA RY SHARES / CUSIP: 36315X101 / Symbol:							
08/20/20	1.000	143.20	08/19/20	136.73	...	6.47	Sale ²⁵

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GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
07/10/20	16.000	389.19	06/29/20	392.00	...	-2.81	Sale ²⁵
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	15.000	364.87	06/09/20	449.85	84.98 W	0.00	1 of 2 - Sale ²⁵
	2.000	48.65	06/24/20	51.68	3.03 W	0.00	2 of 2 - Sale ²⁵
07/10/20	17.000	413.52	Various	501.53	88.01 W	0.00	Total of 2 transactions
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	4.000	116.16	06/29/20	120.66	...	-4.50	1 of 4 - Sale ²⁵
	8.000	232.32	07/09/20	232.76	...	-0.44	2 of 4 - Sale ²⁵
	3.000	87.12	07/09/20	87.29	...	-0.17	3 of 4 - Sale ²⁵
	2.000	58.08	07/09/20	49.89	...	8.19	4 of 4 - Sale ²⁵
08/11/20	17.000	493.68	Various	490.60	...	3.08	Total of 4 transactions
	Security total:	1,296.39		1,384.13	88.01 W	0.27	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
	2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.						
	1.000	67.67	02/05/20	66.34	...	1.33	1 of 2 - Sale ²⁵
	2.000	135.34	02/06/20	134.04	...	1.30	2 of 2 - Sale ²⁵
02/11/20	3.000	203.01	Various	200.38	...	2.63	Total of 2 transactions
GLOBAL BLOOD THERAPEUTICS, INC . COMMON STOCK / CUSIP: 37890U108 / Symbol:							
	2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	10.000	399.99	11/05/20	420.00	...	-20.01	1 of 2 - Sale ²⁵
	12.000	479.99	11/06/20	453.24	...	26.75	2 of 2 - Sale ²⁵
11/09/20	22.000	879.98	Various	873.24	...	6.74	Total of 2 transactions
HARLEY-DAVIDSON, INC. / CUSIP: 412822108 / Symbol:							
07/29/20	20.000	565.58	07/28/20	527.20	...	38.38	Sale ²⁵
HASBRO, INC. COMMON STOCK / CUSIP: 418056107 / Symbol:							
01/03/20	1.000	104.64	01/02/20	111.60	...	-6.96	Sale ²⁵
07/28/20	5.000	362.10	07/27/20	359.30	...	2.80	Sale ²⁵
	Security total:	466.74		470.90	...	-4.16	

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Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HEALTHYQUITY, INC. COMMON STOC K / CUSIP: 42226A107 / Symbol:							
8 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	2.000	125.64	06/02/20	125.24	...	0.40	1 of 8 - Sale ²⁵
	3.000	188.45	06/02/20	187.86	...	0.59	2 of 8 - Sale ²⁵
	3.000	188.46	06/02/20	187.86	...	0.60	3 of 8 - Sale ²⁵
	4.000	251.27	06/02/20	250.48	...	0.79	4 of 8 - Sale ²⁵
	5.000	314.09	06/02/20	313.10	...	0.99	5 of 8 - Sale ²⁵
	7.000	439.73	06/02/20	438.34	...	1.39	6 of 8 - Sale ²⁵
	10.000	631.98	06/02/20	565.60	...	66.38	7 of 8 - Sale ²⁵
	16.000	1,011.18	06/02/20	904.96	...	106.22	8 of 8 - Sale ²⁵
06/05/20	50.000	3,150.80	Various	2,973.44	...	177.36	Total of 8 transactions
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	2.000	119.18	06/03/20	111.70	...	7.48	1 of 3 - Sale ²⁵
	8.000	476.72	06/03/20	446.80	...	29.92	2 of 3 - Sale ²⁵
	9.000	536.29	06/03/20	502.65	...	33.64	3 of 3 - Sale ²⁵
06/22/20	19.000	1,132.19	Various	1,061.15	...	71.04	Total of 3 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	7.000	369.94	08/06/20	377.02	...	-7.08	1 of 3 - Sale ²⁵
	3.000	158.55	08/06/20	160.50	...	-1.95	2 of 3 - Sale ²⁵
	10.000	528.48	08/07/20	519.45	...	9.03	3 of 3 - Sale ²⁵
08/10/20	20.000	1,056.97	Various	1,056.97	...	0.00	Total of 3 transactions
09/23/20	10.000	472.30	09/22/20	482.50	...	-10.20	Sale ²⁵
12/08/20	8.000	549.42	12/07/20	547.05	...	2.37	Sale ²⁵
12/15/20	12.000	823.18	12/07/20	820.57	...	2.61	Sale ²⁵
	Security total:	7,184.86		6,941.68	...	243.18	
HEICO CORPORATION / CUSIP: 422806109 / Symbol:							
6 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	1.000	117.56	12/16/19	126.90	...	-9.34	1 of 6 - Sale ²⁵
	1.000	117.56	12/17/19	121.21	...	-3.65	2 of 6 - Sale ²⁵
	1.000	117.56	12/19/19	116.06	...	1.50	3 of 6 - Sale ²⁵
	2.000	235.11	12/20/19	231.26	...	3.85	4 of 6 - Sale ²⁵
	5.000	587.79	12/26/19	574.30	...	13.49	5 of 6 - Sale ²⁵

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Account 887975969

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HEICO CORPORATION / CUSIP: 422806109 / Symbol: (cont'd)							
	6.000	705.34	12/30/19	683.88	...	21.46	6 of 6 - Sale ²⁵
01/02/20	16.000	1,880.92	Various	1,853.61	...	27.31	Total of 6 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
02/18/20	2.000	8.70	02/14/20	8.60	...	0.10	Sale ²⁵
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	4.000	52.52	05/11/20	43.96	...	8.56	1 of 2 - Sale ²⁵
	26.000	341.38	05/11/20	285.74	...	55.64	2 of 2 - Sale ²⁵
05/27/20	30.000	393.90	Various	329.70	...	64.20	Total of 2 transactions
07/31/20	3.000	62.14	07/30/20	63.30	1.16 W	0.00	Sale ²⁵
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	15.000	269.24	08/11/20	247.80	...	21.44	1 of 2 - Sale ²⁵
	19.000	341.04	08/11/20	298.46	...	42.58	2 of 2 - Sale ²⁵
09/16/20	34.000	610.28	Various	546.26	...	64.02	Total of 2 transactions
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	3.000	53.85	08/10/20	58.10	4.25 W	0.00	1 of 2 - Sale ²⁵
	32.000	574.38	08/10/20	607.36	32.98 W	0.00	2 of 2 - Sale ²⁵
09/16/20	35.000	628.23	Various	665.46	37.23 W	0.00	Total of 2 transactions
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	6.000	99.06	08/11/20	90.90	...	8.16	1 of 4 - Sale ²⁵
	4.000	66.04	08/12/20	51.87	...	14.17	2 of 4 - Sale ²⁵
	1.000	16.51	09/17/20	16.33	...	0.18	3 of 4 - Sale ²⁵
	40.000	660.38	09/17/20	622.00	...	38.38	4 of 4 - Sale ²⁵
09/18/20	51.000	841.99	Various	781.10	...	60.89	Total of 4 transactions
	8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	3.000	49.53	09/17/20	56.15	6.62 W	0.00	1 of 8 - Sale ²⁵
	32.000	528.30	09/17/20	586.58	58.28 W	0.00	2 of 8 - Sale ²⁵
	15.000	247.64	09/17/20	259.50	11.86 W	0.00	3 of 8 - Sale ²⁵
	20.000	330.19	09/17/20	337.16	6.97 W	0.00	4 of 8 - Sale ²⁵
	3.000	51.24	09/17/20	53.27	2.03 W	0.00	5 of 8 - Sale ²⁵
	7.000	119.57	09/17/20	121.60	2.03 W	0.00	6 of 8 - Sale ²⁵
	25.000	427.02	09/18/20	456.46	29.44 W	0.00	7 of 8 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
09/18/20	15.000	256.20	09/18/20	258.41	2.21 W	0.00	8 of 8 - Sale ²⁵
	120.000	2,009.69	Various	2,129.13	119.44 W	0.00	Total of 8 transactions
	5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	5.000	73.90	09/22/20	93.84	...	-19.94	1 of 5 - Sale ²⁵
	15.000	221.69	09/23/20	237.58	...	-15.89	2 of 5 - Sale ²⁵
	10.000	147.79	09/23/20	159.86	...	-12.07	3 of 5 - Sale ²⁵
	50.000	738.97	09/28/20	560.00	...	178.97	4 of 5 - Sale ²⁵
	8.000	118.24	11/09/20	71.90	...	46.34	5 of 5 - Sale ²⁵
12/03/20	88.000	1,300.59	Various	1,123.18	...	177.41	Total of 5 transactions
	5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	10.000	147.80	09/18/20	167.86	20.06 W	0.00	1 of 5 - Sale ²⁵
	10.000	147.79	09/22/20	189.68	20.95 W	-20.94	2 of 5 - Sale ²⁵
	3.000	44.34	09/22/20	57.89	13.55 W	0.00	3 of 5 - Sale ²⁵
	7.000	103.46	09/22/20	132.37	28.91 W	0.00	4 of 5 - Sale ²⁵
	25.000	369.49	09/22/20	494.94	125.45 W	0.00	5 of 5 - Sale ²⁵
12/03/20	55.000	812.88	Various	1,042.74	208.92 W	-20.94	Total of 5 transactions
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	10.000	116.00	11/09/20	140.05	...	-24.05	1 of 2 - Sale ²⁵
	4.000	46.40	11/09/20	43.97	...	2.43	2 of 2 - Sale ²⁵
12/08/20	14.000	162.40	Various	184.02	...	-21.62	Total of 2 transactions
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	3.000	34.80	11/09/20	40.51	5.71 W	0.00	1 of 2 - Sale ²⁵
	7.000	81.20	11/09/20	91.82	10.62 W	0.00	2 of 2 - Sale ²⁵
12/08/20	10.000	116.00	Various	132.33	16.33 W	0.00	Total of 2 transactions
	4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	6.000	57.57	11/09/20	65.96	...	-8.39	1 of 4 - Sale ²⁵
	8.000	76.76	11/23/20	123.10	...	-46.34	2 of 4 - Sale ²⁵
	7.000	67.17	12/04/20	125.92	...	-58.75	3 of 4 - Sale ²⁵
	5.000	47.97	12/04/20	85.80	...	-37.83	4 of 4 - Sale ²⁵
12/28/20	26.000	249.47	Various	400.78	...	-151.31	Total of 4 transactions
	Security total:	7,196.27		7,406.60	383.08 W	172.75	

