

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 161484316	Statement Date: 03/15/2021 Document ID: 167W 17N 4SG2	2020
	Abhishek anand Kottur 10214 Douglas Oaks Cir 103 Tampa, FL 33610 RECIPIENT'S TIN: XXX-XX-6778		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	33.35		2- Royalties	0.00	
1b- Qualified dividends	22.52		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	2.58		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.37		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.18		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.07	7- Foreign tax paid:			
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	27,312.09	26,535.97	0.00	393.90	1,170.02
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	27,312.09	26,535.97	0.00	393.90	1,170.02
Long	D (basis reported to the IRS)	2.06	3.90	0.00	0.00	-1.84
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.08	4.56	0.00	0.00	-4.48
	Total Long-term	2.14	8.46	0.00	0.00	-6.32
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	27,314.23	26,544.43	0.00	393.90	1,163.70
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 161484316

Summary Information

2020

(continued)

03/15/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/15/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
12 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
0.010		1.16	10/12/20	1.00	...	0.16	1 of 12 - Sale ²⁵
0.295		35.82	10/15/20	30.00	...	5.82	2 of 12 - Sale ²⁵
0.503		61.05	10/21/20	50.00	...	11.05	3 of 12 - Sale ²⁵
0.506		61.47	10/21/20	50.00	...	11.47	4 of 12 - Sale ²⁵
0.510		61.89	10/23/20	50.00	...	11.89	5 of 12 - Sale ²⁵
0.000		0.01	10/27/20	0.01	...	0.00	6 of 12 - Sale ²⁵
0.511		62.03	10/27/20	49.99	...	12.04	7 of 12 - Sale ²⁵
0.053		6.39	10/30/20	5.00	...	1.39	8 of 12 - Sale ²⁵
0.316		38.30	10/30/20	30.00	...	8.30	9 of 12 - Sale ²⁵
0.010		1.27	11/04/20	1.00	...	0.27	10 of 12 - Sale ²⁵
3.253		394.88	11/10/20	300.00	...	94.88	11 of 12 - Sale ²⁵

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Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd)							
	0.033	4.05	12/09/20	4.00	...	0.05	12 of 12 - Sale ²⁵
12/10/20	6.000	728.32	Various	571.00	...	157.32	Total of 12 transactions
ARK AUTONOMOUS TECHNOLOGY & RO BOTICS ETF / CUSIP: 00214Q203 / Symbol:							
12/10/20	0.016	1.10	10/12/20	1.00	...	0.10	Sale ²⁵
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	0.231	113.96	08/11/20	100.00	...	13.96	1 of 3 - Sale ²⁵
	0.020	9.63	08/27/20	10.00	...	-0.37	2 of 3 - Sale ²⁵
	0.020	9.74	08/27/20	10.00	...	-0.26	3 of 3 - Sale ²⁵
09/30/20	0.270	133.33	Various	120.00	...	13.33	Total of 3 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	0.077	22.48	08/04/20	20.00	...	2.48	1 of 4 - Sale ²⁵
	0.077	22.30	08/06/20	20.00	...	2.30	2 of 4 - Sale ²⁵
	0.077	22.37	08/06/20	20.00	...	2.37	3 of 4 - Sale ²⁵
	0.583	170.01	08/07/20	150.00	...	20.01	4 of 4 - Sale ²⁵
09/30/20	0.814	237.16	Various	210.00	...	27.16	Total of 4 transactions
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	0.006	9.73	09/02/20	10.00	...	-0.27	1 of 2 - Sale ²⁵
	0.006	10.27	09/04/20	10.13	...	0.14	2 of 2 - Sale ²⁵
10/30/20	0.012	20.00	Various	20.13	...	-0.13	Total of 2 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	0.016	50.96	08/11/20	50.00	...	0.96	1 of 3 - Sale ²⁵
	0.032	102.22	09/11/20	100.00	...	2.22	2 of 3 - Sale ²⁵
	0.080	252.60	09/16/20	250.00	...	2.60	3 of 3 - Sale ²⁵
09/28/20	0.128	405.78	Various	400.00	...	5.78	Total of 3 transactions

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Account 161484316

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(continued)

03/15/2021

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	0.006	19.42	08/19/20	20.00	0.58 W	0.00	1 of 3 - Sale ²⁵
	0.003	9.24	08/27/20	10.00	0.76 W	0.00	2 of 3 - Sale ²⁵
	0.001	4.48	09/02/20	5.00	0.52 W	0.00	3 of 3 - Sale ²⁵
09/28/20	0.010	33.14	Various	35.00	1.86 W	0.00	Total of 3 transactions
	Security total:	438.92		435.00	1.86 W	5.78	
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	0.016	0.20	07/17/20	0.20	...	0.00	1 of 4 - Sale ²⁵
	0.035	0.45	07/17/20	0.44	...	0.01	2 of 4 - Sale ²⁵
	0.035	0.45	07/17/20	0.44	...	0.01	3 of 4 - Sale ²⁵
	7.965	100.35	07/17/20	98.92	...	1.43	4 of 4 - Sale ²⁵
08/05/20	8.051	101.45	Various	100.00	...	1.45	Total of 4 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.009	0.05	11/06/20	0.05	...	0.00	1 of 3 - Sale ²⁵
	0.003	0.02	11/06/20	0.02	...	0.00	2 of 3 - Sale ²⁵
	0.022	0.13	11/06/20	0.13	...	0.00	3 of 3 - Sale ²⁵
11/12/20	0.034	0.20	Various	0.20	...	0.00	Total of 3 transactions
14 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.430	2.42	11/06/20	2.60	0.18 W	0.00	1 of 14 - Sale ²⁵
	7.814	44.08	11/06/20	47.28	3.20 W	0.00	2 of 14 - Sale ²⁵
	0.020	0.11	11/06/20	0.13	0.02 W	0.00	3 of 14 - Sale ²⁵
	0.410	2.31	11/06/20	2.65	0.34 W	0.00	4 of 14 - Sale ²⁵
	0.417	2.35	11/06/20	2.52	0.17 W	0.00	5 of 14 - Sale ²⁵
	3.393	19.14	11/06/20	19.95	0.81 W	0.00	6 of 14 - Sale ²⁵
	0.381	2.15	11/06/20	2.25	0.10 W	0.00	7 of 14 - Sale ²⁵
	3.000	16.93	11/06/20	17.73	0.80 W	0.00	8 of 14 - Sale ²⁵
	0.106	0.60	11/06/20	0.62	0.02 W	0.00	9 of 14 - Sale ²⁵
	4.997	28.19	11/06/20	29.38	1.19 W	0.00	10 of 14 - Sale ²⁵
	8.569	48.34	11/06/20	49.87	1.53 W	0.00	11 of 14 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	2.643	14.91	11/06/20	15.29	0.38 W	0.00	12 of 14 - Sale ²⁵
	3.215	18.14	11/06/20	18.62	0.48 W	0.00	13 of 14 - Sale ²⁵
	0.023	0.13	11/06/20	0.14	0.01 W	0.00	14 of 14 - Sale ²⁵
11/12/20	35.418	199.80	Various	209.03	9.23 W	0.00	Total of 14 transactions
	12 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	0.023	0.13	11/10/20	0.13	...	0.00	1 of 12 - Sale ²⁵
	0.106	0.60	11/10/20	0.58	...	0.02	2 of 12 - Sale ²⁵
	0.381	2.14	11/10/20	2.12	...	0.02	3 of 12 - Sale ²⁵
	3.000	16.83	11/10/20	16.72	...	0.11	4 of 12 - Sale ²⁵
	1.056	5.92	11/10/20	5.79	...	0.13	5 of 12 - Sale ²⁵
	3.393	19.04	11/10/20	18.82	...	0.22	6 of 12 - Sale ²⁵
	4.997	28.04	11/10/20	27.72	...	0.32	7 of 12 - Sale ²⁵
	1.000	5.61	11/11/20	5.57	...	0.04	8 of 12 - Sale ²⁵
	5.000	28.06	11/11/20	27.39	...	0.67	9 of 12 - Sale ²⁵
	0.000	0.00	11/12/20	0.00	...	0.00	10 of 12 - Zero proceeds / negative sale ²⁵
	0.181	1.02	11/12/20	1.00	...	0.02	11 of 12 - Sale ²⁵
	0.495	2.78	11/12/20	2.74	...	0.04	12 of 12 - Sale ²⁵
11/13/20	19.631	110.17	Various	108.58	...	1.59	Total of 12 transactions
	11 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	2.756	15.47	11/06/20	17.09	1.62 W	0.00	1 of 11 - Sale ²⁵
	0.020	0.11	11/10/20	0.13	0.02 W	0.00	2 of 11 - Sale ²⁵
	0.410	2.30	11/10/20	2.52	0.22 W	0.00	3 of 11 - Sale ²⁵
	0.417	2.34	11/10/20	2.39	0.05 W	0.00	4 of 11 - Sale ²⁵
	5.035	28.26	11/10/20	28.79	0.53 W	0.00	5 of 11 - Sale ²⁵
	0.201	1.13	11/12/20	1.15	0.02 W	0.00	6 of 11 - Sale ²⁵
	1.290	7.24	11/12/20	7.40	0.16 W	0.00	7 of 11 - Sale ²⁵
	2.643	14.83	11/12/20	15.07	0.24 W	0.00	8 of 11 - Sale ²⁵
	3.064	17.19	11/12/20	17.49	0.30 W	0.00	9 of 11 - Sale ²⁵
	0.151	0.85	11/12/20	0.86	0.01 W	0.00	10 of 11 - Sale ²⁵
	0.020	0.11	11/12/20	0.13	0.02 W	0.00	11 of 11 - Sale ²⁵
11/13/20	16.007	89.83	Various	93.02	3.19 W	0.00	Total of 11 transactions
	Security total:	400.00		410.83	12.42 W	1.59	

