

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 419946363	Statement Date: 03/15/2021 Document ID: T4CS 138 U3E7	2020
	Sai teja yadav Salandri 1628 Spring Garden St Apt A Greensboro, NC 27403 RECIPIENT'S TIN: XXX-XX-3843		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	5.60		2- Royalties	0.00	
1b- Qualified dividends	5.60		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	9.76		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	92,883.43	104,729.25	0.00	7,961.37	-3,884.45
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.11	8.33	0.00	0.00	-5.22
	Total Short-term	92,886.54	104,737.58	0.00	7,961.37	-3,889.67
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	92,886.54	104,737.58	0.00	7,961.37	-3,889.67
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 419946363

Summary Information

2020

(continued)

03/15/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	36.41
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/15/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALL 07/17/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/13/20	3.000	194.98	07/08/20	480.00	...	-285.02	Option sale to close-call ²⁵
ALT 07/17/2020 PUT \$15.00 / CUSIP: / Symbol:							
07/17/20	3.000	0.00	07/09/20	375.00	...	-375.00	Option expiration- long put
AZN 09/18/2020 CALL \$75.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	07/27/20	44.00	...	-44.00	Option expiration- long call
BLNK 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
	4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	6.000	29.98	07/10/20	360.00	...	-330.02	1 of 4 - Option sale to close-call ²⁵
	1.000	4.99	07/10/20	60.00	...	-55.01	2 of 4 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLNK 07/17/2020 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	07/10/20	60.00	...	-55.00	3 of 4 - Option sale to close-call ²⁵
	1.000	5.00	07/10/20	55.00	...	-50.00	4 of 4 - Option sale to close-call ²⁵
07/16/20	9.000	44.97	Various	535.00	...	-490.03	Total of 4 transactions
BLNK 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
12/16/20	1.000	189.98	11/24/20	690.00	...	-500.02	Option sale to close-call ²⁵
BLNK 12/31/2020 PUT \$38.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/18/20	390.00	...	-390.00	Option expiration- long put
EHTH 08/21/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	07/24/20	195.00	...	-195.00	Option expiration- long call
EHTH 08/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	07/24/20	150.00	...	-150.00	Option expiration- long call
GNUS 06/19/2020 CALL \$1.00 / CUSIP: / Symbol:							
06/19/20	1.000	189.98	06/11/20	260.00	...	-70.02	Option sale to close-call ²⁵
GNUS 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/19/20	1.000	35.98	06/11/20	150.00	...	-114.02	Option sale to close-call ²⁵
GNUS 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/10/20	95.00	...	-95.00	Option expiration- long call
IDEX 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/17/20	3.000	0.00	06/29/20	165.00	...	-165.00	Option expiration- long call
JMIA 09/18/2020 PUT \$5.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/04/20	14.00	...	-14.00	Option expiration- long put
KODK 08/21/2020 PUT \$22.50 / CUSIP: / Symbol:							
08/06/20	1.000	799.97	07/29/20	550.00	...	249.97	Option sale to close-put ²⁵
LRN 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/15/20	3.000	374.98	07/14/20	255.00	...	119.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LRN 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/18/20	2.000	0.00	07/23/20	340.00	...	-340.00	Option expiration- long call
MARK 08/21/2020 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	19.98	07/14/20	10.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	14.000	209.96	07/14/20	140.00	...	69.96	2 of 2 - Option sale to close-call ²⁵
07/31/20	15.000	229.94	Various	150.00	...	79.94	Total of 2 transactions
08/21/20	5.000	0.00	07/14/20	50.00	...	-50.00	Option expiration- long call
	Security total:	229.94		200.00	...	29.94	
MCRB 08/21/2020 PUT \$7.50 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	08/10/20	80.00	...	-80.00	Option expiration- long put
NIO 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
10/14/20	1.000	1,199.96	08/21/20	315.00	...	884.96	Option sale to close-call ²⁵
NIO 08/07/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/13/20	786.00	...	-786.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/13/20	262.00	...	-262.00	2 of 2 - Option expiration- long call
08/07/20	4.000	0.00	Various	1,048.00	...	-1,048.00	Total of 2 transactions
NKLA 07/10/2020 CALL \$52.00 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	274.98	07/08/20	140.00	...	134.98	1 of 2 - Option sale to close-call ²⁵
	2.000	549.97	07/08/20	280.00	...	269.97	2 of 2 - Option sale to close-call ²⁵
07/08/20	3.000	824.95	Various	420.00	...	404.95	Total of 2 transactions
NKLA 07/10/2020 PUT \$50.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/09/20	390.00	...	-390.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/09/20	100.00	...	-100.00	2 of 2 - Option expiration- long put
07/10/20	4.000	0.00	Various	490.00	...	-490.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OMI 08/21/2020 PUT \$12.50 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	07/21/20	130.00	...	-130.00	Option expiration- long put
PFE 07/31/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/29/20	1.000	0.98	07/22/20	15.00	...	-14.02	Option sale to close-call ²⁵
PFE 08/14/2020 PUT \$37.00 / CUSIP: / Symbol:							
08/11/20	3.000	20.98	07/28/20	210.00	...	-189.02	Option sale to close-put ²⁵
PFE 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	2.000	155.98	07/13/20	58.00	...	97.98	1 of 3 - Option sale to close-call ²⁵