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2020 1099-B* OMB No. 1545-0715

(continued)

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
05/27/20	1.000	1.29	12/30/19	0.60	...	0.69	Sale ²⁵
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	5.000	243.00	10/22/20	246.95	...	-3.95	1 of 2 - Sale ²⁵
	2.000	97.20	10/22/20	96.96	...	0.24	2 of 2 - Sale ²⁵
10/22/20	7.000	340.20	Various	343.91	...	-3.71	Total of 2 transactions
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	5.000	626.68	10/15/20	625.55	...	1.13	1 of 2 - Sale ²⁵
	5.000	620.58	10/19/20	629.75	...	-9.17	2 of 2 - Sale ²⁵
10/19/20	10.000	1,247.26	Various	1,255.30	...	-8.04	Total of 2 transactions
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	2.000	41.90	07/09/20	41.22	...	0.68	1 of 3 - Sale ²⁵
	3.000	62.85	07/09/20	61.83	...	1.02	2 of 3 - Sale ²⁵
	5.000	104.75	07/09/20	103.05	...	1.70	3 of 3 - Sale ²⁵
07/10/20	10.000	209.50	Various	206.10	...	3.40	Total of 3 transactions
10/12/20	10.000	220.90	10/09/20	217.90	...	3.00	Sale ²⁵
	Security total:	430.40		424.00	...	6.40	
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	3.000	362.09	08/05/20	386.61	...	-24.52	1 of 3 - Sale ²⁵
	3.000	362.09	08/07/20	362.40	...	-0.31	2 of 3 - Sale ²⁵
	4.000	482.79	08/11/20	458.56	...	24.23	3 of 3 - Sale ²⁵
08/13/20	10.000	1,206.97	Various	1,207.57	...	-0.60	Total of 3 transactions
LOCKHEED MARTIN CORP. / CUSIP: 539830109 / Symbol:							
07/21/20	4.000	1,485.60	07/20/20	1,466.64	...	18.96	Sale ²⁵

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MACY'S INC. / CUSIP: 55616P104 / Symbol:							
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	10.000	70.80	06/09/20	95.90	...	-25.10	1 of 4 - Sale ²⁵
	18.000	127.43	06/10/20	143.25	...	-15.82	2 of 4 - Sale ²⁵
	16.000	113.27	06/11/20	108.96	...	4.31	3 of 4 - Sale ²⁵
	46.000	325.67	06/26/20	289.34	...	36.33	4 of 4 - Sale ²⁵
07/15/20	90.000	637.17	Various	637.45	...	-0.28	Total of 4 transactions
MASIMO CORPORATION COMMON STOC K / CUSIP: 574795100 / Symbol:							
07/30/20	5.000	1,098.62	07/28/20	1,140.00	41.38 W	0.00	Sale ²⁵
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	5.000	1,120.18	07/29/20	1,129.43	...	-9.25	1 of 2 - Sale ²⁵
	5.000	1,120.17	08/03/20	1,087.50	...	32.67	2 of 2 - Sale ²⁵
08/05/20	10.000	2,240.35	Various	2,216.93	...	23.42	Total of 2 transactions
	Security total:	3,338.97		3,356.93	41.38 W	23.42	
MATCH GROUP, INC. COMMON STOCK / CUSIP: 57665R106 / Symbol:							
02/04/20	2.000	152.24	02/04/20	149.82	...	2.42	Sale ²⁵
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
08/06/20	250.000	247.47	07/16/20	196.55	...	50.92	Sale ²⁵
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	15.000	13.13	08/07/20	13.05	...	0.08	1 of 6 - Sale ²⁵
	28.000	24.50	08/07/20	24.36	...	0.14	2 of 6 - Sale ²⁵
	63.000	55.12	08/07/20	54.81	...	0.31	3 of 6 - Sale ²⁵
	72.000	62.99	08/07/20	62.64	...	0.35	4 of 6 - Sale ²⁵
	72.000	62.99	08/07/20	62.64	...	0.35	5 of 6 - Sale ²⁵
	100.000	87.49	08/07/20	87.00	...	0.49	6 of 6 - Sale ²⁵
08/11/20	350.000	306.22	Various	304.50	...	1.72	Total of 6 transactions
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	100.000	79.99	08/13/20	85.00	...	-5.01	1 of 3 - Sale ²⁵
	100.000	79.99	09/03/20	75.00	...	4.99	2 of 3 - Sale ²⁵
	5.000	4.00	09/04/20	3.55	...	0.45	3 of 3 - Sale ²⁵
09/15/20	205.000	163.98	Various	163.55	...	0.43	Total of 3 transactions
11/23/20	100.000	87.99	11/06/20	87.50	...	0.49	Sale ²⁵