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Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	0.114	51.74	08/04/20	0.00	...	51.74	1 of 4 - Sale ²⁵
	0.111	50.00	08/07/20	50.00	...	0.00	2 of 4 - Sale ²⁵
	0.147	66.31	08/07/20	65.89	...	0.42	3 of 4 - Sale ²⁵
	0.298	134.94	08/07/20	134.11	...	0.83	4 of 4 - Sale ²⁵
08/12/20	0.670	302.99	Various	250.00	...	52.99	Total of 4 transactions
17 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	0.021	2.45	08/18/20	2.36	...	0.09	1 of 17 - Sale ²⁵
	0.415	49.42	08/18/20	47.64	...	1.78	2 of 17 - Sale ²⁵
	0.080	9.54	08/25/20	10.00	...	-0.46	3 of 17 - Sale ²⁵
	0.390	46.54	08/31/20	50.00	...	-3.46	4 of 17 - Sale ²⁵
	0.077	9.15	09/02/20	9.99	...	-0.84	5 of 17 - Sale ²⁵
	0.037	4.41	09/02/20	5.00	...	-0.59	6 of 17 - Sale ²⁵
	0.000	0.01	09/02/20	0.01	...	0.00	7 of 17 - Sale ²⁵
	0.673	80.27	09/03/20	83.66	...	-3.39	8 of 17 - Sale ²⁵
	0.132	15.68	09/03/20	16.34	...	-0.66	9 of 17 - Sale ²⁵
	0.879	104.80	09/04/20	100.00	...	4.80	10 of 17 - Sale ²⁵
	0.433	51.64	09/08/20	50.00	...	1.64	11 of 17 - Sale ²⁵
	0.899	107.13	09/11/20	100.00	...	7.13	12 of 17 - Sale ²⁵
	3.610	430.24	09/11/20	400.00	...	30.24	13 of 17 - Sale ²⁵
	0.000	0.01	10/02/20	0.01	...	0.00	14 of 17 - Sale ²⁵
	0.329	39.27	10/02/20	37.17	...	2.10	15 of 17 - Sale ²⁵
	0.885	105.44	10/02/20	100.00	...	5.44	16 of 17 - Sale ²⁵
	1.000	119.19	10/02/20	112.82	...	6.37	17 of 17 - Sale ²⁵
11/05/20	9.860	1,175.19	Various	1,125.00	...	50.19	Total of 17 transactions
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	0.080	9.57	08/25/20	10.00	0.04 W	-0.39	1 of 2 - Sale ²⁵
	0.080	9.56	08/25/20	10.00	0.44 W	0.00	2 of 2 - Sale ²⁵
11/05/20	0.160	19.13	Various	20.00	0.48 W	-0.39	Total of 2 transactions
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.007	0.98	11/23/20	0.87	...	0.11	1 of 2 - Sale ²⁵
	0.080	10.81	11/23/20	9.61	...	1.20	2 of 2 - Sale ²⁵
12/30/20	0.087	11.79	Various	10.48	...	1.31	Total of 2 transactions

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Robinhood Securities LLC

Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
Security total:		1,509.10		1,405.48		0.48 W	104.10
BERKSHIRE HATHAWAY INC. / CUSIP: 084670108 / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	0.000	50.82	08/11/20	49.78	...	1.04	1 of 3 - Sale ²⁵
	0.001	348.87	08/12/20	340.93	...	7.94	2 of 3 - Sale ²⁵
	0.002	499.77	08/12/20	489.61	...	10.16	3 of 3 - Sale ²⁵
09/11/20	0.003	899.46	Various	880.32	...	19.14	Total of 3 transactions
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.001	175.26	08/12/20	169.19	...	6.07	1 of 3 - Sale ²⁵
	0.001	265.88	08/19/20	249.88	...	16.00	2 of 3 - Sale ²⁵
	0.000	107.55	08/20/20	99.59	...	7.96	3 of 3 - Sale ²⁵
09/16/20	0.002	548.69	Various	518.66	...	30.03	Total of 3 transactions
Security total:		1,448.15		1,398.98			49.17
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
09/03/20	0.091	20.00	08/20/20	18.81	...	1.19	Sale ²⁵
09/28/20	0.394	84.55	08/20/20	81.19	...	3.36	Sale ²⁵
12/10/20	0.050	11.33	10/30/20	10.00	...	1.33	Sale ²⁵
Security total:		115.88		110.00			5.88
CME GROUP INC. CLASS A COMMON STOCK / CUSIP: 12572Q105 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.001	0.13	09/09/20	0.12	...	0.01	1 of 2 - Sale ²⁵
	0.119	21.79	09/09/20	19.88	...	1.91	2 of 2 - Sale ²⁵
12/10/20	0.120	21.92	Various	20.00	...	1.92	Total of 2 transactions
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	11/06/20	0.01	...	0.00	1 of 5 - Sale ²⁵
	0.115	3.17	11/06/20	2.76	...	0.41	2 of 5 - Sale ²⁵
	0.636	17.46	11/06/20	15.00	...	2.46	3 of 5 - Sale ²⁵
	0.721	19.78	11/06/20	17.24	...	2.54	4 of 5 - Sale ²⁵

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(continued)

