

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 586052920	Statement Date: 02/14/2021 Document ID: 7S2G 449 K8U4	2020
	Santhosh reddy Anugu 89 Brevator St Albany, NY 12203 RECIPIENT'S TIN: XXX-XX-1566		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	266,193.50	313,430.96	0.00	33,642.03	-13,595.43
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	266,193.50	313,430.96	0.00	33,642.03	-13,595.43
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	266,193.50	313,430.96	0.00	33,642.03	-13,595.43

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 586052920

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol:							
07/06/20	1.000	2.81	06/09/20	2.88	...	-0.07	Sale ²⁵
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
12/11/20	5.000	711.48	12/10/20	769.90	...	-58.42	Sale ²⁵
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.562	1.53	09/03/20	1.51	...	0.02	1 of 5 - Sale ²⁵
	63.000	171.35	09/03/20	170.10	...	1.25	2 of 5 - Sale ²⁵
	80.000	217.58	09/03/20	216.00	...	1.58	3 of 5 - Sale ²⁵
	80.000	217.58	09/03/20	216.00	...	1.58	4 of 5 - Sale ²⁵
	496.438	1,350.22	09/03/20	1,340.38	...	9.84	5 of 5 - Sale ²⁵
09/04/20	720.000	1,958.26	Various	1,943.99	...	14.27	Total of 5 transactions

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 586052920
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol: (cont'd)							
<i>2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	5.31	09/03/20	5.40	...	-0.09	1 of 2 - Sale ²⁵
	0.225	0.60	09/03/20	0.61	...	-0.01	2 of 2 - Sale ²⁵
09/08/20	2.225	5.91	Various	6.01	...	-0.10	Total of 2 transactions
	Security total:	1,964.17		1,950.00	...	14.17	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
07/13/20	1.000	11.61	07/06/20	12.26	...	-0.65	Sale ²⁵
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
<i>53 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	6.22	12/23/20	3.50	...	2.72	1 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	2 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	3 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	4 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	5 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	6 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	7 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	8 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	9 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	10 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	11 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	12 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	13 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	14 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	15 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	16 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	17 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	18 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	19 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	20 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	21 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	22 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	23 of 53 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol: (cont'd)							
	100.000	62.22	12/23/20	34.99	...	27.23	24 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	25 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	26 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	27 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	28 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	29 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	30 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	31 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	32 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.99	...	27.23	33 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.87	...	27.35	34 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.75	...	27.47	35 of 53 - Sale ²⁵
	100.000	62.22	12/23/20	34.74	...	27.48	36 of 53 - Sale ²⁵
	200.000	124.43	12/23/20	69.54	...	54.89	37 of 53 - Sale ²⁵
	200.000	124.43	12/23/20	69.50	...	54.93	38 of 53 - Sale ²⁵
	200.000	124.43	12/23/20	69.42	...	55.01	39 of 53 - Sale ²⁵
	300.000	186.65	12/23/20	104.34	...	82.31	40 of 53 - Sale ²⁵
	400.000	248.87	12/23/20	139.48	...	109.39	41 of 53 - Sale ²⁵
	400.000	248.87	12/23/20	139.48	...	109.39	42 of 53 - Sale ²⁵
	400.000	248.87	12/23/20	139.16	...	109.71	43 of 53 - Sale ²⁵
	400.000	248.87	12/23/20	139.12	...	109.75	44 of 53 - Sale ²⁵
	400.000	248.87	12/23/20	139.12	...	109.75	45 of 53 - Sale ²⁵
	400.000	248.87	12/23/20	139.12	...	109.75	46 of 53 - Sale ²⁵
	400.000	248.87	12/23/20	139.12	...	109.75	47 of 53 - Sale ²⁵
	500.000	311.08	12/23/20	175.00	...	136.08	48 of 53 - Sale ²⁵
	600.000	373.30	12/23/20	210.00	...	163.30	49 of 53 - Sale ²⁵
	1,100.000	684.38	12/23/20	382.69	...	301.69	50 of 53 - Sale ²⁵
	4,511.000	2,806.57	12/23/20	1,588.77	...	1,217.80	51 of 53 - Sale ²⁵
	5,000.000	3,110.80	12/23/20	1,750.00	...	1,360.80	52 of 53 - Sale ²⁵
	9,579.000	5,959.68	12/23/20	3,373.72	...	2,585.96	53 of 53 - Sale ²⁵
12/24/20	28,500.000	17,731.76	Various	9,995.12	...	7,736.64	Total of 53 transactions

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
6 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	7.000	4.78	07/02/20	4.73	...	0.05	1 of 6 - Sale ²⁵
	3.000	2.05	07/02/20	2.00	...	0.05	2 of 6 - Sale ²⁵
	100.000	68.35	07/02/20	66.73	...	1.62	3 of 6 - Sale ²⁵
	93.000	63.57	07/02/20	61.56	...	2.01	4 of 6 - Sale ²⁵
	107.000	73.13	07/02/20	70.83	...	2.30	5 of 6 - Sale ²⁵
	350.000	239.22	07/06/20	223.97	...	15.25	6 of 6 - Sale ²⁵
07/14/20	660.000	451.10	Various	429.82	...	21.28	Total of 6 transactions
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	4,850.000	4,730.00	08/13/20	5,432.00	...	-702.00	1 of 4 - Sale ²⁵
	369.000	359.87	08/13/20	385.61	...	-25.74	2 of 4 - Sale ²⁵
	150.000	146.29	08/13/20	160.49	...	-14.20	3 of 4 - Sale ²⁵
	1.000	0.98	08/13/20	1.10	...	-0.12	4 of 4 - Sale ²⁵
08/14/20	5,370.000	5,237.14	Various	5,979.20	...	-742.06	Total of 4 transactions
	Security total:	5,688.24		6,409.02	...	-720.78	
BIOFRONTERA AG AMERICAN DEPOSITARY SHARES / CUSIP: 09075G105 / Symbol:							
12 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	6.000	97.74	08/05/20	252.00	154.26 W	0.00	1 of 12 - Sale ²⁵
	1.000	16.29	08/05/20	42.00	25.71 W	0.00	2 of 12 - Sale ²⁵
	21.000	342.08	08/05/20	882.00	539.92 W	0.00	3 of 12 - Sale ²⁵
	1.000	16.30	08/05/20	67.71	51.41 W	0.00	4 of 12 - Sale ²⁵
	6.000	97.80	08/05/20	406.26	308.46 W	0.00	5 of 12 - Sale ²⁵
	15.000	244.49	08/05/20	630.00	385.51 W	0.00	6 of 12 - Sale ²⁵
	1.000	16.30	08/05/20	50.63	34.33 W	0.00	7 of 12 - Sale ²⁵
	20.000	325.99	08/05/20	1,014.21	688.22 W	0.00	8 of 12 - Sale ²⁵
	20.000	325.99	08/05/20	500.00	174.01 W	0.00	9 of 12 - Sale ²⁵
	100.000	1,629.95	08/05/20	2,475.00	845.05 W	0.00	10 of 12 - Sale ²⁵
	59.000	961.67	08/05/20	1,475.00	513.33 W	0.00	11 of 12 - Sale ²⁵
	41.000	668.28	08/06/20	682.87	14.59 W	0.00	12 of 12 - Sale ²⁵
08/06/20	291.000	4,742.88	Various	8,477.68	3,734.80 W	0.00	Total of 12 transactions

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIOFRONTERA AG AMERICAN DEPOSITARY SHARES / CUSIP: 09075G105 / Symbol: (cont'd)							
10 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	26.24	08/12/20	78.76	52.52 W	0.00	1 of 10 - Sale ²⁵
	1.000	26.24	08/12/20	61.68	35.44 W	0.00	2 of 10 - Sale ²⁵
	6.000	157.47	08/12/20	472.56	315.09 W	0.00	3 of 10 - Sale ²⁵
	20.000	524.89	08/12/20	1,235.22	710.33 W	0.00	4 of 10 - Sale ²⁵
	15.000	393.66	08/12/20	795.76	402.10 W	0.00	5 of 10 - Sale ²⁵
	20.000	524.89	08/12/20	721.01	196.12 W	0.00	6 of 10 - Sale ²⁵
	37.000	971.04	08/12/20	1,324.62	353.58 W	0.00	7 of 10 - Sale ²⁵
	37.000	971.04	08/12/20	1,336.67	365.63 W	0.00	8 of 10 - Sale ²⁵
	63.000	1,653.39	08/12/20	2,260.20	606.81 W	0.00	9 of 10 - Sale ²⁵
	10.000	262.44	08/12/20	359.00	96.56 W	0.00	10 of 10 - Sale ²⁵
08/12/20	210.000	5,511.30	Various	8,645.48	3,134.18 W	0.00	Total of 10 transactions
9 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	10.23	08/18/20	64.22	53.99 W	0.00	1 of 9 - Sale ²⁵
	1.000	10.23	08/18/20	47.14	36.91 W	0.00	2 of 9 - Sale ²⁵
	6.000	61.41	08/18/20	385.29	323.88 W	0.00	3 of 9 - Sale ²⁵
	20.000	204.69	08/18/20	944.33	739.64 W	0.00	4 of 9 - Sale ²⁵
	12.000	122.82	08/18/20	244.81	121.99 W	0.00	5 of 9 - Sale ²⁵
	15.000	153.52	08/18/20	577.60	424.08 W	0.00	6 of 9 - Sale ²⁵
	20.000	204.69	08/18/20	430.12	225.43 W	0.00	7 of 9 - Sale ²⁵
	22.609	231.39	08/18/20	480.57	249.18 W	0.00	8 of 9 - Sale ²⁵
	0.096	0.94	08/18/20	2.04	1.10 W	0.00	9 of 9 - Sale ²⁵
08/20/20	97.704	999.92	Various	3,176.12	2,176.20 W	0.00	Total of 9 transactions
29 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	94.30	08/19/20	481.71	...	-387.41	1 of 29 - Sale ²⁵
	6.000	56.58	08/19/20	391.59	...	-335.01	2 of 29 - Sale ²⁵
	2.000	18.86	08/19/20	96.53	...	-77.67	3 of 29 - Sale ²⁵
	1.000	9.43	08/19/20	65.28	...	-55.85	4 of 29 - Sale ²⁵
	1.000	9.43	08/19/20	48.19	...	-38.76	5 of 29 - Sale ²⁵
	8.000	75.44	08/20/20	381.50	...	-306.06	6 of 29 - Sale ²⁵
	2.000	18.86	08/20/20	41.74	...	-22.88	7 of 29 - Sale ²⁵
	58.000	546.92	08/21/20	1,323.95	...	-777.03	8 of 29 - Sale ²⁵
	15.000	141.45	08/21/20	595.88	...	-454.43	9 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BIOFRONTERA AG AMERICAN DEPOSITARY SHARES / CUSIP: 09075G105 / Symbol: (cont'd)							
	22.609	213.19	08/21/20	508.12	...	-294.93	10 of 29 - Sale ²⁵
	20.000	188.59	08/21/20	454.49	...	-265.90	11 of 29 - Sale ²⁵
	12.000	113.16	08/21/20	273.01	...	-159.85	12 of 29 - Sale ²⁵
	10.000	94.30	08/21/20	216.19	...	-121.89	13 of 29 - Sale ²⁵
	2.700	25.46	08/21/20	60.81	...	-35.35	14 of 29 - Sale ²⁵
	2.296	21.65	08/21/20	52.81	...	-31.16	15 of 29 - Sale ²⁵
	0.096	0.90	08/21/20	2.20	...	-1.30	16 of 29 - Sale ²⁵
	17.700	166.91	08/24/20	389.26	...	-222.35	17 of 29 - Sale ²⁵
	2.300	21.69	08/24/20	50.00	...	-28.31	18 of 29 - Sale ²⁵
	0.100	0.94	08/24/20	2.19	...	-1.25	19 of 29 - Sale ²⁵
	10.000	94.30	08/26/20	224.82	...	-130.52	20 of 29 - Sale ²⁵
	84.704	798.73	08/31/20	983.82	...	-185.09	21 of 29 - Sale ²⁵
	10.000	94.30	08/31/20	212.71	...	-118.41	22 of 29 - Sale ²⁵
	41.000	386.62	08/31/20	490.80	...	-104.18	23 of 29 - Sale ²⁵
	7.296	68.80	08/31/20	156.82	...	-88.02	24 of 29 - Sale ²⁵
	17.000	160.31	08/31/20	202.14	...	-41.83	25 of 29 - Sale ²⁵
	10.000	94.30	08/31/20	118.49	...	-24.19	26 of 29 - Sale ²⁵
	79.000	744.95	08/31/20	759.98	...	-15.03	27 of 29 - Sale ²⁵
	1.000	9.43	08/31/20	21.60	...	-12.17	28 of 29 - Sale ²⁵
	0.904	8.53	08/31/20	19.52	...	-10.99	29 of 29 - Sale ²⁵
08/31/20	453.704	4,278.33	Various	8,626.15	...	-4,347.82	Total of 29 transactions
	7 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	62.296	587.43	08/18/20	728.86	61.30 W	-80.13	1 of 7 - Sale ²⁵
	10.000	97.05	08/18/20	213.56	116.51 W	0.00	2 of 7 - Sale ²⁵
	14.296	138.74	08/18/20	303.87	165.13 W	0.00	3 of 7 - Sale ²⁵
	37.000	359.09	08/18/20	798.53	439.44 W	0.00	4 of 7 - Sale ²⁵
	63.000	611.42	08/18/20	1,343.91	732.49 W	0.00	5 of 7 - Sale ²⁵
	41.000	397.91	08/18/20	494.29	96.38 W	0.00	6 of 7 - Sale ²⁵
	84.704	822.08	08/18/20	991.04	168.96 W	0.00	7 of 7 - Sale ²⁵
08/31/20	312.296	3,013.72	Various	4,874.06	1,780.21 W	-80.13	Total of 7 transactions
	Security total:	18,546.15		33,799.49	10,825.39 W	-4,427.95	
CBL & ASSOC PPTYS INC COMMON S TOCK / CUSIP: 124830100 / Symbol:							
08/11/20	9,800.000	2,306.68	08/07/20	2,222.64	...	84.04	Sale ²⁵

