

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 960351997</b>	Statement Date: 03/15/2021 Document ID: T97H 8F0 9173	2020
	Siva rama koti reddy Vajrala 3911 Casco Bay CT APT 7 Henrico, VA 23233  RECIPIENT'S TIN: XXX-XX-5702		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      1.34</p> <p>1b- Qualified dividends      1.34</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      9.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	356,037.97	422,435.83	0.00	62,545.77	-3,852.09
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>356,037.97</b>	<b>422,435.83</b>	<b>0.00</b>	<b>62,545.77</b>	<b>-3,852.09</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>356,037.97</b>	<b>422,435.83</b>	<b>0.00</b>	<b>62,545.77</b>	<b>-3,852.09</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 960351997

**Summary Information**

2020

(continued)

03/15/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

03/15/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/19/2020 CALL \$22.00 / CUSIP: / Symbol:							
06/16/20	14.000	111.96	06/15/20	126.00	...	-14.04	Option sale to close-call <sup>25</sup>
AAL 08/21/2020 PUT \$3.00 / CUSIP: / Symbol:							
08/21/20	11.000	0.00	06/25/20	77.00	...	-77.00	Option expiration- long put
AAL 11/13/2020 PUT \$11.00 / CUSIP: / Symbol:							
11/13/20	100.000	0.00	11/12/20	300.00	...	-300.00	Option expiration- long put
AAPL 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
08/31/20	10.000	16,499.61	06/17/20	595.00	...	15,904.61	Option sale to close-call <sup>25</sup>
09/11/20	2.000	1,329.96	06/17/20	119.00	...	1,210.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>17,829.57</b>		<b>714.00</b>	...	<b>17,115.57</b>	
AAPL 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
10/01/20	20.000	1,159.93	09/25/20	1,160.00	...	-0.07	Option sale to close-call <sup>25</sup>

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/07/2020 CALL \$445.00 / CUSIP: / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	499.98	08/06/20	330.00	...	169.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.98	08/06/20	330.00	...	169.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	999.96	Various	660.00	...	339.96	Total of 2 transactions
AAPL 08/14/2020 CALL \$465.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	2.99	08/13/20	169.00	...	-166.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2.99	08/13/20	168.00	...	-165.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	2.000	5.98	Various	337.00	...	-331.02	Total of 2 transactions
AAPL 08/21/2020 CALL \$465.00 / CUSIP: / Symbol:							
08/20/20	1.000	499.97	08/17/20	390.00	...	109.97	Option sale to close-call <sup>25</sup>
AAPL 09/11/2020 PUT \$100.00 / CUSIP: / Symbol:							
09/09/20	2.000	33.98	09/04/20	222.00	...	-188.02	Option sale to close-put <sup>25</sup>
AAPL 09/18/2020 CALL \$150.00 / CUSIP: / Symbol:							
16 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	08/28/20	331.00	...	-331.00	1 of 16 - Option expiration- long call
	15.000	0.00	09/11/20	135.00	...	-135.00	2 of 16 - Option expiration- long call
	10.000	0.00	09/14/20	10.00	...	-10.00	3 of 16 - Option expiration- long call
	9.000	0.00	09/14/20	9.00	...	-9.00	4 of 16 - Option expiration- long call
	1.000	0.00	09/14/20	3.00	...	-3.00	5 of 16 - Option expiration- long call
	42.000	0.00	09/15/20	84.00	...	-84.00	6 of 16 - Option expiration- long call
	8.000	0.00	09/15/20	16.00	...	-16.00	7 of 16 - Option expiration- long call
	55.000	0.00	09/16/20	55.00	...	-55.00	8 of 16 - Option expiration- long call

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/18/2020 CALL \$150.00 / CUSIP: / Symbol: (cont'd)							
	46.000	0.00	09/16/20	46.00	...	-46.00	9 of 16 - Option expiration- long call
	21.000	0.00	09/16/20	21.00	...	-21.00	10 of 16 - Option expiration- long call
	20.000	0.00	09/16/20	20.00	...	-20.00	11 of 16 - Option expiration- long call
	20.000	0.00	09/16/20	20.00	...	-20.00	12 of 16 - Option expiration- long call
	15.000	0.00	09/16/20	15.00	...	-15.00	13 of 16 - Option expiration- long call
	13.000	0.00	09/16/20	13.00	...	-13.00	14 of 16 - Option expiration- long call
	8.000	0.00	09/16/20	8.00	...	-8.00	15 of 16 - Option expiration- long call
	2.000	0.00	09/16/20	2.00	...	-2.00	16 of 16 - Option expiration- long call
09/18/20	289.000	0.00	Various	788.00	...	-788.00	Total of 16 transactions
AAPL 09/25/2020 CALL \$115.00 / CUSIP: / Symbol:							
6 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	3.000	329.99	09/18/20	327.00	...	2.99	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	329.98	09/18/20	192.00	...	137.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.98	09/21/20	37.00	...	38.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.98	09/21/20	37.00	...	38.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	110.00	09/21/20	38.00	...	72.00	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	329.98	09/21/20	111.00	...	218.98	6 of 6 - Option sale to close-call <sup>25</sup>
09/22/20	12.000	1,251.91	Various	742.00	...	509.91	Total of 6 transactions
AAPL 09/25/2020 CALL \$118.75 / CUSIP: / Symbol:							
4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	09/18/20	250.00	...	-250.00	1 of 4 - Option expiration- long call
	2.000	0.00	09/18/20	66.00	...	-66.00	2 of 4 - Option expiration- long call
	1.000	0.00	09/18/20	50.00	...	-50.00	3 of 4 - Option expiration- long call
	1.000	0.00	09/22/20	24.00	...	-24.00	4 of 4 - Option expiration- long call
09/25/20	9.000	0.00	Various	390.00	...	-390.00	Total of 4 transactions

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 PUT \$100.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/21/20	92.00	...	-92.00	Option expiration- long put
AAPL 10/16/2020 CALL \$121.00 / CUSIP: / Symbol:							
6 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	13.00	10/15/20	575.03	...	-562.03	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	25.99	10/15/20	588.01	...	-562.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	13.00	10/15/20	508.02	...	-495.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	13.00	10/15/20	508.00	...	-495.00	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	38.99	10/15/20	240.00	...	-201.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	12.99	10/15/20	80.00	...	-67.01	6 of 6 - Option sale to close-call <sup>25</sup>
10/16/20	9.000	116.97	Various	2,499.06	...	-2,382.09	Total of 6 transactions
7 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	2.000	25.99	10/13/20	454.00	428.01 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	12.99	10/13/20	227.00	214.01 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	13.00	10/13/20	441.01	428.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	13.00	10/13/20	441.00	428.00 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	12.99	10/13/20	441.01	428.02 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	25.99	10/13/20	454.00	428.01 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	12.98	10/15/20	508.01	495.03 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
10/16/20	9.000	116.94	Various	2,966.03	2,849.09 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>233.91</b>		<b>5,465.09</b>	<b>2,849.09 W</b>	<b>-2,382.09</b>	
AAPL 10/16/2020 CALL \$123.00 / CUSIP: / Symbol:							
8 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	3.000	5.99	10/15/20	993.02	...	-987.03	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	3.99	10/15/20	762.01	...	-758.02	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	2.00	10/15/20	417.03	...	-415.03	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	2.00	10/15/20	391.00	...	-389.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	2.00	10/15/20	381.00	...	-379.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	2.00	10/15/20	263.01	...	-261.01	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	4.00	10/15/20	244.01	...	-240.01	7 of 8 - Option sale to close-call <sup>25</sup>
	6.000	11.98	10/15/20	168.00	...	-156.02	8 of 8 - Option sale to close-call <sup>25</sup>
10/16/20	17.000	33.96	Various	3,619.08	...	-3,585.12	Total of 8 transactions

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Robinhood Securities LLC

Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$123.00 / CUSIP: / Symbol: (cont'd)							
12 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	2.000	3.99	10/13/20	542.00	538.01 W	0.00	1 of 12 - Option sale to close-call 25
	1.000	2.00	10/13/20	261.00	259.00 W	0.00	2 of 12 - Option sale to close-call 25
	2.000	4.00	10/13/20	522.00	518.00 W	0.00	3 of 12 - Option sale to close-call 25
	5.000	9.99	10/13/20	1,055.00	1,045.01 W	0.00	4 of 12 - Option sale to close-call 25
	2.000	3.99	10/14/20	192.00	188.01 W	0.00	5 of 12 - Option sale to close-call 25
	1.000	1.98	10/14/20	365.01	363.03 W	0.00	6 of 12 - Option sale to close-call 25
	1.000	2.00	10/14/20	355.00	353.00 W	0.00	7 of 12 - Option sale to close-call 25
	1.000	2.00	10/14/20	365.00	363.00 W	0.00	8 of 12 - Option sale to close-call 25
	2.000	3.99	10/14/20	710.00	706.01 W	0.00	9 of 12 - Option sale to close-call 25
	3.000	5.99	10/14/20	915.01	909.02 W	0.00	10 of 12 - Option sale to close-call 25
	2.000	3.99	10/15/20	474.00	235.01 W	-235.00	11 of 12 - Option sale to close-call 25
	1.000	2.00	10/15/20	391.03	389.03 W	0.00	12 of 12 - Option sale to close-call 25
10/16/20	23.000	45.92	Various	6,147.05	5,866.13 W	-235.00	Total of 12 transactions
	<b>Security total:</b>	<b>79.88</b>		<b>9,766.13</b>	<b>5,866.13 W</b>	<b>-3,820.12</b>	
AAPL 10/23/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	10/16/20	950.00	...	-950.00	1 of 2 - Option expiration- long call
	5.000	0.00	10/20/20	55.00	...	-55.00	2 of 2 - Option expiration- long call
10/23/20	15.000	0.00	Various	1,005.00	...	-1,005.00	Total of 2 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/24/2020 CALL \$120.00 / CUSIP: / Symbol:							
12/01/20	2.000	999.96	11/25/20	502.00	...	497.96	Option sale to close-call <sup>25</sup>
AGNC 06/19/2020 CALL \$14.00 / CUSIP: / Symbol:							
06/08/20	10.000	469.96	06/05/20	150.00	...	319.96	Option sale to close-call <sup>25</sup>
06/09/20	10.000	499.96	06/05/20	150.00	...	349.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>969.92</b>		<b>300.00</b>	...	<b>669.92</b>	
AMD 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/30/20	10.000	429.97	06/26/20	360.00	...	69.97	Option sale to close-call <sup>25</sup>
AMD 08/28/2020 CALL \$89.00 / CUSIP: / Symbol:							
08/28/20	2.000	0.00	08/26/20	160.00	...	-160.00	Option expiration- long call
AMD 09/04/2020 CALL \$100.00 / CUSIP: / Symbol:							
	8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	10.000	0.00	08/31/20	600.00	...	-600.00	1 of 8 - Option expiration- long call
	10.000	0.00	09/01/20	260.00	...	-260.00	2 of 8 - Option expiration- long call
	16.000	0.00	09/02/20	224.00	...	-224.00	3 of 8 - Option expiration- long call
	2.000	0.00	09/02/20	28.00	...	-28.00	4 of 8 - Option expiration- long call
	1.000	0.00	09/02/20	14.00	...	-14.00	5 of 8 - Option expiration- long call
	1.000	0.00	09/02/20	14.00	...	-14.00	6 of 8 - Option expiration- long call
	12.000	0.00	09/03/20	36.00	...	-36.00	7 of 8 - Option expiration- long call
	8.000	0.00	09/03/20	24.00	...	-24.00	8 of 8 - Option expiration- long call
09/04/20	60.000	0.00	Various	1,200.00	...	-1,200.00	Total of 8 transactions
AMD 11/13/2020 CALL \$85.00 / CUSIP: / Symbol:							
11/12/20	10.000	309.97	11/11/20	200.00	...	109.97	Option sale to close-call <sup>25</sup>
AMD 11/20/2020 CALL \$88.00 / CUSIP: / Symbol:							
11/19/20	10.000	249.97	11/19/20	220.00	...	29.97	Option sale to close-call <sup>25</sup>
AMD 12/11/2020 CALL \$87.00 / CUSIP: / Symbol:							
12/07/20	1.000	649.97	11/23/20	325.00	...	324.97	Option sale to close-call <sup>25</sup>
AMZN 09/18/2020 CALL \$3,050.00 / CUSIP: / Symbol:							
09/18/20	2.000	0.00	09/17/20	5,266.00	...	-5,266.00	Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 09/18/2020 CALL \$3,075.00 / CUSIP: / Symbol:							
09/18/20	4.000	7,055.83	09/18/20	0.00	...	7,055.83	Short sale closed- call expired Option written: 09/17/20
AMZN 09/18/2020 CALL \$3,100.00 / CUSIP: / Symbol:							
09/18/20	2.000	0.00	09/17/20	2,390.00	...	-2,390.00	Option expiration- long call
AMZN 09/18/2020 CALL \$3,105.00 / CUSIP: / Symbol:							
09/17/20	1.000	391.98	09/16/20	4,090.00	...	-3,698.02	Option sale to close-call <sup>25</sup>
AMZN 09/18/2020 CALL \$3,145.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	2,164.93	09/17/20	0.00	...	2,164.93	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/16/20
	1.000	2,165.93	09/17/20	0.00	...	2,165.93	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/16/20
09/18/20	2.000	4,330.86	Various	0.00	...	4,330.86	Total of 2 transactions
AMZN 09/18/2020 CALL \$3,150.00 / CUSIP: / Symbol:							
09/16/20	1.000	1,999.94	09/16/20	2,844.00	...	-844.06	Option sale to close-call <sup>25</sup>
AMZN 09/18/2020 CALL \$3,170.00 / CUSIP: / Symbol:							
09/17/20	1.000	138.98	09/16/20	1,655.00	...	-1,516.02	Option sale to close-call <sup>25</sup>
AMZN 09/18/2020 CALL \$3,200.00 / CUSIP: / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	399.96	09/16/20	0.00	...	399.96	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/16/20
	1.000	400.96	09/16/20	0.00	...	400.96	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/16/20
09/17/20	2.000	800.92	Various	0.00	...	800.92	Total of 2 transactions
AMZN 09/18/2020 CALL \$3,250.00 / CUSIP: / Symbol:							
09/16/20	1.000	399.98	09/16/20	548.00	...	-148.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 09/18/2020 PUT \$2,950.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	1,832.95	09/17/20	2,136.00	...	-303.05	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,832.95	09/17/20	2,136.00	...	-303.05	2 of 2 - Option sale to close-put <sup>25</sup>
09/18/20	2.000	3,665.90	Various	4,272.00	...	-606.10	Total of 2 transactions
AMZN 09/18/2020 PUT \$2,975.00 / CUSIP: / Symbol:							
09/21/20	2.000	-4,154.28	09/18/20	0.00	...	-4,154.28	Short sale closed- put <sup>25</sup> Option written: 09/17/20
09/21/20	2.000	-2,076.14	09/18/20	0.00	2,076.14 W	0.00	Short sale closed- put <sup>25</sup> Option written: 09/17/20
	<b>Security total:</b>	<b>-6,230.42</b>		<b>0.00</b>	<b>2,076.14 W</b>	<b>-4,154.28</b>	
AMZN 09/18/2020 PUT \$3,000.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	6,363.85	09/17/20	3,966.00	...	2,397.85	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	6,363.85	09/17/20	3,966.00	...	2,397.85	2 of 2 - Option sale to close-put <sup>25</sup>
09/18/20	2.000	12,727.70	Various	7,932.00	...	4,795.70	Total of 2 transactions
AMZN 09/25/2020 CALL \$3,270.00 / CUSIP: / Symbol:							
09/22/20	1.000	784.97	09/18/20	615.00	...	169.97	Option sale to close-call <sup>25</sup>
AMZN 09/25/2020 CALL \$4,000.00 / CUSIP: / Symbol:							
08/26/20	1.000	2,799.92	08/17/20	650.00	...	2,149.92	Option sale to close-call <sup>25</sup>
AMZN 09/25/2020 CALL \$5,000.00 / CUSIP: / Symbol:							
10 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/28/20	288.00	...	-288.00	1 of 10 - Option expiration- long call
	3.000	0.00	09/14/20	24.00	...	-24.00	2 of 10 - Option expiration- long call
	2.000	0.00	09/14/20	16.00	...	-16.00	3 of 10 - Option expiration- long call
	20.000	0.00	09/16/20	80.00	...	-80.00	4 of 10 - Option expiration- long call

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Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 09/25/2020 CALL \$5,000.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	09/16/20	9.00	...	-9.00	5 of 10 - Option expiration- long call
	2.000	0.00	09/16/20	8.00	...	-8.00	6 of 10 - Option expiration- long call
	2.000	0.00	09/16/20	8.00	...	-8.00	7 of 10 - Option expiration- long call
	1.000	0.00	09/16/20	4.00	...	-4.00	8 of 10 - Option expiration- long call
	1.000	0.00	09/16/20	3.00	...	-3.00	9 of 10 - Option expiration- long call
	40.000	0.00	09/21/20	40.00	...	-40.00	10 of 10 - Option expiration- long call
09/25/20	75.000	0.00	Various	480.00	...	-480.00	Total of 10 transactions
AVGO 09/04/2020 CALL \$360.00 / CUSIP: / Symbol:							
08/31/20	1.000	549.97	08/28/20	344.00	...	205.97	Option sale to close-call <sup>25</sup>
AXTA 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/05/20	3.000	359.98	05/29/20	210.00	...	149.98	Option sale to close-call <sup>25</sup>
AZN 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	2.000	129.98	07/21/20	290.00	...	-160.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.98	07/21/20	145.00	...	-80.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	3.000	194.96	Various	435.00	...	-240.04	Total of 2 transactions
AZN 10/16/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	08/24/20	290.00	...	-290.00	1 of 2 - Option expiration- long call
	20.000	0.00	09/25/20	40.00	...	-40.00	2 of 2 - Option expiration- long call
10/16/20	30.000	0.00	Various	330.00	...	-330.00	Total of 2 transactions
AZN 10/23/2020 CALL \$53.00 / CUSIP: / Symbol:							
10/23/20	3.000	0.00	10/20/20	150.00	...	-150.00	Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZN 12/31/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	11/23/20	500.00	...	-500.00	1 of 2 - Option expiration- long call
	3.000	0.00	11/23/20	240.00	...	-240.00	2 of 2 - Option expiration- long call
12/31/20	13.000	0.00	Various	740.00	...	-740.00	Total of 2 transactions
BA 06/12/2020 PUT \$150.00 / CUSIP: / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	140.98	06/11/20	91.00	...	49.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	140.98	06/11/20	91.00	...	49.98	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	228.98	06/11/20	91.00	...	137.98	3 of 3 - Option sale to close-put <sup>25</sup>
06/11/20	3.000	510.94	Various	273.00	...	237.94	Total of 3 transactions
BA 07/31/2020 PUT \$150.00 / CUSIP: / Symbol:							
8 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	07/24/20	208.00	...	-208.00	1 of 8 - Option expiration- long put
	2.000	0.00	07/24/20	102.00	...	-102.00	2 of 8 - Option expiration- long put
	2.000	0.00	07/24/20	102.00	...	-102.00	3 of 8 - Option expiration- long put
	1.000	0.00	07/24/20	51.00	...	-51.00	4 of 8 - Option expiration- long put
	14.000	0.00	07/30/20	140.00	...	-140.00	5 of 8 - Option expiration- long put
	10.000	0.00	07/30/20	110.00	...	-110.00	6 of 8 - Option expiration- long put
	3.000	0.00	07/30/20	33.00	...	-33.00	7 of 8 - Option expiration- long put
	2.000	0.00	07/30/20	22.00	...	-22.00	8 of 8 - Option expiration- long put
07/31/20	38.000	0.00	Various	768.00	...	-768.00	Total of 8 transactions
BA 08/14/2020 CALL \$190.00 / CUSIP: / Symbol:							
6 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1.00	08/13/20	886.06	...	-885.06	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	2.98	08/13/20	105.02	...	-102.04	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	0.99	08/13/20	94.01	...	-93.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1.00	08/13/20	94.01	...	-93.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	0.98	08/13/20	35.00	...	-34.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	0.99	08/13/20	35.00	...	-34.01	6 of 6 - Option sale to close-call <sup>25</sup>
08/14/20	8.000	7.94	Various	1,249.10	...	-1,241.16	Total of 6 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 08/14/2020 CALL \$190.00 / CUSIP: / Symbol: (cont'd)							
10 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	08/11/20	465.00	464.02 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	0.99	08/11/20	757.02	756.03 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	0.99	08/12/20	41.00	40.01 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	0.98	08/12/20	797.03	796.05 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	0.99	08/12/20	41.00	40.01 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	0.99	08/12/20	833.05	832.06 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1.00	08/12/20	77.01	76.01 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1.00	08/12/20	77.01	76.01 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1.00	08/12/20	869.06	868.06 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>
	8.000	7.97	08/13/20	144.00	85.02 W	-51.01	10 of 10 - Option sale to close-call <sup>25</sup>
08/14/20	17.000	16.89	Various	4,101.18	4,033.28 W	-51.01	Total of 10 transactions
	<b>Security total:</b>	<b>24.83</b>		<b>5,350.28</b>	<b>4,033.28 W</b>	<b>-1,292.17</b>	
BA 08/14/2020 PUT \$170.00 / CUSIP: / Symbol:							
08/12/20	1.000	111.98	08/12/20	83.00	...	28.98	Option sale to close-put <sup>25</sup>
BA 09/04/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/03/20	305.00	...	-305.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/03/20	305.00	...	-305.00	2 of 2 - Option expiration- long call
09/04/20	2.000	0.00	Various	610.00	...	-610.00	Total of 2 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 10/02/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/30/20	1.000	549.97	09/28/20	420.00	...	129.97	Option sale to close-call <sup>25</sup>
10/01/20	1.000	379.98	09/29/20	292.00	...	87.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>929.95</b>		<b>712.00</b>	...	<b>217.95</b>	
BA 10/09/2020 PUT \$160.00 / CUSIP: / Symbol:							
10/09/20	2.000	0.00	10/06/20	310.00	...	-310.00	Option expiration- long put
BA 11/20/2020 CALL \$210.00 / CUSIP: / Symbol:							
11/17/20	1.000	256.98	11/16/20	136.00	...	120.98	Option sale to close-call <sup>25</sup>
BA 12/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/23/20	2.000	599.97	12/22/20	404.00	...	195.97	Option sale to close-call <sup>25</sup>
BA 12/31/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/31/20	2.000	9.98	12/29/20	312.00	...	-302.02	Option sale to close-call <sup>25</sup>
BABA 08/28/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/24/20	2.000	349.98	08/21/20	186.00	...	163.98	Option sale to close-call <sup>25</sup>
	3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	244.98	08/21/20	93.00	...	151.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	244.98	08/21/20	93.00	...	151.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	244.98	08/21/20	93.00	...	151.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/25/20	3.000	734.94	Various	279.00	...	455.94	Total of 3 transactions
	<b>Security total:</b>	<b>1,084.92</b>		<b>465.00</b>	...	<b>619.92</b>	
BABA 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/25/20	235.00	...	-235.00	Option expiration- long call
BABA 10/02/2020 CALL \$292.50 / CUSIP: / Symbol:							
10/02/20	1.000	7.98	09/30/20	320.00	...	-312.02	Option sale to close-call <sup>25</sup>
BABA 10/09/2020 CALL \$300.00 / CUSIP: / Symbol:							
	4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	297.99	10/01/20	274.00	...	23.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	297.99	10/01/20	274.00	...	23.99	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 10/09/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	241.98	10/01/20	210.00	...	31.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	297.99	10/01/20	210.00	...	87.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/06/20	4.000	1,135.95	Various	968.00	...	167.95	Total of 4 transactions
BABA 10/09/2020 CALL \$302.50 / CUSIP: / Symbol:							
10/08/20	1.000	199.98	10/07/20	190.00	...	9.98	Option sale to close-call <sup>25</sup>
BABA 10/16/2020 CALL \$305.00 / CUSIP: / Symbol:							
10/12/20	1.000	434.98	10/09/20	390.00	...	44.98	Option sale to close-call <sup>25</sup>
BAC 07/24/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	67.98	07/16/20	58.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	67.98	07/16/20	58.00	...	9.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	135.96	Various	116.00	...	19.96	Total of 2 transactions
BBBY 07/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	25.98	07/10/20	22.00	...	3.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	103.98	07/10/20	88.00	...	15.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	5.000	129.96	Various	110.00	...	19.96	Total of 2 transactions
BHLB 06/19/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	79.98	06/03/20	40.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	319.98	06/03/20	160.00	...	159.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	5.000	399.96	Various	200.00	...	199.96	Total of 2 transactions
BHLB 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	19.000	0.00	06/05/20	380.00	...	-380.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/05/20	20.00	...	-20.00	2 of 3 - Option expiration- long call
	10.000	0.00	06/16/20	50.00	...	-50.00	3 of 3 - Option expiration- long call
06/19/20	30.000	0.00	Various	450.00	...	-450.00	Total of 3 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIGC 10/16/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/28/20	1.000	699.97	09/18/20	570.00	...	129.97	Option sale to close-call <sup>25</sup>
BLNK 08/21/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/04/20	130.00	...	-130.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/14/20	35.00	...	-35.00	2 of 2 - Option expiration- long call
08/21/20	2.000	0.00	Various	165.00	...	-165.00	Total of 2 transactions
BMRN 08/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/20/20	4.000	159.98	08/19/20	380.00	...	-220.02	Option sale to close-call <sup>25</sup>
BYND 08/07/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/06/20	1.000	649.97	07/30/20	635.00	...	14.97	Option sale to close-call <sup>25</sup>
BYND 08/07/2020 CALL \$136.00 / CUSIP: / Symbol:							
08/07/20	1.000	4.98	08/06/20	237.00	...	-232.02	Option sale to close-call <sup>25</sup>
BYND 09/18/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	7.99	09/16/20	330.00	...	-322.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	7.99	09/16/20	330.00	...	-322.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/18/20	2.000	15.98	Various	660.00	...	-644.02	Total of 2 transactions
BYND 10/16/2020 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	113.98	10/13/20	193.01	...	-79.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	113.99	10/13/20	72.00	...	41.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	227.99	10/13/20	148.00	...	79.99	3 of 3 - Option sale to close-call <sup>25</sup>
10/14/20	4.000	455.96	Various	413.01	...	42.95	Total of 3 transactions
10/14/20	1.000	113.99	10/12/20	235.00	121.01 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>569.95</b>		<b>648.01</b>	<b>121.01 W</b>	<b>42.95</b>	