	3.000	233.98	07/13/20	87.00	...	146.98	2 of 3 - Option sale to close-call ²⁵
	5.000	389.98	07/13/20	145.00	...	244.98	3 of 3 - Option sale to close-call ²⁵
07/29/20	10.000	779.94	Various	290.00	...	489.94	Total of 3 transactions
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/30/20	189.00	...	-189.00	1 of 2 - Option expiration- long call
	2.000	0.00	07/30/20	126.00	...	-126.00	2 of 2 - Option expiration- long call
08/21/20	5.000	0.00	Various	315.00	...	-315.00	Total of 2 transactions
	Security total:	779.94		605.00	...	174.94	
PFE1 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	4.000	131.99	07/22/20	120.00	...	11.99	1 of 2 - Option sale to close-call ²⁵
	6.000	197.98	07/22/20	180.00	...	17.98	2 of 2 - Option sale to close-call ²⁵
12/03/20	10.000	329.97	Various	300.00	...	29.97	Total of 2 transactions
REDU 08/21/2020 PUT \$5.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/18/20	25.00	...	-25.00	Option expiration- long put
SBUX 07/31/2020 PUT \$74.00 / CUSIP: / Symbol:							
07/31/20	2.000	0.00	07/28/20	254.00	...	-254.00	Option expiration- long put
SHLL 08/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/14/20	2.000	79.98	07/13/20	450.00	...	-370.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHLL 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/18/20	1.000	2,499.93	07/28/20	295.00	...	2,204.93	Option sale to close-call ²⁵
SHYF 07/17/2020 PUT \$15.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	07/10/20	85.00	...	9.99	1 of 2 - Option sale to close-put ²⁵
	2.000	189.99	07/10/20	170.00	...	19.99	2 of 2 - Option sale to close-put ²⁵
07/13/20	3.000	284.98	Various	255.00	...	29.98	Total of 2 transactions
SOLO 07/17/2020 PUT \$2.50 / CUSIP: / Symbol:							
07/14/20	10.000	49.97	07/06/20	150.00	...	-100.03	Option sale to close-put ²⁵
UBER 07/31/2020 CALL \$34.50 / CUSIP: / Symbol:							
07/31/20	2.000	0.00	07/06/20	254.00	...	-254.00	Option expiration- long call
WKHS 07/17/2020 PUT \$14.00 / CUSIP: / Symbol:							
07/13/20	2.000	129.98	07/01/20	310.00	...	-180.02	Option sale to close-put ²⁵
WKHS 07/24/2020 CALL \$17.50 / CUSIP: / Symbol:							
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	84.98	07/13/20	225.00	...	-140.02	1 of 3 - Option sale to close-call ²⁵
	1.000	84.98	07/13/20	225.00	...	-140.02	2 of 3 - Option sale to close-call ²⁵
	1.000	84.98	07/13/20	225.00	...	-140.02	3 of 3 - Option sale to close-call ²⁵
07/20/20	3.000	254.94	Various	675.00	...	-420.06	Total of 3 transactions
AT&T INC. / CUSIP: 00206R102 / Symbol:							
06/15/20	40.000	1,219.97	06/12/20	1,224.00	...	-4.03	Sale ²⁵
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	20.000	46.80	05/14/20	64.00	...	-17.20	1 of 2 - Sale ²⁵
	20.000	46.80	05/14/20	62.00	...	-15.20	2 of 2 - Sale ²⁵
05/26/20	40.000	93.60	Various	126.00	...	-32.40	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALLENA PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 018119107 / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	50.000	146.00	06/01/20	135.00	...	11.00	1 of 2 - Sale ²⁵
	100.000	291.99	06/01/20	270.00	...	21.99	2 of 2 - Sale ²⁵
06/02/20	150.000	437.99	Various	405.00	...	32.99	Total of 2 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
05/22/20	40.000	150.50	05/18/20	147.20	...	3.30	Sale ²⁵
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	15.000	155.72	11/27/20	160.35	...	-4.63	1 of 3 - Sale ²⁵
	20.000	207.62	11/27/20	210.00	...	-2.38	2 of 3 - Sale ²⁵
	4.000	41.52	11/27/20	42.48	...	-0.96	3 of 3 - Sale ²⁵
12/01/20	39.000	404.86	Various	412.83	...	-7.97	Total of 3 transactions
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	28.000	91.00	07/06/20	88.48	...	2.52	1 of 3 - Sale ²⁵
	72.000	233.98	07/06/20	227.52	...	6.46	2 of 3 - Sale ²⁵
	100.000	324.98	07/06/20	316.00	...	8.98	3 of 3 - Sale ²⁵
07/07/20	200.000	649.96	Various	632.00	...	17.96	Total of 3 transactions
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
06/29/20	500.000	349.94	06/22/20	403.93	...	-53.99	Sale ²⁵
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	45.000	352.56	12/01/20	388.80	...	-36.24	1 of 2 - Sale ²⁵
	45.000	352.56	12/01/20	382.50	...	-29.94	2 of 2 - Sale ²⁵
12/01/20	90.000	705.12	Various	771.30	...	-66.18	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
11 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	2.000	15.60	07/02/20	12.00	...	3.60	1 of 11 - Sale ²⁵
	5.000	39.00	07/02/20	28.90	...	10.10	2 of 11 - Sale ²⁵
	5.000	39.00	07/02/20	26.95	...	12.05	3 of 11 - Sale ²⁵
	10.000	78.00	07/02/20	53.20	...	24.80	4 of 11 - Sale ²⁵
	50.000	325.00	07/02/20	300.00	...	25.00	5 of 11 - Sale ²⁵
	18.000	140.39	07/02/20	99.90	...	40.49	6 of 11 - Sale ²⁵
	100.000	649.97	07/02/20	600.00	...	49.97	7 of 11 - Sale ²⁵
	30.000	233.99	07/02/20	178.50	...	55.49	8 of 11 - Sale ²⁵
	30.000	234.00	07/02/20	174.00	...	60.00	9 of 11 - Sale ²⁵
	48.000	352.80	07/02/20	288.00	...	64.80	10 of 11 - Sale ²⁵
	100.000	734.97	07/02/20	600.00	...	134.97	11 of 11 - Sale ²⁵
07/06/20	398.000	2,842.72	Various	2,361.45	...	481.27	Total of 11 transactions
CARVER BANCORP, INC. COMMON ST OCK / CUSIP: 146875604 / Symbol:							
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	20.000	161.60	06/30/20	246.60	...	-85.00	1 of 3 - Sale ²⁵
	15.000	121.20	06/30/20	187.50	...	-66.30	2 of 3 - Sale ²⁵
	10.000	80.80	06/30/20	105.00	...	-24.20	3 of 3 - Sale ²⁵
06/30/20	45.000	363.60	Various	539.10	...	-175.50	Total of 3 transactions
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
06/04/20	250.000	752.45	06/03/20	587.50	...	164.95	Sale ²⁵
CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	40.000	122.00	06/01/20	86.00	...	36.00	1 of 3 - Sale ²⁵
