

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 150349603	Statement Date: 02/14/2021 Document ID: 1056 G30 1Y30	2020
	Pramod Dara 935 Penn Circle Apt B504 King of Prussia, PA 19406 RECIPIENT'S TIN: XXX-XX-7201		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 13.60</p> <p>1b- Qualified dividends 13.60</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	247,690.60	261,810.51	0.00	8,581.95	-5,537.96
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	247,690.60	261,810.51	0.00	8,581.95	-5,537.96
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	247,690.60	261,810.51	0.00	8,581.95	-5,537.96
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 150349603

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.32
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFYA 04/17/2020 CALL \$22.50 / CUSIP: / Symbol:							
03/31/20	2.000	179.98	03/26/20	140.00	...	39.98	Option sale to close-call ²⁵
AMD 08/21/2020 CALL \$84.00 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	2.000	27.99	08/19/20	68.00	20.01 W	-20.00	1 of 2 - Option sale to close-call ²⁵
	3.000	41.99	08/19/20	120.00	78.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/20/20	5.000	69.98	Various	188.00	98.02 W	-20.00	Total of 2 transactions
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	9.98	08/20/20	53.01	...	-43.03	1 of 4 - Option sale to close-call ²⁵
	1.000	9.98	08/20/20	53.00	...	-43.02	2 of 4 - Option sale to close-call ²⁵
	1.000	9.98	08/20/20	53.00	...	-43.02	3 of 4 - Option sale to close-call ²⁵
	1.000	9.98	08/20/20	47.01	...	-37.03	4 of 4 - Option sale to close-call ²⁵
08/21/20	4.000	39.92	Various	206.02	...	-166.10	Total of 4 transactions

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Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/21/2020 CALL \$84.00 / CUSIP: / Symbol: (cont'd)							
Security total:		109.90		394.02		98.02 W	-186.10
CRWD 04/09/2020 PUT \$53.00 / CUSIP: / Symbol:							
04/09/20	5.000	0.00	04/02/20	475.00	...	-475.00	Option expiration- long put
CRWD 04/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
04/14/20	5.000	749.97	04/09/20	500.00	...	249.97	Option sale to close-call ²⁵
CRWD 04/17/2020 CALL \$63.00 / CUSIP: / Symbol:							
04/14/20	5.000	199.98	04/08/20	500.00	...	-300.02	Option sale to close-call ²⁵
CRWD 04/17/2020 PUT \$60.00 / CUSIP: / Symbol:							
04/17/20	3.000	0.00	04/15/20	255.00	...	-255.00	Option expiration- long put
CRWD 05/01/2020 PUT \$70.00 / CUSIP: / Symbol:							
04/29/20	2.000	449.98	04/24/20	250.00	...	199.98	Option sale to close-put ²⁵
CRWD 05/08/2020 PUT \$71.50 / CUSIP: / Symbol:							
05/07/20	2.000	19.98	05/04/20	250.00	...	-230.02	Option sale to close-put ²⁵
CRWD 05/15/2020 CALL \$78.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	154.98	05/12/20	130.00	...	24.98	1 of 2 - Option sale to close-call ²⁵
	3.000	419.98	05/12/20	390.00	...	29.98	2 of 2 - Option sale to close-call ²⁵
05/13/20	4.000	574.96	Various	520.00	...	54.96	Total of 2 transactions
CRWD 05/15/2020 PUT \$73.00 / CUSIP: / Symbol:							
05/12/20	3.000	149.98	05/07/20	375.00	...	-225.02	Option sale to close-put ²⁵
CRWD 05/15/2020 PUT \$75.00 / CUSIP: / Symbol:							
05/13/20	3.000	539.97	05/13/20	300.00	...	239.97	Option sale to close-put ²⁵
CRWD 05/15/2020 PUT \$77.00 / CUSIP: / Symbol:							
05/12/20	6.000	929.96	05/12/20	480.00	...	449.96	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 05/22/2020 PUT \$71.50 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	05/13/20	245.02	...	-185.04	1 of 2 - Option sale to close-put ²⁵
	2.000	119.98	05/13/20	300.00	...	-180.02	2 of 2 - Option sale to close-put ²⁵
05/15/20	3.000	179.96	Various	545.02	...	-365.06	Total of 2 transactions
05/15/20	1.000	59.98	05/13/20	155.00	95.02 W	0.00	Option sale to close-put ²⁵
	Security total:	239.94		700.02	95.02 W	-365.06	
CRWD 05/29/2020 CALL \$78.00 / CUSIP: / Symbol:							
05/27/20	4.000	599.97	05/27/20	200.00	...	399.97	Option sale to close-call ²⁵
CRWD 05/29/2020 PUT \$76.00 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	19.98	05/27/20	150.00	...	-130.02	1 of 2 - Option sale to close-put ²⁵
	1.000	9.98	05/27/20	75.00	...	-65.02	2 of 2 - Option sale to close-put ²⁵
05/28/20	3.000	29.96	Various	225.00	...	-195.04	Total of 2 transactions
CRWD 05/29/2020 PUT \$79.00 / CUSIP: / Symbol:							
05/26/20	1.000	169.98	05/22/20	115.00	...	54.98	Option sale to close-put ²⁵
05/27/20	2.000	899.97	05/22/20	230.00	...	669.97	Option sale to close-put ²⁵
	Security total:	1,069.95		345.00	...	724.95	
CRWD 06/05/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/03/20	2.000	319.98	06/03/20	430.00	...	-110.02	Option sale to close-call ²⁵
CRWD 06/05/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	2.000	169.98	06/01/20	210.00	...	-40.02	1 of 2 - Option sale to close-call ²⁵
	1.000	104.98	06/01/20	105.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
06/02/20	3.000	274.96	Various	315.00	...	-40.04	Total of 2 transactions
CRWD 06/05/2020 PUT \$74.00 / CUSIP: / Symbol:							
05/29/20	2.000	249.98	05/28/20	340.00	...	-90.02	Option sale to close-put ²⁵
CRWD 06/05/2020 PUT \$76.00 / CUSIP: / Symbol:							
06/01/20	3.000	209.98	05/29/20	450.00	...	-240.02	Option sale to close-put ²⁵

