

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 129183760	Statement Date: 02/14/2021 Document ID: P1H7 QP1 22PE	2020
	Ajay Gadde 509 Kevin Ct Camp Hill, PA 17011 RECIPIENT'S TIN: XXX-XX-8954		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	113.37		2- Royalties	0.00	
1b- Qualified dividends	113.37		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	2.35		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	275,805.39	282,924.76	0.00	5,940.35	-1,179.02
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	275,805.39	282,924.76	0.00	5,940.35	-1,179.02
Long	D (basis reported to the IRS)	15,539.24	12,877.07	0.00	0.00	2,662.17
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	15,539.24	12,877.07	0.00	0.00	2,662.17
Undetermined	B or E (basis not reported to the IRS)	5,427.87	0.00	0.00	0.00	5,427.87
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	5,427.87	0.00	0.00	0.00	5,427.87
	Grand total	296,772.50	295,801.83	0.00	5,940.35	6,911.02

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	38.79
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 05/29/2020 PUT \$95.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/07/20	108.00	...	-108.00	Option expiration- long put
DIS 06/12/2020 PUT \$95.00 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	05/07/20	178.00	...	-178.00	Option expiration- long put
NKLA 10/16/2020 PUT \$5.00 / CUSIP: / Symbol:							
09/11/20	10.000	89.97	07/17/20	200.00	...	-110.03	Option sale to close-put ²⁵
SPY 03/31/2020 PUT \$216.00 / CUSIP: / Symbol:							
4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	2.000	57.99	03/25/20	318.00	260.01 W	0.00	1 of 4 - Option sale to close-put ²⁵
	1.000	29.00	03/25/20	126.00	97.00 W	0.00	2 of 4 - Option sale to close-put ²⁵
	1.000	28.99	03/25/20	126.00	97.01 W	0.00	3 of 4 - Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 03/31/2020 PUT \$216.00 / CUSIP: / Symbol: (cont'd)							
	1.000	29.00	03/25/20	120.00	91.00 W	0.00	4 of 4 - Option sale to close-put ²⁵
03/27/20	5.000	144.98	Various	690.00	545.02 W	0.00	Total of 4 transactions
6 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/25/20	250.01	...	-250.01	1 of 6 - Option expiration- long put
	5.000	0.00	03/26/20	300.00	...	-300.00	2 of 6 - Option expiration- long put
	1.000	0.00	03/26/20	192.00	...	-192.00	3 of 6 - Option expiration- long put
	1.000	0.00	03/26/20	159.01	...	-159.01	4 of 6 - Option expiration- long put
	1.000	0.00	03/26/20	159.00	...	-159.00	5 of 6 - Option expiration- long put
	1.000	0.00	03/26/20	153.00	...	-153.00	6 of 6 - Option expiration- long put
03/31/20	10.000	0.00	Various	1,213.02	...	-1,213.02	Total of 6 transactions
	Security total:	144.98		1,903.02	545.02 W	-1,213.02	
VXX 04/03/2020 CALL \$69.00 / CUSIP: / Symbol:							
04/02/20	1.000	7.98	03/20/20	675.00	...	-667.02	Option sale to close-call ²⁵
VXX 12/04/2020 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	18.000	0.00	11/30/20	18.00	...	-18.00	1 of 3 - Option expiration- long call
	10.000	0.00	11/30/20	10.00	...	-10.00	2 of 3 - Option expiration- long call
	2.000	0.00	11/30/20	2.00	...	-2.00	3 of 3 - Option expiration- long call
12/04/20	30.000	0.00	Various	30.00	...	-30.00	Total of 3 transactions
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	40.000	2,457.34	08/24/20	2,457.40	...	-0.06	1 of 3 - Sale ²⁵
	20.000	1,228.67	08/24/20	1,228.50	...	0.17	2 of 3 - Sale ²⁵
	5.000	307.16	08/25/20	301.23	...	5.93	3 of 3 - Sale ²⁵
08/26/20	65.000	3,993.17	Various	3,987.13	...	6.04	Total of 3 transactions
THE 3D PRINTING ETF / CUSIP: 00214Q500 / Symbol:							
08/24/20	50.000	1,170.47	08/17/20	1,170.75	...	-0.28	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	100.000	4,002.83	08/18/20	3,835.50	...	167.33	1 of 2 - Sale ²⁵
	100.000	4,002.83	08/18/20	3,833.00	...	169.83	2 of 2 - Sale ²⁵
08/27/20	200.000	8,005.66	Various	7,668.50	...	337.16	Total of 2 transactions
ACUTUS MEDICAL, INC. COMMON ST OCK / CUSIP: 005111109 / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	50.000	1,326.97	08/11/20	1,348.50	...	-21.53	1 of 2 - Sale ²⁵
	50.000	1,326.97	08/11/20	1,291.50	...	35.47	2 of 2 - Sale ²⁵
08/17/20	100.000	2,653.94	Various	2,640.00	...	13.94	Total of 2 transactions
ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol:							
3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	75.000	10,886.01	01/14/20	10,886.25	...	-0.24	1 of 3 - Sale ²⁵
	20.000	2,902.94	01/14/20	2,903.00	...	-0.06	2 of 3 - Sale ²⁵
	5.000	725.73	01/14/20	725.75	...	-0.02	3 of 3 - Sale ²⁵
01/14/20	100.000	14,514.68	Various	14,515.00	...	-0.32	Total of 3 transactions
AMERICAN EXPRESS COMPANY / CUSIP: 025816109 / Symbol:							
4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	10.000	866.29	04/27/20	837.40	...	28.89	1 of 4 - Sale ²⁵
	10.000	866.29	04/27/20	831.80	...	34.49	2 of 4 - Sale ²⁵
	10.000	866.29	04/27/20	831.63	...	34.66	3 of 4 - Sale ²⁵
	20.000	1,732.57	04/27/20	1,668.00	...	64.57	4 of 4 - Sale ²⁵
05/04/20	50.000	4,331.44	Various	4,168.83	...	162.61	Total of 4 transactions
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol:							
03/20/20	100.000	5,893.85	03/19/20	5,664.00	...	229.85	Sale ²⁵
03/23/20	100.000	5,595.87	03/23/20	5,268.99	...	326.88	Sale ²⁵
	Security total:	11,489.72		10,932.99	...	556.73	