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CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
	0.642	17.61	11/06/20	14.99	...	2.62	5 of 5 - Sale ²⁵
12/10/20	2.115	58.03	Various	50.00	...	8.03	Total of 5 transactions
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	0.034	0.25	11/06/20	0.25	...	0.00	1 of 4 - Sale ²⁵
	0.053	0.40	11/06/20	0.40	...	0.00	2 of 4 - Sale ²⁵
	0.013	0.10	11/06/20	0.10	...	0.00	3 of 4 - Sale ²⁵
	1.983	14.90	11/06/20	14.75	...	0.15	4 of 4 - Sale ²⁵
11/06/20	2.084	15.65	Various	15.50	...	0.15	Total of 4 transactions
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	16.017	120.29	11/06/20	120.89	0.45 W	-0.15	1 of 2 - Sale ²⁵
	1.873	14.06	11/06/20	15.00	0.94 W	0.00	2 of 2 - Sale ²⁵
11/06/20	17.889	134.35	Various	135.89	1.39 W	-0.15	Total of 2 transactions
11/09/20	0.071	0.52	11/09/20	0.55	...	-0.03	Sale ²⁵
7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.532	3.93	11/06/20	4.01	0.08 W	0.00	1 of 7 - Sale ²⁵
	3.258	23.95	11/06/20	24.60	0.65 W	0.00	2 of 7 - Sale ²⁵
	0.324	2.38	11/06/20	2.60	0.22 W	0.00	3 of 7 - Sale ²⁵
	1.000	7.35	11/06/20	8.06	0.71 W	0.00	4 of 7 - Sale ²⁵
	7.338	53.92	11/09/20	55.56	0.21 W	-1.43	5 of 7 - Sale ²⁵
	0.532	3.91	11/09/20	4.09	0.18 W	0.00	6 of 7 - Sale ²⁵
	0.549	4.04	11/09/20	4.42	0.38 W	0.00	7 of 7 - Sale ²⁵
11/09/20	13.532	99.48	Various	103.34	2.43 W	-1.43	Total of 7 transactions
	Security total:	250.00		255.28	3.82 W	-1.46	
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
6 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	0.231	19.48	08/26/20	20.00	...	-0.52	1 of 6 - Sale ²⁵
	0.115	9.65	08/26/20	10.00	...	-0.35	2 of 6 - Sale ²⁵
	0.115	9.72	08/26/20	10.00	...	-0.28	3 of 6 - Sale ²⁵
	0.195	16.37	08/27/20	16.29	...	0.08	4 of 6 - Sale ²⁵
	0.121	10.21	08/27/20	10.00	...	0.21	5 of 6 - Sale ²⁵

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DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol: (cont'd)							
	0.999	84.08	08/27/20	83.71	...	0.37	6 of 6 - Sale ²⁵
09/01/20	1.777	149.51	Various	150.00	...	-0.49	Total of 6 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
12/10/20	1.790	75.39	08/19/20	50.00	...	25.39	Sale ²⁵
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	0.001	0.01	08/10/20	0.01	...	0.00	1 of 3 - Sale ²⁵
	0.160	1.56	08/10/20	1.48	...	0.08	2 of 3 - Sale ²⁵
	1.999	19.47	08/10/20	18.51	...	0.96	3 of 3 - Sale ²⁵
08/12/20	2.160	21.04	Various	20.00	...	1.04	Total of 3 transactions
ECOLAB, INC. / CUSIP: 278865100 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.005	1.13	09/09/20	1.00	...	0.13	1 of 2 - Sale ²⁵
	0.070	15.81	10/30/20	13.00	...	2.81	2 of 2 - Sale ²⁵
12/10/20	0.075	16.94	Various	14.00	...	2.94	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
11 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	0.193	53.88	08/11/20	50.00	...	3.88	1 of 11 - Sale ²⁵
	0.000	0.02	09/02/20	0.02	...	0.00	2 of 11 - Sale ²⁵
	0.275	76.85	09/16/20	73.17	...	3.68	3 of 11 - Sale ²⁵
	0.477	133.08	09/16/20	126.83	...	6.25	4 of 11 - Sale ²⁵
	0.017	4.67	10/06/20	4.68	...	-0.01	5 of 11 - Sale ²⁵
	0.017	4.66	10/06/20	4.66	...	0.00	6 of 11 - Sale ²⁵
	0.017	4.78	10/06/20	4.67	...	0.11	7 of 11 - Sale ²⁵
	0.103	28.62	10/06/20	26.68	...	1.94	8 of 11 - Sale ²⁵
	1.000	278.85	10/06/20	260.16	...	18.69	9 of 11 - Sale ²⁵
	0.000	0.07	10/07/20	0.06	...	0.01	10 of 11 - Sale ²⁵
	0.370	103.25	10/07/20	94.94	...	8.31	11 of 11 - Sale ²⁵
10/21/20	2.468	688.73	Various	645.87	...	42.86	Total of 11 transactions

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	0.017	4.79	08/27/20	5.00	0.21 W	0.00	1 of 3 - Sale ²⁵
	0.017	4.68	09/02/20	5.00	0.32 W	0.00	2 of 3 - Sale ²⁵
	0.017	4.66	09/02/20	4.98	0.32 W	0.00	3 of 3 - Sale ²⁵
10/21/20	0.051	14.13	Various	14.98	0.85 W	0.00	Total of 3 transactions
	Security total:	702.86		660.85	0.85 W	42.86	
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	0.090	0.14	07/17/20	0.13	...	0.01	1 of 2 - Sale ²⁵
	70.000	106.39	07/17/20	99.87	...	6.52	2 of 2 - Sale ²⁵
07/17/20	70.090	106.53	Various	100.00	...	6.53	Total of 2 transactions
HEXO CORP. / CUSIP: 428304307 / Symbol:							
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.250	4.76	11/06/20	4.80	...	-0.04	1 of 3 - Sale ²⁵
	1.250	4.76	11/09/20	4.40	...	0.36	2 of 3 - Sale ²⁵
	2.500	9.53	11/09/20	8.71	...	0.82	3 of 3 - Sale ²⁵
12/30/20	5.000	19.05	Various	17.91	...	1.14	Total of 3 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	5.331	17.71	07/17/20	18.37	...	-0.66	1 of 4 - Sale ²⁵
	0.389	1.29	07/17/20	1.34	...	-0.05	2 of 4 - Sale ²⁵
	0.669	2.22	08/31/20	1.97	...	0.25	3 of 4 - Sale ²⁵
	1.000	3.33	08/31/20	2.95	...	0.38	4 of 4 - Sale ²⁵
12/10/20	7.389	24.55	Various	24.63	...	-0.08	Total of 4 transactions
INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF / CUSIP: 46138E362 / Symbol:							
5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.004	0.14	08/28/20	0.14	...	0.00	1 of 5 - Sale ²⁵
	0.001	0.03	08/28/20	0.03	...	0.00	2 of 5 - Sale ²⁵
	0.287	9.93	08/28/20	9.83	...	0.10	3 of 5 - Sale ²⁵
	0.305	10.56	09/29/20	10.00	...	0.56	4 of 5 - Sale ²⁵

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INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF / CUSIP: 46138E362 / Symbol: (cont'd)							
	5.185	179.34	10/12/20	180.43	...	-1.09	5 of 5 - Sale ²⁵
10/13/20	5.782	200.00	Various	200.43	...	-0.43	Total of 5 transactions
12/30/20	0.562	21.03	10/12/20	19.57	...	1.46	Sale ²⁵
	Security total:	221.03		220.00	...	1.03	
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
08/12/20	0.339	50.67	08/06/20	50.00	...	0.67	Sale ²⁵
	2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	0.000	0.03	09/02/20	0.03	...	0.00	1 of 2 - Sale ²⁵
	1.838	266.15	10/20/20	266.21	...	-0.06	2 of 2 - Sale ²⁵
10/20/20	1.838	266.18	Various	266.24	...	-0.06	Total of 2 transactions
	4 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	0.082	11.82	09/02/20	12.33	0.51 W	0.00	1 of 4 - Sale ²⁵
	0.051	7.35	09/02/20	7.64	0.29 W	0.00	2 of 4 - Sale ²⁵
	0.339	49.11	09/08/20	50.00	0.89 W	0.00	3 of 4 - Sale ²⁵
	0.453	65.54	10/20/20	65.55	0.01 W	0.00	4 of 4 - Sale ²⁵
10/20/20	0.924	133.82	Various	135.52	1.70 W	0.00	Total of 4 transactions
	Security total:	450.67		451.76	1.70 W	0.61	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	18.63	09/10/20	21.84	...	-3.21	1 of 2 - Sale ²⁵
	0.374	6.96	09/10/20	8.16	...	-1.20	2 of 2 - Sale ²⁵
12/10/20	1.374	25.59	Various	30.00	...	-4.41	Total of 2 transactions
LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol:							
	4 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	0.149	24.01	08/27/20	25.00	...	-0.99	1 of 4 - Sale ²⁵
	0.060	9.60	08/27/20	10.00	...	-0.40	2 of 4 - Sale ²⁵
	0.314	50.64	11/09/20	50.00	...	0.64	3 of 4 - Sale ²⁵
	0.264	42.54	12/07/20	40.00	...	2.54	4 of 4 - Sale ²⁵
12/30/20	0.787	126.79	Various	125.00	...	1.79	Total of 4 transactions

