

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 528983570</b>	Statement Date: 02/14/2021 Document ID: 6Z8H 4T4 G3N8	2020
	Sravan Gunda 640 Minglewood Dr 10304 Charlotte, NC 28262  RECIPIENT'S TIN: XXX-XX-7620		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      7.09</p> <p>1b- Qualified dividends      7.09</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
--	---

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	81,564.40	81,182.24	0.00	2,632.44	3,014.60
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	22.90	40.56	0.00	0.00	-17.66
	<b>Total Short-term</b>	<b>81,587.30</b>	<b>81,222.80</b>	<b>0.00</b>	<b>2,632.44</b>	<b>2,996.94</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>81,587.30</b>	<b>81,222.80</b>	<b>0.00</b>	<b>2,632.44</b>	<b>2,996.94</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 528983570

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	3.000	21.00	08/31/20	17.58	...	3.42	1 of 2 - Sale <sup>25</sup>
	4.000	28.00	08/31/20	23.48	...	4.52	2 of 2 - Sale <sup>25</sup>
09/01/20	7.000	49.00	Various	41.06	...	7.94	Total of 2 transactions
09/15/20	28.000	163.27	09/11/20	159.60	...	3.67	Sale <sup>25</sup>
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	5.000	18.51	09/17/20	28.29	...	-9.78	1 of 3 - Sale <sup>25</sup>
	3.000	11.10	09/24/20	14.03	...	-2.93	2 of 3 - Sale <sup>25</sup>
	50.000	185.06	10/13/20	192.88	...	-7.82	3 of 3 - Sale <sup>25</sup>
10/13/20	58.000	214.67	Various	235.20	...	-20.53	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	30.000	102.65	12/03/20	123.86	21.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	68.43	12/03/20	70.76	2.33 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	50.000	171.08	Various	194.62	23.54 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>598.02</b>		<b>630.48</b>	<b>23.54 W</b>	<b>-8.92</b>	
ARC DOCUMENT SOLUTIONS, INC. / CUSIP: 00191G103 / Symbol:							
08/05/20	10.000	13.50	07/16/20	14.40	...	-0.90	Sale <sup>25</sup>
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	14.000	42.35	09/02/20	41.30	...	1.05	1 of 3 - Sale <sup>25</sup>
	36.000	108.90	09/02/20	106.20	...	2.70	2 of 3 - Sale <sup>25</sup>
	20.000	60.70	10/20/20	46.90	...	13.80	3 of 3 - Sale <sup>25</sup>
11/16/20	70.000	211.95	Various	194.40	...	17.55	Total of 3 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
08/13/20	1.000	254.38	08/10/20	250.00	...	4.38	Sale <sup>25</sup>
11/09/20	1.000	300.00	11/05/20	284.75	...	15.25	Sale <sup>25</sup>
11/24/20	1.000	274.92	11/11/20	268.12	...	6.80	Sale <sup>25</sup>
12/08/20	3.000	795.82	11/11/20	804.35	2.84 W	-5.69	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,625.12</b>		<b>1,607.22</b>	<b>2.84 W</b>	<b>20.74</b>	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
10/12/20	1.000	1,591.80	09/17/20	1,489.00	...	102.80	Sale <sup>25</sup>
10/21/20	1.000	1,602.83	10/15/20	1,556.20	...	46.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,194.63</b>		<b>3,045.20</b>	...	<b>149.43</b>	
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
08/06/20	1.000	1,503.33	08/06/20	1,475.96	...	27.37	Sale <sup>25</sup>
08/10/20	1.000	1,489.16	08/07/20	1,494.73	...	-5.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,992.49</b>		<b>2,970.69</b>	...	<b>21.80</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
11/25/20	1.000	3,150.92	11/17/20	3,135.80	...	15.12	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAS GOLD AND SILVER CORPO RATION / CUSIP: 03062D100 / Symbol:							
8 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	30.000	90.65	08/25/20	81.86	...	8.79	1 of 8 - Sale <sup>25</sup>
	5.000	15.11	08/26/20	14.50	...	0.61	2 of 8 - Sale <sup>25</sup>
	20.000	60.43	08/26/20	58.00	...	2.43	3 of 8 - Sale <sup>25</sup>
	25.000	75.54	08/26/20	72.50	...	3.04	4 of 8 - Sale <sup>25</sup>
	20.000	60.43	08/26/20	55.76	...	4.67	5 of 8 - Sale <sup>25</sup>
	20.000	60.44	08/27/20	57.33	...	3.11	6 of 8 - Sale <sup>25</sup>
	50.000	151.08	08/27/20	143.50	...	7.58	7 of 8 - Sale <sup>25</sup>
	100.000	302.16	08/27/20	293.00	...	9.16	8 of 8 - Sale <sup>25</sup>
08/28/20	270.000	815.84	Various	776.45	...	39.39	Total of 8 transactions
09/01/20	60.000	182.39	08/31/20	178.08	...	4.31	Sale <sup>25</sup>
8 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	40.000	118.39	09/02/20	115.20	...	3.19	1 of 8 - Sale <sup>25</sup>
	50.000	147.99	09/02/20	142.94	...	5.05	2 of 8 - Sale <sup>25</sup>
	150.000	443.97	09/02/20	438.00	...	5.97	3 of 8 - Sale <sup>25</sup>
	30.000	88.80	09/03/20	84.60	...	4.20	4 of 8 - Sale <sup>25</sup>
	60.000	177.59	09/03/20	169.19	...	8.40	5 of 8 - Sale <sup>25</sup>
	24.000	71.04	09/08/20	67.15	...	3.89	6 of 8 - Sale <sup>25</sup>
	50.000	147.99	09/08/20	140.40	...	7.59	7 of 8 - Sale <sup>25</sup>
	70.000	207.19	09/08/20	196.56	...	10.63	8 of 8 - Sale <sup>25</sup>
09/09/20	474.000	1,402.96	Various	1,354.04	...	48.92	Total of 8 transactions
09/14/20	100.000	297.99	09/10/20	290.00	...	7.99	Sale <sup>25</sup>
10 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	8.000	22.88	09/22/20	22.38	...	0.50	1 of 10 - Sale <sup>25</sup>
	42.000	120.12	09/22/20	117.52	...	2.60	2 of 10 - Sale <sup>25</sup>
	7.000	20.02	09/23/20	18.87	...	1.15	3 of 10 - Sale <sup>25</sup>
	11.000	31.46	09/28/20	28.45	...	3.01	4 of 10 - Sale <sup>25</sup>
	20.000	57.20	09/28/20	51.72	...	5.48	5 of 10 - Sale <sup>25</sup>
	39.000	111.54	09/28/20	100.92	...	10.62	6 of 10 - Sale <sup>25</sup>
	43.000	122.97	09/28/20	111.28	...	11.69	7 of 10 - Sale <sup>25</sup>
	49.000	140.13	09/28/20	126.70	...	13.43	8 of 10 - Sale <sup>25</sup>
	31.000	88.66	10/12/20	85.40	...	3.26	9 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAS GOLD AND SILVER CORPO RATION / CUSIP: 03062D100 / Symbol: (cont'd)							
10/14/20	49.000	140.13	10/12/20	135.00	...	5.13	10 of 10 - Sale <sup>25</sup>
	299.000	855.11	Various	798.24	...	56.87	Total of 10 transactions
	3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	100.000	278.99	09/22/20	279.80	0.81 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	278.99	09/22/20	279.80	0.81 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	278.99	09/22/20	279.80	0.81 W	0.00	3 of 3 - Sale <sup>25</sup>
10/14/20	300.000	836.97	Various	839.40	2.43 W	0.00	Total of 3 transactions
	6 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	2.86	10/15/20	2.76	...	0.10	1 of 6 - Sale <sup>25</sup>
	50.000	143.00	10/15/20	138.81	...	4.19	2 of 6 - Sale <sup>25</sup>
	49.000	140.13	10/15/20	134.64	...	5.49	3 of 6 - Sale <sup>25</sup>
	100.000	285.99	10/15/20	277.81	...	8.18	4 of 6 - Sale <sup>25</sup>
	200.000	570.32	10/15/20	555.54	...	14.78	5 of 6 - Sale <sup>25</sup>
	30.000	85.54	10/20/20	83.60	...	1.94	6 of 6 - Sale <sup>25</sup>
10/21/20	430.000	1,227.84	Various	1,193.16	...	34.68	Total of 6 transactions
	7 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	63.000	182.80	10/22/20	172.48	...	10.32	1 of 7 - Sale <sup>25</sup>
	200.000	580.32	10/22/20	545.54	...	34.78	2 of 7 - Sale <sup>25</sup>
	500.000	1,450.80	10/22/20	1,369.05	...	81.75	3 of 7 - Sale <sup>25</sup>
	37.000	107.36	10/26/20	100.46	...	6.90	4 of 7 - Sale <sup>25</sup>
	48.000	138.31	10/26/20	130.32	...	7.99	5 of 7 - Sale <sup>25</sup>
	150.000	432.21	10/27/20	395.76	...	36.45	6 of 7 - Sale <sup>25</sup>
	75.000	216.11	10/29/20	184.49	...	31.62	7 of 7 - Sale <sup>25</sup>
11/05/20	1,073.000	3,107.91	Various	2,898.10	...	209.81	Total of 7 transactions
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	200.000	558.42	11/09/20	547.54	...	10.88	1 of 2 - Sale <sup>25</sup>
	200.000	558.62	11/09/20	547.54	...	11.08	2 of 2 - Sale <sup>25</sup>
11/16/20	400.000	1,117.04	Various	1,095.08	...	21.96	Total of 2 transactions
	12 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	300.000	863.95	11/09/20	832.95	...	31.00	1 of 12 - Sale <sup>25</sup>
	300.000	863.94	11/09/20	832.50	...	31.44	2 of 12 - Sale <sup>25</sup>
	85.000	245.63	11/11/20	231.20	...	14.43	3 of 12 - Sale <sup>25</sup>
	90.000	260.08	11/11/20	243.69	...	16.39	4 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAS GOLD AND SILVER CORPO RATION / CUSIP: 03062D100 / Symbol: (cont'd)							
	100.000	287.98	11/11/20	270.77	...	17.21	5 of 12 - Sale <sup>25</sup>
	100.000	288.98	11/11/20	270.77	...	18.21	6 of 12 - Sale <sup>25</sup>
	200.000	575.96	11/11/20	551.54	...	24.42	7 of 12 - Sale <sup>25</sup>
	300.000	863.95	11/11/20	827.37	...	36.58	8 of 12 - Sale <sup>25</sup>
	75.000	216.86	11/16/20	206.84	...	10.02	9 of 12 - Sale <sup>25</sup>
	100.000	288.99	11/16/20	275.79	...	13.20	10 of 12 - Sale <sup>25</sup>
	125.000	361.23	11/16/20	344.74	...	16.49	11 of 12 - Sale <sup>25</sup>
	300.000	867.42	11/17/20	824.52	...	42.90	12 of 12 - Sale <sup>25</sup>
11/17/20	2,075.000	5,984.97	Various	5,712.68	...	272.29	Total of 12 transactions
