

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 874834229</b>	Statement Date: 02/14/2021 Document ID: S211 1W3 1233	2020
	Viswa thej Kankanala 201 East B Street Apartment Number 4 Ogallala, NE 69153  RECIPIENT'S TIN: XXX-XX-8005		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	479,339.12	501,434.01	0.00	22,446.54	351.65
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.30	3.27	0.00	0.00	-0.97
	<b>Total Short-term</b>	<b>479,341.42</b>	<b>501,437.28</b>	<b>0.00</b>	<b>22,446.54</b>	<b>350.68</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>479,341.42</b>	<b>501,437.28</b>	<b>0.00</b>	<b>22,446.54</b>	<b>350.68</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 874834229

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 02/28/2020 PUT \$7.50 / CUSIP: / Symbol:							
02/24/20	1.000	7.98	02/19/20	3.00	...	4.98	Option sale to close-put <sup>25</sup>
NOK 07/17/2020 PUT \$4.00 / CUSIP: / Symbol:							
02/20/20	1.000	27.98	02/19/20	26.00	...	1.98	Option sale to close-put <sup>25</sup>
S 02/28/2020 PUT \$8.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/19/20	7.00	...	-7.00	Option expiration- long put
ALJ REGIONAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 001627108 / Symbol:							
04/14/20	160.000	85.76	04/08/20	79.68	...	6.08	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	25.000	122.50	04/29/20	116.00	...	6.50	1 of 2 - Sale <sup>25</sup>
	75.000	367.49	04/29/20	348.00	...	19.49	2 of 2 - Sale <sup>25</sup>
04/30/20	100.000	489.99	Various	464.00	...	25.99	Total of 2 transactions
5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	500.000	2,864.92	09/08/20	3,235.00	...	-370.08	1 of 5 - Sale <sup>25</sup>
	104.000	584.97	09/08/20	760.79	...	-175.82	2 of 5 - Sale <sup>25</sup>
	96.000	539.98	09/08/20	702.27	...	-162.29	3 of 5 - Sale <sup>25</sup>
	92.000	517.48	09/08/20	595.24	...	-77.76	4 of 5 - Sale <sup>25</sup>
	4.000	22.50	09/08/20	29.26	...	-6.76	5 of 5 - Sale <sup>25</sup>
09/15/20	796.000	4,529.85	Various	5,322.56	...	-792.71	Total of 5 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	100.000	562.47	09/08/20	647.00	84.53 W	0.00	1 of 2 - Sale <sup>25</sup>
	104.000	584.97	09/08/20	672.88	87.91 W	0.00	2 of 2 - Sale <sup>25</sup>
09/15/20	204.000	1,147.44	Various	1,319.88	172.44 W	0.00	Total of 2 transactions
10/12/20	449.000	1,813.90	09/08/20	2,905.03	...	-1,091.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,981.18</b>		<b>10,011.47</b>	<b>172.44 W</b>	<b>-1,857.85</b>	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
02/11/20	1.000	53.88	02/10/20	49.47	...	4.41	Sale <sup>25</sup>
02/19/20	10.000	588.00	02/18/20	566.68	...	21.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>641.88</b>		<b>616.15</b>	...	<b>25.73</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
05/26/20	100.000	1,035.97	05/05/20	994.86	...	41.11	Sale <sup>25</sup>
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	21.35	05/26/20	10.36	...	10.99	1 of 2 - Sale <sup>25</sup>
	299.000	6,383.46	06/04/20	4,891.61	...	1,491.85	2 of 2 - Sale <sup>25</sup>
06/05/20	300.000	6,404.81	Various	4,901.97	...	1,502.84	Total of 2 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	17.76	06/04/20	16.36	...	1.40	1 of 4 - Sale <sup>25</sup>
	50.000	887.98	06/12/20	831.00	...	56.98	2 of 4 - Sale <sup>25</sup>
	99.000	1,758.19	06/12/20	1,645.37	...	112.82	3 of 4 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	100.000	1,775.95	06/12/20	1,661.99	...	113.96	4 of 4 - Sale <sup>25</sup>
06/16/20	250.000	4,439.88	Various	4,154.72	...	285.16	Total of 4 transactions
08/11/20	100.000	1,377.95	06/12/20	1,661.99	284.04 W	0.00	Sale <sup>25</sup>
5 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	101.000	1,334.36	08/24/20	1,683.31	...	-348.95	1 of 5 - Sale <sup>25</sup>
	79.000	1,043.71	08/24/20	1,344.31	...	-300.60	2 of 5 - Sale <sup>25</sup>
	70.000	924.80	08/24/20	1,120.60	...	-195.80	3 of 5 - Sale <sup>25</sup>
	50.000	660.57	08/24/20	828.83	...	-168.26	4 of 5 - Sale <sup>25</sup>
	30.000	396.35	08/24/20	480.25	...	-83.90	5 of 5 - Sale <sup>25</sup>
08/24/20	330.000	4,359.79	Various	5,457.30	...	-1,097.51	Total of 5 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	151.000	1,994.93	06/12/20	2,509.60	514.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	250.000	3,302.87	06/19/20	4,242.50	672.78 W	-266.85	2 of 2 - Sale <sup>25</sup>
08/24/20	401.000	5,297.80	Various	6,752.10	1,187.45 W	-266.85	Total of 2 transactions
09/03/20	99.000	1,359.52	08/24/20	1,684.63	49.26 W	-275.85	Sale <sup>25</sup>
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	13.09	08/24/20	17.02	3.93 W	0.00	1 of 2 - Sale <sup>25</sup>
	14.000	183.31	09/28/20	224.48	41.17 W	0.00	2 of 2 - Sale <sup>25</sup>
10/12/20	15.000	196.40	Various	241.50	45.10 W	0.00	Total of 2 transactions
10/22/20	651.000	8,384.62	10/22/20	8,324.72	...	59.90	Sale <sup>25</sup>
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	12.88	09/28/20	16.03	3.15 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	12.88	10/22/20	16.72	3.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	14.000	180.31	10/22/20	220.20	39.89 W	0.00	3 of 3 - Sale <sup>25</sup>
10/22/20	16.000	206.07	Various	252.95	46.88 W	0.00	Total of 3 transactions
5 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	768.000	8,396.49	10/28/20	8,486.40	...	-89.91	1 of 5 - Sale <sup>25</sup>
	9.000	98.40	10/28/20	125.09	...	-26.69	2 of 5 - Sale <sup>25</sup>
	5.000	54.66	10/28/20	69.50	...	-14.84	3 of 5 - Sale <sup>25</sup>
	1.000	10.93	10/28/20	14.89	...	-3.96	4 of 5 - Sale <sup>25</sup>
	16.000	174.93	10/28/20	176.80	...	-1.87	5 of 5 - Sale <sup>25</sup>
10/28/20	799.000	8,735.41	Various	8,872.68	...	-137.27	Total of 5 transactions
10/28/20	1.000	10.93	10/22/20	15.94	5.01 W	0.00	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<b>Security total:</b>		<b>41,809.15</b>		<b>43,315.36</b>		<b>1,617.74 W</b>	<b>111.53</b>
AMNEAL PHARMACEUTICALS, INC. C LASS A COMMON STOCK / CUSIP: 03168L105 / Symbol:							
02/25/20	1.000	4.76	02/20/20	4.86	...	-0.10	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
03/24/20	5.000	1,176.97	03/23/20	1,124.80	...	52.17	Sale <sup>25</sup>
03/25/20	3.000	762.63	03/24/20	722.83	...	39.80	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,939.60</b>		<b>1,847.63</b>	...	<b>91.97</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	100.000	70.55	02/19/20	171.88	101.33 W	0.00	1 of 2 - Sale <sup>25</sup>
	400.000	282.19	03/13/20	315.16	32.97 W	0.00	2 of 2 - Sale <sup>25</sup>
03/16/20	500.000	352.74	Various	487.04	134.30 W	0.00	Total of 2 transactions
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	100.000	66.97	03/18/20	164.71	...	-97.74	1 of 2 - Sale <sup>25</sup>
	400.000	267.88	03/18/20	286.47	...	-18.59	2 of 2 - Sale <sup>25</sup>
03/19/20	500.000	334.85	Various	451.18	...	-116.33	Total of 2 transactions
<b>Security total:</b>		<b>687.59</b>		<b>938.22</b>	<b>134.30 W</b>	<b>-116.33</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
03/13/20	10.000	219.50	03/12/20	200.20	...	19.30	Sale <sup>25</sup>
03/19/20	20.000	421.40	03/19/20	392.00	...	29.40	Sale <sup>25</sup>
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	30.000	616.55	03/20/20	591.00	...	25.55	1 of 2 - Sale <sup>25</sup>
	30.000	616.54	03/23/20	560.10	...	56.44	2 of 2 - Sale <sup>25</sup>
03/24/20	60.000	1,233.09	Various	1,151.10	...	81.99	Total of 2 transactions
3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	100.000	1,962.92	03/31/20	2,233.00	270.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	86.000	1,688.10	04/01/20	1,735.48	6.06 W	-41.32	2 of 3 - Sale <sup>25</sup>
	14.000	274.81	04/01/20	282.38	7.57 W	0.00	3 of 3 - Sale <sup>25</sup>
04/02/20	200.000	3,925.83	Various	4,250.86	283.71 W	-41.32	Total of 3 transactions

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	100.000	2,096.94	04/03/20	2,335.08	...	-238.14	1 of 4 - Sale <sup>25</sup>
	14.000	293.57	04/03/20	296.67	...	-3.10	2 of 4 - Sale <sup>25</sup>
	7.000	146.79	04/03/20	148.41	...	-1.62	3 of 4 - Sale <sup>25</sup>
	4.000	83.88	04/03/20	84.80	...	-0.92	4 of 4 - Sale <sup>25</sup>
04/06/20	125.000	2,621.18	Various	2,864.96	...	-243.78	Total of 4 transactions
	<b>Security total:</b>	<b>8,421.00</b>		<b>8,859.12</b>	<b>283.71 W</b>	<b>-154.41</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	121.66	03/23/20	95.37	...	26.29	1 of 2 - Sale <sup>25</sup>
	10.000	1,216.57	03/23/20	1,018.00	...	198.57	2 of 2 - Sale <sup>25</sup>
03/24/20	11.000	1,338.23	Various	1,113.37	...	224.86	Total of 2 transactions
03/25/20	10.000	1,636.46	03/24/20	1,234.90	...	401.56	Sale <sup>25</sup>
03/26/20	10.000	1,792.86	03/25/20	1,659.90	...	132.96	Sale <sup>25</sup>
03/27/20	10.000	1,644.16	03/27/20	1,615.80	...	28.36	Sale <sup>25</sup>
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	10.000	1,575.97	03/26/20	1,809.56	233.59 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,644.16	03/27/20	1,849.39	205.23 W	0.00	2 of 2 - Sale <sup>25</sup>
03/27/20	20.000	3,220.13	Various	3,658.95	438.82 W	0.00	Total of 2 transactions
04/06/20	10.000	1,486.49	04/06/20	1,532.56	46.07 W	0.00	Sale <sup>25</sup>
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	6.000	965.98	04/06/20	876.00	...	89.98	1 of 2 - Sale <sup>25</sup>
	10.000	1,609.96	04/06/20	1,506.07	...	103.89	2 of 2 - Sale <sup>25</sup>
04/07/20	16.000	2,575.94	Various	2,382.07	...	193.87	Total of 2 transactions
04/08/20	10.000	1,481.40	04/07/20	1,540.95	59.55 W	0.00	Sale <sup>25</sup>
04/13/20	10.000	1,563.26	04/09/20	1,612.03	48.77 W	0.00	Sale <sup>25</sup>
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	10.000	1,375.27	04/27/20	1,318.30	...	56.97	1 of 2 - Sale <sup>25</sup>
	10.000	1,375.26	04/27/20	1,269.53	...	105.73	2 of 2 - Sale <sup>25</sup>
04/29/20	20.000	2,750.53	Various	2,587.83	...	162.70	Total of 2 transactions
05/05/20	6.000	797.86	05/04/20	768.56	...	29.30	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	4.000	513.58	05/05/20	504.92	...	8.66	1 of 2 - Sale <sup>25</sup>
	11.000	1,412.33	05/05/20	1,388.86	...	23.47	2 of 2 - Sale <sup>25</sup>
05/07/20	15.000	1,925.91	Various	1,893.78	...	32.13	Total of 2 transactions
05/14/20	9.000	1,099.23	05/13/20	1,091.02	...	8.21	Sale <sup>25</sup>
06/09/20	30.000	6,690.15	06/08/20	6,868.07	...	-177.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>30,002.61</b>		<b>29,559.79</b>	<b>593.21 W</b>	<b>1,036.03</b>	
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
05/11/20	100.000	1,507.96	05/08/20	1,533.88	...	-25.92	Sale <sup>25</sup>
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
02/12/20	1.000	4.61	02/10/20	4.59	...	0.02	Sale <sup>25</sup>
5 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	10.000	30.41	02/18/20	51.80	21.39 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	30.41	02/28/20	42.50	12.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	30.41	02/28/20	42.50	12.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	3.04	03/13/20	3.40	0.36 W	0.00	4 of 5 - Sale <sup>25</sup>
	99.000	301.01	03/13/20	337.92	36.91 W	0.00	5 of 5 - Sale <sup>25</sup>
03/16/20	130.000	395.28	Various	478.12	82.84 W	0.00	Total of 5 transactions
5 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	10.000	28.51	03/16/20	47.49	...	-18.98	1 of 5 - Sale <sup>25</sup>
	99.000	282.30	03/16/20	295.30	...	-13.00	2 of 5 - Sale <sup>25</sup>
	10.000	28.51	03/16/20	38.19	...	-9.68	3 of 5 - Sale <sup>25</sup>
	10.000	28.52	03/16/20	38.19	...	-9.67	4 of 5 - Sale <sup>25</sup>
	1.000	2.85	03/16/20	2.97	...	-0.12	5 of 5 - Sale <sup>25</sup>
03/17/20	130.000	370.69	Various	422.14	...	-51.45	Total of 5 transactions
	<b>Security total:</b>	<b>770.58</b>		<b>904.85</b>	<b>82.84 W</b>	<b>-51.43</b>	
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	0.265	4.45	03/27/20	9.54	5.09 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.735	79.44	03/27/20	170.84	91.40 W	0.00	2 of 3 - Sale <sup>25</sup>

