

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 672049822	Statement Date: 02/14/2021 Document ID: EB4K 2J0 P47H	2020
	Anvesh Renikindi 1206 Cornerstone Blvd 215 Downingtown, PA 19335 RECIPIENT'S TIN: XXX-XX-8755		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 1.93</p> <p>1b- Qualified dividends 1.79</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.04</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.05</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	54,318.01	58,373.21	0.00	6,871.41	2,816.21
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.22	0.22	0.00	0.00	0.00
	Total Short-term	54,318.23	58,373.43	0.00	6,871.41	2,816.21
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	54,318.23	58,373.43	0.00	6,871.41	2,816.21

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	1.80
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	1.53
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	31.88
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BTG 08/21/2020 CALL \$7.50 / CUSIP: / Symbol:							
08/05/20	1.000	39.98	08/03/20	27.00	...	12.98	Option sale to close-call ²⁵
	3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	6.99	08/04/20	20.00	13.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	7.00	08/07/20	19.00	12.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	6.99	08/07/20	20.00	13.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
08/12/20	3.000	20.98	Various	59.00	38.02 W	0.00	Total of 3 transactions
	9 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	7.00	08/10/20	28.01	21.01 W	0.00	1 of 9 - Option sale to close-call ²⁵
	1.000	6.99	08/11/20	21.00	14.01 W	0.00	2 of 9 - Option sale to close-call ²⁵
	1.000	7.00	08/11/20	22.01	15.01 W	0.00	3 of 9 - Option sale to close-call ²⁵
	2.000	13.99	08/11/20	20.00	6.01 W	0.00	4 of 9 - Option sale to close-call ²⁵
	1.000	5.00	08/11/20	26.01	21.01 W	0.00	5 of 9 - Option sale to close-call ²⁵
	1.000	5.00	08/11/20	19.01	14.01 W	0.00	6 of 9 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BTG 08/21/2020 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
	1.000	4.99	08/11/20	20.01	15.02 W	0.00	7 of 9 - Option sale to close-call ²⁵
	1.000	5.00	08/11/20	8.01	3.01 W	0.00	8 of 9 - Option sale to close-call ²⁵
	1.000	4.99	08/12/20	8.00	3.01 W	0.00	9 of 9 - Option sale to close-call ²⁵
08/13/20	10.000	59.96	Various	172.06	112.10 W	0.00	Total of 9 transactions
6 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	7.00	08/12/20	20.02	...	-13.02	1 of 6 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	19.01	...	-12.01	2 of 6 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	8.01	...	-1.01	3 of 6 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	8.01	...	-1.01	4 of 6 - Option sale to close-call ²⁵
	4.000	27.98	08/13/20	20.00	...	7.98	5 of 6 - Option sale to close-call ²⁵
	5.000	34.98	08/13/20	25.00	...	9.98	6 of 6 - Option sale to close-call ²⁵
08/17/20	13.000	90.96	Various	100.05	...	-9.09	Total of 6 transactions
08/17/20	1.000	7.00	08/12/20	26.01	19.01 W	0.00	Option sale to close-call ²⁵
08/18/20	1.000	9.98	08/13/20	24.01	...	-14.03	Option sale to close-call ²⁵
	Security total:	228.86		408.13	169.13 W	-10.14	
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	94.60	08/31/20	94.87	...	-0.27	1 of 3 - Sale ²⁵
	3.000	283.80	09/01/20	289.50	...	-5.70	2 of 3 - Sale ²⁵
	1.000	94.60	09/01/20	96.50	...	-1.90	3 of 3 - Sale ²⁵
09/02/20	5.000	473.00	Various	480.87	...	-7.87	Total of 3 transactions
ALTERITY THERAPEUTICS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 02155X106 / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	5.000	12.15	08/06/20	12.25	...	-0.10	1 of 2 - Sale ²⁵
	1.000	2.43	08/06/20	2.44	...	-0.01	2 of 2 - Sale ²⁵
08/06/20	6.000	14.58	Various	14.69	...	-0.11	Total of 2 transactions
08/06/20	5.000	12.15	08/06/20	13.40	0.25 W	-1.00	Sale ²⁵
09/23/20	1.000	2.20	08/06/20	2.69	...	-0.49	Sale ²⁵
	Security total:	28.93		30.78	0.25 W	-1.60	

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMBEV S.A. / CUSIP: 02319V103 / Symbol:							
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	5.000	12.16	08/06/20	12.33	...	-0.17	1 of 4 - Sale ²⁵
	3.000	7.30	08/18/20	7.22	...	0.08	2 of 4 - Sale ²⁵
	2.000	4.86	08/19/20	4.80	...	0.06	3 of 4 - Sale ²⁵
	5.000	12.16	08/20/20	11.28	...	0.88	4 of 4 - Sale ²⁵
09/03/20	15.000	36.48	Various	35.63	...	0.85	Total of 4 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
07/24/20	1.000	361.88	07/24/20	364.25	...	-2.37	Sale ²⁵
6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	4.000	455.88	08/28/20	501.58	45.70 W	0.00	1 of 6 - Sale ²⁵
	5.000	569.84	09/01/20	655.00	85.16 W	0.00	2 of 6 - Sale ²⁵
	1.000	113.97	09/03/20	123.17	9.20 W	0.00	3 of 6 - Sale ²⁵
	3.000	341.91	09/03/20	369.00	27.09 W	0.00	4 of 6 - Sale ²⁵
	3.000	341.90	09/03/20	367.99	26.09 W	0.00	5 of 6 - Sale ²⁵
	1.000	113.97	09/03/20	122.70	8.73 W	0.00	6 of 6 - Sale ²⁵
09/14/20	17.000	1,937.47	Various	2,139.44	201.97 W	0.00	Total of 6 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	114.19	09/14/20	113.38	...	0.81	1 of 2 - Sale ²⁵
	11.000	1,256.11	09/14/20	1,253.28	...	2.83	2 of 2 - Sale ²⁵
09/15/20	12.000	1,370.30	Various	1,366.66	...	3.64	Total of 2 transactions
14 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	114.19	09/03/20	133.96	19.77 W	0.00	1 of 14 - Sale ²⁵
	1.000	114.19	09/03/20	133.80	19.61 W	0.00	2 of 14 - Sale ²⁵
	1.000	114.19	09/04/20	122.64	8.45 W	0.00	3 of 14 - Sale ²⁵
	1.000	114.19	09/04/20	123.70	9.51 W	0.00	4 of 14 - Sale ²⁵
	1.000	114.19	09/04/20	132.63	18.44 W	0.00	5 of 14 - Sale ²⁵
	1.000	114.19	09/08/20	131.75	17.56 W	0.00	6 of 14 - Sale ²⁵
	1.000	114.19	09/10/20	134.25	20.06 W	0.00	7 of 14 - Sale ²⁵
	1.000	114.19	09/10/20	130.98	16.79 W	0.00	8 of 14 - Sale ²⁵
	1.000	114.19	09/10/20	130.04	15.85 W	0.00	9 of 14 - Sale ²⁵
	1.000	114.19	09/11/20	121.02	6.83 W	0.00	10 of 14 - Sale ²⁵
	1.000	114.19	09/11/20	119.97	5.78 W	0.00	11 of 14 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	114.19	09/14/20	122.67	8.48 W	0.00	12 of 14 - Sale ²⁵
	2.000	228.38	09/14/20	245.93	17.55 W	0.00	13 of 14 - Sale ²⁵
	3.000	342.57	09/14/20	367.89	25.32 W	0.00	14 of 14 - Sale ²⁵
09/15/20	17.000	1,941.23	Various	2,151.23	210.00 W	0.00	Total of 14 transactions
	15 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	1.000	109.67	09/15/20	135.45	25.78 W	0.00	1 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	133.67	24.00 W	0.00	2 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	122.51	12.84 W	0.00	3 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	123.57	13.90 W	0.00	4 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	132.50	22.83 W	0.00	5 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	131.62	21.95 W	0.00	6 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	134.12	24.45 W	0.00	7 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	130.85	21.18 W	0.00	8 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	129.91	20.24 W	0.00	9 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	120.89	11.22 W	0.00	10 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	119.84	10.17 W	0.00	11 of 15 - Sale ²⁵
	1.000	109.67	09/15/20	122.54	12.87 W	0.00	12 of 15 - Sale ²⁵
	2.000	219.33	09/15/20	245.66	26.33 W	0.00	13 of 15 - Sale ²⁵
	3.000	329.00	09/15/20	367.49	38.49 W	0.00	14 of 15 - Sale ²⁵
	12.000	1,315.98	09/15/20	1,368.66	52.68 W	0.00	15 of 15 - Sale ²⁵
09/17/20	29.000	3,180.35	Various	3,519.28	338.93 W	0.00	Total of 15 transactions
	12 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	107.32	09/21/20	143.48	...	-36.16	1 of 12 - Sale ²⁵
	1.000	107.32	09/21/20	142.40	...	-35.08	2 of 12 - Sale ²⁵
	1.000	105.84	09/21/20	137.51	...	-31.67	3 of 12 - Sale ²⁵
	1.000	105.84	09/21/20	136.81	...	-30.97	4 of 12 - Sale ²⁵
	1.000	105.85	09/21/20	135.42	...	-29.57	5 of 12 - Sale ²⁵
	1.000	105.85	09/21/20	133.48	...	-27.63	6 of 12 - Sale ²⁵
	1.000	107.32	09/21/20	132.71	...	-25.39	7 of 12 - Sale ²⁵
	1.000	105.84	09/21/20	129.54	...	-23.70	8 of 12 - Sale ²⁵
	1.000	105.85	09/21/20	128.04	...	-22.19	9 of 12 - Sale ²⁵
	1.000	107.32	09/21/20	119.81	...	-12.49	10 of 12 - Sale ²⁵
	1.000	107.33	09/21/20	118.75	...	-11.42	11 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	104.94	09/21/20	104.77	...	0.17	12 of 12 - Sale ²⁵
09/21/20	12.000	1,276.62	Various	1,562.72	...	-286.10	Total of 12 transactions
	38 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	104.95	09/15/20	139.83	34.88 W	0.00	1 of 38 - Sale ²⁵
	1.000	104.95	09/15/20	138.77	33.82 W	0.00	2 of 38 - Sale ²⁵
	1.000	104.95	09/16/20	125.20	20.25 W	0.00	3 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	123.52	18.57 W	0.00	4 of 38 - Sale ²⁵
	1.000	104.94	09/17/20	132.45	27.51 W	0.00	5 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	131.57	26.62 W	0.00	6 of 38 - Sale ²⁵
	1.000	104.94	09/17/20	134.07	29.13 W	0.00	7 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	130.80	25.85 W	0.00	8 of 38 - Sale ²⁵
	1.000	104.94	09/17/20	129.86	24.92 W	0.00	9 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	144.51	39.56 W	0.00	10 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	143.44	38.49 W	0.00	11 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	120.84	15.89 W	0.00	12 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	119.79	14.84 W	0.00	13 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	122.49	17.54 W	0.00	14 of 38 - Sale ²⁵
	2.000	209.89	09/17/20	245.58	35.69 W	0.00	15 of 38 - Sale ²⁵
	3.000	314.84	09/17/20	367.36	52.52 W	0.00	16 of 38 - Sale ²⁵
	12.000	1,259.37	09/17/20	1,368.15	108.78 W	0.00	17 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	129.74	24.79 W	0.00	18 of 38 - Sale ²⁵
	1.000	104.95	09/17/20	128.24	23.29 W	0.00	19 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	137.01	32.06 W	0.00	20 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	135.62	30.67 W	0.00	21 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	137.72	32.77 W	0.00	22 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	133.68	28.73 W	0.00	23 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	132.92	27.97 W	0.00	24 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	107.42	2.47 W	0.00	25 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	107.17	2.22 W	0.00	26 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	106.78	1.83 W	0.00	27 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	106.75	1.80 W	0.00	28 of 38 - Sale ²⁵
	1.000	104.94	09/18/20	106.70	1.76 W	0.00	29 of 38 - Sale ²⁵
	1.000	104.95	09/18/20	106.54	1.59 W	0.00	30 of 38 - Sale ²⁵
	6.000	629.67	09/21/20	630.06	0.39 W	0.00	31 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 672049822

