

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 933425936</b>	Statement Date: 02/14/2021 Document ID: 1G9K J8Y 4361	2020
	Srujan Gade 12310 Singletree Ln 2303 Eden Prairie, MN 55344  RECIPIENT'S TIN: XXX-XX-8552		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	5.54		2- Royalties	0.00	
1b- Qualified dividends	5.47		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.07		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	293,807.82	309,004.27	0.00	8,147.54	-7,048.91
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>293,807.82</b>	<b>309,004.27</b>	<b>0.00</b>	<b>8,147.54</b>	<b>-7,048.91</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>293,807.82</b>	<b>309,004.27</b>	<b>0.00</b>	<b>8,147.54</b>	<b>-7,048.91</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	2.92
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.09
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 05/29/2020 CALL \$11.00 / CUSIP: / Symbol:							
05/26/20	3.000	122.98	05/11/20	219.00	...	-96.02	Option sale to close-call <sup>25</sup>
AAL 06/26/2020 CALL \$16.50 / CUSIP: / Symbol:							
06/26/20	10.000	0.00	06/22/20	380.00	...	-380.00	Option expiration- long call
AAPL 02/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
12/22/20	1.000	494.97	12/21/20	303.00	...	191.97	Option sale to close-call <sup>25</sup>
AAPL 05/22/2020 CALL \$315.00 / CUSIP: / Symbol:							
	2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	1.000	389.98	05/08/20	340.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	389.98	05/08/20	340.00	...	49.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/08/20	2.000	779.96	Various	680.00	...	99.96	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/14/2020 CALL \$455.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	250.99	08/10/20	610.00	...	-359.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	250.98	08/10/20	605.00	...	-354.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	501.97	Various	1,215.00	...	-713.03	Total of 2 transactions
AAPL 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/12/20	2.000	367.98	10/12/20	194.00	...	173.98	Option sale to close-call <sup>25</sup>
AAPL 10/30/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/14/20	226.00	...	-226.00	Option expiration- long call
AAPL 11/06/2020 CALL \$115.00 / CUSIP: / Symbol:							
11/04/20	2.000	279.98	11/04/20	288.00	...	-8.02	Option sale to close-call <sup>25</sup>
ABMD 07/17/2020 CALL \$300.00 / CUSIP: / Symbol:							
06/08/20	1.000	209.98	06/03/20	75.00	...	134.98	Option sale to close-call <sup>25</sup>
AEO 06/05/2020 PUT \$10.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	06/02/20	50.00	...	-50.00	Option expiration- long put
AEO 06/19/2020 CALL \$9.00 / CUSIP: / Symbol:							
05/21/20	1.000	77.98	05/18/20	80.00	...	-2.02	Option sale to close-call <sup>25</sup>
ALLO 07/17/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	3.000	164.99	06/26/20	273.00	...	-108.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	109.99	06/26/20	182.00	...	-72.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	5.000	274.98	Various	455.00	...	-180.02	Total of 2 transactions
AMAT 05/22/2020 CALL \$56.00 / CUSIP: / Symbol:							
05/14/20	1.000	137.98	05/11/20	142.00	...	-4.02	Option sale to close-call <sup>25</sup>
AMBA 10/16/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/01/20	1.000	469.97	08/31/20	370.00	...	99.97	Option sale to close-call <sup>25</sup>
AMC 01/15/2021 CALL \$6.45 / CUSIP: / Symbol:							
06/18/20	2.000	209.98	06/12/20	240.00	...	-30.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCX 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/14/20	1.000	179.98	05/13/20	160.00	...	19.98	Option sale to close-call <sup>25</sup>
AMD 08/21/2020 CALL \$60.00 / CUSIP: / Symbol:							
07/10/20	1.000	384.98	07/09/20	305.00	...	79.98	Option sale to close-call <sup>25</sup>
AMT 08/07/2020 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/04/20	25.00	...	-25.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/04/20	25.00	...	-25.00	2 of 2 - Option expiration- long call
08/07/20	2.000	0.00	Various	50.00	...	-50.00	Total of 2 transactions
AMZN 07/02/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
07/02/20	1.000	744.97	07/01/20	216.00	...	528.97	Option sale to close-call <sup>25</sup>
AMZN 07/10/2020 CALL \$3,100.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	574.98	07/02/20	1,005.03	...	-430.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	574.99	07/02/20	705.00	...	-130.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	574.98	07/02/20	690.00	...	-115.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/02/20	3.000	1,724.95	Various	2,400.03	...	-675.08	Total of 3 transactions
07/02/20	1.000	574.97	07/02/20	785.00	210.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,299.92</b>		<b>3,185.03</b>	<b>210.03 W</b>	<b>-675.08</b>	
AMZN 07/17/2020 CALL \$3,400.00 / CUSIP: / Symbol:							
6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/13/20	7,129.00	...	-7,129.00	1 of 6 - Option expiration- long call
	1.000	0.00	07/13/20	6,050.00	...	-6,050.00	2 of 6 - Option expiration- long call
	1.000	0.00	07/13/20	3,117.00	...	-3,117.00	3 of 6 - Option expiration- long call
	10.000	0.00	07/15/20	3,710.00	...	-3,710.00	4 of 6 - Option expiration- long call
	5.000	0.00	07/15/20	2,100.00	...	-2,100.00	5 of 6 - Option expiration- long call
	5.000	0.00	07/15/20	2,100.00	...	-2,100.00	6 of 6 - Option expiration- long call
07/17/20	23.000	0.00	Various	24,206.00	...	-24,206.00	Total of 6 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 07/17/2020 CALL \$3,450.00 / CUSIP: / Symbol:							
6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	1,749.95	07/17/20	0.00	...	1,749.95	1 of 6 - Short sale closed- call expired
	5.000	1,749.95	07/17/20	0.00	...	1,749.95	Option written: 07/15/20 2 of 6 - Short sale closed- call expired
	1.000	2,416.93	07/17/20	0.00	...	2,416.93	Option written: 07/15/20 3 of 6 - Short sale closed- call expired
	10.000	3,009.91	07/17/20	0.00	...	3,009.91	Option written: 07/13/20 4 of 6 - Short sale closed- call expired
	1.000	4,824.88	07/17/20	0.00	...	4,824.88	Option written: 07/15/20 5 of 6 - Short sale closed- call expired
	1.000	5,703.86	07/17/20	0.00	...	5,703.86	Option written: 07/13/20 6 of 6 - Short sale closed- call expired
07/17/20	23.000	19,455.48	Various	0.00	...	19,455.48	Option written: 07/13/20 Total of 6 transactions
AMZN 07/24/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	3,699.90	06/22/20	2,440.00	...	1,259.90	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3,834.90	06/22/20	2,530.00	...	1,304.90	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/20	2.000	7,534.80	Various	4,970.00	...	2,564.80	Total of 2 transactions
AMZN 09/18/2020 CALL \$3,310.00 / CUSIP: / Symbol:							
09/15/20	1.000	985.96	09/14/20	768.00	...	217.96	Option sale to close-call <sup>25</sup>
AMZN 09/18/2020 CALL \$3,320.00 / CUSIP: / Symbol:							
09/16/20	1.000	-183.03	09/15/20	0.00	...	-183.03	Short sale closed- call <sup>25</sup> Option written: 09/14/20
AMZN 10/09/2020 CALL \$3,350.00 / CUSIP: / Symbol:							
10/06/20	1.000	211.98	10/05/20	570.00	...	-358.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 10/16/2020 CALL \$3,300.00 / CUSIP: / Symbol:							
10/15/20	1.000	3,366.91	10/15/20	3,133.00	...	233.91	Option sale to close-call <sup>25</sup>
AMZN 10/16/2020 CALL \$3,310.00 / CUSIP: / Symbol:							
10/16/20	1.000	-182.07	10/15/20	0.00	...	-182.07	Short sale closed- call <sup>25</sup> Option written: 10/15/20
AMZN 10/16/2020 CALL \$3,600.00 / CUSIP: / Symbol:							
10/14/20	1.000	369.98	10/13/20	2,375.00	...	-2,005.02	Option sale to close-call <sup>25</sup>
AMZN 10/30/2020 CALL \$3,270.00 / CUSIP: / Symbol:							
10/28/20	1.000	7,077.83	10/27/20	8,886.00	...	-1,808.17	Option sale to close-call <sup>25</sup>
AMZN 10/30/2020 CALL \$3,275.00 / CUSIP: / Symbol:							
10/29/20	1.000	1,790.79	10/28/20	0.00	...	1,790.79	Short sale closed- call <sup>25</sup> Option written: 10/27/20
AMZN 12/24/2020 CALL \$3,250.00 / CUSIP: / Symbol:							
12/16/20	1.000	4,066.90	12/04/20	5,793.00	...	-1,726.10	Option sale to close-call <sup>25</sup>
AMZN 12/24/2020 CALL \$3,300.00 / CUSIP: / Symbol:							
12/17/20	1.000	1,632.89	12/16/20	0.00	...	1,632.89	Short sale closed- call <sup>25</sup> Option written: 12/04/20
ANF 06/05/2020 PUT \$12.00 / CUSIP: / Symbol:							
		3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.					
	2.000	159.99	05/27/20	104.00	...	55.99	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	239.99	05/27/20	165.00	...	74.99	2 of 3 - Option sale to close-put <sup>25</sup>
	5.000	399.98	05/27/20	275.00	...	124.98	3 of 3 - Option sale to close-put <sup>25</sup>
06/01/20	10.000	799.96	Various	544.00	...	255.96	Total of 3 transactions
AZN 06/05/2020 CALL \$53.00 / CUSIP: / Symbol:							
05/28/20	1.000	149.98	05/27/20	124.00	...	25.98	Option sale to close-call <sup>25</sup>
AZN 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
07/08/20	4.000	1,007.96	07/06/20	1,016.00	...	-8.04	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZN 08/21/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	269.99	07/15/20	285.00	...	-15.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	269.98	07/15/20	255.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/17/20	2.000	539.97	Various	540.00	...	-0.03	Total of 2 transactions
AZN 08/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/17/20	195.00	...	-195.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/20/20	115.00	...	-115.00	2 of 2 - Option expiration- long call
08/21/20	2.000	0.00	Various	310.00	...	-310.00	Total of 2 transactions
BA 07/10/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	07/02/20	236.00	...	-236.00	Option expiration- long call
BA 07/10/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/10/20	1.000	172.98	07/10/20	0.00	...	172.98	Short sale closed- call expired Option written: 07/02/20
BA 07/10/2020 PUT \$157.50 / CUSIP: / Symbol:							
07/10/20	1.000	39.98	07/10/20	0.00	...	39.98	Short sale closed- put expired Option written: 07/02/20
BA 07/10/2020 PUT \$165.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	07/02/20	111.00	...	-111.00	Option expiration- long put
BA 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/18/20	2.000	1,159.96	05/18/20	970.00	...	189.96	Option sale to close-call <sup>25</sup>
BA 08/07/2020 PUT \$165.00 / CUSIP: / Symbol:							
8 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	22.00	08/05/20	52.00	...	-30.00	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	22.00	08/05/20	51.00	...	-29.00	2 of 8 - Option sale to close-put <sup>25</sup>
	11.000	241.97	08/06/20	275.00	...	-33.03	3 of 8 - Option sale to close-put <sup>25</sup>
	5.000	109.99	08/06/20	125.00	...	-15.01	4 of 8 - Option sale to close-put <sup>25</sup>
	5.000	109.99	08/06/20	125.00	...	-15.01	5 of 8 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BA 08/07/2020 PUT \$165.00 / CUSIP: / Symbol: (cont'd)							
	3.000	65.99	08/06/20	75.00	...	-9.01	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	22.00	08/06/20	25.00	...	-3.00	7 of 8 - Option sale to close-put <sup>25</sup>
	10.000	219.97	08/06/20	180.00	...	39.97	8 of 8 - Option sale to close-put <sup>25</sup>
08/06/20	37.000	813.91	Various	908.00	...	-94.09	Total of 8 transactions
BA 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	07/17/20	565.00	275.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	289.98	07/22/20	525.00	235.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	579.97	Various	1,090.00	510.03 W	0.00	Total of 2 transactions
6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	89.99	08/03/20	45.00	...	44.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	90.00	08/03/20	45.00	...	45.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	269.98	08/03/20	135.00	...	134.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	96.00	08/06/20	552.01	...	-456.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	95.99	08/06/20	501.02	...	-405.03	5 of 6 - Option sale to close-call <sup>25</sup>
	8.000	767.96	08/06/20	768.00	...	-0.04	6 of 6 - Option sale to close-call <sup>25</sup>
08/06/20	15.000	1,409.92	Various	2,046.03	...	-636.11	Total of 6 transactions
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	90.00	07/27/20	546.01	456.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	90.00	07/28/20	495.02	405.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	180.00	Various	1,041.03	861.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,169.89</b>		<b>4,177.06</b>	<b>1,371.06 W</b>	<b>-636.11</b>	
BABA 07/31/2020 CALL \$280.00 / CUSIP: / Symbol:							
7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/21/20	594.00	...	-594.00	1 of 7 - Option expiration- long call
	3.000	0.00	07/21/20	540.00	...	-540.00	2 of 7 - Option expiration- long call
	1.000	0.00	07/22/20	50.00	...	-50.00	3 of 7 - Option expiration- long call
	10.000	0.00	07/23/20	510.00	...	-510.00	4 of 7 - Option expiration- long call
	2.000	0.00	07/23/20	100.00	...	-100.00	5 of 7 - Option expiration- long call
	1.000	0.00	07/23/20	50.00	...	-50.00	6 of 7 - Option expiration- long call