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Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol: (cont'd)							
	5.000	83.89	04/14/20	133.60	49.71 W	0.00	3 of 3 - Sale <sup>25</sup>
04/15/20	10.000	167.78	Various	313.98	146.20 W	0.00	Total of 3 transactions
	8 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	4.735	70.57	04/24/20	275.37	...	-204.80	1 of 8 - Sale <sup>25</sup>
	5.000	74.52	04/24/20	243.97	...	-169.45	2 of 8 - Sale <sup>25</sup>
	0.265	3.95	04/24/20	15.39	...	-11.44	3 of 8 - Sale <sup>25</sup>
	10.000	149.05	04/27/20	294.70	...	-145.65	4 of 8 - Sale <sup>25</sup>
	9.000	134.14	04/29/20	258.30	...	-124.16	5 of 8 - Sale <sup>25</sup>
	10.000	149.04	04/29/20	220.00	...	-70.96	6 of 8 - Sale <sup>25</sup>
	10.000	149.05	04/29/20	219.50	...	-70.45	7 of 8 - Sale <sup>25</sup>
	1.000	14.90	04/29/20	28.80	...	-13.90	8 of 8 - Sale <sup>25</sup>
05/04/20	50.000	745.22	Various	1,556.03	...	-810.81	Total of 8 transactions
	<b>Security total:</b>	<b>913.00</b>		<b>1,870.01</b>	<b>146.20 W</b>	<b>-810.81</b>	
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
04/09/20	150.000	929.95	04/08/20	915.00	...	14.95	Sale <sup>25</sup>
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
03/25/20	50.000	1,587.71	03/24/20	1,284.57	...	303.14	Sale <sup>25</sup>
03/26/20	50.000	1,726.97	03/25/20	1,581.93	...	145.04	Sale <sup>25</sup>
03/27/20	8.000	237.36	03/27/20	244.08	...	-6.72	Sale <sup>25</sup>
	2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	50.000	1,475.96	03/26/20	1,593.50	117.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,483.46	03/27/20	1,643.02	28.72 W	-130.84	2 of 2 - Sale <sup>25</sup>
03/27/20	100.000	2,959.42	Various	3,236.52	146.26 W	-130.84	Total of 2 transactions
04/09/20	9.000	212.88	04/08/20	235.54	...	-22.66	Sale <sup>25</sup>
05/27/20	295.000	8,107.53	05/26/20	7,460.08	...	647.45	Sale <sup>25</sup>
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	49.000	1,484.66	06/03/20	1,393.07	...	91.59	1 of 2 - Sale <sup>25</sup>
	100.000	3,029.93	06/03/20	2,843.00	...	186.93	2 of 2 - Sale <sup>25</sup>
06/04/20	149.000	4,514.59	Various	4,236.07	...	278.52	Total of 2 transactions
10/12/20	21.000	683.65	09/03/20	680.30	...	3.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,030.11</b>		<b>18,959.09</b>	<b>146.26 W</b>	<b>1,217.28</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	18.73	02/21/20	22.69	...	-3.96	1 of 2 - Sale <sup>25</sup>
	7.000	131.14	03/24/20	131.81	...	-0.67	2 of 2 - Sale <sup>25</sup>
03/25/20	8.000	149.87	Various	154.50	...	-4.63	Total of 2 transactions
ELDORADO GOLD CORPORATION / CUSIP: 284902509 / Symbol:							
02/25/20	10.000	109.10	02/24/20	107.20	...	1.90	Sale <sup>25</sup>
04/09/20	140.000	1,014.95	04/08/20	985.60	...	29.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,124.05</b>		<b>1,092.80</b>	...	<b>31.25</b>	
EMBRAER S.A. / CUSIP: 29082A107 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	5.48	05/07/20	5.12	...	0.36	1 of 2 - Sale <sup>25</sup>
	1,599.000	8,763.09	08/26/20	8,697.12	...	65.97	2 of 2 - Sale <sup>25</sup>
08/31/20	1,600.000	8,768.57	Various	8,702.24	...	66.33	Total of 2 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
02/11/20	5.000	40.46	02/11/20	40.60	0.14 W	0.00	Sale <sup>25</sup>
5 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	3.000	15.00	02/12/20	24.50	9.50 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	10.00	02/28/20	14.24	4.24 W	0.00	2 of 5 - Sale <sup>25</sup>
	8.000	40.01	02/28/20	56.72	16.71 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	5.00	03/04/20	6.96	1.96 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	50.01	03/05/20	67.90	17.89 W	0.00	5 of 5 - Sale <sup>25</sup>
03/16/20	24.000	120.02	Various	170.32	50.30 W	0.00	Total of 5 transactions
5 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	3.000	14.62	03/17/20	23.90	9.28 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	9.75	03/17/20	13.84	4.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	8.000	38.98	03/17/20	55.11	16.13 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	4.87	03/17/20	6.76	1.89 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	48.73	03/17/20	65.89	17.16 W	0.00	5 of 5 - Sale <sup>25</sup>
03/24/20	24.000	116.95	Various	165.50	48.55 W	0.00	Total of 5 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	76.000	416.45	04/08/20	383.04	...	33.41	1 of 2 - Sale <sup>25</sup>
	100.000	547.97	04/08/20	504.00	...	43.97	2 of 2 - Sale <sup>25</sup>
04/09/20	176.000	964.42	Various	887.04	...	77.38	Total of 2 transactions
5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	3.000	16.44	04/08/20	24.40	7.96 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	10.96	04/08/20	14.17	3.21 W	0.00	2 of 5 - Sale <sup>25</sup>
	8.000	43.84	04/08/20	56.45	12.61 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	5.48	04/08/20	6.93	1.45 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	54.80	04/08/20	67.56	12.76 W	0.00	5 of 5 - Sale <sup>25</sup>
04/09/20	24.000	131.52	Various	169.51	37.99 W	0.00	Total of 5 transactions
6 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	10.000	49.60	05/07/20	61.93	...	-12.33	1 of 6 - Sale <sup>25</sup>
	8.000	39.68	05/07/20	51.95	...	-12.27	2 of 6 - Sale <sup>25</sup>
	3.000	14.88	05/07/20	22.71	...	-7.83	3 of 6 - Sale <sup>25</sup>
	2.000	9.92	05/07/20	13.04	...	-3.12	4 of 6 - Sale <sup>25</sup>
	1.000	4.96	05/07/20	6.37	...	-1.41	5 of 6 - Sale <sup>25</sup>
	376.000	1,864.86	05/07/20	1,848.79	...	16.07	6 of 6 - Sale <sup>25</sup>
05/08/20	400.000	1,983.90	Various	2,004.79	...	-20.89	Total of 6 transactions
	<b>Security total:</b>	<b>3,357.27</b>		<b>3,437.76</b>	<b>136.98 W</b>	<b>56.49</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	25.000	165.99	04/16/20	158.87	...	7.12	1 of 3 - Sale <sup>25</sup>
	75.000	497.99	04/16/20	476.63	...	21.36	2 of 3 - Sale <sup>25</sup>
	100.000	663.98	04/16/20	635.50	...	28.48	3 of 3 - Sale <sup>25</sup>
04/17/20	200.000	1,327.96	Various	1,271.00	...	56.96	Total of 3 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1.16	10/23/20	1.50	0.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	6,600.000	7,655.04	10/29/20	7,780.08	53.03 W	-72.01	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
10/29/20	399.000	462.79	10/29/20	470.34	7.55 W	0.00	3 of 3 - Sale <sup>25</sup>
	7,000.000	8,118.99	Various	8,251.92	60.92 W	-72.01	Total of 3 transactions
	7 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	1.08	10/29/20	1.52	...	-0.44	1 of 7 - Sale <sup>25</sup>
	1.000	1.08	11/03/20	1.08	...	0.00	2 of 7 - Sale <sup>25</sup>
	99.000	107.12	11/03/20	106.82	...	0.30	3 of 7 - Sale <sup>25</sup>
	198.000	214.25	11/03/20	213.63	...	0.62	4 of 7 - Sale <sup>25</sup>
	200.000	216.41	11/03/20	215.78	...	0.63	5 of 7 - Sale <sup>25</sup>
	1,300.000	1,406.68	11/03/20	1,402.63	...	4.05	6 of 7 - Sale <sup>25</sup>
	1,399.000	1,513.80	11/03/20	1,509.44	...	4.36	7 of 7 - Sale <sup>25</sup>
11/04/20	3,198.000	3,460.42	Various	3,450.90	...	9.52	Total of 7 transactions
	<b>Security total:</b>	<b>11,579.41</b>		<b>11,702.82</b>	<b>60.92 W</b>	<b>-62.49</b>	
GREAT PANTHER MINING LIMITED / CUSIP: 39115V101 / Symbol:							
04/16/20	2,000.000	1,019.73	04/14/20	1,163.00	143.27 W	0.00	Sale <sup>25</sup>
	9 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	15.000	7.03	04/15/20	8.57	1.54 W	0.00	1 of 9 - Sale <sup>25</sup>
	985.000	461.83	04/15/20	562.22	100.39 W	0.00	2 of 9 - Sale <sup>25</sup>
	100.000	46.89	04/15/20	56.19	9.30 W	0.00	3 of 9 - Sale <sup>25</sup>
	400.000	187.55	04/15/20	225.02	37.47 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	46.89	04/15/20	56.16	9.27 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	46.89	04/15/20	56.20	9.31 W	0.00	6 of 9 - Sale <sup>25</sup>
	100.000	46.89	04/15/20	56.19	9.30 W	0.00	7 of 9 - Sale <sup>25</sup>
	200.000	93.77	04/15/20	112.51	18.74 W	0.00	8 of 9 - Sale <sup>25</sup>
	1,000.000	468.86	04/16/20	486.10	8.62 W	-8.62	9 of 9 - Sale <sup>25</sup>
04/21/20	3,000.000	1,406.60	Various	1,619.16	203.94 W	-8.62	Total of 9 transactions
	9 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	985.000	443.12	05/15/20	519.11	...	-75.99	1 of 9 - Sale <sup>25</sup>
	400.000	179.95	05/15/20	207.51	...	-27.56	2 of 9 - Sale <sup>25</sup>
	200.000	89.97	05/15/20	103.76	...	-13.79	3 of 9 - Sale <sup>25</sup>
	100.000	44.99	05/15/20	51.82	...	-6.83	4 of 9 - Sale <sup>25</sup>
	100.000	44.99	05/15/20	51.81	...	-6.82	5 of 9 - Sale <sup>25</sup>
	100.000	44.99	05/15/20	51.81	...	-6.82	6 of 9 - Sale <sup>25</sup>
	100.000	44.99	05/15/20	51.78	...	-6.79	7 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GREAT PANTHER MINING LIMITED / CUSIP: 39115V101 / Symbol: (cont'd)							
	15.000	6.75	05/15/20	7.92	...	-1.17	8 of 9 - Sale <sup>25</sup>
	500.000	224.92	05/15/20	221.17	...	3.75	9 of 9 - Sale <sup>25</sup>
05/18/20	2,500.000	1,124.67	Various	1,266.69	...	-142.02	Total of 9 transactions
	<b>Security total:</b>	<b>3,551.00</b>		<b>4,048.85</b>	<b>347.21 W</b>	<b>-150.64</b>	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	112.000	137.75	04/30/20	135.31	...	2.44	1 of 3 - Sale <sup>25</sup>
	393.000	483.34	04/30/20	474.78	...	8.56	2 of 3 - Sale <sup>25</sup>
	494.000	607.54	04/30/20	596.80	...	10.74	3 of 3 - Sale <sup>25</sup>
05/01/20	999.000	1,228.63	Various	1,206.89	...	21.74	Total of 3 transactions
INDEPENDENCE CONTRACT DRILLING, INC. / CUSIP: 453415606 / Symbol:							
04/14/20	100.000	1,099.96	04/13/20	904.00	...	195.96	Sale <sup>25</sup>
11/06/20	3,599.000	8,654.25	11/05/20	8,637.60	...	16.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,754.21</b>		<b>9,541.60</b>	...	<b>212.61</b>	
INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	7.18	05/19/20	5.22	...	1.96	1 of 2 - Sale <sup>25</sup>
	49.000	351.82	05/19/20	293.51	...	58.31	2 of 2 - Sale <sup>25</sup>
05/21/20	50.000	359.00	Various	298.73	...	60.27	Total of 2 transactions
INNSUITES HOSPITALITY TRUST / CUSIP: 457919108 / Symbol:							
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	100.000	91.99	04/29/20	84.01	...	7.98	1 of 3 - Sale <sup>25</sup>
	200.000	183.97	04/29/20	168.02	...	15.95	2 of 3 - Sale <sup>25</sup>
	500.000	459.92	04/29/20	440.00	...	19.92	3 of 3 - Sale <sup>25</sup>
04/29/20	800.000	735.88	Various	692.03	...	43.85	Total of 3 transactions
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
03/27/20	50.000	503.98	03/26/20	601.40	...	-97.42	Sale <sup>25</sup>
05/11/20	100.000	884.97	05/05/20	875.00	...	9.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,388.95</b>		<b>1,476.40</b>	...	<b>-87.45</b>	

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Robinhood Securities LLC

Account 874834229

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	2.42	06/05/20	2.15	...	0.27	1 of 2 - Sale <sup>25</sup>
	499.000	1,207.54	06/05/20	1,052.84	...	154.70	2 of 2 - Sale <sup>25</sup>
06/08/20	500.000	1,209.96	Various	1,054.99	...	154.97	Total of 2 transactions
08/24/20	1.000	1.59	06/05/20	2.11	...	-0.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,211.55</b>		<b>1,057.10</b>	...	<b>154.45</b>	
LEGG MASON, INC. / CUSIP: 524901105 / Symbol:							
02/26/20	1.000	50.18	02/19/20	50.49	...	-0.31	Sale <sup>25</sup>
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
06/10/20	199.000	806.11	06/05/20	1,082.54	...	-276.43	Sale <sup>25</sup>
06/10/20	1.000	4.05	06/05/20	5.95	1.90 W	0.00	Sale <sup>25</sup>
08/24/20	1.000	2.14	06/05/20	7.34	...	-5.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>812.30</b>		<b>1,095.83</b>	<b>1.90 W</b>	<b>-281.63</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
5 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	10.000	30.00	02/25/20	51.70	21.70 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	30.00	03/02/20	41.20	11.20 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	30.00	03/04/20	39.10	9.10 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	30.00	03/04/20	38.00	8.00 W	0.00	4 of 5 - Sale <sup>25</sup>
	15.000	45.00	03/05/20	56.85	11.85 W	0.00	5 of 5 - Sale <sup>25</sup>
03/16/20	55.000	165.00	Various	226.85	61.85 W	0.00	Total of 5 transactions
5 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	10.000	29.20	03/17/20	50.40	...	-21.20	1 of 5 - Sale <sup>25</sup>
	15.000	43.79	03/17/20	54.89	...	-11.10	2 of 5 - Sale <sup>25</sup>
	10.000	29.20	03/17/20	39.90	...	-10.70	3 of 5 - Sale <sup>25</sup>
	10.000	29.20	03/17/20	37.80	...	-8.60	4 of 5 - Sale <sup>25</sup>
	10.000	29.20	03/17/20	36.70	...	-7.50	5 of 5 - Sale <sup>25</sup>
03/25/20	55.000	160.59	Various	219.69	...	-59.10	Total of 5 transactions
	<b>Security total:</b>	<b>325.59</b>		<b>446.54</b>	<b>61.85 W</b>	<b>-59.10</b>	

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Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
04/08/20	100.000	118.99	04/07/20	121.00	...	-2.01	Sale <sup>25</sup>
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
06/08/20	999.000	1,808.13	06/08/20	1,528.47	...	279.66	Sale <sup>25</sup>
PBF ENERGY INC. / CUSIP: 69318G106 / Symbol:							
<i>5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.04	05/01/20	10.00	...	1.04	1 of 5 - Sale <sup>25</sup>
	100.000	1,103.98	05/19/20	1,099.00	...	4.98	2 of 5 - Sale <sup>25</sup>
	100.000	1,103.98	05/19/20	1,099.00	...	4.98	3 of 5 - Sale <sup>25</sup>
	100.000	1,103.98	05/19/20	1,099.00	...	4.98	4 of 5 - Sale <sup>25</sup>
	99.000	1,092.95	05/19/20	1,087.02	...	5.93	5 of 5 - Sale <sup>25</sup>
05/20/20	400.000	4,415.93	Various	4,394.02	...	21.91	Total of 5 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
02/12/20	1.000	4.37	02/10/20	4.30	...	0.07	Sale <sup>25</sup>
<i>6 transactions for 03/16/20. Total proceeds and cost reported to the IRS.</i>							
	26.000	75.18	02/20/20	156.00	80.82 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	11.57	02/20/20	24.04	12.47 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	28.92	02/26/20	49.60	20.68 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	57.83	02/28/20	87.40	29.57 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	28.92	03/04/20	44.90	15.98 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	28.91	03/04/20	44.78	15.87 W	0.00	6 of 6 - Sale <sup>25</sup>
03/16/20	80.000	231.33	Various	406.72	175.39 W	0.00	Total of 6 transactions
<i>6 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	26.000	78.56	03/16/20	153.62	...	-75.06	1 of 6 - Sale <sup>25</sup>
	20.000	60.43	03/16/20	85.57	...	-25.14	2 of 6 - Sale <sup>25</sup>
	10.000	30.22	03/16/20	48.68	...	-18.46	3 of 6 - Sale <sup>25</sup>
	10.000	30.22	03/16/20	43.98	...	-13.76	4 of 6 - Sale <sup>25</sup>
	10.000	30.21	03/16/20	43.87	...	-13.66	5 of 6 - Sale <sup>25</sup>
	4.000	12.09	03/16/20	23.67	...	-11.58	6 of 6 - Sale <sup>25</sup>
03/18/20	80.000	241.73	Various	399.39	...	-157.66	Total of 6 transactions
	<b>Security total:</b>	<b>477.43</b>		<b>810.41</b>	<b>175.39 W</b>	<b>-157.59</b>	

