

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 966250102	Statement Date: 02/14/2021 Document ID: K0NM 6F9 62C1	2020
	Shravan Kumar 760 Carriage Rd 2B Pittsburgh, PA 15220 RECIPIENT'S TIN: XXX-XX-5420		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	11.45		2- Royalties	0.00	
1b- Qualified dividends	11.45		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	396,209.16	490,268.75	0.00	69,349.78	-24,709.81
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	396,209.16	490,268.75	0.00	69,349.78	-24,709.81
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	396,209.16	490,268.75	0.00	69,349.78	-24,709.81

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 966250102

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 09/04/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	25.000	0.00	09/01/20	525.00	...	-525.00	1 of 3 - Option expiration- long call
	14.000	0.00	09/01/20	294.00	...	-294.00	2 of 3 - Option expiration- long call
	11.000	0.00	09/01/20	231.00	...	-231.00	3 of 3 - Option expiration- long call
09/04/20	50.000	0.00	Various	1,050.00	...	-1,050.00	Total of 3 transactions
AAPL 09/18/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	38.98	09/11/20	29.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	9.000	350.97	09/11/20	261.00	...	89.97	2 of 2 - Option sale to close-call ²⁵
09/15/20	10.000	389.95	Various	290.00	...	99.95	Total of 2 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/18/2020 PUT \$110.00 / CUSIP: / Symbol:							
09/15/20	10.000	339.97	09/15/20	330.00	...	9.97	Option sale to close-put ²⁵
09/16/20	5.000	279.98	09/15/20	175.00	...	104.98	Option sale to close-put ²⁵
	Security total:	619.95		505.00	...	114.95	
AAPL 09/25/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	6.000	47.98	09/24/20	102.00	...	-54.02	1 of 2 - Option sale to close-call ²⁵
	4.000	31.99	09/24/20	68.00	...	-36.01	2 of 2 - Option sale to close-call ²⁵
09/24/20	10.000	79.97	Various	170.00	...	-90.03	Total of 2 transactions
AAPL 09/25/2020 PUT \$100.00 / CUSIP: / Symbol:							
09/21/20	5.000	574.97	09/21/20	410.00	...	164.97	Option sale to close-put ²⁵
AAPL 09/25/2020 PUT \$105.00 / CUSIP: / Symbol:							
09/24/20	1.000	30.98	09/23/20	110.00	...	-79.02	Option sale to close-put ²⁵
AAPL 10/09/2020 CALL \$117.50 / CUSIP: / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	10/06/20	96.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	3.000	299.99	10/06/20	288.00	...	11.99	2 of 2 - Option sale to close-call ²⁵
10/06/20	4.000	399.98	Various	384.00	...	15.98	Total of 2 transactions
10/06/20	1.000	99.99	10/05/20	141.00	41.01 W	0.00	Option sale to close-call ²⁵
4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	4.000	99.99	10/06/20	384.00	...	-284.01	1 of 4 - Option sale to close-call ²⁵
	1.000	25.00	10/06/20	137.01	...	-112.01	2 of 4 - Option sale to close-call ²⁵
	4.000	99.98	10/07/20	176.00	...	-76.02	3 of 4 - Option sale to close-call ²⁵
	1.000	25.00	10/07/20	44.00	...	-19.00	4 of 4 - Option sale to close-call ²⁵
10/08/20	10.000	249.97	Various	741.01	...	-491.04	Total of 4 transactions
	Security total:	749.94		1,266.01	41.01 W	-475.06	
AAPL 10/16/2020 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	200.99	09/11/20	163.00	...	37.99	1 of 3 - Option sale to close-call ²⁵
	1.000	200.99	09/11/20	139.00	...	61.99	2 of 3 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
	1.000	201.00	09/11/20	139.00	...	62.00	3 of 3 - Option sale to close-call ²⁵
09/15/20	3.000	602.98	Various	441.00	...	161.98	Total of 3 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	200.98	09/09/20	340.00	139.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	200.99	09/10/20	345.02	144.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/15/20	2.000	401.97	Various	685.02	283.05 W	0.00	Total of 2 transactions
4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	82.00	10/13/20	252.03	170.03 W	0.00	1 of 4 - Option sale to close-call ²⁵
	4.000	327.98	10/13/20	432.00	104.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	94.99	10/13/20	245.03	150.04 W	0.00	3 of 4 - Option sale to close-call ²⁵
	4.000	379.98	10/13/20	404.02	24.04 W	0.00	4 of 4 - Option sale to close-call ²⁵
10/13/20	10.000	884.95	Various	1,333.08	448.13 W	0.00	Total of 4 transactions
10 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	8.00	10/13/20	245.02	...	-237.02	1 of 10 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	187.00	...	-179.00	2 of 10 - Option sale to close-call ²⁵
	2.000	15.99	10/14/20	494.06	...	-478.07	3 of 10 - Option sale to close-call ²⁵
	2.000	15.99	10/14/20	446.03	...	-430.04	4 of 10 - Option sale to close-call ²⁵
	1.000	8.00	10/14/20	420.05	...	-412.05	5 of 10 - Option sale to close-call ²⁵
	1.000	8.00	10/14/20	225.01	...	-217.01	6 of 10 - Option sale to close-call ²⁵
	1.000	7.99	10/14/20	223.01	...	-215.02	7 of 10 - Option sale to close-call ²⁵
	1.000	7.99	10/14/20	221.02	...	-213.03	8 of 10 - Option sale to close-call ²⁵
	1.000	7.99	10/14/20	212.02	...	-204.03	9 of 10 - Option sale to close-call ²⁵
	1.000	7.99	10/14/20	85.02	...	-77.03	10 of 10 - Option sale to close-call ²⁵
10/14/20	12.000	95.94	Various	2,758.24	...	-2,662.30	Total of 10 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
29 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
1.000		9.00	10/13/20	217.04	208.04 W	0.00	1 of 29 - Option sale to close-call 25
4.000		35.99	10/13/20	292.04	256.05 W	0.00	2 of 29 - Option sale to close-call 25
6.000		53.98	10/13/20	462.00	408.02 W	0.00	3 of 29 - Option sale to close-call 25
1.000		9.00	10/13/20	285.04	276.04 W	0.00	4 of 29 - Option sale to close-call 25
3.000		26.99	10/13/20	423.04	396.05 W	0.00	5 of 29 - Option sale to close-call 25
1.000		8.99	10/13/20	126.01	117.02 W	0.00	6 of 29 - Option sale to close-call 25
4.000		35.98	10/13/20	520.01	484.03 W	0.00	7 of 29 - Option sale to close-call 25
1.000		8.99	10/13/20	327.04	318.05 W	0.00	8 of 29 - Option sale to close-call 25
1.000		8.99	10/13/20	119.01	110.02 W	0.00	9 of 29 - Option sale to close-call 25
1.000		8.00	10/13/20	119.00	111.00 W	0.00	10 of 29 - Option sale to close-call 25
2.000		15.99	10/13/20	366.03	350.04 W	0.00	11 of 29 - Option sale to close-call 25
1.000		8.00	10/13/20	190.02	182.02 W	0.00	12 of 29 - Option sale to close-call 25
1.000		8.00	10/13/20	175.02	167.02 W	0.00	13 of 29 - Option sale to close-call 25
3.000		23.99	10/13/20	537.02	513.03 W	0.00	14 of 29 - Option sale to close-call 25
1.000		8.00	10/13/20	376.05	368.05 W	0.00	15 of 29 - Option sale to close-call 25
1.000		8.00	10/13/20	179.01	171.01 W	0.00	16 of 29 - Option sale to close-call 25
1.000		8.00	10/13/20	168.02	160.02 W	0.00	17 of 29 - Option sale to close-call 25

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.99	10/13/20	58.00	50.01 W	0.00	18 of 29 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	169.00	161.00 W	0.00	19 of 29 - Option sale to close-call ²⁵
	2.000	15.99	10/13/20	440.04	424.05 W	0.00	20 of 29 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	227.02	219.02 W	0.00	21 of 29 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	212.02	204.02 W	0.00	22 of 29 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	216.01	208.01 W	0.00	23 of 29 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	411.05	403.05 W	0.00	24 of 29 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	214.01	206.01 W	0.00	25 of 29 - Option sale to close-call ²⁵
	1.000	8.00	10/13/20	203.02	195.02 W	0.00	26 of 29 - Option sale to close-call ²⁵
	2.000	15.99	10/13/20	428.02	412.03 W	0.00	27 of 29 - Option sale to close-call ²⁵
	1.000	7.99	10/13/20	76.01	68.02 W	0.00	28 of 29 - Option sale to close-call ²⁵
	2.000	15.99	10/13/20	476.05	460.06 W	0.00	29 of 29 - Option sale to close-call ²⁵
10/14/20	48.000	405.84	Various	8,011.65	7,605.81 W	0.00	Total of 29 transactions
	Security total:	2,391.68		13,228.99	8,336.99 W	-2,500.32	
AAPL 10/23/2020 CALL \$122.00 / CUSIP: / Symbol:							
10/23/20	5.000	4.98	10/22/20	65.00	...	-60.02	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/28/20	1.000	22.98	10/20/20	155.00	...	-132.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMAT 09/25/2020 CALL \$58.00 / CUSIP: / Symbol:							
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	9.000	458.96	09/24/20	495.00	...	-36.04	1 of 2 - Option sale to close-call ²⁵
	1.000	50.98	09/24/20	55.00	...	-4.02	2 of 2 - Option sale to close-call ²⁵
09/24/20	10.000	509.94	Various	550.00	...	-40.06	Total of 2 transactions
AMC 10/16/2020 CALL \$3.00 / CUSIP: / Symbol:							
10/15/20	10.000	59.97	10/15/20	130.00	...	-70.03	Option sale to close-call ²⁵
AMD 10/02/2020 CALL \$83.00 / CUSIP: / Symbol:							
7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	2.000	109.98	09/29/20	118.00	...	-8.02	1 of 7 - Option sale to close-call ²⁵
	1.000	79.98	09/29/20	59.00	...	20.98	2 of 7 - Option sale to close-call ²⁵
	1.000	79.98	09/29/20	59.00	...	20.98	3 of 7 - Option sale to close-call ²⁵
	1.000	79.98	09/29/20	59.00	...	20.98	4 of 7 - Option sale to close-call ²⁵
	1.000	79.98	09/29/20	59.00	...	20.98	5 of 7 - Option sale to close-call ²⁵
	2.000	159.98	09/29/20	118.00	...	41.98	6 of 7 - Option sale to close-call ²⁵
	2.000	159.98	09/29/20	118.00	...	41.98	7 of 7 - Option sale to close-call ²⁵
09/29/20	10.000	749.86	Various	590.00	...	159.86	Total of 7 transactions
AMD 10/09/2020 CALL \$90.00 / CUSIP: / Symbol:							
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	10/05/20	52.00	...	7.98	1 of 4 - Option sale to close-call ²⁵
	1.000	59.98	10/05/20	52.00	...	7.98	2 of 4 - Option sale to close-call ²⁵
	1.000	59.98	10/05/20	52.00	...	7.98	3 of 4 - Option sale to close-call ²⁵
	7.000	419.98	10/05/20	364.00	...	55.98	4 of 4 - Option sale to close-call ²⁵
10/05/20	10.000	599.92	Various	520.00	...	79.92	Total of 4 transactions
AMD 10/23/2020 CALL \$85.00 / CUSIP: / Symbol:							
10/19/20	1.000	98.98	10/19/20	108.00	...	-9.02	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

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AMZN 09/25/2020 CALL \$3,100.00 / CUSIP: / Symbol:							
09/17/20	1.000	4,299.89	09/17/20	3,900.00	...	399.89	Option sale to close-call ²⁵
09/17/20	1.000	3,899.90	09/17/20	3,900.00	0.10 W	0.00	Option sale to close-call ²⁵
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	1,999.94	09/18/20	4,265.45	...	-2,265.51	1 of 2 - Option sale to close-call ²⁵
	1.000	1,999.95	09/18/20	2,175.00	...	-175.05	2 of 2 - Option sale to close-call ²⁵
09/18/20	2.000	3,999.89	Various	6,440.45	...	-2,440.56	Total of 2 transactions
	5 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	3,399.91	09/17/20	3,500.10	100.19 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	2,324.93	09/18/20	3,365.19	1,040.26 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	2,299.93	09/18/20	4,240.26	1,940.33 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	2,299.93	09/18/20	4,165.33	1,865.40 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	1,999.95	09/18/20	4,065.40	2,065.45 W	0.00	5 of 5 - Option sale to close-call ²⁵
09/18/20	5.000	12,324.65	Various	19,336.28	7,011.63 W	0.00	Total of 5 transactions
	Security total:	24,524.33		33,576.73	7,011.73 W	-2,040.67	
AMZN 09/25/2020 CALL \$3,200.00 / CUSIP: / Symbol:							
09/22/20	1.000	1,049.96	09/22/20	1,150.00	...	-100.04	Option sale to close-call ²⁵
AMZN 10/02/2020 CALL \$3,200.00 / CUSIP: / Symbol:							
09/30/20	1.000	3,989.90	09/30/20	3,684.00	...	305.90	Option sale to close-call ²⁵
AMZN 10/02/2020 PUT \$3,100.00 / CUSIP: / Symbol:							
10/01/20	1.000	714.97	09/30/20	1,770.00	...	-1,055.03	Option sale to close-put ²⁵
AMZN 10/09/2020 PUT \$3,100.00 / CUSIP: / Symbol:							
10/06/20	1.000	3,499.91	10/06/20	2,990.00	...	509.91	Option sale to close-put ²⁵
AMZN 10/16/2020 CALL \$3,600.00 / CUSIP: / Symbol:							
10/13/20	1.000	2,214.94	10/12/20	2,150.00	...	64.94	Option sale to close-call ²⁵
AMZN 10/16/2020 CALL \$3,700.00 / CUSIP: / Symbol:							
10/13/20	1.000	449.98	10/13/20	460.00	...	-10.02	Option sale to close-call ²⁵

