

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 107427221	Statement Date: 02/14/2021 Document ID: 739Y CBW CD17	2020
	Krishna Myneni 3295 Brookdale Dr 11 Santa Clara, CA 95051 RECIPIENT'S TIN: XXX-XX-9158		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 97.29</p> <p>1b- Qualified dividends 97.29</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 2.88</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	605,755.33	601,514.35	0.00	11,625.02	15,866.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.23	1.41	0.00	0.00	-0.18
	Total Short-term	605,756.56	601,515.76	0.00	11,625.02	15,865.82
Long	D (basis reported to the IRS)	38,589.49	14,820.96	0.00	0.00	23,768.53
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	38,589.49	14,820.96	0.00	0.00	23,768.53
Undetermined	B or E (basis not reported to the IRS)	3.02	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	3.02	0.00	0.00	0.00	0.00
	Grand total	644,349.07	616,336.72	0.00	11,625.02	39,634.35
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 107427221

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	35.90
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	50.63
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	3.02
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 05/15/2020 PUT \$5.50 / CUSIP: / Symbol:							
05/12/20	1.000	94.98	05/11/20	70.00	...	24.98	Option sale to close-put ²⁵
AMD 05/01/2020 CALL \$65.00 / CUSIP: / Symbol:							
04/29/20	1.000	3.98	04/28/20	34.00	...	-30.02	Option sale to close-call ²⁵
AMD 05/15/2020 PUT \$50.00 / CUSIP: / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	1.000	249.98	04/14/20	246.00	...	3.98	1 of 2 - Option sale to close-put ²⁵
	1.000	249.98	04/14/20	246.00	...	3.98	2 of 2 - Option sale to close-put ²⁵
04/14/20	2.000	499.96	Various	492.00	...	7.96	Total of 2 transactions
AMD 06/18/2021 CALL \$100.00 / CUSIP: / Symbol:							
11/25/20	1.000	844.97	08/07/20	1,245.00	400.03 W	0.00	Option sale to close-call ²⁵

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Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/21/2020 CALL \$60.00 / CUSIP: / Symbol:							
07/24/20	1.000	804.97	06/25/20	177.00	...	627.97	Option sale to close-call ²⁵
08/04/20	1.000	2,229.94	06/25/20	177.00	...	2,052.94	Option sale to close-call ²⁵
	Security total:	3,034.91		354.00	...	2,680.91	
APT 04/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	64.98	04/14/20	30.00	...	34.98	1 of 2 - Option sale to close-call ²⁵
	9.000	584.96	04/14/20	270.00	...	314.96	2 of 2 - Option sale to close-call ²⁵
04/15/20	10.000	649.94	Various	300.00	...	349.94	Total of 2 transactions
ATVI 04/17/2020 CALL \$62.00 / CUSIP: / Symbol:							
04/14/20	1.000	179.98	04/13/20	134.00	...	45.98	Option sale to close-call ²⁵
ATVI 08/14/2020 CALL \$89.00 / CUSIP: / Symbol:							
08/11/20	1.000	7.98	08/04/20	320.00	...	-312.02	Option sale to close-call ²⁵
BA 01/21/2022 CALL \$155.00 / CUSIP: / Symbol:							
10/06/20	1.000	4,799.88	09/24/20	3,465.00	...	1,334.88	Option sale to close-call ²⁵
BA 04/17/2020 PUT \$125.00 / CUSIP: / Symbol:							
04/03/20	2.000	2,569.93	04/02/20	2,340.00	...	229.93	Option sale to close-put ²⁵
BA 05/08/2020 PUT \$120.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	05/06/20	213.00	...	-213.00	Option expiration- long put
BA 06/19/2020 PUT \$180.00 / CUSIP: / Symbol:							
06/09/20	1.000	198.98	06/08/20	140.00	...	58.98	Option sale to close-put ²⁵
BA 09/18/2020 CALL \$182.50 / CUSIP: / Symbol:							
<i>4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	15.99	09/15/20	260.02	...	-244.03	1 of 4 - Option sale to close-call ²⁵
	1.000	4.00	09/15/20	65.02	...	-61.02	2 of 4 - Option sale to close-call ²⁵
	1.000	4.00	09/15/20	65.02	...	-61.02	3 of 4 - Option sale to close-call ²⁵
	1.000	3.99	09/15/20	60.01	...	-56.02	4 of 4 - Option sale to close-call ²⁵
09/18/20	7.000	27.98	Various	450.07	...	-422.09	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 09/18/2020 CALL \$182.50 / CUSIP: / Symbol: (cont'd)							
4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	7.98	09/15/20	80.00	36.01 W	-36.01	1 of 4 - Option sale to close-call ²⁵
	4.000	15.98	09/15/20	160.00	144.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	3.98	09/15/20	40.00	36.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	3.98	09/15/20	40.00	36.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
09/18/20	8.000	31.92	Various	320.00	252.07 W	-36.01	Total of 4 transactions
	Security total:	59.90		770.07	252.07 W	-458.10	
BA 10/23/2020 CALL \$180.00 / CUSIP: / Symbol:							
10/23/20	1.000	0.00	10/22/20	18.00	...	-18.00	Option expiration- long call
BA 12/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
12/09/20	1.000	3,149.92	11/17/20	1,635.00	...	1,514.92	Option sale to close-call ²⁵
BABA 10/23/2020 CALL \$315.00 / CUSIP: / Symbol:							
10/23/20	1.000	0.00	10/19/20	289.00	...	-289.00	Option expiration- long call
BYND 05/01/2020 CALL \$110.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/30/20	133.00	...	-133.00	Option expiration- long call
BYND 06/05/2020 CALL \$138.00 / CUSIP: / Symbol:							
06/05/20	1.000	35.98	06/04/20	235.00	...	-199.02	Option sale to close-call ²⁵
C 10/09/2020 CALL \$45.00 / CUSIP: / Symbol:							
10/09/20	1.000	15.98	10/08/20	31.00	...	-15.02	Option sale to close-call ²⁵
CAT 05/01/2020 CALL \$118.00 / CUSIP: / Symbol:							
04/29/20	1.000	127.98	04/28/20	109.00	...	18.98	Option sale to close-call ²⁵
CCL 04/17/2020 PUT \$20.00 / CUSIP: / Symbol:							
03/13/20	2.000	1,359.95	03/12/20	1,000.00	...	359.95	Option sale to close-put ²⁵
CCL 05/22/2020 CALL \$16.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/21/20	10.00	...	-10.00	Option expiration- long call
CCL 06/19/2020 CALL \$22.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/17/20	10.00	...	-10.00	Option expiration- long call

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Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 09/18/2020 CALL \$17.50 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	07/10/20	204.00	...	-204.00	Option expiration- long call
CME 06/05/2020 CALL \$190.00 / CUSIP: / Symbol:							
06/05/20	1.000	99.98	06/03/20	40.00	...	59.98	Option sale to close-call ²⁵
CRM 02/28/2020 CALL \$200.00 / CUSIP: / Symbol:							
02/18/20	2.000	379.98	02/18/20	342.00	...	37.98	Option sale to close-call ²⁵
	3 transactions for 02/26/20. Total proceeds and cost reported to the IRS.						
	1.000	1.98	02/24/20	433.04	...	-431.06	1 of 3 - Option sale to close-call ²⁵
	1.000	1.98	02/24/20	264.02	...	-262.04	2 of 3 - Option sale to close-call ²⁵
	1.000	1.98	02/24/20	264.02	...	-262.04	3 of 3 - Option sale to close-call ²⁵
02/26/20	3.000	5.94	Various	961.08	...	-955.14	Total of 3 transactions
	4 transactions for 02/26/20. Total proceeds and cost reported to the IRS.						
	1.000	1.98	02/18/20	171.00	169.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	1.98	02/18/20	295.02	293.04 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	1.98	02/18/20	126.00	124.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	1.98	02/18/20	126.00	124.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
02/26/20	4.000	7.92	Various	718.02	710.10 W	0.00	Total of 4 transactions
	Security total:	393.84		2,021.10	710.10 W	-917.16	
DIS 03/20/2020 CALL \$92.00 / CUSIP: / Symbol:							
03/16/20	3.000	1,979.94	03/16/20	1,905.00	...	74.94	Option sale to close-call ²⁵
DIS 03/20/2020 CALL \$95.00 / CUSIP: / Symbol:							
03/16/20	10.000	5,749.85	03/16/20	5,700.00	...	49.85	Option sale to close-call ²⁵
DIS 04/17/2020 PUT \$90.00 / CUSIP: / Symbol:							
	8 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	1.000	844.98	03/12/20	785.00	...	59.98	1 of 8 - Option sale to close-put ²⁵
	1.000	844.98	03/12/20	730.00	...	114.98	2 of 8 - Option sale to close-put ²⁵
	1.000	844.98	03/13/20	840.00	...	4.98	3 of 8 - Option sale to close-put ²⁵
	1.000	844.98	03/13/20	840.00	...	4.98	4 of 8 - Option sale to close-put ²⁵
	1.000	844.98	03/13/20	785.00	...	59.98	5 of 8 - Option sale to close-put ²⁵
	1.000	844.97	03/13/20	730.00	...	114.97	6 of 8 - Option sale to close-put ²⁵
	1.000	869.97	03/16/20	860.00	...	9.97	7 of 8 - Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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DIS 04/17/2020 PUT \$90.00 / CUSIP: / Symbol: (cont'd)							
	6.000	5,579.85	03/16/20	5,430.00	...	149.85	8 of 8 - Option sale to close-put ²⁵
03/16/20	13.000	11,519.69	Various	11,000.00	...	519.69	Total of 8 transactions
	10 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	2.000	1,689.96	03/12/20	1,830.00	140.04 W	0.00	1 of 10 - Option sale to close-put ²⁵
	2.000	1,689.96	03/13/20	1,770.00	80.04 W	0.00	2 of 10 - Option sale to close-put ²⁵
	1.000	869.98	03/16/20	930.02	60.04 W	0.00	3 of 10 - Option sale to close-put ²⁵
	1.000	869.98	03/16/20	930.02	60.04 W	0.00	4 of 10 - Option sale to close-put ²⁵
	1.000	869.98	03/16/20	900.02	30.04 W	0.00	5 of 10 - Option sale to close-put ²⁵
	1.000	869.98	03/16/20	900.02	30.04 W	0.00	6 of 10 - Option sale to close-put ²⁵
	1.000	929.98	03/16/20	965.04	35.06 W	0.00	7 of 10 - Option sale to close-put ²⁵
	1.000	929.98	03/16/20	965.04	35.06 W	0.00	8 of 10 - Option sale to close-put ²⁵
	1.000	929.98	03/16/20	935.04	5.06 W	0.00	9 of 10 - Option sale to close-put ²⁵
	1.000	929.98	03/16/20	935.04	5.06 W	0.00	10 of 10 - Option sale to close-put ²⁵
03/16/20	12.000	10,579.76	Various	11,060.24	480.48 W	0.00	Total of 10 transactions
	7 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	939.96	03/16/20	960.06	...	-20.10	1 of 7 - Option sale to close-put ²⁵
	1.000	939.96	03/16/20	960.06	...	-20.10	2 of 7 - Option sale to close-put ²⁵
	1.000	939.96	03/16/20	930.06	...	9.90	3 of 7 - Option sale to close-put ²⁵
	1.000	939.96	03/16/20	930.06	...	9.90	4 of 7 - Option sale to close-put ²⁵
	1.000	939.96	03/16/20	925.00	...	14.96	5 of 7 - Option sale to close-put ²⁵
	1.000	939.96	03/16/20	925.00	...	14.96	6 of 7 - Option sale to close-put ²⁵
	4.000	3,759.90	03/16/20	3,700.00	...	59.90	7 of 7 - Option sale to close-put ²⁵
03/17/20	10.000	9,399.66	Various	9,330.24	...	69.42	Total of 7 transactions
	Security total:	31,499.11		31,390.48	480.48 W	589.11	
FB 04/17/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/13/20	2.000	177.98	04/13/20	150.00	...	27.98	Option sale to close-call ²⁵
FB 10/30/2020 CALL \$300.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	236.00	...	-236.00	Option expiration- long call
HD 02/28/2020 CALL \$255.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/24/20	65.00	...	-65.00	Option expiration- long call