	150.000	457.49	06/01/20	376.50	...	80.99	2 of 3 - Sale ²⁵
	90.000	274.49	06/01/20	193.50	...	80.99	3 of 3 - Sale ²⁵
06/01/20	280.000	853.98	Various	656.00	...	197.98	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
04/29/20	15.000	270.00	04/16/20	234.90	...	35.10	Sale ²⁵
05/11/20	15.000	208.65	04/16/20	234.90	...	-26.25	Sale ²⁵
	Security total:	478.65		469.80	...	8.85	
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
05/21/20	200.000	559.96	05/20/20	389.96	...	170.00	Sale ²⁵
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	216.36	06/04/20	213.00	...	3.36	1 of 2 - Sale ²⁵
	200.000	432.72	06/04/20	426.00	...	6.72	2 of 2 - Sale ²⁵
06/05/20	300.000	649.08	Various	639.00	...	10.08	Total of 2 transactions
07/24/20	70.000	350.00	07/24/20	350.00	...	0.00	Sale ²⁵
	Security total:	1,559.04		1,378.96	...	180.08	
COTY INC / CUSIP: 222070203 / Symbol:							
06/04/20	100.000	492.49	06/01/20	435.00	...	57.49	Sale ²⁵
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
06/26/20	5.000	756.48	06/24/20	734.50	...	21.98	Sale ²⁵
06/26/20	3.000	453.89	06/24/20	473.40	19.51 W	0.00	Sale ²⁵
07/02/20	3.000	327.90	07/01/20	373.06	...	-45.16	Sale ²⁵
	Security total:	1,538.27		1,580.96	19.51 W	-23.18	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
06/02/20	10.000	263.62	05/08/20	223.26	...	40.36	Sale ²⁵
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	267.98	06/04/20	209.00	...	58.98	1 of 2 - Sale ²⁵
	200.000	535.96	06/04/20	418.00	...	117.96	2 of 2 - Sale ²⁵
06/05/20	300.000	803.94	Various	627.00	...	176.94	Total of 2 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	59.000	200.59	06/05/20	161.07	...	39.52	1 of 2 - Sale ²⁵
	191.000	649.36	06/05/20	521.43	...	127.93	2 of 2 - Sale ²⁵
06/08/20	250.000	849.95	Various	682.50	...	167.45	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
06/15/20	200.000	936.29	06/12/20	850.48	...	85.81	Sale ²⁵
	Security total:	2,590.18		2,159.98	...	430.20	
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T309 / Symbol:							
07/13/20	100.000	760.97	07/13/20	785.00	...	-24.03	Sale ²⁵
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
06/23/20	25.000	982.72	06/22/20	984.50	...	-1.78	Sale ²⁵
DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
07/13/20	50.000	435.50	07/10/20	456.00	...	-20.50	Sale ²⁵
12/10/20	200.000	935.95	12/10/20	954.00	...	-18.05	Sale ²⁵
	Security total:	1,371.45		1,410.00	...	-38.55	
ELDORADO RESORTS, INC. COMMON STOCK / CUSIP: 28470R102 / Symbol:							
06/03/20	15.000	559.24	05/26/20	534.45	...	24.79	Sale ²⁵
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	120.000	244.78	06/10/20	225.60	...	19.18	1 of 2 - Sale ²⁵
	380.000	775.14	06/26/20	687.80	...	87.34	2 of 2 - Sale ²⁵
06/29/20	500.000	1,019.92	Various	913.40	...	106.52	Total of 2 transactions
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	100.000	203.99	06/09/20	276.77	72.78 W	0.00	1 of 3 - Sale ²⁵
	100.000	203.98	06/09/20	276.77	72.79 W	0.00	2 of 3 - Sale ²⁵
	100.000	203.98	06/10/20	260.78	11.36 W	-45.44	3 of 3 - Sale ²⁵
06/29/20	300.000	611.95	Various	814.32	156.93 W	-45.44	Total of 3 transactions
	2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	100.000	235.11	06/26/20	253.79	...	-18.68	1 of 2 - Sale ²⁵
	20.000	47.02	06/26/20	47.56	...	-0.54	2 of 2 - Sale ²⁵
07/01/20	120.000	282.13	Various	301.35	...	-19.22	Total of 2 transactions
	Security total:	1,914.00		2,029.07	156.93 W	41.86	
FIRSTHAND TECHNOLOGY VALUE FUN D, INC. COMMON STOCK / CUSIP: 33766Y100 / Symbol:							
06/26/20	290.000	1,252.77	06/25/20	1,261.50	...	-8.73	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FLUIDIGM CORPORATION COMMON STOCK / CUSIP: 34385P108 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	50.000	533.48	08/26/20	570.00	...	-36.52	1 of 2 - Sale ²⁵
	35.000	373.44	08/26/20	406.88	...	-33.44	2 of 2 - Sale ²⁵
08/26/20	85.000	906.92	Various	976.88	...	-69.96	Total of 2 transactions
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
06/30/20	40.000	1,005.24	06/29/20	987.20	...	18.04	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	150.000	385.47	05/15/20	226.50	...	158.97	1 of 2 - Sale ²⁵
	50.000	128.49	05/19/20	66.00	...	62.49	2 of 2 - Sale ²⁵
05/28/20	200.000	513.96	Various	292.50	...	221.46	Total of 2 transactions
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	150.000	454.47	05/19/20	197.98	...	256.49	1 of 3 - Sale ²⁵
	50.000	151.49	05/28/20	96.75	...	54.74	2 of 3 - Sale ²⁵
	100.000	302.97	05/28/20	193.50	...	109.47	3 of 3 - Sale ²⁵
06/02/20	300.000	908.93	Various	488.23	...	420.70	Total of 3 transactions
8 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	3.000	31.05	05/28/20	5.81	...	25.24	1 of 8 - Sale ²⁵
	3.000	31.05	05/28/20	5.81	...	25.24	2 of 8 - Sale ²⁵
	7.000	72.45	05/28/20	13.55	...	58.90	3 of 8 - Sale ²⁵
	20.000	206.99	05/28/20	38.70	...	168.29	4 of 8 - Sale ²⁵
	27.000	279.44	05/28/20	52.24	...	227.20	5 of 8 - Sale ²⁵
	30.000	310.48	05/28/20	58.05	...	252.43	6 of 8 - Sale ²⁵
	47.000	486.43	05/28/20	90.94	...	395.49	7 of 8 - Sale ²⁵
	63.000	652.02	05/28/20	121.90	...	530.12	8 of 8 - Sale ²⁵
06/04/20	200.000	2,069.91	Various	387.00	...	1,682.91	Total of 8 transactions
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	50.000	213.00	05/28/20	96.75	...	116.25	1 of 2 - Sale ²⁵
	100.000	425.99	06/02/20	390.00	...	35.99	2 of 2 - Sale ²⁵
06/09/20	150.000	638.99	Various	486.75	...	152.24	Total of 2 transactions
06/09/20	50.000	212.99	06/03/20	310.50	97.51 W	0.00	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
9 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	265.51	06/03/20	310.50	44.99 W	0.00	1 of 9 - Sale ²⁵
	25.000	136.63	06/03/20	155.25	18.62 W	0.00	2 of 9 - Sale ²⁵
