

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 703519173	Statement Date: 02/14/2021 Document ID: S0N2 65H 7287	2020
	Sainath Mallipatel 7150 N Terra Vista Dr 1309 Peoria, IL 61614 RECIPIENT'S TIN: XXX-XX-8994		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 26.91</p> <p>1b- Qualified dividends 26.91</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	30,884.16	36,920.29	0.00	7,749.89	1,713.76
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	30,884.16	36,920.29	0.00	7,749.89	1,713.76
Long	D (basis reported to the IRS)	59.70	516.51	0.00	86.50	-370.31
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	59.70	516.51	0.00	86.50	-370.31
Undetermined	B or E (basis not reported to the IRS)	0.59	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.59	0.00	0.00	0.00	0.00
	Grand total	30,944.45	37,436.80	0.00	7,836.39	1,343.45
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.59
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
10/01/20	1.000	16.98	09/02/20	68.00	51.02 W	0.00	Option sale to close-call ²⁵
	5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	12.99	09/11/20	29.00	...	-16.01	1 of 5 - Option sale to close-call ²⁵
	1.000	13.00	09/11/20	29.00	...	-16.00	2 of 5 - Option sale to close-call ²⁵
	1.000	12.99	09/14/20	26.00	...	-13.01	3 of 5 - Option sale to close-call ²⁵
	1.000	12.99	09/14/20	26.00	...	-13.01	4 of 5 - Option sale to close-call ²⁵
	1.000	12.99	09/14/20	25.00	...	-12.01	5 of 5 - Option sale to close-call ²⁵
11/09/20	5.000	64.96	Various	135.00	...	-70.04	Total of 5 transactions
	3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	13.00	09/04/20	109.02	96.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	13.00	09/10/20	43.00	30.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	13.00	09/11/20	30.00	17.00 W	0.00	3 of 3 - Option sale to close-call ²⁵
11/09/20	3.000	39.00	Various	182.02	143.02 W	0.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 01/15/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
Security total:		120.94		385.02	194.04 W	-70.04	
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	3.000	6.93	08/03/20	10.20	3.27 W	0.00	1 of 2 - Sale ²⁵
	17.000	39.27	08/03/20	57.80	18.53 W	0.00	2 of 2 - Sale ²⁵
09/10/20	20.000	46.20	Various	68.00	21.80 W	0.00	Total of 2 transactions
4 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	10.000	24.12	08/03/20	33.10	8.98 W	0.00	1 of 4 - Sale ²⁵
	25.000	60.31	08/03/20	82.00	21.69 W	0.00	2 of 4 - Sale ²⁵
	10.000	24.13	08/06/20	28.80	4.67 W	0.00	3 of 4 - Sale ²⁵
	1.000	2.41	08/10/20	2.90	0.49 W	0.00	4 of 4 - Sale ²⁵
09/16/20	46.000	110.97	Various	146.80	35.83 W	0.00	Total of 4 transactions
11 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	5.000	9.76	08/24/20	16.69	...	-6.93	1 of 11 - Sale ²⁵
	3.000	5.86	08/24/20	10.07	...	-4.21	2 of 11 - Sale ²⁵
	2.000	3.90	08/24/20	7.51	...	-3.61	3 of 11 - Sale ²⁵
	2.000	3.91	08/25/20	6.49	...	-2.58	4 of 11 - Sale ²⁵
	4.000	7.81	08/26/20	10.80	...	-2.99	5 of 11 - Sale ²⁵
	1.000	1.95	08/26/20	3.19	...	-1.24	6 of 11 - Sale ²⁵
	25.000	50.00	09/01/20	59.38	...	-9.38	7 of 11 - Sale ²⁵
	13.195	25.76	09/01/20	32.19	...	-6.43	8 of 11 - Sale ²⁵
	2.000	4.00	09/01/20	4.88	...	-0.88	9 of 11 - Sale ²⁵
	1.805	3.61	09/01/20	4.41	...	-0.80	10 of 11 - Sale ²⁵
	1.195	2.39	09/02/20	2.77	...	-0.38	11 of 11 - Sale ²⁵
11/30/20	60.195	118.95	Various	158.38	...	-39.43	Total of 11 transactions
14 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.072	0.14	08/10/20	0.21	0.07 W	0.00	1 of 14 - Sale ²⁵
	0.123	0.23	08/10/20	0.36	0.13 W	0.00	2 of 14 - Sale ²⁵
	0.805	1.57	08/10/20	2.33	0.76 W	0.00	3 of 14 - Sale ²⁵
	8.000	15.62	08/10/20	23.20	7.58 W	0.00	4 of 14 - Sale ²⁵
	3.000	5.86	08/12/20	11.79	5.93 W	0.00	5 of 14 - Sale ²⁵
	7.000	13.67	08/12/20	27.51	13.84 W	0.00	6 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol: (cont'd)							
	5.000	9.76	08/13/20	20.14	10.38 W	0.00	7 of 14 - Sale ²⁵
	5.000	9.76	08/19/20	18.83	9.07 W	0.00	8 of 14 - Sale ²⁵
	5.000	9.76	08/19/20	19.79	10.03 W	0.00	9 of 14 - Sale ²⁵
	4.000	7.81	08/20/20	14.18	3.19 W	-3.18	10 of 14 - Sale ²⁵
	5.000	9.76	08/20/20	18.17	8.41 W	0.00	11 of 14 - Sale ²⁵
	5.000	9.76	08/20/20	18.03	8.27 W	0.00	12 of 14 - Sale ²⁵
	10.000	19.52	08/20/20	35.98	16.46 W	0.00	13 of 14 - Sale ²⁵
	4.000	7.81	08/20/20	14.19	6.38 W	0.00	14 of 14 - Sale ²⁵
11/30/20	62.000	121.03	Various	224.71	100.50 W	-3.18	Total of 14 transactions
	Security total:	397.15		597.89	158.13 W	-42.61	
ABERCROMBIE & FITCH CO. / CUSIP: 002896207 / Symbol:							
04/29/20	1.000	11.49	04/24/20	8.94	...	2.55	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	216.22	06/09/20	219.83	...	-3.61	1 of 2 - Sale ²⁵
	1.000	216.73	06/09/20	219.83	...	-3.10	2 of 2 - Sale ²⁵
06/11/20	2.000	432.95	Various	439.66	...	-6.71	Total of 2 transactions
06/11/20	1.000	216.87	06/09/20	219.83	2.96 W	0.00	Sale ²⁵
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	223.00	06/09/20	222.90	...	0.10	1 of 3 - Sale ²⁵
	1.000	223.00	06/09/20	219.84	...	3.16	2 of 3 - Sale ²⁵
	1.000	223.00	06/09/20	219.83	...	3.17	3 of 3 - Sale ²⁵
06/18/20	3.000	669.00	Various	662.57	...	6.43	Total of 3 transactions
	Security total:	1,318.82		1,322.06	2.96 W	-0.28	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
06/25/20	0.739	1,049.84	06/23/20	1,088.12	38.28 W	0.00	Sale ²⁵
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	0.261	354.23	06/23/20	384.95	30.72 W	0.00	1 of 2 - Sale ²⁵
	0.127	172.18	06/24/20	189.52	16.37 W	-0.97	2 of 2 - Sale ²⁵
06/29/20	0.388	526.41	Various	574.47	47.09 W	-0.97	Total of 2 transactions
07/06/20	0.342	513.07	06/24/20	510.95	...	2.12	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol: (cont'd)							
6 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	0.086	130.34	06/24/20	128.32	...	2.02	1 of 6 - Sale ²⁵
	0.107	162.46	06/26/20	155.55	...	6.91	2 of 6 - Sale ²⁵
	0.106	160.28	06/29/20	157.91	...	2.37	3 of 6 - Sale ²⁵
	0.076	115.15	06/29/20	108.46	...	6.69	4 of 6 - Sale ²⁵
	0.120	181.77	07/02/20	190.51	...	-8.74	5 of 6 - Sale ²⁵
	0.156	235.77	07/02/20	244.15	...	-8.38	6 of 6 - Sale ²⁵
07/13/20	0.650	985.77	Various	984.90	...	0.87	Total of 6 transactions
	Security total:	3,075.09		3,158.44	85.37 W	2.02	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
07/08/20	0.073	221.58	06/24/20	200.00	...	21.58	Sale ²⁵
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	0.085	271.04	09/18/20	250.00	...	21.04	1 of 3 - Sale ²⁵
	0.035	110.45	09/21/20	100.00	...	10.45	2 of 3 - Sale ²⁵
	0.052	164.65	09/21/20	150.00	...	14.65	3 of 3 - Sale ²⁵
10/07/20	0.171	546.14	Various	500.00	...	46.14	Total of 3 transactions
11/16/20	0.083	256.94	11/10/20	250.00	...	6.94	Sale ²⁵
	Security total:	1,024.66		950.00	...	74.66	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/29/20	2.000	24.98	04/23/20	20.67	...	4.31	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
06/25/20	1.000	358.95	06/24/20	364.80	...	-5.85	Sale ²⁵
07/09/20	1.000	384.00	06/24/20	364.80	...	19.20	Sale ²⁵
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	110.10	09/08/20	114.00	3.90 W	0.00	1 of 3 - Sale ²⁵
	1.000	110.10	09/15/20	119.71	9.61 W	0.00	2 of 3 - Sale ²⁵
	2.000	220.20	09/15/20	231.62	11.42 W	0.00	3 of 3 - Sale ²⁵
09/18/20	4.000	440.40	Various	465.33	24.93 W	0.00	Total of 3 transactions
	Security total:	1,183.35		1,194.93	24.93 W	13.35	

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol:							
06/03/20	50.000	44.51	05/29/20	45.20	...	-0.69	Sale ²⁵
ARCELORMITTAL / CUSIP: 03938L203 / Symbol:							
4 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	9.38	05/11/20	9.45	...	-0.07	1 of 4 - Sale ²⁵
	2.000	19.02	05/11/20	18.90	...	0.12	2 of 4 - Sale ²⁵
	1.000	9.38	05/11/20	9.24	...	0.14	3 of 4 - Sale ²⁵
	1.000	9.38	05/11/20	9.21	...	0.17	4 of 4 - Sale ²⁵
05/20/20	5.000	47.16	Various	46.80	...	0.36	Total of 4 transactions
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	2.000	18.78	05/11/20	18.89	0.06 W	-0.05	1 of 2 - Sale ²⁵
	1.000	9.43	05/11/20	9.45	0.02 W	0.00	2 of 2 - Sale ²⁵
05/20/20	3.000	28.21	Various	28.34	0.08 W	-0.05	Total of 2 transactions
	Security total:	75.37		75.14	0.08 W	0.31	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
05/05/20	12.000	8.40	04/24/20	8.40	...	0.00	Sale ²⁵
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
05/18/20	1.000	17.93	05/18/20	19.44	...	-1.51	Sale ²⁵
11 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	10.000	48.50	09/18/20	64.80	16.30 W	0.00	1 of 11 - Sale ²⁵
	2.000	9.70	09/25/20	13.60	3.90 W	0.00	2 of 11 - Sale ²⁵
	1.000	4.85	09/25/20	5.17	0.32 W	0.00	3 of 11 - Sale ²⁵
	6.000	29.10	09/25/20	31.02	1.92 W	0.00	4 of 11 - Sale ²⁵
	1.000	4.85	09/25/20	6.80	1.95 W	0.00	5 of 11 - Sale ²⁵
	5.000	24.40	09/25/20	33.05	8.65 W	0.00	6 of 11 - Sale ²⁵
	2.000	9.76	09/28/20	12.92	3.16 W	0.00	7 of 11 - Sale ²⁵
	1.000	4.88	09/28/20	5.15	0.27 W	0.00	8 of 11 - Sale ²⁵
	2.000	9.76	09/28/20	10.30	0.54 W	0.00	9 of 11 - Sale ²⁵
	1.000	4.88	09/28/20	6.77	1.89 W	0.00	10 of 11 - Sale ²⁵
	4.000	19.52	09/28/20	20.56	1.04 W	0.00	11 of 11 - Sale ²⁵
10/08/20	35.000	170.20	Various	210.14	39.94 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
6 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	11.52	09/30/20	6.66	...	4.86	1 of 6 - Sale ²⁵
	1.000	11.52	09/30/20	6.66	...	4.86	2 of 6 - Sale ²⁵
	3.000	34.56	09/30/20	19.32	...	15.24	3 of 6 - Sale ²⁵
	1.000	11.52	10/01/20	4.88	...	6.64	4 of 6 - Sale ²⁵
	2.000	23.04	10/01/20	12.68	...	10.36	5 of 6 - Sale ²⁵
	2.000	23.04	10/01/20	12.37	...	10.67	6 of 6 - Sale ²⁵
11/30/20	10.000	115.20	Various	62.57	...	52.63	Total of 6 transactions
	Security total:	303.33		292.15	39.94 W	51.12	
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	0.241	44.01	07/01/20	43.07	...	0.94	1 of 4 - Sale ²⁵
	0.241	44.05	07/01/20	43.06	...	0.99	2 of 4 - Sale ²⁵
	0.759	138.34	07/01/20	135.40	...	2.94	3 of 4 - Sale ²⁵
	1.000	182.57	07/01/20	178.47	...	4.10	4 of 4 - Sale ²⁵
07/06/20	2.241	408.97	Various	400.00	...	8.97	Total of 4 transactions
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol:							
05/01/20	10.000	8.90	04/28/20	8.76	...	0.14	Sale ²⁵
CANADA GOOSE HOLDINGS INC. / CUSIP: 135086106 / Symbol:							
05/20/20	1.000	20.23	05/05/20	22.90	2.67 W	0.00	Sale ²⁵
05/29/20	2.000	39.15	05/05/20	45.79	6.64 W	0.00	Sale ²⁵
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	25.49	05/05/20	22.90	...	2.59	1 of 4 - Sale ²⁵
	1.000	25.49	05/15/20	21.84	...	3.65	2 of 4 - Sale ²⁵
	2.000	50.97	05/27/20	49.09	...	1.88	3 of 4 - Sale ²⁵
	1.000	25.49	05/27/20	21.22	...	4.27	4 of 4 - Sale ²⁵
06/09/20	5.000	127.44	Various	115.05	...	12.39	Total of 4 transactions
	Security total:	186.82		183.74	9.31 W	12.39	

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CARGURUS, INC. CLASS A COMMON STOCK / CUSIP: 141788109 / Symbol:							
<i>4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	0.066	1.75	07/01/20	1.72	...	0.03	1 of 4 - Sale ²⁵
	0.067	1.81	07/01/20	1.76	...	0.05	2 of 4 - Sale ²⁵
	1.000	26.54	07/01/20	26.09	...	0.45	3 of 4 - Sale ²⁵
	1.933	51.72	07/01/20	50.43	...	1.29	4 of 4 - Sale ²⁵
07/06/20	3.066	81.82	Various	80.00	...	1.82	Total of 4 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
04/30/20	1.000	15.80	04/23/20	12.19	...	3.61	Sale ²⁵
CATERPILLAR INC. / CUSIP: 149123101 / Symbol:							
08/24/20	0.731	102.86	07/30/20	100.00	...	2.86	Sale ²⁵
CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
06/11/20	13.000	26.78	06/10/20	31.06	4.28 W	0.00	Sale ²⁵
<i>10 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	3.42	06/24/20	3.22	...	0.20	1 of 10 - Sale ²⁵
	2.000	3.96	07/08/20	3.71	...	0.25	2 of 10 - Sale ²⁵
	10.000	19.80	07/08/20	18.54	...	1.26	3 of 10 - Sale ²⁵
	8.000	13.68	07/08/20	11.09	...	2.59	4 of 10 - Sale ²⁵
	7.000	13.86	07/08/20	9.70	...	4.16	5 of 10 - Sale ²⁵
	14.000	27.72	07/08/20	20.22	...	7.50	6 of 10 - Sale ²⁵
	18.000	35.64	07/08/20	27.46	...	8.18	7 of 10 - Sale ²⁵
	35.000	59.84	07/08/20	48.51	...	11.33	8 of 10 - Sale ²⁵
	21.000	41.57	07/08/20	28.55	...	13.02	9 of 10 - Sale ²⁵
	3.000	5.94	07/09/20	4.66	...	1.28	10 of 10 - Sale ²⁵
07/10/20	120.000	225.43	Various	175.66	...	49.77	Total of 10 transactions
<i>7 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	24.000	41.04	06/10/20	57.33	16.29 W	0.00	1 of 7 - Sale ²⁵
	1.000	1.71	06/10/20	2.72	1.01 W	0.00	2 of 7 - Sale ²⁵
	12.000	20.52	06/19/20	26.14	5.62 W	0.00	3 of 7 - Sale ²⁵
	18.000	30.78	06/19/20	33.29	2.51 W	0.00	4 of 7 - Sale ²⁵
	7.000	13.86	07/08/20	14.45	0.17 W	-0.42	5 of 7 - Sale ²⁵
	17.000	33.66	07/08/20	35.10	1.44 W	0.00	6 of 7 - Sale ²⁵

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Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol: (cont'd)							
	1.000	1.98	07/08/20	2.40	0.42 W	0.00	7 of 7 - Sale ²⁵
07/10/20	80.000	143.55	Various	171.43	27.46 W	-0.42	Total of 7 transactions
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	1.78	07/10/20	1.87	...	-0.09	1 of 2 - Sale ²⁵
	2.000	3.56	07/10/20	3.07	...	0.49	2 of 2 - Sale ²⁵
07/13/20	3.000	5.34	Various	4.94	...	0.40	Total of 2 transactions
Security total:		401.10		383.09	31.74 W	49.75	
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
10 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	4.000	20.06	05/20/20	8.20	...	11.86	1 of 10 - Sale ²⁵
	2.000	10.03	05/22/20	1.80	...	8.23	2 of 10 - Sale ²⁵
	6.000	20.61	05/29/20	5.22	...	15.39	3 of 10 - Sale ²⁵
	6.000	20.94	05/29/20	5.22	...	15.72	4 of 10 - Sale ²⁵
	9.000	31.41	05/29/20	7.77	...	23.64	5 of 10 - Sale ²⁵
	10.000	34.35	05/29/20	8.70	...	25.65	6 of 10 - Sale ²⁵
	10.000	36.20	05/29/20	8.70	...	27.50	7 of 10 - Sale ²⁵
	14.000	48.09	05/29/20	12.17	...	35.92	8 of 10 - Sale ²⁵
	19.000	95.29	05/29/20	16.52	...	78.77	9 of 10 - Sale ²⁵
	5.000	17.45	06/02/20	3.55	...	13.90	10 of 10 - Sale ²⁵
06/04/20	85.000	334.43	Various	77.85	...	256.58	Total of 10 transactions
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	10.000	27.70	05/20/20	19.40	...	8.30	1 of 2 - Sale ²⁵
	23.000	63.71	05/20/20	44.85	...	18.86	2 of 2 - Sale ²⁵
05/21/20	33.000	91.41	Various	64.25	...	27.16	Total of 2 transactions
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	60.000	129.89	06/04/20	126.60	...	3.29	1 of 2 - Sale ²⁵
	40.000	86.60	06/04/20	83.20	...	3.40	2 of 2 - Sale ²⁵
06/05/20	100.000	216.49	Various	209.80	...	6.69	Total of 2 transactions
Security total:		307.90		274.05	...	33.85	

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(continued)

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COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
05/27/20	2.000	93.78	05/05/20	90.99	...	2.79	Sale ²⁵
	5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	1.000	48.00	05/05/20	45.50	...	2.50	1 of 5 - Sale ²⁵
	1.000	48.00	05/05/20	45.44	...	2.56	2 of 5 - Sale ²⁵
	1.000	48.00	05/05/20	45.43	...	2.57	3 of 5 - Sale ²⁵
	1.000	48.00	05/06/20	45.36	...	2.64	4 of 5 - Sale ²⁵
	4.000	192.00	05/13/20	175.23	...	16.77	5 of 5 - Sale ²⁵
06/03/20	8.000	384.00	Various	356.96	...	27.04	Total of 5 transactions
06/10/20	5.000	244.11	06/08/20	249.14	...	-5.03	Sale ²⁵
	Security total:	721.89		697.09	...	24.80	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
05/20/20	1.000	22.48	05/04/20	21.98	...	0.50	Sale ²⁵
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	34.42	05/04/20	21.98	...	12.44	1 of 3 - Sale ²⁵
	1.000	34.43	05/06/20	21.14	...	13.29	2 of 3 - Sale ²⁵
	1.000	34.42	05/06/20	21.12	...	13.30	3 of 3 - Sale ²⁵
06/09/20	3.000	103.27	Various	64.24	...	39.03	Total of 3 transactions
06/15/20	7.000	211.08	06/10/20	215.32	...	-4.24	Sale ²⁵
	Security total:	336.83		301.54	...	35.29	
DENNY'S CORPORATION COMMON STO CK / CUSIP: 24869P104 / Symbol:							
05/13/20	2.000	17.96	05/04/20	19.56	...	-1.60	Sale ²⁵
DIAMONDBACK ENERGY, INC. COMM ON STOCK / CUSIP: 25278X109 / Symbol:							
09/10/20	2.000	63.60	09/04/20	70.80	...	-7.20	Sale ²⁵
DIFFUSION PHARMACEUTICALS INC. COMMON STOCK / CUSIP: 253748305 / Symbol:							
06/05/20	100.000	112.99	06/03/20	115.00	...	-2.01	Sale ²⁵
06/05/20	100.000	105.00	06/03/20	115.00	5.00 W	-5.00	Sale ²⁵
06/08/20	50.000	55.50	06/04/20	61.50	...	-6.00	Sale ²⁵
	Security total:	273.49		291.50	5.00 W	-13.01	
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
06/02/20	18.000	30.24	06/01/20	40.50	...	-10.26	Sale ²⁵

