

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 133844803</b>	Statement Date: 02/14/2021 Document ID: R5GK K14 4W33	2020
	Gaurang Tank 102 lansdale avenue, APT X Milford, CT 06460  RECIPIENT'S TIN: XXX-XX-9077		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      2.87</p> <p>1b- Qualified dividends      2.87</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	135,162.45	141,049.75	0.00	10,741.37	4,854.07
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>135,162.45</b>	<b>141,049.75</b>	<b>0.00</b>	<b>10,741.37</b>	<b>4,854.07</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>135,162.45</b>	<b>141,049.75</b>	<b>0.00</b>	<b>10,741.37</b>	<b>4,854.07</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 133844803

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$620.00 / CUSIP: / Symbol:							
08/20/20	1.000	819.97	08/17/20	770.00	...	49.97	Option sale to close-call <sup>25</sup>
AAPL 05/15/2020 CALL \$320.00 / CUSIP: / Symbol:							
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	3.000	389.98	04/30/20	384.00	...	5.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	259.99	04/30/20	252.00	...	7.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	389.98	04/30/20	366.00	...	23.98	3 of 3 - Option sale to close-call <sup>25</sup>
04/30/20	8.000	1,039.95	Various	1,002.00	...	37.95	Total of 3 transactions
AAPL 06/18/2021 CALL \$120.00 / CUSIP: / Symbol:							
10/12/20	1.000	1,814.94	09/22/20	1,190.00	...	624.94	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/17/2020 PUT \$370.00 / CUSIP: / Symbol:							
07/13/20	1.000	299.98	07/13/20	225.00	...	74.98	Option sale to close-put <sup>25</sup>
	<i>2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	317.99	07/13/20	258.00	...	59.99	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	317.98	07/13/20	255.00	...	62.98	2 of 2 - Option sale to close-put <sup>25</sup>
07/14/20	2.000	635.97	Various	513.00	...	122.97	Total of 2 transactions
07/17/20	1.000	0.00	07/16/20	58.00	...	-58.00	Option expiration- long put
	<b>Security total:</b>	<b>935.95</b>		<b>796.00</b>	...	<b>139.95</b>	
AAPL 07/31/2020 CALL \$440.00 / CUSIP: / Symbol:							
07/22/20	1.000	81.98	07/21/20	102.00	...	-20.02	Option sale to close-call <sup>25</sup>
AAPL 08/28/2020 CALL \$520.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	08/27/20	475.01	...	-475.01	1 of 2 - Option expiration- long call
	1.000	0.00	08/27/20	467.01	...	-467.01	2 of 2 - Option expiration- long call
08/28/20	2.000	0.00	Various	942.02	...	-942.02	Total of 2 transactions
	<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	26.99	08/26/20	277.00	250.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	26.99	08/26/20	285.00	258.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/28/20	2.000	53.98	Various	562.00	508.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>53.98</b>		<b>1,504.02</b>	<b>508.02 W</b>	<b>-942.02</b>	
AAPL 09/04/2020 CALL \$133.75 / CUSIP: / Symbol:							
	<i>3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	6.00	09/02/20	125.00	...	-119.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.99	09/02/20	113.00	...	-107.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.99	09/02/20	112.00	...	-106.01	3 of 3 - Option sale to close-call <sup>25</sup>
09/04/20	3.000	17.98	Various	350.00	...	-332.02	Total of 3 transactions
AAPL 10/09/2020 CALL \$118.75 / CUSIP: / Symbol:							
10/02/20	1.000	93.98	09/15/20	878.02	...	-784.04	Option sale to close-call <sup>25</sup>
10/02/20	1.000	91.98	09/15/20	520.00	428.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>185.96</b>		<b>1,398.02</b>	<b>428.02 W</b>	<b>-784.04</b>	

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/23/2020 CALL \$113.00 / CUSIP: / Symbol:							
10/07/20	1.000	549.97	10/06/20	450.00	...	99.97	Option sale to close-call <sup>25</sup>
AAPL 11/13/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/07/20	1.000	207.98	10/01/20	293.00	...	-85.02	Option sale to close-call <sup>25</sup>
AAPL 12/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/02/20	1.000	735.97	10/02/20	750.00	14.03 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	899.97	10/02/20	694.03	...	205.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,129.96	10/12/20	1,055.00	...	74.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/12/20	2.000	2,029.93	Various	1,749.03	...	280.90	Total of 2 transactions
	<b>Security total:</b>	<b>2,765.90</b>		<b>2,499.03</b>	<b>14.03 W</b>	<b>280.90</b>	
AAPL 12/18/2020 CALL \$97.50 / CUSIP: / Symbol:							
10/27/20	1.000	1,999.94	10/23/20	1,875.00	...	124.94	Option sale to close-call <sup>25</sup>
ACB 03/20/2020 PUT \$1.00 / CUSIP: / Symbol:							
02/28/20	1.000	5.98	12/17/19	5.00	...	0.98	Option sale to close-put <sup>25</sup>
ARKK 01/15/2021 CALL \$108.00 / CUSIP: / Symbol:							
12/08/20	1.000	1,529.95	12/01/20	920.00	...	609.95	Option sale to close-call <sup>25</sup>
ARKK 01/15/2021 CALL \$95.00 / CUSIP: / Symbol:							
11/30/20	1.000	1,839.94	11/24/20	1,410.00	...	429.94	Option sale to close-call <sup>25</sup>
ARKK 01/15/2021 PUT \$128.00 / CUSIP: / Symbol:							
12/23/20	1.000	249.98	12/22/20	320.00	...	-70.02	Option sale to close-put <sup>25</sup>
ARKK 11/20/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/19/20	1.000	1,559.95	10/13/20	1,560.00	0.05 W	0.00	Option sale to close-call <sup>25</sup>
10/22/20	1.000	1,099.96	10/15/20	1,450.05	350.09 W	0.00	Option sale to close-call <sup>25</sup>
11/20/20	1.000	1,459.95	10/21/20	1,460.09	...	-0.14	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,119.86</b>		<b>4,470.14</b>	<b>350.14 W</b>	<b>-0.14</b>	
ARKK 11/20/2020 CALL \$96.00 / CUSIP: / Symbol:							
10/16/20	1.000	1,129.96	10/13/20	1,120.00	...	9.96	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARKK 12/18/2020 CALL \$100.00 / CUSIP: / Symbol:							
10/13/20	1.000	1,139.96	10/12/20	1,010.00	...	129.96	Option sale to close-call <sup>25</sup>
BBY 03/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	834.97	08/26/20	965.00	130.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	819.97	09/01/20	1,150.03	330.06 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	799.98	09/01/20	1,345.06	545.08 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	799.97	09/01/20	1,015.00	215.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
09/04/20	4.000	3,254.89	Various	4,475.09	1,220.20 W	0.00	Total of 4 transactions
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	914.97	09/04/20	1,355.08	440.11 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	914.97	09/04/20	1,025.03	110.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/02/20	2.000	1,829.94	Various	2,380.11	550.17 W	0.00	Total of 2 transactions
10/19/20	1.000	1,249.96	09/28/20	780.06	...	469.90	Option sale to close-call <sup>25</sup>
10/19/20	1.000	1,224.96	09/04/20	1,270.11	45.15 W	0.00	Option sale to close-call <sup>25</sup>
11/02/20	1.000	859.98	09/28/20	730.15	...	129.83	Option sale to close-call <sup>25</sup>
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	859.98	10/05/20	900.00	40.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	859.97	10/22/20	1,045.00	185.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	859.98	10/26/20	985.00	125.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/20	3.000	2,579.93	Various	2,930.00	350.07 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>10,999.66</b>		<b>12,565.52</b>	<b>2,165.59 W</b>	<b>599.73</b>	
BBY 11/20/2020 CALL \$111.00 / CUSIP: / Symbol:							
10/27/20	1.000	754.97	10/26/20	645.00	...	109.97	Option sale to close-call <sup>25</sup>
BBY 12/24/2020 CALL \$105.00 / CUSIP: / Symbol:							
12/21/20	1.000	49.98	12/17/20	105.00	...	-55.02	Option sale to close-call <sup>25</sup>
CVNA 03/27/2020 PUT \$65.00 / CUSIP: / Symbol:							
02/28/20	1.000	229.98	02/27/20	140.00	...	89.98	Option sale to close-put <sup>25</sup>
03/02/20	1.000	189.98	02/28/20	155.00	...	34.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>419.96</b>		<b>295.00</b>	<b>...</b>	<b>124.96</b>	