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/31/2020 CALL \$280.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	07/23/20	50.00	...	-50.00	7 of 7 - Option expiration- long call
07/31/20	21.000	0.00	Various	1,894.00	...	-1,894.00	Total of 7 transactions
BABA 08/21/2020 CALL \$255.00 / CUSIP: / Symbol:							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	1,384.96	07/15/20	1,220.00	...	164.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,384.97	07/15/20	1,220.00	...	164.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,384.96	07/15/20	1,000.00	...	384.96	3 of 3 - Option sale to close-call <sup>25</sup>
07/21/20	3.000	4,154.89	Various	3,440.00	...	714.89	Total of 3 transactions
BABA 10/30/2020 CALL \$300.00 / CUSIP: / Symbol:							
10/06/20	1.000	924.96	10/05/20	705.00	...	219.96	Option sale to close-call <sup>25</sup>
BABA 11/13/2020 CALL \$270.00 / CUSIP: / Symbol:							
11/12/20	1.000	216.98	11/12/20	315.00	...	-98.02	Option sale to close-call <sup>25</sup>
BABA 11/13/2020 CALL \$310.00 / CUSIP: / Symbol:							
11/06/20	1.000	286.98	11/06/20	221.00	...	65.98	Option sale to close-call <sup>25</sup>
11/13/20	1.000	0.00	11/06/20	183.00	...	-183.00	Option expiration- long call
	<b>Security total:</b>	<b>286.98</b>		<b>404.00</b>	...	<b>-117.02</b>	
BHC 01/15/2021 CALL \$18.00 / CUSIP: / Symbol:							
06/16/20	1.000	379.98	06/11/20	345.00	...	34.98	Option sale to close-call <sup>25</sup>
BIDU 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
5 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	2.000	79.99	05/19/20	340.00	...	-260.01	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	80.00	05/19/20	340.00	...	-260.00	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	119.99	05/19/20	312.00	...	-192.01	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	119.99	05/19/20	312.00	...	-192.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	40.00	05/19/20	170.00	...	-130.00	5 of 5 - Option sale to close-call <sup>25</sup>
05/21/20	11.000	439.97	Various	1,474.00	...	-1,034.03	Total of 5 transactions

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIDU 12/04/2020 CALL \$145.00 / CUSIP: / Symbol:							
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	124.99	12/03/20	122.00	...	2.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	129.98	12/03/20	122.00	...	7.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	129.98	12/03/20	122.00	...	7.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	249.99	12/03/20	164.00	...	85.99	4 of 4 - Option sale to close-call <sup>25</sup>
12/03/20	5.000	634.94	Various	530.00	...	104.94	Total of 4 transactions
BIDU 12/24/2020 CALL \$200.00 / CUSIP: / Symbol:							
12/22/20	1.000	134.98	12/21/20	247.00	...	-112.02	Option sale to close-call <sup>25</sup>
BIIB 01/15/2021 CALL \$350.00 / CUSIP: / Symbol:							
10/21/20	1.000	939.96	10/20/20	1,100.00	...	-160.04	Option sale to close-call <sup>25</sup>
BIIB 01/15/2021 CALL \$480.00 / CUSIP: / Symbol:							
07/20/20	1.000	74.98	07/09/20	125.00	50.02 W	0.00	Option sale to close-call <sup>25</sup>
4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	2.000	271.98	07/14/20	300.01	...	-28.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	135.99	07/14/20	150.01	...	-14.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	136.00	07/15/20	185.02	...	-49.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	135.99	07/15/20	135.01	...	0.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/07/20	5.000	679.96	Various	770.05	...	-90.09	Total of 4 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	3.000	329.98	07/09/20	375.00	45.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	110.00	07/14/20	185.02	75.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	109.99	07/14/20	135.00	25.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/07/20	5.000	549.97	Various	695.02	145.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,304.91</b>		<b>1,590.07</b>	<b>195.07 W</b>	<b>-90.09</b>	
BIIB 07/24/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	179.98	07/08/20	550.00	370.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	179.98	07/08/20	790.02	610.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	189.98	07/20/20	825.04	635.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/21/20	3.000	549.94	Various	2,165.06	1,615.12 W	0.00	Total of 3 transactions

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Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BIIB 07/24/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
07/24/20	1.000	0.00	07/20/20	840.06	...	-840.06	Option expiration- long call
<b>Security total:</b>		<b>549.94</b>		<b>3,005.12</b>	<b>1,615.12 W</b>	<b>-840.06</b>	
BLDR 09/18/2020 CALL \$32.00 / CUSIP: / Symbol:							
08/18/20	2.000	189.98	08/13/20	380.00	...	-190.02	Option sale to close-call <sup>25</sup>
BMY 08/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
07/01/20	5.000	344.98	06/25/20	370.00	...	-25.02	Option sale to close-call <sup>25</sup>
BUD 01/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
05/27/20	5.000	634.97	05/18/20	535.00	...	99.97	Option sale to close-call <sup>25</sup>
BYND 05/15/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/06/20	1.000	263.98	04/30/20	385.00	...	-121.02	Option sale to close-call <sup>25</sup>
BYND 05/15/2020 CALL \$145.00 / CUSIP: / Symbol:							
05/06/20	1.000	143.98	05/06/20	94.00	...	49.98	Option sale to close-call <sup>25</sup>
BYND 07/17/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/24/20	2.000	1,079.96	04/21/20	478.00	...	601.96	Option sale to close-call <sup>25</sup>
BYND 08/21/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/17/20	1.000	1,229.96	06/09/20	1,010.00	...	219.96	Option sale to close-call <sup>25</sup>
BYND 12/31/2020 CALL \$155.00 / CUSIP: / Symbol:							
12/21/20	1.000	126.98	12/18/20	170.00	...	-43.02	Option sale to close-call <sup>25</sup>
CAKE 10/16/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/18/20	1.000	249.98	06/04/20	310.00	...	-60.02	Option sale to close-call <sup>25</sup>
CAR 11/20/2020 PUT \$20.00 / CUSIP: / Symbol:							
06/10/20	1.000	409.98	06/04/20	360.00	...	49.98	Option sale to close-put <sup>25</sup>
CCL 06/12/2020 CALL \$16.00 / CUSIP: / Symbol:							
05/21/20	5.000	424.98	05/19/20	375.00	...	49.98	Option sale to close-call <sup>25</sup>

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Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CCL 07/02/2020 PUT \$12.00 / CUSIP: / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	8.000	119.97	06/19/20	160.00	...	-40.03	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	14.98	06/19/20	20.00	...	-5.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	14.98	06/19/20	20.00	...	-5.02	3 of 3 - Option sale to close-put <sup>25</sup>
06/24/20	10.000	149.93	Various	200.00	...	-50.07	Total of 3 transactions
CF 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/18/20	1.000	240.98	05/14/20	174.00	...	66.98	Option sale to close-call <sup>25</sup>
CHWY 06/12/2020 CALL \$51.50 / CUSIP: / Symbol:							
06/09/20	1.000	274.98	06/05/20	245.00	...	29.98	Option sale to close-call <sup>25</sup>
CLDR 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/11/20	1.000	284.98	06/09/20	185.00	...	99.98	Option sale to close-call <sup>25</sup>
CLVS 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/13/20	65.00	...	-65.00	Option expiration- long call
CNK 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/09/20	100.00	...	-100.00	Option expiration- long call
CNQ 08/21/2020 CALL \$21.00 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	07/21/20	98.00	...	-98.00	Option expiration- long call
CNX 07/17/2020 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	06/05/20	57.00	...	42.99	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	399.98	06/05/20	228.00	...	171.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/20	5.000	499.97	Various	285.00	...	214.97	Total of 2 transactions
COST 05/29/2020 CALL \$340.00 / CUSIP: / Symbol:							
05/26/20	2.000	65.98	05/19/20	180.00	...	-114.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COST 07/17/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	273.99	06/25/20	200.00	...	73.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	547.98	06/25/20	386.00	...	161.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/25/20	3.000	821.97	Various	586.00	...	235.97	Total of 2 transactions
06/26/20	1.000	276.98	06/25/20	200.00	...	76.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,098.95</b>		<b>786.00</b>	...	<b>312.95</b>	
CRM 06/05/2020 CALL \$180.00 / CUSIP: / Symbol:							
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	679.98	05/26/20	565.00	...	114.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	679.98	05/26/20	460.00	...	219.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	679.98	05/26/20	430.00	...	249.98	3 of 3 - Option sale to close-call <sup>25</sup>
05/28/20	3.000	2,039.94	Various	1,455.00	...	584.94	Total of 3 transactions
CRON 08/21/2020 CALL \$6.00 / CUSIP: / Symbol:							
07/16/20	1.000	107.98	07/15/20	120.00	...	-12.02	Option sale to close-call <sup>25</sup>
CSCO 05/22/2020 CALL \$45.00 / CUSIP: / Symbol:							
05/18/20	1.000	71.98	05/08/20	70.00	...	1.98	Option sale to close-call <sup>25</sup>
CTSH 06/12/2020 CALL \$54.50 / CUSIP: / Symbol:							
06/04/20	2.000	379.98	06/03/20	350.00	...	29.98	Option sale to close-call <sup>25</sup>
CTXS 06/19/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/28/20	1.000	469.97	05/27/20	360.00	...	109.97	Option sale to close-call <sup>25</sup>
CTXS 06/19/2020 CALL \$155.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/15/20	280.00	...	-280.00	Option expiration- long call
CVGW 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/08/20	75.00	...	-75.00	Option expiration- long call
CYBR 10/16/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/03/20	1.000	379.98	07/31/20	360.00	...	19.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DAL 08/07/2020 CALL \$26.00 / CUSIP: / Symbol:							
08/04/20	2.000	147.98	08/03/20	70.00	...	77.98	Option sale to close-call <sup>25</sup>
DD 10/16/2020 CALL \$56.50 / CUSIP: / Symbol:							
10/05/20	2.000	245.98	10/02/20	188.00	...	57.98	Option sale to close-call <sup>25</sup>
DELL 06/19/2020 CALL \$47.50 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	154.98	05/18/20	120.00	...	34.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	619.97	05/18/20	480.00	...	139.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/19/20	5.000	774.95	Various	600.00	...	174.95	Total of 2 transactions
DELL 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/21/20	4.000	347.98	05/19/20	336.00	...	11.98	Option sale to close-call <sup>25</sup>
DFS 07/24/2020 PUT \$46.00 / CUSIP: / Symbol:							
07/20/20	1.000	104.98	07/14/20	187.00	...	-82.02	Option sale to close-put <sup>25</sup>
07/24/20	1.000	0.00	07/14/20	187.00	...	-187.00	Option expiration- long put
	<b>Security total:</b>	<b>104.98</b>		<b>374.00</b>	...	<b>-269.02</b>	
DG 11/27/2020 CALL \$217.50 / CUSIP: / Symbol:							
11/25/20	5.000	324.98	11/25/20	225.00	...	99.98	Option sale to close-call <sup>25</sup>
DHI 07/17/2020 CALL \$57.50 / CUSIP: / Symbol:							
06/12/20	1.000	160.98	06/05/20	180.00	...	-19.02	Option sale to close-call <sup>25</sup>
DIS 06/12/2020 CALL \$126.00 / CUSIP: / Symbol:							
06/05/20	2.000	639.97	06/04/20	266.00	...	373.97	Option sale to close-call <sup>25</sup>
DIS 09/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/15/20	1.000	221.98	05/11/20	247.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	429.99	05/13/20	180.02	...	249.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	859.97	05/13/20	310.00	...	549.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/18/20	3.000	1,289.96	Various	490.02	...	799.94	Total of 2 transactions
	<b>Security total:</b>	<b>1,511.94</b>		<b>737.02</b>	<b>25.02 W</b>	<b>799.94</b>	

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIS 10/02/2020 CALL \$137.00 / CUSIP: / Symbol:							
08/28/20	1.000	529.97	08/28/20	395.00	...	134.97	Option sale to close-call <sup>25</sup>
DIS 10/30/2020 CALL \$127.00 / CUSIP: / Symbol:							
10/22/20	1.000	241.98	10/14/20	279.00	...	-37.02	Option sale to close-call <sup>25</sup>
DIS 10/30/2020 CALL \$132.00 / CUSIP: / Symbol:							
10/23/20	1.000	35.98	10/22/20	0.00	...	35.98	Short sale closed- call <sup>25</sup> Option written: 10/14/20
DISH 10/16/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/14/20	1.000	354.98	07/13/20	340.00	...	14.98	Option sale to close-call <sup>25</sup>
DKNG 05/22/2020 CALL \$28.00 / CUSIP: / Symbol:							
05/21/20	1.000	104.98	05/15/20	235.00	...	-130.02	Option sale to close-call <sup>25</sup>
DLTR 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	479.97	05/22/20	430.00	...	49.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	479.97	05/22/20	370.00	...	109.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/20	2.000	959.94	Various	800.00	...	159.94	Total of 2 transactions
DOCU 10/16/2020 CALL \$230.00 / CUSIP: / Symbol:							
	3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	834.97	10/02/20	800.00	...	34.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	834.98	10/02/20	770.00	...	64.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,129.96	10/05/20	820.00	...	309.96	3 of 3 - Option sale to close-call <sup>25</sup>
10/05/20	3.000	2,799.91	Various	2,390.00	...	409.91	Total of 3 transactions
DPZ 07/10/2020 CALL \$420.00 / CUSIP: / Symbol:							
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	164.99	06/16/20	116.00	...	48.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	329.98	06/16/20	232.00	...	97.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/17/20	3.000	494.97	Various	348.00	...	146.97	Total of 2 transactions
EBAY 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/03/20	1.000	185.98	08/31/20	147.00	...	38.98	Option sale to close-call <sup>25</sup>

