

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 715417267	Statement Date: 03/22/2021 AMENDED Document ID: 29ME B63 0878	2020
	Manikanta ashok kumar Kakarala 380 Lincoln Hwy Apt B8 Fairless Hills, PA 19030 RECIPIENT'S TIN: XXX-XX-1024		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	2.28		2- Royalties	0.00	
1b- Qualified dividends	0.68		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.42		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	19,940.80	18,924.45	0.00	419.18	1,435.53 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	10.22	23.84	0.00	0.00	-13.62
	Total Short-term	19,951.02	18,948.29	0.00	419.18	1,421.91
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	19,951.02	18,948.29	0.00	419.18	1,421.91
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 715417267

Summary Information

2020

(continued)

03/22/2021 AMENDED

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
06/29/20	10.000	177.60	06/05/20	224.40	...	-46.80	Sale ²⁵
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol:							
07/06/20	5.000	86.88	06/29/20	86.00	...	0.88	Sale ²⁵
ANAPLAN, INC. / CUSIP: 03272L108 / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	48.06	07/13/20	49.50	...	-1.44	1 of 3 - Sale ²⁵
	2.000	96.12	07/13/20	97.54	...	-1.42	2 of 3 - Sale ²⁵
	2.000	96.12	07/13/20	97.00	...	-0.88	3 of 3 - Sale ²⁵
08/18/20	5.000	240.30	Various	244.04	...	-3.74	Total of 3 transactions
BP P.L.C. / CUSIP: 055622104 / Symbol:							
06/29/20	2.000	47.20	06/08/20	56.16	...	-8.96	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
04/17/20	1.000	23.25	04/14/20	24.23	...	-0.98	Sale ²⁵
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
<i>9 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	7.52	06/22/20	8.68	1.16 W	0.00	1 of 9 - Sale ²⁵
	20.000	15.04	06/22/20	17.00	1.96 W	0.00	2 of 9 - Sale ²⁵
	10.000	7.52	06/22/20	8.16	0.64 W	0.00	3 of 9 - Sale ²⁵
	10.000	7.51	06/22/20	7.80	0.29 W	0.00	4 of 9 - Sale ²⁵
	10.000	7.44	06/22/20	8.96	1.52 W	0.00	5 of 9 - Sale ²⁵
	10.000	7.44	06/22/20	8.78	1.34 W	0.00	6 of 9 - Sale ²⁵
	10.000	7.44	06/22/20	8.68	1.24 W	0.00	7 of 9 - Sale ²⁵
	10.000	7.44	06/22/20	8.24	0.80 W	0.00	8 of 9 - Sale ²⁵
	10.000	7.43	06/23/20	7.45	0.02 W	0.00	9 of 9 - Sale ²⁵
07/15/20	100.000	74.78	Various	83.75	8.97 W	0.00	Total of 9 transactions
07/20/20	10.000	7.21	06/23/20	6.90	...	0.31	Sale ²⁵
<i>4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	7.21	06/23/20	8.68	1.47 W	0.00	1 of 4 - Sale ²⁵
	10.000	7.21	06/23/20	8.50	1.29 W	0.00	2 of 4 - Sale ²⁵
	10.000	7.21	06/23/20	8.24	1.03 W	0.00	3 of 4 - Sale ²⁵
	10.000	7.21	06/23/20	7.80	0.59 W	0.00	4 of 4 - Sale ²⁵
07/20/20	40.000	28.84	Various	33.22	4.38 W	0.00	Total of 4 transactions
<i>7 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	7.30	06/23/20	8.35	...	-1.05	1 of 7 - Sale ²⁵
	10.000	7.30	06/23/20	8.17	...	-0.87	2 of 7 - Sale ²⁵
	10.000	7.30	06/23/20	7.76	...	-0.46	3 of 7 - Sale ²⁵
	10.000	7.30	06/23/20	7.31	...	-0.01	4 of 7 - Sale ²⁵
	20.000	14.60	06/29/20	14.36	...	0.24	5 of 7 - Sale ²⁵
	20.000	14.60	06/29/20	14.20	...	0.40	6 of 7 - Sale ²⁵
	20.000	14.59	06/29/20	13.80	...	0.79	7 of 7 - Sale ²⁵
07/21/20	100.000	72.99	Various	73.95	...	-0.96	Total of 7 transactions
	Security total:	183.82		197.82	13.35 W	-0.65	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
06/18/20	20.000	11.60	06/10/20	11.40	...	0.20	Sale ²⁵
06/29/20	30.000	15.81	06/10/20	17.10	...	-1.29	Sale ²⁵
	Security total:	27.41		28.50	...	-1.09	
BOXLIGHT CORPORATION CLASS A COMMON STOCK / CUSIP: 103197109 / Symbol:							
<i>6 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	3.93	05/20/20	3.68	...	0.25	1 of 6 - Sale ²⁵
	10.000	13.10	05/20/20	12.75	...	0.35	2 of 6 - Sale ²⁵
	30.000	38.55	05/20/20	37.95	...	0.60	3 of 6 - Sale ²⁵
	10.000	13.10	05/20/20	12.46	...	0.64	4 of 6 - Sale ²⁵
	10.000	13.10	05/20/20	12.40	...	0.70	5 of 6 - Sale ²⁵
	17.000	22.27	05/20/20	20.82	...	1.45	6 of 6 - Sale ²⁵
05/20/20	80.000	104.05	Various	100.06	...	3.99	Total of 6 transactions
<i>2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	13.20	05/20/20	13.35	0.15 W	0.00	1 of 2 - Sale ²⁵
	10.000	13.10	05/20/20	13.25	0.15 W	0.00	2 of 2 - Sale ²⁵
05/20/20	20.000	26.30	Various	26.60	0.30 W	0.00	Total of 2 transactions
<i>6 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	27.89	05/27/20	38.79	...	-10.90	1 of 6 - Sale ²⁵
	13.000	12.09	05/27/20	18.26	...	-6.17	2 of 6 - Sale ²⁵
	27.000	25.10	05/27/20	29.98	...	-4.88	3 of 6 - Sale ²⁵
	20.000	18.60	05/27/20	22.80	...	-4.20	4 of 6 - Sale ²⁵
	7.000	6.51	05/27/20	9.82	...	-3.31	5 of 6 - Sale ²⁵
	3.000	2.79	05/27/20	3.34	...	-0.55	6 of 6 - Sale ²⁵
06/01/20	100.000	92.98	Various	122.99	...	-30.01	Total of 6 transactions
<i>17 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	18.50	05/20/20	24.60	6.10 W	0.00	1 of 17 - Sale ²⁵
	30.000	27.75	05/21/20	33.58	5.83 W	0.00	2 of 17 - Sale ²⁵
	30.000	27.75	05/21/20	33.90	6.15 W	0.00	3 of 17 - Sale ²⁵
	20.000	18.50	05/21/20	23.00	4.50 W	0.00	4 of 17 - Sale ²⁵
	50.000	46.24	05/21/20	53.25	7.01 W	0.00	5 of 17 - Sale ²⁵
	20.000	18.50	05/21/20	22.10	3.60 W	0.00	6 of 17 - Sale ²⁵
