

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 907017834	Statement Date: 02/14/2021 Document ID: 80ST RH8 8JTB	2020
	Nagarjuna Reddy 422 Vinings Blvd O'Fallon, MO 63366 RECIPIENT'S TIN: XXX-XX-3188		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 6.40</p> <p>1b- Qualified dividends 6.40</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	228,974.06	229,422.34	0.00	6,157.13	5,708.85
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	6.67	7.50	0.00	0.00	-0.83
	Total Short-term	228,980.73	229,429.84	0.00	6,157.13	5,708.02
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.18	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.18	0.00	0.00	0.00	0.00
	Grand total	228,980.91	229,429.84	0.00	6,157.13	5,708.02
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.18
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$113.75 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/18/20	112.00	...	-112.00	Option expiration- long call
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	5.000	61.00	11/27/20	55.05	...	5.95	1 of 2 - Sale ²⁵
	20.000	244.00	11/27/20	220.19	...	23.81	2 of 2 - Sale ²⁵
11/30/20	25.000	305.00	Various	275.24	...	29.76	Total of 2 transactions
12/07/20	40.000	534.27	11/27/20	440.38	...	93.89	Sale ²⁵
4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	10.000	137.50	11/27/20	110.09	...	27.41	1 of 4 - Sale ²⁵
	15.000	205.65	11/27/20	165.14	...	40.51	2 of 4 - Sale ²⁵
	35.000	521.83	11/27/20	385.33	...	136.50	3 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol: (cont'd)							
	75.000	1,028.22	11/27/20	825.70	...	202.52	4 of 4 - Sale ²⁵
12/22/20	135.000	1,893.20	Various	1,486.26	...	406.94	Total of 4 transactions
	Security total:	2,732.47		2,201.88	...	530.59	
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	5.000	554.79	08/27/20	557.10	...	-2.31	1 of 2 - Sale ²⁵
	5.000	554.78	08/28/20	550.76	...	4.02	2 of 2 - Sale ²⁵
08/31/20	10.000	1,109.57	Various	1,107.86	...	1.71	Total of 2 transactions
ACASTI PHARMA, INC. CLASS A COMMON STOCK / CUSIP: 00430K402 / Symbol:							
12/23/20	1,000.000	472.98	12/23/20	381.20	...	91.78	Sale ²⁵
54 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	942.000	331.37	12/23/20	411.68	...	-80.31	1 of 54 - Sale ²⁵
	1,058.000	372.17	12/23/20	443.57	...	-71.40	2 of 54 - Sale ²⁵
	500.000	175.89	12/23/20	209.65	...	-33.76	3 of 54 - Sale ²⁵
	359.000	126.29	12/23/20	150.53	...	-24.24	4 of 54 - Sale ²⁵
	58.000	20.40	12/23/20	25.35	...	-4.95	5 of 54 - Sale ²⁵
	9.000	3.17	12/23/20	3.77	...	-0.60	6 of 54 - Sale ²⁵
	6.000	2.11	12/23/20	2.52	...	-0.41	7 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	8 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	9 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	10 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	11 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	12 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	13 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	14 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	15 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	16 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	17 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	18 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	19 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	20 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	21 of 54 - Sale ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
	2.000	0.70	12/23/20	0.84	...	-0.14	22 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	23 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	24 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	25 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	26 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	27 of 54 - Sale ²⁵
	2.000	0.70	12/23/20	0.84	...	-0.14	28 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	29 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	30 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	31 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	32 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	33 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	34 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	35 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	36 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	37 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	38 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	39 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	40 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	41 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	42 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	43 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	44 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	45 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	46 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	47 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	48 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	49 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	50 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	51 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	52 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	53 of 54 - Sale ²⁵
	1.000	0.35	12/23/20	0.42	...	-0.07	54 of 54 - Sale ²⁵
12/24/20	3,000.000	1,055.20	Various	1,275.63	...	-220.43	Total of 54 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
12/24/20	3,000.000	1,086.81	12/23/20	1,257.90	57.03 W	-114.06	Sale ²⁵
	Security total:	2,614.99		2,914.73	57.03 W	-242.71	
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	200.000	110.52	06/09/20	97.20	...	13.32	1 of 2 - Sale ²⁵
	500.000	276.30	06/09/20	237.40	...	38.90	2 of 2 - Sale ²⁵
06/10/20	700.000	386.82	Various	334.60	...	52.22	Total of 2 transactions
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	300.000	122.00	06/09/20	145.80	...	-23.80	1 of 6 - Sale ²⁵
	100.000	40.66	06/09/20	48.80	...	-8.14	2 of 6 - Sale ²⁵
	100.000	40.67	06/09/20	48.80	...	-8.13	3 of 6 - Sale ²⁵
	100.000	40.67	06/09/20	48.80	...	-8.13	4 of 6 - Sale ²⁵
	100.000	40.67	06/09/20	48.80	...	-8.13	5 of 6 - Sale ²⁵
	100.000	40.67	06/09/20	48.80	...	-8.13	6 of 6 - Sale ²⁵
06/11/20	800.000	325.34	Various	389.80	...	-64.46	Total of 6 transactions
	Security total:	712.16		724.40	...	-12.24	
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	12.000	111.36	08/07/20	135.00	...	-23.64	1 of 3 - Sale ²⁵
	5.000	46.35	08/07/20	56.25	...	-9.90	2 of 3 - Sale ²⁵
	3.000	27.84	08/07/20	33.75	...	-5.91	3 of 3 - Sale ²⁵
08/11/20	20.000	185.55	Various	225.00	...	-39.45	Total of 3 transactions
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	10.000	97.50	08/07/20	112.50	...	-15.00	1 of 2 - Sale ²⁵
	10.000	97.70	08/07/20	112.50	...	-14.80	2 of 2 - Sale ²⁵
08/14/20	20.000	195.20	Various	225.00	...	-29.80	Total of 2 transactions
08/20/20	10.000	96.10	08/07/20	112.50	...	-16.40	Sale ²⁵
08/21/20	16.000	147.76	08/07/20	180.00	...	-32.24	Sale ²⁵
	Security total:	624.61		742.50	...	-117.89	

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
08/19/20	500.000	248.79	08/18/20	249.90	1.11 W	0.00	Sale ²⁵
4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	176.000	85.34	08/19/20	90.73	...	-5.39	1 of 4 - Sale ²⁵
	150.000	73.18	08/19/20	77.33	...	-4.15	2 of 4 - Sale ²⁵
	100.000	48.69	08/19/20	51.55	...	-2.86	3 of 4 - Sale ²⁵
	74.000	35.88	08/19/20	38.15	...	-2.27	4 of 4 - Sale ²⁵
08/24/20	500.000	243.09	Various	257.76	...	-14.67	Total of 4 transactions
	Security total:	491.88		507.66	1.11 W	-14.67	
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
10/14/20	10.000	17.72	10/13/20	21.90	...	-4.18	Sale ²⁵
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
09/01/20	100.000	286.99	08/31/20	288.73	...	-1.74	Sale ²⁵
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
06/30/20	1.000	0.87	06/29/20	0.88	...	-0.01	Sale ²⁵
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	2.83	09/03/20	2.72	...	0.11	1 of 8 - Sale ²⁵
	27.000	74.79	09/03/20	73.44	...	1.35	2 of 8 - Sale ²⁵
	26.000	73.58	09/03/20	70.72	...	2.86	3 of 8 - Sale ²⁵
	73.000	202.20	09/03/20	198.56	...	3.64	4 of 8 - Sale ²⁵
	55.000	155.64	09/03/20	149.60	...	6.04	5 of 8 - Sale ²⁵
	60.000	169.79	09/03/20	163.20	...	6.59	6 of 8 - Sale ²⁵
	65.000	183.94	09/03/20	176.80	...	7.14	7 of 8 - Sale ²⁵
	93.000	263.18	09/03/20	252.96	...	10.22	8 of 8 - Sale ²⁵
09/04/20	400.000	1,125.95	Various	1,088.00	...	37.95	Total of 8 transactions
09/08/20	100.000	263.25	09/03/20	272.00	...	-8.75	Sale ²⁵
	Security total:	1,389.20		1,360.00	...	29.20	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
11/27/20	2.000	553.71	11/25/20	551.99	...	1.72	Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
09/03/20	25.000	115.00	09/02/20	129.75	...	-14.75	Sale ²⁵
09/03/20	50.000	257.50	09/02/20	259.49	0.04 W	-1.95	Sale ²⁵
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	20.000	86.00	09/02/20	103.80	...	-17.80	1 of 3 - Sale ²⁵
	4.000	17.20	09/02/20	20.76	...	-3.56	2 of 3 - Sale ²⁵
	1.000	4.30	09/02/20	5.23	...	-0.93	3 of 3 - Sale ²⁵
09/04/20	25.000	107.50	Various	129.79	...	-22.29	Total of 3 transactions
	Security total:	480.00		519.03	0.04 W	-38.99	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
7 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	10.000	168.44	06/04/20	153.90	...	14.54	1 of 7 - Sale ²⁵
	20.000	336.89	06/04/20	298.00	...	38.89	2 of 7 - Sale ²⁵
	150.000	2,203.44	06/04/20	2,149.22	...	54.22	3 of 7 - Sale ²⁵
	50.000	734.48	06/04/20	648.00	...	86.48	4 of 7 - Sale ²⁵
	80.000	1,347.56	06/04/20	1,231.22	...	116.34	5 of 7 - Sale ²⁵
	90.000	1,516.01	06/04/20	1,352.72	...	163.29	6 of 7 - Sale ²⁵
	100.000	1,684.45	06/04/20	1,490.00	...	194.45	7 of 7 - Sale ²⁵
06/04/20	500.000	7,991.27	Various	7,323.06	...	668.21	Total of 7 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	90.000	1,322.07	06/04/20	1,333.79	11.72 W	0.00	1 of 2 - Sale ²⁵
	90.000	1,322.07	06/04/20	1,366.19	44.12 W	0.00	2 of 2 - Sale ²⁵
06/04/20	180.000	2,644.14	Various	2,699.98	55.84 W	0.00	Total of 2 transactions
9 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	21.02	06/04/20	14.70	...	6.32	1 of 9 - Sale ²⁵
	2.000	42.04	06/04/20	29.46	...	12.58	2 of 9 - Sale ²⁵
	2.000	43.38	06/04/20	29.28	...	14.10	3 of 9 - Sale ²⁵
	13.000	270.00	06/04/20	190.32	...	79.68	4 of 9 - Sale ²⁵
	22.000	462.42	06/04/20	323.40	...	139.02	5 of 9 - Sale ²⁵
	25.000	525.49	06/04/20	370.75	...	154.74	6 of 9 - Sale ²⁵
	27.000	560.78	06/04/20	396.90	...	163.88	7 of 9 - Sale ²⁵
	46.000	986.21	06/04/20	685.40	...	300.81	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
06/05/20	54.000	1,157.73	06/04/20	804.60	...	353.13	9 of 9 - Sale ²⁵
	192.000	4,069.07	Various	2,844.81	...	1,224.26	Total of 9 transactions
	5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	19.000	318.25	06/09/20	342.00	23.75 W	0.00	1 of 5 - Sale ²⁵
	6.000	100.50	06/09/20	108.00	7.50 W	0.00	2 of 5 - Sale ²⁵
	5.000	80.05	06/09/20	90.00	9.95 W	0.00	3 of 5 - Sale ²⁵
	1.000	16.01	06/09/20	19.04	3.03 W	0.00	4 of 5 - Sale ²⁵
	19.000	304.19	06/09/20	361.72	57.53 W	0.00	5 of 5 - Sale ²⁵
06/10/20	50.000	819.00	Various	920.76	101.76 W	0.00	Total of 5 transactions
	5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	11.89	07/07/20	15.18	3.29 W	0.00	1 of 5 - Sale ²⁵
	9.000	107.06	07/07/20	136.60	29.54 W	0.00	2 of 5 - Sale ²⁵
	10.000	118.95	07/07/20	151.38	32.43 W	0.00	3 of 5 - Sale ²⁵
	5.000	59.48	07/07/20	66.80	7.32 W	0.00	4 of 5 - Sale ²⁵
	5.000	59.47	07/07/20	70.50	11.03 W	0.00	5 of 5 - Sale ²⁵
07/13/20	30.000	356.85	Various	440.46	83.61 W	0.00	Total of 5 transactions
07/14/20	10.000	116.41	07/10/20	114.78	...	1.63	Sale ²⁵
	7 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	10.000	116.41	07/07/20	153.93	37.52 W	0.00	1 of 7 - Sale ²⁵
	5.000	58.21	07/07/20	68.07	9.86 W	0.00	2 of 7 - Sale ²⁵
	5.000	58.21	07/07/20	71.78	13.57 W	0.00	3 of 7 - Sale ²⁵
	10.000	116.41	07/10/20	116.75	0.27 W	-0.07	4 of 7 - Sale ²⁵
	1.000	11.64	07/10/20	14.77	3.13 W	0.00	5 of 7 - Sale ²⁵
	9.000	104.77	07/10/20	132.84	28.07 W	0.00	6 of 7 - Sale ²⁵
	10.000	116.42	07/10/20	116.65	0.23 W	0.00	7 of 7 - Sale ²⁵
07/14/20	50.000	582.07	Various	674.79	92.65 W	-0.07	Total of 7 transactions
	8 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	8.000	99.28	07/14/20	127.54	...	-28.26	1 of 8 - Sale ²⁵
	9.000	110.79	07/14/20	137.78	...	-26.99	2 of 8 - Sale ²⁵
	5.000	62.05	07/14/20	74.52	...	-12.47	3 of 8 - Sale ²⁵
	5.000	62.05	07/14/20	70.81	...	-8.76	4 of 8 - Sale ²⁵
	1.000	12.41	07/14/20	15.94	...	-3.53	5 of 8 - Sale ²⁵
	1.000	12.41	07/14/20	15.93	...	-3.52	6 of 8 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	12.31	07/14/20	15.32	...	-3.01	7 of 8 - Sale ²⁵
	10.000	123.10	07/14/20	122.13	...	0.97	8 of 8 - Sale ²⁵
07/15/20	40.000	494.40	Various	579.97	...	-85.57	Total of 8 transactions
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	5.000	62.16	07/15/20	66.46	...	-4.30	1 of 2 - Sale ²⁵
	3.000	37.30	07/15/20	40.21	...	-2.91	2 of 2 - Sale ²⁵
07/16/20	8.000	99.46	Various	106.67	...	-7.21	Total of 2 transactions
	Security total:	17,172.67		15,705.28	333.86 W	1,801.25	
ANGLOGOLD ASHANTI LIMITED / CUSIP: 035128206 / Symbol:							
06/02/20	40.000	1,045.77	05/28/20	958.40	...	87.37	Sale ²⁵
	4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	50.000	1,178.03	06/05/20	1,181.00	...	-2.97	1 of 4 - Sale ²⁵
	25.000	589.02	06/05/20	587.50	...	1.52	2 of 4 - Sale ²⁵
	25.000	589.02	06/05/20	580.76	...	8.26	3 of 4 - Sale ²⁵
	100.000	2,356.06	06/05/20	2,302.74	...	53.32	4 of 4 - Sale ²⁵
06/08/20	200.000	4,712.13	Various	4,652.00	...	60.13	Total of 4 transactions
	Security total:	5,757.90		5,610.40	...	147.50	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/30/20	3.000	348.03	09/04/20	344.39	...	3.64	Sale ²⁵
10/07/20	2.000	229.12	09/04/20	229.59	...	-0.47	Sale ²⁵
10/19/20	5.000	579.15	09/04/20	573.98	...	5.17	Sale ²⁵
	Security total:	1,156.30		1,147.96	...	8.34	
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
06/04/20	50.000	254.50	05/18/20	303.00	...	-48.50	Sale ²⁵
ARBUTUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
07/24/20	2.000	15.39	07/23/20	10.66	...	4.73	Sale ²⁵

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ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	50.000	408.74	11/17/20	378.01	...	30.73	1 of 2 - Sale ²⁵
	50.000	408.74	11/17/20	372.75	...	35.99	2 of 2 - Sale ²⁵
11/17/20	100.000	817.48	Various	750.76	...	66.72	Total of 2 transactions
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	2.000	118.70	07/20/20	119.04	0.34 W	0.00	1 of 2 - Sale ²⁵
	4.000	237.40	07/20/20	237.94	0.54 W	0.00	2 of 2 - Sale ²⁵
07/21/20	6.000	356.10	Various	356.98	0.88 W	0.00	Total of 2 transactions
08/13/20	2.000	111.69	07/20/20	119.72	8.03 W	0.00	Sale ²⁵
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	2.000	110.28	07/20/20	128.99	...	-18.71	1 of 4 - Sale ²⁵
	3.000	165.42	07/20/20	179.48	...	-14.06	2 of 4 - Sale ²⁵
	2.000	110.28	07/20/20	120.95	...	-10.67	3 of 4 - Sale ²⁵
	1.000	55.14	07/20/20	60.61	...	-5.47	4 of 4 - Sale ²⁵
08/14/20	8.000	441.12	Various	490.03	...	-48.91	Total of 4 transactions
	Security total:	908.91		966.73	8.91 W	-48.91	
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	800.000	419.17	08/03/20	430.40	...	-11.23	1 of 2 - Sale ²⁵
	200.000	104.79	08/04/20	92.02	...	12.77	2 of 2 - Sale ²⁵
08/05/20	1,000.000	523.96	Various	522.42	...	1.54	Total of 2 transactions
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	100.000	34.60	09/17/20	35.42	...	-0.82	1 of 3 - Sale ²⁵
	150.000	51.90	09/17/20	52.65	...	-0.75	2 of 3 - Sale ²⁵
	150.000	52.15	09/17/20	52.65	...	-0.50	3 of 3 - Sale ²⁵
09/18/20	400.000	138.65	Various	140.72	...	-2.07	Total of 3 transactions
09/18/20	100.000	34.77	09/17/20	35.09	0.32 W	0.00	Sale ²⁵
	Security total:	697.38		698.23	0.32 W	-0.53	