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HTZ 06/12/2020 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/08/20	60.00	...	-60.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/09/20	30.00	...	-30.00	2 of 2 - Option expiration- long call
06/12/20	2.000	0.00	Various	90.00	...	-90.00	Total of 2 transactions
IWM 05/01/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
04/29/20	5.000	309.98	04/14/20	280.00	...	29.98	Option sale to close-call ²⁵
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	04/14/20	224.00	...	-224.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/14/20	56.00	...	-56.00	2 of 2 - Option expiration- long call
05/01/20	5.000	0.00	Various	280.00	...	-280.00	Total of 2 transactions
	Security total:	309.98		560.00	...	-250.02	
IWM 05/01/2020 PUT \$130.00 / CUSIP: / Symbol:							
05/01/20	1.000	381.98	04/28/20	272.00	...	109.98	Option sale to close-put ²⁵
IWM 07/17/2020 CALL \$142.00 / CUSIP: / Symbol:							
06/05/20	2.000	2,389.93	05/29/20	1,050.00	...	1,339.93	Option sale to close-call ²⁵
06/11/20	2.000	969.96	05/29/20	1,050.00	...	-80.04	Option sale to close-call ²⁵
	Security total:	3,359.89		2,100.00	...	1,259.89	
IWM 07/17/2020 CALL \$155.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	5.99	06/12/20	156.00	...	-150.01	1 of 3 - Option sale to close-call ²⁵
	1.000	6.00	06/26/20	184.02	...	-178.02	2 of 3 - Option sale to close-call ²⁵
	1.000	5.99	06/26/20	34.00	...	-28.01	3 of 3 - Option sale to close-call ²⁵
07/16/20	3.000	17.98	Various	374.02	...	-356.04	Total of 3 transactions
07/16/20	1.000	5.98	06/12/20	156.00	150.02 W	0.00	Option sale to close-call ²⁵
	Security total:	23.96		530.02	150.02 W	-356.04	
IWM 10/23/2020 PUT \$160.00 / CUSIP: / Symbol:							
10/22/20	1.000	58.98	10/09/20	231.00	...	-172.02	Option sale to close-put ²⁵

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(continued)

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LK 04/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
04/02/20	50.000	499.88	04/02/20	250.00	...	249.88	Option sale to close-call ²⁵
04/17/20	50.000	0.00	04/02/20	250.00	...	-250.00	Option expiration- long call
	Security total:	499.88		500.00	...	-0.12	
LL 02/28/2020 CALL \$12.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	0.00	02/21/20	400.00	...	-400.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/24/20	35.00	...	-35.00	2 of 2 - Option expiration- long call
02/28/20	6.000	0.00	Various	435.00	...	-435.00	Total of 2 transactions
LULU 04/17/2020 CALL \$210.00 / CUSIP: / Symbol:							
04/14/20	5.000	1,049.96	04/14/20	1,040.00	...	9.96	Option sale to close-call ²⁵
MSFT 01/15/2021 CALL \$200.00 / CUSIP: / Symbol:							
	<i>3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	524.99	02/21/20	950.00	...	-425.01	1 of 3 - Option sale to close-call ²⁵
	1.000	524.98	03/11/20	470.00	...	54.98	2 of 3 - Option sale to close-call ²⁵
	2.000	1,049.97	03/23/20	480.00	...	569.97	3 of 3 - Option sale to close-call ²⁵
03/31/20	4.000	2,099.94	Various	1,900.00	...	199.94	Total of 3 transactions
MSFT 01/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
01/13/20	1.000	4.98	08/05/19	46.00	...	-41.02	Option sale to close-call ²⁵
	<i>2 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>						
	9.000	0.00	08/05/19	414.00	...	-414.00	1 of 2 - Option expiration- long call
	5.000	0.00	08/05/19	205.00	...	-205.00	2 of 2 - Option expiration- long call
01/17/20	14.000	0.00	Various	619.00	...	-619.00	Total of 2 transactions
	Security total:	4.98		665.00	...	-660.02	
MSFT 02/28/2020 CALL \$190.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/13/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	150.98	02/13/20	194.00	...	-43.02	1 of 2 - Option sale to close-call ²⁵
	1.000	150.98	02/13/20	194.00	...	-43.02	2 of 2 - Option sale to close-call ²⁵
02/13/20	2.000	301.96	Various	388.00	...	-86.04	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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NCLH 07/17/2020 PUT \$15.00 / CUSIP: / Symbol:							
06/15/20	1.000	99.98	06/12/20	125.00	...	-25.02	Option sale to close-put ²⁵
NIO 07/17/2020 PUT \$11.00 / CUSIP: / Symbol:							
07/09/20	1.000	40.98	07/07/20	85.00	...	-44.02	Option sale to close-put ²⁵
NIO 08/07/2020 CALL \$14.00 / CUSIP: / Symbol:							
07/30/20	1.000	28.98	07/08/20	287.00	...	-258.02	Option sale to close-call ²⁵
NIO 10/16/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	290.98	10/06/20	99.00	...	191.98	1 of 2 - Option sale to close-call ²⁵
	1.000	290.98	10/06/20	99.00	...	191.98	2 of 2 - Option sale to close-call ²⁵
10/14/20	2.000	581.96	Various	198.00	...	383.96	Total of 2 transactions
NVDA 01/15/2021 CALL \$420.00 / CUSIP: / Symbol:							
4 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	814.98	02/20/20	1,345.00	...	-530.02	1 of 4 - Option sale to close-call ²⁵
	1.000	814.98	02/21/20	1,240.00	...	-425.02	2 of 4 - Option sale to close-call ²⁵
	1.000	814.98	02/24/20	790.00	...	24.98	3 of 4 - Option sale to close-call ²⁵
	3.000	2,444.94	03/11/20	1,365.00	...	1,079.94	4 of 4 - Option sale to close-call ²⁵
04/16/20	6.000	4,889.88	Various	4,740.00	...	149.88	Total of 4 transactions
NVDA 04/17/2020 PUT \$250.00 / CUSIP: / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1,564.96	03/26/20	1,560.00	...	4.96	1 of 2 - Option sale to close-put ²⁵
	1.000	1,564.96	03/26/20	1,550.00	...	14.96	2 of 2 - Option sale to close-put ²⁵
03/27/20	2.000	3,129.92	Various	3,110.00	...	19.92	Total of 2 transactions
03/30/20	1.000	1,599.95	03/27/20	1,535.00	...	64.95	Option sale to close-put ²⁵
	Security total:	4,729.87		4,645.00	...	84.87	
PEP 05/22/2020 CALL \$136.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/21/20	10.00	...	-10.00	Option expiration- long call

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PYPL 05/29/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/20/20	1.000	339.98	05/18/20	335.00	...	4.98	Option sale to close-call ²⁵
05/29/20	1.000	184.98	05/18/20	255.00	...	-70.02	Option sale to close-call ²⁵
	Security total:	524.96		590.00	...	-65.04	
QQQ 04/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
04/24/20	4.000	0.00	04/23/20	108.00	...	-108.00	Option expiration- long call
RCL 07/17/2020 PUT \$40.00 / CUSIP: / Symbol:							
06/15/20	1.000	130.98	06/12/20	175.00	...	-44.02	Option sale to close-put ²⁵
ROKU 04/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
	2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	1.000	82.98	04/13/20	76.00	...	6.98	1 of 2 - Option sale to close-call ²⁵
	1.000	84.98	04/13/20	76.00	...	8.98	2 of 2 - Option sale to close-call ²⁵
04/13/20	2.000	167.96	Various	152.00	...	15.96	Total of 2 transactions
SHOP 05/08/2020 PUT \$500.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	05/05/20	68.00	...	-68.00	Option expiration- long put
SNAP 04/17/2020 PUT \$13.50 / CUSIP: / Symbol:							
04/16/20	1.000	22.98	04/13/20	45.00	...	-22.02	Option sale to close-put ²⁵
SPXS 04/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
03/11/20	10.000	4,999.86	03/11/20	4,900.00	...	99.86	Option sale to close-call ²⁵
SPXS 04/17/2020 CALL \$16.00 / CUSIP: / Symbol:							
03/11/20	1.000	468.97	03/11/20	520.00	...	-51.03	Option sale to close-call ²⁵
SPXS 11/20/2020 CALL \$7.00 / CUSIP: / Symbol:							
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	45.99	10/30/20	44.00	...	1.99	1 of 2 - Option sale to close-call ²⁵
	1.000	45.99	10/30/20	44.00	...	1.99	2 of 2 - Option sale to close-call ²⁵
10/30/20	2.000	91.98	Various	88.00	...	3.98	Total of 2 transactions
	6 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	10/30/20	88.00	...	-88.00	1 of 6 - Option expiration- long call
	2.000	0.00	10/30/20	88.00	...	-88.00	2 of 6 - Option expiration- long call

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SPXS 11/20/2020 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	10/30/20	44.00	...	-44.00	3 of 6 - Option expiration- long call
	1.000	0.00	10/30/20	44.00	...	-44.00	4 of 6 - Option expiration- long call
	1.000	0.00	10/30/20	44.00	...	-44.00	5 of 6 - Option expiration- long call
	10.000	0.00	11/09/20	20.00	...	-20.00	6 of 6 - Option expiration- long call
11/20/20	17.000	0.00	Various	328.00	...	-328.00	Total of 6 transactions
	Security total:	91.98		416.00	...	-324.02	
SPY 01/15/2021 CALL \$410.00 / CUSIP: / Symbol:							
02/28/20	1.000	23.98	02/25/20	16.00	...	7.98	Option sale to close-call ²⁵
SPY 03/20/2020 CALL \$273.00 / CUSIP: / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	11.000	637.96	03/17/20	627.00	...	10.96	1 of 2 - Option sale to close-call ²⁵
	10.000	579.96	03/17/20	490.00	...	89.96	2 of 2 - Option sale to close-call ²⁵
03/17/20	21.000	1,217.92	Various	1,117.00	...	100.92	Total of 2 transactions
SPY 03/20/2020 PUT \$195.00 / CUSIP: / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	5.000	304.98	03/17/20	645.00	...	-340.02	1 of 2 - Option sale to close-put ²⁵
	10.000	609.96	03/17/20	230.00	...	379.96	2 of 2 - Option sale to close-put ²⁵
03/17/20	15.000	914.94	Various	875.00	...	39.94	Total of 2 transactions
SPY 03/27/2020 CALL \$270.00 / CUSIP: / Symbol:							
4 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	5.000	294.98	03/20/20	440.00	...	-145.02	1 of 4 - Option sale to close-call ²⁵
	2.000	117.99	03/20/20	164.00	...	-46.01	2 of 4 - Option sale to close-call ²⁵
	1.000	59.00	03/20/20	77.00	...	-18.00	3 of 4 - Option sale to close-call ²⁵
	1.000	58.99	03/20/20	68.00	...	-9.01	4 of 4 - Option sale to close-call ²⁵
03/24/20	9.000	530.96	Various	749.00	...	-218.04	Total of 4 transactions
SPY 04/17/2020 PUT \$250.00 / CUSIP: / Symbol:							
03/26/20	1.000	1,147.96	03/26/20	1,140.00	...	7.96	Option sale to close-put ²⁵
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	1,204.97	03/26/20	1,124.00	...	80.97	1 of 3 - Option sale to close-put ²⁵