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Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ED 08/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/01/20	1.000	379.98	05/20/20	280.00	...	99.98	Option sale to close-call <sup>25</sup>
ELY 02/19/2021 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	10/16/20	265.00	...	34.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	10/27/20	235.00	...	64.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/01/20	2.000	599.96	Various	500.00	...	99.96	Total of 2 transactions
ENPH 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	34.99	06/04/20	110.00	...	-75.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	69.99	06/17/20	80.00	...	-10.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/18/20	3.000	104.98	Various	190.00	...	-85.02	Total of 2 transactions
ERJ 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	3.000	74.99	06/10/20	405.00	...	-330.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	49.99	06/10/20	270.00	...	-220.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	5.000	124.98	Various	675.00	...	-550.02	Total of 2 transactions
ERJ 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	10.000	149.97	05/27/20	180.00	...	-30.03	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	74.99	05/27/20	100.00	...	-25.01	2 of 2 - Option sale to close-call <sup>25</sup>
05/28/20	15.000	224.96	Various	280.00	...	-55.04	Total of 2 transactions
EROS 01/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	2.000	209.98	04/20/20	180.00	...	29.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	419.98	04/20/20	360.00	...	59.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/20	6.000	629.96	Various	540.00	...	89.96	Total of 2 transactions
ETR 05/15/2020 CALL \$105.00 / CUSIP: / Symbol:							
05/15/20	2.000	0.00	05/06/20	20.00	...	-20.00	Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ETSY 09/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/29/20	1.000	314.98	07/27/20	320.00	...	-5.02	Option sale to close-call <sup>25</sup>
EW 07/17/2020 CALL \$83.33 / CUSIP: / Symbol:							
06/18/20	1.000	9.98	06/03/20	52.00	...	-42.02	Option sale to close-call <sup>25</sup>
F 07/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	6.000	119.99	06/24/20	228.00	...	-108.01	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	59.99	06/24/20	114.00	...	-54.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	20.00	06/24/20	38.00	...	-18.00	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	199.97	07/10/20	130.00	...	69.97	4 of 4 - Option sale to close-call <sup>25</sup>
07/10/20	20.000	399.95	Various	510.00	...	-110.05	Total of 4 transactions
FB 07/24/2020 PUT \$180.00 / CUSIP: / Symbol:							
06/29/20	3.000	560.97	06/26/20	453.00	...	107.97	Option sale to close-put <sup>25</sup>
FB 09/04/2020 PUT \$260.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	439.98	08/20/20	445.00	...	-5.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	444.98	08/20/20	445.00	...	-0.02	2 of 2 - Option sale to close-put <sup>25</sup>
08/21/20	2.000	884.96	Various	890.00	...	-5.04	Total of 2 transactions
FB 09/18/2020 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	834.97	08/20/20	805.00	...	29.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	834.98	08/20/20	740.00	...	94.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	1,669.95	Various	1,545.00	...	124.95	Total of 2 transactions
FB 09/25/2020 CALL \$312.50 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	1,139.96	09/03/20	960.00	...	179.96	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	1,709.95	09/03/20	1,440.00	...	269.95	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	5.000	2,849.91	Various	2,400.00	...	449.91	Total of 2 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FB 09/25/2020 CALL \$312.50 / CUSIP: / Symbol: (cont'd)							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/03/20	640.00	...	-640.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/03/20	585.00	...	-585.00	2 of 2 - Option expiration- long call
09/25/20	2.000	0.00	Various	1,225.00	...	-1,225.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,849.91</b>		<b>3,625.00</b>	...	<b>-775.09</b>	
FCX 06/19/2020 CALL \$9.50 / CUSIP: / Symbol:							
06/01/20	3.000	128.98	05/26/20	138.00	...	-9.02	Option sale to close-call <sup>25</sup>
FDX 08/21/2020 PUT \$135.00 / CUSIP: / Symbol:							
07/13/20	1.000	168.98	07/10/20	163.00	...	5.98	Option sale to close-put <sup>25</sup>
FDX 10/16/2020 PUT \$140.00 / CUSIP: / Symbol:							
07/13/20	1.000	634.97	07/10/20	635.00	...	-0.03	Option sale to close-put <sup>25</sup>
FISV 03/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	130.00	08/25/20	90.00	...	40.00	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	649.97	08/25/20	475.00	...	174.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	6.000	779.97	Various	565.00	...	214.97	Total of 2 transactions
09/15/20	1.000	129.99	08/07/20	135.00	5.01 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	119.99	09/21/20	95.01	...	24.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	239.99	09/21/20	160.00	...	79.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	239.99	09/21/20	160.00	...	79.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/29/20	5.000	599.97	Various	415.01	...	184.96	Total of 3 transactions
	<b>Security total:</b>	<b>1,509.93</b>		<b>1,115.01</b>	<b>5.01 W</b>	<b>399.93</b>	
FLIR 12/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/26/20	2.000	199.98	06/23/20	130.00	...	69.98	Option sale to close-call <sup>25</sup>
FND 08/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	07/30/20	120.00	...	-120.00	Option expiration- long call

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Robinhood Securities LLC

Account 933425936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLR 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/05/20	1.000	52.98	05/07/20	55.00	...	-2.02	Option sale to close-call <sup>25</sup>
GLUU 07/17/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/18/20	5.000	99.98	06/04/20	300.00	...	-200.02	Option sale to close-call <sup>25</sup>
GLUU 12/18/2020 CALL \$12.00 / CUSIP: / Symbol:							
06/18/20	2.000	189.98	06/04/20	270.00	...	-80.02	Option sale to close-call <sup>25</sup>
GOOG 10/02/2020 CALL \$1,500.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	519.98	09/30/20	295.03	...	224.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	519.98	10/01/20	390.00	...	129.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/01/20	2.000	1,039.96	Various	685.03	...	354.93	Total of 2 transactions
10/01/20	1.000	579.97	09/30/20	600.00	20.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,619.93</b>		<b>1,285.03</b>	<b>20.03 W</b>	<b>354.93</b>	
GOOG 10/02/2020 CALL \$1,530.00 / CUSIP: / Symbol:							
10/01/20	1.000	64.98	09/30/20	160.00	...	-95.02	Option sale to close-call <sup>25</sup>
GOOGL 10/02/2020 CALL \$1,500.0 / CUSIP: / Symbol:							
09/30/20	1.000	699.97	09/29/20	328.00	...	371.97	Option sale to close-call <sup>25</sup>
GRPN 01/15/2021 CALL \$1.50 / CUSIP: / Symbol:							
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	04/27/20	33.00	...	1.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.98	04/27/20	33.00	...	2.98	2 of 4 - Option sale to close-call <sup>25</sup>
	10.000	349.97	04/27/20	330.00	...	19.97	3 of 4 - Option sale to close-call <sup>25</sup>
	18.000	629.94	04/27/20	594.00	...	35.94	4 of 4 - Option sale to close-call <sup>25</sup>
06/03/20	30.000	1,050.87	Various	990.00	...	60.87	Total of 4 transactions
GS 08/28/2020 CALL \$207.50 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	384.98	08/24/20	193.00	...	191.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	389.98	08/24/20	182.00	...	207.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	774.96	Various	375.00	...	399.96	Total of 2 transactions

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GS 09/04/2020 CALL \$207.50 / CUSIP: / Symbol:							
08/25/20	1.000	519.97	08/24/20	258.00	...	261.97	Option sale to close-call <sup>25</sup>
GWRE 06/19/2020 PUT \$100.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	84.99	06/03/20	205.00	...	-120.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	169.99	06/10/20	130.00	...	39.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/11/20	3.000	254.98	Various	335.00	...	-80.02	Total of 2 transactions
GWRE 10/16/2020 PUT \$100.00 / CUSIP: / Symbol:							
06/04/20	1.000	679.97	06/03/20	680.00	...	-0.03	Option sale to close-put <sup>25</sup>
HBI 07/17/2020 CALL \$12.00 / CUSIP: / Symbol:							
05/26/20	5.000	149.98	05/20/20	125.00	...	24.98	Option sale to close-call <sup>25</sup>
HD 05/22/2020 CALL \$240.00 / CUSIP: / Symbol:							
05/15/20	1.000	639.97	05/08/20	485.00	...	154.97	Option sale to close-call <sup>25</sup>
05/19/20	1.000	342.98	05/13/20	405.00	...	-62.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>982.95</b>		<b>890.00</b>	...	<b>92.95</b>	
HOG 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/18/20	1.000	240.98	06/09/20	360.00	...	-119.02	Option sale to close-call <sup>25</sup>
HON 06/19/2020 CALL \$155.00 / CUSIP: / Symbol:							
05/26/20	2.000	241.98	05/08/20	126.00	...	115.98	Option sale to close-call <sup>25</sup>
HTZ 01/21/2022 CALL \$4.00 / CUSIP: / Symbol:							
10/16/20	1.000	128.98	07/17/20	41.00	...	87.98	Option sale to close-call <sup>25</sup>
IMMU 07/17/2020 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	184.99	06/08/20	165.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	184.99	06/08/20	165.00	...	19.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/20	2.000	369.98	Various	330.00	...	39.98	Total of 2 transactions
INO 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/16/20	2.000	81.98	06/09/20	160.00	...	-78.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INO 07/17/2020 PUT \$20.00 / CUSIP: / Symbol:							
07/01/20	1.000	279.98	06/29/20	255.00	...	24.98	Option sale to close-put <sup>25</sup>
INTC 07/17/2020 PUT \$58.50 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	102.98	07/06/20	93.00	...	9.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	205.98	07/06/20	186.00	...	19.98	2 of 2 - Option sale to close-put <sup>25</sup>
07/07/20	3.000	308.96	Various	279.00	...	29.96	Total of 2 transactions
IVR 01/15/2021 CALL \$4.00 / CUSIP: / Symbol:							
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	3.000	47.99	06/18/20	489.00	...	-441.01	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	31.99	06/18/20	326.00	...	-294.01	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	31.99	06/25/20	180.00	...	-148.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	16.00	08/05/20	30.00	...	-14.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/03/20	8.000	127.97	Various	1,025.00	...	-897.03	Total of 4 transactions
IWM 06/19/2020 PUT \$120.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/22/20	163.00	...	-163.00	Option expiration- long put
IWM 09/18/2020 PUT \$145.00 / CUSIP: / Symbol:							
07/16/20	2.000	1,695.95	07/15/20	1,586.00	...	109.95	Option sale to close-put <sup>25</sup>
IWM 11/20/2020 PUT \$158.00 / CUSIP: / Symbol:							
10/07/20	1.000	635.97	10/06/20	672.00	...	-36.03	Option sale to close-put <sup>25</sup>
JD 08/14/2020 CALL \$64.00 / CUSIP: / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	319.99	07/31/20	246.00	...	73.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	319.98	07/31/20	242.00	...	77.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/03/20	2.000	639.97	Various	488.00	...	151.97	Total of 2 transactions
JD 11/20/2020 CALL \$80.00 / CUSIP: / Symbol:							
10/12/20	1.000	649.97	10/07/20	505.00	...	144.97	Option sale to close-call <sup>25</sup>
JD 12/18/2020 CALL \$80.00 / CUSIP: / Symbol:							
10/16/20	1.000	695.97	10/15/20	635.00	...	60.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JNJ 07/17/2020 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	13.00	06/05/20	39.00	...	-26.00	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	38.98	06/19/20	51.00	...	-12.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/26/20	4.000	51.98	Various	90.00	...	-38.02	Total of 2 transactions
JPM 04/17/2020 PUT \$91.50 / CUSIP: / Symbol:							
04/15/20	1.000	249.98	04/13/20	123.00	...	126.98	Option sale to close-put <sup>25</sup>
JPM 08/21/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/11/20	92.00	...	-92.00	1 of 2 - Option expiration- long call
	5.000	0.00	08/12/20	445.00	...	-445.00	2 of 2 - Option expiration- long call
08/21/20	6.000	0.00	Various	537.00	...	-537.00	Total of 2 transactions
JPM 08/21/2020 CALL \$115.00 / CUSIP: / Symbol:							
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	10.000	259.97	07/20/20	340.00	...	-80.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	26.00	07/20/20	29.00	...	-3.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	26.00	07/20/20	29.00	...	-3.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	25.99	07/22/20	26.00	...	-0.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	26.00	07/22/20	26.00	...	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	25.99	07/23/20	20.00	...	5.99	6 of 6 - Option sale to close-call <sup>25</sup>
08/11/20	15.000	389.95	Various	470.00	...	-80.05	Total of 6 transactions
08/11/20	5.000	129.99	07/13/20	560.00	86.00 W	-344.01	Option sale to close-call <sup>25</sup>
08/21/20	1.000	0.00	07/23/20	106.00	...	-106.00	Option expiration- long call
	<b>Security total:</b>	<b>519.94</b>		<b>1,136.00</b>	<b>86.00 W</b>	<b>-530.06</b>	
KO 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
06/10/20	1.000	439.98	06/03/20	420.00	...	19.98	Option sale to close-call <sup>25</sup>
KO 07/24/2020 CALL \$48.00 / CUSIP: / Symbol:							
07/22/20	2.000	79.98	07/21/20	96.00	...	-16.02	Option sale to close-call <sup>25</sup>