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	105.84	09/21/20	144.57	38.73 W	0.00	32 of 38 - Sale ²⁵
	1.000	105.84	09/21/20	143.50	37.66 W	0.00	33 of 38 - Sale ²⁵
	1.000	105.84	09/21/20	120.90	15.06 W	0.00	34 of 38 - Sale ²⁵
	1.000	105.84	09/21/20	119.85	14.01 W	0.00	35 of 38 - Sale ²⁵
	1.000	105.84	09/21/20	122.55	16.71 W	0.00	36 of 38 - Sale ²⁵
	2.000	211.69	09/21/20	245.71	34.02 W	0.00	37 of 38 - Sale ²⁵
	3.000	317.53	09/21/20	367.54	50.01 W	0.00	38 of 38 - Sale ²⁵
09/21/20	60.000	6,305.80	Various	7,289.20	983.40 W	0.00	Total of 38 transactions
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	2.000	230.71	09/21/20	243.51	...	-12.80	1 of 2 - Sale ²⁵
	1.000	115.35	09/21/20	121.42	...	-6.07	2 of 2 - Sale ²⁵
09/30/20	3.000	346.06	Various	364.93	...	-18.87	Total of 2 transactions
10/09/20	2.000	232.34	09/21/20	242.83	...	-10.49	Sale ²⁵
	10 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	1.000	121.71	09/21/20	121.45	...	0.26	1 of 10 - Sale ²⁵
	1.000	121.70	09/21/20	107.22	...	14.48	2 of 10 - Sale ²⁵
	1.000	121.71	09/21/20	106.96	...	14.75	3 of 10 - Sale ²⁵
	1.000	121.64	09/21/20	106.58	...	15.06	4 of 10 - Sale ²⁵
	1.000	121.64	09/21/20	106.54	...	15.10	5 of 10 - Sale ²⁵
	1.000	121.64	09/21/20	106.51	...	15.13	6 of 10 - Sale ²⁵
	1.000	121.64	09/21/20	106.33	...	15.31	7 of 10 - Sale ²⁵
	5.000	608.18	09/21/20	523.73	...	84.45	8 of 10 - Sale ²⁵
	12.000	1,460.48	09/21/20	1,365.73	...	94.75	9 of 10 - Sale ²⁵
	6.000	729.81	09/21/20	628.86	...	100.95	10 of 10 - Sale ²⁵
10/16/20	30.000	3,650.15	Various	3,279.91	...	370.24	Total of 10 transactions
	Security total:	20,602.20		22,280.45	1,734.30 W	56.05	
BERKSHIRE HATHAWAY INC. / CUSIP: 084670108 / Symbol:							
09/03/20	0.000	24.36	06/24/20	19.77	...	4.59	Sale ²⁵
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
	5 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	10.57	08/19/20	8.96	...	1.61	1 of 5 - Sale ²⁵
	1.000	10.57	08/20/20	7.24	...	3.33	2 of 5 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	1.000	10.58	08/20/20	6.54	...	4.04	3 of 5 - Sale ²⁵
	2.000	21.15	08/25/20	15.24	...	5.91	4 of 5 - Sale ²⁵
	1.000	10.57	08/31/20	6.87	...	3.70	5 of 5 - Sale ²⁵
10/07/20	6.000	63.44	Various	44.85	...	18.59	Total of 5 transactions
10/15/20	1.000	9.59	09/08/20	6.51	...	3.08	Sale ²⁵
	Security total:	73.03		51.36	...	21.67	
B2GOLD CORP. / CUSIP: 11777Q209 / Symbol:							
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	6.45	06/25/20	5.39	...	1.06	1 of 4 - Sale ²⁵
	1.000	6.45	06/25/20	5.23	...	1.22	2 of 4 - Sale ²⁵
	1.000	6.45	06/25/20	5.23	...	1.22	3 of 4 - Sale ²⁵
	1.000	6.46	06/26/20	5.20	...	1.26	4 of 4 - Sale ²⁵
09/03/20	4.000	25.81	Various	21.05	...	4.76	Total of 4 transactions
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
8 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	5.90	06/09/20	23.46	...	-17.56	1 of 8 - Sale ²⁵
	1.000	5.90	06/10/20	17.17	...	-11.27	2 of 8 - Sale ²⁵
	1.000	5.90	06/11/20	14.00	...	-8.10	3 of 8 - Sale ²⁵
	1.000	5.90	06/16/20	15.36	...	-9.46	4 of 8 - Sale ²⁵
	1.000	5.90	06/17/20	12.95	...	-7.05	5 of 8 - Sale ²⁵
	1.000	5.90	06/18/20	12.95	...	-7.05	6 of 8 - Sale ²⁵
	1.000	5.90	06/22/20	12.64	...	-6.74	7 of 8 - Sale ²⁵
	1.000	5.90	06/23/20	12.47	...	-6.57	8 of 8 - Sale ²⁵
06/30/20	8.000	47.20	Various	121.00	...	-73.80	Total of 8 transactions
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
09/01/20	1.000	41.61	08/18/20	41.95	...	-0.34	Sale ²⁵

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CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	4.000	10.12	07/13/20	10.23	...	-0.11	1 of 2 - Sale ²⁵
07/17/20	5.000	12.65	07/13/20	12.19	...	0.46	2 of 2 - Sale ²⁵
	9.000	22.77	Various	22.42	...	0.35	Total of 2 transactions
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	2.53	07/09/20	3.17	0.64 W	0.00	1 of 3 - Sale ²⁵
	5.000	12.65	07/10/20	13.55	0.72 W	-0.18	2 of 3 - Sale ²⁵
07/17/20	5.000	12.65	07/10/20	14.00	1.35 W	0.00	3 of 3 - Sale ²⁵
	11.000	27.83	Various	30.72	2.71 W	-0.18	Total of 3 transactions
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	2.58	07/14/20	2.97	...	-0.39	1 of 4 - Sale ²⁵
	4.000	10.32	07/14/20	10.40	...	-0.08	2 of 4 - Sale ²⁵
	1.000	2.58	07/31/20	2.67	...	-0.09	3 of 4 - Sale ²⁵
09/03/20	4.000	10.32	07/31/20	10.31	...	0.01	4 of 4 - Sale ²⁵
	10.000	25.80	Various	26.35	...	-0.55	Total of 4 transactions
	Security total:	76.40		79.49	2.71 W	-0.38	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
7 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	23.50	09/28/20	38.39	...	-14.89	1 of 7 - Sale ²⁵
	1.000	23.50	09/28/20	34.84	...	-11.34	2 of 7 - Sale ²⁵
	1.000	23.50	09/28/20	34.26	...	-10.76	3 of 7 - Sale ²⁵
	1.000	23.50	09/28/20	32.44	...	-8.94	4 of 7 - Sale ²⁵
	1.000	23.50	09/28/20	26.67	...	-3.17	5 of 7 - Sale ²⁵
	44.000	985.34	09/28/20	986.79	...	-1.45	6 of 7 - Sale ²⁵
	1.000	23.50	09/28/20	24.04	...	-0.54	7 of 7 - Sale ²⁵
09/28/20	50.000	1,126.34	Various	1,177.43	...	-51.09	Total of 7 transactions
6 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	22.39	08/14/20	38.35	15.96 W	0.00	1 of 6 - Sale ²⁵
	1.000	22.39	08/20/20	34.80	12.41 W	0.00	2 of 6 - Sale ²⁵
	1.000	22.39	08/26/20	34.23	11.84 W	0.00	3 of 6 - Sale ²⁵
	1.000	22.39	08/31/20	32.40	10.01 W	0.00	4 of 6 - Sale ²⁵
	1.000	22.39	09/09/20	26.64	4.25 W	0.00	5 of 6 - Sale ²⁵

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	1.000	22.39	09/11/20	24.00	1.61 W	0.00	6 of 6 - Sale ²⁵
09/28/20	6.000	134.34	Various	190.42	56.08 W	0.00	Total of 6 transactions
	Security total:	1,260.68		1,367.85	56.08 W	-51.09	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	10.89	08/13/20	11.12	...	-0.23	1 of 5 - Sale ²⁵
	1.000	10.90	08/13/20	10.92	...	-0.02	2 of 5 - Sale ²⁵
	1.000	10.89	08/17/20	10.03	...	0.86	3 of 5 - Sale ²⁵
	1.000	10.90	08/18/20	9.49	...	1.41	4 of 5 - Sale ²⁵
	1.000	10.89	08/31/20	10.04	...	0.85	5 of 5 - Sale ²⁵
09/03/20	5.000	54.47	Various	51.60	...	2.87	Total of 5 transactions
09/03/20	1.000	10.90	08/11/20	12.33	1.43 W	0.00	Sale ²⁵
	Security total:	65.37		63.93	1.43 W	2.87	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	117.42	05/28/20	116.75	...	0.67	1 of 6 - Sale ²⁵
	1.000	117.42	06/11/20	113.00	...	4.42	2 of 6 - Sale ²⁵
	1.000	117.42	06/24/20	111.55	...	5.87	3 of 6 - Sale ²⁵
	0.040	4.70	06/26/20	4.38	...	0.32	4 of 6 - Sale ²⁵
	0.085	10.00	06/26/20	9.32	...	0.68	5 of 6 - Sale ²⁵
	0.875	102.72	06/26/20	96.00	...	6.72	6 of 6 - Sale ²⁵
07/17/20	4.000	469.68	Various	451.00	...	18.68	Total of 6 transactions
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.046	6.16	06/26/20	5.00	...	1.16	1 of 4 - Sale ²⁵
	0.915	123.26	06/26/20	100.17	...	23.09	2 of 4 - Sale ²⁵
	0.026	3.50	06/29/20	2.83	...	0.67	3 of 4 - Sale ²⁵
	0.013	1.81	06/30/20	1.49	...	0.32	4 of 4 - Sale ²⁵
09/03/20	1.000	134.73	Various	109.49	...	25.24	Total of 4 transactions
	Security total:	604.41		560.49	...	43.92	

