

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 750210676	Statement Date: 02/14/2021 Document ID: 4Z32 0YJ J988	2020
	Sowmyarani Buragoni 1781 Warburton Ave 10 Santa Clara, CA 95050 RECIPIENT'S TIN: XXX-XX-7730		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	49.32		2- Royalties	0.00	
1b- Qualified dividends	49.32		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.02	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	6.77		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	273,070.69	306,998.07	0.00	9,317.38	-24,610.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	16.36	24.29	0.00	0.00	-7.93
	Total Short-term	273,087.05	307,022.36	0.00	9,317.38	-24,617.93
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	273,087.05	307,022.36	0.00	9,317.38	-24,617.93

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 750210676

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	39.14
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/31/2020 CALL \$312.50 / CUSIP: / Symbol:							
01/30/20	1.000	1,036.96	01/27/20	789.00	...	247.96	Option sale to close-call ²⁵
AAPL 01/31/2020 CALL \$335.00 / CUSIP: / Symbol:							
3 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	1.000	3.98	01/16/20	270.00	...	-266.02	1 of 3 - Option sale to close-call ²⁵
	1.000	3.98	01/16/20	270.00	...	-266.02	2 of 3 - Option sale to close-call ²⁵
	1.000	3.98	01/16/20	270.00	...	-266.02	3 of 3 - Option sale to close-call ²⁵
01/30/20	3.000	11.94	Various	810.00	...	-798.06	Total of 3 transactions
ADBE 03/13/2020 CALL \$340.00 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/11/20	217.00	...	-217.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 03/20/2020 CALL \$352.50 / CUSIP: / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	17.99	03/05/20	1,460.00	...	-1,442.01	1 of 2 - Option sale to close-call ²⁵
	1.000	17.99	03/10/20	206.00	...	-188.01	2 of 2 - Option sale to close-call ²⁵
03/17/20	2.000	35.98	Various	1,666.00	...	-1,630.02	Total of 2 transactions
ADBE 03/20/2020 CALL \$390.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/24/20	385.00	...	-385.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/05/20	211.00	...	-211.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	596.00	...	-596.00	Total of 2 transactions
ADBE 03/20/2020 CALL \$392.50 / CUSIP: / Symbol:							
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/24/20	690.00	...	-690.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/05/20	197.00	...	-197.00	2 of 3 - Option expiration- long call
	1.000	0.00	03/06/20	86.00	...	-86.00	3 of 3 - Option expiration- long call
03/20/20	4.000	0.00	Various	973.00	...	-973.00	Total of 3 transactions
ADBE 03/20/2020 CALL \$405.00 / CUSIP: / Symbol:							
20 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	7.000	0.00	02/18/20	2,485.00	...	-2,485.00	1 of 20 - Option expiration- long call
	1.000	0.00	02/18/20	355.00	...	-355.00	2 of 20 - Option expiration- long call
	1.000	0.00	02/18/20	355.00	...	-355.00	3 of 20 - Option expiration- long call
	1.000	0.00	02/18/20	355.00	...	-355.00	4 of 20 - Option expiration- long call
	2.000	0.00	03/05/20	142.00	...	-142.00	5 of 20 - Option expiration- long call
	2.000	0.00	03/05/20	140.00	...	-140.00	6 of 20 - Option expiration- long call
	1.000	0.00	03/05/20	80.00	...	-80.00	7 of 20 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 03/20/2020 CALL \$405.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	03/05/20	78.00	...	-78.00	8 of 20 - Option expiration- long call
	1.000	0.00	03/05/20	71.00	...	-71.00	9 of 20 - Option expiration- long call
	1.000	0.00	03/05/20	65.00	...	-65.00	10 of 20 - Option expiration- long call
	2.000	0.00	03/06/20	136.00	...	-136.00	11 of 20 - Option expiration- long call
	2.000	0.00	03/06/20	108.00	...	-108.00	12 of 20 - Option expiration- long call
	2.000	0.00	03/06/20	98.00	...	-98.00	13 of 20 - Option expiration- long call
	1.000	0.00	03/06/20	73.00	...	-73.00	14 of 20 - Option expiration- long call
	2.000	0.00	03/06/20	66.00	...	-66.00	15 of 20 - Option expiration- long call
	1.000	0.00	03/06/20	48.00	...	-48.00	16 of 20 - Option expiration- long call
	1.000	0.00	03/06/20	35.00	...	-35.00	17 of 20 - Option expiration- long call
	1.000	0.00	03/06/20	35.00	...	-35.00	18 of 20 - Option expiration- long call
	2.000	0.00	03/10/20	36.00	...	-36.00	19 of 20 - Option expiration- long call
	4.000	0.00	03/10/20	36.00	...	-36.00	20 of 20 - Option expiration- long call
03/20/20	36.000	0.00	Various	4,797.00	...	-4,797.00	Total of 20 transactions
ADBE 06/12/2020 CALL \$410.00 / CUSIP: / Symbol:							
05/20/20	3.000	734.97	05/19/20	882.00	...	-147.03	Option sale to close-call ²⁵
AMZN 02/14/2020 CALL \$1,875.00 / CUSIP: / Symbol:							
01/31/20	1.000	17,099.63	01/03/20	7,090.00	...	10,009.63	Option sale to close-call ²⁵
AMZN 05/08/2020 CALL \$2,660.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/21/20	4,680.00	...	-4,680.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 02/14/2020 CALL \$207.50 / CUSIP: / Symbol:							
02/11/20	1.000	1,209.96	01/27/20	700.00	...	509.96	Option sale to close-call ²⁵
BABA 05/22/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/18/20	1.000	1,619.95	05/13/20	645.00	...	974.95	Option sale to close-call ²⁵
BABA 05/22/2020 CALL \$212.50 / CUSIP: / Symbol:							
<i>2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	153.99	04/30/20	340.00	186.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	153.99	04/30/20	340.00	186.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/04/20	2.000	307.98	Various	680.00	372.02 W	0.00	Total of 2 transactions
05/08/20	1.000	334.98	05/06/20	409.01	74.03 W	0.00	Option sale to close-call ²⁵
05/18/20	1.000	539.97	05/13/20	315.03	...	224.94	Option sale to close-call ²⁵
05/20/20	1.000	1,014.96	05/13/20	427.01	...	587.95	Option sale to close-call ²⁵
Security total:		2,197.89		1,831.05	446.05 W	812.89	
BABA 05/22/2020 CALL \$215.00 / CUSIP: / Symbol:							
05/18/20	1.000	434.98	05/14/20	141.00	...	293.98	Option sale to close-call ²⁵
BABA 05/22/2020 CALL \$235.00 / CUSIP: / Symbol:							
05/22/20	2.000	0.00	05/20/20	150.00	...	-150.00	Option expiration- long call
BABA 05/22/2020 CALL \$245.00 / CUSIP: / Symbol:							
05/22/20	3.000	0.00	05/20/20	204.00	...	-204.00	Option expiration- long call
BABA 05/29/2020 CALL \$212.50 / CUSIP: / Symbol:							
05/18/20	1.000	644.97	05/14/20	250.00	...	394.97	Option sale to close-call ²⁵
BABA 05/29/2020 CALL \$215.00 / CUSIP: / Symbol:							
05/28/20	3.000	8.98	05/26/20	165.00	...	-156.02	Option sale to close-call ²⁵
BABA 05/29/2020 CALL \$240.00 / CUSIP: / Symbol:							
05/20/20	3.000	455.97	05/19/20	294.00	...	161.97	Option sale to close-call ²⁵
BABA 05/29/2020 CALL \$245.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/20/20	110.00	...	-110.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BABA 06/05/2020 CALL \$220.00 / CUSIP: / Symbol:							
05/29/20	1.000	60.98	05/26/20	62.00	...	-1.02	Option sale to close-call ²⁵
BIDU 05/22/2020 CALL \$107.00 / CUSIP: / Symbol:							
05/18/20	1.000	314.98	05/15/20	186.00	...	128.98	Option sale to close-call ²⁵
BIDU 05/22/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	100.98	05/18/20	73.00	...	27.98	1 of 2 - Option sale to close-call ²⁵
	1.000	100.98	05/18/20	73.00	...	27.98	2 of 2 - Option sale to close-call ²⁵
05/18/20	2.000	201.96	Various	146.00	...	55.96	Total of 2 transactions
BIDU 05/22/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	2.000	67.99	05/18/20	56.00	...	11.99	1 of 2 - Option sale to close-call ²⁵
	8.000	271.98	05/18/20	224.00	...	47.98	2 of 2 - Option sale to close-call ²⁵
05/19/20	10.000	339.97	Various	280.00	...	59.97	Total of 2 transactions
BIDU 05/22/2020 PUT \$88.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	8.000	23.97	05/18/20	104.00	...	-80.03	1 of 2 - Option sale to close-put ²⁵
	2.000	5.98	05/18/20	26.00	...	-20.02	2 of 2 - Option sale to close-put ²⁵
05/19/20	10.000	29.95	Various	130.00	...	-100.05	Total of 2 transactions
BKNG 05/29/2020 CALL \$1,810.00 / CUSIP: / Symbol:							
05/22/20	1.000	159.98	05/22/20	270.00	...	-110.02	Option sale to close-call ²⁵
CCL 05/29/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	8.000	79.98	05/26/20	112.00	32.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	19.99	05/26/20	28.00	8.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/26/20	10.000	99.97	Various	140.00	40.03 W	0.00	Total of 2 transactions
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	2.000	109.99	05/26/20	36.01	...	73.98	1 of 4 - Option sale to close-call ²⁵
	2.000	109.99	05/26/20	36.01	...	73.98	2 of 4 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 05/29/2020 CALL \$18.00 / CUSIP: / Symbol: (cont'd)							
	2.000	109.99	05/26/20	36.00	...	73.99	3 of 4 - Option sale to close-call ²⁵
	4.000	219.99	05/26/20	72.01	...	147.98	4 of 4 - Option sale to close-call ²⁵
05/27/20	10.000	549.96	Various	180.03	...	369.93	Total of 4 transactions
	Security total:	649.93		320.03	40.03 W	369.93	
CODX 08/21/2020 CALL \$16.00 / CUSIP: / Symbol:							
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	2.000	1,159.96	03/12/20	1,500.00	...	-340.04	1 of 4 - Option sale to close-call ²⁵
	1.000	677.97	03/12/20	750.00	...	-72.03	2 of 4 - Option sale to close-call ²⁵
	1.000	949.97	03/12/20	750.00	...	199.97	3 of 4 - Option sale to close-call ²⁵
	1.000	949.97	04/23/20	550.02	...	399.95	4 of 4 - Option sale to close-call ²⁵
05/13/20	5.000	3,737.87	Various	3,550.02	...	187.85	Total of 4 transactions
05/13/20	6.000	3,239.91	03/12/20	4,500.00	210.02 W	-1,050.07	Option sale to close-call ²⁵
	Security total:	6,977.78		8,050.02	210.02 W	-862.22	
CRM 06/05/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	57.98	05/27/20	52.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	3.000	86.98	05/27/20	78.00	...	8.98	2 of 2 - Option sale to close-call ²⁵
05/28/20	5.000	144.96	Various	130.00	...	14.96	Total of 2 transactions
CRM 06/05/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	5.000	4.98	05/28/20	105.00	...	-100.02	1 of 2 - Option sale to close-call ²⁵
	2.000	1.98	05/28/20	42.00	...	-40.02	2 of 2 - Option sale to close-call ²⁵
05/29/20	7.000	6.96	Various	147.00	...	-140.04	Total of 2 transactions
DIS 06/12/2020 CALL \$116.00 / CUSIP: / Symbol:							
05/18/20	1.000	620.97	05/15/20	169.00	...	451.97	Option sale to close-call ²⁵
FB 03/20/2020 CALL \$187.50 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/05/20	570.00	...	-570.00	Option expiration- long call
FB 03/20/2020 CALL \$210.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/24/20	375.00	...	-375.00	Option expiration- long call