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Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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KO 08/21/2020 CALL \$47.50 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	87.99	08/05/20	68.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	87.99	08/05/20	68.00	...	19.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/07/20	2.000	175.98	Various	136.00	...	39.98	Total of 2 transactions
LITE 09/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	209.99	06/19/20	190.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	209.99	06/24/20	140.00	...	69.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/26/20	2.000	419.98	Various	330.00	...	89.98	Total of 2 transactions
LMT 07/31/2020 CALL \$400.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	104.98	07/08/20	85.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	209.98	07/08/20	170.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/10/20	3.000	314.96	Various	255.00	...	59.96	Total of 2 transactions
LOW 07/17/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	644.98	05/20/20	635.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	644.98	05/20/20	475.00	...	169.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/21/20	2.000	1,289.96	Various	1,110.00	...	179.96	Total of 2 transactions
LULU 10/16/2020 CALL \$340.00 / CUSIP: / Symbol:							
10/01/20	1.000	1,109.96	10/01/20	1,010.00	...	99.96	Option sale to close-call <sup>25</sup>
LUV 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/19/20	1.000	359.98	05/15/20	269.00	...	90.98	Option sale to close-call <sup>25</sup>
LUV 12/18/2020 CALL \$32.50 / CUSIP: / Symbol:							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	2.000	1,129.97	06/25/20	1,080.00	...	49.97	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,694.96	06/26/20	1,650.00	...	44.96	2 of 3 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUV 12/18/2020 CALL \$32.50 / CUSIP: / Symbol: (cont'd)							
	2.000	1,129.97	06/26/20	1,040.00	...	89.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/07/20	7.000	3,954.90	Various	3,770.00	...	184.90	Total of 3 transactions
07/14/20	1.000	594.97	07/10/20	595.00	...	-0.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,549.87</b>		<b>4,365.00</b>	...	<b>184.87</b>	
LUV 12/18/2020 CALL \$42.50 / CUSIP: / Symbol:							
07/10/20	10.000	2,189.93	07/08/20	2,160.07	...	29.86	Option sale to close-call <sup>25</sup>
07/10/20	10.000	2,189.93	07/06/20	2,360.00	170.07 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,379.86</b>		<b>4,520.07</b>	<b>170.07 W</b>	<b>29.86</b>	
LUV 12/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	4.000	519.97	06/10/20	520.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	129.98	06/10/20	130.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/17/20	5.000	649.95	Various	650.00	...	-0.05	Total of 2 transactions
M 05/01/2020 CALL \$6.50 / CUSIP: / Symbol:							
	2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	7.000	0.00	04/28/20	224.00	...	-224.00	1 of 2 - Option expiration- long call
	3.000	0.00	04/28/20	96.00	...	-96.00	2 of 2 - Option expiration- long call
05/01/20	10.000	0.00	Various	320.00	...	-320.00	Total of 2 transactions
M 05/15/2020 PUT \$5.00 / CUSIP: / Symbol:							
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	1.000	8.98	05/08/20	14.00	...	-5.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	8.98	05/08/20	14.00	...	-5.02	2 of 2 - Option sale to close-put <sup>25</sup>
05/11/20	2.000	17.96	Various	28.00	...	-10.04	Total of 2 transactions
MCD 05/29/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/21/20	2.000	525.97	05/20/20	394.00	...	131.97	Option sale to close-call <sup>25</sup>
MDB 06/19/2020 CALL \$250.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/05/20	170.00	...	-170.00	Option expiration- long call

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MDT 05/29/2020 CALL \$105.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/11/20	84.00	...	-84.00	Option expiration- long call
MLCO 09/18/2020 CALL \$16.00 / CUSIP: / Symbol:							
07/28/20	5.000	729.97	07/27/20	720.00	...	9.97	Option sale to close-call <sup>25</sup>
MOS 06/19/2020 CALL \$11.00 / CUSIP: / Symbol:							
05/18/20	1.000	77.98	05/13/20	58.00	...	19.98	Option sale to close-call <sup>25</sup>
MRNA 12/04/2020 PUT \$130.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	284.98	12/01/20	190.00	...	94.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	284.99	12/01/20	190.00	...	94.99	2 of 2 - Option sale to close-put <sup>25</sup>
12/01/20	2.000	569.97	Various	380.00	...	189.97	Total of 2 transactions
MRO 07/24/2020 CALL \$8.50 / CUSIP: / Symbol:							
06/19/20	1.000	19.98	06/08/20	93.00	...	-73.02	Option sale to close-call <sup>25</sup>
MRVL 06/19/2020 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	44.00	05/19/20	26.00	...	18.00	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	395.97	05/19/20	234.00	...	161.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/20	10.000	439.97	Various	260.00	...	179.97	Total of 2 transactions
MSFT 06/05/2020 CALL \$192.50 / CUSIP: / Symbol:							
05/05/20	2.000	433.98	04/30/20	400.00	...	33.98	Option sale to close-call <sup>25</sup>
MSFT 07/02/2020 CALL \$192.50 / CUSIP: / Symbol:							
06/03/20	3.000	617.97	05/29/20	507.00	...	110.97	Option sale to close-call <sup>25</sup>
MSFT 07/02/2020 CALL \$195.00 / CUSIP: / Symbol:							
06/03/20	5.000	699.97	05/27/20	450.00	...	249.97	Option sale to close-call <sup>25</sup>
06/05/20	4.000	471.97	06/04/20	460.00	...	11.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,171.94</b>		<b>910.00</b>	...	<b>261.94</b>	

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2020 1099-B\* OMB No. 1545-0715

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02/14/2021

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MSFT 07/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	4.000	635.98	05/21/20	876.00	...	-240.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	158.99	05/21/20	219.00	...	-60.01	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	794.97	05/28/20	565.00	...	229.97	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/20	10.000	1,589.94	Various	1,660.00	...	-70.06	Total of 3 transactions
MSFT 07/24/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/20/20	1.000	399.98	07/17/20	315.00	...	84.98	Option sale to close-call <sup>25</sup>
07/24/20	2.000	0.00	07/23/20	414.00	...	-414.00	Option expiration- long call
	<b>Security total:</b>	<b>399.98</b>		<b>729.00</b>	...	<b>-329.02</b>	
MSFT 08/07/2020 CALL \$207.50 / CUSIP: / Symbol:							
08/03/20	1.000	749.97	07/28/20	224.00	...	525.97	Option sale to close-call <sup>25</sup>
MSFT 11/20/2020 CALL \$235.00 / CUSIP: / Symbol:							
10/12/20	1.000	469.97	10/09/20	245.00	...	224.97	Option sale to close-call <sup>25</sup>
MT 05/22/2020 CALL \$12.00 / CUSIP: / Symbol:							
05/22/20	5.000	0.00	05/15/20	10.00	...	-10.00	Option expiration- long call
MU 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
08/25/20	1.000	539.97	08/20/20	415.00	...	124.97	Option sale to close-call <sup>25</sup>
MU 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/08/20	2.000	201.98	06/04/20	140.00	...	61.98	Option sale to close-call <sup>25</sup>
06/16/20	5.000	414.98	06/12/20	285.00	...	129.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>616.96</b>		<b>425.00</b>	...	<b>191.96</b>	
MU 12/11/2020 CALL \$70.00 / CUSIP: / Symbol:							
12/03/20	2.000	469.97	12/02/20	268.00	...	201.97	Option sale to close-call <sup>25</sup>
MVIS 05/15/2020 CALL \$1.00 / CUSIP: / Symbol:							
05/12/20	2.000	29.98	05/11/20	20.00	...	9.98	Option sale to close-call <sup>25</sup>

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Account 933425936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NAT 05/15/2020 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/30/20	120.00	...	-120.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/30/20	60.00	...	-60.00	2 of 2 - Option expiration- long call
05/15/20	3.000	0.00	Various	180.00	...	-180.00	Total of 2 transactions
NCLH 07/17/2020 PUT \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	22.00	06/19/20	20.00	...	2.00	1 of 2 - Option sale to close-put <sup>25</sup>
	9.000	197.97	06/19/20	180.00	...	17.97	2 of 2 - Option sale to close-put <sup>25</sup>
06/24/20	10.000	219.97	Various	200.00	...	19.97	Total of 2 transactions
NFLX 08/14/2020 CALL \$475.00 / CUSIP: / Symbol:							
08/11/20	1.000	919.96	08/11/20	780.00	...	139.96	Option sale to close-call <sup>25</sup>
NFLX 10/23/2020 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	864.97	10/07/20	770.00	...	94.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	819.97	10/08/20	735.00	...	84.97	2 of 2 - Option sale to close-call <sup>25</sup>
10/08/20	2.000	1,684.94	Various	1,505.00	...	179.94	Total of 2 transactions
10/09/20	1.000	1,019.96	10/08/20	831.00	...	188.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,704.90</b>		<b>2,336.00</b>	...	<b>368.90</b>	
NFLX 10/23/2020 CALL \$610.00 / CUSIP: / Symbol:							
10/12/20	1.000	-152.03	10/09/20	0.00	...	-152.03	Short sale closed- call <sup>25</sup> Option written: 10/08/20
NFLX 10/30/2020 CALL \$480.00 / CUSIP: / Symbol:							
10/28/20	1.000	1,252.96	10/23/20	1,187.00	...	65.96	Option sale to close-call <sup>25</sup>
NFLX 10/30/2020 CALL \$482.50 / CUSIP: / Symbol:							
10/29/20	1.000	-29.04	10/28/20	0.00	...	-29.04	Short sale closed- call <sup>25</sup> Option written: 10/23/20
NIO 01/15/2021 CALL \$26.00 / CUSIP: / Symbol:							
10/15/20	1.000	584.97	10/14/20	395.00	...	189.97	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NTNX 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	232.99	05/22/20	440.00	...	-207.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	232.99	07/17/20	200.00	...	32.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	232.99	07/17/20	198.00	...	34.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	3.000	698.97	Various	838.00	...	-139.03	Total of 3 transactions
NVAX 05/15/2020 PUT \$35.00 / CUSIP: / Symbol:							
05/15/20	1.000	17.98	05/13/20	90.00	...	-72.02	Option sale to close-put <sup>25</sup>
NVDA 05/22/2020 CALL \$315.00 / CUSIP: / Symbol:							
05/12/20	1.000	1,554.95	05/08/20	1,230.00	...	324.95	Option sale to close-call <sup>25</sup>
NVDA 05/22/2020 CALL \$325.00 / CUSIP: / Symbol:							
05/21/20	1.000	2,899.92	05/13/20	1,035.00	...	1,864.92	Option sale to close-call <sup>25</sup>
NVDA 05/22/2020 CALL \$330.00 / CUSIP: / Symbol:							
05/18/20	1.000	2,879.92	05/13/20	760.00	...	2,119.92	Option sale to close-call <sup>25</sup>
NVDA 05/22/2020 CALL \$370.00 / CUSIP: / Symbol:							
05/12/20	1.000	132.98	05/11/20	197.00	...	-64.02	Option sale to close-call <sup>25</sup>
NVDA 05/22/2020 PUT \$307.50 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/21/20	74.00	...	-74.00	Option expiration- long put
NVDA 06/12/2020 CALL \$375.00 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	674.98	06/10/20	555.00	...	119.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	674.98	06/10/20	555.00	...	119.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/10/20	2.000	1,349.96	Various	1,110.00	...	239.96	Total of 2 transactions
NVDA 11/20/2020 CALL \$582.50 / CUSIP: / Symbol:							
11/20/20	1.000	521.97	11/19/20	0.00	...	521.97	Short sale closed- call <sup>25</sup> Option written: 11/16/20
NVDA 11/20/2020 CALL \$585.00 / CUSIP: / Symbol:							
11/19/20	1.000	16.98	11/16/20	525.00	...	-508.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NVDA 11/20/2020 PUT \$500.00 / CUSIP: / Symbol:							
11/19/20	1.000	62.98	11/16/20	530.00	...	-467.02	Option sale to close-put <sup>25</sup>
NVDA 11/20/2020 PUT \$502.50 / CUSIP: / Symbol:							
11/20/20	1.000	537.97	11/19/20	0.00	...	537.97	Short sale closed- put <sup>25</sup> Option written: 11/16/20
NVO 07/17/2020 CALL \$72.50 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	60.99	06/22/20	60.00	...	0.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	60.99	06/22/20	60.00	...	0.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/20	2.000	121.98	Various	120.00	...	1.98	Total of 2 transactions
OKTA 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/17/20	1.000	929.96	06/11/20	740.00	...	189.96	Option sale to close-call <sup>25</sup>
OPK 06/05/2020 CALL \$3.00 / CUSIP: / Symbol:							
05/21/20	10.000	199.97	05/18/20	300.00	...	-100.03	Option sale to close-call <sup>25</sup>
OXY 05/01/2020 CALL \$15.50 / CUSIP: / Symbol:							
4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	04/20/20	40.00	...	59.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	199.99	04/20/20	80.00	...	119.99	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	299.99	04/20/20	120.00	...	179.99	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	399.98	04/20/20	160.00	...	239.98	4 of 4 - Option sale to close-call <sup>25</sup>
04/29/20	10.000	999.95	Various	400.00	...	599.95	Total of 4 transactions
OXY 06/05/2020 CALL \$15.00 / CUSIP: / Symbol:							
05/14/20	1.000	69.98	05/13/20	66.00	...	3.98	Option sale to close-call <sup>25</sup>
OXY 07/17/2020 CALL \$21.00 / CUSIP: / Symbol:							
06/05/20	2.000	321.98	05/27/20	62.00	...	259.98	Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