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Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
02/28/20	10.000	2,799.55	01/06/20	3,331.85	532.30 W	0.00	Sale ²⁵
	3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	10.000	1,010.28	03/18/20	1,542.30	...	-532.02	1 of 3 - Sale ²⁵
	29.000	2,929.82	03/18/20	2,929.00	...	0.82	2 of 3 - Sale ²⁵
	61.000	6,162.74	03/18/20	6,161.00	...	1.74	3 of 3 - Sale ²⁵
03/18/20	100.000	10,102.84	Various	10,632.30	...	-529.46	Total of 3 transactions
	2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	8.000	1,019.95	04/27/20	1,043.76	23.81 W	0.00	1 of 2 - Sale ²⁵
	2.000	254.99	04/27/20	260.80	5.81 W	0.00	2 of 2 - Sale ²⁵
04/27/20	10.000	1,274.94	Various	1,304.56	29.62 W	0.00	Total of 2 transactions
	6 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	2.000	263.13	04/27/20	263.75	0.62 W	0.00	1 of 6 - Sale ²⁵
	8.000	1,052.54	04/27/20	1,055.59	3.05 W	0.00	2 of 6 - Sale ²⁵
	2.000	256.06	04/27/20	257.19	1.13 W	0.00	3 of 6 - Sale ²⁵
	8.000	1,024.24	04/27/20	1,029.32	5.08 W	0.00	4 of 6 - Sale ²⁵
	20.000	2,560.59	04/28/20	2,629.00	34.21 W	-34.20	5 of 6 - Sale ²⁵
	20.000	2,560.59	04/28/20	2,621.80	61.21 W	0.00	6 of 6 - Sale ²⁵
04/28/20	60.000	7,717.15	Various	7,856.65	105.30 W	-34.20	Total of 6 transactions
	5 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	10.000	1,273.32	04/28/20	1,330.43	...	-57.11	1 of 5 - Sale ²⁵
	8.000	1,018.66	04/28/20	1,044.94	...	-26.28	2 of 5 - Sale ²⁵
	2.000	254.66	04/28/20	261.09	...	-6.43	3 of 5 - Sale ²⁵
	10.000	1,273.32	04/29/20	1,411.43	...	-138.11	4 of 5 - Sale ²⁵
	10.000	1,273.32	04/29/20	1,407.83	...	-134.51	5 of 5 - Sale ²⁵
05/04/20	40.000	5,093.28	Various	5,455.72	...	-362.44	Total of 5 transactions
	Security total:	26,987.76		28,581.08	667.22 W	-926.10	
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
08/10/20	250.000	493.72	08/03/20	560.00	...	-66.28	Sale ²⁵
CHEVRON CORPORATION / CUSIP: 166764100 / Symbol:							
03/25/20	100.000	6,901.84	03/23/20	5,351.99	...	1,549.85	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
04/23/20	10.000	454.92	04/22/20	458.46	3.54 W	0.00	Sale ²⁵
	4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	20.000	876.03	04/27/20	924.16	...	-48.13	1 of 4 - Sale ²⁵
	20.000	876.02	04/27/20	923.60	...	-47.58	2 of 4 - Sale ²⁵
	6.000	262.81	04/27/20	278.72	...	-15.91	3 of 4 - Sale ²⁵
	4.000	175.21	04/27/20	185.82	...	-10.61	4 of 4 - Sale ²⁵
05/13/20	50.000	2,190.07	Various	2,312.30	...	-122.23	Total of 4 transactions
06/30/20	100.000	4,419.13	06/25/20	4,425.76	...	-6.63	Sale ²⁵
	Security total:	7,064.12		7,196.52	3.54 W	-128.86	
COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
02/28/20	10.000	2,853.68	01/21/20	3,116.84	263.16 W	0.00	Sale ²⁵
	2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	10.000	2,796.34	03/24/20	3,116.14	...	-319.80	1 of 2 - Sale ²⁵
	10.000	2,796.33	03/24/20	2,852.97	...	-56.64	2 of 2 - Sale ²⁵
03/25/20	20.000	5,592.67	Various	5,969.11	...	-376.44	Total of 2 transactions
	Security total:	8,446.35		9,085.95	263.16 W	-376.44	
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
04/28/20	50.000	638.62	04/27/20	638.87	...	-0.25	Sale ²⁵
08/17/20	100.000	1,494.95	08/13/20	1,546.67	...	-51.72	Sale ²⁵
	Security total:	2,133.57		2,185.54	...	-51.97	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
	3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	50.000	1,144.00	04/27/20	1,132.36	...	11.64	1 of 3 - Sale ²⁵
	20.000	457.60	04/27/20	442.60	...	15.00	2 of 3 - Sale ²⁵
	30.000	686.40	04/27/20	663.30	...	23.10	3 of 3 - Sale ²⁵
04/28/20	100.000	2,288.00	Various	2,238.26	...	49.74	Total of 3 transactions
04/28/20	20.000	457.60	04/27/20	465.20	7.60 W	0.00	Sale ²⁵
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	30.000	583.26	05/06/20	630.19	...	-46.93	1 of 2 - Sale ²⁵
	20.000	388.83	05/06/20	427.72	...	-38.89	2 of 2 - Sale ²⁵
05/13/20	50.000	972.09	Various	1,057.91	...	-85.82	Total of 2 transactions

