

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 146515176</b>	Statement Date: 02/14/2021 Document ID: 0155 JGT MM4M	2020
	Ajay Bikki 575 Jefferson Dr 106 Deerfield Beach, FL 33442  RECIPIENT'S TIN: XXX-XX-9670		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	11.40		2- Royalties	0.00	
1b- Qualified dividends	11.40		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	863,608.96	910,458.63	0.00	32,205.74	-14,643.93
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>863,608.96</b>	<b>910,458.63</b>	<b>0.00</b>	<b>32,205.74</b>	<b>-14,643.93</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>863,608.96</b>	<b>910,458.63</b>	<b>0.00</b>	<b>32,205.74</b>	<b>-14,643.93</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	4.34
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$13.00 / CUSIP: / Symbol: 08/24/20	1.000	329.98	08/20/20	258.00	...	71.98	Option sale to close-call <sup>25</sup>
AAL 01/15/2021 CALL \$14.00 / CUSIP: / Symbol: 09/11/20	1.000	219.98	08/27/20	274.00	...	-54.02	Option sale to close-call <sup>25</sup>
AAL 08/21/2020 CALL \$11.50 / CUSIP: / Symbol: 08/05/20	2.000	323.98	07/21/20	268.00	...	55.98	Option sale to close-call <sup>25</sup>
AAL 08/21/2020 CALL \$15.00 / CUSIP: / Symbol: 08/19/20	1.000	2.98	08/11/20	71.00	...	-68.02	Option sale to close-call <sup>25</sup>
AAL 09/04/2020 CALL \$14.00 / CUSIP: / Symbol: 09/03/20	2.000	21.98	09/03/20	44.00	...	-22.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 09/11/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	48.98	09/08/20	48.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.98	09/08/20	48.00	...	0.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/08/20	2.000	97.96	Various	96.00	...	1.96	Total of 2 transactions
AAL 09/18/2020 CALL \$13.00 / CUSIP: / Symbol:							
09/09/20	4.000	343.98	09/09/20	356.00	...	-12.02	Option sale to close-call <sup>25</sup>
AAL 10/09/2020 CALL \$13.00 / CUSIP: / Symbol:							
09/28/20	2.000	113.98	09/25/20	96.00	...	17.98	Option sale to close-call <sup>25</sup>
AAL 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	2.000	403.99	07/07/20	406.00	...	-2.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	403.98	07/09/20	356.00	...	47.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/10/20	4.000	807.97	Various	762.00	...	45.97	Total of 2 transactions
AAPL 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
09/14/20	1.000	775.97	09/11/20	575.00	...	200.97	Option sale to close-call <sup>25</sup>
AAPL 01/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	2.000	227.98	08/31/20	1,390.00	...	-1,162.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	227.99	08/31/20	1,350.00	...	-1,122.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	113.98	08/31/20	695.00	...	-581.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/26/20	5.000	569.95	Various	3,435.00	...	-2,865.05	Total of 3 transactions
AAPL 01/15/2021 CALL \$155.00 / CUSIP: / Symbol:							
10/26/20	1.000	88.98	09/17/20	172.00	...	-83.02	Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$175.00 / CUSIP: / Symbol:							
3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	3.000	263.99	08/31/20	1,005.00	...	-741.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	87.99	08/31/20	397.00	...	-309.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	88.00	08/31/20	355.00	...	-267.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/21/20	5.000	439.98	Various	1,757.00	...	-1,317.02	Total of 3 transactions
AAPL 01/15/2021 CALL \$200.00 / CUSIP: / Symbol:							
5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	4.000	303.98	08/31/20	844.00	...	-540.02	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	303.98	08/31/20	812.00	...	-508.02	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	151.99	08/31/20	524.00	...	-372.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	76.00	08/31/20	338.02	...	-262.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	76.00	09/03/20	215.00	...	-139.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/16/20	12.000	911.95	Various	2,733.02	...	-1,821.07	Total of 5 transactions
09/16/20	1.000	75.98	08/31/20	203.00	127.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>987.93</b>		<b>2,936.02</b>	<b>127.02 W</b>	<b>-1,821.07</b>	
AAPL 01/21/2022 CALL \$145.00 / CUSIP: / Symbol:							
10/28/20	1.000	909.96	09/25/20	980.00	...	-70.04	Option sale to close-call <sup>25</sup>
AAPL 01/21/2022 CALL \$150.00 / CUSIP: / Symbol:							
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	889.98	08/31/20	1,645.00	...	-755.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	889.97	09/03/20	1,855.00	...	-965.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	889.98	09/03/20	1,695.00	...	-805.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/26/20	3.000	2,669.93	Various	5,195.00	...	-2,525.07	Total of 3 transactions
10/28/20	2.000	1,619.95	09/08/20	2,550.00	...	-930.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,289.88</b>		<b>7,745.00</b>	...	<b>-3,455.12</b>	

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/21/2022 CALL \$175.00 / CUSIP: / Symbol:							
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	3.000	1,604.96	08/31/20	3,690.00	...	-2,085.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	534.98	08/31/20	1,215.00	...	-680.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	534.99	08/31/20	1,090.00	...	-555.01	3 of 3 - Option sale to close-call <sup>25</sup>
10/26/20	5.000	2,674.93	Various	5,995.00	...	-3,320.07	Total of 3 transactions
AAPL 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	08/31/20	765.00	...	-465.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.99	08/31/20	755.00	...	-455.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/20	2.000	599.97	Various	1,520.00	...	-920.03	Total of 2 transactions
AAPL 06/18/2021 CALL \$225.00 / CUSIP: / Symbol:							
09/16/20	1.000	147.98	09/03/20	355.00	...	-207.02	Option sale to close-call <sup>25</sup>
AAPL 08/07/2020 CALL \$420.00 / CUSIP: / Symbol:							
07/31/20	1.000	704.97	07/31/20	643.00	...	61.97	Option sale to close-call <sup>25</sup>
AAPL 08/14/2020 CALL \$460.00 / CUSIP: / Symbol:							
08/11/20	1.000	281.98	08/11/20	310.00	...	-28.02	Option sale to close-call <sup>25</sup>
AAPL 08/21/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/10/20	1.000	314.98	08/07/20	201.00	...	113.98	Option sale to close-call <sup>25</sup>
08/13/20	1.000	133.98	08/11/20	131.00	...	2.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>448.96</b>		<b>332.00</b>	...	<b>116.96</b>	
AAPL 08/28/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/21/20	1.000	491.97	08/17/20	282.00	...	209.97	Option sale to close-call <sup>25</sup>
AAPL 08/28/2020 CALL \$525.00 / CUSIP: / Symbol:							
08/21/20	1.000	504.97	08/21/20	283.00	...	221.97	Option sale to close-call <sup>25</sup>
08/24/20	1.000	1,109.96	08/24/20	955.00	...	154.96	Option sale to close-call <sup>25</sup>
08/27/20	1.000	177.98	08/25/20	279.00	...	-101.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,792.91</b>		<b>1,517.00</b>	...	<b>275.91</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/28/2020 CALL \$530.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	121.99	08/25/20	225.00	...	-103.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	121.99	08/27/20	126.00	...	-4.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	2.000	243.98	Various	351.00	...	-107.02	Total of 2 transactions
AAPL 08/28/2020 CALL \$550.00 / CUSIP: / Symbol:							
08/21/20	1.000	150.98	08/21/20	127.00	...	23.98	Option sale to close-call <sup>25</sup>
08/21/20	1.000	257.98	08/21/20	273.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	639.97	08/21/20	245.02	...	394.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	268.98	08/24/20	227.00	...	41.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	908.95	Various	472.02	...	436.93	Total of 2 transactions
08/27/20	1.000	42.98	08/24/20	222.00	...	-179.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,360.89</b>		<b>1,094.02</b>	<b>15.02 W</b>	<b>281.89</b>	
AAPL 09/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	235.98	08/31/20	236.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	235.98	08/31/20	228.00	...	7.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	471.96	Various	464.00	...	7.96	Total of 2 transactions
AAPL 09/04/2020 CALL \$137.50 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	124.98	09/01/20	92.00	...	32.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	124.98	09/01/20	92.00	...	32.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	249.96	Various	184.00	...	65.96	Total of 2 transactions
AAPL 09/04/2020 CALL \$138.75 / CUSIP: / Symbol:							
09/01/20	1.000	84.98	09/01/20	90.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	75.99	09/01/20	158.00	...	-82.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	38.00	09/01/20	90.00	...	-52.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	3.000	113.99	Various	248.00	...	-134.01	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/04/2020 CALL \$138.75 / CUSIP: / Symbol: (cont'd)							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	38.00	09/01/20	90.00	52.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	37.99	09/01/20	95.02	57.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	75.99	Various	185.02	109.03 W	0.00	Total of 2 transactions
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/01/20	136.03	...	-136.03	1 of 2 - Option expiration- long call
	1.000	0.00	09/01/20	131.00	...	-131.00	2 of 2 - Option expiration- long call
09/04/20	2.000	0.00	Various	267.03	...	-267.03	Total of 2 transactions
	<b>Security total:</b>	<b>274.96</b>		<b>790.05</b>	<b>114.05 W</b>	<b>-401.04</b>	
AAPL 09/11/2020 CALL \$125.00 / CUSIP: / Symbol:							
09/04/20	1.000	291.98	09/03/20	342.00	...	-50.02	Option sale to close-call <sup>25</sup>
AAPL 09/18/2020 CALL \$111.25 / CUSIP: / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	949.96	09/11/20	750.00	...	199.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	09/14/20	471.00	...	-71.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/20	3.000	1,349.94	Various	1,221.00	...	128.94	Total of 2 transactions
AAPL 09/18/2020 CALL \$112.50 / CUSIP: / Symbol:							
09/14/20	1.000	444.98	09/14/20	327.00	...	117.98	Option sale to close-call <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	514.97	09/14/20	327.00	...	187.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	459.97	09/15/20	495.00	...	-35.03	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	974.94	Various	822.00	...	152.94	Total of 2 transactions
	<b>Security total:</b>	<b>1,419.92</b>		<b>1,149.00</b>	...	<b>270.92</b>	
AAPL 09/18/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	259.98	09/14/20	260.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	259.98	09/14/20	260.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/20	2.000	519.96	Various	520.00	...	-0.04	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AAPL 09/18/2020 CALL \$116.25 / CUSIP: / Symbol:							
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3.000	719.97	09/15/20	837.00	...	-117.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	299.99	09/15/20	241.00	...	58.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	599.98	09/15/20	480.00	...	119.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/15/20	6.000	1,619.94	Various	1,558.00	...	61.94	Total of 3 transactions
AAPL 09/18/2020 CALL \$118.75 / CUSIP: / Symbol:							
09/10/20	1.000	339.98	09/09/20	389.00	...	-49.02	Option sale to close-call <sup>25</sup>
AAPL 09/18/2020 CALL \$137.50 / CUSIP: / Symbol:							
09/04/20	1.000	114.98	09/03/20	190.00	...	-75.02	Option sale to close-call <sup>25</sup>
AAPL 09/25/2020 CALL \$117.50 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	374.99	09/15/20	345.01	...	29.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	374.98	09/15/20	322.02	...	52.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	749.97	Various	667.03	...	82.94	Total of 2 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	364.99	09/15/20	370.00	5.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	364.98	09/15/20	372.00	7.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	729.97	Various	742.00	12.03 W	0.00	Total of 2 transactions
5 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/15/20	377.00	...	-377.00	1 of 5 - Option expiration- long call
	1.000	0.00	09/15/20	375.00	...	-375.00	2 of 5 - Option expiration- long call
	1.000	0.00	09/15/20	361.00	...	-361.00	3 of 5 - Option expiration- long call
	1.000	0.00	09/15/20	288.00	...	-288.00	4 of 5 - Option expiration- long call
	1.000	0.00	09/15/20	288.00	...	-288.00	5 of 5 - Option expiration- long call
09/25/20	5.000	0.00	Various	1,689.00	...	-1,689.00	Total of 5 transactions
	<b>Security total:</b>	<b>1,479.94</b>		<b>3,098.03</b>	<b>12.03 W</b>	<b>-1,606.06</b>	

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	11.99	08/31/20	754.00	...	-742.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5.99	08/31/20	375.00	...	-369.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/17/20	3.000	17.98	Various	1,129.00	...	-1,111.02	Total of 2 transactions
AAPL 09/25/2020 PUT \$100.00 / CUSIP: / Symbol:							
09/17/20	3.000	179.98	09/17/20	219.00	39.02 W	0.00	Option sale to close-put <sup>25</sup>
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	09/23/20	102.02	...	-102.02	1 of 2 - Option expiration- long put
	1.000	0.00	09/23/20	21.00	...	-21.00	2 of 2 - Option expiration- long put
09/25/20	4.000	0.00	Various	123.02	...	-123.02	Total of 2 transactions
	<b>Security total:</b>	<b>179.98</b>		<b>342.02</b>	<b>39.02 W</b>	<b>-123.02</b>	
AAPL 09/25/2020 PUT \$105.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/23/20	96.00	...	-96.00	Option expiration- long put
AAPL 09/25/2020 PUT \$107.50 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/23/20	182.00	...	-182.00	Option expiration- long put
AAPL 09/25/2020 PUT \$110.00 / CUSIP: / Symbol:							
09/17/20	1.000	324.98	09/17/20	320.00	...	4.98	Option sale to close-put <sup>25</sup>
AAPL 10/02/2020 PUT \$100.00 / CUSIP: / Symbol:							
09/18/20	3.000	524.97	09/17/20	384.00	...	140.97	Option sale to close-put <sup>25</sup>
AAPL 10/02/2020 PUT \$107.50 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/28/20	57.00	...	-57.00	Option expiration- long put
AMC 09/04/2020 CALL \$5.50 / CUSIP: / Symbol:							
08/20/20	1.000	41.98	08/19/20	34.00	...	7.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 07/17/2020 CALL \$54.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	2.000	453.97	07/07/20	284.00	...	169.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	226.98	07/08/20	108.00	...	118.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/20	3.000	680.95	Various	392.00	...	288.95	Total of 2 transactions
AMD 07/17/2020 CALL \$57.00 / CUSIP: / Symbol:							
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	33.99	07/13/20	189.01	...	-155.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	34.00	07/14/20	188.03	...	-154.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	33.99	07/14/20	33.00	...	0.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/15/20	3.000	101.98	Various	410.04	...	-308.06	Total of 3 transactions
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	2.000	67.98	07/10/20	258.00	190.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	33.98	07/13/20	189.01	155.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	3.000	101.96	Various	447.01	345.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>203.94</b>		<b>857.05</b>	<b>345.05 W</b>	<b>-308.06</b>	
AMD 07/24/2020 CALL \$65.00 / CUSIP: / Symbol:							
07/24/20	2.000	243.98	07/23/20	10.00	...	233.98	Option sale to close-call <sup>25</sup>
AMD 08/14/2020 CALL \$82.00 / CUSIP: / Symbol:							
08/12/20	1.000	89.98	08/12/20	80.00	...	9.98	Option sale to close-call <sup>25</sup>
AMD 08/28/2020 CALL \$86.00 / CUSIP: / Symbol:							
08/25/20	1.000	131.98	08/24/20	86.00	...	45.98	Option sale to close-call <sup>25</sup>
AMD 09/04/2020 CALL \$86.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	267.98	08/26/20	264.00	...	3.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	267.98	08/27/20	213.00	...	54.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/28/20	2.000	535.96	Various	477.00	...	58.96	Total of 2 transactions
AMD 09/04/2020 PUT \$86.00 / CUSIP: / Symbol:							
08/26/20	1.000	275.98	08/26/20	276.00	...	-0.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMD 09/18/2020 CALL \$76.00 / CUSIP: / Symbol:							
09/17/20	1.000	109.98	09/17/20	116.00	...	-6.02	Option sale to close-call <sup>25</sup>
AMD 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/15/20	1.000	18.98	09/09/20	184.00	...	-165.02	Option sale to close-call <sup>25</sup>
AMD 10/16/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/29/20	2.000	749.97	09/25/20	530.00	...	219.97	Option sale to close-call <sup>25</sup>
AMZN 08/14/2020 CALL \$3,450.00 / CUSIP: / Symbol:							
08/13/20	1.000	34.98	08/13/20	84.00	...	-49.02	Option sale to close-call <sup>25</sup>
AMZN 08/28/2020 CALL \$3,800.00 / CUSIP: / Symbol:							
08/26/20	1.000	130.98	08/26/20	85.00	...	45.98	Option sale to close-call <sup>25</sup>
AMZN 08/28/2020 CALL \$3,900.00 / CUSIP: / Symbol:							
08/26/20	1.000	86.98	08/26/20	86.00	...	0.98	Option sale to close-call <sup>25</sup>
AMZN 09/11/2020 CALL \$3,700.00 / CUSIP: / Symbol:							
09/02/20	1.000	2,222.94	08/28/20	1,948.00	...	274.94	Option sale to close-call <sup>25</sup>
AMZN 09/11/2020 CALL \$3,800.00 / CUSIP: / Symbol:							
09/03/20	1.000	19.96	09/02/20	0.00	...	19.96	Short sale closed- call <sup>25</sup> Option written: 08/28/20
AMZN 09/11/2020 CALL \$4,000.00 / CUSIP: / Symbol:							
09/01/20	1.000	484.97	09/01/20	455.00	...	29.97	Option sale to close-call <sup>25</sup>
09/02/20	1.000	419.98	09/01/20	455.00	...	-35.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>904.95</b>		<b>910.00</b>	...	<b>-5.05</b>	
AMZN 09/18/2020 CALL \$3,300.00 / CUSIP: / Symbol:							
09/14/20	1.000	879.97	09/14/20	880.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
09/15/20	1.000	599.97	09/15/20	905.06	...	-305.09	Option sale to close-call <sup>25</sup>
09/15/20	1.000	599.97	09/14/20	825.03	225.06 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,079.91</b>		<b>2,610.09</b>	<b>225.09 W</b>	<b>-305.09</b>	
AXP 01/15/2021 CALL \$110.00 / CUSIP: / Symbol:							
08/25/20	1.000	474.97	08/18/20	380.00	...	94.97	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AXP 08/14/2020 CALL \$106.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	120.98	08/10/20	27.00	...	93.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	131.98	08/10/20	27.00	...	104.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	252.96	Various	54.00	...	198.96	Total of 2 transactions
BA 01/21/2022 CALL \$175.00 / CUSIP: / Symbol:							
06/03/20	1.000	4,399.89	06/02/20	3,765.00	...	634.89	Option sale to close-call <sup>25</sup>
BA 06/12/2020 CALL \$250.00 / CUSIP: / Symbol:							
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/08/20	930.00	...	-930.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/08/20	465.00	...	-465.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/08/20	425.00	...	-425.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/08/20	390.00	...	-390.00	4 of 4 - Option expiration- long call
06/12/20	5.000	0.00	Various	2,210.00	...	-2,210.00	Total of 4 transactions
BA 07/10/2020 PUT \$170.00 / CUSIP: / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	2.000	269.99	07/09/20	264.00	...	5.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	134.98	07/09/20	127.00	...	7.98	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	134.99	07/09/20	127.00	...	7.99	3 of 3 - Option sale to close-put <sup>25</sup>
07/09/20	4.000	539.96	Various	518.00	...	21.96	Total of 3 transactions
BA 07/17/2020 CALL \$190.00 / CUSIP: / Symbol:							
07/14/20	1.000	237.98	07/13/20	193.00	...	44.98	Option sale to close-call <sup>25</sup>
BA 08/14/2020 CALL \$190.00 / CUSIP: / Symbol:							
08/11/20	1.000	454.97	08/11/20	300.00	...	154.97	Option sale to close-call <sup>25</sup>
08/11/20	1.000	125.98	08/11/20	200.00	74.02 W	0.00	Option sale to close-call <sup>25</sup>
08/13/20	1.000	9.98	08/13/20	98.02	...	-88.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>590.93</b>		<b>598.02</b>	<b>74.02 W</b>	<b>66.93</b>	
BA 08/21/2020 CALL \$190.00 / CUSIP: / Symbol:							
08/19/20	1.000	16.98	08/14/20	192.00	...	-175.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 08/28/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	08/25/20	335.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	324.98	08/25/20	320.04	...	4.94	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	659.96	Various	655.04	...	4.92	Total of 2 transactions
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	349.98	08/25/20	365.00	15.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	344.98	08/25/20	355.02	10.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	694.96	Various	720.02	25.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,354.92</b>		<b>1,375.06</b>	<b>25.06 W</b>	<b>4.92</b>	
BA 08/28/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/24/20	1.000	232.98	08/24/20	157.00	...	75.98	Option sale to close-call <sup>25</sup>
BA 08/28/2020 CALL \$185.00 / CUSIP: / Symbol:							
08/24/20	1.000	195.98	08/24/20	162.00	...	33.98	Option sale to close-call <sup>25</sup>
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	27.00	08/27/20	170.00	...	-143.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	53.99	08/27/20	182.00	...	-128.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	26.99	08/27/20	144.00	...	-117.01	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	4.000	107.98	Various	496.00	...	-388.02	Total of 3 transactions
	<b>Security total:</b>	<b>303.96</b>		<b>658.00</b>	...	<b>-354.04</b>	
BA 08/28/2020 CALL \$187.50 / CUSIP: / Symbol:							
08/25/20	1.000	98.98	08/25/20	194.00	...	-95.02	Option sale to close-call <sup>25</sup>
BA 09/04/2020 CALL \$172.50 / CUSIP: / Symbol:							
09/01/20	1.000	389.98	09/01/20	390.00	...	-0.02	Option sale to close-call <sup>25</sup>
BA 09/04/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/02/20	1.000	280.98	08/28/20	485.00	...	-204.02	Option sale to close-call <sup>25</sup>
BA 09/04/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/24/20	1.000	499.97	08/24/20	465.00	...	34.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BA 09/04/2020 CALL \$190.00 / CUSIP: / Symbol:							
09/03/20	1.000	110.98	09/03/20	97.00	...	13.98	Option sale to close-call <sup>25</sup>
BA 09/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
08/24/20	1.000	275.98	08/24/20	217.00	...	58.98	Option sale to close-call <sup>25</sup>
08/27/20	1.000	489.97	08/26/20	284.00	...	205.97	Option sale to close-call <sup>25</sup>
09/14/20	1.000	12.98	08/27/20	315.00	302.02 W	0.00	Option sale to close-call <sup>25</sup>
09/18/20	1.000	0.00	08/28/20	616.02	...	-616.02	Option expiration- long call
	<b>Security total:</b>	<b>778.93</b>		<b>1,432.02</b>	<b>302.02 W</b>	<b>-351.07</b>	
BA 09/25/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/22/20	204.00	...	-204.00	Option expiration- long call
BA 10/02/2020 CALL \$157.50 / CUSIP: / Symbol:							
09/23/20	1.000	599.97	09/22/20	510.00	...	89.97	Option sale to close-call <sup>25</sup>
BA 10/02/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/21/20	2.000	37.98	09/03/20	388.00	...	-350.02	Option sale to close-call <sup>25</sup>
BA 10/16/2020 CALL \$180.00 / CUSIP: / Symbol:							
10/01/20	1.000	449.98	09/30/20	365.00	...	84.98	Option sale to close-call <sup>25</sup>
10/05/20	1.000	281.98	10/01/20	420.00	...	-138.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>731.96</b>		<b>785.00</b>	...	<b>-53.04</b>	
BA 10/23/2020 CALL \$155.00 / CUSIP: / Symbol:							
10/01/20	1.000	1,829.94	09/21/20	1,100.00	...	729.94	Option sale to close-call <sup>25</sup>
10/06/20	1.000	2,149.94	09/21/20	1,150.00	...	999.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,979.88</b>		<b>2,250.00</b>	...	<b>1,729.88</b>	
BABA 06/19/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/19/20	3.000	2.98	06/10/20	516.00	...	-513.02	Option sale to close-call <sup>25</sup>
BABA 08/28/2020 CALL \$275.00 / CUSIP: / Symbol:							
08/24/20	1.000	689.97	08/24/20	515.00	...	174.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 08/28/2020 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	294.99	08/25/20	473.00	...	-178.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	294.98	08/26/20	310.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	589.97	Various	783.00	...	-193.03	Total of 2 transactions
BABA 09/11/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/26/20	1.000	809.97	08/26/20	710.00	...	99.97	Option sale to close-call <sup>25</sup>
08/28/20	1.000	729.97	08/27/20	605.00	...	124.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,539.94</b>		<b>1,315.00</b>	...	<b>224.94</b>	
BABA 09/18/2020 CALL \$275.00 / CUSIP: / Symbol:							
09/14/20	1.000	524.97	09/14/20	490.00	...	34.97	Option sale to close-call <sup>25</sup>
BABA 09/25/2020 CALL \$280.00 / CUSIP: / Symbol:							
09/21/20	1.000	153.98	09/16/20	650.00	...	-496.02	Option sale to close-call <sup>25</sup>
BABA 09/25/2020 CALL \$282.50 / CUSIP: / Symbol:							
09/17/20	1.000	369.98	09/15/20	415.00	...	-45.02	Option sale to close-call <sup>25</sup>
BABA 10/02/2020 CALL \$275.00 / CUSIP: / Symbol:							
09/23/20	1.000	674.97	09/22/20	670.00	...	4.97	Option sale to close-call <sup>25</sup>
BABA 10/09/2020 CALL \$300.00 / CUSIP: / Symbol:							
4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	269.98	10/06/20	109.00	...	160.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	270.98	10/06/20	110.00	...	160.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	270.98	10/06/20	109.00	...	161.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	271.98	10/06/20	110.00	...	161.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/06/20	4.000	1,083.92	Various	438.00	...	645.92	Total of 4 transactions
BAC 08/28/2020 CALL \$26.00 / CUSIP: / Symbol:							
08/25/20	1.000	32.98	08/24/20	21.00	...	11.98	Option sale to close-call <sup>25</sup>
BIGC 09/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
09/15/20	1.000	4.98	08/31/20	1,262.00	...	-1,257.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIGC 09/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
09/11/20	1.000	896.96	09/10/20	0.00	...	896.96	Short sale closed- call <sup>25</sup> Option written: 08/31/20
BIGC 10/16/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/23/20	1.000	699.97	09/16/20	780.00	...	-80.03	Option sale to close-call <sup>25</sup>
BIIB 09/04/2020 CALL \$292.50 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	189.99	08/25/20	173.00	...	16.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	189.99	08/26/20	100.00	...	89.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	379.98	Various	273.00	...	106.98	Total of 2 transactions
BUD 08/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	32.98	07/10/20	60.00	...	-27.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	32.98	07/10/20	60.00	...	-27.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	65.96	Various	120.00	...	-54.04	Total of 2 transactions
BYND 08/28/2020 CALL \$126.00 / CUSIP: / Symbol:							
08/24/20	1.000	178.98	08/24/20	227.00	...	-48.02	Option sale to close-call <sup>25</sup>
BYND 08/28/2020 CALL \$128.00 / CUSIP: / Symbol:							
08/21/20	1.000	314.98	08/21/20	330.00	...	-15.02	Option sale to close-call <sup>25</sup>
BYND 08/28/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/26/20	1.000	39.98	08/26/20	73.00	...	-33.02	Option sale to close-call <sup>25</sup>
BYND 09/04/2020 CALL \$127.00 / CUSIP: / Symbol:							
08/27/20	1.000	1,034.96	08/26/20	365.00	...	669.96	Option sale to close-call <sup>25</sup>
BYND 09/18/2020 CALL \$148.00 / CUSIP: / Symbol:							
09/14/20	1.000	294.98	09/14/20	144.00	...	150.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 09/18/2020 CALL \$155.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	09/16/20	379.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.98	09/16/20	379.00	...	70.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	2.000	829.96	Various	758.00	...	71.96	Total of 2 transactions
BYND 10/16/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/20/20	1.000	288.98	08/19/20	198.00	...	90.98	Option sale to close-call <sup>25</sup>
CAT 08/28/2020 CALL \$143.00 / CUSIP: / Symbol:							
08/25/20	1.000	162.98	08/24/20	111.00	...	51.98	Option sale to close-call <sup>25</sup>
CAT 09/04/2020 CALL \$148.00 / CUSIP: / Symbol:							
09/01/20	1.000	92.98	09/01/20	87.00	...	5.98	Option sale to close-call <sup>25</sup>
09/02/20	1.000	159.98	09/01/20	88.00	...	71.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>252.96</b>		<b>175.00</b>	...	<b>77.96</b>	
CCL 09/04/2020 CALL \$17.00 / CUSIP: / Symbol:							
08/28/20	2.000	143.98	08/28/20	140.00	...	3.98	Option sale to close-call <sup>25</sup>
CCL 10/16/2020 CALL \$17.50 / CUSIP: / Symbol:							
08/11/20	3.000	695.97	07/22/20	597.00	...	98.97	Option sale to close-call <sup>25</sup>
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	166.99	08/20/20	115.00	...	51.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	166.99	08/20/20	115.00	...	51.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	333.98	Various	230.00	...	103.98	Total of 2 transactions
	<b>Security total:</b>	<b>1,029.95</b>		<b>827.00</b>	...	<b>202.95</b>	
CHWY 08/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
07/31/20	1.000	334.98	07/16/20	315.00	...	19.98	Option sale to close-call <sup>25</sup>
COST 08/21/2020 CALL \$345.00 / CUSIP: / Symbol:							
08/18/20	1.000	147.98	08/17/20	106.00	...	41.98	Option sale to close-call <sup>25</sup>
COST 09/04/2020 CALL \$375.00 / CUSIP: / Symbol:							
09/03/20	1.000	66.98	09/02/20	62.00	...	4.98	Option sale to close-call <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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COST 09/25/2020 CALL \$362.50 / CUSIP: / Symbol:							
09/03/20	1.000	1,049.96	08/25/20	265.00	...	784.96	Option sale to close-call <sup>25</sup>
CRM 08/21/2020 CALL \$212.50 / CUSIP: / Symbol:							
08/04/20	1.000	190.98	07/29/20	103.00	...	87.98	Option sale to close-call <sup>25</sup>
08/14/20	2.000	25.98	08/12/20	58.00	32.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	2.000	69.99	08/14/20	66.02	...	3.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	35.00	08/14/20	17.00	...	18.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	104.99	08/18/20	114.00	...	-9.01	3 of 3 - Option sale to close-call <sup>25</sup>
08/18/20	6.000	209.98	Various	197.02	...	12.96	Total of 3 transactions
<b>Security total:</b>		<b>426.94</b>		<b>358.02</b>	<b>32.02 W</b>	<b>100.94</b>	
CRM 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/26/20	1.000	32.98	08/26/20	70.00	...	-37.02	Option sale to close-call <sup>25</sup>
CRM 09/04/2020 CALL \$285.00 / CUSIP: / Symbol:							
09/01/20	1.000	509.97	09/01/20	495.00	...	14.97	Option sale to close-call <sup>25</sup>
CRWD 09/04/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/03/20	1.000	64.98	09/02/20	1,335.00	...	-1,270.02	Option sale to close-call <sup>25</sup>
CRWD 09/04/2020 CALL \$155.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/02/20	885.00	...	-885.00	Option expiration- long call
CRWD 09/04/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/04/20	1.000	696.97	09/04/20	0.00	...	696.97	Short sale closed- call expired Option written: 09/02/20
DAL 01/15/2021 CALL \$27.00 / CUSIP: / Symbol:							
08/07/20	1.000	490.97	07/31/20	330.02	...	160.95	Option sale to close-call <sup>25</sup>
08/07/20	2.000	969.96	07/20/20	980.00	5.02 W	-5.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,460.93</b>		<b>1,310.02</b>	<b>5.02 W</b>	<b>155.93</b>	
DAL 01/15/2021 CALL \$28.00 / CUSIP: / Symbol:							
08/24/20	1.000	493.97	08/20/20	395.00	...	98.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DDOG 10/02/2020 PUT \$97.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	80.98	09/30/20	57.00	...	23.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	80.98	09/30/20	57.00	...	23.98	2 of 2 - Option sale to close-put <sup>25</sup>
10/01/20	2.000	161.96	Various	114.00	...	47.96	Total of 2 transactions
DELL 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
08/24/20	2.000	131.98	08/20/20	76.00	...	55.98	Option sale to close-call <sup>25</sup>
DIA 09/18/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/27/20	1.000	298.98	08/25/20	195.00	...	103.98	Option sale to close-call <sup>25</sup>
DIA 10/16/2020 CALL \$320.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	4.000	39.99	09/08/20	104.00	...	-64.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.98	09/08/20	42.00	...	-32.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	5.000	49.97	Various	146.00	...	-96.03	Total of 2 transactions
09/11/20	3.000	29.99	09/08/20	78.00	16.00 W	-32.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>79.96</b>		<b>224.00</b>	<b>16.00 W</b>	<b>-128.04</b>	
DIS 09/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/24/20	1.000	254.98	08/24/20	295.00	...	-40.02	Option sale to close-call <sup>25</sup>
DIS 09/04/2020 CALL \$137.00 / CUSIP: / Symbol:							
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	197.99	08/28/20	197.00	...	0.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	197.99	08/28/20	197.00	...	0.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	395.98	08/28/20	286.00	...	109.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/28/20	4.000	791.96	Various	680.00	...	111.96	Total of 3 transactions
DIS 09/11/2020 CALL \$145.00 / CUSIP: / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/28/20	86.00	...	-86.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/31/20	30.00	...	-30.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/31/20	30.00	...	-30.00	3 of 3 - Option expiration- long call
09/11/20	3.000	0.00	Various	146.00	...	-146.00	Total of 3 transactions