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
04/30/20	1.000	1.84	02/19/20	1.50	...	0.34	Sale ²⁵
	17 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	230.000	234.57	07/06/20	299.02	...	-64.45	1 of 17 - Sale ²⁵
	210.000	214.17	07/06/20	264.49	...	-50.32	2 of 17 - Sale ²⁵
	701.000	714.91	07/06/20	764.02	...	-49.11	3 of 17 - Sale ²⁵
	150.000	152.98	07/06/20	196.52	...	-43.54	4 of 17 - Sale ²⁵
	100.000	101.99	07/06/20	130.02	...	-28.03	5 of 17 - Sale ²⁵
	56.000	57.11	07/06/20	71.64	...	-14.53	6 of 17 - Sale ²⁵
	23.000	23.46	07/06/20	37.47	...	-14.01	7 of 17 - Sale ²⁵
	27.000	27.54	07/06/20	37.81	...	-10.27	8 of 17 - Sale ²⁵
	31.000	31.62	07/06/20	40.28	...	-8.66	9 of 17 - Sale ²⁵
	42.000	42.83	07/06/20	51.22	...	-8.39	10 of 17 - Sale ²⁵
	18.000	18.36	07/06/20	25.19	...	-6.83	11 of 17 - Sale ²⁵
	7.000	7.14	07/06/20	11.90	...	-4.76	12 of 17 - Sale ²⁵
	4.000	4.08	07/06/20	5.11	...	-1.03	13 of 17 - Sale ²⁵
	2.000	2.04	07/06/20	2.64	...	-0.60	14 of 17 - Sale ²⁵
	300.000	305.95	07/09/20	302.82	...	3.13	15 of 17 - Sale ²⁵
	120.000	122.38	07/13/20	121.20	...	1.18	16 of 17 - Sale ²⁵
	900.000	917.87	07/20/20	807.30	...	110.57	17 of 17 - Sale ²⁵
07/21/20	2,921.000	2,979.00	Various	3,168.65	...	-189.65	Total of 17 transactions
	10 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	30.000	30.60	02/19/20	45.00	14.40 W	0.00	1 of 10 - Sale ²⁵
	45.000	45.89	06/26/20	57.15	11.26 W	0.00	2 of 10 - Sale ²⁵
	2.000	2.04	06/29/20	2.38	0.34 W	0.00	3 of 10 - Sale ²⁵
	100.000	101.98	07/02/20	117.00	15.02 W	0.00	4 of 10 - Sale ²⁵
	150.000	152.98	07/02/20	177.00	24.02 W	0.00	5 of 10 - Sale ²⁵
	87.000	88.73	07/02/20	101.73	13.00 W	0.00	6 of 10 - Sale ²⁵
	230.000	234.56	07/06/20	273.70	39.14 W	0.00	7 of 10 - Sale ²⁵
	4.000	4.08	07/06/20	4.67	0.59 W	0.00	8 of 10 - Sale ²⁵
	252.000	257.00	07/06/20	289.65	32.65 W	0.00	9 of 10 - Sale ²⁵
	7.000	7.14	07/06/20	11.41	4.27 W	0.00	10 of 10 - Sale ²⁵
07/21/20	907.000	925.00	Various	1,079.69	154.69 W	0.00	Total of 10 transactions
	Security total:	3,905.84		4,249.84	154.69 W	-189.31	

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Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol:							
4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	119.900	675.49	08/31/20	719.40	...	-43.91	1 of 4 - Sale ²⁵
	20.000	112.67	08/31/20	120.20	...	-7.53	2 of 4 - Sale ²⁵
	0.100	0.56	08/31/20	0.60	...	-0.04	3 of 4 - Sale ²⁵
	0.000	0.00	08/31/20	0.00	...	0.00	4 of 4 - Zero proceeds / negative sale ²⁵
09/01/20	140.000	788.72	Various	840.20	...	-51.48	Total of 4 transactions
DATASEA INC. COMMON STOCK / CUSIP: 238116206 / Symbol:							
7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	100.000	267.99	08/03/20	590.02	...	-322.03	1 of 7 - Sale ²⁵
	70.000	187.59	08/03/20	413.01	...	-225.42	2 of 7 - Sale ²⁵
	30.000	80.40	08/03/20	177.01	...	-96.61	3 of 7 - Sale ²⁵
	100.000	267.99	08/03/20	315.00	...	-47.01	4 of 7 - Sale ²⁵
	77.000	206.35	08/03/20	242.55	...	-36.20	5 of 7 - Sale ²⁵
	70.000	187.59	08/03/20	220.50	...	-32.91	6 of 7 - Sale ²⁵
	6.000	16.08	08/03/20	21.89	...	-5.81	7 of 7 - Sale ²⁵
08/05/20	453.000	1,213.99	Various	1,979.98	...	-765.99	Total of 7 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	153.000	410.01	08/03/20	481.95	2.82 W	-69.12	1 of 2 - Sale ²⁵
	200.000	535.96	08/03/20	1,086.00	550.04 W	0.00	2 of 2 - Sale ²⁵
08/05/20	353.000	945.97	Various	1,567.95	552.86 W	-69.12	Total of 2 transactions
	Security total:	2,159.96		3,547.93	552.86 W	-835.11	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	410.000	2,845.33	08/31/20	3,280.00	...	-434.67	1 of 2 - Sale ²⁵
	90.000	624.58	08/31/20	720.00	...	-95.42	2 of 2 - Sale ²⁵
09/02/20	500.000	3,469.91	Various	4,000.00	...	-530.09	Total of 2 transactions
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	20.000	225.01	12/07/20	256.99	12.79 W	-19.19	1 of 2 - Sale ²⁵
	350.000	3,937.72	12/07/20	4,716.50	778.78 W	0.00	2 of 2 - Sale ²⁵
12/07/20	370.000	4,162.73	Various	4,973.49	791.57 W	-19.19	Total of 2 transactions

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(continued)

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	340.000	3,254.40	12/07/20	4,782.13	...	-1,527.73	1 of 3 - Sale ²⁵
	10.000	95.72	12/07/20	139.10	...	-43.38	2 of 3 - Sale ²⁵
	8.000	76.57	12/07/20	106.27	...	-29.70	3 of 3 - Sale ²⁵
12/09/20	358.000	3,426.69	Various	5,027.50	...	-1,600.81	Total of 3 transactions
	Security total:	11,059.33		14,000.99	791.57 W	-2,150.09	
EYEPOINT PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233G100 / Symbol:							
08/21/20	1,100.000	763.25	08/21/20	891.00	...	-127.75	Sale ²⁵
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	492.000	757.70	08/20/20	944.59	...	-186.89	1 of 2 - Sale ²⁵
	41.667	64.17	08/21/20	60.00	...	4.17	2 of 2 - Sale ²⁵
08/21/20	533.667	821.87	Various	1,004.59	...	-182.72	Total of 2 transactions
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
6 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.230	22.78	12/09/20	5.62	...	17.16	1 of 6 - Sale ²⁵
	0.465	46.03	12/09/20	11.00	...	35.03	2 of 6 - Sale ²⁵
	1.000	82.62	12/09/20	19.06	...	63.56	3 of 6 - Sale ²⁵
	4.000	330.47	12/09/20	94.64	...	235.83	4 of 6 - Sale ²⁵
	46.000	3,800.43	12/09/20	1,088.36	...	2,712.07	5 of 6 - Sale ²⁵
	93.000	7,683.47	12/09/20	2,200.38	...	5,483.09	6 of 6 - Sale ²⁵
12/10/20	144.695	11,965.80	Various	3,419.06	...	8,546.74	Total of 6 transactions
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	46.000	1,810.97	12/21/20	1,986.79	...	-175.82	1 of 3 - Sale ²⁵
	24.000	947.98	12/21/20	1,036.35	...	-88.37	2 of 3 - Sale ²⁵
	20.000	789.98	12/21/20	863.82	...	-73.84	3 of 3 - Sale ²⁵
12/21/20	90.000	3,548.93	Various	3,886.96	...	-338.03	Total of 3 transactions