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MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
12/02/20	102.000	100.97	11/16/20	80.42	...	20.55	Sale ²⁵
	Security total:	906.63		832.52	...	74.11	
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
08/03/20	5.000	410.90	07/31/20	406.75	...	4.15	Sale ²⁵
THE MICHAELS COMPANIES, INC. C OMMON STOCK / CUSIP: 59408Q106 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	15.000	109.95	06/09/20	109.92	...	0.03	1 of 2 - Sale ²⁵
	13.000	95.29	06/10/20	81.36	...	13.93	2 of 2 - Sale ²⁵
06/19/20	28.000	205.24	Various	191.28	...	13.96	Total of 2 transactions
06/26/20	12.000	79.32	06/11/20	63.84	...	15.48	Sale ²⁵
	Security total:	284.56		255.12	...	29.44	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/03/20	1.000	159.39	12/11/19	151.28	...	8.11	Sale ²⁵
01/29/20	2.000	342.70	01/27/20	323.03	...	19.67	Sale ²⁵
07/30/20	5.000	1,018.17	07/21/20	1,044.00	...	-25.83	Sale ²⁵
08/03/20	5.000	1,053.97	07/21/20	1,044.00	...	9.97	Sale ²⁵
11/04/20	5.000	1,073.97	10/26/20	1,049.60	...	24.37	Sale ²⁵
	Security total:	3,648.20		3,611.91	...	36.29	
MITEK SYSTEMS, INC. COMMON STO CK / CUSIP: 606710200 / Symbol:							
4 transactions for 01/16/20. Total proceeds and cost reported to the IRS.							
	4.000	38.28	07/24/19	44.52	...	-6.24	1 of 4 - Sale ²⁵
	6.000	57.42	11/07/19	59.76	...	-2.34	2 of 4 - Sale ²⁵
	5.000	47.85	11/08/19	41.75	...	6.10	3 of 4 - Sale ²⁵
	5.000	47.85	11/08/19	41.40	...	6.45	4 of 4 - Sale ²⁵
01/16/20	20.000	191.40	Various	187.43	...	3.97	Total of 4 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	63.63	05/27/20	50.45	...	13.18	1 of 3 - Sale ²⁵
	2.000	127.26	05/27/20	100.90	...	26.36	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	3.000	190.89	05/27/20	156.96	...	33.93	3 of 3 - Sale ²⁵
07/09/20	6.000	381.78	Various	308.31	...	73.47	Total of 3 transactions
07/09/20	17.000	1,081.68	06/22/20	1,081.71	0.03 W	0.00	Sale ²⁵
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	6.000	521.99	06/22/20	381.78	...	140.21	1 of 2 - Sale ²⁵
	4.000	347.99	07/10/20	250.49	...	97.50	2 of 2 - Sale ²⁵
07/14/20	10.000	869.98	Various	632.27	...	237.71	Total of 2 transactions
	3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	76.41	07/10/20	62.62	...	13.79	1 of 3 - Sale ²⁵
	2.000	152.82	07/10/20	125.24	...	27.58	2 of 3 - Sale ²⁵
	3.000	229.23	07/10/20	187.87	...	41.36	3 of 3 - Sale ²⁵
07/23/20	6.000	458.46	Various	375.73	...	82.73	Total of 3 transactions
	4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	9.000	633.49	08/12/20	627.21	...	6.28	1 of 4 - Sale ²⁵
	2.000	140.78	08/21/20	132.50	...	8.28	2 of 4 - Sale ²⁵
	25.000	1,759.71	08/26/20	1,707.00	...	52.71	3 of 4 - Sale ²⁵
	14.000	985.43	08/27/20	955.78	...	29.65	4 of 4 - Sale ²⁵
10/06/20	50.000	3,519.41	Various	3,422.49	...	96.92	Total of 4 transactions
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	70.39	08/05/20	77.00	6.61 W	0.00	1 of 3 - Sale ²⁵
	6.000	422.33	08/05/20	462.01	39.68 W	0.00	2 of 3 - Sale ²⁵
	3.000	211.16	08/05/20	231.00	19.84 W	0.00	3 of 3 - Sale ²⁵
10/06/20	10.000	703.88	Various	770.01	66.13 W	0.00	Total of 3 transactions
10/08/20	1.000	72.41	09/10/20	64.41	...	8.00	Sale ²⁵
	4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	2.000	144.82	09/17/20	149.09	4.27 W	0.00	1 of 4 - Sale ²⁵
	1.000	72.41	10/07/20	78.97	6.56 W	0.00	2 of 4 - Sale ²⁵
	3.000	217.24	10/07/20	236.95	19.71 W	0.00	3 of 4 - Sale ²⁵
	3.000	217.23	10/07/20	236.95	19.72 W	0.00	4 of 4 - Sale ²⁵
10/08/20	9.000	651.70	Various	701.96	50.26 W	0.00	Total of 4 transactions

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Account 887975969

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	80.00	10/07/20	78.93	...	1.07	1 of 6 - Sale ²⁵
	2.000	160.00	10/07/20	149.01	...	10.99	2 of 6 - Sale ²⁵
	3.000	239.99	10/09/20	238.15	...	1.84	3 of 6 - Sale ²⁵
	3.000	239.99	10/09/20	238.13	...	1.86	4 of 6 - Sale ²⁵
	2.000	160.00	10/09/20	145.70	...	14.30	5 of 6 - Sale ²⁵
	4.000	319.99	10/09/20	291.23	...	28.76	6 of 6 - Sale ²⁵
11/09/20	15.000	1,199.97	Various	1,141.15	...	58.82	Total of 6 transactions
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	18.000	2,038.09	10/09/20	1,311.30	...	726.79	1 of 4 - Sale ²⁵
	10.000	1,132.27	10/14/20	777.70	...	354.57	2 of 4 - Sale ²⁵
	5.000	566.14	10/15/20	373.70	...	192.44	3 of 4 - Sale ²⁵
	15.000	1,698.41	10/19/20	1,068.75	...	629.66	4 of 4 - Sale ²⁵
12/28/20	48.000	5,434.91	Various	3,531.45	...	1,903.46	Total of 4 transactions
12/29/20	5.000	568.63	12/29/20	568.65	0.02 W	0.00	Sale ²⁵
12/30/20	5.000	578.78	12/29/20	576.72	...	2.06	Sale ²⁵
12/31/20	5.000	549.48	12/30/20	549.48	...	0.00	Sale ²⁵
	Security total:	16,071.07		13,724.34	116.44 W	2,463.17	
MOHAWK INDUSTRIES, INC. / CUSIP: 608190104 / Symbol:							
07/10/20	10.000	739.28	07/09/20	736.30	...	2.98	Sale ²⁵
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
5 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	35.000	33.24	11/13/20	27.23	...	6.01	1 of 5 - Sale ²⁵
	9.000	8.55	11/16/20	6.66	...	1.89	2 of 5 - Sale ²⁵
	27.000	25.65	12/08/20	18.63	...	7.02	3 of 5 - Sale ²⁵
	29.000	27.54	12/08/20	20.01	...	7.53	4 of 5 - Sale ²⁵
	100.000	94.99	12/08/20	69.00	...	25.99	5 of 5 - Sale ²⁵
12/29/20	200.000	189.97	Various	141.53	...	48.44	Total of 5 transactions
24 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	38.000	36.09	01/06/20	133.00	96.91 W	0.00	1 of 24 - Sale ²⁵
	50.000	47.49	01/07/20	158.22	110.73 W	0.00	2 of 24 - Sale ²⁵
	50.000	47.49	02/03/20	145.00	97.51 W	0.00	3 of 24 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol: (cont'd)							
	10.000	9.50	04/14/20	27.50	18.00 W	0.00	4 of 24 - Sale ²⁵
	15.000	14.25	04/14/20	41.25	27.00 W	0.00	5 of 24 - Sale ²⁵
	10.000	9.50	04/14/20	27.40	17.90 W	0.00	6 of 24 - Sale ²⁵
	18.000	17.10	04/15/20	39.58	22.48 W	0.00	7 of 24 - Sale ²⁵
	1.000	0.95	06/26/20	2.38	1.43 W	0.00	8 of 24 - Sale ²⁵
	9.000	8.55	06/29/20	21.14	12.59 W	0.00	9 of 24 - Sale ²⁵
	337.000	320.10	08/10/20	775.10	455.00 W	0.00	10 of 24 - Sale ²⁵
	100.000	94.99	08/13/20	220.00	125.01 W	0.00	11 of 24 - Sale ²⁵
	100.000	94.99	08/13/20	220.00	125.01 W	0.00	12 of 24 - Sale ²⁵
	2.000	1.90	08/13/20	4.40	2.50 W	0.00	13 of 24 - Sale ²⁵
	98.000	93.09	08/13/20	215.60	122.51 W	0.00	14 of 24 - Sale ²⁵
	20.000	19.00	08/13/20	43.60	24.60 W	0.00	15 of 24 - Sale ²⁵
	1.000	0.95	08/18/20	2.08	1.13 W	0.00	16 of 24 - Sale ²⁵
	6.000	5.70	08/19/20	12.42	6.72 W	0.00	17 of 24 - Sale ²⁵
	2.000	1.90	09/17/20	4.34	2.44 W	0.00	18 of 24 - Sale ²⁵
	10.000	9.50	10/22/20	22.00	12.50 W	0.00	19 of 24 - Sale ²⁵
	550.000	522.42	10/22/20	1,045.00	522.58 W	0.00	20 of 24 - Sale ²⁵
	600.000	569.91	10/27/20	1,073.64	503.73 W	0.00	21 of 24 - Sale ²⁵
	11.000	10.45	10/27/20	19.68	9.23 W	0.00	22 of 24 - Sale ²⁵
	500.000	474.92	10/27/20	600.00	125.08 W	0.00	23 of 24 - Sale ²⁵
	100.000	94.98	10/27/20	119.00	24.02 W	0.00	24 of 24 - Sale ²⁵
12/29/20	2,638.000	2,505.72	Various	4,972.33	2,466.61 W	0.00	Total of 24 transactions
	Security total:	2,695.69		5,113.86	2,466.61 W	48.44	
NEURONETICS, INC. COMMON STOCK / CUSIP: 64131A105 / Symbol:							
08/04/20	50.000	149.00	08/03/20	136.00	...	13.00	Sale ²⁵
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
08/04/20	10.000	389.80	08/04/20	389.60	...	0.20	Sale ²⁵
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	5.000	85.10	12/22/20	83.65	...	1.45	1 of 2 - Sale ²⁵
	6.000	93.90	12/28/20	86.76	...	7.14	2 of 2 - Sale ²⁵
12/29/20	11.000	179.00	Various	170.41	...	8.59	Total of 2 transactions
	Security total:	568.80		560.01	...	8.79	