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Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMZN 10/23/2020 CALL \$3,400.00 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	1,624.95	10/19/20	1,705.00	80.05 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	1,434.95	10/19/20	1,630.05	195.10 W	0.00	2 of 2 - Option sale to close-call ²⁵
10/19/20	2.000	3,059.90	Various	3,335.05	275.15 W	0.00	Total of 2 transactions
3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1.000	869.97	10/20/20	995.10	125.13 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	799.97	10/20/20	1,025.13	225.16 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	749.97	10/20/20	925.16	175.19 W	0.00	3 of 3 - Option sale to close-call ²⁵
10/20/20	3.000	2,419.91	Various	2,945.39	525.48 W	0.00	Total of 3 transactions
10/23/20	1.000	7.98	10/20/20	975.19	...	-967.21	Option sale to close-call ²⁵
	Security total:	5,487.79		7,255.63	800.63 W	-967.21	
BABA 09/11/2020 CALL \$290.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/10/20	39.00	...	-39.00	Option expiration- long call
BABA 11/06/2020 CALL \$300.00 / CUSIP: / Symbol:							
11/03/20	1.000	559.97	11/03/20	480.00	...	79.97	Option sale to close-call ²⁵
BAC 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/23/20	2.000	261.98	10/22/20	218.00	...	43.98	Option sale to close-call ²⁵
BIGC 10/16/2020 CALL \$120.00 / CUSIP: / Symbol:							
09/29/20	1.000	159.98	09/29/20	150.00	...	9.98	Option sale to close-call ²⁵
BYND 09/18/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/17/20	1.000	37.98	09/17/20	110.00	...	-72.02	Option sale to close-call ²⁵
C 09/18/2020 CALL \$47.50 / CUSIP: / Symbol:							
09/16/20	1.000	22.98	09/16/20	22.00	...	0.98	Option sale to close-call ²⁵
CRWD 10/02/2020 CALL \$145.00 / CUSIP: / Symbol:							
09/30/20	10.000	379.97	09/30/20	330.00	...	49.97	Option sale to close-call ²⁵

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CVNA 10/02/2020 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	3.000	173.98	09/29/20	156.00	...	17.98	1 of 2 - Option sale to close-call ²⁵
	7.000	489.97	09/29/20	364.00	...	125.97	2 of 2 - Option sale to close-call ²⁵
09/29/20	10.000	663.95	Various	520.00	...	143.95	Total of 2 transactions
DAL 10/16/2020 CALL \$40.00 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	09/08/20	159.00	...	-159.00	Option expiration- long call
DDOG 09/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3.000	59.98	09/15/20	75.00	...	-15.02	1 of 2 - Option sale to close-call ²⁵
	2.000	39.98	09/15/20	50.00	...	-10.02	2 of 2 - Option sale to close-call ²⁵
09/15/20	5.000	99.96	Various	125.00	...	-25.04	Total of 2 transactions
DDOG 10/02/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	116.00	09/30/20	85.00	...	31.00	1 of 2 - Option sale to close-call ²⁵
	9.000	1,043.95	09/30/20	765.00	...	278.95	2 of 2 - Option sale to close-call ²⁵
09/30/20	10.000	1,159.95	Various	850.00	...	309.95	Total of 2 transactions
DDOG 10/02/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	2.000	39.99	10/01/20	120.00	...	-80.01	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	10/01/20	60.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
10/01/20	3.000	59.98	Various	180.00	...	-120.02	Total of 2 transactions
DDOG 10/09/2020 CALL \$115.00 / CUSIP: / Symbol:							
10/06/20	3.000	89.98	10/05/20	315.00	...	-225.02	Option sale to close-call ²⁵
DDOG 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/14/20	1.000	34.98	10/13/20	103.00	...	-68.02	Option sale to close-call ²⁵
DIS 09/11/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/10/20	31.00	...	-31.00	Option expiration- long call

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DKNG 10/09/2020 CALL \$57.50 / CUSIP: / Symbol:							
10/07/20	5.000	264.98	10/07/20	225.00	...	39.98	Option sale to close-call ²⁵
DKNG 10/16/2020 PUT \$48.00 / CUSIP: / Symbol:							
<i>11 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	239.99	10/15/20	230.00	...	9.99	1 of 11 - Option sale to close-put ²⁵
	1.000	239.99	10/15/20	220.00	...	19.99	2 of 11 - Option sale to close-put ²⁵
	1.000	239.98	10/15/20	200.00	...	39.98	3 of 11 - Option sale to close-put ²⁵
	1.000	239.99	10/15/20	200.00	...	39.99	4 of 11 - Option sale to close-put ²⁵
	1.000	239.99	10/15/20	200.00	...	39.99	5 of 11 - Option sale to close-put ²⁵
	1.000	240.00	10/15/20	200.00	...	40.00	6 of 11 - Option sale to close-put ²⁵
	1.000	279.98	10/15/20	200.00	...	79.98	7 of 11 - Option sale to close-put ²⁵
	1.000	279.98	10/15/20	200.00	...	79.98	8 of 11 - Option sale to close-put ²⁵
	1.000	279.98	10/15/20	200.00	...	79.98	9 of 11 - Option sale to close-put ²⁵
	1.000	309.98	10/15/20	200.00	...	109.98	10 of 11 - Option sale to close-put ²⁵
	6.000	1,439.95	10/15/20	1,200.00	...	239.95	11 of 11 - Option sale to close-put ²⁵
10/15/20	16.000	4,029.81	Various	3,250.00	...	779.81	Total of 11 transactions
DKNG 10/23/2020 PUT \$46.00 / CUSIP: / Symbol:							
10/16/20	1.000	289.98	10/16/20	270.00	...	19.98	Option sale to close-put ²⁵
DKNG 11/20/2020 CALL \$55.00 / CUSIP: / Symbol:							
<i>3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	189.98	10/19/20	207.00	...	-17.02	1 of 3 - Option sale to close-call ²⁵
	1.000	205.99	10/19/20	200.00	...	5.99	2 of 3 - Option sale to close-call ²⁵
	1.000	205.99	10/19/20	200.00	...	5.99	3 of 3 - Option sale to close-call ²⁵
10/19/20	3.000	601.96	Various	607.00	...	-5.04	Total of 3 transactions
DOCU 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/01/20	2,020.00	...	-2,020.00	Option expiration- long call

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FB 09/04/2020 CALL \$305.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	09/01/20	325.00	...	74.98	1 of 2 - Option sale to close-call ²⁵
	1.000	359.98	09/02/20	415.00	...	-55.02	2 of 2 - Option sale to close-call ²⁵
09/02/20	2.000	759.96	Various	740.00	...	19.96	Total of 2 transactions
FB 09/11/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/10/20	1.000	1.98	09/08/20	67.00	...	-65.02	Option sale to close-call ²⁵
09/11/20	2.000	0.00	09/08/20	134.00	...	-134.00	Option expiration- long call
	Security total:	1.98		201.00	...	-199.02	
FB 09/18/2020 CALL \$285.00 / CUSIP: / Symbol:							
4 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	180.99	09/10/20	395.00	...	-214.01	1 of 4 - Option sale to close-call ²⁵
	1.000	180.99	09/10/20	175.00	...	5.99	2 of 4 - Option sale to close-call ²⁵
	1.000	180.99	09/11/20	112.00	...	68.99	3 of 4 - Option sale to close-call ²⁵
	2.000	361.99	09/11/20	150.00	...	211.99	4 of 4 - Option sale to close-call ²⁵
09/14/20	5.000	904.96	Various	832.00	...	72.96	Total of 4 transactions
FB 10/16/2020 CALL \$267.50 / CUSIP: / Symbol:							
10/12/20	1.000	561.97	10/09/20	320.00	...	241.97	Option sale to close-call ²⁵
HUYA 09/18/2020 CALL \$27.50 / CUSIP: / Symbol:							
09/14/20	5.000	149.98	09/14/20	300.00	...	-150.02	Option sale to close-call ²⁵
JD 12/18/2020 CALL \$80.00 / CUSIP: / Symbol:							
10/16/20	1.000	704.97	10/15/20	648.00	...	56.97	Option sale to close-call ²⁵
JPM 09/11/2020 CALL \$103.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/10/20	57.00	...	-57.00	Option expiration- long call
KR 09/11/2020 CALL \$36.00 / CUSIP: / Symbol:							
09/11/20	1.000	2.98	09/09/20	80.00	...	-77.02	Option sale to close-call ²⁵

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MAR 09/25/2020 PUT \$90.00 / CUSIP: / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.000	101.98	09/23/20	94.00	...	7.98	1 of 2 - Option sale to close-put ²⁵
	8.000	407.97	09/23/20	376.00	...	31.97	2 of 2 - Option sale to close-put ²⁵
09/23/20	10.000	509.95	Various	470.00	...	39.95	Total of 2 transactions
MRNA 10/02/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/25/20	1.000	214.98	09/25/20	195.00	...	19.98	Option sale to close-call ²⁵
MRNA 10/02/2020 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	12.98	09/30/20	102.01	...	-89.03	1 of 3 - Option sale to close-call ²⁵
	1.000	12.98	09/30/20	102.00	...	-89.02	2 of 3 - Option sale to close-call ²⁵
	1.000	14.00	09/30/20	58.00	...	-44.00	3 of 3 - Option sale to close-call ²⁵
09/30/20	3.000	39.96	Various	262.01	...	-222.05	Total of 3 transactions
09/30/20	7.000	97.97	09/30/20	406.00	88.01 W	-220.02	Option sale to close-call ²⁵
	Security total:	137.93		668.01	88.01 W	-442.07	
MSFT 09/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/16/20	1.000	819.97	09/16/20	815.00	...	4.97	Option sale to close-call ²⁵
MSFT 09/25/2020 CALL \$210.00 / CUSIP: / Symbol:							
09/24/20	10.000	399.97	09/24/20	330.00	...	69.97	Option sale to close-call ²⁵
MSFT 09/25/2020 PUT \$200.00 / CUSIP: / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	118.98	09/23/20	105.00	...	13.98	1 of 3 - Option sale to close-put ²⁵
	2.000	237.98	09/23/20	210.00	...	27.98	2 of 3 - Option sale to close-put ²⁵
	2.000	237.99	09/23/20	210.00	...	27.99	3 of 3 - Option sale to close-put ²⁵
09/23/20	5.000	594.95	Various	525.00	...	69.95	Total of 3 transactions
MSFT 10/30/2020 CALL \$215.00 / CUSIP: / Symbol:							
6 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	559.98	10/22/20	505.00	...	54.98	1 of 6 - Option sale to close-call ²⁵
	1.000	559.98	10/22/20	505.00	...	54.98	2 of 6 - Option sale to close-call ²⁵
	1.000	579.98	10/22/20	505.00	...	74.98	3 of 6 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MSFT 10/30/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	1.000	579.99	10/22/20	490.00	...	89.99	4 of 6 - Option sale to close-call ²⁵
	1.000	579.98	10/22/20	485.00	...	94.98	5 of 6 - Option sale to close-call ²⁵
	2.000	1,159.97	10/22/20	980.00	...	179.97	6 of 6 - Option sale to close-call ²⁵
10/22/20	7.000	4,019.88	Various	3,470.00	...	549.88	Total of 6 transactions
	3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	1.000	519.99	10/22/20	485.00	...	34.99	1 of 3 - Option sale to close-call ²⁵
	1.000	519.98	10/22/20	450.00	...	69.98	2 of 3 - Option sale to close-call ²⁵
	2.000	1,039.97	10/22/20	950.00	...	89.97	3 of 3 - Option sale to close-call ²⁵
10/23/20	4.000	2,079.94	Various	1,885.00	...	194.94	Total of 3 transactions
10/27/20	1.000	444.98	10/27/20	346.03	...	98.95	Option sale to close-call ²⁵
	6 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	1.000	424.99	10/22/20	450.00	25.01 W	0.00	1 of 6 - Option sale to close-call ²⁵
	1.000	424.98	10/23/20	530.00	105.02 W	0.00	2 of 6 - Option sale to close-call ²⁵
	1.000	424.99	10/23/20	565.01	140.02 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	424.98	10/23/20	645.02	220.04 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	429.99	10/26/20	475.02	45.03 W	0.00	5 of 6 - Option sale to close-call ²⁵
	1.000	429.98	10/27/20	510.04	80.06 W	0.00	6 of 6 - Option sale to close-call ²⁵
10/27/20	6.000	2,559.91	Various	3,175.09	615.18 W	0.00	Total of 6 transactions
10/29/20	1.000	7.98	10/27/20	381.06	...	-373.08	Option sale to close-call ²⁵
	Security total:	9,112.69		9,257.18	615.18 W	470.69	
MSFT 10/30/2020 CALL \$217.50 / CUSIP: / Symbol:							
10/15/20	1.000	719.97	10/15/20	660.00	...	59.97	Option sale to close-call ²⁵
MU 09/18/2020 CALL \$51.00 / CUSIP: / Symbol:							
	5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	1.000	37.00	09/17/20	34.00	...	3.00	1 of 5 - Option sale to close-call ²⁵
	1.000	37.00	09/17/20	34.00	...	3.00	2 of 5 - Option sale to close-call ²⁵
	1.000	37.00	09/17/20	34.00	...	3.00	3 of 5 - Option sale to close-call ²⁵
	3.000	110.99	09/17/20	102.00	...	8.99	4 of 5 - Option sale to close-call ²⁵
	4.000	147.98	09/17/20	136.00	...	11.98	5 of 5 - Option sale to close-call ²⁵
09/17/20	10.000	369.97	Various	340.00	...	29.97	Total of 5 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NFLX 09/25/2020 PUT \$460.00 / CUSIP: / Symbol:							
09/23/20	1.000	166.98	09/23/20	146.00	...	20.98	Option sale to close-put ²⁵
NFLX 10/09/2020 CALL \$560.00 / CUSIP: / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	88.99	10/05/20	167.00	...	-78.01	1 of 2 - Option sale to close-call ²⁵
	1.000	88.99	10/05/20	81.00	...	7.99	2 of 2 - Option sale to close-call ²⁵
10/05/20	2.000	177.98	Various	248.00	...	-70.02	Total of 2 transactions
NFLX 10/16/2020 CALL \$560.00 / CUSIP: / Symbol:							
10/13/20	1.000	899.97	10/13/20	844.00	...	55.97	Option sale to close-call ²⁵
NFLX 10/16/2020 CALL \$600.00 / CUSIP: / Symbol:							
09/15/20	1.000	255.98	09/15/20	420.00	...	-164.02	Option sale to close-call ²⁵
NFLX 11/06/2020 CALL \$520.00 / CUSIP: / Symbol:							
11/05/20	1.000	227.98	11/05/20	196.00	...	31.98	Option sale to close-call ²⁵
NIO 10/30/2020 CALL \$26.00 / CUSIP: / Symbol:							
5 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	189.99	10/22/20	179.00	...	10.99	1 of 5 - Option sale to close-call ²⁵
	3.000	569.99	10/22/20	537.00	...	32.99	2 of 5 - Option sale to close-call ²⁵
	1.000	189.99	10/26/20	227.05	...	-37.06	3 of 5 - Option sale to close-call ²⁵
	1.000	189.99	10/26/20	204.03	...	-14.04	4 of 5 - Option sale to close-call ²⁵
	1.000	258.98	10/26/20	201.03	...	57.95	5 of 5 - Option sale to close-call ²⁵
10/27/20	7.000	1,398.94	Various	1,348.11	...	50.83	Total of 5 transactions
16 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	182.99	10/20/20	278.00	95.01 W	0.00	1 of 16 - Option sale to close-call ²⁵
	1.000	182.99	10/20/20	278.00	95.01 W	0.00	2 of 16 - Option sale to close-call ²⁵
	1.000	182.99	10/20/20	275.00	92.01 W	0.00	3 of 16 - Option sale to close-call ²⁵
	1.000	183.00	10/20/20	270.00	87.00 W	0.00	4 of 16 - Option sale to close-call ²⁵

