

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 126051218</b>	Statement Date: 02/14/2021 Document ID: FG5Q Y8M U1T2	2020
	Vamsee Kosuru 2501 Bill Moses Parkway 218 Farmers Branch, TX 75234  RECIPIENT'S TIN: XXX-XX-7800		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	76.46		2- Royalties	0.00	
1b- Qualified dividends	76.46		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,124,270.54	1,180,707.84	0.00	59,561.57	3,124.27
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>1,124,270.54</b>	<b>1,180,707.84</b>	<b>0.00</b>	<b>59,561.57</b>	<b>3,124.27</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,124,270.54</b>	<b>1,180,707.84</b>	<b>0.00</b>	<b>59,561.57</b>	<b>3,124.27</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 126051218

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	3.92
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	106.08
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/08/2021 CALL \$135.00 / CUSIP: / Symbol:							
4 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	2.000	501.97	12/30/20	522.02	...	-20.05	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	250.98	12/30/20	261.02	...	-10.04	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	250.98	12/30/20	261.01	...	-10.03	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	250.98	12/30/20	261.01	...	-10.03	4 of 4 - Option sale to close-call <sup>25</sup>
12/30/20	5.000	1,254.91	Various	1,305.06	...	-50.15	Total of 4 transactions
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	250.98	12/30/20	254.00	3.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	1,003.96	12/30/20	1,016.00	12.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/20	5.000	1,254.94	Various	1,270.00	15.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,509.85</b>		<b>2,575.06</b>	<b>15.06 W</b>	<b>-50.15</b>	

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
12/18/20	4.000	1,399.95	12/09/20	1,052.00	...	347.95	Option sale to close-call <sup>25</sup>
12/22/20	4.000	2,459.93	12/18/20	1,440.00	...	1,019.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,859.88</b>		<b>2,492.00</b>	...	<b>1,367.88</b>	
AAPL 02/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
12/28/20	2.000	899.97	12/28/20	940.03	...	-40.06	Option sale to close-call <sup>25</sup>
12/28/20	2.000	899.97	12/28/20	900.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,799.94</b>		<b>1,840.03</b>	<b>0.03 W</b>	<b>-40.06</b>	
AAPL 04/09/2020 CALL \$270.00 / CUSIP: / Symbol:							
03/23/20	3.000	326.98	03/20/20	930.00	...	-603.02	Option sale to close-call <sup>25</sup>
AAPL 05/08/2020 CALL \$305.00 / CUSIP: / Symbol:							
04/30/20	2.000	519.97	04/30/20	600.00	...	-80.03	Option sale to close-call <sup>25</sup>
AAPL 05/22/2020 CALL \$305.00 / CUSIP: / Symbol:							
05/05/20	2.000	999.96	05/01/20	740.00	...	259.96	Option sale to close-call <sup>25</sup>
AAPL 07/17/2020 CALL \$340.00 / CUSIP: / Symbol:							
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	479.99	05/11/20	475.00	...	4.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	479.98	05/12/20	448.00	...	31.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	479.98	05/26/20	355.00	...	124.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	479.99	05/26/20	355.00	...	124.99	4 of 4 - Option sale to close-call <sup>25</sup>
06/05/20	4.000	1,919.94	Various	1,633.00	...	286.94	Total of 4 transactions
AAPL 08/07/2020 PUT \$360.00 / CUSIP: / Symbol:							
07/31/20	1.000	15.98	07/30/20	230.00	...	-214.02	Option sale to close-put <sup>25</sup>
AAPL 09/04/2020 CALL \$560.00 / CUSIP: / Symbol:							
08/28/20	1.000	184.98	08/24/20	650.00	...	-465.02	Option sale to close-call <sup>25</sup>
AAPL 09/04/2020 CALL \$570.00 / CUSIP: / Symbol:							
08/25/20	1.000	309.98	08/24/20	645.00	...	-335.02	Option sale to close-call <sup>25</sup>

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$380.00 / CUSIP: / Symbol:							
06/17/20	1.000	1,464.95	06/11/20	1,060.00	...	404.95	Option sale to close-call <sup>25</sup>
AAPL 10/23/2020 CALL \$123.00 / CUSIP: / Symbol:							
10/12/20	2.000	859.97	10/12/20	432.00	...	427.97	Option sale to close-call <sup>25</sup>
10/13/20	1.000	270.98	10/12/20	216.00	...	54.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,130.95</b>		<b>648.00</b>	...	<b>482.95</b>	
AAPL 10/23/2020 CALL \$127.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	499.97	10/13/20	500.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	249.98	10/13/20	250.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/13/20	3.000	749.95	Various	750.00	...	-0.05	Total of 2 transactions
AAPL 11/20/2020 CALL \$127.50 / CUSIP: / Symbol:							
09/08/20	1.000	733.97	09/03/20	1,160.00	...	-426.03	Option sale to close-call <sup>25</sup>
AAPL 12/11/2020 CALL \$124.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	763.97	12/01/20	816.00	...	-52.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	190.98	12/01/20	204.00	...	-13.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/01/20	5.000	954.95	Various	1,020.00	...	-65.05	Total of 2 transactions
AAPL 12/11/2020 CALL \$125.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	301.98	12/07/20	308.00	...	-6.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	150.98	12/07/20	154.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	3.000	452.96	Various	462.00	...	-9.04	Total of 2 transactions
AAPL 12/11/2020 CALL \$126.00 / CUSIP: / Symbol:							
12/09/20	5.000	499.97	12/09/20	455.00	...	44.97	Option sale to close-call <sup>25</sup>
AAPL 12/18/2020 CALL \$107.50 / CUSIP: / Symbol:							
09/08/20	2.000	3,409.91	07/23/20	550.00	...	2,859.91	Option sale to close-call <sup>25</sup>
09/11/20	2.000	2,319.93	07/23/20	550.00	...	1,769.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,729.84</b>		<b>1,100.00</b>	...	<b>4,629.84</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/27/20	1.000	584.97	09/15/20	850.00	265.03 W	0.00	Option sale to close-call <sup>25</sup>
10/29/20	1.000	555.97	10/23/20	765.03	209.06 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	399.99	10/23/20	729.06	...	-329.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	11/23/20	150.00	...	249.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/30/20	2.000	799.97	Various	879.06	...	-79.09	Total of 2 transactions
<b>Security total:</b>		<b>1,940.91</b>		<b>2,494.09</b>	<b>474.09 W</b>	<b>-79.09</b>	
AAPL 12/18/2020 CALL \$126.00 / CUSIP: / Symbol:							
3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	3.000	587.97	12/15/20	624.02	...	-36.05	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	391.99	12/15/20	404.00	...	-12.01	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	1,639.94	12/15/20	1,300.00	...	339.94	3 of 3 - Option sale to close-call <sup>25</sup>
12/15/20	15.000	2,619.90	Various	2,328.02	...	291.88	Total of 3 transactions
12/15/20	5.000	979.96	12/15/20	1,010.00	18.02 W	-12.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>3,599.86</b>		<b>3,338.02</b>	<b>18.02 W</b>	<b>279.86</b>	
AAPL 12/18/2020 CALL \$440.00 / CUSIP: / Symbol:							
07/31/20	1.000	1,749.95	07/13/20	1,435.00	...	314.95	Option sale to close-call <sup>25</sup>
AAPL 12/24/2020 CALL \$128.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	4.000	539.98	12/21/20	528.00	...	11.98	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	809.97	12/21/20	792.00	...	17.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/21/20	10.000	1,349.95	Various	1,320.00	...	29.95	Total of 2 transactions
AAPL 12/24/2020 CALL \$131.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	3.000	398.98	12/22/20	378.00	...	20.98	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	930.96	12/22/20	882.00	...	48.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	1,329.94	Various	1,260.00	...	69.94	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/24/2020 CALL \$132.00 / CUSIP: / Symbol:							
11 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	6.000	869.97	12/22/20	900.06	...	-30.09	1 of 11 - Option sale to close-call 25
	3.000	434.98	12/22/20	450.03	...	-15.05	2 of 11 - Option sale to close-call 25
	1.000	144.99	12/22/20	150.03	...	-5.04	3 of 11 - Option sale to close-call 25
	2.000	363.99	12/22/20	360.00	...	3.99	4 of 11 - Option sale to close-call 25
	5.000	639.97	12/22/20	635.03	...	4.94	5 of 11 - Option sale to close-call 25
	5.000	639.98	12/22/20	635.03	...	4.95	6 of 11 - Option sale to close-call 25
	1.000	219.99	12/22/20	214.03	...	5.96	7 of 11 - Option sale to close-call 25
	1.000	219.99	12/22/20	208.00	...	11.99	8 of 11 - Option sale to close-call 25
	1.000	220.00	12/22/20	208.00	...	12.00	9 of 11 - Option sale to close-call 25
	3.000	659.98	12/22/20	630.04	...	29.94	10 of 11 - Option sale to close-call 25
	4.000	879.97	12/22/20	840.05	...	39.92	11 of 11 - Option sale to close-call 25
12/22/20	32.000	5,293.81	Various	5,230.30	...	63.51	Total of 11 transactions
13 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	4.000	659.98	12/22/20	676.00	12.02 W	-4.00	1 of 13 - Option sale to close-call 25
	1.000	164.99	12/22/20	169.00	4.01 W	0.00	2 of 13 - Option sale to close-call 25
	4.000	659.98	12/22/20	676.00	16.02 W	0.00	3 of 13 - Option sale to close-call 25
	1.000	164.99	12/22/20	173.01	8.02 W	0.00	4 of 13 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/24/2020 CALL \$132.00 / CUSIP: / Symbol: (cont'd)							
	1.000	181.99	12/22/20	188.02	6.03 W	0.00	5 of 13 - Option sale to close-call <sup>25</sup>
	3.000	545.98	12/22/20	552.02	6.04 W	0.00	6 of 13 - Option sale to close-call <sup>25</sup>
	4.000	727.97	12/22/20	736.02	8.05 W	0.00	7 of 13 - Option sale to close-call <sup>25</sup>
	5.000	759.97	12/22/20	760.00	0.03 W	0.00	8 of 13 - Option sale to close-call <sup>25</sup>
	5.000	759.97	12/22/20	760.00	0.03 W	0.00	9 of 13 - Option sale to close-call <sup>25</sup>
	10.000	1,249.95	12/22/20	1,320.00	70.05 W	0.00	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	120.98	12/22/20	141.01	20.03 W	0.00	11 of 13 - Option sale to close-call <sup>25</sup>
	3.000	362.98	12/22/20	423.01	60.03 W	0.00	12 of 13 - Option sale to close-call <sup>25</sup>
	6.000	725.97	12/22/20	846.03	120.06 W	0.00	13 of 13 - Option sale to close-call <sup>25</sup>
12/22/20	48.000	7,085.70	Various	7,420.12	330.42 W	-4.00	Total of 13 transactions
	<b>Security total:</b>	<b>12,379.51</b>		<b>12,650.42</b>	<b>330.42 W</b>	<b>59.51</b>	
AAPL 12/24/2020 CALL \$133.00 / CUSIP: / Symbol:							
	4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	9.000	1,331.95	12/22/20	1,386.00	...	-54.05	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	147.99	12/22/20	154.00	...	-6.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	186.98	12/22/20	171.00	...	15.98	3 of 4 - Option sale to close-call <sup>25</sup>
	9.000	1,682.94	12/22/20	1,539.00	...	143.94	4 of 4 - Option sale to close-call <sup>25</sup>
12/22/20	20.000	3,349.86	Various	3,250.00	...	99.86	Total of 4 transactions
AAPL 12/24/2020 CALL \$134.00 / CUSIP: / Symbol:							
	10 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	4.000	675.97	12/22/20	724.00	...	-48.03	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	168.98	12/22/20	181.00	...	-12.02	2 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/24/2020 CALL \$134.00 / CUSIP: / Symbol: (cont'd)							
	5.000	904.96	12/22/20	905.00	...	-0.04	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	206.98	12/22/20	178.01	...	28.97	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	206.99	12/22/20	178.01	...	28.98	5 of 10 - Option sale to close-call <sup>25</sup>
	2.000	413.98	12/22/20	356.01	...	57.97	6 of 10 - Option sale to close-call <sup>25</sup>
	2.000	413.98	12/22/20	356.01	...	57.97	7 of 10 - Option sale to close-call <sup>25</sup>
	2.000	413.98	12/22/20	356.01	...	57.97	8 of 10 - Option sale to close-call <sup>25</sup>
	2.000	413.98	12/22/20	356.01	...	57.97	9 of 10 - Option sale to close-call <sup>25</sup>
	10.000	1,939.93	12/22/20	1,870.00	...	69.93	10 of 10 - Option sale to close-call <sup>25</sup>
12/22/20	30.000	5,759.73	Various	5,460.06	...	299.67	Total of 10 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	2.000	339.99	12/22/20	340.00	0.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	509.98	12/22/20	510.00	0.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	849.97	12/22/20	850.00	0.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	1,699.94	Various	1,700.00	0.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>7,459.67</b>		<b>7,160.06</b>	<b>0.06 W</b>	<b>299.67</b>	
AAPL 12/24/2020 PUT \$132.00 / CUSIP: / Symbol:							
12/22/20	10.000	1,339.95	12/22/20	1,350.00	...	-10.05	Option sale to close-put <sup>25</sup>
AAPL 12/31/2020 CALL \$134.00 / CUSIP: / Symbol:							
	4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	9.000	1,592.94	12/24/20	1,665.00	...	-72.06	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	176.98	12/24/20	185.00	...	-8.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	168.98	12/24/20	160.00	...	8.98	3 of 4 - Option sale to close-call <sup>25</sup>
	9.000	1,520.94	12/24/20	1,440.00	...	80.94	4 of 4 - Option sale to close-call <sup>25</sup>
12/24/20	20.000	3,459.84	Various	3,450.00	...	9.84	Total of 4 transactions

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/31/2020 CALL \$136.00 / CUSIP: / Symbol:							
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	5.000	629.97	12/28/20	735.03	...	-105.06	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	503.98	12/28/20	588.02	...	-84.04	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	126.00	12/28/20	147.01	...	-21.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/28/20	10.000	1,259.95	Various	1,470.06	...	-210.11	Total of 3 transactions
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	152.99	12/28/20	164.00	11.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	1,376.95	12/28/20	1,476.00	99.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/28/20	10.000	1,529.94	Various	1,640.00	110.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,789.89</b>		<b>3,110.06</b>	<b>110.06 W</b>	<b>-210.11</b>	
AAPL 12/31/2020 CALL \$137.00 / CUSIP: / Symbol:							
8 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	2.000	291.98	12/28/20	302.01	...	-10.03	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	291.98	12/28/20	302.01	...	-10.03	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	145.98	12/28/20	151.01	...	-5.03	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	145.98	12/28/20	151.01	...	-5.03	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	145.98	12/28/20	151.01	...	-5.03	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	145.98	12/28/20	151.00	...	-5.02	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	145.98	12/28/20	151.00	...	-5.02	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	145.98	12/28/20	151.00	...	-5.02	8 of 8 - Option sale to close-call <sup>25</sup>
12/28/20	10.000	1,459.84	Various	1,510.05	...	-50.21	Total of 8 transactions
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	9.000	1,187.96	12/28/20	1,215.00	27.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	131.99	12/28/20	135.00	3.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/28/20	10.000	1,319.95	Various	1,350.00	30.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,779.79</b>		<b>2,860.05</b>	<b>30.05 W</b>	<b>-50.21</b>	
ADBE 03/06/2020 CALL \$387.50 / CUSIP: / Symbol:							
02/27/20	1.000	41.98	02/20/20	325.00	...	-283.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 03/20/2020 CALL \$370.00 / CUSIP: / Symbol:							
03/09/20	2.000	259.98	03/06/20	680.00	...	-420.02	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	71.98	03/06/20	680.00	...	-608.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	35.98	03/06/20	340.00	...	-304.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/20	3.000	107.96	Various	1,020.00	...	-912.04	Total of 2 transactions
	<b>Security total:</b>	<b>367.94</b>		<b>1,700.00</b>	...	<b>-1,332.06</b>	
ADBE 06/19/2020 CALL \$410.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	549.98	06/02/20	375.00	...	174.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	549.98	06/04/20	325.00	...	224.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	2.000	1,099.96	Various	700.00	...	399.96	Total of 2 transactions
ADBE 10/30/2020 CALL \$505.00 / CUSIP: / Symbol:							
10/16/20	1.000	1,234.96	10/15/20	900.00	...	334.96	Option sale to close-call <sup>25</sup>
ADBE 10/30/2020 CALL \$510.00 / CUSIP: / Symbol:							
10/16/20	1.000	1,039.96	10/16/20	975.00	...	64.96	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	814.98	10/19/20	1,100.00	285.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	814.97	10/19/20	850.00	35.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/20	2.000	1,629.95	Various	1,950.00	320.05 W	0.00	Total of 2 transactions
10/21/20	1.000	599.97	10/19/20	1,100.02	...	-500.05	Option sale to close-call <sup>25</sup>
10/22/20	1.000	449.98	10/19/20	675.03	...	-225.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,719.86</b>		<b>4,700.05</b>	<b>320.05 W</b>	<b>-660.14</b>	
AMD 01/10/2020 CALL \$50.50 / CUSIP: / Symbol:							
01/03/20	3.000	119.98	01/02/20	99.00	...	20.98	Option sale to close-call <sup>25</sup>
AMD 01/10/2020 CALL \$52.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	5.98	01/03/20	96.00	...	-90.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2.98	01/03/20	48.00	...	-45.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/08/20	3.000	8.96	Various	144.00	...	-135.04	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 01/10/2020 CALL \$52.50 / CUSIP: / Symbol:							
3 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1.98	01/03/20	32.00	...	-30.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.98	01/03/20	32.00	...	-30.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.98	01/03/20	32.00	...	-30.02	3 of 3 - Option sale to close-call <sup>25</sup>
01/08/20	3.000	5.94	Various	96.00	...	-90.06	Total of 3 transactions
AMD 04/09/2020 PUT \$41.00 / CUSIP: / Symbol:							
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	1.000	69.99	04/02/20	111.03	...	-41.04	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	139.98	04/02/20	172.01	...	-32.03	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	69.99	04/02/20	93.00	...	-23.01	3 of 3 - Option sale to close-put <sup>25</sup>
04/03/20	4.000	279.96	Various	376.04	...	-96.08	Total of 3 transactions
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	2.000	119.99	04/02/20	170.00	50.01 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	119.99	04/02/20	156.00	36.01 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	59.98	04/02/20	103.01	43.03 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
04/03/20	5.000	299.96	Various	429.01	129.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>579.92</b>		<b>805.05</b>	<b>129.05 W</b>	<b>-96.08</b>	
AMD 04/17/2020 PUT \$47.00 / CUSIP: / Symbol:							
04/07/20	2.000	299.98	04/07/20	230.00	...	69.98	Option sale to close-put <sup>25</sup>
AMD 05/08/2020 CALL \$58.00 / CUSIP: / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	214.98	04/27/20	230.00	...	-15.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	214.98	04/27/20	230.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/28/20	2.000	429.96	Various	460.00	...	-30.04	Total of 2 transactions
AMD 10/16/2020 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	67.99	09/01/20	770.00	...	-702.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	67.99	09/03/20	446.00	...	-378.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	4.000	135.98	Various	1,216.00	...	-1,080.02	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/11/2020 CALL \$94.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	234.99	12/04/20	239.00	4.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	234.98	12/04/20	239.00	4.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/04/20	2.000	469.97	Various	478.00	8.03 W	0.00	Total of 2 transactions
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	121.99	12/09/20	132.02	...	-10.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	121.99	12/09/20	132.01	...	-10.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	121.98	12/09/20	128.00	...	-6.02	3 of 3 - Option sale to close-call <sup>25</sup>
12/09/20	3.000	365.96	Various	392.03	...	-26.07	Total of 3 transactions
	<b>Security total:</b>	<b>835.93</b>		<b>870.03</b>	<b>8.03 W</b>	<b>-26.07</b>	
AMD 12/11/2020 CALL \$95.00 / CUSIP: / Symbol:							
5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	4.000	955.97	12/04/20	980.00	...	-24.03	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	238.99	12/04/20	245.00	...	-6.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	239.99	12/04/20	235.02	...	4.97	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	239.99	12/04/20	235.02	...	4.97	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	239.99	12/04/20	233.00	...	6.99	5 of 5 - Option sale to close-call <sup>25</sup>
12/04/20	8.000	1,914.93	Various	1,928.04	...	-13.11	Total of 5 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	216.98	12/04/20	219.00	2.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	216.98	12/04/20	219.00	2.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/04/20	2.000	433.96	Various	438.00	4.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,348.89</b>		<b>2,366.04</b>	<b>4.04 W</b>	<b>-13.11</b>	
AMD 12/18/2020 CALL \$100.00 / CUSIP: / Symbol:							
12/18/20	1.000	93.98	12/17/20	0.00	...	93.98	Short sale closed- call <sup>25</sup> Option written: 12/15/20
AMD 12/18/2020 CALL \$93.00 / CUSIP: / Symbol:							
12/14/20	4.000	939.96	12/14/20	808.00	...	131.96	Option sale to close-call <sup>25</sup>
AMD 12/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/14/20	10.000	1,569.94	12/14/20	1,570.00	...	-0.06	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/18/2020 CALL \$97.00 / CUSIP: / Symbol:							
3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	5.000	434.98	12/17/20	445.00	...	-10.02	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	347.98	12/17/20	356.00	...	-8.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	87.00	12/17/20	89.00	...	-2.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	869.96	Various	890.00	...	-20.04	Total of 3 transactions
AMD 12/18/2020 CALL \$98.00 / CUSIP: / Symbol:							
5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	152.98	12/15/20	149.00	...	3.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	152.99	12/15/20	149.00	...	3.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	152.99	12/15/20	149.00	...	3.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	152.99	12/15/20	149.00	...	3.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	152.99	12/15/20	149.00	...	3.99	5 of 5 - Option sale to close-call <sup>25</sup>
12/15/20	5.000	764.94	Various	745.00	...	19.94	Total of 5 transactions
AMD 12/24/2020 PUT \$92.00 / CUSIP: / Symbol:							
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	5.000	1,039.97	12/21/20	1,055.00	...	-15.03	1 of 5 - Option sale to close-put <sup>25</sup>
	2.000	415.99	12/21/20	422.00	...	-6.01	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	207.99	12/21/20	211.00	...	-3.01	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	207.99	12/21/20	211.00	...	-3.01	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	207.99	12/21/20	211.00	...	-3.01	5 of 5 - Option sale to close-put <sup>25</sup>
12/21/20	10.000	2,079.93	Various	2,110.00	...	-30.07	Total of 5 transactions
AMD 12/31/2020 CALL \$92.50 / CUSIP: / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	7.000	1,049.96	12/24/20	1,092.04	...	-42.08	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	299.99	12/24/20	312.01	...	-12.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	149.99	12/24/20	156.01	...	-6.02	3 of 3 - Option sale to close-call <sup>25</sup>
12/24/20	10.000	1,499.94	Various	1,560.06	...	-60.12	Total of 3 transactions
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	3.000	461.98	12/24/20	462.00	0.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	1,077.96	12/24/20	1,078.00	0.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/24/20	10.000	1,539.94	Various	1,540.00	0.06 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/31/2020 CALL \$92.50 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,039.88</b>		<b>3,100.06</b>		<b>0.06 W</b>	<b>-60.12</b>
AMD 12/31/2020 CALL \$93.00 / CUSIP: / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	168.99	12/23/20	170.00	...	-1.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	168.99	12/23/20	170.00	...	-1.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/20	2.000	337.98	Various	340.00	...	-2.02	Total of 2 transactions
AMD 12/31/2020 PUT \$90.00 / CUSIP: / Symbol:							
12/28/20	1.000	18.98	12/24/20	0.00	...	18.98	Short sale closed- put <sup>25</sup> Option written: 12/23/20
AMZN 02/19/2021 CALL \$4,000.00 / CUSIP: / Symbol:							
12/16/20	1.000	2,599.93	12/16/20	2,850.00	250.07 W	0.00	Option sale to close-call <sup>25</sup>
12/23/20	1.000	2,119.94	12/16/20	3,000.07	...	-880.13	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>4,719.87</b>		<b>5,850.07</b>	<b>250.07 W</b>	<b>-880.13</b>	
BA 01/08/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/30/20	2.000	565.97	12/30/20	588.00	...	-22.03	Option sale to close-call <sup>25</sup>
BA 01/08/2021 PUT \$210.00 / CUSIP: / Symbol:							
12/30/20	2.000	553.97	12/30/20	568.00	...	-14.03	Option sale to close-put <sup>25</sup>
BA 03/06/2020 PUT \$300.00 / CUSIP: / Symbol:							
02/26/20	2.000	789.97	02/26/20	540.00	...	249.97	Option sale to close-put <sup>25</sup>
BA 04/09/2020 CALL \$200.00 / CUSIP: / Symbol:							
03/27/20	1.000	649.97	03/27/20	650.00	...	-0.03	Option sale to close-call <sup>25</sup>
BA 05/01/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/20/20	2.000	659.97	04/17/20	928.00	...	-268.03	Option sale to close-call <sup>25</sup>
BA 06/17/2022 CALL \$320.00 / CUSIP: / Symbol:							
12/04/20	1.000	2,999.92	12/04/20	3,175.00	...	-175.08	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 12/04/2020 CALL \$220.00 / CUSIP: / Symbol:							
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	2.000	593.98	12/02/20	620.00	...	-26.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	296.99	12/02/20	310.00	...	-13.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	334.98	12/02/20	338.01	...	-3.03	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	583.97	12/02/20	540.00	...	43.97	4 of 4 - Option sale to close-call <sup>25</sup>
12/02/20	6.000	1,809.92	Various	1,808.01	...	1.91	Total of 4 transactions
12/02/20	1.000	296.99	12/02/20	310.00	13.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,106.91</b>		<b>2,118.01</b>	<b>13.01 W</b>	<b>1.91</b>	
BA 12/04/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	12/02/20	390.00	...	-10.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.98	12/02/20	390.00	...	-10.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/02/20	2.000	759.96	Various	780.00	...	-20.04	Total of 2 transactions
BA 12/04/2020 CALL \$240.00 / CUSIP: / Symbol:							
6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	549.98	12/03/20	580.02	...	-30.04	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	434.98	12/03/20	421.00	...	13.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	414.98	12/03/20	400.00	...	14.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/03/20	400.00	...	14.99	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	549.98	12/03/20	520.00	...	29.98	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	755.97	12/03/20	700.00	...	55.97	6 of 6 - Option sale to close-call <sup>25</sup>
12/03/20	7.000	3,120.88	Various	3,021.02	...	99.86	Total of 6 transactions
12/03/20	1.000	389.98	12/03/20	450.00	60.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,510.86</b>		<b>3,471.02</b>	<b>60.02 W</b>	<b>99.86</b>	
BA 12/04/2020 CALL \$242.50 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	359.98	12/03/20	400.00	...	-40.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	359.98	12/03/20	400.00	...	-40.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	2.000	719.96	Various	800.00	...	-80.04	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 12/11/2020 CALL \$245.00 / CUSIP: / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	569.98	12/07/20	535.00	...	34.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	569.98	12/07/20	535.00	...	34.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,369.95	12/07/20	1,300.00	...	69.95	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/20	4.000	2,509.91	Various	2,370.00	...	139.91	Total of 3 transactions
BA 12/11/2020 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	349.98	12/07/20	369.02	...	-19.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/07/20	369.01	...	-19.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	799.97	12/07/20	780.00	...	19.97	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/20	4.000	1,499.94	Various	1,518.03	...	-18.09	Total of 3 transactions
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	399.99	12/07/20	409.00	9.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	12/07/20	409.00	9.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	2.000	799.97	Various	818.00	18.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,299.91</b>		<b>2,336.03</b>	<b>18.03 W</b>	<b>-18.09</b>	
BA 12/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	514.97	12/11/20	517.00	2.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.97	12/11/20	519.03	19.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/11/20	2.000	1,014.94	Various	1,036.03	21.09 W	0.00	Total of 2 transactions
3 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	257.99	12/14/20	277.06	...	-19.07	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	773.98	12/14/20	774.00	...	-0.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	257.99	12/14/20	258.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/14/20	5.000	1,289.96	Various	1,309.06	...	-19.10	Total of 3 transactions
	<b>Security total:</b>	<b>2,304.90</b>		<b>2,345.09</b>	<b>21.09 W</b>	<b>-19.10</b>	

