

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 427875146</b>	Statement Date: 02/04/2021 Document ID: EM3G V07 DH33	2020
	NELLURI VENKATARAO 6115 GALLEON DR MECHANICSBURG, PA 17050		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-2058		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      7,594.32</p> <p>1b- Qualified dividends      594.93</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      205.68</p> <p>2b- Unrecaptured Section 1250 gain      21.33</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      6,999.39</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.31</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      49,422.55</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      49,422.55</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	17,854,516.35	17,186,219.01	0.00	509,067.53	1,177,364.87
Short	B (basis not reported to the IRS)	745.72	909.81	0.00	0.00	-164.09
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Short-term</b>		<b>17,855,262.07</b>	<b>17,187,128.82</b>	<b>0.00</b>	<b>509,067.53</b>	<b>1,177,200.78</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand total</b>		<b>17,855,262.07</b>	<b>17,187,128.82</b>	<b>0.00</b>	<b>509,067.53</b>	<b>1,177,200.78</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 427875146

**Summary Information**

2020

(continued)

02/04/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	9.12
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	150.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/04/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC COM CL A / CUSIP: 02079K305 / Symbol: GOOGL							
03/19/20	10.000	10,949.76	03/16/20	10,905.00	...	44.76	Sale <sup>26</sup>
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
03/17/20	10.000	18,249.60	03/16/20	16,350.00	...	1,899.60	Sale <sup>26</sup>
AYRO INC COM / CUSIP: 054748108 / Symbol: AYRO							
07/01/20	10,000.000	27,395.74	Various	31,751.93	4,356.19 W	0.00	Total of 5 transactions
07/06/20	5,000.000	39,998.52	07/06/20	34,643.67	20.59 W	5,375.44	Sale <sup>26</sup>
07/08/20	7,000.000	48,258.79	Various	38,161.82	...	10,096.97	Total of 5 transactions
	<b>Security total:</b>	<b>115,653.05</b>		<b>104,557.42</b>	<b>4,376.78 W</b>	<b>15,472.41</b>	
BIOCRYST PHARMACEUTICALS INC COM / CUSIP: 09058V103 / Symbol: BCRX							
04/30/20	2,500.000	10,074.73	04/29/20	9,443.39	...	631.34	Sale <sup>26</sup>
04/30/20	7,900.000	31,367.04	Various	32,633.17	1,106.56 W	-159.57	Total of 8 transactions
	<b>Security total:</b>	<b>41,441.77</b>		<b>42,076.56</b>	<b>1,106.56 W</b>	<b>471.77</b>	
BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
04/28/20	1,100.000	145,062.92	Various	142,482.56	...	2,580.36	Total of 3 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOOKING HOLDINGS INC COM / CUSIP: 09857L108 / Symbol: BKNG							
03/17/20	60.000	81,578.20	Various	80,756.12	...	822.08	Total of 4 transactions
03/17/20	14.000	18,829.58	03/16/20	18,872.00	42.42 W	0.00	Sale <sup>26</sup>
03/24/20	48.000	60,238.66	Various	61,970.30	...	-1,731.64	Sale <sup>26</sup>
	<b>Security total:</b>	<b>160,646.44</b>		<b>161,598.42</b>	<b>42.42 W</b>	<b>-909.56</b>	
CHIPOTLE MEXICAN GRILL COM / CUSIP: 169656105 / Symbol: CMG							
03/24/20	20.000	12,875.34	Various	10,200.00	...	2,675.34	Total of 2 transactions
EASTMAN KODAK CO COM / CUSIP: 277461406 / Symbol: KODK							
09/16/20	5,000.000	51,018.47	Various	43,700.00	...	7,318.47	Total of 2 transactions
JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA							
10/30/20	5,000.000	83,510.30	Various	46,349.36	...	37,160.94	Total of 2 transactions
LUCKIN COFFEE INC ADR SPONSORED / CUSIP: 54951L109 / Symbol: LKNCY							
06/05/20	4,069.000	23,052.09	Various	10,782.60	...	12,269.49	Total of 2 transactions
06/23/20	10,000.000	28,298.18	05/26/20	21,700.00	...	6,598.18	Sale <sup>26</sup>
06/26/20	10,000.000	23,598.29	06/24/20	32,400.00	...	-8,801.71	Sale <sup>26</sup>
	<b>Security total:</b>	<b>74,948.56</b>		<b>64,882.60</b>	...	<b>10,065.96</b>	
MACERICH CO COM USD0.01 / CUSIP: 554382101 / Symbol: MAC							
06/26/20	5,000.000	42,374.34	Various	45,750.00	...	-3,375.66	Total of 2 transactions
NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA							
03/17/20	45.000	9,877.27	03/16/20	9,450.00	...	427.27	Sale <sup>26</sup>
ORGANIGRAM HOLDINGS INC COM / CUSIP: 68620P101 / Symbol: OGI							
02/06/20	10,000.000	24,698.30	01/23/20	28,600.00	...	-3,901.70	Sale <sup>26</sup>
RITE AID CORP COM / CUSIP: 767754872 / Symbol: RAD							
01/08/20	1,500.000	19,499.42	12/30/19	29,100.00	9,600.58 W	0.00	Sale <sup>26</sup>
02/27/20	3,200.000	39,081.79	Various	57,997.36	...	-18,915.57	Total of 2 transactions
04/24/20	3,475.000	49,830.67	Various	47,196.04	...	2,634.63	Total of 4 transactions
07/06/20	5,000.000	91,985.30	Various	70,736.50	...	21,248.80	Total of 6 transactions
	<b>Security total:</b>	<b>200,397.18</b>		<b>205,029.90</b>	<b>9,600.58 W</b>	<b>4,967.86</b>	

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU INC COM CL A / CUSIP: 77543R102 / Symbol: ROKU							
04/16/20	939.000	117,299.76	Various	99,909.60	...	17,390.16	Total of 6 transactions
SMILEDIRECTCLUB INC COM CL A / CUSIP: 83192H106 / Symbol: SDC							
01/16/20	1,500.000	21,674.37	Various	25,926.01	4,251.64 W	0.00	Sale <sup>26</sup>
01/23/20	1,590.000	22,100.35	Various	23,264.17	1,294.59 W	130.77	Sale <sup>26</sup>
01/29/20	2,000.000	27,799.18	01/29/20	28,914.39	1,180.68 W	65.47	Sale <sup>26</sup>
02/06/20	2,000.000	29,299.15	02/06/20	30,220.68	...	-921.53	Sale <sup>26</sup>
02/07/20	11.000	160.06	Various	145.70	...	14.36	Total of 2 transactions
02/10/20	989.000	14,567.65	Various	12,988.77	...	1,578.88	Total of 2 transactions
02/13/20	1,000.000	15,399.56	12/23/19	11,653.46	...	3,746.10	Sale <sup>26</sup>
	<b>Security total:</b>	<b>131,000.32</b>		<b>133,113.18</b>	<b>6,726.91 W</b>	<b>4,614.05</b>	
TILRAY INC COM / CUSIP: 88688T100 / Symbol: TLRV							
05/19/20	4,000.000	34,599.16	05/19/20	34,320.00	...	279.16	Sale <sup>26</sup>
06/23/20	10,000.000	88,996.84	06/23/20	88,675.05	...	321.79	Sale <sup>26</sup>
12/24/20	10,000.000	85,797.90	Various	106,500.00	...	-20,702.10	Total of 2 transactions
	<b>Security total:</b>	<b>209,393.90</b>		<b>229,495.05</b>	...	<b>-20,101.15</b>	
TURTLE BEACH CORP COM / CUSIP: 900450206 / Symbol: HEAR							
06/23/20	5,000.000	75,026.09	Various	71,749.64	...	3,276.45	Total of 4 transactions
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
06/29/20	7,000.000	91,526.14	Various	62,274.55	...	29,251.59	Total of 5 transactions
06/30/20	2,500.000	45,198.70	Various	42,461.77	...	2,736.93	Total of 2 transactions
07/08/20	7,000.000	119,246.53	Various	117,860.69	352.48 W	1,738.32	Total of 2 transactions
08/03/20	5,000.000	84,997.52	07/23/20	84,087.49	...	910.03	Sale <sup>26</sup>
	<b>Security total:</b>	<b>340,968.89</b>		<b>306,684.50</b>	<b>352.48 W</b>	<b>34,636.87</b>	
WYNN RESORTS LTD COM / CUSIP: 983134107 / Symbol: WYNN							
04/06/20	1,849.000	103,011.99	Various	98,393.80	...	4,618.19	Total of 3 transactions
04/27/20	1,450.000	115,362.26	Various	114,521.40	...	840.86	Total of 3 transactions
	<b>Security total:</b>	<b>218,374.25</b>		<b>212,915.20</b>	...	<b>5,459.05</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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YRC WORLDWIDE INC COM / CUSIP: 984249607 / Symbol: YRCW							
07/01/20	10,000.000	36,584.22	Various	40,301.05	...	-3,716.83	Total of 3 transactions
07/01/20	5,000.000	20,148.95	07/01/20	20,600.00	451.05 W	0.00	Sale <sup>26</sup>
	<b>Security total:</b>	<b>56,733.17</b>		<b>60,901.05</b>	<b>451.05 W</b>	<b>-3,716.83</b>	
AMERICAN AIRLINES GROUP INC AAL Jun 19 2020 17.0 Call / CUSIP: / Symbol: AAL 06/19/20 C 17.000							
06/12/20	300.000	43,773.36	Various	23,014.07	...	20,759.29	Total of 3 transactions
AMERICAN AIRLINES GROUP INC AAL Jun 19 2020 20.0 Call / CUSIP: / Symbol: AAL 06/19/20 C 20.000							
06/11/20	300.000	7,711.16	Various	28,325.07	...	-20,613.91	Total of 3 transactions
AMERICAN AIRLINES GROUP INC AAL Jul 17 2020 14.0 Call / CUSIP: / Symbol: AAL 07/17/20 C 14.000							
07/16/20	30.000	137.43	07/15/20	912.51	...	-775.08	Option sale
AMERICAN AIRLINES GROUP INC AAL Jul 31 2020 12.0 Call / CUSIP: / Symbol: AAL 07/31/20 C 12.000							
07/28/20	400.000	8,632.25	07/23/20	29,766.76	...	-21,134.51	Option sale
AMERICAN AIRLINES GROUP INC AAL Aug 14 2020 14.0 Call / CUSIP: / Symbol: AAL 08/14/20 C 14.000							
08/10/20	437.000	17,596.54	Various	16,677.19	...	919.35	Total of 2 transactions
APPLE INC AAPL May 15 2020 307.5 Call / CUSIP: / Symbol: AAPL 05/15/20 C 307.500							
05/05/20	57.000	10,962.64	05/05/20	10,697.01	...	265.63	Option sale
APPLE INC AAPL Jul 17 2020 400.0 Call / CUSIP: / Symbol: AAPL 07/17/20 C 400.000							
07/15/20	77.000	24,607.21	07/15/20	26,332.10	...	-1,724.89	Option sale
APPLE INC AAPL Aug 21 2020 495.0 Call / CUSIP: / Symbol: AAPL 08/21/20 C 495.000							
08/21/20	27.000	3,619.61	Various	3,521.26	...	98.35	Total of 2 transactions
APPLE INC AAPL Aug 21 2020 500.0 Call / CUSIP: / Symbol: AAPL 08/21/20 C 500.000							
08/21/20	50.000	7,728.88	08/21/20	6,270.85	...	1,458.03	Option sale
APPLE INC AAPL Sep 18 2020 125.0 Call / CUSIP: / Symbol: AAPL 09/18/20 C 125.000							
09/11/20	100.000	4,658.01	09/08/20	25,841.69	...	-21,183.68	Option sale
APPLE INC AAPL Jun 19 2020 345.0 Put / CUSIP: / Symbol: AAPL 06/19/20 P 345.000							
06/19/20	150.000	11,336.90	Various	9,062.54	...	2,274.36	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC AAPL Jun 19 2020 347.5 Put / CUSIP: / Symbol: AAPL 06/19/20 P 347.500							
06/19/20	150.000	8,386.98	Various	12,962.54	...	-4,575.56	Total of 2 transactions
ADOBE INC ADBE Sep 18 2020 520.0 Call / CUSIP: / Symbol: ADBE 09/18/20 C 520.000							
09/11/20	100.000	66,446.63	Various	50,081.69	...	16,364.94	Total of 6 transactions
ADOBE INC ADBE Sep 18 2020 600.0 Call / CUSIP: / Symbol: ADBE 09/18/20 C 600.000							
09/01/20	77.000	34,616.97	Various	34,682.10	...	-65.13	Total of 2 transactions
ADOBE INC ADBE Dec 04 2020 500.0 Call / CUSIP: / Symbol: ADBE 12/04/20 C 500.000							
11/27/20	50.000	6,789.55	Various	6,557.27	...	232.28	Total of 2 transactions
11/27/20	50.000	6,536.56	11/27/20	6,563.86	2.73 W	-24.57	Option sale
	<b>Security total:</b>	<b>13,326.11</b>		<b>13,121.13</b>	<b>2.73 W</b>	<b>207.71</b>	
ADVANCED MICRO DEVICES INC AMD Aug 14 2020 80.0 Call / CUSIP: / Symbol: AMD 08/14/20 C 80.000							
08/12/20	50.000	6,228.91	08/12/20	5,159.52	...	1,069.39	Option sale
08/12/20	60.000	7,174.71	08/12/20	7,465.01	159.67 W	-130.63	Option sale
	<b>Security total:</b>	<b>13,403.62</b>		<b>12,624.53</b>	<b>159.67 W</b>	<b>938.76</b>	
ADVANCED MICRO DEVICES INC AMD Aug 21 2020 81.0 Call / CUSIP: / Symbol: AMD 08/21/20 C 81.000							
08/19/20	50.000	6,498.91	Various	5,570.84	...	928.07	Total of 2 transactions
08/20/20	27.000	1,473.66	08/19/20	3,008.26	...	-1,534.60	Option sale
	<b>Security total:</b>	<b>7,972.57</b>		<b>8,579.10</b>	...	<b>-606.53</b>	
AMAZON COM INC AMZN May 15 2020 2440.0 Call / CUSIP: / Symbol: AMZN 05/15/20 C 2440.000							
05/08/20	10.000	10,193.08	05/08/20	10,106.67	...	86.41	Option sale
AMAZON COM INC AMZN May 15 2020 2470.0 Call / CUSIP: / Symbol: AMZN 05/15/20 C 2470.000							
05/11/20	10.000	11,593.06	Various	11,506.67	...	86.39	Total of 2 transactions
AMAZON COM INC AMZN May 22 2020 2485.0 Call / CUSIP: / Symbol: AMZN 05/22/20 C 2485.000							
05/15/20	8.000	6,955.69	05/15/20	5,764.13	...	1,191.56	Option sale
05/18/20	17.000	8,630.99	Various	12,248.79	...	-3,617.80	Total of 2 transactions
	<b>Security total:</b>	<b>15,586.68</b>		<b>18,012.92</b>	...	<b>-2,426.24</b>	

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC AMZN May 22 2020 2500.0 Call / CUSIP: / Symbol: AMZN 05/22/20 C 2500.000							
05/14/20	15.000	10,339.74	05/14/20	12,760.00	322.70 W	-2,097.56	Option sale
05/18/20	2.000	2,038.92	05/18/20	2,253.73	...	-214.81	Option sale
	<b>Security total:</b>	<b>12,378.66</b>		<b>15,013.73</b>	<b>322.70 W</b>	<b>-2,312.37</b>	
AMAZON COM INC AMZN May 29 2020 2475.0 Call / CUSIP: / Symbol: AMZN 05/29/20 C 2475.000							
05/28/20	5.000	1,507.88	Various	1,337.08	...	170.80	Total of 2 transactions
AMAZON COM INC AMZN Jun 05 2020 2480.0 Call / CUSIP: / Symbol: AMZN 06/05/20 C 2480.000							
06/05/20	7.000	3,397.00	Various	1,927.92	...	1,469.08	Total of 2 transactions
AMAZON COM INC AMZN Jun 05 2020 2485.0 Call / CUSIP: / Symbol: AMZN 06/05/20 C 2485.000							
06/05/20	24.000	9,189.75	Various	7,570.01	...	1,619.74	Total of 3 transactions
AMAZON COM INC AMZN Jun 05 2020 2490.0 Call / CUSIP: / Symbol: AMZN 06/05/20 C 2490.000							
06/05/20	10.000	615.80	06/05/20	2,424.17	...	-1,808.37	Option sale
AMAZON COM INC AMZN Jun 05 2020 2520.0 Call / CUSIP: / Symbol: AMZN 06/05/20 C 2520.000							
06/03/20	15.000	8,893.52	Various	8,106.25	...	787.27	Total of 2 transactions
06/03/20	10.000	3,237.74	Various	5,404.17	2,166.43 W	0.00	Total of 2 transactions
06/04/20	25.000	18,379.12	Various	14,676.85	...	3,702.27	Total of 4 transactions
	<b>Security total:</b>	<b>30,510.38</b>		<b>28,187.27</b>	<b>2,166.43 W</b>	<b>4,489.54</b>	
AMAZON COM INC AMZN Jun 12 2020 2610.0 Call / CUSIP: / Symbol: AMZN 06/12/20 C 2610.000							
06/08/20	10.000	7,895.64	06/08/20	6,504.17	...	1,391.47	Option sale
06/09/20	20.000	15,991.27	06/08/20	13,008.34	...	2,982.93	Option sale
	<b>Security total:</b>	<b>23,886.91</b>		<b>19,512.51</b>	...	<b>4,374.40</b>	
AMAZON COM INC AMZN Jun 12 2020 2700.0 Call / CUSIP: / Symbol: AMZN 06/12/20 C 2700.000							
06/09/20	25.000	23,607.00	Various	19,691.08	...	3,915.92	Total of 4 transactions
06/09/20	50.000	44,353.07	Various	59,496.97	15,143.90 W	0.00	Total of 5 transactions
06/10/20	15.000	24,230.20	Various	9,181.97	...	15,048.23	Total of 4 transactions
06/10/20	10.000	10,695.57	06/09/20	11,741.28	1,045.71 W	0.00	Option sale
	<b>Security total:</b>	<b>102,885.84</b>		<b>100,111.30</b>	<b>16,189.61 W</b>	<b>18,964.15</b>	

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC AMZN Jun 19 2020 2700.0 Call / CUSIP: / Symbol: AMZN 06/19/20 C 2700.000							
06/17/20	30.000	28,511.81	Various	26,762.50	...	1,749.31	Total of 2 transactions
06/17/20	6.000	4,437.39	06/17/20	4,682.50	245.11 W	0.00	Option sale
06/18/20	10.000	7,005.66	06/17/20	6,976.93	...	28.73	Option sale
06/18/20	17.000	11,042.64	06/17/20	11,232.20	792.76 W	603.20	Option sale
<b>Security total:</b>		<b>50,997.50</b>		<b>49,654.13</b>	<b>1,037.87 W</b>	<b>2,381.24</b>	
AMAZON COM INC AMZN Jun 26 2020 2700.0 Call / CUSIP: / Symbol: AMZN 06/26/20 C 2700.000							
06/19/20	10.000	33,995.06	06/18/20	24,004.17	...	9,990.89	Option sale
AMAZON COM INC AMZN Jun 26 2020 2780.0 Call / CUSIP: / Symbol: AMZN 06/26/20 C 2780.000							
06/22/20	38.000	33,851.33	Various	29,075.48	...	4,775.85	Total of 7 transactions
06/22/20	9.000	7,376.07	06/22/20	7,922.15	61.03 W	-485.05	Option sale
<b>Security total:</b>		<b>41,227.40</b>		<b>36,997.63</b>	<b>61.03 W</b>	<b>4,290.80</b>	
AMAZON COM INC AMZN Jun 26 2020 2800.0 Call / CUSIP: / Symbol: AMZN 06/26/20 C 2800.000							
06/19/20	9.000	9,274.83	Various	9,228.75	...	46.08	Total of 2 transactions
06/19/20	28.000	28,190.84	Various	28,131.68	519.00 W	578.16	Total of 2 transactions
06/22/20	22.000	15,930.42	Various	20,436.44	...	-4,506.02	Total of 3 transactions
06/22/20	15.000	12,368.45	06/19/20	13,361.40	223.41 W	-769.54	Option sale
<b>Security total:</b>		<b>65,764.54</b>		<b>71,158.27</b>	<b>742.41 W</b>	<b>-4,651.32</b>	
AMAZON COM INC AMZN Jul 10 2020 3220.0 Call / CUSIP: / Symbol: AMZN 07/10/20 C 3220.000							
07/10/20	10.000	2,855.75	07/10/20	2,859.06	...	-3.31	Option sale
07/10/20	7.000	1,999.03	07/10/20	2,097.92	98.89 W	0.00	Option sale
<b>Security total:</b>		<b>4,854.78</b>		<b>4,956.98</b>	<b>98.89 W</b>	<b>-3.31</b>	
AMAZON COM INC AMZN Jul 17 2020 3040.0 Call / CUSIP: / Symbol: AMZN 07/17/20 C 3040.000							
07/16/20	1.000	2,699.52	07/16/20	2,445.42	...	254.10	Option sale
AMAZON COM INC AMZN Aug 07 2020 3300.0 Call / CUSIP: / Symbol: AMZN 08/07/20 C 3300.000							
07/20/20	3.000	34,197.98	07/16/20	23,104.39	...	11,093.59	Option sale
07/20/20	10.000	94,993.71	07/14/20	100,004.17	5,010.46 W	0.00	Option sale
07/27/20	4.000	25,498.57	Various	21,206.36	...	4,292.21	Total of 2 transactions
07/27/20	8.000	44,410.86	07/24/20	44,465.36	979.52 W	925.02	Option sale
07/30/20	2.000	6,451.03	07/30/20	7,326.84	875.81 W	0.00	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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AMAZON COM INC AMZN Aug 07 2020 3300.0 Call / CUSIP: / Symbol: AMZN 08/07/20 C 3300.000 (cont'd)							
08/03/20	13.000	10,659.51	Various	50,474.24	...	-39,814.73	Option sale
08/03/20	2.000	1,639.94	07/30/20	7,326.83	5,686.89 W	0.00	Option sale
	<b>Security total:</b>	<b>217,851.60</b>		<b>253,908.19</b>	<b>12,552.68 W</b>	<b>-23,503.91</b>	
AMAZON COM INC AMZN Aug 07 2020 3400.0 Call / CUSIP: / Symbol: AMZN 08/07/20 C 3400.000							
07/31/20	15.000	16,193.36	07/31/20	24,306.25	...	-8,112.89	Option sale
AMAZON COM INC AMZN Aug 07 2020 3450.0 Call / CUSIP: / Symbol: AMZN 08/07/20 C 3450.000							
07/14/20	10.000	59,994.48	07/14/20	98,734.17	...	-38,739.69	Option sale
AMAZON COM INC AMZN Aug 07 2020 3500.0 Call / CUSIP: / Symbol: AMZN 08/07/20 C 3500.000							
07/20/20	20.000	151,988.26	Various	136,008.34	...	15,979.92	Total of 2 transactions
07/30/20	17.000	21,303.20	Various	131,268.48	...	-109,965.28	Total of 5 transactions
07/30/20	3.000	3,818.86	Various	20,662.25	16,843.39 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>177,110.32</b>		<b>287,939.07</b>	<b>16,843.39 W</b>	<b>-93,985.36</b>	
AMAZON COM INC AMZN Aug 14 2020 3280.0 Call / CUSIP: / Symbol: AMZN 08/14/20 C 3280.000							
08/13/20	5.000	1,997.87	08/13/20	4,242.08	...	-2,244.21	Option sale
AMAZON COM INC AMZN Aug 21 2020 3000.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3000.000							
06/09/20	25.000	83,237.69	Various	47,510.43	...	35,727.26	Total of 3 transactions
06/10/20	15.000	71,242.15	Various	21,616.25	...	49,625.90	Option sale
06/19/20	5.000	22,997.40	06/03/20	6,852.09	...	16,145.31	Option sale
07/01/20	10.000	89,020.86	Various	23,354.16	...	65,666.70	Total of 3 transactions
	<b>Security total:</b>	<b>266,498.10</b>		<b>99,332.93</b>	...	<b>167,165.17</b>	
AMAZON COM INC AMZN Aug 21 2020 3200.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3200.000							
07/01/20	10.000	44,234.83	Various	44,006.83	...	228.00	Total of 2 transactions
07/02/20	10.000	77,494.11	Various	43,993.51	...	33,500.60	Total of 2 transactions
08/17/20	5.000	12,997.62	08/17/20	12,502.08	...	495.54	Option sale
	<b>Security total:</b>	<b>134,726.56</b>		<b>100,502.42</b>	...	<b>34,224.14</b>	
AMAZON COM INC AMZN Aug 21 2020 3210.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3210.000							
08/17/20	10.000	28,889.18	Various	20,004.17	...	8,885.01	Total of 3 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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AMAZON COM INC AMZN Aug 21 2020 3300.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3300.000							
08/18/20	27.000	64,252.29	Various	18,911.26	...	45,341.03	Total of 5 transactions
AMAZON COM INC AMZN Aug 21 2020 3350.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3350.000							
08/14/20	17.000	6,967.73	Various	28,037.87	15,651.87 W	-5,418.27	Total of 2 transactions
08/20/20	10.000	7,495.64	08/20/20	20,398.26	...	-12,902.62	Option sale
	<b>Security total:</b>	<b>14,463.37</b>		<b>48,436.13</b>	<b>15,651.87 W</b>	<b>-18,320.89</b>	
AMAZON COM INC AMZN Aug 21 2020 3370.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3370.000							
08/20/20	20.000	9,991.40	08/20/20	9,809.14	...	182.26	Option sale
08/21/20	7.000	2,097.02	08/20/20	3,423.12	...	-1,326.10	Option sale
	<b>Security total:</b>	<b>12,088.42</b>		<b>13,232.26</b>	...	<b>-1,143.84</b>	
AMAZON COM INC AMZN Aug 21 2020 3400.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3400.000							
08/05/20	10.000	26,495.23	Various	23,154.16	...	3,341.07	Total of 2 transactions
08/05/20	5.000	12,747.63	08/04/20	12,282.09	3.57 W	469.11	Option sale
08/19/20	25.000	11,739.27	08/19/20	22,013.99	...	-10,274.72	Option sale
	<b>Security total:</b>	<b>50,982.13</b>		<b>57,450.24</b>	<b>3.57 W</b>	<b>-6,464.54</b>	
AMAZON COM INC AMZN Aug 21 2020 3450.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3450.000							
08/18/20	40.000	40,632.35	Various	41,016.68	...	-384.33	Total of 2 transactions
08/19/20	10.000	9,995.59	08/18/20	10,254.17	...	-258.58	Option sale
	<b>Security total:</b>	<b>50,627.94</b>		<b>51,270.85</b>	...	<b>-642.91</b>	
AMAZON COM INC AMZN Aug 21 2020 3500.0 Call / CUSIP: / Symbol: AMZN 08/21/20 C 3500.000							
07/07/20	30.000	138,184.39	Various	72,012.51	...	66,171.88	Total of 4 transactions
07/10/20	7.000	77,695.35	07/08/20	33,952.92	...	43,742.43	Option sale
07/13/20	13.000	191,990.32	Various	63,055.42	...	128,934.90	Total of 3 transactions
08/06/20	23.000	40,825.46	Various	35,199.59	...	5,625.87	Total of 3 transactions
08/07/20	7.000	7,171.91	08/05/20	10,712.92	...	-3,541.01	Option sale
	<b>Security total:</b>	<b>455,867.43</b>		<b>214,933.36</b>	...	<b>240,934.07</b>	
AMAZON COM INC AMZN Aug 28 2020 3500.0 Call / CUSIP: / Symbol: AMZN 08/28/20 C 3500.000							
08/26/20	7.000	6,296.93	08/25/20	11,985.48	...	-5,688.55	Option sale
08/26/20	18.000	15,292.12	08/25/20	26,647.50	1,892.56 W	-9,462.82	Option sale
	<b>Security total:</b>	<b>21,589.05</b>		<b>38,632.98</b>	<b>1,892.56 W</b>	<b>-15,151.37</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC AMZN Aug 28 2020 3600.0 Call / CUSIP: / Symbol: AMZN 08/28/20 C 3600.000							
08/24/20	7.000	11,546.81	08/24/20	11,202.92	...	343.89	Option sale
AMAZON COM INC AMZN Sep 18 2020 3500.0 Call / CUSIP: / Symbol: AMZN 09/18/20 C 3500.000							
09/09/20	10.000	18,995.39	09/09/20	18,134.17	...	861.22	Option sale
AMAZON COM INC AMZN Sep 25 2020 3200.0 Call / CUSIP: / Symbol: AMZN 09/25/20 C 3200.000							
09/22/20	10.000	11,695.55	09/22/20	13,504.17	...	-1,808.62	Option sale
AMAZON COM INC AMZN Sep 25 2020 3300.0 Call / CUSIP: / Symbol: AMZN 09/25/20 C 3300.000							
09/18/20	17.000	7,982.70	09/17/20	16,667.09	...	-8,684.39	Option sale
AMAZON COM INC AMZN Oct 02 2020 3250.0 Call / CUSIP: / Symbol: AMZN 10/02/20 C 3250.000							
09/28/20	7.000	15,896.73	Various	13,302.90	...	2,593.83	Total of 2 transactions
10/01/20	7.000	9,229.89	Various	9,452.90	...	-223.01	Total of 3 transactions
	<b>Security total:</b>	<b>25,126.62</b>		<b>22,755.80</b>	...	<b>2,370.82</b>	
AMAZON COM INC AMZN Oct 02 2020 3300.0 Call / CUSIP: / Symbol: AMZN 10/02/20 C 3300.000							
09/22/20	5.000	15,497.57	09/22/20	9,747.08	...	5,750.49	Option sale
09/24/20	5.000	7,747.75	09/24/20	7,702.07	...	45.68	Option sale
	<b>Security total:</b>	<b>23,245.32</b>		<b>17,449.15</b>	...	<b>5,796.17</b>	
AMAZON COM INC AMZN Oct 09 2020 3230.0 Call / CUSIP: / Symbol: AMZN 10/09/20 C 3230.000							
10/07/20	7.000	7,406.92	Various	7,394.90	...	12.02	Total of 2 transactions
AMAZON COM INC AMZN Oct 09 2020 3290.0 Call / CUSIP: / Symbol: AMZN 10/09/20 C 3290.000							
10/09/20	7.000	3,007.02	10/09/20	4,482.90	...	-1,475.88	Option sale
AMAZON COM INC AMZN Oct 16 2020 3300.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3300.000							
10/07/20	5.000	13,497.62	10/07/20	13,402.07	...	95.55	Option sale
AMAZON COM INC AMZN Nov 20 2020 3800.0 Call / CUSIP: / Symbol: AMZN 11/20/20 C 3800.000							
10/29/20	4.000	8,568.76	Various	31,501.06	...	-22,932.30	Total of 4 transactions
10/30/20	1.000	514.73	10/16/20	7,875.26	...	-7,360.53	Option sale
	<b>Security total:</b>	<b>9,083.49</b>		<b>39,376.32</b>	...	<b>-30,292.83</b>	