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Robinhood Securities LLC

Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FB 03/20/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	6.000	5.99	03/05/20	114.00	...	-108.01	1 of 2 - Option sale to close-call ²⁵
	2.000	1.99	03/05/20	40.00	...	-38.01	2 of 2 - Option sale to close-call ²⁵
03/19/20	8.000	7.98	Various	154.00	...	-146.02	Total of 2 transactions
03/19/20	2.000	1.99	02/26/20	464.00	462.01 W	0.00	Option sale to close-call ²⁵
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/05/20	251.01	...	-251.01	1 of 2 - Option expiration- long call
	1.000	0.00	03/05/20	249.00	...	-249.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	500.01	...	-500.01	Total of 2 transactions
	Security total:	9.97		1,118.01	462.01 W	-646.03	
FB 03/20/2020 CALL \$217.50 / CUSIP: / Symbol:							
03/20/20	2.000	0.00	02/26/20	264.00	...	-264.00	Option expiration- long call
FB 03/27/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/28/20	405.00	...	-405.00	1 of 2 - Option expiration- long call
	2.000	0.00	03/05/20	382.00	...	-382.00	2 of 2 - Option expiration- long call
03/27/20	3.000	0.00	Various	787.00	...	-787.00	Total of 2 transactions
FB 05/01/2020 CALL \$190.00 / CUSIP: / Symbol:							
04/30/20	1.000	1,603.95	04/24/20	750.00	...	853.95	Option sale to close-call ²⁵
FB 05/22/2020 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	294.98	05/20/20	145.00	...	149.98	1 of 2 - Option sale to close-call ²⁵
	2.000	583.97	05/20/20	290.00	...	293.97	2 of 2 - Option sale to close-call ²⁵
05/20/20	3.000	878.95	Various	435.00	...	443.95	Total of 2 transactions
05/21/20	1.000	414.98	05/20/20	310.00	...	104.98	Option sale to close-call ²⁵
	Security total:	1,293.93		745.00	...	548.93	

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Account 750210676

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(continued)

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FB 05/22/2020 CALL \$240.00 / CUSIP: / Symbol:							
5 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	6.000	365.98	05/21/20	954.00	...	-588.02	1 of 5 - Option sale to close-call ²⁵
	1.000	59.99	05/21/20	169.01	...	-109.02	2 of 5 - Option sale to close-call ²⁵
	1.000	59.99	05/21/20	169.01	...	-109.02	3 of 5 - Option sale to close-call ²⁵
	1.000	60.00	05/21/20	159.00	...	-99.00	4 of 5 - Option sale to close-call ²⁵
	1.000	60.00	05/21/20	159.00	...	-99.00	5 of 5 - Option sale to close-call ²⁵
05/21/20	10.000	605.96	Various	1,610.02	...	-1,004.06	Total of 5 transactions
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	59.99	05/20/20	70.00	10.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	59.99	05/20/20	70.00	10.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/21/20	2.000	119.98	Various	140.00	20.02 W	0.00	Total of 2 transactions
	Security total:	725.94		1,750.02	20.02 W	-1,004.06	
FB 05/22/2020 CALL \$245.00 / CUSIP: / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	6.000	137.98	05/21/20	366.00	...	-228.02	1 of 2 - Option sale to close-call ²⁵
	4.000	91.98	05/21/20	244.00	...	-152.02	2 of 2 - Option sale to close-call ²⁵
05/21/20	10.000	229.96	Various	610.00	...	-380.04	Total of 2 transactions
FB 05/22/2020 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	3.000	29.98	05/21/20	123.00	...	-93.02	1 of 3 - Option sale to close-call ²⁵
	1.000	9.98	05/21/20	41.00	...	-31.02	2 of 3 - Option sale to close-call ²⁵
	1.000	9.98	05/21/20	41.00	...	-31.02	3 of 3 - Option sale to close-call ²⁵
05/21/20	5.000	49.94	Various	205.00	...	-155.06	Total of 3 transactions
FB 05/22/2020 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	05/21/20	36.00	...	-36.00	1 of 3 - Option expiration- long call
	1.000	0.00	05/21/20	12.00	...	-12.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/21/20	12.00	...	-12.00	3 of 3 - Option expiration- long call
05/22/20	5.000	0.00	Various	60.00	...	-60.00	Total of 3 transactions