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 12/18/2020 PUT \$230.00 / CUSIP: / Symbol:							
12/11/20	1.000	509.97	12/11/20	494.02	...	15.95	Option sale to close-put <sup>25</sup>
12/11/20	2.000	989.96	12/11/20	990.00	0.02 W	-0.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,499.93</b>		<b>1,484.02</b>	<b>0.02 W</b>	<b>15.93</b>	
BA 12/24/2020 CALL \$225.00 / CUSIP: / Symbol:							
12/22/20	1.000	177.98	12/22/20	175.00	...	2.98	Option sale to close-call <sup>25</sup>
BA 12/31/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	211.99	12/29/20	391.02	...	-179.03	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	659.97	12/29/20	656.03	...	3.94	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	423.98	12/29/20	386.00	...	37.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/29/20	5.000	1,295.94	Various	1,433.05	...	-137.11	Total of 3 transactions
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	2.000	563.97	12/29/20	588.00	24.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	141.98	12/29/20	340.00	198.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/29/20	3.000	705.95	Various	928.00	222.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,001.89</b>		<b>2,361.05</b>	<b>222.05 W</b>	<b>-137.11</b>	
BA 12/31/2020 CALL \$225.00 / CUSIP: / Symbol:							
9 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	6.000	2,609.93	12/23/20	3,000.00	...	-390.07	1 of 9 - Option sale to close-call <sup>25</sup>
	3.000	1,304.96	12/23/20	1,500.00	...	-195.04	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	434.98	12/23/20	500.00	...	-65.02	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	399.98	12/23/20	399.00	...	0.98	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	399.98	12/23/20	399.00	...	0.98	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	399.98	12/23/20	399.00	...	0.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	399.98	12/23/20	399.00	...	0.98	7 of 9 - Option sale to close-call <sup>25</sup>
	6.000	2,399.93	12/23/20	2,394.00	...	5.93	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	3,849.89	12/23/20	3,800.00	...	49.89	9 of 9 - Option sale to close-call <sup>25</sup>
12/23/20	30.000	12,199.61	Various	12,790.00	...	-590.39	Total of 9 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 01/15/2021 CALL \$325.00 / CUSIP: / Symbol:							
11/11/20	1.000	339.98	11/09/20	730.00	...	-390.02	Option sale to close-call <sup>25</sup>
11/11/20	1.000	339.99	11/05/20	570.00	230.01 W	0.00	Option sale to close-call <sup>25</sup>
11/13/20	1.000	239.98	11/11/20	605.01	...	-365.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>919.95</b>		<b>1,905.01</b>	<b>230.01 W</b>	<b>-755.05</b>	
BABA 01/24/2020 CALL \$232.50 / CUSIP: / Symbol:							
2 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	01/21/20	120.01	...	-119.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	01/21/20	31.01	...	-30.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/23/20	2.000	1.98	Various	151.02	...	-149.04	Total of 2 transactions
2 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	01/17/20	105.00	104.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	01/21/20	16.00	15.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
01/23/20	2.000	1.98	Various	121.00	119.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3.96</b>		<b>272.02</b>	<b>119.02 W</b>	<b>-149.04</b>	
BABA 02/14/2020 CALL \$237.50 / CUSIP: / Symbol:							
02/12/20	1.000	169.98	02/12/20	125.00	...	44.98	Option sale to close-call <sup>25</sup>
BABA 02/14/2020 CALL \$240.00 / CUSIP: / Symbol:							
02/11/20	4.000	211.98	02/05/20	308.00	...	-96.02	Option sale to close-call <sup>25</sup>
BABA 02/21/2020 CALL \$240.00 / CUSIP: / Symbol:							
02/12/20	2.000	427.98	02/11/20	222.00	...	205.98	Option sale to close-call <sup>25</sup>
BABA 02/28/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	02/07/20	193.00	...	186.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.98	02/07/20	193.00	...	186.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/12/20	2.000	759.96	Various	386.00	...	373.96	Total of 2 transactions
02/26/20	1.000	0.98	02/07/20	193.00	...	-192.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>760.94</b>		<b>579.00</b>	...	<b>181.94</b>	

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 03/13/2020 PUT \$205.00 / CUSIP: / Symbol:							
2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	564.97	03/05/20	191.00	...	373.97	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	1,694.95	03/05/20	573.00	...	1,121.95	2 of 2 - Option sale to close-put <sup>25</sup>
03/06/20	4.000	2,259.92	Various	764.00	...	1,495.92	Total of 2 transactions
BABA 03/20/2020 PUT \$185.00 / CUSIP: / Symbol:							
3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	599.97	03/13/20	360.00	...	239.97	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	1,199.97	03/13/20	840.00	...	359.97	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	1,199.96	03/13/20	720.00	...	479.96	3 of 3 - Option sale to close-put <sup>25</sup>
03/13/20	5.000	2,999.90	Various	1,920.00	...	1,079.90	Total of 3 transactions
BABA 03/27/2020 PUT \$175.00 / CUSIP: / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	2.000	1,449.96	03/17/20	1,140.00	...	309.96	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	1,449.96	03/17/20	780.00	...	669.96	2 of 2 - Option sale to close-put <sup>25</sup>
03/18/20	4.000	2,899.92	Various	1,920.00	...	979.92	Total of 2 transactions
BABA 04/09/2020 PUT \$180.00 / CUSIP: / Symbol:							
04/03/20	2.000	399.98	04/02/20	692.02	...	-292.04	Option sale to close-put <sup>25</sup>
04/03/20	2.000	277.98	04/02/20	510.00	232.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>677.96</b>		<b>1,202.02</b>	<b>232.02 W</b>	<b>-292.04</b>	
BABA 04/16/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/23/20	1.000	1,334.96	11/18/20	1,010.00	...	324.96	Option sale to close-call <sup>25</sup>
11/30/20	1.000	1,199.96	11/25/20	1,665.00	...	-465.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,534.92</b>		<b>2,675.00</b>	...	<b>-140.08</b>	
BABA 05/01/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	259.98	04/17/20	180.00	...	79.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	259.99	04/17/20	180.00	...	79.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/20/20	2.000	519.97	Various	360.00	...	159.97	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 05/08/2020 CALL \$215.00 / CUSIP: / Symbol:							
04/29/20	1.000	99.98	04/17/20	450.00	...	-350.02	Option sale to close-call <sup>25</sup>
BABA 05/29/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	2.000	461.97	05/12/20	640.00	178.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	250.98	05/12/20	295.02	44.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	250.98	05/12/20	295.01	44.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/13/20	4.000	963.93	Various	1,230.03	266.10 W	0.00	Total of 3 transactions
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	434.99	05/15/20	228.04	...	206.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	434.98	05/15/20	228.03	...	206.95	2 of 2 - Option sale to close-call <sup>25</sup>
05/18/20	2.000	869.97	Various	456.07	...	413.90	Total of 2 transactions
	<b>Security total:</b>	<b>1,833.90</b>		<b>1,686.10</b>	<b>266.10 W</b>	<b>413.90</b>	
BABA 05/29/2020 CALL \$232.50 / CUSIP: / Symbol:							
05/21/20	2.000	217.98	05/20/20	446.00	...	-228.02	Option sale to close-call <sup>25</sup>
BABA 05/29/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	2.000	299.99	05/20/20	480.00	...	-180.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	299.98	05/20/20	430.00	...	-130.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/20	4.000	599.97	Various	910.00	...	-310.03	Total of 2 transactions
BABA 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
05/20/20	1.000	1,559.95	05/08/20	950.00	...	609.95	Option sale to close-call <sup>25</sup>
BABA 10/16/2020 CALL \$240.00 / CUSIP: / Symbol:							
05/21/20	1.000	799.97	05/20/20	950.00	...	-150.03	Option sale to close-call <sup>25</sup>
BABA 12/31/2020 CALL \$235.00 / CUSIP: / Symbol:							
7 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	268.99	12/29/20	278.02	...	-9.03	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	268.98	12/29/20	278.01	...	-9.03	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	249.98	12/29/20	243.00	...	6.98	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	249.98	12/29/20	243.00	...	6.98	4 of 7 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 12/31/2020 CALL \$235.00 / CUSIP: / Symbol: (cont'd)							
	1.000	249.99	12/29/20	243.00	...	6.99	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	499.97	12/29/20	486.00	...	13.97	6 of 7 - Option sale to close-call <sup>25</sup>
	5.000	1,279.96	12/29/20	1,195.00	...	84.96	7 of 7 - Option sale to close-call <sup>25</sup>
12/29/20	12.000	3,067.85	Various	2,966.03	...	101.82	Total of 7 transactions
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	4.000	955.96	12/29/20	992.00	9.01 W	-27.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	238.98	12/29/20	248.00	9.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/29/20	5.000	1,194.94	Various	1,240.00	18.03 W	-27.03	Total of 2 transactions
	<b>Security total:</b>	<b>4,262.79</b>		<b>4,206.03</b>	<b>18.03 W</b>	<b>74.79</b>	
BABA 12/31/2020 CALL \$237.50 / CUSIP: / Symbol:							
	5 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	3.000	707.97	12/29/20	726.04	...	-18.07	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	800.98	12/29/20	819.04	...	-18.06	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	471.97	12/29/20	484.03	...	-12.06	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	266.99	12/29/20	273.02	...	-6.03	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	266.99	12/29/20	273.02	...	-6.03	5 of 5 - Option sale to close-call <sup>25</sup>
12/29/20	10.000	2,514.90	Various	2,575.15	...	-60.25	Total of 5 transactions
	7 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	2.000	507.98	12/29/20	512.00	4.02 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	4.000	1,015.97	12/29/20	1,024.00	8.03 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	4.000	1,015.97	12/29/20	1,024.00	8.03 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	411.99	12/29/20	424.02	12.03 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	4.000	823.97	12/29/20	848.03	24.06 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	205.99	12/29/20	212.01	6.02 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	3.000	617.98	12/29/20	636.02	18.04 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/29/20	20.000	4,599.85	Various	4,680.08	80.23 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>7,114.75</b>		<b>7,255.23</b>	<b>80.23 W</b>	<b>-60.25</b>	

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 12/31/2020 CALL \$240.00 / CUSIP: / Symbol:							
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	294.98	12/29/20	287.00	...	7.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	589.97	12/29/20	574.00	...	15.97	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	589.97	12/29/20	574.00	...	15.97	3 of 3 - Option sale to close-call <sup>25</sup>
12/29/20	5.000	1,474.92	Various	1,435.00	...	39.92	Total of 3 transactions
BABA 12/31/2020 PUT \$230.00 / CUSIP: / Symbol:							
5 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	3.000	584.97	12/29/20	657.00	...	-72.03	1 of 5 - Option sale to close-put <sup>25</sup>
	2.000	389.98	12/29/20	438.00	...	-48.02	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	389.98	12/29/20	438.00	...	-48.02	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	389.98	12/29/20	438.00	...	-48.02	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	194.98	12/29/20	219.00	...	-24.02	5 of 5 - Option sale to close-put <sup>25</sup>
12/29/20	10.000	1,949.89	Various	2,190.00	...	-240.11	Total of 5 transactions
BIDU 01/08/2021 CALL \$220.00 / CUSIP: / Symbol:							
12/30/20	1.000	804.97	12/30/20	804.00	...	0.97	Option sale to close-call <sup>25</sup>
BYND 02/28/2020 CALL \$134.00 / CUSIP: / Symbol:							
2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	1.000	309.99	02/19/20	700.00	...	-390.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	309.98	02/20/20	495.00	...	-185.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/26/20	2.000	619.97	Various	1,195.00	...	-575.03	Total of 2 transactions
BYND 05/15/2020 PUT \$100.00 / CUSIP: / Symbol:							
05/07/20	2.000	111.98	05/06/20	170.00	...	-58.02	Option sale to close-put <sup>25</sup>
CHGG 08/21/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/03/20	2.000	799.97	08/03/20	660.00	...	139.97	Option sale to close-call <sup>25</sup>
CHGG 08/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
08/03/20	2.000	659.97	08/03/20	680.00	...	-20.03	Option sale to close-call <sup>25</sup>

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Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHWY 10/02/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	122.99	09/02/20	425.00	...	-302.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	122.99	09/09/20	202.00	...	-79.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	245.98	Various	627.00	...	-381.02	Total of 2 transactions
COST 09/18/2020 CALL \$360.00 / CUSIP: / Symbol:							
09/04/20	2.000	899.97	09/03/20	700.00	...	199.97	Option sale to close-call <sup>25</sup>
CRM 06/05/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	399.99	05/22/20	315.00	...	84.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	05/22/20	310.00	...	89.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/28/20	2.000	799.97	Various	625.00	...	174.97	Total of 2 transactions
05/29/20	1.000	61.98	05/28/20	375.00	...	-313.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>861.95</b>		<b>1,000.00</b>	...	<b>-138.05</b>	
CRM 09/18/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	1,199.96	05/29/20	775.00	...	424.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,199.97	06/04/20	695.00	...	504.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/17/20	2.000	2,399.93	Various	1,470.00	...	929.93	Total of 2 transactions
CRM 11/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,669.96	06/19/20	1,300.00	...	369.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,669.95	06/24/20	1,100.00	...	569.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	3,339.91	Various	2,400.00	...	939.91	Total of 2 transactions
CRM 11/20/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/11/20	1.000	969.96	07/09/20	1,275.00	305.04 W	0.00	Option sale to close-call <sup>25</sup>
09/08/20	1.000	4,499.89	07/16/20	955.04	...	3,544.85	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,469.85</b>		<b>2,230.04</b>	<b>305.04 W</b>	<b>3,544.85</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 12/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	181.99	12/09/20	188.00	...	-6.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	181.99	12/09/20	188.00	...	-6.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	181.99	12/09/20	188.00	...	-6.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	182.00	12/09/20	188.00	...	-6.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/09/20	4.000	727.97	Various	752.00	...	-24.03	Total of 4 transactions
CRM 12/11/2020 CALL \$230.00 / CUSIP: / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	374.98	12/04/20	365.00	...	9.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	374.99	12/04/20	365.00	...	9.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	459.98	12/04/20	410.00	...	49.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/04/20	3.000	1,209.95	Various	1,140.00	...	69.95	Total of 3 transactions
12/04/20	1.000	459.98	12/04/20	470.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	334.99	12/08/20	288.02	...	46.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	669.97	12/08/20	556.00	...	113.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/20	3.000	1,004.96	Various	844.02	...	160.94	Total of 2 transactions
	<b>Security total:</b>	<b>2,674.89</b>		<b>2,454.02</b>	<b>10.02 W</b>	<b>230.89</b>	
CRM 12/18/2020 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	8.000	2,279.92	12/16/20	2,328.00	...	-48.08	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	569.97	12/16/20	582.00	...	-12.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/16/20	10.000	2,849.89	Various	2,910.00	...	-60.11	Total of 2 transactions
CRM 12/18/2020 CALL \$280.00 / CUSIP: / Symbol:							
09/11/20	1.000	1,049.96	09/09/20	1,475.00	...	-425.04	Option sale to close-call <sup>25</sup>
CRWD 01/21/2022 CALL \$160.00 / CUSIP: / Symbol:							
12/02/20	1.000	2,499.93	12/01/20	2,800.00	...	-300.07	Option sale to close-call <sup>25</sup>
CRWD 06/18/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/23/20	1.000	2,399.93	12/23/20	2,770.00	...	-370.07	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 10/02/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/02/20	1.000	1,049.96	09/02/20	1,000.00	...	49.96	Option sale to close-call <sup>25</sup>
CRWD 12/04/2020 CALL \$143.00 / CUSIP: / Symbol:							
12/02/20	1.000	699.97	12/02/20	700.00	...	-0.03	Option sale to close-call <sup>25</sup>
CRWD 12/04/2020 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	12/03/20	400.00	...	-20.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	439.98	12/03/20	400.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	2.000	819.96	Various	800.00	...	19.96	Total of 2 transactions
CRWD 12/11/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	244.98	12/07/20	240.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	244.99	12/07/20	240.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	2.000	489.97	Various	480.00	...	9.97	Total of 2 transactions
12/07/20	3.000	752.97	12/07/20	780.00	27.03 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	277.99	12/10/20	258.00	...	19.99	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	833.97	12/10/20	801.03	...	32.94	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	1,667.95	12/10/20	1,548.00	...	119.95	3 of 3 - Option sale to close-call <sup>25</sup>
12/10/20	10.000	2,779.91	Various	2,607.03	...	172.88	Total of 3 transactions
	<b>Security total:</b>	<b>4,022.85</b>		<b>3,867.03</b>	<b>27.03 W</b>	<b>182.85</b>	
CRWD 12/11/2020 CALL \$180.00 / CUSIP: / Symbol:							
12/08/20	4.000	819.97	12/08/20	740.00	...	79.97	Option sale to close-call <sup>25</sup>
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	189.98	12/08/20	210.00	20.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	569.97	12/08/20	630.00	60.03 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	294.99	12/08/20	317.02	22.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	294.98	12/08/20	317.01	22.03 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	294.98	12/08/20	317.01	22.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/08/20	7.000	1,644.90	Various	1,791.04	146.14 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 12/11/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
7 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	349.98	12/10/20	355.04	...	-5.06	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	349.98	12/10/20	354.06	...	-4.08	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/10/20	354.06	...	-4.07	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/10/20	352.05	...	-2.06	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	349.98	12/10/20	350.00	...	-0.02	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	188.99	12/10/20	187.00	...	1.99	6 of 7 - Option sale to close-call <sup>25</sup>
	6.000	2,009.94	12/10/20	1,920.00	...	89.94	7 of 7 - Option sale to close-call <sup>25</sup>
12/10/20	12.000	3,948.85	Various	3,872.21	...	76.64	Total of 7 transactions
11 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	188.98	12/10/20	207.01	18.03 W	0.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	188.99	12/10/20	209.03	20.04 W	0.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	188.99	12/10/20	209.03	20.04 W	0.00	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	189.00	12/10/20	209.03	20.03 W	0.00	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	334.99	12/10/20	338.03	3.04 W	0.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	334.99	12/10/20	340.04	5.05 W	0.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	334.99	12/10/20	340.04	5.05 W	0.00	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	334.99	12/10/20	340.03	5.04 W	0.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	279.99	12/10/20	282.04	2.05 W	0.00	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	279.99	12/10/20	284.05	4.06 W	0.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	279.99	12/10/20	284.05	4.06 W	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
12/10/20	11.000	2,935.89	Various	3,042.38	106.49 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>9,349.61</b>		<b>9,445.63</b>	<b>252.63 W</b>	<b>156.61</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 12/18/2020 CALL \$180.00 / CUSIP: / Symbol:							
12/16/20	5.000	1,999.94	12/16/20	1,750.00	...	249.94	Option sale to close-call <sup>25</sup>
CRWD 12/18/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	10.000	2,849.91	12/17/20	2,920.08	...	-70.17	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	2,159.93	12/17/20	2,150.00	...	9.93	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/20	20.000	5,009.84	Various	5,070.08	...	-60.24	Total of 2 transactions
12/17/20	10.000	2,429.92	12/17/20	2,460.00	30.08 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,439.76</b>		<b>7,530.08</b>	<b>30.08 W</b>	<b>-60.24</b>	
CRWD 12/24/2020 CALL \$210.00 / CUSIP: / Symbol:							
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	2.000	999.97	12/21/20	1,230.07	...	-230.10	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	499.99	12/21/20	690.06	...	-190.07	2 of 5 - Option sale to close-call <sup>25</sup>
	6.000	2,999.91	12/21/20	3,180.00	...	-180.09	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	499.99	12/21/20	595.05	...	-95.06	4 of 5 - Option sale to close-call <sup>25</sup>
	6.000	3,119.92	12/21/20	3,060.00	...	59.92	5 of 5 - Option sale to close-call <sup>25</sup>
12/21/20	16.000	8,119.78	Various	8,755.18	...	-635.40	Total of 5 transactions
9 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	364.98	12/21/20	460.00	95.02 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	364.99	12/21/20	555.02	190.03 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	364.99	12/21/20	460.00	95.01 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	729.97	12/21/20	920.00	190.03 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	519.98	12/21/20	700.03	180.05 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	519.98	12/21/20	605.01	85.03 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,039.96	12/21/20	1,210.03	170.07 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	444.99	12/21/20	605.05	160.06 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	444.98	12/21/20	510.03	65.05 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
12/21/20	11.000	4,794.82	Various	6,025.17	1,230.35 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>12,914.60</b>		<b>14,780.35</b>	<b>1,230.35 W</b>	<b>-635.40</b>	

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 12/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	8.000	2,383.92	12/22/20	2,560.00	...	-176.08	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	297.98	12/22/20	320.00	...	-22.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	297.98	12/22/20	320.00	...	-22.02	3 of 3 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	2,979.88	Various	3,200.00	...	-220.12	Total of 3 transactions
DAL 03/27/2020 CALL \$30.00 / CUSIP: / Symbol:							
03/25/20	3.000	668.97	03/24/20	375.00	...	293.97	Option sale to close-call <sup>25</sup>
DIS 01/08/2021 CALL \$185.00 / CUSIP: / Symbol:							
5 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	296.99	12/30/20	305.02	...	-8.03	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	296.99	12/30/20	305.02	...	-8.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	296.99	12/30/20	305.01	...	-8.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	225.99	12/30/20	195.00	...	30.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	451.98	12/30/20	392.00	...	59.98	5 of 5 - Option sale to close-call <sup>25</sup>
12/30/20	6.000	1,568.94	Various	1,502.05	...	66.89	Total of 5 transactions
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	245.98	12/30/20	246.00	0.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	491.97	12/30/20	492.00	0.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/20	3.000	737.95	Various	738.00	0.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,306.89</b>		<b>2,240.05</b>	<b>0.05 W</b>	<b>66.89</b>	
DIS 01/31/2020 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	01/15/20	116.02	...	-114.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.99	01/15/20	51.00	...	-49.01	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/20	2.000	3.98	Various	167.02	...	-163.04	Total of 2 transactions
01/27/20	1.000	1.98	01/13/20	67.00	65.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5.96</b>		<b>234.02</b>	<b>65.02 W</b>	<b>-163.04</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 02/07/2020 CALL \$150.00 / CUSIP: / Symbol:							
4 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	271.98	02/04/20	219.00	...	52.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	271.98	02/04/20	219.00	...	52.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	271.98	02/04/20	219.00	...	52.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	543.97	02/04/20	398.00	...	145.97	4 of 4 - Option sale to close-call <sup>25</sup>
02/04/20	5.000	1,359.91	Various	1,055.00	...	304.91	Total of 4 transactions
DIS 02/07/2020 CALL \$152.50 / CUSIP: / Symbol:							
02/04/20	1.000	199.98	01/09/20	172.00	...	27.98	Option sale to close-call <sup>25</sup>
DIS 10/16/2020 CALL \$145.00 / CUSIP: / Symbol:							
6 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	06/05/20	270.00	...	-210.02	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	59.98	06/05/20	270.00	...	-210.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	59.98	06/05/20	270.00	...	-210.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	59.98	06/19/20	165.00	...	-105.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	59.98	06/19/20	165.00	...	-105.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	59.98	06/19/20	165.00	...	-105.02	6 of 6 - Option sale to close-call <sup>25</sup>
08/04/20	6.000	359.88	Various	1,305.00	...	-945.12	Total of 6 transactions
DIS 12/18/2020 CALL \$172.50 / CUSIP: / Symbol:							
5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	12/15/20	217.00	...	17.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	234.99	12/15/20	217.00	...	17.99	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	469.97	12/15/20	434.00	...	35.97	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	469.98	12/15/20	434.00	...	35.98	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	939.96	12/15/20	868.00	...	71.96	5 of 5 - Option sale to close-call <sup>25</sup>
12/15/20	10.000	2,349.88	Various	2,170.00	...	179.88	Total of 5 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol:							
<i>17 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	594.99	12/11/20	751.23	...	-156.24	1 of 17 - Option sale to close-call 25
	1.000	594.99	12/11/20	739.24	...	-144.25	2 of 17 - Option sale to close-call 25
	1.000	594.98	12/11/20	727.21	...	-132.23	3 of 17 - Option sale to close-call 25
	1.000	594.98	12/11/20	719.27	...	-124.29	4 of 17 - Option sale to close-call 25
	1.000	594.99	12/11/20	719.20	...	-124.21	5 of 17 - Option sale to close-call 25
	1.000	594.98	12/11/20	680.25	...	-85.27	6 of 17 - Option sale to close-call 25
	1.000	594.98	12/11/20	655.20	...	-60.22	7 of 17 - Option sale to close-call 25
	1.000	594.99	12/11/20	655.18	...	-60.19	8 of 17 - Option sale to close-call 25
	1.000	594.97	12/11/20	652.15	...	-57.18	9 of 17 - Option sale to close-call 25
	1.000	594.98	12/11/20	652.15	...	-57.17	10 of 17 - Option sale to close-call 25
	1.000	180.00	12/11/20	180.00	...	0.00	11 of 17 - Option sale to close-call 25
	1.000	214.99	12/11/20	197.00	...	17.99	12 of 17 - Option sale to close-call 25
	1.000	214.99	12/11/20	197.00	...	17.99	13 of 17 - Option sale to close-call 25
	1.000	215.00	12/11/20	197.00	...	18.00	14 of 17 - Option sale to close-call 25
	1.000	214.99	12/11/20	185.01	...	29.98	15 of 17 - Option sale to close-call 25
	2.000	429.99	12/11/20	394.00	...	35.99	16 of 17 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	4.000	859.98	12/11/20	788.00	...	71.98	17 of 17 - Option sale to close-call <sup>25</sup>
12/11/20	21.000	8,279.77	Various	9,089.09	...	-809.32	Total of 17 transactions
	159 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	5.000	724.97	12/11/20	1,295.00	570.03 W	0.00	1 of 159 - Option sale to close-call <sup>25</sup>
	3.000	539.97	12/11/20	861.02	321.05 W	0.00	2 of 159 - Option sale to close-call <sup>25</sup>
	2.000	359.99	12/11/20	574.01	214.02 W	0.00	3 of 159 - Option sale to close-call <sup>25</sup>
	1.000	179.99	12/11/20	287.02	107.03 W	0.00	4 of 159 - Option sale to close-call <sup>25</sup>
	2.000	359.99	12/11/20	574.03	214.04 W	0.00	5 of 159 - Option sale to close-call <sup>25</sup>
	1.000	180.00	12/11/20	287.01	107.01 W	0.00	6 of 159 - Option sale to close-call <sup>25</sup>
	1.000	179.99	12/11/20	287.03	107.04 W	0.00	7 of 159 - Option sale to close-call <sup>25</sup>
	2.000	359.99	12/11/20	574.04	214.05 W	0.00	8 of 159 - Option sale to close-call <sup>25</sup>
	1.000	179.99	12/11/20	180.00	0.01 W	0.00	9 of 159 - Option sale to close-call <sup>25</sup>
	1.000	179.99	12/11/20	287.01	107.02 W	0.00	10 of 159 - Option sale to close-call <sup>25</sup>
	1.000	214.98	12/11/20	292.02	77.04 W	0.00	11 of 159 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/11/20	292.01	77.02 W	0.00	12 of 159 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/11/20	292.04	77.05 W	0.00	13 of 159 - Option sale to close-call <sup>25</sup>
	2.000	429.99	12/11/20	584.05	154.06 W	0.00	14 of 159 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/11/20	262.04	47.05 W	0.00	15 of 159 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	214.99	12/11/20	262.02	47.03 W	0.00	16 of 159 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/11/20	262.05	47.06 W	0.00	17 of 159 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/11/20	262.03	47.04 W	0.00	18 of 159 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/11/20	274.03	59.04 W	0.00	19 of 159 - Option sale to close-call <sup>25</sup>
	1.000	195.99	12/11/20	267.05	71.06 W	0.00	20 of 159 - Option sale to close-call <sup>25</sup>
	1.000	195.99	12/11/20	267.03	71.04 W	0.00	21 of 159 - Option sale to close-call <sup>25</sup>
	1.000	195.99	12/11/20	267.06	71.07 W	0.00	22 of 159 - Option sale to close-call <sup>25</sup>
	1.000	195.99	12/11/20	279.04	83.05 W	0.00	23 of 159 - Option sale to close-call <sup>25</sup>
	1.000	196.00	12/11/20	291.04	95.04 W	0.00	24 of 159 - Option sale to close-call <sup>25</sup>
	1.000	195.99	12/11/20	232.00	36.01 W	0.00	25 of 159 - Option sale to close-call <sup>25</sup>
	1.000	196.00	12/11/20	232.00	36.00 W	0.00	26 of 159 - Option sale to close-call <sup>25</sup>
	1.000	195.99	12/11/20	232.00	36.01 W	0.00	27 of 159 - Option sale to close-call <sup>25</sup>
	1.000	225.99	12/11/20	301.06	75.07 W	0.00	28 of 159 - Option sale to close-call <sup>25</sup>
	1.000	225.99	12/11/20	301.04	75.05 W	0.00	29 of 159 - Option sale to close-call <sup>25</sup>
	1.000	225.99	12/11/20	301.07	75.08 W	0.00	30 of 159 - Option sale to close-call <sup>25</sup>
	1.000	225.99	12/11/20	313.05	87.06 W	0.00	31 of 159 - Option sale to close-call <sup>25</sup>
	1.000	225.98	12/11/20	325.04	99.06 W	0.00	32 of 159 - Option sale to close-call <sup>25</sup>
	1.000	252.99	12/11/20	278.01	25.02 W	0.00	33 of 159 - Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	252.99	12/11/20	278.00	25.01 W	0.00	34 of 159 - Option sale to close-call <sup>25</sup>
	1.000	252.99	12/11/20	278.01	25.02 W	0.00	35 of 159 - Option sale to close-call <sup>25</sup>
	1.000	253.00	12/11/20	317.07	64.07 W	0.00	36 of 159 - Option sale to close-call <sup>25</sup>
	1.000	252.99	12/11/20	317.05	64.06 W	0.00	37 of 159 - Option sale to close-call <sup>25</sup>
	1.000	247.99	12/11/20	344.08	96.09 W	0.00	38 of 159 - Option sale to close-call <sup>25</sup>
	1.000	247.99	12/11/20	356.06	108.07 W	0.00	39 of 159 - Option sale to close-call <sup>25</sup>
	1.000	247.99	12/11/20	368.06	120.07 W	0.00	40 of 159 - Option sale to close-call <sup>25</sup>
	1.000	247.99	12/11/20	294.02	46.03 W	0.00	41 of 159 - Option sale to close-call <sup>25</sup>
	1.000	247.99	12/11/20	294.01	46.02 W	0.00	42 of 159 - Option sale to close-call <sup>25</sup>
	1.000	247.99	12/11/20	294.02	46.03 W	0.00	43 of 159 - Option sale to close-call <sup>25</sup>
	1.000	248.00	12/11/20	333.07	85.07 W	0.00	44 of 159 - Option sale to close-call <sup>25</sup>
	1.000	247.99	12/11/20	333.06	85.07 W	0.00	45 of 159 - Option sale to close-call <sup>25</sup>
	2.000	495.99	12/11/20	538.00	42.01 W	0.00	46 of 159 - Option sale to close-call <sup>25</sup>
	1.000	262.99	12/11/20	359.09	96.10 W	0.00	47 of 159 - Option sale to close-call <sup>25</sup>
	1.000	262.99	12/11/20	371.07	108.08 W	0.00	48 of 159 - Option sale to close-call <sup>25</sup>
	1.000	262.99	12/11/20	383.07	120.08 W	0.00	49 of 159 - Option sale to close-call <sup>25</sup>
	1.000	269.99	12/11/20	323.03	53.04 W	0.00	50 of 159 - Option sale to close-call <sup>25</sup>
	1.000	269.99	12/11/20	323.02	53.03 W	0.00	51 of 159 - Option sale to close-call <sup>25</sup>