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 874834229
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
<i>15 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	39.99	05/20/20	36.50	...	3.49	1 of 15 - Sale <sup>25</sup>
	100.000	39.99	05/20/20	36.50	...	3.49	2 of 15 - Sale <sup>25</sup>
	300.000	119.96	05/20/20	109.50	...	10.46	3 of 15 - Sale <sup>25</sup>
	300.000	119.99	05/20/20	109.50	...	10.49	4 of 15 - Sale <sup>25</sup>
	329.000	131.56	05/20/20	120.09	...	11.47	5 of 15 - Sale <sup>25</sup>
	400.000	159.99	05/20/20	146.00	...	13.99	6 of 15 - Sale <sup>25</sup>
	400.000	159.99	05/20/20	146.00	...	13.99	7 of 15 - Sale <sup>25</sup>
	400.000	159.99	05/20/20	146.00	...	13.99	8 of 15 - Sale <sup>25</sup>
	400.000	159.99	05/20/20	146.00	...	13.99	9 of 15 - Sale <sup>25</sup>
	500.000	199.94	05/20/20	182.50	...	17.44	10 of 15 - Sale <sup>25</sup>
	600.000	239.93	05/20/20	219.00	...	20.93	11 of 15 - Sale <sup>25</sup>
	800.000	319.97	05/20/20	292.00	...	27.97	12 of 15 - Sale <sup>25</sup>
	800.000	319.98	05/20/20	292.00	...	27.98	13 of 15 - Sale <sup>25</sup>
	800.000	319.98	05/20/20	292.00	...	27.98	14 of 15 - Sale <sup>25</sup>
	8,771.000	3,508.15	05/20/20	3,201.41	...	306.74	15 of 15 - Sale <sup>25</sup>
05/20/20	15,000.000	5,999.40	Various	5,475.00	...	524.40	Total of 15 transactions
<i>37 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.48	05/20/20	0.42	...	0.06	1 of 37 - Sale <sup>25</sup>
	7.000	3.33	05/21/20	3.15	...	0.18	2 of 37 - Sale <sup>25</sup>
	20.000	9.53	05/21/20	9.00	...	0.53	3 of 37 - Sale <sup>25</sup>
	31.000	14.77	05/21/20	13.95	...	0.82	4 of 37 - Sale <sup>25</sup>
	100.000	47.21	05/21/20	45.00	...	2.21	5 of 37 - Sale <sup>25</sup>
	100.000	47.21	05/21/20	45.00	...	2.21	6 of 37 - Sale <sup>25</sup>
	100.000	47.21	05/21/20	45.00	...	2.21	7 of 37 - Sale <sup>25</sup>
	100.000	47.21	05/21/20	45.00	...	2.21	8 of 37 - Sale <sup>25</sup>
	100.000	47.21	05/21/20	45.00	...	2.21	9 of 37 - Sale <sup>25</sup>
	100.000	47.22	05/21/20	45.00	...	2.22	10 of 37 - Sale <sup>25</sup>
	100.000	47.22	05/21/20	45.00	...	2.22	11 of 37 - Sale <sup>25</sup>
	100.000	47.22	05/21/20	45.00	...	2.22	12 of 37 - Sale <sup>25</sup>
	100.000	47.22	05/21/20	45.00	...	2.22	13 of 37 - Sale <sup>25</sup>
	100.000	47.22	05/21/20	45.00	...	2.22	14 of 37 - Sale <sup>25</sup>
	107.000	50.53	05/21/20	48.15	...	2.38	15 of 37 - Sale <sup>25</sup>
	100.000	47.51	05/21/20	45.00	...	2.51	16 of 37 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	98.000	46.70	05/21/20	44.10	...	2.60	17 of 37 - Sale <sup>25</sup>
	195.000	92.92	05/21/20	87.75	...	5.17	18 of 37 - Sale <sup>25</sup>
	224.000	106.73	05/21/20	100.80	...	5.93	19 of 37 - Sale <sup>25</sup>
	281.000	133.89	05/21/20	126.45	...	7.44	20 of 37 - Sale <sup>25</sup>
	300.000	142.49	05/21/20	135.00	...	7.49	21 of 37 - Sale <sup>25</sup>
	300.000	142.49	05/21/20	135.00	...	7.49	22 of 37 - Sale <sup>25</sup>
	300.000	142.49	05/21/20	135.00	...	7.49	23 of 37 - Sale <sup>25</sup>
	288.000	137.23	05/21/20	129.60	...	7.63	24 of 37 - Sale <sup>25</sup>
	493.000	232.73	05/21/20	221.85	...	10.88	25 of 37 - Sale <sup>25</sup>
	600.000	283.25	05/21/20	270.00	...	13.25	26 of 37 - Sale <sup>25</sup>
	600.000	283.25	05/21/20	270.00	...	13.25	27 of 37 - Sale <sup>25</sup>
	600.000	283.25	05/21/20	270.00	...	13.25	28 of 37 - Sale <sup>25</sup>
	600.000	283.25	05/21/20	270.00	...	13.25	29 of 37 - Sale <sup>25</sup>
	600.000	283.31	05/21/20	270.00	...	13.31	30 of 37 - Sale <sup>25</sup>
	600.000	283.31	05/21/20	270.00	...	13.31	31 of 37 - Sale <sup>25</sup>
	600.000	283.31	05/21/20	270.00	...	13.31	32 of 37 - Sale <sup>25</sup>
	600.000	283.31	05/21/20	270.00	...	13.31	33 of 37 - Sale <sup>25</sup>
	600.000	283.31	05/21/20	270.00	...	13.31	34 of 37 - Sale <sup>25</sup>
	1,407.000	664.35	05/21/20	633.15	...	31.20	35 of 37 - Sale <sup>25</sup>
	1,449.000	684.17	05/21/20	652.05	...	32.12	36 of 37 - Sale <sup>25</sup>
	2,000.000	950.13	05/21/20	900.00	...	50.13	37 of 37 - Sale <sup>25</sup>
05/21/20	14,001.000	6,624.17	Various	6,300.42	...	323.75	Total of 37 transactions
	12 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	0.54	05/21/20	0.48	...	0.06	1 of 12 - Sale <sup>25</sup>
	6,756.000	3,647.35	05/26/20	3,783.36	...	-136.01	2 of 12 - Sale <sup>25</sup>
	823.000	444.31	05/26/20	477.45	...	-33.14	3 of 12 - Sale <sup>25</sup>
	778.000	420.02	05/26/20	451.35	...	-31.33	4 of 12 - Sale <sup>25</sup>
	1,190.000	642.44	05/26/20	666.40	...	-23.96	5 of 12 - Sale <sup>25</sup>
	522.000	281.81	05/26/20	302.83	...	-21.02	6 of 12 - Sale <sup>25</sup>
	100.000	53.99	05/26/20	58.01	...	-4.02	7 of 12 - Sale <sup>25</sup>
	100.000	53.99	05/26/20	58.01	...	-4.02	8 of 12 - Sale <sup>25</sup>
	100.000	53.99	05/26/20	58.01	...	-4.02	9 of 12 - Sale <sup>25</sup>
	87.000	46.97	05/26/20	50.47	...	-3.50	10 of 12 - Sale <sup>25</sup>
	35.000	18.90	05/26/20	20.31	...	-1.41	11 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	10.000	5.40	05/26/20	5.60	...	-0.20	12 of 12 - Sale <sup>25</sup>
05/26/20	10,502.000	5,669.71	Various	5,932.28	...	-262.57	Total of 12 transactions
	6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	477.000	257.52	05/26/20	276.73	0.04 W	-19.17	1 of 6 - Sale <sup>25</sup>
	999.000	539.32	05/26/20	559.44	20.12 W	0.00	2 of 6 - Sale <sup>25</sup>
	1,000.000	539.86	05/26/20	560.00	20.14 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	53.99	05/26/20	56.00	2.01 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	53.99	05/26/20	56.00	2.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	823.000	444.31	05/26/20	460.88	16.57 W	0.00	6 of 6 - Sale <sup>25</sup>
05/26/20	3,499.000	1,888.99	Various	1,969.05	60.89 W	-19.17	Total of 6 transactions
08/13/20	3,699.000	1,174.33	08/06/20	1,109.70	...	64.63	Sale <sup>25</sup>
08/13/20	1.000	0.32	05/26/20	0.60	0.28 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1,999.000	356.97	11/04/20	363.02	...	-6.05	1 of 2 - Sale <sup>25</sup>
	999.000	178.39	11/04/20	181.42	...	-3.03	2 of 2 - Sale <sup>25</sup>
11/05/20	2,998.000	535.36	Various	544.44	...	-9.08	Total of 2 transactions
	2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1.000	0.18	08/06/20	0.58	0.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	0.18	11/04/20	0.58	0.40 W	0.00	2 of 2 - Sale <sup>25</sup>
11/05/20	2.000	0.36	Various	1.16	0.80 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>21,892.64</b>		<b>21,332.65</b>	<b>61.97 W</b>	<b>621.96</b>	
RE/MAX HOLDINGS, INC. / CUSIP: 75524W108 / Symbol:							
03/25/20	15.000	308.37	03/24/20	302.10	...	6.27	Sale <sup>25</sup>
ROSEHILL RESOURCES INC. CLASS A COMMON STOCK / CUSIP: 777385105 / Symbol:							
08/13/20	1.000	0.07	05/05/20	0.42	...	-0.35	Sale <sup>25</sup>
RUTH'S HOSPITALITY GROUP, INC. COMMON STOCK / CUSIP: 783332109 / Symbol:							
04/08/20	50.000	387.51	04/07/20	375.95	...	11.56	Sale <sup>25</sup>
10/12/20	9.000	107.66	04/29/20	97.29	...	10.37	Sale <sup>25</sup>
	3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1.000	12.09	04/29/20	10.81	...	1.28	1 of 3 - Sale <sup>25</sup>
	70.000	846.27	11/05/20	842.79	...	3.48	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RUTH'S HOSPITALITY GROUP, INC. COMMON STOCK / CUSIP: 783332109 / Symbol: (cont'd)							
	599.000	7,241.67	11/05/20	7,211.90	...	29.77	3 of 3 - Sale <sup>25</sup>
11/05/20	670.000	8,100.03	Various	8,065.50	...	34.53	Total of 3 transactions
	7 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	11.95	11/06/20	11.90	...	0.05	1 of 7 - Sale <sup>25</sup>
	69.000	824.52	11/06/20	814.89	...	9.63	2 of 7 - Sale <sup>25</sup>
	75.000	896.22	11/06/20	885.75	...	10.47	3 of 7 - Sale <sup>25</sup>
	99.000	1,183.01	11/06/20	1,169.19	...	13.82	4 of 7 - Sale <sup>25</sup>
	100.000	1,194.96	11/06/20	1,181.00	...	13.96	5 of 7 - Sale <sup>25</sup>
	185.000	2,210.68	11/06/20	2,184.85	...	25.83	6 of 7 - Sale <sup>25</sup>
	200.000	2,389.92	11/06/20	2,362.00	...	27.92	7 of 7 - Sale <sup>25</sup>
11/06/20	729.000	8,711.26	Various	8,609.58	...	101.68	Total of 7 transactions
11/06/20	1.000	11.95	11/05/20	12.04	0.09 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>17,318.41</b>		<b>17,160.36</b>	<b>0.09 W</b>	<b>158.14</b>	
SCHRODINGER, INC. COMMON STOCK / CUSIP: 80810D103 / Symbol:							
	3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.						
	2.000	77.70	02/19/20	69.74	...	7.96	1 of 3 - Sale <sup>25</sup>
	2.000	77.70	02/19/20	64.56	...	13.14	2 of 3 - Sale <sup>25</sup>
	8.000	343.12	02/20/20	309.56	...	33.56	3 of 3 - Sale <sup>25</sup>
02/20/20	12.000	498.52	Various	443.86	...	54.66	Total of 3 transactions
02/24/20	7.000	393.47	02/21/20	354.56	...	38.91	Sale <sup>25</sup>
	4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	13.000	521.94	03/06/20	513.76	...	8.18	1 of 4 - Sale <sup>25</sup>
	5.000	200.74	03/10/20	188.28	...	12.46	2 of 4 - Sale <sup>25</sup>
	3.000	120.45	03/10/20	107.73	...	12.72	3 of 4 - Sale <sup>25</sup>
	5.000	200.74	03/10/20	187.55	...	13.19	4 of 4 - Sale <sup>25</sup>
03/12/20	26.000	1,043.87	Various	997.32	...	46.55	Total of 4 transactions
	4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	9.000	361.34	02/24/20	456.44	95.10 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	200.75	02/25/20	219.70	18.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	40.15	03/03/20	41.72	1.57 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	80.30	03/03/20	80.34	0.04 W	0.00	4 of 4 - Sale <sup>25</sup>
03/12/20	17.000	682.54	Various	798.20	115.66 W	0.00	Total of 4 transactions

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Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SCHRODINGER, INC. COMMON STOCK / CUSIP: 80810D103 / Symbol: (cont'd)							
03/18/20	1.000	32.59	03/17/20	31.37	...	1.22	Sale <sup>25</sup>
	3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	9.000	293.25	03/17/20	363.30	70.05 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	32.58	03/17/20	33.59	1.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	130.34	03/17/20	134.36	4.02 W	0.00	3 of 3 - Sale <sup>25</sup>
03/18/20	14.000	456.17	Various	531.25	75.08 W	0.00	Total of 3 transactions
	4 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	1.000	30.50	03/18/20	29.31	...	1.19	1 of 4 - Sale <sup>25</sup>
	2.000	60.98	03/18/20	56.64	...	4.34	2 of 4 - Sale <sup>25</sup>
	4.000	121.96	03/18/20	117.22	...	4.74	3 of 4 - Sale <sup>25</sup>
	4.000	121.96	03/18/20	113.20	...	8.76	4 of 4 - Sale <sup>25</sup>
03/19/20	11.000	335.40	Various	316.37	...	19.03	Total of 4 transactions
03/19/20	9.000	274.50	03/18/20	324.75	50.25 W	0.00	Sale <sup>25</sup>
03/23/20	5.000	210.50	03/20/20	205.42	...	5.08	Sale <sup>25</sup>
	2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	4.000	165.92	03/24/20	193.95	28.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	290.36	03/24/20	300.34	9.98 W	0.00	2 of 2 - Sale <sup>25</sup>
03/25/20	11.000	456.28	Various	494.29	38.01 W	0.00	Total of 2 transactions
	4 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	3.000	119.40	04/15/20	130.91	...	-11.51	1 of 4 - Sale <sup>25</sup>
	1.000	39.80	04/15/20	43.70	...	-3.90	2 of 4 - Sale <sup>25</sup>
	7.000	278.59	04/15/20	266.81	...	11.78	3 of 4 - Sale <sup>25</sup>
	9.000	358.19	04/15/20	330.21	...	27.98	4 of 4 - Sale <sup>25</sup>
04/16/20	20.000	795.98	Various	771.63	...	24.35	Total of 4 transactions
	<b>Security total:</b>	<b>5,179.82</b>		<b>5,269.02</b>	<b>279.00 W</b>	<b>189.80</b>	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
03/26/20	50.000	887.98	03/25/20	758.88	...	129.10	Sale <sup>25</sup>
04/09/20	50.000	670.98	04/07/20	646.50	...	24.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,558.96</b>		<b>1,405.38</b>	...	<b>153.58</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPRING BANK PHARMACEUTICALS, I NC. COMMON STOCK / CUSIP: 849431101 / Symbol:							
<i>13 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.27	10/26/20	1.11	...	0.16	1 of 13 - Sale <sup>25</sup>
	60.000	79.19	11/09/20	79.44	...	-0.25	2 of 13 - Sale <sup>25</sup>
	183.000	241.53	11/09/20	239.76	...	1.77	3 of 13 - Sale <sup>25</sup>
	100.000	131.99	11/09/20	130.00	...	1.99	4 of 13 - Sale <sup>25</sup>
	100.000	132.49	11/09/20	130.00	...	2.49	5 of 13 - Sale <sup>25</sup>
	216.000	286.16	11/09/20	283.00	...	3.16	6 of 13 - Sale <sup>25</sup>
	300.000	395.96	11/09/20	390.00	...	5.96	7 of 13 - Sale <sup>25</sup>
	399.000	526.62	11/09/20	518.70	...	7.92	8 of 13 - Sale <sup>25</sup>
	516.000	681.04	11/09/20	670.80	...	10.24	9 of 13 - Sale <sup>25</sup>
	418.000	551.70	11/09/20	539.22	...	12.48	10 of 13 - Sale <sup>25</sup>
	584.000	773.71	11/09/20	753.36	...	20.35	11 of 13 - Sale <sup>25</sup>
	1,301.000	1,717.13	11/09/20	1,691.30	...	25.83	12 of 13 - Sale <sup>25</sup>
	2,284.000	3,014.54	11/09/20	2,969.20	...	45.34	13 of 13 - Sale <sup>25</sup>
11/10/20	6,462.000	8,533.33	Various	8,395.89	...	137.44	Total of 13 transactions
11/10/20	399.000	506.66	11/09/20	514.71	8.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,039.99</b>		<b>8,910.60</b>	<b>8.05 W</b>	<b>137.44</b>	
SPRINT CORPORATION / CUSIP: 85207U105 / Symbol:							
02/12/20	5.000	43.28	02/12/20	42.30	...	0.98	Sale <sup>25</sup>
02/19/20	10.000	94.73	02/18/20	87.00	...	7.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>138.01</b>		<b>129.30</b>	...	<b>8.71</b>	
STEEL CONNECT, INC. COMMON STO CK / CUSIP: 858098106 / Symbol:							
<i>3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.65	05/07/20	0.64	...	0.01	1 of 3 - Sale <sup>25</sup>
	3.000	1.95	05/11/20	1.79	...	0.16	2 of 3 - Sale <sup>25</sup>
	196.000	128.45	05/11/20	116.95	...	11.50	3 of 3 - Sale <sup>25</sup>
10/12/20	200.000	131.05	Various	119.38	...	11.67	Total of 3 transactions
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol:							
04/16/20	1.000	7.00	04/14/20	7.00	...	0.00	Sale <sup>25</sup>
<i>2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	98.000	684.01	04/14/20	686.00	1.99 W	0.00	1 of 2 - Sale <sup>25</sup>

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(continued)

