

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 714603693	Statement Date: 02/14/2021 Document ID: 91YU CE2 207R	2020
	Naga prathyusha Ambatipudi 119 Towne St 676 Stamford, CT 06902 RECIPIENT'S TIN: XXX-XX-1008		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.61		2- Royalties	0.00	
1b- Qualified dividends	0.01		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.09				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	62,135.09	64,622.08	0.00	9,008.90	6,521.91
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	12.57	13.18	0.00	0.00	-0.61
	Total Short-term	62,147.66	64,635.26	0.00	9,008.90	6,521.30
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.01	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.01	0.00	0.00	0.00	0.00
	Grand total	62,147.67	64,635.26	0.00	9,008.90	6,521.30
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 714603693

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.01
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AA 06/05/2020 PUT \$9.00 / CUSIP: / Symbol:							
06/02/20	1.000	4.98	05/29/20	30.00	...	-25.02	Option sale to close-put ²⁵
AAL 05/29/2020 CALL \$11.00 / CUSIP: / Symbol:							
05/27/20	1.000	99.98	05/11/20	73.00	...	26.98	Option sale to close-call ²⁵
AAL 06/26/2020 PUT \$13.50 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	41.98	06/22/20	32.00	...	9.98	1 of 2 - Option sale to close-put ²⁵
	2.000	83.98	06/22/20	64.00	...	19.98	2 of 2 - Option sale to close-put ²⁵
06/23/20	3.000	125.96	Various	96.00	...	29.96	Total of 2 transactions

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Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/26/2020 PUT \$14.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/19/20	28.00	...	11.98	1 of 2 - Option sale to close-put ²⁵
	1.000	39.98	06/19/20	28.00	...	11.98	2 of 2 - Option sale to close-put ²⁵
06/22/20	2.000	79.96	Various	56.00	...	23.96	Total of 2 transactions
AAPL 06/26/2020 CALL \$355.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	564.97	06/19/20	545.02	...	19.95	1 of 2 - Option sale to close-call ²⁵
	1.000	564.97	06/19/20	385.02	...	179.95	2 of 2 - Option sale to close-call ²⁵
06/22/20	2.000	1,129.94	Various	930.04	...	199.90	Total of 2 transactions
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	499.98	06/16/20	675.00	175.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	499.98	06/16/20	540.00	40.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/22/20	2.000	999.96	Various	1,215.00	215.04 W	0.00	Total of 2 transactions
	Security total:	2,129.90		2,145.04	215.04 W	199.90	
AAPL 06/26/2020 CALL \$360.00 / CUSIP: / Symbol:							
06/23/20	1.000	849.97	06/22/20	391.00	...	458.97	Option sale to close-call ²⁵
AAPL 06/26/2020 CALL \$375.00 / CUSIP: / Symbol:							
12 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	06/25/20	307.00	...	-305.00	1 of 12 - Option sale to close-call ²⁵
	2.000	3.99	06/25/20	44.00	...	-40.01	2 of 12 - Option sale to close-call ²⁵
	2.000	3.99	06/25/20	42.01	...	-38.02	3 of 12 - Option sale to close-call ²⁵
	1.000	2.00	06/25/20	23.01	...	-21.01	4 of 12 - Option sale to close-call ²⁵
	1.000	2.00	06/25/20	23.00	...	-21.00	5 of 12 - Option sale to close-call ²⁵
	1.000	2.00	06/25/20	22.00	...	-20.00	6 of 12 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/26/2020 CALL \$375.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.98	06/25/20	21.01	...	-19.03	7 of 12 - Option sale to close-call ²⁵
	1.000	1.98	06/25/20	21.00	...	-19.02	8 of 12 - Option sale to close-call ²⁵
	1.000	1.99	06/25/20	21.00	...	-19.01	9 of 12 - Option sale to close-call ²⁵
	1.000	2.00	06/25/20	21.00	...	-19.00	10 of 12 - Option sale to close-call ²⁵
	1.000	1.98	06/25/20	19.01	...	-17.03	11 of 12 - Option sale to close-call ²⁵
	1.000	1.98	06/25/20	19.00	...	-17.02	12 of 12 - Option sale to close-call ²⁵
06/26/20	14.000	27.89	Various	583.04	...	-555.15	Total of 12 transactions
	7 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	4.00	06/23/20	299.00	295.00 W	0.00	1 of 7 - Option sale to close-call ²⁵
	4.000	15.99	06/25/20	48.00	16.01 W	-16.00	2 of 7 - Option sale to close-call ²⁵
	1.000	4.00	06/25/20	14.00	10.00 W	0.00	3 of 7 - Option sale to close-call ²⁵
	2.000	7.99	06/25/20	28.00	20.01 W	0.00	4 of 7 - Option sale to close-call ²⁵
	3.000	11.99	06/25/20	39.00	27.01 W	0.00	5 of 7 - Option sale to close-call ²⁵
	2.000	8.00	06/25/20	28.00	20.00 W	0.00	6 of 7 - Option sale to close-call ²⁵
	3.000	11.99	06/25/20	42.00	30.01 W	0.00	7 of 7 - Option sale to close-call ²⁵
06/26/20	16.000	63.96	Various	498.00	418.04 W	-16.00	Total of 7 transactions
	Security total:	91.85		1,081.04	418.04 W	-571.15	
AAPL 07/17/2020 CALL \$400.00 / CUSIP: / Symbol:							
06/18/20	1.000	123.98	06/17/20	120.00	...	3.98	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$410.00 / CUSIP: / Symbol:							
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	149.99	07/08/20	94.00	...	55.99	1 of 2 - Option sale to close-call ²⁵
	1.000	149.99	07/10/20	59.00	...	90.99	2 of 2 - Option sale to close-call ²⁵
07/13/20	2.000	299.98	Various	153.00	...	146.98	Total of 2 transactions
AAPL 07/24/2020 CALL \$425.00 / CUSIP: / Symbol:							
07/20/20	1.000	14.98	07/16/20	97.00	...	-82.02	Option sale to close-call ²⁵

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Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/24/2020 PUT \$317.50 / CUSIP: / Symbol:							
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	2.99	07/14/20	55.00	...	-52.01	1 of 3 - Option sale to close-put ²⁵
	1.000	3.00	07/14/20	32.00	...	-29.00	2 of 3 - Option sale to close-put ²⁵
	1.000	2.99	07/14/20	25.00	...	-22.01	3 of 3 - Option sale to close-put ²⁵
07/20/20	3.000	8.98	Various	112.00	...	-103.02	Total of 3 transactions
AAPL 09/04/2020 CALL \$600.00 / CUSIP: / Symbol:							
08/25/20	1.000	171.98	08/10/20	80.00	...	91.98	Option sale to close-call ²⁵
AAPL 09/04/2020 PUT \$412.50 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	81.98	08/25/20	70.00	...	11.98	1 of 2 - Option sale to close-put ²⁵
	1.000	81.98	08/25/20	48.00	...	33.98	2 of 2 - Option sale to close-put ²⁵
08/27/20	2.000	163.96	Various	118.00	...	45.96	Total of 2 transactions
AAPL 09/11/2020 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/31/20	59.00	...	-59.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/31/20	54.00	...	-54.00	2 of 2 - Option expiration- long call
09/11/20	2.000	0.00	Various	113.00	...	-113.00	Total of 2 transactions
AAPL 09/25/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/25/20	1.000	99.98	09/23/20	76.00	...	23.98	Option sale to close-call ²⁵
AAPL 09/25/2020 PUT \$106.25 / CUSIP: / Symbol:							
09/23/20	1.000	131.98	09/22/20	203.02	...	-71.04	Option sale to close-put ²⁵
09/23/20	1.000	141.98	09/18/20	285.00	143.02 W	0.00	Option sale to close-put ²⁵
	Security total:	273.96		488.02	143.02 W	-71.04	
AAPL 10/16/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/16/20	1.000	3.98	08/31/20	69.00	...	-65.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$117.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.98	10/29/20	476.02	...	-475.04	Option sale to close-call ²⁵
10/30/20	1.000	0.98	10/29/20	267.00	266.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1.96		743.02	266.02 W	-475.04	
AAPL 11/06/2020 CALL \$115.00 / CUSIP: / Symbol:							
11/04/20	1.000	124.98	11/03/20	70.00	...	54.98	Option sale to close-call ²⁵
11/05/20	1.000	400.98	11/04/20	150.00	...	250.98	Option sale to close-call ²⁵
	Security total:	525.96		220.00	...	305.96	
AAPL 11/20/2020 CALL \$120.00 / CUSIP: / Symbol:							
11/20/20	1.000	7.98	11/19/20	33.00	...	-25.02	Option sale to close-call ²⁵
ABT 05/29/2020 CALL \$94.00 / CUSIP: / Symbol:							
05/27/20	1.000	5.98	05/22/20	64.00	...	-58.02	Option sale to close-call ²⁵
AMD 04/09/2020 CALL \$46.00 / CUSIP: / Symbol:							
04/06/20	1.000	187.98	04/06/20	80.00	...	107.98	Option sale to close-call ²⁵
AMD 04/09/2020 PUT \$45.00 / CUSIP: / Symbol:							
04/09/20	1.000	0.98	04/06/20	45.00	...	-44.02	Option sale to close-put ²⁵
AMD 04/24/2020 CALL \$65.00 / CUSIP: / Symbol:							
04/22/20	1.000	9.98	04/14/20	20.00	...	-10.02	Option sale to close-call ²⁵
AMD 05/01/2020 CALL \$65.00 / CUSIP: / Symbol:							
	2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	04/28/20	40.00	...	-40.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/28/20	40.00	...	-40.00	2 of 2 - Option expiration- long call
05/01/20	2.000	0.00	Various	80.00	...	-80.00	Total of 2 transactions
AMD 06/26/2020 CALL \$63.00 / CUSIP: / Symbol:							
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	29.99	06/03/20	19.00	...	10.99	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	06/03/20	19.00	...	10.99	2 of 2 - Option sale to close-call ²⁵
06/05/20	2.000	59.98	Various	38.00	...	21.98	Total of 2 transactions