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Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 06/05/2020 PUT \$95.00 / CUSIP: / Symbol:							
06/04/20	2.000	289.98	06/03/20	260.00	...	29.98	Option sale to close-put ²⁵
	<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	259.98	06/04/20	115.00	...	144.98	1 of 2 - Option sale to close-put ²⁵
	1.000	259.98	06/04/20	115.00	...	144.98	2 of 2 - Option sale to close-put ²⁵
06/05/20	2.000	519.96	Various	230.00	...	289.96	Total of 2 transactions
	Security total:	809.94		490.00	...	319.94	
CRWD 06/12/2020 CALL \$98.50 / CUSIP: / Symbol:							
06/12/20	3.000	44.98	06/11/20	225.00	...	-180.02	Option sale to close-call ²⁵
CRWD 06/12/2020 PUT \$93.50 / CUSIP: / Symbol:							
06/11/20	4.000	99.98	06/10/20	300.00	...	-200.02	Option sale to close-put ²⁵
CRWD 06/19/2020 CALL \$102.00 / CUSIP: / Symbol:							
06/12/20	3.000	194.98	06/12/20	375.00	...	-180.02	Option sale to close-call ²⁵
CRWD 06/19/2020 CALL \$105.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	59.98	06/12/20	60.02	...	-0.04	1 of 2 - Option sale to close-call ²⁵
	1.000	59.98	06/12/20	60.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
06/12/20	2.000	119.96	Various	120.02	...	-0.06	Total of 2 transactions
06/12/20	1.000	59.98	06/12/20	60.00	0.02 W	0.00	Option sale to close-call ²⁵
	Security total:	179.94		180.02	0.02 W	-0.06	
CRWD 06/19/2020 PUT \$84.00 / CUSIP: / Symbol:							
	<i>3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	06/12/20	160.00	...	-160.00	1 of 3 - Option expiration- long put
	1.000	0.00	06/12/20	80.00	...	-80.00	2 of 3 - Option expiration- long put
	1.000	0.00	06/12/20	80.00	...	-80.00	3 of 3 - Option expiration- long put
06/19/20	4.000	0.00	Various	320.00	...	-320.00	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 06/19/2020 PUT \$93.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	169.99	06/15/20	250.00	...	-80.01	1 of 3 - Option sale to close-put ²⁵
	1.000	84.99	06/15/20	125.00	...	-40.01	2 of 3 - Option sale to close-put ²⁵
	1.000	85.00	06/15/20	125.00	...	-40.00	3 of 3 - Option sale to close-put ²⁵
06/16/20	4.000	339.98	Various	500.00	...	-160.02	Total of 3 transactions
CRWD 06/26/2020 PUT \$100.00 / CUSIP: / Symbol:							
06/24/20	1.000	129.98	06/22/20	125.00	...	4.98	Option sale to close-put ²⁵
06/26/20	1.000	49.98	06/22/20	125.00	...	-75.02	Option sale to close-put ²⁵
	Security total:	179.96		250.00	...	-70.04	
CRWD 07/02/2020 CALL \$106.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	06/26/20	100.00	...	-90.01	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	06/26/20	100.00	...	-90.01	2 of 2 - Option sale to close-call ²⁵
07/02/20	2.000	19.98	Various	200.00	...	-180.02	Total of 2 transactions
CRWD 07/10/2020 PUT \$108.00 / CUSIP: / Symbol:							
07/10/20	5.000	0.00	07/08/20	500.00	...	-500.00	Option expiration- long put
CRWD 07/10/2020 PUT \$99.00 / CUSIP: / Symbol:							
07/10/20	3.000	0.00	07/02/20	240.00	...	-240.00	Option expiration- long put
CRWD 07/17/2020 CALL \$104.00 / CUSIP: / Symbol:							
07/17/20	1.000	9.98	07/16/20	80.02	...	-70.04	Option sale to close-call ²⁵
07/17/20	1.000	9.98	07/16/20	45.00	35.02 W	0.00	Option sale to close-call ²⁵
	Security total:	19.96		125.02	35.02 W	-70.04	
CRWD 09/04/2020 PUT \$109.00 / CUSIP: / Symbol:							
09/01/20	4.000	239.98	08/31/20	528.00	...	-288.02	Option sale to close-put ²⁵
CRWD 09/04/2020 PUT \$110.00 / CUSIP: / Symbol:							
09/02/20	3.000	419.98	09/02/20	510.00	...	-90.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CRWD 12/04/2020 CALL \$170.00 / CUSIP: / Symbol:							
11/24/20	1.000	165.98	11/20/20	194.02	...	-28.04	Option sale to close-call ²⁵
11/24/20	1.000	165.98	11/20/20	186.00	20.02 W	0.00	Option sale to close-call ²⁵
	Security total:	331.96		380.02	20.02 W	-28.04	
DKNG 11/06/2020 CALL \$42.00 / CUSIP: / Symbol:							
10/27/20	1.000	154.98	10/26/20	260.00	...	-105.02	Option sale to close-call ²⁵
EBAY 01/31/2020 CALL \$37.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/28/20	90.00	...	-90.00	Option expiration- long call
EGOV 02/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
02/21/20	4.000	0.00	01/29/20	120.00	...	-120.00	Option expiration- long call
ENVA 02/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
02/21/20	5.000	0.00	01/29/20	100.00	...	-100.00	Option expiration- long call
ERII 03/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
03/20/20	2.000	0.00	03/05/20	90.00	...	-90.00	Option expiration- long call
GE 01/31/2020 CALL \$12.50 / CUSIP: / Symbol:							
	2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	2.000	51.98	01/28/20	30.00	...	21.98	1 of 2 - Option sale to close-call ²⁵
	5.000	129.98	01/28/20	75.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
01/30/20	7.000	181.96	Various	105.00	...	76.96	Total of 2 transactions
GFF 02/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
02/21/20	4.000	0.00	01/30/20	100.00	...	-100.00	Option expiration- long call
GPK 02/21/2020 CALL \$17.50 / CUSIP: / Symbol:							
	3 transactions for 02/21/20. Total proceeds and cost reported to the IRS.						
	5.000	0.00	01/27/20	100.00	...	-100.00	1 of 3 - Option expiration- long call
	1.000	0.00	01/27/20	20.00	...	-20.00	2 of 3 - Option expiration- long call
	1.000	0.00	01/27/20	20.00	...	-20.00	3 of 3 - Option expiration- long call
02/21/20	7.000	0.00	Various	140.00	...	-140.00	Total of 3 transactions

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HLI 02/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
02/04/20	3.000	524.97	02/03/20	120.00	...	404.97	Option sale to close-call ²⁵
INTC 01/24/2020 PUT \$61.50 / CUSIP: / Symbol:							
01/24/20	2.000	13.98	01/23/20	154.00	...	-140.02	Option sale to close-put ²⁵
IWM 10/30/2020 CALL \$165.00 / CUSIP: / Symbol:							
10/19/20	3.000	695.97	10/16/20	648.00	...	47.97	Option sale to close-call ²⁵
JWN 08/28/2020 PUT \$14.50 / CUSIP: / Symbol:							
08/26/20	4.000	95.98	08/25/20	192.00	...	-96.02	Option sale to close-put ²⁵
KBH 04/17/2020 CALL \$22.00 / CUSIP: / Symbol:							
04/06/20	1.000	22.98	03/26/20	160.00	...	-137.02	Option sale to close-call ²⁵
LEVI 01/17/2020 CALL \$19.00 / CUSIP: / Symbol:							
	3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	3.000	32.99	01/10/20	72.01	...	-39.02	1 of 3 - Option sale to close-call ²⁵
	1.000	10.99	01/10/20	15.02	...	-4.03	2 of 3 - Option sale to close-call ²⁵
	2.000	21.99	01/10/20	20.00	...	1.99	3 of 3 - Option sale to close-call ²⁵
01/14/20	6.000	65.97	Various	107.03	...	-41.06	Total of 3 transactions
	2 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	1.000	19.98	01/09/20	25.00	5.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	3.000	32.99	01/09/20	75.00	42.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/14/20	4.000	52.97	Various	100.00	47.03 W	0.00	Total of 2 transactions
	Security total:	118.94		207.03	47.03 W	-41.06	
MTH 02/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/29/20	75.00	...	-75.00	Option expiration- long call
MTZ 05/15/2020 CALL \$35.00 / CUSIP: / Symbol:							
05/15/20	2.000	0.00	05/11/20	190.00	...	-190.00	Option expiration- long call
MTZ 05/15/2020 PUT \$35.00 / CUSIP: / Symbol:							
05/11/20	3.000	359.98	05/07/20	360.00	...	-0.02	Option sale to close-put ²⁵

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MTZ 06/19/2020 PUT \$30.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	3.000	29.99	05/18/20	180.00	...	-150.01	1 of 2 - Option sale to close-put ²⁵
	2.000	19.99	05/18/20	110.00	...	-90.01	2 of 2 - Option sale to close-put ²⁵
06/11/20	5.000	49.98	Various	290.00	...	-240.02	Total of 2 transactions
MTZ 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/31/20	6.000	419.98	07/30/20	240.00	...	179.98	Option sale to close-call ²⁵
MTZ 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
09/15/20	4.000	79.98	09/10/20	200.00	...	-120.02	Option sale to close-call ²⁵
MTZ 09/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
09/04/20	8.000	199.97	09/03/20	480.00	...	-280.03	Option sale to close-call ²⁵
MTZ 10/16/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	7.000	0.00	09/18/20	105.00	...	-105.00	1 of 2 - Option expiration- long call
	7.000	0.00	09/18/20	91.00	...	-91.00	2 of 2 - Option expiration- long call
10/16/20	14.000	0.00	Various	196.00	...	-196.00	Total of 2 transactions
MTZ 10/16/2020 PUT \$45.00 / CUSIP: / Symbol:							
10/08/20	2.000	139.98	10/07/20	240.00	...	-100.02	Option sale to close-put ²⁵
MTZ 10/16/2020 PUT \$50.00 / CUSIP: / Symbol:							
10/13/20	2.000	499.97	10/12/20	370.00	...	129.97	Option sale to close-put ²⁵
PAA 02/07/2020 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	5.000	49.98	02/04/20	50.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	5.000	49.99	02/04/20	50.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
02/05/20	10.000	99.97	Various	100.00	...	-0.03	Total of 2 transactions
PCTI 03/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
03/20/20	2.000	0.00	03/05/20	100.00	...	-100.00	Option expiration- long call

