

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 454939987	Statement Date: 02/11/2021 Document ID: H102 311 PXRK	2020
	ASISH KUMAR NAYAK 8719 Domingo Dr Plano, TX 75024-8509	PAYER'S TIN: 47-0533629	

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 133.92</p> <p>1b- Qualified dividends 133.92</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 11,473.54</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 11,473.54</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	5,181,204.87	5,138,634.78	0.00	26,731.32	69,301.41
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	5,181,204.87	5,138,634.78	0.00	26,731.32	69,301.41
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	5,181,204.87	5,138,634.78	0.00	26,731.32	69,301.41

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 454939987

Summary Information

2020

(continued)

02/11/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	11.03
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	45.60
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/11/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
08/17/20	300.000	139,078.54	08/13/20	138,489.00	...	589.54	Sale
08/18/20	100.000	46,316.98	08/13/20	46,163.00	...	153.98	Sale
	Security total:	185,395.52		184,652.00	...	743.52	
INVESCO QQQ TRUST UNIT SER 1 ETF / CUSIP: 46090E103 / Symbol: QQQ							
11/06/20	800.000	224,013.62 N	10/30/20	220,789.37	...	3,224.25	Sale Proceeds or Cost adjusted for option premium of \$2,418.62
ISHARES TRUST RUSSELL 2000 ETF / CUSIP: 464287655 / Symbol: IWM							
05/13/20	400.000	50,202.84	05/11/20	52,043.00	...	-1,840.16	Sale
PROSHARES TRUST ULTRAPRO SHORT QQQ NEW 2020 / CUSIP: 74347G861 / Symbol: SQQQ							
12/07/20	5,000.000	82,501.08	12/04/20	84,133.32	...	-1,632.24	Sale
SPDR S&P500 ETF TRUST TR UNIT ETF / CUSIP: 78462F103 / Symbol: SPY							
05/08/20	500.000	143,288.43 N	05/01/20	144,191.37	902.94 W	0.00	Sale Proceeds or Cost adjusted for option premium of \$1,291.63
05/11/20	500.000	145,471.73	05/11/20	146,342.94	871.21 W	0.00	Sale
05/14/20	500.000	138,416.93	05/13/20	142,969.56	4,552.63 W	0.00	Sale

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Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR S&P500 ETF TRUST TR UNIT ETF / CUSIP: 78462F103 / Symbol: SPY (cont'd)							
05/26/20	600.000	179,487.95 N	05/20/20	182,590.63	3,344.34 W	241.66	Sale Proceeds or Cost adjusted for option premium of \$391.98
05/28/20	600.000	181,880.33	05/27/20	185,027.35	3,179.91 W	32.89	Sale
05/29/20	600.000	182,731.89	Various	184,661.91	2,138.34 W	208.32	Total of 2 transactions
06/01/20	600.000	183,430.88	06/01/20	185,078.34	1,729.27 W	81.81	Sale
06/03/20	600.000	186,303.80 N	06/01/20	185,188.27	...	1,115.53	Sale Proceeds or Cost adjusted for option premium of \$307.98
06/05/20	600.000	189,237.73 N	06/04/20	187,092.00	...	2,145.73	Sale Proceeds or Cost adjusted for option premium of \$241.98
06/10/20	600.000	192,956.33	06/08/20	192,708.00	...	248.33	Sale
06/11/20	600.000	181,209.01	06/10/20	191,206.01	9,997.00 W	0.00	Sale
06/15/20	600.000	182,313.89 N	06/12/20	195,289.00	...	-12,975.11	Sale Proceeds or Cost adjusted for option premium of \$517.98
07/27/20	600.000	192,691.73	07/24/20	192,335.28	...	356.45	Sale
08/07/20	600.000	196,725.57 N	07/24/20	192,616.02	...	4,109.55	Sale Proceeds or Cost adjusted for option premium of \$1,129.96
08/11/20	600.000	202,184.90	08/10/20	201,102.46	...	1,082.44	Sale
08/12/20	600.000	201,500.80	08/11/20	199,780.86	...	1,719.94	Sale
08/14/20	100.000	33,684.36	08/13/20	33,662.99	...	21.37	Sale
08/17/20	100.000	33,794.74	08/14/20	33,605.83	...	188.91	Sale
08/19/20	600.000	203,347.50	08/18/20	203,111.28	...	236.22	Sale
08/20/20	600.000	202,353.46	Various	202,348.74	...	4.72	Total of 2 transactions
08/26/20	600.000	207,188.37	08/25/20	206,373.00	...	815.37	Sale
08/27/20	600.000	209,509.96	08/26/20	208,517.50	...	992.46	Sale
08/28/20	600.000	209,491.36	08/27/20	209,205.99	...	285.37	Sale
08/31/20	600.000	210,253.29	08/28/20	210,249.00	...	4.29	Sale
09/01/20	600.000	210,790.27	08/31/20	210,280.42	...	509.85	Sale
10/07/20	100.000	34,116.74	09/04/20	34,286.00	...	-169.26	Sale
Security total:		4,434,361.95		4,459,820.75	26,715.64 W	1,256.84	

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TD Ameritrade Clearing, Inc.

Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
09/14/20	300.000	115,464.92	09/11/20	114,782.10	...	682.82	Sale
APPLE INC AAPL Dec 31 2020 128.0 Put / CUSIP: / Symbol: AAPL 12/31/20 P 128.000							
12/29/20	10.000	860.68	Various	0.00	...	860.68	Total of 2 transactions
APPLE INC AAPL Dec 31 2020 131.0 Put / CUSIP: / Symbol: AAPL 12/31/20 P 131.000							
12/28/20	1.000	20.34	12/23/20	207.66	...	-187.32	Option sale
ISHARES TRUST IWM May 15 2020 136.0 Call / CUSIP: / Symbol: IWM 05/15/20 C 136.000							
05/14/20	4.000	185.25	05/13/20	0.00	...	185.25	Short sale closed- option
ISHARES TRUST IWM May 22 2020 140.0 Call / CUSIP: / Symbol: IWM 05/22/20 C 140.000							
05/22/20	4.000	145.26	05/21/20	0.00	...	145.26	Short sale closed- option
05/26/20	1.000	36.31	05/22/20	0.00	...	36.31	Short sale closed- option
	Security total:	181.57		0.00	...	181.57	
ISHARES TRUST IWM May 22 2020 144.0 Call / CUSIP: / Symbol: IWM 05/22/20 C 144.000							
05/22/20	5.000	0.00	05/18/20	48.33	...	-48.33	Option expiration
ISHARES TRUST IWM May 29 2020 140.0 Call / CUSIP: / Symbol: IWM 05/29/20 C 140.000							
06/01/20	12.000	937.96	05/29/20	0.00	...	937.96	Short sale closed- option
ISHARES TRUST IWM May 29 2020 147.0 Call / CUSIP: / Symbol: IWM 05/29/20 C 147.000							
05/29/20	12.000	0.00	05/22/20	122.00	...	-122.00	Option expiration
ISHARES TRUST IWM Nov 13 2020 165.0 Call / CUSIP: / Symbol: IWM 11/13/20 C 165.000							
11/09/20	1.000	706.32	11/06/20	184.66	...	521.66	Option sale
ISHARES TRUST IWM May 15 2020 107.0 Put / CUSIP: / Symbol: IWM 05/15/20 P 107.000							
05/15/20	5.000	0.00	05/14/20	58.33	...	-58.33	Option expiration
ISHARES TRUST IWM May 15 2020 112.0 Put / CUSIP: / Symbol: IWM 05/15/20 P 112.000							
05/15/20	2.000	80.64	05/14/20	0.00	...	80.64	Short sale closed- option
ISHARES TRUST IWM May 15 2020 114.0 Put / CUSIP: / Symbol: IWM 05/15/20 P 114.000							
05/15/20	2.000	0.00	05/13/20	47.33	...	-47.33	Option expiration

