

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 834494106	Statement Date: 02/14/2021 Document ID: 6907 PX5 42Y8	2020
	Mahesh patel Mudham 10310 Providence Dr 205 Johnston, IA 50131 RECIPIENT'S TIN: XXX-XX-1921		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 130.31</p> <p>1b- Qualified dividends 99.44</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.32</p> <p>2b- Unrecaptured Section 1250 gain 0.03</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.69</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 10.49</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 1.91</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,856,212.66	1,943,580.47	0.00	86,042.89	-1,324.92
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	1,856,212.66	1,943,580.47	0.00	86,042.89	-1,324.92
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,856,212.66	1,943,580.47	0.00	86,042.89	-1,324.92

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	7.50
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/24/2020 PUT \$12.00 / CUSIP: / Symbol:							
07/24/20	1.000	46.98	07/23/20	20.00	...	26.98	Option sale to close-put ²⁵
AAL 08/14/2020 PUT \$11.00 / CUSIP: / Symbol:							
08/10/20	1.000	2.98	08/05/20	26.00	...	-23.02	Option sale to close-put ²⁵
AAL 08/14/2020 PUT \$11.50 / CUSIP: / Symbol:							
08/10/20	1.000	3.98	08/05/20	49.00	...	-45.02	Option sale to close-put ²⁵
AAL 08/14/2020 PUT \$12.00 / CUSIP: / Symbol:							
08/11/20	1.000	2.98	08/05/20	55.00	...	-52.02	Option sale to close-put ²⁵

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/22/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	1,529.95	12/11/20	1,365.00	...	164.95	1 of 2 - Option sale to close-call ²⁵
	1.000	1,539.95	12/11/20	1,275.00	...	264.95	2 of 2 - Option sale to close-call ²⁵
12/15/20	2.000	3,069.90	Various	2,640.00	...	429.90	Total of 2 transactions
12/17/20	1.000	1,974.94	12/11/20	1,355.00	...	619.94	Option sale to close-call ²⁵
	Security total:	5,044.84		3,995.00	...	1,049.84	
AAPL 01/22/2021 CALL \$111.00 / CUSIP: / Symbol:							
12/18/20	1.000	-645.04	12/17/20	0.00	645.04 W	0.00	Short sale closed- call ²⁵ Option written: 12/11/20
AAPL 01/22/2021 PUT \$136.00 / CUSIP: / Symbol:							
12/17/20	1.000	169.96	12/16/20	0.00	...	169.96	Short sale closed- put ²⁵ Option written: 12/15/20
AAPL 01/22/2021 PUT \$137.00 / CUSIP: / Symbol:							
12/16/20	1.000	1,094.96	12/15/20	1,275.00	...	-180.04	Option sale to close-put ²⁵
AAPL 02/19/2021 CALL \$110.00 / CUSIP: / Symbol:							
12/10/20	1.000	1,499.95	12/09/20	1,510.00	...	-10.05	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$490.00 / CUSIP: / Symbol:							
08/28/20	1.000	1,173.96	08/27/20	1,138.00	...	35.96	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$495.00 / CUSIP: / Symbol:							
08/27/20	1.000	805.97	08/26/20	1,168.00	...	-362.03	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/31/20	1.000	490.97	08/28/20	0.00	...	490.97	Short sale closed- call ²⁵ Option written: 08/26/20
AAPL 09/04/2020 CALL \$120.00 / CUSIP: / Symbol:							
09/03/20	4.000	2,163.94	08/25/20	2,498.00	...	-334.06	Option sale to close-call ²⁵
AAPL 09/04/2020 CALL \$122.50 / CUSIP: / Symbol:							
09/04/20	4.000	10,495.72	09/03/20	0.00	...	10,495.72	Short sale closed- call ²⁵ Option written: 08/28/20

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/11/2020 CALL \$125.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/02/20	676.00	...	-676.00	Option expiration- long call
AAPL 09/11/2020 CALL \$130.00 / CUSIP: / Symbol:							
09/11/20	1.000	422.98	09/11/20	0.00	...	422.98	Short sale closed- call expired Option written: 09/02/20
AAPL 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/15/20	1.000	649.97	09/08/20	970.00	320.03 W	0.00	Option sale to close-call ²⁵
09/18/20	1.000	12.98	09/15/20	1,361.05	...	-1,348.07	Option sale to close-call ²⁵
09/18/20	1.000	42.98	09/11/20	734.03	691.05 W	0.00	Option sale to close-call ²⁵
	Security total:	705.93		3,065.08	1,011.08 W	-1,348.07	
AAPL 09/18/2020 CALL \$112.50 / CUSIP: / Symbol:							
	2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	280.98	09/18/20	0.00	...	280.98	1 of 2 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	466.97	09/18/20	0.00	...	466.97	2 of 2 - Short sale closed- call ²⁵ Option written: 09/15/20
09/21/20	2.000	747.95	Various	0.00	...	747.95	Total of 2 transactions
AAPL 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
09/16/20	1.000	352.98	09/15/20	0.00	...	352.98	Short sale closed- call ²⁵ Option written: 09/08/20
AAPL 09/18/2020 CALL \$142.50 / CUSIP: / Symbol:							
09/09/20	1.000	19.98	09/08/20	45.00	...	-25.02	Option sale to close-call ²⁵
AAPL 09/18/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/28/20	1.000	1,049.96	07/24/20	935.00	...	114.96	Option sale to close-call ²⁵
AAPL 09/25/2020 PUT \$110.00 / CUSIP: / Symbol:							
09/22/20	1.000	161.98	09/11/20	359.00	...	-197.02	Option sale to close-put ²⁵
AAPL 09/25/2020 PUT \$112.50 / CUSIP: / Symbol:							
09/23/20	1.000	193.97	09/22/20	0.00	...	193.97	Short sale closed- put ²⁵ Option written: 09/11/20

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/09/2020 CALL \$112.50 / CUSIP: / Symbol:							
10/09/20	1.000	372.98	10/06/20	343.00	...	29.98	Option sale to close-call ²⁵
AAPL 10/09/2020 CALL \$115.00 / CUSIP: / Symbol:							
10/12/20	1.000	67.98	10/09/20	0.00	...	67.98	Short sale closed- call ²⁵ Option written: 10/06/20
AAPL 10/16/2020 CALL \$112.00 / CUSIP: / Symbol:							
10/13/20	1.000	849.97	10/12/20	1,275.00	...	-425.03	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$115.00 / CUSIP: / Symbol:							
10/13/20	1.000	672.97	09/08/20	940.00	...	-267.03	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/14/20	1.000	396.97	10/13/20	0.00	...	396.97	Short sale closed- call ²⁵ Option written: 09/08/20
10/16/20	1.000	34.98	10/15/20	170.00	...	-135.02	Option sale to close-call ²⁵
	Security total:	431.95		170.00	...	261.95	
AAPL 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/13/20	1.000	84.98	10/12/20	198.00	...	-113.02	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$128.75 / CUSIP: / Symbol:							
09/16/20	1.000	149.98	09/03/20	920.00	...	-770.02	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$130.00 / CUSIP: / Symbol:							
09/11/20	1.000	136.98	09/02/20	1,015.00	...	-878.02	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$110.00 / CUSIP: / Symbol:							
10/26/20	1.000	504.97	10/23/20	675.00	...	-170.03	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$115.00 / CUSIP: / Symbol:							
	<i>4 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	308.99	10/23/20	565.01	...	-256.02	1 of 4 - Option sale to close-call ²⁵
	1.000	308.99	10/23/20	535.02	...	-226.03	2 of 4 - Option sale to close-call ²⁵
	1.000	308.99	10/23/20	535.02	...	-226.03	3 of 4 - Option sale to close-call ²⁵
	1.000	308.99	10/23/20	349.00	...	-40.01	4 of 4 - Option sale to close-call ²⁵
10/29/20	4.000	1,235.96	Various	1,984.05	...	-748.09	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$115.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	304.99	10/20/20	521.00	216.01 W	0.00	1 of 4 - Option sale to close-call ²⁵
	2.000	609.97	10/21/20	982.00	186.02 W	-186.01	2 of 4 - Option sale to close-call ²⁵
	2.000	617.98	10/21/20	982.00	182.01 W	-182.01	3 of 4 - Option sale to close-call ²⁵
	1.000	304.98	10/21/20	491.00	186.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
10/29/20	6.000	1,837.92	Various	2,976.00	770.06 W	-368.02	Total of 4 transactions
10/30/20	1.000	7.98	10/23/20	531.01	...	-523.03	Option sale to close-call ²⁵
	Security total:	3,081.86		5,491.06	770.06 W	-1,639.14	
AAPL 10/30/2020 CALL \$116.00 / CUSIP: / Symbol:							
6 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	42.98	10/29/20	0.00	...	42.98	1 of 6 - Short sale closed- call ²⁵ Option written: 10/23/20
	2.000	85.97	10/29/20	0.00	...	85.97	2 of 6 - Short sale closed- call ²⁵ Option written: 10/23/20
	2.000	85.97	10/29/20	0.00	...	85.97	3 of 6 - Short sale closed- call ²⁵ Option written: 10/23/20
	1.000	175.99	10/29/20	0.00	...	175.99	4 of 6 - Short sale closed- call ²⁵ Option written: 10/21/20
	1.000	179.99	10/29/20	0.00	...	179.99	5 of 6 - Short sale closed- call ²⁵ Option written: 10/21/20
	3.000	539.96	10/29/20	0.00	...	539.96	6 of 6 - Short sale closed- call ²⁵ Option written: 10/21/20
10/30/20	10.000	1,110.86	Various	0.00	...	1,110.86	Total of 6 transactions
AAPL 10/30/2020 CALL \$120.00 / CUSIP: / Symbol:							
11/02/20	1.000	274.98	10/30/20	0.00	...	274.98	Short sale closed- call ²⁵ Option written: 10/20/20
AAPL 11/06/2020 CALL \$108.00 / CUSIP: / Symbol:							
11/05/20	1.000	1,081.96	11/02/20	276.00	...	805.96	Option sale to close-call ²⁵
AAPL 11/06/2020 CALL \$109.00 / CUSIP: / Symbol:							
11/06/20	1.000	-767.02	11/05/20	0.00	...	-767.02	Short sale closed- call ²⁵ Option written: 11/02/20

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/13/2020 CALL \$116.00 / CUSIP: / Symbol:							
11/12/20	1.000	-73.02	11/11/20	0.00	...	-73.02	Short sale closed- call ²⁵ Option written: 11/10/20
AAPL 11/13/2020 CALL \$117.00 / CUSIP: / Symbol:							
11/11/20	1.000	228.98	11/10/20	210.00	...	18.98	Option sale to close-call ²⁵
AAPL 11/20/2020 CALL \$117.00 / CUSIP: / Symbol:							
11/19/20	1.000	135.98	11/10/20	275.00	...	-139.02	Option sale to close-call ²⁵
AAPL 11/20/2020 CALL \$117.50 / CUSIP: / Symbol:							
11/20/20	1.000	131.98	11/19/20	0.00	...	131.98	Short sale closed- call ²⁵ Option written: 11/10/20
AAPL 12/24/2020 CALL \$120.00 / CUSIP: / Symbol:							
12/24/20	1.000	1,299.96	12/22/20	1,200.00	...	99.96	Option sale to close-call ²⁵
AAPL 12/24/2020 CALL \$121.00 / CUSIP: / Symbol:							
12/28/20	1.000	-20.04	12/24/20	0.00	20.04 W	0.00	Short sale closed- call ²⁵ Option written: 12/22/20
AAPL 12/31/2020 CALL \$119.00 / CUSIP: / Symbol:							
12/01/20	5.000	3,069.92	11/30/20	2,220.00	...	849.92	Option sale to close-call ²⁵
12/09/20	5.000	3,074.92	11/30/20	2,220.00	...	854.92	Option sale to close-call ²⁵
	Security total:	6,144.84		4,440.00	...	1,704.84	
AAPL 12/31/2020 CALL \$120.00 / CUSIP: / Symbol:							
12/02/20	5.000	-800.06	12/01/20	0.00	800.06 W	0.00	Short sale closed- call ²⁵ Option written: 11/30/20
12/10/20	5.000	-1,570.12	12/09/20	0.00	314.02 W	-1,256.10	Short sale closed- call ²⁵ Option written: 11/30/20
12/30/20	1.000	1,504.95	12/29/20	1,784.02	...	-279.07	Option sale to close-call ²⁵
	Security total:	-865.23		1,784.02	1,114.08 W	-1,535.17	

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBV 08/14/2020 CALL \$95.00 / CUSIP: / Symbol:							
08/11/20	1.000	13.98	08/05/20	79.00	65.02 W	0.00	Option sale to close-call ²⁵
		<i>2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	27.98	08/05/20	79.00	...	-51.02	1 of 2 - Option sale to close-call ²⁵
	1.000	30.98	08/06/20	105.02	...	-74.04	2 of 2 - Option sale to close-call ²⁵
08/12/20	2.000	58.96	Various	184.02	...	-125.06	Total of 2 transactions
	Security total:	72.94		263.02	65.02 W	-125.06	
ADBE 09/25/2020 CALL \$480.00 / CUSIP: / Symbol:							
09/16/20	1.000	1,554.95	09/14/20	2,125.00	...	-570.05	Option sale to close-call ²⁵
ADBE 09/25/2020 CALL \$485.00 / CUSIP: / Symbol:							
09/17/20	1.000	594.94	09/16/20	0.00	...	594.94	Short sale closed- call ²⁵ Option written: 09/14/20
ADSK 09/04/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/04/20	1.000	825.97	08/26/20	1,393.00	...	-567.03	Option sale to close-call ²⁵
ADSK 09/04/2020 CALL \$232.50 / CUSIP: / Symbol:							
09/04/20	1.000	303.98	08/31/20	1,292.00	...	-988.02	Option sale to close-call ²⁵
ADSK 09/04/2020 CALL \$235.00 / CUSIP: / Symbol:							
09/08/20	1.000	949.96	09/04/20	0.00	...	949.96	Short sale closed- call ²⁵ Option written: 08/31/20
ADSK 09/04/2020 CALL \$237.50 / CUSIP: / Symbol:							
09/04/20	1.000	39.98	08/31/20	854.00	...	-814.02	Option sale to close-call ²⁵
ADSK 09/04/2020 CALL \$240.00 / CUSIP: / Symbol:							
		<i>2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	555.97	09/04/20	0.00	...	555.97	1 of 2 - Short sale closed- call ²⁵ Option written: 08/26/20
	1.000	662.97	09/04/20	0.00	...	662.97	2 of 2 - Short sale closed- call ²⁵ Option written: 08/31/20
09/08/20	2.000	1,218.94	Various	0.00	...	1,218.94	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADSK 09/11/2020 CALL \$260.00 / CUSIP: / Symbol: 09/10/20	1.000	0.98	09/04/20	35.00	...	-34.02	Option sale to close-call ²⁵
ADSK 09/18/2020 CALL \$260.00 / CUSIP: / Symbol: 09/11/20	1.000	0.98	09/08/20	28.00	...	-27.02	Option sale to close-call ²⁵
ADSK 10/02/2020 CALL \$230.00 / CUSIP: / Symbol: 09/28/20	1.000	659.97	09/14/20	937.00	...	-277.03	Option sale to close-call ²⁵
ADSK 10/02/2020 CALL \$235.00 / CUSIP: / Symbol: 09/29/20	1.000	303.97	09/28/20	0.00	...	303.97	Short sale closed- call ²⁵ Option written: 09/14/20
ADSK 10/23/2020 CALL \$212.50 / CUSIP: / Symbol: 10/19/20	1.000	5,548.86	09/24/20	1,285.00	...	4,263.86	Option sale to close-call ²⁵
ADSK 10/23/2020 CALL \$215.00 / CUSIP: / Symbol: 10/20/20	1.000	-4,165.04	10/19/20	0.00	...	-4,165.04	Short sale closed- call ²⁵ Option written: 09/24/20
AMD 07/02/2020 CALL \$53.00 / CUSIP: / Symbol: 06/30/20	1.000	31.98	06/29/20	20.00	...	11.98	Option sale to close-call ²⁵
AMD 07/02/2020 CALL \$55.00 / CUSIP: / Symbol: 06/29/20	1.000	10.98	06/25/20	45.00	...	-34.02	Option sale to close-call ²⁵
AMD 07/02/2020 CALL \$56.00 / CUSIP: / Symbol: 06/29/20	1.000	9.98	06/24/20	55.00	...	-45.02	Option sale to close-call ²⁵
AMD 09/18/2020 CALL \$75.00 / CUSIP: / Symbol: 09/15/20	1.000	509.97	09/03/20	965.00	...	-455.03	Option sale to close-call ²⁵
AMD 09/18/2020 CALL \$80.00 / CUSIP: / Symbol: 09/16/20	1.000	454.97	09/15/20	0.00	...	454.97	Short sale closed- call ²⁵ Option written: 09/03/20
AMD 10/30/2020 CALL \$70.00 / CUSIP: / Symbol: 09/30/20	1.000	1,338.96	09/25/20	1,019.00	...	319.96	Option sale to close-call ²⁵

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 10/30/2020 CALL \$80.00 / CUSIP: / Symbol:							
10/01/20	1.000	-187.03	09/30/20	0.00	187.03 W	0.00	Short sale closed- call ²⁵ Option written: 09/25/20
10/28/20	1.000	48.98	10/08/20	1,234.03	...	-1,185.05	Option sale to close-call ²⁵
	Security total:	-138.05		1,234.03	187.03 W	-1,185.05	
AMD 10/30/2020 CALL \$85.00 / CUSIP: / Symbol:							
10/29/20	1.000	737.97	10/28/20	0.00	...	737.97	Short sale closed- call ²⁵ Option written: 10/08/20
AMZN 09/18/2020 CALL \$3,100.00 / CUSIP: / Symbol:							
09/15/20	1.000	6,299.85	09/14/20	6,160.00	...	139.85	Option sale to close-call ²⁵
AMZN 09/18/2020 CALL \$3,105.00 / CUSIP: / Symbol:							
09/16/20	1.000	-130.15	09/15/20	0.00	...	-130.15	Short sale closed- call ²⁵ Option written: 09/14/20
AMZN 09/18/2020 CALL \$3,210.00 / CUSIP: / Symbol:							
09/17/20	1.000	102.98	09/11/20	7,396.00	...	-7,293.02	Option sale to close-call ²⁵
AMZN 09/18/2020 CALL \$3,220.00 / CUSIP: / Symbol:							
09/18/20	1.000	6,800.83	09/17/20	0.00	...	6,800.83	Short sale closed- call ²⁵ Option written: 09/11/20
AMZN 09/25/2020 CALL \$2,930.00 / CUSIP: / Symbol:							
09/22/20	1.000	12,129.72	09/18/20	7,680.00	...	4,449.72	Option sale to close-call ²⁵
AMZN 09/25/2020 CALL \$2,940.00 / CUSIP: / Symbol:							
09/23/20	1.000	-4,232.17	09/22/20	0.00	...	-4,232.17	Short sale closed- call ²⁵ Option written: 09/18/20
AMZN 09/25/2020 CALL \$2,995.00 / CUSIP: / Symbol:							
09/22/20	1.000	6,804.83	09/18/20	8,192.00	...	-1,387.17	Option sale to close-call ²⁵
AMZN 09/25/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
09/23/20	1.000	1,406.81	09/22/20	0.00	...	1,406.81	Short sale closed- call ²⁵ Option written: 09/18/20

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AMZN 09/25/2020 CALL \$3,135.00 / CUSIP: / Symbol: 09/25/20	1.000	26.98	09/11/20	9,012.00	...	-8,985.02	Option sale to close-call ²⁵
AMZN 09/25/2020 CALL \$3,140.00 / CUSIP: / Symbol: 09/28/20	1.000	8,736.79	09/25/20	0.00	...	8,736.79	Short sale closed- call ²⁵ Option written: 09/11/20
AMZN 10/02/2020 CALL \$3,100.00 / CUSIP: / Symbol: 10/02/20	1.000	4,824.88	09/14/20	12,728.00	...	-7,903.12	Option sale to close-call ²⁵
AMZN 10/02/2020 CALL \$3,110.00 / CUSIP: / Symbol: 10/05/20	1.000	8,272.72	10/02/20	0.00	...	8,272.72	Short sale closed- call ²⁵ Option written: 09/14/20
AMZN 10/09/2020 CALL \$3,095.00 / CUSIP: / Symbol: 09/22/20	1.000	12,393.71	09/16/20	13,340.00	...	-946.29	Option sale to close-call ²⁵
AMZN 10/09/2020 CALL \$3,100.00 / CUSIP: / Symbol: 09/23/20	1.000	935.70	09/22/20	0.00	...	935.70	Short sale closed- call ²⁵ Option written: 09/16/20
AMZN 10/23/2020 CALL \$3,150.00 / CUSIP: / Symbol: 10/06/20	1.000	12,924.70	10/05/20	13,100.00	...	-175.30	Option sale to close-call ²⁵
AMZN 10/23/2020 CALL \$3,180.00 / CUSIP: / Symbol: 10/19/20	1.000	9,082.78	09/24/20	10,355.00	...	-1,272.22	Option sale to close-call ²⁵
AMZN 10/23/2020 CALL \$3,200.00 / CUSIP: / Symbol: 10/20/20	1.000	1,796.77	10/19/20	0.00	...	1,796.77	Short sale closed- call ²⁵ Option written: 09/24/20
AMZN 10/23/2020 CALL \$3,250.00 / CUSIP: / Symbol: 10/07/20	1.000	299.79	10/06/20	0.00	...	299.79	Short sale closed- call ²⁵ Option written: 10/05/20
AMZN 10/23/2020 CALL \$3,280.00 / CUSIP: / Symbol: 10/19/20	1.000	4,864.88	10/09/20	9,636.00	...	-4,771.12	Option sale to close-call ²⁵

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AMZN 10/23/2020 CALL \$3,300.00 / CUSIP: / Symbol: 10/19/20	1.000	3,541.91	10/14/20	13,789.00	...	-10,247.09	Option sale to close-call ²⁵
AMZN 10/23/2020 CALL \$3,330.00 / CUSIP: / Symbol: 10/20/20	1.000	4,168.82	10/19/20	0.00	...	4,168.82	Short sale closed- call ²⁵ Option written: 10/09/20
AMZN 10/23/2020 CALL \$3,335.00 / CUSIP: / Symbol: 10/20/20	1.000	9,096.73	10/19/20	0.00	...	9,096.73	Short sale closed- call ²⁵ Option written: 10/14/20
AMZN 10/23/2020 CALL \$3,450.00 / CUSIP: / Symbol: 10/21/20	1.000	138.98	10/14/20	7,390.00	...	-7,251.02	Option sale to close-call ²⁵
AMZN 10/23/2020 CALL \$3,480.00 / CUSIP: / Symbol: 10/22/20	1.000	6,183.85	10/21/20	0.00	...	6,183.85	Short sale closed- call ²⁵ Option written: 10/14/20
AMZN 10/30/2020 CALL \$3,000.00 / CUSIP: / Symbol: 09/24/20	1.000	21,474.51	09/23/20	20,948.00	...	526.51	Option sale to close-call ²⁵
AMZN 10/30/2020 CALL \$3,010.00 / CUSIP: / Symbol: 09/25/20	1.000	-527.47	09/24/20	0.00	...	-527.47	Short sale closed- call ²⁵ Option written: 09/23/20
AMZN 10/30/2020 CALL \$3,100.00 / CUSIP: / Symbol: 10/01/20	1.000	23,894.46	09/30/20	21,933.00	...	1,961.46	Option sale to close-call ²⁵
AMZN 10/30/2020 CALL \$3,150.00 / CUSIP: / Symbol: 10/02/20	1.000	-1,862.44	10/01/20	0.00	1,862.44 W	0.00	Short sale closed- call ²⁵ Option written: 09/30/20
10/06/20	1.000	15,179.65	10/06/20	19,248.44	4,068.79 W	0.00	Option sale to close-call ²⁵
10/19/20	1.000	19,667.55	10/07/20	20,183.79	516.24 W	0.00	Option sale to close-call ²⁵
10/27/20	1.000	16,771.61	10/26/20	13,657.24	...	3,114.37	Option sale to close-call ²⁵
10/29/20	1.000	11,334.73	10/28/20	11,705.00	370.27 W	0.00	Option sale to close-call ²⁵
10/30/20	1.000	3,214.91	10/29/20	11,455.27	...	-8,240.36	Option sale to close-call ²⁵
Security total:		64,306.01		76,249.74	6,817.74 W	-5,125.99	

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AMZN 10/30/2020 CALL \$3,200.00 / CUSIP: / Symbol:							
10/20/20	1.000	-3,120.32	10/19/20	0.00	3,120.32 W	0.00	Short sale closed- call ²⁵ Option written: 10/07/20
10/28/20	1.000	-6,184.57	10/27/20	0.00	6,184.57 W	0.00	Short sale closed- call ²⁵ Option written: 10/26/20
10/30/20	1.000	-5,830.79	10/29/20	0.00	5,830.79 W	0.00	Short sale closed- call ²⁵ Option written: 10/28/20
11/02/20	1.000	1,439.01	10/30/20	0.00	...	1,439.01	Short sale closed- call ²⁵ Option written: 10/29/20
Security total:		-13,696.67		0.00	15,135.68 W	1,439.01	
AMZN 10/30/2020 CALL \$3,210.00 / CUSIP: / Symbol:							
10/26/20	1.000	11,279.74	10/20/20	14,922.00	...	-3,642.26	Option sale to close-call ²⁵
AMZN 10/30/2020 CALL \$3,250.00 / CUSIP: / Symbol:							
10/07/20	1.000	1,825.70	10/06/20	0.00	...	1,825.70	Short sale closed- call ²⁵ Option written: 10/06/20
AMZN 10/30/2020 CALL \$3,260.00 / CUSIP: / Symbol:							
10/27/20	1.000	3,641.71	10/26/20	0.00	...	3,641.71	Short sale closed- call ²⁵ Option written: 10/20/20
10/30/20	1.000	55.98	10/27/20	11,098.00	...	-11,042.02	Option sale to close-call ²⁵
Security total:		3,697.69		11,098.00	...	-7,400.31	
AMZN 10/30/2020 CALL \$3,265.00 / CUSIP: / Symbol:							
11/02/20	1.000	10,784.75	10/30/20	0.00	...	10,784.75	Short sale closed- call ²⁵ Option written: 10/27/20
AMZN 10/30/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
10/19/20	1.000	4,929.88	10/16/20	9,391.00	...	-4,461.12	Option sale to close-call ²⁵
AMZN 10/30/2020 CALL \$3,550.00 / CUSIP: / Symbol:							
10/20/20	1.000	3,835.81	10/19/20	0.00	...	3,835.81	Short sale closed- call ²⁵ Option written: 10/16/20
AMZN 10/30/2020 PUT \$3,020.00 / CUSIP: / Symbol:							
10/12/20	1.000	2,554.93	10/02/20	10,725.00	...	-8,170.07	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 10/30/2020 PUT \$3,030.00 / CUSIP: / Symbol: 10/13/20	1.000	8,388.74	10/12/20	0.00	...	8,388.74	Short sale closed- put ²⁵ Option written: 10/02/20
AMZN 11/06/2020 CALL \$3,150.00 / CUSIP: / Symbol: 10/26/20	1.000	20,984.52	10/23/20	14,429.00	...	6,555.52	Option sale to close-call ²⁵
AMZN 11/06/2020 CALL \$3,200.00 / CUSIP: / Symbol: 10/27/20	1.000	-5,921.28	10/26/20	0.00	...	-5,921.28	Short sale closed- call ²⁵ Option written: 10/23/20
AMZN 11/20/2020 CALL \$3,450.00 / CUSIP: / Symbol: 10/15/20	1.000	15,933.63	09/04/20	26,735.00	...	-10,801.37	Option sale to close-call ²⁵
AMZN 11/20/2020 CALL \$3,470.00 / CUSIP: / Symbol: 10/16/20	1.000	10,750.41	10/15/20	0.00	...	10,750.41	Short sale closed- call ²⁵ Option written: 09/04/20
AMZN 11/20/2020 CALL \$3,480.00 / CUSIP: / Symbol: 10/30/20	1.000	2,734.92	09/04/20	29,428.00	...	-26,693.08	Option sale to close-call ²⁵
AMZN 11/20/2020 CALL \$3,500.00 / CUSIP: / Symbol: 11/02/20	1.000	26,081.35	10/30/20	0.00	...	26,081.35	Short sale closed- call ²⁵ Option written: 09/04/20
AVGO 09/04/2020 CALL \$350.00 / CUSIP: / Symbol: 09/02/20	1.000	2,204.94	09/01/20	1,641.00	...	563.94	Option sale to close-call ²⁵
AVGO 09/04/2020 CALL \$360.00 / CUSIP: / Symbol: 09/03/20	1.000	-464.04	09/02/20	0.00	...	-464.04	Short sale closed- call ²⁵ Option written: 09/01/20
AXP 10/23/2020 CALL \$103.00 / CUSIP: / Symbol: 10/23/20	1.000	26.98	10/15/20	305.00	...	-278.02	Option sale to close-call ²⁵
AXP 10/23/2020 CALL \$104.00 / CUSIP: / Symbol: 10/21/20	1.000	83.98	10/19/20	195.00	...	-111.02	Option sale to close-call ²⁵

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AXP 10/23/2020 CALL \$108.00 / CUSIP: / Symbol:							
10/26/20	1.000	95.98	10/23/20	0.00	...	95.98	Short sale closed- call ²⁵ Option written: 10/15/20
AXP 10/23/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/06/20	1.000	1,424.95	09/24/20	711.00	...	713.95	Option sale to close-call ²⁵
AXP 10/23/2020 CALL \$93.00 / CUSIP: / Symbol:							
10/07/20	1.000	-622.03	10/06/20	0.00	...	-622.03	Short sale closed- call ²⁵ Option written: 09/24/20
AZN 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/30/20	3.000	1,265.96	11/25/20	1,185.00	...	80.96	Option sale to close-call ²⁵
AZN 01/15/2021 CALL \$52.50 / CUSIP: / Symbol:							
12/01/20	3.000	-87.03	11/30/20	0.00	...	-87.03	Short sale closed- call ²⁵ Option written: 11/25/20
AZN 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/24/20	1.000	439.98	08/14/20	340.00	...	99.98	Option sale to close-call ²⁵
AZN 06/12/2020 CALL \$52.50 / CUSIP: / Symbol:							
06/10/20	1.000	159.98	06/08/20	90.00	...	69.98	Option sale to close-call ²⁵
AZN 08/21/2020 CALL \$56.00 / CUSIP: / Symbol:							
08/12/20	1.000	94.98	08/07/20	115.00	...	-20.02	Option sale to close-call ²⁵
BA 01/08/2021 CALL \$217.50 / CUSIP: / Symbol:							
12/09/20	1.000	2,092.94	12/02/20	1,292.00	...	800.94	Option sale to close-call ²⁵
BA 01/08/2021 CALL \$220.00 / CUSIP: / Symbol:							
12/10/20	1.000	-763.04	12/09/20	0.00	...	-763.04	Short sale closed- call ²⁵ Option written: 12/02/20
BA 01/15/2021 CALL \$205.00 / CUSIP: / Symbol:							
11/23/20	1.000	1,919.94	11/17/20	2,098.00	...	-178.06	Option sale to close-call ²⁵

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02/14/2021

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BA 01/15/2021 CALL \$210.00 / CUSIP: / Symbol: 11/24/20	1.000	186.94	11/23/20	0.00	...	186.94	Short sale closed- call ²⁵ Option written: 11/17/20
BA 05/21/2021 CALL \$220.00 / CUSIP: / Symbol: 12/31/20	1.000	2,366.93	12/22/20	2,823.00	...	-456.07	Option sale to close-call ²⁵
BA 06/18/2021 CALL \$200.00 / CUSIP: / Symbol: 11/30/20	1.000	3,963.90	11/24/20	4,463.00	...	-499.10	Option sale to close-call ²⁵
BA 06/18/2021 CALL \$220.00 / CUSIP: / Symbol: 12/01/20	1.000	433.91	11/30/20	0.00	...	433.91	Short sale closed- call ²⁵ Option written: 11/24/20
BA 09/18/2020 CALL \$157.50 / CUSIP: / Symbol: 09/14/20	1.000	542.97	09/09/20	799.00	...	-256.03	Option sale to close-call ²⁵
BA 09/18/2020 CALL \$160.00 / CUSIP: / Symbol: 09/15/20	1.000	255.97	09/14/20	0.00	...	255.97	Short sale closed- call ²⁵ Option written: 09/09/20
BA 11/13/2020 PUT \$187.50 / CUSIP: / Symbol: 11/12/20	1.000	93.97	11/11/20	0.00	...	93.97	Short sale closed- put ²⁵ Option written: 11/10/20
BA 11/13/2020 PUT \$190.00 / CUSIP: / Symbol: 11/11/20	1.000	649.97	11/10/20	700.00	...	-50.03	Option sale to close-put ²⁵
BA 11/20/2020 PUT \$177.50 / CUSIP: / Symbol: 11/17/20	1.000	509.97	11/16/20	0.00	...	509.97	Short sale closed- put ²⁵ Option written: 11/12/20
BA 11/20/2020 PUT \$180.00 / CUSIP: / Symbol: 11/16/20	1.000	119.98	11/12/20	750.00	...	-630.02	Option sale to close-put ²⁵
BA 11/20/2020 PUT \$182.50 / CUSIP: / Symbol: 11/13/20	1.000	19.97	11/12/20	0.00	...	19.97	Short sale closed- put ²⁵ Option written: 11/11/20