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AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
<i>3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	141.60	07/06/20	100.00	...	41.60	1 of 3 - Sale ²⁵
	20.000	141.59	07/06/20	99.20	...	42.39	2 of 3 - Sale ²⁵
	100.000	707.97	07/06/20	487.00	...	220.97	3 of 3 - Sale ²⁵
07/06/20	140.000	991.16	Various	686.20	...	304.96	Total of 3 transactions
B. RILEY PRINCIPAL MERGER CORP . II / CUSIP: 05600U106 / Symbol:							
09/11/20	5.000	52.55	09/10/20	51.80	...	0.75	Sale ²⁵
<i>2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	50.35	09/10/20	51.80	...	-1.45	1 of 2 - Sale ²⁵
	20.000	201.40	09/14/20	209.50	...	-8.10	2 of 2 - Sale ²⁵
09/21/20	25.000	251.75	Various	261.30	...	-9.55	Total of 2 transactions
	Security total:	304.30		313.10	...	-8.80	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
06/01/20	10.000	246.30	04/28/20	244.50	...	1.80	Sale ²⁵
BAUDAX BIO, INC. COMMON STOCK / CUSIP: 07160F107 / Symbol:							
<i>3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	114.00	11/09/20	110.99	...	3.01	1 of 3 - Sale ²⁵
	400.000	455.98	11/09/20	444.00	...	11.98	2 of 3 - Sale ²⁵
	500.000	569.97	11/09/20	555.00	...	14.97	3 of 3 - Sale ²⁵
11/09/20	1,000.000	1,139.95	Various	1,109.99	...	29.96	Total of 3 transactions
BELLUS HEALTH INC. COMMON SHARES / CUSIP: 07987C204 / Symbol:							
<i>2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	186.99	07/06/20	153.00	...	33.99	1 of 2 - Sale ²⁵
	100.000	373.97	07/06/20	306.00	...	67.97	2 of 2 - Sale ²⁵
07/07/20	150.000	560.96	Various	459.00	...	101.96	Total of 2 transactions
<i>2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	131.00	07/06/20	153.00	22.00 W	0.00	1 of 2 - Sale ²⁵
	50.000	130.99	07/06/20	161.50	30.51 W	0.00	2 of 2 - Sale ²⁵
07/13/20	100.000	261.99	Various	314.50	52.51 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol: (cont'd)							
<i>2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	112.50	07/06/20	165.15	...	-52.65	1 of 2 - Sale ²⁵
	5.000	12.50	07/06/20	18.35	...	-5.85	2 of 2 - Sale ²⁵
07/31/20	50.000	125.00	Various	183.50	...	-58.50	Total of 2 transactions
<i>2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	65.00	07/07/20	99.63	...	-34.63	1 of 2 - Sale ²⁵
	25.000	65.13	07/07/20	99.63	...	-34.50	2 of 2 - Sale ²⁵
08/18/20	50.000	130.13	Various	199.26	...	-69.13	Total of 2 transactions
	Security total:	1,078.08		1,156.26	52.51 W	-25.67	
BENEFYTT TECHNOLOGIES, INC. CL ASS A COMMON STOCK / CUSIP: 08182C106 / Symbol:							
05/27/20	50.000	1,087.95	05/22/20	1,081.37	...	6.58	Sale ²⁵
<i>2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	43.52	05/22/20	44.00	0.48 W	0.00	1 of 2 - Sale ²⁵
	48.000	1,044.43	05/22/20	1,059.36	14.93 W	0.00	2 of 2 - Sale ²⁵
05/27/20	50.000	1,087.95	Various	1,103.36	15.41 W	0.00	Total of 2 transactions
<i>3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	39.40	05/22/20	42.76	3.36 W	0.00	1 of 3 - Sale ²⁵
	48.000	945.52	05/22/20	1,029.65	84.13 W	0.00	2 of 3 - Sale ²⁵
	20.000	393.97	05/22/20	420.00	26.03 W	0.00	3 of 3 - Sale ²⁵
06/04/20	70.000	1,378.89	Various	1,492.41	113.52 W	0.00	Total of 3 transactions
<i>4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	48.000	1,013.91	06/03/20	1,034.05	...	-20.14	1 of 4 - Sale ²⁵
	2.000	42.25	06/03/20	42.94	...	-0.69	2 of 4 - Sale ²⁵
	20.000	422.46	06/03/20	421.83	...	0.63	3 of 4 - Sale ²⁵
	30.000	633.70	06/03/20	593.70	...	40.00	4 of 4 - Sale ²⁵
06/08/20	100.000	2,112.32	Various	2,092.52	...	19.80	Total of 4 transactions
	Security total:	5,667.11		5,769.66	128.93 W	26.38	
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
<i>4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	87.01	06/16/20	71.20	...	15.81	1 of 4 - Sale ²⁵
	20.000	17.40	06/18/20	14.60	...	2.80	2 of 4 - Sale ²⁵

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(continued)

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
	37.000	32.19	06/18/20	27.01	...	5.18	3 of 4 - Sale ²⁵
	43.000	37.42	06/18/20	31.39	...	6.03	4 of 4 - Sale ²⁵
06/19/20	200.000	174.02	Various	144.20	...	29.82	Total of 4 transactions
BIOHITECH GLOBAL, INC. COMMON STOCK / CUSIP: 09074B107 / Symbol:							
06/30/20	20.000	51.00	06/29/20	66.00	...	-15.00	Sale ²⁵
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	200.000	95.18	06/29/20	103.14	...	-7.96	1 of 2 - Sale ²⁵
	200.000	95.17	06/29/20	102.70	...	-7.53	2 of 2 - Sale ²⁵
06/30/20	400.000	190.35	Various	205.84	...	-15.49	Total of 2 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	52.000	34.59	08/25/20	31.13	...	3.46	1 of 2 - Sale ²⁵
	148.000	98.45	08/25/20	88.70	...	9.75	2 of 2 - Sale ²⁵
08/26/20	200.000	133.04	Various	119.83	...	13.21	Total of 2 transactions
	Security total:	323.39		325.67	...	-2.28	
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	25.000	153.75	06/30/20	159.78	...	-6.03	1 of 3 - Sale ²⁵
	5.000	30.75	06/30/20	29.20	...	1.55	2 of 3 - Sale ²⁵
	20.000	123.00	06/30/20	116.80	...	6.20	3 of 3 - Sale ²⁵
07/06/20	50.000	307.50	Various	305.78	...	1.72	Total of 3 transactions
BOXLIGHT CORPORATION CLASS A COMMON STOCK / CUSIP: 103197109 / Symbol:							
06/30/20	100.000	97.09	06/29/20	95.00	...	2.09	Sale ²⁵
07/24/20	25.000	75.00	07/16/20	94.75	...	-19.75	Sale ²⁵
	Security total:	172.09		189.75	...	-17.66	

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BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	60.00	06/19/20	64.25	...	-4.25	1 of 3 - Sale ²⁵
	20.000	120.00	06/19/20	112.40	...	7.60	2 of 3 - Sale ²⁵
	50.000	299.99	06/19/20	182.00	...	117.99	3 of 3 - Sale ²⁵
06/19/20	80.000	479.99	Various	358.65	...	121.34	Total of 3 transactions
CBL & ASSOC PPTYS INC COMMON S TOCK / CUSIP: 124830100 / Symbol:							
06/23/20	1,000.000	490.88	06/22/20	384.00	...	106.88	Sale ²⁵
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
07/30/20	150.000	127.48	07/27/20	131.25	3.77 W	0.00	Sale ²⁵
08/28/20	150.000	53.98	08/27/20	57.76	...	-3.78	Sale ²⁵
	Security total:	181.46		189.01	3.77 W	-3.78	
CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	25.000	426.25	11/18/20	302.50	...	123.75	1 of 2 - Sale ²⁵
	30.000	511.63	11/18/20	363.00	...	148.63	2 of 2 - Sale ²⁵
11/19/20	55.000	937.88	Various	665.50	...	272.38	Total of 2 transactions
11/24/20	10.000	313.03	11/18/20	121.00	...	192.03	Sale ²⁵
12/01/20	10.000	202.22	11/18/20	121.00	...	81.22	Sale ²⁵
12/21/20	10.000	289.50	11/18/20	121.00	...	168.50	Sale ²⁵
12/24/20	5.000	165.99	11/18/20	60.50	...	105.49	Sale ²⁵
12/29/20	10.000	290.55	11/18/20	121.00	...	169.55	Sale ²⁵
	Security total:	2,199.17		1,210.00	...	989.17	
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	100.000	188.09	06/29/20	241.90	...	-53.81	1 of 2 - Sale ²⁵
	50.000	94.04	06/29/20	111.50	...	-17.46	2 of 2 - Sale ²⁵
07/01/20	150.000	282.13	Various	353.40	...	-71.27	Total of 2 transactions
CASA SYSTEMS, INC. COMMON STOC K / CUSIP: 14713L102 / Symbol:							
07/01/20	50.000	209.28	06/22/20	230.41	...	-21.13	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
05/15/20	200.000	415.98	05/15/20	363.86	...	52.12	Sale ²⁵
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	28.000	66.64	09/24/20	45.08	...	21.56	1 of 2 - Sale ²⁵
	25.000	66.75	09/24/20	40.25	...	26.50	2 of 2 - Sale ²⁵
09/25/20	53.000	133.39	Various	85.33	...	48.06	Total of 2 transactions
09/29/20	30.000	69.30	09/24/20	48.30	...	21.00	Sale ²⁵
5 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	17.000	37.82	09/24/20	27.37	...	10.45	1 of 5 - Sale ²⁵
	100.000	212.99	09/30/20	215.50	...	-2.51	2 of 5 - Sale ²⁵
	50.000	106.75	09/30/20	107.75	...	-1.00	3 of 5 - Sale ²⁵
	17.000	37.57	09/30/20	36.63	...	0.94	4 of 5 - Sale ²⁵
	33.000	73.41	09/30/20	71.12	...	2.29	5 of 5 - Sale ²⁵
10/01/20	217.000	468.54	Various	458.37	...	10.17	Total of 5 transactions
	Security total:	671.23		592.00	...	79.23	
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	16.000	975.97	06/02/20	1,004.52	1.78 W	-26.77	1 of 2 - Sale ²⁵
	24.000	1,463.96	06/02/20	1,506.78	42.82 W	0.00	2 of 2 - Sale ²⁵
06/04/20	40.000	2,439.93	Various	2,511.30	44.60 W	-26.77	Total of 2 transactions
06/09/20	10.000	583.58	06/02/20	627.83	...	-44.25	Sale ²⁵
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	15.000	865.26	06/02/20	958.11	...	-92.85	1 of 4 - Sale ²⁵
	5.000	294.45	06/02/20	319.37	...	-24.92	2 of 4 - Sale ²⁵
	1.000	58.89	06/08/20	58.02	...	0.87	3 of 4 - Sale ²⁵
	4.000	235.56	06/08/20	232.10	...	3.46	4 of 4 - Sale ²⁵
06/10/20	25.000	1,454.16	Various	1,567.60	...	-113.44	Total of 4 transactions
	Security total:	4,477.67		4,706.73	44.60 W	-184.46	
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
07/15/20	10.000	53.00	07/14/20	53.80	...	-0.80	Sale ²⁵

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CHURCHILL CAPITAL CORP III CLA SS A COMMON STOCK / CUSIP: 17144C104 / Symbol:							
07/15/20	20.000	227.40	07/13/20	249.40	22.00 W	0.00	Sale ²⁵
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	10.000	116.60	07/13/20	129.40	12.80 W	0.00	1 of 2 - Sale ²⁵
	10.000	116.60	07/13/20	122.20	5.60 W	0.00	2 of 2 - Sale ²⁵
07/20/20	20.000	233.20	Various	251.60	18.40 W	0.00	Total of 2 transactions
07/21/20	10.000	113.40	07/13/20	125.40	12.00 W	0.00	Sale ²⁵
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	10.000	105.40	07/13/20	118.20	12.80 W	0.00	1 of 2 - Sale ²⁵
	5.000	52.70	07/13/20	61.68	8.98 W	0.00	2 of 2 - Sale ²⁵
07/31/20	15.000	158.10	Various	179.88	21.78 W	0.00	Total of 2 transactions
	2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	5.000	52.55	07/13/20	61.67	9.12 W	0.00	1 of 2 - Sale ²⁵
	5.000	52.55	07/13/20	66.38	13.83 W	0.00	2 of 2 - Sale ²⁵
08/13/20	10.000	105.10	Various	128.05	22.95 W	0.00	Total of 2 transactions
	6 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	10.000	108.10	07/13/20	127.60	...	-19.50	1 of 6 - Sale ²⁵
	5.000	54.05	07/13/20	57.40	...	-3.35	2 of 6 - Sale ²⁵
	5.000	54.05	07/14/20	68.33	...	-14.28	3 of 6 - Sale ²⁵
	5.000	54.05	07/14/20	63.62	...	-9.57	4 of 6 - Sale ²⁵
	10.000	108.10	07/14/20	109.00	...	-0.90	5 of 6 - Sale ²⁵
	10.000	108.10	07/16/20	113.10	...	-5.00	6 of 6 - Sale ²⁵
08/14/20	45.000	486.45	Various	539.05	...	-52.60	Total of 6 transactions
	5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	20.000	224.20	09/21/20	230.00	5.80 W	0.00	1 of 5 - Sale ²⁵
	10.000	112.10	09/22/20	112.50	0.40 W	0.00	2 of 5 - Sale ²⁵
	10.000	112.10	09/22/20	112.50	0.40 W	0.00	3 of 5 - Sale ²⁵
	3.000	33.63	09/22/20	33.87	0.24 W	0.00	4 of 5 - Sale ²⁵
	2.000	22.40	09/22/20	23.16	0.76 W	0.00	5 of 5 - Sale ²⁵
09/23/20	45.000	504.43	Various	512.03	7.60 W	0.00	Total of 5 transactions
10/07/20	10.000	102.60	09/23/20	110.80	...	-8.20	Sale ²⁵

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CHURCHILL CAPITAL CORP III CLA SS A COMMON STOCK / CUSIP: 17144C104 / Symbol: (cont'd)							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	18.000	184.68	09/23/20	203.94	8.56 W	-10.70	1 of 2 - Sale ²⁵
	2.000	20.52	09/23/20	22.84	2.32 W	0.00	2 of 2 - Sale ²⁵
10/07/20	20.000	205.20	Various	226.78	10.88 W	-10.70	Total of 2 transactions
	Security total:	2,135.88		2,322.99	115.61 W	-71.50	
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
06/01/20	10.000	182.20	05/14/20	190.00	...	-7.80	Sale ²⁵
06/02/20	15.000	263.77	05/14/20	285.00	...	-21.23	Sale ²⁵
	Security total:	445.97		475.00	...	-29.03	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
05/22/20	1.000	44.86	05/18/20	44.44	...	0.42	Sale ²⁵
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol:							
07/31/20	4.000	149.08	07/29/20	160.00	...	-10.92	Sale ²⁵
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	6.000	242.29	07/29/20	240.00	...	2.29	1 of 2 - Sale ²⁵
	25.000	1,015.00	07/29/20	1,000.00	...	15.00	2 of 2 - Sale ²⁵
08/11/20	31.000	1,257.29	Various	1,240.00	...	17.29	Total of 2 transactions
	Security total:	1,406.37		1,400.00	...	6.37	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	252.20	09/02/20	263.00	...	-10.80	1 of 5 - Sale ²⁵
	2.000	252.22	09/02/20	263.00	...	-10.78	2 of 5 - Sale ²⁵
	3.000	390.30	09/02/20	394.50	...	-4.20	3 of 5 - Sale ²⁵
	1.000	130.10	09/02/20	131.50	...	-1.40	4 of 5 - Sale ²⁵
	2.000	269.73	09/02/20	263.00	...	6.73	5 of 5 - Sale ²⁵
09/03/20	10.000	1,294.55	Various	1,315.00	...	-20.45	Total of 5 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol:							
12/14/20	25.000	453.12	12/11/20	431.50	...	21.62	Sale ²⁵
<i>3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	421.25	12/11/20	431.50	...	-10.25	1 of 3 - Sale ²⁵
	15.000	256.50	12/11/20	258.90	...	-2.40	2 of 3 - Sale ²⁵
	10.000	171.00	12/11/20	172.60	...	-1.60	3 of 3 - Sale ²⁵
12/16/20	50.000	848.75	Various	863.00	...	-14.25	Total of 3 transactions
	Security total:	1,301.87		1,294.50	...	7.37	
DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol:							
08/06/20	20.000	246.45	08/03/20	263.00	8.28 W	-8.27	Sale ²⁵
09/08/20	10.000	173.90	09/03/20	184.28	...	-10.38	Sale ²⁵
	Security total:	420.35		447.28	8.28 W	-18.65	
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
<i>3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	39.10	07/09/20	47.55	...	-8.45	1 of 3 - Sale ²⁵
	3.000	23.46	07/09/20	28.07	...	-4.61	2 of 3 - Sale ²⁵
	8.000	62.56	07/09/20	66.92	...	-4.36	3 of 3 - Sale ²⁵
07/13/20	16.000	125.12	Various	142.54	...	-17.42	Total of 3 transactions
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol:							
<i>5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	102.98	07/10/20	150.00	47.02 W	0.00	1 of 5 - Sale ²⁵
	150.000	154.48	07/10/20	222.00	67.52 W	0.00	2 of 5 - Sale ²⁵
	100.000	102.98	07/10/20	152.00	49.02 W	0.00	3 of 5 - Sale ²⁵
	50.000	51.49	07/13/20	71.50	20.01 W	0.00	4 of 5 - Sale ²⁵
	100.000	102.99	07/13/20	142.00	39.01 W	0.00	5 of 5 - Sale ²⁵
08/17/20	500.000	514.92	Various	737.50	222.58 W	0.00	Total of 5 transactions
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H209 / Symbol:							
<i>8 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	15.421	60.09	07/13/20	110.88	...	-50.79	1 of 8 - Sale ²⁵
	11.616	45.26	07/13/20	91.64	...	-46.38	2 of 8 - Sale ²⁵
	8.411	32.78	07/13/20	66.36	...	-33.58	3 of 8 - Sale ²⁵

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Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H209 / Symbol: (cont'd)							
	10.014	39.02	07/13/20	69.50	...	-30.48	4 of 8 - Sale ²⁵
	4.005	15.61	07/13/20	28.80	...	-13.19	5 of 8 - Sale ²⁵
	0.601	2.34	07/13/20	4.32	...	-1.98	6 of 8 - Sale ²⁵
	40.055	156.08	07/15/20	207.42	...	-51.34	7 of 8 - Sale ²⁵
	9.877	38.49	07/15/20	51.05	...	-12.56	8 of 8 - Sale ²⁵
12/07/20	100.000	389.67	Various	629.97	...	-240.30	Total of 8 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	20.027	76.00	07/15/20	103.50	...	-27.50	1 of 3 - Sale ²⁵
	10.150	38.52	07/15/20	52.45	...	-13.93	2 of 3 - Sale ²⁵
	19.823	75.23	07/17/20	98.98	...	-23.75	3 of 3 - Sale ²⁵
12/22/20	50.000	189.75	Various	254.93	...	-65.18	Total of 3 transactions
	Security total:	579.42		884.90	...	-305.48	
DRIVE SHACK INC. / CUSIP: 262077100 / Symbol:							
	6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	3.000	6.45	06/22/20	6.00	...	0.45	1 of 6 - Sale ²⁵
	12.000	25.80	06/22/20	23.76	...	2.04	2 of 6 - Sale ²⁵
	25.000	53.75	06/22/20	50.00	...	3.75	3 of 6 - Sale ²⁵
	72.000	154.79	06/22/20	144.00	...	10.79	4 of 6 - Sale ²⁵
	88.000	189.19	06/22/20	174.24	...	14.95	5 of 6 - Sale ²⁵
	100.000	214.98	06/22/20	193.00	...	21.98	6 of 6 - Sale ²⁵
06/23/20	300.000	644.96	Various	591.00	...	53.96	Total of 6 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
09/02/20	5.000	35.46	09/01/20	36.69	...	-1.23	Sale ²⁵
	3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	10.000	70.92	09/01/20	73.47	1.27 W	-1.28	1 of 3 - Sale ²⁵
	10.000	73.20	09/01/20	73.30	0.10 W	0.00	2 of 3 - Sale ²⁵
	15.000	109.80	09/01/20	110.06	0.26 W	0.00	3 of 3 - Sale ²⁵
09/02/20	35.000	253.92	Various	256.83	1.63 W	-1.28	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	10.000	101.81	09/17/20	89.87	...	11.94	1 of 2 - Sale ²⁵
	5.000	50.90	09/18/20	63.50	...	-12.60	2 of 2 - Sale ²⁵
09/21/20	15.000	152.71	Various	153.37	...	-0.66	Total of 2 transactions
09/22/20	5.000	51.86	09/18/20	62.33	...	-10.47	Sale ²⁵
	Security total:	493.95		509.22	1.63 W	-13.64	
EKSO BIONICS HOLDINGS, INC. CO MMON STOCK / CUSIP: 282644301 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	10.000	89.70	06/25/20	57.40	...	32.30	1 of 2 - Sale ²⁵
	50.000	448.47	06/25/20	344.50	...	103.97	2 of 2 - Sale ²⁵
06/26/20	60.000	538.17	Various	401.90	...	136.27	Total of 2 transactions
ENGLOBAL CORPORATION COMMON ST OCK / CUSIP: 293306106 / Symbol:							
10/12/20	100.000	110.99	10/09/20	112.00	...	-1.01	Sale ²⁵
ENSERVCO CORP. / CUSIP: 29358Y102 / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	300.000	55.61	06/23/20	70.17	...	-14.56	1 of 2 - Sale ²⁵
	100.000	18.54	06/23/20	24.95	...	-6.41	2 of 2 - Sale ²⁵
06/25/20	400.000	74.15	Various	95.12	...	-20.97	Total of 2 transactions
ENTERPRISE PRODUCTS PARTNERS L .P. / CUSIP: 293792107 / Symbol:							
05/18/20	10.000	178.10	05/05/20	175.10	...	3.00	Sale ²⁵
ENZO BIOCHEM, INC. / CUSIP: 294100102 / Symbol:							
07/09/20	20.000	61.80	07/08/20	64.00	...	-2.20	Sale ²⁵
ENVISION SOLAR INTERNATIONAL, INC. COMMON STOCK / CUSIP: 29413Q201 / Symbol:							
07/13/20	30.000	352.50	07/10/20	356.85	2.90 W	-1.45	Sale ²⁵
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	5.000	64.05	07/10/20	57.72	...	6.33	1 of 2 - Sale ²⁵
	5.000	64.20	07/10/20	57.73	...	6.47	2 of 2 - Sale ²⁵
07/28/20	10.000	128.25	Various	115.45	...	12.80	Total of 2 transactions
08/13/20	10.000	129.20	07/15/20	113.75	...	15.45	Sale ²⁵