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ISHARES TRUST IWM May 15 2020 115.0 Put / CUSIP: / Symbol: IWM 05/15/20 P 115.000							
05/13/20	4.000	85.34	Various	68.01	...	17.33	Total of 2 transactions
05/13/20	5.000	51.66	05/11/20	63.33	9.34 W	-2.33	Option sale
	Security total:	137.00		131.34	9.34 W	15.00	
ISHARES TRUST IWM May 15 2020 117.0 Put / CUSIP: / Symbol: IWM 05/15/20 P 117.000							
05/18/20	2.000	72.64	05/15/20	0.00	...	72.64	Short sale closed- option
ISHARES TRUST IWM May 15 2020 120.0 Put / CUSIP: / Symbol: IWM 05/15/20 P 120.000							
05/14/20	2.000	35.33	05/13/20	0.00	...	35.33	Short sale closed- option
05/18/20	5.000	155.58	Various	0.00	...	155.58	Total of 2 transactions
	Security total:	190.91		0.00	...	190.91	
ISHARES TRUST IWM May 15 2020 122.5 Put / CUSIP: / Symbol: IWM 05/15/20 P 122.500							
05/18/20	5.000	101.58	05/15/20	0.00	...	101.58	Short sale closed- option
ISHARES TRUST IWM May 22 2020 116.0 Put / CUSIP: / Symbol: IWM 05/22/20 P 116.000							
05/22/20	5.000	0.00	05/18/20	93.33	...	-93.33	Option expiration
ISHARES TRUST IWM May 22 2020 124.0 Put / CUSIP: / Symbol: IWM 05/22/20 P 124.000							
05/22/20	5.000	418.32	05/21/20	0.00	...	418.32	Short sale closed- option
ISHARES TRUST IWM May 22 2020 130.0 Put / CUSIP: / Symbol: IWM 05/22/20 P 130.000							
05/26/20	5.000	181.59	05/22/20	0.00	...	181.59	Short sale closed- option
ISHARES TRUST IWM May 29 2020 118.0 Put / CUSIP: / Symbol: IWM 05/29/20 P 118.000							
05/29/20	12.000	0.00	05/22/20	200.00	...	-200.00	Option expiration
ISHARES TRUST IWM May 29 2020 126.0 Put / CUSIP: / Symbol: IWM 05/29/20 P 126.000							
05/27/20	12.000	847.96	05/26/20	0.00	...	847.96	Short sale closed- option
05/29/20	12.000	0.00	05/26/20	128.00	...	-128.00	Option expiration
	Security total:	847.96		128.00	...	719.96	
ISHARES TRUST IWM May 29 2020 133.0 Put / CUSIP: / Symbol: IWM 05/29/20 P 133.000							
05/29/20	12.000	579.76	05/28/20	0.00	...	579.76	Short sale closed- option
ISHARES TRUST IWM May 29 2020 138.0 Put / CUSIP: / Symbol: IWM 05/29/20 P 138.000							
06/01/20	12.000	200.48	Various	0.00	...	200.48	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/11/2021

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ISHARES TRUST IWM Nov 13 2020 160.0 Put / CUSIP: / Symbol: IWM 11/13/20 P 160.000							
11/12/20	15.000	1,675.01	11/11/20	0.00	...	1,675.01	Short sale closed- option
ISHARES TRUST IWM Nov 13 2020 165.0 Put / CUSIP: / Symbol: IWM 11/13/20 P 165.000							
11/13/20	1.000	0.00	11/06/20	321.66	...	-321.66	Option expiration
ISHARES TRUST IWM Nov 13 2020 168.0 Put / CUSIP: / Symbol: IWM 11/13/20 P 168.000							
11/16/20	15.000	1,159.77	11/13/20	0.00	...	1,159.77	Short sale closed- option
ISHARES TRUST IWM Nov 13 2020 172.0 Put / CUSIP: / Symbol: IWM 11/13/20 P 172.000							
11/13/20	1.000	46.34	11/11/20	247.66	...	-201.32	Option sale
ISHARES TRUST IWM Dec 31 2020 198.0 Put / CUSIP: / Symbol: IWM 12/31/20 P 198.000							
12/31/20	1.000	146.34	12/28/20	162.66	...	-16.32	Option sale
EASTMAN KODAK CO KODK Sep 11 2020 5.0 Put / CUSIP: / Symbol: KODK 09/11/20 P 5.000							
09/11/20	120.000	2,319.68	09/11/20	0.00	...	2,319.68	Option expiration short position
EASTMAN KODAK CO KODK Sep 11 2020 8.0 Put / CUSIP: / Symbol: KODK 09/11/20 P 8.000							
09/11/20	3.000	474.98	09/01/20	482.00	...	-7.02	Option sale
NIO INC NIO Sep 11 2020 17.0 Put / CUSIP: / Symbol: NIO 09/11/20 P 17.000							
09/11/20	100.000	4,936.00	09/11/20	0.00	...	4,936.00	Option expiration short position
NIO INC NIO Sep 11 2020 20.0 Put / CUSIP: / Symbol: NIO 09/11/20 P 20.000							
09/11/20	5.000	1,001.64	09/03/20	1,070.34	...	-68.70	Option sale
INVESCO QQQ TRUST QQQ Nov 20 2020 299.0 Call / CUSIP: / Symbol: QQQ 11/20/20 C 299.000							
11/20/20	1.000	0.00	11/13/20	72.66	...	-72.66	Option expiration
INVESCO QQQ TRUST QQQ Sep 25 2020 266.0 Put / CUSIP: / Symbol: QQQ 09/25/20 P 266.000							
09/28/20	10.000	3,403.09	09/25/20	0.00	...	3,403.09	Short sale closed- option
INVESCO QQQ TRUST QQQ Sep 25 2020 276.0 Put / CUSIP: / Symbol: QQQ 09/25/20 P 276.000							
09/25/20	1.000	601.33	09/14/20	679.67	...	-78.34	Option sale
INVESCO QQQ TRUST QQQ Oct 09 2020 266.0 Put / CUSIP: / Symbol: QQQ 10/09/20 P 266.000							
10/07/20	1.000	7.34	10/02/20	165.66	...	-158.32	Option sale

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Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INVESCO QQQ TRUST QQQ Oct 09 2020 268.0 Put / CUSIP: / Symbol: QQQ 10/09/20 P 268.000							
10/08/20	4.000	786.65	10/07/20	0.00	...	786.65	Short sale closed- option
INVESCO QQQ TRUST QQQ Oct 23 2020 282.5 Put / CUSIP: / Symbol: QQQ 10/23/20 P 282.500							
10/23/20	1.000	4.34	10/15/20	203.66	...	-199.32	Option sale
INVESCO QQQ TRUST QQQ Oct 23 2020 283.0 Put / CUSIP: / Symbol: QQQ 10/23/20 P 283.000							
10/26/20	5.000	998.33	10/23/20	0.00	...	998.33	Short sale closed- option
INVESCO QQQ TRUST QQQ Oct 30 2020 272.0 Put / CUSIP: / Symbol: QQQ 10/30/20 P 272.000							
10/30/20	1.000	437.33	10/28/20	318.66	...	118.67	Option sale
INVESCO QQQ TRUST QQQ Oct 30 2020 276.0 Put / CUSIP: / Symbol: QQQ 10/30/20 P 276.000							
10/28/20	1.000	499.33	10/23/20	156.66	...	342.67	Option sale
INVESCO QQQ TRUST QQQ Nov 06 2020 262.5 Put / CUSIP: / Symbol: QQQ 11/06/20 P 262.500							
11/06/20	1.000	0.00	10/30/20	467.66	...	-467.66	Option expiration
INVESCO QQQ TRUST QQQ Nov 20 2020 276.0 Put / CUSIP: / Symbol: QQQ 11/20/20 P 276.000							
11/20/20	2.000	0.00	11/13/20	155.32	...	-155.32	Option expiration
INVESCO QQQ TRUST QQQ Nov 20 2020 284.0 Put / CUSIP: / Symbol: QQQ 11/20/20 P 284.000							
11/20/20	4.000	698.65	11/19/20	0.00	...	698.65	Short sale closed- option
INVESCO QQQ TRUST QQQ Dec 11 2020 297.5 Put / CUSIP: / Symbol: QQQ 12/11/20 P 297.500							
12/09/20	8.000	1,389.33	12/08/20	0.00	...	1,389.33	Short sale closed- option
INVESCO QQQ TRUST QQQ Dec 11 2020 302.0 Put / CUSIP: / Symbol: QQQ 12/11/20 P 302.000							
12/08/20	1.000	40.34	12/02/20	338.66	...	-298.32	Option sale
INVESCO QQQ TRUST QQQ Dec 18 2020 302.0 Put / CUSIP: / Symbol: QQQ 12/18/20 P 302.000							
12/18/20	8.000	1,485.32	12/17/20	0.00	...	1,485.32	Short sale closed- option
INVESCO QQQ TRUST QQQ Dec 18 2020 306.0 Put / CUSIP: / Symbol: QQQ 12/18/20 P 306.000							
12/17/20	1.000	48.34	12/08/20	322.66	...	-274.32	Option sale
INVESCO QQQ TRUST QQQ Dec 24 2020 305.0 Put / CUSIP: / Symbol: QQQ 12/24/20 P 305.000							
12/24/20	8.000	1,309.33	12/23/20	0.00	...	1,309.33	Short sale closed- option