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SPY 04/17/2020 PUT \$250.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,204.97	03/26/20	1,122.00	...	82.97	2 of 3 - Option sale to close-put ²⁵
	1.000	1,204.97	03/26/20	1,060.00	...	144.97	3 of 3 - Option sale to close-put ²⁵
03/30/20	3.000	3,614.91	Various	3,306.00	...	308.91	Total of 3 transactions
	3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	1.000	1,021.97	04/02/20	1,081.00	...	-59.03	1 of 3 - Option sale to close-put ²⁵
	1.000	1,021.97	04/02/20	985.00	...	36.97	2 of 3 - Option sale to close-put ²⁵
	1.000	1,021.98	04/02/20	955.00	...	66.98	3 of 3 - Option sale to close-put ²⁵
04/03/20	3.000	3,065.92	Various	3,021.00	...	44.92	Total of 3 transactions
	Security total:	7,828.79		7,467.00	...	361.79	
SPY 04/24/2020 CALL \$300.00 / CUSIP: / Symbol:							
04/07/20	5.000	319.98	04/07/20	300.00	...	19.98	Option sale to close-call ²⁵
SPY 04/29/2020 CALL \$290.00 / CUSIP: / Symbol:							
04/29/20	1.000	238.98	04/28/20	129.00	...	109.98	Option sale to close-call ²⁵
SPY 05/01/2020 CALL \$300.00 / CUSIP: / Symbol:							
05/01/20	7.000	0.00	04/30/20	98.00	...	-98.00	Option expiration- long call
SPY 05/11/2020 CALL \$290.00 / CUSIP: / Symbol:							
05/06/20	2.000	391.98	05/04/20	270.00	...	121.98	Option sale to close-call ²⁵
SPY 05/13/2020 CALL \$288.00 / CUSIP: / Symbol:							
05/06/20	1.000	351.98	05/06/20	346.00	...	5.98	Option sale to close-call ²⁵
SPY 05/15/2020 CALL \$295.00 / CUSIP: / Symbol:							
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	2.000	193.99	05/11/20	390.00	196.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	96.99	05/11/20	190.00	93.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/12/20	3.000	290.98	Various	580.00	289.02 W	0.00	Total of 2 transactions
	3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	05/11/20	576.01	...	-576.01	1 of 3 - Option expiration- long call
	1.000	0.00	05/12/20	200.01	...	-200.01	2 of 3 - Option expiration- long call
	1.000	0.00	05/12/20	99.00	...	-99.00	3 of 3 - Option expiration- long call
05/15/20	4.000	0.00	Various	875.02	...	-875.02	Total of 3 transactions

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 05/15/2020 CALL \$295.00 / CUSIP: / Symbol: (cont'd)							
Security total:		290.98		1,455.02		289.02 W	-875.02
SPY 05/15/2020 PUT \$250.00 / CUSIP: / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1,899.94	04/01/20	1,890.00	...	9.94	1 of 2 - Option sale to close-put ²⁵
	1.000	1,884.94	04/01/20	1,605.00	...	279.94	2 of 2 - Option sale to close-put ²⁵
04/01/20	2.000	3,784.88	Various	3,495.00	...	289.88	Total of 2 transactions
SPY 06/19/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	737.98	04/13/20	468.00	...	269.98	1 of 2 - Option sale to close-call ²⁵
	1.000	737.97	04/13/20	450.00	...	287.97	2 of 2 - Option sale to close-call ²⁵
04/20/20	2.000	1,475.95	Various	918.00	...	557.95	Total of 2 transactions
SPY 06/19/2020 PUT \$260.00 / CUSIP: / Symbol:							
04/07/20	1.000	1,649.95	04/06/20	1,610.00	...	39.95	Option sale to close-put ²⁵
SPY 07/01/2020 PUT \$275.00 / CUSIP: / Symbol:							
07/01/20	5.000	0.00	06/26/20	130.00	...	-130.00	Option expiration- long put
SPY 07/13/2020 CALL \$315.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	215.98	07/09/20	187.00	...	28.98	1 of 2 - Option sale to close-call ²⁵
	1.000	215.98	07/09/20	187.00	...	28.98	2 of 2 - Option sale to close-call ²⁵
07/09/20	2.000	431.96	Various	374.00	...	57.96	Total of 2 transactions
SQ 05/22/2020 PUT \$78.00 / CUSIP: / Symbol:							
05/21/20	1.000	86.98	05/21/20	54.00	...	32.98	Option sale to close-put ²⁵
SQ 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/18/20	1.000	604.97	05/11/20	560.00	...	44.97	Option sale to close-call ²⁵
SQ 10/30/2020 CALL \$180.00 / CUSIP: / Symbol:							
10/02/20	1.000	739.97	09/28/20	515.00	...	224.97	Option sale to close-call ²⁵

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Account 107427221

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(continued)

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SQQQ 03/20/2020 CALL \$18.00 / CUSIP: / Symbol:							
02/28/20	1.000	809.97	02/26/20	300.00	...	509.97	Option sale to close-call ²⁵
SQQQ 03/20/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	1.000	609.97	02/27/20	340.00	...	269.97	1 of 2 - Option sale to close-call ²⁵
	3.000	1,889.94	02/27/20	1,020.00	...	869.94	2 of 2 - Option sale to close-call ²⁵
02/28/20	4.000	2,499.91	Various	1,360.00	...	1,139.91	Total of 2 transactions
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	1.000	339.98	02/27/20	330.01	...	9.97	1 of 2 - Option sale to close-call ²⁵
	1.000	319.98	02/27/20	310.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
03/03/20	2.000	659.96	Various	640.01	...	19.95	Total of 2 transactions
03/03/20	1.000	319.99	02/27/20	340.00	20.01 W	0.00	Option sale to close-call ²⁵
03/04/20	7.000	1,539.95	03/04/20	1,470.00	...	69.95	Option sale to close-call ²⁵
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	3.000	659.98	02/27/20	840.00	180.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	359.98	03/04/20	540.01	180.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/04/20	5.000	1,019.96	Various	1,380.01	360.05 W	0.00	Total of 2 transactions
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	254.98	03/04/20	270.01	15.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	259.98	03/04/20	260.02	0.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/05/20	2.000	514.96	Various	530.03	15.07 W	0.00	Total of 2 transactions
4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	409.98	03/04/20	260.01	...	149.97	1 of 4 - Option sale to close-call ²⁵
	1.000	369.99	03/06/20	365.03	...	4.96	2 of 4 - Option sale to close-call ²⁵
	1.000	369.99	03/06/20	350.04	...	19.95	3 of 4 - Option sale to close-call ²⁵
	6.000	2,219.93	03/06/20	2,100.00	...	119.93	4 of 4 - Option sale to close-call ²⁵
03/06/20	9.000	3,369.89	Various	3,075.08	...	294.81	Total of 4 transactions
	Security total:	9,924.62		8,795.13	395.13 W	1,524.62	
SQQQ 03/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
7 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	1.000	154.99	03/10/20	170.01	...	-15.02	1 of 7 - Option sale to close-call ²⁵
	1.000	155.00	03/10/20	160.00	...	-5.00	2 of 7 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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SQQQ 03/20/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	1.000	154.99	03/10/20	150.00	...	4.99	3 of 7 - Option sale to close-call ²⁵
	1.000	155.00	03/10/20	140.00	...	15.00	4 of 7 - Option sale to close-call ²⁵
	1.000	154.99	03/10/20	135.01	...	19.98	5 of 7 - Option sale to close-call ²⁵
	1.000	154.99	03/10/20	130.00	...	24.99	6 of 7 - Option sale to close-call ²⁵
	1.000	154.99	03/10/20	120.00	...	34.99	7 of 7 - Option sale to close-call ²⁵
03/11/20	7.000	1,084.95	Various	1,005.02	...	79.93	Total of 7 transactions
	2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	1.000	154.99	03/09/20	205.00	50.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	309.99	03/10/20	380.00	35.01 W	-35.00	2 of 2 - Option sale to close-call ²⁵
03/11/20	3.000	464.98	Various	585.00	85.02 W	-35.00	Total of 2 transactions
	Security total:	1,549.93		1,590.02	85.02 W	44.93	
SQQQ 07/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
07/16/20	1.000	59.98	07/13/20	53.00	...	6.98	Option sale to close-call ²⁵
SQQQ 07/31/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/16/20	5.000	14.98	06/29/20	100.00	...	-85.02	Option sale to close-call ²⁵
TECK 05/15/2020 CALL \$8.00 / CUSIP: / Symbol:							
04/28/20	1.000	59.98	04/28/20	43.00	...	16.98	Option sale to close-call ²⁵
TLRY 04/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
04/17/20	10.000	0.00	04/14/20	320.00	...	-320.00	Option expiration- long call
TQQQ 03/20/2020 CALL \$70.00 / CUSIP: / Symbol:							
03/06/20	1.000	1,089.96	03/06/20	1,050.00	...	39.96	Option sale to close-call ²⁵
TQQQ 09/18/2020 PUT \$120.00 / CUSIP: / Symbol:							
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	105.99	09/11/20	820.00	...	-714.01	1 of 2 - Option sale to close-put ²⁵
	1.000	105.99	09/11/20	820.00	...	-714.01	2 of 2 - Option sale to close-put ²⁵
09/15/20	2.000	211.98	Various	1,640.00	...	-1,428.02	Total of 2 transactions

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TQQQ 10/09/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	729.97	09/08/20	1,560.00	830.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	744.97	09/08/20	2,080.03	1,335.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/28/20	2.000	1,474.94	Various	3,640.03	2,165.09 W	0.00	Total of 2 transactions
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	939.96	09/10/20	2,525.06	1,585.10 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	849.97	09/11/20	2,435.10	1,585.13 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/30/20	2.000	1,789.93	Various	4,960.16	3,170.23 W	0.00	Total of 2 transactions
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	314.99	09/23/20	1,810.16	...	-1,495.17	1 of 2 - Option sale to close-call ²⁵
	1.000	314.98	09/23/20	290.00	...	24.98	2 of 2 - Option sale to close-call ²⁵
10/06/20	2.000	629.97	Various	2,100.16	...	-1,470.19	Total of 2 transactions
10/06/20	1.000	459.97	09/21/20	1,960.13	1,500.16 W	0.00	Option sale to close-call ²⁵
	Security total:	4,354.81		12,660.48	6,835.48 W	-1,470.19	
TSLA 02/21/2020 CALL \$1,880.00 / CUSIP: / Symbol:							
4 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	02/10/20	117.02	...	-116.03	1 of 4 - Option sale to close-call ²⁵
	1.000	0.99	02/10/20	59.00	...	-58.01	2 of 4 - Option sale to close-call ²⁵
	1.000	1.00	02/10/20	59.00	...	-58.00	3 of 4 - Option sale to close-call ²⁵
	1.000	1.00	02/10/20	59.00	...	-58.00	4 of 4 - Option sale to close-call ²⁵
02/19/20	4.000	3.98	Various	294.02	...	-290.04	Total of 4 transactions
02/19/20	1.000	0.98	02/10/20	59.00	58.02 W	0.00	Option sale to close-call ²⁵
	Security total:	4.96		353.02	58.02 W	-290.04	
TSLA 04/17/2020 CALL \$700.00 / CUSIP: / Symbol:							
04/13/20	1.000	409.98	04/13/20	310.00	...	99.98	Option sale to close-call ²⁵
TSLA 04/17/2020 PUT \$750.00 / CUSIP: / Symbol:							
04/16/20	1.000	1,999.94	04/16/20	2,095.00	...	-95.06	Option sale to close-put ²⁵
TSLA 05/08/2020 CALL \$850.00 / CUSIP: / Symbol:							
05/08/20	1.000	29.98	05/07/20	185.00	...	-155.02	Option sale to close-call ²⁵

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(continued)