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Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	269.99	12/11/20	323.03	53.04 W	0.00	52 of 159 - Option sale to close-call <sup>25</sup>
	1.000	270.00	12/11/20	362.07	92.07 W	0.00	53 of 159 - Option sale to close-call <sup>25</sup>
	1.000	269.99	12/11/20	362.07	92.08 W	0.00	54 of 159 - Option sale to close-call <sup>25</sup>
	1.000	290.99	12/11/20	380.10	89.11 W	0.00	55 of 159 - Option sale to close-call <sup>25</sup>
	1.000	290.99	12/11/20	392.08	101.09 W	0.00	56 of 159 - Option sale to close-call <sup>25</sup>
	1.000	290.99	12/11/20	404.08	113.09 W	0.00	57 of 159 - Option sale to close-call <sup>25</sup>
	2.000	581.98	12/11/20	610.01	28.03 W	0.00	58 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.99	12/11/20	402.04	72.05 W	0.00	59 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.98	12/11/20	402.03	72.05 W	0.00	60 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.98	12/11/20	402.04	72.06 W	0.00	61 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.98	12/11/20	441.07	111.09 W	0.00	62 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.99	12/11/20	441.08	111.09 W	0.00	63 of 159 - Option sale to close-call <sup>25</sup>
	2.000	659.97	12/11/20	726.03	66.06 W	0.00	64 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.99	12/11/20	438.11	108.12 W	0.00	65 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.98	12/11/20	450.09	120.11 W	0.00	66 of 159 - Option sale to close-call <sup>25</sup>
	1.000	329.98	12/11/20	462.09	132.11 W	0.00	67 of 159 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/11/20	417.05	67.06 W	0.00	68 of 159 - Option sale to close-call <sup>25</sup>
	1.000	349.98	12/11/20	417.05	67.07 W	0.00	69 of 159 - Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	349.98	12/11/20	417.06	67.08 W	0.00	70 of 159 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/11/20	456.09	106.10 W	0.00	71 of 159 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/11/20	456.09	106.10 W	0.00	72 of 159 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/11/20	453.12	103.13 W	0.00	73 of 159 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/11/20	465.11	115.12 W	0.00	74 of 159 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/11/20	477.11	127.12 W	0.00	75 of 159 - Option sale to close-call <sup>25</sup>
	2.000	699.98	12/11/20	756.06	56.08 W	0.00	76 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.98	12/11/20	447.06	67.08 W	0.00	77 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.99	12/11/20	447.07	67.08 W	0.00	78 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.98	12/11/20	447.08	67.10 W	0.00	79 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.98	12/11/20	486.10	106.12 W	0.00	80 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.99	12/11/20	486.10	106.11 W	0.00	81 of 159 - Option sale to close-call <sup>25</sup>
	2.000	759.97	12/11/20	816.08	56.11 W	0.00	82 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.99	12/11/20	483.13	103.14 W	0.00	83 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.99	12/11/20	495.12	115.13 W	0.00	84 of 159 - Option sale to close-call <sup>25</sup>
	1.000	379.98	12/11/20	507.12	127.14 W	0.00	85 of 159 - Option sale to close-call <sup>25</sup>
	1.000	399.99	12/11/20	467.08	67.09 W	0.00	86 of 159 - Option sale to close-call <sup>25</sup>
	1.000	399.98	12/11/20	467.08	67.10 W	0.00	87 of 159 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	374.98	12/11/20	467.10	92.12 W	0.00	88 of 159 - Option sale to close-call <sup>25</sup>
	1.000	374.99	12/11/20	506.12	131.13 W	0.00	89 of 159 - Option sale to close-call <sup>25</sup>
	1.000	374.98	12/11/20	506.11	131.13 W	0.00	90 of 159 - Option sale to close-call <sup>25</sup>
	2.000	749.97	12/11/20	856.11	106.14 W	0.00	91 of 159 - Option sale to close-call <sup>25</sup>
	1.000	374.99	12/11/20	503.14	128.15 W	0.00	92 of 159 - Option sale to close-call <sup>25</sup>
	1.000	374.99	12/11/20	515.13	140.14 W	0.00	93 of 159 - Option sale to close-call <sup>25</sup>
	1.000	374.98	12/11/20	527.14	152.16 W	0.00	94 of 159 - Option sale to close-call <sup>25</sup>
	1.000	449.99	12/11/20	506.09	56.10 W	0.00	95 of 159 - Option sale to close-call <sup>25</sup>
	1.000	449.99	12/11/20	506.10	56.11 W	0.00	96 of 159 - Option sale to close-call <sup>25</sup>
	1.000	449.99	12/11/20	531.12	81.13 W	0.00	97 of 159 - Option sale to close-call <sup>25</sup>
	1.000	449.99	12/11/20	570.13	120.14 W	0.00	98 of 159 - Option sale to close-call <sup>25</sup>
	1.000	449.98	12/11/20	570.13	120.15 W	0.00	99 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.98	12/11/20	483.07	43.09 W	0.00	100 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.99	12/11/20	558.15	118.16 W	0.00	101 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.99	12/11/20	570.14	130.15 W	0.00	102 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.99	12/11/20	582.16	142.17 W	0.00	103 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.99	12/11/20	486.10	46.11 W	0.00	104 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.99	12/11/20	486.11	46.12 W	0.00	105 of 159 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	439.98	12/11/20	511.13	71.15 W	0.00	106 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.99	12/11/20	550.14	110.15 W	0.00	107 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.98	12/11/20	550.15	110.17 W	0.00	108 of 159 - Option sale to close-call <sup>25</sup>
	1.000	439.99	12/11/20	483.07	43.08 W	0.00	109 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	508.09	43.10 W	0.00	110 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	508.08	43.09 W	0.00	111 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	583.16	118.17 W	0.00	112 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	595.15	130.16 W	0.00	113 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	607.17	142.18 W	0.00	114 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.98	12/11/20	511.11	46.13 W	0.00	115 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	511.12	46.13 W	0.00	116 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.98	12/11/20	536.15	71.17 W	0.00	117 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	575.15	110.16 W	0.00	118 of 159 - Option sale to close-call <sup>25</sup>
	1.000	464.98	12/11/20	575.17	110.19 W	0.00	119 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.98	12/11/20	528.10	58.12 W	0.00	120 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.98	12/11/20	528.09	58.11 W	0.00	121 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.99	12/11/20	603.17	133.18 W	0.00	122 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.98	12/11/20	615.16	145.18 W	0.00	123 of 159 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	469.99	12/11/20	627.18	157.19 W	0.00	124 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.98	12/11/20	531.13	61.15 W	0.00	125 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.99	12/11/20	531.13	61.14 W	0.00	126 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.98	12/11/20	556.17	86.19 W	0.00	127 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.99	12/11/20	595.16	125.17 W	0.00	128 of 159 - Option sale to close-call <sup>25</sup>
	1.000	469.98	12/11/20	595.19	125.21 W	0.00	129 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.99	12/11/20	558.12	53.13 W	0.00	130 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.99	12/11/20	558.11	53.12 W	0.00	131 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.99	12/11/20	633.18	128.19 W	0.00	132 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.98	12/11/20	645.18	140.20 W	0.00	133 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.99	12/11/20	657.19	152.20 W	0.00	134 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.98	12/11/20	561.15	56.17 W	0.00	135 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.99	12/11/20	561.14	56.15 W	0.00	136 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.98	12/11/20	586.19	81.21 W	0.00	137 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.99	12/11/20	625.17	120.18 W	0.00	138 of 159 - Option sale to close-call <sup>25</sup>
	1.000	504.98	12/11/20	625.21	120.23 W	0.00	139 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.99	12/11/20	583.13	82.14 W	0.00	140 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.98	12/11/20	583.12	82.14 W	0.00	141 of 159 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	500.99	12/11/20	658.19	157.20 W	0.00	142 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.98	12/11/20	670.20	169.22 W	0.00	143 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.98	12/11/20	682.20	181.22 W	0.00	144 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.99	12/11/20	586.17	85.18 W	0.00	145 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.98	12/11/20	586.15	85.17 W	0.00	146 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.98	12/11/20	611.21	110.23 W	0.00	147 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.99	12/11/20	650.18	149.19 W	0.00	148 of 159 - Option sale to close-call <sup>25</sup>
	1.000	500.98	12/11/20	650.23	149.25 W	0.00	149 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.99	12/11/20	602.14	72.15 W	0.00	150 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.99	12/11/20	602.14	72.15 W	0.00	151 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.99	12/11/20	677.20	147.21 W	0.00	152 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.98	12/11/20	689.22	159.24 W	0.00	153 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.99	12/11/20	701.22	171.23 W	0.00	154 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.98	12/11/20	605.18	75.20 W	0.00	155 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.99	12/11/20	605.17	75.18 W	0.00	156 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.98	12/11/20	630.23	100.25 W	0.00	157 of 159 - Option sale to close-call <sup>25</sup>
	1.000	529.99	12/11/20	669.19	139.20 W	0.00	158 of 159 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	1.000	529.98	12/11/20	669.25	139.27 W	0.00	159 of 159 - Option sale to close-call <sup>25</sup>
12/11/20	175.000	61,189.91	Various	76,608.35	15,418.44 W	0.00	Total of 159 transactions
	<b>Security total:</b>	<b>69,469.68</b>		<b>85,697.44</b>	<b>15,418.44 W</b>	<b>-809.32</b>	
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol:							
	20 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	282.98	12/11/20	282.00	...	0.98	1 of 20 - Option sale to close-call <sup>25</sup>
	1.000	282.99	12/11/20	282.00	...	0.99	2 of 20 - Option sale to close-call <sup>25</sup>
	2.000	565.98	12/11/20	564.00	...	1.98	3 of 20 - Option sale to close-call <sup>25</sup>
	1.000	464.98	12/11/20	460.05	...	4.93	4 of 20 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	460.04	...	4.95	5 of 20 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/11/20	460.04	...	4.95	6 of 20 - Option sale to close-call <sup>25</sup>
	2.000	929.97	12/11/20	920.10	...	9.87	7 of 20 - Option sale to close-call <sup>25</sup>
	1.000	464.98	12/11/20	455.06	...	9.92	8 of 20 - Option sale to close-call <sup>25</sup>
	1.000	464.98	12/11/20	455.05	...	9.93	9 of 20 - Option sale to close-call <sup>25</sup>
	1.000	281.98	12/11/20	272.00	...	9.98	10 of 20 - Option sale to close-call <sup>25</sup>
	1.000	339.99	12/11/20	315.00	...	24.99	11 of 20 - Option sale to close-call <sup>25</sup>
	1.000	344.98	12/11/20	315.00	...	29.98	12 of 20 - Option sale to close-call <sup>25</sup>
	1.000	404.99	12/11/20	370.00	...	34.99	13 of 20 - Option sale to close-call <sup>25</sup>
	1.000	404.99	12/11/20	370.00	...	34.99	14 of 20 - Option sale to close-call <sup>25</sup>

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Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	4.000	1,127.96	12/11/20	1,088.00	...	39.96	15 of 20 - Option sale to close-call <sub>25</sub>
	4.000	1,359.95	12/11/20	1,260.00	...	99.95	16 of 20 - Option sale to close-call <sub>25</sub>
	4.000	1,359.96	12/11/20	1,260.00	...	99.96	17 of 20 - Option sale to close-call <sub>25</sub>
	3.000	1,214.96	12/11/20	1,110.00	...	104.96	18 of 20 - Option sale to close-call <sub>25</sub>
	2.000	809.98	12/11/20	690.00	...	119.98	19 of 20 - Option sale to close-call <sub>25</sub>
	3.000	1,214.97	12/11/20	1,005.00	...	209.97	20 of 20 - Option sale to close-call <sub>25</sub>
12/11/20	36.000	13,251.55	Various	12,393.34	...	858.21	Total of 20 transactions
	58 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	3.000	1,214.96	12/11/20	1,260.00	45.04 W	0.00	1 of 58 - Option sale to close-call <sub>25</sub>
	1.000	314.99	12/11/20	425.01	110.02 W	0.00	2 of 58 - Option sale to close-call <sub>25</sub>
	1.000	314.98	12/11/20	425.02	110.04 W	0.00	3 of 58 - Option sale to close-call <sub>25</sub>
	1.000	301.99	12/11/20	336.01	34.02 W	0.00	4 of 58 - Option sale to close-call <sub>25</sub>
	1.000	301.98	12/11/20	431.02	129.04 W	0.00	5 of 58 - Option sale to close-call <sub>25</sub>
	1.000	274.99	12/11/20	430.04	155.05 W	0.00	6 of 58 - Option sale to close-call <sub>25</sub>
	1.000	274.99	12/11/20	354.02	79.03 W	0.00	7 of 58 - Option sale to close-call <sub>25</sub>
	1.000	274.99	12/11/20	449.04	174.05 W	0.00	8 of 58 - Option sale to close-call <sub>25</sub>
	1.000	282.99	12/11/20	437.05	154.06 W	0.00	9 of 58 - Option sale to close-call <sub>25</sub>
	1.000	282.99	12/11/20	361.03	78.04 W	0.00	10 of 58 - Option sale to close-call <sub>25</sub>