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIS 09/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	09/09/20	262.00	...	72.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	285.98	09/10/20	257.00	...	28.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	304.98	09/10/20	259.00	...	45.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/10/20	3.000	925.94	Various	778.00	...	147.94	Total of 3 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	38.99	09/10/20	213.00	...	-174.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	38.99	09/15/20	44.00	...	-5.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	77.98	Various	257.00	...	-179.02	Total of 2 transactions
	<b>Security total:</b>	<b>1,003.92</b>		<b>1,035.00</b>	...	<b>-31.08</b>	
DKNG 09/04/2020 CALL \$38.00 / CUSIP: / Symbol:							
08/18/20	1.000	154.98	08/14/20	120.00	...	34.98	Option sale to close-call <sup>25</sup>
DKNG 09/04/2020 CALL \$40.00 / CUSIP: / Symbol:							
08/07/20	2.000	399.98	07/31/20	350.00	...	49.98	Option sale to close-call <sup>25</sup>
DKNG 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	214.98	08/19/20	210.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	244.98	08/21/20	132.00	...	112.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	459.96	Various	342.00	...	117.96	Total of 2 transactions
DKNG 09/18/2020 CALL \$43.00 / CUSIP: / Symbol:							
09/10/20	1.000	189.98	09/10/20	285.02	...	-95.04	Option sale to close-call <sup>25</sup>
09/10/20	1.000	199.98	09/10/20	250.00	50.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>389.96</b>		<b>535.02</b>	<b>50.02 W</b>	<b>-95.04</b>	
DKNG 09/18/2020 CALL \$53.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	09/16/20	128.02	...	1.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	129.99	09/16/20	128.02	...	1.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	2.000	259.98	Various	256.04	...	3.94	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 09/18/2020 CALL \$53.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	149.98	09/16/20	151.00	1.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.98	09/16/20	151.00	1.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	2.000	299.96	Various	302.00	2.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>559.94</b>		<b>558.04</b>	<b>2.04 W</b>	<b>3.94</b>	
DKNG 09/25/2020 CALL \$56.00 / CUSIP: / Symbol:							
09/23/20	3.000	284.98	09/22/20	288.00	...	-3.02	Option sale to close-call <sup>25</sup>
DKNG 10/09/2020 CALL \$63.00 / CUSIP: / Symbol:							
10/05/20	1.000	180.98	10/02/20	340.00	...	-159.02	Option sale to close-call <sup>25</sup>
DOCU 09/04/2020 CALL \$260.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/01/20	2,491.00	...	-2,491.00	Option expiration- long call
DOCU 09/04/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/04/20	1.000	2,177.94	09/04/20	0.00	...	2,177.94	Short sale closed- call expired Option written: 09/01/20
DOCU 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/02/20	1.000	1,899.94	09/01/20	2,275.00	...	-375.06	Option sale to close-call <sup>25</sup>
DVAX 08/21/2020 CALL \$12.00 / CUSIP: / Symbol:							
08/21/20	4.000	0.00	07/28/20	220.00	...	-220.00	Option expiration- long call
ENPH 09/18/2020 CALL \$90.00 / CUSIP: / Symbol:							
09/11/20	2.000	5.98	08/25/20	234.00	...	-228.02	Option sale to close-call <sup>25</sup>
ENPH 10/16/2020 PUT \$87.50 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/06/20	172.00	...	-172.00	Option expiration- long put
ET 08/14/2020 CALL \$6.50 / CUSIP: / Symbol:							
07/21/20	4.000	183.98	07/17/20	156.00	...	27.98	Option sale to close-call <sup>25</sup>
08/05/20	1.000	53.98	07/17/20	39.00	...	14.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>237.96</b>		<b>195.00</b>	<b>...</b>	<b>42.96</b>	

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FB 07/17/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/15/20	150.00	...	-150.00	Option expiration- long call
FB 07/17/2020 CALL \$255.00 / CUSIP: / Symbol:							
07/13/20	1.000	344.98	07/13/20	282.00	...	62.98	Option sale to close-call <sup>25</sup>
FB 08/14/2020 PUT \$250.00 / CUSIP: / Symbol:							
08/11/20	1.000	81.98	08/11/20	88.00	...	-6.02	Option sale to close-put <sup>25</sup>
FB 08/21/2020 CALL \$270.00 / CUSIP: / Symbol:							
08/19/20	1.000	255.98	08/19/20	253.00	...	2.98	Option sale to close-call <sup>25</sup>
08/19/20	1.000	277.98	08/19/20	293.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	179.98	08/20/20	178.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	144.98	08/20/20	111.02	...	33.96	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	324.96	Various	289.02	...	35.94	Total of 2 transactions
	<b>Security total:</b>	<b>858.92</b>		<b>835.02</b>	<b>15.02 W</b>	<b>38.92</b>	
FB 08/28/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/24/20	1.000	354.98	08/21/20	230.00	...	124.98	Option sale to close-call <sup>25</sup>
FB 08/28/2020 CALL \$350.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/27/20	5.00	...	-5.00	Option expiration- long call
FB 09/11/2020 CALL \$300.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	09/03/20	370.00	...	-370.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/08/20	45.00	...	-45.00	2 of 2 - Option expiration- long call
09/11/20	2.000	0.00	Various	415.00	...	-415.00	Total of 2 transactions
FB 09/18/2020 CALL \$270.00 / CUSIP: / Symbol:							
08/24/20	1.000	1,349.96	08/21/20	890.00	...	459.96	Option sale to close-call <sup>25</sup>
FB 09/18/2020 CALL \$290.00 / CUSIP: / Symbol:							
09/03/20	1.000	1,159.96	09/03/20	1,005.00	...	154.96	Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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FB 09/18/2020 CALL \$305.00 / CUSIP: / Symbol:							
09/02/20	1.000	794.97	09/01/20	795.00	...	-0.03	Option sale to close-call <sup>25</sup>
FB 10/16/2020 CALL \$270.00 / CUSIP: / Symbol:							
10/02/20	1.000	514.97	10/01/20	635.00	120.03 W	0.00	Option sale to close-call <sup>25</sup>
10/07/20	1.000	194.98	10/06/20	490.03	...	-295.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>709.95</b>		<b>1,125.03</b>	<b>120.03 W</b>	<b>-295.05</b>	
FDX 09/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,014.96	09/15/20	1,020.00	...	-5.04	Option sale to close-call <sup>25</sup>
FDX 10/16/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/15/20	1.000	889.97	09/11/20	890.00	...	-0.03	Option sale to close-call <sup>25</sup>
FL 01/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	0.00	11/19/19	1,770.01	...	-1,770.01	1 of 2 - Option expiration- long call
	2.000	0.00	11/19/19	1,180.00	...	-1,180.00	2 of 2 - Option expiration- long call
01/17/20	5.000	0.00	Various	2,950.01	...	-2,950.01	Total of 2 transactions
FSLR 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/14/20	3.000	2.98	09/01/20	342.00	...	-339.02	Option sale to close-call <sup>25</sup>
FSLY 09/04/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/21/20	1.000	289.98	08/21/20	235.02	...	54.96	Option sale to close-call <sup>25</sup>
08/21/20	1.000	329.98	08/21/20	340.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
08/24/20	1.000	279.98	08/21/20	225.00	...	54.98	Option sale to close-call <sup>25</sup>
08/25/20	1.000	279.98	08/24/20	180.00	...	99.98	Option sale to close-call <sup>25</sup>
08/27/20	1.000	429.98	08/26/20	225.00	...	204.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,609.90</b>		<b>1,205.02</b>	<b>10.02 W</b>	<b>414.90</b>	
FSLY 09/04/2020 CALL \$105.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	08/28/20	215.00	...	-215.00	Option expiration- long call
GILD 10/16/2020 CALL \$64.00 / CUSIP: / Symbol:							
10/06/20	2.000	141.98	10/05/20	212.00	...	-70.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GOOGL 08/21/2020 CALL \$1,570.0 / CUSIP: / Symbol:							
08/18/20	1.000	319.98	08/18/20	280.00	...	39.98	Option sale to close-call <sup>25</sup>
GOOGL 08/21/2020 CALL \$1,590.0 / CUSIP: / Symbol:							
4 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	379.99	08/18/20	420.00	...	-40.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	379.99	08/18/20	360.02	...	19.97	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	759.97	08/18/20	720.00	...	39.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	244.98	08/18/20	201.00	...	43.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/18/20	5.000	1,764.93	Various	1,701.02	...	63.91	Total of 4 transactions
08/18/20	1.000	254.98	08/18/20	285.00	30.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,019.91</b>		<b>1,986.02</b>	<b>30.02 W</b>	<b>63.91</b>	
GOOGL 08/21/2020 CALL \$1,600.0 / CUSIP: / Symbol:							
08/18/20	1.000	339.98	08/18/20	320.00	...	19.98	Option sale to close-call <sup>25</sup>
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	109.98	08/19/20	225.10	...	-115.12	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.98	08/19/20	315.02	...	134.96	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	2.000	559.96	Various	540.12	...	19.84	Total of 2 transactions
6 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	244.98	08/19/20	300.00	55.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	224.98	08/19/20	295.00	70.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	309.98	08/19/20	320.02	10.04 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	204.98	08/19/20	240.04	35.06 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	139.98	08/19/20	235.06	95.08 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	204.98	08/19/20	265.08	60.10 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/19/20	6.000	1,329.88	Various	1,655.20	325.32 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>2,229.82</b>		<b>2,515.32</b>	<b>325.32 W</b>	<b>39.82</b>	
GOOGL 08/21/2020 CALL \$1,610.0 / CUSIP: / Symbol:							
08/19/20	1.000	209.98	08/19/20	280.00	...	-70.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 08/28/2020 CALL \$1,700.0 / CUSIP: / Symbol:							
08/21/20	1.000	319.98	08/21/20	245.00	...	74.98	Option sale to close-call <sup>25</sup>
08/24/20	1.000	319.98	08/21/20	225.00	...	94.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>639.96</b>		<b>470.00</b>	...	<b>169.96</b>	
GS 07/17/2020 CALL \$225.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	133.99	07/07/20	124.00	...	9.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	133.99	07/07/20	124.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	4.000	267.98	Various	248.00	...	19.98	Total of 2 transactions
07/08/20	4.000	267.98	07/08/20	272.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
07/09/20	4.000	343.98	07/09/20	268.02	...	75.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>879.94</b>		<b>788.02</b>	<b>4.02 W</b>	<b>95.94</b>	
GS 08/14/2020 CALL \$222.50 / CUSIP: / Symbol:							
08/13/20	1.000	7.98	08/12/20	13.00	...	-5.02	Option sale to close-call <sup>25</sup>
GS 08/28/2020 CALL \$207.50 / CUSIP: / Symbol:							
08/25/20	1.000	429.98	08/24/20	174.00	...	255.98	Option sale to close-call <sup>25</sup>
GS 10/16/2020 CALL \$225.00 / CUSIP: / Symbol:							
08/19/20	1.000	324.98	08/18/20	242.00	...	82.98	Option sale to close-call <sup>25</sup>
08/24/20	1.000	318.98	08/20/20	245.00	...	73.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>643.96</b>		<b>487.00</b>	...	<b>156.96</b>	
GS 10/16/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/02/20	1.000	299.98	08/28/20	305.00	...	-5.02	Option sale to close-call <sup>25</sup>
HD 09/04/2020 CALL \$290.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	48.99	09/02/20	95.00	...	-46.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.99	09/02/20	95.00	...	-46.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	97.98	Various	190.00	...	-92.02	Total of 2 transactions
HD 09/04/2020 CALL \$292.50 / CUSIP: / Symbol:							
08/26/20	1.000	228.98	08/25/20	139.00	...	89.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HD 09/18/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/21/20	1.000	489.97	08/20/20	520.00	...	-30.03	Option sale to close-call <sup>25</sup>
HD 10/23/2020 CALL \$290.00 / CUSIP: / Symbol:							
09/16/20	1.000	669.97	09/16/20	655.00	...	14.97	Option sale to close-call <sup>25</sup>
INTC 07/17/2020 CALL \$67.50 / CUSIP: / Symbol:							
07/13/20	1.000	1.98	07/10/20	3.00	...	-1.02	Option sale to close-call <sup>25</sup>
07/17/20	49.000	0.00	07/10/20	147.00	...	-147.00	Option expiration- long call
	<b>Security total:</b>	<b>1.98</b>		<b>150.00</b>	...	<b>-148.02</b>	
INTC 08/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
08/20/20	1.000	41.98	08/20/20	42.00	...	-0.02	Option sale to close-call <sup>25</sup>
INTC 09/04/2020 CALL \$52.00 / CUSIP: / Symbol:							
09/02/20	1.000	120.98	09/02/20	79.00	...	41.98	Option sale to close-call <sup>25</sup>
INTC 09/04/2020 CALL \$53.00 / CUSIP: / Symbol:							
09/02/20	2.000	71.98	09/02/20	76.00	...	-4.02	Option sale to close-call <sup>25</sup>
INTC 09/11/2020 CALL \$52.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	09/02/20	139.00	...	-139.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/02/20	125.00	...	-125.00	2 of 2 - Option expiration- long call
09/11/20	2.000	0.00	Various	264.00	...	-264.00	Total of 2 transactions
ITW 08/21/2020 CALL \$197.50 / CUSIP: / Symbol:							
08/11/20	1.000	319.98	08/10/20	217.00	...	102.98	Option sale to close-call <sup>25</sup>
JD 09/04/2020 CALL \$82.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	424.98	09/01/20	159.00	...	265.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	424.98	09/01/20	159.00	...	265.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	849.96	Various	318.00	...	531.96	Total of 2 transactions
JPM 08/14/2020 CALL \$101.00 / CUSIP: / Symbol:							
08/10/20	1.000	212.98	08/10/20	129.00	...	83.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JPM 08/28/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/24/20	1.000	142.98	08/24/20	140.00	...	2.98	Option sale to close-call <sup>25</sup>
JPM 09/04/2020 CALL \$105.00 / CUSIP: / Symbol:							
09/03/20	2.000	149.98	09/03/20	144.00	...	5.98	Option sale to close-call <sup>25</sup>
JPM 10/16/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	221.99	08/25/20	187.00	...	34.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	221.99	08/25/20	151.00	...	70.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	2.000	443.98	Various	338.00	...	105.98	Total of 2 transactions
KO 09/11/2020 CALL \$48.00 / CUSIP: / Symbol:							
08/28/20	2.000	255.98	08/26/20	156.00	...	99.98	Option sale to close-call <sup>25</sup>
KO 09/18/2020 CALL \$51.00 / CUSIP: / Symbol:							
09/04/20	1.000	98.98	09/03/20	83.00	...	15.98	Option sale to close-call <sup>25</sup>
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	41.98	09/14/20	49.01	...	-7.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	41.98	09/14/20	49.01	...	-7.03	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/20	2.000	83.96	Various	98.02	...	-14.06	Total of 2 transactions
09/14/20	2.000	83.98	09/14/20	88.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>266.92</b>		<b>269.02</b>	<b>4.02 W</b>	<b>1.92</b>	
KO 09/25/2020 CALL \$50.00 / CUSIP: / Symbol:							
09/11/20	3.000	293.98	09/11/20	270.00	...	23.98	Option sale to close-call <sup>25</sup>
KO 10/02/2020 CALL \$50.50 / CUSIP: / Symbol:							
09/18/20	2.000	191.99	09/17/20	190.00	...	1.99	Option sale to close-call <sup>25</sup>
09/18/20	2.000	191.99	09/17/20	194.00	2.01 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	2.000	21.99	09/23/20	54.01	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	10.99	09/23/20	26.00	...	-15.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/30/20	3.000	32.98	Various	80.01	...	-47.03	Total of 2 transactions
10/02/20	2.000	0.00	09/23/20	52.00	...	-52.00	Option expiration- long call
	<b>Security total:</b>	<b>416.96</b>		<b>516.01</b>	<b>2.01 W</b>	<b>-97.04</b>	

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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KO 10/02/2020 CALL \$51.00 / CUSIP: / Symbol:							
09/23/20	5.000	84.98	09/18/20	315.00	...	-230.02	Option sale to close-call <sup>25</sup>
KODK 08/21/2020 CALL \$16.00 / CUSIP: / Symbol:							
08/18/20	1.000	24.98	08/18/20	45.00	...	-20.02	Option sale to close-call <sup>25</sup>
KODK 08/21/2020 PUT \$2.50 / CUSIP: / Symbol:							
08/10/20	1.000	4.98	07/29/20	10.00	...	-5.02	Option sale to close-put <sup>25</sup>
LMT 08/28/2020 CALL \$400.00 / CUSIP: / Symbol:							
08/28/20	1.000	49.98	08/27/20	95.00	...	-45.02	Option sale to close-call <sup>25</sup>
LRCX 09/04/2020 CALL \$360.00 / CUSIP: / Symbol:							
09/02/20	1.000	409.98	09/02/20	320.00	...	89.98	Option sale to close-call <sup>25</sup>
LULU 07/17/2020 CALL \$330.00 / CUSIP: / Symbol:							
07/09/20	1.000	419.98	07/09/20	330.00	...	89.98	Option sale to close-call <sup>25</sup>
LYFT 09/11/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/27/20	1.000	107.98	08/27/20	104.00	...	3.98	Option sale to close-call <sup>25</sup>
LYFT 09/18/2020 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	123.99	09/03/20	138.00	...	-14.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	123.99	09/03/20	137.00	...	-13.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	247.98	Various	275.00	...	-27.02	Total of 2 transactions
LYFT 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/10/20	1.000	114.98	09/09/20	102.00	...	12.98	Option sale to close-call <sup>25</sup>
LYFT 09/25/2020 CALL \$31.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	119.99	09/15/20	120.02	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.99	09/15/20	120.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	239.98	Various	240.02	...	-0.04	Total of 2 transactions
09/15/20	1.000	119.98	09/15/20	120.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>359.96</b>		<b>360.02</b>	<b>0.02 W</b>	<b>-0.04</b>	

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LYFT 10/02/2020 CALL \$31.00 / CUSIP: / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.000	31.98	09/18/20	244.00	...	-212.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	31.98	09/18/20	244.00	...	-212.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.98	09/18/20	122.00	...	-106.02	3 of 3 - Option sale to close-call <sup>25</sup>
09/23/20	5.000	79.94	Various	610.00	...	-530.06	Total of 3 transactions
MCD 08/28/2020 CALL \$215.00 / CUSIP: / Symbol:							
08/24/20	1.000	77.98	08/21/20	67.00	...	10.98	Option sale to close-call <sup>25</sup>
MCK 09/18/2020 CALL \$162.50 / CUSIP: / Symbol:							
09/11/20	1.000	24.98	08/27/20	188.00	...	-163.02	Option sale to close-call <sup>25</sup>
MNTA 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	08/20/20	20.00	...	-20.00	Option expiration- long call
MO 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/24/20	5.000	249.98	07/10/20	175.00	...	74.98	Option sale to close-call <sup>25</sup>
MRNA 04/17/2020 PUT \$31.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/02/20	240.00	...	-240.00	Option expiration- long put
MRNA 10/16/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/26/20	1.000	329.98	08/26/20	291.00	...	38.98	Option sale to close-call <sup>25</sup>
09/11/20	1.000	61.98	08/28/20	292.00	...	-230.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>391.96</b>		<b>583.00</b>	...	<b>-191.04</b>	
MSFT 03/20/2020 PUT \$160.00 / CUSIP: / Symbol:							
01/03/20	2.000	1,279.96	01/02/20	1,100.00	...	179.96	Option sale to close-put <sup>25</sup>
MSFT 04/17/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	5.000	2,599.94	01/30/20	2,550.00	...	49.94	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,039.97	01/31/20	880.00	...	159.97	2 of 2 - Option sale to close-call <sup>25</sup>
02/03/20	7.000	3,639.91	Various	3,430.00	...	209.91	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 04/17/2020 PUT \$165.00 / CUSIP: / Symbol:							
3 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	1.000	574.99	01/16/20	610.00	...	-35.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	574.98	01/16/20	600.00	...	-25.02	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	1,149.97	01/17/20	1,040.00	...	109.97	3 of 3 - Option sale to close-put <sup>25</sup>
01/22/20	4.000	2,299.94	Various	2,250.00	...	49.94	Total of 3 transactions
MSFT 07/24/2020 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	7.99	07/14/20	411.01	...	-403.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	7.99	07/14/20	370.00	...	-362.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	2.000	15.98	Various	781.01	...	-765.03	Total of 2 transactions
	<b>Security total:</b>	<b>495.94</b>		<b>2,298.02</b>	<b>651.02 W</b>	<b>-1,151.06</b>	
MSFT 08/07/2020 CALL \$212.50 / CUSIP: / Symbol:							
08/03/20	1.000	479.97	08/03/20	274.00	...	205.97	Option sale to close-call <sup>25</sup>
MSFT 09/04/2020 CALL \$240.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	08/31/20	75.00	...	-75.00	Option expiration- long call
MSFT 09/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
09/11/20	2.000	0.00	09/08/20	64.00	...	-64.00	Option expiration- long call
MSFT 10/16/2020 CALL \$235.00 / CUSIP: / Symbol:							
08/18/20	1.000	279.98	08/12/20	280.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
08/21/20	1.000	354.98	08/19/20	257.02	...	97.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>634.96</b>		<b>537.02</b>	<b>0.02 W</b>	<b>97.96</b>	
MSFT 10/16/2020 CALL \$250.00 / CUSIP: / Symbol:							
08/26/20	1.000	242.98	08/26/20	176.00	...	66.98	Option sale to close-call <sup>25</sup>
08/27/20	1.000	272.98	08/26/20	176.00	...	96.98	Option sale to close-call <sup>25</sup>
09/03/20	1.000	400.98	08/28/20	555.00	...	-154.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>916.94</b>		<b>907.00</b>	...	<b>9.94</b>	