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Robinhood Securities LLC

Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
Security total:		3,717.69		3,761.37		7.60 W	-36.08
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
4 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	50.000	250.00	03/20/20	188.00	...	62.00	1 of 4 - Sale ²⁵
	121.000	604.97	03/20/20	454.96	...	150.01	2 of 4 - Sale ²⁵
	329.000	1,644.92	03/20/20	1,237.04	...	407.88	3 of 4 - Sale ²⁵
	500.000	2,654.88	03/20/20	1,880.00	...	774.88	4 of 4 - Sale ²⁵
03/24/20	1,000.000	5,154.77	Various	3,760.00	...	1,394.77	Total of 4 transactions
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	39.000	1,448.81	08/17/20	1,366.17	...	82.64	1 of 3 - Sale ²⁵
	61.000	2,266.10	08/17/20	2,136.82	...	129.28	2 of 3 - Sale ²⁵
	40.000	1,485.96	08/18/20	1,464.80	...	21.16	3 of 3 - Sale ²⁵
08/18/20	140.000	5,200.87	Various	4,967.79	...	233.08	Total of 3 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	100.000	3,752.91	08/19/20	3,723.99	...	28.92	1 of 2 - Sale ²⁵
	100.000	3,752.92	08/19/20	3,716.87	...	36.05	2 of 2 - Sale ²⁵
08/19/20	200.000	7,505.83	Various	7,440.86	...	64.97	Total of 2 transactions
08/27/20	50.000	1,717.47	08/19/20	1,876.00	158.53 W	0.00	Sale ²⁵
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	50.000	1,777.60	08/19/20	1,875.99	...	-98.39	1 of 4 - Sale ²⁵
	50.000	1,777.60	08/20/20	2,015.53	...	-237.93	2 of 4 - Sale ²⁵
	50.000	1,777.60	08/20/20	1,856.99	...	-79.39	3 of 4 - Sale ²⁵
	50.000	1,777.60	08/20/20	1,839.00	...	-61.40	4 of 4 - Sale ²⁵
09/03/20	200.000	7,110.40	Various	7,587.51	...	-477.11	Total of 4 transactions
Security total:		21,534.57		21,872.16		158.53 W	-179.06
EXPEDIA GROUP, INC. COMMON STO CK / CUSIP: 30212P303 / Symbol:							
04/28/20	10.000	702.67	04/21/20	565.70	...	136.97	Sale ²⁵
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
03/25/20	100.000	3,596.92	03/23/20	3,130.99	...	465.93	Sale ²⁵

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Robinhood Securities LLC

Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/28/20	10.000	1,844.05	04/27/20	1,881.98	...	-37.93	Sale ²⁵
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	5.23	04/27/20	4.94	...	0.29	1 of 3 - Sale ²⁵
	3.000	15.70	04/27/20	14.82	...	0.88	2 of 3 - Sale ²⁵
	96.000	502.32	04/27/20	473.28	...	29.04	3 of 3 - Sale ²⁵
04/28/20	100.000	523.25	Various	493.04	...	30.21	Total of 3 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	100.000	662.47	04/23/20	650.72	...	11.75	1 of 2 - Sale ²⁵
	100.000	662.47	04/24/20	640.50	...	21.97	2 of 2 - Sale ²⁵
04/28/20	200.000	1,324.94	Various	1,291.22	...	33.72	Total of 2 transactions
08/14/20	200.000	1,332.57	07/31/20	1,241.00	...	91.57	Sale ²⁵
	Security total:	2,657.51		2,532.22	...	125.29	
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
04/28/20	50.000	1,120.47	04/27/20	1,071.50	...	48.97	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
08/19/20	250.000	353.72	08/03/20	476.25	...	-122.53	Sale ²⁵
GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol:							
2 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	436.000	627.78	01/22/19	444.72	...	183.06	1 of 2 - Sale ²⁵
	2,000.000	2,879.70	01/22/19	2,060.00	...	819.70	2 of 2 - Sale ²⁵
01/14/20	2,436.000	3,507.48	Various	2,504.72	...	1,002.76	Total of 2 transactions
9 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	67.000	98.48	01/22/19	68.34	...	30.14	1 of 9 - Sale ²⁵
	120.000	176.39	01/22/19	122.40	...	53.99	2 of 9 - Sale ²⁵
	133.000	195.49	01/22/19	135.66	...	59.83	3 of 9 - Sale ²⁵
	378.000	555.60	01/22/19	385.56	...	170.04	4 of 9 - Sale ²⁵
	380.000	558.53	01/22/19	387.60	...	170.93	5 of 9 - Sale ²⁵