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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BA 11/20/2020 PUT \$185.00 / CUSIP: / Symbol:							
11/12/20	1.000	849.97	11/11/20	829.03	...	20.94	Option sale to close-put ²⁵
11/12/20	1.000	-30.03	11/11/20	0.00	30.03 W	0.00	Short sale closed- put ²⁵ Option written: 11/10/20
Security total:		819.94		829.03	30.03 W	20.94	
BA 11/20/2020 PUT \$187.50 / CUSIP: / Symbol:							
11/11/20	1.000	729.97	11/10/20	740.00	...	-10.03	Option sale to close-put ²⁵
BA 11/20/2020 PUT \$202.50 / CUSIP: / Symbol:							
11/20/20	1.000	159.98	11/19/20	0.00	...	159.98	Short sale closed- put ²⁵ Option written: 11/18/20
BA 11/20/2020 PUT \$205.00 / CUSIP: / Symbol:							
11/19/20	1.000	283.98	11/18/20	479.00	...	-195.02	Option sale to close-put ²⁵
BA 11/27/2020 PUT \$212.50 / CUSIP: / Symbol:							
11/30/20	1.000	97.98	11/27/20	0.00	...	97.98	Short sale closed- put ²⁵ Option written: 11/25/20
BA 11/27/2020 PUT \$215.00 / CUSIP: / Symbol:							
11/27/20	1.000	44.98	11/25/20	217.00	...	-172.02	Option sale to close-put ²⁵
BABA 01/08/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/29/20	1.000	3,708.90	12/24/20	2,350.00	...	1,358.90	Option sale to close-call ²⁵
BABA 01/08/2021 CALL \$205.00 / CUSIP: / Symbol:							
12/30/20	1.000	-1,148.06	12/29/20	0.00	...	-1,148.06	Short sale closed- call ²⁵ Option written: 12/24/20
BABA 01/08/2021 PUT \$250.00 / CUSIP: / Symbol:							
12/30/20	1.000	1,454.95	12/29/20	1,978.05	...	-523.10	Option sale to close-put ²⁵
12/30/20	1.000	1,376.95	12/29/20	1,675.00	298.05 W	0.00	Option sale to close-put ²⁵
Security total:		2,831.90		3,653.05	298.05 W	-523.10	

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BABA 01/08/2021 PUT \$252.50 / CUSIP: / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	164.94	12/30/20	0.00	...	164.94	1 of 2 - Short sale closed- put ²⁵ Option written: 12/29/20
	1.000	272.94	12/30/20	0.00	...	272.94	2 of 2 - Short sale closed- put ²⁵ Option written: 12/29/20
12/31/20	2.000	437.88	Various	0.00	...	437.88	Total of 2 transactions
BABA 01/15/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/28/20	1.000	2,599.93	12/24/20	2,330.00	...	269.93	Option sale to close-call ²⁵
BABA 01/15/2021 CALL \$205.00 / CUSIP: / Symbol:							
12/29/20	1.000	19.94	12/28/20	0.00	...	19.94	Short sale closed- call ²⁵ Option written: 12/24/20
BABA 01/15/2021 CALL \$210.00 / CUSIP: / Symbol:							
12/28/20	1.000	1,499.95	12/24/20	2,035.00	...	-535.05	Option sale to close-call ²⁵
BABA 01/15/2021 CALL \$295.00 / CUSIP: / Symbol:							
12/09/20	1.000	260.98	11/24/20	1,119.02	...	-858.04	Option sale to close-call ²⁵
12/09/20	1.000	260.98	11/20/20	695.00	434.02 W	0.00	Option sale to close-call ²⁵
	Security total:	521.96		1,814.02	434.02 W	-858.04	
BABA 01/15/2021 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	358.97	12/09/20	0.00	...	358.97	1 of 2 - Short sale closed- call ²⁵ Option written: 11/24/20
	1.000	368.97	12/09/20	0.00	...	368.97	2 of 2 - Short sale closed- call ²⁵ Option written: 11/20/20
12/10/20	2.000	727.94	Various	0.00	...	727.94	Total of 2 transactions
BABA 01/22/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/30/20	1.000	1,666.95	12/29/20	1,450.00	...	216.95	Option sale to close-call ²⁵
BABA 01/22/2021 CALL \$227.50 / CUSIP: / Symbol:							
12/31/20	1.000	-157.04	12/30/20	0.00	...	-157.04	Short sale closed- call ²⁵ Option written: 12/29/20

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BABA 01/22/2021 CALL \$250.00 / CUSIP: / Symbol: 12/24/20	1.000	374.98	12/23/20	1,212.00	...	-837.02	Option sale to close-call ²⁵
BABA 01/22/2021 CALL \$252.50 / CUSIP: / Symbol: 12/28/20	1.000	731.96	12/24/20	0.00	...	731.96	Short sale closed- call ²⁵ Option written: 12/23/20
BABA 01/29/2021 CALL \$220.00 / CUSIP: / Symbol: <i>2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>	1.000	2,166.94	12/29/20	1,900.00	...	266.94	1 of 2 - Option sale to close-call ²⁵
	1.000	2,204.94	12/29/20	1,920.00	...	284.94	2 of 2 - Option sale to close-call ²⁵
12/30/20	2.000	4,371.88	Various	3,820.00	...	551.88	Total of 2 transactions
BABA 01/29/2021 CALL \$222.50 / CUSIP: / Symbol: 12/31/20	1.000	-535.10	12/30/20	0.00	...	-535.10	Short sale closed- call ²⁵ Option written: 12/29/20
12/31/20	1.000	-325.05	12/30/20	0.00	325.05 W	0.00	Short sale closed- call ²⁵ Option written: 12/29/20
Security total:		-860.15		0.00	325.05 W	-535.10	
BABA 01/29/2021 CALL \$247.50 / CUSIP: / Symbol: 12/31/20	1.000	586.97	12/30/20	753.00	...	-166.03	Option sale to close-call ²⁵
BABA 01/29/2021 CALL \$257.50 / CUSIP: / Symbol: 12/31/20	1.000	373.98	12/30/20	450.00	...	-76.02	Option sale to close-call ²⁵
BABA 06/18/2021 CALL \$225.00 / CUSIP: / Symbol: <i>2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>	1.000	2,997.92	12/24/20	2,758.00	...	239.92	1 of 2 - Option sale to close-call ²⁵
	2.000	5,995.85	12/24/20	5,516.00	...	479.85	2 of 2 - Option sale to close-call ²⁵
12/31/20	3.000	8,993.77	Various	8,274.00	...	719.77	Total of 2 transactions
BABA 10/23/2020 CALL \$272.50 / CUSIP: / Symbol: 10/20/20	1.000	3,659.90	09/14/20	1,528.00	...	2,131.90	Option sale to close-call ²⁵

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BABA 10/23/2020 CALL \$275.00 / CUSIP: / Symbol: 10/21/20	1.000	-2,009.05	10/20/20	0.00	...	-2,009.05	Short sale closed- call ²⁵ Option written: 09/14/20
BABA 11/06/2020 CALL \$285.00 / CUSIP: / Symbol: 11/05/20	1.000	213.98	11/03/20	900.00	...	-686.02	Option sale to close-call ²⁵
BABA 11/06/2020 CALL \$287.50 / CUSIP: / Symbol: 11/03/20	1.000	734.97	11/03/20	782.00	...	-47.03	Option sale to close-call ²⁵
11/06/20	1.000	566.97	11/05/20	0.00	...	566.97	Short sale closed- call ²⁵ Option written: 11/03/20
Security total:		1,301.94		782.00	...	519.94	
BABA 11/06/2020 CALL \$305.00 / CUSIP: / Symbol: 10/30/20	1.000	1,204.96	10/26/20	1,304.00	...	-99.04	Option sale to close-call ²⁵
BABA 11/06/2020 CALL \$307.50 / CUSIP: / Symbol: 11/02/20	1.000	88.96	10/30/20	0.00	...	88.96	Short sale closed- call ²⁵ Option written: 10/26/20
BABA 11/13/2020 CALL \$285.00 / CUSIP: / Symbol: 11/06/20	1.000	1,269.96	11/05/20	950.00	...	319.96	Option sale to close-call ²⁵
BABA 11/13/2020 CALL \$287.50 / CUSIP: / Symbol: 11/09/20	1.000	-164.03	11/06/20	0.00	...	-164.03	Short sale closed- call ²⁵ Option written: 11/05/20
BABA 11/20/2020 CALL \$265.00 / CUSIP: / Symbol: 11/11/20	1.000	1,204.96	11/10/20	1,165.00	...	39.96	Option sale to close-call ²⁵
BABA 11/20/2020 CALL \$270.00 / CUSIP: / Symbol: 11/10/20	1.000	899.97	11/10/20	1,070.00	...	-170.03	Option sale to close-call ²⁵
BABA 11/20/2020 CALL \$275.00 / CUSIP: / Symbol: 11/12/20	1.000	59.97	11/11/20	0.00	...	59.97	Short sale closed- call ²⁵ Option written: 11/10/20

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/20/2020 CALL \$295.00 / CUSIP: / Symbol: 11/10/20	1.000	285.98	11/09/20	1,070.00	...	-784.02	Option sale to close-call ²⁵
BABA 11/20/2020 CALL \$297.50 / CUSIP: / Symbol: 11/11/20	1.000	669.97	11/10/20	0.00	...	669.97	Short sale closed- call ²⁵ Option written: 11/09/20
BABA 11/27/2020 CALL \$265.00 / CUSIP: / Symbol: 11/20/20	1.000	454.97	11/11/20	1,310.00	...	-855.03	Option sale to close-call ²⁵
BABA 11/27/2020 CALL \$270.00 / CUSIP: / Symbol: 11/20/20	1.000	714.96	11/19/20	0.00	...	714.96	Short sale closed- call ²⁵ Option written: 11/11/20
BABA 11/27/2020 PUT \$255.00 / CUSIP: / Symbol: 11/20/20	1.000	208.97	11/19/20	0.00	...	208.97	Short sale closed- put ²⁵ Option written: 11/17/20
BABA 11/27/2020 PUT \$257.50 / CUSIP: / Symbol: 11/19/20	1.000	419.98	11/17/20	649.00	...	-229.02	Option sale to close-put ²⁵
BABA 12/04/2020 CALL \$255.00 / CUSIP: / Symbol: 11/23/20	1.000	1,449.95	11/13/20	1,365.00	...	84.95	Option sale to close-call ²⁵
BABA 12/04/2020 CALL \$257.50 / CUSIP: / Symbol: 11/24/20	1.000	-31.04	11/23/20	0.00	...	-31.04	Short sale closed- call ²⁵ Option written: 11/13/20
BABA 12/24/2020 CALL \$240.00 / CUSIP: / Symbol: 12/24/20	1.000	57.98	12/23/20	1,750.00	...	-1,692.02	Option sale to close-call ²⁵
BABA 12/24/2020 CALL \$242.50 / CUSIP: / Symbol: 12/28/20	1.000	1,398.95	12/24/20	0.00	...	1,398.95	Short sale closed- call ²⁵ Option written: 12/23/20

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 12/31/2020 CALL \$200.00 / CUSIP: / Symbol:							
12/28/20	1.000	2,384.93	12/24/20	2,280.00	...	104.93	Option sale to close-call ²⁵
<i>2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,493.91	12/28/20	2,375.00	...	1,118.91	1 of 2 - Option sale to close-call ²⁵
	1.000	3,493.91	12/28/20	2,300.00	...	1,193.91	2 of 2 - Option sale to close-call ²⁵
12/29/20	2.000	6,987.82	Various	4,675.00	...	2,312.82	Total of 2 transactions
	Security total:	9,372.75		6,955.00	...	2,417.75	
BABA 12/31/2020 CALL \$205.00 / CUSIP: / Symbol:							
12/29/20	1.000	74.94	12/28/20	0.00	...	74.94	Short sale closed- call ²⁵
12/30/20	1.000	-2,324.12	12/29/20	0.00	...	-2,324.12	Option written: 12/24/20 Short sale closed- call ²⁵
12/30/20	1.000	-1,162.06	12/29/20	0.00	1,162.06 W	0.00	Option written: 12/28/20 Short sale closed- call ²⁵
	Security total:	-3,411.24		0.00	1,162.06 W	-2,249.18	
BABA 12/31/2020 CALL \$210.00 / CUSIP: / Symbol:							
12/28/20	1.000	1,177.96	12/24/20	1,731.04	...	-553.08	Option sale to close-call ²⁵
12/28/20	1.000	1,208.96	12/24/20	1,500.00	291.04 W	0.00	Option sale to close-call ²⁵
	Security total:	2,386.92		3,231.04	291.04 W	-553.08	
BABA 12/31/2020 CALL \$215.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	137.96	12/28/20	0.00	...	137.96	1 of 2 - Short sale closed- call ²⁵
	1.000	311.96	12/28/20	0.00	...	311.96	Option written: 12/24/20 2 of 2 - Short sale closed- call ²⁵
12/29/20	2.000	449.92	Various	0.00	...	449.92	Option written: 12/24/20 Total of 2 transactions
BABA 12/31/2020 PUT \$235.00 / CUSIP: / Symbol:							
12/29/20	1.000	314.95	12/28/20	0.00	...	314.95	Short sale closed- put ²⁵ Option written: 12/24/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 12/31/2020 PUT \$237.50 / CUSIP: / Symbol:							
12/28/20	1.000	1,589.95	12/24/20	1,900.00	...	-310.05	Option sale to close-put ²⁵
12/30/20	1.000	884.95	12/29/20	0.00	...	884.95	Short sale closed- put ²⁵
							Option written: 12/28/20
	Security total:	2,474.90		1,900.00	...	574.90	
BABA 12/31/2020 PUT \$240.00 / CUSIP: / Symbol:							
12/29/20	1.000	829.97	12/28/20	1,700.00	...	-870.03	Option sale to close-put ²⁵
BAC 07/10/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/08/20	1.000	1.98	06/26/20	39.00	...	-37.02	Option sale to close-call ²⁵
BAC 07/24/2020 CALL \$23.50 / CUSIP: / Symbol:							
07/20/20	1.000	39.98	07/17/20	43.00	...	-3.02	Option sale to close-call ²⁵
BBY 01/08/2021 CALL \$109.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	275.98	11/30/20	1,012.00	...	-736.02	1 of 2 - Option sale to close-call ²⁵
	1.000	137.98	11/30/20	506.00	...	-368.02	2 of 2 - Option sale to close-call ²⁵
12/10/20	3.000	413.96	Various	1,518.00	...	-1,104.04	Total of 2 transactions
BBY 01/08/2021 CALL \$110.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	333.98	12/10/20	0.00	...	333.98	1 of 2 - Short sale closed- call ²⁵
	2.000	667.97	12/10/20	0.00	...	667.97	2 of 2 - Short sale closed- call ²⁵
12/11/20	3.000	1,001.95	Various	0.00	...	1,001.95	Option written: 11/30/20 Total of 2 transactions
BBY 11/27/2020 CALL \$119.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	24.98	11/23/20	479.00	...	-454.02	1 of 2 - Option sale to close-call ²⁵
	1.000	24.98	11/23/20	479.00	...	-454.02	2 of 2 - Option sale to close-call ²⁵
11/25/20	2.000	49.96	Various	958.00	...	-908.04	Total of 2 transactions

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(continued)

02/14/2021

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BBY 11/27/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	405.98	11/25/20	0.00	...	405.98	1 of 2 - Short sale closed- call ²⁵ Option written: 11/23/20
	1.000	405.99	11/25/20	0.00	...	405.99	2 of 2 - Short sale closed- call ²⁵ Option written: 11/23/20
11/27/20	2.000	811.97	Various	0.00	...	811.97	Total of 2 transactions
BBY 11/27/2020 CALL \$121.00 / CUSIP: / Symbol:							
11/25/20	1.000	14.98	11/23/20	415.00	...	-400.02	Option sale to close-call ²⁵
BBY 11/27/2020 CALL \$124.00 / CUSIP: / Symbol:							
11/27/20	1.000	277.98	11/27/20	0.00	...	277.98	Short sale closed- call expired Option written: 11/23/20
BBY 11/27/2020 CALL \$125.00 / CUSIP: / Symbol:							
11/25/20	1.000	4.98	11/23/20	345.00	340.02 W	0.00	Option sale to close-call ²⁵
11/27/20	1.000	0.00	11/23/20	629.02	...	-629.02	Option expiration- long call
	Security total:	4.98		974.02	340.02 W	-629.02	
BBY 12/04/2020 CALL \$114.00 / CUSIP: / Symbol:							
11/30/20	1.000	34.98	11/25/20	353.00	...	-318.02	Option sale to close-call ²⁵
BBY 12/04/2020 CALL \$115.00 / CUSIP: / Symbol:							
11/30/20	1.000	26.98	11/25/20	312.00	...	-285.02	Option sale to close-call ²⁵
BBY 12/04/2020 CALL \$116.00 / CUSIP: / Symbol:							
12/01/20	1.000	216.98	11/30/20	0.00	...	216.98	Short sale closed- call ²⁵ Option written: 11/25/20
BBY 12/04/2020 CALL \$117.00 / CUSIP: / Symbol:							
12/01/20	1.000	189.98	11/30/20	0.00	...	189.98	Short sale closed- call ²⁵ Option written: 11/25/20
BBY 12/24/2020 CALL \$114.00 / CUSIP: / Symbol:							
12/11/20	1.000	436.97	12/10/20	0.00	...	436.97	Short sale closed- call ²⁵ Option written: 11/25/20

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BBY 12/24/2020 CALL \$115.00 / CUSIP: / Symbol:							
12/10/20	1.000	28.98	11/25/20	465.00	...	-436.02	Option sale to close-call ²⁵
BIDU 10/02/2020 CALL \$129.00 / CUSIP: / Symbol:							
10/02/20	1.000	127.98	10/02/20	0.00	...	127.98	Short sale closed- call expired Option written: 09/30/20
BIDU 10/02/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/30/20	93.00	...	-93.00	Option expiration- long call
BMO 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/05/20	1.000	289.98	08/03/20	250.00	...	39.98	Option sale to close-call ²⁵
BNTX 12/11/2020 CALL \$120.00 / CUSIP: / Symbol:							
12/10/20	1.000	859.97	12/10/20	1,020.00	...	-160.03	Option sale to close-call ²⁵
BNTX 12/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
12/09/20	1.000	611.97	12/08/20	959.00	...	-347.03	Option sale to close-call ²⁵
BNTX 12/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
12/10/20	1.000	283.97	12/09/20	0.00	...	283.97	Short sale closed- call ²⁵ Option written: 12/08/20
BNTX 12/31/2020 CALL \$110.00 / CUSIP: / Symbol:							
11/27/20	1.000	1,175.96	11/20/20	1,034.00	...	141.96	Option sale to close-call ²⁵
BNTX 12/31/2020 CALL \$115.00 / CUSIP: / Symbol:							
11/30/20	1.000	-146.03	11/27/20	0.00	...	-146.03	Short sale closed- call ²⁵ Option written: 11/20/20
BRKB 10/23/2020 CALL \$210.00 / CUSIP: / Symbol:							
10/23/20	1.000	276.98	09/22/20	723.00	...	-446.02	Option sale to close-call ²⁵
BRKB 10/23/2020 CALL \$212.50 / CUSIP: / Symbol:							
10/26/20	1.000	500.97	10/23/20	0.00	...	500.97	Short sale closed- call ²⁵ Option written: 09/22/20
BRKB 10/30/2020 CALL \$207.50 / CUSIP: / Symbol:							
10/29/20	1.000	15.98	09/25/20	629.00	...	-613.02	Option sale to close-call ²⁵

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BRKB 10/30/2020 CALL \$210.00 / CUSIP: / Symbol: 10/30/20	1.000	469.97	10/29/20	0.00	...	469.97	Short sale closed- call ²⁵ Option written: 09/25/20
BRKB 11/20/2020 PUT \$222.50 / CUSIP: / Symbol: 11/17/20	1.000	201.98	11/16/20	0.00	...	201.98	Short sale closed- put ²⁵ Option written: 11/12/20
BRKB 11/20/2020 PUT \$225.00 / CUSIP: / Symbol: 11/16/20	1.000	45.98	11/12/20	367.00	...	-321.02	Option sale to close-put ²⁵
BYND 10/02/2020 PUT \$165.00 / CUSIP: / Symbol: 10/01/20	1.000	315.97	09/30/20	0.00	...	315.97	Short sale closed- put ²⁵ Option written: 09/29/20
BYND 10/02/2020 PUT \$167.50 / CUSIP: / Symbol: 09/30/20	1.000	369.98	09/29/20	725.00	...	-355.02	Option sale to close-put ²⁵
C 09/11/2020 CALL \$52.00 / CUSIP: / Symbol: 08/24/20	1.000	114.98	08/19/20	106.00	...	8.98	Option sale to close-call ²⁵
CAT 11/13/2020 CALL \$155.00 / CUSIP: / Symbol: 11/05/20	1.000	839.97	11/04/20	510.00	...	329.97	Option sale to close-call ²⁵
CAT 11/13/2020 PUT \$165.00 / CUSIP: / Symbol: 11/13/20	1.000	43.98	11/12/20	0.00	...	43.98	Short sale closed- put ²⁵ Option written: 11/11/20
CAT 11/13/2020 PUT \$167.50 / CUSIP: / Symbol: 11/12/20	1.000	69.98	11/11/20	200.00	...	-130.02	Option sale to close-put ²⁵
CAT 11/13/2020 PUT \$170.00 / CUSIP: / Symbol: 11/11/20	1.000	-65.02	11/10/20	0.00	...	-65.02	Short sale closed- put ²⁵ Option written: 11/09/20
CAT 11/13/2020 PUT \$172.50 / CUSIP: / Symbol: 11/10/20	1.000	264.98	11/09/20	202.00	...	62.98	Option sale to close-put ²⁵

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CAT 11/20/2020 PUT \$167.50 / CUSIP: / Symbol:							
11/18/20	1.000	211.98	11/17/20	0.00	...	211.98	Short sale closed- put ²⁵ Option written: 11/11/20
CAT 11/20/2020 PUT \$170.00 / CUSIP: / Symbol:							
11/17/20	1.000	184.98	11/11/20	320.00	...	-135.02	Option sale to close-put ²⁵
CAT 11/20/2020 PUT \$175.00 / CUSIP: / Symbol:							
11/10/20	1.000	429.98	11/09/20	520.00	...	-90.02	Option sale to close-put ²⁵
CAT 12/11/2020 PUT \$160.00 / CUSIP: / Symbol:							
11/25/20	1.000	74.98	11/24/20	65.00	...	9.98	Option sale to close-put ²⁵
CHGG 09/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/16/20	1.000	146.98	08/31/20	894.00	...	-747.02	Option sale to close-call ²⁵
CHGG 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/17/20	1.000	519.97	09/16/20	0.00	...	519.97	Short sale closed- call ²⁵ Option written: 08/31/20
CHWY 09/11/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/10/20	1.000	221.98	09/02/20	1,135.00	...	-913.02	Option sale to close-call ²⁵
CHWY 09/11/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/11/20	1.000	752.97	09/10/20	0.00	...	752.97	Short sale closed- call ²⁵ Option written: 09/02/20
CINF 05/15/2020 CALL \$55.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/13/20	15.00	...	-15.00	Option expiration- long call
CLVS 05/29/2020 CALL \$10.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/15/20	120.00	...	-120.00	Option expiration- long call
CMG 10/23/2020 CALL \$1,200.00 / CUSIP: / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	9,561.78	10/01/20	7,599.00	...	1,962.78	1 of 2 - Option sale to close-call ²⁵
	2.000	19,123.57	10/01/20	15,198.00	...	3,925.57	2 of 2 - Option sale to close-call ²⁵
10/22/20	3.000	28,685.35	Various	22,797.00	...	5,888.35	Total of 2 transactions

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CMG 10/23/2020 CALL \$1,205.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	2.000	-3,536.34	10/22/20	0.00	...	-3,536.34	1 of 2 - Short sale closed- call ²⁵ Option written: 10/01/20
	1.000	-1,768.18	10/22/20	0.00	...	-1,768.18	2 of 2 - Short sale closed- call ²⁵ Option written: 10/01/20
10/23/20	3.000	-5,304.52	Various	0.00	...	-5,304.52	Total of 2 transactions
COST 06/12/2020 CALL \$312.50 / CUSIP: / Symbol:							
06/12/20	1.000	2.98	06/08/20	140.00	...	-137.02	Option sale to close-call ²⁵
COST 06/18/2021 CALL \$350.00 / CUSIP: / Symbol:							
12/31/20	1.000	3,919.90	12/24/20	3,174.00	...	745.90	Option sale to close-call ²⁵
COST 09/25/2020 CALL \$335.00 / CUSIP: / Symbol:							
09/25/20	1.000	567.97	09/23/20	1,319.00	...	-751.03	Option sale to close-call ²⁵
COST 09/25/2020 CALL \$337.50 / CUSIP: / Symbol:							
09/28/20	1.000	775.96	09/25/20	0.00	...	775.96	Short sale closed- call ²⁵ Option written: 09/23/20
COST 10/02/2020 CALL \$330.00 / CUSIP: / Symbol:							
09/22/20	1.000	1,623.95	09/18/20	1,281.00	...	342.95	Option sale to close-call ²⁵
COST 10/02/2020 CALL \$340.00 / CUSIP: / Symbol:							
09/23/20	1.000	-175.03	09/22/20	0.00	...	-175.03	Short sale closed- call ²⁵ Option written: 09/18/20
COST 10/16/2020 CALL \$340.00 / CUSIP: / Symbol:							
09/28/20	1.000	1,208.96	09/23/20	1,396.00	...	-187.04	Option sale to close-call ²⁵
COST 10/16/2020 CALL \$345.00 / CUSIP: / Symbol:							
09/29/20	1.000	256.96	09/28/20	0.00	...	256.96	Short sale closed- call ²⁵ Option written: 09/23/20
COST 10/30/2020 CALL \$342.50 / CUSIP: / Symbol:							
10/20/20	1.000	3,941.90	09/24/20	1,492.00	...	2,449.90	Option sale to close-call ²⁵

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Account 834494106

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

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COST 10/30/2020 CALL \$345.00 / CUSIP: / Symbol:							
10/21/20	1.000	-2,334.05	10/20/20	0.00	...	-2,334.05	Short sale closed- call ²⁵ Option written: 09/24/20
COST 11/20/2020 CALL \$365.00 / CUSIP: / Symbol:							
11/18/20	1.000	2,054.94	11/10/20	659.00	...	1,395.94	Option sale to close-call ²⁵
COST 11/20/2020 CALL \$367.50 / CUSIP: / Symbol:							
11/19/20	1.000	-1,278.03	11/18/20	0.00	...	-1,278.03	Short sale closed- call ²⁵ Option written: 11/10/20
COST 12/11/2020 CALL \$377.50 / CUSIP: / Symbol:							
<i>2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	791.98	12/09/20	1,920.00	...	-1,128.02	1 of 2 - Option sale to close-call ²⁵
	2.000	527.98	12/09/20	1,280.00	...	-752.02	2 of 2 - Option sale to close-call ²⁵
12/10/20	5.000	1,319.96	Various	3,200.00	...	-1,880.04	Total of 2 transactions
COST 12/11/2020 CALL \$380.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	611.96	12/10/20	0.00	...	611.96	1 of 2 - Short sale closed- call ²⁵ Option written: 12/09/20
	3.000	917.95	12/10/20	0.00	...	917.95	2 of 2 - Short sale closed- call ²⁵ Option written: 12/09/20
12/11/20	5.000	1,529.91	Various	0.00	...	1,529.91	Total of 2 transactions
COST 12/11/2020 CALL \$390.00 / CUSIP: / Symbol:							
12/09/20	1.000	107.98	12/08/20	179.00	...	-71.02	Option sale to close-call ²⁵
COST 12/11/2020 CALL \$395.00 / CUSIP: / Symbol:							
12/10/20	1.000	37.98	12/09/20	0.00	...	37.98	Short sale closed- call ²⁵ Option written: 12/08/20
COST 12/11/2020 CALL \$400.00 / CUSIP: / Symbol:							
12/11/20	1.000	13.98	12/10/20	46.00	...	-32.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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02/14/2021

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COST 12/18/2020 CALL \$372.50 / CUSIP: / Symbol:							
12/10/20	1.000	691.97	12/07/20	792.00	100.03 W	0.00	Option sale to close-call ²⁵
12/14/20	1.000	395.98	12/11/20	855.03	...	-459.05	Option sale to close-call ²⁵
	Security total:	1,087.95		1,647.03	100.03 W	-459.05	
COST 12/18/2020 CALL \$375.00 / CUSIP: / Symbol:							
12/11/20	1.000	84.97	12/10/20	0.00	...	84.97	Short sale closed- call ²⁵ Option written: 12/07/20
12/15/20	1.000	328.97	12/14/20	0.00	...	328.97	Short sale closed- call ²⁵ Option written: 12/11/20
	Security total:	413.94		0.00	...	413.94	
COST 12/24/2020 CALL \$360.00 / CUSIP: / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	244.99	12/23/20	157.00	...	87.99	1 of 2 - Option sale to close-call ²⁵
	2.000	489.98	12/23/20	310.00	...	179.98	2 of 2 - Option sale to close-call ²⁵
12/23/20	3.000	734.97	Various	467.00	...	267.97	Total of 2 transactions
COST 12/24/2020 CALL \$362.50 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	2.000	-84.02	12/23/20	0.00	...	-84.02	1 of 2 - Short sale closed- call ²⁵ Option written: 12/23/20
	1.000	-41.02	12/23/20	0.00	...	-41.02	2 of 2 - Short sale closed- call ²⁵ Option written: 12/23/20
12/24/20	3.000	-125.04	Various	0.00	...	-125.04	Total of 2 transactions
COUP 09/11/2020 CALL \$325.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/02/20	2,676.00	...	-2,676.00	Option expiration- long call
COUP 09/11/2020 CALL \$330.00 / CUSIP: / Symbol:							
09/11/20	1.000	2,406.93	09/11/20	0.00	...	2,406.93	Short sale closed- call expired Option written: 09/02/20

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CRM 01/08/2021 CALL \$237.50 / CUSIP: / Symbol:							
12/01/20	1.000	1,860.94	11/30/20	1,658.00	...	202.94	Option sale to close-call ²⁵
12/09/20	1.000	532.97	11/30/20	1,658.00	...	-1,125.03	Option sale to close-call ²⁵
	Security total:	2,393.91		3,316.00	...	-922.09	
CRM 01/08/2021 CALL \$240.00 / CUSIP: / Symbol:							
12/02/20	1.000	-203.04	12/01/20	0.00	...	-203.04	Short sale closed- call ²⁵ Option written: 11/30/20
12/10/20	1.000	1,054.96	12/09/20	0.00	...	1,054.96	Short sale closed- call ²⁵ Option written: 11/30/20
	Security total:	851.92		0.00	...	851.92	
CRM 01/15/2021 CALL \$210.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,734.95	12/02/20	1,775.00	...	-40.05	Option sale to close-call ²⁵
CRM 01/15/2021 CALL \$220.00 / CUSIP: / Symbol:							
12/10/20	1.000	94.96	12/09/20	0.00	...	94.96	Short sale closed- call ²⁵ Option written: 12/02/20
CRM 01/22/2021 CALL \$222.50 / CUSIP: / Symbol:							
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	1,149.96	12/16/20	1,101.00	...	48.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,149.96	12/18/20	1,143.00	...	6.96	2 of 2 - Option sale to close-call ²⁵
12/21/20	2.000	2,299.92	Various	2,244.00	...	55.92	Total of 2 transactions
CRM 01/22/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/22/20	1.000	-46.08	12/21/20	0.00	...	-46.08	Short sale closed- call ²⁵ Option written: 12/18/20
12/22/20	1.000	-42.04	12/21/20	0.00	42.04 W	0.00	Short sale closed- call ²⁵ Option written: 12/16/20
	Security total:	-88.12		0.00	42.04 W	-46.08	
CRM 03/19/2021 CALL \$220.00 / CUSIP: / Symbol:							
12/21/20	1.000	2,029.94	12/18/20	2,064.00	...	-34.06	Option sale to close-call ²⁵