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MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	0.152	51.71	08/17/20	50.00	...	1.71	1 of 3 - Sale ²⁵
	0.029	9.77	08/25/20	10.00	...	-0.23	2 of 3 - Sale ²⁵
	0.028	9.39	08/31/20	10.00	...	-0.61	3 of 3 - Sale ²⁵
09/30/20	0.209	70.87	Various	70.00	...	0.87	Total of 3 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	3.000	601.88	07/23/20	624.12	22.24 W	0.00	1 of 2 - Sale ²⁵
	1.000	200.62	07/24/20	201.99	1.37 W	0.00	2 of 2 - Sale ²⁵
07/31/20	4.000	802.50	Various	826.11	23.61 W	0.00	Total of 2 transactions
15 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	07/24/20	0.01	...	0.00	1 of 15 - Sale ²⁵
	0.242	51.44	07/24/20	50.59	...	0.85	2 of 15 - Sale ²⁵
	0.739	157.30	07/24/20	154.68	...	2.62	3 of 15 - Sale ²⁵
	2.020	430.00	07/31/20	420.65	...	9.35	4 of 15 - Sale ²⁵
	1.000	212.91	07/31/20	202.23	...	10.68	5 of 15 - Sale ²⁵
	0.980	208.71	07/31/20	196.90	...	11.81	6 of 15 - Sale ²⁵
	0.239	50.96	09/04/20	50.00	...	0.96	7 of 15 - Sale ²⁵
	0.000	0.01	09/08/20	0.01	...	0.00	8 of 15 - Sale ²⁵
	0.096	20.53	09/08/20	20.00	...	0.53	9 of 15 - Sale ²⁵
	0.494	105.07	09/08/20	99.99	...	5.08	10 of 15 - Sale ²⁵
	1.223	260.31	09/08/20	250.00	...	10.31	11 of 15 - Sale ²⁵
	0.231	49.21	09/11/20	46.93	...	2.28	12 of 15 - Sale ²⁵
	0.247	52.69	09/11/20	50.00	...	2.69	13 of 15 - Sale ²⁵
	1.000	212.88	09/11/20	203.07	...	9.81	14 of 15 - Sale ²⁵
	0.993	211.50	09/17/20	200.00	...	11.50	15 of 15 - Sale ²⁵
10/09/20	9.504	2,023.53	Various	1,945.06	...	78.47	Total of 15 transactions
10/09/20	0.234	49.91	09/04/20	50.00	0.09 W	0.00	Sale ²⁵
	Security total:	2,875.94		2,821.17	23.70 W	78.47	
MICROCHIP TECHNOLOGY INCORPORATED COMMON STOCK / CUSIP: 595017104 / Symbol:							
12/30/20	0.009	1.19	10/09/20	1.00	...	0.19	Sale ²⁵

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Account 161484316

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(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
09/28/20	0.717	50.00	09/10/20	40.83	...	9.17	Sale ²⁵
	<i>3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>						
	0.005	0.74	09/10/20	0.27	...	0.47	1 of 3 - Sale ²⁵
	0.156	24.28	09/10/20	8.90	...	15.38	2 of 3 - Sale ²⁵
	0.506	78.62	10/23/20	35.00	...	43.62	3 of 3 - Sale ²⁵
12/10/20	0.667	103.64	Various	44.17	...	59.47	Total of 3 transactions
	Security total:	153.64		85.00	...	68.64	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	<i>2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>						
	0.022	0.97	11/13/20	1.00	...	-0.03	1 of 2 - Sale ²⁵
	0.238	10.47	11/13/20	10.00	...	0.47	2 of 2 - Sale ²⁵
12/10/20	0.260	11.44	Various	11.00	...	0.44	Total of 2 transactions
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol:							
	<i>5 transactions for 10/28/20. Total proceeds and cost reported to the IRS.</i>						
	0.143	10.83	09/09/20	10.00	...	0.83	1 of 5 - Sale ²⁵
	0.720	54.56	09/11/20	50.00	...	4.56	2 of 5 - Sale ²⁵
	0.704	53.37	09/16/20	50.00	...	3.37	3 of 5 - Sale ²⁵
	0.000	0.02	09/30/20	0.02	...	0.00	4 of 5 - Sale ²⁵
	1.335	101.22	09/30/20	89.52	...	11.70	5 of 5 - Sale ²⁵
10/28/20	2.902	220.00	Various	199.54	...	20.46	Total of 5 transactions
NIKE, INC. / CUSIP: 654106103 / Symbol:							
10/21/20	0.089	11.56	09/08/20	10.00	...	1.56	Sale ²⁵
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
	<i>2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>						
	0.113	59.50	08/11/20	50.00	...	9.50	1 of 2 - Sale ²⁵
	0.103	54.70	09/04/20	50.00	...	4.70	2 of 2 - Sale ²⁵
09/29/20	0.216	114.20	Various	100.00	...	14.20	Total of 2 transactions

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ORACLE CORP / CUSIP: 68389X105 / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.000	0.02	08/20/20	0.02	...	0.00	1 of 2 - Sale ²⁵
	1.726	99.98	08/20/20	95.71	...	4.27	2 of 2 - Sale ²⁵
09/10/20	1.726	100.00	Various	95.73	...	4.27	Total of 2 transactions
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	08/20/20	0.01	...	0.00	1 of 2 - Sale ²⁵
	0.978	58.60	08/20/20	54.26	...	4.34	2 of 2 - Sale ²⁵
09/30/20	0.979	58.61	Various	54.27	...	4.34	Total of 2 transactions
	Security total:	158.61		150.00	...	8.61	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	0.250	53.16	08/06/20	50.00	...	3.16	1 of 2 - Sale ²⁵
	0.104	22.16	08/19/20	20.00	...	2.16	2 of 2 - Sale ²⁵
10/21/20	0.354	75.32	Various	70.00	...	5.32	Total of 2 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.277	10.27	11/19/20	10.00	...	0.27	1 of 2 - Sale ²⁵
	1.000	37.10	12/17/20	37.66	...	-0.56	2 of 2 - Sale ²⁵
12/30/20	1.277	47.37	Various	47.66	...	-0.29	Total of 2 transactions
PROSHARES S&P 500 EX-TECHNOLOG Y ETF / CUSIP: 74347B557 / Symbol:							
12/31/20	1.000	64.02	08/19/20	58.91	...	5.11	Sale ²⁵
PROSHARES S&P 500 EX-FINANCIAL S ETF / CUSIP: 74347B573 / Symbol:							
12/31/20	1.000	80.00	08/19/20	73.11	...	6.89	Sale ²⁵
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
16 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	0.153	53.54	08/04/20	50.00	...	3.54	1 of 16 - Sale ²⁵
	0.059	20.81	08/19/20	20.00	...	0.81	2 of 16 - Sale ²⁵
	0.434	152.48	09/03/20	150.00	...	2.48	3 of 16 - Sale ²⁵
	0.148	52.02	09/08/20	50.00	...	2.02	4 of 16 - Sale ²⁵