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSFT 10/16/2020 CALL \$275.00 / CUSIP: / Symbol: 08/27/20	1.000	229.98	08/27/20	108.00	...	121.98	Option sale to close-call <sup>25</sup>
MSFT 10/16/2020 CALL \$300.00 / CUSIP: / Symbol: 09/11/20	1.000	22.98	08/27/20	152.00	...	-129.02	Option sale to close-call <sup>25</sup>
MSFT 10/30/2020 CALL \$215.00 / CUSIP: / Symbol: 09/11/20	1.000	826.97	09/10/20	962.00	...	-135.03	Option sale to close-call <sup>25</sup>
MSFT 10/30/2020 CALL \$220.00 / CUSIP: / Symbol: 09/14/20	1.000	111.97	09/11/20	0.00	...	111.97	Short sale closed- call <sup>25</sup> Option written: 09/10/20
MSFT 11/20/2020 CALL \$215.00 / CUSIP: / Symbol: 09/23/20	1.000	699.97	09/21/20	700.00	...	-0.03	Option sale to close-call <sup>25</sup>
MU 03/20/2020 PUT \$57.50 / CUSIP: / Symbol: 01/09/20	4.000	1,639.95	01/07/20	1,600.00	...	39.95	Option sale to close-put <sup>25</sup>
MU 09/18/2020 CALL \$57.50 / CUSIP: / Symbol: 2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.	1.000	38.99	08/06/20	55.01	...	-16.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	38.99	08/06/20	55.01	...	-16.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	77.98	Various	110.02	...	-32.04	Total of 2 transactions
	1.000	38.99	08/06/20	48.00	9.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	38.99	08/06/20	48.00	9.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	77.98	Various	96.00	18.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>155.96</b>		<b>206.02</b>	<b>18.02 W</b>	<b>-32.04</b>	
MU 10/09/2020 CALL \$46.00 / CUSIP: / Symbol: 08/31/20	1.000	272.98	08/28/20	274.00	...	-1.02	Option sale to close-call <sup>25</sup>
MU 10/16/2020 CALL \$45.00 / CUSIP: / Symbol: 09/11/20	1.000	309.98	09/10/20	333.00	...	-23.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

02/14/2021

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MU 10/16/2020 CALL \$50.00 / CUSIP: / Symbol:							
09/30/20	1.000	94.98	09/29/20	288.00	...	-193.02	Option sale to close-call <sup>25</sup>
NCLH 01/21/2022 CALL \$27.50 / CUSIP: / Symbol:							
09/08/20	1.000	574.97	07/20/20	430.00	...	144.97	Option sale to close-call <sup>25</sup>
	<i>3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	534.98	07/20/20	430.00	...	104.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	534.99	07/31/20	330.00	...	204.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	534.98	08/03/20	291.00	...	243.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/11/20	3.000	1,604.95	Various	1,051.00	...	553.95	Total of 3 transactions
	<b>Security total:</b>	<b>2,179.92</b>		<b>1,481.00</b>	...	<b>698.92</b>	
NCLH 09/11/2020 CALL \$19.00 / CUSIP: / Symbol:							
09/10/20	2.000	51.98	09/08/20	124.00	...	-72.02	Option sale to close-call <sup>25</sup>
NEE 10/16/2020 CALL \$330.00 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	09/15/20	172.00	...	-172.00	Option expiration- long call
NFLX 07/17/2020 CALL \$900.00 / CUSIP: / Symbol:							
07/17/20	5.000	0.00	07/16/20	15.00	...	-15.00	Option expiration- long call
NFLX 08/21/2020 CALL \$510.00 / CUSIP: / Symbol:							
08/21/20	1.000	8.98	08/20/20	55.00	...	-46.02	Option sale to close-call <sup>25</sup>
NFLX 08/28/2020 CALL \$505.00 / CUSIP: / Symbol:							
08/25/20	1.000	349.98	08/24/20	265.00	...	84.98	Option sale to close-call <sup>25</sup>
NFLX 08/28/2020 CALL \$510.00 / CUSIP: / Symbol:							
08/26/20	1.000	288.98	08/24/20	191.00	...	97.98	Option sale to close-call <sup>25</sup>
NFLX 08/28/2020 CALL \$540.00 / CUSIP: / Symbol:							
08/26/20	1.000	102.98	08/26/20	105.00	...	-2.02	Option sale to close-call <sup>25</sup>
NFLX 08/28/2020 PUT \$525.00 / CUSIP: / Symbol:							
08/26/20	1.000	634.97	08/26/20	750.00	...	-115.03	Option sale to close-put <sup>25</sup>
NFLX 09/04/2020 CALL \$565.00 / CUSIP: / Symbol:							
08/31/20	1.000	364.98	08/31/20	245.00	...	119.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NFLX 09/04/2020 CALL \$595.00 / CUSIP: / Symbol:							
09/02/20	1.000	159.98	09/02/20	118.00	...	41.98	Option sale to close-call <sup>25</sup>
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	37.99	09/02/20	106.00	...	-68.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	37.99	09/02/20	102.00	...	-64.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	75.98	Various	208.00	...	-132.02	Total of 2 transactions
	<b>Security total:</b>	<b>235.96</b>		<b>326.00</b>	...	<b>-90.04</b>	
NFLX 09/04/2020 CALL \$650.00 / CUSIP: / Symbol:							
08/27/20	1.000	103.98	08/26/20	191.00	...	-87.02	Option sale to close-call <sup>25</sup>
NIO 09/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/24/20	2.000	269.98	08/24/20	208.00	...	61.98	Option sale to close-call <sup>25</sup>
NIO 09/25/2020 CALL \$17.00 / CUSIP: / Symbol:							
08/25/20	2.000	403.98	08/25/20	288.00	...	115.98	Option sale to close-call <sup>25</sup>
NKLA 08/07/2020 CALL \$36.00 / CUSIP: / Symbol:							
08/03/20	1.000	346.98	07/30/20	74.00	...	272.98	Option sale to close-call <sup>25</sup>
NKLA 08/07/2020 CALL \$50.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	08/04/20	90.00	...	-90.00	Option expiration- long call
NKLA 08/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
08/13/20	1.000	114.98	08/11/20	130.00	...	-15.02	Option sale to close-call <sup>25</sup>
NKLA 09/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/11/20	1.000	4.98	09/08/20	360.00	...	-355.02	Option sale to close-call <sup>25</sup>
NKLA 09/25/2020 PUT \$34.00 / CUSIP: / Symbol:							
09/11/20	1.000	709.97	09/11/20	490.00	...	219.97	Option sale to close-put <sup>25</sup>
NVAX 09/18/2020 CALL \$119.00 / CUSIP: / Symbol:							
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	2.000	289.99	09/10/20	310.00	...	-20.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	144.99	09/10/20	77.00	...	67.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	3.000	434.98	Various	387.00	...	47.98	Total of 2 transactions

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVDA 07/17/2020 CALL \$420.00 / CUSIP: / Symbol:							
07/14/20	2.000	939.96	07/14/20	870.00	...	69.96	Option sale to close-call <sup>25</sup>
NVDA 07/17/2020 CALL \$440.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	159.99	07/13/20	435.00	...	-275.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	159.99	07/13/20	279.00	...	-119.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	159.99	07/13/20	192.00	...	-32.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/13/20	3.000	479.97	Various	906.00	...	-426.03	Total of 3 transactions
NVDA 08/21/2020 CALL \$600.00 / CUSIP: / Symbol:							
08/18/20	1.000	34.98	08/17/20	61.00	...	-26.02	Option sale to close-call <sup>25</sup>
NVDA 08/28/2020 CALL \$530.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	150.98	08/26/20	150.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	219.98	08/26/20	135.00	...	84.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	370.96	Various	285.00	...	85.96	Total of 2 transactions
NVDA 09/04/2020 CALL \$530.00 / CUSIP: / Symbol:							
08/26/20	1.000	624.97	08/24/20	840.00	...	-215.03	Option sale to close-call <sup>25</sup>
NVDA 09/04/2020 CALL \$660.00 / CUSIP: / Symbol:							
09/02/20	1.000	89.98	09/02/20	93.00	...	-3.02	Option sale to close-call <sup>25</sup>
NVDA 09/11/2020 CALL \$540.00 / CUSIP: / Symbol:							
09/08/20	1.000	319.98	09/08/20	214.00	...	105.98	Option sale to close-call <sup>25</sup>
3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	183.98	09/08/20	102.00	...	81.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	171.98	09/09/20	155.00	...	16.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	246.98	09/09/20	208.00	...	38.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/09/20	3.000	602.94	Various	465.00	...	137.94	Total of 3 transactions
	<b>Security total:</b>	<b>922.92</b>		<b>679.00</b>	...	<b>243.92</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NVDA 09/18/2020 CALL \$520.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,049.96	09/15/20	855.03	...	194.93	Option sale to close-call <sup>25</sup>
09/15/20	1.000	864.97	09/15/20	865.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,914.93</b>		<b>1,720.03</b>	<b>0.03 W</b>	<b>194.93</b>	
ORCL 08/21/2020 CALL \$56.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	85.98	08/18/20	53.00	...	32.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	127.98	08/18/20	53.00	...	74.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	2.000	213.96	Various	106.00	...	107.96	Total of 2 transactions
ORCL 09/11/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/27/20	1.000	123.98	08/26/20	58.00	...	65.98	Option sale to close-call <sup>25</sup>
09/10/20	1.000	86.98	08/27/20	83.00	...	3.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>210.96</b>		<b>141.00</b>	<b>...</b>	<b>69.96</b>	
ORCL 09/18/2020 CALL \$61.00 / CUSIP: / Symbol:							
09/14/20	1.000	74.98	09/14/20	173.00	...	-98.02	Option sale to close-call <sup>25</sup>
OSTK 08/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/18/20	1.000	184.98	08/18/20	200.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
08/19/20	1.000	279.98	08/19/20	285.02	...	-5.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>464.96</b>		<b>485.02</b>	<b>15.02 W</b>	<b>-5.04</b>	
OSTK 09/04/2020 CALL \$125.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	08/28/20	360.00	...	-360.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/28/20	170.00	...	-170.00	2 of 2 - Option expiration- long call
09/04/20	2.000	0.00	Various	530.00	...	-530.00	Total of 2 transactions
OXY 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
09/11/20	2.000	309.98	08/21/20	574.00	...	-264.02	Option sale to close-call <sup>25</sup>
PAGS 02/21/2020 CALL \$35.00 / CUSIP: / Symbol:							
01/17/20	4.000	1,139.96	01/16/20	980.00	...	159.96	Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PAGS 05/15/2020 CALL \$35.00 / CUSIP: / Symbol:							
01/22/20	1.000	439.98	01/21/20	360.00	...	79.98	Option sale to close-call <sup>25</sup>
PBR 08/21/2020 CALL \$10.50 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	07/22/20	15.00	...	-15.00	Option expiration- long call
PCG 09/04/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	35.99	08/31/20	76.00	...	-40.01	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	53.99	09/01/20	54.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	5.000	89.98	Various	130.00	...	-40.02	Total of 2 transactions
PENN 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/17/20	1.000	295.98	09/17/20	296.00	...	-0.02	Option sale to close-call <sup>25</sup>
PEP 10/02/2020 CALL \$131.00 / CUSIP: / Symbol:							
09/22/20	1.000	297.98	09/21/20	233.00	...	64.98	Option sale to close-call <sup>25</sup>
PINS 09/18/2020 CALL \$38.00 / CUSIP: / Symbol:							
09/15/20	2.000	87.98	09/01/20	432.00	...	-344.02	Option sale to close-call <sup>25</sup>
PLAY 09/18/2020 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	209.98	08/28/20	145.00	...	64.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	209.98	08/28/20	145.00	...	64.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	419.96	Various	290.00	...	129.96	Total of 2 transactions
PTON 09/11/2020 CALL \$67.00 / CUSIP: / Symbol:							
08/27/20	1.000	784.97	08/26/20	535.00	...	249.97	Option sale to close-call <sup>25</sup>
PTON 09/11/2020 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	254.98	08/26/20	226.00	...	28.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	284.98	08/26/20	226.00	...	58.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	275.98	08/26/20	172.00	...	103.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	815.94	Various	624.00	...	191.94	Total of 3 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PTON 09/25/2020 CALL \$98.00 / CUSIP: / Symbol:							
09/21/20	2.000	257.98	09/21/20	444.00	...	-186.02	Option sale to close-call <sup>25</sup>
PTON 10/02/2020 CALL \$100.00 / CUSIP: / Symbol:							
09/15/20	1.000	195.98	09/15/20	196.00	...	-0.02	Option sale to close-call <sup>25</sup>
PYPL 09/04/2020 CALL \$202.50 / CUSIP: / Symbol:							
08/26/20	1.000	469.97	08/25/20	393.00	...	76.97	Option sale to close-call <sup>25</sup>
PYPL 09/18/2020 CALL \$190.00 / CUSIP: / Symbol:							
09/14/20	1.000	181.98	09/14/20	250.00	...	-68.02	Option sale to close-call <sup>25</sup>
PYPL 09/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/16/20	1.000	15.98	09/04/20	405.00	...	-389.02	Option sale to close-call <sup>25</sup>
PYPL 11/20/2020 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	2.000	239.99	09/29/20	214.00	...	25.99	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	359.98	09/29/20	333.00	...	26.98	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	599.98	09/29/20	555.00	...	44.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/02/20	10.000	1,199.95	Various	1,102.00	...	97.95	Total of 3 transactions
4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	5.000	1,069.96	10/02/20	645.00	...	424.96	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,069.97	10/02/20	615.00	...	454.97	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,069.96	10/02/20	600.00	...	469.96	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,069.97	10/06/20	505.00	...	564.97	4 of 4 - Option sale to close-call <sup>25</sup>
10/21/20	20.000	4,279.86	Various	2,365.00	...	1,914.86	Total of 4 transactions
10/22/20	5.000	1,109.96	10/06/20	505.00	...	604.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>6,589.77</b>		<b>3,972.00</b>	...	<b>2,617.77</b>	
QCOM 08/14/2020 CALL \$111.00 / CUSIP: / Symbol:							
08/11/20	1.000	264.98	08/11/20	241.00	...	23.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QCOM 08/21/2020 CALL \$117.00 / CUSIP: / Symbol:							
08/17/20	1.000	49.98	08/17/20	30.00	...	19.98	Option sale to close-call <sup>25</sup>
08/21/20	2.000	0.00	08/18/20	50.00	...	-50.00	Option expiration- long call
	<b>Security total:</b>	<b>49.98</b>		<b>80.00</b>	...	<b>-30.02</b>	
QQQ 08/21/2020 PUT \$270.00 / CUSIP: / Symbol:							
08/20/20	2.000	45.98	08/20/20	82.00	...	-36.02	Option sale to close-put <sup>25</sup>
QQQ 08/28/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/21/20	1.000	185.98	08/21/20	152.00	...	33.98	Option sale to close-call <sup>25</sup>
RCL 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
08/19/20	1.000	324.98	08/17/20	257.00	...	67.98	Option sale to close-call <sup>25</sup>
08/24/20	1.000	314.98	08/20/20	240.00	...	74.98	Option sale to close-call <sup>25</sup>
08/27/20	1.000	319.98	08/26/20	234.00	...	85.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>959.94</b>		<b>731.00</b>	...	<b>228.94</b>	
RCL 09/04/2020 CALL \$75.00 / CUSIP: / Symbol:							
08/28/20	1.000	134.98	08/28/20	86.00	...	48.98	Option sale to close-call <sup>25</sup>
RCL 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/16/20	1.000	119.98	09/15/20	107.00	...	12.98	Option sale to close-call <sup>25</sup>
REGN 09/04/2020 CALL \$650.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	13.99	08/27/20	285.00	...	-271.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	13.99	08/28/20	115.00	...	-101.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	27.98	Various	400.00	...	-372.02	Total of 2 transactions
RKT 09/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
	<i>3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	129.99	08/17/20	100.00	...	29.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	259.98	08/17/20	200.00	...	59.98	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	649.98	08/17/20	515.00	...	134.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/18/20	8.000	1,039.95	Various	815.00	...	224.95	Total of 3 transactions
08/19/20	2.000	309.98	08/17/20	200.00	...	109.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RKT 09/18/2020 CALL \$22.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,349.93</b>		<b>1,015.00</b>	...	<b>334.93</b>	
RKT 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/21/20	1.000	182.98	08/21/20	175.00	...	7.98	Option sale to close-call <sup>25</sup>
ROKU 07/17/2020 CALL \$157.50 / CUSIP: / Symbol:							
07/16/20	1.000	344.98	07/16/20	274.00	...	70.98	Option sale to close-call <sup>25</sup>
ROKU 08/28/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/26/20	2.000	659.97	08/25/20	160.00	...	499.97	Option sale to close-call <sup>25</sup>
ROKU 08/28/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/18/20	1.000	245.98	08/14/20	274.00	...	-28.02	Option sale to close-call <sup>25</sup>
ROKU 09/04/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/19/20	1.000	619.97	08/19/20	565.00	...	54.97	Option sale to close-call <sup>25</sup>
ROKU 09/11/2020 CALL \$185.00 / CUSIP: / Symbol:							
09/01/20	1.000	629.97	09/01/20	695.00	...	-65.03	Option sale to close-call <sup>25</sup>
ROKU 09/18/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/17/20	1.000	344.98	09/17/20	435.00	...	-90.02	Option sale to close-call <sup>25</sup>
ROKU 09/18/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	198.98	09/15/20	252.00	...	-53.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.98	09/15/20	252.00	...	-52.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	398.96	Various	504.00	...	-105.04	Total of 2 transactions
ROKU 09/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
08/26/20	6.000	119.98	08/19/20	102.00	...	17.98	Option sale to close-call <sup>25</sup>
ROKU 09/18/2020 PUT \$165.00 / CUSIP: / Symbol:							
09/16/20	1.000	193.98	09/16/20	194.00	...	-0.02	Option sale to close-put <sup>25</sup>
ROKU 09/25/2020 CALL \$177.50 / CUSIP: / Symbol:							
09/21/20	1.000	739.97	09/21/20	695.00	...	44.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROKU 09/25/2020 CALL \$205.00 / CUSIP: / Symbol:							
09/23/20	2.000	539.97	09/22/20	534.00	...	5.97	Option sale to close-call <sup>25</sup>
ROKU 10/02/2020 CALL \$160.00 / CUSIP: / Symbol:							
09/21/20	1.000	1,299.96	09/18/20	770.00	...	529.96	Option sale to close-call <sup>25</sup>
ROKU 10/16/2020 CALL \$225.00 / CUSIP: / Symbol:							
09/01/20	1.000	529.97	08/28/20	480.00	...	49.97	Option sale to close-call <sup>25</sup>
ROKU 11/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/17/20	1.000	864.97	09/15/20	863.00	...	1.97	Option sale to close-call <sup>25</sup>
SAVE 12/18/2020 CALL \$17.50 / CUSIP: / Symbol:							
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	389.98	08/19/20	350.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	08/21/20	320.00	...	79.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	789.96	Various	670.00	...	119.96	Total of 2 transactions
SBAC 03/20/2020 CALL \$240.00 / CUSIP: / Symbol:							
03/19/20	1.000	1,099.96	03/19/20	900.00	...	199.96	Option sale to close-call <sup>25</sup>
SBAC 04/17/2020 CALL \$240.00 / CUSIP: / Symbol:							
03/24/20	1.000	1,499.95	03/24/20	2,445.08	...	-945.13	Option sale to close-call <sup>25</sup>
	2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	1.000	1,099.96	03/20/20	2,100.00	1,000.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,349.96	03/20/20	2,600.04	1,250.08 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/24/20	2.000	2,449.92	Various	4,700.04	2,250.12 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,949.87</b>		<b>7,145.12</b>	<b>2,250.12 W</b>	<b>-945.13</b>	
SBAC 06/19/2020 PUT \$250.00 / CUSIP: / Symbol:							
	2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	1.000	3,099.93	03/12/20	1,800.00	...	1,299.93	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	3,099.92	03/16/20	3,320.00	...	-220.08	2 of 2 - Option sale to close-put <sup>25</sup>
03/18/20	2.000	6,199.85	Various	5,120.00	...	1,079.85	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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SBAC 06/19/2020 PUT \$300.00 / CUSIP: / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	2,179.95	03/04/20	1,900.00	...	279.95	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	2,179.94	03/04/20	1,800.00	...	379.94	2 of 2 - Option sale to close-put <sup>25</sup>
03/05/20	2.000	4,359.89	Various	3,700.00	...	659.89	Total of 2 transactions
SBUX 08/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	149.98	07/08/20	134.00	...	15.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	149.99	07/08/20	134.00	...	15.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	299.99	07/09/20	226.00	...	73.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/13/20	4.000	599.96	Various	494.00	...	105.96	Total of 3 transactions
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	159.99	07/13/20	119.00	...	40.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	159.99	07/13/20	119.00	...	40.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	2.000	319.98	Various	238.00	...	81.98	Total of 2 transactions
07/21/20	2.000	319.98	07/16/20	222.00	...	97.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,239.92</b>		<b>954.00</b>	...	<b>285.92</b>	
SBUX 10/16/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/25/20	1.000	475.97	08/18/20	280.00	...	195.97	Option sale to close-call <sup>25</sup>
SBUX 10/16/2020 CALL \$87.50 / CUSIP: / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	209.99	09/17/20	255.00	...	-45.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	209.99	09/17/20	255.00	...	-45.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/06/20	2.000	419.98	Various	510.00	...	-90.02	Total of 2 transactions
SHLL 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
09/01/20	1.000	569.97	09/01/20	560.00	...	9.97	Option sale to close-call <sup>25</sup>
SHOP 09/25/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
09/22/20	1.000	429.98	09/21/20	430.00	...	-0.02	Option sale to close-call <sup>25</sup>
SLV 09/18/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/18/20	3.000	359.98	08/13/20	360.00	...	-0.02	Option sale to close-call <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SNAP 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	184.99	09/28/20	174.00	...	10.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	369.98	09/28/20	348.00	...	21.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/20	3.000	554.97	Various	522.00	...	32.97	Total of 2 transactions
SNAP 09/18/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	64.98	09/02/20	104.02	...	-39.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.99	09/02/20	81.01	...	-16.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	2.000	129.97	Various	185.03	...	-55.06	Total of 2 transactions
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	145.98	09/02/20	154.00	8.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.99	09/02/20	80.01	15.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	3.000	210.97	Various	234.01	23.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>340.94</b>		<b>419.04</b>	<b>23.04 W</b>	<b>-55.06</b>	
SNAP 09/25/2020 CALL \$23.00 / CUSIP: / Symbol:							
09/02/20	2.000	305.98	09/02/20	282.00	...	23.98	Option sale to close-call <sup>25</sup>
SNAP 10/16/2020 CALL \$28.00 / CUSIP: / Symbol:							
09/15/20	1.000	46.98	09/14/20	39.00	...	7.98	Option sale to close-call <sup>25</sup>
09/17/20	4.000	155.98	09/14/20	156.00	...	-0.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>202.96</b>		<b>195.00</b>	...	<b>7.96</b>	
SPCE 08/28/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/21/20	1.000	286.98	07/20/20	174.00	...	112.98	Option sale to close-call <sup>25</sup>
SPLK 03/20/2020 PUT \$155.00 / CUSIP: / Symbol:							
01/15/20	2.000	1,719.95	01/14/20	1,640.00	...	79.95	Option sale to close-put <sup>25</sup>
SPOT 07/24/2020 CALL \$300.00 / CUSIP: / Symbol:							
07/22/20	1.000	769.97	07/22/20	650.00	...	119.97	Option sale to close-call <sup>25</sup>
SPOT 09/18/2020 CALL \$340.00 / CUSIP: / Symbol:							
08/31/20	1.000	174.98	08/28/20	215.00	...	-40.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPWR 10/16/2020 CALL \$17.00 / CUSIP: / Symbol:							
10/07/20	1.000	117.98	10/06/20	49.00	...	68.98	Option sale to close-call <sup>25</sup>
SPY 07/17/2020 CALL \$317.00 / CUSIP: / Symbol:							
07/14/20	1.000	172.98	07/14/20	193.00	...	-20.02	Option sale to close-call <sup>25</sup>
SPY 08/21/2020 CALL \$350.00 / CUSIP: / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	2.000	47.99	07/08/20	94.00	...	-46.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	48.00	07/29/20	24.00	...	24.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	71.99	07/29/20	36.00	...	35.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	7.000	167.98	Various	154.00	...	13.98	Total of 3 transactions
08/06/20	4.000	95.99	07/07/20	212.00	58.00 W	-58.01	Option sale to close-call <sup>25</sup>
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/07/20	50.00	...	-50.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/10/20	44.00	...	-44.00	2 of 2 - Option expiration- long call
08/21/20	2.000	0.00	Various	94.00	...	-94.00	Total of 2 transactions
	<b>Security total:</b>	<b>263.97</b>		<b>460.00</b>	<b>58.00 W</b>	<b>-138.03</b>	
SPY 08/26/2020 CALL \$343.00 / CUSIP: / Symbol:							
08/24/20	1.000	62.98	08/24/20	74.00	...	-11.02	Option sale to close-call <sup>25</sup>
SPY 08/28/2020 PUT \$346.00 / CUSIP: / Symbol:							
08/28/20	1.000	21.98	08/27/20	62.00	...	-40.02	Option sale to close-put <sup>25</sup>
SPY 09/02/2020 CALL \$348.00 / CUSIP: / Symbol:							
08/26/20	1.000	116.98	08/25/20	102.00	...	14.98	Option sale to close-call <sup>25</sup>
SPY 09/04/2020 CALL \$348.00 / CUSIP: / Symbol:							
09/03/20	1.000	91.98	09/03/20	191.00	...	-99.02	Option sale to close-call <sup>25</sup>
SPY 09/04/2020 PUT \$342.00 / CUSIP: / Symbol:							
09/03/20	1.000	223.98	09/03/20	149.00	...	74.98	Option sale to close-put <sup>25</sup>
SPY 09/09/2020 CALL \$340.00 / CUSIP: / Symbol:							
09/08/20	2.000	299.98	09/08/20	250.00	...	49.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/11/2020 CALL \$343.00 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	182.98	09/09/20	181.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	247.98	09/09/20	181.00	...	66.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	430.96	Various	362.00	...	68.96	Total of 2 transactions
SPY 09/11/2020 PUT \$330.00 / CUSIP: / Symbol:							
09/09/20	1.000	67.98	09/09/20	132.00	...	-64.02	Option sale to close-put <sup>25</sup>
SPY 09/16/2020 CALL \$340.00 / CUSIP: / Symbol:							
09/14/20	1.000	214.98	09/14/20	219.00	...	-4.02	Option sale to close-call <sup>25</sup>
SPY 09/16/2020 PUT \$330.00 / CUSIP: / Symbol:							
09/15/20	2.000	29.98	09/14/20	94.00	...	-64.02	Option sale to close-put <sup>25</sup>
SPY 09/18/2020 CALL \$341.00 / CUSIP: / Symbol:							
09/18/20	5.000	0.00	09/17/20	250.00	...	-250.00	Option expiration- long call
SPY 09/21/2020 CALL \$336.00 / CUSIP: / Symbol:							
09/14/20	1.000	499.97	09/11/20	383.00	...	116.97	Option sale to close-call <sup>25</sup>
SPY 09/21/2020 CALL \$337.00 / CUSIP: / Symbol:							
17 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	44.000	0.00	09/18/20	748.00	...	-748.00	1 of 17 - Option expiration- long call
	29.000	0.00	09/18/20	609.00	...	-609.00	2 of 17 - Option expiration- long call
	15.000	0.00	09/18/20	315.00	...	-315.00	3 of 17 - Option expiration- long call
	13.000	0.00	09/18/20	247.00	...	-247.00	4 of 17 - Option expiration- long call
	10.000	0.00	09/18/20	190.00	...	-190.00	5 of 17 - Option expiration- long call
	8.000	0.00	09/18/20	152.00	...	-152.00	6 of 17 - Option expiration- long call
	8.000	0.00	09/18/20	152.00	...	-152.00	7 of 17 - Option expiration- long call