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CRM 03/19/2021 CALL \$230.00 / CUSIP: / Symbol: 12/22/20	1.000	22.95	12/21/20	0.00	...	22.95	Short sale closed- call ²⁵ Option written: 12/18/20
CRM 09/04/2020 CALL \$205.00 / CUSIP: / Symbol: 08/25/20	1.000	1,414.95	08/19/20	770.00	...	644.95	Option sale to close-call ²⁵
CRM 10/16/2020 CALL \$240.00 / CUSIP: / Symbol: 09/30/20	1.000	1,384.95	09/14/20	1,755.00	...	-370.05	Option sale to close-call ²⁵
CRM 10/16/2020 CALL \$250.00 / CUSIP: / Symbol: 10/01/20	1.000	379.96	09/30/20	0.00	...	379.96	Short sale closed- call ²⁵ Option written: 09/14/20
CRM 11/20/2020 CALL \$250.00 / CUSIP: / Symbol: 11/17/20	1.000	659.97	11/12/20	750.00	...	-90.03	Option sale to close-call ²⁵
CRM 11/20/2020 CALL \$252.50 / CUSIP: / Symbol: 11/18/20	1.000	199.97	11/17/20	0.00	...	199.97	Short sale closed- call ²⁵ Option written: 11/12/20
CRM 11/20/2020 PUT \$257.50 / CUSIP: / Symbol: 11/12/20	1.000	-283.03	11/11/20	0.00	...	-283.03	Short sale closed- put ²⁵ Option written: 11/10/20
CRM 11/20/2020 PUT \$260.00 / CUSIP: / Symbol: 11/11/20	1.000	1,042.96	11/09/20	650.00	...	392.96	Option sale to close-put ²⁵
CRM 12/04/2020 CALL \$237.50 / CUSIP: / Symbol: 12/01/20	2.000	1,953.94	Various	2,125.00	...	-171.06	Total of 2 transactions
<i>2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	976.97	11/30/20	1,075.00	...	-98.03	1 of 2 - Option sale to close-call ²⁵
	1.000	976.97	11/30/20	1,050.00	...	-73.03	2 of 2 - Option sale to close-call ²⁵

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CRM 12/04/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	76.96	12/01/20	0.00	...	76.96	1 of 2 - Short sale closed- call ²⁵ Option written: 11/30/20
	1.000	101.96	12/01/20	0.00	...	101.96	2 of 2 - Short sale closed- call ²⁵ Option written: 11/30/20
12/02/20	2.000	178.92	Various	0.00	...	178.92	Total of 2 transactions
CRM 12/04/2020 CALL \$247.50 / CUSIP: / Symbol:							
12/01/20	1.000	584.97	11/27/20	1,578.03	...	-993.06	Option sale to close-call ²⁵
12/01/20	1.000	585.97	11/27/20	1,082.00	496.03 W	0.00	Option sale to close-call ²⁵
	Security total:	1,170.94		2,660.03	496.03 W	-993.06	
CRM 12/04/2020 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	450.96	12/01/20	0.00	...	450.96	1 of 2 - Short sale closed- call ²⁵ Option written: 11/27/20
	1.000	450.96	12/01/20	0.00	...	450.96	2 of 2 - Short sale closed- call ²⁵ Option written: 11/27/20
12/02/20	2.000	901.92	Various	0.00	...	901.92	Total of 2 transactions
CRM 12/04/2020 CALL \$252.50 / CUSIP: / Symbol:							
12/01/20	1.000	404.98	11/23/20	1,205.00	...	-800.02	Option sale to close-call ²⁵
CRM 12/04/2020 CALL \$255.00 / CUSIP: / Symbol:							
12/02/20	1.000	719.96	12/01/20	0.00	...	719.96	Short sale closed- call ²⁵ Option written: 11/23/20
CRM 12/04/2020 CALL \$257.50 / CUSIP: / Symbol:							
11/30/20	1.000	335.98	11/19/20	1,454.00	1,118.02 W	0.00	Option sale to close-call ²⁵
12/01/20	1.000	294.98	11/20/20	2,260.02	...	-1,965.04	Option sale to close-call ²⁵
	Security total:	630.96		3,714.02	1,118.02 W	-1,965.04	

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CRM 12/04/2020 CALL \$260.00 / CUSIP: / Symbol:							
12/01/20	1.000	1,009.96	11/30/20	0.00	...	1,009.96	Short sale closed- call ²⁵ Option written: 11/19/20
12/02/20	1.000	753.96	12/01/20	0.00	...	753.96	Short sale closed- call ²⁵ Option written: 11/20/20
	Security total:	1,763.92		0.00	...	1,763.92	
CRM 12/04/2020 PUT \$217.50 / CUSIP: / Symbol:							
12/04/20	1.000	163.98	12/03/20	0.00	...	163.98	Short sale closed- put ²⁵ Option written: 12/02/20
CRM 12/04/2020 PUT \$220.00 / CUSIP: / Symbol:							
12/03/20	1.000	83.98	12/02/20	529.02	...	-445.04	Option sale to close-put ²⁵
12/03/20	1.000	92.98	12/02/20	297.00	204.02 W	0.00	Option sale to close-put ²⁵
	Security total:	176.96		826.02	204.02 W	-445.04	
CRM 12/04/2020 PUT \$222.50 / CUSIP: / Symbol:							
12/04/20	1.000	246.98	12/03/20	0.00	...	246.98	Short sale closed- put ²⁵ Option written: 12/02/20
CRM 12/04/2020 PUT \$227.50 / CUSIP: / Symbol:							
12/03/20	1.000	459.97	12/03/20	525.00	...	-65.03	Option sale to close-put ²⁵
CRM 12/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
	5 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	1.000	704.97	12/02/20	958.00	...	-253.03	1 of 5 - Option sale to close-call ²⁵
	1.000	707.97	12/02/20	958.00	...	-250.03	2 of 5 - Option sale to close-call ²⁵
	1.000	707.97	12/02/20	958.00	...	-250.03	3 of 5 - Option sale to close-call ²⁵
	1.000	731.97	12/02/20	958.00	...	-226.03	4 of 5 - Option sale to close-call ²⁵
	1.000	736.97	12/02/20	958.00	...	-221.03	5 of 5 - Option sale to close-call ²⁵
12/09/20	5.000	3,589.85	Various	4,790.00	...	-1,200.15	Total of 5 transactions

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CRM 12/11/2020 CALL \$217.50 / CUSIP: / Symbol:							
5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	280.97	12/09/20	0.00	...	280.97	1 of 5 - Short sale closed- call ²⁵ Option written: 12/02/20
	1.000	285.98	12/09/20	0.00	...	285.98	2 of 5 - Short sale closed- call ²⁵ Option written: 12/02/20
	1.000	302.98	12/09/20	0.00	...	302.98	3 of 5 - Short sale closed- call ²⁵ Option written: 12/02/20
	1.000	302.98	12/09/20	0.00	...	302.98	4 of 5 - Short sale closed- call ²⁵ Option written: 12/02/20
	1.000	302.98	12/09/20	0.00	...	302.98	5 of 5 - Short sale closed- call ²⁵ Option written: 12/02/20
12/10/20	5.000	1,475.89	Various	0.00	...	1,475.89	Total of 5 transactions
CRM 12/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
12/09/20	1.000	335.98	12/04/20	577.00	...	-241.02	Option sale to close-call ²⁵
CRM 12/11/2020 CALL \$227.50 / CUSIP: / Symbol:							
12/10/20	1.000	237.97	12/09/20	0.00	...	237.97	Short sale closed- call ²⁵ Option written: 12/04/20
CRM 12/11/2020 PUT \$217.50 / CUSIP: / Symbol:							
12/09/20	1.000	65.98	12/02/20	550.00	...	-484.02	Option sale to close-put ²⁵
CRM 12/11/2020 PUT \$220.00 / CUSIP: / Symbol:							
12/10/20	1.000	513.97	12/09/20	0.00	...	513.97	Short sale closed- put ²⁵ Option written: 12/02/20
CRM 12/11/2020 PUT \$222.50 / CUSIP: / Symbol:							
12/11/20	1.000	-119.02	12/10/20	0.00	...	-119.02	Short sale closed- put ²⁵ Option written: 12/09/20
CRM 12/11/2020 PUT \$225.00 / CUSIP: / Symbol:							
12/10/20	1.000	463.97	12/09/20	245.00	...	218.97	Option sale to close-put ²⁵
CRM 12/11/2020 PUT \$230.00 / CUSIP: / Symbol:							
12/09/20	1.000	804.97	12/09/20	885.00	...	-80.03	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CRWD 09/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
09/03/20	1.000	403.98	09/01/20	1,020.00	...	-616.02	Option sale to close-call ²⁵
CRWD 09/04/2020 CALL \$135.00 / CUSIP: / Symbol:							
09/04/20	1.000	580.97	09/03/20	0.00	...	580.97	Short sale closed- call ²⁵ Option written: 09/01/20
CRWD 09/11/2020 CALL \$130.00 / CUSIP: / Symbol:							
09/09/20	1.000	193.98	09/01/20	1,109.00	...	-915.02	Option sale to close-call ²⁵
CRWD 09/11/2020 CALL \$135.00 / CUSIP: / Symbol:							
09/10/20	1.000	814.97	09/09/20	0.00	...	814.97	Short sale closed- call ²⁵ Option written: 09/01/20
CSCO 01/15/2021 CALL \$44.00 / CUSIP: / Symbol:							
09/02/20	1.000	213.98	08/13/20	253.00	...	-39.02	Option sale to close-call ²⁵
CSCO 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	166.99	11/16/20	198.00	...	-31.01	1 of 2 - Option sale to close-call ²⁵
	1.000	166.99	11/19/20	159.00	...	7.99	2 of 2 - Option sale to close-call ²⁵
11/24/20	2.000	333.98	Various	357.00	...	-23.02	Total of 2 transactions
CSCO 06/18/2021 CALL \$47.00 / CUSIP: / Symbol:							
09/02/20	1.000	224.98	08/13/20	265.00	...	-40.02	Option sale to close-call ²⁵
CSCO 08/14/2020 CALL \$47.50 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/10/20	135.00	...	-135.00	Option expiration- long call
CSCO 08/21/2020 CALL \$43.00 / CUSIP: / Symbol:							
08/20/20	1.000	3.98	08/13/20	57.00	...	-53.02	Option sale to close-call ²⁵
CSCO 08/21/2020 CALL \$48.00 / CUSIP: / Symbol:							
08/14/20	1.000	1.98	08/10/20	126.00	124.02 W	0.00	Option sale to close-call ²⁵
08/17/20	1.000	0.98	08/11/20	237.02	...	-236.04	Option sale to close-call ²⁵
	Security total:	2.96		363.02	124.02 W	-236.04	

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CVS 05/21/2021 CALL \$65.00 / CUSIP: / Symbol:							
11/23/20	1.000	629.97	11/17/20	660.00	...	-30.03	Option sale to close-call ²⁵
CVX 03/19/2021 CALL \$87.50 / CUSIP: / Symbol:							
12/09/20	2.000	1,619.95	12/01/20	1,462.00	...	157.95	Option sale to close-call ²⁵
CVX 03/19/2021 CALL \$90.00 / CUSIP: / Symbol:							
12/10/20	2.000	-144.04	12/09/20	0.00	...	-144.04	Short sale closed- call ²⁵ Option written: 12/01/20
CZR 06/05/2020 CALL \$12.50 / CUSIP: / Symbol:							
06/04/20	1.000	4.98	05/29/20	3.00	...	1.98	Option sale to close-call ²⁵
DASH 02/19/2021 PUT \$110.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	86.97	12/21/20	0.00	...	86.97	1 of 2 - Short sale closed- put ²⁵ Option written: 12/17/20
	1.000	86.97	12/21/20	0.00	...	86.97	2 of 2 - Short sale closed- put ²⁵ Option written: 12/17/20
12/22/20	2.000	173.94	Various	0.00	...	173.94	Total of 2 transactions
DASH 02/19/2021 PUT \$115.00 / CUSIP: / Symbol:							
12/21/20	1.000	629.97	12/17/20	874.03	...	-244.06	Option sale to close-put ²⁵
12/21/20	1.000	629.97	12/17/20	752.00	122.03 W	0.00	Option sale to close-put ²⁵
	Security total:	1,259.94		1,626.03	122.03 W	-244.06	
DKNG 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/19/20	1.000	1,047.96	11/17/20	870.00	...	177.96	Option sale to close-call ²⁵
DKNG 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/20/20	1.000	-143.03	11/19/20	0.00	...	-143.03	Short sale closed- call ²⁵ Option written: 11/17/20
DKNG 10/30/2020 CALL \$44.00 / CUSIP: / Symbol:							
10/19/20	1.000	299.98	10/16/20	300.00	...	-0.02	Option sale to close-call ²⁵

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DOCU 09/04/2020 CALL \$242.50 / CUSIP: / Symbol:							
09/03/20	1.000	1,679.95	09/01/20	3,820.00	2,140.05 W	0.00	Option sale to close-call ²⁵
09/04/20	1.000	86.98	09/01/20	5,641.05	...	-5,554.07	Option sale to close-call ²⁵
	Security total:	1,766.93		9,461.05	2,140.05 W	-5,554.07	
DOCU 09/04/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/03/20	1.000	1,713.95	09/01/20	2,360.00	...	-646.05	Option sale to close-call ²⁵
DOCU 09/04/2020 CALL \$255.00 / CUSIP: / Symbol:							
09/04/20	1.000	1,919.91	09/03/20	0.00	...	1,919.91	Short sale closed- call ²⁵ Option written: 09/01/20
09/08/20	1.000	2,926.92	09/04/20	0.00	...	2,926.92	Short sale closed- call ²⁵ Option written: 09/01/20
	Security total:	4,846.83		0.00	...	4,846.83	
DOCU 09/04/2020 CALL \$260.00 / CUSIP: / Symbol:							
09/04/20	1.000	558.94	09/03/20	0.00	...	558.94	Short sale closed- call ²⁵ Option written: 09/01/20
DPZ 10/16/2020 CALL \$420.00 / CUSIP: / Symbol:							
10/06/20	1.000	1,869.94	10/05/20	1,985.00	...	-115.06	Option sale to close-call ²⁵
DPZ 10/16/2020 CALL \$425.00 / CUSIP: / Symbol:							
10/07/20	1.000	124.95	10/06/20	0.00	...	124.95	Short sale closed- call ²⁵ Option written: 10/05/20
DUK 07/17/2020 CALL \$85.00 / CUSIP: / Symbol:							
06/26/20	1.000	44.98	06/25/20	50.00	...	-5.02	Option sale to close-call ²⁵
EBAY 09/04/2020 CALL \$53.00 / CUSIP: / Symbol:							
09/04/20	1.000	28.98	08/31/20	164.00	...	-135.02	Option sale to close-call ²⁵
EBAY 09/04/2020 CALL \$54.50 / CUSIP: / Symbol:							
09/08/20	1.000	72.98	09/04/20	0.00	...	72.98	Short sale closed- call ²⁵ Option written: 08/31/20
EBAY 12/18/2020 CALL \$48.00 / CUSIP: / Symbol:							
11/09/20	1.000	229.98	11/03/20	300.00	...	-70.02	Option sale to close-call ²⁵

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EPD 06/12/2020 CALL \$22.50 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/08/20	10.00	...	-10.00	Option expiration- long call
EPR 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/07/20	1.000	184.98	12/04/20	260.00	...	-75.02	Option sale to close-call ²⁵
FB 03/19/2021 CALL \$275.00 / CUSIP: / Symbol:							
11/27/20	1.000	2,377.93	11/16/20	2,487.00	...	-109.07	Option sale to close-call ²⁵
FB 03/19/2021 CALL \$280.00 / CUSIP: / Symbol:							
11/30/20	1.000	109.94	11/27/20	0.00	...	109.94	Short sale closed- call ²⁵ Option written: 11/16/20
FB 09/18/2020 CALL \$275.00 / CUSIP: / Symbol:							
09/17/20	1.000	6.98	09/09/20	875.00	...	-868.02	Option sale to close-call ²⁵
FB 09/18/2020 CALL \$277.50 / CUSIP: / Symbol:							
09/18/20	1.000	739.97	09/17/20	0.00	...	739.97	Short sale closed- call ²⁵ Option written: 09/09/20
FB 10/02/2020 CALL \$260.00 / CUSIP: / Symbol:							
09/30/20	1.000	549.97	09/16/20	1,261.00	...	-711.03	Option sale to close-call ²⁵
FB 10/02/2020 CALL \$262.50 / CUSIP: / Symbol:							
10/01/20	1.000	710.96	09/30/20	0.00	...	710.96	Short sale closed- call ²⁵ Option written: 09/16/20
FB 10/30/2020 CALL \$267.50 / CUSIP: / Symbol:							
10/29/20	1.000	1,226.96	10/28/20	1,132.00	...	94.96	Option sale to close-call ²⁵
		4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.					
	1.000	88.98	10/28/20	1,132.00	...	-1,043.02	1 of 4 - Option sale to close-call ²⁵
	1.000	346.98	10/28/20	1,132.00	...	-785.02	2 of 4 - Option sale to close-call ²⁵
	1.000	372.98	10/28/20	1,132.00	...	-759.02	3 of 4 - Option sale to close-call ²⁵
	1.000	737.97	10/28/20	1,132.00	...	-394.03	4 of 4 - Option sale to close-call ²⁵
10/30/20	4.000	1,546.91	Various	4,528.00	...	-2,981.09	Total of 4 transactions
Security total:		2,773.87		5,660.00	...	-2,886.13	

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FB 10/30/2020 CALL \$270.00 / CUSIP: / Symbol:							
10/30/20	1.000	-87.03	10/29/20	0.00	...	-87.03	Short sale closed- call ²⁵ Option written: 10/28/20
4 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	438.98	10/30/20	0.00	...	438.98	1 of 4 - Short sale closed- call ²⁵ Option written: 10/28/20
	1.000	768.98	10/30/20	0.00	...	768.98	2 of 4 - Short sale closed- call ²⁵ Option written: 10/28/20
	1.000	785.97	10/30/20	0.00	...	785.97	3 of 4 - Short sale closed- call ²⁵ Option written: 10/28/20
	1.000	952.97	10/30/20	0.00	...	952.97	4 of 4 - Short sale closed- call ²⁵ Option written: 10/28/20
11/02/20	4.000	2,946.90	Various	0.00	...	2,946.90	Total of 4 transactions
	Security total:	2,859.87		0.00	...	2,859.87	
FB 10/30/2020 CALL \$277.50 / CUSIP: / Symbol:							
10/30/20	1.000	26.98	10/26/20	2,015.02	...	-1,988.04	Option sale to close-call ²⁵
10/30/20	1.000	76.98	10/26/20	1,092.00	1,015.02 W	0.00	Option sale to close-call ²⁵
	Security total:	103.96		3,107.02	1,015.02 W	-1,988.04	
FB 10/30/2020 CALL \$280.00 / CUSIP: / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	863.97	10/30/20	0.00	...	863.97	1 of 2 - Short sale closed- call ²⁵ Option written: 10/26/20
	1.000	924.96	10/30/20	0.00	...	924.96	2 of 2 - Short sale closed- call ²⁵ Option written: 10/26/20
11/02/20	2.000	1,788.93	Various	0.00	...	1,788.93	Total of 2 transactions
FB 11/06/2020 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	764.97	10/28/20	1,383.00	...	-618.03	1 of 2 - Option sale to close-call ²⁵
	1.000	816.97	10/28/20	1,383.00	...	-566.03	2 of 2 - Option sale to close-call ²⁵
10/30/20	2.000	1,581.94	Various	2,766.00	...	-1,184.06	Total of 2 transactions

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FB 11/06/2020 CALL \$267.50 / CUSIP: / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	555.96	10/30/20	0.00	...	555.96	1 of 2 - Short sale closed- call ²⁵ Option written: 10/28/20
	1.000	599.96	10/30/20	0.00	...	599.96	2 of 2 - Short sale closed- call ²⁵ Option written: 10/28/20
11/02/20	2.000	1,155.92	Various	0.00	...	1,155.92	Total of 2 transactions
FB 11/06/2020 CALL \$270.00 / CUSIP: / Symbol:							
10/30/20	1.000	537.97	10/26/20	1,581.00	...	-1,043.03	Option sale to close-call ²⁵
FB 11/06/2020 CALL \$272.50 / CUSIP: / Symbol:							
11/02/20	1.000	992.95	10/30/20	0.00	...	992.95	Short sale closed- call ²⁵ Option written: 10/26/20
FB 11/06/2020 CALL \$280.00 / CUSIP: / Symbol:							
10/30/20	1.000	266.98	10/26/20	1,489.00	...	-1,222.02	Option sale to close-call ²⁵
FB 11/06/2020 CALL \$285.00 / CUSIP: / Symbol:							
11/02/20	1.000	1,071.96	10/30/20	0.00	...	1,071.96	Short sale closed- call ²⁵ Option written: 10/26/20
FB 11/13/2020 CALL \$287.50 / CUSIP: / Symbol:							
11/06/20	1.000	874.97	11/05/20	875.00	...	-0.03	Option sale to close-call ²⁵
FB 11/13/2020 CALL \$290.00 / CUSIP: / Symbol:							
11/09/20	1.000	109.97	11/06/20	0.00	...	109.97	Short sale closed- call ²⁵ Option written: 11/05/20
FB 12/18/2020 CALL \$265.00 / CUSIP: / Symbol:							
11/30/20	1.000	1,411.95	11/23/20	1,096.00	...	315.95	Option sale to close-call ²⁵
12/01/20	1.000	2,314.93	11/23/20	1,096.00	...	1,218.93	Option sale to close-call ²⁵
12/08/20	1.000	1,828.94	11/23/20	1,096.00	...	732.94	Option sale to close-call ²⁵
	Security total:	5,555.82		3,288.00	...	2,267.82	

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FB 12/18/2020 CALL \$267.50 / CUSIP: / Symbol:							
12/01/20	1.000	-290.03	11/30/20	0.00	...	-290.03	Short sale closed- call ²⁵ Option written: 11/23/20
12/02/20	1.000	-1,143.02	12/01/20	0.00	...	-1,143.02	Short sale closed- call ²⁵ Option written: 11/23/20
12/09/20	1.000	-667.03	12/08/20	0.00	...	-667.03	Short sale closed- call ²⁵ Option written: 11/23/20
	Security total:	-2,100.08		0.00	...	-2,100.08	
FDX 09/25/2020 CALL \$235.00 / CUSIP: / Symbol:							
09/21/20	1.000	534.97	09/14/20	1,480.00	...	-945.03	Option sale to close-call ²⁵
FDX 09/25/2020 CALL \$237.50 / CUSIP: / Symbol:							
09/22/20	1.000	941.96	09/21/20	0.00	...	941.96	Short sale closed- call ²⁵ Option written: 09/14/20
FDX 10/02/2020 CALL \$227.50 / CUSIP: / Symbol:							
09/18/20	1.000	1,743.95	09/15/20	1,761.00	...	-17.05	Option sale to close-call ²⁵
FDX 10/02/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/21/20	1.000	82.95	09/18/20	0.00	...	82.95	Short sale closed- call ²⁵ Option written: 09/15/20
FDX 12/18/2020 CALL \$290.00 / CUSIP: / Symbol:							
12/15/20	1.000	937.96	12/14/20	1,920.04	...	-982.08	Option sale to close-call ²⁵
12/15/20	1.000	935.96	12/14/20	1,426.00	490.04 W	0.00	Option sale to close-call ²⁵
	Security total:	1,873.92		3,346.04	490.04 W	-982.08	
FDX 12/18/2020 CALL \$292.50 / CUSIP: / Symbol:							
12/16/20	1.000	463.96	12/15/20	0.00	...	463.96	Short sale closed- call ²⁵ Option written: 12/14/20
FDX 12/18/2020 CALL \$295.00 / CUSIP: / Symbol:							
12/16/20	1.000	428.96	12/15/20	0.00	...	428.96	Short sale closed- call ²⁵ Option written: 12/14/20

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FE 01/15/2021 CALL \$39.00 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	07/24/20	150.00	...	-120.01	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	07/28/20	105.00	...	-75.01	2 of 2 - Option sale to close-call ²⁵
09/08/20	2.000	59.98	Various	255.00	...	-195.02	Total of 2 transactions
FE 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
07/24/20	1.000	134.98	07/23/20	120.00	...	14.98	Option sale to close-call ²⁵
GE 01/21/2022 CALL \$12.00 / CUSIP: / Symbol:							
07/23/20	1.000	60.98	05/04/20	64.00	3.02 W	0.00	Option sale to close-call ²⁵
07/24/20	1.000	55.98	06/24/20	64.02	8.04 W	0.00	Option sale to close-call ²⁵
07/28/20	1.000	56.98	07/07/20	63.04	...	-6.06	Option sale to close-call ²⁵
	Security total:	173.94		191.06	11.06 W	-6.06	
GE 05/15/2020 CALL \$6.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/13/20	6.00	...	-6.00	Option expiration- long call
GOOG 10/23/2020 CALL \$1,420.00 / CUSIP: / Symbol:							
09/24/20	1.000	5,739.86	09/23/20	5,976.00	...	-236.14	Option sale to close-call ²⁵
GOOG 10/23/2020 CALL \$1,430.00 / CUSIP: / Symbol:							
09/25/20	1.000	228.86	09/24/20	0.00	...	228.86	Short sale closed- call ²⁵ Option written: 09/23/20
GOOG 10/30/2020 CALL \$1,450.00 / CUSIP: / Symbol:							
10/29/20	1.000	10,349.76	09/29/20	7,322.00	...	3,027.76	Option sale to close-call ²⁵
GOOG 10/30/2020 CALL \$1,460.00 / CUSIP: / Symbol:							
10/30/20	1.000	-2,713.16	10/29/20	0.00	...	-2,713.16	Short sale closed- call ²⁵ Option written: 09/29/20
GSK 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	174.98	10/23/20	170.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	174.98	10/23/20	170.00	...	4.98	2 of 2 - Option sale to close-call ²⁵
10/27/20	2.000	349.96	Various	340.00	...	9.96	Total of 2 transactions

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GSK 01/15/2021 CALL \$42.00 / CUSIP: / Symbol:							
08/24/20	1.000	129.98	08/21/20	130.00	...	-0.02	Option sale to close-call ²⁵
GSK 01/21/2022 CALL \$42.00 / CUSIP: / Symbol:							
08/12/20	1.000	369.98	07/31/20	295.00	...	74.98	Option sale to close-call ²⁵
09/02/20	1.000	229.98	07/31/20	290.00	...	-60.02	Option sale to close-call ²⁵
	Security total:	599.96		585.00	...	14.96	
GSK 11/20/2020 CALL \$42.00 / CUSIP: / Symbol:							
09/03/20	2.000	159.98	08/26/20	180.00	...	-20.02	Option sale to close-call ²⁵
HD 10/23/2020 CALL \$267.50 / CUSIP: / Symbol:							
10/15/20	1.000	1,928.94	09/24/20	942.00	...	986.94	Option sale to close-call ²⁵
HD 10/23/2020 CALL \$272.50 / CUSIP: / Symbol:							
10/16/20	1.000	-767.03	10/15/20	0.00	...	-767.03	Short sale closed- call ²⁵ Option written: 09/24/20
HD 11/20/2020 CALL \$267.50 / CUSIP: / Symbol:							
11/18/20	1.000	412.98	11/12/20	1,313.00	...	-900.02	Option sale to close-call ²⁵
HD 11/20/2020 CALL \$270.00 / CUSIP: / Symbol:							
11/19/20	1.000	864.96	11/18/20	0.00	...	864.96	Short sale closed- call ²⁵ Option written: 11/12/20
HD 11/27/2020 CALL \$270.00 / CUSIP: / Symbol:							
11/18/20	1.000	373.98	11/17/20	480.00	...	-106.02	Option sale to close-call ²⁵
HD 11/27/2020 CALL \$272.50 / CUSIP: / Symbol:							
11/19/20	1.000	65.98	11/18/20	0.00	...	65.98	Short sale closed- call ²⁵ Option written: 11/17/20
HD 12/18/2020 CALL \$260.00 / CUSIP: / Symbol:							
12/10/20	1.000	554.97	12/07/20	538.00	...	16.97	Option sale to close-call ²⁵
HD 12/18/2020 CALL \$262.50 / CUSIP: / Symbol:							
12/11/20	1.000	-2.02	12/10/20	0.00	2.02 W	0.00	Short sale closed- call ²⁵ Option written: 12/07/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HD 12/18/2020 CALL \$267.50 / CUSIP: / Symbol:							
12/10/20	1.000	250.98	12/03/20	656.00	...	-405.02	Option sale to close-call ²⁵
HD 12/18/2020 CALL \$270.00 / CUSIP: / Symbol:							
12/11/20	1.000	342.97	12/10/20	0.00	...	342.97	Short sale closed- call ²⁵ Option written: 12/03/20
HD 12/31/2020 CALL \$262.50 / CUSIP: / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	700.97	12/09/20	730.00	...	-29.03	1 of 2 - Option sale to close-call ²⁵
	1.000	700.97	12/09/20	730.00	...	-29.03	2 of 2 - Option sale to close-call ²⁵
12/15/20	2.000	1,401.94	Various	1,460.00	...	-58.06	Total of 2 transactions
HD 12/31/2020 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	44.98	12/15/20	0.00	...	44.98	1 of 2 - Short sale closed- call ²⁵ Option written: 12/09/20
	1.000	44.98	12/15/20	0.00	...	44.98	2 of 2 - Short sale closed- call ²⁵ Option written: 12/09/20
12/16/20	2.000	89.96	Various	0.00	...	89.96	Total of 2 transactions
IBM 03/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
11/06/20	1.000	609.97	11/03/20	728.00	...	-118.03	Option sale to close-call ²⁵
IBM 03/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
11/09/20	1.000	107.97	11/06/20	0.00	...	107.97	Short sale closed- call ²⁵ Option written: 11/03/20
IBM 10/23/2020 PUT \$115.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	9.98	10/20/20	51.00	...	-41.02	1 of 2 - Option sale to close-put ²⁵
	1.000	10.98	10/20/20	51.00	...	-40.02	2 of 2 - Option sale to close-put ²⁵
10/23/20	2.000	20.96	Various	102.00	...	-81.04	Total of 2 transactions

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IBM 10/23/2020 PUT \$116.00 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	42.99	10/23/20	0.00	...	42.99	1 of 2 - Short sale closed- put ²⁵ Option written: 10/20/20
	1.000	47.99	10/23/20	0.00	...	47.99	2 of 2 - Short sale closed- put ²⁵ Option written: 10/20/20
10/26/20	2.000	90.98	Various	0.00	...	90.98	Total of 2 transactions
INTC 08/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
08/11/20	1.000	43.98	08/07/20	42.00	...	1.98	Option sale to close-call ²⁵
08/19/20	1.000	3.98	08/07/20	35.00	...	-31.02	Option sale to close-call ²⁵
	Security total:	47.96		77.00	...	-29.04	
INTU 11/27/2020 CALL \$360.00 / CUSIP: / Symbol:							
11/23/20	1.000	28.98	11/19/20	868.00	...	-839.02	Option sale to close-call ²⁵
INTU 11/27/2020 CALL \$362.50 / CUSIP: / Symbol:							
11/24/20	1.000	707.97	11/23/20	0.00	...	707.97	Short sale closed- call ²⁵ Option written: 11/19/20
IPOB 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/30/20	1.000	597.97	11/20/20	365.00	...	232.97	Option sale to close-call ²⁵
IPOB 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/01/20	1.000	-189.02	11/30/20	0.00	...	-189.02	Short sale closed- call ²⁵ Option written: 11/20/20
IPOB 12/18/2020 CALL \$17.00 / CUSIP: / Symbol:							
11/06/20	1.000	299.98	11/03/20	200.00	...	99.98	Option sale to close-call ²⁵
IPOC 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
12/24/20	1.000	379.98	12/23/20	350.00	...	29.98	Option sale to close-call ²⁵
IPOC 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/30/20	1.000	429.98	12/29/20	410.00	...	19.98	Option sale to close-call ²⁵
JAMF 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/02/20	1.000	109.98	09/01/20	555.00	...	-445.02	Option sale to close-call ²⁵