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PII 02/21/2020 CALL \$100.00 / CUSIP: / Symbol:							
01/28/20	1.000	174.98	01/27/20	150.00	...	24.98	Option sale to close-call ²⁵
ROKU 01/17/2020 CALL \$132.00 / CUSIP: / Symbol:							
01/14/20	2.000	809.97	01/10/20	530.00	...	279.97	Option sale to close-call ²⁵
ROKU 01/17/2020 CALL \$138.00 / CUSIP: / Symbol:							
01/16/20	2.000	49.98	01/14/20	320.00	...	-270.02	Option sale to close-call ²⁵
ROKU 09/11/2020 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	3.000	377.98	09/09/20	300.00	...	77.98	1 of 2 - Option sale to close-call ²⁵
	4.000	239.98	09/10/20	296.00	...	-56.02	2 of 2 - Option sale to close-call ²⁵
09/10/20	7.000	617.96	Various	596.00	...	21.96	Total of 2 transactions
ROKU 09/11/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/08/20	3.000	254.98	09/04/20	498.00	...	-243.02	Option sale to close-call ²⁵
ROKU 09/11/2020 PUT \$139.00 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	2.000	119.98	09/04/20	332.00	...	-212.02	1 of 2 - Option sale to close-put ²⁵
	1.000	59.98	09/04/20	166.00	...	-106.02	2 of 2 - Option sale to close-put ²⁵
09/08/20	3.000	179.96	Various	498.00	...	-318.04	Total of 2 transactions
SPY 09/08/2020 CALL \$358.00 / CUSIP: / Symbol:							
09/04/20	1.000	8.98	09/03/20	18.00	...	-9.02	Option sale to close-call ²⁵
SPY 10/05/2020 PUT \$323.00 / CUSIP: / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	2.000	189.98	09/29/20	200.00	...	-10.02	1 of 2 - Option sale to close-put ²⁵
	1.000	94.98	09/29/20	100.00	...	-5.02	2 of 2 - Option sale to close-put ²⁵
09/30/20	3.000	284.96	Various	300.00	...	-15.04	Total of 2 transactions
SPY 10/05/2020 PUT \$332.00 / CUSIP: / Symbol:							
10/02/20	1.000	216.98	10/01/20	159.00	...	57.98	Option sale to close-put ²⁵

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SPY 10/23/2020 CALL \$349.00 / CUSIP: / Symbol:							
10/19/20	3.000	308.98	10/19/20	450.00	...	-141.02	Option sale to close-call ²⁵
SPY 10/23/2020 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	80.00	10/20/20	75.00	...	5.00	1 of 2 - Option sale to close-call ²⁵
	3.000	239.98	10/20/20	225.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
10/21/20	4.000	319.98	Various	300.00	...	19.98	Total of 2 transactions
SPY 11/13/2020 CALL \$359.00 / CUSIP: / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	656.97	11/06/20	100.00	...	556.97	1 of 3 - Option sale to close-call ²⁵
	1.000	663.97	11/06/20	100.00	...	563.97	2 of 3 - Option sale to close-call ²⁵
	1.000	689.97	11/06/20	100.00	...	589.97	3 of 3 - Option sale to close-call ²⁵
11/09/20	3.000	2,010.91	Various	300.00	...	1,710.91	Total of 3 transactions
SPY 11/18/2020 PUT \$360.00 / CUSIP: / Symbol:							
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	2.000	173.98	11/17/20	218.00	...	-44.02	1 of 2 - Option sale to close-put ²⁵
	1.000	77.98	11/17/20	109.00	...	-31.02	2 of 2 - Option sale to close-put ²⁵
11/17/20	3.000	251.96	Various	327.00	...	-75.04	Total of 2 transactions
TER 02/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
01/23/20	4.000	899.97	01/22/20	500.00	...	399.97	Option sale to close-call ²⁵
TRMK 02/21/2020 CALL \$35.00 / CUSIP: / Symbol:							
02/21/20	10.000	0.00	01/28/20	100.00	...	-100.00	Option expiration- long call
XLNX 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
01/10/20	1.000	-182.04	01/09/20	0.00	...	-182.04	Short sale closed- call ²⁵
01/10/20	1.000	-91.02	01/09/20	0.00	91.02 W	0.00	Option written: 12/20/19 Short sale closed- call ²⁵ Option written: 12/20/19
Security total:		-273.06		0.00	91.02 W	-182.04	

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XLNX 01/15/2021 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	1.000	332.98	12/20/19	289.00	...	43.98	1 of 2 - Option sale to close-call ²⁵
	1.000	332.98	12/20/19	289.00	...	43.98	2 of 2 - Option sale to close-call ²⁵
01/09/20	2.000	665.96	Various	578.00	...	87.96	Total of 2 transactions
XLNX 01/15/2021 PUT \$60.00 / CUSIP: / Symbol:							
01/09/20	1.000	134.98	12/20/19	171.02	...	-36.04	Option sale to close-put ²⁵
01/09/20	1.000	134.98	12/20/19	153.00	18.02 W	0.00	Option sale to close-put ²⁵
	Security total:	269.96		324.02	18.02 W	-36.04	
XLNX 01/15/2021 PUT \$70.00 / CUSIP: / Symbol:							
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	55.98	01/09/20	0.00	...	55.98	1 of 2 - Short sale closed- put ²⁵
	1.000	55.98	01/09/20	0.00	...	55.98	Option written: 12/20/19
01/10/20	2.000	111.96	Various	0.00	...	111.96	2 of 2 - Short sale closed- put ²⁵
							Option written: 12/20/19
							Total of 2 transactions
XRX 02/21/2020 CALL \$38.00 / CUSIP: / Symbol:							
02/05/20	3.000	209.98	01/27/20	120.00	...	89.98	Option sale to close-call ²⁵
ALJ REGIONAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 001627108 / Symbol:							
08/19/20	200.000	195.98	08/17/20	213.88	...	-17.90	Sale ²⁵
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
08/27/20	194.000	446.18	08/27/20	465.60	...	-19.42	Sale ²⁵
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	100.000	229.99	08/27/20	240.00	4.40 W	-5.61	1 of 3 - Sale ²⁵
	5.000	11.50	08/27/20	12.00	0.50 W	0.00	2 of 3 - Sale ²⁵
	1.000	2.30	08/27/20	2.40	0.10 W	0.00	3 of 3 - Sale ²⁵
08/27/20	106.000	243.79	Various	254.40	5.00 W	-5.61	Total of 3 transactions
4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	200.000	459.96	08/27/20	480.00	...	-20.04	1 of 4 - Sale ²⁵
	44.000	101.19	08/27/20	108.68	...	-7.49	2 of 4 - Sale ²⁵
	5.000	11.50	08/27/20	12.35	...	-0.85	3 of 4 - Sale ²⁵