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/21/2020 CALL \$337.00 / CUSIP: / Symbol: (cont'd)							
	6.000	0.00	09/18/20	126.00	...	-126.00	8 of 17 - Option expiration- long call
	5.000	0.00	09/18/20	95.00	...	-95.00	9 of 17 - Option expiration- long call
	3.000	0.00	09/18/20	51.00	...	-51.00	10 of 17 - Option expiration- long call
	3.000	0.00	09/18/20	51.00	...	-51.00	11 of 17 - Option expiration- long call
	1.000	0.00	09/18/20	19.00	...	-19.00	12 of 17 - Option expiration- long call
	1.000	0.00	09/18/20	19.00	...	-19.00	13 of 17 - Option expiration- long call
	1.000	0.00	09/18/20	19.00	...	-19.00	14 of 17 - Option expiration- long call
	1.000	0.00	09/18/20	19.00	...	-19.00	15 of 17 - Option expiration- long call
	1.000	0.00	09/18/20	19.00	...	-19.00	16 of 17 - Option expiration- long call
	1.000	0.00	09/18/20	19.00	...	-19.00	17 of 17 - Option expiration- long call
09/21/20	150.000	0.00	Various	2,850.00	...	-2,850.00	Total of 17 transactions
SPY 09/23/2020 CALL \$333.00 / CUSIP: / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	10.000	619.96	09/21/20	310.00	...	309.96	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	619.96	09/21/20	310.00	...	309.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/22/20	20.000	1,239.92	Various	620.00	...	619.92	Total of 2 transactions
SPY 09/23/2020 CALL \$335.00 / CUSIP: / Symbol:							
09/21/20	10.000	219.97	09/21/20	160.00	...	59.97	Option sale to close-call <sup>25</sup>
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	09/21/20	16.00	...	13.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	269.97	09/21/20	144.00	...	125.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/22/20	10.000	299.95	Various	160.00	...	139.95	Total of 2 transactions
	<b>Security total:</b>	<b>519.92</b>		<b>320.00</b>	...	<b>199.92</b>	

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 09/30/2020 CALL \$350.00 / CUSIP: / Symbol:							
08/28/20	1.000	699.97	08/27/20	643.00	...	56.97	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	991.96	08/31/20	694.00	...	297.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,056.96	08/31/20	694.00	...	362.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	2,048.92	Various	1,388.00	...	660.92	Total of 2 transactions
09/03/20	2.000	2,199.94	08/31/20	1,398.00	...	801.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,948.83</b>		<b>3,429.00</b>	...	<b>1,519.83</b>	
SPY 10/02/2020 PUT \$330.00 / CUSIP: / Symbol:							
10/02/20	1.000	78.98	10/01/20	33.00	...	45.98	Option sale to close-put <sup>25</sup>
SPY 10/02/2020 PUT \$334.00 / CUSIP: / Symbol:							
10/02/20	1.000	174.98	10/01/20	175.00	...	-0.02	Option sale to close-put <sup>25</sup>
SPY 10/05/2020 PUT \$327.00 / CUSIP: / Symbol:							
10/05/20	5.000	0.00	10/02/20	235.00	...	-235.00	Option expiration- long put
SQ 08/07/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/05/20	1.000	135.98	08/05/20	149.00	...	-13.02	Option sale to close-call <sup>25</sup>
SQ 08/07/2020 CALL \$180.00 / CUSIP: / Symbol:							
		<i>2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	0.00	08/05/20	23.00	...	-23.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/05/20	23.00	...	-23.00	2 of 2 - Option expiration- long call
08/07/20	2.000	0.00	Various	46.00	...	-46.00	Total of 2 transactions
SQ 09/04/2020 CALL \$165.00 / CUSIP: / Symbol:							
		<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	499.97	08/27/20	415.00	...	84.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	514.97	08/27/20	415.00	...	99.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	1,014.94	Various	830.00	...	184.94	Total of 2 transactions
SQ 09/04/2020 CALL \$180.00 / CUSIP: / Symbol:							
09/02/20	1.000	36.98	09/02/20	40.00	...	-3.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 09/18/2020 CALL \$148.00 / CUSIP: / Symbol:							
09/15/20	1.000	699.97	09/14/20	151.00	...	548.97	Option sale to close-call <sup>25</sup>
SQ 09/25/2020 CALL \$143.00 / CUSIP: / Symbol:							
09/21/20	1.000	499.97	09/21/20	425.00	...	74.97	Option sale to close-call <sup>25</sup>
SQ 10/02/2020 CALL \$155.00 / CUSIP: / Symbol:							
09/23/20	1.000	759.97	09/22/20	635.00	...	124.97	Option sale to close-call <sup>25</sup>
SQ 10/09/2020 CALL \$165.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	649.97	09/28/20	515.00	...	134.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	649.97	09/28/20	515.00	...	134.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/20	2.000	1,299.94	Various	1,030.00	...	269.94	Total of 2 transactions
SQ 10/09/2020 CALL \$175.00 / CUSIP: / Symbol:							
10/05/20	1.000	604.97	10/02/20	380.00	...	224.97	Option sale to close-call <sup>25</sup>
SQQQ 09/04/2020 CALL \$23.50 / CUSIP: / Symbol:							
09/04/20	2.000	29.98	09/03/20	122.00	...	-92.02	Option sale to close-call <sup>25</sup>
SQQQ 09/11/2020 PUT \$22.50 / CUSIP: / Symbol:							
<i>3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	09/10/20	50.00	...	-50.00	1 of 3 - Option expiration- long put
	1.000	0.00	09/10/20	25.00	...	-25.00	2 of 3 - Option expiration- long put
	1.000	0.00	09/10/20	25.00	...	-25.00	3 of 3 - Option expiration- long put
09/11/20	4.000	0.00	Various	100.00	...	-100.00	Total of 3 transactions
SQQQ 09/18/2020 CALL \$25.50 / CUSIP: / Symbol:							
09/10/20	2.000	387.98	09/10/20	290.00	...	97.98	Option sale to close-call <sup>25</sup>
SQQQ 09/18/2020 CALL \$28.00 / CUSIP: / Symbol:							
09/16/20	1.000	10.98	09/14/20	46.00	...	-35.02	Option sale to close-call <sup>25</sup>
SQQQ 10/02/2020 PUT \$22.50 / CUSIP: / Symbol:							
10/02/20	2.000	0.00	10/01/20	68.00	...	-68.00	Option expiration- long put

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQQQ 10/09/2020 CALL \$24.50 / CUSIP: / Symbol:							
10/06/20	3.000	218.98	10/05/20	111.00	...	107.98	Option sale to close-call <sup>25</sup>
TDOC 08/21/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/13/20	1.000	184.98	08/12/20	103.00	...	81.98	Option sale to close-call <sup>25</sup>
08/17/20	1.000	69.98	08/14/20	55.00	...	14.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>254.96</b>		<b>158.00</b>	...	<b>96.96</b>	
TDOC 08/28/2020 CALL \$250.00 / CUSIP: / Symbol:							
08/21/20	1.000	200.98	08/21/20	245.00	...	-44.02	Option sale to close-call <sup>25</sup>
TEAM 08/28/2020 CALL \$175.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	319.98	08/25/20	150.00	...	169.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	319.98	08/25/20	150.00	...	169.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	639.96	Various	300.00	...	339.96	Total of 2 transactions
TGT 08/21/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/19/20	1.000	299.98	08/19/20	302.02	...	-2.04	Option sale to close-call <sup>25</sup>
08/19/20	1.000	154.98	08/19/20	160.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>454.96</b>		<b>462.02</b>	<b>5.02 W</b>	<b>-2.04</b>	
TGT 08/21/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/19/20	1.000	97.98	08/19/20	98.00	...	-0.02	Option sale to close-call <sup>25</sup>
TGT 09/04/2020 PUT \$149.00 / CUSIP: / Symbol:							
08/27/20	1.000	127.98	08/24/20	107.00	...	20.98	Option sale to close-put <sup>25</sup>
TSLA 01/15/2021 CALL \$800.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	3,399.92	09/02/20	3,775.00	375.08 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3,399.91	09/02/20	3,450.00	50.09 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	2.000	6,799.83	Various	7,225.00	425.17 W	0.00	Total of 2 transactions
	<i>2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	3,449.91	09/16/20	3,775.08	325.17 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>

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Account 146515176

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 01/15/2021 CALL \$800.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3,449.91	09/16/20	3,450.09	0.18 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/18/20	2.000	6,899.82	Various	7,225.17	325.35 W	0.00	Total of 2 transactions
09/21/20	1.000	3,604.91	09/18/20	3,930.17	325.26 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	404.99	09/21/20	3,240.18	...	-2,835.19	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	404.98	09/25/20	1,680.26	...	-1,275.28	2 of 2 - Option sale to close-call <sup>25</sup>
10/22/20	2.000	809.97	Various	4,920.44	...	-4,110.47	Total of 2 transactions
	<b>Security total:</b>	<b>18,114.53</b>		<b>23,300.78</b>	<b>1,075.78 W</b>	<b>-4,110.47</b>	
TSLA 07/17/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
07/15/20	1.000	229.98	07/14/20	950.00	720.02 W	0.00	Option sale to close-call <sup>25</sup>
07/17/20	1.000	0.00	07/15/20	1,021.02	...	-1,021.02	Option expiration- long call
	<b>Security total:</b>	<b>229.98</b>		<b>1,971.02</b>	<b>720.02 W</b>	<b>-1,021.02</b>	
TSLA 07/17/2020 CALL \$2,500.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/14/20	204.00	...	-204.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/15/20	128.00	...	-128.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	332.00	...	-332.00	Total of 2 transactions
TSLA 07/24/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	2,249.94	07/22/20	3,130.00	...	-880.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,824.92	07/22/20	2,715.00	...	109.92	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	5,074.86	Various	5,845.00	...	-770.14	Total of 2 transactions
TSLA 07/31/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	07/30/20	6.00	...	-4.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1.99	07/30/20	6.00	...	-4.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	4.000	3.98	Various	12.00	...	-8.02	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 08/14/2020 CALL \$1,690.00 / CUSIP: / Symbol:							
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	218.98	08/12/20	246.00	...	-27.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	162.98	08/12/20	140.00	...	22.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	162.98	08/12/20	136.00	...	26.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	184.98	08/12/20	109.00	...	75.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/12/20	4.000	729.92	Various	631.00	...	98.92	Total of 4 transactions
TSLA 08/14/2020 CALL \$1,790.00 / CUSIP: / Symbol:							
08/12/20	1.000	106.98	08/12/20	110.00	...	-3.02	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	177.98	08/12/20	176.00	...	1.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	81.98	08/12/20	57.00	...	24.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	148.98	08/12/20	54.00	...	94.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/12/20	3.000	408.94	Various	287.00	...	121.94	Total of 3 transactions
08/13/20	1.000	364.98	08/13/20	166.00	...	198.98	Option sale to close-call <sup>25</sup>
08/14/20	1.000	14.98	08/13/20	224.00	...	-209.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>788.90</b>		<b>677.00</b>	...	<b>111.90</b>	
TSLA 08/14/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	198.99	08/12/20	178.00	...	20.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	198.99	08/12/20	150.00	...	48.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	397.98	Various	328.00	...	69.98	Total of 2 transactions
08/13/20	1.000	9.98	08/13/20	162.00	...	-152.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>407.96</b>		<b>490.00</b>	...	<b>-82.04</b>	
TSLA 08/14/2020 CALL \$2,500.00 / CUSIP: / Symbol:							
08/12/20	2.000	21.98	08/12/20	54.00	...	-32.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 08/21/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1,269.96	08/14/20	1,480.00	210.04 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,079.96	08/14/20	1,235.04	155.08 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	699.97	08/14/20	835.08	135.11 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	774.97	08/14/20	885.11	110.14 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/14/20	4.000	3,824.86	Various	4,435.23	610.37 W	0.00	Total of 4 transactions
08/17/20	1.000	924.96	08/17/20	1,010.14	...	-85.18	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,749.82</b>		<b>5,445.37</b>	<b>610.37 W</b>	<b>-85.18</b>	

TSLA 08/21/2020 CALL \$2,000.00 / CUSIP: / Symbol:

14 transactions for 08/17/20. Total proceeds and cost reported to the IRS.

	1.000	2,104.94	08/17/20	2,205.10	...	-100.16	1 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,169.96	08/17/20	1,165.00	...	4.96	2 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,099.96	08/17/20	1,090.00	...	9.96	3 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,349.96	08/17/20	1,330.00	...	19.96	4 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,134.96	08/17/20	1,114.47	...	20.49	5 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,079.96	08/17/20	1,055.00	...	24.96	6 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,394.95	08/17/20	1,365.00	...	29.95	7 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,489.95	08/17/20	1,440.00	...	49.95	8 of 14 - Option sale to close-call <sup>25</sup>
	1.000	709.97	08/17/20	660.00	...	49.97	9 of 14 - Option sale to close-call <sup>25</sup>
	1.000	809.97	08/17/20	745.00	...	64.97	10 of 14 - Option sale to close-call <sup>25</sup>
	1.000	1,249.96	08/17/20	1,170.00	...	79.96	11 of 14 - Option sale to close-call <sup>25</sup>

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 08/21/2020 CALL \$2,000.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,315.96	08/17/20	1,227.48	...	88.48	12 of 14 - Option sale to close-call <sub>25</sub>
	1.000	1,444.95	08/17/20	1,335.00	...	109.95	13 of 14 - Option sale to close-call <sub>25</sub>
	1.000	974.96	08/17/20	820.00	...	154.96	14 of 14 - Option sale to close-call <sub>25</sub>
08/17/20	14.000	17,330.41	Various	16,722.05	...	608.36	Total of 14 transactions
	25 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1,349.96	08/17/20	1,530.00	180.04 W	0.00	1 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,529.95	08/17/20	1,610.04	80.09 W	0.00	2 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,039.96	08/17/20	1,115.09	75.13 W	0.00	3 of 25 - Option sale to close-call <sub>25</sub>
	1.000	954.96	08/17/20	1,060.13	105.17 W	0.00	4 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,089.96	08/17/20	1,125.17	35.21 W	0.00	5 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,094.96	08/17/20	1,144.21	49.25 W	0.00	6 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,119.96	08/17/20	1,214.25	94.29 W	0.00	7 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,294.96	08/17/20	1,409.29	114.33 W	0.00	8 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,419.95	08/17/20	1,454.33	34.38 W	0.00	9 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,264.96	08/17/20	1,364.38	99.42 W	0.00	10 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,359.95	08/17/20	1,379.42	19.47 W	0.00	11 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,104.96	08/17/20	1,155.00	50.04 W	0.00	12 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1,109.96	08/17/20	1,220.04	110.08 W	0.00	13 of 25 - Option sale to close-call <sub>25</sub>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 08/21/2020 CALL \$2,000.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,187.96	08/17/20	1,335.08	147.12 W	0.00	14 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,194.96	08/17/20	1,377.12	182.16 W	0.00	15 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,199.96	08/17/20	1,347.16	147.20 W	0.00	16 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,209.96	08/17/20	1,392.20	182.24 W	0.00	17 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,214.96	08/17/20	1,332.24	117.28 W	0.00	18 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,179.96	08/17/20	1,267.28	87.32 W	0.00	19 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,109.96	08/17/20	1,237.32	127.36 W	0.00	20 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,139.96	08/17/20	1,202.40	62.44 W	0.00	21 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,099.96	08/17/20	1,177.36	77.40 W	0.00	22 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,149.96	08/17/20	1,162.44	12.48 W	0.00	23 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,399.95	08/17/20	1,410.00	10.05 W	0.00	24 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1,459.95	08/17/20	1,555.05	95.10 W	0.00	25 of 25 - Option sale to close-call <sup>25</sup>
08/17/20	25.000	30,281.95	Various	32,577.00	2,295.05 W	0.00	Total of 25 transactions
	<b>Security total:</b>	<b>47,612.36</b>		<b>49,299.05</b>	<b>2,295.05 W</b>	<b>608.36</b>	
TSLA 08/21/2020 CALL \$2,200.00 / CUSIP: / Symbol:							
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	237.98	08/20/20	245.00	...	-7.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	212.98	08/20/20	191.00	...	21.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	115.98	08/20/20	54.00	...	61.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/20/20	3.000	566.94	Various	490.00	...	76.94	Total of 3 transactions

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(continued)

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TSLA 08/21/2020 CALL \$2,300.00 / CUSIP: / Symbol: 08/20/20	1.000	119.98	08/20/20	207.00	...	-87.02	Option sale to close-call <sup>25</sup>	
TSLA 08/21/2020 CALL \$2,350.00 / CUSIP: / Symbol: 08/18/20	1.000	449.98	08/17/20	260.00	...	189.98	Option sale to close-call <sup>25</sup>	
TSLA 08/21/2020 CALL \$2,500.00 / CUSIP: / Symbol: 08/19/20	1.000	33.98	08/18/20	305.00	...	-271.02	Option sale to close-call <sup>25</sup>	
TSLA 08/21/2020 CALL \$2,550.00 / CUSIP: / Symbol: 08/21/20	1.000	8.98	08/20/20	20.00	...	-11.02	Option sale to close-call <sup>25</sup>	
TSLA 08/28/2020 CALL \$1,655.00 / CUSIP: / Symbol: 08/17/20	1.000	13,859.68	08/13/20	9,520.00	...	4,339.68	Option sale to close-call <sup>25</sup>	
TSLA 08/28/2020 CALL \$1,660.00 / CUSIP: / Symbol: 08/18/20	1.000	-4,240.22	08/17/20	0.00	...	-4,240.22	Short sale closed- call <sup>25</sup> Option written: 08/13/20	
TSLA 08/28/2020 CALL \$1,920.00 / CUSIP: / Symbol: 08/26/20	1.000	19,874.55	08/18/20	9,065.00	...	10,809.55	Option sale to close-call <sup>25</sup>	
TSLA 08/28/2020 CALL \$1,925.00 / CUSIP: / Symbol: 08/27/20	1.000	-10,553.21	08/26/20	0.00	...	-10,553.21	Short sale closed- call <sup>25</sup> Option written: 08/18/20	
TSLA 08/28/2020 CALL \$2,500.00 / CUSIP: / Symbol: 08/26/20	1.000	289.98	08/26/20	294.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>	
		3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	319.98	08/27/20	315.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>	
	1.000	484.97	08/27/20	465.02	...	19.95	2 of 3 - Option sale to close-call <sup>25</sup>	
	1.000	280.98	08/27/20	208.02	...	72.96	3 of 3 - Option sale to close-call <sup>25</sup>	
08/27/20	3.000	1,085.93	Various	988.04	...	97.89	Total of 3 transactions	
08/27/20	1.000	269.98	08/27/20	330.00	60.02 W	0.00	Option sale to close-call <sup>25</sup>	
08/28/20	1.000	334.98	08/27/20	330.00	...	4.98	Option sale to close-call <sup>25</sup>	
<b>Security total:</b>		<b>1,980.87</b>		<b>1,942.04</b>	<b>64.04 W</b>	<b>102.87</b>		

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 08/28/2020 CALL \$2,550.00 / CUSIP: / Symbol:							
08/24/20	1.000	544.97	08/24/20	825.00	...	-280.03	Option sale to close-call <sup>25</sup>
TSLA 08/28/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
08/21/20	1.000	309.98	08/21/20	310.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
08/24/20	1.000	304.98	08/21/20	228.02	...	76.96	Option sale to close-call <sup>25</sup>
08/25/20	1.000	89.98	08/24/20	273.00	...	-183.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>704.94</b>		<b>811.02</b>	<b>0.02 W</b>	<b>-106.06</b>	
TSLA 09/04/2020 CALL \$490.00 / CUSIP: / Symbol:							
09/02/20	1.000	409.98	09/02/20	400.00	...	9.98	Option sale to close-call <sup>25</sup>
TSLA 09/04/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/02/20	1.000	184.98	09/02/20	219.00	...	-34.02	Option sale to close-call <sup>25</sup>
TSLA 09/04/2020 CALL \$580.00 / CUSIP: / Symbol:							
08/31/20	1.000	238.98	08/31/20	135.00	...	103.98	Option sale to close-call <sup>25</sup>
TSLA 09/11/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/09/20	1.000	299.98	09/09/20	214.00	...	85.98	Option sale to close-call <sup>25</sup>
TSLA 09/11/2020 CALL \$440.00 / CUSIP: / Symbol:							
09/10/20	1.000	58.98	09/10/20	69.00	...	-10.02	Option sale to close-call <sup>25</sup>
TSLA 09/11/2020 CALL \$800.00 / CUSIP: / Symbol:							
09/02/20	1.000	98.98	09/01/20	212.00	113.02 W	0.00	Option sale to close-call <sup>25</sup>
09/11/20	1.000	0.00	09/01/20	276.02	...	-276.02	Option expiration- long call
	<b>Security total:</b>	<b>98.98</b>		<b>488.02</b>	<b>113.02 W</b>	<b>-276.02</b>	
TSLA 09/11/2020 PUT \$350.00 / CUSIP: / Symbol:							
09/10/20	1.000	161.98	09/10/20	187.00	...	-25.02	Option sale to close-put <sup>25</sup>
TSLA 09/18/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/17/20	5.000	654.97	09/16/20	1,750.00	...	-1,095.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/18/2020 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	129.98	09/16/20	228.00	...	-98.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.98	09/16/20	114.00	...	-49.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/17/20	3.000	194.96	Various	342.00	...	-147.04	Total of 2 transactions
TSLA 09/18/2020 PUT \$376.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	38.99	09/16/20	66.00	...	-27.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	38.99	09/16/20	65.00	...	-26.01	2 of 2 - Option sale to close-put <sup>25</sup>
09/16/20	2.000	77.98	Various	131.00	...	-53.02	Total of 2 transactions
TSLA 09/18/2020 PUT \$400.00 / CUSIP: / Symbol:							
09/16/20	1.000	148.98	09/16/20	119.00	...	29.98	Option sale to close-put <sup>25</sup>
09/17/20	1.000	469.97	09/16/20	124.00	...	345.97	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>618.95</b>		<b>243.00</b>	...	<b>375.95</b>	
TSLA 09/25/2020 CALL \$420.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	104.98	09/24/20	81.00	...	23.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	106.98	09/24/20	81.00	...	25.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/25/20	2.000	211.96	Various	162.00	...	49.96	Total of 2 transactions
TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/15/20	1.000	2,419.93	09/01/20	5,770.00	3,350.07 W	0.00	Option sale to close-call <sup>25</sup>
09/21/20	1.000	1,534.95	09/09/20	4,135.07	...	-2,600.12	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,954.88</b>		<b>9,905.07</b>	<b>3,350.07 W</b>	<b>-2,600.12</b>	
TSLA 09/25/2020 CALL \$520.00 / CUSIP: / Symbol:							
09/16/20	1.000	3,037.87	09/15/20	0.00	...	3,037.87	Short sale closed- call <sup>25</sup> Option written: 09/01/20
09/22/20	1.000	-477.03	09/21/20	0.00	...	-477.03	Short sale closed- call <sup>25</sup> Option written: 09/09/20
	<b>Security total:</b>	<b>2,560.84</b>		<b>0.00</b>	...	<b>2,560.84</b>	