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JAMF 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
09/03/20	1.000	314.98	09/02/20	0.00	...	314.98	Short sale closed- call ²⁵ Option written: 09/01/20
JNJ 06/19/2020 CALL \$143.00 / CUSIP: / Symbol:							
06/17/20	1.000	241.98	06/15/20	85.00	...	156.98	Option sale to close-call ²⁵
JNJ 08/14/2020 CALL \$146.00 / CUSIP: / Symbol:							
08/03/20	1.000	279.98	07/31/20	153.00	...	126.98	Option sale to close-call ²⁵
JNJ 08/21/2020 CALL \$147.00 / CUSIP: / Symbol:							
08/12/20	1.000	329.98	08/11/20	200.00	...	129.98	Option sale to close-call ²⁵
JNJ 10/23/2020 CALL \$143.00 / CUSIP: / Symbol:							
10/07/20	1.000	552.97	09/21/20	573.00	...	-20.03	Option sale to close-call ²⁵
JNJ 10/23/2020 CALL \$147.00 / CUSIP: / Symbol:							
10/08/20	1.000	54.98	10/07/20	0.00	...	54.98	Short sale closed- call ²⁵ Option written: 09/21/20
JNJ 10/30/2020 CALL \$144.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/27/20	125.00	...	-125.00	Option expiration- long call
JNJ 12/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
11/06/20	1.000	851.97	10/29/20	666.00	...	185.97	Option sale to close-call ²⁵
JNJ 12/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
11/09/20	1.000	-104.02	11/06/20	0.00	...	-104.02	Short sale closed- call ²⁵ Option written: 10/29/20
JNJ 12/31/2020 CALL \$150.00 / CUSIP: / Symbol:							
12/03/20	1.000	283.98	11/20/20	203.00	...	80.98	Option sale to close-call ²⁵
		2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.					
	1.000	474.97	11/23/20	140.00	...	334.97	1 of 2 - Option sale to close-call ²⁵
	1.000	475.97	11/23/20	130.00	...	345.97	2 of 2 - Option sale to close-call ²⁵
12/11/20	2.000	950.94	Various	270.00	...	680.94	Total of 2 transactions
	Security total:	1,234.92		473.00	...	761.92	

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JNJ 12/31/2020 CALL \$155.00 / CUSIP: / Symbol:							
12/04/20	1.000	-41.02	12/03/20	0.00	41.02 W	0.00	Short sale closed- call ²⁵ Option written: 11/20/20
12/14/20	1.000	-383.06	12/11/20	0.00	...	-383.06	Short sale closed- call ²⁵ Option written: 11/23/20
12/14/20	1.000	-214.04	12/11/20	0.00	214.04 W	0.00	Short sale closed- call ²⁵ Option written: 11/23/20
	Security total:	-638.12		0.00	255.06 W	-383.06	
JPM 10/23/2020 CALL \$90.00 / CUSIP: / Symbol:							
09/29/20	1.000	630.97	09/22/20	628.00	...	2.97	Option sale to close-call ²⁵
JPM 10/23/2020 CALL \$94.00 / CUSIP: / Symbol:							
09/30/20	1.000	9.98	09/29/20	0.00	...	9.98	Short sale closed- call ²⁵ Option written: 09/22/20
KOS 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
12/07/20	1.000	44.98	12/04/20	55.00	...	-10.02	Option sale to close-call ²⁵
LI 11/20/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/17/20	1.000	547.97	11/16/20	0.00	...	547.97	Short sale closed- call ²⁵ Option written: 11/13/20
LI 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/16/20	1.000	71.98	11/13/20	440.00	...	-368.02	Option sale to close-call ²⁵
LLY 01/15/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/20/20	1.000	1,050.96	11/12/20	790.00	...	260.96	Option sale to close-call ²⁵
LLY 01/15/2021 CALL \$145.00 / CUSIP: / Symbol:							
11/23/20	1.000	-208.03	11/20/20	0.00	...	-208.03	Short sale closed- call ²⁵ Option written: 11/12/20
LLY 11/20/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/28/20	1.000	669.97	10/27/20	646.00	...	23.97	Option sale to close-call ²⁵

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LLY 11/20/2020 CALL \$135.00 / CUSIP: / Symbol: 10/29/20	1.000	-24.02	10/28/20	0.00	...	-24.02	Short sale closed- call ²⁵ Option written: 10/27/20
LLY 12/18/2020 CALL \$130.00 / CUSIP: / Symbol: 11/04/20	1.000	2,166.94	10/29/20	777.00	...	1,389.94	Option sale to close-call ²⁵
LLY 12/18/2020 CALL \$135.00 / CUSIP: / Symbol: 11/05/20	1.000	-1,194.03	11/04/20	0.00	...	-1,194.03	Short sale closed- call ²⁵ Option written: 10/29/20
LLY 12/18/2020 CALL \$140.00 / CUSIP: / Symbol: 11/09/20	1.000	734.97	11/06/20	750.00	...	-15.03	Option sale to close-call ²⁵
LLY 12/18/2020 PUT \$140.00 / CUSIP: / Symbol: 11/09/20	1.000	524.97	11/06/20	600.00	...	-75.03	Option sale to close-put ²⁵
LLY 12/18/2020 PUT \$145.00 / CUSIP: / Symbol: 11/10/20	1.000	-135.03	11/09/20	0.00	...	-135.03	Short sale closed- put ²⁵ Option written: 11/06/20
LOW 01/15/2021 CALL \$150.00 / CUSIP: / Symbol: 11/10/20	1.000	1,199.96	11/09/20	1,099.00	...	100.96	Option sale to close-call ²⁵
LOW 01/15/2021 CALL \$155.00 / CUSIP: / Symbol: 11/11/20	1.000	-118.03	11/10/20	0.00	...	-118.03	Short sale closed- call ²⁵ Option written: 11/09/20
LOW 11/20/2020 CALL \$155.00 / CUSIP: / Symbol: 11/18/20	1.000	17.98	11/13/20	692.00	...	-674.02	Option sale to close-call ²⁵
LOW 11/20/2020 CALL \$157.50 / CUSIP: / Symbol: 11/19/20	1.000	528.97	11/18/20	0.00	...	528.97	Short sale closed- call ²⁵ Option written: 11/13/20
LUV 06/26/2020 CALL \$36.00 / CUSIP: / Symbol: 06/25/20	1.000	4.98	06/19/20	80.00	...	-75.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 834494106

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LYFT 01/15/2021 CALL \$35.00 / CUSIP: / Symbol: 08/21/20	1.000	271.98	08/14/20	250.00	...	21.98	Option sale to close-call ²⁵
LYFT 09/04/2020 CALL \$28.50 / CUSIP: / Symbol: 08/20/20	1.000	141.98	08/17/20	110.00	...	31.98	Option sale to close-call ²⁵
LYFT 09/18/2020 CALL \$27.50 / CUSIP: / Symbol: 08/20/20	1.000	349.98	08/20/20	127.00	...	222.98	Option sale to close-call ²⁵
M 07/17/2020 PUT \$6.50 / CUSIP: / Symbol: 07/17/20	1.000	1.98	07/10/20	35.00	...	-33.02	Option sale to close-put ²⁵
MCD 11/13/2020 CALL \$220.00 / CUSIP: / Symbol: 11/10/20	1.000	37.98	11/09/20	360.00	...	-322.02	Option sale to close-call ²⁵
MCD 11/13/2020 CALL \$222.50 / CUSIP: / Symbol: 11/11/20	1.000	176.98	11/10/20	0.00	...	176.98	Short sale closed- call ²⁵ Option written: 11/09/20
MDB 09/04/2020 CALL \$232.50 / CUSIP: / Symbol: 09/03/20	1.000	370.98	09/02/20	1,755.00	...	-1,384.02	Option sale to close-call ²⁵
MDB 09/04/2020 CALL \$237.50 / CUSIP: / Symbol: 09/04/20	1.000	1,276.95	09/03/20	0.00	...	1,276.95	Short sale closed- call ²⁵ Option written: 09/02/20
MGM 06/05/2020 CALL \$17.00 / CUSIP: / Symbol: 06/03/20	1.000	209.98	05/28/20	99.00	...	110.98	Option sale to close-call ²⁵
MJ 01/15/2021 CALL \$15.00 / CUSIP: / Symbol: 11/20/20	1.000	45.98	11/09/20	120.00	...	-74.02	Option sale to close-call ²⁵
MJ 01/15/2021 CALL \$16.00 / CUSIP: / Symbol: 11/23/20	1.000	44.98	11/20/20	0.00	...	44.98	Short sale closed- call ²⁵ Option written: 11/09/20
MRNA 12/11/2020 CALL \$152.50 / CUSIP: / Symbol: 12/09/20	1.000	1,200.96	12/08/20	1,800.00	...	-599.04	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

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MRNA 12/11/2020 CALL \$155.00 / CUSIP: / Symbol:							
12/10/20	1.000	584.95	12/09/20	0.00	...	584.95	Short sale closed- call ²⁵ Option written: 12/08/20
MRNA 12/18/2020 CALL \$109.00 / CUSIP: / Symbol:							
12/16/20	1.000	2,999.92	12/16/20	3,160.00	...	-160.08	Option sale to close-call ²⁵
MRNA 12/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,987.94	12/01/20	1,680.00	...	307.94	Option sale to close-call ²⁵
MRNA 12/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
12/10/20	1.000	-148.05	12/09/20	0.00	...	-148.05	Short sale closed- call ²⁵ Option written: 12/01/20
MSFT 06/26/2020 PUT \$190.00 / CUSIP: / Symbol:							
06/19/20	1.000	164.98	06/19/20	80.00	...	84.98	Option sale to close-put ²⁵
06/23/20	1.000	14.98	06/22/20	78.02	...	-63.04	Option sale to close-put ²⁵
06/23/20	1.000	19.98	06/22/20	56.00	36.02 W	0.00	Option sale to close-put ²⁵
	Security total:	199.94		214.02	36.02 W	21.94	
MSFT 06/26/2020 PUT \$192.50 / CUSIP: / Symbol:							
06/23/20	1.000	27.98	06/22/20	85.00	...	-57.02	Option sale to close-put ²⁵
MSFT 07/10/2020 PUT \$192.50 / CUSIP: / Symbol:							
07/06/20	1.000	11.98	06/30/20	81.00	...	-69.02	Option sale to close-put ²⁵
MSFT 07/10/2020 PUT \$195.00 / CUSIP: / Symbol:							
07/06/20	1.000	12.98	07/01/20	75.00	...	-62.02	Option sale to close-put ²⁵
MSFT 07/10/2020 PUT \$197.50 / CUSIP: / Symbol:							
07/08/20	1.000	9.98	07/01/20	131.00	...	-121.02	Option sale to close-put ²⁵
MSFT 07/17/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/13/20	1.000	109.98	07/13/20	155.00	...	-45.02	Option sale to close-call ²⁵
MSFT 07/24/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.98	07/23/20	30.00	...	-29.02	Option sale to close-call ²⁵

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MSFT 07/24/2020 CALL \$217.50 / CUSIP: / Symbol: 07/24/20	1.000	1.98	07/22/20	220.00	...	-218.02	Option sale to close-call ²⁵
MSFT 07/31/2020 CALL \$205.00 / CUSIP: / Symbol: 07/27/20	1.000	199.98	07/24/20	200.00	...	-0.02	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$210.00 / CUSIP: / Symbol: 09/11/20	1.000	36.98	09/04/20	1,014.00	...	-977.02	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$215.00 / CUSIP: / Symbol: 09/14/20	1.000	688.97	09/11/20	0.00	...	688.97	Short sale closed- call ²⁵ Option written: 09/04/20
MSFT 09/18/2020 CALL \$207.50 / CUSIP: / Symbol: 09/16/20	1.000	389.98	09/10/20	662.00	...	-272.02	Option sale to close-call ²⁵
MSFT 09/18/2020 CALL \$210.00 / CUSIP: / Symbol: 09/17/20	1.000	276.97	09/16/20	0.00	...	276.97	Short sale closed- call ²⁵ Option written: 09/10/20
MSFT 09/25/2020 PUT \$205.00 / CUSIP: / Symbol: 09/22/20	1.000	210.98	09/10/20	521.00	...	-310.02	Option sale to close-put ²⁵
MSFT 09/25/2020 PUT \$207.50 / CUSIP: / Symbol: 09/23/20	1.000	298.97	09/22/20	0.00	...	298.97	Short sale closed- put ²⁵ Option written: 09/10/20
MSFT 10/23/2020 PUT \$200.00 / CUSIP: / Symbol: 10/12/20	1.000	20.98	10/02/20	444.00	...	-423.02	Option sale to close-put ²⁵
MSFT 10/23/2020 PUT \$205.00 / CUSIP: / Symbol: 10/13/20	1.000	605.97	10/12/20	0.00	...	605.97	Short sale closed- put ²⁵ Option written: 10/02/20

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Account 834494106

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 10/30/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	6.98	10/26/20	613.00	...	-606.02	1 of 2 - Option sale to close-call ²⁵
	1.000	6.98	10/26/20	613.00	...	-606.02	2 of 2 - Option sale to close-call ²⁵
10/30/20	2.000	13.96	Various	1,226.00	...	-1,212.04	Total of 2 transactions
MSFT 10/30/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	477.98	10/30/20	0.00	...	477.98	1 of 2 - Short sale closed- call ²⁵
	1.000	477.98	10/30/20	0.00	...	477.98	2 of 2 - Short sale closed- call ²⁵
11/02/20	2.000	955.96	Various	0.00	...	955.96	Option written: 10/26/20 Total of 2 transactions
MSFT 10/30/2020 CALL \$215.00 / CUSIP: / Symbol:							
10/28/20	1.000	40.98	10/21/20	605.00	...	-564.02	Option sale to close-call ²⁵
MSFT 10/30/2020 CALL \$220.00 / CUSIP: / Symbol:							
10/29/20	1.000	357.98	10/28/20	0.00	...	357.98	Short sale closed- call ²⁵ Option written: 10/21/20
MU 10/16/2020 CALL \$45.00 / CUSIP: / Symbol:							
08/26/20	1.000	304.98	08/20/20	221.00	...	83.98	Option sale to close-call ²⁵
MU 10/16/2020 CALL \$52.50 / CUSIP: / Symbol:							
09/01/20	1.000	99.98	08/12/20	195.00	...	-95.02	Option sale to close-call ²⁵
MU 10/16/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/01/20	1.000	61.98	07/28/20	245.00	...	-183.02	Option sale to close-call ²⁵
NAT 05/15/2020 CALL \$5.00 / CUSIP: / Symbol:							
05/15/20	1.000	4.98	05/14/20	20.00	...	-15.02	Option sale to close-call ²⁵
NFLX 07/10/2020 PUT \$430.00 / CUSIP: / Symbol:							
07/08/20	1.000	7.98	07/01/20	78.00	...	-70.02	Option sale to close-put ²⁵

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NFLX 10/16/2020 CALL \$535.00 / CUSIP: / Symbol: 10/16/20	1.000	1,929.94	10/15/20	798.00	...	1,131.94	Option sale to close-call ²⁵
NFLX 10/16/2020 CALL \$540.00 / CUSIP: / Symbol: 10/19/20	1.000	-902.03	10/16/20	0.00	...	-902.03	Short sale closed- call ²⁵ Option written: 10/15/20
NFLX 10/23/2020 CALL \$530.00 / CUSIP: / Symbol: 10/20/20	1.000	1,942.94	10/19/20	2,703.00	...	-760.06	Option sale to close-call ²⁵
NFLX 10/23/2020 CALL \$535.00 / CUSIP: / Symbol: 10/20/20	1.000	-89.07	10/19/20	0.00	...	-89.07	Short sale closed- call ²⁵ Option written: 10/19/20
NFLX 10/23/2020 CALL \$540.00 / CUSIP: / Symbol: 10/21/20	1.000	790.93	10/20/20	0.00	...	790.93	Short sale closed- call ²⁵ Option written: 10/19/20
NFLX 12/04/2020 CALL \$492.50 / CUSIP: / Symbol: 12/01/20	1.000	1,259.96	11/20/20	1,470.00	...	-210.04	Option sale to close-call ²⁵
NFLX 12/04/2020 CALL \$495.00 / CUSIP: / Symbol: 12/02/20	1.000	169.96	12/01/20	0.00	...	169.96	Short sale closed- call ²⁵ Option written: 11/20/20
NIO 01/08/2021 CALL \$42.00 / CUSIP: / Symbol: <i>2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,221.96	12/17/20	1,150.00	...	71.96	1 of 2 - Option sale to close-call ²⁵
	2.000	1,221.97	12/17/20	1,150.00	...	71.97	2 of 2 - Option sale to close-call ²⁵
12/21/20	4.000	2,443.93	Various	2,300.00	...	143.93	Total of 2 transactions

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NIO 01/08/2021 CALL \$43.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	2.000	-56.04	12/21/20	0.00	...	-56.04	1 of 2 - Short sale closed- call ²⁵ Option written: 12/17/20
	2.000	-56.04	12/21/20	0.00	...	-56.04	2 of 2 - Short sale closed- call ²⁵ Option written: 12/17/20
12/22/20	4.000	-112.08	Various	0.00	...	-112.08	Total of 2 transactions
NIO 01/08/2021 CALL \$44.00 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	3.000	1,445.96	12/18/20	1,722.00	...	-276.04	1 of 2 - Option sale to close-call ²⁵ Option written: 12/17/20
	2.000	963.97	12/18/20	1,148.00	...	-184.03	2 of 2 - Option sale to close-call ²⁵
12/24/20	5.000	2,409.93	Various	2,870.00	...	-460.07	Total of 2 transactions
NIO 01/08/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	2.000	177.96	12/24/20	0.00	...	177.96	1 of 2 - Short sale closed- call ²⁵ Option written: 12/18/20
	3.000	266.95	12/24/20	0.00	...	266.95	2 of 2 - Short sale closed- call ²⁵ Option written: 12/18/20
12/28/20	5.000	444.91	Various	0.00	...	444.91	Total of 2 transactions
NIO 01/29/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/16/20	1.000	897.97	12/14/20	712.00	...	185.97	Option sale to close-call ²⁵
NIO 01/29/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/17/20	1.000	-110.02	12/16/20	0.00	...	-110.02	Short sale closed- call ²⁵ Option written: 12/14/20
NIO 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,306.96	12/04/20	1,224.00	...	82.96	Option sale to close-call ²⁵
NIO 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/10/20	1.000	-64.03	12/09/20	0.00	...	-64.03	Short sale closed- call ²⁵ Option written: 12/04/20

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NIO 08/14/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/11/20	1.000	34.98	08/10/20	90.00	...	-55.02	Option sale to close-call ²⁵
NIO 08/28/2020 PUT \$17.50 / CUSIP: / Symbol:							
08/31/20	1.000	86.98	08/28/20	0.00	...	86.98	Short sale closed- put ²⁵ Option written: 08/25/20
NIO 08/28/2020 PUT \$18.50 / CUSIP: / Symbol:							
08/28/20	1.000	11.98	08/25/20	155.00	...	-143.02	Option sale to close-put ²⁵
NIO 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/14/20	1.000	26.98	09/03/20	159.00	...	-132.02	Option sale to close-call ²⁵
NIO 10/30/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/15/20	1.000	319.98	10/14/20	164.00	...	155.98	Option sale to close-call ²⁵
NIO 11/06/2020 CALL \$30.00 / CUSIP: / Symbol:							
10/19/20	1.000	196.98	10/16/20	280.00	...	-83.02	Option sale to close-call ²⁵
NIO 11/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
11/17/20	1.000	459.97	11/16/20	446.03	...	13.94	Option sale to close-call ²⁵
11/17/20	1.000	489.97	11/16/20	496.00	6.03 W	0.00	Option sale to close-call ²⁵
11/18/20	1.000	211.98	11/16/20	440.00	...	-228.02	Option sale to close-call ²⁵
Security total:		1,161.92		1,382.03	6.03 W	-214.08	
NIO 11/20/2020 CALL \$45.50 / CUSIP: / Symbol:							
<i>3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	-50.01	11/17/20	0.00	...	-50.01	1 of 3 - Short sale closed- call ²⁵ Option written: 11/16/20
	1.000	-45.02	11/17/20	0.00	...	-45.02	2 of 3 - Short sale closed- call ²⁵ Option written: 11/16/20
	1.000	13.97	11/17/20	0.00	...	13.97	3 of 3 - Short sale closed- call ²⁵ Option written: 11/16/20
11/18/20	3.000	-81.06	Various	0.00	...	-81.06	Total of 3 transactions
NIO 11/27/2020 CALL \$44.00 / CUSIP: / Symbol:							
11/12/20	1.000	499.97	11/09/20	395.00	...	104.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO 11/27/2020 CALL \$45.00 / CUSIP: / Symbol:							
11/13/20	1.000	-145.02	11/12/20	0.00	...	-145.02	Short sale closed- call ²⁵ Option written: 11/09/20
NIO 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
12/02/20	1.000	149.98	12/01/20	553.00	...	-403.02	Option sale to close-call ²⁵
NIO 12/18/2020 CALL \$51.00 / CUSIP: / Symbol:							
12/03/20	1.000	370.97	12/02/20	0.00	...	370.97	Short sale closed- call ²⁵ Option written: 12/01/20
NKE 09/25/2020 PUT \$128.00 / CUSIP: / Symbol:							
09/25/20	1.000	71.98	09/24/20	0.00	...	71.98	Short sale closed- put ²⁵ Option written: 09/23/20
NKE 09/25/2020 PUT \$129.00 / CUSIP: / Symbol:							
09/24/20	1.000	267.98	09/23/20	326.00	...	-58.02	Option sale to close-put ²⁵
NKE 10/16/2020 CALL \$131.00 / CUSIP: / Symbol:							
09/24/20	1.000	215.98	09/23/20	359.00	...	-143.02	Option sale to close-call ²⁵
NKE 10/16/2020 CALL \$132.00 / CUSIP: / Symbol:							
09/25/20	1.000	141.98	09/24/20	0.00	...	141.98	Short sale closed- call ²⁵ Option written: 09/23/20
NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
07/28/20	1.000	73.98	07/10/20	74.02	...	-0.04	Option sale to close-call ²⁵
07/28/20	1.000	72.98	07/01/20	78.00	5.02 W	0.00	Option sale to close-call ²⁵
	Security total:	146.96		152.02	5.02 W	-0.04	
NVDA 09/18/2020 CALL \$520.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,359.95	09/14/20	1,649.00	...	-289.05	Option sale to close-call ²⁵
NVDA 09/18/2020 CALL \$525.00 / CUSIP: / Symbol:							
09/16/20	1.000	326.95	09/15/20	0.00	...	326.95	Short sale closed- call ²⁵ Option written: 09/14/20

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 09/25/2020 CALL \$480.00 / CUSIP: / Symbol: 09/09/20	1.000	-45.08	09/08/20	0.00	...	-45.08	Short sale closed- call ²⁵ Option written: 09/08/20
NVDA 09/25/2020 CALL \$485.00 / CUSIP: / Symbol: 09/08/20	1.000	2,894.92	09/08/20	2,880.00	...	14.92	Option sale to close-call ²⁵
NVDA 09/25/2020 CALL \$502.50 / CUSIP: / Symbol: 09/22/20	1.000	984.96	09/10/20	1,904.00	...	-919.04	Option sale to close-call ²⁵
NVDA 09/25/2020 CALL \$507.50 / CUSIP: / Symbol: 09/23/20	1.000	939.95	09/22/20	0.00	...	939.95	Short sale closed- call ²⁵ Option written: 09/10/20
NVDA 10/02/2020 CALL \$505.00 / CUSIP: / Symbol: 09/30/20	1.000	3,805.90	09/16/20	2,543.00	...	1,262.90	Option sale to close-call ²⁵
NVDA 10/02/2020 CALL \$507.50 / CUSIP: / Symbol: 10/01/20	1.000	-1,145.07	09/30/20	0.00	...	-1,145.07	Short sale closed- call ²⁵ Option written: 09/16/20
NVDA 10/02/2020 PUT \$507.50 / CUSIP: / Symbol: 09/22/20	1.000	1,881.94	09/09/20	3,080.00	...	-1,198.06	Option sale to close-put ²⁵
NVDA 10/02/2020 PUT \$512.50 / CUSIP: / Symbol: 09/23/20	1.000	1,188.91	09/22/20	0.00	...	1,188.91	Short sale closed- put ²⁵ Option written: 09/09/20
NVDA 10/23/2020 CALL \$480.00 / CUSIP: / Symbol: 10/16/20	1.000	7,594.82	09/18/20	3,599.00	...	3,995.82	Option sale to close-call ²⁵
NVDA 10/23/2020 CALL \$482.50 / CUSIP: / Symbol: 10/19/20	1.000	-3,876.09	10/16/20	0.00	...	-3,876.09	Short sale closed- call ²⁵ Option written: 09/18/20
NVDA 11/06/2020 CALL \$502.50 / CUSIP: / Symbol: 11/02/20	1.000	1,649.95	11/02/20	1,600.00	...	49.95	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/06/2020 CALL \$505.00 / CUSIP: / Symbol: 11/05/20	1.000	5,881.86	11/02/20	1,600.00	...	4,281.86	Option sale to close-call ²⁵
NVDA 11/06/2020 CALL \$507.50 / CUSIP: / Symbol: 11/06/20	1.000	-4,062.05	11/05/20	0.00	...	-4,062.05	Short sale closed- call ²⁵ Option written: 11/02/20
NVDA 11/06/2020 CALL \$535.00 / CUSIP: / Symbol: 11/09/20	1.000	-2,140.03	11/06/20	0.00	...	-2,140.03	Short sale closed- call ²⁵ Option written: 11/04/20
NVDA 11/06/2020 CALL \$540.00 / CUSIP: / Symbol: 11/06/20	1.000	2,799.92	11/04/20	800.00	...	1,999.92	Option sale to close-call ²⁵
NVDA 11/13/2020 PUT \$577.50 / CUSIP: / Symbol: 11/10/20	1.000	-735.04	11/09/20	0.00	...	-735.04	Short sale closed- put ²⁵ Option written: 11/06/20
NVDA 11/13/2020 PUT \$580.00 / CUSIP: / Symbol: 11/09/20	1.000	1,999.94	11/06/20	1,280.00	...	719.94	Option sale to close-put ²⁵
NVDA 11/20/2020 CALL \$500.00 / CUSIP: / Symbol: 10/26/20	1.000	4,309.89	09/25/20	5,237.00	...	-927.11	Option sale to close-call ²⁵
NVDA 11/20/2020 CALL \$510.00 / CUSIP: / Symbol: 10/27/20	1.000	998.88	10/26/20	0.00	...	998.88	Short sale closed- call ²⁵ Option written: 09/25/20
NVDA 11/20/2020 CALL \$525.00 / CUSIP: / Symbol: 11/16/20	1.000	3,199.91	11/13/20	2,220.00	...	979.91	Option sale to close-call ²⁵
NVDA 11/20/2020 CALL \$530.00 / CUSIP: / Symbol: 11/17/20	1.000	-270.06	11/16/20	0.00	...	-270.06	Short sale closed- call ²⁵ Option written: 11/13/20
NVDA 11/20/2020 CALL \$540.00 / CUSIP: / Symbol: 11/19/20	1.000	399.98	11/18/20	1,695.00	...	-1,295.02	Option sale to close-call ²⁵

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/20/2020 CALL \$542.50 / CUSIP: / Symbol:							
11/20/20	1.000	869.95	11/19/20	0.00	...	869.95	Short sale closed- call ²⁵ Option written: 11/18/20
NVDA 11/20/2020 CALL \$547.50 / CUSIP: / Symbol:							
11/13/20	1.000	1,649.95	11/12/20	2,275.00	...	-625.05	Option sale to close-call ²⁵
NVDA 11/20/2020 CALL \$550.00 / CUSIP: / Symbol:							
11/16/20	1.000	259.94	11/13/20	0.00	...	259.94	Short sale closed- call ²⁵ Option written: 11/12/20
NVDA 11/27/2020 CALL \$492.50 / CUSIP: / Symbol:							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	3,442.92	11/10/20	3,793.00	...	-350.08	1 of 2 - Option sale to close-call ²⁵
	1.000	3,442.91	11/10/20	3,590.00	...	-147.09	2 of 2 - Option sale to close-call ²⁵
11/23/20	2.000	6,885.83	Various	7,383.00	...	-497.17	Total of 2 transactions
NVDA 11/27/2020 CALL \$495.00 / CUSIP: / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	232.91	11/23/20	0.00	...	232.91	1 of 2 - Short sale closed- call ²⁵ Option written: 11/10/20
	1.000	430.90	11/23/20	0.00	...	430.90	2 of 2 - Short sale closed- call ²⁵ Option written: 11/10/20
11/24/20	2.000	663.81	Various	0.00	...	663.81	Total of 2 transactions
NVDA 11/27/2020 CALL \$527.50 / CUSIP: / Symbol:							
11/16/20	1.000	2,999.92	11/13/20	2,570.00	...	429.92	Option sale to close-call ²⁵
11/18/20	1.000	2,624.93	11/16/20	2,925.00	...	-300.07	Option sale to close-call ²⁵
	Security total:	5,624.85		5,495.00	...	129.85	
NVDA 11/27/2020 CALL \$530.00 / CUSIP: / Symbol:							
11/17/20	1.000	-705.07	11/16/20	0.00	705.07 W	0.00	Short sale closed- call ²⁵ Option written: 11/13/20
11/18/20	1.000	-450.15	11/17/20	0.00	...	-450.15	Short sale closed- call ²⁵ Option written: 11/16/20
	Security total:	-1,155.22		0.00	705.07 W	-450.15	

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NVDA 11/27/2020 CALL \$535.00 / CUSIP: / Symbol: 11/19/20	1.000	979.96	11/18/20	2,100.00	...	-1,120.04	Option sale to close-call ²⁵
NVDA 11/27/2020 CALL \$537.50 / CUSIP: / Symbol: 11/20/20	1.000	934.94	11/19/20	0.00	...	934.94	Short sale closed- call ²⁵ Option written: 11/18/20
NVDA 11/27/2020 CALL \$540.00 / CUSIP: / Symbol: 11/17/20	1.000	2,249.94	11/16/20	2,250.00	...	-0.06	Option sale to close-call ²⁵
NVDA 11/27/2020 CALL \$542.50 / CUSIP: / Symbol: 11/18/20	1.000	-60.06	11/17/20	0.00	...	-60.06	Short sale closed- call ²⁵ Option written: 11/16/20
NVDA 12/11/2020 CALL \$535.00 / CUSIP: / Symbol: 11/24/20	1.000	1,119.96	11/20/20	2,349.00	...	-1,229.04	Option sale to close-call ²⁵
NVDA 12/11/2020 CALL \$537.50 / CUSIP: / Symbol: 11/25/20	1.000	994.94	11/24/20	0.00	...	994.94	Short sale closed- call ²⁵ Option written: 11/20/20
ORCL 09/18/2020 PUT \$59.00 / CUSIP: / Symbol: 09/15/20	1.000	189.98	09/14/20	0.00	...	189.98	Short sale closed- put ²⁵ Option written: 09/10/20
ORCL 09/18/2020 PUT \$60.00 / CUSIP: / Symbol: 09/14/20	1.000	182.98	09/10/20	398.00	...	-215.02	Option sale to close-put ²⁵
ORCL 09/25/2020 PUT \$60.00 / CUSIP: / Symbol: 09/15/20	1.000	-137.02	09/14/20	0.00	...	-137.02	Short sale closed- put ²⁵ Option written: 09/11/20
ORCL 09/25/2020 PUT \$61.00 / CUSIP: / Symbol: 09/14/20	1.000	327.98	09/11/20	190.00	...	137.98	Option sale to close-put ²⁵