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
<i>3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	213.72	06/04/20	144.00	...	69.72	1 of 3 - Sale ²⁵
	1.000	213.72	06/04/20	139.00	...	74.72	2 of 3 - Sale ²⁵
	1.000	213.72	06/08/20	136.00	...	77.72	3 of 3 - Sale ²⁵
09/09/20	3.000	641.16	Various	419.00	...	222.16	Total of 3 transactions
09/23/20	3.000	647.00	09/09/20	647.34	...	-0.34	Sale ²⁵
	Security total:	1,288.16		1,066.34	...	221.82	
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
<i>5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	6.21	08/07/20	6.46	...	-0.25	1 of 5 - Sale ²⁵
	0.235	1.46	08/20/20	1.53	...	-0.07	2 of 5 - Sale ²⁵
	2.000	12.43	09/01/20	12.68	...	-0.25	3 of 5 - Sale ²⁵
	1.000	6.21	09/01/20	6.32	...	-0.11	4 of 5 - Sale ²⁵
	1.000	6.21	09/02/20	6.28	...	-0.07	5 of 5 - Sale ²⁵
09/03/20	5.235	32.52	Various	33.27	...	-0.75	Total of 5 transactions
09/03/20	5.000	31.06	07/14/20	31.65	0.59 W	0.00	Sale ²⁵
09/23/20	5.000	29.53	09/14/20	30.09	...	-0.56	Sale ²⁵
	Security total:	93.11		95.01	0.59 W	-1.31	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
<i>4 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	230.00	06/15/20	229.68	...	0.32	1 of 4 - Sale ²⁵
	0.003	0.65	06/26/20	0.62	...	0.03	2 of 4 - Sale ²⁵
	0.997	228.77	06/26/20	222.58	...	6.19	3 of 4 - Sale ²⁵
	1.000	229.47	06/26/20	221.64	...	7.83	4 of 4 - Sale ²⁵
07/24/20	3.000	688.89	Various	674.52	...	14.37	Total of 4 transactions
<i>3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>							
	0.997	228.83	06/24/20	234.02	5.19 W	0.00	1 of 3 - Sale ²⁵
	0.003	0.65	06/24/20	0.66	0.01 W	0.00	2 of 3 - Sale ²⁵
	1.000	229.47	06/25/20	232.17	2.70 W	0.00	3 of 3 - Sale ²⁵
07/24/20	2.000	458.95	Various	466.85	7.90 W	0.00	Total of 3 transactions
09/08/20	1.000	272.71	06/26/20	220.70	...	52.01	Sale ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
Security total:		1,420.55		1,362.07		7.90 W	66.38
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	6.92	06/10/20	6.83	...	0.09	1 of 3 - Sale ²⁵
	5.000	34.60	06/10/20	34.23	...	0.37	2 of 3 - Sale ²⁵
	4.000	27.68	06/11/20	24.24	...	3.44	3 of 3 - Sale ²⁵
07/23/20	10.000	69.20	Various	65.30	...	3.90	Total of 3 transactions
8 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	6.88	06/11/20	6.23	...	0.65	1 of 8 - Sale ²⁵
	3.000	20.66	06/11/20	18.18	...	2.48	2 of 8 - Sale ²⁵
	1.000	6.89	06/18/20	6.26	...	0.63	3 of 8 - Sale ²⁵
	1.000	6.88	06/19/20	6.24	...	0.64	4 of 8 - Sale ²⁵
	1.000	6.89	06/23/20	6.21	...	0.68	5 of 8 - Sale ²⁵
	3.000	20.65	06/23/20	18.54	...	2.11	6 of 8 - Sale ²⁵
	5.000	34.42	06/24/20	30.50	...	3.92	7 of 8 - Sale ²⁵
	5.000	34.43	06/24/20	29.50	...	4.93	8 of 8 - Sale ²⁵
09/03/20	20.000	137.70	Various	121.66	...	16.04	Total of 8 transactions
Security total:		206.90		186.96	...	19.94	
FOSSIL GROUP, INC. COMMON STOC K / CUSIP: 34988V106 / Symbol:							
5 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	5.000	22.25	06/24/20	22.19	...	0.06	1 of 5 - Sale ²⁵
	5.000	22.25	06/25/20	21.75	...	0.50	2 of 5 - Sale ²⁵
	2.000	8.90	06/26/20	8.02	...	0.88	3 of 5 - Sale ²⁵
	2.000	8.90	07/13/20	7.82	...	1.08	4 of 5 - Sale ²⁵
	5.000	22.25	07/13/20	19.14	...	3.11	5 of 5 - Sale ²⁵
07/16/20	19.000	84.55	Various	78.92	...	5.63	Total of 5 transactions
6 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	4.45	06/09/20	5.37	0.92 W	0.00	1 of 6 - Sale ²⁵
	1.000	4.45	06/10/20	4.98	0.53 W	0.00	2 of 6 - Sale ²⁵
	5.000	22.25	06/11/20	23.25	1.00 W	0.00	3 of 6 - Sale ²⁵
	1.000	4.45	06/12/20	4.67	0.22 W	0.00	4 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FOSSIL GROUP, INC. COMMON STOC K / CUSIP: 34988V106 / Symbol: (cont'd)							
	1.000	4.45	06/18/20	4.63		0.00	5 of 6 - Sale ²⁵
	1.000	4.45	06/24/20	4.63	0.18 W	0.00	6 of 6 - Sale ²⁵
07/16/20	10.000	44.50	Various	47.53	3.03 W	0.00	Total of 6 transactions
	9 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	4.73	07/27/20	4.31	...	0.42	1 of 9 - Sale ²⁵
	1.000	4.72	07/27/20	3.98	...	0.74	2 of 9 - Sale ²⁵
	2.000	9.45	07/27/20	7.95	...	1.50	3 of 9 - Sale ²⁵
	1.000	4.73	07/30/20	3.72	...	1.01	4 of 9 - Sale ²⁵
	1.000	4.72	07/30/20	3.67	...	1.05	5 of 9 - Sale ²⁵
	1.000	4.73	07/30/20	3.68	...	1.05	6 of 9 - Sale ²⁵
	2.000	9.45	07/30/20	7.40	...	2.05	7 of 9 - Sale ²⁵
	5.000	23.62	07/31/20	17.15	...	6.47	8 of 9 - Sale ²⁵
	5.000	23.63	07/31/20	16.55	...	7.08	9 of 9 - Sale ²⁵
08/12/20	19.000	89.78	Various	68.41	...	21.37	Total of 9 transactions
08/12/20	1.000	4.72	07/13/20	4.83	0.11 W	0.00	Sale ²⁵
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	6.91	08/03/20	3.34	...	3.57	1 of 2 - Sale ²⁵
	4.000	27.65	08/03/20	12.92	...	14.73	2 of 2 - Sale ²⁵
09/03/20	5.000	34.56	Various	16.26	...	18.30	Total of 2 transactions
	Security total:	258.11		215.95	3.14 W	45.30	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	2.44	08/07/20	2.70	0.26 W	0.00	1 of 2 - Sale ²⁵
	1.000	2.44	08/12/20	2.63	0.19 W	0.00	2 of 2 - Sale ²⁵
09/03/20	2.000	4.88	Various	5.33	0.45 W	0.00	Total of 2 transactions
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	2.49	09/15/20	2.54	...	-0.05	1 of 3 - Sale ²⁵
	1.000	2.49	09/15/20	2.47	...	0.02	2 of 3 - Sale ²⁵
	3.000	7.48	09/15/20	6.84	...	0.64	3 of 3 - Sale ²⁵
09/23/20	5.000	12.46	Various	11.85	...	0.61	Total of 3 transactions
	Security total:	17.34		17.18	0.45 W	0.61	