	3.000	2.77	05/21/20	3.23	0.46 W	0.00	7 of 17 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	27.000	24.97	05/21/20	29.03	4.06 W	0.00	8 of 17 - Sale ²⁵
	7.000	6.52	05/21/20	9.62	3.10 W	0.00	9 of 17 - Sale ²⁵
	13.000	12.10	05/21/20	17.88	5.78 W	0.00	10 of 17 - Sale ²⁵
	30.000	27.93	05/21/20	37.93	10.00 W	0.00	11 of 17 - Sale ²⁵
	20.000	18.62	05/22/20	23.90	5.28 W	0.00	12 of 17 - Sale ²⁵
	10.000	9.31	05/22/20	11.94	2.63 W	0.00	13 of 17 - Sale ²⁵
	20.000	18.63	05/22/20	23.55	4.92 W	0.00	14 of 17 - Sale ²⁵
	29.000	26.97	05/22/20	31.69	4.72 W	0.00	15 of 17 - Sale ²⁵
	1.000	0.93	05/22/20	1.09	0.16 W	0.00	16 of 17 - Sale ²⁵
	20.000	18.60	05/22/20	21.80	3.20 W	0.00	17 of 17 - Sale ²⁵
06/01/20	350.000	324.59	Various	402.09	77.50 W	0.00	Total of 17 transactions
	6 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	20.000	20.80	06/02/20	22.71	...	-1.91	1 of 6 - Sale ²⁵
	20.000	20.80	06/02/20	22.35	...	-1.55	2 of 6 - Sale ²⁵
	10.000	10.40	06/02/20	11.35	...	-0.95	3 of 6 - Sale ²⁵
	29.000	29.87	06/02/20	29.99	...	-0.12	4 of 6 - Sale ²⁵
	20.000	20.61	06/02/20	20.63	...	-0.02	5 of 6 - Sale ²⁵
	1.000	1.03	06/02/20	1.03	...	0.00	6 of 6 - Sale ²⁵
06/04/20	100.000	103.51	Various	108.06	...	-4.55	Total of 6 transactions
	Security total:	651.43		759.80	77.80 W	-30.57	
BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol:							
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	50.000	51.74	06/26/20	52.50	...	-0.76	1 of 3 - Sale ²⁵
	10.000	10.35	06/26/20	10.65	...	-0.30	2 of 3 - Sale ²⁵
	10.000	10.35	06/26/20	10.60	...	-0.25	3 of 3 - Sale ²⁵
06/29/20	70.000	72.44	Various	73.75	...	-1.31	Total of 3 transactions
CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol:							
04/24/20	1.000	54.55	04/14/20	57.58	...	-3.03	Sale ²⁵
	5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	64.95	06/10/20	73.91	...	-8.96	1 of 5 - Sale ²⁵
	1.000	64.95	06/10/20	73.70	...	-8.75	2 of 5 - Sale ²⁵
	1.000	64.95	06/10/20	73.70	...	-8.75	3 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol: (cont'd)							
	1.000	66.00	06/10/20	73.50	...	-7.50	4 of 5 - Sale ²⁵
	1.000	66.00	06/10/20	73.50	...	-7.50	5 of 5 - Sale ²⁵
07/23/20	5.000	326.85	Various	368.31	...	-41.46	Total of 5 transactions
08/03/20	1.000	64.19	06/10/20	72.95	...	-8.76	Sale ²⁵
10/09/20	1.000	80.78	06/10/20	72.95	...	7.83	Sale ²⁵
	Security total:	526.37		571.79	...	-45.42	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
04/17/20	1.000	12.50	04/15/20	11.96	...	0.54	Sale ²⁵
05/27/20	9.000	153.91	05/22/20	129.87	...	24.04	Sale ²⁵
06/01/20	1.000	16.61	05/22/20	14.43	...	2.18	Sale ²⁵
	Security total:	183.02		156.26	...	26.76	
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	19.60	06/04/20	40.60	...	-21.00	1 of 2 - Sale ²⁵
	10.000	19.60	06/04/20	37.00	...	-17.40	2 of 2 - Sale ²⁵
06/29/20	20.000	39.20	Various	77.60	...	-38.40	Total of 2 transactions
COTY INC / CUSIP: 222070203 / Symbol:							
05/22/20	30.000	104.70	05/21/20	98.25	...	6.45	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
05/27/20	9.000	233.82	05/22/20	201.14	...	32.68	Sale ²⁵
06/01/20	1.000	26.57	05/22/20	22.35	...	4.22	Sale ²⁵
	Security total:	260.39		223.49	...	36.90	
DESTINATION XL GROUP INC COMMO N STOCK / CUSIP: 25065K104 / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	50.000	22.49	05/28/20	21.59	...	0.90	1 of 4 - Sale ²⁵
	30.000	13.50	06/02/20	12.06	...	1.44	2 of 4 - Sale ²⁵
	120.000	53.99	06/02/20	48.24	...	5.75	3 of 4 - Sale ²⁵
	100.000	44.98	06/02/20	37.00	...	7.98	4 of 4 - Sale ²⁵
06/05/20	300.000	134.96	Various	118.89	...	16.07	Total of 4 transactions
06/12/20	19.000	11.21	06/04/20	6.29	...	4.92	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DESTINATION XL GROUP INC COMMO N STOCK / CUSIP: 25065K104 / Symbol: (cont'd)							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	0.63	06/04/20	0.33	...	0.30	1 of 3 - Sale ²⁵
	50.000	31.74	06/26/20	34.00	...	-2.26	2 of 3 - Sale ²⁵
	30.000	19.05	06/26/20	21.15	...	-2.10	3 of 3 - Sale ²⁵
06/29/20	81.000	51.42	Various	55.48	...	-4.06	Total of 3 transactions
	Security total:	197.59		180.66	...	16.93	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
05/26/20	1.000	152.24	05/21/20	140.75	...	11.49	Sale ²⁵
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
04/17/20	5.000	119.75	04/14/20	123.15	...	-3.40	Sale ²⁵
07/15/20	3.000	100.50	06/25/20	98.58	...	1.92	Sale ²⁵
	Security total:	220.25		221.73	...	-1.48	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	4.000	73.96	06/05/20	92.00	...	-18.04	1 of 2 - Sale ²⁵
	1.000	18.49	06/05/20	23.00	...	-4.51	2 of 2 - Sale ²⁵
06/29/20	5.000	92.45	Various	115.00	...	-22.55	Total of 2 transactions
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
06/01/20	20.000	168.00	05/27/20	152.00	...	16.00	Sale ²⁵
DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol:							
07/06/20	50.000	47.01	06/29/20	41.00	...	6.01	Sale ²⁵
DEFIANCE NEXT GEN CONNECTIVITY ETF / CUSIP: 26922A289 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	29.83	07/13/20	27.94	...	1.89	1 of 2 - Sale ²⁵
	1.000	29.83	07/13/20	27.50	...	2.33	2 of 2 - Sale ²⁵