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PAYX 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/06/20	320.00	...	-320.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/06/20	160.00	...	-160.00	2 of 2 - Option expiration- long call
07/17/20	3.000	0.00	Various	480.00	...	-480.00	Total of 2 transactions
PENN 07/17/2020 CALL \$40.00 / CUSIP: / Symbol:							
06/18/20	2.000	219.98	06/10/20	270.00	...	-50.02	Option sale to close-call <sup>25</sup>
PENN 08/21/2020 CALL \$38.00 / CUSIP: / Symbol:							
07/15/20	1.000	235.98	07/09/20	151.00	...	84.98	Option sale to close-call <sup>25</sup>
PFE 09/18/2020 CALL \$39.00 / CUSIP: / Symbol:							
08/25/20	1.000	47.98	07/28/20	151.00	...	-103.02	Option sale to close-call <sup>25</sup>
PINS 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/30/20	2.000	599.97	06/29/20	550.00	...	49.97	Option sale to close-call <sup>25</sup>
PINS 05/15/2020 CALL \$23.50 / CUSIP: / Symbol:							
05/15/20	5.000	0.00	05/05/20	350.00	...	-350.00	Option expiration- long call
PINS 06/19/2020 CALL \$21.00 / CUSIP: / Symbol:							
06/16/20	2.000	259.98	06/04/20	250.00	...	9.98	Option sale to close-call <sup>25</sup>
PINS 08/07/2020 PUT \$30.00 / CUSIP: / Symbol:							
6 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/31/20	103.00	...	-103.00	1 of 6 - Option expiration- long put
	1.000	0.00	07/31/20	93.00	...	-93.00	2 of 6 - Option expiration- long put
	1.000	0.00	07/31/20	93.00	...	-93.00	3 of 6 - Option expiration- long put
	1.000	0.00	07/31/20	89.00	...	-89.00	4 of 6 - Option expiration- long put
	1.000	0.00	07/31/20	89.00	...	-89.00	5 of 6 - Option expiration- long put
	1.000	0.00	07/31/20	60.00	...	-60.00	6 of 6 - Option expiration- long put
08/07/20	6.000	0.00	Various	527.00	...	-527.00	Total of 6 transactions

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Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINS 08/28/2020 PUT \$30.50 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/31/20	531.00	...	-531.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/31/20	111.00	...	-111.00	2 of 2 - Option expiration- long put
08/28/20	4.000	0.00	Various	642.00	...	-642.00	Total of 2 transactions
PINS 11/20/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	2.000	709.97	07/22/20	750.00	...	-40.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	354.99	07/28/20	289.00	...	65.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/30/20	3.000	1,064.96	Various	1,039.00	...	25.96	Total of 2 transactions
PLAN 06/19/2020 CALL \$52.50 / CUSIP: / Symbol:							
05/22/20	1.000	339.98	05/22/20	280.00	...	59.98	Option sale to close-call <sup>25</sup>
PLAN 11/20/2020 CALL \$60.00 / CUSIP: / Symbol:							
07/08/20	1.000	389.98	05/22/20	460.00	...	-70.02	Option sale to close-call <sup>25</sup>
PLAY 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/18/20	1.000	379.98	06/10/20	480.00	...	-100.02	Option sale to close-call <sup>25</sup>
PLAY 05/15/2020 CALL \$13.50 / CUSIP: / Symbol:							
05/15/20	2.000	0.00	05/01/20	260.00	...	-260.00	Option expiration- long call
PLNT 08/21/2020 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/14/20	153.00	...	-153.00	1 of 3 - Option expiration- long call
	2.000	0.00	08/14/20	102.00	...	-102.00	2 of 3 - Option expiration- long call
	2.000	0.00	08/14/20	80.00	...	-80.00	3 of 3 - Option expiration- long call
08/21/20	7.000	0.00	Various	335.00	...	-335.00	Total of 3 transactions
PRGS 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/07/20	2.000	109.98	06/25/20	190.00	...	-80.02	Option sale to close-call <sup>25</sup>
PRPL 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/13/20	154.00	...	-154.00	Option expiration- long call

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PVG 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
06/19/20	1.000	24.98	05/15/20	70.00	...	-45.02	Option sale to close-call <sup>25</sup>
PWR 08/21/2020 CALL \$42.00 / CUSIP: / Symbol:							
05/27/20	3.000	224.98	05/18/20	105.00	...	119.98	Option sale to close-call <sup>25</sup>
PYPL 09/18/2020 CALL \$195.00 / CUSIP: / Symbol:							
09/10/20	1.000	589.97	09/09/20	415.00	...	174.97	Option sale to close-call <sup>25</sup>
PYPL 11/06/2020 CALL \$182.50 / CUSIP: / Symbol:							
11/03/20	1.000	349.98	11/03/20	424.00	...	-74.02	Option sale to close-call <sup>25</sup>
PYPL 11/13/2020 CALL \$192.50 / CUSIP: / Symbol:							
11/11/20	2.000	407.98	11/09/20	680.00	...	-272.02	Option sale to close-call <sup>25</sup>
PYPL 12/24/2020 CALL \$230.00 / CUSIP: / Symbol:							
12/16/20	1.000	449.98	12/16/20	375.00	...	74.98	Option sale to close-call <sup>25</sup>
QCOM 06/19/2020 CALL \$100.00 / CUSIP: / Symbol:							
	<i>5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	06/10/20	132.00	...	-132.00	1 of 5 - Option expiration- long call
	2.000	0.00	06/11/20	26.00	...	-26.00	2 of 5 - Option expiration- long call
	1.000	0.00	06/11/20	13.00	...	-13.00	3 of 5 - Option expiration- long call
	1.000	0.00	06/11/20	13.00	...	-13.00	4 of 5 - Option expiration- long call
	1.000	0.00	06/11/20	13.00	...	-13.00	5 of 5 - Option expiration- long call
06/19/20	7.000	0.00	Various	197.00	...	-197.00	Total of 5 transactions
QCOM 06/19/2020 CALL \$80.00 / CUSIP: / Symbol:							
06/02/20	1.000	304.98	06/01/20	261.00	...	43.98	Option sale to close-call <sup>25</sup>
QQQ 01/15/2021 PUT \$260.00 / CUSIP: / Symbol:							
08/24/20	2.000	2,565.93	08/21/20	2,634.00	68.07 W	0.00	Option sale to close-put <sup>25</sup>
	<i>2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	1,270.96	08/24/20	1,332.03	...	-61.07	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,270.97	08/26/20	1,199.04	...	71.93	2 of 2 - Option sale to close-put <sup>25</sup>
08/27/20	2.000	2,541.93	Various	2,531.07	...	10.86	Total of 2 transactions
<b>Security total:</b>		<b>5,107.86</b>		<b>5,165.07</b>	<b>68.07 W</b>	<b>10.86</b>	

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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QQQ 07/10/2020 PUT \$235.00 / CUSIP: / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	319.99	06/23/20	181.00	...	138.99	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	639.98	06/23/20	350.00	...	289.98	2 of 3 - Option sale to close-put <sup>25</sup>
	4.000	1,279.97	06/23/20	724.00	...	555.97	3 of 3 - Option sale to close-put <sup>25</sup>
06/24/20	7.000	2,239.94	Various	1,255.00	...	984.94	Total of 3 transactions
QQQ 07/10/2020 PUT \$240.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	2.000	939.97	06/23/20	498.00	...	441.97	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	2,349.94	06/23/20	1,310.00	...	1,039.94	2 of 2 - Option sale to close-put <sup>25</sup>
06/24/20	7.000	3,289.91	Various	1,808.00	...	1,481.91	Total of 2 transactions
QQQ 09/18/2020 CALL \$370.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	07/16/20	5.00	...	-5.00	Option expiration- long call
QQQ 09/18/2020 PUT \$200.00 / CUSIP: / Symbol:							
07/09/20	1.000	162.98	07/01/20	247.00	...	-84.02	Option sale to close-put <sup>25</sup>
QQQ 09/18/2020 PUT \$210.00 / CUSIP: / Symbol:							
06/11/20	1.000	550.97	06/10/20	468.00	...	82.97	Option sale to close-put <sup>25</sup>
QQQ 09/18/2020 PUT \$251.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	984.97	07/08/20	973.00	...	11.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	984.97	07/08/20	969.00	...	15.97	2 of 2 - Option sale to close-put <sup>25</sup>
07/09/20	2.000	1,969.94	Various	1,942.00	...	27.94	Total of 2 transactions
QQQ 09/30/2020 PUT \$238.00 / CUSIP: / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	08/03/20	365.00	...	14.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	362.99	08/05/20	339.03	...	23.96	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	362.98	08/06/20	305.00	...	57.98	3 of 3 - Option sale to close-put <sup>25</sup>
08/10/20	3.000	1,105.95	Various	1,009.03	...	96.92	Total of 3 transactions

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 09/30/2020 PUT \$238.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	379.99	07/31/20	430.00	50.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	390.98	08/03/20	412.01	21.03 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
08/10/20	2.000	770.97	Various	842.01	71.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,876.92</b>		<b>1,851.04</b>	<b>71.04 W</b>	<b>96.92</b>	
QQQ 09/30/2020 PUT \$255.00 / CUSIP: / Symbol:							
08/18/20	1.000	340.98	08/07/20	674.00	...	-333.02	Option sale to close-put <sup>25</sup>
QQQ 11/20/2020 CALL \$350.00 / CUSIP: / Symbol:							
11/20/20	10.000	0.00	09/03/20	2,060.00	...	-2,060.00	Option expiration- long call
QQQ 11/20/2020 PUT \$290.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	1,625.96	08/31/20	1,723.00	...	-97.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,625.95	09/01/20	1,636.00	...	-10.05	2 of 2 - Option sale to close-put <sup>25</sup>
09/02/20	2.000	3,251.91	Various	3,359.00	...	-107.09	Total of 2 transactions
QQQ 12/18/2020 PUT \$200.00 / CUSIP: / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	554.98	07/02/20	545.00	...	9.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	1,139.97	07/06/20	1,122.03	...	17.94	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	569.98	07/06/20	498.00	...	71.98	3 of 3 - Option sale to close-put <sup>25</sup>
07/10/20	4.000	2,264.93	Various	2,165.03	...	99.90	Total of 3 transactions
5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	554.98	06/24/20	806.00	251.02 W	0.00	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	554.99	06/24/20	801.00	246.01 W	0.00	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	1,109.97	07/01/20	1,236.00	126.03 W	0.00	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	569.98	07/06/20	749.02	179.04 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	569.99	07/06/20	744.01	174.02 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup>
07/10/20	6.000	3,359.91	Various	4,336.03	976.12 W	0.00	Total of 5 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	519.98	07/10/20	681.04	...	-161.06	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	519.99	07/10/20	676.02	...	-156.03	2 of 3 - Option sale to close-put <sup>25</sup>

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Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
QQQ 12/18/2020 PUT \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	519.98	07/13/20	459.00	...	60.98	3 of 3 - Option sale to close-put <sup>25</sup>
07/13/20	3.000	1,559.95	Various	1,816.06	...	-256.11	Total of 3 transactions
	<b>Security total:</b>	<b>7,184.79</b>		<b>8,317.12</b>	<b>976.12 W</b>	<b>-156.21</b>	
REGN 06/26/2020 CALL \$660.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/24/20	130.00	...	-130.00	Option expiration- long call
ROKU 07/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	5.000	569.97	07/14/20	515.00	...	54.97	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	569.98	07/14/20	505.00	...	64.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	10.000	1,139.95	Various	1,020.00	...	119.95	Total of 2 transactions
ROKU 07/17/2020 CALL \$240.00 / CUSIP: / Symbol:							
06/18/20	2.000	121.98	06/17/20	102.00	...	19.98	Option sale to close-call <sup>25</sup>
ROKU 08/07/2020 CALL \$182.50 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	08/05/20	277.00	...	-277.00	Option expiration- long call
ROKU 08/07/2020 CALL \$185.00 / CUSIP: / Symbol:							
08/07/20	1.000	234.98	08/07/20	0.00	...	234.98	Short sale closed- call expired Option written: 08/05/20
ROKU 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
06/10/20	1.000	949.96	06/08/20	570.00	...	379.96	Option sale to close-call <sup>25</sup>
ROKU 08/21/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	08/03/20	304.00	...	-4.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	08/03/20	287.00	...	12.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/05/20	2.000	599.96	Various	591.00	...	8.96	Total of 2 transactions