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	25.000	39.25	05/28/20	50.00	10.75 W	0.00	1 of 4 - Sale ²⁵
	25.000	39.25	05/28/20	47.50	8.25 W	0.00	2 of 4 - Sale ²⁵
	25.000	39.25	05/28/20	45.00	5.75 W	0.00	3 of 4 - Sale ²⁵
	25.000	39.24	05/28/20	42.50	3.26 W	0.00	4 of 4 - Sale ²⁵
06/12/20	100.000	156.99	Various	185.00	28.01 W	0.00	Total of 4 transactions
16 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	1.76	05/29/20	1.73	...	0.03	1 of 16 - Sale ²⁵
	4.000	7.06	05/29/20	6.92	...	0.14	2 of 16 - Sale ²⁵
	13.000	22.94	06/01/20	21.32	...	1.62	3 of 16 - Sale ²⁵
	7.000	12.35	06/05/20	11.27	...	1.08	4 of 16 - Sale ²⁵
	18.000	31.77	06/05/20	27.18	...	4.59	5 of 16 - Sale ²⁵
	1.000	1.76	06/11/20	1.50	...	0.26	6 of 16 - Sale ²⁵
	1.000	1.76	06/12/20	1.43	...	0.33	7 of 16 - Sale ²⁵
	1.000	1.77	06/12/20	1.27	...	0.50	8 of 16 - Sale ²⁵
	3.000	5.30	06/12/20	4.60	...	0.70	9 of 16 - Sale ²⁵
	6.000	10.59	06/12/20	9.12	...	1.47	10 of 16 - Sale ²⁵
	5.000	8.83	06/12/20	6.67	...	2.16	11 of 16 - Sale ²⁵
	10.000	17.65	06/12/20	14.20	...	3.45	12 of 16 - Sale ²⁵
	10.000	17.65	06/12/20	13.50	...	4.15	13 of 16 - Sale ²⁵
	15.000	26.47	06/12/20	21.01	...	5.46	14 of 16 - Sale ²⁵
	7.000	12.35	06/15/20	9.24	...	3.11	15 of 16 - Sale ²⁵
	20.000	35.30	06/15/20	26.40	...	8.90	16 of 16 - Sale ²⁵
06/16/20	122.000	215.31	Various	177.36	...	37.95	Total of 16 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	3.000	5.30	05/28/20	6.09	0.79 W	0.00	1 of 4 - Sale ²⁵
	1.000	1.76	05/29/20	1.92	0.16 W	0.00	2 of 4 - Sale ²⁵
	5.000	8.83	05/29/20	9.15	0.32 W	0.00	3 of 4 - Sale ²⁵
	16.000	28.24	05/29/20	29.28	1.04 W	0.00	4 of 4 - Sale ²⁵
06/16/20	25.000	44.13	Various	46.44	2.31 W	0.00	Total of 4 transactions
5 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	1.03	06/17/20	1.42	0.39 W	0.00	1 of 5 - Sale ²⁵
	15.000	15.45	07/15/20	19.20	3.75 W	0.00	2 of 5 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol: (cont'd)							
	5.000	5.15	07/15/20	6.07	0.92 W	0.00	3 of 5 - Sale ²⁵
	20.000	20.60	07/21/20	22.30	1.70 W	0.00	4 of 5 - Sale ²⁵
	9.000	9.27	07/22/20	9.63	0.36 W	0.00	5 of 5 - Sale ²⁵
07/28/20	50.000	51.50	Various	58.62	7.12 W	0.00	Total of 5 transactions
	21 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	1.80	07/27/20	1.58	...	0.22	1 of 21 - Sale ²⁵
	22.000	22.23	07/27/20	21.93	...	0.30	2 of 21 - Sale ²⁵
	12.000	12.12	07/27/20	11.76	...	0.36	3 of 21 - Sale ²⁵
	1.000	1.80	07/27/20	1.44	...	0.36	4 of 21 - Sale ²⁵
	1.000	1.80	07/27/20	1.43	...	0.37	5 of 21 - Sale ²⁵
	1.000	1.80	07/27/20	1.15	...	0.65	6 of 21 - Sale ²⁵
	4.000	7.20	07/27/20	5.39	...	1.81	7 of 21 - Sale ²⁵
	5.000	9.00	07/27/20	6.23	...	2.77	8 of 21 - Sale ²⁵
	9.000	16.20	07/27/20	12.96	...	3.24	9 of 21 - Sale ²⁵
	9.000	16.20	07/27/20	9.92	...	6.28	10 of 21 - Sale ²⁵
	19.000	34.20	07/27/20	21.78	...	12.42	11 of 21 - Sale ²⁵
	4.000	7.20	07/28/20	3.68	...	3.52	12 of 21 - Sale ²⁵
	8.000	14.40	07/28/20	7.90	...	6.50	13 of 21 - Sale ²⁵
	8.000	14.40	07/28/20	7.38	...	7.02	14 of 21 - Sale ²⁵
	3.000	5.40	07/29/20	2.70	...	2.70	15 of 21 - Sale ²⁵
	27.000	48.59	07/30/20	23.49	...	25.10	16 of 21 - Sale ²⁵
	50.000	85.00	08/05/20	44.00	...	41.00	17 of 21 - Sale ²⁵
	11.000	33.00	08/06/20	8.94	...	24.06	18 of 21 - Sale ²⁵
	50.000	84.99	08/06/20	41.84	...	43.15	19 of 21 - Sale ²⁵
	38.000	114.00	08/06/20	30.90	...	83.10	20 of 21 - Sale ²⁵
	51.000	152.99	08/07/20	41.34	...	111.65	21 of 21 - Sale ²⁵
08/18/20	334.000	684.32	Various	307.74	...	376.58	Total of 21 transactions
	23 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	0.95	07/22/20	1.46	0.51 W	0.00	1 of 23 - Sale ²⁵
	10.000	9.50	07/22/20	13.20	3.70 W	0.00	2 of 23 - Sale ²⁵
	1.000	0.95	07/22/20	1.31	0.36 W	0.00	3 of 23 - Sale ²⁵
	4.000	3.80	07/22/20	5.24	1.44 W	0.00	4 of 23 - Sale ²⁵
	5.000	4.75	07/22/20	6.05	1.30 W	0.00	5 of 23 - Sale ²⁵
	19.000	18.05	07/22/20	21.08	3.03 W	0.00	6 of 23 - Sale ²⁵

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(continued)

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GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol: (cont'd)							
	1.000	0.95	07/22/20	1.11	0.16 W	0.00	7 of 23 - Sale ²⁵
	9.000	8.55	07/22/20	9.59	1.04 W	0.00	8 of 23 - Sale ²⁵
	1.000	0.95	07/24/20	1.54	0.59 W	0.00	9 of 23 - Sale ²⁵
	1.000	0.95	07/24/20	1.39	0.44 W	0.00	10 of 23 - Sale ²⁵
	10.000	9.50	07/24/20	14.00	4.50 W	0.00	11 of 23 - Sale ²⁵
	8.000	7.60	07/24/20	8.24	0.64 W	0.00	12 of 23 - Sale ²⁵
	30.000	28.49	07/24/20	28.99	0.50 W	0.00	13 of 23 - Sale ²⁵
	8.000	8.08	07/24/20	8.62	0.54 W	0.00	14 of 23 - Sale ²⁵
	8.000	8.08	07/24/20	8.10	0.02 W	0.00	15 of 23 - Sale ²⁵
	1.000	1.01	07/24/20	1.61	0.60 W	0.00	16 of 23 - Sale ²⁵
	1.000	1.01	07/24/20	1.46	0.45 W	0.00	17 of 23 - Sale ²⁵
	10.000	10.10	07/24/20	14.70	4.60 W	0.00	18 of 23 - Sale ²⁵
	4.000	4.04	07/24/20	5.52	1.48 W	0.00	19 of 23 - Sale ²⁵
	5.000	5.05	07/24/20	6.40	1.35 W	0.00	20 of 23 - Sale ²⁵
	1.000	1.01	07/24/20	1.18	0.17 W	0.00	21 of 23 - Sale ²⁵
	19.000	19.19	07/24/20	22.41	3.22 W	0.00	22 of 23 - Sale ²⁵
	9.000	9.09	07/24/20	10.22	1.13 W	0.00	23 of 23 - Sale ²⁵
08/18/20	166.000	161.65	Various	193.42	31.77 W	0.00	Total of 23 transactions
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	50.000	51.01	08/13/20	39.99	...	11.02	1 of 2 - Sale ²⁵
	50.000	51.00	08/13/20	37.50	...	13.50	2 of 2 - Sale ²⁵
09/03/20	100.000	102.01	Various	77.49	...	24.52	Total of 2 transactions
	Security total:	1,415.91		1,046.07	69.21 W	439.05	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
07/14/20	1.000	0.71	07/09/20	0.74	...	-0.03	Sale ²⁵
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
	6 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	5.000	7.05	07/07/20	6.33	...	0.72	1 of 6 - Sale ²⁵
	10.000	14.10	07/07/20	12.85	...	1.25	2 of 6 - Sale ²⁵
	1.000	1.41	07/10/20	1.36	...	0.05	3 of 6 - Sale ²⁵
	5.000	7.05	07/10/20	6.73	...	0.32	4 of 6 - Sale ²⁵
	4.000	5.64	07/13/20	5.26	...	0.38	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
07/20/20	5.000	7.05	07/14/20	6.40	...	0.65	6 of 6 - Sale ²⁵
	30.000	42.30	Various	38.93	...	3.37	Total of 6 transactions
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	5.000	7.05	06/26/20	10.15	3.10 W	0.00	1 of 2 - Sale ²⁵
	5.000	7.05	06/26/20	9.25	2.20 W	0.00	2 of 2 - Sale ²⁵
07/20/20	10.000	14.10	Various	19.40	5.30 W	0.00	Total of 2 transactions
07/29/20	10.000	13.20	07/24/20	12.90	...	0.30	Sale ²⁵
	2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	5.000	6.60	07/14/20	9.20	2.60 W	0.00	1 of 2 - Sale ²⁵
	5.000	6.60	07/16/20	8.45	1.85 W	0.00	2 of 2 - Sale ²⁵
07/29/20	10.000	13.20	Various	17.65	4.45 W	0.00	Total of 2 transactions
	2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	5.000	7.43	07/27/20	8.85	1.42 W	0.00	1 of 2 - Sale ²⁵
	4.000	5.94	07/27/20	6.48	0.54 W	0.00	2 of 2 - Sale ²⁵
07/30/20	9.000	13.37	Various	15.33	1.96 W	0.00	Total of 2 transactions
	11 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	5.000	6.10	08/11/20	8.27	...	-2.17	1 of 11 - Sale ²⁵
	4.000	4.88	08/11/20	6.02	...	-1.14	2 of 11 - Sale ²⁵
	1.000	1.22	08/11/20	1.37	...	-0.15	3 of 11 - Sale ²⁵
	10.000	12.20	08/12/20	13.00	...	-0.80	4 of 11 - Sale ²⁵
	10.000	12.20	08/17/20	12.85	...	-0.65	5 of 11 - Sale ²⁵
	10.000	12.20	08/18/20	12.50	...	-0.30	6 of 11 - Sale ²⁵
	20.000	24.40	08/18/20	24.10	...	0.30	7 of 11 - Sale ²⁵
	10.000	12.20	08/20/20	11.60	...	0.60	8 of 11 - Sale ²⁵
	9.000	10.98	08/24/20	10.89	...	0.09	9 of 11 - Sale ²⁵
	1.000	1.24	08/25/20	1.58	...	-0.34	10 of 11 - Sale ²⁵
	9.000	10.98	08/25/20	10.67	...	0.31	11 of 11 - Sale ²⁵
09/03/20	89.000	108.60	Various	112.85	...	-4.25	Total of 11 transactions
09/03/20	1.000	1.22	07/27/20	1.62	0.40 W	0.00	Sale ²⁵
	Security total:	205.99		218.68	12.11 W	-0.58	