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DR. REDDY'S LABORATORIES LIMIT ED AMERICAN DEPOSITARY SHARES / CUSIP: 256135203 / Symbol:							
05/19/20	2.000	98.04	05/18/20	98.82	...	-0.78	Sale ²⁵
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol:							
<i>9 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	15.25	06/03/20	9.80	...	5.45	1 of 9 - Sale ²⁵
	5.000	10.75	06/03/20	4.90	...	5.85	2 of 9 - Sale ²⁵
	25.000	38.12	06/03/20	26.50	...	11.62	3 of 9 - Sale ²⁵
	30.000	45.75	06/03/20	27.68	...	18.07	4 of 9 - Sale ²⁵
	25.000	50.00	06/03/20	24.50	...	25.50	5 of 9 - Sale ²⁵
	25.000	50.00	06/03/20	20.50	...	29.50	6 of 9 - Sale ²⁵
	35.000	75.25	06/03/20	37.10	...	38.15	7 of 9 - Sale ²⁵
	40.000	86.00	06/03/20	41.80	...	44.20	8 of 9 - Sale ²⁵
	50.000	100.00	06/03/20	43.90	...	56.10	9 of 9 - Sale ²⁵
06/04/20	245.000	471.12	Various	236.68	...	234.44	Total of 9 transactions
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	40.80	06/03/20	36.56	...	4.24	1 of 2 - Sale ²⁵
	60.000	61.21	06/03/20	56.08	...	5.13	2 of 2 - Sale ²⁵
06/05/20	100.000	102.01	Various	92.64	...	9.37	Total of 2 transactions
<i>3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	3.13	06/05/20	3.00	...	0.13	1 of 3 - Sale ²⁵
	48.000	50.16	06/05/20	47.99	...	2.17	2 of 3 - Sale ²⁵
	49.000	51.20	06/05/20	49.00	...	2.20	3 of 3 - Sale ²⁵
06/08/20	100.000	104.49	Various	99.99	...	4.50	Total of 3 transactions
<i>3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	32.000	33.60	06/05/20	32.00	...	1.60	1 of 3 - Sale ²⁵
	32.000	33.60	06/05/20	32.00	...	1.60	2 of 3 - Sale ²⁵
	68.000	71.39	06/05/20	67.99	...	3.40	3 of 3 - Sale ²⁵
06/09/20	132.000	138.59	Various	131.99	...	6.60	Total of 3 transactions
08/19/20	16.000	14.56	08/17/20	14.70	...	-0.14	Sale ²⁵
08/20/20	0.330	0.29	08/17/20	0.30	...	-0.01	Sale ²⁵
	Security total:	831.06		576.30	...	254.76	

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTSIDE DISTILLING, INC. COMM ON STOCK / CUSIP: 277802302 / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	3.000	4.56	05/27/20	4.20	...	0.36	1 of 3 - Sale ²⁵
	7.000	10.71	05/27/20	9.80	...	0.91	2 of 3 - Sale ²⁵
	50.000	76.49	05/27/20	70.00	...	6.49	3 of 3 - Sale ²⁵
05/29/20	60.000	91.76	Various	84.00	...	7.76	Total of 3 transactions
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	45.000	72.44	06/02/20	65.25	...	7.19	1 of 4 - Sale ²⁵
	50.000	80.50	06/03/20	74.00	...	6.50	2 of 4 - Sale ²⁵
	50.000	80.49	06/04/20	74.00	...	6.49	3 of 4 - Sale ²⁵
	50.000	85.25	06/04/20	74.00	...	11.25	4 of 4 - Sale ²⁵
06/09/20	195.000	318.68	Various	287.25	...	31.43	Total of 4 transactions
06/11/20	100.000	160.00	06/04/20	148.00	...	12.00	Sale ²⁵
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	100.000	124.99	07/02/20	127.00	1.00 W	-1.01	1 of 3 - Sale ²⁵
	39.000	48.75	07/02/20	49.53	0.78 W	0.00	2 of 3 - Sale ²⁵
	11.000	13.75	07/02/20	13.97	0.22 W	0.00	3 of 3 - Sale ²⁵
07/06/20	150.000	187.49	Various	190.50	2.00 W	-1.01	Total of 3 transactions
3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	6.000	8.76	07/14/20	5.89	...	2.87	1 of 3 - Sale ²⁵
	11.000	16.06	07/14/20	10.80	...	5.26	2 of 3 - Sale ²⁵
	33.000	48.18	07/14/20	32.41	...	15.77	3 of 3 - Sale ²⁵
08/17/20	50.000	73.00	Various	49.10	...	23.90	Total of 3 transactions
	Security total:	830.93		758.85	2.00 W	74.08	
EVOFEM BIOSCIENCES, INC. COMM N STOCK / CUSIP: 30048L104 / Symbol:							
06/11/20	10.000	28.85	06/09/20	33.85	5.00 W	0.00	Sale ²⁵
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	7.000	22.47	06/09/20	23.70	1.23 W	0.00	1 of 3 - Sale ²⁵
	10.000	32.10	06/09/20	38.90	6.80 W	0.00	2 of 3 - Sale ²⁵
	2.000	6.42	06/09/20	6.78	0.36 W	0.00	3 of 3 - Sale ²⁵
07/06/20	19.000	60.99	Various	69.38	8.39 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EVOFEM BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 30048L104 / Symbol: (cont'd)							
<i>5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	23.42	06/09/20	24.96	...	-1.54	1 of 5 - Sale ²⁵
	1.000	3.34	06/09/20	3.39	...	-0.05	2 of 5 - Sale ²⁵
	9.000	30.11	06/18/20	32.27	...	-2.16	3 of 5 - Sale ²⁵
	1.000	3.34	06/24/20	3.55	...	-0.21	4 of 5 - Sale ²⁵
	2.000	6.69	06/24/20	6.09	...	0.60	5 of 5 - Sale ²⁵
07/08/20	20.000	66.90	Various	70.26	...	-3.36	Total of 5 transactions
	Security total:	156.74		173.49	13.39 W	-3.36	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
05/04/20	1.000	203.00	04/23/20	185.12	...	17.88	Sale ²⁵
<i>4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.068	15.95	06/29/20	14.80	...	1.15	1 of 4 - Sale ²⁵
	0.068	16.03	06/29/20	14.80	...	1.23	2 of 4 - Sale ²⁵
	0.932	219.68	06/29/20	202.80	...	16.88	3 of 4 - Sale ²⁵
	1.000	234.52	06/29/20	217.60	...	16.92	4 of 4 - Sale ²⁵
07/02/20	2.068	486.18	Various	450.00	...	36.18	Total of 4 transactions
08/20/20	0.390	104.18	08/11/20	100.00	...	4.18	Sale ²⁵
11/04/20	1.000	287.00	09/18/20	253.00	...	34.00	Sale ²⁵
	Security total:	1,080.36		988.12	...	92.24	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
04/29/20	2.000	10.62	04/23/20	9.75	...	0.87	Sale ²⁵
<i>4 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.11	05/04/20	4.83	...	0.28	1 of 4 - Sale ²⁵
	4.000	20.45	05/04/20	19.23	...	1.22	2 of 4 - Sale ²⁵
	5.000	25.57	05/04/20	24.10	...	1.47	3 of 4 - Sale ²⁵
	10.000	51.13	05/04/20	49.07	...	2.06	4 of 4 - Sale ²⁵
05/11/20	20.000	102.26	Various	97.23	...	5.03	Total of 4 transactions
	Security total:	112.88		106.98	...	5.90	

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(continued)