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	2.69	11/17/20	2.81	0.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	299.000	804.73	11/17/20	839.56	34.83 W	0.00	2 of 2 - Sale <sup>25</sup>
11/30/20	300.000	807.42	Various	842.37	34.95 W	0.00	Total of 2 transactions
	4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	100.000	279.08	11/18/20	277.84	...	1.24	1 of 4 - Sale <sup>25</sup>
	29.000	81.05	11/18/20	79.17	...	1.88	2 of 4 - Sale <sup>25</sup>
	81.000	226.37	11/18/20	221.79	...	4.58	3 of 4 - Sale <sup>25</sup>
	90.000	251.53	11/18/20	244.80	...	6.73	4 of 4 - Sale <sup>25</sup>
12/01/20	300.000	838.03	Various	823.60	...	14.43	Total of 4 transactions
	23 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.000	2.75	11/17/20	2.93	0.18 W	0.00	1 of 23 - Sale <sup>25</sup>
	299.000	822.19	11/18/20	865.42	43.23 W	0.00	2 of 23 - Sale <sup>25</sup>
	1.000	2.79	11/18/20	2.96	0.17 W	0.00	3 of 23 - Sale <sup>25</sup>
	99.000	276.70	11/18/20	289.37	12.67 W	0.00	4 of 23 - Sale <sup>25</sup>
	1.000	2.79	11/18/20	2.95	0.16 W	0.00	5 of 23 - Sale <sup>25</sup>
	200.000	558.16	11/18/20	584.60	26.44 W	0.00	6 of 23 - Sale <sup>25</sup>
	50.000	139.54	11/18/20	143.90	4.36 W	0.00	7 of 23 - Sale <sup>25</sup>
	49.000	136.75	11/18/20	141.40	4.65 W	0.00	8 of 23 - Sale <sup>25</sup>
	1.000	2.78	11/18/20	2.92	0.14 W	0.00	9 of 23 - Sale <sup>25</sup>
	150.000	416.98	11/18/20	433.48	16.50 W	0.00	10 of 23 - Sale <sup>25</sup>
	14.000	38.92	11/18/20	40.46	1.54 W	0.00	11 of 23 - Sale <sup>25</sup>
	16.000	44.41	11/18/20	46.24	1.83 W	0.00	12 of 23 - Sale <sup>25</sup>
	1.000	2.78	11/18/20	2.89	0.11 W	0.00	13 of 23 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAS GOLD AND SILVER CORPO RATION / CUSIP: 03062D100 / Symbol: (cont'd)							
	18.000	49.96	11/18/20	51.07	1.11 W	0.00	14 of 23 - Sale <sup>25</sup>
	49.000	136.95	11/18/20	139.40	2.45 W	0.00	15 of 23 - Sale <sup>25</sup>
	32.000	89.43	11/18/20	90.79	1.36 W	0.00	16 of 23 - Sale <sup>25</sup>
	80.000	223.58	11/18/20	228.80	5.22 W	0.00	17 of 23 - Sale <sup>25</sup>
	20.000	55.90	11/18/20	57.64	1.74 W	0.00	18 of 23 - Sale <sup>25</sup>
	14.000	39.13	11/18/20	40.04	0.91 W	0.00	19 of 23 - Sale <sup>25</sup>
	16.000	44.72	11/18/20	45.83	1.11 W	0.00	20 of 23 - Sale <sup>25</sup>
	70.000	195.64	11/18/20	200.20	4.56 W	0.00	21 of 23 - Sale <sup>25</sup>
	1.000	2.79	11/18/20	2.85	0.06 W	0.00	22 of 23 - Sale <sup>25</sup>
	18.000	50.31	11/18/20	50.40	0.09 W	0.00	23 of 23 - Sale <sup>25</sup>
12/01/20	1,200.000	3,335.95	Various	3,466.54	130.59 W	0.00	Total of 23 transactions
	18 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	24.000	67.56	11/18/20	66.72	...	0.84	1 of 18 - Sale <sup>25</sup>
	32.000	90.07	11/18/20	88.72	...	1.35	2 of 18 - Sale <sup>25</sup>
	1.000	2.81	11/19/20	2.72	...	0.09	3 of 18 - Sale <sup>25</sup>
	20.000	56.30	11/19/20	55.46	...	0.84	4 of 18 - Sale <sup>25</sup>
	14.000	39.41	11/19/20	38.15	...	1.26	5 of 18 - Sale <sup>25</sup>
	16.000	45.04	11/19/20	43.67	...	1.37	6 of 18 - Sale <sup>25</sup>
	10.000	28.32	11/19/20	26.75	...	1.57	7 of 18 - Sale <sup>25</sup>
	20.000	56.30	11/19/20	54.50	...	1.80	8 of 18 - Sale <sup>25</sup>
	25.000	70.37	11/19/20	68.40	...	1.97	9 of 18 - Sale <sup>25</sup>
	18.000	50.67	11/19/20	47.97	...	2.70	10 of 18 - Sale <sup>25</sup>
	50.000	140.74	11/19/20	137.57	...	3.17	11 of 18 - Sale <sup>25</sup>
	26.000	73.18	11/19/20	69.16	...	4.02	12 of 18 - Sale <sup>25</sup>
	26.000	73.63	11/19/20	69.55	...	4.08	13 of 18 - Sale <sup>25</sup>
	80.000	225.18	11/19/20	220.11	...	5.07	14 of 18 - Sale <sup>25</sup>
	74.000	208.29	11/19/20	197.95	...	10.34	15 of 18 - Sale <sup>25</sup>
	64.000	181.26	11/19/20	170.14	...	11.12	16 of 18 - Sale <sup>25</sup>
	100.000	283.21	11/19/20	267.50	...	15.71	17 of 18 - Sale <sup>25</sup>
	100.000	283.21	11/19/20	267.50	...	15.71	18 of 18 - Sale <sup>25</sup>
12/02/20	700.000	1,975.55	Various	1,892.54	...	83.01	Total of 18 transactions
	5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	24.000	70.08	11/19/20	63.48	...	6.60	1 of 5 - Sale <sup>25</sup>
	34.000	99.28	11/19/20	90.38	...	8.90	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAS GOLD AND SILVER CORPO RATION / CUSIP: 03062D100 / Symbol: (cont'd)							
	66.000	192.71	11/19/20	174.57	...	18.14	3 of 5 - Sale <sup>25</sup>
	50.000	145.99	11/20/20	133.45	...	12.54	4 of 5 - Sale <sup>25</sup>
	130.000	379.57	11/24/20	324.70	...	54.87	5 of 5 - Sale <sup>25</sup>
12/07/20	304.000	887.63	Various	786.58	...	101.05	Total of 5 transactions
	5 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	2.91	12/11/20	2.78	...	0.13	1 of 5 - Sale <sup>25</sup>
	100.000	290.98	12/14/20	272.00	...	18.98	2 of 5 - Sale <sup>25</sup>
	150.000	436.47	12/14/20	407.99	...	28.48	3 of 5 - Sale <sup>25</sup>
	200.000	581.96	12/14/20	544.00	...	37.96	4 of 5 - Sale <sup>25</sup>
	300.000	872.95	12/14/20	824.52	...	48.43	5 of 5 - Sale <sup>25</sup>
12/17/20	751.000	2,185.27	Various	2,051.29	...	133.98	Total of 5 transactions
	<b>Security total:</b>	<b>25,858.87</b>		<b>24,998.15</b>	<b>167.97 W</b>	<b>1,028.69</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	403.30	07/01/20	365.90	...	37.40	1 of 3 - Sale <sup>25</sup>
	1.000	403.30	07/23/20	378.56	...	24.74	2 of 3 - Sale <sup>25</sup>
	1.000	403.30	07/23/20	378.48	...	24.82	3 of 3 - Sale <sup>25</sup>
07/30/20	3.000	1,209.90	Various	1,122.94	...	86.96	Total of 3 transactions
08/21/20	1.000	490.99	08/10/20	453.25	...	37.74	Sale <sup>25</sup>
08/27/20	1.000	503.59	08/14/20	452.31	...	51.28	Sale <sup>25</sup>
09/01/20	1.000	134.25	08/18/20	114.58	...	19.67	Sale <sup>25</sup>
10/26/20	2.000	231.55	08/18/20	229.16	...	2.39	Sale <sup>25</sup>
11/11/20	1.000	119.26	08/18/20	114.58	...	4.68	Sale <sup>25</sup>
11/11/20	1.000	119.27	08/21/20	124.01	4.74 W	0.00	Sale <sup>25</sup>
11/16/20	1.000	120.14	08/21/20	124.01	3.87 W	0.00	Sale <sup>25</sup>
11/18/20	2.000	238.08	08/28/20	250.26	...	-12.18	Sale <sup>25</sup>
11/18/20	2.000	238.06	08/21/20	248.02	4.98 W	-4.98	Sale <sup>25</sup>
	9 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	2.000	234.47	08/28/20	250.25	...	-15.78	1 of 9 - Sale <sup>25</sup>
	2.000	233.86	09/10/20	234.06	...	-0.20	2 of 9 - Sale <sup>25</sup>
	1.000	116.93	09/10/20	113.51	...	3.42	3 of 9 - Sale <sup>25</sup>
	1.000	116.94	09/14/20	115.90	...	1.04	4 of 9 - Sale <sup>25</sup>
	1.000	116.93	09/16/20	113.46	...	3.47	5 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	118.05	09/16/20	113.46	...	4.59	6 of 9 - Sale <sup>25</sup>
	1.000	118.06	09/16/20	112.42	...	5.64	7 of 9 - Sale <sup>25</sup>
	1.000	118.63	09/16/20	112.42	...	6.21	8 of 9 - Sale <sup>25</sup>
	1.000	118.63	09/17/20	110.19	...	8.44	9 of 9 - Sale <sup>25</sup>
11/19/20	11.000	1,292.50	Various	1,275.67	...	16.83	Total of 9 transactions
	3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	123.74	11/25/20	120.67	...	3.07	1 of 3 - Sale <sup>25</sup>
	1.000	124.56	11/25/20	120.91	...	3.65	2 of 3 - Sale <sup>25</sup>
	1.000	123.74	11/25/20	119.81	...	3.93	3 of 3 - Sale <sup>25</sup>
12/08/20	3.000	372.04	Various	361.39	...	10.65	Total of 3 transactions
	<b>Security total:</b>	<b>5,069.63</b>		<b>4,870.18</b>	<b>13.59 W</b>	<b>213.04</b>	
ARBUTUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
	2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	2.000	16.77	07/23/20	13.12	...	3.65	1 of 2 - Sale <sup>25</sup>
	2.000	16.84	07/24/20	16.93	...	-0.09	2 of 2 - Sale <sup>25</sup>
07/24/20	4.000	33.61	Various	30.05	...	3.56	Total of 2 transactions
ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES / CUSIP: 046353108 / Symbol:							
12/08/20	1.000	54.30	11/27/20	51.65	...	2.65	Sale <sup>25</sup>
AYTU BIOSCIENCE, INC. COMMON STOCK / CUSIP: 054754700 / Symbol:							
07/16/20	50.000	69.25	07/07/20	66.75	...	2.50	Sale <sup>25</sup>
07/21/20	50.000	75.00	07/07/20	66.75	...	8.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>144.25</b>		<b>133.50</b>	<b>...</b>	<b>10.75</b>	
BELLUS HEALTH INC. COMMON SHARES / CUSIP: 07987C204 / Symbol:							
07/06/20	10.000	35.90	07/06/20	27.50	...	8.40	Sale <sup>25</sup>
07/10/20	10.000	30.30	07/06/20	27.50	...	2.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>66.20</b>		<b>55.00</b>	<b>...</b>	<b>11.20</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	50.000	36.09	06/29/20	33.58	...	2.51	1 of 2 - Sale <sup>25</sup>
	100.000	71.31	06/29/20	67.15	...	4.16	2 of 2 - Sale <sup>25</sup>
06/30/20	150.000	107.40	Various	100.73	...	6.67	Total of 2 transactions
07/01/20	100.000	70.99	06/29/20	67.15	...	3.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>178.39</b>		<b>167.88</b>	...	<b>10.51</b>	
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	100.000	40.29	09/01/20	35.29	...	5.00	1 of 3 - Sale <sup>25</sup>