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Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU 10/09/2020 PUT \$180.00 / CUSIP: / Symbol:							
4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/01/20	160.00	...	-160.00	1 of 4 - Option expiration- long put
	1.000	0.00	10/01/20	154.00	...	-154.00	2 of 4 - Option expiration- long put
	1.000	0.00	10/01/20	115.00	...	-115.00	3 of 4 - Option expiration- long put
	1.000	0.00	10/01/20	109.00	...	-109.00	4 of 4 - Option expiration- long put
10/09/20	4.000	0.00	Various	538.00	...	-538.00	Total of 4 transactions
RRC 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	2.000	9.98	06/08/20	100.00	...	-90.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.98	06/08/20	50.00	...	-45.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/18/20	3.000	14.96	Various	150.00	...	-135.04	Total of 2 transactions
RRR 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
05/21/20	3.000	434.98	05/20/20	375.00	...	59.98	Option sale to close-call <sup>25</sup>
RTX 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	52.99	06/08/20	164.00	...	-111.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	53.00	06/08/20	164.00	...	-111.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	105.99	06/11/20	114.00	...	-8.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/18/20	4.000	211.98	Various	442.00	...	-230.02	Total of 3 transactions
SAIL 12/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/08/20	1.000	419.98	05/07/20	339.00	...	80.98	Option sale to close-call <sup>25</sup>
SAVE 08/21/2020 CALL \$17.50 / CUSIP: / Symbol:							
08/06/20	1.000	114.98	08/04/20	110.00	...	4.98	Option sale to close-call <sup>25</sup>
SBAC 07/17/2020 CALL \$350.00 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	06/19/20	180.00	...	-180.00	Option expiration- long call
SBUX 07/31/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/29/20	1.000	30.98	07/22/20	112.00	...	-81.02	Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SBUX 09/04/2020 CALL \$84.00 / CUSIP: / Symbol:							
08/31/20	1.000	159.98	08/28/20	150.00	...	9.98	Option sale to close-call <sup>25</sup>
SFIX 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/15/20	1.000	419.98	05/13/20	317.00	...	102.98	Option sale to close-call <sup>25</sup>
SGMO 07/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
06/18/20	2.000	49.98	06/11/20	280.00	...	-230.02	Option sale to close-call <sup>25</sup>
SGMS 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
06/05/20	1.000	619.97	06/03/20	570.00	...	49.97	Option sale to close-call <sup>25</sup>
SHAK 05/15/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/04/20	5.000	474.97	05/04/20	250.00	...	224.97	Option sale to close-call <sup>25</sup>
SHAK 05/15/2020 CALL \$65.00 / CUSIP: / Symbol:							
05/15/20	5.000	0.00	05/04/20	125.00	...	-125.00	Option expiration- long call
SHAK 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/05/20	1.000	134.98	06/04/20	45.00	...	89.98	Option sale to close-call <sup>25</sup>
SHAK 07/31/2020 CALL \$58.00 / CUSIP: / Symbol:							
06/23/20	3.000	839.97	06/22/20	720.00	...	119.97	Option sale to close-call <sup>25</sup>
SHOP 09/04/2020 CALL \$1,150.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	1,199.96	08/28/20	600.00	...	599.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,289.96	08/31/20	370.00	...	919.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	2,489.92	Various	970.00	...	1,519.92	Total of 2 transactions
SHOP 09/18/2020 CALL \$1,150.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	09/02/20	3,670.00	...	-3,670.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/08/20	185.00	...	-185.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	3,855.00	...	-3,855.00	Total of 2 transactions
SHW 07/17/2020 CALL \$660.00 / CUSIP: / Symbol:							
06/17/20	2.000	269.98	06/11/20	204.00	...	65.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SIG 06/19/2020 CALL \$12.00 / CUSIP: / Symbol:							
06/03/20	2.000	309.98	05/22/20	190.00	...	119.98	Option sale to close-call <sup>25</sup>
SLV 08/28/2020 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	07/22/20	110.00	...	29.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.99	07/23/20	146.00	...	-6.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	2.000	279.98	Various	256.00	...	23.98	Total of 2 transactions
SMAR 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/18/20	1.000	69.98	06/09/20	60.00	...	9.98	Option sale to close-call <sup>25</sup>
SNAP 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	354.99	12/03/20	365.00	...	-10.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	354.98	12/03/20	355.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/04/20	2.000	709.97	Various	720.00	...	-10.03	Total of 2 transactions
SNAP 05/22/2020 CALL \$16.50 / CUSIP: / Symbol:							
05/18/20	1.000	123.98	05/15/20	94.00	...	29.98	Option sale to close-call <sup>25</sup>
SNAP 05/29/2020 CALL \$18.50 / CUSIP: / Symbol:							
05/28/20	1.000	7.98	05/21/20	25.00	...	-17.02	Option sale to close-call <sup>25</sup>
SNAP 08/21/2020 CALL \$22.50 / CUSIP: / Symbol:							
5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/13/20	41.00	...	-41.00	1 of 5 - Option expiration- long call
	1.000	0.00	08/14/20	43.00	...	-43.00	2 of 5 - Option expiration- long call
	1.000	0.00	08/14/20	43.00	...	-43.00	3 of 5 - Option expiration- long call
	1.000	0.00	08/14/20	43.00	...	-43.00	4 of 5 - Option expiration- long call
	1.000	0.00	08/14/20	34.00	...	-34.00	5 of 5 - Option expiration- long call
08/21/20	5.000	0.00	Various	204.00	...	-204.00	Total of 5 transactions
SNBR 08/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	07/14/20	155.00	...	-155.00	Option expiration- long call

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNOW 11/06/2020 CALL \$262.50 / CUSIP: / Symbol: 10/29/20	1.000	1,556.95	10/28/20	1,253.00	...	303.95	Option sale to close-call <sup>25</sup>
SNOW 11/06/2020 CALL \$265.00 / CUSIP: / Symbol: 10/30/20	1.000	-287.04	10/29/20	0.00	...	-287.04	Short sale closed- call <sup>25</sup> Option written: 10/28/20
SNPS 06/19/2020 CALL \$200.00 / CUSIP: / Symbol: 06/09/20	1.000	129.98	06/02/20	110.00	...	19.98	Option sale to close-call <sup>25</sup>
SONO 06/19/2020 CALL \$15.00 / CUSIP: / Symbol: 06/16/20	2.000	31.98	06/09/20	100.00	...	-68.02	Option sale to close-call <sup>25</sup>
SONO 08/21/2020 CALL \$20.00 / CUSIP: / Symbol: 07/23/20	1.000	104.98	07/22/20	120.00	...	-15.02	Option sale to close-call <sup>25</sup>
SPCE 09/18/2020 CALL \$18.50 / CUSIP: / Symbol: 08/31/20	1.000	139.98	08/26/20	142.00	...	-2.02	Option sale to close-call <sup>25</sup>
SPWR 08/21/2020 CALL \$10.00 / CUSIP: / Symbol: 07/15/20	2.000	345.98	07/14/20	250.00	...	95.98	Option sale to close-call <sup>25</sup>
SPY 05/29/2020 PUT \$290.00 / CUSIP: / Symbol: 05/13/20	1.000	837.97	05/12/20	514.00	...	323.97	Option sale to close-put <sup>25</sup>
SPY 06/19/2020 CALL \$322.00 / CUSIP: / Symbol: 2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.	5.000 1.000 6.000	169.98 34.00 203.98	06/11/20 06/11/20 Various	485.00 56.00 541.00	... ... ...	-315.02 -22.00 -337.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
SPY 07/17/2020 CALL \$338.00 / CUSIP: / Symbol: 2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.	5.000 5.000 10.000	244.98 244.98 489.96	06/16/20 06/17/20 Various	470.00 530.00 1,000.00	... ... ...	-225.02 -285.02 -510.04	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/27/2020 CALL \$324.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	10.000	559.96	07/24/20	680.00	...	-120.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	56.00	07/24/20	72.00	...	-16.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	11.000	615.96	Various	752.00	...	-136.04	Total of 2 transactions
SPY 08/19/2020 CALL \$338.00 / CUSIP: / Symbol:							
08/18/20	1.000	169.98	08/14/20	163.00	...	6.98	Option sale to close-call <sup>25</sup>
SPY 08/21/2020 CALL \$315.00 / CUSIP: / Symbol:							
07/02/20	2.000	1,829.94	06/25/20	1,736.00	...	93.94	Option sale to close-call <sup>25</sup>
SPY 08/21/2020 PUT \$330.00 / CUSIP: / Symbol:							
8 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	2.000	51.99	08/07/20	654.00	...	-602.01	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/07/20	304.00	...	-278.00	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/10/20	208.00	...	-182.00	3 of 8 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/11/20	160.00	...	-134.00	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	25.99	08/12/20	146.00	...	-120.01	5 of 8 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/13/20	108.00	...	-82.00	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/14/20	101.00	...	-75.00	7 of 8 - Option sale to close-put <sup>25</sup>
	1.000	25.99	08/14/20	100.00	...	-74.01	8 of 8 - Option sale to close-put <sup>25</sup>
08/19/20	9.000	233.97	Various	1,781.00	...	-1,547.03	Total of 8 transactions
SPY 08/31/2020 PUT \$336.00 / CUSIP: / Symbol:							
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	08/10/20	576.00	...	-276.01	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	299.99	08/13/20	448.00	...	-148.01	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	299.99	08/19/20	244.00	...	55.99	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	299.99	08/19/20	244.00	...	55.99	4 of 4 - Option sale to close-put <sup>25</sup>
08/19/20	4.000	1,199.96	Various	1,512.00	...	-312.04	Total of 4 transactions

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Robinhood Securities LLC

Account 933425936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/04/2020 PUT \$343.00 / CUSIP: / Symbol:							
6 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	281.98	08/26/20	462.01	...	-180.03	1 of 6 - Option sale to close-put <sup>25</sup>
	2.000	351.99	08/26/20	492.00	...	-140.01	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	176.00	08/26/20	271.00	...	-95.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	175.99	08/26/20	253.00	...	-77.01	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	176.00	08/26/20	238.00	...	-62.00	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	175.99	08/26/20	230.00	...	-54.01	6 of 6 - Option sale to close-put <sup>25</sup>
08/27/20	7.000	1,337.95	Various	1,946.01	...	-608.06	Total of 6 transactions
08/27/20	1.000	175.99	08/24/20	441.00	265.01 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,513.94</b>		<b>2,387.01</b>	<b>265.01 W</b>	<b>-608.06</b>	
SPY 10/16/2020 PUT \$340.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	820.98	08/27/20	794.00	...	26.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	820.97	08/27/20	757.00	...	63.97	2 of 2 - Option sale to close-put <sup>25</sup>
08/27/20	2.000	1,641.95	Various	1,551.00	...	90.95	Total of 2 transactions
08/28/20	1.000	796.97	08/27/20	730.00	...	66.97	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,438.92</b>		<b>2,281.00</b>	...	<b>157.92</b>	
SPY 10/30/2020 CALL \$343.00 / CUSIP: / Symbol:							
10/29/20	1.000	8.98	10/27/20	189.00	...	-180.02	Option sale to close-call <sup>25</sup>
SPY 10/30/2020 PUT \$340.00 / CUSIP: / Symbol:							
10/26/20	1.000	428.98	10/26/20	257.00	...	171.98	Option sale to close-put <sup>25</sup>
SPY 11/02/2020 PUT \$325.00 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	355.98	10/29/20	295.00	...	60.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	388.98	10/29/20	295.00	...	93.98	2 of 2 - Option sale to close-put <sup>25</sup>
10/30/20	2.000	744.96	Various	590.00	...	154.96	Total of 2 transactions
SPY 11/06/2020 PUT \$320.00 / CUSIP: / Symbol:							
11/06/20	1.000	0.00	11/02/20	321.00	...	-321.00	Option expiration- long put

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPY 11/06/2020 PUT \$327.00 / CUSIP: / Symbol:							
11/03/20	1.000	331.98	11/02/20	532.00	...	-200.02	Option sale to close-put <sup>25</sup>
SQ 06/12/2020 PUT \$87.50 / CUSIP: / Symbol:							
06/10/20	1.000	79.98	06/05/20	221.00	...	-141.02	Option sale to close-put <sup>25</sup>
SRG 01/15/2021 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	479.98	07/02/20	420.00	...	59.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.99	07/13/20	155.00	...	84.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/20	3.000	719.97	Various	575.00	...	144.97	Total of 2 transactions
STAY 10/16/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/08/20	1.000	219.98	06/01/20	225.00	...	-5.02	Option sale to close-call <sup>25</sup>
STM 10/16/2020 CALL \$35.00 / CUSIP: / Symbol:							
08/26/20	2.000	129.98	08/12/20	154.00	...	-24.02	Option sale to close-call <sup>25</sup>
STMP 08/07/2020 CALL \$325.00 / CUSIP: / Symbol:							
08/05/20	1.000	539.97	07/31/20	490.00	...	49.97	Option sale to close-call <sup>25</sup>
STNG 05/15/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	04/28/20	210.00	...	-210.00	Option expiration- long call
STZ 08/07/2020 CALL \$187.50 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	07/28/20	118.00	...	-118.00	Option expiration- long call
T 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	35.00	07/09/20	41.03	...	-6.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	34.99	07/09/20	37.03	...	-2.04	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.00	07/09/20	37.00	...	-2.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	69.99	07/09/20	68.01	...	1.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/10/20	5.000	174.98	Various	183.07	...	-8.09	Total of 4 transactions
6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	4.000	139.98	07/09/20	152.00	6.01 W	-6.01	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	34.98	07/09/20	38.00	3.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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T 07/17/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	2.000	69.99	07/09/20	76.00	6.01 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	34.99	07/09/20	41.02	6.03 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	34.98	07/09/20	41.01	6.03 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	35.00	07/09/20	41.00	6.00 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
07/10/20	10.000	349.92	Various	389.03	33.10 W	-6.01	Total of 6 transactions
	<b>Security total:</b>	<b>524.90</b>		<b>572.10</b>	<b>33.10 W</b>	<b>-14.10</b>	
TECD 09/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
06/19/20	3.000	14.98	05/21/20	240.00	...	-225.02	Option sale to close-call <sup>25</sup>
TGT 05/22/2020 CALL \$135.00 / CUSIP: / Symbol:							
05/19/20	3.000	278.98	05/12/20	210.00	...	68.98	Option sale to close-call <sup>25</sup>
TJX 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
05/20/20	2.000	1,001.96	05/18/20	900.00	...	101.96	Option sale to close-call <sup>25</sup>
TJX 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	109.99	06/18/20	390.03	...	-280.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	55.00	06/18/20	95.00	...	-40.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	54.99	06/18/20	60.00	...	-5.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	54.99	06/18/20	60.00	...	-5.01	4 of 4 - Option sale to close-call <sup>25</sup>
06/19/20	5.000	274.97	Various	605.03	...	-330.06	Total of 4 transactions
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	109.98	06/08/20	310.00	200.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	109.99	06/10/20	380.02	270.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	55.00	06/10/20	90.00	35.00 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/19/20	5.000	274.97	Various	780.02	505.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>549.94</b>		<b>1,385.05</b>	<b>505.05 W</b>	<b>-330.06</b>	