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Robinhood Securities LLC

Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMD 09/11/2020 CALL \$100.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	08/31/20	100.00	...	-100.00	Option expiration- long call
AMD 12/04/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	429.98	11/30/20	170.00	...	259.98	1 of 2 - Option sale to close-call ²⁵
	1.000	404.98	12/04/20	399.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
12/04/20	2.000	834.96	Various	569.00	...	265.96	Total of 2 transactions
AMD 12/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/15/20	1.000	299.98	12/14/20	125.00	...	174.98	Option sale to close-call ²⁵
AMD 12/31/2020 CALL \$92.00 / CUSIP: / Symbol:							
12/31/20	1.000	44.98	12/30/20	40.00	...	4.98	Option sale to close-call ²⁵
AMRN 08/21/2020 CALL \$8.00 / CUSIP: / Symbol:							
07/30/20	3.000	29.98	07/21/20	69.00	...	-39.02	Option sale to close-call ²⁵
AMZN 07/17/2020 CALL \$4,270.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	16.99	07/13/20	300.00	...	-283.01	1 of 2 - Option sale to close-call ²⁵
	1.000	16.99	07/14/20	61.00	...	-44.01	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	33.98	Various	361.00	...	-327.02	Total of 2 transactions
BA 06/19/2020 CALL \$180.00 / CUSIP: / Symbol:							
06/03/20	1.000	372.98	06/03/20	142.00	...	230.98	Option sale to close-call ²⁵
BA 06/19/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	549.97	06/04/20	200.00	...	349.97	1 of 2 - Option sale to close-call ²⁵
	1.000	599.97	06/04/20	189.00	...	410.97	2 of 2 - Option sale to close-call ²⁵
06/05/20	2.000	1,149.94	Various	389.00	...	760.94	Total of 2 transactions
BA 06/19/2020 CALL \$320.00 / CUSIP: / Symbol:							
06/08/20	1.000	195.98	06/05/20	49.00	...	146.98	Option sale to close-call ²⁵

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BA 06/19/2020 CALL \$400.00 / CUSIP: / Symbol:							
06/10/20	1.000	9.98	06/08/20	52.00	...	-42.02	Option sale to close-call ²⁵
BA 07/02/2020 PUT \$155.00 / CUSIP: / Symbol:							
07/01/20	1.000	1.98	06/26/20	978.06	...	-976.08	Option sale to close-put ²⁵
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1.98	06/26/20	253.00	251.02 W	0.00	1 of 3 - Option sale to close-put ²⁵
	1.000	1.98	06/26/20	537.02	535.04 W	0.00	2 of 3 - Option sale to close-put ²⁵
	1.000	1.98	06/26/20	780.04	778.06 W	0.00	3 of 3 - Option sale to close-put ²⁵
07/01/20	3.000	5.94	Various	1,570.06	1,564.12 W	0.00	Total of 3 transactions
Security total:		7.92		2,548.12	1,564.12 W	-976.08	
BABA 06/05/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/02/20	1.000	81.98	06/02/20	39.00	...	42.98	Option sale to close-call ²⁵
BABA 06/05/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	06/02/20	34.00	...	0.98	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	06/02/20	32.00	...	2.98	2 of 2 - Option sale to close-call ²⁵
06/03/20	2.000	69.96	Various	66.00	...	3.96	Total of 2 transactions
BABA 06/12/2020 CALL \$250.00 / CUSIP: / Symbol:							
05/22/20	1.000	17.98	05/18/20	40.00	...	-22.02	Option sale to close-call ²⁵
BABA 07/10/2020 CALL \$235.00 / CUSIP: / Symbol:							
07/02/20	1.000	44.98	07/01/20	30.00	...	14.98	Option sale to close-call ²⁵
BAC 06/05/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/03/20	1.000	19.98	06/02/20	24.00	...	-4.02	Option sale to close-call ²⁵
BAC 06/05/2020 PUT \$21.50 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	05/22/20	46.00	...	-45.02	1 of 2 - Option sale to close-put ²⁵
	1.000	0.98	05/22/20	46.00	...	-45.02	2 of 2 - Option sale to close-put ²⁵
06/02/20	2.000	1.96	Various	92.00	...	-90.04	Total of 2 transactions

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BAC 07/10/2020 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	25.00	07/07/20	20.00	...	5.00	1 of 2 - Option sale to close-call ²⁵
	4.000	99.98	07/07/20	80.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
07/08/20	5.000	124.98	Various	100.00	...	24.98	Total of 2 transactions
BB 06/05/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	06/02/20	8.00	...	-8.00	Option expiration- long call
BIGC 12/18/2020 CALL \$75.00 / CUSIP: / Symbol:							
11/23/20	1.000	709.97	11/23/20	590.00	...	119.97	Option sale to close-call ²⁵
BLDP 12/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
12/14/20	1.000	4.98	11/25/20	105.00	...	-100.02	Option sale to close-call ²⁵
CCL 05/08/2020 PUT \$13.00 / CUSIP: / Symbol:							
05/05/20	1.000	59.98	05/04/20	40.00	...	19.98	Option sale to close-put ²⁵
CCL 07/24/2020 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	2.000	7.99	07/15/20	84.00	...	-76.01	1 of 2 - Option sale to close-call ²⁵
	1.000	3.99	07/15/20	73.02	...	-69.03	2 of 2 - Option sale to close-call ²⁵
07/20/20	3.000	11.98	Various	157.02	...	-145.04	Total of 2 transactions
07/20/20	1.000	3.98	07/15/20	42.00	38.02 W	0.00	Option sale to close-call ²⁵
	Security total:	15.96		199.02	38.02 W	-145.04	
CHWY 07/10/2020 CALL \$49.00 / CUSIP: / Symbol:							
07/07/20	1.000	62.98	07/02/20	83.00	...	-20.02	Option sale to close-call ²⁵
CRM 07/02/2020 CALL \$190.00 / CUSIP: / Symbol:							
07/01/20	1.000	99.98	06/30/20	77.00	...	22.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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CVNA 09/25/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/22/20	560.00	...	-560.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/23/20	90.00	...	-90.00	2 of 2 - Option expiration- long call
09/25/20	2.000	0.00	Various	650.00	...	-650.00	Total of 2 transactions
DIS 08/07/2020 CALL \$140.00 / CUSIP: / Symbol:							
07/24/20	1.000	8.98	07/20/20	100.06	...	-91.08	Option sale to close-call ²⁵
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	8.98	07/13/20	55.00	46.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	8.98	07/13/20	81.02	72.04 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	8.98	07/20/20	92.04	83.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
07/24/20	3.000	26.94	Various	228.06	201.12 W	0.00	Total of 3 transactions
	Security total:	35.92		328.12	201.12 W	-91.08	
DIS 08/21/2020 PUT \$126.00 / CUSIP: / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/20/20	66.00	...	-66.00	1 of 3 - Option expiration- long put
	1.000	0.00	08/20/20	19.00	...	-19.00	2 of 3 - Option expiration- long put
	1.000	0.00	08/20/20	12.00	...	-12.00	3 of 3 - Option expiration- long put
08/21/20	3.000	0.00	Various	97.00	...	-97.00	Total of 3 transactions
DIS 08/21/2020 PUT \$129.00 / CUSIP: / Symbol:							
08/20/20	1.000	240.98	08/19/20	74.00	...	166.98	Option sale to close-put ²⁵
DKNG 05/15/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/05/20	1.000	254.98	05/04/20	226.00	...	28.98	Option sale to close-call ²⁵
DKNG 05/15/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/08/20	1.000	34.98	05/07/20	70.00	...	-35.02	Option sale to close-call ²⁵
DKNG 06/19/2020 CALL \$43.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	69.99	06/15/20	105.00	...	-35.01	1 of 2 - Option sale to close-call ²⁵
	1.000	69.99	06/15/20	95.00	...	-25.01	2 of 2 - Option sale to close-call ²⁵
06/17/20	2.000	139.98	Various	200.00	...	-60.02	Total of 2 transactions

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DKNG 06/26/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/22/20	1.000	104.98	06/19/20	110.00	5.02 W	0.00	Option sale to close-call ²⁵
06/24/20	1.000	14.98	06/19/20	140.04	...	-125.06	Option sale to close-call ²⁵
06/24/20	1.000	14.98	06/19/20	100.02	85.04 W	0.00	Option sale to close-call ²⁵
Security total:		134.94		350.06	90.06 W	-125.06	
DKNG 07/31/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/23/20	1.000	100.98	07/21/20	175.00	...	-74.02	Option sale to close-call ²⁵
DKNG 12/04/2020 CALL \$53.00 / CUSIP: / Symbol:							
11/27/20	1.000	149.98	11/25/20	105.00	...	44.98	Option sale to close-call ²⁵
DKNG 12/11/2020 CALL \$52.00 / CUSIP: / Symbol:							
12/09/20	1.000	74.98	12/08/20	104.00	...	-29.02	Option sale to close-call ²⁵
EEM 08/21/2020 CALL \$46.00 / CUSIP: / Symbol:							
07/30/20	3.000	41.98	07/20/20	45.00	...	-3.02	Option sale to close-call ²⁵
ETSY 07/24/2020 CALL \$109.00 / CUSIP: / Symbol:							
07/22/20	1.000	40.98	07/16/20	216.00	...	-175.02	Option sale to close-call ²⁵
FB 07/02/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	8.99	07/01/20	66.00	...	-57.01	1 of 2 - Option sale to close-call ²⁵
	1.000	8.99	07/01/20	66.00	...	-57.01	2 of 2 - Option sale to close-call ²⁵
07/02/20	2.000	17.98	Various	132.00	...	-114.02	Total of 2 transactions
FB 07/02/2020 PUT \$225.00 / CUSIP: / Symbol:							
06/26/20	1.000	839.97	06/26/20	440.00	...	399.97	Option sale to close-put ²⁵
FB 07/10/2020 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	6.00	07/09/20	100.00	...	-94.00	1 of 3 - Option sale to close-call ²⁵
	2.000	11.99	07/09/20	70.00	...	-58.01	2 of 3 - Option sale to close-call ²⁵
	1.000	5.99	07/09/20	50.00	...	-44.01	3 of 3 - Option sale to close-call ²⁵
07/10/20	4.000	23.98	Various	220.00	...	-196.02	Total of 3 transactions