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMAZON COM INC AMZN Nov 27 2020 3250.0 Call / CUSIP: / Symbol: AMZN 11/27/20 C 3250.000							
11/25/20	12.000	9,656.60	Various	9,804.79	...	-148.19	Total of 2 transactions
11/25/20	5.000	2,498.61	11/25/20	3,716.32	916.62 W	-301.09	Option sale
	<b>Security total:</b>	<b>12,155.21</b>		<b>13,521.11</b>	<b>916.62 W</b>	<b>-449.28</b>	
AMAZON COM INC AMZN Nov 27 2020 3300.0 Call / CUSIP: / Symbol: AMZN 11/27/20 C 3300.000							
11/25/20	15.000	5,447.13	Various	9,118.67	...	-3,671.54	Total of 3 transactions
11/25/20	12.000	5,048.46	Various	6,306.92	1,258.46 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>10,495.59</b>		<b>15,425.59</b>	<b>1,258.46 W</b>	<b>-3,671.54</b>	
AMAZON COM INC AMZN Dec 04 2020 3185.0 Call / CUSIP: / Symbol: AMZN 12/04/20 C 3185.000							
12/04/20	1.000	499.73	12/04/20	567.26	...	-67.53	Option sale
AMAZON COM INC AMZN Dec 04 2020 3260.0 Call / CUSIP: / Symbol: AMZN 12/04/20 C 3260.000							
12/03/20	3.000	3,899.13	Various	2,700.79	...	1,198.34	Total of 2 transactions
AMAZON COM INC AMZN Dec 18 2020 3270.0 Call / CUSIP: / Symbol: AMZN 12/18/20 C 3270.000							
12/16/20	5.000	4,798.56	12/16/20	5,443.35	...	-644.79	Option sale
12/16/20	5.000	4,373.57	12/16/20	4,972.16	478.87 W	-119.72	Option sale
	<b>Security total:</b>	<b>9,172.13</b>		<b>10,415.51</b>	<b>478.87 W</b>	<b>-764.51</b>	
AMAZON COM INC AMZN Aug 07 2020 3125.0 Put / CUSIP: / Symbol: AMZN 08/07/20 P 3125.000							
08/07/20	25.000	1,739.49	08/07/20	5,510.42	...	-3,770.93	Option sale
AMAZON COM INC AMZN Aug 07 2020 3145.0 Put / CUSIP: / Symbol: AMZN 08/07/20 P 3145.000							
08/07/20	25.000	7,379.37	Various	4,870.43	...	2,508.94	Total of 4 transactions
ANTHEM INC ANTM Jan 17 2020 302.5 Call / CUSIP: / Symbol: ANTM 01/17/20 C 302.500							
01/14/20	5.000	771.64	01/14/20	763.34	...	8.30	Option sale
01/15/20	10.000	1,323.28	01/14/20	1,336.66	...	-13.38	Option sale
	<b>Security total:</b>	<b>2,094.92</b>		<b>2,100.00</b>	...	<b>-5.08</b>	
ANTHEM INC ANTM Jul 17 2020 265.0 Call / CUSIP: / Symbol: ANTM 07/17/20 C 265.000							
07/14/20	47.000	13,340.01	Various	8,949.59	...	4,390.42	Total of 2 transactions
ANTHEM INC ANTM Sep 25 2020 270.0 Call / CUSIP: / Symbol: ANTM 09/25/20 C 270.000							
09/16/20	77.000	22,195.25	Various	15,432.10	...	6,763.15	Total of 2 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ANTHEM INC ANTM Oct 16 2020 305.0 Call / CUSIP: / Symbol: ANTM 10/16/20 C 305.000							
10/16/20	200.000	0.00	10/09/20	35,932.80	...	-35,932.80	Option expiration
ANTHEM INC ANTM Oct 16 2020 310.0 Call / CUSIP: / Symbol: ANTM 10/16/20 C 310.000							
10/16/20	300.000	0.00	10/09/20	28,994.20	...	-28,994.20	Option expiration
BROADCOM INC AVGO Jan 31 2020 342.5 Call / CUSIP: / Symbol: AVGO 01/31/20 C 342.500							
01/31/20	66.000	0.00	01/24/20	9,064.02	...	-9,064.02	Option expiration
AUTOZONE INC AZO Mar 20 2020 1150.0 Call / CUSIP: / Symbol: AZO 03/20/20 C 1150.000							
02/18/20	8.000	9,994.42	Various	12,805.33	2,810.91 W	0.00	Total of 2 transactions
02/27/20	30.000	13,869.62	Various	37,280.91	...	-23,411.29	Option sale
	<b>Security total:</b>	<b>23,864.04</b>		<b>50,086.24</b>	<b>2,810.91 W</b>	<b>-23,411.29</b>	
AUTOZONE INC AZO Jun 19 2020 1000.0 Call / CUSIP: / Symbol: AZO 06/19/20 C 1000.000							
03/31/20	10.000	35,992.51	03/31/20	36,006.67	...	-14.16	Option sale
AUTOZONE INC AZO Aug 14 2020 1200.0 Call / CUSIP: / Symbol: AZO 08/14/20 C 1200.000							
08/14/20	27.000	10,390.45	Various	4,961.25	...	5,429.20	Total of 4 transactions
AUTOZONE INC AZO Aug 21 2020 1230.0 Call / CUSIP: / Symbol: AZO 08/21/20 C 1230.000							
08/18/20	25.000	14,989.20	08/14/20	12,510.42	...	2,478.78	Option sale
AUTOZONE INC AZO Aug 21 2020 1250.0 Call / CUSIP: / Symbol: AZO 08/21/20 C 1250.000							
08/18/20	50.000	4,978.94	08/14/20	16,426.10	...	-11,447.16	Option sale
08/18/20	50.000	5,978.92	08/14/20	15,022.58	1,446.99 W	-7,596.67	Option sale
	<b>Security total:</b>	<b>10,957.86</b>		<b>31,448.68</b>	<b>1,446.99 W</b>	<b>-19,043.83</b>	
AUTOZONE INC AZO Sep 25 2020 1350.0 Call / CUSIP: / Symbol: AZO 09/25/20 C 1350.000							
09/04/20	30.000	32,986.70	08/18/20	19,512.51	...	13,474.19	Option sale
09/21/20	10.000	2,233.76	Various	6,504.17	...	-4,270.41	Total of 2 transactions
09/24/20	1.000	4.59	08/18/20	650.42	...	-645.83	Option sale
09/25/20	9.000	0.00	08/18/20	5,853.75	...	-5,853.75	Option expiration
	<b>Security total:</b>	<b>35,225.05</b>		<b>32,520.85</b>	...	<b>2,704.20</b>	
AUTOZONE INC AZO Nov 13 2020 1200.0 Call / CUSIP: / Symbol: AZO 11/13/20 C 1200.000							
11/10/20	47.000	28,186.88	11/09/20	27,683.41	...	503.47	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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AUTOZONE INC AZO Dec 18 2020	1180.0	Call / CUSIP: / Symbol: AZO 12/18/20	C 1180.000				
12/14/20	27.000	34,742.05	Various	10,507.13	...	24,234.92	Total of 3 transactions
AUTOZONE INC AZO Dec 18 2020	1190.0	Call / CUSIP: / Symbol: AZO 12/18/20	C 1190.000				
12/11/20	27.000	17,192.44	Various	11,537.14	...	5,655.30	Total of 2 transactions
AUTOZONE INC AZO Dec 18 2020	1230.0	Call / CUSIP: / Symbol: AZO 12/18/20	C 1230.000				
12/01/20	11.000	9,896.86	Various	6,022.90	...	3,873.96	Total of 2 transactions
AUTOZONE INC AZO Dec 18 2020	1270.0	Call / CUSIP: / Symbol: AZO 12/18/20	C 1270.000				
12/16/20	50.000	2,486.64	12/15/20	513.20	...	1,973.44	Option sale
BOEING CO BA Jan 17 2020	340.0	Call / CUSIP: / Symbol: BA 01/17/20	C 340.000				
01/14/20	25.000	4,608.18	01/14/20	4,550.15	...	58.03	Option sale
01/14/20	25.000	4,558.19	01/14/20	5,191.67	633.48 W	0.00	Option sale
	<b>Security total:</b>	<b>9,166.37</b>		<b>9,741.82</b>	<b>633.48 W</b>	<b>58.03</b>	
BOEING CO BA May 01 2020	142.0	Call / CUSIP: / Symbol: BA 05/01/20	C 142.000				
05/01/20	1.000	319.32	04/30/20	256.67	...	62.65	Option sale
BOEING CO BA Jun 12 2020	220.0	Call / CUSIP: / Symbol: BA 06/12/20	C 220.000				
06/10/20	50.000	21,753.57	Various	18,020.85	...	3,732.72	Total of 4 transactions
06/11/20	50.000	1,979.01	06/10/20	15,395.84	...	-13,416.83	Option sale
	<b>Security total:</b>	<b>23,732.58</b>		<b>33,416.69</b>	...	<b>-9,684.11</b>	
BOEING CO BA Jul 17 2020	182.5	Call / CUSIP: / Symbol: BA 07/17/20	C 182.500				
07/15/20	77.000	46,366.71	Various	32,372.10	...	13,994.61	Total of 2 transactions
BOEING CO BA Jul 17 2020	195.0	Call / CUSIP: / Symbol: BA 07/17/20	C 195.000				
07/14/20	300.000	36,174.53	Various	69,125.07	...	-32,950.54	Total of 3 transactions
BOEING CO BA Jul 31 2020	210.0	Call / CUSIP: / Symbol: BA 07/31/20	C 210.000				
07/28/20	200.000	5,344.10	Various	42,483.38	...	-37,139.28	Total of 2 transactions
BOEING CO BA Aug 07 2020	177.5	Call / CUSIP: / Symbol: BA 08/07/20	C 177.500				
08/05/20	50.000	6,828.90	08/05/20	6,620.85	...	208.05	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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BOEING CO BA Aug 07 2020 180.0 Call / CUSIP: / Symbol: BA 08/07/20 C 180.000							
08/05/20	25.000	4,614.43	08/05/20	4,246.40	...	368.03	Option sale
08/05/20	25.000	4,239.44	08/05/20	4,735.42	495.98 W	0.00	Option sale
	<b>Security total:</b>	<b>8,853.87</b>		<b>8,981.82</b>	<b>495.98 W</b>	<b>368.03</b>	
BOEING CO BA Aug 21 2020 190.0 Call / CUSIP: / Symbol: BA 08/21/20 C 190.000							
08/14/20	24.000	4,597.84	08/14/20	4,810.01	...	-212.17	Option sale
BOEING CO BA Aug 21 2020 220.0 Call / CUSIP: / Symbol: BA 08/21/20 C 220.000							
07/14/20	100.000	56,956.85	07/14/20	55,041.69	...	1,915.16	Option sale
BOEING CO BA Aug 28 2020 185.0 Call / CUSIP: / Symbol: BA 08/28/20 C 185.000							
08/24/20	50.000	11,328.80	08/24/20	10,520.84	...	807.96	Option sale
08/24/20	10.000	1,875.77	08/24/20	2,104.17	228.40 W	0.00	Option sale
08/25/20	50.000	13,978.74	08/24/20	8,274.25	...	5,704.49	Option sale
	<b>Security total:</b>	<b>27,183.31</b>		<b>20,899.26</b>	<b>228.40 W</b>	<b>6,512.45</b>	
BOEING CO BA Aug 28 2020 187.5 Call / CUSIP: / Symbol: BA 08/28/20 C 187.500							
08/27/20	100.000	4,891.00	Various	12,541.69	...	-7,650.69	Total of 3 transactions
BOEING CO BA Aug 28 2020 190.0 Call / CUSIP: / Symbol: BA 08/28/20 C 190.000							
08/24/20	15.000	1,988.68	08/24/20	1,956.25	...	32.43	Option sale
BOEING CO BA Aug 28 2020 192.5 Call / CUSIP: / Symbol: BA 08/28/20 C 192.500							
08/25/20	200.000	14,315.90	08/24/20	25,049.12	...	-10,733.22	Option sale
08/25/20	100.000	7,657.94	08/24/20	11,441.69	3,783.75 W	0.00	Option sale
	<b>Security total:</b>	<b>21,973.84</b>		<b>36,490.81</b>	<b>3,783.75 W</b>	<b>-10,733.22</b>	
BOEING CO BA Sep 04 2020 180.0 Call / CUSIP: / Symbol: BA 09/04/20 C 180.000							
09/02/20	200.000	18,815.80	Various	28,483.38	...	-9,667.58	Total of 2 transactions
BOEING CO BA Sep 04 2020 185.0 Call / CUSIP: / Symbol: BA 09/04/20 C 185.000							
08/27/20	77.000	31,122.06	Various	17,742.10	...	13,379.96	Total of 3 transactions
BOEING CO BA Sep 18 2020 170.0 Call / CUSIP: / Symbol: BA 09/18/20 C 170.000							
09/14/20	77.000	16,907.38	Various	11,273.10	...	5,634.28	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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BOEING CO BA Sep 18 2020 172.5 Call / CUSIP: / Symbol: BA 09/18/20 C 172.500							
09/15/20	77.000	18,798.34	Various	9,041.10	...	9,757.24	Total of 4 transactions
BOEING CO BA Oct 02 2020 160.0 Call / CUSIP: / Symbol: BA 10/02/20 C 160.000							
09/25/20	77.000	15,121.64	Various	10,272.88	...	4,848.76	Total of 2 transactions
BOEING CO BA Oct 02 2020 165.0 Call / CUSIP: / Symbol: BA 10/02/20 C 165.000							
09/25/20	37.000	5,645.48	09/25/20	4,196.32	...	1,449.16	Option sale
09/28/20	200.000	69,997.25	Various	22,682.80	...	47,314.45	Total of 8 transactions
	<b>Security total:</b>	<b>75,642.73</b>		<b>26,879.12</b>	...	<b>48,763.61</b>	
BOEING CO BA Oct 02 2020 170.0 Call / CUSIP: / Symbol: BA 10/02/20 C 170.000							
09/29/20	77.000	10,747.73	09/29/20	10,349.88	...	397.85	Option sale
09/30/20	77.000	19,432.55	Various	10,811.88	...	8,620.67	Total of 3 transactions
	<b>Security total:</b>	<b>30,180.28</b>		<b>21,161.76</b>	...	<b>9,018.52</b>	
BOEING CO BA Oct 02 2020 172.5 Call / CUSIP: / Symbol: BA 10/02/20 C 172.500							
09/23/20	50.000	3,979.11	09/21/20	10,470.85	6,491.74 W	0.00	Option sale
09/28/20	20.000	3,831.60	09/28/20	6,378.61	...	-2,547.01	Option sale
09/28/20	57.000	10,806.05	09/28/20	14,843.48	132.47 W	-3,904.96	Option sale
	<b>Security total:</b>	<b>18,616.76</b>		<b>31,692.94</b>	<b>6,624.21 W</b>	<b>-6,451.97</b>	
BOEING CO BA Oct 02 2020 175.0 Call / CUSIP: / Symbol: BA 10/02/20 C 175.000							
09/30/20	100.000	4,658.30	09/30/20	21,989.98	...	-17,331.68	Option sale
09/30/20	217.000	9,206.51	Various	30,167.08	13,204.82 W	-7,755.75	Total of 3 transactions
	<b>Security total:</b>	<b>13,864.81</b>		<b>52,157.06</b>	<b>13,204.82 W</b>	<b>-25,087.43</b>	
BOEING CO BA Oct 02 2020 177.5 Call / CUSIP: / Symbol: BA 10/02/20 C 177.500							
09/30/20	177.000	12,906.08	Various	20,251.28	7,345.20 W	0.00	Total of 3 transactions
10/01/20	177.000	6,223.23	Various	23,348.48	...	-17,125.25	Total of 2 transactions
	<b>Security total:</b>	<b>19,129.31</b>		<b>43,599.76</b>	<b>7,345.20 W</b>	<b>-17,125.25</b>	
BOEING CO BA Oct 02 2020 185.0 Call / CUSIP: / Symbol: BA 10/02/20 C 185.000							
09/18/20	77.000	19,217.32	09/18/20	16,587.10	...	2,630.22	Option sale
BOEING CO BA Oct 09 2020 182.5 Call / CUSIP: / Symbol: BA 10/09/20 C 182.500							
10/02/20	117.000	10,019.11	Various	24,969.44	...	-14,950.33	Total of 3 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING CO BA Oct 30 2020 185.0 Call / CUSIP: / Symbol: BA 10/30/20 C 185.000							
10/16/20	27.000	6,766.67	Various	6,757.13	...	9.54	Total of 2 transactions
BOEING CO BA Nov 20 2020 195.0 Call / CUSIP: / Symbol: BA 11/20/20 C 195.000							
11/13/20	87.000	18,606.45	Various	13,594.97	...	5,011.48	Total of 3 transactions
BOEING CO BA Nov 20 2020 205.0 Call / CUSIP: / Symbol: BA 11/20/20 C 205.000							
11/13/20	23.000	3,328.81	11/12/20	2,859.25	...	469.56	Option sale
11/16/20	77.000	22,763.02	Various	9,550.15	...	13,212.87	Total of 3 transactions
<b>Security total:</b>		<b>26,091.83</b>		<b>12,409.40</b>	...	<b>13,682.43</b>	
BOEING CO BA Nov 20 2020 210.0 Call / CUSIP: / Symbol: BA 11/20/20 C 210.000							
11/16/20	77.000	20,850.06	Various	16,543.33	...	4,306.73	Total of 4 transactions
11/17/20	27.000	10,858.58	Various	7,983.13	...	2,875.45	Total of 4 transactions
<b>Security total:</b>		<b>31,708.64</b>		<b>24,526.46</b>	...	<b>7,182.18</b>	
BOEING CO BA Nov 20 2020 215.0 Call / CUSIP: / Symbol: BA 11/20/20 C 215.000							
11/18/20	70.000	52,750.22	Various	18,638.48	...	34,111.74	Total of 6 transactions
11/19/20	7.000	586.13	11/17/20	1,863.85	...	-1,277.72	Option sale
<b>Security total:</b>		<b>53,336.35</b>		<b>20,502.33</b>	...	<b>32,834.02</b>	
BOEING CO BA Nov 20 2020 220.0 Call / CUSIP: / Symbol: BA 11/20/20 C 220.000							
11/12/20	62.000	2,044.97	11/10/20	15,333.14	...	-13,288.17	Option sale
11/12/20	25.000	827.83	11/10/20	5,431.73	4,603.90 W	0.00	Option sale
<b>Security total:</b>		<b>2,872.80</b>		<b>20,764.87</b>	<b>4,603.90 W</b>	<b>-13,288.17</b>	
BOEING CO BA Nov 27 2020 225.0 Call / CUSIP: / Symbol: BA 11/27/20 C 225.000							
11/20/20	50.000	5,936.57	11/19/20	12,908.48	...	-6,971.91	Option sale
11/20/20	50.000	7,086.54	11/19/20	11,743.95	2,904.03 W	-1,753.38	Option sale
<b>Security total:</b>		<b>13,023.11</b>		<b>24,652.43</b>	<b>2,904.03 W</b>	<b>-8,725.29</b>	
BOEING CO BA Dec 11 2020 230.0 Call / CUSIP: / Symbol: BA 12/11/20 C 230.000							
12/02/20	3.000	809.18	12/02/20	525.79	...	283.39	Option sale
BOEING CO BA Dec 31 2020 227.5 Call / CUSIP: / Symbol: BA 12/31/20 C 227.500							
12/31/20	80.000	138.72	12/29/20	8,341.12	...	-8,202.40	Option sale

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING CO BA Dec 31 2020 240.0 Call / CUSIP: / Symbol: BA 12/31/20 C 240.000							
12/28/20	300.000	4,536.08	Various	48,079.20	...	-43,543.12	Total of 4 transactions
BOEING CO BA May 21 2021 200.0 Call / CUSIP: / Symbol: BA 05/21/21 C 200.000							
09/25/20	10.000	13,535.54	09/25/20	12,004.14	...	1,531.40	Option sale
ALIBABA GROUP HOLDING LTD BABA Jul 17 2020 235.0 Call / CUSIP: / Symbol: BABA 07/17/20 C 235.000							
07/06/20	100.000	46,252.08	Various	13,241.69	...	33,010.39	Total of 3 transactions
ALIBABA GROUP HOLDING LTD BABA Oct 02 2020 290.0 Call / CUSIP: / Symbol: BABA 10/02/20 C 290.000							
09/30/20	317.000	95,061.02	Various	36,581.24	...	58,479.78	Total of 8 transactions
ALIBABA GROUP HOLDING LTD BABA Oct 02 2020 295.0 Call / CUSIP: / Symbol: BABA 10/02/20 C 295.000							
09/30/20	177.000	39,581.49	Various	28,341.28	...	11,240.21	Total of 3 transactions
ALIBABA GROUP HOLDING LTD BABA Oct 02 2020 297.5 Call / CUSIP: / Symbol: BABA 10/02/20 C 297.500							
09/30/20	177.000	29,487.72	Various	21,267.28	...	8,220.44	Total of 4 transactions
ALIBABA GROUP HOLDING LTD BABA Oct 02 2020 300.0 Call / CUSIP: / Symbol: BABA 10/02/20 C 300.000							
09/30/20	190.000	37,789.12	Various	23,828.66	...	13,960.46	Total of 8 transactions
10/01/20	137.000	20,335.56	Various	17,181.72	...	3,153.84	Total of 3 transactions
	<b>Security total:</b>	<b>58,124.68</b>		<b>41,010.38</b>	...	<b>17,114.30</b>	
ALIBABA GROUP HOLDING LTD BABA Oct 09 2020 292.5 Call / CUSIP: / Symbol: BABA 10/09/20 C 292.500							
10/05/20	100.000	23,607.88	Various	21,046.40	...	2,561.48	Total of 2 transactions
ALIBABA GROUP HOLDING LTD BABA Oct 09 2020 295.0 Call / CUSIP: / Symbol: BABA 10/09/20 C 295.000							
10/05/20	77.000	17,256.59	Various	17,790.48	533.89 W	0.00	Total of 5 transactions
10/06/20	100.000	23,403.88	Various	16,064.69	...	7,339.19	Total of 3 transactions
	<b>Security total:</b>	<b>40,660.47</b>		<b>33,855.17</b>	<b>533.89 W</b>	<b>7,339.19</b>	
ALIBABA GROUP HOLDING LTD BABA Oct 09 2020 302.5 Call / CUSIP: / Symbol: BABA 10/09/20 C 302.500							
10/08/20	27.000	2,796.71	10/07/20	5,298.65	...	-2,501.94	Option sale
10/08/20	50.000	4,329.10	Various	8,464.70	2,587.47 W	-1,548.13	Option sale
	<b>Security total:</b>	<b>7,125.81</b>		<b>13,763.35</b>	<b>2,587.47 W</b>	<b>-4,050.07</b>	

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LTD BABA Dec 11 2020 282.5 Call / CUSIP: / Symbol: BABA 12/11/20 C 282.500							
12/03/20	83.000	14,857.60	Various	13,965.91	...	891.69	Total of 2 transactions
12/07/20	94.000	4,674.89	12/03/20	15,816.82	...	-11,141.93	Option sale
	<b>Security total:</b>	<b>19,532.49</b>		<b>29,782.73</b>	...	<b>-10,250.24</b>	
BAIDU INC BIDU Nov 20 2020 160.0 Call / CUSIP: / Symbol: BIDU 11/20/20 C 160.000							
11/16/20	200.000	30,098.13	Various	25,852.80	...	4,245.33	Total of 3 transactions
11/17/20	100.000	973.38	11/12/20	11,926.40	...	-10,953.02	Option sale
	<b>Security total:</b>	<b>31,071.51</b>		<b>37,779.20</b>	...	<b>-6,707.69</b>	
BIOGEN INC BIIB Jan 17 2020 305.0 Call / CUSIP: / Symbol: BIIB 01/17/20 C 305.000							
01/10/20	15.000	3,364.90	01/10/20	3,535.00	...	-170.10	Option sale
01/13/20	10.000	1,193.29	01/10/20	2,356.67	...	-1,163.38	Option sale
	<b>Security total:</b>	<b>4,558.19</b>		<b>5,891.67</b>	...	<b>-1,333.48</b>	
BIOGEN INC BIIB Jul 17 2020 300.0 Call / CUSIP: / Symbol: BIIB 07/17/20 C 300.000							
07/09/20	50.000	4,428.95	07/08/20	12,320.85	...	-7,891.90	Option sale
BIOGEN INC BIIB Jul 17 2020 310.0 Call / CUSIP: / Symbol: BIIB 07/17/20 C 310.000							
07/08/20	50.000	6,478.91	07/08/20	12,520.85	...	-6,041.94	Option sale
BIOGEN INC BIIB Aug 14 2020 310.0 Call / CUSIP: / Symbol: BIIB 08/14/20 C 310.000							
08/07/20	25.000	10,439.29	Various	8,831.42	...	1,607.87	Total of 2 transactions
BIOGEN INC BIIB Oct 02 2020 290.0 Call / CUSIP: / Symbol: BIIB 10/02/20 C 290.000							
09/30/20	10.000	745.82	09/29/20	2,952.46	...	-2,206.64	Option sale
09/30/20	77.000	5,408.85	Various	17,825.01	4,291.46 W	-8,124.70	Total of 2 transactions
	<b>Security total:</b>	<b>6,154.67</b>		<b>20,777.47</b>	<b>4,291.46 W</b>	<b>-10,331.34</b>	
BIOGEN INC BIIB Oct 09 2020 290.0 Call / CUSIP: / Symbol: BIIB 10/09/20 C 290.000							
10/06/20	25.000	1,989.56	10/05/20	4,964.35	...	-2,974.79	Option sale
BIOGEN INC BIIB Nov 13 2020 350.0 Call / CUSIP: / Symbol: BIIB 11/13/20 C 350.000							
11/04/20	4.000	7,898.76	Various	4,901.36	...	2,997.40	Total of 2 transactions
BOOKING HOLDINGS INC BKNG Apr 09 2020 1350.0 Call / CUSIP: / Symbol: BKNG 04/09/20 C 1350.000							
04/06/20	1.000	1,799.29	04/03/20	1,600.67	...	198.62	Option sale

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOOKING HOLDINGS INC BKNG May 15 2020 1400.0 Call / CUSIP: / Symbol: BKNG 05/15/20 C 1400.000							
05/15/20	10.000	8,594.61	Various	4,765.17	...	3,829.44	Total of 3 transactions
BOOKING HOLDINGS INC BKNG May 15 2020 1410.0 Call / CUSIP: / Symbol: BKNG 05/15/20 C 1410.000							
05/14/20	12.000	2,503.90	Various	5,110.70	...	-2,606.80	Total of 2 transactions
05/14/20	10.000	3,993.22	05/14/20	4,506.67	102.69 W	-410.76	Option sale
	<b>Security total:</b>	<b>6,497.12</b>		<b>9,617.37</b>	<b>102.69 W</b>	<b>-3,017.56</b>	
BOOKING HOLDINGS INC BKNG May 22 2020 1530.0 Call / CUSIP: / Symbol: BKNG 05/22/20 C 1530.000							
05/18/20	50.000	156,970.59	Various	25,847.85	...	131,122.74	Total of 6 transactions
BOOKING HOLDINGS INC BKNG May 22 2020 1650.0 Call / CUSIP: / Symbol: BKNG 05/22/20 C 1650.000							
05/18/20	13.000	15,178.91	Various	13,786.72	...	1,392.19	Total of 4 transactions
05/19/20	9.000	10,795.09	05/18/20	9,544.65	...	1,250.44	Option sale
05/19/20	18.000	13,365.36	Various	19,140.56	5,775.20 W	0.00	Total of 3 transactions
05/20/20	10.000	8,994.62	Various	13,513.48	...	-4,518.86	Total of 2 transactions
05/21/20	7.000	3,692.29	05/19/20	6,819.25	...	-3,126.96	Option sale
	<b>Security total:</b>	<b>52,026.27</b>		<b>62,804.66</b>	<b>5,775.20 W</b>	<b>-5,003.19</b>	
BOOKING HOLDINGS INC BKNG May 22 2020 1700.0 Call / CUSIP: / Symbol: BKNG 05/22/20 C 1700.000							
05/18/20	63.000	31,466.62	05/18/20	37,716.63	...	-6,250.01	Option sale
05/18/20	7.000	4,196.28	05/18/20	4,203.67	2.11 W	-5.28	Option sale
	<b>Security total:</b>	<b>35,662.90</b>		<b>41,920.30</b>	<b>2.11 W</b>	<b>-6,255.29</b>	
BOOKING HOLDINGS INC BKNG Jun 05 2020 1750.0 Call / CUSIP: / Symbol: BKNG 06/05/20 C 1750.000							
06/01/20	24.000	19,189.52	Various	17,934.48	...	1,255.04	Total of 2 transactions
06/01/20	26.000	19,488.66	Various	21,330.83	1,844.47 W	2.30	Total of 4 transactions
	<b>Security total:</b>	<b>38,678.18</b>		<b>39,265.31</b>	<b>1,844.47 W</b>	<b>1,257.34</b>	
BOOKING HOLDINGS INC BKNG Jun 19 2020 1700.0 Call / CUSIP: / Symbol: BKNG 06/19/20 C 1700.000							
06/18/20	15.000	4,043.63	06/17/20	10,956.25	...	-6,912.62	Option sale
BOOKING HOLDINGS INC BKNG Jun 26 2020 1700.0 Call / CUSIP: / Symbol: BKNG 06/26/20 C 1700.000							
06/23/20	7.000	10,146.85	06/23/20	8,682.92	...	1,463.93	Option sale
BOOKING HOLDINGS INC BKNG Jun 26 2020 1730.0 Call / CUSIP: / Symbol: BKNG 06/26/20 C 1730.000							
06/26/20	37.000	0.00	06/23/20	29,615.43	...	-29,615.43	Option expiration