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ENVISION SOLAR INTERNATIONAL, INC. COMMON STOCK / CUSIP: 29413Q201 / Symbol: (cont'd)							
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	10.000	128.45	09/04/20	111.10	...	17.35	1 of 3 - Sale ²⁵
	25.000	298.13	09/04/20	277.75	...	20.38	2 of 3 - Sale ²⁵
	15.000	189.75	09/04/20	166.65	...	23.10	3 of 3 - Sale ²⁵
09/08/20	50.000	616.33	Various	555.50	...	60.83	Total of 3 transactions
	Security total:	1,226.28		1,141.55	2.90 W	87.63	
EVOFEM BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 30048L104 / Symbol:							
08/31/20	200.000	655.96	08/31/20	641.00	...	14.96	Sale ²⁵
EVOKE PHARMA, INC. COMMON STOC K / CUSIP: 30049G104 / Symbol:							
06/16/20	100.000	256.99	06/15/20	251.89	...	5.10	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
08/31/20	5.000	460.00	08/28/20	468.87	...	-8.87	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
17 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	12.82	07/31/20	12.78	...	0.04	1 of 17 - Sale ²⁵
	1.000	12.82	07/31/20	12.49	...	0.33	2 of 17 - Sale ²⁵
	1.000	12.82	07/31/20	12.49	...	0.33	3 of 17 - Sale ²⁵
	1.000	12.82	07/31/20	12.49	...	0.33	4 of 17 - Sale ²⁵
	2.000	25.64	07/31/20	24.96	...	0.68	5 of 17 - Sale ²⁵
	2.000	25.64	07/31/20	24.52	...	1.12	6 of 17 - Sale ²⁵
	2.000	25.64	07/31/20	23.94	...	1.70	7 of 17 - Sale ²⁵
	10.000	128.19	07/31/20	125.00	...	3.19	8 of 17 - Sale ²⁵
	2.000	27.69	07/31/20	23.94	...	3.75	9 of 17 - Sale ²⁵
	15.000	192.29	07/31/20	184.51	...	7.78	10 of 17 - Sale ²⁵
	1.000	13.84	08/05/20	13.20	...	0.64	11 of 17 - Sale ²⁵
	3.000	41.53	08/05/20	40.19	...	1.34	12 of 17 - Sale ²⁵
	10.000	138.45	08/05/20	128.86	...	9.59	13 of 17 - Sale ²⁵
	10.000	138.45	08/05/20	127.66	...	10.79	14 of 17 - Sale ²⁵
	6.000	83.07	08/05/20	71.01	...	12.06	15 of 17 - Sale ²⁵
	10.000	138.44	08/05/20	125.86	...	12.58	16 of 17 - Sale ²⁵

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(continued)

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FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd)							
11/09/20	8.000	110.76	08/10/20	97.28	...	13.48	17 of 17 - Sale ²⁵
11/09/20	85.000	1,140.91	Various	1,061.18	...	79.73	Total of 17 transactions
	3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	5.000	64.10	07/31/20	64.15	0.05 W	0.00	1 of 3 - Sale ²⁵
	5.000	64.10	07/31/20	64.15	0.05 W	0.00	2 of 3 - Sale ²⁵
	5.000	64.10	07/31/20	64.15	0.05 W	0.00	3 of 3 - Sale ²⁵
11/09/20	15.000	192.30	Various	192.45	0.15 W	0.00	Total of 3 transactions
	2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	2.000	30.30	08/10/20	24.32	...	5.98	1 of 2 - Sale ²⁵
11/12/20	48.000	727.18	08/11/20	586.08	...	141.10	2 of 2 - Sale ²⁵
11/12/20	50.000	757.48	Various	610.40	...	147.08	Total of 2 transactions
	5 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	22.000	381.03	08/11/20	268.62	...	112.41	1 of 5 - Sale ²⁵
	2.000	34.64	08/13/20	24.40	...	10.24	2 of 5 - Sale ²⁵
	10.000	173.20	08/13/20	122.80	...	50.40	3 of 5 - Sale ²⁵
	3.000	51.96	08/14/20	36.24	...	15.72	4 of 5 - Sale ²⁵
	3.000	51.96	08/17/20	37.92	...	14.04	5 of 5 - Sale ²⁵
11/13/20	40.000	692.79	Various	489.98	...	202.81	Total of 5 transactions
	9 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	7.000	115.22	08/17/20	88.48	...	26.74	1 of 9 - Sale ²⁵
	10.000	164.61	08/18/20	125.85	...	38.76	2 of 9 - Sale ²⁵
	10.000	164.61	08/18/20	124.40	...	40.21	3 of 9 - Sale ²⁵
	10.000	164.61	08/19/20	124.35	...	40.26	4 of 9 - Sale ²⁵
	20.000	329.21	08/19/20	251.40	...	77.81	5 of 9 - Sale ²⁵
	3.000	49.38	08/20/20	36.53	...	12.85	6 of 9 - Sale ²⁵
	6.000	98.76	08/20/20	73.29	...	25.47	7 of 9 - Sale ²⁵
	14.000	230.45	08/27/20	178.92	...	51.53	8 of 9 - Sale ²⁵
	20.000	329.21	08/27/20	259.10	...	70.11	9 of 9 - Sale ²⁵
11/20/20	100.000	1,646.06	Various	1,262.32	...	383.74	Total of 9 transactions
	8 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	19.02	08/27/20	12.78	...	6.24	1 of 8 - Sale ²⁵
	4.000	76.08	09/24/20	50.46	...	25.62	2 of 8 - Sale ²⁵
	6.000	114.12	09/24/20	78.75	...	35.37	3 of 8 - Sale ²⁵

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FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd)							
	13.000	245.43	10/20/20	154.96	...	90.47	4 of 8 - Sale ²⁵
	27.000	513.52	10/20/20	321.84	...	191.68	5 of 8 - Sale ²⁵
	60.000	1,136.36	10/20/20	715.20	...	421.16	6 of 8 - Sale ²⁵
	20.000	377.59	10/21/20	238.80	...	138.79	7 of 8 - Sale ²⁵
	17.000	320.95	10/22/20	194.14	...	126.81	8 of 8 - Sale ²⁵
11/25/20	148.000	2,803.07	Various	1,766.93	...	1,036.14	Total of 8 transactions
	3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	3.000	51.79	10/22/20	34.26	...	17.53	1 of 3 - Sale ²⁵
	8.000	138.10	10/22/20	89.40	...	48.70	2 of 3 - Sale ²⁵
	14.000	241.67	10/22/20	159.25	...	82.42	3 of 3 - Sale ²⁵
12/23/20	25.000	431.56	Various	282.91	...	148.65	Total of 3 transactions
	Security total:	7,664.17		5,666.17	0.15 W	1,998.15	
FORTRESS VALUE ACQUISITION COR P. / CUSIP: 34962V106 / Symbol:							
07/23/20	5.000	74.60	07/22/20	73.75	...	0.85	Sale ²⁵
FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	2.000	29.26	06/08/20	27.40	...	1.86	1 of 3 - Sale ²⁵
	50.000	731.48	06/08/20	684.00	...	47.48	2 of 3 - Sale ²⁵
	98.000	1,433.69	06/08/20	1,342.60	...	91.09	3 of 3 - Sale ²⁵
06/09/20	150.000	2,194.43	Various	2,054.00	...	140.43	Total of 3 transactions
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	3.000	41.10	06/09/20	44.82	3.72 W	0.00	1 of 2 - Sale ²⁵
	4.000	54.81	06/09/20	60.72	5.91 W	0.00	2 of 2 - Sale ²⁵
06/11/20	7.000	95.91	Various	105.54	9.63 W	0.00	Total of 2 transactions
	3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	3.000	39.33	06/12/20	37.73	...	1.60	1 of 3 - Sale ²⁵
	13.000	170.42	06/12/20	168.80	...	1.62	2 of 3 - Sale ²⁵
	20.000	262.19	06/12/20	258.60	...	3.59	3 of 3 - Sale ²⁵
06/15/20	36.000	471.94	Various	465.13	...	6.81	Total of 3 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol: (cont'd)							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	3.000	39.33	06/12/20	42.68	3.35 W	0.00	1 of 2 - Sale ²⁵
	4.000	52.44	06/12/20	57.85	5.41 W	0.00	2 of 2 - Sale ²⁵
06/15/20	7.000	91.77	Various	100.53	8.76 W	0.00	Total of 2 transactions
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	4.000	65.80	06/15/20	63.39	...	2.41	1 of 3 - Sale ²⁵
	3.000	49.35	06/15/20	46.84	...	2.51	2 of 3 - Sale ²⁵
	43.000	707.33	06/15/20	623.28	...	84.05	3 of 3 - Sale ²⁵
06/23/20	50.000	822.48	Various	733.51	...	88.97	Total of 3 transactions
5 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	11.000	160.60	06/24/20	170.06	9.46 W	0.00	1 of 5 - Sale ²⁵
	9.000	131.40	06/24/20	139.14	7.74 W	0.00	2 of 5 - Sale ²⁵
	5.000	74.75	07/07/20	83.05	8.30 W	0.00	3 of 5 - Sale ²⁵
	3.000	44.85	07/08/20	49.68	4.83 W	0.00	4 of 5 - Sale ²⁵
	2.000	29.90	07/13/20	31.38	1.48 W	0.00	5 of 5 - Sale ²⁵
07/20/20	30.000	441.50	Various	473.31	31.81 W	0.00	Total of 5 transactions
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	18.000	262.07	07/14/20	243.00	...	19.07	1 of 3 - Sale ²⁵
	10.000	145.59	07/15/20	144.00	...	1.59	2 of 3 - Sale ²⁵
	2.000	29.12	07/22/20	27.75	...	1.37	3 of 3 - Sale ²⁵
07/27/20	30.000	436.78	Various	414.75	...	22.03	Total of 3 transactions
10 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	14.10	07/13/20	15.69	1.59 W	0.00	1 of 10 - Sale ²⁵
	7.000	98.70	07/13/20	109.83	11.13 W	0.00	2 of 10 - Sale ²⁵
	2.000	28.20	07/14/20	32.28	4.08 W	0.00	3 of 10 - Sale ²⁵
	3.000	43.68	07/14/20	48.42	4.74 W	0.00	4 of 10 - Sale ²⁵
	3.000	43.68	07/14/20	48.27	4.59 W	0.00	5 of 10 - Sale ²⁵
	2.000	29.12	07/14/20	30.68	1.56 W	0.00	6 of 10 - Sale ²⁵
	1.000	14.56	07/14/20	16.02	1.46 W	0.00	7 of 10 - Sale ²⁵
	7.000	101.92	07/14/20	112.14	10.22 W	0.00	8 of 10 - Sale ²⁵
	2.000	29.12	07/14/20	30.34	1.22 W	0.00	9 of 10 - Sale ²⁵
	2.000	29.12	07/14/20	31.08	1.96 W	0.00	10 of 10 - Sale ²⁵
07/27/20	30.000	432.20	Various	474.75	42.55 W	0.00	Total of 10 transactions

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(continued)

02/14/2021

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FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol: (cont'd)							
11 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	15.68	07/22/20	15.52	...	0.16	1 of 11 - Sale ²⁵
	1.000	15.68	07/22/20	15.40	...	0.28	2 of 11 - Sale ²⁵
	2.000	31.36	07/22/20	30.91	...	0.45	3 of 11 - Sale ²⁵
	3.000	47.05	07/22/20	46.41	...	0.64	4 of 11 - Sale ²⁵
	2.000	31.36	07/22/20	29.84	...	1.52	5 of 11 - Sale ²⁵
	2.000	31.36	07/22/20	29.44	...	1.92	6 of 11 - Sale ²⁵
	7.000	109.78	07/22/20	107.80	...	1.98	7 of 11 - Sale ²⁵
	2.000	31.36	07/22/20	29.10	...	2.26	8 of 11 - Sale ²⁵
	2.000	31.36	07/22/20	27.88	...	3.48	9 of 11 - Sale ²⁵
	10.000	156.83	07/22/20	139.60	...	17.23	10 of 11 - Sale ²⁵
	18.000	282.28	07/23/20	244.35	...	37.93	11 of 11 - Sale ²⁵
07/28/20	50.000	784.10	Various	716.25	...	67.85	Total of 11 transactions
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	29.87	07/23/20	27.15	...	2.72	1 of 3 - Sale ²⁵
	10.000	143.55	07/23/20	136.00	...	7.55	2 of 3 - Sale ²⁵
	8.000	119.48	07/23/20	108.80	...	10.68	3 of 3 - Sale ²⁵
07/31/20	20.000	292.90	Various	271.95	...	20.95	Total of 3 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	12.000	169.88	07/23/20	163.20	...	6.68	1 of 2 - Sale ²⁵
	20.000	283.12	07/24/20	264.00	...	19.12	2 of 2 - Sale ²⁵
08/03/20	32.000	453.00	Various	427.20	...	25.80	Total of 2 transactions
	Security total:	6,517.01		6,236.92	92.75 W	372.84	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	10.000	123.10	10/16/20	120.65	...	2.45	1 of 2 - Sale ²⁵
	10.000	125.70	10/16/20	120.65	...	5.05	2 of 2 - Sale ²⁵
10/19/20	20.000	248.80	Various	241.30	...	7.50	Total of 2 transactions
11/16/20	20.000	339.30	11/12/20	315.38	...	23.92	Sale ²⁵
12/21/20	10.000	472.50	11/13/20	153.40	...	319.10	Sale ²⁵
12/23/20	10.000	550.98	11/13/20	153.40	...	397.58	Sale ²⁵
	Security total:	1,611.58		863.48	...	748.10	

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Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	50.000	815.98	12/07/20	822.50	...	-6.52	1 of 3 - Sale ²⁵
	25.000	406.25	12/07/20	411.25	...	-5.00	2 of 3 - Sale ²⁵
	25.000	425.75	12/07/20	411.25	...	14.50	3 of 3 - Sale ²⁵
12/08/20	100.000	1,647.98	Various	1,645.00	...	2.98	Total of 3 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
06/02/20	10.000	69.83	05/28/20	68.20	...	1.63	Sale ²⁵
4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	14.814	96.33	06/24/20	97.57	...	-1.24	1 of 4 - Sale ²⁵
	10.000	65.03	06/24/20	65.87	...	-0.84	2 of 4 - Sale ²⁵
	0.183	1.20	06/24/20	1.23	...	-0.03	3 of 4 - Sale ²⁵
	0.186	1.21	06/24/20	1.22	...	-0.01	4 of 4 - Sale ²⁵
06/25/20	25.183	163.77	Various	165.89	...	-2.12	Total of 4 transactions
06/25/20	50.000	325.12	06/24/20	329.35	0.02 W	-4.21	Sale ²⁵
	Security total:	558.72		563.44	0.02 W	-4.70	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	100.000	299.99	06/18/20	280.00	...	19.99	1 of 2 - Sale ²⁵
	50.000	149.99	06/19/20	147.50	...	2.49	2 of 2 - Sale ²⁵
06/23/20	150.000	449.98	Various	427.50	...	22.48	Total of 2 transactions
GIGCAPITAL2, INC. COMMON STOCK / CUSIP: 375036100 / Symbol:							
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	10.000	107.20	12/07/20	114.67	7.47 W	0.00	1 of 3 - Sale ²⁵
	30.000	321.58	12/07/20	350.10	28.52 W	0.00	2 of 3 - Sale ²⁵
	10.000	107.20	12/07/20	112.90	5.70 W	0.00	3 of 3 - Sale ²⁵
12/23/20	50.000	535.98	Various	577.67	41.69 W	0.00	Total of 3 transactions
12/28/20	10.000	115.37	12/08/20	113.57	...	1.80	Sale ²⁵
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	10.000	115.37	12/07/20	120.37	5.00 W	0.00	1 of 3 - Sale ²⁵
	10.000	115.37	12/07/20	122.41	7.04 W	0.00	2 of 3 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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GIGCAPITAL2, INC. COMMON STOCK / CUSIP: 375036100 / Symbol: (cont'd)							
	20.000	230.73	12/08/20	234.75	4.02 W	0.00	3 of 3 - Sale ²⁵
12/28/20	40.000	461.47	Various	477.53	16.06 W	0.00	Total of 3 transactions
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	10.000	110.40	12/09/20	114.03	...	-3.63	1 of 4 - Sale ²⁵
	10.000	110.40	12/09/20	111.99	...	-1.59	2 of 4 - Sale ²⁵
	20.000	220.79	12/09/20	218.00	...	2.79	3 of 4 - Sale ²⁵
	10.000	110.39	12/09/20	106.99	...	3.40	4 of 4 - Sale ²⁵
12/29/20	50.000	551.98	Various	551.01	...	0.97	Total of 4 transactions
	Security total:	1,664.80		1,719.78	57.75 W	2.77	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
05/05/20	1.000	3.30	03/11/20	3.31	...	-0.01	Sale ²⁵
GRAF INDUSTRIAL CORP. COMMON S TOCK / CUSIP: 384278107 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	3.000	55.20	06/26/20	43.50	...	11.70	1 of 2 - Sale ²⁵
	37.000	680.78	06/26/20	536.50	...	144.28	2 of 2 - Sale ²⁵
07/02/20	40.000	735.98	Various	580.00	...	155.98	Total of 2 transactions
07/31/20	10.000	150.30	06/26/20	145.00	...	5.30	Sale ²⁵
08/13/20	10.000	180.30	06/29/20	148.20	...	32.10	Sale ²⁵
08/14/20	10.000	175.01	06/29/20	148.20	...	26.81	Sale ²⁵
08/25/20	20.000	381.00	07/01/20	277.48	...	103.52	Sale ²⁵
4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	23.00	07/31/20	13.97	...	9.03	1 of 4 - Sale ²⁵
	4.000	92.40	07/31/20	55.90	...	36.50	2 of 4 - Sale ²⁵
	5.000	116.00	07/31/20	69.88	...	46.12	3 of 4 - Sale ²⁵
	10.000	230.00	07/31/20	135.80	...	94.20	4 of 4 - Sale ²⁵
09/01/20	20.000	461.40	Various	275.55	...	185.85	Total of 4 transactions
09/22/20	10.000	236.26	09/11/20	280.25	43.99 W	0.00	Sale ²⁵
	Security total:	2,320.25		1,854.68	43.99 W	509.56	

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(continued)

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HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	31.350	188.10	06/30/20	316.80	128.70 W	0.00	1 of 2 - Sale ²⁵
	38.650	231.89	06/30/20	391.38	159.49 W	0.00	2 of 2 - Sale ²⁵
07/08/20	70.000	419.99	Various	708.18	288.19 W	0.00	Total of 2 transactions
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	8.750	56.00	06/30/20	120.29	...	-64.29	1 of 2 - Sale ²⁵
	1.250	8.00	06/30/20	17.79	...	-9.79	2 of 2 - Sale ²⁵
07/20/20	10.000	64.00	Various	138.08	...	-74.08	Total of 2 transactions
07/21/20	1.000	6.50	06/30/20	13.75	...	-7.25	Sale ²⁵
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	18.750	107.81	06/30/20	257.76	...	-149.95	1 of 2 - Sale ²⁵
	1.250	7.19	06/30/20	16.49	...	-9.30	2 of 2 - Sale ²⁵
07/23/20	20.000	115.00	Various	274.25	...	-159.25	Total of 2 transactions
4 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	25.475	137.56	06/30/20	336.63	...	-199.07	1 of 4 - Sale ²⁵
	31.000	167.40	06/30/20	282.15	...	-114.75	2 of 4 - Sale ²⁵
	13.175	71.14	06/30/20	174.29	...	-103.15	3 of 4 - Sale ²⁵
	0.350	1.89	06/30/20	4.62	...	-2.73	4 of 4 - Sale ²⁵
07/24/20	70.000	377.99	Various	797.69	...	-419.70	Total of 4 transactions
	Security total:	983.48		1,931.95	288.19 W	-660.28	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
08/11/20	10.000	108.55	08/10/20	110.70	2.15 W	0.00	Sale ²⁵
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	10.000	104.40	08/18/20	108.05	...	-3.65	1 of 3 - Sale ²⁵
	5.000	52.20	08/18/20	52.95	...	-0.75	2 of 3 - Sale ²⁵
	5.000	52.20	08/18/20	52.95	...	-0.75	3 of 3 - Sale ²⁵
08/20/20	20.000	208.80	Various	213.95	...	-5.15	Total of 3 transactions
	Security total:	317.35		324.65	2.15 W	-5.15	
HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol:							
05/28/20	1.000	2.89	05/27/20	2.89	...	0.00	Sale ²⁵