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FB 07/17/2020 PUT \$222.50 / CUSIP: / Symbol:							
07/14/20	1.000	115.98	07/10/20	90.00	...	25.98	Option sale to close-put ²⁵
FB 08/14/2020 CALL \$260.00 / CUSIP: / Symbol:							
08/14/20	1.000	129.98	08/12/20	450.00	...	-320.02	Option sale to close-call ²⁵
FB 08/21/2020 CALL \$270.00 / CUSIP: / Symbol:							
08/21/20	1.000	69.98	08/20/20	168.00	...	-98.02	Option sale to close-call ²⁵
FB 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/03/20	1.000	99.98	07/27/20	65.00	...	34.98	Option sale to close-call ²⁵
FSLR 07/10/2020 CALL \$58.00 / CUSIP: / Symbol:							
07/09/20	1.000	124.98	07/08/20	46.00	...	78.98	Option sale to close-call ²⁵
GLD 07/02/2020 CALL \$168.00 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	2.000	159.99	06/26/20	164.00	...	-4.01	1 of 2 - Option sale to close-call ²⁵
	2.000	159.99	06/26/20	144.00	...	15.99	2 of 2 - Option sale to close-call ²⁵
06/30/20	4.000	319.98	Various	308.00	...	11.98	Total of 2 transactions
GMED 06/19/2020 CALL \$57.50 / CUSIP: / Symbol:							
06/08/20	1.000	104.98	06/02/20	176.00	...	-71.02	Option sale to close-call ²⁵
GOLD 06/26/2020 CALL \$26.50 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	20.99	06/23/20	36.00	...	-15.01	1 of 2 - Option sale to close-call ²⁵
	1.000	20.99	06/23/20	36.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
06/24/20	2.000	41.98	Various	72.00	...	-30.02	Total of 2 transactions
GOLD 08/07/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	3.000	14.99	08/05/20	117.00	...	-102.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	08/06/20	14.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
08/07/20	4.000	19.98	Various	131.00	...	-111.02	Total of 2 transactions

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HD 08/14/2020 CALL \$285.00 / CUSIP: / Symbol:							
07/22/20	1.000	77.98	07/20/20	63.00	...	14.98	Option sale to close-call ²⁵
INO 05/22/2020 CALL \$14.00 / CUSIP: / Symbol:							
05/19/20	1.000	79.98	05/18/20	125.00	...	-45.02	Option sale to close-call ²⁵
IWM 08/14/2020 CALL \$160.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	1.99	08/12/20	40.00	...	-38.01	1 of 2 - Option sale to close-call ²⁵
	1.000	1.99	08/13/20	25.00	...	-23.01	2 of 2 - Option sale to close-call ²⁵
08/14/20	2.000	3.98	Various	65.00	...	-61.02	Total of 2 transactions
IWM 08/14/2020 CALL \$161.00 / CUSIP: / Symbol:							
08/12/20	1.000	35.98	08/11/20	116.00	...	-80.02	Option sale to close-call ²⁵
IWM 11/13/2020 PUT \$170.00 / CUSIP: / Symbol:							
11/12/20	1.000	199.98	11/10/20	130.00	...	69.98	Option sale to close-put ²⁵
JMIA 09/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
09/01/20	1.000	10.98	08/26/20	55.00	...	-44.02	Option sale to close-call ²⁵
JNUG 03/27/2020 CALL \$5.00 / CUSIP: / Symbol:							
03/25/20	1.000	129.98	03/23/20	75.00	...	54.98	Option sale to close-call ²⁵
JNUG 04/03/2020 CALL \$4.00 / CUSIP: / Symbol:							
04/02/20	1.000	59.98	03/31/20	40.00	...	19.98	Option sale to close-call ²⁵
JPM 07/31/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/20/20	1.000	17.98	07/15/20	50.00	...	-32.02	Option sale to close-call ²⁵
LULU 05/01/2020 CALL \$222.50 / CUSIP: / Symbol:							
04/27/20	1.000	524.97	04/27/20	420.00	...	104.97	Option sale to close-call ²⁵
LULU 05/01/2020 CALL \$225.00 / CUSIP: / Symbol:							
04/29/20	1.000	599.97	04/27/20	450.00	...	149.97	Option sale to close-call ²⁵
LULU 05/01/2020 CALL \$232.50 / CUSIP: / Symbol:							
05/01/20	1.000	1.98	04/29/20	230.00	...	-228.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MRNA 07/17/2020 CALL \$125.00 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/15/20	30.00	...	-30.00	1 of 4 - Option expiration- long call
	1.000	0.00	07/15/20	26.00	...	-26.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/15/20	20.00	...	-20.00	3 of 4 - Option expiration- long call
	1.000	0.00	07/15/20	15.00	...	-15.00	4 of 4 - Option expiration- long call
07/17/20	4.000	0.00	Various	91.00	...	-91.00	Total of 4 transactions
MRVL 07/24/2020 CALL \$37.00 / CUSIP: / Symbol:							
07/07/20	1.000	89.98	07/02/20	71.00	...	18.98	Option sale to close-call ²⁵
MSFT 06/19/2020 CALL \$197.50 / CUSIP: / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	101.98	06/17/20	77.00	...	24.98	1 of 3 - Option sale to close-call ²⁵
	1.000	117.98	06/17/20	91.06	...	26.92	2 of 3 - Option sale to close-call ²⁵
	1.000	100.98	06/17/20	74.00	...	26.98	3 of 3 - Option sale to close-call ²⁵
06/18/20	3.000	320.94	Various	242.06	...	78.88	Total of 3 transactions
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	94.98	06/16/20	140.00	45.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	104.98	06/16/20	145.02	40.04 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	127.98	06/17/20	129.04	1.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/18/20	3.000	327.94	Various	414.06	86.12 W	0.00	Total of 3 transactions
	Security total:	648.88		656.12	86.12 W	78.88	
MSFT 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	104.98	06/08/20	32.00	...	72.98	1 of 2 - Option sale to close-call ²⁵
	2.000	209.98	06/08/20	64.00	...	145.98	2 of 2 - Option sale to close-call ²⁵
06/10/20	3.000	314.96	Various	96.00	...	218.96	Total of 2 transactions

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MSFT 07/10/2020 CALL \$217.50 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	07/01/20	28.00	...	11.98	1 of 3 - Option sale to close-call ²⁵
	1.000	39.98	07/01/20	28.00	...	11.98	2 of 3 - Option sale to close-call ²⁵
	1.000	39.98	07/01/20	24.00	...	15.98	3 of 3 - Option sale to close-call ²⁵
07/02/20	3.000	119.94	Various	80.00	...	39.94	Total of 3 transactions
MSFT 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
07/13/20	1.000	159.98	07/06/20	100.00	...	59.98	Option sale to close-call ²⁵
MSFT 07/24/2020 PUT \$190.00 / CUSIP: / Symbol:							
07/20/20	1.000	33.98	07/16/20	152.00	...	-118.02	Option sale to close-put ²⁵
MSFT 08/07/2020 CALL \$245.00 / CUSIP: / Symbol:							
07/23/20	1.000	11.98	07/13/20	100.00	...	-88.02	Option sale to close-call ²⁵
MSFT 08/21/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	08/19/20	90.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	1.000	99.99	08/19/20	55.00	...	44.99	2 of 2 - Option sale to close-call ²⁵
08/20/20	2.000	199.98	Various	145.00	...	54.98	Total of 2 transactions
MSFT 08/21/2020 CALL \$217.50 / CUSIP: / Symbol:							
08/21/20	1.000	21.98	08/20/20	20.00	...	1.98	Option sale to close-call ²⁵
MSFT 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
08/03/20	1.000	179.98	07/17/20	88.00	...	91.98	Option sale to close-call ²⁵
08/04/20	1.000	118.98	07/27/20	62.00	...	56.98	Option sale to close-call ²⁵
	Security total:	298.96		150.00	...	148.96	
MU 07/10/2020 CALL \$50.00 / CUSIP: / Symbol:							
07/09/20	1.000	69.98	07/08/20	60.00	...	9.98	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

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MU 07/24/2020 CALL \$54.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	6.98	07/13/20	156.01	...	-149.03	1 of 2 - Option sale to close-call ²⁵
	1.000	6.98	07/13/20	111.01	...	-104.03	2 of 2 - Option sale to close-call ²⁵
07/20/20	2.000	13.96	Various	267.02	...	-253.06	Total of 2 transactions
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	6.99	07/13/20	94.00	87.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	6.99	07/13/20	75.00	68.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/20/20	2.000	13.98	Various	169.00	155.02 W	0.00	Total of 2 transactions
	Security total:	27.94		436.02	155.02 W	-253.06	
NCLH 06/19/2020 CALL \$23.00 / CUSIP: / Symbol:							
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	06/10/20	145.00	...	-100.01	1 of 4 - Option sale to close-call ²⁵
	1.000	44.99	06/10/20	125.00	...	-80.01	2 of 4 - Option sale to close-call ²⁵
	1.000	45.00	06/10/20	125.00	...	-80.00	3 of 4 - Option sale to close-call ²⁵
	1.000	45.00	06/10/20	125.00	...	-80.00	4 of 4 - Option sale to close-call ²⁵
06/16/20	4.000	179.98	Various	520.00	...	-340.02	Total of 4 transactions
NFLX 06/26/2020 CALL \$465.00 / CUSIP: / Symbol:							
06/26/20	1.000	64.98	06/22/20	650.00	...	-585.02	Option sale to close-call ²⁵
NFLX 06/26/2020 PUT \$345.00 / CUSIP: / Symbol:							
06/17/20	1.000	20.98	06/12/20	96.00	...	-75.02	Option sale to close-put ²⁵
NFLX 07/02/2020 CALL \$470.00 / CUSIP: / Symbol:							
07/01/20	1.000	1,204.96	07/01/20	128.00	...	1,076.96	Option sale to close-call ²⁵
NFLX 07/02/2020 CALL \$500.00 / CUSIP: / Symbol:							
07/02/20	1.000	6.98	07/01/20	50.00	...	-43.02	Option sale to close-call ²⁵
NFLX 07/02/2020 CALL \$550.00 / CUSIP: / Symbol:							
06/17/20	1.000	49.98	06/15/20	27.00	...	22.98	Option sale to close-call ²⁵
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	06/26/20	48.02	...	-47.03	1 of 2 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NFLX 07/02/2020 CALL \$550.00 / CUSIP: / Symbol: (cont'd)							
	2.000	1.99	06/26/20	18.00	...	-16.01	2 of 2 - Option sale to close-call ²⁵
07/01/20	3.000	2.98	Various	66.02	...	-63.04	Total of 2 transactions
07/01/20	1.000	0.98	06/18/20	40.00	39.02 W	0.00	Option sale to close-call ²⁵
	Security total:	53.94		133.02	39.02 W	-40.06	
NFLX 07/02/2020 PUT \$440.00 / CUSIP: / Symbol:							
4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	45.00	06/29/20	1,035.02	...	-990.02	1 of 4 - Option sale to close-put ²⁵
	1.000	44.99	06/30/20	175.00	...	-130.01	2 of 4 - Option sale to close-put ²⁵
	1.000	44.99	06/30/20	122.00	...	-77.01	3 of 4 - Option sale to close-put ²⁵
	1.000	45.00	06/30/20	90.00	...	-45.00	4 of 4 - Option sale to close-put ²⁵
07/01/20	4.000	179.98	Various	1,422.02	...	-1,242.04	Total of 4 transactions
07/01/20	1.000	44.98	06/26/20	660.00	615.02 W	0.00	Option sale to close-put ²⁵
	Security total:	224.96		2,082.02	615.02 W	-1,242.04	
NFLX 07/10/2020 CALL \$600.00 / CUSIP: / Symbol:							
06/24/20	1.000	34.98	06/17/20	40.00	5.02 W	0.00	Option sale to close-call ²⁵
07/01/20	1.000	19.98	06/19/20	33.02	...	-13.04	Option sale to close-call ²⁵
	Security total:	54.96		73.02	5.02 W	-13.04	
NFLX 07/17/2020 CALL \$610.00 / CUSIP: / Symbol:							
07/07/20	1.000	201.98	07/02/20	100.00	...	101.98	Option sale to close-call ²⁵
NFLX 07/17/2020 CALL \$750.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/15/20	68.00	...	-68.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/15/20	45.00	...	-45.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	113.00	...	-113.00	Total of 2 transactions
NFLX 07/24/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/23/20	160.00	...	-160.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/23/20	74.00	...	-74.00	2 of 2 - Option expiration- long call
07/24/20	2.000	0.00	Various	234.00	...	-234.00	Total of 2 transactions