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 09/25/2020 CALL \$595.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	579.97	09/18/20	580.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	579.97	09/18/20	580.00	...	-0.03	2 of 2 - Option sale to close-call <sup>25</sup>
09/18/20	2.000	1,159.94	Various	1,160.00	...	-0.06	Total of 2 transactions
TSLA 09/25/2020 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	624.98	09/18/20	540.00	...	84.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,249.96	09/18/20	1,100.00	...	149.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/20	3.000	1,874.94	Various	1,640.00	...	234.94	Total of 2 transactions
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	5.99	09/21/20	1,633.03	...	-1,627.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5.99	09/21/20	1,144.01	...	-1,138.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/23/20	2.000	11.98	Various	2,777.04	...	-2,765.06	Total of 2 transactions
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	5.99	09/21/20	580.00	574.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.99	09/21/20	580.00	574.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.98	09/21/20	1,144.01	1,138.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/23/20	3.000	17.96	Various	2,304.01	2,286.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,904.88</b>		<b>6,721.05</b>	<b>2,286.05 W</b>	<b>-2,530.12</b>	
TSLA 09/25/2020 CALL \$800.00 / CUSIP: / Symbol:							
10 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	134.98	09/15/20	130.00	...	4.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	134.98	09/15/20	130.00	...	4.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	168.99	09/15/20	130.00	...	38.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	168.99	09/15/20	130.00	...	38.99	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	159.99	09/15/20	100.00	...	59.99	5 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 09/25/2020 CALL \$800.00 / CUSIP: / Symbol: (cont'd)							
	1.000	159.99	09/15/20	100.00	...	59.99	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	159.99	09/15/20	100.00	...	59.99	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	160.00	09/15/20	100.00	...	60.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	160.00	09/15/20	100.00	...	60.00	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	196.98	09/15/20	130.00	...	66.98	10 of 10 - Option sale to close-call <sup>25</sup>
09/15/20	10.000	1,604.89	Various	1,150.00	...	454.89	Total of 10 transactions
	6 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	2.000	263.99	09/16/20	244.00	...	19.99	1 of 6 - Option sale to close-call <sup>25</sup>
	5.000	659.97	09/16/20	630.00	...	29.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	105.01	...	-25.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	105.00	...	-25.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	105.00	...	-25.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	100.00	09/17/20	100.00	...	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
09/17/20	11.000	1,263.90	Various	1,289.01	...	-25.11	Total of 6 transactions
	16 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	3.000	395.99	09/15/20	450.00	54.01 W	0.00	1 of 16 - Option sale to close-call <sup>25</sup>
	2.000	199.99	09/17/20	236.01	36.02 W	0.00	2 of 16 - Option sale to close-call <sup>25</sup>
	1.000	99.99	09/17/20	118.00	18.01 W	0.00	3 of 16 - Option sale to close-call <sup>25</sup>
	1.000	99.99	09/17/20	100.00	0.01 W	0.00	4 of 16 - Option sale to close-call <sup>25</sup>
	1.000	90.00	09/17/20	120.01	30.01 W	0.00	5 of 16 - Option sale to close-call <sup>25</sup>
	2.000	179.99	09/17/20	240.02	60.03 W	0.00	6 of 16 - Option sale to close-call <sup>25</sup>
	1.000	90.00	09/17/20	102.01	12.01 W	0.00	7 of 16 - Option sale to close-call <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/25/2020 CALL \$800.00 / CUSIP: / Symbol: (cont'd)							
	1.000	90.00	09/17/20	102.00	12.00 W	0.00	8 of 16 - Option sale to close-call <sup>25</sup>
	5.000	449.97	09/17/20	500.00	50.03 W	0.00	9 of 16 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	125.02	45.04 W	0.00	10 of 16 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	125.01	45.03 W	0.00	11 of 16 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	125.01	45.03 W	0.00	12 of 16 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	107.01	27.03 W	0.00	13 of 16 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	107.00	27.02 W	0.00	14 of 16 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	105.01	25.03 W	0.00	15 of 16 - Option sale to close-call <sup>25</sup>
	1.000	79.98	09/17/20	105.01	25.03 W	0.00	16 of 16 - Option sale to close-call <sup>25</sup>
09/17/20	24.000	2,255.78	Various	2,767.12	511.34 W	0.00	Total of 16 transactions
09/18/20	1.000	107.98	09/17/20	144.04	...	-36.06	Option sale to close-call <sup>25</sup>
	6 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	75.00	09/18/20	145.03	...	-70.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.00	09/18/20	145.03	...	-70.03	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.00	09/18/20	109.03	...	-34.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	74.99	09/18/20	109.02	...	-34.03	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	74.99	09/18/20	96.03	...	-21.04	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.00	09/18/20	96.03	...	-21.03	6 of 6 - Option sale to close-call <sup>25</sup>
09/21/20	6.000	449.98	Various	700.17	...	-250.19	Total of 6 transactions
	<b>Security total:</b>	<b>5,682.53</b>		<b>6,050.34</b>	<b>511.34 W</b>	<b>143.53</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TSLA 10/02/2020 CALL \$800.00 / CUSIP: / Symbol:							
09/17/20	3.000	899.97	09/16/20	828.00	...	71.97	Option sale to close-call <sup>25</sup>
	10 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	1.000	299.99	08/31/20	765.00	465.01 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	299.99	09/02/20	1,140.00	840.01 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	299.99	09/02/20	1,135.00	835.01 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	299.99	09/03/20	770.00	470.01 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	4.000	1,199.97	09/15/20	1,440.00	240.03 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	274.99	09/17/20	740.01	465.02 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	274.99	09/17/20	1,115.01	840.02 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	274.99	09/17/20	1,110.01	835.02 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	274.99	09/17/20	745.01	470.02 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>
	2.000	563.97	09/17/20	670.02	106.05 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
09/17/20	14.000	4,063.86	Various	9,630.06	5,566.20 W	0.00	Total of 10 transactions
09/18/20	1.000	304.98	09/17/20	310.01	5.03 W	0.00	Option sale to close-call <sup>25</sup>
	4 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	265.00	09/18/20	1,113.02	...	-848.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	264.99	09/18/20	1,097.02	...	-832.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	264.99	09/18/20	748.02	...	-483.03	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	264.99	09/18/20	317.00	...	-52.01	4 of 4 - Option sale to close-call <sup>25</sup>
09/21/20	4.000	1,059.97	Various	3,275.06	...	-2,215.09	Total of 4 transactions
09/21/20	1.000	264.99	09/18/20	722.02	457.03 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	9.99	09/21/20	681.03	...	-671.04	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	19.99	09/21/20	538.05	...	-518.06	2 of 3 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 10/02/2020 CALL \$800.00 / CUSIP: / Symbol: (cont'd)							
	1.000	10.00	09/21/20	229.03	...	-219.03	3 of 3 - Option sale to close-call <sup>25</sup>
09/23/20	4.000	39.98	Various	1,448.11	...	-1,408.13	Total of 3 transactions
	<b>Security total:</b>	<b>6,633.75</b>		<b>16,213.26</b>	<b>6,028.26 W</b>	<b>-3,551.25</b>	
TSLA 10/09/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/29/20	1.000	399.98	09/28/20	440.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
09/30/20	1.000	449.98	09/29/20	435.02	...	14.96	Option sale to close-call <sup>25</sup>
10/01/20	1.000	474.97	09/30/20	435.00	...	39.97	Option sale to close-call <sup>25</sup>
10/09/20	1.000	0.00	10/01/20	445.00	...	-445.00	Option expiration- long call
	<b>Security total:</b>	<b>1,324.93</b>		<b>1,755.02</b>	<b>40.02 W</b>	<b>-390.07</b>	
TWLO 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/28/20	1.000	150.98	08/28/20	103.00	...	47.98	Option sale to close-call <sup>25</sup>
UAL 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
09/08/20	1.000	374.98	09/02/20	295.00	...	79.98	Option sale to close-call <sup>25</sup>
UAL 09/04/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/03/20	1.000	45.98	09/03/20	73.00	...	-27.02	Option sale to close-call <sup>25</sup>
UBER 08/28/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/19/20	1.000	82.98	08/19/20	87.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	114.99	08/19/20	74.02	...	40.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	114.99	08/19/20	70.00	...	44.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	229.98	Various	144.02	...	85.96	Total of 2 transactions
	<b>Security total:</b>	<b>312.96</b>		<b>231.02</b>	<b>4.02 W</b>	<b>85.96</b>	
UBER 09/04/2020 CALL \$31.00 / CUSIP: / Symbol:							
08/26/20	1.000	149.98	08/20/20	153.00	...	-3.02	Option sale to close-call <sup>25</sup>
UBER 09/18/2020 CALL \$33.00 / CUSIP: / Symbol:							
09/08/20	1.000	228.98	09/04/20	146.00	...	82.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 09/18/2020 CALL \$37.50 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	121.98	09/14/20	97.00	...	24.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	487.97	09/14/20	388.00	...	99.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	5.000	609.95	Various	485.00	...	124.95	Total of 2 transactions
UBER 09/25/2020 CALL \$37.50 / CUSIP: / Symbol:							
09/15/20	1.000	154.98	09/11/20	111.00	...	43.98	Option sale to close-call <sup>25</sup>
UBER 10/02/2020 CALL \$37.50 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	5.000	329.98	09/18/20	590.00	...	-260.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	329.98	09/18/20	495.00	...	-165.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/20	10.000	659.96	Various	1,085.00	...	-425.04	Total of 2 transactions
UBER 10/02/2020 CALL \$38.00 / CUSIP: / Symbol:							
09/23/20	2.000	23.98	09/18/20	196.00	...	-172.02	Option sale to close-call <sup>25</sup>
10/01/20	1.000	27.98	09/18/20	98.00	...	-70.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>51.96</b>		<b>294.00</b>	...	<b>-242.04</b>	
UBER 10/16/2020 CALL \$36.00 / CUSIP: / Symbol:							
09/22/20	2.000	207.98	09/21/20	404.00	...	-196.02	Option sale to close-call <sup>25</sup>
ULTA 07/24/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/20/20	2.000	159.98	07/17/20	530.00	...	-370.02	Option sale to close-call <sup>25</sup>
ULTA 09/18/2020 CALL \$235.00 / CUSIP: / Symbol:							
09/09/20	1.000	699.97	09/01/20	700.00	...	-0.03	Option sale to close-call <sup>25</sup>
UPS 10/02/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/30/20	2.000	83.98	09/28/20	280.00	...	-196.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPS 10/30/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	108.98	09/24/20	91.00	...	17.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	296.98	09/24/20	276.00	...	20.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/25/20	4.000	405.96	Various	367.00	...	38.96	Total of 2 transactions
09/29/20	1.000	124.98	09/24/20	91.00	...	33.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>530.94</b>		<b>458.00</b>	...	<b>72.94</b>	
UVXY 09/18/2020 CALL \$27.00 / CUSIP: / Symbol:							
08/28/20	2.000	489.97	08/27/20	354.00	...	135.97	Option sale to close-call <sup>25</sup>
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	254.99	08/28/20	180.00	...	74.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	254.98	08/28/20	141.00	...	113.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	509.97	Various	321.00	...	188.97	Total of 2 transactions
	<b>Security total:</b>	<b>999.94</b>		<b>675.00</b>	...	<b>324.94</b>	
V 08/14/2020 CALL \$202.50 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	125.98	08/11/20	74.00	...	51.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	125.98	08/11/20	74.00	...	51.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	251.96	Various	148.00	...	103.96	Total of 2 transactions
V 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/19/20	2.000	57.98	08/12/20	110.00	...	-52.02	Option sale to close-call <sup>25</sup>
V 09/04/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/25/20	1.000	195.98	08/24/20	167.00	...	28.98	Option sale to close-call <sup>25</sup>
VALE 10/16/2020 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	46.99	09/21/20	46.00	...	0.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	47.00	09/21/20	46.00	...	1.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	234.99	09/21/20	225.00	...	9.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/22/20	7.000	328.98	Various	317.00	...	11.98	Total of 3 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VALE 10/16/2020 CALL \$13.00 / CUSIP: / Symbol:							
4 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	11.00	09/21/20	10.00	...	1.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.00	09/21/20	10.00	...	1.00	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	54.99	09/21/20	50.00	...	4.99	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	109.97	09/21/20	100.00	...	9.97	4 of 4 - Option sale to close-call <sup>25</sup>
09/22/20	17.000	186.96	Various	170.00	...	16.96	Total of 4 transactions
10/02/20	10.000	9.97	09/21/20	100.00	...	-90.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>196.93</b>		<b>270.00</b>	...	<b>-73.07</b>	
VMW 09/11/2020 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/28/20	275.00	...	-275.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/28/20	180.00	...	-180.00	2 of 2 - Option expiration- long call
09/11/20	2.000	0.00	Various	455.00	...	-455.00	Total of 2 transactions
VXX 09/04/2020 CALL \$27.00 / CUSIP: / Symbol:							
08/26/20	2.000	157.98	08/26/20	108.00	...	49.98	Option sale to close-call <sup>25</sup>
08/27/20	2.000	171.98	08/27/20	124.00	...	47.98	Option sale to close-call <sup>25</sup>
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	114.99	08/28/20	71.00	...	43.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	114.99	08/28/20	71.00	...	43.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	229.98	Various	142.00	...	87.98	Total of 2 transactions
	<b>Security total:</b>	<b>559.94</b>		<b>374.00</b>	...	<b>185.94</b>	
VXX 09/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/27/20	1.000	339.98	08/21/20	231.00	...	108.98	Option sale to close-call <sup>25</sup>
VZ 10/02/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/31/20	1.000	89.98	08/27/20	114.00	...	-24.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WDAY 09/04/2020 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	22.99	08/28/20	395.00	...	-372.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	22.99	08/31/20	111.00	...	-88.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	23.00	08/31/20	108.00	...	-85.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/02/20	3.000	68.98	Various	614.00	...	-545.02	Total of 3 transactions
WEX 10/16/2020 CALL \$190.00 / CUSIP: / Symbol:							
09/03/20	1.000	359.98	08/27/20	260.00	...	99.98	Option sale to close-call <sup>25</sup>
WFC 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	619.98	07/30/20	560.00	...	59.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	619.97	07/31/20	520.00	...	99.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	10.000	1,239.95	Various	1,080.00	...	159.95	Total of 2 transactions
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	619.98	06/29/20	1,030.00	410.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	619.97	07/28/20	710.00	90.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	629.97	07/31/20	915.02	285.05 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/11/20	15.000	1,869.92	Various	2,655.02	785.10 W	0.00	Total of 3 transactions
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	4.000	335.98	08/20/20	564.04	...	-228.06	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	248.98	08/20/20	348.03	...	-99.05	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	165.98	08/20/20	232.02	...	-66.04	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	84.00	08/20/20	141.01	...	-57.01	4 of 4 - Option sale to close-call <sup>25</sup>
08/31/20	10.000	834.94	Various	1,285.10	...	-450.16	Total of 4 transactions
08/31/20	5.000	419.98	07/31/20	595.03	175.05 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,364.79</b>		<b>5,615.15</b>	<b>960.15 W</b>	<b>-290.21</b>	
WKHS 08/28/2020 CALL \$17.00 / CUSIP: / Symbol:							
08/25/20	1.000	74.98	08/25/20	85.00	...	-10.02	Option sale to close-call <sup>25</sup>
WKHS 09/18/2020 CALL \$21.00 / CUSIP: / Symbol:							
09/08/20	1.000	389.98	09/08/20	250.00	...	139.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WMT 01/15/2021 CALL \$160.00 / CUSIP: / Symbol:							
09/21/20	1.000	217.98	09/01/20	860.00	...	-642.02	Option sale to close-call <sup>25</sup>
WMT 07/17/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	205.99	07/09/20	136.00	...	69.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	205.99	07/09/20	136.00	...	69.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/10/20	2.000	411.98	Various	272.00	...	139.98	Total of 2 transactions
WMT 08/14/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/07/20	1.000	33.98	07/23/20	466.02	...	-432.04	Option sale to close-call <sup>25</sup>
08/07/20	1.000	33.98	07/23/20	250.00	216.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>67.96</b>		<b>716.02</b>	<b>216.02 W</b>	<b>-432.04</b>	
WMT 08/21/2020 PUT \$129.00 / CUSIP: / Symbol:							
08/18/20	1.000	7.98	08/18/20	26.00	...	-18.02	Option sale to close-put <sup>25</sup>
WMT 09/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/21/20	1.000	233.98	08/20/20	147.00	...	86.98	Option sale to close-call <sup>25</sup>
WMT 10/16/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/11/20	1.000	399.98	09/11/20	405.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
09/17/20	1.000	299.98	09/14/20	420.02	...	-120.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>699.96</b>		<b>825.02</b>	<b>5.02 W</b>	<b>-120.04</b>	
WMT 10/16/2020 CALL \$145.00 / CUSIP: / Symbol:							
09/21/20	2.000	283.98	09/16/20	420.00	...	-136.02	Option sale to close-call <sup>25</sup>
09/23/20	1.000	149.98	09/16/20	210.00	...	-60.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>433.96</b>		<b>630.00</b>	...	<b>-196.04</b>	
WMT 10/16/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/27/20	1.000	182.98	08/27/20	132.00	...	50.98	Option sale to close-call <sup>25</sup>
09/01/20	1.000	389.98	08/28/20	246.00	...	143.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>572.96</b>		<b>378.00</b>	...	<b>194.96</b>	
WMT 10/16/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/11/20	1.000	11.98	09/02/20	121.00	...	-109.02	Option sale to close-call <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 10/23/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	09/14/20	545.00	...	-245.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	09/14/20	485.00	...	-185.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/20	2.000	599.97	Various	1,030.00	...	-430.03	Total of 2 transactions
WMT 10/23/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/21/20	1.000	104.98	09/14/20	246.00	...	-141.02	Option sale to close-call <sup>25</sup>
WORK 09/11/2020 CALL \$37.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/01/20	241.00	...	-241.00	Option expiration- long call
WORK 09/11/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/08/20	2.000	123.98	09/08/20	124.00	...	-0.02	Option sale to close-call <sup>25</sup>
WYNN 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
08/28/20	1.000	355.98	08/18/20	250.00	...	105.98	Option sale to close-call <sup>25</sup>
WYNN 08/07/2020 PUT \$60.00 / CUSIP: / Symbol:							
08/07/20	2.000	0.00	08/04/20	22.00	...	-22.00	Option expiration- long put
WYNN 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
09/11/20	1.000	19.98	08/28/20	126.00	...	-106.02	Option sale to close-call <sup>25</sup>
XLK 07/17/2020 PUT \$94.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	07/07/20	80.00	...	-80.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/07/20	20.00	...	-20.00	2 of 2 - Option expiration- long put
07/17/20	5.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
XOM 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	28.99	08/13/20	149.00	...	-120.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.00	08/17/20	121.00	...	-92.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	28.99	08/21/20	85.00	...	-56.01	3 of 3 - Option sale to close-call <sup>25</sup>
09/11/20	3.000	86.98	Various	355.00	...	-268.02	Total of 3 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XOM 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	9.98	09/11/20	0.00	...	9.98	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 08/21/20
	1.000	18.98	09/11/20	0.00	...	18.98	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 08/17/20
	1.000	20.98	09/11/20	0.00	...	20.98	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 08/13/20
09/14/20	3.000	49.94	Various	0.00	...	49.94	Total of 3 transactions
YELP 10/16/2020 CALL \$23.00 / CUSIP: / Symbol:							
09/08/20	1.000	149.98	09/08/20	120.00	...	29.98	Option sale to close-call <sup>25</sup>
ZM 07/31/2020 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/30/20	15.00	...	-15.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/30/20	15.00	...	-15.00	2 of 2 - Option expiration- long call
07/31/20	2.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions
ZM 08/07/2020 CALL \$295.00 / CUSIP: / Symbol:							
08/03/20	1.000	34.98	07/31/20	10.00	...	24.98	Option sale to close-call <sup>25</sup>
ZM 08/21/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/20/20	1.000	329.98	08/20/20	330.00	...	-0.02	Option sale to close-call <sup>25</sup>
ZM 08/21/2020 CALL \$295.00 / CUSIP: / Symbol:							
08/18/20	1.000	54.98	08/18/20	140.00	...	-85.02	Option sale to close-call <sup>25</sup>
ZM 08/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/20/20	1.000	33.98	08/20/20	60.00	...	-26.02	Option sale to close-call <sup>25</sup>
ZM 08/28/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/25/20	1.000	599.97	08/25/20	590.00	...	9.97	Option sale to close-call <sup>25</sup>
ZM 08/28/2020 CALL \$295.00 / CUSIP: / Symbol:							
08/17/20	2.000	199.98	08/11/20	180.00	...	19.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 09/04/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/01/20	1.000	4,563.88	08/26/20	90.00	...	4,473.88	Option sale to close-call <sup>25</sup>
ZM 09/18/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/09/20	1.000	299.98	09/09/20	225.00	...	74.98	Option sale to close-call <sup>25</sup>
ZM 09/18/2020 CALL \$465.00 / CUSIP: / Symbol:							
09/10/20	1.000	329.98	09/09/20	275.00	...	54.98	Option sale to close-call <sup>25</sup>
09/15/20	1.000	14.98	09/10/20	230.00	...	-215.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>344.96</b>		<b>505.00</b>	...	<b>-160.04</b>	
ZM 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/21/20	1.000	699.97	09/21/20	480.00	...	219.97	Option sale to close-call <sup>25</sup>
ZM 10/02/2020 CALL \$500.00 / CUSIP: / Symbol:							
<i>4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	550.98	10/01/20	165.00	...	385.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	550.98	10/01/20	135.00	...	415.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	550.97	10/01/20	130.00	...	420.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	949.96	10/01/20	130.00	...	819.96	4 of 4 - Option sale to close-call <sup>25</sup>
10/02/20	4.000	2,602.89	Various	560.00	...	2,042.89	Total of 4 transactions
ZM 10/09/2020 CALL \$520.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	129.98	10/06/20	219.00	...	-89.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	129.98	10/06/20	219.00	...	-89.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/20	2.000	259.96	Various	438.00	...	-178.04	Total of 2 transactions
ZS 10/16/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/02/20	1.000	454.97	09/30/20	455.00	...	-0.03	Option sale to close-call <sup>25</sup>
ABEONA THERAPEUTICS INC. COMMO N STOCK / CUSIP: 00289Y107 / Symbol:							
09/18/20	100.000	213.17	09/18/20	220.00	...	-6.83	Sale <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol:							
87 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
2.000		0.42	07/30/20	0.41	...	0.01	1 of 87 - Sale <sup>25</sup>
2.000		0.43	07/30/20	0.41	...	0.02	2 of 87 - Sale <sup>25</sup>
2.000		0.43	07/30/20	0.41	...	0.02	3 of 87 - Sale <sup>25</sup>
4.000		0.86	07/30/20	0.82	...	0.04	4 of 87 - Sale <sup>25</sup>
4.000		0.86	07/30/20	0.82	...	0.04	5 of 87 - Sale <sup>25</sup>
4.000		0.86	07/30/20	0.82	...	0.04	6 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	7 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	8 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	9 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	10 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	11 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	12 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	13 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	14 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	15 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	16 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	17 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	18 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	19 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	20 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	21 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	22 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	23 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	24 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	25 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	26 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	27 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	28 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	29 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	30 of 87 - Sale <sup>25</sup>
6.000		1.28	07/30/20	1.23	...	0.05	31 of 87 - Sale <sup>25</sup>
94.000		20.12	07/30/20	19.19	...	0.93	32 of 87 - Sale <sup>25</sup>
94.000		20.12	07/30/20	19.19	...	0.93	33 of 87 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
94.000		20.12	07/30/20	19.19	...	0.93	34 of 87 - Sale <sup>25</sup>
94.000		20.12	07/30/20	19.19	...	0.93	35 of 87 - Sale <sup>25</sup>
94.000		20.12	07/30/20	19.19	...	0.93	36 of 87 - Sale <sup>25</sup>
94.000		20.12	07/30/20	19.19	...	0.93	37 of 87 - Sale <sup>25</sup>
94.000		20.12	07/30/20	19.19	...	0.93	38 of 87 - Sale <sup>25</sup>
94.000		20.12	07/30/20	19.19	...	0.93	39 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	40 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	41 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	42 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	43 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	44 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	45 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	46 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	47 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	48 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	49 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	50 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	51 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	52 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	53 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	54 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	55 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	56 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	57 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	58 of 87 - Sale <sup>25</sup>
94.000		20.13	07/30/20	19.19	...	0.94	59 of 87 - Sale <sup>25</sup>
96.000		20.56	07/30/20	19.59	...	0.97	60 of 87 - Sale <sup>25</sup>
100.000		21.39	07/30/20	20.41	...	0.98	61 of 87 - Sale <sup>25</sup>
100.000		21.41	07/30/20	20.41	...	1.00	62 of 87 - Sale <sup>25</sup>
100.000		21.41	07/30/20	20.41	...	1.00	63 of 87 - Sale <sup>25</sup>
100.000		21.41	07/30/20	20.41	...	1.00	64 of 87 - Sale <sup>25</sup>
100.000		21.41	07/30/20	20.41	...	1.00	65 of 87 - Sale <sup>25</sup>
100.000		21.41	07/30/20	20.41	...	1.00	66 of 87 - Sale <sup>25</sup>
100.000		21.41	07/30/20	20.41	...	1.00	67 of 87 - Sale <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
	100.000	21.41	07/30/20	20.41	...	1.00	68 of 87 - Sale <sup>25</sup>
	100.000	21.41	07/30/20	20.41	...	1.00	69 of 87 - Sale <sup>25</sup>
	100.000	21.41	07/30/20	20.41	...	1.00	70 of 87 - Sale <sup>25</sup>
	100.000	21.42	07/30/20	20.41	...	1.01	71 of 87 - Sale <sup>25</sup>
	200.000	42.80	07/30/20	40.82	...	1.98	72 of 87 - Sale <sup>25</sup>
	804.000	172.04	07/30/20	164.10	...	7.94	73 of 87 - Sale <sup>25</sup>
	270.000	57.78	08/03/20	54.73	...	3.05	74 of 87 - Sale <sup>25</sup>
	396.000	84.74	08/03/20	80.27	...	4.47	75 of 87 - Sale <sup>25</sup>
	70.000	14.99	08/06/20	14.27	...	0.72	76 of 87 - Sale <sup>25</sup>
	930.000	199.00	08/06/20	189.63	...	9.37	77 of 87 - Sale <sup>25</sup>
	100.000	21.41	08/17/20	17.02	...	4.39	78 of 87 - Sale <sup>25</sup>
	100.000	21.41	08/17/20	17.02	...	4.39	79 of 87 - Sale <sup>25</sup>
	100.000	21.41	08/17/20	17.02	...	4.39	80 of 87 - Sale <sup>25</sup>
	100.000	21.41	08/17/20	17.02	...	4.39	81 of 87 - Sale <sup>25</sup>
	700.000	149.84	08/17/20	120.32	...	29.52	82 of 87 - Sale <sup>25</sup>
	900.000	192.67	08/17/20	153.18	...	39.49	83 of 87 - Sale <sup>25</sup>
	100.000	21.99	08/18/20	19.97	...	2.02	84 of 87 - Sale <sup>25</sup>
	100.000	21.99	08/18/20	19.97	...	2.02	85 of 87 - Sale <sup>25</sup>
	100.000	21.99	08/18/20	19.97	...	2.02	86 of 87 - Sale <sup>25</sup>
	1,700.000	373.79	08/18/20	339.83	...	33.96	87 of 87 - Sale <sup>25</sup>
08/18/20	10,666.000	2,294.74	Various	2,101.00	...	193.74	Total of 87 transactions
	16 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	10.000	2.34	08/18/20	2.22	...	0.12	1 of 16 - Sale <sup>25</sup>
	100.000	23.36	08/19/20	18.47	...	4.89	2 of 16 - Sale <sup>25</sup>
	100.000	23.36	08/19/20	18.47	...	4.89	3 of 16 - Sale <sup>25</sup>
	200.000	46.71	08/19/20	36.94	...	9.77	4 of 16 - Sale <sup>25</sup>
	200.000	46.71	08/19/20	36.94	...	9.77	5 of 16 - Sale <sup>25</sup>
	400.000	93.43	08/19/20	73.88	...	19.55	6 of 16 - Sale <sup>25</sup>
	1,056.000	246.65	08/19/20	195.04	...	51.61	7 of 16 - Sale <sup>25</sup>
	13.000	3.04	08/21/20	2.30	...	0.74	8 of 16 - Sale <sup>25</sup>
	87.000	20.32	08/21/20	15.43	...	4.89	9 of 16 - Sale <sup>25</sup>
	100.000	23.36	08/21/20	17.73	...	5.63	10 of 16 - Sale <sup>25</sup>
	100.000	23.36	08/21/20	17.73	...	5.63	11 of 16 - Sale <sup>25</sup>
	100.000	23.36	08/21/20	17.72	...	5.64	12 of 16 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
	300.000	70.07	08/21/20	53.19	...	16.88	13 of 16 - Sale <sup>25</sup>
	400.000	93.43	08/21/20	70.92	...	22.51	14 of 16 - Sale <sup>25</sup>
	460.000	107.45	08/21/20	81.51	...	25.94	15 of 16 - Sale <sup>25</sup>
	499.000	116.56	08/21/20	88.52	...	28.04	16 of 16 - Sale <sup>25</sup>
08/21/20	4,125.000	963.51	Various	747.01	...	216.50	Total of 16 transactions
	7 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	3,991.000	630.08	08/24/20	766.67	...	-136.59	1 of 7 - Sale <sup>25</sup>
	2,656.000	419.32	08/26/20	496.28	...	-76.96	2 of 7 - Sale <sup>25</sup>
	8.000	1.26	08/26/20	1.76	...	-0.50	3 of 7 - Sale <sup>25</sup>
	1.000	0.16	08/26/20	0.21	...	-0.05	4 of 7 - Sale <sup>25</sup>
	2,000.000	315.76	08/28/20	369.20	...	-53.44	5 of 7 - Sale <sup>25</sup>
	1,000.000	157.88	09/08/20	175.21	...	-17.33	6 of 7 - Sale <sup>25</sup>
	2,000.000	315.75	09/10/20	317.40	...	-1.65	7 of 7 - Sale <sup>25</sup>
09/11/20	11,656.000	1,840.21	Various	2,126.73	...	-286.52	Total of 7 transactions
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	0.16	08/21/20	0.18	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	1.43	08/24/20	1.73	0.27 W	-0.03	2 of 2 - Sale <sup>25</sup>
09/11/20	10.000	1.59	Various	1.91	0.29 W	-0.03	Total of 2 transactions
	<b>Security total:</b>	<b>5,100.05</b>		<b>4,976.65</b>	<b>0.29 W</b>	<b>123.69</b>	
ALLIED ESPORTS ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 019170109 / Symbol:							
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	106.667	208.52	08/19/20	200.00	...	8.52	1 of 2 - Sale <sup>25</sup>
	108.992	209.80	08/19/20	200.00	...	9.80	2 of 2 - Sale <sup>25</sup>
08/19/20	215.659	418.32	Various	400.00	...	18.32	Total of 2 transactions
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	89.000	181.11	08/20/20	186.02	...	-4.91	1 of 2 - Sale <sup>25</sup>
	11.000	22.38	08/20/20	22.99	...	-0.61	2 of 2 - Sale <sup>25</sup>
08/20/20	100.000	203.49	Various	209.01	...	-5.52	Total of 2 transactions
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	61.000	123.21	08/20/20	124.44	0.22 W	-1.01	1 of 2 - Sale <sup>25</sup>
	89.000	179.77	08/20/20	181.56	1.79 W	0.00	2 of 2 - Sale <sup>25</sup>
08/20/20	150.000	302.98	Various	306.00	2.01 W	-1.01	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ALLIED ESPORTS ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 019170109 / Symbol: (cont'd)							
<b>Security total:</b>		<b>924.79</b>		<b>915.01</b>		<b>2.01 W</b>	<b>11.79</b>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
07/23/20	5.000	14,972.96	07/23/20	14,968.70	...	4.26	Sale <sup>25</sup>
07/24/20	5.000	14,525.57	07/24/20	14,754.50	...	-228.93	Sale <sup>25</sup>
<b>Security total:</b>		<b>29,498.53</b>		<b>29,723.20</b>		<b>...</b>	<b>-224.67</b>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/30/20	200.000	2,371.92	04/28/20	2,115.72	...	256.20	Sale <sup>25</sup>
<i>2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	19.000	189.27	05/04/20	186.96	...	2.31	1 of 2 - Sale <sup>25</sup>
	81.000	806.88	05/04/20	797.04	...	9.84	2 of 2 - Sale <sup>25</sup>
05/19/20	100.000	996.15	Various	984.00	...	12.15	Total of 2 transactions
08/05/20	10.000	128.22	08/05/20	127.80	...	0.42	Sale <sup>25</sup>
08/10/20	100.000	1,398.96	08/10/20	1,342.81	...	56.15	Sale <sup>25</sup>
<b>Security total:</b>		<b>4,895.25</b>		<b>4,570.33</b>		<b>...</b>	<b>324.92</b>
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	216.000	987.56	08/18/20	997.90	...	-10.34	1 of 2 - Sale <sup>25</sup>
	0.455	2.08	08/18/20	2.10	...	-0.02	2 of 2 - Sale <sup>25</sup>
08/26/20	216.455	989.64	Various	1,000.00	...	-10.36	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
07/31/20	5.000	2,095.80	07/31/20	2,096.20	0.08 W	-0.32	Sale <sup>25</sup>
09/10/20	3.000	361.35	08/28/20	379.33	...	-17.98	Sale <sup>25</sup>
09/11/20	1.000	114.50	08/28/20	126.44	...	-11.94	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,571.65</b>		<b>2,601.97</b>	<b>0.08 W</b>	<b>-30.24</b>	
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
07/17/20	100.000	446.25	06/23/20	570.00	...	-123.75	Sale <sup>25</sup>
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
08/14/20	100.000	794.97	08/13/20	788.24	...	6.73	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AVALON GLOBOCARE CORP. COMMON STOCK / CUSIP: 05344R104 / Symbol:							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	99.749	178.67	08/19/20	199.30	...	-20.63	1 of 3 - Sale <sup>25</sup>
	0.100	0.18	08/19/20	0.22	...	-0.04	2 of 3 - Sale <sup>25</sup>
	0.150	0.27	08/19/20	0.30	...	-0.03	3 of 3 - Sale <sup>25</sup>
08/19/20	100.000	179.12	Various	199.82	...	-20.70	Total of 3 transactions
08/19/20	0.100	0.18	08/19/20	0.20	0.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>179.30</b>		<b>200.02</b>	<b>0.02 W</b>	<b>-20.70</b>	
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
18 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	15.000	118.50	04/24/20	113.40	...	5.10	1 of 18 - Sale <sup>25</sup>
	54.000	426.58	04/24/20	408.24	...	18.34	2 of 18 - Sale <sup>25</sup>
	59.000	466.09	04/24/20	446.03	...	20.06	3 of 18 - Sale <sup>25</sup>
	60.000	473.99	04/24/20	453.59	...	20.40	4 of 18 - Sale <sup>25</sup>
	46.000	363.39	04/24/20	341.78	...	21.61	5 of 18 - Sale <sup>25</sup>
	66.000	520.71	04/24/20	490.37	...	30.34	6 of 18 - Sale <sup>25</sup>
	100.000	789.97	04/24/20	755.99	...	33.98	7 of 18 - Sale <sup>25</sup>
	5.000	39.50	04/27/20	37.25	...	2.25	8 of 18 - Sale <sup>25</sup>
	6.000	47.34	04/27/20	44.70	...	2.64	9 of 18 - Sale <sup>25</sup>
	6.000	47.40	04/27/20	44.70	...	2.70	10 of 18 - Sale <sup>25</sup>
	10.000	79.00	04/27/20	74.50	...	4.50	11 of 18 - Sale <sup>25</sup>
	10.000	79.00	04/27/20	74.50	...	4.50	12 of 18 - Sale <sup>25</sup>
	19.000	150.09	04/27/20	141.55	...	8.54	13 of 18 - Sale <sup>25</sup>
	26.000	205.39	04/27/20	193.70	...	11.69	14 of 18 - Sale <sup>25</sup>
	50.000	394.99	04/27/20	372.50	...	22.49	15 of 18 - Sale <sup>25</sup>
	74.000	584.58	04/27/20	551.30	...	33.28	16 of 18 - Sale <sup>25</sup>
	94.000	742.57	04/27/20	700.30	...	42.27	17 of 18 - Sale <sup>25</sup>
	100.000	789.97	04/27/20	744.50	...	45.47	18 of 18 - Sale <sup>25</sup>
05/22/20	800.000	6,319.06	Various	5,988.90	...	330.16	Total of 18 transactions
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	31.000	244.89	04/29/20	283.65	38.76 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	789.97	04/29/20	915.00	125.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	69.000	545.07	04/29/20	631.35	86.28 W	0.00	3 of 3 - Sale <sup>25</sup>
05/22/20	200.000	1,579.93	Various	1,830.00	250.07 W	0.00	Total of 3 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AZUL S.A. AMERICAN DEPOSITORY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol: (cont'd)							
8 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	2.000	22.00	05/01/20	19.48	...	2.52	1 of 8 - Sale <sup>25</sup>
	22.000	242.00	05/01/20	214.26	...	27.74	2 of 8 - Sale <sup>25</sup>
	29.000	318.41	05/01/20	282.44	...	35.97	3 of 8 - Sale <sup>25</sup>
	29.000	319.00	05/01/20	282.44	...	36.56	4 of 8 - Sale <sup>25</sup>
	49.000	538.98	05/01/20	477.23	...	61.75	5 of 8 - Sale <sup>25</sup>
	69.000	757.60	05/01/20	672.02	...	85.58	6 of 8 - Sale <sup>25</sup>
	50.000	548.98	05/01/20	424.45	...	124.53	7 of 8 - Sale <sup>25</sup>
	50.000	548.98	05/08/20	364.25	...	184.73	8 of 8 - Sale <sup>25</sup>
06/03/20	300.000	3,295.95	Various	2,736.57	...	559.38	Total of 8 transactions
6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	50.000	790.73	05/08/20	364.25	...	426.48	1 of 6 - Sale <sup>25</sup>
	150.000	2,372.18	05/08/20	1,093.35	...	1,278.83	2 of 6 - Sale <sup>25</sup>
	1.000	15.81	06/03/20	10.85	...	4.96	3 of 6 - Sale <sup>25</sup>
	99.000	1,565.64	06/03/20	1,074.15	...	491.49	4 of 6 - Sale <sup>25</sup>
	100.000	1,581.45	06/03/20	1,085.00	...	496.45	5 of 6 - Sale <sup>25</sup>
	100.000	1,581.45	06/03/20	1,085.00	...	496.45	6 of 6 - Sale <sup>25</sup>
06/09/20	500.000	7,907.26	Various	4,712.60	...	3,194.66	Total of 6 transactions
5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	100.000	1,288.96	06/04/20	1,064.00	...	224.96	1 of 5 - Sale <sup>25</sup>
	100.000	1,287.96	06/05/20	1,285.00	...	2.96	2 of 5 - Sale <sup>25</sup>
	100.000	1,287.96	06/05/20	1,270.00	...	17.96	3 of 5 - Sale <sup>25</sup>
	100.000	1,287.96	06/05/20	1,264.00	...	23.96	4 of 5 - Sale <sup>25</sup>
	100.000	1,287.96	06/05/20	1,245.92	...	42.04	5 of 5 - Sale <sup>25</sup>
06/15/20	500.000	6,440.80	Various	6,128.92	...	311.88	Total of 5 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	300.000	3,863.87	06/10/20	4,398.00	178.04 W	-356.09	1 of 3 - Sale <sup>25</sup>
	100.000	1,287.96	06/10/20	1,466.00	178.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	1,287.96	06/10/20	1,466.00	178.04 W	0.00	3 of 3 - Sale <sup>25</sup>
06/15/20	500.000	6,439.79	Various	7,330.00	534.12 W	-356.09	Total of 3 transactions
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	1,204.96	06/23/20	1,383.04	...	-178.08	1 of 3 - Sale <sup>25</sup>
	100.000	1,204.96	06/23/20	1,383.04	...	-178.08	2 of 3 - Sale <sup>25</sup>