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GNC HLDGS INC COMMON STOCK / CUSIP: 36191G107 / Symbol:							
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	50.000	26.39	05/04/20	27.66	...	-1.27	1 of 3 - Sale ²⁵
	10.000	5.28	05/04/20	5.28	...	0.00	2 of 3 - Sale ²⁵
	10.000	5.28	05/05/20	5.40	...	-0.12	3 of 3 - Sale ²⁵
05/05/20	70.000	36.95	Various	38.34	...	-1.39	Total of 3 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	6.85	05/20/20	6.45	...	0.40	1 of 3 - Sale ²⁵
	1.000	6.85	05/20/20	6.44	...	0.41	2 of 3 - Sale ²⁵
	1.000	6.86	05/20/20	6.41	...	0.45	3 of 3 - Sale ²⁵
05/28/20	3.000	20.56	Various	19.30	...	1.26	Total of 3 transactions
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
05/18/20	4.000	98.88	05/04/20	82.20	...	16.68	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	3.000	7.71	05/27/20	5.52	...	2.19	1 of 3 - Sale ²⁵
	35.000	89.95	05/27/20	65.45	...	24.50	2 of 3 - Sale ²⁵
	7.000	17.99	05/28/20	13.23	...	4.76	3 of 3 - Sale ²⁵
06/01/20	45.000	115.65	Various	84.20	...	31.45	Total of 3 transactions
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	6.000	20.76	05/28/20	10.68	...	10.08	1 of 3 - Sale ²⁵
	11.000	38.06	05/28/20	19.80	...	18.26	2 of 3 - Sale ²⁵
	43.000	148.77	05/28/20	81.27	...	67.50	3 of 3 - Sale ²⁵
06/02/20	60.000	207.59	Various	111.75	...	95.84	Total of 3 transactions
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	15.000	79.35	06/04/20	78.90	...	0.45	1 of 3 - Sale ²⁵
	10.000	52.90	06/04/20	49.70	...	3.20	2 of 3 - Sale ²⁵
	5.000	26.45	06/04/20	21.55	...	4.90	3 of 3 - Sale ²⁵
06/08/20	30.000	158.70	Various	150.15	...	8.55	Total of 3 transactions
06/08/20	24.000	126.95	06/05/20	139.20	12.25 W	0.00	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	26.000	112.59	06/05/20	150.80	38.21 W	0.00	1 of 2 - Sale ²⁵
	4.000	17.32	06/05/20	24.82	7.50 W	0.00	2 of 2 - Sale ²⁵
06/09/20	30.000	129.91	Various	175.62	45.71 W	0.00	Total of 2 transactions
7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	6.000	19.68	06/05/20	37.24	17.56 W	0.00	1 of 7 - Sale ²⁵
	10.000	32.80	06/05/20	62.01	29.21 W	0.00	2 of 7 - Sale ²⁵
	4.000	13.12	06/05/20	24.50	11.38 W	0.00	3 of 7 - Sale ²⁵
	10.000	32.80	06/08/20	65.96	33.16 W	0.00	4 of 7 - Sale ²⁵
	15.000	49.20	06/09/20	83.69	34.49 W	0.00	5 of 7 - Sale ²⁵
	4.000	13.12	06/09/20	24.13	11.01 W	0.00	6 of 7 - Sale ²⁵
	1.000	3.28	06/09/20	5.63	2.35 W	0.00	7 of 7 - Sale ²⁵
06/11/20	50.000	164.00	Various	303.16	139.16 W	0.00	Total of 7 transactions
8 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	5.000	22.75	06/09/20	35.41	12.66 W	0.00	1 of 8 - Sale ²⁵
	1.000	4.55	06/09/20	7.11	2.56 W	0.00	2 of 8 - Sale ²⁵
	9.000	40.95	06/09/20	63.87	22.92 W	0.00	3 of 8 - Sale ²⁵
	1.000	4.55	06/09/20	7.02	2.47 W	0.00	4 of 8 - Sale ²⁵
	3.000	13.65	06/09/20	20.84	7.19 W	0.00	5 of 8 - Sale ²⁵
	1.000	4.55	06/09/20	6.88	2.33 W	0.00	6 of 8 - Sale ²⁵
	9.000	40.95	06/09/20	66.15	25.20 W	0.00	7 of 8 - Sale ²⁵
	1.000	4.55	06/11/20	6.00	1.45 W	0.00	8 of 8 - Sale ²⁵
06/15/20	30.000	136.50	Various	213.28	76.78 W	0.00	Total of 8 transactions
11 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	3.000	7.88	06/11/20	18.01	10.13 W	0.00	1 of 11 - Sale ²⁵
	1.000	2.62	06/11/20	6.57	3.95 W	0.00	2 of 11 - Sale ²⁵
	15.000	39.38	06/11/20	83.24	43.86 W	0.00	3 of 11 - Sale ²⁵
	1.000	2.63	06/11/20	5.81	3.18 W	0.00	4 of 11 - Sale ²⁵
	5.000	13.12	06/11/20	28.94	15.82 W	0.00	5 of 11 - Sale ²⁵
	1.000	2.62	06/11/20	5.61	2.99 W	0.00	6 of 11 - Sale ²⁵
	1.000	2.62	06/11/20	5.75	3.13 W	0.00	7 of 11 - Sale ²⁵
	1.000	2.62	06/11/20	5.61	2.99 W	0.00	8 of 11 - Sale ²⁵
	3.000	7.88	06/11/20	17.03	9.15 W	0.00	9 of 11 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	9.000	23.63	06/11/20	52.44	28.81 W	0.00	10 of 11 - Sale ²⁵
	6.000	15.75	06/11/20	36.48	20.73 W	0.00	11 of 11 - Sale ²⁵
06/25/20	46.000	120.75	Various	265.49	144.74 W	0.00	Total of 11 transactions
	14 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	2.35	06/11/20	6.66	4.31 W	0.00	1 of 14 - Sale ²⁵
	1.000	2.35	06/11/20	4.73	2.38 W	0.00	2 of 14 - Sale ²⁵
	3.000	7.06	06/11/20	18.24	11.18 W	0.00	3 of 14 - Sale ²⁵
	2.000	4.71	06/11/20	13.29	8.58 W	0.00	4 of 14 - Sale ²⁵
	1.000	2.35	06/11/20	7.22	4.87 W	0.00	5 of 14 - Sale ²⁵
	12.000	28.24	06/11/20	74.33	46.09 W	0.00	6 of 14 - Sale ²⁵
	1.000	2.35	06/11/20	6.44	4.09 W	0.00	7 of 14 - Sale ²⁵
	3.000	7.06	06/11/20	18.63	11.57 W	0.00	8 of 14 - Sale ²⁵
	1.000	2.35	06/18/20	6.05	3.70 W	0.00	9 of 14 - Sale ²⁵
	1.000	2.35	06/18/20	5.99	3.64 W	0.00	10 of 14 - Sale ²⁵
	1.000	2.35	06/18/20	5.85	3.50 W	0.00	11 of 14 - Sale ²⁵
	3.000	7.06	06/18/20	17.75	10.69 W	0.00	12 of 14 - Sale ²⁵
	4.000	9.41	06/18/20	24.12	14.71 W	0.00	13 of 14 - Sale ²⁵
	9.000	21.18	06/18/20	54.60	33.42 W	0.00	14 of 14 - Sale ²⁵
06/26/20	43.000	101.17	Various	263.90	162.73 W	0.00	Total of 14 transactions
	16 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	1.000	2.56	06/18/20	5.85	3.29 W	0.00	1 of 16 - Sale ²⁵
	1.000	2.56	06/22/20	6.97	4.41 W	0.00	2 of 16 - Sale ²⁵
	2.000	5.12	06/22/20	13.89	8.77 W	0.00	3 of 16 - Sale ²⁵
	1.000	2.56	06/22/20	7.52	4.96 W	0.00	4 of 16 - Sale ²⁵
	6.000	15.36	06/22/20	38.98	23.62 W	0.00	5 of 16 - Sale ²⁵
	1.000	2.56	06/22/20	5.04	2.48 W	0.00	6 of 16 - Sale ²⁵
	3.000	7.68	06/22/20	19.14	11.46 W	0.00	7 of 16 - Sale ²⁵
	6.000	15.36	06/22/20	36.66	21.30 W	0.00	8 of 16 - Sale ²⁵
	1.000	2.56	06/23/20	6.82	4.26 W	0.00	9 of 16 - Sale ²⁵
	3.000	7.68	06/23/20	19.22	11.54 W	0.00	10 of 16 - Sale ²⁵
	1.000	2.61	06/23/20	6.43	3.82 W	0.00	11 of 16 - Sale ²⁵
	1.000	2.61	06/23/20	6.41	3.80 W	0.00	12 of 16 - Sale ²⁵
	8.000	20.88	06/23/20	51.55	30.67 W	0.00	13 of 16 - Sale ²⁵
	1.000	2.66	06/23/20	6.37	3.71 W	0.00	14 of 16 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	2.67	06/23/20	6.44	3.77 W	0.00	15 of 16 - Sale ²⁵
	3.000	7.99	06/23/20	19.71	11.72 W	0.00	16 of 16 - Sale ²⁵
07/02/20	40.000	103.42	Various	257.00	153.58 W	0.00	Total of 16 transactions
	149 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	3.000	5.35	06/23/20	19.76	14.41 W	0.00	1 of 149 - Sale ²⁵
	3.000	5.36	06/23/20	19.71	14.35 W	0.00	2 of 149 - Sale ²⁵
	1.000	1.79	06/24/20	7.28	5.49 W	0.00	3 of 149 - Sale ²⁵
	2.000	3.57	06/24/20	14.50	10.93 W	0.00	4 of 149 - Sale ²⁵
	1.000	1.78	06/24/20	7.82	6.04 W	0.00	5 of 149 - Sale ²⁵
	2.000	3.57	06/24/20	13.60	10.03 W	0.00	6 of 149 - Sale ²⁵
	1.000	1.78	06/24/20	6.37	4.59 W	0.00	7 of 149 - Sale ²⁵
	3.000	5.36	06/24/20	19.29	13.93 W	0.00	8 of 149 - Sale ²⁵
	1.000	1.79	06/24/20	6.15	4.36 W	0.00	9 of 149 - Sale ²⁵
	1.000	1.78	07/01/20	6.55	4.77 W	0.00	10 of 149 - Sale ²⁵
	2.488	4.44	07/01/20	15.26	10.82 W	0.00	11 of 149 - Sale ²⁵
	4.000	7.14	07/01/20	24.90	17.76 W	0.00	12 of 149 - Sale ²⁵
	1.000	1.78	07/01/20	4.77	2.99 W	0.00	13 of 149 - Sale ²⁵
	3.000	5.36	07/01/20	18.32	12.96 W	0.00	14 of 149 - Sale ²⁵
	6.000	10.71	07/01/20	35.02	24.31 W	0.00	15 of 149 - Sale ²⁵
	0.512	0.91	07/06/20	3.48	2.57 W	0.00	16 of 149 - Sale ²⁵
	1.000	1.79	07/06/20	6.74	4.95 W	0.00	17 of 149 - Sale ²⁵
	1.000	1.79	07/06/20	6.77	4.98 W	0.00	18 of 149 - Sale ²⁵
	7.488	13.36	07/06/20	50.76	37.40 W	0.00	19 of 149 - Sale ²⁵
	0.512	0.91	07/06/20	3.47	2.56 W	0.00	20 of 149 - Sale ²⁵
	1.000	1.79	07/06/20	6.73	4.94 W	0.00	21 of 149 - Sale ²⁵
	1.000	1.79	07/06/20	6.67	4.88 W	0.00	22 of 149 - Sale ²⁵
	2.488	4.44	07/06/20	17.07	12.63 W	0.00	23 of 149 - Sale ²⁵
	0.512	0.91	07/06/20	3.46	2.55 W	0.00	24 of 149 - Sale ²⁵
	1.000	1.83	07/06/20	8.35	6.52 W	0.00	25 of 149 - Sale ²⁵
	2.000	3.65	07/06/20	16.64	12.99 W	0.00	26 of 149 - Sale ²⁵
	0.488	0.89	07/06/20	4.34	3.45 W	0.00	27 of 149 - Sale ²⁵
	3.000	5.47	07/06/20	22.92	17.45 W	0.00	28 of 149 - Sale ²⁵
	3.000	5.48	07/06/20	22.97	17.49 W	0.00	29 of 149 - Sale ²⁵
	0.512	0.93	07/06/20	4.56	3.63 W	0.00	30 of 149 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	2.000	3.65	07/06/20	15.76	12.11 W	0.00	31 of 149 - Sale ²⁵
	1.000	1.82	07/06/20	7.45	5.63 W	0.00	32 of 149 - Sale ²⁵
	3.000	5.48	07/06/20	22.53	17.05 W	0.00	33 of 149 - Sale ²⁵
	0.488	0.89	07/06/20	3.53	2.64 W	0.00	34 of 149 - Sale ²⁵
	1.000	1.83	07/06/20	7.61	5.78 W	0.00	35 of 149 - Sale ²⁵
	0.488	0.89	07/06/20	3.51	2.62 W	0.00	36 of 149 - Sale ²⁵
	0.512	0.93	07/06/20	3.69	2.76 W	0.00	37 of 149 - Sale ²⁵
	2.000	3.65	07/06/20	14.17	10.52 W	0.00	38 of 149 - Sale ²⁵
	4.000	7.30	07/06/20	28.70	21.40 W	0.00	39 of 149 - Sale ²⁵
	0.512	0.94	07/06/20	3.97	3.03 W	0.00	40 of 149 - Sale ²⁵
	0.512	0.92	07/06/20	3.96	3.04 W	0.00	41 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	7.69	5.89 W	0.00	42 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	7.71	5.91 W	0.00	43 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	7.68	5.88 W	0.00	44 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	7.61	5.81 W	0.00	45 of 149 - Sale ²⁵
	7.488	13.48	07/06/20	57.88	44.40 W	0.00	46 of 149 - Sale ²⁵
	1.488	2.68	07/06/20	11.62	8.94 W	0.00	47 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	5.72	3.92 W	0.00	48 of 149 - Sale ²⁵
	3.000	5.40	07/06/20	21.17	15.77 W	0.00	49 of 149 - Sale ²⁵
	6.000	10.80	07/06/20	40.72	29.92 W	0.00	50 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	9.24	7.44 W	0.00	51 of 149 - Sale ²⁵
	2.000	3.60	07/06/20	18.43	14.83 W	0.00	52 of 149 - Sale ²⁵
	0.488	0.88	07/06/20	4.78	3.90 W	0.00	53 of 149 - Sale ²⁵
	0.512	0.92	07/06/20	5.02	4.10 W	0.00	54 of 149 - Sale ²⁵
	2.000	3.60	07/06/20	17.55	13.95 W	0.00	55 of 149 - Sale ²⁵
	1.488	2.68	07/06/20	12.51	9.83 W	0.00	56 of 149 - Sale ²⁵
	3.000	5.40	07/06/20	25.61	20.21 W	0.00	57 of 149 - Sale ²⁵
	3.000	5.40	07/06/20	25.65	20.25 W	0.00	58 of 149 - Sale ²⁵
	0.512	0.92	07/06/20	3.94	3.02 W	0.00	59 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	7.80	6.00 W	0.00	60 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	8.46	6.66 W	0.00	61 of 149 - Sale ²⁵
	0.488	0.88	07/06/20	3.93	3.05 W	0.00	62 of 149 - Sale ²⁵
	2.000	3.60	07/06/20	15.88	12.28 W	0.00	63 of 149 - Sale ²⁵
	3.000	5.40	07/06/20	24.09	18.69 W	0.00	64 of 149 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.80	07/06/20	8.31	6.51 W	0.00	65 of 149 - Sale ²⁵
	1.512	2.72	07/06/20	12.64	9.92 W	0.00	66 of 149 - Sale ²⁵
	0.488	0.88	07/06/20	3.95	3.07 W	0.00	67 of 149 - Sale ²⁵
	0.512	0.92	07/06/20	4.13	3.21 W	0.00	68 of 149 - Sale ²⁵
	1.000	1.80	07/06/20	8.01	6.21 W	0.00	69 of 149 - Sale ²⁵
	0.512	0.92	07/06/20	4.39	3.47 W	0.00	70 of 149 - Sale ²⁵
	1.000	1.82	07/06/20	8.56	6.74 W	0.00	71 of 149 - Sale ²⁵
	1.000	1.82	07/06/20	8.57	6.75 W	0.00	72 of 149 - Sale ²⁵
	1.488	2.71	07/06/20	12.79	10.08 W	0.00	73 of 149 - Sale ²⁵
	5.000	9.11	07/06/20	42.85	33.74 W	0.00	74 of 149 - Sale ²⁵
	1.000	1.82	07/06/20	10.11	8.29 W	0.00	75 of 149 - Sale ²⁵
	2.000	3.65	07/06/20	20.17	16.52 W	0.00	76 of 149 - Sale ²⁵
	0.488	0.89	07/06/20	5.20	4.31 W	0.00	77 of 149 - Sale ²⁵
	0.512	0.93	07/06/20	5.47	4.54 W	0.00	78 of 149 - Sale ²⁵
	2.000	3.65	07/06/20	19.29	15.64 W	0.00	79 of 149 - Sale ²⁵
	1.488	2.71	07/06/20	13.80	11.09 W	0.00	80 of 149 - Sale ²⁵
	2.512	4.58	07/06/20	23.63	19.05 W	0.00	81 of 149 - Sale ²⁵
	0.512	0.93	07/06/20	4.41	3.48 W	0.00	82 of 149 - Sale ²⁵
	1.000	1.82	07/06/20	8.60	6.78 W	0.00	83 of 149 - Sale ²⁵
	1.000	1.84	07/06/20	8.55	6.71 W	0.00	84 of 149 - Sale ²⁵
	1.000	1.83	07/06/20	8.48	6.65 W	0.00	85 of 149 - Sale ²⁵
	1.488	2.73	07/06/20	12.91	10.18 W	0.00	86 of 149 - Sale ²⁵
	1.000	1.83	07/06/20	6.59	4.76 W	0.00	87 of 149 - Sale ²⁵
	3.000	5.51	07/06/20	23.78	18.27 W	0.00	88 of 149 - Sale ²⁵
	6.000	11.01	07/06/20	45.94	34.93 W	0.00	89 of 149 - Sale ²⁵
	1.000	1.84	07/07/20	8.95	7.11 W	0.00	90 of 149 - Sale ²⁵
	0.488	0.90	07/07/20	4.17	3.27 W	0.00	91 of 149 - Sale ²⁵
	2.000	3.67	07/07/20	16.85	13.18 W	0.00	92 of 149 - Sale ²⁵
	0.024	0.04	07/07/20	0.20	0.16 W	0.00	93 of 149 - Sale ²⁵
	3.000	5.50	07/07/20	25.55	20.05 W	0.00	94 of 149 - Sale ²⁵
	1.000	1.84	07/07/20	10.76	8.92 W	0.00	95 of 149 - Sale ²⁵
	2.000	3.68	07/07/20	21.46	17.78 W	0.00	96 of 149 - Sale ²⁵
	0.488	0.90	07/07/20	5.52	4.62 W	0.00	97 of 149 - Sale ²⁵
	0.512	0.94	07/07/20	5.80	4.86 W	0.00	98 of 149 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.84	07/07/20	10.29	8.45 W	0.00	99 of 149 - Sale ²⁵
	1.512	2.78	07/07/20	13.94	11.16 W	0.00	100 of 149 - Sale ²⁵
	0.976	1.80	07/07/20	8.30	6.50 W	0.00	101 of 149 - Sale ²⁵
	1.000	1.84	07/07/20	8.80	6.96 W	0.00	102 of 149 - Sale ²⁵
	1.512	2.78	07/07/20	13.38	10.60 W	0.00	103 of 149 - Sale ²⁵
	0.488	0.90	07/07/20	4.41	3.51 W	0.00	104 of 149 - Sale ²⁵
	3.000	5.52	07/07/20	27.11	21.59 W	0.00	105 of 149 - Sale ²⁵
	0.488	0.90	07/07/20	4.19	3.29 W	0.00	106 of 149 - Sale ²⁵
	0.512	0.94	07/07/20	4.38	3.44 W	0.00	107 of 149 - Sale ²⁵
	0.512	0.94	07/07/20	4.64	3.70 W	0.00	108 of 149 - Sale ²⁵
	1.000	1.84	07/07/20	9.02	7.18 W	0.00	109 of 149 - Sale ²⁵
	1.000	1.84	07/07/20	9.04	7.20 W	0.00	110 of 149 - Sale ²⁵
	1.488	2.74	07/07/20	13.48	10.74 W	0.00	111 of 149 - Sale ²⁵
	0.512	0.94	07/07/20	4.19	3.25 W	0.00	112 of 149 - Sale ²⁵
	1.000	1.84	07/07/20	8.29	6.45 W	0.00	113 of 149 - Sale ²⁵
	3.488	6.28	07/07/20	32.15	25.87 W	0.00	114 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	11.26	9.46 W	0.00	115 of 149 - Sale ²⁵
	2.000	3.60	07/08/20	22.47	18.87 W	0.00	116 of 149 - Sale ²⁵
	0.488	0.88	07/08/20	5.76	4.88 W	0.00	117 of 149 - Sale ²⁵
	0.512	0.92	07/08/20	6.06	5.14 W	0.00	118 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	10.80	9.00 W	0.00	119 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	10.16	8.36 W	0.00	120 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	9.45	7.65 W	0.00	121 of 149 - Sale ²⁵
	0.488	0.88	07/08/20	4.41	3.53 W	0.00	122 of 149 - Sale ²⁵
	2.000	3.60	07/08/20	17.87	14.27 W	0.00	123 of 149 - Sale ²⁵
	0.024	0.04	07/08/20	0.22	0.18 W	0.00	124 of 149 - Sale ²⁵
	0.976	1.76	07/08/20	8.79	7.03 W	0.00	125 of 149 - Sale ²⁵
	1.488	2.68	07/08/20	14.58	11.90 W	0.00	126 of 149 - Sale ²⁵
	3.000	5.40	07/08/20	27.08	21.68 W	0.00	127 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	9.30	7.50 W	0.00	128 of 149 - Sale ²⁵
	1.512	2.72	07/08/20	14.15	11.43 W	0.00	129 of 149 - Sale ²⁵
	2.512	4.52	07/08/20	24.94	20.42 W	0.00	130 of 149 - Sale ²⁵
	0.488	0.88	07/08/20	4.65	3.77 W	0.00	131 of 149 - Sale ²⁵
	3.000	5.40	07/08/20	28.63	23.23 W	0.00	132 of 149 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	0.488	0.88	07/08/20	4.43	3.55 W	0.00	133 of 149 - Sale ²⁵
	0.512	0.92	07/08/20	4.64	3.72 W	0.00	134 of 149 - Sale ²⁵
	0.512	0.92	07/08/20	4.90	3.98 W	0.00	135 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	9.53	7.73 W	0.00	136 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	9.54	7.74 W	0.00	137 of 149 - Sale ²⁵
	1.488	2.68	07/08/20	14.23	11.55 W	0.00	138 of 149 - Sale ²⁵
	1.512	2.72	07/08/20	14.71	11.99 W	0.00	139 of 149 - Sale ²⁵
	0.512	0.92	07/08/20	4.68	3.76 W	0.00	140 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	9.12	7.32 W	0.00	141 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	9.05	7.25 W	0.00	142 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	8.99	7.19 W	0.00	143 of 149 - Sale ²⁵
	1.488	2.68	07/08/20	13.67	10.99 W	0.00	144 of 149 - Sale ²⁵
	0.512	0.92	07/08/20	4.45	3.53 W	0.00	145 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	7.10	5.30 W	0.00	146 of 149 - Sale ²⁵
	1.000	1.80	07/08/20	8.80	7.00 W	0.00	147 of 149 - Sale ²⁵
	3.000	5.40	07/08/20	25.30	19.90 W	0.00	148 of 149 - Sale ²⁵
	6.000	10.80	07/08/20	49.00	38.20 W	0.00	149 of 149 - Sale ²⁵
07/27/20	235.000	424.82	Various	1,908.52	1,483.70 W	0.00	Total of 149 transactions
	19 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	3.488	5.81	07/08/20	34.05	28.24 W	0.00	1 of 19 - Sale ²⁵
	1.000	1.66	07/08/20	11.80	10.14 W	0.00	2 of 19 - Sale ²⁵
	2.000	3.33	07/08/20	23.56	20.23 W	0.00	3 of 19 - Sale ²⁵
	0.488	0.81	07/08/20	6.02	5.21 W	0.00	4 of 19 - Sale ²⁵
	0.512	0.85	07/08/20	6.34	5.49 W	0.00	5 of 19 - Sale ²⁵
	1.000	1.67	07/08/20	11.35	9.68 W	0.00	6 of 19 - Sale ²⁵
	1.000	1.67	07/08/20	10.71	9.04 W	0.00	7 of 19 - Sale ²⁵
	1.000	1.66	07/08/20	9.99	8.33 W	0.00	8 of 19 - Sale ²⁵
	0.488	0.81	07/08/20	4.67	3.86 W	0.00	9 of 19 - Sale ²⁵
	2.000	3.33	07/08/20	18.96	15.63 W	0.00	10 of 19 - Sale ²⁵
	0.024	0.04	07/08/20	0.24	0.20 W	0.00	11 of 19 - Sale ²⁵
	0.976	1.62	07/08/20	9.32	7.70 W	0.00	12 of 19 - Sale ²⁵
	1.488	2.48	07/08/20	15.39	12.91 W	0.00	13 of 19 - Sale ²⁵
	3.000	5.00	07/08/20	28.72	23.72 W	0.00	14 of 19 - Sale ²⁵
	1.000	1.67	07/08/20	9.84	8.17 W	0.00	15 of 19 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.512	2.52	07/08/20	14.98	12.46 W	0.00	16 of 19 - Sale ²⁵
	2.512	4.18	07/08/20	26.31	22.13 W	0.00	17 of 19 - Sale ²⁵
	0.488	0.81	07/08/20	4.91	4.10 W	0.00	18 of 19 - Sale ²⁵
	1.024	1.71	07/08/20	10.33	8.62 W	0.00	19 of 19 - Sale ²⁵
07/28/20	25.000	41.63	Various	257.49	215.86 W	0.00	Total of 19 transactions
	180 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.976	3.12	07/08/20	19.94	16.82 W	0.00	1 of 180 - Sale ²⁵
	0.488	0.77	07/08/20	4.69	3.92 W	0.00	2 of 180 - Sale ²⁵
	0.512	0.81	07/08/20	4.92	4.11 W	0.00	3 of 180 - Sale ²⁵
	0.512	0.81	07/08/20	5.18	4.37 W	0.00	4 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	10.08	8.50 W	0.00	5 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	10.09	8.51 W	0.00	6 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	12.49	10.91 W	0.00	7 of 180 - Sale ²⁵
	2.000	3.16	07/08/20	24.93	21.77 W	0.00	8 of 180 - Sale ²⁵
	0.488	0.77	07/08/20	6.36	5.59 W	0.00	9 of 180 - Sale ²⁵
	0.512	0.81	07/08/20	6.69	5.88 W	0.00	10 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	12.03	10.45 W	0.00	11 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	11.39	9.81 W	0.00	12 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	10.68	9.10 W	0.00	13 of 180 - Sale ²⁵
	0.488	0.77	07/08/20	5.01	4.24 W	0.00	14 of 180 - Sale ²⁵
	2.000	3.16	07/08/20	20.33	17.17 W	0.00	15 of 180 - Sale ²⁵
	0.024	0.04	07/08/20	0.26	0.22 W	0.00	16 of 180 - Sale ²⁵
	0.976	1.54	07/08/20	9.99	8.45 W	0.00	17 of 180 - Sale ²⁵
	1.488	2.35	07/08/20	16.41	14.06 W	0.00	18 of 180 - Sale ²⁵
	3.000	4.74	07/08/20	30.77	26.03 W	0.00	19 of 180 - Sale ²⁵
	2.024	3.20	07/08/20	22.58	19.38 W	0.00	20 of 180 - Sale ²⁵
	3.488	5.51	07/08/20	36.44	30.93 W	0.00	21 of 180 - Sale ²⁵
	1.488	2.35	07/08/20	15.05	12.70 W	0.00	22 of 180 - Sale ²⁵
	1.512	2.39	07/08/20	15.54	13.15 W	0.00	23 of 180 - Sale ²⁵
	0.512	0.81	07/08/20	4.96	4.15 W	0.00	24 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	9.67	8.09 W	0.00	25 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	9.60	8.02 W	0.00	26 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	9.54	7.96 W	0.00	27 of 180 - Sale ²⁵
	1.488	2.35	07/08/20	14.49	12.14 W	0.00	28 of 180 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	0.512	0.81	07/08/20	4.73	3.92 W	0.00	29 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	7.65	6.07 W	0.00	30 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	9.35	7.77 W	0.00	31 of 180 - Sale ²⁵
	3.000	4.74	07/08/20	26.95	22.21 W	0.00	32 of 180 - Sale ²⁵
	6.000	9.48	07/08/20	52.30	42.82 W	0.00	33 of 180 - Sale ²⁵
	0.488	0.77	07/08/20	5.44	4.67 W	0.00	34 of 180 - Sale ²⁵
	1.000	1.58	07/08/20	10.52	8.94 W	0.00	35 of 180 - Sale ²⁵
	1.512	2.39	07/08/20	16.01	13.62 W	0.00	36 of 180 - Sale ²⁵
	0.488	0.77	07/08/20	5.24	4.47 W	0.00	37 of 180 - Sale ²⁵
	1.024	1.62	07/08/20	11.02	9.40 W	0.00	38 of 180 - Sale ²⁵
	0.488	0.77	07/08/20	1.15	0.38 W	0.00	39 of 180 - Sale ²⁵
	19.512	30.82	07/08/20	45.76	14.94 W	0.00	40 of 180 - Sale ²⁵
	1.976	3.26	07/08/20	21.45	18.19 W	0.00	41 of 180 - Sale ²⁵
	0.488	0.81	07/08/20	5.06	4.25 W	0.00	42 of 180 - Sale ²⁵
	0.512	0.84	07/08/20	5.31	4.47 W	0.00	43 of 180 - Sale ²⁵
	0.512	0.84	07/08/20	5.57	4.73 W	0.00	44 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	10.85	9.20 W	0.00	45 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	10.86	9.21 W	0.00	46 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	13.26	11.61 W	0.00	47 of 180 - Sale ²⁵
	2.000	3.30	07/08/20	26.46	23.16 W	0.00	48 of 180 - Sale ²⁵
	0.488	0.81	07/08/20	6.73	5.92 W	0.00	49 of 180 - Sale ²⁵
	0.512	0.84	07/08/20	7.08	6.24 W	0.00	50 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	12.80	11.15 W	0.00	51 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	12.15	10.50 W	0.00	52 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	11.45	9.80 W	0.00	53 of 180 - Sale ²⁵
	0.488	0.81	07/08/20	5.38	4.57 W	0.00	54 of 180 - Sale ²⁵
	2.000	3.30	07/08/20	21.86	18.56 W	0.00	55 of 180 - Sale ²⁵
	0.024	0.04	07/08/20	0.28	0.24 W	0.00	56 of 180 - Sale ²⁵
	0.976	1.61	07/08/20	10.74	9.13 W	0.00	57 of 180 - Sale ²⁵
	1.488	2.46	07/08/20	17.55	15.09 W	0.00	58 of 180 - Sale ²⁵
	3.000	4.95	07/08/20	33.07	28.12 W	0.00	59 of 180 - Sale ²⁵
	2.024	3.34	07/08/20	24.13	20.79 W	0.00	60 of 180 - Sale ²⁵
	3.488	5.75	07/08/20	39.10	33.35 W	0.00	61 of 180 - Sale ²⁵
	1.488	2.46	07/08/20	16.19	13.73 W	0.00	62 of 180 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.512	2.49	07/08/20	16.70	14.21 W	0.00	63 of 180 - Sale ²⁵
	0.512	0.84	07/08/20	5.35	4.51 W	0.00	64 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	10.43	8.78 W	0.00	65 of 180 - Sale ²⁵
	0.488	0.81	07/08/20	5.81	5.00 W	0.00	66 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	11.28	9.63 W	0.00	67 of 180 - Sale ²⁵
	1.512	2.49	07/08/20	17.17	14.68 W	0.00	68 of 180 - Sale ²⁵
	0.488	0.81	07/08/20	5.61	4.80 W	0.00	69 of 180 - Sale ²⁵
	1.024	1.69	07/08/20	11.80	10.11 W	0.00	70 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	10.37	8.72 W	0.00	71 of 180 - Sale ²⁵
	1.000	1.65	07/08/20	10.31	8.66 W	0.00	72 of 180 - Sale ²⁵
	1.488	2.46	07/08/20	15.63	13.17 W	0.00	73 of 180 - Sale ²⁵
	0.512	0.84	07/08/20	4.99	4.15 W	0.00	74 of 180 - Sale ²⁵
	0.512	0.92	07/08/20	5.12	4.20 W	0.00	75 of 180 - Sale ²⁵
	1.000	1.80	07/08/20	8.41	6.61 W	0.00	76 of 180 - Sale ²⁵
	1.000	1.80	07/08/20	10.12	8.32 W	0.00	77 of 180 - Sale ²⁵
	2.488	4.48	07/08/20	24.25	19.77 W	0.00	78 of 180 - Sale ²⁵
	6.000	10.80	07/08/20	56.89	46.09 W	0.00	79 of 180 - Sale ²⁵
	0.488	0.88	07/08/20	1.53	0.65 W	0.00	80 of 180 - Sale ²⁵
	5.000	9.00	07/08/20	15.56	6.56 W	0.00	81 of 180 - Sale ²⁵
	0.488	0.88	07/08/20	5.63	4.75 W	0.00	82 of 180 - Sale ²⁵
	8.024	14.44	07/08/20	24.96	10.52 W	0.00	83 of 180 - Sale ²⁵
	6.488	11.94	07/08/20	20.19	8.25 W	0.00	84 of 180 - Sale ²⁵
	1.000	1.84	07/08/20	13.95	12.11 W	0.00	85 of 180 - Sale ²⁵
	2.000	3.68	07/08/20	27.85	24.17 W	0.00	86 of 180 - Sale ²⁵
	0.488	0.90	07/08/20	7.06	6.16 W	0.00	87 of 180 - Sale ²⁵
	0.512	0.94	07/08/20	7.44	6.50 W	0.00	88 of 180 - Sale ²⁵
	1.000	1.84	07/08/20	13.50	11.66 W	0.00	89 of 180 - Sale ²⁵
	1.488	2.74	07/08/20	17.19	14.45 W	0.00	90 of 180 - Sale ²⁵
	0.488	0.90	07/08/20	5.39	4.49 W	0.00	91 of 180 - Sale ²⁵
	0.512	0.94	07/08/20	5.67	4.73 W	0.00	92 of 180 - Sale ²⁵
	0.512	0.94	07/08/20	5.93	4.99 W	0.00	93 of 180 - Sale ²⁵
	1.000	1.84	07/08/20	11.55	9.71 W	0.00	94 of 180 - Sale ²⁵
	1.000	1.84	07/08/20	11.56	9.72 W	0.00	95 of 180 - Sale ²⁵
	1.000	1.84	07/08/20	12.86	11.02 W	0.00	96 of 180 - Sale ²⁵

