

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 125179887	Statement Date: 02/14/2021 Document ID: 3536 814 V0BR	2020
	Vamshi Pulligila 1928 Interface Ln 301 Charlotte, NC 28262 RECIPIENT'S TIN: XXX-XX-5203		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	319,937.78	308,041.64	0.00	9,445.80	21,341.94
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	319,937.78	308,041.64	0.00	9,445.80	21,341.94
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	319,937.78	308,041.64	0.00	9,445.80	21,341.94
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 125179887

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.30
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.95
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
A 08/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	08/17/20	40.00	...	-10.01	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	08/17/20	40.00	...	-10.01	2 of 2 - Option sale to close-call ²⁵
08/18/20	2.000	59.98	Various	80.00	...	-20.02	Total of 2 transactions
AAL 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	2.000	155.98	11/09/20	186.00	...	-30.02	1 of 4 - Option sale to close-call ²⁵
	2.000	155.98	11/09/20	186.00	...	-30.02	2 of 4 - Option sale to close-call ²⁵
	1.000	77.98	11/09/20	93.00	...	-15.02	3 of 4 - Option sale to close-call ²⁵
	1.000	77.98	11/09/20	93.00	...	-15.02	4 of 4 - Option sale to close-call ²⁵
11/10/20	6.000	467.92	Various	558.00	...	-90.08	Total of 4 transactions

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Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/12/2020 CALL \$19.00 / CUSIP: / Symbol:							
06/05/20	2.000	639.97	06/04/20	52.00	...	587.97	Option sale to close-call ²⁵
06/08/20	6.000	1,163.96	06/04/20	156.00	...	1,007.96	Option sale to close-call ²⁵
	Security total:	1,803.93		208.00	...	1,595.93	
AAL 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/08/20	11.000	2,485.92	06/04/20	495.00	...	1,990.92	Option sale to close-call ²⁵
AAL 08/14/2020 CALL \$14.00 / CUSIP: / Symbol:							
	8 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	12.000	299.97	08/06/20	432.00	...	-132.03	1 of 8 - Option sale to close-call ²⁵
	2.000	49.99	08/06/20	94.01	...	-44.02	2 of 8 - Option sale to close-call ²⁵
	1.000	25.00	08/06/20	55.02	...	-30.02	3 of 8 - Option sale to close-call ²⁵
	1.000	25.00	08/06/20	55.01	...	-30.01	4 of 8 - Option sale to close-call ²⁵
	2.000	49.99	08/06/20	78.01	...	-28.02	5 of 8 - Option sale to close-call ²⁵
	1.000	24.99	08/06/20	47.01	...	-22.02	6 of 8 - Option sale to close-call ²⁵
	1.000	24.99	08/06/20	39.00	...	-14.01	7 of 8 - Option sale to close-call ²⁵
	1.000	24.98	08/06/20	36.00	...	-11.02	8 of 8 - Option sale to close-call ²⁵
08/07/20	21.000	524.91	Various	836.06	...	-311.15	Total of 8 transactions
	6 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	22.000	549.93	08/06/20	792.00	55.02 W	-187.05	1 of 6 - Option sale to close-call ²⁵
	1.000	24.98	08/06/20	33.00	8.02 W	0.00	2 of 6 - Option sale to close-call ²⁵
	1.000	24.99	08/06/20	33.00	8.01 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	25.00	08/06/20	44.02	19.02 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	24.99	08/06/20	36.00	11.01 W	0.00	5 of 6 - Option sale to close-call ²⁵
	1.000	25.00	08/06/20	44.01	19.01 W	0.00	6 of 6 - Option sale to close-call ²⁵
08/07/20	27.000	674.89	Various	982.03	120.09 W	-187.05	Total of 6 transactions
	Security total:	1,199.80		1,818.09	120.09 W	-498.20	
AAL 10/16/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/12/20	4.000	583.97	08/11/20	804.00	...	-220.03	Option sale to close-call ²⁵
AAL 11/13/2020 CALL \$17.00 / CUSIP: / Symbol:							
11/10/20	1.000	1.98	11/09/20	12.00	...	-10.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 12/24/2020 CALL \$17.00 / CUSIP: / Symbol:							
12/16/20	5.000	339.98	12/15/20	295.00	...	44.98	Option sale to close-call ²⁵
AAPL 03/27/2020 CALL \$225.00 / CUSIP: / Symbol:							
03/24/20	1.000	1,769.95	03/23/20	980.00	...	789.95	Option sale to close-call ²⁵
AAPL 03/27/2020 CALL \$235.00 / CUSIP: / Symbol:							
03/23/20	1.000	529.97	03/23/20	645.00	...	-115.03	Option sale to close-call ²⁵
AAPL 03/27/2020 CALL \$242.50 / CUSIP: / Symbol:							
03/24/20	1.000	709.97	03/24/20	745.00	35.03 W	0.00	Option sale to close-call ²⁵
03/25/20	1.000	1,534.95	03/24/20	750.03	...	784.92	Option sale to close-call ²⁵
	Security total:	2,244.92		1,495.03	35.03 W	784.92	
AAPL 03/27/2020 CALL \$247.50 / CUSIP: / Symbol:							
03/23/20	1.000	231.98	03/20/20	435.00	...	-203.02	Option sale to close-call ²⁵
AAPL 03/27/2020 CALL \$257.50 / CUSIP: / Symbol:							
03/23/20	1.000	140.98	03/20/20	330.00	...	-189.02	Option sale to close-call ²⁵
AAPL 04/03/2020 CALL \$270.00 / CUSIP: / Symbol:							
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	1.000	126.99	03/27/20	340.00	...	-213.01	1 of 2 - Option sale to close-call ²⁵
	1.000	126.99	03/27/20	195.00	...	-68.01	2 of 2 - Option sale to close-call ²⁵
03/30/20	2.000	253.98	Various	535.00	...	-281.02	Total of 2 transactions
AAPL 04/03/2020 CALL \$272.50 / CUSIP: / Symbol:							
03/26/20	1.000	268.98	03/25/20	395.00	...	-126.02	Option sale to close-call ²⁵
AAPL 04/17/2020 CALL \$275.00 / CUSIP: / Symbol:							
04/08/20	1.000	235.98	04/07/20	234.00	...	1.98	Option sale to close-call ²⁵
04/09/20	1.000	364.98	04/08/20	296.00	...	68.98	Option sale to close-call ²⁵
	Security total:	600.96		530.00	...	70.96	
AAPL 04/17/2020 PUT \$240.00 / CUSIP: / Symbol:							
04/08/20	1.000	181.98	04/07/20	285.00	...	-103.02	Option sale to close-put ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/08/2020 PUT \$285.00 / CUSIP: / Symbol:							
05/04/20	3.000	959.96	05/01/20	789.00	...	170.96	Option sale to close-put ²⁵
AAPL 06/05/2020 CALL \$332.50 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	2.000	33.99	06/03/20	60.00	...	-26.01	1 of 2 - Option sale to close-call ²⁵
	1.000	16.99	06/03/20	30.00	...	-13.01	2 of 2 - Option sale to close-call ²⁵
06/04/20	3.000	50.98	Various	90.00	...	-39.02	Total of 2 transactions
AAPL 06/12/2020 CALL \$350.00 / CUSIP: / Symbol:							
06/10/20	1.000	534.97	06/09/20	215.00	...	319.97	Option sale to close-call ²⁵
AAPL 06/12/2020 CALL \$360.00 / CUSIP: / Symbol:							
06/10/20	5.000	499.97	06/09/20	285.00	...	214.97	Option sale to close-call ²⁵
AAPL 06/12/2020 CALL \$375.00 / CUSIP: / Symbol:							
06/11/20	1.000	6.98	06/10/20	37.00	...	-30.02	Option sale to close-call ²⁵
AAPL 06/19/2020 CALL \$357.50 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	3.000	773.98	06/16/20	876.00	...	-102.02	1 of 2 - Option sale to close-call ²⁵
	2.000	515.98	06/16/20	584.00	...	-68.02	2 of 2 - Option sale to close-call ²⁵
06/16/20	5.000	1,289.96	Various	1,460.00	...	-170.04	Total of 2 transactions
AAPL 06/19/2020 PUT \$325.00 / CUSIP: / Symbol:							
06/15/20	1.000	384.98	06/12/20	490.00	105.02 W	0.00	Option sale to close-put ²⁵
06/16/20	1.000	38.98	06/15/20	445.02	...	-406.04	Option sale to close-put ²⁵
	Security total:	423.96		935.02	105.02 W	-406.04	
AAPL 06/26/2020 CALL \$365.00 / CUSIP: / Symbol:							
06/23/20	2.000	1,815.94	06/22/20	504.00	...	1,311.94	Option sale to close-call ²⁵

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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AAPL 06/26/2020 CALL \$380.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	06/23/20	265.02	...	-215.03	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	06/23/20	195.00	...	-145.01	2 of 2 - Option sale to close-call ²⁵
06/24/20	2.000	99.98	Various	460.02	...	-360.04	Total of 2 transactions
06/24/20	1.000	49.98	06/23/20	195.00	145.02 W	0.00	Option sale to close-call ²⁵
	Security total:	149.96		655.02	145.02 W	-360.04	
AAPL 07/02/2020 CALL \$380.00 / CUSIP: / Symbol:							
06/19/20	7.000	986.96	06/18/20	1,029.00	...	-42.04	Option sale to close-call ²⁵
AAPL 07/02/2020 CALL \$400.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	7.000	398.96	06/17/20	476.00	...	-77.04	1 of 2 - Option sale to close-call ²⁵
	1.000	57.00	06/17/20	62.00	...	-5.00	2 of 2 - Option sale to close-call ²⁵
06/18/20	8.000	455.96	Various	538.00	...	-82.04	Total of 2 transactions
AAPL 07/10/2020 CALL \$380.00 / CUSIP: / Symbol:							
07/09/20	1.000	499.97	07/08/20	310.00	...	189.97	Option sale to close-call ²⁵
AAPL 07/31/2020 CALL \$382.50 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	609.98	07/24/20	320.00	...	289.98	1 of 2 - Option sale to close-call ²⁵
	1.000	609.98	07/24/20	320.00	...	289.98	2 of 2 - Option sale to close-call ²⁵
07/27/20	2.000	1,219.96	Various	640.00	...	579.96	Total of 2 transactions
AAPL 07/31/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/24/20	2.000	493.97	07/23/20	750.00	...	-256.03	Option sale to close-call ²⁵
AAPL 07/31/2020 CALL \$395.00 / CUSIP: / Symbol:							
07/24/20	1.000	181.98	07/23/20	285.00	103.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	205.99	07/27/20	466.02	...	-260.03	1 of 2 - Option sale to close-call ²⁵
	1.000	205.99	07/27/20	363.00	...	-157.01	2 of 2 - Option sale to close-call ²⁵
07/28/20	2.000	411.98	Various	829.02	...	-417.04	Total of 2 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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AAPL 07/31/2020 CALL \$395.00 / CUSIP: / Symbol: (cont'd)							
Security total:		593.96		1,114.02		103.02 W	-417.04
AAPL 08/21/2020 CALL \$505.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	74.99	08/13/20	119.00	...	-44.01	1 of 2 - Option sale to close-call ²⁵
	1.000	74.99	08/13/20	106.00	...	-31.01	2 of 2 - Option sale to close-call ²⁵
08/14/20	2.000	149.98	Various	225.00	...	-75.02	Total of 2 transactions
AAPL 09/18/2020 CALL \$127.50 / CUSIP: / Symbol:							
09/08/20	2.000	311.98	09/04/20	488.00	...	-176.02	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$118.00 / CUSIP: / Symbol:							
10/12/20	2.000	617.97	10/09/20	374.00	...	243.97	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$122.50 / CUSIP: / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	131.98	09/25/20	132.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	131.98	09/25/20	132.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
09/29/20	2.000	263.96	Various	264.00	...	-0.04	Total of 2 transactions
AAPL 10/16/2020 CALL \$123.00 / CUSIP: / Symbol:							
10/13/20	1.000	269.98	10/12/20	201.00	...	68.98	Option sale to close-call ²⁵
AAPL 10/23/2020 CALL \$123.00 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	90.99	10/16/20	187.00	...	-96.01	1 of 2 - Option sale to close-call ²⁵
	1.000	90.99	10/16/20	123.00	...	-32.01	2 of 2 - Option sale to close-call ²⁵
10/19/20	2.000	181.98	Various	310.00	...	-128.02	Total of 2 transactions
AAPL 10/23/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	52.99	10/13/20	205.02	...	-152.03	1 of 2 - Option sale to close-call ²⁵
	1.000	52.99	10/14/20	73.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
10/15/20	2.000	105.98	Various	278.02	...	-172.04	Total of 2 transactions

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AAPL 10/23/2020 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
10/15/20	1.000	52.98	10/13/20	161.00	108.02 W	0.00	Option sale to close-call ²⁵
	Security total:	158.96		439.02	108.02 W	-172.04	
AAPL 10/30/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	4.000	243.98	10/02/20	276.00	...	-32.02	1 of 2 - Option sale to close-call ²⁵
	3.000	182.98	10/02/20	207.00	...	-24.02	2 of 2 - Option sale to close-call ²⁵
10/06/20	7.000	426.96	Various	483.00	...	-56.04	Total of 2 transactions
ABT 04/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
04/16/20	4.000	79.98	04/15/20	184.00	...	-104.02	Option sale to close-call ²⁵
ABT 04/24/2020 CALL \$95.00 / CUSIP: / Symbol:							
04/16/20	1.000	289.98	04/15/20	196.00	...	93.98	Option sale to close-call ²⁵
ABT 09/11/2020 CALL \$117.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	3.000	68.99	08/31/20	303.00	...	-234.01	1 of 2 - Option sale to close-call ²⁵
	2.000	45.99	08/31/20	104.00	...	-58.01	2 of 2 - Option sale to close-call ²⁵
09/01/20	5.000	114.98	Various	407.00	...	-292.02	Total of 2 transactions
ADS 05/08/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/29/20	65.00	...	-65.00	Option expiration- long call
AMAT 05/22/2020 CALL \$56.50 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	2.000	35.99	05/14/20	246.00	...	-210.01	1 of 2 - Option sale to close-call ²⁵
	1.000	17.99	05/18/20	30.00	...	-12.01	2 of 2 - Option sale to close-call ²⁵
05/18/20	3.000	53.98	Various	276.00	...	-222.02	Total of 2 transactions
AMAT 05/22/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	2.000	79.98	05/11/20	92.00	...	-12.02	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	05/11/20	46.00	...	-6.02	2 of 2 - Option sale to close-call ²⁵
05/12/20	3.000	119.96	Various	138.00	...	-18.04	Total of 2 transactions

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AMD 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
12/16/20	1.000	415.98	12/15/20	404.00	...	11.98	Option sale to close-call ²⁵
AMD 07/17/2020 CALL \$63.00 / CUSIP: / Symbol:							
6 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	07/10/20	71.00	21.02 W	0.00	1 of 6 - Option sale to close-call ²⁵
	1.000	49.98	07/10/20	71.00	21.02 W	0.00	2 of 6 - Option sale to close-call ²⁵
	2.000	99.98	07/10/20	142.00	42.02 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	50.00	07/10/20	50.02	0.02 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	49.99	07/10/20	50.02	0.03 W	0.00	5 of 6 - Option sale to close-call ²⁵
	2.000	99.99	07/10/20	100.02	0.03 W	0.00	6 of 6 - Option sale to close-call ²⁵
07/13/20	8.000	399.92	Various	484.06	84.14 W	0.00	Total of 6 transactions
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	10.98	07/13/20	50.02	...	-39.04	1 of 4 - Option sale to close-call ²⁵
	1.000	10.99	07/13/20	50.03	...	-39.04	2 of 4 - Option sale to close-call ²⁵
	1.000	10.99	07/13/20	50.02	...	-39.03	3 of 4 - Option sale to close-call ²⁵
	1.000	11.00	07/13/20	33.01	...	-22.01	4 of 4 - Option sale to close-call ²⁵
07/14/20	4.000	43.96	Various	183.08	...	-139.12	Total of 4 transactions
	Security total:	443.88		667.14	84.14 W	-139.12	
AMD 09/11/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	84.99	09/02/20	228.00	...	-143.01	1 of 2 - Option sale to close-call ²⁵
	1.000	84.99	09/03/20	109.00	...	-24.01	2 of 2 - Option sale to close-call ²⁵
09/03/20	2.000	169.98	Various	337.00	...	-167.02	Total of 2 transactions
AMD 10/09/2020 CALL \$86.50 / CUSIP: / Symbol:							
10/02/20	1.000	153.98	10/01/20	136.00	...	17.98	Option sale to close-call ²⁵
AMD 10/16/2020 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	103.99	09/10/20	288.00	...	-184.01	1 of 3 - Option sale to close-call ²⁵
	1.000	51.99	09/10/20	125.00	...	-73.01	2 of 3 - Option sale to close-call ²⁵
	1.000	52.00	09/10/20	125.00	...	-73.00	3 of 3 - Option sale to close-call ²⁵
09/14/20	4.000	207.98	Various	538.00	...	-330.02	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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AMD 10/16/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/30/20	4.000	799.97	09/24/20	468.00	...	331.97	Option sale to close-call ²⁵
AMD 10/16/2020 CALL \$90.00 / CUSIP: / Symbol:							
4 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	124.99	09/30/20	94.00	...	30.99	1 of 4 - Option sale to close-call ²⁵
	1.000	124.99	09/30/20	94.00	...	30.99	2 of 4 - Option sale to close-call ²⁵
	1.000	125.00	09/30/20	94.00	...	31.00	3 of 4 - Option sale to close-call ²⁵
	2.000	249.99	09/30/20	188.00	...	61.99	4 of 4 - Option sale to close-call ²⁵
10/01/20	5.000	624.97	Various	470.00	...	154.97	Total of 4 transactions
AMD 11/20/2020 CALL \$105.00 / CUSIP: / Symbol:							
10/09/20	2.000	357.98	10/08/20	504.00	...	-146.02	Option sale to close-call ²⁵
AMZN 03/27/2020 CALL \$2,125.00 / CUSIP: / Symbol:							
03/23/20	1.000	319.98	03/20/20	870.00	...	-550.02	Option sale to close-call ²⁵
AMZN 03/27/2020 CALL \$2,230.00 / CUSIP: / Symbol:							
03/20/20	1.000	299.98	03/19/20	225.00	...	74.98	Option sale to close-call ²⁵
AMZN 04/09/2020 CALL \$2,060.00 / CUSIP: / Symbol:							
04/07/20	1.000	349.98	04/06/20	285.00	...	64.98	Option sale to close-call ²⁵
AMZN 04/17/2020 CALL \$2,110.00 / CUSIP: / Symbol:							
04/13/20	1.000	1,814.94	04/09/20	985.00	...	829.94	Option sale to close-call ²⁵
AMZN 04/17/2020 PUT \$2,025.00 / CUSIP: / Symbol:							
04/13/20	1.000	729.97	04/13/20	955.00	225.03 W	0.00	Option sale to close-put ²⁵
04/14/20	1.000	150.98	04/13/20	955.03	...	-804.05	Option sale to close-put ²⁵
	Security total:	880.95		1,910.03	225.03 W	-804.05	
AMZN 04/24/2020 CALL \$2,640.00 / CUSIP: / Symbol:							
04/21/20	1.000	799.97	04/21/20	935.00	...	-135.03	Option sale to close-call ²⁵
AMZN 05/08/2020 CALL \$2,650.00 / CUSIP: / Symbol:							
05/01/20	1.000	684.97	04/30/20	3,085.00	...	-2,400.03	Option sale to close-call ²⁵

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AMZN 06/12/2020 CALL \$2,660.00 / CUSIP: / Symbol:							
06/10/20	1.000	2,499.93	06/09/20	1,025.00	...	1,474.93	Option sale to close-call ²⁵
AMZN 06/12/2020 CALL \$2,720.00 / CUSIP: / Symbol:							
06/10/20	2.000	1,609.95	06/09/20	1,010.00	...	599.95	Option sale to close-call ²⁵
AMZN 06/19/2020 CALL \$2,630.00 / CUSIP: / Symbol:							
06/17/20	1.000	3,419.91	06/16/20	2,455.00	...	964.91	Option sale to close-call ²⁵
AMZN 06/19/2020 CALL \$2,660.00 / CUSIP: / Symbol:							
06/15/20	1.000	819.97	06/12/20	1,195.00	375.03 W	0.00	Option sale to close-call ²⁵
06/16/20	1.000	1,299.96	06/15/20	1,175.03	...	124.93	Option sale to close-call ²⁵
Security total:		2,119.93		2,370.03	375.03 W	124.93	
AMZN 06/19/2020 CALL \$2,665.00 / CUSIP: / Symbol:							
06/17/20	1.000	2,209.94	06/17/20	2,015.00	...	194.94	Option sale to close-call ²⁵
AMZN 06/19/2020 CALL \$2,880.00 / CUSIP: / Symbol:							
06/10/20	1.000	874.97	06/10/20	880.00	...	-5.03	Option sale to close-call ²⁵
AMZN 07/02/2020 CALL \$2,840.00 / CUSIP: / Symbol:							
06/26/20	1.000	1,539.95	06/26/20	2,000.00	...	-460.05	Option sale to close-call ²⁵
AMZN 07/10/2020 CALL \$3,070.00 / CUSIP: / Symbol:							
07/07/20	1.000	2,799.92	07/06/20	2,585.00	...	214.92	Option sale to close-call ²⁵
AMZN 07/17/2020 CALL \$3,050.00 / CUSIP: / Symbol:							
07/06/20	1.000	4,499.89	07/01/20	985.00	...	3,514.89	Option sale to close-call ²⁵
AMZN 07/24/2020 CALL \$3,230.00 / CUSIP: / Symbol:							
07/21/20	1.000	7,509.82	07/17/20	2,060.00	...	5,449.82	Option sale to close-call ²⁵
AMZN 07/24/2020 CALL \$3,300.00 / CUSIP: / Symbol:							
07/17/20	1.000	2,049.94	07/16/20	2,475.00	...	-425.06	Option sale to close-call ²⁵
AMZN 07/24/2020 CALL \$3,450.00 / CUSIP: / Symbol:							
07/21/20	1.000	1,784.95	07/21/20	1,825.00	...	-40.05	Option sale to close-call ²⁵