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	10.000	232.34	06/03/20	123.20	...	109.14	1 of 2 - Sale ²⁵
	9.000	209.10	06/08/20	101.70	...	107.40	2 of 2 - Sale ²⁵
07/10/20	19.000	441.44	Various	224.90	...	216.54	Total of 2 transactions
10/15/20	1.000	11.84	06/08/20	11.30	...	0.54	Sale ²⁵
	Security total:	453.28		236.20	...	217.08	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	4.000	11.41	08/20/20	12.12	...	-0.71	1 of 2 - Sale ²⁵
	1.000	2.85	08/20/20	3.03	...	-0.18	2 of 2 - Sale ²⁵
09/03/20	5.000	14.26	Various	15.15	...	-0.89	Total of 2 transactions
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
6 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	20.000	7.75	08/20/20	6.07	...	1.68	1 of 6 - Sale ²⁵
	10.000	3.87	08/25/20	3.15	...	0.72	2 of 6 - Sale ²⁵
	9.000	3.49	09/02/20	2.66	...	0.83	3 of 6 - Sale ²⁵
	10.000	3.87	09/02/20	3.00	...	0.87	4 of 6 - Sale ²⁵
	1.000	0.39	09/09/20	0.28	...	0.11	5 of 6 - Sale ²⁵
	19.000	7.35	09/16/20	4.11	...	3.24	6 of 6 - Sale ²⁵
09/24/20	69.000	26.72	Various	19.27	...	7.45	Total of 6 transactions
LIBERTY MEDIA CORPORATION SERI ES A LIBERTY SIRIUSXM COMMON S TOCK / CUSIP: 531229409 / Symbol:							
7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	35.56	06/10/20	37.38	...	-1.82	1 of 7 - Sale ²⁵
	1.000	35.56	06/11/20	35.12	...	0.44	2 of 7 - Sale ²⁵
	1.000	35.56	06/12/20	35.13	...	0.43	3 of 7 - Sale ²⁵
	1.000	35.56	06/19/20	35.65	...	-0.09	4 of 7 - Sale ²⁵
	1.000	35.56	06/24/20	34.21	...	1.35	5 of 7 - Sale ²⁵
	1.000	35.56	06/25/20	33.85	...	1.71	6 of 7 - Sale ²⁵
	1.000	35.56	06/26/20	32.81	...	2.75	7 of 7 - Sale ²⁵
07/16/20	7.000	248.92	Various	244.15	...	4.77	Total of 7 transactions
07/16/20	2.000	71.12	06/10/20	77.50	3.19 W	-3.19	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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LIBERTY MEDIA CORPORATION SERI ES A LIBERTY SIRIUSXM COMMON S TOCK / CUSIP: 531229409 / Symbol: (cont'd)							
08/14/20	1.000	36.17	07/13/20	36.78	...	-0.61	Sale ²⁵
Security total:		356.21		358.43	3.19 W	0.97	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
<i>4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	8.91	06/23/20	24.84	...	-15.93	1 of 4 - Sale ²⁵
	1.000	0.99	06/23/20	2.75	...	-1.76	2 of 4 - Sale ²⁵
	10.000	9.90	06/26/20	22.00	...	-12.10	3 of 4 - Sale ²⁵
	10.000	9.90	06/26/20	18.00	...	-8.10	4 of 4 - Sale ²⁵
06/29/20	30.000	29.70	Various	67.59	...	-37.89	Total of 4 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
09/03/20	5.000	13.17	08/20/20	13.45	0.28 W	0.00	Sale ²⁵
09/23/20	5.000	13.95	09/14/20	14.56	...	-0.61	Sale ²⁵
Security total:		27.12		28.01	0.28 W	-0.61	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
07/09/20	1.000	48.84	05/28/20	47.72	...	1.12	Sale ²⁵
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
07/16/20	4.000	13.88	06/11/20	13.68	...	0.20	Sale ²⁵
07/16/20	10.000	34.70	05/29/20	35.26	0.28 W	-0.28	Sale ²⁵
<i>9 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	15.50	08/12/20	17.42	...	-1.92	1 of 9 - Sale ²⁵
	2.000	6.20	08/21/20	6.68	...	-0.48	2 of 9 - Sale ²⁵
	5.000	15.50	08/21/20	15.90	...	-0.40	3 of 9 - Sale ²⁵
	2.000	6.20	08/21/20	6.42	...	-0.22	4 of 9 - Sale ²⁵
	1.000	3.10	08/25/20	3.13	...	-0.03	5 of 9 - Sale ²⁵
	4.000	12.40	08/26/20	12.52	...	-0.12	6 of 9 - Sale ²⁵
	5.000	15.50	08/31/20	15.73	...	-0.23	7 of 9 - Sale ²⁵
	5.000	15.50	09/01/20	15.10	...	0.40	8 of 9 - Sale ²⁵
	4.000	12.40	09/01/20	11.84	...	0.56	9 of 9 - Sale ²⁵
09/02/20	33.000	102.30	Various	104.74	...	-2.44	Total of 9 transactions
09/02/20	1.000	3.10	06/11/20	3.42	0.32 W	0.00	Sale ²⁵
09/03/20	1.000	3.02	09/01/20	3.28	...	-0.26	Sale ²⁵

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MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
Security total:		157.00		160.38		0.60 W	-2.78
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	0.035	16.86	07/16/20	16.67	...	0.19	1 of 3 - Sale ²⁵
	0.075	35.78	07/16/20	35.38	...	0.40	2 of 3 - Sale ²⁵
	1.000	480.36	07/16/20	479.24	...	1.12	3 of 3 - Sale ²⁵
07/23/20	1.110	533.00	Various	531.29	...	1.71	Total of 3 transactions
8 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.008	4.46	07/16/20	3.95	...	0.51	1 of 8 - Sale ²⁵
	0.104	55.51	08/10/20	50.00	...	5.51	2 of 8 - Sale ²⁵
	0.081	43.60	08/11/20	38.59	...	5.01	3 of 8 - Sale ²⁵
	0.106	56.62	08/11/20	50.00	...	6.62	4 of 8 - Sale ²⁵
	0.106	56.75	08/11/20	50.00	...	6.75	5 of 8 - Sale ²⁵
	0.130	69.37	08/11/20	61.41	...	7.96	6 of 8 - Sale ²⁵
	0.024	12.95	08/25/20	11.76	...	1.19	7 of 8 - Sale ²⁵
	0.041	22.02	08/25/20	20.00	...	2.02	8 of 8 - Sale ²⁵
09/03/20	0.600	321.28	Various	285.71	...	35.57	Total of 8 transactions
Security total:		854.28		817.00		37.28	
PANASONIC CORPORATION COMMON S TOCK / CUSIP: 69832A205 / Symbol:							
07/09/20	1.000	8.91	06/17/20	9.30	...	-0.39	Sale ²⁵
PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	5.73	08/14/20	7.98	...	-2.25	1 of 2 - Sale ²⁵
	1.000	5.73	08/18/20	7.09	...	-1.36	2 of 2 - Sale ²⁵
09/23/20	2.000	11.46	Various	15.07	...	-3.61	Total of 2 transactions
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
09/03/20	1.000	31.68	08/20/20	32.03	...	-0.35	Sale ²⁵
RACKSPACE TECHNOLOGY, INC. COM MON STOCK / CUSIP: 750102105 / Symbol:							
09/23/20	1.000	18.25	08/31/20	21.35	...	-3.10	Sale ²⁵

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SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
12 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	6.03	06/09/20	6.33	...	-0.30	1 of 12 - Sale ²⁵
	1.000	6.03	06/10/20	6.32	...	-0.29	2 of 12 - Sale ²⁵
	3.000	18.09	06/11/20	18.15	...	-0.06	3 of 12 - Sale ²⁵
	1.000	6.03	06/11/20	6.05	...	-0.02	4 of 12 - Sale ²⁵
	1.000	6.03	06/11/20	6.05	...	-0.02	5 of 12 - Sale ²⁵
	3.000	18.09	06/11/20	17.67	...	0.42	6 of 12 - Sale ²⁵
	2.000	12.06	06/12/20	11.68	...	0.38	7 of 12 - Sale ²⁵
	1.000	6.03	06/19/20	5.96	...	0.07	8 of 12 - Sale ²⁵
	1.000	6.03	06/22/20	5.93	...	0.10	9 of 12 - Sale ²⁵
	1.000	6.03	06/22/20	5.93	...	0.10	10 of 12 - Sale ²⁵
	1.000	6.03	06/23/20	5.90	...	0.13	11 of 12 - Sale ²⁵
	4.000	24.12	06/24/20	23.48	...	0.64	12 of 12 - Sale ²⁵
07/17/20	20.000	120.60	Various	119.45	...	1.15	Total of 12 transactions
07/17/20	10.000	60.30	06/08/20	65.00	4.70 W	0.00	Sale ²⁵
09/01/20	4.000	23.25	06/26/20	24.12	...	-0.87	Sale ²⁵
09/01/20	5.000	29.07	06/26/20	30.75	0.01 W	-1.67	Sale ²⁵
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.978	5.67	07/09/20	6.05	...	-0.38	1 of 3 - Sale ²⁵
	0.022	0.13	07/09/20	0.14	...	-0.01	2 of 3 - Sale ²⁵
	0.022	0.13	09/01/20	0.14	...	-0.01	3 of 3 - Sale ²⁵
09/03/20	1.022	5.93	Various	6.33	...	-0.40	Total of 3 transactions
	Security total:	239.15		245.65	4.71 W	-1.79	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
08/03/20	1.000	30.28	06/04/20	31.85	1.57 W	0.00	Sale ²⁵
08/06/20	2.000	60.62	07/29/20	57.00	...	3.62	Sale ²⁵
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	2.000	60.44	06/04/20	63.70	3.26 W	0.00	1 of 4 - Sale ²⁵
	1.000	30.31	07/16/20	32.69	2.38 W	0.00	2 of 4 - Sale ²⁵
	1.000	30.31	07/24/20	30.75	0.44 W	0.00	3 of 4 - Sale ²⁵
	1.000	30.31	07/27/20	30.63	0.32 W	0.00	4 of 4 - Sale ²⁵
08/06/20	5.000	151.37	Various	157.77	6.40 W	0.00	Total of 4 transactions