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SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol: (cont'd)							
	0.298	104.70	09/08/20	100.00	...	4.70	5 of 16 - Sale ²⁵
	0.601	210.96	09/29/20	200.00	...	10.96	6 of 16 - Sale ²⁵
	0.003	1.04	09/30/20	1.00	...	0.04	7 of 16 - Sale ²⁵
	0.003	1.03	10/07/20	1.00	...	0.03	8 of 16 - Sale ²⁵
	0.003	1.01	10/14/20	1.00	...	0.01	9 of 16 - Sale ²⁵
	0.003	1.02	10/21/20	1.00	...	0.02	10 of 16 - Sale ²⁵
	0.003	1.07	10/28/20	1.00	...	0.07	11 of 16 - Sale ²⁵
	1.823	639.79	10/28/20	600.00	...	39.79	12 of 16 - Sale ²⁵
	0.000	0.01	10/29/20	0.01	...	0.00	13 of 16 - Sale ²⁵
	0.003	1.07	10/29/20	1.00	...	0.07	14 of 16 - Sale ²⁵
	0.611	214.37	10/29/20	199.99	...	14.38	15 of 16 - Sale ²⁵
	0.003	1.01	11/04/20	1.00	...	0.01	16 of 16 - Sale ²⁵
11/05/20	4.148	1,455.93	Various	1,377.00	...	78.93	Total of 16 transactions
11/05/20	0.843	295.93	09/03/20	300.00	0.04 W	-4.03	Sale ²⁵
	Security total:	1,751.86		1,677.00	0.04 W	74.90	
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	0.345	150.97	08/06/20	150.00	...	0.97	1 of 2 - Sale ²⁵
	0.352	153.81	08/06/20	150.00	...	3.81	2 of 2 - Sale ²⁵
08/13/20	0.697	304.78	Various	300.00	...	4.78	Total of 2 transactions
6 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	0.023	11.18	08/20/20	10.00	...	1.18	1 of 6 - Sale ²⁵
	0.094	45.62	08/27/20	45.00	...	0.62	2 of 6 - Sale ²⁵
	0.021	10.32	09/03/20	10.00	...	0.32	3 of 6 - Sale ²⁵
	0.105	51.34	09/04/20	48.00	...	3.34	4 of 6 - Sale ²⁵
	0.110	53.35	09/04/20	50.00	...	3.35	5 of 6 - Sale ²⁵
	0.113	55.21	09/08/20	50.00	...	5.21	6 of 6 - Sale ²⁵
09/28/20	0.466	227.02	Various	213.00	...	14.02	Total of 6 transactions
12/30/20	0.002	1.08	11/17/20	1.00	...	0.08	Sale ²⁵
	Security total:	532.88		514.00	...	18.88	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
08/12/20	0.457	10.06	08/05/20	10.00	...	0.06	Sale ²⁵

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SONY CORPORATION ADS / CUSIP: 835699307 / Symbol:							
8 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	0.141	11.23	08/11/20	11.22	...	0.01	1 of 8 - Sale ²⁵
	0.486	38.93	08/11/20	38.78	...	0.15	2 of 8 - Sale ²⁵
	0.125	10.04	08/25/20	10.00	...	0.04	3 of 8 - Sale ²⁵
	0.347	27.79	10/06/20	25.76	...	2.03	4 of 8 - Sale ²⁵
	1.000	80.09	10/06/20	74.24	...	5.85	5 of 8 - Sale ²⁵
	0.002	0.17	10/12/20	0.16	...	0.01	6 of 8 - Sale ²⁵
	0.039	3.15	10/12/20	2.92	...	0.23	7 of 8 - Sale ²⁵
	4.000	320.34	10/12/20	296.92	...	23.42	8 of 8 - Sale ²⁵
10/28/20	6.141	491.74	Various	460.00	...	31.74	Total of 8 transactions
12/10/20	0.012	1.17	10/28/20	1.00	...	0.17	Sale ²⁵
	Security total:	492.91		461.00	...	31.91	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
07/29/20	1.000	1,529.02	07/28/20	1,481.48	...	47.54	Sale ²⁵
07/30/20	1.000	1,491.96	07/30/20	1,492.88	0.92 W	0.00	Sale ²⁵
08/04/20	0.661	999.97	07/31/20	980.34	...	19.63	Sale ²⁵
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	0.339	466.53	07/31/20	502.83	36.30 W	0.00	1 of 6 - Sale ²⁵
	0.170	233.63	08/04/20	250.00	16.37 W	0.00	2 of 6 - Sale ²⁵
	0.341	468.63	08/04/20	500.00	31.37 W	0.00	3 of 6 - Sale ²⁵
	0.151	207.30	08/07/20	221.10	13.80 W	0.00	4 of 6 - Sale ²⁵
	0.137	189.95	08/07/20	214.67	24.72 W	0.00	5 of 6 - Sale ²⁵
	0.122	168.94	08/07/20	191.95	23.01 W	0.00	6 of 6 - Sale ²⁵
08/11/20	1.259	1,734.98	Various	1,880.55	145.57 W	0.00	Total of 6 transactions
5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	0.341	503.48	08/12/20	534.35	...	-30.87	1 of 5 - Sale ²⁵
	0.170	251.02	08/12/20	267.13	...	-16.11	2 of 5 - Sale ²⁵
	0.151	222.72	08/12/20	236.31	...	-13.59	3 of 5 - Sale ²⁵
	0.012	18.09	08/12/20	19.38	...	-1.29	4 of 5 - Sale ²⁵
	0.004	5.69	08/12/20	6.41	...	-0.72	5 of 5 - Sale ²⁵
08/12/20	0.677	1,001.00	Various	1,063.58	...	-62.58	Total of 5 transactions
08/12/20	0.068	100.24	08/12/20	107.27	1.15 W	-5.88	Sale ²⁵

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.010	4.49	08/25/20	4.31	...	0.18	1 of 5 - Sale ²⁵
	0.025	11.34	08/25/20	10.94	...	0.40	2 of 5 - Sale ²⁵
	0.025	11.38	08/25/20	10.95	...	0.43	3 of 5 - Sale ²⁵
	0.025	11.38	08/25/20	10.95	...	0.43	4 of 5 - Sale ²⁵
	0.025	11.41	08/25/20	10.95	...	0.46	5 of 5 - Sale ²⁵
09/16/20	0.110	50.00	Various	48.10	...	1.90	Total of 5 transactions
11 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	0.015	6.65	08/25/20	6.64	...	0.01	1 of 11 - Sale ²⁵
	0.000	0.01	09/02/20	0.01	...	0.00	2 of 11 - Sale ²⁵
	0.129	56.11	09/04/20	54.64	...	1.47	3 of 11 - Sale ²⁵
	0.056	24.33	09/08/20	20.11	...	4.22	4 of 11 - Sale ²⁵
	0.091	39.84	09/08/20	34.33	...	5.51	5 of 11 - Sale ²⁵
	0.002	1.03	09/29/20	1.00	...	0.03	6 of 11 - Sale ²⁵
	0.121	52.68	10/02/20	50.00	...	2.68	7 of 11 - Sale ²⁵
	0.000	0.09	10/30/20	0.08	...	0.01	8 of 11 - Sale ²⁵
	0.028	12.34	10/30/20	11.50	...	0.84	9 of 11 - Sale ²⁵
	0.313	136.62	10/30/20	121.65	...	14.97	10 of 11 - Sale ²⁵
	0.330	144.14	10/30/20	128.27	...	15.87	11 of 11 - Sale ²⁵
11/05/20	1.085	473.84	Various	428.23	...	45.61	Total of 11 transactions
16 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	0.109	47.69	08/31/20	54.12	6.43 W	0.00	1 of 16 - Sale ²⁵
	0.101	43.92	09/02/20	46.42	2.50 W	0.00	2 of 16 - Sale ²⁵
	0.002	1.07	09/02/20	1.19	0.12 W	0.00	3 of 16 - Sale ²⁵
	0.109	47.50	09/02/20	53.00	5.50 W	0.00	4 of 16 - Sale ²⁵
	0.069	30.26	09/02/20	33.81	3.55 W	0.00	5 of 16 - Sale ²⁵
	0.042	18.24	09/02/20	20.38	2.14 W	0.00	6 of 16 - Sale ²⁵
	0.116	50.73	09/02/20	54.39	3.66 W	0.00	7 of 16 - Sale ²⁵
	0.017	7.39	09/02/20	7.85	0.46 W	0.00	8 of 16 - Sale ²⁵
	0.122	53.26	09/02/20	54.40	1.14 W	0.00	9 of 16 - Sale ²⁵
	0.004	1.79	09/03/20	1.84	0.05 W	0.00	10 of 16 - Sale ²⁵
	0.239	104.21	09/03/20	106.92	2.71 W	0.00	11 of 16 - Sale ²⁵
	0.109	47.68	10/23/20	51.14	3.46 W	0.00	12 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.07	10/23/20	1.13	0.06 W	0.00	13 of 16 - Sale ²⁵
	0.024	10.40	10/23/20	10.97	0.57 W	0.00	14 of 16 - Sale ²⁵
	0.109	47.48	10/23/20	50.03	2.55 W	0.00	15 of 16 - Sale ²⁵
	0.045	19.85	10/30/20	20.83	0.98 W	0.00	16 of 16 - Sale ²⁵
11/05/20	1.220	532.54	Various	568.42	35.88 W	0.00	Total of 16 transactions
	16 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	0.002	1.48	11/13/20	1.05	...	0.43	1 of 16 - Sale ²⁵
	0.004	2.47	11/13/20	1.73	...	0.74	2 of 16 - Sale ²⁵
	0.017	10.20	11/13/20	7.39	...	2.81	3 of 16 - Sale ²⁵
	0.024	14.35	11/13/20	10.19	...	4.16	4 of 16 - Sale ²⁵
	0.042	25.16	11/13/20	19.23	...	5.93	5 of 16 - Sale ²⁵
	0.045	26.89	11/13/20	19.61	...	7.28	6 of 16 - Sale ²⁵
	0.045	27.38	11/13/20	19.33	...	8.05	7 of 16 - Sale ²⁵
	0.065	38.89	11/13/20	28.12	...	10.77	8 of 16 - Sale ²⁵
	0.074	44.29	11/13/20	30.83	...	13.46	9 of 16 - Sale ²⁵
	0.070	42.20	11/13/20	28.29	...	13.91	10 of 16 - Sale ²⁵
	0.078	47.00	11/13/20	32.69	...	14.31	11 of 16 - Sale ²⁵
	0.087	52.47	11/13/20	36.64	...	15.83	12 of 16 - Sale ²⁵
	0.101	60.59	11/13/20	43.67	...	16.92	13 of 16 - Sale ²⁵
	0.116	69.99	11/13/20	51.22	...	18.77	14 of 16 - Sale ²⁵
	0.109	65.50	11/13/20	46.46	...	19.04	15 of 16 - Sale ²⁵
	0.122	73.48	11/13/20	51.06	...	22.42	16 of 16 - Sale ²⁵
12/10/20	1.000	602.34	Various	427.51	...	174.83	Total of 16 transactions
	Security total:	8,515.89		8,478.36	183.52 W	221.05	
TORONTO DOMINION BANK / CUSIP: 891160509 / Symbol:							
12/10/20	0.436	24.71	09/29/20	20.00	...	4.71	Sale ²⁵
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
09/30/20	0.983	51.54	09/10/20	50.00	...	1.54	Sale ²⁵
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
12/30/20	0.038	13.41	08/27/20	10.00	...	3.41	Sale ²⁵