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAT 08/14/2020 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	143.99	08/11/20	167.00	...	-23.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	143.99	08/11/20	108.00	...	35.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	287.98	Various	275.00	...	12.98	Total of 2 transactions
CLDR 09/04/2020 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	09/01/20	90.00	...	-90.00	1 of 4 - Option expiration- long call
	6.000	0.00	09/02/20	102.00	...	-102.00	2 of 4 - Option expiration- long call
	2.000	0.00	09/02/20	34.00	...	-34.00	3 of 4 - Option expiration- long call
	2.000	0.00	09/02/20	34.00	...	-34.00	4 of 4 - Option expiration- long call
09/04/20	15.000	0.00	Various	260.00	...	-260.00	Total of 4 transactions
CLSK 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
12/23/20	1.000	349.98	12/22/20	300.00	...	49.98	Option sale to close-call <sup>25</sup>
CMTL 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/19/20	3.000	0.00	06/04/20	105.00	...	-105.00	Option expiration- long call
COST 05/15/2020 CALL \$320.00 / CUSIP: / Symbol:							
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	9.00	05/11/20	28.00	...	-19.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	17.99	05/12/20	38.00	...	-20.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	8.99	05/12/20	19.00	...	-10.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	9.00	05/12/20	16.00	...	-7.00	4 of 4 - Option sale to close-call <sup>25</sup>
05/13/20	5.000	44.98	Various	101.00	...	-56.02	Total of 4 transactions
COST 05/15/2020 CALL \$325.00 / CUSIP: / Symbol:							
05/13/20	2.000	11.98	05/12/20	20.00	...	-8.02	Option sale to close-call <sup>25</sup>
COST 10/02/2020 CALL \$355.00 / CUSIP: / Symbol:							
09/30/20	1.000	249.98	09/29/20	241.00	...	8.98	Option sale to close-call <sup>25</sup>

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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COTY 05/22/2020 CALL \$5.00 / CUSIP: / Symbol:							
4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/12/20	25.00	...	-25.00	1 of 4 - Option expiration- long call
	1.000	0.00	05/13/20	8.00	...	-8.00	2 of 4 - Option expiration- long call
	5.000	0.00	05/15/20	10.00	...	-10.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/15/20	2.00	...	-2.00	4 of 4 - Option expiration- long call
05/22/20	8.000	0.00	Various	45.00	...	-45.00	Total of 4 transactions
COTY 06/05/2020 CALL \$4.00 / CUSIP: / Symbol:							
05/26/20	5.000	224.98	05/18/20	60.00	...	164.98	Option sale to close-call <sup>25</sup>
CRK 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
05/26/20	5.000	249.98	05/14/20	150.00	...	99.98	Option sale to close-call <sup>25</sup>
CRM 08/28/2020 CALL \$305.00 / CUSIP: / Symbol:							
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	08/26/20	254.00	...	-254.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/26/20	198.00	...	-198.00	2 of 3 - Option expiration- long call
	6.000	0.00	08/27/20	282.00	...	-282.00	3 of 3 - Option expiration- long call
08/28/20	9.000	0.00	Various	734.00	...	-734.00	Total of 3 transactions
CRM 11/20/2020 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	192.99	11/16/20	153.00	...	39.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	385.98	11/16/20	158.00	...	227.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/20	3.000	578.97	Various	311.00	...	267.97	Total of 2 transactions
CRM 12/04/2020 PUT \$220.00 / CUSIP: / Symbol:							
12/02/20	1.000	314.98	11/30/20	246.00	...	68.98	Option sale to close-put <sup>25</sup>
CRM 12/11/2020 CALL \$250.00 / CUSIP: / Symbol:							
8 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/07/20	62.00	...	-62.00	1 of 8 - Option expiration- long call
	1.000	0.00	12/07/20	62.00	...	-62.00	2 of 8 - Option expiration- long call
	1.000	0.00	12/07/20	62.00	...	-62.00	3 of 8 - Option expiration- long call
	9.000	0.00	12/10/20	27.00	...	-27.00	4 of 8 - Option expiration- long call

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CRM 12/11/2020 CALL \$250.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	12/10/20	12.00	...	-12.00	5 of 8 - Option expiration- long call
	3.000	0.00	12/10/20	9.00	...	-9.00	6 of 8 - Option expiration- long call
	2.000	0.00	12/10/20	6.00	...	-6.00	7 of 8 - Option expiration- long call
	2.000	0.00	12/10/20	6.00	...	-6.00	8 of 8 - Option expiration- long call
12/11/20	23.000	0.00	Various	246.00	...	-246.00	Total of 8 transactions
CSCO 08/14/2020 PUT \$40.00 / CUSIP: / Symbol:							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/13/20	5.00	...	-5.00	1 of 3 - Option expiration- long put
	1.000	0.00	08/13/20	5.00	...	-5.00	2 of 3 - Option expiration- long put
	1.000	0.00	08/13/20	5.00	...	-5.00	3 of 3 - Option expiration- long put
08/14/20	3.000	0.00	Various	15.00	...	-15.00	Total of 3 transactions
CVLT 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	05/12/20	225.00	185.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	39.99	05/13/20	135.00	95.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/18/20	2.000	79.98	Various	360.00	280.02 W	0.00	Total of 2 transactions
6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	70.00	05/14/20	255.01	...	-185.01	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	70.00	05/14/20	165.01	...	-95.01	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	139.99	05/14/20	140.00	...	-0.01	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	139.99	05/18/20	100.00	...	39.99	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	279.99	05/18/20	200.00	...	79.99	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	139.99	05/21/20	60.00	...	79.99	6 of 6 - Option sale to close-call <sup>25</sup>
05/26/20	12.000	839.96	Various	920.02	...	-80.06	Total of 6 transactions
	<b>Security total:</b>	<b>919.94</b>		<b>1,280.02</b>	<b>280.02 W</b>	<b>-80.06</b>	
CVLT 06/19/2020 CALL \$42.50 / CUSIP: / Symbol:							
06/19/20	4.000	0.00	06/03/20	200.00	...	-200.00	Option expiration- long call
CVLT 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	05/28/20	50.00	...	-50.00	Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAL 05/08/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/07/20	3.000	83.98	05/06/20	84.00	...	-0.02	Option sale to close-call <sup>25</sup>
DDOG 10/02/2020 CALL \$115.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	0.00	09/30/20	600.00	...	-600.00	1 of 2 - Option expiration- long call
	10.000	0.00	10/01/20	250.00	...	-250.00	2 of 2 - Option expiration- long call
10/02/20	20.000	0.00	Various	850.00	...	-850.00	Total of 2 transactions
DDOG 11/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
11/16/20	1.000	319.98	11/13/20	230.00	...	89.98	Option sale to close-call <sup>25</sup>
DKNG 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
12/16/20	1.000	254.98	11/12/20	165.00	...	89.98	Option sale to close-call <sup>25</sup>
DOCU 09/04/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/02/20	425.00	...	-425.00	Option expiration- long call
DOCU 09/11/2020 CALL \$250.00 / CUSIP: / Symbol:							
<i>19 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	0.00	09/04/20	441.00	...	-441.00	1 of 19 - Option expiration- long call
	1.000	0.00	09/04/20	147.00	...	-147.00	2 of 19 - Option expiration- long call
	1.000	0.00	09/04/20	147.00	...	-147.00	3 of 19 - Option expiration- long call
	1.000	0.00	09/09/20	8.00	...	-8.00	4 of 19 - Option expiration- long call
	14.000	0.00	09/10/20	28.00	...	-28.00	5 of 19 - Option expiration- long call
	16.000	0.00	09/10/20	16.00	...	-16.00	6 of 19 - Option expiration- long call
	8.000	0.00	09/10/20	16.00	...	-16.00	7 of 19 - Option expiration- long call
	5.000	0.00	09/10/20	10.00	...	-10.00	8 of 19 - Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DOCU 09/11/2020 CALL \$250.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	09/10/20	4.00	...	-4.00	9 of 19 - Option expiration- long call
	2.000	0.00	09/10/20	4.00	...	-4.00	10 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	11 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	12 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	13 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	14 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	15 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	16 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	17 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	18 of 19 - Option expiration- long call
	1.000	0.00	09/10/20	2.00	...	-2.00	19 of 19 - Option expiration- long call
09/11/20	62.000	0.00	Various	839.00	...	-839.00	Total of 19 transactions
DOCU 10/16/2020 CALL \$230.00 / CUSIP: / Symbol:							
10/12/20	1.000	649.97	10/09/20	450.00	...	199.97	Option sale to close-call <sup>25</sup>
DXC 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/03/20	2.000	599.97	05/29/20	170.00	...	429.97	Option sale to close-call <sup>25</sup>
DXC 06/19/2020 CALL \$17.00 / CUSIP: / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	249.99	05/29/20	50.00	...	199.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	499.98	05/29/20	78.00	...	421.98	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DXC 06/19/2020 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	2.000	499.98	05/29/20	78.00	...	421.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	499.98	05/29/20	70.00	...	429.98	4 of 4 - Option sale to close-call <sup>25</sup>
06/05/20	7.000	1,749.93	Various	276.00	...	1,473.93	Total of 4 transactions
DXC 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/19/20	10.000	0.00	06/09/20	400.00	...	-400.00	Option expiration- long call
EAF 05/15/2020 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/07/20	10.00	...	-10.00	1 of 3 - Option expiration- long call
	1.000	0.00	05/08/20	25.00	...	-25.00	2 of 3 - Option expiration- long call
	2.000	0.00	05/11/20	10.00	...	-10.00	3 of 3 - Option expiration- long call
05/15/20	4.000	0.00	Various	45.00	...	-45.00	Total of 3 transactions
EAF 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	05/07/20	45.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	99.99	05/14/20	20.00	...	79.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/20	3.000	149.98	Various	65.00	...	84.98	Total of 2 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	2.000	169.99	05/14/20	20.00	...	149.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	254.99	05/14/20	30.00	...	224.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/20	5.000	424.98	Various	50.00	...	374.98	Total of 2 transactions
	<b>Security total:</b>	<b>574.96</b>		<b>115.00</b>	...	<b>459.96</b>	
ELAN 06/19/2020 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	2.000	59.99	05/08/20	60.00	...	-0.01	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	119.99	05/14/20	100.00	...	19.99	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	119.99	05/22/20	40.00	...	79.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/27/20	10.000	299.97	Various	200.00	...	99.97	Total of 3 transactions

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ELAN 06/19/2020 CALL \$24.00 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	10.000	599.96	05/11/20	100.00	...	499.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	59.99	05/14/20	10.00	...	49.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	59.99	05/14/20	10.00	...	49.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/05/20	12.000	719.94	Various	120.00	...	599.94	Total of 3 transactions
EQNR 05/15/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	05/11/20	15.00	...	-15.00	1 of 2 - Option expiration- long call
	2.000	0.00	05/11/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
05/15/20	5.000	0.00	Various	25.00	...	-25.00	Total of 2 transactions
F 09/18/2020 CALL \$7.50 / CUSIP: / Symbol:							
09/18/20	8.000	7.97	09/17/20	24.00	...	-16.03	Option sale to close-call <sup>25</sup>
FANG 07/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
07/17/20	5.000	0.00	06/19/20	750.00	...	-750.00	Option expiration- long call
FB 01/15/2021 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	06/29/20	137.00	...	162.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.99	06/29/20	135.00	...	164.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/18/20	2.000	599.97	Various	272.00	...	327.97	Total of 2 transactions
FB 01/15/2021 CALL \$360.00 / CUSIP: / Symbol:							
09/14/20	1.000	299.98	06/29/20	124.00	...	175.98	Option sale to close-call <sup>25</sup>
FB 05/29/2020 PUT \$220.00 / CUSIP: / Symbol:							
05/28/20	1.000	79.98	05/28/20	41.00	...	38.98	Option sale to close-put <sup>25</sup>
FB 06/05/2020 PUT \$205.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	05/29/20	75.00	...	-75.00	Option expiration- long put

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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FB 06/12/2020 CALL \$260.00 / CUSIP: / Symbol:							
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	70.00	05/27/20	114.02	...	-44.02	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	69.99	05/27/20	60.00	...	9.99	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	69.99	05/27/20	46.00	...	23.99	3 of 4 - Option sale to close-call <sup>25</sup>
	6.000	209.99	05/27/20	168.00	...	41.99	4 of 4 - Option sale to close-call <sup>25</sup>
05/28/20	12.000	419.97	Various	388.02	...	31.95	Total of 4 transactions
05/28/20	2.000	69.98	05/27/20	124.00	54.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>489.95</b>		<b>512.02</b>	<b>54.02 W</b>	<b>31.95</b>	
FB 07/02/2020 PUT \$190.00 / CUSIP: / Symbol:							
06/29/20	5.000	219.98	06/26/20	65.00	...	154.98	Option sale to close-put <sup>25</sup>
FB 07/02/2020 PUT \$200.00 / CUSIP: / Symbol:							
06/29/20	1.000	174.98	06/26/20	20.00	...	154.98	Option sale to close-put <sup>25</sup>
FB 12/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/01/20	54.00	...	-54.00	Option expiration- long call
FDX 08/14/2020 CALL \$205.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	224.99	08/11/20	310.00	...	-85.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.99	08/11/20	180.00	...	44.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.99	08/11/20	140.00	...	84.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/12/20	3.000	674.97	Various	630.00	...	44.97	Total of 3 transactions
FDX 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/15/20	1.000	724.97	09/11/20	550.00	...	174.97	Option sale to close-call <sup>25</sup>
FDX 09/18/2020 CALL \$255.00 / CUSIP: / Symbol:							
13 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	4.00	09/17/20	1,068.09	...	-1,064.09	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	4.00	09/17/20	416.04	...	-412.04	2 of 13 - Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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FDX 09/18/2020 CALL \$255.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.00	09/17/20	188.02	...	-184.02	3 of 13 - Option sale to close-call <sub>25</sub>
	1.000	4.00	09/17/20	188.02	...	-184.02	4 of 13 - Option sale to close-call <sub>25</sub>
	1.000	4.00	09/17/20	188.01	...	-184.01	5 of 13 - Option sale to close-call <sub>25</sub>
	3.000	11.99	09/17/20	168.00	...	-156.01	6 of 13 - Option sale to close-call <sub>25</sub>
	5.000	19.99	09/17/20	60.00	...	-40.01	7 of 13 - Option sale to close-call <sub>25</sub>
	2.000	7.99	09/17/20	24.00	...	-16.01	8 of 13 - Option sale to close-call <sub>25</sub>
	2.000	7.99	09/17/20	24.00	...	-16.01	9 of 13 - Option sale to close-call <sub>25</sub>
	2.000	7.99	09/17/20	24.00	...	-16.01	10 of 13 - Option sale to close-call <sub>25</sub>
	2.000	7.99	09/17/20	24.00	...	-16.01	11 of 13 - Option sale to close-call <sub>25</sub>
	2.000	8.00	09/17/20	24.00	...	-16.00	12 of 13 - Option sale to close-call <sub>25</sub>
	1.000	4.00	09/17/20	12.00	...	-8.00	13 of 13 - Option sale to close-call <sub>25</sub>
09/18/20	24.000	95.94	Various	2,408.18	...	-2,312.24	Total of 13 transactions
	11 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	3.98	09/16/20	390.00	386.02 W	0.00	1 of 11 - Option sale to close-call <sub>25</sub>
	1.000	3.98	09/16/20	656.02	652.04 W	0.00	2 of 11 - Option sale to close-call <sub>25</sub>
	1.000	3.99	09/16/20	832.04	828.05 W	0.00	3 of 11 - Option sale to close-call <sub>25</sub>
	1.000	3.99	09/16/20	180.00	176.01 W	0.00	4 of 11 - Option sale to close-call <sub>25</sub>
	1.000	3.98	09/16/20	964.05	960.07 W	0.00	5 of 11 - Option sale to close-call <sub>25</sub>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FDX 09/18/2020 CALL \$255.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.99	09/16/20	312.01	308.02 W	0.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.99	09/16/20	136.00	132.01 W	0.00	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.98	09/16/20	136.00	132.02 W	0.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.98	09/16/20	136.00	132.02 W	0.00	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.98	09/17/20	1,016.07	1,012.09 W	0.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.98	09/17/20	364.02	360.04 W	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
09/18/20	11.000	43.82	Various	5,122.21	5,078.39 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>139.76</b>		<b>7,530.39</b>	<b>5,078.39 W</b>	<b>-2,312.24</b>	
FDX 09/18/2020 CALL \$280.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	205.99	09/11/20	137.00	...	68.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	205.99	09/11/20	136.00	...	69.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	411.98	Various	273.00	...	138.98	Total of 2 transactions
FDX 12/31/2020 CALL \$350.00 / CUSIP: / Symbol:							
6 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/17/20	147.00	...	-147.00	1 of 6 - Option expiration- long call
	4.000	0.00	12/18/20	76.00	...	-76.00	2 of 6 - Option expiration- long call
	5.000	0.00	12/22/20	25.00	...	-25.00	3 of 6 - Option expiration- long call
	3.000	0.00	12/22/20	15.00	...	-15.00	4 of 6 - Option expiration- long call
	2.000	0.00	12/22/20	10.00	...	-10.00	5 of 6 - Option expiration- long call
	1.000	0.00	12/22/20	4.00	...	-4.00	6 of 6 - Option expiration- long call
12/31/20	16.000	0.00	Various	277.00	...	-277.00	Total of 6 transactions

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Robinhood Securities LLC

Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FNF1 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	9.000	0.00	05/29/20	45.00	...	-45.00	1 of 3 - Option expiration- long call
	1.000	0.00	05/29/20	5.00	...	-5.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/29/20	5.00	...	-5.00	3 of 3 - Option expiration- long call
06/19/20	11.000	0.00	Various	55.00	...	-55.00	Total of 3 transactions
FOUR 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	07/09/20	210.00	200.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	10.00	07/13/20	79.00	69.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	9.99	07/13/20	80.00	70.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/16/20	3.000	29.98	Various	369.00	339.02 W	0.00	Total of 3 transactions
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	6.000	0.00	07/13/20	360.00	...	-360.00	1 of 4 - Option expiration- long call
	1.000	0.00	07/13/20	280.01	...	-280.01	2 of 4 - Option expiration- long call
	1.000	0.00	07/13/20	130.01	...	-130.01	3 of 4 - Option expiration- long call
	1.000	0.00	07/13/20	129.00	...	-129.00	4 of 4 - Option expiration- long call
07/17/20	9.000	0.00	Various	899.02	...	-899.02	Total of 4 transactions
	<b>Security total:</b>	<b>29.98</b>		<b>1,268.02</b>	<b>339.02 W</b>	<b>-899.02</b>	
FSCT 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/18/20	80.00	...	-80.00	1 of 6 - Option expiration- long call
	5.000	0.00	05/27/20	45.00	...	-45.00	2 of 6 - Option expiration- long call
	14.000	0.00	05/28/20	140.00	...	-140.00	3 of 6 - Option expiration- long call
	6.000	0.00	05/28/20	60.00	...	-60.00	4 of 6 - Option expiration- long call
	6.000	0.00	05/28/20	60.00	...	-60.00	5 of 6 - Option expiration- long call
	5.000	0.00	05/28/20	50.00	...	-50.00	6 of 6 - Option expiration- long call
06/19/20	40.000	0.00	Various	435.00	...	-435.00	Total of 6 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 06/26/2020 CALL \$63.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	429.99	06/18/20	360.00	...	69.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	859.97	06/18/20	470.00	...	389.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/19/20	3.000	1,289.96	Various	830.00	...	459.96	Total of 2 transactions
FSLY 08/07/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	08/05/20	87.00	...	-87.00	Option expiration- long call
FSLY 08/14/2020 CALL \$87.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/13/20	15.00	...	-15.00	Option expiration- long call
FSLY 08/14/2020 PUT \$60.00 / CUSIP: / Symbol:							
08/14/20	2.000	0.00	08/07/20	70.00	...	-70.00	Option expiration- long put
FSLY 08/14/2020 PUT \$70.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	149.98	08/07/20	48.00	...	101.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	154.98	08/07/20	48.00	...	106.98	2 of 2 - Option sale to close-put <sup>25</sup>
08/07/20	2.000	304.96	Various	96.00	...	208.96	Total of 2 transactions
FSLY 09/04/2020 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	08/28/20	340.00	...	-50.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	289.99	08/28/20	340.00	...	-50.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	579.98	08/31/20	280.00	...	299.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/01/20	4.000	1,159.96	Various	960.00	...	199.96	Total of 3 transactions
FSLY 10/16/2020 CALL \$150.00 / CUSIP: / Symbol:							
5 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/09/20	290.00	...	-290.00	1 of 5 - Option expiration- long call
	1.000	0.00	10/12/20	55.00	...	-55.00	2 of 5 - Option expiration- long call
	1.000	0.00	10/12/20	55.00	...	-55.00	3 of 5 - Option expiration- long call
	8.000	0.00	10/14/20	96.00	...	-96.00	4 of 5 - Option expiration- long call
	4.000	0.00	10/14/20	60.00	...	-60.00	5 of 5 - Option expiration- long call
10/16/20	16.000	0.00	Various	556.00	...	-556.00	Total of 5 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	864.97	12/17/20	425.00	...	439.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	999.96	12/17/20	385.00	...	614.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/21/20	2.000	1,864.93	Various	810.00	...	1,054.93	Total of 2 transactions
GE 06/05/2020 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	4.000	195.98	05/18/20	60.00	...	135.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	195.99	05/18/20	56.00	...	139.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/20	8.000	391.97	Various	116.00	...	275.97	Total of 2 transactions
GE 06/12/2020 CALL \$7.50 / CUSIP: / Symbol:							
10 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	58.98	05/28/20	17.00	...	41.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	59.00	05/28/20	17.00	...	42.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	59.00	05/28/20	17.00	...	42.00	3 of 10 - Option sale to close-call <sup>25</sup>
	2.000	117.99	05/28/20	34.00	...	83.99	4 of 10 - Option sale to close-call <sup>25</sup>
	2.000	117.99	05/28/20	34.00	...	83.99	5 of 10 - Option sale to close-call <sup>25</sup>
	3.000	176.99	05/28/20	51.00	...	125.99	6 of 10 - Option sale to close-call <sup>25</sup>
	5.000	294.98	05/28/20	150.00	...	144.98	7 of 10 - Option sale to close-call <sup>25</sup>
	5.000	294.98	05/28/20	150.00	...	144.98	8 of 10 - Option sale to close-call <sup>25</sup>
	4.000	235.99	05/28/20	68.00	...	167.99	9 of 10 - Option sale to close-call <sup>25</sup>
	6.000	353.98	05/28/20	102.00	...	251.98	10 of 10 - Option sale to close-call <sup>25</sup>
06/05/20	30.000	1,769.88	Various	640.00	...	1,129.88	Total of 10 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 07/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	16.000	0.00	06/12/20	288.00	...	-288.00	1 of 3 - Option expiration- long call
	2.000	0.00	06/12/20	36.00	...	-36.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/23/20	6.00	...	-6.00	3 of 3 - Option expiration- long call
07/17/20	19.000	0.00	Various	330.00	...	-330.00	Total of 3 transactions
GE 09/11/2020 CALL \$7.00 / CUSIP: / Symbol:							
6 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	4.00	09/02/20	3.00	...	1.00	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	7.98	09/02/20	6.00	...	1.98	2 of 6 - Option sale to close-call <sup>25</sup>
	17.000	67.96	09/02/20	51.00	...	16.96	3 of 6 - Option sale to close-call <sup>25</sup>
	21.000	83.95	09/02/20	63.00	...	20.95	4 of 6 - Option sale to close-call <sup>25</sup>
	29.000	115.94	09/02/20	87.00	...	28.94	5 of 6 - Option sale to close-call <sup>25</sup>
	30.000	119.93	09/02/20	90.00	...	29.93	6 of 6 - Option sale to close-call <sup>25</sup>
09/04/20	100.000	399.76	Various	300.00	...	99.76	Total of 6 transactions
GE 10/16/2020 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	17.000	67.96	10/09/20	238.00	...	-170.04	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	7.98	10/09/20	28.00	...	-20.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	7.98	10/09/20	28.00	...	-20.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/13/20	21.000	83.92	Various	294.00	...	-210.08	Total of 3 transactions
10/14/20	19.000	94.95	10/09/20	266.00	...	-171.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>178.87</b>		<b>560.00</b>	...	<b>-381.13</b>	
GNUS 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/16/20	425.00	...	-425.00	1 of 3 - Option expiration- long call
	4.000	0.00	06/16/20	340.00	...	-340.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/16/20	85.00	...	-85.00	3 of 3 - Option expiration- long call
07/17/20	10.000	0.00	Various	850.00	...	-850.00	Total of 3 transactions