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(continued)

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NIO 10/30/2020 CALL \$26.00 / CUSIP: / Symbol: (cont'd)							
	1.000	182.99	10/20/20	270.00	87.01 W	0.00	5 of 16 - Option sale to close-call ²⁵
	1.000	189.98	10/20/20	359.01	169.03 W	0.00	6 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/22/20	325.01	135.02 W	0.00	7 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/22/20	322.01	132.02 W	0.00	8 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/22/20	317.00	127.01 W	0.00	9 of 16 - Option sale to close-call ²⁵
	1.000	190.00	10/22/20	317.01	127.01 W	0.00	10 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/22/20	348.03	158.04 W	0.00	11 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/23/20	313.04	123.05 W	0.00	12 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/23/20	290.02	100.03 W	0.00	13 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/23/20	287.02	97.03 W	0.00	14 of 16 - Option sale to close-call ²⁵
	1.000	190.00	10/23/20	282.01	92.01 W	0.00	15 of 16 - Option sale to close-call ²⁵
	1.000	189.99	10/23/20	282.01	92.02 W	0.00	16 of 16 - Option sale to close-call ²⁵
10/27/20	16.000	3,004.86	Various	4,813.17	1,808.31 W	0.00	Total of 16 transactions
	2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	1.000	188.99	10/26/20	196.02	...	-7.03	1 of 2 - Option sale to close-call ²⁵
	1.000	188.99	10/26/20	196.01	...	-7.02	2 of 2 - Option sale to close-call ²⁵
10/28/20	2.000	377.98	Various	392.03	...	-14.05	Total of 2 transactions
	Security total:	4,781.78		6,553.31	1,808.31 W	36.78	
NIO 11/06/2020 PUT \$27.00 / CUSIP: / Symbol:							
11/04/20	3.000	11.98	11/02/20	45.00	...	-33.02	Option sale to close-put ²⁵

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Account 966250102

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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NIO 11/20/2020 CALL \$21.00 / CUSIP: / Symbol:							
10/07/20	5.000	1,524.95	10/07/20	1,470.00	...	54.95	Option sale to close-call ²⁵
10/14/20	1.000	569.97	10/08/20	319.00	...	250.97	Option sale to close-call ²⁵
	Security total:	2,094.92		1,789.00	...	305.92	
NOW 10/30/2020 CALL \$550.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	489.98	10/22/20	420.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	2.000	979.97	10/22/20	640.00	...	339.97	2 of 2 - Option sale to close-call ²⁵
10/23/20	3.000	1,469.95	Various	1,060.00	...	409.95	Total of 2 transactions
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	449.98	10/20/20	730.00	280.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	449.98	10/20/20	1,010.02	560.04 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	489.99	10/22/20	980.04	490.05 W	0.00	3 of 3 - Option sale to close-call ²⁵
10/23/20	3.000	1,389.95	Various	2,720.06	1,330.11 W	0.00	Total of 3 transactions
10/28/20	1.000	84.98	10/27/20	740.05	...	-655.07	Option sale to close-call ²⁵
	Security total:	2,944.88		4,520.11	1,330.11 W	-245.12	
NVAX 09/18/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/15/20	1.000	207.98	09/14/20	170.00	...	37.98	Option sale to close-call ²⁵
NVAX 10/02/2020 CALL \$120.00 / CUSIP: / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	152.99	09/30/20	143.00	...	9.99	1 of 3 - Option sale to close-call ²⁵
	2.000	305.98	09/30/20	286.00	...	19.98	2 of 3 - Option sale to close-call ²⁵
	2.000	319.98	09/30/20	286.00	...	33.98	3 of 3 - Option sale to close-call ²⁵
09/30/20	5.000	778.95	Various	715.00	...	63.95	Total of 3 transactions
NVDA 09/18/2020 CALL \$600.00 / CUSIP: / Symbol:							
6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	253.98	09/14/20	171.00	...	82.98	1 of 6 - Option sale to close-call ²⁵
	1.000	253.99	09/14/20	171.00	...	82.99	2 of 6 - Option sale to close-call ²⁵
	1.000	253.99	09/14/20	171.00	...	82.99	3 of 6 - Option sale to close-call ²⁵
	2.000	507.98	09/14/20	342.00	...	165.98	4 of 6 - Option sale to close-call ²⁵
	2.000	507.99	09/14/20	342.00	...	165.99	5 of 6 - Option sale to close-call ²⁵

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Account 966250102

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(continued)

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NVDA 09/18/2020 CALL \$600.00 / CUSIP: / Symbol: (cont'd)							
	3.000	740.97	09/14/20	513.00	...	227.97	6 of 6 - Option sale to close-call ²⁵
09/14/20	10.000	2,518.90	Various	1,710.00	...	808.90	Total of 6 transactions
09/15/20	5.000	274.98	09/14/20	1,170.00	...	-895.02	Option sale to close-call ²⁵
	Security total:	2,793.88		2,880.00	...	-86.12	
NVDA 09/18/2020 PUT \$480.00 / CUSIP: / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	507.98	09/14/20	424.00	...	83.98	1 of 2 - Option sale to close-put ²⁵
	3.000	761.98	09/14/20	636.00	...	125.98	2 of 2 - Option sale to close-put ²⁵
09/14/20	5.000	1,269.96	Various	1,060.00	...	209.96	Total of 2 transactions
NVDA 09/25/2020 CALL \$515.00 / CUSIP: / Symbol:							
6 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	1.000	149.98	09/24/20	106.00	...	43.98	1 of 6 - Option sale to close-call ²⁵
	1.000	149.99	09/24/20	106.00	...	43.99	2 of 6 - Option sale to close-call ²⁵
	1.000	149.99	09/24/20	105.00	...	44.99	3 of 6 - Option sale to close-call ²⁵
	2.000	299.98	09/24/20	212.00	...	87.98	4 of 6 - Option sale to close-call ²⁵
	2.000	461.97	09/24/20	212.00	...	249.97	5 of 6 - Option sale to close-call ²⁵
	3.000	584.97	09/24/20	318.00	...	266.97	6 of 6 - Option sale to close-call ²⁵
09/24/20	10.000	1,796.88	Various	1,059.00	...	737.88	Total of 6 transactions
NVDA 09/25/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/23/20	10.000	329.97	09/23/20	320.00	...	9.97	Option sale to close-call ²⁵
NVDA 10/02/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/29/20	1.000	419.98	09/29/20	475.00	...	-55.02	Option sale to close-call ²⁵
NVDA 10/02/2020 CALL \$570.00 / CUSIP: / Symbol:							
35 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	4.000	263.98	09/28/20	252.02	...	11.96	1 of 35 - Option sale to close-call ²⁵
	1.000	74.00	09/29/20	65.04	...	8.96	2 of 35 - Option sale to close-call ²⁵
	1.000	73.99	09/29/20	65.02	...	8.97	3 of 35 - Option sale to close-call ²⁵

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(continued)

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NVDA 10/02/2020 CALL \$570.00 / CUSIP: / Symbol: (cont'd)							
	1.000	74.00	09/29/20	65.01	...	8.99	4 of 35 - Option sale to close-call 25
	1.000	73.99	09/29/20	65.00	...	8.99	5 of 35 - Option sale to close-call 25
	1.000	80.98	09/29/20	65.02	...	15.96	6 of 35 - Option sale to close-call 25
	1.000	80.98	09/29/20	65.01	...	15.97	7 of 35 - Option sale to close-call 25
	1.000	74.00	09/29/20	50.00	...	24.00	8 of 35 - Option sale to close-call 25
	1.000	80.99	09/29/20	54.01	...	26.98	9 of 35 - Option sale to close-call 25
	1.000	80.99	09/29/20	52.02	...	28.97	10 of 35 - Option sale to close-call 25
	1.000	81.00	09/29/20	52.02	...	28.98	11 of 35 - Option sale to close-call 25
	1.000	81.00	09/29/20	49.00	...	32.00	12 of 35 - Option sale to close-call 25
	2.000	161.99	09/29/20	128.02	...	33.97	13 of 35 - Option sale to close-call 25
	2.000	147.99	09/29/20	102.02	...	45.97	14 of 35 - Option sale to close-call 25
	1.000	89.98	09/29/20	43.00	...	46.98	15 of 35 - Option sale to close-call 25
	1.000	99.98	09/29/20	52.02	...	47.96	16 of 35 - Option sale to close-call 25
	1.000	99.98	09/29/20	52.02	...	47.96	17 of 35 - Option sale to close-call 25
	1.000	99.98	09/29/20	52.01	...	47.97	18 of 35 - Option sale to close-call 25
	1.000	99.99	09/29/20	52.01	...	47.98	19 of 35 - Option sale to close-call 25
	1.000	99.99	09/29/20	52.00	...	47.99	20 of 35 - Option sale to close-call 25

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$570.00 / CUSIP: / Symbol: (cont'd)							
	1.000	99.99	09/29/20	50.02	...	49.97	21 of 35 - Option sale to close-call ₂₅
	1.000	92.98	09/29/20	42.00	...	50.98	22 of 35 - Option sale to close-call ₂₅
	2.000	161.99	09/29/20	110.03	...	51.96	23 of 35 - Option sale to close-call ₂₅
	1.000	99.99	09/29/20	42.00	...	57.99	24 of 35 - Option sale to close-call ₂₅
	1.000	100.99	09/29/20	43.00	...	57.99	25 of 35 - Option sale to close-call ₂₅
	1.000	100.98	09/29/20	42.00	...	58.98	26 of 35 - Option sale to close-call ₂₅
	1.000	100.98	09/29/20	42.00	...	58.98	27 of 35 - Option sale to close-call ₂₅
	1.000	100.98	09/29/20	42.00	...	58.98	28 of 35 - Option sale to close-call ₂₅
	1.000	100.99	09/29/20	42.00	...	58.99	29 of 35 - Option sale to close-call ₂₅
	1.000	100.99	09/29/20	42.00	...	58.99	30 of 35 - Option sale to close-call ₂₅
	1.000	100.99	09/29/20	42.00	...	58.99	31 of 35 - Option sale to close-call ₂₅
	1.000	100.00	09/29/20	40.00	...	60.00	32 of 35 - Option sale to close-call ₂₅
	3.000	221.99	09/29/20	153.03	...	68.96	33 of 35 - Option sale to close-call ₂₅
	2.000	199.99	09/29/20	84.00	...	115.99	34 of 35 - Option sale to close-call ₂₅
	4.000	363.98	09/29/20	172.00	...	191.98	35 of 35 - Option sale to close-call ₂₅
09/29/20	47.000	4,067.59	Various	2,420.35	...	1,647.24	Total of 35 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$570.00 / CUSIP: / Symbol: (cont'd)							
<i>42 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	60.00	09/28/20	120.00	60.00 W	0.00	1 of 42 - Option sale to close-call 25
	1.000	60.00	09/28/20	120.00	60.00 W	0.00	2 of 42 - Option sale to close-call 25
	2.000	119.99	09/28/20	240.00	120.01 W	0.00	3 of 42 - Option sale to close-call 25
	6.000	359.98	09/28/20	720.00	360.02 W	0.00	4 of 42 - Option sale to close-call 25
	1.000	60.00	09/28/20	101.00	41.00 W	0.00	5 of 42 - Option sale to close-call 25
	1.000	60.00	09/28/20	101.00	41.00 W	0.00	6 of 42 - Option sale to close-call 25
	1.000	60.00	09/28/20	99.00	39.00 W	0.00	7 of 42 - Option sale to close-call 25
	7.000	419.97	09/28/20	693.00	273.03 W	0.00	8 of 42 - Option sale to close-call 25
	4.000	239.98	09/28/20	280.00	40.02 W	0.00	9 of 42 - Option sale to close-call 25
	3.000	179.99	09/28/20	249.00	69.01 W	0.00	10 of 42 - Option sale to close-call 25
	3.000	179.99	09/28/20	249.00	69.01 W	0.00	11 of 42 - Option sale to close-call 25
	1.000	65.98	09/28/20	130.00	64.02 W	0.00	12 of 42 - Option sale to close-call 25
	1.000	66.00	09/28/20	130.00	64.00 W	0.00	13 of 42 - Option sale to close-call 25
	1.000	66.00	09/28/20	130.01	64.01 W	0.00	14 of 42 - Option sale to close-call 25
	1.000	66.00	09/28/20	130.00	64.00 W	0.00	15 of 42 - Option sale to close-call 25
	2.000	131.99	09/28/20	260.01	128.02 W	0.00	16 of 42 - Option sale to close-call 25
	2.000	131.99	09/28/20	240.01	108.02 W	0.00	17 of 42 - Option sale to close-call 25