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NFLX 08/14/2020 CALL \$550.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	4.99	08/10/20	20.00	...	-15.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	08/10/20	10.00	...	-5.01	2 of 2 - Option sale to close-call ²⁵
08/12/20	2.000	9.98	Various	30.00	...	-20.02	Total of 2 transactions
NFLX 08/14/2020 PUT \$440.00 / CUSIP: / Symbol:							
08/12/20	1.000	36.98	08/11/20	90.00	...	-53.02	Option sale to close-put ²⁵
NFLX 08/21/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/20/20	1.000	304.98	08/20/20	182.00	...	122.98	Option sale to close-call ²⁵
NFLX 08/28/2020 CALL \$600.00 / CUSIP: / Symbol:							
08/26/20	1.000	110.98	08/26/20	17.00	...	93.98	Option sale to close-call ²⁵
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	08/26/20	135.00	...	-133.01	1 of 2 - Option sale to close-call ²⁵
	1.000	1.99	08/27/20	7.00	...	-5.01	2 of 2 - Option sale to close-call ²⁵
08/28/20	2.000	3.98	Various	142.00	...	-138.02	Total of 2 transactions
	Security total:	114.96		159.00	...	-44.04	
NFLX 10/30/2020 CALL \$495.00 / CUSIP: / Symbol:							
10/29/20	1.000	1,299.96	10/29/20	90.00	...	1,209.96	Option sale to close-call ²⁵
NFLX 11/13/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/13/20	1.000	19.98	11/10/20	250.00	...	-230.02	Option sale to close-call ²⁵
NFLX 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/20/20	1.000	15.98	11/19/20	70.00	...	-54.02	Option sale to close-call ²⁵
NFLX 11/20/2020 CALL \$510.00 / CUSIP: / Symbol:							
11/18/20	1.000	39.98	11/17/20	35.00	...	4.98	Option sale to close-call ²⁵
NFLX 12/31/2020 CALL \$530.00 / CUSIP: / Symbol:							
12/29/20	1.000	599.97	12/28/20	95.00	...	504.97	Option sale to close-call ²⁵

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NFLX 12/31/2020 CALL \$550.00 / CUSIP: / Symbol:							
4 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	110.00	12/29/20	137.00	...	-27.00	1 of 4 - Option sale to close-call ²⁵
	1.000	109.99	12/29/20	90.00	...	19.99	2 of 4 - Option sale to close-call ²⁵
	1.000	110.00	12/30/20	36.00	...	74.00	3 of 4 - Option sale to close-call ²⁵
	1.000	109.99	12/30/20	24.00	...	85.99	4 of 4 - Option sale to close-call ²⁵
12/31/20	4.000	439.98	Various	287.00	...	152.98	Total of 4 transactions
NIO 06/19/2020 CALL \$7.00 / CUSIP: / Symbol:							
6 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	44.00	06/10/20	43.01	...	0.99	1 of 6 - Option sale to close-call ²⁵
	2.000	87.99	06/10/20	86.01	...	1.98	2 of 6 - Option sale to close-call ²⁵
	1.000	43.99	06/10/20	40.00	...	3.99	3 of 6 - Option sale to close-call ²⁵
	1.000	43.99	06/10/20	30.02	...	13.97	4 of 6 - Option sale to close-call ²⁵
	1.000	43.99	06/10/20	28.00	...	15.99	5 of 6 - Option sale to close-call ²⁵
	3.000	131.98	06/10/20	84.00	...	47.98	6 of 6 - Option sale to close-call ²⁵
06/15/20	9.000	395.94	Various	311.04	...	84.90	Total of 6 transactions
5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/10/20	43.00	3.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	39.99	06/10/20	43.00	3.01 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	40.00	06/10/20	46.02	6.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	2.000	79.99	06/10/20	86.00	6.01 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	44.00	06/10/20	46.02	2.02 W	0.00	5 of 5 - Option sale to close-call ²⁵
06/15/20	6.000	243.96	Various	264.04	20.08 W	0.00	Total of 5 transactions
	Security total:	639.90		575.08	20.08 W	84.90	
NIO 07/24/2020 CALL \$12.50 / CUSIP: / Symbol:							
07/21/20	1.000	100.98	07/20/20	82.00	...	18.98	Option sale to close-call ²⁵
NIO 08/28/2020 CALL \$21.00 / CUSIP: / Symbol:							
08/27/20	1.000	19.98	08/26/20	74.00	...	-54.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 08/28/2020 PUT \$18.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	08/26/20	35.00	...	-34.01	1 of 2 - Option sale to close-put ²⁵
	1.000	0.99	08/27/20	5.00	...	-4.01	2 of 2 - Option sale to close-put ²⁵
08/28/20	2.000	1.98	Various	40.00	...	-38.02	Total of 2 transactions
NIO 11/13/2020 CALL \$49.00 / CUSIP: / Symbol:							
11/13/20	1.000	499.97	11/12/20	142.00	...	357.97	Option sale to close-call ²⁵
NIO 11/27/2020 CALL \$56.00 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	21.99	11/23/20	206.00	...	-184.01	1 of 2 - Option sale to close-call ²⁵
	2.000	43.99	11/25/20	70.00	...	-26.01	2 of 2 - Option sale to close-call ²⁵
11/27/20	3.000	65.98	Various	276.00	...	-210.02	Total of 2 transactions
NKLA 06/12/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1,339.96	06/08/20	470.00	...	869.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,739.95	06/08/20	400.00	...	1,339.95	2 of 2 - Option sale to close-call ²⁵
06/08/20	2.000	3,079.91	Various	870.00	...	2,209.91	Total of 2 transactions
NKLA 06/12/2020 PUT \$55.00 / CUSIP: / Symbol:							
06/11/20	1.000	174.98	06/10/20	175.00	...	-0.02	Option sale to close-put ²⁵
NKLA 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	629.98	06/15/20	520.00	...	109.98	1 of 2 - Option sale to close-call ²⁵
	1.000	629.98	06/15/20	380.00	...	249.98	2 of 2 - Option sale to close-call ²⁵
06/15/20	2.000	1,259.96	Various	900.00	...	359.96	Total of 2 transactions