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02/14/2021

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
	1.000	2.30	08/27/20	2.47	...	-0.17	4 of 4 - Sale ²⁵
08/28/20	250.000	574.95	Various	603.50	...	-28.55	Total of 4 transactions
	Security total:	1,264.92		1,323.50	5.00 W	-53.58	
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	13.89	11/11/20	12.65	...	1.24	1 of 2 - Sale ²⁵
	80.000	1,111.10	11/11/20	1,013.11	...	97.99	2 of 2 - Sale ²⁵
11/12/20	81.000	1,124.99	Various	1,025.76	...	99.23	Total of 2 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	75.000	908.05	11/13/20	1,010.62	...	-102.57	1 of 2 - Sale ²⁵
	1.000	12.10	11/13/20	13.58	...	-1.48	2 of 2 - Sale ²⁵
11/16/20	76.000	920.15	Various	1,024.20	...	-104.05	Total of 2 transactions
	Security total:	2,045.14		2,049.96	...	-4.82	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
08/03/20	1.000	3,134.56	07/29/20	3,022.30	...	112.26	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/16/20	15.000	1,721.67	09/14/20	1,714.50	...	7.17	Sale ²⁵
AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol:							
09/01/20	100.000	2,149.94	09/01/20	2,231.56	...	-81.62	Sale ²⁵
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	49.000	463.05	12/08/20	517.93	...	-54.88	1 of 2 - Sale ²⁵
	1.000	9.45	12/08/20	10.57	...	-1.12	2 of 2 - Sale ²⁵
12/10/20	50.000	472.50	Various	528.50	...	-56.00	Total of 2 transactions
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	51.000	895.53	08/19/20	916.97	...	-21.44	1 of 4 - Sale ²⁵
	25.000	439.25	08/19/20	449.50	...	-10.25	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol: (cont'd)							
	14.000	246.40	08/19/20	251.72	...	-5.32	3 of 4 - Sale ²⁵
	10.000	175.70	08/19/20	179.80	...	-4.10	4 of 4 - Sale ²⁵
08/19/20	100.000	1,756.88	Various	1,797.99	...	-41.11	Total of 4 transactions
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
10/01/20	40.000	575.98	09/30/20	605.18	...	-29.20	Sale ²⁵
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
09/28/20	50.000	749.98	09/25/20	748.89	...	1.09	Sale ²⁵
11/16/20	50.000	864.98	11/13/20	804.38	...	60.60	Sale ²⁵
11/23/20	50.000	887.58	11/20/20	873.88	...	13.70	Sale ²⁵
	Security total:	2,502.54		2,427.15	...	75.39	
THE CHEFS' WAREHOUSE, INC. COMMON STOCK / CUSIP: 163086101 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	2.000	41.72	11/13/20	38.90	...	2.82	1 of 2 - Sale ²⁵
	30.000	625.74	11/13/20	586.28	...	39.46	2 of 2 - Sale ²⁵
11/16/20	32.000	667.46	Various	625.18	...	42.28	Total of 2 transactions
CHEMBIO DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 163572209 / Symbol:							
10/06/20	200.000	1,070.55	10/05/20	1,094.10	...	-23.55	Sale ²⁵
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	8.000	451.20	09/10/20	501.52	...	-50.32	1 of 2 - Sale ²⁵
	7.000	394.08	09/10/20	438.83	...	-44.75	2 of 2 - Sale ²⁵
09/11/20	15.000	845.28	Various	940.35	...	-95.07	Total of 2 transactions
CLEARSIGN TECHNOLOGIES CORPORATION COMMON STOCK / CUSIP: 185064102 / Symbol:							
10/12/20	100.000	286.99	10/09/20	284.97	...	2.02	Sale ²⁵
CORSAIR GAMING, INC. COMMON STOCK / CUSIP: 22041X102 / Symbol:							
10/02/20	50.000	1,049.97	10/01/20	1,060.00	10.03 W	0.00	Sale ²⁵
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	20.000	516.78	10/21/20	498.71	...	18.07	1 of 2 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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CORSAIR GAMING, INC. COMMON STOCK / CUSIP: 22041X102 / Symbol: (cont'd)							
	30.000	774.88	10/21/20	748.07	...	26.81	2 of 2 - Sale ²⁵
10/22/20	50.000	1,291.66	Various	1,246.78	...	44.88	Total of 2 transactions
3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	28.98	11/11/20	28.90	...	0.08	1 of 3 - Sale ²⁵
	2.000	57.96	11/11/20	57.80	...	0.16	2 of 3 - Sale ²⁵
	27.000	785.55	11/11/20	780.30	...	5.25	3 of 3 - Sale ²⁵
11/16/20	30.000	872.49	Various	867.00	...	5.49	Total of 3 transactions
12/10/20	15.000	508.10	12/08/20	563.23	...	-55.13	Sale ²⁵
	Security total:	3,722.22		3,737.01	10.03 W	-4.76	
COTY INC / CUSIP: 222070203 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	100.000	440.99	11/06/20	405.50	...	35.49	1 of 2 - Sale ²⁵
	100.000	440.99	11/06/20	405.50	...	35.49	2 of 2 - Sale ²⁵
11/09/20	200.000	881.98	Various	811.00	...	70.98	Total of 2 transactions
CROWDSTRIKE HOLDINGS, INC. COMMON STOCK / CUSIP: 22788C105 / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	17.000	2,167.45	09/02/20	2,398.19	...	-230.74	1 of 3 - Sale ²⁵
	7.000	892.48	09/02/20	987.49	...	-95.01	2 of 3 - Sale ²⁵
	2.000	254.99	09/02/20	309.28	...	-54.29	3 of 3 - Sale ²⁵
09/03/20	26.000	3,314.92	Various	3,694.96	...	-380.04	Total of 3 transactions
09/03/20	2.000	255.00	09/02/20	282.14	27.14 W	0.00	Sale ²⁵
11/24/20	20.000	2,898.84	11/20/20	2,892.83	...	6.01	Sale ²⁵
	Security total:	6,468.76		6,869.93	27.14 W	-374.03	
DARIOHEALTH CORP. COMMON STOCK / CUSIP: 23725P209 / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	100.000	1,859.94	08/05/20	1,700.00	...	159.94	1 of 2 - Sale ²⁵
	100.000	1,859.94	08/05/20	1,700.00	...	159.94	2 of 2 - Sale ²⁵
08/06/20	200.000	3,719.88	Various	3,400.00	...	319.88	Total of 2 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	80.000	1,327.96	08/21/20	1,482.80	...	-154.84	1 of 2 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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DARIOHEALTH CORP. COMMON STOCK / CUSIP: 23725P209 / Symbol: (cont'd)							
	20.000	331.00	08/21/20	370.70	...	-39.70	2 of 2 - Sale ²⁵
08/26/20	100.000	1,658.96	Various	1,853.50	...	-194.54	Total of 2 transactions
	Security total:	5,378.84		5,253.50	...	125.34	
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	12.000	190.69	09/25/20	190.32	...	0.37	1 of 2 - Sale ²⁵
	18.000	286.04	09/25/20	285.39	...	0.65	2 of 2 - Sale ²⁵
09/28/20	30.000	476.73	Various	475.71	...	1.02	Total of 2 transactions
11/23/20	30.000	714.21	11/20/20	705.65	...	8.56	Sale ²⁵
	Security total:	1,190.94		1,181.36	...	9.58	
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
08/25/20	37.000	878.36	08/20/20	1,011.58	133.22 W	0.00	Sale ²⁵
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	20.000	475.40	08/20/20	546.80	71.40 W	0.00	1 of 3 - Sale ²⁵
	18.000	427.68	08/20/20	492.12	64.44 W	0.00	2 of 3 - Sale ²⁵
	75.000	1,770.71	08/20/20	2,050.50	279.79 W	0.00	3 of 3 - Sale ²⁵
08/26/20	113.000	2,673.79	Various	3,089.42	415.63 W	0.00	Total of 3 transactions
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	18.000	597.77	09/22/20	650.52	52.75 W	0.00	1 of 3 - Sale ²⁵
	20.000	664.19	09/22/20	722.60	58.41 W	0.00	2 of 3 - Sale ²⁵
	37.000	1,228.74	09/22/20	1,337.94	109.20 W	0.00	3 of 3 - Sale ²⁵
09/23/20	75.000	2,490.70	Various	2,711.06	220.36 W	0.00	Total of 3 transactions
6 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	20.000	621.61	09/23/20	738.41	116.80 W	0.00	1 of 6 - Sale ²⁵
	55.000	1,701.01	09/23/20	2,030.62	329.61 W	0.00	2 of 6 - Sale ²⁵
	5.000	154.64	09/23/20	175.47	20.83 W	0.00	3 of 6 - Sale ²⁵
	20.000	618.55	09/23/20	701.69	83.14 W	0.00	4 of 6 - Sale ²⁵
	13.000	399.05	09/23/20	456.23	57.18 W	0.00	5 of 6 - Sale ²⁵
	37.000	1,135.78	09/23/20	1,299.26	163.48 W	0.00	6 of 6 - Sale ²⁵
09/24/20	150.000	4,630.64	Various	5,401.68	771.04 W	0.00	Total of 6 transactions