08/13/20	2.000	59.66	Various	55.44	...	4.22	Total of 2 transactions
10/09/20	1.000	30.01	07/13/20	27.50	...	2.51	Sale ²⁵
	Security total:	89.67		82.94	...	6.73	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	8.000	55.44	06/04/20	65.04	...	-9.60	1 of 2 - Sale ²⁵
	2.000	13.86	06/04/20	16.26	...	-2.40	2 of 2 - Sale ²⁵
06/04/20	10.000	69.30	Various	81.30	...	-12.00	Total of 2 transactions
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	10.000	68.30	06/04/20	88.50	20.20 W	0.00	1 of 3 - Sale ²⁵
	10.000	68.60	06/04/20	97.30	28.70 W	0.00	2 of 3 - Sale ²⁵
	10.000	68.60	06/04/20	69.40	0.80 W	0.00	3 of 3 - Sale ²⁵
06/04/20	30.000	205.50	Various	255.20	49.70 W	0.00	Total of 3 transactions
06/05/20	10.000	67.80	06/04/20	44.05	...	23.75	Sale ²⁵
	Security total:	342.60		380.55	49.70 W	11.75	
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
04/17/20	1.000	7.58	03/20/20	6.41	...	1.17	Sale ²⁵
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
04/17/20	5.000	13.85	04/15/20	13.89	...	-0.04	Sale ²⁵
HDFC BANK LIMITED / CUSIP: 40415F101 / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	2.000	91.33	06/08/20	92.20	...	-0.87	1 of 2 - Sale ²⁵
	2.000	91.33	06/10/20	88.41	...	2.92	2 of 2 - Sale ²⁵
06/23/20	4.000	182.66	Various	180.61	...	2.05	Total of 2 transactions
06/23/20	3.000	136.99	06/08/20	138.30	0.87 W	-0.44	Sale ²⁵
	Security total:	319.65		318.91	0.87 W	1.61	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	30.000	22.12	05/26/20	21.35	...	0.77	1 of 3 - Sale ²⁵
	30.000	22.12	05/27/20	19.62	...	2.50	2 of 3 - Sale ²⁵
	58.000	42.77	06/01/20	41.51	...	1.26	3 of 3 - Sale ²⁵
06/01/20	118.000	87.01	Various	82.48	...	4.53	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	0.80	06/01/20	0.72	...	0.08	1 of 2 - Sale ²⁵
	20.000	16.00	06/04/20	14.50	...	1.50	2 of 2 - Sale ²⁵
06/05/20	21.000	16.80	Various	15.22	...	1.58	Total of 2 transactions
	Security total:	103.81		97.70	...	6.11	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
06/29/20	10.000	24.05	06/24/20	16.45	...	7.60	Sale ²⁵
ICICI BANK LIMITED / CUSIP: 45104G104 / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	3.000	28.84	06/08/20	28.95	...	-0.11	1 of 2 - Sale ²⁵
	3.000	28.89	06/08/20	28.95	...	-0.06	2 of 2 - Sale ²⁵
06/22/20	6.000	57.73	Various	57.90	...	-0.17	Total of 2 transactions
06/23/20	4.000	39.89	06/08/20	38.60	...	1.29	Sale ²⁵
	Security total:	97.62		96.50	...	1.12	
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
06/01/20	100.000	136.00	05/27/20	133.00	...	3.00	Sale ²⁵
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	10.000	20.30	06/04/20	16.30	...	4.00	1 of 2 - Sale ²⁵
	10.000	20.30	06/04/20	15.90	...	4.40	2 of 2 - Sale ²⁵
06/09/20	20.000	40.60	Various	32.20	...	8.40	Total of 2 transactions
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	16.80	06/04/20	15.70	...	1.10	1 of 2 - Sale ²⁵
	19.000	31.92	06/04/20	30.02	...	1.90	2 of 2 - Sale ²⁵
06/15/20	29.000	48.72	Various	45.72	...	3.00	Total of 2 transactions
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1.48	06/04/20	1.58	0.10 W	0.00	1 of 2 - Sale ²⁵
	10.000	14.75	06/23/20	16.35	1.60 W	0.00	2 of 2 - Sale ²⁵
06/29/20	11.000	16.23	Various	17.93	1.70 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	10.000	15.00	07/01/20	15.35	...	-0.35	1 of 3 - Sale ²⁵
	1.000	1.50	07/01/20	1.48	...	0.02	2 of 3 - Sale ²⁵
	19.000	28.50	07/01/20	26.12	...	2.38	3 of 3 - Sale ²⁵
07/21/20	30.000	45.00	Various	42.95	...	2.05	Total of 3 transactions
	Security total:	286.55		271.80	1.70 W	16.45	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	100.000	47.99	05/22/20	45.00	...	2.99	1 of 4 - Sale ²⁵
	50.000	24.66	05/27/20	20.61	...	4.05	2 of 4 - Sale ²⁵
	20.000	10.00	05/28/20	8.35	...	1.65	3 of 4 - Sale ²⁵
	30.000	14.79	05/28/20	12.53	...	2.26	4 of 4 - Sale ²⁵
06/08/20	200.000	97.44	Various	86.49	...	10.95	Total of 4 transactions
06/09/20	50.000	27.50	06/02/20	22.00	...	5.50	Sale ²⁵
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.50	06/04/20	0.44	...	0.06	1 of 2 - Sale ²⁵
	28.000	14.00	06/04/20	12.32	...	1.68	2 of 2 - Sale ²⁵
06/12/20	29.000	14.50	Various	12.76	...	1.74	Total of 2 transactions
06/29/20	1.000	0.49	06/04/20	0.44	...	0.05	Sale ²⁵
	Security total:	139.93		121.69	...	18.24	
JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E403 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	3.000	21.00	06/29/20	30.10	...	-9.10	1 of 2 - Sale ²⁵
	2.000	14.00	06/29/20	20.20	...	-6.20	2 of 2 - Sale ²⁵
07/10/20	5.000	35.00	Various	50.30	...	-15.30	Total of 2 transactions
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	3.000	20.64	06/29/20	25.28	4.64 W	0.00	1 of 2 - Sale ²⁵
	2.000	13.76	06/29/20	16.98	3.22 W	0.00	2 of 2 - Sale ²⁵
07/10/20	5.000	34.40	Various	42.26	7.86 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E403 / Symbol: (cont'd)							
6 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	4.000	28.80	06/29/20	33.20	...	-4.40	1 of 6 - Sale ²⁵
	3.000	21.60	06/29/20	25.17	...	-3.57	2 of 6 - Sale ²⁵
	0.900	6.48	06/29/20	8.55	...	-2.07	3 of 6 - Sale ²⁵
	0.100	0.72	06/29/20	0.95	...	-0.23	4 of 6 - Sale ²⁵
	1.000	7.20	07/01/20	9.00	...	-1.80	5 of 6 - Sale ²⁵