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GS 08/14/2020 CALL \$217.50 / CUSIP: / Symbol:							
<i>10 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	08/11/20	175.00	...	-175.00	1 of 10 - Option expiration- long call
	16.000	0.00	08/12/20	480.00	...	-480.00	2 of 10 - Option expiration- long call
	3.000	0.00	08/12/20	138.00	...	-138.00	3 of 10 - Option expiration- long call
	3.000	0.00	08/12/20	135.00	...	-135.00	4 of 10 - Option expiration- long call
	4.000	0.00	08/12/20	120.00	...	-120.00	5 of 10 - Option expiration- long call
	1.000	0.00	08/12/20	45.00	...	-45.00	6 of 10 - Option expiration- long call
	10.000	0.00	08/13/20	80.00	...	-80.00	7 of 10 - Option expiration- long call
	10.000	0.00	08/13/20	80.00	...	-80.00	8 of 10 - Option expiration- long call
	4.000	0.00	08/13/20	32.00	...	-32.00	9 of 10 - Option expiration- long call
	1.000	0.00	08/13/20	8.00	...	-8.00	10 of 10 - Option expiration- long call
08/14/20	53.000	0.00	Various	1,293.00	...	-1,293.00	Total of 10 transactions
GS 08/21/2020 CALL \$217.50 / CUSIP: / Symbol:							
<i>15 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	08/14/20	64.00	...	-64.00	1 of 15 - Option expiration- long call
	2.000	0.00	08/17/20	30.00	...	-30.00	2 of 15 - Option expiration- long call
	2.000	0.00	08/17/20	30.00	...	-30.00	3 of 15 - Option expiration- long call
	1.000	0.00	08/17/20	16.00	...	-16.00	4 of 15 - Option expiration- long call
	1.000	0.00	08/17/20	15.00	...	-15.00	5 of 15 - Option expiration- long call

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GS 08/21/2020 CALL \$217.50 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	08/19/20	8.00	...	-8.00	6 of 15 - Option expiration- long call
	2.000	0.00	08/19/20	8.00	...	-8.00	7 of 15 - Option expiration- long call
	2.000	0.00	08/19/20	8.00	...	-8.00	8 of 15 - Option expiration- long call
	2.000	0.00	08/19/20	8.00	...	-8.00	9 of 15 - Option expiration- long call
	1.000	0.00	08/19/20	4.00	...	-4.00	10 of 15 - Option expiration- long call
	1.000	0.00	08/19/20	4.00	...	-4.00	11 of 15 - Option expiration- long call
	1.000	0.00	08/19/20	4.00	...	-4.00	12 of 15 - Option expiration- long call
	1.000	0.00	08/19/20	4.00	...	-4.00	13 of 15 - Option expiration- long call
	1.000	0.00	08/19/20	4.00	...	-4.00	14 of 15 - Option expiration- long call
	1.000	0.00	08/19/20	4.00	...	-4.00	15 of 15 - Option expiration- long call
08/21/20	21.000	0.00	Various	211.00	...	-211.00	Total of 15 transactions
GS 08/21/2020 PUT \$195.00 / CUSIP: / Symbol:							
08/21/20	3.000	23.98	08/20/20	24.00	...	-0.02	Option sale to close-put <sup>25</sup>
GUSH 01/15/2021 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	13.000	194.97	07/09/20	650.00	...	-455.03	1 of 3 - Option sale to close-call <sup>25</sup>
	50.000	749.88	09/15/20	1,000.00	...	-250.12	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	104.98	09/15/20	140.00	...	-35.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/09/20	70.000	1,049.83	Various	1,790.00	...	-740.17	Total of 3 transactions
9 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	15.00	06/09/20	790.00	775.00 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/09/20	780.00	765.00 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/10/20	550.00	535.00 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GUSH 01/15/2021 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	06/11/20	390.00	375.00 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	30.00	06/11/20	600.00	570.00 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	6.000	89.98	06/22/20	1,500.00	1,410.02 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/23/20	185.00	170.00 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	29.99	06/23/20	360.00	330.01 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	15.000	224.96	06/26/20	1,425.00	240.01 W	-960.03	9 of 9 - Option sale to close-call <sup>25</sup>
10/09/20	30.000	449.93	Various	6,580.00	5,170.04 W	-960.03	Total of 9 transactions
	<b>Security total:</b>	<b>1,499.76</b>		<b>8,370.00</b>	<b>5,170.04 W</b>	<b>-1,700.20</b>	
GUSH 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/26/20	1.000	449.98	05/26/20	390.00	...	59.98	Option sale to close-call <sup>25</sup>
GUSH 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
6 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	589.98	05/27/20	235.00	...	354.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	589.98	05/27/20	190.00	...	399.98	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	1,179.97	05/28/20	290.00	...	889.97	3 of 6 - Option sale to close-call <sup>25</sup>
	4.000	3,599.91	05/28/20	460.00	...	3,139.91	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	899.98	05/29/20	75.00	...	824.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	899.98	05/29/20	70.00	...	829.98	6 of 6 - Option sale to close-call <sup>25</sup>
06/05/20	10.000	7,759.80	Various	1,320.00	...	6,439.80	Total of 6 transactions
GUSH 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/18/20	2.000	49.98	06/17/20	60.00	...	-10.02	Option sale to close-call <sup>25</sup>
GUSH 07/17/2020 CALL \$50.00 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	06/24/20	300.00	...	-300.00	1 of 4 - Option expiration- long call
	2.000	0.00	06/24/20	130.00	...	-130.00	2 of 4 - Option expiration- long call
	9.000	0.00	06/26/20	360.00	...	-360.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/26/20	40.00	...	-40.00	4 of 4 - Option expiration- long call
07/17/20	15.000	0.00	Various	830.00	...	-830.00	Total of 4 transactions
HEXO 08/21/2020 CALL \$0.50 / CUSIP: / Symbol:							
06/09/20	10.000	699.96	05/19/20	200.00	...	499.96	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HPE 06/19/2020 CALL \$9.50 / CUSIP: / Symbol:							
06/04/20	3.000	299.98	05/22/20	102.00	...	197.98	Option sale to close-call <sup>25</sup>
HQY 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/05/20	5.000	999.96	06/03/20	350.00	...	649.96	Option sale to close-call <sup>25</sup>
IDEX 01/15/2021 CALL \$3.00 / CUSIP: / Symbol:							
12/01/20	7.000	384.97	12/01/20	399.00	...	-14.03	Option sale to close-call <sup>25</sup>
12/01/20	3.000	164.99	11/24/20	360.00	195.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>549.96</b>		<b>759.00</b>	<b>195.01 W</b>	<b>-14.03</b>	
IDEX 01/15/2021 PUT \$3.50 / CUSIP: / Symbol:							
11/25/20	1.000	-25.02	11/24/20	0.00	...	-25.02	Short sale closed- put <sup>25</sup> Option written: 11/24/20
IDEX 07/17/2020 PUT \$1.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	0.00	06/30/20	50.00	...	-50.00	1 of 2 - Option expiration- long put
	5.000	0.00	06/30/20	50.00	...	-50.00	2 of 2 - Option expiration- long put
07/17/20	10.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
INO 09/04/2020 CALL \$15.00 / CUSIP: / Symbol:							
	<i>5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	0.00	08/26/20	120.00	...	-120.00	1 of 5 - Option expiration- long call
	1.000	0.00	08/26/20	40.00	...	-40.00	2 of 5 - Option expiration- long call
	1.000	0.00	08/26/20	40.00	...	-40.00	3 of 5 - Option expiration- long call
	10.000	0.00	08/31/20	70.00	...	-70.00	4 of 5 - Option expiration- long call
	5.000	0.00	08/31/20	35.00	...	-35.00	5 of 5 - Option expiration- long call
09/04/20	20.000	0.00	Various	305.00	...	-305.00	Total of 5 transactions
INTC 01/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
11/05/20	4.000	11.98	07/24/20	208.00	...	-196.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INTC 10/16/2020 CALL \$60.00 / CUSIP: / Symbol:							
7 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	09/02/20	240.00	...	-240.00	1 of 7 - Option expiration- long call
	3.000	0.00	09/02/20	180.00	...	-180.00	2 of 7 - Option expiration- long call
	1.000	0.00	09/02/20	60.00	...	-60.00	3 of 7 - Option expiration- long call
	1.000	0.00	09/02/20	60.00	...	-60.00	4 of 7 - Option expiration- long call
	1.000	0.00	09/02/20	60.00	...	-60.00	5 of 7 - Option expiration- long call
	14.000	0.00	09/16/20	126.00	...	-126.00	6 of 7 - Option expiration- long call
	6.000	0.00	09/16/20	54.00	...	-54.00	7 of 7 - Option expiration- long call
10/16/20	30.000	0.00	Various	780.00	...	-780.00	Total of 7 transactions
IVR 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	10.000	849.96	06/04/20	180.00	...	669.96	1 of 2 - Option sale to close-call <sup>25</sup>
	20.000	1,999.91	06/04/20	360.00	...	1,639.91	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	30.000	2,849.87	Various	540.00	...	2,309.87	Total of 2 transactions
IVR 08/21/2020 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/05/20	27.00	...	-27.00	1 of 2 - Option expiration- long call
	3.000	0.00	08/05/20	27.00	...	-27.00	2 of 2 - Option expiration- long call
08/21/20	6.000	0.00	Various	54.00	...	-54.00	Total of 2 transactions
IVR 11/20/2020 CALL \$3.50 / CUSIP: / Symbol:							
6 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	9.000	44.97	11/09/20	45.00	...	-0.03	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	9.99	11/09/20	10.01	...	-0.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5.00	11/09/20	5.01	...	-0.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.99	11/09/20	5.00	...	-0.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5.00	11/09/20	5.00	...	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5.00	11/09/20	5.00	...	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
11/17/20	15.000	74.95	Various	75.02	...	-0.07	Total of 6 transactions
11/17/20	5.000	24.98	11/09/20	25.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>99.93</b>		<b>100.02</b>	<b>0.02 W</b>	<b>-0.07</b>	

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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JMIA 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
10/16/20	1.000	549.97	10/12/20	330.00	...	219.97	Option sale to close-call <sup>25</sup>
JNJ 01/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/02/20	1.000	389.98	11/20/20	340.00	...	49.98	Option sale to close-call <sup>25</sup>
JPM 08/28/2020 CALL \$102.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	91.99	08/25/20	104.02	...	-12.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	91.99	08/25/20	62.00	...	29.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	2.000	183.98	Various	166.02	...	17.96	Total of 2 transactions
08/27/20	1.000	91.98	08/25/20	134.00	42.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>275.96</b>		<b>300.02</b>	<b>42.02 W</b>	<b>17.96</b>	
KODK 08/07/2020 PUT \$20.00 / CUSIP: / Symbol:							
08/03/20	1.000	499.97	07/31/20	300.00	...	199.97	Option sale to close-put <sup>25</sup>
KODK 08/14/2020 PUT \$5.00 / CUSIP: / Symbol:							
5 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/10/20	20.00	...	-20.00	1 of 5 - Option expiration- long put
	1.000	0.00	08/10/20	20.00	...	-20.00	2 of 5 - Option expiration- long put
	1.000	0.00	08/10/20	20.00	...	-20.00	3 of 5 - Option expiration- long put
	1.000	0.00	08/10/20	20.00	...	-20.00	4 of 5 - Option expiration- long put
	1.000	0.00	08/10/20	20.00	...	-20.00	5 of 5 - Option expiration- long put
08/14/20	5.000	0.00	Various	100.00	...	-100.00	Total of 5 transactions
KODK 12/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	12/07/20	110.00	...	-95.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	14.98	12/07/20	110.00	...	-95.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/20	2.000	29.96	Various	220.00	...	-190.04	Total of 2 transactions
KR 06/26/2020 CALL \$32.00 / CUSIP: / Symbol:							
06/19/20	5.000	324.98	06/18/20	295.00	...	29.98	Option sale to close-call <sup>25</sup>

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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KR 12/04/2020 CALL \$33.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/30/20	76.00	...	-76.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/30/20	76.00	...	-76.00	2 of 2 - Option expiration- long call
12/04/20	2.000	0.00	Various	152.00	...	-152.00	Total of 2 transactions
LABD 06/12/2020 CALL \$5.00 / CUSIP: / Symbol:							
05/27/20	5.000	299.98	05/19/20	225.00	...	74.98	Option sale to close-call <sup>25</sup>
LI 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/26/20	98.00	...	-98.00	Option expiration- long call
LRCX 09/04/2020 CALL \$360.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	754.97	09/02/20	758.00	...	-3.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	754.98	09/02/20	450.00	...	304.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	1,509.95	Various	1,208.00	...	301.95	Total of 2 transactions
LVGO 10/02/2020 CALL \$144.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/30/20	425.02	...	-425.02	Option expiration- long call
10/02/20	1.000	14.98	09/30/20	220.00	205.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>14.98</b>		<b>645.02</b>	<b>205.02 W</b>	<b>-425.02</b>	
LVGO 10/16/2020 CALL \$147.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/14/20	255.00	...	-255.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/14/20	255.00	...	-255.00	2 of 2 - Option expiration- long call
10/16/20	2.000	0.00	Various	510.00	...	-510.00	Total of 2 transactions
M 11/27/2020 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	3.000	59.98	11/19/20	39.00	...	20.98	1 of 4 - Option sale to close-call <sup>25</sup>
	8.000	159.98	11/19/20	104.00	...	55.98	2 of 4 - Option sale to close-call <sup>25</sup>
	14.000	279.96	11/19/20	182.00	...	97.96	3 of 4 - Option sale to close-call <sup>25</sup>
	25.000	499.94	11/20/20	200.00	...	299.94	4 of 4 - Option sale to close-call <sup>25</sup>
11/23/20	50.000	999.86	Various	525.00	...	474.86	Total of 4 transactions

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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M 11/27/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	5.000	274.98	11/19/20	135.00	...	139.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	274.98	11/19/20	135.00	...	139.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/20/20	10.000	549.96	Various	270.00	...	279.96	Total of 2 transactions
11/23/20	10.000	649.96	11/19/20	260.00	...	389.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,199.92</b>		<b>530.00</b>	...	<b>669.92</b>	
MA 08/21/2020 CALL \$340.00 / CUSIP: / Symbol:							
08/21/20	2.000	25.98	08/20/20	176.00	...	-150.02	Option sale to close-call <sup>25</sup>
MA 08/28/2020 CALL \$350.00 / CUSIP: / Symbol:							
08/26/20	1.000	230.98	08/25/20	230.00	...	0.98	Option sale to close-call <sup>25</sup>
MFA 06/19/2020 CALL \$4.00 / CUSIP: / Symbol:							
06/19/20	8.000	0.00	06/08/20	280.00	...	-280.00	Option expiration- long call
MGNI 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/23/20	1.000	443.98	12/21/20	105.00	...	338.98	Option sale to close-call <sup>25</sup>
MITT 06/19/2020 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	69.99	06/04/20	10.00	...	59.99	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	209.99	06/04/20	30.00	...	179.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	209.99	06/04/20	30.00	...	179.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/05/20	7.000	489.97	Various	70.00	...	419.97	Total of 3 transactions
MITT 11/20/2020 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	10.000	49.97	11/09/20	50.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	49.98	11/09/20	50.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/20	20.000	99.95	Various	100.00	...	-0.05	Total of 2 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 07/17/2020 CALL \$95.00 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	6.000	149.97	07/15/20	924.00	...	-774.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	25.00	07/15/20	209.00	...	-184.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	25.00	07/15/20	175.00	...	-150.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/17/20	8.000	199.97	Various	1,308.00	...	-1,108.03	Total of 3 transactions
MRNA 09/04/2020 CALL \$80.00 / CUSIP: / Symbol:							
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/28/20	120.00	...	-120.00	1 of 4 - Option expiration- long call
	2.000	0.00	08/28/20	80.00	...	-80.00	2 of 4 - Option expiration- long call
	1.000	0.00	08/28/20	40.00	...	-40.00	3 of 4 - Option expiration- long call
	1.000	0.00	08/28/20	40.00	...	-40.00	4 of 4 - Option expiration- long call
09/04/20	7.000	0.00	Various	280.00	...	-280.00	Total of 4 transactions
MRNA 09/11/2020 CALL \$68.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/03/20	300.00	...	-300.00	Option expiration- long call
MSFT 01/15/2021 CALL \$270.00 / CUSIP: / Symbol:							
10/02/20	4.000	771.97	07/02/20	772.00	...	-0.03	Option sale to close-call <sup>25</sup>
NET 07/17/2020 CALL \$41.00 / CUSIP: / Symbol:							
11 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/10/20	543.00	...	-543.00	1 of 11 - Option expiration- long call
	10.000	0.00	07/13/20	550.00	...	-550.00	2 of 11 - Option expiration- long call
	12.000	0.00	07/13/20	420.00	...	-420.00	3 of 11 - Option expiration- long call
	8.000	0.00	07/13/20	352.00	...	-352.00	4 of 11 - Option expiration- long call
	7.000	0.00	07/13/20	308.00	...	-308.00	5 of 11 - Option expiration- long call
	8.000	0.00	07/13/20	280.00	...	-280.00	6 of 11 - Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NET 07/17/2020 CALL \$41.00 / CUSIP: / Symbol: (cont'd)							
	5.000	0.00	07/13/20	175.00	...	-175.00	7 of 11 - Option expiration- long call
	2.000	0.00	07/13/20	70.00	...	-70.00	8 of 11 - Option expiration- long call
	1.000	0.00	07/13/20	35.00	...	-35.00	9 of 11 - Option expiration- long call
	1.000	0.00	07/13/20	35.00	...	-35.00	10 of 11 - Option expiration- long call
	1.000	0.00	07/13/20	35.00	...	-35.00	11 of 11 - Option expiration- long call
07/17/20	58.000	0.00	Various	2,803.00	...	-2,803.00	Total of 11 transactions
NET 12/11/2020 CALL \$70.00 / CUSIP: / Symbol:							
12/07/20	1.000	999.96	11/23/20	190.00	...	809.96	Option sale to close-call <sup>25</sup>
NFLX 08/21/2020 CALL \$500.00 / CUSIP: / Symbol:							
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	08/18/20	395.00	...	-195.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	199.99	08/19/20	220.00	...	-20.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	199.99	08/19/20	151.00	...	48.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	399.99	08/19/20	172.00	...	227.99	4 of 4 - Option sale to close-call <sup>25</sup>
08/20/20	5.000	999.96	Various	938.00	...	61.96	Total of 4 transactions
NFLX 08/21/2020 CALL \$505.00 / CUSIP: / Symbol:							
08/20/20	1.000	132.98	08/20/20	97.00	...	35.98	Option sale to close-call <sup>25</sup>
NFLX 08/28/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/25/20	1.000	444.98	08/24/20	400.00	...	44.98	Option sale to close-call <sup>25</sup>
NFLX 08/28/2020 CALL \$540.00 / CUSIP: / Symbol:							
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	233.99	08/27/20	640.00	...	-406.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	233.99	08/27/20	152.00	...	81.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	233.99	08/27/20	152.00	...	81.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	233.99	08/27/20	152.00	...	81.99	4 of 4 - Option sale to close-call <sup>25</sup>
08/27/20	4.000	935.96	Various	1,096.00	...	-160.04	Total of 4 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 09/04/2020 CALL \$600.00 / CUSIP: / Symbol:							
09/04/20	2.000	0.00	09/01/20	352.00	...	-352.00	Option expiration- long call
NIO 07/24/2020 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	4.000	15.98	07/23/20	120.00	...	-104.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.00	07/23/20	30.00	...	-26.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	5.000	19.98	Various	150.00	...	-130.02	Total of 2 transactions
NIO 07/31/2020 CALL \$15.00 / CUSIP: / Symbol:							
24 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	07/10/20	1,192.00	...	-1,192.00	1 of 24 - Option expiration- long call
	6.000	0.00	07/21/20	390.00	...	-390.00	2 of 24 - Option expiration- long call
	1.000	0.00	07/21/20	65.00	...	-65.00	3 of 24 - Option expiration- long call
	1.000	0.00	07/21/20	65.00	...	-65.00	4 of 24 - Option expiration- long call
	19.000	0.00	07/23/20	323.00	...	-323.00	5 of 24 - Option expiration- long call
	10.000	0.00	07/24/20	110.00	...	-110.00	6 of 24 - Option expiration- long call
	6.000	0.00	07/24/20	66.00	...	-66.00	7 of 24 - Option expiration- long call
	5.000	0.00	07/24/20	55.00	...	-55.00	8 of 24 - Option expiration- long call
	5.000	0.00	07/24/20	55.00	...	-55.00	9 of 24 - Option expiration- long call
	1.000	0.00	07/24/20	11.00	...	-11.00	10 of 24 - Option expiration- long call
	1.000	0.00	07/24/20	11.00	...	-11.00	11 of 24 - Option expiration- long call
	1.000	0.00	07/24/20	11.00	...	-11.00	12 of 24 - Option expiration- long call
	28.000	0.00	07/30/20	56.00	...	-56.00	13 of 24 - Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO 07/31/2020 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	11.000	0.00	07/30/20	22.00	...	-22.00	14 of 24 - Option expiration- long call
	10.000	0.00	07/30/20	20.00	...	-20.00	15 of 24 - Option expiration- long call
	10.000	0.00	07/30/20	20.00	...	-20.00	16 of 24 - Option expiration- long call
	10.000	0.00	07/30/20	20.00	...	-20.00	17 of 24 - Option expiration- long call
	10.000	0.00	07/30/20	20.00	...	-20.00	18 of 24 - Option expiration- long call
	6.000	0.00	07/30/20	12.00	...	-12.00	19 of 24 - Option expiration- long call
	8.000	0.00	07/30/20	8.00	...	-8.00	20 of 24 - Option expiration- long call
	4.000	0.00	07/30/20	8.00	...	-8.00	21 of 24 - Option expiration- long call
	1.000	0.00	07/30/20	2.00	...	-2.00	22 of 24 - Option expiration- long call
	1.000	0.00	07/30/20	2.00	...	-2.00	23 of 24 - Option expiration- long call
	1.000	0.00	07/30/20	2.00	...	-2.00	24 of 24 - Option expiration- long call
07/31/20	160.000	0.00	Various	2,546.00	...	-2,546.00	Total of 24 transactions
NIO 08/07/2020 PUT \$13.00 / CUSIP: / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	2.000	21.99	08/04/20	72.00	...	-50.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	10.99	08/04/20	36.00	...	-25.01	2 of 2 - Option sale to close-put <sup>25</sup>
08/06/20	3.000	32.98	Various	108.00	...	-75.02	Total of 2 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO 08/14/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	83.99	08/03/20	60.00	...	23.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	83.99	08/03/20	60.00	...	23.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.00	08/03/20	60.00	...	24.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/04/20	3.000	251.98	Various	180.00	...	71.98	Total of 3 transactions
NIO 08/21/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	4.000	11.99	08/20/20	8.00	...	3.99	1 of 2 - Option sale to close-call <sup>25</sup>
	24.000	71.94	08/20/20	48.00	...	23.94	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	28.000	83.93	Various	56.00	...	27.93	Total of 2 transactions
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	2.000	7.98	08/20/20	4.00	...	3.98	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	8.00	08/20/20	4.00	...	4.00	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	11.98	08/20/20	6.00	...	5.98	3 of 4 - Option sale to close-call <sup>25</sup>
	15.000	59.96	08/20/20	30.00	...	29.96	4 of 4 - Option sale to close-call <sup>25</sup>
08/21/20	22.000	87.92	Various	44.00	...	43.92	Total of 4 transactions
	<b>Security total:</b>	<b>171.85</b>		<b>100.00</b>	...	<b>71.85</b>	
NIO 08/28/2020 CALL \$19.00 / CUSIP: / Symbol:							
08/26/20	1.000	112.98	08/25/20	58.00	...	54.98	Option sale to close-call <sup>25</sup>
NIO 10/02/2020 CALL \$22.00 / CUSIP: / Symbol:							
10/01/20	2.000	67.98	09/30/20	100.00	...	-32.02	Option sale to close-call <sup>25</sup>
NIO 11/13/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	11/12/20	140.00	...	159.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	11/12/20	105.00	...	194.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/13/20	2.000	599.97	Various	245.00	...	354.97	Total of 2 transactions
NIO 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/14/20	2.000	219.98	08/25/20	210.00	...	9.98	Option sale to close-call <sup>25</sup>