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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PANW 11/20/2020 CALL \$255.00 / CUSIP: / Symbol:							
11/17/20	1.000	3,473.91	11/13/20	1,073.00	...	2,400.91	Option sale to close-call ²⁵
11/18/20	1.000	2,952.92	11/13/20	1,073.00	...	1,879.92	Option sale to close-call ²⁵
	Security total:	6,426.83		2,146.00	...	4,280.83	
PANW 11/20/2020 CALL \$257.50 / CUSIP: / Symbol:							
11/18/20	1.000	-2,283.03	11/17/20	0.00	...	-2,283.03	Short sale closed- call ²⁵ Option written: 11/13/20
11/19/20	1.000	-1,764.03	11/18/20	0.00	...	-1,764.03	Short sale closed- call ²⁵ Option written: 11/13/20
	Security total:	-4,047.06		0.00	...	-4,047.06	
PD 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/02/20	644.00	...	-644.00	Option expiration- long call
PD 09/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/18/20	1.000	388.98	09/18/20	0.00	...	388.98	Short sale closed- call expired Option written: 09/02/20
PEP 07/02/2020 CALL \$133.00 / CUSIP: / Symbol:							
06/30/20	1.000	57.98	06/26/20	40.00	...	17.98	Option sale to close-call ²⁵
PEP 07/02/2020 CALL \$134.00 / CUSIP: / Symbol:							
06/26/20	1.000	89.98	06/24/20	51.00	...	38.98	Option sale to close-call ²⁵
PEP 09/11/2020 CALL \$136.00 / CUSIP: / Symbol:							
09/11/20	1.000	10.98	09/10/20	152.00	...	-141.02	Option sale to close-call ²⁵
PEP 09/11/2020 CALL \$137.00 / CUSIP: / Symbol:							
09/14/20	1.000	84.98	09/11/20	0.00	...	84.98	Short sale closed- call ²⁵ Option written: 09/10/20
PEP 09/11/2020 CALL \$139.00 / CUSIP: / Symbol:							
09/10/20	1.000	3.98	09/03/20	199.00	...	-195.02	Option sale to close-call ²⁵
PEP 09/11/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/11/20	1.000	147.98	09/10/20	0.00	...	147.98	Short sale closed- call ²⁵ Option written: 09/03/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PEP 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/09/20	1.000	94.98	09/08/20	93.00	...	1.98	Option sale to close-call ²⁵
PEP 10/16/2020 CALL \$128.00 / CUSIP: / Symbol:							
09/24/20	1.000	534.97	09/23/20	451.00	...	83.97	Option sale to close-call ²⁵
PEP 10/16/2020 CALL \$130.00 / CUSIP: / Symbol:							
09/23/20	1.000	334.98	09/21/20	411.00	...	-76.02	Option sale to close-call ²⁵
PEP 10/16/2020 CALL \$133.00 / CUSIP: / Symbol:							
09/25/20	1.000	-1.02	09/24/20	0.00	...	-1.02	Short sale closed- call ²⁵ Option written: 09/21/20
PFE 01/15/2021 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	174.99	10/26/20	210.00	...	-35.01	1 of 2 - Option sale to close-call ²⁵
	2.000	349.98	10/28/20	290.00	...	59.98	2 of 2 - Option sale to close-call ²⁵
11/04/20	3.000	524.97	Various	500.00	...	24.97	Total of 2 transactions
PFE 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
07/28/20	1.000	374.98	06/11/20	165.00	...	209.98	Option sale to close-call ²⁵
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	06/15/20	165.00	...	214.98	1 of 2 - Option sale to close-call ²⁵
	1.000	389.98	06/18/20	155.00	...	234.98	2 of 2 - Option sale to close-call ²⁵
07/29/20	2.000	769.96	Various	320.00	...	449.96	Total of 2 transactions
07/30/20	1.000	379.98	06/22/20	150.00	...	229.98	Option sale to close-call ²⁵
08/06/20	1.000	359.98	06/23/20	145.00	...	214.98	Option sale to close-call ²⁵
08/28/20	1.000	299.98	06/25/20	125.00	...	174.98	Option sale to close-call ²⁵
Security total:		2,184.88		905.00	...	1,279.88	
PFE 06/05/2020 CALL \$36.50 / CUSIP: / Symbol:							
06/03/20	1.000	17.98	06/01/20	18.00	...	-0.02	Option sale to close-call ²⁵
06/05/20	1.000	13.98	06/01/20	18.00	...	-4.02	Option sale to close-call ²⁵
Security total:		31.96		36.00	...	-4.04	
PFE 06/12/2020 CALL \$36.50 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/10/20	14.00	...	-14.00	Option expiration- long call

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/11/20	42.00	...	-42.00	Option expiration- long call
PFE 07/02/2020 CALL \$35.50 / CUSIP: / Symbol:							
07/01/20	1.000	14.98	06/12/20	50.00	...	-35.02	Option sale to close-call ²⁵
PFE 11/20/2020 CALL \$36.00 / CUSIP: / Symbol:							
09/08/20	1.000	203.98	08/26/20	406.02	...	-202.04	Option sale to close-call ²⁵
09/08/20	1.000	203.98	08/26/20	305.00	101.02 W	0.00	Option sale to close-call ²⁵
	Security total:	407.96		711.02	101.02 W	-202.04	
PFE 11/20/2020 CALL \$38.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	65.98	09/08/20	0.00	...	65.98	1 of 2 - Short sale closed- call ²⁵ Option written: 08/26/20
	1.000	65.98	09/08/20	0.00	...	65.98	2 of 2 - Short sale closed- call ²⁵ Option written: 08/26/20
09/09/20	2.000	131.96	Various	0.00	...	131.96	Total of 2 transactions
PFE 12/11/2020 CALL \$40.00 / CUSIP: / Symbol:							
	<i>3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	101.98	12/10/20	328.01	...	-226.03	1 of 3 - Option sale to close-call ²⁵
	1.000	101.98	12/10/20	328.01	...	-226.03	2 of 3 - Option sale to close-call ²⁵
	1.000	101.98	12/10/20	215.00	...	-113.02	3 of 3 - Option sale to close-call ²⁵
12/11/20	3.000	305.94	Various	871.02	...	-565.08	Total of 3 transactions
12/11/20	2.000	203.98	12/10/20	430.00	226.02 W	0.00	Option sale to close-call ²⁵
	Security total:	509.92		1,301.02	226.02 W	-565.08	
PFE 12/11/2020 CALL \$40.50 / CUSIP: / Symbol:							
	<i>5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	85.98	12/11/20	0.00	...	85.98	1 of 5 - Short sale closed- call ²⁵ Option written: 12/10/20
	1.000	85.99	12/11/20	0.00	...	85.99	2 of 5 - Short sale closed- call ²⁵ Option written: 12/10/20
	1.000	85.99	12/11/20	0.00	...	85.99	3 of 5 - Short sale closed- call ²⁵ Option written: 12/10/20

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(continued)

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PFE 12/11/2020 CALL \$40.50 / CUSIP: / Symbol: (cont'd)							
	1.000	85.99	12/11/20	0.00	...	85.99	4 of 5 - Short sale closed- call ²⁵
	1.000	86.00	12/11/20	0.00	...	86.00	Option written: 12/10/20
	1.000	86.00	12/11/20	0.00	...	86.00	5 of 5 - Short sale closed- call ²⁵
	1.000	86.00	12/11/20	0.00	...	86.00	Option written: 12/10/20
12/14/20	5.000	429.95	Various	0.00	...	429.95	Total of 5 transactions
PFE 12/18/2020 CALL \$39.00 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	257.98	12/01/20	173.00	...	84.98	1 of 2 - Option sale to close-call ²⁵
	4.000	1,031.96	12/01/20	692.00	...	339.96	2 of 2 - Option sale to close-call ²⁵
12/07/20	5.000	1,289.94	Various	865.00	...	424.94	Total of 2 transactions
12/09/20	5.000	1,519.95	12/01/20	865.00	...	654.95	Option sale to close-call ²⁵
	Security total:	2,809.89		1,730.00	...	1,079.89	
PFE 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
12/08/20	1.000	-77.00	12/07/20	0.00	...	-77.00	Short sale closed- call ²⁵
							Option written: 12/01/20
12/08/20	4.000	-304.02	12/07/20	0.00	228.02 W	-76.00	Short sale closed- call ²⁵
							Option written: 12/01/20
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	5.000	-580.03	12/09/20	0.00	...	-580.03	1 of 2 - Short sale closed- call ²⁵
	3.000	794.97	12/09/20	1,092.02	...	-297.05	Option written: 12/01/20
12/10/20	8.000	214.94	Various	1,092.02	...	-877.08	2 of 2 - Option sale to close-call ²⁵
	Security total:	-166.08		1,092.02	228.02 W	-1,030.08	Total of 2 transactions
PFE 12/18/2020 CALL \$41.00 / CUSIP: / Symbol:							
12/11/20	3.000	68.97	12/10/20	0.00	...	68.97	Short sale closed- call ²⁵
							Option written: 12/09/20
PFE 12/31/2020 CALL \$37.00 / CUSIP: / Symbol:							
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	359.98	11/20/20	330.00	...	29.98	1 of 4 - Option sale to close-call ²⁵
	1.000	239.98	11/20/20	165.00	...	74.98	2 of 4 - Option sale to close-call ²⁵
	2.000	479.98	11/20/20	330.00	...	149.98	3 of 4 - Option sale to close-call ²⁵

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PFE 12/31/2020 CALL \$37.00 / CUSIP: / Symbol: (cont'd)							
	1.000	239.99	11/23/20	120.00	...	119.99	4 of 4 - Option sale to close-call ²⁵
11/30/20	6.000	1,319.93	Various	945.00	...	374.93	Total of 4 transactions
12/15/20	3.000	707.97	11/30/20	612.00	...	95.97	Option sale to close-call ²⁵
	Security total:	2,027.90		1,557.00	...	470.90	
PFE 12/31/2020 CALL \$38.00 / CUSIP: / Symbol:							
12/16/20	3.000	-66.03	12/15/20	0.00	...	-66.03	Short sale closed- call ²⁵ Option written: 11/30/20
PFE1 12/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	11/09/20	224.00	...	-224.00	Option expiration- long call
PFE1 12/18/2020 CALL \$38.00 / CUSIP: / Symbol:							
12/01/20	1.000	454.97	11/09/20	465.00	...	-10.03	Option sale to close-call ²⁵
PFE1 12/18/2020 CALL \$39.00 / CUSIP: / Symbol:							
12/02/20	1.000	74.97	12/01/20	0.00	...	74.97	Short sale closed- call ²⁵ Option written: 11/09/20
PG 10/30/2020 CALL \$140.00 / CUSIP: / Symbol:							
10/27/20	1.000	303.98	10/20/20	282.00	...	21.98	Option sale to close-call ²⁵
PG 10/30/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/28/20	1.000	36.98	10/27/20	0.00	...	36.98	Short sale closed- call ²⁵ Option written: 10/20/20
PG 12/04/2020 CALL \$137.00 / CUSIP: / Symbol:							
12/01/20	1.000	202.98	11/27/20	186.00	...	16.98	Option sale to close-call ²⁵
PG 12/04/2020 CALL \$138.00 / CUSIP: / Symbol:							
12/02/20	1.000	-12.02	12/01/20	0.00	...	-12.02	Short sale closed- call ²⁵ Option written: 11/27/20
PLAY 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
11/18/20	1.000	399.98	11/16/20	340.00	...	59.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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PLTR 01/08/2021 CALL \$24.00 / CUSIP: / Symbol: 12/30/20	1.000	218.98	12/28/20	244.00	...	-25.02	Option sale to close-call ²⁵
PLTR 01/08/2021 CALL \$25.00 / CUSIP: / Symbol: 12/31/20	1.000	22.98	12/30/20	0.00	...	22.98	Short sale closed- call ²⁵ Option written: 12/28/20
PLTR 01/15/2021 CALL \$16.00 / CUSIP: / Symbol: 11/13/20	1.000	249.98	11/09/20	250.00	...	-0.02	Option sale to close-call ²⁵
PLTR 01/15/2021 CALL \$17.00 / CUSIP: / Symbol: 11/16/20	1.000	-0.02	11/13/20	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 11/12/20
PLTR 01/21/2022 CALL \$20.00 / CUSIP: / Symbol: 12/04/20	1.000	1,019.96	12/02/20	970.00	...	49.96	Option sale to close-call ²⁵
PLTR 02/19/2021 CALL \$24.00 / CUSIP: / Symbol: 12/16/20	1.000	492.97	12/11/20	689.00	...	-196.03	Option sale to close-call ²⁵
12/21/20	4.000	2,103.94	12/11/20	2,756.00	...	-652.06	Option sale to close-call ²⁵
Security total:		2,596.91		3,445.00	...	-848.09	
PLTR 02/19/2021 CALL \$26.00 / CUSIP: / Symbol: 12/17/20	1.000	180.98	12/16/20	0.00	...	180.98	Short sale closed- call ²⁵ Option written: 12/11/20
12/22/20	4.000	623.94	12/21/20	0.00	...	623.94	Short sale closed- call ²⁵ Option written: 12/11/20
Security total:		804.92		0.00	...	804.92	
PLTR 03/19/2021 CALL \$24.00 / CUSIP: / Symbol: 12/30/20	5.000	2,484.93	12/29/20	2,555.00	...	-70.07	Option sale to close-call ²⁵
PLTR 03/19/2021 CALL \$25.00 / CUSIP: / Symbol: 12/31/20	5.000	59.93	12/30/20	0.00	...	59.93	Short sale closed- call ²⁵ Option written: 12/29/20

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(continued)

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PLTR 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
11/10/20	1.000	94.98	11/09/20	170.00	75.02 W	0.00	Option sale to close-call ²⁵
11/13/20	1.000	124.98	11/12/20	264.02	...	-139.04	Option sale to close-call ²⁵
	Security total:	219.96		434.02	75.02 W	-139.04	
PLTR 11/20/2020 CALL \$15.50 / CUSIP: / Symbol:							
11/13/20	1.000	64.98	11/12/20	115.00	...	-50.02	Option sale to close-call ²⁵
PLTR 12/04/2020 CALL \$27.00 / CUSIP: / Symbol:							
11/30/20	1.000	129.98	11/27/20	449.00	...	-319.02	Option sale to close-call ²⁵
12/01/20	1.000	89.98	11/27/20	449.00	...	-359.02	Option sale to close-call ²⁵
	Security total:	219.96		898.00	...	-678.04	
PLTR 12/04/2020 CALL \$28.00 / CUSIP: / Symbol:							
12/01/20	1.000	289.99	11/30/20	0.00	...	289.99	Short sale closed- call ²⁵ Option written: 11/27/20
12/02/20	1.000	334.98	12/01/20	0.00	...	334.98	Short sale closed- call ²⁵ Option written: 11/27/20
	Security total:	624.97		0.00	...	624.97	
PLTR 12/04/2020 CALL \$29.00 / CUSIP: / Symbol:							
12/02/20	1.000	121.98	12/01/20	0.00	...	121.98	Short sale closed- call ²⁵ Option written: 11/30/20
PLTR 12/04/2020 CALL \$30.00 / CUSIP: / Symbol:							
12/01/20	1.000	111.98	11/30/20	340.00	...	-228.02	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$21.00 / CUSIP: / Symbol:							
12/09/20	1.000	530.97	12/08/20	1,084.03	...	-553.06	Option sale to close-call ²⁵
12/09/20	1.000	530.97	12/08/20	815.00	284.03 W	0.00	Option sale to close-call ²⁵
	Security total:	1,061.94		1,899.03	284.03 W	-553.06	

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PLTR 12/11/2020 CALL \$21.50 / CUSIP: / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	254.97	12/09/20	0.00	...	254.97	1 of 2 - Short sale closed- call ²⁵ Option written: 12/08/20
	1.000	259.97	12/09/20	0.00	...	259.97	2 of 2 - Short sale closed- call ²⁵ Option written: 12/08/20
12/10/20	2.000	514.94	Various	0.00	...	514.94	Total of 2 transactions
PLTR 12/11/2020 CALL \$22.00 / CUSIP: / Symbol:							
12/08/20	1.000	674.97	12/02/20	225.00	...	449.97	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$22.50 / CUSIP: / Symbol:							
12/09/20	1.000	354.98	12/08/20	1,099.02	...	-744.04	Option sale to close-call ²⁵
12/09/20	1.000	-419.02	12/08/20	0.00	419.02 W	0.00	Short sale closed- call ²⁵ Option written: 12/02/20
	Security total:	-64.04		1,099.02	419.02 W	-744.04	
PLTR 12/11/2020 CALL \$23.00 / CUSIP: / Symbol:							
12/10/20	1.000	332.97	12/09/20	0.00	...	332.97	Short sale closed- call ²⁵ Option written: 12/08/20
PLTR 12/11/2020 CALL \$24.00 / CUSIP: / Symbol:							
12/09/20	1.000	243.98	12/08/20	409.00	...	-165.02	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$25.00 / CUSIP: / Symbol:							
12/10/20	1.000	182.98	12/09/20	0.00	...	182.98	Short sale closed- call ²⁵ Option written: 12/08/20
PLTR 12/11/2020 CALL \$26.00 / CUSIP: / Symbol:							
12/10/20	1.000	157.98	12/09/20	236.00	...	-78.02	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$27.00 / CUSIP: / Symbol:							
12/11/20	1.000	47.98	12/10/20	0.00	...	47.98	Short sale closed- call ²⁵ Option written: 12/09/20
PLTR 12/18/2020 PUT \$26.00 / CUSIP: / Symbol:							
12/11/20	1.000	50.98	12/10/20	0.00	...	50.98	Short sale closed- put ²⁵ Option written: 12/09/20

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PLTR 12/18/2020 PUT \$27.00 / CUSIP: / Symbol:							
12/10/20	1.000	228.98	12/09/20	290.00	...	-61.02	Option sale to close-put ²⁵
PLTR 12/24/2020 CALL \$23.00 / CUSIP: / Symbol:							
12/09/20	1.000	417.98	12/04/20	320.00	...	97.98	Option sale to close-call ²⁵
PLTR 12/24/2020 CALL \$24.00 / CUSIP: / Symbol:							
12/08/20	1.000	614.97	12/04/20	257.00	...	357.97	Option sale to close-call ²⁵
12/10/20	1.000	159.97	12/09/20	0.00	...	159.97	Short sale closed- call ²⁵ Option written: 12/08/20
	Security total:	774.94		257.00	...	517.94	
PLTR 12/31/2020 CALL \$19.00 / CUSIP: / Symbol:							
11/24/20	1.000	506.97	11/20/20	307.00	...	199.97	Option sale to close-call ²⁵
PLTR 12/31/2020 CALL \$19.50 / CUSIP: / Symbol:							
11/25/20	1.000	-217.02	11/24/20	0.00	...	-217.02	Short sale closed- call ²⁵ Option written: 11/20/20
PLTR 12/31/2020 CALL \$26.00 / CUSIP: / Symbol:							
12/02/20	1.000	219.98	11/30/20	473.00	...	-253.02	Option sale to close-call ²⁵
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	1.000	413.98	11/30/20	473.00	...	-59.02	1 of 2 - Option sale to close-call ²⁵
	1.000	413.98	11/30/20	473.00	...	-59.02	2 of 2 - Option sale to close-call ²⁵
12/07/20	2.000	827.96	Various	946.00	...	-118.04	Total of 2 transactions
	Security total:	1,047.94		1,419.00	...	-371.06	
PLTR 12/31/2020 CALL \$27.00 / CUSIP: / Symbol:							
12/03/20	1.000	238.99	12/02/20	0.00	...	238.99	Short sale closed- call ²⁵ Option written: 11/30/20
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	66.98	12/07/20	0.00	...	66.98	1 of 2 - Short sale closed- call ²⁵ Option written: 11/30/20
	1.000	66.99	12/07/20	0.00	...	66.99	2 of 2 - Short sale closed- call ²⁵ Option written: 11/30/20
12/08/20	2.000	133.97	Various	0.00	...	133.97	Total of 2 transactions

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 12/31/2020 CALL \$27.00 / CUSIP: / Symbol: (cont'd)							
Security total:		372.96		0.00	...	372.96	
PTON 09/11/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/08/20	1.000	1,332.96	09/02/20	1,200.00	...	132.96	Option sale to close-call ²⁵
PTON 09/11/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/09/20	1.000	-53.04	09/08/20	0.00	...	-53.04	Short sale closed- call ²⁵ Option written: 09/02/20
PTON 10/09/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/17/20	1.000	803.97	09/14/20	780.00	...	23.97	Option sale to close-call ²⁵
PTON 10/09/2020 CALL \$83.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.97	09/17/20	0.00	...	0.97	Short sale closed- call ²⁵ Option written: 09/14/20
PTON 11/13/2020 CALL \$105.00 / CUSIP: / Symbol:							
11/10/20	1.000	210.98	11/09/20	470.00	...	-259.02	Option sale to close-call ²⁵
PTON 11/13/2020 CALL \$106.00 / CUSIP: / Symbol:							
11/11/20	1.000	343.97	11/10/20	0.00	...	343.97	Short sale closed- call ²⁵ Option written: 11/09/20
PTON 11/13/2020 CALL \$118.00 / CUSIP: / Symbol:							
11/06/20	1.000	1,239.96	11/05/20	795.00	...	444.96	Option sale to close-call ²⁵
PTON 11/13/2020 CALL \$119.00 / CUSIP: / Symbol:							
11/09/20	1.000	70.96	11/06/20	0.00	...	70.96	Short sale closed- call ²⁵ Option written: 11/05/20
PYPL 10/02/2020 CALL \$180.00 / CUSIP: / Symbol:							
09/29/20	1.000	1,584.95	09/16/20	749.00	...	835.95	Option sale to close-call ²⁵
PYPL 10/02/2020 CALL \$182.50 / CUSIP: / Symbol:							
09/30/20	1.000	-733.03	09/29/20	0.00	...	-733.03	Short sale closed- call ²⁵ Option written: 09/16/20

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QCOM 11/13/2020 CALL \$129.00 / CUSIP: / Symbol: 11/05/20	1.000	1,599.95	11/04/20	430.00	...	1,169.95	Option sale to close-call ²⁵
QQQ 11/20/2020 PUT \$287.50 / CUSIP: / Symbol: 11/18/20	1.000	402.97	11/17/20	0.00	...	402.97	Short sale closed- put ²⁵ Option written: 11/12/20
QQQ 11/20/2020 PUT \$288.00 / CUSIP: / Symbol: 11/17/20	1.000	89.98	11/12/20	479.00	...	-389.02	Option sale to close-put ²⁵
RRGB 08/21/2020 CALL \$15.00 / CUSIP: / Symbol: 08/21/20	1.000	0.00	08/11/20	20.00	...	-20.00	Option expiration- long call
SAVA 06/19/2020 CALL \$2.50 / CUSIP: / Symbol: 06/03/20	1.000	59.98	05/18/20	55.00	...	4.98	Option sale to close-call ²⁵
SNOW 10/16/2020 CALL \$220.00 / CUSIP: / Symbol: 09/23/20	1.000	2,872.92	09/22/20	2,406.00	...	466.92	Option sale to close-call ²⁵
SNOW 10/16/2020 CALL \$230.00 / CUSIP: / Symbol: 09/24/20	1.000	-449.06	09/23/20	0.00	...	-449.06	Short sale closed- call ²⁵ Option written: 09/22/20
SPG 01/21/2022 CALL \$115.00 / CUSIP: / Symbol: 11/19/20	1.000	599.97	11/16/20	590.00	...	9.97	Option sale to close-call ²⁵
SPG 01/21/2022 CALL \$120.00 / CUSIP: / Symbol: 12/01/20	1.000	291.96	11/30/20	0.00	...	291.96	Short sale closed- call ²⁵ Option written: 11/24/20
SPG 01/21/2022 CALL \$80.00 / CUSIP: / Symbol: 11/30/20	1.000	1,726.95	11/24/20	2,235.00	...	-508.05	Option sale to close-call ²⁵
SPG 03/19/2021 CALL \$80.00 / CUSIP: / Symbol: 12/09/20	1.000	1,534.95	11/30/20	1,140.00	...	394.95	Option sale to close-call ²⁵
SPG 03/19/2021 CALL \$85.00 / CUSIP: / Symbol: 12/10/20	1.000	-350.03	12/09/20	0.00	...	-350.03	Short sale closed- call ²⁵ Option written: 11/30/20

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPHD 03/19/2021 CALL \$36.00 / CUSIP: / Symbol:							
08/05/20	1.000	149.98	07/31/20	140.00	...	9.98	Option sale to close-call ²⁵
		<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	134.99	08/06/20	150.00	...	-15.01	1 of 2 - Option sale to close-call ²⁵
	1.000	134.99	08/06/20	140.00	...	-5.01	2 of 2 - Option sale to close-call ²⁵
09/02/20	2.000	269.98	Various	290.00	...	-20.02	Total of 2 transactions
	Security total:	419.96		430.00	...	-10.04	
SPLK 01/08/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/10/20	1.000	924.96	12/09/20	1,100.00	...	-175.04	Option sale to close-call ²⁵
SPLK 02/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
12/07/20	1.000	449.98	12/03/20	875.00	...	-425.02	Option sale to close-call ²⁵
SPLK 05/21/2021 CALL \$155.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,934.94	12/07/20	1,947.00	...	-12.06	Option sale to close-call ²⁵
SPLK 05/21/2021 CALL \$170.00 / CUSIP: / Symbol:							
12/10/20	1.000	23.95	12/09/20	0.00	...	23.95	Short sale closed- call ²⁵ Option written: 12/07/20
SPLK 12/04/2020 PUT \$155.00 / CUSIP: / Symbol:							
12/04/20	1.000	83.98	12/03/20	240.00	...	-156.02	Option sale to close-put ²⁵
SPLK 12/04/2020 PUT \$160.00 / CUSIP: / Symbol:							
12/07/20	1.000	223.97	12/04/20	0.00	...	223.97	Short sale closed- put ²⁵ Option written: 12/03/20
SPLK 12/18/2020 PUT \$155.00 / CUSIP: / Symbol:							
12/10/20	1.000	464.97	12/10/20	510.00	...	-45.03	Option sale to close-put ²⁵
SPLK 12/24/2020 CALL \$165.00 / CUSIP: / Symbol:							
12/23/20	1.000	1,599.95	12/23/20	1,660.00	...	-60.05	Option sale to close-call ²⁵
SPLK 12/31/2020 CALL \$150.00 / CUSIP: / Symbol:							
12/15/20	1.000	1,114.96	12/09/20	987.00	...	127.96	Option sale to close-call ²⁵

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLK 12/31/2020 CALL \$155.00 / CUSIP: / Symbol: 12/16/20	1.000	-73.03	12/15/20	0.00	...	-73.03	Short sale closed- call ²⁵ Option written: 12/09/20
SPLK 12/31/2020 CALL \$162.50 / CUSIP: / Symbol: 12/18/20	1.000	594.97	12/17/20	639.00	44.03 W	0.00	Option sale to close-call ²⁵
SPOT 10/09/2020 CALL \$227.50 / CUSIP: / Symbol: 09/18/20	1.000	1,504.95	09/16/20	1,384.00	...	120.95	Option sale to close-call ²⁵
SPOT 10/09/2020 CALL \$230.00 / CUSIP: / Symbol: 09/21/20	1.000	-116.04	09/18/20	0.00	...	-116.04	Short sale closed- call ²⁵ Option written: 09/16/20
SQ 09/11/2020 CALL \$140.00 / CUSIP: / Symbol: 09/11/20	1.000	1.98	09/04/20	1,016.00	...	-1,014.02	Option sale to close-call ²⁵
SQ 09/11/2020 CALL \$145.00 / CUSIP: / Symbol: 09/14/20	1.000	701.97	09/11/20	0.00	...	701.97	Short sale closed- call ²⁵ Option written: 09/04/20
SQ 10/16/2020 CALL \$135.00 / CUSIP: / Symbol: 09/21/20	1.000	1,458.95	09/18/20	1,372.00	...	86.95	Option sale to close-call ²⁵
SQ 10/16/2020 CALL \$140.00 / CUSIP: / Symbol: 09/22/20	1.000	-85.04	09/21/20	0.00	...	-85.04	Short sale closed- call ²⁵ Option written: 09/18/20
SQ 11/06/2020 CALL \$155.00 / CUSIP: / Symbol: 11/06/20	1.000	3,349.91	11/02/20	910.00	...	2,439.91	Option sale to close-call ²⁵
SQ 11/06/2020 CALL \$157.50 / CUSIP: / Symbol: 11/09/20	1.000	-2,215.04	11/06/20	0.00	...	-2,215.04	Short sale closed- call ²⁵ Option written: 11/02/20
SQ 12/04/2020 CALL \$210.00 / CUSIP: / Symbol: 11/27/20	1.000	612.97	11/25/20	849.00	...	-236.03	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SQ 12/04/2020 CALL \$212.50 / CUSIP: / Symbol:							
11/30/20	1.000	219.97	11/27/20	0.00	...	219.97	Short sale closed- call ²⁵ Option written: 11/25/20
SWKS 01/15/2021 CALL \$135.00 / CUSIP: / Symbol:							
11/30/20	1.000	889.97	11/24/20	812.00	...	77.97	Option sale to close-call ²⁵
SWKS 01/15/2021 CALL \$140.00 / CUSIP: / Symbol:							
12/01/20	1.000	-68.03	11/30/20	0.00	...	-68.03	Short sale closed- call ²⁵ Option written: 11/24/20
SWKS 11/20/2020 CALL \$140.00 / CUSIP: / Symbol:							
11/17/20	1.000	242.98	11/10/20	380.00	...	-137.02	Option sale to close-call ²⁵
SWKS 11/20/2020 CALL \$141.00 / CUSIP: / Symbol:							
11/18/20	1.000	116.98	11/17/20	0.00	...	116.98	Short sale closed- call ²⁵ Option written: 11/10/20
SWKS 12/31/2020 CALL \$135.00 / CUSIP: / Symbol:							
12/02/20	1.000	1,112.96	11/25/20	567.00	...	545.96	Option sale to close-call ²⁵
	3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	1.000	1,591.95	11/25/20	567.00	...	1,024.95	1 of 3 - Option sale to close-call ²⁵
	1.000	1,591.96	11/25/20	567.00	...	1,024.96	2 of 3 - Option sale to close-call ²⁵
	2.000	3,183.91	11/25/20	1,134.00	...	2,049.91	3 of 3 - Option sale to close-call ²⁵
12/09/20	4.000	6,367.82	Various	2,268.00	...	4,099.82	Total of 3 transactions
	Security total:	7,480.78		2,835.00	...	4,645.78	
SWKS 12/31/2020 CALL \$136.00 / CUSIP: / Symbol:							
12/03/20	1.000	-524.01	12/02/20	0.00	524.01 W	0.00	Short sale closed- call ²⁵ Option written: 11/25/20
	3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	2.000	-1,978.03	12/09/20	0.00	...	-1,978.03	1 of 3 - Short sale closed- call ²⁵ Option written: 11/25/20
	1.000	-1,513.04	12/09/20	0.00	...	-1,513.04	2 of 3 - Short sale closed- call ²⁵ Option written: 11/25/20

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SWKS 12/31/2020 CALL \$136.00 / CUSIP: / Symbol: (cont'd)							
	1.000	-989.02	12/09/20	0.00	...	-989.02	3 of 3 - Short sale closed- call ²⁵
12/10/20	4.000	-4,480.09	Various	0.00	...	-4,480.09	Option written: 11/25/20
	Security total:	-5,004.10		0.00	524.01 W	-4,480.09	Total of 3 transactions
T 06/26/2020 CALL \$30.50 / CUSIP: / Symbol:							
06/25/20	1.000	3.98	06/22/20	26.00	...	-22.02	Option sale to close-call ²⁵
T 06/26/2020 CALL \$31.00 / CUSIP: / Symbol:							
06/25/20	1.000	1.98	06/19/20	18.00	...	-16.02	Option sale to close-call ²⁵
T 07/10/2020 CALL \$30.50 / CUSIP: / Symbol:							
07/10/20	1.000	1.98	06/26/20	22.00	...	-20.02	Option sale to close-call ²⁵
TGT 10/23/2020 CALL \$165.00 / CUSIP: / Symbol:							
10/16/20	1.000	264.98	10/16/20	205.00	...	59.98	Option sale to close-call ²⁵
TGT 11/20/2020 CALL \$157.50 / CUSIP: / Symbol:							
11/18/20	1.000	1,464.95	11/13/20	655.00	...	809.95	Option sale to close-call ²⁵
TGT 11/20/2020 CALL \$160.00 / CUSIP: / Symbol:							
11/19/20	1.000	-705.03	11/18/20	0.00	...	-705.03	Short sale closed- call ²⁵ Option written: 11/13/20
TGT 11/27/2020 CALL \$157.50 / CUSIP: / Symbol:							
11/18/20	1.000	1,131.96	11/13/20	770.00	...	361.96	Option sale to close-call ²⁵
TGT 11/27/2020 CALL \$160.00 / CUSIP: / Symbol:							
11/19/20	1.000	-272.03	11/18/20	0.00	...	-272.03	Short sale closed- call ²⁵ Option written: 11/13/20
TGT 11/27/2020 CALL \$162.50 / CUSIP: / Symbol:							
11/18/20	1.000	454.97	11/17/20	610.00	...	-155.03	Option sale to close-call ²⁵
TGT 11/27/2020 CALL \$165.00 / CUSIP: / Symbol:							
11/17/20	1.000	429.98	11/16/20	560.00	...	-130.02	Option sale to close-call ²⁵