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	282.99	12/11/20	456.05	173.06 W	0.00	11 of 58 - Option sale to close-call 25
	1.000	282.99	12/11/20	436.06	153.07 W	0.00	12 of 58 - Option sale to close-call 25
	1.000	282.99	12/11/20	360.04	77.05 W	0.00	13 of 58 - Option sale to close-call 25
	1.000	282.99	12/11/20	455.06	172.07 W	0.00	14 of 58 - Option sale to close-call 25
	1.000	309.98	12/11/20	473.07	163.09 W	0.00	15 of 58 - Option sale to close-call 25
	1.000	309.98	12/11/20	397.05	87.07 W	0.00	16 of 58 - Option sale to close-call 25
	1.000	309.99	12/11/20	492.07	182.08 W	0.00	17 of 58 - Option sale to close-call 25
	7.000	2,169.93	12/11/20	2,240.00	70.07 W	0.00	18 of 58 - Option sale to close-call 25
	1.000	394.99	12/11/20	553.09	158.10 W	0.00	19 of 58 - Option sale to close-call 25
	1.000	394.98	12/11/20	477.07	82.09 W	0.00	20 of 58 - Option sale to close-call 25
	1.000	394.99	12/11/20	572.08	177.09 W	0.00	21 of 58 - Option sale to close-call 25
	2.000	789.97	12/11/20	800.02	10.05 W	0.00	22 of 58 - Option sale to close-call 25
	5.000	1,949.94	12/11/20	2,000.05	50.11 W	0.00	23 of 58 - Option sale to close-call 25
	1.000	384.99	12/11/20	558.10	173.11 W	0.00	24 of 58 - Option sale to close-call 25
	1.000	384.99	12/11/20	482.09	97.10 W	0.00	25 of 58 - Option sale to close-call 25
	1.000	384.99	12/11/20	577.09	192.10 W	0.00	26 of 58 - Option sale to close-call 25
	1.000	384.99	12/11/20	405.03	20.04 W	0.00	27 of 58 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	384.99	12/11/20	405.02	20.03 W	0.00	28 of 58 - Option sale to close-call 25
	1.000	384.99	12/11/20	410.02	25.03 W	0.00	29 of 58 - Option sale to close-call 25
	4.000	1,539.95	12/11/20	1,640.09	100.14 W	0.00	30 of 58 - Option sale to close-call 25
	1.000	424.98	12/11/20	593.11	168.13 W	0.00	31 of 58 - Option sale to close-call 25
	1.000	424.98	12/11/20	517.10	92.12 W	0.00	32 of 58 - Option sale to close-call 25
	1.000	424.99	12/11/20	612.10	187.11 W	0.00	33 of 58 - Option sale to close-call 25
	1.000	424.98	12/11/20	440.04	15.06 W	0.00	34 of 58 - Option sale to close-call 25
	1.000	424.98	12/11/20	440.03	15.05 W	0.00	35 of 58 - Option sale to close-call 25
	1.000	424.99	12/11/20	445.03	20.04 W	0.00	36 of 58 - Option sale to close-call 25
	1.000	424.99	12/11/20	445.04	20.05 W	0.00	37 of 58 - Option sale to close-call 25
	2.000	849.97	12/11/20	890.07	40.10 W	0.00	38 of 58 - Option sale to close-call 25
	1.000	424.99	12/11/20	445.03	20.04 W	0.00	39 of 58 - Option sale to close-call 25
	1.000	464.98	12/11/20	608.13	143.15 W	0.00	40 of 58 - Option sale to close-call 25
	1.000	464.99	12/11/20	532.12	67.13 W	0.00	41 of 58 - Option sale to close-call 25
	1.000	464.98	12/11/20	627.11	162.13 W	0.00	42 of 58 - Option sale to close-call 25
	1.000	444.99	12/11/20	603.15	158.16 W	0.00	43 of 58 - Option sale to close-call 25
	1.000	444.99	12/11/20	527.13	82.14 W	0.00	44 of 58 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	444.99	12/11/20	622.13	177.14 W	0.00	45 of 58 - Option sale to close-call 25
	1.000	444.98	12/11/20	460.00	15.02 W	0.00	46 of 58 - Option sale to close-call 25
	6.000	2,669.93	12/11/20	2,760.00	90.07 W	0.00	47 of 58 - Option sale to close-call 25
	1.000	354.99	12/11/20	548.16	193.17 W	0.00	48 of 58 - Option sale to close-call 25
	1.000	354.98	12/11/20	472.14	117.16 W	0.00	49 of 58 - Option sale to close-call 25
	1.000	334.99	12/11/20	522.14	187.15 W	0.00	50 of 58 - Option sale to close-call 25
	1.000	334.99	12/11/20	360.02	25.03 W	0.00	51 of 58 - Option sale to close-call 25
	1.000	334.98	12/11/20	357.01	22.03 W	0.00	52 of 58 - Option sale to close-call 25
	1.000	334.98	12/11/20	360.01	25.03 W	0.00	53 of 58 - Option sale to close-call 25
	1.000	334.99	12/11/20	360.01	25.02 W	0.00	54 of 58 - Option sale to close-call 25
	2.000	669.98	12/11/20	720.03	50.05 W	0.00	55 of 58 - Option sale to close-call 25
	1.000	334.99	12/11/20	360.01	25.02 W	0.00	56 of 58 - Option sale to close-call 25
	1.000	334.99	12/11/20	538.17	203.18 W	0.00	57 of 58 - Option sale to close-call 25
	1.000	334.99	12/11/20	462.16	127.17 W	0.00	58 of 58 - Option sale to close-call 25
12/11/20	81.000	30,000.98	Various	35,724.57	5,723.59 W	0.00	Total of 58 transactions
	11 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	4.000	487.98	12/14/20	812.04	...	-324.06	1 of 11 - Option sale to close-call 25
	1.000	122.00	12/14/20	419.18	...	-297.18	2 of 11 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	121.98	12/14/20	406.20	...	-284.22	3 of 11 - Option sale to close-call <sub>25</sub>
	1.000	121.98	12/14/20	330.18	...	-208.20	4 of 11 - Option sale to close-call <sub>25</sub>
	1.000	122.00	12/14/20	257.05	...	-135.05	5 of 11 - Option sale to close-call <sub>25</sub>
	1.000	121.98	12/14/20	228.03	...	-106.05	6 of 11 - Option sale to close-call <sub>25</sub>
	1.000	121.99	12/14/20	203.02	...	-81.03	7 of 11 - Option sale to close-call <sub>25</sub>
	1.000	249.99	12/14/20	212.03	...	37.96	8 of 11 - Option sale to close-call <sub>25</sub>
	1.000	249.98	12/14/20	212.02	...	37.96	9 of 11 - Option sale to close-call <sub>25</sub>
	1.000	249.98	12/14/20	209.03	...	40.95	10 of 11 - Option sale to close-call <sub>25</sub>
	2.000	499.97	12/14/20	424.05	...	75.92	11 of 11 - Option sale to close-call <sub>25</sub>
12/14/20	15.000	2,469.83	Various	3,712.83	...	-1,243.00	Total of 11 transactions
16 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	161.99	12/14/20	378.15	216.16 W	0.00	1 of 16 - Option sale to close-call <sub>25</sub>
	1.000	161.99	12/14/20	216.03	54.04 W	0.00	2 of 16 - Option sale to close-call <sub>25</sub>
	1.000	238.99	12/14/20	319.02	80.03 W	0.00	3 of 16 - Option sale to close-call <sub>25</sub>
	1.000	238.99	12/14/20	497.18	258.19 W	0.00	4 of 16 - Option sale to close-call <sub>25</sub>
	1.000	238.99	12/14/20	421.17	182.18 W	0.00	5 of 16 - Option sale to close-call <sub>25</sub>
	1.000	238.99	12/14/20	510.16	271.17 W	0.00	6 of 16 - Option sale to close-call <sub>25</sub>
	1.000	238.99	12/14/20	348.04	109.05 W	0.00	7 of 16 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	238.99	12/14/20	294.00	55.01 W	0.00	8 of 16 - Option sale to close-call <sup>25</sup>
	4.000	955.98	12/14/20	1,176.00	220.02 W	0.00	9 of 16 - Option sale to close-call <sup>25</sup>
	1.000	117.00	12/14/20	208.03	91.03 W	0.00	10 of 16 - Option sale to close-call <sup>25</sup>
	1.000	116.99	12/14/20	386.19	269.20 W	0.00	11 of 16 - Option sale to close-call <sup>25</sup>
	1.000	117.00	12/14/20	310.18	193.18 W	0.00	12 of 16 - Option sale to close-call <sup>25</sup>
	1.000	116.99	12/14/20	399.17	282.18 W	0.00	13 of 16 - Option sale to close-call <sup>25</sup>
	1.000	117.00	12/14/20	237.05	120.05 W	0.00	14 of 16 - Option sale to close-call <sup>25</sup>
	1.000	116.99	12/14/20	183.01	66.02 W	0.00	15 of 16 - Option sale to close-call <sup>25</sup>
	4.000	467.98	12/14/20	732.02	264.04 W	0.00	16 of 16 - Option sale to close-call <sup>25</sup>
12/14/20	22.000	3,883.85	Various	6,615.40	2,731.55 W	0.00	Total of 16 transactions
	<b>Security total:</b>	<b>49,606.21</b>		<b>58,446.14</b>	<b>8,455.14 W</b>	<b>-384.79</b>	
DIS 12/18/2020 PUT \$170.00 / CUSIP: / Symbol:							
7 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	4.000	843.97	12/11/20	948.13	...	-104.16	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	210.99	12/11/20	237.07	...	-26.08	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	210.99	12/11/20	237.07	...	-26.08	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	210.98	12/11/20	237.06	...	-26.08	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	210.99	12/11/20	237.06	...	-26.07	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	210.99	12/11/20	237.06	...	-26.07	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	211.00	12/11/20	237.04	...	-26.04	7 of 7 - Option sale to close-put <sup>25</sup>
12/11/20	10.000	2,109.91	Various	2,370.49	...	-260.58	Total of 7 transactions
26 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	10.000	2,319.92	12/11/20	2,320.00	0.08 W	0.00	1 of 26 - Option sale to close-put <sup>25</sup>
	3.000	695.97	12/11/20	720.02	24.05 W	0.00	2 of 26 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIS 12/18/2020 PUT \$170.00 / CUSIP: / Symbol: (cont'd)							
	1.000	231.98	12/11/20	240.01	8.03 W	0.00	3 of 26 - Option sale to close-put <sup>25</sup>
	1.000	231.98	12/11/20	240.01	8.03 W	0.00	4 of 26 - Option sale to close-put <sup>25</sup>
	5.000	1,159.96	12/11/20	1,200.04	40.08 W	0.00	5 of 26 - Option sale to close-put <sup>25</sup>
	1.000	184.98	12/11/20	194.02	9.04 W	0.00	6 of 26 - Option sale to close-put <sup>25</sup>
	1.000	184.98	12/11/20	194.02	9.04 W	0.00	7 of 26 - Option sale to close-put <sup>25</sup>
	1.000	184.99	12/11/20	194.03	9.04 W	0.00	8 of 26 - Option sale to close-put <sup>25</sup>
	1.000	184.99	12/11/20	194.03	9.04 W	0.00	9 of 26 - Option sale to close-put <sup>25</sup>
	1.000	184.99	12/11/20	194.01	9.02 W	0.00	10 of 26 - Option sale to close-put <sup>25</sup>
	1.000	184.98	12/11/20	194.02	9.04 W	0.00	11 of 26 - Option sale to close-put <sup>25</sup>
	4.000	739.97	12/11/20	776.06	36.09 W	0.00	12 of 26 - Option sale to close-put <sup>25</sup>
	1.000	159.98	12/11/20	173.04	13.06 W	0.00	13 of 26 - Option sale to close-put <sup>25</sup>
	1.000	159.98	12/11/20	173.04	13.06 W	0.00	14 of 26 - Option sale to close-put <sup>25</sup>
	1.000	159.99	12/11/20	173.02	13.03 W	0.00	15 of 26 - Option sale to close-put <sup>25</sup>
	1.000	159.99	12/11/20	173.04	13.05 W	0.00	16 of 26 - Option sale to close-put <sup>25</sup>
	1.000	160.00	12/11/20	173.04	13.04 W	0.00	17 of 26 - Option sale to close-put <sup>25</sup>
	1.000	159.99	12/11/20	173.04	13.05 W	0.00	18 of 26 - Option sale to close-put <sup>25</sup>
	4.000	639.98	12/11/20	692.09	52.11 W	0.00	19 of 26 - Option sale to close-put <sup>25</sup>
	1.000	158.99	12/11/20	182.06	23.07 W	0.00	20 of 26 - Option sale to close-put <sup>25</sup>
	1.000	158.99	12/11/20	182.06	23.07 W	0.00	21 of 26 - Option sale to close-put <sup>25</sup>
	1.000	158.99	12/11/20	182.03	23.04 W	0.00	22 of 26 - Option sale to close-put <sup>25</sup>
	1.000	158.99	12/11/20	182.05	23.06 W	0.00	23 of 26 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 12/18/2020 PUT \$170.00 / CUSIP: / Symbol: (cont'd)							
	1.000	158.98	12/11/20	182.04	23.06 W	0.00	24 of 26 - Option sale to close-put <sup>25</sup>
	1.000	158.99	12/11/20	182.05	23.06 W	0.00	25 of 26 - Option sale to close-put <sup>25</sup>
	4.000	635.98	12/11/20	728.11	92.13 W	0.00	26 of 26 - Option sale to close-put <sup>25</sup>
12/11/20	50.000	9,679.51	Various	10,210.98	531.47 W	0.00	Total of 26 transactions
	<b>Security total:</b>	<b>11,789.42</b>		<b>12,581.47</b>	<b>531.47 W</b>	<b>-260.58</b>	
DIS 12/31/2020 CALL \$175.00 / CUSIP: / Symbol:							
	5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	6.000	1,691.95	12/23/20	1,692.00	...	-0.05	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	845.97	12/23/20	846.00	...	-0.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	281.98	12/23/20	282.00	...	-0.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	245.98	12/23/20	240.00	...	5.98	4 of 5 - Option sale to close-call <sup>25</sup>
	9.000	2,213.93	12/23/20	2,160.00	...	53.93	5 of 5 - Option sale to close-call <sup>25</sup>
12/23/20	20.000	5,279.81	Various	5,220.00	...	59.81	Total of 5 transactions
DKNG 12/31/2020 CALL \$54.00 / CUSIP: / Symbol:							
	4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	5.000	1,024.96	12/23/20	1,025.00	...	-0.04	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,024.96	12/23/20	1,025.00	...	-0.04	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	189.98	12/23/20	180.00	...	9.98	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	759.97	12/23/20	720.00	...	39.97	4 of 4 - Option sale to close-call <sup>25</sup>
12/23/20	15.000	2,999.87	Various	2,950.00	...	49.87	Total of 4 transactions
DKNG 12/31/2020 CALL \$55.00 / CUSIP: / Symbol:							
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	9.000	1,628.94	12/23/20	1,674.06	...	-45.12	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	180.99	12/23/20	186.02	...	-5.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/20	10.000	1,809.93	Various	1,860.08	...	-50.15	Total of 2 transactions
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1.000	159.98	12/23/20	164.00	4.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 12/31/2020 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	9.000	1,439.94	12/23/20	1,476.00	36.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/20	10.000	1,599.92	Various	1,640.00	40.08 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,409.85</b>		<b>3,500.08</b>	<b>40.08 W</b>	<b>-50.15</b>	
DPZ 03/06/2020 PUT \$350.00 / CUSIP: / Symbol:							
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	02/25/20	180.00	...	219.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	399.98	02/25/20	180.00	...	219.98	2 of 2 - Option sale to close-put <sup>25</sup>
02/25/20	2.000	799.96	Various	360.00	...	439.96	Total of 2 transactions
DPZ 03/27/2020 PUT \$290.00 / CUSIP: / Symbol:							
03/20/20	2.000	699.97	03/19/20	1,400.00	...	-700.03	Option sale to close-put <sup>25</sup>
FAST 01/17/2020 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 01/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/16/20	22.00	...	-22.00	1 of 3 - Option expiration- long call
	1.000	0.00	01/16/20	22.00	...	-22.00	2 of 3 - Option expiration- long call
	1.000	0.00	01/16/20	22.00	...	-22.00	3 of 3 - Option expiration- long call
01/17/20	3.000	0.00	Various	66.00	...	-66.00	Total of 3 transactions
FB 01/21/2022 CALL \$350.00 / CUSIP: / Symbol:							
11/02/20	1.000	2,049.94	10/30/20	1,875.00	...	174.94	Option sale to close-call <sup>25</sup>
11/20/20	1.000	1,674.95	11/09/20	2,145.00	...	-470.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,724.89</b>		<b>4,020.00</b>	...	<b>-295.11</b>	
FB 04/03/2020 PUT \$148.00 / CUSIP: / Symbol:							
03/20/20	2.000	1,559.95	03/19/20	1,200.00	...	359.95	Option sale to close-put <sup>25</sup>
FB 04/09/2020 PUT \$146.00 / CUSIP: / Symbol:							
03/27/20	2.000	1,069.96	03/26/20	800.00	...	269.96	Option sale to close-put <sup>25</sup>
FB 04/09/2020 PUT \$155.00 / CUSIP: / Symbol:							
03/31/20	2.000	699.97	03/30/20	700.00	...	-0.03	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/17/2020 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	2.000	481.97	04/03/20	500.00	...	-18.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	249.98	04/03/20	250.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/06/20	3.000	731.95	Various	750.00	...	-18.05	Total of 2 transactions
FB 04/17/2020 PUT \$165.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	140.98	04/08/20	254.01	...	-113.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	155.99	04/08/20	205.00	...	-49.01	2 of 2 - Option sale to close-put <sup>25</sup>
04/09/20	2.000	296.97	Various	459.01	...	-162.04	Total of 2 transactions
04/09/20	1.000	155.99	04/08/20	205.00	49.01 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>452.96</b>		<b>664.01</b>	<b>49.01 W</b>	<b>-162.04</b>	
FB 04/24/2020 CALL \$185.00 / CUSIP: / Symbol:							
04/14/20	2.000	459.97	04/14/20	260.00	...	199.97	Option sale to close-call <sup>25</sup>
FB 05/08/2020 CALL \$182.50 / CUSIP: / Symbol:							
04/22/20	2.000	1,639.95	04/21/20	960.00	...	679.95	Option sale to close-call <sup>25</sup>
FB 05/15/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	2.000	289.98	05/01/20	290.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	313.98	05/01/20	290.00	...	23.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/04/20	4.000	603.96	Various	580.00	...	23.96	Total of 2 transactions
FB 05/29/2020 CALL \$220.00 / CUSIP: / Symbol:							
05/18/20	5.000	974.96	05/18/20	850.00	...	124.96	Option sale to close-call <sup>25</sup>
FB 06/05/2020 PUT \$217.50 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	2.000	399.98	05/28/20	460.00	...	-60.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	199.98	05/28/20	230.00	...	-30.02	2 of 2 - Option sale to close-put <sup>25</sup>
05/29/20	3.000	599.96	Various	690.00	...	-90.04	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 09/18/2020 CALL \$235.00 / CUSIP: / Symbol:							
05/27/20	1.000	1,499.95	05/12/20	815.00	...	684.95	Option sale to close-call <sup>25</sup>
FB 09/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	1,619.96	05/27/20	1,290.00	...	329.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,619.95	06/04/20	1,135.00	...	484.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	2.000	3,239.91	Various	2,425.00	...	814.91	Total of 2 transactions
FB 09/18/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/09/20	1.000	1,374.95	05/29/20	1,000.00	...	374.95	Option sale to close-call <sup>25</sup>
06/17/20	1.000	1,459.95	06/11/20	1,035.00	...	424.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,834.90</b>		<b>2,035.00</b>	...	<b>799.90</b>	
FB 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/01/20	1.000	909.97	06/26/20	920.00	...	-10.03	Option sale to close-call <sup>25</sup>
07/01/20	1.000	909.97	06/24/20	1,100.00	190.03 W	0.00	Option sale to close-call <sup>25</sup>
07/02/20	1.000	1,009.96	06/26/20	890.03	...	119.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,829.90</b>		<b>2,910.03</b>	<b>190.03 W</b>	<b>109.90</b>	
FB 09/18/2020 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	874.98	06/10/20	825.00	...	49.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	874.97	06/11/20	660.00	...	214.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	874.98	06/11/20	600.00	...	274.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/17/20	3.000	2,624.93	Various	2,085.00	...	539.93	Total of 3 transactions
FB 09/18/2020 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	534.97	05/26/20	1,045.02	...	-510.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	554.98	05/26/20	650.00	...	-95.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/20	2.000	1,089.95	Various	1,695.02	...	-605.07	Total of 2 transactions
05/27/20	1.000	554.98	05/26/20	950.00	395.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,644.93</b>		<b>2,645.02</b>	<b>395.02 W</b>	<b>-605.07</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 11/20/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/31/20	1.000	1,699.95	07/08/20	1,530.00	...	169.95	Option sale to close-call <sup>25</sup>
09/08/20	1.000	3,599.91	07/24/20	975.00	...	2,624.91	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,299.86</b>		<b>2,505.00</b>	...	<b>2,794.86</b>	
FB 11/20/2020 CALL \$335.00 / CUSIP: / Symbol:							
09/10/20	1.000	419.98	09/03/20	1,175.00	...	-755.02	Option sale to close-call <sup>25</sup>
FB 12/04/2020 CALL \$285.00 / CUSIP: / Symbol:							
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	207.98	12/03/20	241.03	...	-33.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	207.98	12/03/20	241.02	...	-33.04	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	2.000	415.96	Various	482.05	...	-66.09	Total of 2 transactions
	4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	324.99	12/03/20	335.00	10.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	324.98	12/03/20	335.00	10.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	143.99	12/03/20	168.01	24.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	143.99	12/03/20	168.02	24.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/03/20	4.000	937.95	Various	1,006.03	68.08 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,353.91</b>		<b>1,488.08</b>	<b>68.08 W</b>	<b>-66.09</b>	
FB 12/04/2020 CALL \$310.00 / CUSIP: / Symbol:							
10/26/20	1.000	749.97	10/23/20	600.00	...	149.97	Option sale to close-call <sup>25</sup>
10/26/20	1.000	719.97	10/26/20	800.00	80.03 W	0.00	Option sale to close-call <sup>25</sup>
10/27/20	1.000	699.97	10/26/20	780.03	80.06 W	0.00	Option sale to close-call <sup>25</sup>
10/29/20	1.000	639.97	10/29/20	750.09	...	-110.12	Option sale to close-call <sup>25</sup>
10/29/20	1.000	619.97	10/26/20	650.06	30.09 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,429.85</b>		<b>3,580.18</b>	<b>190.18 W</b>	<b>39.85</b>	
FB 12/18/2020 CALL \$245.00 / CUSIP: / Symbol:							
07/07/20	1.000	2,499.93	06/26/20	1,400.00	...	1,099.93	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 12/18/2020 CALL \$280.00 / CUSIP: / Symbol:							
5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	5.000	1,304.96	12/14/20	1,330.00	...	-25.04	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	269.99	12/14/20	258.00	...	11.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	269.99	12/14/20	258.00	...	11.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	269.99	12/14/20	258.00	...	11.99	4 of 5 - Option sale to close-call <sup>25</sup>
	7.000	1,889.94	12/14/20	1,806.00	...	83.94	5 of 5 - Option sale to close-call <sup>25</sup>
12/14/20	15.000	4,004.87	Various	3,910.00	...	94.87	Total of 5 transactions
FB 12/18/2020 CALL \$290.00 / CUSIP: / Symbol:							
09/08/20	1.000	2,329.93	08/11/20	1,340.00	...	989.93	Option sale to close-call <sup>25</sup>
10/14/20	1.000	1,339.96	10/12/20	1,150.00	...	189.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,669.89</b>		<b>2,490.00</b>	...	<b>1,179.89</b>	
FB 12/18/2020 CALL \$310.00 / CUSIP: / Symbol:							
10/30/20	1.000	399.98	10/29/20	900.00	...	-500.02	Option sale to close-call <sup>25</sup>
10/30/20	1.000	399.99	10/29/20	900.00	500.01 W	0.00	Option sale to close-call <sup>25</sup>
11/02/20	1.000	309.98	10/30/20	900.01	...	-590.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,109.95</b>		<b>2,700.01</b>	<b>500.01 W</b>	<b>-1,090.05</b>	
FB 12/18/2020 CALL \$315.00 / CUSIP: / Symbol:							
10/30/20	1.000	384.98	10/29/20	780.00	...	-395.02	Option sale to close-call <sup>25</sup>
FB 12/31/2020 CALL \$275.00 / CUSIP: / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	284.99	12/23/20	284.00	...	0.99	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	2,564.92	12/23/20	2,556.00	...	8.92	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/20	10.000	2,849.91	Various	2,840.00	...	9.91	Total of 2 transactions
5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	325.99	12/28/20	336.03	...	-10.04	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	325.99	12/28/20	336.02	...	-10.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	325.99	12/28/20	336.02	...	-10.03	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	325.99	12/28/20	336.02	...	-10.03	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	325.99	12/28/20	336.02	...	-10.03	5 of 5 - Option sale to close-call <sup>25</sup>
12/28/20	5.000	1,629.95	Various	1,680.11	...	-50.16	Total of 5 transactions

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 12/31/2020 CALL \$275.00 / CUSIP: / Symbol: (cont'd)							
6 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	4.000	1,191.96	12/28/20	1,196.00	4.04 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	297.98	12/28/20	299.00	1.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	629.98	12/28/20	632.02	2.04 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	314.99	12/28/20	316.01	1.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	314.99	12/28/20	316.01	1.02 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	314.99	12/28/20	316.02	1.03 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
12/28/20	10.000	3,064.89	Various	3,075.06	10.17 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>7,544.75</b>		<b>7,595.17</b>	<b>10.17 W</b>	<b>-40.25</b>	

FB 12/31/2020 CALL \$277.50 / CUSIP: / Symbol:

5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	2.000	567.97	12/28/20	616.02	...	-48.05	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	1,135.96	12/28/20	1,184.00	...	-48.04	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	283.98	12/28/20	308.01	...	-24.03	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	1,099.96	12/28/20	1,060.00	...	39.96	4 of 5 - Option sale to close-call <sup>25</sup>
	6.000	1,649.95	12/28/20	1,590.00	...	59.95	5 of 5 - Option sale to close-call <sup>25</sup>
12/28/20	17.000	4,737.82	Various	4,758.03	...	-20.21	Total of 5 transactions
12/28/20	3.000	851.97	12/28/20	888.00	36.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,589.79</b>		<b>5,646.03</b>	<b>36.03 W</b>	<b>-20.21</b>	

FSLY 01/15/2021 CALL \$115.00 / CUSIP: / Symbol:

09/24/20	1.000	-12.04	09/23/20	0.00	12.04 W	0.00	Short sale closed- call <sup>25</sup>
10/07/20	1.000	2,149.94	09/23/20	912.04	...	1,237.90	Option written: 09/23/20
							Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,137.90</b>		<b>912.04</b>	<b>12.04 W</b>	<b>1,237.90</b>	

FSLY 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:

10/08/20	1.000	1,769.95	10/08/20	2,000.00	...	-230.05	Option sale to close-call <sup>25</sup>
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FSLY 01/15/2021 CALL \$90.00 / CUSIP: / Symbol:

09/15/20	1.000	1,329.96	09/11/20	1,120.00	...	209.96	Option sale to close-call <sup>25</sup>
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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 01/21/2022 CALL \$140.00 / CUSIP: / Symbol:							
10/15/20	1.000	1,999.94	10/15/20	2,150.00	150.06 W	0.00	Option sale to close-call <sup>25</sup>
10/16/20	1.000	1,899.94	10/15/20	2,250.06	350.12 W	0.00	Option sale to close-call <sup>25</sup>
10/19/20	1.000	1,719.95	10/16/20	2,270.12	...	-550.17	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,619.83</b>		<b>6,670.18</b>	<b>500.18 W</b>	<b>-550.17</b>	
FSLY 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/14/20	1.000	809.97	07/10/20	1,250.00	...	-440.03	Option sale to close-call <sup>25</sup>
FSLY 10/02/2020 PUT \$89.00 / CUSIP: / Symbol:							
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	69.98	09/29/20	70.00	...	-0.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	69.98	09/29/20	70.00	...	-0.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	69.98	09/29/20	70.00	...	-0.02	3 of 3 - Option sale to close-put <sup>25</sup>
09/29/20	3.000	209.94	Various	210.00	...	-0.06	Total of 3 transactions
FSLY 10/09/2020 PUT \$105.00 / CUSIP: / Symbol:							
10/08/20	3.000	74.98	10/07/20	120.00	...	-45.02	Option sale to close-put <sup>25</sup>
FSLY 10/16/2020 CALL \$97.00 / CUSIP: / Symbol:							
10/16/20	1.000	74.98	10/16/20	0.00	...	74.98	Short sale closed- call expired Option written: 10/15/20
FSLY 10/16/2020 CALL \$98.00 / CUSIP: / Symbol:							
10/15/20	1.000	64.98	10/15/20	64.00	...	0.98	Option sale to close-call <sup>25</sup>
FSLY 10/16/2020 PUT \$80.00 / CUSIP: / Symbol:							
10/16/20	1.000	109.98	10/15/20	0.00	...	109.98	Short sale closed- put <sup>25</sup> Option written: 10/15/20
FSLY 10/23/2020 CALL \$85.00 / CUSIP: / Symbol:							
10/23/20	1.000	14.96	10/22/20	0.00	...	14.96	Short sale closed- call <sup>25</sup> Option written: 10/22/20
10/23/20	1.000	-30.02	10/22/20	0.00	30.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 10/22/20
	<b>Security total:</b>	<b>-15.06</b>		<b>0.00</b>	<b>30.02 W</b>	<b>14.96</b>	

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 10/30/2020 CALL \$74.00 / CUSIP: / Symbol:							
11/02/20	1.000	77.98	10/30/20	0.00	...	77.98	Short sale closed- call <sup>25</sup> Option written: 10/29/20
FSLY 10/30/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/26/20	1.000	359.98	10/23/20	0.00	...	359.98	Short sale closed- call <sup>25</sup> Option written: 10/19/20
FSLY 10/30/2020 PUT \$60.00 / CUSIP: / Symbol:							
11/02/20	1.000	49.98	10/30/20	0.00	...	49.98	Short sale closed- put <sup>25</sup> Option written: 10/29/20
FSLY 10/30/2020 PUT \$77.00 / CUSIP: / Symbol:							
10/19/20	1.000	-60.02	10/16/20	0.00	...	-60.02	Short sale closed- put <sup>25</sup> Option written: 10/16/20
FSLY 11/06/2020 CALL \$70.00 / CUSIP: / Symbol:							
11/05/20	1.000	-255.02	11/04/20	0.00	...	-255.02	Short sale closed- call <sup>25</sup> Option written: 11/02/20
FSLY 11/06/2020 CALL \$76.00 / CUSIP: / Symbol:							
11/09/20	1.000	39.98	11/06/20	0.00	...	39.98	Short sale closed- call <sup>25</sup> Option written: 11/05/20
FSLY 11/06/2020 PUT \$70.00 / CUSIP: / Symbol:							
10/19/20	2.000	349.98	10/16/20	440.00	...	-90.02	Option sale to close-put <sup>25</sup>
FSLY 11/13/2020 CALL \$75.00 / CUSIP: / Symbol:							
11/13/20	1.000	-200.02	11/12/20	0.00	...	-200.02	Short sale closed- call <sup>25</sup> Option written: 11/09/20
FSLY 11/13/2020 CALL \$79.00 / CUSIP: / Symbol:							
11/16/20	1.000	-118.02	11/13/20	0.00	...	-118.02	Short sale closed- call <sup>25</sup> Option written: 11/12/20

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 11/13/2020 PUT \$72.00 / CUSIP: / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	2.000	79.98	11/12/20	120.00	...	-40.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	39.98	11/12/20	60.00	...	-20.02	2 of 2 - Option sale to close-put <sup>25</sup>
11/12/20	3.000	119.96	Various	180.00	...	-60.04	Total of 2 transactions
FSLY 11/20/2020 CALL \$84.00 / CUSIP: / Symbol:							
11/23/20	1.000	-35.02	11/20/20	0.00	...	-35.02	Short sale closed- call <sup>25</sup> Option written: 11/17/20
FSLY 11/27/2020 CALL \$82.00 / CUSIP: / Symbol:							
11/30/20	1.000	-250.02	11/27/20	0.00	...	-250.02	Short sale closed- call <sup>25</sup> Option written: 11/24/20
FSLY 11/27/2020 CALL \$89.00 / CUSIP: / Symbol:							
11/25/20	1.000	114.98	11/24/20	0.00	...	114.98	Short sale closed- call <sup>25</sup> Option written: 11/20/20
FSLY 11/27/2020 CALL \$90.00 / CUSIP: / Symbol:							
11/20/20	1.000	139.98	11/20/20	142.00	...	-2.02	Option sale to close-call <sup>25</sup>
FSLY 12/04/2020 CALL \$85.00 / CUSIP: / Symbol:							
12/07/20	1.000	-190.02	12/04/20	0.00	...	-190.02	Short sale closed- call <sup>25</sup> Option written: 12/03/20
FSLY 12/11/2020 CALL \$100.00 / CUSIP: / Symbol:							
6 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	559.97	12/04/20	540.03	...	19.94	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	399.99	12/04/20	370.02	...	29.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	499.98	12/04/20	461.07	...	38.91	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	499.98	12/04/20	461.00	...	38.98	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	1,199.96	12/04/20	1,140.00	...	59.96	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	469.97	12/04/20	410.00	...	59.97	6 of 6 - Option sale to close-call <sup>25</sup>
12/04/20	7.000	3,629.85	Various	3,382.12	...	247.73	Total of 6 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 12/11/2020 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	164.98	12/04/20	175.00	10.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	399.98	12/04/20	451.02	51.04 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	460.97	12/04/20	461.04	0.07 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	519.97	12/04/20	520.00	0.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/04/20	4.000	1,545.90	Various	1,607.06	61.16 W	0.00	Total of 4 transactions
12/07/20	1.000	-91.02	12/04/20	0.00	91.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 12/04/20
13 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	279.98	12/08/20	352.02	...	-72.04	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	279.98	12/08/20	352.02	...	-72.04	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	279.98	12/08/20	316.00	...	-36.02	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/08/20	209.02	...	5.97	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/08/20	209.02	...	5.97	5 of 13 - Option sale to close-call <sup>25</sup>
	8.000	1,639.94	12/08/20	1,632.00	...	7.94	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	289.98	12/08/20	245.00	...	44.98	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	289.98	12/08/20	245.00	...	44.98	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	289.98	12/08/20	245.00	...	44.98	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	289.99	12/08/20	245.00	...	44.99	10 of 13 - Option sale to close-call <sup>25</sup>
	2.000	579.97	12/08/20	490.00	...	89.97	11 of 13 - Option sale to close-call <sup>25</sup>
	2.000	579.97	12/08/20	490.00	...	89.97	12 of 13 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FSLY 12/11/2020 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	2.000	579.98	12/08/20	490.00	...	89.98	13 of 13 - Option sale to close-call <sup>25</sup>
12/08/20	23.000	5,809.71	Various	5,520.08	...	289.63	Total of 13 transactions
	4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	154.98	12/08/20	160.00	5.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	154.98	12/08/20	160.00	5.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	279.98	12/08/20	316.00	36.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	279.98	12/08/20	316.00	36.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/08/20	4.000	869.92	Various	952.00	82.08 W	0.00	Total of 4 transactions
12/10/20	1.000	194.98	12/09/20	0.00	...	194.98	Short sale closed- call <sup>25</sup>
							Option written: 12/08/20
12/14/20	1.000	44.98	12/11/20	0.00	...	44.98	Short sale closed- call <sup>25</sup>
							Option written: 12/09/20
	<b>Security total:</b>	<b>12,004.32</b>		<b>11,461.26</b>	<b>234.26 W</b>	<b>777.32</b>	
FSLY 12/11/2020 CALL \$105.00 / CUSIP: / Symbol:							
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	5.000	1,249.96	12/08/20	1,200.00	...	49.96	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,029.96	12/08/20	950.00	...	79.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/20	10.000	2,279.92	Various	2,150.00	...	129.92	Total of 2 transactions
	17 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	149.98	12/08/20	235.00	85.02 W	0.00	1 of 17 - Option sale to close-call <sup>25</sup>
	4.000	599.97	12/08/20	940.00	340.03 W	0.00	2 of 17 - Option sale to close-call <sup>25</sup>
	1.000	239.99	12/08/20	275.02	35.03 W	0.00	3 of 17 - Option sale to close-call <sup>25</sup>
	4.000	959.97	12/08/20	1,100.03	140.06 W	0.00	4 of 17 - Option sale to close-call <sup>25</sup>
	1.000	249.99	12/08/20	275.03	25.04 W	0.00	5 of 17 - Option sale to close-call <sup>25</sup>
	3.000	749.98	12/08/20	825.05	75.07 W	0.00	6 of 17 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 12/11/2020 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	249.99	12/08/20	270.01	20.02 W	0.00	7 of 17 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/08/20	280.04	65.05 W	0.00	8 of 17 - Option sale to close-call <sup>25</sup>
	1.000	214.99	12/08/20	275.02	60.03 W	0.00	9 of 17 - Option sale to close-call <sup>25</sup>
	3.000	644.98	12/08/20	840.07	195.09 W	0.00	10 of 17 - Option sale to close-call <sup>25</sup>
	5.000	1,074.97	12/08/20	1,275.00	200.03 W	0.00	11 of 17 - Option sale to close-call <sup>25</sup>
	1.000	248.99	12/08/20	355.05	106.06 W	0.00	12 of 17 - Option sale to close-call <sup>25</sup>
	1.000	248.99	12/08/20	350.03	101.04 W	0.00	13 of 17 - Option sale to close-call <sup>25</sup>
	3.000	746.98	12/08/20	1,065.09	318.11 W	0.00	14 of 17 - Option sale to close-call <sup>25</sup>
	5.000	1,244.96	12/08/20	1,650.03	405.07 W	0.00	15 of 17 - Option sale to close-call <sup>25</sup>
	1.000	254.99	12/08/20	381.06	126.07 W	0.00	16 of 17 - Option sale to close-call <sup>25</sup>
	2.000	509.98	12/08/20	762.07	252.09 W	0.00	17 of 17 - Option sale to close-call <sup>25</sup>
12/08/20	38.000	8,604.69	Various	11,153.60	2,548.91 W	0.00	Total of 17 transactions
7 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	3.000	434.99	12/10/20	603.07	...	-168.08	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	289.99	12/10/20	432.04	...	-142.05	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	144.99	12/10/20	261.08	...	-116.09	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	144.98	12/10/20	261.06	...	-116.08	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	144.98	12/10/20	261.06	...	-116.08	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	144.99	12/10/20	226.05	...	-81.06	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	144.99	12/10/20	221.05	...	-76.06	7 of 7 - Option sale to close-call <sup>25</sup>
12/10/20	10.000	1,449.91	Various	2,265.41	...	-815.50	Total of 7 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 12/11/2020 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
7 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	159.99	12/10/20	246.04	86.05 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	159.99	12/10/20	241.04	81.05 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	3.000	479.97	12/10/20	663.04	183.07 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	259.99	12/10/20	412.03	152.04 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	129.99	12/10/20	251.07	121.08 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	129.99	12/10/20	251.05	121.06 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	129.98	12/10/20	251.04	121.06 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/10/20	10.000	1,449.90	Various	2,315.31	865.41 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>13,784.42</b>		<b>17,884.32</b>	<b>3,414.32 W</b>	<b>-685.58</b>	
FSLY 12/11/2020 CALL \$88.00 / CUSIP: / Symbol:							
12/09/20	1.000	-120.02	12/08/20	0.00	...	-120.02	Short sale closed- call <sup>25</sup> Option written: 12/07/20
FSLY 12/11/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/04/20	1.000	449.98	12/04/20	507.04	...	-57.06	Option sale to close-call <sup>25</sup>
12/04/20	1.000	349.98	12/04/20	447.02	97.04 W	0.00	Option sale to close-call <sup>25</sup>
12/07/20	1.000	-107.02	12/04/20	0.00	107.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 12/04/20
	<b>Security total:</b>	<b>692.94</b>		<b>954.06</b>	<b>204.06 W</b>	<b>-57.06</b>	
FSLY 12/18/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/05/20	1.000	2,899.92	06/29/20	1,450.00	...	1,449.92	Option sale to close-call <sup>25</sup>
FSLY 12/18/2020 CALL \$101.00 / CUSIP: / Symbol:							
7 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	264.98	12/17/20	265.00	...	-0.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	279.99	12/17/20	277.02	...	2.97	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	559.98	12/17/20	554.03	...	5.95	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	279.99	12/17/20	272.04	...	7.95	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	559.98	12/17/20	540.01	...	19.97	5 of 7 - Option sale to close-call <sup>25</sup>
	3.000	839.98	12/17/20	810.02	...	29.96	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	279.99	12/17/20	222.02	...	57.97	7 of 7 - Option sale to close-call <sup>25</sup>
12/17/20	11.000	3,064.89	Various	2,940.14	...	124.75	Total of 7 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 12/18/2020 CALL \$101.00 / CUSIP: / Symbol: (cont'd)							
8 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	5.000	989.96	12/17/20	1,025.00	35.04 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	214.98	12/17/20	217.01	2.03 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	499.98	12/17/20	524.01	24.03 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	249.99	12/17/20	262.01	12.02 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	249.99	12/17/20	257.03	7.04 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	3.000	749.98	12/17/20	765.00	15.02 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	499.99	12/17/20	510.00	10.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	249.99	12/17/20	262.01	12.02 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
12/17/20	16.000	3,704.86	Various	3,822.07	117.21 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>6,769.75</b>		<b>6,762.21</b>	<b>117.21 W</b>	<b>124.75</b>	
FSLY 12/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/24/20	1.000	2,259.94	06/19/20	1,250.00	...	1,009.94	Option sale to close-call <sup>25</sup>
FSLY 12/18/2020 CALL \$90.00 / CUSIP: / Symbol:							
09/11/20	1.000	999.96	08/21/20	1,560.00	...	-560.04	Option sale to close-call <sup>25</sup>
FSLY 12/18/2020 CALL \$99.00 / CUSIP: / Symbol:							
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	6.000	1,397.95	12/17/20	1,650.04	...	-252.09	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	465.99	12/17/20	550.02	...	-84.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	232.99	12/17/20	275.02	...	-42.03	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	232.99	12/17/20	275.01	...	-42.02	4 of 4 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	2,329.92	Various	2,750.09	...	-420.17	Total of 4 transactions
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	239.98	12/17/20	245.00	5.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	2,159.93	12/17/20	2,205.00	45.07 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	2,399.91	Various	2,450.00	50.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,729.83</b>		<b>5,200.09</b>	<b>50.09 W</b>	<b>-420.17</b>	