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOOKING HOLDINGS INC BKNG Jun 26 2020 1770.0 Call / CUSIP: / Symbol: BKNG 06/26/20 C 1770.000							
06/19/20	6.000	3,777.41	06/18/20	7,202.50	...	-3,425.09	Option sale
06/19/20	24.000	14,629.62	06/18/20	28,810.01	7,681.04 W	-6,499.35	Option sale
06/22/20	20.000	4,991.51	06/18/20	26,879.38	...	-21,887.87	Option sale
	<b>Security total:</b>	<b>23,398.54</b>		<b>62,891.89</b>	<b>7,681.04 W</b>	<b>-31,812.31</b>	
BOOKING HOLDINGS INC BKNG Jul 02 2020 1630.0 Call / CUSIP: / Symbol: BKNG 07/02/20 C 1630.000							
06/29/20	20.000	24,991.09	Various	20,008.34	...	4,982.75	Total of 4 transactions
06/30/20	5.000	2,497.85	06/29/20	5,002.08	...	-2,504.23	Option sale
	<b>Security total:</b>	<b>27,488.94</b>		<b>25,010.42</b>	...	<b>2,478.52</b>	
BOOKING HOLDINGS INC BKNG Jul 02 2020 1700.0 Call / CUSIP: / Symbol: BKNG 07/02/20 C 1700.000							
06/30/20	17.000	859.86	06/29/20	4,585.09	...	-3,725.23	Option sale
BOOKING HOLDINGS INC BKNG Jul 02 2020 1730.0 Call / CUSIP: / Symbol: BKNG 07/02/20 C 1730.000							
06/26/20	10.000	2,995.74	06/26/20	6,804.17	...	-3,808.43	Option sale
BOOKING HOLDINGS INC BKNG Jul 10 2020 1700.0 Call / CUSIP: / Symbol: BKNG 07/10/20 C 1700.000							
07/09/20	20.000	15,991.27	07/08/20	13,408.34	...	2,582.93	Option sale
07/09/20	12.000	7,194.82	07/08/20	8,045.00	850.18 W	0.00	Option sale
07/10/20	27.000	8,109.51	Various	8,907.44	...	-797.93	Total of 2 transactions
	<b>Security total:</b>	<b>31,295.60</b>		<b>30,360.78</b>	<b>850.18 W</b>	<b>1,785.00</b>	
BOOKING HOLDINGS INC BKNG Jul 24 2020 1780.0 Call / CUSIP: / Symbol: BKNG 07/24/20 C 1780.000							
07/23/20	31.000	6,186.88	07/22/20	18,562.93	...	-12,376.05	Option sale
BOOKING HOLDINGS INC BKNG Jul 31 2020 1730.0 Call / CUSIP: / Symbol: BKNG 07/31/20 C 1730.000							
07/31/20	25.000	439.52	07/30/20	13,760.42	...	-13,320.90	Option sale
BOOKING HOLDINGS INC BKNG Aug 07 2020 1810.0 Call / CUSIP: / Symbol: BKNG 08/07/20 C 1810.000							
08/07/20	6.000	597.48	08/07/20	3,602.50	...	-3,005.02	Option sale
BOOKING HOLDINGS INC BKNG Aug 14 2020 1850.0 Call / CUSIP: / Symbol: BKNG 08/14/20 C 1850.000							
08/11/20	39.000	59,007.36	Various	29,341.26	...	29,666.10	Total of 4 transactions
BOOKING HOLDINGS INC BKNG Aug 21 2020 1800.0 Call / CUSIP: / Symbol: BKNG 08/21/20 C 1800.000							
08/18/20	17.000	20,012.44	Various	11,907.09	...	8,105.35	Total of 4 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOOKING HOLDINGS INC BKNG Aug 21 2020 1830.0 Call / CUSIP: / Symbol: BKNG 08/21/20 C 1830.000							
08/19/20	87.000	42,862.60	Various	36,336.27	...	6,526.33	Total of 6 transactions
08/20/20	10.000	685.80	Various	5,504.17	...	-4,818.37	Total of 2 transactions
	<b>Security total:</b>	<b>43,548.40</b>		<b>41,840.44</b>	...	<b>1,707.96</b>	
BOOKING HOLDINGS INC BKNG Aug 21 2020 1900.0 Call / CUSIP: / Symbol: BKNG 08/21/20 C 1900.000							
07/13/20	5.000	24,997.36	07/06/20	23,502.09	...	1,495.27	Option sale
07/17/20	10.000	38,994.95	07/06/20	44,504.16	...	-5,509.21	Option sale
	<b>Security total:</b>	<b>63,992.31</b>		<b>68,006.25</b>	...	<b>-4,013.94</b>	
BOOKING HOLDINGS INC BKNG Aug 28 2020 1850.0 Call / CUSIP: / Symbol: BKNG 08/28/20 C 1850.000							
08/24/20	37.000	29,493.85	Various	20,365.43	...	9,128.42	Total of 5 transactions
BOOKING HOLDINGS INC BKNG Aug 28 2020 1880.0 Call / CUSIP: / Symbol: BKNG 08/28/20 C 1880.000							
08/25/20	37.000	27,383.90	Various	18,515.43	...	8,868.47	Total of 3 transactions
BOOKING HOLDINGS INC BKNG Sep 04 2020 2000.0 Call / CUSIP: / Symbol: BKNG 09/04/20 C 2000.000							
09/02/20	54.000	37,126.55	Various	24,452.52	...	12,674.03	Total of 4 transactions
09/03/20	20.000	12,891.34	Various	4,808.34	...	8,083.00	Total of 4 transactions
	<b>Security total:</b>	<b>50,017.89</b>		<b>29,260.86</b>	...	<b>20,757.03</b>	
BOOKING HOLDINGS INC BKNG Sep 18 2020 1840.0 Call / CUSIP: / Symbol: BKNG 09/18/20 C 1840.000							
09/15/20	7.000	6,996.92	09/14/20	7,002.92	...	-6.00	Option sale
BOOKING HOLDINGS INC BKNG Sep 18 2020 1860.0 Call / CUSIP: / Symbol: BKNG 09/18/20 C 1860.000							
09/16/20	27.000	20,062.24	Various	14,575.26	...	5,486.98	Total of 4 transactions
BOOKING HOLDINGS INC BKNG Sep 18 2020 2000.0 Call / CUSIP: / Symbol: BKNG 09/18/20 C 2000.000							
09/09/20	21.000	14,490.88	Various	13,581.09	...	909.79	Total of 2 transactions
09/09/20	16.000	10,393.07	09/09/20	10,407.91	5.57 W	-9.27	Option sale
	<b>Security total:</b>	<b>24,883.95</b>		<b>23,989.00</b>	<b>5.57 W</b>	<b>900.52</b>	
BOOKING HOLDINGS INC BKNG Sep 25 2020 1700.0 Call / CUSIP: / Symbol: BKNG 09/25/20 C 1700.000							
09/25/20	17.000	0.00	09/23/20	9,357.04	...	-9,357.04	Option expiration
BOOKING HOLDINGS INC BKNG Sep 25 2020 1875.0 Call / CUSIP: / Symbol: BKNG 09/25/20 C 1875.000							
09/25/20	28.000	0.00	09/17/20	18,681.68	...	-18,681.68	Option expiration

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOOKING HOLDINGS INC BKNG Oct 09 2020 1825.0 Call / CUSIP: / Symbol: BKNG 10/09/20 C 1825.000							
10/09/20	6.000	1,797.47	10/08/20	1,082.48	...	714.99	Option sale
BOOKING HOLDINGS INC BKNG Oct 16 2020 2000.0 Call / CUSIP: / Symbol: BKNG 10/16/20 C 2000.000							
03/12/20	5.000	19,496.23	02/27/20	27,003.34	7,507.11 W	0.00	Option sale
03/16/20	10.000	44,767.33	Various	52,013.77	...	-7,246.44	Total of 2 transactions
	<b>Security total:</b>	<b>64,263.56</b>		<b>79,017.11</b>	<b>7,507.11 W</b>	<b>-7,246.44</b>	
BOOKING HOLDINGS INC BKNG Oct 23 2020 1770.0 Call / CUSIP: / Symbol: BKNG 10/23/20 C 1770.000							
10/21/20	17.000	2,725.43	Various	22,580.49	...	-19,855.06	Total of 4 transactions
BOOKING HOLDINGS INC BKNG Nov 20 2020 1900.0 Call / CUSIP: / Symbol: BKNG 11/20/20 C 1900.000							
11/02/20	13.000	9,876.32	Various	28,123.43	...	-18,247.11	Option sale
BOOKING HOLDINGS INC BKNG Nov 20 2020 1940.0 Call / CUSIP: / Symbol: BKNG 11/20/20 C 1940.000							
10/26/20	10.000	25,496.77	Various	17,702.64	...	7,794.13	Total of 2 transactions
BOOKING HOLDINGS INC BKNG Nov 27 2020 2080.0 Call / CUSIP: / Symbol: BKNG 11/27/20 C 2080.000							
11/25/20	3.000	2,599.16	Various	1,800.79	...	798.37	Total of 3 transactions
BOOKING HOLDINGS INC BKNG Nov 27 2020 2100.0 Call / CUSIP: / Symbol: BKNG 11/27/20 C 2100.000							
11/24/20	10.000	12,797.06	11/20/20	10,708.09	...	2,088.97	Option sale
11/24/20	22.000	16,393.79	Various	22,158.54	3,458.18 W	-2,306.57	Total of 3 transactions
	<b>Security total:</b>	<b>29,190.85</b>		<b>32,866.63</b>	<b>3,458.18 W</b>	<b>-217.60</b>	
BOOKING HOLDINGS INC BKNG Dec 04 2020 2100.0 Call / CUSIP: / Symbol: BKNG 12/04/20 C 2100.000							
12/02/20	10.000	18,996.92	Various	7,002.64	...	11,994.28	Total of 2 transactions
BOOKING HOLDINGS INC BKNG Dec 04 2020 2150.0 Call / CUSIP: / Symbol: BKNG 12/04/20 C 2150.000							
12/03/20	20.000	5,194.57	Various	12,357.96	...	-7,163.39	Total of 2 transactions
12/03/20	7.000	1,608.10	12/02/20	3,851.85	1,602.68 W	-641.07	Option sale
	<b>Security total:</b>	<b>6,802.67</b>		<b>16,209.81</b>	<b>1,602.68 W</b>	<b>-7,804.46</b>	
BOOKING HOLDINGS INC BKNG Dec 04 2020 2200.0 Call / CUSIP: / Symbol: BKNG 12/04/20 C 2200.000							
11/27/20	7.000	2,448.09	11/27/20	3,991.85	1,323.22 W	-220.54	Option sale
12/02/20	26.000	2,593.04	Various	13,390.08	...	-10,797.04	Total of 2 transactions
	<b>Security total:</b>	<b>5,041.13</b>		<b>17,381.93</b>	<b>1,323.22 W</b>	<b>-11,017.58</b>	

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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BOOKING HOLDINGS INC BKNG Dec 11 2020 2200.0 Call / CUSIP: / Symbol: BKNG 12/11/20 C 2200.000							
12/09/20	40.000	51,978.20	Various	25,790.56	...	26,187.64	Total of 7 transactions
BOOKING HOLDINGS INC BKNG Dec 18 2020 2160.0 Call / CUSIP: / Symbol: BKNG 12/18/20 C 2160.000							
12/18/20	27.000	745.80	Various	10,807.13	...	-10,061.33	Total of 3 transactions
BOOKING HOLDINGS INC BKNG Dec 31 2020 2250.0 Call / CUSIP: / Symbol: BKNG 12/31/20 C 2250.000							
12/29/20	20.000	3,994.59	12/28/20	6,005.28	...	-2,010.69	Option sale
BOOKING HOLDINGS INC BKNG Jan 15 2021 1900.0 Call / CUSIP: / Symbol: BKNG 01/15/21 C 1900.000							
03/25/20	4.000	25,196.78	Various	22,402.66	...	2,794.12	Total of 2 transactions
03/30/20	7.000	34,994.54	03/24/20	33,704.67	1,204.34 W	2,494.21	Option sale
04/07/20	9.000	55,392.76	Various	40,808.91	...	14,583.85	Total of 2 transactions
04/17/20	4.000	28,796.68	Various	16,304.10	...	12,492.58	Option sale
05/07/20	5.000	26,784.07	Various	19,203.33	...	7,580.74	Total of 2 transactions
05/07/20	5.000	25,868.09	Various	25,803.33	1,351.63 W	1,416.39	Total of 2 transactions
06/05/20	5.000	100,995.68	04/07/20	28,054.97	...	72,940.71	Option sale
<b>Security total:</b>		<b>298,028.60</b>		<b>186,281.97</b>	<b>2,555.97 W</b>	<b>114,302.60</b>	
BOOKING HOLDINGS INC BKNG Mar 19 2021 2200.0 Call / CUSIP: / Symbol: BKNG 03/19/21 C 2200.000							
12/30/20	10.000	149,994.02	Various	121,582.64	...	28,411.38	Total of 2 transactions
BOOKING HOLDINGS INC BKNG Jan 21 2022 2200.0 Call / CUSIP: / Symbol: BKNG 01/21/22 C 2200.000							
11/09/20	5.000	145,395.46	Various	45,001.32	...	100,394.14	Total of 2 transactions
11/16/20	5.000	132,495.74	10/30/20	45,501.32	...	86,994.42	Option sale
<b>Security total:</b>		<b>277,891.20</b>		<b>90,502.64</b>	...	<b>187,388.56</b>	
BOOKING HOLDINGS INC BKNG Jan 21 2022 2300.0 Call / CUSIP: / Symbol: BKNG 01/21/22 C 2300.000							
10/14/20	10.000	116,045.78	Various	104,004.17	...	12,041.61	Total of 2 transactions
BLACKROCK INC BLK Jan 31 2020 537.5 Call / CUSIP: / Symbol: BLK 01/31/20 C 537.500							
01/29/20	24.000	4,783.84	01/28/20	3,736.01	...	1,047.83	Option sale
BLACKROCK INC BLK Jan 31 2020 547.5 Call / CUSIP: / Symbol: BLK 01/31/20 C 547.500							
01/23/20	40.000	12,597.99	Various	7,526.67	...	5,071.32	Total of 4 transactions
01/24/20	10.000	4,443.22	Various	1,506.67	...	2,936.55	Total of 2 transactions
<b>Security total:</b>		<b>17,041.21</b>		<b>9,033.34</b>	...	<b>8,007.87</b>	

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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BLACKROCK INC BLK Apr 24 2020 475.0 Call / CUSIP: / Symbol: BLK 04/24/20 C 475.000							
04/17/20	10.000	15,592.97	Various	4,806.67	...	10,786.30	Total of 2 transactions
BLACKROCK INC BLK Jul 24 2020 610.0 Call / CUSIP: / Symbol: BLK 07/24/20 C 610.000							
07/16/20	50.000	9,978.83	07/13/20	12,924.85	...	-2,946.02	Option sale
BLACKROCK INC BLK Aug 21 2020 600.0 Call / CUSIP: / Symbol: BLK 08/21/20 C 600.000							
08/19/20	17.000	4,002.79	Various	3,577.09	...	425.70	Total of 2 transactions
08/20/20	1.000	4.58	08/18/20	210.41	...	-205.83	Option sale
08/21/20	59.000	0.00	08/18/20	12,414.60	...	-12,414.60	Option expiration
<b>Security total:</b>		<b>4,007.37</b>		<b>16,202.10</b>	...	<b>-12,194.73</b>	
BLACKROCK INC BLK Sep 04 2020 615.0 Call / CUSIP: / Symbol: BLK 09/04/20 C 615.000							
09/03/20	10.000	745.79	09/02/20	1,504.17	...	-758.38	Option sale
BLACKROCK INC BLK Oct 16 2020 600.0 Call / CUSIP: / Symbol: BLK 10/16/20 C 600.000							
10/02/20	1.000	439.58	10/01/20	420.41	...	19.17	Option sale
10/05/20	40.000	20,070.92	Various	16,816.57	...	3,254.35	Total of 3 transactions
10/06/20	37.000	22,184.12	Various	15,465.31	...	6,718.81	Total of 2 transactions
<b>Security total:</b>		<b>42,694.62</b>		<b>32,702.29</b>	...	<b>9,992.33</b>	
BLACKROCK INC BLK Nov 13 2020 680.0 Call / CUSIP: / Symbol: BLK 11/13/20 C 680.000							
11/09/20	27.000	19,892.37	Various	8,857.13	...	11,035.24	Total of 3 transactions
11/10/20	10.000	1,497.31	11/06/20	2,802.64	...	-1,305.33	Option sale
<b>Security total:</b>		<b>21,389.68</b>		<b>11,659.77</b>	...	<b>9,729.91</b>	
BLACKROCK INC BLK Dec 04 2020 725.0 Call / CUSIP: / Symbol: BLK 12/04/20 C 725.000							
11/27/20	12.000	5,396.69	11/27/20	4,203.17	...	1,193.52	Option sale
BLACKROCK INC BLK Jan 15 2021 700.0 Call / CUSIP: / Symbol: BLK 01/15/21 C 700.000							
11/03/20	13.000	14,296.23	Various	9,753.43	...	4,542.80	Total of 2 transactions
BLACKROCK INC BLK Jan 15 2021 770.0 Call / CUSIP: / Symbol: BLK 01/15/21 C 770.000							
12/22/20	17.000	2,205.43	12/10/20	7,484.49	...	-5,279.06	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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BLACKROCK INC BLK Jan 21 2022 900.0 Call / CUSIP: / Symbol: BLK 01/21/22 C 900.000							
10/16/20	1.000	1,349.71	10/14/20	1,006.41	...	343.30	Option sale
11/09/20	17.000	26,344.90	10/14/20	17,060.34	...	9,284.56	Option sale
11/24/20	33.000	51,140.10	Various	34,408.71	...	16,731.39	Total of 4 transactions
<b>Security total:</b>		<b>78,834.71</b>		<b>52,475.46</b>	...	<b>26,359.25</b>	
BEYOND MEAT INC BYND Jan 17 2020 89.0 Call / CUSIP: / Symbol: BYND 01/17/20 C 89.000							
01/09/20	10.000	4,143.22	01/09/20	3,306.67	...	836.55	Option sale
BEYOND MEAT INC BYND Jan 17 2020 95.0 Call / CUSIP: / Symbol: BYND 01/17/20 C 95.000							
01/10/20	25.000	7,153.13	Various	4,666.67	...	2,486.46	Total of 2 transactions
BEYOND MEAT INC BYND Jan 17 2020 105.0 Call / CUSIP: / Symbol: BYND 01/17/20 C 105.000							
01/13/20	25.000	7,433.13	Various	6,216.67	...	1,216.46	Total of 3 transactions
BEYOND MEAT INC BYND Jan 31 2020 136.0 Call / CUSIP: / Symbol: BYND 01/31/20 C 136.000							
01/27/20	17.000	6,788.49	01/24/20	8,496.33	...	-1,707.84	Option sale
BEYOND MEAT INC BYND Mar 06 2020 107.0 Call / CUSIP: / Symbol: BYND 03/06/20 C 107.000							
02/28/20	2.000	160.67	02/27/20	2,051.33	...	-1,890.66	Option sale
BEYOND MEAT INC BYND May 15 2020 135.0 Call / CUSIP: / Symbol: BYND 05/15/20 C 135.000							
05/06/20	90.000	40,807.88	Various	26,360.02	...	14,447.86	Total of 12 transactions
05/07/20	7.000	3,159.25	05/06/20	1,754.67	...	1,404.58	Option sale
05/07/20	37.000	10,350.02	Various	10,084.68	21.70 W	287.04	Total of 2 transactions
05/08/20	75.000	35,321.07	Various	21,821.72	...	13,499.35	Total of 12 transactions
<b>Security total:</b>		<b>89,638.22</b>		<b>60,021.09</b>	<b>21.70 W</b>	<b>29,638.83</b>	
BEYOND MEAT INC BYND May 15 2020 140.0 Call / CUSIP: / Symbol: BYND 05/15/20 C 140.000							
05/11/20	37.000	24,804.69	Various	16,674.68	...	8,130.01	Total of 5 transactions
05/11/20	40.000	13,172.95	05/11/20	16,026.67	2,853.72 W	0.00	Option sale
05/12/20	47.000	20,425.11	Various	16,879.07	...	3,546.04	Total of 4 transactions
<b>Security total:</b>		<b>58,402.75</b>		<b>49,580.42</b>	<b>2,853.72 W</b>	<b>11,676.05</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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BEYOND MEAT INC BYND May 15 2020 145.0 Call / CUSIP: / Symbol: BYND 05/15/20 C 145.000							
05/08/20	65.000	26,655.93	Various	13,043.35	...	13,612.58	Total of 5 transactions
05/08/20	10.000	1,993.27	05/07/20	2,006.67	13.40 W	0.00	Option sale
05/11/20	70.000	28,092.54	Various	22,710.09	...	5,382.45	Total of 8 transactions
<b>Security total:</b>		<b>56,741.74</b>		<b>37,760.11</b>	<b>13.40 W</b>	<b>18,995.03</b>	
BEYOND MEAT INC BYND May 15 2020 150.0 Call / CUSIP: / Symbol: BYND 05/15/20 C 150.000							
05/08/20	25.000	6,248.13	Various	8,766.67	2,518.54 W	0.00	Total of 2 transactions
05/12/20	37.000	10,224.02	05/12/20	13,088.22	...	-2,864.20	Option sale
<b>Security total:</b>		<b>16,472.15</b>		<b>21,854.89</b>	<b>2,518.54 W</b>	<b>-2,864.20</b>	
BEYOND MEAT INC BYND May 22 2020 150.0 Call / CUSIP: / Symbol: BYND 05/22/20 C 150.000							
05/14/20	1.000	420.32	05/14/20	340.67	...	79.65	Option sale
05/18/20	47.000	3,359.56	05/15/20	22,264.47	...	-18,904.91	Option sale
05/18/20	53.000	11,686.23	Various	23,126.90	11,440.67 W	0.00	Total of 4 transactions
<b>Security total:</b>		<b>15,466.11</b>		<b>45,732.04</b>	<b>11,440.67 W</b>	<b>-18,825.26</b>	
BEYOND MEAT INC BYND May 22 2020 160.0 Call / CUSIP: / Symbol: BYND 05/22/20 C 160.000							
05/14/20	17.000	5,088.52	05/14/20	6,104.34	...	-1,015.82	Option sale
BEYOND MEAT INC BYND May 29 2020 145.0 Call / CUSIP: / Symbol: BYND 05/29/20 C 145.000							
05/26/20	50.000	12,673.77	Various	12,625.85	...	47.92	Total of 4 transactions
BEYOND MEAT INC BYND May 29 2020 155.0 Call / CUSIP: / Symbol: BYND 05/29/20 C 155.000							
05/21/20	50.000	15,528.71	Various	15,975.84	447.13 W	0.00	Total of 2 transactions
05/22/20	135.000	13,227.17	Various	35,527.98	...	-22,300.81	Option sale
05/22/20	15.000	1,409.70	05/20/20	4,792.76	3,383.06 W	0.00	Option sale
<b>Security total:</b>		<b>30,165.58</b>		<b>56,296.58</b>	<b>3,830.19 W</b>	<b>-22,300.81</b>	
BEYOND MEAT INC BYND Jun 05 2020 145.0 Call / CUSIP: / Symbol: BYND 06/05/20 C 145.000							
06/01/20	50.000	12,453.78	Various	12,770.85	...	-317.07	Total of 2 transactions
BEYOND MEAT INC BYND Jun 19 2020 157.5 Call / CUSIP: / Symbol: BYND 06/19/20 C 157.500							
06/18/20	37.000	9,885.28	Various	9,709.43	...	175.85	Total of 2 transactions
BEYOND MEAT INC BYND Jun 19 2020 170.0 Call / CUSIP: / Symbol: BYND 06/19/20 C 170.000							
06/17/20	150.000	52,690.98	Various	21,041.54	...	31,649.44	Total of 4 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT INC BYND Jun 26 2020 150.0 Call / CUSIP: / Symbol: BYND 06/26/20 C 150.000							
06/25/20	5.000	1,117.89	06/25/20	1,302.08	...	-184.19	Option sale
BEYOND MEAT INC BYND Aug 07 2020 162.5 Call / CUSIP: / Symbol: BYND 08/07/20 C 162.500							
08/04/20	3.000	913.72	08/04/20	901.25	...	12.47	Option sale
BEYOND MEAT INC BYND Sep 04 2020 140.0 Call / CUSIP: / Symbol: BYND 09/04/20 C 140.000							
08/27/20	10.000	5,695.68	08/27/20	5,704.17	...	-8.49	Option sale
BEYOND MEAT INC BYND Sep 04 2020 150.0 Call / CUSIP: / Symbol: BYND 09/04/20 C 150.000							
09/01/20	100.000	4,558.01	08/31/20	12,742.80	...	-8,184.79	Option sale
09/01/20	100.000	6,936.96	Various	11,216.69	791.12 W	-3,488.61	Total of 3 transactions
	<b>Security total:</b>	<b>11,494.97</b>		<b>23,959.49</b>	<b>791.12 W</b>	<b>-11,673.40</b>	
BEYOND MEAT INC BYND Sep 04 2020 155.0 Call / CUSIP: / Symbol: BYND 09/04/20 C 155.000							
08/28/20	100.000	4,258.01	08/27/20	24,641.69	...	-20,383.68	Option sale
BEYOND MEAT INC BYND Sep 11 2020 145.0 Call / CUSIP: / Symbol: BYND 09/11/20 C 145.000							
09/03/20	137.000	61,088.25	Various	23,347.12	...	37,741.13	Total of 6 transactions
BEYOND MEAT INC BYND Sep 18 2020 140.0 Call / CUSIP: / Symbol: BYND 09/18/20 C 140.000							
09/08/20	27.000	14,838.36	09/08/20	8,112.26	...	6,726.10	Option sale
09/09/20	30.000	18,182.02	Various	8,970.50	...	9,211.52	Total of 2 transactions
09/10/20	20.000	11,010.38	Various	5,980.34	...	5,030.04	Total of 2 transactions
	<b>Security total:</b>	<b>44,030.76</b>		<b>23,063.10</b>	...	<b>20,967.66</b>	
BEYOND MEAT INC BYND Sep 18 2020 143.0 Call / CUSIP: / Symbol: BYND 09/18/20 C 143.000							
09/14/20	50.000	16,813.68	Various	8,870.85	...	7,942.83	Total of 10 transactions
BEYOND MEAT INC BYND Sep 18 2020 150.0 Call / CUSIP: / Symbol: BYND 09/18/20 C 150.000							
09/14/20	78.000	23,541.80	Various	17,182.52	...	6,359.28	Total of 5 transactions
09/15/20	90.000	26,961.69	Various	18,135.52	...	8,826.17	Total of 2 transactions
	<b>Security total:</b>	<b>50,503.49</b>		<b>35,318.04</b>	...	<b>15,185.45</b>	
BEYOND MEAT INC BYND Sep 18 2020 155.0 Call / CUSIP: / Symbol: BYND 09/18/20 C 155.000							
09/15/20	77.000	9,540.54	Various	13,892.10	...	-4,351.56	Total of 3 transactions

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BEYOND MEAT INC BYND Oct 23 2020 200.0 Call / CUSIP: / Symbol: BYND 10/23/20 C 200.000							
10/16/20	37.000	6,243.02	10/16/20	8,149.77	...	-1,906.75	Option sale
CITIGROUP INC C Oct 16 2020 47.0 Call / CUSIP: / Symbol: C 10/16/20 C 47.000							
09/28/20	92.000	4,837.62	09/28/20	5,098.09	...	-260.47	Option sale
CHIPOTLE MEXICAN GRILL CMG May 22 2020 960.0 Call / CUSIP: / Symbol: CMG 05/22/20 C 960.000							
05/14/20	2.000	1,798.63	05/14/20	1,521.33	...	277.30	Option sale
CHIPOTLE MEXICAN GRILL CMG Jun 19 2020 1050.0 Call / CUSIP: / Symbol: CMG 06/19/20 C 1050.000							
06/15/20	1.000	599.57	06/15/20	550.42	...	49.15	Option sale
CHIPOTLE MEXICAN GRILL CMG Jun 26 2020 1080.0 Call / CUSIP: / Symbol: CMG 06/26/20 C 1080.000							
06/23/20	10.000	4,995.70	06/23/20	6,004.17	...	-1,008.47	Option sale
CHIPOTLE MEXICAN GRILL CMG Jun 26 2020 1100.0 Call / CUSIP: / Symbol: CMG 06/26/20 C 1100.000							
06/17/20	2.000	1,099.15	06/17/20	1,260.83	161.68 W	0.00	Option sale
06/22/20	45.000	30,446.49	Various	23,355.70	...	7,090.79	Total of 11 transactions
06/22/20	50.000	29,980.40	Various	31,562.53	1,582.13 W	0.00	Total of 6 transactions
06/23/20	5.000	3,247.84	06/17/20	2,435.28	...	812.56	Option sale
	<b>Security total:</b>	<b>64,773.88</b>		<b>58,614.34</b>	<b>1,743.81 W</b>	<b>7,903.35</b>	
CHIPOTLE MEXICAN GRILL CMG Jul 17 2020 1170.0 Call / CUSIP: / Symbol: CMG 07/17/20 C 1170.000							
07/17/20	1.000	49.58	07/15/20	696.26	...	-646.68	Option sale
07/17/20	86.000	393.97	07/15/20	34,935.86	495.84 W	-34,046.05	Option sale
	<b>Security total:</b>	<b>443.55</b>		<b>35,632.12</b>	<b>495.84 W</b>	<b>-34,692.73</b>	
CHIPOTLE MEXICAN GRILL CMG Sep 18 2020 1330.0 Call / CUSIP: / Symbol: CMG 09/18/20 C 1330.000							
09/16/20	50.000	6,478.91	09/15/20	16,520.85	...	-10,041.94	Option sale
CHIPOTLE MEXICAN GRILL CMG Sep 18 2020 1370.0 Call / CUSIP: / Symbol: CMG 09/18/20 C 1370.000							
09/15/20	50.000	2,478.99	09/14/20	20,020.85	...	-17,541.86	Option sale
CHIPOTLE MEXICAN GRILL CMG Sep 18 2020 1400.0 Call / CUSIP: / Symbol: CMG 09/18/20 C 1400.000							
09/14/20	2.000	319.16	09/11/20	1,142.51	...	-823.35	Option sale
09/14/20	27.000	3,498.61	09/11/20	12,111.26	741.68 W	-7,870.97	Option sale
	<b>Security total:</b>	<b>3,817.77</b>		<b>13,253.77</b>	<b>741.68 W</b>	<b>-8,694.32</b>	

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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CHIPOTLE MEXICAN GRILL CMG Sep 25 2020 1280.0 Call / CUSIP: / Symbol: CMG 09/25/20 C 1280.000							
09/23/20	37.000	18,484.20	09/22/20	14,815.43	...	3,668.77	Option sale
CHIPOTLE MEXICAN GRILL CMG Sep 25 2020 1300.0 Call / CUSIP: / Symbol: CMG 09/25/20 C 1300.000							
09/23/20	57.000	39,145.42	Various	21,672.62	...	17,472.80	Total of 6 transactions
09/23/20	50.000	14,978.87	09/22/20	15,020.85	5.88 W	-36.10	Option sale
	<b>Security total:</b>	<b>54,124.29</b>		<b>36,693.47</b>	<b>5.88 W</b>	<b>17,436.70</b>	
CHIPOTLE MEXICAN GRILL CMG Oct 02 2020 1350.0 Call / CUSIP: / Symbol: CMG 10/02/20 C 1350.000							
09/29/20	17.000	1,014.91	Various	11,907.04	...	-10,892.13	Total of 2 transactions
CHIPOTLE MEXICAN GRILL CMG Oct 02 2020 1370.0 Call / CUSIP: / Symbol: CMG 10/02/20 C 1370.000							
09/28/20	1.000	99.59	09/23/20	1,301.25	...	-1,201.66	Option sale
09/28/20	20.000	1,991.64	09/23/20	14,008.28	12,016.64 W	0.00	Option sale
10/02/20	19.000	0.00	09/23/20	22,823.67	...	-22,823.67	Option expiration
	<b>Security total:</b>	<b>2,091.23</b>		<b>38,133.20</b>	<b>12,016.64 W</b>	<b>-24,025.33</b>	
CHIPOTLE MEXICAN GRILL CMG Oct 09 2020 1310.0 Call / CUSIP: / Symbol: CMG 10/09/20 C 1310.000							
10/02/20	1.000	769.57	10/01/20	800.41	30.84 W	0.00	Option sale
10/06/20	30.000	24,886.97	Various	23,965.80	...	921.17	Total of 2 transactions
10/06/20	20.000	11,991.41	10/01/20	16,008.28	4,016.87 W	0.00	Option sale
10/07/20	17.000	12,142.66	Various	10,601.37	...	1,541.29	Total of 3 transactions
	<b>Security total:</b>	<b>49,790.61</b>		<b>51,375.86</b>	<b>4,047.71 W</b>	<b>2,462.46</b>	
CHIPOTLE MEXICAN GRILL CMG Oct 09 2020 1320.0 Call / CUSIP: / Symbol: CMG 10/09/20 C 1320.000							
10/08/20	57.000	4,251.20	Various	24,630.23	...	-20,379.03	Total of 2 transactions
10/08/20	20.000	1,491.65	10/07/20	7,408.28	5,916.63 W	0.00	Option sale
	<b>Security total:</b>	<b>5,742.85</b>		<b>32,038.51</b>	<b>5,916.63 W</b>	<b>-20,379.03</b>	
CHIPOTLE MEXICAN GRILL CMG Dec 04 2020 1322.5 Call / CUSIP: / Symbol: CMG 12/04/20 C 1322.500							
12/04/20	30.000	5,616.89	Various	3,007.92	...	2,608.97	Total of 6 transactions
CHIPOTLE MEXICAN GRILL CMG Dec 04 2020 1330.0 Call / CUSIP: / Symbol: CMG 12/04/20 C 1330.000							
12/03/20	27.000	13,992.51	Various	8,917.13	...	5,075.38	Total of 3 transactions
12/04/20	30.000	1,680.98	Various	10,008.98	...	-8,328.00	Total of 2 transactions
12/04/20	2.000	99.47	12/03/20	660.53	561.06 W	0.00	Option sale
	<b>Security total:</b>	<b>15,772.96</b>		<b>19,586.64</b>	<b>561.06 W</b>	<b>-3,252.62</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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COSTCO WHOLESALE CORP COST Sep 04 2020 357.5 Call / CUSIP: / Symbol: COST 09/04/20 C 357.500							
09/02/20	87.000	29,297.91	Various	12,791.28	...	16,506.63	Total of 4 transactions
WALT DISNEY COMPANY (THE) DIS Dec 18 2020 172.5 Call / CUSIP: / Symbol: DIS 12/18/20 C 172.500							
12/15/20	100.000	15,023.06	Various	10,526.40	...	4,496.66	Total of 2 transactions
WALT DISNEY COMPANY (THE) DIS Dec 18 2020 182.5 Call / CUSIP: / Symbol: DIS 12/18/20 C 182.500							
12/14/20	1.000	133.74	12/14/20	90.26	...	43.48	Option sale
WALT DISNEY COMPANY (THE) DIS Dec 18 2020 185.0 Call / CUSIP: / Symbol: DIS 12/18/20 C 185.000							
12/11/20	150.000	28,507.47	Various	9,789.60	...	18,717.87	Total of 4 transactions
12/14/20	100.000	6,973.25	12/11/20	6,526.40	...	446.85	Option sale
	<b>Security total:</b>	<b>35,480.72</b>		<b>16,316.00</b>	...	<b>19,164.72</b>	
DOCUSIGN INC DOCU Aug 14 2020 205.0 Call / CUSIP: / Symbol: DOCU 08/14/20 C 205.000							
08/14/20	50.000	3,900.97	Various	8,737.66	...	-4,836.69	Total of 2 transactions
08/14/20	50.000	3,730.97	Various	8,270.84	3,906.81 W	-633.06	Total of 2 transactions
	<b>Security total:</b>	<b>7,631.94</b>		<b>17,008.50</b>	<b>3,906.81 W</b>	<b>-5,469.75</b>	
DOCUSIGN INC DOCU Sep 18 2020 215.0 Call / CUSIP: / Symbol: DOCU 09/18/20 C 215.000							
09/16/20	100.000	6,957.96	09/15/20	7,841.69	...	-883.73	Option sale
DOMINOS PIZZA INC DPZ Oct 09 2020 420.0 Call / CUSIP: / Symbol: DPZ 10/09/20 C 420.000							
10/08/20	37.000	3,684.53	10/08/20	3,715.32	...	-30.79	Option sale
DOMINOS PIZZA INC DPZ Oct 09 2020 390.0 Put / CUSIP: / Symbol: DPZ 10/09/20 P 390.000							
10/08/20	77.000	3,432.89	10/08/20	11,966.88	...	-8,533.99	Option sale
DOMINOS PIZZA INC DPZ Oct 09 2020 392.5 Put / CUSIP: / Symbol: DPZ 10/09/20 P 392.500							
10/08/20	27.000	5,388.65	10/08/20	5,141.18	...	247.47	Option sale
DOMINOS PIZZA INC DPZ Oct 09 2020 397.5 Put / CUSIP: / Symbol: DPZ 10/09/20 P 397.500							
10/08/20	57.000	5,106.18	10/08/20	9,998.60	...	-4,892.42	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