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TGT 12/04/2020 CALL \$177.50 / CUSIP: / Symbol: 12/01/20	1.000	370.98	11/23/20	236.00	...	134.98	Option sale to close-call ²⁵
TGT 12/04/2020 CALL \$180.00 / CUSIP: / Symbol: 12/02/20	1.000	-61.02	12/01/20	0.00	...	-61.02	Short sale closed- call ²⁵ Option written: 11/23/20
TQQQ 09/18/2020 CALL \$137.00 / CUSIP: / Symbol: 09/15/20	1.000	330.98	09/04/20	1,385.00	...	-1,054.02	Option sale to close-call ²⁵
TQQQ 09/18/2020 CALL \$143.00 / CUSIP: / Symbol: 09/16/20	1.000	958.96	09/15/20	0.00	...	958.96	Short sale closed- call ²⁵ Option written: 09/04/20
TQQQ 09/25/2020 CALL \$125.00 / CUSIP: / Symbol: 09/15/20	1.000	1,406.95	09/08/20	1,807.00	...	-400.05	Option sale to close-call ²⁵
TQQQ 09/25/2020 CALL \$130.00 / CUSIP: / Symbol: 09/16/20	1.000	430.95	09/15/20	0.00	...	430.95	Short sale closed- call ²⁵ Option written: 09/08/20
TQQQ 11/06/2020 PUT \$139.00 / CUSIP: / Symbol: 11/06/20	1.000	341.98	11/05/20	0.00	...	341.98	Short sale closed- put ²⁵ Option written: 11/04/20
TQQQ 11/06/2020 PUT \$140.00 / CUSIP: / Symbol: 11/05/20	1.000	94.98	11/04/20	480.00	...	-385.02	Option sale to close-put ²⁵
TQQQ 11/20/2020 PUT \$141.00 / CUSIP: / Symbol: 11/18/20	1.000	425.97	11/17/20	0.00	...	425.97	Short sale closed- put ²⁵ Option written: 11/12/20
TQQQ 11/20/2020 PUT \$141.50 / CUSIP: / Symbol: 11/12/20	1.000	684.97	11/12/20	664.00	...	20.97	Option sale to close-put ²⁵
TQQQ 11/20/2020 PUT \$142.00 / CUSIP: / Symbol: 11/17/20	1.000	232.98	11/12/20	730.00	...	-497.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/04/2020 CALL \$440.00 / CUSIP: / Symbol:							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	131.98	08/28/20	2,662.00	...	-2,530.02	1 of 3 - Option sale to close-call ²⁵
	2.000	321.98	08/28/20	2,662.00	...	-2,340.02	2 of 3 - Option sale to close-call ²⁵
	1.000	79.98	08/28/20	1,331.00	...	-1,251.02	3 of 3 - Option sale to close-call ²⁵
09/04/20	5.000	533.94	Various	6,655.00	...	-6,121.06	Total of 3 transactions
TSLA 09/04/2020 CALL \$442.00 / CUSIP: / Symbol:							
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	312.59	09/04/20	0.00	...	312.59	1 of 3 - Short sale closed- call ²⁵
	2.000	479.18	09/04/20	0.00	...	479.18	Option written: 08/25/20 2 of 3 - Short sale closed- call ²⁵
	2.000	649.17	09/04/20	0.00	...	649.17	Option written: 08/25/20 3 of 3 - Short sale closed- call ²⁵
09/08/20	5.000	1,440.94	Various	0.00	...	1,440.94	Option written: 08/25/20 Total of 3 transactions
TSLA 09/04/2020 CALL \$480.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	1,099.96	08/28/20	2,463.60	...	-1,363.64	1 of 2 - Option sale to close-call ²⁵
	1.000	1,829.94	08/28/20	2,463.60	...	-633.66	2 of 2 - Option sale to close-call ²⁵
08/31/20	2.000	2,929.90	Various	4,927.20	...	-1,997.30	Total of 2 transactions
09/02/20	3.000	1,523.95	08/28/20	7,390.80	...	-5,866.85	Option sale to close-call ²⁵
	Security total:	4,453.85		12,318.00	...	-7,864.15	
TSLA 09/04/2020 CALL \$485.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	-404.03	08/31/20	0.00	...	-404.03	1 of 2 - Short sale closed- call ²⁵
	1.000	238.97	08/31/20	0.00	...	238.97	Option written: 08/28/20 2 of 2 - Short sale closed- call ²⁵
09/01/20	2.000	-165.06	Various	0.00	...	-165.06	Option written: 08/28/20 Total of 2 transactions
09/03/20	3.000	2,282.91	09/02/20	0.00	...	2,282.91	Total of 2 transactions Short sale closed- call ²⁵ Option written: 08/28/20
	Security total:	2,117.85		0.00	...	2,117.85	

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TSLA 09/04/2020 PUT \$495.00 / CUSIP: / Symbol:							
09/08/20	1.000	-5,362.07	09/04/20	0.00	...	-5,362.07	Short sale closed- put ²⁵ Option written: 08/31/20
TSLA 09/04/2020 PUT \$500.00 / CUSIP: / Symbol:							
09/04/20	1.000	8,386.80	08/31/20	2,835.00	...	5,551.80	Option sale to close-put ²⁵
TSLA 09/11/2020 CALL \$445.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/02/20	3,681.00	...	-3,681.00	Option expiration- long call
TSLA 09/11/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/11/20	1.000	3,450.91	09/11/20	0.00	...	3,450.91	Short sale closed- call expired Option written: 09/02/20
TSLA 09/11/2020 CALL \$455.00 / CUSIP: / Symbol:							
09/10/20	1.000	12.98	09/02/20	3,926.00	...	-3,913.02	Option sale to close-call ²⁵
TSLA 09/11/2020 CALL \$460.00 / CUSIP: / Symbol:							
09/11/20	1.000	3,679.90	09/10/20	0.00	...	3,679.90	Short sale closed- call ²⁵ Option written: 09/02/20
TSLA 09/11/2020 PUT \$354.00 / CUSIP: / Symbol:							
09/11/20	1.000	1,199.96	09/11/20	0.00	...	1,199.96	Short sale closed- put expired Option written: 09/09/20
TSLA 09/11/2020 PUT \$355.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/09/20	1,250.00	...	-1,250.00	Option expiration- long put
TSLA 09/18/2020 CALL \$390.00 / CUSIP: / Symbol:							
09/14/20	1.000	3,099.92	09/04/20	4,022.00	...	-922.08	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$395.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,031.90	09/14/20	0.00	...	1,031.90	Short sale closed- call ²⁵ Option written: 09/04/20
TSLA 09/18/2020 CALL \$406.00 / CUSIP: / Symbol:							
09/14/20	1.000	1,969.94	09/02/20	4,725.00	...	-2,755.06	Option sale to close-call ²⁵

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TSLA 09/18/2020 CALL \$415.00 / CUSIP: / Symbol:							
09/15/20	1.000	2,764.89	09/14/20	0.00	...	2,764.89	Short sale closed- call ²⁵ Option written: 09/02/20
TSLA 09/18/2020 CALL \$416.00 / CUSIP: / Symbol:							
09/18/20	1.000	2,572.93	09/03/20	4,370.00	...	-1,797.07	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$426.00 / CUSIP: / Symbol:							
09/21/20	1.000	2,201.90	09/18/20	0.00	...	2,201.90	Short sale closed- call ²⁵ Option written: 09/03/20
TSLA 09/25/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/21/20	1.000	5,619.86	09/18/20	5,675.00	...	-55.14	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$420.00 / CUSIP: / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	3,204.91	09/21/20	4,940.00	...	-1,735.09	1 of 2 - Option sale to close-call ²⁵
	1.000	89.88	09/21/20	0.00	...	89.88	2 of 2 - Short sale closed- call ²⁵ Option written: 09/18/20
09/22/20	2.000	3,294.79	Various	4,940.00	...	-1,645.21	Total of 2 transactions
TSLA 09/25/2020 CALL \$430.00 / CUSIP: / Symbol:							
09/21/20	1.000	4,034.90	09/18/20	4,509.00	...	-474.10	Option sale to close-call ²⁵
09/23/20	1.000	1,639.89	09/22/20	0.00	...	1,639.89	Short sale closed- call ²⁵ Option written: 09/21/20
	Security total:	5,674.79		4,509.00	...	1,165.79	
TSLA 09/25/2020 CALL \$440.00 / CUSIP: / Symbol:							
09/21/20	1.000	3,806.90	09/18/20	4,063.00	256.10 W	0.00	Option sale to close-call ²⁵
09/22/20	1.000	2,414.93	09/21/20	4,075.10	...	-1,660.17	Option sale to close-call ²⁵
	Security total:	6,221.83		8,138.10	256.10 W	-1,660.17	
TSLA 09/25/2020 CALL \$442.00 / CUSIP: / Symbol:							
09/18/20	1.000	3,939.90	09/02/20	6,045.00	...	-2,105.10	Option sale to close-call ²⁵

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TSLA 09/25/2020 CALL \$450.00 / CUSIP: / Symbol: 09/22/20	1.000	283.90	09/21/20	0.00	...	283.90	Short sale closed- call ²⁵ Option written: 09/18/20
TSLA 09/25/2020 CALL \$460.00 / CUSIP: / Symbol: 09/22/20	1.000	488.91	09/21/20	0.00	...	488.91	Short sale closed- call ²⁵ Option written: 09/18/20
09/23/20	1.000	1,264.92	09/22/20	0.00	...	1,264.92	Short sale closed- call ²⁵ Option written: 09/21/20
Security total:		1,753.83		0.00	...	1,753.83	
TSLA 09/25/2020 CALL \$465.00 / CUSIP: / Symbol: 09/21/20	1.000	2,075.87	09/18/20	0.00	...	2,075.87	Short sale closed- call ²⁵ Option written: 09/02/20
TSLA 09/25/2020 CALL \$475.00 / CUSIP: / Symbol: 09/15/20	1.000	3,409.91	09/15/20	3,732.00	...	-322.09	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol: 09/16/20	1.000	309.92	09/15/20	0.00	...	309.92	Short sale closed- call ²⁵ Option written: 09/15/20
TSLA 10/02/2020 CALL \$340.00 / CUSIP: / Symbol: 09/14/20	1.000	7,684.82	09/08/20	5,143.00	...	2,541.82	Option sale to close-call ²⁵
TSLA 10/02/2020 CALL \$345.00 / CUSIP: / Symbol: 09/15/20	1.000	-2,412.12	09/14/20	0.00	...	-2,412.12	Short sale closed- call ²⁵ Option written: 09/08/20
TSLA 11/06/2020 CALL \$415.00 / CUSIP: / Symbol: 11/05/20	1.000	2,199.94	11/03/20	1,155.00	...	1,044.94	Option sale to close-call ²⁵
TSLA 11/06/2020 CALL \$417.50 / CUSIP: / Symbol: 11/06/20	1.000	-540.04	11/05/20	0.00	...	-540.04	Short sale closed- call ²⁵ Option written: 11/03/20

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TSLA 11/06/2020 CALL \$422.50 / CUSIP: / Symbol: 11/06/20	1.000	-650.03	11/05/20	0.00	...	-650.03	Short sale closed- call ²⁵ Option written: 11/04/20
TSLA 11/06/2020 CALL \$425.00 / CUSIP: / Symbol: 11/05/20	1.000	1,399.95	11/04/20	845.00	...	554.95	Option sale to close-call ²⁵
TSLA 11/13/2020 CALL \$425.00 / CUSIP: / Symbol: 11/09/20	1.000	2,599.93	11/06/20	1,440.00	...	1,159.93	Option sale to close-call ²⁵
TSLA 11/13/2020 CALL \$427.50 / CUSIP: / Symbol: 11/10/20	1.000	-900.04	11/09/20	0.00	...	-900.04	Short sale closed- call ²⁵ Option written: 11/06/20
TSLA 11/20/2020 CALL \$410.00 / CUSIP: / Symbol: 11/11/20	1.000	1,699.95	11/10/20	1,770.00	...	-70.05	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$415.00 / CUSIP: / Symbol: 11/12/20	1.000	-110.05	11/11/20	0.00	...	-110.05	Short sale closed- call ²⁵ Option written: 11/10/20
TSLA 11/20/2020 CALL \$425.00 / CUSIP: / Symbol: 11/10/20	1.000	929.96	11/09/20	1,850.00	...	-920.04	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$430.00 / CUSIP: / Symbol: 11/11/20	1.000	659.95	11/10/20	0.00	...	659.95	Short sale closed- call ²⁵ Option written: 11/09/20
TSLA 11/20/2020 CALL \$455.00 / CUSIP: / Symbol: 11/18/20	1.000	2,599.93	11/18/20	2,625.00	...	-25.07	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$465.00 / CUSIP: / Symbol: 11/19/20	1.000	3,299.91	11/18/20	875.00	...	2,424.91	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$470.00 / CUSIP: / Symbol: 11/20/20	1.000	-1,600.05	11/19/20	0.00	...	-1,600.05	Short sale closed- call ²⁵ Option written: 11/18/20

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TSLA 11/20/2020 CALL \$490.00 / CUSIP: / Symbol:							
11/20/20	1.000	949.96	11/19/20	2,200.00	...	-1,250.04	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$495.00 / CUSIP: / Symbol:							
11/23/20	1.000	909.95	11/20/20	0.00	...	909.95	Short sale closed- call ²⁵ Option written: 11/19/20
TSLA 11/20/2020 PUT \$485.00 / CUSIP: / Symbol:							
11/20/20	1.000	767.96	11/19/20	0.00	...	767.96	Short sale closed- put ²⁵ Option written: 11/18/20
TSLA 11/20/2020 PUT \$490.00 / CUSIP: / Symbol:							
11/19/20	1.000	614.97	11/18/20	1,522.00	...	-907.03	Option sale to close-put ²⁵
TSLA 11/27/2020 CALL \$412.50 / CUSIP: / Symbol:							
11/12/20	1.000	2,199.94	11/11/20	2,019.00	...	180.94	Option sale to close-call ²⁵
11/16/20	1.000	1,154.96	11/11/20	1,853.00	...	-698.04	Option sale to close-call ²⁵
	Security total:	3,354.90		3,872.00	...	-517.10	
TSLA 11/27/2020 CALL \$415.00 / CUSIP: / Symbol:							
11/13/20	1.000	9.94	11/12/20	0.00	...	9.94	Short sale closed- call ²⁵ Option written: 11/11/20
11/17/20	1.000	634.95	11/16/20	0.00	...	634.95	Short sale closed- call ²⁵ Option written: 11/11/20
	Security total:	644.89		0.00	...	644.89	
TSLA 12/04/2020 PUT \$540.00 / CUSIP: / Symbol:							
11/30/20	1.000	1,517.94	11/27/20	0.00	...	1,517.94	Short sale closed- put ²⁵ Option written: 11/25/20
TSLA 12/04/2020 PUT \$550.00 / CUSIP: / Symbol:							
11/27/20	1.000	726.97	11/25/20	2,575.00	...	-1,848.03	Option sale to close-put ²⁵
TSLA 12/04/2020 PUT \$580.00 / CUSIP: / Symbol:							
12/02/20	1.000	947.93	12/01/20	0.00	...	947.93	Short sale closed- put ²⁵ Option written: 11/30/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/04/2020 PUT \$585.00 / CUSIP: / Symbol:							
11/30/20	1.000	2,549.93	11/30/20	2,470.00	...	79.93	Option sale to close-put ²⁵
12/01/20	1.000	2,006.94	11/30/20	2,900.00	...	-893.06	Option sale to close-put ²⁵
	Security total:	4,556.87		5,370.00	...	-813.13	
TSLA 12/11/2020 CALL \$490.00 / CUSIP: / Symbol:							
12/09/20	1.000	14,489.66	11/19/20	4,300.00	...	10,189.66	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$495.00 / CUSIP: / Symbol:							
12/10/20	1.000	-10,096.10	12/09/20	0.00	10,096.10 W	0.00	Short sale closed- call ²⁵ Option written: 11/19/20
TSLA 12/11/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/25/20	1.000	6,021.85	11/23/20	4,450.00	...	1,571.85	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$505.00 / CUSIP: / Symbol:							
11/27/20	1.000	-1,577.11	11/25/20	0.00	...	-1,577.11	Short sale closed- call ²⁵ Option written: 11/23/20
TSLA 12/11/2020 CALL \$510.00 / CUSIP: / Symbol:							
11/24/20	1.000	4,544.88	11/23/20	3,800.00	...	744.88	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$515.00 / CUSIP: / Symbol:							
11/25/20	1.000	-620.10	11/24/20	0.00	...	-620.10	Short sale closed- call ²⁵ Option written: 11/23/20
TSLA 12/18/2020 CALL \$450.00 / CUSIP: / Symbol:							
11/20/20	1.000	6,097.85	11/18/20	4,058.00	...	2,039.85	Option sale to close-call ²⁵
TSLA 12/18/2020 CALL \$475.00 / CUSIP: / Symbol:							
11/23/20	1.000	-1,775.08	11/20/20	0.00	...	-1,775.08	Short sale closed- call ²⁵ Option written: 11/18/20
TSLA 12/18/2020 CALL \$510.00 / CUSIP: / Symbol:							
11/24/20	1.000	5,457.86	11/23/20	4,300.00	...	1,157.86	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/18/2020 CALL \$515.00 / CUSIP: / Symbol:							
11/25/20	1.000	-1,098.11	11/24/20	0.00	...	-1,098.11	Short sale closed- call ²⁵ Option written: 11/23/20
TSLA 12/24/2020 PUT \$620.00 / CUSIP: / Symbol:							
12/22/20	1.000	1,304.93	12/21/20	0.00	...	1,304.93	Short sale closed- put ²⁵ Option written: 12/18/20
TSLA 12/24/2020 PUT \$622.50 / CUSIP: / Symbol:							
12/21/20	1.000	1,089.96	12/18/20	2,425.00	...	-1,335.04	Option sale to close-put ²⁵
TSLA 12/24/2020 PUT \$635.00 / CUSIP: / Symbol:							
12/22/20	1.000	2,648.89	12/21/20	0.00	...	2,648.89	Short sale closed- put ²⁵ Option written: 12/18/20
TSLA 12/24/2020 PUT \$640.00 / CUSIP: / Symbol:							
12/21/20	1.000	1,621.95	12/18/20	4,370.00	...	-2,748.05	Option sale to close-put ²⁵
TSLA 12/24/2020 PUT \$645.00 / CUSIP: / Symbol:							
12/23/20	1.000	-772.05	12/22/20	0.00	...	-772.05	Short sale closed- put ²⁵ Option written: 12/22/20
TSLA 12/24/2020 PUT \$650.00 / CUSIP: / Symbol:							
12/22/20	1.000	2,610.93	12/22/20	1,689.00	...	921.93	Option sale to close-put ²⁵
TSM 10/23/2020 CALL \$87.00 / CUSIP: / Symbol:							
10/16/20	1.000	154.98	10/15/20	252.00	...	-97.02	Option sale to close-call ²⁵
TTM 07/31/2020 CALL \$7.00 / CUSIP: / Symbol:							
07/28/20	1.000	29.98	07/23/20	25.00	...	4.98	Option sale to close-call ²⁵
TWTR 05/29/2020 PUT \$30.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/28/20	14.00	...	-14.00	Option expiration- long put
TWTR 07/10/2020 CALL \$31.50 / CUSIP: / Symbol:							
07/01/20	1.000	66.98	06/30/20	30.00	...	36.98	Option sale to close-call ²⁵

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UBER 08/28/2020 CALL \$31.00 / CUSIP: / Symbol:							
08/26/20	1.000	159.98	08/12/20	176.02	...	-16.04	Option sale to close-call ²⁵
08/26/20	1.000	74.98	08/12/20	128.00	53.02 W	0.00	Option sale to close-call ²⁵
	Security total:	234.96		304.02	53.02 W	-16.04	
UBER 10/02/2020 CALL \$32.00 / CUSIP: / Symbol:							
08/21/20	1.000	158.98	08/14/20	150.00	...	8.98	Option sale to close-call ²⁵
UPS 10/30/2020 CALL \$152.50 / CUSIP: / Symbol:							
10/28/20	1.000	824.97	10/27/20	1,930.00	...	-1,105.03	Option sale to close-call ²⁵
UPS 10/30/2020 CALL \$165.00 / CUSIP: / Symbol:							
10/28/20	1.000	42.98	10/27/20	923.00	...	-880.02	Option sale to close-call ²⁵
UPS 10/30/2020 CALL \$170.00 / CUSIP: / Symbol:							
10/29/20	1.000	630.97	10/28/20	0.00	...	630.97	Short sale closed- call ²⁵ Option written: 10/27/20
USB 06/19/2020 CALL \$37.50 / CUSIP: / Symbol:							
06/18/20	1.000	89.98	06/11/20	90.00	...	-0.02	Option sale to close-call ²⁵
USB 07/02/2020 CALL \$37.50 / CUSIP: / Symbol:							
07/01/20	1.000	34.98	06/26/20	46.00	...	-11.02	Option sale to close-call ²⁵
V 10/16/2020 CALL \$195.00 / CUSIP: / Symbol:							
10/16/20	1.000	579.97	09/22/20	723.00	...	-143.03	Option sale to close-call ²⁵
V 10/16/2020 CALL \$197.50 / CUSIP: / Symbol:							
10/19/20	1.000	242.97	10/16/20	0.00	...	242.97	Short sale closed- call ²⁵ Option written: 09/22/20
WBA 12/31/2020 CALL \$41.00 / CUSIP: / Symbol:							
11/24/20	1.000	59.98	11/17/20	160.00	100.02 W	0.00	Option sale to close-call ²⁵
12/03/20	1.000	299.98	11/19/20	173.02	...	126.96	Option sale to close-call ²⁵
	Security total:	359.96		333.02	100.02 W	126.96	
WDAY 10/02/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/22/20	1.000	1,004.96	09/15/20	1,192.00	...	-187.04	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WDAY 10/02/2020 CALL \$205.00 / CUSIP: / Symbol: 09/23/20	1.000	175.97	09/22/20	0.00	...	175.97	Short sale closed- call ²⁵ Option written: 09/15/20
WDAY 11/20/2020 CALL \$215.00 / CUSIP: / Symbol: 11/20/20	1.000	178.98	11/12/20	1,300.00	...	-1,121.02	Option sale to close-call ²⁵
WDAY 11/20/2020 CALL \$217.50 / CUSIP: / Symbol: 11/23/20	1.000	1,040.96	11/20/20	0.00	...	1,040.96	Short sale closed- call ²⁵ Option written: 11/12/20
WDAY 11/20/2020 CALL \$220.00 / CUSIP: / Symbol: 11/20/20	1.000	99.98	11/12/20	1,100.00	...	-1,000.02	Option sale to close-call ²⁵
WDAY 11/20/2020 CALL \$222.50 / CUSIP: / Symbol: 11/23/20	1.000	900.96	11/20/20	0.00	...	900.96	Short sale closed- call ²⁵ Option written: 11/12/20
WDAY 12/04/2020 CALL \$210.00 / CUSIP: / Symbol: <i>2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,205.96	11/20/20	917.00	...	288.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,205.96	11/20/20	583.00	...	622.96	2 of 2 - Option sale to close-call ²⁵
11/30/20	2.000	2,411.92	Various	1,500.00	...	911.92	Total of 2 transactions
WDAY 12/04/2020 CALL \$212.50 / CUSIP: / Symbol: 12/01/20	1.000	-724.06	11/30/20	0.00	...	-724.06	Short sale closed- call ²⁵ Option written: 11/20/20
12/01/20	1.000	-212.03	11/30/20	0.00	212.03 W	0.00	Short sale closed- call ²⁵ Option written: 11/20/20
Security total:		-936.09		0.00	212.03 W	-724.06	
WDAY 12/04/2020 CALL \$225.00 / CUSIP: / Symbol: <i>2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	114.99	11/19/20	1,235.00	...	-1,120.01	1 of 2 - Option sale to close-call ²⁵
	1.000	114.99	11/20/20	290.00	...	-175.01	2 of 2 - Option sale to close-call ²⁵
11/25/20	2.000	229.98	Various	1,525.00	...	-1,295.02	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

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WDAY 12/31/2020 CALL \$210.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,384.95	11/20/20	1,137.00	...	247.95	Option sale to close-call ²⁵
WDAY 12/31/2020 CALL \$212.50 / CUSIP: / Symbol:							
12/10/20	1.000	-183.04	12/09/20	0.00	...	-183.04	Short sale closed- call ²⁵ Option written: 11/20/20
WFC 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
08/25/20	1.000	159.98	08/06/20	150.00	...	9.98	Option sale to close-call ²⁵
WFC 01/21/2022 CALL \$42.50 / CUSIP: / Symbol:							
08/11/20	1.000	94.98	08/06/20	91.02	...	3.96	Option sale to close-call ²⁵
08/11/20	1.000	94.98	07/14/20	110.00	15.02 W	0.00	Option sale to close-call ²⁵
Security total:		189.96		201.02	15.02 W	3.96	
WFC 06/12/2020 CALL \$31.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	06/10/20	50.00	...	-50.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/10/20	25.00	...	-25.00	2 of 2 - Option expiration- long call
06/12/20	2.000	0.00	Various	75.00	...	-75.00	Total of 2 transactions
WHR 10/30/2020 CALL \$202.50 / CUSIP: / Symbol:							
10/23/20	1.000	181.98	10/22/20	300.00	...	-118.02	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$20.00 / CUSIP: / Symbol:							
08/31/20	1.000	389.98	08/10/20	275.00	...	114.98	Option sale to close-call ²⁵
WKHS 10/23/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/19/20	2.000	85.98	10/01/20	830.00	...	-744.02	Option sale to close-call ²⁵
WKHS 10/23/2020 CALL \$26.00 / CUSIP: / Symbol:							
10/20/20	2.000	675.97	10/19/20	0.00	...	675.97	Short sale closed- call ²⁵ Option written: 10/01/20
WKHS 10/30/2020 CALL \$20.00 / CUSIP: / Symbol:							
10/13/20	1.000	424.98	09/24/20	550.00	...	-125.02	Option sale to close-call ²⁵

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WKHS 10/30/2020 CALL \$21.00 / CUSIP: / Symbol: 10/13/20	1.000	382.98	09/24/20	486.00	...	-103.02	Option sale to close-call ²⁵
WKHS 10/30/2020 CALL \$21.50 / CUSIP: / Symbol: 10/14/20	1.000	140.97	10/13/20	0.00	...	140.97	Short sale closed- call ²⁵ Option written: 09/24/20
WKHS 10/30/2020 CALL \$22.00 / CUSIP: / Symbol: 10/14/20	1.000	116.98	10/13/20	0.00	...	116.98	Short sale closed- call ²⁵ Option written: 09/24/20
WKHS 10/30/2020 CALL \$28.00 / CUSIP: / Symbol: 10/20/20	1.000	25.98	09/18/20	725.00	...	-699.02	Option sale to close-call ²⁵
WKHS 10/30/2020 CALL \$29.00 / CUSIP: / Symbol: 10/21/20	1.000	660.97	10/20/20	0.00	...	660.97	Short sale closed- call ²⁵ Option written: 09/18/20
WM 08/21/2020 CALL \$110.00 / CUSIP: / Symbol: 07/31/20	1.000	154.98	07/30/20	130.00	...	24.98	Option sale to close-call ²⁵
WMT 01/15/2021 CALL \$155.00 / CUSIP: / Symbol: 11/17/20	1.000	429.98	11/16/20	465.00	...	-35.02	Option sale to close-call ²⁵
WMT 07/10/2020 PUT \$121.00 / CUSIP: / Symbol: 07/08/20	1.000	19.98	07/07/20	28.00	...	-8.02	Option sale to close-put ²⁵
WMT 07/17/2020 PUT \$120.00 / CUSIP: / Symbol: 07/14/20	1.000	5.98	07/07/20	95.00	...	-89.02	Option sale to close-put ²⁵
WMT 08/21/2020 CALL \$131.00 / CUSIP: / Symbol: 08/17/20	1.000	529.97	08/05/20	265.00	...	264.97	Option sale to close-call ²⁵
08/19/20	1.000	214.98	08/05/20	255.00	...	-40.02	Option sale to close-call ²⁵
Security total:		744.95		520.00	...	224.95	
WMT 08/21/2020 CALL \$132.00 / CUSIP: / Symbol: 08/04/20	1.000	284.98	08/03/20	210.00	...	74.98	Option sale to close-call ²⁵

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WMT 08/21/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/14/20	1.000	230.98	07/28/20	222.00	...	8.98	Option sale to close-call ²⁵
08/20/20	1.000	14.98	07/29/20	168.00	...	-153.02	Option sale to close-call ²⁵
	Security total:	245.96		390.00	...	-144.04	
WMT 10/09/2020 CALL \$136.00 / CUSIP: / Symbol:							
09/16/20	1.000	459.97	09/15/20	567.00	...	-107.03	Option sale to close-call ²⁵
WMT 10/09/2020 CALL \$138.00 / CUSIP: / Symbol:							
09/17/20	1.000	94.97	09/16/20	0.00	...	94.97	Short sale closed- call ²⁵ Option written: 09/15/20
WMT 11/20/2020 CALL \$145.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	516.98	11/12/20	527.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	1.000	516.98	11/12/20	484.03	...	32.95	2 of 2 - Option sale to close-call ²⁵
11/18/20	2.000	1,033.96	Various	1,011.03	...	22.93	Total of 2 transactions
11/18/20	1.000	516.97	11/12/20	527.00	10.03 W	0.00	Option sale to close-call ²⁵
	Security total:	1,550.93		1,538.03	10.03 W	22.93	
WMT 11/20/2020 CALL \$146.00 / CUSIP: / Symbol:							
	<i>3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	-5.02	11/18/20	0.00	...	-5.02	1 of 3 - Short sale closed- call ²⁵ Option written: 11/12/20
	1.000	39.98	11/18/20	0.00	...	39.98	2 of 3 - Short sale closed- call ²⁵ Option written: 11/12/20
	1.000	39.98	11/18/20	0.00	...	39.98	3 of 3 - Short sale closed- call ²⁵ Option written: 11/12/20
11/19/20	3.000	74.94	Various	0.00	...	74.94	Total of 3 transactions
WMT 11/27/2020 CALL \$152.50 / CUSIP: / Symbol:							
11/18/20	1.000	99.98	11/17/20	180.00	...	-80.02	Option sale to close-call ²⁵

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WMT 12/31/2020 CALL \$143.00 / CUSIP: / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	3.000	467.97	12/22/20	738.00	...	-270.03	1 of 2 - Option sale to close-call ²⁵
	2.000	489.97	12/22/20	492.00	...	-2.03	2 of 2 - Option sale to close-call ²⁵
12/28/20	5.000	957.94	Various	1,230.00	...	-272.06	Total of 2 transactions
WMT 12/31/2020 CALL \$144.00 / CUSIP: / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	2.000	31.98	12/28/20	0.00	...	31.98	1 of 2 - Short sale closed- call ²⁵ Option written: 12/22/20
	3.000	269.98	12/28/20	0.00	...	269.98	2 of 2 - Short sale closed- call ²⁵ Option written: 12/22/20
12/29/20	5.000	301.96	Various	0.00	...	301.96	Total of 2 transactions
WYNN 11/20/2020 PUT \$85.00 / CUSIP: / Symbol:							
11/18/20	1.000	236.98	11/17/20	0.00	...	236.98	Short sale closed- put ²⁵ Option written: 11/12/20
WYNN 11/20/2020 PUT \$87.00 / CUSIP: / Symbol:							
11/17/20	1.000	20.98	11/12/20	338.00	...	-317.02	Option sale to close-put ²⁵
ZM 09/11/2020 CALL \$317.50 / CUSIP: / Symbol:							
09/10/20	1.000	7,893.81	08/31/20	2,343.00	...	5,550.81	Option sale to close-call ²⁵
ZM 09/11/2020 CALL \$320.00 / CUSIP: / Symbol:							
09/11/20	1.000	6,505.84	08/31/20	2,410.00	...	4,095.84	Option sale to close-call ²⁵
ZM 09/11/2020 CALL \$322.50 / CUSIP: / Symbol:							
09/11/20	1.000	-5,296.06	09/10/20	0.00	...	-5,296.06	Short sale closed- call ²⁵ Option written: 08/31/20
ZM 09/11/2020 CALL \$330.00 / CUSIP: / Symbol:							
09/14/20	1.000	-3,559.06	09/11/20	0.00	...	-3,559.06	Short sale closed- call ²⁵ Option written: 08/31/20
ZM 11/06/2020 CALL \$450.00 / CUSIP: / Symbol:							
11/05/20	1.000	3,785.90	11/03/20	1,760.00	...	2,025.90	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZM 11/06/2020 CALL \$452.50 / CUSIP: / Symbol:								
11/06/20	1.000	-1,704.06	11/05/20	0.00	...	-1,704.06	Short sale closed- call ²⁵ Option written: 11/03/20	
ZM 11/13/2020 CALL \$425.00 / CUSIP: / Symbol:								
11/10/20	1.000	339.98	11/09/20	1,900.00	...	-1,560.02	Option sale to close-call ²⁵	
ZM 11/13/2020 CALL \$430.00 / CUSIP: / Symbol:								
11/11/20	1.000	1,634.94	11/10/20	0.00	...	1,634.94	Short sale closed- call ²⁵ Option written: 11/09/20	
ZM 11/20/2020 PUT \$425.00 / CUSIP: / Symbol:								
11/16/20	1.000	-500.06	11/13/20	0.00	...	-500.06	Short sale closed- put ²⁵ Option written: 11/12/20	
ZM 11/20/2020 PUT \$430.00 / CUSIP: / Symbol:								
11/13/20	1.000	2,759.92	11/12/20	2,230.00	...	529.92	Option sale to close-put ²⁵	
ZM 11/27/2020 CALL \$407.50 / CUSIP: / Symbol:								
		2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	1.000	2,314.94	11/13/20	2,542.00	...	-227.06	1 of 2 - Option sale to close-call ²⁵	
	1.000	2,314.94	11/13/20	2,529.00	...	-214.06	2 of 2 - Option sale to close-call ²⁵	
11/23/20	2.000	4,629.88	Various	5,071.00	...	-441.12	Total of 2 transactions	
ZM 11/27/2020 CALL \$410.00 / CUSIP: / Symbol:								
		2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	249.93	11/23/20	0.00	...	249.93	1 of 2 - Short sale closed- call ²⁵ Option written: 11/13/20	
	1.000	261.93	11/23/20	0.00	...	261.93	2 of 2 - Short sale closed- call ²⁵ Option written: 11/13/20	
11/24/20	2.000	511.86	Various	0.00	...	511.86	Total of 2 transactions	
ZM 12/11/2020 PUT \$417.50 / CUSIP: / Symbol:								
11/27/20	1.000	639.91	11/25/20	0.00	...	639.91	Short sale closed- put ²⁵ Option written: 11/23/20	