	1.000	7.21	07/01/20	8.90	...	-1.69	6 of 6 - Sale ²⁵
07/29/20	10.000	72.01	Various	85.77	...	-13.76	Total of 6 transactions
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	0.100	0.72	06/29/20	0.84	0.12 W	0.00	1 of 2 - Sale ²⁵
	2.900	20.88	06/29/20	24.36	3.48 W	0.00	2 of 2 - Sale ²⁵
07/29/20	3.000	21.60	Various	25.20	3.60 W	0.00	Total of 2 transactions
	Security total:	163.01		203.53	11.46 W	-29.06	
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1.62	06/04/20	1.17	...	0.45	1 of 4 - Sale ²⁵
	9.000	14.58	06/04/20	10.53	...	4.05	2 of 4 - Sale ²⁵
	10.000	16.20	06/04/20	11.90	...	4.30	3 of 4 - Sale ²⁵
	20.000	33.00	06/04/20	23.40	...	9.60	4 of 4 - Sale ²⁵
06/08/20	40.000	65.40	Various	47.00	...	18.40	Total of 4 transactions
06/12/20	19.000	32.68	06/04/20	22.23	...	10.45	Sale ²⁵
06/29/20	1.000	2.34	06/04/20	1.17	...	1.17	Sale ²⁵
	Security total:	100.42		70.40	...	30.02	
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
8 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1.15	06/22/20	0.70	...	0.45	1 of 8 - Sale ²⁵
	5.000	5.75	06/22/20	3.65	...	2.10	2 of 8 - Sale ²⁵
	5.000	5.75	06/22/20	3.40	...	2.35	3 of 8 - Sale ²⁵
	5.000	5.65	06/22/20	3.30	...	2.35	4 of 8 - Sale ²⁵
	5.000	6.00	06/22/20	3.22	...	2.78	5 of 8 - Sale ²⁵
	15.000	16.95	06/22/20	10.20	...	6.75	6 of 8 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	15.000	18.00	06/22/20	9.90	...	8.10	7 of 8 - Sale ²⁵
	19.000	21.85	06/22/20	13.30	...	8.55	8 of 8 - Sale ²⁵
06/23/20	70.000	81.10	Various	47.67	...	33.43	Total of 8 transactions
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	1.15	06/22/20	0.62	...	0.53	1 of 3 - Sale ²⁵
	5.000	5.75	06/22/20	3.22	...	2.53	2 of 3 - Sale ²⁵
	8.000	9.20	06/22/20	4.96	...	4.24	3 of 3 - Sale ²⁵
06/25/20	14.000	16.10	Various	8.80	...	7.30	Total of 3 transactions
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.000	0.92	06/22/20	0.62	...	0.30	1 of 2 - Sale ²⁵
	20.000	18.30	07/01/20	18.00	...	0.30	2 of 2 - Sale ²⁵
07/08/20	21.000	19.22	Various	18.62	...	0.60	Total of 2 transactions
	Security total:	116.42		75.09	...	41.33	
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
06/01/20	20.000	38.64	05/28/20	38.11	...	0.53	Sale ²⁵
06/01/20	50.000	96.10	05/27/20	97.00	0.90 W	0.00	Sale ²⁵
	2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	20.000	34.60	06/09/20	53.36	...	-18.76	1 of 2 - Sale ²⁵
	10.000	17.30	06/19/20	20.56	...	-3.26	2 of 2 - Sale ²⁵
08/13/20	30.000	51.90	Various	73.92	...	-22.02	Total of 2 transactions
08/18/20	10.000	17.60	06/19/20	20.48	...	-2.88	Sale ²⁵
	5 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	10.000	14.32	06/19/20	20.00	...	-5.68	1 of 5 - Sale ²⁵
	10.000	14.31	06/23/20	19.28	...	-4.97	2 of 5 - Sale ²⁵
	10.000	14.32	06/23/20	19.28	...	-4.96	3 of 5 - Sale ²⁵
	10.000	14.31	06/23/20	19.18	...	-4.87	4 of 5 - Sale ²⁵
	10.000	14.32	06/23/20	19.00	...	-4.68	5 of 5 - Sale ²⁵
11/16/20	50.000	71.58	Various	96.74	...	-25.16	Total of 5 transactions
	Security total:	275.82		326.25	0.90 W	-49.53	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	65.67	06/05/20	77.27	...	-11.60	1 of 3 - Sale ²⁵
	1.000	32.83	06/05/20	38.22	...	-5.39	2 of 3 - Sale ²⁵
	1.000	32.83	06/05/20	38.22	...	-5.39	3 of 3 - Sale ²⁵
06/29/20	4.000	131.33	Various	153.71	...	-22.38	Total of 3 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
06/01/20	20.000	36.28	05/28/20	36.54	...	-0.26	Sale ²⁵
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	34.75	05/28/20	35.36	...	-0.61	1 of 2 - Sale ²⁵
	5.000	34.75	05/28/20	35.23	...	-0.48	2 of 2 - Sale ²⁵
06/02/20	10.000	69.50	Various	70.59	...	-1.09	Total of 2 transactions
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	34.61	05/28/20	35.28	0.67 W	0.00	1 of 3 - Sale ²⁵
	5.000	34.61	05/28/20	35.34	0.73 W	0.00	2 of 3 - Sale ²⁵
	5.000	34.66	05/28/20	35.52	0.86 W	0.00	3 of 3 - Sale ²⁵
06/02/20	15.000	103.88	Various	106.14	2.26 W	0.00	Total of 3 transactions
	Security total:	173.38		176.73	2.26 W	-1.09	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	5.000	20.81	04/14/20	21.45	...	-0.64	1 of 2 - Sale ²⁵
	2.000	8.33	04/16/20	7.97	...	0.36	2 of 2 - Sale ²⁵
04/17/20	7.000	29.14	Various	29.42	...	-0.28	Total of 2 transactions
06/04/20	1.000	5.99	05/21/20	5.96	...	0.03	Sale ²⁵
	Security total:	35.13		35.38	...	-0.25	
MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol:							
04/17/20	1.000	24.48	04/14/20	26.20	...	-1.72	Sale ²⁵
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	4.000	144.80	06/08/20	166.92	...	-22.12	1 of 2 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol: (cont'd)							
	1.000	36.20	06/08/20	41.73	...	-5.53	2 of 2 - Sale ²⁵
06/29/20	5.000	181.00	Various	208.65	...	-27.65	Total of 2 transactions
	Security total:	205.48		234.85	...	-29.37	
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
8 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	20.000	43.40	06/02/20	46.40	3.00 W	0.00	1 of 8 - Sale ²⁵
	20.000	43.43	06/02/20	46.40	2.97 W	0.00	2 of 8 - Sale ²⁵
	60.000	131.99	06/02/20	139.20	7.21 W	0.00	3 of 8 - Sale ²⁵
	20.000	44.00	06/02/20	48.80	4.80 W	0.00	4 of 8 - Sale ²⁵