	100.000	40.29	09/01/20	34.40	...	5.89	2 of 3 - Sale <sup>25</sup>
	200.000	80.57	10/05/20	80.68	...	-0.11	3 of 3 - Sale <sup>25</sup>
10/05/20	400.000	161.15	Various	150.37	...	10.78	Total of 3 transactions
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
07/01/20	50.000	177.50	06/26/20	166.00	...	11.50	Sale <sup>25</sup>
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	4.000	48.56	08/13/20	45.23	...	3.33	1 of 3 - Sale <sup>25</sup>
	4.000	49.40	08/13/20	44.92	...	4.48	2 of 3 - Sale <sup>25</sup>
	26.000	315.64	08/13/20	291.98	...	23.66	3 of 3 - Sale <sup>25</sup>
08/14/20	34.000	413.60	Various	382.13	...	31.47	Total of 3 transactions
8 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	11.92	08/18/20	11.13	...	0.79	1 of 8 - Sale <sup>25</sup>
	11.000	133.98	08/18/20	128.81	...	5.17	2 of 8 - Sale <sup>25</sup>
	9.000	109.62	08/18/20	100.17	...	9.45	3 of 8 - Sale <sup>25</sup>
	10.000	121.80	08/18/20	111.39	...	10.41	4 of 8 - Sale <sup>25</sup>
	30.000	365.10	08/18/20	351.30	...	13.80	5 of 8 - Sale <sup>25</sup>
	20.000	241.60	08/18/20	222.60	...	19.00	6 of 8 - Sale <sup>25</sup>
	5.000	59.60	08/19/20	55.93	...	3.67	7 of 8 - Sale <sup>25</sup>
	6.000	71.52	08/19/20	66.35	...	5.17	8 of 8 - Sale <sup>25</sup>
08/20/20	92.000	1,115.14	Various	1,047.68	...	67.46	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	5.000	68.22	08/26/20	65.45	...	2.77	1 of 3 - Sale <sup>25</sup>
	10.000	137.73	08/26/20	130.00	...	7.73	2 of 3 - Sale <sup>25</sup>
	15.000	206.60	08/26/20	196.35	...	10.25	3 of 3 - Sale <sup>25</sup>
08/27/20	30.000	412.55	Various	391.80	...	20.75	Total of 3 transactions
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	5.000	78.27	08/31/20	72.35	...	5.92	1 of 2 - Sale <sup>25</sup>
	5.000	78.28	08/31/20	72.29	...	5.99	2 of 2 - Sale <sup>25</sup>
09/02/20	10.000	156.55	Various	144.64	...	11.91	Total of 2 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	10.000	130.53	09/11/20	127.05	...	3.48	1 of 2 - Sale <sup>25</sup>
	15.000	195.80	09/11/20	190.89	...	4.91	2 of 2 - Sale <sup>25</sup>
09/15/20	25.000	326.33	Various	317.94	...	8.39	Total of 2 transactions
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	30.000	394.49	09/10/20	405.80	11.31 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	263.00	09/10/20	265.98	2.98 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	386.69	09/10/20	410.27	23.58 W	0.00	3 of 4 - Sale <sup>25</sup>
	20.000	257.80	09/10/20	267.55	9.75 W	0.00	4 of 4 - Sale <sup>25</sup>
09/15/20	100.000	1,301.98	Various	1,349.60	47.62 W	0.00	Total of 4 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	5.000	63.35	09/15/20	61.95	...	1.40	1 of 2 - Sale <sup>25</sup>
	20.000	253.42	09/16/20	242.20	...	11.22	2 of 2 - Sale <sup>25</sup>
09/16/20	25.000	316.77	Various	304.15	...	12.62	Total of 2 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	5.000	63.35	09/15/20	65.88	2.53 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	253.42	09/15/20	257.55	4.13 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	25.000	316.77	Various	323.43	6.66 W	0.00	Total of 2 transactions
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	5.000	39.60	09/17/20	63.88	24.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	39.60	09/17/20	62.38	22.78 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	79.20	09/17/20	123.87	44.67 W	0.00	3 of 3 - Sale <sup>25</sup>
10/13/20	20.000	158.40	Various	250.13	91.73 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
7 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	5.000	45.05	09/17/20	82.33	37.28 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	90.10	09/17/20	120.00	29.90 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	18.04	09/17/20	33.47	15.43 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	45.10	09/17/20	85.18	40.08 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	45.10	09/17/20	61.93	16.83 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	27.06	09/17/20	50.21	23.15 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	45.10	09/17/20	83.24	38.14 W	0.00	7 of 7 - Sale <sup>25</sup>
11/05/20	35.000	315.55	Various	516.36	200.81 W	0.00	Total of 7 transactions
23 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	2.000	25.42	09/17/20	23.76	...	1.66	1 of 23 - Sale <sup>25</sup>
	3.000	38.13	09/17/20	35.64	...	2.49	2 of 23 - Sale <sup>25</sup>
	5.000	63.55	09/17/20	60.00	...	3.55	3 of 23 - Sale <sup>25</sup>
	5.000	63.50	09/17/20	59.40	...	4.10	4 of 23 - Sale <sup>25</sup>
	11.000	139.81	09/17/20	132.00	...	7.81	5 of 23 - Sale <sup>25</sup>
	10.000	127.10	09/17/20	119.00	...	8.10	6 of 23 - Sale <sup>25</sup>
	15.000	190.64	09/17/20	180.00	...	10.64	7 of 23 - Sale <sup>25</sup>
	20.000	254.19	09/17/20	240.00	...	14.19	8 of 23 - Sale <sup>25</sup>
	20.000	254.19	09/17/20	238.78	...	15.41	9 of 23 - Sale <sup>25</sup>
	20.000	254.19	09/17/20	238.00	...	16.19	10 of 23 - Sale <sup>25</sup>
	24.000	305.03	09/17/20	288.00	...	17.03	11 of 23 - Sale <sup>25</sup>
	20.000	254.19	09/17/20	236.00	...	18.19	12 of 23 - Sale <sup>25</sup>
	2.000	25.40	09/18/20	23.56	...	1.84	13 of 23 - Sale <sup>25</sup>
	6.000	76.20	09/18/20	69.54	...	6.66	14 of 23 - Sale <sup>25</sup>
	6.000	76.20	09/18/20	69.45	...	6.75	15 of 23 - Sale <sup>25</sup>
	6.000	76.20	09/18/20	68.70	...	7.50	16 of 23 - Sale <sup>25</sup>
	20.000	253.99	09/18/20	236.00	...	17.99	17 of 23 - Sale <sup>25</sup>
	20.000	253.99	09/18/20	236.00	...	17.99	18 of 23 - Sale <sup>25</sup>
	20.000	253.99	09/18/20	234.80	...	19.19	19 of 23 - Sale <sup>25</sup>
	25.000	317.48	09/21/20	270.50	...	46.98	20 of 23 - Sale <sup>25</sup>
	5.000	63.50	10/12/20	58.71	...	4.79	21 of 23 - Sale <sup>25</sup>
	10.000	126.99	10/13/20	108.99	...	18.00	22 of 23 - Sale <sup>25</sup>
	5.000	63.50	10/13/20	39.54	...	23.96	23 of 23 - Sale <sup>25</sup>
11/09/20	280.000	3,557.38	Various	3,266.37	...	291.01	Total of 23 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
<i>5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	25.40	10/12/20	32.18	6.78 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	38.10	10/12/20	48.28	10.18 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	63.50	10/12/20	81.96	18.46 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	63.50	10/12/20	80.03	16.53 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	63.49	10/13/20	76.83	13.34 W	0.00	5 of 5 - Sale <sup>25</sup>
11/09/20	20.000	253.99	Various	319.28	65.29 W	0.00	Total of 5 transactions
<i>12 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	82.60	12/03/20	81.33	...	1.27	1 of 12 - Sale <sup>25</sup>
	2.000	33.08	12/03/20	28.13	...	4.95	2 of 12 - Sale <sup>25</sup>
	3.000	49.62	12/03/20	42.21	...	7.41	3 of 12 - Sale <sup>25</sup>
	5.000	82.70	12/03/20	71.85	...	10.85	4 of 12 - Sale <sup>25</sup>
	10.000	165.39	12/03/20	135.98	...	29.41	5 of 12 - Sale <sup>25</sup>
	20.000	330.38	12/03/20	271.96	...	58.42	6 of 12 - Sale <sup>25</sup>
	5.000	82.70	12/04/20	70.00	...	12.70	7 of 12 - Sale <sup>25</sup>
	5.000	82.70	12/04/20	68.07	...	14.63	8 of 12 - Sale <sup>25</sup>
	10.000	165.39	12/04/20	136.38	...	29.01	9 of 12 - Sale <sup>25</sup>
	10.000	166.81	12/04/20	136.38	...	30.43	10 of 12 - Sale <sup>25</sup>
	10.000	166.81	12/04/20	136.38	...	30.43	11 of 12 - Sale <sup>25</sup>
	20.000	333.62	12/07/20	277.38	...	56.24	12 of 12 - Sale <sup>25</sup>
12/11/20	105.000	1,741.80	Various	1,456.05	...	285.75	Total of 12 transactions
<i>4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	33.04	12/03/20	33.98	0.94 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	49.56	12/03/20	50.98	1.42 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	82.60	12/03/20	86.46	3.86 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	82.60	12/03/20	84.52	1.92 W	0.00	4 of 4 - Sale <sup>25</sup>
12/11/20	15.000	247.80	Various	255.94	8.14 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>10,634.61</b>		<b>10,325.50</b>	<b>420.25 W</b>	<b>729.36</b>	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
10/13/20	3.000	458.96	09/02/20	467.10	...	-8.14	Sale <sup>25</sup>
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
12/03/20	1.000	243.00	09/01/20	242.62	...	0.38	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
09/11/20	1.000	232.54	09/01/20	224.29	...	8.25	Sale <sup>25</sup>
FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
07/29/20	5.000	79.55	07/09/20	82.70	...	-3.15	Sale <sup>25</sup>
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
<i>2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	68.90	06/19/20	71.30	1.20 W	-1.20	1 of 2 - Sale <sup>25</sup>
	10.000	68.50	06/19/20	71.30	2.80 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/20	20.000	137.40	Various	142.60	4.00 W	-1.20	Total of 2 transactions