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Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 11/20/2020 CALL \$50.00 / CUSIP: / Symbol:							
9 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	69.99	11/18/20	58.01	...	11.98	1 of 9 - Option sale to close-call <sup>25</sup>
	9.000	629.96	11/18/20	360.00	...	269.96	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/19/20	246.05	...	-176.05	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/19/20	211.01	...	-141.01	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/19/20	198.04	...	-128.04	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/19/20	161.04	...	-91.04	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/19/20	57.00	...	13.00	7 of 9 - Option sale to close-call <sup>25</sup>
	7.000	489.96	11/19/20	399.00	...	90.96	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	699.96	11/19/20	570.00	...	129.96	9 of 9 - Option sale to close-call <sup>25</sup>
11/19/20	32.000	2,239.87	Various	2,260.15	...	-20.28	Total of 9 transactions
16 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	70.00	11/16/20	314.00	244.00 W	0.00	1 of 16 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/16/20	229.00	159.00 W	0.00	2 of 16 - Option sale to close-call <sup>25</sup>
	2.000	139.99	11/17/20	544.00	404.01 W	0.00	3 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/18/20	76.00	6.01 W	0.00	4 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.98	11/18/20	320.00	250.02 W	0.00	5 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/18/20	235.00	165.01 W	0.00	6 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/18/20	302.02	232.03 W	0.00	7 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/18/20	217.01	147.02 W	0.00	8 of 16 - Option sale to close-call <sup>25</sup>
	2.000	139.99	11/18/20	508.01	368.02 W	0.00	9 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/18/20	272.03	202.04 W	0.00	10 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/18/20	187.02	117.03 W	0.00	11 of 16 - Option sale to close-call <sup>25</sup>

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 11/20/2020 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	1.000	69.99	11/18/20	224.01	154.02 W	0.00	12 of 16 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/18/20	224.01	154.01 W	0.00	13 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/19/20	259.04	189.05 W	0.00	14 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/19/20	174.03	104.04 W	0.00	15 of 16 - Option sale to close-call <sup>25</sup>
	1.000	69.98	11/19/20	211.02	141.04 W	0.00	16 of 16 - Option sale to close-call <sup>25</sup>
11/19/20	18.000	1,259.85	Various	4,296.20	3,036.35 W	0.00	Total of 16 transactions
	<b>Security total:</b>	<b>3,499.72</b>		<b>6,556.35</b>	<b>3,036.35 W</b>	<b>-20.28</b>	
NIO 11/27/2020 CALL \$54.00 / CUSIP: / Symbol:							
11/23/20	1.000	274.98	11/23/20	132.00	...	142.98	Option sale to close-call <sup>25</sup>
11/27/20	1.000	76.98	11/23/20	132.00	...	-55.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>351.96</b>		<b>264.00</b>	<b>...</b>	<b>87.96</b>	
NKLA 06/26/2020 CALL \$72.00 / CUSIP: / Symbol:							
06/25/20	1.000	299.98	06/24/20	250.00	...	49.98	Option sale to close-call <sup>25</sup>
NKLA 09/11/2020 CALL \$50.00 / CUSIP: / Symbol:							
09/08/20	1.000	702.97	09/08/20	431.00	...	271.97	Option sale to close-call <sup>25</sup>
NKLA 09/25/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/22/20	3.000	374.98	09/22/20	270.00	...	104.98	Option sale to close-call <sup>25</sup>
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	09/22/20	180.00	...	-180.00	1 of 2 - Option expiration- long call
	4.000	0.00	09/23/20	52.00	...	-52.00	2 of 2 - Option expiration- long call
09/25/20	6.000	0.00	Various	232.00	...	-232.00	Total of 2 transactions
	<b>Security total:</b>	<b>374.98</b>		<b>502.00</b>	<b>...</b>	<b>-127.02</b>	
NKLA 09/25/2020 PUT \$20.00 / CUSIP: / Symbol:							
09/22/20	2.000	39.98	09/21/20	146.00	...	-106.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 10/16/2020 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/16/20	45.00	...	-45.00	1 of 2 - Option expiration- long put
	5.000	0.00	06/17/20	250.00	...	-250.00	2 of 2 - Option expiration- long put
10/16/20	6.000	0.00	Various	295.00	...	-295.00	Total of 2 transactions
NKLA 12/04/2020 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	362.99	11/30/20	220.00	...	142.99	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	725.97	11/30/20	440.00	...	285.97	2 of 2 - Option sale to close-put <sup>25</sup>
12/01/20	3.000	1,088.96	Various	660.00	...	428.96	Total of 2 transactions
NVAX 09/18/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	449.99	09/16/20	465.00	...	-15.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	899.97	09/16/20	400.00	...	499.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/17/20	3.000	1,349.96	Various	865.00	...	484.96	Total of 2 transactions
NVDA 09/18/2020 CALL \$600.00 / CUSIP: / Symbol:							
18 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/04/20	586.00	...	-586.00	1 of 18 - Option expiration- long call
	11.000	0.00	09/15/20	330.00	...	-330.00	2 of 18 - Option expiration- long call
	9.000	0.00	09/15/20	252.00	...	-252.00	3 of 18 - Option expiration- long call
	2.000	0.00	09/15/20	60.00	...	-60.00	4 of 18 - Option expiration- long call
	2.000	0.00	09/15/20	60.00	...	-60.00	5 of 18 - Option expiration- long call
	1.000	0.00	09/15/20	28.00	...	-28.00	6 of 18 - Option expiration- long call
	6.000	0.00	09/16/20	66.00	...	-66.00	7 of 18 - Option expiration- long call
	5.000	0.00	09/16/20	60.00	...	-60.00	8 of 18 - Option expiration- long call

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NVDA 09/18/2020 CALL \$600.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	09/16/20	36.00	...	-36.00	9 of 18 - Option expiration- long call
	3.000	0.00	09/16/20	36.00	...	-36.00	10 of 18 - Option expiration- long call
	2.000	0.00	09/16/20	24.00	...	-24.00	11 of 18 - Option expiration- long call
	1.000	0.00	09/16/20	12.00	...	-12.00	12 of 18 - Option expiration- long call
	1.000	0.00	09/16/20	12.00	...	-12.00	13 of 18 - Option expiration- long call
	1.000	0.00	09/16/20	12.00	...	-12.00	14 of 18 - Option expiration- long call
	1.000	0.00	09/16/20	12.00	...	-12.00	15 of 18 - Option expiration- long call
	1.000	0.00	09/16/20	12.00	...	-12.00	16 of 18 - Option expiration- long call
	1.000	0.00	09/16/20	12.00	...	-12.00	17 of 18 - Option expiration- long call
	1.000	0.00	09/16/20	12.00	...	-12.00	18 of 18 - Option expiration- long call
09/18/20	53.000	0.00	Various	1,622.00	...	-1,622.00	Total of 18 transactions
NVDA 09/18/2020 CALL \$700.00 / CUSIP: / Symbol:							
09/02/20	6.000	2,519.93	08/20/20	600.00	...	1,919.93	Option sale to close-call <sup>25</sup>
NVDA 09/25/2020 CALL \$510.00 / CUSIP: / Symbol:							
09/24/20	1.000	293.98	09/22/20	1,278.02	...	-984.04	Option sale to close-call <sup>25</sup>
09/24/20	1.000	239.98	09/22/20	763.00	523.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>533.96</b>		<b>2,041.02</b>	<b>523.02 W</b>	<b>-984.04</b>	

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Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 09/25/2020 CALL \$530.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	2.000	357.98	09/24/20	0.00	...	357.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/22/20
	2.000	357.98	09/24/20	0.00	...	357.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/22/20
09/25/20	4.000	715.96	Various	0.00	...	715.96	Total of 2 transactions
NVDA 09/25/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/24/20	1.000	5.98	09/22/20	113.02	...	-107.04	Option sale to close-call <sup>25</sup>
09/24/20	1.000	10.98	09/22/20	61.00	50.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>16.96</b>		<b>174.02</b>	<b>50.02 W</b>	<b>-107.04</b>	
ORCL 06/26/2020 CALL \$53.00 / CUSIP: / Symbol:							
06/22/20	1.000	217.98	06/17/20	99.00	...	118.98	Option sale to close-call <sup>25</sup>
ORCL 08/21/2020 CALL \$56.00 / CUSIP: / Symbol:							
08/21/20	5.000	0.00	08/20/20	90.00	...	-90.00	Option expiration- long call
OSTK 08/28/2020 PUT \$80.00 / CUSIP: / Symbol:							
08/28/20	5.000	0.00	08/27/20	150.00	...	-150.00	Option expiration- long put
OSTK 09/04/2020 PUT \$70.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	94.98	09/02/20	90.00	...	4.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	94.98	09/02/20	90.00	...	4.98	2 of 2 - Option sale to close-put <sup>25</sup>
09/02/20	2.000	189.96	Various	180.00	...	9.96	Total of 2 transactions
OXY 09/18/2020 CALL \$12.00 / CUSIP: / Symbol:							
09/16/20	2.000	31.98	09/09/20	40.00	...	-8.02	Option sale to close-call <sup>25</sup>
PFE 06/12/2020 CALL \$36.00 / CUSIP: / Symbol:							
06/12/20	10.000	0.00	06/03/20	530.00	...	-530.00	Option expiration- long call

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 06/12/2020 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/01/20	125.00	...	-125.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/01/20	25.00	...	-25.00	2 of 2 - Option expiration- long call
06/12/20	6.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
PFE 06/12/2020 CALL \$38.00 / CUSIP: / Symbol:							
06/12/20	2.000	0.00	05/26/20	124.00	...	-124.00	Option expiration- long call
PFE 07/24/2020 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	61.98	07/21/20	16.00	...	45.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	69.98	07/21/20	11.00	...	58.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	131.96	Various	27.00	...	104.96	Total of 2 transactions
PFE 07/31/2020 CALL \$39.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/30/20	45.00	...	-45.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/30/20	9.00	...	-9.00	2 of 2 - Option expiration- long call
07/31/20	6.000	0.00	Various	54.00	...	-54.00	Total of 2 transactions
PFE 07/31/2020 CALL \$40.00 / CUSIP: / Symbol:							
6 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/22/20	70.00	...	-70.00	1 of 6 - Option expiration- long call
	1.000	0.00	07/24/20	25.00	...	-25.00	2 of 6 - Option expiration- long call
	1.000	0.00	07/24/20	22.00	...	-22.00	3 of 6 - Option expiration- long call
	2.000	0.00	07/27/20	28.00	...	-28.00	4 of 6 - Option expiration- long call
	11.000	0.00	07/30/20	55.00	...	-55.00	5 of 6 - Option expiration- long call
	3.000	0.00	07/30/20	15.00	...	-15.00	6 of 6 - Option expiration- long call
07/31/20	20.000	0.00	Various	215.00	...	-215.00	Total of 6 transactions
PINS 09/04/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/04/20	5.000	0.00	09/01/20	290.00	...	-290.00	Option expiration- long call
PRA 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/05/20	5.000	124.98	05/14/20	100.00	...	24.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PRA 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/08/20	40.00	...	-40.00	1 of 4 - Option expiration- long call
	2.000	0.00	05/11/20	70.00	...	-70.00	2 of 4 - Option expiration- long call
	1.000	0.00	05/11/20	40.00	...	-40.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/11/20	30.00	...	-30.00	4 of 4 - Option expiration- long call
06/19/20	5.000	0.00	Various	180.00	...	-180.00	Total of 4 transactions
PTON 06/05/2020 CALL \$43.00 / CUSIP: / Symbol:							
05/28/20	2.000	339.98	05/26/20	336.00	...	3.98	Option sale to close-call <sup>25</sup>
05/28/20	2.000	339.99	05/26/20	384.00	44.01 W	0.00	Option sale to close-call <sup>25</sup>
05/29/20	2.000	399.98	05/26/20	344.01	...	55.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,079.95</b>		<b>1,064.01</b>	<b>44.01 W</b>	<b>59.95</b>	
PTON 09/04/2020 CALL \$100.00 / CUSIP: / Symbol:							
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	09/01/20	39.00	...	90.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	130.00	09/01/20	39.00	...	91.00	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	519.98	09/01/20	156.00	...	363.98	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	519.98	09/01/20	156.00	...	363.98	4 of 4 - Option sale to close-call <sup>25</sup>
09/02/20	10.000	1,299.95	Various	390.00	...	909.95	Total of 4 transactions
PTON 09/11/2020 CALL \$105.00 / CUSIP: / Symbol:							
09/02/20	2.000	1,649.95	09/01/20	536.00	...	1,113.95	Option sale to close-call <sup>25</sup>
09/10/20	1.000	349.98	09/01/20	268.00	...	81.98	Option sale to close-call <sup>25</sup>
09/11/20	2.000	0.00	09/01/20	536.00	...	-536.00	Option expiration- long call
	<b>Security total:</b>	<b>1,999.93</b>		<b>1,340.00</b>	...	<b>659.93</b>	
QCOM 01/15/2021 CALL \$170.00 / CUSIP: / Symbol:							
6 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	08/21/20	53.00	...	21.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	74.98	08/21/20	53.00	...	21.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	74.98	08/21/20	53.00	...	21.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	74.98	08/21/20	53.00	...	21.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	74.98	08/21/20	53.00	...	21.98	5 of 6 - Option sale to close-call <sup>25</sup>

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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QCOM 01/15/2021 CALL \$170.00 / CUSIP: / Symbol: (cont'd)							
	1.000	74.98	08/21/20	53.00	...	21.98	6 of 6 - Option sale to close-call <sup>25</sup>
09/29/20	6.000	449.88	Various	318.00	...	131.88	Total of 6 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	85.98	08/21/20	53.00	...	32.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	85.98	08/21/20	53.00	...	32.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/20	2.000	171.96	Various	106.00	...	65.96	Total of 2 transactions
	<b>Security total:</b>	<b>621.84</b>		<b>424.00</b>	...	<b>197.84</b>	
QCOM 05/29/2020 CALL \$80.00 / CUSIP: / Symbol:							
05/18/20	1.000	194.98	05/18/20	137.00	...	57.98	Option sale to close-call <sup>25</sup>
QCOM 06/05/2020 CALL \$79.00 / CUSIP: / Symbol:							
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	1.000	149.99	05/27/20	79.02	...	70.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.99	05/27/20	79.00	...	70.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/20	2.000	299.98	Various	158.02	...	141.96	Total of 2 transactions
05/27/20	1.000	149.98	05/22/20	150.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>449.96</b>		<b>308.02</b>	<b>0.02 W</b>	<b>141.96</b>	
QCOM 06/19/2020 CALL \$90.00 / CUSIP: / Symbol:							
06/09/20	2.000	459.97	06/08/20	418.00	...	41.97	Option sale to close-call <sup>25</sup>
06/10/20	3.000	767.97	06/08/20	627.00	...	140.97	Option sale to close-call <sup>25</sup>
	9 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	183.99	06/11/20	146.02	...	37.97	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	183.99	06/11/20	146.02	...	37.97	2 of 9 - Option sale to close-call <sup>25</sup>
	3.000	551.98	06/11/20	438.03	...	113.95	3 of 9 - Option sale to close-call <sup>25</sup>
	4.000	619.98	06/11/20	256.00	...	363.98	4 of 9 - Option sale to close-call <sup>25</sup>
	3.000	464.98	06/12/20	150.00	...	314.98	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	267.99	06/15/20	62.00	...	205.99	6 of 9 - Option sale to close-call <sup>25</sup>
	3.000	464.98	06/15/20	93.00	...	371.98	7 of 9 - Option sale to close-call <sup>25</sup>
	8.000	1,071.96	06/15/20	248.00	...	823.96	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	1,339.95	06/15/20	270.00	...	1,069.95	9 of 9 - Option sale to close-call <sup>25</sup>
06/16/20	35.000	5,149.80	Various	1,809.07	...	3,340.73	Total of 9 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QCOM 06/19/2020 CALL \$90.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	183.98	06/08/20	209.00	25.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	183.98	06/08/20	209.00	25.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	551.97	06/08/20	627.00	75.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/16/20	5.000	919.93	Various	1,045.00	125.07 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>7,297.67</b>		<b>3,899.07</b>	<b>125.07 W</b>	<b>3,523.67</b>	
QCOM 07/10/2020 CALL \$89.00 / CUSIP: / Symbol:							
06/30/20	1.000	399.98	06/26/20	242.00	...	157.98	Option sale to close-call <sup>25</sup>
QCOM 08/14/2020 CALL \$112.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	249.99	08/11/20	167.00	...	82.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	249.98	08/11/20	72.00	...	177.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	499.97	Various	239.00	...	260.97	Total of 2 transactions
QCOM 08/14/2020 CALL \$114.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	249.98	08/12/20	143.00	...	106.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	249.99	08/12/20	142.00	...	107.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	499.97	Various	285.00	...	214.97	Total of 2 transactions
QCOM 08/21/2020 PUT \$100.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/20/20	3.00	...	-3.00	Option expiration- long put
QCOM 12/24/2020 CALL \$155.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/16/20	88.00	...	-88.00	Option expiration- long call
QQQ 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/02/20	2.000	671.97	09/01/20	536.00	...	135.97	Option sale to close-call <sup>25</sup>
RKT 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/24/20	400.00	...	-400.00	1 of 6 - Option expiration- long call
	4.000	0.00	09/01/20	1,680.00	...	-1,680.00	2 of 6 - Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RKT 09/18/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	09/04/20	336.00	...	-336.00	3 of 6 - Option expiration- long call
	12.000	0.00	09/11/20	84.00	...	-84.00	4 of 6 - Option expiration- long call
	8.000	0.00	09/14/20	24.00	...	-24.00	5 of 6 - Option expiration- long call
	50.000	0.00	09/15/20	100.00	...	-100.00	6 of 6 - Option expiration- long call
09/18/20	79.000	0.00	Various	2,624.00	...	-2,624.00	Total of 6 transactions
RKT 11/13/2020 CALL \$21.00 / CUSIP: / Symbol:							
5 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	71.00	11/09/20	148.00	...	-77.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	71.00	11/11/20	129.02	...	-58.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	71.00	11/11/20	53.00	...	18.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	70.99	11/11/20	52.00	...	18.99	4 of 5 - Option sale to close-call <sup>25</sup>
	3.000	212.98	11/11/20	159.00	...	53.98	5 of 5 - Option sale to close-call <sup>25</sup>
11/12/20	7.000	496.97	Various	541.02	...	-44.05	Total of 5 transactions
11/12/20	1.000	70.98	11/09/20	148.00	77.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>567.95</b>		<b>689.02</b>	<b>77.02 W</b>	<b>-44.05</b>	
ROKU 07/10/2020 CALL \$144.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	369.99	07/09/20	293.00	...	76.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	739.97	07/09/20	422.00	...	317.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/20	3.000	1,109.96	Various	715.00	...	394.96	Total of 2 transactions
ROKU 07/10/2020 CALL \$146.00 / CUSIP: / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	07/09/20	305.00	...	94.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	399.98	07/09/20	216.00	...	183.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	399.98	07/09/20	216.00	...	183.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/20	3.000	1,199.94	Various	737.00	...	462.94	Total of 3 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 08/07/2020 PUT \$145.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	3.98	08/06/20	78.00	...	-74.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	3.98	08/06/20	78.00	...	-74.02	2 of 2 - Option sale to close-put <sup>25</sup>
08/07/20	2.000	7.96	Various	156.00	...	-148.04	Total of 2 transactions
ROKU 08/14/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1.00	08/12/20	500.02	...	-499.02	1 of 2 - Option sale to close-call <sup>25</sup>
	8.000	7.97	08/12/20	408.00	...	-400.03	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	9.000	8.97	Various	908.02	...	-899.05	Total of 2 transactions
08/14/20	1.000	0.98	08/07/20	450.00	449.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>9.95</b>		<b>1,358.02</b>	<b>449.02 W</b>	<b>-899.05</b>	
ROKU 08/14/2020 PUT \$140.00 / CUSIP: / Symbol:							
08/14/20	1.000	4.98	08/10/20	129.00	...	-124.02	Option sale to close-put <sup>25</sup>
ROKU 09/04/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/01/20	1.000	999.96	08/31/20	525.00	...	474.96	Option sale to close-call <sup>25</sup>
ROKU 09/04/2020 CALL \$200.00 / CUSIP: / Symbol:							
6 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	62.00	08/28/20	179.00	...	-117.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	62.00	08/28/20	179.00	...	-117.00	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	123.99	08/31/20	156.00	...	-32.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	62.00	08/31/20	79.00	...	-17.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	62.00	08/31/20	79.00	...	-17.00	5 of 6 - Option sale to close-call <sup>25</sup>
	10.000	619.95	08/31/20	290.00	...	329.95	6 of 6 - Option sale to close-call <sup>25</sup>
08/31/20	16.000	991.94	Various	962.00	...	29.94	Total of 6 transactions
ROKU 09/18/2020 CALL \$170.00 / CUSIP: / Symbol:							
09/18/20	1.000	13.98	09/15/20	365.00	...	-351.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 09/25/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/21/20	320.00	...	-320.00	1 of 2 - Option expiration- long call
	5.000	0.00	09/24/20	90.00	...	-90.00	2 of 2 - Option expiration- long call
09/25/20	6.000	0.00	Various	410.00	...	-410.00	Total of 2 transactions
ROKU 10/02/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/01/20	2.000	419.98	09/30/20	374.00	...	45.98	Option sale to close-call <sup>25</sup>
ROKU 10/16/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/01/20	1.000	834.97	09/30/20	585.00	...	249.97	Option sale to close-call <sup>25</sup>
ROKU 11/20/2020 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	259.99	11/19/20	252.04	...	7.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	259.98	11/19/20	237.01	...	22.97	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	519.98	11/19/20	374.00	...	145.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/19/20	4.000	1,039.95	Various	863.05	...	176.90	Total of 3 transactions
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	324.98	11/19/20	340.00	15.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	259.98	11/19/20	325.02	65.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	259.99	11/19/20	310.00	50.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/19/20	3.000	844.95	Various	975.02	130.07 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,884.90</b>		<b>1,838.07</b>	<b>130.07 W</b>	<b>176.90</b>	
ROKU 11/27/2020 CALL \$300.00 / CUSIP: / Symbol:							
10 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/23/20	185.00	...	-185.00	1 of 10 - Option expiration- long call
	2.000	0.00	11/23/20	168.00	...	-168.00	2 of 10 - Option expiration- long call
	3.000	0.00	11/24/20	84.00	...	-84.00	3 of 10 - Option expiration- long call
	2.000	0.00	11/24/20	60.00	...	-60.00	4 of 10 - Option expiration- long call