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol: (cont'd)							
	100.000	1,204.96	06/23/20	1,383.04	...	-178.08	3 of 3 - Sale <sup>25</sup>
06/25/20	300.000	3,614.88	Various	4,149.12	...	-534.24	Total of 3 transactions
	<b>Security total:</b>	<b>35,597.67</b>		<b>32,876.11</b>	<b>784.19 W</b>	<b>3,505.75</b>	
BARNES & NOBLE EDUCATION, INC / CUSIP: 06777U101 / Symbol:							
9 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	600.000	1,589.96	07/17/20	1,601.88	...	-11.92	1 of 9 - Sale <sup>25</sup>
	100.000	264.99	07/17/20	267.00	...	-2.01	2 of 9 - Sale <sup>25</sup>
	100.000	264.99	07/17/20	267.00	...	-2.01	3 of 9 - Sale <sup>25</sup>
	100.000	264.99	07/17/20	267.00	...	-2.01	4 of 9 - Sale <sup>25</sup>
	100.000	265.00	07/17/20	267.00	...	-2.00	5 of 9 - Sale <sup>25</sup>
	100.000	264.99	07/17/20	263.00	...	1.99	6 of 9 - Sale <sup>25</sup>
	100.000	264.99	07/17/20	263.00	...	1.99	7 of 9 - Sale <sup>25</sup>
	100.000	264.99	07/17/20	263.00	...	1.99	8 of 9 - Sale <sup>25</sup>
	200.000	529.98	07/17/20	526.00	...	3.98	9 of 9 - Sale <sup>25</sup>
07/17/20	1,500.000	3,974.88	Various	3,984.88	...	-10.00	Total of 9 transactions
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
01/09/20	23.000	2,069.95	01/08/20	1,903.25	...	166.70	Sale <sup>25</sup>
01/14/20	2.000	236.68	01/08/20	165.50	...	71.18	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,306.63</b>		<b>2,068.75</b>	...	<b>237.88</b>	
BIGCOMMERCE HOLDINGS, INC. SERIES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	132.60	08/26/20	133.45	...	-0.85	1 of 2 - Sale <sup>25</sup>
	5.000	577.68	08/26/20	568.75	...	8.93	2 of 2 - Sale <sup>25</sup>
08/26/20	6.000	710.28	Various	702.20	...	8.08	Total of 2 transactions
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	456.899	472.84	08/12/20	498.02	23.96 W	-1.22	1 of 2 - Sale <sup>25</sup>
	1.829	1.89	08/12/20	1.98	0.09 W	0.00	2 of 2 - Sale <sup>25</sup>
08/12/20	458.729	474.73	Various	500.00	24.05 W	-1.22	Total of 2 transactions

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Account 146515176

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
<i>7 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	224.000	202.99	08/13/20	268.82	...	-65.83	1 of 7 - Sale <sup>25</sup>
	100.000	90.62	08/13/20	120.01	...	-29.39	2 of 7 - Sale <sup>25</sup>
	76.000	68.87	08/13/20	91.21	...	-22.34	3 of 7 - Sale <sup>25</sup>
	24.000	21.75	08/13/20	28.80	...	-7.05	4 of 7 - Sale <sup>25</sup>
	10.852	9.83	08/13/20	13.03	...	-3.20	5 of 7 - Sale <sup>25</sup>
	1.148	1.04	08/13/20	1.37	...	-0.33	6 of 7 - Sale <sup>25</sup>
	0.681	0.62	08/13/20	0.81	...	-0.19	7 of 7 - Sale <sup>25</sup>
08/14/20	436.681	395.72	Various	524.05	...	-128.33	Total of 7 transactions
	<b>Security total:</b>	<b>870.45</b>		<b>1,024.05</b>	<b>24.05 W</b>	<b>-129.55</b>	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
07/17/20	500.000	344.49	07/17/20	405.00	36.31 W	-24.20	Sale <sup>25</sup>
07/20/20	500.000	341.19	07/17/20	405.00	...	-63.81	Sale <sup>25</sup>
<i>2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	183.29	07/22/20	230.21	...	-46.92	1 of 2 - Sale <sup>25</sup>
	100.000	91.65	07/22/20	119.10	...	-27.45	2 of 2 - Sale <sup>25</sup>
07/22/20	300.000	274.94	Various	349.31	...	-74.37	Total of 2 transactions
	<b>Security total:</b>	<b>960.62</b>		<b>1,159.31</b>	<b>36.31 W</b>	<b>-162.38</b>	
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
<i>3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	13.047	95.91	07/22/20	97.85	...	-1.94	1 of 3 - Sale <sup>25</sup>
	0.286	2.11	07/22/20	2.09	...	0.02	2 of 3 - Sale <sup>25</sup>
	13.666	100.46	07/22/20	97.95	...	2.51	3 of 3 - Sale <sup>25</sup>
07/22/20	27.000	198.48	Various	197.89	...	0.59	Total of 3 transactions
07/22/20	0.286	2.11	07/22/20	2.15	0.04 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>200.59</b>		<b>200.04</b>	<b>0.04 W</b>	<b>0.59</b>	
CANCER GENETICS, INC. COMMON STOCK / CUSIP: 13739U203 / Symbol:							
08/24/20	50.000	487.90	08/24/20	461.69	...	26.21	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
6 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,199.96	03/31/20	1,178.25	...	21.71	1 of 6 - Sale <sup>25</sup>
	1.000	12.00	04/02/20	8.04	...	3.96	2 of 6 - Sale <sup>25</sup>
	1.000	12.00	04/02/20	8.04	...	3.96	3 of 6 - Sale <sup>25</sup>
	11.000	132.00	04/02/20	88.39	...	43.61	4 of 6 - Sale <sup>25</sup>
	27.000	324.00	04/02/20	216.97	...	107.03	5 of 6 - Sale <sup>25</sup>
	60.000	719.97	04/02/20	482.15	...	237.82	6 of 6 - Sale <sup>25</sup>
04/09/20	200.000	2,399.93	Various	1,981.84	...	418.09	Total of 6 transactions
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	200.000	2,673.95	04/27/20	2,365.40	...	308.55	1 of 2 - Sale <sup>25</sup>
	100.000	1,336.98	04/28/20	1,283.39	...	53.59	2 of 2 - Sale <sup>25</sup>
04/30/20	300.000	4,010.93	Various	3,648.79	...	362.14	Total of 2 transactions
05/22/20	100.000	1,199.96	05/04/20	1,206.00	...	-6.04	Sale <sup>25</sup>
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	20.000	240.43	07/13/20	266.60	26.17 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	961.72	07/13/20	1,024.79	63.07 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/20	100.000	1,202.15	Various	1,291.39	89.24 W	0.00	Total of 2 transactions
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	20.000	279.00	07/30/20	254.47	...	24.53	1 of 2 - Sale <sup>25</sup>
	80.000	1,116.02	07/30/20	976.27	...	139.75	2 of 2 - Sale <sup>25</sup>
08/11/20	100.000	1,395.02	Various	1,230.74	...	164.28	Total of 2 transactions
	<b>Security total:</b>	<b>10,207.99</b>		<b>9,358.76</b>	<b>89.24 W</b>	<b>938.47</b>	
CASI PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 14757U109 / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	400.000	659.93	08/17/20	762.00	...	-102.07	1 of 2 - Sale <sup>25</sup>
	200.000	329.97	08/17/20	381.00	...	-51.03	2 of 2 - Sale <sup>25</sup>
09/11/20	600.000	989.90	Various	1,143.00	...	-153.10	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	100.000	331.98	07/29/20	328.50	...	3.48	1 of 2 - Sale <sup>25</sup>
	400.000	1,327.92	07/29/20	1,315.96	...	11.96	2 of 2 - Sale <sup>25</sup>
07/29/20	500.000	1,659.90	Various	1,644.46	...	15.44	Total of 2 transactions
CHEVRON CORPORATION / CUSIP: 166764100 / Symbol:							
03/24/20	25.000	1,538.71	03/23/20	1,396.25	...	142.46	Sale <sup>25</sup>
CITRIX SYSTEMS, INC. COMMON STOCK / CUSIP: 177376100 / Symbol:							
06/15/20	1.000	143.10	06/09/20	140.90	...	2.20	Sale <sup>25</sup>
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
07/10/20	200.000	2,470.36	07/06/20	2,356.00	...	114.36	Sale <sup>25</sup>
CLOVIS ONCOLOGY, INC. COMMON STOCK / CUSIP: 189464100 / Symbol:							
08/17/20	50.000	257.00	08/14/20	245.00	...	12.00	Sale <sup>25</sup>
COCRYSTAL PHARMA, INC. COMMON STOCK / CUSIP: 19188J300 / Symbol:							
07/10/20	200.000	384.56	07/09/20	360.00	...	24.56	Sale <sup>25</sup>
COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol:							
08/17/20	400.000	657.93	08/17/20	602.00	...	55.93	Sale <sup>25</sup>
CONTINENTAL RESOURCES INC. / CUSIP: 212015101 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	25.000	283.75	04/02/20	211.00	...	72.75	1 of 2 - Sale <sup>25</sup>
	25.000	283.74	04/02/20	209.25	...	74.49	2 of 2 - Sale <sup>25</sup>
04/09/20	50.000	567.49	Various	420.25	...	147.24	Total of 2 transactions
04/21/20	100.000	997.97	04/20/20	997.95	...	0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,565.46</b>		<b>1,418.20</b>	...	<b>147.26</b>	

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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CORNERSTONE BUILDING BRANDS, I NC. / CUSIP: 21925D109 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	53.941	472.52	08/12/20	492.48	...	-19.96	1 of 2 - Sale <sup>25</sup>
	0.825	7.22	08/12/20	7.52	...	-0.30	2 of 2 - Sale <sup>25</sup>
08/12/20	54.765	479.74	Various	500.00	...	-20.26	Total of 2 transactions
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
06/10/20	1.000	119.80	06/08/20	111.33	...	8.47	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
08/11/20	10.000	762.38	08/06/20	749.70	...	12.68	Sale <sup>25</sup>
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
06/09/20	100.000	1,863.10	06/08/20	1,984.00	120.90 W	0.00	Sale <sup>25</sup>
07/14/20	100.000	1,160.06	07/09/20	1,305.40	...	-145.34	Sale <sup>25</sup>
08/28/20	50.000	869.98	08/28/20	855.50	...	14.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,893.14</b>		<b>4,144.90</b>	<b>120.90 W</b>	<b>-130.86</b>	
DELMAR PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 247078306 / Symbol:							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	53.000	67.30	07/21/20	65.72	...	1.58	1 of 3 - Sale <sup>25</sup>
	100.000	125.98	07/21/20	124.00	...	1.98	2 of 3 - Sale <sup>25</sup>
	347.000	437.17	07/21/20	430.28	...	6.89	3 of 3 - Sale <sup>25</sup>
07/21/20	500.000	630.45	Various	620.00	...	10.45	Total of 3 transactions
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
03/25/20	20.000	461.40	03/24/20	458.57	...	2.83	Sale <sup>25</sup>
04/09/20	50.000	1,838.47	03/31/20	1,321.39	...	517.08	Sale <sup>25</sup>
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	100.000	2,982.43	04/17/20	2,887.28	...	95.15	1 of 2 - Sale <sup>25</sup>
	100.000	2,982.43	04/17/20	2,838.00	...	144.43	2 of 2 - Sale <sup>25</sup>
04/20/20	200.000	5,964.86	Various	5,725.28	...	239.58	Total of 2 transactions

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol: (cont'd)							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	50.000	2,052.45	04/27/20	1,823.45	...	229.00	1 of 2 - Sale <sup>25</sup>
	50.000	2,052.45	04/28/20	1,799.65	...	252.80	2 of 2 - Sale <sup>25</sup>
04/30/20	100.000	4,104.90	Various	3,623.10	...	481.80	Total of 2 transactions
	<b>Security total:</b>	<b>12,369.63</b>		<b>11,128.34</b>	...	<b>1,241.29</b>	
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
08/28/20	37.763	908.56	08/18/20	1,000.00	...	-91.44	Sale <sup>25</sup>
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
07/17/20	0.953	187.49	07/13/20	194.77	...	-7.28	Sale <sup>25</sup>
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	30.000	5,674.21	07/13/20	6,130.50	303.48 W	-152.81	1 of 2 - Sale <sup>25</sup>
	10.000	1,914.95	07/13/20	2,043.50	128.55 W	0.00	2 of 2 - Sale <sup>25</sup>
07/17/20	40.000	7,589.16	Various	8,174.00	432.03 W	-152.81	Total of 2 transactions
4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	19.953	3,986.00	07/13/20	4,300.35	...	-314.35	1 of 4 - Sale <sup>25</sup>
	9.953	1,948.23	07/13/20	2,121.69	...	-173.46	2 of 4 - Sale <sup>25</sup>
	9.047	1,770.84	07/13/20	1,848.73	...	-77.89	3 of 4 - Sale <sup>25</sup>
	0.047	9.37	07/13/20	9.99	...	-0.62	4 of 4 - Sale <sup>25</sup>
07/20/20	39.000	7,714.44	Various	8,280.76	...	-566.32	Total of 4 transactions
	<b>Security total:</b>	<b>15,491.09</b>		<b>16,649.53</b>	<b>432.03 W</b>	<b>-726.41</b>	
DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
07/20/20	10.000	41.61	07/20/20	51.70	...	-10.09	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
07/27/20	100.000	3,409.92	07/27/20	3,475.40	16.37 W	-49.11	Sale <sup>25</sup>
08/13/20	25.000	874.48	08/10/20	820.82	...	53.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,284.40</b>		<b>4,296.22</b>	<b>16.37 W</b>	<b>4.55</b>	