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TSLA 05/15/2020 CALL \$840.00 / CUSIP: / Symbol:							
05/15/20	1.000	9.98	05/14/20	138.00	...	-128.02	Option sale to close-call ²⁵
TWTR 01/17/2020 CALL \$31.00 / CUSIP: / Symbol:							
01/08/20	1.000	234.98	10/25/19	227.00	...	7.98	Option sale to close-call ²⁵
TZA 06/26/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	2.000	669.97	06/11/20	660.00	...	9.97	1 of 2 - Option sale to close-call ²⁵
	1.000	250.98	06/15/20	315.00	...	-64.02	2 of 2 - Option sale to close-call ²⁵
06/15/20	3.000	920.95	Various	975.00	...	-54.05	Total of 2 transactions
UAL 07/17/2020 PUT \$33.00 / CUSIP: / Symbol:							
07/09/20	1.000	319.98	07/08/20	254.00	...	65.98	Option sale to close-put ²⁵
URI 06/19/2020 CALL \$160.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/18/20	60.00	...	-60.00	Option expiration- long call
USO 04/24/2020 PUT \$4.50 / CUSIP: / Symbol:							
6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	66.98	04/17/20	37.00	...	29.98	1 of 6 - Option sale to close-put ²⁵
	2.000	139.98	04/17/20	74.00	...	65.98	2 of 6 - Option sale to close-put ²⁵
	3.000	209.98	04/17/20	111.00	...	98.98	3 of 6 - Option sale to close-put ²⁵
	4.000	267.98	04/17/20	148.00	...	119.98	4 of 6 - Option sale to close-put ²⁵
	5.000	349.98	04/17/20	185.00	...	164.98	5 of 6 - Option sale to close-put ²⁵
	5.000	359.98	04/17/20	185.00	...	174.98	6 of 6 - Option sale to close-put ²⁵
04/20/20	20.000	1,394.88	Various	740.00	...	654.88	Total of 6 transactions
USO 05/01/2020 PUT \$3.00 / CUSIP: / Symbol:							
04/28/20	5.000	439.98	04/21/20	430.00	...	9.98	Option sale to close-put ²⁵
USO1 06/19/2020 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	04/03/20	510.00	...	-510.00	1 of 2 - Option expiration- long call
	10.000	0.00	04/13/20	120.00	...	-120.00	2 of 2 - Option expiration- long call
06/19/20	20.000	0.00	Various	630.00	...	-630.00	Total of 2 transactions

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VXX 07/31/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	06/26/20	480.00	...	-480.00	Option expiration- long call
VXX 08/21/2020 CALL \$27.00 / CUSIP: / Symbol:							
08/19/20	1.000	13.98	08/11/20	137.00	...	-123.02	Option sale to close-call ²⁵
WORK 01/21/2022 CALL \$27.00 / CUSIP: / Symbol:							
11/30/20	1.000	1,649.95	09/21/20	680.00	...	969.95	Option sale to close-call ²⁵
	2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	1.000	1,649.95	10/29/20	650.00	...	999.95	1 of 2 - Option sale to close-call ²⁵
	1.000	1,649.95	11/10/20	525.00	...	1,124.95	2 of 2 - Option sale to close-call ²⁵
12/02/20	2.000	3,299.90	Various	1,175.00	...	2,124.90	Total of 2 transactions
12/03/20	1.000	1,639.95	11/10/20	525.00	...	1,114.95	Option sale to close-call ²⁵
	Security total:	6,589.80		2,380.00	...	4,209.80	
WORK 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1.000	284.99	04/20/20	410.00	...	-125.01	1 of 3 - Option sale to close-call ²⁵
	1.000	284.99	04/27/20	198.00	...	86.99	2 of 3 - Option sale to close-call ²⁵
	1.000	284.99	04/27/20	198.00	...	86.99	3 of 3 - Option sale to close-call ²⁵
05/13/20	3.000	854.97	Various	806.00	...	48.97	Total of 3 transactions
XLU 10/16/2020 CALL \$64.50 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/14/20	17.00	...	-17.00	Option expiration- long call
ZM 05/01/2020 PUT \$150.00 / CUSIP: / Symbol:							
04/29/20	1.000	629.97	04/28/20	280.00	...	349.97	Option sale to close-put ²⁵
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
	5 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	15.000	1,123.17	05/27/20	761.10	...	362.07	1 of 5 - Sale ²⁵
	10.000	748.78	06/02/20	524.38	...	224.40	2 of 5 - Sale ²⁵
	10.000	748.78	06/04/20	526.78	...	222.00	3 of 5 - Sale ²⁵
	20.000	1,497.57	06/25/20	1,020.80	...	476.77	4 of 5 - Sale ²⁵
	10.000	748.79	06/29/20	496.00	...	252.79	5 of 5 - Sale ²⁵
10/30/20	65.000	4,867.09	Various	3,329.06	...	1,538.03	Total of 5 transactions

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
11/30/20	20.000	5,295.16	11/19/20	5,203.00	...	92.16	Sale ²⁵
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
08/11/20	40.000	1,288.32	05/28/20	845.60	...	442.72	Sale ²⁵
	<i>5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	287.11	05/28/20	211.40	...	75.71	1 of 5 - Sale ²⁵
	10.000	287.11	06/02/20	236.09	...	51.02	2 of 5 - Sale ²⁵
	20.000	574.22	06/02/20	475.97	...	98.25	3 of 5 - Sale ²⁵
	20.000	574.21	06/09/20	607.37	...	-33.16	4 of 5 - Sale ²⁵
	10.000	287.11	06/09/20	298.79	...	-11.68	5 of 5 - Sale ²⁵
09/23/20	70.000	2,009.76	Various	1,829.62	...	180.14	Total of 5 transactions
	Security total:	3,298.08		2,675.22	...	622.86	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
	<i>3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>						
	80.000	7,977.13	04/27/20	8,270.24	...	-293.11	1 of 3 - Sale ²⁵
	10.000	997.14	04/27/20	1,078.62	...	-81.48	2 of 3 - Sale ²⁵
	10.000	997.14	04/27/20	1,054.69	...	-57.55	3 of 3 - Sale ²⁵
04/27/20	100.000	9,971.41	Various	10,403.55	...	-432.14	Total of 3 transactions
	<i>2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	1,006.28	04/27/20	1,051.12	44.84 W	0.00	1 of 2 - Sale ²⁵
	10.000	1,006.28	04/27/20	1,027.19	20.91 W	0.00	2 of 2 - Sale ²⁵
04/27/20	20.000	2,012.56	Various	2,078.31	65.75 W	0.00	Total of 2 transactions
	<i>3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>						
	1.653	224.49	08/07/20	217.00	...	7.49	1 of 3 - Sale ²⁵
	1.000	135.80	08/14/20	125.49	...	10.31	2 of 3 - Sale ²⁵
	1.000	135.80	08/14/20	125.48	...	10.32	3 of 3 - Sale ²⁵
08/27/20	3.653	496.09	Various	467.97	...	28.12	Total of 3 transactions
	Security total:	12,480.06		12,949.83	65.75 W	-404.02	

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BOEING COMPANY / CUSIP: 097023105 / Symbol:							
<i>2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	1,015.97	04/03/20	1,012.28	...	3.69	1 of 2 - Sale ²⁵
	50.000	6,349.85	04/03/20	6,319.39	...	30.46	2 of 2 - Sale ²⁵
04/03/20	58.000	7,365.82	Various	7,331.67	...	34.15	Total of 2 transactions
04/03/20	50.000	6,349.85	04/03/20	6,357.25	4.14 W	-3.26	Sale ²⁵
<i>12 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,663.03	04/30/20	1,408.19	...	254.84	1 of 12 - Sale ²⁵
	10.000	1,663.03	05/01/20	1,348.36	...	314.67	2 of 12 - Sale ²⁵
	10.000	1,664.07	05/04/20	1,276.02	...	388.05	3 of 12 - Sale ²⁵
	10.000	1,663.02	05/04/20	1,267.80	...	395.22	4 of 12 - Sale ²⁵
	10.000	1,664.08	05/04/20	1,267.80	...	396.28	5 of 12 - Sale ²⁵
	10.000	1,664.08	05/06/20	1,221.79	...	442.29	6 of 12 - Sale ²⁵
	10.000	1,660.01	05/12/20	1,274.21	...	385.80	7 of 12 - Sale ²⁵
	10.000	1,660.01	05/13/20	1,233.52	...	426.49	8 of 12 - Sale ²⁵
	20.000	3,256.75	05/13/20	2,467.05	...	789.70	9 of 12 - Sale ²⁵
	10.000	1,628.37	05/14/20	1,168.70	...	459.67	10 of 12 - Sale ²⁵
	10.000	1,628.37	05/15/20	1,186.86	...	441.51	11 of 12 - Sale ²⁵
	10.000	1,628.38	07/01/20	1,819.59	...	-191.21	12 of 12 - Sale ²⁵
10/06/20	130.000	21,443.20	Various	16,939.89	...	4,503.31	Total of 12 transactions
	Security total:	35,158.87		30,628.81	4.14 W	4,534.20	
BROADCOM INC. COMMON STOCK / CUSIP: 11135F101 / Symbol:							
02/04/20	5.000	1,570.96	01/30/20	1,552.45	...	18.51	Sale ²⁵
03/10/20	5.000	1,258.08	02/24/20	1,458.66	...	-200.58	Sale ²⁵
	Security total:	2,829.04		3,011.11	...	-182.07	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
<i>6 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	636.78	05/04/20	549.22	...	87.56	1 of 6 - Sale ²⁵
	25.000	636.78	05/04/20	547.75	...	89.03	2 of 6 - Sale ²⁵
	10.000	254.71	05/06/20	209.90	...	44.81	3 of 6 - Sale ²⁵
	40.000	1,018.85	05/13/20	819.54	...	199.31	4 of 6 - Sale ²⁵
	20.000	509.42	05/14/20	370.72	...	138.70	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	35.000	891.49	06/09/20	1,206.17	...	-314.68	6 of 6 - Sale ²⁵
07/09/20	155.000	3,948.03	Various	3,703.30	...	244.73	Total of 6 transactions
DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol:							
5 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	50.000	1,461.08	03/12/20	1,539.50	78.42 W	0.00	1 of 5 - Sale ²⁵
	10.000	292.22	03/12/20	296.60	4.38 W	0.00	2 of 5 - Sale ²⁵
	10.000	288.49	03/12/20	298.88	10.39 W	0.00	3 of 5 - Sale ²⁵
	50.000	1,442.47	03/12/20	1,550.95	108.48 W	0.00	4 of 5 - Sale ²⁵
	40.000	1,153.97	03/12/20	1,178.02	24.05 W	0.00	5 of 5 - Sale ²⁵
03/12/20	160.000	4,638.23	Various	4,863.95	225.72 W	0.00	Total of 5 transactions
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	10.000	218.64	03/18/20	228.62	9.98 W	0.00	1 of 3 - Sale ²⁵
	40.000	874.56	03/18/20	896.95	22.39 W	0.00	2 of 3 - Sale ²⁵
	50.000	1,093.21	03/18/20	1,199.61	106.40 W	0.00	3 of 3 - Sale ²⁵
03/18/20	100.000	2,186.41	Various	2,325.18	138.77 W	0.00	Total of 3 transactions
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	50.000	1,046.47	03/24/20	1,145.90	99.43 W	0.00	1 of 3 - Sale ²⁵
	10.000	209.30	03/24/20	218.48	9.18 W	0.00	2 of 3 - Sale ²⁵
	40.000	837.18	03/24/20	856.39	19.21 W	0.00	3 of 3 - Sale ²⁵
03/24/20	100.000	2,092.95	Various	2,220.77	127.82 W	0.00	Total of 3 transactions
03/25/20	100.000	2,556.94	03/25/20	2,556.00	...	0.94	Sale ²⁵
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	10.000	255.69	03/25/20	264.68	8.99 W	0.00	1 of 3 - Sale ²⁵
	40.000	1,022.78	03/25/20	1,041.21	18.43 W	0.00	2 of 3 - Sale ²⁵
	50.000	1,278.47	03/25/20	1,376.93	98.46 W	0.00	3 of 3 - Sale ²⁵
03/25/20	100.000	2,556.94	Various	2,682.82	125.88 W	0.00	Total of 3 transactions
5 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	40.000	1,100.37	03/30/20	1,098.89	...	1.48	1 of 5 - Sale ²⁵
	100.000	2,683.93	03/30/20	2,653.99	...	29.94	2 of 5 - Sale ²⁵
	200.000	5,203.86	03/30/20	5,167.98	...	35.88	3 of 5 - Sale ²⁵
	300.000	8,051.79	03/30/20	8,012.96	...	38.83	4 of 5 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol: (cont'd)							
	400.000	11,003.75	03/30/20	10,927.95	...	75.80	5 of 5 - Sale ²⁵
03/30/20	1,040.000	28,043.70	Various	27,861.77	...	181.93	Total of 5 transactions
	8 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	10.000	260.19	03/30/20	267.39	7.20 W	0.00	1 of 8 - Sale ²⁵
	40.000	1,040.77	03/30/20	1,052.03	11.26 W	0.00	2 of 8 - Sale ²⁵
	50.000	1,300.96	03/30/20	1,390.45	89.49 W	0.00	3 of 8 - Sale ²⁵
	10.000	268.39	03/30/20	274.30	5.91 W	0.00	4 of 8 - Sale ²⁵
	40.000	1,073.57	03/30/20	1,079.66	6.09 W	0.00	5 of 8 - Sale ²⁵
	50.000	1,341.96	03/30/20	1,424.99	83.03 W	0.00	6 of 8 - Sale ²⁵
	10.000	275.09	03/30/20	279.11	4.02 W	0.00	7 of 8 - Sale ²⁵
	50.000	1,375.47	03/30/20	1,449.03	73.56 W	0.00	8 of 8 - Sale ²⁵
03/30/20	260.000	6,936.40	Various	7,216.96	280.56 W	0.00	Total of 8 transactions
	4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	40.000	923.18	04/01/20	921.60	...	1.58	1 of 4 - Sale ²⁵
	70.000	1,615.55	04/01/20	1,612.79	...	2.76	2 of 4 - Sale ²⁵
	100.000	2,307.93	04/01/20	2,303.99	...	3.94	3 of 4 - Sale ²⁵
	230.000	5,308.25	04/01/20	5,299.18	...	9.07	4 of 4 - Sale ²⁵
04/01/20	440.000	10,154.91	Various	10,137.56	...	17.35	Total of 4 transactions
	6 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	10.000	230.79	04/01/20	234.42	3.63 W	0.00	1 of 6 - Sale ²⁵
	50.000	1,153.96	04/01/20	1,225.55	71.59 W	0.00	2 of 6 - Sale ²⁵
	10.000	231.09	04/01/20	237.43	6.34 W	0.00	3 of 6 - Sale ²⁵
	50.000	1,155.47	04/01/20	1,240.58	85.11 W	0.00	4 of 6 - Sale ²⁵
	440.000	10,168.17	04/01/20	10,287.16	118.99 W	0.00	5 of 6 - Sale ²⁵
	100.000	2,310.95	04/01/20	2,321.99	11.04 W	0.00	6 of 6 - Sale ²⁵
04/01/20	660.000	15,250.43	Various	15,547.13	296.70 W	0.00	Total of 6 transactions
	3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	100.000	2,283.95	04/02/20	2,281.03	...	2.92	1 of 3 - Sale ²⁵
	500.000	11,349.69	04/02/20	11,295.00	...	54.69	2 of 3 - Sale ²⁵
	400.000	9,135.79	04/02/20	9,079.96	...	55.83	3 of 3 - Sale ²⁵
04/02/20	1,000.000	22,769.43	Various	22,655.99	...	113.44	Total of 3 transactions