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U209 / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	10.000	19.21	09/29/20	59.50	...	-40.29	1 of 2 - Sale ²⁵
	10.000	19.21	09/29/20	35.00	...	-15.79	2 of 2 - Sale ²⁵
10/13/20	20.000	38.42	Various	94.50	...	-56.08	Total of 2 transactions
HUYA INC. / CUSIP: 44852D108 / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	5.000	110.07	10/13/20	108.24	...	1.83	1 of 3 - Sale ²⁵
	5.000	110.07	10/13/20	107.94	...	2.13	2 of 3 - Sale ²⁵
	5.000	110.06	10/13/20	107.35	...	2.71	3 of 3 - Sale ²⁵
10/14/20	15.000	330.20	Various	323.53	...	6.67	Total of 3 transactions
10/16/20	10.000	218.70	10/13/20	216.30	...	2.40	Sale ²⁵
10/16/20	10.000	218.70	10/13/20	220.48	1.78 W	0.00	Sale ²⁵
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	10.000	219.15	10/20/20	220.87	...	-1.72	1 of 2 - Sale ²⁵
	10.000	219.16	10/20/20	219.09	...	0.07	2 of 2 - Sale ²⁵
10/21/20	20.000	438.31	Various	439.96	...	-1.65	Total of 2 transactions
	Security total:	1,205.91		1,200.27	1.78 W	7.42	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	5.000	199.94	06/26/20	120.75	...	79.19	1 of 3 - Sale ²⁵
	1.000	39.99	07/07/20	26.25	...	13.74	2 of 3 - Sale ²⁵
	9.000	359.90	07/07/20	250.03	...	109.87	3 of 3 - Sale ²⁵
10/05/20	15.000	599.83	Various	397.03	...	202.80	Total of 3 transactions
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	30.13	07/13/20	26.96	...	3.17	1 of 3 - Sale ²⁵
	9.000	271.16	07/13/20	250.11	...	21.05	2 of 3 - Sale ²⁵
	10.000	301.29	07/14/20	237.00	...	64.29	3 of 3 - Sale ²⁵
10/07/20	20.000	602.58	Various	514.07	...	88.51	Total of 3 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol: (cont'd)							
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	5.000	126.45	07/17/20	119.30	...	7.15	1 of 2 - Sale ²⁵
	5.000	126.45	07/17/20	111.45	...	15.00	2 of 2 - Sale ²⁵
11/17/20	10.000	252.90	Various	230.75	...	22.15	Total of 2 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	25.63	07/17/20	22.53	...	3.10	1 of 3 - Sale ²⁵
	9.000	230.71	07/17/20	202.50	...	28.21	2 of 3 - Sale ²⁵
	15.000	384.52	07/20/20	328.88	...	55.64	3 of 3 - Sale ²⁵
11/24/20	25.000	640.86	Various	553.91	...	86.95	Total of 3 transactions
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	194.85	07/20/20	216.40	...	-21.55	1 of 3 - Sale ²⁵
	10.000	194.85	07/20/20	215.20	...	-20.35	2 of 3 - Sale ²⁵
	5.000	97.43	07/20/20	109.62	...	-12.19	3 of 3 - Sale ²⁵
12/07/20	25.000	487.13	Various	541.22	...	-54.09	Total of 3 transactions
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	40.000	680.40	07/24/20	720.79	...	-40.39	1 of 2 - Sale ²⁵
	10.000	170.11	10/02/20	389.95	...	-219.84	2 of 2 - Sale ²⁵
12/11/20	50.000	850.51	Various	1,110.74	...	-260.23	Total of 2 transactions
	Security total:	3,433.81		3,347.72	...	86.09	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
06/18/20	200.000	304.98	06/17/20	304.00	...	0.98	Sale ²⁵
08/17/20	100.000	245.09	08/11/20	294.50	22.67 W	-26.74	Sale ²⁵
08/18/20	50.000	126.50	08/11/20	147.25	...	-20.75	Sale ²⁵
08/19/20	50.000	121.25	08/11/20	147.25	...	-26.00	Sale ²⁵
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	44.899	90.92	09/09/20	120.07	...	-29.15	1 of 2 - Sale ²⁵
	0.977	1.98	09/09/20	2.60	...	-0.62	2 of 2 - Sale ²⁵
09/10/20	45.876	92.90	Various	122.67	...	-29.77	Total of 2 transactions
	Security total:	890.72		1,015.67	22.67 W	-102.28	

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
<i>4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	415.95	06/16/20	302.50	...	113.45	1 of 4 - Sale ²⁵
	250.000	537.46	06/16/20	302.50	...	234.96	2 of 4 - Sale ²⁵
	250.000	537.46	06/17/20	532.50	...	4.96	3 of 4 - Sale ²⁵
	250.000	537.46	06/17/20	489.98	...	47.48	4 of 4 - Sale ²⁵
06/17/20	1,000.000	2,028.33	Various	1,627.48	...	400.85	Total of 4 transactions
06/17/20	791.000	1,700.51	06/17/20	1,840.10	139.59 W	0.00	Sale ²⁵
<i>4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	27.27	06/17/20	20.94	...	6.33	1 of 4 - Sale ²⁵
	100.000	319.99	06/17/20	232.63	...	87.36	2 of 4 - Sale ²⁵
	100.000	325.00	06/17/20	232.63	...	92.37	3 of 4 - Sale ²⁵
	191.000	578.69	06/17/20	398.51	...	180.18	4 of 4 - Sale ²⁵
06/22/20	400.000	1,250.95	Various	884.71	...	366.24	Total of 4 transactions
<i>5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.87	06/17/20	2.03	...	-1.16	1 of 5 - Sale ²⁵
	100.000	87.01	06/23/20	311.65	...	-224.64	2 of 5 - Sale ²⁵
	50.000	43.51	06/23/20	156.32	...	-112.81	3 of 5 - Sale ²⁵
	50.000	43.50	06/24/20	133.32	...	-89.82	4 of 5 - Sale ²⁵
	91.000	79.18	06/30/20	194.88	...	-115.70	5 of 5 - Sale ²⁵
09/23/20	292.000	254.07	Various	798.20	...	-544.13	Total of 5 transactions
<i>2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	199.000	173.16	06/17/20	403.27	220.86 W	-9.25	1 of 2 - Sale ²⁵
	9.000	7.83	06/17/20	18.78	10.95 W	0.00	2 of 2 - Sale ²⁵
09/23/20	208.000	180.99	Various	422.05	231.81 W	-9.25	Total of 2 transactions
<i>3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	91.000	136.49	06/30/20	195.33	...	-58.84	1 of 3 - Sale ²⁵
	100.000	149.99	06/30/20	197.00	...	-47.01	2 of 3 - Sale ²⁵
	9.000	13.50	06/30/20	19.27	...	-5.77	3 of 3 - Sale ²⁵
11/20/20	200.000	299.98	Various	411.60	...	-111.62	Total of 3 transactions
<i>4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	10.50	06/30/20	7.88	...	2.62	1 of 4 - Sale ²⁵
	5.000	13.12	06/30/20	9.85	...	3.27	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	100.000	262.48	08/13/20	135.50	...	126.98	3 of 4 - Sale ²⁵
	91.000	238.86	08/21/20	114.21	...	124.65	4 of 4 - Sale ²⁵
11/23/20	200.000	524.96	Various	267.44	...	257.52	Total of 4 transactions
	3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	29.000	109.91	08/21/20	36.39	...	73.52	1 of 3 - Sale ²⁵
	9.000	34.11	08/25/20	22.34	...	11.77	2 of 3 - Sale ²⁵
	12.000	45.48	08/25/20	29.06	...	16.42	3 of 3 - Sale ²⁵
11/24/20	50.000	189.50	Various	87.79	...	101.71	Total of 3 transactions
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	79.000	163.61	08/25/20	191.28	...	-27.67	1 of 2 - Sale ²⁵
	100.000	207.10	09/02/20	237.13	...	-30.03	2 of 2 - Sale ²⁵
12/09/20	179.000	370.71	Various	428.41	...	-57.70	Total of 2 transactions
	Security total:	6,800.00		6,767.78	371.40 W	403.62	
IMMURON LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45254U101 / Symbol:							
06/10/20	1.000	13.67	06/09/20	16.19	...	-2.52	Sale ²⁵
07/23/20	2.000	28.22	07/21/20	38.04	...	-9.82	Sale ²⁵
08/17/20	8.000	84.16	07/21/20	152.16	...	-68.00	Sale ²⁵
	Security total:	126.05		206.39	...	-80.34	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
06/25/20	20.000	579.98	06/23/20	361.60	...	218.38	Sale ²⁵
	4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	2.000	48.03	06/29/20	56.60	8.57 W	0.00	1 of 4 - Sale ²⁵
	25.000	600.32	06/29/20	726.50	126.18 W	0.00	2 of 4 - Sale ²⁵
	10.000	240.13	06/29/20	286.70	46.57 W	0.00	3 of 4 - Sale ²⁵
	3.000	72.04	06/30/20	76.14	4.10 W	0.00	4 of 4 - Sale ²⁵
07/09/20	40.000	960.52	Various	1,145.94	185.42 W	0.00	Total of 4 transactions
	3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	2.000	51.61	06/30/20	59.33	7.72 W	0.00	1 of 3 - Sale ²⁵
	5.000	129.01	06/30/20	152.14	23.13 W	0.00	2 of 3 - Sale ²⁵
	13.000	335.43	06/30/20	398.54	63.11 W	0.00	3 of 3 - Sale ²⁵
07/13/20	20.000	516.05	Various	610.01	93.96 W	0.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
5 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	25.75	07/01/20	24.63	...	1.12	1 of 5 - Sale ²⁵
	2.000	51.50	07/01/20	47.27	...	4.23	2 of 5 - Sale ²⁵
	2.000	51.50	07/01/20	47.05	...	4.45	3 of 5 - Sale ²⁵
	3.000	77.28	07/01/20	70.58	...	6.70	4 of 5 - Sale ²⁵
	5.000	128.75	07/01/20	122.02	...	6.73	5 of 5 - Sale ²⁵
07/15/20	13.000	334.78	Various	311.55	...	23.23	Total of 5 transactions
07/15/20	7.000	180.32	06/30/20	214.60	34.28 W	0.00	Sale ²⁵
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	5.000	120.92	07/01/20	122.16	...	-1.24	1 of 2 - Sale ²⁵
	1.000	24.19	07/01/20	21.14	...	3.05	2 of 2 - Sale ²⁵
07/16/20	6.000	145.11	Various	143.30	...	1.81	Total of 2 transactions
07/16/20	4.000	96.73	07/01/20	98.52	1.34 W	-0.45	Sale ²⁵
4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	7.000	179.54	07/01/20	172.11	...	7.43	1 of 4 - Sale ²⁵
	8.000	205.19	07/01/20	196.36	...	8.83	2 of 4 - Sale ²⁵
	2.000	51.30	07/01/20	42.29	...	9.01	3 of 4 - Sale ²⁵
	3.000	76.95	07/01/20	61.59	...	15.36	4 of 4 - Sale ²⁵
07/20/20	20.000	512.98	Various	472.35	...	40.63	Total of 4 transactions
	Security total:	3,326.47		3,357.87	315.00 W	283.60	
INSURANCE ACQUISITION CORP. CL ASS A COMMON STOCK / CUSIP: 457867109 / Symbol:							
09/11/20	20.000	262.00	09/10/20	265.14	...	-3.14	Sale ²⁵
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	100.000	141.00	05/19/20	128.00	...	13.00	1 of 2 - Sale ²⁵
	100.000	141.00	05/20/20	149.00	...	-8.00	2 of 2 - Sale ²⁵
05/20/20	200.000	282.00	Various	277.00	...	5.00	Total of 2 transactions

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(continued)

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	19.000	81.32	06/09/20	119.84	...	-38.52	1 of 2 - Sale ²⁵
	1.000	4.28	06/09/20	6.31	...	-2.03	2 of 2 - Sale ²⁵
06/11/20	20.000	85.60	Various	126.15	...	-40.55	Total of 2 transactions
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	50.000	809.11	06/10/20	910.00	...	-100.89	1 of 2 - Sale ²⁵
	20.000	321.45	06/10/20	364.00	...	-42.55	2 of 2 - Sale ²⁵
06/11/20	70.000	1,130.56	Various	1,274.00	...	-143.44	Total of 2 transactions
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
09/01/20	20.000	526.81	08/31/20	526.77	...	0.04	3- Proceeds from collectibles [X] Sale ²⁵
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	10.000	223.30	09/21/20	228.95	...	-5.65	1 of 2 - Sale ²⁵
	8.000	178.64	09/21/20	183.36	...	-4.72	2 of 2 - Sale ²⁵
10/01/20	18.000	401.94	Various	412.31	...	-10.37	3- Proceeds from collectibles [X] Total of 2 transactions
	Security total:	928.75		939.08	...	-10.33	
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	10.000	21.70	06/09/20	23.75	2.05 W	0.00	1 of 2 - Sale ²⁵
	10.000	21.70	06/09/20	22.20	0.50 W	0.00	2 of 2 - Sale ²⁵
06/11/20	20.000	43.40	Various	45.95	2.55 W	0.00	Total of 2 transactions
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	10.000	27.10	06/11/20	24.45	...	2.65	1 of 3 - Sale ²⁵
	10.000	27.10	06/11/20	22.90	...	4.20	2 of 3 - Sale ²⁵
	100.000	271.00	06/11/20	229.99	...	41.01	3 of 3 - Sale ²⁵
06/12/20	120.000	325.20	Various	277.34	...	47.86	Total of 3 transactions

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IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	36.000	65.52	06/12/20	61.20	...	4.32	1 of 3 - Sale ²⁵
	64.000	116.49	06/12/20	109.44	...	7.05	2 of 3 - Sale ²⁵
	100.000	182.01	06/12/20	170.00	...	12.01	3 of 3 - Sale ²⁵
06/15/20	200.000	364.02	Various	340.64	...	23.38	Total of 3 transactions
06/23/20	50.000	56.50	06/22/20	63.25	...	-6.75	Sale ²⁵
	Security total:	789.12		727.18	2.55 W	64.49	
KENSINGTON CAPITAL ACQUISITION CORP. / CUSIP: 490073103 / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	20.000	362.00	09/15/20	380.70	18.70 W	0.00	1 of 2 - Sale ²⁵
	10.000	181.00	09/15/20	181.40	0.40 W	0.00	2 of 2 - Sale ²⁵
09/28/20	30.000	543.00	Various	562.10	19.10 W	0.00	Total of 2 transactions
5 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	10.000	136.00	09/23/20	182.75	...	-46.75	1 of 5 - Sale ²⁵
	5.000	68.00	09/23/20	112.28	...	-44.28	2 of 5 - Sale ²⁵
	10.000	136.00	09/23/20	173.80	...	-37.80	3 of 5 - Sale ²⁵
	5.000	68.00	10/05/20	99.81	...	-31.81	4 of 5 - Sale ²⁵
	5.000	68.00	10/05/20	74.24	...	-6.24	5 of 5 - Sale ²⁵
10/22/20	35.000	476.00	Various	642.88	...	-166.88	Total of 5 transactions
10/22/20	10.000	140.10	09/17/20	191.25	51.15 W	0.00	Sale ²⁵
	Security total:	1,159.10		1,396.23	70.25 W	-166.88	
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	14.20	06/29/20	15.48	1.28 W	0.00	1 of 2 - Sale ²⁵
	29.000	411.66	06/29/20	448.92	37.26 W	0.00	2 of 2 - Sale ²⁵
07/10/20	30.000	425.86	Various	464.40	38.54 W	0.00	Total of 2 transactions
07/17/20	39.000	551.83	07/06/20	538.59	...	13.24	Sale ²⁵
6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	20.000	282.99	06/29/20	309.60	26.61 W	0.00	1 of 6 - Sale ²⁵
	1.000	14.15	06/30/20	16.27	2.12 W	0.00	2 of 6 - Sale ²⁵
	19.000	268.84	06/30/20	309.22	40.38 W	0.00	3 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
	10.000	141.49	07/01/20	164.85	23.36 W	0.00	4 of 6 - Sale ²⁵
	10.000	141.50	07/01/20	152.00	10.50 W	0.00	5 of 6 - Sale ²⁵
	1.000	14.15	07/06/20	15.14	0.99 W	0.00	6 of 6 - Sale ²⁵
07/17/20	61.000	863.12	Various	967.08	103.96 W	0.00	Total of 6 transactions
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	1.000	14.29	07/06/20	15.97	1.68 W	0.00	1 of 2 - Sale ²⁵
	9.000	128.61	07/06/20	136.62	8.01 W	0.00	2 of 2 - Sale ²⁵
07/20/20	10.000	142.90	Various	152.59	9.69 W	0.00	Total of 2 transactions
08/14/20	10.000	130.10	07/06/20	151.81	21.71 W	0.00	Sale ²⁵
	3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	10.000	141.35	07/06/20	160.25	18.90 W	0.00	1 of 3 - Sale ²⁵
	9.000	127.22	07/07/20	140.63	13.41 W	0.00	2 of 3 - Sale ²⁵
	1.000	14.13	07/07/20	15.84	1.71 W	0.00	3 of 3 - Sale ²⁵
08/18/20	20.000	282.70	Various	316.72	34.02 W	0.00	Total of 3 transactions
08/20/20	9.000	128.79	07/07/20	125.01	...	3.78	Sale ²⁵
08/20/20	1.000	14.31	07/07/20	14.68	0.37 W	0.00	Sale ²⁵
	2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	16.47	07/07/20	13.99	...	2.48	1 of 2 - Sale ²⁵
	9.000	148.18	07/07/20	138.02	...	10.16	2 of 2 - Sale ²⁵
08/25/20	10.000	164.65	Various	152.01	...	12.64	Total of 2 transactions
	3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	4.000	73.14	07/07/20	51.10	...	22.04	1 of 3 - Sale ²⁵
	10.000	182.85	07/07/20	140.50	...	42.35	2 of 3 - Sale ²⁵
	11.000	201.14	07/07/20	140.58	...	60.56	3 of 3 - Sale ²⁵
09/15/20	25.000	457.13	Various	332.18	...	124.95	Total of 3 transactions
	12 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	5.000	69.12	07/07/20	63.90	...	5.22	1 of 12 - Sale ²⁵
	10.000	138.23	07/08/20	120.00	...	18.23	2 of 12 - Sale ²⁵
	4.000	55.29	07/13/20	51.32	...	3.97	3 of 12 - Sale ²⁵
	6.000	82.94	07/13/20	76.98	...	5.96	4 of 12 - Sale ²⁵
	10.000	135.95	08/11/20	144.71	...	-8.76	5 of 12 - Sale ²⁵
	5.000	67.97	08/11/20	70.95	...	-2.98	6 of 12 - Sale ²⁵
	5.000	69.11	08/11/20	70.95	...	-1.84	7 of 12 - Sale ²⁵