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Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NVDA 06/12/2020 CALL \$380.00 / CUSIP: / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	334.99	06/05/20	185.00	...	149.99	1 of 3 - Option sale to close-call ²⁵
	1.000	334.98	06/09/20	73.00	...	261.98	2 of 3 - Option sale to close-call ²⁵
	1.000	334.98	06/09/20	73.00	...	261.98	3 of 3 - Option sale to close-call ²⁵
06/10/20	3.000	1,004.95	Various	331.00	...	673.95	Total of 3 transactions
NVDA 07/17/2020 CALL \$427.50 / CUSIP: / Symbol:							
07/15/20	1.000	102.98	07/14/20	315.00	...	-212.02	Option sale to close-call ²⁵
NVDA 07/17/2020 PUT \$377.50 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	13.98	07/15/20	80.00	...	-66.02	1 of 2 - Option sale to close-put ²⁵
	1.000	80.98	07/15/20	80.00	...	0.98	2 of 2 - Option sale to close-put ²⁵
07/16/20	2.000	94.96	Various	160.00	...	-65.04	Total of 2 transactions
OSTK 08/07/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	849.97	08/05/20	225.00	...	624.97	1 of 2 - Option sale to close-call ²⁵
	1.000	499.97	08/06/20	60.00	...	439.97	2 of 2 - Option sale to close-call ²⁵
08/07/20	2.000	1,349.94	Various	285.00	...	1,064.94	Total of 2 transactions
OSTK 08/14/2020 PUT \$70.00 / CUSIP: / Symbol:							
08/11/20	1.000	39.98	08/07/20	30.00	...	9.98	Option sale to close-put ²⁵
PCG 07/17/2020 PUT \$9.00 / CUSIP: / Symbol:							
07/08/20	1.000	39.98	07/06/20	35.00	...	4.98	Option sale to close-put ²⁵
PCG 07/31/2020 CALL \$11.00 / CUSIP: / Symbol:							
07/08/20	1.000	14.98	07/02/20	39.00	...	-24.02	Option sale to close-call ²⁵
PENN 07/31/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/21/20	1.000	274.98	07/20/20	136.00	...	138.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PM 07/17/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	2.000	39.99	05/28/20	36.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	2.000	39.99	05/28/20	34.00	...	5.99	2 of 2 - Option sale to close-call ²⁵
06/05/20	4.000	79.98	Various	70.00	...	9.98	Total of 2 transactions
PTON 06/19/2020 CALL \$51.00 / CUSIP: / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	114.99	06/16/20	334.13	...	-219.14	1 of 3 - Option sale to close-call ²⁵
	1.000	114.99	06/16/20	248.08	...	-133.09	2 of 3 - Option sale to close-call ²⁵
	1.000	112.99	06/16/20	55.00	...	57.99	3 of 3 - Option sale to close-call ²⁵
06/17/20	3.000	342.97	Various	637.21	...	-294.24	Total of 3 transactions
12 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	112.98	06/15/20	184.00	71.02 W	0.00	1 of 12 - Option sale to close-call ²⁵
	1.000	108.98	06/15/20	247.02	138.04 W	0.00	2 of 12 - Option sale to close-call ²⁵
	1.000	108.98	06/15/20	176.00	67.02 W	0.00	3 of 12 - Option sale to close-call ²⁵
	1.000	104.98	06/15/20	232.02	127.04 W	0.00	4 of 12 - Option sale to close-call ²⁵
	1.000	104.98	06/15/20	349.06	244.08 W	0.00	5 of 12 - Option sale to close-call ²⁵
	1.000	105.98	06/15/20	313.04	207.06 W	0.00	6 of 12 - Option sale to close-call ²⁵
	1.000	107.98	06/15/20	282.04	174.06 W	0.00	7 of 12 - Option sale to close-call ²⁵
	1.000	109.98	06/15/20	373.08	263.10 W	0.00	8 of 12 - Option sale to close-call ²⁵
	1.000	109.98	06/15/20	299.06	189.08 W	0.00	9 of 12 - Option sale to close-call ²⁵
	1.000	112.98	06/15/20	388.10	275.12 W	0.00	10 of 12 - Option sale to close-call ²⁵
	1.000	112.99	06/15/20	392.12	279.13 W	0.00	11 of 12 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PTON 06/19/2020 CALL \$51.00 / CUSIP: / Symbol: (cont'd)							
	1.000	113.00	06/15/20	306.08	193.08 W	0.00	12 of 12 - Option sale to close-call ²⁵
06/17/20	12.000	1,313.79	Various	3,541.62	2,227.83 W	0.00	Total of 12 transactions
	Security total:	1,656.76		4,178.83	2,227.83 W	-294.24	
PYPL 05/22/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/20/20	1.000	204.98	05/18/20	150.00	...	54.98	Option sale to close-call ²⁵
PYPL 11/27/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	146.99	11/25/20	135.00	...	11.99	1 of 2 - Option sale to close-call ²⁵
	1.000	146.99	11/25/20	55.00	...	91.99	2 of 2 - Option sale to close-call ²⁵
11/25/20	2.000	293.98	Various	190.00	...	103.98	Total of 2 transactions
QCOM 07/24/2020 CALL \$94.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	40.99	07/17/20	107.00	...	-66.01	1 of 2 - Option sale to close-call ²⁵
	1.000	40.99	07/21/20	60.00	...	-19.01	2 of 2 - Option sale to close-call ²⁵
07/22/20	2.000	81.98	Various	167.00	...	-85.02	Total of 2 transactions
QCOM 08/28/2020 CALL \$120.00 / CUSIP: / Symbol:							
08/25/20	1.000	84.98	08/17/20	60.00	...	24.98	Option sale to close-call ²⁵
08/27/20	1.000	15.98	08/19/20	24.00	...	-8.02	Option sale to close-call ²⁵
	Security total:	100.96		84.00	...	16.96	
QGEN 06/19/2020 CALL \$44.00 / CUSIP: / Symbol:							
05/20/20	1.000	99.98	05/20/20	80.00	...	19.98	Option sale to close-call ²⁵
05/22/20	1.000	59.98	05/20/20	80.00	...	-20.02	Option sale to close-call ²⁵
	Security total:	159.96		160.00	...	-0.04	
RCL 06/19/2020 CALL \$125.00 / CUSIP: / Symbol:							
06/10/20	1.000	4.98	06/05/20	31.00	...	-26.02	Option sale to close-call ²⁵
RIOT 12/24/2020 CALL \$15.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/23/20	45.00	...	-45.00	Option expiration- long call

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ROKU 07/24/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/22/20	1.000	105.98	07/20/20	200.00	...	-94.02	Option sale to close-call ²⁵
ROKU 08/28/2020 CALL \$165.00 / CUSIP: / Symbol:							
08/26/20	1.000	414.98	08/26/20	250.00	...	164.98	Option sale to close-call ²⁵
RTX 09/04/2020 CALL \$64.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	08/31/20	92.00	...	-92.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/02/20	12.00	...	-12.00	2 of 2 - Option expiration- long call
09/04/20	2.000	0.00	Various	104.00	...	-104.00	Total of 2 transactions
SDC 08/14/2020 CALL \$9.00 / CUSIP: / Symbol:							
08/06/20	1.000	87.98	08/04/20	74.00	...	13.98	Option sale to close-call ²⁵
SIG 06/12/2020 CALL \$12.00 / CUSIP: / Symbol:							
05/26/20	2.000	139.98	05/21/20	140.00	...	-0.02	Option sale to close-call ²⁵
SLV 08/14/2020 CALL \$24.00 / CUSIP: / Symbol:							
07/23/20	1.000	56.98	07/22/20	28.00	...	28.98	Option sale to close-call ²⁵
SLV 08/14/2020 CALL \$25.50 / CUSIP: / Symbol:							
<i>7 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	6.00	08/06/20	198.00	...	-192.00	1 of 7 - Option sale to close-call ²⁵
	1.000	6.00	08/11/20	60.00	...	-54.00	2 of 7 - Option sale to close-call ²⁵
	1.000	6.00	08/11/20	28.00	...	-22.00	3 of 7 - Option sale to close-call ²⁵
	1.000	5.99	08/11/20	24.00	...	-18.01	4 of 7 - Option sale to close-call ²⁵
	1.000	6.00	08/12/20	30.00	...	-24.00	5 of 7 - Option sale to close-call ²⁵
	1.000	5.99	08/12/20	28.00	...	-22.01	6 of 7 - Option sale to close-call ²⁵
	1.000	6.00	08/12/20	21.00	...	-15.00	7 of 7 - Option sale to close-call ²⁵
08/14/20	7.000	41.98	Various	389.00	...	-347.02	Total of 7 transactions
SLV 08/28/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/06/20	1.000	216.98	07/22/20	70.00	...	146.98	Option sale to close-call ²⁵

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SLV 09/11/2020 CALL \$30.00 / CUSIP: / Symbol:							
<i>7 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	08/14/20	63.00	...	-63.00	1 of 7 - Option expiration- long call
	1.000	0.00	08/19/20	38.00	...	-38.00	2 of 7 - Option expiration- long call
	1.000	0.00	08/20/20	26.00	...	-26.00	3 of 7 - Option expiration- long call
	1.000	0.00	08/24/20	16.00	...	-16.00	4 of 7 - Option expiration- long call
	1.000	0.00	08/24/20	15.00	...	-15.00	5 of 7 - Option expiration- long call
	1.000	0.00	08/24/20	10.00	...	-10.00	6 of 7 - Option expiration- long call
	1.000	0.00	09/02/20	8.00	...	-8.00	7 of 7 - Option expiration- long call
09/11/20	7.000	0.00	Various	176.00	...	-176.00	Total of 7 transactions
SLV 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/04/20	1.000	26.98	08/24/20	20.00	...	6.98	Option sale to close-call ²⁵
SNAP 11/20/2020 CALL \$41.00 / CUSIP: / Symbol:							
11/19/20	1.000	134.98	11/18/20	52.00	...	82.98	Option sale to close-call ²⁵
SPCE 06/26/2020 CALL \$17.00 / CUSIP: / Symbol:							
06/23/20	1.000	29.98	06/22/20	100.00	...	-70.02	Option sale to close-call ²⁵
SPCE 07/02/2020 CALL \$19.00 / CUSIP: / Symbol:							
06/24/20	1.000	16.98	06/22/20	81.00	...	-64.02	Option sale to close-call ²⁵
SPCE 11/27/2020 CALL \$26.00 / CUSIP: / Symbol:							
11/27/20	1.000	215.98	11/25/20	50.00	...	165.98	Option sale to close-call ²⁵
SPOT 06/19/2020 CALL \$215.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	729.97	06/18/20	320.00	...	409.97	1 of 2 - Option sale to close-call ²⁵
	1.000	829.97	06/18/20	400.00	...	429.97	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	1,559.94	Various	720.00	...	839.94	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPOT 06/19/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	429.98	06/18/20	890.02	...	-460.04	1 of 2 - Option sale to close-call ²⁵
	1.000	429.98	06/18/20	770.02	...	-340.04	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	859.96	Various	1,660.04	...	-800.08	Total of 2 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	509.98	06/18/20	730.00	220.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	509.98	06/18/20	730.00	220.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	1,019.96	Various	1,460.00	440.04 W	0.00	Total of 2 transactions
	Security total:	1,879.92		3,120.04	440.04 W	-800.08	
SPOT 06/26/2020 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	449.99	06/23/20	610.00	...	-160.01	1 of 2 - Option sale to close-call ²⁵
	1.000	449.98	06/23/20	190.00	...	259.98	2 of 2 - Option sale to close-call ²⁵
06/25/20	2.000	899.97	Various	800.00	...	99.97	Total of 2 transactions
SPOT 06/26/2020 PUT \$200.00 / CUSIP: / Symbol:							
06/24/20	1.000	24.98	06/19/20	65.00	...	-40.02	Option sale to close-put ²⁵
SPOT 07/02/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/02/20	1.000	59.98	07/01/20	30.00	...	29.98	Option sale to close-call ²⁵
SPR 06/19/2020 CALL \$42.50 / CUSIP: / Symbol:							
06/10/20	2.000	49.98	06/09/20	140.00	...	-90.02	Option sale to close-call ²⁵
SPY 03/31/2020 PUT \$224.00 / CUSIP: / Symbol:							
03/30/20	1.000	6.98	03/25/20	290.00	...	-283.02	Option sale to close-put ²⁵
SPY 06/12/2020 CALL \$325.00 / CUSIP: / Symbol:							
6 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/10/20	104.00	...	-104.00	1 of 6 - Option expiration- long call
	10.000	0.00	06/11/20	40.00	...	-40.00	2 of 6 - Option expiration- long call
	9.000	0.00	06/11/20	27.00	...	-27.00	3 of 6 - Option expiration- long call
	5.000	0.00	06/11/20	20.00	...	-20.00	4 of 6 - Option expiration- long call
	4.000	0.00	06/11/20	16.00	...	-16.00	5 of 6 - Option expiration- long call