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Robinhood Securities LLC

Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
<i>9 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	0.400	13.61	07/17/20	13.68	...	-0.07	1 of 9 - Sale ²⁵
	0.106	3.62	07/17/20	3.62	...	0.00	2 of 9 - Sale ²⁵
	0.199	6.77	07/17/20	6.77	...	0.00	3 of 9 - Sale ²⁵
	0.016	0.53	07/17/20	0.53	...	0.00	4 of 9 - Sale ²⁵
	0.152	5.17	07/17/20	5.17	...	0.00	5 of 9 - Sale ²⁵
	0.014	0.46	07/17/20	0.46	...	0.00	6 of 9 - Sale ²⁵
	0.067	2.28	07/17/20	2.27	...	0.01	7 of 9 - Sale ²⁵
	2.924	99.50	07/17/20	99.47	...	0.03	8 of 9 - Sale ²⁵
	2.000	68.06	07/17/20	68.03	...	0.03	9 of 9 - Sale ²⁵
08/05/20	5.877	200.00	Various	200.00	...	0.00	Total of 9 transactions
WALMART INC. / CUSIP: 931142103 / Symbol:							
12/30/20	0.293	42.40	08/31/20	40.00	...	2.40	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
<i>2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	0.144	4.04	07/14/20	2.35	...	1.69	1 of 2 - Sale ²⁵
	5.994	167.76	07/14/20	97.65	...	70.11	2 of 2 - Sale ²⁵
09/28/20	6.139	171.80	Various	100.00	...	71.80	Total of 2 transactions
<i>3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.02	10/02/20	0.02	...	0.00	1 of 3 - Sale ²⁵
	0.588	12.80	10/20/20	12.26	...	0.54	2 of 3 - Sale ²⁵
	4.022	87.57	10/20/20	83.94	...	3.63	3 of 3 - Sale ²⁵
12/10/20	4.610	100.39	Various	96.22	...	4.17	Total of 3 transactions
<i>12 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	0.037	0.81	09/29/20	1.00	0.19 W	0.00	1 of 12 - Sale ²⁵
	0.788	17.15	09/30/20	20.00	2.85 W	0.00	2 of 12 - Sale ²⁵
	0.788	17.15	09/30/20	20.00	2.85 W	0.00	3 of 12 - Sale ²⁵
	0.806	17.56	10/01/20	20.00	2.44 W	0.00	4 of 12 - Sale ²⁵
	0.210	4.57	10/01/20	5.20	0.63 W	0.00	5 of 12 - Sale ²⁵
	1.000	21.77	10/01/20	24.80	3.03 W	0.00	6 of 12 - Sale ²⁵
	1.231	26.81	10/02/20	29.98	3.17 W	0.00	7 of 12 - Sale ²⁵
	1.675	36.47	10/02/20	40.00	3.53 W	0.00	8 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.484	10.53	10/13/20	11.40	0.87 W	0.00	9 of 12 - Sale ²⁵
	7.975	173.64	10/13/20	188.60	14.96 W	0.00	10 of 12 - Sale ²⁵
	0.402	8.75	10/13/20	9.25	0.50 W	0.00	11 of 12 - Sale ²⁵
	29.995	653.12	10/13/20	690.75	37.63 W	0.00	12 of 12 - Sale ²⁵
12/10/20	45.390	988.33	Various	1,060.98	72.65 W	0.00	Total of 12 transactions
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	4.974	105.69	10/20/20	103.80	...	1.89	1 of 5 - Sale ²⁵
	4.026	85.56	10/26/20	76.82	...	8.74	2 of 5 - Sale ²⁵
	16.938	359.94	10/26/20	323.18	...	36.76	3 of 5 - Sale ²⁵
	0.007	0.15	11/13/20	0.15	...	0.00	4 of 5 - Sale ²⁵
	0.005	0.10	11/13/20	0.10	...	0.00	5 of 5 - Sale ²⁵
12/11/20	25.950	551.44	Various	504.05	...	47.39	Total of 5 transactions
	19 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.037	0.79	11/13/20	0.90	0.11 W	0.00	1 of 19 - Sale ²⁵
	0.788	16.74	11/13/20	17.77	1.03 W	0.00	2 of 19 - Sale ²⁵
	0.788	16.74	11/13/20	17.76	1.02 W	0.00	3 of 19 - Sale ²⁵
	0.210	4.46	11/13/20	4.60	0.14 W	0.00	4 of 19 - Sale ²⁵
	0.806	17.13	11/13/20	17.71	0.58 W	0.00	5 of 19 - Sale ²⁵
	0.421	8.95	12/02/20	9.72	0.77 W	0.00	6 of 19 - Sale ²⁵
	0.567	12.04	12/02/20	13.06	1.02 W	0.00	7 of 19 - Sale ²⁵
	1.231	26.16	12/02/20	27.82	1.66 W	0.00	8 of 19 - Sale ²⁵
	1.675	35.60	12/02/20	37.06	1.46 W	0.00	9 of 19 - Sale ²⁵
	0.484	10.28	12/02/20	10.55	0.27 W	0.00	10 of 19 - Sale ²⁵
	3.115	66.19	12/02/20	68.20	2.01 W	0.00	11 of 19 - Sale ²⁵
	0.009	0.18	12/02/20	0.19	0.01 W	0.00	12 of 19 - Sale ²⁵
	1.994	42.37	12/02/20	43.57	1.20 W	0.00	13 of 19 - Sale ²⁵
	0.302	6.42	12/08/20	6.72	0.30 W	0.00	14 of 19 - Sale ²⁵
	0.402	8.54	12/08/20	8.68	0.14 W	0.00	15 of 19 - Sale ²⁵
	2.556	54.30	12/08/20	56.79	2.49 W	0.00	16 of 19 - Sale ²⁵
	7.667	162.92	12/08/20	165.64	2.72 W	0.00	17 of 19 - Sale ²⁵
	0.376	7.98	12/08/20	8.12	0.14 W	0.00	18 of 19 - Sale ²⁵
	1.624	34.52	12/09/20	36.32	1.80 W	0.00	19 of 19 - Sale ²⁵
12/11/20	25.050	532.31	Various	551.18	18.87 W	0.00	Total of 19 transactions