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CYBR 01/15/2021 CALL \$140.00 / CUSIP: / Symbol:							
07/01/20	1.000	299.98	06/10/20	450.00	150.02 W	0.00	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	409.98	06/29/20	405.02	...	4.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	06/30/20	225.00	...	174.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	809.96	Various	630.02	...	179.94	Total of 2 transactions
	<b>Security total:</b>	<b>1,109.94</b>		<b>1,080.02</b>	<b>150.02 W</b>	<b>179.94</b>	
CYBR 01/15/2021 PUT \$60.00 / CUSIP: / Symbol:							
06/29/20	1.000	224.98	06/10/20	175.00	...	49.98	Option sale to close-put <sup>25</sup>
CYBR 07/31/2020 CALL \$114.00 / CUSIP: / Symbol:							
07/20/20	1.000	549.97	07/16/20	195.00	...	354.97	Option sale to close-call <sup>25</sup>
CYBR 08/14/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/05/20	1.000	9.98	07/30/20	185.00	...	-175.02	Option sale to close-call <sup>25</sup>
CYBR 12/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/15/20	1.000	499.97	07/08/20	470.00	...	29.97	Option sale to close-call <sup>25</sup>
07/16/20	1.000	489.97	07/09/20	530.00	...	-40.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>989.94</b>		<b>1,000.00</b>	...	<b>-10.06</b>	
DAL 12/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
		<i>3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	249.98	08/19/20	237.03	...	12.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	235.98	08/19/20	217.02	...	18.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	259.98	08/19/20	176.00	...	83.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/24/20	3.000	745.94	Various	630.05	...	115.89	Total of 3 transactions
		<i>3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	234.99	08/12/20	273.00	38.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	234.98	08/13/20	260.00	25.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	235.98	08/13/20	283.01	47.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/24/20	3.000	705.95	Various	816.01	110.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,451.89</b>		<b>1,446.06</b>	<b>110.06 W</b>	<b>115.89</b>	

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DBX 11/20/2020 CALL \$22.00 / CUSIP: / Symbol:							
11/20/20	3.000	0.00	10/02/20	189.00	...	-189.00	Option expiration- long call
EXPE 04/17/2020 PUT \$50.00 / CUSIP: / Symbol:							
02/26/20	1.000	9.98	11/22/19	10.00	...	-0.02	Option sale to close-put <sup>25</sup>
FB 07/24/2020 PUT \$190.00 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	06/26/20	295.00	...	4.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/26/20	267.00	...	32.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/26/20	2.000	599.97	Various	562.00	...	37.97	Total of 2 transactions
GILD 11/20/2020 CALL \$70.00 / CUSIP: / Symbol:							
11/20/20	3.000	0.00	10/02/20	228.00	...	-228.00	Option expiration- long call
GPRO 04/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
01/10/20	1.000	8.98	11/26/19	7.00	...	1.98	Option sale to close-call <sup>25</sup>
KSS 06/19/2020 PUT \$22.50 / CUSIP: / Symbol:							
06/17/20	1.000	34.98	06/16/20	133.02	...	-98.04	Option sale to close-put <sup>25</sup>
06/17/20	1.000	44.98	06/12/20	145.00	100.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>79.96</b>		<b>278.02</b>	<b>100.02 W</b>	<b>-98.04</b>	
KSS 10/16/2020 PUT \$12.50 / CUSIP: / Symbol:							
04/02/20	1.000	369.98	03/20/20	285.00	...	84.98	Option sale to close-put <sup>25</sup>
LRN 08/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	124.99	07/21/20	120.01	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	124.99	07/21/20	110.01	...	14.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	249.99	07/21/20	210.00	...	39.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/22/20	4.000	499.97	Various	440.02	...	59.95	Total of 3 transactions
07/22/20	3.000	374.98	07/21/20	390.00	10.02 W	-5.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>874.95</b>		<b>830.02</b>	<b>10.02 W</b>	<b>54.95</b>	

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LRN 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
07/24/20	1.000	389.98	07/23/20	380.00	...	9.98	Option sale to close-call <sup>25</sup>
08/05/20	1.000	499.97	07/27/20	350.00	...	149.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>889.95</b>		<b>730.00</b>	...	<b>159.95</b>	
M 01/17/2020 PUT \$10.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	11/20/19	14.00	...	-14.00	Option expiration- long put
MCD 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
08/04/20	1.000	123.98	07/27/20	184.00	60.02 W	0.00	Option sale to close-call <sup>25</sup>
08/06/20	1.000	144.98	07/27/20	244.02	...	-99.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>268.96</b>		<b>428.02</b>	<b>60.02 W</b>	<b>-99.04</b>	
MSFT 01/15/2021 CALL \$200.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	549.97	04/06/20	490.00	...	59.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	649.97	04/06/20	490.00	...	159.97	2 of 2 - Option sale to close-call <sup>25</sup>
04/07/20	2.000	1,199.94	Various	980.00	...	219.94	Total of 2 transactions
MSFT 03/19/2021 CALL \$230.00 / CUSIP: / Symbol:							
10/02/20	1.000	1,174.96	09/30/20	1,180.00	...	-5.04	Option sale to close-call <sup>25</sup>
10/12/20	1.000	1,434.95	09/30/20	1,180.00	...	254.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,609.91</b>		<b>2,360.00</b>	...	<b>249.91</b>	
MSFT 05/29/2020 PUT \$165.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/13/20	179.00	...	-179.00	Option expiration- long put
MSFT 06/18/2021 CALL \$195.00 / CUSIP: / Symbol:							
09/30/20	1.000	3,209.91	09/21/20	2,445.00	...	764.91	Option sale to close-call <sup>25</sup>
MSFT 06/19/2020 CALL \$195.00 / CUSIP: / Symbol:							
<i>5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	374.99	04/07/20	165.00	...	209.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	374.99	04/07/20	164.00	...	210.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	374.99	04/07/20	129.00	...	245.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	374.99	04/09/20	115.00	...	259.99	4 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 06/19/2020 CALL \$195.00 / CUSIP: / Symbol: (cont'd)							
	1.000	374.98	04/09/20	110.00	...	264.98	5 of 5 - Option sale to close-call <sup>25</sup>
04/16/20	5.000	1,874.94	Various	683.00	...	1,191.94	Total of 5 transactions
MSFT 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	174.99	04/16/20	275.00	100.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	174.99	04/16/20	275.00	100.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	175.98	04/27/20	259.01	83.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	174.98	04/29/20	221.03	46.05 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
04/29/20	4.000	700.94	Various	1,030.04	329.10 W	0.00	Total of 4 transactions
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	176.98	04/29/20	238.01	61.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	176.98	05/05/20	218.03	41.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/05/20	2.000	353.96	Various	456.04	102.08 W	0.00	Total of 2 transactions
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	174.99	05/05/20	203.05	28.06 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.99	05/06/20	221.05	46.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/11/20	2.000	349.98	Various	424.10	74.12 W	0.00	Total of 2 transactions
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	139.98	05/07/20	191.06	51.08 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	140.98	05/08/20	181.06	40.08 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/12/20	2.000	280.96	Various	372.12	91.16 W	0.00	Total of 2 transactions
4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	05/08/20	191.08	136.10 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	54.99	05/12/20	195.08	140.09 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	54.99	05/12/20	242.10	187.11 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	55.00	05/20/20	77.00	22.00 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
05/22/20	4.000	219.96	Various	705.26	485.30 W	0.00	Total of 4 transactions
8 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	15.00	05/22/20	211.09	196.09 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	15.00	05/22/20	258.11	243.11 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	15.00	05/22/20	84.00	69.00 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	14.99	05/22/20	61.00	46.01 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>