	20.000	44.00	06/02/20	48.77	4.77 W	0.00	5 of 8 - Sale ²⁵
	10.000	22.35	06/02/20	24.10	1.75 W	0.00	6 of 8 - Sale ²⁵
	10.000	22.35	06/02/20	23.65	1.30 W	0.00	7 of 8 - Sale ²⁵
	40.000	88.94	06/02/20	94.61	5.67 W	0.00	8 of 8 - Sale ²⁵
06/08/20	200.000	440.46	Various	471.93	31.47 W	0.00	Total of 8 transactions
7 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	21.95	06/02/20	24.74	...	-2.79	1 of 7 - Sale ²⁵
	10.000	21.95	06/02/20	24.73	...	-2.78	2 of 7 - Sale ²⁵
	10.000	21.95	06/02/20	24.05	...	-2.10	3 of 7 - Sale ²⁵
	10.000	21.90	06/02/20	23.82	...	-1.92	4 of 7 - Sale ²⁵
	10.000	21.90	06/02/20	23.80	...	-1.90	5 of 7 - Sale ²⁵
	10.000	21.95	06/02/20	23.82	...	-1.87	6 of 7 - Sale ²⁵
	10.000	21.95	06/02/20	23.47	...	-1.52	7 of 7 - Sale ²⁵
06/15/20	70.000	153.55	Various	168.43	...	-14.88	Total of 7 transactions
11 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	21.50	06/02/20	24.60	3.10 W	0.00	1 of 11 - Sale ²⁵
	10.000	21.75	06/02/20	24.60	2.85 W	0.00	2 of 11 - Sale ²⁵
	10.000	21.85	06/02/20	24.59	2.74 W	0.00	3 of 11 - Sale ²⁵
	10.000	21.85	06/02/20	24.58	2.73 W	0.00	4 of 11 - Sale ²⁵
	10.000	21.90	06/02/20	23.95	2.05 W	0.00	5 of 11 - Sale ²⁵
	10.000	21.90	06/02/20	23.80	1.90 W	0.00	6 of 11 - Sale ²⁵
	20.000	44.00	06/02/20	47.84	3.84 W	0.00	7 of 11 - Sale ²⁵
	10.000	22.35	06/02/20	23.92	1.57 W	0.00	8 of 11 - Sale ²⁵
	10.000	22.35	06/02/20	23.91	1.56 W	0.00	9 of 11 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol: (cont'd)							
	10.000	21.90	06/02/20	25.10	3.20 W	0.00	10 of 11 - Sale ²⁵
	10.000	21.95	06/02/20	24.85	2.90 W	0.00	11 of 11 - Sale ²⁵
06/15/20	120.000	263.30	Various	291.74	28.44 W	0.00	Total of 11 transactions
	3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	10.000	16.35	06/02/20	23.46	...	-7.11	1 of 3 - Sale ²⁵
	10.000	16.35	06/24/20	22.70	...	-6.35	2 of 3 - Sale ²⁵
	10.000	16.35	06/24/20	22.40	...	-6.05	3 of 3 - Sale ²⁵
08/03/20	30.000	49.05	Various	68.56	...	-19.51	Total of 3 transactions
	Security total:	906.36		1,000.66	59.91 W	-34.39	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
06/12/20	19.000	20.71	06/04/20	19.57	...	1.14	Sale ²⁵
06/29/20	1.000	1.38	06/04/20	1.03	...	0.35	Sale ²⁵
	Security total:	22.09		20.60	...	1.49	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	5.000	76.23	07/06/20	58.75	...	17.48	1 of 3 - Sale ²⁵
	5.000	76.22	07/06/20	55.00	...	21.22	2 of 3 - Sale ²⁵
	10.000	152.50	07/06/20	117.50	...	35.00	3 of 3 - Sale ²⁵
07/08/20	20.000	304.95	Various	231.25	...	73.70	Total of 3 transactions
	4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	1.000	13.91	07/06/20	11.75	...	2.16	1 of 4 - Sale ²⁵
	4.000	55.60	07/06/20	47.00	...	8.60	2 of 4 - Sale ²⁵
	10.000	136.23	07/06/20	117.50	...	18.73	3 of 4 - Sale ²⁵
	10.000	140.00	07/06/20	117.50	...	22.50	4 of 4 - Sale ²⁵
07/09/20	25.000	345.74	Various	293.75	...	51.99	Total of 4 transactions
	4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	10.000	152.00	07/06/20	120.00	...	32.00	1 of 4 - Sale ²⁵
	10.000	151.20	07/06/20	118.45	...	32.75	2 of 4 - Sale ²⁵
	10.000	151.50	07/06/20	118.45	...	33.05	3 of 4 - Sale ²⁵
	10.000	151.83	07/06/20	117.50	...	34.33	4 of 4 - Sale ²⁵
07/10/20	40.000	606.53	Various	474.40	...	132.13	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
07/13/20	20.000	320.00	07/06/20	240.00	...	80.00	Sale ²⁵
	<i>2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	128.33	07/08/20	149.00	20.67 W	0.00	1 of 2 - Sale ²⁵
	10.000	128.00	07/08/20	149.00	21.00 W	0.00	2 of 2 - Sale ²⁵
07/20/20	20.000	256.33	Various	298.00	41.67 W	0.00	Total of 2 transactions
07/21/20	10.000	128.63	07/08/20	163.37	34.74 W	0.00	Sale ²⁵
	<i>3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	129.03	07/08/20	163.70	34.67 W	0.00	1 of 3 - Sale ²⁵
	10.000	129.02	07/09/20	172.49	43.47 W	0.00	2 of 3 - Sale ²⁵
	10.000	129.02	07/09/20	137.58	8.56 W	0.00	3 of 3 - Sale ²⁵
07/30/20	30.000	387.07	Various	473.77	86.70 W	0.00	Total of 3 transactions
	<i>3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	128.95	07/30/20	165.55	...	-36.60	1 of 3 - Sale ²⁵
	10.000	128.95	07/30/20	156.74	...	-27.79	2 of 3 - Sale ²⁵
	10.000	128.95	07/30/20	130.63	...	-1.68	3 of 3 - Sale ²⁵
08/03/20	30.000	386.85	Various	452.92	...	-66.07	Total of 3 transactions
	Security total:	2,736.10		2,627.46	163.11 W	271.75	
NEPTUNE WELLNESS SOLUTIONS INC . ORDINARY SHARES / CUSIP: 64079L105 / Symbol:							
06/01/20	5.000	15.01	05/26/20	15.09	...	-0.08	Sale ²⁵
NEW AGE BEVERAGES CORPORATION COMMON / CUSIP: 64157V108 / Symbol:							
06/04/20	10.000	16.45	05/26/20	16.18	...	0.27	Sale ²⁵
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
06/29/20	30.000	23.82	06/09/20	46.80	...	-22.98	Sale ²⁵
OCCIDENTAL PETROLEUM CORPORATION / CUSIP: 674599105 / Symbol:							
04/17/20	2.000	26.15	04/15/20	27.36	...	-1.21	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ON DECK CAPITAL, INC. / CUSIP: 682163100 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	4.90	05/28/20	4.10	...	0.80	1 of 2 - Sale ²⁵
	10.000	9.80	05/28/20	8.41	...	1.39	2 of 2 - Sale ²⁵
06/05/20	15.000	14.70	Various	12.51	...	2.19	Total of 2 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	15.02	06/10/20	18.50	...	-3.48	1 of 7 - Sale ²⁵