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DEXCOM INC DXCM Dec 18 2020 380.0 Call / CUSIP: / Symbol: DXCM 12/18/20 C 380.000							
12/03/20	37.000	11,089.91	Various	9,999.77	...	1,090.14	Total of 2 transactions
12/04/20	40.000	10,789.12	Various	10,810.56	21.44 W	0.00	Total of 2 transactions
12/08/20	50.000	10,836.47	Various	12,020.17	...	-1,183.70	Total of 3 transactions
12/10/20	27.000	1,238.80	Various	6,501.60	...	-5,262.80	Total of 2 transactions
<b>Security total:</b>		<b>33,954.30</b>		<b>39,332.10</b>	<b>21.44 W</b>	<b>-5,356.36</b>	
ETSY INC ETSY Sep 25 2020 118.0 Call / CUSIP: / Symbol: ETSY 09/25/20 C 118.000							
09/21/20	77.000	7,558.58	Various	8,733.10	...	-1,174.52	Total of 2 transactions
FACEBOOK INC FB Jun 05 2020 235.0 Call / CUSIP: / Symbol: FB 06/05/20 C 235.000							
06/01/20	106.000	19,241.17	Various	18,494.19	...	746.98	Total of 3 transactions
FACEBOOK INC FB Jun 19 2020 240.0 Call / CUSIP: / Symbol: FB 06/19/20 C 240.000							
06/17/20	300.000	54,049.11	Various	46,775.08	...	7,274.03	Total of 9 transactions
06/19/20	100.000	10,157.88	06/19/20	16,382.70	...	-6,224.82	Option sale
06/19/20	37.000	3,684.42	06/19/20	5,639.43	1,955.01 W	0.00	Option sale
<b>Security total:</b>		<b>67,891.41</b>		<b>68,797.21</b>	<b>1,955.01 W</b>	<b>1,049.21</b>	
FACEBOOK INC FB Jun 19 2020 242.5 Call / CUSIP: / Symbol: FB 06/19/20 C 242.500							
06/17/20	150.000	17,336.78	06/17/20	17,312.54	...	24.24	Option sale
FACEBOOK INC FB Jun 26 2020 247.5 Call / CUSIP: / Symbol: FB 06/26/20 C 247.500							
06/23/20	200.000	28,734.57	Various	26,083.38	...	2,651.19	Total of 8 transactions
FACEBOOK INC FB Jun 26 2020 250.0 Call / CUSIP: / Symbol: FB 06/26/20 C 250.000							
06/22/20	200.000	14,515.90	06/19/20	36,521.85	...	-22,005.95	Option sale
06/22/20	300.000	21,176.86	Various	44,252.13	7,157.53 W	-15,917.74	Total of 2 transactions
<b>Security total:</b>		<b>35,692.76</b>		<b>80,773.98</b>	<b>7,157.53 W</b>	<b>-37,923.69</b>	
FACEBOOK INC FB Aug 07 2020 260.0 Call / CUSIP: / Symbol: FB 08/07/20 C 260.000							
08/06/20	50.000	7,478.88	08/06/20	7,370.85	...	108.03	Option sale
FACEBOOK INC FB Aug 07 2020 262.5 Call / CUSIP: / Symbol: FB 08/07/20 C 262.500							
07/31/20	200.000	34,165.45	Various	27,883.38	...	6,282.07	Total of 3 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FACEBOOK INC FB Aug 07 2020	265.0	Call / CUSIP: / Symbol: FB 08/07/20 C 265.000	08/06/20	3,259.42	...	280.03	Option sale
FACEBOOK INC FB Aug 14 2020	300.0	Call / CUSIP: / Symbol: FB 08/14/20 C 300.000	08/07/20	9,265.43	...	5.86	Option sale
FACEBOOK INC FB Aug 21 2020	275.0	Call / CUSIP: / Symbol: FB 08/21/20 C 275.000	08/19/20	25,661.38	...	2,554.22	Total of 2 transactions
FACEBOOK INC FB Aug 28 2020	275.0	Call / CUSIP: / Symbol: FB 08/28/20 C 275.000	08/18/20	13,353.10	...	6,146.21	Total of 3 transactions
FACEBOOK INC FB Aug 28 2020	280.0	Call / CUSIP: / Symbol: FB 08/28/20 C 280.000	08/25/20	17,588.10	...	-1,950.71	Total of 2 transactions
FACEBOOK INC FB Aug 28 2020	287.5	Call / CUSIP: / Symbol: FB 08/28/20 C 287.500	08/25/20	17,357.10	...	1,394.23	Total of 2 transactions
FACEBOOK INC FB Aug 28 2020	310.0	Call / CUSIP: / Symbol: FB 08/28/20 C 310.000	08/26/20	5,735.42	...	878.96	Option sale
FACEBOOK INC FB Sep 25 2020	270.0	Call / CUSIP: / Symbol: FB 09/25/20 C 270.000	09/18/20	5,951.26	...	-3,235.63	Option sale
FACEBOOK INC FB Oct 02 2020	267.5	Call / CUSIP: / Symbol: FB 10/02/20 C 267.500	09/24/20	13,463.88	...	-4,256.11	Option sale
FACEBOOK INC FB Oct 09 2020	262.5	Call / CUSIP: / Symbol: FB 10/09/20 C 262.500	10/07/20	14,441.40	...	393.66	Total of 3 transactions
FACEBOOK INC FB Oct 09 2020	265.0	Call / CUSIP: / Symbol: FB 10/09/20 C 265.000	10/08/20	15,295.28	...	-820.24	Total of 4 transactions
FACEBOOK INC FB Jul 02 2020	235.0	Put / CUSIP: / Symbol: FB 07/02/20 P 235.000	07/02/20	3,552.51	...	334.83	Option sale
FEDEX CORP FDX Jan 31 2020	152.5	Call / CUSIP: / Symbol: FDX 01/31/20 C 152.500	01/31/20	5,897.36	...	-5,897.36	Option expiration

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FEDEX CORP FDX Jan 31 2020 165.0 Call / CUSIP: / Symbol: FDX 01/31/20 C 165.000							
01/21/20	50.000	2,366.50	01/17/20	5,833.35	...	-3,466.85	Option sale
FEDEX CORP FDX Jul 10 2020 170.0 Call / CUSIP: / Symbol: FDX 07/10/20 C 170.000							
07/02/20	200.000	11,256.97	Various	44,184.61	...	-32,927.64	Total of 3 transactions
07/02/20	17.000	995.86	07/01/20	3,662.09	2,666.23 W	0.00	Option sale
	<b>Security total:</b>	<b>12,252.83</b>		<b>47,846.70</b>	<b>2,666.23 W</b>	<b>-32,927.64</b>	
FEDEX CORP FDX Jul 31 2020 172.5 Call / CUSIP: / Symbol: FDX 07/31/20 C 172.500							
07/29/20	100.000	9,957.88	Various	6,841.69	...	3,116.19	Total of 2 transactions
07/30/20	100.000	17,057.72	Various	6,841.69	...	10,216.03	Total of 2 transactions
	<b>Security total:</b>	<b>27,015.60</b>		<b>13,683.38</b>	...	<b>13,332.22</b>	
FEDEX CORP FDX Aug 14 2020 185.0 Call / CUSIP: / Symbol: FDX 08/14/20 C 185.000							
08/07/20	7.000	872.05	08/07/20	807.92	...	64.13	Option sale
FEDEX CORP FDX Sep 25 2020 255.0 Call / CUSIP: / Symbol: FDX 09/25/20 C 255.000							
09/17/20	50.000	12,478.77	09/17/20	10,020.85	...	2,457.92	Option sale
FEDEX CORP FDX Oct 30 2020 285.0 Call / CUSIP: / Symbol: FDX 10/30/20 C 285.000							
10/27/20	20.000	3,094.61	10/27/20	3,065.28	...	29.33	Option sale
FEDEX CORP FDX Dec 04 2020 295.0 Call / CUSIP: / Symbol: FDX 12/04/20 C 295.000							
12/02/20	67.000	16,694.82	Various	10,938.69	...	5,756.13	Total of 3 transactions
FIRST SOLAR INC FSLR Dec 04 2020 100.0 Call / CUSIP: / Symbol: FSLR 12/04/20 C 100.000							
12/01/20	100.000	2,573.34	Various	12,981.65	...	-10,408.31	Total of 2 transactions
12/01/20	57.000	1,637.80	11/30/20	6,570.05	4,932.25 W	0.00	Option sale
	<b>Security total:</b>	<b>4,211.14</b>		<b>19,551.70</b>	<b>4,932.25 W</b>	<b>-10,408.31</b>	
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH							
05/26/20	5,000.000	79,807.93	Various	76,080.00	...	3,727.93	Total of 4 transactions
06/17/20	5,000.000	94,008.97	Various	77,700.00	...	16,308.97	Total of 5 transactions
07/16/20	5,000.000	84,997.52	06/23/20	87,445.10	2,447.58 W	0.00	Sale <sup>26</sup>
08/26/20	5,000.000	82,916.84	Various	79,397.58	...	3,519.26	Total of 5 transactions
	<b>Security total:</b>	<b>341,731.26</b>		<b>320,622.68</b>	<b>2,447.58 W</b>	<b>23,556.16</b>	

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC GOOGL May 15 2020 1435.0 Call / CUSIP: / Symbol: GOOGL 05/15/20 C 1435.000							
05/11/20	37.000	25,694.68	Various	17,784.68	...	7,910.00	Total of 4 transactions
ALPHABET INC GOOGL May 15 2020 1440.0 Call / CUSIP: / Symbol: GOOGL 05/15/20 C 1440.000							
05/11/20	37.000	13,897.95	Various	14,454.68	556.73 W	0.00	Total of 3 transactions
05/12/20	37.000	8,855.05	05/12/20	14,641.41	...	-5,786.36	Option sale
	<b>Security total:</b>	<b>22,753.00</b>		<b>29,096.09</b>	<b>556.73 W</b>	<b>-5,786.36</b>	
ALPHABET INC GOOGL May 15 2020 1450.0 Call / CUSIP: / Symbol: GOOGL 05/15/20 C 1450.000							
05/12/20	37.000	13,566.94	Various	15,688.12	...	-2,121.18	Total of 12 transactions
05/12/20	10.000	3,493.23	05/11/20	4,506.67	1,013.44 W	0.00	Option sale
	<b>Security total:</b>	<b>17,060.17</b>		<b>20,194.79</b>	<b>1,013.44 W</b>	<b>-2,121.18</b>	
ALPHABET INC GOOGL Jun 05 2020 1445.0 Call / CUSIP: / Symbol: GOOGL 06/05/20 C 1445.000							
06/05/20	20.000	3,741.54	Various	3,412.34	...	329.20	Total of 2 transactions
ALPHABET INC GOOGL Jun 05 2020 1450.0 Call / CUSIP: / Symbol: GOOGL 06/05/20 C 1450.000							
06/03/20	50.000	38,762.20	Various	33,745.84	...	5,016.36	Total of 6 transactions
ALPHABET INC GOOGL Jun 05 2020 1460.0 Call / CUSIP: / Symbol: GOOGL 06/05/20 C 1460.000							
06/03/20	2.000	959.15	06/03/20	1,140.83	...	-181.68	Option sale
ALPHABET INC GOOGL Jun 05 2020 1480.0 Call / CUSIP: / Symbol: GOOGL 06/05/20 C 1480.000							
06/02/20	10.000	1,278.78	Various	3,104.17	...	-1,825.39	Total of 2 transactions
ALPHABET INC GOOGL Jun 12 2020 1475.0 Call / CUSIP: / Symbol: GOOGL 06/12/20 C 1475.000							
06/08/20	47.000	31,419.62	Various	22,579.59	...	8,840.03	Total of 7 transactions
ALPHABET INC GOOGL Jun 12 2020 1500.0 Call / CUSIP: / Symbol: GOOGL 06/12/20 C 1500.000							
06/08/20	75.000	14,021.27	Various	18,106.27	...	-4,085.00	Total of 3 transactions
ALPHABET INC GOOGL Jun 26 2020 1500.0 Call / CUSIP: / Symbol: GOOGL 06/26/20 C 1500.000							
06/23/20	37.000	12,001.24	Various	10,626.39	...	1,374.85	Total of 4 transactions
06/23/20	13.000	3,894.46	06/23/20	3,905.42	10.96 W	0.00	Option sale
	<b>Security total:</b>	<b>15,895.70</b>		<b>14,531.81</b>	<b>10.96 W</b>	<b>1,374.85</b>	
ALPHABET INC GOOGL Aug 14 2020 1540.0 Call / CUSIP: / Symbol: GOOGL 08/14/20 C 1540.000							
08/11/20	50.000	15,978.70	08/11/20	15,012.85	...	965.85	Option sale

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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ALPHABET INC GOOGL Aug 21 2020 1580.0 Call / CUSIP: / Symbol: GOOGL 08/21/20 C 1580.000							
08/18/20	25.000	14,497.21	Various	14,260.42	...	236.79	Total of 2 transactions
ALPHABET INC GOOGL Oct 02 2020 1510.0 Call / CUSIP: / Symbol: GOOGL 10/02/20 C 1510.000							
09/30/20	37.000	12,704.33	Various	9,889.50	...	2,814.83	Total of 5 transactions
09/30/20	5.000	1,497.89	09/29/20	1,552.07	54.18 W	0.00	Option sale
	<b>Security total:</b>	<b>14,202.22</b>		<b>11,441.57</b>	<b>54.18 W</b>	<b>2,814.83</b>	
ALPHABET INC GOOGL Oct 02 2020 1515.0 Call / CUSIP: / Symbol: GOOGL 10/02/20 C 1515.000							
10/01/20	77.000	18,717.56	Various	17,741.88	...	975.68	Total of 3 transactions
ALPHABET INC GOOGL Oct 02 2020 1520.0 Call / CUSIP: / Symbol: GOOGL 10/02/20 C 1520.000							
10/02/20	77.000	352.96	10/01/20	15,431.88	...	-15,078.92	Option sale
ALPHABET INC GOOGL Oct 16 2020 1550.0 Call / CUSIP: / Symbol: GOOGL 10/16/20 C 1550.000							
10/09/20	27.000	12,678.49	Various	11,891.18	...	787.31	Total of 2 transactions
ALPHABET INC GOOGL Nov 06 2020 1800.0 Call / CUSIP: / Symbol: GOOGL 11/06/20 C 1800.000							
11/04/20	7.000	4,198.05	11/04/20	3,841.85	...	356.20	Option sale
ALPHABET INC GOOGL Nov 13 2020 1820.0 Call / CUSIP: / Symbol: GOOGL 11/13/20 C 1820.000							
11/09/20	4.000	5,198.82	11/06/20	2,601.06	...	2,597.76	Option sale
ALPHABET INC GOOGL Nov 20 2020 1770.0 Call / CUSIP: / Symbol: GOOGL 11/20/20 C 1770.000							
11/19/20	15.000	7,585.84	Various	4,503.96	...	3,081.88	Total of 2 transactions
11/20/20	17.000	4,530.38	Various	5,524.49	...	-994.11	Total of 4 transactions
	<b>Security total:</b>	<b>12,116.22</b>		<b>10,028.45</b>	...	<b>2,087.77</b>	
ALPHABET INC GOOGL Nov 20 2020 1800.0 Call / CUSIP: / Symbol: GOOGL 11/20/20 C 1800.000							
11/16/20	10.000	14,697.02	11/13/20	7,802.64	...	6,894.38	Option sale
ALPHABET INC GOOGL Nov 20 2020 1830.0 Call / CUSIP: / Symbol: GOOGL 11/20/20 C 1830.000							
11/16/20	30.000	26,991.42	Various	10,141.92	...	16,849.50	Total of 3 transactions
11/18/20	37.000	1,544.13	Various	14,879.77	...	-13,335.64	Option sale
	<b>Security total:</b>	<b>28,535.55</b>		<b>25,021.69</b>	...	<b>3,513.86</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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ALPHABET INC GOOGL Nov 20 2020 1840.0 Call / CUSIP: / Symbol: GOOGL 11/20/20 C 1840.000							
11/18/20	60.000	1,784.00	Various	29,259.55	...	-27,475.55	Option sale
11/18/20	7.000	208.14	11/16/20	3,501.85	3,293.71 W	0.00	Option sale
	<b>Security total:</b>	<b>1,992.14</b>		<b>32,761.40</b>	<b>3,293.71 W</b>	<b>-27,475.55</b>	
ALPHABET INC GOOGL Nov 27 2020 1770.0 Call / CUSIP: / Symbol: GOOGL 11/27/20 C 1770.000							
11/24/20	25.000	3,493.27	11/24/20	6,506.60	...	-3,013.33	Option sale
ALPHABET INC GOOGL Dec 04 2020 1800.0 Call / CUSIP: / Symbol: GOOGL 12/04/20 C 1800.000							
11/24/20	3.000	4,199.11	11/24/20	1,860.79	...	2,338.32	Option sale
11/25/20	7.000	10,497.91	11/24/20	4,341.85	...	6,156.06	Option sale
11/27/20	17.000	30,494.81	Various	13,124.49	...	17,370.32	Total of 2 transactions
	<b>Security total:</b>	<b>45,191.83</b>		<b>19,327.13</b>	...	<b>25,864.70</b>	
ALPHABET INC GOOGL Dec 04 2020 1815.0 Call / CUSIP: / Symbol: GOOGL 12/04/20 C 1815.000							
12/01/20	27.000	24,232.29	Various	10,267.13	...	13,965.16	Total of 3 transactions
ALPHABET INC GOOGL Dec 04 2020 1830.0 Call / CUSIP: / Symbol: GOOGL 12/04/20 C 1830.000							
12/02/20	17.000	10,705.24	Various	8,844.49	...	1,860.75	Total of 2 transactions
ALPHABET INC GOOGL Dec 04 2020 1840.0 Call / CUSIP: / Symbol: GOOGL 12/04/20 C 1840.000							
12/01/20	50.000	40,994.79	Various	15,013.20	...	25,981.59	Total of 6 transactions
ALPHABET INC GOOGL Dec 04 2020 1850.0 Call / CUSIP: / Symbol: GOOGL 12/04/20 C 1850.000							
12/02/20	77.000	49,878.42	Various	23,120.33	...	26,758.09	Total of 6 transactions
ALPHABET INC GOOGL Dec 04 2020 1860.0 Call / CUSIP: / Symbol: GOOGL 12/04/20 C 1860.000							
11/27/20	7.000	3,218.07	11/27/20	2,871.85	...	346.22	Option sale
11/30/20	50.000	8,486.51	11/27/20	20,453.19	...	-11,966.68	Option sale
	<b>Security total:</b>	<b>11,704.58</b>		<b>23,325.04</b>	...	<b>-11,620.46</b>	
ALPHABET INC GOOGL Dec 11 2020 1775.0 Call / CUSIP: / Symbol: GOOGL 12/11/20 C 1775.000							
12/11/20	6.000	1,198.38	12/11/20	871.58	...	326.80	Option sale
ALPHABET INC GOOGL Dec 11 2020 1800.0 Call / CUSIP: / Symbol: GOOGL 12/11/20 C 1800.000							
12/11/20	37.000	1,100.14	12/10/20	11,619.77	...	-10,519.63	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

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ALPHABET INC GOOGL Dec 11 2020 1880.0 Call / CUSIP: / Symbol: GOOGL 12/11/20 C 1880.000							
12/04/20	27.000	17,272.44	Various	15,397.13	...	1,875.31	Total of 3 transactions
ALPHABET INC GOOGL Dec 18 2020 1785.0 Call / CUSIP: / Symbol: GOOGL 12/18/20 C 1785.000							
12/18/20	31.000	146.76	12/17/20	7,648.44	...	-7,501.68	Option sale
12/18/20	17.000	0.00	12/17/20	4,084.49	240.26 W	-3,844.23	Option expiration
	<b>Security total:</b>	<b>146.76</b>		<b>11,732.93</b>	<b>240.26 W</b>	<b>-11,345.91</b>	
ALPHABET INC GOOGL Dec 18 2020 1790.0 Call / CUSIP: / Symbol: GOOGL 12/18/20 C 1790.000							
12/16/20	40.000	12,958.07	Various	12,010.56	...	947.51	Total of 2 transactions
ALPHABET INC GOOGL Dec 18 2020 1800.0 Call / CUSIP: / Symbol: GOOGL 12/18/20 C 1800.000							
12/17/20	50.000	2,736.64	12/15/20	15,608.20	...	-12,871.56	Option sale
ALPHABET INC GOOGL Dec 31 2020 1775.0 Call / CUSIP: / Symbol: GOOGL 12/31/20 C 1775.000							
12/24/20	10.000	6,097.20	Various	5,802.64	...	294.56	Total of 2 transactions
ALPHABET INC GOOGL Jun 26 2020 1375.0 Put / CUSIP: / Symbol: GOOGL 06/26/20 P 1375.000							
06/26/20	25.000	7,369.36	Various	5,510.42	...	1,858.94	Total of 3 transactions
ALPHABET INC GOOGL Jul 31 2020 1430.0 Put / CUSIP: / Symbol: GOOGL 07/31/20 P 1430.000							
07/31/20	25.000	864.51	07/31/20	760.42	...	104.09	Option sale
GOLDMAN SACHS GROUP INC GS Jan 17 2020 240.0 Call / CUSIP: / Symbol: GS 01/17/20 C 240.000							
01/02/20	25.000	5,118.18	Various	3,404.89	...	1,713.29	Total of 2 transactions
01/02/20	72.000	13,621.57	Various	14,056.25	634.46 W	199.78	Total of 3 transactions
	<b>Security total:</b>	<b>18,739.75</b>		<b>17,461.14</b>	<b>634.46 W</b>	<b>1,913.07</b>	
GOLDMAN SACHS GROUP INC GS Jan 24 2020 252.5 Call / CUSIP: / Symbol: GS 01/24/20 C 252.500							
01/16/20	50.000	6,186.42	Various	5,581.79	...	604.63	Total of 2 transactions
01/16/20	25.000	2,858.22	01/15/20	3,091.67	233.45 W	0.00	Option sale
	<b>Security total:</b>	<b>9,044.64</b>		<b>8,673.46</b>	<b>233.45 W</b>	<b>604.63</b>	
GOLDMAN SACHS GROUP INC GS Jun 12 2020 200.0 Call / CUSIP: / Symbol: GS 06/12/20 C 200.000							
06/12/20	50.000	3,028.99	Various	6,012.70	...	-2,983.71	Total of 2 transactions
06/12/20	50.000	2,929.00	Various	5,520.85	2,591.85 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,957.99</b>		<b>11,533.55</b>	<b>2,591.85 W</b>	<b>-2,983.71</b>	

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TD Ameritrade Clearing, Inc.

Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOLDMAN SACHS GROUP INC GS Jun 19 2020 207.5 Call / CUSIP: / Symbol: GS 06/19/20 C 207.500							
06/15/20	50.000	14,978.72	Various	15,020.85	...	-42.13	Total of 2 transactions
GOLDMAN SACHS GROUP INC GS Jul 10 2020 205.0 Call / CUSIP: / Symbol: GS 07/10/20 C 205.000							
07/08/20	100.000	12,957.82	07/08/20	9,841.69	...	3,116.13	Option sale
07/10/20	100.000	11,563.85	Various	6,780.07	...	4,783.78	Total of 4 transactions
07/10/20	10.000	695.79	07/10/20	784.17	88.38 W	0.00	Option sale
	<b>Security total:</b>	<b>25,217.46</b>		<b>17,405.93</b>	<b>88.38 W</b>	<b>7,899.91</b>	
GOLDMAN SACHS GROUP INC GS Jul 10 2020 207.5 Call / CUSIP: / Symbol: GS 07/10/20 C 207.500							
07/09/20	250.000	9,895.05	07/08/20	15,854.23	...	-5,959.18	Option sale
GOLDMAN SACHS GROUP INC GS Jul 17 2020 215.0 Call / CUSIP: / Symbol: GS 07/17/20 C 215.000							
06/30/20	150.000	28,786.52	Various	27,246.54	...	1,539.98	Total of 2 transactions
06/30/20	100.000	18,857.69	06/30/20	19,041.69	184.00 W	0.00	Option sale
07/10/20	150.000	46,965.12	Various	26,312.54	...	20,652.58	Total of 4 transactions
	<b>Security total:</b>	<b>94,609.33</b>		<b>72,600.77</b>	<b>184.00 W</b>	<b>22,192.56</b>	
GOLDMAN SACHS GROUP INC GS Jul 17 2020 217.5 Call / CUSIP: / Symbol: GS 07/17/20 C 217.500							
07/06/20	150.000	29,314.49	Various	26,612.54	...	2,701.95	Total of 5 transactions
GOLDMAN SACHS GROUP INC GS Jul 17 2020 225.0 Call / CUSIP: / Symbol: GS 07/17/20 C 225.000							
06/25/20	200.000	50,835.07	Various	37,483.38	...	13,351.69	Total of 5 transactions
GOLDMAN SACHS GROUP INC GS Jul 17 2020 230.0 Call / CUSIP: / Symbol: GS 07/17/20 C 230.000							
06/25/20	50.000	10,778.81	06/23/20	9,172.68	...	1,606.13	Option sale
06/26/20	100.000	11,115.86	Various	19,824.57	...	-8,708.71	Total of 3 transactions
06/26/20	100.000	11,157.86	06/23/20	18,345.36	1,509.38 W	-5,678.12	Option sale
	<b>Security total:</b>	<b>33,052.53</b>		<b>47,342.61</b>	<b>1,509.38 W</b>	<b>-12,780.70</b>	
GOLDMAN SACHS GROUP INC GS Jul 24 2020 217.5 Call / CUSIP: / Symbol: GS 07/24/20 C 217.500							
07/21/20	50.000	4,978.94	07/20/20	8,220.85	...	-3,241.91	Option sale

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GOLDMAN SACHS GROUP INC GS Aug 07 2020 207.5 Call / CUSIP: / Symbol: GS 08/07/20 C 207.500							
08/04/20	133.000	6,594.13	Various	6,460.08	...	134.05	Total of 2 transactions
08/05/20	300.000	24,273.79	Various	14,376.44	...	9,897.35	Total of 3 transactions
08/06/20	15.000	1,553.69	08/05/20	1,056.25	...	497.44	Option sale
	<b>Security total:</b>	<b>32,421.61</b>		<b>21,892.77</b>	...	<b>10,528.84</b>	
GOLDMAN SACHS GROUP INC GS Aug 14 2020 212.5 Call / CUSIP: / Symbol: GS 08/14/20 C 212.500							
08/10/20	177.000	33,967.08	Various	19,188.79	...	14,778.29	Total of 4 transactions
GOLDMAN SACHS GROUP INC GS Aug 14 2020 217.5 Call / CUSIP: / Symbol: GS 08/14/20 C 217.500							
08/11/20	50.000	9,096.85	Various	8,520.85	...	576.00	Total of 3 transactions
GOLDMAN SACHS GROUP INC GS Aug 14 2020 220.0 Call / CUSIP: / Symbol: GS 08/14/20 C 220.000							
08/11/20	100.000	14,187.78	Various	13,991.70	...	196.08	Total of 3 transactions
GOLDMAN SACHS GROUP INC GS Aug 21 2020 205.0 Call / CUSIP: / Symbol: GS 08/21/20 C 205.000							
08/19/20	107.000	13,631.86	Various	11,718.60	...	1,913.26	Total of 4 transactions
GOLDMAN SACHS GROUP INC GS Aug 21 2020 207.5 Call / CUSIP: / Symbol: GS 08/21/20 C 207.500							
08/20/20	300.000	4,535.23	Various	27,125.07	...	-22,589.84	Total of 2 transactions
GOLDMAN SACHS GROUP INC GS Aug 21 2020 215.0 Call / CUSIP: / Symbol: GS 08/21/20 C 215.000							
08/17/20	100.000	4,258.01	08/14/20	11,919.69	...	-7,661.68	Option sale
GOLDMAN SACHS GROUP INC GS Aug 28 2020 210.0 Call / CUSIP: / Symbol: GS 08/28/20 C 210.000							
08/24/20	200.000	29,495.55	Various	17,515.08	...	11,980.47	Total of 5 transactions
08/24/20	200.000	24,336.68	Various	28,887.63	4,550.95 W	0.00	Total of 5 transactions
08/27/20	300.000	33,118.59	Various	30,125.07	...	2,993.52	Total of 3 transactions
	<b>Security total:</b>	<b>86,950.82</b>		<b>76,527.78</b>	<b>4,550.95 W</b>	<b>14,973.99</b>	
GOLDMAN SACHS GROUP INC GS Aug 28 2020 212.5 Call / CUSIP: / Symbol: GS 08/28/20 C 212.500							
08/21/20	4.000	202.32	08/21/20	201.67	...	0.65	Option sale
GOLDMAN SACHS GROUP INC GS Sep 04 2020 215.0 Call / CUSIP: / Symbol: GS 09/04/20 C 215.000							
09/04/20	177.000	4,065.77	Various	12,109.79	...	-8,044.02	Total of 2 transactions
GOLDMAN SACHS GROUP INC GS Sep 04 2020 217.5 Call / CUSIP: / Symbol: GS 09/04/20 C 217.500							
09/03/20	177.000	5,788.72	Various	15,649.79	...	-9,861.07	Total of 4 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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GOLDMAN SACHS GROUP INC GS Sep 11 2020 215.0 Call / CUSIP: / Symbol: GS 09/11/20 C 215.000							
09/02/20	100.000	18,457.70	09/02/20	18,041.69	...	416.01	Option sale
GOLDMAN SACHS GROUP INC GS Sep 11 2020 222.5 Call / CUSIP: / Symbol: GS 09/11/20 C 222.500							
09/04/20	65.000	5,302.65	09/04/20	7,307.10	...	-2,004.45	Option sale
GOLDMAN SACHS GROUP INC GS Sep 18 2020 205.0 Call / CUSIP: / Symbol: GS 09/18/20 C 205.000							
09/16/20	150.000	20,932.70	Various	11,912.54	...	9,020.16	Total of 3 transactions
GOLDMAN SACHS GROUP INC GS Sep 18 2020 207.5 Call / CUSIP: / Symbol: GS 09/18/20 C 207.500							
09/15/20	200.000	9,116.02	09/15/20	17,083.38	...	-7,967.36	Option sale
GOLDMAN SACHS GROUP INC GS Oct 16 2020 205.0 Call / CUSIP: / Symbol: GS 10/16/20 C 205.000							
09/24/20	157.000	48,489.61	Various	28,755.00	...	19,734.61	Total of 3 transactions
GOLDMAN SACHS GROUP INC GS Oct 16 2020 215.0 Call / CUSIP: / Symbol: GS 10/16/20 C 215.000							
09/28/20	200.000	39,965.92	Various	24,882.80	...	15,083.12	Total of 5 transactions
09/30/20	200.000	42,841.86	Various	24,882.80	...	17,959.06	Total of 4 transactions
	<b>Security total:</b>	<b>82,807.78</b>		<b>49,765.60</b>	...	<b>33,042.18</b>	
GOLDMAN SACHS GROUP INC GS Oct 16 2020 217.5 Call / CUSIP: / Symbol: GS 10/16/20 C 217.500							
10/12/20	229.000	28,714.09	Various	28,878.19	...	-164.10	Total of 4 transactions
10/12/20	171.000	20,687.41	Various	24,523.79	3,836.38 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>49,401.50</b>		<b>53,401.98</b>	<b>3,836.38 W</b>	<b>-164.10</b>	
GOLDMAN SACHS GROUP INC GS Oct 16 2020 225.0 Call / CUSIP: / Symbol: GS 10/16/20 C 225.000							
10/14/20	100.000	3,073.33	10/12/20	16,459.15	...	-13,385.82	Option sale
10/14/20	400.000	10,693.36	Various	46,226.06	15,253.20 W	-20,279.50	Total of 2 transactions
	<b>Security total:</b>	<b>13,766.69</b>		<b>62,685.21</b>	<b>15,253.20 W</b>	<b>-33,665.32</b>	
GOLDMAN SACHS GROUP INC GS Oct 16 2020 230.0 Call / CUSIP: / Symbol: GS 10/16/20 C 230.000							
09/10/20	200.000	37,915.38	Various	37,662.38	...	253.00	Total of 2 transactions
GOLDMAN SACHS GROUP INC GS Nov 06 2020 202.5 Call / CUSIP: / Symbol: GS 11/06/20 C 202.500							
11/04/20	50.000	8,078.52	Various	5,013.20	...	3,065.32	Total of 3 transactions
GOLDMAN SACHS GROUP INC GS Nov 06 2020 205.0 Call / CUSIP: / Symbol: GS 11/06/20 C 205.000							
11/05/20	231.000	21,816.07	Various	18,255.99	...	3,560.08	Total of 5 transactions