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DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
<i>2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	312.09	09/25/20	363.78	51.69 W	0.00	1 of 2 - Sale ²⁵
	20.000	624.18	09/25/20	724.49	100.31 W	0.00	2 of 2 - Sale ²⁵
09/29/20	30.000	936.27	Various	1,088.27	152.00 W	0.00	Total of 2 transactions
<i>2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	178.95	10/05/20	198.16	19.21 W	0.00	1 of 2 - Sale ²⁵
	45.000	1,610.51	10/05/20	1,866.04	255.53 W	0.00	2 of 2 - Sale ²⁵
10/06/20	50.000	1,789.46	Various	2,064.20	274.74 W	0.00	Total of 2 transactions
<i>5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	181.61	10/07/20	202.96	21.35 W	0.00	1 of 5 - Sale ²⁵
	5.000	181.61	10/07/20	198.71	17.10 W	0.00	2 of 5 - Sale ²⁵
	13.000	472.18	10/07/20	519.67	47.49 W	0.00	3 of 5 - Sale ²⁵
	15.000	544.83	10/07/20	595.99	51.16 W	0.00	4 of 5 - Sale ²⁵
	37.000	1,343.90	10/07/20	1,479.80	135.90 W	0.00	5 of 5 - Sale ²⁵
10/08/20	75.000	2,724.13	Various	2,997.13	273.00 W	0.00	Total of 5 transactions
<i>9 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	181.10	10/08/20	202.15	21.05 W	0.00	1 of 9 - Sale ²⁵
	10.000	362.19	10/08/20	413.29	51.10 W	0.00	2 of 9 - Sale ²⁵
	15.000	543.29	10/08/20	617.63	74.34 W	0.00	3 of 9 - Sale ²⁵
	5.000	181.09	10/08/20	200.01	18.92 W	0.00	4 of 9 - Sale ²⁵
	5.000	181.09	10/08/20	197.90	16.81 W	0.00	5 of 9 - Sale ²⁵
	13.000	470.85	10/08/20	517.57	46.72 W	0.00	6 of 9 - Sale ²⁵
	15.000	543.29	10/08/20	593.56	50.27 W	0.00	7 of 9 - Sale ²⁵
	7.000	253.53	10/08/20	278.83	25.30 W	0.00	8 of 9 - Sale ²⁵
	45.000	1,629.86	10/08/20	1,882.73	252.87 W	0.00	9 of 9 - Sale ²⁵
10/09/20	120.000	4,346.29	Various	4,903.67	557.38 W	0.00	Total of 9 transactions
<i>5 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	187.05	10/19/20	211.61	24.56 W	0.00	1 of 5 - Sale ²⁵
	15.000	561.13	10/19/20	646.02	84.89 W	0.00	2 of 5 - Sale ²⁵
	10.000	374.09	10/19/20	417.85	43.76 W	0.00	3 of 5 - Sale ²⁵
	10.000	374.16	10/19/20	417.85	43.69 W	0.00	4 of 5 - Sale ²⁵
	10.000	369.20	10/19/20	417.85	48.65 W	0.00	5 of 5 - Sale ²⁵
10/21/20	50.000	1,865.63	Various	2,111.18	245.55 W	0.00	Total of 5 transactions

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DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	13.000	443.93	10/21/20	491.59	...	-47.66	1 of 3 - Sale ²⁵
	5.000	170.75	10/21/20	187.91	...	-17.16	2 of 3 - Sale ²⁵
	2.000	68.30	10/21/20	79.68	...	-11.38	3 of 3 - Sale ²⁵
10/22/20	20.000	682.98	Various	759.18	...	-76.20	Total of 3 transactions
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	15.000	512.24	10/21/20	563.57	17.11 W	-34.22	1 of 3 - Sale ²⁵
	10.000	341.49	10/21/20	393.30	51.81 W	0.00	2 of 3 - Sale ²⁵
	5.000	170.75	10/21/20	190.02	19.27 W	0.00	3 of 3 - Sale ²⁵
10/22/20	30.000	1,024.48	Various	1,146.89	88.19 W	-34.22	Total of 3 transactions
10/23/20	40.000	1,313.57	10/22/20	1,548.04	...	-234.47	Sale ²⁵
6 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	15.000	622.49	11/05/20	676.35	...	-53.86	1 of 6 - Sale ²⁵
	10.000	414.99	11/05/20	438.07	...	-23.08	2 of 6 - Sale ²⁵
	10.000	414.99	11/05/20	438.00	...	-23.01	3 of 6 - Sale ²⁵
	5.000	207.49	11/05/20	221.71	...	-14.22	4 of 6 - Sale ²⁵
	7.000	290.49	11/05/20	301.32	...	-10.83	5 of 6 - Sale ²⁵
	3.000	124.50	11/05/20	135.15	...	-10.65	6 of 6 - Sale ²⁵
11/06/20	50.000	2,074.95	Various	2,210.60	...	-135.65	Total of 6 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	10.000	372.59	11/11/20	400.98	...	-28.39	1 of 2 - Sale ²⁵
	5.000	186.29	11/11/20	193.27	...	-6.98	2 of 2 - Sale ²⁵
11/12/20	15.000	558.88	Various	594.25	...	-35.37	Total of 2 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	10.000	372.59	11/11/20	404.15	31.56 W	0.00	1 of 2 - Sale ²⁵
	5.000	186.30	11/11/20	195.44	9.14 W	0.00	2 of 2 - Sale ²⁵
11/12/20	15.000	558.89	Various	599.59	40.70 W	0.00	Total of 2 transactions
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	5.000	294.54	12/08/20	228.24	...	66.30	1 of 3 - Sale ²⁵
	10.000	589.09	12/08/20	469.76	...	119.33	2 of 3 - Sale ²⁵
	25.000	1,472.71	12/16/20	1,209.29	...	263.42	3 of 3 - Sale ²⁵
12/23/20	40.000	2,356.34	Various	1,907.29	...	449.05	Total of 3 transactions

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Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
Security total:		30,905.36		34,144.03		3,171.81 W	-66.86
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
09/01/20	64.000	8,384.41	08/31/20	8,453.12	...	-68.71	Sale ²⁵
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
09/02/20	12.000	3,167.92	09/01/20	3,019.91	...	148.01	Sale ²⁵
09/03/20	18.000	4,519.70	09/02/20	4,721.15	111.92 W	-89.53	Sale ²⁵
09/04/20	10.000	2,081.29	09/03/20	2,425.52	...	-344.23	Sale ²⁵
Security total:		9,768.91		10,166.58	111.92 W	-285.75	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
11/16/20	25.000	1,045.44	11/13/20	1,086.46	16.41 W	-24.61	Sale ²⁵
11/17/20	25.000	1,166.02	11/13/20	1,086.46	...	79.56	Sale ²⁵
12/23/20	10.000	517.43	12/08/20	520.93	...	-3.50	Sale ²⁵
Security total:		2,728.89		2,693.85	16.41 W	51.45	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	30.000	597.58	07/29/20	624.75	...	-27.17	1 of 2 - Sale ²⁵
	20.000	398.40	07/29/20	416.50	...	-18.10	2 of 2 - Sale ²⁵
07/29/20	50.000	995.98	Various	1,041.25	...	-45.27	Total of 2 transactions
EMAGIN CORPORATION / CUSIP: 29076N206 / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	100.000	158.20	08/17/20	166.99	...	-8.79	1 of 2 - Sale ²⁵
	100.000	158.20	08/17/20	166.99	...	-8.79	2 of 2 - Sale ²⁵
08/19/20	200.000	316.40	Various	333.98	...	-17.58	Total of 2 transactions
EXP WORLD HOLDINGS, INC. COMMO N STOCK / CUSIP: 30212W100 / Symbol:							
08/19/20	100.000	4,008.91	08/19/20	4,057.80	48.89 W	0.00	Sale ²⁵
08/25/20	40.000	1,469.56	08/21/20	1,619.56	150.00 W	0.00	Sale ²⁵
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	20.000	803.38	08/21/20	809.78	6.40 W	0.00	1 of 2 - Sale ²⁵