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Robinhood Securities LLC

Account 933425936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TJX 10/16/2020 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	249.99	08/31/20	250.00	...	-0.01	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	374.99	09/02/20	390.00	...	-15.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	124.99	09/02/20	125.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
09/03/20	6.000	749.97	Various	765.00	...	-15.03	Total of 3 transactions
TLRY 05/29/2020 CALL \$8.50 / CUSIP: / Symbol:							
05/19/20	1.000	60.98	05/08/20	58.00	...	2.98	Option sale to close-call <sup>25</sup>
TLRY 08/07/2020 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	2.000	63.99	07/28/20	106.00	...	-42.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	31.99	07/28/20	52.00	...	-20.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/20	3.000	95.98	Various	158.00	...	-62.02	Total of 2 transactions
TLRY 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
07/13/20	10.000	1,279.95	06/18/20	1,950.00	670.05 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	10.000	869.96	06/24/20	1,970.05	...	-1,100.09	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	87.00	07/10/20	109.00	...	-22.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	260.98	07/13/20	315.00	...	-54.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/17/20	14.000	1,217.94	Various	2,394.05	...	-1,176.11	Total of 3 transactions
	<b>Security total:</b>	<b>2,497.89</b>		<b>4,344.05</b>	<b>670.05 W</b>	<b>-1,176.11</b>	
TSLA 05/22/2020 CALL \$890.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/21/20	29.00	...	-29.00	Option expiration- long call
TSLA 06/12/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
06/09/20	1.000	749.97	06/09/20	540.00	...	209.97	Option sale to close-call <sup>25</sup>

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Account 933425936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 08/21/2020 CALL \$1,920.00 / CUSIP: / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	1,244.96	08/03/20	860.00	...	384.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,427.95	08/05/20	485.00	...	942.95	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	974.96	08/11/20	114.00	...	860.96	3 of 3 - Option sale to close-call <sup>25</sup>
08/13/20	3.000	3,647.87	Various	1,459.00	...	2,188.87	Total of 3 transactions
TSLA 08/21/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
08/14/20	1.000	-1,654.07	08/13/20	0.00	...	-1,654.07	Short sale closed- call <sup>25</sup> Option written: 08/11/20
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	-335.03	08/13/20	0.00	335.03 W	0.00	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/03/20
	1.000	-1,058.05	08/13/20	0.00	1,058.05 W	0.00	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/05/20
08/14/20	2.000	-1,393.08	Various	0.00	1,393.08 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>-3,047.15</b>		<b>0.00</b>	<b>1,393.08 W</b>	<b>-1,654.07</b>	
TSLA 10/30/2020 CALL \$600.00 / CUSIP: / Symbol:							
10/13/20	1.000	304.99	10/09/20	299.00	...	5.99	Option sale to close-call <sup>25</sup>
10/13/20	1.000	304.98	10/09/20	310.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
10/14/20	1.000	449.98	10/12/20	270.02	...	179.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,059.95</b>		<b>879.02</b>	<b>5.02 W</b>	<b>185.95</b>	
TTD 05/01/2020 CALL \$270.00 / CUSIP: / Symbol:							
04/23/20	1.000	799.97	04/20/20	430.00	...	369.97	Option sale to close-call <sup>25</sup>
TWLO 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/17/20	1.000	1,214.96	06/16/20	925.00	...	289.96	Option sale to close-call <sup>25</sup>
TWTR 05/29/2020 CALL \$33.00 / CUSIP: / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	125.98	05/21/20	82.00	...	43.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	251.98	05/21/20	164.00	...	87.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	251.98	05/21/20	164.00	...	87.98	3 of 3 - Option sale to close-call <sup>25</sup>
05/26/20	5.000	629.94	Various	410.00	...	219.94	Total of 3 transactions

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TWTR 07/02/2020 CALL \$36.00 / CUSIP: / Symbol:							
06/19/20	4.000	255.98	06/16/20	408.00	...	-152.02	Option sale to close-call <sup>25</sup>
TWTR 09/18/2020 CALL \$31.00 / CUSIP: / Symbol:							
07/01/20	2.000	639.97	06/26/20	580.00	...	59.97	Option sale to close-call <sup>25</sup>
TWTR 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	159.99	06/09/20	241.00	...	-81.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	319.98	06/17/20	362.00	...	-42.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/19/20	3.000	479.97	Various	603.00	...	-123.03	Total of 2 transactions
TXN 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/03/20	1.000	1,239.96	06/02/20	900.00	...	339.96	Option sale to close-call <sup>25</sup>
UA 10/16/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	60.99	07/28/20	56.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	60.99	07/28/20	56.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/20	2.000	121.98	Various	112.00	...	9.98	Total of 2 transactions
UAL 07/17/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	829.97	06/18/20	1,020.00	...	-190.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	414.99	06/18/20	465.00	...	-50.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/19/20	3.000	1,244.96	Various	1,485.00	...	-240.04	Total of 2 transactions
UBER 05/01/2020 CALL \$29.00 / CUSIP: / Symbol:							
04/28/20	2.000	501.97	04/23/20	244.00	...	257.97	Option sale to close-call <sup>25</sup>
UBER 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
8 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	2.000	69.98	06/24/20	100.00	...	-30.02	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	69.98	06/24/20	100.00	...	-30.02	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/24/20	50.00	...	-15.02	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/24/20	50.00	...	-15.02	4 of 8 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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UBER 07/17/2020 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.98	06/24/20	50.00	...	-15.02	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/24/20	50.00	...	-15.02	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/24/20	50.00	...	-15.02	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/24/20	50.00	...	-15.02	8 of 8 - Option sale to close-call <sup>25</sup>
06/30/20	10.000	349.84	Various	500.00	...	-150.16	Total of 8 transactions
UBER 07/31/2020 CALL \$28.50 / CUSIP: / Symbol:							
06/30/20	2.000	739.97	06/24/20	770.00	...	-30.03	Option sale to close-call <sup>25</sup>
UBER 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	74.99	07/06/20	78.00	...	-3.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	74.99	07/06/20	78.00	...	-3.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	149.98	Various	156.00	...	-6.02	Total of 2 transactions
UNH 07/31/2020 CALL \$340.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/14/20	131.00	...	-131.00	Option expiration- long call
UVXY 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/24/20	1.000	839.97	06/23/20	548.00	...	291.97	Option sale to close-call <sup>25</sup>
UVXY 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/25/20	1.000	-109.02	06/24/20	0.00	...	-109.02	Short sale closed- call <sup>25</sup> Option written: 06/23/20
UVXY 08/21/2020 CALL \$21.00 / CUSIP: / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	5.000	229.98	08/18/20	270.00	...	-40.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	45.98	08/18/20	54.00	...	-8.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	45.98	08/18/20	54.00	...	-8.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/18/20	7.000	321.94	Various	378.00	...	-56.06	Total of 3 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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UVXY 09/11/2020 CALL \$30.50 / CUSIP: / Symbol:							
6 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	60.98	09/08/20	175.01	...	-114.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	60.98	09/08/20	173.01	...	-112.03	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	121.99	09/08/20	172.00	...	-50.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	60.98	09/08/20	103.00	...	-42.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	61.00	09/08/20	87.00	...	-26.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	60.99	09/08/20	72.00	...	-11.01	6 of 6 - Option sale to close-call <sup>25</sup>
09/08/20	7.000	426.92	Various	782.02	...	-355.10	Total of 6 transactions
5 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	60.99	09/08/20	114.00	53.01 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	60.99	09/08/20	117.00	56.01 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	61.00	09/08/20	166.01	105.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	61.00	09/08/20	164.01	103.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	61.00	09/08/20	96.00	35.00 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/08/20	5.000	304.98	Various	657.02	352.04 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>731.90</b>		<b>1,439.04</b>	<b>352.04 W</b>	<b>-355.10</b>	
UVXY 09/18/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	378.98	09/03/20	320.00	...	58.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	378.98	09/03/20	320.00	...	58.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	757.96	Various	640.00	...	117.96	Total of 2 transactions
UVXY 11/20/2020 CALL \$17.00 / CUSIP: / Symbol:							
10/19/20	1.000	304.98	10/16/20	305.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
10/20/20	1.000	347.98	10/19/20	328.02	...	19.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>652.96</b>		<b>633.02</b>	<b>0.02 W</b>	<b>19.96</b>	
UVXY 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
10/21/20	1.000	-1.02	10/20/20	0.00	...	-1.02	Short sale closed- call <sup>25</sup> Option written: 10/19/20
V 07/17/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/13/20	1.000	31.98	07/10/20	24.00	...	7.98	Option sale to close-call <sup>25</sup>

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Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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V 07/24/2020 CALL \$195.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	444.99	07/13/20	415.00	...	29.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	444.98	07/13/20	288.00	...	156.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	2.000	889.97	Various	703.00	...	186.97	Total of 2 transactions
V 08/21/2020 CALL \$202.50 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	319.98	08/10/20	132.00	...	187.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	329.98	08/10/20	133.00	...	196.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	649.96	Various	265.00	...	384.96	Total of 2 transactions
VIPS 07/17/2020 CALL \$21.00 / CUSIP: / Symbol:							
06/19/20	1.000	154.98	06/18/20	155.00	...	-0.02	Option sale to close-call <sup>25</sup>
VOD 08/21/2020 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	45.99	07/17/20	44.00	...	1.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	45.99	07/17/20	44.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	91.98	Various	88.00	...	3.98	Total of 2 transactions
VSLR 06/19/2020 CALL \$8.00 / CUSIP: / Symbol:							
05/20/20	3.000	119.98	05/07/20	120.00	...	-0.02	Option sale to close-call <sup>25</sup>
VZ 10/23/2020 CALL \$60.00 / CUSIP: / Symbol:							
10/14/20	2.000	97.98	10/13/20	152.00	...	-54.02	Option sale to close-call <sup>25</sup>
WEN 07/17/2020 CALL \$23.00 / CUSIP: / Symbol:							
07/01/20	2.000	113.98	06/30/20	130.00	...	-16.02	Option sale to close-call <sup>25</sup>
WFC 05/15/2020 PUT \$21.00 / CUSIP: / Symbol:							
05/14/20	1.000	13.98	05/13/20	14.00	...	-0.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 08/14/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	08/10/20	200.00	...	-200.00	1 of 2 - Option expiration- long call
	3.000	0.00	08/10/20	150.00	...	-150.00	2 of 2 - Option expiration- long call
08/14/20	7.000	0.00	Various	350.00	...	-350.00	Total of 2 transactions
WMT 08/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/19/20	5.000	1,974.94	06/18/20	1,950.00	...	24.94	Option sale to close-call <sup>25</sup>
WMT 08/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	173.99	07/22/20	154.00	...	19.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	173.99	07/22/20	154.00	...	19.99	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	1,739.95	07/22/20	1,560.00	...	179.95	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/20	12.000	2,087.93	Various	1,868.00	...	219.93	Total of 3 transactions
07/27/20	1.000	96.98	07/24/20	206.02	...	-109.04	Option sale to close-call <sup>25</sup>
07/27/20	1.000	96.98	07/23/20	179.00	82.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,281.89</b>		<b>2,253.02</b>	<b>82.02 W</b>	<b>110.89</b>	
WMT 11/20/2020 CALL \$150.00 / CUSIP: / Symbol:							
10/12/20	1.000	344.98	10/06/20	345.00	...	-0.02	Option sale to close-call <sup>25</sup>
WORK 06/19/2020 CALL \$39.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/04/20	260.00	...	-260.00	Option expiration- long call
WORK 08/21/2020 CALL \$30.50 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	08/06/20	348.00	...	-348.00	Option expiration- long call
XLNX 07/10/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	07/06/20	61.00	...	-61.00	Option expiration- long call
XLNX 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/06/20	5.000	779.97	07/01/20	745.00	...	34.97	Option sale to close-call <sup>25</sup>
XLNX 08/07/2020 CALL \$125.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	07/30/20	45.00	...	-45.00	Option expiration- long call