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DROPOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	50.000	1,024.47	08/07/20	1,056.00	...	-31.53	1 of 2 - Sale <sup>25</sup>
	50.000	1,024.48	08/12/20	1,002.33	...	22.15	2 of 2 - Sale <sup>25</sup>
08/26/20	100.000	2,048.95	Various	2,058.33	...	-9.38	Total of 2 transactions
DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	118.000	896.77	07/28/20	1,097.40	...	-200.63	1 of 2 - Sale <sup>25</sup>
	82.000	623.17	07/28/20	762.60	...	-139.43	2 of 2 - Sale <sup>25</sup>
08/07/20	200.000	1,519.94	Various	1,860.00	...	-340.06	Total of 2 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	10.000	93.00	09/16/20	92.50	...	0.50	1 of 2 - Sale <sup>25</sup>
	10.000	102.50	09/16/20	94.00	...	8.50	2 of 2 - Sale <sup>25</sup>
09/16/20	20.000	195.50	Various	186.50	...	9.00	Total of 2 transactions
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol:							
08/19/20	50.000	212.20	08/19/20	213.25	...	-1.05	Sale <sup>25</sup>
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
08/07/20	10.000	2,705.14	08/07/20	2,714.25	...	-9.11	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
07/30/20	50.000	4,489.90	07/30/20	4,398.00	...	91.90	Sale <sup>25</sup>
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	75.22	08/11/20	78.00	2.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	14.000	1,052.77	08/11/20	1,092.00	39.23 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	15.000	1,127.99	Various	1,170.00	42.01 W	0.00	Total of 2 transactions
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	83.84	08/13/20	83.21	...	0.63	1 of 4 - Sale <sup>25</sup>
	14.000	1,173.73	08/13/20	1,165.25	...	8.48	2 of 4 - Sale <sup>25</sup>
	15.000	1,326.57	08/20/20	1,319.40	...	7.17	3 of 4 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	15.000	1,326.57	08/20/20	1,307.25	...	19.32	4 of 4 - Sale <sup>25</sup>
08/20/20	45.000	3,910.71	Various	3,875.11	...	35.60	Total of 4 transactions
	<b>Security total:</b>	<b>9,528.60</b>		<b>9,443.11</b>	<b>42.01 W</b>	<b>127.50</b>	
FLUIDIGM CORPORATION COMMON STOCK / CUSIP: 34385P108 / Symbol:							
09/22/20	100.000	829.97	09/18/20	763.99	...	65.98	Sale <sup>25</sup>
	2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	100.000	792.19	09/18/20	754.94	...	37.25	1 of 2 - Sale <sup>25</sup>
	100.000	792.19	09/22/20	846.99	...	-54.80	2 of 2 - Sale <sup>25</sup>
09/23/20	200.000	1,584.38	Various	1,601.93	...	-17.55	Total of 2 transactions
	<b>Security total:</b>	<b>2,414.35</b>		<b>2,365.92</b>	...	<b>48.43</b>	
FRANDESCAS HLDGS CORP COMMON STOCK / CUSIP: 351793203 / Symbol:							
	2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	42.000	235.20	08/07/20	221.93	...	13.27	1 of 2 - Sale <sup>25</sup>
	58.000	324.79	08/07/20	295.45	...	29.34	2 of 2 - Sale <sup>25</sup>
08/11/20	100.000	559.99	Various	517.38	...	42.61	Total of 2 transactions
08/11/20	100.000	559.97	08/05/20	579.00	7.99 W	-11.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,119.96</b>		<b>1,096.38</b>	<b>7.99 W</b>	<b>31.57</b>	
GENIUS BRANDS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/19/20	200.000	552.96	06/16/20	752.00	199.04 W	0.00	Sale <sup>25</sup>
07/02/20	800.000	2,775.92	06/22/20	2,352.00	...	423.92	Sale <sup>25</sup>
07/02/20	200.000	693.98	06/22/20	787.04	93.06 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	200.000	456.96	07/07/20	533.06	...	-76.10	1 of 2 - Sale <sup>25</sup>
	800.000	1,827.86	07/07/20	1,760.00	...	67.86	2 of 2 - Sale <sup>25</sup>
07/10/20	1,000.000	2,284.82	Various	2,293.06	...	-8.24	Total of 2 transactions
	<b>Security total:</b>	<b>6,307.68</b>		<b>6,184.10</b>	<b>292.10 W</b>	<b>415.68</b>	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
	4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	49.000	62.23	08/28/20	66.64	...	-4.41	1 of 4 - Sale <sup>25</sup>
	48.000	60.95	08/28/20	65.28	...	-4.33	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
	2.000	2.54	08/28/20	2.72	...	-0.18	3 of 4 - Sale <sup>25</sup>
	1.000	1.27	08/28/20	1.36	...	-0.09	4 of 4 - Sale <sup>25</sup>
08/28/20	100.000	126.99	Various	136.00	...	-9.01	Total of 4 transactions
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
07/01/20	5.000	388.00	06/22/20	379.38	...	8.62	Sale <sup>25</sup>
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	100.000	90.09	06/26/20	89.77	...	0.32	1 of 5 - Sale <sup>25</sup>
	500.000	450.44	06/26/20	448.85	...	1.59	2 of 5 - Sale <sup>25</sup>
	500.000	450.44	06/26/20	448.85	...	1.59	3 of 5 - Sale <sup>25</sup>
	900.000	810.77	06/26/20	807.93	...	2.84	4 of 5 - Sale <sup>25</sup>
	1,000.000	901.96	06/26/20	897.70	...	4.26	5 of 5 - Sale <sup>25</sup>
07/08/20	3,000.000	2,703.70	Various	2,693.10	...	10.60	Total of 5 transactions
07/20/20	671.164	2,055.71	07/20/20	2,000.00	...	55.71	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,759.41</b>		<b>4,693.10</b>	...	<b>66.31</b>	
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	94.000	277.66	06/05/20	346.84	...	-69.18	1 of 3 - Sale <sup>25</sup>
	5.000	14.77	06/05/20	18.40	...	-3.63	2 of 3 - Sale <sup>25</sup>
	1.000	2.95	06/05/20	3.69	...	-0.74	3 of 3 - Sale <sup>25</sup>
06/05/20	100.000	295.38	Various	368.93	...	-73.55	Total of 3 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
5 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	666.000	958.94	08/17/20	862.47	...	96.47	1 of 5 - Sale <sup>25</sup>
	100.000	143.99	08/20/20	144.02	...	-0.03	2 of 5 - Sale <sup>25</sup>
	69.000	99.35	08/20/20	99.37	...	-0.02	3 of 5 - Sale <sup>25</sup>
	31.000	44.63	08/20/20	44.64	...	-0.01	4 of 5 - Sale <sup>25</sup>
	16.000	23.04	08/20/20	23.04	...	0.00	5 of 5 - Sale <sup>25</sup>
08/20/20	882.000	1,269.95	Various	1,173.54	...	96.41	Total of 5 transactions
08/20/20	450.000	647.93	08/20/20	648.00	0.03 W	-0.04	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,917.88</b>		<b>1,821.54</b>		<b>0.03 W</b>	<b>96.37</b>
IMMURON LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45254U101 / Symbol:							
07/20/20	100.000	1,209.97	07/20/20	1,217.00	...	-7.03	Sale <sup>25</sup>
IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol:							
09/18/20	5.000	9.65	09/18/20	9.75	...	-0.10	Sale <sup>25</sup>
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
08/12/20	100.000	117.00	08/12/20	108.00	...	9.00	Sale <sup>25</sup>
14 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	122.749	368.22	08/13/20	569.57	...	-201.35	1 of 14 - Sale <sup>25</sup>
	72.938	218.79	08/13/20	324.57	...	-105.78	2 of 14 - Sale <sup>25</sup>
	67.175	204.88	08/13/20	249.88	...	-45.00	3 of 14 - Sale <sup>25</sup>
	2.988	8.96	08/13/20	13.68	...	-4.72	4 of 14 - Sale <sup>25</sup>
	0.626	1.88	08/13/20	2.78	...	-0.90	5 of 14 - Sale <sup>25</sup>
	0.332	1.00	08/13/20	1.84	...	-0.84	6 of 14 - Sale <sup>25</sup>
	0.472	1.38	08/13/20	2.19	...	-0.81	7 of 14 - Sale <sup>25</sup>
	0.488	1.47	08/13/20	2.24	...	-0.77	8 of 14 - Sale <sup>25</sup>
	0.459	1.38	08/13/20	2.14	...	-0.76	9 of 14 - Sale <sup>25</sup>
	0.419	1.26	08/13/20	1.86	...	-0.60	10 of 14 - Sale <sup>25</sup>
	0.056	0.16	08/13/20	0.26	...	-0.10	11 of 14 - Sale <sup>25</sup>
	0.120	0.41	08/13/20	0.36	...	0.05	12 of 14 - Sale <sup>25</sup>
	0.831	2.82	08/13/20	2.50	...	0.32	13 of 14 - Sale <sup>25</sup>
	165.169	559.90	08/13/20	497.14	...	62.76	14 of 14 - Sale <sup>25</sup>
08/13/20	434.823	1,372.51	Various	1,671.01	...	-298.50	Total of 14 transactions
11 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	131.654	401.53	08/13/20	497.64	53.65 W	-42.46	1 of 11 - Sale <sup>25</sup>
	123.609	490.72	08/13/20	498.15	7.43 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.459	1.82	08/13/20	1.85	0.03 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.332	1.01	08/13/20	1.32	0.31 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.056	0.17	08/13/20	0.22	0.05 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.332	1.01	08/13/20	1.61	0.60 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.459	1.40	08/13/20	1.83	0.43 W	0.00	7 of 11 - Sale <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol: (cont'd)							
	123.221	375.81	08/13/20	489.20	113.39 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.988	9.11	08/13/20	11.68	2.57 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.488	1.49	08/13/20	1.91	0.42 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.626	1.91	08/13/20	2.36	0.45 W	0.00	11 of 11 - Sale <sup>25</sup>
08/13/20	384.226	1,285.98	Various	1,507.77	179.33 W	-42.46	Total of 11 transactions
08/14/20	0.123	0.23	08/13/20	0.55	...	-0.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,775.72</b>		<b>3,287.33</b>	<b>179.33 W</b>	<b>-332.28</b>	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	30.000	517.78	09/16/20	527.33	...	-9.55	1 of 2 - Sale <sup>25</sup>
	25.000	431.48	09/16/20	421.74	...	9.74	2 of 2 - Sale <sup>25</sup>
09/16/20	55.000	949.26	Various	949.07	...	0.19	Total of 2 transactions
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	53.48	06/05/20	52.00	...	1.48	1 of 2 - Sale <sup>25</sup>
	500.000	267.39	06/05/20	257.10	...	10.29	2 of 2 - Sale <sup>25</sup>
06/11/20	600.000	320.87	Various	309.10	...	11.77	Total of 2 transactions
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
03/26/20	50.000	565.49	03/25/20	534.50	...	30.99	Sale <sup>25</sup>
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
03/25/20	20.000	326.20	03/24/20	308.94	...	17.26	Sale <sup>25</sup>
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
11 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	15.000	42.18	04/29/20	21.30	...	20.88	1 of 11 - Sale <sup>25</sup>
	45.000	126.54	04/29/20	63.90	...	62.64	2 of 11 - Sale <sup>25</sup>
	350.000	984.20	04/29/20	496.97	...	487.23	3 of 11 - Sale <sup>25</sup>
	30.000	84.36	05/08/20	42.55	...	41.81	4 of 11 - Sale <sup>25</sup>
	100.000	281.20	05/08/20	140.00	...	141.20	5 of 11 - Sale <sup>25</sup>
	100.000	281.20	05/08/20	140.00	...	141.20	6 of 11 - Sale <sup>25</sup>
	100.000	281.20	05/08/20	140.00	...	141.20	7 of 11 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol: (cont'd)							
	100.000	281.20	05/08/20	140.00	...	141.20	8 of 11 - Sale <sup>25</sup>
	100.000	281.21	05/08/20	140.00	...	141.21	9 of 11 - Sale <sup>25</sup>
	12.000	33.74	05/19/20	21.00	...	12.74	10 of 11 - Sale <sup>25</sup>
	48.000	134.98	05/26/20	90.63	...	44.35	11 of 11 - Sale <sup>25</sup>
06/09/20	1,000.000	2,812.01	Various	1,436.35	...	1,375.66	Total of 11 transactions
	3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	8.000	13.45	07/06/20	12.80	...	0.65	1 of 3 - Sale <sup>25</sup>
	638.000	1,072.95	07/06/20	1,020.80	...	52.15	2 of 3 - Sale <sup>25</sup>
	854.000	1,436.21	07/06/20	1,366.40	...	69.81	3 of 3 - Sale <sup>25</sup>
07/07/20	1,500.000	2,522.61	Various	2,400.00	...	122.61	Total of 3 transactions
07/14/20	500.000	765.82	07/09/20	794.05	28.23 W	0.00	Sale <sup>25</sup>
	2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	500.000	865.92	08/03/20	717.33	...	148.59	1 of 2 - Sale <sup>25</sup>
	500.000	865.92	08/03/20	689.10	...	176.82	2 of 2 - Sale <sup>25</sup>
08/11/20	1,000.000	1,731.84	Various	1,406.43	...	325.41	Total of 2 transactions
08/31/20	500.000	740.97	08/27/20	724.10	...	16.87	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,573.25</b>		<b>6,760.93</b>	<b>28.23 W</b>	<b>1,840.55</b>	
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
08/14/20	50.000	1,774.96	08/14/20	1,789.00	...	-14.04	Sale <sup>25</sup>
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
	4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	2.000	36.69	08/24/20	34.18	...	2.51	1 of 4 - Sale <sup>25</sup>
	10.000	183.44	08/24/20	170.90	...	12.54	2 of 4 - Sale <sup>25</sup>
	10.000	183.44	08/24/20	170.90	...	12.54	3 of 4 - Sale <sup>25</sup>
	28.000	513.65	08/24/20	478.52	...	35.13	4 of 4 - Sale <sup>25</sup>
08/25/20	50.000	917.22	Various	854.50	...	62.72	Total of 4 transactions
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol:							
	7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	10.000	21.00	06/26/20	14.00	...	7.00	1 of 7 - Sale <sup>25</sup>
	50.000	105.50	06/26/20	70.00	...	35.50	2 of 7 - Sale <sup>25</sup>
	74.000	156.13	06/26/20	103.60	...	52.53	3 of 7 - Sale <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	100.000	210.99	06/26/20	140.00	...	70.99	4 of 7 - Sale <sup>25</sup>
	250.000	527.45	06/26/20	350.00	...	177.45	5 of 7 - Sale <sup>25</sup>
	389.000	816.83	06/26/20	544.60	...	272.23	6 of 7 - Sale <sup>25</sup>
	627.000	1,316.60	06/26/20	877.80	...	438.80	7 of 7 - Sale <sup>25</sup>
07/06/20	1,500.000	3,154.50	Various	2,100.00	...	1,054.50	Total of 7 transactions
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
08/03/20	200.000	326.98	08/03/20	337.00	...	-10.02	Sale <sup>25</sup>
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	200.000	585.96	05/27/20	540.00	...	45.96	1 of 3 - Sale <sup>25</sup>
	200.000	585.96	06/04/20	615.80	...	-29.84	2 of 3 - Sale <sup>25</sup>
	200.000	585.97	06/04/20	600.00	...	-14.03	3 of 3 - Sale <sup>25</sup>
06/04/20	600.000	1,757.89	Various	1,755.80	...	2.09	Total of 3 transactions
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
06/23/20	10.000	351.00	06/23/20	344.30	...	6.70	Sale <sup>25</sup>
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.605	17.89	07/13/20	17.48	...	0.41	1 of 2 - Sale <sup>25</sup>
	34.000	1,006.03	07/13/20	982.52	...	23.51	2 of 2 - Sale <sup>25</sup>
07/15/20	34.605	1,023.92	Various	1,000.00	...	23.92	Total of 2 transactions
	<b>Security total:</b>	<b>1,374.92</b>		<b>1,344.30</b>	...	<b>30.62</b>	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	141.000	392.46	06/05/20	428.64	...	-36.18	1 of 2 - Sale <sup>25</sup>
	59.000	164.22	06/05/20	179.36	...	-15.14	2 of 2 - Sale <sup>25</sup>
06/05/20	200.000	556.68	Various	608.00	...	-51.32	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
<i>2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	258.39	03/24/20	219.16	...	39.23	1 of 2 - Sale <sup>25</sup>
	100.000	1,291.96	03/25/20	1,313.99	...	-22.03	2 of 2 - Sale <sup>25</sup>
03/27/20	120.000	1,550.35	Various	1,533.15	...	17.20	Total of 2 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
<i>3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	148.187	978.73	08/18/20	989.88	...	-11.15	1 of 3 - Sale <sup>25</sup>
	0.704	4.65	08/18/20	4.75	...	-0.10	2 of 3 - Sale <sup>25</sup>
	0.109	0.72	08/18/20	0.72	...	0.00	3 of 3 - Sale <sup>25</sup>
08/26/20	149.000	984.10	Various	995.35	...	-11.25	Total of 3 transactions
08/26/20	0.704	4.65	08/18/20	4.70	0.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>988.75</b>		<b>1,000.05</b>	<b>0.05 W</b>	<b>-11.25</b>	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
08/03/20	100.000	306.00	08/03/20	303.00	...	3.00	Sale <sup>25</sup>
MARINE PETROLEUM TRUST UNITS O F BENEFICIAL INTEREST / CUSIP: 568423107 / Symbol: / Note: MP							
<i>3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	5.24	07/22/20	5.07	...	0.17	1 of 3 - Sale <sup>25</sup>
	7.000	18.34	07/22/20	17.76	...	0.58	2 of 3 - Sale <sup>25</sup>
	91.000	245.69	07/22/20	230.88	...	14.81	3 of 3 - Sale <sup>25</sup>
07/22/20	100.000	269.27	Various	253.71	...	15.56	Total of 3 transactions
MIDATECH PHARMA PLC AMERICAN D EPOSITARY SHS / CUSIP: 59564R302 / Symbol:							
07/21/20	100.000	397.05	07/21/20	385.00	...	12.05	Sale <sup>25</sup>
08/14/20	100.000	348.99	08/14/20	300.00	...	48.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>746.04</b>		<b>685.00</b>	...	<b>61.04</b>	
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
<i>2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	1,109.93	06/09/20	1,155.00	...	-45.07	1 of 2 - Sale <sup>25</sup>
	200.000	740.68	06/09/20	770.00	...	-29.32	2 of 2 - Sale <sup>25</sup>
06/19/20	500.000	1,850.61	Various	1,925.00	...	-74.39	Total of 2 transactions

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D101 / Symbol:							
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	282.921	391.81	07/21/20	430.04	...	-38.23	1 of 4 - Sale <sup>25</sup>
	44.000	60.94	07/21/20	66.88	...	-5.94	2 of 4 - Sale <sup>25</sup>
	1.000	1.38	07/21/20	1.60	...	-0.22	3 of 4 - Sale <sup>25</sup>
	0.033	0.05	07/21/20	0.05	...	0.00	4 of 4 - Sale <sup>25</sup>
07/21/20	327.954	454.18	Various	498.57	...	-44.39	Total of 4 transactions
07/21/20	1.000	1.43	07/21/20	1.51	0.08 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>455.61</b>		<b>500.08</b>	<b>0.08 W</b>	<b>-44.39</b>	
MYOMO INC. / CUSIP: 62857J201 / Symbol:							
08/20/20	25.000	97.55	08/20/20	102.40	...	-4.85	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
06/24/20	130.000	902.16	06/24/20	886.59	...	15.57	Sale <sup>25</sup>
08/12/20	50.000	663.98	08/12/20	645.00	...	18.98	Sale <sup>25</sup>
08/17/20	50.000	682.48	08/14/20	653.50	...	28.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,248.62</b>		<b>2,185.09</b>	...	<b>63.53</b>	
NEW AGE BEVERAGES CORPORATION COMMON / CUSIP: 64157V108 / Symbol:							
03/12/20	25.000	35.75	12/13/19	49.38	...	-13.63	Sale <sup>25</sup>
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
08/11/20	10.000	430.20	08/10/20	438.73	...	-8.53	Sale <sup>25</sup>
9 METERS BIOPHARMA, INC. COMMON STOCK / CUSIP: 654405109 / Symbol:							
08/19/20	100.000	93.14	08/19/20	90.43	...	2.71	Sale <sup>25</sup>
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
08/18/20	250.000	302.47	08/18/20	340.00	...	-37.53	Sale <sup>25</sup>
NOVUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 67011N105 / Symbol:							
09/15/20	100.000	103.99	09/15/20	91.29	...	12.70	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
8 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	49.467	648.00	08/26/20	922.53	...	-274.53	1 of 8 - Sale <sup>25</sup>
	42.167	552.37	08/26/20	753.49	...	-201.12	2 of 8 - Sale <sup>25</sup>
	6.000	78.60	08/26/20	112.08	...	-33.48	3 of 8 - Sale <sup>25</sup>
	49.167	645.55	08/26/20	654.33	...	-8.78	4 of 8 - Sale <sup>25</sup>
	1.000	13.10	08/26/20	18.68	...	-5.58	5 of 8 - Sale <sup>25</sup>
	0.533	6.99	08/26/20	9.94	...	-2.95	6 of 8 - Sale <sup>25</sup>
	0.514	6.74	08/26/20	9.59	...	-2.85	7 of 8 - Sale <sup>25</sup>
	0.319	4.19	08/26/20	5.78	...	-1.59	8 of 8 - Sale <sup>25</sup>
08/28/20	149.167	1,955.54	Various	2,486.42	...	-530.88	Total of 8 transactions
7 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	100.000	1,312.96	07/30/20	1,589.00	276.04 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	656.48	08/12/20	883.02	226.54 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	654.98	08/12/20	883.02	228.04 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.533	6.99	08/19/20	9.83	2.84 W	0.00	4 of 7 - Sale <sup>25</sup>
	49.467	647.99	08/19/20	912.20	264.21 W	0.00	5 of 7 - Sale <sup>25</sup>
	7.514	98.42	08/19/20	138.78	40.36 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.319	4.18	08/26/20	5.71	1.53 W	0.00	7 of 7 - Sale <sup>25</sup>
08/28/20	257.833	3,382.00	Various	4,421.56	1,039.56 W	0.00	Total of 7 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.610	8.02	08/26/20	8.12	...	-0.10	1 of 2 - Sale <sup>25</sup>
	0.185	2.36	08/26/20	2.46	...	-0.10	2 of 2 - Sale <sup>25</sup>
08/31/20	0.795	10.38	Various	10.58	...	-0.20	Total of 2 transactions
	<b>Security total:</b>	<b>5,347.92</b>		<b>6,918.56</b>	<b>1,039.56 W</b>	<b>-531.08</b>	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	0.300	0.43	07/21/20	0.41	...	0.02	1 of 2 - Sale <sup>25</sup>
	364.664	525.06	07/21/20	499.59	...	25.47	2 of 2 - Sale <sup>25</sup>
07/21/20	364.965	525.49	Various	500.00	...	25.49	Total of 2 transactions

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ONSMART INTERNATIONAL EDUCATI ON GROUP LIMITED / CUSIP: 68276W103 / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	5.000	31.90	08/19/20	30.55	...	1.35	1 of 2 - Sale <sup>25</sup>
	20.000	127.80	08/19/20	122.20	...	5.60	2 of 2 - Sale <sup>25</sup>
08/19/20	25.000	159.70	Various	152.75	...	6.95	Total of 2 transactions
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
08/20/20	25.000	85.75	08/20/20	90.00	...	-4.25	Sale <sup>25</sup>
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
11 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	0.343	0.61	07/21/20	0.61	...	0.00	1 of 11 - Sale <sup>25</sup>
	0.299	0.53	07/21/20	0.53	...	0.00	2 of 11 - Sale <sup>25</sup>
	0.263	0.47	07/21/20	0.46	...	0.01	3 of 11 - Sale <sup>25</sup>
	3.000	5.34	07/21/20	5.25	...	0.09	4 of 11 - Sale <sup>25</sup>
	42.934	76.42	07/21/20	75.13	...	1.29	5 of 11 - Sale <sup>25</sup>
	40.161	71.48	07/21/20	70.08	...	1.40	6 of 11 - Sale <sup>25</sup>
	100.000	177.99	07/21/20	174.50	...	3.49	7 of 11 - Sale <sup>25</sup>
	384.820	688.76	07/21/20	673.44	...	15.32	8 of 11 - Sale <sup>25</sup>
	51.781	83.87	07/22/20	99.42	...	-15.55	9 of 11 - Sale <sup>25</sup>
	0.135	0.22	07/22/20	0.26	...	-0.04	10 of 11 - Sale <sup>25</sup>
	0.084	0.14	07/22/20	0.18	...	-0.04	11 of 11 - Sale <sup>25</sup>
07/22/20	623.820	1,105.83	Various	1,099.86	...	5.97	Total of 11 transactions
07/22/20	0.084	0.14	07/22/20	0.16	0.02 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,105.97</b>		<b>1,100.02</b>	<b>0.02 W</b>	<b>5.97</b>	
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	87.766	459.01	08/12/20	494.12	...	-35.11	1 of 2 - Sale <sup>25</sup>
	1.047	5.48	08/12/20	5.88	...	-0.40	2 of 2 - Sale <sup>25</sup>
08/12/20	88.813	464.49	Various	500.00	...	-35.51	Total of 2 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
07/17/20	500.000	631.07	07/13/20	680.00	...	-48.93	Sale <sup>25</sup>