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(continued)

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ZM 12/11/2020 PUT \$420.00 / CUSIP: / Symbol:							
11/25/20	1.000	2,790.92	11/23/20	3,500.00	...	-709.08	Option sale to close-put ²⁵
AGNC INVESTMENT CORP. COMMON S TOCK / CUSIP: 00123Q104 / Symbol:							
06/26/20	5.000	64.06	06/08/20	70.00	...	-5.94	Sale ²⁵
AT&T INC. / CUSIP: 00206R102 / Symbol:							
07/24/20	10.000	300.25	04/27/20	297.48	...	2.77	Sale ²⁵
07/28/20	10.000	295.60	05/06/20	288.50	...	7.10	Sale ²⁵
07/28/20	10.000	295.42	05/01/20	300.88	0.58 W	-4.88	Sale ²⁵
08/03/20	1.000	29.73	05/06/20	28.85	...	0.88	Sale ²⁵
6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	13.000	389.73	05/06/20	375.05	...	14.68	1 of 6 - Sale ²⁵
	6.000	179.88	06/12/20	181.20	...	-1.32	2 of 6 - Sale ²⁵
	2.000	59.96	06/17/20	60.52	...	-0.56	3 of 6 - Sale ²⁵
	3.000	89.94	06/18/20	90.00	...	-0.06	4 of 6 - Sale ²⁵
	5.000	149.89	06/19/20	150.00	...	-0.11	5 of 6 - Sale ²⁵
	1.054	31.61	08/04/20	31.78	...	-0.17	6 of 6 - Sale ²⁵
08/24/20	30.054	901.01	Various	888.55	...	12.46	Total of 6 transactions
	Security total:	1,822.01		1,804.26	0.58 W	18.33	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
07/01/20	4.000	207.73	05/27/20	200.00	...	7.73	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
05/29/20	1.000	206.71	05/22/20	199.15	...	7.56	Sale ²⁵
4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	194.000	49,793.78 N	12/24/20	49,756.21	...	37.57	1 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$1,615.06
	1.000	256.67 N	12/24/20	214.55	...	42.12	2 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$8.32
	2.000	513.34 N	12/24/20	439.67	...	73.67	3 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$16.65

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(continued)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	2.000	513.34 N	12/24/20	438.42	...	74.92	4 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$16.65
12/24/20	199.000	51,077.13	Various	50,848.85	...	228.28	Total of 4 transactions
12/24/20	1.000	256.67 N	12/22/20	256.72	0.05 W	0.00	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$8.32
	Security total:	51,540.51		51,304.72	0.05 W	235.84	
ALTRIA GROUP, INC. / CUSIP: 02209S103 / Symbol:							
09/10/20	1.000	43.71	07/06/20	39.50	...	4.21	Sale ²⁵
APOLLO INVESTMENT CORPORATION COMMON STOCK / CUSIP: 03761U502 / Symbol:							
06/30/20	4.000	38.38	06/16/20	45.60	...	-7.22	Sale ²⁵
07/06/20	10.000	97.72	06/16/20	114.00	...	-16.28	Sale ²⁵
	Security total:	136.10		159.60	...	-23.50	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
08/28/20	100.000	50,141.87 N	08/28/20	50,001.00	...	140.87	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$892.97
	7 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	121.42	09/03/20	120.71	...	0.71	1 of 7 - Sale ²⁵
	1.000	121.42	09/03/20	120.29	...	1.13	2 of 7 - Sale ²⁵
	1.000	121.41	09/08/20	117.19	...	4.22	3 of 7 - Sale ²⁵
	1.000	121.42	09/28/20	114.22	...	7.20	4 of 7 - Sale ²⁵
	1.000	121.41	10/02/20	114.17	...	7.24	5 of 7 - Sale ²⁵
	1.000	121.42	10/02/20	113.37	...	8.05	6 of 7 - Sale ²⁵
	1.000	121.41	10/02/20	113.00	...	8.41	7 of 7 - Sale ²⁵
10/13/20	7.000	849.91	Various	812.95	...	36.96	Total of 7 transactions
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	121.42	09/02/20	131.26	9.84 W	0.00	1 of 2 - Sale ²⁵
	1.000	121.42	09/03/20	127.94	6.52 W	0.00	2 of 2 - Sale ²⁵
10/13/20	2.000	242.84	Various	259.20	16.36 W	0.00	Total of 2 transactions

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	98.000	11,186.42 N	10/23/20	11,137.70	...	48.72	1 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$602.67
	398.000	47,090.23 N	10/23/20	46,920.22	...	170.01	2 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2,117.28
	398.000	44,849.55 N	10/23/20	44,671.52	...	178.03	3 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2,662.54
10/23/20	894.000	103,126.20	Various	102,729.44	...	396.76	Total of 3 transactions
6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	112.69 N	10/23/20	122.08	9.39 W	0.00	1 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$6.69
	1.000	112.69 N	10/23/20	118.76	6.07 W	0.00	2 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$6.69
	1.000	118.32 N	10/23/20	127.28	8.96 W	0.00	3 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$5.32
	1.000	118.32 N	10/23/20	123.96	5.64 W	0.00	4 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$5.32
	1.000	114.15 N	10/23/20	122.61	8.46 W	0.00	5 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$6.15
	1.000	114.15 N	10/23/20	119.29	5.14 W	0.00	6 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$6.15
10/23/20	6.000	690.32	Various	733.98	43.66 W	0.00	Total of 6 transactions
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	116.53	10/30/20	118.46	...	-1.93	1 of 3 - Sale ²⁵
	1.000	116.53	10/30/20	115.14	...	1.39	2 of 3 - Sale ²⁵

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(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
11/06/20	3.000	349.60	10/30/20	330.00	...	19.60	3 of 3 - Sale ²⁵
	5.000	582.66	Various	563.60	...	19.06	Total of 3 transactions
	6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	1.000	132.00 N	12/10/20	123.04	...	8.96	1 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$11.00
	1.000	132.00 N	12/10/20	123.02	...	8.98	2 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$11.00
	1.000	132.00 N	12/11/20	121.52	...	10.48	3 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$11.00
	1.000	132.00 N	12/18/20	126.85	...	5.15	4 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$11.00
	4.000	503.39 N	12/24/20	503.00	...	0.39	5 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$59.40
	96.000	12,671.64 N	12/24/20	12,072.00	...	599.64	6 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,055.92
12/24/20	104.000	13,703.03	Various	13,069.43	...	633.60	Total of 6 transactions
12/24/20	96.000	12,081.30 N	12/24/20	12,643.20	561.90 W	0.00	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,425.55
12/31/20	4.000	534.32 N	12/24/20	526.80	...	7.52	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$25.67

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	96.000	12,823.69 N	12/31/20	13,397.13	573.44 W	0.00	1 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$616.00
	200.000	26,716.02 N	12/31/20	26,740.06	24.04 W	0.00	2 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$1,283.33
12/31/20	296.000	39,539.71	Various	40,137.19	597.48 W	0.00	Total of 2 transactions
	Security total:	221,492.16		221,476.79	1,219.40 W	1,234.77	
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	20.000	108.40	05/11/20	115.00	...	-6.60	1 of 2 - Sale ²⁵
	10.000	54.20	05/13/20	57.00	...	-2.80	2 of 2 - Sale ²⁵
06/19/20	30.000	162.60	Various	172.00	...	-9.40	Total of 2 transactions
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
12/10/20	5.000	269.43	11/23/20	272.00	...	-2.57	Sale ²⁵
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
05/14/20	100.000	189.99	05/11/20	154.00	...	35.99	Sale ²⁵
06/22/20	50.000	76.00	05/12/20	80.00	...	-4.00	Sale ²⁵
06/24/20	50.000	74.50	05/12/20	80.00	...	-5.50	Sale ²⁵
	Security total:	340.49		314.00	...	26.49	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
09/01/20	10.000	255.02	06/19/20	245.00	...	10.02	Sale ²⁵
BANK OF MONTREAL / CUSIP: 063671101 / Symbol:							
6 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.161	10.13	06/24/20	8.78	...	1.35	1 of 6 - Sale ²⁵
	0.839	52.87	06/24/20	45.80	...	7.07	2 of 6 - Sale ²⁵
	4.000	252.00	07/28/20	219.80	...	32.20	3 of 6 - Sale ²⁵
	5.000	315.01	07/28/20	274.75	...	40.26	4 of 6 - Sale ²⁵
	5.000	315.00	07/28/20	274.50	...	40.50	5 of 6 - Sale ²⁵

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BANK OF MONTREAL / CUSIP: 063671101 / Symbol: (cont'd)							
09/03/20	0.161	10.13	08/27/20	10.28	...	-0.15	6 of 6 - Sale ²⁵
	15.161	955.14	Various	833.91	...	121.23	Total of 6 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	50.000	26.00	05/12/20	24.00	...	2.00	1 of 2 - Sale ²⁵
	50.000	27.49	05/12/20	24.00	...	3.49	2 of 2 - Sale ²⁵
06/04/20	100.000	53.49	Various	48.00	...	5.49	Total of 2 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	29.50	05/12/20	23.50	...	6.00	1 of 2 - Sale ²⁵
	50.000	30.00	05/12/20	23.50	...	6.50	2 of 2 - Sale ²⁵
06/10/20	100.000	59.50	Various	47.00	...	12.50	Total of 2 transactions
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	50.000	28.00	05/13/20	19.50	...	8.50	1 of 2 - Sale ²⁵
	50.000	28.50	05/13/20	19.50	...	9.00	2 of 2 - Sale ²⁵
06/11/20	100.000	56.50	Various	39.00	...	17.50	Total of 2 transactions
	Security total:	169.49		134.00	...	35.49	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
12/24/20	100.000	9,929.75 N	12/24/20	9,920.00	...	9.75	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$879.97
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
05/21/20	4.000	567.98	05/04/20	519.83	...	48.15	Sale ²⁵
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	178.65	07/29/20	166.00	...	12.65	1 of 4 - Sale ²⁵
	1.000	178.65	07/29/20	165.00	...	13.65	2 of 4 - Sale ²⁵
	1.000	178.65	07/30/20	163.00	...	15.65	3 of 4 - Sale ²⁵
	1.000	178.65	07/31/20	159.00	...	19.65	4 of 4 - Sale ²⁵
08/12/20	4.000	714.60	Various	653.00	...	61.60	Total of 4 transactions
	Security total:	1,282.58		1,172.83	...	109.75	

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOX, INC. / CUSIP: 10316T104 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	3.000	50.37	12/01/20	56.40	...	-6.03	1 of 2 - Sale ²⁵
	2.000	33.58	12/01/20	37.74	...	-4.16	2 of 2 - Sale ²⁵
12/03/20	5.000	83.95	Various	94.14	...	-10.19	Total of 2 transactions
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	18.50	12/22/20	18.34	...	0.16	1 of 2 - Sale ²⁵
	10.000	185.00	12/23/20	193.00	...	-8.00	2 of 2 - Sale ²⁵
12/24/20	11.000	203.50	Various	211.34	...	-7.84	Total of 2 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
05/26/20	20.000	320.00	05/01/20	280.60	...	39.40	Sale ²⁵
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	10.000	171.50	05/01/20	140.30	...	31.20	1 of 2 - Sale ²⁵
	10.000	172.20	05/01/20	140.30	...	31.90	2 of 2 - Sale ²⁵
06/11/20	20.000	343.70	Various	280.60	...	63.10	Total of 2 transactions
06/23/20	10.000	177.00	05/14/20	116.50	...	60.50	Sale ²⁵
	Security total:	840.70		677.70	...	163.00	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
06/04/20	10.000	26.00	05/22/20	20.30	...	5.70	Sale ²⁵
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	20.000	52.80	05/22/20	40.60	...	12.20	1 of 2 - Sale ²⁵
	70.000	178.49	05/22/20	142.08	...	36.41	2 of 2 - Sale ²⁵
06/11/20	90.000	231.29	Various	182.68	...	48.61	Total of 2 transactions
	Security total:	257.29		202.98	...	54.31	
CHIASMA, INC. COMMON STOCK / CUSIP: 16706W102 / Symbol:							
06/29/20	10.000	60.00	06/26/20	61.90	...	-1.90	Sale ²⁵

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CINCINNATI FINANCIAL CORPORATI ON COMMON STOCK / CUSIP: 172062101 / Symbol:							
08/03/20	3.000	234.35	05/07/20	165.00	...	69.35	Sale ²⁵
	<i>2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	77.36	05/07/20	55.00	...	22.36	1 of 2 - Sale ²⁵
	1.000	77.35	07/30/20	78.95	...	-1.60	2 of 2 - Sale ²⁵
08/04/20	2.000	154.71	Various	133.95	...	20.76	Total of 2 transactions
	Security total:	389.06		298.95	...	90.11	
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
	<i>3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>						
	4.962	209.83	06/24/20	221.49	...	-11.66	1 of 3 - Sale ²⁵
	0.038	1.62	06/24/20	1.71	...	-0.09	2 of 3 - Sale ²⁵
	0.038	1.62	07/23/20	1.80	...	-0.18	3 of 3 - Sale ²⁵
09/02/20	5.038	213.07	Various	225.00	...	-11.93	Total of 3 transactions
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
05/06/20	10.000	90.00	05/05/20	80.00	...	10.00	Sale ²⁵
05/08/20	5.000	45.12	05/05/20	40.00	...	5.12	Sale ²⁵
	<i>4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>						
	15.000	79.35	05/11/20	135.00	...	-55.65	1 of 4 - Sale ²⁵
	40.000	211.60	05/15/20	399.50	...	-187.90	2 of 4 - Sale ²⁵
	10.000	52.90	05/18/20	95.00	...	-42.10	3 of 4 - Sale ²⁵
	5.000	26.45	05/18/20	40.00	...	-13.55	4 of 4 - Sale ²⁵
09/02/20	70.000	370.30	Various	669.50	...	-299.20	Total of 4 transactions
	Security total:	505.42		789.50	...	-284.08	
COCA-COLA CONSOLIDATED, INC. C OMMON STOCK / CUSIP: 191098102 / Symbol:							
10/05/20	1.000	244.00	09/21/20	238.79	...	5.21	Sale ²⁵
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
	<i>6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>						
	0.100	5.02	05/06/20	4.48	...	0.54	1 of 6 - Sale ²⁵
	10.900	549.83	05/06/20	490.74	...	59.09	2 of 6 - Sale ²⁵
	4.000	201.77	06/24/20	178.60	...	23.17	3 of 6 - Sale ²⁵
	5.000	252.21	06/24/20	222.75	...	29.46	4 of 6 - Sale ²⁵

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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COCA-COLA COMPANY / CUSIP: 191216100 / Symbol: (cont'd)							
	0.100	5.02	07/02/20	4.51	...	0.51	5 of 6 - Sale ²⁵
	3.000	151.32	07/09/20	131.85	...	19.47	6 of 6 - Sale ²⁵
09/11/20	23.100	1,165.17	Various	1,032.93	...	132.24	Total of 6 transactions
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol:							
07/17/20	1.000	41.05	07/16/20	41.00	...	0.05	Sale ²⁵
D.R. HORTON INC. / CUSIP: 23331A109 / Symbol:							
11/20/20	5.000	374.45	11/12/20	365.00	...	9.45	Sale ²⁵
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	10.000	175.95	06/16/20	180.00	...	-4.05	1 of 5 - Sale ²⁵
	1.000	17.60	06/16/20	16.80	...	0.80	2 of 5 - Sale ²⁵
	4.000	70.38	06/16/20	67.20	...	3.18	3 of 5 - Sale ²⁵
	5.000	90.05	06/19/20	80.00	...	10.05	4 of 5 - Sale ²⁵
	5.000	90.05	06/22/20	72.75	...	17.30	5 of 5 - Sale ²⁵
09/01/20	25.000	444.03	Various	416.75	...	27.28	Total of 5 transactions
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	10.000	245.10	11/13/20	219.70	...	25.40	1 of 2 - Sale ²⁵
	10.000	245.10	11/13/20	219.50	...	25.60	2 of 2 - Sale ²⁵
11/18/20	20.000	490.20	Various	439.20	...	51.00	Total of 2 transactions
	Security total:	934.23		855.95	...	78.28	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	5.000	142.50	05/01/20	120.14	...	22.36	1 of 2 - Sale ²⁵
	5.000	142.77	05/01/20	120.14	...	22.63	2 of 2 - Sale ²⁵
06/29/20	10.000	285.27	Various	240.28	...	44.99	Total of 2 transactions

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DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol:							
3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	10.000	294.10	08/05/20	124.10	...	170.00	1 of 3 - Sale ²⁵
	1.000	29.41	08/07/20	11.97	...	17.44	2 of 3 - Sale ²⁵
	1.000	29.41	08/11/20	12.29	...	17.12	3 of 3 - Sale ²⁵
09/21/20	12.000	352.92	Various	148.36	...	204.56	Total of 3 transactions
DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	38.81	05/12/20	36.25	...	2.56	1 of 2 - Sale ²⁵
	2.000	86.00	05/12/20	72.50	...	13.50	2 of 2 - Sale ²⁵
06/11/20	3.000	124.81	Various	108.75	...	16.06	Total of 2 transactions
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
06/08/20	6.000	60.00	04/23/20	67.51	...	-7.51	Sale ²⁵
DOMINION ENERGY, INC COMMON STOCK / CUSIP: 25746U109 / Symbol:							
5 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	80.29	07/06/20	77.60	...	2.69	1 of 5 - Sale ²⁵
	1.000	80.29	07/06/20	74.02	...	6.27	2 of 5 - Sale ²⁵
	1.000	80.28	07/06/20	74.01	...	6.27	3 of 5 - Sale ²⁵
	1.000	80.28	07/06/20	73.50	...	6.78	4 of 5 - Sale ²⁵
	1.000	80.29	07/07/20	72.75	...	7.54	5 of 5 - Sale ²⁵
07/31/20	5.000	401.43	Various	371.88	...	29.55	Total of 5 transactions
DUKE ENERGY CORPORATION / CUSIP: 26441C204 / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	86.78	05/11/20	81.45	...	5.33	1 of 3 - Sale ²⁵
	1.000	86.78	05/11/20	81.45	...	5.33	2 of 3 - Sale ²⁵
	3.000	261.18	05/11/20	244.35	...	16.83	3 of 3 - Sale ²⁵
06/11/20	5.000	434.74	Various	407.25	...	27.49	Total of 3 transactions
07/27/20	1.000	81.61	07/06/20	81.00	...	0.61	Sale ²⁵
	Security total:	516.35		488.25	...	28.10	

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EPR PROPERTIES / CUSIP: 26884U109 / Symbol:							
05/07/20	5.000	127.50	05/05/20	135.00	...	-7.50	Sale ²⁵
05/08/20	5.000	137.14	05/05/20	135.00	...	2.14	Sale ²⁵
05/18/20	5.000	138.00	05/05/20	135.00	...	3.00	Sale ²⁵
12/07/20	5.000	159.05	12/04/20	168.96	...	-9.91	Sale ²⁵
Security total:		561.69		573.96	...	-12.27	
U.S. GLOBAL JETS ETF / CUSIP: 26922A842 / Symbol:							
4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	5.000	81.75	07/06/20	85.00	...	-3.25	1 of 4 - Sale ²⁵
	4.000	65.40	07/08/20	65.00	...	0.40	2 of 4 - Sale ²⁵
	2.000	32.70	07/08/20	32.00	...	0.70	3 of 4 - Sale ²⁵
	5.000	81.75	07/09/20	78.50	...	3.25	4 of 4 - Sale ²⁵
08/05/20	16.000	261.60	Various	260.50	...	1.10	Total of 4 transactions
ENTERPRISE PRODUCTS PARTNERS L.P. / CUSIP: 293792107 / Symbol:							
07/23/20	10.000	180.52	06/08/20	215.00	...	-34.48	Sale ²⁵
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
10/23/20	200.000	53,803.76 N	10/23/20	53,593.00	...	210.76	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2,304.92
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
05/21/20	7.000	39.71	04/09/20	39.32	...	0.39	Sale ²⁵
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
09/11/20	100.000	680.97 N	09/11/20	707.02	...	-26.05	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$119.00
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	75.000	527.46	05/06/20	449.77	...	77.69	1 of 2 - Sale ²⁵
	25.000	175.82	05/13/20	142.50	...	33.32	2 of 2 - Sale ²⁵
07/24/20	100.000	703.28	Various	592.27	...	111.01	Total of 2 transactions

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GENESIS ENERGY, L.P. / CUSIP: 371927104 / Symbol:							
05/22/20	20.000	150.00	04/09/20	90.40	...	59.60	Sale ²⁵
	<i>5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.00	04/09/20	4.52	...	4.48	1 of 5 - Sale ²⁵
	5.000	45.00	04/09/20	22.60	...	22.40	2 of 5 - Sale ²⁵
	7.000	63.00	04/09/20	31.64	...	31.36	3 of 5 - Sale ²⁵
	7.000	63.00	04/09/20	31.64	...	31.36	4 of 5 - Sale ²⁵
	10.000	85.30	04/09/20	45.20	...	40.10	5 of 5 - Sale ²⁵
06/11/20	30.000	265.30	Various	135.60	...	129.70	Total of 5 transactions
	Security total:	415.30		226.00	...	189.30	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
	<i>5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	589.98	10/22/20	645.00	...	-55.02	1 of 5 - Sale ²⁵
	5.000	294.99	10/22/20	319.20	...	-24.21	2 of 5 - Sale ²⁵
	1.000	59.00	10/22/20	63.20	...	-4.20	3 of 5 - Sale ²⁵
	1.000	59.00	10/22/20	62.98	...	-3.98	4 of 5 - Sale ²⁵
	1.000	59.00	10/22/20	62.90	...	-3.90	5 of 5 - Sale ²⁵
11/09/20	18.000	1,061.97	Various	1,153.28	...	-91.31	Total of 5 transactions
GLADSTONE INVESTMENT CORPORATION BUSINESS DEVELOPMENT COMPANY / CUSIP: 376546107 / Symbol:							
09/01/20	10.000	93.90	08/13/20	97.50	0.03 W	-3.57	Sale ²⁵
09/08/20	0.074	0.69	09/01/20	0.73	...	-0.04	Sale ²⁵
	Security total:	94.59		98.23	0.03 W	-3.61	
GLAXOSMITHKLINE PLC / CUSIP: 37733W105 / Symbol:							
	<i>2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	185.38	10/23/20	177.44	...	7.94	1 of 2 - Sale ²⁵
	5.000	185.37	10/23/20	177.43	...	7.94	2 of 2 - Sale ²⁵
11/06/20	10.000	370.75	Various	354.87	...	15.88	Total of 2 transactions
GLOBAL X SUPERDIVIDEND ETF / CUSIP: 37950E549 / Symbol:							
07/09/20	10.000	112.55	06/12/20	113.90	...	-1.35	Sale ²⁵
GOPRO, INC. CLASS A COMMON STOCK / CUSIP: 38268T103 / Symbol:							
05/15/20	1.000	3.78	03/13/20	3.31	...	0.47	Sale ²⁵

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GROCERY OUTLET HOLDING CORP. C OMMON STOCK / CUSIP: 39874R101 / Symbol:							
11/20/20	10.000	379.70	11/10/20	420.77	...	-41.07	Sale ²⁵
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
01/13/20	55.000	138.87	07/17/19	188.53	...	-49.66	Sale ²⁵
HP INC. / CUSIP: 40434L105 / Symbol:							
<i>3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	82.50	05/11/20	77.15	...	5.35	1 of 3 - Sale ²⁵
	5.000	85.00	05/11/20	77.15	...	7.85	2 of 3 - Sale ²⁵
	5.000	85.00	05/11/20	77.15	...	7.85	3 of 3 - Sale ²⁵
05/19/20	15.000	252.50	Various	231.45	...	21.05	Total of 3 transactions
06/11/20	10.000	160.10	05/28/20	150.00	...	10.10	Sale ²⁵
08/19/20	0.102	1.87	07/02/20	1.76	...	0.11	Sale ²⁵
	Security total:	414.47		383.21	...	31.26	
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
12/19/20	100.000	26,732.35 N	12/18/20	26,570.00	...	162.35	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$482.95
IAMGOLD CORPORATION / CUSIP: 450913108 / Symbol:							
08/19/20	1.000	4.24	08/06/20	5.31	...	-1.07	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
<i>2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	68.10	03/09/20	171.17	...	-103.07	1 of 2 - Sale ²⁵
	10.000	74.50	03/09/20	171.18	...	-96.68	2 of 2 - Sale ²⁵
03/10/20	20.000	142.60	Various	342.35	...	-199.75	Total of 2 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
<i>3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	28.83	06/09/20	64.00	...	-35.17	1 of 3 - Sale ²⁵
	10.000	28.83	06/10/20	52.50	...	-23.67	2 of 3 - Sale ²⁵
	0.120	0.34	07/29/20	0.40	...	-0.06	3 of 3 - Sale ²⁵
09/02/20	20.120	58.00	Various	116.90	...	-58.90	Total of 3 transactions

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF / CUSIP: 46138E362 / Symbol:							
<i>10 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.200	6.90	07/06/20	6.67	...	0.23	1 of 10 - Sale ²⁵
	1.000	34.51	07/06/20	33.10	...	1.41	2 of 10 - Sale ²⁵
	4.800	165.65	07/06/20	160.33	...	5.32	3 of 10 - Sale ²⁵
	4.000	138.04	07/06/20	132.00	...	6.04	4 of 10 - Sale ²⁵
	5.000	172.55	07/06/20	165.50	...	7.05	5 of 10 - Sale ²⁵
	1.000	34.51	07/07/20	32.68	...	1.83	6 of 10 - Sale ²⁵
	4.000	138.03	07/08/20	130.00	...	8.03	7 of 10 - Sale ²⁵
	5.000	172.55	07/31/20	166.83	...	5.72	8 of 10 - Sale ²⁵
	0.089	3.08	08/03/20	3.00	...	0.08	9 of 10 - Sale ²⁵
	0.111	3.81	09/02/20	3.76	...	0.05	10 of 10 - Sale ²⁵
09/02/20	25.200	869.63	Various	833.87	...	35.76	Total of 10 transactions
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
<i>2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	143.70	05/27/20	143.50	...	0.20	1 of 2 - Sale ²⁵
	2.000	287.40	05/27/20	287.00	...	0.40	2 of 2 - Sale ²⁵
07/09/20	3.000	431.10	Various	430.50	...	0.60	Total of 2 transactions
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
12/07/20	5.000	10.51	12/02/20	9.25	...	1.26	Sale ²⁵
LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
06/10/20	50.000	51.50	06/04/20	100.00	...	-48.50	Sale ²⁵
ELI LILLY & CO. / CUSIP: 532457108 / Symbol:							
08/07/20	1.000	153.45	07/30/20	154.00	0.55 W	0.00	Sale ²⁵
<i>2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	153.05	07/31/20	155.22	...	-2.17	1 of 2 - Sale ²⁵
	1.000	152.93	07/31/20	150.50	...	2.43	2 of 2 - Sale ²⁵
08/10/20	2.000	305.98	Various	305.72	...	0.26	Total of 2 transactions

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ELI LILLY & CO. / CUSIP: 532457108 / Symbol: (cont'd)							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	152.02	07/30/20	154.55	2.53 W	0.00	1 of 2 - Sale ²⁵
08/10/20	1.000	152.81	07/30/20	157.53	4.72 W	0.00	2 of 2 - Sale ²⁵
	2.000	304.83	Various	312.08	7.25 W	0.00	Total of 2 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	140.95	10/27/20	133.50	...	7.45	1 of 2 - Sale ²⁵
11/09/20	3.000	422.85	10/27/20	401.22	...	21.63	2 of 2 - Sale ²⁵
	4.000	563.80	Various	534.72	...	29.08	Total of 2 transactions
	Security total:	1,328.06		1,306.52	7.80 W	29.34	
LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol:							
11/20/20	5.000	746.93	11/18/20	735.75	...	11.18	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	20.000	59.80	06/09/20	66.60	...	-6.80	1 of 3 - Sale ²⁵
	10.000	30.10	06/09/20	33.30	...	-3.20	2 of 3 - Sale ²⁵
08/11/20	10.000	30.10	06/16/20	28.00	...	2.10	3 of 3 - Sale ²⁵
08/24/20	40.000	120.00	Various	127.90	...	-7.90	Total of 3 transactions
	10.000	28.15	06/16/20	28.00	...	0.15	Sale ²⁵
	Security total:	148.15		155.90	...	-7.75	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
08/06/20	2.000	36.50	05/28/20	36.00	...	0.50	Sale ²⁵
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	3.000	63.39	05/28/20	54.00	...	9.39	1 of 2 - Sale ²⁵
08/10/20	5.000	107.50	05/28/20	90.00	...	17.50	2 of 2 - Sale ²⁵
	8.000	170.89	Various	144.00	...	26.89	Total of 2 transactions
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	5.000	114.50	05/29/20	85.00	...	29.50	1 of 2 - Sale ²⁵
09/03/20	5.000	114.50	06/09/20	110.00	...	4.50	2 of 2 - Sale ²⁵
	10.000	229.00	Various	195.00	...	34.00	Total of 2 transactions
	Security total:	436.39		375.00	...	61.39	

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Proceeds from Broker and Barter Exchange Transactions

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MATTEL, INC. COMMON STOCK / CUSIP: 577081102 / Symbol:							
09/09/20	1.000	11.03	07/29/20	11.30	...	-0.27	Sale ²⁵
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
<i>3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	16.25	05/29/20	25.00	...	-8.75	1 of 3 - Sale ²⁵
	30.000	48.75	06/03/20	63.00	...	-14.25	2 of 3 - Sale ²⁵
	25.000	40.62	06/03/20	53.63	...	-13.01	3 of 3 - Sale ²⁵
08/20/20	65.000	105.62	Various	141.63	...	-36.01	Total of 3 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
09/17/20	1.000	202.20	03/26/20	153.35	...	48.85	Sale ²⁵
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
06/29/20	20.000	71.05	06/03/20	75.00	...	-3.95	Sale ²⁵
06/30/20	30.000	106.80	06/03/20	112.50	...	-5.70	Sale ²⁵
	Security total:	177.85		187.50	...	-9.65	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
12/04/20	100.000	14,674.65 N	12/04/20	14,450.00	...	224.65	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,174.96
12/19/20	100.000	14,349.65 N	12/18/20	14,300.00	...	49.65	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2,849.92
12/24/20	100.000	12,749.69 N	12/24/20	12,700.00	...	49.69	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,249.96
	Security total:	41,773.99		41,450.00	...	323.99	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
12/09/20	3.000	132.17	12/04/20	129.12	...	3.05	Sale ²⁵
<i>4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	92.58	12/14/20	80.80	...	11.78	1 of 4 - Sale ²⁵
	3.000	138.87	12/14/20	121.85	...	17.02	2 of 4 - Sale ²⁵
	1.000	46.29	12/17/20	44.01	...	2.28	3 of 4 - Sale ²⁵

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	100.000	4,701.86 N	12/24/20	4,640.00	...	61.86	4 of 4 - Sold via call assignment ²⁵
12/24/20	106.000	4,979.60	Various	4,886.66	...	92.94	Proceeds or Cost adjusted for option premium of \$601.97
	Security total:	5,111.77		5,015.78	...	95.99	Total of 4 transactions
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	20.000	47.20	06/09/20	65.00	...	-17.80	1 of 2 - Sale ²⁵
	5.000	12.63	06/09/20	16.25	...	-3.62	2 of 2 - Sale ²⁵
06/11/20	25.000	59.83	Various	81.25	...	-21.42	Total of 2 transactions
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
12/31/20	100.000	53,478.79 N	12/31/20	53,385.00	...	93.79	Sold via call assignment ²⁵
Proceeds or Cost adjusted for option premium of \$2,229.94							
OXFORD SQUARE CAPITAL CORP. COMMON STOCK / CUSIP: 69181V107 / Symbol:							
06/22/20	15.000	42.00	06/04/20	51.75	0.23 W	-9.52	Sale ²⁵
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	0.358	1.01	07/01/20	1.24	...	-0.23	1 of 2 - Sale ²⁵
	0.004	0.01	08/03/20	0.01	...	0.00	2 of 2 - Sale ²⁵
08/04/20	0.362	1.02	Various	1.25	...	-0.23	Total of 2 transactions
	Security total:	43.02		53.00	0.23 W	-9.75	
PPL CORPORATION / CUSIP: 69351T106 / Symbol:							
09/04/20	1.000	28.20	05/08/20	24.80	...	3.40	Sale ²⁵
4 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.110	3.01	05/08/20	2.72	...	0.29	1 of 4 - Sale ²⁵
	5.890	161.46	05/08/20	146.08	...	15.38	2 of 4 - Sale ²⁵
	0.110	3.01	07/02/20	2.91	...	0.10	3 of 4 - Sale ²⁵
	2.000	54.82	07/08/20	51.00	...	3.82	4 of 4 - Sale ²⁵
09/10/20	8.110	222.30	Various	202.71	...	19.59	Total of 4 transactions
	Security total:	250.50		227.51	...	22.99	