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 11/27/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/24/20	30.00	...	-30.00	5 of 10 - Option expiration- long call
	30.000	0.00	11/25/20	420.00	...	-420.00	6 of 10 - Option expiration- long call
	13.000	0.00	11/25/20	195.00	...	-195.00	7 of 10 - Option expiration- long call
	10.000	0.00	11/25/20	180.00	...	-180.00	8 of 10 - Option expiration- long call
	2.000	0.00	11/25/20	30.00	...	-30.00	9 of 10 - Option expiration- long call
	1.000	0.00	11/25/20	14.00	...	-14.00	10 of 10 - Option expiration- long call
11/27/20	65.000	0.00	Various	1,366.00	...	-1,366.00	Total of 10 transactions
SDC 05/22/2020 CALL \$10.50 / CUSIP: / Symbol:							
8 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/12/20	85.00	...	-85.00	1 of 8 - Option expiration- long call
	8.000	0.00	05/14/20	40.00	...	-40.00	2 of 8 - Option expiration- long call
	4.000	0.00	05/14/20	20.00	...	-20.00	3 of 8 - Option expiration- long call
	4.000	0.00	05/14/20	20.00	...	-20.00	4 of 8 - Option expiration- long call
	1.000	0.00	05/14/20	5.00	...	-5.00	5 of 8 - Option expiration- long call
	1.000	0.00	05/14/20	5.00	...	-5.00	6 of 8 - Option expiration- long call
	1.000	0.00	05/14/20	5.00	...	-5.00	7 of 8 - Option expiration- long call
	1.000	0.00	05/14/20	5.00	...	-5.00	8 of 8 - Option expiration- long call
05/22/20	21.000	0.00	Various	185.00	...	-185.00	Total of 8 transactions
SDC 05/22/2020 CALL \$11.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/12/20	65.00	...	-65.00	Option expiration- long call
SDC 06/05/2020 CALL \$7.50 / CUSIP: / Symbol:							
05/26/20	2.000	119.98	05/19/20	112.00	...	7.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOP 08/07/2020 CALL \$1,200.00 / CUSIP: / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	2.000	39.99	08/05/20	210.00	...	-170.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	08/05/20	190.00	...	-170.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	19.99	08/05/20	105.00	...	-85.01	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	4.000	79.98	Various	505.00	...	-425.02	Total of 3 transactions
SHOP 10/02/2020 CALL \$1,075.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	10/01/20	530.00	...	-530.00	Option expiration- long call
SHOP 11/06/2020 PUT \$800.00 / CUSIP: / Symbol:							
11/06/20	1.000	0.00	11/02/20	235.00	...	-235.00	Option expiration- long put
SLB 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
5 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	3.000	68.99	06/08/20	483.00	414.01 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	45.99	06/09/20	204.00	158.01 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	114.99	06/11/20	345.00	230.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	10.000	229.98	06/16/20	900.00	670.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	45.99	06/26/20	90.00	44.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/18/20	22.000	505.94	Various	2,022.00	1,516.06 W	0.00	Total of 5 transactions
SMAR 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	06/04/20	150.00	...	-75.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	74.98	06/04/20	150.00	...	-75.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	2.000	149.96	Various	300.00	...	-150.04	Total of 2 transactions
SMAR 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	06/04/20	75.00	...	-75.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/04/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
06/19/20	5.000	0.00	Various	125.00	...	-125.00	Total of 2 transactions

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Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 01/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	40.98	11/18/20	17.00	...	23.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	40.98	11/18/20	17.00	...	23.98	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	122.99	11/18/20	51.00	...	71.99	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	204.98	11/18/20	85.00	...	119.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/20/20	10.000	409.93	Various	170.00	...	239.93	Total of 4 transactions
SNOW 11/13/2020 CALL \$300.00 / CUSIP: / Symbol:							
11 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/09/20	55.00	...	-55.00	1 of 11 - Option expiration- long call
	4.000	0.00	11/10/20	100.00	...	-100.00	2 of 11 - Option expiration- long call
	3.000	0.00	11/10/20	51.00	...	-51.00	3 of 11 - Option expiration- long call
	2.000	0.00	11/10/20	34.00	...	-34.00	4 of 11 - Option expiration- long call
	2.000	0.00	11/10/20	20.00	...	-20.00	5 of 11 - Option expiration- long call
	8.000	0.00	11/11/20	80.00	...	-80.00	6 of 11 - Option expiration- long call
	10.000	0.00	11/11/20	40.00	...	-40.00	7 of 11 - Option expiration- long call
	3.000	0.00	11/11/20	30.00	...	-30.00	8 of 11 - Option expiration- long call
	1.000	0.00	11/11/20	10.00	...	-10.00	9 of 11 - Option expiration- long call
	31.000	0.00	11/12/20	155.00	...	-155.00	10 of 11 - Option expiration- long call
	4.000	0.00	11/12/20	20.00	...	-20.00	11 of 11 - Option expiration- long call
11/13/20	69.000	0.00	Various	595.00	...	-595.00	Total of 11 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 11/27/2020 CALL \$27.50 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	61.99	11/25/20	54.00	...	7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	61.99	11/25/20	54.00	...	7.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/27/20	2.000	123.98	Various	108.00	...	15.98	Total of 2 transactions
SPG 08/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/15/20	2.000	859.97	07/10/20	860.00	...	-0.03	Option sale to close-call <sup>25</sup>
SPOT 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	53.98	08/24/20	160.00	106.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	53.98	08/24/20	186.02	132.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	107.96	Various	346.02	238.06 W	0.00	Total of 2 transactions
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/24/20	182.04	...	-182.04	1 of 3 - Option expiration- long call
	2.000	0.00	08/24/20	100.00	...	-100.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/24/20	50.00	...	-50.00	3 of 3 - Option expiration- long call
08/28/20	4.000	0.00	Various	332.04	...	-332.04	Total of 3 transactions
	<b>Security total:</b>	<b>107.96</b>		<b>678.06</b>	<b>238.06 W</b>	<b>-332.04</b>	
SPY 01/15/2021 PUT \$340.00 / CUSIP: / Symbol:							
12/09/20	1.000	290.98	12/08/20	232.00	...	58.98	Option sale to close-put <sup>25</sup>
SPY 01/15/2021 PUT \$344.00 / CUSIP: / Symbol:							
12/02/20	1.000	393.98	11/24/20	540.00	...	-146.02	Option sale to close-put <sup>25</sup>
SPY 06/12/2020 PUT \$294.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	105.99	06/11/20	16.00	...	89.99	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	211.99	06/11/20	118.00	...	93.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/11/20	3.000	317.98	Various	134.00	...	183.98	Total of 2 transactions
06/12/20	3.000	50.98	06/11/20	177.00	...	-126.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>368.96</b>		<b>311.00</b>	...	<b>57.96</b>	

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/12/2020 PUT \$308.00 / CUSIP: / Symbol:							
06/11/20	1.000	124.98	06/10/20	32.00	...	92.98	Option sale to close-put <sup>25</sup>
SPY 06/15/2020 PUT \$288.00 / CUSIP: / Symbol:							
06/12/20	1.000	149.98	06/12/20	53.00	...	96.98	Option sale to close-put <sup>25</sup>
SPY 06/19/2020 PUT \$250.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/15/20	95.00	...	-95.00	1 of 2 - Option expiration- long put
	5.000	0.00	06/16/20	15.00	...	-15.00	2 of 2 - Option expiration- long put
06/19/20	10.000	0.00	Various	110.00	...	-110.00	Total of 2 transactions
SPY 06/26/2020 PUT \$280.00 / CUSIP: / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	06/24/20	3.00	...	1.98	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	19.98	06/24/20	12.00	...	7.98	2 of 3 - Option sale to close-put <sup>25</sup>
	25.000	124.94	06/24/20	75.00	...	49.94	3 of 3 - Option sale to close-put <sup>25</sup>
06/24/20	30.000	149.90	Various	90.00	...	59.90	Total of 3 transactions
SPY 06/26/2020 PUT \$300.00 / CUSIP: / Symbol:							
06/24/20	1.000	209.98	06/24/20	64.00	...	145.98	Option sale to close-put <sup>25</sup>
SPY 06/29/2020 PUT \$270.00 / CUSIP: / Symbol:							
06/29/20	45.000	0.00	06/25/20	225.00	...	-225.00	Option expiration- long put
SPY 07/31/2020 PUT \$300.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/30/20	15.00	...	-15.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/30/20	3.00	...	-3.00	2 of 2 - Option expiration- long put
07/31/20	6.000	0.00	Various	18.00	...	-18.00	Total of 2 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 09/18/2020 CALL \$340.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/16/20	404.00	...	-404.00	1 of 3 - Option expiration- long call
	5.000	0.00	09/17/20	365.00	...	-365.00	2 of 3 - Option expiration- long call
	3.000	0.00	09/17/20	102.00	...	-102.00	3 of 3 - Option expiration- long call
09/18/20	10.000	0.00	Various	871.00	...	-871.00	Total of 3 transactions
SPY 09/18/2020 PUT \$300.00 / CUSIP: / Symbol:							
09/03/20	10.000	1,129.95	08/31/20	480.00	...	649.95	Option sale to close-put <sup>25</sup>
SPY 09/25/2020 PUT \$250.00 / CUSIP: / Symbol:							
09/04/20	10.000	409.97	08/31/20	210.00	...	199.97	Option sale to close-put <sup>25</sup>
SPY 11/20/2020 PUT \$200.00 / CUSIP: / Symbol:							
29 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	11.000	0.00	09/24/20	363.00	...	-363.00	1 of 29 - Option expiration- long put
	3.000	0.00	09/24/20	99.00	...	-99.00	2 of 29 - Option expiration- long put
	1.000	0.00	09/24/20	33.00	...	-33.00	3 of 29 - Option expiration- long put
	20.000	0.00	09/25/20	480.00	...	-480.00	4 of 29 - Option expiration- long put
	35.000	0.00	10/01/20	385.00	...	-385.00	5 of 29 - Option expiration- long put
	7.000	0.00	10/01/20	77.00	...	-77.00	6 of 29 - Option expiration- long put
	10.000	0.00	10/02/20	130.00	...	-130.00	7 of 29 - Option expiration- long put
	10.000	0.00	10/02/20	130.00	...	-130.00	8 of 29 - Option expiration- long put
	3.000	0.00	10/02/20	39.00	...	-39.00	9 of 29 - Option expiration- long put
	26.000	0.00	10/06/20	234.00	...	-234.00	10 of 29 - Option expiration- long put
	20.000	0.00	10/06/20	180.00	...	-180.00	11 of 29 - Option expiration- long put

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 11/20/2020 PUT \$200.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	10/06/20	36.00	...	-36.00	12 of 29 - Option expiration- long put
	15.000	0.00	10/09/20	90.00	...	-90.00	13 of 29 - Option expiration- long put
	14.000	0.00	10/09/20	84.00	...	-84.00	14 of 29 - Option expiration- long put
	11.000	0.00	10/09/20	66.00	...	-66.00	15 of 29 - Option expiration- long put
	9.000	0.00	10/09/20	54.00	...	-54.00	16 of 29 - Option expiration- long put
	1.000	0.00	10/09/20	6.00	...	-6.00	17 of 29 - Option expiration- long put
	50.000	0.00	10/12/20	300.00	...	-300.00	18 of 29 - Option expiration- long put
	50.000	0.00	10/12/20	300.00	...	-300.00	19 of 29 - Option expiration- long put
	240.000	0.00	11/05/20	480.00	...	-480.00	20 of 29 - Option expiration- long put
	60.000	0.00	11/05/20	120.00	...	-120.00	21 of 29 - Option expiration- long put
	12.000	0.00	11/05/20	24.00	...	-24.00	22 of 29 - Option expiration- long put
	15.000	0.00	11/06/20	15.00	...	-15.00	23 of 29 - Option expiration- long put
	7.000	0.00	11/06/20	14.00	...	-14.00	24 of 29 - Option expiration- long put
	18.000	0.00	11/09/20	18.00	...	-18.00	25 of 29 - Option expiration- long put
	7.000	0.00	11/09/20	7.00	...	-7.00	26 of 29 - Option expiration- long put
	1.000	0.00	11/09/20	1.00	...	-1.00	27 of 29 - Option expiration- long put
	5.000	0.00	11/11/20	5.00	...	-5.00	28 of 29 - Option expiration- long put

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 11/20/2020 PUT \$200.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	11/11/20	4.00	...	-4.00	29 of 29 - Option expiration- long put
11/20/20	669.000	0.00	Various	3,774.00	...	-3,774.00	Total of 29 transactions
SPY 11/20/2020 PUT \$300.00 / CUSIP: / Symbol:							
15 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/30/20	367.00	...	-367.00	1 of 15 - Option expiration- long put
	3.000	0.00	10/12/20	396.00	...	-396.00	2 of 15 - Option expiration- long put
	2.000	0.00	10/12/20	282.00	...	-282.00	3 of 15 - Option expiration- long put
	7.000	0.00	11/05/20	252.00	...	-252.00	4 of 15 - Option expiration- long put
	3.000	0.00	11/05/20	108.00	...	-108.00	5 of 15 - Option expiration- long put
	1.000	0.00	11/05/20	35.00	...	-35.00	6 of 15 - Option expiration- long put
	5.000	0.00	11/06/20	110.00	...	-110.00	7 of 15 - Option expiration- long put
	5.000	0.00	11/06/20	110.00	...	-110.00	8 of 15 - Option expiration- long put
	28.000	0.00	11/09/20	364.00	...	-364.00	9 of 15 - Option expiration- long put
	5.000	0.00	11/09/20	65.00	...	-65.00	10 of 15 - Option expiration- long put
	4.000	0.00	11/11/20	44.00	...	-44.00	11 of 15 - Option expiration- long put
	4.000	0.00	11/11/20	44.00	...	-44.00	12 of 15 - Option expiration- long put
	2.000	0.00	11/11/20	22.00	...	-22.00	13 of 15 - Option expiration- long put
	70.000	0.00	11/13/20	490.00	...	-490.00	14 of 15 - Option expiration- long put

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 11/20/2020 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	55.000	0.00	11/17/20	110.00	...	-110.00	15 of 15 - Option expiration- long put
11/20/20	195.000	0.00	Various	2,799.00	...	-2,799.00	Total of 15 transactions
SPY 11/25/2020 PUT \$300.00 / CUSIP: / Symbol:							
29 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	11/11/20	320.00	...	-320.00	1 of 29 - Option expiration- long put
	20.000	0.00	11/16/20	120.00	...	-120.00	2 of 29 - Option expiration- long put
	25.000	0.00	11/17/20	100.00	...	-100.00	3 of 29 - Option expiration- long put
	14.000	0.00	11/17/20	70.00	...	-70.00	4 of 29 - Option expiration- long put
	13.000	0.00	11/17/20	65.00	...	-65.00	5 of 29 - Option expiration- long put
	7.000	0.00	11/17/20	35.00	...	-35.00	6 of 29 - Option expiration- long put
	5.000	0.00	11/17/20	25.00	...	-25.00	7 of 29 - Option expiration- long put
	1.000	0.00	11/17/20	5.00	...	-5.00	8 of 29 - Option expiration- long put
	200.000	0.00	11/18/20	600.00	...	-600.00	9 of 29 - Option expiration- long put
	197.000	0.00	11/20/20	394.00	...	-394.00	10 of 29 - Option expiration- long put
	100.000	0.00	11/20/20	200.00	...	-200.00	11 of 29 - Option expiration- long put
	73.000	0.00	11/20/20	146.00	...	-146.00	12 of 29 - Option expiration- long put
	40.000	0.00	11/20/20	120.00	...	-120.00	13 of 29 - Option expiration- long put
	39.000	0.00	11/20/20	117.00	...	-117.00	14 of 29 - Option expiration- long put
	56.000	0.00	11/20/20	112.00	...	-112.00	15 of 29 - Option expiration- long put

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 11/25/2020 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	55.000	0.00	11/20/20	110.00	...	-110.00	16 of 29 - Option expiration- long put
	21.000	0.00	11/20/20	63.00	...	-63.00	17 of 29 - Option expiration- long put
	17.000	0.00	11/20/20	34.00	...	-34.00	18 of 29 - Option expiration- long put
	15.000	0.00	11/20/20	30.00	...	-30.00	19 of 29 - Option expiration- long put
	13.000	0.00	11/20/20	26.00	...	-26.00	20 of 29 - Option expiration- long put
	13.000	0.00	11/20/20	26.00	...	-26.00	21 of 29 - Option expiration- long put
	10.000	0.00	11/20/20	20.00	...	-20.00	22 of 29 - Option expiration- long put
	10.000	0.00	11/20/20	20.00	...	-20.00	23 of 29 - Option expiration- long put
	10.000	0.00	11/20/20	20.00	...	-20.00	24 of 29 - Option expiration- long put
	10.000	0.00	11/20/20	20.00	...	-20.00	25 of 29 - Option expiration- long put
	8.000	0.00	11/20/20	16.00	...	-16.00	26 of 29 - Option expiration- long put
	6.000	0.00	11/20/20	12.00	...	-12.00	27 of 29 - Option expiration- long put
	6.000	0.00	11/20/20	12.00	...	-12.00	28 of 29 - Option expiration- long put
	1.000	0.00	11/20/20	2.00	...	-2.00	29 of 29 - Option expiration- long put
11/25/20	1,005.000	0.00	Various	2,840.00	...	-2,840.00	Total of 29 transactions
SPY 12/04/2020 CALL \$370.00 / CUSIP: / Symbol:							
4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	105.99	11/23/20	62.00	...	43.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	211.98	11/23/20	80.00	...	131.98	2 of 4 - Option sale to close-call <sup>25</sup>
	9.000	953.96	11/23/20	558.00	...	395.96	3 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 12/04/2020 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
	8.000	847.96	11/23/20	320.00	...	527.96	4 of 4 - Option sale to close-call <sup>25</sup>
12/01/20	20.000	2,119.89	Various	1,020.00	...	1,099.89	Total of 4 transactions
12/04/20	20.000	439.95	11/30/20	620.00	...	-180.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,559.84</b>		<b>1,640.00</b>	...	<b>919.84</b>	
SQ 01/15/2021 CALL \$220.00 / CUSIP: / Symbol:							
09/29/20	1.000	779.97	08/18/20	565.00	...	214.97	Option sale to close-call <sup>25</sup>
SQ 08/21/2020 CALL \$149.00 / CUSIP: / Symbol:							
08/19/20	1.000	399.98	08/18/20	280.00	...	119.98	Option sale to close-call <sup>25</sup>
SQ 08/21/2020 CALL \$152.50 / CUSIP: / Symbol:							
08/19/20	1.000	196.98	08/18/20	152.00	...	44.98	Option sale to close-call <sup>25</sup>
SQ 09/18/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/15/20	152.00	...	-152.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/15/20	152.00	...	-152.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	304.00	...	-304.00	Total of 2 transactions
SQ 09/25/2020 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	6.000	0.00	09/22/20	294.00	...	-294.00	1 of 4 - Option expiration- long call
	3.000	0.00	09/23/20	72.00	...	-72.00	2 of 4 - Option expiration- long call
	2.000	0.00	09/23/20	48.00	...	-48.00	3 of 4 - Option expiration- long call
	1.000	0.00	09/23/20	24.00	...	-24.00	4 of 4 - Option expiration- long call
09/25/20	12.000	0.00	Various	438.00	...	-438.00	Total of 4 transactions
SQ 10/02/2020 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	499.97	09/28/20	485.00	...	14.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.97	09/28/20	263.00	...	236.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/20	2.000	999.94	Various	748.00	...	251.94	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

03/15/2021

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TDOC 08/21/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	569.98	08/17/20	430.00	...	139.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	569.98	08/17/20	350.00	...	219.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	1,139.96	Various	780.00	...	359.96	Total of 2 transactions
TDOC 08/21/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/18/20	1.000	439.98	08/17/20	105.00	...	334.98	Option sale to close-call <sup>25</sup>
TELL 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
06/09/20	20.000	499.94	06/08/20	340.00	...	159.94	Option sale to close-call <sup>25</sup>
TELL 07/17/2020 CALL \$1.50 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	24.000	0.00	06/22/20	240.00	...	-240.00	1 of 2 - Option expiration- long call
	11.000	0.00	06/22/20	110.00	...	-110.00	2 of 2 - Option expiration- long call
07/17/20	35.000	0.00	Various	350.00	...	-350.00	Total of 2 transactions
TGT 08/28/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/24/20	90.00	...	-90.00	Option expiration- long call
TGT 09/04/2020 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/31/20	122.00	...	-122.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/31/20	122.00	...	-122.00	2 of 2 - Option expiration- long call
09/04/20	2.000	0.00	Various	244.00	...	-244.00	Total of 2 transactions
TGT 09/04/2020 CALL \$155.00 / CUSIP: / Symbol:							
09/04/20	5.000	0.00	08/31/20	240.00	...	-240.00	Option expiration- long call
TGT 11/20/2020 CALL \$185.00 / CUSIP: / Symbol:							
11/19/20	2.000	3.98	11/18/20	28.00	...	-24.02	Option sale to close-call <sup>25</sup>
TSLA 07/10/2020 PUT \$300.00 / CUSIP: / Symbol:							
07/10/20	10.000	0.00	07/02/20	20.00	...	-20.00	Option expiration- long put