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GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol: (cont'd)							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	76.000	3,001.92	12/21/20	3,146.40	125.47 W	-19.01	1 of 2 - Sale ²⁵
	24.000	948.21	12/21/20	993.60	45.39 W	0.00	2 of 2 - Sale ²⁵
12/21/20	100.000	3,950.13	Various	4,140.00	170.86 W	-19.01	Total of 2 transactions
	Security total:	19,464.86		11,446.02	170.86 W	8,189.70	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
11/20/20	1,000.000	1,382.24	11/13/20	1,006.90	...	375.34	Sale ²⁵
11/24/20	1,000.000	2,802.31	11/24/20	2,790.00	...	12.31	Sale ²⁵
4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	2,000.000	5,064.64	11/24/20	6,370.00	1,305.36 W	0.00	1 of 4 - Sale ²⁵
	75.000	189.93	11/24/20	230.63	40.70 W	0.00	2 of 4 - Sale ²⁵
	5.000	12.66	11/24/20	14.50	1.84 W	0.00	3 of 4 - Sale ²⁵
	105.000	265.89	11/25/20	281.28	15.39 W	0.00	4 of 4 - Sale ²⁵
12/01/20	2,185.000	5,533.12	Various	6,896.41	1,363.29 W	0.00	Total of 4 transactions
7 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	5.000	10.41	12/02/20	13.51	3.10 W	0.00	1 of 7 - Sale ²⁵
	75.000	156.17	12/02/20	215.83	59.66 W	0.00	2 of 7 - Sale ²⁵
	2,000.000	4,164.66	12/02/20	5,975.36	1,810.70 W	0.00	3 of 7 - Sale ²⁵
	105.000	218.65	12/02/20	260.57	41.92 W	0.00	4 of 7 - Sale ²⁵
	115.000	239.47	12/02/20	268.52	29.05 W	0.00	5 of 7 - Sale ²⁵
	100.000	208.23	12/02/20	230.50	22.27 W	0.00	6 of 7 - Sale ²⁵
	20.000	41.65	12/03/20	45.40	3.75 W	0.00	7 of 7 - Sale ²⁵
12/07/20	2,420.000	5,039.24	Various	7,009.69	1,970.45 W	0.00	Total of 7 transactions
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	30.000	55.87	12/11/20	81.71	25.84 W	0.00	1 of 2 - Sale ²⁵
	200.000	372.48	12/11/20	550.69	178.21 W	0.00	2 of 2 - Sale ²⁵
12/14/20	230.000	428.35	Various	632.40	204.05 W	0.00	Total of 2 transactions
	Security total:	15,185.26		18,335.40	3,537.79 W	387.65	

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IMMUTEP LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 45257L108 / Symbol:							
12/10/20	1,000.000	5,419.86	12/10/20	6,020.00	600.14 W	0.00	Sale ²⁵
	5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	300.000	908.94	12/21/20	1,071.04	...	-162.10	1 of 5 - Sale ²⁵
	300.000	908.94	12/21/20	1,068.05	...	-159.11	2 of 5 - Sale ²⁵
	300.000	908.94	12/21/20	1,068.04	...	-159.10	3 of 5 - Sale ²⁵
	100.000	302.98	12/21/20	355.51	...	-52.53	4 of 5 - Sale ²⁵
	1,600.000	4,847.71	12/21/20	4,752.00	...	95.71	5 of 5 - Sale ²⁵
12/22/20	2,600.000	7,877.51	Various	8,314.64	...	-437.13	Total of 5 transactions
	Security total:	13,297.37		14,334.64	600.14 W	-437.13	
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
08/13/20	2.000	7.20	08/12/20	3.80	...	3.40	Sale ²⁵
JAGUAR HEALTH, INC. COMMON STOCK / CUSIP: 47010C409 / Symbol:							
	3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	5,000.000	3,400.83	12/23/20	4,933.00	...	-1,532.17	1 of 3 - Sale ²⁵
	500.000	340.08	12/23/20	490.95	...	-150.87	2 of 3 - Sale ²⁵
	5,500.000	3,740.91	12/24/20	3,847.80	...	-106.89	3 of 3 - Sale ²⁵
12/29/20	11,000.000	7,481.82	Various	9,271.75	...	-1,789.93	Total of 3 transactions
JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol:							
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	100.000	435.00	08/06/20	528.00	93.00 W	0.00	1 of 4 - Sale ²⁵
	100.000	435.00	08/06/20	528.00	93.00 W	0.00	2 of 4 - Sale ²⁵
	100.000	435.00	08/06/20	528.00	93.00 W	0.00	3 of 4 - Sale ²⁵
	450.000	1,957.48	08/06/20	2,380.50	423.02 W	0.00	4 of 4 - Sale ²⁵
08/10/20	750.000	3,262.48	Various	3,964.50	702.02 W	0.00	Total of 4 transactions
	11 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	100.000	474.97	08/11/20	695.02	...	-220.05	1 of 11 - Sale ²⁵
	92.000	436.98	08/11/20	640.34	...	-203.36	2 of 11 - Sale ²⁵
	100.000	474.97	08/11/20	662.81	...	-187.84	3 of 11 - Sale ²⁵
	100.000	474.98	08/11/20	662.80	...	-187.82	4 of 11 - Sale ²⁵
	79.000	375.23	08/11/20	550.66	...	-175.43	5 of 11 - Sale ²⁵
	50.000	237.49	08/11/20	372.51	...	-135.02	6 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol: (cont'd)							
	50.000	237.49	08/11/20	372.51	...	-135.02	7 of 11 - Sale ²⁵
	50.000	237.49	08/11/20	372.50	...	-135.01	8 of 11 - Sale ²⁵
	49.000	232.74	08/11/20	340.56	...	-107.82	9 of 11 - Sale ²⁵
	50.000	237.49	08/11/20	331.50	...	-94.01	10 of 11 - Sale ²⁵
	1.000	4.75	08/11/20	7.21	...	-2.46	11 of 11 - Sale ²⁵
08/12/20	721.000	3,424.58	Various	5,008.42	...	-1,583.84	Total of 11 transactions
<i>5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.</i>							
	108.000	512.97	08/11/20	705.25	140.65 W	-51.63	1 of 5 - Sale ²⁵
	100.000	474.99	08/11/20	651.00	176.01 W	0.00	2 of 5 - Sale ²⁵
	100.000	474.98	08/11/20	651.00	176.02 W	0.00	3 of 5 - Sale ²⁵
	100.000	474.98	08/11/20	651.00	176.02 W	0.00	4 of 5 - Sale ²⁵
	92.000	436.98	08/11/20	599.84	162.86 W	0.00	5 of 5 - Sale ²⁵
08/12/20	500.000	2,374.90	Various	3,258.09	831.56 W	-51.63	Total of 5 transactions
	Security total:	9,061.96		12,231.01	1,533.58 W	-1,635.47	
KELLY SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 488152208 / Symbol:							
08/12/20	1.000	18.56	08/11/20	18.89	...	-0.33	Sale ²⁵
LONESTAR RESOURCES US INC. CLASS A COMMON STOCK / CUSIP: 54240F103 / Symbol:							
<i>2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	32.000	8.51	09/14/20	8.37	...	0.14	1 of 2 - Sale ²⁵
	1,900.000	505.10	09/14/20	496.85	...	8.25	2 of 2 - Sale ²⁵
09/29/20	1,932.000	513.61	Various	505.22	...	8.39	Total of 2 transactions
<i>241 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	1 of 241 - Sale ²⁵
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	2 of 241 - Sale ²⁵
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	3 of 241 - Sale ²⁵
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	4 of 241 - Sale ²⁵
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	5 of 241 - Sale ²⁵
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	6 of 241 - Sale ²⁵
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	7 of 241 - Sale ²⁵
	100.000	26.64	09/02/20	43.71	17.07 W	0.00	8 of 241 - Sale ²⁵
	100.000	26.59	09/02/20	43.71	17.12 W	0.00	9 of 241 - Sale ²⁵
	100.000	26.59	09/02/20	43.71	17.12 W	0.00	10 of 241 - Sale ²⁵

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Account 586052920

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(continued)

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
100.000		26.59	09/02/20	43.71	17.12 W	0.00	11 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	12 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	13 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	14 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	15 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	16 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	17 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	18 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	19 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	20 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	21 of 241 - Sale ²⁵
100.000		26.59	09/02/20	43.71	17.12 W	0.00	22 of 241 - Sale ²⁵
400.000		106.35	09/02/20	174.84	68.49 W	0.00	23 of 241 - Sale ²⁵
535.000		142.25	09/02/20	233.85	91.60 W	0.00	24 of 241 - Sale ²⁵
800.000		212.62	09/02/20	349.68	137.06 W	0.00	25 of 241 - Sale ²⁵
100.000		26.58	09/02/20	43.71	17.13 W	0.00	26 of 241 - Sale ²⁵
700.000		186.05	09/02/20	305.97	119.92 W	0.00	27 of 241 - Sale ²⁵
800.000		212.62	09/02/20	349.68	137.06 W	0.00	28 of 241 - Sale ²⁵
100.000		26.58	09/02/20	43.71	17.13 W	0.00	29 of 241 - Sale ²⁵
900.000		239.20	09/02/20	393.39	154.19 W	0.00	30 of 241 - Sale ²⁵
900.000		239.20	09/02/20	393.39	154.19 W	0.00	31 of 241 - Sale ²⁵
100.000		26.58	09/02/20	43.71	17.13 W	0.00	32 of 241 - Sale ²⁵
12.000		3.19	09/02/20	5.24	2.05 W	0.00	33 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.78	28.20 W	0.00	34 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.78	28.20 W	0.00	35 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.78	28.20 W	0.00	36 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.78	28.20 W	0.00	37 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.78	28.20 W	0.00	38 of 241 - Sale ²⁵
100.000		26.57	09/02/20	54.78	28.21 W	0.00	39 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.78	28.20 W	0.00	40 of 241 - Sale ²⁵
100.000		26.57	09/02/20	54.78	28.21 W	0.00	41 of 241 - Sale ²⁵
88.000		23.39	09/02/20	48.25	24.86 W	0.00	42 of 241 - Sale ²⁵
12.000		3.19	09/02/20	6.58	3.39 W	0.00	43 of 241 - Sale ²⁵
88.000		23.39	09/02/20	48.25	24.86 W	0.00	44 of 241 - Sale ²⁵