02/14/2021

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SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol: (cont'd)							
04/16/20	1.000	6.98	04/14/20	7.00	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
	99.000	690.99	Various	693.00	2.01 W	0.00	Total of 2 transactions
	6 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	1.000	2.58	04/21/20	2.34	...	0.24	1 of 6 - Sale <sup>25</sup>
	16.000	41.28	04/21/20	37.44	...	3.84	2 of 6 - Sale <sup>25</sup>
	19.000	49.02	04/21/20	44.47	...	4.55	3 of 6 - Sale <sup>25</sup>
	79.000	203.80	04/21/20	184.88	...	18.92	4 of 6 - Sale <sup>25</sup>
	85.000	219.28	04/21/20	197.20	...	22.08	5 of 6 - Sale <sup>25</sup>
	300.000	764.94	04/21/20	702.00	...	62.94	6 of 6 - Sale <sup>25</sup>
04/22/20	500.000	1,280.90	Various	1,168.33	...	112.57	Total of 6 transactions
	8 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	237.98	06/04/20	233.50	...	4.48	1 of 8 - Sale <sup>25</sup>
	100.000	237.98	06/04/20	233.50	...	4.48	2 of 8 - Sale <sup>25</sup>
	100.000	237.98	06/04/20	233.50	...	4.48	3 of 8 - Sale <sup>25</sup>
	100.000	237.98	06/04/20	233.50	...	4.48	4 of 8 - Sale <sup>25</sup>
	100.000	237.98	06/04/20	233.50	...	4.48	5 of 8 - Sale <sup>25</sup>
	100.000	237.98	06/04/20	233.50	...	4.48	6 of 8 - Sale <sup>25</sup>
	200.000	477.76	06/04/20	467.00	...	10.76	7 of 8 - Sale <sup>25</sup>
	800.000	1,903.87	06/04/20	1,868.00	...	35.87	8 of 8 - Sale <sup>25</sup>
06/05/20	1,600.000	3,809.51	Various	3,736.00	...	73.51	Total of 8 transactions
	24 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	1.000	2.92	06/05/20	2.31	...	0.61	1 of 24 - Sale <sup>25</sup>
	300.000	875.94	11/10/20	1,092.00	...	-216.06	2 of 24 - Sale <sup>25</sup>
	200.000	583.96	11/10/20	728.00	...	-144.04	3 of 24 - Sale <sup>25</sup>
	200.000	583.96	11/10/20	728.00	...	-144.04	4 of 24 - Sale <sup>25</sup>
	174.000	508.05	11/10/20	633.36	...	-125.31	5 of 24 - Sale <sup>25</sup>
	150.000	437.97	11/10/20	546.00	...	-108.03	6 of 24 - Sale <sup>25</sup>
	150.000	437.97	11/10/20	546.00	...	-108.03	7 of 24 - Sale <sup>25</sup>
	142.000	414.61	11/10/20	516.88	...	-102.27	8 of 24 - Sale <sup>25</sup>
	100.000	291.98	11/10/20	364.00	...	-72.02	9 of 24 - Sale <sup>25</sup>
	100.000	291.98	11/10/20	364.00	...	-72.02	10 of 24 - Sale <sup>25</sup>
	100.000	291.98	11/10/20	364.00	...	-72.02	11 of 24 - Sale <sup>25</sup>
	100.000	291.99	11/10/20	364.00	...	-72.01	12 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol: (cont'd)							
	100.000	291.99	11/10/20	364.00	...	-72.01	13 of 24 - Sale <sup>25</sup>
	99.000	289.06	11/10/20	360.36	...	-71.30	14 of 24 - Sale <sup>25</sup>
	99.000	289.06	11/10/20	360.36	...	-71.30	15 of 24 - Sale <sup>25</sup>
	76.000	221.90	11/10/20	276.64	...	-54.74	16 of 24 - Sale <sup>25</sup>
	74.000	216.07	11/10/20	269.36	...	-53.29	17 of 24 - Sale <sup>25</sup>
	74.000	216.07	11/10/20	269.29	...	-53.22	18 of 24 - Sale <sup>25</sup>
	51.000	148.91	11/10/20	185.64	...	-36.73	19 of 24 - Sale <sup>25</sup>
	26.000	75.91	11/10/20	94.64	...	-18.73	20 of 24 - Sale <sup>25</sup>
	26.000	75.92	11/10/20	94.64	...	-18.72	21 of 24 - Sale <sup>25</sup>
	26.000	75.91	11/10/20	94.61	...	-18.70	22 of 24 - Sale <sup>25</sup>
	24.000	70.08	11/10/20	87.36	...	-17.28	23 of 24 - Sale <sup>25</sup>
	8.000	23.36	11/10/20	29.12	...	-5.76	24 of 24 - Sale <sup>25</sup>
12/14/20	2,400.000	7,007.55	Various	8,734.57	...	-1,727.02	Total of 24 transactions
	<b>Security total:</b>	<b>12,795.95</b>		<b>14,338.90</b>	<b>2.01 W</b>	<b>-1,540.94</b>	
TECK RESOURCES LIMITED / CUSIP: 878742204 / Symbol:							
	3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	10.000	108.02	02/21/20	111.38	...	-3.36	1 of 3 - Sale <sup>25</sup>
	1.000	10.80	02/28/20	10.12	...	0.68	2 of 3 - Sale <sup>25</sup>
	10.000	108.02	02/28/20	101.30	...	6.72	3 of 3 - Sale <sup>25</sup>
03/04/20	21.000	226.84	Various	222.80	...	4.04	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/13/20	1.000	788.86	02/10/20	794.79	5.93 W	0.00	Sale <sup>25</sup>
02/19/20	1.000	910.07	02/18/20	858.46	...	51.61	Sale <sup>25</sup>
03/13/20	1.000	598.14	03/12/20	574.03	...	24.11	Sale <sup>25</sup>
	2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	1.000	599.12	02/19/20	934.23	335.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	598.15	02/24/20	1,175.78	577.63 W	0.00	2 of 2 - Sale <sup>25</sup>
03/13/20	2.000	1,197.27	Various	2,110.01	912.74 W	0.00	Total of 2 transactions
	3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	1.000	466.38	03/12/20	1,151.66	685.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	466.38	03/12/20	574.03	107.65 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	466.37	03/13/20	551.32	84.95 W	0.00	3 of 3 - Sale <sup>25</sup>
03/16/20	3.000	1,399.13	Various	2,277.01	877.88 W	0.00	Total of 3 transactions
03/17/20	2.000	879.98	03/17/20	829.45	...	50.53	Sale <sup>25</sup>
3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	439.99	03/13/20	1,236.60	796.61 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	439.99	03/13/20	627.93	187.94 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	439.99	03/17/20	499.68	59.69 W	0.00	3 of 3 - Sale <sup>25</sup>
03/17/20	3.000	1,319.97	Various	2,364.21	1,044.24 W	0.00	Total of 3 transactions
03/19/20	2.000	816.35	03/18/20	777.83	...	38.52	Sale <sup>25</sup>
3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	408.17	03/18/20	1,185.52	777.35 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	408.17	03/18/20	576.85	168.68 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	408.17	03/18/20	448.60	40.43 W	0.00	3 of 3 - Sale <sup>25</sup>
03/19/20	3.000	1,224.51	Various	2,210.97	986.46 W	0.00	Total of 3 transactions
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	459.32	03/19/20	1,194.09	734.77 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	459.31	03/19/20	585.41	126.10 W	0.00	2 of 2 - Sale <sup>25</sup>
03/20/20	2.000	918.63	Various	1,779.50	860.87 W	0.00	Total of 2 transactions
03/23/20	2.000	857.41	03/20/20	854.00	...	3.41	Sale <sup>25</sup>
3 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	1.000	428.71	03/20/20	1,161.77	733.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	428.71	03/20/20	553.10	124.39 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	428.70	03/20/20	467.43	38.73 W	0.00	3 of 3 - Sale <sup>25</sup>
03/23/20	3.000	1,286.12	Various	2,182.30	896.18 W	0.00	Total of 3 transactions
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	491.42	03/24/20	1,217.71	726.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	491.43	03/24/20	609.04	117.61 W	0.00	2 of 2 - Sale <sup>25</sup>
03/24/20	2.000	982.85	Various	1,826.75	843.90 W	0.00	Total of 2 transactions
03/25/20	1.000	519.98	03/24/20	543.42	...	-23.44	Sale <sup>25</sup>
03/26/20	1.000	514.99	03/25/20	1,268.14	...	-753.15	Sale <sup>25</sup>
04/01/20	1.000	493.15	03/31/20	652.52	159.37 W	0.00	Sale <sup>25</sup>
05/04/20	3.000	2,220.80	05/01/20	2,124.20	...	96.60	Sale <sup>25</sup>
05/04/20	1.000	740.27	05/01/20	867.44	127.17 W	0.00	Sale <sup>25</sup>

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Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
05/05/20	1.000	785.98	05/04/20	885.54	...	-99.56	Sale <sup>25</sup>
	3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	3.000	1,443.56	08/31/20	1,388.25	...	55.31	1 of 3 - Sale <sup>25</sup>
	7.000	3,368.29	08/31/20	3,239.25	...	129.04	2 of 3 - Sale <sup>25</sup>
	10.000	4,831.39	08/31/20	4,627.50	...	203.89	3 of 3 - Sale <sup>25</sup>
08/31/20	20.000	9,643.24	Various	9,255.00	...	388.24	Total of 3 transactions
09/01/20	19.000	9,038.48	08/31/20	9,555.48	517.00 W	0.00	Sale <sup>25</sup>
09/08/20	7.000	2,471.99	09/08/20	2,523.22	...	-51.23	Sale <sup>25</sup>
	2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	1.000	353.14	09/02/20	484.09	130.95 W	0.00	1 of 2 - Sale <sup>25</sup>
	18.000	6,356.56	09/08/20	6,978.07	414.34 W	-207.17	2 of 2 - Sale <sup>25</sup>
09/08/20	19.000	6,709.70	Various	7,462.16	545.29 W	-207.17	Total of 2 transactions
09/15/20	1.000	434.65	09/08/20	491.41	...	-56.76	Sale <sup>25</sup>
10/12/20	11.000	4,840.38	09/15/20	5,437.64	597.26 W	0.00	Sale <sup>25</sup>
	4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	446.04	09/15/20	494.33	48.29 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	1,338.12	10/19/20	1,505.51	167.39 W	0.00	2 of 4 - Sale <sup>25</sup>
	8.000	3,568.32	10/19/20	4,014.69	446.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	2,230.20	10/19/20	2,237.70	7.50 W	0.00	4 of 4 - Sale <sup>25</sup>
10/19/20	17.000	7,582.68	Various	8,252.23	669.55 W	0.00	Total of 4 transactions
10/20/20	1.000	424.55	10/20/20	424.19	...	0.36	Sale <sup>25</sup>
	4 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	1.000	424.56	10/19/20	495.83	71.27 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	1,273.68	10/20/20	1,439.96	166.28 W	0.00	2 of 4 - Sale <sup>25</sup>
	8.000	3,396.47	10/20/20	3,839.88	443.41 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	2,122.80	10/20/20	2,128.45	5.65 W	0.00	4 of 4 - Sale <sup>25</sup>
10/20/20	17.000	7,217.51	Various	7,904.12	686.61 W	0.00	Total of 4 transactions
	6 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	1.000	427.20	10/20/20	495.46	68.26 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	427.20	10/27/20	483.93	56.73 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	854.40	10/27/20	967.85	113.45 W	0.00	3 of 6 - Sale <sup>25</sup>
	8.000	3,417.59	10/27/20	3,871.41	453.82 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	2,135.99	10/27/20	2,148.15	12.16 W	0.00	5 of 6 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	3.000	1,281.59	10/27/20	1,285.50	3.91 W	0.00	6 of 6 - Sale <sup>25</sup>
10/27/20	20.000	8,543.97	Various	9,252.30	708.33 W	0.00	Total of 6 transactions
6 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	425.56	10/27/20	496.76	...	-71.20	1 of 6 - Sale <sup>25</sup>
	8.000	3,404.52	11/04/20	3,840.38	...	-435.86	2 of 6 - Sale <sup>25</sup>
	2.000	851.13	11/04/20	960.09	...	-108.96	3 of 6 - Sale <sup>25</sup>
	1.000	425.56	11/04/20	480.05	...	-54.49	4 of 6 - Sale <sup>25</sup>
	5.000	2,127.83	11/04/20	2,128.76	...	-0.93	5 of 6 - Sale <sup>25</sup>
	2.000	851.13	11/04/20	849.25	...	1.88	6 of 6 - Sale <sup>25</sup>
11/05/20	19.000	8,085.73	Various	8,755.29	...	-669.56	Total of 6 transactions
12/14/20	1.000	638.43	11/04/20	424.62	...	213.81	Sale <sup>25</sup>
<b>Security total:</b>		<b>84,085.77</b>		<b>95,518.23</b>	<b>10,438.78 W</b>	<b>-993.68</b>	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
02/19/20	1.000	41.15	02/10/20	40.60	...	0.55	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
04/09/20	13.000	407.45	04/08/20	346.84	...	60.61	Sale <sup>25</sup>
04/15/20	100.000	3,170.92	04/09/20	3,237.00	66.08 W	0.00	Sale <sup>25</sup>
04/17/20	100.000	2,932.92	04/16/20	2,986.07	53.15 W	0.00	Sale <sup>25</sup>
04/23/20	15.000	397.19	04/22/20	387.45	...	9.74	Sale <sup>25</sup>
4 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	2.000	52.88	04/17/20	59.26	6.38 W	0.00	1 of 4 - Sale <sup>25</sup>
	98.000	2,591.06	04/17/20	2,907.81	316.75 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	52.96	04/22/20	59.04	6.08 W	0.00	3 of 4 - Sale <sup>25</sup>
	98.000	2,594.98	04/22/20	2,897.09	302.11 W	0.00	4 of 4 - Sale <sup>25</sup>
04/23/20	200.000	5,291.88	Various	5,923.20	631.32 W	0.00	Total of 4 transactions
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	2.000	48.54	05/04/20	54.60	6.06 W	0.00	1 of 2 - Sale <sup>25</sup>
	28.000	679.54	05/04/20	765.55	86.01 W	0.00	2 of 2 - Sale <sup>25</sup>
05/11/20	30.000	728.08	Various	820.15	92.07 W	0.00	Total of 2 transactions
6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	2.000	45.67	05/12/20	51.66	5.99 W	0.00	1 of 6 - Sale <sup>25</sup>
	28.000	639.36	05/12/20	724.41	85.05 W	0.00	2 of 6 - Sale <sup>25</sup>