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TSLA 07/10/2020 PUT \$600.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	07/02/20	76.00	...	-76.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/02/20	19.00	...	-19.00	2 of 2 - Option expiration- long put
07/10/20	5.000	0.00	Various	95.00	...	-95.00	Total of 2 transactions
TSLA 08/28/2020 CALL \$2,300.00 / CUSIP: / Symbol:							
08/27/20	1.000	4,499.89	08/27/20	1,695.00	...	2,804.89	Option sale to close-call <sup>25</sup>
TSLA 08/28/2020 CALL \$2,400.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	8.99	08/27/20	1,160.00	...	-1,151.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	8.99	08/27/20	540.00	...	-531.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/28/20	2.000	17.98	Various	1,700.00	...	-1,682.02	Total of 2 transactions
TSLA 08/28/2020 PUT \$1,000.00 / CUSIP: / Symbol:							
08/27/20	6.000	5.98	08/24/20	90.00	...	-84.02	Option sale to close-put <sup>25</sup>
TSLA 09/04/2020 CALL \$500.00 / CUSIP: / Symbol:							
11 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/01/20	1,825.00	...	-1,825.00	1 of 11 - Option expiration- long call
	1.000	0.00	09/01/20	1,380.00	...	-1,380.00	2 of 11 - Option expiration- long call
	1.000	0.00	09/01/20	1,360.00	...	-1,360.00	3 of 11 - Option expiration- long call
	1.000	0.00	09/01/20	1,215.00	...	-1,215.00	4 of 11 - Option expiration- long call
	1.000	0.00	09/01/20	1,215.00	...	-1,215.00	5 of 11 - Option expiration- long call
	3.000	0.00	09/02/20	2,115.00	...	-2,115.00	6 of 11 - Option expiration- long call
	6.000	0.00	09/02/20	1,890.00	...	-1,890.00	7 of 11 - Option expiration- long call
	4.000	0.00	09/03/20	364.00	...	-364.00	8 of 11 - Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 09/04/2020 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	09/03/20	364.00	...	-364.00	9 of 11 - Option expiration- long call
	1.000	0.00	09/03/20	91.00	...	-91.00	10 of 11 - Option expiration- long call
	1.000	0.00	09/03/20	91.00	...	-91.00	11 of 11 - Option expiration- long call
09/04/20	24.000	0.00	Various	11,910.00	...	-11,910.00	Total of 11 transactions
TSLA 09/04/2020 CALL \$550.00 / CUSIP: / Symbol:							
8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/01/20	760.00	...	-760.00	1 of 8 - Option expiration- long call
	1.000	0.00	09/01/20	650.00	...	-650.00	2 of 8 - Option expiration- long call
	1.000	0.00	09/01/20	465.00	...	-465.00	3 of 8 - Option expiration- long call
	2.000	0.00	09/02/20	420.00	...	-420.00	4 of 8 - Option expiration- long call
	5.000	0.00	09/02/20	400.00	...	-400.00	5 of 8 - Option expiration- long call
	1.000	0.00	09/02/20	79.00	...	-79.00	6 of 8 - Option expiration- long call
	6.000	0.00	09/03/20	174.00	...	-174.00	7 of 8 - Option expiration- long call
	4.000	0.00	09/03/20	116.00	...	-116.00	8 of 8 - Option expiration- long call
09/04/20	22.000	0.00	Various	3,064.00	...	-3,064.00	Total of 8 transactions
TSLA 09/04/2020 PUT \$400.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	226.99	09/01/20	285.00	...	-58.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	226.99	09/01/20	285.00	...	-58.01	2 of 2 - Option sale to close-put <sup>25</sup>
09/01/20	2.000	453.98	Various	570.00	...	-116.02	Total of 2 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	226.98	09/01/20	325.00	98.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	226.99	09/01/20	423.02	196.03 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
09/01/20	2.000	453.97	Various	748.02	294.05 W	0.00	Total of 2 transactions
09/03/20	1.000	834.97	09/01/20	407.03	...	427.94	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,742.92</b>		<b>1,725.05</b>	<b>294.05 W</b>	<b>311.92</b>	
TSLA 09/11/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/11/20	2.000	0.00	09/08/20	1,150.00	...	-1,150.00	Option expiration- long call

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2020 1099-B\* OMB No. 1545-0715

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TSLA 09/11/2020 CALL \$405.00 / CUSIP: / Symbol:							
09/11/20	2.000	987.96	09/11/20	0.00	...	987.96	Short sale closed- call expired Option written: 09/08/20
TSLA 09/11/2020 CALL \$600.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,799.95	08/28/20	1,080.00	...	719.95	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	2,399.93	08/28/20	1,620.00	...	779.93	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	5.000	4,199.88	Various	2,700.00	...	1,499.88	Total of 2 transactions
TSLA 09/18/2020 CALL \$402.00 / CUSIP: / Symbol:							
09/15/20	2.000	10,479.75	09/14/20	3,690.00	...	6,789.75	Option sale to close-call <sup>25</sup>
TSLA 09/18/2020 CALL \$420.00 / CUSIP: / Symbol:							
09/16/20	2.000	-5,180.07	09/15/20	0.00	...	-5,180.07	Short sale closed- call <sup>25</sup> Option written: 09/14/20
TSLA 09/18/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/18/20	1.000	92.98	09/16/20	1,482.00	...	-1,389.02	Option sale to close-call <sup>25</sup>
TSLA 09/18/2020 CALL \$470.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	09/16/20	1,630.00	...	-1,630.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/16/20	814.00	...	-814.00	2 of 2 - Option expiration- long call
09/18/20	3.000	0.00	Various	2,444.00	...	-2,444.00	Total of 2 transactions
TSLA 09/18/2020 CALL \$485.00 / CUSIP: / Symbol:							
09/21/20	2.000	1,047.96	09/18/20	0.00	...	1,047.96	Short sale closed- call <sup>25</sup> Option written: 09/16/20

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TSLA 09/18/2020 CALL \$500.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	657.97	09/18/20	0.00	...	657.97	1 of 3 - Short sale closed- call expired
	2.000	659.97	09/18/20	0.00	...	659.97	Option written: 09/16/20 2 of 3 - Short sale closed- call expired
	2.000	659.97	09/18/20	0.00	...	659.97	Option written: 09/16/20 3 of 3 - Short sale closed- call expired
09/18/20	6.000	1,977.91	Various	0.00	...	1,977.91	Option written: 09/16/20 Total of 3 transactions
TSLA 09/18/2020 CALL \$520.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.98	09/16/20	178.00	...	-177.02	Option sale to close-call <sup>25</sup>
TSLA 09/18/2020 CALL \$530.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/16/20	258.00	...	-258.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/16/20	131.00	...	-131.00	2 of 2 - Option expiration- long call
09/18/20	3.000	0.00	Various	389.00	...	-389.00	Total of 2 transactions
TSLA 09/18/2020 CALL \$550.00 / CUSIP: / Symbol:							
42 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	76.99	09/11/20	32.00	...	44.99	1 of 42 - Option sale to close-call <sup>25</sup>
	1.000	76.99	09/11/20	32.00	...	44.99	2 of 42 - Option sale to close-call <sup>25</sup>
	1.000	76.99	09/11/20	32.00	...	44.99	3 of 42 - Option sale to close-call <sup>25</sup>
	1.000	76.99	09/11/20	32.00	...	44.99	4 of 42 - Option sale to close-call <sup>25</sup>
	1.000	76.99	09/11/20	32.00	...	44.99	5 of 42 - Option sale to close-call <sup>25</sup>
	1.000	77.00	09/11/20	32.00	...	45.00	6 of 42 - Option sale to close-call <sup>25</sup>

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(continued)

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TSLA 09/18/2020 CALL \$550.00 / CUSIP: / Symbol: (cont'd)							
	1.000	77.00	09/11/20	32.00	...	45.00	7 of 42 - Option sale to close-call <sub>25</sub>
	1.000	77.00	09/11/20	32.00	...	45.00	8 of 42 - Option sale to close-call <sub>25</sub>
	1.000	77.00	09/11/20	32.00	...	45.00	9 of 42 - Option sale to close-call <sub>25</sub>
	1.000	77.00	09/11/20	32.00	...	45.00	10 of 42 - Option sale to close-call <sub>25</sub>
	1.000	77.00	09/11/20	32.00	...	45.00	11 of 42 - Option sale to close-call <sub>25</sub>
	1.000	77.00	09/11/20	32.00	...	45.00	12 of 42 - Option sale to close-call <sub>25</sub>
	1.000	77.00	09/11/20	32.00	...	45.00	13 of 42 - Option sale to close-call <sub>25</sub>
	1.000	77.00	09/11/20	32.00	...	45.00	14 of 42 - Option sale to close-call <sub>25</sub>
	1.000	100.00	09/11/20	38.00	...	62.00	15 of 42 - Option sale to close-call <sub>25</sub>
	1.000	99.99	09/11/20	32.00	...	67.99	16 of 42 - Option sale to close-call <sub>25</sub>
	2.000	153.99	09/11/20	64.00	...	89.99	17 of 42 - Option sale to close-call <sub>25</sub>
	2.000	153.99	09/11/20	64.00	...	89.99	18 of 42 - Option sale to close-call <sub>25</sub>
	3.000	299.98	09/11/20	114.02	...	185.96	19 of 42 - Option sale to close-call <sub>25</sub>
	3.000	299.99	09/11/20	114.01	...	185.98	20 of 42 - Option sale to close-call <sub>25</sub>
	15.000	1,154.95	09/11/20	420.00	...	734.95	21 of 42 - Option sale to close-call <sub>25</sub>
	1.000	149.99	09/14/20	557.02	...	-407.03	22 of 42 - Option sale to close-call <sub>25</sub>
	1.000	150.00	09/14/20	557.01	...	-407.01	23 of 42 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/18/2020 CALL \$550.00 / CUSIP: / Symbol: (cont'd)							
	1.000	149.99	09/14/20	509.01	...	-359.02	24 of 42 - Option sale to close-call <sub>25</sub>
	1.000	150.00	09/14/20	509.02	...	-359.02	25 of 42 - Option sale to close-call <sub>25</sub>
	1.000	149.99	09/14/20	509.01	...	-359.02	26 of 42 - Option sale to close-call <sub>25</sub>
	1.000	150.00	09/14/20	489.02	...	-339.02	27 of 42 - Option sale to close-call <sub>25</sub>
	2.000	199.99	09/14/20	122.02	...	77.97	28 of 42 - Option sale to close-call <sub>25</sub>
	2.000	199.99	09/14/20	122.02	...	77.97	29 of 42 - Option sale to close-call <sub>25</sub>
	1.000	149.99	09/14/20	31.00	...	118.99	30 of 42 - Option sale to close-call <sub>25</sub>
	1.000	149.99	09/14/20	26.00	...	123.99	31 of 42 - Option sale to close-call <sub>25</sub>
	1.000	199.98	09/14/20	26.00	...	173.98	32 of 42 - Option sale to close-call <sub>25</sub>
	1.000	199.98	09/14/20	26.00	...	173.98	33 of 42 - Option sale to close-call <sub>25</sub>
	1.000	199.98	09/14/20	26.00	...	173.98	34 of 42 - Option sale to close-call <sub>25</sub>
	2.000	299.98	09/14/20	62.00	...	237.98	35 of 42 - Option sale to close-call <sub>25</sub>
	2.000	299.98	09/14/20	62.00	...	237.98	36 of 42 - Option sale to close-call <sub>25</sub>
	2.000	399.98	09/14/20	52.00	...	347.98	37 of 42 - Option sale to close-call <sub>25</sub>
	3.000	449.98	09/14/20	93.00	...	356.98	38 of 42 - Option sale to close-call <sub>25</sub>
	7.000	699.96	09/14/20	217.00	...	482.96	39 of 42 - Option sale to close-call <sub>25</sub>
	5.000	749.97	09/14/20	155.00	...	594.97	40 of 42 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/18/2020 CALL \$550.00 / CUSIP: / Symbol: (cont'd)							
	4.000	799.97	09/14/20	104.00	...	695.97	41 of 42 - Option sale to close-call <sub>25</sub>
	16.000	3,199.89	09/14/20	416.00	...	2,783.89	42 of 42 - Option sale to close-call <sub>25</sub>
09/15/20	97.000	12,440.42	Various	5,964.16	...	6,476.26	Total of 42 transactions
	20 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	3.000	230.99	09/03/20	2,295.00	2,064.01 W	0.00	1 of 20 - Option sale to close-call <sub>25</sub>
	3.000	230.99	09/03/20	2,235.00	2,004.01 W	0.00	2 of 20 - Option sale to close-call <sub>25</sub>
	4.000	307.98	09/08/20	700.00	392.02 W	0.00	3 of 20 - Option sale to close-call <sub>25</sub>
	7.000	538.97	09/09/20	581.00	42.03 W	0.00	4 of 20 - Option sale to close-call <sub>25</sub>
	1.000	100.00	09/11/20	720.00	620.00 W	0.00	5 of 20 - Option sale to close-call <sub>25</sub>
	1.000	100.00	09/11/20	720.01	620.01 W	0.00	6 of 20 - Option sale to close-call <sub>25</sub>
	1.000	100.00	09/11/20	720.00	620.00 W	0.00	7 of 20 - Option sale to close-call <sub>25</sub>
	3.000	299.98	09/11/20	2,100.01	1,800.03 W	0.00	8 of 20 - Option sale to close-call <sub>25</sub>
	2.000	199.99	09/11/20	260.01	60.02 W	0.00	9 of 20 - Option sale to close-call <sub>25</sub>
	2.000	199.99	09/11/20	260.01	60.02 W	0.00	10 of 20 - Option sale to close-call <sub>25</sub>
	1.000	100.00	09/11/20	652.01	552.01 W	0.00	11 of 20 - Option sale to close-call <sub>25</sub>
	1.000	99.99	09/11/20	652.00	552.01 W	0.00	12 of 20 - Option sale to close-call <sub>25</sub>
	1.000	99.99	09/11/20	652.00	552.01 W	0.00	13 of 20 - Option sale to close-call <sub>25</sub>
	1.000	99.99	09/11/20	632.01	532.02 W	0.00	14 of 20 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/18/2020 CALL \$550.00 / CUSIP: / Symbol: (cont'd)							
	1.000	100.00	09/14/20	583.01	483.01 W	0.00	15 of 20 - Option sale to close-call <sup>25</sup>
	1.000	99.99	09/14/20	583.01	483.02 W	0.00	16 of 20 - Option sale to close-call <sup>25</sup>
	1.000	100.00	09/14/20	583.01	483.01 W	0.00	17 of 20 - Option sale to close-call <sup>25</sup>
	1.000	99.99	09/14/20	631.01	531.02 W	0.00	18 of 20 - Option sale to close-call <sup>25</sup>
	1.000	100.00	09/14/20	563.02	463.02 W	0.00	19 of 20 - Option sale to close-call <sup>25</sup>
	1.000	100.00	09/14/20	631.01	531.01 W	0.00	20 of 20 - Option sale to close-call <sup>25</sup>
09/15/20	37.000	3,308.84	Various	16,753.13	13,444.29 W	0.00	Total of 20 transactions
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	3.000	5.98	09/14/20	78.00	...	-72.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	3.98	09/14/20	52.00	...	-48.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/18/20	5.000	9.96	Various	130.00	...	-120.04	Total of 2 transactions
	<b>Security total:</b>	<b>15,759.22</b>		<b>22,847.29</b>	<b>13,444.29 W</b>	<b>6,356.22</b>	
TSLA 09/18/2020 CALL \$800.00 / CUSIP: / Symbol:							
08/31/20	5.000	2,149.94	08/28/20	1,255.00	...	894.94	Option sale to close-call <sup>25</sup>
TSLA 09/25/2020 CALL \$390.00 / CUSIP: / Symbol:							
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	1.000	4,407.89	09/08/20	2,825.00	...	1,582.89	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4,657.88	09/10/20	3,640.00	...	1,017.88	2 of 2 - Option sale to close-call <sup>25</sup>
09/22/20	2.000	9,065.77	Various	6,465.00	...	2,600.77	Total of 2 transactions
	3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	1,434.96	09/23/20	1,300.00	...	134.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,399.95	09/24/20	545.00	...	854.95	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,434.96	09/24/20	555.00	...	879.96	3 of 3 - Option sale to close-call <sup>25</sup>
09/25/20	3.000	4,269.87	Various	2,400.00	...	1,869.87	Total of 3 transactions
	<b>Security total:</b>	<b>13,335.64</b>		<b>8,865.00</b>	<b>...</b>	<b>4,470.64</b>	

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/25/2020 CALL \$391.00 / CUSIP: / Symbol:							
09/28/20	1.000	-145.04	09/25/20	0.00	...	-145.04	Short sale closed- call <sup>25</sup> Option written: 09/24/20
TSLA 09/25/2020 CALL \$395.00 / CUSIP: / Symbol:							
09/23/20	1.000	-898.09	09/22/20	0.00	...	-898.09	Short sale closed- call <sup>25</sup> Option written: 09/10/20
TSLA 09/25/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/22/20	1.000	3,757.90	09/09/20	1,835.00	...	1,922.90	Option sale to close-call <sup>25</sup>
	3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	849.98	09/23/20	1,410.00	...	-560.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	849.97	09/23/20	605.00	...	244.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	849.98	09/24/20	435.00	...	414.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/25/20	3.000	2,549.93	Various	2,450.00	...	99.93	Total of 3 transactions
	<b>Security total:</b>	<b>6,307.83</b>		<b>4,285.00</b>	...	<b>2,022.83</b>	
TSLA 09/25/2020 CALL \$439.00 / CUSIP: / Symbol:							
09/23/20	1.000	-309.05	09/22/20	0.00	...	-309.05	Short sale closed- call <sup>25</sup> Option written: 09/08/20
TSLA 09/25/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/23/20	1.000	-580.04	09/22/20	0.00	...	-580.04	Short sale closed- call <sup>25</sup> Option written: 09/09/20
TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
	4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/22/20	595.00	...	-595.00	1 of 4 - Option expiration- long call
	7.000	0.00	09/23/20	448.00	...	-448.00	2 of 4 - Option expiration- long call
	3.000	0.00	09/23/20	192.00	...	-192.00	3 of 4 - Option expiration- long call
	15.000	0.00	09/24/20	105.00	...	-105.00	4 of 4 - Option expiration- long call
09/25/20	26.000	0.00	Various	1,340.00	...	-1,340.00	Total of 4 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TSLA 09/25/2020 CALL \$550.00 / CUSIP: / Symbol:							
<i>28 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	09/15/20	1,745.00	...	-1,745.00	1 of 28 - Option expiration- long call
	1.000	0.00	09/18/20	905.00	...	-905.00	2 of 28 - Option expiration- long call
	5.000	0.00	09/22/20	1,575.00	...	-1,575.00	3 of 28 - Option expiration- long call
	2.000	0.00	09/22/20	770.00	...	-770.00	4 of 28 - Option expiration- long call
	1.000	0.00	09/22/20	320.00	...	-320.00	5 of 28 - Option expiration- long call
	13.000	0.00	09/23/20	234.00	...	-234.00	6 of 28 - Option expiration- long call
	3.000	0.00	09/23/20	54.00	...	-54.00	7 of 28 - Option expiration- long call
	1.000	0.00	09/23/20	18.00	...	-18.00	8 of 28 - Option expiration- long call
	1.000	0.00	09/23/20	18.00	...	-18.00	9 of 28 - Option expiration- long call
	1.000	0.00	09/23/20	18.00	...	-18.00	10 of 28 - Option expiration- long call
	1.000	0.00	09/23/20	18.00	...	-18.00	11 of 28 - Option expiration- long call
	40.000	0.00	09/24/20	120.00	...	-120.00	12 of 28 - Option expiration- long call
	15.000	0.00	09/24/20	45.00	...	-45.00	13 of 28 - Option expiration- long call
	12.000	0.00	09/24/20	36.00	...	-36.00	14 of 28 - Option expiration- long call
	12.000	0.00	09/24/20	36.00	...	-36.00	15 of 28 - Option expiration- long call
	4.000	0.00	09/24/20	12.00	...	-12.00	16 of 28 - Option expiration- long call
	3.000	0.00	09/24/20	9.00	...	-9.00	17 of 28 - Option expiration- long call

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TSLA 09/25/2020 CALL \$550.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	09/24/20	9.00	...	-9.00	18 of 28 - Option expiration- long call
	2.000	0.00	09/24/20	6.00	...	-6.00	19 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	20 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	21 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	22 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	23 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	24 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	25 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	26 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	27 of 28 - Option expiration- long call
	1.000	0.00	09/24/20	3.00	...	-3.00	28 of 28 - Option expiration- long call
09/25/20	130.000	0.00	Various	5,975.00	...	-5,975.00	Total of 28 transactions
TSLA 09/25/2020 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	155.98	09/14/20	64.00	...	91.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	155.99	09/14/20	64.00	...	91.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	311.97	Various	128.00	...	183.97	Total of 2 transactions
8 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	155.98	09/04/20	430.00	274.02 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	155.98	09/04/20	430.00	274.02 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	155.98	09/08/20	452.02	296.04 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	155.99	09/08/20	452.02	296.03 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	155.98	09/14/20	360.03	204.05 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>

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(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/25/2020 CALL \$800.00 / CUSIP: / Symbol: (cont'd)							
	1.000	155.99	09/14/20	268.05	112.06 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	155.99	09/14/20	268.05	112.06 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	155.99	09/14/20	360.04	204.05 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
09/15/20	8.000	1,247.88	Various	3,020.21	1,772.33 W	0.00	Total of 8 transactions
	4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	09/14/20	128.00	...	-128.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/21/20	150.06	...	-150.06	2 of 4 - Option expiration- long call
	1.000	0.00	09/21/20	150.06	...	-150.06	3 of 4 - Option expiration- long call
	2.000	0.00	09/21/20	76.00	...	-76.00	4 of 4 - Option expiration- long call
09/25/20	6.000	0.00	Various	504.12	...	-504.12	Total of 4 transactions
	<b>Security total:</b>	<b>1,559.85</b>		<b>3,652.33</b>	<b>1,772.33 W</b>	<b>-320.15</b>	
TSLA 09/25/2020 PUT \$300.00 / CUSIP: / Symbol:							
09/25/20	10.000	0.00	09/22/20	520.00	...	-520.00	Option expiration- long put
TSLA 10/02/2020 CALL \$420.00 / CUSIP: / Symbol:							
09/30/20	1.000	1,449.95	09/29/20	1,245.05	...	204.90	Option sale to close-call <sup>25</sup>
09/30/20	1.000	1,499.95	09/28/20	1,575.00	75.05 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,949.90</b>		<b>2,820.05</b>	<b>75.05 W</b>	<b>204.90</b>	
TSLA 10/02/2020 CALL \$470.00 / CUSIP: / Symbol:							
10/02/20	1.000	2.98	10/01/20	445.00	...	-442.02	Option sale to close-call <sup>25</sup>
TSLA 10/02/2020 CALL \$500.00 / CUSIP: / Symbol:							
	8 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	63.00	09/15/20	3,189.00	...	-3,126.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	63.00	09/22/20	1,483.00	...	-1,420.00	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	125.99	09/23/20	378.00	...	-252.01	3 of 8 - Option sale to close-call <sup>25</sup>
	2.000	125.99	09/23/20	356.00	...	-230.01	4 of 8 - Option sale to close-call <sup>25</sup>
	6.000	377.98	09/24/20	786.00	...	-408.02	5 of 8 - Option sale to close-call <sup>25</sup>
	8.000	503.97	09/25/20	1,240.00	...	-736.03	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	125.99	09/25/20	310.00	...	-184.01	7 of 8 - Option sale to close-call <sup>25</sup>
	7.000	440.97	09/28/20	476.00	...	-35.03	8 of 8 - Option sale to close-call <sup>25</sup>
09/29/20	29.000	1,826.89	Various	8,218.00	...	-6,391.11	Total of 8 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol:							
8 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	7.000	-0.02	09/29/20	0.00	...	-0.02	1 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/28/20
	2.000	49.98	09/29/20	0.00	...	49.98	2 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/25/20
	2.000	89.98	09/29/20	0.00	...	89.98	3 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/23/20
	2.000	91.98	09/29/20	0.00	...	91.98	4 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/23/20
	6.000	197.98	09/29/20	0.00	...	197.98	5 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/24/20
	8.000	199.97	09/29/20	0.00	...	199.97	6 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/25/20
	1.000	528.97	09/29/20	0.00	...	528.97	7 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/22/20
	1.000	1,709.95	09/29/20	0.00	...	1,709.95	8 of 8 - Short sale closed- call <sup>25</sup> Option written: 09/15/20
09/30/20	29.000	2,868.79	Various	0.00	...	2,868.79	Total of 8 transactions
TSLA 10/23/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1,649.95	10/02/20	1,160.00	...	489.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,519.95	10/06/20	640.00	...	879.95	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/20	2.000	3,169.90	Various	1,800.00	...	1,369.90	Total of 2 transactions
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1.00	10/19/20	375.00	...	-374.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1.99	10/20/20	384.00	...	-382.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	0.99	10/21/20	183.00	...	-182.01	3 of 3 - Option sale to close-call <sup>25</sup>
10/23/20	4.000	3.98	Various	942.00	...	-938.02	Total of 3 transactions
	<b>Security total:</b>	<b>3,173.88</b>		<b>2,742.00</b>	...	<b>431.88</b>	