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(continued)

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
12.000		3.19	09/02/20	6.58	3.39 W	0.00	45 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.83	28.25 W	0.00	46 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.83	28.25 W	0.00	47 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.83	28.25 W	0.00	48 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.83	28.25 W	0.00	49 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.83	28.25 W	0.00	50 of 241 - Sale ²⁵
100.000		26.57	09/02/20	54.83	28.26 W	0.00	51 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.83	28.25 W	0.00	52 of 241 - Sale ²⁵
100.000		26.57	09/02/20	54.83	28.26 W	0.00	53 of 241 - Sale ²⁵
88.000		23.39	09/02/20	48.25	24.86 W	0.00	54 of 241 - Sale ²⁵
12.000		3.19	09/02/20	6.58	3.39 W	0.00	55 of 241 - Sale ²⁵
88.000		23.39	09/02/20	48.26	24.87 W	0.00	56 of 241 - Sale ²⁵
66.000		17.54	09/02/20	36.19	18.65 W	0.00	57 of 241 - Sale ²⁵
12.000		3.19	09/02/20	6.58	3.39 W	0.00	58 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.83	28.25 W	0.00	59 of 241 - Sale ²⁵
100.000		26.58	09/02/20	54.84	28.26 W	0.00	60 of 241 - Sale ²⁵
126.000		33.49	09/02/20	68.86	35.37 W	0.00	61 of 241 - Sale ²⁵
208.000		55.28	09/04/20	93.88	38.60 W	0.00	62 of 241 - Sale ²⁵
288.000		76.54	09/04/20	129.98	53.44 W	0.00	63 of 241 - Sale ²⁵
100.000		26.58	09/04/20	45.13	18.55 W	0.00	64 of 241 - Sale ²⁵
60.000		15.95	09/04/20	27.08	11.13 W	0.00	65 of 241 - Sale ²⁵
87.000		23.13	09/04/20	39.26	16.13 W	0.00	66 of 241 - Sale ²⁵
13.000		3.46	09/04/20	5.87	2.41 W	0.00	67 of 241 - Sale ²⁵
100.000		26.59	09/04/20	45.14	18.55 W	0.00	68 of 241 - Sale ²⁵
100.000		26.59	09/04/20	45.14	18.55 W	0.00	69 of 241 - Sale ²⁵
100.000		26.59	09/04/20	45.14	18.55 W	0.00	70 of 241 - Sale ²⁵
100.000		26.59	09/04/20	45.14	18.55 W	0.00	71 of 241 - Sale ²⁵
100.000		26.59	09/04/20	45.14	18.55 W	0.00	72 of 241 - Sale ²⁵
100.000		26.59	09/04/20	45.15	18.56 W	0.00	73 of 241 - Sale ²⁵
100.000		26.59	09/04/20	45.14	18.55 W	0.00	74 of 241 - Sale ²⁵
13.000		3.46	09/04/20	5.87	2.41 W	0.00	75 of 241 - Sale ²⁵
87.000		23.13	09/04/20	39.28	16.15 W	0.00	76 of 241 - Sale ²⁵
87.000		23.13	09/04/20	39.27	16.14 W	0.00	77 of 241 - Sale ²⁵
13.000		3.46	09/04/20	5.87	2.41 W	0.00	78 of 241 - Sale ²⁵

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(continued)

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
504.000		134.00	09/04/20	227.52	93.52 W	0.00	79 of 241 - Sale ²⁵
183.000		48.66	09/04/20	82.61	33.95 W	0.00	80 of 241 - Sale ²⁵
417.000		110.87	09/04/20	188.24	77.37 W	0.00	81 of 241 - Sale ²⁵
5.000		1.33	09/08/20	2.79	1.46 W	0.00	82 of 241 - Sale ²⁵
12.000		3.19	09/08/20	6.69	3.50 W	0.00	83 of 241 - Sale ²⁵
12.000		3.19	09/08/20	6.69	3.50 W	0.00	84 of 241 - Sale ²⁵
88.000		23.40	09/08/20	49.10	25.70 W	0.00	85 of 241 - Sale ²⁵
88.000		23.40	09/08/20	49.10	25.70 W	0.00	86 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.74	29.15 W	0.00	87 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.74	29.15 W	0.00	88 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.74	29.15 W	0.00	89 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.74	29.15 W	0.00	90 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.74	29.15 W	0.00	91 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.75	29.16 W	0.00	92 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.74	29.15 W	0.00	93 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.75	29.16 W	0.00	94 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.79	29.20 W	0.00	95 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.79	29.20 W	0.00	96 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.79	29.20 W	0.00	97 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.79	29.20 W	0.00	98 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.79	29.20 W	0.00	99 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.80	29.21 W	0.00	100 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.79	29.20 W	0.00	101 of 241 - Sale ²⁵
100.000		26.59	09/08/20	55.80	29.21 W	0.00	102 of 241 - Sale ²⁵
12.000		3.19	09/08/20	5.35	2.16 W	0.00	103 of 241 - Sale ²⁵
100.000		26.59	09/08/20	44.67	18.08 W	0.00	104 of 241 - Sale ²⁵
100.000		26.59	09/08/20	44.67	18.08 W	0.00	105 of 241 - Sale ²⁵
383.000		101.83	09/08/20	171.10	69.27 W	0.00	106 of 241 - Sale ²⁵
900.000		239.28	09/08/20	402.05	162.77 W	0.00	107 of 241 - Sale ²⁵
900.000		239.28	09/08/20	402.05	162.77 W	0.00	108 of 241 - Sale ²⁵
12.000		3.19	09/08/20	6.69	3.50 W	0.00	109 of 241 - Sale ²⁵
55.000		14.62	09/08/20	30.69	16.07 W	0.00	110 of 241 - Sale ²⁵
83.000		22.07	09/08/20	46.31	24.24 W	0.00	111 of 241 - Sale ²⁵
25.000		6.65	09/08/20	14.13	7.48 W	0.00	112 of 241 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
	8.000	2.13	09/14/20	4.35	2.22 W	0.00	113 of 241 - Sale ²⁵
	12.000	3.19	09/14/20	6.53	3.34 W	0.00	114 of 241 - Sale ²⁵
	80.000	21.27	09/14/20	43.53	22.26 W	0.00	115 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	54.40	27.81 W	0.00	116 of 241 - Sale ²⁵
	14.000	3.72	09/14/20	7.59	3.87 W	0.00	117 of 241 - Sale ²⁵
	20.000	5.32	09/14/20	10.88	5.56 W	0.00	118 of 241 - Sale ²⁵
	66.000	17.55	09/14/20	35.91	18.36 W	0.00	119 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	54.22	27.63 W	0.00	120 of 241 - Sale ²⁵
	12.000	3.19	09/14/20	6.51	3.32 W	0.00	121 of 241 - Sale ²⁵
	92.000	24.46	09/14/20	41.13	16.67 W	0.00	122 of 241 - Sale ²⁵
	208.000	55.30	09/14/20	92.99	37.69 W	0.00	123 of 241 - Sale ²⁵
	288.000	76.57	09/14/20	128.75	52.18 W	0.00	124 of 241 - Sale ²⁵
	8.000	2.13	09/14/20	3.57	1.44 W	0.00	125 of 241 - Sale ²⁵
	13.000	3.46	09/14/20	5.81	2.35 W	0.00	126 of 241 - Sale ²⁵
	13.000	3.46	09/14/20	5.81	2.35 W	0.00	127 of 241 - Sale ²⁵
	32.000	8.51	09/14/20	14.31	5.80 W	0.00	128 of 241 - Sale ²⁵
	60.000	15.95	09/14/20	26.82	10.87 W	0.00	129 of 241 - Sale ²⁵
	87.000	23.13	09/14/20	38.88	15.75 W	0.00	130 of 241 - Sale ²⁵
	87.000	23.13	09/14/20	38.90	15.77 W	0.00	131 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	44.70	18.11 W	0.00	132 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	44.70	18.11 W	0.00	133 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	44.70	18.11 W	0.00	134 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	44.70	18.11 W	0.00	135 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	44.70	18.11 W	0.00	136 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	44.71	18.12 W	0.00	137 of 241 - Sale ²⁵
	100.000	26.59	09/14/20	44.70	18.11 W	0.00	138 of 241 - Sale ²⁵
	13.000	3.46	09/14/20	5.81	2.35 W	0.00	139 of 241 - Sale ²⁵
	55.000	14.62	09/14/20	24.58	9.96 W	0.00	140 of 241 - Sale ²⁵
	136.000	36.16	09/15/20	39.66	3.50 W	0.00	141 of 241 - Sale ²⁵
	385.000	102.35	09/15/20	112.27	9.92 W	0.00	142 of 241 - Sale ²⁵
	115.000	30.58	09/15/20	54.87	24.29 W	0.00	143 of 241 - Sale ²⁵
	92.000	24.46	09/15/20	43.90	19.44 W	0.00	144 of 241 - Sale ²⁵
	183.000	48.65	09/15/20	87.31	38.66 W	0.00	145 of 241 - Sale ²⁵
	389.000	103.42	09/15/20	185.61	82.19 W	0.00	146 of 241 - Sale ²⁵

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Account 586052920

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2020 1099-B* OMB No. 1545-0715

(continued)

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
	200.000	53.18	09/15/20	95.43	42.25 W	0.00	147 of 241 - Sale ²⁵
	5.000	1.33	09/15/20	2.92	1.59 W	0.00	148 of 241 - Sale ²⁵
	12.000	3.19	09/15/20	7.00	3.81 W	0.00	149 of 241 - Sale ²⁵
	12.000	3.19	09/15/20	7.00	3.81 W	0.00	150 of 241 - Sale ²⁵
	88.000	23.40	09/15/20	51.35	27.95 W	0.00	151 of 241 - Sale ²⁵
	88.000	23.40	09/15/20	51.35	27.95 W	0.00	152 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.30	31.71 W	0.00	153 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.30	31.71 W	0.00	154 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.30	31.71 W	0.00	155 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.30	31.71 W	0.00	156 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.30	31.71 W	0.00	157 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.31	31.72 W	0.00	158 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.30	31.71 W	0.00	159 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.31	31.72 W	0.00	160 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.35	31.76 W	0.00	161 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.35	31.76 W	0.00	162 of 241 - Sale ²⁵
	100.000	26.58	09/15/20	58.35	31.77 W	0.00	163 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.35	31.76 W	0.00	164 of 241 - Sale ²⁵
	100.000	26.58	09/15/20	58.35	31.77 W	0.00	165 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.36	31.77 W	0.00	166 of 241 - Sale ²⁵
	100.000	26.58	09/15/20	58.35	31.77 W	0.00	167 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	58.36	31.77 W	0.00	168 of 241 - Sale ²⁵
	125.000	33.23	09/15/20	59.63	26.40 W	0.00	169 of 241 - Sale ²⁵
	87.000	23.12	09/15/20	41.09	17.97 W	0.00	170 of 241 - Sale ²⁵
	100.000	26.59	09/15/20	47.23	20.64 W	0.00	171 of 241 - Sale ²⁵
	383.000	101.82	09/15/20	180.91	79.09 W	0.00	172 of 241 - Sale ²⁵
	5.000	1.63	09/29/20	3.33	1.70 W	0.00	173 of 241 - Sale ²⁵
	12.000	3.92	09/29/20	7.98	4.06 W	0.00	174 of 241 - Sale ²⁵
	12.000	3.92	09/29/20	7.98	4.06 W	0.00	175 of 241 - Sale ²⁵
	88.000	28.74	09/29/20	58.53	29.79 W	0.00	176 of 241 - Sale ²⁵
	88.000	28.74	09/29/20	58.53	29.79 W	0.00	177 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	66.46	33.80 W	0.00	178 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	66.46	33.80 W	0.00	179 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	66.46	33.80 W	0.00	180 of 241 - Sale ²⁵