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(continued)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	70.000	1,598.40	05/12/20	1,811.79	213.39 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	45.66	05/12/20	51.59	5.93 W	0.00	4 of 6 - Sale <sup>25</sup>
	28.000	639.23	05/12/20	723.45	84.22 W	0.00	5 of 6 - Sale <sup>25</sup>
	20.000	456.58	05/12/20	516.97	60.39 W	0.00	6 of 6 - Sale <sup>25</sup>
05/18/20	150.000	3,424.90	Various	3,879.87	454.97 W	0.00	Total of 6 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	38.43	05/18/20	25.86	...	12.57	1 of 2 - Sale <sup>25</sup>
	70.000	2,730.11	06/04/20	2,688.00	...	42.11	2 of 2 - Sale <sup>25</sup>
06/04/20	71.000	2,768.54	Various	2,713.86	...	54.68	Total of 2 transactions
	7 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	38.43	06/04/20	41.37	2.94 W	0.00	1 of 7 - Sale <sup>25</sup>
	49.000	1,883.03	06/04/20	2,030.97	147.94 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	38.43	06/04/20	41.36	2.93 W	0.00	3 of 7 - Sale <sup>25</sup>
	28.000	1,076.01	06/04/20	1,159.42	83.41 W	0.00	4 of 7 - Sale <sup>25</sup>
	20.000	768.58	06/04/20	828.39	59.81 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	39.00	06/04/20	41.34	2.34 W	0.00	6 of 7 - Sale <sup>25</sup>
	49.000	1,911.08	06/04/20	2,029.54	118.46 W	0.00	7 of 7 - Sale <sup>25</sup>
06/04/20	149.000	5,754.56	Various	6,172.39	417.83 W	0.00	Total of 7 transactions
06/08/20	24.000	1,095.57	06/05/20	1,090.05	...	5.52	Sale <sup>25</sup>
06/08/20	1.000	45.65	06/05/20	48.52	2.87 W	0.00	Sale <sup>25</sup>
	5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	41.30	06/12/20	39.20	...	2.10	1 of 5 - Sale <sup>25</sup>
	1.000	41.30	06/12/20	39.12	...	2.18	2 of 5 - Sale <sup>25</sup>
	3.000	123.91	06/12/20	119.28	...	4.63	3 of 5 - Sale <sup>25</sup>
	24.000	991.25	06/12/20	975.23	...	16.02	4 of 5 - Sale <sup>25</sup>
	20.000	826.05	06/12/20	795.38	...	30.67	5 of 5 - Sale <sup>25</sup>
06/16/20	49.000	2,023.81	Various	1,968.21	...	55.60	Total of 5 transactions
06/16/20	1.000	41.30	06/05/20	45.42	4.12 W	0.00	Sale <sup>25</sup>
08/11/20	54.000	2,064.90	06/19/20	1,996.99	...	67.91	Sale <sup>25</sup>
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	21.000	803.02	06/12/20	853.33	50.31 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	38.24	06/19/20	41.10	2.86 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	38.24	06/19/20	39.85	1.61 W	0.00	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	3.000	114.72	06/19/20	118.19		0.00	4 of 4 - Sale <sup>25</sup>
08/11/20	26.000	994.22	Various	1,052.47	3.47 W 58.25 W	0.00	Total of 4 transactions
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	35.48	08/24/20	35.10	...	0.38	1 of 3 - Sale <sup>25</sup>
	3.000	106.44	08/24/20	103.94	...	2.50	2 of 3 - Sale <sup>25</sup>
	73.000	2,589.97	08/24/20	2,444.87	...	145.10	3 of 3 - Sale <sup>25</sup>
08/24/20	77.000	2,731.89	Various	2,583.91	...	147.98	Total of 3 transactions
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	35.48	06/19/20	36.98	1.50 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	35.48	08/24/20	36.35	0.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	21.000	745.06	08/24/20	753.63	8.57 W	0.00	3 of 3 - Sale <sup>25</sup>
08/24/20	23.000	816.02	Various	826.96	10.94 W	0.00	Total of 3 transactions
	4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	1.000	37.97	08/24/20	34.99	...	2.98	1 of 4 - Sale <sup>25</sup>
	149.000	5,657.78	09/03/20	5,767.78	...	-110.00	2 of 4 - Sale <sup>25</sup>
	78.000	2,961.79	09/03/20	3,014.82	...	-53.03	3 of 4 - Sale <sup>25</sup>
	21.000	797.40	09/03/20	820.25	...	-22.85	4 of 4 - Sale <sup>25</sup>
09/08/20	249.000	9,454.94	Various	9,637.84	...	-182.90	Total of 4 transactions
09/08/20	1.000	37.97	09/03/20	39.52	1.55 W	0.00	Sale <sup>25</sup>
10/23/20	200.000	7,539.91	10/22/20	7,435.98	...	103.93	Sale <sup>25</sup>
10/23/20	1.000	37.70	09/03/20	40.26	2.56 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>51,760.32</b>		<b>53,232.96</b>	<b>1,795.71 W</b>	<b>323.07</b>	
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
	10 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	9,300.000	2,551.68	08/31/20	2,585.64	...	-33.96	1 of 10 - Sale <sup>25</sup>
	6,313.000	1,732.13	08/31/20	1,743.65	...	-11.52	2 of 10 - Sale <sup>25</sup>
	2,955.000	810.78	08/31/20	820.68	...	-9.90	3 of 10 - Sale <sup>25</sup>
	2,774.000	761.11	08/31/20	766.18	...	-5.07	4 of 10 - Sale <sup>25</sup>
	500.000	137.19	08/31/20	139.01	...	-1.82	5 of 10 - Sale <sup>25</sup>
	938.000	257.36	08/31/20	259.08	...	-1.72	6 of 10 - Sale <sup>25</sup>
	93.000	25.52	08/31/20	26.10	...	-0.58	7 of 10 - Sale <sup>25</sup>
	25.000	6.86	08/31/20	6.95	...	-0.09	8 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol: (cont'd)							
	7.000	1.92	08/31/20	1.96	...	-0.04	9 of 10 - Sale <sup>25</sup>
	7.000	1.92	08/31/20	1.94	...	-0.02	10 of 10 - Sale <sup>25</sup>
08/31/20	22,912.000	6,286.47	Various	6,351.19	...	-64.72	Total of 10 transactions
	9 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	100.000	27.48	08/31/20	27.62	0.14 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	27.47	08/31/20	27.76	0.29 W	0.00	2 of 9 - Sale <sup>25</sup>
	25.000	6.87	08/31/20	6.91	0.04 W	0.00	3 of 9 - Sale <sup>25</sup>
	7.000	1.92	08/31/20	1.95	0.03 W	0.00	4 of 9 - Sale <sup>25</sup>
	93.000	25.55	08/31/20	25.96	0.41 W	0.00	5 of 9 - Sale <sup>25</sup>
	7.000	1.92	08/31/20	1.93	0.01 W	0.00	6 of 9 - Sale <sup>25</sup>
	2,955.000	811.66	08/31/20	816.17	4.51 W	0.00	7 of 9 - Sale <sup>25</sup>
	9,300.000	2,551.68	08/31/20	2,568.66	16.98 W	0.00	8 of 9 - Sale <sup>25</sup>
	500.000	137.19	08/31/20	138.10	0.91 W	0.00	9 of 9 - Sale <sup>25</sup>
08/31/20	13,087.000	3,591.74	Various	3,615.06	23.32 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>9,878.21</b>		<b>9,966.25</b>	<b>23.32 W</b>	<b>-64.72</b>	
VIVEVE MEDICAL, INC. COMMON STOCK / CUSIP: 92852W303 / Symbol:							
	3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	700.000	433.92	04/13/20	447.93	...	-14.01	1 of 3 - Sale <sup>25</sup>
	200.000	123.98	04/13/20	127.98	...	-4.00	2 of 3 - Sale <sup>25</sup>
	100.000	61.99	04/13/20	63.99	...	-2.00	3 of 3 - Sale <sup>25</sup>
04/14/20	1,000.000	619.89	Various	639.90	...	-20.01	Total of 3 transactions
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
	3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	20.000	106.60	02/20/20	244.20	137.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	53.30	03/04/20	117.48	64.18 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	53.30	03/05/20	115.00	61.70 W	0.00	3 of 3 - Sale <sup>25</sup>
03/16/20	40.000	213.20	Various	476.68	263.48 W	0.00	Total of 3 transactions
	3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	20.000	84.00	03/17/20	234.60	150.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	42.00	03/17/20	112.68	70.68 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	10.000	42.00	03/17/20	110.20	68.20 W	0.00	3 of 3 - Sale <sup>25</sup>
03/18/20	40.000	168.00	Various	457.48	289.48 W	0.00	Total of 3 transactions
03/20/20	10.000	41.40	03/19/20	35.69	...	5.71	Sale <sup>25</sup>
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	20.000	82.80	03/19/20	221.97	139.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	41.40	03/19/20	106.36	64.96 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	41.40	03/19/20	103.88	62.48 W	0.00	3 of 3 - Sale <sup>25</sup>
03/20/20	40.000	165.60	Various	432.21	266.61 W	0.00	Total of 3 transactions
4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	20.000	113.41	04/13/20	246.17	...	-132.76	1 of 4 - Sale <sup>25</sup>
	10.000	56.70	04/13/20	118.46	...	-61.76	2 of 4 - Sale <sup>25</sup>
	10.000	56.70	04/13/20	115.98	...	-59.28	3 of 4 - Sale <sup>25</sup>
	160.000	907.26	04/13/20	855.98	...	51.28	4 of 4 - Sale <sup>25</sup>
04/14/20	200.000	1,134.07	Various	1,336.59	...	-202.52	Total of 4 transactions
	<b>Security total:</b>	<b>1,722.27</b>		<b>2,738.65</b>	<b>819.57 W</b>	<b>-196.81</b>	
WALMART INC. / CUSIP: 931142103 / Symbol:							
04/09/20	10.000	1,216.57	04/07/20	1,257.90	...	-41.33	Sale <sup>25</sup>
WANDA SPORTS GROUP COMPANY LIM ITED AMERICAN DEPOSITARY SHARE S / CUSIP: 93368R101 / Symbol:							
2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	25.000	118.62	02/19/20	117.45	...	1.17	1 of 2 - Sale <sup>25</sup>
	10.000	47.45	02/19/20	44.40	...	3.05	2 of 2 - Sale <sup>25</sup>
02/21/20	35.000	166.07	Various	161.85	...	4.22	Total of 2 transactions
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	50.000	116.50	03/26/20	131.50	15.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	116.49	03/26/20	131.50	15.01 W	0.00	2 of 2 - Sale <sup>25</sup>
03/30/20	100.000	232.99	Various	263.00	30.01 W	0.00	Total of 2 transactions
8 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	50.000	114.49	04/02/20	127.50	...	-13.01	1 of 8 - Sale <sup>25</sup>
	50.000	114.50	04/02/20	127.50	...	-13.00	2 of 8 - Sale <sup>25</sup>
	400.000	900.45	04/02/20	899.96	...	0.49	3 of 8 - Sale <sup>25</sup>
	100.000	227.99	04/02/20	224.99	...	3.00	4 of 8 - Sale <sup>25</sup>