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AMZN 08/07/2020 CALL \$3,290.00 / CUSIP: / Symbol:							
08/06/20	1.000	459.97	08/05/20	340.00	...	119.97	Option sale to close-call ²⁵
AMZN 08/07/2020 CALL \$3,330.00 / CUSIP: / Symbol:							
07/31/20	1.000	2,309.93	07/30/20	2,950.00	...	-640.07	Option sale to close-call ²⁵
AMZN 08/07/2020 CALL \$3,440.00 / CUSIP: / Symbol:							
07/30/20	1.000	1,804.95	07/30/20	1,915.00	...	-110.05	Option sale to close-call ²⁵
AMZN 08/07/2020 CALL \$3,550.00 / CUSIP: / Symbol:							
07/30/20	1.000	1,199.96	07/29/20	1,365.00	...	-165.04	Option sale to close-call ²⁵
AMZN 08/07/2020 CALL \$3,700.00 / CUSIP: / Symbol:							
07/28/20	1.000	999.96	07/27/20	1,780.00	...	-780.04	Option sale to close-call ²⁵
AMZN 08/14/2020 PUT \$3,020.00 / CUSIP: / Symbol:							
08/13/20	1.000	103.98	08/13/20	113.00	...	-9.02	Option sale to close-put ²⁵
AMZN 09/25/2020 PUT \$2,810.00 / CUSIP: / Symbol:							
09/23/20	1.000	99.98	09/22/20	100.00	...	-0.02	Option sale to close-put ²⁵
AMZN 10/30/2020 CALL \$4,000.00 / CUSIP: / Symbol:							
09/21/20	1.000	799.97	09/17/20	1,000.00	...	-200.03	Option sale to close-call ²⁵
APA 04/09/2020 CALL \$8.00 / CUSIP: / Symbol:							
	<i>2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	39.99	04/02/20	95.00	...	-55.01	1 of 2 - Option sale to close-call ²⁵
	5.000	39.98	04/02/20	45.00	...	-5.02	2 of 2 - Option sale to close-call ²⁵
04/03/20	10.000	79.97	Various	140.00	...	-60.03	Total of 2 transactions
APPN 05/15/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/07/20	22.00	...	-22.00	Option expiration- long call
ATVI 08/07/2020 CALL \$85.00 / CUSIP: / Symbol:							
08/04/20	1.000	364.98	08/03/20	275.00	...	89.98	Option sale to close-call ²⁵
ATVI 08/07/2020 CALL \$90.00 / CUSIP: / Symbol:							
08/05/20	1.000	23.98	08/04/20	186.00	...	-162.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ATVI 08/14/2020 CALL \$90.00 / CUSIP: / Symbol:							
08/07/20	1.000	27.98	08/06/20	78.00	...	-50.02	Option sale to close-call ²⁵
AYX 05/15/2020 CALL \$135.00 / CUSIP: / Symbol:							
05/06/20	1.000	349.98	05/06/20	360.00	...	-10.02	Option sale to close-call ²⁵
AYX 08/21/2020 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	129.99	08/07/20	478.00	...	-348.01	1 of 2 - Option sale to close-call ²⁵
	1.000	64.99	08/07/20	162.00	...	-97.01	2 of 2 - Option sale to close-call ²⁵
08/10/20	3.000	194.98	Various	640.00	...	-445.02	Total of 2 transactions
AYX 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/01/20	1.000	115.98	08/28/20	214.00	...	-98.02	Option sale to close-call ²⁵
AYX 09/18/2020 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	3.000	80.98	08/27/20	111.00	...	-30.02	1 of 2 - Option sale to close-call ²⁵
	1.000	27.00	08/27/20	30.00	...	-3.00	2 of 2 - Option sale to close-call ²⁵
08/28/20	4.000	107.98	Various	141.00	...	-33.02	Total of 2 transactions
BA 03/20/2020 PUT \$115.00 / CUSIP: / Symbol:							
03/16/20	1.000	449.98	03/16/20	330.00	...	119.98	Option sale to close-put ²⁵
BA 03/27/2020 CALL \$180.00 / CUSIP: / Symbol:							
03/26/20	1.000	924.96	03/25/20	232.00	...	692.96	Option sale to close-call ²⁵
BA 04/03/2020 CALL \$200.00 / CUSIP: / Symbol:							
03/26/20	1.000	854.97	03/26/20	970.00	...	-115.03	Option sale to close-call ²⁵
BA 04/03/2020 PUT \$120.00 / CUSIP: / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	1.000	240.98	04/02/20	253.00	...	-12.02	1 of 2 - Option sale to close-put ²⁵
	1.000	240.99	04/02/20	240.00	...	0.99	2 of 2 - Option sale to close-put ²⁵
04/02/20	2.000	481.97	Various	493.00	...	-11.03	Total of 2 transactions

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BA 04/03/2020 PUT \$135.00 / CUSIP: / Symbol:							
04/02/20	1.000	849.97	04/01/20	395.00	...	454.97	Option sale to close-put ²⁵
BA 04/09/2020 CALL \$160.00 / CUSIP: / Symbol:							
04/07/20	1.000	279.98	04/06/20	205.00	...	74.98	Option sale to close-call ²⁵
BA 06/05/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/29/20	1.000	17.98	05/28/20	118.02	...	-100.04	Option sale to close-call ²⁵
05/29/20	1.000	18.98	05/28/20	87.00	68.02 W	0.00	Option sale to close-call ²⁵
	Security total:	36.96		205.02	68.02 W	-100.04	
BA 06/12/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	974.96	06/04/20	420.00	...	554.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,584.95	06/04/20	221.00	...	1,363.95	2 of 2 - Option sale to close-call ²⁵
06/05/20	2.000	2,559.91	Various	641.00	...	1,918.91	Total of 2 transactions
BA 06/12/2020 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1,039.97	06/05/20	350.00	...	689.97	1 of 2 - Option sale to close-call ²⁵
	2.000	2,079.95	06/05/20	1,180.00	...	899.95	2 of 2 - Option sale to close-call ²⁵
06/08/20	3.000	3,119.92	Various	1,530.00	...	1,589.92	Total of 2 transactions
BA 06/12/2020 CALL \$235.00 / CUSIP: / Symbol:							
06/09/20	1.000	419.98	06/08/20	905.00	...	-485.02	Option sale to close-call ²⁵
BA 06/12/2020 PUT \$205.00 / CUSIP: / Symbol:							
06/10/20	1.000	789.97	06/09/20	225.00	...	564.97	Option sale to close-put ²⁵
BA 06/19/2020 CALL \$192.50 / CUSIP: / Symbol:							
06/18/20	1.000	319.98	06/18/20	320.00	...	-0.02	Option sale to close-call ²⁵
BA 06/19/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/11/20	1.000	227.98	06/10/20	505.00	...	-277.02	Option sale to close-call ²⁵
BA 06/19/2020 PUT \$165.00 / CUSIP: / Symbol:							
06/16/20	1.000	25.98	06/15/20	425.00	...	-399.02	Option sale to close-put ²⁵

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BA 06/19/2020 PUT \$175.00 / CUSIP: / Symbol:							
06/11/20	1.000	689.97	06/11/20	630.00	...	59.97	Option sale to close-put ²⁵
BA 07/17/2020 CALL \$195.00 / CUSIP: / Symbol:							
07/15/20	2.000	413.98	07/15/20	488.00	...	-74.02	Option sale to close-call ²⁵
BABA 04/24/2020 CALL \$215.00 / CUSIP: / Symbol:							
04/22/20	1.000	108.98	04/21/20	112.00	...	-3.02	Option sale to close-call ²⁵
BABA 04/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	04/20/20	107.00	...	-52.02	1 of 2 - Option sale to close-call ²⁵
	1.000	54.98	04/20/20	107.00	...	-52.02	2 of 2 - Option sale to close-call ²⁵
04/21/20	2.000	109.96	Various	214.00	...	-104.04	Total of 2 transactions
BABA 05/08/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	145.99	05/05/20	165.02	...	-19.03	1 of 2 - Option sale to close-call ²⁵
	1.000	145.99	05/05/20	157.00	...	-11.01	2 of 2 - Option sale to close-call ²⁵
05/06/20	2.000	291.98	Various	322.02	...	-30.04	Total of 2 transactions
05/06/20	1.000	148.98	05/05/20	157.00	8.02 W	0.00	Option sale to close-call ²⁵
	Security total:	440.96		479.02	8.02 W	-30.04	
BABA 05/22/2020 CALL \$207.50 / CUSIP: / Symbol:							
05/19/20	1.000	1,099.96	05/14/20	320.00	...	779.96	Option sale to close-call ²⁵
BABA 05/22/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	83.98	05/08/20	81.00	...	2.98	1 of 2 - Option sale to close-call ²⁵
	2.000	167.98	05/08/20	162.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
05/13/20	3.000	251.96	Various	243.00	...	8.96	Total of 2 transactions
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	374.98	05/19/20	257.00	...	117.98	1 of 2 - Option sale to close-call ²⁵
	1.000	374.98	05/19/20	257.00	...	117.98	2 of 2 - Option sale to close-call ²⁵
05/20/20	2.000	749.96	Various	514.00	...	235.96	Total of 2 transactions

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BABA 05/22/2020 CALL \$225.00 / CUSIP: / Symbol: (cont'd)							
Security total:		1,001.92		757.00	...	244.92	
BABA 05/29/2020 CALL \$210.00 / CUSIP: / Symbol:							
05/26/20	1.000	145.98	05/22/20	203.00	...	-57.02	Option sale to close-call ²⁵
BABA 05/29/2020 CALL \$235.00 / CUSIP: / Symbol:							
05/21/20	2.000	253.98	05/20/20	482.00	...	-228.02	Option sale to close-call ²⁵
BABA 07/10/2020 CALL \$252.50 / CUSIP: / Symbol:							
07/07/20	1.000	85.98	07/06/20	87.00	...	-1.02	Option sale to close-call ²⁵
BABA 07/24/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/16/20	15.000	2,384.91	07/16/20	2,370.00	...	14.91	Option sale to close-call ²⁵
BABA 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	394.98	08/21/20	76.00	...	318.98	1 of 3 - Option sale to close-call ²⁵
	1.000	394.98	08/21/20	76.00	...	318.98	2 of 3 - Option sale to close-call ²⁵
	1.000	394.99	08/21/20	76.00	...	318.99	3 of 3 - Option sale to close-call ²⁵
08/26/20	3.000	1,184.95	Various	228.00	...	956.95	Total of 3 transactions
BBY 08/28/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	4.000	19.98	08/24/20	524.00	...	-504.02	1 of 2 - Option sale to close-call ²⁵
	1.000	5.00	08/24/20	82.00	...	-77.00	2 of 2 - Option sale to close-call ²⁵
08/25/20	5.000	24.98	Various	606.00	...	-581.02	Total of 2 transactions
BIDU 05/22/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	629.97	05/18/20	233.00	...	396.97	1 of 2 - Option sale to close-call ²⁵
	1.000	764.97	05/18/20	233.00	...	531.97	2 of 2 - Option sale to close-call ²⁵
05/19/20	2.000	1,394.94	Various	466.00	...	928.94	Total of 2 transactions
BIDU 05/22/2020 CALL \$125.00 / CUSIP: / Symbol:							
05/12/20	5.000	104.98	05/11/20	100.00	...	4.98	Option sale to close-call ²⁵

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BIDU 05/22/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	3.000	26.98	05/19/20	147.02	...	-120.04	1 of 2 - Option sale to close-call ²⁵
	1.000	8.98	05/19/20	49.02	...	-40.04	2 of 2 - Option sale to close-call ²⁵
05/20/20	4.000	35.96	Various	196.04	...	-160.08	Total of 2 transactions
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	8.98	05/19/20	39.00	30.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	3.000	26.98	05/19/20	117.00	90.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/20/20	4.000	35.96	Various	156.00	120.04 W	0.00	Total of 2 transactions
	Security total:	71.92		352.04	120.04 W	-160.08	
BIDU 08/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/13/20	1.000	119.98	08/12/20	153.00	...	-33.02	Option sale to close-call ²⁵
BIG 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
06/01/20	1.000	134.98	05/29/20	142.00	...	-7.02	Option sale to close-call ²⁵
BIIB 10/16/2020 CALL \$335.00 / CUSIP: / Symbol:							
09/02/20	1.000	149.98	09/01/20	150.00	...	-0.02	Option sale to close-call ²⁵
BTU 03/20/2020 CALL \$6.00 / CUSIP: / Symbol:							
03/18/20	1.000	4.98	03/17/20	30.00	...	-25.02	Option sale to close-call ²⁵
CCL 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
04/16/20	2.000	239.98	04/06/20	220.00	...	19.98	Option sale to close-call ²⁵
CCL 06/12/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	119.98	06/08/20	190.00	...	-70.02	1 of 2 - Option sale to close-call ²⁵
	1.000	59.98	06/08/20	95.00	...	-35.02	2 of 2 - Option sale to close-call ²⁵
06/09/20	3.000	179.96	Various	285.00	...	-105.04	Total of 2 transactions
CCL 06/19/2020 CALL \$23.50 / CUSIP: / Symbol:							
06/11/20	1.000	34.98	06/10/20	155.02	...	-120.04	Option sale to close-call ²⁵
06/11/20	1.000	34.98	06/10/20	95.00	60.02 W	0.00	Option sale to close-call ²⁵
	Security total:	69.96		250.02	60.02 W	-120.04	

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CCL 06/19/2020 CALL \$27.50 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	113.98	06/09/20	210.00	...	-96.02	1 of 2 - Option sale to close-call ²⁵
	1.000	56.98	06/09/20	105.00	...	-48.02	2 of 2 - Option sale to close-call ²⁵
06/10/20	3.000	170.96	Various	315.00	...	-144.04	Total of 2 transactions
CCL 07/17/2020 CALL \$18.50 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	2.000	19.98	07/15/20	108.01	...	-88.03	1 of 2 - Option sale to close-call ²⁵
	2.000	19.98	07/15/20	66.00	...	-46.02	2 of 2 - Option sale to close-call ²⁵
07/16/20	4.000	39.96	Various	174.01	...	-134.05	Total of 2 transactions
07/16/20	6.000	71.98	07/15/20	198.00	42.01 W	-84.01	Option sale to close-call ²⁵
	Security total:	111.94		372.01	42.01 W	-218.06	
CCL 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	15.000	194.96	07/10/20	195.00	...	-0.04	1 of 3 - Option sale to close-call ²⁵
	15.000	194.97	07/10/20	195.00	...	-0.03	2 of 3 - Option sale to close-call ²⁵
	1.000	13.00	07/10/20	12.00	...	1.00	3 of 3 - Option sale to close-call ²⁵
07/13/20	31.000	402.93	Various	402.00	...	0.93	Total of 3 transactions
CHGG 08/21/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	08/03/20	340.00	...	-240.01	1 of 2 - Option sale to close-call ²⁵
	1.000	99.99	08/04/20	90.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
08/05/20	2.000	199.98	Various	430.00	...	-230.02	Total of 2 transactions
CHWY 08/07/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/05/20	2.000	75.98	08/04/20	200.00	...	-124.02	Option sale to close-call ²⁵
CLDR 06/05/2020 CALL \$13.50 / CUSIP: / Symbol:							
06/02/20	4.000	119.98	06/01/20	140.00	...	-20.02	Option sale to close-call ²⁵

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CNX 05/15/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/27/20	45.00	...	-45.00	1 of 2 - Option expiration- long call
	3.000	0.00	04/27/20	27.00	...	-27.00	2 of 2 - Option expiration- long call
05/15/20	8.000	0.00	Various	72.00	...	-72.00	Total of 2 transactions
CRWD 06/05/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	89.98	06/01/20	45.00	...	44.98	1 of 2 - Option sale to close-call ²⁵
	2.000	179.98	06/01/20	90.00	...	89.98	2 of 2 - Option sale to close-call ²⁵
06/02/20	3.000	269.96	Various	135.00	...	134.96	Total of 2 transactions
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	06/01/20	90.00	...	-60.01	1 of 3 - Option sale to close-call ²⁵
	2.000	29.99	06/02/20	140.00	...	-110.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	06/02/20	70.00	...	-55.00	3 of 3 - Option sale to close-call ²⁵
06/03/20	5.000	74.98	Various	300.00	...	-225.02	Total of 3 transactions
	Security total:	344.94		435.00	...	-90.06	
CRWD 06/05/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	2.000	99.98	06/02/20	130.00	...	-30.02	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	06/02/20	65.00	...	-15.02	2 of 2 - Option sale to close-call ²⁵
06/02/20	3.000	149.96	Various	195.00	...	-45.04	Total of 2 transactions
CRWD 09/04/2020 CALL \$160.00 / CUSIP: / Symbol:							
09/02/20	1.000	649.97	09/01/20	217.00	...	432.97	Option sale to close-call ²⁵
CSCO 08/14/2020 CALL \$50.00 / CUSIP: / Symbol:							
5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	52.98	08/10/20	47.00	...	5.98	1 of 5 - Option sale to close-call ²⁵
	1.000	52.98	08/10/20	47.00	...	5.98	2 of 5 - Option sale to close-call ²⁵
	1.000	52.98	08/10/20	47.00	...	5.98	3 of 5 - Option sale to close-call ²⁵
	1.000	52.98	08/10/20	47.00	...	5.98	4 of 5 - Option sale to close-call ²⁵
	2.000	105.98	08/10/20	94.00	...	11.98	5 of 5 - Option sale to close-call ²⁵
08/11/20	6.000	317.90	Various	282.00	...	35.90	Total of 5 transactions

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CSCO 08/21/2020 CALL \$50.50 / CUSIP: / Symbol:							
08/13/20	1.000	0.98	08/12/20	47.00	...	-46.02	Option sale to close-call ²⁵
CWH 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
08/10/20	3.000	164.98	08/07/20	270.00	...	-105.02	Option sale to close-call ²⁵
CWH 08/21/2020 CALL \$43.00 / CUSIP: / Symbol:							
08/05/20	1.000	379.98	08/04/20	275.00	...	104.98	Option sale to close-call ²⁵
08/06/20	1.000	159.98	08/04/20	275.00	...	-115.02	Option sale to close-call ²⁵
	Security total:	539.96		550.00	...	-10.04	
CWH 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
08/04/20	1.000	279.98	08/03/20	255.00	...	24.98	Option sale to close-call ²⁵
DAL 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/16/20	2.000	349.98	04/06/20	350.00	...	-0.02	Option sale to close-call ²⁵
DAL 06/12/2020 CALL \$33.00 / CUSIP: / Symbol:							
06/09/20	1.000	205.98	06/04/20	114.00	...	91.98	Option sale to close-call ²⁵
DAL 06/12/2020 CALL \$36.00 / CUSIP: / Symbol:							
06/11/20	4.000	11.98	06/10/20	108.00	...	-96.02	Option sale to close-call ²⁵
DBD 03/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
03/20/20	3.000	0.00	03/17/20	30.00	...	-30.00	Option expiration- long call
DBX 05/01/2020 CALL \$22.00 / CUSIP: / Symbol:							
04/21/20	1.000	11.98	04/20/20	14.00	...	-2.02	Option sale to close-call ²⁵
DDD 08/07/2020 CALL \$8.00 / CUSIP: / Symbol:							
	3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	7.000	0.00	08/04/20	77.00	...	-77.00	1 of 3 - Option expiration- long call
	7.000	0.00	08/04/20	77.00	...	-77.00	2 of 3 - Option expiration- long call
	4.000	0.00	08/04/20	60.00	...	-60.00	3 of 3 - Option expiration- long call
08/07/20	18.000	0.00	Various	214.00	...	-214.00	Total of 3 transactions
DDOG 07/17/2020 CALL \$92.00 / CUSIP: / Symbol:							
07/15/20	2.000	149.98	07/14/20	230.00	...	-80.02	Option sale to close-call ²⁵

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DDOG 09/04/2020 CALL \$85.50 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	274.98	08/24/20	250.00	...	24.98	1 of 2 - Option sale to close-call ²⁵
	1.000	249.98	08/25/20	280.00	...	-30.02	2 of 2 - Option sale to close-call ²⁵
08/25/20	2.000	524.96	Various	530.00	...	-5.04	Total of 2 transactions
DIA 06/19/2020 PUT \$244.00 / CUSIP: / Symbol:							
06/16/20	3.000	65.98	06/15/20	990.00	...	-924.02	Option sale to close-put ²⁵
DIA 06/19/2020 PUT \$261.00 / CUSIP: / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	3.000	530.97	06/09/20	546.00	...	-15.03	1 of 3 - Option sale to close-put ²⁵
	1.000	176.98	06/09/20	182.00	...	-5.02	2 of 3 - Option sale to close-put ²⁵
	1.000	176.98	06/09/20	182.00	...	-5.02	3 of 3 - Option sale to close-put ²⁵
06/09/20	5.000	884.93	Various	910.00	...	-25.07	Total of 3 transactions
DIN 04/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
04/13/20	2.000	249.98	04/08/20	190.00	...	59.98	Option sale to close-call ²⁵
DIN 05/15/2020 CALL \$50.00 / CUSIP: / Symbol:							
04/16/20	4.000	79.98	04/15/20	140.00	60.02 W	0.00	Option sale to close-call ²⁵
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	04/17/20	80.01	...	154.97	1 of 3 - Option sale to close-call ²⁵
	1.000	249.99	04/17/20	80.00	...	169.99	2 of 3 - Option sale to close-call ²⁵
	1.000	249.98	04/21/20	50.01	...	199.97	3 of 3 - Option sale to close-call ²⁵
04/29/20	3.000	734.95	Various	210.02	...	524.93	Total of 3 transactions
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	149.99	04/21/20	50.00	...	99.99	1 of 2 - Option sale to close-call ²⁵
	1.000	149.99	04/21/20	35.00	...	114.99	2 of 2 - Option sale to close-call ²⁵
04/30/20	2.000	299.98	Various	85.00	...	214.98	Total of 2 transactions
05/15/20	3.000	0.00	05/08/20	45.00	...	-45.00	Option expiration- long call
	Security total:	1,114.91		480.02	60.02 W	694.91	
DIN 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/19/20	2.000	239.98	05/18/20	260.00	...	-20.02	Option sale to close-call ²⁵

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(continued)

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DIS 04/17/2020 CALL \$109.00 / CUSIP: / Symbol:							
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	2.000	109.99	04/09/20	300.00	...	-190.01	1 of 3 - Option sale to close-call ²⁵
	1.000	54.99	04/09/20	202.00	...	-147.01	2 of 3 - Option sale to close-call ²⁵
	1.000	55.00	04/09/20	201.00	...	-146.00	3 of 3 - Option sale to close-call ²⁵
04/13/20	4.000	219.98	Various	703.00	...	-483.02	Total of 3 transactions
DIS 04/17/2020 PUT \$94.00 / CUSIP: / Symbol:							
04/14/20	3.000	62.98	04/13/20	300.00	...	-237.02	Option sale to close-put ²⁵
DIS 05/15/2020 CALL \$110.00 / CUSIP: / Symbol:							
05/12/20	2.000	167.98	05/11/20	168.00	...	-0.02	Option sale to close-call ²⁵
DIS 05/22/2020 CALL \$116.00 / CUSIP: / Symbol:							
05/11/20	3.000	116.98	05/08/20	192.00	...	-75.02	Option sale to close-call ²⁵
DIS 10/16/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/14/20	1.000	66.98	10/13/20	102.00	...	-35.02	Option sale to close-call ²⁵
DIS 11/20/2020 CALL \$135.00 / CUSIP: / Symbol:							
10/13/20	1.000	364.98	10/12/20	214.00	...	150.98	Option sale to close-call ²⁵
DIS 12/24/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	36.98	12/18/20	243.03	...	-206.05	1 of 2 - Option sale to close-call ²⁵
	1.000	36.99	12/18/20	183.02	...	-146.03	2 of 2 - Option sale to close-call ²⁵
12/21/20	2.000	73.97	Various	426.05	...	-352.08	Total of 2 transactions
4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	2.000	73.98	12/18/20	194.00	120.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	36.99	12/18/20	157.01	120.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	36.99	12/18/20	146.01	109.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	36.99	12/18/20	206.02	169.03 W	0.00	4 of 4 - Option sale to close-call ²⁵
12/21/20	5.000	184.95	Various	703.04	518.09 W	0.00	Total of 4 transactions
	Security total:	258.92		1,129.09	518.09 W	-352.08	

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DKNG 10/16/2020 CALL \$50.00 / CUSIP: / Symbol:							
09/03/20	1.000	194.98	09/02/20	190.00	...	4.98	Option sale to close-call ²⁵
DKS 06/05/2020 CALL \$41.00 / CUSIP: / Symbol:							
06/02/20	4.000	19.98	06/01/20	200.00	...	-180.02	Option sale to close-call ²⁵
DOCU 06/05/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	06/01/20	215.00	...	184.98	1 of 2 - Option sale to close-call ²⁵
	1.000	449.98	06/01/20	215.00	...	234.98	2 of 2 - Option sale to close-call ²⁵
06/02/20	2.000	849.96	Various	430.00	...	419.96	Total of 2 transactions
DOCU 06/12/2020 CALL \$170.00 / CUSIP: / Symbol:							
06/04/20	2.000	369.98	06/03/20	530.00	...	-160.02	Option sale to close-call ²⁵
DOCU 07/10/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/06/20	1.000	1,499.95	07/02/20	445.00	...	1,054.95	Option sale to close-call ²⁵
DOCU 07/17/2020 CALL \$240.00 / CUSIP: / Symbol:							
07/10/20	4.000	459.97	07/09/20	500.00	...	-40.03	Option sale to close-call ²⁵
ERI 04/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	4.000	59.99	04/07/20	220.00	...	-160.01	1 of 3 - Option sale to close-call ²⁵
	4.000	59.99	04/07/20	120.00	...	-60.01	2 of 3 - Option sale to close-call ²⁵
	2.000	29.99	04/07/20	50.00	...	-20.01	3 of 3 - Option sale to close-call ²⁵
04/07/20	10.000	149.97	Various	390.00	...	-240.03	Total of 3 transactions
ERI 04/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	03/25/20	120.00	...	-110.01	1 of 3 - Option sale to close-call ²⁵
	1.000	10.00	03/26/20	70.00	...	-60.00	2 of 3 - Option sale to close-call ²⁵
	2.000	19.99	03/27/20	80.00	...	-60.01	3 of 3 - Option sale to close-call ²⁵
03/30/20	4.000	39.98	Various	270.00	...	-230.02	Total of 3 transactions
ERX 05/08/2020 CALL \$17.00 / CUSIP: / Symbol:							
05/06/20	3.000	44.98	05/05/20	105.00	...	-60.02	Option sale to close-call ²⁵