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$570.00 / CUSIP: / Symbol: (cont'd)							
	2.000	131.99	09/28/20	258.00	126.01 W	0.00	18 of 42 - Option sale to close-call ₂₅
	1.000	66.00	09/28/20	114.00	48.00 W	0.00	19 of 42 - Option sale to close-call ₂₅
	1.000	66.00	09/28/20	114.00	48.00 W	0.00	20 of 42 - Option sale to close-call ₂₅
	1.000	66.00	09/28/20	112.00	46.00 W	0.00	21 of 42 - Option sale to close-call ₂₅
	7.000	461.97	09/28/20	784.03	322.06 W	0.00	22 of 42 - Option sale to close-call ₂₅
	1.000	66.00	09/28/20	76.00	10.00 W	0.00	23 of 42 - Option sale to close-call ₂₅
	2.000	131.99	09/28/20	154.01	22.02 W	0.00	24 of 42 - Option sale to close-call ₂₅
	3.000	197.98	09/28/20	231.01	33.03 W	0.00	25 of 42 - Option sale to close-call ₂₅
	1.000	73.98	09/29/20	99.02	25.04 W	0.00	26 of 42 - Option sale to close-call ₂₅
	1.000	73.98	09/29/20	99.00	25.02 W	0.00	27 of 42 - Option sale to close-call ₂₅
	1.000	74.00	09/29/20	99.01	25.01 W	0.00	28 of 42 - Option sale to close-call ₂₅
	1.000	74.00	09/29/20	99.00	25.00 W	0.00	29 of 42 - Option sale to close-call ₂₅
	2.000	147.99	09/29/20	198.02	50.03 W	0.00	30 of 42 - Option sale to close-call ₂₅
	2.000	147.99	09/29/20	178.02	30.03 W	0.00	31 of 42 - Option sale to close-call ₂₅
	1.000	74.00	09/29/20	83.00	9.00 W	0.00	32 of 42 - Option sale to close-call ₂₅
	2.000	147.99	09/29/20	196.01	48.02 W	0.00	33 of 42 - Option sale to close-call ₂₅
	1.000	73.99	09/29/20	88.00	14.01 W	0.00	34 of 42 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$570.00 / CUSIP: / Symbol: (cont'd)							
	1.000	73.98	09/29/20	86.00	12.02 W	0.00	35 of 42 - Option sale to close-call ₂₅
	1.000	73.99	09/29/20	86.01	12.02 W	0.00	36 of 42 - Option sale to close-call ₂₅
	1.000	73.99	09/29/20	86.01	12.02 W	0.00	37 of 42 - Option sale to close-call ₂₅
	1.000	73.99	09/29/20	86.01	12.02 W	0.00	38 of 42 - Option sale to close-call ₂₅
	1.000	74.00	09/29/20	86.01	12.01 W	0.00	39 of 42 - Option sale to close-call ₂₅
	1.000	73.99	09/29/20	86.01	12.02 W	0.00	40 of 42 - Option sale to close-call ₂₅
	1.000	74.00	09/29/20	86.01	12.01 W	0.00	41 of 42 - Option sale to close-call ₂₅
	1.000	74.00	09/29/20	86.00	12.00 W	0.00	42 of 42 - Option sale to close-call ₂₅
09/29/20	76.000	4,995.65	Various	7,662.22	2,666.57 W	0.00	Total of 42 transactions
	Security total:	9,063.24		10,082.57	2,666.57 W	1,647.24	
NVDA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol:							
18 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	15.00	09/30/20	215.02	...	-200.02	1 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	188.03	...	-173.03	2 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	183.06	...	-168.06	3 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	181.04	...	-166.04	4 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	181.03	...	-166.03	5 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	181.03	...	-166.03	6 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	181.01	...	-166.01	7 of 18 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	09/30/20	178.03	...	-163.03	8 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	178.02	...	-163.02	9 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	141.02	...	-126.02	10 of 18 - Option sale to close-call ₂₅
	3.000	44.98	09/30/20	117.02	...	-72.04	11 of 18 - Option sale to close-call ₂₅
	2.000	29.99	09/30/20	78.01	...	-48.02	12 of 18 - Option sale to close-call ₂₅
	2.000	29.99	09/30/20	78.01	...	-48.02	13 of 18 - Option sale to close-call ₂₅
	2.000	29.99	09/30/20	78.01	...	-48.02	14 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	50.00	...	-35.00	15 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	44.00	...	-29.00	16 of 18 - Option sale to close-call ₂₅
	1.000	15.00	09/30/20	35.01	...	-20.01	17 of 18 - Option sale to close-call ₂₅
	1.000	14.99	09/30/20	35.00	...	-20.01	18 of 18 - Option sale to close-call ₂₅
09/30/20	23.000	344.94	Various	2,322.35	...	-1,977.41	Total of 18 transactions
	59 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	29.00	09/30/20	66.00	37.00 W	0.00	1 of 59 - Option sale to close-call ₂₅
	1.000	29.00	09/30/20	66.00	37.00 W	0.00	2 of 59 - Option sale to close-call ₂₅
	2.000	57.99	09/30/20	132.00	74.01 W	0.00	3 of 59 - Option sale to close-call ₂₅
	1.000	29.00	09/30/20	66.00	37.00 W	0.00	4 of 59 - Option sale to close-call ₂₅
	1.000	29.00	09/30/20	66.00	37.00 W	0.00	5 of 59 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol: (cont'd)							
	2.000	57.99	09/30/20	132.00	74.01 W	0.00	6 of 59 - Option sale to close-call ₂₅
	1.000	28.99	09/30/20	66.00	37.01 W	0.00	7 of 59 - Option sale to close-call ₂₅
	1.000	29.00	09/30/20	103.00	74.00 W	0.00	8 of 59 - Option sale to close-call ₂₅
	1.000	28.99	09/30/20	97.00	68.01 W	0.00	9 of 59 - Option sale to close-call ₂₅
	1.000	29.00	09/30/20	97.00	68.00 W	0.00	10 of 59 - Option sale to close-call ₂₅
	2.000	57.99	09/30/20	194.01	136.02 W	0.00	11 of 59 - Option sale to close-call ₂₅
	1.000	28.98	09/30/20	97.00	68.02 W	0.00	12 of 59 - Option sale to close-call ₂₅
	1.000	27.98	09/30/20	97.01	69.03 W	0.00	13 of 59 - Option sale to close-call ₂₅
	1.000	16.99	09/30/20	97.01	80.02 W	0.00	14 of 59 - Option sale to close-call ₂₅
	1.000	16.99	09/30/20	97.00	80.01 W	0.00	15 of 59 - Option sale to close-call ₂₅
	1.000	17.00	09/30/20	134.00	117.00 W	0.00	16 of 59 - Option sale to close-call ₂₅
	1.000	16.99	09/30/20	60.00	43.01 W	0.00	17 of 59 - Option sale to close-call ₂₅
	1.000	17.00	09/30/20	109.01	92.01 W	0.00	18 of 59 - Option sale to close-call ₂₅
	1.000	16.99	09/30/20	109.01	92.02 W	0.00	19 of 59 - Option sale to close-call ₂₅
	1.000	16.99	09/30/20	109.00	92.01 W	0.00	20 of 59 - Option sale to close-call ₂₅
	1.000	17.00	09/30/20	109.02	92.02 W	0.00	21 of 59 - Option sale to close-call ₂₅
	1.000	16.99	09/30/20	110.03	93.04 W	0.00	22 of 59 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol: (cont'd)							
	1.000	17.00	09/30/20	109.01	92.01 W	0.00	23 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	121.01	104.01 W	0.00	24 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	121.02	104.02 W	0.00	25 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	158.00	141.01 W	0.00	26 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	84.01	67.01 W	0.00	27 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	133.01	116.02 W	0.00	28 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	133.02	116.02 W	0.00	29 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	133.01	116.01 W	0.00	30 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	133.01	116.02 W	0.00	31 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	133.02	116.02 W	0.00	32 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	134.04	117.05 W	0.00	33 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	145.01	128.02 W	0.00	34 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	145.02	128.03 W	0.00	35 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	182.01	165.02 W	0.00	36 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	108.01	91.02 W	0.00	37 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	155.02	138.03 W	0.00	38 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	155.02	138.03 W	0.00	39 of 59 - Option sale to close-call 25

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol: (cont'd)							
	1.000	17.00	09/30/20	155.01	138.01 W	0.00	40 of 59 - Option sale to close-call 25
	1.000	16.98	09/30/20	155.02	138.04 W	0.00	41 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	155.02	138.03 W	0.00	42 of 59 - Option sale to close-call 25
	1.000	16.99	09/30/20	156.05	139.06 W	0.00	43 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	167.02	150.02 W	0.00	44 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	167.03	150.03 W	0.00	45 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	204.02	187.02 W	0.00	46 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	130.02	113.02 W	0.00	47 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	177.03	160.03 W	0.00	48 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	39.00	22.00 W	0.00	49 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	170.03	153.03 W	0.00	50 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	170.01	153.01 W	0.00	51 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	170.04	153.04 W	0.00	52 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	170.03	153.03 W	0.00	53 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	172.06	155.06 W	0.00	54 of 59 - Option sale to close-call 25
	1.000	17.00	09/30/20	33.00	16.00 W	0.00	55 of 59 - Option sale to close-call 25
	2.000	33.99	09/30/20	56.00	22.01 W	0.00	56 of 59 - Option sale to close-call 25

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NVDA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol: (cont'd)							
	2.000	33.99	09/30/20	56.00	22.01 W	0.00	57 of 59 - Option sale to close-call ²⁵
	2.000	33.99	09/30/20	56.00	22.01 W	0.00	58 of 59 - Option sale to close-call ²⁵
	5.000	84.97	09/30/20	140.00	55.03 W	0.00	59 of 59 - Option sale to close-call ²⁵
09/30/20	69.000	1,363.65	Various	7,193.74	5,830.09 W	0.00	Total of 59 transactions
	Security total:	1,708.59		9,516.09	5,830.09 W	-1,977.41	
NVDA 10/09/2020 CALL \$565.00 / CUSIP: / Symbol:							
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	849.97	10/06/20	780.00	...	69.97	1 of 3 - Option sale to close-call ²⁵
	1.000	819.97	10/06/20	743.00	...	76.97	2 of 3 - Option sale to close-call ²⁵
	1.000	899.97	10/06/20	765.00	...	134.97	3 of 3 - Option sale to close-call ²⁵
10/06/20	3.000	2,569.91	Various	2,288.00	...	281.91	Total of 3 transactions
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	749.97	10/06/20	728.00	...	21.97	1 of 3 - Option sale to close-call ²⁵
	1.000	799.97	10/06/20	540.00	...	259.97	2 of 3 - Option sale to close-call ²⁵
	1.000	614.97	10/06/20	330.00	...	284.97	3 of 3 - Option sale to close-call ²⁵
10/07/20	3.000	2,164.91	Various	1,598.00	...	566.91	Total of 3 transactions
	Security total:	4,734.82		3,886.00	...	848.82	
NVDA 10/09/2020 CALL \$575.00 / CUSIP: / Symbol:							
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	2.98	10/08/20	67.00	...	-64.02	1 of 2 - Option sale to close-call ²⁵
	1.000	2.98	10/08/20	67.00	...	-64.02	2 of 2 - Option sale to close-call ²⁵
10/09/20	2.000	5.96	Various	134.00	...	-128.04	Total of 2 transactions
NVDA 10/09/2020 CALL \$600.00 / CUSIP: / Symbol:							
10/07/20	1.000	23.98	10/07/20	42.00	...	-18.02	Option sale to close-call ²⁵
OSTK 10/02/2020 CALL \$85.00 / CUSIP: / Symbol:							
10/01/20	2.000	199.98	10/01/20	140.00	...	59.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINS 11/20/2020 CALL \$62.00 / CUSIP: / Symbol:							
11/09/20	1.000	207.98	11/04/20	310.00	...	-102.02	Option sale to close-call ²⁵
PLAY 10/09/2020 CALL \$17.00 / CUSIP: / Symbol:							
10/06/20	5.000	84.98	10/06/20	125.00	...	-40.02	Option sale to close-call ²⁵
PTON 10/16/2020 PUT \$130.00 / CUSIP: / Symbol:							
3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	56.99	10/15/20	307.00	...	-250.01	1 of 3 - Option sale to close-put ²⁵
	1.000	56.99	10/15/20	253.00	...	-196.01	2 of 3 - Option sale to close-put ²⁵
	1.000	57.00	10/15/20	221.00	...	-164.00	3 of 3 - Option sale to close-put ²⁵
10/15/20	3.000	170.98	Various	781.00	...	-610.02	Total of 3 transactions
PTON 10/23/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/23/20	1.000	9.98	10/22/20	253.00	...	-243.02	Option sale to close-call ²⁵
PTON 10/23/2020 PUT \$125.00 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	10/19/20	320.00	...	14.98	1 of 2 - Option sale to close-put ²⁵
	1.000	369.98	10/19/20	315.01	...	54.97	2 of 2 - Option sale to close-put ²⁵
10/19/20	2.000	704.96	Various	635.01	...	69.95	Total of 2 transactions
10/19/20	1.000	334.99	10/19/20	335.00	0.01 W	0.00	Option sale to close-put ²⁵
4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	193.98	10/19/20	460.02	...	-266.04	1 of 4 - Option sale to close-put ²⁵
	1.000	154.99	10/19/20	400.01	...	-245.02	2 of 4 - Option sale to close-put ²⁵
	1.000	155.00	10/19/20	359.00	...	-204.00	3 of 4 - Option sale to close-put ²⁵
	1.000	154.99	10/19/20	334.01	...	-179.02	4 of 4 - Option sale to close-put ²⁵
10/21/20	4.000	658.96	Various	1,553.04	...	-894.08	Total of 4 transactions
6 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	2.000	239.99	10/19/20	610.00	370.01 W	0.00	1 of 6 - Option sale to close-put ²⁵
	1.000	119.99	10/19/20	305.00	185.01 W	0.00	2 of 6 - Option sale to close-put ²⁵
	1.000	120.00	10/19/20	289.00	169.00 W	0.00	3 of 6 - Option sale to close-put ²⁵
	1.000	119.99	10/19/20	286.00	166.01 W	0.00	4 of 6 - Option sale to close-put ²⁵
	1.000	154.99	10/19/20	465.01	310.02 W	0.00	5 of 6 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PTON 10/23/2020 PUT \$125.00 / CUSIP: / Symbol: (cont'd)							
	1.000	155.00	10/19/20	400.00	245.00 W	0.00	6 of 6 - Option sale to close-put ²⁵
10/21/20	7.000	909.96	Various	2,355.01	1,445.05 W	0.00	Total of 6 transactions
10/22/20	1.000	249.98	10/22/20	580.00	...	-330.02	Option sale to close-put ²⁵
	Security total:	2,858.85		5,458.06	1,445.06 W	-1,154.15	
PTON 10/30/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/23/20	3.000	1,124.96	10/23/20	1,080.00	...	44.96	Option sale to close-call ²⁵
	2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	1.000	418.98	10/23/20	345.00	...	73.98	1 of 2 - Option sale to close-call ²⁵
	2.000	837.97	10/23/20	690.00	...	147.97	2 of 2 - Option sale to close-call ²⁵
10/26/20	3.000	1,256.95	Various	1,035.00	...	221.95	Total of 2 transactions
	Security total:	2,381.91		2,115.00	...	266.91	
PTON 10/30/2020 PUT \$120.00 / CUSIP: / Symbol:							
	2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	1.000	499.97	10/26/20	485.00	...	14.97	1 of 2 - Option sale to close-put ²⁵
	1.000	444.98	10/27/20	236.00	...	208.98	2 of 2 - Option sale to close-put ²⁵
10/29/20	2.000	944.95	Various	721.00	...	223.95	Total of 2 transactions
RKT 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/18/20	2.000	0.00	09/02/20	728.00	...	-728.00	Option expiration- long call
ROKU 10/16/2020 CALL \$240.00 / CUSIP: / Symbol:							
10/15/20	1.000	37.98	10/15/20	80.00	...	-42.02	Option sale to close-call ²⁵
ROKU 10/23/2020 PUT \$220.00 / CUSIP: / Symbol:							
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	459.97	10/19/20	475.00	...	-15.05	1 of 2 - Option sale to close-put ²⁵
	1.000	474.98	10/19/20	395.00	...	79.98	2 of 2 - Option sale to close-put ²⁵
10/19/20	2.000	934.95	Various	870.02	...	64.93	Total of 2 transactions
10/19/20	1.000	474.98	10/19/20	575.00	100.02 W	0.00	Option sale to close-put ²⁵
	Security total:	1,409.93		1,445.02	100.02 W	64.93	
SHOP 09/04/2020 CALL \$1,200.00 / CUSIP: / Symbol:							
09/01/20	1.000	549.97	09/01/20	480.00	...	69.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SHOP 10/02/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
09/28/20	10.000	699.96	09/28/20	850.00	...	-150.04	Option sale to close-call ²⁵
SHOP 10/09/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
10/07/20	1.000	575.97	10/07/20	640.03	...	-64.06	Option sale to close-call ²⁵
10/07/20	1.000	679.97	10/07/20	760.00	80.03 W	0.00	Option sale to close-call ²⁵
	Security total:	1,255.94		1,400.03	80.03 W	-64.06	
SNAP 11/06/2020 PUT \$40.00 / CUSIP: / Symbol:							
<i>16 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	2.99	11/02/20	309.00	...	-306.01	1 of 16 - Option sale to close-put ²⁵
	3.000	2.99	11/02/20	297.00	...	-294.01	2 of 16 - Option sale to close-put ²⁵
	2.000	1.99	11/02/20	270.00	...	-268.01	3 of 16 - Option sale to close-put ²⁵
	2.000	1.99	11/02/20	210.00	...	-208.01	4 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	204.00	...	-203.00	5 of 16 - Option sale to close-put ²⁵
	2.000	2.00	11/02/20	186.00	...	-184.00	6 of 16 - Option sale to close-put ²⁵
	2.000	1.99	11/02/20	172.00	...	-170.01	7 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	160.00	...	-159.00	8 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	150.00	...	-149.00	9 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	150.00	...	-149.00	10 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	143.00	...	-142.00	11 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	143.00	...	-142.00	12 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	116.00	...	-115.00	13 of 16 - Option sale to close-put ²⁵
	1.000	1.00	11/02/20	98.00	...	-97.00	14 of 16 - Option sale to close-put ²⁵
	2.000	2.00	11/03/20	148.00	...	-146.00	15 of 16 - Option sale to close-put ²⁵
	2.000	1.99	11/03/20	132.00	...	-130.01	16 of 16 - Option sale to close-put ²⁵
11/06/20	26.000	25.94	Various	2,888.00	...	-2,862.06	Total of 16 transactions