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Robinhood Securities LLC

Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/23/2020 CALL \$505.00 / CUSIP: / Symbol:							
8 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1.00	10/22/20	1,551.04	...	-1,550.04	1 of 8 - Option sale to close-call <sup>25</sup>
	3.000	2.99	10/22/20	510.01	...	-507.02	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/22/20	346.00	...	-345.00	3 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1.99	10/22/20	242.01	...	-240.02	4 of 8 - Option sale to close-call <sup>25</sup>
	4.000	3.98	10/22/20	68.00	...	-64.02	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/22/20	33.01	...	-32.01	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/22/20	30.00	...	-29.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/22/20	17.00	...	-16.00	8 of 8 - Option sale to close-call <sup>25</sup>
10/23/20	14.000	13.96	Various	2,797.07	...	-2,783.11	Total of 8 transactions
8 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	10/15/20	1,005.00	1,004.02 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/19/20	1,519.02	1,518.02 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/19/20	330.00	329.00 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	3.000	2.99	10/20/20	462.00	459.01 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1.99	10/21/20	210.00	208.01 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/22/20	14.00	13.00 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	0.99	10/22/20	17.00	16.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	0.98	10/22/20	1,535.02	1,534.04 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
10/23/20	11.000	10.93	Various	5,092.04	5,081.11 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>24.89</b>		<b>7,889.11</b>	<b>5,081.11 W</b>	<b>-2,783.11</b>	
TSLA 10/23/2020 CALL \$550.00 / CUSIP: / Symbol:							
4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/15/20	465.00	...	-465.00	1 of 4 - Option expiration- long call
	1.000	0.00	10/19/20	100.00	...	-100.00	2 of 4 - Option expiration- long call
	4.000	0.00	10/22/20	12.00	...	-12.00	3 of 4 - Option expiration- long call
	1.000	0.00	10/22/20	3.00	...	-3.00	4 of 4 - Option expiration- long call
10/23/20	7.000	0.00	Various	580.00	...	-580.00	Total of 4 transactions
TSLA 10/23/2020 CALL \$560.00 / CUSIP: / Symbol:							
10/14/20	1.000	649.97	10/05/20	315.03	...	334.94	Option sale to close-call <sup>25</sup>
10/14/20	1.000	649.97	09/30/20	655.00	5.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,299.94</b>		<b>970.03</b>	<b>5.03 W</b>	<b>334.94</b>	

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 10/23/2020 CALL \$600.00 / CUSIP: / Symbol:							
10/12/20	1.000	136.98	10/08/20	450.04	...	-313.06	Option sale to close-call <sup>25</sup>
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	136.98	09/30/20	425.00	288.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	136.98	10/05/20	457.02	320.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/12/20	2.000	273.96	Various	882.02	608.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>410.94</b>		<b>1,332.06</b>	<b>608.06 W</b>	<b>-313.06</b>	
TSLA 11/06/2020 PUT \$350.00 / CUSIP: / Symbol:							
	2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	11/02/20	262.00	...	-262.00	1 of 2 - Option expiration- long put
	3.000	0.00	11/03/20	165.00	...	-165.00	2 of 2 - Option expiration- long put
11/06/20	4.000	0.00	Various	427.00	...	-427.00	Total of 2 transactions
TSLA 11/20/2020 CALL \$430.00 / CUSIP: / Symbol:							
	3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.000	5,199.88	11/16/20	230.00	...	4,969.88	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5,199.88	11/16/20	197.00	...	5,002.88	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	10,399.77	11/16/20	424.00	...	9,975.77	3 of 3 - Option sale to close-call <sup>25</sup>
11/18/20	4.000	20,799.53	Various	851.00	...	19,948.53	Total of 3 transactions
TSLA 11/27/2020 CALL \$600.00 / CUSIP: / Symbol:							
	3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	253.98	11/25/20	225.05	...	28.93	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	253.98	11/25/20	132.00	...	121.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	253.98	11/25/20	132.00	...	121.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/25/20	3.000	761.94	Various	489.05	...	272.89	Total of 3 transactions
	3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	253.98	11/24/20	285.00	31.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	253.98	11/24/20	316.02	62.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	253.99	11/24/20	347.04	93.05 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/25/20	3.000	761.95	Various	948.06	186.11 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,523.89</b>		<b>1,437.11</b>	<b>186.11 W</b>	<b>272.89</b>	

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 12/04/2020 CALL \$650.00 / CUSIP: / Symbol:							
7 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	11/30/20	965.00	...	-963.00	1 of 7 - Option sale to close-call <sup>25</sup>
	3.000	5.99	12/01/20	1,065.00	...	-1,059.01	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2.00	12/01/20	540.00	...	-538.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2.00	12/01/20	506.00	...	-504.00	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	3.99	12/01/20	454.00	...	-450.01	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	3.99	12/01/20	454.00	...	-450.01	6 of 7 - Option sale to close-call <sup>25</sup>
	10.000	19.98	12/02/20	500.00	...	-480.02	7 of 7 - Option sale to close-call <sup>25</sup>
12/04/20	20.000	39.95	Various	4,484.00	...	-4,444.05	Total of 7 transactions
TSLA 12/11/2020 CALL \$660.00 / CUSIP: / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/09/20	500.00	...	-500.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/10/20	112.00	...	-112.00	2 of 2 - Option expiration- long call
12/11/20	2.000	0.00	Various	612.00	...	-612.00	Total of 2 transactions
TSM 05/29/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/18/20	2.000	499.97	05/15/20	310.00	...	189.97	Option sale to close-call <sup>25</sup>
TSM 06/05/2020 CALL \$52.50 / CUSIP: / Symbol:							
13 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	65.00	05/22/20	65.00	...	0.00	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	65.00	05/22/20	65.00	...	0.00	2 of 13 - Option sale to close-call <sup>25</sup>
	4.000	259.98	05/22/20	180.00	...	79.98	3 of 13 - Option sale to close-call <sup>25</sup>
	4.000	259.98	05/26/20	180.00	...	79.98	4 of 13 - Option sale to close-call <sup>25</sup>
	4.000	259.99	05/26/20	180.00	...	79.99	5 of 13 - Option sale to close-call <sup>25</sup>
	3.000	194.99	05/27/20	132.00	...	62.99	6 of 13 - Option sale to close-call <sup>25</sup>

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSM 06/05/2020 CALL \$52.50 / CUSIP: / Symbol: (cont'd)							
	1.000	75.00	05/28/20	69.01	...	5.99	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	75.00	05/28/20	69.00	...	6.00	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	75.00	05/28/20	39.00	...	36.00	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	75.00	05/28/20	29.00	...	46.00	10 of 13 - Option sale to close-call <sup>25</sup>
	2.000	149.99	05/28/20	58.00	...	91.99	11 of 13 - Option sale to close-call <sup>25</sup>
	4.000	299.98	05/28/20	116.00	...	183.98	12 of 13 - Option sale to close-call <sup>25</sup>
	10.000	749.95	05/29/20	200.00	...	549.95	13 of 13 - Option sale to close-call <sup>25</sup>
06/03/20	37.000	2,604.86	Various	1,382.01	...	1,222.85	Total of 13 transactions
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	2.000	129.99	05/20/20	210.00	80.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	65.00	05/21/20	75.00	10.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/03/20	3.000	194.99	Various	285.00	90.01 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,799.85</b>		<b>1,667.01</b>	<b>90.01 W</b>	<b>1,222.85</b>	
TSM 06/19/2020 CALL \$55.50 / CUSIP: / Symbol:							
06/17/20	2.000	209.98	06/11/20	210.00	...	-0.02	Option sale to close-call <sup>25</sup>
TSM 07/24/2020 CALL \$67.00 / CUSIP: / Symbol:							
07/21/20	3.000	545.97	07/16/20	390.00	...	155.97	Option sale to close-call <sup>25</sup>
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	157.99	07/17/20	109.00	...	48.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	157.99	07/17/20	109.00	...	48.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	315.98	Various	218.00	...	97.98	Total of 2 transactions
	<b>Security total:</b>	<b>861.95</b>		<b>608.00</b>	...	<b>253.95</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TTD 12/24/2020 CALL \$1,050.00 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/08/20	400.00	...	-400.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/21/20	55.00	...	-55.00	2 of 2 - Option expiration- long call
12/24/20	2.000	0.00	Various	455.00	...	-455.00	Total of 2 transactions
TTOO 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	07/01/20	450.00	...	-450.00	1 of 2 - Option expiration- long call
	5.000	0.00	07/01/20	150.00	...	-150.00	2 of 2 - Option expiration- long call
08/21/20	15.000	0.00	Various	600.00	...	-600.00	Total of 2 transactions
TWLO 12/04/2020 CALL \$340.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	6.98	11/30/20	773.05	...	-766.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.98	11/30/20	289.01	...	-282.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/04/20	2.000	13.96	Various	1,062.06	...	-1,048.10	Total of 2 transactions
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	6.98	11/27/20	350.00	343.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	6.98	11/30/20	491.02	484.04 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	6.99	11/30/20	632.04	625.05 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	6.99	11/30/20	148.00	141.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/04/20	4.000	27.94	Various	1,621.06	1,593.12 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>41.90</b>		<b>2,683.12</b>	<b>1,593.12 W</b>	<b>-1,048.10</b>	
TWTR 06/05/2020 PUT \$29.00 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/29/20	168.00	...	-168.00	1 of 3 - Option expiration- long put
	4.000	0.00	06/01/20	40.00	...	-40.00	2 of 3 - Option expiration- long put
	4.000	0.00	06/01/20	40.00	...	-40.00	3 of 3 - Option expiration- long put
06/05/20	12.000	0.00	Various	248.00	...	-248.00	Total of 3 transactions
TWTR 07/17/2020 PUT \$34.00 / CUSIP: / Symbol:							
07/17/20	4.000	0.00	07/16/20	188.00	...	-188.00	Option expiration- long put

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 07/24/2020 PUT \$27.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/20/20	9.00	...	-9.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/20/20	9.00	...	-9.00	2 of 2 - Option expiration- long put
07/24/20	2.000	0.00	Various	18.00	...	-18.00	Total of 2 transactions
UAA 06/05/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/03/20	2.000	59.98	05/11/20	50.00	...	9.98	Option sale to close-call <sup>25</sup>
UAA 06/12/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/04/20	1.000	69.98	05/11/20	32.00	...	37.98	Option sale to close-call <sup>25</sup>
UPS 08/28/2020 CALL \$162.50 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	50.98	08/25/20	49.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	50.98	08/25/20	49.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	2.000	101.96	Various	98.00	...	3.96	Total of 2 transactions
UPS 10/02/2020 CALL \$172.50 / CUSIP: / Symbol:							
4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	09/28/20	390.02	...	-388.02	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	3.99	09/29/20	190.00	...	-186.01	2 of 4 - Option sale to close-call <sup>25</sup>
	7.000	13.98	10/01/20	140.00	...	-126.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2.00	10/01/20	20.00	...	-18.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/02/20	11.000	21.97	Various	740.02	...	-718.05	Total of 4 transactions
10/02/20	1.000	1.98	09/28/20	196.00	194.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>23.95</b>		<b>936.02</b>	<b>194.02 W</b>	<b>-718.05</b>	
URI 05/15/2020 CALL \$118.00 / CUSIP: / Symbol:							
05/13/20	1.000	9.98	05/12/20	133.00	...	-123.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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V 10/30/2020 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	10/28/20	54.00	...	-54.00	1 of 3 - Option expiration- long call
	1.000	0.00	10/28/20	14.00	...	-14.00	2 of 3 - Option expiration- long call
	1.000	0.00	10/28/20	14.00	...	-14.00	3 of 3 - Option expiration- long call
10/30/20	5.000	0.00	Various	82.00	...	-82.00	Total of 3 transactions
V 10/30/2020 CALL \$210.00 / CUSIP: / Symbol:							
16 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/09/20	370.00	...	-370.00	1 of 16 - Option expiration- long call
	1.000	0.00	10/13/20	289.00	...	-289.00	2 of 16 - Option expiration- long call
	2.000	0.00	10/16/20	250.00	...	-250.00	3 of 16 - Option expiration- long call
	10.000	0.00	10/26/20	200.00	...	-200.00	4 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	5 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	6 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	7 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	8 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	9 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	10 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	11 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	12 of 16 - Option expiration- long call
	1.000	0.00	10/27/20	13.00	...	-13.00	13 of 16 - Option expiration- long call

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Robinhood Securities LLC

Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
V 10/30/2020 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	10/27/20	13.00	...	-13.00	14 of 16 - Option expiration- long call
	2.000	0.00	10/28/20	14.00	...	-14.00	15 of 16 - Option expiration- long call
	1.000	0.00	10/28/20	7.00	...	-7.00	16 of 16 - Option expiration- long call
10/30/20	27.000	0.00	Various	1,260.00	...	-1,260.00	Total of 16 transactions
VREX 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/14/20	90.00	...	-90.00	Option expiration- long call
VREX 06/19/2020 CALL \$22.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/13/20	35.00	...	-35.00	Option expiration- long call
WDAY 11/27/2020 CALL \$215.00 / CUSIP: / Symbol:							
6 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	2.000	299.99	11/20/20	500.00	...	-200.01	1 of 6 - Option sale to close-call <sup>25</sup>
	6.000	899.97	11/23/20	516.00	...	383.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	159.99	11/25/20	366.01	...	-206.02	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	319.99	11/25/20	428.01	...	-108.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	159.99	11/25/20	166.01	...	-6.02	5 of 6 - Option sale to close-call <sup>25</sup>
	8.000	1,199.96	11/25/20	528.00	...	671.96	6 of 6 - Option sale to close-call <sup>25</sup>
11/25/20	20.000	3,039.89	Various	2,504.03	...	535.86	Total of 6 transactions
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	149.99	11/20/20	450.00	300.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	299.99	11/20/20	596.00	296.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	149.99	11/20/20	250.00	100.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/25/20	4.000	599.97	Various	1,296.00	696.03 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>3,639.86</b>		<b>3,800.03</b>	<b>696.03 W</b>	<b>535.86</b>	
WFC 07/31/2020 CALL \$30.00 / CUSIP: / Symbol:							
6 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	8.000	0.00	07/14/20	72.00	...	-72.00	1 of 6 - Option expiration- long call
	4.000	0.00	07/14/20	36.00	...	-36.00	2 of 6 - Option expiration- long call
	4.000	0.00	07/14/20	36.00	...	-36.00	3 of 6 - Option expiration- long call

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Robinhood Securities LLC

Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WFC 07/31/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	07/14/20	27.00	...	-27.00	4 of 6 - Option expiration- long call
	3.000	0.00	07/14/20	24.00	...	-24.00	5 of 6 - Option expiration- long call
	1.000	0.00	07/14/20	9.00	...	-9.00	6 of 6 - Option expiration- long call
07/31/20	23.000	0.00	Various	204.00	...	-204.00	Total of 6 transactions
WKHS 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
07/01/20	2.000	1,879.94	06/24/20	260.00	...	1,619.94	Option sale to close-call <sup>25</sup>
WKHS 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/07/20	525.00	...	-525.00	1 of 4 - Option expiration- long call
	5.000	0.00	07/07/20	450.00	...	-450.00	2 of 4 - Option expiration- long call
	6.000	0.00	07/10/20	294.00	...	-294.00	3 of 4 - Option expiration- long call
	4.000	0.00	07/10/20	196.00	...	-196.00	4 of 4 - Option expiration- long call
07/17/20	20.000	0.00	Various	1,465.00	...	-1,465.00	Total of 4 transactions
WKHS 09/04/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	169.99	08/31/20	95.00	...	74.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	169.99	08/31/20	95.00	...	74.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	339.98	Various	190.00	...	149.98	Total of 2 transactions
09/01/20	3.000	359.98	08/31/20	330.00	...	29.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>699.96</b>		<b>520.00</b>	...	<b>179.96</b>	
WKHS 09/25/2020 PUT \$25.00 / CUSIP: / Symbol:							
09/23/20	2.000	299.98	09/22/20	154.00	...	145.98	Option sale to close-put <sup>25</sup>
WKHS 11/20/2020 CALL \$25.00 / CUSIP: / Symbol:							
5 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	11/19/20	10.00	...	19.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	30.00	11/19/20	10.00	...	20.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	30.00	11/19/20	10.00	...	20.00	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	59.99	11/19/20	20.00	...	39.99	4 of 5 - Option sale to close-call <sup>25</sup>
	5.000	149.98	11/19/20	50.00	...	99.98	5 of 5 - Option sale to close-call <sup>25</sup>
11/20/20	10.000	299.96	Various	100.00	...	199.96	Total of 5 transactions

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Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 11/27/2020 CALL \$25.00 / CUSIP: / Symbol:							
4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	2.000	299.99	11/19/20	200.00	...	99.99	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	599.98	11/19/20	400.00	...	199.98	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	599.98	11/19/20	400.00	...	199.98	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	1,499.94	11/19/20	700.00	...	799.94	4 of 4 - Option sale to close-call <sup>25</sup>
11/20/20	20.000	2,999.89	Various	1,700.00	...	1,299.89	Total of 4 transactions
WMT 06/05/2020 CALL \$124.00 / CUSIP: / Symbol:							
05/28/20	3.000	509.97	05/27/20	252.00	...	257.97	Option sale to close-call <sup>25</sup>
WMT 08/14/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/15/20	205.00	...	-205.00	1 of 2 - Option expiration- long call
	4.000	0.00	07/30/20	104.00	...	-104.00	2 of 2 - Option expiration- long call
08/14/20	5.000	0.00	Various	309.00	...	-309.00	Total of 2 transactions
WMT 08/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/17/20	133.00	...	-133.00	1 of 5 - Option expiration- long call
	4.000	0.00	08/18/20	140.00	...	-140.00	2 of 5 - Option expiration- long call
	1.000	0.00	08/18/20	34.00	...	-34.00	3 of 5 - Option expiration- long call
	1.000	0.00	08/18/20	31.00	...	-31.00	4 of 5 - Option expiration- long call
	8.000	0.00	08/19/20	40.00	...	-40.00	5 of 5 - Option expiration- long call
08/21/20	15.000	0.00	Various	378.00	...	-378.00	Total of 5 transactions
WMT 09/04/2020 CALL \$141.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	329.99	08/28/20	305.00	...	24.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	659.97	08/31/20	162.00	...	497.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	3.000	989.96	Various	467.00	...	522.96	Total of 2 transactions
WMT 09/04/2020 CALL \$155.00 / CUSIP: / Symbol:							
09/04/20	4.000	0.00	09/01/20	500.00	...	-500.00	Option expiration- long call

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Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORK 07/10/2020 CALL \$32.00 / CUSIP: / Symbol:							
07/08/20	10.000	2,249.93	06/08/20	2,450.00	...	-200.07	Option sale to close-call <sup>25</sup>
07/08/20	10.000	2,249.93	06/05/20	2,950.00	700.07 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	7.000	1,399.95	06/08/20	2,100.05	...	-700.10	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	599.97	06/08/20	900.02	...	-300.05	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/20	10.000	1,999.92	Various	3,000.07	...	-1,000.15	Total of 2 transactions
<b>Security total:</b>		<b>6,499.78</b>		<b>8,400.07</b>	<b>700.07 W</b>	<b>-1,200.22</b>	
WORK 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/18/20	5.000	0.00	09/10/20	50.00	...	-50.00	Option expiration- long call
WY 05/22/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	3.000	29.99	05/07/20	75.00	...	-45.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.99	05/13/20	5.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/20	4.000	39.98	Various	80.00	...	-40.02	Total of 2 transactions
WY 05/22/2020 CALL \$20.50 / CUSIP: / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	5.000	149.98	05/07/20	75.00	...	74.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	199.98	05/07/20	50.00	...	149.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/08/20	10.000	349.96	Various	125.00	...	224.96	Total of 2 transactions
WY 05/22/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/22/20	5.000	0.00	05/08/20	50.00	...	-50.00	Option expiration- long call
WY 05/29/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	105.00	05/13/20	15.00	...	90.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	104.99	05/13/20	10.00	...	94.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	314.98	05/13/20	45.00	...	269.98	3 of 3 - Option sale to close-call <sup>25</sup>
05/26/20	5.000	524.97	Various	70.00	...	454.97	Total of 3 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
X 07/02/2020 CALL \$9.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	8.000	0.00	06/24/20	64.00	...	-64.00	1 of 3 - Option expiration- long call
	2.000	0.00	06/25/20	24.01	...	-24.01	2 of 3 - Option expiration- long call
	1.000	0.00	06/25/20	12.02	...	-12.02	3 of 3 - Option expiration- long call
07/02/20	11.000	0.00	Various	100.03	...	-100.03	Total of 3 transactions
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	3.000	2.98	06/24/20	24.00	14.01 W	-7.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.98	06/24/20	8.00	7.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	4.000	3.96	Various	32.00	21.03 W	-7.01	Total of 2 transactions
	<b>Security total:</b>	<b>3.96</b>		<b>132.03</b>	<b>21.03 W</b>	<b>-107.04</b>	
XLF 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/16/20	3.000	299.98	05/28/20	207.00	...	92.98	Option sale to close-call <sup>25</sup>
XLF 07/17/2020 CALL \$27.00 / CUSIP: / Symbol:							
06/16/20	1.000	34.98	05/28/20	17.00	...	17.98	Option sale to close-call <sup>25</sup>
ZM 08/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/21/20	1.000	99.98	08/20/20	55.00	...	44.98	Option sale to close-call <sup>25</sup>
ZM 09/04/2020 CALL \$400.00 / CUSIP: / Symbol:							
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	149.98	08/31/20	80.00	...	69.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	149.98	08/31/20	80.00	...	69.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	449.98	08/31/20	240.00	...	209.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/31/20	5.000	749.94	Various	400.00	...	349.94	Total of 3 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	3,969.91	08/31/20	136.00	...	3,833.91	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	7,939.81	08/31/20	272.00	...	7,667.81	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	3.000	11,909.72	Various	408.00	...	11,501.72	Total of 2 transactions
	<b>Security total:</b>	<b>12,659.66</b>		<b>808.00</b>	...	<b>11,851.66</b>	