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ETSY 05/22/2020 CALL \$83.00 / CUSIP: / Symbol:							
05/14/20	1.000	239.98	05/08/20	225.00	...	14.98	Option sale to close-call ²⁵
ETSY 06/26/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/24/20	5.000	74.98	06/23/20	200.00	...	-125.02	Option sale to close-call ²⁵
ETSY 07/02/2020 CALL \$106.00 / CUSIP: / Symbol:							
06/29/20	1.000	154.98	06/26/20	265.00	...	-110.02	Option sale to close-call ²⁵
ETSY 08/07/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/05/20	1.000	384.98	08/04/20	400.00	...	-15.02	Option sale to close-call ²⁵
FB 04/24/2020 CALL \$185.00 / CUSIP: / Symbol:							
04/22/20	2.000	213.98	04/22/20	338.00	...	-124.02	Option sale to close-call ²⁵
FB 04/24/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	2.000	33.99	04/17/20	144.00	...	-110.01	1 of 2 - Option sale to close-call ²⁵
	1.000	16.99	04/17/20	52.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
04/21/20	3.000	50.98	Various	196.00	...	-145.02	Total of 2 transactions
FB 05/01/2020 CALL \$210.00 / CUSIP: / Symbol:							
04/28/20	1.000	62.98	04/27/20	195.02	...	-132.04	Option sale to close-call ²⁵
04/28/20	1.000	62.98	04/27/20	165.00	102.02 W	0.00	Option sale to close-call ²⁵
	Security total:	125.96		360.02	102.02 W	-132.04	
FB 05/08/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/01/20	1.000	754.97	04/29/20	440.00	...	314.97	Option sale to close-call ²⁵
FB 06/05/2020 CALL \$240.00 / CUSIP: / Symbol:							
06/01/20	2.000	99.98	05/29/20	98.00	...	1.98	Option sale to close-call ²⁵
FB 06/05/2020 CALL \$245.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	79.98	06/01/20	165.00	...	-85.02	1 of 2 - Option sale to close-call ²⁵
	1.000	15.98	06/01/20	33.00	...	-17.02	2 of 2 - Option sale to close-call ²⁵
06/02/20	6.000	95.96	Various	198.00	...	-102.04	Total of 2 transactions

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FB 07/02/2020 CALL \$275.00 / CUSIP: / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	11.000	252.97	06/17/20	374.00	...	-121.03	1 of 3 - Option sale to close-call ²⁵
	3.000	68.98	06/17/20	102.00	...	-33.02	2 of 3 - Option sale to close-call ²⁵
	1.000	22.98	06/17/20	34.00	...	-11.02	3 of 3 - Option sale to close-call ²⁵
06/18/20	15.000	344.93	Various	510.00	...	-165.07	Total of 3 transactions
FB 07/24/2020 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	2.000	77.99	07/23/20	74.00	...	3.99	1 of 3 - Option sale to close-call ²⁵
	3.000	116.99	07/23/20	111.00	...	5.99	2 of 3 - Option sale to close-call ²⁵
	10.000	389.97	07/23/20	370.00	...	19.97	3 of 3 - Option sale to close-call ²⁵
07/23/20	15.000	584.95	Various	555.00	...	29.95	Total of 3 transactions
FB 08/07/2020 CALL \$260.00 / CUSIP: / Symbol:							
08/03/20	3.000	398.98	07/31/20	456.00	...	-57.02	Option sale to close-call ²⁵
FB 08/07/2020 CALL \$265.00 / CUSIP: / Symbol:							
08/03/20	4.000	243.98	07/31/20	376.00	...	-132.02	Option sale to close-call ²⁵
FB 08/07/2020 CALL \$270.00 / CUSIP: / Symbol:							
08/03/20	1.000	29.98	07/31/20	59.00	...	-29.02	Option sale to close-call ²⁵
FB 08/21/2020 CALL \$272.50 / CUSIP: / Symbol:							
08/20/20	1.000	49.98	08/20/20	30.00	...	19.98	Option sale to close-call ²⁵
FB 08/28/2020 CALL \$277.50 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	2.000	599.97	08/18/20	210.00	...	389.97	1 of 2 - Option sale to close-call ²⁵
	1.000	609.97	08/18/20	105.00	...	504.97	2 of 2 - Option sale to close-call ²⁵
08/24/20	3.000	1,209.94	Various	315.00	...	894.94	Total of 2 transactions
FB 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/27/20	1.000	354.98	08/27/20	355.00	...	-0.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FB 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	439.98	08/24/20	97.00	...	342.98	1 of 3 - Option sale to close-call ²⁵
	2.000	899.97	08/24/20	254.00	...	645.97	2 of 3 - Option sale to close-call ²⁵
	1.000	439.98	08/25/20	92.00	...	347.98	3 of 3 - Option sale to close-call ²⁵
08/26/20	4.000	1,779.93	Various	443.00	...	1,336.93	Total of 3 transactions
FB 09/04/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	59.99	09/02/20	269.00	...	-209.01	1 of 2 - Option sale to close-call ²⁵
	1.000	59.99	09/02/20	174.00	...	-114.01	2 of 2 - Option sale to close-call ²⁵
09/03/20	2.000	119.98	Various	443.00	...	-323.02	Total of 2 transactions
FB 09/04/2020 CALL \$320.00 / CUSIP: / Symbol:							
08/26/20	3.000	449.98	08/26/20	477.00	27.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	349.99	08/26/20	157.01	...	192.98	1 of 2 - Option sale to close-call ²⁵
	2.000	699.97	08/26/20	314.01	...	385.96	2 of 2 - Option sale to close-call ²⁵
08/27/20	3.000	1,049.96	Various	471.02	...	578.94	Total of 2 transactions
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	207.98	08/27/20	230.00	...	-22.02	1 of 2 - Option sale to close-call ²⁵
	1.000	232.98	08/27/20	230.00	...	2.98	2 of 2 - Option sale to close-call ²⁵
08/28/20	2.000	440.96	Various	460.00	...	-19.04	Total of 2 transactions
	Security total:	1,940.90		1,408.02	27.02 W	559.90	
FB 10/16/2020 CALL \$280.00 / CUSIP: / Symbol:							
09/30/20	1.000	253.98	09/28/20	219.00	...	34.98	Option sale to close-call ²⁵
FB 10/16/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	2.000	95.98	09/25/20	98.00	...	-2.02	1 of 3 - Option sale to close-call ²⁵
	2.000	95.98	09/25/20	98.00	...	-2.02	2 of 3 - Option sale to close-call ²⁵
	1.000	49.98	09/25/20	49.00	...	0.98	3 of 3 - Option sale to close-call ²⁵
09/28/20	5.000	241.94	Various	245.00	...	-3.06	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FB 10/16/2020 CALL \$330.00 / CUSIP: / Symbol:							
09/21/20	1.000	19.98	09/17/20	41.00	...	-21.02	Option sale to close-call ²⁵
FB 10/23/2020 CALL \$290.00 / CUSIP: / Symbol:							
10/15/20	1.000	70.98	10/14/20	253.00	...	-182.02	Option sale to close-call ²⁵
FCEL 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
7 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	19.98	12/15/20	19.00	...	0.98	1 of 7 - Option sale to close-call ²⁵
	1.000	19.98	12/15/20	19.00	...	0.98	2 of 7 - Option sale to close-call ²⁵
	1.000	19.98	12/15/20	19.00	...	0.98	3 of 7 - Option sale to close-call ²⁵
	1.000	20.00	12/15/20	19.00	...	1.00	4 of 7 - Option sale to close-call ²⁵
	2.000	39.98	12/15/20	38.00	...	1.98	5 of 7 - Option sale to close-call ²⁵
	3.000	59.98	12/15/20	57.00	...	2.98	6 of 7 - Option sale to close-call ²⁵
	3.000	59.98	12/15/20	57.00	...	2.98	7 of 7 - Option sale to close-call ²⁵
12/16/20	12.000	239.88	Various	228.00	...	11.88	Total of 7 transactions
FEYE 07/31/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	07/29/20	100.00	...	-100.00	1 of 2 - Option expiration- long call
	20.000	0.00	07/29/20	100.00	...	-100.00	2 of 2 - Option expiration- long call
07/31/20	40.000	0.00	Various	200.00	...	-200.00	Total of 2 transactions
FIT 08/07/2020 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	70.000	209.85	08/04/20	210.00	...	-0.15	1 of 3 - Option sale to close-call ²⁵
	22.000	65.95	08/04/20	66.00	...	-0.05	2 of 3 - Option sale to close-call ²⁵
	8.000	23.97	08/04/20	24.00	...	-0.03	3 of 3 - Option sale to close-call ²⁵
08/05/20	100.000	299.77	Various	300.00	...	-0.23	Total of 3 transactions
FL 08/28/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	52.99	08/20/20	54.00	...	-1.01	1 of 2 - Option sale to close-call ²⁵
	1.000	52.99	08/20/20	51.00	...	1.99	2 of 2 - Option sale to close-call ²⁵
08/21/20	2.000	105.98	Various	105.00	...	0.98	Total of 2 transactions

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02/14/2021

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FND 08/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/31/20	1.000	31.98	07/30/20	190.00	...	-158.02	Option sale to close-call ²⁵
FSLR 08/14/2020 CALL \$67.00 / CUSIP: / Symbol:							
08/06/20	2.000	309.98	08/05/20	296.00	...	13.98	Option sale to close-call ²⁵
FSLY 05/15/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/07/20	1.000	399.98	05/07/20	105.00	...	294.98	Option sale to close-call ²⁵
FSLY 05/15/2020 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	209.98	05/07/20	145.00	...	64.98	1 of 2 - Option sale to close-call ²⁵
	1.000	199.98	05/08/20	65.00	...	134.98	2 of 2 - Option sale to close-call ²⁵
05/12/20	2.000	409.96	Various	210.00	...	199.96	Total of 2 transactions
FSLY 06/05/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/02/20	5.000	99.98	06/01/20	100.00	...	-0.02	Option sale to close-call ²⁵
FSLY 06/26/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	959.96	06/22/20	135.00	...	824.96	1 of 2 - Option sale to close-call ²⁵
	2.000	1,899.94	06/22/20	270.00	...	1,629.94	2 of 2 - Option sale to close-call ²⁵
06/23/20	3.000	2,859.90	Various	405.00	...	2,454.90	Total of 2 transactions
FSLY 06/26/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	2.000	399.98	06/19/20	120.00	...	279.98	1 of 2 - Option sale to close-call ²⁵
	3.000	599.97	06/19/20	180.00	...	419.97	2 of 2 - Option sale to close-call ²⁵
06/22/20	5.000	999.95	Various	300.00	...	699.95	Total of 2 transactions
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	549.97	06/22/20	200.00	...	349.97	1 of 2 - Option sale to close-call ²⁵
	1.000	549.97	06/23/20	610.00	...	-60.03	2 of 2 - Option sale to close-call ²⁵
06/23/20	2.000	1,099.94	Various	810.00	...	289.94	Total of 2 transactions
	Security total:	2,099.89		1,110.00	...	989.89	

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(continued)

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FSLY 06/26/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	3.000	299.99	06/23/20	645.00	...	-345.01	1 of 2 - Option sale to close-call ²⁵
	1.000	99.99	06/23/20	125.00	...	-25.01	2 of 2 - Option sale to close-call ²⁵
06/24/20	4.000	399.98	Various	770.00	...	-370.02	Total of 2 transactions
FSLY 07/02/2020 CALL \$85.00 / CUSIP: / Symbol:							
06/29/20	1.000	639.97	06/26/20	530.00	...	109.97	Option sale to close-call ²⁵
06/30/20	1.000	294.98	06/29/20	320.00	...	-25.02	Option sale to close-call ²⁵
	Security total:	934.95		850.00	...	84.95	
FSLY 07/10/2020 CALL \$91.00 / CUSIP: / Symbol:							
07/08/20	2.000	859.97	07/07/20	530.00	...	329.97	Option sale to close-call ²⁵
FSLY 07/17/2020 CALL \$120.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	89.99	07/10/20	85.00	...	4.99	1 of 3 - Option sale to close-call ²⁵
	1.000	90.00	07/10/20	85.00	...	5.00	2 of 3 - Option sale to close-call ²⁵
	4.000	359.98	07/10/20	340.00	...	19.98	3 of 3 - Option sale to close-call ²⁵
07/13/20	6.000	539.97	Various	510.00	...	29.97	Total of 3 transactions
FSLY 08/07/2020 CALL \$94.00 / CUSIP: / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	264.98	08/06/20	310.00	...	-45.02	1 of 2 - Option sale to close-call ²⁵
	1.000	264.99	08/06/20	310.00	...	-45.01	2 of 2 - Option sale to close-call ²⁵
08/06/20	2.000	529.97	Various	620.00	...	-90.03	Total of 2 transactions
FSLY 08/28/2020 CALL \$97.00 / CUSIP: / Symbol:							
08/25/20	1.000	39.98	08/24/20	295.02	...	-255.04	Option sale to close-call ²⁵
08/25/20	1.000	39.98	08/21/20	215.00	175.02 W	0.00	Option sale to close-call ²⁵
	Security total:	79.96		510.02	175.02 W	-255.04	

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GDx 01/21/2022 CALL \$66.00 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	2.000	189.99	09/30/20	314.00	...	-124.01	1 of 2 - Option sale to close-call ²⁵
	1.000	94.98	10/23/20	239.01	...	-144.03	2 of 2 - Option sale to close-call ²⁵
11/09/20	3.000	284.97	Various	553.01	...	-268.04	Total of 2 transactions
11/09/20	3.000	284.98	09/21/20	645.00	120.01 W	-240.01	Option sale to close-call ²⁵
	Security total:	569.95		1,198.01	120.01 W	-508.05	
GILD 03/20/2020 CALL \$77.00 / CUSIP: / Symbol:							
03/20/20	1.000	474.97	03/18/20	182.00	...	292.97	Option sale to close-call ²⁵
GILD 03/27/2020 CALL \$80.00 / CUSIP: / Symbol:							
03/23/20	1.000	145.98	03/20/20	295.00	...	-149.02	Option sale to close-call ²⁵
GILD 03/27/2020 CALL \$85.00 / CUSIP: / Symbol:							
03/20/20	1.000	264.98	03/18/20	265.00	...	-0.02	Option sale to close-call ²⁵
GILD 04/17/2020 CALL \$79.00 / CUSIP: / Symbol:							
04/13/20	1.000	7.98	04/09/20	0.00	...	7.98	Short sale closed- call ²⁵ Option written: 04/08/20
GILD 04/17/2020 CALL \$81.00 / CUSIP: / Symbol:							
04/09/20	1.000	98.98	04/08/20	108.00	...	-9.02	Option sale to close-call ²⁵
GILD 04/17/2020 PUT \$67.00 / CUSIP: / Symbol:							
04/09/20	1.000	51.98	04/08/20	71.00	...	-19.02	Option sale to close-put ²⁵
GILD 04/17/2020 PUT \$69.00 / CUSIP: / Symbol:							
04/13/20	1.000	11.98	04/09/20	0.00	...	11.98	Short sale closed- put ²⁵ Option written: 04/08/20
GILD 05/08/2020 CALL \$80.50 / CUSIP: / Symbol:							
05/04/20	3.000	647.97	05/01/20	894.00	...	-246.03	Option sale to close-call ²⁵
GILD 05/08/2020 CALL \$86.00 / CUSIP: / Symbol:							
05/01/20	1.000	120.98	04/30/20	300.00	...	-179.02	Option sale to close-call ²⁵

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GILD 07/10/2020 CALL \$80.00 / CUSIP: / Symbol:							
06/30/20	5.000	154.98	06/29/20	360.00	...	-205.02	Option sale to close-call ²⁵
GILD 08/07/2020 CALL \$80.00 / CUSIP: / Symbol:							
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	5.99	07/30/20	96.02	...	-90.03	1 of 4 - Option sale to close-call ²⁵
	1.000	3.00	07/30/20	48.03	...	-45.03	2 of 4 - Option sale to close-call ²⁵
	1.000	2.98	07/30/20	48.01	...	-45.03	3 of 4 - Option sale to close-call ²⁵
	1.000	2.99	07/30/20	48.00	...	-45.01	4 of 4 - Option sale to close-call ²⁵
07/31/20	5.000	14.96	Various	240.06	...	-225.10	Total of 4 transactions
6 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	5.99	07/30/20	36.00	30.01 W	0.00	1 of 6 - Option sale to close-call ²⁵
	3.000	8.99	07/30/20	54.00	45.01 W	0.00	2 of 6 - Option sale to close-call ²⁵
	1.000	2.98	07/30/20	33.01	30.03 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	3.00	07/30/20	33.00	30.00 W	0.00	4 of 6 - Option sale to close-call ²⁵
	2.000	5.99	07/30/20	66.01	60.02 W	0.00	5 of 6 - Option sale to close-call ²⁵
	1.000	2.99	07/30/20	33.00	30.01 W	0.00	6 of 6 - Option sale to close-call ²⁵
07/31/20	10.000	29.94	Various	255.02	225.08 W	0.00	Total of 6 transactions
	Security total:	44.90		495.08	225.08 W	-225.10	
GOOG 05/01/2020 CALL \$1,310.00 / CUSIP: / Symbol:							
04/29/20	1.000	2,869.92	04/28/20	1,490.00	...	1,379.92	Option sale to close-call ²⁵
GOOG 05/01/2020 CALL \$1,400.00 / CUSIP: / Symbol:							
04/16/20	1.000	509.98	04/16/20	410.00	...	99.98	Option sale to close-call ²⁵
04/16/20	1.000	509.98	04/16/20	590.00	80.02 W	0.00	Option sale to close-call ²⁵
04/27/20	1.000	269.98	04/16/20	580.02	310.04 W	0.00	Option sale to close-call ²⁵
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	234.99	04/23/20	800.04	...	-565.05	1 of 2 - Option sale to close-call ²⁵
	2.000	469.98	04/24/20	740.00	...	-270.02	2 of 2 - Option sale to close-call ²⁵
04/28/20	3.000	704.97	Various	1,540.04	...	-835.07	Total of 2 transactions
	Security total:	1,994.91		3,120.06	390.06 W	-735.09	

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOG 05/01/2020 CALL \$1,410.00 / CUSIP: / Symbol:							
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	24.99	04/29/20	150.00	...	-125.01	1 of 3 - Option sale to close-call ²⁵
	1.000	25.00	04/29/20	100.00	...	-75.00	2 of 3 - Option sale to close-call ²⁵
	1.000	24.99	04/30/20	70.00	...	-45.01	3 of 3 - Option sale to close-call ²⁵
04/30/20	3.000	74.98	Various	320.00	...	-245.02	Total of 3 transactions
GOOG 05/08/2020 CALL \$1,400.00 / CUSIP: / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	04/29/20	410.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	1.000	409.98	04/29/20	410.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
04/30/20	2.000	809.96	Various	820.00	...	-10.04	Total of 2 transactions
GOOG 07/17/2020 CALL \$1,620.00 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	219.99	07/13/20	990.00	...	-770.01	1 of 2 - Option sale to close-call ²⁵
	1.000	219.99	07/13/20	310.00	...	-90.01	2 of 2 - Option sale to close-call ²⁵
07/14/20	2.000	439.98	Various	1,300.00	...	-860.02	Total of 2 transactions
GOOG 10/23/2020 CALL \$1,700.00 / CUSIP: / Symbol:							
10/19/20	1.000	79.98	10/16/20	220.00	...	-140.02	Option sale to close-call ²⁵
GOOGL 09/04/2020 CALL \$1,790.0 / CUSIP: / Symbol:							
08/31/20	1.000	49.98	08/28/20	155.00	...	-105.02	Option sale to close-call ²⁵
GPMT 04/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
03/27/20	1.000	89.98	03/26/20	110.00	...	-20.02	Option sale to close-put ²⁵
GPRE 06/19/2020 CALL \$11.00 / CUSIP: / Symbol:							
05/21/20	1.000	29.98	05/20/20	35.00	...	-5.02	Option sale to close-call ²⁵
GPRO 08/07/2020 CALL \$6.50 / CUSIP: / Symbol:							
08/05/20	10.000	169.97	08/05/20	170.00	...	-0.03	Option sale to close-call ²⁵

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GPS 04/03/2020 PUT \$6.50 / CUSIP: / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	10.99	03/27/20	76.02	...	-65.03	1 of 2 - Option sale to close-put ²⁵
	1.000	10.99	03/27/20	75.02	...	-64.03	2 of 2 - Option sale to close-put ²⁵
03/30/20	2.000	21.98	Various	151.04	...	-129.06	Total of 2 transactions
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	22.98	03/27/20	58.00	35.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	21.98	03/27/20	58.00	36.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
03/30/20	2.000	44.96	Various	116.00	71.04 W	0.00	Total of 2 transactions
	Security total:	66.94		267.04	71.04 W	-129.06	
GPS 06/05/2020 CALL \$12.00 / CUSIP: / Symbol:							
05/29/20	5.000	24.98	05/28/20	90.00	...	-65.02	Option sale to close-call ²⁵
GS 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	374.98	07/14/20	245.00	...	129.98	1 of 2 - Option sale to close-call ²⁵
	1.000	374.99	07/14/20	245.00	...	129.99	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	749.97	Various	490.00	...	259.97	Total of 2 transactions
GUSH 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	589.98	10/07/20	136.00	...	453.98	1 of 4 - Option sale to close-call ²⁵
	1.000	294.99	10/19/20	67.00	...	227.99	2 of 4 - Option sale to close-call ²⁵
	1.000	294.99	10/19/20	65.00	...	229.99	3 of 4 - Option sale to close-call ²⁵
	1.000	294.99	11/04/20	30.00	...	264.99	4 of 4 - Option sale to close-call ²⁵
11/30/20	5.000	1,474.95	Various	298.00	...	1,176.95	Total of 4 transactions
GUSH 01/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
10/08/20	1.000	19.98	10/07/20	25.00	...	-5.02	Option sale to close-call ²⁵

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Account 125179887

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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GUSH 01/15/2021 CALL \$72.00 / CUSIP: / Symbol:							
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	7.000	104.98	09/21/20	175.00	...	-70.02	1 of 3 - Option sale to close-call ²⁵
	1.000	14.99	09/24/20	17.00	...	-2.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	09/30/20	18.00	...	-3.00	3 of 3 - Option sale to close-call ²⁵
10/08/20	9.000	134.97	Various	210.00	...	-75.03	Total of 3 transactions
GUSH 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	5.000	1,749.95	12/21/20	1,950.00	...	-200.05	1 of 2 - Option sale to close-call ²⁵
	1.000	349.98	12/21/20	390.00	...	-40.02	2 of 2 - Option sale to close-call ²⁵
12/22/20	6.000	2,099.93	Various	2,340.00	...	-240.07	Total of 2 transactions
GUSH 06/18/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	4.000	1,199.96	12/18/20	1,676.00	...	-476.04	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	12/18/20	419.00	...	-119.02	2 of 2 - Option sale to close-call ²⁵
12/21/20	5.000	1,499.94	Various	2,095.00	...	-595.06	Total of 2 transactions
GUSH 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
5 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	3.000	29.99	05/08/20	105.00	...	-75.01	1 of 5 - Option sale to close-call ²⁵
	3.000	29.99	05/12/20	90.00	...	-60.01	2 of 5 - Option sale to close-call ²⁵
	1.000	10.00	05/21/20	22.00	...	-12.00	3 of 5 - Option sale to close-call ²⁵
	1.000	9.99	05/21/20	20.00	...	-10.01	4 of 5 - Option sale to close-call ²⁵
	1.000	10.00	05/21/20	20.00	...	-10.00	5 of 5 - Option sale to close-call ²⁵
05/22/20	9.000	89.97	Various	257.00	...	-167.03	Total of 5 transactions
GUSH 11/20/2020 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	2.000	69.98	10/02/20	100.00	...	-30.02	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	10/02/20	50.00	...	-15.02	2 of 2 - Option sale to close-call ²⁵
10/16/20	3.000	104.96	Various	150.00	...	-45.04	Total of 2 transactions