<i>2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	35.28	07/09/20	34.23	...	1.05	1 of 2 - Sale <sup>25</sup>
	10.000	70.55	07/09/20	68.87	...	1.68	2 of 2 - Sale <sup>25</sup>
07/15/20	15.000	105.83	Various	103.10	...	2.73	Total of 2 transactions
	<b>Security total:</b>	<b>243.23</b>		<b>245.70</b>	<b>4.00 W</b>	<b>1.53</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
<i>2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	10.99	08/03/20	12.99	2.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	4.71	08/03/20	5.56	0.85 W	0.00	2 of 2 - Sale <sup>25</sup>
08/14/20	10.000	15.70	Various	18.55	2.85 W	0.00	Total of 2 transactions
<i>3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	8.64	08/31/20	9.60	...	-0.96	1 of 3 - Sale <sup>25</sup>
	3.000	3.70	08/31/20	4.10	...	-0.40	2 of 3 - Sale <sup>25</sup>
	90.000	111.15	08/31/20	97.65	...	13.50	3 of 3 - Sale <sup>25</sup>
09/02/20	100.000	123.49	Various	111.35	...	12.14	Total of 3 transactions
	<b>Security total:</b>	<b>139.19</b>		<b>129.90</b>	<b>2.85 W</b>	<b>12.14</b>	
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
08/11/20	20.000	36.40	07/22/20	36.20	...	0.20	Sale <sup>25</sup>
09/08/20	30.000	44.19	07/22/20	54.30	...	-10.11	Sale <sup>25</sup>
	<b>Security total:</b>	<b>80.59</b>		<b>90.50</b>	...	<b>-9.91</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
08/10/20	10.000	18.10	08/03/20	16.95	...	1.15	Sale <sup>25</sup>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	21.00	06/09/20	13.03	...	7.97	1 of 4 - Sale <sup>25</sup>
	1.000	21.05	06/09/20	13.03	...	8.02	2 of 4 - Sale <sup>25</sup>
	2.000	40.86	06/09/20	26.06	...	14.80	3 of 4 - Sale <sup>25</sup>
	6.000	128.52	06/09/20	78.18	...	50.34	4 of 4 - Sale <sup>25</sup>
06/23/20	10.000	211.43	Various	130.30	...	81.13	Total of 4 transactions
07/09/20	4.000	93.90	07/09/20	95.04	...	-1.14	Sale <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	2.000	27.48	08/12/20	25.94	...	1.54	1 of 2 - Sale <sup>25</sup>
	2.000	28.00	08/12/20	26.06	...	1.94	2 of 2 - Sale <sup>25</sup>
09/15/20	4.000	55.48	Various	52.00	...	3.48	Total of 2 transactions
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3.000	41.10	08/10/20	57.60	16.50 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	27.40	08/11/20	30.57	3.17 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	42.00	08/12/20	43.70	1.70 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	41.22	08/21/20	58.98	17.76 W	0.00	4 of 4 - Sale <sup>25</sup>
09/15/20	11.000	151.72	Various	190.85	39.13 W	0.00	Total of 4 transactions
5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	2.000	29.80	08/21/20	31.44	...	-1.64	1 of 5 - Sale <sup>25</sup>
	1.000	14.90	08/21/20	14.71	...	0.19	2 of 5 - Sale <sup>25</sup>
	2.000	29.80	08/21/20	29.25	...	0.55	3 of 5 - Sale <sup>25</sup>
	3.000	44.70	08/24/20	53.87	...	-9.17	4 of 5 - Sale <sup>25</sup>
	2.000	29.80	08/31/20	23.91	...	5.89	5 of 5 - Sale <sup>25</sup>
09/16/20	10.000	149.00	Various	153.18	...	-4.18	Total of 5 transactions
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	20.000	208.43	11/09/20	166.97	...	41.46	1 of 2 - Sale <sup>25</sup>
	30.000	312.65	11/09/20	270.83	...	41.82	2 of 2 - Sale <sup>25</sup>
11/10/20	50.000	521.08	Various	437.80	...	83.28	Total of 2 transactions
<b>Security total:</b>		<b>1,182.61</b>		<b>1,059.17</b>	<b>39.13 W</b>	<b>162.57</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	50.000	27.24	06/15/20	27.50	...	-0.26	1 of 2 - Sale <sup>25</sup>
	50.000	27.49	06/15/20	27.50	...	-0.01	2 of 2 - Sale <sup>25</sup>
07/20/20	100.000	54.73	Various	55.00	...	-0.27	Total of 2 transactions
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol:							
12/08/20	2.000	9.85	12/01/20	9.94	...	-0.09	Sale <sup>25</sup>
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	10.000	15.90	05/15/20	8.25	...	7.65	1 of 2 - Sale <sup>25</sup>
	40.000	56.00	05/15/20	33.00	...	23.00	2 of 2 - Sale <sup>25</sup>
05/18/20	50.000	71.90	Various	41.25	...	30.65	Total of 2 transactions
05/28/20	100.000	115.99	05/26/20	102.00	...	13.99	Sale <sup>25</sup>
08/28/20	50.000	387.50	08/27/20	405.99	18.49 W	0.00	Sale <sup>25</sup>
8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	81.30	08/27/20	81.20	...	0.10	1 of 8 - Sale <sup>25</sup>
	1.000	8.95	08/27/20	6.76	...	2.19	2 of 8 - Sale <sup>25</sup>
	10.000	81.30	08/27/20	77.30	...	4.00	3 of 8 - Sale <sup>25</sup>
	5.000	44.70	08/27/20	38.65	...	6.05	4 of 8 - Sale <sup>25</sup>
	5.000	44.70	08/27/20	36.03	...	8.67	5 of 8 - Sale <sup>25</sup>
	5.000	45.00	08/27/20	36.03	...	8.97	6 of 8 - Sale <sup>25</sup>
	5.000	45.00	08/27/20	33.80	...	11.20	7 of 8 - Sale <sup>25</sup>
	15.000	128.37	08/27/20	115.94	...	12.43	8 of 8 - Sale <sup>25</sup>
08/31/20	56.000	479.32	Various	425.71	...	53.61	Total of 8 transactions
09/10/20	46.000	365.24	09/03/20	351.51	...	13.73	Sale <sup>25</sup>
09/10/20	4.000	31.76	09/03/20	32.05	0.29 W	0.00	Sale <sup>25</sup>
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	50.000	386.51	09/03/20	382.08	...	4.43	1 of 3 - Sale <sup>25</sup>
	4.000	30.96	09/11/20	28.51	...	2.45	2 of 3 - Sale <sup>25</sup>
	34.000	263.16	09/11/20	239.91	...	23.25	3 of 3 - Sale <sup>25</sup>
09/16/20	88.000	680.63	Various	650.50	...	30.13	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol: (cont'd)							
<i>4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	83.90	09/17/20	78.60	...	5.30	1 of 4 - Sale <sup>25</sup>
	10.000	83.89	09/17/20	77.90	...	5.99	2 of 4 - Sale <sup>25</sup>
	20.000	167.79	09/17/20	156.00	...	11.79	3 of 4 - Sale <sup>25</sup>
	20.000	167.79	09/17/20	154.60	...	13.19	4 of 4 - Sale <sup>25</sup>
09/18/20	60.000	503.37	Various	467.10	...	36.27	Total of 4 transactions
<i>3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	10.53	11/06/20	10.20	...	0.33	1 of 3 - Sale <sup>25</sup>
	19.000	199.71	11/06/20	193.80	...	5.91	2 of 3 - Sale <sup>25</sup>
	20.000	210.23	11/09/20	188.20	...	22.03	3 of 3 - Sale <sup>25</sup>
11/16/20	40.000	420.47	Various	392.20	...	28.27	Total of 3 transactions
12/01/20	10.000	152.30	11/30/20	143.60	...	8.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,208.48</b>		<b>3,011.91</b>	<b>18.78 W</b>	<b>215.35</b>	
LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
07/17/20	5.000	67.25	07/09/20	68.00	...	-0.75	Sale <sup>25</sup>
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
06/02/20	3.000	98.55	05/26/20	97.44	...	1.11	Sale <sup>25</sup>
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
<i>2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	20.35	08/03/20	20.37	...	-0.02	1 of 2 - Sale <sup>25</sup>
	5.000	20.90	08/03/20	20.38	...	0.52	2 of 2 - Sale <sup>25</sup>
08/05/20	10.000	41.25	Various	40.75	...	0.50	Total of 2 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
07/20/20	2.000	423.36	07/06/20	419.40	...	3.96	Sale <sup>25</sup>
<i>4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	432.93	07/07/20	423.97	...	8.96	1 of 4 - Sale <sup>25</sup>
	1.000	216.46	07/10/20	211.56	...	4.90	2 of 4 - Sale <sup>25</sup>
	1.000	216.46	07/13/20	207.32	...	9.14	3 of 4 - Sale <sup>25</sup>
	1.000	216.47	07/15/20	206.82	...	9.65	4 of 4 - Sale <sup>25</sup>
08/03/20	5.000	1,082.32	Various	1,049.67	...	32.65	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
<i>4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	214.89	07/16/20	204.59	...	10.30	1 of 4 - Sale <sup>25</sup>
	1.000	214.88	07/16/20	203.42	...	11.46	2 of 4 - Sale <sup>25</sup>
	1.000	216.12	07/22/20	205.26	...	10.86	3 of 4 - Sale <sup>25</sup>
	1.000	216.12	07/27/20	202.55	...	13.57	4 of 4 - Sale <sup>25</sup>
08/06/20	4.000	862.01	Various	815.82	...	46.19	Total of 4 transactions
08/12/20	1.000	209.62	07/27/20	202.48	...	7.14	Sale <sup>25</sup>
08/21/20	1.000	214.85	07/30/20	200.25	...	14.60	Sale <sup>25</sup>
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	440.20	08/07/20	423.72	...	16.48	1 of 2 - Sale <sup>25</sup>
	1.000	219.93	08/10/20	209.90	...	10.03	2 of 2 - Sale <sup>25</sup>
08/26/20	3.000	660.13	Various	633.62	...	26.51	Total of 2 transactions
09/25/20	1.000	207.79	08/11/20	204.00	...	3.79	Sale <sup>25</sup>
<i>2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	209.48	09/10/20	205.59	...	3.89	1 of 2 - Sale <sup>25</sup>
	1.000	209.62	09/14/20	206.12	...	3.50	2 of 2 - Sale <sup>25</sup>
09/28/20	2.000	419.10	Various	411.71	...	7.39	Total of 2 transactions
11/05/20	1.000	222.60	09/16/20	204.70	...	17.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,301.78</b>		<b>4,141.65</b>	...	<b>160.13</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/13/20	1.000	76.78	07/09/20	62.25	...	14.53	Sale <sup>25</sup>
<i>2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	74.43	07/09/20	62.25	...	12.18	1 of 2 - Sale <sup>25</sup>
	1.000	86.20	07/09/20	62.24	...	23.96	2 of 2 - Sale <sup>25</sup>