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Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WANDA SPORTS GROUP COMPANY LIM ITED AMERICAN DEPOSITARY SHARE S / CUSIP: 93368R101 / Symbol: (cont'd)							
	100.000	227.99	04/02/20	224.99	...	3.00	5 of 8 - Sale <sup>25</sup>
	100.000	227.99	04/02/20	224.99	...	3.00	6 of 8 - Sale <sup>25</sup>
	100.000	228.99	04/02/20	224.99	...	4.00	7 of 8 - Sale <sup>25</sup>
	100.000	228.99	04/02/20	224.99	...	4.00	8 of 8 - Sale <sup>25</sup>
04/06/20	1,000.000	2,271.39	Various	2,279.91	...	-8.52	Total of 8 transactions
	<b>Security total:</b>	<b>2,670.45</b>		<b>2,704.76</b>	<b>30.01 W</b>	<b>-4.30</b>	
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
04/30/20	50.000	385.25	04/16/20	385.75	...	-0.50	Sale <sup>25</sup>
NABORS INDUSTRIES LTD. / CUSIP: G6359F103 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	520.000	166.34	04/16/20	156.36	...	9.98	1 of 2 - Sale <sup>25</sup>
	980.000	313.48	04/16/20	294.69	...	18.79	2 of 2 - Sale <sup>25</sup>
04/17/20	1,500.000	479.82	Various	451.05	...	28.77	Total of 2 transactions
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol:							
04/29/20	100.000	1,266.96	04/28/20	1,119.00	...	147.96	Sale <sup>25</sup>
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	6.000	76.02	04/20/20	82.77	6.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.000	177.37	04/20/20	193.13	15.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	80.000	1,013.57	04/24/20	1,103.19	89.62 W	0.00	3 of 3 - Sale <sup>25</sup>
04/29/20	100.000	1,266.96	Various	1,379.09	112.13 W	0.00	Total of 3 transactions
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	6.000	237.53	05/27/20	230.13	...	7.40	1 of 5 - Sale <sup>25</sup>
	14.000	554.24	05/27/20	536.98	...	17.26	2 of 5 - Sale <sup>25</sup>
	30.000	1,187.66	05/27/20	1,150.51	...	37.15	3 of 5 - Sale <sup>25</sup>
	50.000	1,979.42	05/27/20	1,921.00	...	58.42	4 of 5 - Sale <sup>25</sup>
	100.000	3,958.85	05/27/20	3,729.99	...	228.86	5 of 5 - Sale <sup>25</sup>
05/28/20	200.000	7,917.70	Various	7,568.61	...	349.09	Total of 5 transactions
06/01/20	10.000	390.16	05/27/20	373.00	...	17.16	Sale <sup>25</sup>
4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	2.000	78.03	05/28/20	87.58	9.55 W	0.00	1 of 4 - Sale <sup>25</sup>
	38.000	1,482.62	05/28/20	1,665.48	182.86 W	0.00	2 of 4 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol: (cont'd)							
	2.000	78.43	05/28/20	97.21	18.78 W	0.00	3 of 4 - Sale <sup>25</sup>
	48.000	1,882.20	05/28/20	2,103.77	221.57 W	0.00	4 of 4 - Sale <sup>25</sup>
06/01/20	90.000	3,521.28	Various	3,954.04	432.76 W	0.00	Total of 4 transactions
	5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	10.000	401.49	05/28/20	438.28	36.79 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	80.30	05/29/20	102.54	22.24 W	0.00	2 of 5 - Sale <sup>25</sup>
	38.000	1,525.66	05/29/20	1,774.32	248.66 W	0.00	3 of 5 - Sale <sup>25</sup>
	48.000	1,927.15	05/29/20	2,231.84	304.69 W	0.00	4 of 5 - Sale <sup>25</sup>
	6.000	240.89	05/29/20	251.29	10.40 W	0.00	5 of 5 - Sale <sup>25</sup>
06/02/20	104.000	4,175.49	Various	4,798.27	622.78 W	0.00	Total of 5 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	9.000	367.91	06/03/20	381.85	...	-13.94	1 of 2 - Sale <sup>25</sup>
	2.000	81.76	06/03/20	80.97	...	0.79	2 of 2 - Sale <sup>25</sup>
06/04/20	11.000	449.67	Various	462.82	...	-13.15	Total of 2 transactions
	4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	40.88	05/29/20	45.56	4.68 W	0.00	1 of 4 - Sale <sup>25</sup>
	48.000	1,962.19	06/03/20	2,164.61	25.30 W	-177.12	2 of 4 - Sale <sup>25</sup>
	2.000	81.76	06/03/20	99.74	17.98 W	0.00	3 of 4 - Sale <sup>25</sup>
	38.000	1,553.40	06/03/20	1,721.10	167.70 W	0.00	4 of 4 - Sale <sup>25</sup>
06/04/20	89.000	3,638.23	Various	4,031.01	215.66 W	-177.12	Total of 4 transactions
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	74.00	06/03/20	40.48	...	33.52	1 of 5 - Sale <sup>25</sup>
	2.000	148.00	06/05/20	143.16	...	4.84	2 of 5 - Sale <sup>25</sup>
	1.000	74.00	06/05/20	67.27	...	6.73	3 of 5 - Sale <sup>25</sup>
	2.000	148.00	06/05/20	128.65	...	19.35	4 of 5 - Sale <sup>25</sup>
	19.000	1,405.95	06/05/20	1,273.06	...	132.89	5 of 5 - Sale <sup>25</sup>
06/08/20	25.000	1,849.95	Various	1,652.62	...	197.33	Total of 5 transactions
	5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	68.50	06/05/20	64.32	...	4.18	1 of 5 - Sale <sup>25</sup>
	10.000	679.98	06/08/20	784.13	...	-104.15	2 of 5 - Sale <sup>25</sup>
	8.000	546.22	06/08/20	627.31	...	-81.09	3 of 5 - Sale <sup>25</sup>
	6.000	407.99	06/08/20	469.30	...	-61.31	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol: (cont'd)							
	1.000	68.28	06/08/20	78.41	...	-10.13	5 of 5 - Sale <sup>25</sup>
06/09/20	26.000	1,770.97	Various	2,023.47	...	-252.50	Total of 5 transactions
	<b>Security total:</b>	<b>26,247.37</b>		<b>27,361.93</b>	<b>1,383.33 W</b>	<b>268.77</b>	
NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol:							
04/22/20	100.000	527.13	04/21/20	513.50	...	13.63	Sale <sup>25</sup>
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	5.000	23.02	02/19/20	20.25	...	2.77	1 of 2 - Sale <sup>25</sup>
	10.000	46.04	02/20/20	45.30	...	0.74	2 of 2 - Sale <sup>25</sup>
02/24/20	15.000	69.06	Various	65.55	...	3.51	Total of 2 transactions
03/13/20	30.000	64.24	03/13/20	64.20	...	0.04	Sale <sup>25</sup>
6 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	10.000	21.41	02/28/20	38.50	17.09 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	21.41	03/04/20	34.50	13.09 W	0.00	2 of 6 - Sale <sup>25</sup>
	20.000	42.83	03/04/20	64.77	21.94 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	21.41	03/04/20	31.19	9.78 W	0.00	4 of 6 - Sale <sup>25</sup>
	15.000	32.12	03/05/20	46.35	14.23 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	10.71	03/06/20	14.85	4.14 W	0.00	6 of 6 - Sale <sup>25</sup>
03/13/20	70.000	149.89	Various	230.16	80.27 W	0.00	Total of 6 transactions
6 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	10.000	20.52	03/13/20	38.49	17.97 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	20.52	03/13/20	34.49	13.97 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	20.52	03/13/20	31.18	10.66 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	41.03	03/13/20	64.74	23.71 W	0.00	4 of 6 - Sale <sup>25</sup>
	15.000	30.77	03/13/20	46.33	15.56 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	10.26	03/13/20	14.84	4.58 W	0.00	6 of 6 - Sale <sup>25</sup>
03/16/20	70.000	143.62	Various	230.07	86.45 W	0.00	Total of 6 transactions
7 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	20.000	37.21	03/19/20	58.51	...	-21.30	1 of 7 - Sale <sup>25</sup>
	10.000	18.61	03/19/20	35.37	...	-16.76	2 of 7 - Sale <sup>25</sup>
	15.000	27.91	03/19/20	41.66	...	-13.75	3 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol: (cont'd)							
	10.000	18.61	03/19/20	31.37	...	-12.76	4 of 7 - Sale <sup>25</sup>
	10.000	18.60	03/19/20	28.06	...	-9.46	5 of 7 - Sale <sup>25</sup>
	5.000	9.30	03/19/20	13.28	...	-3.98	6 of 7 - Sale <sup>25</sup>
	30.000	55.82	03/19/20	52.19	...	3.63	7 of 7 - Sale <sup>25</sup>
03/20/20	100.000	186.06	Various	260.44	...	-74.38	Total of 7 transactions
	<b>Security total:</b>	<b>612.87</b>		<b>850.42</b>	<b>166.72 W</b>	<b>-70.83</b>	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
19 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	21.000	8.40	04/06/20	7.84	...	0.56	1 of 19 - Sale <sup>25</sup>
	27.000	10.80	04/06/20	10.13	...	0.67	2 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.45	...	2.56	3 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	4 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	5 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	6 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	7 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	8 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	9 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	10 of 19 - Sale <sup>25</sup>
	100.000	40.01	04/06/20	37.35	...	2.66	11 of 19 - Sale <sup>25</sup>
	100.000	40.14	04/06/20	37.35	...	2.79	12 of 19 - Sale <sup>25</sup>
	100.000	40.14	04/06/20	37.31	...	2.83	13 of 19 - Sale <sup>25</sup>
	200.000	80.08	04/06/20	74.70	...	5.38	14 of 19 - Sale <sup>25</sup>
	200.000	80.08	04/06/20	74.70	...	5.38	15 of 19 - Sale <sup>25</sup>
	220.000	88.08	04/06/20	82.17	...	5.91	16 of 19 - Sale <sup>25</sup>
	300.000	120.11	04/06/20	112.05	...	8.06	17 of 19 - Sale <sup>25</sup>
	500.000	200.19	04/06/20	186.75	...	13.44	18 of 19 - Sale <sup>25</sup>
	932.000	372.78	04/06/20	349.50	...	23.28	19 of 19 - Sale <sup>25</sup>
04/07/20	3,500.000	1,400.89	Various	1,308.75	...	92.14	Total of 19 transactions
04/08/20	3,500.000	1,461.14	04/08/20	1,399.30	...	61.84	Sale <sup>25</sup>
10 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	100.000	87.99	04/09/20	59.40	...	28.59	1 of 10 - Sale <sup>25</sup>
	100.000	87.98	04/09/20	59.30	...	28.68	2 of 10 - Sale <sup>25</sup>
	100.000	87.99	04/09/20	59.30	...	28.69	3 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	100.000	87.99	04/09/20	59.30	...	28.69	4 of 10 - Sale <sup>25</sup>
	100.000	87.99	04/09/20	59.21	...	28.78	5 of 10 - Sale <sup>25</sup>
	100.000	87.99	04/09/20	59.21	...	28.78	6 of 10 - Sale <sup>25</sup>
	157.000	138.14	04/09/20	92.95	...	45.19	7 of 10 - Sale <sup>25</sup>
	200.000	175.97	04/09/20	118.80	...	57.17	8 of 10 - Sale <sup>25</sup>
	1,043.000	917.68	04/09/20	623.71	...	293.97	9 of 10 - Sale <sup>25</sup>
	2,000.000	1,739.72	04/09/20	1,196.00	...	543.72	10 of 10 - Sale <sup>25</sup>
04/09/20	4,000.000	3,499.44	Various	2,387.18	...	1,112.26	Total of 10 transactions
	7 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	5.000	3.65	04/09/20	3.35	...	0.30	1 of 7 - Sale <sup>25</sup>
	25.000	18.27	04/09/20	16.73	...	1.54	2 of 7 - Sale <sup>25</sup>
	70.000	51.16	04/09/20	46.87	...	4.29	3 of 7 - Sale <sup>25</sup>
	95.000	69.35	04/09/20	63.60	...	5.75	4 of 7 - Sale <sup>25</sup>
	161.000	117.52	04/09/20	107.79	...	9.73	5 of 7 - Sale <sup>25</sup>
	300.000	218.99	04/09/20	200.85	...	18.14	6 of 7 - Sale <sup>25</sup>
	1,344.000	981.07	04/09/20	899.94	...	81.13	7 of 7 - Sale <sup>25</sup>
04/13/20	2,000.000	1,460.01	Various	1,339.13	...	120.88	Total of 7 transactions
	8 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	100.000	52.49	04/13/20	88.00	35.51 W	0.00	1 of 8 - Sale <sup>25</sup>
	100.000	52.49	04/13/20	88.00	35.51 W	0.00	2 of 8 - Sale <sup>25</sup>
	100.000	52.09	04/13/20	123.51	71.42 W	0.00	3 of 8 - Sale <sup>25</sup>
	100.000	51.99	04/13/20	88.00	36.01 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	51.99	04/13/20	124.50	72.51 W	0.00	5 of 8 - Sale <sup>25</sup>
	100.000	51.99	04/13/20	160.41	108.42 W	0.00	6 of 8 - Sale <sup>25</sup>
	400.000	207.95	04/13/20	355.96	148.01 W	0.00	7 of 8 - Sale <sup>25</sup>
	1,000.000	519.86	04/14/20	640.00	120.14 W	0.00	8 of 8 - Sale <sup>25</sup>
04/15/20	2,000.000	1,040.85	Various	1,668.38	627.53 W	0.00	Total of 8 transactions
	5 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	100.000	50.02	04/17/20	161.52	111.50 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	50.02	04/17/20	125.61	75.59 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	50.02	04/17/20	89.11	39.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	400.000	200.06	04/17/20	360.41	160.35 W	0.00	4 of 5 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	300.000	150.04	04/17/20	195.34	45.30 W	0.00	5 of 5 - Sale <sup>25</sup>
04/20/20	1,000.000	500.16	Various	931.99	431.83 W	0.00	Total of 5 transactions
	9 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	100.000	57.88	04/23/20	173.39	115.51 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	57.88	04/23/20	137.48	79.60 W	0.00	2 of 9 - Sale <sup>25</sup>
	99.000	56.92	04/23/20	99.97	43.05 W	0.00	3 of 9 - Sale <sup>25</sup>
	400.000	229.99	04/23/20	407.91	177.92 W	0.00	4 of 9 - Sale <sup>25</sup>
	300.000	172.49	04/23/20	230.97	58.48 W	0.00	5 of 9 - Sale <sup>25</sup>
	700.000	402.48	04/23/20	517.33	114.85 W	0.00	6 of 9 - Sale <sup>25</sup>
	300.000	172.48	04/23/20	185.67	13.19 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	0.58	04/23/20	1.01	0.43 W	0.00	8 of 9 - Sale <sup>25</sup>
	2,000.000	1,150.73	04/23/20	1,237.80	87.07 W	0.00	9 of 9 - Sale <sup>25</sup>
04/23/20	4,000.000	2,301.43	Various	2,991.53	690.10 W	0.00	Total of 9 transactions
	5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	51.000	32.94	05/04/20	31.92	...	1.02	1 of 5 - Sale <sup>25</sup>
	50.000	32.30	05/04/20	31.28	...	1.02	2 of 5 - Sale <sup>25</sup>
	85.000	54.90	05/04/20	53.21	...	1.69	3 of 5 - Sale <sup>25</sup>
	164.000	105.92	05/04/20	102.66	...	3.26	4 of 5 - Sale <sup>25</sup>
	1,436.000	927.46	05/04/20	898.27	...	29.19	5 of 5 - Sale <sup>25</sup>
05/05/20	1,786.000	1,153.52	Various	1,117.34	...	36.18	Total of 5 transactions
	18 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	100.000	64.59	05/04/20	173.71	109.12 W	0.00	1 of 18 - Sale <sup>25</sup>
	50.000	32.29	05/04/20	68.90	36.61 W	0.00	2 of 18 - Sale <sup>25</sup>
	25.000	16.15	05/04/20	34.45	18.30 W	0.00	3 of 18 - Sale <sup>25</sup>
	25.000	16.15	05/04/20	34.45	18.30 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	0.65	05/04/20	1.01	0.36 W	0.00	5 of 18 - Sale <sup>25</sup>
	75.000	48.44	05/04/20	77.01	28.57 W	0.00	6 of 18 - Sale <sup>25</sup>
	99.000	63.94	05/04/20	100.67	36.73 W	0.00	7 of 18 - Sale <sup>25</sup>
	10.000	6.46	05/04/20	10.27	3.81 W	0.00	8 of 18 - Sale <sup>25</sup>
	4.000	2.58	05/04/20	4.11	1.53 W	0.00	9 of 18 - Sale <sup>25</sup>
	311.000	200.86	05/04/20	319.33	118.47 W	0.00	10 of 18 - Sale <sup>25</sup>
	116.000	74.92	05/04/20	90.12	15.20 W	0.00	11 of 18 - Sale <sup>25</sup>
	40.000	25.83	05/04/20	31.08	5.25 W	0.00	12 of 18 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	25.000	16.15	05/04/20	19.42	3.27 W	0.00	13 of 18 - Sale <sup>25</sup>
	100.000	64.59	05/04/20	77.70	13.11 W	0.00	14 of 18 - Sale <sup>25</sup>
	19.000	12.27	05/04/20	14.76	2.49 W	0.00	15 of 18 - Sale <sup>25</sup>
	531.000	342.96	05/04/20	396.16	53.20 W	0.00	16 of 18 - Sale <sup>25</sup>
	20.000	12.92	05/04/20	14.92	2.00 W	0.00	17 of 18 - Sale <sup>25</sup>
	149.000	96.23	05/04/20	111.17	14.94 W	0.00	18 of 18 - Sale <sup>25</sup>
05/05/20	1,700.000	1,097.98	Various	1,579.24	481.26 W	0.00	Total of 18 transactions
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	204.000	101.99	05/11/20	99.65	...	2.34	1 of 2 - Sale <sup>25</sup>
	4,782.000	2,390.87	05/11/20	2,336.01	...	54.86	2 of 2 - Sale <sup>25</sup>
05/12/20	4,986.000	2,492.86	Various	2,435.66	...	57.20	Total of 2 transactions
	24 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	100.000	50.00	05/08/20	159.99	109.99 W	0.00	1 of 24 - Sale <sup>25</sup>
	25.000	12.50	05/08/20	31.02	18.52 W	0.00	2 of 24 - Sale <sup>25</sup>
	25.000	12.50	05/08/20	31.02	18.52 W	0.00	3 of 24 - Sale <sup>25</sup>
	50.000	25.00	05/08/20	62.05	37.05 W	0.00	4 of 24 - Sale <sup>25</sup>
	1.000	0.50	05/08/20	0.87	0.37 W	0.00	5 of 24 - Sale <sup>25</sup>
	4.000	2.00	05/08/20	3.56	1.56 W	0.00	6 of 24 - Sale <sup>25</sup>
	10.000	5.00	05/08/20	8.90	3.90 W	0.00	7 of 24 - Sale <sup>25</sup>
	75.000	37.50	05/08/20	66.72	29.22 W	0.00	8 of 24 - Sale <sup>25</sup>
	99.000	49.50	05/08/20	87.09	37.59 W	0.00	9 of 24 - Sale <sup>25</sup>
	297.000	148.49	05/08/20	264.22	115.73 W	0.00	10 of 24 - Sale <sup>25</sup>
	514.000	256.99	05/08/20	283.84	26.85 W	0.00	11 of 24 - Sale <sup>25</sup>
	14.000	7.00	05/11/20	12.17	5.17 W	0.00	12 of 24 - Sale <sup>25</sup>
	4.000	2.00	05/11/20	2.47	0.47 W	0.00	13 of 24 - Sale <sup>25</sup>
	100.000	50.00	05/11/20	61.96	11.96 W	0.00	14 of 24 - Sale <sup>25</sup>
	12.000	6.00	05/11/20	7.43	1.43 W	0.00	15 of 24 - Sale <sup>25</sup>
	23.000	11.50	05/11/20	14.26	2.76 W	0.00	16 of 24 - Sale <sup>25</sup>
	25.000	12.50	05/11/20	15.48	2.98 W	0.00	17 of 24 - Sale <sup>25</sup>
	40.000	20.00	05/11/20	24.79	4.79 W	0.00	18 of 24 - Sale <sup>25</sup>
	19.000	9.50	05/11/20	11.78	2.28 W	0.00	19 of 24 - Sale <sup>25</sup>
	77.000	38.50	05/11/20	47.70	9.20 W	0.00	20 of 24 - Sale <sup>25</sup>
	4.000	2.00	05/11/20	2.35	0.35 W	0.00	21 of 24 - Sale <sup>25</sup>
	20.000	10.00	05/11/20	11.77	1.77 W	0.00	22 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	149.000	74.50	05/11/20	87.73	13.23 W	0.00	23 of 24 - Sale <sup>25</sup>
	527.000	263.48	05/11/20	310.24	46.76 W	0.00	24 of 24 - Sale <sup>25</sup>
05/12/20	2,214.000	1,106.96	Various	1,609.41	502.45 W	0.00	Total of 24 transactions
	30 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	297.000	122.66	06/02/20	236.59	...	-113.93	1 of 30 - Sale <sup>25</sup>
	100.000	41.30	06/02/20	150.68	...	-109.38	2 of 30 - Sale <sup>25</sup>
	99.000	40.89	06/02/20	77.88	...	-36.99	3 of 30 - Sale <sup>25</sup>
	50.000	20.65	06/02/20	57.40	...	-36.75	4 of 30 - Sale <sup>25</sup>
	382.000	157.76	06/02/20	190.46	...	-32.70	5 of 30 - Sale <sup>25</sup>
	75.000	30.97	06/02/20	59.74	...	-28.77	6 of 30 - Sale <sup>25</sup>
	514.000	212.27	06/02/20	237.53	...	-25.26	7 of 30 - Sale <sup>25</sup>
	25.000	10.32	06/02/20	28.70	...	-18.38	8 of 30 - Sale <sup>25</sup>
	25.000	10.32	06/02/20	28.70	...	-18.38	9 of 30 - Sale <sup>25</sup>
	149.000	61.53	06/02/20	74.32	...	-12.79	10 of 30 - Sale <sup>25</sup>
	145.000	59.88	06/02/20	72.32	...	-12.44	11 of 30 - Sale <sup>25</sup>
	100.000	41.30	06/02/20	52.65	...	-11.35	12 of 30 - Sale <sup>25</sup>
	77.000	31.80	06/02/20	40.53	...	-8.73	13 of 30 - Sale <sup>25</sup>
	14.000	5.78	06/02/20	10.87	...	-5.09	14 of 30 - Sale <sup>25</sup>
	40.000	16.52	06/02/20	21.07	...	-4.55	15 of 30 - Sale <sup>25</sup>
	10.000	4.13	06/02/20	7.97	...	-3.84	16 of 30 - Sale <sup>25</sup>
	25.000	10.32	06/02/20	13.16	...	-2.84	17 of 30 - Sale <sup>25</sup>
	23.000	9.50	06/02/20	12.12	...	-2.62	18 of 30 - Sale <sup>25</sup>
	19.000	7.85	06/02/20	10.01	...	-2.16	19 of 30 - Sale <sup>25</sup>
	20.000	8.26	06/02/20	9.97	...	-1.71	20 of 30 - Sale <sup>25</sup>
	4.000	1.65	06/02/20	3.19	...	-1.54	21 of 30 - Sale <sup>25</sup>
	12.000	4.96	06/02/20	6.31	...	-1.35	22 of 30 - Sale <sup>25</sup>
	4.000	1.65	06/02/20	2.10	...	-0.45	23 of 30 - Sale <sup>25</sup>
	1.000	0.41	06/02/20	0.78	...	-0.37	24 of 30 - Sale <sup>25</sup>
	4.000	1.65	06/02/20	1.99	...	-0.34	25 of 30 - Sale <sup>25</sup>
	70.000	28.91	06/02/20	28.70	...	0.21	26 of 30 - Sale <sup>25</sup>
	100.000	41.30	06/02/20	41.00	...	0.30	27 of 30 - Sale <sup>25</sup>
	752.000	310.56	06/02/20	308.32	...	2.24	28 of 30 - Sale <sup>25</sup>
	986.000	407.19	06/02/20	404.26	...	2.93	29 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	4,878.000	2,014.47	06/02/20	1,999.98	...	14.49	30 of 30 - Sale <sup>25</sup>
06/03/20	9,000.000	3,716.76	Various	4,189.30	...	-472.54	Total of 30 transactions
	19 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	100.000	41.30	06/02/20	150.98	109.68 W	0.00	1 of 19 - Sale <sup>25</sup>
	25.000	10.32	06/02/20	28.77	18.45 W	0.00	2 of 19 - Sale <sup>25</sup>
	25.000	10.32	06/02/20	28.77	18.45 W	0.00	3 of 19 - Sale <sup>25</sup>
	50.000	20.65	06/02/20	57.55	36.90 W	0.00	4 of 19 - Sale <sup>25</sup>
	1.000	0.41	06/02/20	0.78	0.37 W	0.00	5 of 19 - Sale <sup>25</sup>
	4.000	1.65	06/02/20	3.20	1.55 W	0.00	6 of 19 - Sale <sup>25</sup>
	10.000	4.13	06/02/20	8.00	3.87 W	0.00	7 of 19 - Sale <sup>25</sup>
	75.000	30.97	06/02/20	59.96	28.99 W	0.00	8 of 19 - Sale <sup>25</sup>
	99.000	40.88	06/02/20	78.17	37.29 W	0.00	9 of 19 - Sale <sup>25</sup>
	297.000	122.65	06/02/20	237.47	114.82 W	0.00	10 of 19 - Sale <sup>25</sup>
	14.000	5.78	06/02/20	10.91	5.13 W	0.00	11 of 19 - Sale <sup>25</sup>
	4.000	1.65	06/02/20	2.11	0.46 W	0.00	12 of 19 - Sale <sup>25</sup>
	12.000	4.96	06/02/20	6.35	1.39 W	0.00	13 of 19 - Sale <sup>25</sup>
	19.000	7.85	06/02/20	10.07	2.22 W	0.00	14 of 19 - Sale <sup>25</sup>
	23.000	9.50	06/02/20	12.19	2.69 W	0.00	15 of 19 - Sale <sup>25</sup>
	25.000	10.32	06/02/20	13.23	2.91 W	0.00	16 of 19 - Sale <sup>25</sup>
	40.000	16.52	06/02/20	21.19	4.67 W	0.00	17 of 19 - Sale <sup>25</sup>
	77.000	31.80	06/02/20	40.76	8.96 W	0.00	18 of 19 - Sale <sup>25</sup>
	100.000	41.30	06/02/20	52.95	11.65 W	0.00	19 of 19 - Sale <sup>25</sup>
06/03/20	1,000.000	412.96	Various	823.41	410.45 W	0.00	Total of 19 transactions
	66 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	11,232.000	2,806.59	08/24/20	2,886.62	...	-80.03	1 of 66 - Sale <sup>25</sup>
	4,963.000	1,240.13	08/24/20	1,275.49	...	-35.36	2 of 66 - Sale <sup>25</sup>
	2,418.000	604.19	08/24/20	621.43	...	-17.24	3 of 66 - Sale <sup>25</sup>
	2,000.000	499.76	08/24/20	514.00	...	-14.24	4 of 66 - Sale <sup>25</sup>
	1,266.000	316.35	08/24/20	325.36	...	-9.01	5 of 66 - Sale <sup>25</sup>
	1,300.000	325.50	08/24/20	334.10	...	-8.60	6 of 66 - Sale <sup>25</sup>
	1,177.000	294.11	08/24/20	302.49	...	-8.38	7 of 66 - Sale <sup>25</sup>
	1,000.000	249.88	08/24/20	257.00	...	-7.12	8 of 66 - Sale <sup>25</sup>
	1,000.000	249.88	08/24/20	257.00	...	-7.12	9 of 66 - Sale <sup>25</sup>
	800.000	199.90	08/24/20	205.60	...	-5.70	10 of 66 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	600.000	149.93	08/24/20	154.20	...	-4.27	11 of 66 - Sale <sup>25</sup>
	500.000	124.94	08/24/20	128.50	...	-3.56	12 of 66 - Sale <sup>25</sup>
	500.000	124.94	08/24/20	128.50	...	-3.56	13 of 66 - Sale <sup>25</sup>
	400.000	99.95	08/24/20	102.80	...	-2.85	14 of 66 - Sale <sup>25</sup>
	400.000	99.95	08/24/20	102.80	...	-2.85	15 of 66 - Sale <sup>25</sup>
	400.000	99.95	08/24/20	102.80	...	-2.85	16 of 66 - Sale <sup>25</sup>
	400.000	99.95	08/24/20	102.80	...	-2.85	17 of 66 - Sale <sup>25</sup>
	400.000	99.95	08/24/20	102.80	...	-2.85	18 of 66 - Sale <sup>25</sup>
	400.000	99.95	08/24/20	102.80	...	-2.85	19 of 66 - Sale <sup>25</sup>
	299.000	74.71	08/24/20	76.84	...	-2.13	20 of 66 - Sale <sup>25</sup>
	250.000	62.47	08/24/20	64.25	...	-1.78	21 of 66 - Sale <sup>25</sup>
	200.000	49.98	08/24/20	51.40	...	-1.42	22 of 66 - Sale <sup>25</sup>
	200.000	49.98	08/24/20	51.40	...	-1.42	23 of 66 - Sale <sup>25</sup>
	200.000	49.98	08/24/20	51.40	...	-1.42	24 of 66 - Sale <sup>25</sup>
	200.000	49.98	08/24/20	51.40	...	-1.42	25 of 66 - Sale <sup>25</sup>
	200.000	49.98	08/24/20	51.40	...	-1.42	26 of 66 - Sale <sup>25</sup>
	200.000	50.17	08/24/20	51.40	...	-1.23	27 of 66 - Sale <sup>25</sup>
	200.000	50.22	08/24/20	51.40	...	-1.18	28 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	29 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	30 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	31 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	32 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	33 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	34 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	35 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	36 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	37 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	38 of 66 - Sale <sup>25</sup>
	100.000	24.99	08/24/20	25.70	...	-0.71	39 of 66 - Sale <sup>25</sup>
	100.000	25.00	08/24/20	25.70	...	-0.70	40 of 66 - Sale <sup>25</sup>
	80.000	19.99	08/24/20	20.56	...	-0.57	41 of 66 - Sale <sup>25</sup>
	80.000	19.99	08/24/20	20.56	...	-0.57	42 of 66 - Sale <sup>25</sup>
	42.000	10.56	08/24/20	11.01	...	-0.45	43 of 66 - Sale <sup>25</sup>
	50.000	12.50	08/24/20	12.85	...	-0.35	44 of 66 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	25.000	6.29	08/24/20	6.57	...	-0.28	45 of 66 - Sale <sup>25</sup>
	35.000	8.75	08/24/20	9.00	...	-0.25	46 of 66 - Sale <sup>25</sup>
	35.000	8.75	08/24/20	8.99	...	-0.24	47 of 66 - Sale <sup>25</sup>
	30.000	7.50	08/24/20	7.71	...	-0.21	48 of 66 - Sale <sup>25</sup>
	27.000	6.75	08/24/20	6.94	...	-0.19	49 of 66 - Sale <sup>25</sup>
	25.000	6.25	08/24/20	6.43	...	-0.18	50 of 66 - Sale <sup>25</sup>
	31.000	7.80	08/24/20	7.97	...	-0.17	51 of 66 - Sale <sup>25</sup>
	23.000	5.75	08/24/20	5.91	...	-0.16	52 of 66 - Sale <sup>25</sup>
	20.000	5.00	08/24/20	5.14	...	-0.14	53 of 66 - Sale <sup>25</sup>
	20.000	5.00	08/24/20	5.14	...	-0.14	54 of 66 - Sale <sup>25</sup>
	20.000	5.00	08/24/20	5.14	...	-0.14	55 of 66 - Sale <sup>25</sup>
	19.000	4.75	08/24/20	4.88	...	-0.13	56 of 66 - Sale <sup>25</sup>
	15.000	3.75	08/24/20	3.86	...	-0.11	57 of 66 - Sale <sup>25</sup>
	8.000	2.01	08/24/20	2.10	...	-0.09	58 of 66 - Sale <sup>25</sup>
	9.000	2.25	08/24/20	2.31	...	-0.06	59 of 66 - Sale <sup>25</sup>
	8.000	2.00	08/24/20	2.06	...	-0.06	60 of 66 - Sale <sup>25</sup>
	5.000	1.25	08/24/20	1.29	...	-0.04	61 of 66 - Sale <sup>25</sup>
	2.000	0.50	08/24/20	0.53	...	-0.03	62 of 66 - Sale <sup>25</sup>
	4.000	1.00	08/24/20	1.03	...	-0.03	63 of 66 - Sale <sup>25</sup>
	1.000	0.25	08/24/20	0.26	...	-0.01	64 of 66 - Sale <sup>25</sup>
	2.000	0.50	08/24/20	0.51	...	-0.01	65 of 66 - Sale <sup>25</sup>
	2.000	0.50	08/24/20	0.51	...	-0.01	66 of 66 - Sale <sup>25</sup>
08/25/20	34,923.000	8,727.80	Various	8,975.64	...	-247.84	Total of 66 transactions
	3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	25.000	6.28	08/24/20	6.43	0.15 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	2.51	08/24/20	2.57	0.06 W	0.00	2 of 3 - Sale <sup>25</sup>
	42.000	10.57	08/24/20	10.79	0.22 W	0.00	3 of 3 - Sale <sup>25</sup>
08/25/20	77.000	19.36	Various	19.79	0.43 W	0.00	Total of 3 transactions
	63 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	18.000	4.72	10/21/20	4.46	...	0.26	1 of 63 - Sale <sup>25</sup>
	52.000	13.65	10/21/20	12.90	...	0.75	2 of 63 - Sale <sup>25</sup>
	75.000	19.69	10/21/20	18.60	...	1.09	3 of 63 - Sale <sup>25</sup>
	82.000	21.53	10/21/20	20.34	...	1.19	4 of 63 - Sale <sup>25</sup>
	95.000	24.79	10/21/20	23.56	...	1.23	5 of 63 - Sale <sup>25</sup>