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2020 1099-B* OMB No. 1545-0715

(continued)

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NIPPON TELEGRAPH AND TELEPHONE CORPORATION AMERICAN DEPOSITA RY SHARES - SPONSORED / CUSIP: 654624105 / Symbol:							
01/21/20	2.000	51.76	01/09/20	51.65	...	0.11	Sale ²⁵
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
12/03/20	1.000	4.03	10/19/20	4.34	...	-0.31	Sale ²⁵
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
01/03/20	1.000	117.67	12/12/19	115.67	...	2.00	Sale ²⁵
07/14/20	5.000	1,023.97	07/13/20	1,003.50	...	20.47	Sale ²⁵
08/25/20	6.000	1,283.37	08/24/20	1,225.98	...	57.39	Sale ²⁵
	Security total:	2,425.01		2,345.15	...	79.86	
OLLIE'S BARGAIN OUTLET HOLDING S, INC. COMMON STOCK / CUSIP: 681116109 / Symbol:							
12/04/20	1.000	80.42	12/03/20	79.90	...	0.52	Sale ²⁵
12/07/20	10.000	804.98	12/04/20	804.00	...	0.98	Sale ²⁵
	Security total:	885.40		883.90	...	1.50	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	2.000	21.80	09/30/20	21.80	...	0.00	1 of 3 - Sale ²⁵
	10.000	109.00	09/30/20	109.00	...	0.00	2 of 3 - Sale ²⁵
	7.000	76.30	09/30/20	76.30	...	0.00	3 of 3 - Sale ²⁵
09/30/20	19.000	207.10	Various	207.10	...	0.00	Total of 3 transactions
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
5 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	4.000	86.00	10/31/19	100.60	...	-14.60	1 of 5 - Sale ²⁵
	1.000	21.50	10/31/19	20.29	...	1.21	2 of 5 - Sale ²⁵
	2.000	43.00	10/31/19	40.60	...	2.40	3 of 5 - Sale ²⁵
	1.000	21.50	12/02/19	18.78	...	2.72	4 of 5 - Sale ²⁵
	5.000	107.50	12/13/19	88.70	...	18.80	5 of 5 - Sale ²⁵
01/14/20	13.000	279.50	Various	268.97	...	10.53	Total of 5 transactions

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PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	5.000	260.09	08/04/20	268.38	...	-8.29	1 of 3 - Sale ²⁵
	2.000	104.04	08/05/20	100.68	...	3.36	2 of 3 - Sale ²⁵
	3.000	156.05	08/05/20	151.02	...	5.03	3 of 3 - Sale ²⁵
08/06/20	10.000	520.18	Various	520.08	...	0.10	Total of 3 transactions
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
12/03/20	50.000	90.50	12/02/20	87.00	...	3.50	Sale ²⁵
SPDR PORTFOLIO S&P 500 GROWTH ETF / CUSIP: 78464A409 / Symbol:							
2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	5.000	211.43	12/26/19	210.23	...	1.20	1 of 2 - Sale ²⁵
	5.000	211.42	12/27/19	210.40	...	1.02	2 of 2 - Sale ²⁵
01/03/20	10.000	422.85	Various	420.63	...	2.22	Total of 2 transactions
SVB FINANCIAL GROUP COMMON STO CK / CUSIP: 78486Q101 / Symbol:							
05/27/20	2.000	433.30	04/23/20	321.48	...	111.82	Sale ²⁵
SAP SE / CUSIP: 803054204 / Symbol:							
10/27/20	10.000	1,186.27	10/26/20	1,147.70	...	38.57	Sale ²⁵
SCHLUMBERGER LIMITED / CUSIP: 806857108 / Symbol:							
7 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	4.000	76.04	01/16/20	155.32	...	-79.28	1 of 7 - Sale ²⁵
	1.000	19.01	01/16/20	38.83	...	-19.82	2 of 7 - Sale ²⁵
	1.000	19.01	01/22/20	36.90	...	-17.89	3 of 7 - Sale ²⁵
	9.000	171.09	07/09/20	154.08	...	17.01	4 of 7 - Sale ²⁵
	15.000	285.14	07/09/20	258.30	...	26.84	5 of 7 - Sale ²⁵
	14.000	266.13	10/01/20	210.00	...	56.13	6 of 7 - Sale ²⁵
	4.000	76.04	10/15/20	65.68	...	10.36	7 of 7 - Sale ²⁵
11/16/20	48.000	912.46	Various	919.11	...	-6.65	Total of 7 transactions
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol:							
07/30/20	2.000	848.70	07/29/20	823.78	...	24.92	Sale ²⁵

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SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	731.08	05/27/20	725.00	...	6.08	1 of 2 - Sale ²⁵
	1.000	731.08	05/27/20	717.71	...	13.37	2 of 2 - Sale ²⁵
06/11/20	2.000	1,462.16	Various	1,442.71	...	19.45	Total of 2 transactions
07/15/20	1.000	967.47	07/13/20	965.55	...	1.92	Sale ²⁵
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	969.98	07/15/20	960.00	...	9.98	1 of 3 - Sale ²⁵
	1.000	969.97	07/15/20	951.50	...	18.47	2 of 3 - Sale ²⁵
	1.000	979.97	07/16/20	933.00	...	46.97	3 of 3 - Sale ²⁵
07/20/20	3.000	2,919.92	Various	2,844.50	...	75.42	Total of 3 transactions
12/07/20	1.000	1,082.41	12/04/20	1,053.93	...	28.48	Sale ²⁵
	Security total:	6,431.96		6,306.69	...	125.27	
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	9.000	608.74	08/12/20	616.05	...	-7.31	1 of 2 - Sale ²⁵
	1.000	67.64	08/12/20	68.45	...	-0.81	2 of 2 - Sale ²⁵
08/12/20	10.000	676.38	Various	684.50	...	-8.12	Total of 2 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
06/05/20	1.000	32.11	06/04/20	31.92	...	0.19	Sale ²⁵
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	33.50	07/09/20	33.47	...	0.03	1 of 4 - Sale ²⁵
	1.000	33.50	07/09/20	33.47	...	0.03	2 of 4 - Sale ²⁵
	1.000	33.50	07/09/20	33.47	...	0.03	3 of 4 - Sale ²⁵
	7.000	234.50	07/09/20	234.29	...	0.21	4 of 4 - Sale ²⁵
07/10/20	10.000	335.00	Various	334.70	...	0.30	Total of 4 transactions
	Security total:	367.11		366.62	...	0.49	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	4.000	33.84	02/26/20	34.64	...	-0.80	1 of 4 - Sale ²⁵
	3.000	25.38	04/14/20	12.75	...	12.63	2 of 4 - Sale ²⁵