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Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol: (cont'd)							
	400.000	587.93	01/22/19	408.00	...	179.93	6 of 9 - Sale ²⁵
	486.000	714.34	01/22/19	495.72	...	218.62	7 of 9 - Sale ²⁵
	700.000	1,028.89	01/22/19	714.00	...	314.89	8 of 9 - Sale ²⁵
	900.000	1,322.86	01/22/19	918.00	...	404.86	9 of 9 - Sale ²⁵
01/15/20	3,564.000	5,238.51	Various	3,635.28	...	1,603.23	Total of 9 transactions
	Security total:	8,745.99		6,140.00	...	2,605.99	
HILTON WORLDWIDE HOLDINGS INC. / CUSIP: 43300A203 / Symbol:							
04/03/20	10.000	581.18	04/02/20	578.20	...	2.98	Sale ²⁵
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	100.000	3,296.92	08/17/20	3,014.32	...	282.60	1 of 2 - Sale ²⁵
	40.000	1,318.76	08/18/20	1,291.60	...	27.16	2 of 2 - Sale ²⁵
08/18/20	140.000	4,615.68	Various	4,305.92	...	309.76	Total of 2 transactions
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	100.000	3,279.93	08/19/20	3,229.99	...	49.94	1 of 3 - Sale ²⁵
	100.000	3,279.92	08/19/20	3,210.99	...	68.93	2 of 3 - Sale ²⁵
	100.000	3,279.92	08/19/20	3,206.99	...	72.93	3 of 3 - Sale ²⁵
08/19/20	300.000	9,839.77	Various	9,647.97	...	191.80	Total of 3 transactions
08/20/20	300.000	9,823.33	08/19/20	9,872.97	...	-49.64	Sale ²⁵
	Security total:	24,278.78		23,826.86	...	451.92	
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
04/28/20	50.000	937.54	04/27/20	874.44	...	63.10	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
01/07/20	20.000	707.48	01/07/20	695.00	...	12.48	Sale ²⁵
4 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	40.000	272.16	04/02/20	272.40	...	-0.24	1 of 4 - Sale ²⁵
	36.000	244.94	04/02/20	245.16	...	-0.22	2 of 4 - Sale ²⁵
	22.000	149.82	04/02/20	149.82	...	0.00	3 of 4 - Sale ²⁵
	2.000	13.62	04/02/20	13.62	...	0.00	4 of 4 - Sale ²⁵
04/03/20	100.000	680.54	Various	681.00	...	-0.46	Total of 4 transactions

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Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
Security total:		1,388.02		1,376.00	...	12.02	
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	23.000	826.98	03/29/19	1,968.57	...	-1,141.59	1 of 2 - Sale ²⁵
	1.000	35.95	03/29/19	85.79	...	-49.84	2 of 2 - Sale ²⁵
02/27/20	24.000	862.93	Various	2,054.36	...	-1,191.43	Total of 2 transactions
08/17/20	100.000	2,745.47	08/13/20	2,950.00	...	-204.53	Sale ²⁵
Security total:		3,608.40		5,004.36	...	-1,395.96	
MARRIOTT INTERNATIONAL CLASS A COMMON STOCK / CUSIP: 571903202 / Symbol:							
04/03/20	10.000	633.08	04/02/20	628.40	...	4.68	Sale ²⁵
04/28/20	10.000	847.69	04/27/20	825.02	...	22.67	Sale ²⁵
Security total:		1,480.77		1,453.42	...	27.35	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	38.000	6,234.52	02/24/20	6,452.97	...	-218.45	1 of 2 - Sale ²⁵
	12.000	1,968.79	02/24/20	2,037.84	...	-69.05	2 of 2 - Sale ²⁵
02/27/20	50.000	8,203.31	Various	8,490.81	...	-287.50	Total of 2 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
17 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	10.000	317.89	07/16/20	532.30	214.41 W	0.00	1 of 17 - Sale ²⁵
	2.000	63.58	07/17/20	98.56	34.98 W	0.00	2 of 17 - Sale ²⁵
	3.000	95.37	07/17/20	150.20	54.83 W	0.00	3 of 17 - Sale ²⁵
	5.000	158.95	07/20/20	197.35	38.40 W	0.00	4 of 17 - Sale ²⁵
	5.000	158.95	07/20/20	190.63	31.68 W	0.00	5 of 17 - Sale ²⁵
	10.000	317.89	07/21/20	412.90	95.01 W	0.00	6 of 17 - Sale ²⁵
	10.000	317.89	07/21/20	411.19	93.30 W	0.00	7 of 17 - Sale ²⁵
	5.000	158.94	07/21/20	198.90	39.96 W	0.00	8 of 17 - Sale ²⁵
	5.000	152.61	07/21/20	306.11	153.50 W	0.00	9 of 17 - Sale ²⁵
	5.000	152.61	07/21/20	303.63	151.02 W	0.00	10 of 17 - Sale ²⁵
	2.000	61.04	07/21/20	113.55	52.51 W	0.00	11 of 17 - Sale ²⁵