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Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 06/19/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	05/22/20	58.00	43.00 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	14.99	05/26/20	65.00	50.01 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	14.99	05/26/20	60.00	45.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	15.00	05/26/20	55.00	40.00 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
06/04/20	8.000	119.97	Various	852.20	732.23 W	0.00	Total of 8 transactions
	10 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	32.00	06/05/20	231.11	...	-199.11	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	31.99	06/05/20	55.01	...	-23.02	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	32.00	06/05/20	52.00	...	-20.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	32.00	06/05/20	46.03	...	-14.03	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	31.99	06/05/20	41.01	...	-9.02	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	32.00	06/05/20	33.02	...	-1.02	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	24.99	06/05/20	15.00	...	9.99	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	25.00	06/05/20	15.00	...	10.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	31.99	06/05/20	16.00	...	15.99	9 of 10 - Option sale to close-call <sup>25</sup>
	2.000	51.99	06/05/20	26.00	...	25.99	10 of 10 - Option sale to close-call <sup>25</sup>
06/05/20	11.000	325.95	Various	530.18	...	-204.23	Total of 10 transactions
	19 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	25.00	05/26/20	236.09	211.09 W	0.00	1 of 19 - Option sale to close-call <sup>25</sup>
	1.000	25.00	05/27/20	267.11	242.11 W	0.00	2 of 19 - Option sale to close-call <sup>25</sup>
	1.000	25.00	05/27/20	93.00	68.00 W	0.00	3 of 19 - Option sale to close-call <sup>25</sup>

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MSFT 06/19/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	05/27/20	75.01	50.01 W	0.00	4 of 19 - Option sale to close-call 25
	1.000	25.00	05/27/20	72.00	47.00 W	0.00	5 of 19 - Option sale to close-call 25
	1.000	24.99	06/04/20	68.01	43.02 W	0.00	6 of 19 - Option sale to close-call 25
	1.000	25.00	06/04/20	63.01	38.01 W	0.00	7 of 19 - Option sale to close-call 25
	1.000	24.99	06/05/20	55.00	30.01 W	0.00	8 of 19 - Option sale to close-call 25
	1.000	26.00	06/05/20	257.11	231.11 W	0.00	9 of 19 - Option sale to close-call 25
	1.000	26.00	06/05/20	226.09	200.09 W	0.00	10 of 19 - Option sale to close-call 25
	1.000	26.00	06/05/20	83.00	57.00 W	0.00	11 of 19 - Option sale to close-call 25
	1.000	26.00	06/05/20	65.01	39.01 W	0.00	12 of 19 - Option sale to close-call 25
	1.000	26.00	06/05/20	62.00	36.00 W	0.00	13 of 19 - Option sale to close-call 25
	1.000	25.99	06/05/20	56.02	30.03 W	0.00	14 of 19 - Option sale to close-call 25
	1.000	26.00	06/05/20	51.01	25.01 W	0.00	15 of 19 - Option sale to close-call 25
	1.000	25.99	06/05/20	43.01	17.02 W	0.00	16 of 19 - Option sale to close-call 25
	1.000	32.00	06/05/20	247.11	215.11 W	0.00	17 of 19 - Option sale to close-call 25
	1.000	31.99	06/05/20	216.09	184.10 W	0.00	18 of 19 - Option sale to close-call 25
	1.000	32.00	06/05/20	73.00	41.00 W	0.00	19 of 19 - Option sale to close-call 25
06/05/20	19.000	503.95	Various	2,308.68	1,804.73 W	0.00	Total of 19 transactions

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 06/19/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	198.98	06/10/20	381.10	...	-182.12	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	166.98	06/10/20	256.00	...	-89.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/20	2.000	365.96	Various	637.10	...	-271.14	Total of 2 transactions
	<b>Security total:</b>	<b>3,221.63</b>		<b>7,315.72</b>	<b>3,618.72 W</b>	<b>-475.37</b>	
MSFT 06/19/2020 PUT \$100.00 / CUSIP: / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	158.99	03/24/20	175.00	...	-16.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	158.99	03/30/20	144.00	...	14.99	2 of 2 - Option sale to close-put <sup>25</sup>
04/01/20	2.000	317.98	Various	319.00	...	-1.02	Total of 2 transactions
MSFT 06/19/2020 PUT \$110.00 / CUSIP: / Symbol:							
03/16/20	1.000	499.97	03/12/20	550.00	50.03 W	0.00	Option sale to close-put <sup>25</sup>
03/17/20	1.000	849.97	03/13/20	450.03	...	399.94	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,349.94</b>		<b>1,000.03</b>	<b>50.03 W</b>	<b>399.94</b>	
MSFT 06/19/2020 PUT \$130.00 / CUSIP: / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	122.99	04/01/20	615.00	...	-492.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	122.99	04/03/20	495.00	...	-372.01	2 of 2 - Option sale to close-put <sup>25</sup>
04/16/20	2.000	245.98	Various	1,110.00	...	-864.02	Total of 2 transactions
MSFT 06/19/2020 PUT \$140.00 / CUSIP: / Symbol:							
05/13/20	1.000	68.98	04/16/20	223.00	...	-154.02	Option sale to close-put <sup>25</sup>
MSFT 06/19/2020 PUT \$185.00 / CUSIP: / Symbol:							
06/04/20	1.000	499.97	06/04/20	435.00	...	64.97	Option sale to close-put <sup>25</sup>
06/05/20	1.000	504.97	06/04/20	515.00	...	-10.03	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,004.94</b>		<b>950.00</b>	...	<b>54.94</b>	
MSFT 06/19/2020 PUT \$190.00 / CUSIP: / Symbol:							
06/11/20	1.000	255.98	06/11/20	250.00	...	5.98	Option sale to close-put <sup>25</sup>
06/12/20	1.000	344.98	06/11/20	250.00	...	94.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>600.96</b>		<b>500.00</b>	...	<b>100.96</b>	