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Account 887975969

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol: (cont'd)							
	9.000	76.14	04/14/20	38.43	...	37.71	3 of 4 - Sale ²⁵
	2.000	16.92	05/29/20	14.93	...	1.99	4 of 4 - Sale ²⁵
07/17/20	18.000	152.28	Various	100.75	...	51.53	Total of 4 transactions
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	10.000	84.60	02/25/20	95.00	8.32 W	-2.08	1 of 2 - Sale ²⁵
	15.000	126.90	02/25/20	169.50	42.60 W	0.00	2 of 2 - Sale ²⁵
07/17/20	25.000	211.50	Various	264.50	50.92 W	-2.08	Total of 2 transactions
	5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	11.000	100.90	08/12/20	128.15	...	-27.25	1 of 5 - Sale ²⁵
	4.000	36.69	08/13/20	43.28	...	-6.59	2 of 5 - Sale ²⁵
	8.000	73.38	08/13/20	72.16	...	1.22	3 of 5 - Sale ²⁵
	3.000	27.52	08/21/20	23.10	...	4.42	4 of 5 - Sale ²⁵
	10.000	91.72	08/28/20	74.20	...	17.52	5 of 5 - Sale ²⁵
09/02/20	36.000	330.21	Various	340.89	...	-10.68	Total of 5 transactions
	Security total:	693.99		706.14	50.92 W	38.77	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	35.000	305.20	08/27/20	282.80	...	22.40	1 of 2 - Sale ²⁵
	1.000	8.72	09/04/20	6.37	...	2.35	2 of 2 - Sale ²⁵
09/16/20	36.000	313.92	Various	289.17	...	24.75	Total of 2 transactions
09/21/20	3.000	28.83	09/17/20	28.47	...	0.36	Sale ²⁵
	Security total:	342.75		317.64	...	25.11	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
	5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	4.000	655.99	12/02/20	684.00	...	-28.01	1 of 5 - Sale ²⁵
	0.919	151.71	12/03/20	161.72	...	-10.01	2 of 5 - Sale ²⁵
	0.001	0.23	12/03/20	0.24	...	-0.01	3 of 5 - Sale ²⁵
	5.000	819.98	12/03/20	771.55	...	48.43	4 of 5 - Sale ²⁵
	7.000	1,147.97	12/03/20	1,074.96	...	73.01	5 of 5 - Sale ²⁵
12/03/20	16.921	2,775.88	Various	2,692.47	...	83.41	Total of 5 transactions
12/03/20	4.000	655.98	12/02/20	747.06	20.96 W	-70.12	Sale ²⁵

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(continued)

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SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol: (cont'd)							
Security total:		3,431.86		3,439.53		20.96 W	13.29
STAMPS.COM INC. COMMON STOCK (\$0.001 PAR VALUE) / CUSIP: 852857200 / Symbol:							
07/30/20	4.000	1,003.85	07/29/20	983.54	...	20.31	Sale ²⁵
10/06/20	4.000	1,069.63	07/30/20	992.00	...	77.63	Sale ²⁵
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	260.34	09/04/20	228.08	...	32.26	1 of 2 - Sale ²⁵
	3.000	781.03	09/04/20	684.24	...	96.79	2 of 2 - Sale ²⁵
10/19/20	4.000	1,041.37	Various	912.32	...	129.05	Total of 2 transactions
11/05/20	4.000	937.33	11/04/20	937.36	0.03 W	0.00	Sale ²⁵
Security total:		4,052.18		3,825.22	0.03 W	226.99	
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
02/04/20	4.000	351.52	01/28/20	350.00	...	1.52	Sale ²⁵
SUMO LOGIC, INC. COMMON STOCK / CUSIP: 86646P103 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	253.50	12/07/20	256.21	...	-2.71	1 of 2 - Sale ²⁵
	10.000	253.49	12/07/20	245.10	...	8.39	2 of 2 - Sale ²⁵
12/07/20	20.000	506.99	Various	501.31	...	5.68	Total of 2 transactions
12/07/20	15.000	380.24	12/07/20	396.90	11.11 W	-5.55	Sale ²⁵
Security total:		887.23		898.21	11.11 W	0.13	
SUPPORT.COM, INC. COMMON STOCK / CUSIP: 86858W200 / Symbol:							
2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	50.000	55.50	12/12/19	47.51	...	7.99	1 of 2 - Sale ²⁵
	43.000	47.72	12/30/19	47.74	...	-0.02	2 of 2 - Sale ²⁵
01/03/20	93.000	103.22	Various	95.25	...	7.97	Total of 2 transactions
01/03/20	7.000	7.77	12/30/19	7.91	0.14 W	0.00	Sale ²⁵
2 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	3.000	3.52	12/30/19	3.30	...	0.22	1 of 2 - Sale ²⁵
	7.000	8.23	12/30/19	7.84	...	0.39	2 of 2 - Sale ²⁵
01/07/20	10.000	11.75	Various	11.14	...	0.61	Total of 2 transactions
Security total:		122.74		114.30	0.14 W	8.58	

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(continued)

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TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol:							
02/05/20	1.000	121.46	02/04/20	119.50	...	1.96	Sale ²⁵
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	11.000	80.19	06/26/20	71.48	...	8.71	1 of 4 - Sale ²⁵
	2.000	14.58	07/15/20	25.70	...	-11.12	2 of 4 - Sale ²⁵
	1.000	7.29	07/15/20	7.03	...	0.26	3 of 4 - Sale ²⁵
	7.000	51.03	07/15/20	49.21	...	1.82	4 of 4 - Sale ²⁵
07/31/20	21.000	153.09	Various	153.42	...	-0.33	Total of 4 transactions
07/31/20	2.000	14.58	01/29/20	26.22	11.64 W	0.00	Sale ²⁵
	Security total:	167.67		179.64	11.64 W	-0.33	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
08/06/20	1.000	213.00	08/05/20	204.00	...	9.00	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
07/14/20	1.000	1,522.96	07/13/20	1,518.00	...	4.96	Sale ²⁵
07/15/20	1.000	1,509.96	07/14/20	1,500.00	...	9.96	Sale ²⁵
07/20/20	1.000	1,589.96	07/16/20	1,480.00	...	109.96	Sale ²⁵
07/22/20	1.000	1,654.96	07/21/20	1,566.43	...	88.53	Sale ²⁵
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1,501.96	07/23/20	1,488.00	...	13.96	1 of 2 - Sale ²⁵
	1.000	1,501.97	07/24/20	1,380.00	...	121.97	2 of 2 - Sale ²⁵
07/27/20	2.000	3,003.93	Various	2,868.00	...	135.93	Total of 2 transactions
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1,501.96	07/23/20	1,547.47	26.87 W	-18.64	1 of 2 - Sale ²⁵
	1.000	1,501.97	07/23/20	1,590.00	88.03 W	0.00	2 of 2 - Sale ²⁵
07/27/20	2.000	3,003.93	Various	3,137.47	114.90 W	-18.64	Total of 2 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	1,490.65	07/31/20	1,596.03	...	-105.38	1 of 2 - Sale ²⁵
	0.590	880.02	07/31/20	878.87	...	1.15	2 of 2 - Sale ²⁵
08/03/20	1.590	2,370.67	Various	2,474.90	...	-104.23	Total of 2 transactions
	Security total:	14,656.37		14,544.80	114.90 W	226.47	

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2020 1099-B* OMB No. 1545-0715

(continued)