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Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SNOW 10/30/2020 CALL \$320.00 / CUSIP: / Symbol:							
4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	109.98	10/22/20	70.00	...	39.98	1 of 4 - Option sale to close-call ²⁵
	1.000	109.98	10/22/20	70.00	...	39.98	2 of 4 - Option sale to close-call ²⁵
	2.000	219.98	10/22/20	140.00	...	79.98	3 of 4 - Option sale to close-call ²⁵
	1.000	309.98	10/22/20	70.00	...	239.98	4 of 4 - Option sale to close-call ²⁵
10/22/20	5.000	749.92	Various	350.00	...	399.92	Total of 4 transactions
SPCE 10/23/2020 CALL \$23.00 / CUSIP: / Symbol:							
10/19/20	2.000	261.98	10/16/20	246.00	...	15.98	Option sale to close-call ²⁵
7 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	3.000	323.98	10/16/20	369.00	45.02 W	0.00	1 of 7 - Option sale to close-call ²⁵
	1.000	107.98	10/16/20	125.01	17.03 W	0.00	2 of 7 - Option sale to close-call ²⁵
	2.000	215.99	10/16/20	250.01	34.02 W	0.00	3 of 7 - Option sale to close-call ²⁵
	1.000	108.00	10/16/20	128.03	20.03 W	0.00	4 of 7 - Option sale to close-call ²⁵
	1.000	107.99	10/16/20	111.00	3.01 W	0.00	5 of 7 - Option sale to close-call ²⁵
	1.000	107.98	10/16/20	127.03	19.05 W	0.00	6 of 7 - Option sale to close-call ²⁵
	1.000	107.98	10/16/20	124.01	16.03 W	0.00	7 of 7 - Option sale to close-call ²⁵
10/19/20	10.000	1,079.90	Various	1,234.09	154.19 W	0.00	Total of 7 transactions
7 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	10/16/20	124.01	...	15.98	1 of 7 - Option sale to close-call ²⁵
	1.000	139.99	10/16/20	110.01	...	29.98	2 of 7 - Option sale to close-call ²⁵
	1.000	139.99	10/16/20	107.00	...	32.99	3 of 7 - Option sale to close-call ²⁵
	1.000	140.00	10/16/20	105.05	...	34.95	4 of 7 - Option sale to close-call ²⁵
	1.000	139.99	10/16/20	102.03	...	37.96	5 of 7 - Option sale to close-call ²⁵
	3.000	419.99	10/16/20	258.00	...	161.99	6 of 7 - Option sale to close-call ²⁵
	1.000	139.99	10/19/20	74.00	...	65.99	7 of 7 - Option sale to close-call ²⁵
10/20/20	9.000	1,259.94	Various	880.10	...	379.84	Total of 7 transactions
10/21/20	1.000	22.98	10/19/20	74.00	...	-51.02	Option sale to close-call ²⁵
	Security total:	2,624.80		2,434.19	154.19 W	344.80	

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPOT 10/02/2020 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	69.98	09/29/20	65.00	...	4.98	1 of 3 - Option sale to close-call ²⁵
	4.000	279.98	09/29/20	260.00	...	19.98	2 of 3 - Option sale to close-call ²⁵
	5.000	374.98	09/29/20	325.00	...	49.98	3 of 3 - Option sale to close-call ²⁵
09/29/20	10.000	724.94	Various	650.00	...	74.94	Total of 3 transactions
TDOC 09/25/2020 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	9.000	89.97	09/24/20	135.00	...	-45.03	1 of 2 - Option sale to close-call ²⁵
	1.000	10.00	09/24/20	15.00	...	-5.00	2 of 2 - Option sale to close-call ²⁵
09/24/20	10.000	99.97	Various	150.00	...	-50.03	Total of 2 transactions
TSLA 09/04/2020 CALL \$460.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/02/20	1,105.00	...	-1,105.00	Option expiration- long call
TSLA 09/11/2020 CALL \$380.00 / CUSIP: / Symbol:							
09/09/20	1.000	819.97	09/09/20	643.00	...	176.97	Option sale to close-call ²⁵
TSLA 09/11/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/10/20	1.000	959.96	09/10/20	795.00	...	164.96	Option sale to close-call ²⁵
TSLA 09/11/2020 CALL \$420.00 / CUSIP: / Symbol:							
09/10/20	1.000	191.98	09/10/20	190.00	...	1.98	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$450.00 / CUSIP: / Symbol:							
8 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	444.98	09/17/20	910.02	...	-465.04	1 of 8 - Option sale to close-call ²⁵
	1.000	899.98	09/17/20	1,205.02	...	-305.04	2 of 8 - Option sale to close-call ²⁵
	1.000	899.98	09/17/20	1,045.02	...	-145.04	3 of 8 - Option sale to close-call ²⁵
	2.000	1,799.95	09/17/20	1,900.03	...	-100.08	4 of 8 - Option sale to close-call ²⁵
	1.000	664.98	09/17/20	395.00	...	269.98	5 of 8 - Option sale to close-call ²⁵
	1.000	664.98	09/17/20	395.00	...	269.98	6 of 8 - Option sale to close-call ²⁵
	3.000	1,994.95	09/17/20	1,185.00	...	809.95	7 of 8 - Option sale to close-call ²⁵
	4.000	2,659.93	09/17/20	1,340.00	...	1,319.93	8 of 8 - Option sale to close-call ²⁵
09/17/20	14.000	10,029.73	Various	8,375.09	...	1,654.64	Total of 8 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 09/18/2020 CALL \$450.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	1,329.97	09/16/20	2,360.00	515.02 W	-515.01	1 of 4 - Option sale to close-call ²⁵
	1.000	664.98	09/16/20	1,475.00	810.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	664.98	09/16/20	1,315.00	650.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	2.000	1,329.97	09/16/20	2,440.00	1,110.03 W	0.00	4 of 4 - Option sale to close-call ²⁵
09/17/20	6.000	3,989.90	Various	7,590.00	3,085.09 W	-515.01	Total of 4 transactions
	Security total:	14,019.63		15,965.09	3,085.09 W	1,139.63	
TSLA 09/18/2020 CALL \$500.00 / CUSIP: / Symbol:							
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	93.00	09/14/20	66.00	...	27.00	1 of 3 - Option sale to close-call ²⁵
	3.000	278.98	09/14/20	231.00	...	47.98	2 of 3 - Option sale to close-call ²⁵
	6.000	557.97	09/14/20	462.00	...	95.97	3 of 3 - Option sale to close-call ²⁵
09/14/20	10.000	929.95	Various	759.00	...	170.95	Total of 3 transactions
14 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	354.99	09/16/20	763.15	...	-408.16	1 of 14 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	358.03	...	-3.04	2 of 14 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	355.02	...	-0.03	3 of 14 - Option sale to close-call ²⁵
	3.000	1,064.97	09/16/20	1,050.00	...	14.97	4 of 14 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	320.00	...	34.99	5 of 14 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	320.00	...	34.99	6 of 14 - Option sale to close-call ²⁵
	3.000	1,064.97	09/16/20	1,020.06	...	44.91	7 of 14 - Option sale to close-call ²⁵
	1.000	404.99	09/16/20	360.03	...	44.96	8 of 14 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	293.00	...	61.99	9 of 14 - Option sale to close-call ²⁵

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(continued)

02/14/2021

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TSLA 09/18/2020 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	1.000	404.99	09/16/20	335.00	...	69.99	10 of 14 - Option sale to close-call ₂₅
	2.000	709.97	09/16/20	586.07	...	123.90	11 of 14 - Option sale to close-call ₂₅
	2.000	809.97	09/16/20	610.00	...	199.97	12 of 14 - Option sale to close-call ₂₅
	3.000	1,214.96	09/16/20	813.00	...	401.96	13 of 14 - Option sale to close-call ₂₅
	6.000	2,429.93	09/16/20	1,830.00	...	599.93	14 of 14 - Option sale to close-call ₂₅
09/16/20	27.000	10,234.69	Various	9,013.36	...	1,221.33	Total of 14 transactions
	20 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	1.000	404.99	09/15/20	650.00	245.01 W	0.00	1 of 20 - Option sale to close-call ₂₅
	1.000	404.98	09/15/20	530.00	125.02 W	0.00	2 of 20 - Option sale to close-call ₂₅
	1.000	404.98	09/15/20	775.01	370.03 W	0.00	3 of 20 - Option sale to close-call ₂₅
	1.000	404.99	09/16/20	705.03	300.04 W	0.00	4 of 20 - Option sale to close-call ₂₅
	1.000	404.99	09/16/20	460.02	55.03 W	0.00	5 of 20 - Option sale to close-call ₂₅
	1.000	404.99	09/16/20	605.04	200.05 W	0.00	6 of 20 - Option sale to close-call ₂₅
	1.000	404.98	09/16/20	505.05	100.07 W	0.00	7 of 20 - Option sale to close-call ₂₅
	1.000	494.97	09/16/20	750.07	255.10 W	0.00	8 of 20 - Option sale to close-call ₂₅
	1.000	354.98	09/16/20	715.10	360.12 W	0.00	9 of 20 - Option sale to close-call ₂₅
	1.000	354.99	09/16/20	820.12	465.13 W	0.00	10 of 20 - Option sale to close-call ₂₅
	1.000	354.99	09/16/20	460.00	105.01 W	0.00	11 of 20 - Option sale to close-call ₂₅

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Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/18/2020 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	3.000	1,064.97	09/16/20	1,140.00	75.03 W	0.00	12 of 20 - Option sale to close-call ²⁵
	3.000	1,064.96	09/16/20	1,185.00	120.04 W	0.00	13 of 20 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	860.13	505.14 W	0.00	14 of 20 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	455.01	100.02 W	0.00	15 of 20 - Option sale to close-call ²⁵
	3.000	1,064.97	09/16/20	1,125.03	60.06 W	0.00	16 of 20 - Option sale to close-call ²⁵
	3.000	1,064.97	09/16/20	1,170.04	105.07 W	0.00	17 of 20 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	825.14	470.15 W	0.00	18 of 20 - Option sale to close-call ²⁵
	1.000	354.99	09/16/20	420.02	65.03 W	0.00	19 of 20 - Option sale to close-call ²⁵
	2.000	709.98	09/16/20	710.05	0.07 W	0.00	20 of 20 - Option sale to close-call ²⁵
09/16/20	29.000	10,784.64	Various	14,865.86	4,081.22 W	0.00	Total of 20 transactions
	Security total:	21,949.28		24,638.22	4,081.22 W	1,392.28	
TSLA 09/18/2020 CALL \$540.00 / CUSIP: / Symbol:							
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	2.000	259.99	09/15/20	196.00	...	63.99	1 of 2 - Option sale to close-call ²⁵
	3.000	389.98	09/15/20	294.00	...	95.98	2 of 2 - Option sale to close-call ²⁵
09/15/20	5.000	649.97	Various	490.00	...	159.97	Total of 2 transactions
TSLA 09/25/2020 CALL \$410.00 / CUSIP: / Symbol:							
	5 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	404.99	09/24/20	640.02	...	-235.03	1 of 5 - Option sale to close-call ²⁵
	1.000	404.99	09/24/20	640.02	...	-235.03	2 of 5 - Option sale to close-call ²⁵
	3.000	1,199.97	09/24/20	1,215.00	...	-15.03	3 of 5 - Option sale to close-call ²⁵
	1.000	404.98	09/24/20	395.03	...	9.95	4 of 5 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/25/2020 CALL \$410.00 / CUSIP: / Symbol: (cont'd)							
	4.000	1,679.95	09/24/20	1,340.05	...	339.90	5 of 5 - Option sale to close-call ²⁵
09/24/20	10.000	4,094.88	Various	4,230.12	...	-135.24	Total of 5 transactions
	7 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	349.99	09/24/20	575.00	225.01 W	0.00	1 of 7 - Option sale to close-call ²⁵
	1.000	349.99	09/24/20	575.00	225.01 W	0.00	2 of 7 - Option sale to close-call ²⁵
	1.000	349.98	09/24/20	410.00	60.02 W	0.00	3 of 7 - Option sale to close-call ²⁵
	1.000	399.99	09/24/20	635.01	235.02 W	0.00	4 of 7 - Option sale to close-call ²⁵
	1.000	399.99	09/24/20	635.01	235.02 W	0.00	5 of 7 - Option sale to close-call ²⁵
	1.000	399.99	09/24/20	475.02	75.03 W	0.00	6 of 7 - Option sale to close-call ²⁵
	4.000	1,599.95	09/24/20	1,660.00	60.05 W	0.00	7 of 7 - Option sale to close-call ²⁵
09/24/20	10.000	3,849.88	Various	4,965.04	1,115.16 W	0.00	Total of 7 transactions
	Security total:	7,944.76		9,195.16	1,115.16 W	-135.24	
TSLA 09/25/2020 CALL \$420.00 / CUSIP: / Symbol:							
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	108.99	09/24/20	102.00	...	6.99	1 of 3 - Option sale to close-call ²⁵
	2.000	217.99	09/24/20	204.00	...	13.99	2 of 3 - Option sale to close-call ²⁵
	2.000	217.99	09/24/20	204.00	...	13.99	3 of 3 - Option sale to close-call ²⁵
09/24/20	5.000	544.97	Various	510.00	...	34.97	Total of 3 transactions
TSLA 09/25/2020 CALL \$450.00 / CUSIP: / Symbol:							
	3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	3,899.90	09/17/20	3,100.00	...	799.90	1 of 3 - Option sale to close-call ²⁵
	1.000	3,549.91	09/21/20	3,500.08	...	49.83	2 of 3 - Option sale to close-call ²⁵
	1.000	3,199.91	09/21/20	2,700.08	...	499.83	3 of 3 - Option sale to close-call ²⁵
09/21/20	3.000	10,649.72	Various	9,300.16	...	1,349.56	Total of 3 transactions
	3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	2,999.92	09/21/20	3,500.00	500.08 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	3,499.92	09/21/20	3,500.00	0.08 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	3,499.91	09/21/20	3,500.00	0.09 W	0.00	3 of 3 - Option sale to close-call ²⁵
09/21/20	3.000	9,999.75	Various	10,500.00	500.25 W	0.00	Total of 3 transactions
09/22/20	1.000	1,629.95	09/21/20	3,400.09	...	-1,770.14	Option sale to close-call ²⁵
	Security total:	22,279.42		23,200.25	500.25 W	-420.58	