	50.000	277.25	06/03/20	310.50	33.25 W	0.00	3 of 9 - Sale ²⁵
	25.000	140.75	06/03/20	155.25	14.50 W	0.00	4 of 9 - Sale ²⁵
	15.000	84.45	06/03/20	119.10	34.65 W	0.00	5 of 9 - Sale ²⁵
	10.000	56.30	06/03/20	79.40	23.10 W	0.00	6 of 9 - Sale ²⁵
	25.000	143.75	06/03/20	198.51	54.76 W	0.00	7 of 9 - Sale ²⁵
	10.000	57.50	06/04/20	120.60	63.10 W	0.00	8 of 9 - Sale ²⁵
	15.000	86.25	06/04/20	180.90	94.65 W	0.00	9 of 9 - Sale ²⁵
06/10/20	225.000	1,248.39	Various	1,630.01	381.62 W	0.00	Total of 9 transactions
5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	25.000	90.00	06/08/20	364.25	...	-274.25	1 of 5 - Sale ²⁵
	25.000	90.00	06/08/20	362.13	...	-272.13	2 of 5 - Sale ²⁵
	25.000	90.00	06/08/20	360.38	...	-270.38	3 of 5 - Sale ²⁵
	15.000	54.00	06/08/20	215.02	...	-161.02	4 of 5 - Sale ²⁵
	10.000	36.00	06/08/20	143.35	...	-107.35	5 of 5 - Sale ²⁵
06/11/20	100.000	360.00	Various	1,445.13	...	-1,085.13	Total of 5 transactions
25 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	15.000	48.00	06/04/20	156.23	108.23 W	0.00	1 of 25 - Sale ²⁵
	25.000	80.00	06/04/20	298.51	218.51 W	0.00	2 of 25 - Sale ²⁵
	25.000	80.00	06/04/20	262.37	182.37 W	0.00	3 of 25 - Sale ²⁵
	50.000	159.99	06/04/20	532.49	372.50 W	0.00	4 of 25 - Sale ²⁵
	35.000	112.00	06/04/20	364.52	252.52 W	0.00	5 of 25 - Sale ²⁵
	25.000	82.50	06/04/20	258.25	175.75 W	0.00	6 of 25 - Sale ²⁵
	50.000	164.99	06/04/20	487.50	322.51 W	0.00	7 of 25 - Sale ²⁵
	25.000	82.49	06/05/20	359.01	276.52 W	0.00	8 of 25 - Sale ²⁵
	10.000	33.00	06/05/20	119.30	86.30 W	0.00	9 of 25 - Sale ²⁵
	15.000	49.50	06/05/20	178.95	129.45 W	0.00	10 of 25 - Sale ²⁵
	10.000	33.00	06/05/20	128.35	95.35 W	0.00	11 of 25 - Sale ²⁵
	15.000	49.50	06/05/20	192.52	143.02 W	0.00	12 of 25 - Sale ²⁵
	25.000	82.49	06/05/20	322.87	240.38 W	0.00	13 of 25 - Sale ²⁵
	50.000	164.99	06/05/20	653.50	488.51 W	0.00	14 of 25 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	25.000	82.49	06/05/20	324.62	242.13 W	0.00	15 of 25 - Sale ²⁵
	125.000	412.48	06/05/20	721.24	308.76 W	0.00	16 of 25 - Sale ²⁵
	25.000	90.51	06/08/20	364.26	32.85 W	-240.90	17 of 25 - Sale ²⁵
	125.000	412.47	06/08/20	656.25	243.78 W	0.00	18 of 25 - Sale ²⁵
	25.000	90.50	06/08/20	307.00	216.50 W	0.00	19 of 25 - Sale ²⁵
	50.000	181.01	06/08/20	585.01	404.00 W	0.00	20 of 25 - Sale ²⁵
	25.000	90.50	06/08/20	396.27	305.77 W	0.00	21 of 25 - Sale ²⁵
	6.000	21.72	06/08/20	80.52	58.80 W	0.00	22 of 25 - Sale ²⁵
	5.000	18.10	06/08/20	67.10	49.00 W	0.00	23 of 25 - Sale ²⁵
	4.000	14.48	06/08/20	53.72	39.24 W	0.00	24 of 25 - Sale ²⁵
	10.000	36.20	06/08/20	134.30	98.10 W	0.00	25 of 25 - Sale ²⁵
06/11/20	800.000	2,672.91	Various	8,004.66	5,090.85 W	-240.90	Total of 25 transactions
	6 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	11.000	43.89	06/08/20	79.97	...	-36.08	1 of 6 - Sale ²⁵
	9.000	35.91	06/08/20	65.43	...	-29.52	2 of 6 - Sale ²⁵
	50.000	202.50	06/09/20	341.13	...	-138.63	3 of 6 - Sale ²⁵
	50.000	207.00	06/09/20	341.13	...	-134.13	4 of 6 - Sale ²⁵
	45.000	188.10	06/09/20	283.73	...	-95.63	5 of 6 - Sale ²⁵
	5.000	20.90	06/09/20	34.13	...	-13.23	6 of 6 - Sale ²⁵
06/12/20	170.000	698.30	Various	1,145.52	...	-447.22	Total of 6 transactions
06/15/20	58.000	255.19	06/09/20	365.70	...	-110.51	Sale ²⁵
	10 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	153.49	07/02/20	579.00	...	-425.51	1 of 10 - Sale ²⁵
	22.000	67.54	07/02/20	343.88	...	-276.34	2 of 10 - Sale ²⁵
	25.000	76.75	07/02/20	304.00	...	-227.25	3 of 10 - Sale ²⁵
	10.000	30.70	07/02/20	132.10	...	-101.40	4 of 10 - Sale ²⁵
	6.000	18.42	07/02/20	79.20	...	-60.78	5 of 10 - Sale ²⁵
	22.000	67.54	07/02/20	119.91	...	-52.37	6 of 10 - Sale ²⁵
	5.000	15.35	07/02/20	66.00	...	-50.65	7 of 10 - Sale ²⁵
	4.000	12.28	07/02/20	52.84	...	-40.56	8 of 10 - Sale ²⁵
	3.000	9.21	07/02/20	47.19	...	-37.98	9 of 10 - Sale ²⁵
	3.000	9.21	07/02/20	43.05	...	-33.84	10 of 10 - Sale ²⁵
07/06/20	150.000	460.49	Various	1,767.17	...	-1,306.68	Total of 10 transactions
	Security total:	10,040.06		16,323.17	5,569.98 W	-713.13	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
05/26/20	3.000	220.80	04/30/20	254.21	...	-33.41	Sale ²⁵
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
<i>4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	278.96	06/29/20	410.00	131.04 W	0.00	1 of 4 - Sale ²⁵
	100.000	139.48	06/29/20	205.00	65.52 W	0.00	2 of 4 - Sale ²⁵
	20.000	27.90	06/29/20	40.60	12.70 W	0.00	3 of 4 - Sale ²⁵
	80.000	111.59	07/01/20	132.00	20.41 W	0.00	4 of 4 - Sale ²⁵
07/08/20	400.000	557.93	Various	787.60	229.67 W	0.00	Total of 4 transactions
<i>9 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	162.99	07/01/20	230.52	...	-67.53	1 of 9 - Sale ²⁵
	20.000	32.60	07/01/20	46.10	...	-13.50	2 of 9 - Sale ²⁵
	100.000	161.99	07/06/20	205.52	...	-43.53	3 of 9 - Sale ²⁵
	75.000	122.24	07/06/20	154.14	...	-31.90	4 of 9 - Sale ²⁵
	13.000	21.06	07/06/20	26.46	...	-5.40	5 of 9 - Sale ²⁵
	7.000	11.34	07/06/20	14.24	...	-2.90	6 of 9 - Sale ²⁵
	80.000	129.58	07/06/20	132.41	...	-2.83	7 of 9 - Sale ²⁵
	5.000	8.10	07/06/20	10.28	...	-2.18	8 of 9 - Sale ²⁵
	20.000	32.40	07/06/20	28.00	...	4.40	9 of 9 - Sale ²⁵
08/10/20	420.000	682.30	Various	847.67	...	-165.37	Total of 9 transactions
	Security total:	1,240.23		1,635.27	229.67 W	-165.37	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
<i>5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.25	06/23/20	16.80	...	4.45	1 of 5 - Sale ²⁵
	2.000	42.50	06/23/20	33.60	...	8.90	2 of 5 - Sale ²⁵
	3.000	63.75	06/23/20	50.40	...	13.35	3 of 5 - Sale ²⁵
	20.000	425.00	06/23/20	336.00	...	89.00	4 of 5 - Sale ²⁵
	84.000	1,781.59	06/23/20	1,411.20	...	370.39	5 of 5 - Sale ²⁵
06/23/20	110.000	2,334.09	Various	1,848.00	...	486.09	Total of 5 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