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THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
01/03/20	1.000	269.36	12/30/19	256.56	...	12.80	Sale ²⁵
06/11/20	1.000	356.00	05/27/20	281.63	...	74.37	Sale ²⁵
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	476.00	08/06/20	471.71	...	4.29	1 of 2 - Sale ²⁵
	2.000	943.27	08/11/20	891.30	...	51.97	2 of 2 - Sale ²⁵
08/13/20	3.000	1,419.27	Various	1,363.01	...	56.26	Total of 2 transactions
	Security total:	2,044.63		1,901.20	...	143.43	
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	0.300	0.12	12/05/19	0.60	...	-0.48	1 of 3 - Sale ²⁵
	0.100	0.04	12/05/19	0.19	...	-0.15	2 of 3 - Sale ²⁵
	0.600	0.26	12/11/19	0.96	...	-0.70	3 of 3 - Sale ²⁵
05/27/20	1.000	0.42	Various	1.75	...	-1.33	Total of 3 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	100.000	44.99	08/05/20	50.00	...	-5.01	1 of 3 - Sale ²⁵
	99.000	44.54	08/05/20	46.73	...	-2.19	2 of 3 - Sale ²⁵
	1.000	0.45	08/05/20	0.47	...	-0.02	3 of 3 - Sale ²⁵
08/07/20	200.000	89.98	Various	97.20	...	-7.22	Total of 3 transactions
	Security total:	90.40		98.95	...	-8.55	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
01/03/20	1.000	103.40	12/11/19	116.44	...	-13.04	Sale ²⁵
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	123.00	02/05/20	130.85	...	-7.85	1 of 2 - Sale ²⁵
	2.000	246.00	02/05/20	238.00	...	8.00	2 of 2 - Sale ²⁵
05/06/20	3.000	369.00	Various	368.85	...	0.15	Total of 2 transactions
08/05/20	2.000	555.98	08/04/20	532.00	...	23.98	Sale ²⁵
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	2.000	504.59	08/06/20	527.26	...	-22.67	1 of 3 - Sale ²⁵
	1.000	252.29	08/07/20	244.00	...	8.29	2 of 3 - Sale ²⁵
	3.000	756.88	08/07/20	742.41	...	14.47	3 of 3 - Sale ²⁵
08/18/20	6.000	1,513.76	Various	1,513.67	...	0.09	Total of 3 transactions

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TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
Security total:		2,542.14		2,530.96	...		11.18
II-VI INCORPORATED COMMON STOC K / CUSIP: 902104108 / Symbol:							
01/03/20	2.000	69.48	11/22/19	61.30	...		8.18 Sale ²⁵
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	2.000	75.18	09/04/20	77.96	...		-2.78 1 of 2 - Sale ²⁵
	10.000	375.91	09/10/20	369.40	...		6.51 2 of 2 - Sale ²⁵
09/14/20	12.000	451.09	Various	447.36	...		3.73 Total of 2 transactions
Security total:		520.57		508.66	...		11.91
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	78.12	04/29/20	62.70	...		15.42 1 of 2 - Sale ²⁵
	11.000	429.66	04/29/20	345.07	...		84.59 2 of 2 - Sale ²⁵
06/10/20	13.000	507.78	Various	407.77	...		100.01 Total of 2 transactions
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	10.000	329.50	07/20/20	323.10	...		6.40 1 of 2 - Sale ²⁵
	10.000	329.90	07/20/20	323.10	...		6.80 2 of 2 - Sale ²⁵
07/22/20	20.000	659.40	Various	646.20	...		13.20 Total of 2 transactions
12/01/20	10.000	460.00	11/30/20	451.30	...		8.70 Sale ²⁵
Security total:		1,627.18		1,505.27	...		121.91
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol:							
01/15/20	1.000	296.73	01/14/20	288.20	...		8.53 Sale ²⁵
VANGUARD FTSE DEVELOPED MARKET S ETF / CUSIP: 921943858 / Symbol:							
01/03/20	2.000	88.28	12/26/19	87.94	...		0.34 Sale ²⁵
VANGUARD S&P 500 ETF / CUSIP: 922908363 / Symbol:							
01/03/20	1.000	296.80	12/26/19	296.00	...		0.80 Sale ²⁵

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VMWARE, INC / CUSIP: 928563402 / Symbol:							
6 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	5.000	775.68	11/26/19	825.00	...	-49.32	1 of 6 - Sale ²⁵
	5.000	775.68	11/26/19	824.90	...	-49.22	2 of 6 - Sale ²⁵
	1.000	155.14	12/02/19	156.00	...	-0.86	3 of 6 - Sale ²⁵
	1.000	155.14	12/11/19	150.35	...	4.79	4 of 6 - Sale ²⁵
	8.000	1,241.09	12/19/19	1,192.00	...	49.09	5 of 6 - Sale ²⁵
	10.000	1,551.37	12/19/19	1,483.00	...	68.37	6 of 6 - Sale ²⁵
01/02/20	30.000	4,654.10	Various	4,631.25	...	22.85	Total of 6 transactions
W&T OFFSHORE, INC. / CUSIP: 92922P106 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	100.000	257.99	07/16/20	260.00	...	-2.01	1 of 2 - Sale ²⁵
	17.000	43.86	07/17/20	41.99	...	1.87	2 of 2 - Sale ²⁵
07/21/20	117.000	301.85	Various	301.99	...	-0.14	Total of 2 transactions
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK / CUSIP: 931427108 / Symbol:							
07/10/20	15.000	601.65	06/09/20	680.25	78.60 W	0.00	Sale ²⁵
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	15.000	639.59	07/09/20	682.35	...	-42.76	1 of 2 - Sale ²⁵
	15.000	639.58	07/13/20	595.95	...	43.63	2 of 2 - Sale ²⁵
08/10/20	30.000	1,279.17	Various	1,278.30	...	0.87	Total of 2 transactions
	Security total:	1,880.82		1,958.55	78.60 W	0.87	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	7.000	173.11	10/13/20	173.11	...	0.00	1 of 2 - Sale ²⁵
	3.000	74.19	10/13/20	74.19	...	0.00	2 of 2 - Sale ²⁵
10/13/20	10.000	247.30	Various	247.30	...	0.00	Total of 2 transactions
WESTERN DIGITAL CORPORATION CO MMON STOCK / CUSIP: 958102105 / Symbol:							
08/10/20	10.000	371.30	08/06/20	374.20	...	-2.90	Sale ²⁵
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
08/05/20	10.000	742.28	08/04/20	729.30	...	12.98	Sale ²⁵

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Robinhood Securities LLC

Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
04/14/20	100.000	36.49	04/13/20	34.00	...	2.49	Sale ²⁵
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
08/25/20	2.000	0.24	08/13/20	0.28	0.04 W	0.00	Sale ²⁵
08/28/20	3.000	0.36	08/13/20	0.42	0.06 W	0.00	Sale ²⁵
	Security total:	0.60		0.70	0.10 W	0.00	
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
4 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	1.000	47.57	12/11/19	57.74	...	-10.17	1 of 4 - Sale ²⁵
	1.000	47.57	12/11/19	54.94	...	-7.37	2 of 4 - Sale ²⁵
	2.000	95.14	12/11/19	95.10	...	0.04	3 of 4 - Sale ²⁵
	1.000	47.57	12/11/19	47.02	...	0.55	4 of 4 - Sale ²⁵
01/02/20	5.000	237.85	Various	254.80	...	-16.95	Total of 4 transactions
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	59.59	02/20/20	59.96	...	-0.37	1 of 3 - Sale ²⁵
	1.000	59.59	02/20/20	58.58	...	1.01	2 of 3 - Sale ²⁵
	1.000	59.59	02/20/20	57.57	...	2.02	3 of 3 - Sale ²⁵
03/24/20	3.000	178.77	Various	176.11	...	2.66	Total of 3 transactions
	Security total:	416.62		430.91	...	-14.29	
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
12/01/20	1.000	420.05	12/01/20	412.12	...	7.93	Sale ²⁵
12/01/20	1.000	420.05	12/01/20	428.00	7.95 W	0.00	Sale ²⁵
12/02/20	1.000	410.49	12/01/20	410.41	...	0.08	Sale ²⁵
12/02/20	1.000	410.49	12/01/20	418.36	7.87 W	0.00	Sale ²⁵
12/07/20	4.000	1,640.64	12/04/20	1,640.00	...	0.64	Sale ²⁵
12/07/20	1.000	410.16	12/04/20	417.87	7.71 W	0.00	Sale ²⁵
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	409.08	12/07/20	416.80	...	-7.72	1 of 2 - Sale ²⁵
	4.000	1,636.32	12/07/20	1,636.36	...	-0.04	2 of 2 - Sale ²⁵
12/08/20	5.000	2,045.40	Various	2,053.16	...	-7.76	Total of 2 transactions
	Security total:	5,757.28		5,779.92	23.53 W	0.89	