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Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 06/12/2020 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	06/11/20	3.00	...	-3.00	6 of 6 - Option expiration- long call
06/12/20	30.000	0.00	Various	210.00	...	-210.00	Total of 6 transactions
SPY 06/12/2020 PUT \$298.00 / CUSIP: / Symbol:							
06/12/20	1.000	9.98	06/11/20	102.00	...	-92.02	Option sale to close-put ²⁵
SPY 06/19/2020 CALL \$330.00 / CUSIP: / Symbol:							
5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	06/15/20	76.01	...	-66.02	1 of 5 - Option sale to close-call ²⁵
	1.000	9.99	06/15/20	3.00	...	6.99	2 of 5 - Option sale to close-call ²⁵
	1.000	14.99	06/15/20	3.00	...	11.99	3 of 5 - Option sale to close-call ²⁵
	2.000	29.99	06/15/20	6.00	...	23.99	4 of 5 - Option sale to close-call ²⁵
	5.000	74.98	06/15/20	15.00	...	59.98	5 of 5 - Option sale to close-call ²⁵
06/16/20	10.000	139.94	Various	103.01	...	36.93	Total of 5 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	06/10/20	100.00	85.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	15.00	06/15/20	88.01	73.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/16/20	2.000	29.99	Various	188.01	158.02 W	0.00	Total of 2 transactions
	Security total:	169.93		291.02	158.02 W	36.93	
SPY 06/19/2020 PUT \$310.00 / CUSIP: / Symbol:							
06/18/20	1.000	216.98	06/17/20	292.00	...	-75.02	Option sale to close-put ²⁵
SPY 07/02/2020 PUT \$292.00 / CUSIP: / Symbol:							
06/30/20	1.000	15.98	06/26/20	120.00	...	-104.02	Option sale to close-put ²⁵
SPY 07/27/2020 CALL \$334.00 / CUSIP: / Symbol:							
07/21/20	1.000	38.98	07/13/20	100.00	...	-61.02	Option sale to close-call ²⁵
SPY 12/04/2020 CALL \$370.00 / CUSIP: / Symbol:							
11/25/20	1.000	85.98	11/20/20	57.00	...	28.98	Option sale to close-call ²⁵
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	10.99	12/03/20	26.00	...	-15.01	1 of 2 - Option sale to close-call ²⁵
	1.000	10.99	12/03/20	20.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
12/04/20	2.000	21.98	Various	46.00	...	-24.02	Total of 2 transactions

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Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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SPY 12/04/2020 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
Security total:		107.96		103.00	...	4.96	
SQ 06/19/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/16/20	1.000	214.98	06/10/20	199.00	...	15.98	Option sale to close-call ²⁵
SQ 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/08/20	70.00	...	-70.00	1 of 6 - Option expiration- long call
	2.000	0.00	07/10/20	46.00	...	-46.00	2 of 6 - Option expiration- long call
	3.000	0.00	07/13/20	21.00	...	-21.00	3 of 6 - Option expiration- long call
	1.000	0.00	07/13/20	12.00	...	-12.00	4 of 6 - Option expiration- long call
	1.000	0.00	07/13/20	5.00	...	-5.00	5 of 6 - Option expiration- long call
	1.000	0.00	07/14/20	3.00	...	-3.00	6 of 6 - Option expiration- long call
07/17/20	9.000	0.00	Various	157.00	...	-157.00	Total of 6 transactions
SQ 11/27/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/25/20	160.00	...	-160.00	Option expiration- long call
STM 08/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/06/20	1.000	99.98	07/02/20	80.00	...	19.98	Option sale to close-call ²⁵
TCOM 06/19/2020 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	2.000	49.99	05/20/20	80.00	...	-30.01	1 of 2 - Option sale to close-call ²⁵
	1.000	24.99	05/20/20	40.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
05/22/20	3.000	74.98	Various	120.00	...	-45.02	Total of 2 transactions
TLT 05/22/2020 CALL \$167.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	19.99	05/04/20	245.00	...	-225.01	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	05/11/20	80.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
05/19/20	2.000	39.98	Various	325.00	...	-285.02	Total of 2 transactions
TSLA 05/29/2020 CALL \$895.00 / CUSIP: / Symbol:							
05/29/20	1.000	1.98	05/28/20	35.00	...	-33.02	Option sale to close-call ²⁵

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TSLA 06/12/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1,884.95	06/09/20	555.00	...	1,329.95	1 of 4 - Option sale to close-call ²⁵
	1.000	1,832.94	06/09/20	450.00	...	1,382.94	2 of 4 - Option sale to close-call ²⁵
	1.000	1,884.95	06/09/20	490.00	...	1,394.95	3 of 4 - Option sale to close-call ²⁵
	1.000	1,832.94	06/09/20	380.00	...	1,452.94	4 of 4 - Option sale to close-call ²⁵
06/10/20	4.000	7,435.78	Various	1,875.00	...	5,560.78	Total of 4 transactions
TSLA 06/19/2020 CALL \$1,380.00 / CUSIP: / Symbol:							
06/17/20	2.000	35.98	06/16/20	42.00	...	-6.02	Option sale to close-call ²⁵
TSLA 07/10/2020 CALL \$1,800.00 / CUSIP: / Symbol:							
07/02/20	1.000	89.98	06/30/20	56.00	...	33.98	Option sale to close-call ²⁵
07/08/20	1.000	70.98	07/02/20	80.00	...	-9.02	Option sale to close-call ²⁵
	Security total:	160.96		136.00	...	24.96	
TSLA 07/17/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
07/10/20	1.000	1,224.96	07/10/20	220.00	...	1,004.96	Option sale to close-call ²⁵
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	424.98	07/10/20	220.00	...	204.98	1 of 2 - Option sale to close-call ²⁵
	1.000	499.97	07/10/20	220.00	...	279.97	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	924.95	Various	440.00	...	484.95	Total of 2 transactions
	Security total:	2,149.91		660.00	...	1,489.91	
TSLA 07/24/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	07/15/20	375.00	...	-374.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	07/16/20	230.00	...	-229.01	2 of 2 - Option sale to close-call ²⁵
07/23/20	2.000	1.98	Various	605.00	...	-603.02	Total of 2 transactions
TSLA 09/11/2020 CALL \$800.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	08/31/20	100.00	...	-100.00	Option expiration- long call

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(continued)

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TSLA 10/23/2020 CALL \$700.00 / CUSIP: / Symbol:							
6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/02/20	135.00	...	-135.00	1 of 6 - Option expiration- long call
	1.000	0.00	10/05/20	70.00	...	-70.00	2 of 6 - Option expiration- long call
	2.000	0.00	10/19/20	10.00	...	-10.00	3 of 6 - Option expiration- long call
	1.000	0.00	10/19/20	9.00	...	-9.00	4 of 6 - Option expiration- long call
	1.000	0.00	10/19/20	7.00	...	-7.00	5 of 6 - Option expiration- long call
	3.000	0.00	10/21/20	12.00	...	-12.00	6 of 6 - Option expiration- long call
10/23/20	9.000	0.00	Various	243.00	...	-243.00	Total of 6 transactions
TSLA 10/30/2020 CALL \$430.00 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	10/29/20	40.00	...	-39.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	10/29/20	28.00	...	-27.01	2 of 2 - Option sale to close-call ²⁵
10/30/20	2.000	1.98	Various	68.00	...	-66.02	Total of 2 transactions
TSLA 11/06/2020 CALL \$475.00 / CUSIP: / Symbol:							
11/06/20	1.000	0.00	11/03/20	80.00	...	-80.00	Option expiration- long call
TSLA 11/13/2020 CALL \$450.00 / CUSIP: / Symbol:							
11/13/20	1.000	9.98	11/12/20	109.02	...	-99.04	Option sale to close-call ²⁵
11/13/20	1.000	9.98	11/10/20	85.00	75.02 W	0.00	Option sale to close-call ²⁵
	Security total:	19.96		194.02	75.02 W	-99.04	
TSLA 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/18/20	1.000	744.97	11/17/20	140.00	...	604.97	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$520.00 / CUSIP: / Symbol:							
11/20/20	1.000	11.98	11/19/20	850.00	...	-838.02	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$570.00 / CUSIP: / Symbol:							
11/19/20	1.000	205.98	11/18/20	106.00	...	99.98	Option sale to close-call ²⁵

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TSLA 11/27/2020 CALL \$700.00 / CUSIP: / Symbol:							
4 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/20/20	22.00	...	-22.00	1 of 4 - Option expiration- long call
	1.000	0.00	11/23/20	10.00	...	-10.00	2 of 4 - Option expiration- long call
	7.000	0.00	11/25/20	21.00	...	-21.00	3 of 4 - Option expiration- long call
	3.000	0.00	11/25/20	9.00	...	-9.00	4 of 4 - Option expiration- long call
11/27/20	12.000	0.00	Various	62.00	...	-62.00	Total of 4 transactions
TSLA 12/11/2020 CALL \$700.00 / CUSIP: / Symbol:							
12/09/20	1.000	349.98	12/07/20	305.00	...	44.98	Option sale to close-call ²⁵
12/11/20	1.000	0.00	12/10/20	88.00	...	-88.00	Option expiration- long call
	Security total:	349.98		393.00	...	-43.02	
TSLA 12/24/2020 CALL \$700.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/23/20	54.00	...	-54.00	Option expiration- long call
TSLA 12/31/2020 CALL \$750.00 / CUSIP: / Symbol:							
12/29/20	1.000	9.98	12/28/20	70.00	...	-60.02	Option sale to close-call ²⁵
TSM 08/21/2020 CALL \$85.00 / CUSIP: / Symbol:							
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/10/20	95.00	...	-95.00	1 of 4 - Option expiration- long call
	1.000	0.00	08/11/20	60.00	...	-60.00	2 of 4 - Option expiration- long call
	2.000	0.00	08/19/20	4.00	...	-4.00	3 of 4 - Option expiration- long call
	1.000	0.00	08/19/20	2.00	...	-2.00	4 of 4 - Option expiration- long call
08/21/20	5.000	0.00	Various	161.00	...	-161.00	Total of 4 transactions
TWLO 10/09/2020 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/02/20	75.00	...	-75.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/07/20	18.00	...	-18.00	2 of 2 - Option expiration- long call
10/09/20	2.000	0.00	Various	93.00	...	-93.00	Total of 2 transactions