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
<i>10 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	19.18	09/30/20	21.00	...	-1.82	1 of 10 - Sale ²⁵
	1.000	9.59	09/30/20	10.63	...	-1.04	2 of 10 - Sale ²⁵
	3.000	28.77	09/30/20	29.40	...	-0.63	3 of 10 - Sale ²⁵
	1.000	9.59	09/30/20	10.17	...	-0.58	4 of 10 - Sale ²⁵
	1.000	9.59	09/30/20	10.08	...	-0.49	5 of 10 - Sale ²⁵
	1.000	9.59	09/30/20	9.69	...	-0.10	6 of 10 - Sale ²⁵
	10.000	95.90	09/30/20	95.90	...	0.00	7 of 10 - Sale ²⁵
	1.000	9.59	09/30/20	9.22	...	0.37	8 of 10 - Sale ²⁵
	5.000	47.95	09/30/20	47.30	...	0.65	9 of 10 - Sale ²⁵
	5.000	47.95	10/01/20	49.00	...	-1.05	10 of 10 - Sale ²⁵
10/06/20	30.000	287.70	Various	292.39	...	-4.69	Total of 10 transactions
<i>3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	75.12	12/02/20	67.50	...	7.62	1 of 3 - Sale ²⁵
	5.000	125.20	12/02/20	113.39	...	11.81	2 of 3 - Sale ²⁵
	5.000	125.20	12/02/20	110.24	...	14.96	3 of 3 - Sale ²⁵
12/30/20	13.000	325.52	Various	291.13	...	34.39	Total of 3 transactions
<i>8 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	50.08	12/09/20	54.42	4.34 W	0.00	1 of 8 - Sale ²⁵
	2.000	50.08	12/09/20	52.00	1.92 W	0.00	2 of 8 - Sale ²⁵
	3.000	75.11	12/10/20	80.66	5.55 W	0.00	3 of 8 - Sale ²⁵
	2.000	50.08	12/11/20	52.86	2.78 W	0.00	4 of 8 - Sale ²⁵
	2.000	50.08	12/14/20	55.00	4.92 W	0.00	5 of 8 - Sale ²⁵
	1.000	25.04	12/14/20	27.58	2.54 W	0.00	6 of 8 - Sale ²⁵
	1.000	25.03	12/16/20	25.45	0.42 W	0.00	7 of 8 - Sale ²⁵
	1.000	25.04	12/18/20	25.93	0.89 W	0.00	8 of 8 - Sale ²⁵
12/30/20	14.000	350.54	Various	373.90	23.36 W	0.00	Total of 8 transactions
	Security total:	963.76		957.42	23.36 W	29.70	

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PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol:							
<i>3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	0.008	1.04	05/27/20	0.99	...	0.05	1 of 3 - Sale ²⁵
	0.992	134.28	05/27/20	128.01	...	6.27	2 of 3 - Sale ²⁵
	0.008	1.04	07/01/20	1.02	...	0.02	3 of 3 - Sale ²⁵
09/11/20	1.008	136.36	Various	130.02	...	6.34	Total of 3 transactions
PERMIANVILLE ROYALTY TRUST / CUSIP: 71425H100 / Symbol: / Note: PV							
06/23/20	100.000	135.99	06/15/20	135.00	...	0.99	Sale ²⁵
PFIZER INC. / CUSIP: 717081103 / Symbol:							
<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	187.91	06/11/20	172.50	...	15.41	1 of 2 - Sale ²⁵
	5.000	187.91	06/11/20	170.05	...	17.86	2 of 2 - Sale ²⁵
09/01/20	10.000	375.82	Various	342.55	...	33.27	Total of 2 transactions
<i>3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	181.99	06/11/20	170.05	...	11.94	1 of 3 - Sale ²⁵
	5.000	182.00	06/15/20	165.00	...	17.00	2 of 3 - Sale ²⁵
	5.000	181.99	06/17/20	167.25	...	14.74	3 of 3 - Sale ²⁵
09/03/20	15.000	545.98	Various	502.30	...	43.68	Total of 3 transactions
<i>2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	35.83	06/18/20	33.20	...	2.63	1 of 2 - Sale ²⁵
	4.000	143.33	06/18/20	132.00	...	11.33	2 of 2 - Sale ²⁵
09/10/20	5.000	179.16	Various	165.20	...	13.96	Total of 2 transactions
<i>6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	35.72	06/18/20	33.00	...	2.72	1 of 6 - Sale ²⁵
	4.000	142.88	06/19/20	133.80	...	9.08	2 of 6 - Sale ²⁵
	5.000	178.61	06/22/20	165.40	...	13.21	3 of 6 - Sale ²⁵
	5.000	178.61	06/22/20	165.00	...	13.61	4 of 6 - Sale ²⁵
	5.000	178.60	06/23/20	164.70	...	13.90	5 of 6 - Sale ²⁵
	5.000	178.61	06/24/20	160.75	...	17.86	6 of 6 - Sale ²⁵
09/11/20	25.000	893.03	Various	822.65	...	70.38	Total of 6 transactions

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	41.74	11/20/20	36.78	...	4.96	1 of 2 - Sale ²⁵
	4.000	166.96	11/20/20	147.12	...	19.84	2 of 2 - Sale ²⁵
12/11/20	5.000	208.70	Various	183.90	...	24.80	Total of 2 transactions
12/11/20	10.000	417.38	12/10/20	421.80	4.42 W	0.00	Sale ²⁵
12/12/20	90.000	3,786.18 N	12/12/20	3,780.00	...	6.18	Sold via call assignment ²⁵
							Proceeds or Cost adjusted for option premium of \$816.26
12/12/20	10.000	420.69 N	12/12/20	424.42	3.73 W	0.00	Sold via call assignment ²⁵
							Proceeds or Cost adjusted for option premium of \$90.70
2 transactions for 12/19/20. Total proceeds and cost reported to the IRS.							
	10.000	341.99	12/18/20	336.23	...	5.76	1 of 2 - Sale ²⁵
	90.000	3,077.92	12/18/20	2,992.50	...	85.42	2 of 2 - Sale ²⁵
12/19/20	100.000	3,419.91	Various	3,328.73	...	91.18	Total of 2 transactions
	Security total:	10,246.85		9,971.55	8.15 W	283.45	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	30.73	08/07/20	25.40	...	5.33	1 of 5 - Sale ²⁵
	1.000	30.73	08/07/20	24.00	...	6.73	2 of 5 - Sale ²⁵
	1.000	30.73	08/10/20	22.71	...	8.02	3 of 5 - Sale ²⁵
	1.000	30.72	08/11/20	21.21	...	9.51	4 of 5 - Sale ²⁵
	1.000	30.73	08/11/20	20.78	...	9.95	5 of 5 - Sale ²⁵
09/01/20	5.000	153.64	Various	114.10	...	39.54	Total of 5 transactions
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	27.42	08/11/20	21.36	...	6.06	1 of 5 - Sale ²⁵
	1.000	27.41	08/12/20	20.29	...	7.12	2 of 5 - Sale ²⁵
	1.000	27.42	08/12/20	19.55	...	7.87	3 of 5 - Sale ²⁵
	1.000	27.41	08/12/20	19.50	...	7.91	4 of 5 - Sale ²⁵
	1.000	27.41	08/13/20	18.65	...	8.76	5 of 5 - Sale ²⁵
09/03/20	5.000	137.07	Various	99.35	...	37.72	Total of 5 transactions
	Security total:	290.71		213.45	...	77.26	

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(continued)

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ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
08/26/20	2.000	60.22	06/09/20	74.80	...	-14.58	Sale ²⁵
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
12/09/20	2.000	447.85	12/02/20	435.86	...	11.99	Sale ²⁵
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	223.93	12/01/20	234.25	10.32 W	0.00	1 of 2 - Sale ²⁵
	1.000	223.92	12/02/20	223.94	0.02 W	0.00	2 of 2 - Sale ²⁵
12/09/20	2.000	447.85	Various	458.19	10.34 W	0.00	Total of 2 transactions
	Security total:	895.70		894.05	10.34 W	11.99	
SAP SE / CUSIP: 803054204 / Symbol:							
<i>5 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	115.57	10/26/20	115.00	...	0.57	1 of 5 - Sale ²⁵
	1.000	115.57	10/26/20	115.00	...	0.57	2 of 5 - Sale ²⁵
	1.000	115.56	10/26/20	113.74	...	1.82	3 of 5 - Sale ²⁵
	2.000	231.13	10/27/20	230.06	...	1.07	4 of 5 - Sale ²⁵
	1.000	115.57	10/28/20	108.59	...	6.98	5 of 5 - Sale ²⁵
11/06/20	6.000	693.40	Various	682.39	...	11.01	Total of 5 transactions
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
06/11/20	2.000	145.10	05/05/20	130.00	...	15.10	Sale ²⁵
<i>3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	202.08	05/05/20	192.00	...	10.08	1 of 3 - Sale ²⁵
	1.000	67.36	07/06/20	67.23	...	0.13	2 of 3 - Sale ²⁵
	1.000	67.36	07/07/20	66.36	...	1.00	3 of 3 - Sale ²⁵
09/01/20	5.000	336.80	Various	325.59	...	11.21	Total of 3 transactions
<i>8 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.172	11.57	07/08/20	11.27	...	0.30	1 of 8 - Sale ²⁵
	0.828	55.67	07/08/20	54.23	...	1.44	2 of 8 - Sale ²⁵
	1.000	67.23	07/08/20	65.00	...	2.23	3 of 8 - Sale ²⁵
	1.000	67.23	07/08/20	65.00	...	2.23	4 of 8 - Sale ²⁵
	1.000	67.23	07/09/20	61.50	...	5.73	5 of 8 - Sale ²⁵
	1.000	67.23	07/09/20	61.00	...	6.23	6 of 8 - Sale ²⁵
	1.000	67.24	07/09/20	60.50	...	6.74	7 of 8 - Sale ²⁵

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Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol: (cont'd)							
	0.172	11.56	07/27/20	10.40	...	1.16	8 of 8 - Sale ²⁵
09/02/20	6.172	414.96	Various	388.90	...	26.06	Total of 8 transactions
	Security total:	896.86		844.49	...	52.37	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
06/26/20	2.000	63.86	06/04/20	64.00	...	-0.14	Sale ²⁵
12/02/20	2.000	85.42	12/01/20	87.80	...	-2.38	Sale ²⁵
	Security total:	149.28		151.80	...	-2.52	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
	2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	1.000	225.54	09/16/20	276.22	50.68 W	0.00	1 of 2 - Sale ²⁵
	1.000	229.01	09/16/20	300.68	71.67 W	0.00	2 of 2 - Sale ²⁵
09/17/20	2.000	454.55	Various	576.90	122.35 W	0.00	Total of 2 transactions
09/29/20	1.000	251.17	09/24/20	294.54	...	-43.37	Sale ²⁵
	Security total:	705.72		871.44	122.35 W	-43.37	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	15.000	78.83	05/18/20	103.50	...	-24.67	1 of 2 - Sale ²⁵
	10.000	52.55	05/20/20	49.00	...	3.55	2 of 2 - Sale ²⁵
06/24/20	25.000	131.38	Various	152.50	...	-21.12	Total of 2 transactions
THE SOUTHERN COMPANY / CUSIP: 842587107 / Symbol:							
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	106.88	06/30/20	102.84	...	4.04	1 of 2 - Sale ²⁵
	2.000	106.88	06/30/20	102.60	...	4.28	2 of 2 - Sale ²⁵
08/19/20	4.000	213.76	Various	205.44	...	8.32	Total of 2 transactions
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
07/23/20	2.000	27.89	07/10/20	33.40	5.51 W	0.00	Sale ²⁵
	4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	13.50	07/13/20	25.21	...	-11.71	1 of 4 - Sale ²⁵
	1.000	13.50	07/13/20	19.45	...	-5.95	2 of 4 - Sale ²⁵

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	1.000	13.50	07/13/20	19.45	...	-5.95	3 of 4 - Sale ²⁵
	1.000	13.50	07/14/20	22.40	...	-8.90	4 of 4 - Sale ²⁵
07/28/20	4.000	54.00	Various	86.51	...	-32.51	Total of 4 transactions
	2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	13.50	07/10/20	19.26	5.76 W	0.00	1 of 2 - Sale ²⁵
	1.000	13.50	07/13/20	22.20	8.70 W	0.00	2 of 2 - Sale ²⁵
07/28/20	2.000	27.00	Various	41.46	14.46 W	0.00	Total of 2 transactions
	Security total:	108.89		161.37	19.97 W	-32.51	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
	2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	176.50 N	12/09/20	154.25	...	22.25	1 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$11.50
	99.000	17,473.08 N	12/31/20	17,418.09	...	54.99	2 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,138.46
12/31/20	100.000	17,649.58	Various	17,572.34	...	77.24	Total of 2 transactions
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
08/28/20	100.000	15,589.63 N	08/28/20	15,505.00	...	84.63	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$339.98
SUMO LOGIC, INC. COMMON STOCK / CUSIP: 86646P103 / Symbol:							
	7 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	3.000	73.47	09/24/20	71.39	...	2.08	1 of 7 - Sale ²⁵
	1.000	24.48	09/25/20	23.49	...	0.99	2 of 7 - Sale ²⁵
	2.000	48.96	09/25/20	44.79	...	4.17	3 of 7 - Sale ²⁵
	2.000	48.98	09/25/20	44.76	...	4.22	4 of 7 - Sale ²⁵
	4.000	97.96	09/25/20	89.68	...	8.28	5 of 7 - Sale ²⁵
	5.000	122.40	09/25/20	110.25	...	12.15	6 of 7 - Sale ²⁵
	7.000	171.43	09/25/20	156.78	...	14.65	7 of 7 - Sale ²⁵
10/06/20	24.000	587.68	Various	541.14	...	46.54	Total of 7 transactions

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SUMO LOGIC, INC. COMMON STOCK / CUSIP: 86646P103 / Symbol: (cont'd)							
10/06/20	1.000	24.49	09/18/20	25.58	1.09 W	0.00	Sale ²⁵
	Security total:	612.17		566.72	1.09 W	46.54	
SYSCO CORPORATION / CUSIP: 871829107 / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	0.992	53.45	06/09/20	62.38	...	-8.93	1 of 2 - Sale ²⁵
	0.008	0.44	07/27/20	0.53	...	-0.09	2 of 2 - Sale ²⁵
07/27/20	1.000	53.89	Various	62.91	...	-9.02	Total of 2 transactions
07/27/20	0.008	0.44	06/09/20	0.52	0.08 W	0.00	Sale ²⁵
	Security total:	54.33		63.43	0.08 W	-9.02	
TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	67.27	08/10/20	64.44	...	2.83	1 of 2 - Sale ²⁵
	1.000	67.27	08/13/20	65.56	...	1.71	2 of 2 - Sale ²⁵
09/03/20	2.000	134.54	Various	130.00	...	4.54	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	431.24	09/03/20	417.19	...	14.05	1 of 3 - Sale ²⁵
	1.000	431.24	09/03/20	401.42	...	29.82	2 of 3 - Sale ²⁵
	1.000	431.24	09/03/20	392.24	...	39.00	3 of 3 - Sale ²⁵
09/21/20	3.000	1,293.72	Various	1,210.85	...	82.87	Total of 3 transactions
09/21/20	1.000	434.10	09/02/20	450.60	16.50 W	0.00	Sale ²⁵
09/25/20	1.000	395.98	09/23/20	397.62	1.64 W	0.00	Sale ²⁵
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	425.81	09/23/20	381.64	...	44.17	1 of 2 - Sale ²⁵
	1.000	425.90	09/29/20	423.84	...	2.06	2 of 2 - Sale ²⁵
10/02/20	2.000	851.71	Various	805.48	...	46.23	Total of 2 transactions
10/14/20	1.000	455.40	10/07/20	415.59	...	39.81	Sale ²⁵
11/17/20	1.000	451.06	11/16/20	459.27	8.21 W	0.00	Sale ²⁵
11/27/20	99.000	41,900.79 N	11/27/20	41,778.00	...	122.79	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,559.20

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
11/27/20	1.000	423.24 N	11/27/20	430.21	6.97 W	0.00	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$15.75
12/04/20	99.000	57,468.19 N	12/04/20	57,173.49	...	294.70	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2,276.93
	3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	436.84 N	12/04/20	585.37	148.53 W	0.00	1 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$24.35
	1.000	580.49 N	12/04/20	584.48	3.99 W	0.00	2 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$23.00
	99.000	43,247.16 N	12/04/20	57,261.60	14,014.44 W	0.00	3 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2,410.58
12/04/20	101.000	44,264.49	Various	58,431.45	14,166.96 W	0.00	Total of 3 transactions
	4 transactions for 12/12/20. Total proceeds and cost reported to the IRS.						
	1.000	448.26 N	12/12/20	578.99	130.73 W	0.00	1 of 4 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$46.73
	99.000	44,377.40 N	12/12/20	56,925.00	12,547.60 W	0.00	2 of 4 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$4,626.50
	1.000	578.49 N	12/12/20	678.31	99.82 W	0.00	3 of 4 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$31.00
	99.000	57,270.21 N	12/12/20	66,758.02	9,487.81 W	0.00	4 of 4 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$3,068.92
12/12/20	200.000	102,674.36	Various	124,940.32	22,265.96 W	0.00	Total of 4 transactions

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 12/19/20. Total proceeds and cost reported to the IRS.							
	99.000	51,280.83 N	12/18/20	60,849.01	...	-9,568.18	1 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$3,266.91
	1.000	517.99 N	12/18/20	618.62	...	-100.63	2 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$33.00
12/19/20	100.000	51,798.82	Various	61,467.63	...	-9,668.81	Total of 2 transactions
	Security total:	302,411.86		347,960.51	36,466.24 W	-9,082.41	
22ND CENTURY GROUP INC. / CUSIP: 90137F103 / Symbol:							
05/11/20	10.000	8.07	04/09/20	7.10	...	0.97	Sale ²⁵
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
3 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	29.95	04/09/20	28.14	...	1.81	1 of 3 - Sale ²⁵
	5.000	149.50	04/09/20	140.70	...	8.80	2 of 3 - Sale ²⁵
	9.000	269.55	04/09/20	253.26	...	16.29	3 of 3 - Sale ²⁵
05/08/20	15.000	449.00	Various	422.10	...	26.90	Total of 3 transactions
05/18/20	5.000	150.00	04/09/20	140.70	...	9.30	Sale ²⁵
05/19/20	5.000	150.00	04/09/20	140.70	...	9.30	Sale ²⁵
	Security total:	749.00		703.50	...	45.50	
U.S. BANCORP / CUSIP: 902973304 / Symbol:							
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	3.000	111.72	05/29/20	106.50	...	5.22	1 of 4 - Sale ²⁵
	1.000	37.24	06/10/20	39.50	...	-2.26	2 of 4 - Sale ²⁵
	4.000	148.96	06/11/20	150.00	...	-1.04	3 of 4 - Sale ²⁵
	10.000	372.40	06/11/20	365.00	...	7.40	4 of 4 - Sale ²⁵
09/02/20	18.000	670.32	Various	661.00	...	9.32	Total of 4 transactions

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VECTIQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	27.00	05/11/20	19.00	...	8.00	1 of 3 - Sale ²⁵
	1.000	27.00	05/13/20	29.75	...	-2.75	2 of 3 - Sale ²⁵
	1.000	27.00	05/13/20	29.00	...	-2.00	3 of 3 - Sale ²⁵
05/14/20	3.000	81.00	Various	77.75	...	3.25	Total of 3 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	2.000	52.86	12/14/20	56.60	...	-3.74	1 of 6 - Sale ²⁵
	1.000	26.43	12/14/20	27.11	...	-0.68	2 of 6 - Sale ²⁵
	1.000	26.43	12/15/20	25.59	...	0.84	3 of 6 - Sale ²⁵
	1.000	26.43	12/15/20	24.61	...	1.82	4 of 6 - Sale ²⁵
	1.000	26.43	12/17/20	25.10	...	1.33	5 of 6 - Sale ²⁵
	1.000	26.43	12/18/20	24.80	...	1.63	6 of 6 - Sale ²⁵
12/24/20	7.000	185.01	Various	183.81	...	1.20	Total of 6 transactions
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
06/23/20	20.000	14.20	06/04/20	23.40	...	-9.20	Sale ²⁵
VISTA OUTDOOR INC. / CUSIP: 928377100 / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	26.000	209.30	05/11/20	180.03	...	29.27	1 of 3 - Sale ²⁵
	1.000	8.05	05/12/20	7.20	...	0.85	2 of 3 - Sale ²⁵
	3.000	24.15	05/12/20	21.60	...	2.55	3 of 3 - Sale ²⁵
05/22/20	30.000	241.50	Various	208.83	...	32.67	Total of 3 transactions
05/27/20	20.000	180.00	05/12/20	144.00	...	36.00	Sale ²⁵
	Security total:	421.50		352.83	...	68.67	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
7 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	3.000	73.32	06/09/20	97.32	...	-24.00	1 of 7 - Sale ²⁵
	5.000	122.20	06/15/20	141.25	...	-19.05	2 of 7 - Sale ²⁵
	5.000	122.20	06/15/20	140.00	...	-17.80	3 of 7 - Sale ²⁵
	2.000	48.88	06/17/20	55.80	...	-6.92	4 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol: (cont'd)							
	4.000	97.76	06/24/20	105.00	...	-7.24	5 of 7 - Sale ²⁵
	2.000	48.88	06/24/20	52.80	...	-3.92	6 of 7 - Sale ²⁵
	4.000	97.76	07/07/20	99.00	...	-1.24	7 of 7 - Sale ²⁵
09/02/20	25.000	611.00	Various	691.17	...	-80.17	Total of 7 transactions
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	100.000	21,646.50 N	08/28/20	21,768.00	...	-121.50	1 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,896.95
	100.000	21,646.49 N	08/28/20	21,390.00	...	256.49	2 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,896.94
08/28/20	200.000	43,292.99	Various	43,158.00	...	134.99	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
09/23/20	4.000	99.09	09/15/20	101.20	2.11 W	0.00	Sale ²⁵
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	4.000	101.88	10/08/20	97.62	...	4.26	1 of 3 - Sale ²⁵
	5.000	127.35	10/08/20	118.75	...	8.60	2 of 3 - Sale ²⁵
	6.000	152.83	10/08/20	143.27	...	9.56	3 of 3 - Sale ²⁵
10/09/20	15.000	382.06	Various	359.64	...	22.42	Total of 3 transactions
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	10.000	255.00	10/08/20	237.50	...	17.50	1 of 2 - Sale ²⁵
	10.000	256.00	10/08/20	237.50	...	18.50	2 of 2 - Sale ²⁵
10/13/20	20.000	511.00	Various	475.00	...	36.00	Total of 2 transactions
	Security total:	992.15		935.84	2.11 W	58.42	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
09/04/20	100.000	33,432.23 N	09/04/20	33,224.00	...	208.23	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2,432.93
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II / CUSIP: G8250T109 / Symbol:							
12/02/20	20.000	380.90	11/24/20	379.74	...	1.16	Sale ²⁵

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Robinhood Securities LLC

Account 834494106

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol:							
12/21/20	4.000	49.48	12/18/20	48.32	...	1.16	Sale ²⁵
JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	74.11	09/16/20	69.81	...	4.30	1 of 2 - Sale ²⁵
	1.000	74.11	09/16/20	65.00	...	9.11	2 of 2 - Sale ²⁵
10/02/20	2.000	148.22	Various	134.81	...	13.41	Total of 2 transactions
Totals :		1,856,212.66		1,943,580.47	86,042.89 W	-1,324.92	

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Robinhood Securities LLC

Account 834494106

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		08/03/20	31.20	Qualified dividend	
APOLLO INVESTMENT CORPORATION COMMON STOCK	03761U502		07/08/20	6.30	Nonqualified dividend	03
APPLE INC. COMMON STOCK	037833100		11/12/20	1.03	Qualified dividend	
BANK OF MONTREAL	063671101		08/26/20	12.09	Nonqualified dividend	
			08/26/20	-1.81	Foreign tax withheld-CA	
				12.09	Total Dividends & distributions	
				-1.81	Total Foreign tax withheld	
CINCINNATI FINANCIAL CORPORATI ON COMMON STOCK	172062101		07/15/20	2.40	Qualified dividend	
CISCO SYSTEMS, INC. COMMON STO CK (DE)	17275R102		07/22/20	1.80	Qualified dividend	
COCA-COLA COMPANY	191216100		07/01/20	4.51	Qualified dividend	
CONOCOPHILLIPS	20825C104		09/01/20	0.42	Qualified dividend	
DUKE ENERGY CORPORATION	26441C204		06/16/20	4.73	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	1.00	Qualified dividend	
GLADSTONE INVESTMENT CORPORATI ON BUSINESS DEVELOPMENT COMPAN Y	376546107		08/31/20	0.66	Nonqualified dividend	03
			08/31/20	0.04	Long-term capital gain	03
				0.70	Total Dividends & distributions	
GLOBAL X SUPERDIVIDEND ETF	37950E549		07/14/20	0.32	Qualified dividend	03
			07/14/20	0.19	Section 199A dividend	03
			07/14/20	0.17	Nonqualified dividend	03
			07/14/20	0.12	Nondividend distribution	03
				0.80	Total Dividends & distributions	

Robinhood Securities LLC

Account 834494106

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HP INC.	40434L105		07/01/20	1.76	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20	0.40	Nondividend distribution	03
INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF	46138E362		07/31/20	2.43	Qualified dividend	03
			07/31/20	0.43	Section 199A dividend	03
			07/31/20	0.14	Nonqualified dividend	03
			08/31/20	3.05	Qualified dividend	03
			08/31/20	0.54	Section 199A dividend	03
			08/31/20	0.17	Nonqualified dividend	03
				6.76	Total Dividends & distributions	
MGM RESORTS INTERNATIONAL	552953101		06/15/20	0.04	Qualified dividend	
MICROSOFT CORPORATION COMMON STOCK	594918104		06/11/20	0.51	Qualified dividend	
			09/10/20	0.51	Qualified dividend	
				1.02	Total Dividends & distributions	
OXFORD SQUARE CAPITAL CORP. COMMON STOCK	69181V107		06/30/20	0.84	Nonqualified dividend	03
			06/30/20	0.17	Nondividend distribution	03
			07/31/20	0.01	Nonqualified dividend	03
				1.02	Total Dividends & distributions	
PPL CORPORATION	69351T106		07/01/20	2.91	Qualified dividend	
			10/01/20	3.37	Qualified dividend	
				6.28	Total Dividends & distributions	
PEPSICO, INC. COMMON STOCK	713448108		06/30/20	1.02	Qualified dividend	
			09/30/20	1.03	Qualified dividend	
				2.05	Total Dividends & distributions	
PFIZER INC.	717081103		09/01/20	20.90	Qualified dividend	
ROYAL DUTCH SHELL PLC ADS CLASS A	780259206		09/21/20	0.64	Qualified dividend	
			09/21/20	-0.10	Foreign tax withheld-NL	
				0.64	Total Dividends & distributions	
				-0.10	Total Foreign tax withheld	

Robinhood Securities LLC

Account 834494106

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SIMON PROPERTY GROUP, INC.	828806109		07/24/20	9.33	Section 199A dividend	03
			07/24/20	0.79	Qualified dividend	03
			07/24/20	0.25	Long-term capital gain	03
			07/24/20	0.03	Unrecaptured section 1250 gain	03
			10.40	Total Dividends & distributions		
THE SOUTHERN COMPANY	842587107		09/08/20	2.56	Qualified dividend	
SYSCO CORPORATION	871829107		07/24/20	0.45	Qualified dividend	
U.S. BANCORP	902973304		07/15/20	7.56	Qualified dividend	
WELLS FARGO & CO.	949746101		09/01/20	2.50	Qualified dividend	
				131.32	Total Dividends & distributions	
				-1.91	Total Foreign tax withheld	

Robinhood Securities LLC

Account 834494106

Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
GENESIS ENERGY, L.P.	371927104	05/15/20	7.50	Partnership distribution	
			7.50	Total Partnership distribution	

Robinhood Securities LLC

Account 834494106

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/04/20	-5.00	Margin interest paid	
		06/03/20	-5.00	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		08/03/20	-5.00	Margin interest paid	
		09/01/20	-5.00	Margin interest paid	
		10/01/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
			-40.00	Total Margin interest paid	

Robinhood Securities LLC

Account 834494106

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	BANK OF MONTREAL	063671101	12.09	0.00	0.00	12.09	-1.81	
Total CANADA			12.09	0.00	0.00	12.09	-1.81	
NL	ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206	0.00	0.64	0.00	0.64	-0.10	
Total NETHERLANDS			0.00	0.64	0.00	0.64	-0.10	
Grand Total	Total Foreign Source Income*			12.73				
	Qualified Foreign Source Income**			0.64				
	Foreign Tax				-1.91			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 834494106

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AAPL 09/04/2020 CALL \$480.00	AAPL 09/04/20 C 480.000	08/31/20	-1.00	0.00	Merger	
AAPL 09/04/2020 CALL \$490.00	AAPL 09/04/20 C 490.000	08/31/20	1.00	0.00	Merger	
AAPL 09/04/2020 CALL \$120.00	AAPL 09/04/20 C 120.000	08/31/20	4.00	0.00	Merger	
AAPL 09/04/2020 CALL \$122.50	AAPL 09/04/20 C 122.500	08/31/20	-4.00	0.00	Merger	
PFE 12/18/2020 CALL \$35.00	PFE 12/18/20 C 35.000	11/17/20	-1.00	0.00	Merger	
PFE 12/18/2020 CALL \$36.00	PFE 12/18/20 C 36.000	11/17/20	1.00	0.00	Merger	
PFE 12/18/2020 CALL \$38.00	PFE 12/18/20 C 38.000	11/17/20	-1.00	0.00	Merger	
PFE 12/18/2020 CALL \$39.00	PFE 12/18/20 C 39.000	11/17/20	1.00	0.00	Merger	
PFE1 12/18/2020 CALL \$35.00	PFE1 12/18/20 C 35.000	11/17/20	1.00	0.00	Merger	
		12/02/20	1.00	0.00	Merger	
		12/02/20	-1.00	0.00	Merger	
PFE1 12/18/2020 CALL \$36.00	PFE1 12/18/20 C 36.000	11/17/20	-1.00	0.00	Merger	
		12/02/20	1.00	0.00	Merger	
		12/02/20	-1.00	0.00	Merger	
PFE1 12/18/2020 CALL \$38.00	PFE1 12/18/20 C 38.000	11/17/20	1.00	0.00	Merger	
PFE1 12/18/2020 CALL \$39.00	PFE1 12/18/20 C 39.000	11/17/20	-1.00	0.00	Merger	
TSLA 09/04/2020 CALL \$480.00	TSLA 09/04/20 C 480.000	08/31/20	5.00	0.00	Merger	
TSLA 09/04/2020 CALL \$485.00	TSLA 09/04/20 C 485.000	08/31/20	-5.00	0.00	Merger	
TSLA 09/04/2020 CALL \$440.00	TSLA 09/04/20 C 440.000	08/31/20	5.00	0.00	Merger	
TSLA 09/04/2020 CALL \$442.00	TSLA 09/04/20 C 442.000	08/31/20	-5.00	0.00	Merger	
TSLA 09/04/2020 CALL \$2,200.00	TSLA 09/04/20 C 2200.000	08/31/20	-1.00	0.00	Merger	
TSLA 09/04/2020 CALL \$2,210.00	TSLA 09/04/20 C 2210.000	08/31/20	1.00	0.00	Merger	
TSLA 09/04/2020 CALL \$2,400.00	TSLA 09/04/20 C 2400.000	08/31/20	-1.00	0.00	Merger	
TSLA 09/04/2020 CALL \$2,425.00	TSLA 09/04/20 C 2425.000	08/31/20	1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 25 Tax lot closed on a first in first out basis.
- PV Permianville Royalty Trust is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s). You may be entitled to a depletion allowance which can be determined by referencing the additional information published by the trustee at www.permianvilleroyalty.com. If you can not access the information electronically and do not receive it from the trustee, you may request a hardcopy.
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Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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