

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 507091056	Statement Date: 02/14/2021 Document ID: RXVA 0XC 333Y	2020
	Sateesh reddy Vemireddy 4801 Gus Eckert Rd Apt 912 San Antonio, TX 78240 RECIPIENT'S TIN: XXX-XX-5252		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	219,713.92	232,900.40	0.00	4,742.78	-8,443.70
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	219,713.92	232,900.40	0.00	4,742.78	-8,443.70
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	219,713.92	232,900.40	0.00	4,742.78	-8,443.70

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.21
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	10.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 03/06/2020 PUT \$22.00 / CUSIP: / Symbol: 02/28/20	1.000	269.98	02/26/20	110.00	...	159.98	Option sale to close-put ²⁵
AAL 03/13/2020 PUT \$17.50 / CUSIP: / Symbol: 03/03/20	1.000	84.98	03/02/20	152.00	...	-67.02	Option sale to close-put ²⁵
AAL 03/20/2020 PUT \$12.00 / CUSIP: / Symbol: 03/12/20	1.000	79.98	03/10/20	58.00	...	21.98	Option sale to close-put ²⁵
AAL 04/17/2020 CALL \$13.50 / CUSIP: / Symbol: 04/14/20	1.000	39.98	04/09/20	88.00	...	-48.02	Option sale to close-call ²⁵
AAL 04/24/2020 CALL \$12.50 / CUSIP: / Symbol: 04/14/20	1.000	107.98	04/13/20	109.00	...	-1.02	Option sale to close-call ²⁵

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Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 05/01/2020 CALL \$12.00 / CUSIP: / Symbol:							
04/22/20	1.000	41.98	04/17/20	102.00	...	-60.02	Option sale to close-call ²⁵
AAL 05/15/2020 CALL \$10.00 / CUSIP: / Symbol:							
05/05/20	1.000	109.98	05/04/20	88.00	...	21.98	Option sale to close-call ²⁵
AAL 06/19/2020 CALL \$16.00 / CUSIP: / Symbol:							
06/05/20	1.000	584.97	06/04/20	108.00	...	476.97	Option sale to close-call ²⁵
AAPL 05/01/2020 CALL \$287.50 / CUSIP: / Symbol:							
04/28/20	1.000	554.97	04/27/20	497.00	...	57.97	Option sale to close-call ²⁵
AAPL 05/01/2020 CALL \$290.00 / CUSIP: / Symbol:							
04/29/20	1.000	-51.02	04/28/20	0.00	...	-51.02	Short sale closed- call ²⁵ Option written: 04/27/20
AAPL 05/01/2020 PUT \$277.50 / CUSIP: / Symbol:							
05/01/20	1.000	7.98	04/30/20	356.02	...	-348.04	Option sale to close-put ²⁵
05/01/20	1.000	7.98	04/30/20	181.00	173.02 W	0.00	Option sale to close-put ²⁵
Security total:		15.96		537.02	173.02 W	-348.04	
AAPL 05/01/2020 PUT \$280.00 / CUSIP: / Symbol:							
							<i>2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.</i>
	1.000	218.98	05/01/20	0.00	...	218.98	1 of 2 - Short sale closed- put ²⁵ Option written: 04/30/20
	1.000	220.98	05/01/20	0.00	...	220.98	2 of 2 - Short sale closed- put ²⁵ Option written: 04/30/20
05/04/20	2.000	439.96	Various	0.00	...	439.96	Total of 2 transactions
AAPL 05/15/2020 PUT \$300.00 / CUSIP: / Symbol:							
05/12/20	1.000	22.98	05/11/20	53.00	...	-30.02	Option sale to close-put ²⁵
AAPL 05/22/2020 PUT \$317.50 / CUSIP: / Symbol:							
05/21/20	1.000	200.98	05/19/20	375.00	...	-174.02	Option sale to close-put ²⁵
AAPL 06/05/2020 PUT \$320.00 / CUSIP: / Symbol:							
05/29/20	1.000	649.97	05/28/20	450.00	...	199.97	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$125.00 / CUSIP: / Symbol:							
09/23/20	1.000	1.98	09/09/20	283.00	...	-281.02	Option sale to close-call ²⁵
ACA 03/20/2020 CALL \$50.00 / CUSIP: / Symbol:							
02/24/20	1.000	24.98	02/13/20	130.00	...	-105.02	Option sale to close-call ²⁵
ACA 03/20/2020 PUT \$45.00 / CUSIP: / Symbol:							
02/25/20	1.000	289.98	02/24/20	330.00	...	-40.02	Option sale to close-put ²⁵
ACB 02/21/2020 CALL \$2.00 / CUSIP: / Symbol:							
02/12/20	1.000	4.98	02/11/20	7.00	...	-2.02	Option sale to close-call ²⁵
AKAM 07/24/2020 CALL \$108.00 / CUSIP: / Symbol:							
07/02/20	1.000	629.97	07/01/20	300.00	...	329.97	Option sale to close-call ²⁵
ALK 03/20/2020 PUT \$22.50 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/18/20	285.00	...	-285.00	Option expiration- long put
ALK 03/20/2020 PUT \$32.50 / CUSIP: / Symbol:							
03/11/20	1.000	74.98	03/10/20	75.00	...	-0.02	Option sale to close-put ²⁵
ALK 04/17/2020 PUT \$17.50 / CUSIP: / Symbol:							
03/24/20	1.000	104.98	03/18/20	380.00	...	-275.02	Option sale to close-put ²⁵
ALK 04/17/2020 PUT \$32.50 / CUSIP: / Symbol:							
03/06/20	1.000	174.98	03/04/20	75.00	...	99.98	Option sale to close-put ²⁵
AMC 03/06/2020 PUT \$5.00 / CUSIP: / Symbol:							
03/03/20	1.000	14.98	02/27/20	50.00	...	-35.02	Option sale to close-put ²⁵
AMC 03/20/2020 PUT \$2.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/19/20	20.00	...	-20.00	Option expiration- long put
AMC 03/20/2020 PUT \$2.50 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/18/20	44.00	...	-44.00	Option expiration- long put
AMC 04/09/2020 PUT \$4.50 / CUSIP: / Symbol:							
03/06/20	1.000	81.98	03/03/20	50.00	...	31.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 04/24/2020 CALL \$2,350.00 / CUSIP: / Symbol:							
03/25/20	1.000	799.97	03/24/20	925.00	...	-125.03	Option sale to close-call ²⁵
AMZN 05/01/2020 PUT \$2,345.00 / CUSIP: / Symbol:							
05/01/20	4.000	24,859.44	04/30/20	15,060.00	...	9,799.44	Option sale to close-put ²⁵
AMZN 05/01/2020 PUT \$2,350.00 / CUSIP: / Symbol:							
05/04/20	4.000	-11,040.36	05/01/20	0.00	...	-11,040.36	Short sale closed- put ²⁵ Option written: 04/30/20
AMZN 05/08/2020 CALL \$2,300.00 / CUSIP: / Symbol:							
05/07/20	1.000	7,064.83	05/01/20	5,012.00	...	2,052.83	Option sale to close-call ²⁵
AMZN 05/08/2020 CALL \$2,305.00 / CUSIP: / Symbol:							
05/08/20	1.000	-1,853.12	05/07/20	0.00	...	-1,853.12	Short sale closed- call ²⁵ Option written: 05/01/20
AMZN 07/02/2020 CALL \$2,920.00 / CUSIP: / Symbol:							
07/06/20	1.000	-426.03	07/02/20	0.00	...	-426.03	Short sale closed- call ²⁵ Option written: 07/01/20
AMZN 07/02/2020 CALL \$2,930.00 / CUSIP: / Symbol:							
07/02/20	1.000	945.96	07/01/20	670.00	...	275.96	Option sale to close-call ²⁵
AMZN 08/07/2020 CALL \$3,590.00 / CUSIP: / Symbol:							
07/31/20	1.000	299.98	07/31/20	380.00	...	-80.02	Option sale to close-call ²⁵
APPS 08/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.98	08/18/20	10.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	19.98	08/18/20	10.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
08/19/20	2.000	39.96	Various	20.00	...	19.96	Total of 2 transactions
APPS 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/26/20	1.000	46.98	08/21/20	165.00	...	-118.02	Option sale to close-call ²⁵
APPS 09/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
08/24/20	1.000	34.98	08/18/20	60.00	...	-25.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 05/15/2020 CALL \$21.00 / CUSIP: / Symbol: 05/14/20	1.000	60.98	05/13/20	36.00	...	24.98	Option sale to close-call ²⁵
BAC 05/15/2020 CALL \$22.00 / CUSIP: / Symbol: 04/17/20	1.000	186.98	04/16/20	125.00	...	61.98	Option sale to close-call ²⁵
BAC 05/15/2020 CALL \$23.00 / CUSIP: / Symbol: 05/05/20	1.000	104.98	05/04/20	68.00	...	36.98	Option sale to close-call ²⁵
BAC 05/22/2020 CALL \$22.00 / CUSIP: / Symbol: 05/18/20	1.000	68.98	05/12/20	78.00	...	-9.02	Option sale to close-call ²⁵
BAC 05/22/2020 CALL \$23.00 / CUSIP: / Symbol: 05/18/20	1.000	30.98	05/11/20	64.00	...	-33.02	Option sale to close-call ²⁵
BAC 05/29/2020 CALL \$21.50 / CUSIP: / Symbol: 05/18/20	1.000	125.98	05/13/20	79.00	...	46.98	Option sale to close-call ²⁵
BAC 05/29/2020 CALL \$22.50 / CUSIP: / Symbol: 05/18/20	1.000	76.98	05/12/20	73.00	...	3.98	Option sale to close-call ²⁵
BAC 07/31/2020 CALL \$23.50 / CUSIP: / Symbol: 07/01/20	1.000	119.98	06/26/20	138.00	...	-18.02	Option sale to close-call ²⁵
BAC 08/21/2020 CALL \$22.00 / CUSIP: / Symbol: 04/23/20	1.000	273.98	04/22/20	286.00	...	-12.02	Option sale to close-call ²⁵
BBBY 03/27/2020 CALL \$12.00 / CUSIP: / Symbol: 02/24/20	1.000	87.98	02/12/20	92.00	...	-4.02	Option sale to close-call ²⁵
BRKB 05/15/2020 CALL \$185.00 / CUSIP: / Symbol: 05/05/20	1.000	59.98	05/01/20	377.00	...	-317.02	Option sale to close-call ²⁵
BRKB 05/15/2020 CALL \$187.50 / CUSIP: / Symbol: 05/06/20	1.000	224.98	05/05/20	0.00	...	224.98	Short sale closed- call ²⁵ Option written: 05/01/20
CCL 03/06/2020 PUT \$35.00 / CUSIP: / Symbol: 03/03/20	1.000	249.98	02/26/20	150.00	...	99.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CCL 03/20/2020 PUT \$18.00 / CUSIP: / Symbol:							
03/12/20	1.000	179.98	03/09/20	127.00	...	52.98	Option sale to close-put ²⁵
CCL 04/03/2020 PUT \$13.00 / CUSIP: / Symbol:							
03/24/20	1.000	104.98	03/13/20	310.00	...	-205.02	Option sale to close-put ²⁵
CCL 04/09/2020 PUT \$26.00 / CUSIP: / Symbol:							
03/06/20	1.000	329.98	03/03/20	174.00	...	155.98	Option sale to close-put ²⁵
CCL 04/24/2020 PUT \$8.00 / CUSIP: / Symbol:							
04/06/20	1.000	59.98	04/01/20	140.00	...	-80.02	Option sale to close-put ²⁵
CCL 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/09/20	1.000	154.98	06/05/20	115.00	...	39.98	Option sale to close-call ²⁵
CHGG 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/26/20	1.000	569.97	05/18/20	460.00	...	109.97	Option sale to close-call ²⁵
CHGG 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
05/15/20	1.000	519.97	05/14/20	380.00	...	139.97	Option sale to close-call ²⁵
05/18/20	1.000	302.98	05/18/20	380.00	77.02 W	0.00	Option sale to close-call ²⁵
05/20/20	1.000	264.98	05/18/20	347.02	...	-82.04	Option sale to close-call ²⁵
Security total:		1,087.93		1,107.02	77.02 W	57.93	
CHGG 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/19/20	1.000	939.96	06/15/20	400.00	...	539.96	Option sale to close-call ²⁵
CNTY 03/20/2020 PUT \$2.50 / CUSIP: / Symbol:							
03/18/20	1.000	59.98	03/16/20	25.00	...	34.98	Option sale to close-put ²⁵
COST 05/15/2020 CALL \$310.00 / CUSIP: / Symbol:							
05/14/20	1.000	19.98	05/12/20	178.00	...	-158.02	Option sale to close-call ²⁵
COST 05/22/2020 CALL \$302.50 / CUSIP: / Symbol:							
05/19/20	1.000	409.98	05/18/20	270.00	...	139.98	Option sale to close-call ²⁵
COST 05/22/2020 CALL \$310.00 / CUSIP: / Symbol:							
05/18/20	1.000	50.98	05/14/20	96.00	...	-45.02	Option sale to close-call ²⁵