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Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HD 08/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/18/20	1.000	37.98	08/17/20	159.00	...	-121.02	Option sale to close-call ²⁵
HLX 08/21/2020 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	9.000	134.98	07/23/20	252.00	...	-117.02	1 of 2 - Option sale to close-call ²⁵
	8.000	119.98	07/23/20	224.00	...	-104.02	2 of 2 - Option sale to close-call ²⁵
07/24/20	17.000	254.96	Various	476.00	...	-221.04	Total of 2 transactions
HRB 09/18/2020 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	7.000	34.98	09/01/20	175.00	...	-140.02	1 of 2 - Option sale to close-call ²⁵
	5.000	24.98	09/01/20	125.00	...	-100.02	2 of 2 - Option sale to close-call ²⁵
09/02/20	12.000	59.96	Various	300.00	...	-240.04	Total of 2 transactions
HTZ 06/12/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/09/20	5.000	24.98	06/08/20	115.00	...	-90.02	Option sale to close-call ²⁵
HUYA 05/29/2020 CALL \$21.00 / CUSIP: / Symbol:							
05/20/20	4.000	287.98	05/19/20	240.00	...	47.98	Option sale to close-call ²⁵
05/21/20	1.000	14.98	05/20/20	85.00	...	-70.02	Option sale to close-call ²⁵
	Security total:	302.96		325.00	...	-22.04	
INTC 09/18/2020 CALL \$54.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	175.98	09/01/20	88.00	...	87.98	1 of 2 - Option sale to close-call ²⁵
	3.000	269.98	09/01/20	132.00	...	137.98	2 of 2 - Option sale to close-call ²⁵
09/02/20	5.000	445.96	Various	220.00	...	225.96	Total of 2 transactions
INTC 10/16/2020 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	2.000	59.99	09/01/20	64.00	...	-4.01	1 of 3 - Option sale to close-call ²⁵
	1.000	29.99	09/01/20	32.00	...	-2.01	2 of 3 - Option sale to close-call ²⁵
	1.000	30.00	09/01/20	32.00	...	-2.00	3 of 3 - Option sale to close-call ²⁵
09/01/20	4.000	119.98	Various	128.00	...	-8.02	Total of 3 transactions

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JBLU 06/19/2020 CALL \$17.00 / CUSIP: / Symbol:							
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	2.000	79.98	06/05/20	86.00	...	-6.02	1 of 5 - Option sale to close-call ²⁵
	1.000	36.98	06/05/20	43.00	...	-6.02	2 of 5 - Option sale to close-call ²⁵
	1.000	36.98	06/05/20	43.00	...	-6.02	3 of 5 - Option sale to close-call ²⁵
	1.000	39.98	06/05/20	43.00	...	-3.02	4 of 5 - Option sale to close-call ²⁵
	1.000	39.98	06/05/20	43.00	...	-3.02	5 of 5 - Option sale to close-call ²⁵
06/08/20	6.000	233.90	Various	258.00	...	-24.10	Total of 5 transactions
JBLU 06/19/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/09/20	4.000	79.98	06/08/20	200.00	...	-120.02	Option sale to close-call ²⁵
JD 05/22/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/18/20	1.000	236.98	05/12/20	142.00	...	94.98	Option sale to close-call ²⁵
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	554.98	05/12/20	142.00	...	412.98	1 of 3 - Option sale to close-call ²⁵
	1.000	519.97	05/14/20	117.00	...	402.97	2 of 3 - Option sale to close-call ²⁵
	1.000	554.98	05/14/20	117.00	...	437.98	3 of 3 - Option sale to close-call ²⁵
05/19/20	3.000	1,629.93	Various	376.00	...	1,253.93	Total of 3 transactions
	Security total:	1,866.91		518.00	...	1,348.91	
JD 05/22/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	7.98	05/19/20	38.02	...	-30.04	1 of 2 - Option sale to close-call ²⁵
	1.000	7.99	05/19/20	28.01	...	-20.02	2 of 2 - Option sale to close-call ²⁵
05/20/20	2.000	15.97	Various	66.03	...	-50.06	Total of 2 transactions
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	2.000	15.98	05/19/20	36.00	20.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	7.99	05/19/20	28.01	20.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/20/20	3.000	23.97	Various	64.01	40.04 W	0.00	Total of 2 transactions
	Security total:	39.94		130.04	40.04 W	-50.06	

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JD 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	5.000	329.98	07/08/20	360.00	...	-30.02	1 of 2 - Option sale to close-call ²⁵
	4.000	347.98	07/08/20	288.00	...	59.98	2 of 2 - Option sale to close-call ²⁵
07/09/20	9.000	677.96	Various	648.00	...	29.96	Total of 2 transactions
JD 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	9.000	107.97	07/09/20	189.00	...	-81.03	1 of 2 - Option sale to close-call ²⁵
	1.000	12.00	07/09/20	21.00	...	-9.00	2 of 2 - Option sale to close-call ²⁵
07/09/20	10.000	119.97	Various	210.00	...	-90.03	Total of 2 transactions
JD 08/14/2020 CALL \$66.00 / CUSIP: / Symbol:							
08/10/20	5.000	149.98	08/07/20	290.00	...	-140.02	Option sale to close-call ²⁵
JD 08/21/2020 CALL \$64.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	105.99	08/14/20	156.00	...	-50.01	1 of 2 - Option sale to close-call ²⁵
	1.000	105.99	08/14/20	155.00	...	-49.01	2 of 2 - Option sale to close-call ²⁵
08/17/20	2.000	211.98	Various	311.00	...	-99.02	Total of 2 transactions
JD 08/21/2020 PUT \$59.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	23.99	08/14/20	136.00	...	-112.01	1 of 2 - Option sale to close-put ²⁵
	1.000	23.99	08/14/20	122.00	...	-98.01	2 of 2 - Option sale to close-put ²⁵
08/17/20	2.000	47.98	Various	258.00	...	-210.02	Total of 2 transactions
JETS 08/21/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	11.000	54.97	08/11/20	220.00	...	-165.03	1 of 3 - Option sale to close-call ²⁵
	8.000	39.98	08/11/20	160.00	...	-120.02	2 of 3 - Option sale to close-call ²⁵
	3.000	14.98	08/11/20	105.01	...	-90.03	3 of 3 - Option sale to close-call ²⁵
08/12/20	22.000	109.93	Various	485.01	...	-375.08	Total of 3 transactions
08/12/20	3.000	14.99	08/11/20	60.00	45.01 W	0.00	Option sale to close-call ²⁵
	Security total:	124.92		545.01	45.01 W	-375.08	

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JNJ 04/03/2020 CALL \$138.00 / CUSIP: / Symbol:							
3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	1.000	77.00	03/30/20	148.00	...	-71.00	1 of 3 - Option sale to close-call ²⁵
	1.000	76.99	03/30/20	100.00	...	-23.01	2 of 3 - Option sale to close-call ²⁵
	2.000	153.99	03/31/20	164.00	...	-10.01	3 of 3 - Option sale to close-call ²⁵
03/31/20	4.000	307.98	Various	412.00	...	-104.02	Total of 3 transactions
JNJ 08/28/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/27/20	10.000	259.97	07/24/20	450.00	...	-190.03	Option sale to close-call ²⁵
JWN 06/05/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/01/20	5.000	149.98	05/29/20	145.00	...	4.98	Option sale to close-call ²⁵
JWN 06/05/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/02/20	10.000	39.97	06/01/20	90.00	...	-50.03	Option sale to close-call ²⁵
JWN 06/05/2020 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	4.000	11.99	05/28/20	180.00	...	-168.01	1 of 3 - Option sale to close-call ²⁵
	4.000	11.99	05/29/20	32.00	...	-20.01	2 of 3 - Option sale to close-call ²⁵
	4.000	11.99	05/29/20	16.00	...	-4.01	3 of 3 - Option sale to close-call ²⁵
06/01/20	12.000	35.97	Various	228.00	...	-192.03	Total of 3 transactions
JWN 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/17/20	3.000	116.98	06/16/20	180.00	...	-63.02	Option sale to close-call ²⁵
JWN 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	12.000	1,127.95	06/04/20	360.00	...	767.95	1 of 3 - Option sale to close-call ²⁵
	13.000	1,221.94	06/04/20	390.00	...	831.94	2 of 3 - Option sale to close-call ²⁵
	25.000	2,349.90	06/04/20	750.00	...	1,599.90	3 of 3 - Option sale to close-call ²⁵
06/08/20	50.000	4,699.79	Various	1,500.00	...	3,199.79	Total of 3 transactions
KODK 08/21/2020 CALL \$11.00 / CUSIP: / Symbol:							
08/19/20	1.000	14.98	08/18/20	100.00	...	-85.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KSS 06/05/2020 CALL \$23.50 / CUSIP: / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	06/01/20	45.01	...	-40.03	1 of 3 - Option sale to close-call ²⁵
	1.000	5.00	06/01/20	40.00	...	-35.00	2 of 3 - Option sale to close-call ²⁵
	3.000	14.99	06/01/20	45.01	...	-30.02	3 of 3 - Option sale to close-call ²⁵
06/02/20	5.000	24.97	Various	130.02	...	-105.05	Total of 3 transactions
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	3.000	14.99	05/28/20	105.00	90.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	2.000	9.99	06/01/20	80.01	35.01 W	-35.01	2 of 3 - Option sale to close-call ²⁵
	3.000	14.99	06/01/20	30.00	15.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/02/20	8.000	39.97	Various	215.01	140.03 W	-35.01	Total of 3 transactions
	Security total:	64.94		345.03	140.03 W	-140.06	
KSS 06/19/2020 CALL \$27.00 / CUSIP: / Symbol:							
06/11/20	2.000	39.98	06/10/20	190.00	...	-150.02	Option sale to close-call ²⁵
KSS 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/09/20	1.000	14.98	06/08/20	25.00	...	-10.02	Option sale to close-call ²⁵
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	4.000	59.98	06/08/20	80.00	10.01 W	-10.01	1 of 5 - Option sale to close-call ²⁵
	1.000	15.00	06/08/20	20.00	5.00 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	15.00	06/08/20	20.00	5.00 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	15.00	06/08/20	20.00	5.00 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	14.99	06/08/20	20.00	5.01 W	0.00	5 of 5 - Option sale to close-call ²⁵
06/09/20	8.000	119.97	Various	160.00	30.02 W	-10.01	Total of 5 transactions
6 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	06/08/20	25.00	...	-20.02	1 of 6 - Option sale to close-call ²⁵
	1.000	4.99	06/08/20	25.01	...	-20.02	2 of 6 - Option sale to close-call ²⁵
	1.000	4.99	06/08/20	25.01	...	-20.02	3 of 6 - Option sale to close-call ²⁵
	1.000	4.98	06/08/20	25.00	...	-20.02	4 of 6 - Option sale to close-call ²⁵
	1.000	5.00	06/08/20	25.00	...	-20.00	5 of 6 - Option sale to close-call ²⁵
	1.000	5.00	06/08/20	20.00	...	-15.00	6 of 6 - Option sale to close-call ²⁵
06/10/20	6.000	29.94	Various	145.02	...	-115.08	Total of 6 transactions
	Security total:	164.89		330.02	30.02 W	-135.11	

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2020 1099-B* OMB No. 1545-0715

(continued)

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LABU 05/15/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/13/20	1.000	109.98	05/12/20	165.00	...	-55.02	Option sale to close-call ²⁵
LABU 05/15/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	05/12/20	290.00	...	-260.01	1 of 2 - Option sale to close-call ²⁵
	3.000	44.99	05/13/20	90.00	...	-45.01	2 of 2 - Option sale to close-call ²⁵
05/13/20	5.000	74.98	Various	380.00	...	-305.02	Total of 2 transactions
LB 08/21/2020 PUT \$25.00 / CUSIP: / Symbol:							
08/20/20	6.000	11.98	08/19/20	138.00	...	-126.02	Option sale to close-put ²⁵
LB 08/21/2020 PUT \$26.00 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	2.000	11.98	08/19/20	96.00	...	-84.02	1 of 2 - Option sale to close-put ²⁵
	1.000	5.98	08/19/20	48.00	...	-42.02	2 of 2 - Option sale to close-put ²⁵
08/20/20	3.000	17.96	Various	144.00	...	-126.04	Total of 2 transactions
LK 04/09/2020 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/02/20	60.00	...	-60.00	1 of 4 - Option expiration- long call
	1.000	0.00	04/02/20	55.00	...	-55.00	2 of 4 - Option expiration- long call
	2.000	0.00	04/02/20	40.00	...	-40.00	3 of 4 - Option expiration- long call
	5.000	0.00	04/03/20	55.00	...	-55.00	4 of 4 - Option expiration- long call
04/09/20	10.000	0.00	Various	210.00	...	-210.00	Total of 4 transactions
LLY 08/07/2020 CALL \$157.50 / CUSIP: / Symbol:							
08/05/20	1.000	122.98	08/04/20	105.00	...	17.98	Option sale to close-call ²⁵
LLY 08/07/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	3.000	77.98	08/04/20	126.00	...	-48.02	1 of 2 - Option sale to close-call ²⁵
	2.000	51.98	08/04/20	84.00	...	-32.02	2 of 2 - Option sale to close-call ²⁵
08/05/20	5.000	129.96	Various	210.00	...	-80.04	Total of 2 transactions

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LOW 08/21/2020 PUT \$150.00 / CUSIP: / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	3.000	263.98	08/18/20	297.00	...	-33.02	1 of 3 - Option sale to close-put ²⁵
	1.000	87.98	08/18/20	99.00	...	-11.02	2 of 3 - Option sale to close-put ²⁵
	1.000	87.98	08/18/20	99.00	...	-11.02	3 of 3 - Option sale to close-put ²⁵
08/18/20	5.000	439.94	Various	495.00	...	-55.06	Total of 3 transactions
LOW 10/16/2020 CALL \$190.00 / CUSIP: / Symbol:							
09/01/20	1.000	101.99	08/27/20	95.00	...	6.99	Option sale to close-call ²⁵
09/01/20	2.000	203.99	08/27/20	220.00	16.01 W	0.00	Option sale to close-call ²⁵
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	75.00	09/02/20	116.01	...	-41.01	1 of 4 - Option sale to close-call ²⁵
	1.000	75.00	09/02/20	116.00	...	-41.00	2 of 4 - Option sale to close-call ²⁵
	1.000	74.99	09/02/20	108.00	...	-33.01	3 of 4 - Option sale to close-call ²⁵
	2.000	149.99	09/03/20	188.00	...	-38.01	4 of 4 - Option sale to close-call ²⁵
09/04/20	5.000	374.98	Various	528.01	...	-153.03	Total of 4 transactions
	Security total:	680.96		843.01	16.01 W	-146.04	
LULU 04/03/2020 PUT \$160.00 / CUSIP: / Symbol:							
03/30/20	1.000	75.98	03/27/20	246.00	...	-170.02	Option sale to close-put ²⁵
LULU 05/01/2020 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	2.99	04/28/20	38.00	...	-35.01	1 of 2 - Option sale to close-call ²⁵
	1.000	2.99	04/29/20	17.00	...	-14.01	2 of 2 - Option sale to close-call ²⁵
04/30/20	2.000	5.98	Various	55.00	...	-49.02	Total of 2 transactions
LVGO 08/14/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/07/20	1.000	449.98	08/06/20	430.00	...	19.98	Option sale to close-call ²⁵

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MA 04/24/2020 CALL \$280.00 / CUSIP: / Symbol:							
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	56.00	04/17/20	85.00	...	-29.00	1 of 3 - Option sale to close-call ²⁵
	1.000	55.99	04/17/20	66.00	...	-10.01	2 of 3 - Option sale to close-call ²⁵
	2.000	111.99	04/17/20	68.00	...	43.99	3 of 3 - Option sale to close-call ²⁵
04/20/20	4.000	223.98	Various	219.00	...	4.98	Total of 3 transactions
MA 05/01/2020 CALL \$290.00 / CUSIP: / Symbol:							
04/29/20	2.000	249.98	04/29/20	248.00	...	1.98	Option sale to close-call ²⁵
MDB 09/04/2020 CALL \$305.00 / CUSIP: / Symbol:							
09/02/20	1.000	331.98	09/01/20	185.00	...	146.98	Option sale to close-call ²⁵
MGM 06/12/2020 CALL \$23.00 / CUSIP: / Symbol:							
06/05/20	2.000	217.98	06/04/20	100.00	...	117.98	Option sale to close-call ²⁵
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	99.98	06/04/20	49.00	...	50.98	1 of 2 - Option sale to close-call ²⁵
	2.000	199.98	06/04/20	100.00	...	99.98	2 of 2 - Option sale to close-call ²⁵
06/08/20	3.000	299.96	Various	149.00	...	150.96	Total of 2 transactions
	Security total:	517.94		249.00	...	268.94	
MHK 08/21/2020 CALL \$90.00 / CUSIP: / Symbol:							
08/06/20	2.000	575.97	08/05/20	450.00	...	125.97	Option sale to close-call ²⁵
MIK 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	5.000	99.98	06/08/20	120.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	3.000	59.99	06/08/20	75.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
06/09/20	8.000	159.97	Various	195.00	...	-35.03	Total of 2 transactions
MPX 03/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
03/18/20	1.000	4.98	03/17/20	33.00	...	-28.02	Option sale to close-call ²⁵

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MRNA 03/20/2020 CALL \$32.00 / CUSIP: / Symbol:							
03/17/20	1.000	129.98	03/16/20	160.00	30.02 W	0.00	Option sale to close-call ²⁵
03/18/20	1.000	149.98	03/17/20	245.02	...	-95.04	Option sale to close-call ²⁵
	Security total:	279.96		405.02	30.02 W	-95.04	
MRNA 05/08/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	224.99	05/04/20	255.00	...	-30.01	1 of 3 - Option sale to close-call ²⁵
	1.000	224.99	05/04/20	220.00	...	4.99	2 of 3 - Option sale to close-call ²⁵
	1.000	224.99	05/04/20	215.00	...	9.99	3 of 3 - Option sale to close-call ²⁵
05/05/20	3.000	674.97	Various	690.00	...	-15.03	Total of 3 transactions
MSFT 03/20/2020 CALL \$150.00 / CUSIP: / Symbol:							
03/17/20	1.000	359.98	03/16/20	350.00	...	9.98	Option sale to close-call ²⁵
MSFT 03/20/2020 CALL \$155.00 / CUSIP: / Symbol:							
3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	03/16/20	210.00	...	-80.01	1 of 3 - Option sale to close-call ²⁵
	1.000	130.00	03/16/20	180.00	...	-50.00	2 of 3 - Option sale to close-call ²⁵
	1.000	129.99	03/16/20	119.00	...	10.99	3 of 3 - Option sale to close-call ²⁵
03/17/20	3.000	389.98	Various	509.00	...	-119.02	Total of 3 transactions
MSFT 03/27/2020 CALL \$155.00 / CUSIP: / Symbol:							
03/23/20	1.000	51.98	03/20/20	246.00	...	-194.02	Option sale to close-call ²⁵
MSFT 03/27/2020 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	4.000	3.99	03/17/20	40.01	...	-36.02	1 of 3 - Option sale to close-call ²⁵
	4.000	3.98	03/17/20	32.01	...	-28.03	2 of 3 - Option sale to close-call ²⁵
	1.000	1.00	03/17/20	11.02	...	-10.02	3 of 3 - Option sale to close-call ²⁵
03/25/20	9.000	8.97	Various	83.04	...	-74.07	Total of 3 transactions
4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	5.000	4.98	03/17/20	20.00	12.02 W	-3.00	1 of 4 - Option sale to close-call ²⁵
	1.000	0.98	03/17/20	7.00	6.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	9.000	8.98	03/17/20	63.00	54.02 W	0.00	3 of 4 - Option sale to close-call ²⁵

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MSFT 03/27/2020 CALL \$190.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	03/17/20	10.02	9.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
03/25/20	16.000	15.94	Various	100.02	81.08 W	-3.00	Total of 4 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	3.000	2.98	03/17/20	15.02	...	-12.04	1 of 2 - Option sale to close-call ²⁵
	1.000	1.00	03/17/20	8.00	...	-7.00	2 of 2 - Option sale to close-call ²⁵
03/26/20	4.000	3.98	Various	23.02	...	-19.04	Total of 2 transactions
03/27/20	1.000	0.00	03/17/20	5.00	...	-5.00	Option expiration- long call
	Security total:	28.89		211.08	81.08 W	-101.11	
MSFT 04/03/2020 CALL \$162.50 / CUSIP: / Symbol:							
03/31/20	1.000	322.98	03/31/20	312.02	...	10.96	Option sale to close-call ²⁵
03/31/20	1.000	218.98	03/30/20	325.00	106.02 W	0.00	Option sale to close-call ²⁵
	Security total:	541.96		637.02	106.02 W	10.96	
MSFT 04/03/2020 CALL \$170.00 / CUSIP: / Symbol:							
5 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	03/25/20	60.00	...	14.98	1 of 5 - Option sale to close-call ²⁵
	1.000	75.00	03/25/20	60.00	...	15.00	2 of 5 - Option sale to close-call ²⁵
	1.000	74.99	03/27/20	31.00	...	43.99	3 of 5 - Option sale to close-call ²⁵
	1.000	75.00	03/27/20	31.00	...	44.00	4 of 5 - Option sale to close-call ²⁵
	5.000	374.97	03/27/20	155.00	...	219.97	5 of 5 - Option sale to close-call ²⁵
03/30/20	9.000	674.94	Various	337.00	...	337.94	Total of 5 transactions
3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	7.000	104.98	03/31/20	371.00	...	-266.02	1 of 3 - Option sale to close-call ²⁵
	2.000	29.99	03/31/20	106.00	...	-76.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	03/31/20	53.00	...	-38.00	3 of 3 - Option sale to close-call ²⁵
04/01/20	10.000	149.97	Various	530.00	...	-380.03	Total of 3 transactions
	Security total:	824.91		867.00	...	-42.09	