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(continued)

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DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol: (cont'd)							
6 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	10.000	228.39	04/02/20	233.34	4.95 W	0.00	1 of 6 - Sale ²⁵
	50.000	1,141.97	04/02/20	1,220.10	78.13 W	0.00	2 of 6 - Sale ²⁵
	440.000	10,049.37	04/02/20	10,106.95	57.58 W	0.00	3 of 6 - Sale ²⁵
	10.000	226.99	04/02/20	230.85	3.86 W	0.00	4 of 6 - Sale ²⁵
	50.000	1,134.97	04/02/20	1,207.63	72.66 W	0.00	5 of 6 - Sale ²⁵
	440.000	9,987.72	04/02/20	9,997.18	9.46 W	0.00	6 of 6 - Sale ²⁵
04/02/20	1,000.000	22,769.41	Various	22,996.05	226.64 W	0.00	Total of 6 transactions
8 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	40.000	920.38	04/03/20	917.26	...	3.12	1 of 8 - Sale ²⁵
	100.000	2,300.94	04/03/20	2,293.15	...	7.79	2 of 8 - Sale ²⁵
	100.000	2,300.95	04/03/20	2,293.15	...	7.80	3 of 8 - Sale ²⁵
	100.000	2,300.95	04/03/20	2,293.15	...	7.80	4 of 8 - Sale ²⁵
	100.000	2,300.95	04/03/20	2,293.15	...	7.80	5 of 8 - Sale ²⁵
	100.000	2,300.94	04/03/20	2,291.00	...	9.94	6 of 8 - Sale ²⁵
	100.000	2,300.95	04/03/20	2,291.00	...	9.95	7 of 8 - Sale ²⁵
	285.000	6,557.70	04/03/20	6,529.35	...	28.35	8 of 8 - Sale ²⁵
04/03/20	925.000	21,283.76	Various	21,201.21	...	82.55	Total of 8 transactions
6 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	14.000	322.14	04/03/20	341.08	18.94 W	0.00	1 of 6 - Sale ²⁵
	1.000	23.01	04/03/20	24.36	1.35 W	0.00	2 of 6 - Sale ²⁵
	10.000	230.09	04/03/20	232.96	2.87 W	0.00	3 of 6 - Sale ²⁵
	35.000	805.33	04/03/20	852.72	47.39 W	0.00	4 of 6 - Sale ²⁵
	1.000	23.01	04/03/20	24.26	1.25 W	0.00	5 of 6 - Sale ²⁵
	14.000	322.13	04/03/20	339.68	17.55 W	0.00	6 of 6 - Sale ²⁵
04/03/20	75.000	1,725.71	Various	1,815.06	89.35 W	0.00	Total of 6 transactions
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	10.000	301.64	04/07/20	301.57	...	0.07	1 of 2 - Sale ²⁵
	440.000	13,272.25	04/07/20	13,142.80	...	129.45	2 of 2 - Sale ²⁵
04/07/20	450.000	13,573.89	Various	13,444.37	...	129.52	Total of 2 transactions
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	30.16	04/07/20	31.12	0.96 W	0.00	1 of 3 - Sale ²⁵
	14.000	422.30	04/07/20	435.73	13.43 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol: (cont'd)							
	35.000	1,055.75	04/07/20	1,092.84	37.09 W	0.00	3 of 3 - Sale ²⁵
04/07/20	50.000	1,508.21	Various	1,559.69	51.48 W	0.00	Total of 3 transactions
6 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	35.000	1,063.97	04/07/20	1,100.39	...	-36.42	1 of 6 - Sale ²⁵
	14.000	425.59	04/07/20	438.75	...	-13.16	2 of 6 - Sale ²⁵
	1.000	30.40	04/07/20	31.34	...	-0.94	3 of 6 - Sale ²⁵
	50.000	1,519.96	04/07/20	1,518.99	...	0.97	4 of 6 - Sale ²⁵
	100.000	3,039.92	04/07/20	3,037.99	...	1.93	5 of 6 - Sale ²⁵
	300.000	9,119.75	04/07/20	9,113.97	...	5.78	6 of 6 - Sale ²⁵
04/08/20	500.000	15,199.59	Various	15,241.43	...	-41.84	Total of 6 transactions
Security total:		173,246.91		174,325.94	1,562.92 W	483.89	
DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol:							
7 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	10.000	188.09	03/25/20	175.40	...	12.69	1 of 7 - Sale ²⁵
	20.000	376.19	03/25/20	356.20	...	19.99	2 of 7 - Sale ²⁵
	50.000	940.48	03/25/20	914.00	...	26.48	3 of 7 - Sale ²⁵
	50.000	940.47	03/25/20	909.50	...	30.97	4 of 7 - Sale ²⁵
	100.000	1,880.94	03/25/20	1,841.99	...	38.95	5 of 7 - Sale ²⁵
	20.000	376.19	03/25/20	337.00	...	39.19	6 of 7 - Sale ²⁵
	50.000	940.47	03/25/20	866.50	...	73.97	7 of 7 - Sale ²⁵
03/25/20	300.000	5,642.83	Various	5,400.59	...	242.24	Total of 7 transactions
4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	20.000	337.19	03/26/20	323.39	...	13.80	1 of 4 - Sale ²⁵
	30.000	505.79	03/26/20	489.60	...	16.19	2 of 4 - Sale ²⁵
	50.000	842.98	03/26/20	819.50	...	23.48	3 of 4 - Sale ²⁵
	50.000	842.98	03/26/20	811.00	...	31.98	4 of 4 - Sale ²⁵
03/30/20	150.000	2,528.94	Various	2,443.49	...	85.45	Total of 4 transactions
03/30/20	50.000	842.98	03/26/20	846.00	3.02 W	0.00	Sale ²⁵
9 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	1.000	17.87	03/26/20	16.24	...	1.63	1 of 9 - Sale ²⁵
	20.000	357.39	03/26/20	315.00	...	42.39	2 of 9 - Sale ²⁵
	20.000	357.39	03/26/20	308.60	...	48.79	3 of 9 - Sale ²⁵

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(continued)