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COST 05/29/2020 CALL \$305.00 / CUSIP: / Symbol:							
05/18/20	1.000	539.97	05/15/20	455.00	...	84.97	Option sale to close-call ²⁵
CSCO 05/22/2020 CALL \$43.00 / CUSIP: / Symbol:							
05/18/20	1.000	201.98	05/11/20	152.00	...	49.98	Option sale to close-call ²⁵
CUK 03/20/2020 PUT \$12.50 / CUSIP: / Symbol:							
03/18/20	1.000	359.98	03/13/20	300.00	...	59.98	Option sale to close-put ²⁵
DAL 02/28/2020 PUT \$45.00 / CUSIP: / Symbol:							
02/27/20	1.000	75.98	02/27/20	200.00	...	-124.02	Option sale to close-put ²⁵
DAL 02/28/2020 PUT \$51.00 / CUSIP: / Symbol:							
02/28/20	1.000	434.98	02/25/20	112.00	...	322.98	Option sale to close-put ²⁵
DAL 03/13/2020 PUT \$44.00 / CUSIP: / Symbol:							
03/05/20	1.000	136.98	03/04/20	86.00	...	50.98	Option sale to close-put ²⁵
DAL 04/03/2020 CALL \$35.00 / CUSIP: / Symbol:							
03/25/20	1.000	147.98	03/24/20	120.00	...	27.98	Option sale to close-call ²⁵
DAL 04/09/2020 PUT \$30.00 / CUSIP: / Symbol:							
03/05/20	1.000	49.98	03/03/20	44.00	...	5.98	Option sale to close-put ²⁵
DAL 04/24/2020 PUT \$21.00 / CUSIP: / Symbol:							
04/06/20	1.000	256.98	04/03/20	250.00	...	6.98	Option sale to close-put ²⁵
DAL 06/05/2020 CALL \$26.00 / CUSIP: / Symbol:							
05/28/20	1.000	93.98	05/27/20	131.00	...	-37.02	Option sale to close-call ²⁵
DAL 06/19/2020 CALL \$36.00 / CUSIP: / Symbol:							
06/05/20	1.000	224.98	06/05/20	305.00	...	-80.02	Option sale to close-call ²⁵
DG 04/17/2020 PUT \$120.00 / CUSIP: / Symbol:							
03/06/20	1.000	104.98	03/03/20	83.00	...	21.98	Option sale to close-put ²⁵

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DIA 03/31/2020 CALL \$198.00 / CUSIP: / Symbol:							
03/23/20	1.000	399.98	03/23/20	415.00	15.02 W	0.00	Option sale to close-call ²⁵
03/24/20	1.000	805.97	03/23/20	420.02	...	385.95	Option sale to close-call ²⁵
	Security total:	1,205.95		835.02	15.02 W	385.95	
DIA 03/31/2020 PUT \$206.00 / CUSIP: / Symbol:							
03/25/20	2.000	1,039.96	03/25/20	1,920.00	...	-880.04	Option sale to close-put ²⁵
DIA 04/03/2020 PUT \$165.00 / CUSIP: / Symbol:							
03/31/20	1.000	7.98	03/25/20	119.00	...	-111.02	Option sale to close-put ²⁵
DIA 04/09/2020 PUT \$188.00 / CUSIP: / Symbol:							
03/31/20	1.000	180.98	03/26/20	400.00	...	-219.02	Option sale to close-put ²⁵
DIA 05/08/2020 CALL \$240.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	368.98	05/01/20	338.00	...	30.98	1 of 2 - Option sale to close-call ²⁵
	1.000	370.98	05/01/20	338.00	...	32.98	2 of 2 - Option sale to close-call ²⁵
05/05/20	2.000	739.96	Various	676.00	...	63.96	Total of 2 transactions
DIA 05/08/2020 CALL \$241.00 / CUSIP: / Symbol:							
05/06/20	1.000	-38.04	05/05/20	0.00	...	-38.04	Short sale closed- call ²⁵
05/06/20	1.000	-20.02	05/05/20	0.00	20.02 W	0.00	Option written: 05/01/20 Short sale closed- call ²⁵ Option written: 05/01/20
	Security total:	-58.06		0.00	20.02 W	-38.04	
DIS 03/20/2020 PUT \$95.00 / CUSIP: / Symbol:							
03/06/20	1.000	110.98	03/05/20	80.00	...	30.98	Option sale to close-put ²⁵
DIS 04/03/2020 PUT \$75.00 / CUSIP: / Symbol:							
03/18/20	1.000	354.98	03/13/20	345.00	...	9.98	Option sale to close-put ²⁵
DIS 04/03/2020 PUT \$80.00 / CUSIP: / Symbol:							
03/18/20	1.000	459.97	03/12/20	485.00	...	-25.03	Option sale to close-put ²⁵

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DIS 04/09/2020 PUT \$75.00 / CUSIP: / Symbol:							
03/18/20	1.000	414.98	03/13/20	590.00	...	-175.02	Option sale to close-put ²⁵
DKNG 08/07/2020 CALL \$34.00 / CUSIP: / Symbol:							
07/06/20	1.000	339.98	07/02/20	410.00	...	-70.02	Option sale to close-call ²⁵
DOCU 06/26/2020 CALL \$150.00 / CUSIP: / Symbol:							
06/17/20	1.000	1,589.95	06/12/20	700.00	...	889.95	Option sale to close-call ²⁵
DOCU 07/10/2020 CALL \$165.00 / CUSIP: / Symbol:							
06/17/20	1.000	699.97	06/17/20	840.00	...	-140.03	Option sale to close-call ²⁵
DOCU 07/10/2020 CALL \$170.00 / CUSIP: / Symbol:							
06/26/20	1.000	919.96	06/22/20	740.00	...	179.96	Option sale to close-call ²⁵
DOCU 07/17/2020 CALL \$167.50 / CUSIP: / Symbol:							
07/01/20	1.000	1,219.96	06/23/20	780.00	...	439.96	Option sale to close-call ²⁵
DOCU 07/17/2020 CALL \$197.50 / CUSIP: / Symbol:							
07/17/20	1.000	75.98	07/17/20	0.00	...	75.98	Short sale closed- call expired Option written: 07/16/20
DOCU 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/17/20	1.000	42.98	07/17/20	0.00	...	42.98	Short sale closed- call expired Option written: 07/16/20
DOCU 07/17/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/17/20	1.000	89.98	07/16/20	0.00	...	89.98	Short sale closed- call ²⁵ Option written: 07/14/20
DOCU 07/24/2020 CALL \$192.50 / CUSIP: / Symbol:							
07/20/20	1.000	1,079.96	07/16/20	690.00	...	389.96	Option sale to close-call ²⁵
DOCU 07/24/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/24/20	1.000	136.98	07/23/20	0.00	...	136.98	Short sale closed- call ²⁵ Option written: 07/22/20

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DOCU 07/24/2020 CALL \$210.00 / CUSIP: / Symbol: 07/22/20	1.000	130.98	07/21/20	0.00	...	130.98	Short sale closed- call ²⁵ Option written: 07/20/20
DOCU 07/31/2020 CALL \$200.00 / CUSIP: / Symbol: 08/03/20	1.000	-890.02	07/31/20	0.00	...	-890.02	Short sale closed- call ²⁵ Option written: 07/27/20
DOCU 07/31/2020 CALL \$205.00 / CUSIP: / Symbol: 07/31/20	1.000	-655.02	07/30/20	0.00	...	-655.02	Short sale closed- call ²⁵ Option written: 07/28/20
DOCU 07/31/2020 CALL \$215.00 / CUSIP: / Symbol: 08/03/20	1.000	149.98	07/31/20	0.00	...	149.98	Short sale closed- call ²⁵ Option written: 07/30/20
DOCU 07/31/2020 CALL \$220.00 / CUSIP: / Symbol: 07/31/20	1.000	-26.02	07/30/20	0.00	...	-26.02	Short sale closed- call ²⁵ Option written: 07/30/20
DOCU 08/07/2020 CALL \$192.50 / CUSIP: / Symbol: 07/31/20	1.000	2,049.94	07/24/20	760.00	...	1,289.94	Option sale to close-call ²⁵
DOCU 08/07/2020 CALL \$200.00 / CUSIP: / Symbol: 07/30/20	1.000	1,579.95	07/14/20	985.00	...	594.95	Option sale to close-call ²⁵
DOCU 08/07/2020 CALL \$205.00 / CUSIP: / Symbol: 07/31/20	1.000	-718.03	07/30/20	0.00	...	-718.03	Short sale closed- call ²⁵ Option written: 07/28/20
DOCU 08/07/2020 CALL \$220.00 / CUSIP: / Symbol: 07/31/20	1.000	329.98	07/30/20	440.00	...	-110.02	Option sale to close-call ²⁵
DOCU 08/14/2020 CALL \$202.50 / CUSIP: / Symbol: 07/31/20	1.000	1,449.95	07/23/20	1,040.00	...	409.95	Option sale to close-call ²⁵

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DOCU 08/14/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/13/20	1.000	1,784.95	07/08/20	1,500.00	...	284.95	Option sale to close-call ²⁵
08/10/20	1.000	279.98	08/10/20	315.00	...	-35.02	Option sale to close-call ²⁵
	Security total:	2,064.93		1,815.00	...	249.93	
DOCU 08/21/2020 CALL \$205.00 / CUSIP: / Symbol:							
08/11/20	1.000	369.98	08/10/20	550.00	...	-180.02	Option sale to close-call ²⁵
DOCU 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/18/20	1.000	334.98	08/18/20	395.00	...	-60.02	Option sale to close-call ²⁵
DOCU 09/25/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/23/20	1.000	15.98	09/04/20	685.00	...	-669.02	Option sale to close-call ²⁵
EA 07/10/2020 CALL \$127.00 / CUSIP: / Symbol:							
06/19/20	1.000	594.97	06/16/20	415.00	...	179.97	Option sale to close-call ²⁵
EA 07/10/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/01/20	1.000	359.98	06/22/20	385.00	...	-25.02	Option sale to close-call ²⁵
ERI 03/20/2020 PUT \$20.00 / CUSIP: / Symbol:							
03/11/20	1.000	134.98	03/10/20	65.00	...	69.98	Option sale to close-put ²⁵
ERI 03/20/2020 PUT \$7.50 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/19/20	80.00	...	-80.00	Option expiration- long put
ERI 04/17/2020 PUT \$25.00 / CUSIP: / Symbol:							
03/06/20	1.000	199.98	03/05/20	115.00	...	84.98	Option sale to close-put ²⁵
ERI 04/17/2020 PUT \$7.50 / CUSIP: / Symbol:							
03/18/20	1.000	279.98	03/17/20	165.00	...	114.98	Option sale to close-put ²⁵
ETSY 07/02/2020 CALL \$86.00 / CUSIP: / Symbol:							
06/19/20	1.000	649.97	06/16/20	400.00	...	249.97	Option sale to close-call ²⁵
ETSY 07/10/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/30/20	1.000	719.97	06/22/20	440.00	...	279.97	Option sale to close-call ²⁵

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ETSY 07/10/2020 CALL \$115.00 / CUSIP: / Symbol:							
07/10/20	1.000	287.98	07/10/20	0.00	...	287.98	Short sale closed- call expired Option written: 07/06/20
ETSY 07/10/2020 CALL \$116.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	07/06/20	248.00	...	-248.00	Option expiration- long call
ETSY 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/30/20	1.000	950.96	06/24/20	510.00	...	440.96	Option sale to close-call ²⁵
ETSY 08/07/2020 CALL \$112.00 / CUSIP: / Symbol:							
07/13/20	1.000	699.97	07/02/20	985.00	...	-285.03	Option sale to close-call ²⁵
EXPE 03/06/2020 PUT \$90.00 / CUSIP: / Symbol:							
03/03/20	1.000	59.98	02/26/20	120.00	...	-60.02	Option sale to close-put ²⁵
EXPE 04/03/2020 PUT \$35.00 / CUSIP: / Symbol:							
03/24/20	1.000	119.98	03/19/20	750.00	...	-630.02	Option sale to close-put ²⁵
EXPE 04/03/2020 PUT \$50.00 / CUSIP: / Symbol:							
03/18/20	1.000	1,139.96	03/13/20	450.00	...	689.96	Option sale to close-put ²⁵
EXPE 04/03/2020 PUT \$60.00 / CUSIP: / Symbol:							
03/13/20	1.000	819.97	03/12/20	740.00	...	79.97	Option sale to close-put ²⁵
EXPE 04/09/2020 PUT \$50.00 / CUSIP: / Symbol:							
03/18/20	1.000	1,271.96	03/13/20	500.00	...	771.96	Option sale to close-put ²⁵
EXPE 04/09/2020 PUT \$65.00 / CUSIP: / Symbol:							
03/06/20	1.000	179.98	03/03/20	55.00	...	124.98	Option sale to close-put ²⁵
FB 04/09/2020 CALL \$160.00 / CUSIP: / Symbol:							
03/25/20	1.000	549.97	03/24/20	770.00	...	-220.03	Option sale to close-call ²⁵
FB 08/14/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/07/20	1.000	464.97	08/07/20	612.00	...	-147.03	Option sale to close-call ²⁵
FB 08/28/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/25/20	1.000	224.98	08/20/20	153.00	...	71.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FB 08/28/2020 CALL \$305.00 / CUSIP: / Symbol:							
08/26/20	1.000	449.98	08/26/20	440.00	...	9.98	Option sale to close-call ²⁵
FB 09/11/2020 CALL \$310.00 / CUSIP: / Symbol:							
08/26/20	1.000	619.97	08/25/20	225.00	...	394.97	Option sale to close-call ²⁵
FB 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/31/20	1.000	1,009.96	08/31/20	880.00	...	129.96	Option sale to close-call ²⁵
FDN 03/20/2020 PUT \$122.00 / CUSIP: / Symbol:							
03/06/20	1.000	179.98	03/05/20	80.00	...	99.98	Option sale to close-put ²⁵
FSLY 07/24/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/02/20	1.000	609.97	07/01/20	650.00	...	-40.03	Option sale to close-call ²⁵
FTEC 03/20/2020 PUT \$68.00 / CUSIP: / Symbol:							
03/05/20	1.000	109.98	03/05/20	145.00	...	-35.02	Option sale to close-put ²⁵
GILD 03/13/2020 CALL \$85.00 / CUSIP: / Symbol:							
03/10/20	1.000	13.98	03/06/20	57.00	...	-43.02	Option sale to close-call ²⁵
GILD 03/27/2020 CALL \$95.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	69.98	03/09/20	85.00	...	-15.02	1 of 2 - Option sale to close-call ²⁵
	1.000	74.98	03/09/20	85.00	...	-10.02	2 of 2 - Option sale to close-call ²⁵
03/11/20	2.000	144.96	Various	170.00	...	-25.04	Total of 2 transactions
GILD 04/03/2020 CALL \$85.00 / CUSIP: / Symbol:							
03/11/20	1.000	229.98	03/06/20	265.00	...	-35.02	Option sale to close-call ²⁵
GILD 04/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
03/05/20	1.000	340.98	03/04/20	291.00	...	49.98	Option sale to close-call ²⁵
GLD 08/21/2020 CALL \$186.50 / CUSIP: / Symbol:							
08/17/20	1.000	179.98	08/17/20	200.00	...	-20.02	Option sale to close-call ²⁵
GM 03/20/2020 PUT \$18.00 / CUSIP: / Symbol:							
03/20/20	1.000	19.98	03/19/20	50.00	...	-30.02	Option sale to close-put ²⁵