06/03/20	100.000	289.23	05/18/20	280.19	...	9.04	Sale ²⁵
	<i>2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>						
	200.000	606.44	06/22/20	926.00	...	-319.56	1 of 2 - Sale ²⁵
	9.000	27.29	06/30/20	40.71	...	-13.42	2 of 2 - Sale ²⁵
08/27/20	209.000	633.73	Various	966.71	...	-332.98	Total of 2 transactions
	Security total:	922.96		1,246.90	...	-323.94	
ISORAY, INC. / CUSIP: 46489V104 / Symbol:							
05/26/20	200.000	137.00	05/13/20	190.00	...	-53.00	Sale ²⁵
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
06/11/20	200.000	590.96	06/11/20	472.00	...	118.96	Sale ²⁵
07/06/20	20.000	23.10	06/12/20	49.20	26.10 W	0.00	Sale ²⁵
07/07/20	80.000	84.79	06/12/20	196.80	112.01 W	0.00	Sale ²⁵
	<i>5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>						
	80.000	83.99	06/12/20	356.01	...	-272.02	1 of 5 - Sale ²⁵
	100.000	104.99	06/12/20	371.00	...	-266.01	2 of 5 - Sale ²⁵
	100.000	104.99	06/12/20	321.00	...	-216.01	3 of 5 - Sale ²⁵
	100.000	104.99	06/12/20	305.01	...	-200.02	4 of 5 - Sale ²⁵
	20.000	21.00	06/12/20	87.10	...	-66.10	5 of 5 - Sale ²⁵
07/08/20	400.000	419.96	Various	1,440.12	...	-1,020.16	Total of 5 transactions
	<i>4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>						
	200.000	210.00	06/12/20	492.00	282.00 W	0.00	1 of 4 - Sale ²⁵
	80.000	84.00	06/12/20	296.01	212.01 W	0.00	2 of 4 - Sale ²⁵
	20.000	21.00	06/12/20	72.10	51.10 W	0.00	3 of 4 - Sale ²⁵
	100.000	104.99	06/12/20	230.00	125.01 W	0.00	4 of 4 - Sale ²⁵
07/08/20	400.000	419.99	Various	1,090.11	670.12 W	0.00	Total of 4 transactions
	Security total:	1,538.80		3,248.23	808.23 W	-901.20	
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
06/22/20	300.000	1,034.93	06/22/20	966.00	...	68.93	Sale ²⁵
LANTRONIX, INC. COMMON STOCK / CUSIP: 516548203 / Symbol:							
07/17/20	100.000	356.99	07/16/20	373.00	...	-16.01	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LINCOLN NATIONAL CORP. / CUSIP: 534187109 / Symbol:							
05/14/20	14.000	404.32	03/11/20	454.37	...	-50.05	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
05/27/20	100.000	275.99	05/26/20	213.00	...	62.99	Sale ²⁵
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
06/05/20	20.000	463.23	05/29/20	350.60	...	112.63	Sale ²⁵
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
05/19/20	100.000	97.49	05/12/20	96.24	...	1.25	Sale ²⁵
MILLENDO THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 60040X103 / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	2.000	5.40	05/29/20	4.78	...	0.62	1 of 3 - Sale ²⁵
	100.000	269.99	05/29/20	238.99	...	31.00	2 of 3 - Sale ²⁵
	148.000	399.58	05/29/20	353.71	...	45.87	3 of 3 - Sale ²⁵
05/29/20	250.000	674.97	Various	597.48	...	77.49	Total of 3 transactions
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
05/29/20	200.000	673.98	05/29/20	549.74	...	124.24	Sale ²⁵
MYOS RENS TECHNOLOGY INC. COMM ON STOCK / CUSIP: 62857P108 / Symbol:							
5 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	18.000	41.40	06/30/20	24.66	...	16.74	1 of 5 - Sale ²⁵
	32.000	73.60	06/30/20	43.84	...	29.76	2 of 5 - Sale ²⁵
	50.000	104.49	06/30/20	68.50	...	35.99	3 of 5 - Sale ²⁵
	100.000	208.99	06/30/20	137.00	...	71.99	4 of 5 - Sale ²⁵
	100.000	208.99	06/30/20	137.00	...	71.99	5 of 5 - Sale ²⁵
07/01/20	300.000	637.47	Various	411.00	...	226.47	Total of 5 transactions
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	100.000	314.99	05/19/20	120.00	...	194.99	1 of 2 - Sale ²⁵
	100.000	314.99	05/19/20	120.00	...	194.99	2 of 2 - Sale ²⁵
05/19/20	200.000	629.98	Various	240.00	...	389.98	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
06/08/20	20.000	815.98	06/02/20	669.30	...	146.68	Sale ²⁵
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	125.99	06/24/20	112.99	...	13.00	1 of 3 - Sale ²⁵
	100.000	125.99	06/24/20	112.99	...	13.00	2 of 3 - Sale ²⁵
	300.000	377.96	06/24/20	338.97	...	38.99	3 of 3 - Sale ²⁵
06/30/20	500.000	629.94	Various	564.95	...	64.99	Total of 3 transactions
5 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	105.000	131.24	07/16/20	161.70	...	-30.46	1 of 5 - Sale ²⁵
	95.000	118.74	07/16/20	146.30	...	-27.56	2 of 5 - Sale ²⁵
	100.000	129.99	07/16/20	154.00	...	-24.01	3 of 5 - Sale ²⁵
	50.000	64.99	07/16/20	77.00	...	-12.01	4 of 5 - Sale ²⁵
	1.000	1.25	07/16/20	1.54	...	-0.29	5 of 5 - Sale ²⁵
08/18/20	351.000	446.21	Various	540.54	...	-94.33	Total of 5 transactions
	Security total:	1,076.15		1,105.49	...	-29.34	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
06/24/20	500.000	271.39	06/23/20	268.78	...	2.61	Sale ²⁵
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	9.000	406.79	06/11/20	400.41	...	6.38	1 of 2 - Sale ²⁵
	31.000	1,401.18	06/11/20	1,382.91	...	18.27	2 of 2 - Sale ²⁵
06/12/20	40.000	1,807.97	Various	1,783.32	...	24.65	Total of 2 transactions
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
5 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	110.000	278.28	08/07/20	316.80	...	-38.52	1 of 5 - Sale ²⁵
	10.000	40.80	08/07/20	30.90	...	9.90	2 of 5 - Sale ²⁵
	20.000	81.60	08/07/20	64.40	...	17.20	3 of 5 - Sale ²⁵
	30.000	122.39	08/07/20	103.21	...	19.18	4 of 5 - Sale ²⁵
	30.000	122.40	08/07/20	96.61	...	25.79	5 of 5 - Sale ²⁵
08/07/20	200.000	645.47	Various	611.92	...	33.55	Total of 5 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol: (cont'd)							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	40.000	101.19	08/07/20	128.80	20.71 W	-6.90	1 of 3 - Sale ²⁵
	40.000	101.20	08/07/20	114.80	13.60 W	0.00	2 of 3 - Sale ²⁵
	60.000	151.79	08/07/20	172.20	20.41 W	0.00	3 of 3 - Sale ²⁵
08/07/20	140.000	354.18	Various	415.80	54.72 W	-6.90	Total of 3 transactions