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Account 150349603

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EXP WORLD HOLDINGS, INC. COMMO N STOCK / CUSIP: 30212W100 / Symbol: (cont'd)							
08/26/20	40.000	1,607.96	08/21/20	1,619.55	11.59 W	0.00	2 of 2 - Sale ²⁵
	60.000	2,411.34	Various	2,429.33	17.99 W	0.00	Total of 2 transactions
	8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	10.000	385.19	09/03/20	436.20	51.01 W	0.00	1 of 8 - Sale ²⁵
	40.000	1,540.76	09/03/20	1,882.00	341.24 W	0.00	2 of 8 - Sale ²⁵
	10.000	381.12	09/03/20	432.50	51.38 W	0.00	3 of 8 - Sale ²⁵
	10.000	381.12	09/03/20	432.20	51.08 W	0.00	4 of 8 - Sale ²⁵
	30.000	1,143.37	09/03/20	1,274.99	131.62 W	0.00	5 of 8 - Sale ²⁵
	10.000	381.13	09/03/20	470.11	88.98 W	0.00	6 of 8 - Sale ²⁵
	10.000	381.12	09/03/20	504.41	123.29 W	0.00	7 of 8 - Sale ²⁵
	5.000	190.57	09/03/20	240.63	50.06 W	0.00	8 of 8 - Sale ²⁵
09/04/20	125.000	4,784.38	Various	5,673.04	888.66 W	0.00	Total of 8 transactions
	4 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	5.000	212.74	09/08/20	223.49	10.75 W	0.00	1 of 4 - Sale ²⁵
	10.000	425.49	09/08/20	454.47	28.98 W	0.00	2 of 4 - Sale ²⁵
	10.000	425.49	09/08/20	454.17	28.68 W	0.00	3 of 4 - Sale ²⁵
	25.000	1,087.47	09/08/20	1,221.01	133.54 W	0.00	4 of 4 - Sale ²⁵
09/09/20	50.000	2,151.19	Various	2,353.14	201.95 W	0.00	Total of 4 transactions
	3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	10.000	437.39	09/10/20	529.38	91.99 W	0.00	1 of 3 - Sale ²⁵
	8.000	349.91	09/10/20	450.95	101.04 W	0.00	2 of 3 - Sale ²⁵
	25.000	1,093.47	09/10/20	1,210.68	117.21 W	0.00	3 of 3 - Sale ²⁵
09/15/20	43.000	1,880.77	Various	2,191.01	310.24 W	0.00	Total of 3 transactions
	6 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	18.000	753.10	09/15/20	847.65	...	-94.55	1 of 6 - Sale ²⁵
	5.000	209.19	09/15/20	258.81	...	-49.62	2 of 6 - Sale ²⁵
	10.000	418.39	09/15/20	446.48	...	-28.09	3 of 6 - Sale ²⁵
	10.000	418.39	09/15/20	446.18	...	-27.79	4 of 6 - Sale ²⁵
	2.000	83.68	09/15/20	108.16	...	-24.48	5 of 6 - Sale ²⁵
	5.000	209.20	09/15/20	219.50	...	-10.30	6 of 6 - Sale ²⁵
09/16/20	50.000	2,091.95	Various	2,326.78	...	-234.83	Total of 6 transactions

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Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EXP WORLD HOLDINGS, INC. COMMO N STOCK / CUSIP: 30212W100 / Symbol: (cont'd)							
4 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	25.000	997.11	09/16/20	1,164.94	...	-167.83	1 of 4 - Sale ²⁵
	8.000	319.08	09/16/20	436.32	...	-117.24	2 of 4 - Sale ²⁵
	10.000	398.84	09/16/20	511.09	...	-112.25	3 of 4 - Sale ²⁵
	7.000	279.19	09/16/20	330.76	...	-51.57	4 of 4 - Sale ²⁵
09/17/20	50.000	1,994.22	Various	2,443.11	...	-448.89	Total of 4 transactions
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	10.000	540.77	10/19/20	577.15	36.38 W	0.00	1 of 2 - Sale ²⁵
	10.000	539.93	10/19/20	577.15	37.22 W	0.00	2 of 2 - Sale ²⁵
10/21/20	20.000	1,080.70	Various	1,154.30	73.60 W	0.00	Total of 2 transactions
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	10.000	459.29	11/11/20	479.93	...	-20.64	1 of 3 - Sale ²⁵
	10.000	459.29	11/11/20	479.09	...	-19.80	2 of 3 - Sale ²⁵
	5.000	229.64	11/11/20	221.36	...	8.28	3 of 3 - Sale ²⁵
11/12/20	25.000	1,148.22	Various	1,180.38	...	-32.16	Total of 3 transactions
12/23/20	30.000	2,374.14	12/16/20	2,173.78	...	200.36	Sale ²⁵
	Security total:	25,395.38		27,602.23	1,691.33 W	-515.52	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	4.000	355.20	12/08/20	394.48	...	-39.28	1 of 2 - Sale ²⁵
	1.000	88.84	12/08/20	98.62	...	-9.78	2 of 2 - Sale ²⁵
12/10/20	5.000	444.04	Various	493.10	...	-49.06	Total of 2 transactions
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
09/16/20	5.000	1,240.89	09/15/20	1,175.28	...	65.61	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
11/12/20	75.000	1,123.09	11/11/20	1,047.59	...	75.50	Sale ²⁵

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	75.000	666.12	12/08/20	667.88	...	-1.76	1 of 3 - Sale ²⁵
	22.000	195.39	12/08/20	192.28	...	3.11	2 of 3 - Sale ²⁵
	77.000	683.88	12/08/20	672.98	...	10.90	3 of 3 - Sale ²⁵
12/09/20	174.000	1,545.39	Various	1,533.14	...	12.25	Total of 3 transactions
FULGENT GENETICS, INC. COMMON STOCK / CUSIP: 359664109 / Symbol:							
08/07/20	100.000	3,699.90	08/05/20	3,698.38	...	1.52	Sale ²⁵
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
10/12/20	80.000	948.78	10/12/20	997.46	45.64 W	-3.04	Sale ²⁵
10/23/20	75.000	1,121.96	10/22/20	1,161.27	...	-39.31	Sale ²⁵
	Security total:	2,070.74		2,158.73	45.64 W	-42.35	
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	50.000	425.50	11/06/20	443.25	...	-17.75	1 of 2 - Sale ²⁵
	100.000	876.98	11/06/20	886.50	...	-9.52	2 of 2 - Sale ²⁵
11/09/20	150.000	1,302.48	Various	1,329.75	...	-27.27	Total of 2 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
10/12/20	15.000	318.75	10/09/20	316.65	...	2.10	Sale ²⁵
JINKOSOLAR HOLDINGS CO / CUSIP: 47759T100 / Symbol:							
12/10/20	10.000	576.18	12/08/20	622.52	...	-46.34	Sale ²⁵
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
12/14/20	15.000	560.64	12/08/20	535.31	...	25.33	Sale ²⁵
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	4.000	57.40	08/17/20	53.60	...	3.80	1 of 2 - Sale ²⁵
	36.000	517.66	08/17/20	482.40	...	35.26	2 of 2 - Sale ²⁵
08/19/20	40.000	575.06	Various	536.00	...	39.06	Total of 2 transactions