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Account 586052920

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(continued)

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
100.000		32.66	09/29/20	66.46	33.80 W	0.00	181 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.46	33.80 W	0.00	182 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.47	33.81 W	0.00	183 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.46	33.80 W	0.00	184 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.47	33.81 W	0.00	185 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.51	33.85 W	0.00	186 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.51	33.85 W	0.00	187 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.52	33.86 W	0.00	188 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.51	33.85 W	0.00	189 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.52	33.86 W	0.00	190 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.52	33.86 W	0.00	191 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.52	33.86 W	0.00	192 of 241 - Sale ²⁵
100.000		32.66	09/29/20	66.52	33.86 W	0.00	193 of 241 - Sale ²⁵
12.000		3.92	09/29/20	7.67	3.75 W	0.00	194 of 241 - Sale ²⁵
25.000		8.16	09/29/20	16.17	8.01 W	0.00	195 of 241 - Sale ²⁵
55.000		17.96	09/29/20	35.18	17.22 W	0.00	196 of 241 - Sale ²⁵
83.000		27.11	09/29/20	53.08	25.97 W	0.00	197 of 241 - Sale ²⁵
8.000		2.61	09/29/20	5.00	2.39 W	0.00	198 of 241 - Sale ²⁵
12.000		3.92	09/29/20	7.51	3.59 W	0.00	199 of 241 - Sale ²⁵
12.000		3.92	09/29/20	7.49	3.57 W	0.00	200 of 241 - Sale ²⁵
14.000		4.57	09/29/20	8.74	4.17 W	0.00	201 of 241 - Sale ²⁵
20.000		6.53	09/29/20	12.51	5.98 W	0.00	202 of 241 - Sale ²⁵
66.000		21.55	09/29/20	41.29	19.74 W	0.00	203 of 241 - Sale ²⁵
80.000		26.13	09/29/20	50.06	23.93 W	0.00	204 of 241 - Sale ²⁵
100.000		32.66	09/29/20	62.56	29.90 W	0.00	205 of 241 - Sale ²⁵
100.000		32.66	09/29/20	62.38	29.72 W	0.00	206 of 241 - Sale ²⁵
8.000		2.61	09/29/20	4.22	1.61 W	0.00	207 of 241 - Sale ²⁵
13.000		4.25	09/29/20	6.87	2.62 W	0.00	208 of 241 - Sale ²⁵
13.000		4.25	09/29/20	6.87	2.62 W	0.00	209 of 241 - Sale ²⁵
13.000		4.25	09/29/20	6.87	2.62 W	0.00	210 of 241 - Sale ²⁵
32.000		10.45	09/29/20	16.92	6.47 W	0.00	211 of 241 - Sale ²⁵
55.000		17.96	09/29/20	29.07	11.11 W	0.00	212 of 241 - Sale ²⁵
60.000		19.59	09/29/20	31.72	12.13 W	0.00	213 of 241 - Sale ²⁵
87.000		28.41	09/29/20	45.98	17.57 W	0.00	214 of 241 - Sale ²⁵

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(continued)

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
	87.000	28.41	09/29/20	46.00	17.59 W	0.00	215 of 241 - Sale ²⁵
	92.000	30.04	09/29/20	48.64	18.60 W	0.00	216 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.86	20.20 W	0.00	217 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.86	20.20 W	0.00	218 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.86	20.20 W	0.00	219 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.86	20.20 W	0.00	220 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.86	20.20 W	0.00	221 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.87	20.21 W	0.00	222 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.86	20.20 W	0.00	223 of 241 - Sale ²⁵
	208.000	67.93	09/29/20	109.97	42.04 W	0.00	224 of 241 - Sale ²⁵
	288.000	94.05	09/29/20	152.26	58.21 W	0.00	225 of 241 - Sale ²⁵
	92.000	30.04	09/29/20	51.41	21.37 W	0.00	226 of 241 - Sale ²⁵
	115.000	37.56	09/29/20	64.25	26.69 W	0.00	227 of 241 - Sale ²⁵
	125.000	40.82	09/29/20	69.84	29.02 W	0.00	228 of 241 - Sale ²⁵
	183.000	59.76	09/29/20	102.25	42.49 W	0.00	229 of 241 - Sale ²⁵
	200.000	65.31	09/29/20	111.75	46.44 W	0.00	230 of 241 - Sale ²⁵
	389.000	127.04	09/29/20	217.37	90.33 W	0.00	231 of 241 - Sale ²⁵
	87.000	28.41	09/29/20	48.20	19.79 W	0.00	232 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	55.39	22.73 W	0.00	233 of 241 - Sale ²⁵
	383.000	125.08	09/29/20	212.18	87.10 W	0.00	234 of 241 - Sale ²⁵
	12.000	3.92	09/29/20	6.33	2.41 W	0.00	235 of 241 - Sale ²⁵
	100.000	32.66	09/29/20	52.83	20.17 W	0.00	236 of 241 - Sale ²⁵
	813.000	265.50	09/29/20	429.56	164.06 W	0.00	237 of 241 - Sale ²⁵
	900.000	293.91	09/29/20	475.52	181.61 W	0.00	238 of 241 - Sale ²⁵
	136.000	44.41	09/29/20	50.76	6.35 W	0.00	239 of 241 - Sale ²⁵
	385.000	125.73	09/29/20	143.71	17.98 W	0.00	240 of 241 - Sale ²⁵
	8,863.000	2,894.38	09/29/20	3,079.89	185.51 W	0.00	241 of 241 - Sale ²⁵
09/29/20	40,073.000	11,681.93	Various	18,611.37	6,929.44 W	0.00	Total of 241 transactions
	74 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	8,863.000	947.26	10/05/20	2,672.47	...	-1,725.21	1 of 74 - Sale ²⁵
	2,500.000	255.94	10/05/20	701.50	...	-445.56	2 of 74 - Sale ²⁵
	569.000	60.81	10/05/20	159.66	...	-98.85	3 of 74 - Sale ²⁵
	100.000	10.24	10/05/20	79.29	...	-69.05	4 of 74 - Sale ²⁵
	100.000	10.24	10/05/20	79.29	...	-69.05	5 of 74 - Sale ²⁵

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
100.000		10.24	10/05/20	79.29	...	-69.05	6 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.29	...	-69.05	7 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.29	...	-69.05	8 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.28	...	-69.04	9 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.28	...	-69.04	10 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.28	...	-69.04	11 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.24	...	-69.00	12 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.24	...	-69.00	13 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.23	...	-68.99	14 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.23	...	-68.99	15 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.22	...	-68.98	16 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.22	...	-68.98	17 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.22	...	-68.98	18 of 74 - Sale ²⁵
100.000		10.24	10/05/20	79.22	...	-68.98	19 of 74 - Sale ²⁵
100.000		10.24	10/05/20	75.33	...	-65.09	20 of 74 - Sale ²⁵
100.000		10.24	10/05/20	75.15	...	-64.91	21 of 74 - Sale ²⁵
88.000		9.01	10/05/20	69.77	...	-60.76	22 of 74 - Sale ²⁵
88.000		9.01	10/05/20	69.76	...	-60.75	23 of 74 - Sale ²⁵
108.000		11.06	10/05/20	70.89	...	-59.83	24 of 74 - Sale ²⁵
83.000		8.50	10/05/20	63.68	...	-55.18	25 of 74 - Sale ²⁵
80.000		8.19	10/05/20	60.28	...	-52.09	26 of 74 - Sale ²⁵
66.000		6.76	10/05/20	49.73	...	-42.97	27 of 74 - Sale ²⁵
55.000		5.63	10/05/20	42.20	...	-36.57	28 of 74 - Sale ²⁵
25.000		2.56	10/05/20	19.38	...	-16.82	29 of 74 - Sale ²⁵
20.000		2.05	10/05/20	15.06	...	-13.01	30 of 74 - Sale ²⁵
14.000		1.43	10/05/20	10.53	...	-9.10	31 of 74 - Sale ²⁵
12.000		1.23	10/05/20	9.52	...	-8.29	32 of 74 - Sale ²⁵
12.000		1.23	10/05/20	9.52	...	-8.29	33 of 74 - Sale ²⁵
12.000		1.23	10/05/20	9.21	...	-7.98	34 of 74 - Sale ²⁵
12.000		1.23	10/05/20	9.05	...	-7.82	35 of 74 - Sale ²⁵
12.000		1.23	10/05/20	9.03	...	-7.80	36 of 74 - Sale ²⁵
8.000		0.82	10/05/20	6.02	...	-5.20	37 of 74 - Sale ²⁵
5.000		0.51	10/05/20	3.97	...	-3.46	38 of 74 - Sale ²⁵
900.000		92.12	10/09/20	559.36	...	-467.24	39 of 74 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
	813.000	83.22	10/09/20	505.30	...	-422.08	40 of 74 - Sale ²⁵
	389.000	39.82	10/09/20	253.60	...	-213.78	41 of 74 - Sale ²⁵
	348.000	35.62	10/09/20	225.21	...	-189.59	42 of 74 - Sale ²⁵
	200.000	20.47	10/09/20	130.39	...	-109.92	43 of 74 - Sale ²⁵
	183.000	18.73	10/09/20	119.31	...	-100.58	44 of 74 - Sale ²⁵
	188.000	19.24	10/09/20	116.91	...	-97.67	45 of 74 - Sale ²⁵
	125.000	12.79	10/09/20	81.48	...	-68.69	46 of 74 - Sale ²⁵
	115.000	11.77	10/09/20	74.96	...	-63.19	47 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	64.70	...	-54.46	48 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.18	...	-51.94	49 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.17	...	-51.93	50 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.17	...	-51.93	51 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.17	...	-51.93	52 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.17	...	-51.93	53 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.17	...	-51.93	54 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.17	...	-51.93	55 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.14	...	-51.90	56 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.08	...	-51.84	57 of 74 - Sale ²⁵
	100.000	10.24	10/09/20	62.08	...	-51.84	58 of 74 - Sale ²⁵
	92.000	9.42	10/09/20	59.99	...	-50.57	59 of 74 - Sale ²⁵
	136.000	13.92	10/09/20	63.43	...	-49.51	60 of 74 - Sale ²⁵
	92.000	9.42	10/09/20	57.22	...	-47.80	61 of 74 - Sale ²⁵
	87.000	8.91	10/09/20	56.30	...	-47.39	62 of 74 - Sale ²⁵
	87.000	8.91	10/09/20	54.10	...	-45.19	63 of 74 - Sale ²⁵
	87.000	8.91	10/09/20	54.08	...	-45.17	64 of 74 - Sale ²⁵
	117.000	11.97	10/09/20	54.57	...	-42.60	65 of 74 - Sale ²⁵
	60.000	6.14	10/09/20	37.32	...	-31.18	66 of 74 - Sale ²⁵
	55.000	5.63	10/09/20	34.19	...	-28.56	67 of 74 - Sale ²⁵
	35.000	3.58	10/09/20	22.65	...	-19.07	68 of 74 - Sale ²⁵
	32.000	3.28	10/09/20	19.90	...	-16.62	69 of 74 - Sale ²⁵
	13.000	1.33	10/09/20	8.08	...	-6.75	70 of 74 - Sale ²⁵
	13.000	1.33	10/09/20	8.08	...	-6.75	71 of 74 - Sale ²⁵
	13.000	1.33	10/09/20	8.08	...	-6.75	72 of 74 - Sale ²⁵
	12.000	1.23	10/09/20	7.45	...	-6.22	73 of 74 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