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Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
	4.000	54.38	08/11/20	55.40	...	-1.02	8 of 12 - Sale ²⁵
	5.000	67.97	08/11/20	68.95	...	-0.98	9 of 12 - Sale ²⁵
	1.000	13.59	08/11/20	14.07	...	-0.48	10 of 12 - Sale ²⁵
	1.000	13.59	08/11/20	12.73	...	0.86	11 of 12 - Sale ²⁵
	19.000	258.31	08/11/20	234.84	...	23.47	12 of 12 - Sale ²⁵
10/07/20	75.000	1,026.45	Various	984.80	...	41.65	Total of 12 transactions
	Security total:	4,187.84		4,199.87	208.29 W	196.26	
LIBERTY MEDIA CORPORATION SERIES A LIBERTY SIRIUSXM COMMON STOCK / CUSIP: 531229409 / Symbol:							
06/23/20	1.000	35.37	06/22/20	35.70	0.33 W	0.00	Sale ²⁵
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	2.000	69.62	06/22/20	71.40	...	-1.78	1 of 3 - Sale ²⁵
	3.000	104.43	06/22/20	105.97	...	-1.54	2 of 3 - Sale ²⁵
	1.000	34.81	06/22/20	36.03	...	-1.22	3 of 3 - Sale ²⁵
06/24/20	6.000	208.86	Various	213.40	...	-4.54	Total of 3 transactions
	Security total:	244.23		249.10	0.33 W	-4.54	
LILIS ENERGY INC COMMON STOCK / CUSIP: 532403201 / Symbol:							
06/30/20	1,000.000	55.88	06/23/20	319.90	...	-264.02	Sale ²⁵
LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol:							
	3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	10.000	106.91	11/23/20	104.85	...	2.06	1 of 3 - Sale ²⁵
	50.000	527.51	11/23/20	524.25	...	3.26	2 of 3 - Sale ²⁵
	15.000	171.05	11/23/20	157.28	...	13.77	3 of 3 - Sale ²⁵
11/24/20	75.000	805.47	Various	786.38	...	19.09	Total of 3 transactions
THE LOVESAC COMPANY COMMON STOCK / CUSIP: 54738L109 / Symbol:							
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	3.000	53.37	06/02/20	51.73	...	1.64	1 of 2 - Sale ²⁵
	12.000	215.40	06/02/20	206.90	...	8.50	2 of 2 - Sale ²⁵
06/03/20	15.000	268.77	Various	258.63	...	10.14	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
06/19/20	20.000	377.00	06/16/20	389.77	12.77 W	0.00	Sale ²⁵
	3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	20.000	360.00	06/16/20	395.97	35.97 W	0.00	1 of 3 - Sale ²⁵
	7.000	126.01	06/18/20	130.27	4.26 W	0.00	2 of 3 - Sale ²⁵
	3.000	54.00	06/18/20	61.23	7.23 W	0.00	3 of 3 - Sale ²⁵
06/22/20	30.000	540.01	Various	587.47	47.46 W	0.00	Total of 3 transactions
	5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	10.000	184.49	06/18/20	204.08	...	-19.59	1 of 5 - Sale ²⁵
	7.000	129.15	06/19/20	140.68	...	-11.53	2 of 5 - Sale ²⁵
	3.000	55.35	06/19/20	62.13	...	-6.78	3 of 5 - Sale ²⁵
	7.000	129.14	06/19/20	132.35	...	-3.21	4 of 5 - Sale ²⁵
	3.000	55.35	06/19/20	54.89	...	0.46	5 of 5 - Sale ²⁵
06/23/20	30.000	553.48	Various	594.13	...	-40.65	Total of 5 transactions
	Security total:	1,470.49		1,571.37	60.23 W	-40.65	
MARIN SOFTWARE INCORPORATED COMMON STOCK / CUSIP: 56804T205 / Symbol:							
10/21/20	100.000	467.99	10/21/20	493.00	...	-25.01	Sale ²⁵
MEDIACO HOLDING INC. CLASS A COMMON STOCK / CUSIP: 58450D104 / Symbol:							
	3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	47.000	336.05	06/16/20	338.40	...	-2.35	1 of 3 - Sale ²⁵
	3.000	21.25	06/16/20	21.42	...	-0.17	2 of 3 - Sale ²⁵
	3.000	21.45	06/16/20	21.42	...	0.03	3 of 3 - Sale ²⁵
06/17/20	53.000	378.75	Various	381.24	...	-2.49	Total of 3 transactions
06/17/20	47.000	332.76	06/16/20	335.58	2.82 W	0.00	Sale ²⁵
	Security total:	711.51		716.82	2.82 W	-2.49	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
07/06/20	25.000	1,267.47	07/01/20	1,269.75	...	-2.28	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
05/20/20	2.000	146.90	05/19/20	156.77	4.93 W	-4.94	Sale ²⁵
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	2.000	105.10	05/19/20	156.77	...	-51.67	1 of 2 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	1.000	52.55	05/19/20	82.50	...	-29.95	2 of 2 - Sale ²⁵
05/27/20	3.000	157.65	Various	239.27	...	-81.62	Total of 2 transactions
	Security total:	304.55		396.04	4.93 W	-86.56	
MULTIPLAN CORPORATION / CUSIP: 62548M100 / Symbol:							
5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	8.000	68.98	09/23/20	97.44	...	-28.46	1 of 5 - Sale ²⁵
	10.000	86.22	09/23/20	110.80	...	-24.58	2 of 5 - Sale ²⁵
	7.000	60.35	09/23/20	77.28	...	-16.93	3 of 5 - Sale ²⁵
	3.000	25.87	09/23/20	33.36	...	-7.49	4 of 5 - Sale ²⁵
	2.000	17.24	09/23/20	24.54	...	-7.30	5 of 5 - Sale ²⁵
10/13/20	30.000	258.66	Various	343.42	...	-84.76	Total of 5 transactions
NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
6 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	188.49	06/17/20	408.71	...	-220.22	1 of 6 - Sale ²⁵
	50.000	188.50	06/17/20	305.96	...	-117.46	2 of 6 - Sale ²⁵
	50.000	186.49	06/17/20	284.46	...	-97.97	3 of 6 - Sale ²⁵
	50.000	188.54	06/17/20	181.50	...	7.04	4 of 6 - Sale ²⁵
	200.000	754.15	06/17/20	720.00	...	34.15	5 of 6 - Sale ²⁵
	600.000	2,237.87	06/17/20	2,178.00	...	59.87	6 of 6 - Sale ²⁵
06/17/20	1,000.000	3,744.04	Various	4,078.63	...	-334.59	Total of 6 transactions
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	188.75	06/17/20	313.00	124.25 W	0.00	1 of 4 - Sale ²⁵
	50.000	188.54	06/17/20	313.00	124.46 W	0.00	2 of 4 - Sale ²⁵
	50.000	188.54	06/17/20	415.75	227.21 W	0.00	3 of 4 - Sale ²⁵
	50.000	188.54	06/17/20	291.50	102.96 W	0.00	4 of 4 - Sale ²⁵
06/17/20	200.000	754.37	Various	1,333.25	578.88 W	0.00	Total of 4 transactions
08/18/20	6.000	14.31	08/13/20	22.68	...	-8.37	Sale ²⁵
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	10.000	23.85	08/13/20	76.50	52.65 W	0.00	1 of 2 - Sale ²⁵
	4.000	9.54	08/13/20	15.12	5.58 W	0.00	2 of 2 - Sale ²⁵
08/18/20	14.000	33.39	Various	91.62	58.23 W	0.00	Total of 2 transactions

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NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol: (cont'd)							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	10.000	25.10	08/13/20	90.45	...	-65.35	1 of 3 - Sale ²⁵
	4.000	10.04	08/13/20	20.70	...	-10.66	2 of 3 - Sale ²⁵
	6.000	15.06	08/13/20	22.68	...	-7.62	3 of 3 - Sale ²⁵
08/19/20	20.000	50.20	Various	133.83	...	-83.63	Total of 3 transactions
	Security total:	4,596.31		5,660.01	637.11 W	-426.59	
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
05/26/20	1.000	2.93	05/21/20	3.16	...	-0.23	Sale ²⁵
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
11/25/20	50.000	594.45	11/24/20	609.46	15.01 W	0.00	Sale ²⁵
11/27/20	5.000	58.26	11/24/20	58.45	...	-0.19	Sale ²⁵
11/27/20	25.000	291.32	11/24/20	307.22	3.18 W	-12.72	Sale ²⁵
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	20.000	222.23	11/24/20	233.80	...	-11.57	1 of 2 - Sale ²⁵
	5.000	55.56	11/24/20	60.13	...	-4.57	2 of 2 - Sale ²⁵
12/01/20	25.000	277.79	Various	293.93	...	-16.14	Total of 2 transactions
	Security total:	1,221.82		1,269.06	18.19 W	-29.05	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
06/09/20	1.000	88.45	06/08/20	86.67	...	1.78	Sale ²⁵
06/09/20	1.000	88.45	06/08/20	92.88	4.43 W	0.00	Sale ²⁵
06/10/20	2.000	146.58	06/08/20	185.76	39.18 W	0.00	Sale ²⁵
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	53.05	07/07/20	51.43	...	1.62	1 of 3 - Sale ²⁵
	5.000	265.24	07/07/20	225.00	...	40.24	2 of 3 - Sale ²⁵
	7.000	371.34	07/07/20	329.00	...	42.34	3 of 3 - Sale ²⁵
07/14/20	13.000	689.63	Various	605.43	...	84.20	Total of 3 transactions
07/14/20	2.000	106.10	07/07/20	133.18	27.08 W	0.00	Sale ²⁵
07/21/20	2.000	79.32	07/17/20	80.05	...	-0.73	Sale ²⁵

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	8.000	319.12	07/17/20	320.20	0.41 W	-0.67	1 of 2 - Sale ²⁵
	2.000	79.78	07/17/20	107.13	27.35 W	0.00	2 of 2 - Sale ²⁵
07/21/20	10.000	398.90	Various	427.33	27.76 W	-0.67	Total of 2 transactions
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	3.000	106.95	07/17/20	120.08	...	-13.13	1 of 3 - Sale ²⁵
	2.000	71.30	07/20/20	107.93	...	-36.63	2 of 3 - Sale ²⁵
	3.000	106.95	07/20/20	121.28	...	-14.33	3 of 3 - Sale ²⁵
08/03/20	8.000	285.20	Various	349.29	...	-64.09	Total of 3 transactions
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	3.000	109.20	09/10/20	120.54	11.34 W	0.00	1 of 2 - Sale ²⁵
	2.000	68.28	09/10/20	80.36	12.08 W	0.00	2 of 2 - Sale ²⁵
09/11/20	5.000	177.48	Various	200.90	23.42 W	0.00	Total of 2 transactions
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	3.000	92.43	09/11/20	111.27	...	-18.84	1 of 2 - Sale ²⁵
	2.000	61.62	09/11/20	78.70	...	-17.08	2 of 2 - Sale ²⁵
09/14/20	5.000	154.05	Various	189.97	...	-35.92	Total of 2 transactions
	Security total:	2,214.16		2,351.46	121.87 W	-15.43	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	0.669	2.92	06/24/20	2.82	...	0.10	1 of 2 - Sale ²⁵
	22.991	100.54	06/24/20	97.18	...	3.36	2 of 2 - Sale ²⁵
06/26/20	23.659	103.46	Various	100.00	...	3.46	Total of 2 transactions
06/30/20	50.000	216.15	06/29/20	218.85	...	-2.70	Sale ²⁵
	Security total:	319.61		318.85	...	0.76	
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	2.43	07/21/20	2.13	...	0.30	1 of 2 - Sale ²⁵
	99.000	222.74	07/21/20	210.37	...	12.37	2 of 2 - Sale ²⁵
07/22/20	100.000	225.17	Various	212.50	...	12.67	Total of 2 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol: (cont'd)							
07/23/20	50.000	108.25	07/22/20	106.00	...	2.25	Sale ²⁵
12/04/20	1,000.000	388.93	11/25/20	415.00	...	-26.07	Sale ²⁵
	Security total:	722.35		733.50	...	-11.15	
NORTHERN GENESIS ACQUISITION C ORP. / CUSIP: 66516T104 / Symbol:							
11/20/20	100.000	1,055.99	11/20/20	1,057.94	...	-1.95	Sale ²⁵
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	86.99	06/09/20	101.00	...	-14.01	1 of 2 - Sale ²⁵
	100.000	86.99	06/09/20	101.00	...	-14.01	2 of 2 - Sale ²⁵
06/11/20	200.000	173.98	Various	202.00	...	-28.02	Total of 2 transactions
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
07/13/20	200.000	103.24	07/10/20	102.98	...	0.26	Sale ²⁵
07/23/20	100.000	78.10	07/14/20	51.29	...	26.81	Sale ²⁵
4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1,000.000	625.77	12/04/20	625.70	...	0.07	1 of 4 - Sale ²⁵
	1,000.000	625.77	12/04/20	619.80	...	5.97	2 of 4 - Sale ²⁵
	500.000	312.88	12/04/20	290.25	...	22.63	3 of 4 - Sale ²⁵
	800.000	500.61	12/04/20	475.84	...	24.77	4 of 4 - Sale ²⁵
12/07/20	3,300.000	2,065.03	Various	2,011.59	...	53.44	Total of 4 transactions
	Security total:	2,246.37		2,165.86	...	80.51	
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
8 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	100.000	127.99	06/09/20	174.00	46.01 W	0.00	1 of 8 - Sale ²⁵
	200.000	265.98	06/09/20	348.00	82.02 W	0.00	2 of 8 - Sale ²⁵
	200.000	259.96	06/09/20	348.00	88.04 W	0.00	3 of 8 - Sale ²⁵
	100.000	129.98	06/09/20	197.99	68.01 W	0.00	4 of 8 - Sale ²⁵
	100.000	129.99	06/09/20	192.99	63.00 W	0.00	5 of 8 - Sale ²⁵
	100.000	127.99	06/09/20	192.99	65.00 W	0.00	6 of 8 - Sale ²⁵
	100.000	128.49	06/09/20	196.00	67.51 W	0.00	7 of 8 - Sale ²⁵

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Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol: (cont'd)							
	100.000	110.19	06/09/20	196.00	85.81 W	0.00	8 of 8 - Sale ²⁵
06/12/20	1,000.000	1,280.57	Various	1,845.97	565.40 W	0.00	Total of 8 transactions
	3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	100.000	129.99	06/10/20	175.00	...	-45.01	1 of 3 - Sale ²⁵
	100.000	133.99	06/10/20	178.01	...	-44.02	2 of 3 - Sale ²⁵
	100.000	133.99	06/10/20	173.00	...	-39.01	3 of 3 - Sale ²⁵
06/16/20	300.000	397.97	Various	526.01	...	-128.04	Total of 3 transactions
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	100.000	113.19	06/10/20	195.81	...	-82.62	1 of 2 - Sale ²⁵
	100.000	113.19	06/10/20	177.51	...	-64.32	2 of 2 - Sale ²⁵
06/18/20	200.000	226.38	Various	373.32	...	-146.94	Total of 2 transactions
	Security total:	1,904.92		2,745.30	565.40 W	-274.98	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
	5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	500.000	111.49	06/23/20	144.50	...	-33.01	1 of 5 - Sale ²⁵
	299.000	66.67	06/23/20	84.80	...	-18.13	2 of 5 - Sale ²⁵
	100.000	22.30	06/23/20	28.36	...	-6.06	3 of 5 - Sale ²⁵
	100.000	22.30	06/23/20	28.36	...	-6.06	4 of 5 - Sale ²⁵
	1.000	0.22	06/23/20	0.28	...	-0.06	5 of 5 - Sale ²⁵
06/29/20	1,000.000	222.98	Various	286.30	...	-63.32	Total of 5 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
	4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	40.000	45.39	07/27/20	50.00	...	-4.61	1 of 4 - Sale ²⁵
	10.000	11.35	07/27/20	12.50	...	-1.15	2 of 4 - Sale ²⁵
	10.000	11.35	07/27/20	12.50	...	-1.15	3 of 4 - Sale ²⁵
	20.000	22.50	07/27/20	23.60	...	-1.10	4 of 4 - Sale ²⁵
07/28/20	80.000	90.59	Various	98.60	...	-8.01	Total of 4 transactions
	2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	30.000	33.74	07/27/20	35.85	0.70 W	-1.41	1 of 2 - Sale ²⁵
	50.000	56.25	07/27/20	59.75	3.50 W	0.00	2 of 2 - Sale ²⁵
07/28/20	80.000	89.99	Various	95.60	4.20 W	-1.41	Total of 2 transactions

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Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	600.000	152.38	08/31/20	153.96	...	-1.58	1 of 2 - Sale ²⁵
	400.000	102.19	08/31/20	102.64	...	-0.45	2 of 2 - Sale ²⁵
09/10/20	1,000.000	254.57	Various	256.60	...	-2.03	Total of 2 transactions
	Security total:	435.15		450.80	4.20 W	-11.45	
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
09/21/20	3.000	184.40	09/16/20	188.16	...	-3.76	Sale ²⁵
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	38.000	38.00	07/09/20	37.62	...	0.38	1 of 3 - Sale ²⁵
	62.000	61.99	07/09/20	61.38	...	0.61	2 of 3 - Sale ²⁵
	100.000	102.99	07/09/20	99.00	...	3.99	3 of 3 - Sale ²⁵
07/10/20	200.000	202.98	Various	198.00	...	4.98	Total of 3 transactions
ORCHARD THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 68570P101 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	62.20	08/26/20	60.15	...	2.05	1 of 2 - Sale ²⁵
	10.000	62.20	08/26/20	52.90	...	9.30	2 of 2 - Sale ²⁵
08/31/20	20.000	124.40	Various	113.05	...	11.35	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	64.00	08/25/20	71.25	7.25 W	0.00	1 of 2 - Sale ²⁵
	40.000	248.80	08/25/20	285.00	36.20 W	0.00	2 of 2 - Sale ²⁵
08/31/20	50.000	312.80	Various	356.25	43.45 W	0.00	Total of 2 transactions
09/02/20	40.000	223.99	08/26/20	208.54	...	15.45	Sale ²⁵
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	30.000	167.99	08/26/20	185.85	17.86 W	0.00	1 of 4 - Sale ²⁵
	10.000	56.00	08/26/20	61.18	5.18 W	0.00	2 of 4 - Sale ²⁵
	10.000	56.00	08/31/20	57.40	1.40 W	0.00	3 of 4 - Sale ²⁵
	10.000	55.99	08/31/20	57.50	1.51 W	0.00	4 of 4 - Sale ²⁵
09/02/20	60.000	335.98	Various	361.93	25.95 W	0.00	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ORCHARD THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 68570P101 / Symbol: (cont'd)							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	10.000	59.71	09/01/20	57.00	...	2.71	1 of 2 - Sale ²⁵
09/03/20	40.000	226.80	09/01/20	222.40	...	4.40	2 of 2 - Sale ²⁵
	50.000	286.51	Various	279.40	...	7.11	Total of 2 transactions
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	10.000	59.72	09/01/20	60.78	1.06 W	0.00	1 of 3 - Sale ²⁵
	30.000	179.14	09/01/20	184.66	5.52 W	0.00	2 of 3 - Sale ²⁵
09/03/20	10.000	56.70	09/01/20	57.11	0.41 W	0.00	3 of 3 - Sale ²⁵
	50.000	295.56	Various	302.55	6.99 W	0.00	Total of 3 transactions
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	10.000	53.00	09/04/20	55.41	2.41 W	0.00	1 of 3 - Sale ²⁵
	30.000	159.00	09/04/20	168.57	9.57 W	0.00	2 of 3 - Sale ²⁵
09/08/20	10.000	53.00	09/04/20	54.76	1.76 W	0.00	3 of 3 - Sale ²⁵
	50.000	265.00	Various	278.74	13.74 W	0.00	Total of 3 transactions
4 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	30.000	161.70	09/04/20	167.67	...	-5.97	1 of 4 - Sale ²⁵
	10.000	53.90	09/04/20	55.11	...	-1.21	2 of 4 - Sale ²⁵
	10.000	53.90	09/04/20	54.46	...	-0.56	3 of 4 - Sale ²⁵
09/10/20	20.000	107.79	09/04/20	106.00	...	1.79	4 of 4 - Sale ²⁵
	70.000	377.29	Various	383.24	...	-5.95	Total of 4 transactions
	Security total:	2,221.53		2,283.70	90.13 W	27.96	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	30.000	647.98	06/01/20	550.29	...	97.69	1 of 2 - Sale ²⁵
06/02/20	70.000	1,523.85	06/01/20	1,284.00	...	239.85	2 of 2 - Sale ²⁵
	100.000	2,171.83	Various	1,834.29	...	337.54	Total of 2 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10/07/20	5.000	51.93	09/30/20	54.23	...	-2.30	Sale ²⁵