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INVESTCO QQQ TRUST QQQ Dec 24 2020 308.0 Put / CUSIP: / Symbol: QQQ 12/24/20 P 308.000							
12/23/20	1.000	62.34	12/17/20	275.66	...	-213.32	Option sale
INVESTCO QQQ TRUST QQQ Dec 31 2020 303.0 Put / CUSIP: / Symbol: QQQ 12/31/20 P 303.000							
12/30/20	8.000	1,181.33	12/29/20	0.00	...	1,181.33	Short sale closed- option
INVESTCO QQQ TRUST QQQ Dec 31 2020 307.0 Put / CUSIP: / Symbol: QQQ 12/31/20 P 307.000							
12/29/20	1.000	34.34	12/23/20	272.66	...	-238.32	Option sale
SPDR S&P500 ETF TRUST SPY Apr 29 2020 296.0 Call / CUSIP: / Symbol: SPY 04/29/20 C 296.000							
04/29/20	1.000	9.33	04/28/20	13.67	...	-4.34	Option sale
SPDR S&P500 ETF TRUST SPY May 01 2020 300.0 Call / CUSIP: / Symbol: SPY 05/01/20 C 300.000							
05/01/20	4.000	0.00	04/30/20	70.67	...	-70.67	Option expiration
SPDR S&P500 ETF TRUST SPY May 01 2020 302.0 Call / CUSIP: / Symbol: SPY 05/01/20 C 302.000							
05/01/20	1.000	0.00	04/29/20	20.67	...	-20.67	Option expiration
SPDR S&P500 ETF TRUST SPY May 08 2020 291.0 Call / CUSIP: / Symbol: SPY 05/08/20 C 291.000							
05/08/20	5.000	601.65	05/04/20	268.33	...	333.32	Option sale
SPDR S&P500 ETF TRUST SPY May 18 2020 297.0 Call / CUSIP: / Symbol: SPY 05/18/20 C 297.000							
05/18/20	5.000	116.66	05/18/20	33.33	...	83.33	Option sale
SPDR S&P500 ETF TRUST SPY May 20 2020 300.5 Call / CUSIP: / Symbol: SPY 05/20/20 C 300.500							
05/21/20	5.000	31.58	05/20/20	0.00	...	31.58	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 20 2020 307.0 Call / CUSIP: / Symbol: SPY 05/20/20 C 307.000							
05/20/20	5.000	0.00	05/18/20	43.33	...	-43.33	Option expiration
SPDR S&P500 ETF TRUST SPY May 22 2020 302.0 Call / CUSIP: / Symbol: SPY 05/22/20 C 302.000							
05/26/20	6.000	265.88	05/22/20	0.00	...	265.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 22 2020 306.0 Call / CUSIP: / Symbol: SPY 05/22/20 C 306.000							
05/22/20	6.000	0.00	05/20/20	58.00	...	-58.00	Option expiration
SPDR S&P500 ETF TRUST SPY May 26 2020 304.0 Call / CUSIP: / Symbol: SPY 05/26/20 C 304.000							
05/26/20	6.000	7.99	05/22/20	46.00	...	-38.01	Option sale

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SPDR S&P500 ETF TRUST SPY May 27 2020 302.0 Call / CUSIP: / Symbol: SPY 05/27/20 C 302.000							
05/28/20	6.000	9.99	05/27/20	0.00	...	9.99	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 27 2020 305.0 Call / CUSIP: / Symbol: SPY 05/27/20 C 305.000							
05/27/20	6.000	1.99	05/27/20	22.00	...	-20.01	Option sale
SPDR S&P500 ETF TRUST SPY May 29 2020 307.0 Call / CUSIP: / Symbol: SPY 05/29/20 C 307.000							
06/01/20	6.000	223.87	05/29/20	0.00	...	223.87	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 29 2020 311.0 Call / CUSIP: / Symbol: SPY 05/29/20 C 311.000							
05/29/20	6.000	0.00	05/27/20	52.00	...	-52.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 01 2020 307.0 Call / CUSIP: / Symbol: SPY 06/01/20 C 307.000							
06/02/20	6.000	211.88	06/01/20	0.00	...	211.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 01 2020 312.0 Call / CUSIP: / Symbol: SPY 06/01/20 C 312.000							
06/01/20	6.000	0.00	05/29/20	34.00	...	-34.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 05 2020 321.0 Call / CUSIP: / Symbol: SPY 06/05/20 C 321.000							
06/05/20	6.000	79.99	06/04/20	28.00	...	51.99	Option sale
SPDR S&P500 ETF TRUST SPY Jun 10 2020 322.5 Call / CUSIP: / Symbol: SPY 06/10/20 C 322.500							
06/11/20	6.000	223.88	06/10/20	0.00	...	223.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 10 2020 327.0 Call / CUSIP: / Symbol: SPY 06/10/20 C 327.000							
06/11/20	6.000	295.88	06/10/20	0.00	...	295.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 10 2020 336.0 Call / CUSIP: / Symbol: SPY 06/10/20 C 336.000							
06/10/20	6.000	0.00	06/08/20	40.00	...	-40.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 12 2020 307.0 Call / CUSIP: / Symbol: SPY 06/12/20 C 307.000							
06/15/20	6.000	289.88	06/12/20	0.00	...	289.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 12 2020 311.5 Call / CUSIP: / Symbol: SPY 06/12/20 C 311.500							
06/15/20	6.000	547.88	06/12/20	0.00	...	547.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 12 2020 324.5 Call / CUSIP: / Symbol: SPY 06/12/20 C 324.500							
06/12/20	6.000	410.93	Various	0.00	...	410.93	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