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TWTR 07/10/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/10/20	1.000	35.98	07/08/20	128.02	...	-92.04	Option sale to close-call ²⁵
07/10/20	1.000	45.98	07/08/20	89.00	43.02 W	0.00	Option sale to close-call ²⁵
	Security total:	81.96		217.02	43.02 W	-92.04	
TWTR 07/24/2020 CALL \$37.00 / CUSIP: / Symbol:							
07/06/20	1.000	60.98	07/02/20	41.00	...	19.98	Option sale to close-call ²⁵
TWTR 07/24/2020 CALL \$46.00 / CUSIP: / Symbol:							
07/17/20	1.000	16.98	07/15/20	18.04	...	-1.06	Option sale to close-call ²⁵
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	15.98	07/15/20	17.00	1.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	16.98	07/15/20	18.02	1.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/17/20	2.000	32.96	Various	35.02	2.06 W	0.00	Total of 2 transactions
	Security total:	49.94		53.06	2.06 W	-1.06	
TWTR 08/07/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/08/20	1.000	57.98	07/07/20	45.00	...	12.98	Option sale to close-call ²⁵
TWTR 08/14/2020 CALL \$50.00 / CUSIP: / Symbol:							
07/28/20	1.000	2.98	07/20/20	24.00	...	-21.02	Option sale to close-call ²⁵
TWTR 11/27/2020 CALL \$45.50 / CUSIP: / Symbol:							
11/25/20	1.000	84.98	11/20/20	83.00	...	1.98	Option sale to close-call ²⁵
UNG 09/04/2020 CALL \$15.00 / CUSIP: / Symbol:							
	4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	33.98	08/24/20	30.00	...	3.98	1 of 4 - Option sale to close-call ²⁵
	1.000	34.98	08/24/20	30.00	...	4.98	2 of 4 - Option sale to close-call ²⁵
	1.000	34.98	08/24/20	30.00	...	4.98	3 of 4 - Option sale to close-call ²⁵
	1.000	34.98	08/24/20	24.00	...	10.98	4 of 4 - Option sale to close-call ²⁵
08/27/20	4.000	138.92	Various	114.00	...	24.92	Total of 4 transactions
08/28/20	1.000	19.98	08/26/20	17.00	...	2.98	Option sale to close-call ²⁵
	Security total:	158.90		131.00	...	27.90	

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Robinhood Securities LLC

Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VALE 06/05/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/02/20	1.000	25.98	05/27/20	14.00	...	11.98	Option sale to close-call ²⁵
VXX 03/27/2020 PUT \$53.00 / CUSIP: / Symbol:							
03/23/20	1.000	614.97	03/23/20	390.00	...	224.97	Option sale to close-put ²⁵
VXX 04/03/2020 CALL \$61.00 / CUSIP: / Symbol:							
03/31/20	1.000	64.98	03/23/20	340.00	...	-275.02	Option sale to close-call ²⁵
VXX 04/03/2020 PUT \$30.00 / CUSIP: / Symbol:							
03/30/20	1.000	0.98	03/24/20	75.00	...	-74.02	Option sale to close-put ²⁵
VXX 04/03/2020 PUT \$50.00 / CUSIP: / Symbol:							
04/03/20	1.000	399.98	03/31/20	450.00	...	-50.02	Option sale to close-put ²⁵
VXX 05/15/2020 CALL \$38.50 / CUSIP: / Symbol:							
05/15/20	1.000	59.98	05/13/20	200.00	...	-140.02	Option sale to close-call ²⁵
VXX 05/22/2020 CALL \$36.00 / CUSIP: / Symbol:							
05/20/20	1.000	74.98	05/19/20	153.00	...	-78.02	Option sale to close-call ²⁵
VXX 06/05/2020 CALL \$39.00 / CUSIP: / Symbol:							
06/03/20	1.000	3.98	05/29/20	87.02	...	-83.04	Option sale to close-call ²⁵
06/03/20	1.000	3.98	05/29/20	51.00	47.02 W	0.00	Option sale to close-call ²⁵
	Security total:	7.96		138.02	47.02 W	-83.04	
VXX 06/12/2020 CALL \$32.00 / CUSIP: / Symbol:							
	5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	174.99	06/10/20	109.00	...	65.99	1 of 5 - Option sale to close-call ²⁵
	1.000	174.99	06/10/20	80.00	...	94.99	2 of 5 - Option sale to close-call ²⁵
	1.000	169.98	06/10/20	65.00	...	104.98	3 of 5 - Option sale to close-call ²⁵
	1.000	199.98	06/10/20	65.00	...	134.98	4 of 5 - Option sale to close-call ²⁵
	1.000	203.98	06/10/20	55.00	...	148.98	5 of 5 - Option sale to close-call ²⁵
06/11/20	5.000	923.92	Various	374.00	...	549.92	Total of 5 transactions
06/12/20	1.000	629.97	06/10/20	55.00	...	574.97	Option sale to close-call ²⁵
	Security total:	1,553.89		429.00	...	1,124.89	

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(continued)

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VXX 06/12/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/11/20	22.00	...	17.98	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	06/11/20	22.00	...	22.98	2 of 2 - Option sale to close-call ²⁵
06/12/20	2.000	84.96	Various	44.00	...	40.96	Total of 2 transactions
VXX 07/02/2020 PUT \$30.00 / CUSIP: / Symbol:							
06/22/20	1.000	59.99	06/19/20	43.00	...	16.99	Option sale to close-put ²⁵
06/22/20	1.000	59.99	06/15/20	75.00	15.01 W	0.00	Option sale to close-put ²⁵
06/29/20	1.000	1.98	06/25/20	43.01	...	-41.03	Option sale to close-put ²⁵
	Security total:	121.96		161.01	15.01 W	-24.04	
VXX 07/17/2020 CALL \$36.00 / CUSIP: / Symbol:							
07/16/20	1.000	8.98	07/14/20	143.00	...	-134.02	Option sale to close-call ²⁵
VXX 07/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/24/20	1.000	124.98	07/23/20	54.00	...	70.98	Option sale to close-call ²⁵
VXX 07/24/2020 PUT \$29.50 / CUSIP: / Symbol:							
07/24/20	2.000	5.98	07/23/20	136.00	...	-130.02	Option sale to close-put ²⁵
VXX 08/14/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	8.99	08/11/20	70.00	...	-61.01	1 of 2 - Option sale to close-call ²⁵
	1.000	8.99	08/12/20	33.00	...	-24.01	2 of 2 - Option sale to close-call ²⁵
08/14/20	2.000	17.98	Various	103.00	...	-85.02	Total of 2 transactions
W 05/15/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/13/20	1.000	49.98	05/06/20	260.00	...	-210.02	Option sale to close-call ²⁵
WDAY 06/26/2020 CALL \$195.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	149.99	06/17/20	160.00	...	-10.01	1 of 2 - Option sale to close-call ²⁵
	1.000	149.99	06/17/20	125.00	...	24.99	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	299.98	Various	285.00	...	14.98	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WDAY 06/26/2020 CALL \$207.50 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	06/19/20	70.01	...	-60.02	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	06/19/20	50.01	...	-40.02	2 of 2 - Option sale to close-call ²⁵
06/23/20	2.000	19.98	Various	120.02	...	-100.04	Total of 2 transactions
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	19.99	06/19/20	60.00	40.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	06/19/20	45.00	25.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/23/20	2.000	39.98	Various	105.00	65.02 W	0.00	Total of 2 transactions
	Security total:	59.96		225.02	65.02 W	-100.04	
WKHS 08/07/2020 CALL \$17.00 / CUSIP: / Symbol:							
08/03/20	1.000	299.98	08/03/20	150.00	...	149.98	Option sale to close-call ²⁵
WKHS 10/02/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/28/20	1.000	369.98	09/25/20	235.00	...	134.98	Option sale to close-call ²⁵
WKHS 10/02/2020 CALL \$28.50 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/28/20	178.00	...	-178.00	Option expiration- long call
WMT 08/28/2020 CALL \$134.00 / CUSIP: / Symbol:							
08/27/20	1.000	399.98	08/27/20	182.00	...	217.98	Option sale to close-call ²⁵
WMT 08/28/2020 PUT \$133.00 / CUSIP: / Symbol:							
08/28/20	1.000	1.98	08/27/20	27.00	...	-25.02	Option sale to close-put ²⁵
WMT 11/27/2020 CALL \$155.00 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/20/20	47.00	...	-47.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/20/20	30.00	...	-30.00	2 of 3 - Option expiration- long call
	2.000	0.00	11/23/20	26.00	...	-26.00	3 of 3 - Option expiration- long call
11/27/20	4.000	0.00	Various	103.00	...	-103.00	Total of 3 transactions

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(continued)

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WORK 07/17/2020 CALL \$37.00 / CUSIP: / Symbol:							
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	7.98	07/13/20	75.00	...	-67.02	1 of 4 - Option sale to close-call ²⁵
	1.000	7.99	07/13/20	46.00	...	-38.01	2 of 4 - Option sale to close-call ²⁵
	1.000	8.00	07/13/20	43.00	...	-35.00	3 of 4 - Option sale to close-call ²⁵
	1.000	7.99	07/13/20	35.00	...	-27.01	4 of 4 - Option sale to close-call ²⁵
07/14/20	4.000	31.96	Various	199.00	...	-167.04	Total of 4 transactions
07/14/20	1.000	8.00	07/13/20	54.00	46.00 W	0.00	Option sale to close-call ²⁵
	Security total:	39.96		253.00	46.00 W	-167.04	
WPM 06/26/2020 CALL \$46.00 / CUSIP: / Symbol:							
5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	2.000	15.99	06/22/20	86.00	...	-70.01	1 of 5 - Option sale to close-call ²⁵
	1.000	8.00	06/22/20	36.00	...	-28.00	2 of 5 - Option sale to close-call ²⁵
	2.000	15.99	06/22/20	40.00	...	-24.01	3 of 5 - Option sale to close-call ²⁵
	1.000	8.00	06/22/20	18.00	...	-10.00	4 of 5 - Option sale to close-call ²⁵
	1.000	8.00	06/22/20	18.00	...	-10.00	5 of 5 - Option sale to close-call ²⁵
06/24/20	7.000	55.98	Various	198.00	...	-142.02	Total of 5 transactions
WYNN 07/17/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/16/20	1.000	19.98	07/15/20	70.00	...	-50.02	Option sale to close-call ²⁵
X 07/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	26.99	07/15/20	24.00	...	2.99	1 of 3 - Option sale to close-call ²⁵
	1.000	27.00	07/15/20	23.00	...	4.00	2 of 3 - Option sale to close-call ²⁵
	1.000	26.99	07/15/20	22.00	...	4.99	3 of 3 - Option sale to close-call ²⁵
07/16/20	3.000	80.98	Various	69.00	...	11.98	Total of 3 transactions
ZM 06/12/2020 CALL \$232.50 / CUSIP: / Symbol:							
8 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	06/11/20	555.01	450.02 W	0.00	1 of 8 - Option sale to close-call ²⁵
	1.000	104.99	06/11/20	175.01	70.02 W	0.00	2 of 8 - Option sale to close-call ²⁵
	1.000	94.99	06/11/20	170.00	75.01 W	0.00	3 of 8 - Option sale to close-call ²⁵
	1.000	94.99	06/11/20	480.00	385.01 W	0.00	4 of 8 - Option sale to close-call ²⁵