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
08/19/20	200.000	418.98	08/17/20	459.00	...	-40.02	Sale ²⁵
MARATHON PATENT GROUP, INC. COMMON STOCK / CUSIP: 56585W401 / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	100.000	312.99	08/17/20	400.00	...	-87.01	1 of 2 - Sale ²⁵
	10.000	31.30	08/17/20	39.80	...	-8.50	2 of 2 - Sale ²⁵
08/19/20	110.000	344.29	Various	439.80	...	-95.51	Total of 2 transactions
MASTEC, INC. / CUSIP: 576323109 / Symbol:							
08/19/20	36.000	1,650.56	08/17/20	1,654.20	...	-3.64	Sale ²⁵
MICROBOT MEDICAL INC. COMMON STOCK / CUSIP: 59503A204 / Symbol:							
08/19/20	50.000	453.01	08/17/20	485.00	...	-31.99	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
08/19/20	40.000	553.98	08/17/20	553.99	...	-0.01	Sale ²⁵
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	50.000	134.00	10/09/20	130.25	...	3.75	1 of 2 - Sale ²⁵
	50.000	138.01	10/09/20	130.25	...	7.76	2 of 2 - Sale ²⁵
10/12/20	100.000	272.01	Various	260.50	...	11.51	Total of 2 transactions
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	5.000	39.25	10/02/20	39.25	...	0.00	1 of 4 - Sale ²⁵
	10.000	78.50	10/02/20	78.50	...	0.00	2 of 4 - Sale ²⁵
	50.000	392.50	10/02/20	392.50	...	0.00	3 of 4 - Sale ²⁵
	25.000	196.25	10/02/20	196.25	...	0.00	4 of 4 - Sale ²⁵
10/05/20	90.000	706.50	Various	706.50	...	0.00	Total of 4 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
09/10/20	42.000	1,614.23	09/09/20	2,032.80	...	-418.57	Sale ²⁵

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	15.000	2,290.67	07/29/20	2,255.63	...	35.04	1 of 2 - Sale ²⁵
	15.000	2,290.67	07/29/20	2,167.50	...	123.17	2 of 2 - Sale ²⁵
08/03/20	30.000	4,581.34	Various	4,423.13	...	158.21	Total of 2 transactions
08/12/20	10.000	1,329.97	08/10/20	1,789.44	459.47 W	0.00	Sale ²⁵
09/11/20	10.000	951.67	09/10/20	1,421.29	469.62 W	0.00	Sale ²⁵
09/22/20	1.000	111.06	09/21/20	156.63	...	-45.57	Sale ²⁵
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	9.000	988.21	09/21/20	1,409.69	...	-421.48	1 of 2 - Sale ²⁵
	15.000	1,647.02	09/21/20	1,645.05	...	1.97	2 of 2 - Sale ²⁵
09/23/20	24.000	2,635.23	Various	3,054.74	...	-419.51	Total of 2 transactions
	Security total:	9,609.27		10,845.23	929.09 W	-306.87	
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
09/10/20	250.000	788.23	09/08/20	809.23	...	-21.00	Sale ²⁵
4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	50.000	219.51	10/19/20	229.40	9.89 W	0.00	1 of 4 - Sale ²⁵
	75.000	326.41	10/19/20	344.09	17.68 W	0.00	2 of 4 - Sale ²⁵
	75.000	325.66	10/19/20	344.09	18.43 W	0.00	3 of 4 - Sale ²⁵
	50.000	216.12	10/19/20	229.40	13.28 W	0.00	4 of 4 - Sale ²⁵
10/21/20	250.000	1,087.70	Various	1,146.98	59.28 W	0.00	Total of 4 transactions
10 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	25.000	107.30	10/23/20	113.64	...	-6.34	1 of 10 - Sale ²⁵
	25.000	107.30	10/23/20	113.39	...	-6.09	2 of 10 - Sale ²⁵
	20.000	85.84	10/23/20	90.72	...	-4.88	3 of 10 - Sale ²⁵
	20.000	85.84	10/23/20	90.51	...	-4.67	4 of 10 - Sale ²⁵
	20.000	85.84	10/23/20	90.11	...	-4.27	5 of 10 - Sale ²⁵
	10.000	42.92	10/23/20	45.36	...	-2.44	6 of 10 - Sale ²⁵
	10.000	42.92	10/23/20	45.31	...	-2.39	7 of 10 - Sale ²⁵
	10.000	42.92	10/23/20	45.30	...	-2.38	8 of 10 - Sale ²⁵
	5.000	21.46	10/23/20	22.68	...	-1.22	9 of 10 - Sale ²⁵
	5.000	21.46	10/23/20	22.68	...	-1.22	10 of 10 - Sale ²⁵
10/26/20	150.000	643.80	Various	679.70	...	-35.90	Total of 10 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol: (cont'd)							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	50.000	214.99	10/23/20	227.79	12.80 W	0.00	1 of 2 - Sale ²⁵
	50.000	215.00	10/23/20	225.78	10.78 W	0.00	2 of 2 - Sale ²⁵
10/26/20	100.000	429.99	Various	453.57	23.58 W	0.00	Total of 2 transactions
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	50.000	204.11	10/23/20	226.30	...	-22.19	1 of 2 - Sale ²⁵
	50.000	204.11	10/23/20	224.28	...	-20.17	2 of 2 - Sale ²⁵
10/27/20	100.000	408.22	Various	450.58	...	-42.36	Total of 2 transactions
	Security total:	3,357.94		3,540.06	82.86 W	-99.26	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
08/21/20	25.000	3,049.93	08/20/20	3,048.00	...	1.93	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
12/10/20	20.000	512.58	12/08/20	579.56	...	-66.98	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
08/12/20	200.000	2,034.41	08/10/20	2,413.00	378.59 W	0.00	Sale ²⁵
08/27/20	106.000	1,393.85	08/26/20	1,384.25	...	9.60	Sale ²⁵
9 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	100.000	1,314.96	08/26/20	1,501.80	186.84 W	0.00	1 of 9 - Sale ²⁵
	19.000	249.84	08/26/20	285.34	35.50 W	0.00	2 of 9 - Sale ²⁵
	81.000	1,065.12	08/26/20	1,216.45	151.33 W	0.00	3 of 9 - Sale ²⁵
	100.000	1,314.96	08/26/20	1,499.34	184.38 W	0.00	4 of 9 - Sale ²⁵
	19.000	249.84	08/26/20	283.62	33.78 W	0.00	5 of 9 - Sale ²⁵
	94.000	1,227.60	08/26/20	1,400.87	173.27 W	0.00	6 of 9 - Sale ²⁵
	81.000	1,057.83	08/26/20	1,209.11	151.28 W	0.00	7 of 9 - Sale ²⁵
	6.000	78.36	08/26/20	88.46	10.10 W	0.00	8 of 9 - Sale ²⁵
	19.000	248.13	08/26/20	278.88	30.75 W	0.00	9 of 9 - Sale ²⁵
08/27/20	519.000	6,806.64	Various	7,763.87	957.23 W	0.00	Total of 9 transactions
5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	94.000	1,212.56	08/26/20	1,385.87	...	-173.31	1 of 5 - Sale ²⁵
	6.000	77.40	08/26/20	88.61	...	-11.21	2 of 5 - Sale ²⁵
	75.000	967.47	08/27/20	1,111.70	...	-144.23	3 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	19.000	245.09	08/27/20	276.89	...	-31.80	4 of 5 - Sale ²⁵
	6.000	77.40	08/27/20	87.83	...	-10.43	5 of 5 - Sale ²⁵
08/31/20	200.000	2,579.92	Various	2,950.90	...	-370.98	Total of 5 transactions
11/23/20	25.000	637.09	11/18/20	589.63	...	47.46	Sale ²⁵
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	20.000	712.18	12/08/20	571.78	...	140.40	1 of 2 - Sale ²⁵
	10.000	356.09	12/16/20	283.60	...	72.49	2 of 2 - Sale ²⁵
12/23/20	30.000	1,068.27	Various	855.38	...	212.89	Total of 2 transactions
	Security total:	14,520.18		15,957.03	1,335.82 W	-101.03	
RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol:							
08/17/20	150.000	1,349.95	08/13/20	1,493.97	144.02 W	0.00	Sale ²⁵
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	100.000	766.97	08/19/20	886.01	...	-119.04	1 of 3 - Sale ²⁵
	50.000	393.99	08/19/20	443.01	...	-49.02	2 of 3 - Sale ²⁵
	50.000	393.98	08/19/20	395.00	...	-1.02	3 of 3 - Sale ²⁵
08/20/20	200.000	1,554.94	Various	1,724.02	...	-169.08	Total of 3 transactions
	Security total:	2,904.89		3,217.99	144.02 W	-169.08	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
10/12/20	100.000	317.99	10/09/20	309.79	...	8.20	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	100.000	2,489.93	08/21/20	2,600.00	...	-110.07	1 of 2 - Sale ²⁵
	37.000	921.28	08/21/20	962.00	...	-40.72	2 of 2 - Sale ²⁵
08/21/20	137.000	3,411.21	Various	3,562.00	...	-150.79	Total of 2 transactions
SHUTTERSTOCK, INC. / CUSIP: 825690100 / Symbol:							
08/03/20	40.000	2,177.59	07/28/20	2,045.12	...	132.47	Sale ²⁵
SORRENTO THERAPEUTICS, INC. COMMON STOCK / CUSIP: 83587F202 / Symbol:							
08/17/20	75.000	887.22	08/13/20	1,000.50	113.28 W	0.00	Sale ²⁵
08/18/20	75.000	825.72	08/13/20	1,000.49	174.77 W	0.00	Sale ²⁵
08/25/20	30.000	222.30	08/21/20	346.51	124.21 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
08/26/20	31.000	264.12	08/21/20	358.06	93.94 W	0.00	Sale ²⁵
	<i>5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.</i>						
	30.000	224.99	09/14/20	329.70	...	-104.71	1 of 5 - Sale ²⁵
	61.000	464.81	09/14/20	559.98	...	-95.17	2 of 5 - Sale ²⁵
	31.000	232.49	09/14/20	306.28	...	-73.79	3 of 5 - Sale ²⁵
	14.000	104.99	09/14/20	128.52	...	-23.53	4 of 5 - Sale ²⁵
	14.000	106.68	09/14/20	117.05	...	-10.37	5 of 5 - Sale ²⁵
09/14/20	150.000	1,133.96	Various	1,441.53	...	-307.57	Total of 5 transactions
	Security total:	3,333.32		4,147.09	506.20 W	-307.57	
STAMPS.COM INC. COMMON STOCK (\$0.001 PAR VALUE) / CUSIP: 852857200 / Symbol:							
08/07/20	20.000	5,999.86	08/07/20	6,000.00	...	-0.14	Sale ²⁵
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
	<i>2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	1,484.66	08/17/20	1,380.00	...	104.66	1 of 2 - Sale ²⁵
	10.000	1,533.36	08/17/20	1,380.00	...	153.36	2 of 2 - Sale ²⁵
08/19/20	20.000	3,018.02	Various	2,760.00	...	258.02	Total of 2 transactions
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
09/30/20	10.000	944.37	09/29/20	975.80	...	-31.43	Sale ²⁵
11/13/20	10.000	1,142.32	11/11/20	1,040.25	...	102.07	Sale ²⁵
11/17/20	4.000	433.96	11/13/20	457.22	...	-23.26	Sale ²⁵
11/17/20	5.000	542.48	11/13/20	571.53	17.43 W	-11.62	Sale ²⁵
12/22/20	3.000	495.87	12/08/20	518.40	...	-22.53	Sale ²⁵
	Security total:	3,559.00		3,563.20	17.43 W	13.23	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
09/21/20	150.000	1,264.90	09/21/20	1,354.05	29.72 W	-59.43	Sale ²⁵
09/23/20	50.000	364.60	09/23/20	445.22	...	-80.62	Sale ²⁵
	Security total:	1,629.50		1,799.27	29.72 W	-140.05	
WALMART INC. / CUSIP: 931142103 / Symbol:							
08/19/20	20.000	2,665.14	08/17/20	2,718.55	...	-53.41	Sale ²⁵