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 04/09/2020 CALL \$185.00 / CUSIP: / Symbol:							
3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	1.000	15.98	03/30/20	12.00	...	3.98	1 of 3 - Option sale to close-call ²⁵
	1.000	15.98	03/30/20	12.00	...	3.98	2 of 3 - Option sale to close-call ²⁵
	8.000	127.97	03/30/20	96.00	...	31.97	3 of 3 - Option sale to close-call ²⁵
03/31/20	10.000	159.93	Various	120.00	...	39.93	Total of 3 transactions
MSFT 04/09/2020 PUT \$149.00 / CUSIP: / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	6.99	03/31/20	197.00	...	-190.01	1 of 3 - Option sale to close-put ²⁵
	1.000	7.00	04/06/20	61.00	...	-54.00	2 of 3 - Option sale to close-put ²⁵
	1.000	6.99	04/06/20	60.00	...	-53.01	3 of 3 - Option sale to close-put ²⁵
04/07/20	3.000	20.98	Various	318.00	...	-297.02	Total of 3 transactions
MSFT 05/01/2020 CALL \$177.50 / CUSIP: / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	297.99	04/27/20	385.00	...	-87.01	1 of 2 - Option sale to close-call ²⁵
	1.000	297.98	04/28/20	197.00	...	100.98	2 of 2 - Option sale to close-call ²⁵
04/30/20	2.000	595.97	Various	582.00	...	13.97	Total of 2 transactions
MSFT 05/01/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	277.98	04/23/20	297.00	...	-19.02	1 of 2 - Option sale to close-call ²⁵
	1.000	277.98	04/23/20	297.00	...	-19.02	2 of 2 - Option sale to close-call ²⁵
04/23/20	2.000	555.96	Various	594.00	...	-38.04	Total of 2 transactions
MSFT 05/01/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	1.000	98.98	04/13/20	54.00	...	44.98	1 of 2 - Option sale to close-call ²⁵
	3.000	296.98	04/13/20	162.00	...	134.98	2 of 2 - Option sale to close-call ²⁵
04/24/20	4.000	395.96	Various	216.00	...	179.96	Total of 2 transactions
MSFT 05/08/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/30/20	1.000	504.97	04/24/20	485.00	...	19.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 05/08/2020 CALL \$185.00 / CUSIP: / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	70.98	04/30/20	157.00	...	-86.02	1 of 3 - Option sale to close-call ²⁵
	1.000	70.98	04/30/20	157.00	...	-86.02	2 of 3 - Option sale to close-call ²⁵
	1.000	70.98	04/30/20	157.00	...	-86.02	3 of 3 - Option sale to close-call ²⁵
05/01/20	3.000	212.94	Various	471.00	...	-258.06	Total of 3 transactions
MSFT 05/08/2020 CALL \$200.00 / CUSIP: / Symbol:							
04/21/20	9.000	584.96	04/16/20	720.00	135.04 W	0.00	Option sale to close-call ²⁵
6 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	3.000	20.99	04/30/20	168.02	...	-147.03	1 of 6 - Option sale to close-call ²⁵
	3.000	20.99	04/30/20	78.01	...	-57.02	2 of 6 - Option sale to close-call ²⁵
	1.000	6.99	04/30/20	56.01	...	-49.02	3 of 6 - Option sale to close-call ²⁵
	1.000	7.00	04/30/20	56.01	...	-49.01	4 of 6 - Option sale to close-call ²⁵
	1.000	7.00	04/30/20	26.01	...	-19.01	5 of 6 - Option sale to close-call ²⁵
	1.000	7.00	04/30/20	11.00	...	-4.00	6 of 6 - Option sale to close-call ²⁵
05/01/20	10.000	69.97	Various	395.06	...	-325.09	Total of 6 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	3.000	20.99	04/29/20	165.01	144.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	13.99	04/29/20	110.01	96.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/01/20	5.000	34.98	Various	275.02	240.04 W	0.00	Total of 2 transactions
	Security total:	689.91		1,390.08	375.08 W	-325.09	
MSFT 07/10/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	7.000	503.97	07/02/20	294.00	...	209.97	1 of 2 - Option sale to close-call ²⁵
	5.000	499.97	07/02/20	210.00	...	289.97	2 of 2 - Option sale to close-call ²⁵
07/06/20	12.000	1,003.94	Various	504.00	...	499.94	Total of 2 transactions
4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	137.99	07/06/20	107.00	...	30.99	1 of 4 - Option sale to close-call ²⁵
	1.000	137.99	07/06/20	84.00	...	53.99	2 of 4 - Option sale to close-call ²⁵
	1.000	138.00	07/06/20	84.00	...	54.00	3 of 4 - Option sale to close-call ²⁵
	2.000	275.99	07/06/20	210.00	...	65.99	4 of 4 - Option sale to close-call ²⁵
07/07/20	5.000	689.97	Various	485.00	...	204.97	Total of 4 transactions

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 07/10/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
Security total:		1,693.91		989.00	...	704.91	
MSFT 07/10/2020 CALL \$220.00 / CUSIP: / Symbol:							
07/08/20	5.000	149.98	07/07/20	275.00	...	-125.02	Option sale to close-call ²⁵
MSFT 07/17/2020 CALL \$215.00 / CUSIP: / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	329.98	07/07/20	297.00	...	32.98	1 of 3 - Option sale to close-call ²⁵
	1.000	344.98	07/07/20	297.00	...	47.98	2 of 3 - Option sale to close-call ²⁵
	1.000	329.98	07/08/20	275.00	...	54.98	3 of 3 - Option sale to close-call ²⁵
07/09/20	3.000	1,004.94	Various	869.00	...	135.94	Total of 3 transactions
MSFT 09/04/2020 CALL \$240.00 / CUSIP: / Symbol:							
08/31/20	1.000	136.98	08/28/20	174.00	37.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	69.99	08/28/20	174.00	...	-104.01	1 of 2 - Option sale to close-call ²⁵
	1.000	69.99	08/31/20	108.02	...	-38.03	2 of 2 - Option sale to close-call ²⁵
09/01/20	2.000	139.98	Various	282.02	...	-142.04	Total of 2 transactions
Security total:		276.96		456.02	37.02 W	-142.04	
MU 04/03/2020 CALL \$48.00 / CUSIP: / Symbol:							
4 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	2.000	35.99	03/26/20	200.00	...	-164.01	1 of 4 - Option sale to close-call ²⁵
	1.000	18.00	03/27/20	57.00	...	-39.00	2 of 4 - Option sale to close-call ²⁵
	1.000	18.00	03/27/20	57.00	...	-39.00	3 of 4 - Option sale to close-call ²⁵
	4.000	71.98	03/30/20	160.00	...	-88.02	4 of 4 - Option sale to close-call ²⁵
03/31/20	8.000	143.97	Various	474.00	...	-330.03	Total of 4 transactions
MU 04/03/2020 PUT \$40.00 / CUSIP: / Symbol:							
04/02/20	2.000	195.98	04/01/20	178.00	...	17.98	Option sale to close-put ²⁵

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MU 04/17/2020 CALL \$50.00 / CUSIP: / Symbol:							
5 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	3.000	29.99	04/08/20	318.00	...	-288.01	1 of 5 - Option sale to close-call ²⁵
	1.000	10.00	04/09/20	37.00	...	-27.00	2 of 5 - Option sale to close-call ²⁵
	1.000	10.00	04/09/20	37.00	...	-27.00	3 of 5 - Option sale to close-call ²⁵
	1.000	10.00	04/09/20	37.00	...	-27.00	4 of 5 - Option sale to close-call ²⁵
	6.000	59.98	04/13/20	90.00	...	-30.02	5 of 5 - Option sale to close-call ²⁵
04/15/20	12.000	119.97	Various	519.00	...	-399.03	Total of 5 transactions
MU 05/15/2020 CALL \$52.00 / CUSIP: / Symbol:							
05/12/20	7.000	13.98	05/08/20	105.00	...	-91.02	Option sale to close-call ²⁵
MU 06/05/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	3.000	44.98	06/03/20	99.02	...	-54.04	1 of 3 - Option sale to close-call ²⁵
	1.000	15.00	06/03/20	33.00	...	-18.00	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	06/03/20	19.00	...	-4.00	3 of 3 - Option sale to close-call ²⁵
06/03/20	5.000	74.98	Various	151.02	...	-76.04	Total of 3 transactions
06/03/20	5.000	74.98	06/03/20	145.00	56.02 W	-14.00	Option sale to close-call ²⁵
	Security total:	149.96		296.02	56.02 W	-90.04	
MU 07/02/2020 CALL \$49.50 / CUSIP: / Symbol:							
06/30/20	1.000	226.98	06/29/20	114.00	...	112.98	Option sale to close-call ²⁵
MU 07/24/2020 CALL \$54.00 / CUSIP: / Symbol:							
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	2.000	17.99	07/21/20	86.00	...	-68.01	1 of 4 - Option sale to close-call ²⁵
	1.000	8.99	07/21/20	43.00	...	-34.01	2 of 4 - Option sale to close-call ²⁵
	1.000	9.00	07/21/20	43.00	...	-34.00	3 of 4 - Option sale to close-call ²⁵
	1.000	9.00	07/21/20	43.00	...	-34.00	4 of 4 - Option sale to close-call ²⁵
07/22/20	5.000	44.98	Various	215.00	...	-170.02	Total of 4 transactions

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NCLH 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/10/20	100.00	60.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
06/11/20	1.000	39.98	06/10/20	100.00	60.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
	2.000	79.96	Various	200.00	120.04 W	0.00	Total of 2 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	26.99	06/12/20	105.02	...	-78.03	1 of 3 - Option sale to close-call ²⁵
	1.000	26.99	06/12/20	105.02	...	-78.03	2 of 3 - Option sale to close-call ²⁵
06/15/20	3.000	80.98	06/12/20	135.00	...	-54.02	3 of 3 - Option sale to close-call ²⁵
	5.000	134.96	Various	345.04	...	-210.08	Total of 3 transactions
	Security total:	214.92		545.04	120.04 W	-210.08	
NCLH 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	107.99	06/05/20	70.00	...	37.99	1 of 4 - Option sale to close-call ²⁵
	1.000	107.99	06/05/20	70.00	...	37.99	2 of 4 - Option sale to close-call ²⁵
	1.000	107.99	06/05/20	70.00	...	37.99	3 of 4 - Option sale to close-call ²⁵
06/09/20	2.000	215.99	06/05/20	140.00	...	75.99	4 of 4 - Option sale to close-call ²⁵
	5.000	539.96	Various	350.00	...	189.96	Total of 4 transactions
NCLH 07/17/2020 CALL \$19.50 / CUSIP: / Symbol:							
07/16/20	5.000	24.98	07/15/20	205.00	...	-180.02	Option sale to close-call ²⁵
NCLH 08/21/2020 CALL \$18.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	10.98	08/11/20	44.00	...	-33.02	1 of 3 - Option sale to close-call ²⁵
	1.000	10.98	08/11/20	44.00	...	-33.02	2 of 3 - Option sale to close-call ²⁵
08/12/20	1.000	10.98	08/11/20	44.00	...	-33.02	3 of 3 - Option sale to close-call ²⁵
	3.000	32.94	Various	132.00	...	-99.06	Total of 3 transactions
NEM 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	6.000	89.98	07/09/20	150.00	...	-60.02	1 of 2 - Option sale to close-call ²⁵
07/10/20	2.000	29.98	07/09/20	50.00	...	-20.02	2 of 2 - Option sale to close-call ²⁵
	8.000	119.96	Various	200.00	...	-80.04	Total of 2 transactions

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(continued)

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NFLX 03/27/2020 PUT \$310.00 / CUSIP: / Symbol:							
03/19/20	1.000	1,349.96	03/18/20	1,300.00	...	49.96	Option sale to close-put ²⁵
NFLX 03/27/2020 PUT \$315.00 / CUSIP: / Symbol:							
03/19/20	1.000	1,099.96	03/19/20	1,100.00	0.04 W	0.00	Option sale to close-put ²⁵
03/20/20	1.000	549.97	03/19/20	1,100.04	...	-550.07	Option sale to close-put ²⁵
	Security total:	1,649.93		2,200.04	0.04 W	-550.07	
NFLX 04/03/2020 CALL \$375.00 / CUSIP: / Symbol:							
03/30/20	1.000	874.97	03/26/20	450.00	...	424.97	Option sale to close-call ²⁵
03/31/20	1.000	889.97	03/30/20	875.00	...	14.97	Option sale to close-call ²⁵
04/01/20	1.000	604.97	03/31/20	880.00	...	-275.03	Option sale to close-call ²⁵
	Security total:	2,369.91		2,205.00	...	164.91	
NFLX 04/24/2020 CALL \$445.00 / CUSIP: / Symbol:							
04/22/20	1.000	354.98	04/21/20	2,225.00	...	-1,870.02	Option sale to close-call ²⁵
NFLX 04/24/2020 CALL \$475.00 / CUSIP: / Symbol:							
04/21/20	1.000	1,374.95	04/16/20	1,810.00	...	-435.05	Option sale to close-call ²⁵
NFLX 06/19/2020 PUT \$395.00 / CUSIP: / Symbol:							
06/15/20	1.000	344.98	06/12/20	500.00	...	-155.02	Option sale to close-put ²⁵
NFLX 07/02/2020 CALL \$550.00 / CUSIP: / Symbol:							
	<i>3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	49.98	06/17/20	47.00	...	2.98	1 of 3 - Option sale to close-call ²⁵
	2.000	99.99	06/17/20	94.00	...	5.99	2 of 3 - Option sale to close-call ²⁵
	7.000	349.98	06/17/20	329.00	...	20.98	3 of 3 - Option sale to close-call ²⁵
06/18/20	10.000	499.95	Various	470.00	...	29.95	Total of 3 transactions
NFLX 07/10/2020 CALL \$475.00 / CUSIP: / Symbol:							
07/02/20	1.000	1,799.95	07/01/20	500.00	...	1,299.95	Option sale to close-call ²⁵
NFLX 07/10/2020 CALL \$500.00 / CUSIP: / Symbol:							
07/07/20	1.000	934.96	07/06/20	340.00	...	594.96	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 07/10/2020 CALL \$505.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	254.98	07/02/20	255.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	254.99	07/02/20	255.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
07/06/20	2.000	509.97	Various	510.00	...	-0.03	Total of 2 transactions
NFLX 07/10/2020 CALL \$510.00 / CUSIP: / Symbol:							
07/09/20	2.000	619.97	07/08/20	610.00	...	9.97	Option sale to close-call ²⁵
NFLX 07/10/2020 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	428.98	07/07/20	1,125.00	...	-696.02	1 of 2 - Option sale to close-call ²⁵
	2.000	285.99	07/07/20	490.00	...	-204.01	2 of 2 - Option sale to close-call ²⁵
07/08/20	5.000	714.97	Various	1,615.00	...	-900.03	Total of 2 transactions
NFLX 07/17/2020 CALL \$625.00 / CUSIP: / Symbol:							
07/13/20	1.000	1,359.95	07/13/20	905.00	...	454.95	Option sale to close-call ²⁵
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	499.98	07/13/20	1,300.00	...	-800.02	1 of 2 - Option sale to close-call ²⁵
	1.000	499.98	07/13/20	480.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
07/14/20	2.000	999.96	Various	1,780.00	...	-780.04	Total of 2 transactions
	Security total:	2,359.91		2,685.00	...	-325.09	
NFLX 07/17/2020 CALL \$700.00 / CUSIP: / Symbol:							
07/13/20	3.000	1,094.96	07/10/20	975.00	...	119.96	Option sale to close-call ²⁵
NFLX 09/04/2020 PUT \$485.00 / CUSIP: / Symbol:							
08/27/20	1.000	194.98	08/26/20	195.00	...	-0.02	Option sale to close-put ²⁵
NFLX 10/09/2020 PUT \$500.00 / CUSIP: / Symbol:							
10/08/20	1.000	29.98	10/07/20	97.00	...	-67.02	Option sale to close-put ²⁵
NFLX 10/09/2020 PUT \$505.00 / CUSIP: / Symbol:							
10/08/20	2.000	99.98	10/07/20	142.00	...	-42.02	Option sale to close-put ²⁵

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NFLX 10/16/2020 CALL \$545.00 / CUSIP: / Symbol:							
09/30/20	1.000	275.98	09/29/20	285.00	...	-9.02	Option sale to close-call ²⁵
NFLX 10/16/2020 CALL \$560.00 / CUSIP: / Symbol:							
10/07/20	1.000	513.97	10/06/20	330.00	...	183.97	Option sale to close-call ²⁵
NIO 07/17/2020 CALL \$11.00 / CUSIP: / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	170.98	07/02/20	39.00	...	131.98	1 of 4 - Option sale to close-call ²⁵
	1.000	194.98	07/02/20	39.00	...	155.98	2 of 4 - Option sale to close-call ²⁵
	3.000	563.97	07/02/20	117.00	...	446.97	3 of 4 - Option sale to close-call ²⁵
	3.000	584.97	07/02/20	117.00	...	467.97	4 of 4 - Option sale to close-call ²⁵
07/06/20	8.000	1,514.90	Various	312.00	...	1,202.90	Total of 4 transactions
NIO 08/14/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	2.000	129.98	08/10/20	196.00	...	-66.02	1 of 3 - Option sale to close-call ²⁵
	2.000	129.98	08/10/20	196.00	...	-66.02	2 of 3 - Option sale to close-call ²⁵
	1.000	64.98	08/10/20	98.00	...	-33.02	3 of 3 - Option sale to close-call ²⁵
08/11/20	5.000	324.94	Various	490.00	...	-165.06	Total of 3 transactions
NIO 12/31/2020 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	58.99	12/15/20	56.00	...	2.99	1 of 3 - Option sale to close-call ²⁵
	1.000	58.99	12/15/20	56.00	...	2.99	2 of 3 - Option sale to close-call ²⁵
	1.000	59.00	12/15/20	56.00	...	3.00	3 of 3 - Option sale to close-call ²⁵
12/16/20	3.000	176.98	Various	168.00	...	8.98	Total of 3 transactions
NKE 03/27/2020 CALL \$83.00 / CUSIP: / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	03/25/20	147.00	...	-17.01	1 of 2 - Option sale to close-call ²⁵
	1.000	129.99	03/25/20	99.00	...	30.99	2 of 2 - Option sale to close-call ²⁵
03/26/20	2.000	259.98	Various	246.00	...	13.98	Total of 2 transactions
NKE 04/03/2020 CALL \$83.00 / CUSIP: / Symbol:							
03/27/20	1.000	261.98	03/26/20	305.00	...	-43.02	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

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NKE 04/09/2020 CALL \$81.50 / CUSIP: / Symbol:							
<i>3 transactions for 04/06/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	739.98	04/02/20	486.00	...	253.98	1 of 3 - Option sale to close-call ²⁵
	3.000	1,109.97	04/02/20	450.00	...	659.97	2 of 3 - Option sale to close-call ²⁵
	2.000	739.98	04/03/20	190.00	...	549.98	3 of 3 - Option sale to close-call ²⁵
04/06/20	7.000	2,589.93	Various	1,126.00	...	1,463.93	Total of 3 transactions
NKE 04/17/2020 CALL \$84.00 / CUSIP: / Symbol:							
04/07/20	1.000	339.98	04/06/20	273.00	...	66.98	Option sale to close-call ²⁵
NKE 04/17/2020 CALL \$85.50 / CUSIP: / Symbol:							
04/09/20	1.000	283.98	04/08/20	232.00	...	51.98	Option sale to close-call ²⁵
NKLA 07/10/2020 CALL \$53.00 / CUSIP: / Symbol:							
07/09/20	1.000	599.97	07/08/20	135.00	...	464.97	Option sale to close-call ²⁵
NKLA 08/07/2020 CALL \$41.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	210.98	08/03/20	155.00	...	55.98	1 of 2 - Option sale to close-call ²⁵
	1.000	210.98	08/03/20	155.00	...	55.98	2 of 2 - Option sale to close-call ²⁵
08/04/20	2.000	421.96	Various	310.00	...	111.96	Total of 2 transactions
NKLA 08/07/2020 CALL \$45.00 / CUSIP: / Symbol:							
08/05/20	2.000	9.98	08/04/20	290.00	...	-280.02	Option sale to close-call ²⁵
NTGN 03/20/2020 CALL \$2.50 / CUSIP: / Symbol:							
03/18/20	1.000	24.98	03/17/20	15.00	...	9.98	Option sale to close-call ²⁵
NTNX 03/20/2020 CALL \$13.00 / CUSIP: / Symbol:							
03/17/20	1.000	69.98	03/17/20	105.00	...	-35.02	Option sale to close-call ²⁵
NTNX 03/20/2020 CALL \$15.50 / CUSIP: / Symbol:							
03/17/20	1.000	4.98	03/13/20	59.00	...	-54.02	Option sale to close-call ²⁵
NTNX 03/20/2020 CALL \$16.50 / CUSIP: / Symbol:							
03/17/20	1.000	4.98	03/13/20	35.00	...	-30.02	Option sale to close-call ²⁵

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NTNX 03/20/2020 PUT \$10.00 / CUSIP: / Symbol:							
03/16/20	1.000	24.98	03/13/20	25.00	...	-0.02	Option sale to close-put ²⁵
NTNX 03/27/2020 PUT \$10.00 / CUSIP: / Symbol:							
03/19/20	2.000	49.98	03/18/20	80.00	...	-30.02	Option sale to close-put ²⁵
NVAX 06/26/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/24/20	1.000	637.97	06/24/20	320.00	...	317.97	Option sale to close-call ²⁵
NVDA 01/08/2021 CALL \$557.50 / CUSIP: / Symbol:							
12/28/20	1.000	259.98	12/24/20	286.00	...	-26.02	Option sale to close-call ²⁵
NVDA 01/15/2021 CALL \$545.00 / CUSIP: / Symbol:							
12/29/20	1.000	611.97	12/28/20	835.00	...	-223.03	Option sale to close-call ²⁵
NVDA 04/03/2020 PUT \$245.00 / CUSIP: / Symbol:							
04/02/20	1.000	283.98	04/01/20	410.00	...	-126.02	Option sale to close-put ²⁵
NVDA 04/09/2020 CALL \$285.00 / CUSIP: / Symbol:							
04/01/20	1.000	167.98	03/31/20	345.00	...	-177.02	Option sale to close-call ²⁵
NVDA 04/17/2020 CALL \$277.50 / CUSIP: / Symbol:							
04/08/20	1.000	419.98	04/07/20	410.00	...	9.98	Option sale to close-call ²⁵
NVDA 04/17/2020 PUT \$235.00 / CUSIP: / Symbol:							
04/08/20	1.000	231.98	04/07/20	395.00	...	-163.02	Option sale to close-put ²⁵
NVDA 04/24/2020 CALL \$315.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	83.98	04/17/20	405.01	...	-321.03	1 of 2 - Option sale to close-call ²⁵
	1.000	83.99	04/17/20	190.00	...	-106.01	2 of 2 - Option sale to close-call ²⁵
04/21/20	2.000	167.97	Various	595.01	...	-427.04	Total of 2 transactions
04/21/20	1.000	83.99	04/17/20	325.00	241.01 W	0.00	Option sale to close-call ²⁵
Security total:		251.96		920.01	241.01 W	-427.04	
NVDA 05/29/2020 CALL \$400.00 / CUSIP: / Symbol:							
05/21/20	1.000	444.98	05/20/20	430.00	...	14.98	Option sale to close-call ²⁵

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NVDA 06/26/2020 CALL \$392.50 / CUSIP: / Symbol:							
06/24/20	1.000	121.98	06/23/20	246.00	...	-124.02	Option sale to close-call ²⁵
NVDA 08/21/2020 CALL \$495.00 / CUSIP: / Symbol:							
08/13/20	1.000	614.97	08/12/20	550.00	...	64.97	Option sale to close-call ²⁵
NVDA 08/21/2020 CALL \$525.00 / CUSIP: / Symbol:							
08/14/20	2.000	549.97	08/13/20	500.00	...	49.97	Option sale to close-call ²⁵
NVDA 09/04/2020 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	499.97	09/01/20	99.00	...	400.97	1 of 2 - Option sale to close-call ²⁵
	2.000	1,099.96	09/01/20	198.00	...	901.96	2 of 2 - Option sale to close-call ²⁵
09/02/20	3.000	1,599.93	Various	297.00	...	1,302.93	Total of 2 transactions
NVDA 10/30/2020 CALL \$600.00 / CUSIP: / Symbol:							
10/22/20	1.000	74.98	10/21/20	130.00	...	-55.02	Option sale to close-call ²⁵
NVDA 12/31/2020 CALL \$547.50 / CUSIP: / Symbol:							
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	50.99	12/24/20	553.04	...	-502.05	1 of 3 - Option sale to close-call ²⁵
	1.000	50.99	12/24/20	517.04	...	-466.05	2 of 3 - Option sale to close-call ²⁵
	1.000	51.00	12/24/20	147.00	...	-96.00	3 of 3 - Option sale to close-call ²⁵
12/28/20	3.000	152.98	Various	1,217.08	...	-1,064.10	Total of 3 transactions
7 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	12/23/20	249.00	199.02 W	0.00	1 of 7 - Option sale to close-call ²⁵
	1.000	49.98	12/23/20	249.00	199.02 W	0.00	2 of 7 - Option sale to close-call ²⁵
	1.000	49.99	12/23/20	420.02	370.03 W	0.00	3 of 7 - Option sale to close-call ²⁵
	1.000	49.99	12/23/20	420.02	370.03 W	0.00	4 of 7 - Option sale to close-call ²⁵
	1.000	50.99	12/24/20	487.03	436.04 W	0.00	5 of 7 - Option sale to close-call ²⁵
	1.000	50.99	12/24/20	487.03	436.04 W	0.00	6 of 7 - Option sale to close-call ²⁵
	1.000	51.00	12/24/20	117.00	66.00 W	0.00	7 of 7 - Option sale to close-call ²⁵
12/28/20	7.000	352.92	Various	2,429.10	2,076.18 W	0.00	Total of 7 transactions
	Security total:	505.90		3,646.18	2,076.18 W	-1,064.10	