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02/11/2021

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SPDR S&P500 ETF TRUST SPY Jun 12 2020	334.0	Call / CUSIP: / Symbol: SPY 06/12/20 C	334.000				
06/12/20	6.000	0.00	06/10/20	46.00	...	-46.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 15 2020	306.0	Call / CUSIP: / Symbol: SPY 06/15/20 C	306.000				
06/16/20	6.000	825.97	06/15/20	0.00	...	825.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 15 2020	314.5	Call / CUSIP: / Symbol: SPY 06/15/20 C	314.500				
06/15/20	6.000	0.00	06/12/20	112.00	...	-112.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 17 2020	322.5	Call / CUSIP: / Symbol: SPY 06/17/20 C	322.500				
06/18/20	6.000	241.88	06/17/20	0.00	...	241.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 17 2020	327.0	Call / CUSIP: / Symbol: SPY 06/17/20 C	327.000				
06/17/20	6.000	0.00	06/16/20	94.00	...	-94.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 19 2020	314.0	Call / CUSIP: / Symbol: SPY 06/19/20 C	314.000				
06/22/20	6.000	193.89	06/19/20	0.00	...	193.89	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 19 2020	319.5	Call / CUSIP: / Symbol: SPY 06/19/20 C	319.500				
06/19/20	6.000	429.98	06/18/20	0.00	...	429.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 19 2020	321.0	Call / CUSIP: / Symbol: SPY 06/19/20 C	321.000				
06/19/20	6.000	0.00	06/18/20	28.00	...	-28.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 19 2020	328.5	Call / CUSIP: / Symbol: SPY 06/19/20 C	328.500				
06/19/20	6.000	0.00	06/17/20	64.00	...	-64.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 22 2020	315.0	Call / CUSIP: / Symbol: SPY 06/22/20 C	315.000				
06/23/20	6.000	283.88	06/22/20	0.00	...	283.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 22 2020	321.0	Call / CUSIP: / Symbol: SPY 06/22/20 C	321.000				
06/22/20	6.000	0.00	06/19/20	40.00	...	-40.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 24 2020	315.0	Call / CUSIP: / Symbol: SPY 06/24/20 C	315.000				
06/25/20	6.000	369.98	06/24/20	0.00	...	369.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 24 2020	321.0	Call / CUSIP: / Symbol: SPY 06/24/20 C	321.000				
06/24/20	6.000	0.00	06/22/20	64.00	...	-64.00	Option expiration

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SPDR S&P500 ETF TRUST SPY Jun 26 2020 308.0 Call / CUSIP: / Symbol: SPY 06/26/20 C 308.000							
06/29/20	6.000	387.97	06/26/20	0.00	...	387.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 26 2020 315.0 Call / CUSIP: / Symbol: SPY 06/26/20 C 315.000							
06/26/20	6.000	327.98	06/25/20	0.00	...	327.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 26 2020 323.0 Call / CUSIP: / Symbol: SPY 06/26/20 C 323.000							
06/26/20	6.000	0.00	06/24/20	40.00	...	-40.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 29 2020 309.0 Call / CUSIP: / Symbol: SPY 06/29/20 C 309.000							
06/30/20	6.000	277.88	06/29/20	0.00	...	277.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 29 2020 311.0 Call / CUSIP: / Symbol: SPY 06/29/20 C 311.000							
06/29/20	1.000	0.00	06/26/20	29.67	...	-29.67	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 29 2020 316.0 Call / CUSIP: / Symbol: SPY 06/29/20 C 316.000							
06/29/20	6.000	0.00	06/26/20	40.00	...	-40.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jul 01 2020 308.0 Call / CUSIP: / Symbol: SPY 07/01/20 C 308.000							
07/02/20	6.000	-1,586.02	07/01/20	0.00	...	-1,586.02	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 01 2020 315.0 Call / CUSIP: / Symbol: SPY 07/01/20 C 315.000							
07/01/20	6.000	8.00	06/29/20	40.00	...	-32.00	Option sale
SPDR S&P500 ETF TRUST SPY Jul 06 2020 318.0 Call / CUSIP: / Symbol: SPY 07/06/20 C 318.000							
07/07/20	6.000	217.88	07/06/20	0.00	...	217.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 06 2020 321.0 Call / CUSIP: / Symbol: SPY 07/06/20 C 321.000							
07/06/20	6.000	0.00	07/01/20	112.00	...	-112.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jul 08 2020 321.0 Call / CUSIP: / Symbol: SPY 07/08/20 C 321.000							
07/09/20	6.000	217.88	07/08/20	0.00	...	217.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 08 2020 325.0 Call / CUSIP: / Symbol: SPY 07/08/20 C 325.000							
07/08/20	6.000	0.00	07/06/20	52.00	...	-52.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jul 10 2020 321.0 Call / CUSIP: / Symbol: SPY 07/10/20 C 321.000							
07/10/20	1.000	0.33	07/08/20	54.67	...	-54.34	Option sale

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SPDR S&P500 ETF TRUST SPY Jul 10 2020 322.0 Call / CUSIP: / Symbol: SPY 07/10/20 C 322.000							
07/13/20	6.000	193.89	07/10/20	0.00	...	193.89	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 15 2020 320.0 Call / CUSIP: / Symbol: SPY 07/15/20 C 320.000							
07/13/20	1.000	281.32	07/08/20	125.67	...	155.65	Option sale
SPDR S&P500 ETF TRUST SPY Jul 20 2020 327.0 Call / CUSIP: / Symbol: SPY 07/20/20 C 327.000							
07/20/20	1.000	1.33	07/13/20	127.67	...	-126.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 31 2020 324.0 Call / CUSIP: / Symbol: SPY 07/31/20 C 324.000							
08/03/20	6.000	999.97	07/31/20	0.00	...	999.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 31 2020 327.0 Call / CUSIP: / Symbol: SPY 07/31/20 C 327.000							
07/31/20	1.000	1.33	07/24/20	97.67	...	-96.34	Option sale
SPDR S&P500 ETF TRUST SPY Apr 29 2020 278.0 Put / CUSIP: / Symbol: SPY 04/29/20 P 278.000							
04/29/20	1.000	0.00	04/28/20	33.67	...	-33.67	Option expiration
SPDR S&P500 ETF TRUST SPY Apr 29 2020 287.0 Put / CUSIP: / Symbol: SPY 04/29/20 P 287.000							
04/30/20	1.000	160.66	04/29/20	0.00	...	160.66	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 01 2020 282.0 Put / CUSIP: / Symbol: SPY 05/01/20 P 282.000							
05/01/20	1.000	24.33	04/29/20	41.67	...	-17.34	Option sale
SPDR S&P500 ETF TRUST SPY May 01 2020 283.0 Put / CUSIP: / Symbol: SPY 05/01/20 P 283.000							
05/01/20	4.000	477.31	04/30/20	118.67	...	358.64	Option sale
SPDR S&P500 ETF TRUST SPY May 08 2020 260.0 Put / CUSIP: / Symbol: SPY 05/08/20 P 260.000							
05/08/20	5.000	0.00	05/04/20	279.33	...	-279.33	Option expiration
SPDR S&P500 ETF TRUST SPY May 13 2020 277.0 Put / CUSIP: / Symbol: SPY 05/13/20 P 277.000							
05/13/20	5.000	46.66	05/11/20	88.33	...	-41.67	Option sale
SPDR S&P500 ETF TRUST SPY May 15 2020 262.0 Put / CUSIP: / Symbol: SPY 05/15/20 P 262.000							
05/15/20	5.000	0.00	05/14/20	98.33	...	-98.33	Option expiration
SPDR S&P500 ETF TRUST SPY May 15 2020 270.0 Put / CUSIP: / Symbol: SPY 05/15/20 P 270.000							
05/15/20	5.000	428.32	05/14/20	0.00	...	428.32	Short sale closed- option

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TD Ameritrade Clearing, Inc.

Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPDR S&P500 ETF TRUST SPY May 15 2020 276.0 Put / CUSIP: / Symbol: SPY 05/15/20 P 276.000							
05/15/20	5.000	1.66	05/15/20	68.33	...	-66.67	Option sale
SPDR S&P500 ETF TRUST SPY May 15 2020 280.0 Put / CUSIP: / Symbol: SPY 05/15/20 P 280.000							
05/18/20	5.000	271.57	05/15/20	0.00	...	271.57	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 18 2020 274.0 Put / CUSIP: / Symbol: SPY 05/18/20 P 274.000							
05/18/20	10.000	0.00	05/15/20	146.66	...	-146.66	Option expiration
SPDR S&P500 ETF TRUST SPY May 18 2020 280.0 Put / CUSIP: / Symbol: SPY 05/18/20 P 280.000							
05/19/20	10.000	713.13	05/18/20	0.00	...	713.13	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 18 2020 288.0 Put / CUSIP: / Symbol: SPY 05/18/20 P 288.000							
05/18/20	5.000	1.66	05/18/20	68.33	...	-66.67	Option sale
SPDR S&P500 ETF TRUST SPY May 18 2020 291.0 Put / CUSIP: / Symbol: SPY 05/18/20 P 291.000							
05/19/20	5.000	211.57	05/18/20	0.00	...	211.57	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 18 2020 294.0 Put / CUSIP: / Symbol: SPY 05/18/20 P 294.000							
05/19/20	5.000	111.58	05/18/20	0.00	...	111.58	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 20 2020 283.0 Put / CUSIP: / Symbol: SPY 05/20/20 P 283.000							
05/20/20	5.000	0.00	05/18/20	58.33	...	-58.33	Option expiration
SPDR S&P500 ETF TRUST SPY May 20 2020 291.0 Put / CUSIP: / Symbol: SPY 05/20/20 P 291.000							
05/21/20	5.000	303.32	05/20/20	0.00	...	303.32	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 20 2020 294.5 Put / CUSIP: / Symbol: SPY 05/20/20 P 294.500							
05/21/20	6.000	160.88	Various	0.00	...	160.88	Total of 3 transactions
SPDR S&P500 ETF TRUST SPY May 22 2020 285.0 Put / CUSIP: / Symbol: SPY 05/22/20 P 285.000							
05/22/20	6.000	0.00	05/20/20	88.00	...	-88.00	Option expiration
SPDR S&P500 ETF TRUST SPY May 22 2020 291.5 Put / CUSIP: / Symbol: SPY 05/22/20 P 291.500							
05/26/20	6.000	369.98	05/22/20	0.00	...	369.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 26 2020 284.0 Put / CUSIP: / Symbol: SPY 05/26/20 P 284.000							
05/26/20	6.000	0.00	05/22/20	82.00	...	-82.00	Option expiration

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SPDR S&P500 ETF TRUST SPY May 26 2020 291.0 Put / CUSIP: / Symbol: SPY 05/26/20 P 291.000							
05/27/20	6.000	409.88	05/26/20	0.00	...	409.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 26 2020 295.5 Put / CUSIP: / Symbol: SPY 05/26/20 P 295.500							
05/26/20	6.000	0.00	05/26/20	34.00	...	-34.00	Option expiration
SPDR S&P500 ETF TRUST SPY May 26 2020 299.0 Put / CUSIP: / Symbol: SPY 05/26/20 P 299.000							
05/26/20	6.000	151.99	05/26/20	0.00	...	151.99	Option expiration short position
SPDR S&P500 ETF TRUST SPY May 27 2020 294.0 Put / CUSIP: / Symbol: SPY 05/27/20 P 294.000							
05/27/20	6.000	0.00	05/27/20	46.00	...	-46.00	Option expiration
SPDR S&P500 ETF TRUST SPY May 27 2020 297.5 Put / CUSIP: / Symbol: SPY 05/27/20 P 297.500							
05/28/20	6.000	169.89	05/27/20	0.00	...	169.89	Short sale closed- option
SPDR S&P500 ETF TRUST SPY May 29 2020 289.0 Put / CUSIP: / Symbol: SPY 05/29/20 P 289.000							
05/29/20	6.000	1.99	05/27/20	82.00	...	-80.01	Option sale
SPDR S&P500 ETF TRUST SPY May 29 2020 297.0 Put / CUSIP: / Symbol: SPY 05/29/20 P 297.000							
06/01/20	6.000	375.98	05/29/20	0.00	...	375.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 01 2020 287.0 Put / CUSIP: / Symbol: SPY 06/01/20 P 287.000							
06/01/20	6.000	0.00	05/29/20	94.00	...	-94.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 01 2020 300.0 Put / CUSIP: / Symbol: SPY 06/01/20 P 300.000							
06/02/20	6.000	352.47	06/01/20	0.00	...	352.47	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 03 2020 293.0 Put / CUSIP: / Symbol: SPY 06/03/20 P 293.000							
06/03/20	6.000	0.00	06/01/20	100.00	...	-100.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 03 2020 300.0 Put / CUSIP: / Symbol: SPY 06/03/20 P 300.000							
06/04/20	6.000	391.88	06/03/20	0.00	...	391.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 05 2020 298.0 Put / CUSIP: / Symbol: SPY 06/05/20 P 298.000							
06/05/20	6.000	0.00	06/03/20	106.00	...	-106.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 05 2020 305.0 Put / CUSIP: / Symbol: SPY 06/05/20 P 305.000							
06/08/20	6.000	427.88	06/05/20	0.00	...	427.88	Short sale closed- option

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2020 1099-B* OMB No. 1545-0715

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SPDR S&P500 ETF TRUST SPY Jun 08 2020 308.0 Put / CUSIP: / Symbol: SPY 06/08/20 P 308.000							
06/08/20	6.000	0.00	06/05/20	88.00	...	-88.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 08 2020 315.0 Put / CUSIP: / Symbol: SPY 06/08/20 P 315.000							
06/09/20	6.000	469.88	06/08/20	0.00	...	469.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 10 2020 307.0 Put / CUSIP: / Symbol: SPY 06/10/20 P 307.000							
06/10/20	6.000	0.00	06/08/20	106.00	...	-106.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 10 2020 315.0 Put / CUSIP: / Symbol: SPY 06/10/20 P 315.000							
06/11/20	6.000	445.88	06/10/20	0.00	...	445.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 12 2020 269.0 Put / CUSIP: / Symbol: SPY 06/12/20 P 269.000							
06/12/20	6.000	0.00	06/11/20	94.00	...	-94.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 12 2020 294.0 Put / CUSIP: / Symbol: SPY 06/12/20 P 294.000							
06/11/20	6.000	703.97	06/11/20	94.00	...	609.97	Option sale
SPDR S&P500 ETF TRUST SPY Jun 12 2020 299.0 Put / CUSIP: / Symbol: SPY 06/12/20 P 299.000							
06/15/20	6.000	397.88	06/12/20	0.00	...	397.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 15 2020 290.0 Put / CUSIP: / Symbol: SPY 06/15/20 P 290.000							
06/15/20	6.000	0.00	06/12/20	196.00	...	-196.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 15 2020 294.0 Put / CUSIP: / Symbol: SPY 06/15/20 P 294.000							
06/16/20	6.000	357.99	06/15/20	0.00	...	357.99	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 17 2020 282.0 Put / CUSIP: / Symbol: SPY 06/17/20 P 282.000							
06/17/20	6.000	0.00	06/15/20	142.00	...	-142.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 17 2020 291.0 Put / CUSIP: / Symbol: SPY 06/17/20 P 291.000							
06/17/20	6.000	0.00	06/16/20	112.00	...	-112.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 17 2020 295.0 Put / CUSIP: / Symbol: SPY 06/17/20 P 295.000							
06/17/20	6.000	717.97	06/16/20	0.00	...	717.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 17 2020 301.0 Put / CUSIP: / Symbol: SPY 06/17/20 P 301.000							
06/16/20	6.000	505.98	06/16/20	130.00	...	375.98	Option sale

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2020 1099-B* OMB No. 1545-0715