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Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/14/20	1.000	1,174.96	09/14/20	1,070.00	...	104.96	Option sale to close-call ²⁵
09/23/20	1.000	29.98	09/22/20	745.00	...	-715.02	Option sale to close-call ²⁵
	Security total:	1,204.94		1,815.00	...	-610.06	
TSLA 09/25/2020 CALL \$540.00 / CUSIP: / Symbol:							
09/14/20	1.000	699.97	09/11/20	510.00	...	189.97	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$600.00 / CUSIP: / Symbol:							
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	879.97	09/15/20	835.00	...	44.97	1 of 4 - Option sale to close-call ²⁵
	1.000	879.97	09/15/20	835.00	...	44.97	2 of 4 - Option sale to close-call ²⁵
	1.000	804.97	09/15/20	755.00	...	49.97	3 of 4 - Option sale to close-call ²⁵
	1.000	884.97	09/15/20	785.02	...	99.95	4 of 4 - Option sale to close-call ²⁵
09/15/20	4.000	3,449.88	Various	3,210.02	...	239.86	Total of 4 transactions
09/15/20	1.000	804.98	09/15/20	835.00	30.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	1,109.96	09/15/20	1,005.00	...	104.96	1 of 2 - Option sale to close-call ²⁵
	1.000	904.96	09/16/20	755.00	...	149.96	2 of 2 - Option sale to close-call ²⁵
09/16/20	2.000	2,014.92	Various	1,760.00	...	254.92	Total of 2 transactions
09/18/20	1.000	559.97	09/17/20	555.00	...	4.97	Option sale to close-call ²⁵
	Security total:	6,829.75		6,360.02	30.02 W	499.75	
TSLA 09/25/2020 PUT \$336.00 / CUSIP: / Symbol:							
9 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	119.98	09/23/20	92.00	...	27.98	1 of 9 - Option sale to close-put ²⁵
	1.000	151.98	09/23/20	92.00	...	59.98	2 of 9 - Option sale to close-put ²⁵
	4.000	903.97	09/23/20	796.00	...	107.97	3 of 9 - Option sale to close-put ²⁵
	4.000	479.97	09/23/20	368.00	...	111.97	4 of 9 - Option sale to close-put ²⁵
	2.000	249.99	09/23/20	136.00	...	113.99	5 of 9 - Option sale to close-put ²⁵
	4.000	599.98	09/23/20	396.00	...	203.98	6 of 9 - Option sale to close-put ²⁵
	4.000	607.97	09/23/20	368.00	...	239.97	7 of 9 - Option sale to close-put ²⁵
	6.000	899.96	09/23/20	594.00	...	305.96	8 of 9 - Option sale to close-put ²⁵
	8.000	999.96	09/23/20	544.00	...	455.96	9 of 9 - Option sale to close-put ²⁵
09/23/20	34.000	5,013.76	Various	3,386.00	...	1,627.76	Total of 9 transactions

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Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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TSLA 09/25/2020 PUT \$336.00 / CUSIP: / Symbol: (cont'd)							
9 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	1.000	262.98	09/23/20	199.00	...	63.98	1 of 9 - Option sale to close-put ²⁵
	10.000	619.97	09/24/20	1,350.00	...	-730.03	2 of 9 - Option sale to close-put ²⁵
	8.000	495.97	09/24/20	864.00	...	-368.03	3 of 9 - Option sale to close-put ²⁵
	17.000	1,053.94	09/24/20	1,275.00	...	-221.06	4 of 9 - Option sale to close-put ²⁵
	7.000	433.98	09/24/20	616.00	...	-182.02	5 of 9 - Option sale to close-put ²⁵
	2.000	123.99	09/24/20	216.00	...	-92.01	6 of 9 - Option sale to close-put ²⁵
	3.000	185.99	09/24/20	264.00	...	-78.01	7 of 9 - Option sale to close-put ²⁵
	3.000	185.99	09/24/20	225.00	...	-39.01	8 of 9 - Option sale to close-put ²⁵
	5.000	1,249.96	09/24/20	890.00	...	359.96	9 of 9 - Option sale to close-put ²⁵
09/24/20	56.000	4,612.77	Various	5,899.00	...	-1,286.23	Total of 9 transactions
	Security total:	9,626.53		9,285.00	...	341.53	
TSLA 09/25/2020 PUT \$360.00 / CUSIP: / Symbol:							
09/24/20	1.000	419.98	09/24/20	650.00	...	-230.02	Option sale to close-put ²⁵
TSLA 10/02/2020 CALL \$443.00 / CUSIP: / Symbol:							
09/30/20	1.000	804.97	09/30/20	661.00	...	143.97	Option sale to close-call ²⁵
TSLA 10/02/2020 PUT \$420.00 / CUSIP: / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	439.99	10/01/20	282.00	...	157.99	1 of 2 - Option sale to close-put ²⁵
	1.000	439.98	10/01/20	235.00	...	204.98	2 of 2 - Option sale to close-put ²⁵
10/02/20	2.000	879.97	Various	517.00	...	362.97	Total of 2 transactions
TSLA 10/09/2020 CALL \$460.00 / CUSIP: / Symbol:							
15 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	539.99	10/02/20	1,000.16	...	-460.17	1 of 15 - Option sale to close-call ²⁵
	1.000	539.99	10/02/20	970.13	...	-430.14	2 of 15 - Option sale to close-call ²⁵
	1.000	539.99	10/02/20	920.17	...	-380.18	3 of 15 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/09/2020 CALL \$460.00 / CUSIP: / Symbol: (cont'd)							
	4.000	2,159.94	10/02/20	2,520.36	...	-360.42	4 of 15 - Option sale to close-call ₂₅
	1.000	539.98	10/02/20	770.06	...	-230.08	5 of 15 - Option sale to close-call ₂₅
	2.000	1,079.97	10/02/20	1,260.18	...	-180.21	6 of 15 - Option sale to close-call ₂₅
	3.000	1,619.95	10/02/20	1,620.00	...	-0.05	7 of 15 - Option sale to close-call ₂₅
	1.000	1,079.96	10/02/20	1,050.00	...	29.96	8 of 15 - Option sale to close-call ₂₅
	1.000	1,079.96	10/02/20	1,050.00	...	29.96	9 of 15 - Option sale to close-call ₂₅
	1.000	1,079.97	10/02/20	1,050.00	...	29.97	10 of 15 - Option sale to close-call ₂₅
	6.000	4,139.90	10/02/20	4,080.00	...	59.90	11 of 15 - Option sale to close-call ₂₅
	2.000	2,159.94	10/02/20	2,100.00	...	59.94	12 of 15 - Option sale to close-call ₂₅
	2.000	2,159.95	10/02/20	2,100.00	...	59.95	13 of 15 - Option sale to close-call ₂₅
	3.000	3,239.92	10/02/20	3,150.00	...	89.92	14 of 15 - Option sale to close-call ₂₅
	1.000	999.96	10/02/20	815.00	...	184.96	15 of 15 - Option sale to close-call ₂₅
10/02/20	30.000	22,959.37	Various	24,456.06	...	-1,496.69	Total of 15 transactions
	36 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	749.97	10/02/20	990.00	240.03 W	0.00	1 of 36 - Option sale to close-call ₂₅
	1.000	749.97	10/02/20	990.00	240.03 W	0.00	2 of 36 - Option sale to close-call ₂₅
	1.000	689.97	10/02/20	990.00	300.03 W	0.00	3 of 36 - Option sale to close-call ₂₅
	1.000	689.98	10/02/20	890.03	200.05 W	0.00	4 of 36 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/09/2020 CALL \$460.00 / CUSIP: / Symbol: (cont'd)							
	1.000	689.98	10/02/20	920.03	230.05 W	0.00	5 of 36 - Option sale to close-call 25
	1.000	689.98	10/02/20	980.03	290.05 W	0.00	6 of 36 - Option sale to close-call 25
	1.000	579.99	10/02/20	880.05	300.06 W	0.00	7 of 36 - Option sale to close-call 25
	1.000	579.98	10/02/20	910.05	330.07 W	0.00	8 of 36 - Option sale to close-call 25
	1.000	579.99	10/02/20	680.00	100.01 W	0.00	9 of 36 - Option sale to close-call 25
	1.000	579.98	10/02/20	940.05	360.07 W	0.00	10 of 36 - Option sale to close-call 25
	6.000	3,479.91	10/02/20	3,900.00	420.09 W	0.00	11 of 36 - Option sale to close-call 25
	1.000	574.99	10/02/20	950.06	375.07 W	0.00	12 of 36 - Option sale to close-call 25
	1.000	574.98	10/02/20	980.07	405.09 W	0.00	13 of 36 - Option sale to close-call 25
	1.000	574.99	10/02/20	750.01	175.02 W	0.00	14 of 36 - Option sale to close-call 25
	1.000	574.98	10/02/20	940.07	365.09 W	0.00	15 of 36 - Option sale to close-call 25
	6.000	3,449.91	10/02/20	3,900.09	450.18 W	0.00	16 of 36 - Option sale to close-call 25
	1.000	574.99	10/02/20	955.07	380.08 W	0.00	17 of 36 - Option sale to close-call 25
	1.000	574.98	10/02/20	985.09	410.11 W	0.00	18 of 36 - Option sale to close-call 25
	1.000	574.99	10/02/20	755.02	180.03 W	0.00	19 of 36 - Option sale to close-call 25
	1.000	574.98	10/02/20	940.09	365.11 W	0.00	20 of 36 - Option sale to close-call 25
	6.000	3,449.91	10/02/20	3,900.18	450.27 W	0.00	21 of 36 - Option sale to close-call 25

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 10/09/2020 CALL \$460.00 / CUSIP: / Symbol: (cont'd)							
	1.000	559.99	10/02/20	955.08	395.09 W	0.00	22 of 36 - Option sale to close-call ²⁵
	1.000	559.98	10/02/20	985.11	425.13 W	0.00	23 of 36 - Option sale to close-call ²⁵
	1.000	559.99	10/02/20	755.03	195.04 W	0.00	24 of 36 - Option sale to close-call ²⁵
	1.000	559.98	10/02/20	935.11	375.13 W	0.00	25 of 36 - Option sale to close-call ²⁵
	6.000	3,359.91	10/02/20	3,870.27	510.36 W	0.00	26 of 36 - Option sale to close-call ²⁵
	1.000	559.99	10/02/20	965.09	405.10 W	0.00	27 of 36 - Option sale to close-call ²⁵
	1.000	559.98	10/02/20	995.13	435.15 W	0.00	28 of 36 - Option sale to close-call ²⁵
	1.000	559.99	10/02/20	765.04	205.05 W	0.00	29 of 36 - Option sale to close-call ²⁵
	1.000	559.98	10/02/20	930.13	370.15 W	0.00	30 of 36 - Option sale to close-call ²⁵
	6.000	3,359.91	10/02/20	3,840.36	480.45 W	0.00	31 of 36 - Option sale to close-call ²⁵
	1.000	539.97	10/02/20	960.10	420.13 W	0.00	32 of 36 - Option sale to close-call ²⁵
	1.000	539.99	10/02/20	990.15	450.16 W	0.00	33 of 36 - Option sale to close-call ²⁵
	1.000	539.99	10/02/20	760.05	220.06 W	0.00	34 of 36 - Option sale to close-call ²⁵
	1.000	539.98	10/02/20	920.15	380.17 W	0.00	35 of 36 - Option sale to close-call ²⁵
	6.000	3,239.91	10/02/20	3,780.45	540.54 W	0.00	36 of 36 - Option sale to close-call ²⁵
10/02/20	66.000	38,158.94	Various	50,533.24	12,374.30 W	0.00	Total of 36 transactions
	Security total:	61,118.31		74,989.30	12,374.30 W	-1,496.69	
TSLA 10/09/2020 CALL \$500.00 / CUSIP: / Symbol:							
10/07/20	1.000	5.98	10/05/20	135.00	...	-129.02	Option sale to close-call ²⁵