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DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol: (cont'd)							
	49.000	875.61	03/26/20	795.78	...	79.83	4 of 9 - Sale ²⁵
	20.000	357.39	03/27/20	316.00	...	41.39	5 of 9 - Sale ²⁵
	50.000	893.48	03/27/20	801.00	...	92.48	6 of 9 - Sale ²⁵
	40.000	714.78	03/30/20	633.58	...	81.20	7 of 9 - Sale ²⁵
	300.000	5,360.87	03/30/20	4,782.00	...	578.87	8 of 9 - Sale ²⁵
	30.000	536.09	04/02/20	509.40	...	26.69	9 of 9 - Sale ²⁵
04/03/20	530.000	9,470.87	Various	8,477.60	...	993.27	Total of 9 transactions
04/03/20	20.000	357.39	04/01/20	359.40	2.01 W	0.00	Sale ²⁵
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	30.000	336.04	04/03/20	528.00	...	-191.96	1 of 2 - Sale ²⁵
	20.000	224.02	04/03/20	354.01	...	-129.99	2 of 2 - Sale ²⁵
05/14/20	50.000	560.06	Various	882.01	...	-321.95	Total of 2 transactions
05/21/20	100.000	957.46	04/03/20	1,759.99	...	-802.53	Sale ²⁵
6 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	100.000	889.05	04/03/20	1,759.99	...	-870.94	1 of 6 - Sale ²⁵
	100.000	862.97	04/06/20	1,539.90	...	-676.93	2 of 6 - Sale ²⁵
	50.000	431.48	04/06/20	748.00	...	-316.52	3 of 6 - Sale ²⁵
	50.000	432.53	04/06/20	747.99	...	-315.46	4 of 6 - Sale ²⁵
	50.000	432.52	04/06/20	744.50	...	-311.98	5 of 6 - Sale ²⁵
	100.000	865.05	04/09/20	1,170.50	...	-305.45	6 of 6 - Sale ²⁵
05/29/20	450.000	3,913.60	Various	6,710.88	...	-2,797.28	Total of 6 transactions
Security total:		24,274.13		26,879.96	5.03 W	-2,600.80	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
02/12/20	15.000	2,128.30	01/29/20	2,053.04	...	75.26	Sale ²⁵
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
11/30/20	100.000	4,980.76	10/19/20	4,438.36	...	542.40	Sale ²⁵
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	50.000	500.16	02/26/20	615.43	...	-115.27	1 of 2 - Sale ²⁵
	20.000	200.06	02/26/20	231.31	...	-31.25	2 of 2 - Sale ²⁵
03/03/20	70.000	700.22	Various	846.74	...	-146.52	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol: (cont'd)							
08/10/20	200.000	1,454.20	06/04/20	1,337.60	...	116.60	Sale ²⁵
08/17/20	100.000	733.10	06/11/20	588.74	...	144.36	Sale ²⁵
09/11/20	100.000	721.99	06/19/20	587.87	...	134.12	Sale ²⁵
	Security total:	3,609.51		3,360.95	...	248.56	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
4 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	10.000	2,090.85	08/01/19	1,928.80	...	162.05	1 of 4 - Sale ²⁵
	5.000	1,045.42	08/02/19	944.75	...	100.67	2 of 4 - Sale ²⁵
	5.000	1,045.42	08/05/19	919.65	...	125.77	3 of 4 - Sale ²⁵
	5.000	1,045.42	08/05/19	898.80	...	146.62	4 of 4 - Sale ²⁵
02/04/20	25.000	5,227.11	Various	4,692.00	...	535.11	Total of 4 transactions
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	5.000	416.61	07/16/20	393.69	...	22.92	1 of 2 - Sale ²⁵
	5.000	416.61	08/12/20	380.50	...	36.11	2 of 2 - Sale ²⁵
09/16/20	10.000	833.22	Various	774.19	...	59.03	Total of 2 transactions
FORUM MERGER II CORPORATION CLASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	94.000	1,245.46	06/08/20	1,265.24	15.57 W	-4.21	1 of 2 - Sale ²⁵
	6.000	79.50	06/08/20	80.76	1.26 W	0.00	2 of 2 - Sale ²⁵
06/12/20	100.000	1,324.96	Various	1,346.00	16.83 W	-4.21	Total of 2 transactions
10 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	2.000	43.40	06/18/20	35.22	...	8.18	1 of 10 - Sale ²⁵
	4.000	86.80	06/18/20	70.44	...	16.36	2 of 10 - Sale ²⁵
	5.000	108.45	06/18/20	88.05	...	20.40	3 of 10 - Sale ²⁵
	14.000	303.80	06/18/20	246.53	...	57.27	4 of 10 - Sale ²⁵
	10.000	216.89	06/23/20	172.50	...	44.39	5 of 10 - Sale ²⁵
	25.000	542.24	06/23/20	415.89	...	126.35	6 of 10 - Sale ²⁵
	20.000	433.79	06/24/20	317.11	...	116.68	7 of 10 - Sale ²⁵
	10.000	216.89	07/14/20	144.50	...	72.39	8 of 10 - Sale ²⁵
	20.000	433.80	07/15/20	281.30	...	152.50	9 of 10 - Sale ²⁵

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FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol: (cont'd)							
	30.000	650.68	07/15/20	421.95	...	228.73	10 of 10 - Sale ²⁵
10/06/20	140.000	3,036.74	Various	2,193.49	...	843.25	Total of 10 transactions
	Security total:	4,361.70		3,539.49	16.83 W	839.04	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
03/10/20	1.000	3.51	02/28/20	3.51	...	0.00	Sale ²⁵
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	36.000	368.62	08/18/20	384.66	...	-16.04	1 of 2 - Sale ²⁵
	29.000	296.95	08/18/20	309.87	...	-12.92	2 of 2 - Sale ²⁵
10/28/20	65.000	665.57	Various	694.53	...	-28.96	Total of 2 transactions
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
5 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	25.000	977.72	06/26/20	558.13	...	419.59	1 of 5 - Sale ²⁵
	5.000	195.54	07/01/20	128.53	...	67.01	2 of 5 - Sale ²⁵
	1.000	39.11	07/14/20	21.58	...	17.53	3 of 5 - Sale ²⁵
	9.000	351.98	07/14/20	194.22	...	157.76	4 of 5 - Sale ²⁵
	20.000	782.18	07/14/20	447.20	...	334.98	5 of 5 - Sale ²⁵
10/05/20	60.000	2,346.53	Various	1,349.66	...	996.87	Total of 5 transactions
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	50.000	826.98	05/11/20	720.42	...	106.56	1 of 2 - Sale ²⁵
	50.000	826.98	05/27/20	806.50	...	20.48	2 of 2 - Sale ²⁵
06/03/20	100.000	1,653.96	Various	1,526.92	...	127.04	3- Proceeds from collectibles [X] Total of 2 transactions
KINROSS GOLD CORPORATION / CUSIP: 496902404 / Symbol:							
03/06/20	1.000	5.41	02/25/20	6.01	...	-0.60	Sale ²⁵

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LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
5 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	30.000	535.40	08/03/20	339.90	...	195.50	1 of 5 - Sale ²⁵
	2.000	35.69	08/04/20	27.04	...	8.65	2 of 5 - Sale ²⁵
	10.000	178.47	08/04/20	144.00	...	34.47	3 of 5 - Sale ²⁵
	10.000	178.46	08/04/20	139.80	...	38.66	4 of 5 - Sale ²⁵
	10.000	178.47	08/04/20	138.90	...	39.57	5 of 5 - Sale ²⁵
10/27/20	62.000	1,106.49	Various	789.64	...	316.85	Total of 5 transactions
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	100.000	204.08	04/02/20	732.00	...	-527.92	1 of 3 - Sale ²⁵
	100.000	204.08	04/03/20	555.27	...	-351.19	2 of 3 - Sale ²⁵
	50.000	102.04	04/06/20	226.31	...	-124.27	3 of 3 - Sale ²⁵
05/21/20	250.000	510.20	Various	1,513.58	...	-1,003.38	Total of 3 transactions
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	50.000	1,083.05	05/28/20	897.39	...	185.66	1 of 2 - Sale ²⁵
	50.000	1,083.04	06/09/20	1,080.89	...	2.15	2 of 2 - Sale ²⁵
08/10/20	100.000	2,166.09	Various	1,978.28	...	187.81	Total of 2 transactions
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	0.006	0.14	06/16/20	0.13	...	0.01	1 of 3 - Sale ²⁵
	100.000	2,234.95	06/19/20	1,816.00	...	418.95	2 of 3 - Sale ²⁵
	100.000	2,234.95	06/24/20	1,742.85	...	492.10	3 of 3 - Sale ²⁵
09/11/20	200.006	4,470.04	Various	3,558.98	...	911.06	Total of 3 transactions
	Security total:	6,636.13		5,537.26	...	1,098.87	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
13 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	10.000	1,532.00	05/06/19	1,279.65	...	252.35	1 of 13 - Sale ²⁵
	10.000	1,532.00	05/13/19	1,236.70	...	295.30	2 of 13 - Sale ²⁵
	15.000	2,298.00	08/02/19	2,036.55	...	261.45	3 of 13 - Sale ²⁵
	5.000	766.00	08/05/19	658.45	...	107.55	4 of 13 - Sale ²⁵

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	5.000	766.00	01/31/20	851.75	...	-85.75	5 of 13 - Sale ²⁵
	5.000	766.00	02/20/20	916.95	...	-150.95	6 of 13 - Sale ²⁵
	3.000	459.60	02/21/20	541.02	...	-81.42	7 of 13 - Sale ²⁵
	5.000	766.00	02/24/20	855.30	...	-89.30	8 of 13 - Sale ²⁵
	5.000	766.00	02/27/20	817.50	...	-51.50	9 of 13 - Sale ²⁵
	10.000	1,532.00	03/03/20	1,697.14	...	-165.14	10 of 13 - Sale ²⁵
	3.000	459.60	03/03/20	493.31	...	-33.71	11 of 13 - Sale ²⁵
	5.000	766.00	03/06/20	793.09	...	-27.09	12 of 13 - Sale ²⁵
	20.000	3,063.98	03/11/20	3,040.00	...	23.98	13 of 13 - Sale ²⁵
03/26/20	101.000	15,473.18	Various	15,217.41	...	255.77	Total of 13 transactions
MOMO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 60879B107 / Symbol:							
07/07/20	50.000	1,023.61	06/18/20	973.31	...	50.30	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
10/14/20	50.000	1,242.58	10/07/20	1,080.96	...	161.62	Sale ²⁵
10/16/20	25.000	710.79	10/07/20	540.48	...	170.31	Sale ²⁵
10/21/20	25.000	691.51	10/07/20	540.48	...	151.03	Sale ²⁵
	Security total:	2,644.88		2,161.92	...	482.96	
NATIONAL OILWELL VARCO, INC. / CUSIP: 637071101 / Symbol:							
06/05/20	100.000	1,465.95	06/04/20	1,365.00	...	100.95	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
03/13/20	10.000	3,274.97	12/10/19	2,925.99	...	348.98	Sale ²⁵
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	10.000	362.39	05/21/20	234.20	...	128.19	1 of 4 - Sale ²⁵
	10.000	362.39	07/07/20	436.85	...	-74.46	2 of 4 - Sale ²⁵
	10.000	362.38	07/07/20	406.30	...	-43.92	3 of 4 - Sale ²⁵
	2.000	72.48	08/03/20	65.60	...	6.88	4 of 4 - Sale ²⁵
08/07/20	32.000	1,159.64	Various	1,142.95	...	16.69	Total of 4 transactions

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	3.000	108.72	06/26/20	192.93	56.14 W	-28.07	1 of 2 - Sale ²⁵
	5.000	181.20	06/26/20	339.05	157.85 W	0.00	2 of 2 - Sale ²⁵
08/07/20	8.000	289.92	Various	531.98	213.99 W	-28.07	Total of 2 transactions
4 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	2.000	74.06	08/21/20	142.68	...	-68.62	1 of 4 - Sale ²⁵
	3.000	111.09	09/04/20	198.69	...	-87.60	2 of 4 - Sale ²⁵
	2.000	74.06	09/04/20	125.46	...	-51.40	3 of 4 - Sale ²⁵
	10.000	370.29	09/09/20	450.75	...	-80.46	4 of 4 - Sale ²⁵
09/10/20	17.000	629.50	Various	917.58	...	-288.08	Total of 4 transactions
	Security total:	2,079.06		2,592.51	213.99 W	-299.46	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
03/09/20	2.000	502.41	03/03/20	550.00	...	-47.59	Sale ²⁵
NUTRIEN LTD. COMMON SHARES / CUSIP: 67077M108 / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.851	34.35	09/14/20	34.24	...	0.11	1 of 2 - Sale ²⁵
	24.000	968.61	09/14/20	965.76	...	2.85	2 of 2 - Sale ²⁵
09/16/20	24.851	1,002.96	Various	1,000.00	...	2.96	Total of 2 transactions
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
6 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	79.930	987.10	06/04/20	1,226.53	...	-239.43	1 of 6 - Sale ²⁵
	0.070	0.86	06/04/20	1.07	...	-0.21	2 of 6 - Sale ²⁵
	29.930	369.62	06/09/20	654.82	...	-285.20	3 of 6 - Sale ²⁵
	20.070	247.86	06/09/20	439.10	...	-191.24	4 of 6 - Sale ²⁵
	50.000	617.48	07/14/20	802.00	...	-184.52	5 of 6 - Sale ²⁵
	0.070	0.86	07/16/20	1.18	...	-0.32	6 of 6 - Sale ²⁵
09/01/20	180.070	2,223.78	Various	3,124.70	...	-900.92	Total of 6 transactions
09/17/20	0.007	0.08	07/16/20	0.12	...	-0.04	Sale ²⁵
	Security total:	2,223.86		3,124.82	...	-900.96	