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Account 933425936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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XLNX 08/21/2020 CALL \$107.00 / CUSIP: / Symbol:							
07/29/20	1.000	424.98	07/28/20	355.00	...	69.98	Option sale to close-call <sup>25</sup>
XLNX 08/21/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/27/20	4.000	1,279.96	07/07/20	1,124.00	...	155.96	Option sale to close-call <sup>25</sup>
	2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	1.000	324.99	07/15/20	216.00	...	108.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	324.98	07/28/20	234.00	...	90.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/20	2.000	649.97	Various	450.00	...	199.97	Total of 2 transactions
	<b>Security total:</b>	<b>1,929.93</b>		<b>1,574.00</b>	...	<b>355.93</b>	
XOM 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
	3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	177.98	07/09/20	138.00	...	39.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	177.98	07/09/20	138.00	...	39.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	533.97	07/09/20	414.00	...	119.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/13/20	5.000	889.93	Various	690.00	...	199.93	Total of 3 transactions
Z 07/31/2020 CALL \$66.00 / CUSIP: / Symbol:							
07/07/20	2.000	567.97	07/06/20	424.00	...	143.97	Option sale to close-call <sup>25</sup>
ZM 12/11/2020 CALL \$412.50 / CUSIP: / Symbol:							
12/08/20	1.000	931.96	12/04/20	1,336.00	...	-404.04	Option sale to close-call <sup>25</sup>
ZM 12/11/2020 CALL \$417.50 / CUSIP: / Symbol:							
12/09/20	1.000	392.96	12/08/20	0.00	...	392.96	Short sale closed- call <sup>25</sup> Option written: 12/04/20
ZNGA 06/19/2020 CALL \$7.00 / CUSIP: / Symbol:							
05/11/20	2.000	205.98	04/28/20	208.00	...	-2.02	Option sale to close-call <sup>25</sup>
ZS 08/21/2020 CALL \$115.00 / CUSIP: / Symbol:							
06/18/20	2.000	2,299.93	06/18/20	2,000.00	...	299.93	Option sale to close-call <sup>25</sup>
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
05/06/20	200.000	400.04	03/20/20	434.00	...	-33.96	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALLOGENE THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 019770106 / Symbol:							
07/02/20	16.000	695.82	06/25/20	706.72	...	-10.90	Sale <sup>25</sup>
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol:							
06/17/20	50.000	695.73	06/16/20	628.50	...	67.23	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
07/02/20	50.000	645.08	05/06/20	459.50	...	185.58	Sale <sup>25</sup>
ANAPLAN, INC. / CUSIP: 03272L108 / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	10.000	609.98	08/25/20	475.70	...	134.28	1 of 3 - Sale <sup>25</sup>
	10.000	609.98	08/25/20	475.70	...	134.28	2 of 3 - Sale <sup>25</sup>
	10.000	609.99	08/25/20	474.74	...	135.25	3 of 3 - Sale <sup>25</sup>
08/26/20	30.000	1,829.95	Various	1,426.14	...	403.81	Total of 3 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	4.000	42.60	08/14/20	43.36	...	-0.76	1 of 2 - Sale <sup>25</sup>
	1.000	10.65	08/14/20	10.84	...	-0.19	2 of 2 - Sale <sup>25</sup>
08/17/20	5.000	53.25	Various	54.20	...	-0.95	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
07/02/20	2.000	365.38	06/29/20	369.18	...	-3.80	Sale <sup>25</sup>
BRISTOL-MYERS SQUIBB CO. / CUSIP: 110122108 / Symbol:							
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	10.000	579.50	06/22/20	574.08	...	5.42	1 of 3 - Sale <sup>25</sup>
	30.000	1,743.01	06/23/20	1,758.30	...	-15.29	2 of 3 - Sale <sup>25</sup>
	10.000	581.00	06/23/20	592.70	...	-11.70	3 of 3 - Sale <sup>25</sup>
06/25/20	50.000	2,903.51	Various	2,925.08	...	-21.57	Total of 3 transactions
06/25/20	10.000	579.50	06/23/20	586.10	6.60 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,483.01</b>		<b>3,511.18</b>	<b>6.60 W</b>	<b>-21.57</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
05/27/20	5.000	326.10	05/26/20	327.33	...	-1.23	Sale <sup>25</sup>
05/29/20	5.000	328.85	05/26/20	327.33	...	1.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>654.95</b>		<b>654.66</b>	...	<b>0.29</b>	
CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
10/07/20	25.000	1,068.75	10/06/20	1,071.17	...	-2.42	Sale <sup>25</sup>
COUPA SOFTWARE INCORPORATED COMMON STOCK / CUSIP: 22266L106 / Symbol:							
06/09/20	2.000	458.50	06/08/20	441.32	...	17.18	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON STOCK / CUSIP: 23804L103 / Symbol:							
05/12/20	5.000	327.68	05/11/20	292.50	...	35.18	Sale <sup>25</sup>
DIREXION DAILY AEROSPACE & DEFENSE BULL 3X SHARES / CUSIP: 25460E661 / Symbol:							
05/12/20	100.000	972.06	05/04/20	948.95	...	23.11	Sale <sup>25</sup>
ESPORTS ENTERTAINMENT GROUP INC. COMMON STOCK / CUSIP: 29667K306 / Symbol:							
06/19/20	2.000	13.88	06/03/20	16.38	...	-2.50	Sale <sup>25</sup>
GNC HLDGS INC COMMON STOCK / CUSIP: 36191G107 / Symbol:							
	6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	97.000	59.15	05/22/20	55.01	...	4.14	1 of 6 - Sale <sup>25</sup>
	100.000	60.98	05/22/20	56.71	...	4.27	2 of 6 - Sale <sup>25</sup>
	100.000	60.98	05/22/20	56.71	...	4.27	3 of 6 - Sale <sup>25</sup>
	103.000	62.81	05/22/20	58.41	...	4.40	4 of 6 - Sale <sup>25</sup>
	200.000	121.96	05/22/20	113.42	...	8.54	5 of 6 - Sale <sup>25</sup>
	400.000	243.91	05/22/20	226.84	...	17.07	6 of 6 - Sale <sup>25</sup>
05/26/20	1,000.000	609.79	Various	567.10	...	42.69	Total of 6 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	100.000	153.99	08/05/20	159.77	...	-5.78	1 of 2 - Sale <sup>25</sup>
	100.000	153.99	08/05/20	157.71	...	-3.72	2 of 2 - Sale <sup>25</sup>
08/06/20	200.000	307.98	Various	317.48	...	-9.50	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
06/26/20	200.000	740.44	06/23/20	840.00	...	-99.56	Sale <sup>25</sup>
MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
07/28/20	3.000	613.24	07/27/20	623.23	...	-9.99	Sale <sup>25</sup>
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
06/09/20	6.000	420.00	06/08/20	270.42	...	149.58	Sale <sup>25</sup>
	3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	72.30	06/08/20	45.07	...	27.23	1 of 3 - Sale <sup>25</sup>
	28.000	2,024.35	06/24/20	2,025.38	...	-1.03	2 of 3 - Sale <sup>25</sup>
	1.000	72.30	06/24/20	72.33	...	-0.03	3 of 3 - Sale <sup>25</sup>
06/26/20	30.000	2,168.95	Various	2,142.78	...	26.17	Total of 3 transactions
07/02/20	1.000	62.60	06/24/20	72.33	...	-9.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,651.55</b>		<b>2,485.53</b>	...	<b>166.02</b>	
PROSHARES ULTRAPRO SHORT DOW 3 0 / CUSIP: 74347G309 / Symbol:							
06/12/20	15.000	364.50	06/11/20	362.55	...	1.95	Sale <sup>25</sup>
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
08/20/20	30.000	629.38	08/19/20	604.80	...	24.58	Sale <sup>25</sup>
08/20/20	20.000	419.59	08/07/20	442.50	22.91 W	0.00	Sale <sup>25</sup>
08/31/20	20.000	452.60	08/19/20	426.11	...	26.49	Sale <sup>25</sup>
09/03/20	10.000	295.30	09/01/20	231.10	...	64.20	Sale <sup>25</sup>
10/20/20	50.000	889.98	10/16/20	818.81	...	71.17	Sale <sup>25</sup>
11/06/20	30.000	462.15	10/21/20	537.78	...	-75.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,149.00</b>		<b>3,061.10</b>	<b>22.91 W</b>	<b>110.81</b>	
RACKSPACE TECHNOLOGY, INC. COM MON STOCK / CUSIP: 750102105 / Symbol:							
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	10.000	203.00	08/31/20	215.50	...	-12.50	1 of 2 - Sale <sup>25</sup>
	10.000	203.00	08/31/20	205.70	...	-2.70	2 of 2 - Sale <sup>25</sup>
09/10/20	20.000	406.00	Various	421.20	...	-15.20	Total of 2 transactions
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
06/19/20	10.000	645.98	06/03/20	668.89	...	-22.91	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

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02/14/2021

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	0.271	1.17	08/03/20	1.00	...	0.17	1 of 2 - Sale <sup>25</sup>
	3.000	12.95	08/03/20	11.15	...	1.80	2 of 2 - Sale <sup>25</sup>
08/05/20	3.271	14.12	Various	12.15	...	1.97	Total of 2 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	136.56	05/06/20	128.54	...	8.02	1 of 2 - Sale <sup>25</sup>
	4.000	545.91	05/06/20	514.16	...	31.75	2 of 2 - Sale <sup>25</sup>
05/07/20	5.000	682.47	Various	642.70	...	39.77	Total of 2 transactions
SAGE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 78667J108 / Symbol:							
06/16/20	50.000	1,879.44	05/27/20	1,861.25	...	18.19	Sale <sup>25</sup>
06/18/20	50.000	2,007.84	05/27/20	1,861.24	...	146.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,887.28</b>		<b>3,722.49</b>	...	<b>164.79</b>	
SCHWAB U.S. REIT ETF / CUSIP: 808524847 / Symbol:							
07/02/20	10.000	362.70	06/30/20	349.60	...	13.10	Sale <sup>25</sup>
SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol:							
06/24/20	100.000	1,449.08	06/23/20	1,455.00	...	-5.92	Sale <sup>25</sup>
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	40.000	1,370.52	04/17/20	1,250.40	...	120.12	1 of 2 - Sale <sup>25</sup>
	40.000	1,370.51	05/01/20	1,169.20	...	201.31	2 of 2 - Sale <sup>25</sup>
07/02/20	80.000	2,741.03	Various	2,419.60	...	321.43	Total of 2 transactions
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol:							
7 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1.40	06/02/20	1.17	...	0.23	1 of 7 - Sale <sup>25</sup>
	10.000	14.00	06/02/20	11.70	...	2.30	2 of 7 - Sale <sup>25</sup>
	20.000	28.00	06/02/20	23.40	...	4.60	3 of 7 - Sale <sup>25</sup>
	25.000	35.00	06/02/20	29.25	...	5.75	4 of 7 - Sale <sup>25</sup>
	36.000	50.39	06/02/20	42.12	...	8.27	5 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	60.000	83.99	06/02/20	70.20	...	13.79	6 of 7 - Sale <sup>25</sup>
	848.000	1,187.07	06/02/20	992.16	...	194.91	7 of 7 - Sale <sup>25</sup>
06/08/20	1,000.000	1,399.85	Various	1,170.00	...	229.85	Total of 7 transactions
TOLL BROTHERS, INC. / CUSIP: 889478103 / Symbol:							
08/26/20	30.000	1,403.97	08/25/20	1,373.39	...	30.58	Sale <sup>25</sup>
VANGUARD S&P 500 GROWTH ETF / CUSIP: 921932505 / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	185.00	02/20/20	188.72	...	-3.72	1 of 3 - Sale <sup>25</sup>
	1.000	185.51	02/20/20	188.72	...	-3.21	2 of 3 - Sale <sup>25</sup>
	1.000	187.38	02/20/20	188.72	...	-1.34	3 of 3 - Sale <sup>25</sup>
06/11/20	3.000	557.89	Various	566.16	...	-8.27	Total of 3 transactions
VANGUARD S&P 500 ETF / CUSIP: 922908363 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	285.75	01/03/20	297.16	...	-11.41	1 of 2 - Sale <sup>25</sup>
	1.000	285.75	01/03/20	297.15	...	-11.40	2 of 2 - Sale <sup>25</sup>
06/11/20	2.000	571.50	Various	594.31	...	-22.81	Total of 2 transactions
VANGUARD TOTAL STOCK MARKET ET F / CUSIP: 922908769 / Symbol:							
06/11/20	3.000	469.68	03/05/20	457.20	...	12.48	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	10.000	173.89	09/02/20	170.30	...	3.59	1 of 2 - Sale <sup>25</sup>
	20.000	347.79	09/02/20	340.40	...	7.39	2 of 2 - Sale <sup>25</sup>
09/08/20	30.000	521.68	Various	510.70	...	10.98	Total of 2 transactions
09/29/20	30.000	617.39	09/09/20	518.69	...	98.70	Sale <sup>25</sup>
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	82.000	1,592.49	10/01/20	1,634.10	...	-41.61	1 of 2 - Sale <sup>25</sup>
	18.000	349.57	10/01/20	358.71	...	-9.14	2 of 2 - Sale <sup>25</sup>
10/02/20	100.000	1,942.06	Various	1,992.81	...	-50.75	Total of 2 transactions
	<b>Security total:</b>	<b>3,081.13</b>		<b>3,022.20</b>	...	<b>58.93</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 933425936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WARNER MUSIC GROUP CORP. CLASS A COMMON STOCK / CUSIP: 934550203 / Symbol:							
07/02/20	20.000	588.98	06/04/20	620.00	...	-31.02	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/30/20	100.000	1,708.95	07/29/20	1,581.18	...	127.77	Sale <sup>25</sup>
XILINX, INC. COMMON STOCK / CUSIP: 983919101 / Symbol:							
07/31/20	1.000	107.31	07/29/20	107.41	...	-0.10	Sale <sup>25</sup>
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
10/02/20	50.000	462.50	10/01/20	472.25	...	-9.75	Sale <sup>25</sup>
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK / CUSIP: G5876H105 / Symbol:							
08/28/20	30.000	1,102.77	08/27/20	1,090.20	...	12.57	Sale <sup>25</sup>
<b>Totals :</b>		<b>293,807.82</b>		<b>309,004.27</b>	<b>8,147.54 W</b>	<b>-7,048.91</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 933425936

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD S&P 500 GROWTH ETF	921932505		03/13/20	1.34	Qualified dividend	
VANGUARD S&P 500 ETF	922908363		03/13/20	2.36	Qualified dividend	
VANGUARD TOTAL STOCK MARKET ET F	922908769		03/31/20	1.77	Qualified dividend	03
			03/31/20	0.07	Section 199A dividend	03
				<b>1.84</b>	<b>Total Dividends &amp; distributions</b>	
				<b>5.54</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 933425936

## Detail for Interest Income

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/29/20	0.46	Interest	
		04/30/20	0.05	Interest	
		05/14/20	0.17	Interest	
		05/29/20	0.46	Interest	
		06/23/20	0.09	Interest	
		06/30/20	0.25	Interest	
		07/31/20	0.35	Interest	
		08/17/20	0.06	Interest	
		08/31/20	0.28	Interest	
		09/30/20	0.25	Interest	
		10/23/20	0.16	Interest	
		10/30/20	0.12	Interest	
		11/30/20	0.07	Interest	
		12/31/20	0.15	Interest	
			2.92	Total Interest	
			<b>2.92</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 933425936

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/09/20	-5.00	Margin interest paid	
		07/09/20	-5.00	Margin interest paid	
		08/10/20	-5.00	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/07/20	-0.09	Margin interest paid	
		10/07/20	-5.00	Margin interest paid	
		11/06/20	-5.00	Margin interest paid	
		12/07/20	-5.00	Margin interest paid	
			-35.09	Total Margin interest paid	
			<b>-35.09</b>	<b>Total Margin interest paid</b>	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

#### Instructions for Recipient

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).