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(continued)

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PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	96.000	25.20	10/21/20	23.81	...	1.39	6 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	7 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	8 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	9 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	10 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	11 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	12 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	13 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	14 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	15 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	16 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	17 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	18 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	19 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	20 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	21 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	22 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	23 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	24 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	25 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	26 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	27 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	28 of 63 - Sale <sup>25</sup>
	100.000	26.25	10/21/20	24.80	...	1.45	29 of 63 - Sale <sup>25</sup>
	200.000	52.49	10/21/20	49.60	...	2.89	30 of 63 - Sale <sup>25</sup>
	200.000	52.49	10/21/20	49.60	...	2.89	31 of 63 - Sale <sup>25</sup>
	200.000	52.49	10/21/20	49.60	...	2.89	32 of 63 - Sale <sup>25</sup>
	200.000	52.49	10/21/20	49.60	...	2.89	33 of 63 - Sale <sup>25</sup>
	219.000	57.48	10/21/20	54.31	...	3.17	34 of 63 - Sale <sup>25</sup>
	300.000	78.74	10/21/20	74.40	...	4.34	35 of 63 - Sale <sup>25</sup>
	381.000	100.00	10/21/20	94.49	...	5.51	36 of 63 - Sale <sup>25</sup>
	400.000	104.99	10/21/20	99.20	...	5.79	37 of 63 - Sale <sup>25</sup>
	400.000	104.99	10/21/20	99.20	...	5.79	38 of 63 - Sale <sup>25</sup>
	400.000	104.99	10/21/20	99.20	...	5.79	39 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	400.000	104.99	10/21/20	99.20	...	5.79	40 of 63 - Sale <sup>25</sup>
	500.000	131.24	10/21/20	124.00	...	7.24	41 of 63 - Sale <sup>25</sup>
	500.000	131.24	10/21/20	124.00	...	7.24	42 of 63 - Sale <sup>25</sup>
	500.000	131.24	10/21/20	124.00	...	7.24	43 of 63 - Sale <sup>25</sup>
	500.000	131.24	10/21/20	124.00	...	7.24	44 of 63 - Sale <sup>25</sup>
	500.000	131.24	10/21/20	124.00	...	7.24	45 of 63 - Sale <sup>25</sup>
	500.000	131.24	10/21/20	124.00	...	7.24	46 of 63 - Sale <sup>25</sup>
	600.000	157.48	10/21/20	148.80	...	8.68	47 of 63 - Sale <sup>25</sup>
	600.000	157.48	10/21/20	148.80	...	8.68	48 of 63 - Sale <sup>25</sup>
	648.000	170.08	10/21/20	160.70	...	9.38	49 of 63 - Sale <sup>25</sup>
	699.000	183.47	10/21/20	173.35	...	10.12	50 of 63 - Sale <sup>25</sup>
	1,000.000	262.48	10/21/20	248.00	...	14.48	51 of 63 - Sale <sup>25</sup>
	1,082.000	284.00	10/21/20	268.34	...	15.66	52 of 63 - Sale <sup>25</sup>
	1,100.000	288.72	10/21/20	272.80	...	15.92	53 of 63 - Sale <sup>25</sup>
	1,100.000	288.72	10/21/20	272.80	...	15.92	54 of 63 - Sale <sup>25</sup>
	1,148.000	301.31	10/21/20	284.70	...	16.61	55 of 63 - Sale <sup>25</sup>
	1,300.000	341.22	10/21/20	322.40	...	18.82	56 of 63 - Sale <sup>25</sup>
	1,300.000	341.22	10/21/20	322.40	...	18.82	57 of 63 - Sale <sup>25</sup>
	1,300.000	341.22	10/21/20	322.40	...	18.82	58 of 63 - Sale <sup>25</sup>
	1,400.000	367.33	10/21/20	347.20	...	20.13	59 of 63 - Sale <sup>25</sup>
	1,500.000	393.57	10/21/20	372.00	...	21.57	60 of 63 - Sale <sup>25</sup>
	2,104.000	552.24	10/21/20	521.79	...	30.45	61 of 63 - Sale <sup>25</sup>
	2,400.000	629.93	10/21/20	595.20	...	34.73	62 of 63 - Sale <sup>25</sup>
	3,700.000	971.15	10/21/20	917.60	...	53.55	63 of 63 - Sale <sup>25</sup>
10/22/20	31,999.000	8,398.53	Various	7,935.75	...	462.78	Total of 63 transactions
	<b>Security total:</b>	<b>38,790.65</b>		<b>40,711.80</b>	<b>3,144.05 W</b>	<b>1,222.90</b>	
VASCULAR BIOGENICS LTD. ORDINA RY SHARES / CUSIP: M96883109 / Symbol:							
03/03/20	38.000	55.48	02/21/20	55.10	...	0.38	Sale <sup>25</sup>
MAKEMYTRIP LIMITED ORDINARY SH ARES / CUSIP: V5633W109 / Symbol:							
02/13/20	1.000	29.71	02/12/20	29.11	...	0.60	Sale <sup>25</sup>
05/20/20	100.000	1,487.96	04/29/20	1,563.99	...	-76.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,517.67</b>		<b>1,593.10</b>	...	<b>-75.43</b>	