	Security total:	999.65		1,027.72	54.72 W	26.65	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10/07/20	10.000	102.05	09/30/20	108.00	5.95 W	0.00	Sale ²⁵
3 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	90.000	987.71	09/30/20	1,008.00	...	-20.29	1 of 3 - Sale ²⁵
	10.000	109.75	09/30/20	117.95	...	-8.20	2 of 3 - Sale ²⁵
	90.000	987.72	09/30/20	972.00	...	15.72	3 of 3 - Sale ²⁵
10/27/20	190.000	2,085.18	Various	2,097.95	...	-12.77	Total of 3 transactions
	Security total:	2,187.23		2,205.95	5.95 W	-12.77	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
12/16/20	50.000	1,911.52	12/10/20	2,147.50	...	-235.98	Sale ²⁵
PIONEER POWER SOLUTIONS, INC. COMMON STOCK / CUSIP: 723836300 / Symbol:							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	50.000	255.14	12/04/20	255.00	...	0.14	1 of 4 - Sale ²⁵
	13.000	66.34	12/04/20	64.87	...	1.47	2 of 4 - Sale ²⁵
	37.000	188.80	12/04/20	184.63	...	4.17	3 of 4 - Sale ²⁵
	50.000	255.13	12/04/20	246.50	...	8.63	4 of 4 - Sale ²⁵
12/04/20	150.000	765.41	Various	751.00	...	14.41	Total of 4 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	37.000	159.10	05/20/20	159.28	...	-0.18	1 of 2 - Sale ²⁵
	13.000	55.90	05/20/20	55.97	...	-0.07	2 of 2 - Sale ²⁵
05/28/20	50.000	215.00	Various	215.25	...	-0.25	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
<i>2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	73.000	450.40	07/30/20	481.07	...	-30.67	1 of 2 - Sale ²⁵
	7.000	43.26	07/30/20	46.13	...	-2.87	2 of 2 - Sale ²⁵
07/30/20	80.000	493.66	Various	527.20	...	-33.54	Total of 2 transactions
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
06/02/20	15.000	376.35	05/26/20	349.94	...	26.41	Sale ²⁵
06/09/20	20.000	555.58	06/08/20	568.20	...	-12.62	Sale ²⁵
	Security total:	931.93		918.14	...	13.79	
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
05/22/20	300.000	625.44	05/21/20	564.00	...	61.44	Sale ²⁵
<i>3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	80.000	251.18	06/01/20	217.60	...	33.58	1 of 3 - Sale ²⁵
	100.000	313.98	06/01/20	272.00	...	41.98	2 of 3 - Sale ²⁵
	20.000	62.80	06/02/20	44.00	...	18.80	3 of 3 - Sale ²⁵
06/03/20	200.000	627.96	Various	533.60	...	94.36	Total of 3 transactions
06/09/20	40.000	102.60	06/02/20	88.00	...	14.60	Sale ²⁵
<i>2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	26.75	06/02/20	22.00	...	4.75	1 of 2 - Sale ²⁵
	40.000	107.00	06/02/20	88.00	...	19.00	2 of 2 - Sale ²⁵
07/06/20	50.000	133.75	Various	110.00	...	23.75	Total of 2 transactions
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	63.17	06/11/20	80.15	...	-16.98	1 of 2 - Sale ²⁵
	75.000	135.36	06/23/20	172.50	...	-37.14	2 of 2 - Sale ²⁵
07/08/20	110.000	198.53	Various	252.65	...	-54.12	Total of 2 transactions
<i>3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	47.000	84.83	06/02/20	103.40	12.64 W	-5.93	1 of 3 - Sale ²⁵
	90.000	162.43	06/02/20	198.00	35.57 W	0.00	2 of 3 - Sale ²⁵
	53.000	95.65	06/02/20	116.60	20.95 W	0.00	3 of 3 - Sale ²⁵
07/08/20	190.000	342.91	Various	418.00	69.16 W	-5.93	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
<i>5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	52.02	06/23/20	67.38	...	-15.36	1 of 5 - Sale ²⁵
	55.000	114.46	06/26/20	142.74	...	-28.28	2 of 5 - Sale ²⁵
	53.000	110.29	06/26/20	137.55	...	-27.26	3 of 5 - Sale ²⁵
	32.000	66.59	06/26/20	83.04	...	-16.45	4 of 5 - Sale ²⁵
	10.000	20.81	06/26/20	25.95	...	-5.14	5 of 5 - Sale ²⁵
12/23/20	175.000	364.17	Various	456.66	...	-92.49	Total of 5 transactions
	Security total:	2,395.36		2,422.91	69.16 W	41.61	
RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol:							
07/09/20	100.000	745.97	07/08/20	900.00	154.03 W	0.00	Sale ²⁵
<i>5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	372.98	07/08/20	527.01	...	-154.03	1 of 5 - Sale ²⁵
	50.000	372.98	07/08/20	450.00	...	-77.02	2 of 5 - Sale ²⁵
	50.000	372.99	07/08/20	425.00	...	-52.01	3 of 5 - Sale ²⁵
	10.000	74.60	07/08/20	107.31	...	-32.71	4 of 5 - Sale ²⁵
	100.000	745.97	07/08/20	765.00	...	-19.03	5 of 5 - Sale ²⁵
07/13/20	260.000	1,939.52	Various	2,274.32	...	-334.80	Total of 5 transactions
07/13/20	50.000	372.99	07/08/20	527.02	30.81 W	-123.22	Sale ²⁵
	Security total:	3,058.48		3,701.34	184.84 W	-458.02	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
<i>3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	14.000	281.71	09/02/20	414.40	...	-132.69	1 of 3 - Sale ²⁵
	10.000	201.00	09/02/20	296.00	...	-95.00	2 of 3 - Sale ²⁵
	10.000	202.92	09/02/20	296.00	...	-93.08	3 of 3 - Sale ²⁵
11/24/20	34.000	685.63	Various	1,006.40	...	-320.77	Total of 3 transactions
SEACHANGE INTERNATIONAL, INC. COMMON STOCK / CUSIP: 811699107 / Symbol:							
05/29/20	200.000	381.98	05/27/20	379.62	...	2.36	Sale ²⁵
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
06/01/20	250.000	120.20	05/28/20	127.44	...	-7.24	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
17 EDUCATION & TECHNOLOGY GROU P INC. AMERICAN DEPOSITARY SHA RES / CUSIP: 81807M106 / Symbol:							
12/07/20	90.000	967.46	12/04/20	963.00	...	4.46	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
05/15/20	30.000	215.44	05/15/20	134.10	...	81.34	Sale ²⁵
06/09/20	20.000	99.83	05/18/20	160.00	60.17 W	0.00	Sale ²⁵
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	20.000	133.60	07/01/20	195.97	...	-62.37	1 of 3 - Sale ²⁵
	60.000	400.79	07/01/20	407.40	...	-6.61	2 of 3 - Sale ²⁵
	20.000	133.59	07/01/20	133.00	...	0.59	3 of 3 - Sale ²⁵
07/02/20	100.000	667.98	Various	736.37	...	-68.39	Total of 3 transactions
	Security total:	983.25		1,030.47	60.17 W	12.95	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	20.000	262.39	07/13/20	383.00	...	-120.61	1 of 2 - Sale ²⁵