	10.000	15.02	06/10/20	17.00	...	-1.98	2 of 7 - Sale ²⁵
	20.000	30.05	06/11/20	29.00	...	1.05	3 of 7 - Sale ²⁵
	30.000	45.07	06/23/20	48.30	...	-3.23	4 of 7 - Sale ²⁵
	20.000	30.04	06/23/20	31.96	...	-1.92	5 of 7 - Sale ²⁵
	4.000	6.01	06/24/20	5.60	...	0.41	6 of 7 - Sale ²⁵
	16.000	24.03	06/24/20	22.40	...	1.63	7 of 7 - Sale ²⁵
06/29/20	110.000	165.24	Various	172.76	...	-7.52	Total of 7 transactions
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
06/19/20	1.000	97.00	06/08/20	88.50	...	8.50	Sale ²⁵ C
5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	130.56	06/24/20	94.22	...	36.34	1 of 5 - Sale ²⁵ C
	1.000	130.56	06/24/20	92.00	...	38.56	2 of 5 - Sale ²⁵ C
	1.000	130.55	06/26/20	92.00	...	38.55	3 of 5 - Sale ²⁵ C
	1.000	130.56	07/14/20	104.00	...	26.56	4 of 5 - Sale ²⁵ C
	1.000	130.55	07/16/20	107.00	...	23.55	5 of 5 - Sale ²⁵ C
08/13/20	5.000	652.78	Various	489.22	...	163.56	Total of 5 transactions
7 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	131.44	07/23/20	117.00	...	14.44	1 of 7 - Sale ²⁵ C
	1.000	131.44	07/23/20	115.94	...	15.50	2 of 7 - Sale ²⁵ C
	1.000	131.43	07/23/20	115.00	...	16.43	3 of 7 - Sale ²⁵ C
	1.000	131.44	07/23/20	112.00	...	19.44	4 of 7 - Sale ²⁵ C
	1.000	131.43	07/23/20	109.00	...	22.43	5 of 7 - Sale ²⁵ C
	1.000	131.14	07/28/20	108.38	...	22.76	6 of 7 - Sale ²⁵ C
	5.000	655.71	07/28/20	551.95	...	103.76	7 of 7 - Sale ²⁵ C
08/17/20	11.000	1,444.03	Various	1,229.27	...	214.76	Total of 7 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
09/15/20	1.000	134.73	07/31/20	115.99	...	18.74	Sale ²⁵ C
10/09/20	1.000	137.97	07/31/20	115.99	...	21.98	Sale ²⁵ C
10/12/20	1.000	157.67	07/31/20	114.98	...	42.69	Sale ²⁵ C
11/03/20	1.000	125.50	07/31/20	113.80	...	11.70	Sale ²⁵ C
		5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.					
	1.000	135.78	07/31/20	113.41	...	22.37	1 of 5 - Sale ²⁵ C
	1.000	135.77	08/07/20	126.85	...	8.92	2 of 5 - Sale ²⁵ C
	1.000	135.77	08/10/20	122.09	...	13.68	3 of 5 - Sale ²⁵ C
	1.000	136.26	08/10/20	120.70	...	15.56	4 of 5 - Sale ²⁵ C
	1.000	136.27	08/11/20	117.99	...	18.28	5 of 5 - Sale ²⁵ C
11/04/20	5.000	679.85	Various	601.04	...	78.81	Total of 5 transactions
		3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.					
	1.000	151.59	08/11/20	117.98	...	33.61	1 of 3 - Sale ²⁵ C
	1.000	151.59	08/19/20	134.19	...	17.40	2 of 3 - Sale ²⁵ C
	1.000	151.59	08/19/20	134.17	...	17.42	3 of 3 - Sale ²⁵ C
11/05/20	3.000	454.77	Various	386.34	...	68.43	Total of 3 transactions
		3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.					
	1.000	144.17	08/19/20	134.11	...	10.06	1 of 3 - Sale ²⁵ C
	1.000	144.17	08/19/20	133.94	...	10.23	2 of 3 - Sale ²⁵ C
	1.000	144.17	08/19/20	133.83	...	10.34	3 of 3 - Sale ²⁵ C
11/13/20	3.000	432.51	Various	401.88	...	30.63	Total of 3 transactions
		3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.					
	1.000	167.59	08/19/20	133.00	...	34.59	1 of 3 - Sale ²⁵ C
	1.000	167.59	08/19/20	132.61	...	34.98	2 of 3 - Sale ²⁵ C
	1.000	167.58	08/27/20	152.95	...	14.63	3 of 3 - Sale ²⁵ C
12/04/20	3.000	502.76	Various	418.56	...	84.20	Total of 3 transactions
	Security total:	4,819.57		4,075.57	...	744.00	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	20.000	44.30	06/04/20	54.00	...	-9.70	1 of 3 - Sale ²⁵
	10.000	22.15	06/04/20	29.20	...	-7.05	2 of 3 - Sale ²⁵
	10.000	22.15	06/04/20	28.50	...	-6.35	3 of 3 - Sale ²⁵
06/29/20	40.000	88.60	Various	111.70	...	-23.10	Total of 3 transactions
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	171.17	07/23/20	114.00	...	57.17	1 of 2 - Sale ²⁵
	1.000	171.36	07/23/20	112.00	...	59.36	2 of 2 - Sale ²⁵
10/09/20	2.000	342.53	Various	226.00	...	116.53	Total of 2 transactions
10/27/20	1.000	169.42	07/31/20	121.83	...	47.59	Sale ²⁵
10/29/20	1.000	168.87	10/16/20	165.55	...	3.32	Sale ²⁵
	Security total:	680.82		513.38	...	167.44	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
08/20/20	2.000	59.98	07/15/20	63.00	...	-3.02	Sale ²⁵
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	29.43	07/13/20	34.43	5.00 W	0.00	1 of 2 - Sale ²⁵
	2.000	58.86	07/13/20	66.82	7.96 W	0.00	2 of 2 - Sale ²⁵
08/20/20	3.000	88.29	Various	101.25	12.96 W	0.00	Total of 2 transactions
4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	30.25	07/16/20	31.00	...	-0.75	1 of 4 - Sale ²⁵
	1.000	30.26	07/16/20	30.99	...	-0.73	2 of 4 - Sale ²⁵
	1.000	30.77	07/22/20	36.00	...	-5.23	3 of 4 - Sale ²⁵
	1.000	30.77	07/22/20	34.98	...	-4.21	4 of 4 - Sale ²⁵
08/26/20	4.000	122.05	Various	132.97	...	-10.92	Total of 4 transactions
10/09/20	1.000	30.84	07/22/20	34.98	...	-4.14	Sale ²⁵
	Security total:	301.16		332.20	12.96 W	-18.08	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
06/29/20	5.000	89.46	06/08/20	115.89	...	-26.43	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	30.000	25.95	05/26/20	27.53	...	-1.58	1 of 2 - Sale ²⁵
	30.000	25.95	05/27/20	25.19	...	0.76	2 of 2 - Sale ²⁵
06/01/20	60.000	51.90	Various	52.72	...	-0.82	Total of 2 transactions
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	40.77	07/13/20	32.00	...	8.77	1 of 4 - Sale ²⁵