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(continued)

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ZM 06/12/2020 CALL \$232.50 / CUSIP: / Symbol: (cont'd)							
	1.000	89.99	06/11/20	550.03	460.04 W	0.00	5 of 8 - Option sale to close-call ²⁵
	1.000	89.99	06/11/20	100.00	10.01 W	0.00	6 of 8 - Option sale to close-call ²⁵
	1.000	99.99	06/11/20	170.02	70.03 W	0.00	7 of 8 - Option sale to close-call ²⁵
	1.000	99.99	06/11/20	550.02	450.03 W	0.00	8 of 8 - Option sale to close-call ²⁵
06/11/20	8.000	779.92	Various	2,750.09	1,970.17 W	0.00	Total of 8 transactions
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	219.99	06/11/20	555.04	...	-335.05	1 of 5 - Option sale to close-call ²⁵
	1.000	14.98	06/11/20	95.00	...	-80.02	2 of 5 - Option sale to close-call ²⁵
	1.000	219.99	06/11/20	165.03	...	54.96	3 of 5 - Option sale to close-call ²⁵
	1.000	220.00	06/11/20	105.01	...	114.99	4 of 5 - Option sale to close-call ²⁵
	1.000	219.99	06/11/20	95.00	...	124.99	5 of 5 - Option sale to close-call ²⁵
06/12/20	5.000	894.95	Various	1,015.08	...	-120.13	Total of 5 transactions
	Security total:	1,674.87		3,765.17	1,970.17 W	-120.13	
ZM 06/26/2020 CALL \$260.00 / CUSIP: / Symbol:							
	4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	449.98	06/22/20	370.00	...	79.98	1 of 4 - Option sale to close-call ²⁵
	1.000	449.99	06/22/20	370.01	...	79.98	2 of 4 - Option sale to close-call ²⁵
	1.000	449.99	06/22/20	270.00	...	179.99	3 of 4 - Option sale to close-call ²⁵
	1.000	449.98	06/23/20	190.00	...	259.98	4 of 4 - Option sale to close-call ²⁵
06/25/20	4.000	1,799.94	Various	1,200.01	...	599.93	Total of 4 transactions
06/25/20	1.000	449.99	06/22/20	490.00	40.01 W	0.00	Option sale to close-call ²⁵
	Security total:	2,249.93		1,690.01	40.01 W	599.93	
ZM 07/02/2020 CALL \$262.50 / CUSIP: / Symbol:							
07/01/20	1.000	174.98	06/30/20	150.00	...	24.98	Option sale to close-call ²⁵
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
11/23/20	1.000	85.00	11/16/20	83.71	...	1.29	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/23/20	1.000	106.86	09/02/20	132.47	...	-25.61	Sale ²⁵
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
06/26/20	25.000	75.55	06/25/20	69.45	...	6.10	Sale ²⁵

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
06/29/20	1.000	102.44	06/22/20	99.88	...	2.56	Sale ²⁵
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
12/01/20	1.000	51.30	11/25/20	49.73	...	1.57	Sale ²⁵
ETFMG ALTERNATIVE HARVEST ETF / CUSIP: 26924G508 / Symbol:							
11/25/20	2.000	28.21	11/23/20	27.18	...	1.03	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
11/18/20	1.000	81.09	11/17/20	78.16	...	2.93	Sale ²⁵
HEXO CORP. / CUSIP: 428304109 / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	10.000	12.40	06/08/20	11.50	...	0.90	1 of 3 - Sale ²⁵
	10.000	12.40	06/08/20	11.40	...	1.00	2 of 3 - Sale ²⁵
	30.000	37.21	06/08/20	33.84	...	3.37	3 of 3 - Sale ²⁵
06/09/20	50.000	62.01	Various	56.74	...	5.27	Total of 3 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
10 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	9.000	18.00	06/22/20	29.34	...	-11.34	1 of 10 - Sale ²⁵
	1.000	2.00	06/22/20	3.26	...	-1.26	2 of 10 - Sale ²⁵
	20.000	40.00	06/26/20	34.80	...	5.20	3 of 10 - Sale ²⁵
	5.000	10.00	07/01/20	8.60	...	1.40	4 of 10 - Sale ²⁵
	1.000	2.00	08/07/20	1.54	...	0.46	5 of 10 - Sale ²⁵
	1.000	2.00	08/11/20	1.38	...	0.62	6 of 10 - Sale ²⁵
	1.000	2.00	08/11/20	1.38	...	0.62	7 of 10 - Sale ²⁵
	1.000	2.00	08/11/20	1.38	...	0.62	8 of 10 - Sale ²⁵
	1.000	2.00	09/02/20	1.21	...	0.79	9 of 10 - Sale ²⁵
	1.000	2.63	09/02/20	1.21	...	1.42	10 of 10 - Sale ²⁵
11/23/20	41.000	82.63	Various	84.10	...	-1.47	Total of 10 transactions
6 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	3.11	09/02/20	1.21	...	1.90	1 of 6 - Sale ²⁵
	1.000	3.13	09/02/20	1.21	...	1.92	2 of 6 - Sale ²⁵
	1.000	3.11	09/17/20	1.05	...	2.06	3 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	1.000	3.11	09/22/20	0.92	...	2.19	4 of 6 - Sale ²⁵
	2.000	6.22	09/23/20	1.62	...	4.60	5 of 6 - Sale ²⁵
	10.000	31.10	09/23/20	8.20	...	22.90	6 of 6 - Sale ²⁵
11/24/20	16.000	49.78	Various	14.21	...	35.57	Total of 6 transactions
	Security total:	132.41		98.31	...	34.10	
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
09/23/20	1.000	21.58	07/13/20	17.78	...	3.80	3- Proceeds from collectibles [X] Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
11/20/20	2.000	52.41	11/18/20	51.12	...	1.29	Sale ²⁵
11/25/20	1.000	29.51	11/23/20	28.07	...	1.44	Sale ²⁵
	Security total:	81.92		79.19	...	2.73	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
12/16/20	10.000	112.00	12/15/20	100.28	...	11.72	Sale ²⁵
12/22/20	10.000	132.00	12/17/20	116.94	...	15.06	Sale ²⁵
	Security total:	244.00		217.22	...	26.78	
STARBUCKS CORPORATION COMMON STOCK / CUSIP: 855244109 / Symbol:							
11/18/20	1.000	98.59	11/17/20	98.13	...	0.46	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
11/20/20	1.000	490.93	08/31/20	514.89	23.96 W	0.00	Sale ²⁵
TILRAY, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
06/10/20	2.000	20.15	05/12/20	16.26	...	3.89	Sale ²⁵
TRANSLATE BIO, INC. COMMON STOCK / CUSIP: 89374L104 / Symbol:							
06/25/20	2.000	52.20	06/23/20	47.31	...	4.89	Sale ²⁵
UNITED STATES STEEL CORPORATION / CUSIP: 912909108 / Symbol:							
06/10/20	1.000	10.32	03/23/20	5.31	...	5.01	Sale ²⁵
WORKHORSE GROUP, INC. COMMON STOCK / CUSIP: 98138J206 / Symbol:							
11/25/20	1.000	26.61	11/20/20	25.00	...	1.61	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 714603693

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPDR GOLD MINISHARES / CUSIP: 98149E204 / Symbol: / Note: CL							
07/07/20	2.000	35.80	07/02/20	35.42	...	0.38	3- Proceeds from collectibles [X] Sale ²⁵
Totals :		62,135.09		64,622.08	9,008.90 W	6,521.91	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
08/31/20	0.000	0.01	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000390679
Totals :		0.01		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 714603693

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
THE ODP CORPORATION COMMON STO CK / CUSIP: 88337F105 / Symbol:							
2 transactions for 07/10/20							
	0.300	6.29	06/30/20	6.71	...	-0.42	Gain or loss
	0.300	6.28	06/30/20	6.47	...	-0.19	Gain or loss
07/10/20	0.600	12.57	Various	13.18	...	-0.61	Total of 2 transactions
Totals:		12.57		13.18	...	-0.61	

Robinhood Securities LLC

Account 714603693

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BARRICK GOLD CORP.	067901108		09/15/20	0.24	Nonqualified dividend	
			09/15/20	-0.04	Foreign tax withheld-CA	
			12/15/20	0.36	Nonqualified dividend	
			12/16/20	-0.05	Foreign tax withheld-CA	
				0.60	Total Dividends & distributions	
	-0.09	Total Foreign tax withheld				
UNITED STATES STEEL CORPORATIO N	912909108		06/10/20	0.01	Qualified dividend	
				0.61	Total Dividends & distributions	
				-0.09	Total Foreign tax withheld	

Robinhood Securities LLC

Account 714603693

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ISHARES SILVER TRUST	46428Q109 Note: CL	08/31/20	-0.01	Gross proceeds investment expense	15
			-0.01	Total Gross proceeds investmnt exp	

Robinhood Securities LLC

Account 714603693

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	BARRICK GOLD CORP.	067901108	0.60	0.00	0.00	0.60	-0.09	
Total CANADA			0.60	0.00	0.00	0.60	-0.09	
Grand Total	Total Foreign Source Income*			0.60				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax						-0.09	

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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