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
06/10/20	300.000	571.44	06/09/20	645.00	24.52 W	-49.04	Sale ²⁵
	<i>2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>						
	200.000	337.96	06/09/20	430.00	...	-92.04	1 of 2 - Sale ²⁵
	100.000	168.98	06/09/20	220.52	...	-51.54	2 of 2 - Sale ²⁵
06/11/20	300.000	506.94	Various	650.52	...	-143.58	Total of 2 transactions
	Security total:	1,078.38		1,295.52	24.52 W	-192.62	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
05/08/20	5.000	215.00	05/06/20	185.00	...	30.00	Sale ²⁵
05/15/20	4.000	195.08	05/06/20	148.00	...	47.08	Sale ²⁵
05/20/20	1.000	45.16	05/06/20	37.00	...	8.16	Sale ²⁵
11/10/20	4.000	419.44	11/09/20	411.60	...	7.84	Sale ²⁵
	Security total:	874.68		781.60	...	93.08	
PERCEPTRON, INC. COMMON STOCK / CUSIP: 71361F100 / Symbol:							
07/20/20	30.000	147.00	07/14/20	168.60	...	-21.60	Sale ²⁵
PLATINUM GROUP METALS LTD. / CUSIP: 72765Q882 / Symbol:							
09/29/20	50.000	102.00	09/28/20	107.60	...	-5.60	Sale ²⁵
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
	<i>2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>						
	87.000	53.06	07/23/20	60.02	...	-6.96	1 of 2 - Sale ²⁵
	13.000	7.95	07/23/20	8.97	...	-1.02	2 of 2 - Sale ²⁵
07/24/20	100.000	61.01	Various	68.99	...	-7.98	Total of 2 transactions
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
	<i>3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>						
	25.000	549.99	05/27/20	547.25	...	2.74	1 of 3 - Sale ²⁵
	25.000	549.98	05/27/20	537.00	...	12.98	2 of 3 - Sale ²⁵
	25.000	574.73	05/27/20	536.99	...	37.74	3 of 3 - Sale ²⁵
05/28/20	75.000	1,674.70	Various	1,621.24	...	53.46	Total of 3 transactions

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PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol: (cont'd)							
<i>2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	574.41	06/08/20	565.54	...	8.87	1 of 2 - Sale ²⁵
	30.000	861.62	06/08/20	848.10	...	13.52	2 of 2 - Sale ²⁵
06/09/20	50.000	1,436.03	Various	1,413.64	...	22.39	Total of 2 transactions
<i>4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	88.35	06/22/20	92.88	...	-4.53	1 of 4 - Sale ²⁵
	10.000	294.49	06/23/20	301.10	...	-6.61	2 of 4 - Sale ²⁵
	4.000	117.80	06/23/20	122.96	...	-5.16	3 of 4 - Sale ²⁵
	10.000	294.49	06/24/20	273.69	...	20.80	4 of 4 - Sale ²⁵
06/29/20	27.000	795.13	Various	790.63	...	4.50	Total of 4 transactions
	Security total:	3,905.86		3,825.51	...	80.35	
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
08/11/20	800.000	301.74	08/06/20	264.64	...	37.10	Sale ²⁵
RH / CUSIP: 74967X103 / Symbol:							
10/08/20	1.000	371.78	10/07/20	375.64	...	-3.86	Sale ²⁵
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
06/01/20	4.000	258.08	04/27/20	257.60	...	0.48	Sale ²⁵
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
05/22/20	1.000	1.87	05/20/20	1.85	...	0.02	Sale ²⁵
<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	8.63	06/03/20	8.22	...	0.41	1 of 2 - Sale ²⁵
	7.000	20.15	06/03/20	19.18	...	0.97	2 of 2 - Sale ²⁵
06/04/20	10.000	28.78	Various	27.40	...	1.38	Total of 2 transactions
<i>3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	117.74	06/18/20	116.75	...	0.99	1 of 3 - Sale ²⁵
	100.000	235.48	06/22/20	234.50	...	0.98	2 of 3 - Sale ²⁵
	100.000	235.48	06/22/20	233.50	...	1.98	3 of 3 - Sale ²⁵
06/30/20	250.000	588.70	Various	584.75	...	3.95	Total of 3 transactions

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	10.000	23.55	06/16/20	25.40	1.85 W	0.00	1 of 4 - Sale ²⁵
	10.000	23.55	06/16/20	26.55	3.00 W	0.00	2 of 4 - Sale ²⁵
	100.000	235.48	06/17/20	239.50	4.02 W	0.00	3 of 4 - Sale ²⁵
	20.000	47.09	06/22/20	47.40	0.31 W	0.00	4 of 4 - Sale ²⁵
06/30/20	140.000	329.67	Various	338.85	9.18 W	0.00	Total of 4 transactions
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	80.000	147.59	07/06/20	181.62	17.02 W	-17.01	1 of 3 - Sale ²⁵
	10.000	18.45	07/06/20	24.15	5.70 W	0.00	2 of 3 - Sale ²⁵
	10.000	18.45	07/06/20	25.30	6.85 W	0.00	3 of 3 - Sale ²⁵
07/31/20	100.000	184.49	Various	231.07	29.57 W	-17.01	Total of 3 transactions
5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	40.000	74.81	07/08/20	89.02	...	-14.21	1 of 5 - Sale ²⁵
	10.000	18.70	07/08/20	24.80	...	-6.10	2 of 5 - Sale ²⁵
	10.000	18.70	07/08/20	23.65	...	-4.95	3 of 5 - Sale ²⁵
	20.000	37.41	07/08/20	36.70	...	0.71	4 of 5 - Sale ²⁵
	20.000	37.41	07/08/20	36.21	...	1.20	5 of 5 - Sale ²⁵
08/11/20	100.000	187.03	Various	210.38	...	-23.35	Total of 5 transactions
	Security total:	1,320.54		1,394.30	38.75 W	-35.01	
RESTORBIO, INC. COMMON STOCK / CUSIP: 76133L103 / Symbol:							
07/07/20	70.000	166.59	07/06/20	163.79	...	2.80	Sale ²⁵
07/07/20	200.000	475.96	07/06/20	477.84	0.94 W	-0.94	Sale ²⁵
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	2.38	07/07/20	2.32	...	0.06	1 of 2 - Sale ²⁵
	99.000	233.72	07/07/20	229.49	...	4.23	2 of 2 - Sale ²⁵
07/09/20	100.000	236.10	Various	231.81	...	4.29	Total of 2 transactions
	Security total:	878.65		873.44	0.94 W	6.15	

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RIGEL PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 766559603 / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	10.000	24.50	07/14/20	44.65	...	-20.15	1 of 2 - Sale ²⁵
	10.000	24.50	07/16/20	35.40	...	-10.90	2 of 2 - Sale ²⁵
08/03/20	20.000	49.00	Various	80.05	...	-31.05	Total of 2 transactions
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	10.000	295.50	08/27/20	268.65	...	26.85	1 of 2 - Sale ²⁵
	10.000	318.64	08/27/20	273.70	...	44.94	2 of 2 - Sale ²⁵
09/02/20	20.000	614.14	Various	542.35	...	71.79	Total of 2 transactions
SALEM MEDIA GROUP, INC. CLASS A COMMON STOCK / CUSIP: 794093104 / Symbol:							
07/20/20	10.000	20.50	07/17/20	19.15	...	1.35	Sale ²⁵
SATSUMA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 80405P107 / Symbol:							
09/14/20	50.000	240.00	09/11/20	393.52	...	-153.52	Sale ²⁵
09/14/20	50.000	237.75	09/10/20	380.00	142.25 W	0.00	Sale ²⁵
	Security total:	477.75		773.52	142.25 W	-153.52	
SENMIAO TECHNOLOGY LIMITED COMMON STOCK / CUSIP: 817225105 / Symbol:							
10/22/20	250.000	282.62	10/22/20	252.50	...	30.12	Sale ²⁵
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
07/07/20	20.000	102.80	07/02/20	116.20	...	-13.40	Sale ²⁵
SHILOH INDUSTRIES, INC. COMMON STOCK / CUSIP: 824543102 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	50.000	109.49	07/10/20	92.50	...	16.99	1 of 2 - Sale ²⁵
	100.000	218.99	07/10/20	189.00	...	29.99	2 of 2 - Sale ²⁵
07/13/20	150.000	328.48	Various	281.50	...	46.98	Total of 2 transactions

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SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	100.000	138.98	06/22/20	312.00	...	-173.02	1 of 3 - Sale ²⁵
	30.000	41.70	06/22/20	81.00	...	-39.30	2 of 3 - Sale ²⁵
	20.000	27.80	06/22/20	50.40	...	-22.60	3 of 3 - Sale ²⁵
06/23/20	150.000	208.48	Various	443.40	...	-234.92	Total of 3 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	20.000	634.58	06/08/20	625.40	...	9.18	1 of 2 - Sale ²⁵
	50.000	1,586.46	06/08/20	1,542.50	...	43.96	2 of 2 - Sale ²⁵
06/09/20	70.000	2,221.04	Various	2,167.90	...	53.14	Total of 2 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	10.000	315.09	06/10/20	316.50	1.41 W	0.00	1 of 2 - Sale ²⁵
	30.000	945.28	06/10/20	949.50	4.22 W	0.00	2 of 2 - Sale ²⁵
06/10/20	40.000	1,260.37	Various	1,266.00	5.63 W	0.00	Total of 2 transactions
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	31.29	06/16/20	31.24	...	0.05	1 of 4 - Sale ²⁵
	9.000	281.63	06/16/20	281.17	...	0.46	2 of 4 - Sale ²⁵
	10.000	312.92	06/16/20	309.07	...	3.85	3 of 4 - Sale ²⁵
	20.000	625.83	06/29/20	620.81	...	5.02	4 of 4 - Sale ²⁵
06/30/20	40.000	1,251.67	Various	1,242.29	...	9.38	Total of 4 transactions
07/29/20	25.000	721.48	07/28/20	721.90	...	-0.42	Sale ²⁵
09/10/20	10.000	258.85	09/08/20	245.30	...	13.55	Sale ²⁵
09/14/20	10.000	256.40	09/08/20	245.30	...	11.10	Sale ²⁵
	Security total:	5,969.81		5,888.69	5.63 W	86.75	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
11/10/20	2.000	476.47	11/10/20	478.43	...	-1.96	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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SOLID BIOSCIENCES INC. COMMON STOCK / CUSIP: 83422E105 / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	30.000	153.60	10/01/20	167.81	...	-14.21	1 of 2 - Sale ²⁵
	20.000	102.65	10/01/20	111.87	...	-9.22	2 of 2 - Sale ²⁵
10/01/20	50.000	256.25	Various	279.68	...	-23.43	Total of 2 transactions
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
06/25/20	15.000	491.25	06/24/20	490.91	...	0.34	Sale ²⁵
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
07/27/20	10.000	136.50	07/09/20	132.05	...	4.45	Sale ²⁵
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	5.000	64.40	07/09/20	64.40	...	0.00	1 of 2 - Sale ²⁵
	20.000	257.59	07/24/20	257.30	...	0.29	2 of 2 - Sale ²⁵
08/25/20	25.000	321.99	Various	321.70	...	0.29	Total of 2 transactions
19 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	20.000	257.59	07/14/20	271.40	13.81 W	0.00	1 of 19 - Sale ²⁵
	10.000	128.80	07/15/20	140.00	11.20 W	0.00	2 of 19 - Sale ²⁵
	10.000	128.80	07/20/20	149.40	20.60 W	0.00	3 of 19 - Sale ²⁵
	20.000	257.59	07/22/20	277.50	19.91 W	0.00	4 of 19 - Sale ²⁵
	20.000	257.59	07/23/20	276.80	19.21 W	0.00	5 of 19 - Sale ²⁵
	10.000	128.79	07/23/20	138.00	9.21 W	0.00	6 of 19 - Sale ²⁵
	5.000	64.40	07/23/20	69.00	4.60 W	0.00	7 of 19 - Sale ²⁵
	5.000	64.40	07/23/20	69.00	4.60 W	0.00	8 of 19 - Sale ²⁵
	25.000	321.99	07/23/20	329.50	7.51 W	0.00	9 of 19 - Sale ²⁵
	2.000	25.76	07/23/20	26.32	0.56 W	0.00	10 of 19 - Sale ²⁵
	1.000	12.88	07/23/20	13.17	0.29 W	0.00	11 of 19 - Sale ²⁵
	1.000	12.88	07/23/20	13.17	0.29 W	0.00	12 of 19 - Sale ²⁵
	1.000	12.88	07/23/20	13.17	0.29 W	0.00	13 of 19 - Sale ²⁵
	2.000	25.76	07/23/20	26.36	0.60 W	0.00	14 of 19 - Sale ²⁵
	10.000	128.80	07/24/20	128.90	0.10 W	0.00	15 of 19 - Sale ²⁵
	10.000	128.79	07/28/20	139.40	10.61 W	0.00	16 of 19 - Sale ²⁵
	10.000	128.80	07/29/20	136.41	7.61 W	0.00	17 of 19 - Sale ²⁵
	10.000	128.79	07/31/20	138.20	9.41 W	0.00	18 of 19 - Sale ²⁵

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	3.000	38.64	07/31/20	43.35	4.71 W	0.00	19 of 19 - Sale ²⁵
08/25/20	175.000	2,253.93	Various	2,399.05	145.12 W	0.00	Total of 19 transactions
08/31/20	1.000	13.07	07/31/20	14.45	1.38 W	0.00	Sale ²⁵
5 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	4.000	55.76	07/31/20	51.13	...	4.63	1 of 5 - Sale ²⁵
	10.000	139.39	07/31/20	133.95	...	5.44	2 of 5 - Sale ²⁵
	10.000	139.40	07/31/20	133.86	...	5.54	3 of 5 - Sale ²⁵
	5.000	69.70	07/31/20	64.10	...	5.60	4 of 5 - Sale ²⁵
	15.000	209.09	07/31/20	190.21	...	18.88	5 of 5 - Sale ²⁵
10/07/20	44.000	613.34	Various	573.25	...	40.09	Total of 5 transactions
10/07/20	6.000	83.64	07/31/20	86.70	3.06 W	0.00	Sale ²⁵
	Security total:	3,422.47		3,527.20	149.56 W	44.83	
SPECTRUM BRANDS HOLDINGS, INC. / CUSIP: 84790A105 / Symbol:							
06/11/20	3.000	136.23	06/09/20	149.62	...	-13.39	Sale ²⁵
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
5 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	50.000	67.50	11/18/20	67.50	...	0.00	1 of 5 - Sale ²⁵
	50.000	68.00	11/18/20	67.50	...	0.50	2 of 5 - Sale ²⁵
	100.000	135.99	11/18/20	135.00	...	0.99	3 of 5 - Sale ²⁵
	100.000	137.99	11/18/20	135.00	...	2.99	4 of 5 - Sale ²⁵
	200.000	275.97	11/18/20	270.00	...	5.97	5 of 5 - Sale ²⁵
11/18/20	500.000	685.45	Various	675.00	...	10.45	Total of 5 transactions
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	200.000	289.98	11/18/20	270.00	...	19.98	1 of 2 - Sale ²⁵
	300.000	445.46	11/18/20	405.00	...	40.46	2 of 2 - Sale ²⁵
11/19/20	500.000	735.44	Various	675.00	...	60.44	Total of 2 transactions
	Security total:	1,420.89		1,350.00	...	70.89	

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	5.000	84.26	07/21/20	83.99	...	0.27	1 of 2 - Sale ²⁵
	20.000	337.03	07/21/20	335.97	...	1.06	2 of 2 - Sale ²⁵
07/22/20	25.000	421.29	Various	419.96	...	1.33	Total of 2 transactions
09/10/20	15.000	261.81	09/08/20	264.14	...	-2.33	Sale ²⁵
11/04/20	10.000	176.55	11/02/20	171.26	...	5.29	Sale ²⁵
	Security total:	859.65		855.36	...	4.29	
STABLE ROAD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 85236Q109 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	50.000	680.97	11/27/20	629.42	...	51.55	1 of 2 - Sale ²⁵
	50.000	680.98	11/27/20	620.00	...	60.98	2 of 2 - Sale ²⁵
11/30/20	100.000	1,361.95	Various	1,249.42	...	112.53	Total of 2 transactions
STAFFING 360 SOLUTIONS, INC. C OMMON STOCK (DE) / CUSIP: 852387307 / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	75.000	68.24	12/22/20	68.24	...	0.00	1 of 2 - Sale ²⁵
	425.000	386.74	12/22/20	386.71	...	0.03	2 of 2 - Sale ²⁵
12/23/20	500.000	454.98	Various	454.95	...	0.03	Total of 2 transactions
STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
12/09/20	25.000	377.00	12/07/20	392.50	15.50 W	0.00	Sale ²⁵
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	15.000	262.64	12/07/20	236.85	...	25.79	1 of 2 - Sale ²⁵
	25.000	437.74	12/07/20	410.25	...	27.49	2 of 2 - Sale ²⁵
12/11/20	40.000	700.38	Various	647.10	...	53.28	Total of 2 transactions
	Security total:	1,077.38		1,039.60	15.50 W	53.28	
SUNESIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 867328700 / Symbol:							
09/02/20	200.000	51.56	09/01/20	54.00	2.44 W	0.00	Sale ²⁵

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SUNESIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 867328874 / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	10.000	13.80	09/02/20	31.21	...	-17.41	1 of 2 - Sale ²⁵
	20.000	27.60	09/02/20	38.96	...	-11.36	2 of 2 - Sale ²⁵
09/10/20	30.000	41.40	Various	70.17	...	-28.77	Total of 2 transactions
09/10/20	30.000	42.15	09/01/20	81.00	12.95 W	-25.90	Sale ²⁵
	Security total:	83.55		151.17	12.95 W	-54.67	
SUPERCONDUCTOR TECHNOLOGIES IN C. COMMON STOCK / CUSIP: 867931602 / Symbol:							
07/14/20	500.000	210.02	07/06/20	258.95	...	-48.93	Sale ²⁵
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	248.000	109.09	07/06/20	128.44	...	-19.35	1 of 2 - Sale ²⁵
	2.000	0.89	07/06/20	1.04	...	-0.15	2 of 2 - Sale ²⁵
07/20/20	250.000	109.98	Various	129.48	...	-19.50	Total of 2 transactions
07/21/20	250.000	113.87	07/06/20	129.47	...	-15.60	Sale ²⁵
	Security total:	433.87		517.90	...	-84.03	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	100.000	249.99	09/23/20	395.00	...	-145.01	1 of 2 - Sale ²⁵
	100.000	249.99	09/23/20	263.00	...	-13.01	2 of 2 - Sale ²⁵
09/23/20	200.000	499.98	Various	658.00	...	-158.02	Total of 2 transactions
SURGERY PARTNERS, INC. COMMON STOCK / CUSIP: 86881A100 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	100.000	1,168.96	06/17/20	1,225.00	56.04 W	0.00	1 of 2 - Sale ²⁵
	20.000	233.80	06/18/20	245.01	11.21 W	0.00	2 of 2 - Sale ²⁵
07/01/20	120.000	1,402.76	Various	1,470.01	67.25 W	0.00	Total of 2 transactions
07/02/20	12.000	143.76	06/18/20	147.00	...	-3.24	Sale ²⁵
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	18.000	211.22	06/18/20	220.51	...	-9.29	1 of 3 - Sale ²⁵
	20.000	234.69	06/19/20	251.71	...	-17.02	2 of 3 - Sale ²⁵
	7.000	82.14	06/23/20	86.73	...	-4.59	3 of 3 - Sale ²⁵
07/06/20	45.000	528.05	Various	558.95	...	-30.90	Total of 3 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SURGERY PARTNERS, INC. COMMON STOCK / CUSIP: 86881A100 / Symbol: (cont'd)							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	18.000	210.33	06/23/20	223.03	...	-12.70	1 of 3 - Sale ²⁵
	13.000	151.78	06/23/20	161.08	...	-9.30	2 of 3 - Sale ²⁵
	12.000	140.10	06/23/20	148.68	...	-8.58	3 of 3 - Sale ²⁵
07/07/20	43.000	502.21	Various	532.79	...	-30.58	Total of 3 transactions
	Security total:	2,576.78		2,708.75	67.25 W	-64.72	
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
10/01/20	20.000	312.20	09/24/20	258.20	...	54.00	Sale ²⁵
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	10.000	166.10	11/23/20	152.80	...	13.30	1 of 2 - Sale ²⁵
	15.000	243.02	11/23/20	229.21	...	13.81	2 of 2 - Sale ²⁵
11/24/20	25.000	409.12	Various	382.01	...	27.11	Total of 2 transactions
TECK RESOURCES LIMITED / CUSIP: 878742204 / Symbol:							
11/23/20	50.000	774.02	11/20/20	756.75	...	17.27	Sale ²⁵
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	25.000	392.38	11/20/20	378.87	...	13.51	1 of 2 - Sale ²⁵
	25.000	392.75	11/20/20	378.88	...	13.87	2 of 2 - Sale ²⁵
11/24/20	50.000	785.13	Various	757.75	...	27.38	Total of 2 transactions
	Security total:	1,559.15		1,514.50	...	44.65	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
07/07/20	0.177	242.21	07/01/20	200.00	...	42.21	Sale ²⁵
09/23/20	1.000	381.80	09/08/20	361.45	...	20.35	Sale ²⁵
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	0.000	0.05	09/08/20	0.03	...	0.02	1 of 3 - Sale ²⁵
	0.278	183.75	09/08/20	99.97	...	83.78	2 of 3 - Sale ²⁵
	0.722	477.93	09/08/20	263.85	...	214.08	3 of 3 - Sale ²⁵
12/24/20	1.000	661.73	Various	363.85	...	297.88	Total of 3 transactions
	Security total:	1,285.74		925.30	...	360.44	