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FSLY 12/18/2020 PUT \$101.00 / CUSIP: / Symbol:							
3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	2.000	409.98	12/17/20	450.02	...	-40.04	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	819.97	12/17/20	860.00	...	-40.03	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	419.98	12/17/20	440.01	...	-20.03	3 of 3 - Option sale to close-put <sup>25</sup>
12/17/20	8.000	1,649.93	Various	1,750.03	...	-100.10	Total of 3 transactions
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	2.000	409.98	12/17/20	430.00	20.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	409.99	12/17/20	430.00	20.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
12/17/20	4.000	819.97	Various	860.00	40.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,469.90</b>		<b>2,610.03</b>	<b>40.03 W</b>	<b>-100.10</b>	
FSLY 12/24/2020 CALL \$104.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	8.000	2,479.93	12/22/20	2,480.00	...	-0.07	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	619.98	12/22/20	620.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	3,099.91	Various	3,100.00	...	-0.09	Total of 2 transactions
FSLY 12/24/2020 CALL \$105.00 / CUSIP: / Symbol:							
9 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	349.99	12/22/20	320.00	...	29.99	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/22/20	320.00	...	29.99	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/22/20	320.00	...	29.99	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/22/20	320.00	...	29.99	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/22/20	320.00	...	29.99	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/22/20	320.00	...	29.99	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/22/20	320.00	...	29.99	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	349.99	12/22/20	320.00	...	29.99	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	699.98	12/22/20	640.00	...	59.98	9 of 9 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	3,499.90	Various	3,200.00	...	299.90	Total of 9 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 12/24/2020 CALL \$107.00 / CUSIP: / Symbol:							
7 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	3.000	1,169.97	12/22/20	1,380.03	...	-210.06	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	779.97	12/22/20	920.02	...	-140.05	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	389.99	12/22/20	460.01	...	-70.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	389.99	12/22/20	460.01	...	-70.02	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	389.99	12/22/20	460.01	...	-70.02	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	389.99	12/22/20	460.01	...	-70.02	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	389.99	12/22/20	460.01	...	-70.02	7 of 7 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	3,899.89	Various	4,600.10	...	-700.21	Total of 7 transactions
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	5.000	1,799.95	12/22/20	2,000.00	200.05 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,799.95	12/22/20	2,000.00	200.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	3,599.90	Various	4,000.00	400.10 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>7,499.79</b>		<b>8,600.10</b>	<b>400.10 W</b>	<b>-700.21</b>	
FSLY 12/24/2020 CALL \$110.00 / CUSIP: / Symbol:							
12/23/20	1.000	-10.04	12/22/20	0.00	...	-10.04	Short sale closed- call <sup>25</sup>
12/23/20	1.000	-0.02	12/22/20	0.00	0.02 W	0.00	Option written: 12/22/20 Short sale closed- call <sup>25</sup> Option written: 12/22/20
	<b>Security total:</b>	<b>-10.06</b>		<b>0.00</b>	<b>0.02 W</b>	<b>-10.04</b>	
FSLY 12/24/2020 CALL \$111.00 / CUSIP: / Symbol:							
12/23/20	1.000	24.98	12/22/20	0.00	...	24.98	Short sale closed- call <sup>25</sup> Option written: 12/22/20
FSLY 12/24/2020 PUT \$103.00 / CUSIP: / Symbol:							
12/22/20	10.000	2,699.92	12/22/20	2,850.00	...	-150.08	Option sale to close-put <sup>25</sup>
FSLY 12/31/2020 CALL \$102.00 / CUSIP: / Symbol:							
12/29/20	1.000	74.98	12/28/20	0.00	...	74.98	Short sale closed- call <sup>25</sup> Option written: 12/28/20

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 01/10/2020 CALL \$61.50 / CUSIP: / Symbol:							
2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	2.000	53.99	01/02/20	78.00	...	-24.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	26.99	01/02/20	39.00	...	-12.01	2 of 2 - Option sale to close-call <sup>25</sup>
01/03/20	3.000	80.98	Various	117.00	...	-36.02	Total of 2 transactions
INTC 03/27/2020 PUT \$47.00 / CUSIP: / Symbol:							
03/18/20	3.000	929.96	03/17/20	795.00	...	134.96	Option sale to close-put <sup>25</sup>
INTC 04/09/2020 PUT \$51.00 / CUSIP: / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	3.000	218.98	04/02/20	330.00	...	-111.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	72.98	04/02/20	110.00	...	-37.02	2 of 2 - Option sale to close-put <sup>25</sup>
04/03/20	4.000	291.96	Various	440.00	...	-148.04	Total of 2 transactions
INTC 05/22/2020 CALL \$62.00 / CUSIP: / Symbol:							
05/12/20	2.000	109.98	05/11/20	166.00	...	-56.02	Option sale to close-call <sup>25</sup>
JD 05/22/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/13/20	2.000	339.98	05/08/20	200.00	...	139.98	Option sale to close-call <sup>25</sup>
JD 12/31/2020 CALL \$86.00 / CUSIP: / Symbol:							
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	7.000	1,217.96	12/23/20	1,498.05	...	-280.09	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	173.98	12/23/20	214.01	...	-40.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	173.98	12/23/20	214.01	...	-40.03	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	173.98	12/23/20	214.01	...	-40.03	4 of 4 - Option sale to close-call <sup>25</sup>
12/23/20	10.000	1,739.90	Various	2,140.08	...	-400.18	Total of 4 transactions
12/23/20	10.000	2,339.92	12/23/20	2,700.00	360.08 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,079.82</b>		<b>4,840.08</b>	<b>360.08 W</b>	<b>-400.18</b>	
KCAC 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
11/27/20	1.000	-198.02	11/25/20	0.00	198.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 11/24/20

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Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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KO 04/24/2020 CALL \$47.50 / CUSIP: / Symbol:							
04/21/20	2.000	33.98	04/20/20	200.00	...	-166.02	Option sale to close-call <sup>25</sup>
LVGO 11/20/2020 CALL \$125.00 / CUSIP: / Symbol:							
07/28/20	1.000	1,799.95	07/10/20	1,550.00	...	249.95	Option sale to close-call <sup>25</sup>
M 10/16/2020 CALL \$9.00 / CUSIP: / Symbol:							
09/03/20	5.000	204.98	09/02/20	100.00	...	104.98	Option sale to close-call <sup>25</sup>
MSFT 01/31/2020 CALL \$175.00 / CUSIP: / Symbol:							
3 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	25.00	01/22/20	83.00	58.00 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	25.00	01/22/20	83.00	58.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	74.98	01/24/20	138.00	63.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
01/27/20	5.000	124.98	Various	304.00	179.02 W	0.00	Total of 3 transactions
5 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	2.000	43.99	01/29/20	150.01	...	-106.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	22.00	01/29/20	118.00	...	-96.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	22.00	01/29/20	118.00	...	-96.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	21.99	01/29/20	81.01	...	-59.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	22.00	01/29/20	54.00	...	-32.00	5 of 5 - Option sale to close-call <sup>25</sup>
01/30/20	6.000	131.98	Various	521.02	...	-389.04	Total of 5 transactions
	<b>Security total:</b>	<b>256.96</b>		<b>825.02</b>	<b>179.02 W</b>	<b>-389.04</b>	
MSFT 02/07/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	1.000	101.99	02/04/20	44.00	...	57.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	101.99	02/04/20	44.00	...	57.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/05/20	2.000	203.98	Various	88.00	...	115.98	Total of 2 transactions
MSFT 03/13/2020 CALL \$190.00 / CUSIP: / Symbol:							
03/03/20	2.000	21.98	02/11/20	770.00	...	-748.02	Option sale to close-call <sup>25</sup>

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Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 03/27/2020 PUT \$133.00 / CUSIP: / Symbol:							
4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	2.000	1,499.96	03/17/20	1,400.00	...	99.96	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1,499.96	03/17/20	1,200.00	...	299.96	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	804.97	03/17/20	425.00	...	379.97	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	804.98	03/17/20	425.00	...	379.98	4 of 4 - Option sale to close-put <sup>25</sup>
03/18/20	6.000	4,609.87	Various	3,450.00	...	1,159.87	Total of 4 transactions
MSFT 04/09/2020 CALL \$162.50 / CUSIP: / Symbol:							
04/01/20	3.000	599.97	03/31/20	900.00	...	-300.03	Option sale to close-call <sup>25</sup>
MSFT 04/09/2020 CALL \$165.00 / CUSIP: / Symbol:							
03/31/20	2.000	819.97	03/31/20	520.00	...	299.97	Option sale to close-call <sup>25</sup>
MSFT 04/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
04/08/20	1.000	199.98	04/07/20	185.00	...	14.98	Option sale to close-call <sup>25</sup>
MSFT 10/16/2020 CALL \$210.00 / CUSIP: / Symbol:							
06/24/20	1.000	1,099.96	06/11/20	620.00	...	479.96	Option sale to close-call <sup>25</sup>
MSFT 10/30/2020 CALL \$210.00 / CUSIP: / Symbol:							
10/30/20	1.000	49.98	10/30/20	0.00	...	49.98	Short sale closed- call expired Option written: 10/29/20
MSFT 11/06/2020 CALL \$210.00 / CUSIP: / Symbol:							
11/05/20	1.000	-340.02	11/04/20	0.00	...	-340.02	Short sale closed- call <sup>25</sup> Option written: 11/02/20
MSFT 11/13/2020 CALL \$222.50 / CUSIP: / Symbol:							
11/13/20	1.000	39.98	11/12/20	0.00	...	39.98	Short sale closed- call <sup>25</sup> Option written: 11/12/20
MSFT 11/13/2020 CALL \$230.00 / CUSIP: / Symbol:							
11/10/20	1.000	-66.02	11/09/20	0.00	...	-66.02	Short sale closed- call <sup>25</sup> Option written: 11/09/20

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 11/13/2020 CALL \$235.00 / CUSIP: / Symbol:							
11/10/20	1.000	49.98	11/09/20	0.00	...	49.98	Short sale closed- call <sup>25</sup> Option written: 11/09/20
MSFT 11/20/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/20/20	1.000	49.98	11/20/20	0.00	...	49.98	Short sale closed- call expired Option written: 11/19/20
MSFT 11/27/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/30/20	1.000	-9.02	11/27/20	0.00	...	-9.02	Short sale closed- call <sup>25</sup> Option written: 11/24/20
MSFT 12/04/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/04/20	1.000	48.98	12/03/20	0.00	...	48.98	Short sale closed- call <sup>25</sup> Option written: 12/01/20
MSFT 12/18/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/09/20	1.000	1,084.96	09/09/20	1,075.04	...	9.92	Option sale to close-call <sup>25</sup>
09/09/20	1.000	1,049.96	09/09/20	1,050.00	0.04 W	0.00	Option sale to close-call <sup>25</sup>
10/27/20	1.000	554.97	10/19/20	690.00	135.03 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	160.99	10/19/20	690.00	...	-529.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	160.99	10/26/20	595.03	...	-434.04	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/20	2.000	321.98	Various	1,285.03	...	-963.05	Total of 2 transactions
	<b>Security total:</b>	<b>3,011.87</b>		<b>4,100.07</b>	<b>135.07 W</b>	<b>-953.13</b>	
MSFT 12/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	250.99	12/16/20	250.00	...	0.99	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	2,258.93	12/16/20	2,241.00	...	17.93	2 of 2 - Option sale to close-call <sup>25</sup>
12/16/20	10.000	2,509.92	Various	2,491.00	...	18.92	Total of 2 transactions
10 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	4.000	1,139.96	12/16/20	1,176.00	36.04 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	284.99	12/16/20	294.00	9.01 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 12/24/2020 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
	2.000	569.98	12/16/20	588.00	18.02 W	0.00	3 of 10 - Option sale to close-call <sub>25</sub>
	2.000	569.99	12/16/20	588.00	18.01 W	0.00	4 of 10 - Option sale to close-call <sub>25</sub>
	1.000	284.99	12/16/20	294.00	9.01 W	0.00	5 of 10 - Option sale to close-call <sub>25</sub>
	1.000	321.99	12/16/20	328.01	6.02 W	0.00	6 of 10 - Option sale to close-call <sub>25</sub>
	1.000	321.99	12/16/20	328.01	6.02 W	0.00	7 of 10 - Option sale to close-call <sub>25</sub>
	2.000	643.98	12/16/20	656.02	12.04 W	0.00	8 of 10 - Option sale to close-call <sub>25</sub>
	2.000	643.98	12/16/20	656.01	12.03 W	0.00	9 of 10 - Option sale to close-call <sub>25</sub>
	4.000	1,287.96	12/16/20	1,312.04	24.08 W	0.00	10 of 10 - Option sale to close-call <sub>25</sub>
12/16/20	20.000	6,069.81	Various	6,220.09	150.28 W	0.00	Total of 10 transactions
	13 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	290.99	12/21/20	289.04	...	1.95	1 of 13 - Option sale to close-call <sub>25</sub>
	1.000	290.99	12/21/20	289.03	...	1.96	2 of 13 - Option sale to close-call <sub>25</sub>
	1.000	290.99	12/21/20	289.03	...	1.96	3 of 13 - Option sale to close-call <sub>25</sub>
	1.000	290.99	12/21/20	289.03	...	1.96	4 of 13 - Option sale to close-call <sub>25</sub>
	1.000	349.99	12/21/20	348.02	...	1.97	5 of 13 - Option sale to close-call <sub>25</sub>
	1.000	291.00	12/21/20	289.02	...	1.98	6 of 13 - Option sale to close-call <sub>25</sub>
	2.000	581.98	12/21/20	578.05	...	3.93	7 of 13 - Option sale to close-call <sub>25</sub>
	1.000	309.98	12/21/20	305.00	...	4.98	8 of 13 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 12/24/2020 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
	3.000	872.97	12/21/20	867.09	...	5.88	9 of 13 - Option sale to close-call <sub>25</sub>
	4.000	1,399.96	12/21/20	1,392.07	...	7.89	10 of 13 - Option sale to close-call <sub>25</sub>
	5.000	1,749.95	12/21/20	1,740.10	...	9.85	11 of 13 - Option sale to close-call <sub>25</sub>
	10.000	3,719.89	12/21/20	3,700.00	...	19.89	12 of 13 - Option sale to close-call <sub>25</sub>
	9.000	2,789.91	12/21/20	2,745.00	...	44.91	13 of 13 - Option sale to close-call <sub>25</sub>
12/21/20	40.000	13,229.59	Various	13,120.48	...	109.11	Total of 13 transactions
	11 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	261.99	12/21/20	263.02	1.03 W	0.00	1 of 11 - Option sale to close-call <sub>25</sub>
	1.000	261.99	12/21/20	263.02	1.03 W	0.00	2 of 11 - Option sale to close-call <sub>25</sub>
	2.000	523.99	12/21/20	526.04	2.05 W	0.00	3 of 11 - Option sale to close-call <sub>25</sub>
	4.000	1,047.96	12/21/20	1,052.08	4.12 W	0.00	4 of 11 - Option sale to close-call <sub>25</sub>
	1.000	261.99	12/21/20	263.01	1.02 W	0.00	5 of 11 - Option sale to close-call <sub>25</sub>
	1.000	261.98	12/21/20	263.02	1.04 W	0.00	6 of 11 - Option sale to close-call <sub>25</sub>
	5.000	1,574.96	12/21/20	1,640.00	65.04 W	0.00	7 of 11 - Option sale to close-call <sub>25</sub>
	5.000	1,574.95	12/21/20	1,640.00	65.05 W	0.00	8 of 11 - Option sale to close-call <sub>25</sub>
	4.000	1,355.96	12/21/20	1,368.03	12.07 W	0.00	9 of 11 - Option sale to close-call <sub>25</sub>
	1.000	338.99	12/21/20	342.01	3.02 W	0.00	10 of 11 - Option sale to close-call <sub>25</sub>
	5.000	1,694.95	12/21/20	1,710.05	15.10 W	0.00	11 of 11 - Option sale to close-call <sub>25</sub>
12/21/20	30.000	9,159.71	Various	9,330.28	170.57 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 12/24/2020 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>30,969.03</b>		<b>31,161.85</b>	<b>320.85 W</b>	<b>128.03</b>	
MSFT 12/24/2020 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	8.000	2,135.93	12/21/20	2,144.00	...	-8.07	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	533.97	12/21/20	536.00	...	-2.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/21/20	10.000	2,669.90	Various	2,680.00	...	-10.10	Total of 2 transactions
MSFT 12/31/2020 CALL \$225.00 / CUSIP: / Symbol:							
7 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	136.99	12/24/20	165.06	...	-28.07	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	137.00	12/24/20	161.05	...	-24.05	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	136.99	12/24/20	156.05	...	-19.06	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	136.99	12/24/20	154.04	...	-17.05	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	273.99	12/24/20	288.01	...	-14.02	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	137.00	12/24/20	144.01	...	-7.01	6 of 7 - Option sale to close-call <sup>25</sup>
	3.000	410.98	12/24/20	405.00	...	5.98	7 of 7 - Option sale to close-call <sup>25</sup>
12/24/20	10.000	1,369.94	Various	1,473.22	...	-103.28	Total of 7 transactions
15 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	154.98	12/24/20	159.00	4.02 W	0.00	1 of 15 - Option sale to close-call <sup>25</sup>
	3.000	464.97	12/24/20	477.00	12.03 W	0.00	2 of 15 - Option sale to close-call <sup>25</sup>
	1.000	154.99	12/24/20	163.02	8.03 W	0.00	3 of 15 - Option sale to close-call <sup>25</sup>
	1.000	154.99	12/24/20	163.01	8.02 W	0.00	4 of 15 - Option sale to close-call <sup>25</sup>
	2.000	309.98	12/24/20	326.02	16.04 W	0.00	5 of 15 - Option sale to close-call <sup>25</sup>
	1.000	154.99	12/24/20	167.03	12.04 W	0.00	6 of 15 - Option sale to close-call <sup>25</sup>
	1.000	154.98	12/24/20	167.02	12.04 W	0.00	7 of 15 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 12/31/2020 CALL \$225.00 / CUSIP: / Symbol: (cont'd)							
	1.000	159.98	12/24/20	170.02	10.04 W	0.00	8 of 15 - Option sale to close-call <sup>25</sup>
	1.000	152.98	12/24/20	170.02	17.04 W	0.00	9 of 15 - Option sale to close-call <sup>25</sup>
	1.000	152.98	12/24/20	174.04	21.06 W	0.00	10 of 15 - Option sale to close-call <sup>25</sup>
	1.000	152.99	12/24/20	174.04	21.05 W	0.00	11 of 15 - Option sale to close-call <sup>25</sup>
	1.000	153.00	12/24/20	172.04	19.04 W	0.00	12 of 15 - Option sale to close-call <sup>25</sup>
	1.000	152.99	12/24/20	179.04	26.05 W	0.00	13 of 15 - Option sale to close-call <sup>25</sup>
	1.000	153.00	12/24/20	183.06	30.06 W	0.00	14 of 15 - Option sale to close-call <sup>25</sup>
	3.000	458.98	12/24/20	486.00	27.02 W	0.00	15 of 15 - Option sale to close-call <sup>25</sup>
12/24/20	20.000	3,086.78	Various	3,330.36	243.58 W	0.00	Total of 15 transactions
	<b>Security total:</b>	<b>4,456.72</b>		<b>4,803.58</b>	<b>243.58 W</b>	<b>-103.28</b>	
MU 01/10/2020 CALL \$57.00 / CUSIP: / Symbol:							
01/07/20	1.000	74.98	01/07/20	56.00	...	18.98	Option sale to close-call <sup>25</sup>
MU 01/10/2020 CALL \$58.00 / CUSIP: / Symbol:							
01/08/20	1.000	43.98	01/08/20	61.00	...	-17.02	Option sale to close-call <sup>25</sup>
MU 01/10/2020 CALL \$58.50 / CUSIP: / Symbol:							
3 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	01/07/20	42.00	...	-12.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.99	01/08/20	49.00	...	-19.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	30.00	01/08/20	34.00	...	-4.00	3 of 3 - Option sale to close-call <sup>25</sup>
01/08/20	3.000	89.98	Various	125.00	...	-35.02	Total of 3 transactions
NET 05/15/2020 CALL \$34.00 / CUSIP: / Symbol:							
05/08/20	1.000	9.98	05/07/20	120.00	...	-110.02	Option sale to close-call <sup>25</sup>