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	28.51	08/05/20	32.05	3.54 W	0.00	1 of 2 - Sale ²⁵
08/18/20	1.000	28.52	08/07/20	30.07	1.55 W	0.00	2 of 2 - Sale ²⁵
	2.000	57.03	Various	62.12	5.09 W	0.00	Total of 2 transactions
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	30.55	08/07/20	29.24	...	1.31	1 of 5 - Sale ²⁵
	1.000	30.55	08/10/20	31.68	...	-1.13	2 of 5 - Sale ²⁵
	1.000	30.55	08/10/20	29.47	...	1.08	3 of 5 - Sale ²⁵
	1.000	30.55	08/11/20	27.79	...	2.76	4 of 5 - Sale ²⁵
09/03/20	1.000	30.55	08/11/20	27.55	...	3.00	5 of 5 - Sale ²⁵
	5.000	152.75	Various	145.73	...	7.02	Total of 5 transactions
	Security total:	452.05		454.47	13.06 W	10.64	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
17 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	11.08	08/12/20	12.10	...	-1.02	1 of 17 - Sale ²⁵
	1.000	11.09	08/19/20	11.12	...	-0.03	2 of 17 - Sale ²⁵
	1.000	11.08	08/19/20	10.89	...	0.19	3 of 17 - Sale ²⁵
	1.000	11.09	08/21/20	10.29	...	0.80	4 of 17 - Sale ²⁵
	1.000	11.08	08/24/20	8.76	...	2.32	5 of 17 - Sale ²⁵
	1.000	11.09	08/24/20	8.23	...	2.86	6 of 17 - Sale ²⁵
	1.000	11.08	08/24/20	8.17	...	2.91	7 of 17 - Sale ²⁵
	2.000	22.17	08/25/20	15.62	...	6.55	8 of 17 - Sale ²⁵
	1.000	11.08	08/26/20	8.58	...	2.50	9 of 17 - Sale ²⁵
	1.000	11.09	08/26/20	8.36	...	2.73	10 of 17 - Sale ²⁵
	1.000	11.08	08/27/20	7.97	...	3.11	11 of 17 - Sale ²⁵
	1.000	11.09	08/27/20	7.93	...	3.16	12 of 17 - Sale ²⁵
	1.000	11.08	08/31/20	8.11	...	2.97	13 of 17 - Sale ²⁵
	1.000	11.09	09/01/20	7.60	...	3.49	14 of 17 - Sale ²⁵
	1.000	11.08	09/02/20	7.68	...	3.40	15 of 17 - Sale ²⁵
	1.000	11.09	09/02/20	7.24	...	3.85	16 of 17 - Sale ²⁵
	1.000	11.08	09/09/20	6.37	...	4.71	17 of 17 - Sale ²⁵
10/07/20	18.000	199.52	Various	155.02	...	44.50	Total of 17 transactions
10/07/20	1.000	11.09	08/11/20	13.24	2.15 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
10/15/20	1.000	9.98	09/09/20	8.52	...	1.46	Sale ²⁵
	Security total:	220.59		176.78	2.15 W	45.96	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
<i>5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	0.113	45.85	08/31/20	50.46	4.61 W	0.00	1 of 5 - Sale ²⁵
	0.887	360.46	08/31/20	395.54	35.08 W	0.00	2 of 5 - Sale ²⁵
	0.113	45.99	08/31/20	54.65	8.66 W	0.00	3 of 5 - Sale ²⁵
	0.887	360.46	08/31/20	392.25	31.79 W	0.00	4 of 5 - Sale ²⁵
	0.113	45.98	09/01/20	55.55	9.57 W	0.00	5 of 5 - Sale ²⁵
09/04/20	2.113	858.74	Various	948.45	89.71 W	0.00	Total of 5 transactions
<i>14 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.887	314.50	09/01/20	470.53	156.03 W	0.00	1 of 14 - Sale ²⁵
	0.113	40.12	09/01/20	63.42	23.30 W	0.00	2 of 14 - Sale ²⁵
	0.887	314.50	09/01/20	461.03	146.53 W	0.00	3 of 14 - Sale ²⁵
	0.113	40.12	09/01/20	63.58	23.46 W	0.00	4 of 14 - Sale ²⁵
	0.887	314.50	09/01/20	423.38	108.88 W	0.00	5 of 14 - Sale ²⁵
	1.000	354.62	09/02/20	478.00	123.38 W	0.00	6 of 14 - Sale ²⁵
	1.000	354.62	09/02/20	460.00	105.38 W	0.00	7 of 14 - Sale ²⁵
	1.000	354.62	09/02/20	450.00	95.38 W	0.00	8 of 14 - Sale ²⁵
	1.000	354.62	09/02/20	448.88	94.26 W	0.00	9 of 14 - Sale ²⁵
	0.113	40.13	09/02/20	50.68	10.55 W	0.00	10 of 14 - Sale ²⁵
	0.887	314.01	09/02/20	553.31	239.30 W	0.00	11 of 14 - Sale ²⁵
	0.113	40.06	09/02/20	73.04	32.98 W	0.00	12 of 14 - Sale ²⁵
	0.887	322.10	09/02/20	536.43	214.33 W	0.00	13 of 14 - Sale ²⁵
	0.113	41.09	09/02/20	73.58	32.49 W	0.00	14 of 14 - Sale ²⁵
09/09/20	9.000	3,199.61	Various	4,605.86	1,406.25 W	0.00	Total of 14 transactions
<i>8 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	0.887	331.05	09/02/20	501.76	170.71 W	0.00	1 of 8 - Sale ²⁵
	0.113	42.24	09/02/20	60.92	18.68 W	0.00	2 of 8 - Sale ²⁵
	0.887	324.66	09/02/20	477.54	152.88 W	0.00	3 of 8 - Sale ²⁵
	0.113	41.42	09/02/20	59.46	18.04 W	0.00	4 of 8 - Sale ²⁵
	0.410	151.53	09/02/20	215.47	63.94 W	0.00	5 of 8 - Sale ²⁵
	0.000	0.18	09/02/20	0.25	0.07 W	0.00	6 of 8 - Sale ²⁵

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Account 672049822

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2020 1099-B* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	4.79	09/03/20	6.74	1.95 W	0.00	7 of 8 - Sale ²⁵
	0.240	88.57	09/03/20	124.57	36.00 W	0.00	8 of 8 - Sale ²⁵
09/11/20	2.663	984.44	Various	1,446.71	462.27 W	0.00	Total of 8 transactions
	18 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.224	85.16	09/03/20	116.29	31.13 W	0.00	1 of 18 - Sale ²⁵
	0.536	204.14	09/03/20	273.40	69.26 W	0.00	2 of 18 - Sale ²⁵
	0.240	91.37	09/03/20	121.77	30.40 W	0.00	3 of 18 - Sale ²⁵
	0.015	5.75	09/03/20	7.54	1.79 W	0.00	4 of 18 - Sale ²⁵
	0.129	49.81	09/03/20	65.27	15.46 W	0.00	5 of 18 - Sale ²⁵
	0.080	31.04	09/03/20	40.83	9.79 W	0.00	6 of 18 - Sale ²⁵
	0.113	43.80	09/03/20	57.49	13.69 W	0.00	7 of 18 - Sale ²⁵
	0.663	256.70	09/04/20	314.06	57.36 W	0.00	8 of 18 - Sale ²⁵
	0.113	44.09	09/04/20	53.47	9.38 W	0.00	9 of 18 - Sale ²⁵
	0.224	87.17	09/04/20	105.95	18.78 W	0.00	10 of 18 - Sale ²⁵
	0.113	44.08	09/04/20	78.96	34.88 W	0.00	11 of 18 - Sale ²⁵
	0.550	214.30	09/04/20	371.92	157.62 W	0.00	12 of 18 - Sale ²⁵
	0.337	135.02	09/04/20	227.79	92.77 W	0.00	13 of 18 - Sale ²⁵
	0.663	265.80	09/04/20	430.00	164.20 W	0.00	14 of 18 - Sale ²⁵
	0.224	93.96	09/04/20	145.06	51.10 W	0.00	15 of 18 - Sale ²⁵
	0.113	47.52	09/04/20	78.51	30.99 W	0.00	16 of 18 - Sale ²⁵
	0.224	93.96	09/08/20	110.10	16.14 W	0.00	17 of 18 - Sale ²⁵
	0.439	184.56	09/08/20	211.87	27.31 W	0.00	18 of 18 - Sale ²⁵
09/14/20	5.000	1,978.23	Various	2,810.28	832.05 W	0.00	Total of 18 transactions
	5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	0.001	0.40	09/08/20	0.43	0.03 W	0.00	1 of 5 - Sale ²⁵
	0.015	6.53	09/08/20	7.04	0.51 W	0.00	2 of 5 - Sale ²⁵
	0.240	105.41	09/08/20	115.13	9.72 W	0.00	3 of 5 - Sale ²⁵
	0.097	42.52	09/08/20	46.69	4.17 W	0.00	4 of 5 - Sale ²⁵
	0.647	284.28	09/08/20	353.12	68.84 W	0.00	5 of 5 - Sale ²⁵
09/15/20	1.000	439.14	Various	522.41	83.27 W	0.00	Total of 5 transactions
	14 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	0.000	0.05	09/08/20	0.05	...	0.00	1 of 14 - Sale ²⁵
	0.000	0.07	09/08/20	0.06	...	0.01	2 of 14 - Sale ²⁵

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.147	66.32	09/08/20	62.95	...	3.37	3 of 14 - Sale ²⁵
	0.221	99.91	09/08/20	94.90	...	5.01	4 of 14 - Sale ²⁵
	0.296	133.74	09/08/20	125.51	...	8.23	5 of 14 - Sale ²⁵
	0.001	0.41	09/09/20	0.35	...	0.06	6 of 14 - Sale ²⁵
	0.015	6.73	09/09/20	5.75	...	0.98	7 of 14 - Sale ²⁵
	0.113	51.20	09/09/20	49.24	...	1.96	8 of 14 - Sale ²⁵
	0.224	101.24	09/09/20	97.59	...	3.65	9 of 14 - Sale ²⁵
	0.097	43.82	09/09/20	38.28	...	5.54	10 of 14 - Sale ²⁵
	0.224	101.24	09/09/20	94.95	...	6.29	11 of 14 - Sale ²⁵
	0.240	108.62	09/09/20	94.28	...	14.34	12 of 14 - Sale ²⁵
	0.439	198.86	09/09/20	182.12	...	16.74	13 of 14 - Sale ²⁵
	2.000	905.09	09/09/20	704.59	...	200.50	14 of 14 - Sale ²⁵
09/21/20	4.016	1,817.30	Various	1,550.62	...	266.68	Total of 14 transactions
	20 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	0.239	108.38	09/08/20	130.64	22.26 W	0.00	1 of 20 - Sale ²⁵
	0.000	0.22	09/08/20	0.24	0.02 W	0.00	2 of 20 - Sale ²⁵
	0.113	51.20	09/08/20	58.62	7.42 W	0.00	3 of 20 - Sale ²⁵
	0.113	51.20	09/08/20	57.98	6.78 W	0.00	4 of 20 - Sale ²⁵
	0.410	185.56	09/08/20	208.68	23.12 W	0.00	5 of 20 - Sale ²⁵
	0.887	401.34	09/08/20	465.93	64.59 W	0.00	6 of 20 - Sale ²⁵
	0.013	5.87	09/08/20	6.53	0.66 W	0.00	7 of 20 - Sale ²⁵
	0.240	108.45	09/08/20	120.59	12.14 W	0.00	8 of 20 - Sale ²⁵
	0.004	1.76	09/08/20	1.84	0.08 W	0.00	9 of 20 - Sale ²⁵
	0.046	20.99	09/08/20	21.49	0.50 W	0.00	10 of 20 - Sale ²⁵
	0.078	35.08	09/08/20	35.92	0.84 W	0.00	11 of 20 - Sale ²⁵
	0.080	36.28	09/08/20	37.31	1.03 W	0.00	12 of 20 - Sale ²⁵
	0.113	51.20	09/08/20	52.53	1.33 W	0.00	13 of 20 - Sale ²⁵
	0.113	51.20	09/09/20	74.74	23.54 W	0.00	14 of 20 - Sale ²⁵
	0.224	101.24	09/09/20	129.91	28.67 W	0.00	15 of 20 - Sale ²⁵
	0.337	152.44	09/09/20	211.44	59.00 W	0.00	16 of 20 - Sale ²⁵
	0.550	248.89	09/09/20	351.38	102.49 W	0.00	17 of 20 - Sale ²⁵
	0.663	300.10	09/09/20	397.82	97.72 W	0.00	18 of 20 - Sale ²⁵
	0.113	51.20	09/09/20	70.85	19.65 W	0.00	19 of 20 - Sale ²⁵