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02/11/2021

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SPDR S&P500 ETF TRUST SPY Jun 17 2020 309.0 Put / CUSIP: / Symbol: SPY 06/17/20 P 309.000							
06/18/20	6.000	531.98	06/17/20	0.00	...	531.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 19 2020 299.0 Put / CUSIP: / Symbol: SPY 06/19/20 P 299.000							
06/19/20	6.000	25.99	06/17/20	190.00	...	-164.01	Option sale
SPDR S&P500 ETF TRUST SPY Jun 19 2020 308.0 Put / CUSIP: / Symbol: SPY 06/19/20 P 308.000							
06/22/20	6.000	843.97	06/19/20	0.00	...	843.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 22 2020 288.0 Put / CUSIP: / Symbol: SPY 06/22/20 P 288.000							
06/19/20	6.000	49.99	06/19/20	88.00	...	-38.01	Option sale
SPDR S&P500 ETF TRUST SPY Jun 22 2020 291.0 Put / CUSIP: / Symbol: SPY 06/22/20 P 291.000							
06/22/20	6.000	0.00	06/19/20	94.00	...	-94.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 22 2020 299.0 Put / CUSIP: / Symbol: SPY 06/22/20 P 299.000							
06/19/20	6.000	451.98	06/19/20	88.00	...	363.98	Option sale
SPDR S&P500 ETF TRUST SPY Jun 22 2020 307.0 Put / CUSIP: / Symbol: SPY 06/22/20 P 307.000							
06/23/20	6.000	399.98	06/22/20	0.00	...	399.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 24 2020 297.0 Put / CUSIP: / Symbol: SPY 06/24/20 P 297.000							
06/24/20	6.000	37.99	06/22/20	130.00	...	-92.01	Option sale
SPDR S&P500 ETF TRUST SPY Jun 24 2020 305.0 Put / CUSIP: / Symbol: SPY 06/24/20 P 305.000							
06/24/20	6.000	601.98	06/24/20	0.00	...	601.98	Option expiration short position
SPDR S&P500 ETF TRUST SPY Jun 26 2020 285.0 Put / CUSIP: / Symbol: SPY 06/26/20 P 285.000							
06/26/20	6.000	0.00	06/24/20	112.00	...	-112.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 26 2020 289.0 Put / CUSIP: / Symbol: SPY 06/26/20 P 289.000							
06/26/20	6.000	1.99	06/25/20	130.00	...	-128.01	Option sale
SPDR S&P500 ETF TRUST SPY Jun 26 2020 296.0 Put / CUSIP: / Symbol: SPY 06/26/20 P 296.000							
06/26/20	6.000	501.98	06/25/20	0.00	...	501.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jun 26 2020 302.0 Put / CUSIP: / Symbol: SPY 06/26/20 P 302.000							
06/29/20	6.000	-500.02	06/26/20	0.00	...	-500.02	Short sale closed- option

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SPDR S&P500 ETF TRUST SPY Jun 29 2020 288.0 Put / CUSIP: / Symbol: SPY 06/29/20 P 288.000							
06/29/20	6.000	0.00	06/26/20	100.00	...	-100.00	Option expiration
SPDR S&P500 ETF TRUST SPY Jun 29 2020 295.0 Put / CUSIP: / Symbol: SPY 06/29/20 P 295.000							
06/30/20	6.000	393.98	06/29/20	0.00	...	393.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 01 2020 294.0 Put / CUSIP: / Symbol: SPY 07/01/20 P 294.000							
07/01/20	1.000	0.00	06/29/20	87.67	...	-87.67	Option expiration
SPDR S&P500 ETF TRUST SPY Jul 01 2020 295.0 Put / CUSIP: / Symbol: SPY 07/01/20 P 295.000							
06/30/20	1.000	-6.34	06/29/20	0.00	6.34 W	0.00	Short sale closed- option
07/01/20	6.000	523.64	06/30/20	0.00	...	523.64	Short sale closed- option
	Security total:	517.30		0.00	6.34 W	523.64	
SPDR S&P500 ETF TRUST SPY Jul 01 2020 303.0 Put / CUSIP: / Symbol: SPY 07/01/20 P 303.000							
07/02/20	6.000	417.98	07/01/20	0.00	...	417.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 06 2020 305.0 Put / CUSIP: / Symbol: SPY 07/06/20 P 305.000							
07/07/20	6.000	1,009.86	07/06/20	0.00	...	1,009.86	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 06 2020 306.0 Put / CUSIP: / Symbol: SPY 07/06/20 P 306.000							
07/06/20	1.000	0.00	07/01/20	199.67	...	-199.67	Option expiration
SPDR S&P500 ETF TRUST SPY Jul 08 2020 312.0 Put / CUSIP: / Symbol: SPY 07/08/20 P 312.000							
07/09/20	6.000	507.98	07/08/20	0.00	...	507.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 08 2020 313.0 Put / CUSIP: / Symbol: SPY 07/08/20 P 313.000							
07/08/20	1.000	17.33	07/06/20	114.67	...	-97.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 10 2020 311.0 Put / CUSIP: / Symbol: SPY 07/10/20 P 311.000							
07/13/20	6.000	579.98	07/10/20	0.00	...	579.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 10 2020 312.0 Put / CUSIP: / Symbol: SPY 07/10/20 P 312.000							
07/10/20	1.000	13.33	07/08/20	130.67	...	-117.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 13 2020 312.0 Put / CUSIP: / Symbol: SPY 07/13/20 P 312.000							
07/14/20	6.000	469.88	07/13/20	0.00	...	469.88	Short sale closed- option

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SPDR S&P500 ETF TRUST SPY Jul 13 2020 313.0 Put / CUSIP: / Symbol: SPY 07/13/20 P 313.000							
07/13/20	1.000	1.33	07/10/20	102.67	...	-101.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 15 2020 308.0 Put / CUSIP: / Symbol: SPY 07/15/20 P 308.000							
07/15/20	1.000	0.00	07/13/20	83.67	...	-83.67	Option expiration
SPDR S&P500 ETF TRUST SPY Jul 15 2020 309.0 Put / CUSIP: / Symbol: SPY 07/15/20 P 309.000							
07/14/20	6.000	1,131.97	07/13/20	0.00	...	1,131.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 15 2020 310.0 Put / CUSIP: / Symbol: SPY 07/15/20 P 310.000							
07/13/20	1.000	22.33	07/08/20	237.67	...	-215.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 15 2020 316.0 Put / CUSIP: / Symbol: SPY 07/15/20 P 316.000							
07/16/20	6.000	417.98	07/15/20	0.00	...	417.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 15 2020 317.0 Put / CUSIP: / Symbol: SPY 07/15/20 P 317.000							
07/13/20	1.000	399.32	07/13/20	97.67	...	301.65	Option sale
SPDR S&P500 ETF TRUST SPY Jul 17 2020 318.0 Put / CUSIP: / Symbol: SPY 07/17/20 P 318.000							
07/20/20	6.000	735.97	07/17/20	0.00	...	735.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 17 2020 319.0 Put / CUSIP: / Symbol: SPY 07/17/20 P 319.000							
07/17/20	1.000	17.33	07/15/20	160.67	...	-143.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 20 2020 316.0 Put / CUSIP: / Symbol: SPY 07/20/20 P 316.000							
07/21/20	6.000	1,161.96	07/20/20	0.00	...	1,161.96	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 20 2020 317.0 Put / CUSIP: / Symbol: SPY 07/20/20 P 317.000							
07/20/20	1.000	12.33	07/13/20	229.67	...	-217.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 22 2020 315.0 Put / CUSIP: / Symbol: SPY 07/22/20 P 315.000							
07/22/20	6.000	343.88	07/21/20	0.00	...	343.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 22 2020 316.0 Put / CUSIP: / Symbol: SPY 07/22/20 P 316.000							
07/21/20	1.000	4.33	07/20/20	78.67	...	-74.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 24 2020 317.0 Put / CUSIP: / Symbol: SPY 07/24/20 P 317.000							
07/24/20	6.000	897.97	07/23/20	0.00	...	897.97	Short sale closed- option

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TD Ameritrade Clearing, Inc.

Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR S&P500 ETF TRUST SPY Jul 24 2020 318.0 Put / CUSIP: / Symbol: SPY 07/24/20 P 318.000							
07/23/20	1.000	12.33	07/20/20	189.67	...	-177.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 24 2020 323.0 Put / CUSIP: / Symbol: SPY 07/24/20 P 323.000							
07/24/20	1.000	317.32	07/21/20	123.67	...	193.65	Option sale
SPDR S&P500 ETF TRUST SPY Jul 29 2020 317.0 Put / CUSIP: / Symbol: SPY 07/29/20 P 317.000							
07/30/20	6.000	349.88	07/29/20	0.00	...	349.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Jul 29 2020 318.0 Put / CUSIP: / Symbol: SPY 07/29/20 P 318.000							
07/29/20	1.000	6.33	07/27/20	81.67	...	-75.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 31 2020 316.0 Put / CUSIP: / Symbol: SPY 07/31/20 P 316.000							
07/31/20	1.000	6.33	07/24/20	219.67	...	-213.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 03 2020 316.0 Put / CUSIP: / Symbol: SPY 08/03/20 P 316.000							
08/03/20	6.000	465.98	07/31/20	0.00	...	465.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 03 2020 317.0 Put / CUSIP: / Symbol: SPY 08/03/20 P 317.000							
07/31/20	1.000	7.33	07/29/20	102.67	...	-95.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 05 2020 324.0 Put / CUSIP: / Symbol: SPY 08/05/20 P 324.000							
08/06/20	6.000	367.88	08/05/20	0.00	...	367.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 05 2020 325.0 Put / CUSIP: / Symbol: SPY 08/05/20 P 325.000							
08/05/20	1.000	0.33	08/03/20	82.67	...	-82.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 07 2020 317.0 Put / CUSIP: / Symbol: SPY 08/07/20 P 317.000							
08/07/20	1.000	0.00	07/31/20	219.67	...	-219.67	Option expiration
SPDR S&P500 ETF TRUST SPY Aug 07 2020 327.0 Put / CUSIP: / Symbol: SPY 08/07/20 P 327.000							
08/10/20	6.000	283.88	08/07/20	0.00	...	283.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 07 2020 328.0 Put / CUSIP: / Symbol: SPY 08/07/20 P 328.000							
08/07/20	1.000	4.33	08/05/20	69.67	...	-65.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 12 2020 327.0 Put / CUSIP: / Symbol: SPY 08/12/20 P 327.000							
08/12/20	6.000	411.98	08/11/20	0.00	...	411.98	Short sale closed- option

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Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR S&P500 ETF TRUST SPY Aug 12 2020 328.0 Put / CUSIP: / Symbol: SPY 08/12/20 P 328.000							
08/11/20	1.000	6.33	08/07/20	89.67	...	-83.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 14 2020 330.0 Put / CUSIP: / Symbol: SPY 08/14/20 P 330.000							
08/14/20	6.000	945.97	08/13/20	0.00	...	945.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 14 2020 331.0 Put / CUSIP: / Symbol: SPY 08/14/20 P 331.000							
08/13/20	1.000	20.33	08/07/20	198.67	...	-178.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 17 2020 332.0 Put / CUSIP: / Symbol: SPY 08/17/20 P 332.000							
08/18/20	6.000	751.87	08/17/20	0.00	...	751.87	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 17 2020 333.0 Put / CUSIP: / Symbol: SPY 08/17/20 P 333.000							
08/17/20	1.000	4.33	08/11/20	154.67	...	-150.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 19 2020 334.0 Put / CUSIP: / Symbol: SPY 08/19/20 P 334.000							
08/19/20	6.000	807.97	08/18/20	0.00	...	807.97	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 19 2020 335.0 Put / CUSIP: / Symbol: SPY 08/19/20 P 335.000							
08/18/20	1.000	23.33	08/13/20	180.67	...	-157.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 21 2020 332.0 Put / CUSIP: / Symbol: SPY 08/21/20 P 332.000							
08/24/20	6.000	417.98	08/21/20	0.00	...	417.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 21 2020 333.0 Put / CUSIP: / Symbol: SPY 08/21/20 P 333.000							
08/21/20	1.000	6.33	08/17/20	93.67	...	-87.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 24 2020 335.0 Put / CUSIP: / Symbol: SPY 08/24/20 P 335.000							
08/25/20	6.000	631.88	08/24/20	0.00	...	631.88	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Aug 24 2020 336.0 Put / CUSIP: / Symbol: SPY 08/24/20 P 336.000							
08/24/20	1.000	1.33	08/18/20	132.67	...	-131.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 31 2020 338.0 Put / CUSIP: / Symbol: SPY 08/31/20 P 338.000							
08/31/20	6.000	835.97	08/31/20	0.00	...	835.97	Option expiration short position
SPDR S&P500 ETF TRUST SPY Aug 31 2020 339.0 Put / CUSIP: / Symbol: SPY 08/31/20 P 339.000							
08/28/20	1.000	17.33	08/24/20	164.67	...	-147.34	Option sale

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TD Ameritrade Clearing, Inc.

Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

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SPDR S&P500 ETF TRUST SPY Sep 02 2020 345.0 Put / CUSIP: / Symbol: SPY 09/02/20 P 345.000							
09/02/20	6.000	807.98	09/01/20	0.00	...	807.98	Short sale closed- option
SPDR S&P500 ETF TRUST SPY Sep 02 2020 346.0 Put / CUSIP: / Symbol: SPY 09/02/20 P 346.000							
09/01/20	1.000	14.33	08/28/20	174.67	...	-160.34	Option sale
PROSHARES TRUST SQQQ Sep 25 2020 22.5 Put / CUSIP: / Symbol: SQQQ 09/25/20 P 22.500							
09/22/20	20.000	1,146.25	09/21/20	0.00	...	1,146.25	Short sale closed- option
PROSHARES TRUST SQQQ Sep 25 2020 25.5 Put / CUSIP: / Symbol: SQQQ 09/25/20 P 25.500							
09/18/20	1.000	65.33	09/14/20	222.67	...	-157.34	Option sale
PROSHARES TRUST SQQQ Sep 25 2020 26.0 Put / CUSIP: / Symbol: SQQQ 09/25/20 P 26.000							
09/25/20	10.000	153.35	09/25/20	166.64	...	-13.29	Option sale
09/28/20	20.000	713.32	09/25/20	0.00	...	713.32	Short sale closed- option
	Security total:	866.67		166.64	...	700.03	
PROSHARES TRUST SQQQ Sep 25 2020 28.5 Put / CUSIP: / Symbol: SQQQ 09/25/20 P 28.500							
09/21/20	1.000	156.33	09/18/20	225.67	...	-69.34	Option sale
PROSHARES TRUST SQQQ Sep 25 2020 29.0 Put / CUSIP: / Symbol: SQQQ 09/25/20 P 29.000							
09/25/20	1.000	257.33	09/21/20	194.67	...	62.66	Option sale
PROSHARES TRUST SQQQ Oct 02 2020 24.0 Put / CUSIP: / Symbol: SQQQ 10/02/20 P 24.000							
10/05/20	50.000	2,115.95	10/02/20	0.00	...	2,115.95	Short sale closed- option
PROSHARES TRUST SQQQ Oct 02 2020 26.0 Put / CUSIP: / Symbol: SQQQ 10/02/20 P 26.000							
10/02/20	3.000	478.00	09/25/20	406.99	...	71.01	Option sale
PROSHARES TRUST SQQQ Oct 16 2020 21.0 Put / CUSIP: / Symbol: SQQQ 10/16/20 P 21.000							
10/19/20	60.000	-1,039.85	10/16/20	0.00	...	-1,039.85	Short sale closed- option
PROSHARES TRUST SQQQ Oct 16 2020 23.0 Put / CUSIP: / Symbol: SQQQ 10/16/20 P 23.000							
10/16/20	4.000	1,085.31	10/07/20	494.66	...	590.65	Option sale
PROSHARES TRUST SQQQ Oct 30 2020 20.0 Put / CUSIP: / Symbol: SQQQ 10/30/20 P 20.000							
10/29/20	20.000	546.39	10/28/20	0.00	...	546.39	Short sale closed- option