07/14/20	2.000	160.63	Various	124.49	...	36.14	Total of 2 transactions
08/19/20	1.000	68.78	07/21/20	81.10	12.32 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	135.50	08/13/20	135.22	...	0.28	1 of 3 - Sale <sup>25</sup>
	1.000	68.02	08/13/20	67.61	...	0.41	2 of 3 - Sale <sup>25</sup>
	1.000	67.75	08/14/20	68.40	...	-0.65	3 of 3 - Sale <sup>25</sup>
09/15/20	4.000	271.27	Various	271.23	...	0.04	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
7 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	66.95	07/21/20	81.10	14.15 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	66.96	07/23/20	86.38	19.42 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	68.02	07/27/20	77.73	9.71 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	68.02	08/12/20	69.51	1.49 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	68.02	08/12/20	69.17	1.15 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	68.02	08/12/20	69.15	1.13 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	135.50	08/13/20	135.67	0.09 W	-0.08	7 of 7 - Sale <sup>25</sup>
09/15/20	8.000	541.49	Various	588.71	47.14 W	-0.08	Total of 7 transactions
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	3.000	208.19	08/14/20	205.18	...	3.01	1 of 3 - Sale <sup>25</sup>
	1.000	69.40	08/21/20	85.95	...	-16.55	2 of 3 - Sale <sup>25</sup>
	1.000	69.39	08/21/20	80.68	...	-11.29	3 of 3 - Sale <sup>25</sup>
09/16/20	5.000	346.98	Various	371.81	...	-24.83	Total of 3 transactions
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	68.10	08/21/20	76.20	...	-8.10	1 of 3 - Sale <sup>25</sup>
	1.000	68.11	08/21/20	67.98	...	0.13	2 of 3 - Sale <sup>25</sup>
	1.000	68.33	08/21/20	67.12	...	1.21	3 of 3 - Sale <sup>25</sup>
09/18/20	3.000	204.54	Various	211.30	...	-6.76	Total of 3 transactions
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	68.51	08/31/20	65.54	...	2.97	1 of 2 - Sale <sup>25</sup>
	1.000	68.51	08/31/20	64.50	...	4.01	2 of 2 - Sale <sup>25</sup>
09/25/20	2.000	137.02	Various	130.04	...	6.98	Total of 2 transactions
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	297.25	11/25/20	204.02	...	93.23	1 of 3 - Sale <sup>25</sup>
	3.000	445.86	11/25/20	303.90	...	141.96	2 of 3 - Sale <sup>25</sup>
	2.000	296.82	11/27/20	245.24	...	51.58	3 of 3 - Sale <sup>25</sup>
11/30/20	7.000	1,039.93	Various	753.16	...	286.77	Total of 3 transactions
	<b>Security total:</b>	<b>2,847.42</b>		<b>2,594.09</b>	<b>59.46 W</b>	<b>312.79</b>	
MYOS RENS TECHNOLOGY INC. COMM ON STOCK / CUSIP: 62857P108 / Symbol:							
06/23/20	80.000	71.99	05/21/20	82.80	...	-10.81	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	2.000	89.65	11/12/20	95.40	...	-5.75	1 of 2 - Sale <sup>25</sup>
	4.000	179.30	11/13/20	171.20	...	8.10	2 of 2 - Sale <sup>25</sup>
11/19/20	6.000	268.95	Various	266.60	...	2.35	Total of 2 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	481.41	08/03/20	490.85	9.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	481.35	08/10/20	494.92	13.57 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/20	2.000	962.76	Various	985.77	23.01 W	0.00	Total of 2 transactions
08/18/20	1.000	486.89	08/10/20	497.54	10.65 W	0.00	Sale <sup>25</sup>
08/26/20	1.000	508.17	08/11/20	485.05	...	23.12	Sale <sup>25</sup>
11/19/20	1.000	482.21	11/10/20	468.75	...	13.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,440.03</b>		<b>2,437.11</b>	<b>33.66 W</b>	<b>36.58</b>	
NEW GOLD INC. / CUSIP: 644535106 / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	30.000	60.64	10/22/20	66.90	...	-6.26	1 of 3 - Sale <sup>25</sup>
	20.000	40.43	10/22/20	43.70	...	-3.27	2 of 3 - Sale <sup>25</sup>
	20.000	40.43	11/17/20	36.10	...	4.33	3 of 3 - Sale <sup>25</sup>
12/04/20	70.000	141.50	Various	146.70	...	-5.20	Total of 3 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	53.68	07/07/20	45.43	...	8.25	1 of 3 - Sale <sup>25</sup>
	1.000	53.68	07/07/20	43.85	...	9.83	2 of 3 - Sale <sup>25</sup>
	2.000	107.37	07/07/20	86.00	...	21.37	3 of 3 - Sale <sup>25</sup>
07/08/20	4.000	214.73	Various	175.28	...	39.45	Total of 3 transactions
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	53.66	07/07/20	43.17	...	10.49	1 of 2 - Sale <sup>25</sup>
	2.000	107.34	07/07/20	86.00	...	21.34	2 of 2 - Sale <sup>25</sup>
07/10/20	3.000	161.00	Various	129.17	...	31.83	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	44.70	07/07/20	43.16	...	1.54	1 of 2 - Sale <sup>25</sup>
08/10/20	1.000	44.70	07/21/20	41.29	...	3.41	2 of 2 - Sale <sup>25</sup>
	2.000	89.40	Various	84.45	...	4.95	Total of 2 transactions
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	42.54	07/21/20	41.29	...	1.25	1 of 2 - Sale <sup>25</sup>
08/12/20	1.000	43.06	07/27/20	31.09	...	11.97	2 of 2 - Sale <sup>25</sup>
08/14/20	2.000	85.60	Various	72.38	...	13.22	Total of 2 transactions
	1.000	45.99	07/27/20	31.84	...	14.15	Sale <sup>25</sup>
6 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	48.57	07/27/20	31.83	...	16.74	1 of 6 - Sale <sup>25</sup>
	1.000	48.57	07/30/20	29.75	...	18.82	2 of 6 - Sale <sup>25</sup>
	1.000	48.57	08/04/20	39.00	...	9.57	3 of 6 - Sale <sup>25</sup>
	2.000	97.14	08/10/20	88.80	...	8.34	4 of 6 - Sale <sup>25</sup>
	1.000	49.39	08/25/20	39.26	...	10.13	5 of 6 - Sale <sup>25</sup>
	2.000	97.14	08/25/20	78.52	...	18.62	6 of 6 - Sale <sup>25</sup>
09/08/20	8.000	389.38	Various	307.16	...	82.22	Total of 6 transactions
09/14/20	4.000	143.28	09/14/20	123.60	...	19.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,129.38</b>		<b>923.88</b>	...	<b>205.50</b>	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
06/23/20	2.000	1.01	06/23/20	1.03	...	-0.02	Sale <sup>25</sup>
07/07/20	18.000	9.54	06/23/20	9.30	...	0.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10.55</b>		<b>10.33</b>	...	<b>0.22</b>	
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
06/02/20	100.000	52.99	05/18/20	57.30	4.31 W	0.00	Sale <sup>25</sup>
06/24/20	100.000	85.14	06/19/20	110.31	25.17 W	0.00	Sale <sup>25</sup>
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	25.000	21.67	06/24/20	19.88	...	1.79	1 of 3 - Sale <sup>25</sup>
	75.000	65.25	06/24/20	59.64	...	5.61	2 of 3 - Sale <sup>25</sup>
	65.000	56.35	07/01/20	50.13	...	6.22	3 of 3 - Sale <sup>25</sup>
08/05/20	165.000	143.27	Various	129.65	...	13.62	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol: (cont'd)							
<i>3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	82.29	06/23/20	125.97	43.68 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	41.14	06/23/20	50.00	8.86 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	21.75	06/23/20	25.00	3.25 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/20	175.000	145.18	Various	200.97	55.79 W	0.00	Total of 3 transactions
08/11/20	35.000	27.12	07/01/20	27.00	...	0.12	Sale <sup>25</sup>
<i>7 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	31.00	07/06/20	46.61	15.61 W	0.00	1 of 7 - Sale <sup>25</sup>
	25.000	19.37	07/09/20	27.46	8.09 W	0.00	2 of 7 - Sale <sup>25</sup>
	35.000	26.71	08/10/20	39.41	12.70 W	0.00	3 of 7 - Sale <sup>25</sup>
	15.000	11.45	08/10/20	12.99	1.54 W	0.00	4 of 7 - Sale <sup>25</sup>
	15.000	11.74	08/10/20	12.28	0.54 W	0.00	5 of 7 - Sale <sup>25</sup>
	35.000	27.39	08/10/20	30.32	2.93 W	0.00	6 of 7 - Sale <sup>25</sup>
	30.000	22.88	08/10/20	32.38	9.50 W	0.00	7 of 7 - Sale <sup>25</sup>
08/11/20	195.000	150.54	Various	201.45	50.91 W	0.00	Total of 7 transactions
<i>55 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	5.41	08/10/20	10.79	5.38 W	0.00	1 of 55 - Sale <sup>25</sup>
	10.000	5.41	08/10/20	8.19	2.78 W	0.00	2 of 55 - Sale <sup>25</sup>
	11.000	5.95	08/17/20	11.19	5.24 W	0.00	3 of 55 - Sale <sup>25</sup>
	14.000	7.57	08/19/20	14.23	6.66 W	0.00	4 of 55 - Sale <sup>25</sup>
	35.000	18.92	08/19/20	36.96	18.04 W	0.00	5 of 55 - Sale <sup>25</sup>
	1.000	0.54	08/19/20	0.79	0.25 W	0.00	6 of 55 - Sale <sup>25</sup>
	1.000	0.54	08/19/20	0.77	0.23 W	0.00	7 of 55 - Sale <sup>25</sup>
	14.000	7.57	08/19/20	11.15	3.58 W	0.00	8 of 55 - Sale <sup>25</sup>
	1.000	0.54	08/20/20	0.97	0.43 W	0.00	9 of 55 - Sale <sup>25</sup>
	15.000	8.11	08/20/20	10.29	2.18 W	0.00	10 of 55 - Sale <sup>25</sup>
	34.000	18.38	08/20/20	24.95	6.57 W	0.00	11 of 55 - Sale <sup>25</sup>
	29.000	15.68	08/20/20	28.17	12.49 W	0.00	12 of 55 - Sale <sup>25</sup>
	25.000	13.52	08/20/20	16.37	2.85 W	0.00	13 of 55 - Sale <sup>25</sup>
	10.000	5.33	08/20/20	11.93	6.60 W	0.00	14 of 55 - Sale <sup>25</sup>
	11.000	5.87	08/20/20	12.44	6.57 W	0.00	15 of 55 - Sale <sup>25</sup>
	14.000	7.47	08/20/20	15.83	8.36 W	0.00	16 of 55 - Sale <sup>25</sup>
	35.000	18.67	08/20/20	40.96	22.29 W	0.00	17 of 55 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol: (cont'd)							
	10.000	5.33	08/20/20	9.33	4.00 W	0.00	18 of 55 - Sale <sup>25</sup>