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GOLDMAN SACHS GROUP INC GS Nov 13 2020 220.0 Call / CUSIP: / Symbol: GS 11/13/20 C 220.000							
11/10/20	77.000	14,994.18	Various	9,099.33	...	5,894.85	Total of 2 transactions
GOLDMAN SACHS GROUP INC GS Nov 13 2020 222.5 Call / CUSIP: / Symbol: GS 11/13/20 C 222.500							
11/10/20	100.000	5,373.28	11/09/20	15,062.52	...	-9,689.24	Option sale
11/10/20	77.000	4,214.43	11/09/20	8,883.33	3,661.12 W	-1,007.78	Option sale
	<b>Security total:</b>	<b>9,587.71</b>		<b>23,945.85</b>	<b>3,661.12 W</b>	<b>-10,697.02</b>	
GOLDMAN SACHS GROUP INC GS Nov 20 2020 225.0 Call / CUSIP: / Symbol: GS 11/20/20 C 225.000							
11/13/20	73.000	11,913.31	Various	8,706.27	...	3,207.04	Total of 5 transactions
11/16/20	27.000	6,253.68	Various	3,105.13	...	3,148.55	Total of 2 transactions
	<b>Security total:</b>	<b>18,166.99</b>		<b>11,811.40</b>	...	<b>6,355.59</b>	
GOLDMAN SACHS GROUP INC GS Nov 20 2020 230.0 Call / CUSIP: / Symbol: GS 11/20/20 C 230.000							
11/12/20	277.000	7,959.14	11/10/20	26,111.13	...	-18,151.99	Option sale
GOLDMAN SACHS GROUP INC GS Dec 04 2020 240.0 Call / CUSIP: / Symbol: GS 12/04/20 C 240.000							
12/04/20	77.000	3,834.44	Various	7,720.33	...	-3,885.89	Total of 3 transactions
GOLDMAN SACHS GROUP INC GS Dec 24 2020 250.0 Call / CUSIP: / Symbol: GS 12/24/20 C 250.000							
12/21/20	170.000	56,973.52	Various	18,364.88	...	38,608.64	Total of 4 transactions
GOLDMAN SACHS GROUP INC GS Aug 07 2020 202.5 Put / CUSIP: / Symbol: GS 08/07/20 P 202.500							
08/07/20	100.000	6,957.96	08/06/20	5,941.69	...	1,016.27	Option sale
GOLDMAN SACHS GROUP INC GS Jun 18 2021 300.0 Call / CUSIP: / Symbol: GS 06/18/21 C 300.000							
08/19/20	1.000	119.58	08/11/20	340.42	220.84 W	0.00	Option sale
HOME DEPOT INC HD Mar 06 2020 250.0 Call / CUSIP: / Symbol: HD 03/06/20 C 250.000							
02/27/20	60.000	2,719.81	02/25/20	10,390.01	...	-7,670.20	Option sale
HOME DEPOT INC HD Aug 21 2020 290.0 Call / CUSIP: / Symbol: HD 08/21/20 C 290.000							
08/18/20	4.000	1,258.29	08/17/20	1,701.67	...	-443.38	Option sale
HOME DEPOT INC HD Aug 21 2020 300.0 Call / CUSIP: / Symbol: HD 08/21/20 C 300.000							
08/17/20	100.000	13,737.80	Various	13,541.69	...	196.11	Total of 2 transactions

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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HOME DEPOT INC HD Nov 20 2020 290.0 Call / CUSIP: / Symbol: HD 11/20/20 C 290.000							
11/17/20	100.000	2,073.35	11/16/20	12,126.40	...	-10,053.05	Option sale
INTERNATIONAL BUS MACH CORP IBM Oct 16 2020 136.0 Call / CUSIP: / Symbol: IBM 10/16/20 C 136.000							
10/09/20	177.000	6,475.23	10/08/20	23,761.33	...	-17,286.10	Option sale
10/09/20	177.000	6,475.23	10/08/20	18,835.28	12,360.05 W	0.00	Option sale
	<b>Security total:</b>	<b>12,950.46</b>		<b>42,596.61</b>	<b>12,360.05 W</b>	<b>-17,286.10</b>	
INTUITIVE SURGICAL INC ISRG Jan 17 2020 605.0 Call / CUSIP: / Symbol: ISRG 01/17/20 C 605.000							
01/13/20	15.000	6,289.84	01/13/20	5,935.00	...	354.84	Option sale
INTUITIVE SURGICAL INC ISRG Jan 17 2020 617.5 Call / CUSIP: / Symbol: ISRG 01/17/20 C 617.500							
01/10/20	25.000	7,483.12	01/10/20	6,891.67	...	591.45	Option sale
INTUITIVE SURGICAL INC ISRG Jan 17 2020 620.0 Call / CUSIP: / Symbol: ISRG 01/17/20 C 620.000							
01/10/20	10.000	4,493.23	Various	2,256.67	...	2,236.56	Total of 2 transactions
INTUITIVE SURGICAL INC ISRG Dec 04 2020 762.5 Call / CUSIP: / Symbol: ISRG 12/04/20 C 762.500							
12/03/20	47.000	13,787.19	Various	7,532.41	...	6,254.78	Total of 5 transactions
12/04/20	3.000	749.18	12/03/20	480.79	...	268.39	Option sale
	<b>Security total:</b>	<b>14,536.37</b>		<b>8,013.20</b>	...	<b>6,523.17</b>	
JP MORGAN CHASE & CO JPM Jul 31 2020 99.0 Call / CUSIP: / Symbol: JPM 07/31/20 C 99.000							
07/24/20	95.000	19,909.76	07/23/20	18,089.61	...	1,820.15	Option sale
JP MORGAN CHASE & CO JPM May 29 2020 100.0 Call / CUSIP: / Symbol: JPM 05/29/20 C 100.000							
05/26/20	200.000	11,195.97	Various	10,703.38	...	492.59	Total of 2 transactions
JP MORGAN CHASE & CO JPM Jul 17 2020 100.0 Call / CUSIP: / Symbol: JPM 07/17/20 C 100.000							
07/06/20	200.000	25,415.65	Various	28,083.38	2,667.73 W	0.00	Total of 2 transactions
07/13/20	400.000	82,055.62	Various	36,448.49	...	45,607.13	Total of 7 transactions
	<b>Security total:</b>	<b>107,471.27</b>		<b>64,531.87</b>	<b>2,667.73 W</b>	<b>45,607.13</b>	
JP MORGAN CHASE & CO JPM Aug 14 2020 103.0 Call / CUSIP: / Symbol: JPM 08/14/20 C 103.000							
08/10/20	100.000	10,977.87	Various	10,241.69	...	736.18	Total of 2 transactions
JP MORGAN CHASE & CO JPM Aug 21 2020 105.0 Call / CUSIP: / Symbol: JPM 08/21/20 C 105.000							
08/14/20	300.000	32,973.58	Various	30,425.07	...	2,548.51	Total of 5 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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JP MORGAN CHASE & CO JPM Aug 28 2020 100.0 Call / CUSIP: / Symbol: JPM 08/28/20 C 100.000							
08/24/20	100.000	8,957.91	08/21/20	8,941.69	...	16.22	Option sale
EASTMAN KODAK CO KODK Aug 07 2020 16.0 Call / CUSIP: / Symbol: KODK 08/07/20 C 16.000							
08/06/20	50.000	7,028.91	Various	8,270.85	...	-1,241.94	Total of 2 transactions
EASTMAN KODAK CO KODK Aug 21 2020 15.0 Call / CUSIP: / Symbol: KODK 08/21/20 C 15.000							
08/19/20	100.000	958.09	08/18/20	13,041.69	...	-12,083.60	Option sale
EASTMAN KODAK CO KODK Aug 21 2020 10.0 Call / CUSIP: / Symbol: KODK 08/21/20 C 10.000							
08/19/20	27.000	660.33	08/18/20	0.00	...	660.33	Short sale closed- option
LOCKHEED MARTIN CORP LMT Jun 05 2020 395.0 Call / CUSIP: / Symbol: LMT 06/05/20 C 395.000							
05/27/20	50.000	19,973.60	Various	14,025.85	...	5,947.75	Total of 3 transactions
LOCKHEED MARTIN CORP LMT Jun 05 2020 405.0 Call / CUSIP: / Symbol: LMT 06/05/20 C 405.000							
06/03/20	77.000	15,367.41	Various	11,967.10	...	3,400.31	Total of 4 transactions
LOCKHEED MARTIN CORP LMT Jul 24 2020 365.0 Call / CUSIP: / Symbol: LMT 07/24/20 C 365.000							
07/13/20	100.000	47,507.05	Various	28,041.69	...	19,465.36	Total of 2 transactions
LOCKHEED MARTIN CORP LMT Jul 24 2020 380.0 Call / CUSIP: / Symbol: LMT 07/24/20 C 380.000							
07/10/20	5.000	872.89	07/10/20	852.08	...	20.81	Option sale
LOCKHEED MARTIN CORP LMT Jul 24 2020 400.0 Call / CUSIP: / Symbol: LMT 07/24/20 C 400.000							
07/22/20	2.000	439.16	07/22/20	300.83	...	138.33	Option sale
07/23/20	98.000	7,308.78	07/22/20	14,690.86	...	-7,382.08	Option sale
	<b>Security total:</b>	<b>7,747.94</b>		<b>14,991.69</b>	...	<b>-7,243.75</b>	
LOCKHEED MARTIN CORP LMT Jul 31 2020 390.0 Call / CUSIP: / Symbol: LMT 07/31/20 C 390.000							
07/20/20	200.000	39,314.35	Various	38,083.38	...	1,230.97	Total of 5 transactions
07/22/20	100.000	49,957.00	Various	19,041.69	...	30,915.31	Total of 2 transactions
	<b>Security total:</b>	<b>89,271.35</b>		<b>57,125.07</b>	...	<b>32,146.28</b>	
LOCKHEED MARTIN CORP LMT Jul 31 2020 405.0 Call / CUSIP: / Symbol: LMT 07/31/20 C 405.000							
07/22/20	4.000	718.30	07/22/20	681.67	...	36.63	Option sale

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TD Ameritrade Clearing, Inc.

Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LOCKHEED MARTIN CORP LMT Dec 18 2020 372.5 Call / CUSIP: / Symbol: LMT 12/18/20 C 372.500							
12/14/20	50.000	6,986.55	12/14/20	6,513.20	...	473.35	Option sale
12/16/20	50.000	486.69	12/14/20	6,513.20	...	-6,026.51	Option sale
	<b>Security total:</b>	<b>7,473.24</b>		<b>13,026.40</b>	...	<b>-5,553.16</b>	
LAM RESEARCH CORP LRCX Jan 17 2020 302.5 Call / CUSIP: / Symbol: LRCX 01/17/20 C 302.500							
01/16/20	29.000	6,367.47	Various	3,509.34	...	2,858.13	Total of 2 transactions
LAM RESEARCH CORP LRCX Aug 14 2020 382.5 Call / CUSIP: / Symbol: LRCX 08/14/20 C 382.500							
08/13/20	10.000	3,595.73	08/13/20	2,764.17	...	831.56	Option sale
LAM RESEARCH CORP LRCX Aug 21 2020 382.5 Call / CUSIP: / Symbol: LRCX 08/21/20 C 382.500							
08/20/20	100.000	1,970.07	Various	13,041.69	...	-11,071.62	Total of 2 transactions
LAM RESEARCH CORP LRCX Aug 28 2020 365.0 Call / CUSIP: / Symbol: LRCX 08/28/20 C 365.000							
08/26/20	50.000	2,986.98	Various	13,658.25	...	-10,671.27	Total of 3 transactions
08/26/20	27.000	1,905.65	08/25/20	7,166.55	389.70 W	-4,871.20	Option sale
	<b>Security total:</b>	<b>4,892.63</b>		<b>20,824.80</b>	<b>389.70 W</b>	<b>-15,542.47</b>	
LAM RESEARCH CORP LRCX Aug 28 2020 367.5 Call / CUSIP: / Symbol: LRCX 08/28/20 C 367.500							
08/25/20	1.000	199.58	08/25/20	200.42	...	-0.84	Option sale
LAM RESEARCH CORP LRCX Sep 04 2020 362.5 Call / CUSIP: / Symbol: LRCX 09/04/20 C 362.500							
08/31/20	15.000	1,313.69	08/28/20	4,131.25	...	-2,817.56	Option sale
LAM RESEARCH CORP LRCX Sep 04 2020 365.0 Call / CUSIP: / Symbol: LRCX 09/04/20 C 365.000							
09/02/20	37.000	10,344.27	09/02/20	7,415.43	...	2,928.84	Option sale
LAM RESEARCH CORP LRCX Sep 11 2020 392.5 Call / CUSIP: / Symbol: LRCX 09/11/20 C 392.500							
09/11/20	300.000	0.00	Various	37,209.07	...	-37,209.07	Option expiration
LAM RESEARCH CORP LRCX Oct 02 2020 355.0 Call / CUSIP: / Symbol: LRCX 10/02/20 C 355.000							
09/24/20	3.000	514.74	09/24/20	511.24	...	3.50	Option sale
MASTERCARD INCORPORATED MA May 08 2020 277.5 Call / CUSIP: / Symbol: MA 05/08/20 C 277.500							
05/04/20	50.000	7,216.39	05/04/20	9,283.35	...	-2,066.96	Option sale

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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MASTERCARD INCORPORATED MA May 08 2020 280.0 Call / CUSIP: / Symbol: MA 05/08/20 C 280.000							
05/04/20	100.000	17,341.71	Various	14,566.69	...	2,775.02	Total of 3 transactions
MASTERCARD INCORPORATED MA May 15 2020 285.0 Call / CUSIP: / Symbol: MA 05/15/20 C 285.000							
05/05/20	100.000	36,434.31	Various	30,866.69	...	5,567.62	Total of 5 transactions
MASTERCARD INCORPORATED MA May 22 2020 280.0 Call / CUSIP: / Symbol: MA 05/22/20 C 280.000							
05/14/20	100.000	39,760.23	Various	17,566.70	...	22,193.53	Total of 8 transactions
MASTERCARD INCORPORATED MA May 22 2020 285.0 Call / CUSIP: / Symbol: MA 05/22/20 C 285.000							
05/14/20	77.000	19,979.61	Various	17,047.36	...	2,932.25	Total of 3 transactions
MASTERCARD INCORPORATED MA May 29 2020 300.0 Call / CUSIP: / Symbol: MA 05/29/20 C 300.000							
05/19/20	50.000	18,223.63	05/19/20	13,125.85	...	5,097.78	Option sale
MASTERCARD INCORPORATED MA May 29 2020 305.0 Call / CUSIP: / Symbol: MA 05/29/20 C 305.000							
05/19/20	117.000	35,294.51	Various	26,110.48	...	9,184.03	Total of 8 transactions
05/20/20	150.000	48,531.08	Various	34,577.54	...	13,953.54	Total of 6 transactions
	<b>Security total:</b>	<b>83,825.59</b>		<b>60,688.02</b>	...	<b>23,137.57</b>	
MASTERCARD INCORPORATED MA Jun 19 2020 305.0 Call / CUSIP: / Symbol: MA 06/19/20 C 305.000							
06/15/20	200.000	45,305.20	Various	35,683.38	...	9,621.82	Total of 5 transactions
MASTERCARD INCORPORATED MA Jun 19 2020 310.0 Call / CUSIP: / Symbol: MA 06/19/20 C 310.000							
06/15/20	43.000	4,968.87	Various	5,951.93	983.06 W	0.00	Total of 4 transactions
06/16/20	200.000	58,479.91	Various	27,118.43	...	31,361.48	Total of 5 transactions
06/16/20	50.000	12,728.78	Various	14,520.85	1,792.07 W	0.00	Total of 2 transactions
06/17/20	77.000	17,312.36	Various	15,580.53	...	1,731.83	Total of 2 transactions
06/17/20	73.000	15,664.07	06/16/20	18,389.15	2,725.08 W	0.00	Option sale
	<b>Security total:</b>	<b>109,153.99</b>		<b>81,560.89</b>	<b>5,500.21 W</b>	<b>33,093.31</b>	
MASTERCARD INCORPORATED MA Jun 26 2020 310.0 Call / CUSIP: / Symbol: MA 06/26/20 C 310.000							
06/23/20	77.000	18,636.32	Various	18,272.10	...	364.22	Total of 3 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MASTERCARD INCORPORATED MA Jul 31 2020 330.0 Call / CUSIP: / Symbol: MA 07/31/20 C 330.000							
07/22/20	100.000	14,513.78	Various	15,841.69	1,327.91 W	0.00	Total of 4 transactions
07/23/20	300.000	47,385.28	Various	42,252.98	...	5,132.30	Total of 3 transactions
	<b>Security total:</b>	<b>61,899.06</b>		<b>58,094.67</b>	<b>1,327.91 W</b>	<b>5,132.30</b>	
MASTERCARD INCORPORATED MA Aug 14 2020 332.5 Call / CUSIP: / Symbol: MA 08/14/20 C 332.500							
08/10/20	100.000	14,416.79	Various	17,041.69	...	-2,624.90	Total of 3 transactions
MASTERCARD INCORPORATED MA Aug 14 2020 335.0 Call / CUSIP: / Symbol: MA 08/14/20 C 335.000							
08/11/20	26.000	5,189.00	08/11/20	4,508.84	...	680.16	Option sale
MASTERCARD INCORPORATED MA Aug 21 2020 340.0 Call / CUSIP: / Symbol: MA 08/21/20 C 340.000							
08/21/20	100.000	3,358.03	08/20/20	11,281.84	...	-7,923.81	Option sale
08/21/20	99.000	4,009.44	Various	7,862.27	3,340.15 W	-512.68	Total of 3 transactions
	<b>Security total:</b>	<b>7,367.47</b>		<b>19,144.11</b>	<b>3,340.15 W</b>	<b>-8,436.49</b>	
MASTERCARD INCORPORATED MA Aug 28 2020 340.0 Call / CUSIP: / Symbol: MA 08/28/20 C 340.000							
08/19/20	100.000	25,707.53	Various	19,041.69	...	6,665.84	Total of 2 transactions
MASTERCARD INCORPORATED MA Sep 04 2020 367.5 Call / CUSIP: / Symbol: MA 09/04/20 C 367.500							
08/28/20	77.000	18,677.32	Various	14,662.10	...	4,015.22	Total of 2 transactions
MASTERCARD INCORPORATED MA Sep 25 2020 337.5 Call / CUSIP: / Symbol: MA 09/25/20 C 337.500							
09/22/20	52.000	7,762.04	Various	7,041.68	...	720.36	Total of 2 transactions
MASTERCARD INCORPORATED MA Sep 25 2020 350.0 Call / CUSIP: / Symbol: MA 09/25/20 C 350.000							
09/18/20	100.000	7,507.94	Various	16,041.69	...	-8,533.75	Total of 2 transactions
MASTERCARD INCORPORATED MA Nov 20 2020 345.0 Call / CUSIP: / Symbol: MA 11/20/20 C 345.000							
11/18/20	10.000	1,267.31	11/18/20	1,232.64	...	34.67	Option sale
11/19/20	47.000	647.49	Various	5,793.41	...	-5,145.92	Total of 2 transactions
	<b>Security total:</b>	<b>1,914.80</b>		<b>7,026.05</b>	...	<b>-5,111.25</b>	
MASTERCARD INCORPORATED MA Dec 04 2020 342.5 Call / CUSIP: / Symbol: MA 12/04/20 C 342.500							
11/23/20	27.000	5,432.70	Various	4,192.13	...	1,240.57	Total of 2 transactions
11/24/20	100.000	36,406.60	Various	14,909.39	...	21,497.21	Total of 5 transactions
	<b>Security total:</b>	<b>41,839.30</b>		<b>19,101.52</b>	...	<b>22,737.78</b>	

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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MASTERCARD INCORPORATED MA Dec 04 2020 345.0 Call / CUSIP: / Symbol: MA 12/04/20 C 345.000							
11/27/20	13.000	2,973.47	11/27/20	2,590.43	...	383.04	Option sale
12/04/20	50.000	336.69	12/03/20	6,813.20	...	-6,476.51	Option sale
	<b>Security total:</b>	<b>3,310.16</b>		<b>9,403.63</b>	...	<b>-6,093.47</b>	
MASTERCARD INCORPORATED MA Dec 04 2020 350.0 Call / CUSIP: / Symbol: MA 12/04/20 C 350.000							
12/02/20	38.000	835.38	12/01/20	5,232.61	...	-4,397.23	Option sale
12/02/20	29.000	649.77	12/01/20	3,719.66	1,058.58 W	-2,011.31	Option sale
	<b>Security total:</b>	<b>1,485.15</b>		<b>8,952.27</b>	<b>1,058.58 W</b>	<b>-6,408.54</b>	
MASTERCARD INCORPORATED MA Dec 11 2020 347.5 Call / CUSIP: / Symbol: MA 12/11/20 C 347.500							
12/04/20	140.000	30,442.09	Various	21,036.96	...	9,405.13	Total of 4 transactions
12/07/20	10.000	3,847.25	12/04/20	1,502.64	...	2,344.61	Option sale
12/10/20	100.000	1,773.36	12/09/20	18,681.24	...	-16,907.88	Option sale
12/10/20	77.000	1,365.49	12/09/20	9,491.33	8,125.84 W	0.00	Option sale
	<b>Security total:</b>	<b>37,428.19</b>		<b>50,712.17</b>	<b>8,125.84 W</b>	<b>-5,158.14</b>	
MASTERCARD INCORPORATED MA Dec 11 2020 350.0 Call / CUSIP: / Symbol: MA 12/11/20 C 350.000							
12/08/20	7.000	838.12	12/07/20	960.85	...	-122.73	Option sale
MASTERCARD INCORPORATED MA Dec 11 2020 357.5 Call / CUSIP: / Symbol: MA 12/11/20 C 357.500							
12/08/20	100.000	2,173.35	12/07/20	12,980.38	...	-10,807.03	Option sale
12/08/20	77.000	1,904.48	12/07/20	8,456.33	2,084.98 W	-4,466.87	Option sale
	<b>Security total:</b>	<b>4,077.83</b>		<b>21,436.71</b>	<b>2,084.98 W</b>	<b>-15,273.90</b>	
MCDONALD'S CORPORATION MCD Oct 02 2020 222.5 Call / CUSIP: / Symbol: MCD 10/02/20 C 222.500							
09/23/20	12.000	1,854.97	09/23/20	1,804.97	...	50.00	Option sale
MERCADOLIBRE INC MELI May 08 2020 650.0 Call / CUSIP: / Symbol: MELI 05/08/20 C 650.000							
04/28/20	25.000	28,432.67	Various	20,016.67	...	8,416.00	Total of 5 transactions
MERCADOLIBRE INC MELI May 08 2020 770.0 Call / CUSIP: / Symbol: MELI 05/08/20 C 770.000							
05/07/20	2.000	998.65	05/06/20	1,141.33	...	-142.68	Option sale
MERCADOLIBRE INC MELI May 15 2020 800.0 Call / CUSIP: / Symbol: MELI 05/15/20 C 800.000							
05/07/20	10.000	8,393.12	05/07/20	6,506.67	...	1,886.45	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

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MERCADOLIBRE INC MELI May 15 2020 830.0 Call / CUSIP: / Symbol: MELI 05/15/20 C 830.000							
05/12/20	37.000	12,168.97	Various	14,824.68	...	-2,655.71	Total of 6 transactions
MERCADOLIBRE INC MELI May 22 2020 840.0 Call / CUSIP: / Symbol: MELI 05/22/20 C 840.000							
05/18/20	27.000	14,250.65	Various	11,671.01	...	2,579.64	Total of 9 transactions
05/18/20	11.000	5,034.18	Various	5,067.34	33.16 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>19,284.83</b>		<b>16,738.35</b>	<b>33.16 W</b>	<b>2,579.64</b>	
MERCADOLIBRE INC MELI May 29 2020 900.0 Call / CUSIP: / Symbol: MELI 05/29/20 C 900.000							
05/19/20	13.000	4,553.15	Various	4,165.23	147.18 W	535.10	Total of 2 transactions
05/20/20	37.000	13,855.49	05/19/20	11,065.80	...	2,789.69	Option sale
	<b>Security total:</b>	<b>18,408.64</b>		<b>15,231.03</b>	<b>147.18 W</b>	<b>3,324.79</b>	
MERCADOLIBRE INC MELI Jun 19 2020 940.0 Call / CUSIP: / Symbol: MELI 06/19/20 C 940.000							
06/12/20	50.000	40,978.13	Various	30,020.85	...	10,957.28	Total of 5 transactions
MERCADOLIBRE INC MELI Aug 21 2020 1200.0 Call / CUSIP: / Symbol: MELI 08/21/20 C 1200.000							
07/06/20	1.000	2,478.53	07/02/20	1,800.42	...	678.11	Option sale
07/29/20	40.000	172,979.41	Various	44,816.68	...	128,162.73	Total of 3 transactions
07/31/20	10.000	59,994.48	07/17/20	8,004.17	...	51,990.31	Option sale
08/14/20	10.000	16,995.43	08/13/20	8,004.17	...	8,991.26	Option sale
08/17/20	20.000	35,990.83	Various	16,008.34	...	19,982.49	Total of 2 transactions
	<b>Security total:</b>	<b>288,438.68</b>		<b>78,633.78</b>	...	<b>209,804.90</b>	
MERCADOLIBRE INC MELI Sep 25 2020 1060.0 Call / CUSIP: / Symbol: MELI 09/25/20 C 1060.000							
09/22/20	27.000	33,937.94	Various	13,561.26	...	20,376.68	Total of 3 transactions
MERCADOLIBRE INC MELI Oct 09 2020 1175.0 Call / CUSIP: / Symbol: MELI 10/09/20 C 1175.000							
10/07/20	7.000	3,847.00	10/07/20	2,802.90	...	1,044.10	Option sale
MODERNA INC MRNA Jun 05 2020 63.0 Call / CUSIP: / Symbol: MRNA 06/05/20 C 63.000							
06/02/20	50.000	3,978.96	06/01/20	10,020.85	...	-6,041.89	Option sale
MICRON TECHNOLOGY INC MU Jun 05 2020 50.0 Call / CUSIP: / Symbol: MU 06/05/20 C 50.000							
05/27/20	400.000	27,091.84	Various	24,924.76	...	2,167.08	Total of 3 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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MICRON TECHNOLOGY INC MU Jun 12 2020 51.0 Call / CUSIP: / Symbol: MU 06/12/20 C 51.000							
06/01/20	400.000	11,832.17	05/28/20	34,366.76	...	-22,534.59	Option sale
NORWEGIAN CRUISE LINE HLDGS LT NCLH Jul 24 2020 17.0 Call / CUSIP: / Symbol: NCLH 07/24/20 C 17.000							
07/10/20	400.000	42,631.49	07/10/20	42,566.76	...	64.73	Option sale
NEXTERA ENERGY INC NEE Sep 18 2020 310.0 Call / CUSIP: / Symbol: NEE 09/18/20 C 310.000							
09/15/20	77.000	4,158.65	Various	6,885.10	...	-2,726.45	Total of 2 transactions
NETFLIX INC NFLX Jan 31 2020 350.0 Call / CUSIP: / Symbol: NFLX 01/31/20 C 350.000							
01/28/20	8.000	3,674.56	01/27/20	3,381.69	...	292.87	Option sale
01/28/20	8.000	3,434.57	01/27/20	3,445.33	10.76 W	0.00	Option sale
01/29/20	11.000	905.62	01/28/20	3,371.75	...	-2,466.13	Option sale
	<b>Security total:</b>	<b>8,014.75</b>		<b>10,198.77</b>	<b>10.76 W</b>	<b>-2,173.26</b>	
NETFLIX INC NFLX Jun 05 2020 420.0 Call / CUSIP: / Symbol: NFLX 06/05/20 C 420.000							
06/05/20	10.000	95.81	06/05/20	768.17	...	-672.36	Option sale
NETFLIX INC NFLX Jun 19 2020 465.0 Call / CUSIP: / Symbol: NFLX 06/19/20 C 465.000							
06/17/20	100.000	16,157.74	Various	17,828.67	...	-1,670.93	Total of 2 transactions
06/17/20	50.000	8,278.87	06/17/20	8,732.43	453.56 W	0.00	Option sale
	<b>Security total:</b>	<b>24,436.61</b>		<b>26,561.10</b>	<b>453.56 W</b>	<b>-1,670.93</b>	
NETFLIX INC NFLX Jul 10 2020 545.0 Call / CUSIP: / Symbol: NFLX 07/10/20 C 545.000							
07/10/20	17.000	4,922.77	07/10/20	4,767.09	...	155.68	Option sale
NETFLIX INC NFLX Aug 07 2020 510.0 Call / CUSIP: / Symbol: NFLX 08/07/20 C 510.000							
08/06/20	15.000	3,443.64	08/06/20	3,291.25	...	152.39	Option sale
NETFLIX INC NFLX Sep 18 2020 510.0 Call / CUSIP: / Symbol: NFLX 09/18/20 C 510.000							
09/15/20	25.000	3,864.44	09/15/20	4,010.42	...	-145.98	Option sale
NETFLIX INC NFLX Sep 18 2020 530.0 Call / CUSIP: / Symbol: NFLX 09/18/20 C 530.000							
09/11/20	47.000	5,291.20	09/11/20	11,559.59	...	-6,268.39	Option sale

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TD Ameritrade Clearing, Inc.

Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION NKLA Jun 26 2020 72.5 Call / CUSIP: / Symbol: NKLA 06/26/20 C 72.500							
06/23/20	42.000	11,732.16	Various	10,337.25	...	1,394.91	Total of 3 transactions
06/23/20	8.000	1,836.60	06/23/20	1,949.71	113.11 W	0.00	Option sale
	<b>Security total:</b>	<b>13,568.76</b>		<b>12,286.96</b>	<b>113.11 W</b>	<b>1,394.91</b>	
NIKOLA CORPORATION NKLA Jul 24 2020 52.0 Call / CUSIP: / Symbol: NKLA 07/24/20 C 52.000							
07/17/20	100.000	11,967.84	Various	17,041.69	...	-5,073.85	Total of 2 transactions
NIKOLA CORPORATION NKLA Aug 14 2020 45.0 Call / CUSIP: / Symbol: NKLA 08/14/20 C 45.000							
08/10/20	50.000	12,558.78	Various	6,266.85	...	6,291.93	Total of 2 transactions
08/11/20	50.000	4,978.94	08/10/20	5,770.85	...	-791.91	Option sale
	<b>Security total:</b>	<b>17,537.72</b>		<b>12,037.70</b>	...	<b>5,500.02</b>	
NIKOLA CORPORATION NKLA Aug 14 2020 50.0 Call / CUSIP: / Symbol: NKLA 08/14/20 C 50.000							
08/10/20	9.000	404.82	08/10/20	2,183.88	...	-1,779.06	Option sale
08/10/20	41.000	1,865.18	08/10/20	5,939.70	907.73 W	-3,166.79	Option sale
	<b>Security total:</b>	<b>2,270.00</b>		<b>8,123.58</b>	<b>907.73 W</b>	<b>-4,945.85</b>	
NIKOLA CORPORATION NKLA Sep 18 2020 50.0 Call / CUSIP: / Symbol: NKLA 09/18/20 C 50.000							
09/08/20	10.000	4,395.71	09/08/20	2,004.17	...	2,391.54	Option sale
NORTHROP GRUMMAN CORP NOC Jan 17 2020 375.0 Call / CUSIP: / Symbol: NOC 01/17/20 C 375.000							
01/09/20	10.000	2,993.25	01/08/20	3,406.67	...	-413.42	Option sale
NORTHROP GRUMMAN CORP NOC Jul 31 2020 322.5 Call / CUSIP: / Symbol: NOC 07/31/20 C 322.500							
07/16/20	77.000	23,067.24	07/13/20	13,892.10	...	9,175.14	Option sale
07/21/20	100.000	27,581.50	Various	18,041.69	...	9,539.81	Total of 4 transactions
07/22/20	100.000	27,462.50	Various	18,041.69	...	9,420.81	Total of 2 transactions
	<b>Security total:</b>	<b>78,111.24</b>		<b>49,975.48</b>	...	<b>28,135.76</b>	
NORTHROP GRUMMAN CORP NOC Aug 07 2020 335.0 Call / CUSIP: / Symbol: NOC 08/07/20 C 335.000							
08/05/20	50.000	1,479.02	07/30/20	16,520.85	...	-15,041.83	Option sale
SERVICENOW INC NOW May 15 2020 385.0 Call / CUSIP: / Symbol: NOW 05/15/20 C 385.000							
05/13/20	37.000	2,010.21	05/12/20	12,234.68	...	-10,224.47	Option sale

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SERVICENOW INC NOW May 22 2020 400.0 Call / CUSIP: / Symbol: NOW 05/22/20 C 400.000							
05/19/20	27.000	6,330.85	05/19/20	6,657.10	...	-326.25	Option sale
05/19/20	27.000	7,410.82	05/19/20	7,438.96	28.14 W	0.00	Option sale
	<b>Security total:</b>	<b>13,741.67</b>		<b>14,096.06</b>	<b>28.14 W</b>	<b>-326.25</b>	
NOVAVAX INC NVAX Aug 14 2020 144.0 Call / CUSIP: / Symbol: NVAX 08/14/20 C 144.000							
08/14/20	27.000	3,687.61	08/14/20	3,656.26	...	31.35	Option sale
NOVAVAX INC NVAX Oct 02 2020 115.0 Call / CUSIP: / Symbol: NVAX 10/02/20 C 115.000							
09/25/20	47.000	22,599.94	Various	10,594.46	...	12,005.48	Total of 4 transactions
NOVAVAX INC NVAX Oct 02 2020 135.0 Call / CUSIP: / Symbol: NVAX 10/02/20 C 135.000							
09/25/20	47.000	9,850.23	Various	9,522.33	...	327.90	Total of 2 transactions
09/25/20	30.000	3,467.44	09/25/20	5,887.42	162.87 W	-2,257.11	Option sale
	<b>Security total:</b>	<b>13,317.67</b>		<b>15,409.75</b>	<b>162.87 W</b>	<b>-1,929.21</b>	
NVIDIA CORP NVDA Feb 21 2020 270.0 Call / CUSIP: / Symbol: NVDA 02/21/20 C 270.000							
01/22/20	30.000	10,179.72	01/21/20	8,870.01	...	1,309.71	Option sale
NVIDIA CORP NVDA May 29 2020 350.0 Call / CUSIP: / Symbol: NVDA 05/29/20 C 350.000							
05/27/20	50.000	11,323.80	05/27/20	7,586.56	...	3,737.24	Option sale
05/28/20	100.000	11,657.84	05/27/20	15,074.98	...	-3,417.14	Option sale
	<b>Security total:</b>	<b>22,981.64</b>		<b>22,661.54</b>	...	<b>320.10</b>	
NVIDIA CORP NVDA Jun 05 2020 357.5 Call / CUSIP: / Symbol: NVDA 06/05/20 C 357.500							
06/05/20	40.000	5,983.11	Various	4,411.68	...	1,571.43	Total of 2 transactions
NVIDIA CORP NVDA Jun 05 2020 365.0 Call / CUSIP: / Symbol: NVDA 06/05/20 C 365.000							
06/02/20	50.000	6,703.91	Various	11,020.85	...	-4,316.94	Total of 2 transactions
NVIDIA CORP NVDA Jun 19 2020 375.0 Call / CUSIP: / Symbol: NVDA 06/19/20 C 375.000							
06/12/20	50.000	16,478.69	06/12/20	15,770.85	...	707.84	Option sale
06/19/20	2.000	299.16	06/18/20	264.83	...	34.33	Option sale
	<b>Security total:</b>	<b>16,777.85</b>		<b>16,035.68</b>	...	<b>742.17</b>	

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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NVIDIA CORP NVDA Jul 02 2020 395.0 Call / CUSIP: / Symbol: NVDA 07/02/20 C 395.000							
06/25/20	73.000	21,436.94	Various	17,137.97	...	4,298.97	Total of 5 transactions
06/25/20	10.000	2,645.75	06/25/20	2,674.17	28.42 W	0.00	Option sale
06/26/20	17.000	1,896.84	06/25/20	3,631.98	...	-1,735.14	Option sale
	<b>Security total:</b>	<b>25,979.53</b>		<b>23,444.12</b>	<b>28.42 W</b>	<b>2,563.83</b>	
NVIDIA CORP NVDA Jul 10 2020 400.0 Call / CUSIP: / Symbol: NVDA 07/10/20 C 400.000							
06/30/20	27.000	6,498.54	Various	7,625.26	...	-1,126.72	Total of 2 transactions
07/01/20	50.000	14,796.72	Various	14,120.84	...	675.88	Total of 2 transactions
	<b>Security total:</b>	<b>21,295.26</b>		<b>21,746.10</b>	...	<b>-450.84</b>	
NVIDIA CORP NVDA Jul 24 2020 430.0 Call / CUSIP: / Symbol: NVDA 07/24/20 C 430.000							
07/22/20	100.000	20,137.67	Various	20,041.69	...	95.98	Total of 2 transactions
NVIDIA CORP NVDA Sep 11 2020 550.0 Call / CUSIP: / Symbol: NVDA 09/11/20 C 550.000							
09/04/20	37.000	13,674.20	09/04/20	13,335.43	...	338.77	Option sale
NVIDIA CORP NVDA Sep 18 2020 510.0 Call / CUSIP: / Symbol: NVDA 09/18/20 C 510.000							
09/17/20	7.000	2,517.01	09/17/20	1,822.92	...	694.09	Option sale
NVIDIA CORP NVDA Sep 25 2020 510.0 Call / CUSIP: / Symbol: NVDA 09/25/20 C 510.000							
09/21/20	52.000	21,848.74	Various	17,971.68	...	3,877.06	Total of 4 transactions
NVIDIA CORP NVDA Sep 25 2020 515.0 Call / CUSIP: / Symbol: NVDA 09/25/20 C 515.000							
09/25/20	3.000	148.75	09/25/20	142.24	...	6.51	Option sale
NVIDIA CORP NVDA Sep 25 2020 517.5 Call / CUSIP: / Symbol: NVDA 09/25/20 C 517.500							
09/24/20	27.000	4,443.67	09/24/20	4,628.18	136.67 W	-47.84	Option sale
09/25/20	30.000	1,187.49	09/24/20	4,319.09	...	-3,131.60	Option sale
	<b>Security total:</b>	<b>5,631.16</b>		<b>8,947.27</b>	<b>136.67 W</b>	<b>-3,179.44</b>	
NVIDIA CORP NVDA Oct 02 2020 540.0 Call / CUSIP: / Symbol: NVDA 10/02/20 C 540.000							
09/25/20	25.000	11,389.35	Various	9,135.35	...	2,254.00	Total of 2 transactions

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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NVIDIA CORP NVDA Oct 02 2020 550.0 Call / CUSIP: / Symbol: NVDA 10/02/20 C 550.000							
09/24/20	25.000	6,989.45	09/24/20	6,760.35	...	229.10	Option sale
09/29/20	50.000	13,832.89	Various	12,945.70	...	887.19	Total of 3 transactions
	<b>Security total:</b>	<b>20,822.34</b>		<b>19,706.05</b>	...	<b>1,116.29</b>	
NVIDIA CORP NVDA Oct 02 2020 560.0 Call / CUSIP: / Symbol: NVDA 10/02/20 C 560.000							
09/30/20	43.000	4,732.21	Various	12,043.18	...	-7,310.97	Total of 2 transactions
09/30/20	64.000	7,224.01	Various	14,527.50	5,231.38 W	-2,072.11	Total of 3 transactions
	<b>Security total:</b>	<b>11,956.22</b>		<b>26,570.68</b>	<b>5,231.38 W</b>	<b>-9,383.08</b>	
NVIDIA CORP NVDA Nov 20 2020 520.0 Put / CUSIP: / Symbol: NVDA 11/20/20 P 520.000							
11/20/20	1.000	43.74	11/19/20	200.26	...	-156.52	Option sale
OREILLY AUTOMOTIVE INC NEW ORLY Aug 21 2020 500.0 Call / CUSIP: / Symbol: ORLY 08/21/20 C 500.000							
08/18/20	37.000	250.49	Various	16,665.43	...	-16,414.94	Total of 5 transactions
OVERSTOCK COM INC DEL OSTK Sep 04 2020 100.0 Call / CUSIP: / Symbol: OSTK 09/04/20 C 100.000							
08/31/20	50.000	11,978.78	08/31/20	17,995.85	...	-6,017.07	Option sale
PALO ALTO NETWORKS INC PANW Nov 27 2020 295.0 Call / CUSIP: / Symbol: PANW 11/27/20 C 295.000							
11/20/20	25.000	6,493.21	11/19/20	4,131.60	...	2,361.61	Option sale
PALO ALTO NETWORKS INC PANW Nov 27 2020 300.0 Call / CUSIP: / Symbol: PANW 11/27/20 C 300.000							
11/20/20	17.000	4,245.39	11/20/20	2,384.49	...	1,860.90	Option sale
11/23/20	60.000	8,983.84	Various	8,415.84	...	568.00	Total of 2 transactions
	<b>Security total:</b>	<b>13,229.23</b>		<b>10,800.33</b>	...	<b>2,428.90</b>	
PALO ALTO NETWORKS INC PANW Dec 04 2020 300.0 Call / CUSIP: / Symbol: PANW 12/04/20 C 300.000							
12/02/20	100.000	8,973.20	12/02/20	8,026.40	...	946.80	Option sale
PAYPAL HOLDINGS INC PYPL Jul 24 2020 180.0 Call / CUSIP: / Symbol: PYPL 07/24/20 C 180.000							
07/22/20	100.000	10,257.88	07/22/20	15,041.69	...	-4,783.81	Option sale
PAYPAL HOLDINGS INC PYPL Jul 31 2020 190.0 Call / CUSIP: / Symbol: PYPL 07/31/20 C 190.000							
07/22/20	20.000	6,391.48	07/22/20	5,608.34	...	783.14	Option sale
PAYPAL HOLDINGS INC PYPL Dec 04 2020 225.0 Call / CUSIP: / Symbol: PYPL 12/04/20 C 225.000							
12/01/20	177.000	29,033.28	Various	29,428.73	...	-395.45	Total of 3 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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QUALCOMM INC QCOM Mar 20 2020 95.0 Call / CUSIP: / Symbol: QCOM 03/20/20 C 95.000							
01/16/20	50.000	13,966.26	01/15/20	13,533.35	...	432.91	Option sale
QUALCOMM INC QCOM Aug 14 2020 110.0 Call / CUSIP: / Symbol: QCOM 08/14/20 C 110.000							
08/11/20	50.000	14,978.72	08/11/20	8,998.85	...	5,979.87	Option sale
RITE AID CORP RAD Feb 14 2020 14.5 Call / CUSIP: / Symbol: RAD 02/14/20 C 14.500							
02/11/20	65.000	1,451.49	02/10/20	4,073.35	...	-2,621.86	Option sale
RITE AID CORPORATION RAD Apr 24 2020 15.0 Call / CUSIP: / Symbol: RAD 04/24/20 C 15.000							
04/15/20	25.000	3,870.20	Various	3,266.67	...	603.53	Total of 2 transactions
04/16/20	75.000	849.81	04/13/20	9,800.02	...	-8,950.21	Option sale
	<b>Security total:</b>	<b>4,720.01</b>		<b>13,066.69</b>	...	<b>-8,346.68</b>	
RITE AID CORP RAD Jun 26 2020 15.5 Call / CUSIP: / Symbol: RAD 06/26/20 C 15.500							
06/25/20	4.000	302.31	06/25/20	301.67	...	0.64	Option sale
RITE AID CORP RAD Jul 02 2020 18.0 Call / CUSIP: / Symbol: RAD 07/02/20 C 18.000							
06/29/20	500.000	16,494.19	Various	26,208.45	...	-9,714.26	Total of 9 transactions
RITE AID CORP RAD Dec 24 2020 22.0 Call / CUSIP: / Symbol: RAD 12/24/20 C 22.000							
12/22/20	100.000	473.39	12/17/20	13,026.40	...	-12,553.01	Option sale
ROYAL CARIBBEAN CRUISES RCL Jul 24 2020 55.0 Call / CUSIP: / Symbol: RCL 07/24/20 C 55.000							
07/15/20	100.000	43,473.15	Various	34,041.69	...	9,431.46	Total of 3 transactions
ROYAL CARIBBEAN CRUISES RCL Jul 24 2020 65.0 Call / CUSIP: / Symbol: RCL 07/24/20 C 65.000							
07/16/20	600.000	60,697.30	Various	74,608.14	...	-13,910.84	Total of 4 transactions
ROYAL CARIBBEAN GROUP RCL Aug 07 2020 53.0 Call / CUSIP: / Symbol: RCL 08/07/20 C 53.000							
07/28/20	250.000	64,972.83	Various	59,070.23	...	5,902.60	Total of 4 transactions
ROYAL CARIBBEAN GROUP RCL Aug 21 2020 65.0 Call / CUSIP: / Symbol: RCL 08/21/20 C 65.000							
08/14/20	1.000	84.58	08/14/20	93.01	...	-8.43	Option sale
08/14/20	99.000	8,175.35	08/14/20	8,726.67	5.99 W	-545.33	Option sale
	<b>Security total:</b>	<b>8,259.93</b>		<b>8,819.68</b>	<b>5.99 W</b>	<b>-553.76</b>	

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(continued)

02/04/2021

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ROYAL CARIBBEAN GROUP RCL Nov 06 2020 60.0 Call / CUSIP: / Symbol: RCL 11/06/20 C 60.000							
11/02/20	9.000	717.58	10/30/20	2,072.38	...	-1,354.80	Option sale
REGENERON PHARMACEUTICALS INC REGN Jun 05 2020 585.0 Call / CUSIP: / Symbol: REGN 06/05/20 C 585.000							
05/28/20	51.000	17,828.25	05/27/20	16,776.37	...	1,051.88	Option sale
REGENERON PHARMACEUTICALS INC REGN Jul 02 2020 630.0 Call / CUSIP: / Symbol: REGN 07/02/20 C 630.000							
07/02/20	27.000	2,078.64	Various	2,711.26	...	-632.62	Total of 4 transactions
REGENERON PHARMACEUTICALS INC REGN Dec 24 2020 520.0 Call / CUSIP: / Symbol: REGN 12/24/20 C 520.000							
12/21/20	40.000	3,989.27	12/18/20	11,410.56	...	-7,421.29	Option sale
RH RH Sep 11 2020 410.0 Call / CUSIP: / Symbol: RH 09/11/20 C 410.000							
09/10/20	17.000	3,392.80	09/10/20	3,407.09	...	-14.29	Option sale
RH RH Sep 18 2020 400.0 Call / CUSIP: / Symbol: RH 09/18/20 C 400.000							
09/16/20	4.000	1,058.30	09/16/20	1,061.67	...	-3.37	Option sale
RH RH Sep 18 2020 410.0 Call / CUSIP: / Symbol: RH 09/18/20 C 410.000							
09/18/20	96.000	439.78	09/16/20	10,835.02	...	-10,395.24	Option sale
ROKU INC ROKU May 29 2020 108.0 Call / CUSIP: / Symbol: ROKU 05/29/20 C 108.000							
05/28/20	5.000	1,497.88	05/28/20	617.09	...	880.79	Option sale
05/29/20	5.000	1,097.89	05/28/20	617.08	...	480.81	Option sale
	<b>Security total:</b>	<b>2,595.77</b>		<b>1,234.17</b>	...	<b>1,361.60</b>	
ROKU INC ROKU Jun 19 2020 122.0 Call / CUSIP: / Symbol: ROKU 06/19/20 C 122.000							
06/16/20	37.000	12,829.21	Various	6,305.43	...	6,523.78	Total of 3 transactions
ROKU INC ROKU Jun 19 2020 130.0 Call / CUSIP: / Symbol: ROKU 06/19/20 C 130.000							
06/17/20	87.000	13,808.25	Various	20,282.06	...	-6,473.81	Total of 2 transactions
06/17/20	138.000	19,531.76	Various	26,571.94	7,040.18 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>33,340.01</b>		<b>46,854.00</b>	<b>7,040.18 W</b>	<b>-6,473.81</b>	
ROKU INC ROKU Aug 21 2020 150.0 Call / CUSIP: / Symbol: ROKU 08/21/20 C 150.000							
08/18/20	77.000	23,568.23	Various	16,836.10	...	6,732.13	Total of 5 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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ROKU INC ROKU Sep 04 2020 170.0 Call / CUSIP: / Symbol: ROKU 09/04/20 C 170.000							
08/26/20	77.000	17,177.36	Various	14,683.10	...	2,494.26	Total of 2 transactions
ROKU INC ROKU Sep 25 2020 175.0 Call / CUSIP: / Symbol: ROKU 09/25/20 C 175.000							
09/21/20	50.000	23,718.53	Various	10,020.85	...	13,697.68	Total of 6 transactions
ROKU INC ROKU Sep 25 2020 192.5 Call / CUSIP: / Symbol: ROKU 09/25/20 C 192.500							
09/21/20	50.000	9,728.83	09/21/20	9,520.85	...	207.98	Option sale
ROKU INC ROKU Sep 25 2020 200.0 Call / CUSIP: / Symbol: ROKU 09/25/20 C 200.000							
09/21/20	27.000	9,438.48	09/21/20	9,191.26	...	247.22	Option sale
ROKU INC ROKU Oct 02 2020 200.0 Call / CUSIP: / Symbol: ROKU 10/02/20 C 200.000							
09/29/20	10.000	1,558.81	Various	1,554.14	...	4.67	Total of 2 transactions
ROKU INC ROKU Aug 07 2020 145.0 Put / CUSIP: / Symbol: ROKU 08/07/20 P 145.000							
08/06/20	50.000	2,229.00	08/06/20	4,104.85	...	-1,875.85	Option sale
BOSTON BEER CO INC SAM Jul 17 2020 580.0 Call / CUSIP: / Symbol: SAM 07/17/20 C 580.000							
07/01/20	12.000	7,194.82	06/30/20	7,005.00	...	189.82	Option sale
STARBUCKS CORP SBUX Sep 04 2020 81.0 Call / CUSIP: / Symbol: SBUX 09/04/20 C 81.000							
08/25/20	182.000	20,587.32	Various	19,583.88	...	1,003.44	Total of 3 transactions
SHOPIFY INC SHOP Feb 28 2020 500.0 Call / CUSIP: / Symbol: SHOP 02/28/20 C 500.000							
02/27/20	10.000	293.30	02/26/20	3,006.67	...	-2,713.37	Option sale
SHOPIFY INC SHOP May 22 2020 800.0 Call / CUSIP: / Symbol: SHOP 05/22/20 C 800.000							
05/20/20	27.000	15,385.65	Various	13,413.95	...	1,971.70	Total of 2 transactions
SHOPIFY INC SHOP Jun 05 2020 810.0 Call / CUSIP: / Symbol: SHOP 06/05/20 C 810.000							
06/02/20	25.000	11,739.28	Various	9,260.42	...	2,478.86	Total of 4 transactions
SHOPIFY INC SHOP Jun 12 2020 800.0 Call / CUSIP: / Symbol: SHOP 06/12/20 C 800.000							
06/08/20	50.000	15,896.70	Various	28,721.70	12,825.00 W	0.00	Total of 8 transactions
06/09/20	27.000	15,686.35	Various	12,018.51	...	3,667.84	Total of 8 transactions
06/09/20	80.000	36,727.66	Various	42,979.53	9,125.29 W	2,873.42	Total of 7 transactions
<b>Security total:</b>		<b>68,310.71</b>		<b>83,719.74</b>	<b>21,950.29 W</b>	<b>6,541.26</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SHOPIFY INC SHOP Jun 19 2020 880.0 Call / CUSIP: / Symbol: SHOP 06/19/20 C 880.000							
06/18/20	28.000	13,767.97	Various	15,411.67	...	-1,643.70	Total of 4 transactions
SHOPIFY INC SHOP Sep 25 2020 920.0 Call / CUSIP: / Symbol: SHOP 09/25/20 C 920.000							
09/17/20	7.000	8,046.89	09/17/20	7,352.92	...	693.97	Option sale
SHOPIFY INC SHOP Sep 25 2020 950.0 Call / CUSIP: / Symbol: SHOP 09/25/20 C 950.000							
09/18/20	20.000	20,691.17	Various	12,608.34	...	8,082.83	Total of 2 transactions
09/21/20	30.000	33,786.68	Various	20,412.51	...	13,374.17	Total of 4 transactions
09/22/20	7.000	7,696.90	09/21/20	5,112.92	...	2,583.98	Option sale
<b>Security total:</b>		<b>62,174.75</b>		<b>38,133.77</b>	...	<b>24,040.98</b>	
SHOPIFY INC SHOP Nov 20 2020 980.0 Call / CUSIP: / Symbol: SHOP 11/20/20 C 980.000							
11/19/20	37.000	31,869.45	Various	11,479.77	...	20,389.68	Total of 4 transactions
SHOPIFY INC SHOP Jul 24 2020 1050.0 Call / CUSIP: / Symbol: SHOP 07/24/20 C 1050.000							
07/20/20	50.000	34,878.28	Various	36,520.85	...	-1,642.57	Total of 2 transactions
SHOPIFY INC SHOP Jul 31 2020 1075.0 Call / CUSIP: / Symbol: SHOP 07/31/20 C 1075.000							
07/30/20	10.000	8,695.62	07/30/20	7,204.17	...	1,491.45	Option sale
SHOPIFY INC SHOP Jul 31 2020 1100.0 Call / CUSIP: / Symbol: SHOP 07/31/20 C 1100.000							
07/31/20	1.000	439.57	07/30/20	445.26	...	-5.69	Option sale
07/31/20	46.000	9,180.53	07/30/20	15,039.17	174.84 W	-5,683.80	Option sale
<b>Security total:</b>		<b>9,620.10</b>		<b>15,484.43</b>	<b>174.84 W</b>	<b>-5,689.49</b>	
SHOPIFY INC SHOP Jul 31 2020 1120.0 Call / CUSIP: / Symbol: SHOP 07/31/20 C 1120.000							
07/30/20	50.000	6,728.90	07/30/20	13,770.85	...	-7,041.95	Option sale
SHOPIFY INC SHOP Aug 07 2020 1100.0 Call / CUSIP: / Symbol: SHOP 08/07/20 C 1100.000							
08/03/20	7.000	10,696.84	Various	7,002.92	...	3,693.92	Total of 2 transactions
SHOPIFY INC SHOP Aug 07 2020 1140.0 Call / CUSIP: / Symbol: SHOP 08/07/20 C 1140.000							
08/05/20	15.000	9,343.50	Various	8,406.25	...	937.25	Total of 2 transactions
SHOPIFY INC SHOP Aug 07 2020 1150.0 Call / CUSIP: / Symbol: SHOP 08/07/20 C 1150.000							
08/03/20	17.000	12,377.61	Various	12,247.09	...	130.52	Total of 3 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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SHOPIFY INC SHOP Aug 14 2020 1060.0 Call / CUSIP: / Symbol: SHOP 08/14/20 C 1060.000							
08/12/20	13.000	4,284.46	08/12/20	3,905.42	...	379.04	Option sale
08/13/20	37.000	13,424.21	Various	11,115.43	...	2,308.78	Total of 2 transactions
	<b>Security total:</b>	<b>17,708.67</b>		<b>15,020.85</b>	...	<b>2,687.82</b>	
SHOPIFY INC SHOP Aug 14 2020 1085.0 Call / CUSIP: / Symbol: SHOP 08/14/20 C 1085.000							
08/12/20	50.000	7,978.87	08/11/20	15,270.84	...	-7,291.97	Option sale
SHOPIFY INC SHOP Aug 14 2020 1100.0 Call / CUSIP: / Symbol: SHOP 08/14/20 C 1100.000							
08/13/20	100.000	3,838.02	Various	13,041.69	...	-9,203.67	Total of 2 transactions
SHOPIFY INC SHOP Aug 21 2020 1075.0 Call / CUSIP: / Symbol: SHOP 08/21/20 C 1075.000							
08/20/20	5.000	1,747.87	08/20/20	1,402.08	...	345.79	Option sale
SHOPIFY INC SHOP Aug 21 2020 1080.0 Call / CUSIP: / Symbol: SHOP 08/21/20 C 1080.000							
08/21/20	50.000	4,678.94	Various	14,061.69	...	-9,382.75	Total of 2 transactions
08/21/20	1.000	59.58	08/20/20	280.42	220.84 W	0.00	Option sale
	<b>Security total:</b>	<b>4,738.52</b>		<b>14,342.11</b>	<b>220.84 W</b>	<b>-9,382.75</b>	
SHOPIFY INC SHOP Aug 21 2020 1100.0 Call / CUSIP: / Symbol: SHOP 08/21/20 C 1100.000							
08/19/20	40.000	3,983.15	08/19/20	8,416.68	...	-4,433.53	Option sale
SHOPIFY INC SHOP Aug 28 2020 1120.0 Call / CUSIP: / Symbol: SHOP 08/28/20 C 1120.000							
08/26/20	27.000	30,656.01	Various	20,331.26	...	10,324.75	Total of 4 transactions
SHOPIFY INC SHOP Aug 28 2020 1150.0 Call / CUSIP: / Symbol: SHOP 08/28/20 C 1150.000							
08/21/20	50.000	12,498.77	Various	30,020.85	9,461.92 W	-8,060.16	Total of 2 transactions
08/26/20	27.000	28,748.07	Various	20,813.18	...	7,934.89	Total of 5 transactions
	<b>Security total:</b>	<b>41,246.84</b>		<b>50,834.03</b>	<b>9,461.92 W</b>	<b>-125.27</b>	
SHOPIFY INC SHOP Sep 04 2020 1100.0 Call / CUSIP: / Symbol: SHOP 09/04/20 C 1100.000							
09/03/20	17.000	3,416.80	Various	7,657.09	...	-4,240.29	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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SHOPIFY INC SHOP Sep 04 2020 1150.0 Call / CUSIP: / Symbol: SHOP 09/04/20 C 1150.000							
08/28/20	50.000	67,477.56	08/28/20	67,520.84	...	-43.28	Option sale
08/31/20	10.000	4,495.71	08/28/20	13,504.17	...	-9,008.46	Option sale
09/01/20	10.000	5,395.69	08/28/20	13,504.17	...	-8,108.48	Option sale
	<b>Security total:</b>	<b>77,368.96</b>		<b>94,529.18</b>	...	<b>-17,160.22</b>	
SHOPIFY INC SHOP Sep 04 2020 1200.0 Call / CUSIP: / Symbol: SHOP 09/04/20 C 1200.000							
08/28/20	27.000	13,677.39	08/28/20	18,371.26	...	-4,693.87	Option sale
SHOPIFY INC SHOP Sep 25 2020 1000.0 Call / CUSIP: / Symbol: SHOP 09/25/20 C 1000.000							
09/21/20	37.000	13,134.21	Various	12,965.43	...	168.78	Total of 2 transactions
SHOPIFY INC SHOP Sep 25 2020 1020.0 Call / CUSIP: / Symbol: SHOP 09/25/20 C 1020.000							
09/17/20	2.000	239.16	09/16/20	1,400.83	1,161.67 W	0.00	Option sale
09/18/20	27.000	8,898.49	Various	16,848.10	...	-7,949.61	Option sale
09/18/20	18.000	5,032.34	Various	12,607.51	7,575.17 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>14,169.99</b>		<b>30,856.44</b>	<b>8,736.84 W</b>	<b>-7,949.61</b>	
SHOPIFY INC SHOP Oct 02 2020 1065.0 Call / CUSIP: / Symbol: SHOP 10/02/20 C 1065.000							
10/01/20	47.000	21,579.98	Various	15,529.46	...	6,050.52	Total of 4 transactions
SHOPIFY INC SHOP Oct 02 2020 1070.0 Call / CUSIP: / Symbol: SHOP 10/02/20 C 1070.000							
09/29/20	17.000	11,732.67	Various	9,697.04	...	2,035.63	Total of 2 transactions
SHOPIFY INC SHOP Oct 02 2020 1100.0 Call / CUSIP: / Symbol: SHOP 10/02/20 C 1100.000							
09/29/20	10.000	3,742.15	Various	3,235.75	...	506.40	Total of 2 transactions
09/29/20	7.000	2,307.04	09/29/20	2,283.10	5.02 W	28.96	Option sale
09/30/20	9.000	-7.15	09/29/20	0.00	...	-7.15	Short sale closed- option
	<b>Security total:</b>	<b>6,042.04</b>		<b>5,518.85</b>	<b>5.02 W</b>	<b>528.21</b>	
SHOPIFY INC SHOP Nov 20 2020 1000.0 Call / CUSIP: / Symbol: SHOP 11/20/20 C 1000.000							
11/20/20	10.000	3,147.27	Various	2,882.64	...	264.63	Total of 2 transactions
SHOPIFY INC SHOP Nov 27 2020 1010.0 Call / CUSIP: / Symbol: SHOP 11/27/20 C 1010.000							
11/25/20	10.000	6,247.20	Various	5,502.64	...	744.56	Total of 2 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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SHOPIFY INC SHOP Nov 27 2020	1025.0	Call / CUSIP: / Symbol: SHOP	11/27/20	C 1025.000			
11/25/20	27.000	16,842.45	Various	11,887.13	...	4,955.32	Total of 5 transactions
SHOPIFY INC SHOP Nov 27 2020	1060.0	Call / CUSIP: / Symbol: SHOP	11/27/20	C 1060.000			
11/24/20	47.000	2,337.45	Various	24,922.41	...	-22,584.96	Total of 2 transactions
SHOPIFY INC SHOP Dec 04 2020	1100.0	Call / CUSIP: / Symbol: SHOP	12/04/20	C 1100.000			
11/30/20	10.000	16,590.98	Various	10,002.64	...	6,588.34	Total of 3 transactions
SHOPIFY INC SHOP Dec 04 2020	1150.0	Call / CUSIP: / Symbol: SHOP	12/04/20	C 1150.000			
11/30/20	3.000	3,149.13	11/30/20	2,820.79	...	328.34	Option sale
SHOPIFY INC SHOP Dec 18 2020	1140.0	Call / CUSIP: / Symbol: SHOP	12/18/20	C 1140.000			
12/16/20	30.000	25,191.47	Various	9,907.92	...	15,283.55	Total of 4 transactions
SNOWFLAKE INC SNOW Dec 04 2020	390.0	Call / CUSIP: / Symbol: SNOW	12/04/20	C 390.000			
12/04/20	7.000	1,678.10	12/04/20	1,611.85	...	66.25	Option sale
SNOWFLAKE INC SNOW Dec 04 2020	405.0	Call / CUSIP: / Symbol: SNOW	12/04/20	C 405.000			
12/04/20	10.000	797.32	12/04/20	1,652.64	...	-855.32	Option sale
SNOWFLAKE INC SNOW Dec 11 2020	400.0	Call / CUSIP: / Symbol: SNOW	12/11/20	C 400.000			
12/10/20	17.000	10,045.25	Various	9,354.49	...	690.76	Total of 2 transactions
SIMON PROPERTY GROUP INC SPG May 15 2020	60.0	Call / CUSIP: / Symbol: SPG	05/15/20	C 60.000			
05/11/20	17.000	2,997.56	05/11/20	2,986.34	...	11.22	Option sale
SIMON PROPERTY GROUP INC SPG Jul 17 2020	75.0	Call / CUSIP: / Symbol: SPG	07/17/20	C 75.000			
07/02/20	100.000	21,002.64	Various	20,041.69	...	960.95	Total of 3 transactions
SPLUNK INC SPLK Aug 28 2020	230.0	Call / CUSIP: / Symbol: SPLK	08/28/20	C 230.000			
08/27/20	1.000	64.58	08/26/20	390.42	...	-325.84	Option sale
SQUARE INC SQ Sep 18 2020	162.5	Call / CUSIP: / Symbol: SQ	09/18/20	C 162.500			
09/16/20	100.000	4,034.02	Various	17,441.69	...	-13,407.67	Total of 3 transactions
SQUARE INC SQ Sep 18 2020	170.0	Call / CUSIP: / Symbol: SQ	09/18/20	C 170.000			
09/15/20	200.000	6,803.07	Various	22,083.38	...	-15,280.31	Total of 2 transactions