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GM 03/27/2020 PUT \$17.00 / CUSIP: / Symbol:							
03/23/20	1.000	105.98	03/19/20	190.00	...	-84.02	Option sale to close-put ²⁵
GOLD 03/13/2020 CALL \$22.00 / CUSIP: / Symbol:							
02/26/20	1.000	34.98	02/25/20	67.00	...	-32.02	Option sale to close-call ²⁵
GOLD 08/21/2020 CALL \$30.50 / CUSIP: / Symbol:							
08/18/20	1.000	37.98	08/17/20	64.00	...	-26.02	Option sale to close-call ²⁵
GUSH 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/17/20	1.000	149.98	06/09/20	860.00	...	-710.02	Option sale to close-call ²⁵
GUSH 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/09/20	1.000	479.97	06/08/20	760.00	...	-280.03	Option sale to close-call ²⁵
HA 03/20/2020 CALL \$9.00 / CUSIP: / Symbol:							
03/18/20	1.000	149.98	03/18/20	165.00	...	-15.02	Option sale to close-call ²⁵
HA 03/20/2020 PUT \$9.00 / CUSIP: / Symbol:							
03/20/20	1.000	49.98	03/18/20	120.00	...	-70.02	Option sale to close-put ²⁵
HA 04/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
03/24/20	1.000	30.98	03/19/20	90.00	...	-59.02	Option sale to close-put ²⁵
HD 02/28/2020 CALL \$242.50 / CUSIP: / Symbol:							
02/24/20	1.000	478.97	02/18/20	553.00	...	-74.03	Option sale to close-call ²⁵
HD 02/28/2020 CALL \$245.00 / CUSIP: / Symbol:							
02/25/20	1.000	58.98	02/24/20	0.00	...	58.98	Short sale closed- call ²⁵ Option written: 02/18/20
HD 03/20/2020 PUT \$215.00 / CUSIP: / Symbol:							
03/06/20	1.000	614.97	03/04/20	115.00	...	499.97	Option sale to close-put ²⁵
HST 03/20/2020 PUT \$13.00 / CUSIP: / Symbol:							
03/06/20	1.000	44.98	03/05/20	55.00	...	-10.02	Option sale to close-put ²⁵
IBN 05/15/2020 CALL \$10.00 / CUSIP: / Symbol:							
04/20/20	1.000	37.98	04/17/20	55.00	...	-17.02	Option sale to close-call ²⁵

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INDA 04/09/2020 CALL \$21.00 / CUSIP: / Symbol:							
03/24/20	1.000	194.98	03/23/20	160.00	...	34.98	Option sale to close-call ²⁵
INDA 04/17/2020 PUT \$23.50 / CUSIP: / Symbol:							
03/31/20	1.000	119.98	03/27/20	200.00	...	-80.02	Option sale to close-put ²⁵
INO 07/02/2020 CALL \$28.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.98	07/01/20	0.00	...	0.98	Short sale closed- call ²⁵ Option written: 07/01/20
INO 07/02/2020 PUT \$20.00 / CUSIP: / Symbol:							
07/01/20	1.000	49.98	06/30/20	49.00	...	0.98	Option sale to close-put ²⁵
INO 07/24/2020 CALL \$22.00 / CUSIP: / Symbol:							
07/01/20	1.000	259.98	07/01/20	490.00	...	-230.02	Option sale to close-call ²⁵
INTC 07/31/2020 CALL \$48.50 / CUSIP: / Symbol:							
08/03/20	1.000	12.98	07/31/20	0.00	...	12.98	Short sale closed- call ²⁵ Option written: 07/30/20
INTC 08/21/2020 CALL \$48.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	121.98	07/30/20	130.00	...	-8.02	1 of 2 - Option sale to close-call ²⁵
	1.000	121.98	07/30/20	130.00	...	-8.02	2 of 2 - Option sale to close-call ²⁵
07/31/20	2.000	243.96	Various	260.00	...	-16.04	Total of 2 transactions
INTC 08/21/2020 CALL \$49.00 / CUSIP: / Symbol:							
07/30/20	1.000	90.98	07/29/20	98.00	...	-7.02	Option sale to close-call ²⁵
INTC 08/28/2020 CALL \$48.00 / CUSIP: / Symbol:							
08/06/20	1.000	150.98	07/31/20	128.00	...	22.98	Option sale to close-call ²⁵
JBLU 03/20/2020 PUT \$15.00 / CUSIP: / Symbol:							
03/03/20	1.000	64.98	02/27/20	80.00	...	-15.02	Option sale to close-put ²⁵
JBLU 04/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
03/25/20	1.000	255.98	03/24/20	139.00	...	116.98	Option sale to close-call ²⁵

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JD 05/15/2020 CALL \$47.50 / CUSIP: / Symbol:							
05/14/20	1.000	242.98	05/11/20	169.00	...	73.98	Option sale to close-call ²⁵
JNUG 04/09/2020 CALL \$6.00 / CUSIP: / Symbol:							
03/25/20	1.000	189.98	03/23/20	95.00	...	94.98	Option sale to close-call ²⁵
JNUG 04/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
04/13/20	1.000	119.98	04/09/20	46.00	...	73.98	Option sale to close-call ²⁵
JNUG2 06/19/2020 CALL \$8.00 / CUSIP: / Symbol:							
04/23/20	1.000	162.98	04/22/20	160.00	...	2.98	Option sale to close-call ²⁵
KO 06/05/2020 CALL \$46.00 / CUSIP: / Symbol:							
04/24/20	1.000	162.98	04/23/20	201.00	...	-38.02	Option sale to close-call ²⁵
LUV 03/13/2020 PUT \$45.00 / CUSIP: / Symbol:							
02/28/20	1.000	249.98	02/26/20	100.00	...	149.98	Option sale to close-put ²⁵
LUV 03/20/2020 CALL \$39.00 / CUSIP: / Symbol:							
03/20/20	1.000	122.98	03/19/20	0.00	...	122.98	Short sale closed- call ²⁵ Option written: 03/17/20
LUV 03/20/2020 CALL \$40.50 / CUSIP: / Symbol:							
03/19/20	1.000	4.98	03/17/20	88.00	...	-83.02	Option sale to close-call ²⁵
LUV 04/03/2020 PUT \$38.00 / CUSIP: / Symbol:							
03/18/20	1.000	569.97	03/13/20	450.00	...	119.97	Option sale to close-put ²⁵
LUV 04/09/2020 PUT \$35.00 / CUSIP: / Symbol:							
03/18/20	1.000	503.97	03/13/20	420.00	...	83.97	Option sale to close-put ²⁵
LUV 04/09/2020 PUT \$41.50 / CUSIP: / Symbol:							
03/06/20	1.000	234.98	03/03/20	195.00	...	39.98	Option sale to close-put ²⁵
LUV 06/05/2020 CALL \$33.50 / CUSIP: / Symbol:							
05/28/20	1.000	127.98	05/27/20	120.00	...	7.98	Option sale to close-call ²⁵
LUV 06/05/2020 CALL \$34.50 / CUSIP: / Symbol:							
05/27/20	1.000	99.98	05/27/20	130.00	...	-30.02	Option sale to close-call ²⁵

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LUV 06/12/2020 CALL \$34.00 / CUSIP: / Symbol:							
05/29/20	1.000	89.98	05/28/20	170.00	...	-80.02	Option sale to close-call ²⁵
LUV 06/19/2020 CALL \$39.00 / CUSIP: / Symbol:							
06/05/20	1.000	369.98	06/04/20	200.00	...	169.98	Option sale to close-call ²⁵
06/09/20	1.000	179.98	06/05/20	190.00	...	-10.02	Option sale to close-call ²⁵
Security total:		549.96		390.00	...	159.96	
LUV 07/10/2020 CALL \$39.00 / CUSIP: / Symbol:							
06/17/20	1.000	139.98	06/09/20	300.00	...	-160.02	Option sale to close-call ²⁵
LUV 08/28/2020 CALL \$31.00 / CUSIP: / Symbol:							
08/07/20	1.000	264.98	07/31/20	170.00	...	94.98	Option sale to close-call ²⁵
LUV 12/18/2020 CALL \$32.50 / CUSIP: / Symbol:							
07/27/20	1.000	389.98	07/24/20	435.00	45.02 W	0.00	Option sale to close-call ²⁵
07/30/20	1.000	379.98	07/29/20	440.02	...	-60.04	Option sale to close-call ²⁵
Security total:		769.96		875.02	45.02 W	-60.04	
LVS 03/20/2020 CALL \$43.00 / CUSIP: / Symbol:							
03/19/20	1.000	108.98	03/18/20	0.00	...	108.98	Short sale closed- call ²⁵ Option written: 03/17/20
LVS 03/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
03/18/20	1.000	48.98	03/17/20	149.00	...	-100.02	Option sale to close-call ²⁵
MGM 03/06/2020 PUT \$27.50 / CUSIP: / Symbol:							
02/28/20	1.000	309.98	02/26/20	74.00	...	235.98	Option sale to close-put ²⁵
MGM 03/20/2020 PUT \$16.00 / CUSIP: / Symbol:							
03/11/20	1.000	108.98	03/10/20	65.00	...	43.98	Option sale to close-put ²⁵
MGM 03/20/2020 PUT \$17.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	59.98	03/05/20	54.00	...	5.98	1 of 2 - Option sale to close-put ²⁵
	1.000	61.98	03/05/20	54.00	...	7.98	2 of 2 - Option sale to close-put ²⁵
03/06/20	2.000	121.96	Various	108.00	...	13.96	Total of 2 transactions

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MGM 03/27/2020 PUT \$8.00 / CUSIP: / Symbol:							
03/24/20	1.000	10.98	03/19/20	177.00	...	-166.02	Option sale to close-put ²⁵
MGM 04/03/2020 PUT \$6.00 / CUSIP: / Symbol:							
03/24/20	1.000	16.98	03/18/20	143.00	...	-126.02	Option sale to close-put ²⁵
MGM 04/17/2020 PUT \$10.00 / CUSIP: / Symbol:							
04/06/20	1.000	38.98	04/03/20	87.00	...	-48.02	Option sale to close-put ²⁵
MGM 06/19/2020 CALL \$23.00 / CUSIP: / Symbol:							
06/09/20	1.000	136.98	06/05/20	150.00	...	-13.02	Option sale to close-call ²⁵
MGNX 10/16/2020 PUT \$10.00 / CUSIP: / Symbol:							
05/07/20	1.000	224.98	05/07/20	295.00	...	-70.02	Option sale to close-put ²⁵
MGNX 10/16/2020 PUT \$7.50 / CUSIP: / Symbol:							
05/27/20	1.000	19.98	05/07/20	140.00	...	-120.02	Option sale to close-put ²⁵
MITK 03/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
02/14/20	1.000	59.98	02/13/20	52.00	...	7.98	Option sale to close-call ²⁵
MRNA 03/27/2020 CALL \$37.00 / CUSIP: / Symbol:							
03/11/20	1.000	32.98	03/11/20	130.02	...	-97.04	Option sale to close-call ²⁵
03/11/20	1.000	49.98	03/09/20	120.00	70.02 W	0.00	Option sale to close-call ²⁵
Security total:		82.96		250.02	70.02 W	-97.04	
MRNA 04/03/2020 CALL \$38.00 / CUSIP: / Symbol:							
03/11/20	1.000	49.98	03/09/20	125.00	...	-75.02	Option sale to close-call ²⁵
MRNA 06/26/2020 CALL \$80.00 / CUSIP: / Symbol:							
06/09/20	1.000	69.98	06/05/20	85.00	...	-15.02	Option sale to close-call ²⁵
MSFT 04/24/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/24/20	1.000	10.98	04/23/20	95.00	...	-84.02	Option sale to close-call ²⁵
MSG 04/17/2020 PUT \$120.00 / CUSIP: / Symbol:							
03/24/20	1.000	249.98	03/19/20	691.00	...	-441.02	Option sale to close-put ²⁵