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Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 10/16/2020 CALL \$450.00 / CUSIP: / Symbol:							
10/15/20	1.000	449.98	10/15/20	435.00	...	14.98	Option sale to close-call ²⁵
TSLA 10/16/2020 CALL \$460.00 / CUSIP: / Symbol:							
10/13/20	1.000	459.97	10/12/20	700.00	240.03 W	0.00	Option sale to close-call ²⁵
	3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	599.99	10/12/20	500.00	...	99.99	1 of 3 - Option sale to close-call ²⁵
	1.000	599.98	10/12/20	450.00	...	149.98	2 of 3 - Option sale to close-call ²⁵
	1.000	729.97	10/13/20	595.05	...	134.92	3 of 3 - Option sale to close-call ²⁵
10/14/20	3.000	1,929.94	Various	1,545.05	...	384.89	Total of 3 transactions
10/14/20	1.000	599.98	10/12/20	840.03	240.05 W	0.00	Option sale to close-call ²⁵
	Security total:	2,989.89		3,085.08	480.08 W	384.89	
TSLA 10/16/2020 CALL \$470.00 / CUSIP: / Symbol:							
	9 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	544.97	10/14/20	720.06	...	-175.09	1 of 9 - Option sale to close-call ²⁵
	1.000	649.98	10/14/20	712.08	...	-62.10	2 of 9 - Option sale to close-call ²⁵
	1.000	649.99	10/14/20	677.07	...	-27.08	3 of 9 - Option sale to close-call ²⁵
	1.000	649.98	10/14/20	650.05	...	-0.07	4 of 9 - Option sale to close-call ²⁵
	1.000	649.98	10/14/20	650.03	...	-0.05	5 of 9 - Option sale to close-call ²⁵
	2.000	1,299.97	10/14/20	1,294.06	...	5.91	6 of 9 - Option sale to close-call ²⁵
	1.000	609.97	10/14/20	585.00	...	24.97	7 of 9 - Option sale to close-call ²⁵
	1.000	649.98	10/14/20	620.02	...	29.96	8 of 9 - Option sale to close-call ²⁵
	2.000	1,299.97	10/14/20	1,240.04	...	59.93	9 of 9 - Option sale to close-call ²⁵
10/14/20	11.000	7,004.79	Various	7,148.41	...	-143.62	Total of 9 transactions
	16 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	524.97	10/14/20	560.00	35.03 W	0.00	1 of 16 - Option sale to close-call ²⁵
	1.000	524.97	10/14/20	595.03	70.06 W	0.00	2 of 16 - Option sale to close-call ²⁵
	1.000	524.97	10/14/20	560.00	35.03 W	0.00	3 of 16 - Option sale to close-call ²⁵
	3.000	1,574.96	10/14/20	1,680.00	105.04 W	0.00	4 of 16 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 10/16/2020 CALL \$470.00 / CUSIP: / Symbol: (cont'd)							
	1.000	524.99	10/14/20	622.06	97.07 W	0.00	5 of 16 - Option sale to close-call ²⁵
	1.000	524.98	10/14/20	587.03	62.05 W	0.00	6 of 16 - Option sale to close-call ²⁵
	2.000	1,049.97	10/14/20	1,104.00	54.03 W	0.00	7 of 16 - Option sale to close-call ²⁵
	1.000	529.97	10/14/20	560.01	30.04 W	0.00	8 of 16 - Option sale to close-call ²⁵
	1.000	529.97	10/14/20	560.02	30.05 W	0.00	9 of 16 - Option sale to close-call ²⁵
	1.000	529.99	10/14/20	622.07	92.08 W	0.00	10 of 16 - Option sale to close-call ²⁵
	1.000	529.98	10/14/20	587.05	57.07 W	0.00	11 of 16 - Option sale to close-call ²⁵
	1.000	529.98	10/14/20	560.01	30.03 W	0.00	12 of 16 - Option sale to close-call ²⁵
	2.000	1,049.97	10/14/20	1,104.03	54.06 W	0.00	13 of 16 - Option sale to close-call ²⁵
	1.000	524.98	10/14/20	525.00	0.02 W	0.00	14 of 16 - Option sale to close-call ²⁵
	2.000	1,049.96	10/14/20	1,050.00	0.04 W	0.00	15 of 16 - Option sale to close-call ²⁵
	1.000	649.98	10/14/20	650.04	0.06 W	0.00	16 of 16 - Option sale to close-call ²⁵
10/14/20	21.000	11,174.59	Various	11,926.35	751.76 W	0.00	Total of 16 transactions
	Security total:	18,179.38		19,074.76	751.76 W	-143.62	

TSLA 10/16/2020 CALL \$480.00 / CUSIP: / Symbol:

3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.

	1.000	349.99	10/07/20	270.00	...	79.99	1 of 3 - Option sale to close-call ²⁵
	1.000	334.99	10/08/20	329.04	...	5.95	2 of 3 - Option sale to close-call ²⁵
	1.000	334.98	10/08/20	281.00	...	53.98	3 of 3 - Option sale to close-call ²⁵
10/08/20	3.000	1,019.96	Various	880.04	...	139.92	Total of 3 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/16/2020 CALL \$480.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	349.98	10/08/20	370.00	20.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	334.98	10/08/20	370.02	35.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
10/08/20	2.000	684.96	Various	740.02	55.06 W	0.00	Total of 2 transactions
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	229.99	10/08/20	337.02	...	-107.03	1 of 3 - Option sale to close-call ²⁵
	1.000	229.99	10/08/20	210.00	...	19.99	2 of 3 - Option sale to close-call ²⁵
	2.000	459.98	10/08/20	400.00	...	59.98	3 of 3 - Option sale to close-call ²⁵
10/12/20	4.000	919.96	Various	947.02	...	-27.06	Total of 3 transactions
10/12/20	1.000	229.98	10/08/20	281.00	51.02 W	0.00	Option sale to close-call ²⁵
	Security total:	2,854.86		2,848.08	106.08 W	112.86	
TSLA 10/16/2020 PUT \$450.00 / CUSIP: / Symbol:							
10/14/20	1.000	349.98	10/14/20	360.00	...	-10.02	Option sale to close-put ²⁵
TSLA 10/30/2020 CALL \$450.00 / CUSIP: / Symbol:							
10/23/20	1.000	183.98	10/22/20	790.00	606.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	186.00	10/23/20	183.00	...	3.00	1 of 2 - Option sale to close-call ²⁵
	1.000	208.99	10/26/20	177.00	...	31.99	2 of 2 - Option sale to close-call ²⁵
10/27/20	2.000	394.99	Various	360.00	...	34.99	Total of 2 transactions
13 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	185.99	10/22/20	1,291.02	1,105.03 W	0.00	1 of 13 - Option sale to close-call ²⁵
	1.000	185.99	10/23/20	380.00	194.01 W	0.00	2 of 13 - Option sale to close-call ²⁵
	1.000	185.99	10/23/20	285.00	99.01 W	0.00	3 of 13 - Option sale to close-call ²⁵
	1.000	185.99	10/23/20	218.00	32.01 W	0.00	4 of 13 - Option sale to close-call ²⁵
	1.000	186.00	10/23/20	255.00	69.00 W	0.00	5 of 13 - Option sale to close-call ²⁵
	1.000	185.99	10/23/20	240.00	54.01 W	0.00	6 of 13 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/30/2020 CALL \$450.00 / CUSIP: / Symbol: (cont'd)							
	1.000	186.00	10/23/20	230.00	44.00 W	0.00	7 of 13 - Option sale to close-call ²⁵
	1.000	185.99	10/23/20	200.00	14.01 W	0.00	8 of 13 - Option sale to close-call ²⁵
	1.000	185.99	10/23/20	204.00	18.01 W	0.00	9 of 13 - Option sale to close-call ²⁵
	1.000	208.99	10/23/20	1,305.03	1,096.04 W	0.00	10 of 13 - Option sale to close-call ²⁵
	1.000	208.99	10/23/20	394.01	185.02 W	0.00	11 of 13 - Option sale to close-call ²⁵
	1.000	208.99	10/23/20	295.01	86.02 W	0.00	12 of 13 - Option sale to close-call ²⁵
	1.000	209.00	10/23/20	228.01	19.01 W	0.00	13 of 13 - Option sale to close-call ²⁵
10/27/20	13.000	2,509.90	Various	5,525.08	3,015.18 W	0.00	Total of 13 transactions
	3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	1.000	33.00	10/27/20	271.03	...	-238.03	1 of 3 - Option sale to close-call ²⁵
	1.000	32.99	10/27/20	261.00	...	-228.01	2 of 3 - Option sale to close-call ²⁵
	1.000	32.99	10/27/20	161.01	...	-128.02	3 of 3 - Option sale to close-call ²⁵
10/28/20	3.000	98.98	Various	693.04	...	-594.06	Total of 3 transactions
	17 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	1.000	32.99	10/26/20	162.01	129.02 W	0.00	1 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/26/20	152.00	119.00 W	0.00	2 of 17 - Option sale to close-call ²⁵
	1.000	32.99	10/26/20	1,204.04	1,171.05 W	0.00	3 of 17 - Option sale to close-call ²⁵
	1.000	32.99	10/26/20	122.01	89.02 W	0.00	4 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/26/20	126.01	93.01 W	0.00	5 of 17 - Option sale to close-call ²⁵
	1.000	32.99	10/26/20	283.02	250.03 W	0.00	6 of 17 - Option sale to close-call ²⁵

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(continued)

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TSLA 10/30/2020 CALL \$450.00 / CUSIP: / Symbol: (cont'd)							
	1.000	33.00	10/26/20	184.02	151.02 W	0.00	7 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/26/20	117.01	84.01 W	0.00	8 of 17 - Option sale to close-call ²⁵
	1.000	32.99	10/26/20	227.02	194.03 W	0.00	9 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/26/20	217.00	184.00 W	0.00	10 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/27/20	272.02	239.02 W	0.00	11 of 17 - Option sale to close-call ²⁵
	1.000	32.99	10/27/20	210.02	177.03 W	0.00	12 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/27/20	214.01	181.01 W	0.00	13 of 17 - Option sale to close-call ²⁵
	1.000	32.99	10/27/20	1,336.06	1,303.07 W	0.00	14 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/27/20	415.03	382.03 W	0.00	15 of 17 - Option sale to close-call ²⁵
	1.000	32.99	10/27/20	1,292.05	1,259.06 W	0.00	16 of 17 - Option sale to close-call ²⁵
	1.000	33.00	10/27/20	371.03	338.03 W	0.00	17 of 17 - Option sale to close-call ²⁵
10/28/20	17.000	560.92	Various	6,904.36	6,343.44 W	0.00	Total of 17 transactions
	5 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	1.000	10.00	10/27/20	1,379.07	...	-1,369.07	1 of 5 - Option sale to close-call ²⁵
	1.000	9.99	10/27/20	458.03	...	-448.04	2 of 5 - Option sale to close-call ²⁵
	1.000	10.00	10/27/20	315.02	...	-305.02	3 of 5 - Option sale to close-call ²⁵
	1.000	10.00	10/27/20	257.01	...	-247.01	4 of 5 - Option sale to close-call ²⁵
	1.000	9.99	10/27/20	253.03	...	-243.04	5 of 5 - Option sale to close-call ²⁵
10/29/20	5.000	49.98	Various	2,662.16	...	-2,612.18	Total of 5 transactions
	Security total:	3,798.75		16,934.64	9,964.64 W	-3,171.25	
TSM 10/09/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/07/20	10.000	69.97	10/07/20	140.00	...	-70.03	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TWLO 10/30/2020 PUT \$275.00 / CUSIP: / Symbol:							
10/28/20	1.000	474.97	10/26/20	365.00	...	109.97	Option sale to close-put ²⁵
TWLO 11/06/2020 PUT \$280.00 / CUSIP: / Symbol:							
11/02/20	1.000	1,432.95	11/02/20	800.00	...	632.95	Option sale to close-put ²⁵
WKHS 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	49.99	09/14/20	80.00	...	-30.01	1 of 4 - Option sale to close-call ²⁵
	1.000	24.99	09/14/20	40.00	...	-15.01	2 of 4 - Option sale to close-call ²⁵
	1.000	25.00	09/14/20	40.00	...	-15.00	3 of 4 - Option sale to close-call ²⁵
	1.000	25.00	09/14/20	40.00	...	-15.00	4 of 4 - Option sale to close-call ²⁵
09/14/20	5.000	124.98	Various	200.00	...	-75.02	Total of 4 transactions
WORK 09/04/2020 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	81.000	0.00	09/01/20	1,701.00	...	-1,701.00	1 of 4 - Option expiration- long call
	11.000	0.00	09/01/20	231.00	...	-231.00	2 of 4 - Option expiration- long call
	8.000	0.00	09/01/20	152.00	...	-152.00	3 of 4 - Option expiration- long call
	30.000	0.00	09/02/20	420.00	...	-420.00	4 of 4 - Option expiration- long call
09/04/20	130.000	0.00	Various	2,504.00	...	-2,504.00	Total of 4 transactions
WORK 09/11/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/03/20	146.00	...	-146.00	Option expiration- long call
WYNN 09/04/2020 CALL \$95.00 / CUSIP: / Symbol:							
09/01/20	1.000	66.98	09/01/20	44.00	...	22.98	Option sale to close-call ²⁵
09/04/20	2.000	0.00	09/01/20	88.00	...	-88.00	Option expiration- long call
	Security total:	66.98		132.00	...	-65.02	
Z 09/25/2020 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	5.000	269.98	09/23/20	300.00	...	-30.02	1 of 2 - Option sale to close-call ²⁵
	5.000	449.98	09/23/20	300.00	...	149.98	2 of 2 - Option sale to close-call ²⁵
09/23/20	10.000	719.96	Various	600.00	...	119.96	Total of 2 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZM 09/11/2020 CALL \$380.00 / CUSIP: / Symbol:							
09/09/20	1.000	949.96	09/09/20	680.00	...	269.96	Option sale to close-call ²⁵
ZM 09/11/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/11/20	2.000	0.00	09/09/20	110.00	...	-110.00	Option expiration- long call
ZM 09/25/2020 CALL \$530.00 / CUSIP: / Symbol:							
09/24/20	1.000	274.98	09/24/20	180.00	...	94.98	Option sale to close-call ²⁵
ZM 09/25/2020 PUT \$480.00 / CUSIP: / Symbol:							
09/23/20	1.000	529.97	09/23/20	370.00	...	159.97	Option sale to close-put ²⁵
ZM 10/02/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/25/20	1.000	1,449.95	09/25/20	1,390.00	...	59.95	Option sale to close-call ²⁵
	4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	2.000	389.99	09/30/20	790.01	...	-400.02	1 of 4 - Option sale to close-call ²⁵
	1.000	194.99	09/30/20	395.01	...	-200.02	2 of 4 - Option sale to close-call ²⁵
	1.000	194.99	09/30/20	395.00	...	-200.01	3 of 4 - Option sale to close-call ²⁵
	1.000	194.99	09/30/20	260.00	...	-65.01	4 of 4 - Option sale to close-call ²⁵
09/30/20	5.000	974.96	Various	1,840.02	...	-865.06	Total of 4 transactions
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	3.000	584.98	09/30/20	990.00	270.01 W	-135.01	1 of 2 - Option sale to close-call ²⁵
	2.000	389.99	09/30/20	660.00	270.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/30/20	5.000	974.97	Various	1,650.00	540.02 W	-135.01	Total of 2 transactions
	Security total:	3,399.88		4,880.02	540.02 W	-940.12	
ZM 10/02/2020 CALL \$510.00 / CUSIP: / Symbol:							
	2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	599.97	09/28/20	850.00	250.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	599.97	09/28/20	850.00	250.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/29/20	2.000	1,199.94	Various	1,700.00	500.06 W	0.00	Total of 2 transactions
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	319.99	09/30/20	570.03	...	-250.04	1 of 2 - Option sale to close-call ²⁵
	1.000	319.98	09/30/20	464.05	...	-144.07	2 of 2 - Option sale to close-call ²⁵
09/30/20	2.000	639.97	Various	1,034.08	...	-394.11	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ZM 10/02/2020 CALL \$510.00 / CUSIP: / Symbol: (cont'd)							
09/30/20	1.000	350.98	09/30/20	495.03	144.05 W	0.00	Option sale to close-call ²⁵
	Security total:	2,190.89		3,229.11	644.11 W	-394.11	
ZM 10/02/2020 PUT \$460.00 / CUSIP: / Symbol:							
	7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	799.98	09/29/20	750.00	...	49.98	1 of 7 - Option sale to close-put ²⁵
	2.000	1,599.95	09/29/20	1,500.00	...	99.95	2 of 7 - Option sale to close-put ²⁵
	2.000	1,599.95	09/29/20	1,500.00	...	99.95	3 of 7 - Option sale to close-put ²⁵
	1.000	769.97	09/29/20	600.02	...	169.95	4 of 7 - Option sale to close-put ²⁵
	1.000	799.98	09/29/20	600.02	...	199.96	5 of 7 - Option sale to close-put ²⁵
	1.000	799.98	09/29/20	600.02	...	199.96	6 of 7 - Option sale to close-put ²⁵
	2.000	1,599.95	09/29/20	1,200.03	...	399.92	7 of 7 - Option sale to close-put ²⁵
09/29/20	10.000	7,969.76	Various	6,750.09	...	1,219.67	Total of 7 transactions
	3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	5.000	3,749.91	09/29/20	4,300.00	550.09 W	0.00	1 of 3 - Option sale to close-put ²⁵
	3.000	2,249.94	09/29/20	2,250.00	0.06 W	0.00	2 of 3 - Option sale to close-put ²⁵
	2.000	1,499.96	09/29/20	1,500.00	0.04 W	0.00	3 of 3 - Option sale to close-put ²⁵
09/29/20	10.000	7,499.81	Various	8,050.00	550.19 W	0.00	Total of 3 transactions
10/01/20	1.000	449.98	10/01/20	390.02	...	59.96	Option sale to close-put ²⁵
10/01/20	1.000	449.98	10/01/20	600.02	150.04 W	0.00	Option sale to close-put ²⁵
	4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	5.00	10/01/20	485.04	...	-480.04	1 of 4 - Option sale to close-put ²⁵
	1.000	4.99	10/01/20	300.02	...	-295.03	2 of 4 - Option sale to close-put ²⁵
	1.000	4.98	10/01/20	250.04	...	-245.06	3 of 4 - Option sale to close-put ²⁵
	1.000	4.99	10/01/20	100.02	...	-95.03	4 of 4 - Option sale to close-put ²⁵
10/02/20	4.000	19.96	Various	1,135.12	...	-1,115.16	Total of 4 transactions
10/02/20	1.000	4.98	10/01/20	390.02	385.04 W	0.00	Option sale to close-put ²⁵
	Security total:	16,394.47		17,315.27	1,085.27 W	164.47	
ZM 10/09/2020 PUT \$470.00 / CUSIP: / Symbol:							
10/05/20	1.000	1,209.96	10/05/20	1,110.00	...	99.96	Option sale to close-put ²⁵
	4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	849.98	10/06/20	1,016.08	...	-166.10	1 of 4 - Option sale to close-put ²⁵