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NYMT 04/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
<i>4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.00	03/26/20	77.00	...	-62.00	1 of 4 - Option sale to close-call ²⁵
	1.000	14.99	03/26/20	60.00	...	-45.01	2 of 4 - Option sale to close-call ²⁵
	1.000	14.99	03/26/20	55.00	...	-40.01	3 of 4 - Option sale to close-call ²⁵
	1.000	15.00	03/26/20	55.00	...	-40.00	4 of 4 - Option sale to close-call ²⁵
03/30/20	4.000	59.98	Various	247.00	...	-187.02	Total of 4 transactions
O 08/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
08/04/20	4.000	139.98	08/03/20	100.00	...	39.98	Option sale to close-call ²⁵
OKTA 06/05/2020 CALL \$195.00 / CUSIP: / Symbol:							
05/29/20	1.000	499.97	05/28/20	510.00	...	-10.03	Option sale to close-call ²⁵
OKTA 06/05/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/01/20	1.000	219.98	05/29/20	200.01	...	19.97	Option sale to close-call ²⁵
06/01/20	2.000	439.98	05/29/20	470.00	15.01 W	-15.01	Option sale to close-call ²⁵
	Security total:	659.96		670.01	15.01 W	4.96	
OKTA 06/05/2020 CALL \$235.00 / CUSIP: / Symbol:							
06/01/20	1.000	9.98	05/28/20	25.00	...	-15.02	Option sale to close-call ²⁵
ORCL 09/04/2020 CALL \$59.00 / CUSIP: / Symbol:							
09/01/20	1.000	49.98	08/31/20	50.00	...	-0.02	Option sale to close-call ²⁵
OSTK 08/14/2020 CALL \$105.00 / CUSIP: / Symbol:							
08/10/20	1.000	799.97	08/07/20	330.00	...	469.97	Option sale to close-call ²⁵
PDD 05/22/2020 CALL \$58.00 / CUSIP: / Symbol:							
05/14/20	1.000	499.97	05/11/20	230.00	...	269.97	Option sale to close-call ²⁵
PDD 05/29/2020 CALL \$65.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	419.98	05/21/20	480.00	...	-60.02	1 of 2 - Option sale to close-call ²⁵
	1.000	209.99	05/22/20	152.00	...	57.99	2 of 2 - Option sale to close-call ²⁵
05/26/20	3.000	629.97	Various	632.00	...	-2.03	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PDD 05/29/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	109.99	05/20/20	235.00	...	-125.01	1 of 2 - Option sale to close-call ²⁵
	1.000	109.99	05/20/20	167.00	...	-57.01	2 of 2 - Option sale to close-call ²⁵
05/21/20	2.000	219.98	Various	402.00	...	-182.02	Total of 2 transactions
PDD 07/10/2020 CALL \$100.00 / CUSIP: / Symbol:							
5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	37.98	07/06/20	123.01	...	-85.03	1 of 5 - Option sale to close-call ²⁵
	1.000	37.98	07/06/20	123.01	...	-85.03	2 of 5 - Option sale to close-call ²⁵
	1.000	37.98	07/06/20	123.00	...	-85.02	3 of 5 - Option sale to close-call ²⁵
	1.000	37.98	07/06/20	123.00	...	-85.02	4 of 5 - Option sale to close-call ²⁵
	1.000	37.98	07/06/20	45.00	...	-7.02	5 of 5 - Option sale to close-call ²⁵
07/07/20	5.000	189.90	Various	537.02	...	-347.12	Total of 5 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	4.000	147.98	07/06/20	476.00	328.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	37.00	07/06/20	41.00	4.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/07/20	5.000	184.98	Various	517.00	332.02 W	0.00	Total of 2 transactions
	Security total:	374.88		1,054.02	332.02 W	-347.12	
PDD 07/10/2020 CALL \$93.00 / CUSIP: / Symbol:							
07/08/20	3.000	401.98	07/07/20	375.00	...	26.98	Option sale to close-call ²⁵
PDD 09/04/2020 CALL \$92.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	179.99	08/25/20	240.00	...	-60.01	1 of 2 - Option sale to close-call ²⁵
	1.000	179.99	08/26/20	131.00	...	48.99	2 of 2 - Option sale to close-call ²⁵
08/27/20	2.000	359.98	Various	371.00	...	-11.02	Total of 2 transactions
PENN 08/28/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/18/20	2.000	213.98	08/18/20	202.00	...	11.98	Option sale to close-call ²⁵
PFE 03/27/2020 CALL \$34.50 / CUSIP: / Symbol:							
03/18/20	1.000	55.98	03/17/20	51.00	...	4.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 07/10/2020 CALL \$35.50 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	12.000	299.97	07/01/20	300.00	...	-0.03	1 of 3 - Option sale to close-call ²⁵
	1.000	25.00	07/01/20	23.00	...	2.00	2 of 3 - Option sale to close-call ²⁵
	1.000	24.99	07/01/20	22.00	...	2.99	3 of 3 - Option sale to close-call ²⁵
07/02/20	14.000	349.96	Various	345.00	...	4.96	Total of 3 transactions
PFE 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/15/20	7.000	734.97	12/14/20	966.00	...	-231.03	Option sale to close-call ²⁵
PFE 07/31/2020 CALL \$39.00 / CUSIP: / Symbol:							
07/27/20	1.000	27.98	07/24/20	46.00	...	-18.02	Option sale to close-call ²⁵
PFE 07/31/2020 CALL \$40.00 / CUSIP: / Symbol:							
5 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	4.000	59.99	07/27/20	84.02	...	-24.03	1 of 5 - Option sale to close-call ²⁵
	6.000	89.98	07/27/20	108.00	...	-18.02	2 of 5 - Option sale to close-call ²⁵
	1.000	15.00	07/27/20	21.02	...	-6.02	3 of 5 - Option sale to close-call ²⁵
	1.000	15.00	07/27/20	21.02	...	-6.02	4 of 5 - Option sale to close-call ²⁵
	2.000	29.99	07/27/20	36.00	...	-6.01	5 of 5 - Option sale to close-call ²⁵
07/28/20	14.000	209.96	Various	270.06	...	-60.10	Total of 5 transactions
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	07/27/20	18.00	3.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	4.000	59.98	07/27/20	72.00	12.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	14.98	07/27/20	18.00	3.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
07/28/20	6.000	89.94	Various	108.00	18.06 W	0.00	Total of 3 transactions
	Security total:	299.90		378.06	18.06 W	-60.10	
PFE 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
5 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	5.000	104.99	07/24/20	150.03	...	-45.04	1 of 5 - Option sale to close-call ²⁵
	3.000	62.99	07/24/20	99.03	...	-36.04	2 of 5 - Option sale to close-call ²⁵
	2.000	41.99	07/24/20	54.01	...	-12.02	3 of 5 - Option sale to close-call ²⁵
	4.000	83.99	07/24/20	96.00	...	-12.01	4 of 5 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PFE 09/18/2020 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
07/27/20	1.000	21.00	07/24/20	30.01	...	-9.01	5 of 5 - Option sale to close-call ²⁵
	15.000	314.96	Various	429.08	...	-114.12	Total of 5 transactions
<i>7 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	41.99	07/24/20	54.00	6.01 W	-6.00	1 of 7 - Option sale to close-call ²⁵
	8.000	167.97	07/24/20	192.00	24.03 W	0.00	2 of 7 - Option sale to close-call ²⁵
	3.000	62.99	07/24/20	81.01	18.02 W	0.00	3 of 7 - Option sale to close-call ²⁵
	2.000	42.00	07/24/20	48.00	6.00 W	0.00	4 of 7 - Option sale to close-call ²⁵
	5.000	104.99	07/24/20	135.02	30.03 W	0.00	5 of 7 - Option sale to close-call ²⁵
	2.000	41.99	07/24/20	48.00	6.01 W	0.00	6 of 7 - Option sale to close-call ²⁵
	3.000	62.99	07/24/20	90.02	27.03 W	0.00	7 of 7 - Option sale to close-call ²⁵
07/27/20	25.000	524.92	Various	648.05	117.13 W	-6.00	Total of 7 transactions
Security total:		839.88		1,077.13	117.13 W	-120.12	
PFE 10/16/2020 CALL \$37.00 / CUSIP: / Symbol:							
10/14/20	3.000	113.98	10/13/20	114.00	...	-0.02	Option sale to close-call ²⁵
PFE 10/16/2020 CALL \$49.00 / CUSIP: / Symbol:							
07/21/20	10.000	149.97	07/20/20	120.00	...	29.97	Option sale to close-call ²⁵
<i>10 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	20.98	07/20/20	12.00	...	8.98	1 of 10 - Option sale to close-call ²⁵
	1.000	20.98	07/20/20	12.00	...	8.98	2 of 10 - Option sale to close-call ²⁵
	10.000	209.97	07/20/20	120.00	...	89.97	3 of 10 - Option sale to close-call ²⁵
	10.000	209.97	07/20/20	120.00	...	89.97	4 of 10 - Option sale to close-call ²⁵
	10.000	209.97	07/20/20	120.00	...	89.97	5 of 10 - Option sale to close-call ²⁵
	10.000	209.97	07/20/20	120.00	...	89.97	6 of 10 - Option sale to close-call ²⁵
	11.000	230.97	07/20/20	132.00	...	98.97	7 of 10 - Option sale to close-call ²⁵

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Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 10/16/2020 CALL \$49.00 / CUSIP: / Symbol: (cont'd)							
	20.000	419.95	07/20/20	240.00	...	179.95	8 of 10 - Option sale to close-call ²⁵
	1.000	19.98	07/21/20	15.00	...	4.98	9 of 10 - Option sale to close-call ²⁵
	1.000	21.00	07/21/20	15.00	...	6.00	10 of 10 - Option sale to close-call ²⁵
07/22/20	75.000	1,573.74	Various	906.00	...	667.74	Total of 10 transactions
	5 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	36.000	683.91	07/29/20	828.00	...	-144.09	1 of 5 - Option sale to close-call ²⁵
	11.000	208.97	07/29/20	341.06	...	-132.09	2 of 5 - Option sale to close-call ²⁵
	8.000	151.98	07/29/20	184.00	...	-32.02	3 of 5 - Option sale to close-call ²⁵
	2.000	37.99	07/29/20	54.01	...	-16.02	4 of 5 - Option sale to close-call ²⁵
	1.000	19.00	07/29/20	27.00	...	-8.00	5 of 5 - Option sale to close-call ²⁵
07/30/20	58.000	1,101.85	Various	1,434.07	...	-332.22	Total of 5 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	13.000	246.96	07/29/20	299.00	52.04 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	19.00	07/29/20	23.00	4.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	11.000	208.97	07/29/20	297.03	88.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
07/30/20	25.000	474.93	Various	619.03	144.10 W	0.00	Total of 3 transactions
	Security total:	3,300.49		3,079.10	144.10 W	365.49	

PFE 10/16/2020 CALL \$50.00 / CUSIP: / Symbol:

14 transactions for 07/24/20. Total proceeds and cost reported to the IRS.

	4.000	79.99	07/22/20	84.00	...	-4.01	1 of 14 - Option sale to close-call ²⁵
	24.000	479.94	07/22/20	480.00	...	-0.06	2 of 14 - Option sale to close-call ²⁵
	15.000	299.96	07/22/20	300.00	...	-0.04	3 of 14 - Option sale to close-call ²⁵
	10.000	199.98	07/22/20	200.00	...	-0.02	4 of 14 - Option sale to close-call ²⁵
	4.000	79.99	07/22/20	80.00	...	-0.01	5 of 14 - Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 10/16/2020 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	5.000	99.99	07/22/20	100.00	...	-0.01	6 of 14 - Option sale to close-call ²⁵
	4.000	79.99	07/22/20	80.00	...	-0.01	7 of 14 - Option sale to close-call ²⁵
	1.000	21.00	07/22/20	20.00	...	1.00	8 of 14 - Option sale to close-call ²⁵
	2.000	41.99	07/22/20	40.00	...	1.99	9 of 14 - Option sale to close-call ²⁵
	4.000	83.99	07/22/20	80.00	...	3.99	10 of 14 - Option sale to close-call ²⁵
	6.000	125.98	07/22/20	120.00	...	5.98	11 of 14 - Option sale to close-call ²⁵
	3.000	59.99	07/23/20	90.00	...	-30.01	12 of 14 - Option sale to close-call ²⁵
	2.000	39.99	07/23/20	52.00	...	-12.01	13 of 14 - Option sale to close-call ²⁵
	1.000	20.00	07/23/20	25.00	...	-5.00	14 of 14 - Option sale to close-call ²⁵
07/24/20	85.000	1,712.78	Various	1,751.00	...	-38.22	Total of 14 transactions
PFE 10/23/2020 CALL \$38.50 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	10/16/20	34.00	...	40.98	1 of 2 - Option sale to close-call ²⁵
	2.000	141.98	10/16/20	68.00	...	73.98	2 of 2 - Option sale to close-call ²⁵
10/19/20	3.000	216.96	Various	102.00	...	114.96	Total of 2 transactions
PFE 11/20/2020 CALL \$42.00 / CUSIP: / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	4.000	107.98	10/12/20	92.00	...	15.98	1 of 2 - Option sale to close-call ²⁵
	5.000	134.98	10/12/20	115.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
10/13/20	9.000	242.96	Various	207.00	...	35.96	Total of 2 transactions
PINS 08/07/2020 CALL \$30.50 / CUSIP: / Symbol:							
07/31/20	2.000	499.97	07/30/20	70.00	...	429.97	Option sale to close-call ²⁵

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PINS 12/24/2020 CALL \$72.00 / CUSIP: / Symbol:							
5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	2.000	119.98	12/22/20	140.00	...	-20.02	1 of 5 - Option sale to close-call ²⁵
	2.000	119.98	12/22/20	140.00	...	-20.02	2 of 5 - Option sale to close-call ²⁵
	2.000	123.98	12/22/20	140.00	...	-16.02	3 of 5 - Option sale to close-call ²⁵
	1.000	61.98	12/22/20	70.00	...	-8.02	4 of 5 - Option sale to close-call ²⁵
	1.000	61.98	12/22/20	70.00	...	-8.02	5 of 5 - Option sale to close-call ²⁵
12/22/20	8.000	487.90	Various	560.00	...	-72.10	Total of 5 transactions
PINS 12/31/2020 CALL \$72.00 / CUSIP: / Symbol:							
12/22/20	3.000	491.97	12/22/20	474.00	...	17.97	Option sale to close-call ²⁵
PLAY 06/05/2020 CALL \$17.00 / CUSIP: / Symbol:							
06/03/20	3.000	29.98	05/29/20	150.02	...	-120.04	Option sale to close-call ²⁵
06/03/20	3.000	29.98	05/28/20	135.00	105.02 W	0.00	Option sale to close-call ²⁵
	Security total:	59.96		285.02	105.02 W	-120.04	
PLAY 06/05/2020 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	8.000	239.97	06/03/20	240.00	...	-0.03	1 of 2 - Option sale to close-call ²⁵
	1.000	30.00	06/03/20	30.02	...	-0.02	2 of 2 - Option sale to close-call ²⁵
06/04/20	9.000	269.97	Various	270.02	...	-0.05	Total of 2 transactions
06/04/20	1.000	29.98	06/03/20	30.00	0.02 W	0.00	Option sale to close-call ²⁵
	Security total:	299.95		300.02	0.02 W	-0.05	
PLAY 06/12/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/05/20	1.000	349.98	06/04/20	110.00	...	239.98	Option sale to close-call ²⁵
PLAY 06/12/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	93.99	06/05/20	126.00	...	-32.01	1 of 2 - Option sale to close-call ²⁵
	1.000	93.99	06/05/20	126.00	...	-32.01	2 of 2 - Option sale to close-call ²⁵
06/08/20	2.000	187.98	Various	252.00	...	-64.02	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLAY 07/24/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	3.000	104.98	07/15/20	255.00	...	-150.02	1 of 3 - Option sale to close-call ²⁵
	1.000	34.98	07/15/20	85.00	...	-50.02	2 of 3 - Option sale to close-call ²⁵
	1.000	35.98	07/15/20	85.00	...	-49.02	3 of 3 - Option sale to close-call ²⁵
07/16/20	5.000	175.94	Various	425.00	...	-249.06	Total of 3 transactions
PLAY 07/24/2020 CALL \$16.50 / CUSIP: / Symbol:							
07/16/20	1.000	20.98	07/15/20	50.00	...	-29.02	Option sale to close-call ²⁵
PLTR 12/31/2020 CALL \$30.00 / CUSIP: / Symbol:							
12/28/20	1.000	45.98	12/24/20	86.02	...	-40.04	Option sale to close-call ²⁵
12/28/20	1.000	44.98	12/24/20	77.00	32.02 W	0.00	Option sale to close-call ²⁵
	Security total:	90.96		163.02	32.02 W	-40.04	
PLUG 12/31/2020 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	4.000	231.98	12/22/20	288.00	...	-56.02	1 of 3 - Option sale to close-call ²⁵
	3.000	173.98	12/22/20	216.00	...	-42.02	2 of 3 - Option sale to close-call ²⁵
	1.000	57.98	12/22/20	72.00	...	-14.02	3 of 3 - Option sale to close-call ²⁵
12/23/20	8.000	463.94	Various	576.00	...	-112.06	Total of 3 transactions
PMT 04/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
03/26/20	1.000	64.98	03/25/20	50.00	...	14.98	Option sale to close-call ²⁵
PTON 06/26/2020 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	2.000	47.99	06/23/20	100.00	...	-52.01	1 of 4 - Option sale to close-call ²⁵
	2.000	47.99	06/23/20	100.00	...	-52.01	2 of 4 - Option sale to close-call ²⁵
	2.000	47.99	06/23/20	100.00	...	-52.01	3 of 4 - Option sale to close-call ²⁵
	2.000	48.00	06/23/20	36.00	...	12.00	4 of 4 - Option sale to close-call ²⁵
06/24/20	8.000	191.97	Various	336.00	...	-144.03	Total of 4 transactions

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PTON 07/02/2020 CALL \$65.00 / CUSIP: / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	13.000	272.97	06/25/20	754.00	...	-481.03	1 of 3 - Option sale to close-call ²⁵
	5.000	104.99	06/26/20	195.00	...	-90.01	2 of 3 - Option sale to close-call ²⁵
	2.000	41.99	06/26/20	78.00	...	-36.01	3 of 3 - Option sale to close-call ²⁵
06/29/20	20.000	419.95	Various	1,027.00	...	-607.05	Total of 3 transactions
PTON 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	85.98	07/09/20	85.00	...	0.98	1 of 4 - Option sale to close-call ²⁵
	1.000	85.99	07/09/20	85.00	...	0.99	2 of 4 - Option sale to close-call ²⁵
	2.000	171.99	07/09/20	170.00	...	1.99	3 of 4 - Option sale to close-call ²⁵
	1.000	86.00	07/09/20	82.00	...	4.00	4 of 4 - Option sale to close-call ²⁵
07/10/20	5.000	429.96	Various	422.00	...	7.96	Total of 4 transactions
PTON 08/07/2020 CALL \$75.00 / CUSIP: / Symbol:							
08/04/20	1.000	109.98	08/03/20	194.00	...	-84.02	Option sale to close-call ²⁵
PVH 04/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
4 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	349.99	03/25/20	150.00	...	199.99	1 of 4 - Option sale to close-call ²⁵
	1.000	349.99	03/25/20	100.00	...	249.99	2 of 4 - Option sale to close-call ²⁵
	1.000	349.99	04/06/20	30.00	...	319.99	3 of 4 - Option sale to close-call ²⁵
	2.000	699.98	04/06/20	54.00	...	645.98	4 of 4 - Option sale to close-call ²⁵
04/07/20	5.000	1,749.95	Various	334.00	...	1,415.95	Total of 4 transactions
PVH 05/15/2020 CALL \$50.00 / CUSIP: / Symbol:							
04/28/20	1.000	409.98	04/27/20	140.00	...	269.98	Option sale to close-call ²⁵
PVH 05/15/2020 CALL \$60.00 / CUSIP: / Symbol:							
04/28/20	3.000	209.98	04/17/20	180.00	...	29.98	Option sale to close-call ²⁵
PVH 05/15/2020 PUT \$22.50 / CUSIP: / Symbol:							
04/21/20	1.000	29.98	04/16/20	50.00	...	-20.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PVH 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/19/20	1.000	129.98	05/18/20	230.00	...	-100.02	Option sale to close-call ²⁵
PVH 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
05/13/20	4.000	207.98	05/06/20	480.00	272.02 W	0.00	Option sale to close-call ²⁵
05/19/20	3.000	194.98	05/18/20	444.02	166.03 W	-83.01	Option sale to close-call ²⁵
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	2.000	249.99	05/29/20	366.03	...	-116.04	1 of 2 - Option sale to close-call ²⁵
	1.000	124.99	05/29/20	163.00	...	-38.01	2 of 2 - Option sale to close-call ²⁵
06/02/20	3.000	374.98	Various	529.03	...	-154.05	Total of 2 transactions
Security total:		777.94		1,453.05	438.05 W	-237.06	
PVH 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/02/20	5.000	274.98	05/29/20	200.00	...	74.98	Option sale to close-call ²⁵
PVH 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	349.98	07/08/20	390.00	...	-40.02	1 of 2 - Option sale to close-call ²⁵
	1.000	174.99	07/08/20	195.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
07/08/20	3.000	524.97	Various	585.00	...	-60.03	Total of 2 transactions
PVH 07/17/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/18/20	6.000	329.98	06/17/20	510.00	...	-180.02	Option sale to close-call ²⁵
PVH 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
06/17/20	18.000	269.95	06/16/20	630.00	...	-360.05	Option sale to close-call ²⁵
PYPL 10/16/2020 CALL \$240.00 / CUSIP: / Symbol:							
08/19/20	1.000	99.98	08/10/20	150.00	...	-50.02	Option sale to close-call ²⁵
PYPL 10/23/2020 CALL \$220.00 / CUSIP: / Symbol:							
10/22/20	1.000	32.98	10/21/20	82.00	...	-49.02	Option sale to close-call ²⁵
PZZA 08/14/2020 CALL \$110.00 / CUSIP: / Symbol:							
08/06/20	4.000	39.98	08/05/20	300.00	...	-260.02	Option sale to close-call ²⁵

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QQQ 09/11/2020 PUT \$264.00 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	09/08/20	346.00	...	-246.01	1 of 2 - Option sale to close-put ²⁵
	1.000	99.99	09/08/20	175.00	...	-75.01	2 of 2 - Option sale to close-put ²⁵
09/09/20	2.000	199.98	Various	521.00	...	-321.02	Total of 2 transactions
QRVO 05/15/2020 CALL \$115.00 / CUSIP: / Symbol:							
4 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	10.00	05/08/20	60.00	...	-50.00	1 of 4 - Option sale to close-call ²⁵
	2.000	19.99	05/08/20	50.00	...	-30.01	2 of 4 - Option sale to close-call ²⁵
	1.000	9.99	05/08/20	37.00	...	-27.01	3 of 4 - Option sale to close-call ²⁵
	1.000	10.00	05/08/20	35.00	...	-25.00	4 of 4 - Option sale to close-call ²⁵
05/11/20	5.000	49.98	Various	182.00	...	-132.02	Total of 4 transactions
RCL 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
04/22/20	1.000	269.98	04/13/20	279.00	...	-9.02	Option sale to close-call ²⁵
RCL 04/09/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	5.000	54.98	04/07/20	160.00	...	-105.02	1 of 2 - Option sale to close-call ²⁵
	5.000	54.98	04/07/20	160.00	...	-105.02	2 of 2 - Option sale to close-call ²⁵
04/07/20	10.000	109.96	Various	320.00	...	-210.04	Total of 2 transactions
RCL 05/01/2020 CALL \$41.00 / CUSIP: / Symbol:							
04/28/20	1.000	254.98	04/27/20	46.00	...	208.98	Option sale to close-call ²⁵
RCL 05/29/2020 CALL \$53.00 / CUSIP: / Symbol:							
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	169.99	05/26/20	75.00	...	94.99	1 of 6 - Option sale to close-call ²⁵
	1.000	170.00	05/26/20	75.00	...	95.00	2 of 6 - Option sale to close-call ²⁵
	2.000	339.99	05/26/20	188.00	...	151.99	3 of 6 - Option sale to close-call ²⁵
	5.000	574.97	05/26/20	375.00	...	199.97	4 of 6 - Option sale to close-call ²⁵
	3.000	509.98	05/26/20	282.00	...	227.98	5 of 6 - Option sale to close-call ²⁵
	3.000	509.98	05/26/20	225.00	...	284.98	6 of 6 - Option sale to close-call ²⁵
05/27/20	15.000	2,274.91	Various	1,220.00	...	1,054.91	Total of 6 transactions