	20.000	262.39	07/13/20	378.00	...	-115.61	2 of 2 - Sale ²⁵
08/27/20	40.000	524.78	Various	761.00	...	-236.22	Total of 2 transactions
TEVA PHARMACEUTICAL INDUSTRIES LIMITED AMERICAN DEPOSITARY S HARES / CUSIP: 881624209 / Symbol:							
08/06/20	3.000	36.55	07/29/20	35.60	...	0.95	Sale ²⁵
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
03/31/20	25.000	158.85	03/16/20	83.75	...	75.10	Sale ²⁵
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	10.000	89.50	11/27/20	82.76	...	6.74	1 of 2 - Sale ²⁵
	20.000	179.00	11/27/20	165.56	...	13.44	2 of 2 - Sale ²⁵
12/01/20	30.000	268.50	Various	248.32	...	20.18	Total of 2 transactions
	Security total:	427.35		332.07	...	95.28	
TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol:							
05/20/20	12.000	41.64	05/14/20	115.20	...	-73.56	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	51.37	09/18/20	50.35	...	1.02	1 of 3 - Sale ²⁵
	25.000	1,284.34	09/18/20	1,256.25	...	28.09	2 of 3 - Sale ²⁵
	54.000	2,774.18	09/22/20	2,706.48	...	67.70	3 of 3 - Sale ²⁵
09/29/20	80.000	4,109.89	Various	4,013.08	...	96.81	Total of 3 transactions
TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	53.000	134.88	10/29/20	140.45	...	-5.57	1 of 2 - Sale ²⁵
	47.000	119.61	10/29/20	124.55	...	-4.94	2 of 2 - Sale ²⁵
11/02/20	100.000	254.49	Various	265.00	...	-10.51	Total of 2 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
06/01/20	5.000	141.00	05/08/20	120.40	...	20.60	Sale ²⁵
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
06/01/20	15.000	385.95	05/26/20	383.53	...	2.42	Sale ²⁵
06/08/20	15.000	426.15	06/04/20	413.40	...	12.75	Sale ²⁵
	Security total:	812.10		796.93	...	15.17	
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	200.000	596.00	07/07/20	616.00	...	-20.00	1 of 2 - Sale ²⁵
	100.000	298.00	07/07/20	308.00	...	-10.00	2 of 2 - Sale ²⁵
07/08/20	300.000	894.00	Various	924.00	...	-30.00	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/01/20	40.000	715.98	06/30/20	672.00	...	43.98	Sale ²⁵
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	20.000	328.00	07/06/20	317.00	...	11.00	1 of 3 - Sale ²⁵
	25.000	409.99	07/06/20	397.50	...	12.49	2 of 3 - Sale ²⁵
	50.000	819.98	07/06/20	797.50	...	22.48	3 of 3 - Sale ²⁵
07/07/20	95.000	1,557.97	Various	1,512.00	...	45.97	Total of 3 transactions
09/22/20	6.000	178.02	09/18/20	186.84	...	-8.82	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	14.000	415.37	09/18/20	435.82	14.61 W	-5.84	1 of 3 - Sale ²⁵
	10.000	296.70	09/18/20	311.40	14.70 W	0.00	2 of 3 - Sale ²⁵
	10.000	296.69	09/18/20	326.00	29.31 W	0.00	3 of 3 - Sale ²⁵
09/22/20	34.000	1,008.76	Various	1,073.22	58.62 W	-5.84	Total of 3 transactions
3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	10.000	207.48	09/18/20	340.71	...	-133.23	1 of 3 - Sale ²⁵
	7.000	145.24	09/18/20	228.21	...	-82.97	2 of 3 - Sale ²⁵
	3.000	62.24	09/18/20	97.83	...	-35.59	3 of 3 - Sale ²⁵
10/20/20	20.000	414.96	Various	666.75	...	-251.79	Total of 3 transactions
	Security total:	3,875.69		4,110.81	58.62 W	-176.50	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
09/02/20	46.000	965.97	08/27/20	1,103.54	...	-137.57	Sale ²⁵
YRC WORLDWIDE, INC. COMMON STO CK / CUSIP: 984249607 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	127.000	389.87	07/01/20	378.17	...	11.70	1 of 2 - Sale ²⁵
	173.000	531.07	07/01/20	515.14	...	15.93	2 of 2 - Sale ²⁵
07/01/20	300.000	920.94	Various	893.31	...	27.63	Total of 2 transactions
YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	120.000	444.58	06/24/20	470.40	...	-25.82	1 of 2 - Sale ²⁵
	80.000	296.38	06/24/20	313.60	...	-17.22	2 of 2 - Sale ²⁵
06/24/20	200.000	740.96	Various	784.00	...	-43.04	Total of 2 transactions
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	99.000	798.52	11/13/20	803.84	...	-5.32	1 of 2 - Sale ²⁵
	1.000	8.07	11/13/20	8.12	...	-0.05	2 of 2 - Sale ²⁵
11/13/20	100.000	806.59	Various	811.96	...	-5.37	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TECHNIPFMC PLC ORDINARY SHARE / CUSIP: G87110105 / Symbol:							
07/08/20	100.000	735.98	07/07/20	926.00	190.02 W	0.00	Sale ²⁵
		3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.					
	49.000	367.49	07/07/20	605.17	...	-237.68	1 of 3 - Sale ²⁵
	50.000	374.98	07/07/20	556.03	...	-181.05	2 of 3 - Sale ²⁵
	1.000	7.50	07/07/20	12.35	...	-4.85	3 of 3 - Sale ²⁵
07/13/20	100.000	749.97	Various	1,173.55	...	-423.58	Total of 3 transactions
		5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.					
	1.000	7.50	07/07/20	10.90	3.40 W	0.00	1 of 5 - Sale ²⁵
	49.000	367.49	07/07/20	534.11	166.62 W	0.00	2 of 5 - Sale ²⁵
	50.000	374.98	07/07/20	536.01	161.03 W	0.00	3 of 5 - Sale ²⁵
	1.000	7.50	07/07/20	11.95	4.45 W	0.00	4 of 5 - Sale ²⁵
	49.000	367.50	07/07/20	585.57	218.07 W	0.00	5 of 5 - Sale ²⁵
07/13/20	150.000	1,124.97	Various	1,678.54	553.57 W	0.00	Total of 5 transactions
	Security total:	2,610.92		3,778.09	743.59 W	-423.58	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
		2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.					
	300.000	148.50	06/15/20	191.64	...	-43.14	1 of 2 - Sale ²⁵
	200.000	99.00	06/15/20	127.76	...	-28.76	2 of 2 - Sale ²⁵
07/02/20	500.000	247.50	Various	319.40	...	-71.90	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
		2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.					
	400.000	320.95	06/22/20	363.96	...	-43.01	1 of 2 - Sale ²⁵
	100.000	80.24	06/22/20	90.99	...	-10.75	2 of 2 - Sale ²⁵
06/26/20	500.000	401.19	Various	454.95	...	-53.76	Total of 2 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
06/05/20	1,200.000	302.74	06/04/20	210.00	...	92.74	Sale ²⁵
Totals :		92,883.43		104,729.25	7,961.37 W	-3,884.45	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 419946363