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MSG 04/17/2020 PUT \$140.00 / CUSIP: / Symbol: 03/24/20	1.000	349.98	03/12/20	1,000.00	...	-650.02	Option sale to close-put ²⁵
NCLH 03/20/2020 CALL \$12.00 / CUSIP: / Symbol: 03/19/20	1.000	72.98	03/18/20	0.00	...	72.98	Short sale closed- call ²⁵ Option written: 03/17/20
NCLH 03/20/2020 CALL \$13.00 / CUSIP: / Symbol: 03/18/20	1.000	0.98	03/17/20	52.00	...	-51.02	Option sale to close-call ²⁵
NCLH 03/20/2020 PUT \$35.00 / CUSIP: / Symbol: 02/27/20	1.000	389.98	02/26/20	100.00	...	289.98	Option sale to close-put ²⁵
NCLH 04/03/2020 PUT \$7.00 / CUSIP: / Symbol: 03/24/20	1.000	24.98	03/18/20	150.00	...	-125.02	Option sale to close-put ²⁵
NCLH 06/19/2020 CALL \$30.00 / CUSIP: / Symbol: 06/09/20	1.000	124.98	06/05/20	95.00	...	29.98	Option sale to close-call ²⁵
NCLH 06/26/2020 CALL \$25.00 / CUSIP: / Symbol: 06/15/20	1.000	55.98	06/09/20	330.00	...	-274.02	Option sale to close-call ²⁵
NFLX 07/17/2020 CALL \$535.00 / CUSIP: / Symbol: 07/17/20	1.000	8.98	07/15/20	2,294.00	...	-2,285.02	Option sale to close-call ²⁵
NFLX 07/17/2020 CALL \$540.00 / CUSIP: / Symbol: 07/20/20	1.000	2,101.94	07/17/20	0.00	...	2,101.94	Short sale closed- call ²⁵ Option written: 07/15/20
NFLX 07/17/2020 CALL \$600.00 / CUSIP: / Symbol: 07/15/20	1.000	539.97	07/13/20	1,500.00	...	-960.03	Option sale to close-call ²⁵
NFLX 07/24/2020 CALL \$495.00 / CUSIP: / Symbol: 07/21/20	1.000	549.97	07/21/20	655.00	...	-105.03	Option sale to close-call ²⁵
NFLX 07/24/2020 CALL \$530.00 / CUSIP: / Symbol: 07/21/20	1.000	179.98	07/20/20	208.00	...	-28.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NFLX 07/24/2020 CALL \$550.00 / CUSIP: / Symbol:							
07/21/20	1.000	63.98	07/20/20	95.00	...	-31.02	Option sale to close-call ²⁵
NFLX 08/14/2020 CALL \$510.00 / CUSIP: / Symbol:							
08/12/20	1.000	59.98	08/11/20	0.00	...	59.98	Short sale closed- call ²⁵ Option written: 08/10/20
NFLX 08/21/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/12/20	1.000	379.98	08/11/20	293.00	...	86.98	Option sale to close-call ²⁵
NFLX 08/21/2020 CALL \$505.00 / CUSIP: / Symbol:							
08/11/20	1.000	319.98	08/10/20	565.00	...	-245.02	Option sale to close-call ²⁵
PK 03/20/2020 PUT \$12.50 / CUSIP: / Symbol:							
03/11/20	1.000	124.98	03/09/20	80.00	...	44.98	Option sale to close-put ²⁵
PK 03/20/2020 PUT \$15.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	34.98	03/05/20	30.00	...	4.98	1 of 2 - Option sale to close-put ²⁵
	1.000	39.98	03/05/20	30.00	...	9.98	2 of 2 - Option sale to close-put ²⁵
03/06/20	2.000	74.96	Various	60.00	...	14.96	Total of 2 transactions
QQQ 03/31/2020 CALL \$181.00 / CUSIP: / Symbol:							
03/25/20	1.000	699.97	03/24/20	605.00	...	94.97	Option sale to close-call ²⁵
RCL 03/06/2020 PUT \$78.00 / CUSIP: / Symbol:							
03/03/20	1.000	248.98	02/27/20	505.00	...	-256.02	Option sale to close-put ²⁵
RCL 03/20/2020 PUT \$36.00 / CUSIP: / Symbol:							
03/11/20	1.000	326.98	03/09/20	217.00	...	109.98	Option sale to close-put ²⁵
RCL 03/20/2020 PUT \$50.00 / CUSIP: / Symbol:							
03/06/20	1.000	194.98	03/05/20	158.00	...	36.98	Option sale to close-put ²⁵
RCL 04/03/2020 PUT \$25.00 / CUSIP: / Symbol:							
03/19/20	1.000	679.97	03/13/20	520.00	...	159.97	Option sale to close-put ²⁵

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RCL 04/17/2020 PUT \$45.00 / CUSIP: / Symbol:							
03/05/20	1.000	229.98	03/03/20	130.00	...	99.98	Option sale to close-put ²⁵
RDSA 07/02/2020 CALL \$42.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.00	06/08/20	65.00	...	-65.00	Option expiration- long call
ROKU 07/10/2020 CALL \$135.00 / CUSIP: / Symbol:							
06/24/20	1.000	369.98	06/22/20	680.00	...	-310.02	Option sale to close-call ²⁵
RTX 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/26/20	1.000	499.97	05/19/20	265.00	...	234.97	Option sale to close-call ²⁵
RTX 06/19/2020 CALL \$74.00 / CUSIP: / Symbol:							
06/16/20	1.000	17.98	06/05/20	195.00	...	-177.02	Option sale to close-call ²⁵
RTX 08/07/2020 CALL \$57.50 / CUSIP: / Symbol:							
07/31/20	1.000	84.98	07/30/20	126.00	...	-41.02	Option sale to close-call ²⁵
RTX 08/14/2020 CALL \$57.50 / CUSIP: / Symbol:							
08/07/20	1.000	239.98	08/04/20	122.00	...	117.98	Option sale to close-call ²⁵
RTX 08/21/2020 CALL \$57.00 / CUSIP: / Symbol:							
08/04/20	1.000	184.98	07/31/20	170.00	...	14.98	Option sale to close-call ²⁵
S 02/21/2020 PUT \$8.00 / CUSIP: / Symbol:							
02/12/20	1.000	9.98	02/11/20	22.00	...	-12.02	Option sale to close-put ²⁵
SAVE 03/20/2020 PUT \$30.00 / CUSIP: / Symbol:							
02/28/20	1.000	299.98	02/27/20	190.00	...	109.98	Option sale to close-put ²⁵
SAVE 04/17/2020 PUT \$22.50 / CUSIP: / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	219.98	03/03/20	130.00	...	89.98	1 of 2 - Option sale to close-put ²⁵
	1.000	259.98	03/03/20	164.00	...	95.98	2 of 2 - Option sale to close-put ²⁵
03/05/20	2.000	479.96	Various	294.00	...	185.96	Total of 2 transactions
SBUX 03/06/2020 PUT \$82.50 / CUSIP: / Symbol:							
02/28/20	1.000	649.97	02/25/20	171.00	...	478.97	Option sale to close-put ²⁵

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SBUX 04/03/2020 PUT \$70.00 / CUSIP: / Symbol: 03/06/20	1.000	229.98	03/03/20	84.00	...	145.98	Option sale to close-put ²⁵
SBUX 05/01/2020 CALL \$76.00 / CUSIP: / Symbol: 04/24/20	1.000	204.98	04/23/20	275.00	...	-70.02	Option sale to close-call ²⁵
SEAS 04/03/2020 PUT \$15.50 / CUSIP: / Symbol: 03/30/20	1.000	409.98	03/25/20	295.00	...	114.98	Option sale to close-put ²⁵
SHOP 05/01/2020 CALL \$620.00 / CUSIP: / Symbol: 05/01/20	1.000	679.97	04/28/20	1,830.00	...	-1,150.03	Option sale to close-call ²⁵
SHOP 05/01/2020 CALL \$625.00 / CUSIP: / Symbol: 05/04/20	1.000	1,154.95	05/01/20	0.00	...	1,154.95	Short sale closed- call ²⁵ Option written: 04/28/20
SHOP 05/08/2020 CALL \$620.00 / CUSIP: / Symbol: 05/06/20	1.000	6,479.84	05/01/20	3,688.00	...	2,791.84	Option sale to close-call ²⁵
SHOP 05/08/2020 CALL \$625.00 / CUSIP: / Symbol: 05/07/20	1.000	-2,617.09	05/06/20	0.00	...	-2,617.09	Short sale closed- call ²⁵ Option written: 05/01/20
SHOP 05/08/2020 CALL \$790.00 / CUSIP: / Symbol: 04/22/20	1.000	1,359.95	04/21/20	1,380.00	...	-20.05	Option sale to close-call ²⁵
SHOP 05/15/2020 CALL \$800.00 / CUSIP: / Symbol: 04/22/20	1.000	1,519.95	04/21/20	1,300.00	...	219.95	Option sale to close-call ²⁵
SHOP 05/15/2020 CALL \$825.00 / CUSIP: / Symbol: 04/22/20	1.000	1,359.95	04/21/20	1,020.00	...	339.95	Option sale to close-call ²⁵
SHOP 05/22/2020 CALL \$730.00 / CUSIP: / Symbol: 05/19/20	1.000	3,635.90	05/18/20	2,875.00	...	760.90	Option sale to close-call ²⁵
SHOP 05/22/2020 CALL \$735.00 / CUSIP: / Symbol: 05/20/20	1.000	-761.07	05/19/20	0.00	...	-761.07	Short sale closed- call ²⁵ Option written: 05/18/20

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SHOP 05/22/2020 PUT \$795.00 / CUSIP: / Symbol:							
05/21/20	1.000	1,199.96	05/21/20	1,100.00	...	99.96	Option sale to close-put ²⁵
SHOP 05/29/2020 CALL \$800.00 / CUSIP: / Symbol:							
05/27/20	1.000	219.98	05/26/20	1,700.00	...	-1,480.02	Option sale to close-call ²⁵
SHOP 06/05/2020 CALL \$760.00 / CUSIP: / Symbol:							
06/04/20	1.000	619.97	06/04/20	1,010.00	...	-390.03	Option sale to close-call ²⁵
SHOP 06/05/2020 CALL \$765.00 / CUSIP: / Symbol:							
06/02/20	1.000	1,359.95	06/02/20	1,160.00	...	199.95	Option sale to close-call ²⁵
SHOP 06/05/2020 PUT \$700.00 / CUSIP: / Symbol:							
06/05/20	1.000	129.98	06/04/20	145.00	...	-15.02	Option sale to close-put ²⁵
SHOP 08/07/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
08/03/20	1.000	1,539.95	07/31/20	620.00	...	919.95	Option sale to close-call ²⁵
SHOP 08/14/2020 CALL \$1,070.00 / CUSIP: / Symbol:							
08/10/20	1.000	1,049.96	08/10/20	1,500.00	...	-450.04	Option sale to close-call ²⁵
SHOP 08/14/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
08/10/20	1.000	629.97	08/07/20	1,490.00	860.03 W	0.00	Option sale to close-call ²⁵
08/12/20	1.000	59.98	08/11/20	1,005.03	945.05 W	0.00	Option sale to close-call ²⁵
08/13/20	1.000	129.98	08/12/20	1,035.05	...	-905.07	Option sale to close-call ²⁵
	Security total:	819.93		3,530.08	1,805.08 W	-905.07	
SHOP 08/21/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
08/17/20	1.000	209.98	08/14/20	485.02	...	-275.04	Option sale to close-call ²⁵
08/17/20	1.000	264.98	08/13/20	500.00	235.02 W	0.00	Option sale to close-call ²⁵
	Security total:	474.96		985.02	235.02 W	-275.04	
SIX 03/20/2020 PUT \$12.50 / CUSIP: / Symbol:							
03/11/20	1.000	41.98	03/09/20	65.00	23.02 W	0.00	Option sale to close-put ²⁵
03/12/20	1.000	119.98	03/10/20	78.02	...	41.96	Option sale to close-put ²⁵
	Security total:	161.96		143.02	23.02 W	41.96	

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SIX 03/20/2020 PUT \$25.00 / CUSIP: / Symbol: 02/28/20	1.000	169.98	02/27/20	165.00	...	4.98	Option sale to close-put ²⁵
SIX 04/17/2020 PUT \$10.00 / CUSIP: / Symbol: 04/06/20	1.000	39.98	04/03/20	105.00	...	-65.02	Option sale to close-put ²⁵
SIX 04/17/2020 PUT \$12.50 / CUSIP: / Symbol: 03/30/20	1.000	175.98	03/25/20	150.00	...	25.98	Option sale to close-put ²⁵
SIX 04/17/2020 PUT \$2.50 / CUSIP: / Symbol: 03/20/20	1.000	29.98	03/19/20	58.00	...	-28.02	Option sale to close-put ²⁵
SIX 04/17/2020 PUT \$20.00 / CUSIP: / Symbol: 03/06/20	1.000	309.98	03/03/20	85.00	...	224.98	Option sale to close-put ²⁵
SNAP 05/15/2020 PUT \$15.00 / CUSIP: / Symbol: 04/27/20	1.000	33.98	04/24/20	70.00	...	-36.02	Option sale to close-put ²⁵
SPLK 03/13/2020 CALL \$172.50 / CUSIP: / Symbol: 02/24/20	1.000	395.98	02/18/20	886.00	...	-490.02	Option sale to close-call ²⁵
SPLK 03/13/2020 CALL \$177.50 / CUSIP: / Symbol: 02/25/20	1.000	380.97	02/24/20	0.00	...	380.97	Short sale closed- call ²⁵ Option written: 02/18/20
SPY 03/20/2020 CALL \$265.00 / CUSIP: / Symbol: 03/19/20	1.000	33.98	03/18/20	65.00	...	-31.02	Option sale to close-call ²⁵
SPY 03/20/2020 CALL \$269.00 / CUSIP: / Symbol: 03/19/20	1.000	18.98	03/18/20	39.00	...	-20.02	Option sale to close-call ²⁵
SPY 03/23/2020 PUT \$205.00 / CUSIP: / Symbol: 03/23/20	1.000	9.98	03/19/20	165.00	...	-155.02	Option sale to close-put ²⁵
SPY 03/27/2020 PUT \$205.00 / CUSIP: / Symbol: 03/23/20	1.000	469.97	03/19/20	340.00	...	129.97	Option sale to close-put ²⁵
SPY 03/31/2020 CALL \$235.00 / CUSIP: / Symbol: 03/24/20	1.000	910.96	03/23/20	420.00	...	490.96	Option sale to close-call ²⁵