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Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 05/29/2020 CALL \$205.00 / CUSIP: / Symbol:							
05/18/20	1.000	924.96	05/13/20	594.00	...	330.96	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$212.50 / CUSIP: / Symbol:							
05/15/20	1.000	309.98	05/14/20	221.00	...	88.98	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$227.50 / CUSIP: / Symbol:							
05/20/20	2.000	739.97	05/19/20	160.00	...	579.97	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$240.00 / CUSIP: / Symbol:							
05/22/20	1.000	217.98	05/22/20	245.00	...	-27.02	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$242.50 / CUSIP: / Symbol:							
05/22/20	1.000	170.98	05/22/20	165.00	...	5.98	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$250.00 / CUSIP: / Symbol:							
05/22/20	1.000	69.98	05/22/20	60.00	...	9.98	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$252.50 / CUSIP: / Symbol:							
05/26/20	3.000	161.98	05/26/20	171.00	...	-9.02	Option sale to close-call ²⁵
FB 05/29/2020 PUT \$215.00 / CUSIP: / Symbol:							
05/22/20	1.000	69.98	05/22/20	70.00	...	-0.02	Option sale to close-put ²⁵
FB 06/05/2020 CALL \$245.00 / CUSIP: / Symbol:							
05/20/20	3.000	503.97	05/20/20	246.00	...	257.97	Option sale to close-call ²⁵
05/28/20	2.000	111.98	05/28/20	110.00	...	1.98	Option sale to close-call ²⁵
	Security total:	615.95		356.00	...	259.95	
FDX 01/31/2020 CALL \$155.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	1,079.97	12/19/19	380.00	...	699.97	1 of 2 - Option sale to close-call ²⁵
	5.000	2,699.94	12/19/19	1,000.00	...	1,699.94	2 of 2 - Option sale to close-call ²⁵
01/13/20	7.000	3,779.91	Various	1,380.00	...	2,399.91	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FDX 03/20/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/26/20	470.00	...	-470.00	1 of 2 - Option expiration- long call
	2.000	0.00	03/05/20	48.00	...	-48.00	2 of 2 - Option expiration- long call
03/20/20	4.000	0.00	Various	518.00	...	-518.00	Total of 2 transactions
FDX 05/29/2020 CALL \$123.00 / CUSIP: / Symbol:							
05/22/20	1.000	60.98	05/20/20	83.00	...	-22.02	Option sale to close-call ²⁵
FDX 05/29/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	174.98	05/20/20	96.00	...	78.98	1 of 2 - Option sale to close-call ²⁵
	2.000	307.98	05/20/20	192.00	...	115.98	2 of 2 - Option sale to close-call ²⁵
05/26/20	3.000	482.96	Various	288.00	...	194.96	Total of 2 transactions
GILD 06/05/2020 CALL \$78.00 / CUSIP: / Symbol:							
05/27/20	3.000	209.98	05/20/20	363.00	...	-153.02	Option sale to close-call ²⁵
GOOGL 02/07/2020 CALL \$1,435.0 / CUSIP: / Symbol:							
02/03/20	1.000	5,719.87	01/31/20	4,060.00	...	1,659.87	Option sale to close-call ²⁵
GOOGL 02/07/2020 CALL \$1,452.5 / CUSIP: / Symbol:							
02/03/20	1.000	4,499.89	01/31/20	3,880.00	...	619.89	Option sale to close-call ²⁵
GOOGL 02/07/2020 CALL \$1,477.5 / CUSIP: / Symbol:							
02/05/20	1.000	114.98	02/03/20	4,330.00	...	-4,215.02	Option sale to close-call ²⁵
GOOGL 02/14/2020 CALL \$1,440.0 / CUSIP: / Symbol:							
2 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	1.000	5,539.87	01/30/20	3,790.00	...	1,749.87	1 of 2 - Option sale to close-call ²⁵
	1.000	5,539.87	01/30/20	3,790.00	...	1,749.87	2 of 2 - Option sale to close-call ²⁵
02/03/20	2.000	11,079.74	Various	7,580.00	...	3,499.74	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GS 05/29/2020 CALL \$205.00 / CUSIP: / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	106.98	05/26/20	22.00	...	84.98	1 of 3 - Option sale to close-call ²⁵
	1.000	107.98	05/26/20	22.00	...	85.98	2 of 3 - Option sale to close-call ²⁵
	1.000	107.98	05/26/20	22.00	...	85.98	3 of 3 - Option sale to close-call ²⁵
05/26/20	3.000	322.94	Various	66.00	...	256.94	Total of 3 transactions
HUYA 05/22/2020 CALL \$20.50 / CUSIP: / Symbol:							
05/22/20	2.000	0.00	05/20/20	150.00	...	-150.00	Option expiration- long call
INO 02/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
5 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	01/28/20	55.00	...	-0.02	1 of 5 - Option sale to close-call ²⁵
	4.000	219.98	01/28/20	220.00	...	-0.02	2 of 5 - Option sale to close-call ²⁵
	4.000	219.98	01/28/20	220.00	...	-0.02	3 of 5 - Option sale to close-call ²⁵
	3.000	164.98	01/28/20	165.00	...	-0.02	4 of 5 - Option sale to close-call ²⁵
	8.000	447.97	01/28/20	440.00	...	7.97	5 of 5 - Option sale to close-call ²⁵
01/31/20	20.000	1,107.89	Various	1,100.00	...	7.89	Total of 5 transactions
INO 02/21/2020 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	01/27/20	850.00	...	-850.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/27/20	120.00	...	-120.00	2 of 2 - Option expiration- long call
02/21/20	6.000	0.00	Various	970.00	...	-970.00	Total of 2 transactions
INO 03/20/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	279.98	03/06/20	285.00	...	-5.02	1 of 2 - Option sale to close-call ²⁵
	1.000	389.98	03/06/20	285.00	...	104.98	2 of 2 - Option sale to close-call ²⁵
03/06/20	2.000	669.96	Various	570.00	...	99.96	Total of 2 transactions
INO 04/17/2020 CALL \$14.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	03/06/20	360.00	...	-360.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 01/31/2020 CALL \$61.50 / CUSIP: / Symbol:							
2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.							
	3.000	419.98	01/17/20	228.00	...	191.98	1 of 2 - Option sale to close-call ²⁵
	7.000	979.96	01/17/20	532.00	...	447.96	2 of 2 - Option sale to close-call ²⁵
01/21/20	10.000	1,399.94	Various	760.00	...	639.94	Total of 2 transactions
JDST 04/03/2020 CALL \$14.50 / CUSIP: / Symbol:							
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	4.000	739.97	02/28/20	960.00	...	-220.03	1 of 2 - Option sale to close-call ²⁵
	1.000	184.98	02/28/20	240.00	...	-55.02	2 of 2 - Option sale to close-call ²⁵
03/11/20	5.000	924.95	Various	1,200.00	...	-275.05	Total of 2 transactions
JNUG 03/06/2020 CALL \$67.50 / CUSIP: / Symbol:							
03/05/20	2.000	529.97	03/05/20	550.00	...	-20.03	Option sale to close-call ²⁵
MRNA 05/22/2020 CALL \$100.00 / CUSIP: / Symbol:							
05/18/20	1.000	194.98	05/18/20	330.00	...	-135.02	Option sale to close-call ²⁵
MSFT 01/31/2020 CALL \$175.00 / CUSIP: / Symbol:							
3 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	2.000	37.99	01/17/20	302.01	...	-264.02	1 of 3 - Option sale to close-call ²⁵
	1.000	18.99	01/17/20	218.03	...	-199.04	2 of 3 - Option sale to close-call ²⁵
	1.000	18.99	01/17/20	216.03	...	-197.04	3 of 3 - Option sale to close-call ²⁵
01/30/20	4.000	75.97	Various	736.07	...	-660.10	Total of 3 transactions
5 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	1.000	18.99	01/17/20	151.02	132.03 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	20.98	01/17/20	86.00	65.02 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	20.98	01/17/20	86.00	65.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	2.000	41.99	01/17/20	172.00	130.01 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	20.99	01/17/20	151.02	130.03 W	0.00	5 of 5 - Option sale to close-call ²⁵
01/30/20	6.000	123.93	Various	646.04	522.11 W	0.00	Total of 5 transactions
	Security total:	199.90		1,382.11	522.11 W	-660.10	

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Robinhood Securities LLC

Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MSFT 03/20/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/24/20	285.00	...	-285.00	1 of 2 - Option expiration- long call
	3.000	0.00	03/05/20	294.00	...	-294.00	2 of 2 - Option expiration- long call
03/20/20	4.000	0.00	Various	579.00	...	-579.00	Total of 2 transactions
MSFT 03/20/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/24/20	150.00	...	-150.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/05/20	76.00	...	-76.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	226.00	...	-226.00	Total of 2 transactions
MSFT 03/20/2020 CALL \$190.00 / CUSIP: / Symbol:							
7 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/12/20	395.00	...	-395.00	1 of 7 - Option expiration- long call
	2.000	0.00	02/24/20	214.00	...	-214.00	2 of 7 - Option expiration- long call
	1.000	0.00	02/24/20	107.00	...	-107.00	3 of 7 - Option expiration- long call
	1.000	0.00	02/24/20	106.00	...	-106.00	4 of 7 - Option expiration- long call
	1.000	0.00	03/05/20	33.00	...	-33.00	5 of 7 - Option expiration- long call
	1.000	0.00	03/05/20	33.00	...	-33.00	6 of 7 - Option expiration- long call
	1.000	0.00	03/05/20	33.00	...	-33.00	7 of 7 - Option expiration- long call
03/20/20	8.000	0.00	Various	921.00	...	-921.00	Total of 7 transactions
MSFT 04/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	02/12/20	234.00	...	-234.00	Option expiration- long call
MSFT 04/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	02/12/20	270.00	...	-270.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/12/20	90.00	...	-90.00	2 of 2 - Option expiration- long call
04/17/20	4.000	0.00	Various	360.00	...	-360.00	Total of 2 transactions
MSFT 05/15/2020 CALL \$210.00 / CUSIP: / Symbol:							
02/20/20	4.000	691.97	02/07/20	812.00	...	-120.03	Option sale to close-call ²⁵