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TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	100.000	926.08	11/30/20	891.81	...	34.27	1 of 2 - Sale ²⁵
	100.000	926.09	11/30/20	868.93	...	57.16	2 of 2 - Sale ²⁵
11/30/20	200.000	1,852.17	Various	1,760.74	...	91.43	Total of 2 transactions
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
07/21/20	100.000	154.99	07/20/20	116.00	...	38.99	Sale ²⁵
07/21/20	100.000	154.99	07/20/20	179.87	24.88 W	0.00	Sale ²⁵
4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	100.000	149.12	07/21/20	167.89	...	-18.77	1 of 4 - Sale ²⁵
	50.000	74.56	07/21/20	75.01	...	-0.45	2 of 4 - Sale ²⁵
	50.000	74.56	07/21/20	75.00	...	-0.44	3 of 4 - Sale ²⁵
	50.000	74.56	07/21/20	74.00	...	0.56	4 of 4 - Sale ²⁵
07/23/20	250.000	372.80	Various	391.90	...	-19.10	Total of 4 transactions
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	100.000	148.99	07/21/20	174.88	25.89 W	0.00	1 of 2 - Sale ²⁵
	100.000	147.99	07/21/20	150.00	2.01 W	0.00	2 of 2 - Sale ²⁵
07/23/20	200.000	296.98	Various	324.88	27.90 W	0.00	Total of 2 transactions
	Security total:	979.76		1,012.65	52.78 W	19.89	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	19.20	06/26/20	21.80	2.60 W	0.00	1 of 4 - Sale ²⁵
	9.000	172.75	06/26/20	209.93	37.18 W	0.00	2 of 4 - Sale ²⁵
	1.000	19.18	06/26/20	23.32	4.14 W	0.00	3 of 4 - Sale ²⁵
	9.000	172.62	06/26/20	217.35	44.73 W	0.00	4 of 4 - Sale ²⁵
07/31/20	20.000	383.75	Various	472.40	88.65 W	0.00	Total of 4 transactions
08/13/20	15.000	338.25	06/26/20	362.25	24.00 W	0.00	Sale ²⁵
08/14/20	6.000	144.72	06/26/20	144.90	0.18 W	0.00	Sale ²⁵
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	5.000	190.30	06/26/20	120.75	...	69.55	1 of 2 - Sale ²⁵
	5.000	193.10	06/26/20	120.75	...	72.35	2 of 2 - Sale ²⁵
08/24/20	10.000	383.40	Various	241.50	...	141.90	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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TORTOISE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
09/28/20	5.000	257.93	06/26/20	120.75	...	137.18	Sale ²⁵
	Security total:	1,508.05		1,341.80	112.83 W	279.08	
TRINE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 89628U108 / Symbol:							
09/01/20	15.000	170.55	08/31/20	171.75	...	-1.20	Sale ²⁵
09/02/20	10.000	116.30	08/31/20	114.49	...	1.81	Sale ²⁵
09/03/20	5.000	58.17	08/31/20	57.25	...	0.92	Sale ²⁵
	Security total:	345.02		343.49	...	1.53	
T2 BIOSYSTEMS, INC. COMMON STOCK / CUSIP: 89853L104 / Symbol:							
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	100.000	139.99	07/01/20	209.00	69.01 W	0.00	1 of 2 - Sale ²⁵
	100.000	139.99	07/01/20	214.00	74.01 W	0.00	2 of 2 - Sale ²⁵
07/14/20	200.000	279.98	Various	423.00	143.02 W	0.00	Total of 2 transactions
	3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	100.000	181.99	07/01/20	283.01	...	-101.02	1 of 3 - Sale ²⁵
	100.000	181.99	07/02/20	215.01	...	-33.02	2 of 3 - Sale ²⁵
	50.000	90.99	07/02/20	70.50	...	20.49	3 of 3 - Sale ²⁵
07/16/20	250.000	454.97	Various	568.52	...	-113.55	Total of 3 transactions
	Security total:	734.95		991.52	143.02 W	-113.55	
TUSCAN HOLDINGS CORP. COMMON STOCK / CUSIP: 90069K104 / Symbol:							
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	25.000	369.49	12/09/20	377.86	5.02 W	-3.35	1 of 2 - Sale ²⁵
	25.000	369.49	12/09/20	372.11	2.62 W	0.00	2 of 2 - Sale ²⁵
12/21/20	50.000	738.98	Various	749.97	7.64 W	-3.35	Total of 2 transactions
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	15.000	243.23	12/09/20	222.77	...	20.46	1 of 2 - Sale ²⁵
	5.000	81.07	12/15/20	67.83	...	13.24	2 of 2 - Sale ²⁵
12/22/20	20.000	324.30	Various	290.60	...	33.70	Total of 2 transactions
	3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	5.000	92.20	12/15/20	68.97	...	23.23	1 of 3 - Sale ²⁵
	5.000	92.20	12/15/20	67.82	...	24.38	2 of 3 - Sale ²⁵

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(continued)

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TUSCAN HOLDINGS CORP. COMMON S TOCK / CUSIP: 90069K104 / Symbol: (cont'd)							
	10.000	202.40	12/15/20	137.95	...	64.45	3 of 3 - Sale ²⁵
12/23/20	20.000	386.80	Various	274.74	...	112.06	Total of 3 transactions
	Security total:	1,450.08		1,315.31	7.64 W	142.41	
ULTA BEAUTY, INC. COMMON STOCK / CUSIP: 90384S303 / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	241.50	05/26/20	232.70	...	8.80	1 of 2 - Sale ²⁵
	4.000	966.29	05/26/20	930.80	...	35.49	2 of 2 - Sale ²⁵
05/29/20	5.000	1,207.79	Various	1,163.50	...	44.29	Total of 2 transactions
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol:							
11/20/20	5.000	827.33	11/19/20	834.25	6.92 W	0.00	Sale ²⁵
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	2.000	340.91	11/19/20	336.47	...	4.44	1 of 2 - Sale ²⁵
	2.000	342.97	11/20/20	329.97	...	13.00	2 of 2 - Sale ²⁵
11/24/20	4.000	683.88	Various	666.44	...	17.44	Total of 2 transactions
11/24/20	3.000	503.16	11/19/20	504.70	1.03 W	-0.51	Sale ²⁵
	Security total:	2,014.37		2,005.39	7.95 W	16.93	
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
06/23/20	1.000	27.56	06/22/20	24.20	...	3.36	Sale ²⁵
10/26/20	10.000	49.77	10/22/20	59.43	...	-9.66	Sale ²⁵
	Security total:	77.33		83.63	...	-6.30	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
06/17/20	50.000	267.50	06/16/20	163.00	...	104.50	Sale ²⁵
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	20.82	06/18/20	19.70	...	1.12	1 of 2 - Sale ²⁵
	30.000	124.89	06/18/20	115.35	...	9.54	2 of 2 - Sale ²⁵
06/19/20	35.000	145.71	Various	135.05	...	10.66	Total of 2 transactions
	Security total:	413.21		298.05	...	115.16	

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VTV THERAPEUTICS INC. CLASS A COMMON STOCK / CUSIP: 918385105 / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	50.000	140.60	06/15/20	175.41	...	-34.81	1 of 2 - Sale ²⁵
	50.000	140.40	06/15/20	154.99	...	-14.59	2 of 2 - Sale ²⁵
06/16/20	100.000	281.00	Various	330.40	...	-49.40	Total of 2 transactions
06/16/20	50.000	132.50	06/15/20	155.00	22.50 W	0.00	Sale ²⁵
11/16/20	200.000	360.20	11/09/20	345.80	...	14.40	Sale ²⁵
	Security total:	773.70		831.20	22.50 W	-35.00	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
06/25/20	5.000	18.00	06/24/20	15.88	...	2.12	Sale ²⁵
07/01/20	5.000	40.22	06/30/20	39.70	...	0.52	Sale ²⁵
07/01/20	1.000	8.05	06/26/20	14.07	6.02 W	0.00	Sale ²⁵
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	16.13	07/15/20	21.79	...	-5.66	1 of 3 - Sale ²⁵
	9.000	145.22	07/15/20	141.93	...	3.29	2 of 3 - Sale ²⁵
	10.000	161.35	07/15/20	146.30	...	15.05	3 of 3 - Sale ²⁵
07/20/20	20.000	322.70	Various	310.02	...	12.68	Total of 3 transactions
09/15/20	10.000	76.20	09/14/20	59.20	...	17.00	Sale ²⁵
	Security total:	465.17		438.87	6.02 W	32.32	
VECTIOQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
05/13/20	50.000	1,655.96	05/13/20	1,515.50	...	140.46	Sale ²⁵
06/02/20	5.000	163.05	06/02/20	167.98	4.93 W	0.00	Sale ²⁵
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	10.000	329.99	06/02/20	335.95	...	-5.96	1 of 5 - Sale ²⁵
	5.000	165.00	06/02/20	168.06	...	-3.06	2 of 5 - Sale ²⁵
	1.000	33.00	06/02/20	31.38	...	1.62	3 of 5 - Sale ²⁵
	5.000	164.99	06/02/20	163.12	...	1.87	4 of 5 - Sale ²⁵
	40.000	1,319.96	06/02/20	1,268.00	...	51.96	5 of 5 - Sale ²⁵
06/03/20	61.000	2,012.94	Various	1,966.51	...	46.43	Total of 5 transactions
	Security total:	3,831.95		3,649.99	4.93 W	186.89	

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VELOCITYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol:							
4 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	10.000	133.22	10/01/20	334.81	...	-201.59	1 of 4 - Sale ²⁵
	5.000	66.61	10/01/20	145.40	...	-78.79	2 of 4 - Sale ²⁵
	14.000	185.82	10/01/20	249.06	...	-63.24	3 of 4 - Sale ²⁵
	1.000	13.27	10/01/20	17.80	...	-4.53	4 of 4 - Sale ²⁵
10/26/20	30.000	398.92	Various	747.07	...	-348.15	Total of 4 transactions
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	10.000	132.73	09/29/20	289.64	156.91 W	0.00	1 of 2 - Sale ²⁵
	5.000	66.37	09/29/20	122.82	56.45 W	0.00	2 of 2 - Sale ²⁵
10/26/20	15.000	199.10	Various	412.46	213.36 W	0.00	Total of 2 transactions
	Security total:	598.02		1,159.53	213.36 W	-348.15	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
6 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	20.000	336.21	05/26/20	313.78	...	22.43	1 of 6 - Sale ²⁵
	40.000	672.43	05/26/20	630.71	...	41.72	2 of 6 - Sale ²⁵
	10.000	170.00	05/28/20	169.39	...	0.61	3 of 6 - Sale ²⁵
	11.000	186.99	05/28/20	185.90	...	1.09	4 of 6 - Sale ²⁵
	19.000	323.00	05/28/20	321.10	...	1.90	5 of 6 - Sale ²⁵
	30.000	509.98	05/28/20	504.28	...	5.70	6 of 6 - Sale ²⁵
05/29/20	130.000	2,198.61	Various	2,125.16	...	73.45	Total of 6 transactions
05/29/20	40.000	672.43	05/28/20	676.00	3.57 W	0.00	Sale ²⁵
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	155.23	06/17/20	155.70	...	-0.47	1 of 3 - Sale ²⁵
	1.000	15.52	06/17/20	15.05	...	0.47	2 of 3 - Sale ²⁵
	9.000	138.96	06/17/20	134.73	...	4.23	3 of 3 - Sale ²⁵
06/19/20	20.000	309.71	Various	305.48	...	4.23	Total of 3 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	15.44	06/15/20	15.52	0.08 W	0.00	1 of 2 - Sale ²⁵
	20.000	308.80	06/16/20	320.80	6.00 W	-6.00	2 of 2 - Sale ²⁵
06/19/20	21.000	324.24	Various	336.32	6.08 W	-6.00	Total of 2 transactions

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	10.000	177.60	08/18/20	179.05	...	-1.45	1 of 2 - Sale ²⁵
	5.000	88.80	08/18/20	87.59	...	1.21	2 of 2 - Sale ²⁵
08/19/20	15.000	266.40	Various	266.64	...	-0.24	Total of 2 transactions
	Security total:	3,771.39		3,709.60	9.65 W	71.44	
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
8 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	40.000	142.40	11/20/20	144.77	2.37 W	0.00	1 of 8 - Sale ²⁵
	55.000	195.79	11/20/20	199.06	3.27 W	0.00	2 of 8 - Sale ²⁵
	9.000	31.86	11/20/20	32.57	0.71 W	0.00	3 of 8 - Sale ²⁵
	25.000	88.50	11/20/20	90.48	1.98 W	0.00	4 of 8 - Sale ²⁵
	71.000	251.32	11/20/20	256.96	5.64 W	0.00	5 of 8 - Sale ²⁵
	5.000	17.70	11/20/20	18.52	0.82 W	0.00	6 of 8 - Sale ²⁵
	40.000	141.59	11/20/20	147.37	5.78 W	0.00	7 of 8 - Sale ²⁵
	55.000	194.69	11/20/20	202.65	7.96 W	0.00	8 of 8 - Sale ²⁵
11/27/20	300.000	1,063.85	Various	1,092.38	28.53 W	0.00	Total of 8 transactions
8 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	55.000	197.51	11/20/20	208.16	...	-10.65	1 of 8 - Sale ²⁵
	71.000	254.96	11/20/20	263.01	...	-8.05	2 of 8 - Sale ²⁵
	40.000	143.64	11/20/20	151.38	...	-7.74	3 of 8 - Sale ²⁵
	25.000	89.77	11/20/20	92.61	...	-2.84	4 of 8 - Sale ²⁵
	5.000	17.95	11/20/20	19.02	...	-1.07	5 of 8 - Sale ²⁵
	4.000	14.36	11/20/20	14.81	...	-0.45	6 of 8 - Sale ²⁵
	100.000	359.10	11/24/20	351.50	...	7.60	7 of 8 - Sale ²⁵
	100.000	359.10	11/30/20	325.50	...	33.60	8 of 8 - Sale ²⁵
12/02/20	400.000	1,436.39	Various	1,425.99	...	10.40	Total of 8 transactions
	Security total:	2,500.24		2,518.37	28.53 W	10.40	

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2020 1099-B* OMB No. 1545-0715

(continued)

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WELBILT, INC. / CUSIP: 949090104 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	632.18	06/10/20	807.60	...	-175.42	1 of 2 - Sale ²⁵
	100.000	632.19	06/10/20	715.79	...	-83.60	2 of 2 - Sale ²⁵
06/11/20	200.000	1,264.37	Various	1,523.39	...	-259.02	Total of 2 transactions
06/11/20	100.000	615.98	06/10/20	707.79	91.81 W	0.00	Sale ²⁵
	Security total:	1,880.35		2,231.18	91.81 W	-259.02	
WHOLE EARTH BRANDS, INC. CLASS A COMMON STOCK / CUSIP: 96684W100 / Symbol:							
7 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	21.000	202.81	06/18/20	230.58	...	-27.77	1 of 7 - Sale ²⁵
	9.000	86.92	06/18/20	98.82	...	-11.90	2 of 7 - Sale ²⁵
	20.000	193.15	06/19/20	217.10	...	-23.95	3 of 7 - Sale ²⁵
	29.000	280.07	06/19/20	293.34	...	-13.27	4 of 7 - Sale ²⁵
	20.000	193.15	06/19/20	202.70	...	-9.55	5 of 7 - Sale ²⁵
	1.000	9.66	06/19/20	10.12	...	-0.46	6 of 7 - Sale ²⁵
	1.000	9.66	06/25/20	10.95	...	-1.29	7 of 7 - Sale ²⁵
06/26/20	101.000	975.42	Various	1,063.61	...	-88.19	Total of 7 transactions
WIDEPOINT CORPORATION / CUSIP: 967590209 / Symbol:							
11/27/20	50.000	642.98	11/25/20	620.15	...	22.83	Sale ²⁵
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	25.000	259.03	11/25/20	279.14	...	-20.11	1 of 3 - Sale ²⁵
	25.000	259.03	11/25/20	277.28	...	-18.25	2 of 3 - Sale ²⁵
	50.000	518.05	12/01/20	498.37	...	19.68	3 of 3 - Sale ²⁵
12/01/20	100.000	1,036.11	Various	1,054.79	...	-18.68	Total of 3 transactions
	Security total:	1,679.09		1,674.94	...	4.15	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	15.000	74.40	06/18/20	68.10	...	6.30	1 of 2 - Sale ²⁵
	135.000	669.56	06/18/20	612.90	...	56.66	2 of 2 - Sale ²⁵
06/22/20	150.000	743.96	Various	681.00	...	62.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	50.000	724.97	06/18/20	256.00	...	468.97	1 of 2 - Sale ²⁵
	50.000	724.98	06/18/20	227.00	...	497.98	2 of 2 - Sale ²⁵
06/29/20	100.000	1,449.95	Various	483.00	...	966.95	Total of 2 transactions
07/07/20	50.000	750.08	06/18/20	258.50	...	491.58	Sale ²⁵
	Security total:	2,943.99		1,422.50	...	1,521.49	
YEXT, INC. / CUSIP: 98585N106 / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	4.000	67.20	12/03/20	66.76	...	0.44	1 of 3 - Sale ²⁵
	11.000	184.80	12/03/20	183.59	...	1.21	2 of 3 - Sale ²⁵
	85.000	1,427.95	12/03/20	1,418.65	...	9.30	3 of 3 - Sale ²⁵
12/04/20	100.000	1,679.95	Various	1,669.00	...	10.95	Total of 3 transactions
YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
06/25/20	5.000	15.80	06/24/20	27.85	...	-12.05	Sale ²⁵
ZION OIL & GAS INC COMMON STOC K / CUSIP: 989696109 / Symbol:							
06/24/20	100.000	31.82	06/23/20	35.18	...	-3.36	Sale ²⁵
ZOGENIX, INC. COMMON STOCK / CUSIP: 98978L204 / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	257.49	06/26/20	282.21	...	-24.72	1 of 2 - Sale ²⁵
	10.000	257.49	06/26/20	250.00	...	7.49	2 of 2 - Sale ²⁵
06/29/20	20.000	514.98	Various	532.21	...	-17.23	Total of 2 transactions
06/29/20	10.000	257.50	06/26/20	270.00	12.50 W	0.00	Sale ²⁵
	Security total:	772.48		802.21	12.50 W	-17.23	
ZUORA, INC. / CUSIP: 98983V106 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	20.000	237.03	06/08/20	243.17	...	-6.14	1 of 2 - Sale ²⁵
	20.000	237.03	06/08/20	242.52	...	-5.49	2 of 2 - Sale ²⁵
06/11/20	40.000	474.06	Various	485.69	...	-11.63	Total of 2 transactions