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SPY 03/31/2020 CALL \$236.00 / CUSIP: / Symbol:							
03/24/20	1.000	1,086.96	03/24/20	873.00	...	213.96	Option sale to close-call ²⁵
SPY 03/31/2020 CALL \$238.00 / CUSIP: / Symbol:							
03/25/20	1.000	1,174.96	03/24/20	980.00	...	194.96	Option sale to close-call ²⁵
SPY 03/31/2020 CALL \$252.00 / CUSIP: / Symbol:							
03/26/20	1.000	1,029.96	03/25/20	900.00	...	129.96	Option sale to close-call ²⁵
SPY 03/31/2020 PUT \$241.00 / CUSIP: / Symbol:							
03/26/20	1.000	345.98	03/25/20	952.00	...	-606.02	Option sale to close-put ²⁵
SPY 04/03/2020 PUT \$208.00 / CUSIP: / Symbol:							
03/31/20	1.000	12.98	03/25/20	185.00	...	-172.02	Option sale to close-put ²⁵
SPY 04/06/2020 PUT \$229.00 / CUSIP: / Symbol:							
03/31/20	1.000	87.98	03/26/20	400.00	...	-312.02	Option sale to close-put ²⁵
SPY 04/08/2020 PUT \$246.00 / CUSIP: / Symbol:							
5 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	3.000	14.98	04/06/20	273.00	...	-258.02	1 of 5 - Option sale to close-put ²⁵
	2.000	9.98	04/06/20	182.00	...	-172.02	2 of 5 - Option sale to close-put ²⁵
	1.000	4.98	04/06/20	91.00	...	-86.02	3 of 5 - Option sale to close-put ²⁵
	1.000	4.98	04/06/20	91.00	...	-86.02	4 of 5 - Option sale to close-put ²⁵
	1.000	5.98	04/06/20	91.00	...	-85.02	5 of 5 - Option sale to close-put ²⁵
04/07/20	8.000	40.90	Various	728.00	...	-687.10	Total of 5 transactions
SPY 04/08/2020 PUT \$247.00 / CUSIP: / Symbol:							
5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	93.99	04/07/20	0.00	...	93.99	1 of 5 - Short sale closed- put ²⁵ Option written: 04/06/20
	1.000	94.00	04/07/20	0.00	...	94.00	2 of 5 - Short sale closed- put ²⁵ Option written: 04/06/20
	1.000	94.00	04/07/20	0.00	...	94.00	3 of 5 - Short sale closed- put ²⁵ Option written: 04/06/20
	2.000	187.99	04/07/20	0.00	...	187.99	4 of 5 - Short sale closed- put ²⁵ Option written: 04/06/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 04/08/2020 PUT \$247.00 / CUSIP: / Symbol: (cont'd)							
	3.000	281.98	04/07/20	0.00	...	281.98	5 of 5 - Short sale closed- put ²⁵ Option written: 04/06/20
04/08/20	8.000	751.96	Various	0.00	...	751.96	Total of 5 transactions
SPY 04/08/2020 PUT \$250.00 / CUSIP: / Symbol:							
04/07/20	1.000	7.98	04/06/20	99.00	...	-91.02	Option sale to close-put ²⁵
SPY 04/08/2020 PUT \$252.00 / CUSIP: / Symbol:							
04/08/20	1.000	114.98	04/07/20	0.00	...	114.98	Short sale closed- put ²⁵ Option written: 04/06/20
SPY 04/08/2020 PUT \$259.00 / CUSIP: / Symbol:							
04/08/20	4.000	7.98	04/07/20	172.00	...	-164.02	Option sale to close-put ²⁵
SPY 04/08/2020 PUT \$260.00 / CUSIP: / Symbol:							
04/09/20	4.000	187.98	04/08/20	0.00	...	187.98	Short sale closed- put ²⁵ Option written: 04/07/20
SPY 04/08/2020 PUT \$261.00 / CUSIP: / Symbol:							
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	2.000	7.99	04/07/20	348.01	...	-340.02	1 of 3 - Option sale to close-put ²⁵
	1.000	3.98	04/07/20	174.01	...	-170.03	2 of 3 - Option sale to close-put ²⁵
	1.000	3.98	04/07/20	174.01	...	-170.03	3 of 3 - Option sale to close-put ²⁵
04/08/20	4.000	15.95	Various	696.03	...	-680.08	Total of 3 transactions
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	3.000	11.98	04/07/20	267.00	255.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	3.99	04/07/20	89.00	85.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
04/08/20	4.000	15.97	Various	356.00	340.03 W	0.00	Total of 2 transactions
	Security total:	31.92		1,052.03	340.03 W	-680.08	

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Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/08/2020 PUT \$262.00 / CUSIP: / Symbol:							
5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	95.99	04/08/20	0.00	...	95.99	1 of 5 - Short sale closed- put ²⁵ Option written: 04/07/20
	1.000	95.99	04/08/20	0.00	...	95.99	2 of 5 - Short sale closed- put ²⁵ Option written: 04/07/20
	1.000	96.00	04/08/20	0.00	...	96.00	3 of 5 - Short sale closed- put ²⁵ Option written: 04/07/20
	2.000	191.99	04/08/20	0.00	...	191.99	4 of 5 - Short sale closed- put ²⁵ Option written: 04/07/20
	3.000	287.99	04/08/20	0.00	...	287.99	5 of 5 - Short sale closed- put ²⁵ Option written: 04/07/20
04/09/20	8.000	767.96	Various	0.00	...	767.96	Total of 5 transactions
SPY 04/09/2020 PUT \$260.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/08/20	114.00	...	-114.00	1 of 2 - Option expiration- long put
	1.000	0.00	04/08/20	57.00	...	-57.00	2 of 2 - Option expiration- long put
04/09/20	3.000	0.00	Various	171.00	...	-171.00	Total of 2 transactions
SPY 04/09/2020 PUT \$261.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	66.98	04/09/20	0.00	...	66.98	1 of 2 - Short sale closed- put expired Option written: 04/08/20
	2.000	133.98	04/09/20	0.00	...	133.98	2 of 2 - Short sale closed- put expired Option written: 04/08/20
04/09/20	3.000	200.96	Various	0.00	...	200.96	Total of 2 transactions
SPY 04/09/2020 PUT \$262.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	7.000	6.97	04/08/20	644.00	...	-637.03	1 of 2 - Option sale to close-put ²⁵
	1.000	1.00	04/08/20	89.00	...	-88.00	2 of 2 - Option sale to close-put ²⁵
04/09/20	8.000	7.97	Various	733.00	...	-725.03	Total of 2 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/09/2020 PUT \$263.00 / CUSIP: / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	101.98	04/09/20	0.00	...	101.98	1 of 2 - Short sale closed- put ²⁵ Option written: 04/08/20
	7.000	734.97	04/09/20	0.00	...	734.97	2 of 2 - Short sale closed- put ²⁵ Option written: 04/08/20
04/13/20	8.000	836.95	Various	0.00	...	836.95	Total of 2 transactions
SPY 04/13/2020 PUT \$225.00 / CUSIP: / Symbol:							
04/03/20	1.000	146.98	04/01/20	365.00	...	-218.02	Option sale to close-put ²⁵
SPY 04/15/2020 PUT \$262.00 / CUSIP: / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	3.000	14.98	04/13/20	219.00	...	-204.02	1 of 2 - Option sale to close-put ²⁵
	2.000	9.98	04/13/20	146.00	...	-136.02	2 of 2 - Option sale to close-put ²⁵
04/14/20	5.000	24.96	Various	365.00	...	-340.04	Total of 2 transactions
SPY 04/15/2020 PUT \$264.00 / CUSIP: / Symbol:							
4 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	1.000	88.99	04/14/20	0.00	...	88.99	1 of 4 - Short sale closed- put ²⁵ Option written: 04/13/20
	1.000	88.99	04/14/20	0.00	...	88.99	2 of 4 - Short sale closed- put ²⁵ Option written: 04/13/20
	1.000	89.00	04/14/20	0.00	...	89.00	3 of 4 - Short sale closed- put ²⁵ Option written: 04/13/20
	2.000	177.99	04/14/20	0.00	...	177.99	4 of 4 - Short sale closed- put ²⁵ Option written: 04/13/20
04/15/20	5.000	444.97	Various	0.00	...	444.97	Total of 4 transactions
SPY 04/15/2020 PUT \$275.00 / CUSIP: / Symbol:							
04/15/20	10.000	1,359.94	04/14/20	840.00	...	519.94	Option sale to close-put ²⁵
SPY 04/15/2020 PUT \$276.00 / CUSIP: / Symbol:							
04/16/20	10.000	-780.05	04/15/20	0.00	...	-780.05	Short sale closed- put ²⁵ Option written: 04/14/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/17/2020 CALL \$273.00 / CUSIP: / Symbol:							
04/01/20	1.000	124.98	03/31/20	474.00	...	-349.02	Option sale to close-call ²⁵
SPY 04/17/2020 PUT \$233.00 / CUSIP: / Symbol:							
04/01/20	1.000	651.97	03/31/20	485.00	...	166.97	Option sale to close-put ²⁵
SPY 04/17/2020 PUT \$264.00 / CUSIP: / Symbol:							
04/16/20	10.000	169.97	04/15/20	890.00	...	-720.03	Option sale to close-put ²⁵
SPY 04/17/2020 PUT \$265.00 / CUSIP: / Symbol:							
04/17/20	10.000	779.95	04/16/20	0.00	...	779.95	Short sale closed- put ²⁵ Option written: 04/15/20
SPY 04/17/2020 PUT \$270.00 / CUSIP: / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	04/16/20	87.00	...	-86.01	1 of 2 - Option sale to close-put ²⁵
	1.000	0.99	04/16/20	86.00	...	-85.01	2 of 2 - Option sale to close-put ²⁵
04/17/20	2.000	1.98	Various	173.00	...	-171.02	Total of 2 transactions
SPY 04/17/2020 PUT \$271.00 / CUSIP: / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	102.98	04/17/20	0.00	...	102.98	1 of 2 - Short sale closed- put ²⁵ Option written: 04/16/20
	1.000	103.98	04/17/20	0.00	...	103.98	2 of 2 - Short sale closed- put ²⁵ Option written: 04/16/20
04/20/20	2.000	206.96	Various	0.00	...	206.96	Total of 2 transactions
SPY 04/17/2020 PUT \$272.00 / CUSIP: / Symbol:							
04/17/20	10.000	49.97	04/16/20	880.00	...	-830.03	Option sale to close-put ²⁵
SPY 04/17/2020 PUT \$273.00 / CUSIP: / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	4.000	395.98	04/17/20	0.00	...	395.98	1 of 2 - Short sale closed- put ²⁵ Option written: 04/16/20
	6.000	593.97	04/17/20	0.00	...	593.97	2 of 2 - Short sale closed- put ²⁵ Option written: 04/16/20
04/20/20	10.000	989.95	Various	0.00	...	989.95	Total of 2 transactions

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SPY 04/20/2020 PUT \$195.00 / CUSIP: / Symbol:							
04/06/20	1.000	26.98	04/02/20	75.00	...	-48.02	Option sale to close-put ²⁵
SPY 04/20/2020 PUT \$272.00 / CUSIP: / Symbol:							
04/20/20	5.000	0.00	04/17/20	185.00	...	-185.00	Option expiration- long put
SPY 04/20/2020 PUT \$273.00 / CUSIP: / Symbol:							
04/20/20	5.000	229.98	04/20/20	0.00	...	229.98	Short sale closed- put expired Option written: 04/17/20
SPY 04/20/2020 PUT \$274.00 / CUSIP: / Symbol:							
04/20/20	10.000	0.00	04/17/20	940.00	...	-940.00	Option expiration- long put
SPY 04/20/2020 PUT \$275.00 / CUSIP: / Symbol:							
04/20/20	10.000	1,099.95	04/20/20	0.00	...	1,099.95	Short sale closed- put expired Option written: 04/17/20
SPY 04/22/2020 CALL \$275.00 / CUSIP: / Symbol:							
04/22/20	1.000	379.98	04/21/20	275.00	...	104.98	Option sale to close-call ²⁵
SPY 04/22/2020 PUT \$273.00 / CUSIP: / Symbol:							
4 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	234.99	04/20/20	89.00	...	145.99	1 of 4 - Option sale to close-put ²⁵
	5.000	1,174.96	04/20/20	450.00	...	724.96	2 of 4 - Option sale to close-put ²⁵
	5.000	1,174.96	04/20/20	450.00	...	724.96	3 of 4 - Option sale to close-put ²⁵
	5.000	1,174.96	04/20/20	450.00	...	724.96	4 of 4 - Option sale to close-put ²⁵
04/21/20	16.000	3,759.87	Various	1,439.00	...	2,320.87	Total of 4 transactions
SPY 04/22/2020 PUT \$274.00 / CUSIP: / Symbol:							
4 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	5.000	-855.03	04/21/20	0.00	...	-855.03	1 of 4 - Short sale closed- put ²⁵ Option written: 04/20/20
	5.000	-855.02	04/21/20	0.00	...	-855.02	2 of 4 - Short sale closed- put ²⁵ Option written: 04/20/20
	4.000	-684.02	04/21/20	0.00	...	-684.02	3 of 4 - Short sale closed- put ²⁵ Option written: 04/20/20