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(continued)

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MSFT 05/15/2020 CALL \$230.00 / CUSIP: / Symbol:							
02/20/20	10.000	739.96	02/07/20	760.00	...	-20.04	Option sale to close-call ²⁵
MSFT 05/15/2020 CALL \$250.00 / CUSIP: / Symbol:							
<i>9 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	0.00	02/12/20	248.00	...	-248.00	1 of 9 - Option expiration- long call
	4.000	0.00	02/12/20	248.00	...	-248.00	2 of 9 - Option expiration- long call
	2.000	0.00	02/12/20	126.00	...	-126.00	3 of 9 - Option expiration- long call
	25.000	0.00	04/23/20	100.00	...	-100.00	4 of 9 - Option expiration- long call
	20.000	0.00	04/23/20	80.00	...	-80.00	5 of 9 - Option expiration- long call
	20.000	0.00	04/23/20	80.00	...	-80.00	6 of 9 - Option expiration- long call
	5.000	0.00	04/23/20	30.00	...	-30.00	7 of 9 - Option expiration- long call
	4.000	0.00	04/23/20	24.00	...	-24.00	8 of 9 - Option expiration- long call
	1.000	0.00	04/23/20	6.00	...	-6.00	9 of 9 - Option expiration- long call
05/15/20	85.000	0.00	Various	942.00	...	-942.00	Total of 9 transactions
NFLX 01/17/2020 CALL \$345.00 / CUSIP: / Symbol:							
01/06/20	1.000	344.98	12/23/19	470.00	125.02 W	0.00	Option sale to close-call ²⁵
01/14/20	1.000	429.98	12/23/19	595.02	165.04 W	0.00	Option sale to close-call ²⁵
01/17/20	1.000	0.00	12/23/19	635.04	...	-635.04	Option expiration- long call
	Security total:	774.96		1,700.06	290.06 W	-635.04	
NFLX 01/24/2020 CALL \$345.00 / CUSIP: / Symbol:							
01/23/20	1.000	364.98	01/23/20	380.00	...	-15.02	Option sale to close-call ²⁵
NFLX 05/08/2020 CALL \$435.00 / CUSIP: / Symbol:							
05/06/20	1.000	879.97	04/20/20	3,215.00	2,335.03 W	0.00	Option sale to close-call ²⁵
05/08/20	1.000	649.97	04/29/20	2,520.03	...	-1,870.06	Option sale to close-call ²⁵
	Security total:	1,529.94		5,735.03	2,335.03 W	-1,870.06	
NIO 01/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
<i>3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	7.98	12/30/19	56.00	...	-48.02	1 of 3 - Option sale to close-call ²⁵
	1.000	7.98	12/30/19	56.00	...	-48.02	2 of 3 - Option sale to close-call ²⁵
	1.000	7.98	12/30/19	56.00	...	-48.02	3 of 3 - Option sale to close-call ²⁵
01/03/20	3.000	23.94	Various	168.00	...	-144.06	Total of 3 transactions

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Robinhood Securities LLC

Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO 01/17/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
01/03/20	7.000	55.98	12/30/19	392.00	240.02 W	-96.00	Option sale to close-call ²⁵
	<i>3 transactions for 01/06/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	9.99	12/30/19	192.01	...	-182.02	1 of 3 - Option sale to close-call ²⁵
	2.000	9.99	12/30/19	192.01	...	-182.02	2 of 3 - Option sale to close-call ²⁵
	1.000	5.00	12/30/19	96.00	...	-91.00	3 of 3 - Option sale to close-call ²⁵
01/06/20	5.000	24.98	Various	480.02	...	-455.04	Total of 3 transactions
	Security total:	104.90		1,040.02	240.02 W	-695.10	
NVDA 01/10/2020 CALL \$262.50 / CUSIP: / Symbol:							
	<i>2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	89.97	12/20/19	680.00	590.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	10.000	89.97	12/23/19	1,220.03	1,130.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/03/20	20.000	179.94	Various	1,900.03	1,720.09 W	0.00	Total of 2 transactions
	<i>6 transactions for 01/06/20. Total proceeds and cost reported to the IRS.</i>						
	6.000	23.99	12/23/19	990.04	...	-966.05	1 of 6 - Option sale to close-call ²⁵
	4.000	15.99	12/23/19	660.02	...	-644.03	2 of 6 - Option sale to close-call ²⁵
	6.000	23.98	12/26/19	204.00	...	-180.02	3 of 6 - Option sale to close-call ²⁵
	4.000	15.99	12/26/19	136.00	...	-120.01	4 of 6 - Option sale to close-call ²⁵
	4.000	15.99	12/26/19	136.00	...	-120.01	5 of 6 - Option sale to close-call ²⁵
	1.000	4.00	12/26/19	34.00	...	-30.00	6 of 6 - Option sale to close-call ²⁵
01/06/20	25.000	99.94	Various	2,160.06	...	-2,060.12	Total of 6 transactions
	Security total:	279.88		4,060.09	1,720.09 W	-2,060.12	
NVDA 05/22/2020 CALL \$405.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	237.98	05/19/20	215.00	...	22.98	1 of 2 - Option sale to close-call ²⁵
	2.000	475.97	05/19/20	430.00	...	45.97	2 of 2 - Option sale to close-call ²⁵
05/20/20	3.000	713.95	Various	645.00	...	68.95	Total of 2 transactions
NVDA 05/22/2020 CALL \$420.00 / CUSIP: / Symbol:							
	<i>4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	149.99	05/19/20	148.00	...	1.99	1 of 4 - Option sale to close-call ²⁵
	1.000	149.99	05/19/20	148.00	...	1.99	2 of 4 - Option sale to close-call ²⁵

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Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NVDA 05/22/2020 CALL \$420.00 / CUSIP: / Symbol: (cont'd)							
	1.000	150.00	05/19/20	148.00	...	2.00	3 of 4 - Option sale to close-call ²⁵
	2.000	299.99	05/19/20	296.00	...	3.99	4 of 4 - Option sale to close-call ²⁵
05/19/20	5.000	749.97	Various	740.00	...	9.97	Total of 4 transactions
NVDA 05/22/2020 CALL \$425.00 / CUSIP: / Symbol:							
05/22/20	2.000	0.00	05/20/20	224.00	...	-224.00	Option expiration- long call
NVDA 05/22/2020 CALL \$430.00 / CUSIP: / Symbol:							
05/22/20	3.000	0.00	05/20/20	315.00	...	-315.00	Option expiration- long call
NVDA 05/22/2020 CALL \$435.00 / CUSIP: / Symbol:							
05/22/20	2.000	0.00	05/20/20	200.00	...	-200.00	Option expiration- long call
NVDA 05/22/2020 CALL \$440.00 / CUSIP: / Symbol:							
05/22/20	2.000	0.00	05/20/20	134.00	...	-134.00	Option expiration- long call
NVDA 05/29/2020 CALL \$455.00 / CUSIP: / Symbol:							
05/29/20	2.000	0.00	05/20/20	200.00	...	-200.00	Option expiration- long call
NVDA 05/29/2020 PUT \$310.00 / CUSIP: / Symbol:							
05/22/20	1.000	46.98	05/22/20	80.00	...	-33.02	Option sale to close-put ²⁵
OPK 04/09/2020 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	03/06/20	260.00	...	-260.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/06/20	65.00	...	-65.00	2 of 2 - Option expiration- long call
04/09/20	5.000	0.00	Various	325.00	...	-325.00	Total of 2 transactions
PCG 01/03/2020 CALL \$12.50 / CUSIP: / Symbol:							
01/03/20	10.000	0.00	12/16/19	400.00	...	-400.00	Option expiration- long call
ROKU 03/27/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/28/20	1,240.00	...	-1,240.00	1 of 2 - Option expiration- long call
	2.000	0.00	03/25/20	24.00	...	-24.00	2 of 2 - Option expiration- long call
03/27/20	4.000	0.00	Various	1,264.00	...	-1,264.00	Total of 2 transactions