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Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.84	07/08/20	12.16	10.32 W	0.00	97 of 180 - Sale ²⁵
	0.488	0.90	07/08/20	5.72	4.82 W	0.00	98 of 180 - Sale ²⁵
	2.000	3.68	07/08/20	23.27	19.59 W	0.00	99 of 180 - Sale ²⁵
	0.512	0.94	07/08/20	6.39	5.45 W	0.00	100 of 180 - Sale ²⁵
	0.024	0.04	07/08/20	0.29	0.25 W	0.00	101 of 180 - Sale ²⁵
	0.976	1.80	07/08/20	12.20	10.40 W	0.00	102 of 180 - Sale ²⁵
	2.512	4.62	07/09/20	29.44	24.82 W	0.00	103 of 180 - Sale ²⁵
	0.024	0.05	07/09/20	0.30	0.25 W	0.00	104 of 180 - Sale ²⁵
	0.976	1.95	07/09/20	11.42	9.47 W	0.00	105 of 180 - Sale ²⁵
	0.464	0.93	07/09/20	5.44	4.51 W	0.00	106 of 180 - Sale ²⁵
	0.488	0.98	07/09/20	6.15	5.17 W	0.00	107 of 180 - Sale ²⁵
	1.000	2.00	07/09/20	11.98	9.98 W	0.00	108 of 180 - Sale ²⁵
	1.512	3.02	07/09/20	18.23	15.21 W	0.00	109 of 180 - Sale ²⁵
	2.024	4.05	07/09/20	25.55	21.50 W	0.00	110 of 180 - Sale ²⁵
	0.488	0.98	07/09/20	5.95	4.97 W	0.00	111 of 180 - Sale ²⁵
	1.024	2.05	07/09/20	12.52	10.47 W	0.00	112 of 180 - Sale ²⁵
	3.488	6.97	07/09/20	41.55	34.58 W	0.00	113 of 180 - Sale ²⁵
	1.488	2.98	07/09/20	17.23	14.25 W	0.00	114 of 180 - Sale ²⁵
	1.512	3.02	07/09/20	17.76	14.74 W	0.00	115 of 180 - Sale ²⁵
	0.488	0.98	07/09/20	5.37	4.39 W	0.00	116 of 180 - Sale ²⁵
	0.512	1.02	07/09/20	5.71	4.69 W	0.00	117 of 180 - Sale ²⁵
	1.000	2.00	07/09/20	11.13	9.13 W	0.00	118 of 180 - Sale ²⁵
	1.000	2.00	07/09/20	11.07	9.07 W	0.00	119 of 180 - Sale ²⁵
	0.512	1.02	07/09/20	5.63	4.61 W	0.00	120 of 180 - Sale ²⁵
	0.008	0.02	07/09/20	0.09	0.07 W	0.00	121 of 180 - Sale ²⁵
	0.488	0.98	07/10/20	5.88	4.90 W	0.00	122 of 180 - Sale ²⁵
	1.480	2.96	07/10/20	16.53	13.57 W	0.00	123 of 180 - Sale ²⁵
	0.512	1.02	07/10/20	5.34	4.32 W	0.00	124 of 180 - Sale ²⁵
	0.512	1.03	07/10/20	5.39	4.36 W	0.00	125 of 180 - Sale ²⁵
	1.000	2.00	07/10/20	8.93	6.93 W	0.00	126 of 180 - Sale ²⁵
	1.000	2.00	07/10/20	10.64	8.64 W	0.00	127 of 180 - Sale ²⁵
	2.488	4.97	07/10/20	25.54	20.57 W	0.00	128 of 180 - Sale ²⁵
	0.512	1.02	07/10/20	5.12	4.10 W	0.00	129 of 180 - Sale ²⁵
	5.488	10.54	07/10/20	54.89	44.35 W	0.00	130 of 180 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	0.488	0.94	07/10/20	1.78	0.84 W	0.00	131 of 180 - Sale ²⁵
	5.000	9.60	07/10/20	18.16	8.56 W	0.00	132 of 180 - Sale ²⁵
	6.032	11.58	07/10/20	21.91	10.33 W	0.00	133 of 180 - Sale ²⁵
	1.000	1.92	07/13/20	14.41	12.49 W	0.00	134 of 180 - Sale ²⁵
	1.992	3.82	07/13/20	28.66	24.84 W	0.00	135 of 180 - Sale ²⁵
	0.008	0.02	07/13/20	0.11	0.09 W	0.00	136 of 180 - Sale ²⁵
	0.488	0.94	07/13/20	7.28	6.34 W	0.00	137 of 180 - Sale ²⁵
	0.512	0.99	07/13/20	7.68	6.69 W	0.00	138 of 180 - Sale ²⁵
	1.000	1.93	07/13/20	13.96	12.03 W	0.00	139 of 180 - Sale ²⁵
	1.000	1.93	07/13/20	13.32	11.39 W	0.00	140 of 180 - Sale ²⁵
	1.000	1.93	07/13/20	12.62	10.69 W	0.00	141 of 180 - Sale ²⁵
	0.488	0.94	07/13/20	5.94	5.00 W	0.00	142 of 180 - Sale ²⁵
	2.000	3.86	07/13/20	24.19	20.33 W	0.00	143 of 180 - Sale ²⁵
	0.024	0.05	07/13/20	0.31	0.26 W	0.00	144 of 180 - Sale ²⁵
	0.512	0.99	07/13/20	6.63	5.64 W	0.00	145 of 180 - Sale ²⁵
	0.976	1.88	07/13/20	12.65	10.77 W	0.00	146 of 180 - Sale ²⁵
	0.520	1.00	07/13/20	6.33	5.33 W	0.00	147 of 180 - Sale ²⁵
	1.488	2.87	07/13/20	17.87	15.00 W	0.00	148 of 180 - Sale ²⁵
	0.488	0.94	07/13/20	5.61	4.67 W	0.00	149 of 180 - Sale ²⁵
	0.512	0.99	07/13/20	5.91	4.92 W	0.00	150 of 180 - Sale ²⁵
	0.512	0.99	07/13/20	6.17	5.18 W	0.00	151 of 180 - Sale ²⁵
	1.000	1.93	07/13/20	12.01	10.08 W	0.00	152 of 180 - Sale ²⁵
	1.000	1.93	07/13/20	12.02	10.09 W	0.00	153 of 180 - Sale ²⁵
	1.992	3.84	07/13/20	7.19	3.35 W	0.00	154 of 180 - Sale ²⁵
	6.488	12.52	07/13/20	23.17	10.65 W	0.00	155 of 180 - Sale ²⁵
	0.024	0.05	07/13/20	0.31	0.26 W	0.00	156 of 180 - Sale ²⁵
	0.464	0.90	07/13/20	5.58	4.68 W	0.00	157 of 180 - Sale ²⁵
	0.512	0.98	07/13/20	6.14	5.16 W	0.00	158 of 180 - Sale ²⁵
	1.992	3.85	07/13/20	24.26	20.41 W	0.00	159 of 180 - Sale ²⁵
	0.464	0.82	07/13/20	5.57	4.75 W	0.00	160 of 180 - Sale ²⁵
	0.488	0.86	07/13/20	6.29	5.43 W	0.00	161 of 180 - Sale ²⁵
	1.000	1.76	07/13/20	12.28	10.52 W	0.00	162 of 180 - Sale ²⁵
	1.512	2.66	07/13/20	18.69	16.03 W	0.00	163 of 180 - Sale ²⁵
	2.024	3.56	07/13/20	26.16	22.60 W	0.00	164 of 180 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	0.488	0.86	07/13/20	6.09	5.23 W	0.00	165 of 180 - Sale ²⁵
	1.024	1.80	07/13/20	12.82	11.02 W	0.00	166 of 180 - Sale ²⁵
	3.488	6.14	07/13/20	42.60	36.46 W	0.00	167 of 180 - Sale ²⁵
	0.520	0.92	07/13/20	6.18	5.26 W	0.00	168 of 180 - Sale ²⁵
	0.488	0.86	07/14/20	5.97	5.11 W	0.00	169 of 180 - Sale ²⁵
	0.968	1.70	07/14/20	11.40	9.70 W	0.00	170 of 180 - Sale ²⁵
	1.512	2.66	07/14/20	18.07	15.41 W	0.00	171 of 180 - Sale ²⁵
	0.488	0.86	07/14/20	5.46	4.60 W	0.00	172 of 180 - Sale ²⁵
	0.512	0.90	07/14/20	5.82	4.92 W	0.00	173 of 180 - Sale ²⁵
	0.512	0.90	07/14/20	5.74	4.84 W	0.00	174 of 180 - Sale ²⁵
	1.000	1.76	07/14/20	11.33	9.57 W	0.00	175 of 180 - Sale ²⁵
	1.000	1.76	07/14/20	11.27	9.51 W	0.00	176 of 180 - Sale ²⁵
	0.008	0.01	07/14/20	0.09	0.08 W	0.00	177 of 180 - Sale ²⁵
	1.480	2.61	07/14/20	16.82	14.21 W	0.00	178 of 180 - Sale ²⁵
	0.512	0.90	07/14/20	5.45	4.55 W	0.00	179 of 180 - Sale ²⁵
	0.512	0.90	07/14/20	5.36	4.46 W	0.00	180 of 180 - Sale ²⁵
08/03/20	250.000	439.79	Various	2,314.07	1,874.28 W	0.00	Total of 180 transactions
	70 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.008	1.22	07/14/20	10.55	9.33 W	0.00	1 of 70 - Sale ²⁵
	0.512	0.62	07/15/20	5.53	4.91 W	0.00	2 of 70 - Sale ²⁵
	0.968	1.18	07/15/20	10.21	9.03 W	0.00	3 of 70 - Sale ²⁵
	1.000	1.21	07/15/20	9.21	8.00 W	0.00	4 of 70 - Sale ²⁵
	1.000	1.21	07/15/20	10.92	9.71 W	0.00	5 of 70 - Sale ²⁵
	0.512	0.62	07/15/20	5.27	4.65 W	0.00	6 of 70 - Sale ²⁵
	5.488	6.67	07/15/20	56.86	50.19 W	0.00	7 of 70 - Sale ²⁵
	0.488	0.59	07/15/20	1.95	1.36 W	0.00	8 of 70 - Sale ²⁵
	5.000	6.08	07/15/20	19.96	13.88 W	0.00	9 of 70 - Sale ²⁵
	5.032	6.11	07/15/20	20.09	13.98 W	0.00	10 of 70 - Sale ²⁵
	0.008	0.01	07/16/20	0.11	0.10 W	0.00	11 of 70 - Sale ²⁵
	1.000	1.22	07/16/20	14.72	13.50 W	0.00	12 of 70 - Sale ²⁵
	1.992	2.42	07/16/20	29.28	26.86 W	0.00	13 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	7.43	6.84 W	0.00	14 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	7.83	7.21 W	0.00	15 of 70 - Sale ²⁵
	1.000	1.22	07/16/20	14.26	13.04 W	0.00	16 of 70 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.21	07/16/20	13.62	12.41 W	0.00	17 of 70 - Sale ²⁵
	1.000	1.22	07/16/20	12.92	11.70 W	0.00	18 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	6.09	5.50 W	0.00	19 of 70 - Sale ²⁵
	2.000	2.43	07/16/20	24.79	22.36 W	0.00	20 of 70 - Sale ²⁵
	0.024	0.03	07/16/20	0.31	0.28 W	0.00	21 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	6.78	6.16 W	0.00	22 of 70 - Sale ²⁵
	0.976	1.19	07/16/20	12.95	11.76 W	0.00	23 of 70 - Sale ²⁵
	0.520	0.63	07/16/20	6.49	5.86 W	0.00	24 of 70 - Sale ²⁵
	1.488	1.81	07/16/20	18.32	16.51 W	0.00	25 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	5.76	5.17 W	0.00	26 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	6.06	5.44 W	0.00	27 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	6.32	5.70 W	0.00	28 of 70 - Sale ²⁵
	1.000	1.22	07/16/20	12.31	11.09 W	0.00	29 of 70 - Sale ²⁵
	1.000	1.22	07/16/20	12.32	11.10 W	0.00	30 of 70 - Sale ²⁵
	1.000	1.21	07/16/20	3.94	2.73 W	0.00	31 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	1.89	1.30 W	0.00	32 of 70 - Sale ²⁵
	1.992	2.42	07/16/20	7.79	5.37 W	0.00	33 of 70 - Sale ²⁵
	0.024	0.03	07/16/20	0.31	0.28 W	0.00	34 of 70 - Sale ²⁵
	0.464	0.56	07/16/20	5.71	5.15 W	0.00	35 of 70 - Sale ²⁵
	0.464	0.56	07/16/20	5.78	5.22 W	0.00	36 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	6.29	5.67 W	0.00	37 of 70 - Sale ²⁵
	1.992	2.42	07/16/20	24.81	22.39 W	0.00	38 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	6.51	5.92 W	0.00	39 of 70 - Sale ²⁵
	1.000	1.22	07/16/20	12.73	11.51 W	0.00	40 of 70 - Sale ²⁵
	1.512	1.84	07/16/20	19.37	17.53 W	0.00	41 of 70 - Sale ²⁵
	2.024	2.46	07/16/20	27.07	24.61 W	0.00	42 of 70 - Sale ²⁵
	0.032	0.04	07/16/20	0.41	0.37 W	0.00	43 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	6.31	5.72 W	0.00	44 of 70 - Sale ²⁵
	6.000	7.29	07/16/20	23.11	15.82 W	0.00	45 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	6.19	5.60 W	0.00	46 of 70 - Sale ²⁵
	0.992	1.21	07/16/20	12.88	11.67 W	0.00	47 of 70 - Sale ²⁵
	3.488	4.24	07/16/20	44.20	39.96 W	0.00	48 of 70 - Sale ²⁵
	0.520	0.63	07/16/20	6.41	5.78 W	0.00	49 of 70 - Sale ²⁵
	0.968	1.18	07/16/20	11.85	10.67 W	0.00	50 of 70 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.512	1.84	07/16/20	18.77	16.93 W	0.00	51 of 70 - Sale ²⁵
	0.488	0.59	07/16/20	5.68	5.09 W	0.00	52 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	6.06	5.44 W	0.00	53 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	5.98	5.36 W	0.00	54 of 70 - Sale ²⁵
	1.000	1.22	07/16/20	11.79	10.57 W	0.00	55 of 70 - Sale ²⁵
	1.000	1.21	07/16/20	11.73	10.52 W	0.00	56 of 70 - Sale ²⁵
	0.008	0.01	07/16/20	0.10	0.09 W	0.00	57 of 70 - Sale ²⁵
	1.480	1.80	07/16/20	17.50	15.70 W	0.00	58 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	5.69	5.07 W	0.00	59 of 70 - Sale ²⁵
	0.512	0.62	07/16/20	5.60	4.98 W	0.00	60 of 70 - Sale ²⁵
	1.008	1.23	07/16/20	2.23	1.00 W	0.00	61 of 70 - Sale ²⁵
	2.000	2.43	07/16/20	4.46	2.03 W	0.00	62 of 70 - Sale ²⁵
	15.000	18.23	07/16/20	33.45	15.22 W	0.00	63 of 70 - Sale ²⁵
	1.000	1.21	07/16/20	2.23	1.02 W	0.00	64 of 70 - Sale ²⁵
	10.992	13.36	07/16/20	24.51	11.15 W	0.00	65 of 70 - Sale ²⁵
	4.008	4.93	07/16/20	8.94	4.01 W	0.00	66 of 70 - Sale ²⁵
	15.000	18.45	07/20/20	32.25	13.80 W	0.00	67 of 70 - Sale ²⁵
	4.000	4.92	07/20/20	8.40	3.48 W	0.00	68 of 70 - Sale ²⁵
	20.000	24.60	07/20/20	41.40	16.80 W	0.00	69 of 70 - Sale ²⁵
	6.992	8.60	07/21/20	13.84	5.24 W	0.00	70 of 70 - Sale ²⁵
09/02/20	150.000	182.99	Various	863.39	680.40 W	0.00	Total of 70 transactions
	5 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	3.008	3.14	07/21/20	5.96	2.82 W	0.00	1 of 5 - Sale ²⁵
	6.992	7.31	07/21/20	13.84	6.53 W	0.00	2 of 5 - Sale ²⁵
	12.008	12.61	07/21/20	23.78	11.17 W	0.00	3 of 5 - Sale ²⁵
	1.000	1.05	07/21/20	1.98	0.93 W	0.00	4 of 5 - Sale ²⁵
	1.992	2.09	07/21/20	3.94	1.85 W	0.00	5 of 5 - Sale ²⁵
09/09/20	25.000	26.20	Various	49.50	23.30 W	0.00	Total of 5 transactions
	75 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	18.008	21.79	07/21/20	35.66	13.87 W	0.00	1 of 75 - Sale ²⁵
	25.000	30.25	07/21/20	49.00	18.75 W	0.00	2 of 75 - Sale ²⁵
	8.000	9.68	07/21/20	15.68	6.00 W	0.00	3 of 75 - Sale ²⁵
	3.000	3.63	07/23/20	5.49	1.86 W	0.00	4 of 75 - Sale ²⁵
	30.000	36.29	07/23/20	54.90	18.61 W	0.00	5 of 75 - Sale ²⁵

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Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.008	1.22	08/07/20	10.92	9.70 W	0.00	6 of 75 - Sale ²⁵
	0.512	0.62	08/07/20	5.72	5.10 W	0.00	7 of 75 - Sale ²⁵
	0.968	1.17	08/07/20	10.56	9.39 W	0.00	8 of 75 - Sale ²⁵
	1.000	1.21	08/07/20	9.58	8.37 W	0.00	9 of 75 - Sale ²⁵
	1.000	1.21	08/07/20	11.29	10.08 W	0.00	10 of 75 - Sale ²⁵
	0.512	0.62	08/07/20	5.46	4.84 W	0.00	11 of 75 - Sale ²⁵
	5.488	6.64	08/07/20	58.86	52.22 W	0.00	12 of 75 - Sale ²⁵
	0.488	0.59	08/07/20	2.13	1.54 W	0.00	13 of 75 - Sale ²⁵
	4.024	4.87	08/07/20	17.53	12.66 W	0.00	14 of 75 - Sale ²⁵
	0.976	1.18	08/10/20	4.30	3.12 W	0.00	15 of 75 - Sale ²⁵
	0.016	0.02	08/10/20	0.07	0.05 W	0.00	16 of 75 - Sale ²⁵
	2.689	3.47	08/10/20	11.86	8.39 W	0.00	17 of 75 - Sale ²⁵
	1.000	1.29	08/11/20	13.99	12.70 W	0.00	18 of 75 - Sale ²⁵
	1.000	1.29	08/11/20	13.28	11.99 W	0.00	19 of 75 - Sale ²⁵
	0.488	0.63	08/11/20	6.27	5.64 W	0.00	20 of 75 - Sale ²⁵
	2.000	2.58	08/11/20	25.52	22.94 W	0.00	21 of 75 - Sale ²⁵
	0.024	0.03	08/11/20	0.32	0.29 W	0.00	22 of 75 - Sale ²⁵
	0.512	0.66	08/11/20	6.97	6.31 W	0.00	23 of 75 - Sale ²⁵
	0.976	1.26	08/11/20	13.30	12.04 W	0.00	24 of 75 - Sale ²⁵
	0.024	0.03	08/11/20	0.32	0.29 W	0.00	25 of 75 - Sale ²⁵
	0.464	0.60	08/11/20	5.88	5.28 W	0.00	26 of 75 - Sale ²⁵
	0.464	0.60	08/11/20	5.95	5.35 W	0.00	27 of 75 - Sale ²⁵
	0.512	0.66	08/11/20	6.48	5.82 W	0.00	28 of 75 - Sale ²⁵
	0.520	0.67	08/11/20	6.68	6.01 W	0.00	29 of 75 - Sale ²⁵
	1.992	2.57	08/11/20	25.54	22.97 W	0.00	30 of 75 - Sale ²⁵
	0.193	0.25	08/11/20	2.64	2.39 W	0.00	31 of 75 - Sale ²⁵
	2.024	2.61	08/11/20	27.81	25.20 W	0.00	32 of 75 - Sale ²⁵
	1.488	1.92	08/11/20	18.86	16.94 W	0.00	33 of 75 - Sale ²⁵
	0.488	0.63	08/11/20	5.94	5.31 W	0.00	34 of 75 - Sale ²⁵
	0.512	0.66	08/11/20	6.25	5.59 W	0.00	35 of 75 - Sale ²⁵
	0.512	0.66	08/11/20	6.51	5.85 W	0.00	36 of 75 - Sale ²⁵
	1.000	1.29	08/11/20	12.67	11.38 W	0.00	37 of 75 - Sale ²⁵
	1.000	1.29	08/11/20	12.68	11.39 W	0.00	38 of 75 - Sale ²⁵
	2.327	3.00	08/11/20	10.14	7.14 W	0.00	39 of 75 - Sale ²⁵

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Account 703519173

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2020 1099-B* OMB No. 1545-0715