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Robinhood Securities LLC

Account 161484316

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
12 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.271	5.76	12/09/20	6.06	0.30 W	0.00	1 of 12 - Sale ²⁵
	0.048	1.01	12/10/20	1.06	0.05 W	0.00	2 of 12 - Sale ²⁵
	0.037	0.79	12/10/20	0.89	0.10 W	0.00	3 of 12 - Sale ²⁵
	0.788	16.74	12/10/20	17.44	0.70 W	0.00	4 of 12 - Sale ²⁵
	0.788	16.74	12/10/20	17.43	0.69 W	0.00	5 of 12 - Sale ²⁵
	0.210	4.46	12/10/20	4.51	0.05 W	0.00	6 of 12 - Sale ²⁵
	0.806	17.13	12/10/20	17.37	0.24 W	0.00	7 of 12 - Sale ²⁵
	0.421	8.95	12/10/20	9.55	0.60 W	0.00	8 of 12 - Sale ²⁵
	0.567	12.04	12/10/20	12.82	0.78 W	0.00	9 of 12 - Sale ²⁵
	0.143	3.03	12/10/20	3.09	0.06 W	0.00	10 of 12 - Sale ²⁵
	1.231	26.16	12/10/20	27.31	1.15 W	0.00	11 of 12 - Sale ²⁵
	14.691	312.19	12/10/20	324.43	12.24 W	0.00	12 of 12 - Sale ²⁵
12/16/20	20.000	425.00	Various	441.96	16.96 W	0.00	Total of 12 transactions
12/21/20	4.622	96.14	12/16/20	96.14	...	0.00	Sale ²⁵
27 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	5.319	110.62	12/10/20	117.46	6.84 W	0.00	1 of 27 - Sale ²⁵
	0.093	1.93	12/11/20	2.08	0.15 W	0.00	2 of 27 - Sale ²⁵
	0.093	1.94	12/14/20	2.08	0.14 W	0.00	3 of 27 - Sale ²⁵
	0.093	1.94	12/15/20	2.08	0.14 W	0.00	4 of 27 - Sale ²⁵
	0.037	0.78	12/16/20	0.88	0.10 W	0.00	5 of 27 - Sale ²⁵
	0.788	16.38	12/16/20	17.08	0.70 W	0.00	6 of 27 - Sale ²⁵
	0.788	16.39	12/16/20	17.08	0.69 W	0.00	7 of 27 - Sale ²⁵
	0.210	4.36	12/16/20	4.41	0.05 W	0.00	8 of 27 - Sale ²⁵
	0.806	16.77	12/16/20	17.01	0.24 W	0.00	9 of 27 - Sale ²⁵
	0.421	8.76	12/16/20	9.36	0.60 W	0.00	10 of 27 - Sale ²⁵
	0.567	11.79	12/16/20	12.57	0.78 W	0.00	11 of 27 - Sale ²⁵
	0.143	2.97	12/16/20	3.03	0.06 W	0.00	12 of 27 - Sale ²⁵
	1.231	25.61	12/16/20	26.76	1.15 W	0.00	13 of 27 - Sale ²⁵
	1.253	26.07	12/16/20	27.17	1.10 W	0.00	14 of 27 - Sale ²⁵
	0.009	0.18	12/16/20	0.19	0.01 W	0.00	15 of 27 - Sale ²⁵
	0.484	10.06	12/16/20	10.33	0.27 W	0.00	16 of 27 - Sale ²⁵
	1.994	41.47	12/16/20	42.67	1.20 W	0.00	17 of 27 - Sale ²⁵
	3.115	64.78	12/16/20	66.79	2.01 W	0.00	18 of 27 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.271	5.63	12/16/20	5.93	0.30 W	0.00	19 of 27 - Sale ²⁵
	0.048	0.99	12/16/20	1.04	0.05 W	0.00	20 of 27 - Sale ²⁵
	14.691	305.55	12/16/20	317.81	12.26 W	0.00	21 of 27 - Sale ²⁵
	0.302	6.29	12/16/20	6.59	0.30 W	0.00	22 of 27 - Sale ²⁵
	0.376	7.81	12/16/20	7.95	0.14 W	0.00	23 of 27 - Sale ²⁵
	0.402	8.36	12/16/20	8.50	0.14 W	0.00	24 of 27 - Sale ²⁵
	2.556	53.15	12/16/20	55.64	2.49 W	0.00	25 of 27 - Sale ²⁵
	7.667	159.47	12/16/20	162.20	2.73 W	0.00	26 of 27 - Sale ²⁵
	1.624	33.78	12/16/20	35.59	1.81 W	0.00	27 of 27 - Sale ²⁵
12/21/20	45.378	943.83	Various	980.28	36.45 W	0.00	Total of 27 transactions
26 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.093	1.91	12/16/20	2.08	0.17 W	0.00	1 of 26 - Sale ²⁵
	0.093	1.92	12/16/20	2.07	0.15 W	0.00	2 of 26 - Sale ²⁵
	0.093	1.92	12/16/20	2.08	0.16 W	0.00	3 of 26 - Sale ²⁵
	5.319	109.56	12/16/20	117.46	7.90 W	0.00	4 of 26 - Sale ²⁵
	0.037	0.77	12/16/20	0.87	0.10 W	0.00	5 of 26 - Sale ²⁵
	0.175	3.60	12/16/20	3.74	0.14 W	0.00	6 of 26 - Sale ²⁵
	0.788	16.23	12/16/20	16.84	0.61 W	0.00	7 of 26 - Sale ²⁵
	0.613	12.62	12/16/20	13.10	0.48 W	0.00	8 of 26 - Sale ²⁵
	0.210	4.32	12/16/20	4.35	0.03 W	0.00	9 of 26 - Sale ²⁵
	0.806	16.61	12/16/20	16.77	0.16 W	0.00	10 of 26 - Sale ²⁵
	0.421	8.68	12/16/20	9.24	0.56 W	0.00	11 of 26 - Sale ²⁵
	0.567	11.67	12/16/20	12.40	0.73 W	0.00	12 of 26 - Sale ²⁵
	0.143	2.94	12/16/20	2.99	0.05 W	0.00	13 of 26 - Sale ²⁵
	1.231	25.36	12/16/20	26.39	1.03 W	0.00	14 of 26 - Sale ²⁵
	1.253	25.82	12/16/20	26.79	0.97 W	0.00	15 of 26 - Sale ²⁵
	0.009	0.18	12/16/20	0.19	0.01 W	0.00	16 of 26 - Sale ²⁵
	0.149	3.07	12/16/20	3.14	0.07 W	0.00	17 of 26 - Sale ²⁵
	0.484	9.96	12/16/20	10.18	0.22 W	0.00	18 of 26 - Sale ²⁵
	3.115	64.16	12/16/20	65.86	1.70 W	0.00	19 of 26 - Sale ²⁵
	0.093	1.92	12/16/20	2.06	0.14 W	0.00	20 of 26 - Sale ²⁵
	0.095	1.95	12/17/20	2.06	0.11 W	0.00	21 of 26 - Sale ²⁵
	0.092	1.90	12/18/20	2.06	0.16 W	0.00	22 of 26 - Sale ²⁵
	1.565	32.23	12/21/20	32.75	0.52 W	0.00	23 of 26 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.271	5.58	12/21/20	5.81	0.23 W	0.00	24 of 26 - Sale ²⁵
	0.048	0.98	12/21/20	1.02	0.04 W	0.00	25 of 26 - Sale ²⁵
	7.239	149.12	12/21/20	153.26	4.14 W	0.00	26 of 26 - Sale ²⁵
12/29/20	25.000	514.98	Various	535.56	20.58 W	0.00	Total of 26 transactions
	Security total:	4,324.22		4,366.37	165.51 W	123.36	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
09/01/20	0.150	64.74	08/06/20	40.00	...	24.74	Sale ²⁵
Totals :		27,312.09		26,535.97	393.90 W	1,170.02	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MARRONE BIO INNOVATIONS, INC. COMMON STOCK / CUSIP: 57165B106 / Symbol:							
<i>2 transactions for 01/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.03	08/10/18	1.95	...	-0.92	1 of 2 - Sale ²⁵
	1.000	1.03	08/10/18	1.95	...	-0.92	2 of 2 - Sale ²⁵
01/06/20	2.000	2.06	Various	3.90	...	-1.84	Total of 2 transactions
Totals :		2.06		3.90		-1.84	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161484316