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(continued)

02/14/2021

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
10/13/20	8.000	0.82	10/09/20	4.97	...	-4.15	74 of 74 - Sale ²⁵
	19,732.000	2,062.56	Various	8,782.95	...	-6,720.39	Total of 74 transactions
	69 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	385.000	41.15	10/05/20	126.01	25.79 W	-59.07	1 of 69 - Sale ²⁵
	100.000	10.70	10/05/20	61.86	51.16 W	0.00	2 of 69 - Sale ²⁵
	100.000	10.70	10/05/20	61.86	51.16 W	0.00	3 of 69 - Sale ²⁵
	100.000	10.70	10/05/20	61.86	51.16 W	0.00	4 of 69 - Sale ²⁵
	100.000	10.70	10/05/20	61.86	51.16 W	0.00	5 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.86	51.17 W	0.00	6 of 69 - Sale ²⁵
	5.000	0.53	10/05/20	3.10	2.57 W	0.00	7 of 69 - Sale ²⁵
	12.000	1.28	10/05/20	7.43	6.15 W	0.00	8 of 69 - Sale ²⁵
	12.000	1.28	10/05/20	7.43	6.15 W	0.00	9 of 69 - Sale ²⁵
	88.000	9.40	10/05/20	54.48	45.08 W	0.00	10 of 69 - Sale ²⁵
	88.000	9.41	10/05/20	54.48	45.07 W	0.00	11 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.87	51.18 W	0.00	12 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.86	51.17 W	0.00	13 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.87	51.18 W	0.00	14 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.91	51.22 W	0.00	15 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.91	51.22 W	0.00	16 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.92	51.23 W	0.00	17 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.91	51.22 W	0.00	18 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.92	51.23 W	0.00	19 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.92	51.23 W	0.00	20 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.92	51.23 W	0.00	21 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	61.92	51.23 W	0.00	22 of 69 - Sale ²⁵
	12.000	1.28	10/05/20	7.12	5.84 W	0.00	23 of 69 - Sale ²⁵
	25.000	2.67	10/05/20	15.03	12.36 W	0.00	24 of 69 - Sale ²⁵
	55.000	5.88	10/05/20	32.65	26.77 W	0.00	25 of 69 - Sale ²⁵
	83.000	8.87	10/05/20	49.26	40.39 W	0.00	26 of 69 - Sale ²⁵
	8.000	0.85	10/05/20	4.63	3.78 W	0.00	27 of 69 - Sale ²⁵
	12.000	1.28	10/05/20	6.96	5.68 W	0.00	28 of 69 - Sale ²⁵
	12.000	1.28	10/05/20	6.94	5.66 W	0.00	29 of 69 - Sale ²⁵
	14.000	1.50	10/05/20	8.10	6.60 W	0.00	30 of 69 - Sale ²⁵
	20.000	2.14	10/05/20	11.59	9.45 W	0.00	31 of 69 - Sale ²⁵

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Account 586052920

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
	66.000	7.05	10/05/20	38.26	31.21 W	0.00	32 of 69 - Sale ²⁵
	80.000	8.55	10/05/20	46.38	37.83 W	0.00	33 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	57.96	47.27 W	0.00	34 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	57.78	47.09 W	0.00	35 of 69 - Sale ²⁵
	8.000	0.85	10/05/20	3.85	3.00 W	0.00	36 of 69 - Sale ²⁵
	13.000	1.39	10/05/20	6.27	4.88 W	0.00	37 of 69 - Sale ²⁵
	13.000	1.39	10/05/20	6.27	4.88 W	0.00	38 of 69 - Sale ²⁵
	13.000	1.39	10/05/20	6.27	4.88 W	0.00	39 of 69 - Sale ²⁵
	32.000	3.42	10/05/20	15.45	12.03 W	0.00	40 of 69 - Sale ²⁵
	55.000	5.88	10/05/20	26.54	20.66 W	0.00	41 of 69 - Sale ²⁵
	60.000	6.41	10/05/20	28.97	22.56 W	0.00	42 of 69 - Sale ²⁵
	87.000	9.30	10/05/20	41.98	32.68 W	0.00	43 of 69 - Sale ²⁵
	87.000	9.30	10/05/20	42.00	32.70 W	0.00	44 of 69 - Sale ²⁵
	92.000	9.83	10/05/20	44.42	34.59 W	0.00	45 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	48.26	37.57 W	0.00	46 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	48.26	37.57 W	0.00	47 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	48.26	37.57 W	0.00	48 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	48.26	37.57 W	0.00	49 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	48.26	37.57 W	0.00	50 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	48.27	37.58 W	0.00	51 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	48.26	37.57 W	0.00	52 of 69 - Sale ²⁵
	208.000	22.23	10/05/20	100.40	78.17 W	0.00	53 of 69 - Sale ²⁵
	288.000	30.78	10/05/20	139.02	108.24 W	0.00	54 of 69 - Sale ²⁵
	92.000	9.83	10/05/20	47.19	37.36 W	0.00	55 of 69 - Sale ²⁵
	115.000	12.29	10/05/20	58.96	46.67 W	0.00	56 of 69 - Sale ²⁵
	125.000	13.36	10/05/20	64.09	50.73 W	0.00	57 of 69 - Sale ²⁵
	183.000	19.55	10/05/20	93.84	74.29 W	0.00	58 of 69 - Sale ²⁵
	200.000	21.37	10/05/20	102.56	81.19 W	0.00	59 of 69 - Sale ²⁵
	389.000	41.57	10/05/20	199.48	157.91 W	0.00	60 of 69 - Sale ²⁵
	348.000	37.19	10/05/20	176.79	139.60 W	0.00	61 of 69 - Sale ²⁵
	87.000	9.30	10/05/20	44.20	34.90 W	0.00	62 of 69 - Sale ²⁵
	100.000	10.69	10/05/20	50.79	40.10 W	0.00	63 of 69 - Sale ²⁵
	35.000	3.74	10/05/20	17.78	14.04 W	0.00	64 of 69 - Sale ²⁵
	12.000	1.28	10/05/20	5.78	4.50 W	0.00	65 of 69 - Sale ²⁵

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LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol: (cont'd)							
	100.000	10.69	10/05/20	48.23	37.54 W	0.00	66 of 69 - Sale ²⁵
	813.000	86.89	10/05/20	392.19	305.30 W	0.00	67 of 69 - Sale ²⁵
	900.000	96.19	10/05/20	434.15	337.96 W	0.00	68 of 69 - Sale ²⁵
	136.000	14.54	10/05/20	44.51	29.97 W	0.00	69 of 69 - Sale ²⁵
10/13/20	8,068.000	862.34	Various	4,165.63	3,244.22 W	-59.07	Total of 69 transactions
	Security total:	15,120.44		32,065.17	10,173.66 W	-6,771.07	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	15.000	27.15	07/28/20	26.55	...	0.60	1 of 4 - Sale ²⁵
	900.000	1,628.85	07/28/20	1,593.00	...	35.85	2 of 4 - Sale ²⁵
	1,385.000	2,506.63	07/28/20	2,451.45	...	55.18	3 of 4 - Sale ²⁵
	2,300.000	4,139.63	07/28/20	4,024.77	...	114.86	4 of 4 - Sale ²⁵
07/28/20	4,600.000	8,302.26	Various	8,095.77	...	206.49	Total of 4 transactions
5 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.150	4.45	07/30/20	3.70	...	0.75	1 of 5 - Sale ²⁵
	26.000	54.86	07/30/20	43.68	...	11.18	2 of 5 - Sale ²⁵
	150.000	316.50	07/30/20	258.00	...	58.50	3 of 5 - Sale ²⁵
	200.000	422.01	07/30/20	326.00	...	96.01	4 of 5 - Sale ²⁵
	2,497.850	5,270.55	07/30/20	4,296.30	...	974.25	5 of 5 - Sale ²⁵
07/31/20	2,876.000	6,068.37	Various	4,927.68	...	1,140.69	Total of 5 transactions
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	200.000	793.96	08/10/20	921.98	...	-128.02	1 of 6 - Sale ²⁵
	200.000	793.96	08/10/20	921.96	...	-128.00	2 of 6 - Sale ²⁵
	100.000	396.98	08/10/20	461.00	...	-64.02	3 of 6 - Sale ²⁵
	82.000	325.52	08/10/20	378.02	...	-52.50	4 of 6 - Sale ²⁵
	82.000	325.52	08/10/20	378.02	...	-52.50	5 of 6 - Sale ²⁵
	18.000	71.46	08/10/20	94.68	...	-23.22	6 of 6 - Sale ²⁵
08/11/20	682.000	2,707.40	Various	3,155.66	...	-448.26	Total of 6 transactions
08/11/20	18.000	71.28	08/10/20	82.98	11.70 W	0.00	Sale ²⁵
	Security total:	17,149.31		16,262.09	11.70 W	898.92	
NEXTIER OILFIELD SOLUTIONS INC. / CUSIP: 65290C105 / Symbol:							
06/29/20	1.000	2.34	06/25/20	2.50	...	-0.16	Sale ²⁵