	2.000	81.54	07/13/20	60.60	...	20.94	2 of 4 - Sale ²⁵
	3.000	122.31	07/13/20	94.50	...	27.81	3 of 4 - Sale ²⁵
	4.000	163.08	07/13/20	128.00	...	35.08	4 of 4 - Sale ²⁵
07/28/20	10.000	407.70	Various	315.10	...	92.60	Total of 4 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	2.000	97.16	07/13/20	60.30	...	36.86	1 of 2 - Sale ²⁵
	3.000	145.74	07/13/20	90.47	...	55.27	2 of 2 - Sale ²⁵
08/19/20	5.000	242.90	Various	150.77	...	92.13	Total of 2 transactions
12/23/20	1.000	70.47	07/13/20	30.15	...	40.32	Sale ²⁵
12/28/20	1.000	73.61	09/02/20	57.58	...	16.03	Sale ²⁵
	Security total:	794.68		553.60	...	241.08	
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	10.000	12.00	05/20/20	11.35	...	0.65	1 of 3 - Sale ²⁵
	10.000	12.00	05/20/20	11.30	...	0.70	2 of 3 - Sale ²⁵
	10.000	12.01	05/20/20	11.30	...	0.71	3 of 3 - Sale ²⁵
05/22/20	30.000	36.01	Various	33.95	...	2.06	Total of 3 transactions
6 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	30.000	36.05	05/27/20	36.00	...	0.05	1 of 6 - Sale ²⁵
	50.000	60.08	05/28/20	59.92	...	0.16	2 of 6 - Sale ²⁵
	100.000	120.17	05/28/20	119.83	...	0.34	3 of 6 - Sale ²⁵
	50.000	60.09	05/28/20	59.50	...	0.59	4 of 6 - Sale ²⁵
	30.000	36.05	05/29/20	34.75	...	1.30	5 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	100.000	120.17	05/29/20	115.00	...	5.17	6 of 6 - Sale ²⁵
06/01/20	360.000	432.61	Various	425.00	...	7.61	Total of 6 transactions
06/01/20	50.000	60.08	05/27/20	62.50	2.42 W	0.00	Sale ²⁵
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	50.000	67.50	06/02/20	61.34	...	6.16	1 of 5 - Sale ²⁵
	50.000	69.00	06/02/20	58.91	...	10.09	2 of 5 - Sale ²⁵
	30.000	48.00	06/02/20	34.15	...	13.85	3 of 5 - Sale ²⁵
	50.000	72.50	06/02/20	58.50	...	14.00	4 of 5 - Sale ²⁵
	50.000	75.00	06/02/20	56.92	...	18.08	5 of 5 - Sale ²⁵
06/08/20	230.000	332.00	Various	269.82	...	62.18	Total of 5 transactions
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	20.000	26.20	06/02/20	22.76	...	3.44	1 of 2 - Sale ²⁵
	30.000	39.30	06/02/20	34.20	...	5.10	2 of 2 - Sale ²⁵
06/12/20	50.000	65.50	Various	56.96	...	8.54	Total of 2 transactions
06/25/20	19.000	23.75	06/02/20	21.66	...	2.09	Sale ²⁵
06/29/20	1.000	1.17	06/02/20	1.14	...	0.03	Sale ²⁵
Security total:		951.12		871.03	2.42 W	82.51	
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
06/29/20	1.000	7.95	05/26/20	11.23	...	-3.28	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
10 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	30.000	18.51	06/22/20	19.04	...	-0.53	1 of 10 - Sale ²⁵
	10.000	6.17	06/22/20	6.40	...	-0.23	2 of 10 - Sale ²⁵
	9.000	5.55	06/22/20	5.58	...	-0.03	3 of 10 - Sale ²⁵
	1.000	0.62	06/22/20	0.62	...	0.00	4 of 10 - Sale ²⁵
	50.000	30.85	06/23/20	31.02	...	-0.17	5 of 10 - Sale ²⁵
	30.000	18.51	06/23/20	18.63	...	-0.12	6 of 10 - Sale ²⁵
	30.000	18.52	06/23/20	18.51	...	0.01	7 of 10 - Sale ²⁵
	20.000	12.34	06/23/20	12.20	...	0.14	8 of 10 - Sale ²⁵
	20.000	12.35	06/23/20	12.20	...	0.15	9 of 10 - Sale ²⁵
	30.000	18.51	06/23/20	18.30	...	0.21	10 of 10 - Sale ²⁵
06/29/20	230.000	141.93	Various	142.50	...	-0.57	Total of 10 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
05/27/20	4.000	37.77	05/22/20	33.43	...	4.34	Sale ²⁵
06/01/20	1.000	9.11	05/22/20	8.36	...	0.75	Sale ²⁵
	Security total:	46.88		41.79	...	5.09	
UNDER ARMOUR, INC. CLASS C COM MON STOCK, \$0.0003 1/3 PAR VAL UE / CUSIP: 904311206 / Symbol:							
05/27/20	19.000	158.27	05/22/20	140.76	...	17.51	Sale ²⁵
06/01/20	1.000	8.21	05/22/20	7.41	...	0.80	Sale ²⁵
	Security total:	166.48		148.17	...	18.31	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
05/27/20	9.000	273.69	05/22/20	223.92	...	49.77	Sale ²⁵
06/01/20	1.000	30.17	05/22/20	24.88	...	5.29	Sale ²⁵
	Security total:	303.86		248.80	...	55.06	
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
04/23/20	5.000	14.15	04/14/20	23.85	...	-9.70	Sale ²⁵
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	10.000	4.15	05/26/20	3.02	...	1.13	1 of 2 - Sale ²⁵
	44.000	18.26	05/26/20	13.30	...	4.96	2 of 2 - Sale ²⁵
06/01/20	54.000	22.41	Various	16.32	...	6.09	Total of 2 transactions
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	0.68	05/26/20	0.30	...	0.38	1 of 3 - Sale ²⁵
	10.000	6.80	06/04/20	11.55	...	-4.75	2 of 3 - Sale ²⁵
	10.000	6.80	06/04/20	10.80	...	-4.00	3 of 3 - Sale ²⁵
06/29/20	21.000	14.28	Various	22.65	...	-8.37	Total of 3 transactions
	Security total:	36.69		38.97	...	-2.28	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	20.000	56.00	06/04/20	32.00	...	24.00	1 of 2 - Sale ²⁵
	30.000	84.00	06/04/20	48.00	...	36.00	2 of 2 - Sale ²⁵
06/05/20	50.000	140.00	Various	80.00	...	60.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	5.60	06/02/20	5.41	...	0.19	1 of 3 - Sale ²⁵
	9.000	50.40	06/02/20	48.67	...	1.73	2 of 3 - Sale ²⁵
	19.000	106.40	06/02/20	101.25	...	5.15	3 of 3 - Sale ²⁵
06/17/20	29.000	162.40	Various	155.33	...	7.07	Total of 3 transactions
06/29/20	1.000	7.05	06/02/20	5.33	...	1.72	Sale ²⁵
	Security total:	169.45		160.66	...	8.79	