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ROKU 04/24/2020 CALL \$129.00 / CUSIP: / Symbol:							
04/23/20	1.000	269.98	04/23/20	285.00	...	-15.02	Option sale to close-call ²⁵
ROKU 05/15/2020 CALL \$141.00 / CUSIP: / Symbol:							
05/04/20	1.000	384.98	05/04/20	385.00	...	-0.02	Option sale to close-call ²⁵
SBUX 06/12/2020 CALL \$81.00 / CUSIP: / Symbol:							
06/02/20	1.000	39.98	05/20/20	125.00	...	-85.02	Option sale to close-call ²⁵
SHOP 05/22/2020 CALL \$900.00 / CUSIP: / Symbol:							
	2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	44.99	05/21/20	80.00	...	-35.01	1 of 2 - Option sale to close-call ²⁵
	1.000	44.99	05/21/20	80.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
05/21/20	2.000	89.98	Various	160.00	...	-70.02	Total of 2 transactions
SPCE 02/28/2020 CALL \$39.00 / CUSIP: / Symbol:							
02/26/20	3.000	149.98	02/20/20	2,040.00	...	-1,890.02	Option sale to close-call ²⁵
SPCE 02/28/2020 CALL \$42.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/20/20	530.00	...	-530.00	Option expiration- long call
SPCE 03/06/2020 CALL \$36.50 / CUSIP: / Symbol:							
03/06/20	2.000	0.00	02/24/20	640.00	...	-640.00	Option expiration- long call
SPLK 05/29/2020 CALL \$200.00 / CUSIP: / Symbol:							
	2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	44.98	05/22/20	65.01	...	-20.03	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	05/22/20	65.01	...	-15.03	2 of 2 - Option sale to close-call ²⁵
05/22/20	2.000	94.96	Various	130.02	...	-35.06	Total of 2 transactions
05/22/20	2.000	119.98	05/22/20	130.00	10.02 W	0.00	Option sale to close-call ²⁵
	Security total:	214.94		260.02	10.02 W	-35.06	
SRNE 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
05/18/20	1.000	369.98	05/15/20	262.00	...	107.98	Option sale to close-call ²⁵
TDOC 05/01/2020 CALL \$185.00 / CUSIP: / Symbol:							
04/23/20	2.000	2,099.94	04/20/20	2,080.00	...	19.94	Option sale to close-call ²⁵

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TSLA 01/10/2020 CALL \$425.00 / CUSIP: / Symbol:							
01/02/20	1.000	1,553.95	01/02/20	1,295.00	...	258.95	Option sale to close-call ²⁵
TSLA 01/10/2020 CALL \$445.00 / CUSIP: / Symbol:							
01/06/20	1.000	1,014.96	01/06/20	822.00	...	192.96	Option sale to close-call ²⁵
TSLA 01/10/2020 CALL \$472.50 / CUSIP: / Symbol:							
<i>2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,679.95	01/08/20	1,540.00	...	139.95	1 of 2 - Option sale to close-call ²⁵
	1.000	839.98	01/08/20	655.00	...	184.98	2 of 2 - Option sale to close-call ²⁵
01/08/20	3.000	2,519.93	Various	2,195.00	...	324.93	Total of 2 transactions
TSLA 01/17/2020 CALL \$425.00 / CUSIP: / Symbol:							
01/02/20	1.000	1,839.95	12/20/19	1,095.00	...	744.95	Option sale to close-call ²⁵
TSLA 01/17/2020 CALL \$430.00 / CUSIP: / Symbol:							
01/02/20	2.000	3,089.92	12/20/19	2,000.00	...	1,089.92	Option sale to close-call ²⁵
01/03/20	1.000	3,065.92	12/30/19	1,150.00	...	1,915.92	Option sale to close-call ²⁵
	Security total:	6,155.84		3,150.00	...	3,005.84	
TSLA 01/17/2020 CALL \$450.00 / CUSIP: / Symbol:							
01/06/20	1.000	1,389.96	01/06/20	1,140.00	...	249.96	Option sale to close-call ²⁵
TSLA 01/17/2020 CALL \$475.00 / CUSIP: / Symbol:							
01/13/20	1.000	4,604.89	01/09/20	1,440.00	...	3,164.89	Option sale to close-call ²⁵
TSLA 01/17/2020 CALL \$495.00 / CUSIP: / Symbol:							
01/13/20	2.000	3,719.91	01/08/20	3,360.00	...	359.91	Option sale to close-call ²⁵
TSLA 01/17/2020 CALL \$532.50 / CUSIP: / Symbol:							
01/16/20	1.000	33.98	01/14/20	1,464.00	...	-1,430.02	Option sale to close-call ²⁵
TSLA 01/24/2020 CALL \$495.00 / CUSIP: / Symbol:							
01/21/20	1.000	3,929.90	01/08/20	2,250.00	...	1,679.90	Option sale to close-call ²⁵
TSLA 01/24/2020 CALL \$505.00 / CUSIP: / Symbol:							
01/21/20	1.000	3,474.91	01/17/20	1,450.00	...	2,024.91	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 750210676

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 01/24/2020 CALL \$577.50 / CUSIP: / Symbol: 01/24/20	1.000	0.00	01/23/20	1,110.00	...	-1,110.00	Option expiration- long call
TSLA 01/31/2020 CALL \$477.50 / CUSIP: / Symbol: 01/21/20	1.000	7,099.84	01/09/20	2,900.00	...	4,199.84	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$565.00 / CUSIP: / Symbol: 01/30/20	1.000	8,599.81	01/22/20	4,015.00	...	4,584.81	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$707.50 / CUSIP: / Symbol: 02/03/20	1.000	3,749.91	02/03/20	2,230.00	...	1,519.91	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$750.00 / CUSIP: / Symbol: 02/03/20	1.000	4,834.88	02/03/20	2,920.00	...	1,914.88	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$760.00 / CUSIP: / Symbol: 02/07/20	1.000	12.98	02/06/20	2,300.00	...	-2,287.02	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$885.00 / CUSIP: / Symbol: 02/04/20	1.000	8,794.80	02/04/20	4,860.00	...	3,934.80	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$905.00 / CUSIP: / Symbol: 02/04/20	1.000	5,679.87	02/04/20	5,250.00	...	429.87	Option sale to close-call ²⁵
TSLA 02/07/2020 PUT \$542.50 / CUSIP: / Symbol: 02/07/20	1.000	0.00	02/06/20	158.00	...	-158.00	Option expiration- long put
TSLA 02/14/2020 CALL \$755.00 / CUSIP: / Symbol: 02/06/20	1.000	5,309.88	02/05/20	5,150.00	...	159.88	Option sale to close-call ²⁵
TSLA 02/14/2020 CALL \$785.00 / CUSIP: / Symbol: 02/10/20	1.000	4,849.88	02/06/20	5,880.00	...	-1,030.12	Option sale to close-call ²⁵
TSLA 02/14/2020 CALL \$845.00 / CUSIP: / Symbol: 02/11/20	1.000	635.97	02/05/20	6,560.00	...	-5,924.03	Option sale to close-call ²⁵
TSLA 02/14/2020 PUT \$500.00 / CUSIP: / Symbol: 02/05/20	1.000	294.98	02/05/20	310.00	...	-15.02	Option sale to close-put ²⁵

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TSLA 02/21/2020 CALL \$1,190.00 / CUSIP: / Symbol: 02/21/20	3.000	0.00	02/05/20	4,050.00	...	-4,050.00	Option expiration- long call
TSLA 02/21/2020 CALL \$1,290.00 / CUSIP: / Symbol: 02/21/20	5.000	0.00	02/05/20	5,350.00	...	-5,350.00	Option expiration- long call
TSLA 02/21/2020 CALL \$770.00 / CUSIP: / Symbol: 02/18/20	1.000	7,679.82	02/10/20	5,218.00	...	2,461.82	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$910.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	02/19/20	2,485.00	...	-2,485.00	Option expiration- long call
TSLA 02/21/2020 CALL \$915.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	02/19/20	2,850.00	...	-2,850.00	Option expiration- long call
TSLA 02/28/2020 CALL \$1,440.00 / CUSIP: / Symbol: 02/28/20	1.000	0.00	02/05/20	950.00	...	-950.00	Option expiration- long call
TSLA 02/28/2020 CALL \$1,480.00 / CUSIP: / Symbol: 02/28/20	2.000	0.00	02/05/20	1,540.00	...	-1,540.00	Option expiration- long call
TSLA 02/28/2020 CALL \$1,520.00 / CUSIP: / Symbol: 02/28/20	2.000	0.00	02/05/20	2,000.00	...	-2,000.00	Option expiration- long call
TSLA 02/28/2020 CALL \$1,600.00 / CUSIP: / Symbol: 02/28/20	1.000	0.00	02/05/20	560.00	...	-560.00	Option expiration- long call
TSLA 03/20/2020 CALL \$775.00 / CUSIP: / Symbol: 03/20/20	1.000	0.00	03/03/20	3,860.00	...	-3,860.00	Option expiration- long call
TSLA 03/20/2020 CALL \$815.00 / CUSIP: / Symbol: 2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.	1.000	0.00	03/03/20	4,880.00	...	-4,880.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/10/20	365.00	...	-365.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	5,245.00	...	-5,245.00	Total of 2 transactions
V 01/31/2020 CALL \$202.50 / CUSIP: / Symbol: 01/31/20	1.000	16.98	01/27/20	315.00	...	-298.02	Option sale to close-call ²⁵