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 09/04/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	649.98	09/01/20	810.00	...	-160.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	649.98	09/01/20	452.00	...	197.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	1,299.96	Various	1,262.00	...	37.96	Total of 2 transactions
ZM 09/18/2020 CALL \$420.00 / CUSIP: / Symbol:							
09/17/20	1.000	359.98	09/15/20	480.00	...	-120.02	Option sale to close-call <sup>25</sup>
ZM 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/23/20	1.000	1,719.95	09/22/20	770.00	...	949.95	Option sale to close-call <sup>25</sup>
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	09/24/20	175.00	...	124.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	09/24/20	175.00	...	124.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/25/20	2.000	599.96	Various	350.00	...	249.96	Total of 2 transactions
	<b>Security total:</b>	<b>2,319.91</b>		<b>1,120.00</b>	...	<b>1,199.91</b>	
ZM 11/13/2020 CALL \$505.00 / CUSIP: / Symbol:							
8 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	47.00	11/09/20	40.00	...	7.00	1 of 8 - Option sale to close-call <sup>25</sup>
	10.000	469.96	11/10/20	90.00	...	379.96	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	47.00	11/11/20	108.00	...	-61.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	47.00	11/11/20	5.00	...	42.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	47.00	11/11/20	5.00	...	42.00	5 of 8 - Option sale to close-call <sup>25</sup>
	4.000	187.98	11/11/20	20.00	...	167.98	6 of 8 - Option sale to close-call <sup>25</sup>
	6.000	281.98	11/11/20	30.00	...	251.98	7 of 8 - Option sale to close-call <sup>25</sup>
	11.000	516.96	11/11/20	55.00	...	461.96	8 of 8 - Option sale to close-call <sup>25</sup>
11/12/20	35.000	1,644.88	Various	353.00	...	1,291.88	Total of 8 transactions
11/12/20	1.000	47.00	11/09/20	150.00	103.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,691.88</b>		<b>503.00</b>	<b>103.00 W</b>	<b>1,291.88</b>	
ZM 11/13/2020 PUT \$400.00 / CUSIP: / Symbol:							
11/13/20	1.000	139.98	11/12/20	125.02	...	14.96	Option sale to close-put <sup>25</sup>
11/13/20	1.000	114.98	11/12/20	135.00	20.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>254.96</b>		<b>260.02</b>	<b>20.02 W</b>	<b>14.96</b>	

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZM 11/20/2020 CALL \$450.00 / CUSIP: / Symbol:							
11/18/20	1.000	399.98	11/16/20	247.00	...	152.98	Option sale to close-call <sup>25</sup>
ZM 11/27/2020 CALL \$460.00 / CUSIP: / Symbol:							
4 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	174.99	11/25/20	255.03	...	-80.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	174.99	11/25/20	150.00	...	24.99	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	349.99	11/25/20	300.00	...	49.99	3 of 4 - Option sale to close-call <sup>25</sup>
	6.000	1,049.96	11/25/20	870.00	...	179.96	4 of 4 - Option sale to close-call <sup>25</sup>
11/25/20	10.000	1,749.93	Various	1,575.03	...	174.90	Total of 4 transactions
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	279.98	11/23/20	340.00	60.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.99	11/24/20	280.02	105.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/25/20	2.000	454.97	Various	620.02	165.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,204.90</b>		<b>2,195.05</b>	<b>165.05 W</b>	<b>174.90</b>	
ZM 12/04/2020 CALL \$550.00 / CUSIP: / Symbol:							
14 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/30/20	947.00	...	-947.00	1 of 14 - Option expiration- long call
	1.000	0.00	11/30/20	860.00	...	-860.00	2 of 14 - Option expiration- long call
	20.000	0.00	12/01/20	500.00	...	-500.00	3 of 14 - Option expiration- long call
	20.000	0.00	12/01/20	400.00	...	-400.00	4 of 14 - Option expiration- long call
	8.000	0.00	12/01/20	280.00	...	-280.00	5 of 14 - Option expiration- long call
	2.000	0.00	12/01/20	70.00	...	-70.00	6 of 14 - Option expiration- long call
	1.000	0.00	12/01/20	25.00	...	-25.00	7 of 14 - Option expiration- long call
	1.000	0.00	12/01/20	25.00	...	-25.00	8 of 14 - Option expiration- long call
	1.000	0.00	12/01/20	25.00	...	-25.00	9 of 14 - Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ZM 12/04/2020 CALL \$550.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	12/01/20	25.00	...	-25.00	10 of 14 - Option expiration- long call
	1.000	0.00	12/01/20	25.00	...	-25.00	11 of 14 - Option expiration- long call
	10.000	0.00	12/02/20	150.00	...	-150.00	12 of 14 - Option expiration- long call
	10.000	0.00	12/02/20	150.00	...	-150.00	13 of 14 - Option expiration- long call
	5.000	0.00	12/02/20	65.00	...	-65.00	14 of 14 - Option expiration- long call
12/04/20	82.000	0.00	Various	3,547.00	...	-3,547.00	Total of 14 transactions
ZM 12/11/2020 CALL \$405.00 / CUSIP: / Symbol:							
12/10/20	1.000	224.98	12/09/20	205.00	...	19.98	Option sale to close-call <sup>25</sup>
ZM 12/24/2020 CALL \$400.00 / CUSIP: / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/23/20	141.00	...	-141.00	1 of 3 - Option expiration- long call
	1.000	0.00	12/23/20	141.00	...	-141.00	2 of 3 - Option expiration- long call
	2.000	0.00	12/23/20	110.00	...	-110.00	3 of 3 - Option expiration- long call
12/24/20	4.000	0.00	Various	392.00	...	-392.00	Total of 3 transactions
ZM 12/24/2020 CALL \$550.00 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/07/20	110.00	...	-110.00	1 of 2 - Option expiration- long call
	3.000	0.00	12/08/20	330.00	...	-330.00	2 of 2 - Option expiration- long call
12/24/20	4.000	0.00	Various	440.00	...	-440.00	Total of 2 transactions
ZM 12/31/2020 CALL \$550.00 / CUSIP: / Symbol:							
3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/09/20	135.00	...	-135.00	1 of 3 - Option expiration- long call
	2.000	0.00	12/10/20	170.00	...	-170.00	2 of 3 - Option expiration- long call
	3.000	0.00	12/21/20	165.00	...	-165.00	3 of 3 - Option expiration- long call
12/31/20	6.000	0.00	Various	470.00	...	-470.00	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZTS 11/20/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/23/20	10.00	...	-10.00	1 of 2 - Option expiration- long call
	2.000	0.00	10/23/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
11/20/20	4.000	0.00	Various	20.00	...	-20.00	Total of 2 transactions
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	800.000	2,607.85	11/23/20	2,640.00	...	-32.15	1 of 3 - Sale <sup>25</sup>
	400.000	1,303.92	11/23/20	1,320.00	...	-16.08	2 of 3 - Sale <sup>25</sup>
	100.000	325.98	11/23/20	334.02	...	-8.04	3 of 3 - Sale <sup>25</sup>
11/30/20	1,300.000	4,237.75	Various	4,294.02	...	-56.27	Total of 3 transactions
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	100.000	329.99	11/23/20	330.00	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	325.99	11/23/20	330.01	4.02 W	0.00	2 of 2 - Sale <sup>25</sup>
11/30/20	200.000	655.98	Various	660.01	4.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,893.73</b>		<b>4,954.03</b>	<b>4.03 W</b>	<b>-56.27</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	50.000	628.98	09/29/20	616.00	...	12.98	1 of 2 - Sale <sup>25</sup>
	100.000	1,257.96	09/29/20	1,232.00	...	25.96	2 of 2 - Sale <sup>25</sup>
09/30/20	150.000	1,886.94	Various	1,848.00	...	38.94	Total of 2 transactions
ATLANTIC POWER CORPORATION / CUSIP: 04878Q863 / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	91.000	184.06	10/20/20	184.73	...	-0.67	1 of 2 - Sale <sup>25</sup>
	3.000	6.07	10/20/20	6.09	...	-0.02	2 of 2 - Sale <sup>25</sup>
10/26/20	94.000	190.13	Various	190.82	...	-0.69	Total of 2 transactions
AVALON GLOBOCARE CORP. COMMON STOCK / CUSIP: 05344R104 / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	100.000	122.13	12/07/20	124.95	...	-2.82	1 of 4 - Sale <sup>25</sup>
	100.000	122.14	12/07/20	124.95	...	-2.81	2 of 4 - Sale <sup>25</sup>

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Account 960351997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVALON GLOBOCARE CORP. COMMON STOCK / CUSIP: 05344R104 / Symbol: (cont'd)							
	142.000	173.44	12/07/20	175.37	...	-1.93	3 of 4 - Sale <sup>25</sup>
	58.000	70.84	12/07/20	71.89	...	-1.05	4 of 4 - Sale <sup>25</sup>
12/09/20	400.000	488.55	Various	497.16	...	-8.61	Total of 4 transactions
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
10/12/20	30.000	3,104.93	09/24/20	2,295.00	...	809.93	Sale <sup>25</sup>
CENTER COAST BROOKFIELD MLP & ENERGY INFRASTRUCTURE FUND / CUSIP: 151461209 / Symbol:							
2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	12.000	96.00	06/18/20	125.69	...	-29.69	1 of 2 - Sale <sup>25</sup>
	1.000	8.00	06/18/20	10.47	...	-2.47	2 of 2 - Sale <sup>25</sup>
10/20/20	13.000	104.00	Various	136.16	...	-32.16	Total of 2 transactions
10/21/20	27.000	216.00	06/18/20	282.80	...	-66.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>320.00</b>		<b>418.96</b>	...	<b>-98.96</b>	
CF FINANCE ACQUISITION CORP. I I CLASS A COMMON STOCK / CUSIP: 15725Q104 / Symbol:							
12/16/20	15.000	155.70	12/01/20	155.25	...	0.45	Sale <sup>25</sup>
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
5 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	75.000	1,907.36	06/08/20	5,029.50	3,122.14 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	101.73	06/10/20	198.08	96.35 W	0.00	2 of 5 - Sale <sup>25</sup>
	9.000	228.88	06/10/20	439.56	210.68 W	0.00	3 of 5 - Sale <sup>25</sup>
	9.000	228.89	06/11/20	381.04	152.15 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	76.29	06/11/20	131.98	55.69 W	0.00	5 of 5 - Sale <sup>25</sup>
09/22/20	100.000	2,543.15	Various	6,180.16	3,637.01 W	0.00	Total of 5 transactions
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	28.000	624.38	09/15/20	1,860.00	...	-1,235.62	1 of 2 - Sale <sup>25</sup>
	17.000	378.59	09/15/20	1,129.28	...	-750.69	2 of 2 - Sale <sup>25</sup>
09/23/20	45.000	1,002.97	Various	2,989.28	...	-1,986.31	Total of 2 transactions
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	30.000	668.98	06/16/20	1,379.70	710.72 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	557.48	06/24/20	856.23	179.25 W	-119.50	2 of 2 - Sale <sup>25</sup>
09/23/20	55.000	1,226.46	Various	2,235.93	889.97 W	-119.50	Total of 2 transactions

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
7 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	30.000	689.98	09/15/20	1,992.86	...	-1,302.88	1 of 7 - Sale <sup>25</sup>
	30.000	689.98	09/15/20	1,454.72	...	-764.74	2 of 7 - Sale <sup>25</sup>
	9.000	206.99	09/15/20	433.88	...	-226.89	3 of 7 - Sale <sup>25</sup>
	15.000	344.99	09/15/20	551.25	...	-206.26	4 of 7 - Sale <sup>25</sup>
	9.000	206.99	09/15/20	375.35	...	-168.36	5 of 7 - Sale <sup>25</sup>
	4.000	92.00	09/15/20	195.55	...	-103.55	6 of 7 - Sale <sup>25</sup>
	3.000	69.00	09/15/20	130.09	...	-61.09	7 of 7 - Sale <sup>25</sup>
09/24/20	100.000	2,299.93	Various	5,133.70	...	-2,833.77	Total of 7 transactions
	<b>Security total:</b>	<b>7,072.51</b>		<b>16,539.07</b>	<b>4,526.98 W</b>	<b>-4,939.58</b>	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	84.12	05/20/20	42.90	...	41.22	1 of 2 - Sale <sup>25</sup>
	9.000	757.08	10/14/20	802.80	...	-45.72	2 of 2 - Sale <sup>25</sup>
10/16/20	10.000	841.20	Various	845.70	...	-4.50	Total of 2 transactions
10/16/20	10.000	841.20	10/14/20	894.60	5.34 W	-48.06	Sale <sup>25</sup>
10/20/20	1.000	83.25	10/14/20	94.54	...	-11.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,765.65</b>		<b>1,834.84</b>	<b>5.34 W</b>	<b>-63.85</b>	
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
10/20/20	90.000	130.49	05/15/20	85.51	...	44.98	Sale <sup>25</sup>
HIGHPOINT RESOURCES CORPORATION COMMON STOCK / CUSIP: 43114K108 / Symbol:							
06/08/20	3,000.000	1,649.93	06/03/20	800.07	...	849.86	Sale <sup>25</sup>
LI AUTO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 50202M102 / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	18.52	07/30/20	17.00	...	1.52	1 of 2 - Sale <sup>25</sup>
	6.000	111.12	07/30/20	103.29	...	7.83	2 of 2 - Sale <sup>25</sup>
10/26/20	7.000	129.64	Various	120.29	...	9.35	Total of 2 transactions
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
10/20/20	1.000	4.12	05/04/20	5.58	...	-1.46	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
07/31/20	100.000	1,192.26	07/24/20	1,184.98	...	7.28	Sale <sup>25</sup>
10/06/20	85.000	1,814.69	09/30/20	1,827.50	...	-12.81	Sale <sup>25</sup>
12/17/20	100.000	4,469.89	11/18/20	4,600.00	36.43 W	-93.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,476.84</b>		<b>7,612.48</b>	<b>36.43 W</b>	<b>-99.21</b>	
OCCIDENTAL PETROLEUM CORPORATION / CUSIP: 674599105 / Symbol:							
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	100.000	1,049.96	09/15/20	1,078.00	...	-28.04	1 of 4 - Sale <sup>25</sup>
	97.000	1,018.47	09/15/20	1,045.66	...	-27.19	2 of 4 - Sale <sup>25</sup>
	100.000	1,049.96	09/15/20	1,077.00	...	-27.04	3 of 4 - Sale <sup>25</sup>
	3.000	31.50	09/15/20	32.34	...	-0.84	4 of 4 - Sale <sup>25</sup>
09/23/20	300.000	3,149.89	Various	3,233.00	...	-83.11	Total of 4 transactions
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	20.000	516.99	08/06/20	390.00	...	126.99	1 of 3 - Sale <sup>25</sup>
	30.000	775.48	08/06/20	585.00	...	190.48	2 of 3 - Sale <sup>25</sup>
	50.000	1,292.46	08/06/20	975.00	...	317.46	3 of 3 - Sale <sup>25</sup>
08/21/20	100.000	2,584.93	Various	1,950.00	...	634.93	Total of 3 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	20.000	474.99	08/06/20	390.00	...	84.99	1 of 3 - Sale <sup>25</sup>
	77.000	1,828.69	09/02/20	2,537.15	...	-708.46	2 of 3 - Sale <sup>25</sup>
	3.000	71.25	09/02/20	98.85	...	-27.60	3 of 3 - Sale <sup>25</sup>
09/14/20	100.000	2,374.93	Various	3,026.00	...	-651.07	Total of 3 transactions
	<b>Security total:</b>	<b>4,959.86</b>		<b>4,976.00</b>	...	<b>-16.14</b>	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	61.000	1,862.38	06/05/20	1,978.84	...	-116.46	1 of 2 - Sale <sup>25</sup>
	39.000	1,190.71	06/05/20	1,265.16	...	-74.45	2 of 2 - Sale <sup>25</sup>
08/06/20	100.000	3,053.09	Various	3,244.00	...	-190.91	Total of 2 transactions

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Robinhood Securities LLC

Account 960351997

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	7.000	102.55	07/13/20	139.69	...	-37.14	1 of 3 - Sale <sup>25</sup>
	1.000	14.65	07/13/20	19.95	...	-5.30	2 of 3 - Sale <sup>25</sup>
	1.000	14.65	07/13/20	19.90	...	-5.25	3 of 3 - Sale <sup>25</sup>
09/23/20	9.000	131.85	Various	179.54	...	-47.69	Total of 3 transactions
SUPERIOR ENERGY SVCS INC COMMO N STOCK / CUSIP: 868157306 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	100.000	253.99	06/05/20	148.00	...	105.99	1 of 3 - Sale <sup>25</sup>
	195.000	493.33	06/05/20	288.60	...	204.73	2 of 3 - Sale <sup>25</sup>
	1,705.000	4,313.35	06/05/20	2,523.40	...	1,789.95	3 of 3 - Sale <sup>25</sup>
06/08/20	2,000.000	5,060.67	Various	2,960.00	...	2,100.67	Total of 3 transactions
TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol:							
9 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1,090.000	872.38	06/08/20	1,940.20	...	-1,067.82	1 of 9 - Sale <sup>25</sup>
	100.000	80.03	06/08/20	178.00	...	-97.97	2 of 9 - Sale <sup>25</sup>
	100.000	80.04	06/08/20	178.00	...	-97.96	3 of 9 - Sale <sup>25</sup>
	100.000	80.04	06/08/20	178.00	...	-97.96	4 of 9 - Sale <sup>25</sup>
	100.000	80.04	06/08/20	178.00	...	-97.96	5 of 9 - Sale <sup>25</sup>
	100.000	80.04	06/08/20	178.00	...	-97.96	6 of 9 - Sale <sup>25</sup>
	60.000	48.02	06/08/20	106.80	...	-58.78	7 of 9 - Sale <sup>25</sup>
	10.000	8.00	06/10/20	15.60	...	-7.60	8 of 9 - Sale <sup>25</sup>
	100.000	80.04	06/11/20	123.99	...	-43.95	9 of 9 - Sale <sup>25</sup>
09/09/20	1,760.000	1,408.63	Various	3,076.59	...	-1,667.96	Total of 9 transactions
11 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1.15	11/18/20	1.10	...	0.05	1 of 11 - Sale <sup>25</sup>
	4.000	4.60	11/18/20	4.40	...	0.20	2 of 11 - Sale <sup>25</sup>
	51.000	58.64	11/18/20	56.10	...	2.54	3 of 11 - Sale <sup>25</sup>
	70.000	80.49	11/18/20	77.00	...	3.49	4 of 11 - Sale <sup>25</sup>
	216.000	248.38	11/18/20	237.60	...	10.78	5 of 11 - Sale <sup>25</sup>
	360.000	413.95	11/18/20	396.00	...	17.95	6 of 11 - Sale <sup>25</sup>
	400.000	459.94	11/18/20	440.00	...	19.94	7 of 11 - Sale <sup>25</sup>
	505.000	580.67	11/18/20	555.50	...	25.17	8 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol: (cont'd)							
	553.000	635.87	11/18/20	608.30	...	27.57	9 of 11 - Sale <sup>25</sup>
	570.000	655.41	11/18/20	627.00	...	28.41	10 of 11 - Sale <sup>25</sup>
	2,270.000	2,610.17	11/18/20	2,497.00	...	113.17	11 of 11 - Sale <sup>25</sup>
11/23/20	5,000.000	5,749.27	Various	5,500.00	...	249.27	Total of 11 transactions
	<b>Security total:</b>	<b>7,157.90</b>		<b>8,576.59</b>	...	<b>-1,418.69</b>	
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
08/06/20	1,250.000	537.33	06/04/20	593.50	...	-56.17	Sale <sup>25</sup>
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
11/18/20	7.000	251.96	11/11/20	255.50	...	-3.54	Sale <sup>25</sup>
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	10.000	190.09	08/27/20	235.00	44.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	40.000	760.38	08/27/20	876.00	115.62 W	0.00	2 of 2 - Sale <sup>25</sup>
09/11/20	50.000	950.47	Various	1,111.00	160.53 W	0.00	Total of 2 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	10.000	189.99	08/27/20	263.91	73.92 W	0.00	1 of 3 - Sale <sup>25</sup>
	17.000	322.99	08/31/20	404.69	81.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	23.000	437.00	08/31/20	547.53	110.53 W	0.00	3 of 3 - Sale <sup>25</sup>
09/14/20	50.000	949.98	Various	1,216.13	266.15 W	0.00	Total of 3 transactions
5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	23.000	449.41	10/06/20	572.83	...	-123.42	1 of 5 - Sale <sup>25</sup>
	17.000	332.17	10/06/20	423.40	...	-91.23	2 of 5 - Sale <sup>25</sup>
	10.000	195.39	10/06/20	274.92	...	-79.53	3 of 5 - Sale <sup>25</sup>
	40.000	781.58	10/06/20	804.00	...	-22.42	4 of 5 - Sale <sup>25</sup>
	10.000	195.39	10/06/20	198.00	...	-2.61	5 of 5 - Sale <sup>25</sup>
10/13/20	100.000	1,953.94	Various	2,273.15	...	-319.21	Total of 5 transactions
	<b>Security total:</b>	<b>3,854.39</b>		<b>4,600.28</b>	<b>426.68 W</b>	<b>-319.21</b>	
NOBLE CORP PLC COMMON STOCK / CUSIP: G65431101 / Symbol:							
06/08/20	2,000.000	1,275.33	06/03/20	329.80	...	945.53	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 960351997

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
10/20/20	40.000	44.40	07/09/20	111.50	...	-67.10	Sale <sup>25</sup>
<b>Totals :</b>		<b>356,037.97</b>		<b>422,435.83</b>	<b>62,545.77 W</b>	<b>-3,852.09</b>	

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Robinhood Securities LLC

Account 960351997

## Detail for Dividends and Distributions

2020

03/15/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CENTER COAST BROOKFIELD MLP & ENERGY INFRASTRUCTURE FUND	151461209		09/24/20	9.00	Nondividend distribution	03
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		06/30/20	1.18	Qualified dividend	
			09/29/20	0.16	Qualified dividend	
				<b>1.34</b>	<b>Total Dividends &amp; distributions</b>	
				<b>10.34</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 960351997

**Fees and Expenses**

2020

03/15/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/15/20	-5.00	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		08/12/20	-5.00	Margin interest paid	
		09/11/20	-5.00	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		11/10/20	-5.00	Margin interest paid	
		12/10/20	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
			<b>-35.00</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 960351997

## Non-Reportable Actions

2020

03/15/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AAPL 09/18/2020 CALL \$600.00	AAPL 09/18/20 C 600.000	08/31/20	-1.00	0.00	Merger	
AAPL 09/18/2020 CALL \$150.00	AAPL 09/18/20 C 150.000	08/31/20	4.00	0.00	Merger	
AAPL 01/15/2021 CALL \$500.00	AAPL 01/15/21 C 500.000	08/31/20	-3.00	0.00	Merger	
AAPL 01/15/2021 CALL \$125.00	AAPL 01/15/21 C 125.000	08/31/20	12.00	0.00	Merger	
FG 06/19/2020 CALL \$10.00	FG 06/19/20 C 10.000	06/02/20	-11.00	0.00	Merger	
FNF1 06/19/2020 CALL \$10.00	FNF1 06/19/20 C 10.000	06/02/20	11.00	0.00	Merger	
PFE 01/15/2021 CALL \$60.00	PFE 01/15/21 C 60.000	11/17/20	-200.00	0.00	Merger	
PFE1 01/15/2021 CALL \$60.00	PFE1 01/15/21 C 60.000	11/17/20	200.00	0.00	Merger	
		12/02/20	200.00	0.00	Merger	
		12/02/20	-200.00	0.00	Merger	
TSLA 01/15/2021 PUT \$1.00	TSLA 01/15/21 P 1.000	08/31/20	35.00	0.00	Merger	
TSLA 01/15/2021 PUT \$5.00	TSLA 01/15/21 P 5.000	08/31/20	-7.00	0.00	Merger	
TSLA 09/11/2020 CALL \$600.00	TSLA 09/11/20 C 600.000	08/31/20	5.00	0.00	Merger	
TSLA 09/18/2020 CALL \$800.00	TSLA 09/18/20 C 800.000	08/31/20	5.00	0.00	Merger	
TSLA 09/11/2020 CALL \$3,000.00	TSLA 09/11/20 C 3000.000	08/31/20	-1.00	0.00	Merger	
TSLA 09/18/2020 CALL \$4,000.00	TSLA 09/18/20 C 4000.000	08/31/20	-1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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