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Robinhood Securities LLC

Account 133844803

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/24/2020 CALL \$212.50 / CUSIP: / Symbol:							
06/30/20	1.000	279.98	06/29/20	212.00	...	67.98	Option sale to close-call <sup>25</sup>
07/06/20	1.000	449.98	06/29/20	202.00	...	247.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>729.96</b>		<b>414.00</b>	...	<b>315.96</b>	
MSFT 07/24/2020 CALL \$240.00 / CUSIP: / Symbol:							
07/22/20	1.000	11.98	07/21/20	30.00	...	-18.02	Option sale to close-call <sup>25</sup>
MSFT 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
07/15/20	1.000	804.97	07/14/20	775.00	...	29.97	Option sale to close-call <sup>25</sup>
07/20/20	1.000	764.97	07/16/20	655.03	...	109.94	Option sale to close-call <sup>25</sup>
07/20/20	1.000	599.97	07/14/20	675.00	75.03 W	0.00	Option sale to close-call <sup>25</sup>
07/21/20	1.000	758.97	07/16/20	555.00	...	203.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,928.88</b>		<b>2,660.03</b>	<b>75.03 W</b>	<b>343.88</b>	
MSFT 10/02/2020 CALL \$235.00 / CUSIP: / Symbol:							
09/01/20	1.000	709.97	08/31/20	630.00	...	79.97	Option sale to close-call <sup>25</sup>
09/02/20	1.000	799.97	09/01/20	620.00	...	179.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,509.94</b>		<b>1,250.00</b>	...	<b>259.94</b>	
MSFT 11/06/2020 CALL \$212.50 / CUSIP: / Symbol:							
10/07/20	1.000	774.97	10/07/20	690.00	...	84.97	Option sale to close-call <sup>25</sup>
MSFT 11/13/2020 CALL \$230.00 / CUSIP: / Symbol:							
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	599.98	10/12/20	581.00	...	18.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	599.98	10/12/20	555.00	...	44.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/13/20	2.000	1,199.96	Various	1,136.00	...	63.96	Total of 2 transactions
MSFT 11/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/22/20	1.000	1,859.94	10/19/20	2,070.00	210.06 W	0.00	Option sale to close-call <sup>25</sup>
10/23/20	1.000	1,779.95	10/20/20	2,210.06	...	-430.11	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,639.89</b>		<b>4,280.06</b>	<b>210.06 W</b>	<b>-430.11</b>	
MSFT 11/20/2020 CALL \$205.00 / CUSIP: / Symbol:							
10/12/20	1.000	1,824.94	10/02/20	1,325.00	...	499.94	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 133844803

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSFT 11/20/2020 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	849.97	07/23/20	765.00	...	84.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,109.96	07/23/20	675.00	...	434.96	2 of 2 - Option sale to close-call <sup>25</sup>
08/03/20	2.000	1,959.93	Various	1,440.00	...	519.93	Total of 2 transactions
MSFT 11/20/2020 CALL \$265.00 / CUSIP: / Symbol:							
09/04/20	1.000	329.98	09/03/20	535.00	...	-205.02	Option sale to close-call <sup>25</sup>
MSFT 12/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	12/17/20	69.00	...	-69.00	Option expiration- long call
MSFT 12/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
08/12/20	1.000	684.97	08/10/20	690.00	5.03 W	0.00	Option sale to close-call <sup>25</sup>
08/20/20	1.000	624.97	08/11/20	565.03	...	59.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,309.94</b>		<b>1,255.03</b>	<b>5.03 W</b>	<b>59.94</b>	
MTCH 01/15/2021 CALL \$115.00 / CUSIP: / Symbol:							
06/16/20	1.000	469.97	06/09/20	370.00	...	99.97	Option sale to close-call <sup>25</sup>
MTCH 01/15/2021 CALL \$95.00 / CUSIP: / Symbol:							
06/18/20	1.000	1,309.96	06/17/20	1,110.00	...	199.96	Option sale to close-call <sup>25</sup>
MTCH 01/15/2021 PUT \$45.00 / CUSIP: / Symbol:							
06/16/20	1.000	169.98	06/09/20	180.00	...	-10.02	Option sale to close-put <sup>25</sup>
MTCH 01/15/2021 PUT \$55.00 / CUSIP: / Symbol:							
06/18/20	1.000	254.98	06/17/20	290.00	...	-35.02	Option sale to close-put <sup>25</sup>
NKE 01/15/2021 PUT \$50.00 / CUSIP: / Symbol:							
07/08/20	1.000	33.98	05/12/20	104.00	...	-70.02	Option sale to close-put <sup>25</sup>
NKE 04/17/2020 PUT \$80.00 / CUSIP: / Symbol:							
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	1.000	154.98	03/03/20	123.00	...	31.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	154.98	03/03/20	113.00	...	41.98	2 of 2 - Option sale to close-put <sup>25</sup>
03/03/20	2.000	309.96	Various	236.00	...	73.96	Total of 2 transactions

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Robinhood Securities LLC

Account 133844803

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVDA 05/22/2020 CALL \$430.00 / CUSIP: / Symbol:							
05/19/20	1.000	123.98	05/19/20	115.00	...	8.98	Option sale to close-call <sup>25</sup>
NVDA 10/02/2020 CALL \$580.00 / CUSIP: / Symbol:							
08/31/20	1.000	1,499.95	08/26/20	925.00	...	574.95	Option sale to close-call <sup>25</sup>
OKTA 01/15/2021 CALL \$240.00 / CUSIP: / Symbol:							
08/25/20	1.000	1,329.96	08/24/20	1,355.00	25.04 W	0.00	Option sale to close-call <sup>25</sup>
08/26/20	1.000	1,899.94	08/24/20	1,175.04	...	724.90	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,229.90</b>		<b>2,530.04</b>	<b>25.04 W</b>	<b>724.90</b>	
OKTA 06/26/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/25/20	1.000	199.98	06/25/20	195.00	...	4.98	Option sale to close-call <sup>25</sup>
OKTA 06/26/2020 PUT \$187.50 / CUSIP: / Symbol:							
	<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	4.99	06/24/20	115.00	...	-110.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	4.99	06/25/20	55.00	...	-50.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/26/20	2.000	9.98	Various	170.00	...	-160.02	Total of 2 transactions
OKTA 08/07/2020 CALL \$220.00 / CUSIP: / Symbol:							
07/02/20	1.000	804.97	07/02/20	650.00	...	154.97	Option sale to close-call <sup>25</sup>
OKTA 08/14/2020 CALL \$240.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	454.97	07/02/20	320.00	...	134.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	474.97	07/06/20	275.00	...	199.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	2.000	929.94	Various	595.00	...	334.94	Total of 2 transactions
OKTA 11/20/2020 CALL \$240.00 / CUSIP: / Symbol:							
08/31/20	1.000	1,099.96	08/28/20	990.00	...	109.96	Option sale to close-call <sup>25</sup>
OKTA 11/20/2020 CALL \$260.00 / CUSIP: / Symbol:							
05/28/20	1.000	579.97	05/12/20	450.00	...	129.97	Option sale to close-call <sup>25</sup>
OKTA 11/20/2020 PUT \$100.00 / CUSIP: / Symbol:							
05/28/20	1.000	174.98	05/12/20	255.00	...	-80.02	Option sale to close-put <sup>25</sup>