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SPY 04/22/2020 PUT \$274.00 / CUSIP: / Symbol: (cont'd)							
	1.000	-342.02	04/21/20	0.00	...	-342.02	4 of 4 - Short sale closed- put ²⁵ Option written: 04/20/20
04/22/20	15.000	-2,736.09	Various	0.00	...	-2,736.09	Total of 4 transactions
04/22/20	1.000	-171.02	04/21/20	0.00	171.02 W	0.00	Short sale closed- put ²⁵ Option written: 04/20/20
Security total:		-2,907.11		0.00	171.02 W	-2,736.09	
SPY 04/24/2020 PUT \$275.00 / CUSIP: / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	4.000	459.97	04/23/20	344.00	...	115.97	1 of 2 - Option sale to close-put ²⁵
	4.000	459.97	04/23/20	344.00	...	115.97	2 of 2 - Option sale to close-put ²⁵
04/23/20	8.000	919.94	Various	688.00	...	231.94	Total of 2 transactions
SPY 04/24/2020 PUT \$276.00 / CUSIP: / Symbol:							
04/23/20	8.000	863.96	04/23/20	656.00	...	207.96	Option sale to close-put ²⁵
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	11.000	153.97	04/23/20	902.00	...	-748.03	1 of 2 - Option sale to close-put ²⁵
	1.000	14.98	04/23/20	82.00	...	-67.02	2 of 2 - Option sale to close-put ²⁵
04/24/20	12.000	168.95	Various	984.00	...	-815.05	Total of 2 transactions
Security total:		1,032.91		1,640.00	...	-607.09	
SPY 04/24/2020 PUT \$277.00 / CUSIP: / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	4.000	-272.02	04/23/20	0.00	...	-272.02	1 of 2 - Short sale closed- put ²⁵ Option written: 04/23/20
	4.000	-272.02	04/23/20	0.00	...	-272.02	2 of 2 - Short sale closed- put ²⁵ Option written: 04/23/20
04/24/20	8.000	-544.04	Various	0.00	...	-544.04	Total of 2 transactions
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	78.00	04/24/20	0.00	...	78.00	1 of 2 - Short sale closed- put ²⁵ Option written: 04/23/20
	11.000	868.95	04/24/20	0.00	...	868.95	2 of 2 - Short sale closed- put ²⁵ Option written: 04/23/20
04/27/20	12.000	946.95	Various	0.00	...	946.95	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/24/2020 PUT \$277.00 / CUSIP: / Symbol: (cont'd)							
	Security total:	402.91		0.00	...	402.91	
SPY 04/27/2020 PUT \$271.00 / CUSIP: / Symbol:							
5 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	3.000	116.99	04/23/20	348.01	...	-231.02	1 of 5 - Option sale to close-put ²⁵
	2.000	77.99	04/23/20	298.02	...	-220.03	2 of 5 - Option sale to close-put ²⁵
	2.000	77.99	04/23/20	232.01	...	-154.02	3 of 5 - Option sale to close-put ²⁵
	1.000	39.00	04/23/20	159.02	...	-120.02	4 of 5 - Option sale to close-put ²⁵
	1.000	39.00	04/23/20	83.00	...	-44.00	5 of 5 - Option sale to close-put ²⁵
04/24/20	9.000	350.97	Various	1,120.06	...	-769.09	Total of 5 transactions
4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	04/23/20	116.00	76.02 W	0.00	1 of 4 - Option sale to close-put ²⁵
	6.000	299.98	04/23/20	498.00	198.02 W	0.00	2 of 4 - Option sale to close-put ²⁵
	2.000	99.99	04/23/20	166.00	66.01 W	0.00	3 of 4 - Option sale to close-put ²⁵
	2.000	99.99	04/23/20	232.01	132.02 W	0.00	4 of 4 - Option sale to close-put ²⁵
04/24/20	11.000	539.94	Various	1,012.01	472.07 W	0.00	Total of 4 transactions
	Security total:	890.91		2,132.07	472.07 W	-769.09	
SPY 04/27/2020 PUT \$272.00 / CUSIP: / Symbol:							
5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	45.00	04/24/20	0.00	...	45.00	1 of 5 - Short sale closed- put ²⁵
	2.000	65.99	04/24/20	0.00	...	65.99	Option written: 04/23/20 2 of 5 - Short sale closed- put ²⁵
	3.000	137.98	04/24/20	0.00	...	137.98	Option written: 04/23/20 3 of 5 - Short sale closed- put ²⁵
	8.000	263.96	04/24/20	0.00	...	263.96	Option written: 04/23/20 4 of 5 - Short sale closed- put ²⁵
	6.000	275.97	04/24/20	0.00	...	275.97	Option written: 04/23/20 5 of 5 - Short sale closed- put ²⁵
04/27/20	20.000	788.90	Various	0.00	...	788.90	Option written: 04/23/20 Total of 5 transactions

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/29/2020 PUT \$281.00 / CUSIP: / Symbol:							
4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	3.000	11.98	04/28/20	156.00	...	-144.02	1 of 4 - Option sale to close-put ²⁵
	1.000	2.98	04/28/20	52.00	...	-49.02	2 of 4 - Option sale to close-put ²⁵
	1.000	2.98	04/28/20	52.00	...	-49.02	3 of 4 - Option sale to close-put ²⁵
	1.000	3.98	04/28/20	52.00	...	-48.02	4 of 4 - Option sale to close-put ²⁵
04/29/20	6.000	21.92	Various	312.00	...	-290.08	Total of 4 transactions
SPY 04/29/2020 PUT \$282.00 / CUSIP: / Symbol:							
6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	62.99	04/29/20	0.00	...	62.99	1 of 6 - Short sale closed- put ²⁵ Option written: 04/28/20
	1.000	62.99	04/29/20	0.00	...	62.99	2 of 6 - Short sale closed- put ²⁵ Option written: 04/28/20
	1.000	63.00	04/29/20	0.00	...	63.00	3 of 6 - Short sale closed- put ²⁵ Option written: 04/28/20
	1.000	63.00	04/29/20	0.00	...	63.00	4 of 6 - Short sale closed- put ²⁵ Option written: 04/28/20
	1.000	64.00	04/29/20	0.00	...	64.00	5 of 6 - Short sale closed- put ²⁵ Option written: 04/28/20
	1.000	64.00	04/29/20	0.00	...	64.00	6 of 6 - Short sale closed- put ²⁵ Option written: 04/28/20
04/30/20	6.000	379.98	Various	0.00	...	379.98	Total of 6 transactions
SPY 04/29/2020 PUT \$284.00 / CUSIP: / Symbol:							
04/29/20	20.000	0.00	04/28/20	1,760.00	...	-1,760.00	Option expiration- long put
SPY 04/29/2020 PUT \$285.00 / CUSIP: / Symbol:							
04/29/20	20.000	2,279.90	04/29/20	0.00	...	2,279.90	Short sale closed- put expired Option written: 04/28/20
SPY 05/06/2020 CALL \$286.00 / CUSIP: / Symbol:							
05/06/20	1.000	-227.02	05/05/20	0.00	...	-227.02	Short sale closed- call ²⁵ Option written: 05/04/20
SPY 05/06/2020 CALL \$287.00 / CUSIP: / Symbol:							
05/05/20	1.000	274.98	05/04/20	89.00	...	185.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 05/06/2020 PUT \$279.00 / CUSIP: / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	290.98	05/05/20	0.00	...	290.98	1 of 2 - Short sale closed- put ²⁵ Option written: 05/04/20
	1.000	291.99	05/05/20	0.00	...	291.99	2 of 2 - Short sale closed- put ²⁵ Option written: 05/04/20
05/06/20	2.000	582.97	Various	0.00	...	582.97	Total of 2 transactions
SPY 05/06/2020 PUT \$280.00 / CUSIP: / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	23.98	05/04/20	356.00	...	-332.02	1 of 2 - Option sale to close-put ²⁵ Option written: 05/04/20
	1.000	25.98	05/04/20	356.00	...	-330.02	2 of 2 - Option sale to close-put ²⁵
05/05/20	2.000	49.96	Various	712.00	...	-662.04	Total of 2 transactions
SPY 05/18/2020 CALL \$285.00 / CUSIP: / Symbol:							
05/15/20	1.000	257.98	05/14/20	228.00	...	29.98	Option sale to close-call ²⁵
SPY 05/29/2020 CALL \$298.00 / CUSIP: / Symbol:							
05/28/20	1.000	739.97	05/27/20	254.00	...	485.97	Option sale to close-call ²⁵
SPY 06/05/2020 PUT \$307.00 / CUSIP: / Symbol:							
06/03/20	1.000	99.98	06/02/20	215.00	...	-115.02	Option sale to close-put ²⁵
SPY 06/22/2020 PUT \$301.00 / CUSIP: / Symbol:							
06/22/20	1.000	3.98	06/19/20	54.00	...	-50.02	Option sale to close-put ²⁵
SPY 06/22/2020 PUT \$302.00 / CUSIP: / Symbol:							
06/23/20	1.000	58.98	06/22/20	0.00	...	58.98	Short sale closed- put ²⁵ Option written: 06/19/20
SPY 06/24/2020 CALL \$317.00 / CUSIP: / Symbol:							
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	45.00	06/22/20	43.00	...	2.00	1 of 3 - Option sale to close-call ²⁵
	3.000	134.98	06/22/20	129.00	...	5.98	2 of 3 - Option sale to close-call ²⁵
	6.000	269.98	06/22/20	258.00	...	11.98	3 of 3 - Option sale to close-call ²⁵
06/22/20	10.000	449.96	Various	430.00	...	19.96	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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SPY 06/24/2020 CALL \$318.00 / CUSIP: / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	6.000	-12.02	06/22/20	0.00	...	-12.02	1 of 3 - Short sale closed- call ²⁵ Option written: 06/22/20
	1.000	-4.02	06/22/20	0.00	...	-4.02	2 of 3 - Short sale closed- call ²⁵ Option written: 06/22/20
	2.000	-4.01	06/22/20	0.00	...	-4.01	3 of 3 - Short sale closed- call ²⁵ Option written: 06/22/20
06/23/20	9.000	-20.05	Various	0.00	...	-20.05	Total of 3 transactions
06/23/20	1.000	-2.02	06/22/20	0.00	2.02 W	0.00	Short sale closed- call ²⁵ Option written: 06/22/20
	Security total:	-22.07		0.00	2.02 W	-20.05	
SPY 08/05/2020 PUT \$329.00 / CUSIP: / Symbol:							
08/05/20	1.000	14.98	08/04/20	137.02	...	-122.04	Option sale to close-put ²⁵
08/05/20	1.000	22.98	08/04/20	80.00	57.02 W	0.00	Option sale to close-put ²⁵
	Security total:	37.96		217.02	57.02 W	-122.04	
SRNE 08/21/2020 CALL \$17.00 / CUSIP: / Symbol:							
08/11/20	1.000	259.98	08/10/20	219.00	...	40.98	Option sale to close-call ²⁵
SRNE 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/11/20	1.000	71.98	08/10/20	65.00	...	6.98	Option sale to close-call ²⁵
SRNE 08/21/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	08/10/20	100.00	...	-25.02	1 of 2 - Option sale to close-call ²⁵
	1.000	74.98	08/10/20	100.00	...	-25.02	2 of 2 - Option sale to close-call ²⁵
08/11/20	2.000	149.96	Various	200.00	...	-50.04	Total of 2 transactions
TCOM 03/20/2020 PUT \$23.00 / CUSIP: / Symbol:							
03/20/20	1.000	4.98	03/19/20	55.00	...	-50.02	Option sale to close-put ²⁵
TCOM 04/17/2020 PUT \$20.00 / CUSIP: / Symbol:							
03/24/20	1.000	46.98	03/18/20	180.00	...	-133.02	Option sale to close-put ²⁵

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TCOM 04/17/2020 PUT \$23.00 / CUSIP: / Symbol:							
03/24/20	1.000	109.98	03/19/20	200.00	...	-90.02	Option sale to close-put ²⁵
TDOC 07/02/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/26/20	1.000	369.98	06/22/20	940.00	...	-570.02	Option sale to close-call ²⁵
TDOC 07/02/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/06/20	10.000	-1,910.04	07/02/20	0.00	...	-1,910.04	Short sale closed- call ²⁵ Option written: 07/01/20
TDOC 07/02/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/02/20	10.000	709.96	07/01/20	380.00	...	329.96	Option sale to close-call ²⁵
TDOC 07/17/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/29/20	1.000	409.98	06/23/20	950.00	...	-540.02	Option sale to close-call ²⁵
TDOC 07/17/2020 CALL \$230.00 / CUSIP: / Symbol:							
07/16/20	1.000	-50.02	07/15/20	0.00	...	-50.02	Short sale closed- call ²⁵ Option written: 07/15/20
TDOC 07/17/2020 CALL \$232.50 / CUSIP: / Symbol:							
07/17/20	1.000	199.98	07/17/20	0.00	...	199.98	Short sale closed- call expired Option written: 07/15/20
TDOC 07/24/2020 CALL \$222.50 / CUSIP: / Symbol:							
07/23/20	1.000	629.97	07/22/20	390.00	...	239.97	Option sale to close-call ²⁵
07/24/20	1.000	0.00	07/23/20	350.00	...	-350.00	Option expiration- long call
	Security total:	629.97		740.00	...	-110.03	
TDOC 07/24/2020 CALL \$230.00 / CUSIP: / Symbol:							
07/22/20	1.000	-30.02	07/21/20	0.00	...	-30.02	Short sale closed- call ²⁵ Option written: 07/20/20
TDOC 07/24/2020 CALL \$232.50 / CUSIP: / Symbol:							
07/24/20	1.000	164.98	07/23/20	0.00	...	164.98	Short sale closed- call ²⁵ Option written: 07/22/20