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V 01/31/2020 CALL \$207.50 / CUSIP: / Symbol:							
01/31/20	3.000	23.98	01/21/20	960.00	...	-936.02	Option sale to close-call ²⁵
V 01/31/2020 CALL \$210.00 / CUSIP: / Symbol:							
01/21/20	10.000	2,669.92	01/17/20	890.00	...	1,779.92	Option sale to close-call ²⁵
V 06/26/2020 CALL \$215.00 / CUSIP: / Symbol:							
05/19/20	5.000	399.98	05/18/20	370.00	...	29.98	Option sale to close-call ²⁵
WMT 05/29/2020 CALL \$134.00 / CUSIP: / Symbol:							
05/19/20	3.000	305.98	05/19/20	267.00	...	38.98	Option sale to close-call ²⁵
ZM 03/06/2020 CALL \$110.00 / CUSIP: / Symbol:							
03/05/20	2.000	2,339.93	02/20/20	1,340.00	...	999.93	Option sale to close-call ²⁵
ZM 03/06/2020 CALL \$115.00 / CUSIP: / Symbol:							
02/26/20	1.000	727.97	02/20/20	650.00	...	77.97	Option sale to close-call ²⁵
02/27/20	1.000	899.97	02/20/20	650.00	...	249.97	Option sale to close-call ²⁵
	Security total:	1,627.94		1,300.00	...	327.94	
ZM 03/13/2020 CALL \$106.00 / CUSIP: / Symbol:							
03/05/20	1.000	1,989.94	02/28/20	860.00	...	1,129.94	Option sale to close-call ²⁵
ZM 05/01/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/24/20	1.000	639.97	04/24/20	1,310.04	...	-670.07	Option sale to close-call ²⁵
04/24/20	1.000	939.96	04/24/20	1,200.00	260.04 W	0.00	Option sale to close-call ²⁵
	Security total:	1,579.93		2,510.04	260.04 W	-670.07	
ZM 05/29/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/19/20	2.000	159.98	05/19/20	210.00	...	-50.02	Option sale to close-call ²⁵
ZM 06/12/2020 CALL \$245.00 / CUSIP: / Symbol:							
05/19/20	2.000	179.98	05/19/20	190.00	...	-10.02	Option sale to close-call ²⁵
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
	4 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	100.000	104.99	03/09/20	449.25	...	-344.26	1 of 4 - Sale ²⁵
	75.000	78.74	03/09/20	202.50	...	-123.76	2 of 4 - Sale ²⁵

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AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol: (cont'd)							
	40.000	42.00	03/09/20	103.60	...	-61.60	3 of 4 - Sale ²⁵
	50.000	52.49	03/10/20	86.50	...	-34.01	4 of 4 - Sale ²⁵
03/16/20	265.000	278.22	Various	841.85	...	-563.63	Total of 4 transactions
ALTRIA GROUP, INC. / CUSIP: 02209S103 / Symbol:							
03/10/20	25.000	1,043.72	08/27/19	1,209.75	...	-166.03	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
06/04/20	10.000	168.20	06/04/20	167.30	...	0.90	Sale ²⁵
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
03/13/20	18.000	26.28	03/10/20	24.29	...	1.99	Sale ²⁵
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
05/15/20	1.000	4.50	03/25/20	3.60	...	0.90	Sale ²⁵
CENTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
06/02/20	10.000	16.50	06/02/20	19.70	...	-3.20	Sale ²⁵
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
06/04/20	200.000	987.95	06/04/20	791.98	...	195.97	Sale ²⁵
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460E877 / Symbol:							
12 transactions for 03/02/20. Total proceeds and cost reported to the IRS.							
	100.000	1,183.75	08/28/19	1,228.88	...	-45.13	1 of 12 - Sale ²⁵
	50.000	591.88	08/29/19	655.00	...	-63.12	2 of 12 - Sale ²⁵
	170.000	2,012.38	08/30/19	2,331.30	...	-318.92	3 of 12 - Sale ²⁵
	7.000	82.86	08/30/19	95.34	...	-12.48	4 of 12 - Sale ²⁵
	288.000	3,409.20	09/10/19	4,818.24	...	-1,409.04	5 of 12 - Sale ²⁵
	70.000	828.63	09/10/19	1,122.79	...	-294.16	6 of 12 - Sale ²⁵
	50.000	591.88	09/10/19	825.00	...	-233.12	7 of 12 - Sale ²⁵
	50.000	591.87	09/10/19	813.99	...	-222.12	8 of 12 - Sale ²⁵
	20.000	236.75	09/10/19	334.80	...	-98.05	9 of 12 - Sale ²⁵
	20.000	236.75	09/10/19	330.80	...	-94.05	10 of 12 - Sale ²⁵
	20.000	236.75	09/10/19	321.80	...	-85.05	11 of 12 - Sale ²⁵

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460E877 / Symbol: (cont'd)							
	5.000	59.19	09/10/19	80.15	...	-20.96	12 of 12 - Sale ²⁵
03/02/20	850.000	10,061.89	Various	12,958.09	...	-2,896.20	Total of 12 transactions
	15 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	35.000	692.63	09/10/19	560.69	...	131.94	1 of 15 - Sale ²⁵
	35.000	694.03	09/10/19	560.70	...	133.33	2 of 15 - Sale ²⁵
	60.000	1,187.37	09/10/19	958.79	...	228.58	3 of 15 - Sale ²⁵
	65.000	1,288.91	09/10/19	1,041.94	...	246.97	4 of 15 - Sale ²⁵
	61.000	1,207.15	09/17/19	981.76	...	225.39	5 of 15 - Sale ²⁵
	81.000	1,602.94	10/09/19	1,208.51	...	394.43	6 of 15 - Sale ²⁵
	5.000	85.00	10/23/19	81.45	...	3.55	7 of 15 - Sale ²⁵
	18.000	300.95	10/23/19	293.22	...	7.73	8 of 15 - Sale ²⁵
	18.000	300.95	10/23/19	292.97	...	7.98	9 of 15 - Sale ²⁵
	32.000	535.03	10/23/19	521.28	...	13.75	10 of 15 - Sale ²⁵
	32.000	535.03	10/23/19	521.28	...	13.75	11 of 15 - Sale ²⁵
	33.000	553.74	10/23/19	537.11	...	16.63	12 of 15 - Sale ²⁵
	199.000	3,319.22	10/23/19	3,238.95	...	80.27	13 of 15 - Sale ²⁵
	163.000	3,225.68	10/23/19	2,655.27	...	570.41	14 of 15 - Sale ²⁵
	130.000	2,181.39	10/25/19	1,841.59	...	339.80	15 of 15 - Sale ²⁵
03/12/20	967.000	17,710.02	Various	15,295.51	...	2,414.51	Total of 15 transactions
	Security total:	27,771.91		28,253.60	...	-481.69	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
	32 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	2.500	60.32	03/16/20	63.84	...	-3.52	1 of 32 - Sale ²⁵
	2.500	60.32	03/16/20	62.67	...	-2.35	2 of 32 - Sale ²⁵
	1.825	44.04	03/16/20	45.75	...	-1.71	3 of 32 - Sale ²⁵
	10.000	241.29	03/16/20	236.28	...	5.01	4 of 32 - Sale ²⁵
	10.000	241.29	03/16/20	236.28	...	5.01	5 of 32 - Sale ²⁵
	10.000	241.29	03/16/20	236.28	...	5.01	6 of 32 - Sale ²⁵
	20.000	482.59	03/16/20	472.56	...	10.03	7 of 32 - Sale ²⁵
	24.450	590.45	03/16/20	564.79	...	25.66	8 of 32 - Sale ²⁵
	50.000	1,206.47	03/16/20	1,180.00	...	26.47	9 of 32 - Sale ²⁵
	24.450	589.96	03/16/20	561.96	...	28.00	10 of 32 - Sale ²⁵
	50.000	1,206.46	03/16/20	1,158.40	...	48.06	11 of 32 - Sale ²⁵