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Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 02/14/2020 CALL \$380.00 / CUSIP: / Symbol:							
3 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	1.000	224.99	02/05/20	385.00	...	-160.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.99	02/05/20	330.00	...	-105.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.99	02/06/20	285.00	...	-60.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/06/20	3.000	674.97	Various	1,000.00	...	-325.03	Total of 3 transactions
NFLX 03/06/2020 PUT \$350.00 / CUSIP: / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	2.000	669.98	02/26/20	598.00	...	71.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	669.98	02/26/20	574.00	...	95.98	2 of 2 - Option sale to close-put <sup>25</sup>
02/27/20	4.000	1,339.96	Various	1,172.00	...	167.96	Total of 2 transactions
NFLX 03/13/2020 CALL \$382.50 / CUSIP: / Symbol:							
03/06/20	3.000	2,312.93	03/06/20	1,560.00	...	752.93	Option sale to close-call <sup>25</sup>
NFLX 03/13/2020 PUT \$360.00 / CUSIP: / Symbol:							
03/05/20	1.000	679.97	03/05/20	380.00	...	299.97	Option sale to close-put <sup>25</sup>
03/06/20	1.000	1,044.96	03/05/20	380.00	...	664.96	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,724.93</b>		<b>760.00</b>	...	<b>964.93</b>	
NFLX 03/20/2020 CALL \$385.00 / CUSIP: / Symbol:							
03/11/20	3.000	1,439.95	03/10/20	1,650.00	...	-210.05	Option sale to close-call <sup>25</sup>
NFLX 03/20/2020 CALL \$390.00 / CUSIP: / Symbol:							
03/10/20	2.000	639.97	03/06/20	1,300.00	...	-660.03	Option sale to close-call <sup>25</sup>
NFLX 03/20/2020 PUT \$325.00 / CUSIP: / Symbol:							
03/11/20	2.000	1,199.96	03/10/20	1,200.00	...	-0.04	Option sale to close-put <sup>25</sup>
NFLX 03/27/2020 CALL \$345.00 / CUSIP: / Symbol:							
03/17/20	2.000	999.96	03/16/20	1,300.00	...	-300.04	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 03/27/2020 PUT \$290.00 / CUSIP: / Symbol:							
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	2.000	2,479.94	03/16/20	1,440.00	...	1,039.94	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	3,719.91	03/16/20	2,475.00	...	1,244.91	2 of 2 - Option sale to close-put <sup>25</sup>
03/16/20	5.000	6,199.85	Various	3,915.00	...	2,284.85	Total of 2 transactions
NFLX 04/03/2020 CALL \$400.00 / CUSIP: / Symbol:							
4 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	03/24/20	350.00	...	-245.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	119.99	03/25/20	475.00	...	-355.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	119.99	03/25/20	415.00	...	-295.01	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	419.98	03/25/20	480.00	...	-60.02	4 of 4 - Option sale to close-call <sup>25</sup>
03/26/20	7.000	764.95	Various	1,720.00	...	-955.05	Total of 4 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	105.00	03/24/20	460.00	355.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	105.00	03/24/20	400.00	295.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/26/20	2.000	210.00	Various	860.00	650.00 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>974.95</b>		<b>2,580.00</b>	<b>650.00 W</b>	<b>-955.05</b>	
NFLX 04/03/2020 PUT \$270.00 / CUSIP: / Symbol:							
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	241.98	03/19/20	1,108.02	...	-866.04	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	241.98	03/19/20	1,108.01	...	-866.03	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	241.99	03/19/20	750.00	...	-508.01	3 of 3 - Option sale to close-put <sup>25</sup>
03/20/20	3.000	725.95	Various	2,966.03	...	-2,240.08	Total of 3 transactions
03/20/20	2.000	483.97	03/19/20	1,500.00	1,016.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,209.92</b>		<b>4,466.03</b>	<b>1,016.03 W</b>	<b>-2,240.08</b>	
NFLX 04/03/2020 PUT \$275.00 / CUSIP: / Symbol:							
03/24/20	3.000	257.98	03/18/20	2,400.00	...	-2,142.02	Option sale to close-put <sup>25</sup>
NFLX 04/17/2020 CALL \$375.00 / CUSIP: / Symbol:							
04/06/20	1.000	899.97	04/03/20	620.00	...	279.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 04/17/2020 CALL \$390.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	2.000	399.98	04/08/20	520.00	...	-120.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.99	04/08/20	230.00	...	-30.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/09/20	3.000	599.97	Various	750.00	...	-150.03	Total of 2 transactions
NFLX 04/17/2020 PUT \$350.00 / CUSIP: / Symbol:							
04/07/20	2.000	749.97	04/06/20	800.00	...	-50.03	Option sale to close-put <sup>25</sup>
NFLX 04/17/2020 PUT \$365.00 / CUSIP: / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	1.000	86.99	04/13/20	468.01	...	-381.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	86.99	04/13/20	468.01	...	-381.02	2 of 2 - Option sale to close-put <sup>25</sup>
04/14/20	2.000	173.98	Various	936.02	...	-762.04	Total of 2 transactions
04/14/20	2.000	173.98	04/13/20	610.00	436.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>347.96</b>		<b>1,546.02</b>	<b>436.02 W</b>	<b>-762.04</b>	
NFLX 05/01/2020 CALL \$500.00 / CUSIP: / Symbol:							
04/21/20	1.000	874.97	04/16/20	1,075.00	...	-200.03	Option sale to close-call <sup>25</sup>
NFLX 05/08/2020 PUT \$390.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	219.98	04/30/20	320.00	...	-100.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	219.98	04/30/20	320.00	...	-100.02	2 of 2 - Option sale to close-put <sup>25</sup>
05/01/20	2.000	439.96	Various	640.00	...	-200.04	Total of 2 transactions
NFLX 06/19/2020 CALL \$475.00 / CUSIP: / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	145.99	05/22/20	669.02	...	-523.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	145.99	05/22/20	400.00	...	-254.01	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/20	2.000	291.98	Various	1,069.02	...	-777.04	Total of 2 transactions
05/27/20	1.000	145.98	05/22/20	415.00	269.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>437.96</b>		<b>1,484.02</b>	<b>269.02 W</b>	<b>-777.04</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 02/07/2020 CALL \$5.00 / CUSIP: / Symbol:							
02/05/20	1.000	3.98	02/04/20	16.00	...	-12.02	Option sale to close-call <sup>25</sup>
NIO 11/06/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/14/20	3.000	539.97	10/01/20	570.00	...	-30.03	Option sale to close-call <sup>25</sup>
NIO 11/13/2020 CALL \$28.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	188.99	10/14/20	233.00	...	-44.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	188.99	10/14/20	233.00	...	-44.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/20	2.000	377.98	Various	466.00	...	-88.02	Total of 2 transactions
NIO 11/13/2020 CALL \$33.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	25.98	10/14/20	0.00	...	25.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	25.98	10/14/20	0.00	...	25.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
10/15/20	2.000	51.96	Various	0.00	...	51.96	Total of 2 transactions
NIO 11/20/2020 CALL \$48.00 / CUSIP: / Symbol:							
11/20/20	1.000	-45.02	11/19/20	0.00	...	-45.02	Short sale closed- call <sup>25</sup> Option written: 11/19/20
NIO 11/20/2020 CALL \$49.00 / CUSIP: / Symbol:							
11/23/20	1.000	-49.02	11/20/20	0.00	...	-49.02	Short sale closed- call <sup>25</sup> Option written: 11/19/20
NIO 11/20/2020 CALL \$50.00 / CUSIP: / Symbol:							
11/20/20	1.000	99.98	11/20/20	0.00	...	99.98	Short sale closed- call expired Option written: 11/19/20

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 11/20/2020 PUT \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	86.98	11/19/20	0.00	...	86.98	1 of 2 - Short sale closed- put <sup>25</sup> Option written: 11/18/20
	1.000	101.98	11/19/20	0.00	...	101.98	2 of 2 - Short sale closed- put <sup>25</sup> Option written: 11/18/20
11/20/20	2.000	188.96	Various	0.00	...	188.96	Total of 2 transactions
NIO 11/20/2020 PUT \$46.00 / CUSIP: / Symbol:							
11/19/20	1.000	-16.02	11/18/20	0.00	...	-16.02	Short sale closed- put <sup>25</sup> Option written: 11/17/20
NIO 11/20/2020 PUT \$48.00 / CUSIP: / Symbol:							
11/18/20	1.000	431.98	11/17/20	383.00	...	48.98	Option sale to close-put <sup>25</sup>
NIO 11/27/2020 CALL \$51.00 / CUSIP: / Symbol:							
11/24/20	1.000	-210.02	11/23/20	0.00	...	-210.02	Short sale closed- call <sup>25</sup> Option written: 11/20/20
NIO 11/27/2020 CALL \$56.00 / CUSIP: / Symbol:							
11/25/20	1.000	2.98	11/24/20	0.00	...	2.98	Short sale closed- call <sup>25</sup> Option written: 11/23/20
NIO 11/27/2020 CALL \$58.00 / CUSIP: / Symbol:							
11/25/20	1.000	65.98	11/24/20	0.00	...	65.98	Short sale closed- call <sup>25</sup> Option written: 11/23/20
NIO 12/04/2020 CALL \$47.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	5.000	639.97	12/03/20	695.00	...	-55.03	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	383.98	12/03/20	348.00	...	35.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	8.000	1,023.95	Various	1,043.00	...	-19.05	Total of 2 transactions

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Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 12/11/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	2.000	427.98	12/07/20	486.00	...	-58.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	213.98	12/07/20	243.00	...	-29.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	3.000	641.96	Various	729.00	...	-87.04	Total of 2 transactions
NIO 12/11/2020 CALL \$49.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	131.98	12/08/20	173.00	...	-41.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	131.98	12/08/20	173.00	...	-41.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/20	2.000	263.96	Various	346.00	...	-82.04	Total of 2 transactions
NKE 12/24/2020 CALL \$140.00 / CUSIP: / Symbol:							
10 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	429.98	12/17/20	440.02	...	-10.04	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.98	12/17/20	440.02	...	-10.04	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.98	12/17/20	440.01	...	-10.03	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.98	12/17/20	440.01	...	-10.03	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.98	12/17/20	440.01	...	-10.03	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.98	12/17/20	440.01	...	-10.03	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.99	12/17/20	440.01	...	-10.02	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.99	12/17/20	440.01	...	-10.02	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.99	12/17/20	440.01	...	-10.02	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	429.99	12/17/20	440.01	...	-10.02	10 of 10 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	4,299.84	Various	4,400.12	...	-100.28	Total of 10 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKE 12/24/2020 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
5 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	414.99	12/17/20	420.00	5.01 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	2,074.94	12/17/20	2,100.00	25.06 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	420.00	5.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	420.00	5.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	829.97	12/17/20	840.00	10.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	4,149.88	Various	4,200.00	50.12 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>8,449.72</b>		<b>8,600.12</b>	<b>50.12 W</b>	<b>-100.28</b>	
NVDA 02/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
02/13/20	1.000	229.98	02/11/20	239.00	...	-9.02	Option sale to close-call <sup>25</sup>
NVDA 02/28/2020 CALL \$305.00 / CUSIP: / Symbol:							
02/11/20	2.000	401.98	02/10/20	128.00	...	273.98	Option sale to close-call <sup>25</sup>
NVDA 03/06/2020 CALL \$325.00 / CUSIP: / Symbol:							
02/24/20	2.000	109.98	02/21/20	440.00	...	-330.02	Option sale to close-call <sup>25</sup>
NVDA 04/17/2020 CALL \$260.00 / CUSIP: / Symbol:							
04/06/20	1.000	899.97	04/03/20	500.00	...	399.97	Option sale to close-call <sup>25</sup>
NVDA 04/24/2020 CALL \$275.00 / CUSIP: / Symbol:							
04/13/20	1.000	749.97	04/09/20	650.00	...	99.97	Option sale to close-call <sup>25</sup>
NVDA 05/01/2020 CALL \$325.00 / CUSIP: / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	119.98	04/17/20	480.02	...	-360.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.98	04/17/20	480.02	...	-360.04	2 of 2 - Option sale to close-call <sup>25</sup>
04/22/20	2.000	239.96	Various	960.04	...	-720.08	Total of 2 transactions
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	119.98	04/17/20	400.00	280.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.98	04/17/20	400.00	280.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/22/20	2.000	239.96	Various	800.00	560.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>479.92</b>		<b>1,760.04</b>	<b>560.04 W</b>	<b>-720.08</b>	

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Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 05/29/2020 CALL \$365.00 / CUSIP: / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	2.000	529.98	05/12/20	720.00	...	-190.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	529.98	05/12/20	470.00	...	59.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/13/20	4.000	1,059.96	Various	1,190.00	...	-130.04	Total of 2 transactions
NVDA 05/29/2020 CALL \$370.00 / CUSIP: / Symbol:							
05/12/20	3.000	704.97	05/12/20	900.00	130.02 W	-65.01	Option sale to close-call <sup>25</sup>
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	1,049.96	05/15/20	465.01	...	584.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,124.96	05/15/20	465.01	...	659.95	2 of 2 - Option sale to close-call <sup>25</sup>
05/18/20	2.000	2,174.92	Various	930.02	...	1,244.90	Total of 2 transactions
	<b>Security total:</b>	<b>2,879.89</b>		<b>1,830.02</b>	<b>130.02 W</b>	<b>1,179.89</b>	
NVDA 05/29/2020 CALL \$375.00 / CUSIP: / Symbol:							
05/13/20	2.000	363.98	05/12/20	440.00	...	-76.02	Option sale to close-call <sup>25</sup>
NVDA 05/29/2020 CALL \$415.00 / CUSIP: / Symbol:							
05/19/20	3.000	899.97	05/18/20	810.00	...	89.97	Option sale to close-call <sup>25</sup>
NVDA 10/02/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/28/20	1.000	205.98	09/28/20	366.02	...	-160.04	Option sale to close-call <sup>25</sup>
09/28/20	1.000	205.98	09/28/20	286.00	80.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>411.96</b>		<b>652.02</b>	<b>80.02 W</b>	<b>-160.04</b>	
NVDA 10/02/2020 CALL \$555.00 / CUSIP: / Symbol:							
08/24/20	1.000	1,259.96	08/24/20	1,350.00	90.04 W	0.00	Option sale to close-call <sup>25</sup>
09/04/20	1.000	2,429.93	08/24/20	1,440.04	...	989.89	Option sale to close-call <sup>25</sup>
09/15/20	1.000	1,299.96	09/15/20	1,500.00	...	-200.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,989.85</b>		<b>4,290.04</b>	<b>90.04 W</b>	<b>789.85</b>	
NVDA 11/13/2020 CALL \$600.00 / CUSIP: / Symbol:							
10/02/20	1.000	1,749.95	10/02/20	1,800.00	50.05 W	0.00	Option sale to close-call <sup>25</sup>
10/05/20	1.000	1,674.95	10/02/20	1,470.05	...	204.90	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,424.90</b>		<b>3,270.05</b>	<b>50.05 W</b>	<b>204.90</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OKTA 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	2.000	199.99	06/04/20	600.00	...	-400.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	199.99	06/04/20	450.00	...	-250.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/20	4.000	399.98	Various	1,050.00	...	-650.02	Total of 2 transactions
PDD 12/31/2020 CALL \$165.00 / CUSIP: / Symbol:							
5 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	5.000	1,369.95	12/29/20	1,400.00	...	-30.05	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	547.97	12/29/20	560.00	...	-12.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	273.98	12/29/20	280.00	...	-6.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	273.98	12/29/20	280.00	...	-6.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	273.98	12/29/20	280.00	...	-6.02	5 of 5 - Option sale to close-call <sup>25</sup>
12/29/20	10.000	2,739.86	Various	2,800.00	...	-60.14	Total of 5 transactions
PFE 12/11/2020 CALL \$43.00 / CUSIP: / Symbol:							
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	3.000	218.99	12/08/20	237.00	...	-18.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	72.98	12/08/20	82.00	...	-9.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	72.99	12/08/20	79.00	...	-6.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	77.98	12/08/20	76.00	...	1.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	79.98	12/08/20	76.00	...	3.98	5 of 5 - Option sale to close-call <sup>25</sup>
12/08/20	7.000	522.92	Various	550.00	...	-27.08	Total of 5 transactions
12/08/20	3.000	218.99	12/08/20	228.00	3.00 W	-6.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>741.91</b>		<b>778.00</b>	<b>3.00 W</b>	<b>-33.09</b>	
PINS 11/13/2020 PUT \$56.00 / CUSIP: / Symbol:							
11/12/20	10.000	239.97	11/11/20	240.00	...	-0.03	Option sale to close-put <sup>25</sup>
PINS 12/04/2020 CALL \$71.00 / CUSIP: / Symbol:							
12/03/20	2.000	179.98	12/03/20	170.00	...	9.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PINS 12/11/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	172.98	12/07/20	161.00	...	11.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	518.97	12/07/20	483.00	...	35.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	4.000	691.95	Various	644.00	...	47.95	Total of 2 transactions
PINS 12/11/2020 CALL \$71.00 / CUSIP: / Symbol:							
12/07/20	2.000	319.98	12/07/20	300.00	...	19.98	Option sale to close-call <sup>25</sup>
PINS 12/11/2020 CALL \$73.00 / CUSIP: / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	3.000	392.99	12/09/20	444.02	...	-51.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	130.99	12/09/20	148.02	...	-17.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	130.99	12/09/20	148.02	...	-17.03	3 of 3 - Option sale to close-call <sup>25</sup>
12/09/20	5.000	654.97	Various	740.06	...	-85.09	Total of 3 transactions
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	120.98	12/09/20	128.00	7.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	362.98	12/09/20	384.00	21.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	120.98	12/09/20	128.00	7.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/09/20	5.000	604.94	Various	640.00	35.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,259.91</b>		<b>1,380.06</b>	<b>35.06 W</b>	<b>-85.09</b>	
PINS 12/11/2020 CALL \$74.00 / CUSIP: / Symbol:							
12/09/20	3.000	263.98	12/09/20	291.00	...	-27.02	Option sale to close-call <sup>25</sup>
PINS 12/24/2020 CALL \$71.00 / CUSIP: / Symbol:							
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	8.000	1,191.96	12/22/20	1,200.00	...	-8.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	148.99	12/22/20	150.00	...	-1.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	148.99	12/22/20	150.00	...	-1.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	1,489.94	Various	1,500.00	...	-10.06	Total of 3 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PINS 12/24/2020 CALL \$72.00 / CUSIP: / Symbol:							
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	3.000	335.98	12/22/20	336.02	...	-0.04	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.99	12/22/20	112.02	...	-0.03	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.99	12/22/20	112.02	...	-0.03	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	223.99	12/22/20	224.02	...	-0.03	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	223.99	12/22/20	224.02	...	-0.03	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	112.00	12/22/20	112.02	...	-0.02	6 of 6 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	1,119.94	Various	1,120.12	...	-0.18	Total of 6 transactions
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	2.000	195.98	12/22/20	196.00	0.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	97.98	12/22/20	98.00	0.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	97.98	12/22/20	98.00	0.02 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	97.98	12/22/20	98.00	0.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	293.98	12/22/20	294.00	0.02 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	195.98	12/22/20	196.00	0.02 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	979.88	Various	980.00	0.12 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>2,099.82</b>		<b>2,100.12</b>	<b>0.12 W</b>	<b>-0.18</b>	
PINS 12/31/2020 CALL \$76.00 / CUSIP: / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	4.000	779.97	12/23/20	776.00	...	3.97	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	1,169.96	12/23/20	1,164.00	...	5.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/20	10.000	1,949.93	Various	1,940.00	...	9.93	Total of 2 transactions
PLTR 11/27/2020 CALL \$25.00 / CUSIP: / Symbol:							
11/27/20	1.000	-390.02	11/25/20	0.00	...	-390.02	Short sale closed- call <sup>25</sup> Option written: 11/25/20
PLTR 11/27/2020 CALL \$27.00 / CUSIP: / Symbol:							
11/30/20	1.000	-475.02	11/27/20	0.00	...	-475.02	Short sale closed- call <sup>25</sup> Option written: 11/25/20

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLTR 11/27/2020 CALL \$33.00 / CUSIP: / Symbol:							
11/30/20	1.000	85.98	11/27/20	0.00	...	85.98	Short sale closed- call <sup>25</sup> Option written: 11/25/20
PLTR 12/11/2020 CALL \$28.00 / CUSIP: / Symbol:							
<i>3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	185.99	12/07/20	182.02	...	3.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	185.99	12/07/20	182.02	...	3.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	185.99	12/07/20	178.01	...	7.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/20	3.000	557.97	Various	542.06	...	15.91	Total of 3 transactions
<i>5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	174.98	12/07/20	179.00	4.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	174.98	12/07/20	179.00	4.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	147.99	12/07/20	155.02	7.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	148.00	12/07/20	155.02	7.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	147.99	12/07/20	151.00	3.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/07/20	5.000	793.94	Various	819.04	25.10 W	0.00	Total of 5 transactions
12/10/20	5.000	364.98	12/10/20	430.00	...	-65.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,716.89</b>		<b>1,791.10</b>	<b>25.10 W</b>	<b>-49.11</b>	
PLTR 12/11/2020 CALL \$29.00 / CUSIP: / Symbol:							
12/07/20	2.000	311.98	12/07/20	320.01	...	-8.03	Option sale to close-call <sup>25</sup>
12/07/20	3.000	392.98	12/07/20	393.00	0.01 W	-0.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>704.96</b>		<b>713.01</b>	<b>0.01 W</b>	<b>-8.04</b>	
PLTR 12/24/2020 CALL \$27.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	168.98	12/17/20	168.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	1,520.94	12/17/20	1,512.00	...	8.94	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	1,689.92	Various	1,680.00	...	9.92	Total of 2 transactions
PLUG 12/24/2020 CALL \$35.00 / CUSIP: / Symbol:							
12/22/20	10.000	1,079.95	12/22/20	1,110.00	...	-30.05	Option sale to close-call <sup>25</sup>
PYPL 07/17/2020 CALL \$180.00 / CUSIP: / Symbol:							
07/14/20	4.000	239.98	07/13/20	496.00	...	-256.02	Option sale to close-call <sup>25</sup>

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PYPL 09/11/2020 PUT \$180.00 / CUSIP: / Symbol:							
09/10/20	5.000	149.98	09/10/20	260.00	...	-110.02	Option sale to close-put <sup>25</sup>
PYPL 10/16/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	1,349.96	06/19/20	1,250.00	...	99.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,264.96	06/19/20	1,125.00	...	139.96	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/20	2.000	2,614.92	Various	2,375.00	...	239.92	Total of 2 transactions
PYPL 10/16/2020 CALL \$180.00 / CUSIP: / Symbol:							
07/07/20	1.000	1,409.95	06/24/20	1,030.00	...	379.95	Option sale to close-call <sup>25</sup>
PYPL 10/16/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/27/20	1.000	999.96	07/09/20	1,355.00	355.04 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1,249.96	07/10/20	1,515.04	...	-265.08	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,249.97	07/23/20	850.00	...	399.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/20	2.000	2,499.93	Various	2,365.04	...	134.89	Total of 2 transactions
	<b>Security total:</b>	<b>3,499.89</b>		<b>3,720.04</b>	<b>355.04 W</b>	<b>134.89</b>	
PYPL 11/06/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/14/20	1.000	1,299.96	10/01/20	1,050.00	...	249.96	Option sale to close-call <sup>25</sup>
PYPL 11/20/2020 CALL \$210.00 / CUSIP: / Symbol:							
09/02/20	1.000	1,754.95	08/07/20	1,295.00	...	459.95	Option sale to close-call <sup>25</sup>
09/10/20	1.000	869.97	08/07/20	1,210.00	...	-340.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,624.92</b>		<b>2,505.00</b>	...	<b>119.92</b>	
PYPL 12/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
11/02/20	1.000	1,009.96	10/30/20	865.00	...	144.96	Option sale to close-call <sup>25</sup>
PYPL 12/18/2020 CALL \$230.00 / CUSIP: / Symbol:							
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	6.000	2,939.92	12/17/20	3,030.07	...	-90.15	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	979.97	12/17/20	1,010.03	...	-30.06	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	489.99	12/17/20	505.02	...	-15.03	3 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 12/18/2020 CALL \$230.00 / CUSIP: / Symbol: (cont'd)							
	1.000	489.99	12/17/20	505.01	...	-15.02	4 of 4 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	4,899.87	Various	5,050.13	...	-150.26	Total of 4 transactions
	3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	3.000	1,304.96	12/17/20	1,350.00	45.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	434.98	12/17/20	450.00	15.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	2,609.93	12/17/20	2,700.00	90.07 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	4,349.87	Various	4,500.00	150.13 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>9,249.74</b>		<b>9,550.13</b>	<b>150.13 W</b>	<b>-150.26</b>	
PYPL 12/18/2020 PUT \$235.00 / CUSIP: / Symbol:							
	5 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	154.99	12/17/20	142.00	...	12.99	1 of 5 - Option sale to close-put <sup>25</sup>
	2.000	309.98	12/17/20	284.00	...	25.98	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	309.99	12/17/20	284.00	...	25.99	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	309.99	12/17/20	284.00	...	25.99	4 of 5 - Option sale to close-put <sup>25</sup>
	3.000	464.98	12/17/20	426.00	...	38.98	5 of 5 - Option sale to close-put <sup>25</sup>
12/17/20	10.000	1,549.93	Various	1,420.00	...	129.93	Total of 5 transactions
PYPL 12/24/2020 CALL \$237.50 / CUSIP: / Symbol:							
	9 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	4.000	1,479.95	12/17/20	1,780.26	...	-300.31	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	369.99	12/17/20	465.10	...	-95.11	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	369.99	12/17/20	440.08	...	-70.09	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	369.99	12/17/20	440.08	...	-70.09	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	369.99	12/17/20	440.07	...	-70.08	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	369.99	12/17/20	440.07	...	-70.08	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	369.99	12/17/20	400.03	...	-30.04	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	464.99	12/17/20	445.00	...	19.99	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	3,899.89	12/17/20	3,850.00	...	49.89	9 of 9 - Option sale to close-call <sup>25</sup>
12/17/20	21.000	8,064.77	Various	8,700.69	...	-635.92	Total of 9 transactions

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 12/24/2020 CALL \$237.50 / CUSIP: / Symbol: (cont'd)							
25 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	414.98	12/17/20	440.00	25.02 W	0.00	1 of 25 - Option sale to close-call 25
	1.000	374.99	12/17/20	465.02	90.03 W	0.00	2 of 25 - Option sale to close-call 25
	3.000	1,124.97	12/17/20	1,320.00	195.03 W	0.00	3 of 25 - Option sale to close-call 25
	5.000	1,874.94	12/17/20	2,200.00	325.06 W	0.00	4 of 25 - Option sale to close-call 25
	1.000	464.97	12/17/20	535.03	70.06 W	0.00	5 of 25 - Option sale to close-call 25
	3.000	1,394.96	12/17/20	1,530.03	135.07 W	0.00	6 of 25 - Option sale to close-call 25
	5.000	2,324.93	12/17/20	2,550.06	225.13 W	0.00	7 of 25 - Option sale to close-call 25
	1.000	414.99	12/17/20	485.06	70.07 W	0.00	8 of 25 - Option sale to close-call 25
	3.000	1,244.96	12/17/20	1,380.07	135.11 W	0.00	9 of 25 - Option sale to close-call 25
	5.000	2,074.94	12/17/20	2,300.13	225.19 W	0.00	10 of 25 - Option sale to close-call 25
	1.000	414.99	12/17/20	415.00	0.01 W	0.00	11 of 25 - Option sale to close-call 25
	1.000	454.98	12/17/20	535.07	80.09 W	0.00	12 of 25 - Option sale to close-call 25
	1.000	454.98	12/17/20	510.04	55.06 W	0.00	13 of 25 - Option sale to close-call 25
	1.000	454.97	12/17/20	510.04	55.07 W	0.00	14 of 25 - Option sale to close-call 25
	1.000	454.97	12/17/20	510.03	55.06 W	0.00	15 of 25 - Option sale to close-call 25
	1.000	454.97	12/17/20	510.04	55.07 W	0.00	16 of 25 - Option sale to close-call 25
	1.000	449.99	12/17/20	465.01	15.02 W	0.00	17 of 25 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 12/24/2020 CALL \$237.50 / CUSIP: / Symbol: (cont'd)							
	4.000	1,799.95	12/17/20	2,040.15	240.20 W	0.00	18 of 25 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	505.09	90.10 W	0.00	19 of 25 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	480.06	65.07 W	0.00	20 of 25 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	480.07	65.08 W	0.00	21 of 25 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	480.06	65.07 W	0.00	22 of 25 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	480.07	65.08 W	0.00	23 of 25 - Option sale to close-call <sup>25</sup>
	1.000	414.99	12/17/20	440.02	25.03 W	0.00	24 of 25 - Option sale to close-call <sup>25</sup>
	4.000	1,659.94	12/17/20	1,940.20	280.26 W	0.00	25 of 25 - Option sale to close-call <sup>25</sup>
12/17/20	49.000	20,799.31	Various	23,506.35	2,707.04 W	0.00	Total of 25 transactions
	<b>Security total:</b>	<b>28,864.08</b>		<b>32,207.04</b>	<b>2,707.04 W</b>	<b>-635.92</b>	
PYPL 12/24/2020 CALL \$240.00 / CUSIP: / Symbol:							
12/17/20	10.000	3,699.89	12/17/20	3,900.00	...	-200.11	Option sale to close-call <sup>25</sup>
QQQ 09/25/2020 CALL \$280.00 / CUSIP: / Symbol:							
09/14/20	2.000	899.97	09/14/20	800.00	...	99.97	Option sale to close-call <sup>25</sup>
QS 12/11/2020 CALL \$55.00 / CUSIP: / Symbol:							
12/08/20	2.000	679.97	12/08/20	700.00	...	-20.03	Option sale to close-call <sup>25</sup>
QS 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
12/01/20	1.000	-2,000.04	11/30/20	0.00	...	-2,000.04	Short sale closed- call <sup>25</sup> Option written: 11/25/20
QS 12/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
12/02/20	1.000	599.97	12/01/20	0.00	...	599.97	Short sale closed- call <sup>25</sup> Option written: 11/30/20