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2020 1099-B* OMB No. 1545-0715

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RCL 06/05/2020 CALL \$53.00 / CUSIP: / Symbol:							
05/28/20	10.000	2,999.91	05/27/20	2,500.00	...	499.91	Option sale to close-call ²⁵
RCL 06/05/2020 CALL \$55.00 / CUSIP: / Symbol:							
05/29/20	5.000	499.97	05/28/20	1,090.00	...	-590.03	Option sale to close-call ²⁵
RCL 06/05/2020 CALL \$56.00 / CUSIP: / Symbol:							
06/01/20	3.000	287.98	05/29/20	267.00	...	20.98	Option sale to close-call ²⁵
RCL 06/19/2020 PUT \$53.50 / CUSIP: / Symbol:							
06/12/20	3.000	539.97	06/11/20	732.00	...	-192.03	Option sale to close-put ²⁵
RKT 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/03/20	1.000	39.98	09/02/20	300.00	...	-260.02	Option sale to close-call ²⁵
RKT 09/18/2020 CALL \$46.00 / CUSIP: / Symbol:							
09/03/20	1.000	9.98	09/02/20	195.00	...	-185.02	Option sale to close-call ²⁵
RNG 08/07/2020 CALL \$310.00 / CUSIP: / Symbol:							
08/04/20	1.000	159.98	08/03/20	500.00	...	-340.02	Option sale to close-call ²⁵
ROKU 04/24/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/21/20	1.000	129.98	04/16/20	205.00	...	-75.02	Option sale to close-call ²⁵
ROKU 07/17/2020 CALL \$165.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	469.97	07/10/20	395.00	...	74.97	1 of 2 - Option sale to close-call ²⁵
	1.000	564.97	07/10/20	395.00	...	169.97	2 of 2 - Option sale to close-call ²⁵
07/13/20	2.000	1,034.94	Various	790.00	...	244.94	Total of 2 transactions
ROKU 07/17/2020 CALL \$175.00 / CUSIP: / Symbol:							
<i>3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	80.00	07/13/20	387.00	...	-307.00	1 of 3 - Option sale to close-call ²⁵
	2.000	159.99	07/13/20	256.00	...	-96.01	2 of 3 - Option sale to close-call ²⁵
	2.000	159.99	07/14/20	182.00	...	-22.01	3 of 3 - Option sale to close-call ²⁵
07/15/20	5.000	399.98	Various	825.00	...	-425.02	Total of 3 transactions

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ROKU 07/17/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/10/20	5.000	724.97	07/09/20	520.00	...	204.97	Option sale to close-call ²⁵
ROKU 07/17/2020 CALL \$190.00 / CUSIP: / Symbol:							
<i>3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	174.99	07/10/20	154.00	...	20.99	1 of 3 - Option sale to close-call ²⁵
	1.000	174.99	07/10/20	154.00	...	20.99	2 of 3 - Option sale to close-call ²⁵
	1.000	174.98	07/10/20	151.00	...	23.98	3 of 3 - Option sale to close-call ²⁵
07/13/20	3.000	524.96	Various	459.00	...	65.96	Total of 3 transactions
ROKU 08/21/2020 CALL \$157.50 / CUSIP: / Symbol:							
08/19/20	1.000	39.98	08/18/20	68.00	...	-28.02	Option sale to close-call ²⁵
SAVE 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	141.99	06/04/20	80.00	...	61.99	1 of 2 - Option sale to close-call ²⁵
	3.000	212.99	06/04/20	120.00	...	92.99	2 of 2 - Option sale to close-call ²⁵
06/09/20	5.000	354.98	Various	200.00	...	154.98	Total of 2 transactions
SBUX 09/04/2020 CALL \$86.00 / CUSIP: / Symbol:							
08/26/20	5.000	159.98	08/25/20	250.00	...	-90.02	Option sale to close-call ²⁵
SBUX 12/24/2020 CALL \$105.00 / CUSIP: / Symbol:							
12/16/20	1.000	109.98	12/15/20	110.00	...	-0.02	Option sale to close-call ²⁵
SHOP 05/01/2020 CALL \$700.00 / CUSIP: / Symbol:							
04/23/20	1.000	1,219.96	04/22/20	1,180.00	...	39.96	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,090.00 / CUSIP: / Symbol:							
07/29/20	1.000	3,179.91	07/28/20	1,380.00	...	1,799.91	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,170.00 / CUSIP: / Symbol:							
07/29/20	1.000	379.98	07/29/20	954.00	...	-574.02	Option sale to close-call ²⁵

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SLV 01/21/2022 CALL \$51.00 / CUSIP: / Symbol:							
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	103.98	10/15/20	99.00	...	4.98	1 of 4 - Option sale to close-call ²⁵
	1.000	104.98	10/15/20	99.00	...	5.98	2 of 4 - Option sale to close-call ²⁵
	1.000	104.98	10/15/20	99.00	...	5.98	3 of 4 - Option sale to close-call ²⁵
	2.000	207.98	10/15/20	198.00	...	9.98	4 of 4 - Option sale to close-call ²⁵
10/16/20	5.000	521.92	Various	495.00	...	26.92	Total of 4 transactions
SNAP 05/01/2020 CALL \$18.00 / CUSIP: / Symbol:							
04/23/20	1.000	29.98	04/22/20	46.00	...	-16.02	Option sale to close-call ²⁵
SNAP 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	69.99	07/09/20	125.00	...	-55.01	1 of 2 - Option sale to close-call ²⁵
	2.000	27.99	07/09/20	44.01	...	-16.02	2 of 2 - Option sale to close-call ²⁵
07/10/20	7.000	97.98	Various	169.01	...	-71.03	Total of 2 transactions
07/10/20	5.000	69.98	07/09/20	125.00	22.01 W	-33.01	Option sale to close-call ²⁵
	Security total:	167.96		294.01	22.01 W	-104.04	
SNAP 07/24/2020 CALL \$27.00 / CUSIP: / Symbol:							
07/22/20	3.000	17.98	07/21/20	291.00	...	-273.02	Option sale to close-call ²⁵
SNAP 09/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	09/04/20	130.01	...	-100.02	1 of 2 - Option sale to close-call ²⁵
	1.000	14.99	09/04/20	65.01	...	-50.02	2 of 2 - Option sale to close-call ²⁵
09/08/20	3.000	44.98	Various	195.02	...	-150.04	Total of 2 transactions
09/08/20	4.000	59.98	09/04/20	160.00	75.02 W	-25.00	Option sale to close-call ²⁵
	Security total:	104.96		355.02	75.02 W	-175.04	
SONO 08/21/2020 CALL \$20.00 / CUSIP: / Symbol:							
08/06/20	4.000	39.98	08/05/20	320.00	...	-280.02	Option sale to close-call ²⁵

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SPG 06/19/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	183.99	06/04/20	21.00	...	162.99	1 of 2 - Option sale to close-call ²⁵
	2.000	367.98	06/04/20	42.00	...	325.98	2 of 2 - Option sale to close-call ²⁵
06/05/20	3.000	551.97	Various	63.00	...	488.97	Total of 2 transactions
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	272.98	06/04/20	63.00	...	209.98	1 of 4 - Option sale to close-call ²⁵
	4.000	363.98	06/04/20	84.00	...	279.98	2 of 4 - Option sale to close-call ²⁵
	2.000	181.99	06/09/20	222.00	...	-40.01	3 of 4 - Option sale to close-call ²⁵
	1.000	90.98	06/09/20	111.00	...	-20.02	4 of 4 - Option sale to close-call ²⁵
06/10/20	10.000	909.93	Various	480.00	...	429.93	Total of 4 transactions
	Security total:	1,461.90		543.00	...	918.90	
SPG 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/27/20	6.000	215.98	05/27/20	276.00	...	-60.02	Option sale to close-call ²⁵
SPG 06/19/2020 CALL \$90.00 / CUSIP: / Symbol:							
06/11/20	1.000	72.98	06/10/20	197.00	...	-124.02	Option sale to close-call ²⁵
SPG 06/19/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	8.99	06/12/20	38.00	...	-29.01	1 of 2 - Option sale to close-call ²⁵
	1.000	8.99	06/12/20	16.00	...	-7.01	2 of 2 - Option sale to close-call ²⁵
06/15/20	2.000	17.98	Various	54.00	...	-36.02	Total of 2 transactions
SPG 08/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
08/11/20	1.000	124.98	08/10/20	194.00	...	-69.02	Option sale to close-call ²⁵
SPLK 05/29/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/26/20	1.000	419.98	05/21/20	285.00	...	134.98	Option sale to close-call ²⁵
SPOT 06/26/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/22/20	1.000	389.98	06/19/20	1,020.00	...	-630.02	Option sale to close-call ²⁵
SPR 07/17/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/30/20	3.000	554.97	06/29/20	420.00	...	134.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPY 03/27/2020 PUT \$245.00 / CUSIP: / Symbol:							
03/27/20	1.000	65.98	03/26/20	91.00	...	-25.02	Option sale to close-put ²⁵
SPY 05/29/2020 CALL \$300.50 / CUSIP: / Symbol:							
05/27/20	1.000	292.98	05/26/20	203.00	...	89.98	Option sale to close-call ²⁵
SPY 05/29/2020 CALL \$302.00 / CUSIP: / Symbol:							
05/26/20	5.000	754.97	05/26/20	1,075.00	...	-320.03	Option sale to close-call ²⁵
SPY 06/12/2020 CALL \$330.00 / CUSIP: / Symbol:							
5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	20.000	199.95	06/03/20	200.00	...	-0.05	1 of 5 - Option sale to close-call ²⁵
	17.000	169.96	06/03/20	170.00	...	-0.04	2 of 5 - Option sale to close-call ²⁵
	7.000	69.98	06/03/20	70.00	...	-0.02	3 of 5 - Option sale to close-call ²⁵
	5.000	49.99	06/03/20	50.00	...	-0.01	4 of 5 - Option sale to close-call ²⁵
	1.000	10.00	06/03/20	10.00	...	0.00	5 of 5 - Option sale to close-call ²⁵
06/04/20	50.000	499.88	Various	500.00	...	-0.12	Total of 5 transactions
SPY 06/12/2020 CALL \$345.00 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	8.000	7.97	06/03/20	16.00	...	-8.03	1 of 3 - Option sale to close-call ²⁵
	6.000	5.98	06/03/20	12.00	...	-6.02	2 of 3 - Option sale to close-call ²⁵
	1.000	0.98	06/03/20	2.00	...	-1.02	3 of 3 - Option sale to close-call ²⁵
06/04/20	15.000	14.93	Various	30.00	...	-15.07	Total of 3 transactions
SPY 06/19/2020 CALL \$316.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	308.98	06/15/20	93.00	...	215.98	1 of 2 - Option sale to close-call ²⁵
	4.000	1,163.96	06/15/20	372.00	...	791.96	2 of 2 - Option sale to close-call ²⁵
06/16/20	5.000	1,472.94	Various	465.00	...	1,007.94	Total of 2 transactions
SPY 06/19/2020 PUT \$283.00 / CUSIP: / Symbol:							
06/16/20	1.000	16.98	06/15/20	179.00	...	-162.02	Option sale to close-put ²⁵
SPY 06/26/2020 CALL \$307.00 / CUSIP: / Symbol:							
06/25/20	2.000	245.98	06/24/20	452.00	...	-206.02	Option sale to close-call ²⁵

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Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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SPY 07/06/2020 PUT \$300.00 / CUSIP: / Symbol:							
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	5.000	399.98	06/30/20	840.00	...	-440.02	1 of 3 - Option sale to close-put ²⁵
	4.000	319.98	06/30/20	500.00	...	-180.02	2 of 3 - Option sale to close-put ²⁵
	1.000	80.00	06/30/20	237.00	...	-157.00	3 of 3 - Option sale to close-put ²⁵
07/01/20	10.000	799.96	Various	1,577.00	...	-777.04	Total of 3 transactions
SPY 07/08/2020 PUT \$305.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	77.99	07/01/20	238.00	...	-160.01	1 of 2 - Option sale to close-put ²⁵
	1.000	77.99	07/01/20	238.00	...	-160.01	2 of 2 - Option sale to close-put ²⁵
07/02/20	2.000	155.98	Various	476.00	...	-320.02	Total of 2 transactions
SPY 07/31/2020 CALL \$345.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	8.000	143.98	07/15/20	232.00	...	-88.02	1 of 3 - Option sale to close-call ²⁵
	5.000	89.99	07/15/20	140.00	...	-50.01	2 of 3 - Option sale to close-call ²⁵
	2.000	35.99	07/15/20	58.00	...	-22.01	3 of 3 - Option sale to close-call ²⁵
07/16/20	15.000	269.96	Various	430.00	...	-160.04	Total of 3 transactions
SPY 08/14/2020 CALL \$345.00 / CUSIP: / Symbol:							
08/10/20	15.000	89.96	08/07/20	135.00	...	-45.04	Option sale to close-call ²⁵
SPY 08/14/2020 PUT \$330.00 / CUSIP: / Symbol:							
08/10/20	1.000	97.98	08/07/20	172.00	...	-74.02	Option sale to close-put ²⁵
SPY 10/26/2020 CALL \$347.00 / CUSIP: / Symbol:							
10/23/20	1.000	131.98	10/22/20	106.00	...	25.98	Option sale to close-call ²⁵
SQ 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	19.00	07/14/20	208.02	...	-189.02	1 of 4 - Option sale to close-call ²⁵
	1.000	19.00	07/14/20	208.02	...	-189.02	2 of 4 - Option sale to close-call ²⁵
	2.000	37.99	07/14/20	42.00	...	-4.01	3 of 4 - Option sale to close-call ²⁵
	1.000	18.99	07/14/20	16.00	...	2.99	4 of 4 - Option sale to close-call ²⁵
07/15/20	5.000	94.98	Various	474.04	...	-379.06	Total of 4 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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SQ 07/17/2020 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	18.98	07/13/20	197.00	178.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	18.98	07/13/20	197.00	178.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	37.96	Various	394.00	356.04 W	0.00	Total of 2 transactions
	Security total:	132.94		868.04	356.04 W	-379.06	
SQ 07/31/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	2.000	33.99	07/29/20	48.00	...	-14.01	1 of 2 - Option sale to close-call ²⁵
	2.000	33.99	07/29/20	48.00	...	-14.01	2 of 2 - Option sale to close-call ²⁵
07/30/20	4.000	67.98	Various	96.00	...	-28.02	Total of 2 transactions
SQ 09/04/2020 CALL \$170.00 / CUSIP: / Symbol:							
08/24/20	1.000	235.98	08/21/20	221.00	...	14.98	Option sale to close-call ²⁵
TEAM 08/07/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/31/20	1.000	11.98	07/30/20	363.00	...	-351.02	Option sale to close-call ²⁵
TLRY 04/03/2020 CALL \$8.00 / CUSIP: / Symbol:							
03/27/20	1.000	309.98	03/26/20	133.00	...	176.98	Option sale to close-call ²⁵
TLRY 08/14/2020 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	08/10/20	70.02	...	-68.03	1 of 4 - Option sale to close-call ²⁵
	2.000	1.99	08/10/20	70.01	...	-68.02	2 of 4 - Option sale to close-call ²⁵
	1.000	0.98	08/10/20	35.00	...	-34.02	3 of 4 - Option sale to close-call ²⁵
	1.000	0.98	08/10/20	18.00	...	-17.02	4 of 4 - Option sale to close-call ²⁵
08/11/20	6.000	5.94	Various	193.03	...	-187.09	Total of 4 transactions
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	7.000	6.98	08/10/20	126.00	51.01 W	-68.01	1 of 2 - Option sale to close-call ²⁵
	2.000	1.98	08/10/20	36.00	34.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/11/20	9.000	8.96	Various	162.00	85.03 W	-68.01	Total of 2 transactions
	Security total:	14.90		355.03	85.03 W	-255.10	

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TOL 08/28/2020 CALL \$47.50 / CUSIP: / Symbol:							
08/26/20	1.000	69.98	08/25/20	155.00	...	-85.02	Option sale to close-call ²⁵
TQQQ 07/24/2020 CALL \$125.00 / CUSIP: / Symbol:							
07/16/20	2.000	313.98	07/15/20	498.00	...	-184.02	Option sale to close-call ²⁵
TQQQ 07/24/2020 CALL \$132.00 / CUSIP: / Symbol:							
07/15/20	5.000	549.97	07/14/20	490.00	...	59.97	Option sale to close-call ²⁵
TQQQ 10/23/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/21/20	1.000	203.98	10/20/20	330.00	...	-126.02	Option sale to close-call ²⁵
TQQQ 12/18/2020 PUT \$165.00 / CUSIP: / Symbol:							
12/16/20	2.000	249.98	12/16/20	314.00	...	-64.02	Option sale to close-put ²⁵
TSCO 07/24/2020 CALL \$150.00 / CUSIP: / Symbol:							
07/23/20	3.000	602.97	07/21/20	375.00	...	227.97	Option sale to close-call ²⁵
TSLA 04/17/2020 CALL \$675.00 / CUSIP: / Symbol:							
04/14/20	1.000	5,039.87	04/13/20	995.00	...	4,044.87	Option sale to close-call ²⁵
TSLA 04/17/2020 CALL \$780.00 / CUSIP: / Symbol:							
04/15/20	1.000	984.96	04/14/20	980.00	...	4.96	Option sale to close-call ²⁵
TSLA 04/17/2020 PUT \$645.00 / CUSIP: / Symbol:							
04/15/20	1.000	156.98	04/14/20	295.00	...	-138.02	Option sale to close-put ²⁵
TSLA 04/17/2020 PUT \$655.00 / CUSIP: / Symbol:							
04/15/20	1.000	349.98	04/15/20	286.00	...	63.98	Option sale to close-put ²⁵
TSLA 05/22/2020 CALL \$940.00 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	159.98	05/18/20	251.00	...	-91.02	1 of 2 - Option sale to close-call ²⁵
	1.000	159.98	05/18/20	251.00	...	-91.02	2 of 2 - Option sale to close-call ²⁵
05/18/20	2.000	319.96	Various	502.00	...	-182.04	Total of 2 transactions
TSLA 06/26/2020 CALL \$1,200.00 / CUSIP: / Symbol:							
06/19/20	1.000	309.98	06/18/20	565.00	...	-255.02	Option sale to close-call ²⁵

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TSLA 07/02/2020 PUT \$1,000.00 / CUSIP: / Symbol: 06/30/20	1.000	719.97	06/30/20	880.00	...	-160.03	Option sale to close-put ²⁵
TSLA 07/10/2020 CALL \$1,420.00 / CUSIP: / Symbol: 07/06/20	1.000	1,444.95	07/06/20	1,445.00	...	-0.05	Option sale to close-call ²⁵
TSLA 07/17/2020 CALL \$2,050.00 / CUSIP: / Symbol: 07/14/20	1.000	1,799.95	07/13/20	1,460.00	...	339.95	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$2,250.00 / CUSIP: / Symbol: 07/23/20	1.000	41.98	07/22/20	700.00	...	-658.02	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$2,300.00 / CUSIP: / Symbol: 07/22/20	1.000	684.97	07/22/20	740.00	...	-55.03	Option sale to close-call ²⁵
TSLA 07/31/2020 PUT \$1,415.00 / CUSIP: / Symbol: 07/28/20	1.000	1,439.95	07/28/20	1,750.00	...	-310.05	Option sale to close-put ²⁵
TSLA 08/21/2020 CALL \$1,830.00 / CUSIP: / Symbol: 08/10/20	1.000	404.98	08/07/20	500.00	...	-95.02	Option sale to close-call ²⁵
TSLA 08/21/2020 CALL \$2,000.00 / CUSIP: / Symbol: 08/10/20	1.000	129.98	08/07/20	271.00	...	-141.02	Option sale to close-call ²⁵
TSLA 08/21/2020 CALL \$2,850.00 / CUSIP: / Symbol: 08/14/20	1.000	66.98	08/13/20	110.00	...	-43.02	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$565.00 / CUSIP: / Symbol: 09/04/20	2.000	1,599.95	09/03/20	1,320.00	...	279.95	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$640.00 / CUSIP: / Symbol: 09/03/20	1.000	324.98	09/03/20	495.00	...	-170.02	Option sale to close-call ²⁵
TTD 05/15/2020 CALL \$395.00 / CUSIP: / Symbol: 05/15/20	1.000	0.00	05/07/20	275.00	...	-275.00	Option expiration- long call
TTD 07/02/2020 CALL \$500.00 / CUSIP: / Symbol: 06/24/20	1.000	49.98	06/23/20	92.00	...	-42.02	Option sale to close-call ²⁵

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TTWO 05/22/2020 CALL \$165.00 / CUSIP: / Symbol:							
05/20/20	1.000	80.98	05/19/20	50.00	...	30.98	Option sale to close-call ²⁵
TTWO 05/29/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/21/20	1.000	24.98	05/20/20	190.00	...	-165.02	Option sale to close-call ²⁵
TTWO 05/29/2020 CALL \$195.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	0.00	05/20/20	33.00	...	-33.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/20/20	15.00	...	-15.00	2 of 2 - Option expiration- long call
05/29/20	4.000	0.00	Various	48.00	...	-48.00	Total of 2 transactions
TWLO 05/08/2020 CALL \$165.00 / CUSIP: / Symbol:							
05/07/20	1.000	245.98	05/07/20	280.00	...	-34.02	Option sale to close-call ²⁵
TWLO 05/15/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/07/20	1.000	2,649.93	05/06/20	360.00	...	2,289.93	Option sale to close-call ²⁵
TWLO 07/10/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/06/20	1.000	163.98	07/02/20	203.00	...	-39.02	Option sale to close-call ²⁵
TWLO 08/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
	<i>3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	74.99	08/07/20	181.02	...	-106.03	1 of 3 - Option sale to close-call ²⁵
	1.000	74.98	08/07/20	131.00	...	-56.02	2 of 3 - Option sale to close-call ²⁵
	1.000	74.99	08/07/20	131.00	...	-56.01	3 of 3 - Option sale to close-call ²⁵
08/10/20	3.000	224.96	Various	443.02	...	-218.06	Total of 3 transactions
08/10/20	1.000	80.98	08/07/20	131.00	50.02 W	0.00	Option sale to close-call ²⁵
	Security total:	305.94		574.02	50.02 W	-218.06	
TWTR 05/08/2020 CALL \$32.00 / CUSIP: / Symbol:							
05/01/20	3.000	38.98	04/29/20	363.00	...	-324.02	Option sale to close-call ²⁵
UAL 01/15/2021 CALL \$47.00 / CUSIP: / Symbol:							
04/22/20	2.000	599.97	04/06/20	454.00	...	145.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UAL 05/22/2020 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	3.000	44.99	05/19/20	66.00	...	-21.01	1 of 3 - Option sale to close-call ²⁵
	2.000	29.99	05/19/20	44.00	...	-14.01	2 of 3 - Option sale to close-call ²⁵
	5.000	74.99	05/19/20	70.00	...	4.99	3 of 3 - Option sale to close-call ²⁵
05/20/20	10.000	149.97	Various	180.00	...	-30.03	Total of 3 transactions
UAL 05/29/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/22/20	7.000	146.98	05/21/20	413.00	...	-266.02	Option sale to close-call ²⁵
UAL 06/05/2020 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	80.98	05/28/20	100.00	19.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	80.98	05/28/20	100.00	19.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/28/20	2.000	161.96	Various	200.00	38.04 W	0.00	Total of 2 transactions
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	27.99	05/28/20	98.02	...	-70.03	1 of 2 - Option sale to close-call ²⁵
	1.000	27.99	05/28/20	98.02	...	-70.03	2 of 2 - Option sale to close-call ²⁵
05/29/20	2.000	55.98	Various	196.04	...	-140.06	Total of 2 transactions
	Security total:	217.94		396.04	38.04 W	-140.06	
UAL 06/12/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/05/20	2.000	719.97	06/04/20	98.00	...	621.97	Option sale to close-call ²⁵
06/09/20	3.000	641.97	06/04/20	147.00	...	494.97	Option sale to close-call ²⁵
06/10/20	3.000	239.98	06/09/20	621.00	...	-381.02	Option sale to close-call ²⁵
	Security total:	1,601.92		866.00	...	735.92	
UAL 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/15/20	4.000	231.98	06/12/20	276.00	...	-44.02	Option sale to close-call ²⁵
UAL 06/19/2020 CALL \$46.00 / CUSIP: / Symbol:							
06/11/20	2.000	75.98	06/10/20	320.00	...	-244.02	Option sale to close-call ²⁵
UAL 07/10/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/01/20	5.000	519.97	07/01/20	500.00	...	19.97	Option sale to close-call ²⁵