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STAMPS.COM INC STMP Sep 25 2020 237.5 Call / CUSIP: / Symbol: STMP 09/25/20 C 237.500							
09/23/20	57.000	14,715.97	Various	9,998.76	...	4,717.21	Total of 3 transactions
CONSTELLATION BRANDS INC STZ Jan 24 2020 192.5 Call / CUSIP: / Symbol: STZ 01/24/20 C 192.500							
01/14/20	55.000	9,288.02	Various	8,786.68	...	501.34	Total of 3 transactions
CONSTELLATION BRANDS INC STZ Jan 31 2020 195.0 Call / CUSIP: / Symbol: STZ 01/31/20 C 195.000							
01/23/20	40.000	6,173.12	Various	5,816.85	...	356.27	Total of 2 transactions
01/23/20	30.000	4,329.84	01/17/20	5,120.01	790.17 W	0.00	Option sale
	<b>Security total:</b>	<b>10,502.96</b>		<b>10,936.86</b>	<b>790.17 W</b>	<b>356.27</b>	
CONSTELLATION BRANDS INC STZ Oct 02 2020 195.0 Call / CUSIP: / Symbol: STZ 10/02/20 C 195.000							
09/29/20	177.000	40,780.47	Various	24,313.28	...	16,467.19	Total of 5 transactions
CONSTELLATION BRANDS INC STZ Oct 02 2020 200.0 Call / CUSIP: / Symbol: STZ 10/02/20 C 200.000							
09/30/20	90.000	8,962.36	09/29/20	9,937.26	974.90 W	0.00	Option sale
10/02/20	177.000	0.00	Various	14,518.18	...	-14,518.18	Option expiration
	<b>Security total:</b>	<b>8,962.36</b>		<b>24,455.44</b>	<b>974.90 W</b>	<b>-14,518.18</b>	
CONSTELLATION BRANDS INC STZ Oct 09 2020 195.0 Call / CUSIP: / Symbol: STZ 10/09/20 C 195.000							
10/09/20	177.000	0.00	10/01/20	22,773.28	...	-22,773.28	Option expiration
CONSTELLATION BRANDS INC STZ Oct 09 2020 200.0 Call / CUSIP: / Symbol: STZ 10/09/20 C 200.000							
10/01/20	18.000	712.49	10/01/20	727.45	...	-14.96	Option sale
10/02/20	82.000	949.87	10/01/20	3,313.95	...	-2,364.08	Option sale
	<b>Security total:</b>	<b>1,662.36</b>		<b>4,041.40</b>	...	<b>-2,379.04</b>	
TELADOC HEALTH INC TDOC Aug 14 2020 195.0 Call / CUSIP: / Symbol: TDOC 08/14/20 C 195.000							
08/14/20	37.000	2,944.43	08/13/20	4,825.43	...	-1,881.00	Option sale
TELADOC HEALTH INC TDOC Aug 14 2020 202.5 Call / CUSIP: / Symbol: TDOC 08/14/20 C 202.500							
08/13/20	35.000	860.32	08/13/20	5,264.59	...	-4,404.27	Option sale
TELADOC HEALTH INC TDOC Aug 14 2020 205.0 Call / CUSIP: / Symbol: TDOC 08/14/20 C 205.000							
08/13/20	77.000	11,517.49	08/13/20	11,582.10	...	-64.61	Option sale
TELADOC HEALTH INC TDOC Aug 21 2020 210.0 Call / CUSIP: / Symbol: TDOC 08/21/20 C 210.000							
08/17/20	77.000	24,000.22	Various	13,892.10	...	10,108.12	Total of 4 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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TELADOC HEALTH INC TDOC Sep 25 2020 220.0 Call / CUSIP: / Symbol: TDOC 09/25/20 C 220.000							
09/22/20	100.000	8,457.91	Various	11,041.69	...	-2,583.78	Total of 2 transactions
TARGET CORP TGT Aug 28 2020 155.0 Call / CUSIP: / Symbol: TGT 08/28/20 C 155.000							
08/19/20	77.000	21,994.26	Various	14,662.10	...	7,332.16	Total of 4 transactions
08/21/20	100.000	21,465.63	Various	13,541.69	...	7,923.94	Total of 2 transactions
08/27/20	98.000	938.92	08/24/20	8,860.86	7,921.94 W	0.00	Option sale
08/28/20	81.000	79.47	08/24/20	13,884.57	...	-13,805.10	Option sale
08/28/20	21.000	0.81	08/24/20	3,320.64	180.75 W	-3,139.08	Option sale
	<b>Security total:</b>	<b>44,479.09</b>		<b>54,269.86</b>	<b>8,102.69 W</b>	<b>-1,688.08</b>	
TARGET CORP TGT Aug 28 2020 157.5 Call / CUSIP: / Symbol: TGT 08/28/20 C 157.500							
08/21/20	300.000	38,273.48	Various	36,125.07	...	2,148.41	Total of 3 transactions
TARGET CORP TGT Aug 28 2020 160.0 Call / CUSIP: / Symbol: TGT 08/28/20 C 160.000							
08/25/20	391.000	4,919.10	08/21/20	29,103.53	...	-24,184.43	Option sale
08/25/20	9.000	104.23	08/21/20	681.31	577.08 W	0.00	Option sale
	<b>Security total:</b>	<b>5,023.33</b>		<b>29,784.84</b>	<b>577.08 W</b>	<b>-24,184.43</b>	
TARGET CORP TGT Sep 11 2020 155.0 Call / CUSIP: / Symbol: TGT 09/11/20 C 155.000							
09/03/20	185.000	5,916.37	08/31/20	48,860.56	...	-42,944.19	Option sale
09/03/20	215.000	6,930.78	Various	33,089.63	22,883.43 W	-3,275.42	Option sale
	<b>Security total:</b>	<b>12,847.15</b>		<b>81,950.19</b>	<b>22,883.43 W</b>	<b>-46,219.61</b>	
TARGET CORP TGT Dec 04 2020 182.5 Call / CUSIP: / Symbol: TGT 12/04/20 C 182.500							
11/23/20	24.000	2,225.56	11/23/20	2,166.34	...	59.22	Option sale
TARGET CORP TGT Dec 11 2020 182.5 Call / CUSIP: / Symbol: TGT 12/11/20 C 182.500							
11/30/20	100.000	15,973.04	Various	13,026.40	...	2,946.64	Total of 2 transactions
TILRAY INC TLRV Sep 18 2020 10.0 Call / CUSIP: / Symbol: TLRV 09/18/20 C 10.000							
08/11/20	300.000	7,074.17	Various	31,099.07	...	-24,024.90	Total of 2 transactions

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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TILRAY INC TLRV Nov 13 2020 11.0 Call / CUSIP: / Symbol: TLRV 11/13/20 C 11.000							
11/06/20	57.000	9,534.62	Various	8,643.07	...	891.55	Total of 3 transactions
11/06/20	20.000	3,174.61	11/06/20	3,162.28	92.27 W	104.60	Option sale
11/09/20	8.000	306.48	11/06/20	0.00	...	306.48	Short sale closed- option
<b>Security total:</b>		<b>13,015.71</b>		<b>11,805.35</b>	<b>92.27 W</b>	<b>1,302.63</b>	
TESLA INC TSLA Mar 06 2020 880.0 Call / CUSIP: / Symbol: TSLA 03/06/20 C 880.000							
02/26/20	3.000	3,237.92	02/25/20	4,802.00	...	-1,564.08	Option sale
TESLA INC TSLA Apr 03 2020 550.0 Call / CUSIP: / Symbol: TSLA 04/03/20 C 550.000							
03/27/20	7.000	13,995.01	03/27/20	12,394.67	...	1,600.34	Option sale
TESLA INC TSLA Apr 03 2020 585.0 Call / CUSIP: / Symbol: TSLA 04/03/20 C 585.000							
03/27/20	10.000	10,753.08	Various	10,256.67	...	496.41	Total of 2 transactions
TESLA INC TSLA Apr 03 2020 600.0 Call / CUSIP: / Symbol: TSLA 04/03/20 C 600.000							
03/26/20	10.000	25,492.75	03/25/20	25,000.82	...	491.93	Option sale
TESLA INC TSLA May 08 2020 800.0 Call / CUSIP: / Symbol: TSLA 05/08/20 C 800.000							
05/04/20	10.000	10,393.08	05/04/20	10,244.67	...	148.41	Option sale
TESLA INC TSLA May 08 2020 820.0 Call / CUSIP: / Symbol: TSLA 05/08/20 C 820.000							
05/06/20	40.000	12,972.96	Various	15,626.68	...	-2,653.72	Total of 3 transactions
TESLA INC TSLA May 15 2020 820.0 Call / CUSIP: / Symbol: TSLA 05/15/20 C 820.000							
05/14/20	7.000	1,886.33	05/14/20	1,991.45	...	-105.12	Option sale
05/14/20	4.000	1,137.89	05/14/20	1,210.67	72.78 W	0.00	Option sale
<b>Security total:</b>		<b>3,024.22</b>		<b>3,202.12</b>	<b>72.78 W</b>	<b>-105.12</b>	
TESLA INC TSLA May 15 2020 825.0 Call / CUSIP: / Symbol: TSLA 05/15/20 C 825.000							
05/15/20	7.000	752.36	05/14/20	1,963.62	...	-1,211.26	Option sale
TESLA INC TSLA May 15 2020 885.0 Call / CUSIP: / Symbol: TSLA 05/15/20 C 885.000							
05/13/20	17.000	1,569.60	05/12/20	21,059.13	...	-19,489.53	Option sale
05/13/20	20.000	3,986.53	05/12/20	17,438.34	12,547.79 W	-904.02	Option sale
<b>Security total:</b>		<b>5,556.13</b>		<b>38,497.47</b>	<b>12,547.79 W</b>	<b>-20,393.55</b>	

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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TESLA INC TSLA May 22 2020 820.0 Call / CUSIP: / Symbol: TSLA 05/22/20 C 820.000							
05/21/20	10.000	8,244.64	Various	4,405.17	...	3,839.47	Total of 2 transactions
TESLA INC TSLA May 22 2020 840.0 Call / CUSIP: / Symbol: TSLA 05/22/20 C 840.000							
05/21/20	27.000	20,835.53	Various	7,033.96	...	13,801.57	Total of 3 transactions
TESLA INC TSLA May 22 2020 850.0 Call / CUSIP: / Symbol: TSLA 05/22/20 C 850.000							
05/20/20	8.000	5,035.72	05/20/20	5,244.13	208.41 W	0.00	Option sale
05/21/20	13.000	1,457.23	Various	9,327.57	...	-7,870.34	Total of 2 transactions
05/21/20	14.000	2,187.68	05/20/20	7,487.00	4,292.20 W	-1,007.12	Option sale
	<b>Security total:</b>	<b>8,680.63</b>		<b>22,058.70</b>	<b>4,500.61 W</b>	<b>-8,877.46</b>	
TESLA INC TSLA May 22 2020 855.0 Call / CUSIP: / Symbol: TSLA 05/22/20 C 855.000							
05/19/20	6.000	3,146.82	05/19/20	3,183.10	...	-36.28	Option sale
TESLA INC TSLA May 22 2020 860.0 Call / CUSIP: / Symbol: TSLA 05/22/20 C 860.000							
05/19/20	15.000	7,192.06	05/19/20	9,329.75	...	-2,137.69	Option sale
TESLA INC TSLA May 22 2020 865.0 Call / CUSIP: / Symbol: TSLA 05/22/20 C 865.000							
05/19/20	6.000	2,576.83	05/18/20	3,303.10	...	-726.27	Option sale
TESLA INC TSLA May 29 2020 850.0 Call / CUSIP: / Symbol: TSLA 05/29/20 C 850.000							
05/27/20	20.000	12,849.34	05/26/20	13,872.84	1,023.50 W	0.00	Option sale
05/28/20	30.000	4,277.34	Various	14,960.51	...	-10,683.17	Option sale
	<b>Security total:</b>	<b>17,126.68</b>		<b>28,833.35</b>	<b>1,023.50 W</b>	<b>-10,683.17</b>	
TESLA INC TSLA May 29 2020 880.0 Call / CUSIP: / Symbol: TSLA 05/29/20 C 880.000							
05/27/20	1.000	110.98	05/26/20	573.66	...	-462.68	Option sale
05/27/20	56.000	6,242.31	05/26/20	19,198.44	232.64 W	-12,723.49	Option sale
	<b>Security total:</b>	<b>6,353.29</b>		<b>19,772.10</b>	<b>232.64 W</b>	<b>-13,186.17</b>	
TESLA INC TSLA May 29 2020 900.0 Call / CUSIP: / Symbol: TSLA 05/29/20 C 900.000							
05/26/20	69.000	13,177.44	Various	33,512.47	...	-20,335.03	Option sale
05/26/20	8.000	1,497.33	05/21/20	4,404.13	2,906.80 W	0.00	Option sale
	<b>Security total:</b>	<b>14,674.77</b>		<b>37,916.60</b>	<b>2,906.80 W</b>	<b>-20,335.03</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA INC TSLA Jun 05 2020 900.0 Call / CUSIP: / Symbol: TSLA 06/05/20 C 900.000							
06/01/20	80.000	77,524.76	Various	38,183.36	...	39,341.40	Total of 4 transactions
06/02/20	15.000	38,852.86	Various	4,956.25	...	33,896.61	Total of 2 transactions
06/03/20	5.000	5,497.79	05/29/20	1,652.09	...	3,845.70	Option sale
06/04/20	10.000	8,095.63	06/03/20	9,004.17	...	-908.54	Option sale
<b>Security total:</b>		<b>129,971.04</b>		<b>53,795.87</b>	...	<b>76,175.17</b>	
TESLA INC TSLA Jun 12 2020 930.0 Call / CUSIP: / Symbol: TSLA 06/12/20 C 930.000							
06/05/20	30.000	29,356.80	Various	24,012.51	...	5,344.29	Total of 2 transactions
TESLA INC TSLA Jun 12 2020 940.0 Call / CUSIP: / Symbol: TSLA 06/12/20 C 940.000							
06/08/20	30.000	70,451.88	Various	24,312.51	...	46,139.37	Total of 6 transactions
TESLA INC TSLA Jun 12 2020 980.0 Call / CUSIP: / Symbol: TSLA 06/12/20 C 980.000							
06/08/20	45.000	63,309.76	Various	57,256.69	...	6,053.07	Total of 4 transactions
06/08/20	15.000	17,468.33	06/08/20	18,006.25	537.92 W	0.00	Option sale
<b>Security total:</b>		<b>80,778.09</b>		<b>75,262.94</b>	<b>537.92 W</b>	<b>6,053.07</b>	
TESLA INC TSLA Jun 26 2020 980.0 Call / CUSIP: / Symbol: TSLA 06/26/20 C 980.000							
06/25/20	27.000	15,460.35	Various	14,229.76	...	1,230.59	Total of 3 transactions
06/25/20	10.000	5,295.69	06/25/20	5,305.45	8.78 W	-0.98	Option sale
<b>Security total:</b>		<b>20,756.04</b>		<b>19,535.21</b>	<b>8.78 W</b>	<b>1,229.61</b>	
TESLA INC TSLA Jun 26 2020 990.0 Call / CUSIP: / Symbol: TSLA 06/26/20 C 990.000							
06/25/20	37.000	17,234.12	Various	14,445.43	...	2,788.69	Total of 3 transactions
TESLA INC TSLA Sep 11 2020 400.0 Call / CUSIP: / Symbol: TSLA 09/11/20 C 400.000							
09/10/20	4.000	2,858.26	09/09/20	1,141.67	...	1,716.59	Option sale
TESLA INC TSLA Sep 18 2020 475.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 475.000							
09/10/20	3.000	1,393.71	09/10/20	1,396.41	2.70 W	0.00	Option sale
09/11/20	150.000	25,436.60	09/10/20	52,442.08	...	-27,005.48	Option sale
<b>Security total:</b>		<b>26,830.31</b>		<b>53,838.49</b>	<b>2.70 W</b>	<b>-27,005.48</b>	
TESLA INC TSLA Sep 18 2020 385.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 385.000							
09/09/20	17.000	22,262.39	Various	20,917.09	...	1,345.30	Total of 2 transactions

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(continued)

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TESLA INC TSLA Sep 18 2020 400.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 400.000							
09/09/20	17.000	25,142.33	Various	22,107.09	...	3,035.24	Total of 2 transactions
TESLA INC TSLA Sep 25 2020 550.0 Call / CUSIP: / Symbol: TSLA 09/25/20 C 550.000							
09/08/20	17.000	11,042.64	09/04/20	28,587.09	...	-17,544.45	Option sale
TESLA INC TSLA Sep 25 2020 600.0 Call / CUSIP: / Symbol: TSLA 09/25/20 C 600.000							
08/31/20	20.000	32,990.89	08/31/20	31,008.34	...	1,982.55	Option sale
09/04/20	50.000	74,797.40	Various	72,020.85	...	2,776.55	Total of 3 transactions
<b>Security total:</b>		<b>107,788.29</b>		<b>103,029.19</b>	...	<b>4,759.10</b>	
TESLA INC TSLA Sep 25 2020 400.0 Call / CUSIP: / Symbol: TSLA 09/25/20 C 400.000							
09/23/20	7.000	6,506.95	09/23/20	6,437.90	...	69.05	Option sale
TESLA INC TSLA Oct 02 2020 480.0 Call / CUSIP: / Symbol: TSLA 10/02/20 C 480.000							
10/01/20	47.000	12,385.18	Various	6,458.46	...	5,926.72	Total of 3 transactions
TESLA INC TSLA Oct 02 2020 460.0 Call / CUSIP: / Symbol: TSLA 10/02/20 C 460.000							
09/29/20	27.000	10,248.54	09/29/20	10,136.18	...	112.36	Option sale
TESLA INC TSLA Oct 09 2020 430.0 Call / CUSIP: / Symbol: TSLA 10/09/20 C 430.000							
10/07/20	17.000	13,492.64	Various	9,527.04	...	3,965.60	Total of 2 transactions
TESLA INC TSLA Oct 23 2020 500.0 Call / CUSIP: / Symbol: TSLA 10/23/20 C 500.000							
09/14/20	10.000	31,009.12	Various	18,304.17	...	12,704.95	Total of 3 transactions
09/15/20	7.000	27,506.46	09/11/20	12,812.92	...	14,693.54	Option sale
<b>Security total:</b>		<b>58,515.58</b>		<b>31,117.09</b>	...	<b>27,398.49</b>	
TESLA INC TSLA Nov 20 2020 480.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 480.000							
11/18/20	29.000	7,242.12	11/18/20	6,907.66	...	334.46	Option sale
TESLA INC TSLA Nov 20 2020 500.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 500.000							
11/18/20	77.000	6,370.38	11/17/20	23,825.33	...	-17,454.95	Option sale
TESLA INC TSLA Nov 20 2020 530.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 530.000							
11/19/20	27.000	14,682.49	Various	14,452.13	...	230.36	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA INC TSLA May 22 2020 810.0 Put / CUSIP: / Symbol: TSLA 05/22/20 P 810.000							
05/22/20	10.000	0.00	05/22/20	695.17	...	-695.17	Option expiration
TESLA INC TSLA Jun 12 2020 1000.0 Call / CUSIP: / Symbol: TSLA 06/12/20 C 1000.000							
06/09/20	10.000	6,895.66	06/09/20	5,810.16	...	1,085.50	Option sale
06/09/20	31.000	19,451.59	Various	19,697.93	246.34 W	0.00	Total of 2 transactions
06/10/20	40.000	72,271.66	Various	20,187.03	...	52,084.63	Total of 6 transactions
	<b>Security total:</b>	<b>98,618.91</b>		<b>45,695.12</b>	<b>246.34 W</b>	<b>53,170.13</b>	
TESLA INC TSLA Jun 12 2020 1010.0 Call / CUSIP: / Symbol: TSLA 06/12/20 C 1010.000							
06/09/20	40.000	16,582.87	06/09/20	25,367.68	...	-8,784.81	Option sale
TESLA INC TSLA Jun 12 2020 1040.0 Call / CUSIP: / Symbol: TSLA 06/12/20 C 1040.000							
06/10/20	25.000	32,788.81	Various	28,960.42	...	3,828.39	Total of 2 transactions
TESLA INC TSLA Jun 12 2020 1050.0 Call / CUSIP: / Symbol: TSLA 06/12/20 C 1050.000							
06/09/20	25.000	9,874.31	Various	19,301.74	...	-9,427.43	Total of 2 transactions
06/09/20	25.000	11,864.27	06/08/20	18,760.84	551.73 W	-6,344.84	Option sale
	<b>Security total:</b>	<b>21,738.58</b>		<b>38,062.58</b>	<b>551.73 W</b>	<b>-15,772.27</b>	
TESLA INC TSLA Jun 19 2020 1000.0 Call / CUSIP: / Symbol: TSLA 06/19/20 C 1000.000							
06/15/20	91.000	107,244.51	Various	87,215.95	...	20,028.56	Total of 10 transactions
TESLA INC TSLA Jun 19 2020 1025.0 Call / CUSIP: / Symbol: TSLA 06/19/20 C 1025.000							
06/18/20	30.000	12,287.17	Various	11,412.51	...	874.66	Total of 2 transactions
06/19/20	10.000	3,395.73	06/18/20	3,804.17	...	-408.44	Option sale
	<b>Security total:</b>	<b>15,682.90</b>		<b>15,216.68</b>	...	<b>466.22</b>	
TESLA INC TSLA Jun 19 2020 1030.0 Call / CUSIP: / Symbol: TSLA 06/19/20 C 1030.000							
06/17/20	60.000	41,678.97	Various	42,749.00	...	-1,070.03	Total of 9 transactions
TESLA INC TSLA Jun 19 2020 1040.0 Call / CUSIP: / Symbol: TSLA 06/19/20 C 1040.000							
06/16/20	35.000	21,334.86	Various	27,339.59	...	-6,004.73	Total of 2 transactions

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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TESLA INC TSLA Jun 19 2020 1050.0 Call / CUSIP: / Symbol: TSLA 06/19/20 C 1050.000							
06/15/20	42.000	37,771.58	Various	34,789.76	...	2,981.82	Total of 7 transactions
06/15/20	70.000	40,795.78	Various	50,552.62	9,756.84 W	0.00	Total of 4 transactions
06/16/20	62.000	59,112.72	Various	47,007.00	...	12,105.72	Total of 2 transactions
<b>Security total:</b>		<b>137,680.08</b>		<b>132,349.38</b>	<b>9,756.84 W</b>	<b>15,087.54</b>	
TESLA INC TSLA Jun 19 2020 1070.0 Call / CUSIP: / Symbol: TSLA 06/19/20 C 1070.000							
06/15/20	50.000	28,978.41	06/15/20	28,963.85	...	14.56	Option sale
TESLA INC TSLA Jun 26 2020 1030.0 Call / CUSIP: / Symbol: TSLA 06/26/20 C 1030.000							
06/23/20	10.000	6,995.66	06/23/20	7,004.17	...	-8.51	Option sale
06/24/20	17.000	9,172.68	06/23/20	11,907.09	...	-2,734.41	Option sale
<b>Security total:</b>		<b>16,168.34</b>		<b>18,911.26</b>	...	<b>-2,742.92</b>	
TESLA INC TSLA Jun 26 2020 1040.0 Call / CUSIP: / Symbol: TSLA 06/26/20 C 1040.000							
06/23/20	17.000	11,332.63	Various	11,397.09	...	-64.46	Total of 2 transactions
TESLA INC TSLA Jun 26 2020 1060.0 Call / CUSIP: / Symbol: TSLA 06/26/20 C 1060.000							
06/22/20	27.000	23,378.17	Various	30,791.26	...	-7,413.09	Total of 2 transactions
TESLA INC TSLA Jul 02 2020 1050.0 Call / CUSIP: / Symbol: TSLA 07/02/20 C 1050.000							
06/29/20	77.000	33,270.01	Various	84,732.10	18,039.82 W	-33,422.27	Total of 2 transactions
06/30/20	27.000	36,997.87	Various	38,301.08	...	-1,303.21	Total of 2 transactions
<b>Security total:</b>		<b>70,267.88</b>		<b>123,033.18</b>	<b>18,039.82 W</b>	<b>-34,725.48</b>	
TESLA INC TSLA Jul 02 2020 1100.0 Call / CUSIP: / Symbol: TSLA 07/02/20 C 1100.000							
06/30/20	10.000	14,995.48	06/30/20	13,204.17	...	1,791.31	Option sale
TESLA INC TSLA Jul 02 2020 1130.0 Call / CUSIP: / Symbol: TSLA 07/02/20 C 1130.000							
06/30/20	7.000	6,996.92	06/30/20	6,028.92	...	968.00	Option sale
06/30/20	7.000	6,996.92	06/30/20	7,562.92	566.00 W	0.00	Option sale
<b>Security total:</b>		<b>13,993.84</b>		<b>13,591.84</b>	<b>566.00 W</b>	<b>968.00</b>	
TESLA INC TSLA Jul 10 2020 1005.0 Call / CUSIP: / Symbol: TSLA 07/10/20 C 1005.000							
06/29/20	10.000	49,094.74	Various	30,504.17	...	18,590.57	Total of 2 transactions

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Account 427875146

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA INC TSLA Jul 10 2020 1500.0 Call / CUSIP: / Symbol: TSLA 07/10/20 C 1500.000							
07/10/20	12.000	8,394.79	07/10/20	6,125.00	...	2,269.79	Option sale
TESLA INC TSLA Jul 10 2020 1510.0 Call / CUSIP: / Symbol: TSLA 07/10/20 C 1510.000							
07/10/20	34.000	19,985.31	Various	15,309.18	...	4,676.13	Total of 3 transactions
TESLA INC TSLA Jul 10 2020 1520.0 Call / CUSIP: / Symbol: TSLA 07/10/20 C 1520.000							
07/10/20	43.000	19,081.57	Various	21,436.36	...	-2,354.79	Total of 5 transactions
07/10/20	10.000	3,495.73	07/10/20	4,704.17	1,208.44 W	0.00	Option sale
<b>Security total:</b>		<b>22,577.30</b>		<b>26,140.53</b>	<b>1,208.44 W</b>	<b>-2,354.79</b>	
TESLA INC TSLA Jul 10 2020 1560.0 Call / CUSIP: / Symbol: TSLA 07/10/20 C 1560.000							
07/10/20	1.000	44.58	07/10/20	110.42	...	-65.84	Option sale
TESLA INC TSLA Jul 17 2020 1500.0 Call / CUSIP: / Symbol: TSLA 07/17/20 C 1500.000							
07/10/20	10.000	42,494.88	Various	30,004.17	...	12,490.71	Total of 2 transactions
TESLA INC TSLA Jul 31 2020 1550.0 Call / CUSIP: / Symbol: TSLA 07/31/20 C 1550.000							
07/30/20	10.000	7,495.64	07/30/20	7,404.17	...	91.47	Option sale
TESLA INC TSLA Jul 31 2020 1700.0 Call / CUSIP: / Symbol: TSLA 07/31/20 C 1700.000							
07/30/20	25.000	2,239.48	07/28/20	31,910.42	...	-29,670.94	Option sale
TESLA INC TSLA Aug 07 2020 1525.0 Call / CUSIP: / Symbol: TSLA 08/07/20 C 1525.000							
08/03/20	5.000	14,497.59	08/03/20	13,502.08	...	995.51	Option sale
TESLA INC TSLA Aug 07 2020 1550.0 Call / CUSIP: / Symbol: TSLA 08/07/20 C 1550.000							
08/05/20	10.000	8,145.63	Various	7,484.17	...	661.46	Total of 2 transactions
08/06/20	25.000	14,315.21	Various	13,830.41	...	484.80	Total of 5 transactions
<b>Security total:</b>		<b>22,460.84</b>		<b>21,314.58</b>	...	<b>1,146.26</b>	
TESLA INC TSLA Aug 07 2020 1580.0 Call / CUSIP: / Symbol: TSLA 08/07/20 C 1580.000							
08/05/20	10.000	4,195.72	08/04/20	8,890.08	...	-4,694.36	Option sale
08/05/20	12.000	4,914.87	08/04/20	9,065.00	2,420.91 W	-1,729.22	Option sale
<b>Security total:</b>		<b>9,110.59</b>		<b>17,955.08</b>	<b>2,420.91 W</b>	<b>-6,423.58</b>	
TESLA INC TSLA Aug 07 2020 1600.0 Call / CUSIP: / Symbol: TSLA 08/07/20 C 1600.000							
08/04/20	30.000	38,986.57	08/03/20	36,492.51	...	2,494.06	Option sale