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Robinhood Securities LLC

Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
4 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	95.000	11.74	08/13/20	13.20	...	-1.46	1 of 4 - Sale ²⁵
	25.000	3.09	08/21/20	3.26	...	-0.17	2 of 4 - Sale ²⁵
	3.000	0.37	08/21/20	0.45	...	-0.08	3 of 4 - Sale ²⁵
	2.000	0.25	08/21/20	0.30	...	-0.05	4 of 4 - Sale ²⁵
11/13/20	125.000	15.45	Various	17.21	...	-1.76	Total of 4 transactions
ZUORA, INC. / CUSIP: 98983V106 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	3.000	43.35	11/16/20	31.26	...	12.09	1 of 2 - Sale ²⁵
	5.000	72.25	12/09/20	58.85	...	13.40	2 of 2 - Sale ²⁵
12/29/20	8.000	115.60	Various	90.11	...	25.49	Total of 2 transactions
ZYNEX, INC. COMMON STOCK / CUSIP: 98986M103 / Symbol:							
10/13/20	10.000	157.11	10/12/20	145.00	...	12.11	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOCK / CUSIP: 98986T108 / Symbol:							
01/03/20	2.000	12.32	12/11/19	12.27	...	0.05	Sale ²⁵
02/06/20	26.000	166.66	02/05/20	155.66	...	11.00	Sale ²⁵
05/27/20	2.000	15.65	02/05/20	11.97	...	3.68	Sale ²⁵
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	30.000	284.39	08/11/20	268.13	...	16.26	1 of 2 - Sale ²⁵
	43.000	407.63	08/12/20	389.15	...	18.48	2 of 2 - Sale ²⁵
08/20/20	73.000	692.02	Various	657.28	...	34.74	Total of 2 transactions
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	50.000	473.98	08/05/20	497.35	23.37 W	0.00	1 of 2 - Sale ²⁵
	50.000	473.98	08/07/20	484.00	10.02 W	0.00	2 of 2 - Sale ²⁵
08/20/20	100.000	947.96	Various	981.35	33.39 W	0.00	Total of 2 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	75.000	695.98	08/21/20	698.25	...	-2.27	1 of 2 - Sale ²⁵
	17.000	157.75	08/24/20	156.06	...	1.69	2 of 2 - Sale ²⁵
08/26/20	92.000	853.73	Various	854.31	...	-0.58	Total of 2 transactions