Proceeds Not Reported to the IRS

2020

03/15/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: 07/08/20	0.776	2.83	06/30/20	3.53	...	-0.70	Gain or loss
TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol: 06/15/20	0.500	0.28	05/14/20	4.80	...	-4.52	Gain or loss
Totals:		3.11		8.33	...	-5.22	

Robinhood Securities LLC

Account 419946363

Detail for Dividends and Distributions

2020

03/15/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100		06/30/20	5.58	Nondividend distribution	03
			07/28/20	4.18	Nondividend distribution	03
				9.76	Total Dividends & distributions	
LINCOLN NATIONAL CORP.	534187109		05/01/20	5.60	Qualified dividend	
				15.36	Total Dividends & distributions	

Robinhood Securities LLC

Account 419946363

Fees and Expenses

2020

03/15/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/30/20	-5.00	Margin interest paid	
		07/30/20	-0.24	Margin interest paid	
		07/30/20	-5.00	Margin interest paid	
		08/31/20	-5.00	Margin interest paid	
		09/28/20	-0.65	Margin interest paid	
		09/28/20	-5.00	Margin interest paid	
		10/28/20	-0.49	Margin interest paid	
		10/28/20	-5.00	Margin interest paid	
		11/27/20	-5.00	Margin interest paid	
		12/28/20	-0.03	Margin interest paid	
		12/28/20	-5.00	Margin interest paid	
			-36.41	Total Margin interest paid	
			-36.41	Total Margin interest paid	

Robinhood Securities LLC

Account 419946363

Non-Reportable Actions

2020

03/15/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	9.00	0.00	Stock dividend	
NIKOLA CORPORATION COMMON STOC K	654110105	06/04/20	20.00	0.00	Merger	
VECTOIQ ACQUISITION CORP. COMM ON STOCK	92243N103	06/04/20	-20.00	0.00	Merger	
FSR 05/21/2021 CALL \$15.00	FSR 05/21/21 C 15.000	10/30/20	1.00	0.00	Merger	
FSR 05/21/2021 CALL \$12.50	FSR 05/21/21 C 12.500	10/30/20	1.00	0.00	Merger	
PFE 01/15/2021 CALL \$55.00	PFE 01/15/21 C 55.000	11/17/20	-10.00	0.00	Merger	
PFE1 01/15/2021 CALL \$55.00	PFE1 01/15/21 C 55.000	11/17/20	10.00	0.00	Merger	
		12/02/20	10.00	0.00	Merger	
		12/02/20	-10.00	0.00	Merger	
RMG 01/15/2021 CALL \$40.00	RMG 01/15/21 C 40.000	12/30/20	-1.00	0.00	Merger	
RMO 01/15/2021 CALL \$40.00	RMO 01/15/21 C 40.000	12/30/20	1.00	0.00	Merger	
SPAQ 05/21/2021 CALL \$15.00	SPAQ 05/21/21 C 15.000	10/30/20	-1.00	0.00	Merger	
SPAQ 05/21/2021 CALL \$12.50	SPAQ 05/21/21 C 12.500	10/30/20	-1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

This page intentionally left blank.