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Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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UAL 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	3.000	143.99	08/11/20	285.00	...	-141.01	1 of 3 - Option sale to close-call ²⁵
	5.000	239.98	08/11/20	350.00	...	-110.02	2 of 3 - Option sale to close-call ²⁵
	1.000	48.00	08/11/20	142.02	...	-94.02	3 of 3 - Option sale to close-call ²⁵
08/11/20	9.000	431.97	Various	777.02	...	-345.05	Total of 3 transactions
08/11/20	1.000	47.98	08/11/20	95.00	47.02 W	0.00	Option sale to close-call ²⁵
	Security total:	479.95		872.02	47.02 W	-345.05	
UBER 05/15/2020 CALL \$32.50 / CUSIP: / Symbol:							
05/08/20	2.000	259.98	05/07/20	200.00	...	59.98	Option sale to close-call ²⁵
UCO 11/20/2020 CALL \$41.00 / CUSIP: / Symbol:							
10/06/20	1.000	24.98	10/02/20	23.00	...	1.98	Option sale to close-call ²⁵
ULTA 09/04/2020 CALL \$265.00 / CUSIP: / Symbol:							
08/31/20	1.000	39.98	08/28/20	180.00	...	-140.02	Option sale to close-call ²⁵
ULTA 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	3.000	179.98	08/27/20	105.00	...	74.98	1 of 2 - Option sale to close-call ²⁵
	2.000	179.98	08/27/20	70.00	...	109.98	2 of 2 - Option sale to close-call ²⁵
08/28/20	5.000	359.96	Various	175.00	...	184.96	Total of 2 transactions
UNH 07/17/2020 CALL \$315.00 / CUSIP: / Symbol:							
07/15/20	1.000	63.98	07/14/20	272.00	...	-208.02	Option sale to close-call ²⁵
UNH 07/17/2020 CALL \$320.00 / CUSIP: / Symbol:							
07/10/20	4.000	299.98	07/09/20	352.00	...	-52.02	Option sale to close-call ²⁵
UPS 07/31/2020 CALL \$125.00 / CUSIP: / Symbol:							
07/30/20	1.000	1,199.96	07/29/20	187.00	...	1,012.96	Option sale to close-call ²⁵
USO 05/08/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/06/20	6.000	299.98	05/05/20	252.00	...	47.98	Option sale to close-call ²⁵

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Account 125179887

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USO 05/22/2020 CALL \$27.00 / CUSIP: / Symbol:							
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	3.000	32.99	05/18/20	54.00	...	-21.01	1 of 3 - Option sale to close-call ²⁵
	1.000	10.99	05/18/20	18.00	...	-7.01	2 of 3 - Option sale to close-call ²⁵
	1.000	11.00	05/18/20	18.00	...	-7.00	3 of 3 - Option sale to close-call ²⁵
05/19/20	5.000	54.98	Various	90.00	...	-35.02	Total of 3 transactions
V 04/03/2020 CALL \$167.50 / CUSIP: / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	36.99	03/30/20	325.00	...	-288.01	1 of 2 - Option sale to close-call ²⁵
	1.000	36.99	03/31/20	180.00	...	-143.01	2 of 2 - Option sale to close-call ²⁵
04/01/20	2.000	73.98	Various	505.00	...	-431.02	Total of 2 transactions
V 04/09/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/07/20	5.000	274.98	04/06/20	95.00	...	179.98	Option sale to close-call ²⁵
V 04/24/2020 CALL \$190.00 / CUSIP: / Symbol:							
6 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	5.000	14.99	04/14/20	215.00	...	-200.01	1 of 6 - Option sale to close-call ²⁵
	5.000	14.99	04/15/20	100.00	...	-85.01	2 of 6 - Option sale to close-call ²⁵
	3.000	8.98	04/16/20	24.00	...	-15.02	3 of 6 - Option sale to close-call ²⁵
	1.000	3.00	04/16/20	8.00	...	-5.00	4 of 6 - Option sale to close-call ²⁵
	1.000	3.00	04/16/20	8.00	...	-5.00	5 of 6 - Option sale to close-call ²⁵
	1.000	3.00	04/17/20	12.00	...	-9.00	6 of 6 - Option sale to close-call ²⁵
04/21/20	16.000	47.96	Various	367.00	...	-319.04	Total of 6 transactions
V 05/01/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	120.99	04/29/20	60.00	...	60.99	1 of 2 - Option sale to close-call ²⁵
	1.000	120.99	04/29/20	60.00	...	60.99	2 of 2 - Option sale to close-call ²⁵
04/29/20	2.000	241.98	Various	120.00	...	121.98	Total of 2 transactions
04/30/20	2.000	241.98	04/29/20	120.00	...	121.98	Option sale to close-call ²⁵
	Security total:	483.96		240.00	...	243.96	

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Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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V 05/08/2020 CALL \$192.50 / CUSIP: / Symbol:							
05/01/20	1.000	18.98	04/30/20	85.00	...	-66.02	Option sale to close-call ²⁵
W 04/17/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	04/08/20	280.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	1.000	289.98	04/08/20	255.00	...	34.98	2 of 2 - Option sale to close-call ²⁵
04/09/20	2.000	579.97	Various	535.00	...	44.97	Total of 2 transactions
W 05/29/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	79.99	05/19/20	105.00	...	-25.01	1 of 2 - Option sale to close-call ²⁵
	1.000	79.99	05/20/20	105.00	...	-25.01	2 of 2 - Option sale to close-call ²⁵
05/20/20	2.000	159.98	Various	210.00	...	-50.02	Total of 2 transactions
W 07/10/2020 CALL \$227.50 / CUSIP: / Symbol:							
07/06/20	1.000	529.97	07/02/20	340.00	...	189.97	Option sale to close-call ²⁵
W 07/10/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	89.99	07/08/20	370.00	...	-280.01	1 of 2 - Option sale to close-call ²⁵
	1.000	89.99	07/08/20	200.00	...	-110.01	2 of 2 - Option sale to close-call ²⁵
07/09/20	2.000	179.98	Various	570.00	...	-390.02	Total of 2 transactions
WMT 05/22/2020 CALL \$132.00 / CUSIP: / Symbol:							
05/19/20	2.000	123.98	05/18/20	296.00	...	-172.02	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$143.00 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	27.99	08/17/20	156.02	...	-128.03	1 of 2 - Option sale to close-call ²⁵
	1.000	27.99	08/17/20	92.00	...	-64.01	2 of 2 - Option sale to close-call ²⁵
08/18/20	2.000	55.98	Various	248.02	...	-192.04	Total of 2 transactions
08/18/20	1.000	27.98	08/17/20	92.00	64.02 W	0.00	Option sale to close-call ²⁵
	Security total:	83.96		340.02	64.02 W	-192.04	

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WMT 09/04/2020 CALL \$142.00 / CUSIP: / Symbol:							
09/02/20	1.000	719.97	08/28/20	225.00	...	494.97	Option sale to close-call ²⁵
WORK 03/27/2020 CALL \$21.50 / CUSIP: / Symbol:							
03/23/20	1.000	189.98	03/20/20	135.00	...	54.98	Option sale to close-call ²⁵
WORK 03/27/2020 CALL \$25.50 / CUSIP: / Symbol:							
03/23/20	4.000	119.98	03/20/20	280.00	...	-160.02	Option sale to close-call ²⁵
WORK 04/03/2020 CALL \$29.00 / CUSIP: / Symbol:							
03/30/20	1.000	159.98	03/25/20	75.00	...	84.98	Option sale to close-call ²⁵
WYNN 06/12/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/05/20	1.000	624.97	06/04/20	246.00	...	378.97	Option sale to close-call ²⁵
WYNN 06/12/2020 CALL \$110.00 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	5.000	204.98	06/04/20	220.00	...	-15.02	1 of 3 - Option sale to close-call ²⁵
	1.000	41.00	06/04/20	38.00	...	3.00	2 of 3 - Option sale to close-call ²⁵
	2.000	81.99	06/04/20	76.00	...	5.99	3 of 3 - Option sale to close-call ²⁵
06/04/20	8.000	327.97	Various	334.00	...	-6.03	Total of 3 transactions
WYNN 06/19/2020 CALL \$110.00 / CUSIP: / Symbol:							
06/08/20	1.000	344.98	06/05/20	284.00	...	60.98	Option sale to close-call ²⁵
06/11/20	1.000	83.98	06/10/20	204.00	...	-120.02	Option sale to close-call ²⁵
	Security total:	428.96		488.00	...	-59.04	
XOM 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	10.000	429.96	11/24/20	750.00	...	-320.04	1 of 3 - Option sale to close-call ²⁵
	1.000	42.98	11/24/20	75.00	...	-32.02	2 of 3 - Option sale to close-call ²⁵
	1.000	43.00	11/25/20	99.02	...	-56.02	3 of 3 - Option sale to close-call ²⁵
11/30/20	12.000	515.94	Various	924.02	...	-408.08	Total of 3 transactions
11/30/20	1.000	43.98	11/24/20	75.00	31.02 W	0.00	Option sale to close-call ²⁵
	Security total:	559.92		999.02	31.02 W	-408.08	

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XOM 04/09/2020 CALL \$41.00 / CUSIP: / Symbol:							
04/03/20	1.000	114.98	04/02/20	145.00	...	-30.02	Option sale to close-call ²⁵
XOM 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/24/20	8.000	991.95	11/11/20	432.00	...	559.95	Option sale to close-call ²⁵
XOM 11/13/2020 CALL \$38.00 / CUSIP: / Symbol:							
11/12/20	1.000	1.98	11/11/20	20.00	...	-18.02	Option sale to close-call ²⁵
XOM 12/18/2020 CALL \$44.00 / CUSIP: / Symbol:							
11/25/20	1.000	67.98	11/24/20	89.00	...	-21.02	Option sale to close-call ²⁵
YETI 08/07/2020 CALL \$55.00 / CUSIP: / Symbol:							
08/05/20	1.000	94.98	08/03/20	89.00	...	5.98	Option sale to close-call ²⁵
08/06/20	4.000	215.98	08/03/20	356.00	...	-140.02	Option sale to close-call ²⁵
Security total:		310.96		445.00	...	-134.04	
YRCW 08/21/2020 CALL \$4.00 / CUSIP: / Symbol:							
08/04/20	25.000	624.93	08/03/20	250.00	...	374.93	Option sale to close-call ²⁵
ZM 04/09/2020 CALL \$126.00 / CUSIP: / Symbol:							
04/09/20	1.000	24.98	04/08/20	95.00	...	-70.02	Option sale to close-call ²⁵
ZM 05/01/2020 CALL \$170.00 / CUSIP: / Symbol:							
04/24/20	1.000	879.97	04/23/20	530.00	...	349.97	Option sale to close-call ²⁵
ZM 05/01/2020 PUT \$138.00 / CUSIP: / Symbol:							
04/27/20	1.000	169.98	04/24/20	245.00	...	-75.02	Option sale to close-put ²⁵
ZM 06/05/2020 PUT \$177.50 / CUSIP: / Symbol:							
06/03/20	1.000	49.98	06/02/20	280.00	...	-230.02	Option sale to close-put ²⁵
ZM 06/26/2020 CALL \$265.00 / CUSIP: / Symbol:							
06/23/20	1.000	148.98	06/22/20	270.00	...	-121.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 08/07/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/04/20	1.000	120.98	08/04/20	189.02	...	-68.04	Option sale to close-call ²⁵
08/04/20	1.000	120.98	08/04/20	155.00	34.02 W	0.00	Option sale to close-call ²⁵
	Security total:	241.96		344.02	34.02 W	-68.04	
ZM 08/21/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/19/20	1.000	136.98	08/18/20	170.00	...	-33.02	Option sale to close-call ²⁵
ZM 08/28/2020 CALL \$277.50 / CUSIP: / Symbol:							
08/20/20	1.000	1,749.95	08/17/20	330.00	...	1,419.95	Option sale to close-call ²⁵
ZM 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/12/20	2.000	83.98	08/10/20	336.01	...	-252.03	Option sale to close-call ²⁵
	<i>2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	83.99	08/10/20	240.00	156.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	41.99	08/10/20	90.00	48.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/12/20	3.000	125.98	Various	330.00	204.02 W	0.00	Total of 2 transactions
08/24/20	1.000	254.98	08/21/20	578.01	...	-323.03	Option sale to close-call ²⁵
	Security total:	464.94		1,244.02	204.02 W	-575.06	
ZM 08/28/2020 CALL \$305.00 / CUSIP: / Symbol:							
08/25/20	1.000	114.98	08/24/20	130.00	...	-15.02	Option sale to close-call ²⁵
ZM 08/28/2020 CALL \$310.00 / CUSIP: / Symbol:							
08/21/20	1.000	279.98	08/20/20	465.04	...	-185.06	Option sale to close-call ²⁵
	<i>2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	284.98	08/20/20	340.00	55.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	279.98	08/20/20	395.02	115.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/21/20	2.000	564.96	Various	735.02	170.06 W	0.00	Total of 2 transactions
	Security total:	844.94		1,200.06	170.06 W	-185.06	
ZM 09/04/2020 CALL \$310.00 / CUSIP: / Symbol:							
08/20/20	1.000	1,199.96	08/12/20	200.00	...	999.96	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZNGA 06/05/2020 CALL \$11.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	7.000	41.98	06/01/20	84.00	...	-42.02	1 of 2 - Option sale to close-call ²⁵
	1.000	5.98	06/01/20	12.00	...	-6.02	2 of 2 - Option sale to close-call ²⁵
06/02/20	8.000	47.96	Various	96.00	...	-48.04	Total of 2 transactions
ZS 06/05/2020 CALL \$115.00 / CUSIP: / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	94.98	06/01/20	55.00	...	39.98	1 of 3 - Option sale to close-call ²⁵
	1.000	94.98	06/01/20	55.00	...	39.98	2 of 3 - Option sale to close-call ²⁵
	2.000	199.98	06/01/20	110.00	...	89.98	3 of 3 - Option sale to close-call ²⁵
06/02/20	4.000	389.94	Various	220.00	...	169.94	Total of 3 transactions
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	20.98	06/02/20	70.00	...	-49.02	1 of 2 - Option sale to close-call ²⁵
	1.000	20.98	06/02/20	70.00	...	-49.02	2 of 2 - Option sale to close-call ²⁵
06/03/20	2.000	41.96	Various	140.00	...	-98.04	Total of 2 transactions
	Security total:	431.90		360.00	...	71.90	
ZS 06/05/2020 CALL \$79.00 / CUSIP: / Symbol:							
05/29/20	1.000	1,349.96	05/28/20	295.00	...	1,054.96	Option sale to close-call ²⁵
ZS 06/05/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	509.97	05/29/20	290.00	...	219.97	1 of 2 - Option sale to close-call ²⁵
	1.000	1,499.95	05/29/20	290.00	...	1,209.95	2 of 2 - Option sale to close-call ²⁵
06/01/20	2.000	2,009.92	Various	580.00	...	1,429.92	Total of 2 transactions
ZS 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	3.000	185.99	07/09/20	240.00	...	-54.01	1 of 2 - Option sale to close-call ²⁵
	2.000	123.99	07/10/20	160.00	...	-36.01	2 of 2 - Option sale to close-call ²⁵
07/13/20	5.000	309.98	Various	400.00	...	-90.02	Total of 2 transactions
ZS 09/11/2020 CALL \$142.00 / CUSIP: / Symbol:							
09/10/20	1.000	419.98	09/09/20	485.00	...	-65.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZS 09/11/2020 CALL \$157.50 / CUSIP: / Symbol:							
09/09/20	1.000	291.98	09/09/20	475.00	183.02 W	0.00	Option sale to close-call ²⁵
09/10/20	1.000	99.98	09/09/20	475.02	...	-375.04	Option sale to close-call ²⁵
	Security total:	391.96		950.02	183.02 W	-375.04	
ZS 09/11/2020 CALL \$170.00 / CUSIP: / Symbol:							
09/09/20	1.000	314.98	09/08/20	330.00	...	-15.02	Option sale to close-call ²⁵
ZS 09/18/2020 CALL \$180.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	214.99	09/01/20	330.00	...	-115.01	1 of 2 - Option sale to close-call ²⁵
	1.000	214.99	09/04/20	243.00	...	-28.01	2 of 2 - Option sale to close-call ²⁵
09/08/20	2.000	429.98	Various	573.00	...	-143.02	Total of 2 transactions
ZS 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/09/20	2.000	159.98	09/09/20	194.00	...	-34.02	Option sale to close-call ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
03/12/20	1.000	1,700.26	03/11/20	1,849.89	...	-149.63	Sale ²⁵
ARCUS BIOSCIENCES, INC. / CUSIP: 03969F109 / Symbol:							
<i>2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	30.62	04/16/20	24.25	...	6.37	1 of 2 - Sale ²⁵
	3.000	91.86	04/16/20	72.55	...	19.31	2 of 2 - Sale ²⁵
04/17/20	4.000	122.48	Various	96.80	...	25.68	Total of 2 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
04/16/20	35.000	178.56	04/15/20	170.45	...	8.11	Sale ²⁵
BIONTECH SE AMERICAN DEPOSITAR Y SHARE / CUSIP: 09075V102 / Symbol:							
03/17/20	10.000	615.88	03/16/20	422.00	...	193.88	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/16/20	10.000	1,479.96	03/13/20	1,739.90	...	-259.94	Sale ²⁵
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
06/09/20	5.000	175.10	06/08/20	314.50	...	-139.40	Sale ²⁵

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(continued)

02/14/2021

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
05/06/20	25.000	925.22	05/05/20	1,052.50	127.28 W	0.00	Sale ²⁵
05/07/20	25.000	888.39	05/06/20	1,003.03	...	-114.64	Sale ²⁵
	Security total:	1,813.61		2,055.53	127.28 W	-114.64	
ENZO BIOCHEM, INC. / CUSIP: 294100102 / Symbol:							
03/09/20	20.000	70.81	03/09/20	92.00	...	-21.19	Sale ²⁵
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
04/13/20	13.000	577.18	04/09/20	563.03	...	14.15	Sale ²⁵
THE GAP, INC. / CUSIP: 364760108 / Symbol:							
	<i>3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>						
	30.000	240.00	03/26/20	255.90	...	-15.90	1 of 3 - Sale ²⁵
	10.000	80.00	03/26/20	85.30	...	-5.30	2 of 3 - Sale ²⁵
	10.000	80.00	03/26/20	85.30	...	-5.30	3 of 3 - Sale ²⁵
03/27/20	50.000	400.00	Various	426.50	...	-26.50	Total of 3 transactions
HELIX ENERGY SOLUTIONS GROUP, INC. / CUSIP: 42330P107 / Symbol:							
06/17/20	1.000	3.98	06/12/20	4.07	...	-0.09	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/09/20	10.000	108.20	03/06/20	139.70	...	-31.50	Sale ²⁵
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
11/11/20	3.000	66.78	11/10/20	67.74	...	-0.96	3- Proceeds from collectibles [X] Sale ²⁵
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
03/11/20	120.000	148.80	03/10/20	142.79	...	6.01	Sale ²⁵
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
	<i>2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>						
	14.000	1,933.56	03/12/20	2,032.11	...	-98.55	1 of 2 - Sale ²⁵
	1.000	138.12	03/12/20	145.15	...	-7.03	2 of 2 - Sale ²⁵
03/12/20	15.000	2,071.68	Various	2,177.26	...	-105.58	Total of 2 transactions

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Robinhood Securities LLC

Account 125179887

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
03/12/20	15.000	2,247.74	03/11/20	2,325.00	77.26 W	0.00	Sale ²⁵
	Security total:	4,319.42		4,502.26	77.26 W	-105.58	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
04/16/20	9.000	3,961.15	04/15/20	3,842.10	...	119.05	Sale ²⁵
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
03/09/20	60.000	138.59	03/09/20	137.85	...	0.74	Sale ²⁵
PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol:							
03/31/20	4.000	456.80	03/30/20	461.20	...	-4.40	Sale ²⁵
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
05/06/20	60.000	977.96	05/05/20	953.40	...	24.56	Sale ²⁵
05/07/20	60.000	1,026.04	05/06/20	956.39	...	69.65	Sale ²⁵
	Security total:	2,004.00		1,909.79	...	94.21	
SPHERIX INCORPORATED COMMON ST OCK / CUSIP: 84842R502 / Symbol:							
03/09/20	100.000	300.00	03/06/20	102.80	...	197.20	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/12/20	1.000	572.58	03/11/20	647.30	...	-74.72	Sale ²⁵
VANECK VECTORS GOLD MINERS ETF / CUSIP: 92189F106 / Symbol:							
11/11/20	10.000	371.00	11/10/20	382.45	...	-11.45	Sale ²⁵
12/16/20	25.000	883.99	12/15/20	874.18	...	9.81	Sale ²⁵
	Security total:	1,254.99		1,256.63	...	-1.64	
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
06/10/20	10.000	534.98	06/09/20	413.50	...	121.48	Sale ²⁵
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	64.000	187.52	06/08/20	217.60	...	-30.08	1 of 2 - Sale ²⁵
	1.000	2.93	06/08/20	3.40	...	-0.47	2 of 2 - Sale ²⁵
06/09/20	65.000	190.45	Various	221.00	...	-30.55	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
<i>10 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	2.45	10/06/20	2.27	...	0.18	1 of 10 - Sale ²⁵
	3.000	3.67	10/06/20	3.44	...	0.23	2 of 10 - Sale ²⁵
	7.000	8.57	10/06/20	8.09	...	0.48	3 of 10 - Sale ²⁵
	10.000	12.25	10/06/20	11.39	...	0.86	4 of 10 - Sale ²⁵
	10.000	12.25	10/06/20	11.39	...	0.86	5 of 10 - Sale ²⁵
	75.000	91.87	10/06/20	85.50	...	6.37	6 of 10 - Sale ²⁵
	1.000	1.23	10/07/20	1.22	...	0.01	7 of 10 - Sale ²⁵
	10.000	12.25	10/07/20	12.19	...	0.06	8 of 10 - Sale ²⁵
	13.000	15.92	10/07/20	15.80	...	0.12	9 of 10 - Sale ²⁵
	69.000	84.52	10/07/20	84.08	...	0.44	10 of 10 - Sale ²⁵
10/08/20	200.000	244.98	Various	235.37	...	9.61	Total of 10 transactions
<i>2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	23.84	10/08/20	24.30	...	-0.46	1 of 2 - Sale ²⁵
	2.000	2.38	10/08/20	2.38	...	0.00	2 of 2 - Sale ²⁵
10/09/20	22.000	26.22	Various	26.68	...	-0.46	Total of 2 transactions
	Security total:	271.20		262.05	...	9.15	
Totals :		319,937.78		308,041.64	9,445.80 W	21,341.94	

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Robinhood Securities LLC

Account 125179887

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/30/20	0.14	Interest	
		07/31/20	0.03	Interest	
		08/31/20	0.05	Interest	
		12/31/20	0.08	Interest	
			0.30	Total Interest	
			0.30	Total Interest	

Robinhood Securities LLC

Account 125179887

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/11/20	-0.67	Margin interest paid	
		04/13/20	-5.00	Margin interest paid	
		05/11/20	-0.28	Margin interest paid	
		05/11/20	-5.00	Margin interest paid	
		06/09/20	-5.00	Margin interest paid	
		07/09/20	-5.00	Margin interest paid	
		08/10/20	-5.00	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		12/15/20	-5.00	Margin interest paid	
			-35.95	Total Margin interest paid	
			-35.95	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Instructions for Recipient

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S.

Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient.

Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Instructions for Recipient

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.