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Robinhood Securities LLC

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PYXIS TANKERS INC. COMMON STOC K / CUSIP: Y71726106 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	100.000	110.99	04/21/20	105.00	...	5.99	1 of 2 - Sale <sup>25</sup>
05/01/20	999.000	1,108.74	04/30/20	1,108.89	...	-0.15	2 of 2 - Sale <sup>25</sup>
	1,099.000	1,219.73	Various	1,213.89	...	5.84	Total of 2 transactions
4 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	5.000	4.85	09/15/20	4.00	...	0.85	1 of 4 - Sale <sup>25</sup>
	100.000	97.00	09/15/20	79.99	...	17.01	2 of 4 - Sale <sup>25</sup>
	114.000	110.58	09/15/20	91.18	...	19.40	3 of 4 - Sale <sup>25</sup>
10/12/20	280.000	271.59	09/15/20	223.96	...	47.63	4 of 4 - Sale <sup>25</sup>
	499.000	484.02	Various	399.13	...	84.89	Total of 4 transactions
10/12/20	1.000	0.97	04/30/20	1.11	0.14 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,704.72</b>		<b>1,614.13</b>	<b>0.14 W</b>	<b>90.73</b>	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
7 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	100.000	22.87	04/29/20	22.22	...	0.65	1 of 7 - Sale <sup>25</sup>
	100.000	22.89	04/29/20	22.22	...	0.67	2 of 7 - Sale <sup>25</sup>
	149.000	34.07	04/29/20	33.11	...	0.96	3 of 7 - Sale <sup>25</sup>
	300.000	68.66	04/29/20	66.66	...	2.00	4 of 7 - Sale <sup>25</sup>
	400.000	91.47	04/29/20	88.88	...	2.59	5 of 7 - Sale <sup>25</sup>
	3,951.000	903.50	04/29/20	877.91	...	25.59	6 of 7 - Sale <sup>25</sup>
04/30/20	5,000.000	1,143.37	04/29/20	1,111.00	...	32.37	7 of 7 - Sale <sup>25</sup>
	10,000.000	2,286.83	Various	2,222.00	...	64.83	Total of 7 transactions
30 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	75.000	15.09	05/12/20	15.51	0.42 W	0.00	1 of 30 - Sale <sup>25</sup>
	100.000	20.12	05/12/20	20.68	0.56 W	0.00	2 of 30 - Sale <sup>25</sup>
	725.000	145.85	05/12/20	149.93	4.08 W	0.00	3 of 30 - Sale <sup>25</sup>
	75.000	15.09	05/12/20	15.93	0.84 W	0.00	4 of 30 - Sale <sup>25</sup>
	100.000	20.12	05/12/20	21.24	1.12 W	0.00	5 of 30 - Sale <sup>25</sup>
	700.000	140.82	05/12/20	148.70	7.88 W	0.00	6 of 30 - Sale <sup>25</sup>
	25.000	5.03	05/12/20	5.31	0.28 W	0.00	7 of 30 - Sale <sup>25</sup>
	1,575.000	316.86	05/12/20	325.71	8.85 W	0.00	8 of 30 - Sale <sup>25</sup>
	800.000	160.94	05/12/20	165.44	4.50 W	0.00	9 of 30 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	700.000	140.83	05/12/20	144.76	3.93 W	0.00	10 of 30 - Sale <sup>25</sup>
	800.000	160.94	05/12/20	165.44	4.50 W	0.00	11 of 30 - Sale <sup>25</sup>
	2,325.000	467.73	05/12/20	480.81	13.08 W	0.00	12 of 30 - Sale <sup>25</sup>
	75.000	15.09	05/12/20	16.35	1.26 W	0.00	13 of 30 - Sale <sup>25</sup>
	100.000	20.12	05/12/20	21.80	1.68 W	0.00	14 of 30 - Sale <sup>25</sup>
	600.000	120.70	05/12/20	130.83	10.13 W	0.00	15 of 30 - Sale <sup>25</sup>
	2.000	0.40	05/12/20	0.44	0.04 W	0.00	16 of 30 - Sale <sup>25</sup>
	25.000	5.03	05/12/20	5.45	0.42 W	0.00	17 of 30 - Sale <sup>25</sup>
	325.000	65.38	05/12/20	69.04	3.66 W	0.00	18 of 30 - Sale <sup>25</sup>
	98.000	19.72	05/12/20	21.37	1.65 W	0.00	19 of 30 - Sale <sup>25</sup>
	700.000	140.82	05/12/20	148.69	7.87 W	0.00	20 of 30 - Sale <sup>25</sup>
	800.000	160.94	05/12/20	169.94	9.00 W	0.00	21 of 30 - Sale <sup>25</sup>
	1,575.000	316.85	05/12/20	334.56	17.71 W	0.00	22 of 30 - Sale <sup>25</sup>
	425.000	85.50	05/12/20	90.28	4.78 W	0.00	23 of 30 - Sale <sup>25</sup>
	475.000	95.56	05/12/20	100.90	5.34 W	0.00	24 of 30 - Sale <sup>25</sup>
	277.000	55.72	05/12/20	58.84	3.12 W	0.00	25 of 30 - Sale <sup>25</sup>
	100.000	20.12	05/12/20	21.24	1.12 W	0.00	26 of 30 - Sale <sup>25</sup>
	2.000	0.40	05/12/20	0.42	0.02 W	0.00	27 of 30 - Sale <sup>25</sup>
	21.000	4.22	05/12/20	4.46	0.24 W	0.00	28 of 30 - Sale <sup>25</sup>
	500.000	100.49	05/12/20	106.22	5.73 W	0.00	29 of 30 - Sale <sup>25</sup>
	900.000	180.88	05/12/20	191.19	10.31 W	0.00	30 of 30 - Sale <sup>25</sup>
05/12/20	15,000.000	3,017.36	Various	3,151.48	134.12 W	0.00	Total of 30 transactions
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	30.000	4.62	05/18/20	4.62	...	0.00	1 of 2 - Sale <sup>25</sup>
	670.000	103.16	05/18/20	103.11	...	0.05	2 of 2 - Sale <sup>25</sup>
05/18/20	700.000	107.78	Various	107.73	...	0.05	Total of 2 transactions
	53 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	25.000	3.85	05/18/20	4.27	0.42 W	0.00	1 of 53 - Sale <sup>25</sup>
	75.000	11.56	05/18/20	12.80	1.24 W	0.00	2 of 53 - Sale <sup>25</sup>
	100.000	15.41	05/18/20	15.95	0.54 W	0.00	3 of 53 - Sale <sup>25</sup>
	2.000	0.31	05/18/20	0.35	0.04 W	0.00	4 of 53 - Sale <sup>25</sup>
	25.000	3.85	05/18/20	4.27	0.42 W	0.00	5 of 53 - Sale <sup>25</sup>
	75.000	11.56	05/18/20	12.80	1.24 W	0.00	6 of 53 - Sale <sup>25</sup>
	98.000	15.10	05/18/20	16.73	1.63 W	0.00	7 of 53 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
600.000		92.45	05/18/20	102.47	10.02 W	0.00	8 of 53 - Sale <sup>25</sup>
395.000		60.86	05/18/20	65.23	4.37 W	0.00	9 of 53 - Sale <sup>25</sup>
1,575.000		242.67	05/18/20	260.10	17.43 W	0.00	10 of 53 - Sale <sup>25</sup>
2.000		0.31	05/18/20	0.33	0.02 W	0.00	11 of 53 - Sale <sup>25</sup>
21.000		3.23	05/18/20	3.47	0.24 W	0.00	12 of 53 - Sale <sup>25</sup>
25.000		3.85	05/18/20	4.27	0.42 W	0.00	13 of 53 - Sale <sup>25</sup>
75.000		11.55	05/18/20	12.78	1.23 W	0.00	14 of 53 - Sale <sup>25</sup>
100.000		15.40	05/18/20	16.51	1.11 W	0.00	15 of 53 - Sale <sup>25</sup>
100.000		15.40	05/18/20	15.93	0.53 W	0.00	16 of 53 - Sale <sup>25</sup>
277.000		42.65	05/18/20	45.75	3.10 W	0.00	17 of 53 - Sale <sup>25</sup>
325.000		50.04	05/18/20	53.68	3.64 W	0.00	18 of 53 - Sale <sup>25</sup>
425.000		65.44	05/18/20	70.19	4.75 W	0.00	19 of 53 - Sale <sup>25</sup>
475.000		73.14	05/18/20	78.44	5.30 W	0.00	20 of 53 - Sale <sup>25</sup>
500.000		76.99	05/18/20	82.68	5.69 W	0.00	21 of 53 - Sale <sup>25</sup>
700.000		107.78	05/18/20	115.60	7.82 W	0.00	22 of 53 - Sale <sup>25</sup>
405.000		62.36	05/18/20	66.89	4.53 W	0.00	23 of 53 - Sale <sup>25</sup>
900.000		138.58	05/18/20	148.82	10.24 W	0.00	24 of 53 - Sale <sup>25</sup>
75.000		11.54	05/18/20	12.78	1.24 W	0.00	25 of 53 - Sale <sup>25</sup>
125.000		19.23	05/18/20	21.33	2.10 W	0.00	26 of 53 - Sale <sup>25</sup>
100.000		15.39	05/18/20	17.06	1.67 W	0.00	27 of 53 - Sale <sup>25</sup>
200.000		30.78	05/18/20	34.12	3.34 W	0.00	28 of 53 - Sale <sup>25</sup>
2.000		0.31	05/18/20	0.35	0.04 W	0.00	29 of 53 - Sale <sup>25</sup>
25.000		3.85	05/18/20	4.27	0.42 W	0.00	30 of 53 - Sale <sup>25</sup>
98.000		15.08	05/18/20	16.71	1.63 W	0.00	31 of 53 - Sale <sup>25</sup>
175.000		26.93	05/18/20	29.85	2.92 W	0.00	32 of 53 - Sale <sup>25</sup>
395.000		60.78	05/18/20	65.16	4.38 W	0.00	33 of 53 - Sale <sup>25</sup>
405.000		62.32	05/18/20	66.86	4.54 W	0.00	34 of 53 - Sale <sup>25</sup>
596.000		91.71	05/18/20	98.39	6.68 W	0.00	35 of 53 - Sale <sup>25</sup>
1,575.000		242.35	05/18/20	259.82	17.47 W	0.00	36 of 53 - Sale <sup>25</sup>
104.000		16.00	05/18/20	17.17	1.17 W	0.00	37 of 53 - Sale <sup>25</sup>
196.000		30.16	05/18/20	32.36	2.20 W	0.00	38 of 53 - Sale <sup>25</sup>
129.000		19.85	05/18/20	21.31	1.46 W	0.00	39 of 53 - Sale <sup>25</sup>
400.000		61.55	05/18/20	66.06	4.51 W	0.00	40 of 53 - Sale <sup>25</sup>
25.000		3.85	05/18/20	4.13	0.28 W	0.00	41 of 53 - Sale <sup>25</sup>

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	75.000	11.54	05/18/20	12.39	0.85 W	0.00	42 of 53 - Sale <sup>25</sup>
	2.000	0.31	05/18/20	0.33	0.02 W	0.00	43 of 53 - Sale <sup>25</sup>
	21.000	3.23	05/18/20	3.47	0.24 W	0.00	44 of 53 - Sale <sup>25</sup>
	25.000	3.85	05/18/20	4.27	0.42 W	0.00	45 of 53 - Sale <sup>25</sup>
	75.000	11.54	05/18/20	12.78	1.24 W	0.00	46 of 53 - Sale <sup>25</sup>
	100.000	15.39	05/18/20	16.51	1.12 W	0.00	47 of 53 - Sale <sup>25</sup>
	100.000	15.39	05/18/20	15.93	0.54 W	0.00	48 of 53 - Sale <sup>25</sup>
	277.000	42.62	05/18/20	45.76	3.14 W	0.00	49 of 53 - Sale <sup>25</sup>
	400.000	61.55	05/18/20	66.07	4.52 W	0.00	50 of 53 - Sale <sup>25</sup>
	500.000	76.94	05/18/20	82.69	5.75 W	0.00	51 of 53 - Sale <sup>25</sup>
	900.000	138.49	05/18/20	148.84	10.35 W	0.00	52 of 53 - Sale <sup>25</sup>
	4,900.000	753.99	05/18/20	754.60	0.61 W	0.00	53 of 53 - Sale <sup>25</sup>
05/18/20	19,300.000	2,970.86	Various	3,141.68	170.82 W	0.00	Total of 53 transactions
	11 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	900.000	242.89	06/05/20	247.41	...	-4.52	1 of 11 - Sale <sup>25</sup>
	500.000	134.94	06/05/20	137.45	...	-2.51	2 of 11 - Sale <sup>25</sup>
	277.000	74.76	06/05/20	76.10	...	-1.34	3 of 11 - Sale <sup>25</sup>
	75.000	20.24	06/05/20	20.99	...	-0.75	4 of 11 - Sale <sup>25</sup>
	100.000	26.99	06/05/20	27.46	...	-0.47	5 of 11 - Sale <sup>25</sup>
	25.000	6.75	06/05/20	7.01	...	-0.26	6 of 11 - Sale <sup>25</sup>
	21.000	5.67	06/05/20	5.77	...	-0.10	7 of 11 - Sale <sup>25</sup>
	2.000	0.54	06/05/20	0.55	...	-0.01	8 of 11 - Sale <sup>25</sup>
	100.000	26.99	06/05/20	26.88	...	0.11	9 of 11 - Sale <sup>25</sup>
	4,900.000	1,322.38	06/05/20	1,291.27	...	31.11	10 of 11 - Sale <sup>25</sup>
	27,999.000	7,556.20	06/05/20	7,374.94	...	181.26	11 of 11 - Sale <sup>25</sup>
06/05/20	34,899.000	9,418.35	Various	9,215.83	...	202.52	Total of 11 transactions
	19 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	400.000	107.95	06/05/20	109.88	1.45 W	-0.48	1 of 19 - Sale <sup>25</sup>
	2.000	0.54	06/05/20	0.57	0.03 W	0.00	2 of 19 - Sale <sup>25</sup>
	25.000	6.75	06/05/20	7.01	0.26 W	0.00	3 of 19 - Sale <sup>25</sup>
	25.000	6.75	06/05/20	6.87	0.12 W	0.00	4 of 19 - Sale <sup>25</sup>
	75.000	20.24	06/05/20	21.00	0.76 W	0.00	5 of 19 - Sale <sup>25</sup>
	75.000	20.24	06/05/20	20.60	0.36 W	0.00	6 of 19 - Sale <sup>25</sup>
	98.000	26.45	06/05/20	27.44	0.99 W	0.00	7 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 874834229

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	100.000	26.99	06/05/20	28.01	1.02 W	0.00	8 of 19 - Sale <sup>25</sup>
	104.000	28.07	06/05/20	28.56	0.49 W	0.00	9 of 19 - Sale <sup>25</sup>
	125.000	33.73	06/05/20	35.02	1.29 W	0.00	10 of 19 - Sale <sup>25</sup>
	129.000	34.81	06/05/20	35.44	0.63 W	0.00	11 of 19 - Sale <sup>25</sup>
	175.000	47.23	06/05/20	49.01	1.78 W	0.00	12 of 19 - Sale <sup>25</sup>
	196.000	52.90	06/05/20	53.83	0.93 W	0.00	13 of 19 - Sale <sup>25</sup>
	200.000	53.98	06/05/20	56.02	2.04 W	0.00	14 of 19 - Sale <sup>25</sup>
	395.000	106.60	06/05/20	108.42	1.82 W	0.00	15 of 19 - Sale <sup>25</sup>
	400.000	107.95	06/05/20	109.87	1.92 W	0.00	16 of 19 - Sale <sup>25</sup>
	405.000	109.30	06/05/20	111.22	1.92 W	0.00	17 of 19 - Sale <sup>25</sup>
	596.000	160.85	06/05/20	163.67	2.82 W	0.00	18 of 19 - Sale <sup>25</sup>
	1,575.000	425.05	06/05/20	432.32	7.27 W	0.00	19 of 19 - Sale <sup>25</sup>
06/05/20	5,100.000	1,376.38	Various	1,404.76	27.90 W	-0.48	Total of 19 transactions
	22 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	0.19	06/05/20	0.26	...	-0.07	1 of 22 - Sale <sup>25</sup>
	2.000	0.38	06/08/20	0.33	...	0.05	2 of 22 - Sale <sup>25</sup>
	9.000	1.71	06/08/20	1.45	...	0.26	3 of 22 - Sale <sup>25</sup>
	25.000	4.75	06/08/20	4.04	...	0.71	4 of 22 - Sale <sup>25</sup>
	25.000	4.75	06/08/20	3.89	...	0.86	5 of 22 - Sale <sup>25</sup>
	34.000	6.46	06/08/20	5.48	...	0.98	6 of 22 - Sale <sup>25</sup>
	66.000	12.54	06/08/20	10.64	...	1.90	7 of 22 - Sale <sup>25</sup>
	75.000	14.25	06/08/20	11.69	...	2.56	8 of 22 - Sale <sup>25</sup>
	91.000	17.29	06/08/20	14.68	...	2.61	9 of 22 - Sale <sup>25</sup>
	98.000	18.62	06/08/20	15.79	...	2.83	10 of 22 - Sale <sup>25</sup>
	100.000	19.00	06/08/20	16.12	...	2.88	11 of 22 - Sale <sup>25</sup>
	104.000	19.76	06/08/20	16.19	...	3.57	12 of 22 - Sale <sup>25</sup>
	129.000	24.51	06/08/20	20.11	...	4.40	13 of 22 - Sale <sup>25</sup>
	175.000	33.25	06/08/20	28.20	...	5.05	14 of 22 - Sale <sup>25</sup>
	200.000	37.99	06/08/20	32.24	...	5.75	15 of 22 - Sale <sup>25</sup>
	196.000	37.23	06/08/20	30.53	...	6.70	16 of 22 - Sale <sup>25</sup>
	299.000	56.80	06/08/20	46.59	...	10.21	17 of 22 - Sale <sup>25</sup>
	395.000	75.04	06/08/20	61.46	...	13.58	18 of 22 - Sale <sup>25</sup>
	400.000	75.99	06/08/20	62.32	...	13.67	19 of 22 - Sale <sup>25</sup>
	405.000	76.94	06/08/20	63.07	...	13.87	20 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 874834229

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	596.000	113.22	06/08/20	92.82	...	20.40	21 of 22 - Sale <sup>25</sup>
	1,575.000	299.20	06/08/20	245.10	...	54.10	22 of 22 - Sale <sup>25</sup>
06/09/20	5,000.000	949.87	Various	783.00	...	166.87	Total of 22 transactions
	<b>Security total:</b>	<b>20,127.43</b>		<b>20,026.48</b>	<b>332.84 W</b>	<b>433.79</b>	
<b>Totals :</b>		<b>479,339.12</b>		<b>501,434.01</b>	<b>22,446.54 W</b>	<b>351.65</b>	

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Robinhood Securities LLC

Account 874834229

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
F-STAR THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 30315R107 / Symbol: 11/30/20	0.250	1.01	11/09/20	1.32	...	-0.31	Gain or loss
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol: 06/18/20	0.050	1.05	04/30/20	1.21	...	-0.16	Gain or loss
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X203 / Symbol: 11/23/20	0.040	0.18	11/04/20	0.58	...	-0.40	Gain or loss
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: 08/14/20	0.040	0.06	06/08/20	0.16	...	-0.10	Gain or loss
<b>Totals:</b>		<b>2.30</b>		<b>3.27</b>	...	<b>-0.97</b>	

Robinhood Securities LLC

Account 874834229

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/15/20	-5.00	Margin interest paid	
		10/15/20	-5.00	Margin interest paid	
		11/16/20	-5.00	Margin interest paid	
		12/14/20	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			<b>-20.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 874834229

**Non-Reportable Actions**

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
OASIS PETROLEUM INC. COMMON ST OCK	674215108	11/20/20	-1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

**Instructions for Recipient**

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).