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(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	3.000	91.56	07/21/20	172.68	81.12 W	0.00	12 of 17 - Sale ²⁵
	5.000	152.61	07/21/20	237.75	85.14 W	0.00	13 of 17 - Sale ²⁵
	5.000	152.61	07/21/20	231.03	78.42 W	0.00	14 of 17 - Sale ²⁵
	10.000	305.21	07/23/20	453.21	148.00 W	0.00	15 of 17 - Sale ²⁵
	10.000	305.22	07/23/20	446.48	141.26 W	0.00	16 of 17 - Sale ²⁵
	5.000	152.61	07/23/20	217.09	64.48 W	0.00	17 of 17 - Sale ²⁵
07/24/20	100.000	3,115.54	Various	4,673.56	1,558.02 W	0.00	Total of 17 transactions
08/04/20	5.000	178.99	08/03/20	178.30	...	0.69	Sale ²⁵
23 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	4.000	143.20	07/27/20	259.20	116.00 W	0.00	1 of 23 - Sale ²⁵
	1.000	35.80	07/27/20	64.81	29.01 W	0.00	2 of 23 - Sale ²⁵
	5.000	178.99	07/27/20	321.57	142.58 W	0.00	3 of 23 - Sale ²⁵
	2.000	71.60	07/27/20	120.73	49.13 W	0.00	4 of 23 - Sale ²⁵
	3.000	107.40	07/27/20	183.45	76.05 W	0.00	5 of 23 - Sale ²⁵
	5.000	178.99	07/27/20	255.69	76.70 W	0.00	6 of 23 - Sale ²⁵
	5.000	179.00	07/27/20	248.97	69.97 W	0.00	7 of 23 - Sale ²⁵
	10.000	357.99	07/27/20	489.10	131.11 W	0.00	8 of 23 - Sale ²⁵
	5.000	178.99	07/27/20	241.18	62.19 W	0.00	9 of 23 - Sale ²⁵
	5.000	179.00	07/27/20	241.18	62.18 W	0.00	10 of 23 - Sale ²⁵
	5.000	178.99	07/27/20	235.03	56.04 W	0.00	11 of 23 - Sale ²⁵
	1.000	35.80	08/03/20	64.67	28.87 W	0.00	12 of 23 - Sale ²⁵
	4.000	143.20	08/03/20	258.64	115.44 W	0.00	13 of 23 - Sale ²⁵
	5.000	178.99	08/03/20	320.88	141.89 W	0.00	14 of 23 - Sale ²⁵
	2.000	71.60	08/03/20	120.45	48.85 W	0.00	15 of 23 - Sale ²⁵
	3.000	107.40	08/03/20	183.03	75.63 W	0.00	16 of 23 - Sale ²⁵
	5.000	178.99	08/03/20	255.00	76.01 W	0.00	17 of 23 - Sale ²⁵
	5.000	179.00	08/03/20	248.27	69.27 W	0.00	18 of 23 - Sale ²⁵
	5.000	178.99	08/03/20	240.49	61.50 W	0.00	19 of 23 - Sale ²⁵
	5.000	179.00	08/03/20	240.48	61.48 W	0.00	20 of 23 - Sale ²⁵
	10.000	357.99	08/03/20	487.71	129.72 W	0.00	21 of 23 - Sale ²⁵
	5.000	177.50	08/03/20	234.34	56.84 W	0.00	22 of 23 - Sale ²⁵
	45.000	1,597.46	08/03/20	1,604.70	7.24 W	0.00	23 of 23 - Sale ²⁵
08/04/20	145.000	5,175.87	Various	6,919.57	1,743.70 W	0.00	Total of 23 transactions