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TDOC 07/31/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/30/20	1.000	1,304.96	07/29/20	812.00	...	492.96	Option sale to close-call ²⁵
TDOC 07/31/2020 CALL \$225.00 / CUSIP: / Symbol:							
07/24/20	1.000	437.98	07/14/20	900.00	...	-462.02	Option sale to close-call ²⁵
07/31/20	1.000	-113.02	07/30/20	0.00	...	-113.02	Short sale closed- call ²⁵ Option written: 07/29/20
Security total:		324.96		900.00	...	-575.04	
TDOC 08/14/2020 CALL \$200.00 / CUSIP: / Symbol:							
08/10/20	1.000	299.98	08/07/20	590.00	...	-290.02	Option sale to close-call ²⁵
TQQQ 03/06/2020 PUT \$58.00 / CUSIP: / Symbol:							
02/28/20	1.000	289.98	02/27/20	104.00	...	185.98	Option sale to close-put ²⁵
TQQQ 04/03/2020 CALL \$43.00 / CUSIP: / Symbol:							
03/25/20	1.000	509.97	03/24/20	500.00	...	9.97	Option sale to close-call ²⁵
TQQQ 04/24/2020 PUT \$33.00 / CUSIP: / Symbol:							
04/06/20	1.000	63.98	04/01/20	320.00	...	-256.02	Option sale to close-put ²⁵
TRIP 03/27/2020 PUT \$13.00 / CUSIP: / Symbol:							
03/23/20	1.000	19.98	03/19/20	130.00	...	-110.02	Option sale to close-put ²⁵
TSLA 03/20/2020 PUT \$290.00 / CUSIP: / Symbol:							
03/20/20	1.000	1.98	03/18/20	535.00	...	-533.02	Option sale to close-put ²⁵
TSLA 03/20/2020 PUT \$325.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/18/20	1,030.00	...	-1,030.00	Option expiration- long put
TSLA 04/03/2020 PUT \$200.00 / CUSIP: / Symbol:							
03/24/20	1.000	71.98	03/19/20	690.00	...	-618.02	Option sale to close-put ²⁵
TSLA 04/09/2020 PUT \$100.00 / CUSIP: / Symbol:							
03/20/20	1.000	189.98	03/19/20	260.00	...	-70.02	Option sale to close-put ²⁵
TSLA 05/08/2020 CALL \$730.00 / CUSIP: / Symbol:							
05/04/20	1.000	2,892.92	05/01/20	2,958.00	...	-65.08	Option sale to close-call ²⁵

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TSLA 05/08/2020 CALL \$735.00 / CUSIP: / Symbol:							
05/05/20	1.000	99.92	05/04/20	0.00	...	99.92	Short sale closed- call ²⁵ Option written: 05/01/20
TSLA 05/15/2020 PUT \$895.00 / CUSIP: / Symbol:							
05/08/20	1.000	8,469.80	05/08/20	8,601.00	...	-131.20	Option sale to close-put ²⁵
TSLA 05/15/2020 PUT \$900.00 / CUSIP: / Symbol:							
05/11/20	1.000	120.79	05/08/20	0.00	...	120.79	Short sale closed- put ²⁵ Option written: 05/08/20
TSLA 06/05/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
06/02/20	1.000	469.97	06/01/20	298.00	...	171.97	Option sale to close-call ²⁵
TSLA 06/05/2020 PUT \$800.00 / CUSIP: / Symbol:							
06/02/20	1.000	155.98	06/01/20	270.00	...	-114.02	Option sale to close-put ²⁵
TSLA 07/24/2020 CALL \$1,700.00 / CUSIP: / Symbol:							
07/20/20	1.000	6,238.85	07/15/20	9,066.00	...	-2,827.15	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$1,710.00 / CUSIP: / Symbol:							
07/21/20	1.000	2,807.79	07/20/20	0.00	...	2,807.79	Short sale closed- call ²⁵ Option written: 07/15/20
TSLA 08/14/2020 CALL \$1,535.00 / CUSIP: / Symbol:							
08/10/20	1.000	794.97	08/07/20	1,500.00	...	-705.03	Option sale to close-call ²⁵
TSLA 08/14/2020 CALL \$1,800.00 / CUSIP: / Symbol:							
08/13/20	1.000	514.97	08/12/20	85.00	...	429.97	Option sale to close-call ²⁵
TSLA 08/14/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/13/20	100.00	...	-100.00	Option expiration- long call
TSLA 08/14/2020 CALL \$2,050.00 / CUSIP: / Symbol:							
08/14/20	1.000	109.98	08/14/20	0.00	...	109.98	Short sale closed- call expired Option written: 08/13/20
UAL 03/20/2020 PUT \$30.00 / CUSIP: / Symbol:							
03/12/20	1.000	143.98	03/09/20	91.00	...	52.98	Option sale to close-put ²⁵

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UAL 04/03/2020 PUT \$25.00 / CUSIP: / Symbol:							
03/18/20	1.000	519.97	03/13/20	273.00	...	246.97	Option sale to close-put ²⁵
UAL 04/17/2020 CALL \$38.00 / CUSIP: / Symbol:							
04/13/20	1.000	24.98	04/09/20	116.00	...	-91.02	Option sale to close-call ²⁵
UBER 03/27/2020 PUT \$11.00 / CUSIP: / Symbol:							
03/24/20	1.000	1.98	03/23/20	60.02	...	-58.04	Option sale to close-put ²⁵
03/24/20	1.000	1.98	03/19/20	55.00	53.02 W	0.00	Option sale to close-put ²⁵
	Security total:	3.96		115.02	53.02 W	-58.04	
UCO 06/12/2020 CALL \$23.00 / CUSIP: / Symbol:							
05/26/20	1.000	299.98	05/21/20	315.00	...	-15.02	Option sale to close-call ²⁵
UCO 07/02/2020 CALL \$33.00 / CUSIP: / Symbol:							
06/18/20	1.000	77.98	06/08/20	190.00	...	-112.02	Option sale to close-call ²⁵
USO 04/24/2020 CALL \$6.00 / CUSIP: / Symbol:							
04/06/20	1.000	45.98	04/03/20	77.00	...	-31.02	Option sale to close-call ²⁵
USO 05/01/2020 CALL \$4.00 / CUSIP: / Symbol:							
04/21/20	1.000	19.98	04/20/20	46.00	...	-26.02	Option sale to close-call ²⁵
USO 06/05/2020 CALL \$24.00 / CUSIP: / Symbol:							
05/20/20	1.000	205.98	05/18/20	138.00	...	67.98	Option sale to close-call ²⁵
USO 06/12/2020 CALL \$27.00 / CUSIP: / Symbol:							
	<i>3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	122.98	05/21/20	163.00	...	-40.02	1 of 3 - Option sale to close-call ²⁵
	1.000	133.98	05/21/20	163.00	...	-29.02	2 of 3 - Option sale to close-call ²⁵
	1.000	133.98	05/21/20	163.00	...	-29.02	3 of 3 - Option sale to close-call ²⁵
05/26/20	3.000	390.94	Various	489.00	...	-98.06	Total of 3 transactions
UVXY 06/26/2020 CALL \$40.00 / CUSIP: / Symbol:							
06/24/20	1.000	126.98	06/23/20	83.00	...	43.98	Option sale to close-call ²⁵
V 05/01/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/01/20	1.000	18.98	04/30/20	216.00	...	-197.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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V 05/01/2020 CALL \$182.50 / CUSIP: / Symbol:							
05/04/20	1.000	125.98	05/01/20	0.00	...	125.98	Short sale closed- call ²⁵ Option written: 04/30/20
V 05/08/2020 CALL \$177.50 / CUSIP: / Symbol:							
05/05/20	1.000	418.98	05/01/20	339.00	...	79.98	Option sale to close-call ²⁵
V 05/08/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/06/20	1.000	-34.02	05/05/20	0.00	...	-34.02	Short sale closed- call ²⁵ Option written: 05/01/20
VKTX 02/21/2020 CALL \$7.00 / CUSIP: / Symbol:							
02/18/20	1.000	4.98	02/11/20	16.00	...	-11.02	Option sale to close-call ²⁵
VNQ 03/20/2020 CALL \$100.00 / CUSIP: / Symbol:							
02/25/20	1.000	40.98	02/24/20	0.00	...	40.98	Short sale closed- call ²⁵ Option written: 02/18/20
VNQ 03/20/2020 CALL \$99.00 / CUSIP: / Symbol:							
02/24/20	1.000	113.98	02/18/20	170.00	...	-56.02	Option sale to close-call ²⁵
VNQ 03/20/2020 PUT \$95.00 / CUSIP: / Symbol:							
02/25/20	1.000	49.98	02/24/20	75.00	...	-25.02	Option sale to close-put ²⁵
VSLR 03/20/2020 CALL \$11.00 / CUSIP: / Symbol:							
02/13/20	1.000	94.98	02/11/20	55.00	...	39.98	Option sale to close-call ²⁵
W 05/15/2020 PUT \$150.00 / CUSIP: / Symbol:							
05/06/20	1.000	289.98	05/05/20	710.00	...	-420.02	Option sale to close-put ²⁵
W 05/22/2020 PUT \$150.00 / CUSIP: / Symbol:							
05/07/20	1.000	449.98	05/05/20	690.00	...	-240.02	Option sale to close-put ²⁵
WFC 07/24/2020 CALL \$33.00 / CUSIP: / Symbol:							
06/17/20	1.000	59.98	06/09/20	230.00	...	-170.02	Option sale to close-call ²⁵
WFC 07/31/2020 CALL \$25.50 / CUSIP: / Symbol:							
06/29/20	1.000	169.98	06/26/20	192.00	...	-22.02	Option sale to close-call ²⁵

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WFC 08/07/2020 CALL \$24.00 / CUSIP: / Symbol:							
07/15/20	1.000	204.98	07/14/20	130.00	...	74.98	Option sale to close-call ²⁵
	<i>2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	73.98	07/30/20	103.00	...	-29.02	1 of 2 - Option sale to close-call ²⁵
	1.000	73.98	07/30/20	103.00	...	-29.02	2 of 2 - Option sale to close-call ²⁵
07/31/20	2.000	147.96	Various	206.00	...	-58.04	Total of 2 transactions
	Security total:	352.94		336.00	...	16.94	
WFC 08/07/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/21/20	1.000	111.98	07/20/20	76.00	...	35.98	Option sale to close-call ²⁵
WFC 08/21/2020 CALL \$24.00 / CUSIP: / Symbol:							
07/21/20	1.000	255.98	07/20/20	155.00	...	100.98	Option sale to close-call ²⁵
WFC 08/28/2020 CALL \$24.50 / CUSIP: / Symbol:							
08/04/20	1.000	74.98	07/31/20	95.00	...	-20.02	Option sale to close-call ²⁵
WFC 09/04/2020 CALL \$24.00 / CUSIP: / Symbol:							
08/07/20	1.000	117.98	08/04/20	115.00	...	2.98	Option sale to close-call ²⁵
WFC 09/04/2020 CALL \$24.50 / CUSIP: / Symbol:							
08/06/20	1.000	92.98	08/03/20	107.00	...	-14.02	Option sale to close-call ²⁵
WMT 05/22/2020 CALL \$126.00 / CUSIP: / Symbol:							
05/18/20	1.000	370.98	05/15/20	271.00	...	99.98	Option sale to close-call ²⁵
WMT 05/22/2020 CALL \$127.00 / CUSIP: / Symbol:							
05/15/20	1.000	238.98	05/14/20	189.00	...	49.98	Option sale to close-call ²⁵
WMT 07/10/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/09/20	2.000	31.98	07/07/20	118.00	...	-86.02	Option sale to close-call ²⁵
WMT 07/17/2020 CALL \$128.00 / CUSIP: / Symbol:							
07/09/20	1.000	174.98	07/07/20	262.00	87.02 W	0.00	Option sale to close-call ²⁵
07/10/20	1.000	404.98	07/07/20	329.02	...	75.96	Option sale to close-call ²⁵
	Security total:	579.96		591.02	87.02 W	75.96	