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Account 133844803

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PAYX 01/15/2021 CALL \$90.00 / CUSIP: / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	74.99	07/09/20	468.03	...	-393.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	74.99	07/09/20	384.01	...	-309.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	75.00	07/09/20	150.00	...	-75.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	3.000	224.98	Various	1,002.04	...	-777.06	Total of 3 transactions
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	75.98	06/19/20	310.00	234.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	75.99	06/19/20	310.00	234.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	75.99	07/09/20	384.02	308.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	3.000	227.96	Various	1,004.02	776.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>452.94</b>		<b>2,006.06</b>	<b>776.06 W</b>	<b>-777.06</b>	
PAYX 01/15/2021 PUT \$55.00 / CUSIP: / Symbol:							
07/09/20	2.000	479.97	06/19/20	540.00	...	-60.03	Option sale to close-put <sup>25</sup>
PAYX 07/17/2020 PUT \$72.50 / CUSIP: / Symbol:							
06/19/20	1.000	289.98	06/19/20	280.00	...	9.98	Option sale to close-put <sup>25</sup>
PINS 08/21/2020 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	05/06/20	40.02	...	-10.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.98	05/06/20	40.02	...	-10.04	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	2.000	59.96	Various	80.04	...	-20.08	Total of 2 transactions
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	05/05/20	55.00	25.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.98	05/05/20	55.00	25.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	2.000	59.96	Various	110.00	50.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>119.92</b>		<b>190.04</b>	<b>50.04 W</b>	<b>-20.08</b>	
PINS 08/21/2020 PUT \$9.00 / CUSIP: / Symbol:							
03/09/20	5.000	174.98	02/14/20	25.00	...	149.98	Option sale to close-put <sup>25</sup>
PLUG 10/16/2020 CALL \$12.00 / CUSIP: / Symbol:							
08/26/20	1.000	237.98	08/21/20	233.00	...	4.98	Option sale to close-call <sup>25</sup>

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Account 133844803

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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QS 05/21/2021 CALL \$70.00 / CUSIP: / Symbol:							
12/21/20	1.000	1,999.94	12/09/20	1,490.00	...	509.94	Option sale to close-call <sup>25</sup>
QS 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
12/08/20	1.000	1,199.96	11/30/20	900.00	...	299.96	Option sale to close-call <sup>25</sup>
QS 12/18/2020 CALL \$62.50 / CUSIP: / Symbol:							
12/16/20	1.000	179.98	12/16/20	165.00	...	14.98	Option sale to close-call <sup>25</sup>
RKT 09/18/2020 CALL \$32.00 / CUSIP: / Symbol:							
		4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.					
	1.000	39.99	09/02/20	1,121.02	...	-1,081.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	39.99	09/02/20	600.00	...	-560.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	40.00	09/02/20	600.00	...	-560.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	40.00	09/02/20	590.00	...	-550.00	4 of 4 - Option sale to close-call <sup>25</sup>
09/04/20	4.000	159.98	Various	2,911.02	...	-2,751.04	Total of 4 transactions
09/04/20	1.000	39.98	09/02/20	561.00	521.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>199.96</b>		<b>3,472.02</b>	<b>521.02 W</b>	<b>-2,751.04</b>	
RKT 10/16/2020 CALL \$35.00 / CUSIP: / Symbol:							
08/25/20	1.000	379.98	08/24/20	360.00	...	19.98	Option sale to close-call <sup>25</sup>
SBUX 06/12/2020 PUT \$75.50 / CUSIP: / Symbol:							
06/12/20	1.000	87.98	06/11/20	57.00	...	30.98	Option sale to close-put <sup>25</sup>
SBUX 06/19/2020 PUT \$75.00 / CUSIP: / Symbol:							
06/11/20	1.000	171.98	06/11/20	123.00	...	48.98	Option sale to close-put <sup>25</sup>
SBUX 06/26/2020 PUT \$75.50 / CUSIP: / Symbol:							
06/22/20	1.000	187.98	06/19/20	192.00	...	-4.02	Option sale to close-put <sup>25</sup>
SBUX 07/02/2020 CALL \$75.50 / CUSIP: / Symbol:							
06/12/20	1.000	252.98	06/12/20	291.00	...	-38.02	Option sale to close-call <sup>25</sup>
SBUX 07/17/2020 PUT \$68.00 / CUSIP: / Symbol:							
06/29/20	1.000	136.98	06/29/20	137.00	...	-0.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 133844803

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SBUX 08/21/2020 CALL \$77.50 / CUSIP: / Symbol:							
06/24/20	1.000	252.98	06/22/20	350.00	...	-97.02	Option sale to close-call <sup>25</sup>
SBUX 08/21/2020 PUT \$65.00 / CUSIP: / Symbol:							
06/29/20	1.000	222.98	06/25/20	197.00	...	25.98	Option sale to close-put <sup>25</sup>
SBUX 08/21/2020 PUT \$72.50 / CUSIP: / Symbol:							
06/25/20	1.000	444.98	06/22/20	410.00	...	34.98	Option sale to close-put <sup>25</sup>
SBUX 10/16/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/06/20	1.000	309.98	06/03/20	585.00	...	-275.02	Option sale to close-call <sup>25</sup>
SBUX 10/16/2020 PUT \$62.50 / CUSIP: / Symbol:							
06/12/20	1.000	224.98	05/12/20	273.00	48.02 W	0.00	Option sale to close-put <sup>25</sup>
06/22/20	1.000	234.98	06/10/20	198.02	...	36.96	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>459.96</b>		<b>471.02</b>	<b>48.02 W</b>	<b>36.96</b>	
SNAP 01/15/2021 CALL \$12.00 / CUSIP: / Symbol:							
03/25/20	1.000	228.98	03/20/20	209.00	...	19.98	Option sale to close-call <sup>25</sup>
03/27/20	1.000	252.98	03/20/20	209.00	...	43.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>481.96</b>		<b>418.00</b>	...	<b>63.96</b>	
SNAP 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
<i>3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	90.98	07/15/20	199.00	108.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	90.98	07/15/20	305.02	214.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	90.98	07/16/20	399.04	308.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/04/20	3.000	272.94	Various	903.06	630.12 W	0.00	Total of 3 transactions
08/07/20	1.000	105.98	07/22/20	438.06	332.08 W	0.00	Option sale to close-call <sup>25</sup>
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	55.98	07/22/20	452.08	...	-396.10	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	54.98	07/22/20	114.00	...	-59.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	110.96	Various	566.08	...	-455.12	Total of 2 transactions
	<b>Security total:</b>	<b>489.88</b>		<b>1,907.20</b>	<b>962.20 W</b>	<b>-455.12</b>	