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.647	292.96	09/09/20	296.90	3.94 W	0.00	20 of 20 - Sale ²⁵
09/21/20	4.984	2,255.56	Various	2,731.34	475.78 W	0.00	Total of 20 transactions
	21 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	0.239	91.58	09/09/20	106.63	15.05 W	0.00	1 of 21 - Sale ²⁵
	0.113	43.27	09/09/20	47.28	4.01 W	0.00	2 of 21 - Sale ²⁵
	0.647	247.55	09/09/20	275.21	27.66 W	0.00	3 of 21 - Sale ²⁵
	0.031	11.79	09/21/20	16.19	4.40 W	0.00	4 of 21 - Sale ²⁵
	0.113	42.85	09/21/20	74.14	31.29 W	0.00	5 of 21 - Sale ²⁵
	0.239	90.70	09/21/20	122.16	31.46 W	0.00	6 of 21 - Sale ²⁵
	0.113	42.85	09/21/20	54.61	11.76 W	0.00	7 of 21 - Sale ²⁵
	0.647	245.18	09/21/20	317.18	72.00 W	0.00	8 of 21 - Sale ²⁵
	0.224	84.73	09/21/20	128.72	43.99 W	0.00	9 of 21 - Sale ²⁵
	0.337	127.58	09/21/20	209.65	82.07 W	0.00	10 of 21 - Sale ²⁵
	0.550	208.30	09/21/20	348.46	140.16 W	0.00	11 of 21 - Sale ²⁵
	0.663	251.15	09/21/20	394.30	143.15 W	0.00	12 of 21 - Sale ²⁵
	0.082	31.06	09/21/20	50.92	19.86 W	0.00	13 of 21 - Sale ²⁵
	0.031	12.32	09/21/20	19.33	7.01 W	0.00	14 of 21 - Sale ²⁵
	0.647	256.13	09/21/20	293.46	37.33 W	0.00	15 of 21 - Sale ²⁵
	0.031	12.32	09/21/20	18.33	6.01 W	0.00	16 of 21 - Sale ²⁵
	0.004	1.54	09/21/20	1.82	0.28 W	0.00	17 of 21 - Sale ²⁵
	0.046	18.35	09/21/20	21.24	2.89 W	0.00	18 of 21 - Sale ²⁵
	0.078	30.67	09/21/20	35.50	4.83 W	0.00	19 of 21 - Sale ²⁵
	0.080	31.72	09/21/20	36.89	5.17 W	0.00	20 of 21 - Sale ²⁵
	0.082	32.60	09/21/20	37.83	5.23 W	0.00	21 of 21 - Sale ²⁵
09/24/20	5.000	1,914.24	Various	2,609.85	695.61 W	0.00	Total of 21 transactions
	10 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	0.031	12.38	09/21/20	14.10	1.72 W	0.00	1 of 10 - Sale ²⁵
	0.000	0.19	09/21/20	0.23	0.04 W	0.00	2 of 10 - Sale ²⁵
	0.113	45.59	09/21/20	57.38	11.79 W	0.00	3 of 10 - Sale ²⁵
	0.208	83.95	09/21/20	108.35	24.40 W	0.00	4 of 10 - Sale ²⁵
	0.410	165.23	09/21/20	206.51	41.28 W	0.00	5 of 10 - Sale ²⁵
	0.013	5.23	09/21/20	6.46	1.23 W	0.00	6 of 10 - Sale ²⁵
	0.224	90.37	09/21/20	111.67	21.30 W	0.00	7 of 10 - Sale ²⁵
	0.015	6.22	09/21/20	7.65	1.43 W	0.00	8 of 10 - Sale ²⁵

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.985	398.91	09/21/20	440.36	41.45 W	0.00	9 of 10 - Sale ²⁵
	1.000	406.32	09/21/20	447.23	40.91 W	0.00	10 of 10 - Sale ²⁵
09/25/20	3.000	1,214.39	Various	1,399.94	185.55 W	0.00	Total of 10 transactions
09/28/20	1.000	426.00	09/21/20	447.23	21.23 W	0.00	Sale ²⁵
	7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	0.034	14.35	09/21/20	24.42	10.07 W	0.00	1 of 7 - Sale ²⁵
	0.079	33.77	09/21/20	57.47	23.70 W	0.00	2 of 7 - Sale ²⁵
	0.239	101.84	09/21/20	138.55	36.71 W	0.00	3 of 7 - Sale ²⁵
	0.113	48.11	09/21/20	62.35	14.24 W	0.00	4 of 7 - Sale ²⁵
	0.413	175.65	09/21/20	230.65	55.00 W	0.00	5 of 7 - Sale ²⁵
	0.031	13.24	09/21/20	13.93	0.69 W	0.00	6 of 7 - Sale ²⁵
	0.090	38.27	09/21/20	50.14	11.87 W	0.00	7 of 7 - Sale ²⁵
09/29/20	1.000	425.23	Various	577.51	152.28 W	0.00	Total of 7 transactions
	3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	0.144	61.68	09/21/20	77.40	15.72 W	0.00	1 of 3 - Sale ²⁵
	0.306	130.69	09/21/20	204.46	73.77 W	0.00	2 of 3 - Sale ²⁵
	0.550	235.11	09/21/20	374.00	138.89 W	0.00	3 of 3 - Sale ²⁵
09/30/20	1.000	427.48	Various	655.86	228.38 W	0.00	Total of 3 transactions
	4 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	0.031	13.93	09/23/20	19.57	5.64 W	0.00	1 of 4 - Sale ²⁵
	0.224	100.06	09/23/20	130.05	29.99 W	0.00	2 of 4 - Sale ²⁵
	0.663	296.58	09/23/20	398.26	101.68 W	0.00	3 of 4 - Sale ²⁵
	0.082	36.67	09/23/20	51.41	14.74 W	0.00	4 of 4 - Sale ²⁵
10/01/20	1.000	447.24	Various	599.29	152.05 W	0.00	Total of 4 transactions
	8 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	0.031	13.51	09/23/20	18.76	...	-5.25	1 of 8 - Sale ²⁵
	0.031	13.51	09/23/20	17.76	...	-4.25	2 of 8 - Sale ²⁵
	0.647	280.85	09/23/20	281.67	...	-0.82	3 of 8 - Sale ²⁵
	0.080	34.79	09/23/20	35.43	...	-0.64	4 of 8 - Sale ²⁵
	0.082	35.75	09/23/20	36.34	...	-0.59	5 of 8 - Sale ²⁵
	0.078	33.63	09/23/20	34.09	...	-0.46	6 of 8 - Sale ²⁵
	0.046	20.12	09/23/20	20.39	...	-0.27	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
10/09/20	0.004	1.68	09/23/20	1.74	...	-0.06	8 of 8 - Sale ²⁵
	1.000	433.84	Various	446.18	...	-12.34	Total of 8 transactions
	7 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	0.208	93.05	09/24/20	98.80	...	-5.75	1 of 7 - Sale ²⁵
	0.410	183.13	09/24/20	187.71	...	-4.58	2 of 7 - Sale ²⁵
	0.113	50.53	09/24/20	52.19	...	-1.66	3 of 7 - Sale ²⁵
	0.224	100.16	09/24/20	101.39	...	-1.23	4 of 7 - Sale ²⁵
	0.013	5.79	09/24/20	5.86	...	-0.07	5 of 7 - Sale ²⁵
	0.000	0.21	09/24/20	0.21	...	0.00	6 of 7 - Sale ²⁵
	0.031	13.72	09/24/20	12.69	...	1.03	7 of 7 - Sale ²⁵
10/13/20	1.000	446.59	Various	458.85	...	-12.26	Total of 7 transactions
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.015	6.99	09/24/20	6.92	...	0.07	1 of 2 - Sale ²⁵
	0.985	448.17	09/24/20	372.53	...	75.64	2 of 2 - Sale ²⁵
10/14/20	1.000	455.16	Various	379.45	...	75.71	Total of 2 transactions
	13 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	0.550	250.02	09/24/20	333.20	...	-83.18	1 of 13 - Sale ²⁵
	0.306	138.98	09/24/20	181.78	...	-42.80	2 of 13 - Sale ²⁵
	0.079	36.10	09/24/20	51.76	...	-15.66	3 of 13 - Sale ²⁵
	0.413	187.78	09/24/20	200.94	...	-13.16	4 of 13 - Sale ²⁵
	0.239	108.87	09/24/20	121.32	...	-12.45	5 of 13 - Sale ²⁵
	0.034	15.34	09/24/20	21.99	...	-6.65	6 of 13 - Sale ²⁵
	0.113	51.43	09/24/20	54.21	...	-2.78	7 of 13 - Sale ²⁵
	0.090	40.91	09/24/20	43.67	...	-2.76	8 of 13 - Sale ²⁵
	0.031	14.16	09/24/20	16.64	...	-2.48	9 of 13 - Sale ²⁵
	0.144	65.59	09/24/20	66.70	...	-1.11	10 of 13 - Sale ²⁵
	0.015	6.98	09/24/20	5.81	...	1.17	11 of 13 - Sale ²⁵
	0.985	447.60	09/24/20	393.07	...	54.53	12 of 13 - Sale ²⁵
	1.000	454.59	09/24/20	398.02	...	56.57	13 of 13 - Sale ²⁵
10/16/20	4.000	1,818.35	Various	1,889.11	...	-70.76	Total of 13 transactions
	Security total:	19,541.54		24,078.94	4,784.43 W	247.03	