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Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol: (cont'd)							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	50.000	463.99	08/21/20	475.52	7.38 W	-4.15	1 of 2 - Sale ²⁵
	50.000	463.98	08/21/20	488.87	24.89 W	0.00	2 of 2 - Sale ²⁵
08/26/20	100.000	927.97	Various	964.39	32.27 W	-4.15	Total of 2 transactions
08/28/20	8.000	73.28	08/26/20	77.26	...	-3.98	Sale ²⁵
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	7.000	67.01	08/27/20	66.42	...	0.59	1 of 2 - Sale ²⁵
	30.000	287.18	09/03/20	278.26	...	8.92	2 of 2 - Sale ²⁵
10/06/20	37.000	354.19	Various	344.68	...	9.51	Total of 2 transactions
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	5.000	46.76	09/10/20	44.69	...	2.07	1 of 3 - Sale ²⁵
	32.000	299.27	09/10/20	277.46	...	21.81	2 of 3 - Sale ²⁵
	4.000	37.41	10/19/20	36.20	...	1.21	3 of 3 - Sale ²⁵
10/26/20	41.000	383.44	Various	358.35	...	25.09	Total of 3 transactions
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	15.000	125.40	11/16/20	130.91	...	-5.51	1 of 4 - Sale ²⁵
	3.000	25.08	11/16/20	24.73	...	0.35	2 of 4 - Sale ²⁵
	20.000	167.20	11/16/20	159.16	...	8.04	3 of 4 - Sale ²⁵
	72.000	601.89	11/16/20	572.96	...	28.93	4 of 4 - Sale ²⁵
12/03/20	110.000	919.57	Various	887.76	...	31.81	Total of 4 transactions
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	15.000	125.40	11/04/20	136.95	11.55 W	0.00	1 of 2 - Sale ²⁵
	3.000	25.08	11/06/20	25.94	0.86 W	0.00	2 of 2 - Sale ²⁵
12/03/20	18.000	150.48	Various	162.89	12.41 W	0.00	Total of 2 transactions
	Security total:	5,497.27		5,468.17	78.07 W	107.17	
HELEN OF TROY LIMITED COMMON S TOCK / CUSIP: G4388N106 / Symbol:							
10/09/20	5.000	993.22	10/08/20	925.65	...	67.57	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
07/10/20	10.000	158.90	07/07/20	155.90	...	3.00	Sale ²⁵
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	10.000	176.70	10/09/20	187.30	...	-10.60	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
	11.000	194.37	10/13/20	186.56	...	7.81	2 of 2 - Sale ²⁵
10/22/20	21.000	371.07	Various	373.86	...	-2.79	Total of 2 transactions
	Security total:	529.97		529.76	...	0.21	
AUDIOCODES LTD. COMMON STOCK / CUSIP: M15342104 / Symbol:							
10/27/20	30.000	1,027.47	10/26/20	912.60	...	114.87	Sale ²⁵
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	29.00	09/22/20	23.90	...	5.10	1 of 4 - Sale ²⁵
	1.000	29.30	09/22/20	23.90	...	5.40	2 of 4 - Sale ²⁵
	3.000	87.90	09/22/20	71.70	...	16.20	3 of 4 - Sale ²⁵
	5.000	146.50	09/22/20	119.50	...	27.00	4 of 4 - Sale ²⁵
09/23/20	10.000	292.70	Various	239.00	...	53.70	Total of 4 transactions
10/14/20	10.000	349.20	10/12/20	348.50	...	0.70	Sale ²⁵
	Security total:	641.90		587.50	...	54.40	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
26 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	10.000	11.10	12/09/19	30.60	...	-19.50	1 of 26 - Sale ²⁵
	10.000	11.10	12/09/19	29.70	...	-18.60	2 of 26 - Sale ²⁵
	1.000	1.11	12/09/19	2.80	...	-1.69	3 of 26 - Sale ²⁵
	1.000	1.11	12/11/19	2.84	...	-1.73	4 of 26 - Sale ²⁵
	1.000	1.11	12/11/19	2.80	...	-1.69	5 of 26 - Sale ²⁵
	1.160	1.29	12/12/19	3.12	...	-1.83	6 of 26 - Sale ²⁵
	0.750	0.83	12/12/19	2.01	...	-1.18	7 of 26 - Sale ²⁵
	0.090	0.10	12/12/19	0.24	...	-0.14	8 of 26 - Sale ²⁵
	0.430	0.48	12/16/19	1.12	...	-0.64	9 of 26 - Sale ²⁵
	1.570	1.74	12/17/19	3.69	...	-1.95	10 of 26 - Sale ²⁵
	1.000	1.11	12/17/19	2.35	...	-1.24	11 of 26 - Sale ²⁵
	1.000	1.11	12/17/19	2.35	...	-1.24	12 of 26 - Sale ²⁵
	1.000	1.11	12/17/19	2.35	...	-1.24	13 of 26 - Sale ²⁵
	10.000	11.10	12/19/19	23.70	...	-12.60	14 of 26 - Sale ²⁵
	10.000	11.10	12/23/19	20.00	...	-8.90	15 of 26 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	30.000	33.29	12/24/19	54.60	...	-21.31	16 of 26 - Sale ²⁵
	20.000	22.20	12/24/19	36.60	...	-14.40	17 of 26 - Sale ²⁵
	10.000	11.10	12/26/19	16.10	...	-5.00	18 of 26 - Sale ²⁵
	5.000	5.55	12/30/19	8.15	...	-2.60	19 of 26 - Sale ²⁵
	50.000	55.49	01/13/20	72.50	...	-17.01	20 of 26 - Sale ²⁵
	38.000	42.18	01/13/20	54.34	...	-12.16	21 of 26 - Sale ²⁵
	66.000	73.25	01/13/20	83.82	...	-10.57	22 of 26 - Sale ²⁵
	34.000	37.74	01/13/20	43.18	...	-5.44	23 of 26 - Sale ²⁵
	12.000	13.32	01/15/20	13.80	...	-0.48	24 of 26 - Sale ²⁵
	31.000	34.40	01/27/20	30.38	...	4.02	25 of 26 - Sale ²⁵
	23.000	25.53	02/06/20	14.72	...	10.81	26 of 26 - Sale ²⁵
04/27/20	369.000	409.55	Various	557.86	...	-148.31	Total of 26 transactions
07/27/20	57.000	33.05	07/27/20	33.06	...	-0.01	Sale ²⁵
	Security total:	442.60		590.92	...	-148.32	
Totals :		196,201.75		195,083.12	4,128.25 W	5,246.88	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	136.62	05/10/19	158.18	...	-21.56	1 of 4 - Sale ²⁵
	1.000	136.62	05/17/19	132.84	...	3.78	2 of 4 - Sale ²⁵
	1.000	136.62	05/21/19	120.59	...	16.03	3 of 4 - Sale ²⁵
	1.000	136.62	08/14/19	95.00	...	41.62	4 of 4 - Sale ²⁵
10/14/20	4.000	546.48	Various	506.61	...	39.87	Total of 4 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol: (cont'd)							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	136.62	04/08/19	184.62	48.00 W	0.00	1 of 3 - Sale ²⁵
	1.000	136.62	04/10/19	179.55	42.93 W	0.00	2 of 3 - Sale ²⁵
	1.000	136.62	04/24/19	169.48	32.86 W	0.00	3 of 3 - Sale ²⁵
10/14/20	3.000	409.86	Various	533.65	123.79 W	0.00	Total of 3 transactions
4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	128.86	10/15/20	178.05	...	-49.19	1 of 4 - Sale ²⁵
	1.000	128.86	10/15/20	172.98	...	-44.12	2 of 4 - Sale ²⁵
	0.935	120.56	10/15/20	152.37	...	-31.81	3 of 4 - Sale ²⁵
	0.065	8.34	10/15/20	10.54	...	-2.20	4 of 4 - Sale ²⁵
10/19/20	3.000	386.62	Various	513.94	...	-127.32	Total of 4 transactions
	Security total:	1,342.96		1,554.20	123.79 W	-87.45	
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
30 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	4.000	3.80	12/18/19	31.69	27.89 W	0.00	1 of 30 - Sale ²⁵
	5.000	4.75	01/02/20	37.90	33.15 W	0.00	2 of 30 - Sale ²⁵
	8.400	7.98	01/02/20	57.04	49.06 W	0.00	3 of 30 - Sale ²⁵
	6.600	6.27	01/02/20	37.39	31.12 W	0.00	4 of 30 - Sale ²⁵
	10.800	10.26	01/02/20	61.18	50.92 W	0.00	5 of 30 - Sale ²⁵
	2.000	1.90	01/02/20	12.06	10.16 W	0.00	6 of 30 - Sale ²⁵
	0.200	0.19	01/02/20	1.19	1.00 W	0.00	7 of 30 - Sale ²⁵
	14.000	13.30	01/02/20	80.50	67.20 W	0.00	8 of 30 - Sale ²⁵
	1.000	0.95	01/02/20	5.69	4.74 W	0.00	9 of 30 - Sale ²⁵
	1.000	0.95	01/02/20	5.44	4.49 W	0.00	10 of 30 - Sale ²⁵
	10.000	9.50	01/02/20	53.73	44.23 W	0.00	11 of 30 - Sale ²⁵
	10.000	9.50	01/02/20	53.51	44.01 W	0.00	12 of 30 - Sale ²⁵
	0.100	0.09	01/02/20	0.53	0.44 W	0.00	13 of 30 - Sale ²⁵
	4.000	3.80	01/02/20	21.37	17.57 W	0.00	14 of 30 - Sale ²⁵
	5.000	4.75	01/02/20	26.95	22.20 W	0.00	15 of 30 - Sale ²⁵
	5.900	5.60	01/02/20	31.52	25.92 W	0.00	16 of 30 - Sale ²⁵
	6.000	5.70	01/02/20	32.33	26.63 W	0.00	17 of 30 - Sale ²⁵
	8.000	7.60	01/02/20	41.83	34.23 W	0.00	18 of 30 - Sale ²⁵
	2.000	1.90	01/03/20	9.92	8.02 W	0.00	19 of 30 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 887975969

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol: (cont'd)							
	8.500	8.07	01/03/20	53.64	45.57 W	0.00	20 of 30 - Sale ²⁵
	0.700	0.66	01/03/20	4.26	3.60 W	0.00	21 of 30 - Sale ²⁵
	0.800	0.76	01/03/20	4.99	4.23 W	0.00	22 of 30 - Sale ²⁵
	13.000	12.35	01/03/20	65.52	53.17 W	0.00	23 of 30 - Sale ²⁵
	17.000	16.15	01/03/20	77.78	61.63 W	0.00	24 of 30 - Sale ²⁵
	8.000	7.60	01/03/20	33.37	25.77 W	0.00	25 of 30 - Sale ²⁵
	1.000	0.95	01/06/20	8.29	7.34 W	0.00	26 of 30 - Sale ²⁵
	3.000	2.85	01/06/20	24.08	21.23 W	0.00	27 of 30 - Sale ²⁵
	2.000	1.90	01/06/20	15.87	13.97 W	0.00	28 of 30 - Sale ²⁵
	2.000	1.90	01/06/20	8.18	6.28 W	0.00	29 of 30 - Sale ²⁵
	2.000	1.90	01/06/20	7.24	5.34 W	0.00	30 of 30 - Sale ²⁵
12/29/20	162.000	153.88	Various	904.99	751.11 W	0.00	Total of 30 transactions
ZUORA, INC. / CUSIP: 98983V106 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	4.000	57.80	05/30/19	81.48	...	-23.68	1 of 2 - Sale ²⁵
	1.000	14.45	06/03/19	20.49	...	-6.04	2 of 2 - Sale ²⁵
12/29/20	5.000	72.25	Various	101.97	...	-29.72	Total of 2 transactions
Totals :		1,569.09		2,561.16	874.90 W	-117.17	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 887975969

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol: 08/20/20	0.433	4.34	08/07/20	4.94	...	-0.60	Gain or loss
Totals:		4.34		4.94	...	-0.60	

Robinhood Securities LLC

Account 887975969

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CISCO SYSTEMS, INC. COMMON STO CK (DE)	17275R102		04/22/20	1.08	Qualified dividend	
SCHLUMBERGER LIMITED	806857108		04/09/20	3.00	Qualified dividend	
			07/09/20	0.75	Qualified dividend	
			10/08/20	3.75	Qualified dividend	
				7.50	Total Dividends & distributions	
				8.58	Total Dividends & distributions	

Robinhood Securities LLC

Account 887975969

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/26/20	-5.00	Margin interest paid	
		07/27/20	-5.00	Margin interest paid	
		08/25/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/18/20	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-25.00	Total Margin interest paid	

Robinhood Securities LLC

Account 887975969

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ZOMEDICA PHARMACEUTICALS CORP.	98979F107	10/05/20	-125.00	0.00	Exchange	
ZOMEDICA CORP.	98980M109	10/05/20	125.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.