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Robinhood Securities LLC

Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
31 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	5.000	192.59	08/05/20	320.79	...	-128.20	1 of 31 - Sale ²⁵
	4.000	154.07	08/05/20	258.56	...	-104.49	2 of 31 - Sale ²⁵
	3.000	115.55	08/05/20	180.65	...	-65.10	3 of 31 - Sale ²⁵
	5.000	192.59	08/05/20	251.03	...	-58.44	4 of 31 - Sale ²⁵
	5.000	192.59	08/05/20	244.25	...	-51.66	5 of 31 - Sale ²⁵
	5.000	192.59	08/05/20	240.91	...	-48.32	6 of 31 - Sale ²⁵
	5.000	192.59	08/05/20	239.83	...	-47.24	7 of 31 - Sale ²⁵
	5.000	192.59	08/05/20	237.55	...	-44.96	8 of 31 - Sale ²⁵
	5.000	192.59	08/05/20	236.88	...	-44.29	9 of 31 - Sale ²⁵
	2.000	77.04	08/05/20	118.86	...	-41.82	10 of 31 - Sale ²⁵
	5.000	192.59	08/05/20	232.24	...	-39.65	11 of 31 - Sale ²⁵
	1.000	38.52	08/05/20	64.65	...	-26.13	12 of 31 - Sale ²⁵
	10.000	385.18	08/05/20	352.36	...	32.82	13 of 31 - Sale ²⁵
	10.000	385.18	08/05/20	351.23	...	33.95	14 of 31 - Sale ²⁵
	10.000	385.18	08/05/20	350.22	...	34.96	15 of 31 - Sale ²⁵
	5.000	192.59	08/06/20	185.95	...	6.64	16 of 31 - Sale ²⁵
	5.000	192.59	08/06/20	185.14	...	7.45	17 of 31 - Sale ²⁵
	10.000	385.18	08/06/20	376.80	...	8.38	18 of 31 - Sale ²⁵
	10.000	385.17	08/06/20	376.55	...	8.62	19 of 31 - Sale ²⁵
	10.000	385.18	08/06/20	375.50	...	9.68	20 of 31 - Sale ²⁵
	10.000	385.17	08/06/20	375.36	...	9.81	21 of 31 - Sale ²⁵
	10.000	385.18	08/06/20	374.70	...	10.48	22 of 31 - Sale ²⁵
	10.000	385.18	08/06/20	372.31	...	12.87	23 of 31 - Sale ²⁵
	10.000	385.18	08/06/20	372.00	...	13.18	24 of 31 - Sale ²⁵
	20.000	770.35	08/06/20	756.00	...	14.35	25 of 31 - Sale ²⁵
	20.000	770.35	08/06/20	755.80	...	14.55	26 of 31 - Sale ²⁵
	20.000	770.35	08/06/20	755.40	...	14.95	27 of 31 - Sale ²⁵
	20.000	770.35	08/06/20	755.30	...	15.05	28 of 31 - Sale ²⁵
	20.000	770.35	08/06/20	755.00	...	15.35	29 of 31 - Sale ²⁵
	20.000	770.35	08/06/20	752.80	...	17.55	30 of 31 - Sale ²⁵
	20.000	770.35	08/06/20	747.30	...	23.05	31 of 31 - Sale ²⁵
09/03/20	300.000	11,555.31	Various	11,951.92	...	-396.61	Total of 31 transactions
	Security total:	20,025.71		23,723.35	3,301.72 W	-395.92	

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Robinhood Securities LLC

Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
01/07/20	20.000	606.28	01/07/20	589.40	...	16.88	Sale ²⁵
	<i>2 transactions for 01/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	29.42	01/08/20	29.35	...	0.07	1 of 2 - Sale ²⁵
	19.000	558.96	01/08/20	557.65	...	1.31	2 of 2 - Sale ²⁵
01/14/20	20.000	588.38	Various	587.00	...	1.38	Total of 2 transactions
	Security total:	1,194.66		1,176.40	...	18.26	
PRECISION BIOSCIENCES, INC. CO MMON STOCK / CUSIP: 74019P108 / Symbol:							
	<i>4 transactions for 01/16/20. Total proceeds and cost reported to the IRS.</i>						
	96.000	1,088.61	01/16/20	1,053.12	...	35.49	1 of 4 - Sale ²⁵
	100.000	1,134.96	01/16/20	1,097.00	...	37.96	2 of 4 - Sale ²⁵
	100.000	1,134.96	01/16/20	1,097.00	...	37.96	3 of 4 - Sale ²⁵
	154.000	1,746.30	01/16/20	1,689.38	...	56.92	4 of 4 - Sale ²⁵
01/16/20	450.000	5,104.83	Various	4,936.50	...	168.33	Total of 4 transactions
	<i>2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.</i>						
	500.000	5,550.33	01/17/20	5,500.00	...	50.33	1 of 2 - Sale ²⁵
	500.000	5,550.32	01/17/20	5,342.50	...	207.82	2 of 2 - Sale ²⁵
01/21/20	1,000.000	11,100.65	Various	10,842.50	...	258.15	Total of 2 transactions
	Security total:	16,205.48		15,779.00	...	426.48	
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
02/27/20	100.000	7,734.82	02/05/20	9,020.00	...	-1,285.18	Sale ²⁵
RALPH LAUREN CORPORATION / CUSIP: 751212101 / Symbol:							
05/01/20	10.000	714.20	04/22/20	681.86	...	32.34	Sale ²⁵
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
04/28/20	10.000	650.68	04/27/20	642.65	...	8.03	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
	<i>2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	992.97	08/12/20	984.00	...	8.97	1 of 2 - Sale ²⁵
	50.000	992.97	08/12/20	977.00	...	15.97	2 of 2 - Sale ²⁵
08/14/20	100.000	1,985.94	Various	1,961.00	...	24.94	Total of 2 transactions