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
7 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	72.000	37.20	08/03/20	38.97	...	-1.77	1 of 7 - Sale ²⁵
	72.000	37.20	08/03/20	38.96	...	-1.76	2 of 7 - Sale ²⁵
	72.000	37.20	08/03/20	38.96	...	-1.76	3 of 7 - Sale ²⁵
	28.000	14.47	08/03/20	15.37	...	-0.90	4 of 7 - Sale ²⁵
	100.000	51.66	08/03/20	52.49	...	-0.83	5 of 7 - Sale ²⁵
	28.000	14.47	08/03/20	15.15	...	-0.68	6 of 7 - Sale ²⁵
	3.000	1.55	08/03/20	1.57	...	-0.02	7 of 7 - Sale ²⁵
08/04/20	375.000	193.75	Various	201.47	...	-7.72	Total of 7 transactions
8 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	100.000	51.67	08/03/20	53.29	1.22 W	-0.40	1 of 8 - Sale ²⁵
	100.000	51.67	08/03/20	52.48	0.81 W	0.00	2 of 8 - Sale ²⁵
	100.000	51.66	08/03/20	52.48	0.82 W	0.00	3 of 8 - Sale ²⁵
	100.000	51.67	08/03/20	52.48	0.81 W	0.00	4 of 8 - Sale ²⁵
	100.000	51.67	08/03/20	53.29	1.62 W	0.00	5 of 8 - Sale ²⁵
	28.000	14.47	08/03/20	14.92	0.45 W	0.00	6 of 8 - Sale ²⁵
	28.000	14.47	08/03/20	15.14	0.67 W	0.00	7 of 8 - Sale ²⁵
	72.000	37.20	08/03/20	38.38	1.18 W	0.00	8 of 8 - Sale ²⁵
08/04/20	628.000	324.48	Various	332.46	7.58 W	-0.40	Total of 8 transactions
34 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	2.000	1.33	12/22/20	0.86	...	0.47	1 of 34 - Sale ²⁵
	2.000	1.33	12/22/20	0.86	...	0.47	2 of 34 - Sale ²⁵
	3.000	2.00	12/22/20	1.29	...	0.71	3 of 34 - Sale ²⁵
	3.000	2.00	12/22/20	1.29	...	0.71	4 of 34 - Sale ²⁵
	100.000	66.65	12/22/20	43.00	...	23.65	5 of 34 - Sale ²⁵
	100.000	66.65	12/22/20	43.00	...	23.65	6 of 34 - Sale ²⁵
	100.000	66.65	12/22/20	42.99	...	23.66	7 of 34 - Sale ²⁵
	100.000	66.67	12/22/20	43.00	...	23.67	8 of 34 - Sale ²⁵
	100.000	66.67	12/22/20	42.99	...	23.68	9 of 34 - Sale ²⁵
	129.000	85.97	12/22/20	55.47	...	30.50	10 of 34 - Sale ²⁵
	164.000	109.34	12/22/20	70.52	...	38.82	11 of 34 - Sale ²⁵
	200.000	133.29	12/22/20	86.00	...	47.29	12 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	13 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	300.000	199.94	12/22/20	129.00	...	70.94	14 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	15 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	16 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	17 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	18 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	19 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	20 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	21 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	129.00	...	70.94	22 of 34 - Sale ²⁵
	300.000	199.94	12/22/20	128.97	...	70.97	23 of 34 - Sale ²⁵
	300.000	199.99	12/22/20	129.00	...	70.99	24 of 34 - Sale ²⁵
	300.000	200.00	12/22/20	129.00	...	71.00	25 of 34 - Sale ²⁵
	300.000	200.00	12/22/20	129.00	...	71.00	26 of 34 - Sale ²⁵
	300.000	200.00	12/22/20	129.00	...	71.00	27 of 34 - Sale ²⁵
	300.000	200.00	12/22/20	129.00	...	71.00	28 of 34 - Sale ²⁵
	300.000	200.00	12/22/20	129.00	...	71.00	29 of 34 - Sale ²⁵
	300.000	200.00	12/22/20	129.00	...	71.00	30 of 34 - Sale ²⁵
	600.000	399.88	12/22/20	257.94	...	141.94	31 of 34 - Sale ²⁵
	600.000	400.00	12/22/20	257.88	...	142.12	32 of 34 - Sale ²⁵
	5,000.000	3,333.33	12/22/20	2,150.00	...	1,183.33	33 of 34 - Sale ²⁵
	5,397.000	3,596.91	12/22/20	2,320.17	...	1,276.74	34 of 34 - Sale ²⁵
12/22/20	18,000.000	11,998.00	Various	7,739.23	...	4,258.77	Total of 34 transactions
	Security total:	12,516.23		8,273.16	7.58 W	4,250.65	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
7 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	10,800.000	5,002.24	12/23/20	6,804.00	...	-1,801.76	1 of 7 - Sale ²⁵
	7,200.000	3,334.82	12/23/20	4,536.00	...	-1,201.18	2 of 7 - Sale ²⁵
	2,500.000	1,157.93	12/23/20	1,546.50	...	-388.57	3 of 7 - Sale ²⁵
	1,300.000	602.12	12/23/20	800.80	...	-198.68	4 of 7 - Sale ²⁵
	500.000	231.58	12/23/20	305.15	...	-73.57	5 of 7 - Sale ²⁵
	100.000	46.32	12/23/20	61.57	...	-15.25	6 of 7 - Sale ²⁵
	100.000	46.32	12/23/20	61.57	...	-15.25	7 of 7 - Sale ²⁵
12/23/20	22,500.000	10,421.33	Various	14,115.59	...	-3,694.26	Total of 7 transactions

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PRECIOPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
<i>9 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.73	07/31/20	3.08	...	0.65	1 of 9 - Sale ²⁵
	100.000	372.98	07/31/20	329.01	...	43.97	2 of 9 - Sale ²⁵
	239.000	891.42	07/31/20	736.12	...	155.30	3 of 9 - Sale ²⁵
	380.000	1,417.32	07/31/20	1,178.00	...	239.32	4 of 9 - Sale ²⁵
	35.000	130.54	08/03/20	110.60	...	19.94	5 of 9 - Sale ²⁵
	100.000	372.98	08/03/20	327.40	...	45.58	6 of 9 - Sale ²⁵
	100.000	372.98	08/04/20	416.00	...	-43.02	7 of 9 - Sale ²⁵
	20.000	74.60	08/04/20	80.40	...	-5.80	8 of 9 - Sale ²⁵
	5.000	18.65	08/04/20	19.60	...	-0.95	9 of 9 - Sale ²⁵
08/05/20	980.000	3,655.20	Various	3,200.21	...	454.99	Total of 9 transactions
<i>2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	380.000	1,417.32	07/31/20	1,489.60	38.04 W	-34.24	1 of 2 - Sale ²⁵
	100.000	372.99	07/31/20	392.00	19.01 W	0.00	2 of 2 - Sale ²⁵
08/05/20	480.000	1,790.31	Various	1,881.60	57.05 W	-34.24	Total of 2 transactions
08/06/20	1.000	3.42	08/06/20	3.72	...	-0.30	Sale ²⁵
<i>2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.</i>							
	129.000	402.45	08/06/20	479.82	...	-77.37	1 of 2 - Sale ²⁵
	70.000	218.39	08/06/20	256.86	...	-38.47	2 of 2 - Sale ²⁵
08/07/20	199.000	620.84	Various	736.68	...	-115.84	Total of 2 transactions
	Security total:	6,069.77		5,822.21	57.05 W	304.61	
REGIONAL HEALTH PROPERTIES, IN C. / CUSIP: 75903M309 / Symbol:							
<i>14 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	1,484.90	12/29/20	3,395.09	...	-1,910.19	1 of 14 - Sale ²⁵
	299.000	890.96	12/29/20	2,030.27	...	-1,139.31	2 of 14 - Sale ²⁵
	450.000	1,327.42	12/29/20	1,755.00	...	-427.58	3 of 14 - Sale ²⁵
	450.000	1,331.91	12/29/20	1,755.00	...	-423.09	4 of 14 - Sale ²⁵
	100.000	297.98	12/29/20	679.02	...	-381.04	5 of 14 - Sale ²⁵
	50.000	147.99	12/29/20	339.51	...	-191.52	6 of 14 - Sale ²⁵
	168.000	492.24	12/29/20	655.20	...	-162.96	7 of 14 - Sale ²⁵
	21.000	62.16	12/29/20	81.90	...	-19.74	8 of 14 - Sale ²⁵
	11.000	32.45	12/29/20	42.90	...	-10.45	9 of 14 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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REGIONAL HEALTH PROPERTIES, IN C. / CUSIP: 75903M309 / Symbol: (cont'd)							
	1.000	2.98	12/29/20	7.98	...	-5.00	10 of 14 - Sale ²⁵
	51.000	149.43	12/30/20	512.85	...	-363.42	11 of 14 - Sale ²⁵
	50.000	146.51	12/30/20	339.77	...	-193.26	12 of 14 - Sale ²⁵
	10.000	29.30	12/30/20	71.56	...	-42.26	13 of 14 - Sale ²⁵
	9.000	26.37	12/30/20	64.50	...	-38.13	14 of 14 - Sale ²⁵
12/31/20	2,170.000	6,422.60	Various	11,730.55	...	-5,307.95	Total of 14 transactions
	11 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	200.000	597.96	12/29/20	1,176.00	436.42 W	-141.62	1 of 11 - Sale ²⁵
	49.000	146.01	12/29/20	350.37	79.25 W	-125.11	2 of 11 - Sale ²⁵
	51.000	152.48	12/29/20	299.88	147.40 W	0.00	3 of 11 - Sale ²⁵
	49.000	146.50	12/29/20	288.12	141.62 W	0.00	4 of 11 - Sale ²⁵
	51.000	152.48	12/29/20	447.28	294.80 W	0.00	5 of 11 - Sale ²⁵
	849.000	2,538.35	12/29/20	4,992.12	2,453.77 W	0.00	6 of 11 - Sale ²⁵
	51.000	152.48	12/29/20	465.65	313.17 W	0.00	7 of 11 - Sale ²⁵
	49.000	146.50	12/29/20	305.77	159.27 W	0.00	8 of 11 - Sale ²⁵
	1.000	2.99	12/29/20	7.07	4.08 W	0.00	9 of 11 - Sale ²⁵
	50.000	149.49	12/29/20	339.51	190.02 W	0.00	10 of 11 - Sale ²⁵
	51.000	151.97	12/29/20	512.07	360.10 W	0.00	11 of 11 - Sale ²⁵
12/31/20	1,451.000	4,337.21	Various	9,183.84	4,579.90 W	-266.73	Total of 11 transactions
	Security total:	10,759.81		20,914.39	4,579.90 W	-5,574.68	
SELLAS LIFE SCIENCES GROUP, IN C. COMMON STOCK / CUSIP: 81642T209 / Symbol:							
	27 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	19.000	197.79	12/10/20	185.63	...	12.16	1 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	2 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	3 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	4 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	5 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	6 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	7 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	8 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	9 of 27 - Sale ²⁵
	19.000	197.79	12/10/20	185.63	...	12.16	10 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	11 of 27 - Sale ²⁵