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ZUORA, INC. / CUSIP: 98983V106 / Symbol: (cont'd)							
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	54.000	537.27	09/03/20	636.98	25.85 W	-73.86	1 of 3 - Sale ²⁵
	20.000	205.41	09/03/20	235.92	30.51 W	0.00	2 of 3 - Sale ²⁵
	6.000	60.00	09/03/20	70.78	10.78 W	0.00	3 of 3 - Sale ²⁵
09/08/20	80.000	802.68	Various	943.68	67.14 W	-73.86	Total of 3 transactions
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	20.000	220.80	09/03/20	235.92	...	-15.12	1 of 2 - Sale ²⁵
	10.000	110.40	09/04/20	115.66	...	-5.26	2 of 2 - Sale ²⁵
10/13/20	30.000	331.20	Various	351.58	...	-20.38	Total of 2 transactions
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	10.000	108.30	09/04/20	118.76	...	-10.46	1 of 4 - Sale ²⁵
	10.000	108.30	09/04/20	115.65	...	-7.35	2 of 4 - Sale ²⁵
	6.000	64.98	09/04/20	71.01	...	-6.03	3 of 4 - Sale ²⁵
	4.000	43.32	09/04/20	47.55	...	-4.23	4 of 4 - Sale ²⁵
10/16/20	30.000	324.90	Various	352.97	...	-28.07	Total of 4 transactions
	Security total:	1,932.84		2,133.92	67.14 W	-133.94	
ACT II GLOBAL ACQUISITION CORP . CLASS A ORDINARY SHARES / CUSIP: G0080J104 / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	20.000	211.00	06/18/20	214.60	3.60 W	0.00	1 of 4 - Sale ²⁵
	9.000	94.95	06/18/20	96.57	1.62 W	0.00	2 of 4 - Sale ²⁵
	21.000	221.55	06/18/20	225.33	3.78 W	0.00	3 of 4 - Sale ²⁵
	20.000	211.00	06/18/20	219.60	8.60 W	0.00	4 of 4 - Sale ²⁵
06/23/20	70.000	738.50	Various	756.10	17.60 W	0.00	Total of 4 transactions
ATLAS FINL HLDGS INC ORDINARY SHARES / CUSIP: G06207115 / Symbol:							
06/22/20	50.000	35.00	06/17/20	84.49	...	-49.49	Sale ²⁵
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
06/19/20	10.000	5.35	06/18/20	5.45	...	-0.10	Sale ²⁵
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:							
09/17/20	500.000	256.54	09/16/20	254.70	...	1.84	Sale ²⁵

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FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
06/22/20	100.000	73.99	06/18/20	107.99	...	-34.00	Sale ²⁵
	2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	100.000	76.49	06/18/20	107.99	...	-31.50	1 of 2 - Sale ²⁵
	50.000	38.25	06/18/20	54.00	...	-15.75	2 of 2 - Sale ²⁵
06/23/20	150.000	114.74	Various	161.99	...	-47.25	Total of 2 transactions
	Security total:	188.73		269.98	...	-81.25	
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
07/10/20	25.000	92.75	07/08/20	97.43	...	-4.68	Sale ²⁵
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
	3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	180.000	818.96	11/30/20	863.60	...	-44.64	1 of 3 - Sale ²⁵
	250.000	1,165.64	11/30/20	1,199.45	...	-33.81	2 of 3 - Sale ²⁵
	70.000	313.68	11/30/20	335.85	...	-22.17	3 of 3 - Sale ²⁵
12/16/20	500.000	2,298.28	Various	2,398.90	...	-100.62	Total of 3 transactions
HAPPINESS BIOTECH GROUP LIMITE D ORDINARY SHARES / CUSIP: G4289N114 / Symbol:							
10/07/20	50.000	91.15	10/07/20	95.85	...	-4.70	Sale ²⁵
HUDSON CAPITAL INC. ORDINARY S HARES / CUSIP: G4645C109 / Symbol:							
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	218.000	138.62	10/15/20	185.30	...	-46.68	1 of 2 - Sale ²⁵
	82.000	52.14	10/15/20	69.70	...	-17.56	2 of 2 - Sale ²⁵
10/19/20	300.000	190.76	Various	255.00	...	-64.24	Total of 2 transactions
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
07/21/20	100.000	84.54	07/20/20	76.40	...	8.14	Sale ²⁵
07/22/20	100.000	75.20	07/20/20	75.86	...	-0.66	Sale ²⁵
	Security total:	159.74		152.26	...	7.48	
APTORUM GROUP LIMITED CLASS A ORDINARY SHARES / CUSIP: G6096M106 / Symbol:							
10/26/20	5.000	10.55	09/29/20	60.72	...	-50.17	Sale ²⁵

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	20.000	266.39	04/24/20	227.40	...	38.99	1 of 3 - Sale ²⁵
	30.000	399.59	05/05/20	378.00	...	21.59	2 of 3 - Sale ²⁵
	30.000	399.59	05/05/20	370.35	...	29.24	3 of 3 - Sale ²⁵
05/18/20	80.000	1,065.57	Various	975.75	...	89.82	Total of 3 transactions
05/18/20	15.000	199.80	04/29/20	259.50	59.70 W	0.00	Sale ²⁵
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	35.000	577.48	06/09/20	881.65	...	-304.17	1 of 6 - Sale ²⁵
	15.000	247.49	06/09/20	437.55	...	-190.06	2 of 6 - Sale ²⁵
	10.000	165.00	06/09/20	241.08	...	-76.08	3 of 6 - Sale ²⁵
	30.000	494.98	06/10/20	632.66	...	-137.68	4 of 6 - Sale ²⁵
	25.000	412.49	06/10/20	514.17	...	-101.68	5 of 6 - Sale ²⁵
	20.000	329.99	06/10/20	408.36	...	-78.37	6 of 6 - Sale ²⁵
06/11/20	135.000	2,227.43	Various	3,115.47	...	-888.04	Total of 6 transactions
	Security total:	3,492.80		4,350.72	59.70 W	-798.22	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol:							
12/21/20	50.000	674.98	12/08/20	558.92	...	116.06	Sale ²⁵
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. VI / CUSIP: G8251L105 / Symbol:							
12/31/20	100.000	1,225.54	12/30/20	1,236.00	...	-10.46	Sale ²⁵
THUNDER BRIDGE ACQUISITION II, LTD. CLASS A ORDINARY SHARES / CUSIP: G8857S116 / Symbol:							
12/31/20	50.000	672.48	12/29/20	673.93	1.45 W	0.00	Sale ²⁵
URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G100 / Symbol:							
08/24/20	1,000.000	274.99	08/14/20	359.60	84.61 W	0.00	Sale ²⁵
7 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	300.000	61.85	08/14/20	107.88	46.03 W	0.00	1 of 7 - Sale ²⁵
	100.000	20.62	08/14/20	41.82	21.20 W	0.00	2 of 7 - Sale ²⁵
	100.000	20.62	08/14/20	41.82	21.20 W	0.00	3 of 7 - Sale ²⁵
	100.000	20.62	08/14/20	41.47	20.85 W	0.00	4 of 7 - Sale ²⁵
	100.000	20.62	08/14/20	41.47	20.85 W	0.00	5 of 7 - Sale ²⁵
	100.000	20.62	08/14/20	41.47	20.85 W	0.00	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G100 / Symbol: (cont'd)							
	200.000	41.23	08/14/20	82.95	41.72 W	0.00	7 of 7 - Sale ²⁵
08/25/20	1,000.000	206.18	Various	398.88	192.70 W	0.00	Total of 7 transactions
	Security total:	481.17		758.48	277.31 W	0.00	
URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G118 / Symbol:							
19 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	30.000	57.00	08/14/20	184.80	...	-127.80	1 of 19 - Sale ²⁵
	15.000	28.20	08/14/20	102.32	...	-74.12	2 of 19 - Sale ²⁵
	10.000	18.80	08/14/20	68.20	...	-49.40	3 of 19 - Sale ²⁵
	10.000	19.00	08/14/20	67.46	...	-48.46	4 of 19 - Sale ²⁵
	10.000	19.00	08/14/20	67.45	...	-48.45	5 of 19 - Sale ²⁵
	10.000	19.00	08/14/20	67.10	...	-48.10	6 of 19 - Sale ²⁵
	10.000	18.80	08/14/20	54.76	...	-35.96	7 of 19 - Sale ²⁵
	10.000	18.80	08/14/20	54.71	...	-35.91	8 of 19 - Sale ²⁵
	10.000	19.00	08/14/20	54.72	...	-35.72	9 of 19 - Sale ²⁵
	5.000	9.40	08/14/20	33.65	...	-24.25	10 of 19 - Sale ²⁵
	5.000	9.40	08/14/20	33.65	...	-24.25	11 of 19 - Sale ²⁵
	25.000	47.00	08/17/20	82.07	...	-35.07	12 of 19 - Sale ²⁵
	5.000	9.40	08/17/20	33.92	...	-24.52	13 of 19 - Sale ²⁵
	10.000	18.80	08/18/20	31.40	...	-12.60	14 of 19 - Sale ²⁵
	10.000	18.80	08/18/20	31.40	...	-12.60	15 of 19 - Sale ²⁵
	10.000	18.80	08/18/20	31.40	...	-12.60	16 of 19 - Sale ²⁵
	10.000	18.80	08/18/20	31.38	...	-12.58	17 of 19 - Sale ²⁵
	30.000	56.40	08/20/20	87.00	...	-30.60	18 of 19 - Sale ²⁵
	7.000	13.16	08/20/20	20.30	...	-7.14	19 of 19 - Sale ²⁵
08/27/20	232.000	437.56	Various	1,137.69	...	-700.13	Total of 19 transactions
9 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	10.000	19.90	08/14/20	54.20	34.30 W	0.00	1 of 9 - Sale ²⁵
	10.000	19.90	08/14/20	54.21	34.31 W	0.00	2 of 9 - Sale ²⁵
	10.000	19.90	08/14/20	53.85	33.95 W	0.00	3 of 9 - Sale ²⁵
	10.000	19.90	08/14/20	54.00	34.10 W	0.00	4 of 9 - Sale ²⁵
	30.000	59.70	08/14/20	145.05	85.35 W	0.00	5 of 9 - Sale ²⁵
	10.000	19.90	08/14/20	41.47	21.57 W	0.00	6 of 9 - Sale ²⁵
	20.000	39.81	08/14/20	82.93	43.12 W	0.00	7 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G118 / Symbol: (cont'd)							
	10.000	19.00	08/14/20	54.00	35.00 W	0.00	8 of 9 - Sale ²⁵
	20.000	38.00	08/14/20	108.02	70.02 W	0.00	9 of 9 - Sale ²⁵
08/27/20	130.000	256.01	Various	647.73	391.72 W	0.00	Total of 9 transactions
	Security total:	693.57		1,785.42	391.72 W	-700.13	
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
9 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	100.000	33.99	07/02/20	32.11	...	1.88	1 of 9 - Sale ²⁵
	100.000	33.99	07/02/20	32.11	...	1.88	2 of 9 - Sale ²⁵
	100.000	33.99	07/02/20	32.11	...	1.88	3 of 9 - Sale ²⁵
	100.000	33.99	07/02/20	32.11	...	1.88	4 of 9 - Sale ²⁵
	100.000	33.99	07/02/20	32.11	...	1.88	5 of 9 - Sale ²⁵
	100.000	33.99	07/02/20	32.11	...	1.88	6 of 9 - Sale ²⁵
	100.000	33.99	07/02/20	32.11	...	1.88	7 of 9 - Sale ²⁵
	130.000	44.18	07/02/20	41.83	...	2.35	8 of 9 - Sale ²⁵
	170.000	57.77	07/02/20	54.71	...	3.06	9 of 9 - Sale ²⁵
07/06/20	1,000.000	339.88	Various	321.31	...	18.57	Total of 9 transactions
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	50.000	9.33	06/05/20	7.79	...	1.54	1 of 7 - Sale ²⁵
	50.000	9.33	06/05/20	7.67	...	1.66	2 of 7 - Sale ²⁵
	400.000	74.67	06/05/20	62.36	...	12.31	3 of 7 - Sale ²⁵
	1,000.000	186.68	06/05/20	170.70	...	15.98	4 of 7 - Sale ²⁵
	1,000.000	186.68	06/05/20	169.52	...	17.16	5 of 7 - Sale ²⁵
	500.000	93.34	06/05/20	75.90	...	17.44	6 of 7 - Sale ²⁵
	3,000.000	560.03	06/08/20	510.00	...	50.03	7 of 7 - Sale ²⁵
06/09/20	6,000.000	1,120.06	Various	1,003.94	...	116.12	Total of 7 transactions
06/09/20	2,000.000	373.35	06/08/20	437.20	63.85 W	0.00	Sale ²⁵
19 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	443.000	80.79	06/10/20	97.17	...	-16.38	1 of 19 - Sale ²⁵
	443.000	80.79	06/10/20	90.14	...	-9.35	2 of 19 - Sale ²⁵
	557.000	101.58	06/10/20	110.49	...	-8.91	3 of 19 - Sale ²⁵
	300.000	54.71	06/10/20	62.52	...	-7.81	4 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	257.000	46.87	06/10/20	53.54	...	-6.67	5 of 19 - Sale ²⁵
	43.000	7.84	06/10/20	8.06	...	-0.22	6 of 19 - Sale ²⁵
	14.000	2.55	06/10/20	2.45	...	0.10	7 of 19 - Sale ²⁵
	50.000	9.12	06/10/20	8.95	...	0.17	8 of 19 - Sale ²⁵
	50.000	9.12	06/10/20	8.95	...	0.17	9 of 19 - Sale ²⁵
	100.000	18.24	06/10/20	17.88	...	0.36	10 of 19 - Sale ²⁵
	100.000	18.24	06/10/20	17.88	...	0.36	11 of 19 - Sale ²⁵
	100.000	18.24	06/10/20	17.88	...	0.36	12 of 19 - Sale ²⁵
	100.000	18.24	06/10/20	17.88	...	0.36	13 of 19 - Sale ²⁵
	100.000	18.24	06/10/20	17.88	...	0.36	14 of 19 - Sale ²⁵
	157.000	28.63	06/10/20	28.07	...	0.56	15 of 19 - Sale ²⁵
	200.000	36.48	06/10/20	35.76	...	0.72	16 of 19 - Sale ²⁵
	472.000	86.08	06/10/20	82.62	...	3.46	17 of 19 - Sale ²⁵
	957.000	176.91	06/10/20	169.77	...	7.14	18 of 19 - Sale ²⁵
	1,000.000	182.38	06/10/20	173.90	...	8.48	19 of 19 - Sale ²⁵
06/26/20	5,443.000	995.05	Various	1,021.79	...	-26.74	Total of 19 transactions
	17 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1,000.000	184.88	06/09/20	221.93	37.05 W	0.00	1 of 17 - Sale ²⁵
	300.000	55.46	06/09/20	68.05	12.59 W	0.00	2 of 17 - Sale ²⁵
	700.000	129.41	06/09/20	158.77	29.36 W	0.00	3 of 17 - Sale ²⁵
	200.000	36.98	06/09/20	38.98	2.00 W	0.00	4 of 17 - Sale ²⁵
	200.000	36.98	06/09/20	38.98	2.00 W	0.00	5 of 17 - Sale ²⁵
	100.000	18.49	06/09/20	19.49	1.00 W	0.00	6 of 17 - Sale ²⁵
	50.000	9.24	06/09/20	9.75	0.51 W	0.00	7 of 17 - Sale ²⁵
	100.000	18.49	06/09/20	19.49	1.00 W	0.00	8 of 17 - Sale ²⁵
	100.000	18.49	06/09/20	19.49	1.00 W	0.00	9 of 17 - Sale ²⁵
	100.000	18.49	06/09/20	19.49	1.00 W	0.00	10 of 17 - Sale ²⁵
	100.000	18.49	06/09/20	19.49	1.00 W	0.00	11 of 17 - Sale ²⁵
	50.000	9.24	06/09/20	9.75	0.51 W	0.00	12 of 17 - Sale ²⁵
	14.000	2.59	06/09/20	2.68	0.09 W	0.00	13 of 17 - Sale ²⁵
	472.000	87.26	06/09/20	90.20	2.94 W	0.00	14 of 17 - Sale ²⁵
	300.000	55.46	06/10/20	65.81	10.35 W	0.00	15 of 17 - Sale ²⁵
	257.000	47.52	06/10/20	56.37	8.85 W	0.00	16 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 907017834

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)								
	1,000.000	184.88	06/10/20	214.45	29.57 W	0.00		17 of 17 - Sale ²⁵
06/26/20	5,043.000	932.35	Various	1,073.17	140.82 W	0.00		Total of 17 transactions
	Security total:	3,420.81		3,536.10	204.67 W	89.38		
Totals :		228,974.06		229,422.34	6,157.13 W	5,708.85		

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & also not reported (Z)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL								
09/30/20	0.000	0.18	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000458396
Totals :		0.18			

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Robinhood Securities LLC

Account 907017834

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol: 08/20/20	0.667	6.67	08/07/20	7.50	...	-0.83	Gain or loss
Totals:		6.67		7.50	...	-0.83	

Robinhood Securities LLC

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Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES	046353108		09/14/20	4.50	Qualified dividend	
RAYTHEON TECHNOLOGIES CORPORATION	75513E101		06/18/20	1.90	Qualified dividend	
				6.40	Total Dividends & distributions	

Robinhood Securities LLC

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Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/03/20	-5.00	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		08/03/20	-5.00	Margin interest paid	
		09/01/20	-5.00	Margin interest paid	
		10/01/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/30/20	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
ISHARES SILVER TRUST	46428Q109 Note: CL	09/30/20	-0.18	Gross proceeds investment expense	15
			-40.00	Total Margin interest paid	
			-0.18	Total Gross proceeds investmnt exp	

Robinhood Securities LLC

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Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
UK	ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES	046353108	0.00	4.50		0.00	4.50	0.00
Total UNITED KINGDOM			0.00	4.50		0.00	4.50	0.00
Grand Total	Total Foreign Source Income*			4.50				
	Qualified Foreign Source Income**			4.50				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

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Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP III CLA SS A COMMON STOCK	17144C104	10/09/20	-30.00	0.00	Exchange	
FIKER INC.	33813J106	10/30/20	575.00	0.00	Exchange	
GORDON POINTE ACQUISITION CORP . CLASS A COMMON STOCK	382788107	07/02/20	-120.00	0.00	Merger	
GRAF INDUSTRIAL CORP. COMMON S TOCK	384278107	09/29/20	-15.00	0.00	Exchange	
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK	40619L102	07/02/20	171.00	0.00	Merger	
HYLIION HOLDINGS CORP.	449109107	10/02/20	135.00	0.00	Merger	
MULTIPLAN CORPORATION	62548M100	10/09/20	30.00	0.00	Exchange	
SPARTAN ENERGY ACQUISITION CORP.	846784106	10/30/20	-575.00	0.00	Exchange	
TORTOISE ACQUISITION CORP. CLASS A COMMON STOCK	89154L100	10/02/20	-135.00	0.00	Merger	
VELOCITY LIDAR, INC. COMMON STOCK	92259F101	09/29/20	15.00	0.00	Exchange	
WHOLE EARTH BRANDS, INC. CLASS A COMMON STOCK	96684W100	06/25/20	100.00	0.00	Exchange	
ACT II GLOBAL ACQUISITION CORP . CLASS A ORDINARY SHARES	G0080J104	06/25/20	-100.00	0.00	Exchange	

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
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Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.