ENDO INTERNATIONAL PLC ORDINAR Y SHARES / CUSIP: G30401106 / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	10.000	36.40	06/30/20	34.50	...	1.90	1 of 3 - Sale ²⁵
	10.000	36.40	06/30/20	33.58	...	2.82	2 of 3 - Sale ²⁵
	20.000	72.80	06/30/20	68.00	...	4.80	3 of 3 - Sale ²⁵
07/06/20	40.000	145.60	Various	136.08	...	9.52	Total of 3 transactions
LIANLUO SMART LIMITED CLASS A COMMON STOCK / CUSIP: G5478K100 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	20.000	12.90	06/23/20	15.64	...	-2.74	1 of 3 - Sale ²⁵
	20.000	12.90	06/23/20	15.40	...	-2.50	2 of 3 - Sale ²⁵
	10.000	6.45	06/23/20	7.90	...	-1.45	3 of 3 - Sale ²⁵
06/29/20	50.000	32.25	Various	38.94	...	-6.69	Total of 3 transactions
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol:							
5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	34.72	06/26/20	34.28	...	0.44	1 of 5 - Sale ²⁵
	1.000	34.71	06/26/20	33.78	...	0.93	2 of 5 - Sale ²⁵
	1.000	35.00	06/26/20	33.67	...	1.33	3 of 5 - Sale ²⁵
	2.000	70.00	06/26/20	66.00	...	4.00	4 of 5 - Sale ²⁵
	3.000	108.00	07/02/20	103.50	...	4.50	5 of 5 - Sale ²⁵
07/21/20	8.000	282.43	Various	271.23	...	11.20	Total of 5 transactions
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	34.70	06/25/20	35.00	0.30 W	0.00	1 of 4 - Sale ²⁵
	1.000	34.70	06/25/20	34.80	0.10 W	0.00	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol: (cont'd)							
	1.000	34.70	06/25/20	34.78	0.08 W	0.00	3 of 4 - Sale ²⁵
	1.000	34.72	06/25/20	34.99	0.27 W	0.00	4 of 4 - Sale ²⁵
07/21/20	4.000	138.82	Various	139.57	0.75 W	0.00	Total of 4 transactions
	Security total:	421.25		410.80	0.75 W	11.20	
NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
05/29/20	99.000	99.00	05/27/20	88.11	...	10.89	Sale ²⁵
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	0.69	05/27/20	0.89	...	-0.20	1 of 2 - Sale ²⁵
	30.000	20.66	06/23/20	22.50	...	-1.84	2 of 2 - Sale ²⁵
06/29/20	31.000	21.35	Various	23.39	...	-2.04	Total of 2 transactions
	Security total:	120.35		111.50	...	8.85	
OSMOTICA PHARMACEUTICALS PLC ORDINARY SHARES / CUSIP: G6S41R101 / Symbol:							
	4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	5.000	33.50	06/30/20	34.03	...	-0.53	1 of 4 - Sale ²⁵
	5.000	33.50	06/30/20	33.75	...	-0.25	2 of 4 - Sale ²⁵
	5.000	33.50	06/30/20	33.00	...	0.50	3 of 4 - Sale ²⁵
	10.000	67.00	06/30/20	65.80	...	1.20	4 of 4 - Sale ²⁵
07/06/20	25.000	167.50	Various	166.58	...	0.92	Total of 4 transactions
VENATOR MATERIALS PLC / CUSIP: G9329Z100 / Symbol:							
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	10.000	14.50	04/15/20	13.44	...	1.06	1 of 2 - Sale ²⁵
	5.000	7.25	04/16/20	5.99	...	1.26	2 of 2 - Sale ²⁵
04/17/20	15.000	21.75	Various	19.43	...	2.32	Total of 2 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
	4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	100.000	11.39	07/06/20	13.32	1.93 W	0.00	1 of 4 - Sale ²⁵
	10.000	1.14	07/06/20	1.33	0.19 W	0.00	2 of 4 - Sale ²⁵
	100.000	11.42	07/06/20	13.32	1.90 W	0.00	3 of 4 - Sale ²⁵
	200.000	22.78	07/06/20	26.64	3.86 W	0.00	4 of 4 - Sale ²⁵
07/08/20	410.000	46.73	Various	54.61	7.88 W	0.00	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
<i>7 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	190.000	20.35	07/06/20	28.94	...	-8.59	1 of 7 - Sale ²⁵
	90.000	9.89	07/06/20	16.55	...	-6.66	2 of 7 - Sale ²⁵
	50.000	5.35	07/06/20	8.87	...	-3.52	3 of 7 - Sale ²⁵
	50.000	5.50	07/06/20	8.87	...	-3.37	4 of 7 - Sale ²⁵
	10.000	1.10	07/06/20	1.78	...	-0.68	5 of 7 - Sale ²⁵
	10.000	1.07	07/06/20	1.52	...	-0.45	6 of 7 - Sale ²⁵
	50.000	5.49	07/07/20	7.73	...	-2.24	7 of 7 - Sale ²⁵
07/10/20	450.000	48.75	Various	74.26	...	-25.51	Total of 7 transactions
<i>5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	10.72	07/06/20	15.20	2.24 W	-2.24	1 of 5 - Sale ²⁵
	90.000	9.68	07/06/20	11.99	2.31 W	0.00	2 of 5 - Sale ²⁵
	10.000	1.07	07/06/20	1.52	0.45 W	0.00	3 of 5 - Sale ²⁵
	100.000	10.75	07/06/20	15.23	4.48 W	0.00	4 of 5 - Sale ²⁵
	90.000	9.65	07/06/20	14.28	4.63 W	0.00	5 of 5 - Sale ²⁵
07/10/20	390.000	41.87	Various	58.22	14.11 W	-2.24	Total of 5 transactions
	Security total:	137.35		187.09	21.99 W	-27.75	
Totals :		19,940.80		18,924.45	419.18 W	1,435.53	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 715417267

Proceeds Not Reported to the IRS

2020

03/22/2021 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.625	10.22	04/14/20	23.84	...	-13.62	Gain or loss
Totals:		10.22		23.84	...	-13.62	

Robinhood Securities LLC

Account 715417267

Detail for Dividends and Distributions

2020

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ARK INNOVATION ETF	00214Q104		12/31/20	1.60	Short-term capital gain	03
			12/31/20	0.42	Long-term capital gain	
			12/31/20	0.03	Qualified dividend	03
				2.05	Total Dividends & distributions	
CAPITAL ONE FINANCIAL	14040H105		08/20/20	0.10	Qualified dividend	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		12/30/20	0.46	Qualified dividend	
DEFIANCE NEXT GEN CONNECTIVITY ETF	26922A289		09/24/20	0.08	Qualified dividend	
PROSHARES ULTRAPRO QQQ	74347X831		12/31/20	0.01	Qualified dividend	
				2.70	Total Dividends & distributions	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.