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WMT 07/17/2020 CALL \$137.00 / CUSIP: / Symbol: 07/20/20	1.000	40.98	07/17/20	0.00	...	40.98	Short sale closed- call ²⁵ Option written: 07/14/20
WMT 08/07/2020 CALL \$131.00 / CUSIP: / Symbol: 07/21/20	1.000	434.98	07/14/20	415.00	...	19.98	Option sale to close-call ²⁵
WMT 08/14/2020 CALL \$126.00 / CUSIP: / Symbol: 07/13/20	1.000	614.97	07/08/20	420.00	...	194.97	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$129.00 / CUSIP: / Symbol: 08/03/20	1.000	289.98	07/31/20	355.00	...	-65.02	Option sale to close-call ²⁵
WORK 09/18/2020 CALL \$38.00 / CUSIP: / Symbol: 09/18/20	1.000	0.00	09/01/20	233.00	...	-233.00	Option expiration- long call
WYNN 03/13/2020 PUT \$93.00 / CUSIP: / Symbol: 03/05/20	1.000	195.98	03/04/20	90.00	...	105.98	Option sale to close-put ²⁵
WYNN 03/27/2020 PUT \$55.00 / CUSIP: / Symbol: 03/11/20	1.000	186.98	03/10/20	135.00	...	51.98	Option sale to close-put ²⁵
WYNN 04/09/2020 PUT \$45.00 / CUSIP: / Symbol: 03/18/20	1.000	819.97	03/13/20	505.00	...	314.97	Option sale to close-put ²⁵
WYNN 04/17/2020 PUT \$75.00 / CUSIP: / Symbol: 03/06/20	1.000	354.98	03/03/20	169.00	...	185.98	Option sale to close-put ²⁵
XLE 03/31/2020 CALL \$27.00 / CUSIP: / Symbol: 03/25/20	1.000	148.98	03/24/20	99.00	...	49.98	Option sale to close-call ²⁵
YETI 03/27/2020 PUT \$16.00 / CUSIP: / Symbol: 03/23/20	1.000	124.98	03/18/20	130.00	...	-5.02	Option sale to close-put ²⁵
ZIOP 02/21/2020 CALL \$3.50 / CUSIP: / Symbol: 02/21/20	1.000	0.00	02/11/20	3.00	...	-3.00	Option expiration- long call
ZM 05/08/2020 PUT \$100.00 / CUSIP: / Symbol: 04/27/20	1.000	79.98	04/24/20	90.00	...	-10.02	Option sale to close-put ²⁵

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(continued)

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ZM 05/15/2020 PUT \$100.00 / CUSIP: / Symbol:							
04/27/20	1.000	69.98	04/24/20	135.00	...	-65.02	Option sale to close-put ²⁵
ZM 05/15/2020 PUT \$148.00 / CUSIP: / Symbol:							
04/27/20	1.000	852.97	04/24/20	1,000.00	...	-147.03	Option sale to close-put ²⁵
ZM 06/19/2020 PUT \$100.00 / CUSIP: / Symbol:							
04/28/20	1.000	319.98	04/27/20	420.00	...	-100.02	Option sale to close-put ²⁵
ADT INC. / CUSIP: 00090Q103 / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	20.000	259.50	08/03/20	276.80	...	-17.30	1 of 2 - Sale ²⁵
	15.000	195.45	08/03/20	207.60	...	-12.15	2 of 2 - Sale ²⁵
08/04/20	35.000	454.95	Various	484.40	...	-29.45	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
05/14/20	10.000	88.20	05/12/20	97.85	...	-9.65	Sale ²⁵
AMERICAN EXPRESS COMPANY / CUSIP: 025816109 / Symbol:							
04/27/20	1.000	83.25	04/24/20	82.04	...	1.21	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	0.000	0.02	12/23/20	0.02	...	0.00	1 of 3 - Sale ²⁵
	0.515	68.29	12/23/20	67.96	...	0.33	2 of 3 - Sale ²⁵
	1.000	132.65	12/23/20	132.02	...	0.63	3 of 3 - Sale ²⁵
12/24/20	1.515	200.96	Various	200.00	...	0.96	Total of 3 transactions
ASPEN GROUP INC. COMMON STOCK / CUSIP: 04530L203 / Symbol:							
02/20/20	1.000	9.78	02/14/20	9.91	...	-0.13	Sale ²⁵
AURORA CANNABIS INC. COMMON SHARES / CUSIP: 05156X108 / Symbol:							
02/19/20	6.000	10.22	02/10/20	9.96	...	0.26	Sale ²⁵
AVID TECHNOLOGY, INC. COMMON STOCK / CUSIP: 05367P100 / Symbol:							
02/19/20	1.000	9.14	02/14/20	9.35	...	-0.21	Sale ²⁵

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BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
04/27/20	1.000	22.70	04/24/20	21.75	...	0.95	Sale ²⁵
05/07/20	5.000	113.96	05/06/20	112.44	...	1.52	Sale ²⁵
	Security total:	136.66		134.19	...	2.47	
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
08/18/20	10.000	300.92	08/17/20	300.50	...	0.42	Sale ²⁵
BERRY CORPORATION (BRY) COMMON STOCK / CUSIP: 08579X101 / Symbol:							
02/19/20	1.000	7.47	02/14/20	7.28	...	0.19	Sale ²⁵
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
02/11/20	1.000	73.77	02/10/20	73.02	...	0.75	Sale ²⁵
02/18/20	2.000	142.20	02/10/20	146.03	...	-3.83	Sale ²⁵
	Security total:	215.97		219.05	...	-3.08	
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
04/27/20	1.000	44.19	04/24/20	41.91	...	2.28	Sale ²⁵
CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
05/08/20	20.000	506.40	05/07/20	568.79	31.20 W	-31.19	Sale ²⁵
	<i>3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>						
	20.000	534.24	05/07/20	568.79	...	-34.55	1 of 3 - Sale ²⁵
	8.000	213.69	05/08/20	232.28	...	-18.59	2 of 3 - Sale ²⁵
	2.000	54.13	05/08/20	58.07	...	-3.94	3 of 3 - Sale ²⁵
05/11/20	30.000	802.06	Various	859.14	...	-57.08	Total of 3 transactions
	Security total:	1,308.46		1,427.93	31.20 W	-88.27	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
04/27/20	1.000	46.00	04/24/20	45.35	...	0.65	Sale ²⁵
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHORT TERM ETN / CUSIP: 22542D258 / Symbol:							
03/23/20	1.000	465.05	03/19/20	756.80	291.75 W	0.00	Sale ²⁵
03/24/20	1.000	246.50	03/20/20	1,203.56	...	-957.06	Sale ²⁵
03/24/20	1.000	252.90	03/19/20	853.49	600.59 W	0.00	Sale ²⁵
05/27/20	2.000	288.22	05/26/20	282.34	...	5.88	Sale ²⁵

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.560	166.83	06/04/20	190.37	...	-23.54	1 of 2 - Sale ²⁵
	0.079	8.44	06/04/20	9.63	...	-1.19	2 of 2 - Sale ²⁵
06/05/20	1.639	175.27	Various	200.00	...	-24.73	Total of 2 transactions
	Security total:	1,427.94		3,296.19	892.34 W	-975.91	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
05/05/20	2.000	46.22	05/04/20	44.54	...	1.68	Sale ²⁵
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
06/26/20	2.000	63.90	06/25/20	68.38	...	-4.48	Sale ²⁵
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
07/02/20	100.000	18,115.57 N	07/02/20	18,226.00	...	-110.43	Sold via call assignment ²⁵
08/28/20	100.000	21,944.49 N	08/28/20	21,655.00	...	289.49	Proceeds or Cost adjusted for option premium of \$365.98 Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$444.98
	Security total:	40,060.06		39,881.00	...	179.06	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
04/28/20	1.000	5.44	04/27/20	4.93	...	0.51	Sale ²⁵
07/15/20	1.000	6.63	07/13/20	6.10	...	0.53	Sale ²⁵
	Security total:	12.07		11.03	...	1.04	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
02/12/20	2.000	25.97	02/10/20	25.77	...	0.20	Sale ²⁵
02/13/20	4.000	52.04	02/10/20	51.55	...	0.49	Sale ²⁵
	Security total:	78.01		77.32	...	0.69	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/26/20	10.000	25.00	06/24/20	27.50	...	-2.50	Sale ²⁵

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GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	3.000	252.60	04/29/20	260.31	...	-7.71	1 of 2 - Sale ²⁵
	3.000	252.90	04/29/20	260.31	...	-7.41	2 of 2 - Sale ²⁵
04/30/20	6.000	505.50	Various	520.62	...	-15.12	Total of 2 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
8 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	50.000	1,088.96	06/23/20	1,065.09	...	23.87	1 of 8 - Sale ²⁵
	6.000	130.26	06/30/20	231.31	...	-101.05	2 of 8 - Sale ²⁵
	6.000	130.26	06/30/20	212.16	...	-81.90	3 of 8 - Sale ²⁵
	4.000	86.84	06/30/20	156.20	...	-69.36	4 of 8 - Sale ²⁵
	10.000	217.09	06/30/20	280.00	...	-62.91	5 of 8 - Sale ²⁵
	4.000	86.84	06/30/20	137.48	...	-50.64	6 of 8 - Sale ²⁵
	10.000	217.09	06/30/20	266.68	...	-49.59	7 of 8 - Sale ²⁵
	10.000	217.09	06/30/20	240.00	...	-22.91	8 of 8 - Sale ²⁵
07/01/20	100.000	2,174.43	Various	2,588.92	...	-414.49	Total of 8 transactions
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	6.000	130.68	06/26/20	171.84	41.16 W	0.00	1 of 3 - Sale ²⁵
	10.000	217.79	06/26/20	323.30	105.51 W	0.00	2 of 3 - Sale ²⁵
	4.000	87.12	06/26/20	112.60	25.48 W	0.00	3 of 3 - Sale ²⁵
07/01/20	20.000	435.59	Various	607.74	172.15 W	0.00	Total of 3 transactions
	Security total:	2,610.02		3,196.66	172.15 W	-414.49	
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol:							
02/18/20	1.000	18.05	02/14/20	19.33	...	-1.28	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
07/14/20	4.000	2,065.95	07/13/20	2,122.00	...	-56.05	Sale ²⁵
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	17.00	05/08/20	16.40	...	0.60	1 of 2 - Sale ²⁵
	5.000	85.00	05/08/20	83.55	...	1.45	2 of 2 - Sale ²⁵
05/11/20	6.000	102.00	Various	99.95	...	2.05	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol: (cont'd)							
05/14/20	5.000	80.20	05/12/20	81.90	...	-1.70	Sale ²⁵
	Security total:	182.20		181.85	...	0.35	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
<i>4 transactions for 02/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	28.01	02/10/20	27.33	...	0.68	1 of 4 - Sale ²⁵
	3.000	84.79	02/10/20	81.98	...	2.81	2 of 4 - Sale ²⁵
	2.000	56.03	02/10/20	51.04	...	4.99	3 of 4 - Sale ²⁵
	2.000	56.03	02/10/20	46.24	...	9.79	4 of 4 - Sale ²⁵
02/14/20	8.000	224.86	Various	206.59	...	18.27	Total of 4 transactions
02/19/20	1.000	27.73	02/10/20	27.33	...	0.40	Sale ²⁵
	Security total:	252.59		233.92	...	18.67	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
02/11/20	4.000	70.84	02/10/20	69.92	...	0.92	Sale ²⁵
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
05/05/20	2.000	53.41	05/04/20	55.06	1.65 W	0.00	Sale ²⁵
<i>2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	49.08	05/12/20	52.87	...	-3.79	1 of 2 - Sale ²⁵
	3.000	73.62	05/12/20	76.83	...	-3.21	2 of 2 - Sale ²⁵
05/13/20	5.000	122.70	Various	129.70	...	-7.00	Total of 2 transactions
05/14/20	5.000	113.55	05/12/20	128.05	...	-14.50	Sale ²⁵
	Security total:	289.66		312.81	1.65 W	-21.50	
ETRACS MONTHLY PAY 2XLEVERAGED MORTGAGE REIT ETN SERIES B DU E OCTOBER 16, 2042 / CUSIP: 90274D432 / Symbol:							
02/25/20	4.000	57.04	02/21/20	62.40	...	-5.36	Sale ²⁵
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
04/27/20	1.000	25.71	04/24/20	24.84	...	0.87	Sale ²⁵
05/05/20	2.000	51.31	05/04/20	49.34	...	1.97	Sale ²⁵
	Security total:	77.02		74.18	...	2.84	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 507091056

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VIEWRAY, INC. COMMON STOCK / CUSIP: 92672L107 / Symbol:							
<i>2 transactions for 02/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.02	02/10/20	3.23	...	-0.21	1 of 2 - Sale ²⁵
	5.000	15.10	02/10/20	14.99	...	0.11	2 of 2 - Sale ²⁵
02/13/20	6.000	18.12	Various	18.22	...	-0.10	Total of 2 transactions
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
06/18/20	100.000	153.01	06/12/20	130.00	...	23.01	Sale ²⁵
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
02/13/20	1.000	58.06	02/10/20	56.43	...	1.63	Sale ²⁵
Totals :		219,713.92		232,900.40	4,742.78 W	-8,443.70	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 507091056

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		08/20/20	0.08	Interest	
		08/31/20	0.04	Interest	
		09/30/20	0.07	Interest	
		12/31/20	0.02	Interest	
			0.21	Total Interest	
			0.21	Total Interest	

Robinhood Securities LLC

Account 507091056

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/13/20	-5.00	Margin interest paid	
		08/11/20	-5.00	Margin interest paid	
			-10.00	Total Margin interest paid	
			-10.00	Total Margin interest paid	

Robinhood Securities LLC

Account 507091056

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
JNUG 06/19/2020 CALL \$8.00	JNUG 06/19/20 C 8.000	04/23/20	-1.00	0.00	Merger	
JNUG2 06/19/2020 CALL \$8.00	JNUG2 06/19/20 C 8.000	04/23/20	1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Instructions for Recipient

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S.

Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient.

Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Instructions for Recipient

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.