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Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
09/25/20	16.000	46.75	07/02/20	90.40	...	-43.65	Sale ²⁵
OPES ACQUISITION CORP. COMMON STOCK / CUSIP: 68373P100 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	12.00	07/14/20	12.10	0.10 W	0.00	1 of 2 - Sale ²⁵
	49.000	587.98	07/14/20	592.90	4.92 W	0.00	2 of 2 - Sale ²⁵
07/14/20	50.000	599.98	Various	605.00	5.02 W	0.00	Total of 2 transactions
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	13.15	07/14/20	12.21	...	0.94	1 of 6 - Sale ²⁵
	1.000	13.17	07/14/20	12.21	...	0.96	2 of 6 - Sale ²⁵
	8.000	105.36	07/14/20	97.68	...	7.68	3 of 6 - Sale ²⁵
	40.000	526.38	07/14/20	488.42	...	37.96	4 of 6 - Sale ²⁵
	20.000	262.99	07/15/20	241.00	...	21.99	5 of 6 - Sale ²⁵
	20.000	262.99	07/15/20	239.60	...	23.39	6 of 6 - Sale ²⁵
09/18/20	90.000	1,184.04	Various	1,091.12	...	92.92	Total of 6 transactions
	Security total:	1,784.02		1,696.12	5.02 W	92.92	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	50.000	502.73	10/01/20	469.00	...	33.73	1 of 4 - Sale ²⁵
	100.000	1,005.46	10/01/20	971.03	...	34.43	2 of 4 - Sale ²⁵
	10.000	100.55	10/22/20	96.60	...	3.95	3 of 4 - Sale ²⁵
	40.000	402.18	10/22/20	385.80	...	16.38	4 of 4 - Sale ²⁵
10/30/20	200.000	2,010.92	Various	1,922.43	...	88.49	Total of 4 transactions
10/30/20	100.000	1,005.46	09/30/20	1,016.99	11.53 W	0.00	Sale ²⁵
	Security total:	3,016.38		2,939.42	11.53 W	88.49	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	10.000	1,067.79	02/25/20	1,130.80	...	-63.01	1 of 2 - Sale ²⁵
	5.000	533.90	02/27/20	543.50	...	-9.60	2 of 2 - Sale ²⁵
03/10/20	15.000	1,601.69	Various	1,674.30	...	-72.61	Total of 2 transactions

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G408 / Symbol:							
03/23/20	200.000	6,221.86	03/23/20	5,841.98	...	379.88	Sale ²⁵
<i>2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	1,256.20	06/26/20	1,245.00	...	11.20	1 of 2 - Sale ²⁵
	250.000	2,092.42	06/26/20	2,075.00	...	17.42	2 of 2 - Sale ²⁵
06/29/20	400.000	3,348.62	Various	3,320.00	...	28.62	Total of 2 transactions
	Security total:	9,570.48		9,161.98	...	408.50	
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
03/12/20	2.000	99.25	03/09/20	131.48	...	-32.23	Sale ²⁵
RMG ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 749641106 / Symbol:							
10/28/20	100.000	1,005.96	10/16/20	1,033.00	...	-27.04	Sale ²⁵
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
<i>3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,058.76	06/03/20	1,064.19	...	-5.43	1 of 3 - Sale ²⁵
	5.000	529.38	06/04/20	511.00	...	18.38	2 of 3 - Sale ²⁵
	10.000	1,058.76	06/04/20	1,032.90	...	25.86	3 of 3 - Sale ²⁵
06/12/20	25.000	2,646.90	Various	2,608.09	...	38.81	Total of 3 transactions
ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,454.96	06/04/20	1,766.50	...	-311.54	1 of 2 - Sale ²⁵
	10.000	291.00	06/11/20	348.88	...	-57.88	2 of 2 - Sale ²⁵
09/01/20	60.000	1,745.96	Various	2,115.38	...	-369.42	Total of 2 transactions
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
<i>2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	3,187.33	04/30/20	3,176.78	...	10.55	1 of 2 - Sale ²⁵
	30.000	4,780.99	04/30/20	4,765.50	...	15.49	2 of 2 - Sale ²⁵
06/03/20	50.000	7,968.32	Various	7,942.28	...	26.04	3- Proceeds from collectibles [X] Total of 2 transactions
SPDR S&P AEROSPACE & DEFENSE E TF / CUSIP: 78464A631 / Symbol:							
02/04/20	20.000	2,305.66	12/10/19	2,198.91	...	106.75	Sale ²⁵

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Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	10.000	1,526.51	12/10/19	1,565.77	...	-39.26	1 of 2 - Sale ²⁵
	5.000	763.26	03/03/20	858.64	...	-95.38	2 of 2 - Sale ²⁵
03/09/20	15.000	2,289.77	Various	2,424.41	...	-134.64	Total of 2 transactions
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
09/23/20	2.000	1,842.14	03/03/20	986.56	...	855.58	Sale ²⁵
SIX FLAGS ENTERTAINMENT CORP. / CUSIP: 83001A102 / Symbol:							
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	1,250.71	05/28/20	1,251.87	...	-1.16	1 of 4 - Sale ²⁵
	10.000	250.14	05/29/20	240.00	...	10.14	2 of 4 - Sale ²⁵
	10.000	250.15	05/29/20	232.65	...	17.50	3 of 4 - Sale ²⁵
	10.000	250.14	05/29/20	232.45	...	17.69	4 of 4 - Sale ²⁵
06/10/20	80.000	2,001.14	Various	1,956.97	...	44.17	Total of 4 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	100.000	2,681.43	04/20/20	2,999.23	...	-317.80	1 of 2 - Sale ²⁵
	300.000	8,044.28	04/21/20	8,036.97	...	7.31	2 of 2 - Sale ²⁵
04/21/20	400.000	10,725.71	Various	11,036.20	...	-310.49	Total of 2 transactions
08/31/20	50.000	1,633.56	07/02/20	1,539.00	...	94.56	Sale ²⁵
	Security total:	12,359.27		12,575.20	...	-215.93	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
10/22/20	10.000	2,690.14	10/16/20	2,437.70	...	252.44	Sale ²⁵
SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol:							
08/17/20	25.000	358.00	07/23/20	419.70	...	-61.70	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
05/20/20	100.000	504.97	05/19/20	543.87	...	-38.90	Sale ²⁵
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
09/11/20	100.000	1,978.22	07/15/20	2,381.90	...	-403.68	Sale ²⁵

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(continued)

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SQUARE, INC. / CUSIP: 852234103 / Symbol:							
<i>3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	398.99	04/18/19	352.09	...	46.90	1 of 3 - Sale ²⁵
	10.000	797.98	05/02/19	671.20	...	126.78	2 of 3 - Sale ²⁵
	5.000	398.99	08/01/19	392.15	...	6.84	3 of 3 - Sale ²⁵
02/28/20	20.000	1,595.96	Various	1,415.44	...	180.52	Total of 3 transactions
<i>5 transactions for 03/10/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	645.96	08/01/19	749.00	...	-103.04	1 of 5 - Sale ²⁵
	14.000	904.34	08/02/19	964.32	...	-59.98	2 of 5 - Sale ²⁵
	6.000	387.58	08/02/19	413.28	...	-25.70	3 of 5 - Sale ²⁵
	5.000	322.98	08/05/19	329.05	...	-6.07	4 of 5 - Sale ²⁵
	5.000	322.98	03/06/20	352.86	...	-29.88	5 of 5 - Sale ²⁵
03/10/20	40.000	2,583.84	Various	2,808.51	...	-224.67	Total of 5 transactions
<i>2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	280.76	07/14/20	238.50	...	42.26	1 of 2 - Sale ²⁵
	8.000	1,122.45	07/14/20	953.99	...	168.46	2 of 2 - Sale ²⁵
09/10/20	10.000	1,403.21	Various	1,192.49	...	210.72	Total of 2 transactions
10/26/20	10.000	1,708.76	09/28/20	1,605.57	...	103.19	Sale ²⁵
10/26/20	10.000	1,708.76	10/21/20	1,793.20	84.44 W	0.00	Sale ²⁵
	Security total:	9,000.53		8,815.21	84.44 W	269.76	
STAMPS.COM INC. COMMON STOCK (\$0.001 PAR VALUE) / CUSIP: 852857200 / Symbol:							
<i>7 transactions for 03/10/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,113.75	12/10/19	842.70	...	271.05	1 of 7 - Sale ²⁵
	10.000	1,113.75	01/29/20	805.75	...	308.00	2 of 7 - Sale ²⁵
	10.000	1,113.75	01/30/20	772.15	...	341.60	3 of 7 - Sale ²⁵
	5.000	556.88	01/31/20	373.33	...	183.55	4 of 7 - Sale ²⁵
	5.000	556.88	03/03/20	722.76	...	-165.88	5 of 7 - Sale ²⁵
	2.000	222.75	03/03/20	279.08	...	-56.33	6 of 7 - Sale ²⁵
	5.000	556.87	03/04/20	683.08	...	-126.21	7 of 7 - Sale ²⁵
03/10/20	47.000	5,234.63	Various	4,478.85	...	755.78	Total of 7 transactions
08/27/20	5.000	1,318.27	08/11/20	1,289.00	...	29.27	Sale ²⁵
11/06/20	10.000	2,317.91	11/03/20	2,297.07	...	20.84	Sale ²⁵
	Security total:	8,870.81		8,064.92	...	805.89	

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(continued)

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STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	15.000	1,191.87	01/29/20	1,299.99	...	-108.12	1 of 5 - Sale ²⁵
	10.000	794.58	01/30/20	848.05	...	-53.47	2 of 5 - Sale ²⁵
	20.000	1,589.16	02/27/20	1,586.00	...	3.16	3 of 5 - Sale ²⁵
	5.000	397.29	02/27/20	389.88	...	7.41	4 of 5 - Sale ²⁵
	20.000	1,589.17	03/11/20	1,429.82	...	159.35	5 of 5 - Sale ²⁵
06/03/20	70.000	5,562.07	Various	5,553.74	...	8.33	Total of 5 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/18/20	10.000	8,544.02	02/18/20	8,515.84	...	28.18	Sale ²⁵
3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	2.000	1,014.18	03/03/20	1,537.67	...	-523.49	1 of 3 - Sale ²⁵
	1.000	507.09	03/04/20	734.65	...	-227.56	2 of 3 - Sale ²⁵
	1.000	507.10	03/06/20	688.22	...	-181.12	3 of 3 - Sale ²⁵
03/13/20	4.000	2,028.37	Various	2,960.54	...	-932.17	Total of 3 transactions
09/03/20	5.000	2,016.80	08/04/20	1,467.62	...	549.18	Sale ²⁵
	Security total:	12,589.19		12,944.00	...	-354.81	
TOUGHBUILT INDUSTRIES, INC. COMMON STOCK / CUSIP: 89157G504 / Symbol:							
06/05/20	100.000	87.31	05/08/20	155.00	...	-67.69	Sale ²⁵
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
7 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	10.000	1,079.77	08/02/19	1,443.92	...	-364.15	1 of 7 - Sale ²⁵
	10.000	1,079.78	08/02/19	1,333.78	...	-254.00	2 of 7 - Sale ²⁵
	5.000	539.89	08/05/19	626.19	...	-86.30	3 of 7 - Sale ²⁵
	10.000	1,079.77	08/15/19	1,224.95	...	-145.18	4 of 7 - Sale ²⁵
	10.000	1,079.78	11/11/19	964.79	...	114.99	5 of 7 - Sale ²⁵
	10.000	1,079.77	11/12/19	984.31	...	95.46	6 of 7 - Sale ²⁵
	10.000	1,079.78	12/10/19	970.90	...	108.88	7 of 7 - Sale ²⁵
04/23/20	65.000	7,018.54	Various	7,548.84	...	-530.30	Total of 7 transactions
6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	8.000	904.71	12/10/19	776.72	...	127.99	1 of 6 - Sale ²⁵
	5.000	565.45	02/27/20	549.21	...	16.24	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
	5.000	565.45	03/03/20	532.11	...	33.34	3 of 6 - Sale ²⁵
	5.000	565.45	03/03/20	517.70	...	47.75	4 of 6 - Sale ²⁵
	5.000	565.44	03/06/20	498.52	...	66.92	5 of 6 - Sale ²⁵
	20.000	2,261.78	03/11/20	1,764.31	...	497.47	6 of 6 - Sale ²⁵
04/30/20	48.000	5,428.28	Various	4,638.57	...	789.71	Total of 6 transactions
	Security total:	12,446.82		12,187.41	...	259.41	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
09/02/20	50.000	2,180.96	06/26/20	1,455.42	...	725.54	Sale ²⁵
UNDER ARMOUR, INC. CLASS C COM MON STOCK, \$0.0003 1/3 PAR VAL UE / CUSIP: 904311206 / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	150.000	1,528.46	06/04/20	1,384.28	...	144.18	1 of 2 - Sale ²⁵
	50.000	509.49	06/09/20	484.42	...	25.07	2 of 2 - Sale ²⁵
07/30/20	200.000	2,037.95	Various	1,868.70	...	169.25	Total of 2 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
9 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	10.000	302.59	05/04/20	245.85	...	56.74	1 of 9 - Sale ²⁵
	25.000	756.48	05/04/20	604.50	...	151.98	2 of 9 - Sale ²⁵
	15.000	453.89	05/05/20	369.15	...	84.74	3 of 9 - Sale ²⁵
	10.000	302.59	05/06/20	227.29	...	75.30	4 of 9 - Sale ²⁵
	10.000	302.59	05/11/20	237.99	...	64.60	5 of 9 - Sale ²⁵
	30.000	907.78	05/12/20	689.03	...	218.75	6 of 9 - Sale ²⁵
	25.000	756.48	06/09/20	1,079.42	...	-322.94	7 of 9 - Sale ²⁵
	20.000	605.19	06/09/20	902.19	...	-297.00	8 of 9 - Sale ²⁵
	5.000	151.29	06/09/20	215.45	...	-64.16	9 of 9 - Sale ²⁵
07/09/20	150.000	4,538.88	Various	4,570.87	...	-31.99	Total of 9 transactions
09/10/20	10.000	367.76	08/12/20	368.00	...	-0.24	Sale ²⁵
	Security total:	4,906.64		4,938.87	...	-32.23	