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	50.550	1,220.75	03/16/20	1,161.84	...	58.91	12 of 32 - Sale ²⁵
	25.000	603.73	03/16/20	530.70	...	73.03	13 of 32 - Sale ²⁵
	0.100	2.41	03/17/20	2.40	...	0.01	14 of 32 - Sale ²⁵
	2.500	60.32	03/17/20	60.01	...	0.31	15 of 32 - Sale ²⁵
	7.500	180.97	03/17/20	180.03	...	0.94	16 of 32 - Sale ²⁵
	12.500	301.62	03/17/20	300.05	...	1.57	17 of 32 - Sale ²⁵
	12.500	301.62	03/17/20	300.05	...	1.57	18 of 32 - Sale ²⁵
	12.500	301.62	03/17/20	300.05	...	1.57	19 of 32 - Sale ²⁵
	25.000	603.23	03/17/20	600.10	...	3.13	20 of 32 - Sale ²⁵
	37.500	904.85	03/17/20	900.15	...	4.70	21 of 32 - Sale ²⁵
	1.875	45.24	03/17/20	38.26	...	6.98	22 of 32 - Sale ²⁵
	2.500	60.32	03/17/20	52.01	...	8.31	23 of 32 - Sale ²⁵
	2.500	60.32	03/17/20	52.01	...	8.31	24 of 32 - Sale ²⁵
	2.500	60.32	03/17/20	52.01	...	8.31	25 of 32 - Sale ²⁵
	2.500	60.32	03/17/20	52.01	...	8.31	26 of 32 - Sale ²⁵
	2.500	60.33	03/17/20	52.01	...	8.32	27 of 32 - Sale ²⁵
	5.000	120.65	03/17/20	111.95	...	8.70	28 of 32 - Sale ²⁵
	7.500	180.97	03/17/20	167.97	...	13.00	29 of 32 - Sale ²⁵
	12.500	301.62	03/17/20	279.90	...	21.72	30 of 32 - Sale ²⁵
	2.500	60.33	03/20/20	47.94	...	12.39	31 of 32 - Sale ²⁵
	1.250	30.16	03/23/20	17.51	...	12.65	32 of 32 - Sale ²⁵
04/20/20	434.500	10,486.18	Various	10,077.77	...	408.41	Total of 32 transactions
	13 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	2.500	60.32	03/16/20	62.67	1.88 W	-0.47	1 of 13 - Sale ²⁵
	5.000	120.65	03/16/20	123.98	3.33 W	0.00	2 of 13 - Sale ²⁵
	2.500	60.32	03/16/20	61.99	1.67 W	0.00	3 of 13 - Sale ²⁵
	2.500	60.32	03/16/20	61.99	1.67 W	0.00	4 of 13 - Sale ²⁵
	5.000	120.65	03/16/20	123.98	3.33 W	0.00	5 of 13 - Sale ²⁵
	2.500	60.32	03/16/20	61.99	1.67 W	0.00	6 of 13 - Sale ²⁵
	2.500	60.32	03/16/20	61.99	1.67 W	0.00	7 of 13 - Sale ²⁵
	5.000	120.65	03/16/20	123.98	3.33 W	0.00	8 of 13 - Sale ²⁵
	5.000	120.65	03/16/20	123.98	3.33 W	0.00	9 of 13 - Sale ²⁵
	5.000	120.65	03/16/20	123.98	3.33 W	0.00	10 of 13 - Sale ²⁵
	5.000	120.65	03/16/20	123.98	3.33 W	0.00	11 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	5.000	120.65	03/16/20	123.98	3.33 W	0.00	12 of 13 - Sale ²⁵
	5.000	120.65	03/16/20	124.00	3.35 W	0.00	13 of 13 - Sale ²⁵
04/20/20	52.500	1,266.80	Various	1,302.49	35.22 W	-0.47	Total of 13 transactions
	13 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	2.000	83.22	05/08/20	79.43	...	3.79	1 of 13 - Sale ²⁵
	2.500	104.02	05/08/20	98.61	...	5.41	2 of 13 - Sale ²⁵
	2.500	104.02	05/08/20	98.61	...	5.41	3 of 13 - Sale ²⁵
	2.500	104.02	05/08/20	98.61	...	5.41	4 of 13 - Sale ²⁵
	2.500	104.02	05/08/20	98.61	...	5.41	5 of 13 - Sale ²⁵
	5.000	208.04	05/08/20	197.24	...	10.80	6 of 13 - Sale ²⁵
	5.000	208.04	05/08/20	197.22	...	10.82	7 of 13 - Sale ²⁵
	5.000	208.04	05/08/20	197.22	...	10.82	8 of 13 - Sale ²⁵
	5.000	208.04	05/08/20	197.21	...	10.83	9 of 13 - Sale ²⁵
	5.000	208.05	05/08/20	197.22	...	10.83	10 of 13 - Sale ²⁵
	5.000	208.05	05/08/20	197.21	...	10.84	11 of 13 - Sale ²⁵
	5.000	208.05	05/08/20	197.21	...	10.84	12 of 13 - Sale ²⁵
	5.000	208.05	05/08/20	197.21	...	10.84	13 of 13 - Sale ²⁵
06/04/20	52.000	2,163.66	Various	2,051.61	...	112.05	Total of 13 transactions
06/05/20	50.000	2,556.95	06/05/20	2,596.25	...	-39.30	Sale ²⁵
	Security total:	16,473.59		16,028.12	35.22 W	480.69	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
05/06/20	0.300	22.69	03/12/20	77.13	...	-54.44	Cash in lieu
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/04/20	100.000	1,024.96	06/03/20	772.00	...	252.96	Sale ²⁵
HP INC. / CUSIP: 40434L105 / Symbol:							
06/02/20	100.000	1,510.96	05/28/20	1,506.99	...	3.97	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	276.000	872.13	06/05/20	1,049.77	...	-177.64	1 of 4 - Sale ²⁵
	124.000	391.83	06/05/20	471.30	...	-79.47	2 of 4 - Sale ²⁵
	100.000	315.99	06/05/20	380.08	...	-64.09	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	50.000	158.00	06/05/20	185.29	...	-27.29	4 of 4 - Sale ²⁵
06/05/20	550.000	1,737.95	Various	2,086.44	...	-348.49	Total of 4 transactions
06/05/20	1,000.000	3,091.91	06/05/20	3,497.70	223.19 W	-182.60	Sale ²⁵
	Security total:	4,829.86		5,584.14	223.19 W	-531.09	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
14 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	143.000	542.08	06/04/20	647.57	...	-105.49	1 of 14 - Sale ²⁵
	100.000	379.08	06/04/20	453.02	...	-73.94	2 of 14 - Sale ²⁵
	100.000	379.08	06/04/20	452.83	...	-73.75	3 of 14 - Sale ²⁵
	100.000	379.08	06/04/20	452.83	...	-73.75	4 of 14 - Sale ²⁵
	100.000	379.08	06/04/20	452.16	...	-73.08	5 of 14 - Sale ²⁵
	83.000	314.64	06/04/20	375.87	...	-61.23	6 of 14 - Sale ²⁵
	100.000	379.08	06/04/20	435.84	...	-56.76	7 of 14 - Sale ²⁵
	100.000	379.08	06/04/20	430.82	...	-51.74	8 of 14 - Sale ²⁵
	100.000	379.08	06/04/20	429.82	...	-50.74	9 of 14 - Sale ²⁵
	40.000	151.63	06/04/20	180.89	...	-29.26	10 of 14 - Sale ²⁵
	50.000	203.20	06/04/20	226.42	...	-23.22	11 of 14 - Sale ²⁵
	17.000	64.44	06/04/20	76.98	...	-12.54	12 of 14 - Sale ²⁵
	12.000	45.49	06/04/20	54.27	...	-8.78	13 of 14 - Sale ²⁵
	5.000	18.95	06/04/20	22.61	...	-3.66	14 of 14 - Sale ²⁵
06/04/20	1,050.000	3,993.99	Various	4,691.93	...	-697.94	Total of 14 transactions
33 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	243.000	911.19	06/04/20	1,076.05	130.94 W	-33.92	1 of 33 - Sale ²⁵
	100.000	466.17	06/04/20	483.89	17.72 W	0.00	2 of 33 - Sale ²⁵
	100.000	472.99	06/04/20	478.33	5.34 W	0.00	3 of 33 - Sale ²⁵
	200.000	945.97	06/04/20	958.00	12.03 W	0.00	4 of 33 - Sale ²⁵
	800.000	3,783.90	06/04/20	3,831.92	48.02 W	0.00	5 of 33 - Sale ²⁵
	100.000	461.01	06/04/20	483.33	22.32 W	0.00	6 of 33 - Sale ²⁵
	200.000	922.01	06/04/20	968.01	46.00 W	0.00	7 of 33 - Sale ²⁵
	700.000	3,227.05	06/04/20	3,387.95	160.90 W	0.00	8 of 33 - Sale ²⁵
	100.000	437.99	06/04/20	467.00	29.01 W	0.00	9 of 33 - Sale ²⁵
	100.000	437.99	06/04/20	483.32	45.33 W	0.00	10 of 33 - Sale ²⁵
	200.000	875.98	06/04/20	968.00	92.02 W	0.00	11 of 33 - Sale ²⁵