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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QS 12/18/2020 PUT \$25.00 / CUSIP: / Symbol:							
12/03/20	1.000	-185.02	12/02/20	0.00	...	-185.02	Short sale closed- put <sup>25</sup> Option written: 11/27/20
QS 12/18/2020 PUT \$30.00 / CUSIP: / Symbol:							
12/02/20	1.000	-30.02	12/01/20	0.00	...	-30.02	Short sale closed- put <sup>25</sup> Option written: 11/27/20
ROKU 03/27/2020 PUT \$68.00 / CUSIP: / Symbol:							
03/20/20	2.000	449.98	03/19/20	950.00	...	-500.02	Option sale to close-put <sup>25</sup>
ROKU 04/03/2020 CALL \$100.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	629.98	03/24/20	425.00	...	204.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	629.98	03/24/20	315.00	...	314.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/24/20	2.000	1,259.96	Various	740.00	...	519.96	Total of 2 transactions
ROKU 04/09/2020 CALL \$100.00 / CUSIP: / Symbol:							
03/31/20	2.000	399.98	03/26/20	800.00	...	-400.02	Option sale to close-call <sup>25</sup>
ROKU 04/09/2020 CALL \$95.00 / CUSIP: / Symbol:							
04/01/20	2.000	249.98	03/26/20	770.00	...	-520.02	Option sale to close-call <sup>25</sup>
ROKU 04/17/2020 PUT \$88.00 / CUSIP: / Symbol:							
<i>3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	202.99	04/09/20	235.00	...	-32.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	202.99	04/09/20	235.00	...	-32.01	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	419.98	04/09/20	442.01	...	-22.03	3 of 3 - Option sale to close-put <sup>25</sup>
04/09/20	4.000	825.96	Various	912.01	...	-86.05	Total of 3 transactions
04/09/20	2.000	405.99	04/09/20	428.00	22.01 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,231.95</b>		<b>1,340.01</b>	<b>22.01 W</b>	<b>-86.05</b>	
ROKU 05/01/2020 CALL \$135.00 / CUSIP: / Symbol:							
04/16/20	2.000	949.96	04/16/20	500.00	...	449.96	Option sale to close-call <sup>25</sup>

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU 05/15/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	589.97	05/01/20	375.00	...	214.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	724.97	05/01/20	375.00	...	349.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/04/20	2.000	1,314.94	Various	750.00	...	564.94	Total of 2 transactions
ROKU 05/15/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	814.98	05/05/20	505.00	...	309.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	814.97	05/05/20	480.00	...	334.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/07/20	2.000	1,629.95	Various	985.00	...	644.95	Total of 2 transactions
ROKU 05/15/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/08/20	2.000	15.98	05/07/20	230.00	...	-214.02	Option sale to close-call <sup>25</sup>
ROKU 05/15/2020 PUT \$95.00 / CUSIP: / Symbol:							
05/08/20	2.000	15.98	05/07/20	40.00	...	-24.02	Option sale to close-put <sup>25</sup>
ROKU 08/07/2020 CALL \$187.50 / CUSIP: / Symbol:							
08/06/20	2.000	21.98	08/05/20	446.00	...	-424.02	Option sale to close-call <sup>25</sup>
ROKU 11/13/2020 PUT \$230.00 / CUSIP: / Symbol:							
11/06/20	1.000	279.98	11/06/20	280.00	...	-0.02	Option sale to close-put <sup>25</sup>
ROKU 12/04/2020 CALL \$295.00 / CUSIP: / Symbol:							
12/03/20	2.000	533.97	12/03/20	620.03	...	-86.06	Option sale to close-call <sup>25</sup>
12/03/20	2.000	501.97	12/03/20	552.00	50.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,035.94</b>		<b>1,172.03</b>	<b>50.03 W</b>	<b>-86.06</b>	
ROKU 12/11/2020 CALL \$300.00 / CUSIP: / Symbol:							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	834.97	12/04/20	895.03	...	-60.06	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	834.97	12/04/20	834.13	...	0.84	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	834.97	12/04/20	829.00	...	5.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	799.97	12/04/20	770.03	...	29.94	4 of 4 - Option sale to close-call <sup>25</sup>
12/04/20	4.000	3,304.88	Various	3,328.19	...	-23.31	Total of 4 transactions

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU 12/11/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
7 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	639.97	12/04/20	650.00	10.03 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	639.97	12/04/20	665.03	25.06 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	664.97	12/04/20	700.06	35.09 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	764.98	12/04/20	800.09	35.11 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	764.97	12/04/20	765.00	0.03 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	799.98	12/04/20	805.11	5.13 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	834.97	12/04/20	855.00	20.03 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/04/20	7.000	5,109.81	Various	5,240.29	130.48 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>8,414.69</b>		<b>8,568.48</b>	<b>130.48 W</b>	<b>-23.31</b>	
ROKU 12/11/2020 CALL \$305.00 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	649.98	12/07/20	734.06	...	-84.08	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	649.98	12/07/20	734.06	...	-84.08	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	2.000	1,299.96	Various	1,468.12	...	-168.16	Total of 2 transactions
4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	544.97	12/07/20	570.00	25.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	544.97	12/07/20	570.00	25.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	690.97	12/07/20	770.03	79.06 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	690.97	12/07/20	770.03	79.06 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/07/20	4.000	2,471.88	Various	2,680.06	208.18 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>3,771.84</b>		<b>4,148.18</b>	<b>208.18 W</b>	<b>-168.16</b>	
ROKU 12/11/2020 CALL \$315.00 / CUSIP: / Symbol:							
12/10/20	2.000	939.96	12/10/20	980.00	...	-40.04	Option sale to close-call <sup>25</sup>
ROKU 12/11/2020 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	499.98	12/09/20	550.07	...	-50.09	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.98	12/09/20	550.06	...	-50.08	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/20	2.000	999.96	Various	1,100.13	...	-100.17	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 12/11/2020 CALL \$330.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	2.000	759.97	12/09/20	1,060.00	300.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1,249.96	12/09/20	1,480.03	230.07 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	699.97	12/09/20	760.04	60.07 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	699.97	12/09/20	760.03	60.06 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/09/20	6.000	3,409.87	Various	4,060.10	650.23 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>4,409.83</b>		<b>5,160.23</b>	<b>650.23 W</b>	<b>-100.17</b>	
ROKU 12/11/2020 PUT \$305.00 / CUSIP: / Symbol:							
12/09/20	2.000	839.97	12/09/20	890.00	...	-50.03	Option sale to close-put <sup>25</sup>
ROKU 12/18/2020 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	484.97	12/16/20	448.00	...	36.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	969.96	12/16/20	896.00	...	73.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/16/20	3.000	1,454.93	Various	1,344.00	...	110.93	Total of 2 transactions
ROKU 12/18/2020 CALL \$340.00 / CUSIP: / Symbol:							
12/11/20	2.000	1,519.95	12/11/20	1,540.00	...	-20.05	Option sale to close-call <sup>25</sup>
ROKU 12/31/2020 CALL \$340.00 / CUSIP: / Symbol:							
12/10/20	1.000	524.97	12/09/20	640.00	115.03 W	0.00	Option sale to close-call <sup>25</sup>
12/17/20	1.000	999.96	12/17/20	1,660.03	...	-660.07	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,524.93</b>		<b>2,300.03</b>	<b>115.03 W</b>	<b>-660.07</b>	
SBE 11/20/2020 CALL \$25.00 / CUSIP: / Symbol:							
11/20/20	1.000	29.98	11/19/20	0.00	...	29.98	Short sale closed- call <sup>25</sup> Option written: 11/19/20
SBE 12/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/25/20	1.000	-560.02	11/24/20	0.00	...	-560.02	Short sale closed- call <sup>25</sup> Option written: 11/23/20

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SBE 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/24/20	1.000	700.97	11/24/20	710.00	...	-9.03	Option sale to close-call <sup>25</sup>
11/25/20	1.000	79.97	11/24/20	0.00	...	79.97	Short sale closed- call <sup>25</sup> Option written: 11/24/20
<b>Security total:</b>		<b>780.94</b>		<b>710.00</b>	...	<b>70.94</b>	
SBE 12/18/2020 PUT \$22.50 / CUSIP: / Symbol:							
11/24/20	1.000	49.98	11/23/20	95.00	...	-45.02	Option sale to close-put <sup>25</sup>
SE 12/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
12/09/20	3.000	860.97	12/09/20	717.00	...	143.97	Option sale to close-call <sup>25</sup>
SNAP 12/11/2020 CALL \$49.00 / CUSIP: / Symbol:							
12/07/20	3.000	419.98	12/07/20	348.00	...	71.98	Option sale to close-call <sup>25</sup>
SNAP 12/11/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	2.000	209.99	12/07/20	244.01	...	-34.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	104.98	12/07/20	122.01	...	-17.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	104.99	12/07/20	120.00	...	-15.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/20	4.000	419.96	Various	486.02	...	-66.06	Total of 3 transactions
12/07/20	3.000	326.98	12/07/20	333.00	6.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>746.94</b>		<b>819.02</b>	<b>6.02 W</b>	<b>-66.06</b>	
SNAP 12/11/2020 CALL \$51.00 / CUSIP: / Symbol:							
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	3.000	227.99	12/08/20	366.02	...	-138.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	75.99	12/08/20	122.00	...	-46.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	76.00	12/08/20	122.00	...	-46.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/08/20	5.000	379.98	Various	610.02	...	-230.04	Total of 3 transactions
12/08/20	5.000	294.98	12/08/20	490.00	195.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>674.96</b>		<b>1,100.02</b>	<b>195.02 W</b>	<b>-230.04</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 12/18/2020 CALL \$54.00 / CUSIP: / Symbol:							
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	156.99	12/11/20	155.00	...	1.99	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	627.98	12/11/20	620.00	...	7.98	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	784.97	12/11/20	775.00	...	9.97	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	583.98	12/11/20	568.00	...	15.98	4 of 5 - Option sale to close-call <sup>25</sup>
	6.000	875.96	12/11/20	852.00	...	23.96	5 of 5 - Option sale to close-call <sup>25</sup>
12/11/20	20.000	3,029.88	Various	2,970.00	...	59.88	Total of 5 transactions
SNAP 12/24/2020 CALL \$54.00 / CUSIP: / Symbol:							
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	4.000	791.97	12/17/20	804.03	...	-12.06	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	593.97	12/17/20	603.02	...	-9.05	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	593.98	12/17/20	603.02	...	-9.04	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	1,529.94	12/17/20	1,510.00	...	19.94	4 of 4 - Option sale to close-call <sup>25</sup>
12/17/20	20.000	3,509.86	Various	3,520.07	...	-10.21	Total of 4 transactions
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	4.000	635.97	12/17/20	652.00	16.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	953.96	12/17/20	978.00	24.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	1,589.93	Various	1,630.00	40.07 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,099.79</b>		<b>5,150.07</b>	<b>40.07 W</b>	<b>-10.21</b>	
SNAP 12/31/2020 CALL \$54.00 / CUSIP: / Symbol:							
12/29/20	5.000	429.98	12/29/20	490.00	...	-60.02	Option sale to close-call <sup>25</sup>
SNOW 10/23/2020 PUT \$255.00 / CUSIP: / Symbol:							
10/21/20	2.000	519.97	10/21/20	250.00	...	269.97	Option sale to close-put <sup>25</sup>
SNOW 10/30/2020 PUT \$250.00 / CUSIP: / Symbol:							
10/27/20	1.000	209.98	10/27/20	180.00	...	29.98	Option sale to close-put <sup>25</sup>
SNOW 12/04/2020 CALL \$325.00 / CUSIP: / Symbol:							
12/03/20	1.000	779.97	12/03/20	820.00	...	-40.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLK 05/29/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	244.98	05/11/20	300.00	...	-55.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	244.98	05/11/20	300.00	...	-55.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/12/20	2.000	489.96	Various	600.00	...	-110.04	Total of 2 transactions
SPLK 05/29/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	05/12/20	225.00	...	74.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	05/12/20	225.00	...	74.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/21/20	2.000	599.96	Various	450.00	...	149.96	Total of 2 transactions
SPLK 05/29/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/19/20	2.000	299.98	05/18/20	320.00	...	-20.02	Option sale to close-call <sup>25</sup>
05/20/20	3.000	449.98	05/18/20	480.00	...	-30.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>749.96</b>		<b>800.00</b>	...	<b>-50.04</b>	
SPLK 05/29/2020 CALL \$190.00 / CUSIP: / Symbol:							
05/21/20	2.000	269.98	05/20/20	270.00	...	-0.02	Option sale to close-call <sup>25</sup>
SPOT 07/24/2020 CALL \$275.00 / CUSIP: / Symbol:							
07/14/20	1.000	719.97	07/14/20	710.00	...	9.97	Option sale to close-call <sup>25</sup>
SPY 02/05/2020 PUT \$312.00 / CUSIP: / Symbol:							
2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	2.000	155.99	01/31/20	164.00	...	-8.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	155.99	01/31/20	136.00	...	19.99	2 of 2 - Option sale to close-put <sup>25</sup>
01/31/20	4.000	311.98	Various	300.00	...	11.98	Total of 2 transactions
SPY 05/20/2020 PUT \$270.00 / CUSIP: / Symbol:							
05/14/20	3.000	794.97	05/14/20	855.00	...	-60.03	Option sale to close-put <sup>25</sup>

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/26/2020 PUT \$285.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	2.000	121.98	05/19/20	300.00	...	-178.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	60.98	05/19/20	150.00	...	-89.02	2 of 2 - Option sale to close-put <sup>25</sup>
05/20/20	3.000	182.96	Various	450.00	...	-267.04	Total of 2 transactions
SPY 06/29/2020 CALL \$324.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	399.98	06/11/20	646.02	...	-246.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	203.99	06/11/20	116.00	...	87.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	3.000	603.97	Various	762.02	...	-158.05	Total of 2 transactions
06/16/20	2.000	407.98	06/10/20	822.00	414.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,011.95</b>		<b>1,584.02</b>	<b>414.02 W</b>	<b>-158.05</b>	
SPY 11/04/2020 PUT \$315.00 / CUSIP: / Symbol:							
10/30/20	1.000	321.98	10/30/20	370.00	...	-48.02	Option sale to close-put <sup>25</sup>
SQ 01/08/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/30/20	1.000	444.98	12/30/20	450.00	...	-5.02	Option sale to close-call <sup>25</sup>
SQ 01/15/2021 CALL \$165.00 / CUSIP: / Symbol:							
09/24/20	1.000	1,654.95	09/23/20	1,500.00	...	154.95	Option sale to close-call <sup>25</sup>
10/02/20	1.000	2,599.93	09/25/20	1,650.00	...	949.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,254.88</b>		<b>3,150.00</b>	...	<b>1,104.88</b>	
SQ 01/15/2021 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	1,869.94	10/06/20	1,900.00	30.06 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,749.95	10/06/20	1,780.06	30.11 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,774.95	10/06/20	1,830.11	55.16 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/06/20	3.000	5,394.84	Various	5,510.17	115.33 W	0.00	Total of 3 transactions
10/14/20	1.000	2,139.94	10/08/20	2,155.16	15.22 W	0.00	Option sale to close-call <sup>25</sup>
10/21/20	1.000	1,569.95	10/14/20	2,185.22	...	-615.27	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>9,104.73</b>		<b>9,850.55</b>	<b>130.55 W</b>	<b>-615.27</b>	

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 10/02/2020 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	09/28/20	167.00	...	132.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	599.98	09/28/20	334.00	...	265.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/28/20	3.000	899.97	Various	501.00	...	398.97	Total of 2 transactions
SQ 10/23/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/16/20	2.000	499.97	10/16/20	478.00	...	21.97	Option sale to close-call <sup>25</sup>
SQ 10/23/2020 PUT \$175.00 / CUSIP: / Symbol:							
10/22/20	3.000	299.98	10/22/20	180.00	...	119.98	Option sale to close-put <sup>25</sup>
SQ 11/06/2020 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	166.98	11/05/20	227.02	...	-60.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	141.00	11/05/20	187.00	...	-46.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	140.99	11/05/20	164.01	...	-23.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/20	3.000	448.97	Various	578.03	...	-129.06	Total of 3 transactions
3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	140.99	11/05/20	201.01	60.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	299.99	11/05/20	374.00	74.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	149.99	11/05/20	164.00	14.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/20	4.000	590.97	Various	739.01	148.04 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,039.94</b>		<b>1,317.04</b>	<b>148.04 W</b>	<b>-129.06</b>	
SQ 11/06/2020 PUT \$155.00 / CUSIP: / Symbol:							
11/09/20	1.000	72.98	11/06/20	0.00	...	72.98	Short sale closed- put <sup>25</sup> Option written: 11/05/20
SQ 12/04/2020 CALL \$205.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	2.000	449.98	12/02/20	446.00	...	3.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	619.97	12/02/20	570.00	...	49.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/02/20	4.000	1,069.95	Various	1,016.00	...	53.95	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 12/04/2020 CALL \$207.50 / CUSIP: / Symbol:							
12/03/20	2.000	319.98	12/03/20	350.00	...	-30.02	Option sale to close-call <sup>25</sup>
SQ 12/11/2020 CALL \$210.00 / CUSIP: / Symbol:							
12/04/20	1.000	579.97	12/04/20	595.03	...	-15.06	Option sale to close-call <sup>25</sup>
12/04/20	1.000	534.97	12/04/20	540.00	5.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,114.94</b>		<b>1,135.03</b>	<b>5.03 W</b>	<b>-15.06</b>	
SQ 12/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	254.98	12/09/20	254.00	...	0.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	254.98	12/09/20	254.00	...	0.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	254.98	12/09/20	254.00	...	0.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	509.97	12/09/20	508.00	...	1.97	4 of 4 - Option sale to close-call <sup>25</sup>
12/09/20	5.000	1,274.91	Various	1,270.00	...	4.91	Total of 4 transactions
12/09/20	2.000	629.97	12/09/20	650.00	20.03 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	2.000	543.98	12/10/20	564.03	...	-20.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	271.99	12/10/20	272.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/20	3.000	815.97	Various	836.03	...	-20.06	Total of 2 transactions
	<b>Security total:</b>	<b>2,720.85</b>		<b>2,756.03</b>	<b>20.03 W</b>	<b>-15.15</b>	
SQ 12/11/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	344.98	12/09/20	340.02	...	4.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	344.99	12/09/20	340.01	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/20	2.000	689.97	Various	680.03	...	9.94	Total of 2 transactions
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	274.99	12/09/20	275.00	0.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	274.98	12/09/20	275.00	0.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/20	2.000	549.97	Various	550.00	0.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,239.94</b>		<b>1,230.03</b>	<b>0.03 W</b>	<b>9.94</b>	
SQ 12/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
12/09/20	2.000	431.98	12/09/20	430.00	...	1.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 12/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/07/20	1.000	2,899.92	06/24/20	1,200.00	...	1,699.92	Option sale to close-call <sup>25</sup>
SQ 12/18/2020 CALL \$115.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,154.96	06/19/20	1,055.00	...	99.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,154.97	06/19/20	920.00	...	234.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/20	2.000	2,309.93	Various	1,975.00	...	334.93	Total of 2 transactions
SQ 12/18/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/11/20	1.000	1,499.95	07/09/20	1,450.00	...	49.95	Option sale to close-call <sup>25</sup>
09/08/20	1.000	1,864.94	07/13/20	1,230.00	...	634.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,364.89</b>		<b>2,680.00</b>	...	<b>684.89</b>	
SQ 12/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	504.97	12/11/20	520.00	15.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	504.97	12/11/20	520.00	15.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/11/20	2.000	1,009.94	Various	1,040.00	30.06 W	0.00	Total of 2 transactions
<i>2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	364.98	12/14/20	375.05	...	-10.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	364.99	12/14/20	375.04	...	-10.05	2 of 2 - Option sale to close-call <sup>25</sup>
12/14/20	2.000	729.97	Various	750.09	...	-20.12	Total of 2 transactions
<i>2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	349.99	12/14/20	360.03	10.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	349.98	12/14/20	360.03	10.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/14/20	2.000	699.97	Various	720.06	20.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,439.88</b>		<b>2,510.15</b>	<b>50.15 W</b>	<b>-20.12</b>	
SQ 12/18/2020 CALL \$225.00 / CUSIP: / Symbol:							
12/11/20	2.000	1,099.96	12/11/20	1,088.00	...	11.96	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SQ 12/24/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	3.000	1,394.96	12/17/20	1,380.00	...	14.96	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	3,254.91	12/17/20	3,220.00	...	34.91	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	4,649.87	Various	4,600.00	...	49.87	Total of 2 transactions
SQ 12/31/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	1,049.96	11/24/20	700.00	...	349.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,049.96	11/25/20	1,050.00	...	-0.04	2 of 2 - Option sale to close-call <sup>25</sup>
11/25/20	2.000	2,099.92	Various	1,750.00	...	349.92	Total of 2 transactions
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	190.99	12/29/20	213.02	...	-22.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	190.99	12/29/20	213.02	...	-22.03	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	572.98	12/29/20	567.00	...	5.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/29/20	5.000	954.96	Various	993.04	...	-38.08	Total of 3 transactions
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	12/29/20	259.00	24.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	234.98	12/29/20	259.00	24.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/29/20	2.000	469.96	Various	518.00	48.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,524.84</b>		<b>3,261.04</b>	<b>48.04 W</b>	<b>311.84</b>	
SQ 12/31/2020 CALL \$230.00 / CUSIP: / Symbol:							
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	329.98	12/28/20	309.00	...	20.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	329.99	12/28/20	309.00	...	20.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	329.99	12/28/20	309.00	...	20.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	659.98	12/28/20	618.00	...	41.98	4 of 4 - Option sale to close-call <sup>25</sup>
12/28/20	5.000	1,649.94	Various	1,545.00	...	104.94	Total of 4 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQQQ 09/11/2020 CALL \$26.50 / CUSIP: / Symbol:							
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	2.000	85.98	09/10/20	130.00	...	-44.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	85.98	09/10/20	130.00	...	-44.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	42.98	09/10/20	65.00	...	-22.02	3 of 3 - Option sale to close-call <sup>25</sup>
09/10/20	5.000	214.94	Various	325.00	...	-110.06	Total of 3 transactions
TGT 03/27/2020 PUT \$99.00 / CUSIP: / Symbol:							
03/18/20	2.000	1,139.96	03/17/20	1,000.00	...	139.96	Option sale to close-put <sup>25</sup>
TSLA 09/18/2020 PUT \$366.00 / CUSIP: / Symbol:							
09/14/20	1.000	529.97	09/14/20	555.00	...	-25.03	Option sale to close-put <sup>25</sup>
TSLA 09/25/2020 CALL \$545.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,669.95	09/15/20	1,735.04	...	-65.09	Option sale to close-call <sup>25</sup>
09/15/20	1.000	1,264.96	09/15/20	1,500.00	235.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,934.91</b>		<b>3,235.04</b>	<b>235.04 W</b>	<b>-65.09</b>	
TSLA 09/25/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/21/20	2.000	2,339.93	09/18/20	1,910.00	...	429.93	Option sale to close-call <sup>25</sup>
TSLA 09/25/2020 PUT \$300.00 / CUSIP: / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	2.000	1,799.95	09/10/20	2,000.00	...	-200.05	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	899.97	09/10/20	1,000.00	...	-100.03	2 of 2 - Option sale to close-put <sup>25</sup>
09/10/20	3.000	2,699.92	Various	3,000.00	...	-300.08	Total of 2 transactions
TSLA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	1,449.96	09/18/20	1,575.00	...	-125.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,449.97	09/18/20	1,570.00	...	-120.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,449.96	09/18/20	1,550.00	...	-100.04	3 of 3 - Option sale to close-call <sup>25</sup>
09/18/20	3.000	4,349.89	Various	4,695.00	...	-345.11	Total of 3 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/16/2020 CALL \$560.00 / CUSIP: / Symbol:							
09/10/20	1.000	949.96	09/04/20	6,650.04	...	-5,700.08	Option sale to close-call <sup>25</sup>
09/10/20	1.000	999.96	09/01/20	5,150.00	4,150.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,949.92</b>		<b>11,800.04</b>	<b>4,150.04 W</b>	<b>-5,700.08</b>	
TSLA 10/30/2020 CALL \$490.00 / CUSIP: / Symbol:							
10/19/20	1.000	1,154.96	10/19/20	1,400.00	...	-245.04	Option sale to close-call <sup>25</sup>
TSLA 11/20/2020 PUT \$450.00 / CUSIP: / Symbol:							
11/18/20	1.000	189.98	11/18/20	200.00	...	-10.02	Option sale to close-put <sup>25</sup>
TSLA 12/04/2020 CALL \$567.50 / CUSIP: / Symbol:							
12/02/20	1.000	1,349.96	12/02/20	1,325.00	...	24.96	Option sale to close-call <sup>25</sup>
TSLA 12/04/2020 CALL \$570.00 / CUSIP: / Symbol:							
12/02/20	1.000	1,249.96	12/02/20	1,280.00	...	-30.04	Option sale to close-call <sup>25</sup>
TSLA 12/04/2020 CALL \$590.00 / CUSIP: / Symbol:							
12/03/20	1.000	859.97	12/03/20	960.08	...	-100.11	Option sale to close-call <sup>25</sup>
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	984.96	12/03/20	1,010.00	25.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	964.96	12/03/20	1,050.04	85.08 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	2.000	1,949.92	Various	2,060.04	110.12 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,809.89</b>		<b>3,020.12</b>	<b>110.12 W</b>	<b>-100.11</b>	
TSLA 12/04/2020 CALL \$595.00 / CUSIP: / Symbol:							
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.000	1,319.96	12/01/20	1,500.05	...	-180.09	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,364.95	12/01/20	1,530.07	...	-165.12	2 of 2 - Option sale to close-call <sup>25</sup>
12/01/20	2.000	2,684.91	Various	3,030.12	...	-345.21	Total of 2 transactions
	3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.000	1,634.96	12/01/20	1,635.00	0.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,634.95	12/01/20	1,635.00	0.05 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,319.97	12/01/20	1,500.04	180.07 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/01/20	3.000	4,589.88	Various	4,770.04	180.16 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>7,274.79</b>		<b>7,800.16</b>	<b>180.16 W</b>	<b>-345.21</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/04/2020 CALL \$600.00 / CUSIP: / Symbol:							
12/03/20	1.000	864.97	12/03/20	860.00	...	4.97	Option sale to close-call <sup>25</sup>
TSLA 12/04/2020 CALL \$620.00 / CUSIP: / Symbol:							
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	809.97	12/01/20	1,579.06	...	-769.09	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	809.98	12/01/20	1,500.06	...	-690.08	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	899.98	12/01/20	890.00	...	9.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/01/20	3.000	2,519.93	Various	3,969.12	...	-1,449.19	Total of 3 transactions
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	2.000	2,699.93	12/01/20	3,000.00	300.07 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,799.95	12/01/20	2,960.07	1,160.12 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/01/20	4.000	4,499.88	Various	5,960.07	1,460.19 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>7,019.81</b>		<b>9,929.19</b>	<b>1,460.19 W</b>	<b>-1,449.19</b>	
TSLA 12/11/2020 CALL \$605.00 / CUSIP: / Symbol:							
12/10/20	1.000	1,399.95	12/10/20	1,485.00	...	-85.05	Option sale to close-call <sup>25</sup>
TSLA 12/11/2020 CALL \$610.00 / CUSIP: / Symbol:							
12/04/20	1.000	1,599.95	12/04/20	1,550.00	...	49.95	Option sale to close-call <sup>25</sup>
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	1,629.95	12/04/20	1,650.00	20.05 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,619.95	12/04/20	1,665.05	45.10 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/04/20	2.000	3,249.90	Various	3,315.05	65.15 W	0.00	Total of 2 transactions
12/10/20	1.000	1,284.96	12/10/20	1,594.10	...	-309.14	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>6,134.81</b>		<b>6,459.15</b>	<b>65.15 W</b>	<b>-259.19</b>	
TSLA 12/11/2020 CALL \$615.00 / CUSIP: / Symbol:							
12/10/20	1.000	1,539.95	12/10/20	1,500.00	...	39.95	Option sale to close-call <sup>25</sup>
TSLA 12/11/2020 CALL \$620.00 / CUSIP: / Symbol:							
5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1,134.96	12/10/20	1,340.11	...	-205.15	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,349.96	12/10/20	1,440.03	...	-90.07	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,184.96	12/10/20	1,165.00	...	19.96	3 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/11/2020 CALL \$620.00 / CUSIP: / Symbol: (cont'd)							
	1.000	879.98	12/10/20	850.00	...	29.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,119.96	12/10/20	920.03	...	199.93	5 of 5 - Option sale to close-call <sup>25</sup>
12/10/20	5.000	5,669.82	Various	5,715.17	...	-45.35	Total of 5 transactions
	5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	879.97	12/10/20	880.00	0.03 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	944.96	12/10/20	1,090.00	145.04 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	999.96	12/10/20	1,121.04	121.08 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,099.97	12/10/20	1,300.08	200.11 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,099.97	12/10/20	1,170.00	70.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/10/20	5.000	5,024.83	Various	5,561.12	536.29 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>10,694.65</b>		<b>11,276.29</b>	<b>536.29 W</b>	<b>-45.35</b>	
TSLA 12/11/2020 CALL \$625.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,124.96	12/09/20	1,525.05	...	-400.09	Option sale to close-call <sup>25</sup>
12/09/20	1.000	1,399.95	12/09/20	1,450.00	50.05 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,524.91</b>		<b>2,975.05</b>	<b>50.05 W</b>	<b>-400.09</b>	
TSLA 12/11/2020 CALL \$630.00 / CUSIP: / Symbol:							
	3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	969.97	12/10/20	1,333.16	...	-363.19	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	969.97	12/10/20	1,083.06	...	-113.09	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,259.96	12/10/20	1,200.04	...	59.92	3 of 3 - Option sale to close-call <sup>25</sup>
12/10/20	3.000	3,199.90	Various	3,616.26	...	-416.36	Total of 3 transactions
	7 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	2.000	2,319.93	12/10/20	2,320.00	0.07 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,179.96	12/10/20	1,200.03	20.07 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,089.96	12/10/20	1,180.07	90.11 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	699.98	12/10/20	1,090.11	390.13 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	699.97	12/10/20	840.00	140.03 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	931.97	12/10/20	1,270.13	338.16 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	931.97	12/10/20	1,020.03	88.06 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/10/20	8.000	7,853.74	Various	8,920.37	1,066.63 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>11,053.64</b>		<b>12,536.63</b>	<b>1,066.63 W</b>	<b>-416.36</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/11/2020 CALL \$650.00 / CUSIP: / Symbol:							
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1,424.95	12/08/20	1,425.00	...	-0.05	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,349.96	12/08/20	1,340.00	...	9.96	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,124.96	12/08/20	1,090.00	...	34.96	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,199.96	12/08/20	1,165.00	...	34.96	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,049.96	12/08/20	985.00	...	64.96	5 of 5 - Option sale to close-call <sup>25</sup>
12/08/20	5.000	6,149.79	Various	6,005.00	...	144.79	Total of 5 transactions
TSLA 12/11/2020 CALL \$660.00 / CUSIP: / Symbol:							
12/07/20	1.000	1,099.96	12/07/20	1,070.00	...	29.96	Option sale to close-call <sup>25</sup>
19 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,149.96	12/07/20	1,170.00	20.04 W	0.00	1 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,199.96	12/07/20	1,215.04	15.08 W	0.00	2 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,214.96	12/07/20	1,230.08	15.12 W	0.00	3 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,204.96	12/07/20	1,245.12	40.16 W	0.00	4 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,249.96	12/07/20	1,285.16	35.20 W	0.00	5 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,239.96	12/07/20	1,300.20	60.24 W	0.00	6 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,409.96	12/07/20	1,495.24	85.28 W	0.00	7 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,409.96	12/07/20	1,435.00	25.04 W	0.00	8 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,389.96	12/07/20	1,450.28	60.32 W	0.00	9 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,389.96	12/07/20	1,390.04	0.08 W	0.00	10 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,499.96	12/07/20	1,575.32	75.36 W	0.00	11 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,499.96	12/07/20	1,515.08	15.12 W	0.00	12 of 19 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/11/2020 CALL \$660.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,699.96	12/07/20	1,760.36	60.40 W	0.00	13 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,699.95	12/07/20	1,700.12	0.17 W	0.00	14 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,759.95	12/07/20	1,850.40	90.45 W	0.00	15 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,764.95	12/07/20	1,790.17	25.22 W	0.00	16 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,749.95	12/07/20	1,835.45	85.50 W	0.00	17 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,769.95	12/07/20	1,825.22	55.27 W	0.00	18 of 19 - Option sale to close-call <sup>25</sup>
	1.000	1,739.95	12/07/20	1,865.50	125.55 W	0.00	19 of 19 - Option sale to close-call <sup>25</sup>
12/07/20	19.000	28,044.18	Various	28,933.78	889.60 W	0.00	Total of 19 transactions
12/08/20	1.000	1,299.96	12/08/20	1,265.35	...	34.61	Option sale to close-call <sup>25</sup>
	11 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	1,149.96	12/08/20	1,205.27	55.31 W	0.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,144.96	12/08/20	1,260.55	115.59 W	0.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,219.96	12/08/20	1,255.31	35.35 W	0.00	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,234.96	12/08/20	1,360.59	125.63 W	0.00	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,404.95	12/08/20	1,540.63	135.68 W	0.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,399.95	12/08/20	1,550.68	150.73 W	0.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,594.95	12/08/20	1,720.73	125.78 W	0.00	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,604.95	12/08/20	1,810.78	205.83 W	0.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,674.95	12/08/20	1,865.83	190.88 W	0.00	9 of 11 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/11/2020 CALL \$660.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,739.95	12/08/20	1,915.88	175.93 W	0.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	1,644.95	12/08/20	1,810.93	165.98 W	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
12/08/20	11.000	15,814.49	Various	17,297.18	1,482.69 W	0.00	Total of 11 transactions
12/09/20	1.000	959.96	12/09/20	1,164.98	...	-205.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>47,218.55</b>		<b>49,731.29</b>	<b>2,372.29 W</b>	<b>-140.45</b>	
TSLA 12/11/2020 CALL \$670.00 / CUSIP: / Symbol:							
12/07/20	1.000	1,409.95	12/07/20	1,570.00	...	-160.05	Option sale to close-call <sup>25</sup>
TSLA 12/18/2020 CALL \$680.00 / CUSIP: / Symbol:							
8 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	2.000	2,239.94	12/17/20	2,510.05	...	-270.11	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,119.97	12/17/20	1,255.03	...	-135.06	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,119.97	12/17/20	1,255.03	...	-135.06	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,119.98	12/17/20	1,255.02	...	-135.04	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,043.97	12/17/20	1,030.00	...	13.97	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,043.97	12/17/20	1,030.00	...	13.97	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,043.98	12/17/20	1,030.00	...	13.98	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	2,087.95	12/17/20	2,060.00	...	27.95	8 of 8 - Option sale to close-call <sup>25</sup>
12/17/20	10.000	10,819.73	Various	11,425.13	...	-605.40	Total of 8 transactions
12/17/20	5.000	5,274.87	12/17/20	5,625.00	350.13 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>16,094.60</b>		<b>17,050.13</b>	<b>350.13 W</b>	<b>-605.40</b>	
TSLA 12/31/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	2,354.93	11/17/20	2,380.06	...	-25.13	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,649.93	11/17/20	2,350.00	...	299.93	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/20	2.000	5,004.86	Various	4,730.06	...	274.80	Total of 2 transactions
11/17/20	1.000	2,249.94	11/17/20	2,350.00	100.06 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,254.80</b>		<b>7,080.06</b>	<b>100.06 W</b>	<b>274.80</b>	