(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.29	08/11/20	4.31	3.02 W	0.00	40 of 75 - Sale ²⁵
	0.488	0.63	08/11/20	2.07	1.44 W	0.00	41 of 75 - Sale ²⁵
	1.992	2.57	08/11/20	8.52	5.95 W	0.00	42 of 75 - Sale ²⁵
	0.488	0.63	08/12/20	6.34	5.71 W	0.00	43 of 75 - Sale ²⁵
	0.295	0.38	08/12/20	4.03	3.65 W	0.00	44 of 75 - Sale ²⁵
	1.000	1.29	08/12/20	13.02	11.73 W	0.00	45 of 75 - Sale ²⁵
	1.512	1.95	08/12/20	19.82	17.87 W	0.00	46 of 75 - Sale ²⁵
	0.032	0.04	08/12/20	0.42	0.38 W	0.00	47 of 75 - Sale ²⁵
	0.488	0.63	08/12/20	6.46	5.83 W	0.00	48 of 75 - Sale ²⁵
	0.992	1.28	08/12/20	13.17	11.89 W	0.00	49 of 75 - Sale ²⁵
	3.488	4.50	08/12/20	45.25	40.75 W	0.00	50 of 75 - Sale ²⁵
	0.520	0.67	08/12/20	6.57	5.90 W	0.00	51 of 75 - Sale ²⁵
	0.968	1.25	08/12/20	12.14	10.89 W	0.00	52 of 75 - Sale ²⁵
	1.512	1.95	08/12/20	19.22	17.27 W	0.00	53 of 75 - Sale ²⁵
	0.193	0.25	08/12/20	2.30	2.05 W	0.00	54 of 75 - Sale ²⁵
	0.512	0.66	08/12/20	6.21	5.55 W	0.00	55 of 75 - Sale ²⁵
	1.000	1.29	08/12/20	12.08	10.79 W	0.00	56 of 75 - Sale ²⁵
	1.000	1.29	08/12/20	12.04	10.75 W	0.00	57 of 75 - Sale ²⁵
	6.000	7.74	08/12/20	24.91	17.17 W	0.00	58 of 75 - Sale ²⁵
	0.295	0.38	08/17/20	3.53	3.15 W	0.00	59 of 75 - Sale ²⁵
	0.512	0.66	08/17/20	6.15	5.49 W	0.00	60 of 75 - Sale ²⁵
	0.008	0.01	08/17/20	0.10	0.09 W	0.00	61 of 75 - Sale ²⁵
	1.480	1.91	08/17/20	17.98	16.07 W	0.00	62 of 75 - Sale ²⁵
	0.512	0.66	08/17/20	5.86	5.20 W	0.00	63 of 75 - Sale ²⁵
	0.512	0.66	08/17/20	5.77	5.11 W	0.00	64 of 75 - Sale ²⁵
	1.008	1.30	08/17/20	2.55	1.25 W	0.00	65 of 75 - Sale ²⁵
	10.673	13.77	08/17/20	27.27	13.50 W	0.00	66 of 75 - Sale ²⁵
	1.000	1.29	08/17/20	2.56	1.27 W	0.00	67 of 75 - Sale ²⁵
	2.000	2.58	08/17/20	5.11	2.53 W	0.00	68 of 75 - Sale ²⁵
	2.673	3.45	08/17/20	6.83	3.38 W	0.00	69 of 75 - Sale ²⁵
	4.327	5.58	08/17/20	11.05	5.47 W	0.00	70 of 75 - Sale ²⁵
	3.681	4.75	08/18/20	9.20	4.45 W	0.00	71 of 75 - Sale ²⁵
	8.319	10.73	08/18/20	20.92	10.19 W	0.00	72 of 75 - Sale ²⁵
	0.327	0.42	08/18/20	0.82	0.40 W	0.00	73 of 75 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	4.673	6.02	08/18/20	11.26	5.24 W	0.00	74 of 75 - Sale ²⁵
	7.311	9.43	08/19/20	17.15	7.72 W	0.00	75 of 75 - Sale ²⁵
09/18/20	195.000	243.53	Various	916.00	672.47 W	0.00	Total of 75 transactions
	10 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	4.000	5.58	08/19/20	9.18	3.60 W	0.00	1 of 10 - Sale ²⁵
	3.016	4.21	08/19/20	7.07	2.86 W	0.00	2 of 10 - Sale ²⁵
	10.673	14.89	08/19/20	24.18	9.29 W	0.00	3 of 10 - Sale ²⁵
	4.000	5.58	08/19/20	9.04	3.46 W	0.00	4 of 10 - Sale ²⁵
	2.681	3.74	08/20/20	5.87	2.13 W	0.00	5 of 10 - Sale ²⁵
	3.008	4.20	08/20/20	6.61	2.41 W	0.00	6 of 10 - Sale ²⁵
	6.992	9.75	08/20/20	15.34	5.59 W	0.00	7 of 10 - Sale ²⁵
	5.327	7.43	08/20/20	11.18	3.75 W	0.00	8 of 10 - Sale ²⁵
	6.992	9.75	08/20/20	14.05	4.30 W	0.00	9 of 10 - Sale ²⁵
	3.311	4.62	08/20/20	6.54	1.92 W	0.00	10 of 10 - Sale ²⁵
09/25/20	50.000	69.75	Various	109.06	39.31 W	0.00	Total of 10 transactions
	21 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	5.488	8.73	08/25/20	58.53	...	-49.80	1 of 21 - Sale ²⁵
	4.024	6.40	08/25/20	17.29	...	-10.89	2 of 21 - Sale ²⁵
	1.000	1.59	08/25/20	11.23	...	-9.64	3 of 21 - Sale ²⁵
	1.008	1.60	08/25/20	10.86	...	-9.26	4 of 21 - Sale ²⁵
	0.968	1.54	08/25/20	10.50	...	-8.96	5 of 21 - Sale ²⁵
	1.000	1.59	08/25/20	9.52	...	-7.93	6 of 21 - Sale ²⁵
	2.689	4.27	08/25/20	11.48	...	-7.21	7 of 21 - Sale ²⁵
	0.512	0.81	08/25/20	5.69	...	-4.88	8 of 21 - Sale ²⁵
	0.512	0.81	08/25/20	5.43	...	-4.62	9 of 21 - Sale ²⁵
	0.976	1.55	08/25/20	4.24	...	-2.69	10 of 21 - Sale ²⁵
	14.327	22.78	08/25/20	25.37	...	-2.59	11 of 21 - Sale ²⁵
	0.488	0.78	08/25/20	2.10	...	-1.32	12 of 21 - Sale ²⁵
	0.016	0.03	08/25/20	0.07	...	-0.04	13 of 21 - Sale ²⁵
	1.295	2.05	09/02/20	15.55	...	-13.50	14 of 21 - Sale ²⁵
	1.000	1.59	09/02/20	11.94	...	-10.35	15 of 21 - Sale ²⁵
	1.000	1.59	09/02/20	11.90	...	-10.31	16 of 21 - Sale ²⁵
	0.512	0.81	09/02/20	6.14	...	-5.33	17 of 21 - Sale ²⁵
	0.512	0.81	09/02/20	6.08	...	-5.27	18 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	0.295	0.47	09/02/20	3.49	...	-3.02	19 of 21 - Sale ²⁵
	0.193	0.31	09/02/20	2.27	...	-1.96	20 of 21 - Sale ²⁵
	0.008	0.01	09/02/20	0.10	...	-0.09	21 of 21 - Sale ²⁵
10/12/20	37.823	60.12	Various	229.78	...	-169.66	Total of 21 transactions
	12 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	9.370	14.90	08/20/20	18.51	3.61 W	0.00	1 of 12 - Sale ²⁵
	1.000	1.59	08/20/20	2.14	0.55 W	0.00	2 of 12 - Sale ²⁵
	1.992	3.17	08/20/20	4.25	1.08 W	0.00	3 of 12 - Sale ²⁵
	9.327	14.83	08/20/20	19.92	5.09 W	0.00	4 of 12 - Sale ²⁵
	5.327	8.47	08/20/20	10.49	2.02 W	0.00	5 of 12 - Sale ²⁵
	22.984	36.54	08/20/20	44.82	8.28 W	0.00	6 of 12 - Sale ²⁵
	8.000	12.72	08/20/20	15.60	2.88 W	0.00	7 of 12 - Sale ²⁵
	2.016	3.21	08/20/20	3.93	0.72 W	0.00	8 of 12 - Sale ²⁵
	3.000	4.77	08/20/20	5.46	0.69 W	0.00	9 of 12 - Sale ²⁵
	8.673	13.79	08/20/20	15.79	2.00 W	0.00	10 of 12 - Sale ²⁵
	5.000	7.95	08/20/20	9.00	1.05 W	0.00	11 of 12 - Sale ²⁵
	2.000	3.18	08/24/20	3.47	0.29 W	0.00	12 of 12 - Sale ²⁵
10/12/20	78.689	125.12	Various	153.38	28.26 W	0.00	Total of 12 transactions
	34 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	6.000	9.00	09/02/20	24.07	...	-15.07	1 of 34 - Sale ²⁵
	10.673	16.01	09/02/20	25.77	...	-9.76	2 of 34 - Sale ²⁵
	8.319	12.48	09/02/20	19.76	...	-7.28	3 of 34 - Sale ²⁵
	2.327	3.49	09/02/20	9.82	...	-6.33	4 of 34 - Sale ²⁵
	10.673	16.01	09/02/20	21.56	...	-5.55	5 of 34 - Sale ²⁵
	1.992	2.99	09/02/20	8.24	...	-5.25	6 of 34 - Sale ²⁵
	7.311	10.96	09/02/20	16.13	...	-5.17	7 of 34 - Sale ²⁵
	0.512	0.77	09/02/20	5.79	...	-5.02	8 of 34 - Sale ²⁵
	0.512	0.77	09/02/20	5.70	...	-4.93	9 of 34 - Sale ²⁵
	4.327	6.49	09/02/20	10.45	...	-3.96	10 of 34 - Sale ²⁵
	4.673	7.01	09/02/20	10.61	...	-3.60	11 of 34 - Sale ²⁵
	3.681	5.52	09/02/20	8.68	...	-3.16	12 of 34 - Sale ²⁵
	6.992	10.49	09/02/20	13.63	...	-3.14	13 of 34 - Sale ²⁵
	1.000	1.50	09/02/20	4.17	...	-2.67	14 of 34 - Sale ²⁵
	2.673	4.01	09/02/20	6.45	...	-2.44	15 of 34 - Sale ²⁵

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Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	4.000	6.00	09/02/20	8.06	...	-2.06	16 of 34 - Sale ²⁵
	0.185	0.28	09/02/20	2.22	...	-1.94	17 of 34 - Sale ²⁵
	2.000	3.00	09/02/20	4.83	...	-1.83	18 of 34 - Sale ²⁵
	3.016	4.52	09/02/20	6.33	...	-1.81	19 of 34 - Sale ²⁵
	6.992	10.63	09/02/20	12.34	...	-1.71	20 of 34 - Sale ²⁵
	3.008	4.51	09/02/20	5.87	...	-1.36	21 of 34 - Sale ²⁵
	2.335	3.50	09/02/20	4.79	...	-1.29	22 of 34 - Sale ²⁵
	0.488	0.73	09/02/20	2.00	...	-1.27	23 of 34 - Sale ²⁵
	2.681	4.02	09/02/20	5.21	...	-1.19	24 of 34 - Sale ²⁵
	3.311	4.96	09/02/20	6.14	...	-1.18	25 of 34 - Sale ²⁵
	1.000	1.50	09/02/20	2.42	...	-0.92	26 of 34 - Sale ²⁵
	1.665	2.50	09/02/20	3.41	...	-0.91	27 of 34 - Sale ²⁵
	1.008	1.51	09/02/20	2.41	...	-0.90	28 of 34 - Sale ²⁵
	3.311	4.97	09/02/20	5.73	...	-0.76	29 of 34 - Sale ²⁵
	2.016	3.06	09/02/20	3.74	...	-0.68	30 of 34 - Sale ²⁵
	0.327	0.49	09/02/20	0.78	...	-0.29	31 of 34 - Sale ²⁵
	4.681	7.12	09/02/20	5.38	...	1.74	32 of 34 - Sale ²⁵
	6.311	9.59	09/02/20	7.07	...	2.52	33 of 34 - Sale ²⁵
	30.000	45.60	09/02/20	34.50	...	11.10	34 of 34 - Sale ²⁵
10/23/20	150.000	225.99	Various	314.06	...	-88.07	Total of 34 transactions
	Security total:	3,204.58		9,079.00	5,752.53 W	-121.89	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
05/20/20	1.000	4.23	09/12/19	4.56	...	-0.33	Sale ²⁵
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
05/27/20	48.000	125.28	05/27/20	81.12	...	44.16	Sale ²⁵
	4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	2.000	2.84	05/27/20	3.38	0.54 W	0.00	1 of 4 - Sale ²⁵
	10.000	14.20	05/27/20	16.70	2.50 W	0.00	2 of 4 - Sale ²⁵
	5.000	7.10	05/27/20	8.13	1.03 W	0.00	3 of 4 - Sale ²⁵
	23.000	32.67	05/27/20	34.27	1.60 W	0.00	4 of 4 - Sale ²⁵
06/01/20	40.000	56.81	Various	62.48	5.67 W	0.00	Total of 4 transactions

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GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol: (cont'd)							
8 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	10.000	15.65	05/27/20	17.50	...	-1.85	1 of 8 - Sale ²⁵
	5.000	7.82	05/27/20	8.53	...	-0.71	2 of 8 - Sale ²⁵
	2.000	3.13	05/27/20	3.24	...	-0.11	3 of 8 - Sale ²⁵
	1.000	1.57	05/27/20	1.43	...	0.14	4 of 8 - Sale ²⁵
	15.000	23.47	05/27/20	23.17	...	0.30	5 of 8 - Sale ²⁵
	8.000	12.52	05/27/20	11.92	...	0.60	6 of 8 - Sale ²⁵
	5.000	7.82	05/27/20	7.20	...	0.62	7 of 8 - Sale ²⁵
	12.000	18.78	05/27/20	17.04	...	1.74	8 of 8 - Sale ²⁵
06/02/20	58.000	90.76	Various	90.03	...	0.73	Total of 8 transactions
06/02/20	2.000	3.13	05/27/20	3.52	0.39 W	0.00	Sale ²⁵
	Security total:	275.98		237.15	6.06 W	44.89	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	6.000	7.70	05/06/20	6.76	...	0.94	1 of 2 - Sale ²⁵
	5.000	6.41	05/11/20	5.59	...	0.82	2 of 2 - Sale ²⁵
05/20/20	11.000	14.11	Various	12.35	...	1.76	Total of 2 transactions
HELIX ENERGY SOLUTIONS GROUP, INC. / CUSIP: 42330P107 / Symbol:							
05/01/20	5.000	10.80	04/29/20	10.54	...	0.26	Sale ²⁵
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
10/20/20	2.000	46.85	07/27/20	37.52	...	9.33	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	0.001	0.01	07/01/20	0.01	...	0.00	1 of 3 - Sale ²⁵
	0.015	0.32	07/01/20	0.30	...	0.02	2 of 3 - Sale ²⁵
	3.000	62.54	07/01/20	59.69	...	2.85	3 of 3 - Sale ²⁵
07/06/20	3.015	62.87	Various	60.00	...	2.87	Total of 3 transactions

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	25.000	44.75	06/01/20	48.50	...	-3.75	1 of 3 - Sale ²⁵
	1.000	1.79	06/05/20	1.59	...	0.20	2 of 3 - Sale ²⁵
	32.000	57.28	06/05/20	50.72	...	6.56	3 of 3 - Sale ²⁵
06/09/20	58.000	103.82	Various	100.81	...	3.01	Total of 3 transactions
KELSO TECHNOLOGIES INC. / CUSIP: 48826D201 / Symbol:							
06/11/20	4.000	2.42	06/08/20	2.60	...	-0.18	Sale ²⁵
LOCKHEED MARTIN CORP. / CUSIP: 539830109 / Symbol:							
08/17/20	0.128	49.84	07/30/20	49.05	...	0.79	Sale ²⁵
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	0.008	2.99	07/30/20	2.93	...	0.06	1 of 3 - Sale ²⁵
	0.256	100.00	07/30/20	98.02	...	1.98	2 of 3 - Sale ²⁵
	0.251	97.91	08/11/20	100.00	...	-2.09	3 of 3 - Sale ²⁵
08/19/20	0.515	200.90	Various	200.95	...	-0.05	Total of 3 transactions
	Security total:	250.74		250.00	...	0.74	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	4.000	8.66	05/20/20	9.96	...	-1.30	1 of 4 - Sale ²⁵
	4.000	8.66	05/20/20	9.72	...	-1.06	2 of 4 - Sale ²⁵
	4.000	8.66	05/20/20	9.72	...	-1.06	3 of 4 - Sale ²⁵
	5.000	10.83	05/21/20	10.60	...	0.23	4 of 4 - Sale ²⁵
05/26/20	17.000	36.81	Various	40.00	...	-3.19	Total of 4 transactions
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	4.000	8.66	05/20/20	10.08	0.36 W	-1.06	1 of 2 - Sale ²⁵
	4.000	8.66	05/20/20	10.64	1.98 W	0.00	2 of 2 - Sale ²⁵
05/26/20	8.000	17.32	Various	20.72	2.34 W	-1.06	Total of 2 transactions
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	4.000	8.36	05/22/20	8.62	...	-0.26	1 of 2 - Sale ²⁵
	1.000	2.10	05/22/20	2.02	...	0.08	2 of 2 - Sale ²⁵
06/02/20	5.000	10.46	Various	10.64	...	-0.18	Total of 2 transactions

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(continued)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
Security total:		64.59		71.36		2.34 W	-4.43
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	4.000	122.85	05/05/20	111.68	...	11.17	1 of 2 - Sale ²⁵
	1.000	30.71	05/06/20	26.12	...	4.59	2 of 2 - Sale ²⁵
05/11/20	5.000	153.56	Various	137.80	...	15.76	Total of 2 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	10.000	18.94	05/26/20	17.80	...	1.14	1 of 2 - Sale ²⁵
	20.000	38.07	05/26/20	35.59	...	2.48	2 of 2 - Sale ²⁵
06/03/20	30.000	57.01	Various	53.39	...	3.62	Total of 2 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
10/30/20	1.000	200.24	08/10/20	208.08	...	-7.84	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	64.99	07/07/20	61.03	...	3.96	1 of 2 - Sale ²⁵
	1.000	64.99	07/07/20	61.02	...	3.97	2 of 2 - Sale ²⁵
07/09/20	2.000	129.98	Various	122.05	...	7.93	Total of 2 transactions
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	08/12/20	0.01	...	0.00	1 of 3 - Sale ²⁵
	0.120	8.15	09/01/20	7.77	...	0.38	2 of 3 - Sale ²⁵
	0.440	29.83	09/01/20	28.39	...	1.44	3 of 3 - Sale ²⁵
09/18/20	0.560	37.99	Various	36.17	...	1.82	Total of 3 transactions
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	0.440	29.83	08/12/20	30.54	0.71 W	0.00	1 of 3 - Sale ²⁵
	0.440	29.82	08/12/20	30.54	0.72 W	0.00	2 of 3 - Sale ²⁵
	0.560	37.98	08/12/20	38.91	0.93 W	0.00	3 of 3 - Sale ²⁵
09/18/20	1.440	97.63	Various	99.99	2.36 W	0.00	Total of 3 transactions
11/20/20	0.411	40.00	09/01/20	26.51	...	13.49	Sale ²⁵
Security total:		305.60		284.72		2.36 W	23.24

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOLSON COORS BEVERAGE COMPANY CLASS B / CUSIP: 60871R209 / Symbol:							
05/01/20	1.000	39.60	04/30/20	41.03	...	-1.43	Sale ²⁵
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
<i>10 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.23	05/20/20	2.95	...	0.28	1 of 10 - Sale ²⁵
	2.000	6.45	05/20/20	5.93	...	0.52	2 of 10 - Sale ²⁵
	2.000	6.45	05/20/20	5.88	...	0.57	3 of 10 - Sale ²⁵
	2.000	6.45	05/20/20	5.86	...	0.59	4 of 10 - Sale ²⁵
	2.000	6.45	05/20/20	5.83	...	0.62	5 of 10 - Sale ²⁵
	3.000	9.67	05/20/20	8.84	...	0.83	6 of 10 - Sale ²⁵
	5.000	16.13	05/20/20	14.93	...	1.20	7 of 10 - Sale ²⁵
	4.000	12.90	05/20/20	11.64	...	1.26	8 of 10 - Sale ²⁵
	5.000	16.12	05/20/20	14.40	...	1.72	9 of 10 - Sale ²⁵
	8.000	25.80	05/20/20	23.32	...	2.48	10 of 10 - Sale ²⁵
05/21/20	34.000	109.65	Various	99.58	...	10.07	Total of 10 transactions
<i>7 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	12.90	05/20/20	15.18	2.28 W	0.00	1 of 7 - Sale ²⁵
	1.000	3.23	05/20/20	3.80	0.57 W	0.00	2 of 7 - Sale ²⁵
	3.000	9.67	05/20/20	12.18	2.51 W	0.00	3 of 7 - Sale ²⁵
	1.000	3.23	05/20/20	4.10	0.87 W	0.00	4 of 7 - Sale ²⁵
	1.000	3.22	05/20/20	3.52	0.30 W	0.00	5 of 7 - Sale ²⁵
	5.000	16.13	05/20/20	16.38	0.25 W	0.00	6 of 7 - Sale ²⁵
	1.000	3.22	05/20/20	3.24	0.02 W	0.00	7 of 7 - Sale ²⁵
05/21/20	16.000	51.60	Various	58.40	6.80 W	0.00	Total of 7 transactions
<i>11 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.20	05/20/20	2.96	...	0.24	1 of 11 - Sale ²⁵
	1.000	3.19	05/20/20	2.94	...	0.25	2 of 11 - Sale ²⁵
	2.000	6.39	05/20/20	5.80	...	0.59	3 of 11 - Sale ²⁵
	3.000	9.58	05/20/20	8.84	...	0.74	4 of 11 - Sale ²⁵
	4.000	12.78	05/20/20	11.86	...	0.92	5 of 11 - Sale ²⁵
	3.000	9.58	05/20/20	8.52	...	1.06	6 of 11 - Sale ²⁵
	5.000	15.98	05/20/20	14.33	...	1.65	7 of 11 - Sale ²⁵
	5.000	15.98	05/20/20	14.23	...	1.75	8 of 11 - Sale ²⁵
	6.000	19.17	05/20/20	17.31	...	1.86	9 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	4.000	12.78	05/21/20	10.08	...	2.70	10 of 11 - Sale ²⁵
	10.000	31.95	05/21/20	25.20	...	6.75	11 of 11 - Sale ²⁵
05/22/20	44.000	140.58	Various	122.07	...	18.51	Total of 11 transactions
	4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	3.20	05/20/20	3.78	0.58 W	0.00	1 of 4 - Sale ²⁵
	3.000	9.58	05/20/20	11.26	1.68 W	0.00	2 of 4 - Sale ²⁵
	1.000	3.20	05/20/20	3.49	0.29 W	0.00	3 of 4 - Sale ²⁵
	1.000	3.19	05/20/20	3.22	0.03 W	0.00	4 of 4 - Sale ²⁵
05/22/20	6.000	19.17	Various	21.75	2.58 W	0.00	Total of 4 transactions
	3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	2.57	05/21/20	2.56	...	0.01	1 of 3 - Sale ²⁵
	5.000	12.85	05/21/20	12.65	...	0.20	2 of 3 - Sale ²⁵
	4.000	10.28	05/21/20	10.00	...	0.28	3 of 3 - Sale ²⁵
05/29/20	10.000	25.70	Various	25.21	...	0.49	Total of 3 transactions
	4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	2.57	05/21/20	3.08	0.51 W	0.00	1 of 4 - Sale ²⁵
	1.000	2.57	05/21/20	3.11	0.54 W	0.00	2 of 4 - Sale ²⁵
	2.000	5.14	05/21/20	6.18	1.04 W	0.00	3 of 4 - Sale ²⁵
	1.000	2.57	05/21/20	2.82	0.25 W	0.00	4 of 4 - Sale ²⁵
05/29/20	5.000	12.85	Various	15.19	2.34 W	0.00	Total of 4 transactions
	7 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	2.000	4.71	05/21/20	5.98	...	-1.27	1 of 7 - Sale ²⁵
	6.000	14.13	05/21/20	14.85	...	-0.72	2 of 7 - Sale ²⁵
	1.000	2.35	05/21/20	3.01	...	-0.66	3 of 7 - Sale ²⁵
	1.000	2.36	05/21/20	3.01	...	-0.65	4 of 7 - Sale ²⁵
	1.000	2.36	05/21/20	2.72	...	-0.36	5 of 7 - Sale ²⁵
	2.000	4.71	05/21/20	4.93	...	-0.22	6 of 7 - Sale ²⁵
	15.000	35.32	05/27/20	39.30	...	-3.98	7 of 7 - Sale ²⁵
06/02/20	28.000	65.94	Various	73.80	...	-7.86	Total of 7 transactions
	Security total:	425.49		416.00	11.72 W	21.21	