Proceeds Not Reported to the IRS

2020

03/15/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol: 04/27/20	0.005	0.08	08/06/18	4.56	...	-4.48	Gain or loss
Totals:		0.08		4.56	...	-4.48	

Robinhood Securities LLC

Account 161484316

Detail for Dividends and Distributions

2020

03/15/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ARK INNOVATION ETF	00214Q104		12/31/20	9.87	Short-term capital gain	03
			12/31/20	2.58	Long-term capital gain	
			12/31/20	0.19	Qualified dividend	03
				12.64	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		08/13/20	0.09	Qualified dividend	
CME GROUP INC. CLASS A COMMON STOCK	12572Q105		12/29/20	0.10	Qualified dividend	
CITIGROUP INC.	172967424		11/25/20	4.43	Qualified dividend	
COCA-COLA COMPANY	191216100		10/01/20	0.05	Qualified dividend	
			12/15/20	0.79	Qualified dividend	
				0.84	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604103		01/27/20	0.02	Qualified dividend	
			04/27/20	0.02	Qualified dividend	
			07/27/20	0.02	Qualified dividend	
			10/26/20	0.06	Qualified dividend	
				0.12	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		10/27/20	0.37	Nondividend distribution	03
INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF	46138E362		09/30/20	0.03	Qualified dividend	03
			09/30/20	0.01	Section 199A dividend	03
			10/30/20	0.07	Qualified dividend	03
			10/30/20	0.01	Section 199A dividend	03
			11/30/20	0.08	Qualified dividend	03
			11/30/20	0.01	Section 199A dividend	03
			12/31/20	0.08	Qualified dividend	03
12/31/20	0.01	Section 199A dividend	03			
				0.30	Total Dividends & distributions	

Robinhood Securities LLC

Account 161484316

Detail for Dividends and Distributions

2020

(continued)

03/15/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JPMORGAN CHASE & CO.	46625H100		11/02/20	0.92	Qualified dividend	
JOHNSON & JOHNSON	478160104		12/08/20	2.23	Qualified dividend	
LOWE'S COMPANIES INC.	548661107		11/04/20	0.13	Qualified dividend	
MCDONALD'S CORPORATION	580135101		09/15/20	0.12	Qualified dividend	
			12/15/20	0.24	Qualified dividend	
				0.36	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/10/20	2.54	Qualified dividend	
			12/10/20	0.45	Qualified dividend	
				2.99	Total Dividends & distributions	
NEXTRA ENERGY, INC.	65339F101		12/15/20	0.05	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		09/24/20	0.02	Qualified dividend	
PROSHARES S&P 500 EX-TECHNOLOG Y ETF	74347B557		09/30/20	0.20	Qualified dividend	03
			09/30/20	0.02	Nonqualified dividend	03
			09/30/20	0.01	Section 199A dividend	03
			12/31/20	0.26	Qualified dividend	03
			12/31/20	0.03	Nonqualified dividend	03
			12/31/20	0.01	Section 199A dividend	03
				0.53	Total Dividends & distributions	
PROSHARES S&P 500 EX-FINANCIAL S ETF	74347B573		09/30/20	0.22	Qualified dividend	
			12/31/20	0.27	Qualified dividend	
				0.49	Total Dividends & distributions	
SPDR S&P 500 ETF TRUST	78462F103		10/30/20	2.59	Qualified dividend	
			01/29/21	0.03	Qualified dividend	
				2.62	Total Dividends & distributions	
SONY CORPORATION ADS	835699307		12/08/20	0.18	Nonqualified dividend	
			12/08/20	-0.03	Foreign tax withheld-JA	
				0.18	Total Dividends & distributions	
				-0.03	Total Foreign tax withheld	

Robinhood Securities LLC

Account 161484316

Detail for Dividends and Distributions

2020

(continued)

03/15/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TORONTO DOMINION BANK	891160509		11/02/20	0.26	Nonqualified dividend	
			11/02/20	-0.04	Foreign tax withheld-CA	
				0.26	Total Dividends & distributions	
				-0.04	Total Foreign tax withheld	
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE)	91324P102		09/22/20	0.28	Qualified dividend	
			12/15/20	0.49	Qualified dividend	
				0.77	Total Dividends & distributions	
VANGUARD HIGH DIVIDEND YIELD E TF	921946406		09/24/20	0.29	Qualified dividend	
			12/24/20	0.34	Qualified dividend	
				0.63	Total Dividends & distributions	
VANGUARD TOTAL WORLD STOCK ETF	922042742		09/24/20	0.68	Qualified dividend	03
			09/24/20	0.07	Nonqualified dividend	03
			09/24/20	0.02	Section 199A dividend	03
			12/24/20	2.09	Qualified dividend	03
			12/24/20	0.22	Nonqualified dividend	03
			12/24/20	0.07	Section 199A dividend	03
	3.15	Total Dividends & distributions				
VANGUARD S&P 500 ETF	922908363		10/02/20	0.33	Qualified dividend	
			12/28/20	0.89	Qualified dividend	
				1.22	Total Dividends & distributions	
VANGUARD GROWTH ETF	922908736		09/30/20	0.08	Qualified dividend	03
			09/30/20	0.01	Section 199A dividend	03
			12/30/20	0.09	Qualified dividend	03
			12/30/20	0.01	Section 199A dividend	03
				0.19	Total Dividends & distributions	
VANGUARD TOTAL STOCK MARKET ET F	922908769		09/30/20	0.12	Qualified dividend	
			12/30/20	0.12	Qualified dividend	03
			12/30/20	0.01	Section 199A dividend	03
				0.25	Total Dividends & distributions	
VISA INC.	92826C839		09/01/20	0.05	Qualified dividend	
			12/01/20	0.17	Qualified dividend	
				0.22	Total Dividends & distributions	
WASTE MANAGEMENT, INC.	94106L109		12/18/20	0.20	Qualified dividend	

Robinhood Securities LLC

Account 161484316

Detail for Dividends and Distributions

2020

(continued)

03/15/2021

36.30	Total Dividends & distributions
-0.07	Total Foreign tax withheld

Robinhood Securities LLC

Account 161484316

Foreign Income and Taxes Summary

2020

03/15/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	TORONTO DOMINION BANK	891160509	0.26	0.00	0.00	0.26	-0.04	
Total CANADA			0.26	0.00	0.00	0.26	-0.04	
JA	SONY CORPORATION ADS	835699307	0.18	0.00	0.00	0.18	-0.03	
Total JAPAN			0.18	0.00	0.00	0.18	-0.03	
Grand Total	Total Foreign Source Income*			0.44				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax						-0.07	

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 161484316

Non-Reportable Actions

2020

03/15/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DIAMONDPEAK HOLDINGS CORP. CLASS A COMMON STOCK	25280H100	10/26/20	-1.37	0.00	Exchange	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK	54405Q100	10/26/20	1.37	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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