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	100.000	275.00	08/10/20	567.04	...	-292.04	1 of 4 - Sale <sup>25</sup>
	44.000	121.00	08/10/20	249.50	...	-128.50	2 of 4 - Sale <sup>25</sup>
	0.465	1.28	08/10/20	2.63	...	-1.35	3 of 4 - Sale <sup>25</sup>
	0.349	0.92	08/10/20	1.98	...	-1.06	4 of 4 - Sale <sup>25</sup>
08/14/20	144.814	398.20	Various	821.15	...	-422.95	Total of 4 transactions
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	0.465	1.28	08/04/20	2.15	0.87 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	275.49	08/04/20	463.50	188.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	244.000	672.17	08/04/20	1,130.94	458.77 W	0.00	3 of 4 - Sale <sup>25</sup>
	55.535	152.72	08/04/20	257.41	104.69 W	0.00	4 of 4 - Sale <sup>25</sup>
08/14/20	400.000	1,101.66	Various	1,854.00	752.34 W	0.00	Total of 4 transactions
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	100.000	292.99	08/10/20	567.05	...	-274.06	1 of 4 - Sale <sup>25</sup>
	99.465	290.42	08/10/20	564.01	...	-273.59	2 of 4 - Sale <sup>25</sup>
	55.535	162.16	08/10/20	315.19	...	-153.03	3 of 4 - Sale <sup>25</sup>
	0.186	0.55	08/10/20	1.06	...	-0.51	4 of 4 - Sale <sup>25</sup>
08/19/20	255.186	746.12	Various	1,447.31	...	-701.19	Total of 4 transactions
	<b>Security total:</b>	<b>2,245.98</b>		<b>4,122.46</b>	<b>752.34 W</b>	<b>-1,124.14</b>	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
08/18/20	25.000	1,698.94	08/12/20	1,630.25	...	68.69	Sale <sup>25</sup>
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
08/19/20	25.000	900.32	08/19/20	949.48	...	-49.16	Sale <sup>25</sup>
PIONEER POWER SOLUTIONS, INC. COMMON STOCK / CUSIP: 723836300 / Symbol:							
10/07/20	80.000	528.77	10/06/20	613.20	...	-84.43	Sale <sup>25</sup>
10/12/20	20.000	80.47	10/06/20	153.30	...	-72.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>609.24</b>		<b>766.50</b>	...	<b>-157.26</b>	
QUALIGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 74754R103 / Symbol:							
09/17/20	100.000	470.99	09/16/20	477.00	...	-6.01	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	5.000	142.39	08/28/20	140.90	...	1.49	1 of 2 - Sale <sup>25</sup>
	15.000	427.19	08/28/20	422.63	...	4.56	2 of 2 - Sale <sup>25</sup>
08/28/20	20.000	569.58	Various	563.53	...	6.05	Total of 2 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
07/02/20	20.000	2,608.54	06/24/20	2,510.00	...	98.54	Sale <sup>25</sup>
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
08/25/20	1.000	234.97	08/25/20	232.40	...	2.57	Sale <sup>25</sup>
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	5.000	552.11	07/13/20	615.85	...	-63.74	1 of 3 - Sale <sup>25</sup>
	5.000	552.11	07/13/20	615.85	...	-63.74	2 of 3 - Sale <sup>25</sup>
	5.000	552.11	07/13/20	600.00	...	-47.89	3 of 3 - Sale <sup>25</sup>
07/14/20	15.000	1,656.33	Various	1,831.70	...	-175.37	Total of 3 transactions
SENMIAO TECHNOLOGY LIMITED COM MON STOCK / CUSIP: 817225105 / Symbol:							
08/03/20	100.000	92.99	08/03/20	103.80	...	-10.81	Sale <sup>25</sup>
SIGMA LABS, INC. COMMON STOCK / CUSIP: 826598500 / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	10.000	51.27	08/25/20	51.10	...	0.17	1 of 2 - Sale <sup>25</sup>
	10.000	51.27	08/25/20	47.15	...	4.12	2 of 2 - Sale <sup>25</sup>
08/25/20	20.000	102.54	Various	98.25	...	4.29	Total of 2 transactions
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	50.000	126.05	08/05/20	125.49	...	0.56	1 of 2 - Sale <sup>25</sup>
	50.000	126.50	08/05/20	125.50	...	1.00	2 of 2 - Sale <sup>25</sup>
08/06/20	100.000	252.55	Various	250.99	...	1.56	Total of 2 transactions
SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol:							
07/27/20	100.000	349.00	07/27/20	379.00	...	-30.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SONIM TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 83548F101 / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	10.000	9.95	07/28/20	12.00	...	-2.05	1 of 2 - Sale <sup>25</sup>
	10.000	9.95	07/28/20	10.72	...	-0.77	2 of 2 - Sale <sup>25</sup>
07/28/20	20.000	19.90	Various	22.72	...	-2.82	Total of 2 transactions
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	13.000	442.00	04/29/20	394.39	...	47.61	1 of 3 - Sale <sup>25</sup>
	37.000	1,257.97	04/29/20	1,122.48	...	135.49	2 of 3 - Sale <sup>25</sup>
	50.000	1,699.95	04/29/20	1,513.93	...	186.02	3 of 3 - Sale <sup>25</sup>
05/27/20	100.000	3,399.92	Various	3,030.80	...	369.12	Total of 3 transactions
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,391.95	07/09/20	1,380.00	...	11.95	1 of 2 - Sale <sup>25</sup>
	50.000	695.98	07/09/20	647.50	...	48.48	2 of 2 - Sale <sup>25</sup>
07/09/20	150.000	2,087.93	Various	2,027.50	...	60.43	Total of 2 transactions
07/13/20	10.000	192.00	07/10/20	170.70	...	21.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,279.93</b>		<b>2,198.20</b>	...	<b>81.73</b>	
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	100.000	2,169.17	04/27/20	1,931.50	...	237.67	1 of 2 - Sale <sup>25</sup>
	100.000	2,169.17	04/28/20	2,016.79	...	152.38	2 of 2 - Sale <sup>25</sup>
04/30/20	200.000	4,338.34	Various	3,948.29	...	390.05	Total of 2 transactions
07/14/20	50.000	1,133.47	07/13/20	1,131.00	...	2.47	Sale <sup>25</sup>
08/11/20	50.000	1,190.75	07/30/20	990.00	...	200.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,662.56</b>		<b>6,069.29</b>	...	<b>593.27</b>	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
03/27/20	20.000	306.81	03/24/20	244.80	...	62.01	Sale <sup>25</sup>
03/27/20	80.000	1,227.26	03/25/20	1,295.99	68.73 W	0.00	Sale <sup>25</sup>
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	25.000	360.00	03/31/20	342.73	...	17.27	1 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	20.000	287.79	03/31/20	257.00	...	30.79	2 of 3 - Sale <sup>25</sup>
	55.000	791.42	03/31/20	753.99	...	37.43	3 of 3 - Sale <sup>25</sup>
04/09/20	100.000	1,439.21	Various	1,353.72	...	85.49	Total of 3 transactions
	4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	1.000	14.00	05/05/20	13.25	...	0.75	1 of 4 - Sale <sup>25</sup>
	28.000	391.99	05/05/20	371.00	...	20.99	2 of 4 - Sale <sup>25</sup>
	71.000	993.97	05/05/20	940.75	...	53.22	3 of 4 - Sale <sup>25</sup>
	200.000	2,799.90	05/05/20	2,630.00	...	169.90	4 of 4 - Sale <sup>25</sup>
05/27/20	300.000	4,199.86	Various	3,955.00	...	244.86	Total of 4 transactions
07/13/20	50.000	843.98	07/13/20	879.00	...	-35.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,017.12</b>		<b>7,728.51</b>	<b>68.73 W</b>	<b>357.34</b>	
SUNPOWER CORPORATION COMMON STOCK / CUSIP: 867652406 / Symbol:							
10/07/20	100.000	1,729.96	10/06/20	1,564.99	...	164.97	Sale <sup>25</sup>
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
08/19/20	2.000	306.24	08/19/20	296.36	...	9.88	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
06/02/20	1.000	889.24	05/29/20	821.21	...	68.03	Sale <sup>25</sup>
	15 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	1,597.65	07/14/20	1,498.88	...	98.77	1 of 15 - Sale <sup>25</sup>
	1.000	1,624.96	07/14/20	1,525.00	...	99.96	2 of 15 - Sale <sup>25</sup>
	1.000	1,624.96	07/14/20	1,519.00	...	105.96	3 of 15 - Sale <sup>25</sup>
	2.000	3,251.38	07/14/20	3,025.74	...	225.64	4 of 15 - Sale <sup>25</sup>
	3.000	4,877.07	07/14/20	4,539.48	...	337.59	5 of 15 - Sale <sup>25</sup>
	1.000	1,597.65	07/15/20	1,507.59	...	90.06	6 of 15 - Sale <sup>25</sup>
	1.000	1,597.65	07/21/20	1,576.99	...	20.66	7 of 15 - Sale <sup>25</sup>
	1.000	1,597.64	07/22/20	1,572.72	...	24.92	8 of 15 - Sale <sup>25</sup>
	1.000	1,520.17	07/23/20	1,516.39	...	3.78	9 of 15 - Sale <sup>25</sup>
	10.000	15,271.56	07/23/20	15,256.90	...	14.66	10 of 15 - Sale <sup>25</sup>
	10.000	15,259.66	07/23/20	15,232.80	...	26.86	11 of 15 - Sale <sup>25</sup>
	10.000	15,268.76	07/23/20	15,235.71	...	33.05	12 of 15 - Sale <sup>25</sup>
	9.000	13,681.49	07/23/20	13,647.87	...	33.62	13 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	10.000	15,095.34	07/23/20	15,050.00	...	45.34	14 of 15 - Sale <sup>25</sup>
	10.000	15,107.06	07/23/20	15,018.94	...	88.12	15 of 15 - Sale <sup>25</sup>
07/23/20	71.000	108,973.00	Various	107,724.01	...	1,248.99	Total of 15 transactions
07/24/20	10.000	14,057.68	07/23/20	15,242.10	355.32 W	-829.10	Sale <sup>25</sup>
07/28/20	2.000	3,106.79	07/28/20	3,331.74	...	-224.95	Sale <sup>25</sup>
09/11/20	5.000	1,891.98	08/12/20	1,646.24	...	245.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>128,918.69</b>		<b>128,765.30</b>	<b>355.32 W</b>	<b>508.71</b>	
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
08/07/20	5.000	2,543.25	08/07/20	2,508.40	...	34.85	Sale <sup>25</sup>
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
08/10/20	100.000	713.97	08/10/20	720.00	6.03 W	0.00	Sale <sup>25</sup>
	3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	95.000	627.92	08/25/20	669.80	...	-41.88	1 of 3 - Sale <sup>25</sup>
	1.000	6.61	08/25/20	7.05	...	-0.44	2 of 3 - Sale <sup>25</sup>
	4.000	26.44	08/25/20	26.24	...	0.20	3 of 3 - Sale <sup>25</sup>
08/31/20	100.000	660.97	Various	703.09	...	-42.12	Total of 3 transactions
	2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	99.000	654.36	08/11/20	702.93	46.61 W	-1.96	1 of 2 - Sale <sup>25</sup>
	1.000	6.61	08/11/20	7.10	0.49 W	0.00	2 of 2 - Sale <sup>25</sup>
08/31/20	100.000	660.97	Various	710.03	47.10 W	-1.96	Total of 2 transactions
	<b>Security total:</b>	<b>2,035.91</b>		<b>2,133.12</b>	<b>53.13 W</b>	<b>-44.08</b>	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
07/20/20	1,000.000	1,190.05	07/20/20	1,249.10	...	-59.05	Sale <sup>25</sup>
07/20/20	2,000.000	2,380.11	07/20/20	2,460.00	9.99 W	-69.90	Sale <sup>25</sup>
	2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.163	0.31	07/21/20	0.33	...	-0.02	1 of 2 - Sale <sup>25</sup>
	250.000	512.45	07/21/20	509.66	...	2.79	2 of 2 - Sale <sup>25</sup>
07/21/20	250.163	512.76	Various	509.99	...	2.77	Total of 2 transactions
	<b>Security total:</b>	<b>4,082.92</b>		<b>4,219.09</b>	<b>9.99 W</b>	<b>-126.18</b>	

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Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	100.000	2,725.93	05/04/20	1,904.99	...	820.94	1 of 6 - Sale <sup>25</sup>
	100.000	2,725.94	05/04/20	1,900.99	...	824.95	2 of 6 - Sale <sup>25</sup>
	100.000	2,725.93	05/05/20	2,051.00	...	674.93	3 of 6 - Sale <sup>25</sup>
	5.000	136.30	05/19/20	122.00	...	14.30	4 of 6 - Sale <sup>25</sup>
	35.000	954.08	05/19/20	854.35	...	99.73	5 of 6 - Sale <sup>25</sup>
	60.000	1,635.56	05/26/20	1,535.31	...	100.25	6 of 6 - Sale <sup>25</sup>
06/16/20	400.000	10,903.74	Various	8,368.64	...	2,535.10	Total of 6 transactions
UNIVERSAL DISPLAY CORPORATION COMMON STOCK / CUSIP: 91347P105 / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	20.000	3,134.05	07/10/20	3,138.40	...	-4.35	1 of 3 - Sale <sup>25</sup>
	20.000	3,134.05	07/10/20	3,107.08	...	26.97	2 of 3 - Sale <sup>25</sup>
	10.000	1,608.16	07/10/20	1,578.67	...	29.49	3 of 3 - Sale <sup>25</sup>
07/13/20	50.000	7,876.26	Various	7,824.15	...	52.11	Total of 3 transactions
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	23.000	341.78	08/10/20	331.62	...	10.16	1 of 3 - Sale <sup>25</sup>
	27.000	401.22	08/10/20	389.29	...	11.93	2 of 3 - Sale <sup>25</sup>
	50.000	742.98	08/10/20	720.92	...	22.06	3 of 3 - Sale <sup>25</sup>
08/13/20	100.000	1,485.98	Various	1,441.83	...	44.15	Total of 3 transactions
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.131	0.64	08/27/20	0.57	...	0.07	1 of 3 - Sale <sup>25</sup>
	0.583	2.87	08/27/20	2.55	...	0.32	2 of 3 - Sale <sup>25</sup>
	44.869	220.43	08/27/20	196.88	...	23.55	3 of 3 - Sale <sup>25</sup>
08/27/20	45.583	223.94	Various	200.00	...	23.94	Total of 3 transactions
VERONA PHARMA PLC AMERICAN DEP OSITARY SHARE / CUSIP: 925050106 / Symbol:							
07/17/20	75.000	693.73	07/17/20	697.49	...	-3.76	Sale <sup>25</sup>

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Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
07/23/20	100.000	2,481.93	07/18/20	2,573.00	...	-91.07	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
06/25/20	100.000	1,637.95	06/24/20	1,599.99	...	37.96	Sale <sup>25</sup>
4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	50.000	873.48	08/07/20	941.50	...	-68.02	1 of 4 - Sale <sup>25</sup>
	36.000	628.54	08/07/20	677.88	...	-49.34	2 of 4 - Sale <sup>25</sup>
	10.000	174.60	08/07/20	188.30	...	-13.70	3 of 4 - Sale <sup>25</sup>
	4.000	69.84	08/07/20	75.32	...	-5.48	4 of 4 - Sale <sup>25</sup>
08/28/20	100.000	1,746.46	Various	1,883.00	...	-136.54	Total of 4 transactions
	<b>Security total:</b>	<b>3,384.41</b>		<b>3,482.99</b>	...	<b>-98.58</b>	
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	5.000	1,605.29	08/07/20	1,604.90	...	0.39	1 of 2 - Sale <sup>25</sup>
	5.000	1,605.29	08/07/20	1,586.60	...	18.69	2 of 2 - Sale <sup>25</sup>
08/07/20	10.000	3,210.58	Various	3,191.50	...	19.08	Total of 2 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
08/11/20	100.000	2,588.21	07/29/20	2,557.50	...	30.71	Sale <sup>25</sup>
WESTERN DIGITAL CORPORATION COMMON STOCK / CUSIP: 958102105 / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	50.000	2,125.45	06/23/20	2,181.00	...	-55.55	1 of 2 - Sale <sup>25</sup>
	50.000	2,125.45	06/23/20	2,175.50	...	-50.05	2 of 2 - Sale <sup>25</sup>
07/07/20	100.000	4,250.90	Various	4,356.50	...	-105.60	Total of 2 transactions
WESTWATER RESOURCES, INC. COMMON STOCK / CUSIP: 961684206 / Symbol:							
10/12/20	100.000	758.27	10/06/20	1,065.00	...	-306.73	Sale <sup>25</sup>
WIPRO LIMITED / CUSIP: 97651M109 / Symbol:							
4 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	40.000	132.79	01/09/20	154.80	...	-22.01	1 of 4 - Sale <sup>25</sup>
	10.000	33.20	01/09/20	38.70	...	-5.50	2 of 4 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WIPRO LIMITED / CUSIP: 97651M109 / Symbol: (cont'd)							
	50.000	165.99	01/15/20	188.75	...	-22.76	3 of 4 - Sale <sup>25</sup>
	100.000	331.98	01/31/20	361.79	...	-29.81	4 of 4 - Sale <sup>25</sup>
03/10/20	200.000	663.96	Various	744.04	...	-80.08	Total of 4 transactions
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
07/30/20	100.000	7,511.83	07/24/20	7,299.56	...	212.27	Sale <sup>25</sup>
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
08/17/20	400.000	1,225.92	08/10/20	1,576.00	175.04 W	-175.04	Sale <sup>25</sup>
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	100.000	272.19	08/10/20	394.00	...	-121.81	1 of 2 - Sale <sup>25</sup>
	200.000	544.38	08/13/20	870.04	...	-325.66	2 of 2 - Sale <sup>25</sup>
08/20/20	300.000	816.57	Various	1,264.04	...	-447.47	Total of 2 transactions
	<b>Security total:</b>	<b>2,042.49</b>		<b>2,840.04</b>	<b>175.04 W</b>	<b>-622.51</b>	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
08/28/20	19.000	429.40	08/27/20	464.92	...	-35.52	Sale <sup>25</sup>
BORQS TECHNOLOGIES, INC. ORDIN ARY SHARES / CUSIP: G1466B103 / Symbol:							
08/24/20	100.000	137.99	08/24/20	138.00	...	-0.01	Sale <sup>25</sup>
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK / CUSIP: G5876H105 / Symbol:							
08/19/20	0.799	26.59	08/17/20	26.81	...	-0.22	Sale <sup>25</sup>
	4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	34.31	08/17/20	33.56	...	0.75	1 of 4 - Sale <sup>25</sup>
	3.000	102.93	08/17/20	100.67	...	2.26	2 of 4 - Sale <sup>25</sup>
	10.000	343.10	08/17/20	335.58	...	7.52	3 of 4 - Sale <sup>25</sup>
	15.000	514.63	08/17/20	503.38	...	11.25	4 of 4 - Sale <sup>25</sup>
08/24/20	29.000	994.97	Various	973.19	...	21.78	Total of 4 transactions
	<b>Security total:</b>	<b>1,021.56</b>		<b>1,000.00</b>	...	<b>21.56</b>	
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
06/11/20	500.000	749.92	06/09/20	785.00	...	-35.08	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
<i>4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	684.98	03/31/20	602.00	...	82.98	1 of 4 - Sale <sup>25</sup>
	50.000	684.98	03/31/20	543.50	...	141.48	2 of 4 - Sale <sup>25</sup>
	50.000	684.98	03/31/20	542.50	...	142.48	3 of 4 - Sale <sup>25</sup>
	100.000	1,369.95	04/08/20	1,110.00	...	259.95	4 of 4 - Sale <sup>25</sup>
04/09/20	250.000	3,424.89	Various	2,798.00	...	626.89	Total of 4 transactions
<i>4 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	152.50	04/24/20	110.60	...	41.90	1 of 4 - Sale <sup>25</sup>
	90.000	1,372.46	04/24/20	994.50	...	377.96	2 of 4 - Sale <sup>25</sup>
	100.000	1,524.96	04/24/20	1,125.99	...	398.97	3 of 4 - Sale <sup>25</sup>
	100.000	1,524.96	04/24/20	1,090.50	...	434.46	4 of 4 - Sale <sup>25</sup>
04/30/20	300.000	4,574.88	Various	3,321.59	...	1,253.29	Total of 4 transactions
<i>2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,149.96	05/04/20	1,358.72	208.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	1,149.96	05/05/20	1,300.00	150.04 W	0.00	2 of 2 - Sale <sup>25</sup>
05/05/20	200.000	2,299.92	Various	2,658.72	358.80 W	0.00	Total of 2 transactions
<i>2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,699.96	05/05/20	1,383.75	...	316.21	1 of 2 - Sale <sup>25</sup>
	100.000	1,699.96	05/05/20	1,325.03	...	374.93	2 of 2 - Sale <sup>25</sup>
05/27/20	200.000	3,399.92	Various	2,708.78	...	691.14	Total of 2 transactions
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	3,199.90	06/23/20	3,490.00	290.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	200.000	3,199.90	06/24/20	3,420.00	220.10 W	0.00	2 of 2 - Sale <sup>25</sup>
07/08/20	400.000	6,399.80	Various	6,910.00	510.20 W	0.00	Total of 2 transactions
07/09/20	200.000	3,024.29	06/24/20	3,690.10	665.81 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	6,259.85	07/07/20	6,238.88	...	20.97	1 of 2 - Sale <sup>25</sup>
	200.000	3,129.92	07/09/20	3,028.00	...	101.92	2 of 2 - Sale <sup>25</sup>
07/10/20	600.000	9,389.77	Various	9,266.88	...	122.89	Total of 2 transactions
<i>4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	3,129.93	06/24/20	3,925.03	795.10 W	0.00	1 of 4 - Sale <sup>25</sup>
	200.000	3,129.93	06/24/20	3,479.32	349.39 W	0.00	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
	100.000	1,624.95	07/09/20	1,911.55	286.60 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	1,624.95	07/09/20	1,911.55	286.60 W	0.00	4 of 4 - Sale <sup>25</sup>
07/10/20	600.000	9,509.76	Various	11,227.45	1,717.69 W	0.00	Total of 4 transactions
	3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	50.000	758.06	07/13/20	906.35	148.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	758.06	07/13/20	873.76	115.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	1,516.13	07/13/20	1,744.51	228.38 W	0.00	3 of 3 - Sale <sup>25</sup>
07/14/20	200.000	3,032.25	Various	3,524.62	492.37 W	0.00	Total of 3 transactions
	6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	100.000	1,397.07	07/27/20	1,692.46	...	-295.39	1 of 6 - Sale <sup>25</sup>
	100.000	1,397.06	07/27/20	1,651.63	...	-254.57	2 of 6 - Sale <sup>25</sup>
	100.000	1,409.95	07/27/20	1,620.20	...	-210.25	3 of 6 - Sale <sup>25</sup>
	50.000	698.54	07/27/20	830.81	...	-132.27	4 of 6 - Sale <sup>25</sup>
	50.000	704.98	07/27/20	827.70	...	-122.72	5 of 6 - Sale <sup>25</sup>
	100.000	1,409.95	07/27/20	1,391.82	...	18.13	6 of 6 - Sale <sup>25</sup>
08/06/20	500.000	7,017.55	Various	8,014.62	...	-997.07	Total of 6 transactions
	3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	100.000	1,409.96	07/27/20	1,710.60	300.64 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	1,409.96	07/27/20	1,710.60	300.64 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	704.98	07/27/20	860.29	155.31 W	0.00	3 of 3 - Sale <sup>25</sup>
08/06/20	250.000	3,524.90	Various	4,281.49	756.59 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>55,597.93</b>		<b>58,402.25</b>	<b>4,501.46 W</b>	<b>1,697.14</b>	
OXBRIDGE RE HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G6856M106 / Symbol:							
09/29/20	100.000	239.99	09/28/20	239.00	...	0.99	Sale <sup>25</sup>
PAGSEGURO DIGITAL LTD. / CUSIP: G68707101 / Symbol:							
	3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	25.000	638.29	12/18/19	800.63	...	-162.34	1 of 3 - Sale <sup>25</sup>
	25.000	638.30	03/05/20	743.75	...	-105.45	2 of 3 - Sale <sup>25</sup>
	50.000	1,276.59	03/06/20	1,375.88	...	-99.29	3 of 3 - Sale <sup>25</sup>
03/10/20	100.000	2,553.18	Various	2,920.26	...	-367.08	Total of 3 transactions

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Robinhood Securities LLC

Account 146515176

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEAGATE TECHNOLOGY PLC ORDINARY SHARES (IRELAND) / CUSIP: G7945M107 / Symbol:							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	24.252	1,144.77	06/23/20	1,187.26	...	-42.49	1 of 3 - Sale <sup>25</sup>
	41.000	1,935.35	07/06/20	1,964.15	...	-28.80	2 of 3 - Sale <sup>25</sup>
	0.748	35.33	07/06/20	37.15	...	-1.82	3 of 3 - Sale <sup>25</sup>
07/14/20	66.000	3,115.45	Various	3,188.56	...	-73.11	Total of 3 transactions
07/14/20	0.748	35.34	06/23/20	36.64	1.30 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,150.79</b>		<b>3,225.20</b>	<b>1.30 W</b>	<b>-73.11</b>	
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. II / CUSIP: G8250T109 / Symbol:							
09/15/20	20.000	307.20	09/15/20	307.20	...	0.00	Sale <sup>25</sup>
TRANSATLANTIC PETROLEUM LTD. / CUSIP: G89982113 / Symbol:							
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	100.000	66.87	07/22/20	56.20	...	10.67	1 of 3 - Sale <sup>25</sup>
	200.000	133.74	07/22/20	112.00	...	21.74	2 of 3 - Sale <sup>25</sup>
	500.000	334.35	07/22/20	300.00	...	34.35	3 of 3 - Sale <sup>25</sup>
07/22/20	800.000	534.96	Various	468.20	...	66.76	Total of 3 transactions
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:							
08/11/20	5.000	1,284.32	08/11/20	1,266.90	...	17.42	Sale <sup>25</sup>
INTEC PHARMA LTD. ORDINARY SHARES / CUSIP: M53644106 / Symbol:							
07/22/20	100.000	72.15	07/22/20	62.00	...	10.15	Sale <sup>25</sup>
RADA ELECTRONIC INDUSTRIES LTD. ORDINARY SHARES / CUSIP: M81863124 / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	99.000	731.59	08/11/20	756.36	...	-24.77	1 of 2 - Sale <sup>25</sup>
	1.000	7.39	08/11/20	7.64	...	-0.25	2 of 2 - Sale <sup>25</sup>
08/11/20	100.000	738.98	Various	764.00	...	-25.02	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
07/28/20	10.000	6.83	07/28/20	6.72	...	0.11	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146515176

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
08/20/20	200.000	314.28	08/20/20	285.70	...	28.58	Sale <sup>25</sup>
<b>Totals :</b>		<b>863,608.96</b>		<b>910,458.63</b>	<b>32,205.74 W</b>	<b>-14,643.93</b>	

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Robinhood Securities LLC

Account 146515176

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
WELLS FARGO & CO.	949746101		09/01/20	10.00	Qualified dividend	
WIPRO LIMITED	97651M109		02/12/20	1.40	Qualified dividend	
				<b>11.40</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 146515176

**Detail for Interest Income**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/22/20	2.95	Interest	
		06/30/20	1.23	Interest	
		07/31/20	0.16	Interest	
			4.34	Total Interest	
			<b>4.34</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 146515176

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/20/20	-5.00	Margin interest paid	
		05/18/20	-5.00	Margin interest paid	
		06/17/20	-5.00	Margin interest paid	
		07/17/20	-5.00	Margin interest paid	
		08/17/20	-5.00	Margin interest paid	
		09/15/20	-5.00	Margin interest paid	
		10/15/20	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
			<b>-35.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 146515176

## Foreign Income and Taxes Summary

2020

02/14/2021

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
IN	WIPRO LIMITED	97651M109	0.00	1.40		0.00	1.40	0.00
<b>Total INDIA</b>			<b>0.00</b>	<b>1.40</b>		<b>0.00</b>	<b>1.40</b>	<b>0.00</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>1.40</b>				
	<b>Qualified Foreign Source Income**</b>			<b>1.40</b>				
	<b>Foreign Tax</b>			<b>0.00</b>				

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

25 Tax lot closed on a first in first out basis.

MP Marine Petroleum Trust is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s). Dividends reported to you as qualified must also meet the holding period requirements of section 1(h)(11)(B)(iii) of the Internal Revenue Code to taxed as qualified dividends. You may be entitled to a depletion allowance which can be determined by referencing the additional information published by the trustee at [www.marps-marine.com](http://www.marps-marine.com). If you can not access the information electronically and do not receive it from the trustee, you may request a hardcopy.

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.



#### Instructions for Recipient

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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