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
10 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	2.000	89.00	07/02/20	121.84	32.84 W	0.00	1 of 10 - Sale ²⁵
	1.000	44.17	07/02/20	60.92	16.75 W	0.00	2 of 10 - Sale ²⁵
	1.000	44.16	07/06/20	72.32	28.16 W	0.00	3 of 10 - Sale ²⁵
	0.215	9.50	07/06/20	15.53	6.03 W	0.00	4 of 10 - Sale ²⁵
	0.215	9.50	07/06/20	15.21	5.71 W	0.00	5 of 10 - Sale ²⁵
	0.785	34.66	07/06/20	55.28	20.62 W	0.00	6 of 10 - Sale ²⁵
	0.785	34.66	07/06/20	52.64	17.98 W	0.00	7 of 10 - Sale ²⁵
	0.215	9.48	07/06/20	16.85	7.37 W	0.00	8 of 10 - Sale ²⁵
	0.785	34.59	07/06/20	60.11	25.52 W	0.00	9 of 10 - Sale ²⁵
	1.000	44.07	07/06/20	78.47	34.40 W	0.00	10 of 10 - Sale ²⁵
07/07/20	8.000	353.79	Various	549.17	195.38 W	0.00	Total of 10 transactions
6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	0.341	18.56	07/06/20	26.47	...	-7.91	1 of 6 - Sale ²⁵
	0.319	17.32	07/06/20	21.39	...	-4.07	2 of 6 - Sale ²⁵
	0.215	11.69	07/06/20	15.02	...	-3.33	3 of 6 - Sale ²⁵
	0.105	5.71	07/06/20	7.04	...	-1.33	4 of 6 - Sale ²⁵
	0.020	1.07	07/06/20	1.30	...	-0.23	5 of 6 - Sale ²⁵
	0.576	31.32	07/06/20	28.04	...	3.28	6 of 6 - Sale ²⁵
07/08/20	1.576	85.67	Various	99.26	...	-13.59	Total of 6 transactions
61 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	0.215	10.85	07/06/20	16.53	5.68 W	0.00	1 of 61 - Sale ²⁵
	0.596	30.06	07/06/20	43.65	13.59 W	0.00	2 of 61 - Sale ²⁵
	0.811	40.90	07/06/20	68.73	27.83 W	0.00	3 of 61 - Sale ²⁵
	0.189	9.54	07/06/20	13.85	4.31 W	0.00	4 of 61 - Sale ²⁵
	0.189	9.53	07/06/20	16.05	6.52 W	0.00	5 of 61 - Sale ²⁵
	0.215	10.85	07/06/20	18.23	7.38 W	0.00	6 of 61 - Sale ²⁵
	0.785	39.59	07/06/20	65.16	25.57 W	0.00	7 of 61 - Sale ²⁵
	0.215	10.68	07/06/20	16.54	5.86 W	0.00	8 of 61 - Sale ²⁵
	0.338	16.80	07/06/20	24.81	8.01 W	0.00	9 of 61 - Sale ²⁵
	0.206	10.20	07/06/20	17.45	7.25 W	0.00	10 of 61 - Sale ²⁵
	0.241	11.97	07/06/20	17.68	5.71 W	0.00	11 of 61 - Sale ²⁵
	0.016	0.82	07/06/20	1.21	0.39 W	0.00	12 of 61 - Sale ²⁵

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Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	0.016	0.82	07/06/20	1.40	0.58 W	0.00	13 of 61 - Sale ²⁵
	0.189	9.46	07/06/20	16.09	6.63 W	0.00	14 of 61 - Sale ²⁵
	0.605	30.27	07/06/20	51.40	21.13 W	0.00	15 of 61 - Sale ²⁵
	0.173	8.63	07/06/20	12.66	4.03 W	0.00	16 of 61 - Sale ²⁵
	0.016	0.82	07/06/20	1.21	0.39 W	0.00	17 of 61 - Sale ²⁵
	0.199	9.91	07/06/20	16.86	6.95 W	0.00	18 of 61 - Sale ²⁵
	0.361	18.03	07/06/20	30.04	12.01 W	0.00	19 of 61 - Sale ²⁵
	0.206	10.26	07/06/20	17.35	7.09 W	0.00	20 of 61 - Sale ²⁵
	0.218	10.90	07/06/20	18.34	7.44 W	0.00	21 of 61 - Sale ²⁵
	0.341	16.30	07/06/20	28.70	12.40 W	0.00	22 of 61 - Sale ²⁵
	0.215	10.26	07/06/20	16.42	6.16 W	0.00	23 of 61 - Sale ²⁵
	0.105	5.02	07/06/20	7.65	2.63 W	0.00	24 of 61 - Sale ²⁵
	0.338	16.15	07/06/20	24.64	8.49 W	0.00	25 of 61 - Sale ²⁵
	0.016	0.82	07/06/20	1.20	0.38 W	0.00	26 of 61 - Sale ²⁵
	0.136	6.80	07/06/20	9.90	3.10 W	0.00	27 of 61 - Sale ²⁵
	0.424	21.19	07/06/20	34.62	13.43 W	0.00	28 of 61 - Sale ²⁵
	0.206	10.27	07/06/20	17.16	6.89 W	0.00	29 of 61 - Sale ²⁵
	0.218	10.91	07/06/20	18.13	7.22 W	0.00	30 of 61 - Sale ²⁵
	0.341	17.07	07/06/20	29.13	12.06 W	0.00	31 of 61 - Sale ²⁵
	0.020	0.99	07/06/20	1.46	0.47 W	0.00	32 of 61 - Sale ²⁵
	0.215	10.75	07/06/20	16.69	5.94 W	0.00	33 of 61 - Sale ²⁵
	0.016	0.82	07/06/20	1.39	0.57 W	0.00	34 of 61 - Sale ²⁵
	0.046	2.28	07/06/20	3.83	1.55 W	0.00	35 of 61 - Sale ²⁵
	0.189	9.45	07/06/20	15.89	6.44 W	0.00	36 of 61 - Sale ²⁵
	0.173	8.63	07/06/20	14.50	5.87 W	0.00	37 of 61 - Sale ²⁵
	0.026	1.42	07/06/20	2.18	0.76 W	0.00	38 of 61 - Sale ²⁵
	0.361	19.74	07/06/20	29.71	9.97 W	0.00	39 of 61 - Sale ²⁵
	0.016	0.90	07/06/20	1.20	0.30 W	0.00	40 of 61 - Sale ²⁵
	0.173	9.43	07/06/20	12.49	3.06 W	0.00	41 of 61 - Sale ²⁵
	0.206	11.23	07/06/20	16.76	5.53 W	0.00	42 of 61 - Sale ²⁵
	0.218	11.93	07/06/20	17.69	5.76 W	0.00	43 of 61 - Sale ²⁵
	0.341	18.67	07/06/20	28.45	9.78 W	0.00	44 of 61 - Sale ²⁵
	0.020	1.08	07/06/20	1.42	0.34 W	0.00	45 of 61 - Sale ²⁵
	0.215	11.75	07/06/20	16.26	4.51 W	0.00	46 of 61 - Sale ²⁵

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(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	0.105	5.74	07/06/20	7.67	1.93 W	0.00	47 of 61 - Sale ²⁵
	0.319	17.42	07/06/20	23.30	5.88 W	0.00	48 of 61 - Sale ²⁵
	0.016	0.90	07/06/20	1.17	0.27 W	0.00	49 of 61 - Sale ²⁵
	0.136	7.39	07/06/20	9.63	2.24 W	0.00	50 of 61 - Sale ²⁵
	0.424	23.03	07/06/20	33.77	10.74 W	0.00	51 of 61 - Sale ²⁵
	0.016	0.90	07/06/20	1.38	0.48 W	0.00	52 of 61 - Sale ²⁵
	0.026	1.41	07/06/20	2.04	0.63 W	0.00	53 of 61 - Sale ²⁵
	0.046	2.48	07/06/20	3.80	1.32 W	0.00	54 of 61 - Sale ²⁵
	0.173	9.38	07/06/20	14.37	4.99 W	0.00	55 of 61 - Sale ²⁵
	0.189	10.27	07/06/20	15.75	5.48 W	0.00	56 of 61 - Sale ²⁵
	0.361	19.63	07/06/20	27.77	8.14 W	0.00	57 of 61 - Sale ²⁵
	0.016	0.90	07/06/20	1.11	0.21 W	0.00	58 of 61 - Sale ²⁵
	0.173	9.38	07/06/20	11.56	2.18 W	0.00	59 of 61 - Sale ²⁵
	0.206	11.17	07/06/20	15.57	4.40 W	0.00	60 of 61 - Sale ²⁵
	0.218	11.86	07/06/20	16.42	4.56 W	0.00	61 of 61 - Sale ²⁵
07/08/20	13.000	666.61	Various	1,038.02	371.41 W	0.00	Total of 61 transactions
	8 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	0.206	8.54	07/30/20	10.38	1.84 W	0.00	1 of 8 - Sale ²⁵
	0.218	9.07	07/30/20	10.90	1.83 W	0.00	2 of 8 - Sale ²⁵
	0.016	0.69	07/30/20	0.96	0.27 W	0.00	3 of 8 - Sale ²⁵
	0.026	1.08	07/30/20	1.39	0.31 W	0.00	4 of 8 - Sale ²⁵
	0.046	1.90	07/30/20	2.65	0.75 W	0.00	5 of 8 - Sale ²⁵
	0.173	7.17	07/30/20	10.01	2.84 W	0.00	6 of 8 - Sale ²⁵
	0.189	7.86	07/30/20	10.98	3.12 W	0.00	7 of 8 - Sale ²⁵
	0.126	5.25	07/30/20	6.53	1.28 W	0.00	8 of 8 - Sale ²⁵
08/20/20	1.000	41.56	Various	53.80	12.24 W	0.00	Total of 8 transactions
	21 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	0.235	6.35	07/30/20	12.11	5.76 W	0.00	1 of 21 - Sale ²⁵
	0.016	0.45	07/30/20	0.75	0.30 W	0.00	2 of 21 - Sale ²⁵
	0.016	0.45	07/30/20	0.69	0.24 W	0.00	3 of 21 - Sale ²⁵
	0.136	3.68	07/30/20	6.19	2.51 W	0.00	4 of 21 - Sale ²⁵
	0.173	4.67	07/30/20	7.20	2.53 W	0.00	5 of 21 - Sale ²⁵
	0.424	11.45	07/30/20	23.07	11.62 W	0.00	6 of 21 - Sale ²⁵
	0.235	6.35	09/14/20	12.98	6.63 W	0.00	7 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	0.016	0.45	09/14/20	0.81	0.36 W	0.00	8 of 21 - Sale ²⁵
	0.016	0.45	09/14/20	0.75	0.30 W	0.00	9 of 21 - Sale ²⁵
	0.136	3.68	09/14/20	6.69	3.01 W	0.00	10 of 21 - Sale ²⁵
	0.173	4.67	09/14/20	7.83	3.16 W	0.00	11 of 21 - Sale ²⁵
	0.424	11.46	09/14/20	24.65	13.19 W	0.00	12 of 21 - Sale ²⁵
	0.206	5.56	09/14/20	8.16	2.60 W	0.00	13 of 21 - Sale ²⁵
	0.218	5.90	09/14/20	8.54	2.64 W	0.00	14 of 21 - Sale ²⁵
	0.016	0.45	09/14/20	0.78	0.33 W	0.00	15 of 21 - Sale ²⁵
	0.026	0.70	09/14/20	1.11	0.41 W	0.00	16 of 21 - Sale ²⁵
	0.046	1.24	09/14/20	2.15	0.91 W	0.00	17 of 21 - Sale ²⁵
	0.126	3.42	09/14/20	5.17	1.75 W	0.00	18 of 21 - Sale ²⁵
	0.173	4.67	09/14/20	8.15	3.48 W	0.00	19 of 21 - Sale ²⁵
	0.189	5.11	09/14/20	8.93	3.82 W	0.00	20 of 21 - Sale ²⁵
	1.000	27.04	09/14/20	30.74	3.70 W	0.00	21 of 21 - Sale ²⁵
10/08/20	4.000	108.20	Various	177.45	69.25 W	0.00	Total of 21 transactions
	7 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	2.000	52.33	09/14/20	61.49	...	-9.16	1 of 7 - Sale ²⁵
	0.424	11.09	09/15/20	27.23	...	-16.14	2 of 7 - Sale ²⁵
	0.235	6.14	09/15/20	14.41	...	-8.27	3 of 7 - Sale ²⁵
	0.173	4.51	09/15/20	8.88	...	-4.37	4 of 7 - Sale ²⁵
	0.136	3.56	09/15/20	7.52	...	-3.96	5 of 7 - Sale ²⁵
	0.016	0.43	09/15/20	0.91	...	-0.48	6 of 7 - Sale ²⁵
	0.016	0.43	09/15/20	0.85	...	-0.42	7 of 7 - Sale ²⁵
11/20/20	3.000	78.49	Various	121.29	...	-42.80	Total of 7 transactions
	11 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	0.189	5.31	09/15/20	10.08	...	-4.77	1 of 11 - Sale ²⁵
	0.173	4.85	09/15/20	9.20	...	-4.35	2 of 11 - Sale ²⁵
	0.218	6.13	09/15/20	9.87	...	-3.74	3 of 11 - Sale ²⁵
	0.206	5.77	09/15/20	9.41	...	-3.64	4 of 11 - Sale ²⁵
	0.126	3.56	09/15/20	5.94	...	-2.38	5 of 11 - Sale ²⁵
	0.046	1.28	09/15/20	2.42	...	-1.14	6 of 11 - Sale ²⁵
	0.026	0.73	09/15/20	1.27	...	-0.54	7 of 11 - Sale ²⁵
	0.016	0.46	09/15/20	0.88	...	-0.42	8 of 11 - Sale ²⁵
	1.000	28.09	09/21/20	29.95	...	-1.86	9 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	2.000	56.18	09/21/20	54.40	...	1.78	10 of 11 - Sale ²⁵
	1.000	28.09	09/21/20	26.25	...	1.84	11 of 11 - Sale ²⁵
11/23/20	5.000	140.45	Various	159.67	...	-19.22	Total of 11 transactions
	Security total:	1,474.77		2,198.66	648.28 W	-75.61	
ONESPAN INC. COMMON STOCK / CUSIP: 68287N100 / Symbol:							
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	0.001	0.01	08/12/20	0.01	...	0.00	1 of 4 - Sale ²⁵
	0.001	0.01	08/12/20	0.01	...	0.00	2 of 4 - Sale ²⁵
	0.128	2.60	08/12/20	2.50	...	0.10	3 of 4 - Sale ²⁵
	4.999	101.44	08/12/20	97.48	...	3.96	4 of 4 - Sale ²⁵
08/20/20	5.129	104.06	Various	100.00	...	4.06	Total of 4 transactions
OPIANT PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 683750103 / Symbol:							
06/10/20	10.000	91.55	06/08/20	88.00	...	3.55	Sale ²⁵
06/11/20	10.000	87.40	06/08/20	88.00	...	-0.60	Sale ²⁵
	Security total:	178.95		176.00	...	2.95	
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
05/05/20	18.000	10.32	05/04/20	10.12	...	0.20	Sale ²⁵
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
05/13/20	5.000	79.10	05/11/20	82.59	2.09 W	-1.40	Sale ²⁵
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	22.34	05/19/20	21.40	...	0.94	1 of 2 - Sale ²⁵
	2.000	45.92	05/19/20	42.79	...	3.13	2 of 2 - Sale ²⁵
05/20/20	3.000	68.26	Various	64.19	...	4.07	Total of 2 transactions
	Security total:	147.36		146.78	2.09 W	2.67	
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
14 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	2.67	05/20/20	2.30	...	0.37	1 of 14 - Sale ²⁵
	5.000	2.67	05/20/20	2.30	...	0.37	2 of 14 - Sale ²⁵
	5.000	2.67	05/20/20	2.28	...	0.39	3 of 14 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	5.000	2.68	05/20/20	2.29	...	0.39	4 of 14 - Sale ²⁵
	5.000	2.68	05/20/20	2.28	...	0.40	5 of 14 - Sale ²⁵
	6.000	3.21	05/20/20	2.79	...	0.42	6 of 14 - Sale ²⁵
	5.000	2.67	05/20/20	2.24	...	0.43	7 of 14 - Sale ²⁵
	5.000	2.68	05/20/20	2.24	...	0.44	8 of 14 - Sale ²⁵
	11.000	5.89	05/20/20	5.39	...	0.50	9 of 14 - Sale ²⁵
	10.000	5.35	05/20/20	4.70	...	0.65	10 of 14 - Sale ²⁵
	10.000	5.35	05/20/20	4.50	...	0.85	11 of 14 - Sale ²⁵
	15.000	8.03	05/20/20	7.12	...	0.91	12 of 14 - Sale ²⁵
	24.000	12.84	05/20/20	11.75	...	1.09	13 of 14 - Sale ²⁵
	25.000	13.37	05/21/20	11.69	...	1.68	14 of 14 - Sale ²⁵
05/26/20	136.000	72.76	Various	63.87	...	8.89	Total of 14 transactions
RTW RETAILWINDS INC COMMON STO CK / CUSIP: 74980D100 / Symbol:							
06/08/20	100.000	29.19	06/03/20	21.30	...	7.89	Sale ²⁵
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
05/22/20	80.000	170.79	05/21/20	147.20	...	23.59	Sale ²⁵
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	3.00	05/29/20	2.68	...	0.32	1 of 3 - Sale ²⁵
	1.000	3.00	05/29/20	2.51	...	0.49	2 of 3 - Sale ²⁵
	5.000	15.00	05/29/20	13.38	...	1.62	3 of 3 - Sale ²⁵
06/04/20	7.000	21.00	Various	18.57	...	2.43	Total of 3 transactions
06/04/20	4.000	12.00	05/27/20	12.90	0.90 W	0.00	Sale ²⁵
06/09/20	18.000	44.70	06/02/20	38.97	...	5.73	Sale ²⁵
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	4.000	9.93	05/29/20	10.92	0.99 W	0.00	1 of 4 - Sale ²⁵
	15.000	37.25	05/29/20	37.57	0.32 W	0.00	2 of 4 - Sale ²⁵
	8.000	19.87	05/29/20	19.97	0.10 W	0.00	3 of 4 - Sale ²⁵
	5.000	12.42	05/29/20	12.63	0.21 W	0.00	4 of 4 - Sale ²⁵
06/09/20	32.000	79.47	Various	81.09	1.62 W	0.00	Total of 4 transactions
7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	4.000	9.82	06/02/20	9.65	...	0.17	1 of 7 - Sale ²⁵
	1.000	2.45	06/02/20	2.21	...	0.24	2 of 7 - Sale ²⁵

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Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	2.000	4.78	06/02/20	4.54	...	0.24	3 of 7 - Sale ²⁵
	2.000	4.91	06/02/20	4.55	...	0.36	4 of 7 - Sale ²⁵
	8.000	19.64	06/02/20	17.42	...	2.22	5 of 7 - Sale ²⁵
	18.000	43.02	06/02/20	40.14	...	2.88	6 of 7 - Sale ²⁵
	15.000	36.83	06/02/20	32.79	...	4.04	7 of 7 - Sale ²⁵
06/11/20	50.000	121.45	Various	111.30	...	10.15	Total of 7 transactions
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	50.000	119.49	06/04/20	154.25	34.76 W	0.00	1 of 2 - Sale ²⁵
	30.000	71.70	06/04/20	90.75	19.05 W	0.00	2 of 2 - Sale ²⁵
06/11/20	80.000	191.19	Various	245.00	53.81 W	0.00	Total of 2 transactions
	8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	20.000	54.10	06/04/20	74.40	20.30 W	0.00	1 of 8 - Sale ²⁵
	13.000	35.17	06/04/20	49.34	14.17 W	0.00	2 of 8 - Sale ²⁵
	9.000	24.35	06/04/20	34.16	9.81 W	0.00	3 of 8 - Sale ²⁵
	8.000	21.64	06/04/20	29.48	7.84 W	0.00	4 of 8 - Sale ²⁵
	14.000	38.01	06/04/20	50.75	12.74 W	0.00	5 of 8 - Sale ²⁵
	4.000	10.86	06/05/20	13.10	2.24 W	0.00	6 of 8 - Sale ²⁵
	2.000	5.43	06/08/20	6.55	1.12 W	0.00	7 of 8 - Sale ²⁵
	19.000	51.59	06/09/20	69.07	17.48 W	0.00	8 of 8 - Sale ²⁵
06/16/20	89.000	241.15	Various	326.85	85.70 W	0.00	Total of 8 transactions
	15 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	2.64	06/09/20	3.63	0.99 W	0.00	1 of 15 - Sale ²⁵
	10.000	26.40	06/09/20	32.55	6.15 W	0.00	2 of 15 - Sale ²⁵
	3.000	7.92	06/11/20	10.07	2.15 W	0.00	3 of 15 - Sale ²⁵
	9.000	23.76	06/11/20	31.18	7.42 W	0.00	4 of 15 - Sale ²⁵
	13.000	34.32	06/11/20	45.05	10.73 W	0.00	5 of 15 - Sale ²⁵
	5.000	13.38	06/11/20	16.77	3.39 W	0.00	6 of 15 - Sale ²⁵
	8.000	21.40	06/11/20	26.28	4.88 W	0.00	7 of 15 - Sale ²⁵
	1.000	2.67	06/11/20	3.29	0.62 W	0.00	8 of 15 - Sale ²⁵
	14.000	37.45	06/17/20	47.41	9.96 W	0.00	9 of 15 - Sale ²⁵
	5.000	13.38	06/17/20	16.88	3.50 W	0.00	10 of 15 - Sale ²⁵
	4.000	10.70	06/17/20	12.10	1.40 W	0.00	11 of 15 - Sale ²⁵
	2.000	5.35	06/17/20	6.05	0.70 W	0.00	12 of 15 - Sale ²⁵

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(continued)