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	400.000	1,663.91	04/15/20	1,780.00	...	-116.09	1 of 2 - Sale ²⁵
	100.000	415.98	04/15/20	444.50	...	-28.52	2 of 2 - Sale ²⁵
04/17/20	500.000	2,079.89	Various	2,224.50	...	-144.61	Total of 2 transactions
VECTOIQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	10.000	351.20	05/15/20	210.95	...	140.25	1 of 2 - Sale ²⁵
	10.000	352.77	05/18/20	210.00	...	142.77	2 of 2 - Sale ²⁵
06/02/20	20.000	703.97	Various	420.95	...	283.02	Total of 2 transactions
VEEVA SYSTEMS INC. / CUSIP: 922475108 / Symbol:							
08/27/20	3.000	815.17	08/11/20	759.81	...	55.36	Sale ²⁵
10/26/20	10.000	2,959.63	10/22/20	2,908.77	...	50.86	Sale ²⁵
	Security total:	3,774.80		3,668.58	...	106.22	
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	51.000	813.77	09/24/20	977.16	...	-163.39	1 of 2 - Sale ²⁵
	49.000	781.86	09/24/20	938.84	...	-156.98	2 of 2 - Sale ²⁵
10/05/20	100.000	1,595.63	Various	1,916.00	...	-320.37	Total of 2 transactions
VANGUARD S&P 500 ETF / CUSIP: 922908363 / Symbol:							
01/28/20	5.000	1,503.39	01/27/20	1,489.95	...	13.44	Sale ²⁵
02/04/20	5.000	1,515.17	01/31/20	1,480.31	...	34.86	Sale ²⁵
	Security total:	3,018.56		2,970.26	...	48.30	
WESTERN DIGITAL CORPORATION COMMON STOCK / CUSIP: 958102105 / Symbol:							
02/12/20	10.000	703.93	01/31/20	658.26	...	45.67	Sale ²⁵

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	6,900.000	3,346.98	04/03/20	4,070.31	...	-723.33	1 of 3 - Sale ²⁵
	2,100.000	1,018.64	04/03/20	1,238.79	...	-220.15	2 of 3 - Sale ²⁵
	1,000.000	485.08	04/03/20	589.90	...	-104.82	3 of 3 - Sale ²⁵
04/03/20	10,000.000	4,850.70	Various	5,899.00	...	-1,048.30	Total of 3 transactions
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
06/05/20	100.000	10,231.70	06/05/20	10,225.99	...	5.71	Sale ²⁵
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	50.000	510.98	10/16/20	546.00	...	-35.02	1 of 2 - Sale ²⁵
	40.000	408.78	10/16/20	427.20	...	-18.42	2 of 2 - Sale ²⁵
12/02/20	90.000	919.76	Various	973.20	...	-53.44	Total of 2 transactions
Totals :		605,755.33		601,514.35	11,625.02 W	15,866.00	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	10.000	1,532.00	10/04/18	1,128.90	...	403.10	1 of 3 - Sale ²⁵
	15.000	2,298.00	10/24/18	1,603.50	...	694.50	2 of 3 - Sale ²⁵
	1.000	153.20	12/20/18	100.98	...	52.22	3 of 3 - Sale ²⁵
03/26/20	26.000	3,983.20	Various	2,833.38	...	1,149.82	Total of 3 transactions

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	628.95	05/24/18	143.40	...	485.55	1 of 3 - Sale ²⁵
	2.000	1,280.75	05/24/18	286.80	...	993.95	2 of 3 - Sale ²⁵
	9.000	5,660.60	05/24/18	1,290.60	...	4,370.00	3 of 3 - Sale ²⁵
04/20/20	12.000	7,570.30	Various	1,720.80	...	5,849.50	Total of 3 transactions
04/23/20	3.000	1,866.30	05/24/18	430.20	...	1,436.10	Sale ²⁵
5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	5.000	4,602.95	05/24/18	717.00	...	3,885.95	1 of 5 - Sale ²⁵
	3.000	2,761.76	05/02/19	768.46	...	1,993.30	2 of 5 - Sale ²⁵
	2.000	1,841.18	08/02/19	652.08	...	1,189.10	3 of 5 - Sale ²⁵
	8.000	7,368.56	08/02/19	2,608.34	...	4,760.22	4 of 5 - Sale ²⁵
	5.000	4,605.34	08/05/19	1,579.10	...	3,026.24	5 of 5 - Sale ²⁵
09/23/20	23.000	21,179.79	Various	6,324.98	...	14,854.81	Total of 5 transactions
	Security total:	30,616.39		8,475.98	...	22,140.41	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	10.000	797.98	06/19/18	655.00	...	142.98	1 of 3 - Sale ²⁵
	30.000	2,393.94	08/17/18	2,181.00	...	212.94	2 of 3 - Sale ²⁵
	10.000	797.98	10/11/18	675.60	...	122.38	3 of 3 - Sale ²⁵
02/28/20	50.000	3,989.90	Various	3,511.60	...	478.30	Total of 3 transactions
Totals :		38,589.49		14,820.96		23,768.53	

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Robinhood Securities LLC

Account 107427221

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
05/31/20	0.000	0.60	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000352741
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
05/12/20	0.000	2.42	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000302539
Totals :		3.02		

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Robinhood Securities LLC

Account 107427221

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
08/10/20	0.250	1.23	07/02/20	1.41	...	-0.18	Gain or loss
Totals:		1.23		1.41	...	-0.18	

Robinhood Securities LLC

Account 107427221

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MGM RESORTS INTERNATIONAL	552953101		06/15/20	0.13	Qualified dividend	
			09/15/20	0.50	Qualified dividend	
				0.63	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/12/20	36.21	Qualified dividend	
OCCIDENTAL PETROLEUM CORPORATION	674599105		07/15/20	1.30	Qualified dividend	
ROYAL DUTCH SHELL PLC ADS CLASS A	780259206		09/21/20	19.20	Qualified dividend	
			09/21/20	-2.88	Foreign tax withheld-NL	
				19.20	Total Dividends & distributions	
				-2.88	Total Foreign tax withheld	
SPIRIT AEROSYSTEMS HOLDINGS, INC.	848574109		10/05/20	1.00	Qualified dividend	
STARBUCKS CORPORATION COMMON STOCK	855244109		02/21/20	10.25	Qualified dividend	
			05/22/20	28.70	Qualified dividend	
				38.95	Total Dividends & distributions	
				97.29	Total Dividends & distributions	
				-2.88	Total Foreign tax withheld	

Robinhood Securities LLC

Account 107427221

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/31/20	5.59	Interest	
		02/28/20	12.58	Interest	
		03/31/20	1.13	Interest	
		07/31/20	1.03	Interest	
		09/30/20	1.19	Interest	
		10/23/20	5.58	Interest	
		10/30/20	3.27	Interest	
		11/30/20	4.87	Interest	
		12/30/20	0.12	Interest	
		12/31/20	0.54	Interest	
			35.90	Total Interest	
			35.90	Total Interest	

Robinhood Securities LLC

Account 107427221

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/04/20	-5.00	Margin interest paid	
		04/06/20	-0.29	Margin interest paid	
		06/03/20	-5.00	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		08/03/20	-5.00	Margin interest paid	
		09/01/20	-2.50	Margin interest paid	
		09/01/20	-5.00	Margin interest paid	
		10/01/20	-2.84	Margin interest paid	
		10/01/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/30/20	-5.00	Margin interest paid	
			-50.63	Total Margin interest paid	
ISHARES SILVER TRUST	46428Q109	05/31/20	-0.60	Gross proceeds investment expense	15
SPDR GOLD TRUST, SPDR GOLD SHA RES	Note: CL 78463V107 Note: CL	05/12/20	-2.42	Gross proceeds investment expense	15
			-50.63	Total Margin interest paid	
			-3.02	Total Gross proceeds investmnt exp	

Robinhood Securities LLC

Account 107427221

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
NL	ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206	0.00	19.20		0.00	19.20	-2.88
Total NETHERLANDS			0.00	19.20		0.00	19.20	-2.88
Grand Total	Total Foreign Source Income*			19.20				
	Qualified Foreign Source Income**			19.20				
	Foreign Tax							-2.88

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

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Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DIAMONDPEAK HOLDINGS CORP. CLASS A COMMON STOCK	25280H100	10/26/20	-62.00	0.00	Exchange	
FISKER INC.	33813J106	10/30/20	150.00	0.00	Exchange	
GRAF INDUSTRIAL CORP. COMMON STOCK	384278107	09/30/20	-100.00	0.00	Exchange	
HYLIION HOLDINGS CORP.	449109107	10/02/20	60.00	0.00	Merger	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK	54405Q100	10/26/20	62.00	0.00	Exchange	
NIKOLA CORPORATION COMMON STOCK	654110105	06/04/20	10.00	0.00	Merger	
OCCIDENTAL PETROLEUM CORPORATION WARRANTS TO PURCHASE COMMON STOCK	674599162	08/03/20	16.00	0.00	Stock spinoff	
SPARTAN ENERGY ACQUISITION CORP.	846784106	10/30/20	-150.00	0.00	Exchange	
TORTOISE ACQUISITION CORP. CLASS A COMMON STOCK	89154L100	10/02/20	-60.00	0.00	Merger	
VECTOIQ ACQUISITION CORP. COMMON STOCK	92243N103	06/04/20	-10.00	0.00	Merger	
VELOCITY LIDAR, INC. COMMON STOCK	92259F101	09/30/20	100.00	0.00	Exchange	
USO 06/19/2020 CALL \$7.00	USO 06/19/20 C 7.000	04/29/20	-20.00	0.00	Merger	
USO1 06/19/2020 CALL \$7.00	USO1 06/19/20 C 7.000	04/29/20	20.00	0.00	Merger	

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
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Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.