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Robinhood Securities LLC

Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	25.000	496.75	08/11/20	518.88	22.13 W	0.00	1 of 4 - Sale ²⁵
	25.000	496.49	08/11/20	518.87	22.38 W	0.00	2 of 4 - Sale ²⁵
	25.000	496.48	08/11/20	550.01	53.53 W	0.00	3 of 4 - Sale ²⁵
	25.000	496.49	08/11/20	527.87	31.38 W	0.00	4 of 4 - Sale ²⁵
08/14/20	100.000	1,986.21	Various	2,115.63	129.42 W	0.00	Total of 4 transactions
4 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	25.000	500.49	08/13/20	529.03	...	-28.54	1 of 4 - Sale ²⁵
	25.000	500.49	08/13/20	506.88	...	-6.39	2 of 4 - Sale ²⁵
	25.000	500.48	08/13/20	497.88	...	2.60	3 of 4 - Sale ²⁵
	25.000	500.48	08/13/20	475.49	...	24.99	4 of 4 - Sale ²⁵
08/18/20	100.000	2,001.94	Various	2,009.28	...	-7.34	Total of 4 transactions
	Security total:	5,974.09		6,085.91	129.42 W	17.60	
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	100.000	1,075.97	07/23/20	1,102.99	...	-27.02	1 of 2 - Sale ²⁵
	100.000	1,075.98	08/03/20	981.50	...	94.48	2 of 2 - Sale ²⁵
08/14/20	200.000	2,151.95	Various	2,084.49	...	67.46	Total of 2 transactions
UNDER ARMOUR, INC. CLASS C COM MON STOCK, \$0.0003 1/3 PAR VAL UE / CUSIP: 904311206 / Symbol:							
02/27/20	5.000	62.50	01/08/20	90.45	...	-27.95	Sale ²⁵
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
02/27/20	700.000	4,186.73	01/17/20	5,124.00	...	-937.27	Sale ²⁵
WALMART INC. / CUSIP: 931142103 / Symbol:							
01/09/20	10.000	1,171.89	01/07/20	1,162.30	...	9.59	Sale ²⁵
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	40.000	884.38	05/13/20	1,127.35	...	-242.97	1 of 6 - Sale ²⁵
	40.000	884.38	05/13/20	1,126.23	...	-241.85	2 of 6 - Sale ²⁵
	50.000	1,105.47	05/13/20	1,277.02	...	-171.55	3 of 6 - Sale ²⁵

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Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WELLS FARGO & CO. / CUSIP: 949746101 / Symbol: (cont'd)							
	100.000	2,210.95	05/13/20	2,317.34	...	-106.39	4 of 6 - Sale ²⁵
	10.000	221.09	05/13/20	266.93	...	-45.84	5 of 6 - Sale ²⁵
	10.000	221.09	05/13/20	266.44	...	-45.35	6 of 6 - Sale ²⁵
05/13/20	250.000	5,527.36	Various	6,381.31	...	-853.95	Total of 6 transactions
	6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	10.000	230.02	04/21/20	266.28	36.26 W	0.00	1 of 6 - Sale ²⁵
	10.000	230.02	04/23/20	266.77	36.75 W	0.00	2 of 6 - Sale ²⁵
	40.000	920.08	04/27/20	1,125.60	205.52 W	0.00	3 of 6 - Sale ²⁵
	40.000	920.08	04/27/20	1,126.72	206.64 W	0.00	4 of 6 - Sale ²⁵
	50.000	1,150.11	05/06/20	1,276.25	126.14 W	0.00	5 of 6 - Sale ²⁵
	100.000	2,300.21	05/13/20	2,315.78	15.57 W	0.00	6 of 6 - Sale ²⁵
05/13/20	250.000	5,750.52	Various	6,377.40	626.88 W	0.00	Total of 6 transactions
08/14/20	100.000	2,539.93	08/11/20	2,535.77	...	4.16	Sale ²⁵
	Security total:	13,817.81		15,294.48	626.88 W	-849.79	
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
	2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	40.000	3,778.71	08/17/20	3,610.80	...	167.91	1 of 2 - Sale ²⁵
	20.000	1,889.36	08/18/20	1,826.64	...	62.72	2 of 2 - Sale ²⁵
08/18/20	60.000	5,668.07	Various	5,437.44	...	230.63	Total of 2 transactions
08/20/20	20.000	1,912.15	08/19/20	2,023.79	111.64 W	0.00	Sale ²⁵
08/27/20	10.000	886.28	08/19/20	1,011.90	125.62 W	0.00	Sale ²⁵
	4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	10.000	917.88	08/19/20	1,011.89	...	-94.01	1 of 4 - Sale ²⁵
	20.000	1,835.76	08/20/20	2,084.24	...	-248.48	2 of 4 - Sale ²⁵
	10.000	917.88	08/20/20	1,094.32	...	-176.44	3 of 4 - Sale ²⁵
	10.000	917.87	08/20/20	968.69	...	-50.82	4 of 4 - Sale ²⁵
09/03/20	50.000	4,589.39	Various	5,159.14	...	-569.75	Total of 4 transactions
	Security total:	13,055.89		13,632.27	237.26 W	-339.12	
Totals :		275,805.39		282,924.76	5,940.35 W	-1,179.02	