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	1.000	2.67	06/18/20	3.35	0.68 W	0.00	13 of 15 - Sale ²⁵
	9.000	24.07	06/18/20	26.73	2.66 W	0.00	14 of 15 - Sale ²⁵
	1.000	2.68	06/18/20	3.28	0.60 W	0.00	15 of 15 - Sale ²⁵
07/06/20	86.000	228.79	Various	284.62	55.83 W	0.00	Total of 15 transactions
	13 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	4.000	6.24	06/18/20	13.10	6.86 W	0.00	1 of 13 - Sale ²⁵
	7.692	12.00	07/01/20	24.07	12.07 W	0.00	2 of 13 - Sale ²⁵
	13.000	20.28	07/01/20	40.69	20.41 W	0.00	3 of 13 - Sale ²⁵
	1.000	1.56	07/01/20	2.92	1.36 W	0.00	4 of 13 - Sale ²⁵
	6.692	10.44	07/02/20	20.52	10.08 W	0.00	5 of 13 - Sale ²⁵
	1.000	1.56	07/02/20	2.97	1.41 W	0.00	6 of 13 - Sale ²⁵
	1.308	2.04	07/02/20	4.16	2.12 W	0.00	7 of 13 - Sale ²⁵
	3.000	4.68	07/02/20	9.22	4.54 W	0.00	8 of 13 - Sale ²⁵
	5.000	7.80	07/02/20	15.17	7.37 W	0.00	9 of 13 - Sale ²⁵
	8.000	12.48	07/02/20	23.72	11.24 W	0.00	10 of 13 - Sale ²⁵
	1.000	1.56	07/07/20	2.51	0.95 W	0.00	11 of 13 - Sale ²⁵
	9.000	14.03	07/07/20	19.08	5.05 W	0.00	12 of 13 - Sale ²⁵
	4.308	6.72	07/07/20	10.93	4.21 W	0.00	13 of 13 - Sale ²⁵
07/23/20	65.000	101.39	Various	189.06	87.67 W	0.00	Total of 13 transactions
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	4.308	8.21	07/08/20	7.56	...	0.65	1 of 2 - Sale ²⁵
	15.692	29.89	07/08/20	27.54	...	2.35	2 of 2 - Sale ²⁵
08/10/20	20.000	38.10	Various	35.10	...	3.00	Total of 2 transactions
	21 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	1.90	07/07/20	2.90	1.00 W	0.00	1 of 21 - Sale ²⁵
	4.000	7.62	07/07/20	10.06	2.44 W	0.00	2 of 21 - Sale ²⁵
	1.000	1.91	07/07/20	3.37	1.46 W	0.00	3 of 21 - Sale ²⁵
	4.000	7.62	07/07/20	13.44	5.82 W	0.00	4 of 21 - Sale ²⁵
	0.692	1.32	07/07/20	2.45	1.13 W	0.00	5 of 21 - Sale ²⁵
	3.000	5.71	07/07/20	7.61	1.90 W	0.00	6 of 21 - Sale ²⁵
	1.000	1.90	07/07/20	2.43	0.53 W	0.00	7 of 21 - Sale ²⁵
	5.000	9.52	07/07/20	12.62	3.10 W	0.00	8 of 21 - Sale ²⁵
	4.000	7.62	07/07/20	8.70	1.08 W	0.00	9 of 21 - Sale ²⁵

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(continued)

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	2.000	3.81	07/07/20	4.35	0.54 W	0.00	10 of 21 - Sale ²⁵
	7.692	14.65	07/07/20	27.11	12.46 W	0.00	11 of 21 - Sale ²⁵
	13.000	24.77	07/07/20	45.83	21.06 W	0.00	12 of 21 - Sale ²⁵
	3.308	6.30	07/07/20	12.14	5.84 W	0.00	13 of 21 - Sale ²⁵
	1.000	1.91	07/07/20	3.31	1.40 W	0.00	14 of 21 - Sale ²⁵
	6.692	12.75	07/07/20	23.16	10.41 W	0.00	15 of 21 - Sale ²⁵
	1.308	2.49	07/07/20	4.68	2.19 W	0.00	16 of 21 - Sale ²⁵
	3.000	5.71	07/07/20	10.41	4.70 W	0.00	17 of 21 - Sale ²⁵
	4.000	7.62	07/07/20	13.44	5.82 W	0.00	18 of 21 - Sale ²⁵
	5.000	9.53	07/07/20	17.14	7.61 W	0.00	19 of 21 - Sale ²⁵
	5.000	9.52	07/08/20	11.59	2.07 W	0.00	20 of 21 - Sale ²⁵
	4.308	8.21	07/08/20	11.77	3.56 W	0.00	21 of 21 - Sale ²⁵
08/10/20	80.000	152.39	Various	248.51	96.12 W	0.00	Total of 21 transactions
	18 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	3.60	07/08/20	3.56	...	0.04	1 of 18 - Sale ²⁵
	5.000	9.00	07/08/20	8.90	...	0.10	2 of 18 - Sale ²⁵
	10.000	18.00	07/08/20	17.90	...	0.10	3 of 18 - Sale ²⁵
	25.000	44.99	07/08/20	44.66	...	0.33	4 of 18 - Sale ²⁵
	20.000	35.99	07/08/20	35.10	...	0.89	5 of 18 - Sale ²⁵
	20.000	36.00	07/08/20	35.10	...	0.90	6 of 18 - Sale ²⁵
	20.000	36.00	07/08/20	35.10	...	0.90	7 of 18 - Sale ²⁵
	20.000	36.00	07/08/20	35.10	...	0.90	8 of 18 - Sale ²⁵
	20.000	36.00	07/08/20	35.10	...	0.90	9 of 18 - Sale ²⁵
	20.000	36.00	07/08/20	35.10	...	0.90	10 of 18 - Sale ²⁵
	20.000	36.00	07/08/20	35.10	...	0.90	11 of 18 - Sale ²⁵
	20.000	36.00	07/08/20	35.10	...	0.90	12 of 18 - Sale ²⁵
	20.692	37.24	07/08/20	36.32	...	0.92	13 of 18 - Sale ²⁵
	25.000	44.99	07/08/20	43.88	...	1.11	14 of 18 - Sale ²⁵
	30.000	53.99	07/09/20	51.15	...	2.84	15 of 18 - Sale ²⁵
	30.000	53.99	07/09/20	50.85	...	3.14	16 of 18 - Sale ²⁵
	50.000	89.99	07/09/20	86.75	...	3.24	17 of 18 - Sale ²⁵
	42.308	76.15	07/09/20	71.92	...	4.23	18 of 18 - Sale ²⁵
08/11/20	400.000	719.93	Various	696.69	...	23.24	Total of 18 transactions

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	7.692	7.92	07/09/20	13.08	5.16 W	0.00	1 of 6 - Sale ²⁵
	2.576	2.65	07/10/20	4.13	1.48 W	0.00	2 of 6 - Sale ²⁵
	4.224	4.36	07/10/20	6.80	2.44 W	0.00	3 of 6 - Sale ²⁵
	0.068	0.07	07/10/20	0.11	0.04 W	0.00	4 of 6 - Sale ²⁵
	8.670	9.15	07/10/20	13.96	4.81 W	0.00	5 of 6 - Sale ²⁵
	1.330	1.40	07/13/20	4.21	2.81 W	0.00	6 of 6 - Sale ²⁵
09/14/20	24.561	25.55	Various	42.29	16.74 W	0.00	Total of 6 transactions
09/18/20	2.330	2.98	08/13/20	4.01	...	-1.03	Sale ²⁵
39 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	6.692	7.90	07/13/20	20.75	12.85 W	0.00	1 of 39 - Sale ²⁵
	7.692	9.08	07/13/20	24.34	15.26 W	0.00	2 of 39 - Sale ²⁵
	11.670	13.77	07/13/20	36.94	23.17 W	0.00	3 of 39 - Sale ²⁵
	1.308	1.54	07/13/20	4.21	2.67 W	0.00	4 of 39 - Sale ²⁵
	1.308	1.54	07/13/20	4.07	2.53 W	0.00	5 of 39 - Sale ²⁵
	0.692	0.82	07/13/20	2.20	1.38 W	0.00	6 of 39 - Sale ²⁵
	3.308	3.90	07/13/20	10.95	7.05 W	0.00	7 of 39 - Sale ²⁵
	1.000	1.18	07/13/20	2.95	1.77 W	0.00	8 of 39 - Sale ²⁵
	3.000	3.54	07/13/20	6.53	2.99 W	0.00	9 of 39 - Sale ²⁵
	1.000	1.18	07/13/20	2.08	0.90 W	0.00	10 of 39 - Sale ²⁵
	5.000	5.90	07/13/20	10.82	4.92 W	0.00	11 of 39 - Sale ²⁵
	4.000	4.72	07/13/20	7.26	2.54 W	0.00	12 of 39 - Sale ²⁵
	2.000	2.36	07/13/20	3.63	1.27 W	0.00	13 of 39 - Sale ²⁵
	1.000	1.18	07/13/20	2.55	1.37 W	0.00	14 of 39 - Sale ²⁵
	4.000	4.72	07/13/20	8.64	3.92 W	0.00	15 of 39 - Sale ²⁵
	5.000	5.90	07/13/20	9.82	3.92 W	0.00	16 of 39 - Sale ²⁵
	1.000	1.18	07/13/20	3.01	1.83 W	0.00	17 of 39 - Sale ²⁵
	1.692	2.00	07/13/20	5.27	3.27 W	0.00	18 of 39 - Sale ²⁵
	4.000	4.72	07/13/20	12.02	7.30 W	0.00	19 of 39 - Sale ²⁵
	4.000	4.72	07/13/20	12.02	7.30 W	0.00	20 of 39 - Sale ²⁵
	5.000	5.90	07/13/20	15.36	9.46 W	0.00	21 of 39 - Sale ²⁵
	4.308	5.08	07/13/20	10.24	5.16 W	0.00	22 of 39 - Sale ²⁵
	20.000	23.59	07/13/20	31.00	7.41 W	0.00	23 of 39 - Sale ²⁵
	12.000	14.16	07/13/20	18.30	4.14 W	0.00	24 of 39 - Sale ²⁵

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Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	4.000	4.72	07/13/20	6.00	1.28 W	0.00	25 of 39 - Sale ²⁵
	29.330	34.60	07/16/20	44.58	9.98 W	0.00	26 of 39 - Sale ²⁵
	0.670	0.80	07/16/20	1.02	0.22 W	0.00	27 of 39 - Sale ²⁵
	15.000	18.00	07/16/20	23.10	5.10 W	0.00	28 of 39 - Sale ²⁵
	25.000	30.00	07/16/20	38.00	8.00 W	0.00	29 of 39 - Sale ²⁵
	15.000	18.00	07/17/20	22.05	4.05 W	0.00	30 of 39 - Sale ²⁵
	10.000	12.00	07/17/20	14.50	2.50 W	0.00	31 of 39 - Sale ²⁵
	20.000	24.00	07/20/20	28.00	4.00 W	0.00	32 of 39 - Sale ²⁵
	14.330	17.19	07/20/20	19.92	2.73 W	0.00	33 of 39 - Sale ²⁵
	20.670	24.80	07/20/20	28.73	3.93 W	0.00	34 of 39 - Sale ²⁵
	40.000	48.00	07/21/20	54.40	6.40 W	0.00	35 of 39 - Sale ²⁵
	20.000	24.00	07/21/20	27.10	3.10 W	0.00	36 of 39 - Sale ²⁵
	7.000	8.40	08/03/20	9.94	1.54 W	0.00	37 of 39 - Sale ²⁵
	37.670	48.22	08/05/20	62.16	8.14 W	-5.80	38 of 39 - Sale ²⁵
	12.330	14.79	08/05/20	20.34	5.55 W	0.00	39 of 39 - Sale ²⁵
09/18/20	381.670	458.10	Various	664.80	200.90 W	-5.80	Total of 39 transactions
	17 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	17.670	27.57	08/13/20	30.39	...	-2.82	1 of 17 - Sale ²⁵
	10.000	15.60	08/13/20	16.85	...	-1.25	2 of 17 - Sale ²⁵
	12.461	19.44	08/13/20	20.00	...	-0.56	3 of 17 - Sale ²⁵
	0.545	0.85	08/14/20	0.75	...	0.10	4 of 17 - Sale ²⁵
	4.677	7.62	08/14/20	6.43	...	1.19	5 of 17 - Sale ²⁵
	9.323	14.54	08/14/20	12.82	...	1.72	6 of 17 - Sale ²⁵
	7.692	12.54	08/17/20	15.74	...	-3.20	7 of 17 - Sale ²⁵
	1.330	2.17	08/17/20	4.62	...	-2.45	8 of 17 - Sale ²⁵
	5.439	8.87	08/17/20	10.47	...	-1.60	9 of 17 - Sale ²⁵
	4.224	6.89	08/17/20	8.23	...	-1.34	10 of 17 - Sale ²⁵
	3.231	5.26	08/17/20	6.18	...	-0.92	11 of 17 - Sale ²⁵
	2.308	3.76	08/17/20	4.50	...	-0.74	12 of 17 - Sale ²⁵
	0.268	0.44	08/17/20	0.52	...	-0.08	13 of 17 - Sale ²⁵
	0.068	0.11	08/17/20	0.13	...	-0.02	14 of 17 - Sale ²⁵
	0.439	0.72	08/17/20	0.60	...	0.12	15 of 17 - Sale ²⁵
	15.000	24.44	08/18/20	20.03	...	4.41	16 of 17 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	0.323	0.53	08/19/20	1.04	...	-0.51	17 of 17 - Sale ²⁵
11/30/20	95.000	151.35	Various	159.30	...	-7.95	Total of 17 transactions
	Security total:	2,760.33		3,306.26	599.29 W	53.36	
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
09/01/20	1.000	185.22	07/27/20	182.27	...	2.95	3- Proceeds from collectibles [X] Sale ²⁵
SEACHANGE INTERNATIONAL, INC. COMMON STOCK / CUSIP: 811699107 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	18.000	33.12	05/27/20	36.14	...	-3.02	1 of 2 - Sale ²⁵
	20.000	36.80	05/27/20	39.20	...	-2.40	2 of 2 - Sale ²⁵
06/03/20	38.000	69.92	Various	75.34	...	-5.42	Total of 2 transactions
SENMIAO TECHNOLOGY LIMITED COM MON STOCK / CUSIP: 817225105 / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	43.000	19.42	05/22/20	16.34	...	3.08	1 of 2 - Sale ²⁵
	57.000	25.74	05/22/20	21.65	...	4.09	2 of 2 - Sale ²⁵
05/29/20	100.000	45.16	Various	37.99	...	7.17	Total of 2 transactions
SHAKE SHACK INC. / CUSIP: 819047101 / Symbol:							
05/13/20	1.000	49.08	05/05/20	49.75	...	-0.67	Sale ²⁵
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
11/30/20	0.458	500.00	11/30/20	478.23	...	21.77	Sale ²⁵
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	30.73	05/18/20	29.62	...	1.11	1 of 2 - Sale ²⁵
	1.000	30.82	05/18/20	29.62	...	1.20	2 of 2 - Sale ²⁵
05/20/20	2.000	61.55	Various	59.24	...	2.31	Total of 2 transactions
05/21/20	1.000	30.90	05/18/20	29.61	...	1.29	Sale ²⁵
	Security total:	92.45		88.85	...	3.60	

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SNAP INC. / CUSIP: 83304A106 / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	4.000	95.74	07/16/20	95.20	...	0.54	1 of 2 - Sale ²⁵
	1.000	23.96	08/05/20	21.98	...	1.98	2 of 2 - Sale ²⁵
09/11/20	5.000	119.70	Various	117.18	...	2.52	Total of 2 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	23.65	08/05/20	21.98	...	1.67	1 of 3 - Sale ²⁵
	1.000	23.65	08/05/20	21.98	...	1.67	2 of 3 - Sale ²⁵
	5.000	118.25	08/24/20	104.00	...	14.25	3 of 3 - Sale ²⁵
09/14/20	7.000	165.55	Various	147.96	...	17.59	Total of 3 transactions
	Security total:	285.25		265.14	...	20.11	
SOLITARIO ZINC CORP / CUSIP: 8342EP107 / Symbol:							
06/03/20	100.000	30.44	06/02/20	31.99	...	-1.55	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
05/15/20	1.000	7.03	05/15/20	7.57	...	-0.54	Sale ²⁵
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol:							
06/11/20	35.000	81.02	06/08/20	87.50	6.48 W	0.00	Sale ²⁵
09/09/20	15.000	27.98	06/09/20	47.42	19.44 W	0.00	Sale ²⁵
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	5.000	9.75	06/09/20	15.81	6.06 W	0.00	1 of 2 - Sale ²⁵
	7.000	13.65	06/10/20	18.15	4.50 W	0.00	2 of 2 - Sale ²⁵
09/10/20	12.000	23.40	Various	33.96	10.56 W	0.00	Total of 2 transactions
5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	8.000	16.18	06/10/20	20.75	4.57 W	0.00	1 of 5 - Sale ²⁵
	1.000	2.02	06/10/20	2.41	0.39 W	0.00	2 of 5 - Sale ²⁵
	4.000	8.09	07/21/20	9.20	1.11 W	0.00	3 of 5 - Sale ²⁵
	1.000	2.02	07/23/20	2.28	0.26 W	0.00	4 of 5 - Sale ²⁵
	1.000	2.02	07/27/20	2.24	0.22 W	0.00	5 of 5 - Sale ²⁵
09/11/20	15.000	30.33	Various	36.88	6.55 W	0.00	Total of 5 transactions

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SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol: (cont'd)							
5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	4.000	8.13	07/27/20	8.94	0.81 W	0.00	1 of 5 - Sale ²⁵
	4.000	8.14	08/13/20	14.06	5.92 W	0.00	2 of 5 - Sale ²⁵
	2.000	4.07	08/20/20	7.10	3.03 W	0.00	3 of 5 - Sale ²⁵
	1.000	2.03	09/02/20	3.31	1.28 W	0.00	4 of 5 - Sale ²⁵
	1.000	2.03	09/02/20	3.22	1.19 W	0.00	5 of 5 - Sale ²⁵
09/14/20	12.000	24.40	Various	36.63	12.23 W	0.00	Total of 5 transactions
11 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	4.000	8.15	09/02/20	12.89	4.74 W	0.00	1 of 11 - Sale ²⁵
	4.000	8.15	09/02/20	10.61	2.46 W	0.00	2 of 11 - Sale ²⁵
	8.000	16.30	09/02/20	26.45	10.15 W	0.00	3 of 11 - Sale ²⁵
	4.000	8.15	09/04/20	12.96	4.81 W	0.00	4 of 11 - Sale ²⁵
	2.000	4.07	09/04/20	6.55	2.48 W	0.00	5 of 11 - Sale ²⁵
	1.000	2.04	09/04/20	2.95	0.91 W	0.00	6 of 11 - Sale ²⁵
	1.000	2.04	09/04/20	3.04	1.00 W	0.00	7 of 11 - Sale ²⁵
	8.000	16.29	09/04/20	18.65	2.36 W	0.00	8 of 11 - Sale ²⁵
	3.000	6.11	09/04/20	7.21	1.10 W	0.00	9 of 11 - Sale ²⁵
	1.000	2.04	09/04/20	2.15	0.11 W	0.00	10 of 11 - Sale ²⁵
	4.000	8.14	09/04/20	8.15	0.01 W	0.00	11 of 11 - Sale ²⁵
10/01/20	40.000	81.48	Various	111.61	30.13 W	0.00	Total of 11 transactions
4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	2.09	09/04/20	2.02	...	0.07	1 of 4 - Sale ²⁵
	1.000	2.09	09/04/20	1.98	...	0.11	2 of 4 - Sale ²⁵
	4.000	8.36	09/04/20	7.85	...	0.51	3 of 4 - Sale ²⁵
	3.000	6.27	09/04/20	5.28	...	0.99	4 of 4 - Sale ²⁵
10/07/20	9.000	18.81	Various	17.13	...	1.68	Total of 4 transactions
4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	2.09	09/04/20	2.95	0.86 W	0.00	1 of 4 - Sale ²⁵
	3.000	6.27	09/04/20	8.83	2.56 W	0.00	2 of 4 - Sale ²⁵
	4.000	8.36	09/04/20	9.50	1.14 W	0.00	3 of 4 - Sale ²⁵
	8.000	16.72	09/04/20	24.23	7.51 W	0.00	4 of 4 - Sale ²⁵
10/07/20	16.000	33.44	Various	45.51	12.07 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 703519173

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol: (cont'd)							
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	2.20	09/04/20	1.76	...	0.44	1 of 3 - Sale ²⁵
	3.000	6.60	09/24/20	6.35	...	0.25	2 of 3 - Sale ²⁵
	8.000	17.60	09/24/20	16.36	...	1.24	3 of 3 - Sale ²⁵
10/08/20	12.000	26.40	Various	24.47	...	1.93	Total of 3 transactions
5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	4.000	8.80	09/22/20	12.41	3.61 W	0.00	1 of 5 - Sale ²⁵
	1.000	2.20	09/24/20	2.99	0.79 W	0.00	2 of 5 - Sale ²⁵
	1.000	2.20	09/24/20	2.99	0.79 W	0.00	3 of 5 - Sale ²⁵
	1.000	2.20	09/24/20	2.66	0.46 W	0.00	4 of 5 - Sale ²⁵
	1.000	2.20	09/24/20	2.75	0.55 W	0.00	5 of 5 - Sale ²⁵
10/08/20	8.000	17.60	Various	23.80	6.20 W	0.00	Total of 5 transactions
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	2.12	09/24/20	1.86	...	0.26	1 of 2 - Sale ²⁵
	4.000	8.48	09/24/20	7.01	...	1.47	2 of 2 - Sale ²⁵
10/16/20	5.000	10.60	Various	8.87	...	1.73	Total of 2 transactions
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	2.12	10/01/20	2.80	0.68 W	0.00	1 of 4 - Sale ²⁵
	4.000	8.48	10/01/20	11.37	2.89 W	0.00	2 of 4 - Sale ²⁵
	3.000	6.36	10/01/20	8.38	2.02 W	0.00	3 of 4 - Sale ²⁵
	2.000	4.24	10/01/20	5.76	1.52 W	0.00	4 of 4 - Sale ²⁵
10/16/20	10.000	21.20	Various	28.31	7.11 W	0.00	Total of 4 transactions
11 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	6.000	13.86	10/01/20	17.27	...	-3.41	1 of 11 - Sale ²⁵
	4.000	9.24	10/01/20	10.57	...	-1.33	2 of 11 - Sale ²⁵
	3.000	6.93	10/01/20	7.78	...	-0.85	3 of 11 - Sale ²⁵
	2.000	4.62	10/01/20	5.36	...	-0.74	4 of 11 - Sale ²⁵
	1.000	2.31	10/01/20	2.71	...	-0.40	5 of 11 - Sale ²⁵
	1.000	2.31	10/01/20	2.71	...	-0.40	6 of 11 - Sale ²⁵
	1.000	2.31	10/01/20	2.60	...	-0.29	7 of 11 - Sale ²⁵
	1.000	2.31	10/01/20	2.47	...	-0.16	8 of 11 - Sale ²⁵
	1.000	2.31	10/01/20	2.38	...	-0.07	9 of 11 - Sale ²⁵
	4.000	9.24	10/01/20	8.90	...	0.34	10 of 11 - Sale ²⁵