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Robinhood Securities LLC

Account 133844803

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/22/20	5.000	149.98	04/06/20	30.00	...	119.98	Option sale to close-call <sup>25</sup>
	5 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	1.000	29.98	04/06/20	6.00	...	23.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.98	04/06/20	6.00	...	23.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.98	04/06/20	6.00	...	23.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.98	04/06/20	6.00	...	23.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.98	04/06/20	6.00	...	23.98	5 of 5 - Option sale to close-call <sup>25</sup>
05/07/20	5.000	149.90	Various	30.00	...	119.90	Total of 5 transactions
	<b>Security total:</b>	<b>299.88</b>		<b>60.00</b>	...	<b>239.88</b>	
SNAP 04/17/2020 CALL \$21.00 / CUSIP: / Symbol:							
01/07/20	1.000	49.98	12/13/19	30.00	...	19.98	Option sale to close-call <sup>25</sup>
SNAP 07/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/22/20	1.000	2.98	07/21/20	35.00	...	-32.02	Option sale to close-call <sup>25</sup>
SNAP 08/21/2020 CALL \$28.00 / CUSIP: / Symbol:							
07/16/20	1.000	89.98	07/15/20	119.00	...	-29.02	Option sale to close-call <sup>25</sup>
SPLK 07/31/2020 PUT \$170.00 / CUSIP: / Symbol:							
	4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	10.000	0.00	07/24/20	270.00	...	-270.00	1 of 4 - Option expiration- long put
	5.000	0.00	07/24/20	95.00	...	-95.00	2 of 4 - Option expiration- long put
	4.000	0.00	07/24/20	52.00	...	-52.00	3 of 4 - Option expiration- long put
	1.000	0.00	07/24/20	13.00	...	-13.00	4 of 4 - Option expiration- long put
07/31/20	20.000	0.00	Various	430.00	...	-430.00	Total of 4 transactions
SPLK 08/14/2020 CALL \$202.50 / CUSIP: / Symbol:							
	4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	08/13/20	33.00	...	-33.00	1 of 4 - Option expiration- long call
	1.000	0.00	08/13/20	28.00	...	-28.00	2 of 4 - Option expiration- long call
	1.000	0.00	08/13/20	25.00	...	-25.00	3 of 4 - Option expiration- long call
	1.000	0.00	08/13/20	23.00	...	-23.00	4 of 4 - Option expiration- long call
08/14/20	4.000	0.00	Various	109.00	...	-109.00	Total of 4 transactions

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLK 09/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/21/20	1.000	699.97	05/12/20	530.00	...	169.97	Option sale to close-call <sup>25</sup>
SPLK 09/18/2020 PUT \$100.00 / CUSIP: / Symbol:							
05/21/20	1.000	189.98	05/12/20	300.00	...	-110.02	Option sale to close-put <sup>25</sup>
SPLK 11/20/2020 CALL \$220.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	10/27/20	635.00	...	-635.00	Option expiration- long call
SPLK 11/20/2020 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	192.98	10/06/20	155.00	...	37.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	194.98	10/06/20	146.00	...	48.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/20	2.000	387.96	Various	301.00	...	86.96	Total of 2 transactions
TDOC 01/15/2021 CALL \$310.00 / CUSIP: / Symbol:							
07/23/20	1.000	1,169.96	07/22/20	1,000.00	...	169.96	Option sale to close-call <sup>25</sup>
07/30/20	1.000	869.97	07/23/20	980.00	...	-110.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,039.93</b>		<b>1,980.00</b>	...	<b>59.93</b>	
TDOC 01/15/2021 CALL \$320.00 / CUSIP: / Symbol:							
07/16/20	1.000	959.96	07/16/20	870.00	...	89.96	Option sale to close-call <sup>25</sup>
TDOC 07/31/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/21/20	1.000	419.99	07/21/20	370.00	...	49.99	Option sale to close-call <sup>25</sup>
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	419.99	07/17/20	430.00	10.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	419.99	07/21/20	450.00	30.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	419.99	07/21/20	450.00	30.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	419.98	07/21/20	420.00	0.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/21/20	4.000	1,679.95	Various	1,750.00	70.05 W	0.00	Total of 4 transactions
5 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	469.98	07/21/20	430.01	...	39.97	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	469.99	07/21/20	430.01	...	39.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	469.98	07/21/20	410.02	...	59.96	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	469.99	07/21/20	410.01	...	59.98	4 of 5 - Option sale to close-call <sup>25</sup>

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Account 133844803

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TDOC 07/31/2020 CALL \$250.00 / CUSIP: / Symbol: (cont'd)							
	1.000	469.99	07/21/20	400.00	...	69.99	5 of 5 - Option sale to close-call <sup>25</sup>
07/22/20	5.000	2,349.93	Various	2,080.05	...	269.88	Total of 5 transactions
	<b>Security total:</b>	<b>4,449.87</b>		<b>4,200.05</b>	<b>70.05 W</b>	<b>319.87</b>	
TDOC 07/31/2020 PUT \$177.50 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/29/20	25.00	...	-25.00	Option expiration- long put
TDOC 10/16/2020 CALL \$290.00 / CUSIP: / Symbol:							
07/24/20	1.000	429.98	07/23/20	550.00	...	-120.02	Option sale to close-call <sup>25</sup>
TDOC 10/16/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/04/20	1.000	739.97	07/30/20	300.00	...	439.97	Option sale to close-call <sup>25</sup>
TGT 01/17/2020 PUT \$87.50 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	11/25/19	6.00	...	-6.00	Option expiration- long put
TGT 03/27/2020 PUT \$90.00 / CUSIP: / Symbol:							
03/09/20	1.000	136.99	03/09/20	133.00	...	3.99	Option sale to close-put <sup>25</sup>
03/09/20	2.000	273.99	03/09/20	356.00	82.01 W	0.00	Option sale to close-put <sup>25</sup>
	2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	1.000	80.99	03/09/20	192.01	...	-111.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	80.99	03/10/20	156.00	...	-75.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/10/20	2.000	161.98	Various	348.01	...	-186.03	Total of 2 transactions
	<b>Security total:</b>	<b>572.96</b>		<b>837.01</b>	<b>82.01 W</b>	<b>-182.04</b>	
TGT 04/17/2020 PUT \$95.00 / CUSIP: / Symbol:							
03/06/20	1.000	324.98	03/06/20	267.00	...	57.98	Option sale to close-put <sup>25</sup>
03/09/20	1.000	369.98	03/06/20	262.00	...	107.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>694.96</b>		<b>529.00</b>	...	<b>165.96</b>	
TSLA 09/04/2020 PUT \$420.00 / CUSIP: / Symbol:							
	2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	263.99	08/31/20	395.00	...	-131.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	263.98	08/31/20	285.00	...	-21.02	2 of 2 - Option sale to close-put <sup>25</sup>
08/31/20	2.000	527.97	Various	680.00	...	-152.03	Total of 2 transactions