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ZM 10/09/2020 PUT \$470.00 / CUSIP: / Symbol: (cont'd)							
	1.000	889.97	10/06/20	900.05	...	-10.08	2 of 4 - Option sale to close-put ²⁵
	1.000	849.97	10/06/20	688.03	...	161.94	3 of 4 - Option sale to close-put ²⁵
	1.000	849.98	10/06/20	650.02	...	199.96	4 of 4 - Option sale to close-put ²⁵
10/06/20	4.000	3,439.90	Various	3,254.18	...	185.72	Total of 4 transactions
	6 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	1,019.97	10/05/20	1,178.00	158.03 W	0.00	1 of 6 - Option sale to close-put ²⁵
	1.000	1,019.97	10/05/20	1,090.00	70.03 W	0.00	2 of 6 - Option sale to close-put ²⁵
	1.000	839.97	10/05/20	1,168.03	328.06 W	0.00	3 of 6 - Option sale to close-put ²⁵
	1.000	839.98	10/05/20	900.03	60.05 W	0.00	4 of 6 - Option sale to close-put ²⁵
	1.000	839.98	10/06/20	1,168.06	328.08 W	0.00	5 of 6 - Option sale to close-put ²⁵
	2.000	1,679.95	10/06/20	1,680.00	0.05 W	0.00	6 of 6 - Option sale to close-put ²⁵
10/06/20	7.000	6,239.82	Various	7,184.12	944.30 W	0.00	Total of 6 transactions
	Security total:	10,889.68		11,548.30	944.30 W	285.68	
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
	4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	100.000	279.99	07/15/20	346.00	...	-66.01	1 of 4 - Sale ²⁵
	100.000	279.99	07/15/20	346.00	...	-66.01	2 of 4 - Sale ²⁵
	66.000	184.79	07/15/20	228.36	...	-43.57	3 of 4 - Sale ²⁵
	34.000	95.20	07/15/20	117.64	...	-22.44	4 of 4 - Sale ²⁵
09/24/20	300.000	839.97	Various	1,038.00	...	-198.03	Total of 4 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
10/26/20	1.000	3,183.85	10/23/20	3,172.74	...	11.11	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
10/28/20	4.000	450.97	07/31/20	409.76	...	41.21	Sale ²⁵
ARBUTUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
	2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	200.000	545.98	08/05/20	843.48	297.50 W	0.00	1 of 2 - Sale ²⁵
	800.000	2,183.93	08/20/20	2,687.92	503.99 W	0.00	2 of 2 - Sale ²⁵
09/01/20	1,000.000	2,729.91	Various	3,531.40	801.49 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
<i>6 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	1,175.96	08/20/20	1,595.07	...	-419.11	1 of 6 - Sale ²⁵
	200.000	587.98	08/20/20	969.48	...	-381.50	2 of 6 - Sale ²⁵
	300.000	881.97	08/20/20	1,193.97	...	-312.00	3 of 6 - Sale ²⁵
	700.000	2,057.94	08/20/20	2,344.93	...	-286.99	4 of 6 - Sale ²⁵
	450.000	1,322.96	08/20/20	1,511.96	...	-189.00	5 of 6 - Sale ²⁵
	100.000	293.99	08/20/20	398.77	...	-104.78	6 of 6 - Sale ²⁵
09/22/20	2,150.000	6,320.80	Various	8,014.18	...	-1,693.38	Total of 6 transactions
	Security total:	9,050.71		11,545.58	801.49 W	-1,693.38	
CARVER BANCORP, INC. COMMON STOCK / CUSIP: 146875604 / Symbol:							
09/25/20	100.000	714.97	08/10/20	701.00	...	13.97	Sale ²⁵
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
09/24/20	50.000	256.18	08/04/20	355.25	...	-99.07	Sale ²⁵
DIREXION DAILY FINANCIAL BULL 3X SHARES / CUSIP: 25459Y694 / Symbol:							
06/04/20	15.000	548.55	05/13/20	347.10	...	201.45	Sale ²⁵
DIREXION DAILY FINANCIAL BEAR 3X SHARES / CUSIP: 25460E133 / Symbol:							
<i>3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	946.98	05/21/20	1,168.00	...	-221.02	1 of 3 - Sale ²⁵
	50.000	946.98	06/03/20	838.00	...	108.98	2 of 3 - Sale ²⁵
	100.000	1,893.95	06/11/20	1,784.00	...	109.95	3 of 3 - Sale ²⁵
06/29/20	200.000	3,787.91	Various	3,790.00	...	-2.09	Total of 3 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
<i>2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	402.99	05/19/20	367.87	...	35.12	1 of 2 - Sale ²⁵
	40.000	1,611.96	05/19/20	1,501.20	...	110.76	2 of 2 - Sale ²⁵
06/02/20	50.000	2,014.95	Various	1,869.07	...	145.88	Total of 2 transactions
10/28/20	50.000	947.97	06/08/20	3,110.00	...	-2,162.03	Sale ²⁵
	Security total:	2,962.92		4,979.07	...	-2,016.15	

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY ENERGY BULL 2X SHARES / CUSIP: 25460G609 / Symbol:							
06/04/20	50.000	930.97	05/13/20	722.44	...	208.53	Sale ²⁵
10/01/20	100.000	868.98	08/27/20	1,300.80	...	-431.82	Sale ²⁵
	Security total:	1,799.95		2,023.24	...	-223.29	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
06/29/20	10.000	1,023.27	05/21/20	1,022.01	...	1.26	Sale ²⁵
	2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	10.000	1,757.26	07/27/20	1,731.80	...	25.46	1 of 2 - Sale ²⁵
	5.000	878.63	07/29/20	801.48	...	77.15	2 of 2 - Sale ²⁵
08/04/20	15.000	2,635.89	Various	2,533.28	...	102.61	Total of 2 transactions
09/01/20	10.000	1,537.16	08/14/20	1,466.30	...	70.86	Sale ²⁵
	Security total:	5,196.32		5,021.59	...	174.73	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
10/28/20	10.000	2,173.67	09/01/20	2,575.59	...	-401.92	Sale ²⁵
EVOFEM BIOSCIENCES, INC. COMMON STOCK / CUSIP: 30048L104 / Symbol:							
	4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	100.000	217.99	08/26/20	312.50	...	-94.51	1 of 4 - Sale ²⁵
	100.000	217.99	08/26/20	312.50	...	-94.51	2 of 4 - Sale ²⁵
	54.000	117.71	08/26/20	168.75	...	-51.04	3 of 4 - Sale ²⁵
	46.000	100.28	08/26/20	143.75	...	-43.47	4 of 4 - Sale ²⁵
09/24/20	300.000	653.97	Various	937.50	...	-283.53	Total of 4 transactions
GOODRX HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 38246G108 / Symbol:							
10/06/20	50.000	2,784.68	09/28/20	2,625.00	...	159.68	Sale ²⁵
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	99.000	1,029.56	08/24/20	1,048.67	...	-19.11	1 of 2 - Sale ²⁵
	1.000	10.40	08/24/20	10.59	...	-0.19	2 of 2 - Sale ²⁵
10/08/20	100.000	1,039.96	Various	1,059.26	...	-19.30	Total of 2 transactions

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	7.13	05/05/20	5.29	...	1.84	1 of 7 - Sale ²⁵
	58.000	413.63	06/08/20	617.70	...	-204.07	2 of 7 - Sale ²⁵
	3.000	21.39	06/08/20	31.80	...	-10.41	3 of 7 - Sale ²⁵
	100.000	713.15	06/11/20	727.50	...	-14.35	4 of 7 - Sale ²⁵
	60.000	427.89	06/11/20	401.86	...	26.03	5 of 7 - Sale ²⁵
	28.000	199.68	07/31/20	171.50	...	28.18	6 of 7 - Sale ²⁵
	158.000	1,126.78	07/31/20	963.42	...	163.36	7 of 7 - Sale ²⁵
08/10/20	408.000	2,909.65	Various	2,919.07	...	-9.42	Total of 7 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/17/20	10.000	922.47	07/15/20	878.40	...	44.07	Sale ²⁵
09/30/20	50.000	3,615.22	09/30/20	3,637.50	...	-22.28	Sale ²⁵
	Security total:	4,537.69		4,515.90	...	21.79	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
10/14/20	10.000	1,303.97	09/30/20	1,008.60	...	295.37	Sale ²⁵
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol:							
4 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	50.000	1,127.47	10/12/20	3,028.34	...	-1,900.87	1 of 4 - Sale ²⁵
	50.000	1,127.47	10/12/20	3,027.03	...	-1,899.56	2 of 4 - Sale ²⁵
	30.000	676.48	10/16/20	943.80	...	-267.32	3 of 4 - Sale ²⁵
	20.000	450.98	10/16/20	629.60	...	-178.62	4 of 4 - Sale ²⁵
10/28/20	150.000	3,382.40	Various	7,628.77	...	-4,246.37	Total of 4 transactions
10/28/20	100.000	2,255.94	10/07/20	4,794.00	2,538.06 W	0.00	Sale ²⁵
	Security total:	5,638.34		12,422.77	2,538.06 W	-4,246.37	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
10/21/20	5.000	2,123.39	09/08/20	1,794.10	...	329.29	Sale ²⁵

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Robinhood Securities LLC

Account 966250102

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
<i>2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	238.14	08/04/20	432.95	...	-194.81	1 of 2 - Sale ²⁵
	50.000	238.13	08/05/20	414.50	...	-176.37	2 of 2 - Sale ²⁵
09/24/20	100.000	476.27	Various	847.45	...	-371.18	Total of 2 transactions
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
<i>2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	575.73	08/13/20	532.62	...	43.11	1 of 2 - Sale ²⁵
	25.000	575.98	08/13/20	532.63	...	43.35	2 of 2 - Sale ²⁵
08/13/20	50.000	1,151.71	Various	1,065.25	...	86.46	Total of 2 transactions
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
09/29/20	100.000	549.97	09/28/20	699.00	...	-149.03	Sale ²⁵
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
08/04/20	100.000	901.97	07/28/20	785.50	...	116.47	Sale ²⁵
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
10/14/20	40.000	841.78	08/27/20	953.20	...	-111.42	Sale ²⁵
Totals :		396,209.16		490,268.75	69,349.78 W	-24,709.81	

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Robinhood Securities LLC

Account 966250102

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		08/13/20	0.82	Qualified dividend	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		06/30/20	0.45	Qualified dividend	
			09/29/20	0.03	Qualified dividend	
				0.48	Total Dividends & distributions	
DIREXION DAILY ENERGY BULL 2X SHARES	25460G609		09/29/20	10.15	Qualified dividend	
				11.45	Total Dividends & distributions	

Robinhood Securities LLC

Account 966250102

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/30/20	-5.00	Margin interest paid	
		10/30/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/29/20	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-20.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.