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWLO 04/16/2021 CALL \$380.00 / CUSIP: / Symbol:							
11/02/20	1.000	1,244.96	10/30/20	1,340.00	95.04 W	0.00	Option sale to close-call <sup>25</sup>
11/17/20	1.000	1,324.96	11/04/20	1,745.04	420.08 W	0.00	Option sale to close-call <sup>25</sup>
11/19/20	1.000	1,519.95	11/09/20	1,420.08	...	99.87	Option sale to close-call <sup>25</sup>
12/02/20	1.000	1,559.95	11/27/20	2,000.00	440.05 W	0.00	Option sale to close-call <sup>25</sup>
12/10/20	1.000	2,649.93	12/02/20	2,140.05	...	509.88	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>8,299.75</b>		<b>8,645.17</b>	<b>955.17 W</b>	<b>609.75</b>	
TWLO 07/31/2020 PUT \$225.00 / CUSIP: / Symbol:							
07/24/20	1.000	299.98	07/24/20	300.00	...	-0.02	Option sale to close-put <sup>25</sup>
TWLO 10/16/2020 CALL \$250.00 / CUSIP: / Symbol:							
06/24/20	1.000	1,474.95	06/19/20	1,340.00	...	134.95	Option sale to close-call <sup>25</sup>
TWLO 10/16/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/10/20	1.000	549.97	08/21/20	1,300.00	...	-750.03	Option sale to close-call <sup>25</sup>
TWLO 10/16/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/20/20	1.000	2,019.94	07/10/20	1,390.00	...	629.94	Option sale to close-call <sup>25</sup>
07/22/20	1.000	2,499.93	07/13/20	1,200.00	...	1,299.93	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>4,519.87</b>		<b>2,590.00</b>	<b>...</b>	<b>1,929.87</b>	
TWLO 10/16/2020 CALL \$290.00 / CUSIP: / Symbol:							
07/27/20	1.000	1,599.95	07/23/20	1,800.00	...	-200.05	Option sale to close-call <sup>25</sup>
TWLO 10/16/2020 CALL \$310.00 / CUSIP: / Symbol:							
08/04/20	1.000	2,064.94	07/31/20	1,500.00	...	564.94	Option sale to close-call <sup>25</sup>
TWLO 10/30/2020 CALL \$325.00 / CUSIP: / Symbol:							
10/26/20	1.000	619.97	10/26/20	650.00	...	-30.03	Option sale to close-call <sup>25</sup>
TWLO 10/30/2020 PUT \$280.00 / CUSIP: / Symbol:							
10/29/20	2.000	91.98	10/28/20	280.00	...	-188.02	Option sale to close-put <sup>25</sup>

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWLO 11/13/2020 PUT \$250.00 / CUSIP: / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	7.000	1,539.95	11/10/20	1,785.15	...	-245.20	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	659.98	11/10/20	765.07	...	-105.09	2 of 2 - Option sale to close-put <sup>25</sup>
11/10/20	10.000	2,199.93	Various	2,550.22	...	-350.29	Total of 2 transactions
4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	3.000	1,409.95	11/10/20	1,440.00	30.05 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	7.000	3,289.91	11/10/20	3,360.00	70.09 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	3.000	749.98	11/10/20	765.05	15.07 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	7.000	1,749.94	11/10/20	1,785.09	35.15 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
11/10/20	20.000	7,199.78	Various	7,350.14	150.36 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>9,399.71</b>		<b>9,900.36</b>	<b>150.36 W</b>	<b>-350.29</b>	
TWLO 11/20/2020 CALL \$320.00 / CUSIP: / Symbol:							
10/06/20	1.000	1,399.95	10/05/20	1,400.00	0.05 W	0.00	Option sale to close-call <sup>25</sup>
10/07/20	1.000	2,199.94	10/07/20	2,050.05	...	149.89	Option sale to close-call <sup>25</sup>
10/07/20	1.000	1,899.94	10/07/20	2,100.00	200.06 W	0.00	Option sale to close-call <sup>25</sup>
10/08/20	1.000	1,799.95	10/08/20	2,000.06	...	-200.11	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,299.78</b>		<b>7,550.11</b>	<b>200.11 W</b>	<b>-50.22</b>	
TWLO 11/27/2020 CALL \$350.00 / CUSIP: / Symbol:							
10/19/20	1.000	1,809.94	10/14/20	1,600.00	...	209.94	Option sale to close-call <sup>25</sup>
TWLO 12/04/2020 CALL \$317.50 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	514.97	12/02/20	500.00	...	14.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	514.97	12/02/20	500.00	...	14.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/02/20	2.000	1,029.94	Various	1,000.00	...	29.94	Total of 2 transactions
TWLO 12/04/2020 CALL \$320.00 / CUSIP: / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	314.98	12/02/20	300.01	...	14.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	329.98	12/02/20	300.02	...	29.96	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	779.97	12/02/20	750.00	...	29.97	3 of 3 - Option sale to close-call <sup>25</sup>
12/02/20	4.000	1,424.93	Various	1,350.03	...	74.90	Total of 3 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWLO 12/04/2020 CALL \$320.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	284.99	12/02/20	285.00	0.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	284.98	12/02/20	285.00	0.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/02/20	2.000	569.97	Various	570.00	0.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,994.90</b>		<b>1,920.03</b>	<b>0.03 W</b>	<b>74.90</b>	
TWLO 12/04/2020 CALL \$330.00 / CUSIP: / Symbol:							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	1,229.96	12/03/20	1,400.00	...	-170.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	449.98	12/03/20	365.00	...	84.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	449.99	12/03/20	365.00	...	84.99	3 of 3 - Option sale to close-call <sup>25</sup>
12/03/20	4.000	2,129.93	Various	2,130.00	...	-0.07	Total of 3 transactions
TWLO 12/11/2020 CALL \$320.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	624.97	12/08/20	600.00	...	24.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	624.97	12/08/20	600.00	...	24.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/20	2.000	1,249.94	Various	1,200.00	...	49.94	Total of 2 transactions
TWLO 12/11/2020 CALL \$325.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	652.97	12/08/20	670.00	...	-17.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,169.96	12/08/20	1,070.00	...	99.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/20	3.000	1,822.93	Various	1,740.00	...	82.93	Total of 2 transactions
TWLO 12/11/2020 CALL \$335.00 / CUSIP: / Symbol:							
12/10/20	3.000	1,229.96	12/10/20	1,305.00	...	-75.04	Option sale to close-call <sup>25</sup>
TWLO 12/11/2020 CALL \$340.00 / CUSIP: / Symbol:							
4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	354.99	12/10/20	679.04	...	-324.05	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	354.99	12/10/20	595.02	...	-240.03	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	1,064.97	12/10/20	1,110.00	...	-45.03	3 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TWLO 12/11/2020 CALL \$340.00 / CUSIP: / Symbol: (cont'd)							
	3.000	1,079.97	12/10/20	1,020.00	...	59.97	4 of 4 - Option sale to close-call <sup>25</sup>
12/10/20	8.000	2,854.92	Various	3,404.06	...	-549.14	Total of 4 transactions
4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	2.000	429.98	12/10/20	920.00	490.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	215.98	12/10/20	545.01	329.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	359.99	12/10/20	585.01	225.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	359.99	12/10/20	669.03	309.04 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/10/20	5.000	1,365.94	Various	2,719.05	1,353.11 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>4,220.86</b>		<b>6,123.11</b>	<b>1,353.11 W</b>	<b>-549.14</b>	
UAL 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	422.98	11/09/20	440.00	...	-17.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	422.98	11/09/20	440.00	...	-17.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/20	2.000	845.96	Various	880.00	...	-34.04	Total of 2 transactions
UAL 12/11/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	157.98	12/07/20	151.00	...	6.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	157.98	12/07/20	151.00	...	6.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	157.98	12/07/20	151.00	...	6.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/20	3.000	473.94	Various	453.00	...	20.94	Total of 3 transactions
UBER 03/27/2020 PUT \$16.00 / CUSIP: / Symbol:							
03/20/20	1.000	84.98	03/19/20	168.00	...	-83.02	Option sale to close-put <sup>25</sup>
V 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	3.000	1,124.96	05/22/20	690.00	...	434.96	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	749.98	06/04/20	540.00	...	209.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	5.000	1,874.94	Various	1,230.00	...	644.94	Total of 2 transactions
VEEV 06/19/2020 CALL \$220.00 / CUSIP: / Symbol:							
05/29/20	1.000	419.98	05/28/20	380.00	...	39.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 126051218

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VXX 03/20/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	2.000	481.97	03/12/20	596.00	...	-114.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	240.98	03/12/20	298.00	...	-57.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/20	3.000	722.95	Various	894.00	...	-171.05	Total of 2 transactions
ZM 06/12/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	529.97	06/03/20	275.00	...	254.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	549.97	06/03/20	275.00	...	274.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/03/20	2.000	1,079.94	Various	550.00	...	529.94	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
12/22/20	100.000	9,203.88	12/15/20	9,765.83	...	-561.95	Sale <sup>25</sup>
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	100.000	2,955.92	12/22/20	2,865.00	...	90.92	1 of 2 - Sale <sup>25</sup>
	100.000	3,033.17	12/23/20	3,094.00	...	-60.83	2 of 2 - Sale <sup>25</sup>
12/23/20	200.000	5,989.09	Various	5,959.00	...	30.09	Total of 2 transactions
CROWDSTRIKE HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
12/10/20	50.000	8,127.32	12/01/20	7,382.50	...	744.82	Sale <sup>25</sup>
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
01/24/20	1.000	139.45	12/13/19	146.85	7.40 W	0.00	Sale <sup>25</sup>
6 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	2.000	282.17	12/13/19	292.88	...	-10.71	1 of 6 - Sale <sup>25</sup>
	1.000	141.09	12/18/19	146.44	...	-5.35	2 of 6 - Sale <sup>25</sup>
	3.000	423.26	02/04/20	450.68	...	-27.42	3 of 6 - Sale <sup>25</sup>
	2.000	282.18	02/04/20	299.64	...	-17.46	4 of 6 - Sale <sup>25</sup>
	1.000	141.09	02/04/20	152.33	...	-11.24	5 of 6 - Sale <sup>25</sup>
	1.000	141.08	02/04/20	144.93	...	-3.85	6 of 6 - Sale <sup>25</sup>
02/05/20	10.000	1,410.87	Various	1,486.90	...	-76.03	Total of 6 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol: (cont'd)							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	3.000	424.66	12/13/19	440.55	15.89 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	283.10	12/13/19	292.88	9.78 W	0.00	2 of 2 - Sale <sup>25</sup>
02/05/20	5.000	707.76	Various	733.43	25.67 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,258.08</b>		<b>2,367.18</b>	<b>33.07 W</b>	<b>-76.03</b>	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
11/02/20	1.000	265.47	11/02/20	265.47	...	0.00	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	50.000	3,699.91	08/24/20	4,217.50	...	-517.59	1 of 3 - Sale <sup>25</sup>
	33.000	2,441.94	08/26/20	2,970.00	...	-528.06	2 of 3 - Sale <sup>25</sup>
	17.000	1,257.97	08/26/20	1,530.00	...	-272.03	3 of 3 - Sale <sup>25</sup>
10/23/20	100.000	7,399.82	Various	8,717.50	...	-1,317.68	Total of 3 transactions
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
2 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	1.000	6.61	06/03/19	4.60	...	2.01	1 of 2 - Sale <sup>25</sup>
	1.000	6.60	06/06/19	4.47	...	2.13	2 of 2 - Sale <sup>25</sup>
01/29/20	2.000	13.21	Various	9.07	...	4.14	Total of 2 transactions
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
4 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	14.44	12/10/20	13.40	...	1.04	1 of 4 - Sale <sup>25</sup>
	15.000	216.60	12/10/20	200.98	...	15.62	2 of 4 - Sale <sup>25</sup>
	84.000	1,212.92	12/10/20	1,125.51	...	87.41	3 of 4 - Sale <sup>25</sup>
	100.000	1,443.95	12/10/20	1,339.89	...	104.06	4 of 4 - Sale <sup>25</sup>
12/15/20	200.000	2,887.91	Various	2,679.78	...	208.13	Total of 4 transactions
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
01/29/20	1.000	6.64	01/16/20	5.87	...	0.77	Sale <sup>25</sup>
KINROSS GOLD CORPORATION / CUSIP: 496902404 / Symbol:							
03/03/20	1.000	5.41	02/26/20	5.73	...	-0.32	Sale <sup>25</sup>

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Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	100.000	2,251.44	12/22/20	2,009.00	...	242.44	1 of 4 - Sale <sup>25</sup>
	100.000	2,251.43	12/23/20	2,324.50	...	-73.07	2 of 4 - Sale <sup>25</sup>
	52.000	1,170.75	12/23/20	1,210.56	...	-39.81	3 of 4 - Sale <sup>25</sup>
	30.000	675.43	12/23/20	698.10	...	-22.67	4 of 4 - Sale <sup>25</sup>
12/23/20	282.000	6,349.05	Various	6,242.16	...	106.89	Total of 4 transactions
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	100.000	2,251.44	12/23/20	2,324.50	59.91 W	-13.15	1 of 4 - Sale <sup>25</sup>
	6.000	135.09	12/23/20	139.44	4.35 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	45.03	12/23/20	46.48	1.45 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	225.14	12/23/20	232.40	7.26 W	0.00	4 of 4 - Sale <sup>25</sup>
12/23/20	118.000	2,656.70	Various	2,742.82	72.97 W	-13.15	Total of 4 transactions
4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	82.000	1,790.83	12/23/20	1,968.87	...	-178.04	1 of 4 - Sale <sup>25</sup>
	10.000	218.39	12/23/20	240.06	...	-21.67	2 of 4 - Sale <sup>25</sup>
	6.000	131.04	12/23/20	144.03	...	-12.99	3 of 4 - Sale <sup>25</sup>
	2.000	43.68	12/23/20	48.01	...	-4.33	4 of 4 - Sale <sup>25</sup>
12/24/20	100.000	2,183.94	Various	2,400.97	...	-217.03	Total of 4 transactions
	<b>Security total:</b>	<b>11,189.69</b>		<b>11,385.95</b>	<b>72.97 W</b>	<b>-123.29</b>	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
04/06/20	75.000	351.75	04/03/20	435.74	...	-83.99	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
4 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	25.000	5,349.88	06/11/20	4,831.25	...	518.63	1 of 4 - Sale <sup>25</sup>
	15.000	3,209.93	07/16/20	3,044.55	...	165.38	2 of 4 - Sale <sup>25</sup>
	0.098	21.05	09/11/20	20.40	...	0.65	3 of 4 - Sale <sup>25</sup>
	9.902	2,118.90	09/23/20	1,985.28	...	133.62	4 of 4 - Sale <sup>25</sup>
12/15/20	50.000	10,699.76	Various	9,881.48	...	818.28	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	100.000	5,255.87	11/19/20	4,750.00	...	505.87	1 of 2 - Sale <sup>25</sup>
	100.000	5,255.87	11/19/20	4,640.00	...	615.87	2 of 2 - Sale <sup>25</sup>
11/24/20	200.000	10,511.74	Various	9,390.00	...	1,121.74	Total of 2 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
02/11/20	2.000	748.54	02/05/20	737.28	...	11.26	Sale <sup>25</sup>
02/21/20	1.000	384.24	02/05/20	368.64	...	15.60	Sale <sup>25</sup>
02/24/20	2.000	729.27	02/05/20	737.28	...	-8.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,862.05</b>		<b>1,843.20</b>	...	<b>18.85</b>	
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	100.000	2,821.42	11/25/20	2,550.00	...	271.42	1 of 2 - Sale <sup>25</sup>
	100.000	2,821.43	11/25/20	2,450.00	...	371.43	2 of 2 - Sale <sup>25</sup>
11/27/20	200.000	5,642.85	Various	5,000.00	...	642.85	Total of 2 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
12/01/20	100.000	3,881.27	11/24/20	2,217.00	...	1,664.27	Sale <sup>25</sup>
ROKU, INC. CLASS A COMMON STOCK / CUSIP: 77543R102 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	35.000	4,672.39	05/07/20	4,690.00	...	-17.61	1 of 2 - Sale <sup>25</sup>
	35.000	4,672.39	05/07/20	4,655.00	...	17.39	2 of 2 - Sale <sup>25</sup>
05/12/20	70.000	9,344.78	Various	9,345.00	...	-0.22	Total of 2 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	90.000	3,456.36	11/25/20	3,510.00	...	-53.64	1 of 2 - Sale <sup>25</sup>
	10.000	384.04	11/25/20	390.00	...	-5.96	2 of 2 - Sale <sup>25</sup>
11/25/20	100.000	3,840.40	Various	3,900.00	...	-59.60	Total of 2 transactions

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Robinhood Securities LLC

Account 126051218

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
11/19/20	100.000	2,425.93	11/19/20	2,480.00	54.07 W	0.00	Sale <sup>25</sup>
11/24/20	100.000	3,706.38	11/23/20	3,354.07	...	352.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,132.31</b>		<b>5,834.07</b>	<b>54.07 W</b>	<b>352.31</b>	
TOLL BROTHERS, INC. / CUSIP: 889478103 / Symbol:							
	4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	39.000	1,899.25	12/07/20	1,927.04	...	-27.79	1 of 4 - Sale <sup>25</sup>
	45.000	2,191.45	12/07/20	2,214.00	...	-22.55	2 of 4 - Sale <sup>25</sup>
	10.000	486.99	12/07/20	493.10	...	-6.11	3 of 4 - Sale <sup>25</sup>
	6.000	292.19	12/07/20	296.47	...	-4.28	4 of 4 - Sale <sup>25</sup>
12/07/20	100.000	4,869.88	Various	4,930.61	...	-60.73	Total of 4 transactions
	3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	45.000	2,182.45	12/07/20	2,187.00	4.55 W	0.00	1 of 3 - Sale <sup>25</sup>
	45.000	2,182.04	12/07/20	2,191.55	9.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	484.90	12/07/20	486.00	1.10 W	0.00	3 of 3 - Sale <sup>25</sup>
12/07/20	100.000	4,849.39	Various	4,864.55	15.16 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>9,719.27</b>		<b>9,795.16</b>	<b>15.16 W</b>	<b>-60.73</b>	
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	57.000	1,613.17	12/22/20	1,635.90	...	-22.73	1 of 2 - Sale <sup>25</sup>
	43.000	1,216.95	12/22/20	1,234.10	...	-17.15	2 of 2 - Sale <sup>25</sup>
12/22/20	100.000	2,830.12	Various	2,870.00	...	-39.88	Total of 2 transactions
BRIDGETOWN HOLDINGS LIMITED CLASS A ORDINARY SHARES / CUSIP: G1355U113 / Symbol:							
12/24/20	100.000	1,630.59	12/24/20	1,715.82	...	-85.23	Sale <sup>25</sup>
JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
11/17/20	50.000	3,159.93	10/30/20	3,527.25	...	-367.32	Sale <sup>25</sup>
<b>Totals :</b>		<b>1,124,270.54</b>		<b>1,180,707.84</b>	<b>59,561.57 W</b>	<b>3,124.27</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 126051218

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/10/20	20.40	Qualified dividend	
			12/10/20	56.06	Qualified dividend	
				<b>76.46</b>	<b>Total Dividends &amp; distributions</b>	
				<b>76.46</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 126051218

## Detail for Interest Income

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/28/20	0.35	Interest	
		04/30/20	0.12	Interest	
		05/26/20	0.64	Interest	
		05/29/20	0.37	Interest	
		06/22/20	0.48	Interest	
		06/30/20	0.22	Interest	
		07/31/20	0.28	Interest	
		08/31/20	1.43	Interest	
		09/30/20	0.03	Interest	
			3.92	Total Interest	
			<b>3.92</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 126051218

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/08/20	-5.00	Margin interest paid	
		05/08/20	-5.00	Margin interest paid	
		06/08/20	-1.54	Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
		07/07/20	-0.90	Margin interest paid	
		07/07/20	-5.00	Margin interest paid	
		08/06/20	-4.75	Margin interest paid	
		08/06/20	-5.00	Margin interest paid	
		09/08/20	-3.04	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		10/05/20	-9.63	Margin interest paid	
		11/04/20	-5.00	Margin interest paid	
		11/04/20	-7.15	Margin interest paid	
		12/04/20	-5.00	Margin interest paid	
		12/04/20	-34.07	Margin interest paid	
			-106.08	Total Margin interest paid	
			<b>-106.08</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 126051218

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
KENSINGTON CAPITAL ACQUISITION CORP.	490073103	11/27/20	-100.00	0.00	Exchange	
QUANTUMSCAPE CORPORATION	74767V109	11/27/20	100.00	0.00	Exchange	
AAPL 12/18/2020 CALL \$107.50	AAPL 12/18/20 C 107.500	08/31/20	4.00	0.00	Merger	
AAPL 12/18/2020 CALL \$430.00	AAPL 12/18/20 C 430.000	08/31/20	-1.00	0.00	Merger	
KCAC 12/18/2020 CALL \$25.00	KCAC 12/18/20 C 25.000	11/27/20	1.00	0.00	Merger	
QS 12/18/2020 CALL \$25.00	QS 12/18/20 C 25.000	11/27/20	-1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

#### Instructions for Recipient

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).