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Robinhood Securities LLC

Account 133844803

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSN 01/15/2021 CALL \$75.00 / CUSIP: / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	209.99	08/04/20	197.00	...	12.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	209.99	08/07/20	155.00	...	54.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	209.99	08/07/20	150.00	...	59.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/11/20	3.000	629.97	Various	502.00	...	127.97	Total of 3 transactions
08/26/20	1.000	115.98	08/07/20	186.02	...	-70.04	Option sale to close-call <sup>25</sup>
08/26/20	1.000	115.98	08/07/20	151.00	35.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>861.93</b>		<b>839.02</b>	<b>35.02 W</b>	<b>57.93</b>	
TXN 07/24/2020 CALL \$155.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	07/21/20	10.00	...	-8.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	07/21/20	5.00	...	-4.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	3.000	2.98	Various	15.00	...	-12.02	Total of 2 transactions
UAA 01/15/2021 PUT \$7.50 / CUSIP: / Symbol:							
3 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	5.000	124.99	02/12/20	60.00	...	64.99	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	49.99	02/13/20	22.00	...	27.99	2 of 3 - Option sale to close-put <sup>25</sup>
	3.000	74.99	02/13/20	33.00	...	41.99	3 of 3 - Option sale to close-put <sup>25</sup>
02/27/20	10.000	249.97	Various	115.00	...	134.97	Total of 3 transactions
02/28/20	7.000	188.98	02/13/20	77.00	...	111.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>438.95</b>		<b>192.00</b>	...	<b>246.95</b>	
UBER 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
8 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	2.000	71.99	03/17/20	100.00	...	-28.01	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	35.99	03/17/20	55.00	...	-19.01	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	36.00	03/17/20	47.00	...	-11.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	36.00	03/18/20	92.00	...	-56.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	36.00	03/18/20	59.01	...	-23.01	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	35.99	03/18/20	57.00	...	-21.01	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	35.99	03/18/20	57.00	...	-21.01	7 of 8 - Option sale to close-call <sup>25</sup>

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Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UBER 01/15/2021 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	03/18/20	57.00	...	-21.00	8 of 8 - Option sale to close-call <sup>25</sup>
03/18/20	9.000	323.96	Various	524.01	...	-200.05	Total of 8 transactions
	5 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	1.000	36.00	03/11/20	90.00	54.00 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	36.00	03/12/20	55.00	19.00 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	36.00	03/12/20	55.00	19.00 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	36.00	03/12/20	55.00	19.00 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	71.99	03/13/20	114.00	21.01 W	-21.00	5 of 5 - Option sale to close-call <sup>25</sup>
03/18/20	6.000	215.99	Various	369.00	132.01 W	-21.00	Total of 5 transactions
	<b>Security total:</b>	<b>539.95</b>		<b>893.01</b>	<b>132.01 W</b>	<b>-221.05</b>	
UBER 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/04/20	1.000	230.98	01/28/20	165.00	...	65.98	Option sale to close-call <sup>25</sup>
	3 transactions for 02/07/20. Total proceeds and cost reported to the IRS.						
	1.000	269.99	02/04/20	205.00	...	64.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	269.99	02/06/20	209.00	...	60.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	269.99	02/06/20	189.00	...	80.99	3 of 3 - Option sale to close-call <sup>25</sup>
02/07/20	3.000	809.97	Various	603.00	...	206.97	Total of 3 transactions
	<b>Security total:</b>	<b>1,040.95</b>		<b>768.00</b>	...	<b>272.95</b>	
UBER 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
05/07/20	1.000	439.98	04/16/20	295.00	...	144.98	Option sale to close-call <sup>25</sup>
05/13/20	1.000	454.97	04/17/20	300.00	...	154.97	Option sale to close-call <sup>25</sup>
	4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	369.99	08/12/20	355.00	...	14.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	359.98	08/13/20	355.00	...	4.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	369.98	08/13/20	355.00	...	14.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	369.99	08/13/20	355.00	...	14.99	4 of 4 - Option sale to close-call <sup>25</sup>
08/20/20	4.000	1,469.94	Various	1,420.00	...	49.94	Total of 4 transactions
	2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	339.99	08/17/20	305.00	...	34.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	339.98	08/17/20	300.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	679.97	Various	605.00	...	74.97	Total of 2 transactions

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Robinhood Securities LLC

Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UBER 01/21/2022 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
08/27/20	1.000	379.98	08/20/20	275.00	...	104.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,424.84</b>		<b>2,895.00</b>	...	<b>529.84</b>	
UBER 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
05/26/20	1.000	409.98	05/18/20	410.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
06/17/20	1.000	349.98	06/12/20	322.02	...	27.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>759.96</b>		<b>732.02</b>	<b>0.02 W</b>	<b>27.96</b>	
UBER 06/18/2021 CALL \$42.00 / CUSIP: / Symbol:							
08/04/20	1.000	314.98	07/27/20	273.00	...	41.98	Option sale to close-call <sup>25</sup>
08/06/20	1.000	414.98	07/30/20	230.00	...	184.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>729.96</b>		<b>503.00</b>	...	<b>226.96</b>	
UBER 06/19/2020 CALL \$41.00 / CUSIP: / Symbol:							
02/03/20	1.000	269.98	01/21/20	216.00	...	53.98	Option sale to close-call <sup>25</sup>
UBER 09/11/2020 PUT \$31.00 / CUSIP: / Symbol:							
	<i>7 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	0.00	09/04/20	520.00	...	-520.00	1 of 7 - Option expiration- long put
	5.000	0.00	09/04/20	245.00	...	-245.00	2 of 7 - Option expiration- long put
	5.000	0.00	09/04/20	240.00	...	-240.00	3 of 7 - Option expiration- long put
	5.000	0.00	09/04/20	225.00	...	-225.00	4 of 7 - Option expiration- long put
	2.000	0.00	09/08/20	48.00	...	-48.00	5 of 7 - Option expiration- long put
	2.000	0.00	09/08/20	48.00	...	-48.00	6 of 7 - Option expiration- long put
	1.000	0.00	09/08/20	24.00	...	-24.00	7 of 7 - Option expiration- long put
09/11/20	30.000	0.00	Various	1,350.00	...	-1,350.00	Total of 7 transactions
UBER 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	249.98	07/14/20	201.00	...	48.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	249.98	07/14/20	196.00	...	53.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	2.000	499.96	Various	397.00	...	102.96	Total of 2 transactions
07/16/20	1.000	225.98	07/14/20	201.00	...	24.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>725.94</b>		<b>598.00</b>	...	<b>127.94</b>	