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Account 454939987

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROSHARES TRUST SQQQ Oct 30 2020 21.0 Put / CUSIP: / Symbol: SQQQ 10/30/20 P 21.000 10/30/20	1.000	0.00	10/23/20	67.66	...	-67.66	Option expiration
PROSHARES TRUST SQQQ Nov 13 2020 19.0 Put / CUSIP: / Symbol: SQQQ 11/13/20 P 19.000 11/16/20	100.000	1,531.96	11/13/20	0.00	...	1,531.96	Short sale closed- option
PROSHARES TRUST SQQQ Nov 13 2020 20.5 Put / CUSIP: / Symbol: SQQQ 11/13/20 P 20.500 11/13/20	4.000	357.32	11/11/20	358.66	...	-1.34	Option sale
PROSHARES TRUST SQQQ Dec 04 2020 18.5 Put / CUSIP: / Symbol: SQQQ 12/04/20 P 18.500 12/04/20	2.000	366.66	11/25/20	161.33	...	205.33	Option sale
PROSHARES TRUST SQQQ Dec 18 2020 16.0 Put / CUSIP: / Symbol: SQQQ 12/18/20 P 16.000 12/21/20	100.000	366.96	12/18/20	0.00	...	366.96	Short sale closed- option
PROSHARES TRUST SQQQ Dec 18 2020 17.5 Put / CUSIP: / Symbol: SQQQ 12/18/20 P 17.500 12/18/20	2.000	296.66	12/11/20	179.33	...	117.33	Option sale
PROSHARES TRUST TQQQ Oct 09 2020 117.5 Put / CUSIP: / Symbol: TQQQ 10/09/20 P 117.500 10/08/20	9.000	2,234.97	10/07/20	0.00	...	2,234.97	Short sale closed- option
PROSHARES TRUST TQQQ Oct 09 2020 123.0 Put / CUSIP: / Symbol: TQQQ 10/09/20 P 123.000 10/07/20	1.000	53.34	10/02/20	464.66	...	-411.32	Option sale
PROSHARES TRUST TQQQ Oct 23 2020 137.5 Put / CUSIP: / Symbol: TQQQ 10/23/20 P 137.500 10/26/20	10.000	2,616.64	10/23/20	0.00	...	2,616.64	Short sale closed- option
PROSHARES TRUST TQQQ Oct 23 2020 144.0 Put / CUSIP: / Symbol: TQQQ 10/23/20 P 144.000 10/23/20	1.000	597.33	10/16/20	493.66	...	103.67	Option sale
PROSHARES TRUST TQQQ Nov 27 2020 139.0 Put / CUSIP: / Symbol: TQQQ 11/27/20 P 139.000 11/27/20	15.000	2,964.98	11/25/20	0.00	...	2,964.98	Short sale closed- option
PROSHARES TRUST TQQQ Nov 27 2020 149.0 Put / CUSIP: / Symbol: TQQQ 11/27/20 P 149.000 11/25/20	1.000	75.34	11/20/20	621.66	...	-546.32	Option sale
PROSHARES TRUST TQQQ Dec 04 2020 145.0 Put / CUSIP: / Symbol: TQQQ 12/04/20 P 145.000 12/03/20	12.000	2,587.98	12/02/20	0.00	...	2,587.98	Short sale closed- option

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2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES TRUST TQQQ Dec 04 2020 154.0 Put / CUSIP: / Symbol: TQQQ 12/04/20 P 154.000							
12/04/20	1.000	0.00	11/25/20	565.66	...	-565.66	Option expiration
TESLA INC TSLA Sep 11 2020 330.0 Put / CUSIP: / Symbol: TSLA 09/11/20 P 330.000							
09/11/20	1.000	0.00	09/08/20	830.67	...	-830.67	Option expiration
TESLA INC TSLA Sep 11 2020 375.0 Put / CUSIP: / Symbol: TSLA 09/11/20 P 375.000							
09/08/20	1.000	3,369.26	09/02/20	797.67	...	2,571.59	Option sale
Totals :		5,181,204.87		5,138,634.78	26,731.32 W	69,301.41	

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Account 454939987

Detail for Dividends and Distributions

2020

02/11/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SPDR S&P500 ETF TRUST TR UNIT ETF	78462F103 SPY		10/30/20	133.92	Qualified dividend	03
				133.92	Total Dividends & distributions	

TD Ameritrade Clearing, Inc.

Account 454939987

Detail for Interest Income

2020

02/11/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		04/30/20	0.07	Credit interest	
		05/29/20	0.62	Credit interest	
		06/30/20	1.07	Credit interest	
		07/31/20	1.41	Credit interest	
		08/31/20	0.47	Credit interest	
		09/30/20	1.53	Credit interest	
		10/30/20	1.98	Credit interest	
		11/30/20	1.67	Credit interest	
		12/31/20	2.21	Credit interest	
			11.03	Total Interest	
			11.03	Total Interest	

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Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

02/11/2021

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ISHARES SILVER TRUST SLV Aug 28 2020 23.0	SLV 08/28/20 P 23.000	08/28/20	-40.00	728.56	Realized profit/loss	
ISHARES SILVER TRUST SLV Aug 28 2020 24.5	SLV 08/28/20 P 24.500	08/28/20	1.00	-58.34	Realized profit/loss	
ISHARES SILVER TRUST SLV Aug 28 2020 25.0	SLV 08/28/20 P 25.000	08/28/20	1.00	-68.34	Realized profit/loss	
ISHARES SILVER TRUST SLV Sep 04 2020 23.5	SLV 09/04/20 P 23.500	09/08/20	-40.00	772.54	Realized profit/loss	
ISHARES SILVER TRUST SLV Sep 04 2020 24.0	SLV 09/04/20 P 24.000	09/08/20	-40.00	1,252.53	Realized profit/loss	
ISHARES SILVER TRUST SLV Sep 04 2020 30.0	SLV 09/04/20 P 30.000	09/08/20	1.00	36.65	Realized profit/loss	
ISHARES SILVER TRUST SLV Oct 02 2020 20.5	SLV 10/02/20 P 20.500	10/02/20	-50.00	1,016.69	Realized profit/loss	
ISHARES SILVER TRUST SLV Oct 02 2020 21.5	SLV 10/02/20 P 21.500	10/02/20	4.00	-230.66	Realized profit/loss	
ISHARES SILVER TRUST SLV Oct 16 2020 21.0	SLV 10/16/20 P 21.000	10/19/20	-60.00	1,159.17	Realized profit/loss	
ISHARES SILVER TRUST SLV Oct 16 2020 22.5	SLV 10/16/20 P 22.500	10/16/20	3.00	-189.99	Realized profit/loss	
PROSHARES TRUST II UVXY Sep 11 2020 20.0	UVXY 09/11/20 P 20.000	09/04/20	-50.00	3,383.12	Realized profit/loss	
PROSHARES TRUST II UVXY Sep 11 2020 23.0	UVXY 09/11/20 P 23.000	09/08/20	3.00	-640.00	Realized profit/loss	
PROSHARES TRUST II UVXY Sep 18 2020 19.0	UVXY 09/18/20 P 19.000	09/21/20	-8.00	354.50	Realized profit/loss	
		09/21/20	-7.00	317.19	Realized profit/loss	
				671.69	Total Realized profit/loss	

TD Ameritrade Clearing, Inc.

Account 454939987

Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

02/11/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Quantity</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
PROSHARES TRUST II UVXY Sep 18 2020 21.0	UVXY 09/18/20 P 21.000	09/21/20	1.00	7.66	Realized profit/loss	
PROSHARES TRUST II UVXY Nov 20 2020 13.5	UVXY 11/20/20 P 13.500	11/23/20	5.00	-71.66	Realized profit/loss	
PROSHARES TRUST II UVXY Nov 20 2020 12.0	UVXY 11/20/20 P 12.000	11/23/20	-100.00	2,831.93	Realized profit/loss	
PROSHARES TRUST II UVXY Dec 31 2020 10.5	UVXY 12/31/20 P 10.500	12/31/20	-50.00	965.98	Realized profit/loss	
PROSHARES TRUST II UVXY Dec 31 2020 11.5	UVXY 12/31/20 P 11.500	12/31/20	3.00	-93.99	Realized profit/loss	
					11,473.54	Total Realized profit/loss
					11,473.54	Total Aggregate profit or (loss) on contracts

TD Ameritrade Clearing, Inc.

Account 454939987

Fees and Expenses

2020

02/11/2021

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		07/31/20	-40.30	Margin interest paid	
		08/31/20	-5.30	Margin interest paid	
			-45.60	Total Margin interest paid	
			-45.60	Total Margin interest paid	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2019.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2020 in 2021.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.