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Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	600.000	2,627.92	06/04/20	2,903.91	275.99 W	0.00	12 of 33 - Sale ²⁵
	100.000	443.99	06/04/20	488.99	45.00 W	0.00	13 of 33 - Sale ²⁵
	100.000	443.99	06/04/20	495.01	51.02 W	0.00	14 of 33 - Sale ²⁵
	5.000	22.23	06/04/20	25.60	3.37 W	0.00	15 of 33 - Sale ²⁵
	100.000	443.99	06/04/20	511.33	67.34 W	0.00	16 of 33 - Sale ²⁵
	12.000	53.35	06/04/20	61.44	8.09 W	0.00	17 of 33 - Sale ²⁵
	183.000	812.50	06/04/20	936.98	124.48 W	0.00	18 of 33 - Sale ²⁵
	17.000	75.48	06/04/20	87.04	11.56 W	0.00	19 of 33 - Sale ²⁵
	40.000	177.84	06/04/20	204.80	26.96 W	0.00	20 of 33 - Sale ²⁵
	100.000	443.99	06/04/20	512.00	68.01 W	0.00	21 of 33 - Sale ²⁵
	343.000	1,522.84	06/04/20	1,756.15	233.31 W	0.00	22 of 33 - Sale ²⁵
	5.000	18.75	06/04/20	22.11	3.36 W	0.00	23 of 33 - Sale ²⁵
	12.000	45.00	06/04/20	53.07	8.07 W	0.00	24 of 33 - Sale ²⁵
	100.000	374.98	06/04/20	420.80	45.82 W	0.00	25 of 33 - Sale ²⁵
	100.000	374.98	06/04/20	419.80	44.82 W	0.00	26 of 33 - Sale ²⁵
	100.000	374.98	06/04/20	425.82	50.84 W	0.00	27 of 33 - Sale ²⁵
	100.000	374.98	06/04/20	442.14	67.16 W	0.00	28 of 33 - Sale ²⁵
	83.000	311.22	06/04/20	367.54	56.32 W	0.00	29 of 33 - Sale ²⁵
	100.000	374.99	06/04/20	442.82	67.83 W	0.00	30 of 33 - Sale ²⁵
	17.000	63.75	06/04/20	75.28	11.53 W	0.00	31 of 33 - Sale ²⁵
	40.000	149.99	06/04/20	176.88	26.89 W	0.00	32 of 33 - Sale ²⁵
	100.000	374.98	06/04/20	442.81	67.83 W	0.00	33 of 33 - Sale ²⁵
06/04/20	5,200.000	22,848.97	Various	24,858.12	1,975.23 W	-33.92	Total of 33 transactions
	Security total:	26,842.96		29,550.05	1,975.23 W	-731.86	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
06/11/20	50.000	3,293.43	05/28/20	2,893.50	...	399.93	Sale ²⁵
PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W303 / Symbol:							
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	25.000	67.25	05/29/20	161.25	94.00 W	0.00	1 of 4 - Sale ²⁵
	75.000	201.73	05/29/20	483.75	282.02 W	0.00	2 of 4 - Sale ²⁵
	20.000	53.80	05/29/20	95.60	41.80 W	0.00	3 of 4 - Sale ²⁵

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Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W303 / Symbol: (cont'd)							
	80.000	215.18	05/29/20	325.60	110.42 W	0.00	4 of 4 - Sale ²⁵
06/03/20	200.000	537.96	Various	1,066.20	528.24 W	0.00	Total of 4 transactions
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	75.000	176.25	06/03/20	484.52	...	-308.27	1 of 4 - Sale ²⁵
	80.000	188.00	06/03/20	326.42	...	-138.42	2 of 4 - Sale ²⁵
	25.000	58.75	06/03/20	161.50	...	-102.75	3 of 4 - Sale ²⁵
	20.000	47.00	06/03/20	95.80	...	-48.80	4 of 4 - Sale ²⁵
06/15/20	200.000	470.00	Various	1,068.24	...	-598.24	Total of 4 transactions
	Security total:	1,007.96		2,134.44	528.24 W	-598.24	
SECOO HOLDING LIMITED ADR / CUSIP: 81367P101 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	400.98	06/03/20	440.00	...	-39.02	1 of 2 - Sale ²⁵
	100.000	400.98	06/03/20	440.00	...	-39.02	2 of 2 - Sale ²⁵
06/03/20	200.000	801.96	Various	880.00	...	-78.04	Total of 2 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	12.000	324.59	04/21/20	277.92	...	46.67	1 of 4 - Sale ²⁵
	12.500	338.12	04/21/20	289.50	...	48.62	2 of 4 - Sale ²⁵
	12.500	338.12	04/21/20	289.50	...	48.62	3 of 4 - Sale ²⁵
	25.000	676.23	04/21/20	579.00	...	97.23	4 of 4 - Sale ²⁵
06/04/20	62.000	1,677.06	Various	1,435.92	...	241.14	Total of 4 transactions
YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
06/16/20	50.000	186.00	06/16/20	198.00	...	-12.00	Sale ²⁵
MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol:							
5 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	80.000	1,018.17	11/19/19	1,211.99	...	-193.82	1 of 5 - Sale ²⁵
	20.000	254.54	11/19/19	236.77	...	17.77	2 of 5 - Sale ²⁵
	29.000	369.09	11/19/19	313.20	...	55.89	3 of 5 - Sale ²⁵
	50.000	636.35	11/19/19	561.00	...	75.35	4 of 5 - Sale ²⁵

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Account 750210676

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol: (cont'd)							
	50.000	636.35	11/19/19	559.25	...	77.10	5 of 5 - Sale ²⁵
05/08/20	229.000	2,914.50	Various	2,882.21	...	32.29	Total of 5 transactions
Totals :		273,070.69		306,998.07	9,317.38 W	-24,610.00	

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Account 750210676

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
03/26/20	0.550	8.18	03/16/20	12.71	...	-4.53	Gain or loss
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.500	8.18	04/21/20	11.58	...	-3.40	Gain or loss
Totals:		16.36		24.29	...	-7.93	

Robinhood Securities LLC

Account 750210676

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ALTRIA GROUP, INC.	02209S103		01/10/20	21.00	Qualified dividend	
DIREXION DAILY JUNIOR GOLD MIN ERS	25460E166		03/31/20	28.32	Qualified dividend	03
INDEX BULL 2X SHARES			03/31/20	6.77	Nondividend distribution	03
				35.09	Total Dividends & distributions	
				56.09	Total Dividends & distributions	

Robinhood Securities LLC

Account 750210676

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES	25460E166	03/31/20	0.02	Substitute payment	
			0.02	Total Miscellaneous income	

Robinhood Securities LLC

Account 750210676

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/18/20	-0.38	Margin interest paid	
		02/18/20	-5.00	Margin interest paid	
		03/19/20	-8.04	Margin interest paid	
		04/20/20	-11.12	Margin interest paid	
		05/18/20	-10.22	Margin interest paid	
		06/17/20	-5.00	Margin interest paid	
		07/14/20	0.62	Adj- Margin interest paid	
			-39.14	Total Margin interest paid	
			-39.14	Total Margin interest paid	

Robinhood Securities LLC

Account 750210676

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AIKIDO PHARMA INC. COMMON STOC K	008875106	03/13/20	265.00	0.00	Exchange	
SPHERIX INCORPORATED COMMON ST OCK	84842R502	03/13/20	-265.00	0.00	Exchange	
USO 01/15/2021 CALL \$3.50	USO 01/15/21 C 3.500	04/29/20	-15.00	0.00	Merger	
USO1 01/15/2021 CALL \$3.50	USO1 01/15/21 C 3.500	04/29/20	15.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Instructions for Recipient

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction

directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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