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Account 133844803

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORK 10/16/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/01/20	1.000	399.98	08/28/20	242.00	...	157.98	Option sale to close-call <sup>25</sup>
ZM 09/11/2020 CALL \$310.00 / CUSIP: / Symbol:							
08/20/20	1.000	1,379.95	08/20/20	1,330.00	...	49.95	Option sale to close-call <sup>25</sup>
AXCELLA HEALTH INC. COMMON STO CK / CUSIP: 05454B105 / Symbol:							
08/19/20	9.000	51.12	08/11/20	48.15	...	2.97	Sale <sup>25</sup>
08/20/20	11.000	62.15	08/11/20	58.85	...	3.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>113.27</b>		<b>107.00</b>	...	<b>6.27</b>	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
08/17/20	2.000	250.24	08/04/20	280.97	30.73 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	125.46	08/04/20	155.76	...	-30.30	1 of 2 - Sale <sup>25</sup>
	1.000	124.85	08/04/20	145.36	...	-20.51	2 of 2 - Sale <sup>25</sup>
08/19/20	2.000	250.31	Various	301.12	...	-50.81	Total of 2 transactions
09/28/20	1.000	152.75	09/28/20	158.00	...	-5.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>653.30</b>		<b>740.09</b>	<b>30.73 W</b>	<b>-56.06</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
08/13/20	1.000	28.67	08/11/20	30.36	...	-1.69	Sale <sup>25</sup>
	<i>2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	55.98	08/11/20	60.72	...	-4.74	1 of 2 - Sale <sup>25</sup>
	1.000	27.95	08/11/20	30.36	...	-2.41	2 of 2 - Sale <sup>25</sup>
08/17/20	3.000	83.93	Various	91.08	...	-7.15	Total of 2 transactions
08/20/20	1.000	27.32	08/11/20	30.36	...	-3.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>139.92</b>		<b>151.80</b>	...	<b>-11.88</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
	<i>2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	166.07	03/03/20	164.43	...	1.64	1 of 2 - Sale <sup>25</sup>
	1.000	166.06	03/04/20	168.14	...	-2.08	2 of 2 - Sale <sup>25</sup>
03/05/20	2.000	332.13	Various	332.57	...	-0.44	Total of 2 transactions
03/05/20	5.000	830.33	02/18/20	934.13	103.80 W	0.00	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	4.000	580.10	03/04/20	759.03	...	-178.93	1 of 2 - Sale <sup>25</sup>
	1.000	145.03	03/04/20	188.90	...	-43.87	2 of 2 - Sale <sup>25</sup>
03/12/20	5.000	725.13	Various	947.93	...	-222.80	Total of 2 transactions
	<b>Security total:</b>	<b>1,887.59</b>		<b>2,214.63</b>	<b>103.80 W</b>	<b>-223.24</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
10/21/20	50.000	1,378.08	10/15/20	1,454.50	...	-76.42	Sale <sup>25</sup>
NIKE, INC. / CUSIP: 654106103 / Symbol:							
02/27/20	2.000	180.02	02/18/20	205.23	...	-25.21	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
09/01/20	2.000	1,102.50	08/31/20	1,051.47	...	51.03	Sale <sup>25</sup>
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
08/13/20	1.000	200.96	08/11/20	202.23	...	-1.27	Sale <sup>25</sup>
SAREPTA THERAPEUTICS, INC. COM MON STOCK (DE) / CUSIP: 803607100 / Symbol:							
08/13/20	1.000	159.59	08/11/20	159.20	...	0.39	Sale <sup>25</sup>
08/20/20	1.000	142.00	08/11/20	159.19	...	-17.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>301.59</b>		<b>318.39</b>	...	<b>-16.80</b>	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
01/07/20	5.000	83.35	07/24/19	86.00	...	-2.65	Sale <sup>25</sup>
03/25/20	8.000	88.74	03/20/20	78.48	...	10.26	Sale <sup>25</sup>
04/06/20	7.000	79.73	03/20/20	68.67	...	11.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>251.82</b>		<b>233.15</b>	...	<b>18.67</b>	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
08/13/20	1.000	192.80	08/11/20	198.28	5.48 W	0.00	Sale <sup>25</sup>
08/17/20	1.000	193.75	08/12/20	195.25	...	-1.50	Sale <sup>25</sup>
08/17/20	1.000	194.52	08/12/20	199.98	5.46 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>581.07</b>		<b>593.51</b>	<b>10.94 W</b>	<b>-1.50</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	1.000	459.62	07/12/19	242.05	...	217.57	1 of 2 - Sale <sup>25</sup>
	1.000	459.61	11/11/19	343.78	...	115.83	2 of 2 - Sale <sup>25</sup>
01/07/20	2.000	919.23	Various	585.83	...	333.40	Total of 2 transactions
02/05/20	1.000	751.99	11/11/19	343.78	...	408.21	Sale <sup>25</sup>
03/03/20	1.000	804.09	02/28/20	655.82	...	148.27	Sale <sup>25</sup>
03/11/20	1.000	640.09	03/10/20	642.45	...	-2.36	Sale <sup>25</sup>
07/08/20	1.000	1,402.62	07/07/20	1,390.00	...	12.62	Sale <sup>25</sup>
07/10/20	1.000	1,497.96	07/09/20	1,388.00	...	109.96	Sale <sup>25</sup>
08/12/20	1.000	1,549.96	08/05/20	1,483.17	...	66.79	Sale <sup>25</sup>
09/28/20	1.000	423.08	09/22/20	444.88	21.80 W	0.00	Sale <sup>25</sup>
10/16/20	1.000	449.17	10/15/20	472.00	...	-22.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,438.19</b>		<b>7,405.93</b>	<b>21.80 W</b>	<b>1,054.06</b>	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
09/03/20	10.000	536.28	09/02/20	530.00	...	6.28	Sale <sup>25</sup>
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
01/07/20	5.000	159.57	11/18/19	134.15	...	25.42	Sale <sup>25</sup>
02/28/20	5.000	157.61	11/18/19	134.15	...	23.46	Sale <sup>25</sup>
02/28/20	2.000	63.04	02/07/20	80.84	17.80 W	0.00	Sale <sup>25</sup>
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	2.000	49.12	03/20/20	62.90	...	-13.78	1 of 2 - Sale <sup>25</sup>
	3.000	73.68	03/20/20	67.65	...	6.03	2 of 2 - Sale <sup>25</sup>
04/06/20	5.000	122.80	Various	130.55	...	-7.75	Total of 2 transactions
	<b>Security total:</b>	<b>503.02</b>		<b>479.69</b>	<b>17.80 W</b>	<b>41.13</b>	
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
6 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	444.82	08/24/20	282.23	...	162.59	1 of 6 - Sale <sup>25</sup>
	1.000	444.81	08/24/20	282.11	...	162.70	2 of 6 - Sale <sup>25</sup>
	1.000	454.98	08/25/20	290.03	...	164.95	3 of 6 - Sale <sup>25</sup>
	1.000	454.99	08/25/20	288.26	...	166.73	4 of 6 - Sale <sup>25</sup>
	1.000	454.99	08/25/20	288.23	...	166.76	5 of 6 - Sale <sup>25</sup>

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Account 133844803

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	1.000	454.99	08/25/20	288.17	...	166.82	6 of 6 - Sale <sup>25</sup>
09/01/20	6.000	2,709.58	Various	1,719.03	...	990.55	Total of 6 transactions
09/02/20	2.000	849.10	08/25/20	580.06	...	269.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,558.68</b>		<b>2,299.09</b>	...	<b>1,259.59</b>	
CYBERARK SOFTWARE LTD. ORDINARY SHARES / CUSIP: M2682V108 / Symbol:							
01/07/20	1.000	126.20	11/15/19	113.81	...	12.39	Sale <sup>25</sup>
<b>Totals :</b>		<b>135,162.45</b>		<b>141,049.75</b>	<b>10,741.37 W</b>	<b>4,854.07</b>	

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Account 133844803

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	2.55	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		09/24/20	0.32	Qualified dividend	
				<b>2.87</b>	<b>Total Dividends &amp; distributions</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).