	1.000	0.53	08/20/20	0.90	0.37 W	0.00	19 of 55 - Sale <sup>25</sup>
	1.000	0.53	08/20/20	0.89	0.36 W	0.00	20 of 55 - Sale <sup>25</sup>
	14.000	7.47	08/20/20	12.75	5.28 W	0.00	21 of 55 - Sale <sup>25</sup>
	1.000	0.53	08/21/20	1.07	0.54 W	0.00	22 of 55 - Sale <sup>25</sup>
	15.000	8.00	08/21/20	11.84	3.84 W	0.00	23 of 55 - Sale <sup>25</sup>
	34.000	18.14	08/21/20	28.46	10.32 W	0.00	24 of 55 - Sale <sup>25</sup>
	29.000	15.47	08/21/20	30.11	14.64 W	0.00	25 of 55 - Sale <sup>25</sup>
	25.000	13.34	08/21/20	18.04	4.70 W	0.00	26 of 55 - Sale <sup>25</sup>
	46.000	24.55	08/21/20	27.95	3.40 W	0.00	27 of 55 - Sale <sup>25</sup>
	54.000	28.81	08/21/20	32.82	4.01 W	0.00	28 of 55 - Sale <sup>25</sup>
	10.000	5.35	08/21/20	12.68	7.33 W	0.00	29 of 55 - Sale <sup>25</sup>
	11.000	5.89	08/21/20	13.26	7.37 W	0.00	30 of 55 - Sale <sup>25</sup>
	14.000	7.50	08/21/20	16.87	9.37 W	0.00	31 of 55 - Sale <sup>25</sup>
	11.000	5.89	08/21/20	13.69	7.80 W	0.00	32 of 55 - Sale <sup>25</sup>
	24.000	12.85	08/24/20	30.26	17.41 W	0.00	33 of 55 - Sale <sup>25</sup>
	10.000	5.35	08/24/20	10.24	4.89 W	0.00	34 of 55 - Sale <sup>25</sup>
	1.000	0.54	08/24/20	0.99	0.45 W	0.00	35 of 55 - Sale <sup>25</sup>
	1.000	0.54	08/24/20	0.98	0.44 W	0.00	36 of 55 - Sale <sup>25</sup>
	14.000	7.49	08/24/20	14.02	6.53 W	0.00	37 of 55 - Sale <sup>25</sup>
	1.000	0.54	08/24/20	1.16	0.62 W	0.00	38 of 55 - Sale <sup>25</sup>
	19.000	10.17	08/24/20	17.62	7.45 W	0.00	39 of 55 - Sale <sup>25</sup>
	20.000	10.71	08/27/20	21.77	11.06 W	0.00	40 of 55 - Sale <sup>25</sup>
	15.000	8.03	08/27/20	13.31	5.28 W	0.00	41 of 55 - Sale <sup>25</sup>
	15.000	8.03	08/27/20	12.60	4.57 W	0.00	42 of 55 - Sale <sup>25</sup>
	9.000	4.82	08/28/20	9.89	5.07 W	0.00	43 of 55 - Sale <sup>25</sup>
	25.000	13.38	08/28/20	19.56	6.18 W	0.00	44 of 55 - Sale <sup>25</sup>
	46.000	24.63	08/28/20	30.74	6.11 W	0.00	45 of 55 - Sale <sup>25</sup>
	54.000	28.91	08/28/20	36.10	7.19 W	0.00	46 of 55 - Sale <sup>25</sup>
	266.000	142.40	08/28/20	158.08	15.68 W	0.00	47 of 55 - Sale <sup>25</sup>
	60.000	32.12	08/31/20	34.92	2.80 W	0.00	48 of 55 - Sale <sup>25</sup>
	40.000	21.41	08/31/20	23.24	1.83 W	0.00	49 of 55 - Sale <sup>25</sup>
	40.000	21.41	08/31/20	23.24	1.83 W	0.00	50 of 55 - Sale <sup>25</sup>
	150.000	80.31	08/31/20	84.71	4.40 W	0.00	51 of 55 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol: (cont'd)							
	50.000	26.77	08/31/20	28.23	1.46 W	0.00	52 of 55 - Sale <sup>25</sup>
	94.000	50.32	09/01/20	51.32	1.00 W	0.00	53 of 55 - Sale <sup>25</sup>
	50.000	26.77	09/01/20	26.80	0.03 W	0.00	54 of 55 - Sale <sup>25</sup>
	100.000	53.54	09/01/20	53.65	0.11 W	0.00	55 of 55 - Sale <sup>25</sup>
09/15/20	1,650.000	883.85	Various	1,190.07	306.22 W	0.00	Total of 55 transactions
	28 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	266.000	68.46	09/23/20	118.14	...	-49.68	1 of 28 - Sale <sup>25</sup>
	150.000	38.61	09/23/20	62.18	...	-23.57	2 of 28 - Sale <sup>25</sup>
	54.000	13.90	09/23/20	27.99	...	-14.09	3 of 28 - Sale <sup>25</sup>
	94.000	24.19	09/23/20	37.20	...	-13.01	4 of 28 - Sale <sup>25</sup>
	46.000	11.84	09/23/20	23.83	...	-11.99	5 of 28 - Sale <sup>25</sup>
	60.000	15.44	09/23/20	25.91	...	-10.47	6 of 28 - Sale <sup>25</sup>
	19.000	4.89	09/23/20	14.77	...	-9.88	7 of 28 - Sale <sup>25</sup>
	25.000	6.43	09/23/20	15.81	...	-9.38	8 of 28 - Sale <sup>25</sup>
	14.000	3.60	09/23/20	11.92	...	-8.32	9 of 28 - Sale <sup>25</sup>
	50.000	12.87	09/23/20	20.72	...	-7.85	10 of 28 - Sale <sup>25</sup>
	15.000	3.86	09/23/20	11.06	...	-7.20	11 of 28 - Sale <sup>25</sup>
	40.000	10.30	09/23/20	17.24	...	-6.94	12 of 28 - Sale <sup>25</sup>
	40.000	10.30	09/23/20	17.24	...	-6.94	13 of 28 - Sale <sup>25</sup>
	15.000	3.86	09/23/20	10.35	...	-6.49	14 of 28 - Sale <sup>25</sup>
	10.000	2.57	09/23/20	8.74	...	-6.17	15 of 28 - Sale <sup>25</sup>
	1.000	0.26	09/23/20	0.84	...	-0.58	16 of 28 - Sale <sup>25</sup>
	1.000	0.26	09/23/20	0.83	...	-0.57	17 of 28 - Sale <sup>25</sup>
	24.000	6.18	09/25/20	30.16	...	-23.98	18 of 28 - Sale <sup>25</sup>
	20.000	5.15	09/25/20	21.68	...	-16.53	19 of 28 - Sale <sup>25</sup>
	100.000	25.74	09/25/20	40.59	...	-14.85	20 of 28 - Sale <sup>25</sup>
	14.000	3.60	09/25/20	16.81	...	-13.21	21 of 28 - Sale <sup>25</sup>
	75.000	19.30	09/25/20	30.36	...	-11.06	22 of 28 - Sale <sup>25</sup>
	11.000	2.83	09/25/20	13.64	...	-10.81	23 of 28 - Sale <sup>25</sup>
	11.000	2.83	09/25/20	13.21	...	-10.38	24 of 28 - Sale <sup>25</sup>
	10.000	2.57	09/25/20	12.64	...	-10.07	25 of 28 - Sale <sup>25</sup>
	9.000	2.32	09/25/20	9.85	...	-7.53	26 of 28 - Sale <sup>25</sup>
	50.000	12.87	09/25/20	20.27	...	-7.40	27 of 28 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol: (cont'd)							
09/30/20	1.000	0.26	09/25/20	1.15	...	-0.89	28 of 28 - Sale <sup>25</sup>
	1,225.000	315.29	Various	635.13	...	-319.84	Total of 28 transactions
	8 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	10.000	2.59	09/23/20	11.18	8.59 W	0.00	1 of 8 - Sale <sup>25</sup>
	11.000	2.85	09/23/20	11.61	8.76 W	0.00	2 of 8 - Sale <sup>25</sup>
	14.000	3.62	09/23/20	14.76	11.14 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	0.26	09/23/20	1.01	0.75 W	0.00	4 of 8 - Sale <sup>25</sup>
	20.000	5.18	09/23/20	18.76	13.58 W	0.00	5 of 8 - Sale <sup>25</sup>
	9.000	2.33	09/23/20	8.54	6.21 W	0.00	6 of 8 - Sale <sup>25</sup>
	11.000	2.85	09/23/20	12.04	9.19 W	0.00	7 of 8 - Sale <sup>25</sup>
	24.000	6.21	09/23/20	26.65	20.44 W	0.00	8 of 8 - Sale <sup>25</sup>
09/30/20	100.000	25.89	Various	104.55	78.66 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>1,829.27</b>		<b>2,656.43</b>	<b>521.06 W</b>	<b>-306.10</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
12/22/20	100.000	47.50	12/01/20	34.37	...	13.13	Sale <sup>25</sup>
PROSPECT CAPITAL CORPORATION COMMON STOCK / CUSIP: 74348T102 / Symbol:							
05/20/20	1.000	4.63	05/18/20	4.40	...	0.23	Sale <sup>25</sup>
RIGEL PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 766559603 / Symbol:							
	7 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	5.000	13.76	07/14/20	22.08	...	-8.32	1 of 7 - Sale <sup>25</sup>
	5.000	13.76	07/14/20	21.05	...	-7.29	2 of 7 - Sale <sup>25</sup>
	4.000	11.01	07/14/20	16.00	...	-4.99	3 of 7 - Sale <sup>25</sup>
	2.000	5.51	07/14/20	7.68	...	-2.17	4 of 7 - Sale <sup>25</sup>
	1.000	2.75	07/14/20	4.61	...	-1.86	5 of 7 - Sale <sup>25</sup>
	1.000	2.75	07/14/20	3.83	...	-1.08	6 of 7 - Sale <sup>25</sup>
	2.000	5.51	07/15/20	7.40	...	-1.89	7 of 7 - Sale <sup>25</sup>
09/17/20	20.000	55.05	Various	82.65	...	-27.60	Total of 7 transactions
	3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	10.000	28.40	07/15/20	33.20	...	-4.80	1 of 3 - Sale <sup>25</sup>
	4.000	11.36	07/15/20	13.28	...	-1.92	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIGEL PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 766559603 / Symbol: (cont'd)							
11/23/20	1.000	2.84	07/15/20	3.70	...	-0.86	3 of 3 - Sale <sup>25</sup>
	15.000	42.60	Various	50.18	...	-7.58	Total of 3 transactions
	<b>Security total:</b>	<b>97.65</b>		<b>132.83</b>	...	<b>-35.18</b>	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
07/20/20	2.000	16.71	07/15/20	13.39	...	3.32	Sale <sup>25</sup>
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	40.000	97.60	06/22/20	88.80	...	8.80	1 of 3 - Sale <sup>25</sup>
	50.000	121.99	06/22/20	111.50	...	10.49	2 of 3 - Sale <sup>25</sup>
	200.000	476.92	06/22/20	446.00	...	30.92	3 of 3 - Sale <sup>25</sup>
06/23/20	290.000	696.51	Various	646.30	...	50.21	Total of 3 transactions
07/06/20	100.000	231.99	06/22/20	222.00	...	9.99	Sale <sup>25</sup>
08/13/20	9.000	23.85	08/12/20	20.68	...	3.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>952.35</b>		<b>888.98</b>	...	<b>63.37</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
10/27/20	1.000	424.38	10/22/20	430.94	...	-6.56	Sale <sup>25</sup>
12/03/20	1.000	597.06	12/02/20	562.96	...	34.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,021.44</b>		<b>993.90</b>	...	<b>27.54</b>	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
07/20/20	20.000	22.60	07/17/20	15.30	...	7.30	Sale <sup>25</sup>
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
07/09/20	3.000	107.11	07/08/20	99.83	...	7.28	Sale <sup>25</sup>
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
06/01/20	3.000	106.92	05/26/20	108.00	1.08 W	0.00	Sale <sup>25</sup>
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	33.07	06/19/20	32.17	...	0.90	1 of 2 - Sale <sup>25</sup>
	3.000	99.22	06/19/20	97.59	...	1.63	2 of 2 - Sale <sup>25</sup>
07/07/20	4.000	132.29	Various	129.76	...	2.53	Total of 2 transactions
	<b>Security total:</b>	<b>239.21</b>		<b>237.76</b>	<b>1.08 W</b>	<b>2.53</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	3.000	149.13	12/03/20	150.12	...	-0.99	1 of 2 - Sale <sup>25</sup>