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Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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TESLA INC TSLA Aug 14 2020 1420.0 Call / CUSIP: / Symbol: TSLA 08/14/20 C 1420.000							
08/12/20	7.000	39,896.19	08/11/20	14,912.92	...	24,983.27	Option sale
TESLA INC TSLA Aug 14 2020 1480.0 Call / CUSIP: / Symbol: TSLA 08/14/20 C 1480.000							
08/10/20	22.000	35,240.01	Various	34,689.17	...	550.84	Total of 2 transactions
TESLA INC TSLA Aug 14 2020 1560.0 Call / CUSIP: / Symbol: TSLA 08/14/20 C 1560.000							
08/11/20	50.000	9,978.83	08/10/20	30,770.85	...	-20,792.02	Option sale
TESLA INC TSLA Aug 14 2020 1575.0 Call / CUSIP: / Symbol: TSLA 08/14/20 C 1575.000							
08/12/20	5.000	22,497.41	08/12/20	5,742.08	...	16,755.33	Option sale
08/13/20	10.000	52,669.66	Various	11,484.17	...	41,185.49	Total of 2 transactions
<b>Security total:</b>		<b>75,167.07</b>		<b>17,226.25</b>	...	<b>57,940.82</b>	
TESLA INC TSLA Aug 21 2020 1500.0 Call / CUSIP: / Symbol: TSLA 08/21/20 C 1500.000							
08/12/20	10.000	64,994.39	Various	42,004.17	...	22,990.22	Total of 2 transactions
TESLA INC TSLA Aug 21 2020 1750.0 Call / CUSIP: / Symbol: TSLA 08/21/20 C 1750.000							
08/17/20	5.000	15,647.56	08/14/20	13,502.08	...	2,145.48	Option sale
TESLA INC TSLA Aug 21 2020 1850.0 Call / CUSIP: / Symbol: TSLA 08/21/20 C 1850.000							
08/17/20	7.000	23,896.55	Various	17,742.92	...	6,153.63	Total of 2 transactions
TESLA INC TSLA Aug 28 2020 2140.0 Call / CUSIP: / Symbol: TSLA 08/28/20 C 2140.000							
08/25/20	10.000	20,995.35	08/25/20	19,995.17	...	1,000.18	Option sale
THE TRADE DESK INC TTD Aug 14 2020 485.0 Call / CUSIP: / Symbol: TTD 08/14/20 C 485.000							
08/13/20	50.000	4,978.94	08/11/20	11,520.85	...	-6,541.91	Option sale
THE TRADE DESK INC TTD Aug 28 2020 505.0 Call / CUSIP: / Symbol: TTD 08/28/20 C 505.000							
08/27/20	47.000	3,693.24	08/26/20	12,179.60	...	-8,486.36	Option sale
THE TRADE DESK INC TTD Nov 13 2020 760.0 Call / CUSIP: / Symbol: TTD 11/13/20 C 760.000							
11/11/20	24.000	11,993.34	11/10/20	6,726.34	...	5,267.00	Option sale
THE TRADE DESK INC TTD Nov 20 2020 840.0 Call / CUSIP: / Symbol: TTD 11/20/20 C 840.000							
11/19/20	37.000	15,769.81	Various	11,849.77	...	3,920.04	Total of 3 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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THE TRADE DESK INC TTD Dec 04 2020 900.0 Call / CUSIP: / Symbol: TTD 12/04/20 C 900.000							
12/03/20	27.000	16,552.46	Various	9,997.13	...	6,555.33	Total of 4 transactions
THE TRADE DESK INC TTD Dec 24 2020 970.0 Call / CUSIP: / Symbol: TTD 12/24/20 C 970.000							
12/22/20	5.000	2,998.60	12/21/20	3,501.32	...	-502.72	Option sale
TWITTER INC TWTR Aug 14 2020 38.0 Call / CUSIP: / Symbol: TWTR 08/14/20 C 38.000							
08/11/20	23.000	2,750.30	08/11/20	3,045.80	...	-295.50	Option sale
08/11/20	77.000	5,280.63	08/11/20	9,274.07	311.18 W	-3,682.26	Option sale
	<b>Security total:</b>	<b>8,030.93</b>		<b>12,319.87</b>	<b>311.18 W</b>	<b>-3,977.76</b>	
ULTA BEAUTY INC ULTA Jul 24 2020 220.0 Call / CUSIP: / Symbol: ULTA 07/24/20 C 220.000							
07/15/20	7.000	1,187.04	07/15/20	1,262.92	...	-75.88	Option sale
UNITEDHEALTH GROUP INC UNH Jan 17 2020 300.0 Call / CUSIP: / Symbol: UNH 01/17/20 C 300.000							
01/16/20	15.000	2,051.92	Various	1,392.01	...	659.91	Total of 2 transactions
UNITEDHEALTH GROUP INC UNH Jan 17 2020 302.5 Call / CUSIP: / Symbol: UNH 01/17/20 C 302.500							
01/16/20	30.000	1,029.91	01/16/20	1,160.01	...	-130.10	Option sale
UNITEDHEALTH GROUP INC UNH May 15 2020 297.5 Call / CUSIP: / Symbol: UNH 05/15/20 C 297.500							
05/12/20	87.000	12,931.52	Various	11,629.02	...	1,302.50	Total of 4 transactions
UNITEDHEALTH GROUP INC UNH May 22 2020 300.0 Call / CUSIP: / Symbol: UNH 05/22/20 C 300.000							
05/14/20	1.000	269.32	05/14/20	245.67	...	23.65	Option sale
UNITEDHEALTH GROUP INC UNH May 29 2020 300.0 Call / CUSIP: / Symbol: UNH 05/29/20 C 300.000							
05/26/20	57.000	9,414.21	Various	8,746.15	...	668.06	Total of 2 transactions
05/26/20	93.000	14,831.40	Various	18,569.66	3,738.26 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>24,245.61</b>		<b>27,315.81</b>	<b>3,738.26 W</b>	<b>668.06</b>	
UNITEDHEALTH GROUP INC UNH Jun 26 2020 300.0 Call / CUSIP: / Symbol: UNH 06/26/20 C 300.000							
06/23/20	77.000	13,851.44	Various	11,582.10	...	2,269.34	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

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UNITEDHEALTH GROUP INC UNH Jun 26 2020 305.0 Call / CUSIP: / Symbol: UNH 06/26/20 C 305.000							
06/23/20	170.000	16,540.42	Various	13,673.16	...	2,867.26	Total of 7 transactions
06/24/20	157.000	2,966.97	Various	15,135.24	...	-12,168.27	Total of 2 transactions
06/24/20	93.000	2,037.19	06/23/20	7,480.03	1,931.33 W	-3,511.51	Option sale
	<b>Security total:</b>	<b>21,544.58</b>		<b>36,288.43</b>	<b>1,931.33 W</b>	<b>-12,812.52</b>	
UNITEDHEALTH GROUP INC UNH Jul 10 2020 300.0 Call / CUSIP: / Symbol: UNH 07/10/20 C 300.000							
06/30/20	100.000	28,369.48	Various	26,053.69	...	2,315.79	Total of 5 transactions
UNITEDHEALTH GROUP INC UNH Jul 17 2020 310.0 Call / CUSIP: / Symbol: UNH 07/17/20 C 310.000							
07/13/20	100.000	23,802.58	Various	21,535.69	...	2,266.89	Total of 2 transactions
UNITEDHEALTH GROUP INC UNH Dec 04 2020 347.5 Call / CUSIP: / Symbol: UNH 12/04/20 C 347.500							
11/30/20	200.000	18,396.40	Various	16,652.80	...	1,743.60	Total of 3 transactions
UNITEDHEALTH GROUP INC UNH Dec 04 2020 350.0 Call / CUSIP: / Symbol: UNH 12/04/20 C 350.000							
12/02/20	100.000	22,621.90	Various	14,526.40	...	8,095.50	Total of 3 transactions
UNITEDHEALTH GROUP INC UNH Dec 04 2020 355.0 Call / CUSIP: / Symbol: UNH 12/04/20 C 355.000							
12/03/20	1.000	119.74	12/02/20	117.26	...	2.48	Option sale
12/04/20	176.000	1,790.14	Various	20,638.47	...	-18,848.33	Total of 2 transactions
	<b>Security total:</b>	<b>1,909.88</b>		<b>20,755.73</b>	...	<b>-18,845.85</b>	
UNITEDHEALTH GROUP INC UNH Dec 11 2020 360.0 Call / CUSIP: / Symbol: UNH 12/11/20 C 360.000							
12/10/20	17.000	165.48	12/04/20	2,792.49	2,627.01 W	0.00	Option sale
12/11/20	13.000	9.54	12/07/20	3,308.86	...	-3,299.32	Option sale
12/11/20	270.000	0.00	Various	38,327.28	2,135.43 W	-36,191.85	Option expiration
	<b>Security total:</b>	<b>175.02</b>		<b>44,428.63</b>	<b>4,762.44 W</b>	<b>-39,491.17</b>	
UNITEDHEALTH GROUP INC UNH Dec 18 2020 345.0 Call / CUSIP: / Symbol: UNH 12/18/20 C 345.000							
12/16/20	100.000	6,573.26	Various	12,026.40	...	-5,453.14	Total of 2 transactions
UNITEDHEALTH GROUP INC UNH Dec 18 2020 347.5 Call / CUSIP: / Symbol: UNH 12/18/20 C 347.500							
12/14/20	100.000	18,622.99	Various	15,016.40	...	3,606.59	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITEDHEALTH GROUP INC UNH Dec 18 2020 352.5 Call / CUSIP: / Symbol: UNH 12/18/20 C 352.500							
12/15/20	137.000	4,307.47	Various	15,106.17	...	-10,798.70	Total of 3 transactions
12/16/20	40.000	389.35	12/14/20	4,410.56	...	-4,021.21	Option sale
	<b>Security total:</b>	<b>4,696.82</b>		<b>19,516.73</b>	...	<b>-14,819.91</b>	
UNITEDHEALTH GROUP INC UNH Jul 10 2020 285.0 Put / CUSIP: / Symbol: UNH 07/10/20 P 285.000							
07/09/20	50.000	9,153.86	Various	7,020.85	...	2,133.01	Total of 2 transactions
UNITED PARCEL SERVICE INC UPS Dec 18 2020 177.5 Call / CUSIP: / Symbol: UPS 12/18/20 C 177.500							
12/17/20	100.000	10,373.17	Various	5,026.40	...	5,346.77	Total of 2 transactions
12/18/20	100.000	2,835.33	Various	5,026.40	...	-2,191.07	Total of 2 transactions
	<b>Security total:</b>	<b>13,208.50</b>		<b>10,052.80</b>	...	<b>3,155.70</b>	
WAYFAIR INC W Jun 05 2020 195.0 Call / CUSIP: / Symbol: W 06/05/20 C 195.000							
06/04/20	50.000	9,978.84	Various	21,562.76	...	-11,583.92	Total of 2 transactions
06/04/20	50.000	4,978.94	06/02/20	16,520.85	11,541.91 W	0.00	Option sale
	<b>Security total:</b>	<b>14,957.78</b>		<b>38,083.61</b>	<b>11,541.91 W</b>	<b>-11,583.92</b>	
WALMART INC WMT Aug 21 2020 134.0 Call / CUSIP: / Symbol: WMT 08/21/20 C 134.000							
08/18/20	4.000	1,438.29	08/17/20	1,681.67	...	-243.38	Option sale
WALMART INC WMT Sep 18 2020 140.0 Call / CUSIP: / Symbol: WMT 09/18/20 C 140.000							
07/15/20	200.000	77,414.51	Various	54,083.38	...	23,331.13	Total of 2 transactions
08/17/20	200.000	52,015.07	Various	44,351.66	...	7,663.41	Total of 2 transactions
08/17/20	200.000	50,815.10	Various	66,083.38	15,268.28 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>180,244.68</b>		<b>164,518.42</b>	<b>15,268.28 W</b>	<b>30,994.54</b>	
WYNN RESORTS LTD WYNN Aug 07 2020 80.0 Call / CUSIP: / Symbol: WYNN 08/07/20 C 80.000							
08/06/20	100.000	658.09	07/29/20	17,065.69	...	-16,407.60	Option sale
WYNN RESORTS LTD WYNN Aug 14 2020 80.0 Call / CUSIP: / Symbol: WYNN 08/14/20 C 80.000							
08/10/20	25.000	7,489.36	08/06/20	4,010.42	...	3,478.94	Option sale
WYNN RESORTS LTD WYNN Aug 14 2020 84.0 Call / CUSIP: / Symbol: WYNN 08/14/20 C 84.000							
08/11/20	75.000	26,857.99	Various	9,807.27	...	17,050.72	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WYNN RESORTS LTD WYNN Aug 21 2020 90.0 Call / CUSIP: / Symbol: WYNN 08/21/20 C 90.000							
08/14/20	100.000	8,557.92	Various	14,646.69	...	-6,088.77	Option sale
WYNN RESORTS LTD WYNN Aug 28 2020 87.0 Call / CUSIP: / Symbol: WYNN 08/28/20 C 87.000							
08/24/20	100.000	19,207.68	Various	12,641.69	...	6,565.99	Total of 2 transactions
WYNN RESORTS LTD WYNN Sep 04 2020 90.0 Call / CUSIP: / Symbol: WYNN 09/04/20 C 90.000							
08/25/20	100.000	19,657.67	Various	19,011.69	...	645.98	Total of 3 transactions
WYNN RESORTS LTD WYNN Oct 02 2020 76.0 Call / CUSIP: / Symbol: WYNN 10/02/20 C 76.000							
09/25/20	77.000	9,207.77	09/23/20	13,121.88	...	-3,914.11	Option sale
WYNN RESORTS LTD WYNN Oct 02 2020 78.0 Call / CUSIP: / Symbol: WYNN 10/02/20 C 78.000							
09/23/20	77.000	13,261.68	Various	12,352.10	...	909.58	Total of 2 transactions
WYNN RESORTS LTD WYNN Oct 09 2020 76.0 Call / CUSIP: / Symbol: WYNN 10/09/20 C 76.000							
09/30/20	77.000	15,367.63	09/29/20	10,811.88	...	4,555.75	Option sale
WYNN RESORTS LTD WYNN Oct 09 2020 80.0 Call / CUSIP: / Symbol: WYNN 10/09/20 C 80.000							
10/01/20	77.000	3,817.88	09/30/20	7,346.88	...	-3,529.00	Option sale
10/05/20	200.000	3,716.72	Various	19,082.80	...	-15,366.08	Total of 2 transactions
<b>Security total:</b>		<b>7,534.60</b>		<b>26,429.68</b>	...	<b>-18,895.08</b>	
WYNN RESORTS LTD WYNN Aug 07 2020 100.0 Call / CUSIP: / Symbol: WYNN 08/07/20 C 100.000							
07/15/20	177.000	53,794.66	Various	44,938.79	...	8,855.87	Total of 3 transactions
WYNN RESORTS LTD WYNN Dec 04 2020 105.0 Call / CUSIP: / Symbol: WYNN 12/04/20 C 105.000							
11/18/20	1.000	229.73	11/16/20	225.26	...	4.47	Option sale
11/24/20	50.000	8,486.51	11/23/20	7,705.88	...	780.63	Option sale
11/24/20	147.000	23,480.38	Various	29,779.42	6,422.29 W	123.25	Total of 2 transactions
<b>Security total:</b>		<b>32,196.62</b>		<b>37,710.56</b>	<b>6,422.29 W</b>	<b>908.35</b>	
WYNN RESORTS LTD WYNN Dec 24 2020 122.0 Call / CUSIP: / Symbol: WYNN 12/24/20 C 122.000							
12/21/20	100.000	2,973.33	12/17/20	11,836.40	...	-8,863.07	Option sale
XPENG INC XPEV Dec 11 2020 55.0 Call / CUSIP: / Symbol: XPEV 12/11/20 C 55.000							
12/08/20	57.000	5,456.72	12/08/20	8,508.05	...	-3,051.33	Option sale

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS INC ZM May 15 2020 175.0 Call / CUSIP: / Symbol: ZM 05/15/20 C 175.000							
05/07/20	83.000	26,533.88	Various	19,625.36	...	6,908.52	Total of 4 transactions
ZOOM VIDEO COMMUNICATIONS INC ZM May 15 2020 190.0 Call / CUSIP: / Symbol: ZM 05/15/20 C 190.000							
05/08/20	100.000	6,382.96	Various	14,966.69	...	-8,583.73	Total of 4 transactions
ZOOM VIDEO COMMUNICATIONS INC ZM Jun 12 2020 225.0 Call / CUSIP: / Symbol: ZM 06/12/20 C 225.000							
06/10/20	50.000	17,253.68	Various	14,520.85	...	2,732.83	Total of 2 transactions
06/11/20	25.000	7,989.35	06/10/20	6,504.42	...	1,484.93	Option sale
	<b>Security total:</b>	<b>25,243.03</b>		<b>21,025.27</b>	...	<b>4,217.76</b>	
ZOOM VIDEO COMMUNICATIONS INC ZM Aug 21 2020 295.0 Call / CUSIP: / Symbol: ZM 08/21/20 C 295.000							
08/20/20	77.000	10,702.50	Various	10,042.10	...	660.40	Total of 3 transactions
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 350.0 Call / CUSIP: / Symbol: ZM 09/04/20 C 350.000							
08/31/20	7.000	4,198.98	Various	3,292.92	...	906.06	Total of 2 transactions
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 385.0 Call / CUSIP: / Symbol: ZM 09/04/20 C 385.000							
08/31/20	7.000	1,747.03	08/31/20	1,199.92	...	547.11	Option sale
09/01/20	10.000	43,994.84	08/31/20	1,704.17	...	42,290.67	Option sale
	<b>Security total:</b>	<b>45,741.87</b>		<b>2,904.09</b>	...	<b>42,837.78</b>	
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 09 2020 535.0 Call / CUSIP: / Symbol: ZM 10/09/20 C 535.000							
10/05/20	47.000	12,956.16	Various	22,109.46	...	-9,153.30	Total of 2 transactions
ZSCALER INC ZS Jun 12 2020 110.0 Call / CUSIP: / Symbol: ZS 06/12/20 C 110.000							
06/09/20	100.000	14,957.78	06/09/20	14,541.69	...	416.09	Option sale
ZSCALER INC ZS Jun 19 2020 110.0 Call / CUSIP: / Symbol: ZS 06/19/20 C 110.000							
06/18/20	200.000	36,915.40	Various	18,160.30	...	18,755.10	Total of 2 transactions
06/18/20	100.000	14,957.78	06/17/20	15,043.65	85.87 W	0.00	Option sale
	<b>Security total:</b>	<b>51,873.18</b>		<b>33,203.95</b>	<b>85.87 W</b>	<b>18,755.10</b>	
<b>Totals :</b>		<b>17,854,516.35</b>		<b>17,186,219.01</b>	<b>509,067.53 W</b>	<b>1,177,364.87</b>	

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TD Ameritrade Clearing, Inc.

Account 427875146

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/04/2021

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 / CUSIP: 17325E291 / Symbol:							
03/24/20	3,300.000	745.72	03/19/20	909.81	...	-164.09	Sale <sup>26</sup>
<b>Totals :</b>		<b>745.72</b>		<b>909.81</b>		<b>-164.09</b>	

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TD Ameritrade Clearing, Inc.

Account 427875146

## Detail for Dividends and Distributions

2020

02/04/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SIMON PROPERTY GROUP INC COM USD0.0001	828806109 SPG		07/24/20	2,333.13	Section 199A dividend	03
			07/24/20	198.31	Qualified dividend	03
			07/24/20	61.45	Long-term capital gain	03
			07/24/20	7.11	Unrecaptured section 1250 gain	03
			10/23/20	2,333.13	Section 199A dividend	03
			10/23/20	198.31	Qualified dividend	03
			10/23/20	61.45	Long-term capital gain	03
			10/23/20	7.11	Unrecaptured section 1250 gain	03
			01/22/21	2,333.13	Section 199A dividend	03
			01/22/21	198.31	Qualified dividend	03
			01/22/21	61.45	Long-term capital gain	03
			01/22/21	7.11	Unrecaptured section 1250 gain	03
				<b>7,800.00</b>		<b>Total Dividends &amp; distributions</b>
	<b>7,800.00</b>		<b>Total Dividends &amp; distributions</b>			

TD Ameritrade Clearing, Inc.

Account 427875146

**Detail for Interest Income**

2020

02/04/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		01/31/20	0.13	Credit interest	
		02/28/20	0.03	Credit interest	
		03/31/20	0.12	Credit interest	
		04/30/20	0.36	Credit interest	
		05/29/20	1.01	Credit interest	
		06/30/20	2.53	Credit interest	
		07/31/20	1.53	Credit interest	
		08/31/20	1.35	Credit interest	
		09/30/20	0.85	Credit interest	
		10/30/20	0.38	Credit interest	
		11/30/20	0.45	Credit interest	
		12/31/20	0.38	Credit interest	
			9.12	Total Interest	
			<b>9.12</b>	<b>Total Interest</b>	



TD Ameritrade Clearing, Inc.

Account 427875146

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2020

02/04/2021

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW May 08 2020 2925.0 Call	SPXW 05/08/20 C 2925.000	05/11/20	1.00	7.50	Realized profit/loss	
S&P 500 SPXW May 18 2020 2950.0 Call	SPXW 05/18/20 C 2950.000	05/19/20	1.00	57.80	Realized profit/loss	
S&P 500 SPXW Jun 08 2020 3220.0 Call	SPXW 06/08/20 C 3220.000	06/09/20	10.00	380.06	Realized profit/loss	
S&P 500 SPXW Jun 08 2020 3230.0 Call	SPXW 06/08/20 C 3230.000	06/09/20	10.00	980.03	Realized profit/loss	
		06/09/20	15.00	-629.90	Realized profit/loss	
				<b>350.13</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 10 2020 3260.0 Call	SPXW 06/10/20 C 3260.000	06/11/20	40.00	-5,379.75	Realized profit/loss	
S&P 500 SPXW Jun 12 2020 3020.0 Call	SPXW 06/12/20 C 3020.000	06/15/20	5.00	490.04	Realized profit/loss	
S&P 500 SPXW Jun 12 2020 3025.0 Call	SPXW 06/12/20 C 3025.000	06/15/20	5.00	610.04	Realized profit/loss	
		06/15/20	3.00	-185.98	Realized profit/loss	
				<b>424.06</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 12 2020 3030.0 Call	SPXW 06/12/20 C 3030.000	06/15/20	7.00	1,386.04	Realized profit/loss	
		06/15/20	7.00	-503.96	Realized profit/loss	
				<b>882.08</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 12 2020 3040.0 Call	SPXW 06/12/20 C 3040.000	06/15/20	7.00	2,891.04	Realized profit/loss	
		06/15/20	4.00	392.02	Realized profit/loss	
				<b>3,283.06</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 12 2020 3045.0 Call	SPXW 06/12/20 C 3045.000	06/12/20	7.00	-2,526.98	Realized profit/loss	
		06/15/20	7.00	1,596.04	Realized profit/loss	
		06/15/20	7.00	-13.96	Realized profit/loss	
				<b>-944.90</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 15 2020 3060.0 Call	SPXW 06/15/20 C 3060.000	06/16/20	14.00	-1,007.92	Realized profit/loss	

TD Ameritrade Clearing, Inc.

Account 427875146

## Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

02/04/2021

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Jun 15 2020 3065.0 Call	SPXW 06/15/20 C 3065.000	06/16/20	14.00	-2,127.92	Realized profit/loss	
		06/16/20	14.00	-3,807.92	Realized profit/loss	
				<b>-5,935.84</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 15 2020 3070.0 Call	SPXW 06/15/20 C 3070.000	06/15/20	7.00	2,996.04	Realized profit/loss	
		06/16/20	7.00	2,086.04	Realized profit/loss	
		06/16/20	7.00	1,036.04	Realized profit/loss	
		06/16/20	7.00	546.04	Realized profit/loss	
		06/16/20	7.00	-503.96	Realized profit/loss	
		<b>6,160.20</b>	<b>Total Realized profit/loss</b>			
S&P 500 SPXW Jun 15 2020 3075.0 Call	SPXW 06/15/20 C 3075.000	06/16/20	5.00	2,839.23	Realized profit/loss	
		06/16/20	7.00	1,596.04	Realized profit/loss	
		06/16/20	7.00	1,246.04	Realized profit/loss	
		06/16/20	2.00	1,056.81	Realized profit/loss	
		06/16/20	7.00	686.04	Realized profit/loss	
		06/16/20	7.00	686.04	Realized profit/loss	
		06/16/20	7.00	196.04	Realized profit/loss	
		06/16/20	7.00	56.04	Realized profit/loss	
		<b>6,948.32</b>	<b>Total Realized profit/loss</b>			
S&P 500 SPXW Jun 15 2020 3080.0 Call	SPXW 06/15/20 C 3080.000	06/15/20	5.00	490.04	Realized profit/loss	
		06/16/20	7.00	2,506.04	Realized profit/loss	
		06/16/20	7.00	1,806.04	Realized profit/loss	
		06/16/20	7.00	756.04	Realized profit/loss	
		<b>5,558.16</b>	<b>Total Realized profit/loss</b>			
S&P 500 SPXW Jun 15 2020 3090.0 Call	SPXW 06/15/20 C 3090.000	06/16/20	7.00	-1,203.96	Realized profit/loss	
S&P 500 SPXW Jun 17 2020 3140.0 Call	SPXW 06/17/20 C 3140.000	06/18/20	2.00	-3.98	Realized profit/loss	
		06/18/20	7.00	-43.95	Realized profit/loss	
				<b>-47.93</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 17 2020 3160.0 Call	SPXW 06/17/20 C 3160.000	06/17/20	20.00	35,960.12	Realized profit/loss	
		06/17/20	5.00	8,490.04	Realized profit/loss	
		06/17/20	5.00	-809.96	Realized profit/loss	
				<b>43,640.20</b>	<b>Total Realized profit/loss</b>	

TD Ameritrade Clearing, Inc.

Account 427875146

## Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

02/04/2021

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Jun 26 2020 3100.0 Call	SPXW 06/26/20 C 3100.000	06/26/20	25.00	200.16	Realized profit/loss	
S&P 500 SPXW Jul 06 2020 3170.0 Call	SPXW 07/06/20 C 3170.000	07/02/20	5.00	-9.97	Realized profit/loss	
		07/06/20	5.00	2,290.04	Realized profit/loss	
				<b>2,280.07</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jul 08 2020 3180.0 Call	SPXW 07/08/20 C 3180.000	07/09/20	25.00	-4,514.84	Realized profit/loss	
S&P 500 SPXW Jul 08 2020 3185.0 Call	SPXW 07/08/20 C 3185.000	07/09/20	25.00	450.16	Realized profit/loss	
S&P 500 SPXW Jul 10 2020 3175.0 Call	SPXW 07/10/20 C 3175.000	07/13/20	7.00	1,666.04	Realized profit/loss	
		07/13/20	5.00	1,190.04	Realized profit/loss	
		07/13/20	5.00	1,040.04	Realized profit/loss	
		07/13/20	5.00	1,040.03	Realized profit/loss	
		07/13/20	3.00	714.02	Realized profit/loss	
				<b>5,650.17</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jul 10 2020 3180.0 Call	SPXW 07/10/20 C 3180.000	07/13/20	15.00	645.10	Realized profit/loss	
S&P 500 SPXW Jul 10 2020 3185.0 Call	SPXW 07/10/20 C 3185.000	07/13/20	50.00	-3,849.70	Realized profit/loss	
S&P 500 SPXW Jul 15 2020 3185.0 Call	SPXW 07/15/20 C 3185.000	07/15/20	7.00	-2,393.96	Realized profit/loss	
S&P 500 SPXW Jul 27 2020 3240.0 Call	SPXW 07/27/20 C 3240.000	07/28/20	20.00	60.12	Realized profit/loss	
S&P 500 SPXW Jul 29 2020 3255.0 Call	SPXW 07/29/20 C 3255.000	07/30/20	7.00	91.04	Realized profit/loss	
S&P 500 SPXW Aug 05 2020 3330.0 Call	SPXW 08/05/20 C 3330.000	08/05/20	38.00	-224.46	Realized profit/loss	
S&P 500 SPXW Aug 07 2020 3370.0 Call	SPXW 08/07/20 C 3370.000	08/07/20	10.00	180.06	Realized profit/loss	
S&P 500 SPXW Aug 12 2020 3385.0 Call	SPXW 08/12/20 C 3385.000	08/13/20	5.00	115.04	Realized profit/loss	

TD Ameritrade Clearing, Inc.

Account 427875146

## Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

02/04/2021

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Oct 12 2020 3535.0 Call	SPXW 10/12/20 C 3535.000	10/12/20	17.00	-2,606.90	Realized profit/loss	
S&P 500 SPXW Nov 13 2020 3600.0 Call	SPXW 11/13/20 C 3600.000	11/13/20	7.00	-635.28	Realized profit/loss	
S&P 500 SPXW Nov 23 2020 3580.0 Call	SPXW 11/23/20 C 3580.000	11/24/20 11/24/20	5.00 5.00	491.56 -308.44	Realized profit/loss Realized profit/loss	
				<b>183.12</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 15 2020 3060.0 Put	SPXW 06/15/20 P 3060.000	06/15/20	5.00	-754.98	Realized profit/loss	
S&P 500 SPXW Jun 24 2020 3020.0 Put	SPXW 06/24/20 P 3020.000	06/25/20	10.00	80.06	Realized profit/loss	
S&P 500 SPXW Jun 26 2020 3000.0 Put	SPXW 06/26/20 P 3000.000	06/29/20	7.00	126.04	Realized profit/loss	
S&P 500 SPXW Jun 26 2020 3010.0 Put	SPXW 06/26/20 P 3010.000	06/29/20	7.00	-13.96	Realized profit/loss	
S&P 500 SPXW Jul 10 2020 3070.0 Put	SPXW 07/10/20 P 3070.000	07/10/20	25.00	700.16	Realized profit/loss	
S&P 500 SPXW Jul 15 2020 3110.0 Put	SPXW 07/15/20 P 3110.000	07/14/20	3.00	-5.98	Realized profit/loss	
				<b>49,422.55</b>	<b>Total Realized profit/loss</b>	
				<b>49,422.55</b>	<b>Total Aggregate profit or (loss) on contracts</b>	

TD Ameritrade Clearing, Inc.

Account 427875146

**Detail for Miscellaneous Income**

2020

02/04/2021

*This section of your tax information statement contains various payments and proceeds you received during the year from rents, royalties, and certain other income types. We also call your attention to the varying thresholds for reporting on Form 1099-MISC. In general, if there is an amount of \$10 or more associated with boxes 2 or 8, it is reportable. All other box amounts must be reported if they are \$600 or more. Thus, it is possible to have a \$12 item reported in Box 2 for Royalties, but an amount of \$500 of Other Income not reported in Box 3., although each item is taxable income to you.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
Courtesy Adjustment		06/08/20	0.31	Other income	
			<b>0.31</b>	<b>Total Miscellaneous income</b>	

TD Ameritrade Clearing, Inc.

Account 427875146

**Fees and Expenses**

2020

02/04/2021

*This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
JUMIA TECHNOLOGIES AG ADR SPONSORED	48138M105 JMIA	10/09/20	-150.00	Depository receipt fee	
			<b>-150.00</b>	<b>Total Depository receipt fee</b>	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 26 Tax lot closed is a specified lot.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.



### Instructions for Recipient

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

### Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2019.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2020 in 2021.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.

### 1099-MISC Instructions for Recipient

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 16-18.** Show state or local income tax withheld from the payments.

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