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
<i>18 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.78	06/29/20	28.95	...	25.83	1 of 18 - Sale ²⁵
	1.000	54.78	06/30/20	27.26	...	27.52	2 of 18 - Sale ²⁵
	0.711	38.96	07/01/20	18.45	...	20.51	3 of 18 - Sale ²⁵
	0.289	15.82	07/07/20	7.10	...	8.72	4 of 18 - Sale ²⁵
	1.000	54.78	07/07/20	25.28	...	29.50	5 of 18 - Sale ²⁵
	1.000	54.78	07/07/20	23.87	...	30.91	6 of 18 - Sale ²⁵
	1.000	54.78	07/07/20	23.74	...	31.04	7 of 18 - Sale ²⁵
	1.000	54.78	07/09/20	26.40	...	28.38	8 of 18 - Sale ²⁵
	1.000	54.78	07/13/20	25.94	...	28.84	9 of 18 - Sale ²⁵
	2.000	109.56	07/13/20	51.45	...	58.11	10 of 18 - Sale ²⁵
	5.000	273.90	07/13/20	120.35	...	153.55	11 of 18 - Sale ²⁵
	5.000	273.90	07/13/20	115.95	...	157.95	12 of 18 - Sale ²⁵
	2.000	109.56	07/15/20	46.30	...	63.26	13 of 18 - Sale ²⁵
	5.000	273.90	07/20/20	109.15	...	164.75	14 of 18 - Sale ²⁵
	3.000	164.34	07/22/20	65.07	...	99.27	15 of 18 - Sale ²⁵
	5.000	273.90	07/23/20	102.35	...	171.55	16 of 18 - Sale ²⁵
	5.000	273.91	07/23/20	99.90	...	174.01	17 of 18 - Sale ²⁵
	5.000	273.90	08/07/20	97.25	...	176.65	18 of 18 - Sale ²⁵
09/09/20	45.000	2,465.11	Various	1,014.76	...	1,450.35	Total of 18 transactions
TURTLE BEACH CORPORATION COMMO N STOCK / CUSIP: 900450206 / Symbol:							
<i>3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	17.90	05/26/20	11.30	...	6.60	1 of 3 - Sale ²⁵
	1.000	17.91	05/28/20	10.91	...	7.00	2 of 3 - Sale ²⁵
	1.000	17.90	05/29/20	11.00	...	6.90	3 of 3 - Sale ²⁵
09/03/20	3.000	53.71	Various	33.21	...	20.50	Total of 3 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
<i>2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	34.46	09/02/20	33.10	...	1.36	1 of 2 - Sale ²⁵
	1.000	17.23	09/04/20	15.74	...	1.49	2 of 2 - Sale ²⁵
09/08/20	3.000	51.69	Various	48.84	...	2.85	Total of 2 transactions

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	17.23	08/31/20	17.87	0.64 W	0.00	1 of 4 - Sale ²⁵
	1.000	17.23	09/01/20	18.00	0.77 W	0.00	2 of 4 - Sale ²⁵
	3.000	51.69	09/01/20	52.71	1.02 W	0.00	3 of 4 - Sale ²⁵
	3.000	51.69	09/02/20	52.80	1.11 W	0.00	4 of 4 - Sale ²⁵
09/08/20	8.000	137.84	Various	141.38	3.54 W	0.00	Total of 4 transactions
7 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	21.49	09/04/20	16.64	...	4.85	1 of 7 - Sale ²⁵
	1.000	21.49	09/09/20	18.10	...	3.39	2 of 7 - Sale ²⁵
	1.000	21.49	09/09/20	17.70	...	3.79	3 of 7 - Sale ²⁵
	3.000	64.47	09/09/20	53.01	...	11.46	4 of 7 - Sale ²⁵
	1.000	21.49	09/15/20	17.57	...	3.92	5 of 7 - Sale ²⁵
	1.000	21.49	09/17/20	17.29	...	4.20	6 of 7 - Sale ²⁵
	1.000	21.49	09/23/20	16.06	...	5.43	7 of 7 - Sale ²⁵
10/14/20	9.000	193.41	Various	156.37	...	37.04	Total of 7 transactions
10/15/20	1.000	21.00	09/23/20	16.06	...	4.94	Sale ²⁵
	Security total:	403.94		362.65	3.54 W	44.83	
WALMART INC. / CUSIP: 931142103 / Symbol:							
06/26/20	1.000	119.78	05/27/20	121.61	...	-1.83	Sale ²⁵
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
13 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	9.000	5.41	08/25/20	6.30	...	-0.89	1 of 13 - Sale ²⁵
	2.000	1.20	08/28/20	1.38	...	-0.18	2 of 13 - Sale ²⁵
	1.000	0.60	08/28/20	0.69	...	-0.09	3 of 13 - Sale ²⁵
	5.000	3.01	08/31/20	3.41	...	-0.40	4 of 13 - Sale ²⁵
	2.000	1.20	08/31/20	1.37	...	-0.17	5 of 13 - Sale ²⁵
	5.000	3.01	09/01/20	3.33	...	-0.32	6 of 13 - Sale ²⁵
	3.000	1.81	09/01/20	2.01	...	-0.20	7 of 13 - Sale ²⁵
	2.000	1.20	09/01/20	1.34	...	-0.14	8 of 13 - Sale ²⁵
	7.000	4.20	09/22/20	5.09	...	-0.89	9 of 13 - Sale ²⁵
	1.000	0.60	09/22/20	0.73	...	-0.13	10 of 13 - Sale ²⁵
	1.000	0.60	09/22/20	0.73	...	-0.13	11 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol: (cont'd)							
	1.000	0.60	09/22/20	0.72	...	-0.12	12 of 13 - Sale ²⁵
	9.000	5.40	10/14/20	6.16	...	-0.76	13 of 13 - Sale ²⁵
10/16/20	48.000	28.84	Various	33.26	...	-4.42	Total of 13 transactions
	2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	18.000	10.83	08/20/20	12.76	1.82 W	-0.11	1 of 2 - Sale ²⁵
	3.000	1.81	08/20/20	2.13	0.32 W	0.00	2 of 2 - Sale ²⁵
10/16/20	21.000	12.64	Various	14.89	2.14 W	-0.11	Total of 2 transactions
11/02/20	1.000	0.56	10/14/20	0.68	...	-0.12	Sale ²⁵
	Security total:	42.04		48.83	2.14 W	-4.65	
WASTE MANAGEMENT, INC. / CUSIP: 94106L109 / Symbol:							
09/03/20	1.000	113.63	06/12/20	103.00	...	10.63	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/30/20	1.000	16.08	07/13/20	15.97	...	0.11	Sale ²⁵
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
	8 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	40.000	10.65	08/20/20	10.79	...	-0.14	1 of 8 - Sale ²⁵
	10.000	2.66	08/25/20	2.55	...	0.11	2 of 8 - Sale ²⁵
	20.000	5.33	08/26/20	4.95	...	0.38	3 of 8 - Sale ²⁵
	1.000	0.27	08/31/20	0.25	...	0.02	4 of 8 - Sale ²⁵
	9.000	2.40	08/31/20	2.24	...	0.16	5 of 8 - Sale ²⁵
	10.000	2.66	08/31/20	2.47	...	0.19	6 of 8 - Sale ²⁵
	10.000	2.66	09/01/20	2.43	...	0.23	7 of 8 - Sale ²⁵
	49.000	13.04	09/09/20	11.02	...	2.02	8 of 8 - Sale ²⁵
10/07/20	149.000	39.67	Various	36.70	...	2.97	Total of 8 transactions
11/03/20	1.000	0.05	09/09/20	0.22	...	-0.17	Sale ²⁵
	Security total:	39.72		36.92	...	2.80	
AUDIOCODES LTD. COMMON STOCK / CUSIP: M15342104 / Symbol:							
09/24/20	1.000	30.13	06/05/20	32.97	0.01 W	-2.83	Sale ²⁵
10/16/20	0.003	0.11	09/02/20	0.11	...	0.00	Sale ²⁵
	Security total:	30.24		33.08	0.01 W	-2.83	

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Robinhood Securities LLC

Account 672049822

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
<i>4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	11.90	08/14/20	13.00	...	-1.10	1 of 4 - Sale ²⁵
	10.000	11.90	08/18/20	12.59	...	-0.69	2 of 4 - Sale ²⁵
	10.000	11.90	08/19/20	11.60	...	0.30	3 of 4 - Sale ²⁵
	10.000	11.90	08/20/20	10.90	...	1.00	4 of 4 - Sale ²⁵
09/03/20	40.000	47.60	Various	48.09	...	-0.49	Total of 4 transactions
Totals :		54,318.01		58,373.21	6,871.41 W	2,816.21	

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Robinhood Securities LLC

Account 672049822

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol: 10/05/20	0.030	0.22	09/16/20	0.22	...	0.00	Gain or loss
Totals:		0.22		0.22	...	0.00	

Robinhood Securities LLC

Account 672049822

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ADT INC.	00090Q103		07/02/20	0.04	Qualified dividend	
			10/02/20	0.04	Qualified dividend	
				0.08	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		11/12/20	1.03	Qualified dividend	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		08/31/20	0.13	Qualified dividend	
WASTE MANAGEMENT, INC.	94106L109		09/18/20	0.55	Qualified dividend	
AUDIOCODES LTD. COMMON STOCK	M15342104		09/01/20	0.14	Nonqualified dividend	
			09/01/20	-0.04	Foreign tax withheld-IS	
				0.14	Total Dividends & distributions	
				-0.04	Total Foreign tax withheld	
				1.93	Total Dividends & distributions	
				-0.04	Total Foreign tax withheld	

Robinhood Securities LLC

Account 672049822

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		08/26/20	0.14	Interest	
		08/31/20	0.07	Interest	
		10/30/20	0.49	Interest	
		11/30/20	0.98	Interest	
		12/31/20	0.12	Interest	
			1.80	Total Interest	
			1.80	Total Interest	

Robinhood Securities LLC

Account 672049822

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
OCCIDENTAL PETROLEUM CORPORATI ON	674599105	10/15/20	0.05	Substitute payment	
			0.05	Total Miscellaneous income	

Robinhood Securities LLC

Account 672049822

Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	08/19/20	1.53	Partnership distribution	
			1.53	Total Partnership distribution	

Robinhood Securities LLC

Account 672049822

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/13/20	-5.00	Margin interest paid	
		08/10/20	-5.00	Margin interest paid	
		09/09/20	-1.77	Margin interest paid	
		09/09/20	-5.00	Margin interest paid	
		10/09/20	-5.00	Margin interest paid	
		10/09/20	-9.87	Margin interest paid	
		11/06/20	-0.24	Margin interest paid	
			-31.88	Total Margin interest paid	
			-31.88	Total Margin interest paid	

Robinhood Securities LLC

Account 672049822

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
IS	AUDIOCODES LTD. COMMON STOCK	M15342104	0.14	0.00		0.00	0.14	-0.04
Total ISRAEL			0.14	0.00		0.00	0.14	-0.04
Grand Total	Total Foreign Source Income*			0.14				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax							-0.04

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 672049822

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
HYLIION HOLDINGS CORP.	449109107	10/02/20	45.00	0.00	Merger	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK	89154L100	10/02/20	-45.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.