	2.000	99.57	12/03/20	100.08	...	-0.51	2 of 2 - Sale <sup>25</sup>
12/08/20	5.000	248.70	Various	250.20	...	-1.50	Total of 2 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
07/01/20	5.000	141.30	06/09/20	140.35	...	0.95	Sale <sup>25</sup>
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	2.000	57.60	06/09/20	56.14	...	1.46	1 of 2 - Sale <sup>25</sup>
	2.000	58.26	06/09/20	56.14	...	2.12	2 of 2 - Sale <sup>25</sup>
07/07/20	4.000	115.86	Various	112.28	...	3.58	Total of 2 transactions
07/10/20	1.000	28.75	06/09/20	28.07	...	0.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>285.91</b>		<b>280.70</b>	...	<b>5.21</b>	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	10.000	78.85	06/26/20	126.90	48.05 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	91.70	06/26/20	160.15	68.45 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	95.00	06/26/20	177.35	82.35 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	97.70	06/26/20	182.35	84.65 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	93.70	06/26/20	178.70	85.00 W	0.00	5 of 5 - Sale <sup>25</sup>
07/07/20	50.000	456.95	Various	825.45	368.50 W	0.00	Total of 5 transactions
07/13/20	4.000	51.48	06/26/20	66.00	...	-14.52	Sale <sup>25</sup>
07/13/20	5.000	52.75	06/26/20	82.50	29.75 W	0.00	Sale <sup>25</sup>
07/14/20	1.000	14.39	06/26/20	16.50	2.11 W	0.00	Sale <sup>25</sup>
5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	8.15	08/31/20	8.12	...	0.03	1 of 5 - Sale <sup>25</sup>
	3.000	24.35	08/31/20	22.22	...	2.13	2 of 5 - Sale <sup>25</sup>
	3.000	24.43	08/31/20	21.47	...	2.96	3 of 5 - Sale <sup>25</sup>
	1.000	8.11	09/10/20	6.30	...	1.81	4 of 5 - Sale <sup>25</sup>
	4.000	32.46	09/10/20	22.88	...	9.58	5 of 5 - Sale <sup>25</sup>
09/14/20	12.000	97.50	Various	80.99	...	16.51	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
17 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	5.000	34.98	08/04/20	74.38	39.40 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	6.99	08/13/20	11.18	4.19 W	0.00	2 of 17 - Sale <sup>25</sup>
	4.000	27.98	08/14/20	36.86	8.88 W	0.00	3 of 17 - Sale <sup>25</sup>
	10.000	69.10	08/14/20	92.15	23.05 W	0.00	4 of 17 - Sale <sup>25</sup>
	6.000	42.60	08/14/20	55.29	12.69 W	0.00	5 of 17 - Sale <sup>25</sup>
	4.000	28.40	08/21/20	64.30	35.90 W	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	8.32	08/21/20	16.09	7.77 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	8.33	08/21/20	12.40	4.07 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	8.33	08/21/20	10.43	2.10 W	0.00	9 of 17 - Sale <sup>25</sup>
	3.000	24.97	08/24/20	28.37	3.40 W	0.00	10 of 17 - Sale <sup>25</sup>
	4.000	33.30	08/25/20	38.82	5.52 W	0.00	11 of 17 - Sale <sup>25</sup>
	4.000	32.58	08/26/20	35.02	2.44 W	0.00	12 of 17 - Sale <sup>25</sup>
	6.000	48.87	08/26/20	53.67	4.80 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	8.15	08/31/20	13.80	5.65 W	0.00	14 of 17 - Sale <sup>25</sup>
	4.000	32.58	08/31/20	60.00	27.42 W	0.00	15 of 17 - Sale <sup>25</sup>
	1.000	8.14	08/31/20	10.10	1.96 W	0.00	16 of 17 - Sale <sup>25</sup>
	2.000	16.23	08/31/20	16.28	0.05 W	0.00	17 of 17 - Sale <sup>25</sup>
09/14/20	58.000	439.85	Various	629.14	189.29 W	0.00	Total of 17 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	2.000	14.52	09/15/20	13.55	...	0.97	1 of 2 - Sale <sup>25</sup>
	6.000	43.56	09/15/20	40.49	...	3.07	2 of 2 - Sale <sup>25</sup>
09/16/20	8.000	58.08	Various	54.04	...	4.04	Total of 2 transactions
5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	7.26	09/15/20	12.40	5.14 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	29.04	09/15/20	54.41	25.37 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	7.26	09/15/20	8.71	1.45 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	14.52	09/15/20	15.10	0.58 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	29.04	09/15/20	29.44	0.40 W	0.00	5 of 5 - Sale <sup>25</sup>
09/16/20	12.000	87.12	Various	120.06	32.94 W	0.00	Total of 5 transactions
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	2.000	15.36	10/07/20	14.60	...	0.76	1 of 3 - Sale <sup>25</sup>
	4.000	30.73	10/07/20	28.44	...	2.29	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
10/14/20	8.000	61.46	10/07/20	56.08	...	5.38	3 of 3 - Sale <sup>25</sup>
10/14/20	14.000	107.55	Various	99.12	...	8.43	Total of 3 transactions
	3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	7.68	10/07/20	12.15	4.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	30.73	10/07/20	53.41	22.68 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	7.68	10/07/20	8.46	0.78 W	0.00	3 of 3 - Sale <sup>25</sup>
10/14/20	6.000	46.09	Various	74.02	27.93 W	0.00	Total of 3 transactions
	2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	20.000	108.23	10/20/20	106.36	...	1.87	1 of 2 - Sale <sup>25</sup>
	20.000	108.22	10/21/20	104.35	...	3.87	2 of 2 - Sale <sup>25</sup>
11/12/20	40.000	216.45	Various	210.71	...	5.74	Total of 2 transactions
	27 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1.000	5.07	10/15/20	11.24	6.17 W	0.00	1 of 27 - Sale <sup>25</sup>
	4.000	20.28	10/15/20	49.75	29.47 W	0.00	2 of 27 - Sale <sup>25</sup>
	1.000	5.07	10/15/20	7.55	2.48 W	0.00	3 of 27 - Sale <sup>25</sup>
	14.000	70.98	10/15/20	94.73	23.75 W	0.00	4 of 27 - Sale <sup>25</sup>
	10.000	50.70	10/15/20	65.89	15.19 W	0.00	5 of 27 - Sale <sup>25</sup>
	1.000	5.33	10/15/20	12.76	7.43 W	0.00	6 of 27 - Sale <sup>25</sup>
	4.000	21.33	10/15/20	55.83	34.50 W	0.00	7 of 27 - Sale <sup>25</sup>
	1.000	5.33	10/15/20	9.07	3.74 W	0.00	8 of 27 - Sale <sup>25</sup>
	14.000	74.65	10/15/20	115.99	41.34 W	0.00	9 of 27 - Sale <sup>25</sup>
	10.000	53.32	10/15/20	81.18	27.86 W	0.00	10 of 27 - Sale <sup>25</sup>
	19.000	101.30	10/15/20	125.38	24.08 W	0.00	11 of 27 - Sale <sup>25</sup>
	1.000	5.34	10/15/20	6.36	1.02 W	0.00	12 of 27 - Sale <sup>25</sup>
	1.000	5.41	10/15/20	13.79	8.38 W	0.00	13 of 27 - Sale <sup>25</sup>
	4.000	21.64	10/15/20	59.93	38.29 W	0.00	14 of 27 - Sale <sup>25</sup>
	1.000	5.41	10/15/20	10.10	4.69 W	0.00	15 of 27 - Sale <sup>25</sup>
	14.000	75.75	10/15/20	130.34	54.59 W	0.00	16 of 27 - Sale <sup>25</sup>
	10.000	54.11	10/19/20	86.76	32.65 W	0.00	17 of 27 - Sale <sup>25</sup>
	10.000	54.11	10/19/20	71.57	17.46 W	0.00	18 of 27 - Sale <sup>25</sup>
	1.000	5.41	10/19/20	6.79	1.38 W	0.00	19 of 27 - Sale <sup>25</sup>
	9.000	48.70	10/19/20	63.34	14.64 W	0.00	20 of 27 - Sale <sup>25</sup>
	10.000	54.11	10/19/20	57.70	3.59 W	0.00	21 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	5.37	10/21/20	13.60	8.23 W	0.00	22 of 27 - Sale <sup>25</sup>
	4.000	21.49	10/21/20	59.16	37.67 W	0.00	23 of 27 - Sale <sup>25</sup>
	1.000	5.37	10/21/20	9.91	4.54 W	0.00	24 of 27 - Sale <sup>25</sup>
	14.000	75.21	10/21/20	127.62	52.41 W	0.00	25 of 27 - Sale <sup>25</sup>
	10.000	53.72	11/09/20	72.62	18.90 W	0.00	26 of 27 - Sale <sup>25</sup>
	10.000	53.72	11/09/20	57.43	3.71 W	0.00	27 of 27 - Sale <sup>25</sup>
11/12/20	180.000	958.23	Various	1,476.39	518.16 W	0.00	Total of 27 transactions
	7 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	1.000	5.54	11/09/20	5.38	...	0.16	1 of 7 - Sale <sup>25</sup>
	1.000	5.36	11/09/20	4.36	...	1.00	2 of 7 - Sale <sup>25</sup>
	1.000	5.54	11/09/20	4.02	...	1.52	3 of 7 - Sale <sup>25</sup>
	4.000	22.16	11/09/20	18.31	...	3.85	4 of 7 - Sale <sup>25</sup>
	9.000	48.24	11/09/20	39.20	...	9.04	5 of 7 - Sale <sup>25</sup>
	9.000	49.87	11/09/20	38.35	...	11.52	6 of 7 - Sale <sup>25</sup>
	10.000	55.41	11/09/20	43.68	...	11.73	7 of 7 - Sale <sup>25</sup>
11/16/20	35.000	192.12	Various	153.30	...	38.82	Total of 7 transactions
	15 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	1.000	5.36	11/09/20	12.23	6.87 W	0.00	1 of 15 - Sale <sup>25</sup>
	4.000	21.44	11/09/20	53.66	32.22 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	5.36	11/09/20	8.54	3.18 W	0.00	3 of 15 - Sale <sup>25</sup>
	4.000	21.44	11/09/20	30.95	9.51 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	5.36	11/09/20	5.38	0.02 W	0.00	5 of 15 - Sale <sup>25</sup>
	9.000	48.24	11/09/20	50.62	2.38 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.000	5.36	11/09/20	10.87	5.51 W	0.00	7 of 15 - Sale <sup>25</sup>
	4.000	21.45	11/09/20	48.21	26.76 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	5.36	11/09/20	7.18	1.82 W	0.00	9 of 15 - Sale <sup>25</sup>
	4.000	21.45	11/09/20	25.50	4.05 W	0.00	10 of 15 - Sale <sup>25</sup>
	10.000	53.62	11/09/20	77.42	23.80 W	0.00	11 of 15 - Sale <sup>25</sup>
	10.000	55.41	11/09/20	58.88	3.47 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.000	5.54	11/09/20	9.08	3.54 W	0.00	13 of 15 - Sale <sup>25</sup>
	4.000	22.17	11/09/20	41.02	18.85 W	0.00	14 of 15 - Sale <sup>25</sup>
	10.000	55.42	11/09/20	59.45	4.03 W	0.00	15 of 15 - Sale <sup>25</sup>