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(continued)

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SELLAS LIFE SCIENCES GROUP, IN C. COMMON STOCK / CUSIP: 81642T209 / Symbol: (cont'd)							
	81.000	843.18	12/10/20	791.37	...	51.81	12 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	13 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	14 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	15 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	16 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	17 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	18 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	19 of 27 - Sale ²⁵
	81.000	843.18	12/10/20	791.37	...	51.81	20 of 27 - Sale ²⁵
	19.000	198.00	12/11/20	333.28	...	-135.28	21 of 27 - Sale ²⁵
	21.000	218.84	12/11/20	324.99	...	-106.15	22 of 27 - Sale ²⁵
	119.000	1,240.11	12/11/20	1,309.59	...	-69.48	23 of 27 - Sale ²⁵
	19.000	198.00	12/11/20	256.89	...	-58.89	24 of 27 - Sale ²⁵
	8.000	83.37	12/11/20	135.57	...	-52.20	25 of 27 - Sale ²⁵
	12.000	125.05	12/11/20	159.54	...	-34.49	26 of 27 - Sale ²⁵
	2.000	20.84	12/11/20	33.89	...	-13.05	27 of 27 - Sale ²⁵
12/11/20	1,200.000	12,493.91	Various	12,323.75	...	170.16	Total of 27 transactions
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	50.000	520.48	12/10/20	732.77	212.29 W	0.00	1 of 3 - Sale ²⁵
	31.000	322.70	12/11/20	393.70	71.00 W	0.00	2 of 3 - Sale ²⁵
	19.000	197.79	12/11/20	321.97	124.18 W	0.00	3 of 3 - Sale ²⁵
12/11/20	100.000	1,040.97	Various	1,448.44	407.47 W	0.00	Total of 3 transactions
	Security total:	13,534.88		13,772.19	407.47 W	170.16	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
07/28/20	1.000	1,527.06	07/23/20	1,522.88	...	4.18	Sale ²⁵
VERA BRADLEY, INC. COMMON STOCK / CUSIP: 92335C106 / Symbol:							
	2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	113.633	738.03	09/02/20	794.86	...	-56.83	1 of 2 - Sale ²⁵
	0.367	2.39	09/02/20	2.75	...	-0.36	2 of 2 - Sale ²⁵
09/02/20	114.000	740.42	Various	797.61	...	-57.19	Total of 2 transactions
09/02/20	0.367	2.39	09/02/20	2.57	0.18 W	0.00	Sale ²⁵
	Security total:	742.81		800.18	0.18 W	-57.19	

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(continued)

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VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1,837.593	5,148.78	08/14/20	5,198.00	...	-49.22	1 of 2 - Sale ²⁵
	0.708	1.98	08/14/20	2.00	...	-0.02	2 of 2 - Sale ²⁵
08/14/20	1,838.301	5,150.76	Various	5,200.00	...	-49.24	Total of 2 transactions
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	100.000	883.03	12/11/20	720.00	...	163.03	1 of 4 - Sale ²⁵
	400.000	3,532.11	12/11/20	2,880.00	...	652.11	2 of 4 - Sale ²⁵
	100.000	983.07	12/14/20	1,066.00	...	-82.93	3 of 4 - Sale ²⁵
	100.000	983.08	12/14/20	1,065.00	...	-81.92	4 of 4 - Sale ²⁵
12/14/20	700.000	6,381.29	Various	5,731.00	...	650.29	Total of 4 transactions
	Security total:	11,532.05		10,931.00	...	601.05	
VIVOS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 92859E108 / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1,000.000	7,789.80	12/17/20	10,239.60	...	-2,449.80	1 of 2 - Sale ²⁵
	50.000	389.49	12/17/20	495.00	...	-105.51	2 of 2 - Sale ²⁵
12/21/20	1,050.000	8,179.29	Various	10,734.60	...	-2,555.31	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON STOCK / CUSIP: 98138J206 / Symbol:							
5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	193.000	3,514.42	08/31/20	3,526.05	...	-11.63	1 of 5 - Sale ²⁵
	5.000	91.05	08/31/20	91.35	...	-0.30	2 of 5 - Sale ²⁵
	5.000	91.05	08/31/20	91.20	...	-0.15	3 of 5 - Sale ²⁵
	3.000	54.63	08/31/20	54.72	...	-0.09	4 of 5 - Sale ²⁵
	2.000	36.42	08/31/20	36.48	...	-0.06	5 of 5 - Sale ²⁵
08/31/20	208.000	3,787.57	Various	3,799.80	...	-12.23	Total of 5 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	50.000	910.47	08/31/20	913.49	0.48 W	-2.54	1 of 2 - Sale ²⁵
	2.000	36.42	08/31/20	36.54	0.12 W	0.00	2 of 2 - Sale ²⁵
08/31/20	52.000	946.89	Various	950.03	0.60 W	-2.54	Total of 2 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	120.000	3,143.92	10/13/20	2,809.20	...	334.72	1 of 3 - Sale ²⁵
	34.000	890.78	10/15/20	760.25	...	130.53	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	10.000	262.00	11/11/20	191.70	...	70.30	3 of 3 - Sale ²⁵
11/24/20	164.000	4,296.70	Various	3,761.15	...	535.55	Total of 3 transactions
	Security total:	9,031.16		8,510.98	0.60 W	520.78	
ATIF HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G0602B100 / Symbol:							
<i>12 transactions for 12/29/20. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	1,910.92	12/28/20	3,280.00	...	-1,369.08	1 of 12 - Sale ²⁵
	1,700.000	1,624.28	12/28/20	2,788.00	...	-1,163.72	2 of 12 - Sale ²⁵
	2,990.000	2,856.83	12/28/20	3,857.10	...	-1,000.27	3 of 12 - Sale ²⁵
	1,000.000	955.46	12/28/20	1,518.70	...	-563.24	4 of 12 - Sale ²⁵
	300.000	286.64	12/28/20	492.00	...	-205.36	5 of 12 - Sale ²⁵
	300.000	286.64	12/28/20	492.00	...	-205.36	6 of 12 - Sale ²⁵
	200.000	191.09	12/28/20	328.00	...	-136.91	7 of 12 - Sale ²⁵
	200.000	191.09	12/28/20	328.00	...	-136.91	8 of 12 - Sale ²⁵
	200.000	191.09	12/28/20	327.00	...	-135.91	9 of 12 - Sale ²⁵
	100.000	95.55	12/28/20	164.00	...	-68.45	10 of 12 - Sale ²⁵
	100.000	95.55	12/28/20	155.50	...	-59.95	11 of 12 - Sale ²⁵
	10.000	9.55	12/28/20	12.90	...	-3.35	12 of 12 - Sale ²⁵
12/29/20	9,100.000	8,694.69	Various	13,743.20	...	-5,048.51	Total of 12 transactions
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
<i>11 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	11,500.000	4,202.95	08/14/20	4,410.25	...	-207.30	1 of 11 - Sale ²⁵
	728.000	266.06	08/14/20	276.28	...	-10.22	2 of 11 - Sale ²⁵
	128.000	46.78	08/14/20	50.73	...	-3.95	3 of 11 - Sale ²⁵
	200.000	73.09	08/14/20	76.78	...	-3.69	4 of 11 - Sale ²⁵
	200.000	73.10	08/14/20	75.60	...	-2.50	5 of 11 - Sale ²⁵
	72.000	26.31	08/14/20	28.21	...	-1.90	6 of 11 - Sale ²⁵
	100.000	36.55	08/14/20	38.35	...	-1.80	7 of 11 - Sale ²⁵
	72.000	26.31	08/14/20	27.64	...	-1.33	8 of 11 - Sale ²⁵
	100.000	36.54	08/14/20	37.80	...	-1.26	9 of 11 - Sale ²⁵
	100.000	36.55	08/14/20	37.80	...	-1.25	10 of 11 - Sale ²⁵
	100.000	36.55	08/14/20	37.80	...	-1.25	11 of 11 - Sale ²⁵
08/18/20	13,300.000	4,860.79	Various	5,097.24	...	-236.45	Total of 11 transactions

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Robinhood Securities LLC

Account 586052920

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol: (cont'd)							
08/18/20	200.000	73.42	08/14/20	75.90	2.48 W	0.00	Sale ²⁵
	Security total:	4,934.21		5,173.14	2.48 W	-236.45	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
08/21/20	2,819.000	892.84	08/20/20	952.26	58.89 W	-0.53	Sale ²⁵
09/02/20	2,794.000	745.37	09/01/20	821.07	...	-75.70	Sale ²⁵
11/20/20	5,000.000	1,056.87	11/20/20	1,150.00	93.13 W	0.00	Sale ²⁵
11/23/20	2.000	0.42	11/20/20	0.41	...	0.01	Sale ²⁵
	<i>7 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>						
	3,098.000	594.75	11/23/20	667.16	...	-72.41	1 of 7 - Sale ²⁵
	602.000	115.57	11/23/20	141.88	...	-26.31	2 of 7 - Sale ²⁵
	800.000	153.58	11/23/20	179.88	...	-26.30	3 of 7 - Sale ²⁵
	902.000	173.16	11/23/20	182.92	...	-9.76	4 of 7 - Sale ²⁵
	400.000	76.79	11/23/20	84.02	...	-7.23	5 of 7 - Sale ²⁵
	98.000	18.81	11/23/20	22.03	...	-3.22	6 of 7 - Sale ²⁵
	500.000	95.99	11/23/20	91.10	...	4.89	7 of 7 - Sale ²⁵
11/24/20	6,400.000	1,228.65	Various	1,368.99	...	-140.34	Total of 7 transactions
	<i>3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>						
	700.000	134.39	11/20/20	143.17	8.78 W	0.00	1 of 3 - Sale ²⁵
	4,298.000	825.11	11/20/20	879.05	53.94 W	0.00	2 of 3 - Sale ²⁵
	602.000	115.57	11/23/20	135.36	19.79 W	0.00	3 of 3 - Sale ²⁵
11/24/20	5,600.000	1,075.07	Various	1,157.58	82.51 W	0.00	Total of 3 transactions
	Security total:	4,999.22		5,450.31	234.53 W	-216.56	
Totals :		266,193.50		313,430.96	33,642.03 W	-13,595.43	

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Robinhood Securities LLC

Account 586052920

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		08/05/20	-5.00	Margin interest paid	
		09/04/20	-5.00	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		11/03/20	-5.00	Margin interest paid	
		12/03/20	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-25.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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