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(continued)

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SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol: (cont'd)							
	6.000	13.86	10/01/20	11.52	...	2.34	11 of 11 - Sale ²⁵
10/20/20	30.000	69.30	Various	74.27	...	-4.97	Total of 11 transactions
	Security total:	465.96		576.36	110.77 W	0.37	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
14 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	0.026	42.08	07/14/20	46.22	...	-4.14	1 of 14 - Sale ²⁵
	0.007	10.72	07/14/20	11.68	...	-0.96	2 of 14 - Sale ²⁵
	0.013	19.92	07/14/20	19.91	...	0.01	3 of 14 - Sale ²⁵
	0.020	30.16	07/14/20	30.00	...	0.16	4 of 14 - Sale ²⁵
	0.025	39.03	07/15/20	42.30	...	-3.27	5 of 14 - Sale ²⁵
	0.002	3.71	07/15/20	4.05	...	-0.34	6 of 14 - Sale ²⁵
	0.001	0.85	07/15/20	0.93	...	-0.08	7 of 14 - Sale ²⁵
	0.007	10.76	07/15/20	9.95	...	0.81	8 of 14 - Sale ²⁵
	0.007	10.93	07/15/20	10.08	...	0.85	9 of 14 - Sale ²⁵
	0.013	20.45	07/15/20	19.24	...	1.21	10 of 14 - Sale ²⁵
	0.014	21.48	07/15/20	20.06	...	1.42	11 of 14 - Sale ²⁵
	0.014	21.50	07/15/20	20.05	...	1.45	12 of 14 - Sale ²⁵
	0.014	21.72	07/15/20	20.00	...	1.72	13 of 14 - Sale ²⁵
	0.014	21.78	07/15/20	20.00	...	1.78	14 of 14 - Sale ²⁵
07/20/20	0.175	275.09	Various	274.47	...	0.62	Total of 14 transactions
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	0.058	86.42	07/13/20	100.00	13.58 W	0.00	1 of 3 - Sale ²⁵
	0.003	4.29	07/13/20	5.00	0.71 W	0.00	2 of 3 - Sale ²⁵
	0.047	69.81	07/14/20	70.00	0.19 W	0.00	3 of 3 - Sale ²⁵
07/20/20	0.107	160.52	Various	175.00	14.48 W	0.00	Total of 3 transactions
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	0.000	0.04	11/12/20	0.04	...	0.00	1 of 2 - Sale ²⁵
	0.485	215.61	11/12/20	199.96	...	15.65	2 of 2 - Sale ²⁵
11/17/20	0.485	215.65	Various	200.00	...	15.65	Total of 2 transactions
	Security total:	651.26		649.47	14.48 W	16.27	

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(continued)

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
08/25/20	2.000	78.78	06/26/20	48.00	...	30.78	Sale ²⁵
09/01/20	1.000	40.10	06/26/20	24.00	...	16.10	Sale ²⁵
	3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	2.000	109.60	06/26/20	48.00	...	61.60	1 of 3 - Sale ²⁵
	1.000	55.60	07/20/20	21.65	...	33.95	2 of 3 - Sale ²⁵
	2.000	109.60	07/20/20	43.50	...	66.10	3 of 3 - Sale ²⁵
09/02/20	5.000	274.80	Various	113.15	...	161.65	Total of 3 transactions
09/03/20	1.000	50.40	07/20/20	21.65	...	28.75	Sale ²⁵
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	42.92	07/23/20	20.50	...	22.42	1 of 2 - Sale ²⁵
	1.000	42.92	07/23/20	20.48	...	22.44	2 of 2 - Sale ²⁵
09/14/20	2.000	85.84	Various	40.98	...	44.86	Total of 2 transactions
	Security total:	529.92		247.78	...	282.14	
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	60.000	54.14	06/02/20	52.69	...	1.45	1 of 2 - Sale ²⁵
	40.000	36.09	06/03/20	34.60	...	1.49	2 of 2 - Sale ²⁵
06/05/20	100.000	90.23	Various	87.29	...	2.94	Total of 2 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	35.000	32.16	06/03/20	30.28	...	1.88	1 of 2 - Sale ²⁵
	165.000	151.62	06/04/20	138.59	...	13.03	2 of 2 - Sale ²⁵
06/08/20	200.000	183.78	Various	168.87	...	14.91	Total of 2 transactions
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	15.000	13.50	06/04/20	12.73	...	0.77	1 of 3 - Sale ²⁵
	85.000	76.49	06/04/20	71.39	...	5.10	2 of 3 - Sale ²⁵
	100.000	89.99	06/04/20	83.22	...	6.77	3 of 3 - Sale ²⁵
06/09/20	200.000	179.98	Various	167.34	...	12.64	Total of 3 transactions
	Security total:	453.99		423.50	...	30.49	
TYSON FOODS, INC. / CUSIP: 902494103 / Symbol:							
05/20/20	1.000	60.29	05/04/20	54.84	...	5.45	Sale ²⁵

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UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
05/13/20	10.000	80.20	05/11/20	89.68	5.69 W	-3.79	Sale ²⁵
05/15/20	2.000	15.46	05/14/20	17.30	...	-1.84	Sale ²⁵
05/18/20	4.000	33.65	05/14/20	34.59	...	-0.94	Sale ²⁵
	Security total:	129.31		141.57	5.69 W	-6.57	
US FOODS HOLDING CORP. / CUSIP: 912008109 / Symbol:							
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	18.78	05/05/20	18.94	0.16 W	0.00	1 of 3 - Sale ²⁵
	1.000	18.78	05/05/20	18.94	0.16 W	0.00	2 of 3 - Sale ²⁵
	1.000	18.70	05/05/20	18.94	0.24 W	0.00	3 of 3 - Sale ²⁵
05/20/20	3.000	56.26	Various	56.82	0.56 W	0.00	Total of 3 transactions
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	23.42	05/05/20	18.86	...	4.56	1 of 5 - Sale ²⁵
	1.000	23.42	05/05/20	18.86	...	4.56	2 of 5 - Sale ²⁵
	1.000	23.42	05/05/20	18.66	...	4.76	3 of 5 - Sale ²⁵
	1.000	23.43	05/05/20	18.39	...	5.04	4 of 5 - Sale ²⁵
	1.000	23.42	05/27/20	20.98	...	2.44	5 of 5 - Sale ²⁵
06/09/20	5.000	117.11	Various	95.75	...	21.36	Total of 5 transactions
	Security total:	173.37		152.57	0.56 W	21.36	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	30.952	60.97	07/06/20	65.00	2.08 W	-1.95	1 of 3 - Sale ²⁵
	0.048	0.09	07/06/20	0.10	0.01 W	0.00	2 of 3 - Sale ²⁵
	29.000	57.13	07/06/20	61.19	4.06 W	0.00	3 of 3 - Sale ²⁵
07/07/20	60.000	118.19	Various	126.29	6.15 W	-1.95	Total of 3 transactions
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	29.000	51.77	07/06/20	64.96	...	-13.19	1 of 3 - Sale ²⁵
	0.952	1.70	07/06/20	2.00	...	-0.30	2 of 3 - Sale ²⁵
	0.048	0.08	07/06/20	0.11	...	-0.03	3 of 3 - Sale ²⁵
07/08/20	30.000	53.55	Various	67.07	...	-13.52	Total of 3 transactions

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URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol: (cont'd)							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	28.910	55.22	07/06/20	60.71	...	-5.49	1 of 4 - Sale ²⁵
	10.000	19.10	07/07/20	21.00	...	-1.90	2 of 4 - Sale ²⁵
	1.090	2.08	07/09/20	1.99	...	0.09	3 of 4 - Sale ²⁵
	4.910	9.34	07/09/20	8.96	...	0.38	4 of 4 - Sale ²⁵
07/10/20	44.910	85.74	Various	92.66	...	-6.92	Total of 4 transactions
	Security total:	257.48		286.02	6.15 W	-22.39	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
07/06/20	12.000	74.46	07/02/20	90.42	...	-15.96	Sale ²⁵
07/08/20	13.000	106.41	07/02/20	97.96	...	8.45	Sale ²⁵
	Security total:	180.87		188.38	...	-7.51	
VECTOIQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
06/01/20	2.000	64.18	05/26/20	54.00	...	10.18	Sale ²⁵
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
11/16/20	5.000	304.25	10/13/20	296.70	...	7.55	Sale ²⁵
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
6 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	33.000	25.25	06/02/20	10.44	...	14.81	1 of 6 - Sale ²⁵
	67.000	51.25	06/02/20	21.21	...	30.04	2 of 6 - Sale ²⁵
	80.000	74.43	06/02/20	25.32	...	49.11	3 of 6 - Sale ²⁵
	100.000	94.99	06/02/20	31.65	...	63.34	4 of 6 - Sale ²⁵
	75.000	88.12	06/02/20	23.74	...	64.38	5 of 6 - Sale ²⁵
	150.000	125.89	06/02/20	47.48	...	78.41	6 of 6 - Sale ²⁵
06/04/20	505.000	459.93	Various	159.84	...	300.09	Total of 6 transactions
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	50.000	51.01	06/02/20	15.83	...	35.18	1 of 2 - Sale ²⁵
	50.000	51.25	06/02/20	15.82	...	35.43	2 of 2 - Sale ²⁵
06/05/20	100.000	102.26	Various	31.65	...	70.61	Total of 2 transactions
06/11/20	5.000	3.99	06/02/20	1.58	...	2.41	Sale ²⁵
07/06/20	20.000	12.00	06/02/20	6.33	...	5.67	Sale ²⁵

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol: (cont'd)							
Security total:		578.18		199.40	...		378.78
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
04/29/20	1.000	15.79	04/23/20	14.01	...		1.78 Sale ²⁵
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
06/11/20	1.000	43.69	06/09/20	41.67	...		2.02 Sale ²⁵
06/15/20	2.000	85.20	06/09/20	83.34	...		1.86 Sale ²⁵
Security total:		128.89		125.01	...		3.88
WALMART INC. / CUSIP: 931142103 / Symbol:							
4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	0.004	0.52	07/09/20	0.50	...		0.02 1 of 4 - Sale ²⁵
	0.009	1.12	07/09/20	1.09	...		0.03 2 of 4 - Sale ²⁵
	0.988	129.62	07/09/20	126.36	...		3.26 3 of 4 - Sale ²⁵
	1.000	131.26	07/09/20	127.50	...		3.76 4 of 4 - Sale ²⁵
07/27/20	2.000	262.52	Various	255.45	...		7.07 Total of 4 transactions
08/20/20	1.000	130.91	07/09/20	127.96	...		2.95 Sale ²⁵
11/30/20	1.000	151.36	07/09/20	127.95	...		23.41 Sale ²⁵
Security total:		544.79		511.36	...		33.43
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
07/08/20	700.000	122.36	07/02/20	110.22	...		12.14 Sale ²⁵
CHINA CERAMICS CO., LTD COMMON STOCK / CUSIP: G2113X134 / Symbol:							
05/27/20	150.000	87.06	05/22/20	96.75	9.69 W		0.00 Sale ²⁵
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	50.000	31.03	05/22/20	35.48	0.89 W		-3.56 1 of 3 - Sale ²⁵
	50.000	31.04	05/22/20	32.25	1.21 W		0.00 2 of 3 - Sale ²⁵
	100.000	62.07	05/22/20	70.96	8.89 W		0.00 3 of 3 - Sale ²⁵
05/29/20	200.000	124.14	Various	138.69	10.99 W		-3.56 Total of 3 transactions
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	15.000	9.45	05/26/20	10.68	...		-1.23 1 of 3 - Sale ²⁵
	30.000	18.90	05/26/20	19.42	...		-0.52 2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHINA CERAMICS CO., LTD COMMON STOCK / CUSIP: G2113X134 / Symbol: (cont'd)							
	15.000	9.45	05/26/20	9.77	...	-0.32	3 of 3 - Sale ²⁵
06/01/20	60.000	37.80	Various	39.87	...	-2.07	Total of 3 transactions
<i>5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	33.64	05/26/20	35.61	...	-1.97	1 of 5 - Sale ²⁵
	25.000	16.82	05/26/20	17.80	...	-0.98	2 of 5 - Sale ²⁵
	5.000	3.36	05/26/20	3.24	...	0.12	3 of 5 - Sale ²⁵
	10.000	6.72	05/27/20	7.09	...	-0.37	4 of 5 - Sale ²⁵
	10.000	6.73	05/27/20	7.09	...	-0.36	5 of 5 - Sale ²⁵
06/02/20	100.000	67.27	Various	70.83	...	-3.56	Total of 5 transactions
	Security total:	316.27		346.14	20.68 W	-9.19	
FRONTLINE LTD. / CUSIP: G3682E192 / Symbol:							
<i>2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	14.000	122.85	05/29/20	124.97	...	-2.12	1 of 2 - Sale ²⁵
	18.000	157.95	06/01/20	165.60	...	-7.65	2 of 2 - Sale ²⁵
06/09/20	32.000	280.80	Various	290.57	...	-9.77	Total of 2 transactions
REWALK ROBOTICS LTD. ORDINARY SHARES / CUSIP: M8216Q200 / Symbol:							
<i>4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.28	05/20/20	1.29	...	-0.01	1 of 4 - Sale ²⁵
	1.000	1.28	05/20/20	1.25	...	0.03	2 of 4 - Sale ²⁵
	2.000	2.56	05/20/20	2.52	...	0.04	3 of 4 - Sale ²⁵
	4.000	5.13	05/20/20	5.04	...	0.09	4 of 4 - Sale ²⁵
05/26/20	8.000	10.25	Various	10.10	...	0.15	Total of 4 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
06/09/20	30.000	51.60	06/08/20	20.37	...	31.23	Sale ²⁵
SEANERGY MARITIME HOLDINGS CORP COMMON STOCK / CUSIP: Y73760301 / Symbol:							
<i>3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	19.30	06/08/20	19.00	...	0.30	1 of 3 - Sale ²⁵
	150.000	28.93	06/08/20	28.50	...	0.43	2 of 3 - Sale ²⁵
	250.000	48.10	06/08/20	47.50	...	0.60	3 of 3 - Sale ²⁵
06/09/20	500.000	96.33	Various	95.00	...	1.33	Total of 3 transactions

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
06/25/20	200.000	56.14	06/08/20	37.98	...	18.16	Sale ²⁵
	Security total:	152.47		132.98	...	19.49	
Totals :		30,884.16		36,920.29	7,749.89 W	1,713.76	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	0.008	0.01	08/11/20	0.11	0.10 W	0.00	1 of 6 - Sale ²⁵
	1.000	1.29	08/11/20	15.08	13.79 W	0.00	2 of 6 - Sale ²⁵
	1.992	2.57	08/11/20	30.01	27.44 W	0.00	3 of 6 - Sale ²⁵
	0.488	0.63	08/11/20	7.61	6.98 W	0.00	4 of 6 - Sale ²⁵
	0.512	0.66	08/11/20	8.02	7.36 W	0.00	5 of 6 - Sale ²⁵
	1.000	1.29	08/11/20	14.62	13.33 W	0.00	6 of 6 - Sale ²⁵
09/18/20	5.000	6.45	Various	75.45	69.00 W	0.00	Total of 6 transactions
37 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	3.488	5.54	09/02/20	44.76	...	-39.22	1 of 37 - Sale ²⁵
	2.024	3.22	09/02/20	27.53	...	-24.31	2 of 37 - Sale ²⁵
	1.992	3.17	09/02/20	25.26	...	-22.09	3 of 37 - Sale ²⁵
	2.000	3.18	09/02/20	25.24	...	-22.06	4 of 37 - Sale ²⁵
	1.512	2.40	09/02/20	19.61	...	-17.21	5 of 37 - Sale ²⁵
	1.512	2.40	09/02/20	19.01	...	-16.61	6 of 37 - Sale ²⁵
	1.488	2.36	09/02/20	18.65	...	-16.29	7 of 37 - Sale ²⁵
	1.000	1.59	09/02/20	14.92	...	-13.33	8 of 37 - Sale ²⁵
	1.000	1.59	09/02/20	14.48	...	-12.89	9 of 37 - Sale ²⁵
	1.000	1.59	09/02/20	13.85	...	-12.26	10 of 37 - Sale ²⁵
	0.976	1.55	09/02/20	13.16	...	-11.61	11 of 37 - Sale ²⁵

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.59	09/02/20	13.14	...	-11.55	12 of 37 - Sale ²⁵
	0.992	1.58	09/02/20	13.03	...	-11.45	13 of 37 - Sale ²⁵
	1.000	1.59	09/02/20	12.88	...	-11.29	14 of 37 - Sale ²⁵
	1.000	1.59	09/02/20	12.54	...	-10.95	15 of 37 - Sale ²⁵
	1.000	1.59	09/02/20	12.53	...	-10.94	16 of 37 - Sale ²⁵
	0.968	1.54	09/02/20	12.00	...	-10.46	17 of 37 - Sale ²⁵
	0.512	0.81	09/02/20	7.95	...	-7.14	18 of 37 - Sale ²⁵
	0.488	0.78	09/02/20	7.54	...	-6.76	19 of 37 - Sale ²⁵
	0.512	0.81	09/02/20	6.90	...	-6.09	20 of 37 - Sale ²⁵
	0.520	0.83	09/02/20	6.61	...	-5.78	21 of 37 - Sale ²⁵
	0.520	0.83	09/02/20	6.50	...	-5.67	22 of 37 - Sale ²⁵
	0.512	0.81	09/02/20	6.44	...	-5.63	23 of 37 - Sale ²⁵
	0.488	0.78	09/02/20	6.39	...	-5.61	24 of 37 - Sale ²⁵
	0.512	0.81	09/02/20	6.41	...	-5.60	25 of 37 - Sale ²⁵
	0.488	0.78	09/02/20	6.27	...	-5.49	26 of 37 - Sale ²⁵
	0.488	0.78	09/02/20	6.20	...	-5.42	27 of 37 - Sale ²⁵
	0.512	0.81	09/02/20	6.18	...	-5.37	28 of 37 - Sale ²⁵
	0.464	0.74	09/02/20	5.88	...	-5.14	29 of 37 - Sale ²⁵
	0.488	0.78	09/02/20	5.87	...	-5.09	30 of 37 - Sale ²⁵
	0.464	0.74	09/02/20	5.81	...	-5.07	31 of 37 - Sale ²⁵
	0.295	0.47	09/02/20	3.99	...	-3.52	32 of 37 - Sale ²⁵
	0.193	0.31	09/02/20	2.61	...	-2.30	33 of 37 - Sale ²⁵
	0.032	0.05	09/02/20	0.42	...	-0.37	34 of 37 - Sale ²⁵
	0.024	0.04	09/02/20	0.32	...	-0.28	35 of 37 - Sale ²⁵
	0.024	0.04	09/02/20	0.32	...	-0.28	36 of 37 - Sale ²⁵
	0.008	0.01	09/02/20	0.11	...	-0.10	37 of 37 - Sale ²⁵
10/12/20	31.496	50.08	Various	411.31	...	-361.23	Total of 37 transactions
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	0.992	1.58	08/25/20	14.81	4.15 W	-9.08	1 of 2 - Sale ²⁵
	1.000	1.59	08/25/20	14.94	13.35 W	0.00	2 of 2 - Sale ²⁵
10/12/20	1.992	3.17	Various	29.75	17.50 W	-9.08	Total of 2 transactions
	Security total:	59.70		516.51	86.50 W	-370.31	
Totals :		59.70		516.51	86.50 W	-370.31	

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Robinhood Securities LLC

Account 703519173

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
08/11/20	0.000	0.11	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000308688
09/15/20	0.000	0.09	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000343294
10/15/20	0.000	0.10	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000327857
11/17/20	0.000	0.11	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000354179
12/04/20	0.000	0.18	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000346624
	Security total:	0.59		
Totals :		0.59		

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Robinhood Securities LLC

Account 703519173

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	2.26	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/10/20 12/10/20	0.51 0.65	Qualified dividend Qualified dividend	
				1.16	Total Dividends & distributions	
WALMART INC.	931142103		09/08/20	1.09	Qualified dividend	
FRONTLINE LTD.	G3682E192		06/22/20	22.40	Qualified dividend	03
				26.91	Total Dividends & distributions	

Robinhood Securities LLC

Account 703519173

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
SPDR GOLD TRUST, SPDR GOLD SHA RES	78463V107	08/11/20	-0.11	Gross proceeds investment expense	15
	Note: CL	09/15/20	-0.09	Gross proceeds investment expense	15
		10/15/20	-0.10	Gross proceeds investment expense	15
		11/17/20	-0.11	Gross proceeds investment expense	15
		12/04/20	-0.18	Gross proceeds investment expense	15
			-0.59	Total Gross proceeds investmnt exp	
			-0.59	Total Gross proceeds investmnt exp	

Robinhood Securities LLC

Account 703519173

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	FRONTLINE LTD.	G3682E192	0.00	22.40		0.00	22.40	0.00
Total BERMUDA			0.00	22.40		0.00	22.40	0.00
Grand Total	Total Foreign Source Income*			22.40				
	Qualified Foreign Source Income**			22.40				
	Foreign Tax					0.00		

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 703519173

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
HYLIION HOLDINGS CORP.	449109107	10/02/20	2.00	0.00	Merger	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK	89154L100	10/02/20	-2.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.