11/16/20	65.000	352.98	Various	498.99	146.01 W	0.00	Total of 15 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
<i>7 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.69	11/09/20	7.11	...	-1.42	1 of 7 - Sale <sup>25</sup>
	2.000	11.38	11/09/20	7.94	...	3.44	2 of 7 - Sale <sup>25</sup>
	7.000	39.84	11/09/20	27.78	...	12.06	3 of 7 - Sale <sup>25</sup>
	6.000	34.15	11/09/20	21.39	...	12.76	4 of 7 - Sale <sup>25</sup>
	10.000	56.92	11/09/20	39.12	...	17.80	5 of 7 - Sale <sup>25</sup>
	1.000	5.69	11/12/20	5.61	...	0.08	6 of 7 - Sale <sup>25</sup>
	18.000	102.46	11/12/20	93.75	...	8.71	7 of 7 - Sale <sup>25</sup>
11/18/20	45.000	256.13	Various	202.70	...	53.43	Total of 7 transactions
11/18/20	4.000	22.77	11/09/20	33.11	2.59 W	-7.75	Sale <sup>25</sup>
11/20/20	1.000	6.35	11/12/20	7.80	...	-1.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,416.79</b>		<b>4,630.82</b>	<b>1,317.28 W</b>	<b>103.25</b>	
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
09/04/20	1.000	4.55	08/25/20	0.00	...	4.55	Sale <sup>25</sup>
<i>11 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	3.769	15.11	08/25/20	0.00	...	15.11	1 of 11 - Sale <sup>25</sup>
	10.475	42.00	08/25/20	0.00	...	42.00	2 of 11 - Sale <sup>25</sup>
	5.238	21.00	08/26/20	0.00	...	21.00	3 of 11 - Sale <sup>25</sup>
	1.571	6.30	08/31/20	0.00	...	6.30	4 of 11 - Sale <sup>25</sup>
	2.619	10.50	09/01/20	0.00	...	10.50	5 of 11 - Sale <sup>25</sup>
	4.190	16.80	09/01/20	0.00	...	16.80	6 of 11 - Sale <sup>25</sup>
	5.238	21.00	09/01/20	0.00	...	21.00	7 of 11 - Sale <sup>25</sup>
	5.238	21.00	09/01/20	0.00	...	21.00	8 of 11 - Sale <sup>25</sup>
	5.238	21.00	09/01/20	0.00	...	21.00	9 of 11 - Sale <sup>25</sup>
	5.238	21.01	09/01/20	0.00	...	21.01	10 of 11 - Sale <sup>25</sup>
	26.188	105.02	09/01/20	0.00	...	105.02	11 of 11 - Sale <sup>25</sup>
09/11/20	75.000	300.74	Various	0.00	...	300.74	Total of 11 transactions
	<b>Security total:</b>	<b>305.29</b>		<b>0.00</b>	...	<b>305.29</b>	
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:							
<i>11 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.385	8.82	08/25/20	0.00	...	8.82	1 of 11 - Sale <sup>25</sup>
	5.238	19.38	08/25/20	0.00	...	19.38	2 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol: (cont'd)							
	2.619	9.69	08/26/20	0.00	...	9.69	3 of 11 - Sale <sup>25</sup>
	0.786	2.91	08/31/20	0.00	...	2.91	4 of 11 - Sale <sup>25</sup>
	1.309	4.84	09/01/20	0.00	...	4.84	5 of 11 - Sale <sup>25</sup>
	2.095	7.75	09/01/20	0.00	...	7.75	6 of 11 - Sale <sup>25</sup>
	2.619	9.69	09/01/20	0.00	...	9.69	7 of 11 - Sale <sup>25</sup>
	2.619	9.69	09/01/20	0.00	...	9.69	8 of 11 - Sale <sup>25</sup>
	2.619	9.69	09/01/20	0.00	...	9.69	9 of 11 - Sale <sup>25</sup>
	2.619	9.69	09/01/20	0.00	...	9.69	10 of 11 - Sale <sup>25</sup>
	13.094	48.45	09/01/20	0.00	...	48.45	11 of 11 - Sale <sup>25</sup>
09/11/20	38.000	140.60	Various	0.00	...	140.60	Total of 11 transactions
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol:							
11 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	2.671	57.43	08/25/20	183.54	...	-126.11	1 of 11 - Sale <sup>25</sup>
	0.836	17.98	08/25/20	57.46	...	-39.48	2 of 11 - Sale <sup>25</sup>
	1.336	28.71	08/26/20	87.97	...	-59.26	3 of 11 - Sale <sup>25</sup>
	0.401	8.61	08/31/20	23.52	...	-14.91	4 of 11 - Sale <sup>25</sup>
	6.678	143.58	09/01/20	306.95	...	-163.37	5 of 11 - Sale <sup>25</sup>
	1.336	28.72	09/01/20	78.60	...	-49.88	6 of 11 - Sale <sup>25</sup>
	1.336	28.71	09/01/20	63.99	...	-35.28	7 of 11 - Sale <sup>25</sup>
	1.336	28.72	09/01/20	63.99	...	-35.27	8 of 11 - Sale <sup>25</sup>
	1.068	22.97	09/01/20	49.88	...	-26.91	9 of 11 - Sale <sup>25</sup>
	1.336	28.71	09/01/20	54.99	...	-26.28	10 of 11 - Sale <sup>25</sup>
	0.668	14.36	09/01/20	33.83	...	-19.47	11 of 11 - Sale <sup>25</sup>
09/10/20	19.000	408.50	Various	1,004.72	...	-596.22	Total of 11 transactions
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
07/10/20	1.000	22.58	07/10/20	13.16	...	9.42	Sale <sup>25</sup>
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	400.000	63.15	07/02/20	64.00	...	-0.85	1 of 2 - Sale <sup>25</sup>
	400.000	64.21	07/02/20	64.00	...	0.21	2 of 2 - Sale <sup>25</sup>
07/16/20	800.000	127.36	Various	128.00	...	-0.64	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1,372.000	96.56	09/25/20	141.04	...	-44.48	1 of 3 - Sale <sup>25</sup>
	130.000	9.15	10/15/20	14.95	...	-5.80	2 of 3 - Sale <sup>25</sup>
	70.000	4.93	10/15/20	8.15	...	-3.22	3 of 3 - Sale <sup>25</sup>
10/29/20	1,572.000	110.64	Various	164.14	...	-53.50	Total of 3 transactions
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	528.000	37.22	09/25/20	54.28	4.20 W	-12.86	1 of 2 - Sale <sup>25</sup>
	70.000	4.93	09/25/20	7.29	2.36 W	0.00	2 of 2 - Sale <sup>25</sup>
10/29/20	598.000	42.15	Various	61.57	6.56 W	-12.86	Total of 2 transactions
	<b>Security total:</b>	<b>152.79</b>		<b>225.71</b>	<b>6.56 W</b>	<b>-66.36</b>	
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	7.000	5.88	07/10/20	5.74	...	0.14	1 of 2 - Sale <sup>25</sup>
	43.000	36.14	07/10/20	35.26	...	0.88	2 of 2 - Sale <sup>25</sup>
07/21/20	50.000	42.02	Various	41.00	...	1.02	Total of 2 transactions
11/24/20	50.000	30.26	07/10/20	41.00	...	-10.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>72.28</b>		<b>82.00</b>	...	<b>-9.72</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
07/01/20	1.000	53.98	06/24/20	50.39	...	3.59	Sale <sup>25</sup>
07/01/20	1.000	54.86	06/19/20	55.25	0.39 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>108.84</b>		<b>105.64</b>	<b>0.39 W</b>	<b>3.59</b>	
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
06/24/20	200.000	86.74	06/24/20	76.60	...	10.14	Sale <sup>25</sup>
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	45.99	06/24/20	38.30	...	7.69	1 of 3 - Sale <sup>25</sup>
	100.000	46.79	06/24/20	38.30	...	8.49	2 of 3 - Sale <sup>25</sup>
	150.000	69.33	06/24/20	57.74	...	11.59	3 of 3 - Sale <sup>25</sup>
06/25/20	350.000	162.11	Various	134.34	...	27.77	Total of 3 transactions
06/30/20	50.000	22.00	06/24/20	19.24	...	2.76	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
<i>10 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	37.38	07/10/20	68.00	...	-30.62	1 of 10 - Sale <sup>25</sup>
	50.000	9.34	07/13/20	13.31	...	-3.97	2 of 10 - Sale <sup>25</sup>
	10.000	1.87	07/20/20	2.22	...	-0.35	3 of 10 - Sale <sup>25</sup>
	10.000	1.87	07/20/20	2.22	...	-0.35	4 of 10 - Sale <sup>25</sup>
	20.000	3.74	08/05/20	4.09	...	-0.35	5 of 10 - Sale <sup>25</sup>
	10.000	1.87	08/05/20	2.03	...	-0.16	6 of 10 - Sale <sup>25</sup>
	100.000	18.69	08/14/20	17.97	...	0.72	7 of 10 - Sale <sup>25</sup>
	100.000	18.69	08/14/20	17.59	...	1.10	8 of 10 - Sale <sup>25</sup>
	100.000	18.69	08/14/20	17.49	...	1.20	9 of 10 - Sale <sup>25</sup>
	100.000	18.68	08/14/20	17.40	...	1.28	10 of 10 - Sale <sup>25</sup>
11/20/20	700.000	130.82	Various	162.32	...	-31.50	Total of 10 transactions
	<b>Security total:</b>	<b>401.67</b>		<b>392.50</b>	...	<b>9.17</b>	
<b>Totals :</b>		<b>81,564.40</b>		<b>81,182.24</b>	<b>2,632.44 W</b>	<b>3,014.60</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 528983570

## Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

<b>Date sold or disposed</b>	<b>Quantity</b>	<b>Proceeds &amp; Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/23/20	0.372	5.73	11/11/20	6.24	...	-0.51	Gain or loss
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
09/14/20	0.468	2.13	08/25/20	0.00	...	2.13	Gain or loss
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:							
09/14/20	0.234	1.06	08/25/20	0.00	...	1.06	Gain or loss
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol:							
09/14/20	0.499	13.98	08/25/20	34.32	...	-20.34	Gain or loss
<b>Totals:</b>		<b>22.90</b>		<b>40.56</b>	...	<b>-17.66</b>	

Robinhood Securities LLC

Account 528983570

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
APPLE INC. COMMON STOCK	037833100		11/12/20	3.69	Qualified dividend	
FEDEX CORPORATION	31428X106		10/01/20	0.65	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	0.20	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/10/20	2.55	Qualified dividend	
				<b>7.09</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 528983570

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024	966387110	09/01/20	76.00	0.00	Merger	
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024	966387128	09/01/20	38.00	0.00	Merger	
WHITING PETROLEUM CORPORATION	966387409	09/01/20	-1,460.00	0.00	Merger	
WHITING PETROLEUM CORPORATION	966387508	09/02/20	19.00	0.00	Merger	
ZOMEDICA PHARMACEUTICALS CORP.	98979F107	10/05/20	-1,970.00	0.00	Exchange	
ZOMEDICA CORP.	98980M109	10/05/20	1,970.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).