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Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1,921.37	07/26/18	1,828.78	...	92.59	1 of 2 - Sale ²⁵
	1.000	1,921.37	07/26/18	1,825.55	...	95.82	2 of 2 - Sale ²⁵
02/27/20	2.000	3,842.74	Various	3,654.33	...	188.41	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
3 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	14.000	2,825.63	10/11/18	2,115.82	...	709.81	1 of 3 - Sale ²⁵
	1.000	201.83	10/30/18	142.51	...	59.32	2 of 3 - Sale ²⁵
	13.000	2,623.81	10/30/18	1,852.63	...	771.18	3 of 3 - Sale ²⁵
02/24/20	28.000	5,651.27	Various	4,110.96	...	1,540.31	Total of 3 transactions
GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol:							
6 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	472.000	646.57	12/10/18	655.99	...	-9.42	1 of 6 - Sale ²⁵
	28.000	38.36	12/10/18	38.91	...	-0.55	2 of 6 - Sale ²⁵
	145.000	198.63	12/11/18	197.20	...	1.43	3 of 6 - Sale ²⁵
	150.000	205.48	12/11/18	204.00	...	1.48	4 of 6 - Sale ²⁵
	605.000	828.75	12/11/18	822.80	...	5.95	5 of 6 - Sale ²⁵
	136.000	186.30	12/17/18	174.08	...	12.22	6 of 6 - Sale ²⁵
01/09/20	1,536.000	2,104.09	Various	2,092.98	...	11.11	Total of 6 transactions
24 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	27.000	38.61	12/17/18	34.56	...	4.05	1 of 24 - Sale ²⁵
	56.000	80.07	12/17/18	71.68	...	8.39	2 of 24 - Sale ²⁵
	66.000	94.37	12/17/18	84.48	...	9.89	3 of 24 - Sale ²⁵
	200.000	285.97	12/17/18	256.00	...	29.97	4 of 24 - Sale ²⁵
	215.000	307.42	12/17/18	275.20	...	32.22	5 of 24 - Sale ²⁵
	1.000	1.43	12/20/18	1.02	...	0.41	6 of 24 - Sale ²⁵
	1.000	1.44	12/20/18	1.02	...	0.42	7 of 24 - Sale ²⁵
	1.000	1.44	12/20/18	1.02	...	0.42	8 of 24 - Sale ²⁵
	1.000	1.44	12/20/18	1.02	...	0.42	9 of 24 - Sale ²⁵
	1.000	1.44	12/20/18	1.02	...	0.42	10 of 24 - Sale ²⁵
	2.000	2.88	12/20/18	2.04	...	0.84	11 of 24 - Sale ²⁵
	5.000	7.20	12/20/18	5.10	...	2.10	12 of 24 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol: (cont'd)							
	6.000	8.64	12/20/18	6.12	...	2.52	13 of 24 - Sale ²⁵
	6.000	8.64	12/20/18	6.12	...	2.52	14 of 24 - Sale ²⁵
	10.000	14.40	12/20/18	10.20	...	4.20	15 of 24 - Sale ²⁵
	36.000	51.83	12/20/18	36.72	...	15.11	16 of 24 - Sale ²⁵
	45.000	64.80	12/20/18	45.90	...	18.90	17 of 24 - Sale ²⁵
	50.000	72.00	12/20/18	51.00	...	21.00	18 of 24 - Sale ²⁵
	200.000	287.98	12/20/18	204.00	...	83.98	19 of 24 - Sale ²⁵
	200.000	287.98	12/20/18	204.00	...	83.98	20 of 24 - Sale ²⁵
	265.000	378.90	12/20/18	275.39	...	103.51	21 of 24 - Sale ²⁵
	335.000	479.00	12/20/18	348.13	...	130.87	22 of 24 - Sale ²⁵
	400.000	571.93	12/20/18	415.68	...	156.25	23 of 24 - Sale ²⁵
	435.000	621.98	12/20/18	443.70	...	178.28	24 of 24 - Sale ²⁵
01/14/20	2,564.000	3,671.79	Various	2,781.12	...	890.67	Total of 24 transactions
	Security total:	5,775.88		4,874.10	...	901.78	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
	2 transactions for 01/06/20. Total proceeds and cost reported to the IRS.						
	3.000	161.61	08/14/18	152.88	...	8.73	1 of 2 - Sale ²⁵
	2.000	107.74	10/17/18	84.80	...	22.94	2 of 2 - Sale ²⁵
01/06/20	5.000	269.35	Various	237.68	...	31.67	Total of 2 transactions
Totals :		15,539.24		12,877.07		2,662.17	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 129183760

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
<i>2 transactions for 01/10/20. Total proceeds are reported to the IRS.</i>							
	1.000	92.00	N/A	0.00	...	92.00	1 of 2 - Sale ²⁵
	58.000	5,335.87	N/A	0.00	...	5,335.87	2 of 2 - Sale ²⁵
01/10/20	59.000	5,427.87	Various	0.00	...	5,427.87	Total of 2 transactions
Totals :		5,427.87		0.00		5,427.87	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 129183760

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BOEING COMPANY	097023105		03/06/20	20.55	Qualified dividend	
COSTCO WHOLESALE CORPORATION C OMMON STOCK	22160K105		02/21/20	6.50	Qualified dividend	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES	25460E166		03/31/20	9.82	Qualified dividend	03
			03/31/20	2.35	Nondividend distribution	03
				12.17	Total Dividends & distributions	
WELLS FARGO & CO.	949746101		06/01/20	76.50	Qualified dividend	
				115.72	Total Dividends & distributions	

Robinhood Securities LLC

Account 129183760

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/10/20	-25.00	Margin interest paid	
		07/20/20	-2.97	Margin interest paid	
		08/18/20	-5.00	Margin interest paid	
		09/03/20	-5.82	Margin interest paid	
			-38.79	Total Margin interest paid	
			-38.79	Total Margin interest paid	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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