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Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
<i>3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	633.08	08/12/20	619.25	...	13.83	1 of 3 - Sale ²⁵
	18.000	5,687.87	08/12/20	5,573.25	...	114.62	2 of 3 - Sale ²⁵
	16.000	5,064.66	08/12/20	4,795.84	...	268.82	3 of 3 - Sale ²⁵
08/13/20	36.000	11,385.61	Various	10,988.34	...	397.27	Total of 3 transactions
<i>3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	13.000	4,068.91	08/27/20	4,304.43	...	-235.52	1 of 3 - Sale ²⁵
	3.000	938.97	08/27/20	993.33	...	-54.36	2 of 3 - Sale ²⁵
	3.000	939.29	08/27/20	993.33	...	-54.04	3 of 3 - Sale ²⁵
08/28/20	19.000	5,947.17	Various	6,291.09	...	-343.92	Total of 3 transactions
	Security total:	17,332.78		17,279.43	...	53.35	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
09/28/20	40.000	1,089.97	09/25/20	985.11	...	104.86	Sale ²⁵
10/01/20	75.000	1,948.44	09/30/20	1,920.74	...	27.70	Sale ²⁵
<i>2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	67.000	1,942.94	10/09/20	1,785.27	...	157.67	1 of 2 - Sale ²⁵
	83.000	2,406.93	10/09/20	2,211.60	...	195.33	2 of 2 - Sale ²⁵
10/12/20	150.000	4,349.87	Various	3,996.87	...	353.00	Total of 2 transactions
12/21/20	25.000	521.76	12/08/20	533.47	...	-11.71	Sale ²⁵
	Security total:	7,910.04		7,436.19	...	473.85	
ZAGG INC COMMON STOCK (DELAWAR E) / CUSIP: 98884U108 / Symbol:							
09/29/20	175.000	515.34	09/25/20	546.00	30.66 W	0.00	Sale ²⁵
<i>2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	219.94	10/02/20	243.39	...	-23.45	1 of 2 - Sale ²⁵
	25.000	73.31	10/02/20	76.75	...	-3.44	2 of 2 - Sale ²⁵
10/05/20	100.000	293.25	Various	320.14	...	-26.89	Total of 2 transactions
10/05/20	100.000	291.19	10/02/20	324.52	22.66 W	-10.67	Sale ²⁵
10/06/20	100.000	299.25	10/02/20	307.00	...	-7.75	Sale ²⁵
10/12/20	68.000	225.07	10/09/20	245.70	...	-20.63	Sale ²⁵
	Security total:	1,624.10		1,743.36	53.32 W	-65.94	

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Account 150349603

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
09/10/20	8.000	1,095.97	09/09/20	1,073.20	...	22.77	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
10/26/20	30.000	530.38	10/23/20	551.70	...	-21.32	Sale ²⁵
VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
09/04/20	100.000	1,111.96	09/04/20	1,112.00	0.04 W	0.00	Sale ²⁵
	3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	50.000	410.73	09/04/20	556.02	...	-145.29	1 of 3 - Sale ²⁵
	50.000	410.74	09/08/20	535.02	...	-124.28	2 of 3 - Sale ²⁵
	50.000	410.73	09/08/20	535.00	...	-124.27	3 of 3 - Sale ²⁵
09/09/20	150.000	1,232.20	Various	1,626.04	...	-393.84	Total of 3 transactions
	Security total:	2,344.16		2,738.04	0.04 W	-393.84	
YATRA ONLINE, INC. ORDINARY SH ARES / CUSIP: G98338109 / Symbol:							
	3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	200.000	211.22	10/23/20	235.78	...	-24.56	1 of 3 - Sale ²⁵
	100.000	108.00	10/23/20	117.89	...	-9.89	2 of 3 - Sale ²⁵
	100.000	108.10	10/23/20	117.89	...	-9.79	3 of 3 - Sale ²⁵
10/26/20	400.000	427.32	Various	471.56	...	-44.24	Total of 3 transactions
10/27/20	100.000	103.01	10/23/20	117.89	...	-14.88	Sale ²⁵
	Security total:	530.33		589.45	...	-59.12	
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
11/16/20	30.000	1,141.32	11/11/20	1,158.90	...	-17.58	Sale ²⁵
Totals :		247,690.60		261,810.51	8,581.95 W	-5,537.96	

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Robinhood Securities LLC

Account 150349603

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TARGET CORPORATION	87612E106		09/10/20	13.60	Qualified dividend	
				13.60	Total Dividends & distributions	

Robinhood Securities LLC

Account 150349603

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/10/20	-5.00	Margin interest paid	
		05/28/20	0.06	Adj- Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
		07/07/20	-5.00	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		08/06/20	-5.00	Margin interest paid	
		09/08/20	-0.09	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/05/20	-0.30	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		11/04/20	-5.00	Margin interest paid	
		12/04/20	-5.00	Margin interest paid	
			-40.32	Total Margin interest paid	
			-40.32	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

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Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.